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Bacterial strain nomenclature in the genomic era:

2

Life Identification Numbers using a gene-by-gene approach

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29 **Abstract**

30 Unified strain taxonomies are crucial for fostering international communication in microbiological
31 research and for the epidemiological surveillance of bacterial pathogens. While multilocus sequence
32 typing (MLST) has served as a foundation of strain taxonomy for two decades, whole genome
33 sequencing enables more precise classifications and significantly improves discriminatory resolution.
34 The core genome-wide extension of MLST (known as cgMLST) thus holds great promise for strain
35 genotyping and classification, but its implementation faces challenges that include missing data,
36 potential instability of cluster-based nomenclatures, and the necessity to ensure backwards
37 compatibility with MLST identifiers. Life Identification Number (LIN) codes offer a solution by
38 providing multi-level classification groups that are inherently stable. Here, we present, consolidate,
39 and extend the cgMLST-based LIN code approach. We first develop a nicknaming system for LIN
40 code prefixes, which enables flexible human-readable strain nomenclatures. Using *Klebsiella*
41 *pneumoniae* (Kp) as an example, LIN code nicknames were attributed by inheritance from MLST
42 identifiers, thus perpetuating the legacy of MLST nomenclatures in the genomic era. We show that
43 while 7-gene MLST sometimes conflates unrelated sublineages into the same ST, cgMLST-based LIN
44 codes are highly concordant with phylogenetic relationships. We implement this novel LIN code-
45 based nomenclature in the BIGSdb platform, and illustrate, with Pathogenwatch, how it can also be
46 used in other genomic epidemiology platforms. Finally, we demonstrate the value of LIN codes for
47 tracking the strain diversity within high-risk internationally disseminated clonal groups of Kp and
48 protracted outbreaks. Given its stability, precision, and flexibility, we recommend the adoption of the
49 cgMLST-based LIN code taxonomic approach for Kp and suggest that this approach is widely
50 applicable to other bacterial pathogens.

51 **Introduction**

52 Taxonomies of bacterial strains responsible for infectious diseases are essential resources to ensure
53 effective communication in population biology, epidemiological surveillance, and public health
54 response to outbreaks. As illustrated by the SARS-CoV-2 variant nomenclature system, simple
55 nicknames (*e.g.*, Alpha, Delta, Omicron) for pathogen variants can greatly improve communication
56 between different public health sectors (Konings et al., 2021; Rambaut et al., 2020).

57 Currently, there are neither classification nor nomenclature standards to define sublineages, variants,
58 types or clones (hereafter, collectively called “strains”) within bacterial species (“International Code of
59 Nomenclature of Prokaryotes,” 2019). Ad-hoc phenotypic (*e.g.*, serotypes) and genotypic (*e.g.*,
60 sequence types) approaches have long been used to define strains of particular species, but the advent
61 of universally applicable whole genome sequencing (WGS) has the potential to refine and generalize
62 strain taxonomy by providing the maximal discrimination needed for epidemiological surveillance,
63 and a harmonized general approach across pathogen phyla (Maiden et al., 2013; Nadon et al., 2017;
64 Struelens and Brisse, 2013). However, few attempts have been made to devise genomic taxonomies
65 and evaluate their general applicability. With WGS implemented worldwide and in all sectors of
66 microbiology (medical, veterinary, food, environmental), a precise and universal approach for
67 describing strains of bacterial species becomes a key need to translate WGS data into relevant
68 information that would support epidemiological surveillance, outbreak investigations, cross-niche or
69 between host transmission detection, and public health actions that need international and cross-
70 sectoral coordination.

71 Among the broad range of methods developed for bacterial strain typing and group naming (Struelens
72 et al., 1998; van Belkum et al., 2007), multi-locus sequence typing (MLST), based on the analysis of a
73 few (typically seven) conserved loci, was established over the last two decades as the method of
74 choice for strain taxonomy of most bacterial species (Aanensen and Spratt, 2005; Maiden, 2006;
75 Maiden et al., 1998). This gene-by-gene approach was logically extended to the genome scale, with
76 core genome MLST (cgMLST) schemes encompassing thousands of loci (Bialek-Davenet et al., 2014;
77 Maiden et al., 2013). Whether using the classical or the core genome MLST schemes, the “sequence
78 type” (ST) nomenclature system is highly reproducible, portable, and easy to interpret (Feil, 2004). To
79 recognize deeper phylogenetic associations, cgMLST allele profiles can be grouped at any level of
80 similarity by single-linkage clustering or static aggregation to predefined groups or founder genotypes
81 (Zhou et al., 2021).

82 A novel system for genome classification was proposed by Vinatzer and colleagues, using multi-
83 position numerical codes attributed to each individual genome (Marakeby et al., 2014; Vinatzer et al.,
84 2017). These codes, called Life Identification Numbers (LINs), were designed to encompass all
85 domains of life in a single taxonomy, based on the Average Nucleotide Identity (ANI) metric (Goris et

86 al., 2007; Konstantinidis and Tiedje, 2005). However, the ANI-based genome similarity is imprecise
87 and non-reproducible for nearly identical strains, which are most often compared through sequences of
88 draft genomes. Leveraging the strengths of both approaches, some of us recently proposed combining
89 cgMLST and LIN codes to design taxonomies of bacterial strains within species (Hennart et al., 2022).
90 The use of cgMLST dissimilarities, rather than ANI-based similarities, provides robustness in
91 estimating small-scale genome relationships, which are efficiently summarized by cgMLST LIN codes
92 (hereafter, LIN codes for short).

93 In this article, we present further developments of the LIN code approach. We first design a
94 nicknaming approach for LIN codes, which can be used to recognize familiar groups that are
95 important in biological research or epidemiological surveillance. We further show the benefit of
96 inheriting these nicknames from MLST identifiers. We additionally describe practical
97 implementations of LIN codes in the widely used genotyping platforms BIGSdb (Argimón et al.,
98 2021; Jolley et al., 2018). We next illustrate the use and benefits of LIN code strain taxonomy using
99 the *Klebsiella pneumoniae* Species Complex (KpSC), a phenotypically and genetically diverse
100 ubiquitous pathogenic group (Wyres et al., 2020). We show that for this pathogen, classical (7-gene)
101 MLST classifications can be misleading, and that LIN codes can pinpoint these cases and mitigate
102 misclassifications. Lastly, we illustrate the benefit of LIN codes for defining and naming intraspecific
103 groups from epidemiologically important phylogenetic lineages down to outbreak strains in a stable
104 way.

105 **Section 1: LIN codes: definitions and practical implementation**

106 **The principle of cgMLST-based LIN codes: an overview**

107 Here we explain in more detail how cgMLST-based LIN codes work, as originally proposed (Hennart
108 et al., 2022), before describing new developments and applications of the system (see **Section 2:**
109 **Novel developments and examples of applications**). The core genome Life Identification Number
110 classification code system combines the core genome MLST (cgMLST) approach with Life
111 Identification Numbers (LIN) (Vinatzer et al., 2017). The LIN codes consist of multiple (for example,
112 10) predefined positions (or bins), each corresponding to a (range of) cgMLST profile similarity value,
113 together representing a partition of the complete range [0%-100%]. From left to right, the positions of
114 the code correspond to decreasing allele mismatch dissimilarity, *i.e.*, increasing similarity. The
115 leftmost bins capture the lowest similarities reflective of deep phylogenetic divisions, whereas the
116 rightmost bins capture the highest similarities. Each bin has a left border threshold (inclusive) that
117 corresponds to a maximum number of pairwise allele differences between profiles and is delimited on
118 the right by the next threshold (exclusive, as the threshold value corresponds to the left threshold of
119 the downstream bin).

120 While any number of bins (up to the number of loci in the cgMLST scheme) can be chosen, in the case
121 of the *Klebsiella pneumoniae* Species Complex (KpSC) used here as an example, 10 bins were
122 determined to define their LIN codes (Hennart et al., 2022). The first four bins represent the deepest
123 hierarchical levels of relatedness, corresponding to species, subspecies, sublineage and clonal group,
124 respectively (Hennart et al., 2022). The last bins delineate six levels of high-resolution relatedness that
125 might be useful for epidemiological surveillance. KpSC profiles are defined using a 629-loci cgMLST
126 scheme; bins 1 to 4 have as right borders 610, 585, 190 and 43 allele mismatches, respectively, while
127 bins 5 to 10 correspond to thresholds 10, 7, 4, 2, 1 and 0 mismatches, respectively. Thus, the first bin
128 corresponds to the range [629-610[of cgMLST mismatches (the '[' indicates the value 610 is
129 excluded), whereas the last one corresponds to the range [1-0[(note that it excludes complete identity,
130 *i.e.*, 0 mismatch, 629 matches: in this case, the LIN code is simply copied from the reference, see
131 below).

