

## WG III contribution to the Sixth Assessment Report

### List of corrigenda to be implemented

The corrigenda listed below will be implemented in the Chapter during copy-editing.

#### CHAPTER 7

Document (Chapter, Annex, Supp. Material)	Page (Based on the final pdf FGD version)	Line	Detailed information on correction to make
Chapter 7	75	39	Add the reference 'Smith et al 2019a' to the list of citations'Leifeld 2016; Meemken and Qaim 2018'
Chapter 7	136		Daioglou et al., 2020b: Bioenergy technologies in long-run climate change mitigation: results from the EMF-33 study. <i>Clim. Change</i> , 163, 1603-1620, doi:10.1007/s10584-020-02799-y. Reference cited in text but missing from bibliography – provided by authors during FGD compilation
Chapter 7	101	25-26	Despite increased forest area in China, however, land use change and management potentially were net contributors to carbon emissions from 1990-2010 (Lai et al. 2016).  Delete sentence

# Chapter 7: Agriculture, Forestry and Other Land Uses (AFOLU)

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ACCEPTED VERSION  
SUBJECT TO FINAL EDITS

## 1 Executive summary

2 **The Agriculture, Forestry and Other Land Uses<sup>1</sup> (AFOLU) sector encompasses managed**  
3 **ecosystems and offers significant mitigation opportunities while delivering food, wood and other**  
4 **renewable resources as well as biodiversity conservation, provided the sector adapts to climate**  
5 **change.** Land-based mitigation measures represent some of the most important options currently  
6 available. They can both deliver carbon dioxide removal (CDR) and substitute for fossil fuels, thereby  
7 enabling emissions reductions in other sectors. The rapid deployment of AFOLU measures is essential  
8 in all pathways staying within the limits of the remaining budget for a 1.5°C target (*high confidence*).  
9 Where carefully and appropriately implemented, AFOLU mitigation measures are uniquely positioned  
10 to deliver substantial co-benefits and help address many of the wider challenges associated with land  
11 management. If AFOLU measures are deployed badly then, when taken together with the increasing  
12 need to produce sufficient food, feed, fuel and wood, they may exacerbate trade-offs with the  
13 conservation of habitats, adaptation, biodiversity and other services. At the same time the capacity of  
14 the land to support these functions may be threatened by climate change itself (*high confidence*). {WGI,  
15 Figure SPM7; WGII, 7.1, 7.6}

16 **The AFOLU (managed land) sector, on average, accounted for 13-21% of global total**  
17 **anthropogenic greenhouse gas (GHG) emissions in the period 2010-2019 (*medium confidence*).** At  
18 **the same time managed and natural terrestrial ecosystems were a carbon sink, absorbing around**  
19 **one third of anthropogenic CO<sub>2</sub> emissions (*medium confidence*).** Estimated anthropogenic net CO<sub>2</sub>  
20 emissions from AFOLU (based on bookkeeping models) result in a net source of  $+5.9 \pm 4.1$  GtCO<sub>2</sub> yr<sup>-1</sup>  
21 between 2010 and 2019 with an unclear trend. Based on FAOSTAT or national GHG inventories, the  
22 net CO<sub>2</sub> emissions from AFOLU were 0.0 to  $+0.8$  GtCO<sub>2</sub> yr<sup>-1</sup> over the same period. There is a  
23 discrepancy in the reported CO<sub>2</sub> AFOLU emissions magnitude because alternative methodological  
24 approaches that incorporate different assumptions are used. If the managed and natural responses of all  
25 land to both anthropogenic environmental change and natural climate variability, estimated to be a gross  
26 sink of  $-12.5 \pm 3.2$  GtCO<sub>2</sub> yr<sup>-1</sup> for the period 2010–2019, are included with land use emissions, then  
27 land overall, constituted a net sink of  $-6.6 \pm 5.2$  GtCO<sub>2</sub> yr<sup>-1</sup> in terms of CO<sub>2</sub> emissions (*medium*  
28 *confidence*). {WGI; 7.2, 7.2.2.5, Table 7.1}

29 **AFOLU CO<sub>2</sub> emission fluxes are driven by land use change. The rate of deforestation, which**  
30 **accounts for 45% of total AFOLU emissions, has generally declined, while global tree cover and**  
31 **global forest growing stock levels are likely increasing (*medium confidence*).** There are substantial  
32 regional differences, with losses of carbon generally observed in tropical regions and gains in temperate  
33 and boreal regions. Agricultural CH<sub>4</sub> and N<sub>2</sub>O emissions are estimated to average  $157 \pm 47.1$  MtCH<sub>4</sub>  
34 yr<sup>-1</sup> and  $6.6 \pm 4.0$  MtN<sub>2</sub>O yr<sup>-1</sup> or  $4.2 \pm 1.3$  and  $1.8 \pm 1.1$  GtCO<sub>2</sub>-eq yr<sup>-1</sup> (using IPCC AR6 GWP<sub>100</sub> values  
35 for CH<sub>4</sub> and N<sub>2</sub>O) respectively between 2010 and 2019. AFOLU CH<sub>4</sub> emissions continue to increase  
36 (*high confidence*) the main source of which is enteric fermentation from ruminant animals (*high*  
37 *confidence*). Similarly, AFOLU N<sub>2</sub>O emissions are increasing, dominated by agriculture, notably from  
38 manure application, nitrogen deposition, and nitrogen fertiliser use (*high confidence*). In addition to  
39 being a source and sink for GHG emissions, land plays an important role in climate through albedo  
40 effects, evapotranspiration and volatile organic compounds (VOCs) and their mix, although the

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FOOTNOTE <sup>1</sup> For the AFOLU Sector, anthropogenic greenhouse gas emissions and removals by sinks are defined as all those occurring on ‘managed land’. Managed land is land where human interventions and practices have been applied to perform production, ecological or social functions.

1 combined role in total climate forcing is unclear and varies strongly with bioclimatic region and  
2 management type. {2.4.2.5, 7.2, 7.2.1, 7.2.3, 7.3}

3 **The AFOLU sector offers significant near-term mitigation potential at relatively low cost but**  
4 **cannot compensate for delayed emission reductions in other sectors. (*high evidence, medium***  
5 ***agreement*)**. The AFOLU sector can provide 20–30% (interquartile range) of the global mitigation  
6 needed for a 1.5 or 2°C pathway towards 2050 (*robust evidence, medium agreement*), though there are  
7 highly variable mitigation strategies for how AFOLU potential can be deployed for achieving climate  
8 targets. The estimated *likely* economic (< USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) AFOLU sector mitigation potential is 8  
9 to 14 GtCO<sub>2</sub>-eq yr<sup>-1</sup> between 2020-2050, with the bottom end of this range representing the mean from  
10 integrated assessment models (IAMs) and the upper end representing the mean estimate from global  
11 sectoral studies. The economic potential is about half of the technical potential from AFOLU, and about  
12 30-50% could be achieved under USD20 tCO<sub>2</sub>-eq<sup>-1</sup>. The implementation of robust measurement,  
13 reporting and verification processes is paramount to improving the transparency of net-carbon-stock-  
14 changes per land unit to prevent misleading assumptions or claims on mitigation. {7.1, 7.4, 7.5}

15 **Between 2020 and 2050, mitigation measures in forests and other natural ecosystems provide the**  
16 **largest share of the economic (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) AFOLU mitigation potential, followed by**  
17 **agriculture and demand-side measures (*high confidence*)**. In the global sectoral studies, the  
18 protection, improved management, and restoration of forests, peatlands, coastal wetlands, savannas and  
19 grasslands have the potential to reduce emissions and/or sequester 7.3 mean (3.9–13.1 range) GtCO<sub>2</sub>-  
20 eq yr<sup>-1</sup>. Agriculture provides the second largest share of the mitigation potential, with 4.1 (1.7–6.7)  
21 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) from cropland and grassland soil carbon management,  
22 agroforestry, use of biochar, improved rice cultivation, and livestock and nutrient management.  
23 Demand-side measures including shifting to sustainable healthy diets, reducing food waste, and  
24 building with wood and biochemicals and bio-textiles have a mitigation potential of 2.2 (1.1–3.6)  
25 GtCO<sub>2</sub>-eq yr<sup>-1</sup>. Most mitigation options are available and ready to deploy. Emissions reductions can be  
26 unlocked relatively quickly, whereas CDR needs upfront investment. Sustainable intensification in  
27 agriculture, shifting diets, and reducing food waste could enhance efficiencies and reduce agricultural  
28 land needs, and are therefore critical for enabling supply-side measures such as reforestation,  
29 restoration, as well as decreasing CH<sub>4</sub> and N<sub>2</sub>O emissions from agricultural production. In addition,  
30 emerging technologies (e.g., vaccines or inhibitors) have the potential to substantially increase CH<sub>4</sub>  
31 mitigation potential beyond current estimates. AFOLU mitigation is not only relevant in countries with  
32 large land areas. Many smaller countries and regions, particularly with wetlands, have  
33 disproportionately high levels of AFOLU mitigation potential density. {7.4, 7.5}

34 **The economic and political feasibility of implementing AFOLU mitigation measures is hampered**  
35 **by persistent barriers. Assisting countries to overcome barriers will help to achieve significant**  
36 **short-term mitigation (*medium confidence*)**. Finance forms a critical barrier to achieving these gains  
37 as currently mitigation efforts rely principally on government sources and funding mechanisms which  
38 do not provide sufficient resources to enable the economic potential to be realised. Differences in  
39 cultural values, governance, accountability and institutional capacity are also important barriers.  
40 Climate change could also emerge as a barrier to AFOLU mitigation, although the IPCC WGI  
41 contribution to AR6 indicated that an increase in the capacity of natural sinks may occur, despite  
42 changes in climate (*medium confidence*). The continued loss of biodiversity makes ecosystems less  
43 resilient to climate change extremes and this may further jeopardise the achievement of the AFOLU  
44 mitigation potentials indicated in this chapter (WGII and IPBES) (*high confidence*). {WGI Figure  
45 SPM7; 7.4, 7.6}

1 **Bioenergy and other biobased options represent an important share of the total mitigation**  
2 **potential. The range of recent estimates for the technical bioenergy potential when constrained**  
3 **by food security and environmental considerations is 5–50 and 50–250 EJ yr<sup>-1</sup> by 2050 for residues**  
4 **and dedicated biomass production system respectively. These estimates fall within previously**  
5 **estimated ranges (*medium agreement*). Poorly planned deployment of biomass production and**  
6 **afforestation options for in-forest carbon sequestration may conflict with environmental and social**  
7 **dimensions of sustainability (*high confidence*). The global technical CDR potential of BECCS by 2050**  
8 **(considering only the technical capture of CO<sub>2</sub> and storage underground) is estimated at 5.9 mean (0.5-**  
9 **11.3) GtCO<sub>2</sub> yr<sup>-1</sup>, of which 1.6 (0.8-3.5) GtCO<sub>2</sub> yr<sup>-1</sup> is available at below USD100 tCO<sub>2</sub><sup>-1</sup> (*medium***  
10 ***confidence*). Bioenergy and other bio-based products provide additional mitigation through the**  
11 **substitution of fossil fuels fossil based products (*high confidence*). These substitution effects are**  
12 **reported in other sectors. Wood used in construction may reduce emissions associated with steel and**  
13 **concrete use. The agriculture and forestry sectors can devise management approaches that enable**  
14 **biomass production and use for energy in conjunction with the production of food and timber, thereby**  
15 **reducing the conversion pressure on natural ecosystems (*medium confidence*). {7.4}**

16 **The deployment of all land-based mitigation measures can provide multiple co-benefits, but there**  
17 **are also risks and trade-offs from misguided or inappropriate land management (*high***  
18 ***confidence*). Such risks can best be managed if AFOLU mitigation is pursued in response to the**  
19 **needs and perspectives of multiple stakeholders to achieve outcomes that maximize synergies**  
20 **while limiting trade-offs (*medium confidence*). The results of implementing AFOLU measures are**  
21 **often variable and highly context specific. Depending on local conditions (e.g., ecosystem, climate,**  
22 **food system, land ownership) and management strategies (e.g., scale, method), mitigation measures**  
23 **have the potential to positively or negatively impact biodiversity, ecosystem functioning, air quality,**  
24 **water availability and quality, soil productivity, rights infringements, food security, and human**  
25 **wellbeing. Mitigation measures addressing GHGs may also affect other climate forcers such as albedo**  
26 **and evapotranspiration. Integrated responses that contribute to mitigation, adaptation, and other land**  
27 **challenges will have greater likelihood of being successful (*high confidence*); measures which provide**  
28 **additional benefits to biodiversity and human well being are sometimes described as ‘Nature-based**  
29 **Solutions’. {7.1, 7.4, 7.6}**

30 **AFOLU mitigation measures have been well understood for decades but deployment remains**  
31 **slow and emissions trends indicate unsatisfactory progress despite beneficial contributions to**  
32 **global emissions reduction from forest-related options (*high confidence*). Globally, the AFOLU**  
33 **sector has so far contributed modestly to net mitigation, as past policies have delivered about 0.65**  
34 **GtCO<sub>2</sub> yr<sup>-1</sup> of mitigation during 2010–2019 or 1.4% of global gross emissions (*high confidence*). The**  
35 **majority (>80%) of emission reduction resulted from forestry measures (*high confidence*). Although**  
36 **the mitigation potential of AFOLU measures is large from a biophysical and ecological perspective, its**  
37 **feasibility is hampered by lack of institutional support, uncertainty over long-term additionality and**  
38 **trade-offs, weak governance, fragmented land ownership, and uncertain permanence effects. Despite**  
39 **these impediments to change, AFOLU mitigation options are demonstrably effective and with**  
40 **appropriate support can enable rapid emission reductions in most countries. {7.4, 7.6}**

41 **Concerted, rapid and sustained effort by all stakeholders, from policy makers and investors to**  
42 **land owners and managers is a pre-requisite to achieving high levels of mitigation in the AFOLU**  
43 **sector (*high confidence*). To date USD0.7 billion yr<sup>-1</sup> is estimated to have been spent on AFOLU**  
44 **mitigation. This is well short of the more than USD400 billion yr<sup>-1</sup> that is estimated to be necessary to**  
45 **deliver the up to 30% of global mitigation effort envisaged in deep mitigation scenarios (*medium***  
46 ***confidence*). This estimate of the global funding requirement is smaller than current subsidies provided**

1 to agriculture and forestry. Making this funding available would require a change in flows of money  
2 and determination of who pays. A gradual redirection of existing agriculture and forestry subsidies  
3 would greatly advance mitigation. Effective policy interventions and national (investment) plans as part  
4 of Nationally Determined Contributions (NDCs), specific to local circumstances and needs, are  
5 urgently needed to accelerate the deployment of AFOLU mitigation options. These interventions are  
6 effective when they include funding schemes and long-term consistent support for implementation with  
7 governments taking the initiative together with private funders and non-state actors. {7.6}

8 **Realizing the mitigation potential of the AFOLU sector depends strongly on policies that directly**  
9 **address emissions and drive the deployment of land-based mitigation options, consistent with**  
10 **carbon prices in deep mitigation scenarios (*high confidence*).** Examples of successful policies and  
11 measures include establishing and respecting tenure rights and community forestry, improved  
12 agricultural management and sustainable intensification, biodiversity conservation, payments for  
13 ecosystem services, improved forest management and wood chain usage, bioenergy, voluntary supply  
14 chain management efforts, consumer behaviour campaigns, private funding and joint regulatory efforts  
15 to avoid e.g., leakage. The efficacy of different policies, however, will depend on numerous region-  
16 specific factors. In addition to funding, these factors include governance, institutions, long-term  
17 consistent execution of measures, and the specific policy setting (*high confidence*). {7.6}

18 **There is a discrepancy, equating to 5.5 GtCO<sub>2</sub> yr<sup>-1</sup> between alternative methods of accounting for**  
19 **anthropogenic land CO<sub>2</sub> fluxes. Reconciling these methods greatly enhances the credibility of**  
20 **AFOLU-based emissions offsetting. It would also assist in assessing collective progress in a global**  
21 **stocktake (*high confidence*).** The principal accounting approaches are National GHG inventories  
22 (NGHGI) and global modelling approaches. NGHGI, based on IPCC guidelines, consider a much larger  
23 area of forest to be under human management than global models. NGHGI consider the fluxes due to  
24 human-induced environmental change on this area to be anthropogenic and are thus reported. Global  
25 models<sup>2</sup>, in contrast, consider these fluxes to be natural and are excluded from the total reported  
26 anthropogenic land CO<sub>2</sub> flux. To enable a like-with-like comparison, the remaining cumulative global  
27 CO<sub>2</sub> emissions budget can be adjusted (*medium confidence*). In the absence of these adjustments,  
28 collective progress would appear better than it is {Cross-Chapter Box 6 in this Chapter, 7.2}

29 **Addressing the many knowledge gaps in the development and testing of AFOLU mitigation**  
30 **options can rapidly advance the likelihood of achieving sustained mitigation (*high confidence*).**  
31 Research priorities include improved quantification of anthropogenic and natural GHG fluxes and  
32 emissions modelling, better understanding of the impacts of climate change on the mitigation potential,  
33 permanence and additionality of estimated mitigation actions, and improved (real time & cheap)  
34 measurement, reporting and verification. There is a need to include a greater suite of mitigation  
35 measures in IAMs, informed by more realistic assessments that take into account local circumstances  
36 and socio-economic factors and cross-sector synergies and trade-offs. Finally, there is a critical need  
37 for more targeted research to develop appropriate country-level, locally specific, policy and land  
38 management response options. These options could support more specific NDCs with AFOLU  
39 measures that enable mitigation while also contributing to biodiversity conservation, ecosystem  
40 functioning, livelihoods for millions of farmers and foresters, and many other Sustainable Development  
41 Goals (SDGs) (*high confidence*). {7.7}

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FOOTNOTE <sup>2</sup> Book keeping models and dynamic global vegetation models



## 1 7.1 Introduction

### 2 7.1.1 Key findings from previous reports

3 Agriculture, Forestry and Other Land Uses (AFOLU) is unique due to its capacity to mitigate climate  
4 change through greenhouse gas (GHG) emission reductions, as well as enhance removals (IPCC 2019).  
5 However, despite the attention on AFOLU since early 1990s it was reported in the SRCCL as  
6 accounting for almost a quarter of anthropogenic emission (IPCC (2019a), with three main GHGs  
7 associated with AFOLU; carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O). Overall  
8 emission levels had remained similar since the publication of AR4 (Nabuurs et al. 2007). The diverse  
9 nature of the sector, its linkage with wider societal, ecological and environmental aspects and the  
10 required coordination of related policy, was suggested to make implementation of known and available  
11 supply- and demand-side mitigation measures particularly challenging (IPCC 2019a). Despite such  
12 implementation barriers, the considerable mitigation potential of AFOLU as a sector on its own and its  
13 capacity to contribute to mitigation within other sectors was emphasised, with land-related measures,  
14 including bioenergy, estimated as capable of contributing between 20 and 60% of the total cumulative  
15 abatement to 2030 identified within transformation pathways (IPCC 2018). However, the vast  
16 mitigation potential from AFOLU initially portrayed in literature and in Integrated Assessment Models  
17 (IAMs), as explored in SR1.5, is being questioned in terms of feasibility (Roe et al. 2021) and a more  
18 balanced perspective on the role of land in mitigation is developing, while at the same time, interest by  
19 private investors in land-based mitigation is increasing fast.

20 The SRCCL (IPCC 2019a) outlined with *medium evidence* and *medium agreement* that supply-side  
21 agriculture and forestry measures had an economic (at USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) mitigation potential of 7.2-  
22 10.6 GtCO<sub>2</sub>-eq<sup>-1</sup> in 2030 (using GWP<sub>100</sub> and multiple IPCC values for CH<sub>4</sub> and N<sub>2</sub>O) of which about a  
23 third was estimated as achievable at < USD20 tCO<sub>2</sub>-eq<sup>-1</sup>. Agricultural measures were reported as  
24 sensitive to carbon price, with cropland and grazing land soil organic carbon management having the  
25 greatest potential at USD20 tCO<sub>2</sub>-eq<sup>-1</sup> and restoration of organic soils at USD100 tCO<sub>2</sub>-eq<sup>-1</sup>. Forestry  
26 measures were less sensitive to carbon price, but varied regionally, with reduced deforestation, forest  
27 management and afforestation having the greatest potential depending on region. Although demand-  
28 side measures related to food could in theory make a large contribution to mitigation, in reality the  
29 contribution has been very small. Overall, the dependency of mitigation within AFOLU on a complex  
30 range of factors, from population growth, economic and technological developments, to the  
31 sustainability of mitigation measures and impacts of climate change, was suggested to make realisation  
32 highly challenging (IPCC 2019a)

33 Land can only be part of the solution alongside rapid emission reduction in other sectors (IPCC 2019a).  
34 It was recognised that land supports many ecosystem services on which human existence, wellbeing  
35 and livelihoods ultimately depend. Yet over-exploitation of land resources was reported as driving  
36 considerable and unprecedented rate of biodiversity loss, and wider environmental degradation (IPCC  
37 2019a,IPBES 2019a). Urgent action to reverse this trend was deemed crucial in helping to accommodate  
38 the increasing demands on land and enhance climate change adaptation capacity. There was *high*  
39 *confidence* that global warming was already causing an increase in the frequency and intensity of  
40 extreme weather and climate events, impacting ecosystems, food security, disturbances and production  
41 processes, with existing (and new) carbon stocks in soils and biomass at serious risk. The impact of  
42 land cover on regional climate (through biophysical effects) was also highlighted, although there was  
43 *no confidence* regarding impacts on global climate.

44 Since AR5, the share of AFOLU to anthropogenic GHG emissions had remained largely unchanged at  
45 13-21% of total GHG emissions (*medium confidence*), though uncertainty in estimates of both sources

1 and sinks of CO<sub>2</sub>, exacerbated by difficulties in separating natural and anthropogenic fluxes, was  
2 emphasised. Models indicated land (including the natural sink) to have *very likely* provided a net  
3 removal of CO<sub>2</sub> between 2007 and 2016. As in AR5, land cover change, notably deforestation, was  
4 identified as a major driver of anthropogenic CO<sub>2</sub> emissions whilst agriculture was a major driver of the  
5 increasing anthropogenic CH<sub>4</sub> and N<sub>2</sub>O emissions.

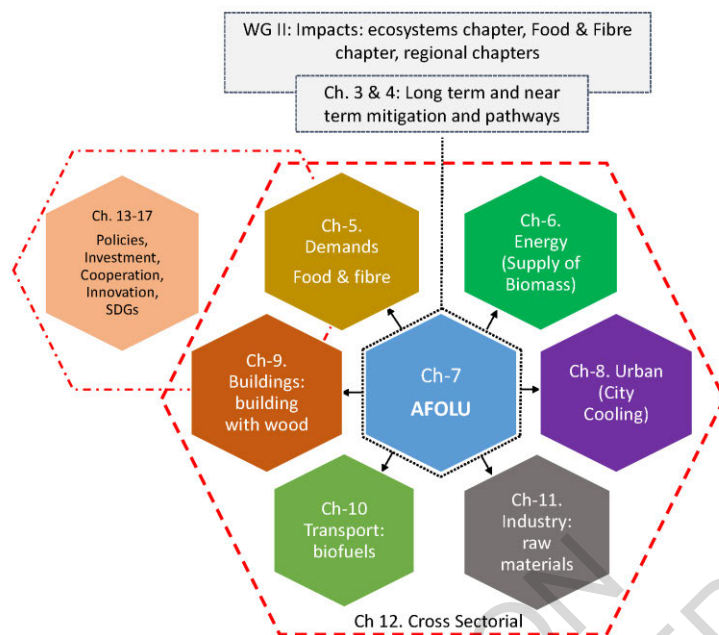
6 In terms of mitigation, without reductions in overall anthropogenic emissions, increased reliance on  
7 large-scale land-based mitigation was predicted, which would add to the many already competing  
8 demands on land. However, some mitigation measures were suggested to not compete with other land  
9 uses, while also having multiple co-benefits, including adaptation capacity and potential synergies with  
10 some Sustainable Development Goals (SDGs). As in AR5, there was large uncertainty surrounding  
11 mitigation within AFOLU, in part because current carbon stocks and fluxes are unclear and subject to  
12 temporal variability. Additionally, the non-additive nature of individual measures that are often inter-  
13 linked and the highly context specific applicability of measures, causes further uncertainty. Many  
14 AFOLU measures were considered well-established and some achievable at low to moderate cost, yet  
15 contrasting economic drivers, insufficient policy, lack of incentivisation and institutional support to  
16 stimulate implementation among the many stakeholders involved, in regionally diverse contexts, was  
17 recognised as hampering realisation of potential.

18 None the less, the importance of mitigation within AFOLU was highlighted in all IPCC reports, with  
19 modelled scenarios demonstrating the considerable potential role and land-based mitigation forming an  
20 important component of pledged mitigation in Nationally Determined Contributions (NDCs) under the  
21 Paris Agreement. The sector was identified as the only one in which large-scale Carbon Dioxide  
22 Removal (CDR) may currently and at short term be possible (e.g. through afforestation/reforestation or  
23 soil organic carbon management). This CDR component was deemed crucial to limit climate change  
24 and its impacts, which would otherwise lead to enhanced release of carbon from land. However, the  
25 SRCCCL emphasised that mitigation cannot be pursued in isolation. The need for integrated response  
26 options, that mitigate and adapt to climate change, but also deal with land degradation and  
27 desertification, while enhancing food and fibre security, biodiversity and contributing to other SDGs  
28 has been made clear (IPCC 2019 ; Díaz et al. 2019; IPBES-IPCC 2021).

### 29 **7.1.2 Boundaries, scope and changing context of the current report**

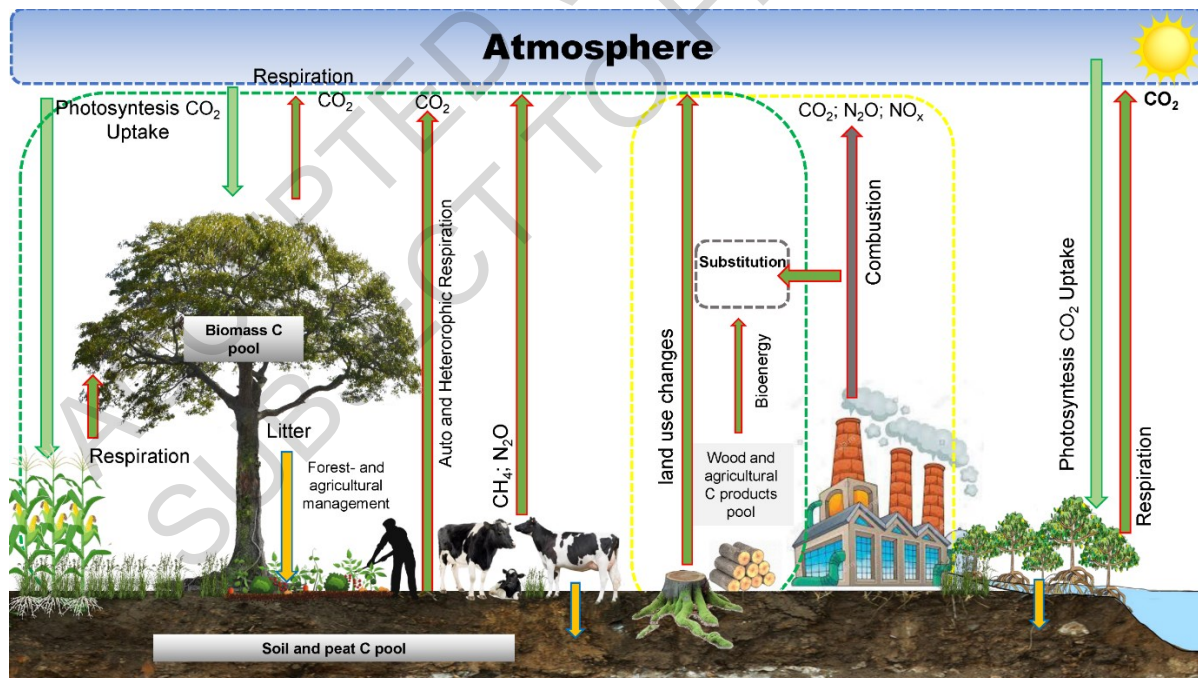
30 This chapter assesses GHG fluxes between land and the atmosphere due to AFOLU, the associated  
31 drivers behind these fluxes, mitigation response options and related policy, at time scales of 2030 and  
32 2050. Land and its management has important links with other sectors and therefore associated chapters  
33 within this report, notably concerning the provision of food, feed, fuel or fibre for human consumption  
34 and societal wellbeing (Chapter 5), for bioenergy (Chapter 6), the built environment (Chapter 9),  
35 transport (Chapter 10) and industry (Chapter 11). Mitigation within these sectors may in part, be  
36 dependent on contributions from land and the AFOLU sector, with interactions between all sectors  
37 discussed in Chapter 12. This chapter also has important links with IPCC WGII regarding climate  
38 change impacts and adaptation. Linkages are illustrated in Figure 7.1.

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**Figure 7.1 Linkage between Chapter 7 and other chapters within this report as well as to WGII. Mitigation potential estimates in this chapter consider potential emission reductions and removals only within the AFOLU sector itself, and not the substitution effects from biomass and biobased products in sectors such as Energy, Transport, Industry, Buildings, nor biophysical effects of e.g. cooling of cities. These are covered in their respective chapters.**



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**Figure 7.2 Summarised representation of interactions between land management, its products in terms of food and fibre, and land - atmospheric GHG fluxes. For legibility reasons only a few of the processes and management measures are depicted.**

1 As highlighted in both AR5 and the SRCCL, there is a complex interplay between land management  
2 and GHG fluxes as illustrated in Figure 7.2, with considerable variation in management regionally, as  
3 a result of geophysical, climatic, ecological, economic, technological, institutional and socio-cultural  
4 diversity. The capacity for land-based mitigation varies accordingly. The principal focus of this chapter  
5 is therefore, on evaluating regional land-based mitigation potential, identifying applicable AFOLU  
6 mitigation measures, estimating associated costs and exploring policy options that could enable  
7 implementation.

8 Mitigation measures are broadly categorised as those relating to (1) forests and other ecosystems (2)  
9 agriculture (3) biomass production for products and bioenergy and (4) demand-side levers. Assessment  
10 is made in the context that land-mitigation is expected to contribute roughly 25% of the 2030 mitigation  
11 pledged in Nationally Determined Contributions (NDCs) under the Paris Agreement (Grassi et al.  
12 2017), yet very few countries have provided details on how this will be achieved. In light of AR5 and  
13 the SRCCL findings, that indicate large land-based mitigation potential, considerable challenges to its  
14 realisation, but also a clear nexus at which humankind finds itself, whereby current land management,  
15 driven by population growth and consumption patterns, is undermining the very capacity of land, a  
16 finite resource, to support wider critical functions and services on which humankind depends.  
17 Mitigation within AFOLU is occasionally and wrongly perceived as an opportunity for in-action within  
18 other sectors. AFOLU simply cannot compensate for mitigation shortfalls in other sectors. As the  
19 outcomes of many critical challenges (UN Environment 2019), including biodiversity loss (Díaz et al.  
20 2019) and soil degradation (FAO and ITPS 2015), are inextricably linked with how we manage land,  
21 the evaluation and assessment of AFOLU is crucial. This chapter aims to address three core topics;

- 22 1. What is the latest estimated (economic) mitigation potential of AFOLU measures according to  
23 both sectoral studies and integrated assessment models and how much of this may be realistic  
24 within each global region?
- 25 2. How do we realise the mitigation potential, while minimising trade-offs and risks and  
26 maximising co-benefits that can enhance food and fibre security, conserve biodiversity and  
27 address other land challenges?
- 28 3. How effective have policies been so far and what additional policies or incentives might enable  
29 realisation of mitigation potential and at what costs?

30 This chapter first outlines the latest trends in AFOLU fluxes and the methodology supporting their  
31 estimation (Section 7.2). Direct and indirect drivers behind emission trends are discussed in Section  
32 7.3. Mitigation measures, their costs, co-benefits, trade-offs, estimated regional potential and  
33 contribution within integrated global mitigation scenarios, is presented in Sections 7.4 and 7.5  
34 respectively. Assessment of associated policy responses and links with SDGs are explored in Section  
35 7.6. The chapter concludes with gaps in knowledge (Section 7.7) and frequently asked questions.

## 37 **7.2 Historical and current trends in GHG emission and removals; their** 38 **uncertainties and implications for assessing collective climate progress**

39 The biosphere on land and in wetlands is a source and sink of CO<sub>2</sub> and CH<sub>4</sub>, and a source of N<sub>2</sub>O due  
40 to both natural and anthropogenic processes that happen simultaneously and are therefore difficult to  
41 disentangle (IPCC 2010; Angelo and Du Plessis 2017; IPCC 2019a). AFOLU is the only GHG sector to  
42 currently include anthropogenic sinks. A range of methodological approaches and data have been  
43 applied to estimating AFOLU emissions and removals, each developed for their own purposes, with  
44 estimates varying accordingly. Since the SRCCL (Jia et al. 2019), emissions estimates have been

1 updated (Sections 7.2.2 and 7.2.3), while the assessment of biophysical processes and short-lived  
 2 climate forcers (Section 7.2.4) is largely unchanged. Further progress has been made on the implications  
 3 of differences in AFOLU emissions estimates for assessing collective climate progress (Section 7.2.2.2,  
 4 Cross-Chapter Box 6 in this Chapter).

### 5 7.2.1 Total net GHG flux from AFOLU

6 National Greenhouse Gas Inventory (NGHGI) reporting following the IPCC 1996 guidelines (IPCC  
 7 1996), separates the total anthropogenic AFOLU flux into: (i) net anthropogenic flux from Land Use,  
 8 Land-Use Change, and Forestry (LULUCF) due to both change in land cover and land management;  
 9 and (ii) the net flux from Agriculture. While fluxes of CO<sub>2</sub> (Section 7.2.2) are predominantly from  
 10 LULUCF and fluxes of CH<sub>4</sub> and N<sub>2</sub>O (Section 7.2.3) are predominantly from agriculture, fluxes of all  
 11 three gases are associated with both sub-sectors. However, not all methods separate them consistently  
 12 according to these sub-sectors, thus here we use the term AFOLU, separate by gas and implicitly include  
 13 CO<sub>2</sub> emissions that stem from the agriculture part of AFOLU, though these account for a relatively  
 14 small portion.

15

16 **Table 7.1 Net anthropogenic emissions (annual averages for 2010–2019 ) from Agriculture, Forestry and**  
 17 **Other Land Use (AFOLU). For context, the net flux due to the natural response of land to climate and**  
 18 **environmental change is also shown for CO<sub>2</sub> in column E. Positive values represent emissions, negative**  
 19 **values represent removals.**

Gas	Units	Anthropogenic				Natural Response	Natural + Anthropogenic
		AFOLU Net anthropogenic emissions <sup>a</sup>	Non-AFOLU anthropogenic GHG emissions <sup>d,f</sup>	Total net anthropogenic emissions (AFOLU + non-AFOLU) by gas	AFOLU as a % of total anthropogenic emissions by gas	Natural land sinks including natural response of land to anthropogenic environmental change and climate variability <sup>e</sup>	Net-land atmosphere CO <sub>2</sub> flux (i.e. anthropogenic AFOLU + natural fluxes across entire land surface)
		A	B	C = A+B	D = (A/C) *100	E	F=A+E
CO <sub>2</sub>	GtCO <sub>2</sub> -eq yr <sup>-1</sup>	59.4 ± 1.1 <sup>b,f</sup> (bookkeeping models only) 60.0 ± 0.8 (NGHGI/FAOSTAT data)	36.2 ± 2.9	42.0 ± 29.0	14%	-12.5 ± 3.2	-6.6 ± 4.6
	MtCH <sub>4</sub> yr <sup>-1</sup>	157.0 ± 47.1	207.5 ± 62.2	364.4 ± 109.3		- <sup>i</sup>	
CH <sub>4</sub>	GtCO <sub>2</sub> eq y <sup>-1</sup>	4.2 ± 1.3 <sup>g</sup>	5.9 ± 1.8	10.2 ± 3.0	41%		
	MtN <sub>2</sub> O yr <sup>-1</sup>	6 ± 4.0 <sup>c</sup>	2.8 ± 1.7	9.4 ± 5.6			
N <sub>2</sub> O	GtCO <sub>2</sub> -eq yr <sup>-1</sup>	1.8 ± 1.1 <sup>g</sup>	0.8 ± 0.5	2.6 ± 1.5	69%		
Total <sup>j</sup>	GtCO <sub>2</sub> -eq yr <sup>-1</sup>	11.9 ± 4.4 (CO <sub>2</sub> component considers bookkeeping models only)	44 ± 3.4	55.9 ± 6.1	21%		

20

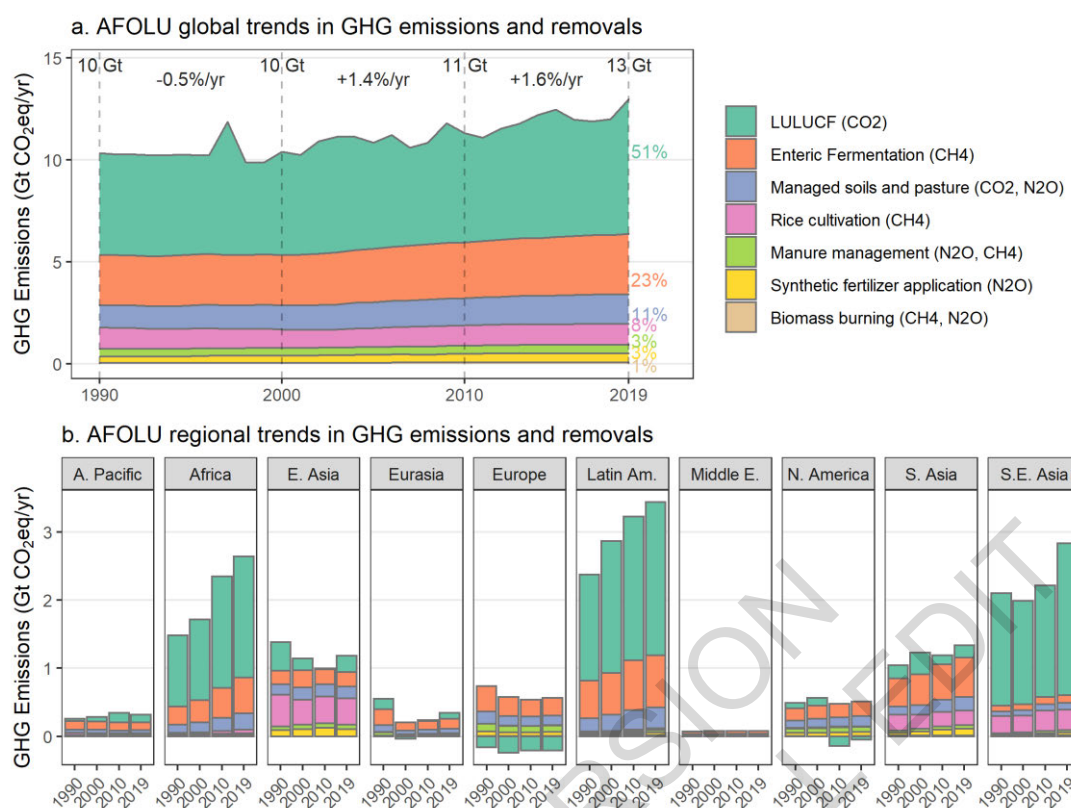
21 <sup>a</sup> Estimates are given until 2019 as this is the latest date when data are available for all gases, consistent with  
 22 Chapter 2, this report. Positive fluxes are emission from land to the atmosphere. Negative fluxes are removals.

23 <sup>b</sup> Net anthropogenic flux of CO<sub>2</sub> are due to land-use change such as deforestation and afforestation and land  
 24 management, including wood harvest and regrowth, peatland drainage and fires, cropland and grassland  
 25 management. Average of three bookkeeping models (Hansis et al. 2015; Houghton and Nassikas 2017; Gasser  
 26 et al. 2020), complemented by data on peatland drainage and fires from FAOSTAT (Prosperi et al. 2020) and



- 1 GFED4s (Van Der Werf et al. 2017). This number is used for consistency with WGI and Chapter 2, this report.  
2 Comparisons with other estimates are discussed in 7.2.2. Based on NGHGs and FAOSTAT, the range is 0 to  
3 0.8 Gt CO<sub>2</sub> yr<sup>-1</sup>.
- 4 <sup>c</sup> CH<sub>4</sub> and N<sub>2</sub>O emission estimates and assessed uncertainty of 30 and 60% respectively, are based on EDGAR  
5 data (Crippa et al. 2021) in accordance with Chapter 2, this report (Sections 2.2.1.3 and 2.2.1.4). Both  
6 FAOSTAT (FAO 2021a; Tubiello 2019; USEPA 2019) and the USA EPA (USEPA 2019) also provide data  
7 on agricultural non-CO<sub>2</sub> emissions, however mean global CH<sub>4</sub> and N<sub>2</sub>O values considering the three databases  
8 are within the uncertainty bounds of EDGAR. EDGAR only considers agricultural and not overall AFOLU  
9 non-CO<sub>2</sub> emissions. Agriculture is estimated to account for approximately 89 and 96% of total AFOLU CH<sub>4</sub>  
10 and N<sub>2</sub>O emissions respectively. See Section 7.2.3 for further discussion.
- 11 <sup>d</sup> Total non-AFOLU emissions are the sum of total CO<sub>2</sub>-eq emissions values for energy, industrial sources, waste  
12 and other emissions with data from the Global Carbon Project for CO<sub>2</sub>, including international aviation and  
13 shipping, and from the PRIMAP database for CH<sub>4</sub> and N<sub>2</sub>O averaged over 2007-2014, as that was the period  
14 for which data were available.
- 15 <sup>e</sup> The modelled CO<sub>2</sub> estimates include natural processes in vegetation and soils and how they respond to both  
16 natural climate variability and to human-induced environmental changes i.e. the response of vegetation and  
17 soils to environmental changes such as increasing atmospheric CO<sub>2</sub> concentration, nitrogen deposition, and  
18 climate change (indirect anthropogenic effects) on both managed and unmanaged lands. The estimate shown  
19 represents the average from 17 Dynamic Global Vegetation Models with 1SD uncertainty (Friedlingstein et  
20 al. 2020)
- 21 <sup>f</sup> The NGHGs take a different approach to calculating “anthropogenic” CO<sub>2</sub> fluxes than the models (Section  
22 7.2.2). In particular the sinks due to environmental change (indirect anthropogenic fluxes) on managed lands  
23 are generally treated as anthropogenic in NGHGs and non-anthropogenic in models such as bookkeeping and  
24 IAMs. A reconciliation of the results between IAMs and NGHGs is presented in Cross-Chapter Box 6 in this  
25 Chapter. If applied to this table, it would transfer approximately -5.5 GtCO<sub>2</sub> y<sup>-1</sup>(a sink) from Column E (which  
26 would become --7.2 GtCO<sub>2</sub> yr<sup>-1</sup>) to Column A (which would then be 0.4 GtCO<sub>2</sub> yr<sup>-1</sup>).
- 27 <sup>g</sup> All values expressed in units of CO<sub>2</sub>-eq are based on IPCC AR6 100-year Global Warming Potential (GWP<sub>100</sub>)  
28 values with climate-carbon feedbacks (CH<sub>4</sub> = 27, N<sub>2</sub>O = 2.3) (Chapter 2, Supplementary Material SM2.3 and  
29 IPCC WGI AR6 Section 7.6).
- 30 <sup>h</sup> For assessment of cross-sector fluxes related to the food sector, see Chapter 12, this report.
- 31 <sup>i</sup> While it is acknowledged that soils are a natural CH<sub>4</sub> sink (Jackson et al. 2020) with soil microbial removals  
32 estimated to be 30 ± 19 MtCH<sub>4</sub> yr<sup>-1</sup> for the period 2008-2017 (according to bottom-up estimates), natural CH<sub>4</sub>  
33 sources are considerably greater (371 (245-488) MtCH<sub>4</sub> yr<sup>-1</sup>) resulting in natural processes being a net CH<sub>4</sub>  
34 source (IPCC WGI AR6 Section 5.2.2). The soil CH<sub>4</sub> sink is therefore omitted from Column E.
- 35 <sup>j</sup> Total GHG emissions concerning non-AFOLU sectors and all sectors combined (Columns B and C) include  
36 fluorinated gases in addition to CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O. Therefore, total values do not equal the sum of estimates  
37 for CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O.

38



**Figure 7.3 Subdivision of the total AFOLU emissions from Table 7.1 by activity and gas for the period 1990 to 2019. Positive values are emissions from land to atm sphere, negative values are removals. Panel A shows emissions divided into major activity and gases. Note that ‘biomass burning’ is only the burning of agriculture residues in the fields. The indicated growth rates between 1990-2000, 2000-2010, 2010-2019 are annualised across each time period. Panel B illustrates regional emissions in the years 1990, 2000, 2010, 2019 AFOLU CO<sub>2</sub> (green shading) represents all AFOLU CO<sub>2</sub> emissions. It is the mean from three bookkeeping models (Hansis et al. 2015; Houghton and Nassikas 2017; Gasser et al. 2020) as presented in the Global Carbon Budget (Friedlingstein et al. 2020) and is not directly comparable to LULUCF in NGHGs (Section 7.2.2). Data on CH<sub>4</sub> and N<sub>2</sub>O emissions are from the EDGAR database (Crippa et al. 2021). See Sections 7.2.2 and 7.2.3 for comparison of different datasets. All values expressed are asCO<sub>2</sub>-eq with GWP<sub>100</sub> values: CH<sub>4</sub> = 27, N<sub>2</sub>O = 273.**

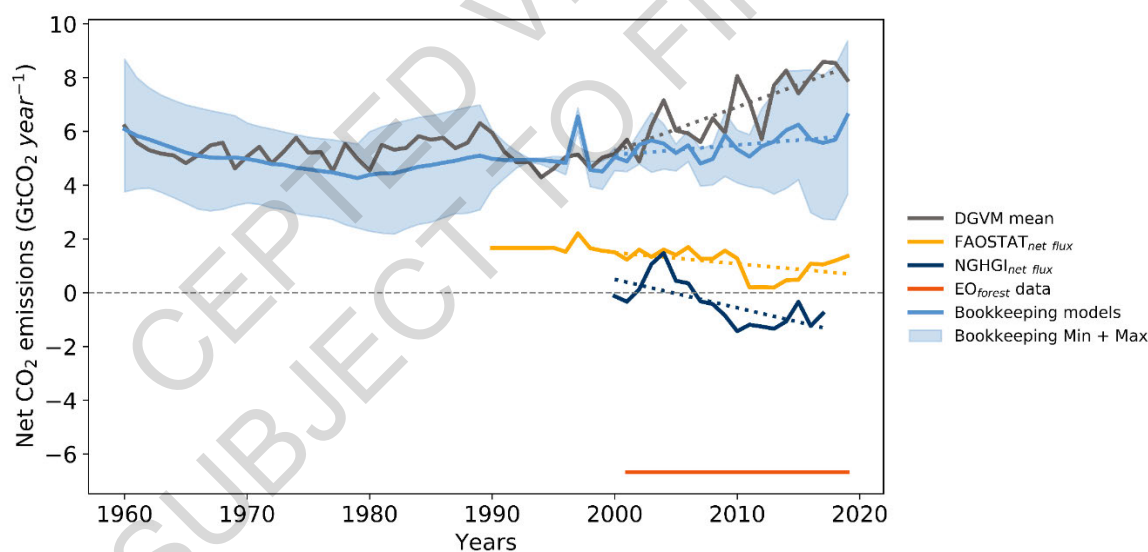
Total global net anthropogenic GHG emissions from AFOLU were  $11.9 \pm 4.4$  GtCO<sub>2</sub>-eq yr<sup>-1</sup> on average over the period 2010-2019, around 21% of total global net anthropogenic GHG emissions (Table 7.1, Figure 7.3, using the sum of bookkeeping models for the CO<sub>2</sub> component). When using FAOSTAT/NGHGs CO<sub>2</sub> flux data, then the contribution of AFOLU to total emissions amounts to 13% of global emissions.

This AFOLU flux is the net of anthropogenic emissions of CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O, and anthropogenic removals of CO<sub>2</sub>. The contribution of AFOLU to total emissions varies regionally with highest in Latin America and Caribbean with 58% and lowest in Europe and North America with each 7% (Chapter 2, Section 2.2.3). There is a discrepancy in the reported CO<sub>2</sub> AFOLU emissions magnitude because alternative methodological approaches that incorporate different assumptions are used (see 7.2.2.2). While there is *low agreement* in the trend of global AFOLU CO<sub>2</sub> emissions over the past few decades (7.2.2), they have remained relatively constant (*medium confidence*) (Chapter 2). Average non-CO<sub>2</sub> emission (aggregated using GWP<sub>100</sub> IPCC AR6 values) from agriculture have risen from  $5.2 \pm 1.4$  GtCO<sub>2</sub>-eq yr<sup>-1</sup> for the period 1990 to 1999, to  $6.0 \pm 1.7$  GtCO<sub>2</sub>-eq yr<sup>-1</sup> for the period 2010 to 2019 (Crippa et al. 2021), Section 7.2.3).

1 To present a fuller understanding of land-atmosphere interactions, Table 7.1 includes an estimate of the  
 2 natural sink of land to atmospheric CO<sub>2</sub> (IPCC WGI Chapter 5 and (Jia et al. 2019)). Land fluxes respond  
 3 naturally to human-induced environmental change (e.g. climate change, and the fertilising effects of  
 4 increased atmospheric CO<sub>2</sub> concentration and nitrogen deposition), known as “indirect anthropogenic  
 5 effects”, and also to “natural effects” such as climate variability (IPCC 2010) (Table 7.1, Section 7.2.2).  
 6 This showed a removal of  $-12.5 \pm 3.2 \text{ GtCO}_2 \text{ yr}^{-1}$  (*medium confidence*) from the atmosphere during  
 7 2010–2019 according to global DGVM models (Friedlingstein et al. 2020) 31% of total anthropogenic  
 8 net emissions of CO<sub>2</sub> from all sectors. It is likely that the NGHIs and FAOSTAT implicitly cover some  
 9 part of this sink and thus provide a net CO<sub>2</sub> AFOLU balance with some 5 GtCO<sub>2</sub> lower net emissions  
 10 than according to bookkeeping models, with the overall net CO<sub>2</sub> value close to being neutral. Model  
 11 results and atmospheric observations concur that, when combining both anthropogenic (AFOLU) and  
 12 natural processes on the entire land surface (the total “land-atmosphere flux”), the land was a global net  
 13 sink for CO<sub>2</sub> of  $-6.6 \pm 4.6 \text{ GtCO}_2 \text{ yr}^{-1}$  with a range for 2010 to 2019 from  $-4.4$  to  $-8.4 \text{ GtCO}_2 \text{ yr}^{-1}$ . (Van  
 14 Der Laan-Luijkx et al. 2017; Rödenbeck et al. 2003, 2018; Chevallier et al. 2005; Feng et al 2016;  
 15 Niwa et al. 2017; Patra et al. 2018). The natural land sink is *highly likely* to be affected by both future  
 16 AFOLU activity and climate change (IPCC WGI Box 5.1 and IPCC WGI SPM Figure 7), whereby  
 17 under more severe climate change, the amount of carbon stored on land would still increase although  
 18 the relative share of the emissions that land takes up, declines.

## 20 7.2.2 Flux of CO<sub>2</sub> from AFOLU, and the non anthropogenic land sink

### 21 7.2.2.1 Global net AFOLU CO<sub>2</sub> flux



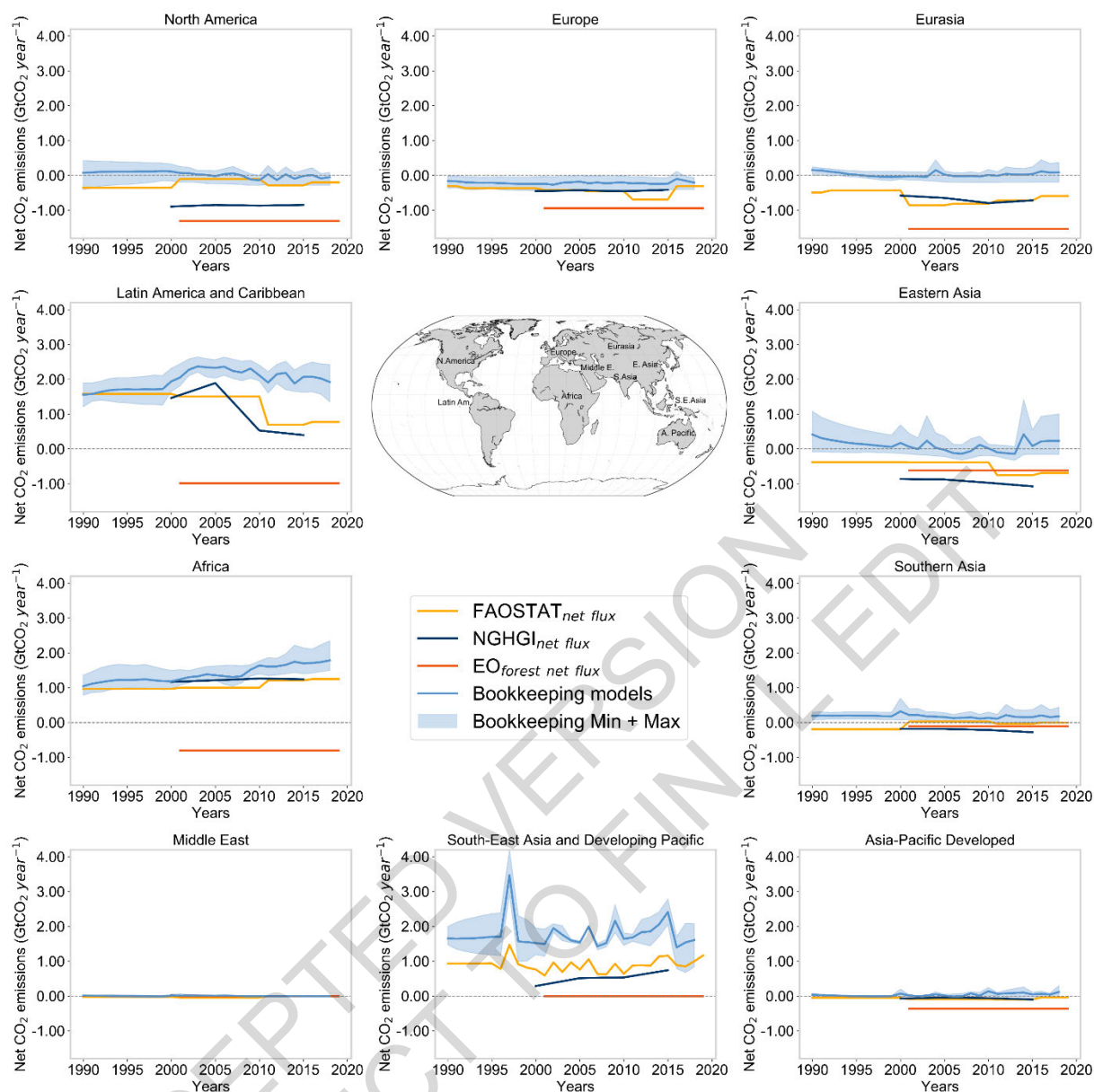
23  
 24 **Figure 7.4 Global net CO<sub>2</sub> flux due to AFOLU estimated using different methods for the period 1960 to**  
 25 **2019 (GtCO<sub>2</sub> yr<sup>-1</sup>). Positive numbers represent emissions. (Grey line) The mean from 17 DGVMs all using**  
 26 **the same driving data under TrendyV9 used within the Global Carbon Budget 2020 and including**  
 27 **different degrees of management (Bastos et al. 2020; Friedlingstein et al. 2020). (Orange line) Data**  
 28 **downloaded 6<sup>th</sup> June 2021 from FAOSTAT (FAO 2021b; <http://www.fao.org/faostat/>) comprising: net**  
 29 **emissions from (i) forest land converted to other land, (ii) net emissions from organic soils in cropland,**  
 30 **grassland and from biomass burning (including peat fires and peat draining (Prosperi et al. 2020) and (iii)**  
 31 **net emissions from forest land remaining forest land, which includes managed forest lands (Tubiello et al.**  
 32 **2020). (Dark blue line) Net flux estimate from National Greenhouse Gas Inventories (NGHGI) based on**



1 country reports to the UNFCCC for LULUCF (Grassi et al. 2021) which include land-use change, and  
2 flux in managed lands. (Red (EO) line) The 2001 – 2019 average net CO<sub>2</sub> flux from non-intact forest-  
3 related emissions and removals based on ground and Earth Observation data (EO) (Harris et al. 2021).  
4 Data to mask non-intact forest were used in the tropics (Turubanova et al. 2018) and extra-tropics  
5 (Potapov et al. 2017).

6 Light blue line: the mean estimate and minimum and maximum (blue shading) from three bookkeeping  
7 models (Hansis et al. 2015; Houghton and Nassikas 2017; Gasser et al. 2020). These include land cover  
8 change (e.g. deforestation, afforestation), forest management including wood harvest and land  
9 degradation, shifting cultivation, regrowth of forests following wood harvest or abandonment of  
10 agriculture, grassland management, agricultural management. Emissions from peat burning and  
11 draining are added from external data sets (see text). Both the DGVM and Bookkeeping global data is  
12 available at: <https://www.icos-cp.eu/science-and-impact/global-carbon-budget/2020> (Accessed on  
13 04/010/2021). Data consistent with IPCC WGI Chapter 5. Dotted lines denote the linear regression from  
14 2000 to 2019. Trends are statistically significant ( $P < 0.05$ ) with exception for the NGHGI trend ( $P < 0.01$ ).  
15

16 Comparison of estimates of the global net AFOLU flux of CO<sub>2</sub> from diverse approaches (Figure 7.4)  
17 show differences on the order of several GtCO<sub>2</sub> yr<sup>-1</sup>. When considering the reasons for the differences,  
18 and an approach to reconcile them (Section 7.2.2.3; Grassi et al. 2021), there is *medium confidence* in  
19 the magnitude of the net AFOLU CO<sub>2</sub> flux. There is a discrepancy in the reported CO<sub>2</sub> AFOLU  
20 emissions magnitude because alternative methodological approaches that incorporate different  
21 assumptions are used (see 7.2.2.2). While the mean of the bookkeeping and DGVM model's show a  
22 small increase in global CO<sub>2</sub> net emissions since year 2000, individual models suggest opposite trends  
23 (Friedlingstein et al. 2020). The latest FAOSTAT and NGHGI estimates show a small reduction in net  
24 emission. Overall, the trends are unclear.  
25



1  
2 **Figure 7.5 Regional net flux of CO<sub>2</sub> due to AFOLU estimated using different methods for the period 1990-**  
3 **2019 (GtCO<sub>2</sub> yr<sup>-1</sup>). Positive numbers represent emissions. The upper-central panel depicts the world map**  
4 **shaded according to the IPCC AR6 regions corresponding to the individual graphs. For each regional**  
5 **panel; (Orange line) Total net flux data from FAOSTAT (Tubiello et al. 2020), (Dark blue line) Net**  
6 **emissions estimates from National Greenhouse Gas Inventories based on country reports to the UNFCCC**  
7 **for LULUCF (Grassi et al. 2021), (Light blue line) The mean estimate and minimum and maximum**  
8 **(blue shading) from three bookkeeping models. (Hansis et al. 2015; Houghton and Nassikas 2017; Gasser**  
9 **et al. 2020). Regional estimates from bookkeeping models are available at:**  
10 **<https://zenodo.org/record/5548333#.YVwJB2LMJPY> (Minx et al. 2021). See the legend in Figure 7.4 for**  
11 **a detailed explanation of flux components for each dataset.**

12  
13 Regionally (Figure 7.5), there is *high confidence* of net emissions linked to deforestation in Latin  
14 America, Africa and South-East Asia from 1990 to 2019. There is *medium confidence* in trends  
15 indicating a decrease in net emissions in Latin America since 2005 linked to reduced gross deforestation  
16 emissions, and a small increase in net emissions related to increased gross deforestation emissions in

1 Africa since 2000 (Figure 7.5). There is *high confidence* regarding the net AFOLU CO<sub>2</sub> sink in Europe  
2 due to forest regrowth and known other sinks in managed forests, and *medium confidence* of a net sink  
3 in North America and Eurasia since 2010.

