

University of Maine System – Board of Trustees Meeting
November 5-6, 2023
at the University of Maine at Presque Isle

AGENDA

Sunday, November 5, 2023

Call to Order @ 2:00 pm

The Board of Trustees will go directly into Executive Session under provisions: 1 MRSA Section 405 6-A, C, D & E.

Executive Session from 2:00 pm to 5:10 pm, Room 210 – Pullen Hall.

Reception @ 5:15 pm (Cash Bar) – 1st Floor of Library (Center for Innovative Learning)
(By Invitation Only)

Dinner @ 6:00 pm – 1st Floor of Library (Center for Innovative Learning)
(By Invitation Only)

Monday, November 6, 2023

Breakfast served @ 7:00am

Coffee & Networking @ 8:00 am

Call to Order @ 9:00 am – 2nd Floor of Campus Center, Multi-Purpose Room

Citizen Comment

Individuals who wish to participate in Citizen Comment must indicate their name and topic on the signup sheet, which will be available in the meeting room on November 6, 2023 starting at 8:00 am until 8:25 am.

The Board of Trustees provides time for citizen comment prior to the business agenda at each meeting. The Chair of the Board will establish time limits (usually three minutes per person) and determine any questions of appropriateness and relevancy. Personnel decisions, collective bargaining issues, grievances, litigation and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. A person who wishes to speak during the citizen comment period should arrive prior to the meeting start time and sign up on a sheet provided, indicating name and topic of remarks.

Approx. 9:05 am

UMPI CBE/YourPace Presentation

Approx. 9:50 am

Chair's Report

- President's Round Robin

Action Item

Tab 1 – Policy for Student Trustees

Approx: 10:40 am.

Chancellor's Report

- Chancellor's Update
- Introduction of Maine Center CEO

Approx. 10:50 am

Vice Chancellor for Academic & Student Affairs' Report

Tab 2– Vice Chancellor for Academic and Student Affairs Update

Approx 11:15 am.

Vice Chancellor for Strategic Initiatives' Report

Tab 3- Strategic Initiatives Update

Approx .11:40 am

Vice Chancellor for Finance and Administration & Treasurer's Report

Tab 4- Finance & Administration Update

Approx. 12: 20 pm

Lunch Break (Timing of the lunch break will be at the discretion of the Chair)

Approx 1:00 pm.

Action Items

Tab 5 – Campus Budget Changes

Tab 6 – Confirmation of Student Representatives to the Board

Tab 7– University of Maine School of Law Mission Statement

Tab 8– Proposed Changes to Board of Trustee Policy 301.9

Approx. 1:30pm

Consent Agenda

Tab 9- Acceptance of Minutes

Date of the Next Meeting:

January 28-29, 2024, meeting will be held at the University of Maine.

Public Meeting conclude at approximately 1:35 pm.

Executive Session – following the public meeting, if needed

Attachments

Student Members BOT Policy
VCASA BOT Slides
MIP Flash Report
Operating Fund Flash Report
Pension Flash Report
Chancellor Approval Memo for Maine Law Mission
Letter to Chancellor Malloy presenting School of Law Mission Statement

Reports

Agenda Calendar

Bond Report

Capital Projects Bond Report

Capital Projects Summary

November 2023 Management Group Changes

BOV Annual Report 2023

Audit Committee Work Plan FY2024

Tabs noted in red text are action items.

Note: Times are estimated based upon the anticipated length for presentations or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Board.

Board Meeting Logistics for Trustees Email

Send to BOT & Assistants, copy Clerk

Subject: November 2023 Board Meeting Logistics for Trustees

Trustees,

The November 2023 Board meeting will be held in person at the University of Maine at Presque Isle. Parking is located in the Miriam Parking and Library Parking lots - there will be signs placed indicating the lot will be closed for Board Meeting use only. There will also be golf carts available for those who would require additional assistance getting from the lot to the meeting location. I have attached a campus map to help guide you.

Below is an abbreviated schedule for you:

SUNDAY, November 5th AGENDA

2:00 pm to 5:10 pm – Executive Session – (Pullen Hall RM. 210) materials can be located through Diligent.

5:15 pm – 6:00 pm – Reception, 1st Floor of the Library (CIL)

6:00pm –7:30 pm – Dinner, 1st Floor of the Library (CIL)

If you requested a hotel reservation, your room is reserved at the Hampton Inn – Presque Isle 768 Main St Presque Isle ME PH: 207-760-9292. Check in at 3:00pm.

MONDAY, November 6th AGENDA

7:00am – 9:00am - Breakfast & Networking (Campus Center – Multi-Purpose Room, 2nd Floor)

9:00am – 11:55am - Public Meeting

Lunch at the Chairs discretion

12:15pm -1:35pm – Public Meeting Continued

Executive Session Following the Public Meeting if needed (Pullen Hall – Room 210)

**Faculty & Student Representatives Meeting Space – Sunday – Pullen Hall Classroom
Monday – Alumni Room, Campus Center**

Please let me know if you have any questions or need any additional information.

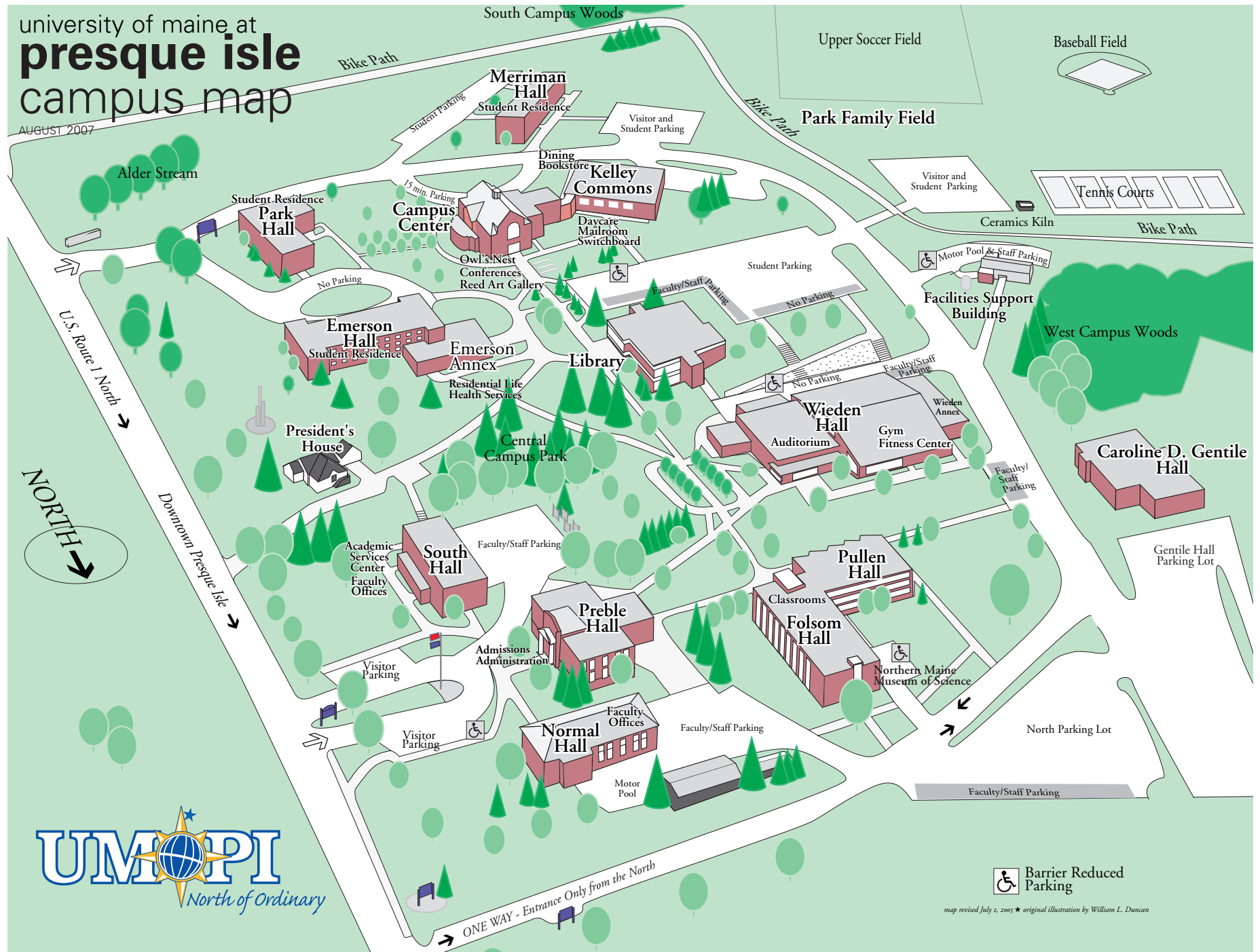
Should you need absolutely anything while traveling or during the board meeting please do not hesitate to contact me:

Work (207) 745-2782

Personal (254) 289-0495

university of maine at presque isle campus map

AUGUST 2007



map revised July 1, 2005 ★ original illustration by William L. Duncan

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Student Trustee Policy

INITIATED BY: Trish Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy enacting the Private and Special Law 2023, Chapter 14 (LD1656), Section 217 Selection of the University of Maine System Board of Trustees Students Members

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Private and Special Law 2023, Chapter 14 requires that effective no later than July 1, 2024, the Board include two student members.

The Governor shall appoint the undergraduate and graduate student members based on the joint recommendations of the relevant student government associations. Nominees are then subject to confirmation by the Maine Legislature. Each student member of the Board serves a two-year term as a full voting member, and is subject to all relevant State laws and the policies and responsibilities of the Board.

As required by the enabling law, this policy, which was subject to an initial reading at the September 2023 Board meeting, details the inclusive student-led process by which student members of the Board are recommended to the Governor for appointment. It was drafted in collaboration with the current member of the Board and the Undergraduate and Graduate Student Representatives to the Board, who also solicited input from their university student government associations and other student stakeholders.

TEXT OF PROPOSED RESULTION:

That the Board of Trustees adopts Section 217, Selection of the University of Maine System Board of Trustees Student Members as a new Board policy that supports implementation of Private and Special Law 2023, Chapter 14.

Attachment

[Student Trustee Policy](#)

Governance and Legal Affairs

Section 217 Selection of University of Maine System Board of Trustees Student Members

Effective: 11/6/23

Responsible Office: Clerk of the Board, Office of the Vice Chancellor of Academic and Student Affairs, University Student Government Associations

Policy Statement

Private and Special Law 2023, Chapter 14 requires that effective no later than July 1, 2024, the University of Maine System Board of Trustees (herein referred to as the Board) include two student members, one who is a full-time undergraduate student in good standing and the other who is a full-time graduate student in good standing. The Governor of the state shall appoint the undergraduate student member based on the joint recommendation of the undergraduate student government associations representing each student body at the University of Maine, the University of Maine at Augusta, the University of Maine at Farmington, the University of Maine at Fort Kent, the University of Maine at Machias, the University of Maine at Presque Isle and the University of Southern Maine and the graduate student member based on the joint recommendation of the graduate student government associations representing each graduate student body at the University of Maine, the University of Southern Maine and the University of Maine School of Law, though the graduate student may be enrolled at any UMS university.

The two student members of the Board may not be from the same institution at the time of their appointment, and each must be enrolled at a different institution than their immediate predecessor.

Each student member of the Board serves a two-year term as a full voting member, and is subject to all relevant State laws and the policies and responsibilities of the Board, including, but not limited to, those detailed in the System's Charter (Private and Special Law 1865, Chapter 532); Board Policy 103, Bylaws of Board of Trustees; Board Policy 206, Ethics Code and Conflict of Interest; and University of Maine System Board of Trustees Operating Principles. Consistent with this, a student member of the Board may not send a proxy in their place to Board meetings in the event they are unable to attend.

This policy details the process by which student members to the Board are recommended to the Governor for appointment and confirmation by the Maine Legislature.

Definitions

Full-time: An undergraduate student shall be deemed to have full-time status if they are matriculated and enrolled in 12 credit hours or more per regular (Fall/Spring) semester. Meanwhile, any matriculated graduate student enrolled in three or more credit hours per regular (Fall/Spring) semester is considered full-time for the purposes of this policy, as are doctoral students who have been admitted to candidacy or who are on approved internships and are enrolled in at least one credit each fall and spring semester.

Good standing: A student shall be deemed to be in good standing if they are not on probation or under suspension for any reason.

Undergraduate student government association: Recognized undergraduate student government associations include: the University of Maine Student Senate, the University of Maine at Augusta General Assembly, the University of Maine at Farmington Student Senate, the University of Maine at Fort Kent Student Senate, the University of Maine at Machias Student Senate, the University of Maine at Presque Isle Student Government Association and the University of Southern Maine Student Government Association.

Graduate student government association: Recognized graduate student government associations include the University of Maine Graduate Student Government, the University of Southern Maine Graduate Student Board and the University of Maine School of Law Student Bar Association.

University of Maine System Student Trustee Selection Council: The UMS Student Trustee Selection Council is responsible on a biennial basis or more frequently as needed for reviewing candidates provided by each eligible student government association and bringing forth a single candidate and an alternate for each position on the Board reserved for a student member.

The members of the Council responsible for selecting the undergraduate student who the Council will recommend to the Governor for appointment to the Board include the President of each undergraduate student government association or their designee and the undergraduate student representatives to the Board. The members of the Council responsible for selecting the graduate student who the Council will recommend to the Governor for appointment to the Board include the President of each graduate student government association or their designee and the graduate student representatives to the Board. In the event that an individual who would otherwise serve as a member of the Council is a candidate, they shall not be eligible to participate in the selection process and the student government association at their university shall designate one of its members to take their place as a Council member.

Prior to July 1, 2024, the Council will be chaired by the current student member of the Board, but they shall not be a voting member. Selection processes that occur after that date will be co-chaired by the President of the student government associations from which the most recent student members of the Board were enrolled, and they shall be voting members.

Procedures

Each undergraduate and graduate student government association shall determine its own process for selecting a candidate to serve as a member of the Board to put forward by Dec. 1, 2023 and every two years thereafter, or as needed because of a vacancy, to the System Student Trustee Selection Council, provided that process is inclusive, fair and that decisions are made entirely by students. Each student government association must adopt a policy detailing its selection process, which must be accessible to all members of the student body, including by being posted on the association's public website. In making their recommendations, both

individual student government associations and the Council shall strive to put forth qualified candidates who are representative of the student body and who enhance the knowledge and diversity of the Board, including as it relates to education; experience; age, gender and gender identity, race, and socio-economic status; and geographic representation within the state.

To inform the selection process, the Board Office shall make a prospectus available on its website that overviews the responsibilities and commitment of a student member of the Board and student government associations are encouraged to make that prospectus available to all interested candidates. Within available resources, the Board Office will also provide administrative support to the Council as requested by the Chair(s), including scheduling and hosting of virtual Council meetings.

The undergraduate student government association from the university where the most recent undergraduate student member of the Board is/was enrolled and the graduate student government association from the university where the most recent graduate student member of the Board is/was enrolled may not bring forth candidates for the Council's consideration, but the Presidents of those associations and the student representatives to the Board from those universities do participate in the Council's selection as detailed above.

By Jan. 15, 2024 and every two years thereafter, or as needed because of a vacancy, the Council shall determine and report to the Board Office its recommendation of an undergraduate student and a graduate student to serve as a student member of the Board, as well as alternates for each position. The Council shall determine its own process for making its recommendations and submit an overview of that to the Board Office, along with the names, contact information, and materials on the recommended candidates that were considered during the selection process (e.g. CV/resume, letter of interest, etc.)

The Board Office shall have no role in vetting of candidates but will provide the candidates the appointment materials required by the Office of the Governor and the Legislature, and will then transmit the Council's recommendation and completed materials to the Office of the Governor no later than Feb. 1, 2024 and every two years thereafter, or within two weeks in the event of a vacancy unless another time frame is mutually agreed upon. Required appointment materials typically include, but may not be limited to, a current resume, tax clearance and the questionnaire for all confirmable positions that must be provided to the Legislature by the Office of the Governor. Candidates are also subject to a background check. If a candidate does not provide completed materials by the aforementioned deadline or if the review of submitted materials by the Office of the Governor prevents the nomination including because of ethical concerns or findings from the background check, the Board Office will notify the Council and begin to work with the alternate candidate recommended by the Council to prepare required appointment materials for submission. In the event that both the candidate and the alternate cannot be appointed by the Governor and confirmed by the Legislature, the Governor shall appoint a student member to the Board at their discretion.

Student members of the Board serve a two-year term until a successor is appointed, except in the event that they are no longer a student at the University of Maine System, they no longer meet the eligibility requirements of this policy and P & SL 1865, Ch. 532, or they resign prior to the expiration of their term, at which point the seat is vacated until a successor is confirmed for a new two-year term. However, a student member of the Board may continue to serve for up to two months following their graduation if they so choose and are available to participate in official Board activities. If a student member of the Board seat becomes vacant, the Board Office will immediately notify the members of the UMS Student Trustee Selection Council, who must recommend a new candidate and an alternate candidate within 60 days, unless a greater duration is practicable due to the Legislature's schedule and mutually agreed upon by the Council and the Board Office.

If the student government associations do not make a joint recommendation by Jan. 15, 2024 and every two years thereafter or as needed, as required by law, the Governor shall appoint the student members to the Board at the Governor's discretion, but shall not appoint a student from the institution at which the most recent student member to the Board was also enrolled.

DRAFT

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Academic and Student Affairs update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY: N/A

UNIFIED ACCREDITATION CONNECTION:

The University of Maine System's Micro-Credentialing initiative embodies the principles and aims of Unified Accreditation. Collaborative to its core, UMS Micro-Credentialing engages faculty, staff, academic leaders, and students across our universities in the development of digital badges and related micro-credentials. This work expressly supports student success and career preparation, and has been regionally and nationally recognized for its quality.

This presentation reflects the progressive fulfillment of the following Action and Goal in the 2023-2028 University of Maine System Strategic Plan:

Commitment 1, Action 3: UMS will be the statewide leader in adult degree completion, credentialing, industry certifications, and licensure preparation.

Commitment 1, Action 3, Goal 3.1: UMS will deliver degree completion, credentialing, and certification programs that achieve national recognition for their innovative delivery methods and accelerated rates of success and completion.