132 Formally, LIN codes are attributed to core genome Sequence Types (cgST) (Hennart et al., 2022).
133 Therefore, before assigning LIN codes, cgMLST profiles must be assigned to cgSTs. Like the ST
134 designation in classical 7-gene MLST, a cgST is defined for each unique cgMLST profile,
135 characterized by a unique combination of alleles at all loci of the scheme. Profiles with too many
136 missing loci can be filtered out at this stage. In practice, for the KpSC, cgMLST profiles are assigned
137 to a cgST only when they comprise fewer than 30 missing alleles (*i.e.*, equal to or more than 600
138 called alleles). Profiles with 30 (4.77%) or more missing alleles (which are likely to correspond to
139 poor quality genomes) are not considered further, and therefore not included in the KpSC LIN code

140 taxonomy. For any LIN code taxonomy, the proportion of tolerated missing data for cgST assignment
141 can be set to higher values (to increase the proportion of coded genomes) or lower values (to improve
142 the precision of LIN code classifications).

143 LIN codes are created for each distinct cgST. The formal process of LIN code assignment from
144 cgMLST data, first proposed in (Hennart et al., 2022), is presented in **Box 1** and summarized in
145 **Figure 1**. The system is initialized by creating, for an initial allelic profile, a LIN code with the integer
146 value 0 at every bin. This initial profile can be chosen randomly or based on a reference genome of the
147 species under consideration, as convenient. The next steps are the same for all subsequent individual
148 cgSTs.

149

150 **Box 1. The formal process of assigning LIN codes**

151 The LIN code of the first allelic profile is attributed 0 in every bin. Next, each new allele profile j is
152 encoded from its closest already encoded profile i (*i.e.*, that maximizes the allele similarity percentage
153 s_{ij}). After determining the pivot bin p , such that $s_{ij} \in [s_p, s_{p+1}[$ (*i.e.*, right threshold exclusive), the
154 encoding of the new profile j is performed in three steps:

- 155 (i) the same prefix as code i is attributed up to the bin $p-1$ (inclusive);
- 156 (ii) for the pivot bin p : the maximum value observed in this bin among the subset of codes sharing
157 the same prefix is incremented by 1;
- 158 (iii) 0 is attributed at each downstream bin from $p+1$ (inclusive).

159 Of note, when $s_{ij} = 100\%$, the LIN code of the new profile j is given the complete LIN code of i
160 (including at the last bin).

161 Missing data, equal matches and input order of profiles are handled as explained in **Box 2**.

162

163 The process of assigning a LIN code to a cgMLST profile first involves matching it against all existing
164 defined LIN-encoded cgSTs to identify its closest neighbor (*i.e.*, the reference profile). If the two
165 profiles (new and reference) have no dissimilarity (*i.e.*, no allele mismatch among the loci called in
166 both profiles), the LIN code of the reference is simply assigned to the new profile. This will happen
167 when the new cgST differs from the reference only by its missing data pattern (see **Box 2**). Otherwise,
168 when the two profiles differ by at least one allele, a novel LIN code is created. For this, the pivot bin is
169 defined as the bin in which the observed allele dissimilarity falls, and the novel LIN code is created in
170 three steps (**Figure 1; Box 1**): (i) copying the LIN code prefix of the reference isolate, *i.e.* from the left
171 bin up to the pivot bin (excluded); (ii) incrementing by 1 the maximum integer value observed in the

172 pivot bin among the profile(s) sharing the same prefix used at step (i); (iii) attributing the integer value
 173 0 at the bins downstream of the pivot, corresponding to initialization of the novel subdivision created
 174 at the pivot bin level.

		Bin number:										
		1	2	3	4	5	6	7	8	9	10	
		Max. allelic similarity*:										
		19	44	439	586	619	622	625	627	628	629	
		Min. allelic difference*:										
		610	585	190	43	10	7	4	2	1	0	
		Bins left thresholds:										
		0	3.02	6.99	69.79	93.16	98.41	98.88	99.36	99.68	99.84	100
Genome A	Initialization	0	0	0	0	0	0	0	0	0	0	0
Genome B	A (3.50%)	0	1	0	0	0	0	0	0	0	0	0
Genome C	B (99.0%)	0	1	0	0	0	0	1	0	0	0	0
Genome D	B (7.00%)	0	1	1	0	0	0	0	0	0	0	0
...										
Genome X	Y (5.00%)	0	2	0	0	0	0	0	0	0	0	0
Genome Z	X (98.90%)	0	2	0	0	0	0	1	0	0	0	0

Coding steps (genome Z):

(i)
(ii)
(iii)

175

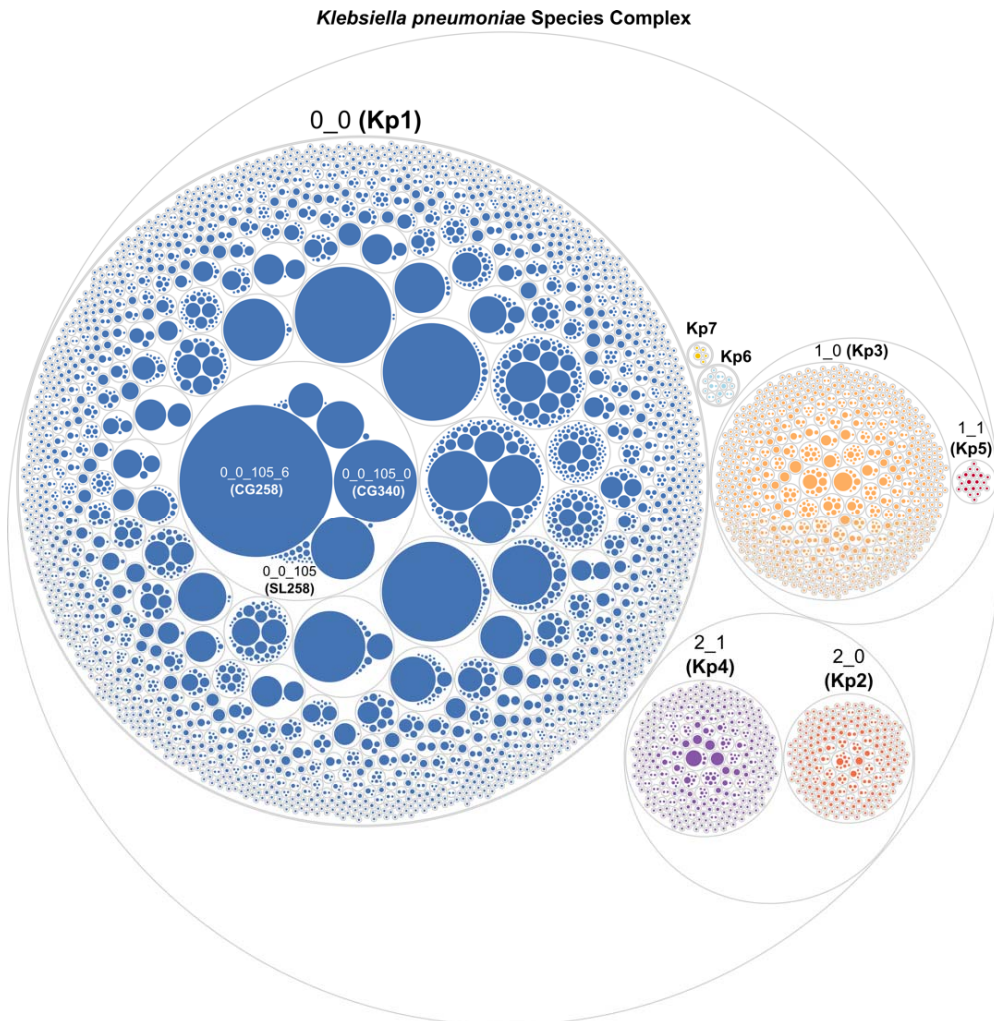
176 **Figure 1. Overview of the process of cgMLST-based LIN code assignment.** The process starts with
 177 assigning cgMLST profiles to genome sequences and classification of profiles into unique core
 178 genome sequence types (cgST). After an initialization step (full-0 code for the first cgST), LIN codes
 179 are created for each cgST using the similarity to its closest-related already encoded cgST (steps i, ii
 180 and iii; see details in main text and Box 1). The bins and their threshold values are those chosen for the
 181 KpSC. The asterisk (*) indicates that the values are for the right threshold of each bin, exclusive. Note
 182 that there is no bin corresponding to complete similarity (gray column on the right), as in this case the
 183 LIN codes are identical, *i.e.*, there is no need to create a novel LIN code.