#### 5 **7.2.2.2 Why do various methods deliver difference in results?**

6 The processes responsible for fluxes from land have been divided into three categories (IPCC 2006,  
7 2010): (1) the *direct human-induced effects* due to changing land cover and land management; (2) the  
8 *indirect human-induced effects* due to anthropogenic environmental change, such as climate change,  
9 CO<sub>2</sub> fertilisation, nitrogen deposition, etc.; and (3) *natural effects*, including climate variability and a  
10 background natural disturbance regime (e.g. wildfires, windthrows, diseases or insect outbreaks).

11 Global models estimate the anthropogenic land CO<sub>2</sub> flux considering only the impact of direct effects,  
12 and only those areas that were subject to intense and direct management such as clear-cut ha vest It is  
13 important to note, that DGVMs also estimate the non-anthropogenic land CO<sub>2</sub> flux (Land Sink) that  
14 results from indirect and natural effects (Table 7.1). In contrast, estimates of the anthropogenic land  
15 CO<sub>2</sub> flux in NGHGs (LULUCF) include the impact of direct effects and in most cases, of indirect  
16 effects on a much greater area considered “managed” than global models (Grassi et al. 2021).

17 The approach used by countries follows the IPCC methodological guidance for NGHGs (IPCC 2006,  
18 2019a). Since separating direct, indirect and natural effects on the land CO<sub>2</sub> sink is impossible with  
19 direct observation such as national forest inventories (IPCC 2010), upon which most NGHGs are  
20 based, the IPCC adopted the ‘managed land’ concept as a pragmatic proxy to facilitate NGHGI  
21 reporting. Anthropogenic land GHG fluxes (direct and indirect effects) are defined as all those occurring  
22 on managed land, that is, where human interventions and practices have been applied to perform  
23 production, ecological or social functions (IPCC 2006, 2019a). GHG fluxes from unmanaged land are  
24 not reported in NGHGs because they are assumed to be non-anthropogenic. Countries report NGHGI  
25 data with a range of methodologies, resolution and completeness, dependent on capacity and available  
26 data, consistent with IPCC guidelines (IPCC 2006, 2019a) and subject to an international review or  
27 assessment processes.

28 The FAOSTAT approach is conceptually similar to NGHGs. FAOSTAT data on forests are based on  
29 country reports to FAO FRA 2020 (FAO 2020a), and include changes in biomass carbon stock in  
30 “forest land” and “net forest conversions” in five-year intervals. “Forest land” may include unmanaged  
31 natural forest, leading to possible overall overestimation of anthropogenic fluxes for both sources and  
32 sinks, though emissions from deforestation are likely underestimated (Tubiello et al. 2020). FAOSTAT  
33 also estimate emissions from forest fires and other land uses (organic soils), following IPCC methods  
34 (Prosperi et al. 2020) The FAO-FRA 2020 (FAO 2020b) update leads to estimates of larger sinks in  
35 Russia since 1991, and in China and the USA from 2011, and larger deforestation emissions in Brazil  
36 and smaller in Indonesia than FRA 2015 (FAO 2015;Tubiello et al. 2020).

37 The bookkeeping models by Houghton and Nassikas (2017), Hansis et al. (2015), and Gasser et al.  
38 (2020) and the DGVMs used in the Global Carbon Budget (Friedlingstein et al. 2020) use either the  
39 LUH2 data set (Hurtt et al. 2020) HYDE (Goldewijk et al. 2017) FRA 2015 (FAO 2015) or a  
40 combination. The LUH2 dataset includes a new wood harvest reconstruction, new representation of  
41 shifting cultivation, crop rotations, and management information including irrigation and fertilizer  
42 application. The area of forest subject to harvest in LUH2 is much less than the area of forest considered  
43 “managed” in the NGHGs (Grassi et al. 2018). The model datasets do not yet include the FAO FRA  
44 2020 update (FAO 2020a). The DGVMs consider CO<sub>2</sub> fertilization effects on forest growth that are

1 sometimes confirmed from the groundbased forest inventory networks (Nabuurs et al. 2013) and  
2 sometimes not at all (van der Sleen et al. 2015).

3 Further, the DGVMs and bookkeeping models do not include a wide range of practices which are  
4 implicitly covered by the inventories; for example: forest dynamics (Pugh et al. 2019; Le Noë et al.  
5 2020) forest management including wood harvest (Nabuurs, et al. 2013; Arneth et al. 2017) agricultural  
6 and grassland practices (Pugh et al. 2015; Sanderman et al. 2017; Pongratz et al. 2018); or e.g. fire  
7 management (Andela et al. 2017; Arora and Melton 2018).

8 Increasingly higher emissions estimates are expected from DGVMs compared to bookkeeping models,  
9 because DGVMs include a loss of additional sink capacity of  $3.3 \pm 1.1$  GtCO<sub>2</sub> yr<sup>-1</sup> on average over  
10 2009-2018, which is increasing with larger climate and CO<sub>2</sub> impacts (Friedlingstein et al. 2020). This  
11 arises because the DGVM methodological setup requires a reference simulation including climate and  
12 environmental changes but without any land use change such as deforestation, so DGVMs implicitly  
13 include the sink capacity forests would have developed in response to environmental change on areas  
14 that in reality have been cleared (Gitz and Ciais 2003; Pongratz et al. 2014)(IPCC WGI Chapter 5).

15 Carbon emissions from peat burning have been estimated based on the Global Fire Emission Database  
16 (GFED4s; Van Der Werf et al. 2017). These were included in the bookkeeping model estimates and  
17 added 2.0 Gt Carbon over 1960-2019 (e.g. causing the peak in South-East Asia in 1998, Figure 7.5).  
18 Within the Global Carbon Budget (Friedlingstein et al. 2020), peat drainage from agriculture accounted  
19 for an additional 8.6 Gt Carbon from 1960-2019 according to FAOSTAT (Conchedda and Tubiello,  
20 2020) used by two of the bookkeeping models, (Hansis et al. 2015; Gasser et al. 2020).

21 Remote-sensing products provide valuable spatial and temporal land-use and biomass data globally  
22 (including in remote areas), at potentially high spatial and temporal resolutions, that can be used to  
23 calculate CO<sub>2</sub> fluxes, but have mostly been applied only to forests at the global or even regional scale.  
24 While such data can strongly support monitoring reporting and verification, estimates of forest carbon  
25 fluxes directly from Earth Observation (EO) data vary considerably in both their magnitude and sign  
26 (i.e. whether forests are a net source or sink of carbon). For the period 2005 – 2017, net tropical forest  
27 carbon fluxes were estimated as 0.4 GtCO<sub>2</sub> yr<sup>-1</sup> (Fan et al. 2019); 0.58 GtCO<sub>2</sub> yr<sup>-1</sup> (Grace et al. 2014);  
28 1.6 GtCO<sub>2</sub>yr<sup>-1</sup> (Baccini et al. 2017) and 2.87 GtCO<sub>2</sub> yr<sup>-1</sup> (Achard et al. 2014). Differences can in part  
29 be explained by spatial resolution of the data sets, the definition of “forest” and the inclusion  
30 of processes and methods used to determine degradation and growth in intact and secondary forests, or  
31 the changes in algorithm over time (Palahí et al. 2021). A recent global study integrated ground  
32 observations and remote sensing data to map forest-related GHG emissions and removals at a high  
33 spatial resolution (30m spatial scale), although it only provides an average estimate of annual carbon  
34 loss over 2001–2019 (Harris et al. 2021). The estimated net global forest carbon sink globally was -  
35 7.66 GtCO<sub>2</sub> yr<sup>-1</sup>, being -1.7 GtCO<sub>2</sub>yr<sup>-1</sup> in the tropics only.

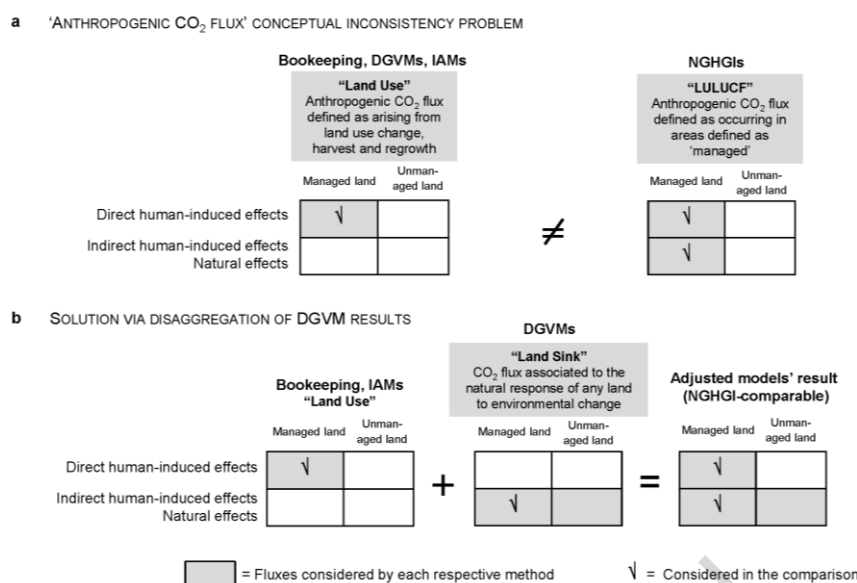
36 Remote sensing products can help to attribute changes to anthropogenic activity or natural inter-annual  
37 climate variability (Fan et al. 2019; Wigneron et al. 2020). Products with higher spatial resolution make  
38 it easier to determine forest and carbon dynamics in relatively small-sized managed forests (e.g. Wang  
39 et al. 2020; Heinrich et al. 2021; Reiche et al. 2021). For example secondary forest regrowth in the  
40 Brazilian Amazon offset 9 to 14% of gross emissions due to deforestation<sup>1</sup> (Silva Junior et al. 2021;  
41 Aragão et al. 2018). Yet disturbances such as fire and repeated deforestation cycles due to shifting  
42 cultivation over the period 1985 to 2017, were found to reduce the regrowth rates of secondary forests  
43 by 8 to 55% depending on the climate region of regrowth (Heinrich et al. 2021).

1 **7.2.2.3 Implications of differences in AFOLU CO<sub>2</sub> fluxes between global models and National**  
2 **Greenhouse Gas Inventories (NGHGs), and reconciliation**

3 There is about 5.5 GtCO<sub>2</sub> yr<sup>-1</sup> difference in the anthropogenic AFOLU estimates between NGHGs and  
4 global models (this number relates to an IAMs comparison for the period 2005-2015 - see Cross-Chapter  
5 Box 6 in this Chapter; for comparison with other models see Figure 7.4). Reconciling the differences  
6 i.e. making estimates comparable, can build confidence in land-related CO<sub>2</sub> estimates, for example for  
7 the purpose of assessing collective progress in the context of the Global Stocktake (Cross-Chapter Box  
8 6 in this Chapter). The difference largely results from greater estimated CO<sub>2</sub> in NGHGs, mostly  
9 occurring in forests (Grassi et al. 2021). This difference is potentially a consequence of: (i) simplified  
10 and/or incomplete representation of management in global models (Popp et al. 2017; Pongratz et al.  
11 2018), e.g. concerning impacts of forest management in biomass expansion and thickening (Nabuurs et  
12 al. 2013; Grassi et al. 2017) (ii) inaccurate and/or incomplete estimation of LULUCF fluxes in NGHGs  
13 (Grassi et al. 2017), especially in developing countries, primarily in non-forest land uses and in soils,  
14 and (iii) conceptual differences in how global models and NGHGs define ‘anthropogenic’ CO<sub>2</sub> flux  
15 from land (Grassi et al. 2018). The impacts of (i) and (ii) are difficult to quantify and result in  
16 uncertainties that will decrease slowly over time through improvements of both models and NGHGs.  
17 By contrast, the inconsistencies in (iii) and its resulting biases were assessed as explained below.

18 Since changing the NGHGs’ approach is impractical, an interim method to translate and adjust the  
19 output of global models was outlined for reconciling a bookkeeping model and NGHGs (Grassi et al.  
20 2018). More recently, an improved version of this approach has been applied to the future mitigation  
21 pathways estimated by IAMs (Grassi et al. 2021), with the implications for the Global Stocktake  
22 discussed in Cross-Chapter Box 6 in this Chapter. This method implies a post-processing of current  
23 global models’ results that addresses two components of the conceptual differences in the  
24 “anthropogenic” CO<sub>2</sub> flux; (i) how the impact of human-induced environmental changes (indirect  
25 effects) are considered, and (ii) the extent of forest area considered ‘managed’. Essentially, this  
26 approach adds DGVM estimates of CO<sub>2</sub> fluxes due to indirect effects from countries’ managed forest  
27 area (using non-intact forest area maps as a proxy) to the original global models’ anthropogenic land  
28 CO<sub>2</sub> fluxes (Figure 7.6).

29



1  
 2 **Figure 7.6 Main conceptual differences between global models (bookkeeping models, IAMs and**  
 3 **DGVMs) and NGHGI definitions of what is considered the 'anthropogenic' land CO<sub>2</sub> flux, and**  
 4 **proposed solution (from Grassi et al. 2021). (Panel a) Differences in defining the anthropogenic**  
 5 **land CO<sub>2</sub> flux by global models ('Land Use') and NGHGI ('LULUCF'), including the attribution**  
 6 **of processes responsible for land fluxes (IPCC 2006; 2010) in managed and unmanaged lands. The**  
 7 **anthropogenic land CO<sub>2</sub> flux by global models typically includes only the CO<sub>2</sub> flux due to 'direct**  
 8 **effects' (land-use change, harvest, regrowth). By contrast, most NGHGI consider anthropogenic**  
 9 **all fluxes occurring in areas defined as 'managed', including also the sink due to 'indirect effects'**  
 10 **(climate change, atmospheric CO<sub>2</sub> increase, N deposition etc.) and due to 'natural effects' (climate**  
 11 **variability, background natural disturbances). Panel b) Proposed solution to the inconsistency, via**  
 12 **disaggregation of the 'Land Sink' flux from DGVMs into CO<sub>2</sub> fluxes occurring in managed and in**  
 13 **unmanaged lands. The sum of 'Land Use' flux (direct effects from bookkeeping models or IAMs)**  
 14 **and the 'Land Sink' (indirect effects from DGVMs) in managed lands produces an adjusted global**  
 15 **model CO<sub>2</sub> flux which is conceptually more comparable with LULUCF fluxes from NGHGI. Note**  
 16 **that the figure may in some cases be an oversimplification, e.g. not all NGHGI include all recent**  
 17 **indirect effects.**

18  
 19 **START CROSS-CHAPTER BOX 6 HERE**

20 **Cross-Chapter Box 6 Implications of reconciled anthropogenic land CO<sub>2</sub> fluxes for assessing**  
 21 **collective climate progress in the global stocktake**

22 Authors: Giacomo Grassi (Italy), Joeri Rogelj (Belgium/Austria), Joanna House (United Kingdom),  
 23 Alexander Popp (Germany), Detlef van Vuuren (The Netherlands), Katherine Calvin (The United States  
 24 of America), Shinichiro Fujimori (Japan), Petr Havlik (The Czech Republic), Gert-Jan Nabuurs (The  
 25 Netherlands)

26 The Global Stocktake aims to assess countries' collective progress towards the long-term goals of the  
 27 Paris Agreement in the light of the best available science. Historic progress is assessed based on  
 28 NGHGI, while expectations of future progress are based on country climate targets (e.g., NDCs for  
 29 2025 or 2030 and long-term strategies for 2050). Scenarios consistent with limiting warming well-

1 below 2°C and 1.5°C developed by IAMs (Chapter 3) are expected to play a key role as benchmarks  
2 against which countries' aggregated future mitigation pledges will be assessed. This, however, implies  
3 that estimates by IAMs and country data used to measure progress are comparable.

4 In fact, there is ~5.5 GtCO<sub>2</sub> yr<sup>-1</sup> difference during 2005-2015 between global anthropogenic land CO<sub>2</sub>  
5 net flux estimates of IAMs and aggregated NGHGIs, due to different conceptual approaches to what is  
6 "anthropogenic". This approach and its implications when comparing climate targets with global  
7 mitigation pathways are illustrated in this Box Figure 1a-e.

8 By adjusting the original IAM output (Cross-Chapter Box 6, Figure 1a) with the indirect effects from  
9 countries' managed forest (Cross-Chapter Box 6, Figure 1b, estimated by DGVMs, see also Figure 7.6),  
10 NGHGI-comparable pathways can be derived (Cross-Chapter Box 6, Figure 1c). The resulting apparent  
11 increase in anthropogenic sink reflects simply a reallocation of a CO<sub>2</sub> flux previously labelled as natural,  
12 and thus does not reflect a mitigation action. These changes do not affect non-LULUCF emissions.  
13 However, since the atmosphere concentration is a combination of CO<sub>2</sub> emissions from LULUCF and  
14 from fossil fuels, the proposed land-related adjustments also influence the NGHGI-comparable  
15 economy-wide (all sector) CO<sub>2</sub> pathways (Cross-Chapter Box 6 Figure 1d).

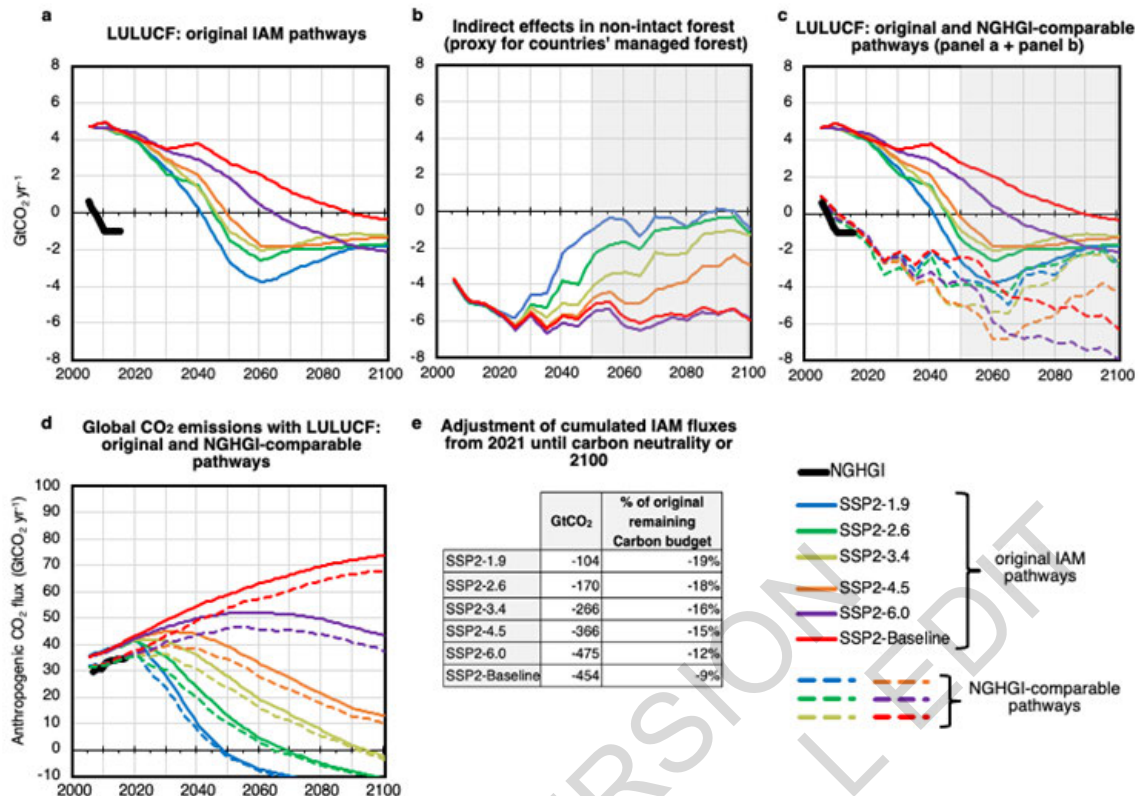
16 This approach does not imply a change in the original decarbonisation pathways, nor does it suggest  
17 that indirect effects should be considered in the mitigation efforts. It simply ensures that a like-with-  
18 like comparison is made: if countries' climate targets use the NGHGI definition of anthropogenic  
19 emissions, this same definition can be applied to derive NGHGI-comparable future CO<sub>2</sub> pathways. This  
20 would have an impact on the NGHGI-comparable remaining carbon or GHG budget (i.e. the allowable  
21 emissions until net zero CO<sub>2</sub> or GHG emissions consistent with a certain climate target). For example,  
22 for SSP2-1.9 and SSP2-2.6 (representing pathways in line with 1.5°C and well-below 2°C limits under  
23 SSP2 assumptions), carbon budget is lower by -170 carbon GtCO<sub>2</sub>-eq than the original remaining  
24 carbon budget according to the models' approach (Cross-Chapter Box 6, Figure 1e). Similarly, the  
25 remaining carbon (or GHG) budgets in Chapter 3 (this report), as well as the net zero carbon (or GHG)  
26 targets, could only be used in combination with the definition of anthropogenic emissions as used by  
27 the IAMs (Cross-Chapter Box 3 in Chapter 3) In the absence of these adjustments, collective progress  
28 would appear better than it is

29 The UNEP's annual assessment of the global 2030 'emission gap' between aggregated country NDCs  
30 and specific target mitigation pathways (UNEP 2020), is only affected to a limited degree. This is  
31 because some estimates of global emissions under the NDCs already use the same land-use definitions  
32 as the IAM mitigation pathways (Rogelj et al. 2017), and because historical data of global NDC  
33 estimates is typically harmonised to the historical data of global mitigation pathway projections (Rogelj  
34 et al. 2011). This latter procedure, however, is agnostic to the reasons for the observed mismatch, and  
35 often uses a constant offset. The adjustment described here allows this mismatch to be resolved by  
36 drawing on a scientific understanding of the underlying reasons, and thus provides a more informed and  
37 accurate basis for estimating the emission gap.

38 The approach to deriving a NGHGI-comparable emission pathways presented here can be further  
39 refined with improved estimates of the future forest sink. Its use would enable a more accurate  
40 assessment of the collective progress achieved and of mitigation pledges under the Paris Agreement.

41





1  
2  
3 Cross-Chapter Box 6, Figure 1. Impact on global mitigation pathways of adjusting the modelled  
4 anthropogenic land CO<sub>2</sub> fluxes to be comparable with National Greenhouse Gas Inventories (NGHGIs)  
5 (from Grassi et al. 2021). Panel a: The mismatch between global historical LULUCF CO<sub>2</sub> net flux from  
6 NGHGIS (black), and the original (un-adjusted) modelled flux historically and under future mitigation  
7 pathways for SSP2 scenarios from Integrated Assessment Models (IAMs, Chapter 3). Panel b: fluxes due  
8 to indirect effects of environmental change on areas equivalent to countries' managed forest (i.e. those  
9 fluxes generally considered 'anthropogenic' by countries and 'natural' by global models). Panel c:  
10 original modelled (solid line) LULUCF mitigation pathways adjusted to be NGHGI-comparable (dashed  
11 line) i.e. by adding the indirect effects in panel b. The indirect effects in panel b decline over time with  
12 increasing mitigation ambition, mainly because of the weaker CO<sub>2</sub> fertilisation effect. In Panel c, the  
13 dependency of the adjusted LULUCF pathways on the target becomes less evident after 2030, because the  
14 indirect effects in countries' managed forest (which are progressively more uncertain with time, as  
15 highlighted by the grey areas) compensate the effects of the original pathways. Panel d: NGHGI-  
16 comparable pathways for global CO<sub>2</sub> emissions from all sectors including LULUCF (obtained by  
17 combining global CO<sub>2</sub> pathways without LULUCF - where no adjustment is needed - and the NGHGI-  
18 comparable CO<sub>2</sub> pathways for LULUCF (Gütschow et al. 2019; Grassi et al. 2017). Panel e: Cumulative  
19 impact of the adjustments from 2021 until net zero CO<sub>2</sub> emissions or 2100 (whichever comes first) on the  
20 remaining carbon budget.

21 END CROSS-CHAPTER BOX 6 HERE

### 22 23 7.2.3 CH<sub>4</sub> and N<sub>2</sub>O flux from AFOLU

24 Trends in atmospheric CH<sub>4</sub> and N<sub>2</sub>O concentrations and the associated sources, including land and land  
25 use are discussed in Sections 5.2.2 and 5.2.3 of the IPCC WGI sixth assessment report. Regarding  
26 AFOLU, the SRCCL and AR5 (Jia et al. 2019; Smith et al. 2014) identified three global non-CO<sub>2</sub>  
27 emissions data sources; EDGAR (Crippa et al. 2021), FAOSTAT (FAO 2021a; Tubiello, 2019) and the  
28 USA EPA (USEPA 2019). Methodological differences have been previously discussed (Jia et al. 2019).



1 In accordance with Chapter 2, this report, EDGAR data are used in Table 7.1 and Figure 7.3. It is  
2 important to note that in terms of AFOLU sectoral CH<sub>4</sub> and N<sub>2</sub>O emissions, only FAOSTAT provides  
3 data on AFOLU emissions, while EDGAR and USEPA data consider just the agricultural component.  
4 However, the mean of values across the three databases for both CH<sub>4</sub> and N<sub>2</sub>O, fall within the assessed  
5 uncertainty bounds (30 and 60% for CH<sub>4</sub> and N<sub>2</sub>O respectively, Section 2.2.1, this report) of EDGAR  
6 data. NGHGs annually submitted to the UNFCCC (Section 7.2.2.3) provide national AFOLU CH<sub>4</sub> and  
7 N<sub>2</sub>O data, as included in the SRCCL (Jia et al. 2019). Aggregation of NGHGs to indicate global  
8 emissions must be considered with caution, as not all countries compile inventories, nor submit  
9 annually. Additionally, NGHGs may incorporate a range of methodologies for CH<sub>4</sub> and N<sub>2</sub>O  
10 accounting (e.g. Thakuri et al. 2020; Ndung'u et al. 2019; Van der Weerden et al. 2016), making  
11 comparison difficult. The analysis of complete AFOLU emissions presented here, is based on  
12 FAOSTAT data. For agricultural specific discussion, analysis considers EDGAR, FAOSTAT and  
13 USEPA data.

#### 14 7.2.3.1 Global AFOLU CH<sub>4</sub> and N<sub>2</sub>O emissions

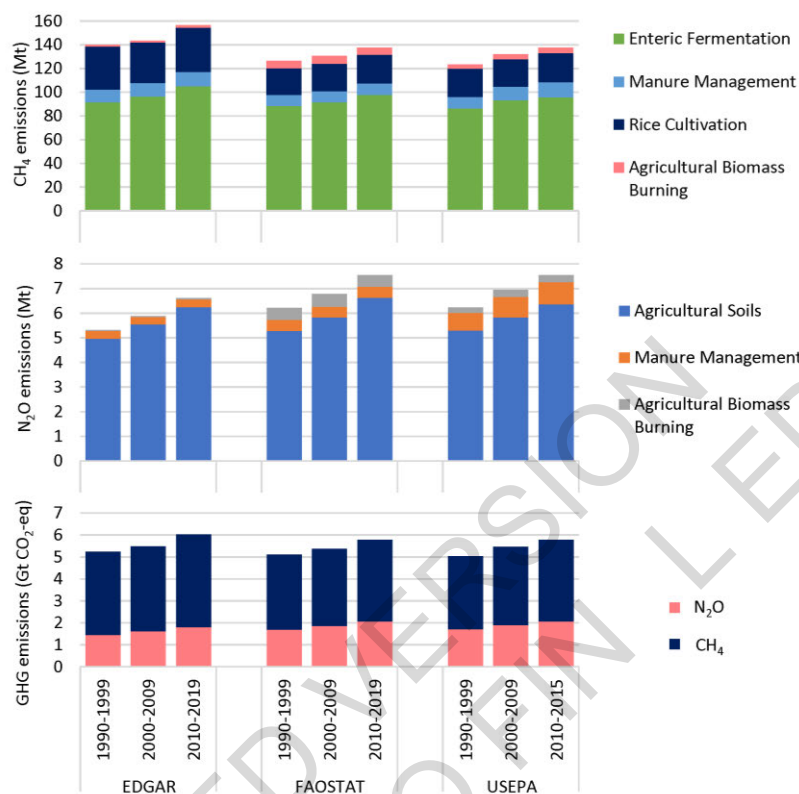
15 Using FAOSTAT data, the SRCCL estimated average CH<sub>4</sub> emissions from AFOLU to be 161.2 ± 43  
16 Mt CH<sub>4</sub> yr<sup>-1</sup> for the period 2007-2016, representing 44% of total anthropogenic CH<sub>4</sub> emissions, with  
17 agriculture accounting for 88% of the AFOLU component (Jia et al. 2019). The latest data (FAO 2021a,  
18 2020b) highlight a trend of growing AFOLU CH<sub>4</sub> emissions, with a 10% increase evident between 1990  
19 and 2019, despite year-to-year variation. Forestry and other land use (FOLU) CH<sub>4</sub> emission sources  
20 include biomass burning on forest land and combustion of organic soils (peatland fires) (FAO 2020c).  
21 The agricultural share of AFOLU CH<sub>4</sub> emissions remains relatively unchanged, with the latest data  
22 indicating agriculture to have accounted for 89% of emissions on average between 1990 and 2019. The  
23 SRCCL reported with *medium evidence* and *high agreement* that ruminants and rice production were  
24 the most important contributors to overall growth trends in atmospheric CH<sub>4</sub> (Jia et al. 2019). The latest  
25 data confirm this in terms of agricultural emissions, with agreement between databases that agricultural  
26 CH<sub>4</sub> emissions continue to increase and that enteric fermentation and rice cultivation remain the main  
27 sources (Figure 7.7). The proportionally higher emissions from rice cultivation indicated by EDGAR  
28 data compared to the other databases, may result from the use of a Tier 2 methodology for this source  
29 within EDGAR (Janssens-Maenhout et al. 2019).

30 The SRCCL also noted a trend of increasing atmospheric N<sub>2</sub>O concentration, with *robust evidence* and  
31 *high agreement* that agriculture accounted for approximately two-thirds of overall global anthropogenic  
32 N<sub>2</sub>O emissions. Average AFOLU N<sub>2</sub>O emissions were reported to be 8.7 ± 2.5 Mt N<sub>2</sub>O yr<sup>-1</sup> for the  
33 period 2007-2016, accounting for 81% of total anthropogenic N<sub>2</sub>O emissions, with agriculture  
34 accounting for 95% of AFOLU N<sub>2</sub>O emissions (Jia et al. 2019). A recent comprehensive review  
35 confirms agriculture as the principal driver of the growing atmospheric N<sub>2</sub>O concentration (Tian et al.  
36 2020) The latest FAOSTAT data (FAO 2020b, 2021a) document a 25% increase in AFOLU N<sub>2</sub>O  
37 emissions between 1990 and 2019, with the average share from agriculture remaining approximately  
38 the same (96%) Agricultural soils were identified in the SRCCL and in recent literature as a dominant  
39 emission source notably due to nitrogen fertiliser and manure applications to croplands, and manure  
40 production and deposition on pastures (Jia et al. 2019; Tian et al. 2020). There is agreement within latest  
41 data that agricultural soils remain the dominant source (Figure 7.7).

42 Aggregation of CH<sub>4</sub> and N<sub>2</sub>O to CO<sub>2</sub> equivalence (using GWP<sub>100</sub> IPCC AR6 values), suggests that  
43 AFOLU emissions increased by 15% between 1990 and 2019, though emissions showed trend  
44 variability year to year. Agriculture accounted for 91% of AFOLU emissions on average over the period  
45 (FAO 2020b, 2021a). EDGAR (Crippa et al. 2021), FAOSTAT (FAO 2021a) and USEPA (USEPA  
46 2019) data suggest aggregated agricultural emissions (CO<sub>2</sub>-eq) to have increased since 1990, by 19

1 (1990-2019), 15 (1990-2019) and 21 (1990-2015) % respectively, with all databases identifying enteric  
 2 fermentation and agricultural soils as the dominant agricultural emissions sources.

3



4

5 **Figure 7.7** Estimated global mean agricultural CH<sub>4</sub> (Top), N<sub>2</sub>O (Middle) and aggregated CH<sub>4</sub> and  
 6 N<sub>2</sub>O (using CO<sub>2</sub>-eq according to GWP<sub>100</sub> AR6 values) (Bottom) emissions for three decades  
 7 according to EDGARv6.0 (Crippa et al. 2021), FAOSTAT (FAO 2021a) and USEPA (USEPA 2019)  
 8 databases. L test versions of databases indicate historic emissions to 2019, 2019 and 2015  
 9 respectively, with average values for the post-2010 period calculated accordingly. For CH<sub>4</sub>,  
 10 emissions classified as ‘Other Ag.’ within USEPA data, are re-classified as ‘Agricultural Biomass  
 11 Burning’. Despite CH<sub>4</sub> emissions from agricultural soils also being included, this category was  
 12 deemed to principally concern biomass burning on agricultural land and classified accordingly. For  
 13 N<sub>2</sub>O, emissions classified within EDGAR as direct and indirect emissions from managed soils, and  
 14 indirect emissions from manure management are combined under ‘Agricultural Soils’. Emissions  
 15 classified by FAOSTAT as from manure deposition and application to soils, crop residues, drainage  
 16 of organic soils and synthetic fertilisers are combined under ‘Agricultural Soils’, while emissions  
 17 reported as ‘Other Ag.’ under USEPA data are re-classified as ‘Agricultural Biomass Burning’.

18

### 19 7.2.3.2 Regional AFOLU CH<sub>4</sub> and N<sub>2</sub>O emissions

20 FAOSTAT data (FAO 2020b, 2021a) indicate Africa (+ 44%), followed by Southern Asia (+ 29%) to  
 21 have the largest growth in AFOLU CH<sub>4</sub> emissions between 1990 and 2019 (Figure 7.8). Eurasia was  
 22 characterised by notable emission reductions (--58%), principally as a result of a sharp decline (--63%)

1 between 1990 and 1999. The average agricultural share of AFOLU emissions between 1990 and 2019  
2 ranged from 66% in Africa to almost 100% in the Middle East.

3 In agreement with AR5 (Smith et al. 2014), the SRCCL identified Asia as having the largest share  
4 (37%) of emissions from enteric fermentation and manure management since 2000, but Africa to have  
5 the fastest growth rate. Asia was identified as responsible for 89% of rice cultivation emissions, which  
6 were reported as increasing (Jia et al. 2019). Considering classification by ten IPCC regions, data  
7 suggest enteric fermentation to have dominated emissions in all regions since 1990, except in South-  
8 east Asia and Developing Pacific, where rice cultivation forms the principal source (FAO 2021; USEPA  
9 2019). The different databases broadly indicate the same regional CH<sub>4</sub> emission trends, though the  
10 indicated absolute change differs due to methodological differences (Section 7.2.3.1). All databases  
11 indicate considerable emissions growth in Africa since 1990 and that this region recorded the greatest  
12 regional increases in emissions from both enteric fermentation and rice cultivation since 2010.  
13 Additionally, FAOSTAT data suggest that emissions from agricultural biomass burning account for a  
14 notably high proportion of agricultural CH<sub>4</sub> emissions in Africa (Figure 7.8).

15 The latest data suggest growth in AFOLU N<sub>2</sub>O emissions in most regions between 1990 and 2019, with  
16 Southern Asia demonstrating highest growth (+ 74%) and Eurasia, greatest reductions ( - 51%), the latter  
17 mainly a result of a 61% reduction between 1990 and 2000 (FAO 2020b, 2021a). Agriculture was the  
18 dominant emission source in all regions, its proportional average share between 1990 and 2019 ranging  
19 from 87% in Africa, to almost 100% in the Middle East (Figure 7.8).

20 The SRCCL provided limited discussion on regional variation in agricultural N<sub>2</sub>O emissions but  
21 reported with *medium confidence* that certain regions (North America, Europe, East & South Asia) were  
22 notable sources of grazing land N<sub>2</sub>O emissions (Jia et al. 2019). AR5 identified Asia as the largest  
23 source and as having the highest growth rate of N<sub>2</sub>O emissions from synthetic fertilisers between 2000  
24 and 2010 (Smith et al. 2014). Latest data indicate agricultural N<sub>2</sub>O emission increases in most regions,  
25 though variation between databases prevents definitive conclusions on trends, with Africa, Southern  
26 Asia, and Eastern Asia suggested to have had greatest growth since 1990 according to EDGAR (Crippa  
27 et al. 2021), FAOSTAT (FAO 2021a) and USEPA (USEPA 2019) data respectively. However, all  
28 databases indicate that emissions declined in Eurasia and Europe from 1990 levels, in accordance with  
29 specific environmental regulations put in place since the late 1980s (Tubiello 2019; European  
30 Environment Agency 2020; Tian et al. 2020), but generally suggest increases in both regions since  
31 2010.

32



1  
2 **Figure 7.8** Estimated average AFOLU CH<sub>4</sub> (Top) and N<sub>2</sub>O (Bottom) emissions for three decades  
3 according to FAOSTAT data by ten global regions, with disaggregation of agricultural emissions  
4 (FAO 2020b; 2021a). Note for N<sub>2</sub>O, emissions from manure deposition and application to soils,  
5 crop residues and synthetic fertilisers are combined under ‘Agricultural Soils’.

#### 7 7.2.4 Biophysical effects and short-lived climate forcers

8 Despite new literature, general conclusions from the SRCCL and WGI-AR6 on biophysical effects and  
9 short-lived climate forcers remain the same. Changes in land conditions from land cover change or land  
10 management jointly affect water, energy, and aerosol fluxes (biophysical fluxes) as well as GHG fluxes  
11 (biogeochemical fluxes) exchanged between the land and atmosphere (*high agreement, robust  
12 evidence*) (Erb et al. 2017; Alkama and Cescatti 2016; Naudts et al. 2016; O’Halloran et al. 2012;  
13 Anderson et al. 2011). There is *high confidence* that changes in land condition do not just have local  
14 impacts but also have non-local impacts in adjacent and more distant areas (Mahmood et al. 2014;  
15 Pielke et al. 2011) which may contribute to surpassing climate tipping points (Brando et al. 2014;  
16 Nepstad et al. 2008). Non-local impacts may occur through: GHG fluxes and subsequent changes in  
17 radiative transfer, changes in atmospheric chemistry, thermal, moisture and surface pressure gradients  
18 creating horizontal transport (advection) (De Vrese et al. 2016; Davin and de Noblet-Ducoudre 2010)  
19 and vertical transport (convection and subsidence) (Devaraju et al. 2018). Although regional and global  
20 biophysical impacts emerge from model simulations (Devaraju et al. 2018; De Vrese et al. 2016; Davin  
21 and de Noblet-Ducoudre 2010), especially if the land condition has changed over large areas, there is  
22 *very low agreement* on the location, extent and characteristics of the non-local effects across models.

1 Recent methodological advances, empirically confirmed changes in temperature and precipitation  
2 owing to distant changes in forest cover (Meier et al. 2021; Cohn et al. 2019).

3 Following changes in land conditions, CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O fluxes are quickly mixed into the atmosphere  
4 and dispersed, resulting in the biogeochemical effects being dominated by the biophysical effects at  
5 local scales (*high confidence*) (Alkama and Cescatti 2016; Li et al. 2015). Afforestation/reforestation  
6 (Strandberg and Kjellström 2019; Lejeune et al. 2018), urbanisation (Li and Bou-Zeid 2013) and  
7 irrigation (Thiery et al. 2017; Mueller et al. 2016) modulate the likelihood, intensity, and duration of  
8 many extreme events including heatwaves (*high confidence*) and heavy precipitation events (*medium*  
9 *confidence*) (Haberlie et al. 2015). There is *high confidence and high agreement* that afforestation in  
10 the tropics (Perugini et al. 2017), irrigation (Mueller et al. 2016; Alter et al. 2015) and urban greening  
11 result in local cooling, *high agreement and medium confidence* on the impact of tree growth form  
12 (deciduous vs. evergreen) (Schwaab et al. 2020; Luysaert et al. 2018; Naudts et al. 2016), and *low*  
13 *agreement* on the impact of wood harvest, fertilisation, tillage, crop harvest, residue management,  
14 grazing, mowing, and fire management on the local climate.

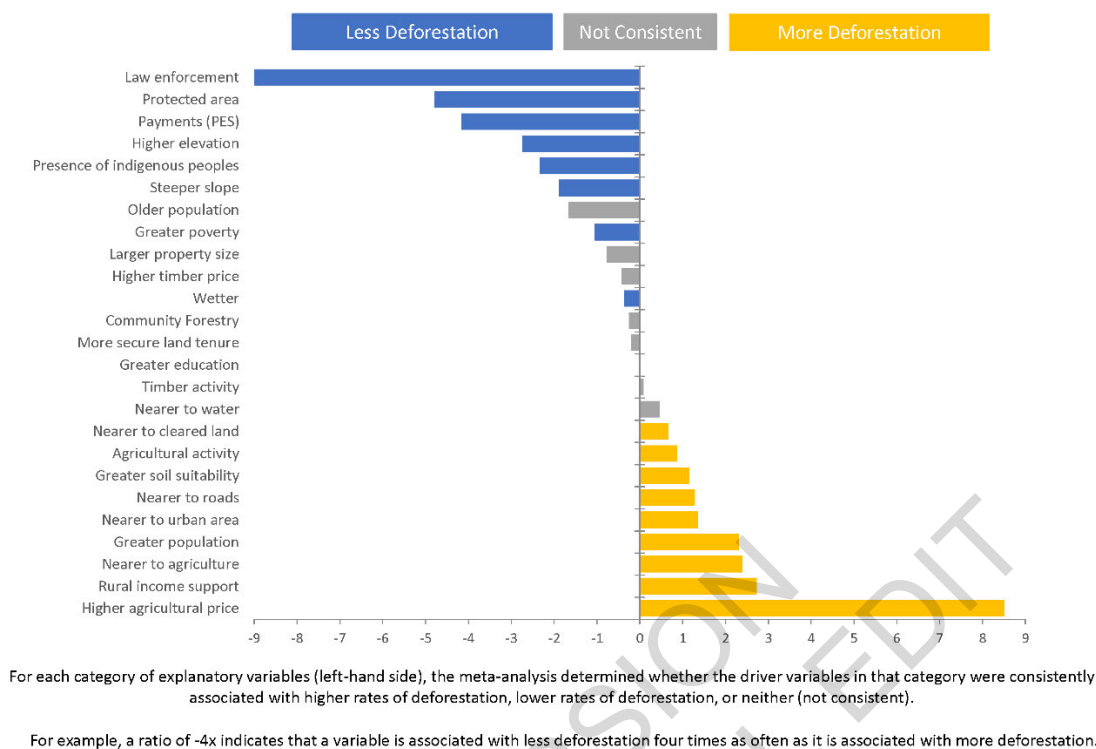
15 Studies of biophysical effects have increased since AR5 reaching *high agreement* for the effects of  
16 changes in land condition on surface albedo (Leonardi et al. 2015). *Low confidence* remains in  
17 proposing specific changes in land conditions to achieve desired impacts on local, regional and global  
18 climates due to: a poor relationship between changes in surface albedo and changes in surface  
19 temperature (Davin and de Noblet-Ducoudre 2010), compensation and feedback among biophysical  
20 processes (Kalliokoski et al. 2020; Bonan 2016), climate and seasonal dependency of the biophysical  
21 effects (Bonan 2016), omission of short-lived chemical forcers (Kalliokoski et al. 2020; Unger 2014),  
22 and study domains often being too small to document possible conflicts between local and non-local  
23 effects (Hirsch et al. 2018; Swann et al. 2012)

24

### 25 7.3 Drivers

26 Since AR5 several global assessments (IPBES 2018; NYDF Assessment Report. 2019; UN  
27 Environment 2019; IPCC 2019) and studies (e.g. Tubiello 2019; Tian et al. 2020) have reported on  
28 drivers (natural and anthropogenic factors that affect emissions and sinks of the land use sector) behind  
29 AFOLU emission trends, and associated projections for the coming decades. The following analysis  
30 aligns with the drivers typology used by (IPBES (2019) and the Global Environmental Outlook (UN  
31 Environment 2019) Drivers are divided into direct drivers resulting from human decisions and actions  
32 concerning land use and land-use change, and indirect drivers that operate by altering the level or rate  
33 of change of one or more direct drivers. Although drivers of emissions in Agriculture and FOLU are  
34 presented separately, they are interlinked, operating in many complex ways at different temporal and  
35 spatial scales, with outcomes depending on their interactions. For example, deforestation in tropical  
36 forests is a significant component of sectorial emissions. A review of deforestation drivers' studies  
37 published between 1996 and 2013, indicated a wide range of factors associated with deforestation rates  
38 across many analyses and studies, covering different regions (Figure 7.9; Busch and Ferretti-Gallon  
39 2017). Higher agricultural prices were identified as a key driver of deforestation, while law  
40 enforcement, area protection, and ecosystem services payments were found to be important drivers of  
41 reduced deforestation, while timber activity did not show a consistent impact

42



**Figure 7.9 Association of driver variables with more or less deforestation**

Source: Busch and Ferretti-Gallon (2017)

### 7.3.1 Anthropogenic direct drivers – Deforestation, conversion of other ecosystems, and land degradation

The global forest area in 2020 is estimated at 4.1 billion ha, representing 31% of the total land area (FAO 2020a). Most forests are situated in the tropics (45%), followed by boreal (27%), temperate (16%) and subtropical (11%) domains. Considering regional distribution of global forest area, Europe and the Russian Federation accounts for 25%, followed by South America (21%), North and Central America (19%), Africa (16%), Asia (15%) and Oceania (5%). However, a significant share (54%) of the world's forest area concerns five countries – the Russian Federation, Brazil, Canada, the USA and China (FAO 2020a). Forest loss rates differ among regions though the global trend is towards a net forest loss (UN Environment 2019). The global forest area declined by about 178 Mha in the 30 years from 1990 to 2020 (FAO 2020a). The rate of net forest loss has decreased since 1990, a result of reduced deforestation in some countries and forest gains in others. The annual net loss of forest area declined from 7.8 Mha in 1990–2000, to 5.2 Mha in 2000–2010, to 4.7 Mha in 2010–2020, while the total growing stock in global forests increased (FAO 2020a). The rate of decline in net forest loss during the last decade was due mainly to an increase in the rate of forest gain (i.e. afforestation and the natural expansion of forests).

Globally, the area of the more open, other wooded land is also of significant importance, with almost 1 billion hectares (FAO 2020a). The area of other wooded land decreased by 30.6 Mha between 1990 and 2020 with larger declines between 1990–2000 (FAO 2020a). There are still significant challenges in monitoring the area of other wooded land, largely associated with difficulties in measuring tree-canopy cover in the range of 5–10%. The global area of mangroves, one of the most productive terrestrial ecosystems (Neogi 2020a), has also experienced a significant decline (Thomas et al. 2017; Neogi 2020b), with a decrease of 1.0 Mha between 1990 and 2020 (FAO 2020a) due to agriculture and

1 aquaculture (Bhattarai 2011; Ajonina et al. 2014; Webb et al. 2014; Giri et al. 2015; Fauzi et al. 2019;  
2 Thomas et al. 2017). Some relevant direct drivers affecting emissions and removal in forests and other  
3 ecosystems are discussed in proceeding sections.

#### 4 **7.3.1.1. Conversion of natural ecosystems to agriculture**

5 Previous IPCC reports identify land use change as an important driver of emissions and agriculture as  
6 a key driver of land use change, causing both deforestation and wetland drainage (Smith et al. 2019d).  
7 AR5 reported a trend of declining global agricultural land area since 2000 (Smith et al. 2014). The latest  
8 data (FAO 2021b) indicate a 2% reduction in the global agricultural area between 2000 and 2019  
9 (Figure 7.10). This area includes (though is not limited to) land under permanent and temporary crops  
10 or pasture, temporary fallow and natural meadows and pasture utilized for grazing or agricultural  
11 purposes (FAO 2021b), although the extent of land used for grazing may not be fully captured (Fetzel  
12 et al. 2017). Data indicate changes in how agricultural land is used. Between 2000 and 2019, the area  
13 classified as permanent meadow and pasture decreased (- 6%) while cropland area (under arable  
14 production and temporary crops) increased (+ 2%). A key driver of this change has been a general trend  
15 of intensification, including in livestock production (Barger et al. 2018; OECD/FAO 2019; UN  
16 Environment 2019), whereby less grazing land is supporting increasing livestock numbers in  
17 conjunction with greater use of crops as livestock feed (Barger et al. 2018). The share of feed crops,  
18 such as maize and soybean, of global crop production is projected to grow as the demand for animal  
19 feed increases with further intensification of livestock production (OECD/FAO 2019). Despite  
20 increased demand for food, feed, fuel and fibre from a growing human population (FAO 2019b), global  
21 agricultural land area is projected to remain relatively stable during the next decade, with increases in  
22 production expected to result from agricultural intensification (OECD/FAO 2019).

23 Despite a decline in global agricultural area, the latest data document some regional expansion between  
24 2000 and 2019, specifically in Africa (+ 3%) and Asia and the Developing Pacific (+ 1%). Agricultural  
25 area declined in all other regions, notably in developed countries (- 9%), due to multiple factors  
26 including among others, urbanisation (see Section 7.3.1.2).

#### 27 **7.3.1.2. Infrastructure development and urbanisation**

28 Although built-up areas (defined as cities, towns, villages and human infrastructure) occupy a relatively  
29 small fraction of land (around 1% of global land), since 1975 urban clusters (i.e. urban centres as well  
30 as surrounding suburbs) have expanded approximately 2.5 times (UN Environment 2019; Chapter 8,  
31 this report). Regional differences are striking. Between 1975 and 2015, built-up areas doubled in size  
32 in Europe while urban population remained relatively constant. In Africa built-up areas grew  
33 approximately fourfold, while urban population tripled (UN Environment 2019). Trends indicate that  
34 rural-to-urban migration will continue and accelerate in developing countries increasing environmental  
35 pressure in spite of measures to mitigate some of the impacts (e.g. by preserving or enhancing natural  
36 systems within cities for example lakes or natural and urban green infrastructures (UN Environment  
37 2019). If current population densities within cities remain stable, the extent of built-up areas in  
38 developed countries is expected to increase by 30% and triple in developing countries between 2000  
39 and 2050 (Barger et al. 2018).

40 Urban expansion leads to landscape fragmentation and urban sprawl with effects on forest resources  
41 and land use (Ünal et al. 2019) while interacting with other drivers. For example, in the Brazilian  
42 Amazon, the most rapid urban growth occurs within cities that are located near rural areas that produce  
43 commodities (minerals or crops) and are connected to export corridors (Richards and VanWey 2015).  
44 Urbanisation, coastal development and industrialisation also play crucial roles in the significant loss of  
45 mangrove forests (Richards and Friess 2016; Hiraes-Cota 2010; Rivera-Monroy et al. 2017). Among  
46 infrastructural developments, roads are one of the most consistent and most considerable factors in

1 deforestation, particularly in tropical frontiers (Pfaff et al. 2007; Rudel et al. 2009; Ferretti-Gallon and  
2 Busch 2014). The development of roads may also bring subsequent impacts on further development  
3 intensity due to increasing economic activities (see Chapter 8) mostly in the tropics and subtropics,  
4 where the expansion of road networks increases access to remote forests that act as refuges for  
5 biodiversity (Campbell et al. 2017) (Box 7.1). Logging is one of the main drivers of road construction  
6 in tropical forests (Kleinschroth and Healey 2017) which leads to more severe long term impacts that  
7 include increased fire incidence, soil erosion, landslides, and sediment accumulation in streams,  
8 biological invasions, wildlife poaching, illicit land colonisation, illegal logging and mining, land  
9 grabbing and land speculation (Laurance et al. 2009; Alamgir et al. 2017).

## 11 [START BOX 7.1 HERE]

### 12 **Box 7.1 Case study: Reducing the impacts of roads on deforestation**

#### 13 **Summary**

14 Rapidly expanding roads, particularly in tropical regions, are linked to forest loss degradation, and  
15 fragmentation because the land becomes more generally accessible. Increase of land values of areas  
16 adjacent to roads also drives speculation and deforestation related to land tenure (Fearnside 2015). If  
17 poorly planned, infrastructure can facilitate fires, illegal mining, and wildlife poaching with  
18 consequences for GHG emissions and biodiversity conservation. However, some initiatives are  
19 providing new approaches for better planning and then limit environmental and societal impacts.

#### 20 **Background**

21 Although the number and extent of protected areas has increased markedly in recent decades (Watson  
22 et al. 2014), many other indicators reveal that nature is in broad retreat. For example, the total area of  
23 intact wilderness is declining rapidly worldwide (Watson et al. 2016), 70% of the world's forests are  
24 now less than 1 km from a forest edge (Haddad et al. 2015), the extent of tropical forest fragmentation  
25 is accelerating exponentially (Taubert et al. 2018). One of the most direct and immediate driver of  
26 deforestation and biodiversity decline is the dramatic expansion of roads and other transportation  
27 infrastructure (Laurance et al. 2014a; Laurance and Arrea 2017; Alamgir et al. 2017).

#### 28 **Case description**

29 From 2010 to 2050, the total length of paved roads is projected to increase by 25 million km (Dulac  
30 2013) including large infrastructure-expansion schemes in Asia (Lechner et al. 2018; Laurance and  
31 Arrea 2017) and in South America (Laurance et al. 2001; Killeen 2007)—as well as widespread illegal  
32 or unplanned road building (Barber et al. 2014; Laurance et al. 2009). For example, in the Amazon,  
33 95% of all deforestation occurs within 5.5 km of a road, and for every km of legal road there are nearly  
34 three km of illegal roads (Barber et al. 2014).

#### 35 **Interactions and limitations**

36 More than any other proximate factor, the dramatic expansion of roads is determining the pace and  
37 patterns of habitat disruption and its impacts on biodiversity (Laurance et al. 2009; Laurance and Arrea  
38 2017). Much road expansion is poorly planned. Environmental Impact Assessments (EIAs) for roads  
39 and other infrastructure are typically too short-term and superficial to detect rare species or assess long-  
40 term or indirect impacts of projects (Flyvbjerg 2009; Laurance and Arrea 2017). Another limitation is  
41 the consideration of each project in isolation from other existing or planned developments (Laurance et  
42 al. 2014b). Hence, EIAs alone are inadequate for planning infrastructure projects and assessing their



1 broader environmental, social, and financial impacts and risks (Laurance et al. 2015a; Alamgir et al.  
2 2018, 2017).

### 3 **Lessons**

4 The large-scale, proactive land-use planning is an option for managing the development of modern  
5 infrastructure. Approaches such as the “Global Roadmap” scheme (Laurance and Balmford 2013;  
6 Laurance et al. 2014a) Strategic Environmental Assessments (Fischer 2007) can be used to evaluate the  
7 relative costs and benefits of infrastructure projects, and to spatially prioritise land-uses to optimise  
8 human benefits while limited new infrastructure in areas of intact or critical habitats. For example, the  
9 Global Roadmap strategy has been used in parts of Southeast Asia (Sloan et al. 2018), Indochina  
10 (Balmford et al. 2016), and sub-Saharan Africa (Laurance et al. 2015b) to devise land-use zoning that  
11 can help optimise the many risks and rewards of planned infrastructure projects.

12 **[END BOX 7.1 HERE]**

13

#### 14 **7.3.1.3. Extractive industry development**

15 The extent and scale of mining is growing due to increased global demand (UN Environment 2019).  
16 Due to declining ore grades, more ore needs to be processed to meet demand, with extensive use of  
17 open cast mining. A low-carbon future may be more mineral intensive with for example, clean energy  
18 technologies requiring greater inputs in comparison to fossil-fuel-based technologies (Hund et al. 2020).  
19 Mining presents cumulative environmental impacts, especially in intensively mined regions (UN  
20 Environment 2019). The impact of mining on deforestation varies considerably across minerals and  
21 countries. Mining causes significant changes to the environment, for example through mining  
22 infrastructure establishment, soil erosion urban expansion to support a growing workforce and  
23 development of mineral commodity supply chains (Sonter et al. 2015). The increasing consumption of  
24 gold in developing countries, increased prices, and uncertainty in financial markets is identified as  
25 driving gold mining and associated deforestation in the Amazon region (Alvarez-Berrios and Mitchell  
26 Aide 2015; Dezécache et al. 2017; Asner and Tupayachi 2017; Espejo et al. 2018). The total estimated  
27 area of gold mining throughout the region increased by about 40% between 2012 and 2016 (Asner and  
28 Tupayachi 2017). In the Brazilian Amazon, mining significantly increased forest loss up to 70 km  
29 beyond mining lease boundaries, causing 11,670 km<sup>2</sup> of deforestation between 2005 and 2015,  
30 representing 9% of all Amazon forest loss during this time (Sonter et al. 2015).

31 Mining is also an important driver of deforestation in African and Asian countries. In the Democratic  
32 Republic of Congo, where the second-largest area of tropical forest in the world occurs, mining-related  
33 deforestation exacerbated by violent conflict (Butsic et al. 2015). In India, mining has contributed to  
34 deforestation at a district level, with coal, iron and limestone having had the most adverse impact on  
35 forest area loss (Ranjan 2019). Gold mining is also identified as a driver of deforestation in Myanmar  
36 (Papworth et al. 2017).

#### 37 **7.3.1.4. Fire regime changes**

38 Wildland fires account for approximately 70% of the global biomass burned annually (Van Der Werf  
39 et al. 2017) and constitute a large global source of atmospheric trace gases and aerosols (Gunsch et al.  
40 2018; IPCC WGI AR6). Although fires are part of the natural system, the frequency of fires has  
41 increased in many areas, exacerbated by decreases in precipitation, including in many regions with  
42 humid and temperate forests that rarely experience large-scale fires naturally. Natural and human-  
43 ignited fires affect all major biomes, from peatlands through shrublands to tropical and boreal forests,  
44 altering ecosystem structure and functioning (Argañaraz et al. 2015; Engel et al. 2019; Mancini et al.  
45 2018; Remy et al. 2017; Nunes et al. 2016; Aragão et al. 2018; (Rodríguez Vásquez et al. 2021).

1 However, the degree of incidence and regional trends are quite different and a study over 14 year  
2 indicated, on average, the largest fires in Australia, boreal North America and Northern Hemisphere  
3 Africa (Andela et al. 2019). More than half of the terrestrial surface of the Earth has fire regimes outside  
4 the range of natural variability, with changes in fire frequency and intensity posing major challenges  
5 for land restoration and recovery (Barger et al. 2018). In some ecosystems, fire prevention might lead  
6 to accumulation of large fuel loads that enable wildfires (Moreira et al. 2020a).

7 About 98 Mha of forest and savannahs are estimated to have been affected by fire in 2015 (FAO and  
8 UNEP 2020). Fire is a prevalent forest disturbance in the tropics where about 4% of the total forest and  
9 savannah area in that year was burned and more than two-thirds of the total area affected was in Africa  
10 and South America; mostly open savanna types (FAO and UNEP 2020). Fires have many different  
11 causes, with land clearing for agriculture the primary driver in tropical regions, for example, clearance  
12 for industrial oil-palm and paper-pulp plantations in Indonesia (Chisholm et al. 2016), or for pastures  
13 in the Amazon (Barlow et al. 2020). Other socioeconomic factors are also associated with wildfire  
14 regimes such as land-use conflict and socio-demographic aspects (Nunes et al. 2016; Mancini et al.  
15 2018). Wildfire regimes are also changing by the influence of climate change, with wildfire seasons  
16 becoming longer, wildfire average size increases in many areas and wildfires occurring in areas where  
17 they did not occur before (Jolly et al. 2015; Artés et al. 2019). Human influence has likely increased  
18 fire weather in some regions of all inhabited continents (IPCC WGI AR6 Technical Summary) and, in  
19 the last years, fire seasons of unprecedented magnitude occurred in diverse regions as California (Goss  
20 et al. 2020), the Mediterranean basin (Ruffault et al. 2020), Canada (Kirchmeier-Young et al. 2019)  
21 with unprecedented fires in British Columbia in 2021, the Arctic and Siberia (McCarty et al. 2020),  
22 Brazilian Amazon (Silva et al. 2021b) and Pantanal (Leal Filho et al. 2021), Chile (Bowman et al. 2019)  
23 and Australia (Gallagher et al. 2021; Ward et al. 2020). Lightning plays an important role in the ignition  
24 of wildfires, with the incidence of lightning igniting wildfires predicted to increase with rises in global  
25 average air temperature (Worden et al. 2017).

