CBP and Trade Automated Interface Requirements

Importer/Bond Query

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Importer/Bond Query

This chapter provides ABI filers the record formats and processing information to query the Importer/Consignee File and Importer/Bond File for name, address, and bond information.

The reader should be advised that this technical document is considered a DRAFT and will be revised before a final version is provided. Any decisions a reader makes based on the draft document are taken voluntarily and with the understanding that the draft will be revised. The document presents the DRAFT designation in the footer until such time that an official OPA (Office of Public Affairs) publication number has been assigned to the new "ACE ABI CATAIR" publication. For your information, subsequent revisions to this document will be controlled through the official CBP document amendment process.

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Revision Number	Date of change	Section(s) Affected	Brief Description of Change
8	August 26, 2019	Output K7-Record	Added New AD/CD Importer data element.
7	November 11, 2018	Output K7-Record	Updated positions.
6	September 10, 2018	Introduction Input K-Record Output K7- and K8-Records	Updated introduction to include K7 and K8. Updated Note 2 Added new records.
5	May 4, 2015	Output K2-Record	Added Bond User Status Indicator and Bond User Termination Date fields. Revised Bond Sufficiency Indicator field to be blank when no bond is present. Revise Bond Amount to allow for the field to be blank (spaces) when no bond is present (9N or 9S)
4	March 16, 2015	Output K1-Record Output K2-Record Output K4- and K6-Records	Revised Note 2 for Voided and Inactive accounts. Revised designation to indicate that this is a conditional output record. Revised positions 3-34 to indicate that this is a filler field.
3	March 2, 2015	Introduction and K1-Record Input K-Record Output K2-Record	Added information to the Introduction and K1-Record (Note 3) that this query is for Continuous Bonds only. Revised the record criteria selections for the Address Request Code. Added additional date and bond status data elements.

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Revision Number	Date of change	Section(s) Affected	Brief Description of Change
		Output K5- and K6- Records	Added K5 and K6 records for the Physical address.
2	May 3, 2010	Output K1- Record, Note 3	Added new bond activity codes.
1	May 7, 2008	Table of Contents	Added a new table of contents to the document.

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Importer/Bond Query – Introduction

ABI filers have the capability to query ACE for importer/consignee name, address, and Continuous bond information. Results of this query are created from the Importer/Consignee File and the Importer Bond File.

The owner of the importer number is responsible for verifying the accuracy of the data contained in these files. In instances where the CBP data is inaccurate, the owner of the importer number must take the necessary actions to reconcile and resolve the differences. Revisions to name and address information can be accomplished electronically using the Importer/Consignee Create/Update transaction (except in case where a continuous bond is on file). Revisions to bonds or inquiries concerning bonds may be made to CBP's Revenue Division, Debt Management Branch - Bond Team. Instructions for this are found on CBP's website at: cbp.gov/xp/cgov/import/communications_to_trade/pilot_program/news_develop/ and click on "Policies and Procedures".

Input: Record Identifier K is a mandatory input record that allows ABI filers to query the Importer/Consignee File and Importer Bond File for importer and bond information. This record allows up to six importer numbers to be queried.

The application identifier on Record Identifier B is KI. For additional information on Record Identifier B, refer to the *ABI Batch & Block Control* chapter of the ACE ABI CATAIR.

Output: Record Identifier K1 is a mandatory output record provided for every query of importer information. If the importer number is not on file, the K1 output response record provides the importer number and a query results code indicating the importer number is not on file. If the importer number is on file, the K1 output response record is provided for each bond type/activity code on file.

Record Identifier K2 is a conditional output record which provides the second line of the importer name and additional date and status information. The K2-Record is not returned when the importer is not found on the file.

Record Identifier K3 is a conditional output response record. If requested based upon the address request code, the K3 record provides the first and second lines for the mailing address.

Record Identifier K4 is a conditional output response record. If requested based upon the address request code, the K4 record provides the importer name, importer number, and city, state, and postal code of the mailing address.

Record Identifier K5 is a conditional output response record. If requested based upon the address request code, the K5 record provides the first and second lines for the physical address.

Record Identifier K6 is a conditional output response record. If requested based upon the address request code, the K6 record provides the importer name, importer number, and city, state, and postal code of the physical address.

Record Identifier K7 is a conditional output response record which provides the full legal importer name. The K7-Record is not returned when the importer is not found on the file.

Record Identifier K8 is a conditional optional response record which provides additional data based on the record that precedes it. The K8-Record is not returned when the importer is not found on the file.