184

185 A LIN code prefix can be defined as any bin subset that starts from the leftmost position of the
 186 complete LIN code. The notion of prefix is important as it conveys a sense of genetic similarity among
 187 profiles: the longer the common prefix of two LIN codes is, the more similar the two corresponding
 188 profiles are. For a given cgST profile, its LIN code thus expresses how similar it is to other cgMLST
 189 profiles. Very different profiles will show identity at few or no prefix positions of their LIN codes,
 190 whereas nearly identical genomes will have LIN codes identical at most or all positions (see *e.g.*,
 191 **Figure 1**, genomes Z *versus* X: shared prefix 0_2_0_0_0_0 implies a minimum similarity of 98.88%,

192 inclusive, and a maximum similarity of 99.36%, exclusive). Of note, our definition of LIN code prefix
193 is similar to the LINGroup concept proposed by Vinatzer and colleagues (Vinatzer et al., 2017).

194 An important particularity of LIN codes is that the numerical identifiers at a given bin position (except
195 the leftmost one) can only be interpreted in the context of the LIN code prefix preceding the
196 considered bin: the same integer value at a given bin position corresponds to group membership only
197 if the upstream prefixes are identical. In other words, groups at a given bin position are subdivisions of
198 the upstream prefixes and are numbered starting from zero independently for each prefix. This
199 particularity of LIN codes reduces the total number of integer identifiers observed in each position,
200 making them easier to read than systems in which a group identifier is created independently at each
201 level (for example, there are currently > 10,000 group identifiers at HierCC-1 level; (Achtman et al.,
202 2022)). Interestingly, the diversity observed within a group defined by a given prefix can immediately
203 be deduced from the maximal integer found among its members in the bin immediately downstream of
204 the prefix length (**Figure 2**).



206 **Figure 2. The hierarchical nature of LIN code positions.** Numbering starts from 0 for subdividing
207 each higher-level partition, characterized by a unique LIN code prefix. The hierarchical structure of
208 LIN codes is shown here with a circular packing plot obtained from the KpSC data from BIGSdb-
209 Pasteur. The circles correspond to LIN code prefixes of lengths 1 to 4 (an extra, all-encompassing
210 circle corresponds to the entire KpSC); the size of the circles is related to the number of genomes they
211 comprise. The first two bins in the LIN code prefix are used to identify phylogroups. Where for some
212 phylogroups the first bin is unique (*e.g.*, prefix 0 for Kp1), in other cases it is common to multiple
213 phylogroups (*e.g.*, prefix 2, which is associated with both Kp2 and Kp4), and therefore the second bin
214 is necessary to discriminate between them (*e.g.*, 2_0 and 2_1 for Kp2 and Kp4, respectively). The
215 hierarchical nature of LIN codes applies to subsequent levels of the prefix such as to those
216 corresponding to sublineages (third bin, *e.g.* Kp1 SL258 is identified with the LIN code prefix
217 0_0_105) and to clonal groups (fourth bin, *e.g.* Kp1 CG258 with the LIN code prefix 0_0_105_6).
218 Data was plotted in R v4.3.2 with ggplot2 and edited using Inkscape.

219

220 **Box 2. The particulars of LIN codes: handling of missing data, equal matches, input order and** 221 **computational precision**

222 **Missing data.** Whereas 7-gene MLST genotyping requires complete allelic profiles, cgMLST
223 approaches can tolerate the presence of missing alleles, as some core genes may not be essential, and
224 as genome assembly shortfalls occasionally result in the absence or incompleteness of some loci.
225 Therefore, the definition of cgSTs needs to accommodate missing data. Profiles may differ only by
226 loci where there is one or more missing allele(s) in one of the profiles, while otherwise identical at all
227 loci called in both profiles. Such profiles will be assigned to distinct cgSTs. We define as coincident
228 cgSTs, groups of cgST profiles that differ only by their missing data pattern. As the dissimilarity
229 between profiles is computed based solely on loci called in both profiles (Hennart et al., 2022),
230 coincident cgST profiles will have a 0 dissimilarity value between them, and therefore the same LIN
231 code.

232 Near-identical isolates or different WGS runs of the same isolate can lead to variable missing allele
233 calls but are otherwise identical in the called loci, and will as a consequence lead to the creation of two
234 or more coincident cgSTs. Each of these isolates' profiles will match with these multiple coincident
235 cgST. When a given profile matches two or more predefined coincident cgSTs, it will (by definition)
236 be attributed to all the coincident cgSTs. To minimize this phenomenon, a maximum number of
237 accepted missing data must be defined when implementing the cgST classification within BIGSdb.

238 **Equal matches and unicity of LIN codes.** As described above, an isolate's profile may match more
239 than one encoded cgST, due to missing loci. In this case, a unique LIN code will be defined (and
240 displayed) for the isolate. To choose between the different possibilities, the LIN code of the cgST with
241 the fewest missing allele(s) will be attributed. When two or more coincident cgSTs have the same
242 number of missing allele(s), the cgST with the smallest LIN code partition identifiers (considered from
243 left to right bin, *i.e.*, the lowest sort order) will be chosen. The same priority rule is applied to encode

244 every novel profile that is equidistant to two (or more) previously LIN-encoded non-coincidental
245 cgSTs.

246 **Input order.** The LIN code approach is dependent on input order, as the partition in a given bin may
247 vary slightly according to the order by which the genomes were encoded (Hennart et al., 2022). To
248 minimize this effect, BIGSdb uses the traversal of a minimum spanning tree (MStree; (Prim, 1957)) to
249 define the order by which the novel profiles are encoded. To code a novel batch of genomes, after
250 creating a MStree, the isolate chosen as the starting point for LIN encoding is the one that has the
251 closest similarity to an already encoded isolate in the database; next, the MStree is traversed from this
252 node. This approach (implemented since v1.36.1) maximizes reproducibility when adding a batch of
253 novel genomes. To minimize the number of resulting prefix-based partitions, novel genomes should be
254 encoded in batches as large as possible.

255 **Computational precision.** As for all categorizations that rely on thresholds, computational precision
256 is critical for reproducible results. For example, the pairwise dissimilarity between cgMLST profiles,
257 which is a ratio, may often have a higher number of decimals than can be handled by the computing
258 system, and its rounded value may lead to a slight underestimate (or overestimate) of the true value.
259 When the (true) dissimilarity between an incoming profile and its reference is exactly identical to the
260 left threshold of a bin (*i.e.*, the same ratio of distinct versus called alleles), a rounded value may
261 incorrectly correspond to the previous bin (**Figure 3**). Therefore, pairwise dissimilarity computations
262 should be performed in a way exactly identical to the bin thresholds themselves. In BIGSdb, ratios
263 corresponding to the thresholds are compared to the calculated dissimilarity values using Perl
264 platform-native floating point values (usually IEEE 754 double-precision).

265

		Min. allelic difference (right threshold, exclusive):									
		610	585	190	43	10	7	4	2	1	0
		Bins left thresholds (inclusive):									
		0	3.02	6.99	69.79	93.16	98.41	98.88	99.36	99.68	99.84
	Closest genome (similarity %)										
Genome A	Initialization	0	0	0	0	0	0	0	0	0	0
Genome B	A (3.50%)	0	1	0	0	0	0	0	0	0	0
Genome C	B (99.0%)	0	1	0	0	0	0	1	0	0	0
Genome D	B (7.00%)	0	1	1	0	0	0	0	0	0	0
...									
		Similarity as truncated decimal number:									
Genome X	D (99.3% ~ 625/629)	0	1	1	0	0	0	1	0	0	0
		Similarity computed using same precision as the threshold:									
Genome X	D (99.3640699523052% = 625/629)	0	1	1	0	0	0	0	1	0	0

266

267 **Figure 3. The effect of rounded cgMLST similarity values on LIN code assignment.** In this
 268 example, the use of a rounded value for the similarity between genome X and genome D leads to a
 269 slight underestimate, therefore creating a novel identifier in bin 7, instead of bin 8 when computing the
 270 similarity with the same precision as the threshold.