BACKGROUND:

Vice Chancellor for Academic and Student Affairs Jeffrey St. John will introduce Claire Sullivan, Assistant Vice Chancellor for Digital Badges and Micro-Credentials. Dr. Sullivan will update the Board on the UMS Micro-Credentialing initiative, and she and Vice Chancellor St. John will answer questions.

Attachment

[VCASA PowerPoint Presentation](#)



UMS Micro-Credential Update

Board of Trustees

November 6, 2023

Claire Sullivan, Ph.D.

Assistant Vice Chancellor for Innovation in
Digital Badges and Micro-Credentials



Micro-Credential Strengths



Flexible onramps and LLL



Access and Equity



Affordable



Rapidly respond



New revenue streams, New markets



Enrollment, Retention



Stacking, Learner confidence



Signal verified skills/PD

Strategic Priorities/Plans

- 01 State: Workforce Goals/Reports
- 02 UMS BOT Declaration of Strategic Priorities
- 03 UMS Strategic Plan, ADC, R&D
- 04 Campus Strategic Plans
- 05 UMS, UA and aligns with UMS TRANSFORMS- Pathways to Careers



**Beyond the
pilot**

**Growth and
scaling**

Recommendations to the BOT, May 2019

- M-C **Framework**
- M-C **Design** Process
- M-C **Steering Committee**
- **Glossary** of terms
- **Workforce** Micro-Credentials
- **Stackable** credentials
- Selected a single **platform with MCCS**
- Concept of a **Comprehensive Learner Record**
- Plan for **Communication, Education, and Professional Development**
- Linkage between **Academic and Career Services**
- Addressed **sustainability and long-term** funding



UMS Micro-Credential Initiative Guiding Principles



- Competency-based & Quality Assured (Rutgers model); Assessments, Standards
- Workforce development



- Application of learning
- Collaboration across the system and state



- Aligned to a unified framework: Decrease confusion



University of Maine System Framework



Level 1

Introduction and Foundational

- Introduction to topic
- Foundational work needed to succeed at Level 2



Level 2

Training and Practice

- Courses, Online Modules, Bootcamps, Certifications, Workshops, Training
- May earn micro-badges & Industry Credentials



Level 3

Application and Feedback

- Internships
- Experiential learning/PBL/SL
- Other Work-based learning/jobs

“The framework provides standardization of the format and helps ensure all micro-credentials meet the same level of rigorous requirements. This is necessary for UMS micro-credentials to be valuable to all stakeholders.” (M-C Developer)



Macro-badge



Micro-Credential



Future Pathways:

- Additional micro-credentials
- Continued learning opportunities
- Employment, Promotions
- Onboard into degrees/certificates

“I appreciate how every Micro-Credential requires some kind of application of the knowledge being demonstrated. This is a great way to guarantee to employers that an individual has the skills they need to be successful.” (M-C Developer)

“What stood out to me the most is its [UMS M-C Framework] ability to transform existing programming into verifiable credentials for the people of Maine.” (M-C Developer)

Micro-Badges: Flexibility



- Granular skill or skill set
- Assessment of competencies
- Stand alone or embedded within a pathway
- Added value
- Internally or externally created
- Industry-Recognized Credentials or Third-party badges



What else is in place? - UMS M-C Structure

- **UMS M-C Development** course (in Brightspace);
L1 open to all; earn their own m-c.
- **Templates**
 - Micro-Credential Pathway meta-data
 - Micro-badge meta-data
 - Campus website template
 - Infographic template
- **Badge Style Guide**
- **Badge Issuing Processes, Platform, Website**
- **Registration/E-commerce pilot (Course Merchant)**
- **Best practices:** Instructional design, Online T&L M-C
- Feedback for **continuous improvement**



By the Numbers - All campuses have awarded badges

Pathways developed = 35+

Badges developed = 195+

Badges Issued = 4726

Top badges issued:

- Dawnland: 676
- Leadership L1: 472
- EDL's Oral Communication: 395
- Innovation L1: 235

About 800 EDL 21st Century skills badges have been issued.

With support, there is lots of room to grow!



What's been developed?

UMS: Leadership, Career Prepared, Global Competency, Innovation, Aquaculture, 4-H STEM Ambassadors, Undergraduate Research Scholar, Deep Technology Entrepreneurship, Business Services Apprenticeship (MMG), Financial Literacy, Information Literacy, and [Advanced Structures and Composites Center](#).

ADULT LEARNERS/PD: Project Management, Grant Writing, Computer Support Specialist, Food Sanitation, Financial Literacy, Intro to GIS, Dawnland: Maine Indian History (micro-badge), Inclusive Education, Maine PBIS. Mediation, Mentoring the Next Generation of Teachers

UMS FACULTY/STAFF: Adult L&T, Online T&L, **UMS M-C Development**
[UM Cooperative Extension offerings](#)

YOUTH: 4-H Outdoor Leadership, Youth Aquaculture (with micro-badges), Windstorm Challenge

Google Career Certificates, 21st Century Skills badges

EXTERNAL: MDOE (CSS, ECE, CCMA), Oral Health Navigators (Revenue).



Advanced Structures and Composites Center

Developed M-C Pathways:

- Deep Technology Entrepreneurship
- Large AM Operations
- Small Scale 3D Printer Operator
- Windstorm Challenge (youth)
- Wind/Wave Model Testing

Micro-Badges: Introduction to Offshore Wind Energy, Measurement Systems, Introduction to Textiles, Career Essentials-ASCC, Design for AM, Polymer Manuf. Processes, Polymer Structures and Processes, Fundamentals of Strain Gage Installation, Lab Safety and Security, Fabrication for Measurement Systems, Fundamentals of Electromechanical Instron Operation, Fundamentals of Hydraulic Instron Operation, Fundamentals of Electronics



Grow with Google

ACE-Recommended Credits

Google
Career
Certificates

75% of Google Career Certificate Graduates in the US report an improvement in their career trajectory (e.g., new job or career, promotion or raise) within 6 months of certificate completion.*

*Based on program graduate survey, United States 2022.

- IT Support – 12 CR
- Data Analytics – 12 CR
- Project Management – 9 CR
- User Experience (UX) Design - 10 CR
- Digital Marketing/E-Commerce – 9 CR



NEW - Advanced:

- IT Automation with Python
- Advanced Data Analytics

5 - 7.5 months at 10 hrs. week

No cost first 500 (Dec. 2025), **200 enrollments**



What's Next?

- Cluster Courses: 3-4 credit-bearing courses
- CPL - Academic credits -
Stacking to certificates & degrees
- Registration system - beyond pilot
- Data/Tracking, Tech integrations
- Third-party content decisions
- Comprehensive Learner Record
- Market & Expand - Mini-grants
- UPCEA Consortium



QUESTIONS?

Claire Sullivan, Ph.D. - claires@maine.edu

UMS Badging Platform Public Page -

<https://ums.badgr.com/public/issuers/ArAvadq0TXWQX0GJZccfRQ/badges>

UMS Micro-Credential website -

<https://www.maine.edu/student-success/micro-credentials/>



University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Strategic Initiatives (VCSI) Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

University of Maine System leadership is committed to fostering collaboration and synergy among its universities by seeking ways to help them share resources, streamline messaging, achieve greater efficiency, and maintain consistency in their operations.

BACKGROUND:

At the November 2023 Board of Trustees meeting, the Acting Vice Chancellor for Strategic Initiatives (VCSI) Carolyn Dorsey will offer an update on timely system-wide initiatives including the following items:

UMS Strategic Plan Implementation Update

Acting Vice Chancellor Dorsey, with Vice Chancellor Jeffrey St. John, Trustee Emily Cain and Director of Strategic Initiatives Jennifer O’Leary will offer updates on Strategic Plan implementation efforts and actions to date. The update will include the continued discussion with internal and external stakeholders, receipt of University goals, completion of timeline, and KPI considerations.

8/22/2023

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Finance and Administration Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

Policy 701 – [Operating & Capital Budgets](#)

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

Vice Chancellor Low will provide a brief investment update.

[Attachment](#)

[MIP Flash](#)

[OF Flash](#)

[Pension Flash](#)

University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

September 30, 2023

4.1

	Allocation			Performance (%)							
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
MIP Composite	349,654,612	100.0	100.0	-3.1	-2.6	5.9	12.2	4.1	4.2	5.2	4.8
Allocation Index				-3.0	-2.4	6.6	13.6	5.1	5.0	6.0	5.5
Policy Index				-3.0	-2.3	6.7	14.2	4.9	4.9	6.2	5.6
Total Domestic Large Cap	86,131,971	24.6	25.0	-5.0	-3.6	12.7	21.2	10.0	9.8	12.1	11.7
S&P 500 Index				-4.8	-3.3	13.1	21.6	10.2	9.9	12.2	11.9
SSgA S&P 500	21,164,738	6.1	0.0	-4.8	-3.3	13.0	21.5	10.1	9.8	12.2	11.8
S&P 500 Index				-4.8	-3.3	13.1	21.6	10.2	9.9	12.2	11.9
SSgA Fossil Fuel Free S&P 500 Index	64,967,233	18.6	25.0	-5.0	-3.7	-	-	-	-	-	-
S&P 500 Fossil Fuel Free Index				-5.0	-3.7	-	-	-	-	-	-
Total Domestic Small/Mid Cap	16,973,367	4.9	5.0	-5.1	-4.4	4.2	14.5	13.1	6.9	10.3	9.1
Russell 2500 Index				-5.6	-4.8	3.6	11.3	8.4	4.5	8.0	7.9
Westfield Capital	8,361,436	2.4	2.5	-5.6	-7.6	4.6	11.7	4.1	5.9	10.6	9.1
Russell 2500 Growth Index				-6.0	-6.8	5.6	10.6	1.0	4.0	8.8	8.4
DFA	8,611,931	2.5	2.5	-4.7	-1.2	4.3	17.5	22.4	6.8	9.0	8.3
Russell 2000 Value Index				-5.2	-3.0	-0.5	7.8	13.3	2.6	5.9	6.2
Global Equity	33,227,329	9.5	10.0	-5.6	-7.0	8.7	22.6	5.8	-	-	-
MSCI World Index (Net)				-4.3	-3.5	11.1	22.0	8.1	-	-	-
Walter Scott Global Equity	33,227,329	9.5	10.0	-5.6	-7.0	8.7	22.6	5.8	-	-	-
MSCI World Index (Net)				-4.3	-3.5	11.1	22.0	8.1	-	-	-
Total International Equity (including emerging markets)	64,503,147	18.4	19.0	-3.3	-2.8	7.0	19.6	-0.2	0.4	2.4	1.9
MSCI AC World ex USA (Net)				-3.2	-3.8	5.3	20.4	3.7	2.6	4.7	3.3
Silchester International Value Equity	18,184,561	5.2	5.0	-1.8	1.1	11.6	31.6	-	-	-	-
MSCI EAFE (Net)				-3.4	-4.1	7.1	25.6	-	-	-	-
JO Hambro	15,745,853	4.5	5.0	-4.0	-5.3	7.8	22.3	-3.0	-	-	-
MSCI EAFE (Net)				-3.4	-4.1	7.1	25.6	5.8	-	-	-
Axiom International Small Cap Equity	7,103,919	2.0	2.0	-5.7	-4.0	2.0	11.7	-	-	-	-
MSCI EAFE Small Cap (Net)				-4.4	-3.5	1.8	17.9	-	-	-	-



University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

September 30, 2023

4.1

	Allocation			Performance (%)							
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Emerging Markets Equity	23,468,814	6.7	7.0	-3.3	-3.6	5.2	13.0	2.1	3.8	3.0	1.8
<i>Emerging Markets Equity Custom Benchmark</i>				-2.3	0.0	7.7	17.4	4.4	3.7	5.5	3.6
Aberdeen Emerging Mkts	16,541,372	4.7	5.0	-4.0	-5.5	-0.6	9.1	-4.3	0.7	1.7	1.1
<i>MSCI Emerging Markets (Net)</i>				-2.6	-2.9	1.8	11.7	-1.7	0.6	3.2	2.1
Mondrian EM Small Cap	6,927,442	2.0	2.0	-1.7	0.5	16.9	23.2	10.1	7.5	4.6	-
<i>MSCI Emerging Markets Small Cap</i>				-2.1	2.9	13.7	23.1	10.6	6.5	6.0	-
Total Fixed Income	94,621,556	27.1	26.5	-1.4	-1.1	2.1	3.6	-0.9	1.7	1.7	2.4
<i>Blmbg. U.S. Aggregate Index</i>				-2.5	-3.2	-1.2	0.6	-5.2	0.1	-0.1	1.1
Commonfund	22,623,979	6.5	6.5	-2.6	-3.3	-0.7	0.6	-4.6	0.1	0.3	1.5
<i>Blmbg. U.S. Aggregate Index</i>				-2.5	-3.2	-1.2	0.6	-5.2	0.1	-0.1	1.1
Fidelity Intermediate Treasury Bond Index (FAUMX)	17,687,087	5.1	5.0	-2.3	-3.1	-	-	-	-	-	-
<i>Blmbg. U.S. Treasury Index</i>				-2.2	-3.1	-	-	-	-	-	-
Vanguard Inflation-Protected Securities	17,135,578	4.9	5.0	-1.8	-2.6	-0.8	1.0	-2.0	2.0	1.3	-
<i>Blmbg. U.S. TIPS</i>				-1.8	-2.6	-0.8	1.2	-2.0	2.1	1.5	-
Blackrock Strategic Income Opportunities	16,953,461	4.8	5.0	-0.9	-0.3	1.8	3.4	0.4	2.2	-	-
<i>Libor 3 month Index</i>				0.5	1.5	3.9	5.2	2.2	2.1	-	-
Bain Capital Senior Loan Fund	20,221,450	5.8	5.0	0.9	3.7	10.9	12.7	6.1	4.6	-	-
<i>Credit Suisse Leveraged Loan Index</i>				0.9	3.4	9.9	12.5	5.9	4.3	-	-
Total GAA	25,558,857	7.3	7.5	-0.8	-1.3	-2.7	-0.6	0.4	1.1	1.9	2.0
<i>40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*</i>				-2.1	-1.9	3.6	7.8	0.6	2.6	4.3	4.3
Newton Global Real Return	25,558,857	7.3	7.5	-0.8	-1.3	-2.7	-0.6	0.6	2.9	2.4	-
<i>40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills</i>				-2.1	-1.9	3.6	7.8	0.6	2.8	3.2	-
Total Hedge Funds	26,297,634	7.5	7.0	-0.6	0.5	3.0	4.3	6.2	6.2	6.0	4.4
<i>HFRI Fund of Funds Composite Index</i>				-0.5	0.5	2.8	4.6	3.8	3.4	3.8	3.3
Lighthouse	26,297,634	7.5	7.0	-0.6	0.5	3.0	4.3	6.2	6.2	6.4	-
<i>Credit Suisse Long/Short Equity</i>				-0.9	0.4	6.5	12.5	5.4	4.2	5.1	-



September 30, 2023

University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

4.1

	Allocation			Performance (%)							
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Private Equity	986,929	0.3	0.0	0.0	0.0	-2.7	-3.9	7.2	3.4	7.9	-
Landmark Equity Partners XV	986,929	0.3	0.0	0.0	0.0	-2.7	-3.9	7.2	3.4	7.9	-
<i>CJA US All PE (1 Qtr Lag)</i>				2.8	2.8	6.8	7.4	21.4	15.4	16.0	-
Total Cash	1,353,822	0.4	0.0								
Distribution Account	1,353,822	0.4	0.0	0.3	0.8	3.3	3.8	1.5	1.4	1.3	0.9
<i>90 Day U.S. Treasury Bill</i>				0.5	1.3	3.6	4.5	1.7	1.7	1.5	1.1

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+

Emerging Markets Equity Custom Benchmark consists of MSCI EM from Inception to 5/31/2019 and 50% MSCI EM / 50% MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.



DISCLAIMERS & DISCLOSURES

4.1

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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv





University of Maine System Operating Fund

TOTAL FUND PERFORMANCE

September 30, 2023

	Allocation			Performance (%)							
	Market Value (\$)	% of Portfolio	Policy(%)	1 Mo (%)	FYTD (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Operating Funds Composite	278,794,748	100.0	100.0	-0.6	0.4	3.6	5.7	2.0	3.0	2.9	2.6
<i>Allocation Index</i>				-0.5	0.2	4.2	5.9	1.6	2.6	2.6	2.5
Liquidity Pool Composite	96,143,812	34.5	30.0	0.3	1.2	3.1	3.7	1.6	1.7	1.5	1.1
State Pool	70,511,137	25.3		0.3	0.9	2.3	2.9	1.4	1.6	1.5	1.1
BOA General Fund	913,582	0.3		0.1	0.4	1.4	1.8	1.0	0.9	0.7	0.5
Federated Gov't Obligations	9,977,536	3.6		0.5	1.6	4.6	5.6	2.1	1.9	1.6	-
JP Morgan US Gov't Money Market Fund	14,741,557	5.3		0.4	1.8	4.6	5.5	2.1	1.9	1.6	-
<i>90 Day U.S. Treasury Bill</i>				0.5	1.3	3.6	4.5	1.7	1.7	1.5	-
Income Pool Composite	121,851,659	43.7	47.5	-0.5	0.3	2.9	4.3	-0.4	1.7	1.5	1.7
Income Research + Management	69,393,393	24.9	26.0	-0.1	0.8	2.4	3.6	-0.3	1.8	1.4	1.4
<i>Blmbg. 1-3 Year Gov/Credit index</i>				0.0	0.7	1.9	2.8	-0.7	1.2	1.0	1.0
BlackRock Strategic Income Opportunities	17,978,724	6.4	7.0	-0.9	-0.3	1.7	3.4	0.4	2.2	2.5	-
<i>Libor 3 month Index</i>				0.5	1.5	3.9	5.2	2.2	2.1	1.9	-
Baird Aggregate Bond Fund - BAGIX	19,515,737	7.0	7.5	-2.5	-3.2	-0.9	1.3	-	-	-	-
<i>Blmbg. U.S. Aggregate Index</i>				-2.5	-3.2	-1.2	0.6	-	-	-	-
Bain Senior Floating Rate Fund	14,963,805	5.4	7.0	0.9	3.5	10.7	12.5	-	-	-	-
<i>Credit Suisse Leveraged Loan Index</i>				0.9	3.4	9.9	12.5	-	-	-	-
Total Return Pool Composite	60,799,277	21.8	22.5	-2.4	-1.3	5.4	11.9	6.3	6.0	6.4	5.3
Lighthouse	12,975,928	4.7	5.0	-0.6	0.5	3.0	4.3	6.2	6.2	6.3	-
<i>Credit Suisse Long/Short Equity</i>				-0.9	0.4	6.5	12.5	5.4	4.2	5.1	-
Newton Global Real Return	10,305,508	3.7	4.0	-0.8	-1.0	-2.4	-0.3	0.7	3.0	-	-
<i>40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills</i>				-2.1	-1.9	3.6	7.8	0.6	2.8	-	-
PIMCO All Asset	10,101,341	3.6	4.0	-2.5	-2.2	1.7	9.1	5.3	4.1	4.5	3.8
<i>PIMCO All Asset Index III</i>				-2.3	-1.8	1.3	5.8	0.4	2.7	2.7	2.4
Silchester International Value Equity	8,749,845	3.1	3.3	-1.7	1.2	11.7	31.8	-	-	-	-
<i>MSCI EAFE (Net)</i>				-3.4	-4.1	7.1	25.6	-	-	-	-
SSgA Fossil Fuel Free S&P 500 Index	18,666,655	6.7	6.2	-5.0	-3.7	-	-	-	-	-	-
<i>S&P 500 Fossil Fuel Free Index</i>				-5.0	-3.7	-	-	-	-	-	-

4.2



September 30, 2023

University of Maine System Operating Fund

TOTAL FUND PERFORMANCE

Notes:

Returns are net of manager fees.