#### 26 **7.3.1.5. Logging and fuelwood harvest**

27 The area of forest designated for production has been relatively stable since 1990. Considering forest  
28 uses, about 30% (1.2 billion ha) of all forests is used primarily for production (wood and non-wood  
29 forest products), about 10% (424 Mha) is designated for biodiversity conservation, 398 Mha for the  
30 protection of soil and water, and 186 Mha is allocated for social services (recreation, tourism, education  
31 research and the conservation of cultural and spiritual sites) (FAO and UNEP 2020). While the rate of  
32 increase in the area of forest allocated primarily for biodiversity conservation has slowed in the last ten  
33 years, the rate of increase in the area of forest allocated for soil and water protection has grown since  
34 1990, and notably in the last ten years. Global wood harvest (including from forests, other wooded land  
35 and trees outside forests) was estimated to be almost 4.0 billion m<sup>3</sup> in 2018 (considering both industrial  
36 roundwood and fuelwood) (FAO, 2019). Overall, wood removals are increasing globally as demand  
37 for, and the consumption of wood products grows annually by 1% in line with growing populations and  
38 incomes with this trend expected to continue in coming decades. When done in a sustainable way, more  
39 regrowth will occur and is stimulated by management, resulting in a net sink. However illegal and  
40 unsustainable logging (i.e. harvesting of timber in contravention of the laws and regulations of the  
41 country of harvest) is a global problem with significant negative economic (e.g. lost revenue),  
42 environmental (e.g. deforestation, forest degradation, GHG emissions and biodiversity losses) and  
43 social impact (e.g. conflicts over land and resources, disempowerment of local and indigenous  
44 communities) (World Bank 2019). Many countries around the world have introduced regulations for  
45 the international trade of forest products to reduce illegal logging, with significant and positive impacts  
46 (Guan et al. 2018).

1 Over-extraction of wood for timber and fuelwood) is identified as an important driver of mangrove  
2 deforestation and degradation (Fauzi et al. 2019; Bhattarai 2011; Ajonina et al. 2014; Webb et al. 2014;  
3 Giri et al. 2015; Thomas et al. 2017; Bhattarai 2011; Ajonina et al. 2014; Webb et al. 2014; Giri et al.  
4 2015; Thomas et al. 2017; Fauzi et al. 2019). Unsustainable selective logging and over-extraction of  
5 wood is a substantial form of forest and mangrove degradation in many tropical and developing  
6 countries, with emissions associated with the extracted wood, incidental damage to the surrounding  
7 forest and from logging infrastructure (Pearson et al. 2014, (Fauzi et al. 2019; Bhattarai 2011; Ajonina  
8 et al. 2014; Webb et al. 2014; Giri et al. 2015; Thomas et al. 2017).). Traditional fuelwood and charcoal  
9 continue to represent a dominant share of total wood consumption in low-income countries (Barger et  
10 al. 2018). Regionally, the percentage of total wood harvested used as fuelwood varies from 90% in  
11 Africa, 62 % in Asia, 50% in South America to less than 20 % in Europe, North America and Oceania.  
12 Under current projections, efforts to intensify wood production in plantation forests, together with  
13 increases in fuel-use efficiency and electrification, are suggested to only partly alleviate the pressure on  
14 native forests (Barger et al. 2018). Nevertheless, the area of forest under management plans has  
15 increased in all regions since 2000 by 233 Mha (FAO-FRA 2020). In regions representing the majority  
16 of industrial wood production, forests certified under sustainable forest management programs  
17 accounted for 51% of total managed forest area in 2017, an increase from 11% in 2000 (ICFPA 2021).

## 18 **7.3.2. Anthropogenic direct drivers – Agriculture**

### 19 **7.3.2.1. Livestock populations and management**

20 Enteric fermentation dominates agricultural CH<sub>4</sub> emissions (Section 7.2.3) with emissions being a  
21 function of both ruminant animal numbers and productivity (output per animal). In addition to enteric  
22 fermentation, both CH<sub>4</sub> and N<sub>2</sub>O emissions from manure management (i.e. manure storage and  
23 application) and deposition on pasture, make livestock the main agricultural emissions source (Tubiello  
24 2019). AR5 reported increases in populations of all major livestock categories between the 1970s and  
25 2000s, including ruminants, with increasing numbers directly linked with increasing CH<sub>4</sub> emissions  
26 (Smith et al. 2014). The SRCCL identified managed pastures as a disproportionately high N<sub>2</sub>O  
27 emissions source within grazing land, with *medium confidence* that increased manure production and  
28 deposition was a key driver (Jia et al. 2019). The latest data (FAO 2021c) indicate continued global  
29 livestock population growth between 1990 and 2019 (Figure 7.10), including increases of 18% in cattle  
30 and buffalo numbers, and 30% in sheep and goat numbers, corresponding with CH<sub>4</sub> emission trends.  
31 Data also indicate increased productivity per animal for example, average increases of 16% in beef,  
32 17% in pig meat and 70% in whole (cow) milk per respective animal between 1990 and 2019 (FAO  
33 2021c). Despite these advances leading to reduced emissions per unit of product (calories, meat and  
34 milk) (FAO 2016; Tubiello 2019), increased individual animal productivity generally requires increased  
35 inputs (e.g. feed) and this generates increased emissions (Beauchemin et al. 2020). Manipulation of  
36 livestock diets, or improvements in animal genetics or health may counteract some of this. In addition,  
37 the production of inputs to facilitate increased animal productivity, may indirectly drive further absolute  
38 GHG emissions along the feed supply chain.

39 Although there are several potential drivers (McDermott et al. 2010; Alary V. 2015), increased livestock  
40 production is principally in response to growth in demand for animal-sourced food, driven by a growing  
41 human population (FAO, 2019) and increased consumption resulting from changes in affluence, notably  
42 in middle-income countries (Godfray et al. 2018). Available data document increases in total meat and  
43 milk consumption by 24 and 22% respectively between 1990 and 2013, as indicated by average annual  
44 per capita supply (FAO 2017a). Updated data indicate that trends of increasing consumption continued  
45 between 2014 and 2018 (FAO 2021d). Sustained demand for animal-sourced food is expected to drive

1 further livestock sector growth, with global production projected to expand by 14% by 2029, facilitated  
2 by maintained product prices and lower feed prices (OECD/FAO 2019).

### 3 **7.3.2.2. Rice cultivation**

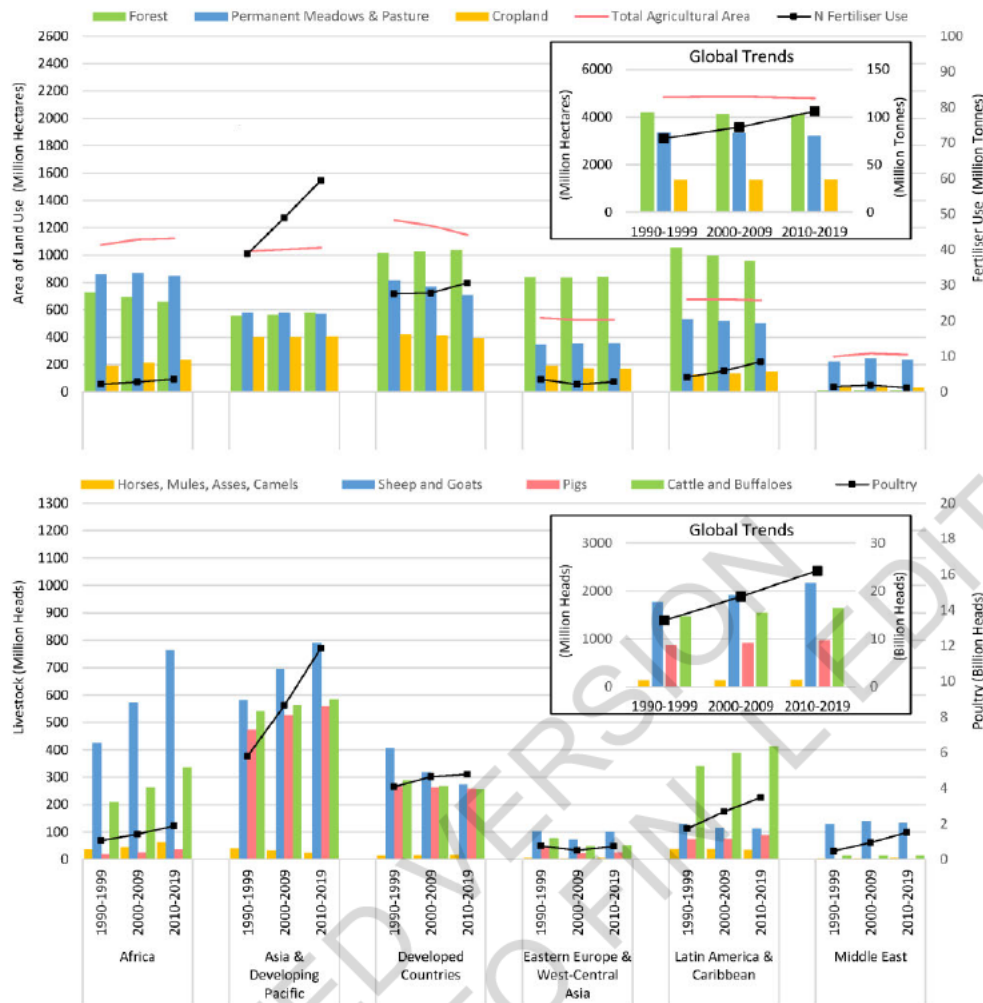
4 In addition to livestock, both AR5 and the SRCCL identified paddy rice cultivation as an important  
5 emissions source (Smith et al. 2014), with *medium evidence* and *high agreement* that its expansion is a  
6 key driver of growing trends in atmospheric CH<sub>4</sub> concentration (Jia et al. 2019). The latest data indicate  
7 the global harvested area of rice to have grown by 11% between 1990 and 2019, with total paddy  
8 production increasing by 46%, from 519 Mt to 755 Mt (FAO 2021c). Global rice production is projected  
9 to increase by 13% by 2028 compared to 2019 levels (OECD/FAO 2019). However, yield increases are  
10 expected to limit cultivated area expansion, while dietary shifts from rice to protein as a result of  
11 increasing per capita income, is expected to reduce demand in certain regions, with a slight decline in  
12 related emissions projected to 2030 (USEPA 2019).

13 Between 1990 and 2019, Africa recorded the greatest increase (+160%) in area under rice cultivation,  
14 followed by Asia and the Developing Pacific (+6%), with area reductions evident in all other regions  
15 (FAO 2021c) broadly corresponding with related regional CH<sub>4</sub> emission (Figures 7.3 and 7.8). Data  
16 indicate the greatest growth in consumption (average annual supply per capita) between 1990 and 2013  
17 to have occurred in Eastern Europe and West Central Asia (+ 42%) followed by Africa (+ 25%), with  
18 little change (+ 1%) observed in Asia and the Developing Pacific (FAO 2017a) Most of the projected  
19 increase in global rice consumption is in Africa and Asia (OECD/FAO 2019).

### 20 **7.3.2.3. Synthetic fertiliser use**

21 Both AR5 and the SRCCL described considerable increases in global use of synthetic nitrogen fertilisers  
22 since the 1970s, which was identified to be a major driver of increasing N<sub>2</sub>O emissions (Jia et al. 2019).  
23 The latest data document a 41% increase in global nitrogen fertiliser use between 1990 and 2019 (FAO  
24 2021e) corresponding with associated increased N<sub>2</sub>O emissions (Figure 7.3). Increased fertiliser use has  
25 been driven by pursuit of increased crop yields, with for example, a 61% increase in average global  
26 cereal yield per hectare observed during the same period (FAO 2021c), achieved through both increased  
27 fertiliser use and varietal improvements. Increased yields are in response to increased demand for food,  
28 feed, fuel and fibre crops which in turn has been driven by a growing human population (FAO, 2019),  
29 increased demand for animal-sourced food and bioenergy policy (OECD/FAO 2019). Global crop  
30 production is projected to increase by almost 15% over the next decade, with low income and emerging  
31 regions with greater availability of land and labour resources expected to experience the strongest  
32 growth, and account for about 50% of global output growth (OECD/FAO 2019). Increases in global  
33 nitrogen fertiliser use are also projected, notably in low income and emerging regions (USEPA 2019).

34



1  
 2 **Figure 7.10 Trends in average global and regional land area under specific land uses (FAO 2021b),**  
 3 **inorganic nitrogen fertiliser use (FAO 2021e) (Top) and number of livestock (FAO 2021c) (Bottom) for**  
 4 **three decades For land use classification ‘cropland’ represents the FAOSTAT category ‘arable land’**  
 5 **which includes land under temporary crops, meadow, pasture and fallow. ‘Forest’ and ‘permanent**  
 6 **meadow and pasture’ follow FAOSTAT categories.**

7 **7.3.3. Indirect drivers**

8 The indirect drivers behind how humans both use and impact natural resources are outlined in Table  
 9 7.2, specifically; demographic, economic and cultural, scientific and technological, and institutional  
 10 and governance drivers. These indirect drivers not only interact with each other at different temporal  
 11 and spatial scales but are also subject to impacts and feedbacks from the direct drivers (Barger et al.  
 12 2018).

13

14

**Table 7.2 Indirect drivers of anthropogenic land and natural resource use patterns**

<b>Demography</b>	<b><i>Global and regional trends in population growth:</i></b> There was a 43% increase in global population between 1990 and 2018. The greatest growth was observed in Africa and the
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	<p>Middle East (+ 104%) and least growth in Eastern Europe and West-Central Asia (+ 7%) (FAO 2019b).</p> <p><b><u>Global and regional projections:</u></b> Population is projected to increase by 28% between 2018 and 2050 reaching 9.7 billion (FAO 2019). The world's population is expected to become older, more urbanised and live in smaller households (UN Environment 2019). <b><u>Human migration:</u></b> Growing mobility and population are linked to human migration, a powerful driver of changes in land and resource use patterns at decadal timescales, with the dominant flow of people being from rural areas to urban settlements over the past few decades, notably in the developing world (Adger et al. 2015; Barger et al. 2018).</p>
<p><b>Economic development and cultural factors</b></p>	<p>Changes in land use and management come from individual and social responses to economic opportunities (e.g. demand for a particular commodity or improved market access), mediated by institutions and policies (e.g. agricultural subsidies and low-interest credit or government-led infrastructure projects) (Barger et al. 2018).</p> <p><b><u>Projections on consumption:</u></b> If the future global population adopts a per capita consumption rate similar to that of the developed world, the global capacity to provide land-based resources will be exceeded (Barger et al. 2018). Economic growth in the developing world is projected to double the global consumption of forest and wood products by 2030, with demand likely to exceed production in many developing and emerging economies in Asia and Africa within the next decade (Barger et al. 2018).</p> <p><b><u>Global trade:</u></b> Market distorting agricultural subsidies and globalisation increases pressure on land systems and functions, with global trade and capital flow influencing land use, notably in developing countries (Yao et al. 2018; Furumo and Aide 2017; Pendrill et al. 2019a; UN Environment 2019), OECD/FAO 2019). Estimates suggest that between 29 and 39% of emissions from deforestation in the tropics resulted from the international trade of agricultural commodities (Pendrill et al. 2019a).</p>
<p><b>Science and technology</b></p>	<p>Technological factors operates in conjunction with economic drivers of land use and management, whether through intensified farming techniques and biotechnology, high-input approaches to rehabilitating degraded land (e.g. Lin et al. 2017; Guo et al. 2020) or through new forms of data collection and monitoring (e.g. Song et al. 2018; Thyagarajan and Vignesh 2019; Arévalo et al. 2020).</p> <p><b><u>Changes in farming and forestry systems:</u></b> Changes can have both positive and negative impacts regarding multiple factors, including GHG emission trends. Fast advancing technologies shape production and consumption, and drive land-use patterns and terrestrial ecosystems at various scales. Innovation is expected to help drive increases in global crop production during the next decade (OECD/FAO 2019). For example, emerging gene editing technologies, may advance crop breeding capabilities, though are subject to biosafety, public acceptance and regulatory approval (Jaganathan et al. 2018; Chen et al. 2019; Schmidt et al. 2020). Technological changes were significant for the expansion of soybean in Brazil by adapting to different soils and photoperiods (Abrahão and Costa 2018). In Asia, technological development changed agriculture with significant improvements in production and adaptation to climate change (Thomson et al. 2019; Giller and Ewert 2019; Anderson et al. 2020; Cassman and Grassini 2020). Developments such as precision agriculture and drip irrigation have facilitated more efficient agrochemical and water use (UN Environment 2019).</p> <p>Research and development are central to forest restoration strategies that have become increasingly important around the world as costs vary depending on methods used, from natural regeneration with native tree species to active restoration using site preparation and planting (Löf et al. 2019). In addition, climate change poses the challenge about tree species selection in the future. Innovations in the forest sector innovations also form the basis of a bioeconomy associated with bioproducts and new processes (Verkerk et al. 2020; Cross-Working Group Box 3 in Chapter 12).</p>



	<p><b><i>Emerging mitigation technologies:</i></b> Chemically synthesised methanogen inhibitors for ruminants are expected to be commercially available in some countries within the next two years and have considerable CH<sub>4</sub> mitigation potential (McGinn et al. 2019; Melgar et al. 2020; Beauchemin et al. 2020; Reisinger et al. 2021) (Section 7.4.3). There is growing literature (in both academic and non-academic sphere) on the biological engineering of protein. Although in its infancy and subject to investment, technological development, regulatory approval and consumer acceptance, it is suggested to have the potential to disrupt current livestock production systems and land use (Stephens et al. 2018; Ben-Arye and Levenberg 2019; Post et al. 2020; RethinkX 2019). The extent to which this is possible and the overall climate benefits are unclear (Lynch and Pierrehumbert 2019; Chriki and Hocquette 2020).</p>
<p><b>Institutions and governance</b></p>	<p>Institutional factors often moderate the relevance and impact of changes in economic and demographic variables related to resource exploitation and use. Institutions encompass the rule of law, legal frameworks and other social structures (e.g. civil society networks and movements) determining land management (e.g. formal and informal property rights, regimes and their enforcement); information and knowledge exchange systems; local and traditional knowledge and practice systems (Barger et al. 2018).</p> <p><b><i>Land rights:</i></b> Land tenure often allows communities to exercise traditional governance based on traditional ecological knowledge, devolved and dynamic access rights, judicious use, equitable distribution of benefits (Mantyka-Pringle et al. 2017; Wynberg 2017; Thomas et al. 2017), biodiversity (Contreras-Negrete et al. 2014) and fire and grazing management (Levang et al. 2015; Varghese et al. 2015).</p> <p><b><i>Agreements and Finance:</i></b> Since AR5, global agreements were reached on climate change, sustainable development goals, and the mobilisation of finance for development and climate action. Several countries adopted policies and commitments to restore degraded land (Barger et al. 2018). The UN Environment Programme (UNEP) and the Food and Agriculture Organization of the UN (FAO), launched the UN Decade on Ecosystem Restoration (<a href="https://www.decadeonrestoration.org/">https://www.decadeonrestoration.org/</a>).</p> <p>Companies have also made pledges to reduce impacts on forests and on the rights of local communities as well as eliminating deforestation from their supply chains. The finance sector, a crucial driver behind action (Section 7.6, Box 7.12), has also started to make explicit commitments to avoiding environmental damage (Barger et al. 2018) and net zero targets (Forest Trends Ecosystem Marketplace 2021), though investment is sensitive to market outlook.</p>

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## 7.4. Assessment of AFOLU mitigation measures including trade-offs and synergies

AFOLU mitigation or land-based climate change mitigation (used in this chapter interchangeably) are a variety of land management or demand management practices that reduce GHG emissions and/or enhance carbon sequestration within the land system (i.e. in forests, wetlands, grasslands, croplands and pasturelands). If implemented with benefits to human well-being and biodiversity, land-based mitigation measures are often referred to as nature-based solutions and/or natural climate solutions (Glossary). Measures that result in a net removal of GHGs from the atmosphere and storage in either living or dead organic material, or in geological stores, are known as CDR, and in previous IPCC reports were sometimes referred to as greenhouse gas removal (GGR) or negative emissions technologies (NETs) (Rogelj et al. 2018a; Jia et al. 2019). This section evaluates current knowledge and latest scientific literature on AFOLU mitigation measures and potentials, including land-based CDR measures. Section 7.4.1 provides an overview of the approaches for estimating mitigation potential the co-benefits and risks from land-based mitigation measures, estimated global and regional mitigation potential and associated costs according to literature published over the last decade. Subsequent subsections assess literature on 20 key AFOLU mitigation measures specifically providing:

- A description of activities, co-benefits, risks and implementation opportunities and barriers
- A summary of conclusions in AR5 and IPCC Special Reports (SR15, SROCCC and SRCCL)
- An overview of literature and developments since the AR5 and IPCC Special Reports
- An assessment and conclusion based on current evidence

Measures are categorised as supply-side activities in: (1) forests and other ecosystems (Section 7.4.2), (2) agriculture (Section 7.4.3), (3) bioenergy and other land-based energy technologies (Section 7.4.4); as well as (4) demand-side activities (Section 7.4.5) (Figure 7.11). Several information boxes are dispersed within the section and provide supporting material, including case studies exploring a range of topics from climate-smart forestry in Europe (Box 7.2), agroforestry in Brazil (Box 7.3), climate-smart village approaches (Box 7.4), farm systems approaches (Box 7.5), mitigation within Indian agriculture (Box 7.6), and bioenergy and BECCS mitigation calculations (Box 7.7). Novel measures, including enhanced weathering and novel foods are covered in Chapter 12, this report. In addition, as mitigation within AFOLU concerns land management and use of land resources, AFOLU measures impact other sectors. Accordingly, AFOLU measures are also discussed in other sectoral chapters within this report, notably demand side solutions (Chapter 5), bioenergy and Bioenergy with Carbon Capture and Storage (BECCS) (Chapter 6), the use of wood products and biomass in buildings (Chapter 9), and CDR measures, food systems and land related impacts, risks and opportunities of mitigation measures (Chapter 12).

### 7.4.1. Introduction and overview of mitigation potential

#### 7.4.1.1. Estimating mitigation potentials

Mitigation potentials for AFOLU measures are estimated by calculating the scale of emissions reductions or carbon sequestration against a counterfactual scenario without mitigation activities. The types of mitigation potential estimates in recent literature include: (1) technical potential (the biophysical potential or amount possible with current technologies), (2) economic potential (constrained by costs, usually by a given carbon price (Table 7.3), (3) sustainable potential (constrained by environmental safeguards and/or natural resources, e.g. limiting natural forest conversion), and (4) feasible potential (constrained by environmental, socio-cultural, and/or institutional barriers), however, there are no set definitions used in literature. In addition to types of mitigation estimates, there are two



1 AFOLU mitigation categories often calculated: supply-side measures (land management interventions)  
2 and demand-side measures (interventions that require a change in consumer behaviour).

3 Two main approaches to estimating mitigation potentials include: 1) studies on individual measures  
4 and/or sectors – henceforth referred to as sectoral assessments, and 2) integrated assessment models  
5 (IAM). Sectoral assessments include studies focusing on one activity (e.g. agroforestry) based on spatial  
6 and biophysical data, as well as econometric and optimisation models for a sector, e.g. the forest or  
7 agriculture sector, and therefore cover a large suite of practices and activities while representing a broad  
8 body of literature. Sectoral assessments however, rarely capture cross-sector interactions or impacts,  
9 making it difficult to completely account for land competition, trade-offs, and double counting when  
10 aggregating sectoral estimates across different studies and methods (Smith et al. 2014; Jia et al. 2019).  
11 On the other hand, IAMs assess the climate impact of multiple and interlinked practices across sectors  
12 and therefore, can account for interactions and trade-offs (including land competition, use of other  
13 resources and international trade) between them. However, the number of land-based measures used in  
14 IAMs are limited compared with the sectoral portfolio (Figure 7.11). The resolution of land-based  
15 measures in IAMs are also generally coarser compared to some sectoral estimates, and as such, may be  
16 less robust for individual measures (Roe et al. 2021). Given the differences between and strengths and  
17 weaknesses of the two approaches, it is helpful to compare the estimates from both. We combine  
18 estimates from both approaches to establish an updated range of global land-based mitigation potential.

19 For the 20 land-based mitigation measures outlined in this section, the mitigation potential estimates  
20 are largely derived from sectoral approaches, and where data is available are compared to IAM  
21 estimates. Integrated assessment models and the emissions trajectories, cost-effectiveness and trade-  
22 offs of various mitigation pathways are detailed in Section 7.5. It should be noted that the underlying  
23 literature for sectoral as well as IAM mitigation estimates consider GWP<sub>100</sub> IPCC AR5 values (CH<sub>4</sub> =  
24 28, N<sub>2</sub>O = 265) as well as GWP<sub>100</sub> IPCC AR4 values (CH<sub>4</sub> = 25, N<sub>2</sub>O = 298) to convert CH<sub>4</sub> and N<sub>2</sub>O  
25 to CO<sub>2</sub>-eq. Where possible, we note the various GWP<sub>100</sub> values (in IAM estimates, and the wetlands and  
26 agriculture sections), however in some instances, the varying GWP<sub>100</sub> values used across studies  
27 prevents description of non-CO<sub>2</sub> gases in native units as well as conversion to AR6 GWP<sub>100</sub> (CH<sub>4</sub> = 27,  
28 N<sub>2</sub>O = 273) CO<sub>2</sub>-eq values to aggregate sectoral assessment estimates.

#### 29 **7.4.1.2. Co-benefits and risks**

30 Land interventions have interlinked implications for climate mitigation, adaptation, food security,  
31 biodiversity, ecosystem services, and other environmental and societal challenges (Section 7.6.5).  
32 Therefore, it is important to consider the net effect of mitigation measures for achieving both climate  
33 and non-climate goals (Section 7.1).

34 While it is helpful to assess the general benefits, risks and opportunities possible for land-based  
35 mitigation measures (Smith et al. 2019a), their efficacy and scale of benefit or risk largely depends on  
36 the type of activity undertaken, deployment strategy (e.g. scale, method), and context (e.g. soil, biome,  
37 climate, food system, land ownership) that vary geographically and over time (Smith et al. 2019a,b;  
38 Hurlbert et al. 2019; Chapter 12, Section 12.5) (*robust evidence, high agreement*). Impacts of land-  
39 based mitigation measures are therefore highly context specific and conclusions from specific studies  
40 may not be universally applicable. If implemented at appropriate scales and in a sustainable manner,  
41 land-based mitigation practices have the capacity to reduce emissions and sequester billions of tonnes  
42 of carbon from the atmosphere over coming decades, while also preserving or enhancing biodiversity,  
43 water quality and supply, air quality, soil fertility, food and wood security, livelihoods, resilience to  
44 droughts, floods and other natural disasters, and positively contributing to ecosystem health and human  
45 wellbeing (*high confidence*) (Toensmeier 2016; Karlsson et al. 2020).

46 Overall, measures in the AFOLU sector are uniquely positioned to deliver substantial co-benefits.

1 However, the negative consequences of inappropriate or misguided design and implementation of  
2 measures may be considerable, potentially impacting for example, mitigation permanence, longevity,  
3 and leakage, biodiversity, wider ecosystem functioning, livelihoods, food security and human well-  
4 being (Section 7.6; WGII, Box 2.2. ‘Risks of maladaptive mitigation’. Land-based mitigation may also  
5 face limitations and trade-offs in achieving sustained emission reductions and/or removals due to other  
6 land challenges including climate change impacts. It is widely recognised that land-use planning that is  
7 context-specific, considers other sustainable development goals, and is adaptable over time can help  
8 achieve land-based mitigation that maximises co-benefits, avoids or limits trade-offs, and delivers on  
9 international policy goals including the SDGs, Land Degradation Neutrality, and Convention on  
10 Biological Diversity (Section 7.6; Chapter 12).

11 Potential co-benefits and trade-offs are outlined for each of the 20 land-based mitigation measures in  
12 the proceeding sub-sections and summarised in Figure 7.12. Section 7.6.5. discusses general links with  
13 ecosystem services, human well-being and adaptation, while Chapter 12 (Section 12.5) provides an in-  
14 depth assessment of the land related impacts, risks and opportunities associated with mitigation options  
15 across sectors, including positive and negative effects on land resources, water, biodiversity, climate,  
16 and food security.

#### 17 **7.4.1.3. Overview of global and regional technical and economic potentials in AFOLU**

18 **IPCC AR5 (2014).** In the AR5, the economic mitigation potential of supply-side measures in the  
19 AFOLU sector was estimated at 7.18–10.60 GtCO<sub>2</sub>-eq yr<sup>-1</sup> in 2030 with carbon prices up to USD100  
20 tCO<sub>2</sub>-eq<sup>-1</sup>, about a third of which could be achieved at < USD20 tCO<sub>2</sub>-eq<sup>-1</sup> (*medium evidence; medium*  
21 *agreement*) (Smith et al. 2014). AR5 provided a summary table of individual AFOLU mitigation  
22 measures, but did not conduct a detailed assessment for each.

23 **IPCC SRCCL (2019).** The SRCCL assessed the full range of technical, economic and sustainability  
24 mitigation potentials in AFOLU for the period 2030-2050 and identified reduced deforestation and  
25 forest degradation to have greatest potential for reducing supply-side emissions (0.4–5.8 GtCO<sub>2</sub>-eq yr<sup>-1</sup>  
26 <sup>1</sup>) (*high confidence*) followed by combined agriculture measures, 0.3–3.4 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (*medium*  
27 *confidence*) (Jia et al. 2019). For the demand-side estimates, shifting towards healthy, sustainable diets  
28 (0.7–8.0 GtCO<sub>2</sub>-eq yr<sup>-1</sup>) (*high confidence*) had the highest potential, followed by reduced food loss and  
29 waste (0.8–4.5 GtCO<sub>2</sub>-eq yr<sup>-1</sup>) (*high confidence*). Measures with greatest potential for CDR were  
30 afforestation/reforestation (0.5–10.1 GtCO<sub>2</sub>-eq yr<sup>-1</sup>) (*medium confidence*), soil carbon sequestration in  
31 croplands and grasslands (0.4–8.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup>) (*medium confidence*) and BECCS (0.4–11.3 GtCO<sub>2</sub>-  
32 eq yr<sup>-1</sup>) (*medium confidence*). The SRCCL did not explore regional potential, associated feasibility nor  
33 provide detailed analysis of costs.

34 **IPCC AR6.** This assessment concludes the likely range of global land-based mitigation potential is  
35 approximately 8 – 14 GtCO<sub>2</sub>-eq yr<sup>-1</sup> between 2020-2050 with carbon prices up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>,  
36 about half of the technical potential (*medium evidence; medium agreement*). About 30-50% could be  
37 achieved < USD20 tCO<sub>2</sub>-eq<sup>-1</sup> (Table 7.3). The global economic potential estimates in this assessment  
38 are slightly higher than the AR5 range. Since AR5, there have been numerous new global assessments  
39 of sectoral land-based mitigation potential (Fuss et al. 2018; Griscom et al. 2017, 2020; Roe et al. 2019;  
40 Jia et al. 2019; Griscom et al. 2020; Roe et al. 2021) as well as IAM estimates of mitigation potential  
41 (Frank et al. 2019; Johnston and Radeloff 2019; Riahi et al. 2017; Baker et al. 2019; Popp et al. 2017;  
42 Rogelj et al. 2018a), expanding the scope of AFOLU mitigation measures included and substantially  
43 improving the robustness and spatial resolution of mitigation estimates. A recent development is an  
44 assessment of country-level technical and economic (USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) mitigation potential for 20  
45 AFOLU measures, including for demand-side and soil organic carbon sequestration in croplands and  
46 grasslands, not estimated before (Roe et al. 2021). Estimates on costs, feasibility, sustainability,

1 benefits, and risks have also been developed for some mitigation measures, and they continue to be  
2 active areas of research. Developing more refined sustainable potentials at a country-level will be an  
3 important next step. Although most mitigation estimates still do not consider the impact of future  
4 climate change, there are some emerging studies that do (Doelman et al. 2019; Sonntag et al. 2016).  
5 Given the IPCC WG1 finding that the land sink is continuing to increase although its efficiency is  
6 decreasing with climate change, it will be critical to better understand how future climate will affect  
7 mitigation potentials, particularly from CDR measures.

8 Across global sectoral studies, the economic mitigation potential (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) of supply-  
9 side measures in AFOLU for the period 2020-2050 is 11.4 mean (5.6–19.8 full range) GtCO<sub>2</sub>-eq yr<sup>-1</sup>,  
10 about 50% of the technical potential of 24.2 (4.9 - 58) GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Table 7.3). Adding 2.1 GtCO<sub>2</sub>-eq  
11 yr<sup>-1</sup> from demand-side measures (accounting only for diverted agricultural production to avoid double  
12 counting with land-use change effects), total land-based mitigation potential up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>  
13 is 13.6 (6.7 – 23.4) GtCO<sub>2</sub>-eq yr<sup>-1</sup>. This estimate aligns with the most recent regional assessment (Roe  
14 et al. 2021), which found the aggregate global mitigation potential of supply and demand-side measures  
15 to be 13.8 ± 3.1 GtCO<sub>2</sub>-eq yr<sup>-1</sup> up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup> for the period 2020-2050. Across integrated  
16 assessment models (IAMs), the economic potential for land-based mitigation (Agriculture, LULUCF  
17 and BECCS) for USD100 tCO<sub>2</sub>-eq<sup>-1</sup> is 7.9 mean (4.1–17.3 range) GtCO<sub>2</sub>-eq yr<sup>-1</sup> in 2050 (Table 7.3).  
18 We add the estimate for BECCS here to provide the full land-based potential, as IAMs optimize land  
19 allocation based on costs, which displaces land-based CDR activities for BECCS. Combining both IAM  
20 and sectoral approaches, the likely range is therefore 7.9–13.6 (rounded to 8–14) GtCO<sub>2</sub>-eq yr<sup>-1</sup> up to  
21 USD100 tCO<sub>2</sub>-eq<sup>-1</sup> between 2020-2050. Considering both IAM and sectoral economic potential  
22 estimates, land-based mitigation could have the capacity to make the AFOLU sector net negative GHG  
23 emissions from 2036 (Figure 7.12), although there are highly variable mitigation strategies for how  
24 AFOLU potential can be deployed for achieving climate targets (Illustrative Mitigation Pathways in  
25 7.5.5). Economic potential estimates, which reflect a public willingness to pay, may be more relevant  
26 for policy making compared with technical potentials which reflect a theoretical maximum that may  
27 not be feasible or sustainable.

28 Among the mitigation options, the protection, improved management, and restoration of forests and  
29 other ecosystems (wetlands, savannas and grasslands) have the largest potential to reduce emissions  
30 and/or sequester carbon at 7.3 (3.9–13.1) GtCO<sub>2</sub>-eq yr<sup>-1</sup> (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>), with measures that  
31 ‘protect’ having the single highest total mitigation and mitigation densities (mitigation per area) in  
32 AFOLU (Table 7.3, Figure 7.11). Agriculture provides the second largest share of mitigation, with 4.1  
33 (1.7–6.7) GtCO<sub>2</sub>-eq yr<sup>-1</sup> potential (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>), from soil carbon management in croplands  
34 and grasslands, agroforestry, biochar, rice cultivation, and livestock and nutrient management Table  
35 7.3, Figure 7.11. Demand-side measures including shifting to sustainable healthy diets, reducing food  
36 waste, and improving wood products can mitigate 2.2 (1.1 - 3.6) GtCO<sub>2</sub>-eq yr<sup>-1</sup> when accounting only  
37 for diverted agricultural production from diets and food waste to avoid double counting with measures  
38 in forests and other ecosystems (Table 7.3, Figure 7.11). The potential of demand-side measures  
39 increases three-fold, to 6.5 (4 – 9.5) GtCO<sub>2</sub>-eq yr<sup>-1</sup> when accounting for the entire value chain including  
40 land-use effects, but would overlap with other measures and is therefore not additive.

41 Most mitigation options are available and ready to deploy. Emissions reductions can be unlocked  
42 relatively quickly, whereas CDR need upfront investment to generate sequestration over time. The  
43 protection of natural ecosystems, carbon sequestration in agriculture, sustainable healthy diets and  
44 reduced food waste have especially high co-benefits and cost efficiency. Avoiding the conversion of  
45 carbon-rich primary peatlands, coastal wetlands and forests is particularly important as most carbon lost  
46 from those ecosystems are irrecoverable through restoration by the 2050 timeline of achieving net zero  
47 carbon emissions (Goldstein et al. 2020). Sustainable intensification, shifting diets, reducing food waste

1 could enhance efficiencies and reduce agricultural land needs, and are therefore critical for enabling  
2 supply-side measures such as reduced deforestation, restoration, as well as reducing N<sub>2</sub>O and CH<sub>4</sub>  
3 emissions from agricultural production - as seen in the Illustrative Mitigation Pathway IMP-SP (Section  
4 7.5.6). Although agriculture measures that reduce non-CO<sub>2</sub>, particularly of CH<sub>4</sub>, are important for near-  
5 term emissions reductions, they have less economic potential due to costs. Demand-side measures may  
6 be able to deliver non-CO<sub>2</sub> emissions reductions more cost efficiently.

7 Regionally, economic mitigation potential up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup> is estimated to be greatest in tropical  
8 countries in Asia and developing Pacific (34%), Latin America and the Caribbean (24%), and Africa  
9 and the Middle East (18%) because of the large potential from reducing deforestation and sequestering  
10 carbon in forests and agriculture (Figure 7.11). However, there is also considerable potential in  
11 Developed Countries (18%) and more modest potential in Eastern Europe and West-Central Asia (5%).  
12 These results are in line with the IAM regional mitigation potentials (Figure 7.11). The protection of  
13 forests and other ecosystems is the dominant source of mitigation potential in tropical regions, whereas  
14 carbon sequestration in agricultural land and demand-side measures are important in Developed  
15 Countries and Asia and developing Pacific. The restoration and management of forests and other  
16 ecosystems is more geographically distributed, with all regions having significant potential. Regions  
17 with large livestock herds (Developed Countries, Latin America) and rice paddy fields (Asia and  
18 developing Pacific) have potential to reduce CH<sub>4</sub>. As expected, the highest total potential is associated  
19 with countries and regions with large land areas, however when considering mitigation density (total  
20 potential per hectare), many smaller countries, particularly those with wetlands have disproportionately  
21 high levels of mitigation for their size (Roe et al. 2021) As global commodity markets connect regions,  
22 AFOLU measures may create synergies and trade-offs across the world, which could make national  
23 demand-side measures for example, important in mitigating supply side emissions elsewhere (Kallio &  
24 Solberg 2018 ).

25 Although economic potentials provide more realistic, near-term climate mitigation compared to  
26 technical potentials, they still do not account for feasibility barriers and enabling conditions that vary  
27 by region and country. For example, according to most models, including IAMs, avoided deforestation  
28 is the cheapest land-based mitigation option (Table 7.3, Sections 7.5.3 and 7.5.4), however  
29 implementing interventions aimed at reducing deforestation (including REDD+) often have higher  
30 transaction and implementation costs than expected due to various barriers and enabling conditions  
31 (Luttrell et al. 2018; Section 7.6). The feasibility of implementing AFOLU mitigation measures,  
32 including those with multiple co-benefits, depends on varying economic, technological, institutional,  
33 socio-cultural, environmental and geophysical barriers (*high confidence*) (Smith et al. 2019a). The  
34 section for each individual mitigation measure provides an overview of co-benefits and risks associated  
35 with the measure and Section 7.6.6 outlines key enabling factors and barriers for implementation.

36  
37 **Table 7.3 Estimated annual mitigation potential (GtCO<sub>2</sub>-eq yr<sup>-1</sup>) in 2020-2050 of AFOLU mitigation**  
38 **options by carbon price. Estimates reflect sectoral studies based on a comprehensive literature review**  
39 **updating data from (Roe et al. 2019) and integrated assessment models using the IPCC AR6 database**  
40 **(Section 7.5). Values represent the mean, and full range of potential. Sectoral mitigation estimates are**  
41 **averaged for the years 2020-2050 to capture a wider range of literature, and the IAM estimates are given**  
42 **for 2050 as many model assumptions delay most land-based mitigation to mid-century. The sectoral**  
43 **potentials are the sum of global estimates for the individual measures listed for each option. IAM**  
44 **potentials are given for mitigation options with available data; e.g., net land-use CO<sub>2</sub> for total forests &**  
45 **other ecosystems, and land sequestration from A/R, but not reduced deforestation (protect). Sectoral**  
46 **estimates predominantly use GWP<sub>100</sub> IPCC AR5 values (CH<sub>4</sub> = 28, N<sub>2</sub>O = 265), although some use**  
47 **GWP<sub>100</sub> IPCC AR4 values (CH<sub>4</sub> = 25, N<sub>2</sub>O = 298); and the IAMs use GWP<sub>100</sub> IPCC AR6 values (CH<sub>4</sub> =**

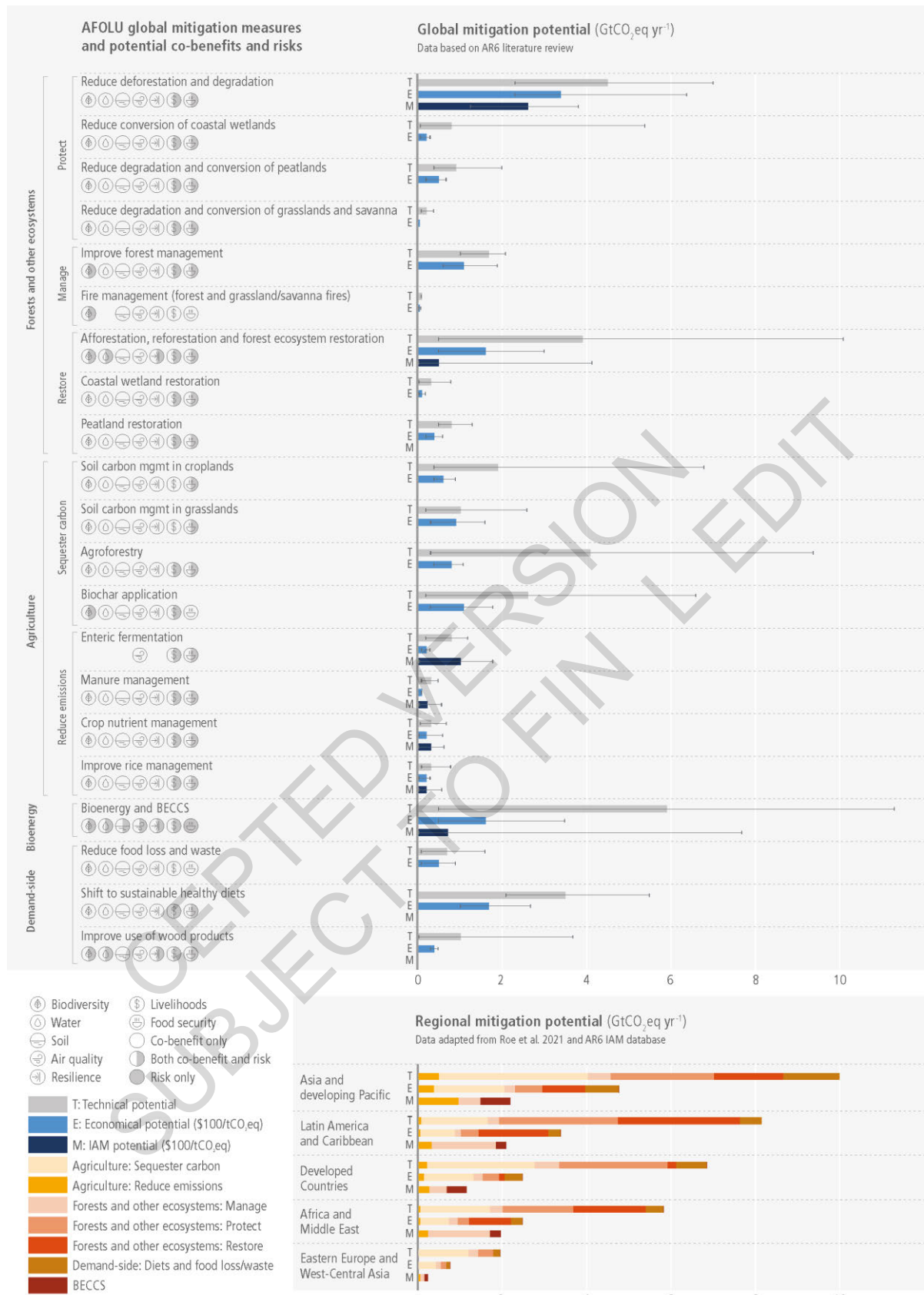
1 27, N<sub>2</sub>O = 273). The sectoral and IAM estimates reflected here do not account for the substitution effects  
 2 of avoiding fossil fuel emissions nor emissions from other more energy intensive resources/materials. For  
 3 example, BECCS estimates only consider the carbon dioxide removal (CDR) via geological storage  
 4 component and not potential mitigation derived from the displacement of fossil fuel use in the energy  
 5 sector. Mitigation potential from substitution effects are included in the other sectoral chapters like  
 6 energy, transport, buildings and industry. The total AFOLU sectoral estimate aggregates potential from  
 7 agriculture, forests & other ecosystems, and diverted agricultural production from avoided food waste  
 8 and diet shifts (excluding land-use impacts to avoid double counting). Because of potential overlaps  
 9 between measures, sectoral values from BECCS and the full value chain potential from demand-side  
 10 measures are not summed with AFOLU. IAMs account for land competition and resource optimization  
 11 and can therefore sum across all available categories to derive the total AFOLU potential. Key: ND = no  
 12 data; Sectoral = as assessed by sectoral literature review; IAM = as assessed by integrated assessment  
 13 models; EJ = ExaJoule primary energy.

Mitigation option	Estimate type	< USD20 tCO <sub>2</sub> -eq <sup>-1</sup>	< USD50 tCO <sub>2</sub> -eq <sup>-1</sup>	< USD100 tCO <sub>2</sub> -eq <sup>-1</sup>	Technical
<b>Agriculture total</b>	Sectoral	0.9 (0.5 - 1.4)	1.6 (1 - 2.4)	4.1 (1.7 - 6.7)	11.2 (1.6 - 28.5)
	IAM	0.9 (0 - 3.1)	1.3 (0 - 3.2)	1.8 (0.7 - 3.3)	ND
<b>Agriculture - Carbon sequestration</b> (soil carbon management in croplands and grasslands, agroforestry, and biochar)	Sectoral	0.5 (0.4 - 0.6)	1.2 (0.9 - 1.6)	3.4 (1.4 - 5.5)	9.5 (1.1 - 25.3)
	IAM	ND	ND	ND	ND
<b>Agriculture - Reduce CH<sub>4</sub> and N<sub>2</sub>O emissions</b> (improve enteric fermentation, manure management, nutrient management, and rice cultivation)	Sectoral	0.4 (0.1 - 0.8)	0.4 (0.1 - 0.8)	0.6 (0.3 - 1.3)	1.7 (0.5 - 3.2)
	IAM	0.9 (0 - 3.1)	1.3 (0 - 3.2)	1.8 (0.7 - 3.3)	ND
<b>Forests &amp; other ecosystems total</b>	Sectoral	2.9 (2.2 - 3.5)	3.1 (1.4 - 5.1)	7.3 (3.9 - 13.1)	13 (5 - 29.5)
	IAM	2.4 (0 - 10.5)	3.3 (0 - 9.9)	4.2 (0 - 12.1)	ND
<b>Forests &amp; other ecosystems - Protect</b> (reduce deforestation, loss and degradation of peatlands, coastal wetlands, and grasslands)	Sectoral	2.3 (1.7 - 2.9)	2.4 (1.2 - 3.6)	4.0 (2.5 - 7.4)	6.2 (2.8 - 14.4)
	IAM	ND	ND	ND	ND
<b>Forests &amp; other ecosystems - Restore</b> (afforestation, reforestation, peatland restoration, coastal wetland restoration)	Sectoral	0.15	0.7 (0.2 - 1.5)	2.1 (0.8 - 3.8)	5 (1.1 - 12.3)
	IAM (A/R)	0.6 (0.2 - 6.5)	0.6 (0.01 - 8.3)	0.7 (0.07 - 6.8)	ND
<b>Forests &amp; other ecosystems - Manage</b> (improve forest management, fire management)	Sectoral	0.4 (0.3 - 0.4)	ND	1.2 (0.6 - 1.9)	1.8 (1.1 - 2.8)
	IAM	ND	ND	ND	ND
<b>Demand-side measures</b> (shift to sustainable healthy diets, reduce food waste, and enhanced and improved use of wood products) <i>* for all three only the direct avoided emissions; land use effects are in measures above</i>	Sectoral	ND	ND	2.2 (1.1 - 3.6)*	4.2 (2.2 - 7.1)*
	IAM	ND	ND	ND	ND
	Sectoral	ND	ND	1.6 (0.5 - 3.5)	5.9 (0.5 - 11.3)

<b>BECCS</b> (only the CDR component, i.e the geological storage. Substitution effects are accounted in other sectoral chapters: energy, transport)	IAM	0.08 (0 - 0.7)	0.5 (0 - 6)	1.8 (0.2 - 9.9)	ND
<b>Bioenergy from residues</b>	Sectoral	ND	ND	ND	Up to 57 EJ yr <sup>-1</sup>
<b>TOTAL AFOLU</b> (agriculture, forests & other ecosystems, diverted ag production from demand-side)	Sectoral	3.8 (2.7 - 4.9)	4.3 (2.3 - 6.7)	13.6 (6.7 - 23.4)	28.4 (8.8 - 65.1)
<b>TOTAL AFOLU</b> (agriculture, forests & other ecosystems, BECCS)	IAM	3.4 (0 - 14.6)	5.3 (0.6 - 19.4)	7.9 (4.1 - 17.3)	ND

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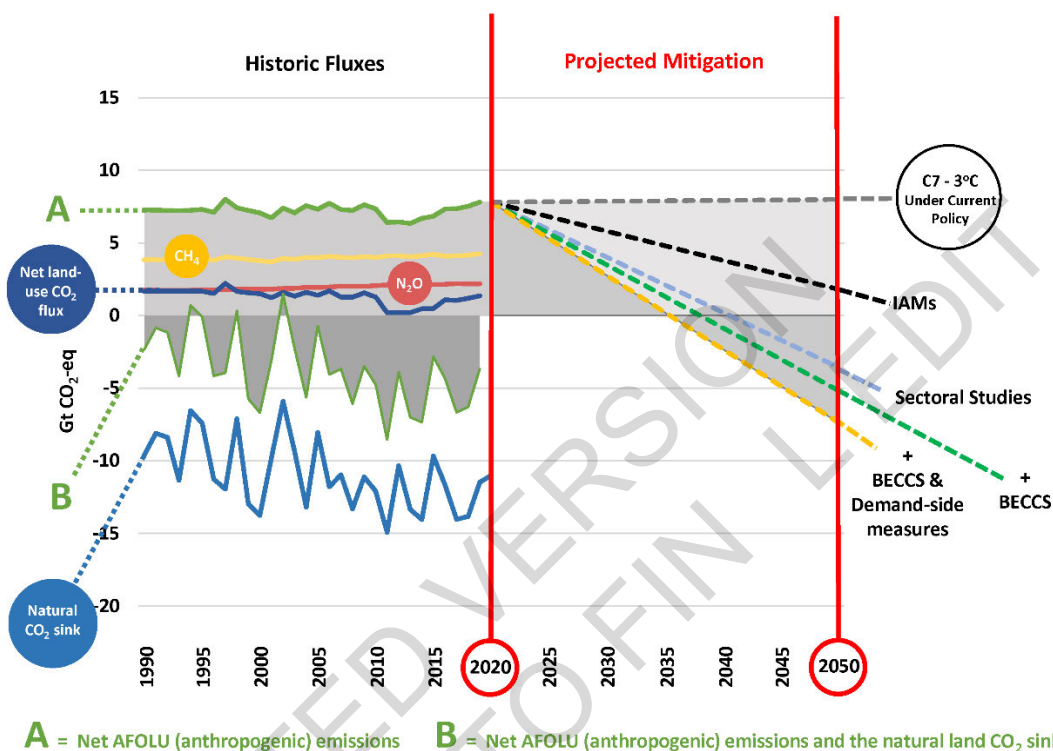


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**Figure 7.11 Global and regional mitigation potential (GtCO<sub>2</sub>-eq yr<sup>-1</sup>) in 2020–2050 for 20 land-based measures. (a) Global estimates represent the mean (bar) and full range (error bars) of the economic**



1 potential (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) based on a comprehensive literature review of sectoral studies  
 2 (references are outlined in the sub-section for each measure in 7.4.2–7.4.5). Potential co-benefits and  
 3 trade-offs for each of the 20 measures are summarized in icons. (b) Regional estimates illustrate the  
 4 mean technical (T) and economic (E) (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) sectoral potential based on data from  
 5 (Roe et al. 2021). IAM economic potential (M) (USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) data is from the IPCC AR6  
 6 database.  
 7  
 8



9  
 10 **Figure 7.12** Historic land sector GHG flux estimates and illustrative AFOLU mitigation pathways to  
 11 2050, based on data presented in Sections 7.2, 7.4 and 7.5. Historic trends consider both (A)  
 12 anthropogenic (AFOLU) GHG fluxes (GtCO<sub>2</sub>-eq yr<sup>-1</sup>) according to FAOSTAT (FAO 2021a; 2021b) and  
 13 (B) the estimated natural land CO<sub>2</sub> sink according to (Friedlingstein et al. 2020). Note that for the  
 14 anthropogenic net land CO<sub>2</sub> flux component, several approaches and methods are described within the  
 15 literature (Section 7.2.2) with a wide range in estimates. For clarity, only one dataset (FAOSTAT) is  
 16 illustrated here. It is not intended to indicate preference for one particular method over others. Historic  
 17 flux trends are illustrated to 2019, the latest year for which data is available. Projected economic  
 18 mitigation potential (at costs of up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) includes estimates from IAMs and sectoral  
 19 studies (Table 7.3). The sectoral estimates are disaggregated into agriculture + forests & other  
 20 ecosystems, + demand-side measures (only accounting for diverted agricultural production to avoid  
 21 double counting), and + BECCS (illustrating that there may be additional potential, with the caveat that  
 22 there is likely overlap with other measures). Projected mitigation assumes adoption of measures to  
 23 achieve increasing, linear mitigation, reaching average annual potential in 2050, although this does not  
 24 reflect deployment rates for most measures. For illustrative purposes, a pathway to projected emissions in  
 25 2050 according to a scenario of current policy (C7 - Above 3.0°C - Model: GCAM 5.3) is additionally  
 26 included for reference.  
 27

## 1 **7.4.2. Forests and other ecosystems**

### 2 **7.4.2.1. Reduce deforestation and degradation**

3 **Activities, co-benefits, risks and implementation opportunities and barriers.** Reducing deforestation  
4 and forest degradation conserves existing carbon pools in forest vegetation and soil by avoiding tree  
5 cover loss and disturbance. Protecting forests involves controlling the drivers of deforestation (such as  
6 commercial and subsistence agriculture, mining, urban expansion) and forest degradation (such as  
7 overharvesting including fuelwood collection, poor harvesting practices, overgrazing, pest outbreaks,  
8 and extreme wildfires), as well as by establishing well designed, managed and funded protected areas  
9 (Barber et al. 2020), improving law enforcement, forest governance and land tenure, supporting  
10 community forest management and introducing forest certification (Smith et al. 2019b). Reducing  
11 deforestation provides numerous and substantial co-benefits, preserving biodiversity and ecosystem  
12 services (e.g. air and water filtration, water cycling, nutrient cycling) more effectively and at lower costs  
13 than afforestation/reforestation (Jia et al. 2019). Potential adverse side effects of these conservation  
14 measures include reducing the potential for agriculture land expansion, restricting the rights and access  
15 of local people to forest resources, or increasing the dependence of local people to insecure external  
16 funding. Barriers to implementation include unclear land tenure, weak environmental governance,  
17 insufficient funds, and increasing pressures associated to agriculture conversion, resource exploitation  
18 and infrastructure development (Sections 7.3 and 7.6).

19 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
20 **potential, costs, and pathways.** Reducing deforestation and forest degradation represents one of the  
21 most effective options for climate change mitigation, with technical potential estimated at 0.4–5.8  
22 GtCO<sub>2</sub> yr<sup>-1</sup> by 2050 (*high confidence*) (SRCCL, Chapters 2 and 4, and Table 6.14). The higher technical  
23 estimate represents a complete halting of land use conversion in forests and peatland forests (i.e.,  
24 assuming recent rates of carbon loss are saved each year) and includes vegetation and soil carbon pools.  
25 Ranges of economic potentials for forestry ranged in AR5 from 0.01–1.45 GtCO<sub>2</sub> yr<sup>-1</sup> for USD20 tCO<sub>2</sub><sup>-1</sup>  
26 to 0.2–13.8 GtCO<sub>2</sub> yr<sup>-1</sup> for USD100 tCO<sub>2</sub><sup>-1</sup> by 2030 with reduced deforestation dominating the forestry  
27 mitigation potential LAM and MAF, but very little potential in OECD-1990 and EIT (IPCC AR5).

28 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Since the  
29 SRCCL, several studies have provided updated and convergent estimates of economic mitigation  
30 potentials by region (Busch et al. 2019; Griscom et al. 2020; Austin et al. 2020; Roe et al. 2021).  
31 Tropical forests and /savannas in Latin America provide the largest share of mitigation potential (3.9  
32 GtCO<sub>2</sub> yr<sup>-1</sup> technical, 2.5 GtCO<sub>2</sub> yr<sup>-1</sup> at USD100 tCO<sub>2</sub><sup>-1</sup>) followed by Southeast Asia (2.2 GtCO<sub>2</sub> yr<sup>-1</sup>  
33 technical, 1.5 GtCO<sub>2</sub> yr<sup>-1</sup> at USD100 tCO<sub>2</sub><sup>-1</sup>) and Africa (2.2 GtCO<sub>2</sub> yr<sup>-1</sup> technical, 1.2 GtCO<sub>2</sub> yr<sup>-1</sup> at  
34 USD100 tCO<sub>2</sub><sup>-1</sup>) (Roe et al. 2021). Tropical forests continue to account for the highest rates of  
35 deforestation and associated GHG emissions. While deforestation shows signs of decreasing in several  
36 countries, in others, it continues at a high rate or is increasing (Turubanova et al. 2018). Between 2010-  
37 2020, the rate of net forest loss was 4.7 Mha yr<sup>-1</sup> with Africa and South America presenting the largest  
38 shares (3.9 Mha and 2.6 Mha, respectively) (FAO 2020a).

39 A major uncertainty in all studies on avoided deforestation potential is their reliance on future reference  
40 levels that vary across studies and approaches. If food demand increases in the future, for example, the  
41 area of land deforested will likely increase, suggesting more technical potential for avoiding  
42 deforestation. Transboundary leakage due to market adjustments could also increase costs or reduce  
43 effectiveness of avoiding deforestation (e.g., Ingalls et al. 2018; Gingrich et al. 2019). Regarding forest  
44 regrowth, there are uncertainties about the time for the secondary forest carbon saturation (Zhu et al.  
45 2018; Houghton and Nassikas 2017). Permanence of avoided deforestation may also be a concern due  
46 to the impacts of climate change and disturbance of other biogeochemical cycles on the world's forests  
47 that can result in future potential changes in terrestrial ecosystem productivity, climate-driven

1 vegetation migration, wildfires, forest regrowth and carbon dynamics (Ballantyne et al. 2012; Kim et  
2 al. 2017b; Lovejoy and Nobre 2018; Aragão et al. 2018).

3 **Critical assessment and conclusion.** Based on studies since AR5, the technical mitigation potential for  
4 reducing deforestation and degradation is significant, providing 4.5 (2.3 - 7) GtCO<sub>2</sub> yr<sup>-1</sup> globally by  
5 2050, of which 3.4 (2.3 – 6.4) GtCO<sub>2</sub> yr<sup>-1</sup> is available at below USD100 tCO<sub>2</sub><sup>-1</sup> (*medium confidence*)  
6 (Figure 7.11). Over the last decade, hundreds of subnational initiatives that aim to reduce deforestation  
7 related emissions have been implemented across the tropics (Section 7.6). Reduced deforestation is a  
8 significant piece of the NDCs in the Paris Agreement (Seddon et al. 2020) and keeping the temperature  
9 below 1.5°C (Crusius 2020). Conservation of forests provides multiple co-benefits linked to ecosystem  
10 services, biodiversity and sustainable development (Section 7.6.). Still, ensuring good governance,  
11 accountability (e.g. enhanced monitoring and verification capacity; Bos 2020), and the rule of law are  
12 crucial for implementing forest-based mitigation options. In many countries with the highest  
13 deforestation rates, insecure land rights often are significant barriers for forest-based mitigation options  
14 (Gren and Zeleke 2016; Essl et al. 2018).