271

272 LIN codes functionalities implemented within the BIGSdb platform

273 The LIN code taxonomy of KpSC genomes was incorporated into the Institut Pasteur *K. pneumoniae*
 274 MLST and whole-genome MLST platform (<https://bigsdb.pasteur.fr/klebsiella>), using BIGSdb v1.34.0
 275 and upwards (Hennart et al., 2022). For the KpSC, this database plays the role of the source database
 276 for the definitions of alleles, cgMLST profiles, cgSTs, and LIN codes.

277 In BIGSdb, LIN code schemes can be defined in the curator's interface of both the 'sequence
 278 definition' and 'isolates' databases. A LIN code taxonomy is created with reference to a defined
 279 indexed scheme, e.g., cgMLST. An indexed scheme is a scheme with a unique identifier for each
 280 profile, e.g., cgST here. To index a scheme, one needs to specify the maximum number of missing
 281 alleles accepted for profiles to be assigned to cgSTs. To create a LIN code taxonomy, allele mismatch
 282 thresholds that define the LIN code bins must simply be defined. In the case of KpSC, the 629-loci
 283 cgMLST scheme was selected, and ten thresholds were defined (**Figure 1**).

284 Users who wish to assign a novel LIN code for a KpSC isolate must submit the genome sequence(s) to
 285 the BIGSdb-Pasteur 'isolates and genomes' database. If all quality criteria are fulfilled

286 (<https://bigsd.b.pasteur.fr/klebsiella/genome-quality-check/>), the genome(s) will be deposited in the
287 database for allele, cgMLST profile, cgST and LIN code definitions. The inferred cgMLST profiles, as
288 well as their cgST identifiers and LIN codes, will be made openly accessible through the sequence and
289 profile definition database ('seqdef'). To ensure confidentiality of users' data when requested, isolate
290 metadata and associated genome sequence(s) can be embargoed and released at a later stage.

291 Users can search *K. pneumoniae* isolates of interest using the LIN code matching functionalities
292 implemented in BIGSdb. A complete LIN code (or any prefix) can be used as a query. The nickname
293 nomenclature attached to LIN code prefixes can also be used to facilitate the query of groups of
294 interest (*e.g.*, SL258 members can be searched by using its attached prefix 0_0_105, or using the
295 SL258 nickname itself). The list of genomes from the query results can be further analyzed using the
296 available analytical tools within the BIGSdb platform, or exported for external use.

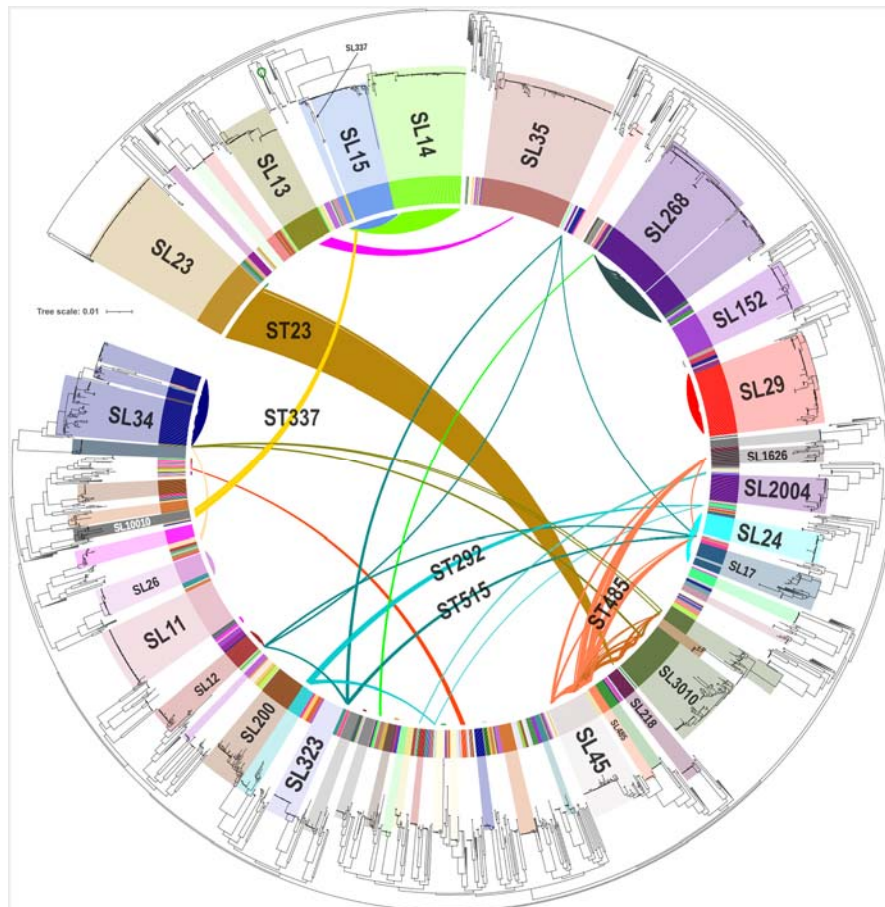
297

298

299 Section 2: Novel developments and examples of applications

300 Multiple *Klebsiella pneumoniae* 7-gene MLST sequence types are polyphyletic

301 Even though they are based on allelic profile comparisons rather than a sequence-based phylogenetic
302 analysis, LIN code prefixes of length 3 or 4 bins are compatible with phylogenetic classifications and
303 thus represent markers of their corresponding tree branches (Hennart et al., 2022). In contrast, 7-gene
304 MLST may conflate phylogenetically unrelated genomes in a single ST, for example through
305 recombination leading to the same ST being assigned to genomes from distinct parental lineages, or by
306 large recombinations affecting multiple cgMLST loci but leaving the 7-gene MLST loci unaffected
307 (Lam et al., 2023). Here we explore the extent of this phenomenon using 44,000 publicly available
308 genomes of *K. pneumoniae* (June 2023). We found that 113 STs are polyphyletic, defined here as
309 being observed in at least two unrelated LIN code sublineages (**Table S1**). We illustrate this
310 phenomenon for major STs in **Figure 4**. For example, ST485 was observed in four phylogenetically
311 unrelated sublineages: SL485 (0_0_157), SL45 (0_0_158), SL1626 (0_0_227) and SL11569
312 (0_0_1215). ST347 stands out as being observed in 8 distinct sublineages. This analysis also
313 confirmed the polyphyletic status of ST23 (Lam et al., 2023), which conflates isolates from distant
314 sublineages: SL23 (0_0_429) and SL218 (0_0_115).



315

316 **Figure 4. Phylogenetic tree of *K. pneumoniae* main sublineages.** A phylogenetic analysis of 5,665
317 *K. pneumoniae sensu stricto* genomes (LIN code prefix 0_0; see selection process in Methods) was
318 performed from the multiple sequence alignments of 629 cgMLST genes. Closely related leaves were
319 collapsed. The colored sectors in the inner circle correspond to the sublineages (SL) defined based on
320 their prefix of length 3 (*i.e.*, made of the three first bins); the major sublineages are highlighted by
321 lighter-colored sectors joining the circle to the tree leaves. The internal connectors between
322 sublineages represent frequent STs that were found in two or more sublineages. The full interactive
323 tree is available at: <https://itol.embl.de/tree/1579917420525181688029926>

324

325 **Nicknaming the LIN code prefixes enables carry-over of MLST identifiers into the genomic**
326 **taxonomy**

327 Whereas LIN code prefixes themselves can be used as canonical markers of groups of interest that are
328 easy to handle by computers, for humans, prefixes are not very easy to remember or pronounce. Here,
329 we propose to nickname the LIN code prefixes with simple denominations using a LIN code prefix
330 nicknaming system (newly implemented within BIGSdb;
331 [https://bigsdb.readthedocs.io/en/latest/administration.html?highlight=prefix#setting-up-lincode-](https://bigsdb.readthedocs.io/en/latest/administration.html?highlight=prefix#setting-up-lincode-definitions-for-cgmlst-schemes)
332 [definitions-for-cgmlst-schemes](https://bigsdb.readthedocs.io/en/latest/administration.html?highlight=prefix#setting-up-lincode-definitions-for-cgmlst-schemes)). It is thereby possible to nickname every prefix in any chosen way,
333 for example by incrementing an integer identifier for each novel prefix of a given length, analogous to
334 the numbering of 7-gene MLST STs. Other labels could be applied, such as Greek letters,
335 astronomical objects, or any other series of words that may be universally understandable and easy to
336 remember. This nicknaming process would be particularly useful for long prefixes, or prefixes of
337 particular relevance that subdivide the population at particularly informative levels.