The inception date for the allocation index is 07/01/2009

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate / 30% Bloomberg U.S. TIPS 1-10YR / 10% S&P 500 / 10% Bloomberg High Yield / 10% JPM EMBI+ Composite excludes external loans.

Blackrock SIO changed its share class in May 2018 to BSIKX.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.

4.2



DISCLAIMERS & DISCLOSURES

4.2

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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



University of Maine System Pension Plan

September 30, 2023

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)								
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)	
Pension Composite	18,378,131	100.0	100.0	-2.9	-3.4	2.7	7.0	1.9	3.8	4.1	4.0	
Allocation Index				-2.5	-2.0	4.1	8.2	2.9	4.0	4.6	4.7	
Policy Index				-2.4	-2.0	4.0	8.4	2.8	4.0	4.7	4.8	
Total Global Equity	5,396,648	29.4	30.0	-5.4	-6.8	9.4	23.5	6.0	-	-	-	
MSCI World Index (Net)				-4.3	-3.5	11.1	22.0	8.1	-	-	-	
Walter Scott Global Equity Fund	5,396,648	29.4	30.0	-5.4	-6.8	9.4	23.5	6.0	-	-	-	
MSCI World Index (Net)				-4.3	-3.5	11.1	22.0	8.1	-	-	-	
Emerging Markets Equity	661,519	3.6	3.0	-1.6	0.5	16.9	23.2	10.1	7.5	4.6	2.9	
Emerging Markets Equity Benchmark				-2.1	2.9	13.7	23.1	10.6	6.7	7.7	5.1	
Mondrian EM Small Cap	661,519	3.6	3.0	-1.6	0.5	16.9	23.2	10.1	7.5	4.6	-	
MSCI Emerging Markets Small Cap				-2.1	2.9	13.7	23.1	10.6	6.5	6.0	-	
Total Fixed Income	7,547,652	41.1	48.0	-1.8	-1.9	0.9	2.9	-2.8	1.1	0.9	1.7	
Blmbg. U.S. Aggregate Index				-2.5	-3.2	-1.2	0.6	-5.2	0.1	-0.1	1.1	
Baird Aggregate Bond Fund - BAGIX	4,410,399	24.0	25.0	-2.5	-3.1	-0.7	1.4	-	-	-	-	
Blmbg. U.S. Aggregate Index				-2.5	-3.2	-1.2	0.6	-	-	-	-	
Fidelity Intermediate Treasury Bond Index (FAUMX)	811,549	4.4	5.0	-2.3	-3.1	-	-	-	-	-	-	
Blmbg. U.S. Treasury Index				-2.2	-3.1	-	-	-	-	-	-	
Vanguard Inflation-Protected Securities	403,717	2.2	8.0	-1.8	-2.6	-0.8	1.0	-2.0	2.0	-	-	
Blmbg. U.S. TIPS				-1.8	-2.6	-0.8	1.2	-2.0	2.1	-	-	
BlackRock Strategic Income Opportunities	924,462	5.0	5.0	-0.9	-0.3	1.7	3.4	0.4	2.2	-	-	
Libor 3 month Index				0.5	1.5	3.9	5.2	2.2	2.1	-	-	
Bain Capital Senior Loan Fund	997,525	5.4	5.0	0.9	3.7	10.9	12.7	6.1	4.6	-	-	
Credit Suisse Leveraged Loan Index				0.9	3.4	9.9	12.5	5.9	4.3	-	-	
Total GAA	1,408,391	7.7	8.0	-0.8	-1.2	-2.7	-0.6	0.6	2.8	2.9	2.7	
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				-2.1	-1.9	3.6	7.8	0.6	2.6	4.3	4.3	
Newton Global Real Return	1,408,391	7.7	8.0	-0.8	-1.2	-2.7	-0.6	0.6	3.0	2.4	-	
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				-2.1	-1.9	3.6	7.8	0.6	2.8	3.2	-	

4.3



University of Maine System Pension Plan

September 30, 2023

TOTAL FUND PERFORMANCE**4.3**

	Allocation			Performance (%)							
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Total Alternative Investments	716,477	3.9	5.0	-0.6	0.5	3.0	4.3	6.2	6.2	6.0	4.7
<i>HFRI Fund of Funds Composite Index</i>				-0.5	0.5	2.8	4.6	3.8	3.4	3.8	3.3
Lighthouse	716,477	3.9	5.0	-0.6	0.5	3.0	4.3	6.2	6.2	6.4	-
<i>Credit Suisse Long/Short Equity</i>				-0.9	0.4	6.5	12.5	5.4	4.2	5.1	-
Total Real Assets	1,526,232	8.3	3.0								
Principal	1,526,232	8.3	3.0	-2.7	-4.0	-8.9	-14.6	5.6	4.5	5.7	7.5
<i>NCREIF ODCE</i>				-2.0	-2.0	-7.6	-12.2	7.1	5.6	6.4	8.1
Total Cash	1,121,212	6.1	3.0								
Distribution Account	1,121,212	6.1	3.0	0.2	1.1	3.1	3.8	1.3	1.3	1.2	0.8
<i>90 Day U.S. Treasury Bill</i>				0.5	1.3	3.6	4.5	1.7	1.7	1.5	1.1

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+ Emerging Markets Equity Benchmark consists of MSCI EM from inception to 5/31/2019 and MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv





University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: FY2024 Proposed Operating Budget Revisions

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy 701 – [Operating & Capital Budgets](#)

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The FY2024 budgets have been revised by the universities and shown below.

Vice Chancellor for Finance and Administration & Treasurer Ryan Low, along with the universities, will briefly present the FY2024 proposed operating budget revisions. Most changes reflect enrollment, residence hall occupancy and general operating expenses. Below is a comparison of the FY2024 original vs. revised budgets for a) operations b) annual credit hours and c) residence hall occupancy for all universities. UMF, USM, and LAW will resolve budget gaps by January 2024.

OPERATING BUDGETS

		REVENUE BUDGET			EXPENSE BUDGET			NET CHANGE	
		ORIGINAL	REVISED	CHNG	ORIGINAL	REVISED	CHNG		
UM/UMM	E&G	263,068,658	258,649,393	(4,419,265)	270,003,969	266,274,044	3,729,925	(689,340)	Use Reserves
	AUX	48,133,951	48,661,319	527,368	48,631,797	49,159,165	(527,368)	-	
UMA	E&G	44,210,224	43,210,224	(1,000,000)	43,918,816	42,918,816	1,000,000	-	
UMF	E&G	30,991,048	28,628,055	(2,362,993)	30,991,048	29,382,196	1,608,852	(754,141)	Resolved by 1/24
	AUX	8,049,395	7,325,630	(723,765)	8,049,395	7,325,630	723,765	-	
UMFK	E&G	14,173,528	14,178,474	4,946	14,733,001	14,737,702	(4,701)	245	
	AUX	922,218	1,038,365	116,147	1,819,745	1,936,137	(116,392)	(245)	
UMPI	E&G	19,447,341	20,255,831	808,490	19,362,033	20,042,933	(680,900)	127,590	\$46K improvement
	AUX	1,766,738	1,675,315	(91,423)	2,112,425	2,102,841	9,584	(81,839)	
USM	E&G	119,982,797	116,082,797	(3,900,000)	120,519,989	117,519,989	3,000,000	(900,000)	Resolved by 1/24
	AUX	23,860,337	22,360,337	(1,500,000)	23,323,145	23,323,145	-	(1,500,000)	
LAW	E&G	10,704,569	9,227,160	(1,477,409)	12,035,750	10,775,750	1,260,000	(217,409)	Resolved by 1/24
TOTAL				(14,017,904)			10,002,765	(4,015,139)	

10/26/2022

CREDIT HOUR ENROLLMENT (excl. Early College)

	ORIGINAL	REVISED	CHANGE
UM	258,137	257,139	(998)
UMM	9,465	8,961	(504)
UMA	64,056	61,768	(2,288)
UMF	38,453	35,416	(3,037)
UMFK	14,151	15,330	1,179
UMPI	42,527	46,211	3,684
USM	136,186	142,077	5,891
LAW	7,848	7,900	52
TOTAL	570,823	574,802	3,979

EARLY COLLEGE ENROLLMENT

	ORIGINAL	REVISED	CHANGE
UM	4,777	3,961	(816)
UMM	2,694	1,317	(1,377)
UMA	7,463	7,412	(51)
UMF	1,937	1,863	(74)
UMFK	4,544	4,300	(244)
UMPI	4,579	5,457	878
USM	7,900	7,431	(469)
TOTAL	33,894	31,741	(2,153)

RESIDENCE HALL OCCUPANCY

	ORIGINAL	REVISED	CHANGE
UM	3,151	3,120	(31)
UMM	112	114	2
UMA	77	77	-
UMF	713	650	(63)
UMFK	96	109	13
UMPI	185	174	(11)
USM	1,599	1,599	-
TOTAL	5,933	5,843	(90)

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the FY2024 proposed operating budget revisions.

10/26/2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Confirmation of Faculty & Student Representatives to the Board of Trustees

INITIATED BY: Trish Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy 205 – Faculty & Student Representatives to the Board of Trustees

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

To create the environment for interaction among and between Faculty and Student Representatives, the Trustees and System administration, the Trustees have provided opportunities for participation in the meetings of the committees of the Board.

One faculty member and one undergraduate student from each of the seven universities and one graduate student from the University of Southern Maine and one graduate student from the University of Maine will be appointed by the Board as non-voting representatives to the Board of Trustees and invited to participate as non-voting members on the standing committees.

Normally, the representative is expected to complete a two-year term; therefore, it is an expectation that the minimum term of service by Faculty and Student Representatives to the Board be two years. The nominations will be forwarded through the Presidents or Dean of the Law School to the Chancellor for submission to the Board for Trustee approval.

The following nominations are being recommended by the campus:

Student Representative:

Benjamin Doherty - UM – Reappointed for a 2-year term – November 2023 to September 2025.

Jacob Carter, UMM – Appointed for a 2-year term – November 2023 to November 2025

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the appointments of the Student Representatives to the Board of Trustees as presented.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: University of Maine School of Law Mission Statement

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

- Board Policy 301: Mission and Strategic Plan Policy
- PROPOSED Board Policy 301.9: University of Maine School of Law Mission

UNIFIED ACCREDITATION CONNECTION:

The University of Maine System Strategic Plan Commitment 5, Action 3, Goal 3.5 states that “The Board of Trustees, Chancellor, and Presidents will ensure regular review of System, University and Law School missions and their internal alignment.”

BACKGROUND:

The University of Maine School of Law proposes to update its current mission statement:

“The University of Maine School of Law is the state’s public and only law school, a vital resource serving our local, regional, national, and global community. Maine Law is an institutional public servant committed to providing an accessible and affordable student-focused program of legal education and achieving the highest standards of ethical behavior.

Our rigorous doctrinal and experiential curriculum, influential scholarship, and signature programming prepare students to practice law, promote respect for the rule of law, and advance justice for all members of society.”

The proposed new mission statement is:

MISSION STATEMENT

Maine Law’s mission is to advance justice and leadership in a changing world. We provide an exceptional, inclusive, and student-centered legal education and serve our local and global communities through teaching, scholarship, and public service.

VALUES

We pursue our mission through the implementation of our values by an engaged faculty and staff who encourage the advancement of justice for all members of society, promote a system of just laws, and provide students with the knowledge, ethical framework, and skills needed for a career in the law.

- 1. Inclusion and community:** Creating a collaborative culture for the study of law that supports diversity, equity, and inclusion.
- 2. Academic excellence:** Providing students with an exceptional legal education through a comprehensive and diverse course of study.
- 3. Experiential learning:** Preparing the next generation of lawyers and legal professionals for the modern practice of law through practical opportunities inside and outside the classroom.
- 4. Professionalism:** Instilling the highest ethical standards and a strong sense of professional identity.
- 5. Public service:** Promoting service to our community and the legislative, judicial, and executive branches.
- 6. Leadership:** Training the next generation of leaders in Maine and beyond.
- 7. Collaboration:** Fostering an interdisciplinary approach to teaching, research, and service.

The proposal was reviewed at the all appropriate faculty and administrative levels at University of Maine School of Law. Chancellor Malloy signed his approval of the proposed University of Maine School of Law mission on October 4, 2023. This item will be forwarded to the November 2023 Board of Trustees meeting as an information item and will be presented at the January Board meeting for approval.

The Academic and Student Affairs Committee forwarded this item to be forwarded to the November 6, 2023 Board of Trustees meeting for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Academic & Student Affairs Committee and approves this change Maine School of Law Mission.

Attachment

- [Chancellor Approval Memo for UM Law Mission](#)
- [Letter to Chancellor Malloy presenting School of Law Mission Statement](#)



7.1

**Vice Chancellor for
Academic and
Student Affairs
15 Estabrooke Drive
Orono, ME 04469**

**Tel: 207-973-3211
Fax: 207-581-9212
www.maine.edu**

Date: October 5, 2023

To: Dannel Malloy, Chancellor
University of Maine System (UMS)

From: Jeffrey St. John, VCASA *J St John*

Regarding: University of Maine School of Law Mission Statement

The University of Maine

University of Maine
at Augusta

University of Maine
at Farmington

University of Maine
at Fort Kent

University of Maine
at Machias

University of Maine
at Presque Isle

University of
Southern Maine

Please find the attached proposal from the University of Maine School of Law regarding its new mission statement. The attached material includes documentation of university-level support, including approval from President Leigh Saufley. I also recommend this proposed mission statement for your approval.

The proposed new University of Maine School of Law mission statement will be reviewed by the Academic and Student Affairs Committee of the Board of Trustees Committee on October 16, 2023 and, if approved, reviewed by the Board of Trustees at the November 5-6, 2023 meeting

I approve	I do not approve for the reasons listed below	Additional information needed for decision	Action
✓			Approve the University of Maine School of Law Mission Statement

Dannel Malloy

10.4.2023

Chancellor Dannel Malloy

Date



Office of the President & Dean

October 3, 2023

Dannel Malloy
Chancellor
University of Maine System
5703 Alumni Hall
Orono, ME 04469-5703

7.2

Dear Chancellor Malloy:

I write to seek your approval and that of the Board of Trustees for the new Mission Statement and Statement of Values for the University of Maine School of Law.

The new Mission Statement and Statement of Values were developed by the Maine Law faculty and administration through a collaborative process that coincides with Maine Law's ongoing work in developing a new Strategic Plan. This updated work also assures that Maine Law has freshly considered its path forward as we prepare for the accreditation review and site visit by the American Bar Association.

A draft of the Mission Statement was presented and discussed by the faculty at its strategic planning retreat on May 24, 2023. The draft was voted on and unanimously approved by the full faculty. Similarly, there was faculty consensus that an updated Statement of Values that will help us implement our mission. Work on the values continued after that meeting and has recently received final approval of our faculty and administration. I also fully support both the mission statement and the values.

If the statements meet your approval, I understand that the next steps include a review by the ASA and, again if approved, placement on the Board's November Agenda. Thank you, as always, for your support for Maine Law.

All the Best,

A handwritten signature in blue ink, appearing to read "Leigh Saufley".

Leigh Saufley, President & Dean
University of Maine School of Law

Enclosure

cc: VCASA Jeff St. John
Interim Clerk of the Board Kayla Flewelling
Dmitry Bam



The proposed new Maine Law Mission Statement reads as follows:

Maine Law’s mission is to advance justice and leadership in a changing world. We provide an exceptional, inclusive, and student-centered legal education and serve our local and global communities through teaching, scholarship, and public service.

7.2

The proposed new Value Statements are as follows:

Inclusion and community: Creating a collaborative culture for the study of law that supports diversity, equity, and inclusion.

Academic excellence: Providing students with an exceptional legal education through a comprehensive and diverse course of study.

Experiential learning: Preparing the next generation of lawyers and legal professionals for the modern practice of law through practical opportunities inside and outside the classroom.

Professionalism: Instilling the highest ethical standards and a strong sense of professional identity.

Public service: Promoting service to our community and the legislative, judicial, and executive branches.

Leadership: Training the next generation of leaders in Maine and beyond.

Collaboration: Fostering an interdisciplinary approach to teaching, research, and service.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Proposed Changes to Board of Trustee Policy 301.9 – *Maine School of Law Mission*

INITIATED BY: David M. MacMahon, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

- Board Policy 301: Mission and Strategic Plan Policy
- PROPOSED Board Policy 301.9: University of Maine School of Law Mission

UNIFIED ACCREDITATION CONNECTION:

The University of Maine System Strategic Plan Commitment 5, Action 3, Goal 3.5 states that “The Board of Trustees, Chancellor, and Presidents will ensure regular review of System, University and Law School missions and their internal alignment.”