#### 15 **7.4.2.2. Afforestation, reforestation and forest ecosystem restoration**

16 **Activities, co-benefits, risks and implementation opportunities and barriers** Afforestation and  
17 reforestation (A/R) are activities that convert land to forest, where reforestation is on land that has  
18 previously contained forests, while afforestation is on land that historically has not been forested (Box  
19 7.2). Forest restoration refers to a form of reforestation that gives more priority to ecological integrity  
20 as well, even though it can still be a managed forest. Depending on the location, scale, and choice and  
21 management of tree species, A/R activities have a wide variety of co-benefits and trade-offs. Well-  
22 planned, sustainable reforestation and forest restoration can enhance climate resilience and biodiversity,  
23 and provide a variety of ecosystem services including water regulation, microclimatic regulation, soil  
24 erosion protection, as well as renewable resources, income and livelihoods (Ellison et al. 2017; Locatelli  
25 et al. 2015; Verkerk et al. 2020; Stanturf et al. 2015). Afforestation, when well planned, can help address  
26 land degradation and desertification by reducing runoff and erosion and lead to cloud formation  
27 however, when not well planned, there are localised trade-offs such as reduced water yield or  
28 biodiversity (Teuling et al. 2017; Ellison et al. 2017). The use of non-native species and monocultures  
29 may have adverse impacts on ecosystem structure and function, and water availability, particularly in  
30 dry regions (Ellison et al. 2017). A/R activities may change the surface albedo and evapotranspiration  
31 regimes, producing net cooling in the tropical and subtropical latitudes for local and global climate and  
32 net warming at high latitudes (Section 7.4.2). Very large-scale implementation of A/R may negatively  
33 affect food security since an increase in global forest area can increase food prices through land  
34 competition (Kreidenweis et al. 2016).

35 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
36 **potential, costs, and pathways.** AR5 did not provide a new specification of A/R potential, but referred  
37 to AR4 mostly for forestry measures (Nabuurs et al. 2007). AR5 did view the feasible A/R potential  
38 from a diets change scenario that released land for reforestation and bioenergy crops. AR 5 provided  
39 top-down estimates of costs and potentials for forestry mitigation options - including reduced  
40 deforestation, forest management, afforestation, and agroforestry, estimated to contribute between 1.27  
41 and 4.23 GtCO<sub>2</sub> yr<sup>-1</sup> of economically viable abatement in 2030 at carbon prices up to USD100/t CO<sub>2</sub>-  
42 eq (Smith et al. 2014).

43 The SRCCL remained with a reported wide range of mitigation potential for A/R of 0.5–10.1 GtCO<sub>2</sub>  
44 yr<sup>-1</sup> by 2050 (*medium confidence*) (SRCCL Chapters 2 and 6; Roe et al. 2019; Fuss et al. 2018; Griscom  
45 et al. 2017; Hawken 2017; Kreidenweis et al. 2016). The higher estimate represents a technical potential  
46 of reforesting all areas where forests are the native cover type (reforestation), constrained by food

1 security and biodiversity considerations, considering above and below-ground carbon pools and  
2 implementation on a rather theoretical maximum of 678 Mha of land (Roe et al. 2019; Griscom et al.  
3 2017). The lower estimates represent the minimum range from an Earth System Model and a sustainable  
4 global CDR potential (Fuss et al. 2018). Climate change will affect the mitigation potential of  
5 reforestation due to impacts in forest growth and composition, as well as changes in disturbances  
6 including fire. However, none of the mitigation estimates included in the SRCCL account for climate  
7 impacts.

8 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Since SRCCL,  
9 additional studies have been published on A/R mitigation potential by Bastin et al. (2019), Lewis et al.  
10 (2019), (Doelman et al. 2019), (Favero et al. 2020) and (Austin et al. 2020). These studies are within  
11 the range reported in the SRCCL stretching the potentials at the higher range. The rising public interest  
12 in nature-based solutions, along with high profile initiatives being launched (UN Decade on Restoration  
13 announced in 2019, the Bonn challenge on 150 million ha of restored forest in 2020 and e.g. the trillion-  
14 tree campaign launched by the World Economic Forum in 2020), has prompted intense discussions on  
15 the scale, effectiveness, and pitfalls of A/R and tree planting for climate mitigation (Anderegg et al.  
16 2020; Bond et al. 2019; Heilmayr et al. 2020; Holl and Brancalion 2020; Luysaert et al. 2018). The  
17 sometimes sole attention on afforestation and reforestation suggesting it may solve the climate problem  
18 to large extent in combination with the very high estimates of potentials have led to polarisation in the  
19 debate, again resulting in a push back to nature restoration only (Lewis et al. 2019). Our assessment  
20 based on most recent literature produced regional economic mitigation potential at USD100 tCO<sub>2</sub><sup>-1</sup>  
21 estimate of 100-400 MtCO<sub>2</sub> yr<sup>-1</sup> in Africa, 210-266 MtCO<sub>2</sub> yr<sup>-1</sup> in Asia and developing Pacific, 291  
22 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Developed countries (87% in North America), 30 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Eastern Europe  
23 and West-Central Asia, and 345-898 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Latin America and Caribbean (Roe et al. 2021),  
24 which totals to about 1200 MtCO<sub>2</sub> yr<sup>-1</sup>, leaning to the lower range of the potentials in earlier IPCC  
25 reports. A recent global assessment of the aggregate costs for afforestation and reforestation suggests  
26 that at USD100 tCO<sub>2</sub><sup>-1</sup>, 1.6 GtCO<sub>2</sub> yr<sup>-1</sup> could be sequestered globally for an annual cost of USD130  
27 billion (Austin et al. 2020). Sectoral studies that are able to deal with local circumstances and limits  
28 estimate A/R potentials at 20 MtCO<sub>2</sub> yr<sup>-1</sup> in Russia (Eastern Europe and West-Central Asia)  
29 (Romanovskaya et al. 2020) and 64 MtCO<sub>2</sub> yr<sup>-1</sup> in Europe (Nabuurs et al. 2017). (Domke et al. 2020)  
30 estimated for the USA an additional 20% sequestration rate from tree planting to achieve full stocking  
31 capacity of all understocked productive forestland, in total reaching 187 MtCO<sub>2</sub> yr<sup>-1</sup> sequestration. A  
32 new study on costs in the USA estimates 72-91 MtCO<sub>2</sub> yr<sup>-1</sup> could be sequestered between now and 2050  
33 for USD100/t CO<sub>2</sub> (Wade et al. 2019). The tropical and subtropical latitudes are the most effective for  
34 forest restoration in terms of carbon sequestration because of the rapid growth and lower albedo of the  
35 land surface compared with high latitudes (Lewis et al. 2019).. Costs may be higher if albedo is  
36 considered in North America, Russia, and Africa (Favero et al. 2017). In addition, a wide variety of  
37 sequestration rates have been collected and published in e.g. IPCC Good Practice Guidance for the  
38 AFOLU sector (IPCC 2006).

39 **Critical assessment and conclusion.** There is *medium confidence* that the global technical mitigation  
40 potential of afforestation and reforestation activities by 2050 is 3.9 (0.5–10.1) GtCO<sub>2</sub> yr<sup>-1</sup>, and the  
41 economic mitigation potential (< USD100 tCO<sub>2</sub><sup>-1</sup>) is 1.6 (0.5 – 3.0) GtCO<sub>2</sub> yr<sup>-1</sup> (requiring about 200  
42 Mha). Per hectare a long (about 100 year) sustained effect of 5-10 t(CO<sub>2</sub>) ha<sup>-1</sup> yr<sup>-1</sup> is realistic with ranges  
43 between 1-20 t(CO<sub>2</sub>) ha<sup>-1</sup> yr<sup>-1</sup>. Not all sectoral studies rely on economic models that account for leakage  
44 (Murray et al. 2004; Sohngen and Brown 2004), suggesting that technical potential may be  
45 overestimated.

#### 46 7.4.2.3. Improved forest management

47 **Activities, co-benefits, risks and implementation opportunities and barriers.**

1 Improved sustainable forest management of already managed forests can lead to higher forest carbon  
2 stocks, better quality of produced wood, continuously produce wood while maintaining and enhancing  
3 the forest carbon stock, and can also partially prevent and counteract the impacts of disturbances (Kurz  
4 et al. 2008; Marlon et al. 2012; Abatzoglou and Williams 2016; Tian et al. 2018; Seidl et al. 2017;  
5 Nabuurs et al. 2017; Ekholm 2020). Furthermore it can provide benefits for climate change adaptation,  
6 biodiversity conservation, microclimatic regulation, soil erosion protection and water and flood  
7 regulation with reduced lateral C fluxes (Ashton et al. 2012; Verkerk et al. 2020; Martínez-Mena et al.  
8 2019). Often, in existing (managed) forests with existing C stocks, large changes per hectare cannot be  
9 expected, although many forest owners may respond to carbon price incentives (Favero et al. 2020;  
10 Ekholm 2020). The full mitigation effects can be assessed in conjunction with the overall forest and  
11 wood use system i.e., carbon stock changes in standing trees, soil, harvested wood products (HWPs)  
12 and its bioenergy component with the avoided emissions through substitution. Forest management  
13 strategies aimed at increasing the biomass stock may have adverse side effects, such as decreasing the  
14 stand-level structural complexity, large emphasis on pure fast growing stands, risks for biodiversity and  
15 resilience to natural disasters.

16 Generally measures can consist of one or combination of longer rotations, less intensive harvests,  
17 continuous-cover forestry, mixed stands, more adapted species, selected provenances, high quality  
18 wood assortments, etc. Further, there is a trade-off between management in various parts of the forest  
19 product value chain, resulting in a wide range of results on the role of managed forests in mitigation  
20 (Agostini et al. 2013; Braun et al. 2016; Gustavsson et al. 2017; Erb et al. 2017; Soimakallio et al. 2016;  
21 Hurmekoski et al. 2020; Favero et al. 2020). Some studies conclude that reduction in forest carbon  
22 stocks due to harvest exceeds for decades the joint sequestration of carbon in harvested wood product  
23 stocks and emissions avoided through wood use (Soimakallio et al. 2016; Seppälä et al. 2019), whereas  
24 others emphasise country level examples where investments in forest management have led to higher  
25 growing stocks while producing more wood (Cowie et al. 2021; Schulze et al. 2020; Ouden et al. 2020).

26 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
27 **potential, costs, and pathways** In the SRCCL, forest management activities have the potential to  
28 mitigate 0.4–2.1 GtCO<sub>2</sub>-eq yr<sup>-1</sup> by 2050 (*medium confidence*) (SRCCL: Griscom et al. 2017; Roe et al.  
29 2019). The higher estimate stems from assumptions of applications on roughly 1.9 billion ha of already  
30 managed forest which can be seen as very optimistic. It combines both natural forest management as  
31 well as improved plantations, on average with a small net additional effect per hectare, not including  
32 substitution effects in the energy sector nor the buildings sector.

33 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** The area of  
34 forest under management plans has increased in all regions since 2000 by 233 Mha (FAO-FRA 2020).  
35 The roughly 1 billion ha of secondary and degraded forests would be ideal to invest in and develop a  
36 sustainable sector that pays attention to biodiversity, wood provision and climate mitigation at the same  
37 time. This all depends on the effort made, the development of expertise, know-how in the field, nurseries  
38 with adapted provenances, etc as was also found for Russian climate smart forestry options (Leskinen  
39 et al. 2020). Regionally, recently updated economic mitigation potential at USD100 tCO<sub>2</sub><sup>-1</sup> have 179-  
40 186 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Africa, 193-313 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Asia and developing Pacific, 215-220 MtCO<sub>2</sub>-  
41 eq yr<sup>-1</sup> in Developed countries, 82-152 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Eastern Europe and West-Central Asia, and  
42 62-204 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Latin America and Caribbean (Roe et al. 2021).

43 Regional studies can take into account the local situation better: Russia Romanovskaya et al. (2020)  
44 estimate the potential of forest fires management at 220–420 MtCO<sub>2</sub> yr<sup>-1</sup>, gentle logging technology at  
45 15–59, reduction of wood losses at 61–76 MtCO<sub>2</sub> yr<sup>-1</sup>. In North America, (Austin et al. 2020) estimate  
46 that in the next 30 years, forest management could contribute 154 MtCO<sub>2</sub> yr<sup>-1</sup> in the USA and Canada  
47 with 81 MtCO<sub>2</sub> yr<sup>-1</sup> available at less than USD100 tCO<sub>2</sub><sup>-1</sup>. In one production region (British Columbia)

1 a cost-effective portfolio of scenarios was simulated that directed more of the harvested wood to longer-  
2 lived wood products, stopped burning of harvest residues and instead produced bioenergy to displace  
3 fossil fuel burning, and reduced harvest levels in regions with low disturbance rates. Net GHG emissions  
4 were reduced by an average of  $-9 \text{ MtCO}_2\text{-eq yr}^{-1}$  (Smyth et al. 2020). In Europe, climate smart forestry  
5 could mitigate an additional  $0.19 \text{ GtCO}_2 \text{ yr}^{-1}$  by 2050 (Nabuurs et al. 2017), in line with the regional  
6 estimates in (Roe et al. 2021).

7 In the tropics, estimates of the pantropical climate mitigation potential of natural forest management (a  
8 light intensity management in secondary forests), across three tropical regions (Latin America, Africa,  
9 Asia), is around  $0.66 \text{ GtCO}_2\text{-eq yr}^{-1}$  with Asia responding for the largest share followed by Africa and  
10 Latin America (Roe et al. 2021). Selective logging occurs in at least 20% of the world's tropical forests  
11 and causes at least half of the emissions from tropical forest degradation (Asner et al. 2005; Blaser and  
12 K uchli 2011; Pearson et al. 2017). Reduced-impact logging for climate (RIL-C; promotion of reduced  
13 wood waste, narrower haul roads, and lower impact skidding equipment) has the potential to reduce  
14 logging emissions by 44% (Ellis et al. 2019), while also providing timber production.

15 **Critical assessment and conclusion.** There is *medium confidence* that the global technical mitigation  
16 potential for improved forest management by 2050 is  $1.7 (1\text{--}2.1) \text{ GtCO}_2 \text{ yr}^{-1}$ , and the economic  
17 mitigation potential ( $< \text{USD}100 \text{ tCO}_2^{-1}$ ) is  $1.1 (0.6\text{--}1.9) \text{ GtCO}_2 \text{ yr}^{-1}$ . Efforts to change forest  
18 management do not only require e.g. a carbon price incentive but especially require knowledge,  
19 institutions, skilled labour, good access etc. These requirements outline that although the potential is of  
20 medium size, we estimate a feasible potential towards the lower end. The net effect is also difficult to  
21 assess, as management changes impact not only the forest biomass, but also the wood chain and  
22 substitution effects. Further, leakage can arise from efforts to change management for carbon  
23 sequestration. Efforts e.g. to set aside large areas of forest may be partly counteracted by higher  
24 harvesting pressures elsewhere (Kallio and Solberg 2018) studies such as (Austin et al. 2020) implicitly  
25 account for leakage and thus suggest higher costs than other studies. We therefore judge the mitigation  
26 potential at medium potential with medium agreement

27  
28 **[START BOX 7.2 HERE]**

## 29 **Box 7.2 Climate Smart Forestry in Europe**

### 30 **Summary**

31 European forests have been regarded as prospering and increasing for the last 5 decades. However,  
32 these views also changed recently. Climate change is putting a large pressure on mono species and high  
33 stocked areas of Norway spruce in Central Europe (Hl asny et al. 2021; Senf and Seidl 2021) with  
34 estimates of mortality reaching 200 million  $\text{m}^3$ , biodiversity under pressure, the Mediterranean area  
35 showing a weak sector and harvesting pressure in the Baltics and north reaching maxima achievable. A  
36 European strategy for unlocking the EU's forests and forest sector potential was needed at the time of  
37 developing the LULUCF regulation and was based on the concept of "Climate Smart Forestry" (CSF)  
38 (Nabuurs et al. 2017; Verkerk et al. 2020).

### 39 **Background**

40 The idea behind CSF is that it considers the whole value chain from forest to wood products and energy,  
41 illustrating that a wide range of measures can be applied to provide positive incentives for more firmly  
42 integrating climate objectives into the forest and forest sector framework. CSF is more than just storing  
43 carbon in forest ecosystems; it builds upon three main objectives; (i) reducing and/or removing GHG  
44 emissions; (ii) adapting and building diverse forests for forest resilience to climate change; and (iii)



1 sustainably increasing forest productivity and incomes. These three CSF objectives can be achieved by  
2 tailoring policy measures and actions to regional circumstances in Member States forest sectors.

### 3 **Case description**

4 The 2015 annual mitigation effect of EU-28 forests via contributions to the forest sink, material  
5 substitution and energy substitution is estimated at 569 MtCO<sub>2</sub> yr<sup>-1</sup>, or 13% of total current EU  
6 emissions. With the right set of incentives in place at EU and Member States levels, it was found that  
7 the EU-28 has the potential to achieve an additional combined mitigation impact through the  
8 implementation of CSF of 441 MtCO<sub>2</sub> yr<sup>-1</sup> by 2050. Also, with the Green Deal and its Biodiversity and  
9 Forest Strategy more emphasis will be placed on forests, forest management and the provision of  
10 renewables. It is the diversity of measures (from strict reserves to more intensively managed systems  
11 while adapting the resource) that will determine the success. Only with co-benefits in e.g. nature  
12 conservation, soil protection, and provision of renewables, wood for buildings and income, the  
13 mitigation and adaptation measures will be successful.

### 14 **Interactions, limitations and lessons**

15 Climate Smart Forestry is now taking shape across Europe with various research and implementation  
16 projects (Climate Smart Forest and Nature Management, 2021). Pilots and projects are being  
17 implemented by a variety of forest owners, some with more attention on biodiversity and adaptation,  
18 some with more attention on production functions. They establish examples and in longer term the  
19 outreach to the 16 million private owners in Europe. However, the right triggers and incentives are often  
20 still lacking. E.g. adapting the spruce forest areas in Central Europe to climate change requires  
21 knowledge about different species, biodiversity and different management options and eventually use  
22 in industry. It requires alternative species to be available from the nurseries, as well improved  
23 monitoring to assess the success and steer activities.

24 **[END BOX 7.2 HERE]**

25

#### 26 **7.4.2.4. Fire management (forest and grassland/savanna fires)**

27 **Activities, co-benefits, risks and implementation opportunities and barriers.** Fire management  
28 objectives include safeguarding life, property, and resources through the prevention, detection, control,  
29 restriction, and management of fire for diverse purposes in natural ecosystems (SRCCL Chapter 6).  
30 Controlled burning is an effective economic method of reducing fire danger and stimulating natural  
31 regeneration. Co-benefits of fire management include reduced air pollution compared to much larger,  
32 uncontrolled fires, prevention of soil erosion and land degradation, biodiversity conservation in  
33 rangelands, and improvement of forage quality (Hurteau et al. 2019; Hurteau and Brooks 2011; Falk  
34 2017). Fire management is still challenging because it is not only fire suppression at times of fire, but  
35 especially proper natural resource management in between fire events. Furthermore, it is challenging  
36 because of legal and policy issues, equity and rights concerns, governance, capacity, and research needs  
37 (Russell-Smith et al. 2017; Goldammer 2016; Wiedinmyer and Hurteau 2010). It will increasingly be  
38 needed under future enhanced climate change.

39 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
40 **potential, costs, and pathways.** In the SRCCL, fire management is among the nine options that can  
41 deliver medium-to-large benefits across multiple land challenges (climate change mitigation,  
42 adaptation, desertification, land degradation, and food security) (*high confidence*). Total emissions from  
43 fires have been on the order of 8.1 GtCO<sub>2</sub>-eq yr<sup>-1</sup> in terms of gross biomass loss for the period 1997–  
44 2016 (SRCCL, Chapter 2 and Cross-Chapter Box 3 in Chapter 2). Reduction in fire CO<sub>2</sub> emissions was



1 calculated to enhance land carbon sink by 0.48 GtCO<sub>2</sub>-eq yr<sup>-1</sup> for the 1960–2009 period (Arora and  
2 Melton 2018) (SRCCL, Table 6.16).

3 ***Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).***

4 ***Savannas.*** Savannas constitute one of the most fire-prone vegetation types on Earth and are a significant  
5 source of GHG emissions. Savanna fires contributed 62% (4.92 PgCO<sub>2</sub>-eq yr<sup>-1</sup>) of gross global mean  
6 fire emissions between 1997 and 2016. Regrowth from vegetation postfire sequesters the CO<sub>2</sub> released  
7 into the atmosphere, but not the CH<sub>4</sub> and N<sub>2</sub>O emissions which contributed an approximate net of 2.1  
8 PgCO<sub>2</sub>-eq yr<sup>-1</sup> (Lipsett-Moore et al. 2018). Therefore, implementing prescribed burning with low  
9 intensity fires, principally in the early dry season, to effectively manage the risk of wildfires occurring  
10 in the late dry season is associated with reducing emissions (Whitehead et al. 2014). Considering this  
11 fire management practice, estimates of global opportunities for emissions reductions were estimate at  
12 69.1 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in Africa (29 countries, with 20 least developed African countries accounting for  
13 74% of the mitigation potential), 13.3 MtCO<sub>2</sub>-eq yr<sup>-1</sup> in South America (six countries), and 6.9 MtCO<sub>2</sub>-  
14 eq yr<sup>-1</sup> in Australia and Papua New Guinea (Lipsett-Moore et al. 2018). In Australia, savanna burning  
15 emissions abatement methodologies have been available since 2012, and abatement has exceeded 4  
16 MtCO<sub>2</sub>-eq mainly through the management of low intensity early dry season fire (Lynch et al. 2018).  
17 Until August 2021, 78 were registered (Australian Government, Clean Energy Regulator, 2021).

18 ***Forests.*** Fire is also a prevalent forest disturbance (Scott et al. 2014; Falk et al. 2011; Andela et al.  
19 2019). About 98 Mha of forest were affected by fire in 2015 affecting about 4% of the tropical (dry)  
20 forests, 2% of the subtropical forests, and 1% of temperate and boreal forests (FAO 2020a). Between  
21 2001–2018, remote sensing data showed that tree-covered areas correspond to about 29% of the total  
22 area burned by wildfires, most in Africa. Prescribed fires are also applied routinely in forests worldwide  
23 for fuel reduction and ecological reasons (Kalies and Yocom Kent 2016). Fire resilience is increasingly  
24 managed in southwestern USA forest landscapes, which have experienced droughts and widespread,  
25 high-severity wildfires (Keeley et al. 2019). In these forests, fire exclusion management, coupled with  
26 a warming climate, has led to increasingly severe wildfires (Hurteau et al. 2014). However, the impacts  
27 of prescribed fires in forests in reducing carbon emissions are still inconclusive. Some positive impacts  
28 of prescribed fires are associated with other fuel reduction techniques (Loudermilk et al. 2017; Flanagan  
29 et al. 2019; Stephens et al. 2020), leading to maintaining C stocks and reducing C emissions in the  
30 future where extreme fire weather events are more frequent (Krofcheck et al. 2018, 2019; Hurteau et  
31 al. 2019). (Bowman et al. 2020b a; Goodwin et al. 2020; Hurteau et al. 2019). Land management  
32 approaches will certainly need to consider the new climatic conditions (e.g., the proportion of days in  
33 fire seasons with the potential for unmanageable fires more than doubling in some regions in northern  
34 and eastern boreal forest) (Wotton et al. 2017).

35 ***Critical assessment and conclusion.*** There is *low confidence* that the global technical mitigation  
36 potential for grassland and savanna fire management by 2050 is 0.1 (0.09–0.1) GtCO<sub>2</sub> yr<sup>-1</sup>, and the  
37 economic mitigation potential (< USD100 tCO<sub>2</sub><sup>-1</sup>) is 0.05 (0.03–0.07) GtCO<sub>2</sub> yr<sup>-1</sup>. Savanna fires produce  
38 significant emissions globally, but prescribed fires in the early dry season could mitigate emissions in  
39 different regions particularly Africa. Evidence is less clear for fire management of forests, with the  
40 contribution of GHG mitigation depending on many factors that affect the carbon balance (e.g.,  
41 Simmonds et al. 2021). Although prescribed burning is promoted to reduce uncontrolled wildfires in  
42 forests, the benefits for the management of carbon stocks are unclear, with different studies reporting  
43 varying results especially concerning its long term effectiveness. (Bowman et al. 2020b; Wotton et al.  
44 2017). Under increasing climate change however, an increased attention on fire management will be  
45 necessary.

#### 1 **7.4.2.5. Reduce degradation and conversion of grasslands and savannas**

2 **Activities, co-benefits, risks and implementation opportunities and barriers.** Grasslands cover  
3 approximately 40.5 % of the terrestrial area (i.e., 52.5 million km<sup>2</sup>) divided as 13.8% woody savanna  
4 and savanna; 12.7% open and closed shrub; 8.3 % non-woody grassland; and 5.7% is tundra (White et  
5 al. 2000). Sub-Saharan Africa and Asia have the most extensive total area, 14.5 and 8.9 million km<sup>2</sup>,  
6 respectively. A review by Conant et al. (2017) reported based on data on grassland area (FAO 2013)  
7 and grassland soil carbon stocks (Sombroek et al. 1993) a global estimate of about 343 Pg C (in the top  
8 1 m), nearly 50% more than is stored in forests worldwide (FAO 2007). Reducing the conversion of  
9 grasslands and savannas to croplands prevents soil carbon losses by oxidation, and to a smaller extent,  
10 biomass carbon loss due to vegetation clearing (SRCCL, Chapter 6). Restoration of grasslands through  
11 enhanced soil carbon sequestration, including a) management of vegetation, b) animal management,  
12 and c) fire management, was also included in the SRCCL and is covered in Section 7.4.3.1. Similar to  
13 other measures that reduce conversion, conserving carbon stocks in grasslands and savannas can be  
14 achieved by controlling conversion drivers (e.g., commercial and subsistence agriculture, see Section  
15 7.3) and improving policies and management. In addition to mitigation, conserving grasslands provide  
16 various socio-economic, biodiversity, water cycle and other environmental benefits (Claassen et al.  
17 2010; Ryals et al. 2015; Bengtsson et al. 2019). Annual operating costs, and opportunity costs of income  
18 foregone by undertaking the activities needed for avoiding conversion of grasslands making costs one  
19 of the key barriers for implementation (Lipper et al. 2010).

20 **Conclusions from AR5 and IPCC Special Reports (SRI.5 SROCCC and SRCCL); mitigation**  
21 **potential, costs, and pathways.** The SRCCL reported a mitigation potential for reduced conversion of  
22 grasslands and savannas of 0.03–0.12 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (SRCCL: Griscom et al. 2017) considering the  
23 higher loss of soil organic carbon in croplands (Sanderman et al. 2017). Assuming an average starting  
24 soil organic carbon stock of temperate grasslands (Poelau et al. 2011), and the mean annual global  
25 cropland conversion rates (1961–2003) (Krause et al. 2017), the equivalent loss of soil organic carbon  
26 over 20 years would be 14 GtCO<sub>2</sub>-eq, i.e. 0.7 GtCO<sub>2</sub> yr<sup>-1</sup> (SRCCL, Chapter 6). IPCC AR5 and AR4 did  
27 not explicitly consider the mitigation potential of avoided conversion of grasslands-savannas but the  
28 management of grazing land is accounted for considering plant, animal, and fire management with a  
29 mean mitigation potential of 0.11–0.80 tCO<sub>2</sub>-eq ha<sup>-1</sup> yr<sup>-1</sup> depending on the climate region. This resulted  
30 in 0.25 GtCO<sub>2</sub>-eq yr<sup>-1</sup> at USD20 tCO<sub>2</sub><sup>-1</sup> to 1.25 GtCO<sub>2</sub>-eq yr<sup>-1</sup> at USD100 tCO<sub>2</sub><sup>-1</sup> by 2030.

31 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Unlike most of  
32 the measures covered in Section 7.4, there are currently no global, spatially explicit mitigation potential  
33 estimates for reduced grassland conversion to generate technical and economic potentials by region.  
34 Literature developments since AR5 and SRCCL are studies that provide mitigation estimates in one or  
35 a few countries or regions. Modelling experiments comparing Californian forests and grasslands found  
36 that grasslands resulted in a more resilient C sink than forests to future climate change (Dass et al.  
37 2018). However previous studies indicated that precipitation is a key controller of the carbon storage  
38 in these grasslands with the grassland became a carbon sink in 2005, when the region received  
39 relatively high spring precipitation (Ma et al. 2007). In North America, grassland conversion was the  
40 source for 77% of all new croplands from 2008-2012 (Lark et al. 2015). Avoided conversion of North  
41 American grasslands to croplands presents an economic mitigation potential of 0.024 GtCO<sub>2</sub>-eq yr<sup>-1</sup> and  
42 technical potential of 0.107 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Fargione et al. 2018). This potential is related mainly to root  
43 biomass and soils (81% of emissions from soils). Estimates of GHG emissions from any future  
44 deforestation in Australian savannas also point to the potential mitigation of around 0.024 GtCO<sub>2</sub>-eq  
45 yr<sup>-1</sup> (Bristow et al. 2016). The expansion of the Soy Moratorium (SoyM) from the Brazilian Amazon  
46 to the Cerrado (Brazilian savannas) would prevent the direct conversion of 3.6 Mha of native vegetation  
47 to soybeans by 2050 and avoid the emission of 0.02 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Soterroni et al. 2019).

1 **Critical assessment and conclusion.** There is *low confidence* that the global technical mitigation  
2 potential for reduced grassland and savanna conversion by 2050 is 0.2 (0.1–0.4) GtCO<sub>2</sub> yr<sup>-1</sup>, and the  
3 economic mitigation potential (< USD100 tCO<sub>2</sub><sup>-1</sup>) is 0.04 GtCO<sub>2</sub> yr<sup>-1</sup>. Most of the carbon sequestration  
4 potential is in belowground biomass and soil organic matter. However, estimates of potential are still  
5 based on few studies and vary according the levels of soil carbon, and ecosystem productivity (e.g. in  
6 response to rainfall distribution). Conservation of grasslands presents significant benefits for  
7 desertification control, especially in arid areas (SRCCL, Chapter 3). Policies supporting avoided  
8 conversion can help protect at-risk grasslands, reduce GHG emissions, and produce positive outcomes  
9 for biodiversity and landowners (Ahlering et al. 2016). In comparison to tropical rainforest regions that  
10 have been the primary target for mitigation policies associated to natural ecosystems (e.g. REDD+),  
11 Conversion grasslands and savannas has received less national and international attention, despite  
12 growing evidence of concentrated cropland expansion into these areas with impacts of carbon losses.

#### 13 **7.4.2.6. Reduce degradation and conversion of peatlands**

14 **Activities, co-benefits, risks and implementation barriers.** Peatlands are carbon-rich wetland  
15 ecosystems with organic soil horizons in which soil organic matter concentration exceeds 30% (dry  
16 weight) and soil carbon concentrations can exceed 50% (Page and Baird 2016, Boone Kauffman et al.  
17 2017). Reducing the conversion of peatlands avoids emissions of above- and below-ground biomass  
18 and soil carbon due to vegetation clearing, fires, and peat decomposition from drainage. Similar to  
19 deforestation, peatland carbon stocks can be conserved by controlling the drivers of conversion and  
20 degradation (e.g. commercial and subsistence agriculture, mining, urban expansion) and improving  
21 governance and management. Reducing conversion is urgent because peatland carbon stocks  
22 accumulate slowly and persist over millennia; loss of existing stocks cannot be easily reversed over the  
23 decadal timescales needed to meet the Paris Agreement (Goldstein et al. 2020). The main co-benefits  
24 of reducing conversion of peatlands include conservation of a unique biodiversity including many  
25 critically endangered species, provision of water quality and regulation, and improved public health  
26 through decreased fire-caused pollutants (Griscom et al. 2017). Although reducing peatland conversion  
27 will reduce land availability for alternative uses including agriculture or other land-based mitigation,  
28 drained peatlands constitute a small share of agricultural land globally while contributing significant  
29 emissions (Joosten 2009). Mitigation through reduced conversion of peatlands therefore has a high  
30 potential of avoided emissions per hectare (Roe et al. 2019).

31 **Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation**  
32 **potential, costs and pathways.** In the SRCCL (Chapters 2 and 6), it was estimated that avoided peat  
33 impacts could deliver 0.45–1.22 GtCO<sub>2</sub>-eq yr<sup>-1</sup> technical potential by 2030-2050 (*medium confidence*)  
34 (Griscom et al. 2017; Hawken 2017; Hooijer et al. 2010). The mitigation potential estimates cover  
35 tropical peatlands and include CO<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub> emissions. The mitigation potential is derived from  
36 quantification of losses of carbon stocks due to land conversion, shifts in GHG fluxes, alterations in net  
37 ecosystem productivity, input factors such as fertilisation needs, and biophysical climate impacts (e.g.,  
38 shifts in albedo, water cycles, etc). Tropical peatlands account for only ~10% of peatland area and about  
39 20% of peatland carbon stock but about 80% of peatland carbon emissions, primarily from peatland  
40 conversion in Indonesia (about 60%) and Malaysia (about 10%) (Page et al. 2011; Leifeld and  
41 Menichetti 2018; Hooijer et al. 2010). While the total mitigation potential of peatland conservation is  
42 considered moderate, the per hectare mitigation potential is the highest among land-based mitigation  
43 measures (Roe et al. 2019).

44 **Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).** Recent studies  
45 continue to report high carbon stocks in peatlands and emphasize the vulnerability of peatland carbon  
46 after conversion. The carbon stocks of tropical peatlands are among the highest of any forest, 1,211-  
47 4,257 tCO<sub>2</sub>-eq ha<sup>-1</sup> in the Peruvian Amazon (Bhomia et al. 2019) and 1,956-14,757 tCO<sub>2</sub>-eq ha<sup>-1</sup> in

1 Indonesia (Novita et al. 2021). Ninety percent of tropical peatland carbon stocks are vulnerable to  
2 emission during conversion and may not be recoverable through restoration; in contrast, boreal and  
3 temperate peatlands hold similar carbon stocks (1,439-5,619 tCO<sub>2</sub>-eq ha<sup>-1</sup>) but only 30% of northern  
4 carbon stocks are vulnerable to emission during conversion and irrecoverable through restoration  
5 (Goldstein et al. 2020). A recent study shows global mitigation potential of about 0.2 GtCO<sub>2</sub>-eq yr<sup>-1</sup> at  
6 costs up to USD100 tCO<sub>2</sub><sup>-1</sup> (Roe et al. 2021). Another study estimated that 72% of mitigation is achieved  
7 through avoided soil carbon impacts, with the remainder through avoided impacts to vegetation (Bossio  
8 et al. 2020). Recent model projections show that both peatland protection and peatland restoration  
9 (Section 7.4.2.7) are needed to achieve a 2°C mitigation pathway and that peatland protection and  
10 restoration policies will have minimal impacts on regional food security (Leifeld et al. 2019,  
11 Humpenöder et al. 2020). Global studies have not accounted for extensive peatlands recently reported  
12 in the Congo Basin, estimated to cover 145,500 km<sup>2</sup> and contain 30.6 Pg C, as much as 29% of total  
13 tropical peat carbon stock (Dargie et al. 2017). These Congo peatlands are relatively intact; continued  
14 preservation is needed to prevent major emissions (Dargie et al. 2019). In northern peatlands that are  
15 underlain by permafrost (roughly 50% of the total peatlands north of 23° latitude, (Hugelius et al. 2020),  
16 climate change (i.e. warming) is the major driver of peatland degradation (e.g. through permafrost thaw)  
17 (Schoor et al. 2015, Goldstein et al. 2020). However, in non-permafrost boreal and temperate peatlands,  
18 reduction of peatland conversion is also a cost-effective mitigation strategy. Peatlands are sensitive to  
19 climate change and there is *low confidence* about the future peatland sink globally (SRCCL, Chapter  
20 2). Permafrost thaw may shift northern peatlands from a net carbon sink to net source (Hugelius et al.  
21 2020). Uncertainties in peatland extent and the magnitude of existing carbon stocks, in both northern  
22 (Loisel et al. 2014) and tropical (Dargie et al. 2017) latitudes limit understanding of current and future  
23 peatland carbon dynamics (Minasny et al. 2019).

24 **Critical assessment and conclusion.** Based on studies to date there is *medium confidence* that peatland  
25 conservation has a technical potential of 0.86 (0.43–2.02) GtCO<sub>2</sub>-eq yr<sup>-1</sup> of which 0.48 (0.2–0.68)  
26 GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available at USD100 tCO<sub>2</sub><sup>-1</sup> (Figure 7.11). High per hectare mitigation potential and  
27 high rate of co-benefits particularly in tropical countries, support the effectiveness of this mitigation  
28 strategy (Roe et al. 2019). Feasibility of reducing peatland conversion may depend on countries’  
29 governance, financial capacity and political will

#### 30 **7.4.2.7. Peatland restoration**

31 **Activities, co-benefits risks and implementation barriers.** Peatland restoration involves restoring  
32 degraded and damaged peatlands, for example through rewetting and revegetation, which both increases  
33 carbon accumulation in vegetation and soils and avoids ongoing CO<sub>2</sub> emissions. Peatlands only account  
34 for about 3% of the terrestrial surface, predominantly occurring in boreal ecosystems (78%), with a  
35 smaller proportion in tropical regions (13%), but may store about 600 Gt Carbon or 21% of the global  
36 total soil organic Carbon stock of about 3000 Gt (Leifeld and Menichetti 2018; Page et al. 2011).  
37 Peatland restoration delivers co-benefits for biodiversity, as well as regulating water flow and  
38 preventing downstream flooding, while still allowing for extensive management such as paludiculture  
39 (Tan et al. 2021). Rewetting of peatlands also reduces the risk of fire, but may also mobilize salts and  
40 contaminants in soils (van Diggelen et al. 2020) and in severely degraded peatlands, restoration of  
41 peatland hydrology and vegetation may not be feasible (Andersen et al. 2017). At a local level,  
42 restoration of peatlands drained for agriculture could displace food production and damage local food  
43 supply, although impacts to regional and global food security would be minimal (Humpenöder et al.  
44 2020). Collaborative and transparent planning processes are needed to reduce conflict between  
45 competing land uses (Tanneberger et al. 2020b). Adequate resources for implementing restoration  
46 policies are key to engage local communities and maintain livelihoods (Ward et al. 2021; Resosudarmo  
47 et al. 2019).

1 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
2 **potential, costs, and pathways.** Large areas (0.51 Mkm<sup>2</sup>) of global peatlands are degraded of which  
3 0.2 Mkm<sup>2</sup> are tropical peatlands (Griscom et al. 2017; Leifeld and Menichetti 2018). According to the  
4 SRCCL, peatland restoration could deliver technical mitigation potentials of 0.15 - 0.81 GtCO<sub>2</sub>-eq yr<sup>-1</sup>  
5 by 2030-2050 (*low confidence*) (Chapter 2 and 6 of the SRCCL; (Couwenberg et al. 2010; Griscom et  
6 al. 2017), though there could be an increase in methane emissions after restoration (Jauhiainen et al.  
7 2008). The mitigation potential estimates cover global peatlands and include CO<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub>  
8 emissions. Peatlands are highly sensitive to climate change (*high confidence*), however there are  
9 currently no studies that estimate future climate effects on mitigation potential from peatland  
10 restoration.

11 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** The most recent  
12 literature and reviews indicate with *high confidence* that restoration would decrease CO<sub>2</sub> emissions and  
13 with *medium confidence* that restoration would decrease net GHG emissions from degraded peatlands  
14 (Wilson et al. 2016; Ojanen and Minkkinen 2020; van Diggelen et al. 2020). Although rewetting of  
15 drained peatlands increases CH<sub>4</sub> emissions, this effect is often outweighed by decreases in CO<sub>2</sub> and N<sub>2</sub>O  
16 emissions but depends very much on local circumstances (Günther et al. 2020). Restoration and  
17 rewetting of almost all drained peatlands is needed by 2050 to meet 1.5 °C pathways which is unlikely  
18 to happen (Leifeld et al. 2019); immediate rewetting and restoration minimises the warming from  
19 cumulative CO<sub>2</sub> emissions (Nugent et al. 2019).

20 According to recent data, the technical mitigation potential for global peatland restoration is estimated  
21 at 0.5-1.3 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Leifeld and Menichetti 2018; Griscom et al. 2020; Bossio et al. 2020; Roe et  
22 al. 2021; Figure 7.11), with 80% of the mitigation potential derived from improvements to soil carbon  
23 (Bossio et al. 2020). The regional mitigation potentials of all peatlands outlined in Roe et al. (2021)  
24 reflect the country-level estimates from (Humpenöder et al. 2020).

25 Climate mitigation effects of peatland rewetting depend on the climate zone and land use. Recent  
26 analysis shows the strongest mitigation gains from rewetting drained temperate and boreal peatlands  
27 used for agriculture and drained tropical peatlands (Ojanen and Minkkinen 2020). However, estimates  
28 of emission factors from rewetting drained tropical peatlands remain uncertain (Wilson et al. 2016;  
29 Murdiyarto et al. 2019). Topsoil removal, in combination with rewetting, may improve restoration  
30 success and limit CH<sub>4</sub> emissions during restoration of highly degraded temperate peatlands (Zak et al.  
31 2018). In temperate and boreal regions, co-benefits mentioned above are major motivations for peatland  
32 restoration (Chimner et al. 2017; Tanneberger et al. 2020a).

33 **Critical assessment and conclusion.** Based on studies to date, there is *medium confidence* that peatland  
34 restoration has a technical potential of 0.79 (0.49–1.3) GtCO<sub>2</sub>-eq yr<sup>-1</sup> (median) of which 0.4 (0.2–0.6)  
35 GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available up to USD100 tCO<sub>2</sub><sup>-1</sup>. The large land area of degraded peatlands suggests  
36 that significant emissions reductions could occur through large-scale restoration especially in tropical  
37 peatlands. There is *medium confidence* in the large carbon stocks of tropical peat forests (1,956-14,757  
38 tCO<sub>2</sub>-eq ha<sup>-1</sup>) and large rates of carbon loss associated with land cover change (640-1,650 tCO<sub>2</sub>-eq ha<sup>-1</sup>)  
39 (Novita et al. 2021; Goldstein et al. 2020). However, large-scale implementation of tropical peatland  
40 restoration will likely be limited by costs and other demands for these tropical lands.

#### 41 **7.4.2.8. Reduce conversion of coastal wetlands**

42 **Activities, co-benefits, risks and implementation barriers.** Reducing conversion of coastal wetlands,  
43 including mangroves, marshes and seagrass ecosystems, avoids emissions from above and below  
44 ground biomass and soil carbon through avoided degradation and/or loss. Coastal wetlands occur  
45 mainly in estuaries and deltas, areas that are often densely settled, with livelihoods closely linked to  
46 coastal ecosystems and resources (Moser et al. 2012). The carbon stocks of these highly productive

1 ecosystems are sometimes referred to as “blue carbon”. Loss of existing stocks cannot be easily reversed  
2 over decadal timescales (Goldstein et al. 2020). The main drivers of conversion include intensive  
3 aquaculture, agriculture, salt ponds, urbanisation and infrastructure development, the extensive use of  
4 fertilisers, and extraction of water resources (Lovelock et al. 2018). Reduced conversion of coastal  
5 wetlands has many co-benefits, including biodiversity conservation, fisheries production, soil  
6 stabilisation, water flow and water quality regulation, flooding and storm surge prevention, and  
7 increased resilience to cyclones (UNEP 2020; Windham-Myers et al. 2018a). Risks associated with the  
8 mitigation potential of coastal wetland conservation include uncertain permanence under future climate  
9 scenarios, including the effects of coastal squeeze, where coastal wetland area may be lost if upland  
10 area is not available for migration as sea levels rise (IPCC WGII Ch. 3.4.2.5; (Lovelock and Reef 2020).  
11 Preservation of coastal wetlands also conflicts with other land use in the coastal zone, including  
12 aquaculture, agriculture, and human development; economic incentives are needed to prioritise wetland  
13 preservation over more profitable short-term land use. Integration of policies and efforts aimed at  
14 coastal climate mitigation, adaptation, biodiversity conservation, and fisheries, for example through  
15 Integrated Coastal Zone Management and Marine Spatial Planning, will bundle climate mitigation with  
16 co-benefits and optimise outcomes (Herr et al. 2017).

17 **Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation**  
18 **potential, costs, and pathways.** Coastal wetlands contain high, yet variable, organic carbon stocks,  
19 leading to a range of estimates of the global mitigation potential of reduced conversion. The SRCCL  
20 (Chapter 2) and SROCCC (Chapter 5), report a technical mitigation potential of 0.15–5.35 GtCO<sub>2</sub>-eq  
21 yr<sup>-1</sup> by 2050 (Lovelock et al. 2017; Pendleton et al. 2012 Howard et al. 2017; Griscom et al. 2017). The  
22 mitigation potential is derived from quantification of losses of carbon stocks in vegetation and soil due  
23 to land conversion, shifts in GHG fluxes associated with land use, and alterations in net ecosystem  
24 productivity. The wide range in estimates mostly relate to the scope (all coastal ecosystems vs.  
25 mangroves only) and different assumptions on decomposition rates. Loss rates of coastal wetlands have  
26 been estimated at 0.2-3% yr<sup>-1</sup>, depending on the vegetation type and location (Atwood et al. 2017;  
27 Howard et al. 2017).

28 **Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).** Global technical  
29 mitigation potential for conservation of coastal wetlands from recent literature have focused on  
30 protection of mangroves; estimates range from 0.06–2.25 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Griscom et al. 2020; Bossio  
31 et al. 2020) with 80% of the mitigation potential derived from improvements to soil carbon (Bossio et  
32 al. 2020). Regional potentials (Roe et al. 2021) reflect mangrove protection; marsh and seagrass  
33 protection were not included due to lack of country-level data on marsh and seagrass distribution and  
34 conversion.

35 Global estimates show mangroves have the largest per hectare carbon stocks (see IPCC WGII AR6  
36 Box 3.4 for estimates of carbon stocks, burial rates and ecosystem extent for coastal wetland  
37 ecosystems). Mean ecosystem carbon stock in mangroves is 3131 tCO<sub>2</sub>-eq ha<sup>-1</sup> among the largest carbon  
38 stocks on Earth. Recent studies emphasize the variability in total ecosystem carbon stocks for each  
39 wetland type, based on species and climatic and edaphic conditions (Kauffman et al. 2020; Bedulli et  
40 al. 2020; Ricart et al. 2020; Wang et al. 2021; Alongi et al. 2020), and highlight the vulnerability of soil  
41 carbon below 1 m depth (Arifanti et al. 2019). Sea level strongly influences coastal wetland distribution,  
42 productivity, and sediment accretion; therefore, sea level rise will impact carbon accumulation and  
43 persistence of existing carbon stocks (Macreadie et al. 2019, IPCC WGII AR6 Box 3.4).

44 Recent loss rates of mangroves are 0.16-0.39% yr<sup>-1</sup> and are highest in Southeast Asia (Friess et al. 2019;  
45 Hamilton and Casey 2016). Assuming loss of soil C to 1 m depth after deforestation, avoiding mangrove  
46 conversion has the technical potential to mitigate approximately 23.5-38.7 MtCO<sub>2</sub>-eq yr<sup>-1</sup> (Ouyang and  
47 Lee 2020); note, this potential is additional to reduced conversion of forests (Griscom et al. 2020,

1 7.4.2.1). Regional estimates show that about 85% of mitigation potential for avoided mangrove  
2 conversion is in Southeast Asia and Developing Pacific (32 MtCO<sub>2</sub>-eq yr<sup>-1</sup> at USD100 tCO<sub>2</sub><sup>-1</sup>), 10% is  
3 in Latin American and the Caribbean (4 MtCO<sub>2</sub>-eq yr<sup>-1</sup>), and approximately 5% in other regions  
4 (Griscom et al. 2020; Roe et al. 2021).

5 Key uncertainties remain in mapping extent and conversion rates for salt marshes and seagrasses  
6 (McKenzie et al. 2020). Seagrass loss rates were estimated at 1-2% yr<sup>-1</sup> (Dunic et al. 2021) with  
7 stabilization in some regions (IPCC WGII Ch. 3.4.2.5; (de los Santos et al. 2019); however, loss occurs  
8 non-linearly and depends on site-specific context. Tidal marsh extent and conversion rates remains  
9 poorly estimated, outside of the USA, Europe, South Africa, and Australia (Mcowen et al. 2017;  
10 Macreadie et al. 2019).

11 **Critical assessment and conclusion.** There is *medium confidence* that coastal wetland protection has a  
12 technical potential of 0.8 (0.06–5.4) GtCO<sub>2</sub>-eq yr<sup>-1</sup> of which 0.17 (0.06–0.27) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available  
13 up to USD100 tCO<sub>2</sub><sup>-1</sup>. There is a *high certainty* (robust evidence, high agreement) that coastal  
14 ecosystems have among the largest carbon stocks of any ecosystem. As these ecosystems provide many  
15 important services, reduced conversion of coastal wetlands is a valuable mitigation strategy with  
16 numerous co-benefits. However, the vulnerability of coastal wetlands to climatic and other  
17 anthropogenic stressors may limit the permanence of climate mitigation.

#### 18 **7.4.2.9. Coastal wetland restoration**

19 **Activities, co-benefits, risks and implementation barriers.** Coastal wetland restoration involves  
20 restoring degraded or damaged coastal wetlands including mangroves, salt marshes, and seagrass  
21 ecosystems, leading to sequestration of ‘blue carbon’ in wetland vegetation and soil (SRCCL Ch 6,  
22 SROCCC Ch 5). Successful approaches to wetland restoration include: (1) passive restoration, the  
23 removal of anthropogenic activities that are causing degradation or preventing recovery; and (2) active  
24 restoration, purposeful manipulations to the environment in order to achieve recovery to a naturally  
25 functioning system (Elliott et al. 2016; IPCC WGII Ch 3). Restoration of coastal wetlands delivers  
26 many valuable co-benefits, including enhanced water quality, biodiversity, aesthetic values, fisheries  
27 production (food security), and protection from rising sea levels and storm impacts (Barbier et al. 2011;  
28 Hochard et al. 2019; Sun and Carson 2020; Duarte et al. 2020). Of the 0.3 Mkm<sup>2</sup> coastal wetlands  
29 globally, 0.11 Mkm<sup>2</sup> of mangroves are considered feasible for restoration (Griscom et al. 2017). Risks  
30 associated with coastal wetland restoration include uncertain permanence under future climate scenarios  
31 (IPCC WGII AR6 Box 3.4), partial offsets of mitigation through enhanced methane and nitrous oxide  
32 release and carbonate formation, and competition with other land uses, including aquaculture and  
33 human settlement and development in the coastal zone (SROCCC, Chapter 5). To date, many coastal  
34 wetland restoration efforts do not succeed due to failure to address the drivers of degradation (van  
35 Katwijk et al. 2016). However, improved frameworks for implementing and assessing coastal wetland  
36 restoration are emerging that emphasize the recovery of ecosystem functions (Cadier et al. 2020; Zhao  
37 et al. 2016). Restoration projects that involve local communities at all stages and consider both  
38 biophysical and socio-political context are more likely to succeed (Brown et al. 2014; Wylie et al. 2016).

39 **Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation**  
40 **potential, costs, and pathways.** The SRCCL reported that mangrove restoration has the technical  
41 potential to mitigate 0.07 GtCO<sub>2</sub> yr<sup>-1</sup> through rewetting (Crooks et al. 2011) and take up 0.02–0.84  
42 GtCO<sub>2</sub> yr<sup>-1</sup> from vegetation biomass and soil enhancement through 2030 (*medium confidence*) (Griscom  
43 et al. 2017). The SROCCC concluded that cost-effective coastal blue carbon restoration had a potential  
44 of ~0.15-0.18 GtCO<sub>2</sub>-eq yr<sup>-1</sup>, a low global potential compared to other ocean-based solutions but with  
45 extensive co-benefits and limited adverse side effects (Gattuso et al. 2018).



1 **Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).** Recent studies  
2 emphasise the timeframe needed to achieve the full mitigation potential (Duarte et al. 2020; Taillardat  
3 et al. 2020). The first project-derived estimate of the net GHG benefit from seagrass restoration found  
4 1.54 tCO<sub>2</sub>-eq (0.42 MgC) ha<sup>-1</sup> yr<sup>-1</sup> 10 years after restoration began (Oreska et al. 2020); comparable to  
5 the default emission factor in the Wetlands Supplement (IPCC 2014). Recent studies of rehabilitated  
6 mangroves also indicate that annual carbon sequestration rates in biomass and soils can return to natural  
7 levels within decades of restoration (Cameron et al. 2019; Sidik et al. 2019). A meta-analysis shows  
8 increasing carbon sequestration rates over the first 15 years of mangrove restoration with rates  
9 stabilising at 25.7 ± 7.7 tCO<sub>2</sub>-eq (7.0 ± 2.1 MgC) ha<sup>-1</sup> yr<sup>-1</sup> through forty years, although success depends  
10 on climate, sediment type, and restoration methods (Sasmito et al. 2019). Overall, 30% of mangrove  
11 soil carbon stocks and 50-70% of marsh and seagrass carbon stocks are unlikely to recover within 30  
12 years of restoration, underscoring the importance of preventing conversion of coastal wetlands (7.4.2.8)  
13 (Goldstein et al. 2020).

14 According to recent data, the technical mitigation potential for global coastal wetland restoration is  
15 0.04-0.84 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Griscom et al. 2020; Bossio et al. 2020; Roe et al. 2021) with 60% of the  
16 mitigation potential derived from improvements to soil carbon (Bossio et al. 2020). Regional potentials  
17 based on country-level estimates from Griscom et al. (2020) show the technical and economic (up to  
18 USD100 tCO<sub>2</sub><sup>-1</sup>) potential of mangrove restoration; seagrass and marsh restoration was not included  
19 due to lack of country-level data on distribution and conversion (but see (McKenzie et al. (2020) for  
20 updates on global seagrass distribution). Although global potential is relatively moderate, mitigation  
21 can be quite significant for countries with extensive coastlines (e.g., Indonesia, Brazil) and for small  
22 island states where coastal wetlands have been shown to comprise 24-34% of their total national carbon  
23 stock (Donato et al. 2012). Furthermore, non-climatic co-benefits can strongly motivate coastal wetland  
24 restoration worldwide (UNEP 2021a). Major successes in both active and passive restoration of  
25 seagrasses have been documented in North America and Europe (Lefcheck et al. 2018; Orth et al. 2020;  
26 de los Santos et al. 2019); passive restoration may also be feasible for mangroves (Cameron et al. 2019).

27 There is high site-specific variation in carbon sequestration rates and uncertainties regarding the  
28 response to future climate change (Jennerjahn et al. 2017; Nowicki et al. 2017; IPCC WGII AR6 Box  
29 3.4). Changes in distributions (Kelleway et al. 2017; Wilson and Lotze 2019), methane release (Al-Haj  
30 and Fulweiler 2020), carbonate formation (Saderne et al. 2019), and ecosystem responses to interactive  
31 climate stressors are not well-understood (Short et al. 2016; Fitzgerald and Hughes 2019; Lovelock and  
32 Reef 2020).

33 **Critical assessment and conclusion** There is *medium confidence* that coastal wetland restoration has a  
34 technical potential of 0.3 (0.04–0.84) GtCO<sub>2</sub>-eq yr<sup>-1</sup> of which 0.1 (0.05–0.2) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available  
35 up to USD100 tCO<sub>2</sub><sup>-1</sup>. There is *high confidence* that coastal wetlands, especially mangroves, contain  
36 large carbon stocks relative to other ecosystems and *medium confidence* that restoration will reinstate  
37 pre-disturbance carbon sequestration rates. There is *low confidence* on the response of coastal wetlands  
38 to climate change; however, there is *high confidence* that coastal wetland restoration will provide a suite  
39 of valuable co-benefits.

### 40 7.4.3. Agriculture

#### 41 7.4.3.1. Soil carbon management in croplands and grasslands

42 **Activities, co-benefits, risks and implementation opportunities and barriers.** Increasing soil organic  
43 matter in croplands are agricultural management practices that include (1) crop management: for  
44 example, high input carbon practices such as improved crop varieties, crop rotation, use of cover crops,  
45 perennial cropping systems (including agroforestry see Section 7.4.3.3), integrated production systems,

1 crop diversification, agricultural biotechnology, (2) nutrient management including fertilization with  
2 organic amendments / green manures (Section 7.4.3.6), (3) reduced tillage intensity and residue  
3 retention, (4) improved water management: including drainage of waterlogged mineral soils and  
4 irrigation of crops in arid / semi-arid conditions, (5) improved rice management (Section 7.4.3.5) and  
5 (6) biochar application (Section 7.4.3.2) (Smith et al. 2019d). For increased soil organic matter in  
6 grasslands, practices include (1) *management of vegetation*: including improved grass varieties/sward  
7 composition, deep rooting grasses, increased productivity, and nutrient management, (2) *livestock*  
8 *management*: including appropriate stocking densities fit to carrying capacity, fodder banks, and fodder  
9 diversification, and (3) *fire management*: improved use of fire for sustainable grassland management,  
10 including fire prevention and improved prescribed burning (Smith et al. 2014, 2019d). All these  
11 measures are recognized as Sustainable Soil Management Practices by FAO (Baritz et al. 2018). Whilst  
12 there are co-benefits for livelihoods, biodiversity, water provision and food security Smith et al. 2019b  
13 , and impacts on leakage, indirect land-use change and foregone sequestration do not apply (since  
14 production is not displaced), the climate benefits of soil carbon sequestration in croplands can be  
15 negated if achieved through additional fertiliser inputs (potentially causing increased N<sub>2</sub>O emissions;  
16 (Guenet et al. 2021), and both saturation and permanence are relevant concerns. When considering  
17 implementation barriers, soil carbon management in croplands and grasslands is a low cost option at a  
18 high level of technology readiness (it is already widely deployed globally) with low socio-cultural and  
19 institutional barriers, but with difficulty in monitoring and verification proving a barrier to  
20 implementation (Smith et al. 2020a).

21 ***Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation***  
22 ***potential, costs, and pathways.*** Building on AR5, the SRCCL reported the global mitigation potential  
23 for soil carbon management in croplands to be 1.4–2.3 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Smith et al. 2014), though the  
24 full literature range was 0.3–6.8 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Frank et al. 2017; Sommer and Bossio 2014; Conant et  
25 al. 2017; Dickie et al. 2014b; Fuss et al. 2018; Griscom et al. 2017; Hawken 2017; Henderson et al.  
26 2015; Herrero et al. 2016; Paustian et al. 2016; Powlson et al. 2014; Sanderman et al. 2017; Zomer et  
27 al. 2016; Roe et al. 2019). The global mitigation potential for soil organic carbon management in  
28 grasslands was assessed to be 1.4–1.8 GtCO<sub>2</sub>-eq yr<sup>-1</sup>, with the full literature range being 0.1–2.6 GtCO<sub>2</sub>-  
29 eq yr<sup>-1</sup> (Conant et al. 2017; Herrero et al. 2016; 2013; Roe et al. 2019). Lower values in the range  
30 represented economic potentials, whilst higher values represented technical potentials – and uncertainty  
31 was expressed by reporting the whole range of estimates. The SR1.5 outlined associated costs reported  
32 in literature to range from USD -45 to 100 tCO<sub>2</sub><sup>-1</sup>, describing enhanced soil carbon sequestration as a  
33 cost-effective measure (IPCC 2018). Despite significant mitigation potential, there is limited inclusion  
34 of soil carbon sequestration as a response option within IAM mitigation pathways (Rogelj et al. 2018a).

35 ***Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).*** No recent  
36 literature has been published which conflict with the mitigation potentials reported in the SRCCL.  
37 Relevant papers include Lal et al. (2018) which estimated soil carbon sequestration potential to be 0.7–  
38 4.1 GtCO<sub>2</sub>-eq yr<sup>-1</sup> for croplands and 1.1–2.9 GtCO<sub>2</sub>-eq yr<sup>-1</sup> for grasslands. Bossio et al. (2020) assessed  
39 the contribution of soil carbon sequestration to natural climate solutions and found the potential to be  
40 5.5 GtCO<sub>2</sub> yr<sup>-1</sup> across all ecosystems, with only small portions of this (0.41 GtCO<sub>2</sub>-eq yr<sup>-1</sup> for cover  
41 cropping in croplands; 0.23, 0.15, 0.15 GtCO<sub>2</sub>-eq yr<sup>-1</sup> for avoided grassland conversion, optimal grazing  
42 intensity and legumes in pastures, respectively) arising from croplands and grasslands. Regionally, soil  
43 carbon management in croplands is feasible anywhere, but effectiveness can be limited in very dry  
44 regions (Sanderman et al. 2017). For soil carbon management in grasslands the feasibility is greatest in  
45 areas where grasslands have been degraded (e.g. by overgrazing) and soil organic carbon is depleted.  
46 For well managed grasslands, soil carbon stocks are already high and the potential for additional carbon  
47 storage is low (Roe et al. (2021) estimate greatest economic (up to USD100 tCO<sub>2</sub><sup>-1</sup>) potential between

1 2020 and 2050 for croplands to be in Asia and the developing Pacific (339.7 MtCO<sub>2</sub> yr<sup>-1</sup>) and for  
2 grasslands, in Developed Countries (253.6 MtCO<sub>2</sub> yr<sup>-1</sup>).