338 For bacterial species where previous nomenclatures exist, a novel and unrelated naming system would
339 have the drawback of creating yet another nomenclature. Assigning nicknames to prefixes based on
340 the previous nomenclature system is therefore more meaningful. For *K. pneumoniae*, the classical
341 MLST nomenclature system is widely used, and knowledge has accumulated on the epidemiological
342 history and characteristics of predominant STs. We therefore aimed to create backward nomenclatural
343 compatibility of LIN codes with ST identifiers. We used a majority identifier inheritance rule that was
344 previously developed and applied to single-linkage cgMLST groups (Hennart et al., 2022). We applied
345 this approach to nickname LIN code prefixes of lengths 3 and 4 bins (which, for convenience, we have
346 defined as sublineages and clonal groups, respectively) by using ST identifiers as a source. In short,
347 for each LIN code prefix of length 3 or 4 bins, the identifier of the predominant ST among its genomes
348 was used as a label, wherever possible (*i.e.*, if not yet attributed). Following this approach, most SLs
349 and CGs were indeed labeled according to the ST identifiers of most of their isolates, whereas a
350 minority are nicknamed with incremental numbers (because the majority ST was already used for
351 another prefix). In **Figure 5**, we provide illustrative examples of correspondence between prefixes and
352 nicknames for major clonal groups. For example, ST258, and its derivative ST512, share the prefix
353 0_0_105, nicknamed SL258, and the 4-positions prefix 0_0_105_6, nicknamed CG258.

354

LIN prefix	Phylo-group	LIN prefix	Main ST	Nickname	LIN prefix	Main ST	Nickname
0_0	Kp1	0_0_0	15	SL15	0_0_105_6	258	CG258
1_0	Kp3	0_0_429	23	SL23	0_0_105_0	340	CG340
1_1	Kp5	0_0_105	258	SL258	0_0_105_2	11	CG11
2_0	Kp2	0_0_158	45	SL45	0_0_105_11	11	CG3666
2_1	Kp4	0_0_197	147	SL147	0_0_105_1	437	GC10268
3_0	Kp6	0_0_369	307	SL307	0_0_105_29	11	CG12811
4_0	Kp7	0_0_750	6589	SL10691	0_0_105_7	895	CG895

355

356 **Figure 5. Nicknaming of LIN code prefixes enables inheritance of previous nomenclatures.**
357 Nicknames of some LIN code prefixes of lengths 2 to 4 bins, inherited from phylogroup numbering or
358 Linnaean taxonomy (2-bin prefix, left panel) or 7-gene MLST (prefixes of lengths 3 and 4 bins, central
359 and right panels), are displayed.

360

361 Note that the MLST nickname inheritance rule was applied only using ST identifiers up to ST6500
362 (**Figure S1**). Given that the main sublineages of KpSC have long been sampled, the inheritance of
363 MLST identifiers on SL and CG identifiers will apply to most of the extant diversity of the KpSC. For
364 subsequent prefixes, SL and CG nicknames are numbered incrementally, starting with 10,000 (see
365 example on **Figure 5**) in order to make clear that these new nicknames are not inherited from MLST
366 nomenclature. In parallel, continual expansion of the MLST nomenclature will result in defining STs
367 (incremented by one) upwards of 6500 (currently the highest ST is ST6859, January 21st, 2024).
368 Hence, a correspondence between ST identifiers >6500 and prefix nicknames >10,000 may exist but
369 will not be immediately obvious. For novel sublineages and clonal groups that may emerge in the
370 future, our recommendation is to prioritize their LIN code SL and CG nicknames, rather than their ST,
371 when communicating on these groups. Note that the 2-bin prefixes of *Klebsiella* LIN codes each
372 define a particular KpSC phylogroup, corresponding to the seven currently described species or
373 subspecies (Hennart et al., 2022), and were thus nicknamed accordingly (**Figure 5**).

374

375 **From dual- to single-barcoding taxonomy of *Klebsiella pneumoniae* strains**

376 Previously, cgMLST groups were defined by the single-linkage (slink) clustering method using the
377 same 10 thresholds as for the LIN codes, and the four highest-level groups were nicknamed by
378 inheritance from Linnaean taxon names (for the two first) or MLST labels (for the levels defined by
379 thresholds 190 and 43, dubbed Sublineage and Clonal Groups, respectively) (Hennart et al., 2022).
380 Together with the LIN code taxonomy (which had no nickname in (Hennart et al., 2022)), this slink-
381 based system formed a ‘dual-barcoding approach’. However, because such slink groups suffered from

382 fusion of existing groups upon addition of subsequent genotypes, which occasionally had intermediate
383 distances between preexisting groups (*e.g.*, hybrid genotypes), the classification of cgMLST profiles
384 into slink groups was abandoned. Fortunately, when excluding the hybrid genotypes, a nearly
385 complete concordance was observed at the four first levels between slink clusters and LIN code
386 groupings optimized based on MStree (Hennart et al., 2022). As a result, the LIN code taxonomy
387 currently in use is nearly fully consistent with the one initially proposed (only SL10000 to SL10021,
388 and CG10000 to CG10276 correspond to groups that were renamed; table of correspondence available
389 upon request). The use of a single-barcoding taxonomic system based on LIN codes will stabilize and
390 simplify the way groups are defined and labeled.

391

392 **LIN code taxonomy usage in external genomic epidemiology platforms**

393 To make the LIN code taxonomy accessible for external tools, databases and analysis platforms, the
394 LIN code nomenclature components (alleles, profiles, cgSTs and LIN codes) can be extracted from
395 BIGSdb using an application programming interface (Jolley et al., 2017). This can be performed via a
396 single query using the following link:
397 https://bigsdb.pasteur.fr/api/db/pubmlst_klebsiella_seqdef/schemes/18/profiles_csv. However it is
398 important to note that to be effective, external copies of the database need to be very frequently
399 synchronized with the primary nomenclature database. This is because, when genome sequences
400 (through their cgMLST profiles) are matched to the LIN code taxonomy, an incomplete LIN code may
401 be defined in many cases, as no identical cgMLST profile may be existing at this time in the source
402 LIN code taxonomy. In such cases, a new nomenclatural identifier must be defined and assigned, but
403 this is only possible within the source database otherwise consistency of nomenclature will be lost.
404 Inference of the query genome's LIN code in external resources can only be inferred up to the bin
405 preceding the pivot bin corresponding to the closest match. Notably though, when the LIN code prefix
406 up to the fourth bin (at least) can be defined for the query genome, information on species, subspecies,
407 SL and CG can be derived. If the query genome is closely related to one in the source database, its
408 LIN code will be almost completely defined. Therefore, although novel cgMLST alleles, cgST profiles
409 and LIN codes can only be defined in the source database of the nomenclature (BIGSdb-Pasteur for
410 the KpSC), the use of LIN codes in external databases or tools still has functional relevance. For any
411 genome (cgMLST profile) that has no complete LIN code, data submission to the source database is
412 encouraged, in order to update the LIN code taxonomy and define complete LIN codes for the novel
413 genomes.

414 To illustrate the external use of LIN codes, we implemented the KpSC LIN code taxonomy in the
415 Pathogenwatch platform, in which a KpSC database was set-up previously (Argimón et al., 2021).
416 First, on a regular basis, Pathogenwatch synchronizes from BIGSdb into its internal temporary

417 database, the defined alleles, cgSTs and associated LIN codes, using the API functionality of BIGSdb.
418 Second, the cgMLST allele sequences extracted from the query genome assembly are compared to
419 those in the temporary database, and the cgMLST profile is used to find the closest match in the
420 temporary database. If the query genome does not match completely with an existing source
421 nomenclature cgST, a provisional cgST is assigned, represented by the asterisk and a code (*e.g.*, cgST
422 *f26e). Pathogenwatch also indicates the closest cgST defined in the source taxonomy database and
423 provides a link to the list of all isolates within Pathogenwatch that have the same cgST genotype.
424 Third, an incomplete LIN code will be provided by Pathogenwatch based on the shared prefix with the
425 closest reference cgST (**Figure 6**). This process provides information about the relatedness of a query
426 Pathogenwatch genome compared to the existing taxonomy elements and can in most cases provide
427 sublineage and clonal group identification. In those cases where Pathogenwatch provides provisional
428 alleles, STs, cgSTs and/or LIN codes, the user is encouraged to submit the genomic sequence data to
429 the source BIGSdb-Pasteur database so that novel nomenclatural identifiers (alleles, STs, cgSTs, LIN
430 codes) can be created. Note that as Pathogenwatch uses its own algorithm to provide the species and
431 subspecies for KpSC genomes, this taxonomic information is not deduced from LIN codes in that
432 platform.