BACKGROUND: The Board of Trustees, as the legal governance body for the University of Maine System, establishes the policies that enable the System institutions to provide higher education of excellent quality to Maine and its citizens. One of the most important Board responsibilities is the approval of mission statements and strategic plans for the System and for the universities.

The current Board Policy Section 301 addresses the mission statements of all universities within the System. However, the Maine School of Law does not have a sub-section with a Board-approved mission statement represented. Therefore, a resolution was presented to add sub-section 301.9 to Policy 301 to appropriately document the Maine School of Law mission statement.

The proposed new mission statement is:

MISSION STATEMENT

Maine Law’s mission is to advance justice and leadership in a changing world. We provide an exceptional, inclusive, and student-centered legal education and serve our local and global communities through teaching, scholarship, and public service.

VALUES

We pursue our mission through the implementation of our values by an engaged faculty and staff who encourage the advancement of justice for all members of society, promote a system of just laws, and provide students with the knowledge, ethical framework, and skills needed for a career in the law.

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- 5. Public service:** Promoting service to our community and the legislative, judicial, and executive branches.
- 6. Leadership:** Training the next generation of leaders in Maine and beyond.
- 7. Collaboration:** Fostering an interdisciplinary approach to teaching, research, and service.

The proposal was reviewed at all faculty and administrative levels at the Maine School of Law. Chancellor Malloy signed his approval of the proposed Maine School of Law mission on October 5, 2013.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendations of the Academic and Student Affairs Committees and approves this change to Board Policy 301.9 – Maine School of Law Mission.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Acceptance of Minutes

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The following minutes will be presented to the Board of Trustees for approval at the November 6, 2023 Board meeting:

September 10-11, 2023 – Board of Trustees Meeting

The Board of Trustees website link to the minutes is: <http://www.maine.edu/about-the-system/board-of-trustees/meeting-minutes/>

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the minutes as presented.

2018 State Bond Project Status Report
Active Bond Projects
November 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMA								
Total Bond for Campus					\$0	\$0	\$0	
UMF								
274 Front St Renovation (2100096)	Construction in Progress	2020	2023	Bond (50%), Grants (50%)	\$1,400,000	\$1,400,000	\$3,100,000	Board approved up to \$3.1M in January 2022. \$1.4m in 2018 bonds, the remaining is from gifts, Maine Jobs Recovery Act funds and other congressional earmarks.
Olsen Center Renovations (2100102)	Construction in Progress	2023	2023	Bond (100%)	\$300,000	\$187,104	\$300,000	
Campus ADA Ramps (2100104)	Construction in Progress	2021	2023	Bond (100%)	\$100,000	\$60,043	\$100,000	
Roberts HVAC Upgrade (2100106)	Construction in Progress	2021	2023	Bond (100%)	\$150,000	\$90,211	\$150,000	
Ricker Addition Renovation (2100108)	Design in Progress	2021	2023	Bond (100%)	\$175,000	\$55,619	\$175,000	
Scott West Renovation (2100110)	Construction in Progress	2021	2023	Bond (100%)	\$175,000	\$77,341	\$175,000	
FRC Façade Replacement (2100112)	Construction in Progress	2022	2023	Bond (100%)	\$925,000	\$119,976	\$925,000	Board approved up to \$925,000 in May 2022.
*Dorm parking lot Paving (2100114)	Bidding in Progress	2023	2024		\$60,000	\$0	\$60,000	
Security Camera&Phone Install (2100115)	Construction in Progress	2023	2023	Bond (100%)	\$100,000	\$35,912	\$100,000	
**CAMPUS ESCO Project (2100117)	Construction in Progress	2024	2024	BOA Lease Oblig(100%) 2018 Bond & Campus (<1%)	\$169,821	\$8,600	\$5,063,363	Board approved up to \$11.7M in November 2022.
Exterior Merrill Hall (2200096)	Construction in Progress	2020	2023	Bond (100%)	\$450,000	\$69,465	\$450,000	
Total Bond for Campus					\$4,004,821	\$2,104,270	\$10,598,363	
UMFK								
UMFK Enrollment/Advancement Center (3100042)	Substantially Complete	2022	2023	Bond (100%)	\$2,990,000	\$2,904,353	\$3,249,000	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
Total Bond for Campus					\$2,990,000	\$2,904,353	\$3,249,000	

2018 State Bond Project Status Report
Active Bond Projects
November 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UM								
Neville Hall Renovation (5100534)	Substantially Complete	2021	2023	Bond (100%)	\$1,500,000	\$1,434,136	\$1,500,000	Board approved up to \$1.5M expenditure in March 2021.
**R-UMM Science Bldg Reno (5100581)	Construction in Progress	2022	2024	Bond (100%)	\$50,000	\$46,628	\$50,000	
**R-Campus Paving & Grounds Upgr (5100650)	Design in Progress	2023	2024	Bond (100%)	\$400,000	\$57,537	\$400,000	
**R-Dorward Hall Black Bear Lnge (5100666)	Bidding in Progress	2024	2024	Bond (100%)	\$450,000	\$35,381	\$450,000	
**R-UMM O'Brien ADA Access Ramp (52000741)	Substantially Complete	2022	2023	Bond (100%)	\$50,000	\$19,016	\$50,000	
**R-Powers Hall Building Upgra (5200776)	Design in Progress	2023	2024	Bond (100%)	\$425,000	\$13,054	\$425,000	
R-Dorward Allergen Free Kitchen (5200786)	Substantially Complete	2023	2023	Bond (100%)	\$26,000	\$5,739	\$26,000	
**R-Powers Hall Envelope Repair (5200802)	Pre-Design in Progress	2023	2024	State Appropriation (100%) Bond (0%)	\$50,398	\$0	\$225,000	
Total Bond for Campus					\$2,951,398	\$1,611,489	\$3,126,000	
USM								
Center of Excel. Teacher Ed. (6100299)	Construction in Progress	2023	2023	Campus Funds (32%) State Bond (41%) Gifts (27%)	\$250,000	\$250,000	\$925,000	Board approved \$700k in January 2023; Board approved increase to \$925k in March 2023
**Career and Student Success Center (6100325)	Substantially Complete	2022	2023	Bond (76%) Gifts (12%) E&G (8%) Revenue Bond (4%)	\$18,950,000	\$18,950,000	\$26,600,000	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined with the residence hall project in January 2020. Board approved an increase by \$93.7M in February 2021, of that amount, the specific budget for the CSSC is \$26.6M.
**USM Dubyak Center (6100342)	Substantially Complete	2022	2023	Gifts (2%), State Bond(44%) Grant(54%)	\$989,500	\$989,500	\$2,750,000	Board approved up to \$2.5 million in January, 2022. Additional \$250,000 authorized by Chancellor June 29, 2023. \$10.5k moved to % for Art (6200306).
Upper Class Pipe Insul Replmnt (6100366)	Complete	2022	2023	Bond (100%)	\$112,584	\$54,571	\$112,584	
LAC Deferred Maint Projects (6100367)	Construction in Progress	2022	2023	Bond (100%)	\$300,000	\$122,452	\$300,000	
IPE Lab Science Renov (6200286)	Substantially Complete	2022	2023	Bond (9%) Gifts (91%)	\$80,000	\$80,000	\$980,000	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
Portland Percent Art (6200306)	Design in Progress	2024	2024	Bond (100%)	\$70,500	\$26,628	\$70,500	
Total Bond for Campus					\$20,752,584	\$20,473,150	\$31,738,084	

2018 State Bond Project Status Report
Active Bond Projects
November 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMPI								
Wieden Renovation Bond (7100025)	Substantially Complete	2020	2023	2018 State Bonds (50%), Gifts(1%), Grants (19%), Campus Reserves/Internal Loan(30%)	\$3,757,280	\$3,590,805	\$7,652,280	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	Complete	2020	2023	Bond (100%)	\$759,720	\$719,300	\$759,720	Board approved \$800K March, 2020. Reduced to allow Wieden funding.
Total Bond for Campus					\$4,517,000	\$4,310,104	\$8,412,000	
Totals:					\$35,215,803	\$31,403,367	\$57,123,447	

Completed Bond Projects								
Augusta Campus Welcome Center (1100077)	Closed	2021	2021	Bond (100%)	\$350,388	\$350,388	\$350,388	UMA
Randall 2nd Floor Renovations (1100083)	Complete	2021	2022	Bond (100%)	\$93,492	\$93,492	\$93,492	UMA
Randall Center Student Lounge (1100084)	Complete	2021	2022	Bond (100%)	\$143,675	\$143,675	\$143,675	UMA
Randall Welcome Center (1100085)	Complete	2021	2022	Bond (100%)	\$1,741,576	\$1,741,576	\$1,741,576	UMA
Bangor Campus Welcome Center (1100534)	Complete	2021	2022	Bond (95%) E&G (5%)	\$462,308	\$462,308	\$486,141	UMA
Jewett Hall Boiler Design Work (1200062)	Complete	2021	2021	Bond (100%)	\$305,000	\$321,287	\$321,287	UMA
ACC Nursing Upgrades (1200082)	Complete	2022	2022	Bond (95%) E&G (5%)	\$43,341	\$43,341	\$45,856	UMA
**Randall Admissions Renovations (1200083)	Complete	2021	2023	Bond (47%) E&G (38%) HEERF (15%)	\$172,275	\$172,275	\$368,620	UMA
Dearborn Gym Hot Water Upgrades (2100087)	Complete	2019	2022	Bond (90%) Energy Bond (10%)	\$764,755	\$764,755	\$848,752	UMF
274 Front St Acquisition (2100089)	Complete	2019	2019	Bond (100%)	\$850,820	\$850,820	\$850,820	UMF
Scott Hall Renovations (2100092)	Complete	2019	2022	Bond (100%)	\$193,660	\$193,660	\$193,660	UMF
Dakin Hall Shower Renovations (2100093)	Complete	2019	2022	Bond (100%)	\$95,707	\$95,707	\$95,707	UMF
Lockwood Hall Shower Renovations (2100094)	Complete	2019	2022	Bond (100%)	\$87,103	\$87,103	\$87,103	UMF
Stone Hall Renovations (2100095)	Complete	2019	2022	Bond (100%)	\$181,117	\$181,117	\$181,117	UMF
UMF Campus Paving (2100097)	Complete	2019	2019	Bond (100%)	\$97,338	\$97,338	\$97,338	UMF
FRC Floor Renovation (2100098)	Complete	2019	2019	Bond (100%)	\$209,503	\$209,503	\$209,503	UMF
Mantor Library Renovations (2100103)	Complete	2021	2022	Bond (100%)	\$270,503	\$270,503	\$270,503	UMF
Dakin Flooring, Ceiling, Light (2100105)	Complete	2021	2021	Bond (100%)	\$206,187	\$206,187	\$206,187	UMF
Merrill Hall HVAC Upgrade (2100107)	Design Complete	2021	2022	Bond (100%)	\$35,127	\$35,127	\$35,127	UMF
Scott North Renovation (2100109)	Complete	2021	2022	Bond (100%)	\$98,605	\$98,605	\$98,605	UMF
FRC Roof Replacement (2100111)	Complete	2021	2022	Bond (100%)	\$325,000	\$308,727	\$325,000	UMF
**Lockwood Hall Heat Conversion (2100116)	Complete	2023	2023	Bond (100%)	\$464,318	\$464,318	\$464,318	UMF
Scott South Renovations (2200102)	Complete	2022	2022	Bond (100%)	\$132,222	\$132,222	\$132,222	UMF
Stone Hall Suite Conversion (2200109)	Complete	2022	2022	Bond (100%)	\$194,947	\$194,947	\$194,947	UMF
**CHP Boiler door rebuild (2200110)	Complete	2023	2023	Bond (100%)	\$2,059	\$2,059	\$2,059	UMF

2018 State Bond Project Status Report
Active Bond Projects
November 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
Completed Bond Projects Continued								
**FAB Emergency lighting (2200113)	Complete	2023	2023	Bond (100%)	\$9,870	\$9,870	\$9,870	UMF
**Underground fuel tank removal (2200115)	Complete	2023	2023	Bond (100%)	\$22,230	\$22,230	\$22,230	UMF
UMM Science Building Roof Repl (4100042)	Complete	2020	2020	Bond (100%)	\$280,487	\$280,487	\$280,487	UMM
UMM Dorward Hall Roof Replacement (4100043)	Complete	2020	2020	Bond (100%)	\$296,092	\$296,092	\$296,092	UMM
UMM Sennett Roof Replacement (4100044)	Complete	2020	2020	Bond (100%)	\$201,257	\$201,257	\$201,257	UMM
UMM Reynolds Renewal (4100047)	Complete	2021	2022	Bond (100%)	\$320,475	\$320,475	\$320,475	UMM
UMM Reynolds Center Roof Repair (4200044)	Complete	2020	2020	Bond (100%)	\$154,226	\$154,226	\$154,226	UMM
UMM Site Work (4200045)	Complete	2020	2020	Bond (100%)	\$57,365	\$57,365	\$57,365	UMM
UMM Dorward Hall Roofing (4200048)	Complete	2021	2022	Bond (100%)	\$32,939	\$32,939	\$32,939	UMM
UMM Science Bldg Rm 010 Renovation (5100575)	Complete	2021	2022	Bond (100%)	\$100,885	\$100,885	\$100,885	UMM
R-Dorward Hall Access Upgrade (5100596)	Complete	2022	2023	Bond (100%)	\$133,276	\$133,276	\$133,276	UMM
**R-Science Building Drainage (5200777)	Complete	2023	2023	Bond (100%)	\$20,175	\$20,175	\$20,175	UMM
R-UMM Building Signage (5200778)	Complete	2022	2022	Bond (100%)	\$26,856	\$26,856	\$26,856	UMM
Woodward Hall Renovations (6100301)	Complete	2019	2019	Bond (86%) E&G (14%)	\$1,008,395	\$1,008,395	\$1,172,840	USM
Ricci Lecture Hall Renovations (6100308)	Complete	2019	2020	Bond (31%) Gifts (43%), E&G (26%)	\$172,010	\$172,010	\$564,197	USM
Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Complete	2019	2022	Bond (35%) E&G (65%)	\$1,460,000	\$1,460,000	\$4,160,740	USM
**Nursing Simulation Lab Science (6100327)	Complete	2021	2022	Bond (100%)	\$1,410,000	\$1,405,623	\$1,410,000	USM
**Upper Class Hall Online Locks (6100369)	Complete	2022	2023	Bond (100%)	\$376,123	\$376,123	\$376,123	USM
Totals:					\$13,603,688	\$13,599,326	\$17,184,037	
GRAND Total (Active and Completed Projects)					\$48,819,492	\$45,002,692	\$74,307,484	
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. Completed projects will remain on this report unless otherwise specified. *** Projects will be removed from the report.	Funding source(s) reflects primary source(s) for project.	Calendar Year unless otherwise noted.						Bond Funding expended reflects total expended as of August 31, 2023.

**Capital Project Status Report
Board Approved Projects
November 2023 - Board of Trustees**

With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMA									
Medical Laboratory Technology (1100093)	Campus Funds(40%) Grant(15%) Internal Loan(45%)	Construction in Progress	2023	2024	\$1,650,000	\$2,000,000	\$367,584	18.4%	Board approved \$1,650,000 in March, 2022. Board approved increase to \$2M in January 2023.
Camden Hall Vet Tech (1100095)	Campus Funds(21%) Internal Loan(79%)	Substantially Complete	2023	2023	\$1,600,000	\$1,600,000	\$1,289,067	80.6%	Board approved \$1,600,000 in March, 2022.
***Handley Hall A/C replacement (1200029)	Campus Funds (11%),HEERF(89%)	Substantially Complete	2020	2023	\$575,000	\$1,230,000	\$233,843	19.0%	Board approved \$575K in September, 2019. Board approved \$1.2M in Emergency Relief Funds and up to \$30k in Campus Funds funds in Sept '21.