3 **Critical assessment and conclusion.** In conclusion, there is *medium confidence* that enhanced soil  
4 carbon management in croplands has a global technical mitigation potential of 1.9 (0.4-6.8) GtCO<sub>2</sub> yr<sup>-1</sup>,  
5 and in grasslands of 1.0 (0.2-2.6) GtCO<sub>2</sub> yr<sup>-1</sup>, of which, 0.6 (0.4-0.9) and 0.9 (0.3-1.6) GtCO<sub>2</sub> yr<sup>-1</sup> is  
6 estimated to be available at up to USD100 tCO<sub>2</sub><sup>-1</sup> respectively. Regionally, soil carbon management in  
7 croplands and grasslands is feasible anywhere, but effectiveness can be limited in very dry regions, and  
8 for grasslands it is greatest in areas where degradation has occurred (e.g. by overgrazing) and soil  
9 organic carbon is depleted. Barriers to implementation include regional capacity for monitoring and  
10 verification (especially in developing countries), and more widely through concerns over saturation and  
11 permanence.

#### 12 7.4.3.2. Biochar

13 **Activities, co-benefits, risks and implementation opportunities and barriers.** Biochar is produced by  
14 heating organic matter in oxygen-limited environments (pyrolysis and gasification) (Lehmann and  
15 Joseph 2012). Feedstocks include forestry and sawmill residues, straw, manure and biosolids. When  
16 applied to soils, biochar is estimated to persist from decades to thousands of years, depending on  
17 feedstock and production conditions (Singh et al. 2015; Wang et al. 2016). Biochar systems producing  
18 biochar for soil application plus bioenergy, generally give greater mitigation than bioenergy alone and  
19 other uses of biochar, and are recognised as a CDR strategy. Biochar persistence is increased through  
20 interaction with clay minerals and soil organic matter (Fang et al. 2015). Additional CDR benefits arise  
21 through “negative priming” whereby biochar stabilises soil carbon and rhizodeposits (Archanjo et al.  
22 2017; Hagemann et al. 2017; Weng et al. 2015; Han Weng et al. 2017; Weng et al. 2018; Wang et al.  
23 2016). Besides CDR, additional mitigation can arise from displacing fossil fuels with pyrolysis gases,  
24 lower soil N<sub>2</sub>O emissions (Cayuela et al. 2014, 2015; Song et al. 2016; He et al. 2017; Verhoeven et al.  
25 2017; Borchard et al. 2019), reduced nitrogen fertiliser requirements due to reduced nitrogen leaching  
26 and volatilisation from soils (Liu et al. 2019; Borchard et al. 2019), and reduced GHG emissions from  
27 compost when biochar is added (Agyarko-Mintah et al. 2017; Wu et al. 2017). Biochar application to  
28 paddy rice has resulted in substantial reductions (20-40% on average) in N<sub>2</sub>O (Awad et al. 2018; Liu et  
29 al. 2018; Song et al. 2016) (Section 7.4.3.5) and smaller reduction in CH<sub>4</sub> emissions (Kammann et al.  
30 2017; Kim et al. 2017a; Song et al. 2016; He et al. 2017; Awad et al. 2018). Potential co-benefits include  
31 yield increases particularly in sandy and acidic soils with low cation exchange capacity (Woolf et al.  
32 2016; Jeffery et al. 2017); increased soil water-holding capacity (Omondi et al. 2016), nitrogen use  
33 efficiency (Liu et al. 2019; Borchard et al. 2019), biological nitrogen fixation (Van Zwieten et al. 2015);  
34 adsorption of organic pollutants and heavy metals (e.g. Silvani et al. 2019); odour reduction from  
35 manure handling (e.g. Hwang et al. 2018) and managing forest fuel loads (Puettmann et al. 2020). Due  
36 to its dark colour, biochar could decrease soil albedo (Meyer et al. 2012), though this is insignificant  
37 under recommended rates and application methods. Biochar could reduce enteric CH<sub>4</sub> emissions when  
38 fed to ruminants (Section 7.4.3.4). Barriers to upscaling include insufficient investment, limited large-  
39 scale production facilities, high production costs at small scale, lack of agreed approach to monitoring,  
40 reporting and verification, and limited knowledge, standardisation and quality control, restricting user  
41 confidence (Gwenzi et al. 2015).

42 **Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCC and SRCCL); mitigation**  
43 **potential, costs, and pathways.** Biochar is discussed as a mitigation option in AR5 and CDR strategy  
44 in the SR1.5. Consideration of potential was limited as biochar is not included in IAMs. The SRCCL  
45 estimated mitigation potential of 0.03-6.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup> by 2050 based on studies with widely varying  
46 assumptions, definitions of potential, and scope of mitigation processes included (SRCCL, Chapters 2

1 and 4: (Roberts et al. 2010; Pratt and Moran 2010; Hristov; Lee and Day 2013; Dickie et al. 2014a;  
2 Hawken 2017; Fuss et al. 2018; Powell and Lenton 2012; Woolf et al. 2010).

3 **Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).** Developments  
4 include mechanistic understanding of ‘negative priming’ and biochar-soil-microbes-plant interactions  
5 (DeCiucies et al. 2018; Fang et al. 2019). Indirect climate benefits are associated with persistent yield  
6 response to biochar (Kätterer et al. 2019; Ye et al. 2020), improved crop water use efficiency (Du et al.  
7 2018; Gao et al. 2020) and reduced GHG and ammonia emissions from compost and manure (Sanchez-  
8 Monedero et al. 2018; Bora et al. 2020a,b; Zhao et al. 2020). A quantification method based on biochar  
9 properties is included in the IPCC guidelines for NGHGs (IPCC 2019b). Studies report a range of  
10 biochar responses, from positive to occasionally adverse impacts, including on GHG emissions, and  
11 identify risks (Tisserant and Cherubini 2019). This illustrates the expected variability (Lehmann and  
12 Rillig 2014) of responses, which depend on the biochar type and climatic and edaphic characteristics of  
13 the site (Zygourakis 2017). Biochar properties vary with feedstock, production conditions and post-  
14 production treatments, so mitigation and agronomic benefits are maximised when biochars are chosen  
15 to suit the application context (Mašek et al. 2018). A recent assessment finds greatest economic potential  
16 (up to USD100 tCO<sub>2</sub><sup>-1</sup>) between 2020 and 2050 to be in Asia and the developing Pacific (793 MtCO<sub>2</sub>  
17 yr<sup>-1</sup>) followed by Developed Countries (447 MtCO<sub>2</sub> yr<sup>-1</sup>) (Roe et al. 2021). Mitigation through biochar  
18 will be greatest where biochar is applied to responsive soils (acidic, low fertility), where soil N<sub>2</sub>O  
19 emissions are high (intensive horticulture, irrigated crops), and where the syngas co-product displaces  
20 fossil fuels. Due to the early stage of commercialisation, mitigation estimates are based pilot-scale  
21 facilities, leading to uncertainty. However, the long-term persistence of biochar carbon in soils has been  
22 widely studied (e.g. Singh et al. 2012; Fang et al. 2019; Zimmerman and Ouyang 2019). The greatest  
23 uncertainty is the availability of sustainably-sourced biomass for biochar production.

24 **Critical assessment and conclusion.** Biochar has significant mitigation potential through CDR and  
25 emissions reduction, and can also improve soil properties, enhancing productivity and resilience to  
26 climate change (*medium agreement robust evidence*). There is *medium evidence* that biochar has a  
27 technical potential of 2.6 (0.2–6.6) GtCO<sub>2</sub>-eq yr<sup>-1</sup> of which 1.1 (0.3–1.8) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available up  
28 to USD100 tCO<sub>2</sub><sup>-1</sup>. However mitigation and agronomic co-benefits depend strongly on biochar  
29 properties and the soil to which biochar is applied (*strong agreement, robust evidence*). While biochar  
30 could provide moderate to large mitigation potential, it is not yet included in IAMs, which has restricted  
31 comparison and integration with other CDR strategies.

### 32 7.4.3.3. Agroforestry

33 **Activities, co-benefits, risks and implementation opportunities and barriers.** Agroforestry is a set of  
34 diverse land management systems that integrate trees and shrubs with crops and/or livestock in space  
35 and/or time. Agroforestry accumulates carbon in woody vegetation and soil (Ramachandran Nair et al.  
36 2010) and offers multiple co-benefits such as increased land productivity, diversified livelihoods,  
37 reduced soil erosion, improved water quality, and more hospitable regional climates (Ellison et al. 2017;  
38 Kuyah et al. 2019; Mbow et al. 2020; Zhu et al. 2020). Incorporation of trees and shrubs in agricultural  
39 systems, however, can affect food production, biodiversity, local hydrology and contribute to social  
40 inequality (Amadu et al. 2020; Fleischman et al. 2020; Holl and Brancalion 2020). To minimise risks  
41 and maximise co-benefits, agroforestry should be implemented as part of support systems that deliver  
42 tools, and information to increase farmers’ agency. This may include reforming policies, strengthening  
43 extension systems and creating market opportunities that enable adoption (Jamnadass et al. 2020,  
44 Sendzimir et al. 2011, Smith et al. 2019b). Consideration of carbon sequestration in the context of food  
45 and fuel production, as well as environmental co-benefits at the farm, local, and regional scales can  
46 further help support decisions to plant, regenerate and maintain agroforestry systems (Miller et al. 2020;  
47 Kumar and Nair 2011). In spite of the advantages, biophysical and socioeconomic factors can limit the

1 adoption (Pattanayak et al. 2003). Contextual factors may include, but are not limited to; water  
2 availability, soil fertility, seed and germplasm access, land policies and tenure systems affecting farmer  
3 agency, access to credit, and to information regarding the optimum species for a given location.

4 **Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation**  
5 **potential, costs, and pathways.** The SRCCL estimated the global technical mitigation potential of  
6 agroforestry, with medium confidence, to be between 0.08 and 5.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup> by 2050 (Griscom et  
7 al. 2017; Dickie et al. 2014a; Zomer et al. 2016; Hawken 2017). Estimates are derived from syntheses  
8 of potential area available for various agroforestry systems e.g., windbreaks, farmer managed natural  
9 regeneration, and alley cropping and average annual rates of carbon accumulation. The cost-effective  
10 economic potential, also with medium confidence, is more limited at 0.3-2.4 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Zomer et  
11 al. 2016; Griscom et al. 2017; Roe et al. 2019). Despite this potential, agroforestry is currently not  
12 considered in integrated assessment models used for mitigation pathways (Section 7.5).

13 **Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).** Updated  
14 estimates of agroforestry's technical mitigation potential and synthesised estimates of carbon  
15 sequestration across agroforestry systems have since been published. The most recent global analysis  
16 estimates technical potential of 9.4 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (Chapman et al. 2020) of agroforestry on 1.87 and  
17 1.89 billion ha of crop and pasture lands below median carbon content, respectively. This estimate is at  
18 least 68% greater than the largest estimate reported in the SRCCL (Hawken 2017) and represents a new  
19 conservative upper bound as Chapman et al. (2020) only accounted for aboveground carbon.  
20 Considering both above- and belowground carbon of windbreaks, alley cropping and silvopastoral  
21 systems at a more limited areal extent (Griscom et al. 2020), the economic potential of agroforestry was  
22 estimated to be only about 0.8 GtCO<sub>2</sub>-eq yr<sup>-1</sup>. Variation in estimates primarily result from assumptions  
23 on the agroforestry systems including, extent of implementation and estimated carbon sequestration  
24 potential when converting to agroforestry.

25 Regional estimates of mitigation potential are scant with agroforestry options differing significantly by  
26 geography (Feliciano et al. 2018). For example, multi-strata shaded coffee and cacao are successful in  
27 the humid tropics (Somarriba et al. 2013; Blaser et al. 2018), silvopastoral systems are prevalent in  
28 Latin American (Peters et al. 2013; Landholm et al. 2019) while agrosilvopastoral systems, shelterbelts,  
29 hedgerows, and windbreaks are common in Europe (Joffre et al. 1988; Rigueiro-Rodriguez 2009). At  
30 the field scale, agroforestry accumulates between 0.59 and 6.24 t ha<sup>-1</sup> yr<sup>-1</sup> of carbon aboveground.  
31 Belowground carbon often constitutes 25% or more of the potential carbon gains in agroforestry  
32 systems (De Stefano and Jacobson 2018; Cardinael et al. 2018). Roe et al. (2021) estimate greatest  
33 regional economic (up to USD100 tCO<sub>2</sub><sup>-1</sup>) mitigation potential for the period 2020-2050 to be in Asia  
34 and the developing Pacific (368.4 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) and Developed Countries (264.7 MtCO<sub>2</sub>-eq yr<sup>-1</sup>).

35 Recent research has also highlighted co-benefits and more precisely identified implementation barriers.  
36 In addition to aforementioned co-benefits, evidence now shows that agroforestry can improve soil  
37 health, regarding infiltration and structural stability (Muchane et al. 2020); reduces ambient  
38 temperatures and crop heat stress (Arenas-Corraliza et al. 2018; Sida et al. 2018); increases groundwater  
39 recharge in drylands when managed at moderate density (Ilstedt et al. 2016; Bargués-Tobella et al.  
40 2020); positively influences human health (Rosenstock et al. 2019); and can improve dietary diversity  
41 (McMullin et al. 2019). Along with previously mentioned barriers, low social capital, assets, and labour  
42 availability have been identified as pertinent to adoption. Practically all barriers are interdependent and  
43 subject to the context of implementation.

44 **Critical assessment and conclusion.** There is medium confidence that agroforestry has a technical  
45 potential of 4.1 (0.3-9.4) GtCO<sub>2</sub>-eq yr<sup>-1</sup> for the period 2020-2050, of which 0.8 (0.4-1.1) GtCO<sub>2</sub>-eq yr<sup>-1</sup>  
46 is available at USD100 tCO<sub>2</sub><sup>-1</sup>. Despite uncertainty around global estimates due to regional preferences

1 for management systems, suitable land availability, and growing conditions, there is high confidence in  
2 agroforestry's mitigation potential at the field scale. With countless options for farmers and land  
3 managers to implement agroforestry, there is medium confidence in the feasibility of achieving  
4 estimated regional mitigation potential. Appropriately matching agroforestry options, to local  
5 biophysical and social contexts is important in maximising mitigation and co-benefits, while avoiding  
6 risks (Sinclair and Coe 2019).

## 8 [START BOX 7.3 HERE]

### 9 **Box 7.3 Case study: agroforestry in Brazil – CANOPIES**

#### 10 **Summary**

11 Brazilian farmers are integrating trees into their croplands in various ways, ranging from simple to  
12 highly complex agroforestry systems. While complex systems are more effective in the mitigation of  
13 climate change, trade-offs with scalability need to be resolved for agroforestry systems to deliver on  
14 their potential. The Brazilian-Dutch CANOPIES project (Janssen 2020) is exploring transition  
15 pathways to agroforestry systems optimised for local ecological and socio-economic conditions

#### 16 **Background**

17 The climate change mitigation potential of agroforestry systems is widely recognised (FAO 2017b;  
18 Zomer et al. 2016) and Brazilian farmers and researchers are pioneering diverse ways of integrating  
19 trees into croplands, from planting rows of eucalyptus trees in pastures up to highly complex agroforests  
20 consisting of >30 crop and tree species. The degree of complexity influences the multiple functions that  
21 farmers and societies can attain from agroforestry the more complex it is, the more it resembles a  
22 natural forest with associated benefits for its C storage capacity and its habitat quality for biodiversity  
23 (Santos et al. 2019). However, trade-offs exist between the complexity and scalability of agroforestry  
24 as complex systems rely on intensive manual labour to achieve high productivity (Tschardt et al.  
25 2011). To date, mechanisation of structurally diverse agroforests is scarce and hence, efficiencies of  
26 scale are difficult to achieve.

#### 27 **Case description**

28 These synergies and trade-offs between complexity, multifunctionality and scalability are studied in the  
29 CANOPIES (*Co-existence of Agriculture and Nature: Optimisation and Planning of Integrated*  
30 *Ecosystem Services*) project, collaboration between Wageningen University (NL), the University of  
31 São Paulo and EMBRAPA (both Brazil). Soil and management data are collected on farms of varying  
32 complexity to evaluate C sequestration and other ecosystem services, economic performance and labour  
33 demands.

#### 34 **Interactions and limitations**

35 The trade-off between complexity and labour demand is less pronounced in EMBRAPA's integrated  
36 crop-livestock-forestry (ICLF) systems, where grains and pasture are planted between widely spaced  
37 tree rows. Here, barriers for implementation relate mostly to livestock and grain farmers' lack of  
38 knowledge on forestry management and financing mechanisms<sup>5</sup> (Gil et al. 2015). Additionally, linking  
39 these financing mechanisms to C sequestration remains a Monitoring, Reporting and Verification  
40 challenge (Smith et al. 2020b).

#### 41 **Lessons**

1 Successful examples of how more complex agroforestry can be upscaled do exist in Brazil. For example,  
2 on farm trials and consistent investments over several years have enabled Rizoma Agro to develop a  
3 citrus production system that integrates commercial and native trees in a large-scale multi-layered  
4 agroforestry system. The success of their transition resulted in part from their corporate structure that  
5 allowed them to tap into the certified Green Bonds market (CBI 2020). However, different transition  
6 strategies need to be developed for family farmers and their distinct socio-economic conditions.

7 [END BOX 7.3 HERE]

#### 9 7.4.3.4. Enteric fermentation

10 **Activities, co-benefits, risks and implementation opportunities and barriers.** Mitigating CH<sub>4</sub> emissions  
11 from enteric fermentation can be direct (i.e. targeting ruminal methanogenesis and emissions per animal  
12 or unit of feed consumed) or indirect, by increasing production efficiency (i.e. reducing emission  
13 intensity per unit of product). Measures can be classified as those relating to (1) feeding, (2)  
14 supplements, additives and vaccines, and (3) livestock breeding and wider husbandry (Jia et al. 2019).  
15 Co-benefits include enhanced climate change adaptation and increased food security associated with  
16 improved livestock breeding (Smith et al. 2014). Risks include mitigation persistence, ecological  
17 impacts associated with improving feed quality and supply, or potential toxicity and animal welfare  
18 issues concerning feed additives. Implementation barriers include feeding/administration constraints,  
19 the stage of development of measures, legal restrictions on emerging technologies and negative impacts,  
20 such as the previously described risks (Smith et al. 2014; Jia et al. 2019; Smith et al. 2019b).

21 **Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation**  
22 **potential, costs, and pathways.** AR5 indicated medium (5-15%) technical mitigation potential from  
23 both feeding and breeding related measures (Smith et al. 2014). More recently, the SRCCL estimated  
24 with *medium confidence*, a global potential of 0.12-1.18 GtCO<sub>2</sub>-eq yr<sup>-1</sup> between 2020 and 2050, with  
25 the range reflecting technical, economic and sustainability constraints (SRCCL, Chapter 2: Hristov et  
26 al. 2013; Dickie et al. 2014a; Herrero et al. 2016; Griscom et al. 2017). The underlying literature used  
27 a mixture of IPCC GWP<sub>100</sub> values for CH<sub>4</sub>, preventing conversion of CO<sub>2</sub>-eq to CH<sub>4</sub>. Improved livestock  
28 feeding and breeding were included in IAM emission pathway scenarios within the SRCCL and SR1.5,  
29 although it was suggested that the full mitigation potential of enteric CH<sub>4</sub> measures is not captured in  
30 current models (Rogelj et al. 2018b; IPCC 2018).

31 **Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).** Recent reviews  
32 generally identify the same measures as those outlined in the SRCCL, with the addition of early life  
33 manipulation of the ruminal biome (Grossi et al. 2019; Eckard and Clark 2020; Thompson and  
34 Rowntree 2020; Beauchemin et al. 2020; Ku-Vera et al. 2020; Honan et al. 2021). There is *robust*  
35 *evidence* and *high agreement* that chemically synthesised inhibitors are promising emerging near-term  
36 measures (Patra 2016; Jayanegara et al. 2018; Van Wesemael et al. 2019; Beauchemin et al. 2020) with  
37 high (e.g. 16-70% depending on study) mitigation potential reported (e.g. Hristov et al. 2015; McGinn  
38 et al. 2019; Melgar et al. 2020) and commercial availability expected within two years in some countries  
39 (Reisinger et al. 2021). However, their mitigation persistence (McGinn et al. 2019), cost (Carroll and  
40 Daigneault 2019; Alvarez-Hess et al. 2019) and public acceptance (Jayasundara et al. 2016) or  
41 regulatory approval is currently unclear while administration in pasture-based systems is likely to be  
42 challenging (Patra et al. 2017; Leahy et al. 2019). Research into other inhibitors/feeds containing  
43 inhibitory compounds, such as macroalgae or seaweed (Chagas et al. 2019; Kinley et al. 2020; Roque et  
44 al. 2019), shows promise, although concerns have been raised regarding palatability, toxicity,  
45 environmental impacts and the development of industrial-scale supply chains (Abbott et al. 2020; Vijn  
46 et al. 2020). In the absence of CH<sub>4</sub> vaccines, which are still under development (Reisinger et al. 2021)



1 pasture-based and non-intensive systems remain reliant on increasing production efficiency  
2 (Beauchemin et al. 2020). Breeding of low emitting animals may play an important role and is a subject  
3 under on-going research (Pickering et al. 2015; Jonker et al. 2018; López-Paredes et al. 2020).

4 Approaches differ regionally, with more focus on direct, technical options in developed countries, and  
5 improved efficiency in developing countries (Caro Torres et al. 2016; Mottet et al. 2017b; MacLeod et  
6 al. 2018; Frank et al. 2018). A recent assessment finds greatest economic (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>)  
7 potential (using the IPCC AR4 GWP<sub>100</sub> value for CH<sub>4</sub>) for 2020-2050 in Asia and the developing Pacific  
8 (32.9 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) followed by Developed Countries (25.5 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) (Roe et al. 2021). Despite  
9 numerous country and sub-sector specific studies, most of which include cost analysis (Hasegawa and  
10 Matsuoka 2012; Hoa et al. 2014; Jilani et al. 2015; Eory et al. 2015; Pradhan et al. 2017; Pellerin et al.  
11 2017; Ericksen and Crane 2018; Habib and Khan 2018; Kashangaki and Ericksen 2018; Salmon et al.  
12 2018; Brandt et al. 2019b; Dioha and Kumar 2020; Kiggundu et al. 2019; Kavanagh et al. 2019; Mosnier  
13 et al. 2019; Pradhan et al. 2019; Sapkota et al. 2019; Carroll and Daigneault 2019; Leahy et al. 2019),  
14 sectoral assessment of regional technical and notably economic (Beach et al. 2015; USEPA 2019)  
15 potential is restricted by lack comprehensive and comparable data. Therefore, verification of regional  
16 estimates indicated by global assessments is challenging. Feed quality improvement which may have  
17 considerable potential in developing countries (Mottet et al. 2017a; Caro et al. 2016), may have negative  
18 wider impacts. For example, potential land use change and greater emissions associated with production  
19 of concentrates (Brandt et al. 2019b).

20 **Critical review and conclusion.** Based on studies to date, using a range of IPCC GWP<sub>100</sub> values for  
21 CH<sub>4</sub>, there is *medium confidence* that activities to reduce enteric CH<sub>4</sub> emissions have a global technical  
22 potential of 0.8 (0.2–1.2) GtCO<sub>2</sub>-eq yr<sup>-1</sup>, of which 0.2 (0.1–0.3) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available up to USD100  
23 tCO<sub>2</sub>-eq<sup>-1</sup> (Figure 7.11). The CO<sub>2</sub>-eq value may also slightly differ if the GWP<sub>100</sub> IPCC AR6 CH<sub>4</sub> value  
24 was uniformly applied within calculations. Lack of comparable country and sub-sector studies to assess  
25 the context applicability of measures, associated costs and realistic adoption likelihood, prevents  
26 verification of estimates.

#### 27 **7.4.3.5. Improve rice management**

28 **Activities, co-benefits, risks and implementation opportunities and barriers.** Emissions from rice  
29 cultivation mainly concern CH<sub>4</sub> associated with anaerobic conditions, although N<sub>2</sub>O emission also occur  
30 via nitrification and denitrification processes. Measures to reduce CH<sub>4</sub> and N<sub>2</sub>O emissions include (1)  
31 improved water management (e.g. single drainage and multiple drainage practices), (2) improved  
32 residue management, (3) improved fertiliser application (e.g. using slow release fertiliser and nutrient  
33 specific application), and (4) soil amendments (including biochar and organic amendments) (Pandey et  
34 al. 2014; Yagi et al. 2020; Sriphirom et al. 2020; Kim et al. 2017b). These measures not only have  
35 mitigation potential but can improve water use efficiency, reduce overall water use, enhance drought  
36 adaptation and overall system resilience, improve yield, reduce production costs from seed, pesticide,  
37 pumping and labour, increase farm income, and promote sustainable development (Sriphirom et al.  
38 2019; Tran et al. 2018; Yamaguchi et al. 2017; Quynh and Sander 2015). However, in terms of  
39 mitigation of CH<sub>4</sub> and N<sub>2</sub>O, antagonistic effects can occur, whereby water management can enhance  
40 N<sub>2</sub>O emissions due to creation of alternate wet and dry conditions (Sriphirom et al. 2019), with trade-  
41 offs between CH<sub>4</sub> and N<sub>2</sub>O during the drying period potentially off-setting some mitigation benefits.  
42 Barriers to adoption may include site-specific limitations regarding soil type, percolation and seepage  
43 rates or fluctuations in precipitation, water canal or irrigation infrastructure, paddy surface level and  
44 rice field size, and social factors including farmer perceptions, pump ownership, and challenges in  
45 synchronising water management between neighbours and pumping stations (Yamaguchi et al. 2019;  
46 Yamaguchi et al. 2017; Quynh and Sander 2015).

1 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
2 **potential, costs, and pathways.** AR5 outlined emissions from rice cultivation of 0.49-0.723 GtCO<sub>2</sub>-eq  
3 yr<sup>-1</sup> in 2010 with an average annual growth of 0.4% yr<sup>-1</sup>. The SRCCL estimated a global mitigation  
4 potential from improved rice cultivation of 0.08-0.87 GtCO<sub>2</sub>-eq yr<sup>-1</sup> between 2020 and 2050, with the  
5 range representing the difference between technical and economic constraints, types of activities  
6 included (e.g. improved water management and straw residue management) and GHGs considered  
7 (SRCCL, Chapter 2: Dickie et al. 2014a; Paustian et al. 2016; Beach et al. 2015; Griscom et al. 2017;  
8 Hawken 2017).

9 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Since AR5 and  
10 the SRCCL, studies on mitigation have principally focused on water and nutrient management practices  
11 with the aim of improving overall sustainability as well as measurements of site-specific emissions to  
12 help improve the resolution of regional estimates. Intensity of emissions show considerable spatial and  
13 temporal variation, dependent on site specific factors including degradation of soil organic matter,  
14 management of water levels in the field, the types and amount of fertilisers applied, rice variety and  
15 local cultivation practices. Variation in CH<sub>4</sub> emissions have been found to range from 0.5-41.8 mg/m<sup>2</sup>/hr  
16 in Southeast Asia (Sander et al. 2014; Chidthaisong et al. 2018; Setyanto et al. 2018; Sibayan et al.  
17 2018; Wang et al. 2018; Maneepitak et al. 2019), 0.5-37.0 mg/m<sup>2</sup>/hr in South and Eastern Asia  
18 (Zhang et al. 2010; Wang et al. 2012; Oo et al. 2018; Takakai et al. 2020; Wang et al. 2018), and 0.5-  
19 10.4 mg/m<sup>2</sup>/hr in North America (Wang et al. 2018). Current studies on emissions of N<sub>2</sub>O also showed  
20 high variation in the range of 0.13-654 ug/m<sup>2</sup>/hr (Akiyama et al. 2005; Islam et al. 2018; Kritee et al.  
21 2018; Zschornack et al. 2018; Oo et al. 2018).

22 Recent studies on water management have highlighted the potential to mitigate GHG emissions, while  
23 also enhancing water use efficiency (Tran et al. 2018). A meta-analysis on multiple drainage systems  
24 found that Alternative Wetting and Drying (AWD) with irrigation management, can reduce CH<sub>4</sub>  
25 emissions by 20-30% and water use by 25.7 %, though this resulted in a slight yield reduction (5.4%)  
26 (Carrizo et al. 2017). Other studies have described improved yields associated with AWD (Tran et al.  
27 2018). Water management for both single and multiple drainage can (most likely) reduce methane  
28 emissions by ~35 % but increase N<sub>2</sub>O emissions by about 20% (Yagi et al. 2020). However, N<sub>2</sub>O  
29 emissions occur only under dry conditions, therefore total reduction in terms of net GWP is  
30 approximately 30%. Emissions of N<sub>2</sub>O are higher during dry seasons (Yagi et al. 2020) and depend on  
31 site specific factors as well as the quantity of fertiliser and organic matter inputs into the paddy rice  
32 system. Variability of N<sub>2</sub>O emissions from single and multiple drainage can range from 0.06-33 kg/ha  
33 (Hussain et al. 2015; Kritee et al. 2018). AWD in Vietnam was found to reduce both CH<sub>4</sub> and N<sub>2</sub>O  
34 emissions by 29-30 and 26-27% respectively with the combination of net GWP about 30% as compared  
35 to continuous flooding (Tran et al. 2018). Overall, greatest average economic mitigation potential (up  
36 to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) between 2020 and 2050 is estimated to be in Asia and the developing Pacific  
37 (147.2 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) followed by Latin America and the Caribbean (8.9 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) using the  
38 IPCC AR4 GWP<sub>100</sub> value for CH<sub>4</sub> (Roe et al. 2021).

39 **Critical assessment and conclusion.** There is *medium confidence* that improved rice management has  
40 a technical potential of 0.3 (0.1-0.8) GtCO<sub>2</sub>-eq yr<sup>-1</sup> between 2020 and 2050, of which 0.2 (0.05-0.3)  
41 GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup> (Figure 7.11). Improving rice cultivation practices  
42 will not only reduce GHG emissions, but also improve production sustainability in terms of resource  
43 utilisation including water consumption and fertiliser application. However, emission reductions show  
44 high variability and are dependent on site specific conditions and cultivation practices.

#### 1 **7.4.3.6. Crop nutrient management**

2 **Activities, co-benefits, risks and implementation opportunities and barriers.** Improved crop nutrient  
3 management can reduce N<sub>2</sub>O emissions from cropland soils. Practices include optimising fertiliser  
4 application delivery, rates and timing, utilising different fertiliser types (i.e. organic manures, composts  
5 and synthetic forms), and using slow or controlled-released fertilisers or nitrification inhibitors (Smith  
6 et al. 2014; Griscom et al. 2017; Smith et al. 2019b). In addition to individual practices, integrated  
7 nutrient management that combines crop rotations including intercropping, nitrogen biological fixation,  
8 reduced tillage, use of cover crops, manure and bio-fertilizer application, soil testing and comprehensive  
9 nitrogen management plans, is suggested as central for optimising fertiliser use, enhancing nutrient  
10 uptake and potentially reducing N<sub>2</sub>O emissions (Bationo et al. 2012; Lal et al. 2018; Bolinder et al.  
11 2020; Jensen et al., 2020; Namatsheve et al., 2020). Such practices may generate additional mitigation  
12 by indirectly reducing synthetic fertilizer manufacturing requirements and associated emissions, though  
13 such mitigation is accounted for in the Industry Sector and not considered in this chapter. Tailored  
14 nutrient management approaches, such as 4R nutrient stewardship, are implemented in contrasting  
15 farming systems and contexts and supported by best management practices to balance and match  
16 nutrient supply with crop requirements, provide greater stability in fertilizer performance and to  
17 minimize N<sub>2</sub>O emissions and nutrient losses from fields and farms (Fixen 2020; Maaz e al. 2021). Co-  
18 benefits of improved nutrient management can include enhanced soil quality (notably when manure,  
19 crop residues or compost is utilised), carbon sequestration in soils and biomass soil water holding  
20 capacity, adaptation capacity, crop yields, farm incomes, water quality (from reduced nitrate leaching  
21 and eutrophication), air quality (from reduced ammonia emissions) and in certain cases, it may facilitate  
22 land sparing (Sapkota et al. 2014; Johnston and Bruulsema 2014; Zhang et al. 2017; Smith et al. 2019b;  
23 Mbow et al. 2019).

24 A potential risk under certain circumstances, is yield reduction, while implementation of practices  
25 should consider current soil nutrient status. There are significant regional imbalances, with some  
26 regions experiencing nutrient surp uses from over fertilization and others, nutrient shortages and  
27 chronic deficiencies (FAO 2021e). Additionally, depending on context, practices may be inaccessible,  
28 expensive or require expertise to implement (Hedley 2015; Benson and Moguees 2018) while impacts  
29 of climate change may influence nutrient use efficiency (Amouzou et al. 2019) and therefore, mitigation  
30 potential.

31 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
32 **potential, cost , and pathways** The SRCCL broadly identified the same practices as outlined in AR5  
33 and estimated that improved cropland nutrient management could mitigate between 0.03 and 0.71  
34 GtCO<sub>2</sub>-eq yr<sup>-1</sup> between 2020 and 2050 (SRCCL Chapter 2: Dickie et al. 2014a; Beach et al. 2015;  
35 Paustian et al. 2016; Griscom et al. 2017; Hawken 2017).

36 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Research since  
37 the SRCCL highlights the mitigation potential and co-benefits of adopting improved nutrient  
38 management strategies, notably precision fertiliser application methods and nutrient expert systems,  
39 and applicability in both large-scale mechanised and small-scale systems (Aryal et al. 2020; USEPA  
40 2019; Hijbeek et al. 2019; Griscom et al. 2020; Tian et al. 2020; Sapkota et al. 2021). Improved crop  
41 nutrient management is feasible in all regions, but effectiveness is context dependent. Sub-Saharan  
42 Africa has one of the lowest global fertiliser consumption rates, with increased fertiliser use suggested  
43 as necessary to meet projected future food requirements (Mueller et al. 2012; Adam et al. 2020; ten  
44 Berge et al. 2019; Falconnier et al. 2020). Fertiliser use in Developed Countries is already high (Figure  
45 7.10) with increased nutrient use efficiency among the most promising mitigation measures (Roe et al.  
46 2019; Hijbeek et al. 2019). Considering that Asia and developing Pacific, and Developed Countries  
47 accounted for the greatest share of global nitrogen fertiliser use, it is not surprising that these regions

1 are estimated to have greatest economic mitigation potential (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) between 2020  
2 and 2050, at 161.8 and 37.1 MtCO<sub>2</sub>-eq yr<sup>-1</sup> respectively (using the IPCC AR4 GWP<sub>100</sub> value for N<sub>2</sub>O)  
3 (Roe et al. 2021).

4 **Critical assessment and conclusion.** There is *medium confidence* that crop nutrient management has a  
5 technical potential of 0.3 (0.06–0.7) GtCO<sub>2</sub>-eq yr<sup>-1</sup> of which 0.2 (0.05–0.6) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available  
6 up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>. This value is based on GWP100 using a mixture of IPCC values for N<sub>2</sub>O and  
7 may slightly differ if calculated using AR6 values. The development of national roadmaps for  
8 sustainable fertilizer (nutrient) management can help in scaling-up related practices and in realising this  
9 potential. Crop nutrient management measures can contribute not only to mitigation, but food and  
10 nutrition security and wider environmental sustainability goals.

11

12 **[START BOX 7.4 HERE]**

13

### **Box 7.4 Case study: the climate-smart village approach**

#### **Summary**

15 The climate-smart villages (CSV) approach aims to generate local knowledge, with the involvement of  
16 farmers, researchers, practitioners, and governments, on climate change adaptation and mitigation while  
17 improving productivity, food security, and farmers' livelihoods (Aggarwal et al. 2018). This knowledge  
18 feeds a global network that includes 36 climate-smart villages in South and Southeast Asia, West and  
19 East Africa, and Latin America.

#### **Background**

21 It is expected that agricultural production systems across the world will change in response to climate  
22 change, posing significant challenges to the livelihoods and food security of millions of people (IPCC  
23 2014). Maintaining agricultural growth while minimising climate shocks is crucial to building a resilient  
24 food production system and meeting sustainable development goals in vulnerable countries.

#### **Case description**

26 The CSV approach seeks an integrated vision so that sustainable rural development is the final goal for  
27 rural communities. At the same time, it fosters the understanding of climate change with the  
28 implementation of adaptation and mitigation actions, as much as possible. Rural communities and local  
29 stakeholders are the leaders of this process, where scientists facilitate their knowledge to be useful for  
30 the communities and learn at the same time about challenges but also the capacity those communities  
31 have built through time. The portfolio includes weather-smart activities, water-smart practices,  
32 seed/breed smart, carbon/nutrient-smart practices, and institutional/market smart activities.

#### **Interactions and limitations**

34 The integration of technologies and services that are suitable for the local conditions resulted in many  
35 gains for food security and adaptation and for mitigation where appropriate. It was also shown that, in  
36 all regions, there is considerable yield advantage when a portfolio of technologies is used, rather than  
37 the isolated use of technologies (Govaerts et al. 2005; Zougmore et al. 2014). Moreover, farmers are  
38 using research results to promote their products as climate-smart leading to increases in their income  
39 (Acosta-Alba et al. 2019). However, climatic risk sites and socioeconomic conditions together with a  
40 lack of resource availability are key issues constraining agriculture across all five regions.

#### **Lessons**

- 1 **1.** Understanding the priorities, context, challenges, capacity, and characteristics of the territory and  
2 the communities regarding climate, as well as the environmental and socioeconomic dimensions,  
3 is the first step. Then, understanding climate vulnerability in their agricultural systems based on  
4 scientific data but also listening to their experience will set the pathway to identify climate-smart  
5 agriculture (CSA) options (practices and technologies) to reduce such vulnerability.
- 6 **2.** Building capacity is also a critical element of the CSV approach, rural families learn about the  
7 practices and technologies in a neighbour's house, and as part of the process, families commit to  
8 sharing their knowledge with other families, to start a scaling-out process within the communities.  
9 Understanding the relationship between climate and their crop is key, as well as the use of weather  
10 forecasts to plan their agricultural activities.
- 11 **3.** The assessment of the implementation of the CSA options should be done together with community  
12 leaders to understand changes in livelihoods and climate vulnerability. Also, knowledge  
13 appropriation by community leaders has led to farmer-to-farmer knowledge exchange within and  
14 outside the community (Ortega Fernandez and Martínez-Barón 2018).

15 **[END BOX 7.4 HERE]**

16

#### 17 **7.4.3.7. Manure management**

18 **Activities, co-benefits, risks and implementation opportunities and barriers.** Manure management  
19 measures aim to mitigate CH<sub>4</sub> and N<sub>2</sub>O emissions from manure storage and deposition. Mitigation of  
20 N<sub>2</sub>O considers both direct and indirect (i.e. conversion of ammonia and nitrate to N<sub>2</sub>O) sources.  
21 According to the SRCCL, measures may include (1) anaerobic digestion, (2) applying nitrification or  
22 urease inhibitors to stored manure or urine patches, (3) composting, (4) improved storage and  
23 application practices, (5) grazing practices and (6) alteration of livestock diets to reduce nitrogen  
24 excretion (Mbow et al. 2019; Jia et al. 2019). Implementation of manure management with other  
25 livestock and soil management measures can enhance system resilience, sustainability, food security  
26 and help prevent land degradation (Smith et al. 2014; Mbow et al. 2019; Smith et al. 2019d), while  
27 potentially benefiting the localised environment for example, regarding water quality (Di and Cameron  
28 2016). Risks include increased N<sub>2</sub>O emission from the application of manure to poorly drained or wet  
29 soils, trade-offs between N<sub>2</sub>O and ammonia emissions and potential eco-toxicity associated with some  
30 measures.

31 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
32 **potential, costs and pathways** AR5 reported manure measures to have high (> 10%) mitigation  
33 potential. The SRCCL estimated a technical global mitigation potential between 2020 and 2050 of 0.01-  
34 0.26 GtCO<sub>2</sub>-eq yr<sup>-1</sup>, with the range depending on economic and sustainable capacity (SRCCL, Chapter  
35 2: (Dickie et al. 2014a; Herrero et al. 2016). Conversion of estimates to native units is restricted as a  
36 mixture of GWP<sub>100</sub> values was used in underlying studies. Measures considered were typically more  
37 suited to confined production systems (Jia et al. 2019; Mbow et al. 2019), while improved manure  
38 management is included within IAM emission pathways (Rogelj et al. 2018b).

39 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Research  
40 published since SRCCL broadly focuses on measures relevant to intensive or confined systems (e.g.  
41 (Hunt et al. 2019; Sokolov et al. 2020; Im et al. 2020; Adghim et al. 2020; Mostafa et al. 2020; Kavanagh  
42 et al. 2019), highlighting co-benefits and risks. For example, measures may enhance nutrient recovery,  
43 fertiliser value (Sefeedpari et al. 2019; Ba et al. 2020; Yao et al. 2020) and secondary processes such as  
44 biogas production (Shin et al. 2019). However, the potential antagonistic relationship between GHG  
45 and ammonia mitigation and need for appropriate management is emphasised (Aguirre-Villegas et al.

1 2019; Kupper et al. 2020; Grossi et al. 2019; Ba et al. 2020). In some circumstances, fugitive emissions  
2 may reduce the potential mitigation benefits of biogas production (Scheutz and Fredenslund 2019;  
3 Bakkaloglu et al. 2021), while high implementation cost is identified as an adoption barrier, notably of  
4 anaerobic digestion (Liu and Liu 2018; Niles and Wiltshire 2019; Ndambi et al. 2019; Ackrill and Abdo  
5 2020; Adghim et al. 2020). Nitrification inhibitors have been found to be effective at reducing N<sub>2</sub>O  
6 emissions from pasture deposited urine (López-Aizpún et al. 2020), although the use of nitrification  
7 inhibitors is restricted in some jurisdictions due to concerns regarding residues in food products (Di and  
8 Cameron 2016; Eckard and Clark 2020) while *limited evidence* suggests eco-toxicity risk under certain  
9 circumstances (Kösler et al. 2019). Some forage crops may naturally contain inhibitory substances  
10 (Simon et al. 2019, 2020; de Klein et al. 2020), though this warrants further research (Podolyan et al.  
11 2020; Gardiner et al. 2020).

12 Country specific studies provide insight into regionally applicable measures, with emphasis on small-  
13 scale anaerobic digestion (e.g. dome digesters), solid manure coverage and daily manure spreading in  
14 Asia and the developing Pacific, and Africa (Hasegawa et al. 2016; Hasegawa and Matsuoka 2012; Hoa  
15 et al. 2014; Jilani et al. 2015; Pradhan et al. 2017; Ericksen and Crane 2018; Pradhan et al. 2019;  
16 Kiggundu et al. 2019; Dioha and Kumar 2020). Tank/lagoon covers large-scale anaerobic digestion,  
17 improved application timing, nitrogen inhibitor application to urine patches, soil-liquid separation,  
18 reduced livestock nitrogen intake, trailing shoe, band or injection slurry spreading and acidification are  
19 emphasised in developed countries (Kaparaju and Rintala 2011; Pape et al. 2016; Liu and Liu 2018;  
20 Lanigan et al. 2018; Eory et al. 2015; Jayasundara et al. 2016; Pellerin et al. 2017; Carroll and  
21 Daigneault 2019; Eckard and Clark 2020). Using IPCC AR4 GWP<sub>100</sub> values for CH<sub>4</sub> and N<sub>2</sub>O, a recent  
22 assessment finds 69% (63.4 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) of economic potential (up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup>) between  
23 2020-2050, to be in Developed Countries (Roe et al. 2021).

24 **Critical assessment and conclusion.** There is *medium confidence* that manure management measures  
25 have a global technical potential of 0.3 (0.1-0.5) GtCO<sub>2</sub>-eq yr<sup>-1</sup>, (using a range of IPCC GWP<sub>100</sub> values  
26 for CH<sub>4</sub> and N<sub>2</sub>O), of which 0.1 (0.09-0.1) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is available at up to USD100 tCO<sub>2</sub>-eq<sup>-1</sup> (Figure  
27 7.11). As with other non-CO<sub>2</sub> GHG mitigation estimates, values may slightly differ depending upon  
28 which IPCC GWP<sub>100</sub> values were used. There is *robust evidence and high agreement* that there are  
29 measures that can be applied in all regions, but greatest mitigation potential is estimated in developed  
30 countries in more intensive and confined production systems.

31

32 [START BOX 7.5 HERE]

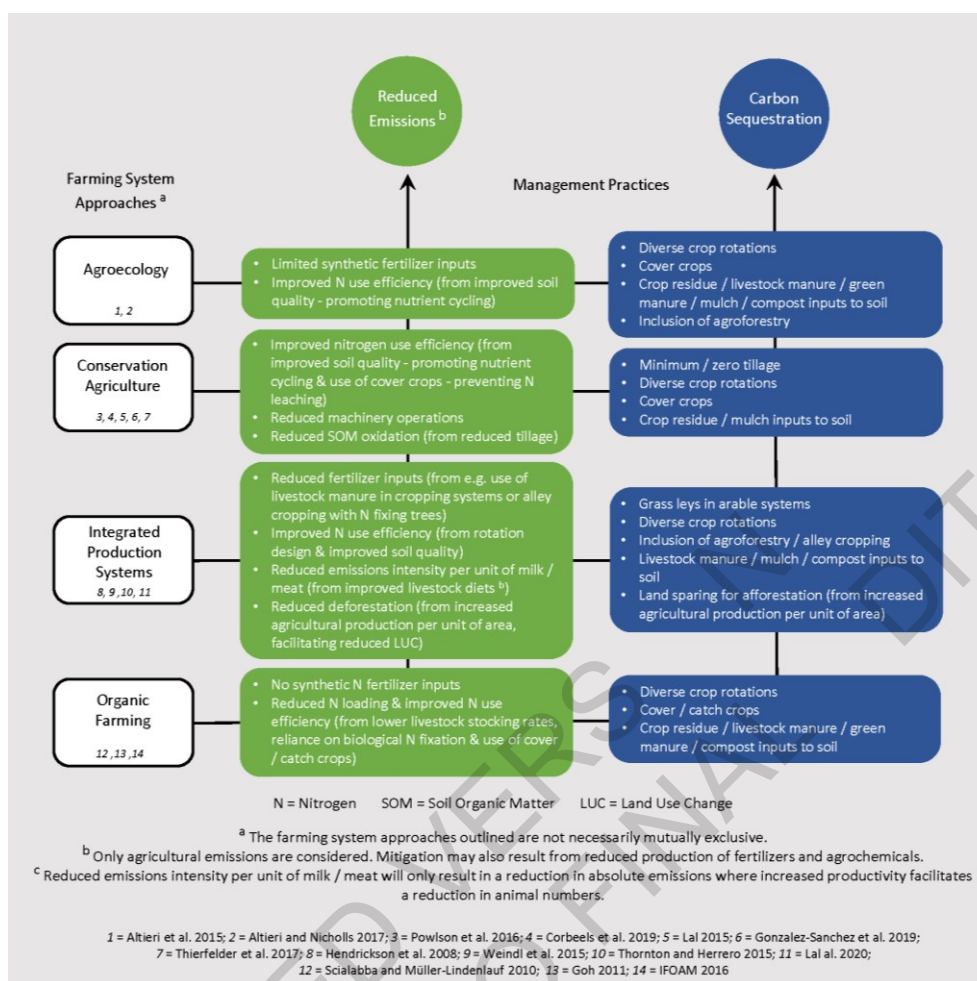
33

### Box 7.5 Farming system approaches and mitigation

#### Introduction

35 There is *robust evidence* and *high agreement* that agriculture needs to change to facilitate environment  
36 conservation while maintaining and where appropriate, increase overall production. The SRCCL  
37 identified several farming system approaches, deemed alternative to conventional systems (Olsson et  
38 al. 2019; Mbow et al. 2019; Smith et al. 2019a). These may incorporate several of the mitigation  
39 measures described in 7.4.3, while potentially also delivering environmental co-benefits. This Box  
40 assesses evidence specifically on the mitigation capacity of some such system approaches. The  
41 approaches are not mutually exclusive, may share similar principles or practices and can be  
42 complimentary. In all cases, mitigation may result from either (1) emission reductions or (2) enhanced  
43 carbon sequestration, via combinations of management practices as outlined in Figure 1 within this Box.  
44 The approaches will have pros and cons concerning multiple factors, including mitigation, yield and  
45 co-benefits, with trade-offs subject to the diverse contexts and ways in which they are implemented.

1



2

3

**Box 7.5, Figure 1 Potential mitigation mechanisms and associated management practices**

#### 4 **Is there evidence that these approaches deliver mitigation?**

##### 5 ***Agroecology (AE) including Regenerative Agriculture (RA)***

6 There is limited discussion on the mitigation potential of AE (Gliessman 2013; Altieri and Nicholls  
 7 2017), but *robust evidence* that AE can improve system resilience and bring multiple co-benefits  
 8 (Aguilera et al. 2020; Titonell 2020; Wanger et al. 2020; Altieri et al. 2015; Mbow et al. 2019) (IPCC  
 9 WGII AR6 Box 5.10). *Limited evidence* concerning the mitigation capacity of AE at a system level (Saj  
 10 et al. 2017; Snapp et al. 2021) makes conclusions difficult, yet studies into specific practices that may  
 11 be incorporated, suggest AE may have mitigation potential (Section 7.4.3) (*medium confidence*).  
 12 However, AE, that incorporates management practices used in organic farming (see below), may result  
 13 in reduced yields, driving compensatory agricultural production elsewhere. Research into GHG  
 14 mitigation by AE as a system and impacts of wide-scale implementation is required. Despite absence  
 15 of a universally accepted definition (see Annex I), RA is gaining increasing attention and shares  
 16 principles of AE. Some descriptions include carbon sequestration as a specific aim (Elevitch et al.  
 17 2018). Few studies have assessed mitigation potential of RA at a system level (e.g. Colley et al. 2020).  
 18 Like AE, it is *likely* that RA can contribute to mitigation, the extent to which is currently unclear and  
 19 by its case-specific design, will vary (*medium confidence*).



### 1 **Conservation Agriculture (CA)**

2 The SRCCL noted both positive and inconclusive results regarding CA and soil carbon, with sustained  
3 sequestration dependent on productivity and residue returns (Jia et al. 2019; Mirzabaev et al. 2019;  
4 Mbow et al. 2019). Recent research is in broad agreement (Ogle et al. 2019; Corbeels et al. 2020, 2019;  
5 Gonzalez-Sanchez et al. 2019; Munkholm et al. 2020) with greatest mitigation potential suggested in  
6 dry regions (Sun et al. 2020). Theoretically, CA may facilitate improved nitrogen use efficiency (Lal  
7 2015; Powlson et al. 2016) (*limited evidence*), though CA appears to have mixed effects on soil N<sub>2</sub>O  
8 emission (Six et al. 2004; Mei et al. 2018). CA is noted for its adaptation benefits, with *wide agreement*  
9 that CA can enhance system resilience to climate related stress, notably in dry regions. There is evidence  
10 that CA can contribute to mitigation, but its contribution is depended on multiple factors including  
11 climate and residue returns (*high confidence*).

### 12 **Integrated Production Systems (IPS)**

13 The integration of different enterprises in space and time (e.g. diversified cropping, crop and livestock  
14 production, agroforestry), therefore facilitating interaction and transfer of resources between systems,  
15 is suggested to enhance sustainability and adaptive capacity (Franzluebbers et al. 2014; Lemaire et al.  
16 2014; Gil et al. 2017; Peterson et al. 2020; Walkup et al. 2020; Garrett et al. 2020; Hendrickson et al.  
17 2008; Weindl et al. 2015; Olseen et al. 2019). Research indicates some mitigation potential, including  
18 by facilitating sustainable intensification (Box 7.11), though benefits are likely to be highly context  
19 specific (e.g. (Herrero et al. 2013; Carvalho et al. 2014; Piva et al. 2014; de Figueiredo et al. 2017;  
20 Guenet et al. 2021; Rosenstock et al. 2014; Weindl et al. 2015; Thornton and Herrero 2015; Lal 2020;  
21 Descheemaeker et al. 2016). The other systems outlined within this Box may form or facilitate IPS.

### 22 **Organic Farming (OF)**

23 OF can be considered a form of AE (Lampkin et al. 2017) though is discussed separately here as it is  
24 guided by specific principles and associated regulations (Annex I). OF is perhaps noted more for  
25 potential co-benefits, such as enhanced system resilience and biodiversity promotion, than mitigation.  
26 Several studies have reviewed the emissions footprint of organic compared to conventional systems  
27 (e.g. Mondelaers et al. 2009; Tuomi et al. 2012; Skinner et al. 2014; Meier et al. 2015; Seufert and  
28 Ramankutty 2017; Clark and Tilman 2017; Meemken and Qaim 2018; Bellassen et al. 2021).  
29 Acknowledging potential assessment limitations (Meier et al. 2015; van der Werf et al. 2020), evidence  
30 suggests organic production to typically generate lower emissions per unit of area, while emissions per  
31 unit of product vary and depend on the product (*high agreement, medium evidence*). OF has been  
32 suggested to increase soil carbon sequestration (Gattinger et al. 2012), though definitive conclusions  
33 are challenging (Leifeld et al. 2013). Fewer studies consider impacts of large-scale conversion from  
34 conventional to organic production globally. Though context specific (Seufert and Ramankutty 2017),  
35 OF is reported to typically generate lower yields (Seufert et al. 2012; De Ponti et al. 2012; Kirchmann  
36 2019; Biernat et al. 2020). Large-scale conversion, without fundamental changes in food systems and  
37 diets (Muller et al. 2017; Theurl et al. 2020), may lead to increases in absolute emissions from land use  
38 change, driven by greater land requirements to maintain production (e.g. Leifeld 2016; Meemken and  
39 Qaim 2018). OF may have mitigation capacity in certain instances though impacts of large-scale  
40 conversion require further research.

41 **[END BOX 7.5 HERE]**

42

43 **[START BOX 7.6 HERE]**

44 **Box 7.6 Case study: Mitigation Options and Costs in the Indian Agricultural Sector**

## 1 **Objective**

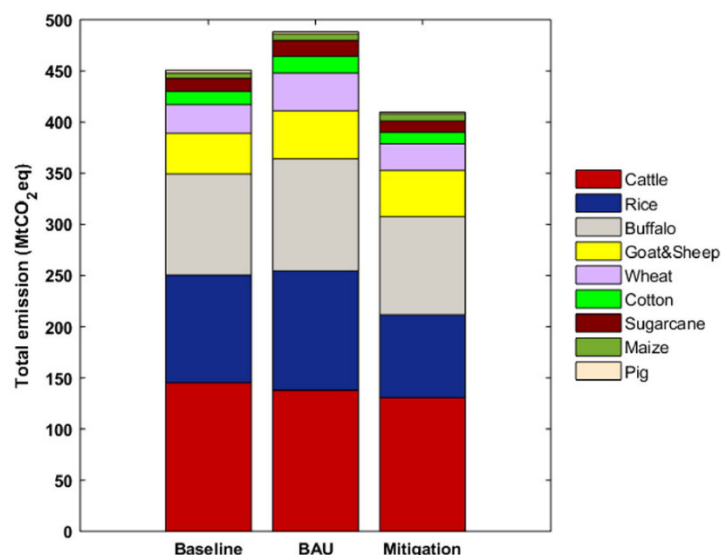
2 To assess the technical mitigation potentials of Indian agriculture and costs under a Business as Usual  
3 scenario (BAU) and Mitigation scenario up to 2030 (Sapkota et al. 2019).

## 4 **Results**

5 The study shows that by 2030 under BAU scenario GHG emissions from the agricultural sector in India  
6 would be 515 MtCO<sub>2</sub>-eq yr<sup>-1</sup> (using GWP<sub>100</sub> and IPCC AR4 values) with a technical mitigation potential  
7 of 85.5 MtCO<sub>2</sub>-eq yr<sup>-1</sup> through the adoption of various mitigation practices. About 80% of the technical  
8 mitigation potential could be achieved by adopting cost-saving measures. Three mitigation options, i.e.,  
9 efficient use of fertiliser, zero-tillage, and rice-water management, could deliver more than 50% of the  
10 total technical abatement potential. Under the BAU scenario the projected GHG emissions from major  
11 crop and livestock species is estimated at 489 MtCO<sub>2</sub>-eq in 2030, whereas under mitigation scenario  
12 GHG emissions are estimated at 410 MtCO<sub>2</sub>-eq implying a technical mitigation option of about 78.67  
13 MtCO<sub>2</sub>-eq yr<sup>-1</sup> (Box 7.6, Figure 1). Major sources of projected emissions under the BAU scenario, in  
14 order of importance, were cattle, rice, buffalo, and small ruminants. Although livestock production and  
15 rice cultivation account for a major share of agricultural emissions, the highest mitigation potential was  
16 observed in rice (about 36 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) followed by buffalo (about 14 MtCO<sub>2</sub>-eq yr<sup>-1</sup>), wheat (about  
17 11 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) and cattle (about 7 MtCO<sub>2</sub>-eq yr<sup>-1</sup>). Crops such as cotton and sugarcane each offered  
18 mitigation potential of about 5 MtCO<sub>2</sub>-eq yr<sup>-1</sup> while the mitigation potential from small ruminants  
19 (goat/sheep) was about 2 MtCO<sub>2</sub>-eq yr<sup>-1</sup>.

20 Sapkota et al. (2019) also estimated the magnitude of GHG savings per year through adoption of various  
21 mitigation measures, together with the total cost and net cost per unit of CO<sub>2</sub>-eq abated. When the  
22 additional benefits of increased yield due to adoption of the mitigation measures were considered, about  
23 80% of the technical mitigation potential (67.5 out of 85.5 MtCO<sub>2</sub> eq) could be achieved by cost-saving  
24 measures. When yield benefits were considered, green fodder supplements to ruminant diets were the  
25 most cost-effective mitigation measure, followed by vermicomposting and improved diet management  
26 of small ruminants. Mitigation measures such as fertigation and micro-irrigation, various methods of  
27 restoring degraded land and feed additives in livestock appear to be cost-prohibitive, even when  
28 considering yield benefits, if any. The study accounted for GHG emissions at the farm level and  
29 excluded emissions arising due to processing, marketing or consumption post farm-gate. It also did not  
30 include emissions from feed production, since livestock in India mostly rely on crop by-products and  
31 concentrates. Further the potential of laser land levelling seems exaggerated which may also be  
32 redundant with already accounted potential from 'improved water management in rice'. The mitigation  
33 potential of agro-ecological approaches/technologies such as natural farming which is picking up in  
34 India in recent years has also been overlooked.

35



Box 7.6, Figure 1 Contribution of various crops and livestock species to total agricultural emission in 2012 (baseline) and by 2030 under business as usual (BAU) and mitigation scenarios for Indian Agricultural sector. Source: Sapkota et al. (2019).

[END BOX 7.6 HERE]

#### 7.4.4. Bioenergy and BECCS

*Activities, co-benefits, risks and implementation opportunities and barriers.* Bioenergy refers to energy products (solid, liquid and gaseous fuels, electricity, heat) derived from multiple biomass sources including organic waste, harvest residues and by-flows in the agriculture and forestry sectors, and biomass from tree plantations, agroforestry systems, lignocellulosic crops, and conventional food/feed crops. It may reduce net GHG emissions by displacing the use of coal, oil and natural gas with renewable biomass in the production of heat, electricity, and fuels. When combined with carbon capture and storage (BECCS) and biochar production, bioenergy systems may provide CDR by durably storing biogenic carbon in geological, terrestrial, or ocean reservoirs, or in products, further contributing to mitigation (Section 7.4.3.2, Chapters 3, 4, 6 and 12) (Chum et al. 2011; Hammar and Levihn 2020; Emenike et al. 2020; Cabral et al. 2019; Moreira et al. 2020b; Wang et al. 2020; Johnsson et al. 2020).

This section addresses especially aspects related to land use and biomass supply for bioenergy and BECCS. The mitigation potential presented here and in Table 7.3, includes only the CDR component of BECCS. The additional mitigation achieved from displacing fossil fuels is covered elsewhere (Chapters 6, 8, 9, 10, 11 and 12).