Record Layout Key: A new description of the contents of each column of the record layouts has been developed for ACE. Please refer to ACE ABI CATAIR Appendix Q for a description of this new record layout key.

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Input Record Descriptions

Record Identifier K (Input)

This is a mandatory input record that allows the Importer/Consignee File and Importer Bond File to be queried for importer name, address, and bond information. Up to six importer numbers can be queried on this record. This record may also be repeated as often as necessary to query additional importers.

Record Identifier K (Input)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal K.	
Filler	1S	2-2	M	Space fill.	
Importer Number (1)	12X	3-14	M	A code representing the importer/consignee.	1
Address Request Code (1)	1AN	15-15	M	The address request code.	2
Importer Number (2)	12X or 12S	16-27	C	An additional code representing an importer/consignee and the address request code.	1, 2
Address Request Code (2)	1AN or 1S	28-28		Space fill if not used.	
Importer Number (3)	12X or 12S	29-40	C	An additional code representing an importer/consignee and the address request code.	1, 2
Address Request Code (3)	1AN or 1S	41-41		Space fill if not used.	
Importer Number (4)	12X or 12S	42-53	C	An additional code representing an importer/consignee and the address request code.	1, 2
Address Request Code (4)	1AN or 1S	54-54		Space fill if not used.	
Importer Number (5)	12X or 12S	55-66	C	An additional code representing an importer/consignee and the address request code.	1, 2
Address Request Code (5)	1AN or 1S	67-67		Space fill if not used.	
Importer Number (6)	12X or 12S	68-79	C	An additional code representing an importer/consignee and the address request code.	1, 2
Address Request Code (6)	1AN or 1S	80-80		Space fill if not used.	

Note 1

Valid formats for the Importer Number are:

Code	Description
NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN	CBP Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, and DDPP = the port code where the number is assigned.

If the Importer Number is in IRS format and the last two positions are blank, the blanks are converted to zeros so that the file search argument is consistent with the CBP importer files. Output data may show the last two positions of the number as zeros when two blanks were input.

If the Importer Number is in the IRS format, placing two asterisks (**) in the last two positions will cause this query to return records for all suffixes within the base IRS number including *00* and two spaces.

Use of the old CBP-assigned number (NNNN-NNNNN) expired July 1, 1992. Importer numbers with the old format will be placed in a void status.

Up to six importer numbers may be queried with each input K-record. The fields for the first or only importer number and address request code are mandatory. Additional importer numbers must be submitted in contiguous sequential importer number and address request code fields. For example, if three importers are to be queried, importer number and address request code fields 1, 2, and 3 must be used. Those fields for importer number and address request code fields 4, 5, and 6 must be space filled.

Note 2

Valid Address Request Codes are:

Code	Description
Space	Only transmit records K1, K2, K7, and K8 on output.
1	Transmit records K1, K2, K3, K4, K5, K6, K7, and K8 on output.

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Output Record Descriptions

Record Identifier K1 (Output)

This is a mandatory output response record that provides data pertaining to the importer number, query results code, importer's name, surety code, bond type/activity code, bond amount, district/port where the bond was filed, bond effective date, and bond number. This record is returned on output for each bond type/activity code on file.

Record Identifier K1 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 1.	
Importer Number	12X	3-14	M	A code representing the importer/consignee.	1
Query Results Code	1N	15-15	M	A code representing the results of the query.	2
Importer's Name	32AN or 32S	16-47	C	The name of the importer/consignee.	
Surety Code	3AN or 3S	48-50	C	The surety code related to the bond.	
Bond Type/Activity Code	1AN or 1S	51-51	C	A code representing the bond type/activity.	3
Bond Amount	9N or 9S	52-60	C	The value of the bond.	
District/Port Where Bond Was Filed	4AN or 4S	61-64	C	The code representing the district/pot where the bond was filed. Valid district/port codes can be queried through the Extract Reference File chapter of the ACS ABI CATAIR document.	
Bond Effective Date	6AN or 6S	65-70	C	A numeric date in MMDDYY (month, day, and year) format representing the bond effective day.	
Bond Number	9AN or 9S	71-79	C	The number assigned to the bond.	
Filler	1S	80-80	M	Space fill.	

Note 1

Valid formats for the Importer Number are:

Code	Description
NN-NNNNNNNXX	Internal Revenue Service (IRS) Number

YYDDPP-NNNNN	CBP Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, and DDPP = the port code where the number is assigned.