UMF

274 Front St Renovation (2100096)	Bond (50%), Grants (50%)	Construction in Progress	2020	2023	\$450,000	\$3,100,000	\$2,797,340	90.2%	Board approved up to \$3.1M in January 2022.
FRC Façade Replacement (2100112)	Bond (100%)	Construction in Progress	2022	2023	\$925,000	\$925,000	\$119,976	13.0%	Board approved up to \$925,000 in May 2022.
Campus ESCO Project (2100117) (2100118-131 & 2200116-131)	BOA Lease Oblig(100%) 2018 Bond and Campus Funds (<1%)	Construction in Progress	2024	2024	\$11,700,000	\$11,700,000	\$7,411,281	63.3%	Board approved up to \$11.7M in November 2022.
**Purington Restroom Renovation (2100133)	State Appropriation (100%)	Bidding in Progress	2024	2024	\$2,700,000	\$2,700,000	\$37,247	1.4%	Board approved \$2.7M in July 2023
**Mallett restroom renovation (2100134)	State Appropriation (100%)	Bidding in Progress	2024	2024	\$2,700,000	\$2,700,000	\$37,346	1.4%	Board approved \$2.7M in July 2023

UMFK

UMFK Enrollment/Advancement Center (3100042)	2018 State Bond (100%)	Substantially Complete	2022	2023	\$3,249,000	\$3,249,000	\$2,904,353	89.4%	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
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Capital Project Status Report
Board Approved Projects
November 2023 - Board of Trustees

With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UM									
UM Ferland Engineering, Education and Design Center (5100458, 5100493, 5100546, 5200604)	Campus Funds (3%), State Approp (24%) Gifts (31%), 2022 Revenue Bond (10%) Notes Payable(32%)	Substantially Complete	2024	2024	\$1,000,000	\$78,000,000	\$70,641,322	90.6%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Additional \$63M BOT approved March, 2020. Initial occupancy of this facility is expected in 2022; final completion in 2024. Board authorized up to \$78M in Jan' 22.
UM Energy Center Phase II (5100516, 5100517, 5200774)	Campus Funds (68%) Grants (24%), 2022 Revenue Bond(8%)	Design in Progress	2023	2023	\$5,700,000	\$5,700,000	\$1,036,996	18.2%	Board approved \$5.7M March, 2019.
Neville Hall Renovations (5100534)	State Bond (100%)	Substantially Complete	2021	2023	\$1,500,000	\$1,500,000	\$1,434,136	95.6%	Board approved up to \$1.5M expenditure in March 2021.
**ASCC Secure Clean Lab Suite (5100560)	Grants (100%)	Design in Progress	2023	2023	\$2,451,268	\$4,332,006	\$1,940,383	44.8%	Board authorized \$2,451,268 in March 2022. Board approved increase to \$4,332,006 August 2023.
***Steampit SA10 (5100563)	Campus Funds (100%)	Complete	2022	2022	\$640,000	\$640,000	\$548,220	85.7%	Board authorized \$640,000 in May 2022.
ASCC Building Addition GEM Lab (5100579)	Grants (81% -MJRP) Operating Reserves (19%)	Design in Progress	2025	2025	\$1,500,000	\$15,300,000	\$2,979,385	19.5%	Board approved \$1.5M May 2021. Board authorized additional \$13.8M September 2022.
HVAC Systems & Controls Upgrades (5100587, 5100647, 5100654)	Campus Funds (100%)	Pre-Design in Progress	2024	2024	\$10,000,000	\$10,000,000	\$180,848	1.8%	Board authorized up to \$10M in May 2022.
**UM Priority 1 Athletics fields (Soccer - 5100593, Field Hockey - 5100594, Softball - 5100597)	Gifts (7%) HAF Grant (54%) Internal Loan(39%)	Substantially Complete (5100594, 5100597); Pre-Design in Progress (5100593)	2023	2024	\$14,000,000	\$33,000,000	\$13,852,193	42.0%	Board authorized \$14M in January 2022. Board authorized additional \$19M in September 2022.
**UM Phase 2 Projects (Baseball Stad. Scoreboard - 5100652, Alford Arena Video Boards - 5100653, Shawn Walsh Renovation - 5100658, Master Plan - 5200696) Athletics Infrastructure (5100672)	HAF Grant (26%) Internal Loan (74%)	Construction in Progress (5100652, 5100672); Substantially Complete (5100653). Design in Progress (5100658,5100672, 5200696)	2024	2024	\$7,000,000	\$5,000,000	\$1,814,563	36.3%	Board authorized \$7M in September 2022. Reduced to \$5M to reflect up to \$2M for Mahaney Dome replacement project (5100664)
**Modernization of Witter Farm (5100631, 5200846, 5200847)	System Reserves (29%) Campus Funds (71%)	Design and Bidding in Progress	2023	2024	\$800,000	\$2,600,000	\$348,832	13.4%	Authorized by FFT at June, 2022 meeting. Increase to \$2.6M approved by BOT July 2023.
**Boudreau Hall renovation (5100663)	Gifts (100%)	Construction in Progress	2023	2023	\$700,000	\$700,000	\$210,908	30.1%	Board authorized \$700,000 in March 2023.
Mahaney Dome replacement (5100664)	Campus(100%)- Will be Insurance proceeds	Construction in Progress	2023	2023	\$3,000,000	\$3,000,000	\$963,744	32.12%	Board authorized \$3M in March 2023 - partially funded from August 2022 HAF Athletics authorization.
UM Adaptive Reuse project/Historic P3 (5200661 5200765)	Campus Funds- Aux and E&G Reserves (100%)	Construction in Progress	2023	2024	\$2,000,000	\$3,000,000	\$1,721,939	57.4%	Board authorized for UM contribution of up to \$2M in October 2021. Board authorized additional \$1M in March 2022.

**Capital Project Status Report
Board Approved Projects
November 2023 - Board of Trustees**

With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
USM									
Center for Teaching Innovation (6100299)	Campus Funds (32%) State Bond (41%) Gifts (27%)	Construction in Progress	2023	2023	\$700,000	\$925,000	\$613,880	66.4%	Board authorized \$700,000 in January 2023; increase to \$925,000 authorized in March 2023.
USM Center for the Arts (6100300)	Gifts (100%)	Construction in Progress	2022	2025	\$1,000,000	\$63,000,000	\$4,898,066	7.8%	Board approved \$1M in January, 2018. Board authorized an additional \$3.2M for a total of \$4.2M in November 2021. Board authorized new budget of \$63M in March 2023.
**Career and Student Success Center and Portland Residence Hall (6100325, 6100338)	2018 State Bond (21%), 2022 Revenue Bond (53%) Notes Payable (21%) Campus Funds(2%) Gifts(3%)	Substantially Complete	2020	2023	\$1,000,000	\$100,600,000	\$91,287,993	90.7%	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined for the two projects in January 2020. Board approved an increase by \$93.7M in February 2021. Chancellor approved additional \$1.2M for Res. Hall in June, 2022.
Structured Parking Garage (6100331)	Campus Funds (<1%) 2022 Revenue Bond (95%) Notes Payable (5%)	Substantially Complete	2022	2023	\$1,200,000	\$23,500,000	\$21,253,647	90.4%	Board approved in March 2020 with initial spending limit of \$400,000; addtl \$800,000 authorized by the Chancellor and VCFA and Treasurer in April, 2021. Board authorized a new total of \$23m in November, 2021. Chancellor approved additional \$0.5M in June, 2022.
Academy Building Renovation (6100332)	Campus Funds (100%)	Construction in Progress	2022	2023	\$800,000	\$1,300,000	\$883,301	67.9%	Authorized by FFT at June, 2022 meeting. Board approved additional \$500,000 in October 2022.
**USM Dubyak Center (6100342)	Gifts (2%), State Bond(44%) Grant(54%)	Substantially Complete	2022	2023	\$2,500,000	\$2,750,000	\$2,260,917	82.2%	Board approved up to \$2.5 million in January, 2022. Additional \$250,000 authorized by Chancellor June 29, 2023.
Relocation of Deering Farmhouse (6100360)	Campus Funds (100%)	Construction in Progress	2025	2025	\$2,000,000	\$2,000,000	\$502,230	25.1%	Board authorized \$2M in January 2023 with a limit of \$565k in Campus funding. Additional \$750k of Campus funding authorized in August 2023.
***Hannaford Field Turf Repl (6100362)	Campus Funds (100%)	Substantially Complete	2022	2022	\$900,000	\$900,000	\$796,299	88.5%	Board approved up to \$900,000 in March 2022.
USM IPE Lab (6200286)	Gifts (91%) State Bonds(9%)	Substantially Complete	2022	2023	\$482,000	\$980,000	\$914,295	93.3%	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.

**Capital Project Status Report
Board Approved Projects
November 2023 - Board of Trustees**

With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMPI									
UMPI Solar Array (7100023)	Campus Funds (100%)	Complete	2020	2022	\$700,000	\$1,144,240	\$1,020,896	89.2%	Board approved \$700K June, 2020. Board approved an increase to \$1,144,240 during the August 2021 Executive Committee.
Wieden Renovation Bond (7100025)	2018 State Bonds (50%), Gifts(1%), Grants (19%), Campus Reserves/Internal Loan(30%)	Substantially Complete	2020	2023	\$3,757,000	\$7,652,280	\$7,222,544	94.4%	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	2018 State Bonds (100%)	Complete	2020	2023	\$800,000	\$760,000	\$719,300	94.6%	Board approved \$800K March, 2020. Budget reduced by \$40K due to funds to Wieden Renovation.
Park Hall Improvements (7100029)	State Appropriation 100%	Substantially Complete	2023	2023	\$662,000	\$662,000	\$617,967	93.3%	Board approved \$662,000 at June 2022 FFT meeting.
Emerson Hall Improvements (7100032)	State Appropriation 100%	Construction in Progress	2024	2024	\$920,000	\$920,000	\$367,238	39.9%	Board approved \$920,000 at April 25, 2023 FFT meeting
Kelley Commons Dining Upgrades (7100033)	Campus Funds (100%)	Design in Progress	2023	2024	\$750,000	\$750,000	\$1,113	0.1%	Board approved \$750,000 at June, 2023 FFT meeting

UMS/Law School									
300 Fore St Portland Renovation (8100152)	Gifts (16%), Campus Funds(66%), State Appropriation (18%)	Substantially Complete	2022	2022	\$6,000,000	\$13,827,396	\$13,740,030	99.4%	Board approved \$6M September 2021. Board approved increase to \$11.5M in Jan '22. Board authorized additional \$1,327,396 in March 2022.

\$413,646,922 \$259,971,219

HAF projects which are currently below board level

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Total Expense to Date	Prior Actions, Information & Notes
UM - Engineering Ph III - MCECIS Master Planning (5200692)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	480,485.48	HAF Funded/Cost share project. Below Board level.
UM - Morse field Turf Replacement (5100559)	Campus Funds (59%) Gifts (41%)	Complete	2021	2021	445,516.76	HAF Funded/Cost share project. Below Board level.

<p>Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. *** This project has been completed since the last report and is not expected to appear on the next report. Highlighted: Board level HAF and P3 Projects</p>	Funding source(s) reflects primary source(s) for project.		Calendar Year unless otherwise noted.			Percentage expended reflects total expended as of August 31, 2023 as a percentage of the current approved project estimate.
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Executive Summary

The Capital Project Status Report for the November 5-6, 2023, meeting of the Board of Trustees of the University of Maine System is attached. This report provides a comprehensive update on the status of capital projects, market impacts, 2018 bond project status, research space approvals, and specific project details.

Key Capital Project Highlights:

- **Total Projects:** 38 projects are currently active, with one removal since the last report at UMA.
- **Approved Project Estimates:** Over \$400 million, with nearly 63% expended to date.
- **Project Highlighting:**
 - Yellow: Current P3 projects.
 - Green: Projects at Board approval level utilizing Harold Alfond Foundation (HAF) grant and matching money.
 - Separate Table: HAF projects below Board approval level.
- **2018 Bond Funds:** A few projects funded with 2018 bond funds remain on the Capital report pending the Percent for Art process realization.

COVID-19 and Current Market Impacts on Capital Construction

- Market instability, equipment delays, and labor shortages continue to create challenges for the bidding and estimating climate.
- The monthly change in the Producers Price Index (published by the US Bureau of Labor Statistics) for construction has declined over the past six months compared to the previous two years, providing hope that price increases will slow down.

2018 Bond Project Status Report

- **Active Projects:** 29
- **Closed Projects:** 43
- **Total Projects:** 72
- **Funding:** Nearly all of the \$49 million in voter-approved general obligation bond funding has been allocated, with approximately \$45 million expended.
- **Supplemental Funding:** Over \$74 million, including bond funding and other resources.
- **Reporting:**
 - Projects above Board Threshold also appear on Capital Project report.
 - As projects are closed, they are moved to the closed section and will remain on the report until all projects are completed.

Research Space Approvals

- No new approvals for Chancellor-approved increases in University-owned or occupied space for research purposes.

Specific Project Updates

UMF Campus ESCO Project:

- **Building Automation System and Energy Management Control Systems:** 75% complete, including the replacement of Variable Air Volume units.
- **New Biomass Boiler and Plant Addition:** In construction; expectation to fire new boiler

by mid-October for campus heating.

- **Roof Top Units:** Delivery and installation ongoing and coordinated around building occupancy.
- **Completion Timeline:** Most work will be complete by Fall 2023, with some items extending to summer 2024.

UMA Handley Hall A/C Replacement Project:

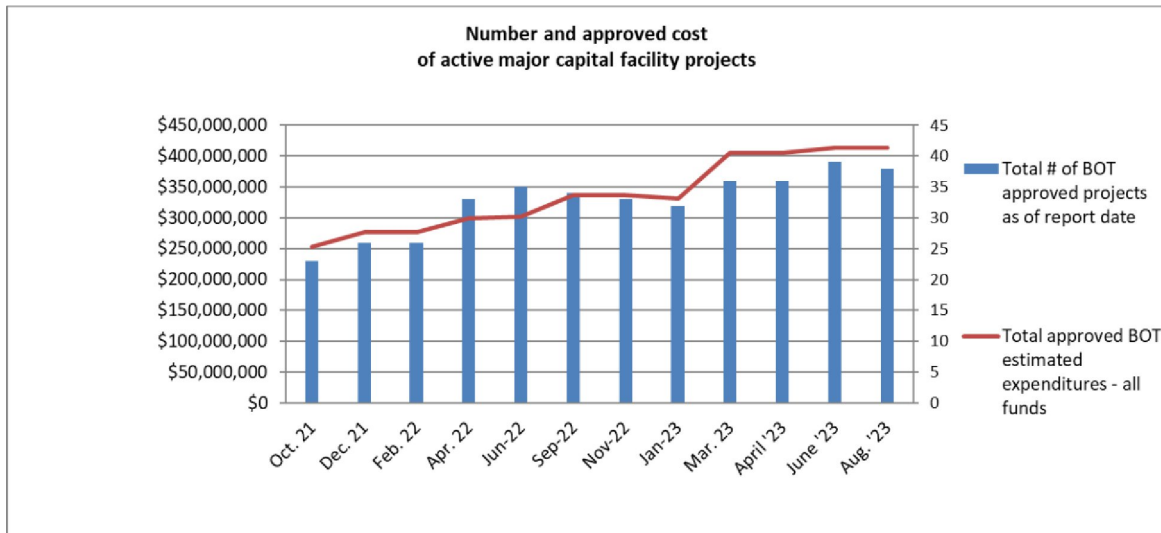
- **Scope and Budget Reduction:** This project is now complete. Due to long lead times for the initially designed equipment and a short-term deadline for expenditures of the funding, the scope of work within Handley Hall was reduced. The work included installation of split units/heat pumps to provide cooling and supplemental heating. The remaining funding was redistributed to multiple other projects at UMA and UMA-B to complete additional improvements in line with the requirements of the HEERF funding source such as, classroom technology improvements, replacement of soft furniture with hard surface furniture and heating, ventilation, and cooling projects.

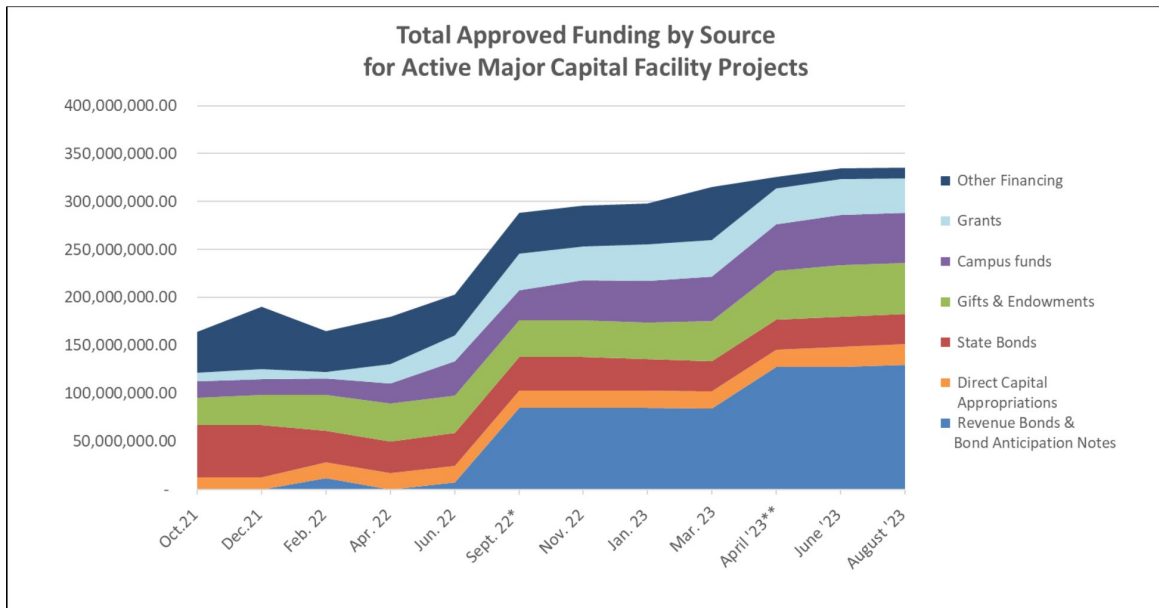
UMA Medical Laboratory Technology Project:

- **Construction Update:** The best location to build this laboratory within existing UMA space was determined to be in Robinson Hall. The project is under construction now, renovating approximately 2/3 of the building for the new laboratory, while the other 1/3 remains occupied. The work is expected to be complete by the end of 2023 with a spring semester 2024 occupancy.

UM Boudreau Hall Renovation

- **Construction Update:** This project is in construction and is anticipated to be complete in time for occupancy for the upcoming Spring semester. Work includes construction of a new accessible toilet room, and upgrades to student, faculty and staff spaces.



**Data Notes:****Explanation of Funding Categories:**

- **Direct Capital Appropriations Funds:** These consist of State capital appropriations in anticipation of UMS revenue bonding, as well as State MEIF funds. They represent specific allocations made by the State for capital projects.
- **Campus Funds:** This category may include internal loans or other funding mechanisms that are generated and managed within the University's financial structure.
- **Other Financing:** This category includes interim financing, master lease agreements, and other miscellaneous sources as available and/or expended. Due to the complexity and lower relative value of the funding sources in this category, such amounts are not reclassified if later refunded with other sources.
- **Reason for Categories:** These categories help in understanding the various sources of funding for the projects and provide transparency in how funds are allocated, utilized, and reported.

April 2023 - Reclassification of Bond Anticipation Note (BAN):

- **Details:** In April 2023, there was a reclassification of the Bond Anticipation Note (BAN) from the category "Other financing" to "Revenue Bonds & BANs."
- **Reason:** This reclassification was made to better align project funding sources and ensure that the categorization accurately reflects the nature of the BAN within the financial reporting structure.

September 2022 - Adjustment to Reflect Incorrect Funding Sources:

- **Details:** The data for September 2022 was adjusted to correct inaccuracies in the reporting of funding sources that were identified in the October 2022 report.
- **Reason:** This adjustment ensures that the historical data accurately represents the funding sources and aligns with the correct categorization and accounting principles.