433

cgMLST classification - Core genome MLST profile comparison

[Sourced from the Pasteur Institute.](#)

Sublineage	Clonal group	LIN code
258	258	0_0_105_6_0_**_**_**
Core genome sequence type	Closest defined cgST(s)	Identity
*f26e	823	99.2026% (622/627)

434 [View all cgST *f26e](#) 

435

436 **Figure 6. Example of LIN code identification in Pathogenwatch.** Although the LIN code is
437 incomplete, the genome can be inferred to belong to clonal group 258 (defined as prefix 0_0_105_6),
438 which comprises ST258 and ST512 isolates (see **Figure 7**).

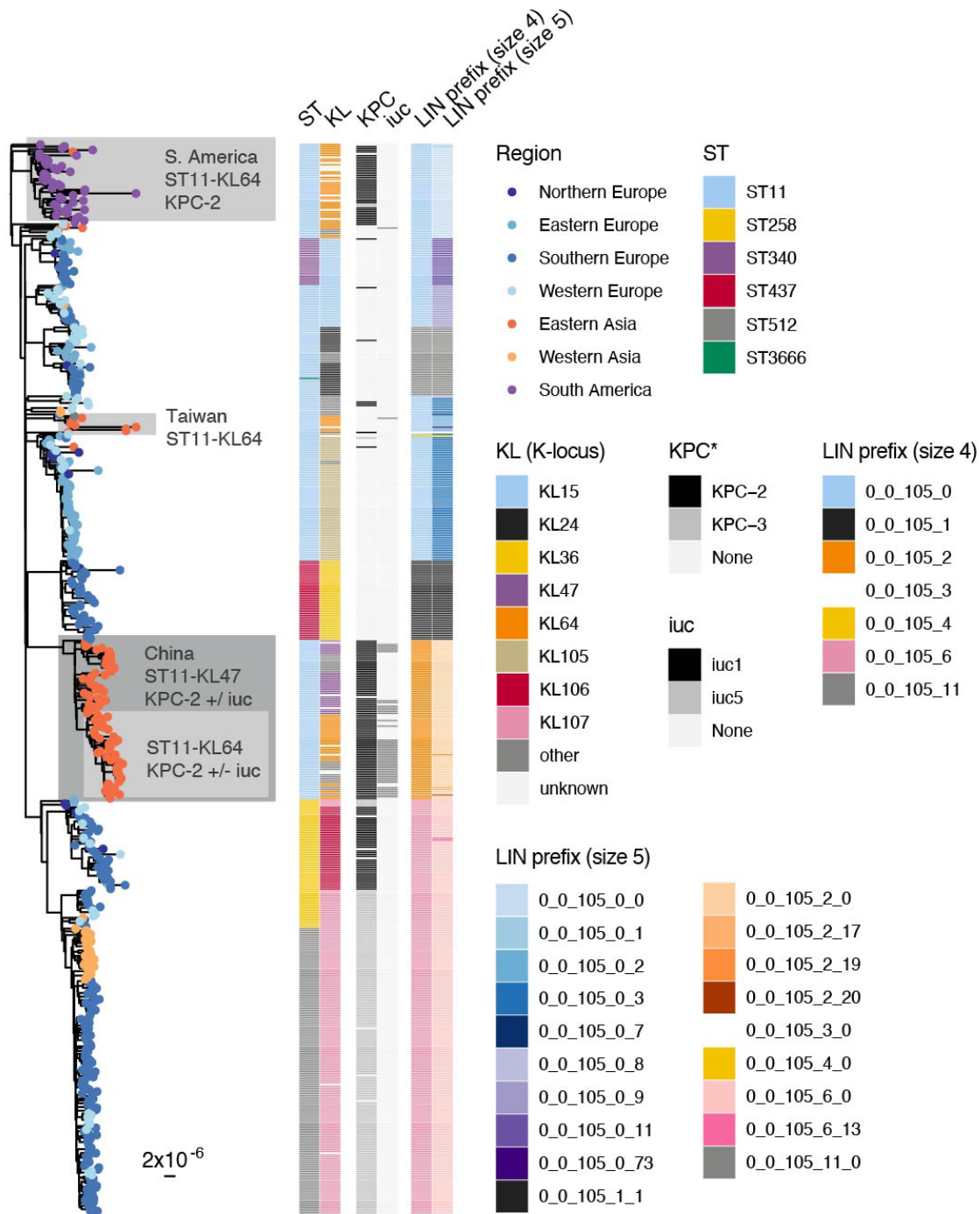
439

440 Applications of LIN codes to subdivisions within high-risk Kp sublineages

441 A number of *K. pneumoniae* sublineages, including SL258, SL147, SL307, SL17 and SL23, have been
442 recognized to cause a large burden of so-called hypervirulent or multidrug resistant infections. These
443 groups have been the subject of detailed studies, that have led to defining their geographical spread
444 and phylogenetic subgroups (Deleo et al., 2014; Hetland et al., 2023; Lam et al., 2018; Rodrigues et

445 al., 2022; Wyres et al., 2019). However, so far, a harmonized nomenclature of these subgroups has
446 been lacking, making it difficult to recognize them in subsequent studies. Here, we illustrate how LIN
447 codes can help track Kp dissemination at fine genetic scales within sublineages, using the example of
448 SL258, a major *Klebsiella pneumoniae* carbapenemase (KPC) producing sublineage of *K.*
449 *pneumoniae*.

450 SL258 is defined by its LIN code prefix, 0_0_105, and encompasses all isolates from 7-gene ST11,
451 ST258, ST340, ST512 and some others (**Figure 5**). Its phylogenetic structure shows that SL258 is
452 divided into several clades (**Figure 7**) that are labeled with their unique clonal group number. These
453 include CG258 (0_0_105_6), defined by LIN code position 4, which contains all ST258 and ST512
454 isolates. LIN code position 5 can further be used to distinguish major subclades within SL258,
455 including ST340 (0_0_105_0_11) and ST437 (0_0_105_1_1) and other subclades within ST11, some
456 of which appear to be associated with recombination events that include the capsule locus (KL column
457 in **Figure 7**). The LIN codes can also help distinguish between different subclades that are associated
458 with the same capsule locus. For example, they clearly distinguish 3 subclades that are all ST11-KL64
459 (grey shading on the tree branches, **Figure 7**). One of these is the major lineage circulating in China
460 (0_0_105_2_0_0_2, predominantly 0_0_105_2_0_0_2_17, 24/30 genomes) that carries KPC-2 and
461 often the *iuc1* aerobactin virulence locus, descended from ST11-KL47-KPC-2 (0_0_105_2_0_0_2_*,
462 where * is not 17), as discussed broadly in the literature (Zhou et al., 2023, 2020). A second, unrelated
463 ST11 subclade carrying KL64 (0_0_105_0_0) is circulating in South America (encoding KPC-2, but
464 rarely *iuc*), while a third smaller clade (0_0_105_0_2) is detected primarily in Taiwan rather than in
465 mainland China (lacking KPC and with only one of eight genomes carrying *iuc*). The example of
466 SL258 illustrates how LIN code classification beneath the sublineage level can help recognize and
467 name subgroups of medical and epidemiological relevance, which should be the object of enhanced
468 surveillance.



469

470 **Figure 7. SL258 phylogenetic structure and LIN codes.** Maximum-likelihood phylogenetic tree of
 471 n=586 SL258 genomes inferred from a recombination-free variable site alignment (see Methods). Tips
 472 are coloured to indicate geographic region of origin as per the legend (United Nations region
 473 classifications). The distribution of 7-gene sequence types (STs), K-loci (KL), *bla_{KPC}* (KPC) alleles,
 474 aerobactin locus lineages (*iuc*), LIN code prefixes of sizes 4 and 5, are indicated by colored blocks as
 475 per the legends (note that colors are independent to each column). Only K-loci identified with a
 476 Kaptive confidence score of ‘Good’ or better are shown (otherwise marked ‘unknown’). Two isolates
 477 were detected with *bla_{KPC-30}* and one with *bla_{KPC-12}* but are not shown in the figure for brevity. Sub-
 478 clades described in the text are coloured and labeled accordingly.