Modern bioenergy systems (as opposed to traditional use of fuelwood and other low-quality cooking and heating fuels) currently provide approximately 30 EJ yr<sup>-1</sup> of primary energy, making up 53% of total renewable primary energy supply (IEA 2019). Bioenergy systems are commonly integrated within forest and agriculture systems that produce food, feed, lumber, paper and other biobased products. They can also be combined with other AFOLU mitigation options: deployment of energy crops, agroforestry and A/R can provide biomass while increasing land carbon stocks (Sections 7.4.2.2 and 7.4.3.3) and anaerobic digestion of manure and wastewater, to reduce methane emissions, can produce biogas and CO<sub>2</sub> for storage (Section 7.4.3.7). But ill-deployment of energy crops can also cause land carbon losses (Hanssen et al. 2020) and increased biomass demand for energy could hamper other mitigation measures such as reduced deforestation and degradation (Sections 7.4.2.1).

1 Bioenergy and BECCS can be associated with a range of co-benefits and adverse side-effects (Section  
2 12.5; Jia et al. 2019; Calvin et al. 2021; Smith et al. 2016). It is difficult to disentangle bioenergy  
3 development from the overall development in the AFOLU sector given its multiple interactions with  
4 food, land, and energy systems. It is therefore not possible to precisely determine the scale of bioenergy  
5 and BECCS deployment at which negative impacts outweigh benefits. Important uncertainties include  
6 governance systems, future food and biomaterials demand, land use practices, energy systems  
7 development, climate impacts, and time scale considered when weighing negative impacts against  
8 benefits (SRCCL, Cross-Chapter Box 7; Box 7.7). (Turner et al. 2018b; Daioglou et al. 2019; Kalt et  
9 al. 2020; Wu et al. 2019; Robledo-Abad et al. 2017; Hanssen et al. 2020; Calvin et al, 2021;Cowie et  
10 al. 2021). The use of municipal organic waste, harvest residues, and biomass processing by-products as  
11 feedstock is commonly considered to have relatively lower risk, provided that associated land use  
12 practices are sustainable (Cowie et al. 2021). Deployment of dedicated biomass production systems can  
13 have positive and negative implications on mitigation and other sustainability criteria, depending on  
14 location and previous land use, feedstock, management practice, and deployment strategy and scale  
15 (Sections 12.5 and 17.3.3.1;(Popp et al. 2017; Humpenöder et al. 2018; Rulli et al. 2016; Brondizio et  
16 al. 2019; Hasegawa et al. 2020; Fujimori et al. 2019; Drews et al. 2020; Schulze et al. 2020; Stenzel et  
17 al. 2020; Daioglou et al. 2017; Staples et al. 2017; Carvalho et al. 2017; Mouratiadou et al. 2020;  
18 Buchspies et al. 2020; Hanssen et al. 2020).

19 ***Conclusions from AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL); mitigation***  
20 ***potential, costs, and pathways.*** Many more stringent mitigation scenarios in AR5 relied heavily on  
21 bioenergy and BECCS. The SR1.5 reported a range for the CDR potential of BECCS (2100) at 0.5 to 5  
22 GtCO<sub>2</sub>-eq yr<sup>-1</sup> when applying constraints reflecting sustainability concerns, at a cost of 100-200 USD  
23 tCO<sub>2</sub><sup>-1</sup> (Fuss et al. 2018). The SRCCL reported a technical CDR potential for BECCS at 0.4-11.3 GtCO<sub>2</sub>  
24 yr<sup>-1</sup> (*medium confidence*), noting that most estimates do not include socio-economic barriers, the  
25 impacts of future climate change, or non-GHG climate forcing (IPCC. 2019a). The SR1.5 and SRCCL  
26 highlighted that bioenergy and BECCS can be associated with multiple co-benefits and adverse side-  
27 effects that are context specific.

28 ***Developments since AR5 and IPCC Special Reports (SR1.5, SROCCC and SRCCL).*** The role of  
29 bioenergy and BECCS in mitigation pathways has been reduced as IAM-based studies have  
30 incorporated broader mitigation portfolios and have explored non-CO<sub>2</sub> emissions reduction and a wider  
31 variation of underlying assumptions about socio-economic drivers and associated energy and food  
32 demand, as well as deployment limits such as land availability for A/R and for cultivation of crops used  
33 for bioenergy and BECCS (Gubler et al. 2018; Van Vuuren et al. 2018).

34 Increased availability of spatially explicit data and advances in the modelling of crop productivity and  
35 land use, land carbon stocks, hydrology, and ecosystem properties, have enabled more comprehensive  
36 analyses of factors that influence the contribution of bioenergy and BECCS in IAM-based mitigation  
37 scenarios, and also associated co-benefits and adverse side-effects (Wu et al, 2019, Li et al. 2020, Turner  
38 et al 2018a, Hanssen et al. 2020; Ai et al. 2021; Drews et al. 2020; Hasegawa et al. 2021). Yet, IAMs  
39 are still coarse in local land use practices. (Daioglou et al. 2019; Wu et al. 2019; Moreira et al. 2020b).  
40 Literature complementary to IAM studies indicate opportunities for integration of biomass production  
41 systems into agricultural landscapes (e.g., agroforestry, double cropping) to produce biomass while  
42 achieving co-benefits (Section 12.5). Similarly, climate-smart forestry puts forward measures (Box 7.3)  
43 adapted to regional circumstances in forest sectors, enabling co-benefits in nature conservation, soil  
44 protection, employment and income generation, and provision of wood for buildings, bioenergy and  
45 other biobased products (Nabuurs et al. 2017).

46 Studies have also investigated the extent and possible use of marginal, abandoned, and degraded lands,  
47 and approaches to help restore the productive value of these lands (Elbersen et al. 2019; Awasthi et al.

1 2017; Chiaramonti and Panoutsou, 2018; Fernando et al. 2018; Rahman et al. 2019; Fritsche et al. 2017;  
2 Næss et al. 2021). In the SRCCL, the presented range for degraded or abandoned land was 32 - 1400  
3 Mha (Jia et al. 2019). Recent regional assessments not included in the SRCCL found up to 69 Mha in  
4 EU-28, 185 Mha in China, 9.5 Mha in Canada, and 127 Mha in the USA (Elbersen et al. 2019; Zhang  
5 et al. 2020; Emery et al. 2017; Liu et al. 2017; Vera et al. 2021). The definition of  
6 marginal/abandoned/degraded land, and the methods used to assess such lands remain inconsistent  
7 across studies (Jiang et al. 2019), causing large variation amongst them (Jiang et al. 2021). Furthermore,  
8 the availability of such lands has been contested since they may serve other functions (subsistence,  
9 biodiversity protection, etc.) (Baka 2014).

10

11 **[START BOX 7.7 HERE]**

12

**Box 7.7 Climate change mitigation value of bioenergy and BECCS**

13 Besides emissions, and possible avoided emissions, related to the supply chain, the GHG effects of  
14 using bioenergy depend on: (i) change in GHG emissions when bioenergy substitutes another energy  
15 source; and (ii) how the associated land use and possible land use change influence the amount of carbon  
16 that is stored in vegetation and (Calvin et al. 2021) soils over time. Studies arrive at varying mitigation  
17 potentials for bioenergy and BECCS due to the large diversity of bioenergy systems, and varying  
18 conditions concerning where and how they are deployed (Cowie et al. 2021; Elshout 2015; Harper et al  
19 2018; Kalt et al 2019; Muri 2018; Brandão et al. 2019; Buchspies et al. 2020; Calvin et al. 2021).  
20 Important factors include feedstock type, land management practice, energy conversion efficiency, type  
21 of bioenergy product (and possible co-product), emissions intensity of the products being displaced,  
22 and the land use/cover prior to bioenergy deployment (Zhu et al. 2017; Hanssen et al. 2020; Staples et  
23 al. 2017; Daioglou et al. 2017; Carvalho et al. 2017; Mouratiadou et al. 2020). Studies arrive at  
24 contrasting conclusions also when similar bioenergy systems and conditions are analysed, due to  
25 different methodologies, assumptions and parameterisation (Harper et al 2018; Kalt et al 2019; Brandão  
26 et al. 2019; Albers et al. 2019; Buchspie et al. 2020; Bessou et al. 2020; Rolls and Forster 2020; Cowie  
27 et al. 2021).

28 Box 7.7 Figure 1 shows missions associated with biomass supply (residues and crops grown on  
29 cropland not needed for food) in 2050, here designated emission-supply curves. The curves are  
30 constructed assuming that additional biomass supply consistently comes from the available  
31 land/biomass resource that has the lowest GHG emissions, i.e., the marginal GHG emissions increase  
32 with increasing biomass use for bioenergy. Net negative emissions indicate cases where biomass  
33 production increases land carbon stocks.

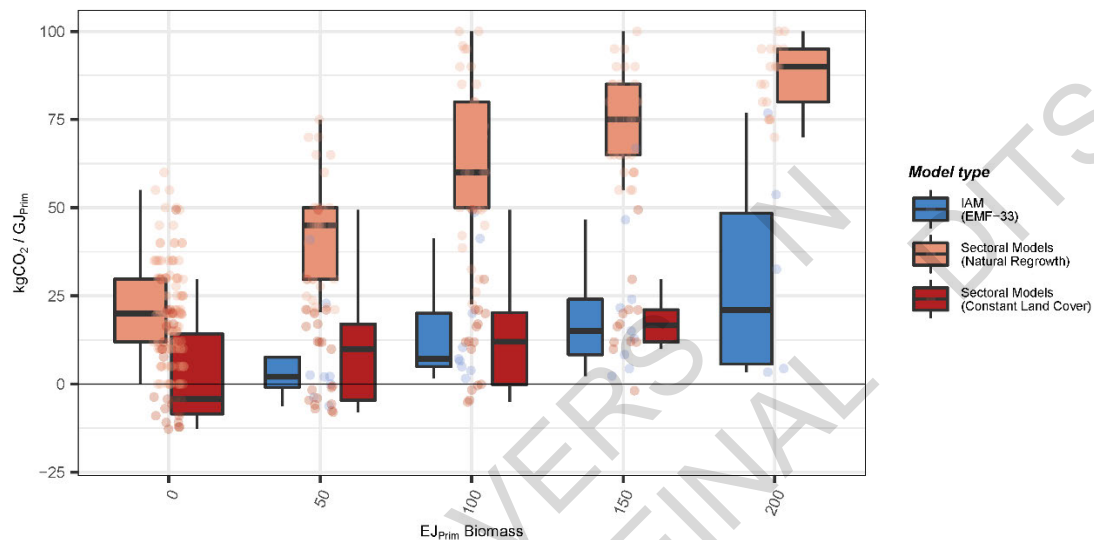
34 One curve (*EMF-33*) is determined from stylised scenarios using IAMs (Rose et al. 2020). One of the  
35 two curves determined from sectoral models, *Constant Land Cover*, reflects supply chain emissions and  
36 changes in land carbon storage caused by the biomass supply system itself. These two curves are  
37 obtained with modelling approaches compatible with the modelling protocol used for the scenarios in  
38 the AR6 database, which accounts for the land-use change and all other GHG emissions along a given  
39 transformation trajectory, enabling assessments of the warming level incurred.

40 The *Natural Regrowth* curve attribute additional “counterfactual emissions” to the bioenergy system,  
41 corresponding to estimated uptake of CO<sub>2</sub> in a counterfactual scenario where land is not used for  
42 bioenergy but instead subject to natural vegetation regrowth. This curve does not show actual emissions  
43 from the bioenergy system, but it provides insights in the mitigation value of the bioenergy option  
44 compared to alternative land-use strategies. To illustrate, if biomass is used instead of a primary energy  
45 source with emission factor 75 kg CO<sub>2</sub> GJ<sup>-1</sup>, and the median values in the *Natural Regrowth* curve are

1 adopted, then the curve indicates that up to about 150 EJ of biomass can be produced and used for  
 2 energy while achieving higher net GHG savings than the alternative to set aside the same land for natural  
 3 vegetation regrowth (assuming same conversion factor).

4 The large ranges in the bars signify the importance of uncertainties and how the biomass is deployed.  
 5 Variation in energy conversion efficiencies and uncertainty about magnitude, timing, and permanence  
 6 of land carbon storage further complicate the comparison. Finally, not shown in Box 7.7 Figure 1, the  
 7 emission-supply curves would be adjusted downwards if displacement of emission intensive energy  
 8 was included or if the bioenergy is combined with CCS to provide CDR.

9



10

11 **Box 7.7, Figure 1 Emissions associated with primary biomass supply in 2050 (residues and crops grown**  
 12 **on cropland not needed for food), as determined from sectoral models (Daioglou et al. 2017; Kalt et al.**  
 13 **2020), and stylised scenarios from the EMF-33 project using Integrated Assessment Models (Rose et al.**  
 14 **2020). All methods include LUC (direct and indirect) emissions. Emissions associated with *Natural***  
 15 ***Regrowth* include counterfactual carbon fluxes (see text). The sectoral models include a more detailed**  
 16 **representation of the emissions, including Life-Cycle emissions from fertiliser production. IAM models**  
 17 **may include economic feedbacks such as intensification as a result of increasing prices. As an indication:**  
 18 **for natural gas the emission factor is around 56, for coal around 95 kg CO<sub>2</sub> GJ<sup>-1</sup>.**

19 [END BOX 7.7 HERE]

20

21 ***Critical assessment and conclusion.*** Recent estimates of technical biomass potentials constrained by  
 22 food security and environmental considerations fall within previous ranges corresponding to *medium*  
 23 *agreement*, (e.g., Turner et al. 2018b; Daioglou et al. 2019; Wu et al. 2019, Hansen et al 2020; Kalt et  
 24 al. 2020) arriving at 4-57 and 46-245 EJ yr<sup>-1</sup> by 2050 for residues and dedicated biomass crops,  
 25 respectively. Based on studies to date, the technical net CDR potential of BECCS (including LUC and  
 26 other supply chain emissions, but excluding energy carrier substitution) by 2050 is 5.9 (0.5-11.3) GtCO<sub>2</sub>  
 27 yr<sup>-1</sup> globally, of which 1.6 (0.5-3.5) GtCO<sub>2</sub> yr<sup>-1</sup> is available at below USD100 tCO<sub>2</sub><sup>-1</sup> (*medium*  
 28 *confidence*) (Figure 7.11) (Lenton 2010; Koornneef et al. 2012; McLaren 2012; Powell and Lenton  
 29 2012; Fuss et al. 2018; Turner et al. 2018a; Hanssen et al. 2020; Roe et al. 2021). The equivalent  
 30 economic potential as derived from IAMs is 1.8 (0.2 - 9.9) GtCO<sub>2</sub> yr<sup>-1</sup> (Table 7.3).

31

1 Technical land availability does not imply that dedicated biomass production for bioenergy and BECCS  
2 is the most effective use of this land for mitigation. Further, implications of deployment for climate  
3 change mitigation and other sustainability criteria are context dependent and influenced by many  
4 factors, including rate and total scale. While governance has a critical influence on outcome, larger  
5 scale and higher expansion rate generally translates into higher risk for negative outcomes for GHG  
6 emissions, biodiversity, food security and a range of other sustainability criteria (Rochedo et al. 2018;  
7 Daioglou et al. 2019; Junginger et al. 2019; Galik et al. 2020; Searchinger 2017; Vaughan et al. 2018;  
8 de Oliveira Garcia et al. 2018; Stenzel et al. 2020).

9 However, literature has also highlighted how the agriculture and forestry sectors may respond to  
10 increasing demand by devising management approaches that enable biomass production for energy in  
11 conjunction with supply of food, construction timber, and other biobased products, providing climate  
12 change mitigation while enabling multiple co-benefits including for nature conservation (Parodi et al.  
13 2018; Springmann et al. 2018; Nabuurs et al. 2017; Rosenzweig et al. 2020; Clark et al. 2020; Favero  
14 et al. 2020; Hanssen et al. 2020; Section 7.4 and Cross-Working Group Box 3 in Chapter 12).

15 Strategies to enhance the benefits of bioenergy and BECCS include (i) management practices that  
16 protect carbon stocks and the productive and adaptive capacity of lands, as well as their environmental  
17 and social functions (van Ittersum et al. 2013, Gerssen-Gondelach et al. 2015; Moreira et al. 2020b) (ii)  
18 supply chains from primary production to final consumption that are well managed and deployed at  
19 appropriate levels (Donnison et al. 2020; Fajardy et al. 2018); and (iii) development of a cross-sectoral  
20 agenda for biobased production within a circular economy, and international cooperation and  
21 governance of global trade in products to maximize synergies while limiting trade-offs concerning  
22 environmental, economic and social outcomes (*very high confidence*) Finally, the technical feasibility  
23 of BECCS depends on investments in and the roll out of advanced bioenergy technologies currently not  
24 widely available (Daioglou et al. 2020b, Baker et al 2015).

## 25 **7.4.5. Demand-side measures**

### 26 **7.4.5.1. Shift to sustainable healthy diets**

27 **Activities, co-benefits, risks and implementation opportunities and barriers.** The term ‘Sustainable  
28 healthy diets’ refers to dietary patterns that ‘promote all dimensions of individuals’ health and  
29 wellbeing; have low environmental pressure and impact; are accessible, affordable, safe and equitable;  
30 and are culturally acceptable’ (FAO and WHO 2019). In addition to climate mitigation gains, a  
31 transition towards more plant-based consumption and reduced consumption of animal-based foods,  
32 particularly from ruminant animals, could reduce pressure on forests and land used for feed, support the  
33 preservation of biodiversity and planetary health (Theurl et al. 2020; FAO 2018c), and contribute to  
34 preventing forms of malnutrition (i.e. undernutrition, micronutrient deficiency, and obesity) in  
35 developing countries (Chapter 12, Section 12.4.). Other co-benefits include lowering the risk of  
36 cardiovascular disease type 2 diabetes, and reducing mortality from diet-related non-communicable  
37 diseases (Toumpanakis et al. 2018; Satija and Hu 2018; Faber et al. 2020; Magkos et al. 2020).  
38 However, transition towards sustainable healthy diets could have adverse impacts on the economic  
39 stability of the agricultural sector (MacDiarmid 2013; Aschemann-Witzel 2015; Van Loo et al. 2017).  
40 Therefore, shifting toward sustainable and healthy diets requires effective food-system oriented reform  
41 policies that integrate agriculture, health, and environment policies to comprehensively address  
42 synergies and conflicts in co-lateral sectors (agriculture, trade, health, environment protection etc.) and  
43 capture spill-over effects (climate change, biodiversity loss, food poverty) (Galli et al. 2020; FAO and  
44 WHO 2019).

45 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
46 **potential, costs, and pathways.** According to the AR5, changes in human diets and consumption



1 patterns can reduce emissions 5.3 to 20.2 GtCO<sub>2</sub>-eq yr<sup>-1</sup> by 2050 from diverted agricultural production  
2 and avoided land-use change (Smith et al. 2014). In the SRCCL, a “contract and converge” model of  
3 transition to sustainable healthy diets was suggested as an effective approach, reducing food  
4 consumption in over-consuming populations and increasing consumption of some food groups in  
5 populations where minimum nutritional needs are not met (Smith et al. 2019b). The total technical  
6 mitigation potential of changes in human diets was estimated as 0.7 - 8 GtCO<sub>2</sub>-eq yr<sup>-1</sup> by 2050 (SRCCL,  
7 Chapter 2 and 6; (Springmann et al. 2016; Hawken 2017; Tilman and Clark 2014), ranging from a 50%  
8 adoption of healthy diets (<60g of animal-based protein) and only accounting for diverted agricultural  
9 production, to the global adoption of a vegetarian diet.

10 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Since the  
11 SRCCL, global studies continue to find high mitigation potential from reducing animal-source foods  
12 and increasing proportions of plant-rich foods in diets. Springmann et al. (2018) estimated that diet  
13 changes in line with global dietary guidelines for total energy intake and consumption of red meat,  
14 sugar, fruits, and vegetables, could reduce GHG emissions by 29% and other environmental impact by  
15 5–9% compared with the baseline in 2050. Poore and Nemecek (2018) revealed that shifting towards  
16 diets that exclude animal-source food could reduce land use by 3.1 billion ha, decrease food-related  
17 GHG emissions by 6.5 GtCO<sub>2</sub>-eq yr<sup>-1</sup>, acidification by 50%, eutrophication by 49%, and freshwater  
18 withdrawals by 19% for a 2010 reference year. Frank et al. (2019) estimated non CO<sub>2</sub> emissions  
19 reductions of 0.4 GtCO<sub>2</sub>-eq yr<sup>-1</sup> at a carbon price of USD100 tCO<sub>2</sub><sup>-1</sup> and 0.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup> at USD20  
20 tCO<sub>2</sub><sup>-1</sup> in 2050 from shifting to lower animal-source diets (430 kcal of livestock calorie intake) in  
21 developed and emerging countries. From a systematic literature review, Ivanova et al. (2020) found  
22 mitigation potentials of 0.4-2.1 tCO<sub>2</sub>-eq capita<sup>-1</sup> for a vegan diet, of 0.01-1.5 for a vegetarian diet, and  
23 of 0.1-2.0 for Mediterranean or similar healthy diet.

24 Regionally, mitigation potentials for shifting towards sustainable healthy diets (50% convergence to  
25 <60g of meat-based protein, only accounting for diverted production) vary across regions. A recent  
26 assessment finds greatest economic (up to USD100 tCO<sub>2</sub><sup>-1</sup>) potential for 2020-2050 in Asia and the  
27 developing Pacific (609 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) followed by Developed Countries (322 MtCO<sub>2</sub>-eq yr<sup>-1</sup>) based  
28 on IPCC AR4 GWP<sub>100</sub> value for CH<sub>4</sub> and N<sub>2</sub>O) (Roe et al. 2021). In the EU, (Latka et al. 2021) found  
29 that moving to healthy diets through price incentives could bring about annual reductions of non-CO<sub>2</sub>  
30 emissions from agriculture of 12-111 MtCO<sub>2</sub>-eq yr<sup>-1</sup>. At the country level, recent studies show that  
31 following National Dietary Guidelines (NDG) would reduce food system GHG emissions by 4–42%,  
32 confer large health gains (1.0–1.5 million quality-adjusted life-years) and lower health care system costs  
33 (NZD 14–20 billion) in New Zealand Drew et al. (2020); reduce 28% of GHG emissions in Argentina  
34 Arrieta and González (2018); about 25% in Portugal Esteve-Llorens et al. (2020) and reduce GHG  
35 emissions, land use and blue water footprint by 15–60% in Spain Batlle-Bayer et al. (2020). In contrast,  
36 Aleksandrowicz et al. (2019) found that meeting healthy dietary guidelines in India required increased  
37 dietary energy intake overall, which slightly increased environmental footprints by about 3–5% across  
38 GHG emissions, blue and green water footprints and land use.

39 **Critical assessment and conclusion.** Shifting to sustainable healthy diets has large potential to achieve  
40 global GHG mitigation targets as well as public health and environmental benefits (*high confidence*).  
41 Based on studies to date, there is *medium confidence* that shifting toward sustainable healthy diets has  
42 a technical potential including savings in the full value chain of 3.6 (0.3-8.0) GtCO<sub>2</sub>-eq yr<sup>-1</sup> of which  
43 2.5 (1.5-3.9) GtCO<sub>2</sub>-eq yr<sup>-1</sup> is considered plausible based on a range of GWP<sub>100</sub> values for CH<sub>4</sub> and N<sub>2</sub>O.  
44 When accounting for diverted agricultural production only, the feasible potential is 1.7 (1 – 2.7) GtCO<sub>2</sub>-  
45 eq yr<sup>-1</sup>. A shift to more sustainable and healthy diets is generally feasible in many regions (*medium*  
46 *confidence*). However, potential varies across regions as diets are location- and community- specific,  
47 and thus may be influenced by local production practices, technical and financial barriers and associated

1 livelihoods, everyday life and behavioural and cultural norms around food consumption (Meybeck and  
2 Gitz 2017; Creutzig et al. 2018; FAO 2018b). Therefore, a transition towards low-GHG emission diets  
3 and achieving their mitigation potential requires a combination of appropriate policies, financial and  
4 non-financial incentives and awareness-raising campaigns to induce changes in consumer behaviour  
5 with potential synergies between climate objectives, health and equity (Rust et al. 2020).

#### 6 **7.4.5.2. Reduce food loss and waste**

7 **Activities, co-benefits, risks and implementation opportunities and barriers.** Food loss and waste  
8 (FLW) refer to the edible parts of plants and animals produced for human consumption that are not  
9 ultimately consumed (UNEP 2021b). Food loss occurs through spoilage, spilling or other unintended  
10 consequences due to limitations in agricultural infrastructure, storage and packaging (Parfitt et al. 2010).  
11 Food waste typically takes place at the distribution (retail and food service) and consumption stages in  
12 the food supply chain and refers to food appropriate for human consumption that is discarded or left to  
13 spoil (HLPE 2014). Options that could reduce FLW include: investing in harvesting and post-harvesting  
14 technologies in developing countries, taxing and other incentives to reduce business and consumer-  
15 level waste in developed countries, mandatory FLW reporting and reduction targets for large food  
16 businesses, regulation of unfair trading practices, and active marketing of cosmetically imperfect  
17 products (van Giesen and de Hooge 2019; Sinclair Taylor et al. 2019). Other studies suggested  
18 providing options of longer-lasting products and behavioural changes (e.g. through information  
19 provision) that cause dietary and consumption change and motivate consumers to actively make  
20 decisions that reduce FLW. Reductions of FLW along the food chain bring a range of benefits beyond  
21 GHG mitigation, including reducing environmental stress (e.g. water and land competition, land  
22 degradation, desertification), safeguarding food security, and reducing poverty (Galford et al. 2020;  
23 Venkat et al. 2020). Additionally, FLW reduction is crucial for achieving SDG 12 which calls for  
24 ensuring ‘sustainable consumption and production patterns’ through lowering per capita global food  
25 waste by 50% at the retail and consumer level and reducing food losses along food supply chains by  
26 2030. In line with these SDG targets it is estimated that reducing FLW can free up several million km<sup>2</sup>  
27 of land (*high confidence*). The interlinkages between reducing FLW and food system sustainability are  
28 discussed in Chapter 12. Recent literature identifies a range of barriers to climate change mitigation  
29 through FLW reduction, which are linked to technological, biophysical, socio-economic, financial and  
30 cultural contexts at regional and local levels (Blok et al. 2020; Vogel and Meyer 2018; Gromko and  
31 Abdurasalova 2019; Rogissart et al. 2019). Examples of these barriers include infrastructural and  
32 capacity limitations, institutional regulations, financial resources, constraining resources (e.g. energy),  
33 information gaps (e.g. with retailers), and consumers’ behaviour (Blok et al. 2020; Gromko and  
34 Abdurasalova 2019).

35 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
36 **potential, costs, and pathways.** In AR5, reduced FLW was considered as a mitigation measure that  
37 could substantially lower emissions, with estimated mitigation potential of 0.6–6.0 GtCO<sub>2</sub>-eq yr<sup>-1</sup> in the  
38 food supply chain (Smith et al. 2014). In the SRCCL, the technical mitigation potential of reducing food  
39 and agricultural waste was estimated at 0.76–4.5 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (SRCCL, Chapter 2 and 6: Bajželj et al.  
40 2014; Dickie et al. 2014b; Hawken 2017).

41 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Since the  
42 SRCCL, there have been very few quantitative estimates of the mitigation potential of FLW reductions.  
43 Evidence suggests that reducing FLW together with overall food intake could have substantial  
44 mitigation potential, equating to an average of 0.3 tCO<sub>2</sub>-eq capita<sup>-1</sup> (Ivanova et al. 2020). Some regional  
45 sectoral studies indicate that reducing FLW in the EU can reduce emissions by 186 MtCO<sub>2</sub>-eq yr<sup>-1</sup>, the  
46 equivalent of around 15% of the environmental impacts (climate, acidification, and eutrophication) of  
47 the entire food value chain (Scherhauser et al. 2018). In the UK, disruptive low-carbon innovations

1 relating to FLW reduction were found to be associated with potential emissions reductions ranging  
2 between 2.6 and 3.6 MtCO<sub>2</sub>-eq (Wilson et al. 2019). Other studies investigated the effect of tax  
3 mechanisms, such as ‘pay as you throw’ for household waste, on the mitigation potential of reducing  
4 FLW. Generally, these mechanisms are recognised as particularly effective in reducing the amount of  
5 waste and increasing the recycling rate of households (Carattini et al. 2018; Rogissart et al. 2019).  
6 Technological FWL mitigation opportunities exist throughout the food supply chain; post-harvest  
7 opportunities for FLW reductions are discussed in Chapter 12. Based on IPCC AR4 GWP<sub>100</sub> values for  
8 CH<sub>4</sub> and N<sub>2</sub>O, greatest economic mitigation potential (up to USD100 tCO<sub>2</sub><sup>-1</sup>) for the period 2020-2050  
9 from FLW reduction is estimated to be in Asia and developing Pacific (192.3 GtCO<sub>2</sub>-eq yr<sup>-1</sup>) followed  
10 by Developed Countries (101.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup>) (Roe et al. 2021). These estimates reflect diverted  
11 agricultural production and do not capture potential from avoided land-use changes.

12 **Critical assessment and conclusion.** There is *medium confidence* that reduced FLW has large global  
13 technical mitigation potential of 2.1 (0.1–5.8) GtCO<sub>2</sub>-eq yr<sup>-1</sup> including savings in the full value chain  
14 and using GWP<sub>100</sub> and a range of IPCC values for CH<sub>4</sub> and N<sub>2</sub>O. Potentials at 3.7 (2.2–5.1) GtCO<sub>2</sub>-eq  
15 yr<sup>-1</sup> are considered plausible. When accounting for diverted agricultural production only, the feasible  
16 potential is 0.5 (0.0–0.9) GtCO<sub>2</sub>-eq yr<sup>-1</sup>. See the section above for the joint land use effects of food  
17 related demand-side measures which increases three-fold when accounting for the land-use effects as  
18 well. But this would overlap with other measures and is therefore not additive. Regionally, FLW  
19 reduction is feasible anywhere but its potential needs to be understood in a wider and changing socio-  
20 cultural context that determines nutrition (*high confidence*).

#### 21 **7.4.5.3. Improved and enhanced use of wood products**

22 **Activities, co-benefits, risks and implementation opportunities and barriers.** The use of wood products  
23 refers to the fate of harvested wood for material uses and includes two distinctly different components  
24 affecting the carbon cycle, including carbon storage in wood products and material substitution. When  
25 harvested wood is used for the manufacture of wood products, carbon remains stored in these products  
26 depending on their end use and lifetime. Carbon storage in wood products can be increased through  
27 enhancing the inflow of products in use, or effectively reducing the outflow of the products after use.  
28 This can be achieved through additional harvest under sustainable management (Pilli et al. 2015;  
29 Johnston and Radeloff 2019), changing the allocation of harvested wood to long-lived wood products  
30 or by increasing products’ lifetime and increasing recycling (Brunet-Navarro et al. 2017; Jasinevičius  
31 et al. 2017; Xu et al. 2018; Xie et al. 2021). Material substitution involves the use of wood for building,  
32 textiles, or other applications instead of other materials (e.g. concrete, steel which consume more energy  
33 to produce) to avoid or reduce emissions associated with the production, use and disposal of those  
34 products it replaces.

35 The benefits and risks of improved and enhanced improved use of wood products are closely linked to  
36 forest management. First of all, the enhanced use of wood products could potentially activate or lead to  
37 improved sustainable forest management that can mitigate and adapt (Verkerk et al. 2020). Secondly,  
38 carbon storage in wood products and the potential for substitution effects can be increased by additional  
39 harvest, but with the risk of decreasing carbon storage in forest biomass when not done sustainably  
40 (Smith et al. 2019b). Conversely, reduced harvest may lead to gains in carbon storage in forest  
41 ecosystems locally, but these gains may be offset through international trade of forest products causing  
42 increased harvesting pressure or even degradation elsewhere (Pendrill et al. 2019b; Kastner et al. 2011;  
43 Kallio and Solberg 2018). There are also environmental impacts associated with the processing,  
44 manufacturing, use and disposal of wood products (Adhikari and Ozarska 2018; Baumgartner 2019).  
45 See Section 9.6.4 of this report for additional discussion on benefits and risks.

1 **Conclusions from AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL); mitigation**  
2 **potential, costs, and pathways.** There is strong evidence at the product level that wood products from  
3 sustainably managed forests are associated with less greenhouse emissions in their production, use and  
4 disposal over their life-time compared to products made from emission-intensive and non-renewable  
5 materials. However, there is still limited understanding of the substitution effects at the level of markets,  
6 countries (Leskinen et al. 2018). AR5 did not report on the mitigation potential of wood products. The  
7 SRCCL (Chapters 2 and 6) finds that some studies indicate significant mitigation potentials for material  
8 substitution, but concludes that the global, technical mitigation potential for material substitution for  
9 construction applications ranges from 0.25-1 GtCO<sub>2</sub>-eq yr<sup>-1</sup> (*medium confidence*) (McLaren 2012;  
10 Miner 2010; Roe et al. 2019).

11 **Developments since AR5 and IPCC Special Reports (SRI.5, SROCCC and SRCCL).** Since the  
12 SRCCL, several studies have examined the mitigation potential of the enhanced and improved use of  
13 wood products. A global forest sector modelling study (Johnston and Radeloff 2019) estimated that  
14 carbon storage in wood products represented a net carbon stock increase of 0.34 GtCO<sub>2</sub>-eq yr<sup>-1</sup> globally  
15 in 2015 and which could provide an average mitigation potential (by increasing the HWP pool) of 0.33–  
16 0.41 GtCO<sub>2</sub>-eq yr<sup>-1</sup> for the period 2020–2050, based on the future socio-economic development (SSP  
17 scenarios) and its effect on the production and consumption of wood products. Traded feedstock  
18 provided another 0.071 GtCO<sub>2</sub> yr<sup>-1</sup> of carbon storage in 2015 and 0.12 GtCO<sub>2</sub> yr<sup>-1</sup> by 2065. These  
19 potentials exclude the effect of material substitution. Another recent study estimated the global  
20 mitigation potential of mid-rise urban buildings designed with engineered wood products at 0.04-3.7  
21 GtCO<sub>2</sub> yr<sup>-1</sup> (Churkina et al. 2020). Another study (Oliver et al. 2014) estimated that using wood to  
22 substitute for concrete and steel as building materials could provide a technical mitigation potential of  
23 0.78-1.73 GtCO<sub>2</sub> yr<sup>-1</sup> achieved through carbon storage in wood products and through material and  
24 energy substitution.

25 The limited availability or absence of estimates of the future mitigation potential of improved use of  
26 wood products for many world regions represents an important knowledge gap, especially with regards  
27 to material substitution effects. At the product level, wood products are often associated with lower  
28 fossil-based emissions from production, use and disposal, compared to products made from emission-  
29 intensive and non-renewable materials (Sathre and O'Connor 2010; Geng et al. 2017; Leskinen et al.  
30 2018).

31 **Critical assessment and conclusion.** Based on studies to date, there is *strong evidence and medium*  
32 *agreement* that the improved use of wood products has a technical potential of 1.0 (0.04–3.7) GtCO<sub>2</sub>-  
33 eq yr<sup>-1</sup> and economic potential of 0.4 (0.3–0.5) GtCO<sub>2</sub>-eq yr<sup>-1</sup>. There is *strong evidence and high*  
34 *agreement* at the product level that material substitution provides on average benefits for climate change  
35 mitigation as wood products are associated with less fossil-based GHG emissions over their lifetime  
36 compared to products made from emission-intensive and non-renewable materials. However, the  
37 evidence at the level of markets or countries is uncertain and fairly limited for many parts of the world.  
38 There is *medium confidence* that material substitution and carbon storage in wood products contribute  
39 to climate change mitigation when also the carbon balances of forest ecosystems are considered of  
40 sustainably managed large areas of forests in medium term. The total future mitigation potential will  
41 depend on the forest system considered, the type of wood products that are produced and substituted  
42 and the assumed production technologies and conversion efficiencies of these products.

43

1

## 2 **7.5. AFOLU Integrated Models and Scenarios**

3 This section assesses the literature and data available on potential future GHG dynamics in the AFOLU  
4 sector, the cost-effectiveness of different mitigation measures, and consequences of climate change  
5 mitigation pathways on land-use dynamics as well as relevant sustainable development indicators at the  
6 regional and global level based on global integrated models.

7 Land-based mitigation options interact and create various trade-offs, and thus need to be assessed  
8 together as well as with mitigation options in other sectors, and in combination with other sustainability  
9 goals (Popp et al. 2014; Obersteiner et al. 2016; Roe et al. 2019; Van Vuuren et al. 2019; Prudhomme  
10 et al. 2020; Strefler et al. 2021). The assessments of individual mitigation measures or sectoral estimates  
11 used to estimate mitigation potential in Section 7.4, when aggregated together, do not account for  
12 interactions and trade-offs. Integrative land-use models (ILMs) combine different land-based mitigation  
13 options and are partially included in Integrated Assessment Models (IAMs) which combine insights  
14 from various disciplines in a single framework and cover the largest sources of anthropogenic GHG  
15 emissions from different sectors. Over time, ILMs and IAMs have extended their system coverage  
16 (Johnson et al. 2019). However, the explicit modelling and analysis of integrated land-use systems is  
17 relatively new compared to other sectoral assessments such as the energy system (Jia et al. 2019).  
18 Consequently, ILMs as well as IAMs differ in their portfolio and representation of land-based  
19 mitigation options, the representation of sustainability goals other than climate action as well as the  
20 interplay with mitigation in other sectors (van Soest et al. 2019; Johnson et al. 2019). These structural  
21 differences have implications for the regional and global deployment of different mitigation options as  
22 well as their sustainability impacts.

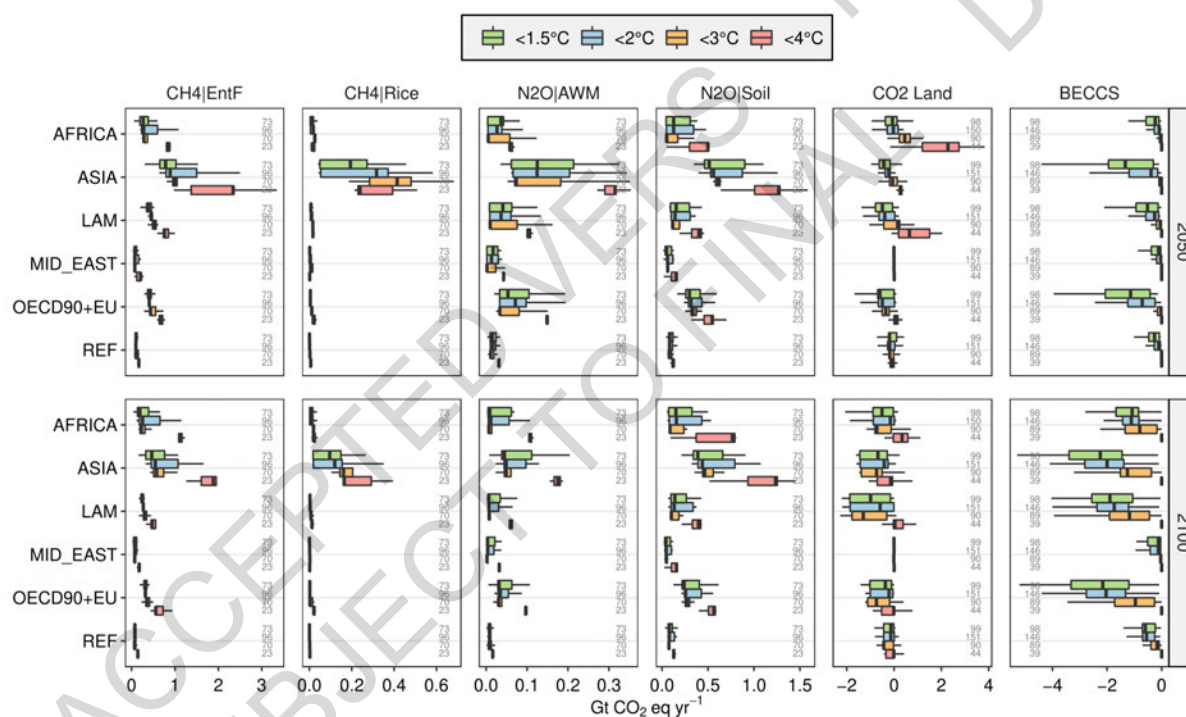
23 As a consequence of the relative novelty of land-based mitigation assessment in ILMs and IAMs, the  
24 portfolio of land-based mitigation options does not cover the full option space as outlined in Section  
25 7.4. The inclusion and detail of a specific mitigation measure differs across models. Land based  
26 mitigation options are only partially included in ILM and IAM analyses, which mostly rely on  
27 afforestation/reforestation and bioenergy with CCS (BECCS). Most ILM and IAM scenarios are based  
28 on the Shared Socio-economic Pathways (SSPs) (Riahi et al. 2017), which is a set of contrasting future  
29 scenarios widely used in the research community such as in the CMIP6 exercise, the SRCCL and the  
30 IPBES global assessment. However, the coverage of land-based mitigation options in these scenarios  
31 is mostly limited to dietary changes, higher efficiency in food processing (especially in livestock  
32 production systems), reduction of food waste, increasing agricultural productivity, methane reductions  
33 in rice paddies, livestock and grazing management for reduced methane emissions from enteric  
34 fermentation, manure management, improvement of N-efficiency, international trade, first generation  
35 of biofuels, avoided deforestation, afforestation, bioenergy and BECCS (Van Meijl et al. 2018; Popp et  
36 al. 2017; Frank et al. 2019). Hence, there are mitigation options not being broadly included in integrated  
37 pathway modelling as soil carbon, forest management, agroforestry or wetland management  
38 (Humpenöder et al. 2020) which have the potential to alter the contribution of land-based mitigation in  
39 terms of timing, potential and sustainability consequences (Frank et al. 2017).

### 40 **7.5.1. Regional GHG emissions and land dynamics**

41 In most of the assessed mitigation pathways, the AFOLU sector is of great importance for climate  
42 change mitigation as it (i) turns from a source into a sink of atmospheric CO<sub>2</sub> due to large-scale  
43 afforestation and reforestation, (ii) provides high amounts of biomass for bioenergy with or without  
44 CCS and (iii), even under improved agricultural management, still causes residual non-CO<sub>2</sub> emissions  
45 from agricultural production and (iv) interplays with sustainability dimensions other than climate action

1 (Popp et al. 2017; Rogelj et al. 2017; Van Vuuren et al. 2018; Frank et al. 2018; van Soest et al. 2019;  
 2 Hasegawa et al. 2018). Regional AFOLU GHG emissions in scenarios with <4°C warming in 2100  
 3 (scenario category C7), as shown in Figure 7.13, are shaped by considerable CH<sub>4</sub> and N<sub>2</sub>O emissions  
 4 throughout 2050 and 2100, mainly from ASIA and AFRICA. CH<sub>4</sub> emissions from enteric fermentation  
 5 are largely caused by ASIA, followed by AFRICA, while CH<sub>4</sub> emissions from paddy rice production  
 6 are almost exclusively caused by ASIA. N<sub>2</sub>O emissions from animal waste management and soils are  
 7 more equally distributed across region.

8 In most regions, CH<sub>4</sub> and N<sub>2</sub>O emission are both lower in mitigation pathways that limit warming to  
 9 <1.5°C, < 2°C and <3°C (C1-C6) compared to scenarios with <4°C (Popp et al. 2017; Rogelj et al.  
 10 2018a). In particular, the reduction of CH<sub>4</sub> emissions from enteric fermentation in ASIA and AFRICA  
 11 is profound. Land-related CO<sub>2</sub> emissions, which include emissions from deforestation as well as  
 12 removals from afforestation, are slightly negative (i.e. AFOLU systems turn into a sink) in <1.5°C, <  
 13 2°C and <3°C mitigation pathways compared to <4°C scenarios. Carbon sequestration via BECCS is  
 14 most prominent in ASIA, LAM, AFRICA and OECD90+EU, which are also the regions with the highest  
 15 bioenergy area.



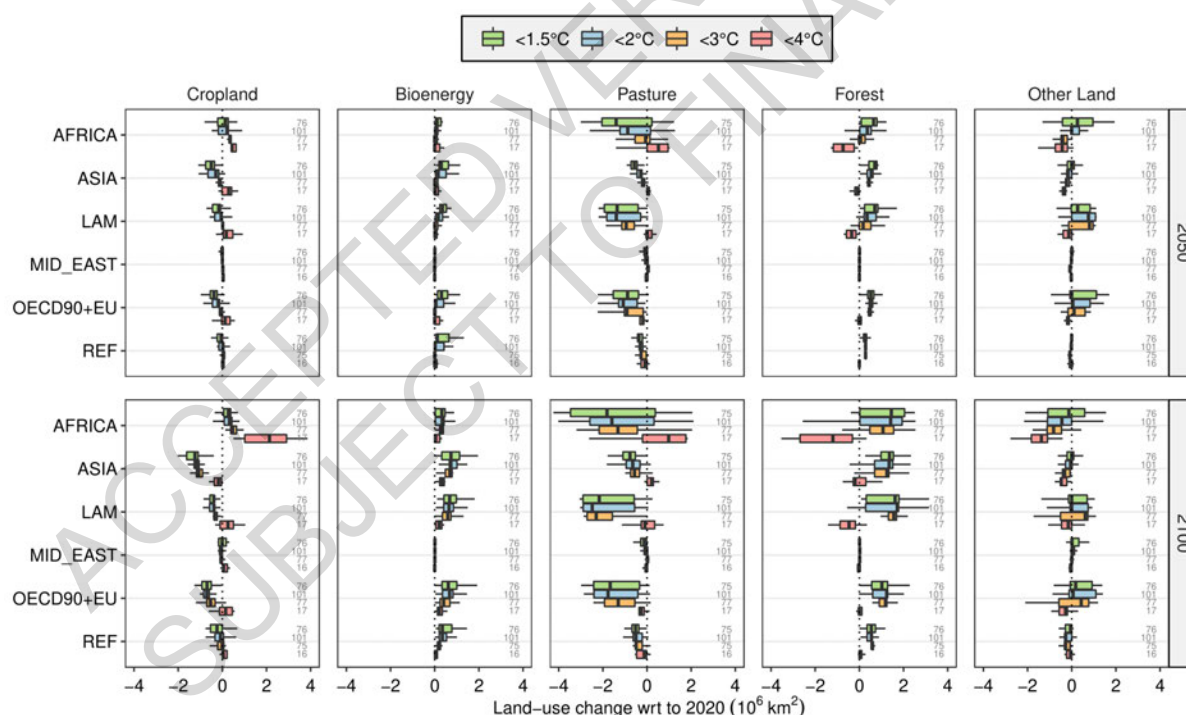
17  
 18  
 19 **Figure 7.13** Land-based regional GHG emissions and removals in 2050 (top) and 2100 (bottom) for  
 20 scenarios from the AR6 Database with <1.5°C (C1, C2), < 2°C (C3, C4), <3°C (C5, C6) and <4°C  
 21 (C7) global warming in 2100 (scenario type is indicated by colour). The categories shown include  
 22 CH<sub>4</sub> emissions from enteric fermentation (EntF) and rice production (Rice), N<sub>2</sub>O emissions from  
 23 animal waste management (AWM) and fertilisation (Soil). The category CO<sub>2</sub> Land includes CO<sub>2</sub>  
 24 emissions from land-use change as well as removals due to afforestation/reforestation. BECCS  
 25 reflects the CO<sub>2</sub> emissions captured from bioenergy use and stored in geological deposits. The  
 26 annual GHG emission data from various models and scenarios is converted to CO<sub>2</sub> equivalents  
 27 using GWP factors of 27 for CH<sub>4</sub> and 273 for N<sub>2</sub>O. The data is summarised in boxplots (Tukey  
 28 style), which show the median (vertical line), the interquartile range (IQR box) and the range of  
 29 values within 1.5 x IQR at either end of the box (horizontal lines) across all models and scenarios.



1           **The number of data points available for each emission category, scenario type, region and year is**  
 2           **shown at the edge of each panel. Regional definitions: AFRICA = Sub-Saharan Africa, ASIA =**  
 3           **Asia, LAM = Latin America and Caribbean, MID\_EAST = Middle East, OECD90+EU = OECD 90**  
 4           **and EU, REF = Reforming Economies of Eastern Europe and the Former Soviet Union.**

6 Figure 7.14 indicates that regional land use dynamics in scenarios with <4°C warming in 2100 are  
 7 characterised by rather static agricultural land (i.e. cropland and pasture) in ASIA, LAM, OECD90+EU  
 8 and REF, and increasing agricultural land in AFRICA. Bioenergy area is relatively small in all regions.  
 9 Agricultural land in AFRICA expands at the cost of forests and other natural land.

10 The overall land dynamics in <1.5°C, < 2°C and <3°C mitigation pathways are shaped by land-  
 11 demanding mitigation options such as bioenergy and afforestation, in addition to the demand for other  
 12 agricultural and forest commodities. Bioenergy production and afforestation take place largely in the  
 13 (partly) tropical regions ASIA, LAM and AFRICA, but also in OECD90+EU. Land for dedicated  
 14 second generation bioenergy crops and afforestation displace agricultural land for food production  
 15 (cropland and pasture) and other natural land. For instance, in the <1.5°C mitigation pathway in ASIA,  
 16 bioenergy and forest area together increase by about 2.1 million km<sup>2</sup> between 2020 and 2100, mostly at  
 17 the cost of cropland and pasture (median values). Such large-scale transformations of land use have  
 18 repercussions on biogeochemical cycles (e.g. fertiliser and water) but also on the economy (e.g. food  
 19 prices) and potential socio-political conditions.



21  
 22  
 23 **Figure 7.14 Regional change of major land cover types by 2050 (top) and 2100 (bottom) relative to**  
 24 **2020 for scenarios from the AR6 Database with <1.5°C (C1, C2), < 2°C (C3, C4), <3°C (C5, C6)**  
 25 **and <4°C (C7) global warming in 2100 (scenario type is indicated by colour). The data is**  
 26 **summarised in boxplots (Tukey style), which show the median (vertical line), the interquartile**  
 27 **range (IQR box) and the range of values within 1.5 x IQR at either end of the box (horizontal lines)**  
 28 **across all models and scenarios. The number of data points available for each land cover type,**



1            **scenario type, region and year is shown at the right edge of each panel. Regional definitions:**  
2            **AFRICA = Sub-Saharan Africa, ASIA = Asia, LAM = Latin America and Caribbean, MID\_EAST**  
3            **= Middle East, OECD90+EU = OECD 90 and EU, REF = Reforming Economies of Eastern Europe**  
4            **and the Former Soviet Union.**

## 6    **7.5.2. Marginal abatement costs according to integrated assessments**

7    In this section, Integrated Assessment Model (IAM) results from the AR6 database are used to derive  
8    marginal abatement costs which indicate the economic mitigation potential for the different gases (N<sub>2</sub>O,  
9    CH<sub>4</sub>, CO<sub>2</sub>) related to the AFOLU sector, at the global level and at the level of five world regions. This  
10   review provides a complementary view on the economic mitigation potentials estimated in Section 7.4  
11   by implicitly taking into account the interlinkages between the land-based mitigation options  
12   themselves as well as the interlinkages with mitigation options in the other sectors such as BECCS. The  
13   review systematically evaluates a range of possible economic potential estimates across gas, time,  
14   and carbon prices.

15   For different models and scenarios from the AR6 database, the amount of mitigated emissions is  
16   presented together with the respective carbon price (Figure 7.15). To determine mitigation potentials,  
17   scenarios are compared to a benchmark scenario which usually assumes business-as-usual trends and  
18   no explicit additional mitigation efforts. Scenarios have been excluded, if they do not have an associated  
19   benchmark scenario or fail the vetting according to the AR6 scenario database, or if they do not report  
20   carbon prices and CO<sub>2</sub> emissions from AFOLU. Scenarios with contradicting assumptions (for example,  
21   fixing some of the emissions to baseline levels) are excluded. Furthermore, only scenarios with  
22   consistent<sup>3</sup> regional and global level results are considered. Mitigation potentials are computed by  
23   subtracting scenario specific emissions and sequestration amounts from their respective benchmark  
24   scenario values. This difference accounts for the mitigation that can be credited to the carbon price  
25   which is applied in a scenario. A few benchmark scenarios, however, apply already low carbon prices.  
26   For consistency reasons, a carbon price that is applied in a benchmark scenario is subtracted from the  
27   respective scenario specific carbon price. This may generate a bias because low carbon prices tend to  
28   have a stronger marginal impact on mitigation than high carbon prices. Scenarios with carbon prices  
29   which become negative due to the correction are not considered. The analysis considers all scenarios  
30   from the AR6 database which pass the criteria and should be considered as an ensemble of opportunity  
31   (Huppmann et al. 2018).

32   This approach is close to integrated assessment marginal abatement cost curves (MACCs) as described  
33   in the literature (Frank et al. 2018 2019; Harmsen et al. 2019; Fujimori et al. 2016) in the sense that it  
34   incorporates besides the technical mitigation options also structural options, as well as behavioural  
35   changes and market feedbacks. Furthermore, indirect emission changes and interactions with other  
36   sectors can be highly relevant (Daioglou et al. 2019; Kalt et al. 2020) and are also accounted for in the  
37   presented potentials. Hereby, some sequestration efforts can occur in other sectors, while leading to less  
38   mitigation in the AFOLU sector. For instance, as an integral part of many scenarios, BECCS  
39   deployment will lead to overall emissions reductions, and even provision of CDR as a result of the  
40   interplay between three direct components i) LULUCF emissions/sinks, ii) reduction of fossil fuel  
41   use/emissions, iii) carbon capture and sequestration. Since the latter two effects can compensate for the  
42   LULUCF effect, BECCS deployment in ambitious stabilisation scenarios may lead to reduced

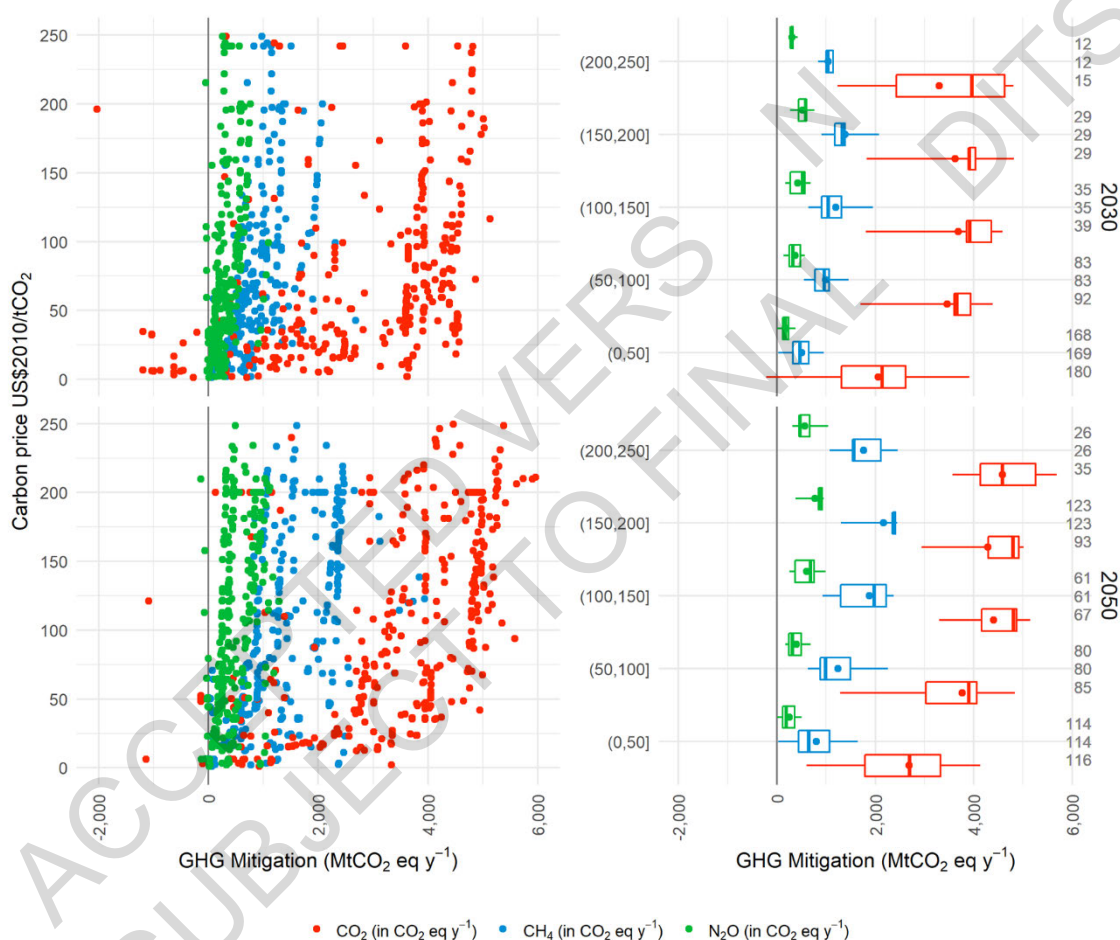
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FOOTNOTE: <sup>3</sup> Scenarios are considered consistent between global and regional results (based on R5 regions), if the sum of regional emissions (or sequestration efforts) does not deviate more than 10% from the reported global total. To take into account that small absolute values have a higher sensitivity, a deviation of 90% is allowed for absolute values below 100.

1 sink/increased emissions in LULUCF (Kalt et al. 2020). The same holds for trade-offs between carbon  
 2 sequestration in forests versus harvested wood products both for enhancing the HWP pool and for  
 3 material substitution. The strengths of the competition between biomass use and carbon sequestration  
 4 will depend on the biomass feedstocks considered (Lauri et al. 2019).

5 In the individual cases, the accounting of all these effects is dependent on the respective underlying  
 6 model and its coverage of inter-relations of different sectors and sub-sectors. The presented potentials  
 7 cover a wide range of models, and additionally, a wide range of background assumptions on macro-  
 8 economic, technical, and behavioural developments as well as policies, which the models have been  
 9 fed with. Subsequently, the range of the resulting marginal abatement costs is relatively wide, showing  
 10 the full range of expected contributions from land use sector mitigation and sequestration in applied  
 11 mitigation pathways.

12



13

14 **Figure 7.15 Mitigation of CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O emissions (in CO<sub>2</sub>-eq yr<sup>-1</sup> using IPCC AR6 GWP<sub>100</sub> values)**  
 15 **from the AFOLU sector for increasing carbon price levels for 2030 and 2050. In the left side panels, single**  
 16 **data points are generated by comparing emissions between a policy scenario and a related benchmark**  
 17 **scenario, and mapping these differences with the respective carbon price difference. Plots only show the**  
 18 **price range of up to USD(2010)250 tCO<sub>2</sub>-eq<sup>-1</sup> and the mitigation range between -2,000 and 6,000 MtCO<sub>2</sub>-**  
 19 **eq yr<sup>-1</sup> for better visibility. At the right-hand side, based on the same data as left-hand side panels,**  
 20 **Boxplots show Medians (vertical line within the boxes), Means (dots), 33%-66% intervals (Box) and 10%-**  
 21 **90% intervals (horizontal lines). Numbers on the very right indicate the number of observations falling**  
 22 **into the respective price range per variable. A wide range of carbon price induced mitigation options**

1           **(such as technical, structural and behavioural options in the agricultural sector, afforestation,**  
2           **reforestation, natural re-growth or avoided deforestation in the LULUCF sector, *excluding* carbon**  
3           **capture and sequestration from BECCS) are reflected in different scenarios.**

4     At the global level, the analysis of the economic mitigation potentials from N<sub>2</sub>O and CH<sub>4</sub> emissions  
5     from AFOLU (which mainly can be related to agricultural activities) and CO<sub>2</sub> emissions (which mainly  
6     can be related to LULUCF emissions) reveals a relatively good agreement of models and scenarios in  
7     terms of ranking between the gases. On the right-hand side panels of Figure 7.15, only small overlaps  
8     between the ranges (showing the 10-90% intervals of observations) and mainly for lower price levels,  
9     can be observed, despite all differences in underlying model structure and scenario assumptions.

10    N<sub>2</sub>O emissions show the smallest economic potential of the three different gases in 2030 as well as in  
11    2050. The mitigation potential increases until a price range of USD150-200 and to a median value of  
12    around 0.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup> mitigation in 2030 and 0.9 GtCO<sub>2</sub>-eq yr<sup>-1</sup> in 2050, respectively while  
13    afterwards with higher prices the expansion is very limited. Mitigation of CH<sub>4</sub> emissions has a higher  
14    potential, also with increasing mitigation potentials until a price range of USD150-200 in both years,  
15    with median mitigation of around 1.3 GtCO<sub>2</sub>-eq yr<sup>-1</sup> in 2030 and around 2.4 GtCO<sub>2</sub> eq yr<sup>-1</sup> in 2050,  
16    respectively. The highest mitigation potentials are observed for CO<sub>2</sub> but also the highest ranges of  
17    observations among the three gases. In 2030, a median of 4 GtCO<sub>2</sub>-eq yr<sup>-1</sup> mitigation potential is  
18    reported for the price range of USD200–250. In 2050, for the carbon price range of between USD100  
19    and USD200, a median of around 4.8 GtCO<sub>2</sub>-eq yr<sup>-1</sup> can be observed.