10/05/2023



**University of Maine System
Management Group Appointments/Changes
Board of Trustees Meeting November 2023**

Campus	Name	Position Title	Effective Date	Prior Salary	New/Current Salary	Previous Position Title	Notes
U GOV	Jennifer L. O'Leary	Director of Strategic Initiatives and Partnerships	9/21/2023		161,710	Director of Strategic Projects	Title Change
U GOV	Seth Goodall	Chief Executive Officer, Maine Center Ventures	10/1/2023		220,000		New Hire
U GOV	Ryan W. Low	Vice Chancellor for Finance and Administration	7/1/2023	264,764	295,000		Satisfactory Performance Review
UMA	Joseph S. Szakas	Vice President of Academic Affairs/Provost	7/1/2023		163,798	Interim President	Title Change
UMA	Jenifer Cushman	President of the University of Maine at Augusta	7/1/2023		240,000		New Hire
UMPI	Alana Margeson	Dean of Academic Affairs	7/1/2023	70,106	115,000	Assistant Professor	New Appointment
USM	Jeremy S. Qualls	Dean of College of Science Technology and Health	8/15/2023	166,097	171,080	Vice President for Research and Innovation and Dean of College of Science, Technology, and Health	New Appointment
USM	Gina M. Guadagnino	Chief of Staff & Executive Director, Public Affairs	10/1/2023	128,750	135,000		Equity Increase
USM	Justin Swift	Chief Business Officer and Vice President of Finance and Administration	9/1/2023	118,178	175,000	Associate Vice President of Finance	New Appointment



Office of the Chancellor
15 Estabrooke Drive
Orono, ME 04469

October 20, 2023

Tel: 207-973-3205
www.maine.edu

Dannel P. Malloy
Chancellor

To: Kayla Flewelling, Interim Clerk of the Board

From: Dannel P. Malloy, Chancellor

Subject: Boards of Visitors Annual Reports

The University of Maine

The University of Maine System is required to include as a part of the annual report to the Joint Standing Committee on Education and Cultural Affairs the annual reports of the activities of the various Boards of Visitors.

University of Maine
at Augusta

University of Maine
at Farmington

The general format and reporting year of September to August is consistent with past years and was mutually agreed upon by the Presidents. The annual reports from all universities within the University of Maine System, with the exception of the University of Maine School of Law which does not have a Board of Visitors, are attached for submission to the Board of Trustees as an information item with the November Board meeting materials.

University of Maine
at Fort Kent

University of Maine
at Machias

Please reach out with any questions you may have.

University of Maine
at Presque Isle

University of
Southern Maine

Encl.

University of Maine
School of Law

BOARD OF VISITORS ANNUAL REPORT

Board of Visitors
University of Maine
Annual Report
Academic Year – September 2022 to August 2023

Overview

Chair Michael Bourque, Vice Chair position remains vacant, President Ferrini-Mundy, members of her cabinet and board of visitor members engaged in strategic discussions related to NECHE accreditation, UMS TRANSFORMS and ongoing enrollment and budget initiatives. The board of visitors met in person three times and six times virtually.

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Membership

During the academic year 2022-2023, six new members joined the board. The board has an equal mix of gender, and no vacant positions. The board chairs of the University of Maine Foundation and the University of Maine Alumni Association as well as a representative from University of Maine Machias Board of Visitors attended in ex-officio status. The board geographic representation includes 17 members from Maine, 2 members from Massachusetts, one from New Hampshire and New Jersey respectively and one from Florida. The professional background of board members includes three President/CEOs from the business sector, two retirees from higher education, two currently serving higher education senior leaders, senior management from the fields of medical research, healthcare, financial planning, state government, two attorneys and three other retirees.

Officers

The University of Maine Board of Visitors is led by the Chair, Michael Bourque.

Schedule

The University of Maine Board of Visitors schedule includes monthly check-in virtual zoom calls for 30 minutes and in person meetings in September and February. The annual meeting is held in June. All meetings allow for in-person and virtual options given the geographic dispersion and schedules of board members.

Meetings and Agenda Items

10/23/2023

BOARD OF VISITORS ANNUAL REPORT

The **September 2022** in person board meeting was held September 29 and 30th in Orono. The agenda topics included presentations by the University of Maine Foundation and the University of Maine Alumni Association, updates on UMS TRANSFORMS and initial discussions of the capital campaign.

President Ferrini-Mundy's presentation to the board included the following topics:

- Introduction of New Staff
- Update on the renovations to Holmes Hall and groundbreaking for the new hotel
- Progress on athletic fields upgrades
- Progress in Machias
- NECHE visit
- Research and Development growth
- Maine Jobs and Recovery Projects
- UMaine 2025 launch
- First draft of the potential themes for the next Campaign
- Challenges- Enrollment, Changes to Carnegie Classification and Budget

The **October and November 2022** virtual meeting topics included updates on enrollment and budget as well as NECHE visit, Dining Services transition to Sodexo, Hire of new Dean and Head of Campus in Machias- Megan Walsh, and the search for a new Vice President of Enrollment.

The **February 2023** in person meeting was held at 300 Fore Street in Portland.

President Ferrini-Mundy's presentation to the board included the following topics:

- Recent welcoming of new faculty and staff including Jude Killy, Athletics Director, Scott Marzilli, Associate Provost for Student Success and Innovation, Gabe Parquette, Associate Provost for Academic Affairs and Faculty Development, Megan Walsh, Dean and Head of Campus/UMM, and Giovanna Guidoboni, Dean of College of Engineering and Computing
- UMS TRANSFORMS update
- Highlights from the opening of Ferland Engineering Education and Design Center
- Research and innovation success of ASCC's Bio3D Home
- A successful legislative tour at UM and UMM
- Challenges- Mahaney Dome collapse

Kelly Sparks, Vice President of Finance and Administration, Chief Business Officer provided a FY 23-24 Budget Presentation

March, April and May 2023 virtual meeting agendas included Presidential updates, enrollment and budget updates and questions and answers from board of visitors and cabinet representatives.

The **June 2023** in person meeting theme was "Shaping the Future" and was held in Orono June 8-9. On June 8th board members and cabinet members toured the construction of the P3 Hotel and received demonstrations at the Virtual Environment and Multimodal Interaction (VEMI) Lab.

BOARD OF VISITORS ANNUAL REPORT

Additional presentations included an update from President Ferrini-Mundy, cabinet member updates highlighting ‘envisioning the future’ and current initiatives, a University of Maine Alumni Association update and a Well Being and Belonging (UMaine Compass) presentation by the President’s Diversity, Equity and Inclusion Council Co-Chair, Margo Lukens.

The **August 2023** virtual meeting included an update from President Ferrini-Mundy, enrollment and budget updates and discussions related to on going post pandemic related student engagement challenges.

Submitted by: (Diane Dunn, President Ferrini-Mundy’s Chief of Staff)
Date October 22, 2023



Board of Visitors
University of Maine at Augusta
Annual Report
Academic Year – September 2022 to August 2023

10

Overview

UMA's Board of Visitors is comprised of a group of highly professional, engaged regional representatives that provide guidance and feedback on UMA's mission and its goals. Our BOV met quarterly during the last academic year. They provided strong leadership and valuable insights during the UMA Presidential search process. No significant concerns and/or issues were raised, with the exception of hoping for deeper, more meaningful engagement with the UMS BOT and the overall goals and plans for the Unified Accreditation work.

Membership

See below for a summary of current members and their professional affiliations. We do have open slots for new members.

BOV MEMBER	TERM(S)	
Mr. Richard (Dick) Thompson Deputy Commissioner – State Dept. of Admin & Financial Svcs. Dickthompson008@gmail.com	2017-2020 (Vic Chair)	2020-2023 (Chair)
Dr. Tom Keller Founder & Director Of STEM Education Strategies LLC tom.keller.me@gmail.com	2021-2024	
Ms. Jennifer Riggs CNO MGMC/CEO MGCC MaineGeneral Health jennifer.riggs@mainegeneral.org	2018-2021	2021-2024(Vice Chair)

Ms. Shenna Bellows 2021-2024
Secretary of State – Maine
Shenna.Bellows@maine.gov

Mr. Randall Liberty 2021-2024
Commissioner – Dept of
Corrections, State of Maine
randall.liberty@maine.gov

Ms. Laura Fortman 2021-2024
Commissioner – Dept. of Labor
State of Maine
laura.a.fortman@maine.gov

Ms Soumia Tber 2023-2026
Director of Statewide Payroll
State of Maine Controller’s Off.
soumia.tber@gmail.com

Ms. Patricia Bernier 2023-2026
Sr. VP – Bath Savings
pbernier@bathsavings.bank

Officers

Dick Thompson served as Chair, with his last term ending in July, 2023. Jennifer Riggs serves as Vice Chair during this recent academic year. Jennifer was nominated and approved to serve as Chair for the 2023-2024 annual year.

Schedule

The University of Maine at Augusta schedules quarterly meetings for our Board of Visitors. Our annual meeting was scheduled for July 25, 2023. Although all members are encouraged to attend in person, we always create and distribute a Zoom meeting link in order to encourage increased attendance.

Meetings and Agenda Items

The meetings scheduled for the AY 22-23 are as follows:

October 25, 2022 (10 attendees)

Agenda Items:

Welcome & Opening Remarks

Approval of Minutes from the April 26, 2022 BOV Mtg.

BOT/BOV Executive Committee Report

BOT/BOV Representation
President's Remarks & Academic Update
 *NECHE Site Visit
 *DEI Council & Work
Bangor Campus Update
Enrollment Update
 *Early College Updates
Budget Update
Other Business

January 24, 2023 (8 attendees)

Agenda Items:

Welcome & Opening Remarks
Approval of Minutes from the October 25, 2022 BOV Mtg.
BOT/BOV Executive Committee Update
President's Remarks & Academic Update
 *UMA Presidential Search Update
 *NECHE Updates
Enrollment Update
Budget Update
Other Business

April 25, 2023 (12 attendees)

Agenda Items:

Welcome & Opening Remarks
Approval of the Minutes from the January 24, 2023 BOV Mtg.
BOT/BOV Executive Committee Report
President's Remarks & Academic Updates
Enrollment Update
Budget Update
Other Business

July 25, 2023 (10 attendees)

Agenda Items:

Call to Order
Approval of Minutes from the April 25, 2023 BOV Mtg.
BOT/BOV Executive Committee Report
BOV Resource Guide for BOV Members
UMA President – Updates & Remarks
Academic Updates
Enrollment Update
Budget Update
BOV Meeting Dates for the next year (all)
Other Business

Submitted by: Joyce Blanchard, Exec. Director of Advancement & Strategic Projects
Date: October 9, 2023



**UMF Board of Visitors
University of Maine at Farmington
Annual Report, September 2022 - August 2023**

Overview

The 2022-2023 meetings of the UMF Board of Visitors (BOV) focused on Strategic Planning and initiatives, marketing, budgeting, and fundraising.

Membership

The Board had 13 voting members, 7 women, 6 men representing the private, nonprofit, and education/public sectors. The Board of Visitors membership was made up as follows:

Private Sector

Todd Chamberlain '03, Pro Search Inc.
Jennifer Bjorn, Kyes Insurance
Greg Patterson, Farmington Walmart
John Moore, Narrow Gauge Cinemas

Non-Profit Sector

Eileen Kreutz, Gold LEAF Senior Institute (*ex officio*)
Barbara Sergio, Franklin Community Health Network
Mana Abdi '17, Bates College, and Disabilities Rights of Maine
Thomas Dukes '90, Brigadier General

Education Sector

Jennifer Dorman '93, Skowhegan Area Middle School
Jonathan Moody '98, Superintendent MSAD 54
Cathryn Wimett, UMF professor (retired)
Mattie Jernigan '19, Educare Central Maine
Peter Osborne '09, Maine Municipal Association

One *ex-officio* position is always reserved for a board member the President of the Gold Leaf Institute, UMF's senior college.

Officers

Cathy Wimett, served as Chair.
Peter Osborne, served as Vice-Chair

Schedule

The Board met four times this year with a hybrid model during the reporting year. Board members were also invited to All-Campus meetings, Chancellor meetings, Strategic Planning interviews,

Connecting with the Community, Symposium, UMF fundraiser event, and other events and forums on and off campus throughout the year.

Meeting Summaries

September 9, 2022

Board Administration. 13 members in attendance.

Chair Cathy Wimett welcomed the BOV, introduced the new members, and reviewed recent and upcoming encounters.

Cathy expressed the need for financial viability and engagement with UMF. UMF is important to the community. She expressed a desire to have adults come back to the school to get a degree, to continue their degree, and graduate from UMF. A desire for athletic improvement was expressed with updating the facilities. An example would be a new turf field.

President Joe McDonnell reported to the BoV members about enrollment and retention. UMF must work hard to recruit students and to move students from the college experience to a career.

Looking forward, the President addressed the need to continue to focus on the traditional aged students, but to expand to transfers, adult learners, early college, and graduate education. UMF strength is in experiential education. We will seek to create articulation agreements for community colleges, hands on education, and a primary focus on teaching. This is an advantage for the university.

We must do more with graduate level work as well as early college. There are growth opportunities in, outdoor recreation, education, wellness, early learning and childcare, and global education.

Enrollment Planning:

Christine Wilson, VP for Enrollment and Student Services updated the BoV about staff vacancies and new staff hires. The Ferro Alumni House is being revitalized and events are going to be schedule at that facility.

Christine talked about the turf and track opportunities and how that could grow enrollment. This would provide an opportunity for additional income with tournaments in the summer months that could be hosted by the community. The first step would be bringing in stakeholders to develop a plan to secure the turf field. The goal would be to secure a turf field with the least amount of investment from the community.

Meeting adjourned

December 2, 2022

Board Administration. 16 members in attendance.

Chair Wimett welcomed the BOV, reviewed the agenda, and made introductions.

Cathy had a discussion with the chair of the Board of Trustees about a candidate to represent Western Maine. There was a committee meeting on December 9th about the turf field, which would include lighting and further discussion would be taking place.

President Joe McDonnell reported a dramatic increase in online education throughout the country, but not at UMF.

Special Education continues to be a unique to UMF and the need to expand this program. There was also a discussion about how the health and outdoor recreation might also expand, Outdoor recreation, tourism & hospitality, actuarial science is a few of the areas in which UMF offers distinctive programs. BoV member Steve Hewins mentioned that UMF is a rural University and should build on its rural character in education to attract new students.

Christine Wilson, VP for Enrollment and Student Services updated the BoV about the mental health needs on campus. There is a need to identify students who are suffering from mental health challenges and give faculty the tools to better support students. BoV member Jennifer Dorman stated that this begins with students at the middle school and continues throughout the student's education career. She suggested creating programs at the university designed to directly address mental health struggles.

Kathy Yardley, Provost and Dean of Education, Health and Rehabilitation gave an update on the 4:3 credit transition. We are ninety percent done, after finalization from the Faculty Senate, transition plans will be taking place.

Articulation Agreements:

Kathy discussed looking ahead to create templates to help develop and host conversations with the community for its students to transfer into UMF.

Programs in Development:

New undergraduate programs are in development in Forensic Psychology, and middle-level education, Graduate certificates are being developed in S.T.E.A.M for teachers, nature-based education, professional writing, climate education sciences, and human resources.

Meeting adjourned.

March 3, 2023

Board Administration. 13 members in attendance.

Chair Wimett welcomed the BOV, reviewed the agenda.

Chair Wimett explained the way the Chancellor communicates with individual BOVs. The UMF BOV can have up to three people at these meetings, and every campus is represented. It's essential that the UMF BOV be an active participant in their meetings.

Cathy expressed that there are openings for new BoV members, and the board should begin looking for potential members who could assist in growing the board and the university. Suggested

representatives from Franklin Savings Bank, more UMF alumni, the Farmington Community Center, teachers and leadership from the local schools, Maine State Representatives, and other influential people from around the local community.

The men's basketball and ski team both had successful seasons with the men's basketball team making it to the NAC final.

President Joe McDonnell reported about bringing in new students to the campus. There is a need to teach career orientated skills, online education, graduate education, and Early College programs. UMF needs to be competitive to recruit the same students that the other bigger universities are looking to recruit. BoV member Steve Hewins explained that articulation agreements and transfer students will be important for the universities continued success.

Working with the community colleges are important due to their rise in success in recruiting students. UMF is looking to accept Gen Ed classes from the community colleges so students can have more flexibility. Other ideas about how to make the campus more attractive are to create a pet friendly campus and host an open house for the community to come and see what the university has and will be doing.

BoV Member John Moore talked about holding a fundraiser to help the university in these programs. He stated that there is plenty of local support if the university reaches out and ask for their involvement.

Associate Provosts Kathy Yardley and Steve Quakenbush talked about UMF hosting the community colleges to show what the university is all about. There were multiple visits with positive feedback. Workshops were held with conversations on how the transition can be smooth and successful for both parties.

There are three new educational certificates available, and they are nature based, climate, and science/math.

Support is growing for a new turf field and request have been made on the cost of the field. Energy Service Company (ESCO) arrived on the campus to start working on energy projects.

The Sweatt-Winter building continues to make strides as windows are up in the observation rooms and the floors were placed down. The schedule for opening is August. Tours are going to be available for the BoV members to see first-hand the progress of the building.

Meeting Adjourned.

June 9, 2022

Board Administration. 20 members in attendance.

Chair Wimett welcomed the BOV and reviewed the agenda, recent engagement reports, as well as recent and upcoming encounters.

Cathy Wimett discussed her attendance at the teacher of the year conference. Farmington was well represented. There is a need for more teachers in Maine and UMF continues to develop many teachers for Maine. BoV members Jennifer Bjorn and John Moore are going to host a fundraiser at their home on June 24th. The goal will be to bring the community together and have a social gathering with the chance to make a financial contribution to the university and the programs taking place there.

President McDonnell went on the Tom Saviello radio show and had the chance to promote UMF and what the university has been doing. The show went well and was looked at as a success. BoV member Todd Chamberlain talked about hosting a network event monthly to give the university a platform to inform the community what is happening around the campus.

There were several openings for new BoV members, and the following were selected to be voted on: These names will be presented at the next BOT meeting and voted on.

Tim Thomson, Franklin Savings Bank President
 Steve Hewins, Independent Hospitality
 Byron Davis, Riverbend property owner
 Shawn Roderick, Legislative Aide and Alumni '06
 Jason Judd, Ex Director of Educate Maine, and Alumni '05
 Theresa Overall, Retired UMF Faculty in Secondary Education
 Monique Poulin, RSU 9 Instruction, Curriculum, & Grants

There was a need also to elect a new Bov Chair and Vice Chair.

Peter Osborne was elected as the Chair.