If the Importer Number is in IRS format and the last two positions are blank, the blanks are converted to zeros so that the file search argument is consistent with the CBP importer files. Output data may show the last two positions of the number as zeros when two blanks were input.

Use of the old CBP-assigned number (NNNN-NNNNN) expired July 1, 1992. Importer numbers with the old format will be placed in a void status.

Note 2

If you receive the message IMPORTER # VOIDED, no address data will be returned, only the K1-record will be returned. If further assistance is required, contact your CBP Client Representative or take the necessary steps to reactivate the number. Questions on reactivating voided numbers can be left as a voicemail on the Void Account hotline at **(317) 614-4960.**

If you receive the message IMPORTER # INACTIVE, no address data will be returned, only the K1-record will be returned. To reactivate the importer number, provide CBP with the complete importer number, name, and address information through an Importer/Consignee Create/Update transaction using the Add Action Code A.

Valid Query Result Codes are:

Code	Description
0	No name and address information is on file.
1	Name and address information is on file with a continuous bond.
2	Name and address information is on file with no bond.
3	Importer number voided.
4	Importer number is in inactive status.

Note 3

For continuous bonds (bond type 8), this field will contain an alphabetic code representing the activity code for the CBP Bond Form (CBPF 301). Only continuous bonds are available in response to this query.

Valid Bond Activity Codes are:

Code	Equivalent CBPF 301 Activity Code	Description
A	1	Importer or Broker
B	1A	Drawback Payments Refunds
C	1A1	Importer/Broker Drawback

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Code	Equivalent CBPF 301 Activity Code	Description
D	2	Custodian of Bonded Merchandise
E	3	International Carrier
F	3A	Instruments of International Traffic
G	3A3	Combination of 3 and 3a
H	4	Foreign Trade Zone Operator
J	5	Public Gauger
K	11	Airport Security Bond
L	12	ITC Exclusion Bond
M	13	Immigration Bond
N	14	Miami In-Bond Export Consolidator Bond
O	15	IPR
P	16	Importer Security Filing (ISF)
Q	17	Marine Terminal Operator
R	18	Dog and Cat Act
S	19	User Fee Facility Bond
T	20	Vehicle Export Consolidator

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Record Identifier K2 (Output)

This is a conditional output response record that provides the name qualifier and the second line of the importer/consignee name when the queried importer/consignee is found, and additional date and status information for each bond found on file for the importer.

Record Identifier K2 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 2.	
Name Qualifier	3A or 3S	3-5	C	A code of DBA (doing business as), DIV (Division), or AKA (also known as).	
Line Two of Importer Name	32X or 32S	6-37	C	The importer name associated with the Name Qualifier in positions 3-5.	
Filler	1S	38-38	M	Space fill.	
Bond Termination Date	6D or 6S	39-44	C	A numeric date in MMDDYY (month, day, and year) format representing the bond termination date.	
Filler	1S	45-45	M	Space fill.	
Periodic Monthly Statement Status	1AN	46-46	C	An indication that the importer is approved for participation in Periodic Monthly Statements Y = Yes N = No	
Filler	1S	47-47	M	Space fill.	
Bond Sufficiency Indicator	1AN or 1S	48-48	C	An indicator of the liability sufficiency of the bond for this importer. Blank for an existing importer with no associated bonds. Y = Yes, bond is sufficient N = No, bond is sufficient	
Filler	1S	49-49	M	Space fill.	
Bond User Status Indicator	1AN or 1S	50-50	C	An indication of the status for this bond user (the importer being queried). Blank for an existing importer with no associated bonds. A = Active T = Terminated	
Filler	1S	51-51	M	Space fill.	
Bond User Termination Date	6D or 6S	52-57	C	A numeric date in MMDDYY (month, day, and year) format representing the date the bond user (the importer being queried) was, or will be, no longer active (a valid user) on this bond.	
Filler	23AN	58-80	M	Space fill/	

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Record Identifier K3 (Output)

This is a conditional output response record that provides the first and second lines of the mailing address for the importer/consignee. See the description of the address request code in the input K-record for an explanation of the condition to receive this record.

Record Identifier K3 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 3.	
Address Line One	32X or 32S	3-34	C	Street address or post office box number of the importer/consignee.	
Address Line Two	32X or 32S	35-66	C	Second line of the mailing address.	
Filler	14S	67-80	M	Space fill.	

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Record Identifier K4 (Output)

This is a conditional output response record that provides the importer name, importer number, city, state, and postal code of the mailing address for the importer/consignee. See the description of the address request code in the input K-record for an explanation of the condition to receive this record.