20    When compared with the sectoral estimates from Harmsen et al. (2019), the integrated assessment  
21    median potentials are broadly comparable for the N<sub>2</sub>O mitigation potential; Harmsen et al. 2050  
22    mitigation potential at USD125 is 0.6 GtCO<sub>2</sub>-eq yr<sup>-1</sup> while the integrated assessment estimate for the  
23    same price range is 0.7 GtCO<sub>2</sub>-eq yr<sup>-1</sup>. The difference is substantially larger for the CH<sub>4</sub> mitigation  
24    potential; 0.9 GtCO<sub>2</sub>-eq yr<sup>-1</sup> in Harmsen et al. while 2 GtCO<sub>2</sub>-eq yr<sup>-1</sup> the median integrated assessment  
25    estimate. While the Harmsen et al. MACCs consider only technological mitigation options, integrated  
26    assessments typically include also demand side response to the carbon price and GHG efficiency  
27    improvements through structural change and international trade. These additional mitigation options  
28    can represent more than 60% of the total non-CO<sub>2</sub> mitigation potential in the agricultural sector, where  
29    they are more important in the livestock sector, and thus the difference between sectoral and integrated  
30    assessments is more pronounced for the CH<sub>4</sub> emissions (Frank et al. 2019).

31    Economic CO<sub>2</sub> mitigation potentials from land use change and forestry are larger compared to potentials  
32    from non-CO<sub>2</sub> gases, and at the same time reveal high levels of variation in absolute terms. The 66th  
33    percentile in 2050 goes up to 5.2 GtCO<sub>2</sub>-eq yr<sup>-1</sup> mitigation, while the lowest observations are even  
34    negative, indicating higher CO<sub>2</sub> emissions from land use in scenarios with carbon price compared to  
35    scenarios without (counterintuitive dynamics explained below).

36    Land use is at the centre of the interdependencies with other sectors, including energy. Some models  
37    see a strong competition between BECCS deployment with its respective demand for biomass, and CO<sub>2</sub>  
38    mitigation/sequestration potentials in the land sector. Biomass demand may lead to an increase in CO<sub>2</sub>  
39    emissions from land use despite the application of a carbon price when land use expansion for dedicated  
40    biomass production, such as energy plantations, comes from carbon rich land use/land cover  
41    alternatives, or when increased extraction of biomass from existing land uses, such as forest  
42    management, leads to reduction of the carbon sink (Daioglou 2019; Luderer et al. 2018, SI) and can  
43    explain the high variety of observations in some cases. Overall, the large variety of observations shows  
44    a large variety of plausible results, which can go back to different model structures and assumptions,  
45    showing a robust range of plausible outcomes (Kriegler et al. 2015).

### 1 7.5.3. Interaction between mitigation in the AFOLU sector and other SDGs in the 2 context of integrated assessments

3 Besides the level of biomass supply for bioenergy, the adoption of SDGs may also significantly impact  
4 AFOLU emissions and the land use sector's ability for GHG abatement (Frank et al. 2021). Selected  
5 SDGs are found to have positive synergies for AFOLU GHG abatement and to consistently decrease  
6 GHG emissions for both agriculture and forestry, thereby allowing for even more rapid and deeper  
7 emissions cuts. In particular, the decreased consumption of animal products and less food waste  
8 (SDG12), and the protection of high biodiversity ecosystems such as primary forests (SDG15) deliver  
9 high synergies with GHG abatement. On the other hand, protection of highly biodiverse ecosystems  
10 from conversion (SDG15) limits the global biomass potentials for bioenergy (Frank et al. 2021), and  
11 while several forestry measures enhancing woody biomass supply for bioenergy may have synergies  
12 with improving ecosystems conditions, many represent a threat to biodiversity (Camia et al. 2020). See  
13 also Section 7.6.5. and Chapter 17 Section 17.3.3.7, Figure 17.1, Supplementary Material Table 17.1.

14

### 15 7.5.4. Regional AFOLU abatement for different carbon prices



16

17 **Figure 7.16 Regional mitigation efforts for CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O emissions (in CO<sub>2</sub>-eq yr<sup>-1</sup> using IPCC AR6**  
18 **GWP<sub>100</sub> values) from the AFOLU sector for increasing carbon price levels for 2030 and 2050. Underlying**  
19 **datapoints are generated by comparing emissions between a policy scenario and a related benchmark**

1 **scenario, mapping these differences with the respective carbon price differences. Boxplots show Medians**  
2 **(vertical line within the boxes), Means (dots), 33%-66% intervals (box) and 10%-90% intervals**  
3 **(horizontal lines) for respective scenarios of carbon prices implemented in intervals of USD50 from a**  
4 **price of USD0 to USD250. Regions: Asia (ASIA), Latin America and Caribbean (LAM), Middle East**  
5 **(MIDDLE\_EAST), Africa (AFRICA), Developed Countries (OECD 90 and EU) (OECD+EU) and**  
6 **Reforming Economies of Eastern Europe and the Former Soviet Union (REF).**

7 At the regional level (Figure 7.16), the highest potential from non-CO<sub>2</sub> emissions abatement, and mostly  
8 from CH<sub>4</sub>, is reported for ASIA with the median of mitigation potential observations from CH<sub>4</sub>  
9 increasing up to a price of USD200 in the year 2050, reaching a median of 1.2 GtCO<sub>2</sub>-eq yr<sup>-1</sup>. In terms  
10 of economic potential, ASIA is followed by LAM, AFRICA, and OECD+EU, where emission reduction  
11 mainly is achieved in the livestock sector.

12 The highest potentials from land-related CO<sub>2</sub> emissions, including avoided deforestation as well as  
13 afforestation, can be observed in LAM and AFRICA with strong responses of mitigation (indicated by  
14 the median value) to carbon prices mainly in the lower range of displayed carbon prices. In general,  
15 CO<sub>2</sub> mitigation potentials show a wide range of results in comparison to non-CO<sub>2</sub> mitigation potentials,  
16 but mostly also a higher median value. The most extreme ranges are reported for the regions LAM and  
17 AFRICA. A medium potential is reported for ASIA and OECD+EU, while REF has the smallest  
18 potential according to model submissions. These estimates reflect techno-economic potentials and do  
19 not necessarily include feasibility constraints which are discussed in Chapter 7 6.

#### 20 **7.5.5. Illustrative mitigation pathways**

21 Different mitigation strategies can achieve the net emission reductions that would be required to follow  
22 a pathway limiting global warming, with very different consequences for the land system. Figure 7.17  
23 shows Illustrative Mitigation Pathways (IMPs) for achieving different climate targets highlighting  
24 AFOLU mitigation strategies, resulting GHG and land use dynamics as well as the interaction with  
25 other sectors. For consistency this chapter discusses IMPs as described in detail in chapters 1 and 3 of  
26 this report but focusing on the land-use sector. All pathways are assessed by different IAM realizations  
27 and do not only reduce GHG emissions but also use CDR options, whereas the amount and timing varies  
28 across pathways, as do the relative contributions of different land-based CDR options.

29 The *scenario ModAct* (below 3.0°C warming, C6) is based on the prolongation of current trends (SSP2)  
30 but contains measures to strengthen policies for the implementation of National Determined  
31 Contributions (NDCs) in all sector including AFOLU (Grassi et al. 2018). This pathway shows a strong  
32 decrease of CO<sub>2</sub> emissions from land use change in 2030, mainly due to reduced deforestation, as well  
33 as moderately decreasing N<sub>2</sub>O and CH<sub>4</sub> emissions from agricultural production due to improved  
34 agricultural management and dietary shifts away from emissions-intensive livestock products.  
35 However in contrast to CO<sub>2</sub> emissions, which turn net-negative around 2050 due to  
36 afforestation/reforestation, CH<sub>4</sub> and N<sub>2</sub>O emissions persist throughout the century due to difficulties of  
37 eliminating these residual emissions based on existing agricultural management methods (Stevanović  
38 et al. 2017; Frank et al. 2017). Comparably small amounts of BECCS are applied by the end of the  
39 century. Forest area increases at the cost of other natural vegetation.

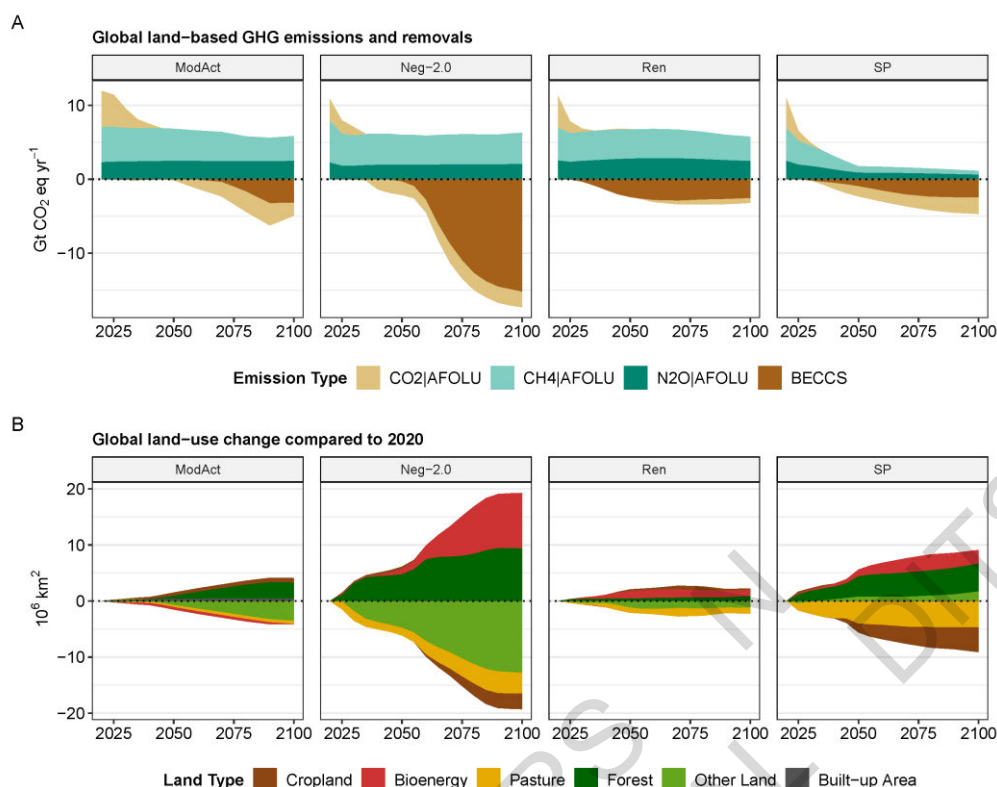
40 *IMP Neg-2.0* is similar to *ModAct* scenario in terms of socio-economic setting (SSP2) but differs  
41 strongly in terms of the mitigation target (likely 2°C, C3) and its strong focus on the supply side of  
42 mitigation measures with strong reliance on net-negative emissions. Consequently, all GHG emission  
43 reductions as well as afforestation/reforestation and BECCS-based CDR start earlier in time at a higher  
44 rate of deployment. However, in contrast to CO<sub>2</sub> emissions, which turn net-negative around 2030 due  
45 to afforestation/reforestation, CH<sub>4</sub> and N<sub>2</sub>O emissions persist throughout the century, similar to  
46 *ModAct*, due to ongoing increasing demand for total calories and animal-based commodities (Bodirsky

1 et al. 2020) and difficulties of eliminating these residual emissions based on existing agricultural  
2 management methods (Stevanović et al. 2017 ; (Frank et al. 2017). In addition to abating land-related  
3 GHG emissions as well as increasing the terrestrial sink, this example also shows the potential  
4 importance of the land sector in providing biomass for BECCS and hence CDR in the energy sector.  
5 Cumulative CDR (2020-2100) amounts to 502 GtCO<sub>2</sub> for BECCS and 121 GtCO<sub>2</sub> for land-use change  
6 (including afforestation and reduced deforestation). In consequence, compared to *ModAct scenario*,  
7 competition for land is increasing and much more other natural land as well as agricultural land  
8 (cropland and pasture land) is converted to forest or bioenergy cropland with potentially severe  
9 consequences for various sustainability dimensions such as biodiversity (Hof et al. 2018) and food  
10 security (Fujimori et al. 2019).

11 *IMP Ren* is similar to *IMP Neg-2.0* in terms of socio-economic setting (SSP2) but differs substantially  
12 in terms of mitigation target and mitigation efforts in the energy sector. Even under the more ambitious  
13 climate change mitigation target (1.5°C with no or low OS, C1), the high share of renewable energy in  
14 *IMP Ren* strongly reduces the need for large-scale land-based CDR, which is reflected in smaller  
15 bioenergy and afforestation areas compared to *IMP Neg-2.0*. However CH<sub>4</sub> and N<sub>2</sub>O emissions from  
16 AFOLU persist throughout the century, similar to *ModAct scenario and IMP Neg-2.0*

17 In contrast to *IMPs Neg-2.0 and Ren*, *IMP SP* (Soergel et al. 2021 1.5°C with no or low OS, C1)  
18 displays a future of generally low resource and energy consumption (including healthy diets with low  
19 animal-calorie shares and low food waste) as well as significant but sustainable agricultural  
20 intensification in combination with high levels of nature protection. This pathway shows a strong near-  
21 term decrease of CO<sub>2</sub> emissions from land-use change, mainly due to reduced deforestation, and in  
22 difference to all other *IMPs* described in this chapter strongly decreasing N<sub>2</sub>O and CH<sub>4</sub> emissions from  
23 agricultural production due to improved agricultural management but also based on dietary shifts away  
24 from emissions-intensive livestock products as well as lower shares of food waste. In consequence,  
25 comparably small amounts of land are needed for land demanding mitigation activities such as BECCS  
26 and afforestation. In particular, the amount of agricultural land converted to bioenergy cropland is  
27 smaller compared to other mitigation pathways. Forest area increases either by regrowth of secondary  
28 vegetation following the abandonment of agricultural land or by afforestation / reforestation at the cost  
29 of agricultural land.

30



1  
2 **Figure 7.17 Evolution and break down of (A) global land-based GHG emissions and removals and (B)**  
3 **global land use dynamics under four Illustrative Mitigation Pathways, which illustrate the differences in**  
4 **timing and magnitude of land-based mitigation approaches including afforestation and BECCS. All**  
5 **pathways are based on different IAM realisations: *ModAct* scenario (below 3.0°C, C6) from IMAGE 3.0;**  
6 ***IMP Neg-2.0* (likely 2°C, C3) from AIM/CGE 2.2; *IMP Ren* (1.5°C with no or low OS, C1) from**  
7 **REMIND-MAgPIE 2.1-4.3; *IMP SP* (1.5°C with no or low OS, C1) from REMIND-MAgPIE 2.1-4.2; In**  
8 **panel A the categories CO<sub>2</sub> Land, CH<sub>4</sub> Land and N<sub>2</sub>O Land include GHG emissions from land-use change**  
9 **and agricultural land use (including emissions related to bioenergy production). In addition, the category**  
10 **CO<sub>2</sub> Land includes removals due to afforestation / reforestation. BECCS reflects the CO<sub>2</sub> emissions**  
11 **captured from bioenergy use and stored in geological deposits. CH<sub>4</sub> and N<sub>2</sub>O emissions are converted to**  
12 **CO<sub>2</sub>-eq using GWP<sub>100</sub> factors of 27 and 273 respectively.**

## 14 7.6. Assessment of economic, social and policy responses

### 15 7.6.1. Retrospective in policy efforts and achieved mitigation within AFOLU

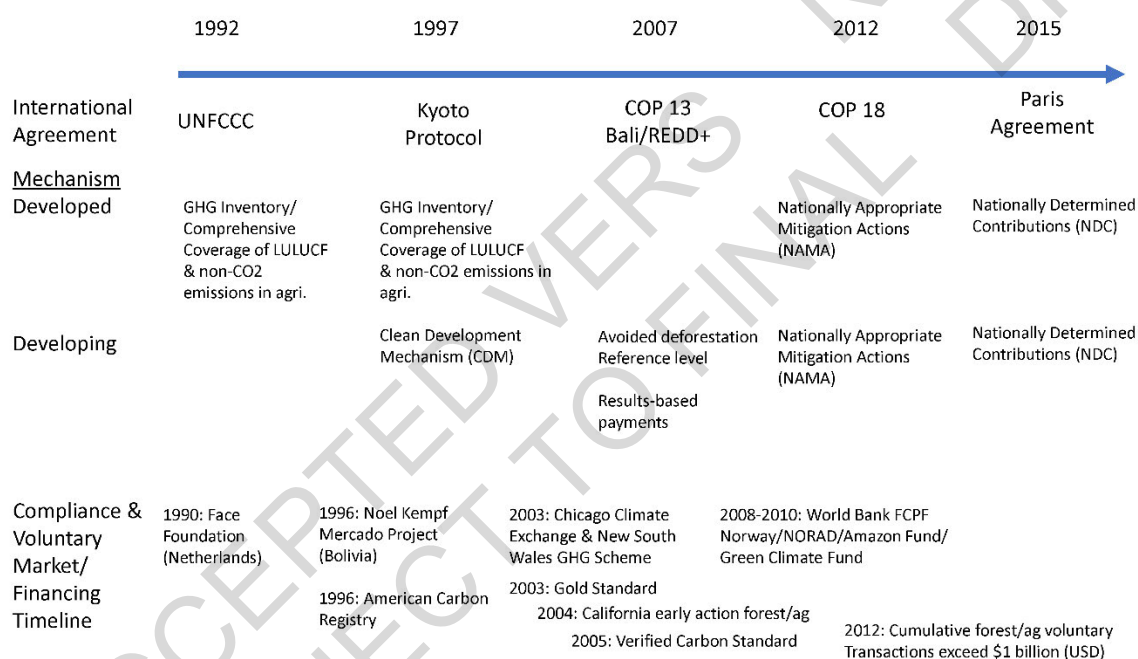
16 Since the establishment of the UNFCCC, international agencies, countries, sub-national units and  
17 NGO's have developed policies to facilitate and encourage GHG mitigation within AFOLU (Figure  
18 7.18). Early guidance and policies focused on developing GHG inventory methodology with some  
19 emphasis on afforestation and reforestation projects, but the Clean Development Mechanism (CDM) in  
20 the Kyoto Protocol focused attention on emission reduction projects, mostly outside of AFOLU. As  
21 successive IPCC WGIII reports illustrated large potential for AFOLU mitigation, methods to quantify  
22 and verify carbon emission reductions emerged within several projects in the early 2000s, through both  
23 voluntary (e.g., the Chicago Climate Exchange (CCX)) and regulated (e.g., New South Wales and  
24 California) markets. The CDM dedicated large attention to LULUCF, including dedicated  
25 methodologies and bodies. The reasons for limited uptake of CDM afforestation/reforestation projects



1 were multiple and not limited to the regulatory constraints, but also due to the low abatement potential  
2 (poor cost/performance ratio) compared to other mitigation opportunities.

3 Following COP 13 in Bali, effort shifted to advancing policies to reduce deforestation and forest  
4 degradation (REDD+) in developing countries. According to Simonet et al. (2019), nearly 65 Mha have  
5 been enrolled in REDD+ type programs or projects funded through a variety of sources, including UN  
6 REDD, the World Bank Forest Carbon Partnership Facility, and bi-lateral agreements between countries  
7 with Norway being the largest donor. While there has been considerable focus on forest and agricultural  
8 project-based mitigation actions, national governments were encouraged to incorporate project-based  
9 approaches with other sectoral strategies in their Nationally Appropriate Mitigation Strategies  
10 (NAMAs) after 2012. NAMAs reflect the country's proposed strategy to reduce net emissions across  
11 various sectors within their economy (e.g. forests or agriculture). More recently, Nationally Determined  
12 Contributions (NDCs) indicate whether individual countries plan to use forestry and agricultural  
13 policies or related projects amongst a set of measures in other sectors, to reduce their net emissions as  
14 part of the Paris Agreement (e.g., Forsell et al. 2016; Fyson and Jeffery 2019).

15



16

17 **Figure 7 18 Milestones in policy development for AFOLU measures.**

18

19 The many protocols now available can be used to quantify the potential mitigation to date resulting  
20 from various projects or programs. For instance, carbon registries issue credits using protocols that  
21 typically account for additionality, permanence and leakage, thus providing evidence that the projects  
22 are a net carbon benefit to the atmosphere. Protocol development engages the scientific community,  
23 project developers, and the public over a multi-year period. Some protocols have been revised multiple  
24 times, such as the USA State of California's forest carbon protocol, which is in its fifth revision, with  
25 the latest in 2019 (see <http://www.climateactionreserve.org/how/protocols/forest/>). Credits from carbon  
26 registries feed into regulatory programs, such as the cap and trade program in California, or voluntary  
27 offset markets (Hamrick and Gallant 2017a). Although AFOLU measures have been deployed across a

1 range of projects and programs globally to reduce net carbon emissions, debate about the net carbon  
2 benefits of some projects continues (e.g. Krug 2018).

3 A new assessment of projects over the last two decades finds emission reductions or offsets of at least  
4 7.9 GtCO<sub>2</sub>-eq (using GWP<sub>100</sub> and a mix of IPCC values for CH<sub>4</sub> and N<sub>2</sub>O) over the last 12 years due to  
5 agricultural and forestry activities (Table 7.4). More than 80% of these emission reductions or offsets  
6 have been generated by forest-based activities. The total amounts to 0.66 GtCO<sub>2</sub> yr<sup>-1</sup> for the period  
7 2010-2019, which is 1.2% of total global, and 5.5% of AFOLU emissions reported in Table 7.1, over  
8 the same time period (*high confidence*).

9 The array of activities in Table 7.4 includes the Clean Development Mechanism, REDD+ activities  
10 reported in technical annexes of country biennial update reports to the UNFCCC, voluntary market  
11 transactions, and carbon stored as a result of carbon markets in Australia, New Zealand and California  
12 in the USA. Although other countries and sub-national units have developed programs and policies,  
13 these three regions are presented due to their focus on forest and agricultural carbon mitigation, their  
14 use of generally accepted protocols or measures and the availability of data to quantify outcomes

15 The largest share of emission reductions or carbon offsets in Table 7.4 has been from slowing  
16 deforestation and REDD+, specifically from efforts in Brazil (86% of total), which substantially  
17 reduced deforestation rates between 2004 and 2012 (Nepstad et al., 2014), as well as other countries in  
18 Latin America. With the exception of Roopsind et al. (2019), estimated reductions in carbon emissions  
19 from REDD+ in Table 7.4 are measured relative to a historical baseline. As noted in Brazil's Third  
20 Biennial Update Report (Ministry of Foreign Affairs 2019), estimates are made in accordance with  
21 established methodologies to determine the benefits of results-based REDD+ payments to Brazil.  
22 REDD+ estimates from other countries also have been derived from biennial update reports.

23 Regulatory markets provide the next largest share of carbon removal to date. Data from the Australia  
24 Emissions Reduction Fund is an estimate of carbon credits in agriculture and forestry purchased by the  
25 Australian government. In the case of California, offset credits from forest and agricultural activities,  
26 using methods approved by a third-party certification authority (Climate Action Reserve), have been  
27 allowed as part of their state wide cap and trade system. Transaction prices for forest and agricultural  
28 credits in California were around USD13 tCO<sub>2</sub><sup>-1</sup> in 2018, and represented 7.4% of total market  
29 compliance. By the end of 2018, 80 MtCO<sub>2</sub> had been used for compliance purposes.

30 For New Zealand the carbon reduction in Table 7.4 represents forest removals that were surrendered  
31 from post-1989 forests between 2008 and the 2020. Unlike offsets in voluntary markets or in California,  
32 where permanence involves long-term contracts or insurance pools, forests in the New Zealand market  
33 liable for emissions when harvested or following land use change. This means sellers account for future  
34 emission risks related to harvesting when they enter forests into carbon contracts. Offset prices were  
35 around USD13 tCO<sub>2</sub><sup>-1</sup> in 2016 but have risen to more than USD20 tCO<sub>2</sub><sup>-1</sup> in 2020.

36 The voluntary market data in Table 7.4 are offsets developed under the major standard-setting  
37 organizations and issued from 2008-2018 (e.g., Hamrick and Gallant 2018). Note that there is some  
38 potential for double counting of voluntary offsets that may have been transacted in the California  
39 compliance market, however this would only have applied to transactions of US-issued offsets, and the  
40 largest share of annual transactions of voluntary AFOLU credits occurs with credits generated in Latin  
41 America, followed by Africa, Asia and North America. Europe and Oceania have few voluntary carbon  
42 market transactions. Within forestry and agriculture, most of the voluntary offsets were generated by  
43 forestry projects. Using historical transaction data from various *Forest Trends* reports, the offsets  
44 generated were valued at USD46.9 million yr<sup>-1</sup>. Prices for voluntary offset transactions in the period  
45 2014-2016 ranged from USD4.90 to 5.40 tCO<sub>2</sub><sup>-1</sup> (Hamrick and Gallant 2017a).

1 Voluntary finance has amounted to USD0.5 billion over a 10-year period for development of forest and  
 2 agricultural credits. The three regulatory markets quantified amount to USD2.7 billion in funding from  
 3 2010 to 2019. For the most part, this funding has focused on forest projects and programs, with  
 4 agricultural projects accounting for 5-10% of the total. In total, reported funding for AFOLU projects  
 5 and programs has been USD4.4 billion over the past decade, or about USD569 million yr<sup>-1</sup> (*low*  
 6 *confidence*). The largest share of the total carbon includes efforts in the Amazon by Brazil. Government  
 7 expenditures on regulatory programs and business expenditures on voluntary programs in Brazil (e.g.,  
 8 the soy or cattle moratoriums) were not included in financing estimates due to difficulties obtaining that  
 9 data. If Brazil and CDM (for which we have no cost estimates) are left out of the calculation, average  
 10 cost per ton has been USD3.20 tCO<sub>2</sub><sup>-1</sup>.

11  
 12 **Table 7.4 Estimates of achieved emission offsets or reductions in AFOLU through 2018. Data include**  
 13 **CDM, voluntary carbon standards, compliance markets, and reduced deforestation from official**  
 14 **UNFCCC reports. Carbon sequestration due to other government policies not included**

Fund / Mechanism	Total Emission Reductions or Offsets (Mt CO <sub>2</sub> -eq)	Time Frame	Mt CO <sub>2</sub> -eq yr <sup>-1</sup>	Financing (Million USD yr <sup>-1</sup> )
CDM-forest <sup>a</sup>	11.3	2007-2015	1.3	-
CDM-agriculture <sup>a</sup>	21.8	2007-2015	2.4	-
REDD + (Guyana) <sup>b</sup>	12.8	2010-2015	2.1	33.0
Reduced Deforestation/ REDD + Brazil <sup>c</sup>	6,894.5	2006-2017	574.5	49.2
REDD + Indonesia <sup>c</sup>	244.9	2013-2017	49.0	13.4
REDD + Argentina	165.2	2014-2015	55.1	1.4
REDD + Others <sup>c</sup>	211.8	2010-2017	26.5	46.0
Voluntary Market <sup>d</sup>	95.3	2009-2018	9.5	46.9
Australia ERF <sup>e</sup>	33.7	2012-2018	4.8	50.5
California <sup>f</sup>	122.2	2013-2018	20.4	227.1
New Zealand Carbon Trading	83.9	2010-2019	8.4	101.7
<b>Total</b>	<b>7,897.4</b>	<b>2007-2018</b>	<b>658.1 <sup>g</sup></b>	<b>569.1</b>

15 <sup>a</sup> Clean Development Mechanism Registry: <https://cdm.unfccc.int/Registry/index.html> (accessed  
 16 22/06/2021)

17 <sup>b</sup> Roopsind et al. 2019.

18 <sup>c</sup> UNFCCC REDD+ Web Platform (<https://redd.unfccc.int/submissions.html>) and UNFCCC Biennial Update  
 19 Report database (<https://unfccc.int/BURS>)

20 <sup>d</sup> (Hamrick and Gallant 2017a). State of Forest Carbon Finance. Forest Trends Ecosystem Marketplace.  
 21 Washington, DC.

<sup>e</sup> Data for Australia carbon credit units (ACCUs) from Australia Emission Reduction Fund Registry for forest agricultural and savanna practices through FY2018/19 (downloaded on 24/10/2019): (<http://www.cleanenergyregulator.gov.au/ERF/project-and-contracts-registers/project-register>).

<sup>f</sup> Data from the California Air Resources Board Offset Issuance registry (<https://ww2.arb.ca.gov/our-work/programs/compliance-offset-program>) for forestry and agricultural early action and compliance credits.

<sup>g</sup> Surrendered forest carbon credits from post-1989 forests in New Zealand. Obtained from New Zealand Environmental Protection Authority. ETS Unit Movement interactive report (Excel based). <https://www.epa.govt.nz/industry-areas/emissions-trading-scheme/ets-reports/unit-movement/>. Obtained 13/08/2020.<sup>8</sup> All non-CO<sub>2</sub> gases are converted to CO<sub>2</sub>-eq using IPCC GWP<sub>100</sub> values recommended at the time the project achieved approval by the relevant organisation or agency.

The large number of policy approaches described in Table 7.4 combined with efforts by other international actors, such as the Global Environmental Facility (GEF), as well as non-state actors (e.g., eco-labelling programs and corporate social responsibility initiatives) illustrate significant policy experimentation over the last several decades. Despite widespread effort, AFOLU measures have thus far failed to achieve the large potential for climate mitigation described in earlier IPCC WG III reports (*high confidence*). The limited gains from AFOLU to date appear largely to result from lack of investment and other institutional and social barriers, rather than methodological concerns (*high confidence*).

## 7.6.2. Review of observed policies and policy instruments

### 7.6.2.1. Economic incentives

**Emissions Trading/Carbon Taxes.** While emissions trading programs have been developed across the globe, forest and agriculture have not been included as part of the cap in any of the existing systems. However, offsets from forestry and agriculture have been included in several of the trading programs. New Zealand has a hybrid program where carbon storage in forests can be voluntarily entered into the carbon trading program, but once entered forests are counted both as a sink for carbon if net gains are positive, and a source when harvesting occurs. New Zealand is considering rules to include agricultural GHG emissions under a future cap (Henderson et al. 2020; see: <https://www.agmatters.nz/topics/he-waka-eke-noa/>).

The state of California has developed a formal cap and trade program that allows a limited number of forest and agricultural offset credits to be used under the cap. All offsets must meet protocols to account for additionality, permanence and leakage. Forest projects used as offsets in California currently are located in the USA, but the California Air Resources Board adopted a tropical forest carbon standard, allowing for avoided deforestation projects from outside the USA to enter the California market (CARB 2019).

Canadian provinces have developed a range of policy options that can include carbon offsets. Quebec has an emissions trading program that plans to allow forest and agricultural offsets generated within the province to be utilised. Alberta also allows offsets to be utilised by regulated sectors while British Columbia allows offsets to be utilised by the government for its carbon neutrality goals (Government of Alberta, 2021). Over 20 countries and regions have adopted explicit carbon taxes on carbon emission sources and fossil fuels, however, the charges have not been applied to non-CO<sub>2</sub> agricultural emissions (OECD 2021a). California may implement regulations on methane emissions from cattle, however, regulations if approved, will not go into effect until 2024. Institutional and trade-related barriers (e.g., leakage) likely will limit widespread implementation of taxes on emissions in the food sector globally. Many countries exempt purchases of fuels used in agricultural or fishery production from fuel or carbon taxes, thus lowering the effective tax rate imposed on those sectors (OECD 2021a). Furthermore, bioenergy, produced from agricultural products, agricultural waste, and wood is often exempted from

1 explicit carbon taxes. Colombia recently implemented a carbon tax on liquid fuels but allowed  
2 domestically produced forestry credits to offset the tax. Colombia also is in the process of developing  
3 an emissions trading scheme (OECD 2021a).

4 **REDD+/Payment for Ecosystem Services (PES).** PES programs for a variety of ecosystem services  
5 have long been utilised for conservation (e.g. Wunder 2007) and may now be as large as USD42 billion  
6 yr<sup>-1</sup> (Salzman et al. 2018). REDD+ emerged in the early 2000s and is a widely recognized example of  
7 PES program focused on conservation of tropical forests (Table 7.4). However, our summation of  
8 actually paid funds in Table 7.4 is much smaller than what is portrayed by Salzman et al. (2018).  
9 REDD+ may operate at the country level, or for specific programs or forests within a country. As with  
10 other PES programs, REDD+ has evolved towards a results-based program that involves payments that  
11 are conditioned on meeting certain successes or milestones, such as rates of deforestation (Angelsen  
12 2017).

13 A large literature has investigated whether PES programs have successfully protected habitat. Studies  
14 in the USA found limited additionality for programs that encouraged conservation tillage practices, but  
15 stronger additionality for programs that encouraged set-asides for grasslands or forests (Woodward et  
16 al. 2016; Claassen et al. 2018;), although the set-asides led to estimated leakage of 20 up to 100% (Wu  
17 2000; Pfaff and Robalino 2017; Kallio and Solberg 2018). Evidence from the EU similarly suggests  
18 that payments for some agro-environmental practices may be additional, while others are not (Chabé-  
19 Ferret and Subervie 2013). Other studies, in particular in Latin America where many PES programs  
20 have been implemented, have found a wide range of estimates of effectiveness (e.g. Honey-Rosés et al.  
21 2011; Robalino and Pfaff 2013; Mohebalian and Aguilar 2016; Jayachandran et al. 2017; Börner et al.  
22 2017; Alix-Garcia et al. 2015; Robalino et al. 2015; Burivalova et al. 2019). Despite concerns, the  
23 many lessons learned from PES program implementation provide critical information that will help  
24 policymakers refine future PES programs to increase their effectiveness (*medium confidence*).

25 While expectations that carbon-centred REDD+ would be a simple and efficient mechanism for climate  
26 mitigation have not been met (Turnhout et al. 2017; Arts et al. 2019), progress has nonetheless occurred.  
27 Measuring, monitoring and verification systems have been developed and deployed, REDD readiness  
28 programs have improved capacity to implement REDD+ on the ground in over 50 countries, and a  
29 number of countries now have received results-based payments.

30 Empirical evidence that REDD+ funding has slowed deforestation is starting to emerge. Simonet et al.  
31 (2019) showed that a REDD+ project in Brazil reduced deforestation certainly until 2018, while  
32 Roopsind et al. (2019) showed that country-level REDD+ payments to Guyana encouraged reduced  
33 deforestation and increased carbon storage. Although more impact evaluation (IE) analysis needs to be  
34 conducted on REDD+ payments, these studies support the country-level estimates of carbon benefits  
35 from REDD+ shown in Table 7.4. Nearly all of the analysis of PES and REDD+ to date has focused on  
36 the presence or absence of forest cover, with little to no analysis having been conducted on forest  
37 degradation, conservation, or enhancement of forest stocks.

38 **Agro-environmental Subsidy Programs/PES.** Climate policy for agriculture has developed more  
39 slowly than in other sectors due to concerns with food security and livelihoods, political interests, and  
40 difficulties in coordinating diffuse and diverse activities and stakeholders (e.g. nutritional health, rural  
41 development, and biodiversity conservation) (Leahy et al. 2020). However, a review of the National  
42 Adaptation Programme of Action (NAPAs), National Adaptation Plans (NAPs), NAMAs, and NDCs  
43 in the Paris Agreement suggest an increasing focus of policy makers on agriculture and food security.  
44 The vast majority of parties to the Paris Agreement recognise the significant role of agriculture in  
45 supporting a secure sustainable development pathway (Richards and VanWey 2015) with the inclusion  
46 of agriculture mitigation in 103 NDCs from a total of 160 NDC submissions. Livestock is the most



1 frequently cited specific agricultural sub-sector, with mitigation activities generally focusing on  
2 increasing efficiency and productivity.

3 Agriculture is one of the most subsidised industries globally, especially in the European Union and the  
4 USA. While subsidy payments over the last 20 years have shifted modestly to programs designed to  
5 reduce the environmental impact of the agricultural sector, only 15-20% of the more than USD700  
6 billion spent globally on subsidies are green payments (OECD 2021b). Under the Common Agricultural  
7 Policy in the EU, up to 30% of the direct payments to farmers (Pillar 1) have been green payments  
8 (Henderson et al. 2020), including some actions that could increase carbon storage or reduce emissions.  
9 Similarly, at least 30% of the rural development payments (Pillar 2) are used for measures that reduce  
10 environmental impact, including reduction of GHG emissions and carbon storage. There is limited  
11 evidence that these policies contributed to the 20% reduction in GHG emissions from the agricultural  
12 sector in the EU between 1990 and 2018 (Baudrier et al. 2015) and Eurostat 2020).

13 The USA spends USD4 billion yr<sup>-1</sup> on conservation programs, or 12% of net farm income (Department  
14 Of Agriculture 2020). In real terms, this expenditure has remained constant for 15 years, supporting 12  
15 Mha of permanent grass or woodland cover in the Conservation Reserve Program (CRP), which has  
16 increased soil carbon sequestration by 3 tCO<sub>2</sub> ha<sup>-1</sup> yr<sup>-1</sup> (Paustian et al. 2019; Conant et al. 2017), as well  
17 as other practices that could lower net emissions. Gross GHG emissions from the agricultural sector in  
18 the US, however, have increased since 1990 (US-EPA 2020) due to reductions in the area of land in the  
19 US CRP program and changes in crop rotations, both of which caused soil carbon stocks to decline  
20 (US-EPA 2020). When combined with increased non-CO<sub>2</sub> gas emissions the emission intensity of US  
21 agriculture increased from 1.5 to 1.7 tCO<sub>2</sub> ha<sup>-1</sup> betw en 2005 and 2018 (*high confidence*).

22 China has implemented large conservation programs that have influenced carbon stocks. For example,  
23 the Sloping Land Conversion Program, combined with other programs, has increased forest cover and  
24 carbon stocks, reduced erosion and increased other ecosystem services in China in recent years (Ouyang  
25 et al. 2016). Despite increased forest area in China, however, land use change and management  
26 potentially were net contributors to carbon emissions from 1990-2010 (Lai et al. 2016). As part of  
27 Brazil's national strategy, numerous practices to reduce GHG emissions from agriculture, and in  
28 particular from the animal agriculture industry have been subsidized. Estimates by Manzatto et al.  
29 (2020) suggest that the program may have reduced agricultural emissions by 169 MtCO<sub>2</sub> between 2010  
30 and 2020. Given the large technical and economic potential for agroforestry to be deployed in Africa,  
31 subsidy approach s could be deployed along with other polices to enhance carbon through innovative  
32 practices such as regreening (Box 7 10).

### 33 **7.6.2.2. Regulatory approaches**

34 **Regulations** on land use include direct controls on how land is used, zoning, or legally set limits on  
35 converting land from one u e to another. Since the early 2000s, Brazil has deployed various regulatory  
36 measures to slow deforestation, including enforcement of regulations on land use change in the legal  
37 Amazon area. Enforcement of these regulations, among other approaches is credited with encouraging  
38 the large-scale reduction in deforestation and associated carbon emissions after 2004 (Nepstad et al.  
39 2014). Empirical evidence has found that regulations reduced deforestation in Brazil (Arima et al. 2014)  
40 but over time, reversals occurred when enforcement was not consistent (Azevedo et al. 2017) (Box 7.9).

41 Many OECD countries have strong legal frameworks that influence agricultural and forest management  
42 on both public and private land. These include for example, legal requirements to protect endangered  
43 species, implement conservation tillage, protect riparian areas, replant forests after harvest, maintain  
44 historical species composition, forest certification, and other approaches. Increasingly, laws support  
45 more widespread implementation of nature-based solutions for a range of environmental issues (e.g.  
46 see European Commission 2021) The extent to which the combined influence of these regulations has

1 enhanced carbon storage in ecosystems is not quantified although they are likely to explain some of the  
2 persistent carbon sink that has emerged in temperate forests of OECD countries (*high confidence*). In  
3 the least developed and developing countries, regulatory approaches face challenges in part because  
4 environmental issues are a lower priority than many other socioeconomic issues (e.g., poverty,  
5 opportunity, essential services), and weak governance (Mayer Pelicice 2019; Walker et al. 2020); Box  
6 7.2).

7 ***Set asides and protected areas*** have been a widely utilised approach for conservation, and according to  
8 (FAO 2020d), 726 Mha (18%) of forests are in protected areas globally. A review of land sparing and  
9 land sharing policies in developing countries indicated that most of them follow land sparing models,  
10 sometimes in combination with land sharing approaches. However, there is still no clear evidence of  
11 which policy provides the best results for ecosystem services provision, conservation, and livelihoods  
12 (Mertz and Mertens 2017). The literature contains a wide range of results on the effectiveness of  
13 protected areas to reduce deforestation (Burivalova et al. 2019), with studies suggesting that protected  
14 areas provide significant protection of forests (e.g., Blackman 2015), modest protection (Andam et al.  
15 2008), as well as increases in deforestation (Blackman 2015) and possible leakage of harvesting to  
16 elsewhere (Kallio and Solberg 2018). An estimate of the contributions of protected areas to mitigation  
17 between 2000 and 2012, showed that in the tropics, PAs reduced carbon emissions from deforestation  
18 by 4.88 Pg C, or around 29%, when compared to the expected rates of deforestation (Bebber and Butt  
19 2017). In that study, the tropical Americas (368.8 TgC y<sup>-1</sup>) had the largest contribution, followed by  
20 Asia (25.0 TgC y<sup>-1</sup>) and Africa (12.7 TgC y<sup>-1</sup>). The authors concluded that local factors had an  
21 important influence on the effectiveness of protected areas. For example, in the Brazilian Amazon,  
22 protected area effectiveness is affected by the government agency controlling the land (federal  
23 indigenous lands, federal PAs, and state PAs) (Herrera et al. 2019). Because protected areas limit not  
24 just land use change, but also logging or harvesting non-timber forest products, they may be relatively  
25 costly approaches for forest conservation (*medium confidence*).

26 ***Community forest management (CFM)*** allows less intensive use of forest resources, while at the same  
27 time providing carbon benefits by protecting forest cover. Community forest management provides  
28 property rights to communities to manage resources in exchange for their efforts to protect those  
29 resources. In many cases the local communities are indigenous people who otherwise would have  
30 insecure tenure due to an advancing agricultural frontier or mining activity. Other examples are forest  
31 owner associations like those discussed in Box 7.8. According to the Rights and Resources Initiative  
32 (2018), the area of forests under community management increased globally by 152 Mha from 2002 to  
33 2017, with over 500 Mha under community management in 2017. Studies have now shown that  
34 improved property rights with community forest management can reduce deforestation and increase  
35 carbon storage (Bowler et al. 2012; Alix-Garcia et al. 2005; Blackman 2015; Fortmann et al. 2017;  
36 Burivalova et al. 2019; Alix-Garcia 2007; Deininger and Minten 2002). Efforts to expand property  
37 rights, especially community forest management, have reduced carbon emissions from deforestation in  
38 tropical forests in the last two decades (*high confidence*), although the extent of carbon savings has not  
39 been quantified globally.

#### 40 41 [START BOX 7.8 HERE]

#### 42 **Box 7.8 Management of native forests by the Menominee people in North America and lessons** 43 **from forest owner associations**

44 **Summary of the case – Indigenous peoples include more than 5 000 different peoples, with over 370**  
45 **million people, in 70 countries on five continents (UNIPP 2012). Forests cover more than 80% of the**  
46 **area occupied by indigenous peoples (330 million hectares) point to their critical for forest governance**



1 (Garnett et al. 2018; Fa et al. 2020). The Menominee people (Wisconsin, USA) practice sustainable  
2 forestry on their reservation according to a land ethic integral to the tribal identity. The Tribe calls  
3 themselves “The Forest Keepers,” recognizing that the connection of their future to the sustainable  
4 management of the forest that allowed the forest volume standing today to be higher than when timber  
5 harvesting began more than 160 years ago. Management practices are based on continuous forest  
6 inventories (Mausel et al. 2017).

7 **Introduction to the case** - Forest management and timber harvesting operations began shortly after the  
8 Menominee Indian Reservation was created by treaty in 1854. The Menominee reservation sits on ca.  
9 95000 ha of land in Wisconsin that spans multiple forest types and is more diverse than adjacent forests.  
10 The collectively maintained reservation has 87% of its land under sustained yield forestry.

11 **Case description** - The Tribe, in the 19th century, had already mastered vegetation manipulation with  
12 fire, sustainable forestry, multiple-use, ecosystem, and adaptive management. The centerpiece of the  
13 Tribe’s economy has been its forest product industry, Menominee Tribal Enterprises (MTE) (Pecore  
14 1992). A balance between growth and removals and continuous forest inventories (CFI) are central for  
15 forest management for the past 160 years, aiming not at very large volumes, but at very high quality  
16 trees. During this same period, more than 2.3 billion board feet have been harvested from the same area,  
17 equivalent to  $0.3 \text{ m}^3 \text{ ha}^{-1} \text{ y}^{-1}$ .

18 **Interactions and limitations** –In 2013, the Menominee Tribe started a collaboration with the US Forest  
19 Service to implement climate adaptation measures. The Tribe actively works to reduce the risk of forest  
20 damage and decided to further promote diversity by planting tree seedlings adapted to a warming  
21 climate (<https://toolkit.climate.gov/case-studies/and-trees-will-last-forever>). However, new challenges  
22 are related to increasing pressures on forest ecosystem such as non-native insects, pathogens, weed  
23 invasions, and the costs for continuous forest inventories to support long-term forest management.

24 **Identified lessons** - The elements of sustainability are intertwined with Menominee history, culture,  
25 spirituality, and ethics. The balance between the environment, community, and economy for the short  
26 term as well as future generations is an example of protecting the entire environment as the Menominee  
27 land is a non-fragmented remnant of the prehistoric Lake States forest which has been dramatically  
28 reduced all around the reserve (Schubel and Pecore 1997). These and other types of community forest  
29 owner associations exist all over the world. Examples are Södra in Sweden (with 52,000 forest owners)  
30 (Södra, 2021) or Waldbauernverband in North-Rhine Westphalia (with 150,000 forest owners and  
31 covering 585,000 ha) (AGDW-The Forest Owners, 2021). These are ways for small forest owners  
32 to educate, jointly put wood on the market, employ better forest management, use machinery together,  
33 and apply certification jointly. In this manner and with all their diversity of goals, they manage to  
34 maintain carbon sinks and stocks, while preserving biodiversity and producing wood.

35 **[END BOX 7.8 HERE]**

36  
37 **Bioenergy targets.** Multiple policies have been enacted at national and supra-national levels to promote  
38 the use of bioenergy in the transport sector, and for bioelectricity production. Existing policies mandate  
39 or subsidize the production and use of bioenergy. In the past few years, policies have been proposed,  
40 put in place or updated in Australia (Renewable Energy Target), Brazil (RenovaBio, Nationally  
41 Determined Contribution), Canada (Clean Fuel Standard), China (Biodiesel Industrial Development  
42 Policy, Biodiesel Fuel Blend Standard), the European Union (Renewable Energy Directive II), the USA  
43 (Renewable Fuel Standards), Japan (FY2030), Russia (Energy Strategy Bill 2035), India (Revised  
44 National Policy on Biofuels), and South Africa (Biofuels Regulatory Framework).

45 While current policies focus on bioenergy to decarbonise the energy system, some also contain  
46 provisions to minimise the potential environmental and social trade-offs from bioenergy production.  
47 For instance, the EU Renewable Energy Directive (EU-REDII) and US Renewable Energy Standard  
48 (US-RFS) assign caps on the use of biofuels, which are associated with indirect land-use change and

1 food-security concerns. The Netherlands has a stringent set of 36 sustainability criteria to which the  
2 certified biomass needs to comply. The EU-REDII also sets a timeline for the complete phase-out of  
3 high-risk biofuels (Section 7.4.4).

#### 4 **7.6.2.3. Voluntary actions and agreements**

5 **Forest certification programs**, such as Forest Sustainability Council (FSC) or Programme for the  
6 Endorsement of Forest Certification (PEFC), are consumer driven, voluntary programs that influence  
7 timber harvesting practices, and may reduce emissions from forest degradation with reduced impact  
8 logging and other approaches (*medium confidence*). Forest certification has expanded globally to over  
9 440 Mha (Kraxner et al. 2017). As the area of land devoted to certification has increased, the amount  
10 of timber produced from certified land has increased. In 2018, FSC accounted for harvests of 427  
11 million m<sup>3</sup> and jointly FSC and PEFC accounted for 689 million m<sup>3</sup> in 2016 or around 40% of total  
12 industrial wood production (FAO 2018c). There is evidence that reduced impact logging can reduce  
13 carbon losses in tropical regions (Pearson et al. 2014); (Ellis et al. 2019). However, there is conflicting  
14 evidence about whether forest certification reduces deforestation (e.g., Tritsch et al. 2020; Blackman et  
15 al. 2018).

16 **Supply chain management** in the food sector encourages more widespread use of conservation  
17 measures in agriculture (*high confidence*). The number of private commitments to reduce deforestation  
18 from supply chains has greatly increased in recent years, with at least 865 public commitments by 447  
19 producers, processors, traders, manufacturers and retailers as of December, 2020 (New York  
20 Declaration on Forests 2021). Industry partnerships with NGOs, such as the Roundtable on Sustainable  
21 Palm Oil (RSPO), have become more widespread and visible in agricultural production. For example,  
22 RSPO certifies members all along the supply chain for palm oil and claims around 19% of total  
23 production. Similar sustainability efforts exist for many of the world's major agricultural products,  
24 including soybeans, rice, sugar cane, and cattle

25 There is evidence that the Amazon Soy Moratorium (ASM), an industry-NGO effort whereby large  
26 industry consumers agreed voluntarily not to purchase soybeans grown on land deforested after 2006,  
27 reduced deforestation in the legal Amazon (Nepstad et al. 2014; Gibbs et al. 2015). However, recent  
28 studies have shown that some deforestation from the Amazon was displaced to the Cerrado (Brazilian  
29 savannas) region (Moffette and Gibb 2021). which is a global hotspot for biodiversity, and has  
30 significant carbon stocks. These results illustrate the importance of broadening the scope of supply  
31 chain management to minimize or eliminate displacement (Lima et al. 2019). In addition, while  
32 voluntary efforts may improve environmental outcomes for a time, it is not clear that they are sufficient  
33 to deliver long-term reductions in deforestation, given the increases in deforestation that have occurred  
34 in the Amazon in recent years (Box 7.9). Voluntary efforts would be more effective at slowing  
35 deforestation if they present stronger linkages to regulatory or other approaches (Lambin et al. 2018).

36  
37 **[START BOX 7.9 HERE]**

#### 38 **Box 7.9 Case study: Deforestation control in the Brazilian Amazon**

##### 39 **Summary**

40 Between 2000 and 2004, deforestation rates in the Brazilian Legal Amazon (is a socio-geographic  
41 division containing all nine Brazilian states in the Amazon basin) increased from 18,226 to 27,772 km<sup>2</sup>  
42 yr<sup>-1</sup> 2008 (INPE, 2021). A set of public policies designed in participatory process involving federal  
43 government, states, municipalities, and civil society successfully reduced deforestation rates until 2012.  
44 However, deforestation rates increased after 2013, and particularly between 2019 and 2020. Successful

1 deforestation control policies are being negatively affected by changes in environmental governance,  
2 weak law enforcement, and polarisation of the national politics.

### 3 **Background**

4 In 2004, the Brazilian federal government started the Action Plan for Prevention and Control of  
5 Deforestation in the Legal Amazon (PPCDAm) (Ministry of Environment, Government of Brazil,  
6 2018)

7 . The PPCDAm was a benchmark for the articulation of forest conservation policies that included central  
8 and state governments, prosecutor offices, and the civil society. The decline in deforestation after 2008  
9 is mostly attributed to these policy options. In 2012, deforestation rates decreased to 4,571 km<sup>2</sup> yr<sup>-1</sup>.

### 10 **Case description**

11 Combating deforestation was a theme in several programs, government plans, and projects not being  
12 more restricted to the environmental agenda. This broader inclusion resulted from a long process of  
13 insertion and articulation in the government dating back to 2003 while elaborating on the Sustainable  
14 Amazon Plan. In May 2003, a historic meeting took place in an Amazonian city, with the President of  
15 the Republic, State Governors, Ministers, and various business leaders, civil institutions, and social  
16 movements. It was presented and approved the document entitled "Sustainable Amazonia - Guidelines  
17 and Priorities of the Ministry of Environment for the Sustainable Development of the Amazon  
18 Brazilian," containing several guidelines for conservation and sustainable use in the region. At the  
19 meeting, the Union and some states signed a Cooperation Agreement aiming to elaborate a plan for the  
20 Amazon, to be widely discussed with the various sectors of the regional and national society (Ministerio  
21 do Meio Ambiente. MMA 2013).

### 22 **Interactions and limitations**

23 The PPCDAm had three main lines of action: 1. territorial management and land use; 2. command and  
24 control; and 3. promotion of sustainable practices. During the execution of the 1st and 2nd phases of  
25 the PPCDAm (2004-2011), important results in the territorial management and land use component  
26 included, for example, the creation of 25 Mha of federal Protected Areas (PAs) located mainly in front  
27 of the expansion of deforestation, as well as the homologation of 10 Mha of Indigenous Lands. Also,  
28 states and municipalities created approximately 25 Mha, so that all spheres of government contributed  
29 to the expansion of PAs in the Brazilian Amazon. In the Command and Control component, agencies  
30 performed hundreds of inspection operations against illegal activities (e.g., illegal logging) under  
31 strategic planning based on technical and territorial priorities. Besides, there was a significant  
32 improvement of the environmental monitoring systems, involving the analysis of satellite images to  
33 guide actions on the ground. Another policy was the restriction of public credit to enterprises linked to  
34 illegal deforestation following a resolution of the Brazilian Central Bank (2008) (Ministerio do Meio  
35 Ambiente. MMA 2013). Also, in 2008, Brazil created the Amazon Fund, a REDD+ mechanism  
36 (Government of Brazil, n.d).

37 However, the country's political polarisation has gradually eroded environmental governance,  
38 especially after the Brazilian Forest Code changes in 2012 (major environmental law in Brazil), the  
39 presidential impeachment in 2016, presidential elections in 2018, and the start of the new federal  
40 administration in 2019. Successful deforestation control policies are being negatively affected by  
41 critical changes in the political context, and weakening the environmental rule of law, forest  
42 conservation, and sustainable development programs (for example, changes in the Amazon Fund  
43 governance in disagreement with the main donors). In 2019, the annual deforestation rate reached  
44 10,129 km<sup>2</sup> being the first time it surpassed 10,000 km<sup>2</sup> since 2008 (INPE, 2021) . Besides, there has

1 been no effective transition from the historical economic model to a sustainable one. The lack of clarity  
2 in the ownership of land is still a major unresolved issue in the Amazon.

### 3 **Lessons**

4 The reduction of deforestation in the Brazilian Amazon was possible due to effective political and  
5 institutional support for environmental conservation. The initiatives of the Action Plan included the  
6 expansion of the protected areas network (conservation unities and indigenous lands), improvement of  
7 deforestation monitoring to the enforcement of environmental laws, and the use of economic  
8 instruments, for example, by cutting off public credit for municipalities with higher deforestation rates  
9 (Souza et al. 2013; Ricketts et al. 2010; Blackman and Veit 2018; Nepstad et al. 2014; Arima et al.  
10 2014).

11 The array of public policies and social engagement was a historical and legal breakthrough in global  
12 protection. However, the broader political and institutional context and actions to reduce the  
13 representation and independent control of civil society movements in decision-making bodies weaken  
14 this structure with significant increases in deforestation rates, burnings, and forest fires

15 **[END BOX 7.9 HERE]**

16

17 **[START BOX 7.10 HERE]**

18

#### **Box 7.10 Regreening the Sahel, Northern Africa**

### 19 **Case description**

20 More than 200 million trees have regenerated on more than 5 Mha in the Sahel (Sendzimir et al. 2011).  
21 The Maradi/Zinder region of Niger is the epicentre of experimentation and scale up. This vast  
22 geographic extent generates significant mitigation potential despite the relatively modest per unit area  
23 increase in carbon of about 0.4 Mg C ha<sup>-1</sup> a<sup>-1</sup> (Luedeling and Neufeldt 2012). In addition to the carbon  
24 benefits, these agroforestry systems decrease erosion, provide animal fodder, recharge groundwater,  
25 generate nutrition and income benefits and act as safety nets for vulnerable rural households during  
26 climate and other shocks (Bayala et al. 2014, 2015; Binam et al. 2015; Sinare and Gordon 2015; Ilstedt  
27 et al. 2016).

### 28 **Lessons**

29 A mélange of factors contributed to regreening in the Sahel. Increased precipitation, migration,  
30 community development, economic volatility and local policy reform have all likely played a role  
31 (Haglund et al. 2011; Sendzimir et al. 2011; Brandt et al. 2019a; Garrity and Bayala 2019); the easing  
32 of forestry regulations has been particularly critical in giving farmers greater control over the  
33 management and use of trees on their land (Garrity et al. 2010). This policy shift was catalysed by  
34 greater regional autonomy resulting from economic decline and coincided with successful pilots and  
35 NGO-led experimentation, cash-for-work, and training efforts to support changes in land management  
36 (Sendzimir et al. 2011). Participation of farmers in planning and implementation helped align actions  
37 with local knowledge and goals as well as market opportunities.

38 Regreening takes place when dormant seed or tree stumps sprout and are cultivated through the  
39 technique, called Farmer Managed Natural Regeneration (FMNR). Without planting new trees, FMNR  
40 is presumed to be cheaper than other approaches to restoration, though comparative economic analysis  
41 has yet to be conducted (Chomba et al. 2020). Relatively lower investment costs are believed to have  
42 contributed to the replication across the landscape. These factors worked together to contribute to a  
43 groundswell of action that affected rights, access, and use of local resources (Toungiani et al. 2009).

1 Regreening in the Sahel and the consequent transformation of the landscape has resulted from the  
2 actions of hundreds of thousands of individuals responding to social and biophysical signals (Hanan  
3 2018). This is an example for climate change mitigation, where eliminating regulations – versus  
4 increasing them - has led to carbon dioxide removal.

5 **[END BOX 7.10 HERE]**

#### 7 **7.6.2.4. Mitigation Effectiveness: Additionality, Permanence and Leakage**

8 Additionality, permanence and leakage have been widely discussed in the forestry and agricultural  
9 mitigation literature (Murray et al. 2007), including in AR5 (Section 11.3.2 of the WGIII report) and  
10 earlier assessment reports. Since the earlier assessment reports, new studies have emerged to provide  
11 new insights on the effect of these issues on the credibility of forest and agricultural mitigation. This  
12 assessment also provides additional context not considered in earlier assessments.

13 Typically, carbon registries will require that project developers show additionality by illustrating that  
14 the project is not undertaken as a result of a legal requirement, and that the project achieves carbon  
15 reductions above and beyond a business as usual. The protocols developed by the California Air  
16 Resources Board to ensure permanence and additionality are strong standards and may even limit  
17 participation (e.g. Ruseva et al. 2017). The business as usual is defined as past management actions by  
18 the same entity that can be verified. Additionality can thus be observed in the future as a difference  
19 from historical actions. This approach has been used by several countries in their UNFCCC Biennial  
20 Update Reports to establish reductions in carbon emissions from avoided deforestation (e.g., Brazil and  
21 Indonesia).

22 However, alternative statistical approaches have been deployed in the literature to assess additionality  
23 with a quasi-experimental method that rely on developing a counterfactual (e.g. Andam et al. 2008;  
24 Blackman 2015; Fortmann et al. 2017; Roopsind et al. 2019; Sills et al. 2015). In several studies,  
25 additionality in avoided deforestation was established after the project had been developed by  
26 comparing land-use change in treated plots where the policy or program was in effect with land use  
27 change in similar untreated plot. Alternatively synthetic matching statistically compares trends in a  
28 treated region (i.e., a region with a policy) to trends in a region without the policy, and has been applied  
29 in a region in Brazil (e.g. Sills et al. 2015), and at the country level in Guyana (Roopsind et al. 2019).  
30 While these analyses establish that many projects to reduce deforestation have overcome hurdles related  
31 to additionality (*high confidence*), there has not been a systematic assessment of the elements of project  
32 or program design that lead to high levels of additionality. Such assessment could help developers  
33 design projects to better meet additionality criteria.