Steve Hewins was elected as Vice Chair.

Cathy Wimett was appointed to be the representative for the Gold LEAF position taking the place of Eileen Kreutz.

Cathy acknowledged the BoV members who were stepping down this year due to their time being over. Todd Chamberlain, John Moore, and Eileen Kreutz. Gifts were given to them along with Cathy for her great work as the BoV chair for the many years.

President Joe McDonnell reported the experience at the 2023 UMF Commencement. Doris Santoro was the honorary recipient this year and she also was the speaker. She talked about the decline in teachers and how to overcome this challenge.

The Energy Service has begun to update the campus with a new boiler to help lower the budget. Purington and Mallett housing units are getting major upgrades with new bathrooms, wiring, and plumbing.

The President spoke about a future where the student can take an online class from anywhere in the system. The student can pick and choose where they want that class to come from so the university must get ahead and be ready to have this available to the students. International relationships are in development with the university looking at a relationship with China and other countries. UMF is getting into high school and presenting an early college program that will attract students to continue their college career at the university. UMF will send faculty to the high school to make presentations and represent the campus.

Christine Wilson of Student Affairs reported on the success of employed students in the system and UMF being the highest. Next year the university is going to have an assessment website that will display class projects and major research projects so the community can see them.

UMF is being successful in the retention of 2nd to 3rd year and 3rd to 4th year students along with improving 1st to 2nd year student retention.

The Scholarship inventory is taking place and to understand exactly what each one covers. Most of UMF is education based and there is an effort looking to create a career fund that is used for plane tickets, clothing, etc.

Jaime O'Connor was introduced as the Director of Alumni Relations. She reports about visiting many alumni outside Maine in Colorado, Florida, and California. These are places that events could take place. Her goal is to host events in the top 12 states with most UMF alumni in 2024. She has started connecting with alumni via Facebook and emails. Jaime mentioned upcoming events like Bob Marley concert, Alumni Council event, Woodshed event, and an Orono brewery tour in September.

Increasing enrollment must continue to be our focus. Enrollment is consistent with national data. We're seeing growth in graduate.

Kathy Yardley the Co Provost reported that 73 articulation agreements have been received and now just need to be finalized. There is the opportunity to grow a relationship through these agreements.

The meeting adjourned and everyone traveled to the Sweatt-Winter Building to have a tour with Keenan Farwell. This building will open sometime in the fall.

*Submitted by President Joseph W. McDonnell
October 2023*



Board of Visitors
University of Maine at Fort Kent
Annual Report
Academic Year- September 2022 to August 2023

Overview

The Board of Visitors (BOV) met quarterly during the academic year via Zoom and on campus. The Executive Committee met prior to each meeting at the request of President Hedeem to set the agenda. At each regular meeting, University Administrators, Cabinet Members and other University staff, faculty and students provided the Board with information and updates on the System and Campus, Finance and Facilities, Development and Foundation, Enrollment, Early College, Alumni and Academics.

In addition to regular updates, the Board was provided a presentation on a program project or requested topic/area of interest. The following were also reported on and/or discussed throughout the year:

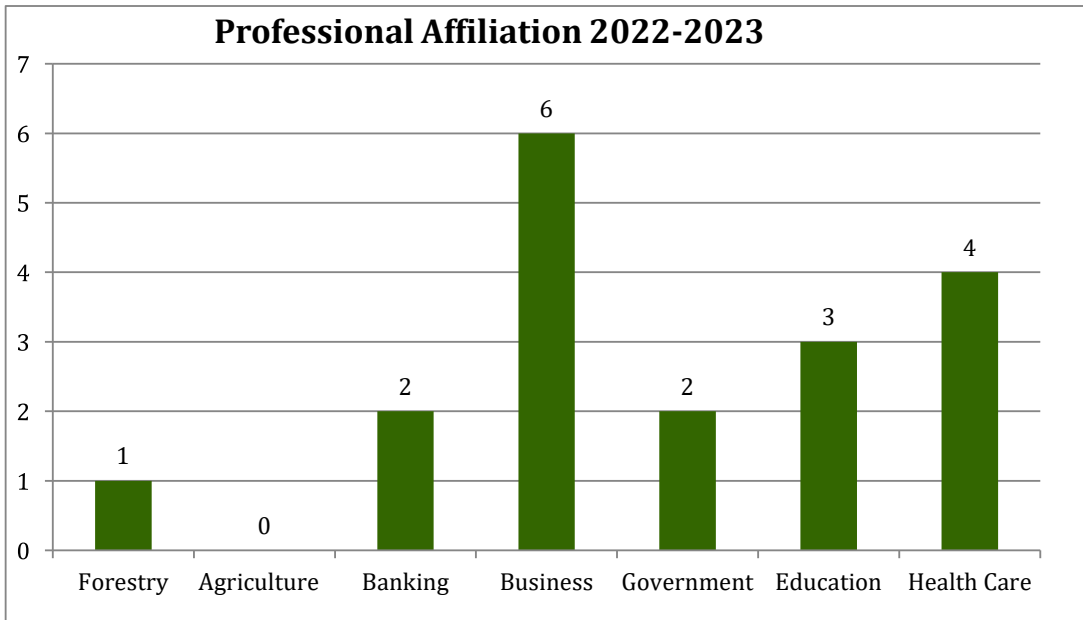
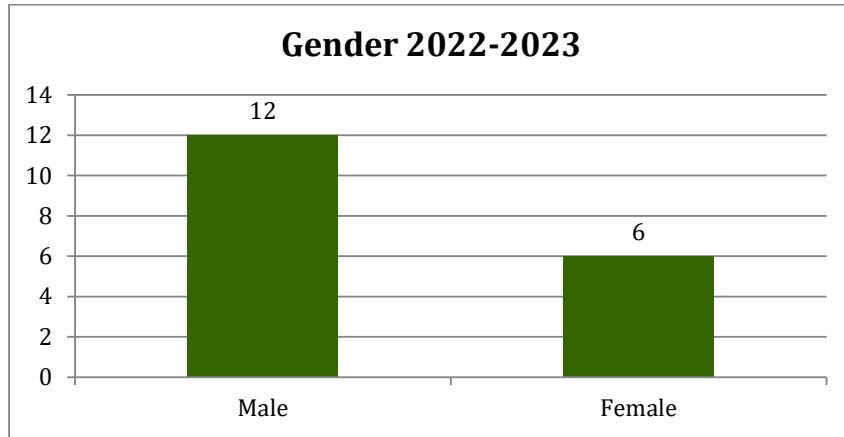
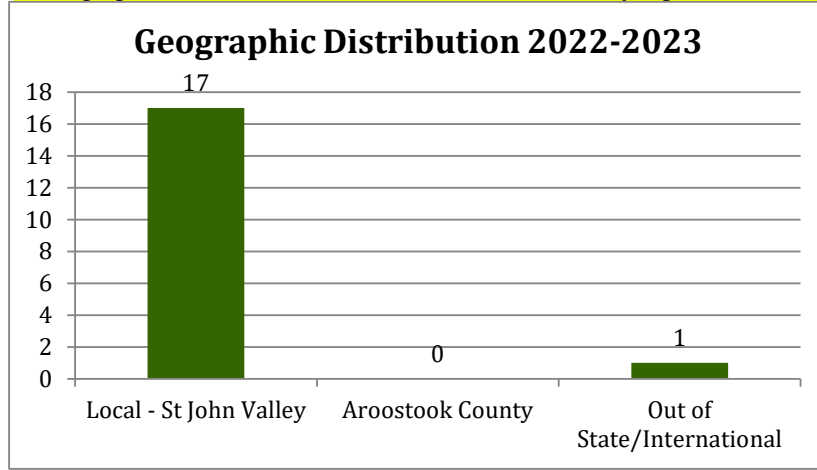
- Recruitment and Retention
- System, Campus & Budget Updates
- UMFK Foundation and Alumni accomplishments, special events, and other fund-raising/outreach activities.
- New faculty and staff throughout the year.

Membership

The membership of the Board runs from July 2022 to June 2023.

Adrian Marquis	Maine Warden Service – Sergeant (resigned 03/15/2023)
Alain Ouellette	Summit Project Management Company - Independent Contractor
André Léger	Les Brasseurs du Petit-Sault Inc. - Co-founder
Doug Taggett	Daigle Oil Company - Information Technology Manager
Gary Stevens	Retired MSAD #27 - Administrator
Gisele Dionne	Madawaska School Department - Superintendent
Jennifer Derosier Daigle	Lakeview Restaurant - Business Owner
Jennifer Malmborg	Fish River Rural Health - Director of Behavioral Health Program
Jessica Combs	Student Representative (non-voting) (resigned 02/16/2023)
Joe Becker	Faculty Representative (non-voting)
John Murphy	Retired UMFK - Administrator
Josh Philbrook	Irving Forest Products - Ashland Sawmill Manager
Justin Dubois	Acadia Federal Credit Union - Commercial Loan Officer, BOV President
Kris Malmborg	Northern Maine Medical Center - Director of Marketing and Public Relations, BOV Vice President
Louis Dugal	Country Village Estates, LLC - Administrator
McKenzie Roy Hafford	Key Bank - Manager
Nicole Marquis	Registered Nurse
Norman Fournier	County Commissioner - Retired FRRH CEO / Trustee
Peter Clavette	United Insurance in Madawaska - Senior VP/Managing Partner
Suzie Paradis	Town of Fort Kent - Economic & Community Development Director, BOV Secretary

Demographics (data does not include student and faculty representatives):



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Officers

Justin Dubois	BOV President
Kris Malmborg	BOV Vice President
Suzie Paradis	BOV Secretary

Schedule

The Board of Visitors held four regular meetings within this reporting year on September 16, 2022; December 2, 2022, March 03, 2023 and June 16, 2023. Most of the meetings were held in person with availability of a Zoom link for those unable to attend in person.

The Board of Visitors and other representatives were also invited to participate in Town Hall Sessions with Chancellor Malloy and System Staff during their fall and spring campus visits on September 9, 2022 and April 26, 2023.

Meeting and Agenda Items**September 16, 2022**

Attendance: 12 Present, 6 Absent, 11 UMFK Faculty/Staff and Other Guests Present

Agenda:

- Welcome
- Focus Area: Rural Practice Clinic
- UMFK Updates and Reports: System, Athletics, Enrollment, Retention and Budget, Arctic Studies, Student, Faculty, Foundation and Alumni
- Committee Reports: Advocacy, Education and Development, Special Projects and Nominating
- BOV Discussion
- Adjournment

Meeting Summary:

Funding approved for a UMaine School of Law Rural Practice Clinic on the UMFK campus. The goal is to start in Spring 2023. Many visits are expected on campus this fall. Chancellor on September 9; new BOT member Barbara Alexander on campus September 13; and NECHE team visiting UMFK on October 1. Several meetings were held over the summer regarding the Transformative Programs of Distinction. We are looking to diversify our portfolio to help increase credit hour generation. Some options we are reviewing are: The Academy, Veteran's Learning and Living Community, summer conference camps, recruit students from Canada, focus on viable programs. Review of enrollment and budget. Student Rep reported on past events and future plans. Some highlights of faculty activities during the summer. Development Officer reported on the upcoming Stakeholder Retreat, "Bengal Brew", a new beer created by our local brewery First Mile, premiered during the Homecoming weekend. Etiquette Dinner planned in the spring. Significant pledge to the Michael Simon Scholarship received. Review of events that have been held and plans for future events; discussion of scholarship gifts and how they will be awarded to needy students. The Advocacy Committee is planning a Business Breakfast soon. The Nominating Committee is looking to set guidelines regarding attendance at these meetings. The two-year plan was discussed and will be reviewed and updated at the next meeting.

December 2, 2022

Attendance: 9 Present, 9 Absent, 10 UMFK Faculty/Staff and Other Guests Present

Agenda:

- Welcome & Approval of September Minutes
- Focus Area: Bengal Buddies
- UMFK Updates and Reports: System, Athletics, Enrollment, Retention and Budget, Arctic Studies, Student, Faculty, Foundation and Alumni
- Committee Reports: Advocacy, Education and Development, Special Projects and Nominating
- BOV Discussion
- Adjournment

Meeting Summary:

Three forestry professors offered a Forestry Program update.

President Hedeon presented on UMFK Enrollment and Marketing. David Tracy discussed recruitment. Pam Ashby reviewed credit hour comparisons along with budget challenges and solutions. Rachel Johnson, a UMFK student, presented on behalf of Jessica Combs, our student representative who was not able to attend this meeting. Rachel informed us of the Student Senate and Student Activities events, celebrations, and concerns. Joe Becker shared a PowerPoint presentation with information submitted by five faculty members. Shannon Lugdon shared different events that will be happening this spring on behalf of the Development Office, the Foundation and Alumni Association. The Foundation has received \$120,000 gifts this year; awarded \$123,000 in fall and spring semesters. This helped 126 students. An additional \$8,500 was awarded to help 19 students. A \$125 scholarship was awarded to a deserving student. The Advocacy Committee is planning a luncheon meeting at Nowland Hall for a panel discussion featuring local business professionals. The Education, Development and Planning Committee addressed nine action items discussed at the Stakeholders' Retreat. The Nominating Committee discussed the need for 3 new board members to begin in July. Attendance policy approved.

March 03, 2023

Attendance: 10 Present, 8 Absent, 4 UMFK Faculty/Staff and Other Guests Present

Agenda:

- Welcome & Approval of December Minutes
- Focus Area: Immersion Simulation Center
- UMFK Updates and Reports: System, Campus, Budget, Enrollment, Student, Faculty, Foundation and Alumni
- Committee Reports: Advocacy, Education and Development, Planning, and Nominating
- BOV Discussion
- Adjournment

Meeting Summary:

Funding from UMS Jobs Recovery Act enabled UMFK to install an Immersion Simulation Center. It is the only one of its kind in all New England! NECHE Evaluation Team Report was received and reviewed. FY24 deficit will not be as large as originally projected. Some reserves will be used to balance the budget. We need to focus on utilizing our unique location and industries to help us increase enrollment. Admissions will be expanding their recruiting territories. Applications have increased by 43%; admitted students by 11% and matriculated by 54%. Five faculty members submitted highlights of the semester. The Development Officer reported on a Stakeholder Retreat which was held in the fall. Lots of wonderful conversations allowed us to set some guiding principles for our three Boards. There will be a ribbon cutting ceremony for "Light the Field" on Homecoming weekend. Overall gifts to UMFK Foundation for FY 22 were \$267,608.33 which allowed 128 students to receive scholarships in FY23. The UMFK Spring Gala will be on May 13; Trivia Night is on March 23. The Alumni Association hosted Bengal Power Hour which allowed students and community business members to connect with each other to form relationships and potential job opportunities. Ski-Shoe-Ski was successful in bringing together staff, faculty, students, and community members to raise money for scholarships. The Board is preparing for the upcoming BOT meeting on the UMFK Campus in May. The committee reviewed the two-year plan and will use it as a guiding tool for the Board. Campus tours will be highly recommended for all Board members.

June 16, 2023

Attendance: 11 Present, 6 Absent, 10 UMFK Faculty/Staff and Other Guests Present

Agenda:

- Welcome & Approval of March Minutes
- Focus Area: BOT Presentation from the May 21 & 22 Meeting at Orono
- UMFK Updates and Reports: System, Campus, Budget, Enrollment, Nursing Accreditation and Updates, Student, Faculty, Foundation and Alumni
- Committee Reports: Advocacy, Planning and Development, Nominating, Acknowledgement of Outgoing, Executive Board, Extended Terms, and Incoming Board Members
- Adjournment

Meeting Summary:

Review and discussions of the presentation that was shared at our BOT meeting in Orono on May 21 & 22. Campus updates included employee transitions on campus. Review of UMS Strategic Plan 2023-2028. Link to website was shared and hard copies were distributed. Everyone is strongly encouraged to read it and familiarize themselves with it. We reviewed data, trend and credit hour projections, reserves, budgeted revenues and expenses, net change in cash and reserve transfers and FY24 final budget with Chief Business Officer. Our new Director of Marketing and Brand Management discussed changes in the admissions department and a marketing update. The Dean of Undergraduate Nursing provided us with an overview of the accreditation process and results. Five faculty members provided updates in their field of work. The Foundation reported the Gala was a huge success. End-of-year numbers will be presented at the September BOV meeting. The Alumni Association has already started planning for our Homecoming weekend September 22 through 24. The Advocacy Committee will start looking at sponsoring Business Breakfasts soon. Special Projects reported on co-chairing the successful search for the Dean of Arts and Sciences position. Progress is being made on the Light the Field Project and planning will begin shortly on the Fox Auditorium Renovation Project. The Nominating Committee acknowledged outgoing members and made recommendations for new officers and members for FY24.

Submitted by: Lisa Roy on behalf of President Deborah Hedeon and BOV President Justin Dubois
June 23, 2023

BOARD OF VISITORS ANNUAL REPORT

Board of Visitors
University of Maine at Machias
Annual Report
Academic Year – September 2022 to August 2023

Overview

Chair Kyle Winslow, Vice Chair, Charley Martin-Berry, President Ferrini-Mundy, members of her cabinet and board of visitor members engaged in strategic discussions related to how the board of visitors could help advance strategic initiatives. The board of visitors met in person seven times and held two virtual planning meetings.

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Membership

During the academic year 2022-2023, six new members joined the board. The board has 17 members (10 female and 7 male) and one faculty representative. The board geographic representation includes 16 members from Washington County and 1 member from Penobscot County. The professional background of board members includes three senior managers from community non-profit organizations, a retiree from higher education, high school principal and community college president, senior management from the fields of healthcare, banking, town manager, and nursing.

Officers

The University of Maine at Machias Board of Visitors is led by the Chair, Kyle Winslow and Vice-Chair, Charley Martin-Berry.

Schedule

The University of Maine at Machias Board of Visitors schedule includes in-person meeting every two months and an annual meeting is held in July. All meetings allow for in-person and virtual options given the geographic dispersion and schedules of board members.

Meetings and Agenda Items

BOARD OF VISITORS ANNUAL REPORT

In **September of 2022** the members of the Regional Campus Task Force provided a subcommittee report and reviewed recommendations for further action.

In **October 2022** the Board of Visitors hosted a Machias Business leaders forum to discuss opportunities for partnerships between UMM and the business community and how to best advance the needs of businesses in the community. The predominate recommendation was to increase internships.