479

480 **Application of LIN codes to outbreak strain identification**

481 To illustrate the use of LIN codes to identify outbreak strains, and to track strain diversification during
482 protracted outbreaks, we explored the example of SL147. This is a prominent multidrug-resistant
483 international sublineage of *K. pneumoniae*, defined by its LIN code prefix 0_0_197. **Figure S2**
484 illustrates how the phylogenetic relationships within SL147 are captured by LIN codes, using a
485 previously described dataset (Rodrigues et al., 2022). SL147 comprises a single clonal group
486 (0_0_197_0) and three 7-gene STs (ST147, ST273 and ST392). At LIN code position 5, four partitions
487 (0_0_197_0_0, 0_0_197_0_4, 0_0_197_0_17 and 0_0_197_0_25) correspond largely to ST273,
488 ST392 and two deep branches of ST147. In addition, both ST147 and ST273 are genetically
489 heterogeneous and structured phylogenetically into several minor branches, which were captured by
490 additional partitions of LIN code level 5 (**Figure S2, panel A**).

491 Protracted outbreaks often lead their investigators to define local clades (or subgroups) within the
492 closely related outbreak isolates. These clades are often attributed temporary placeholder names,
493 which are difficult to compare across studies *e.g.*, Clade A and Clade B (Martin et al., 2021). We
494 illustrate how LIN codes provide a way to define these clades definitively, using the diversity among
495 outbreak isolates from a metallo- β -lactamase (NDM)-producing carbapenem-resistant ST147 outbreak
496 in Tuscany (**Figure S2, panel B; Table S2**). The time span of the Tuscany outbreak is November
497 2018 - 2021. Most of the isolates in this outbreak have prefix 0_0_197_0_4_1_0, thus differing by no
498 more than 4 alleles out of 629 with another member of the group. The authors defined two clades, A
499 and B. Here, clade B corresponds to the set of LIN codes 0_0_197_0_4_1_0_8_x_x (*i.e.*, with prefix
500 0_0_197_0_4_1_0_8, with x meaning there may be variation at the two last positions). Clade A was
501 more diverse, and LIN codes classify this genetic variability in a definitive way, with six 8th position
502 prefixes (0_0_197_0_4_1_0_7, 0_0_197_0_4_1_0_9, 0_0_197_0_4_1_0_10, 0_0_197_0_4_1_0_11,
503 0_0_197_0_4_1_0_12 and 0_0_197_0_4_1_0_66). This example highlights how *K. pneumoniae* LIN
504 codes can subdivide isolates from long-term outbreaks.

505 A search of the BIGSdb-Pasteur KpSC database (January 31st, 2024) for prefix 0_0_197_0_4_1_0
506 identified n=395 *K. pneumoniae* genomes, isolated between 2014 and 2023 and coming from 20
507 countries from North America, Europe, Asia, Africa and Oceania, which indicate the global
508 dissemination of this particular subgroup of SL147. However, prefix 0_0_197_0_4_1_0_8 was so far
509 only reported from the Italian outbreak. This example illustrates how LIN codes can facilitate the
510 tracking of strain dissemination, by enabling the identification of similar isolates from separate
511 studies. As an outbreak strain prefix can be easily discussed and shared among investigators and is
512 sufficient to exchange information on strain identity across countries, LIN codes enable genomic
513 surveillance investigations without the need to share genomic sequences, which may alleviate issues

514 around data confidentiality. Likewise, for the surveillance of particularly concerning strains, early
515 warnings could be triggered based on the detection of the specific LIN code of the strains under
516 surveillance.

517 Given that LIN codes are phylogenetically informative, they can be represented graphically as prefix
518 trees, which broadly approximate the phylogenetic relationships among isolates (Hennart et al., 2022).
519 Here, we introduce the tool LINtree to create prefix trees from LIN codes
520 (<https://gitlab.pasteur.fr/GIPhy/LINtree>). The input file contains a list of genome names and LIN
521 codes (one sample per row), with a header row indicating the level of similarity for each bin. LINtree
522 outputs a Newick-formatted tree showing the relationships between input genomes, based on the
523 hierarchy provided by the LIN codes and with branch lengths scaled using the similarity levels in the
524 header row. For example, the tree of the ST147 Italian outbreak shown in **Figure S2** was generated
525 using this tool, based on the input list of LIN codes. This example illustrates how the prefix tree
526 recapitulates the phylogenetic relationships of this outbreak strain with its ancestral relatives,
527 providing a useful aid in outbreak investigations.

528

529 **Discussion**

530 Facilitating communication on the intraspecific diversity of bacterial strains is a key objective of strain
531 taxonomies, which entail classification and naming of groups within species. In the field of
532 epidemiological surveillance of pathogens, it has long been recognized that strain typing methods used
533 for long-term and global strain tracking should rely on an internationally standardized nomenclature
534 (Struelens, 1998). In turn, a robust and fine-grained strain taxonomy promotes the understanding of
535 the links between genotypes and clinical phenotypes, vaccine coverage and antimicrobial resistance
536 (Achtman et al., 2022; Maiden et al., 2013).

537 Here we have presented in detail the cgMLST-based LIN code approach and further developed this
538 novel strain taxonomy system. The stability of LIN code classification is a critical property, which has
539 been impossible to achieve with previous strain classification systems relying on single-linkage
540 clustering (such as MLST clonal complexes defined by BURST or cgMLST single-linkage groups).
541 cgMLST LIN codes are stable, as the incorporation of novel genomes has no effect on pre-existing
542 LIN codes (**Figure 1**). Here, we have presented important enhancements of our initial implementation,
543 by (i) improving the reproducibility of LIN encoding by addressing the dependency of this approach to
544 rounded genetic distance values; (ii) the implementation within the BIGSdb platform, of input order
545 rules for creating novel LIN codes, and (iii) implementing formal rules for handling missing data.
546 These improvements optimize the definition of LIN codes and have resulted in a robust strain
547 taxonomy system that is now in operation for *K. pneumoniae* since January 2023 and currently

548 comprises 37,070 cgSTs and 32,500 LIN codes, which correspond to 2,492 sublineages and 4,230
549 clonal groups (January 28th, 2024).

550 In this work, we also extend the LIN code approach by proposing and implementing a nicknaming
551 system for LIN code prefixes. As shown previously (Hennart et al., 2022; Marakeby et al., 2014), LIN
552 codes are highly compatible with phylogenetic relationships, and their prefixes can therefore act as
553 markers of phylogenetic groups. Nicknaming was designed to be flexible, and can thus accommodate
554 any naming system of choice, either numerical or textual. To ensure continuity with 7-gene MLST
555 nomenclature, we had previously proposed to nickname cgMLST single-linkage groups (Hennart et
556 al., 2022). For *K. pneumoniae*, we had nicknamed the partitions within two special levels with
557 thresholds of 43 and 190 mismatches, defined as “sublineages” and “clonal groups”, respectively.
558 However, because of the instability of the single-linkage clustering approach, we soon observed
559 fusions of previously defined (and nicknamed) groups, rendering the single-linkage-based
560 nomenclature unstable. Here, we instead nickname the LIN code prefixes of lengths 3 and 4 bins,
561 which correspond to the same thresholds as previously defined “sublineages” and “clonal groups”,
562 respectively. Hence, we here redefined the “sublineages” and “clonal groups” as being based on LIN
563 code prefixes.

564 A key property of a novel nomenclature system is its continuity with previous nomenclatures, as it
565 minimizes confusion and facilitates its adoption by microbiologists and epidemiologists. Establishing
566 a dictionary of correspondence between novel and previous nomenclatures is a possibility but it
567 implies cumbersome handling of both series of identifiers. Here, we provide the possibility of
568 embedding any previous nomenclature(s) within the LIN code taxonomy. In the case of *K.*
569 *pneumoniae*, by using a previously described inheritance algorithm (Hennart et al., 2022) that has
570 mapped the 7-gene ST identifiers onto LIN code prefixes of lengths 3 and 4 bins, we provide
571 continuity between the novel nomenclature of sublineages and clonal groups with the widely used
572 MLST standard. Using LIN code prefix nicknames instead of MLST identifiers has the additional
573 benefit of enhancing the compatibility of the nomenclature with phylogenetic relationships: we have
574 shown here for *K. pneumoniae* that classical MLST profiles often conflate unrelated sublineages. Note
575 that we still recommend the maintenance and extension of the MLST nomenclature to classify future
576 *K. pneumoniae* isolates, in parallel to the novel genomic nomenclature. However, we suggest the
577 prioritization of LIN code nomenclature over MLST, which will be particularly important for
578 sublineage and clonal group designations above 10,000 that are not inherited from MLST.