34 The same experimental methods have been applied to analyse additionality of the adoption of soil  
35 conservation and nutrient management practices in agriculture. Claassen et al. (2018) find that programs  
36 to promote soil conservation are around 50% additional across the USA (i.e. 50% of the land enrolled  
37 in soil conservation programs would not have been enrolled if not for the programme), while Woodward  
38 et al. (2016) find that adoption of conservation tillage is rarely additional. Claassen et al. (2018) find  
39 that payments for nutrient management plans are nearly 100% additional, although there is little  
40 evidence that farmers reduce nutrient inputs when they adopt plans. It is not clear if the same policy  
41 approaches would lead to additionality in other regions.

42 Permanence focuses on the potential for carbon sequestered in offsets to be released in the future due  
43 to natural or anthropogenic disturbances. Most offset registries have strong permanence requirements,  
44 although they vary in their specific requirements. VCS/Verra requires a pool of additional carbon credits  
45 that provides a buffer against inadvertent losses. The Climate Action Reserve (CAR) protocol for forests

1 requires carbon to remain on the site for 100 years. The carbon on the site will be verified at pre-  
2 determined intervals over the life of the project. If carbon is diminished on a given site, the credits for  
3 the site have to be relinquished and the project developer has to use credits from their reserve fund  
4 (either other projects or purchased credits) to make up for the loss. Estimates of leakage in forestry  
5 projects in the AR5 suggest that it can range from 10% to over 90% in the USA (Murray et al. 2004),  
6 and 20-50% in the tropics (Sohngen and Brown 2004) for forest set-asides and reduced harvesting.  
7 Carbon offset protocols have made a variety of assumptions. The Climate Action Reserve (CAR)  
8 assumes it is 20% in the USA. One of the voluntary protocols (Verra) uses specific information about  
9 the location of the project to calculate a location specific leakage factor.

10 More recent literature has developed explicit estimates of leakage based on statistical analysis of carbon  
11 projects or programs. The literature suggests that there are two economic pathways for leakage (e.g.  
12 (Roopsind et al. 2019), either through a shift in output price that occurs when outputs are affected by  
13 the policy or program implementation, as described in (Gan and McCarl 2007; Wear and Murray 2004;  
14 Murray et al. 2004; Sohngen and Brown 2004), or through a shift in input prices and markets, such as  
15 for labour or capital, as analysed in (Alix-Garcia et al. 2012; Andam et al. 2008; Fortmann et al. 2017;  
16 Honey-Rosés et al. 2011). Estimates of leakage through product markets (e.g. timber prices) have  
17 suggested leakage of up to 90% (Sohngen and Brown 2004; Murray et al. 2004; Gan and McCarl 2007;  
18 I. Kallio and Solberg 2018), while studies that consider shifts in input markets are considerably smaller.  
19 The analysis of leakage for the Guyana program by Roopsind et al. (2019) revealed no statistically  
20 significant leakage in Suriname. A key design feature for any program to reduce leakage is to increase  
21 incentives for complementary mitigation policies to be implemented in areas where leakage may occur.  
22 Efforts to continue to draw more forests into carbon policy initiatives will reduce leakage over time  
23 Roopsind et al. (2019), suggesting that if NDCs continue to encompass a broader selection of policies,  
24 measures and forests over time, leakage will decline.

### 25 **7.6.3. Assessment of current policies and potential future approaches**

26 The Paris Agreement encourages a wide range of policy approaches, including REDD+, sustainable  
27 management of forests, joint mitigation and adaptation, and emphasises the importance of non-carbon  
28 benefits and equity for sustainable development (Martius et al. 2016). Around USD 0.7 billion yr<sup>-1</sup> has  
29 been invested in land-based carbon offsets (Table 7.4), but as noted in Streck (2012), there is a large  
30 funding gap between these efforts and the scale of efforts necessary to meet 1.5 or 2.0°C targets outlined  
31 in SR1.5. As Box 7.12 discusses, forestry actions could achieve up to 5.8 GtCO<sub>2</sub> yr<sup>-1</sup> with costs rising  
32 from USD178 billion yr<sup>-1</sup> to USD400 billion yr<sup>-1</sup> by 2050. Over half of this investment is expected to  
33 occur in Latin America, with 13% in SE Asia and 17% in Sub-Saharan Africa (Austin et al. 2020).  
34 Other studies have suggested that similar sized programs are possible, although they do not quantify  
35 total costs (e.g. Griscom et al. 2017; Busch et al. 2019). The currently quantified efforts to reduce net  
36 emissions with forests and agricultural actions are helpful, but society will need to quickly ramp up  
37 investments to achieve carbon sequestration levels consistent with high levels of mitigation. Only 2.5%  
38 of climate mitigation funding goes to land-based mitigation options, an order of magnitude below the  
39 potential proportional contribution (Buchner et al. 2015).

40 To date, there has been significantly less investment in agricultural projects than forestry projects to  
41 reduce net carbon emissions (Table 7.4). For example, the economic potential (available up to USD100  
42 tCO<sub>2</sub><sup>-1</sup>) for soil carbon sequestration in croplands is 1.9 (0.4–6.8) GtCO<sub>2</sub> yr<sup>-1</sup> (Section 7.4.3.1), however,  
43 less than 2% of the carbon in Table 7.4 is derived from soil carbon sequestration projects. While  
44 reductions in CH<sub>4</sub> emissions due to enteric fermentation constitute a large share of potential agricultural  
45 mitigation reported in Section 7.4, agricultural CH<sub>4</sub> emission reductions so far have been relatively  
46 modest compared to forestry sequestration. The protocols to quantify emission reductions in the

1 agricultural sector are available and have been tested, and the main limitation appears to be the lack of  
2 available financing or the unwillingness to re-direct current subsidies (*medium confidence*).

3 Although quantified emission reductions in agricultural projects are limited to date, a number of OECD  
4 and economy in transition parties have reduced their net emissions through carbon storage in cropland  
5 soils since 2000. These reductions in emissions have typically resulted from policy innovations outside  
6 of the climate space, or market trends. For example, in the USA, there has been widespread adoption of  
7 conservation tillage in the last 30 years as a labour-saving crop management technique. In Europe,  
8 agricultural N<sub>2</sub>O and CH<sub>4</sub> emissions have declined due to reductions in nutrient inputs and cattle  
9 numbers (Henderson et al. 2020). These reductions may be attributed to mechanism within the Common  
10 Agricultural Policy (Section 7.6.2.1), but could also be linked to higher nutrient prices in the 2000-2014  
11 period. Other environmental policies could play a role, for example, efforts to reduce water pollution  
12 from phosphorus in The Netherlands, may ultimately reduce cattle numbers, also lowering CH<sub>4</sub>  
13 emissions.

14 Numerous developing countries have established policy efforts to abate agricultural emissions or  
15 increase carbon storage. Brazil, for instance, developed a subsidy program in 2010 to promote  
16 sustainable development in agriculture, and practices that would reduce GHG emissions. Henderson et  
17 al. (2020) report that this program reduced GHG emission in agricultural by up to 170 MtCO<sub>2</sub> between  
18 2010 and 2018. However, the investments in low-carbon agriculture in Brazil amounted only 2% of the  
19 total funds for conventional agriculture in 2019. Programs on deforestation in Brazil had successes and  
20 failures, as described in Box 7.9. Indonesia has engaged in a wide range of programs in the REDD+  
21 space, including a moratorium implemented in 2011 to prevent the conversion of primary forests and  
22 peatlands to oil palm and logging concessions (Henderson et al. 2020) (Tacconi and Muttaqin 2019;  
23 Wijaya et al. 2017). Efforts to restore peatlands and forests have also been undertaken. Indonesia reports  
24 that results based REDD+ programs have been successful and have led to lower rates of deforestation  
25 (Table 7.4).

26 Existing policies focused on GHG management in agriculture and forestry is less advanced in Africa  
27 than in Latin American and Asia, however, Henderson et al. (2020) report on 10 countries in Sub  
28 Saharan Africa that have included explicit policy proposals for reducing AFOLU GHG emissions  
29 through their NDCs. These include efforts to reduce N<sub>2</sub>O emission, increase implementation of  
30 conservation agriculture improve livestock management, and implement forestry and grassland  
31 practices, including agroforestry (Box 7.10). Within several of the NDCs, countries have explicitly  
32 suggested intensification as an approach to reduce emission in the livestock sector. However, it is  
33 important to note caveats associated with pursuing mitigation via intensification (Box 7.11)

34 The agricultural sector throughout the world is influenced by many policies that affect production  
35 practices crop choices and land use. It is difficult to quantify the effect of these policies on reference  
36 level GHG emissions from the sector, as well as the cost estimates presented in Sections 7.4 and 7.5.  
37 The presence of significant subsidy programs intended to improve farmer welfare and rural livelihoods  
38 makes it more difficult to implement regulatory programs aimed at reducing net emissions in  
39 agriculture, however, it may increase the potential to implement new subsidy programs that encourage  
40 practices aimed at reducing net emissions (*medium confidence*). For instance, in the USA, crop  
41 insurance can influence both crop choices and land use (Claassen et al. 2017; Miao et al. 2016), both of  
42 which will affect emission trends. Regulations to limit nutrient applications have not been widely  
43 considered, however, federal subsidy programs have been implemented to encourage farmers to conduct  
44 nutrient management planning.

45  
46 **[START BOX 7.11 HERE]**



## Box 7.11 Sustainable intensification within agriculture: evidence and caveats

### Introduction

Sustainable intensification (SI) has received considerable attention as a suggested means of pursuing increased overall production, reducing associated environmental externalities, and potentially releasing agricultural land for alternative uses, such as forestry or rewilding (Godfray and Garnett 2014; Pretty 2018). The concept was explored within the SRCCL (SRCCL Section 5.6.4.4 and Cross-Chapter Box 6 in Chapter 5; (Mbow et al. 2019)). SI is context specific and dynamic, with no universally prescribed methodology (HLPE 2019). Equal importance is given to enhancing sustainability as to achieving agricultural intensification. The former aspect is often challenging to realise, measure and maintain.

### *The extent of sustainable intensification*

Total global agricultural land area has remained relatively stable while overall production has increased in recent decades (Section 7.3), indicating that agricultural intensification, as judged by production per unit of land (OECD/FAO 2019; Petersen and Snapp 2015) has taken place. Changes in agricultural land use and degradation of natural resources (UN Environment 2019; IPBES 2019) suggests however that not all of this intensification is sustainable. Although agricultural intensification has led to less GHG emissions compared to a scenario where that intensification had not taken place (Burney et al. 2010), absolute agriculture related emissions have continued to increase (Section 7.2). Active pursuit of SI was found to be expanding, with implementation on an increasing area, notably in developing countries (Pretty et al. 2018), yet regional agricultural area expansion at the expense of native habitat also continues in such regions (Section 7.3). Although there are specific examples of SI (Box 7.13) global progress in achieving SI is acknowledged as slow (Cassman and Grassini 2020) with potentially multiple, context specific geophysical and socio-economic barriers to implementation (Silva et al. 2021a; Firbank et al. 2018).

### *Preconditions to ensure sustainable intensification*

*Increasing the total amount of product produced by improving production efficiency (output per unit of input) does not guarantee SI* It will only be successful if increased production efficiency translates into reduced environmental and social impacts as well as increased production. For example, AR5 highlighted a growing emphasis on reducing GHG emissions per unit of product via increasing production efficiency (Smith et al. 2014), but reductions in absolute GHG emissions will only occur when production efficiency increases at a greater rate than the rate at which production increases (Clark et al. 2005).

*Defined indicators are required.* Measurement of SI requires multiple indicators and metrics. It can be assessed at farm, regional or global scales and temporal aspect must be considered. SI may warrant whole system redesign or land reallocation (Garnett et al. 2013; Pretty et al. 2018). Accordingly, there is *high agreement* concerning the need to consider multiple environmental and social outcomes at wider spatial scales, such as catchments or regions (Weltin et al. 2018; Bengochea Paz et al. 2020; Cassman and Grassini 2020). Impacts may be considered in relative terms (per area or product unit), with relationships potentially antagonistic. Both area- and product unit-based metrics are valid, relevant under different contexts and useful in approaching SI, but do not capture overall impacts and trade-offs (Garnett 2014). To reduce the risk of unsustainable intensification, quantitative data and selection of appropriate metrics to identify and guide strategies are paramount (Garnett et al. 2013; Gunton et al. 2016; Cassman and Grassini 2020).

### *Avoiding unsustainable intensification*

1 It is critical that intensification does not drive expansion of unsustainable practices. Increased  
2 productivity with associated economic reward could incentivise and reward agricultural land expansion,  
3 or environmentally and socially damaging practices on existing and former agricultural land (Phalan  
4 2018; Ceddia et al. 2013). Accordingly, coordinated policies are crucial to ensuring desired outcomes  
5 (Reddy et al. 2020; Kassam and Kassam 2020; Godfray and Garnett 2014; ). Barretto et al. (2013) found  
6 that in agriculturally consolidated areas, land-use intensification coincided with either a contraction of  
7 both cropland and pasture areas, or cropland expansion at the expense of pastures, both resulting in a  
8 stable farmed area. In contrast, in agricultural frontier areas, land-use intensification coincided with  
9 expansion of agricultural lands.

10 In conclusion, SI within agriculture is needed given the rising global population and the need to address  
11 multiple environmental and social externalities associated with agricultural activities. However,  
12 implementation requires strong stakeholder engagement, appropriate regulations, rigorous monitoring  
13 and verification and comprehensive outreach and knowledge exchange programmes.

14 **[END BOX 7.11 HERE]**

15  
16 A factor that will influence future carbon storage in so-called land-based reservoirs involves considering  
17 short- and long-term climate benefits, as well as interactions among various natural climate solution  
18 options. The benefits of various natural climate solutions depend on a variety of spatially dependent  
19 issues as well as institutional factors, including their management status (managed or unmanaged  
20 systems), their productivity, opportunity costs, technical difficulty of implementation, local willingness  
21 to consider, property rights and institutions, among other factors. Biomass energy, as described  
22 elsewhere in this chapter and in (Cross-Working Group Box 3 in Chapter 12), is a potential example of  
23 an option with trade-offs that emerge when policies favour one type of mitigation strategy over another.  
24 Bioenergy production needs safeguards to limit negative impacts on carbon stocks on the land base as  
25 is already in place in the EU Renewable Energy Directive and several national schemes in Netherlands,  
26 UK and Denmark. (DeCicco and Schlisinger 2018; (Favero et al. 2020; Buchholz et al. 2016; Khanna  
27 et al. 2017). It is argued that a carbon tax on only fossil fuel derived emissions, may lead to massive  
28 deployment of bioenergy, although the effects of such a policy can be mitigated when combined with  
29 policies that encourage sustainable forest management and protection of forest carbon stocks as well as  
30 forest management certification (Favero et al. 2020 and Nabuurs et al. 2017, Baker et al, 2019) (*high*  
31 *confidence*).

32 If biomass energy production expands and shifts to carbon capture and storage (e.g. BECCS) during the  
33 century, there could be a significant increase in the area of crop and forestland used for biomass energy  
34 production (Sections 7.4 and 7.5). BECCS is not projected to be widely implemented for several  
35 decades but in the meantime, policy efforts to advance land based measures including reforestation and  
36 restoration activities (Strassburg et al. 2020) combined with sustainable management and provision of  
37 agricultural and wood products are widely expected to increase the terrestrial pool of carbon (Cross-  
38 Working Group Box 3 in Chapter 12). Carbon sequestration policies, sustainable land management  
39 (forest and agriculture), and biomass energy policies can be complementary (Favero et al. 2017; Baker  
40 et al. 2019). However, if private markets emerge for biomass and BECCS on the scale suggested in the  
41 SR1.5, policy efforts must ramp up to substantially value, encourage, and protect terrestrial carbon  
42 stocks and ecosystems to avoid outcomes inconsistent with many SDGs (*high confidence*).

#### 43 **7.6.4. Barriers and opportunities for AFOLU mitigation**

44 The AR5 and other assessments have acknowledged many barriers and opportunities to effective  
45 implementation of AFOLU measures. Many of these barriers and opportunities focus on the context in

1 developing countries, where a significant portion of the world's cost-effective mitigation exists, but  
2 where domestic financing for implementation is likely to be limited. The SSPs capture some of this  
3 context, and as a result, IAMs (Section 7.5) exhibit a wide range of land-use outcomes, as well as  
4 mitigation potential. Potential mitigation, however, will be influenced by barriers and opportunities that  
5 are not considered by IAMs or by bottom-up studies reviewed here. For example, more efficient food  
6 production systems, or sustainable intensification within agriculture, and globalised trade could enhance  
7 the extent of natural ecosystems leading to lower GHG emissions from the land system and lower food  
8 prices (Popp et al. 2017), but this (or any) pathway will create new barriers to implementation and  
9 encourage new opportunities, negating potential benefits (Box 7.11). It is critically important to  
10 consider the current context in any country.

#### 11 **7.6.4.1. Socio-economic barriers and opportunities**

12 **Design and coverage of financing mechanisms.** The lack of resources thus far committed to  
13 implementing AFOLU mitigation, income and access to alternative sources of income in rural  
14 households that rely on agriculture or forests for their livelihoods remains a considerable barrier to  
15 adoption of AFOLU (*high confidence*). Section 7.6.1 illustrates that to date only USD0.7 billion yr<sup>-1</sup>  
16 has been spent on AFOLU mitigation, well short of the more than USD400 billion yr<sup>-1</sup> that would be  
17 needed to achieve the economic potential described in Section 7.4. Despite long-term recognition that  
18 AFOLU can play an important role in mitigation, the *economic incentives* necessary to achieve AFOLU  
19 aspirations as part of the Paris Agreement or to maintain temperatures below 2.0 °C have not emerged.  
20 Without quickly ramping up spending, the lack of funding to implement projects remains a substantial  
21 barrier (*high confidence*). Investments are critically important in the livestock sector, which has the  
22 highest emissions reduction potential among options because actions in the sector influence agriculture  
23 specific activities, such as enteric fermentation, as well as deforestation (Mayberry et al. 2019). In many  
24 countries with export-oriented livestock industries, livestock farmers control large swaths of forests or  
25 re-forestable areas. Incentive mechanisms and funding can encourage adoption of mitigation strategies,  
26 but funding is currently too low to make consistent progress.

27 **Scale and accessibility of financing.** The largest share of funding to date has been for REDD+, and  
28 many of the commitments to date suggest that there will be significant funding in this area for the  
29 foreseeable future. Funding for conservation programs in OECD countries and China affects carbon,  
30 but has been driven by other objectives such as water quality and species protection. Considerably less  
31 funding has been available for agricultural projects aimed at reducing carbon emissions, and outside of  
32 voluntary markets, there do not appear to be large sources of funding emerging either through  
33 international organizations, or national programs. In the agricultural sector, funding for carbon must be  
34 obtained by redirecting existing resources from non-GHG conservation to GHG measures, or by  
35 developing new funding streams (Henderson et al. 2020).

36 **Risk and uncertainty.** Most approaches to reduce emissions, especially in agriculture, require new or  
37 different technologies that involve significant time or financial investments by the implementing  
38 landholders. Adoption rates are often slow due to risk aversion among agricultural operators. Many  
39 AFOLU measures require carbon to be compensated to generate positive returns, reducing the  
40 likelihood of implementation without clear financial incentives. Research to show costs and benefits is  
41 lacking in most parts of the world.

42

43 **[START BOX 7.12 HERE]**

44

**Box 7.12 Financing AFOLU mitigation; what are the costs and who pays?**

1 Achieving the large contribution to mitigation that the AFOLU sector can make requires public and  
2 private investment. Austin et al. (2020) estimate that in forestry, USD178 billion yr<sup>-1</sup> is needed over the  
3 next decade to achieve 5 GtCO<sub>2</sub> yr<sup>-1</sup>, and investments need to ramp up to USD400 billion yr<sup>-1</sup> by 2050  
4 to expand effort to 6 GtCO<sub>2</sub> yr<sup>-1</sup>. Other land-based options, such as mangrove protection, peatland  
5 restoration, and agricultural options would increase this total cost estimate, but have smaller to  
6 negligible opportunity costs.

7 Financing needs in AFOLU, and in particular in forestry, include both the direct effects of any changes  
8 in activities – costs of planting or managing trees, net revenues from harvesting, costs of thinning, costs  
9 of fire management, etc. – as well as the opportunity costs associated with land use change. Opportunity  
10 costs are a critical component of AFOLU finance, and must be included in any estimate of the funds  
11 necessary to carry out projects. They are largest, as share of total costs, in forestry because they play a  
12 prominent role in achieving high levels of afforestation, avoided deforestation, and improved forest  
13 management. In case of increasing soil carbon in croplands through reduced tillage, there are often cost  
14 savings associated with increased residues because there is less effort tilling, but the carbon effects per  
15 hectare are also modest. There could, however, be small opportunity costs in cases where residues may  
16 otherwise be marketed to a biorefinery. The effect of reduced tillage on yields varies considerably across  
17 sites and crop types, but tends to enhance yields modestly in the longer run.

18 Opportunity costs are a direct financing costs for activities that require land uses to change. For instance  
19 a government can encourage planting forests on agricultural land by (a) requiring it, (b) setting up a  
20 market or market-based incentives, or (c) buying the land and doing it themselves. In each case, the  
21 required investment is the same – the planting cost plus the net foregone returns of agricultural rents –  
22 even though a different entity pays the cost. Private entities that pay for carbon credits will also bear  
23 the direct costs of planting plus the opportunity costs. In the case of avoided deforestation, opportunity  
24 costs similarly must be paid to individual actors to avoid the deforestation.

25 **[END BOX 7.12 HERE]**

26  
27 **Poverty.** Mitigation and adaptation can have important implications for vulnerable people and  
28 communities, for example, mitigation activities consistent with scenarios examined in the SR1.5 could  
29 raise food and fiber prices globally (Section. 7.5). In the NDCs, 82 Parties included references to social  
30 issues (e.g. poverty, inequality, human well-being, marginalisation), with poverty the most cited factor  
31 (70 Parties). The number of hungry and food insecure people in the world is growing, reaching 821  
32 million in 2017, or one in every nine people (FAO 2018b), and two-thirds live in rural areas (Laborde  
33 Debucquet et al. 2020). Consideration of rural poverty and food insecurity is central in AFOLU  
34 mitigation because there are a large number of farms in the world (about 570 million), and most are  
35 smaller than 2 hectares. It is important to better understand how different mitigation policies affect the  
36 poor.

37 **Cultural values and social acceptance.** Barriers to adoption of AFOLU mitigation will be strongest  
38 where historical practices represent long-standing traditions (*high confidence*). Adoption of new  
39 mitigation practices, however, may proceed quickly if the technologies can be shown to improve crop  
40 yields, reduce costs, or otherwise improve livelihoods (Ranjan 2019). AR6 presents new estimates of  
41 the mitigation potential for shifts in diets and reductions in food waste, but given long-standing dietary  
42 traditions within most cultures, some of the strongest barriers exist for efforts to change diets (*medium*  
43 *confidence*). Furthermore, the large number of undernourished who may benefit from increased calories  
44 and meat will complicate efforts to change diets. Regulatory or tax approaches will face strong  
45 resistance, while efforts to use educational approaches and voluntary measures have limited potential  
46 to slow changes in consumption patterns due to free-riders, rebound effects, and other limitations. Food

1 loss and waste occurs across the supply chain, creating significant challenges to reduce it (FAO 2019c).  
2 Where food loss occurs in the production stage, i.e. in fields at harvest, there may be opportunities to  
3 align reductions in food waste with improved production efficiency, however, adoption of new  
4 production methods often requires new investments or changes in labour practices, both of which are  
5 barriers.

#### 6 **7.6.4.2. Institutional barriers and opportunities**

7 **Transparent and accountable governance.** Good governance and accountability are crucial for  
8 implementation of forest and agriculture mitigation. Effective nature-based mitigation will require  
9 large-scale estimation, modelling, monitoring, reporting and verification of GHG inventories,  
10 mitigation actions, as well as their implications for sustainable development goals and their interactions  
11 with climate change impacts and adaptation. Efforts must be made to integrate the accounting from  
12 projects to the country level. While global datasets have emerged to measure forest loss, at least  
13 temporarily (e.g. Hansen et al., 2013), similar datasets do not yet exist for forest degradation and  
14 agricultural carbon stocks or fluxes. Most developing countries have insufficient capacity to add  
15 research needs, modelling, monitoring, reporting and data requirements (e.g. Ravindranath et al. 2017),  
16 compromising transparency, accuracy, completeness, consistency and comparability

17 Opportunity for political participation of local stakeholders is barrier in most place where forest  
18 ownership rights are not sufficiently documented (Essl et al. 2018). Since incentives for self-  
19 enforcement can have an important influence on deforestation rates (Fortmann et al. 2017), weak  
20 governance and insecure property rights are significant barriers to introduction of forest carbon offset  
21 projects in developing countries, where many of the low cost options for such projects exist (Gren and  
22 Zeleke 2016). Governance challenges exist at all levels of government, with poor coordination,  
23 insufficient information sharing, and concerns over accountability playing a prominent role within  
24 REDD+ projects and programs (Ravikumar et al. 2015). In some cases, governments are increasingly  
25 centralising REDD+ governance and limiting the distribution of governance functions between state  
26 and non-state actors (Zelli et al. 2017; Phelps et al. 2010). Overlap and duplication in FLEGT and  
27 REDD+ also limits governance effectiveness (Gupta et al. 2016).

28 **Clear land tenure and land use rights.** Unclear property rights and tenure insecurity undermine the  
29 incentives to improve forest and agricultural productivity, lead to food insecurity, undermine REDD+  
30 objectives, discourage adoption of farm conservation practices, discourage tree planting and forest  
31 management, and exacerbate conflict between different land users (Sunderlin et al. 2018; Antwi-Agyei  
32 et al. 2015; Borras and Franco 2018; Felker et al. 2017; Riggs et al. 2018; Kansanga and Luginaah  
33 2019). Some positive signs exist as over 500 million hectares of forests have been converted to  
34 community management with clear property rights in the past two decades (Rights and Resources  
35 Initiative 2018), but adoption of forest and agricultural mitigation practices will be limited in large  
36 remaining areas with unclear property rights (Gupta et al. 2016).

37 **Lack of institutional capacity.** Institutional complexity, or lack thereof, represents a major challenge  
38 when implementing large and complex mitigation programs (e.g., REDD+) in agriculture, forest and  
39 other land uses (Bäckstrand et al. 2017). Without sufficient capacity, many synergies between  
40 agricultural and forest programs, or mitigation and adaptation opportunities, may be missed (Duguma  
41 et al. 2014). Another aspect of institutional complexity is the different biophysical and socio-economic  
42 circumstances as well as the public and private financial means involved in the architecture and  
43 implementation of REDD+ and other initiatives (Zelli et al. 2017).

#### 44 **7.6.4.3. Ecological barriers and opportunities**

45 **Availability of land and water.** Climate mitigation scenarios in the two recent special reports (SR1.5C  
46 and SRLCC) that aim to limit global temperature increase to 2°C or less involve carbon dioxide (CO<sub>2</sub>)



1 removal from the atmosphere. To support large-scale CDR, these scenarios involve significant land-use  
2 change, due to afforestation/reforestation, avoided deforestation, and deployment of Biomass Energy  
3 with Carbon Capture and Storage (BECCS). While a considerable amount of land is certainly available  
4 for new forests or new bioenergy crops, that land has current uses that will affect not only the costs, but  
5 also the willingness of current users or owners, to shift uses. Regions with private property rights and a  
6 history of market-based transactions may be the most feasible for land use change or land management  
7 change to occur. Areas with less secure tenure or a land market with fewer transactions in general will  
8 likely face important hurdles that limit the feasibility of implementing novel nature-based solutions.

9 Implementation of nature-based solution may have local or regionally important consequences for other  
10 ecosystem services, some of which may be negative (*high confidence*). Land use change has important  
11 implications for the hydrological cycle, and the large land use shifts suggested for BECCS when not  
12 carried out in a carefully planned manner, are expected to increase water demands substantially across  
13 the globe (Stenzel et al. 2019; Rosa et al. 2020). Afforestation can have minor to severe consequences  
14 for surface water acidification, depending on site-specific factors and exposure to air pollution and sea-  
15 salts (Futter et al. 2019). The potential effects of coastal afforestation on sea-salt related acidification  
16 could lead to re-acidification and damage on aquatic biota.

17 ***Specific soil conditions, water availability, GHG emission-reduction potential as well as natural***  
18 ***variability and resilience.*** Recent analysis by (Cook-Patton et al. 2020) illustrates large variability in  
19 potential rates of carbon accumulation for afforestation and reforestation options, both within  
20 biomes/ecozones and across them. Their results suggest that while there is large potential for  
21 afforestation and reforestation, the carbon uptake potential in land-based climate change mitigation  
22 efforts is highly dependent on the assumptions related to climate driver, land use and land management,  
23 and soil carbon responses to land-use change. Less analysis has been conducted on bioenergy crop  
24 yields, however, bioenergy crop yields are also likely to be highly variable, suggesting that bioenergy  
25 supply could exceed or fall short of expectations in a given region, depending on site conditions.

26 The effects of climate change on ecosystems, including changes in crop yields, shifts in terrestrial  
27 ecosystem productivity, vegetation migration, wildfires, and other disturbances also will affect the  
28 potential for AFOLU mitigation. Climate is expected to reduce crop yields, increase crop and livestock  
29 prices, and increase pressure on undisturbed forest land for food production creating new barriers and  
30 increasing costs for implementation of many nature-based mitigation techniques (IPCC WGII AR6  
31 Chapter 5) (*medium confidence*).

32 The observed increase in the terrestrial sink over the past half century can be linked to changes in the  
33 global environment, such as increased atmospheric CO<sub>2</sub> concentrations, N deposition, or changes in  
34 climate (Ballantyne et al. 2012), though not always proven from ground-based information  
35 (Vandersleen et al. 2015). While the terrestrial sink relies on regrowth in secondary forests (Houghton  
36 and Nassikas 2017), there is emerging evidence that the sink will slow in the northern hemisphere as  
37 forests age (Nabuurs et al. 2013), although saturation may take decades (Zhu et al. 2018). Forest  
38 management through replanting, variety selection, fertilisation, and other management techniques, has  
39 increased the terrestrial carbon sink over the last century (Mendelsohn and Sohngen 2019). Saturation  
40 of the sink in situ may not occur when e.g. substitution effects of timber usage are also  
41 considered.

42 Increasing concentrations of CO<sub>2</sub> are expected to increase carbon stocks globally, with the strongest  
43 effects in the tropics (Kim et al. 2017a; Schimel et al. 2015; AR6 WGI, Fig SPM7)) and economic  
44 models suggest that future sink potential may be robust to the impacts of climate change (Tian et al.  
45 2018). However, it is uncertain if this large terrestrial carbon sink will continue in the future (e.g.  
46 Aragão et al. 2018), as it is increasingly recognized that gains due to CO<sub>2</sub> fertilization are constrained

1 by climate and increasing disturbances (Schurgers et al. 2018; Duffy et al. 2021; IPCC WGII AR6  
2 Chapter 5). Further, negative synergies between local impacts like deforestation and forest fires may  
3 interact with global drivers like climate change and lead to tipping points (Lovejoy and Nobre 2018; ).  
4 Factors that reduce permanence or slow forest growth will drive up costs of forest mitigation measures,  
5 suggesting that climate change presents a formidable challenge to implementation of nature-based  
6 solutions beyond 2030 (*high confidence*).

7 In addition to climate change, Dooley and Kartha (2018) also note that technological and social factors  
8 could ultimately limit the feasibility of agricultural and forestry mitigation options, especially when  
9 deployed at large-scale. Concern is greatest with widespread use of bioenergy crops, which could lead  
10 to forest losses (Harper et al. 2018). Deployment of BECCS and forest-based mitigation can be  
11 complementary (Favero et al. 2017; Baker et al. 2019), but inefficient policy approaches could lead to  
12 net carbon emissions if BECCS replaces high-carbon content ecosystems with crops.

13 ***Adaptation benefits and biodiversity conservation.*** Biodiversity may improve resilience to climate  
14 change impacts as more-diverse systems could be more resilient to climate change impacts, thereby  
15 maintaining ecosystem function and preserving biodiversity (Hisano et al. 2018). However, losses in  
16 ecosystem functions due species shifts or reductions in diversity may impair the positive effects of  
17 biodiversity on ecosystems. Forest management strategies based on biodiversity and ecosystems  
18 functioning interactions can augment the effectiveness of forests in reducing climate change impacts on  
19 ecosystem functioning (*high confidence*). In spite of the many synergies between climate policy  
20 instruments and biodiversity conservation, however, current policies often fall short of realising this  
21 potential (Essl et al. 2018).

#### 22 ***7.6.4.4. Technological barriers and opportunities***

23 ***Monitoring, reporting, and verification.*** Development of satellite technologies to assess potential  
24 deforestation has grown in recent years with the release of 30 m data by Hansen et al. (2013), however,  
25 this data only captures tree cover loss, and increasing accuracy over time may limit its use for trend  
26 analysis (Ceccherini et al. 2020; Palahí et al. 2021). Datasets on forest losses are less well developed  
27 for reforestation and afforestation. As Mitchell et al (2017) point out, there has been significant  
28 improvement in the ability to measure changes in tree and carbon density on sites using satellite data,  
29 but these techniques are still evolving and improving and they are not yet available for widespread use.

30 Ground-based forest inventory measurements have been developed in many countries, most  
31 prominently in the northern hemisphere, but more and more countries are starting to develop and collect  
32 national forest inventories. Training and capacity building is going on in many developing countries  
33 under UNREDD and FAO programmes. Additional efforts to harmonize data collection methods and  
34 to make forest inventory data available to the scientific community would improve confidence in forest  
35 statistics and changes in forest statistics over time. To some extent the Global Forest Biodiversity  
36 Initiative fills in this data gap (<https://gfbi.udl.cat/>).

#### 37 **7.6.5. Linkages to ecosystem services, human well-being and adaptation (incl. SDGs)**

38 The linkage between biodiversity, ecosystem services, human well-being and sustainable development  
39 is widely acknowledged (Millennium Ecosystem Assessment 2005; UN Environment 2019). Loss of  
40 biodiversity and ecosystem services will have an adverse impact on quality of life, human well-being  
41 and sustainable development (Díaz et al. 2019). Such losses will not only affect current economic  
42 growth but also impede the capacity for future economic growth.

43 Population growth, economic development, urbanisation, technology, climate change, global trade and  
44 consumption, policy and governance are key drivers of global environmental change over recent  
45 decades (Kram et al. 2014; UN Environment 2019; WWF 2020). Changes in biodiversity and ecosystem



1 services are mainly driven by habitat loss, climate change, invasive species, over-exploitation of natural  
2 resources, and pollution (Millennium Ecosystem Assessment 2005). The relative importance of these  
3 drivers varies across biomes, regions, and countries. Climate change is expected to be a major driver of  
4 biodiversity loss in the coming decades, followed by commercial forestry and bioenergy production  
5 (OECD 2012; UN Environment 2019). Population growth along with rising incomes and changes in  
6 consumption and dietary patterns, will exert immense pressure on land and other natural resources  
7 (IPCC. 2019a). Current estimates suggest that 75% of the land surface has been significantly  
8 anthropogenically altered, with 66% of the ocean area experiencing increasing cumulative impacts and  
9 over 85% of wetland area lost (Díaz et al. 2019). As discussed, in section 7.3, land-use change is driven  
10 amongst others by agriculture, forestry (logging and fuelwood harvesting), infrastructural development  
11 and urbanisation, all of which may also generate localised air, water, and soil pollution (Díaz et al.  
12 2019). Over a third of the world's land surface and nearly three-quarters of available freshwater  
13 resources are devoted to crop or livestock production (Díaz et al. 2019). Despite a slight reduction in  
14 global agricultural area since 2000, regional agricultural area expansion has occurred in Latin America  
15 and the Caribbean, Africa and the Middle East (FAO 2019; (OECD/FAO 2019). The degradation of  
16 tropical forests and biodiversity hotspots, endangers habitat for many threatened and endemic species,  
17 and reduces valuable ecosystem services. However, trends vary considerably by region. As noted in  
18 Section 7.3, global forest area declined by roughly 178 Mha between 1990 and 2020 (FAO 2020a),  
19 though the rate of net forest loss has decreased over the period, due to reduced deforestation in some  
20 countries and forest gains in others. Between 1990 to 2015, forest cover fell by almost 13% in Southeast  
21 Asia, largely due to an increase in timber extraction large-scale biofuel plantations and expansion of  
22 intensive agriculture and shrimp farms, whereas in Northeast Asia and South Asia it increased by 23%  
23 and 6% respectively, through policy instruments such as joint forest management, payment for  
24 ecosystem services, and restoration of degraded forests (IPBES 2018b). It is lamenting that the area  
25 under natural forests which are rich in biodiversity and provide diverse ecosystem services decreased  
26 by 301 Mha between 1990 and 2020, decreasing in most regions except Europe and Oceania with largest  
27 losses reported in Sub-Saharan Africa (FAO 2020a). The increasing trend of mining in forest and coastal  
28 areas, and in river basins for extracting has had significant negative impacts on biodiversity, air and  
29 water quality, water distribution, and on human health (Section 7.3). Freshwater ecosystems equally  
30 face a series of combined threats including from land-use change, water extraction, exploitation,  
31 pollution, climate change and invasive species (Díaz et al. 2019).

#### 32 **7.6.5.1. Ecosystem services**

33 An evaluation of eighteen ecosystem services over the past five decades (1970-2019) found only four  
34 (agricultural production, fish harvest, bioenergy production and harvest of materials) to demonstrate  
35 increased performance, while the remaining fourteen, mostly concerning regulating and non-material  
36 contributions, were found to be in decline (Díaz et al. 2019). The value of global agricultural output  
37 (over USD3.54 trillion in 2018) had increased approximately threefold since 1970, and roundwood  
38 production (industrial roundwood and fuelwood) by 27%, between 1980 to 2018, reaching some 4  
39 billion m<sup>3</sup> in 2018. However, the positive trends in these four ecosystem services does not indicate long-  
40 term sustainability. If increases in agricultural production are realised through forest clearance or  
41 through increasing energy-intensive inputs, gains are likely to be unsustainable in the long run.  
42 Similarly, an increase in fish production may involve overfishing, leading to local species declines  
43 which also impacts fish prices, fishing revenues, and the well-being of coastal and fishing communities  
44 (Sumaila and Lam 2020). Climate change and other drivers are likely to affect future fish catch  
45 potential, although impacts will differ across regions (Sumaila et al. 2017; IPCC 2019b).

46 The increasing trend in aquaculture production especially in South and Southeast Asia through intensive  
47 methods affects existing food production and ecosystems by diverting rice fields or mangroves

1 (Bhattacharya and Ninan 2011). Although extensive traditional fish farming of carp in central Europe  
2 can contribute to landscape management, enhance biodiversity and provide ecosystem services, there  
3 are several barriers to scale up production due to strict EU environmental regulations, vulnerability to  
4 extreme weather events, and to avian predators that are protected by EU laws, and disadvantages faced  
5 by small-scale enterprises that dominate the sector (European-Commission 2021). Bioenergy  
6 production may have high opportunity costs in land-scarce areas and compete with land used for food  
7 production which threatens food security and affects the poor and vulnerable. But these impacts will  
8 differ across scale, contexts and other factors.

9 Currently, land degradation is estimated to have reduced productivity in 23% of the global terrestrial  
10 area, and between USD235 billion and USD577 billion in annual global crop output is at risk because  
11 of pollinator loss (Díaz et al. 2019). The global trends reviewed above are based on data from 2,000  
12 studies. It is not clear whether the assessment included a quality control check of the studies evaluated  
13 and suffer from aggregation bias. For instance, a recent meta-analysis of global forest valuation studies  
14 noted that many studies reviewed had shortcomings such as failing to clearly mention the methodology  
15 and prices used to value the forest ecosystem services, double counting, data errors, etc, (Ninan and  
16 Inoue 2013). Furthermore the criticisms against the paper by (Costanza et al. 1997) such as ignoring  
17 ecological feedbacks and non-linearities that are central to the processes that link all species to each  
18 other and their habitats, ignoring substitution effects may also apply to the global assessment (Smith  
19 1997); Bockstael et al. 2000; Loomis et al. 2000). Land degradation has had a pronounced impact on  
20 ecosystem functions worldwide (Scholes et al. 2018). Net primary productivity of ecosystem biomass  
21 and of agriculture is presently lower than it would have been under a natural state on 23% of the global  
22 terrestrial area, amounting to a 5% reduction in total global net primary productivity (Scholes et al.  
23 2018). Over the past two centuries, soil organic carbon an indicator of soil health, has seen an estimated  
24 8% loss globally (176 GtC) from land conversion and unsustainable land management practices  
25 (Scholes et al. 2018). Projections to 2050 predict further losses of 36 GtC from soils, particularly in  
26 Sub-Saharan Africa. These losses are projected to come from the expansion of agricultural land into  
27 natural areas (16 GtC), degradation due to inappropriate land management (11 GtC) and the draining  
28 and burning of peatlands (9 GtC) and melting of permafrost (Scholes et al. 2018). Trends in biodiversity  
29 measured by the global living planet index between 1970 to 2016 indicate a 68% decline in monitored  
30 population of mammals, birds, amphibians, reptiles, and fish (WWF 2020). FAO's recent report on the  
31 state of the world's biodiversity for food and agriculture points to an alarming decline in biodiversity  
32 for food and agriculture including associated biodiversity such as pollination services, micro-organisms  
33 which are essential for production systems (FAO 2019d). These suggest that overall ecosystem health  
34 is consistently declining with adverse consequences for good quality of life, human well-being, and  
35 sustainable development.

36 Although numerous studies have estimated the value of ecosystem services for different sites,  
37 ecosystems, and regions, these studies mostly evaluate ecosystem services at a single point in time See  
38 (Costanza et al. 1997; Nahuelhual et al. 2007; de Groot et al. 2012; Ninan and Kontoleon 2016; Xue  
39 and Tisdell 2001) The few studies that have assessed the trends in the value of ecosystem services  
40 provided by different ecosystems across regions and countries indicate a declining trend (Costanza et  
41 al. 2014; Kubiszewski et al. 2017). Land use change is a major driver behind loss of biodiversity and  
42 ecosystem services in most regions (Archer et al. 2018; Rice et al. 2018; IPBES 2018b; M. Fischer et  
43 al. 2018). Projected impacts of land use change and climate change on biodiversity and ecosystem  
44 services (material and regulating services) between 2015 to 2050 were assessed to have relatively less  
45 negative impacts under global sustainability scenarios as compared to regional competition and  
46 economic optimism scenarios (Díaz et al. 2019). The projected impacts were based on a subset of  
47 Shared Socioeconomic Pathway (SSP) scenarios and GHG emissions trajectories (RCP) developed in

1 support of IPCC assessments. There are synergies, trade-offs and co-benefits between ecosystem  
2 services and mitigation options with impacts on ecosystem services differing by scale and contexts  
3 (*high confidence*). Measures such as conservation agriculture, agroforestry, soil and water conservation,  
4 afforestation, adoption of silvopastoral systems, can help minimise trade-offs between mitigations  
5 options and ecosystem services (Duguma et al. 2014). Climate smart agriculture (CSA) is being  
6 promoted to enable farmers to make agriculture more sustainable and adapt to climate change (Box  
7 7.4). However, experience with CSA in Africa has not been encouraging. For instance, a study of  
8 climate smart cocoa production in Ghana shows that due to lack of tenure (tree) rights, bureaucratic and  
9 legal hurdles in registering trees in cocoa farms, and other barriers small cocoa producers could not  
10 realise the project benefits (Box 7.13). Experience of CSA in some other Sub-Saharan African countries  
11 and other countries such as Belize too has been constrained by weak extension systems and policy  
12 implementation, and other barriers (Arakelyan et al. 2017; Kongsager 2017).

13

14 **START BOX 7.13 HERE**

15

**Box 7.13 Case study: climate smart cocoa production in Ghana**16 **Policy Objectives**

- 17 1. To promote sustainable intensification of cocoa production and enhance the adaptive capacity of  
18 small cocoa producers.
- 19 2. To reduce cocoa-induced deforestation and GHG emissions.
- 20 3. To improve productivity, incomes, and livelihoods of smallholder cocoa producers.

21 **Policy Mix**

22 The climate smart cocoa (CSC) production programme in Ghana involved distributing shade tree  
23 seedlings that can protect cocoa plants from heat and water stress, enhance soil organic matter and water  
24 holding capacity of soils, and provide other assistance with agroforestry, giving access to extension  
25 services such as agronomic information and agro-chemical inputs. The shade tree seedlings were  
26 distributed by NGOs, government extension agencies, and the private sector free of charge or at  
27 subsidised prices and was expected to reduce pressure on forests for growing cocoa plants. The CSC  
28 programme was mainly targeted at small farmers who constitute about 80% of total farm holdings in  
29 Ghana. Although the government extension agency (Cocobod) undertook mass spraying or pruning of  
30 cocoa farms they found it difficult to access the 800,000 cocoa smallholders spread across the tropical  
31 south of the country. The project brought all stakeholders together i.e., the government, private sector,  
32 local farmers and civil society or NGOs to facilitate the sustainable intensification of cocoa production  
33 in Ghana. Creation of a community-based governance structure was expected to promote benefit  
34 sharing, forest conservation, adaptation to climate change, and enhanced livelihood opportunities.

35 **Governance Context**36 *Critical enablers*

37 The role assigned to local government mechanisms such as Ghana's Community Resource Management  
38 Area Mechanisms (CREMAs) was expected to give a voice to smallholders who are an important  
39 stakeholder in Ghana's cocoa sector. CREMAs are inclusive because authority and ownership of natural  
40 resources are devolved to local communities who can thus have a voice in influencing CSC policy  
41 thereby ensuring equity and adapting CSC to local contexts. However, ensuring the long-term  
42 sustainability of CREMAs will help to make them a reliable mechanism for farmers to voice their

1 concerns and aspirations, and ensure their independence as a legitimate governance structure in the long  
2 run. The private sector was assigned an important role to popularise climate smart cocoa production in  
3 Ghana. However, whether this will work to the advantage of smallholder cocoa producers needs to be  
4 seen.

#### 5 *Critical barriers*

6 The policy intervention overlooks the institutional constraints characteristic of the cocoa sector in  
7 Ghana where small farmers are dominant and have skewed access to resources and markets. Lack of  
8 secure tenure (tree rights) where the ownership of shade trees and timber vests with the state,  
9 bureaucratic and legal hurdles to register trees in their cocoa farms are major constraints that impede  
10 realisation of the expected benefits of the CSC programme. This is a great disincentive for small cocoa  
11 producers to implement CSC initiatives and nurture the shade tree seedlings and undertake land  
12 improvement measures. The state marketing board has the monopoly in buying and marketing of cocoa  
13 beans including exports which impeded CREMAs or farming communities from directly selling their  
14 produce to MNCs and traders. However, many MNCs have been involved in setting up o CREMA or  
15 similar structures, extending premium prices and non-monetary benefits (access to credit, shade tree  
16 seedlings, agro-chemicals) thus indirectly securing their cocoa supply chains. A biased ecological  
17 discourse about the benefits of climate smart agriculture and sustainable intensive narrative,  
18 complexities regarding the optimal shade levels for growing cocoa, and dependence on agro-chemicals  
19 are issues that affect the success and sustainability of the project intervention. Dominance of private  
20 sector players especially MNCs in the sector may be detrimental to the interests of smallholder cocoa  
21 producers.

22 *Source:* Nasser et al. (2020)

23 **END BOX 7.13 HERE**

#### 25 **7.6.5.2. Human well-being and Sustainable Development Goals**

26 Conservation of biodiversity and ecosystem services is part of the larger objective of building climate  
27 resilience and promoting good quality of life, human well-being and sustainable development. While  
28 two of the 17 SDGs directly relate to nature (SDGs 14 and 15 covering marine and terrestrial ecosystems  
29 and biodiversity), most other SDGs relating to poverty, hunger, inequality, health and well-being, clean  
30 sanitation and water, energy, etc., are directly or indirectly linked to nature (Blicharska et al. 2019). A  
31 survey among experts to assess how 16 ecosystem services could help in achieving the SDGs relating  
32 to good environment and human well-being suggested that ecosystem services could contribute to  
33 achieving about 41 targets across 12 SDGs (Wood et al. 2018). They also indicated cross-target  
34 interactions and synergetic outcomes across many SDGs. Conservation of biodiversity and ecosystem  
35 services is critical to sustaining the well-being and livelihoods of poor and marginalised people, and  
36 indigenous communities who depend on natural resources (high confidence). Nature provides a broad  
37 array of goods and services that are critical to good quality of life and human well-being. Healthy and  
38 diverse ecosystems can play an important role in reducing vulnerability and building resilience to  
39 disasters and extreme weather events (SCBD) Secretariat of the Convention on Biological Diversity  
40 2009; The Royal Society Science Policy Centre 2014; Ninan and Inoue 2017).

41 Current negative trends in biodiversity and ecosystem services will undermine progress towards  
42 achieving 80% (35 out of 44) of the assessed targets of SDGs related to poverty, hunger, health, water,  
43 cities, climate, oceans and land (Díaz et al. 2019). However, Reyers and Selig (2020) note that the  
44 assessment by (Díaz et al. 2019) could only assess the consequences of trends in biodiversity and

1 ecosystem services for 35 out of the 169 SDG targets due to data and knowledge gaps, and lack of  
2 clarity about the relationship between biodiversity, ecosystem services and SDGs.

3 Progress in achieving the 20 Aichi Biodiversity targets which are critical for realising the SDGs has  
4 been poor with most of the targets not being achieved or only partially realised (SCBD 2020). There  
5 could be synergies and trade-offs between ecosystem services and human well-being. For instance, a  
6 study notes that although policy interventions and incentives to enhance supply of provisioning services  
7 (e.g., agricultural production) have led to higher GDP, it may have an adverse effect on the regulatory  
8 services of ecosystems (Kirchner et al. 2015). However, we are aware of the inadequacies of traditional  
9 GDP as an indicator of well-being. In this context the Dasgupta Biodiversity Review argues for using  
10 the inclusive wealth approach to accurately measure social well-being by tracking the changes in  
11 produced, human and natural capital (Dasgupta 2021). Targets for nature (biodiversity and ecosystem  
12 services) should be refined so as to fit in with the metrics tracked by the SDGs (Ferrier et al. 2016; Rosa  
13 et al. 2017).

#### 14 **7.6.5.3. Land-based mitigation and adaptation**

15 Combined mitigation and adaptation approaches have been highlighted throughout Section 7.4  
16 regarding specific measures. Land-based mitigation and adaptation to the risks posed by climate change  
17 and extreme weather events can have several co-benefits as well as help promote development and  
18 conservation goals. Land-based mitigation and adaptation will not only help reduce GHG emissions in  
19 the AFOLU sector, but measures are required to closely link up with adaptation. In the central 2°C  
20 scenario, improved management of land and more efficient forest practices, a reduction in deforestation  
21 and an increase in afforestation, would account for 10% of the total mitigation effort over 2015–2050  
22 (Keramidas et al. 2018). If managed and regulated appropriately the Land sector could become carbon-  
23 neutral as early as 2030–2035, being a key sector for emissions reductions beyond 2025 (Keramidas et  
24 al. 2018). Nature-based solutions (NbS) with safeguards has immense potential for cost-effective  
25 adaptation to climate change; but their impacts will vary by scale and contexts (*high confidence*).  
26 Griscom et al. 2017 estimate this potential to provide 37% of cost-effective CO<sub>2</sub> mitigation until 2030  
27 needed to meet 2°C goals with likely co-benefits for biodiversity. However, due to the time lag for  
28 technology deployment and natural carbon gain this mitigation potential of NbS by 2030 or 2050 can  
29 be delayed or much lower than the estimated potential (Qin et al. 2021).

## 30 **7.7. Knowledge gaps**

31 Closing knowledge gaps and narrowing uncertainties are crucial to advance AFOLU mitigation.  
32 Knowledge gaps exist across a range of areas, from emissions accounting and mitigation measure  
33 development to integration of scientific and traditional knowledge and development and sustainable  
34 implementation strategies. The following are identified as priorities:

- 35 • Uncertainty in contemporary emissions and sinks within AFOLU is still high. There is on-going  
36 need to develop and refine emission factors, improve activity data and facilitate knowledge  
37 exchange, concerning inventories and accounting. For example, insufficient knowledge on CO<sub>2</sub>  
38 emissions relating to forest management and burning or draining of organic soils (wetlands and  
39 peatlands), limits certainty on CO<sub>2</sub> and CH<sub>4</sub> fluxes.
- 40 • Improved monitoring of the land CO<sub>2</sub> balance is urgently needed, including impacts of land  
41 degradation and restoration efforts (e.g., in tropical and boreal regions), making use of  
42 combined remote sensing, artificial intelligence, ground-based and modelling tools (Grassi et  
43 al. 2021). Improved estimates would provide more reliable projections of nationally determined

1 contributions to emissions reduction and enhancement of sinks, and reconciliation of national  
2 accounting and modelling results (Nabuurs et al. 2019).

- 3 • The future impacts of climate change on land systems are highly uncertain, for example, the  
4 role of permafrost thaw, tipping points, increased disturbances and enhanced CO<sub>2</sub> fertilization  
5 (Friedlingstein et al. 2020). Further research into these mechanisms is critical to better  
6 understand the permanence of mitigation measures in land sector.
- 7 • There is need to understand the role of forest management, carbon and nitrogen fertilization  
8 and associated interactions in the current forest carbon sink that has emerged in the last 50 to  
9 70 years. These aspects are likely to explain much of the difference between bookkeeping  
10 models and other methodologies.
- 11 • Continued research into novel and emerging mitigation measures and associated cost efficiency  
12 (e.g. CH<sub>4</sub> inhibitors or vaccines for ruminants) is required. In addition to developing specific  
13 measures, research is also needed into best practice regarding implementation and optimal  
14 agricultural land and livestock management at regional and country level. Further research into  
15 the feasible mitigation potential of sustainable intensification in terms of absolute GHG  
16 emissions and appropriate policy mechanisms, is required to implement and advance this  
17 strategy.
- 18 • Research into accounting systems and policy options that will enable agricultural soil and forest  
19 carbon to be utilized as offsets (voluntary or regulatory) is needed to increase financing for  
20 land-based CDR. Design of incentives that consider local institutions and novel frameworks for  
21 cooperation between private finance and public governance can encourage investment. Equally,  
22 research to adjust or remove regulation and subsidy schemes that may hamper land-based  
23 mitigation efforts, is urgently required.
- 24 • Improving mitigation potential estimates, whether derived from sectoral studies or IAMs to  
25 account for biophysical climate effects, and impacts of future climate change (e.g. mitigation  
26 permanence), biodiversity loss and corresponding feedbacks is needed. IAM ‘usability’ can be  
27 enhanced by integrating a wider set of measures and incorporating sustainability considerations.
- 28 • Research into the feasibility of improving and enhancing sustainable agricultural and forestry  
29 value chains, provision of renewable products (building with wood) and the sustainability of  
30 bioenergy is critically important. Modelled scenarios do not examine many poverty,  
31 employment and development trade-offs, which are highly context specific and vary  
32 enormously by region. Trade-off analysis and cost-benefit analysis can assist decision making  
33 and policy.
- 34 • In-depth understanding of mitigation-SDG interactions is critical for identifying mitigation  
35 options that maximize synergies and minimize trade-offs. Mitigation measures have important  
36 synergies trade-offs and co-benefits, impacting biodiversity and resource-use, human well-  
37 being, ecosystem services, adaptation capacity and many SDGs. In addition to exploring  
38 localised economic implementation costs, studies are needed to understand how measures will  
39 impact and interact with wider environmental and social factors across localities and contexts.

## 41 **Frequently Asked Questions (FAQs)**

42 **FAQ 7.1 Why is the Agriculture, Forestry and Other Land Uses (AFOLU) sector unique when**  
43 **considering GHG mitigation?**

- 1 There are three principal reasons that make the AFOLU sector unique in terms of mitigation;
- 2 1. In contrast to other sectors, AFOLU can facilitate mitigation in several different ways.  
3 Specifically, AFOLU can (a) reduce emissions as a sector in its own right, (b) remove  
4 meaningful quantities of carbon from the atmosphere and relatively cheaply, and (c) provide  
5 raw materials to enable mitigation within other sectors, such as energy, industry or the built  
6 environment.
  - 7 2. The emissions profile of AFOLU differs from other sectors, with a greater proportion of non-  
8 CO<sub>2</sub> gases (N<sub>2</sub>O and CH<sub>4</sub>). The impacts of mitigation efforts within AFOLU can vary according  
9 to which gases are targeted, as a result of the differing atmospheric lifetime of the gases and  
10 differing global temperature responses to the accumulation of the specific gases in the  
11 atmosphere.
  - 12 3. In addition to tackling climate change, AFOLU mitigation measures have capacity, where  
13 appropriately implemented, to help address some critical, wider challenges, as well as  
14 contributing to climate change adaptation. AFOLU is inextricably linked with some of the most  
15 serious challenges that are suggested to have ever faced humanity, such as large-scale  
16 biodiversity loss, environmental degradation and the associated consequences. As AFOLU  
17 concerns land management and utilises a considerable portion of the Earth's terrestrial area, the  
18 sector greatly influences soil, water and air quality, biological and social diversity, the provision  
19 of natural habitats, and ecosystem functioning, consequently impacting many SDGs.

#### 20 **FAQ 7.2 What AFOLU measures have the greatest economic mitigation potential?**

21 Economic mitigation potential refers to the mitigation estimated to be possible at an annual cost of up  
22 to USD100 tCO<sub>2</sub><sup>-1</sup> mitigated. This cost is deemed the price at which society is willing to pay for  
23 mitigation and is used as a proxy to estimate the proportion of technical mitigation potential that could  
24 realistically be implemented. Between 2020 and 2050, measures concerning forests and other ecosystem  
25 are estimated to have an average annual mitigation potential of 7.3 (3.9 - 13.1) GtCO<sub>2</sub>-eq yr<sup>-1</sup> at  
26 USD100 tCO<sub>2</sub><sup>-1</sup>. At the same cost, agricultural measures are estimated to have a potential of 4.1 (1.7-  
27 6.7) GtCO<sub>2</sub>-eq yr<sup>-1</sup>. Emerging technologies, such as CH<sub>4</sub> vaccines and inhibitors, could sustainably  
28 increase agricultural mitigation potential in future. The diverted production effects of changes in  
29 demand (reduced food losses, diet changes and improved and enhanced wood products use), is  
30 estimated to have an economic potential of 2.2 (1.1–3.6) GtCO<sub>2</sub>-eq yr<sup>-1</sup>. However, cost forms only one  
31 constraint to mitigation, with realization of economic potential dependent on multiple context-specific  
32 environmental and socio-cultural factors.

#### 33 **FAQ 7.3 What are potential impacts of large-scale establishment of dedicated bioenergy 34 plantations and crops and why is it so controversial?**

35 The potential of bioenergy with carbon capture and storage (BECCS) remains a focus of debate with  
36 several studies evaluating the level at which BECCS could be sustainably implemented, published since  
37 AR5. BECCS involves sequestering carbon through plant growth (i.e. in trees or crops) and capturing  
38 the carbon generated when this biomass is processed for power or fuel. The captured carbon then  
39 requires long-term storage in for example, geological, terrestrial or ocean reservoirs, or in products.  
40 While appearing to create a net removal of carbon from the atmosphere, BECCS requires land, water  
41 and energy which can create adverse side-effects at scale. Controversy has arisen because some of the  
42 models calculating the energy mix required to keep the temperature to 1.5°C have included BECCS at  
43 very large scales as a means of both providing energy and removing carbon from the atmosphere to  
44 offset emissions from industry, power, transport or heat. For example, studies have calculated that for  
45 BECCS to achieve 11.5 GtCO<sub>2</sub>-eq per year of carbon removal in 2100, as envisaged in one scenario,  
46 380-700 Mha or 25-46% of all the world's arable and cropland would be needed. In such a situation,



1 competition for agricultural land seriously threatens food production and food security, while also  
2 impacting biodiversity, water and soil quality, and landscape aesthetic value. More recently however,  
3 the scenarios for BECCS have become much more realistic, though concerns regarding impacts on food  
4 security and the environment remain, while the reliability of models is uncertain due to methodological  
5 flaws. Improvements to models are required to better capture wider environmental and social impacts  
6 of BECCS in order to ascertain its sustainable contribution in emissions pathways. Additionally, the  
7 opportunity for other options that could negate very large-scale deployment of BECCS, such as other  
8 carbon dioxide removal measures or more stringent emission reductions in other sectors, could be  
9 explored within models.

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1           **References**

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