The **November 2022** in person meeting included an update from President Ferrini-Mundy to include follow up from the business leaders meeting, a report from the Interim Dean, Heather Ball on the progress of the Dean and Head of Campus search and an update on academic integration. Program updates came from Hope Shore and Patty Keene from the Rec Center. The Chair, Kyle Winslow, discussed ways to better understand connections to community capabilities and presented a plan to map community connections.

The highlight for the **January 2023** agenda was an opportunity to welcome the new Dean and Campus Director, Megan Walsh and to present campus updates to the Maine Development Foundation's Legislative Bus Tour of over 50 legislators and guests.

The **March 2023 Agenda** included:

Annual Meeting planning led by the Chair, Kyle Winslow. The theme chosen was honoring our UMM legacy and looking forward.

Board of Visitors Subcommittee for Recruitment – Kyle Winslow

Bill Kitchen, Kyle Winslow, Jackie Leonard, Diane Dunn, Rhiannon Hampson.

Discussion about who is leaving and how many to recruit.

A vote is needed for a new BoV Chair.

President's Report – President Joan Ferrini-Mundy

The Chancellor and the Board of Trustees have released messages regarding COVID vaccinations not being required for students going forward. Meredith Whitfield has accepted the position of Chief Marketing and Communications Officer at UMaine and UMM. Next Board of Trustees meeting will be jointly hosted by UMaine and UMM. UMM Transforms continues and we are focusing on Pathways to Careers as part of student success. Enrollment and recruitment is on-going with some challenges. No checks for vaccinations at Commencement this year.

Dean's Report – Megan Walsh

Clipper Legacy, retiring and honoring our logo. Financial Challenges and Solutions: UMM is running a deficit. Need to increase efficiencies and seek growth opportunities. Look for streamline programing and combining academic calendars. Dean Walsh suggested consideration of courses that are offered once a year, every semester, several sections or combined, etc. She highlighted the need to return to the standard of having 12 students registered per course to run the course. Dean Walsh outlined upcoming facilities renovations and repairs. She announced that an on campus Public Safety Officer will be hired for fall and that an Emergency Operations Center for Law Enforcement will be housed in

BOARD OF VISITORS ANNUAL REPORT

Torrey Hall. Jude Killy is working with UMM to consider athletics options for the future.

May 2023 Agenda highlights included:

Commencement review, retirement ceremony and capital project highlights. Provost Volin provided detailed information regarding the budget challenges and status of faculty positions. He explained the goal to have seamless course transitions. Dean Walsh presented updates on the UMS strategic plan, UMM athletics task force, and marketing and communications as a shared resource. Associate Provost for Academic Affairs and faculty Development, Gabe Parquette provided an overview of faculty support programs. Vice President for Enrollment Management, Kevin Coughlin discussed new strategies for enrollment and admission.

July 20, 2023, Annual Meeting held at the Reynolds Center.

The BOV elected Sarah Craighead Dedmon as its new chair. Four new members were also added: Kyle Pepperman, Betty Mason, Dean Preston, and Jackie O'Clair. There were continued discussions regarding workforce development and brainstorming session regarding seafood distribution and solutions. There are 10 interns at Downeast Institute this summer. There are ongoing opportunities to increase presence and work with K-12 schools and students Downeast.

Submitted by: (Diane Dunn, President Ferrini-Mundy's Chief of Staff)
Date October 22, 2023



Board of Visitors University of Maine at Presque Isle

ANNUAL REPORT

Academic Year – September 2022 to August 2023

OVERVIEW

Our year was highlighted by discussions of the growth of the YourPace (competency-based) program, and the opportunities afforded for working adults both within the local communities and much farther afield. BOV members were included in discussions about the recovery of residence hall enrollments and the continuing impact on auxiliary budgets. Regular discussions involved monthly updates on the budget and enrollments, including the fiscal gap created by the fees paid to the third-party providing marketing/recruitment to the YourPace program and the process of ending that arrangement. The BOV was also updated on changes to the organizational structure and the dispensation of off-site properties. The BOV engaged in discussions regarding congressionally directed spending and the potential for significant capital investments (i.e., the Gauvin Family Auditorium in Wieden Hall), as well as the importance of pursuing a turf field both to ensure competitiveness of the campus regarding NCAA Division III schools in the region and to solidify and increase athletic recruitment. The BOV was also briefed on the new “EXPECT” marketing campaign prior to its public introduction. Service on multiple UMPI committees continues to engage the BOV with the campus community generally, including on University Senate, Resource Allocation Committee, as well as the standing committees of the BOV. New BOV members brought new or continuing connections to local business, municipalities, health care organizations, as well as non-profits.

MEMBERSHIP

Our board consisted of 7 women and 9 men, all of whom live and work in the Central Aroostook County area.

NAME	AFFILIATION
Ginger Buck	MMG Insurance Company
A.J. Cloukey	MMG Insurance Company
Matthew Cummings	Fort Fairfield Police Department
Judy Dionne	Columbia Forest Products

Janet Doak	Katahdin Trust Company
William Flagg	Cary Medical Center
Ben Greenlaw	MSAD 1
Chelsea Henderson	FA Peabody Company
Laura Turner	Northern Light AR Gould Hospital
Donna Lisnik (Chair)	Retired School Teacher
Thomas Powers (Vice Chair)	Presque Isle Industrial Council
Tom Richard	Northern Maine Community College
Ron Silliboy	Ward Log Homes
Leigh Smith	Re/MAX Realty
Trey Stewart	Maine State Legislature
Amber Wire	Houlton Band of Maliseet Indians

OFFICERS

For the 2022-2023 Academic Year, the officers were:

Donna Lisnik, Chair
Thomas Powers, Vice Chair
Tom Richard, Secretary

MEETING SCHEDULE

The UMPI BOV met 8 times in 2022-2023 from September to May. Meetings were held on the last Tuesday of the month from 7:30-8:30AM, with the exception of December. Meetings took place in-person in the MMG Center located in the UMPI Campus Center and were also available via Zoom or conference call to enable those at a distance to easily participate.

The annual meeting was held on May 30, 2023.

MEETINGS & AGENDA ITEMS

SEPTEMBER 27, 2022

11 members and 4 UMPI Representatives were in attendance.

Agenda items included:

Welcome & Introduction of new members.
BOV Election of Officers
BOV Annual Report
BOV Handbook
Campus Budget Update (presentation by Betsy Sawhill Espe)
President's Update – Fall 2022 Enrollments, UMPI Strategic Plan, Unified Accreditation, EXPECT Campaign, September BOT meeting.

The President welcomed and introduced members new to the Board and gave a brief overview of the BOV handbook, subcommittees, and provided general meeting information. The Election of Officers took place at this meeting as well as the review of the Annual Report – both of which required the approval of the members. Otherwise, no motions or major action items were voted on during this meeting. Updates at the meeting included Fall enrollments, a budget report, NECHE Accreditation visit information and a report out of recent campus activities.

OCTOBER 25, 2022

12 members, 4 UMPI Representatives and 2 UMPI guests were in attendance.

Agenda items included:

President’s Update – UMPI Strategic Plan & Huron Visit, Fall 2022 Enrollment Report, and Unified Accreditation/NECHE Visit Update
 BOV Committees & Committee Assignments
 BOV Member Resignation & Replacement
 EXPECT Campaign (presentation by Rachel Rice)
 Campus Budget Update (presentation by Betsy Sawhill Espe)
 Wieden Gym Renovation Update (presentation by Betsy Sawhill Espe & Joe Moir)

Numerous updates were provided on all agenda items to better inform the BOV as they meet with external constituencies. Assignments were made to each of the BOV sub-committees and a member’s resignation was accepted. UMPI’s new marketing campaign – “EXPECT” was presented and an update of the Wieden Hall Gymnasium was provided. Other than voting to approve the minutes from the May and September meetings, no motions were presented, or votes taken.

NOVEMBER 29, 2022

6 members, 4 UMPI Representatives and 2 UMPI guests were in attendance.

Agenda items included:

President’s Update—11/21 Town Hall Presentation, Enrollment Update, NECHE Visit Update
 BOV Committee Membership, Updates and Report Outs
 Enrollment Update (presentation by Sue White)
 Campus & UMS Budget Update (presentation by Betsy Sawhill Espe)
 Wieden Gym Renovation Update (presentation by Betsy Sawhill Espe & Joe Moir)

Numerous updates were provided including a report on the recent NECHE Accreditation visit, Admissions Early-bird application incentive, enrollment projections and a condensed budget presentation. Other than the October meeting minutes no motions or major action items were voted on during this meeting.

JANUARY 21, 2023

11 members, 4 UMPI Representatives and 2 UMPI guests were in attendance.

Agenda items included:

President's Update—Enrollment Snapshot, Tuition Update, Earmarks (Turf Field)
Wieden Gym and Park Hall Renovations Update (presentation by Betsy Sawhill Espe & Joe Moir)
Athletics Update (presentation by Dan Kane)
Budget Update (presentation by Betsy Sawhill Espe)

Several updates were provided including streamlining of the academic structure, athletics and congressionally directed spending (turf field), as well as a report on the status of the residence hall and gym renovations. November meeting minutes were voted on and subcommittee Chairs were tasked with holding meetings with their respective subcommittee members during the month of February. No other motions were presented, or votes taken.

FEBRUARY 28, 2023

9 members, 4 UMPI Representatives were in attendance.

Agenda items included:

Presidents Update—Enrollment, YourPace Spring Session Update, Dean of Faculty/Academic Affairs & VCASA Searches, Appropriations Hearing Update
BOV Subcommittees Report Outs

Numerous updates were provided in the President's Report and information regarding UMPI's Commencement Exercises was shared. Subcommittee Chairs reported on their recent subcommittee meeting activities. Other than voting to approve the minutes from the January meeting, no motions were presented, or votes taken at this meeting.

MARCH 28, 2023

9 members, 4 UMPI Representatives were in attendance.

Agenda items included:

President's Update – Tenure & Promotion, Congressionally Directed Spending updates, Enrollment Snapshot, Strategic Plan
Budget Update (presentation by Betsy Sawhill Espe)
Mindbridge Community Event
BOV Membership

Several updates were provided on all agenda items including an enrollment snapshot, congressionally directed spending status, tenure conferral and residence hall renovations. Board members were asked to submit recommendations to replace those rolling off the BOV as well as an earlier resignation. Information about an upcoming implicit-bias training and

community discussion event was disseminated for those interested in attending. Other than the February meeting minutes being voted on, no other motions were made, or votes taken.

APRIL 25, 2023

10 members, 3 UMPI Representatives and 2 UMPI guests were in attendance.

Agenda items included:

President's Update – Strategic Plan
BOV Sub-committee Updates
Budget Update (presentation by Betsy Sawhill Espe)
Enrollment Update (presentation by Sue White)

Updates were provided on agenda items and information on the Strategic Plan was shared and comments encouraged. Budget and Enrollment information was also presented. Other than the March meeting minutes being voted on, no other motions were made, or votes taken.

MAY 30, 2023

10 members, 4 UMPI Representatives were in attendance.

Agenda items included:

President's Update –Mindbridge training Debrief, Commencement Debrief, Strategic Plan, Dean of Academic Affairs, Faculty & Staff Retirements
BOV Nominations for 2023-2024
Election of Officers
Capital Project & Housing Update

This meeting served as the annual meeting and included the Election of Officers and a vote by members. A motion was made and a vote taken to accept the nominations of six new members for 2023-2024. Debriefs were provided on Commencement and the recent campus and community implicit-bias training event. Information on recent retirees, hirings and upcoming searches for faculty and staff was shared. Additionally, a Capital Project and Housing update was presented.

Submitted by: Raymond J. Rice, President/Provost

Date: 10-17-23

BOARD OF VISITORS ANNUAL REPORT

Board of Visitors University of Southern Maine Annual Report Academic Year – September 2022 to August 2023

Overview

In 2022-2023, Rebecca Swanson Conrad served as Chair of the University of Southern Maine Board of Visitors (BOV), with Julia Trujillo serving as Vice-Chair. The BOV focused its attention on welcoming the new President and leadership team, community engagement and understanding, workforce and career pathways for students returning to higher ed and New Mainers, and plans for the Lewiston-Auburn campus.

Membership

During AY22-23, the Board had 17 members and 3 vacancies. The members included 9 women and 8 men. The geographic distribution of the members follows: 10 members resided in Portland or its surrounding communities; 5 resided in the Lewiston-Auburn area; 1 resided in the mid-coast region; and 1 resided in another part of Maine.

Member names and affiliations:

- | | |
|--|---|
| 1. Rebecca Swanson Conrad, Chair, Auburn | <i>Principal, RSC Consulting</i> |
| 2. Julia Trullio '06, Vice Chair, Portland | <i>Director, Maine Economic Development Plan, DECD</i> |
| 3. Luc Nya '96 '99 '08, Past Chair, Saco | <i>Children's Behavioral Health Program Coordinator, DHHS</i> |
| 4. Kelly Barton, Freeport | <i>COO, Community Health Network</i> |
| 5. Annie Hall '17G, South Portland | <i>Guidance Counselor, Yarmouth High School</i> |
| 6. Neil Kiely, Portland | <i>President and CEO, Androscoggin Bank</i> |
| 7. Jana Lapoint, Falmouth | <i>Retired Educator & Charter School Commission Member</i> |
| 8. Chris Lavoie, Scarborough | <i>Owner, Lavoie Group Real Estate</i> |
| 9. State Representative Adam Lee, Auburn | <i>Attorney, Traften, Matzen, Belleau & Frenette</i> |
| 10. Muhidin Libah '15, Lewiston | <i>Executive Director, Somali Bantu Community Association of ME</i> |
| 11. Fowsia Musse, Auburn | <i>Executive Director, Maine Community Integration</i> |
| 12. Aimée Petrin, Freeport | <i>Executive Director, Portland Ovarations</i> |
| 13. M. Nasir Shir '02G, Cape Elizabeth | <i>Director, Geospatial Services, Sewall</i> |
| 14. Reid Crewe, Falmouth | <i>Vice President, The Crewe Foundation</i> |
| 15. Michael Hyde, Trenton | <i>Management Consultant/Executive Coach</i> |
| 16. Julia Sleeper-Whiting '11G, Lewiston | <i>Co-Founder/Executive Director, Tree Street Youth Center</i> |
| 17. Glenn Hutchinson, '80, '89, Bath | <i>CEO, Bath Savings Bank</i> |

BOARD OF VISITORS ANNUAL REPORT

Summary of member professional backgrounds:

Business	(6)	Financial	(2)
		Healthcare	(1)
		Real Estate	(1)
		Technology and Manufacturing	(2)
Education	(3)		(3)
Government/Law	(3)		(3)
Non-profit Management	(5)	Arts	(2)
		Social Services	(3)
Total	(17)		(17)

Officers

BOV Chair Rebecca Swanson Conrad and Vice-Chair Julia Trujillo have contributed great energy and assistance to helping President Edmondson and her leadership team think through how the membership and activity of USM's Board might best represent the constituents of each campus and effectively advise/advocate for the University. The Annual Meeting was held in June.

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Schedule

The BOV met six times during AY2022-23: 8/26/22 (Gorham), 10/21/22 (Portland), 12/9/22 (Portland), 2/10/23 (Portland), 4/7/23 (Lewiston-Auburn), 6/9/23 (Portland). Meetings were held on Fridays from 8:00 – 10:00 a.m. A Zoom option was provided for all meetings except the orientation meet-and-greet in Gorham on 8/26/22.

Meetings and Agenda Items

August 26, 2022 (Gorham) – 3 members attended.

1. Introductions: Members and Staff
2. Overview of BOV Purpose and Relationship to the University
3. Discussion: AY2022-23 Meeting Locations
4. Establishing a working group to review the bylaws.

October 21, 2022 (Portland) – 10 members attended

1. Introductions: New Members
2. President's Report: BOV Priorities and Commitments
3. Discussion: Future AY2022-23 Meeting Locations
4. Cabinet Reports

BOARD OF VISITORS ANNUAL REPORT

December 9, 2022 (Portland) – 5 members attended

1. President's Report and Discussion: How we are engaging communities in understanding the value of public higher education?
 - What is public education meant to be?
 - What barriers prevent people from continuing in/returning to higher education?
2. Report from Dr. Idella Glenn, Vice President for Equity, Inclusion, and Community Impact, regarding workforce and second career options.
 - How is USM supporting pathways for people changing careers, including New Mainers?
3. Student Report: Bri Demaso, President, Student Government Association

February 10, 2023 (Portland) – 4 members attended

1. Welcome (Chair Conrad)
2. President's Report
3. Review of BOV Membership and By-laws
 - Discussion of new members
 - Proposed revisions to bylaws

April 7, 2023 (Lewiston-Auburn) – 3 members attended

1. Orientation to LAC (Chair Conrad)
2. President's Report: Update on Degree Programs at LAC
3. LAC Federal Earmark Proposal
4. Preparations for Nominating New Members
5. Tour of Lewiston-Auburn Campus

June 9, 2023 (Portland) – 6 members attended

1. Introduction: Provost Georita Frierson
2. Southern Maine Pathways
3. LAC Updates
4. President's Vision for the BOV
5. Nomination Process for AY24:
 - Member roster
 - Open seats
 - Call for nominations
 - Bylaw updates
 - Set desired meeting schedule for AY24
 - Special July meeting?:
 - Vote on roster and bylaw changes
 - Confirm meeting schedule for AY23-24

Submitted by: Theodore Chelis, Deputy Chief of Staff

Date: October 16, 2023

October 16, 2023

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University of Maine System
Board of Trustees

**Audit Committee
Work Plan FY2024**

Late October Meeting Agenda:

1. UM Department of Athletics Agreed-Upon Procedures Report
2. Presentation of the Annual Financial Report (audited financial statements)
3. External auditor report including required communications letter, executive summary of financial statement audit results, and discussion of emerging accounting issues

May Meeting Agenda:

1. Review Single Audit Report
2. External auditor summary of federal compliance Single Audit results
3. External auditor discussion of required communications, audit planning for the next fiscal year, and emerging accounting issues
4. UM Department of Athletics Agreed-Upon Procedures Report

Other meetings and agenda items scheduled as needed.