Record Identifier K4 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 4.	
Filler	32X	3-34	M	Space fill.	
City	21X	35-55	M	City portion of the mailing address	
State Code	2A	56-57	M	For U.S. addresses, the U.S. Postal Service state code abbreviation. For Canadian addresses, the Canadian province/territory code. For Mexican addresses, the Mexican state code. Otherwise, the code is FN for foreign.	
Postal Code	9AN or 9S	58-66	M	If the address is a U.S. address, the first 5 positions of the ZIP code are numeric. The last 4 positions of the U.S. ZIP code are optional; and if they are included, they are also numeric. For a Canadian address, the Canadian Postal Code will be an in ANANAN format. For a Mexican address, the Postal Code will be 5 numeric characters. All other foreign addresses may use up to 9 characters or may be space filled.	
Filler	14S	67-80	M	Space fill.	

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Record Identifier K5 (Output)

This is a conditional output response record that provides the first and second lines of the physical address for the importer/consignee. See the description of the address request code in the input K-record for an explanation of the condition to receive this record.

Record Identifier K5 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 5.	
Address Line One	32X or 32S	3-34	C	Street address or post office box number of the importer/consignee.	
Address Line Two	32X or 32S	35-66	C	Second line of the physical address.	
Filler	14S	67-80	M	Space fill.	

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Record Identifier K6 (Output)

This is a conditional output response record that provides the importer name, importer number, city, state, and postal code of the physical address for the importer/consignee. See the description of the address request code in the input K-record for an explanation of the condition to receive this record.

Record Identifier K6 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 6.	
Filler	32X	3-34	M	Space fill.	
City	21X	35-55	M	City portion of the physical address	
State Code	2A	56-57	M	For U.S. addresses, the U.S. Postal Service state code abbreviation. For Canadian addresses, the Canadian province/territory code. For Mexican addresses, the Mexican state code. Otherwise, the code is FN for foreign.	
Postal Code	9AN or 9S	58-66	M	If the address is a U.S. address, the first 5 positions of the ZIP code are numeric. The last 4 positions of the U.S. ZIP code are optional; and if they are included, they are also numeric. For a Canadian address, the Canadian Postal Code will be an in ANANAN format. For a Mexican address, the Postal Code will be 5 numeric characters. All other foreign addresses may use up to 9 characters or may be space filled.	
Filler	14S	67-80	M	Space fill.	

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Record Identifier K7 (Output)

This is a conditional output response record that provides data pertaining to the full legal importer name.

Record Identifier K7 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 7.	
Full Legal Importer Name	30X	3-32	M	Provides the full legal name of the importer.	
Filler	1S	33-33	M	Space fill.	
Center Identifier	6AN	34-39	M	Center Identifier assigned to the Importer/Consignee CEE001-CEE010, NOTELG, PNDING	
Filler	1S	40-40	M	Space fill.	
Center ID Description	30AN	41-70	M	Descriptive name associated with Center Identifier as shown **Center ID Description** CEE001 Pharmaceuticals, Health and Chemicals CEE002 Agriculture and Prepared Products CEE003 Automotive and Aerospace CEE004 Apparel, Footwear and Textiles CEE005 Base Metals CEE006 Petroleum, Natural Gas and Minerals CEE007 Electronics CEE008 Consumer Products and Mass Merchandising CEE009 Industrial and Manufacturing Materials CEE010 Machinery NOTELG Not Center Eligible PNDING Pending Center Assignment	
New AD/CVD Importer	1AN	71-71	M	Code used to indicate whether an importer is a new AD/CVD importer. Y = Flag for new AD/CVD importers. N = Flag for existing AD/CVD importers.	
Filler	9S	72-80	M	Space fill	

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Record Identifier K8 (Output)

This is a conditional output response record that provides additional data pertaining record that precedes it.

This record can follow a K7.

This record may be repeated.

Record Identifier K8 (Output)					
Data Element	**Length /Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Always equals K.	
Record Type	1AN	2-2	M	Always equals 8.	
Additional Information Qualifier Code	3AN	3-5		Code indicating the type of additional information being provided.	1
Additional Information	70X	6-75	M	Text of the additional information related to the additional reference qualifier code.	
Filler	5S	76-80	M	Space fill.	

Note 1

Only specific Additional Information Qualifier Codes are valid based on the designated parent record it appears immediately after. Valid Additional Information Qualifier Codes are:

Codes	Description
IN1	Full Legal Importer Name overflow for K7
IN2	Full Center ID Description overflow for K7

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