579 Hierarchical clustering (HierCC) also provides stable classifications and is likewise implemented
580 based on cgMLST schemes (Zhou et al., 2021). Unlike for LIN codes, HierCC partition identifiers are
581 incremented independently across levels, necessitating the handling of large integers, particularly in
582 bins corresponding to the highest similarities, where over 100,000 partitions might be created. In

583 contrast, LIN codes re-initiate the numbering from 0 within a bin, for each subdivision of a partition in
584 the upper bin, resulting in a predominance of small integers, which are easier to handle for humans. By
585 design, HierCC is stable only in its production mode, whereas it relies on the unstable single-linkage
586 clustering approach in its development mode, implying an arbitrary decision on the switch from
587 development to production to achieve stability.

588 LIN codes, as well as HierCC, are multilevel classifications that provide proxies of strain
589 relationships. By conveying for each genome, its group membership and approximate degree of
590 relatedness at various phylogenetic depths simultaneously, they are phylogenetically informative. LIN
591 code prefixes are shared by genomes having at least the identity corresponding to the upper threshold
592 of the last prefix bin (exclusive). The LIN codes (or HierCC codes) can in fact themselves be
593 represented as a tree (formally, a prefix tree), with multifurcations corresponding to subdivisions of
594 each prefix (**Figure S2, panel C**; see also (Hennart et al., 2022)) and node height corresponding to bin
595 thresholds. This tree representation of LIN codes may serve as a proxy for the phylogenetic tree and
596 can be created with no need of initial sequences or cgMLST profiles.

597 A taxonomic system needs to be created and updated in a coordinated manner. For this purpose, the
598 cgMLST LIN code strain taxonomy approach was implemented in the BIGSdb platform. Its
599 integration in this widely used platform will make it publicly available, and will facilitate its
600 implementation for other bacterial species, as was recently illustrated for *Streptococcus pneumoniae*
601 (Brueggemann *et al.* bioRxiv 2023, doi: <https://doi.org/10.1101/2023.12.19.571883>). The applicability
602 to other bacterial species should be straightforward, provided that they comprise meaningful cgMLST
603 diversity, excluding the so-called monomorphic pathogens (Achtman, 2008), such as *Mycobacterium*
604 *tuberculosis* or *Salmonella enterica* serotype Typhi. Setting up LIN codes for other species will
605 require defining tailored bin thresholds based on population structures, which requires globally
606 representative genome datasets (**Figure S3, overview chart**). The approach could also be extended
607 with minor adaptations to other organisms with predominantly clonal reproduction, such as protozoan
608 parasites and fungi, even if they are not haploid (Bougnoux et al., 2004; Yeo et al., 2011). The wide
609 adoption of the standardized cgMLST LIN code strain taxonomy would result in a universal strain
610 nomenclature approach that could greatly enhance microbial biodiversity studies, international
611 genomic epidemiology and infectious disease surveillance.

612

613

614 **Methods**

615 **Identification of MLST sequence types that are discordant with sublineage classification**

616 We used the 44,000 public genomes available in BIGSdb in June 2023. To spot potential discordances
617 between ST and LIN code prefixes, we first filtered out non-Kp1 phylogroup (prefix 0_0) genomes
618 and removed nearly identical cgMLST profiles, by keeping a single representative of each partition at
619 LIN code bin 5. STs observed only in a single isolate were filtered out. We next searched for all STs
620 that were split in several clonal groups or sublineages (as defined by their prefix) and conversely, also
621 looked for prefixes of length 3 or 4 which comprised several STs. We then placed these genomes in a
622 phylogenetic tree built using IQtree v2.2.2.2 (Minh et al., 2020) using GTR+I+G model, from
623 concatenated alignments of individual cgMLST gene alignments.

624

625 **SL258 phylogeny**

626 Whole genome sequences representing SL258 were identified among the EuSCAPE collection (David
627 et al., 2019) and two recent studies reporting 7-gene ST11 with K-locus (KL) 47 and KL64, for which
628 multiple independent evolutions have been reported (Wang et al., 2023; Zhou et al., 2023). The ST11
629 genomes were subsampled to a manageable number as follows: (i) five randomly selected genomes
630 per study year for each of ST11-KL47 and ST11-KL64 reported from sites across China, plus all ST11
631 with other K-loci reported in the same study included for context, total 92 genomes from this study
632 (Zhou et al., 2023); (ii) 64 genomes representing ST11-KL64 clade 1 as defined in an analysis of
633 public ST11-KL64 genomes (Wang et al., 2023). Genome assemblies were acquired from
634 Pathogenwatch and those with >500 contigs and/or total assembly size < 4,969,898 or > 6,132,846 bp
635 were removed (as per the KlebNET-GSP quality control definitions). Kleborate v2.3.2 (Lam et al.,
636 2021) was used to determine 7-gene ST, *bla_{KPC}* alleles, and *iuc* lineages (aerobactin locus), and
637 Kaptive v2.0.7 (Lam et al., 2022; Wyres et al., 2016) was used to identify KVs.

638 In order to infer a high-resolution phylogenetic tree, genome assemblies were used to simulate 100bp
639 paired end reads with wgsim (without errors, <https://github.com/lh3/wgsim>). Reads were mapped
640 against the NJST258-1 completed reference genome (NCBI accession: CP006923.1) and single
641 nucleotide variants called using the RedDog pipeline (<https://github.com/katholt/RedDog>). The
642 resultant allele table was converted to a pseudo-whole genome alignment and used as input for
643 Gubbins v2.3.2 (Croucher et al., 2015), in order to detect and remove recombination (100 iterations).
644 The final filtered alignment of 10,390 variable sites, representing 591 genomes, was used to infer a
645 maximum likelihood (ML) phylogenetic tree using RAxML v8.2.9 with parameters: best of 5 runs,
646 1,000 bootstraps each, gamma model of rate variation (Stamatakis, 2014). Subsequently, five genomes
647 were removed due to excessive branch lengths. The ML tree was visualized with R v4.3.1 and the

648 following packages: ape v5.7.1 (Paradis et al., 2004), phytools v 1.9-16 (Revell, 2024), and ggtree v
649 3.8.2 (Yu et al., 2017).

650

651 **Data availability**

652 There are currently 39,506 genomic sequences publicly available in BIGSdb. Genomes can be
653 downloaded from the sequence bin page, and LIN codes are available in the main table retrieved
654 following an isolates search page results. The complete and up-to-date LIN code nomenclature
655 (comprising alleles, profiles, cgSTs and LIN codes) can be extracted from BIGSdb using a single
656 query at the following link:
657 https://bigssdb.pasteur.fr/api/db/pubmlst_klebsiella_seqdef/schemes/18/profiles_csv.

658 **Acknowledgements**

659 We thank François Lebreton for providing the Newick file of the Italian ST147 outbreak described in
660 Martin *et al.*, 2021. We acknowledge the help of the HPC Core Facility of the Institut Pasteur for this
661 work.

662 **Funding**

663 This work was supported, in whole or in part, by the Bill & Melinda Gates Foundation [INV-025280],
664 Institut Pasteur and by European Union's Horizon 2020 research and innovation programme. This
665 work was also supported financially by the French Government's Investissement d'Avenir program
666 Laboratoire d'Excellence "Integrative Biology of Emerging Infectious Diseases" (ANR-10-LABX-62-
667 IBEID). BIGSdb development is funded by a Wellcome Trust Biomedical Resource grant
668 (218205/Z/19/Z).

669 Under the grant conditions of the funders, a Creative Commons Attribution 4.0 Generic License has
670 already been assigned to the Author Accepted Manuscript version that might arise from this
671 submission.

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680 the manuscript.

681 **Ethical statements**

682 Not relevant.

683 **Conflicts of interests**

684 The authors declare no conflict of interest.

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