

34 (plasmid 'weaponisation'). We show analytically the presence of a mixed Rock-Paper-Scissors 35 regime for plaCM, driven by trade-offs with horizontal transmission, that explains the observed 36 failure of plaCM to dominate even in competition against an uncompensated plasmid. Our 37 results reveal broader implications of plasmid-bacterial evolution for plasmid ecology, 38 demonstrating the importance of compensatory mutations for resistance gene spread. One 39 consequence of the superiority of chrCM over plaCM is the likely emergence in microbial 40 communities of compensated bacteria that can act as 'hubs' for plasmid accumulation and 41 dissemination.

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## 43 Background

44 Conjugative plasmids are important for bacterial evolution. Plasmids transfer niche-adaptive 45 ecological functions between lineages and consequently can drive adaptation and genomic 46 divergence (Finks and Martiny, 2023; Vos et al., 2023; Wein and Dagan, 2020). However, 47 acquiring a new conjugative plasmid is frequently costly for the host cell. Such plasmid fitness 48 costs can arise from a variety of causes, including the metabolic burden of plasmid 49 maintenance, disrupted gene regulation, stress responses, cytotoxicity, and mismatched codon 50 usage (San Millan and MacLean, 2017). The long-term persistence of costly plasmids within 51 bacterial lineages often requires compensatory evolution to negate these fitness costs 52 (Brockhurst and Harrison, 2022). Experimental evolutionary studies have revealed that 53 compensatory mutations (CMs) may occur on the plasmid, or the chromosome, or both replicons (Benz and Hall, 2022; Bottery et al., 2017; Dahlberg and Chao, 2003; De Gelder et al., 54 55 2007; Hall et al., 2021, 2019; Harrison et al., 2015; Jordt et al., 2020; Loftie-Eaton et al., 2017; San Millan et al., 2015; Stalder et al., 2017). Such CMs affect a wide range of gene functions, 56 57 including regulatory genes, helicases, other co-resident mobile genetic elements, or 58 hypothetical genes without known function.

60 The fitness cost of a given plasmid in a given host can be ameliorated by alternative CMs 61 affecting distinct genetic targets, sometimes encoded by different replicons, i.e., affecting genes 62 on the chromosome, which we term chrCM, or on the plasmid, which we term plaCM. This 63 phenomenon is exemplified by the common soil bacterium Pseudomonas fluorescens SBW25 64 (henceforth 'SBW25') and the environmental mercury resistance plasmid pQBR57. Both SBW25 65 and pQBR57 were isolated from sugar beet plants at a field site in Wytham Woods, Oxfordshire, 66 UK in the 1990s (Bailey et al., 1995; Lilley et al., 1996). pQBR57 causes a substantial fitness 67 cost in SBW25 due to a specific genetic conflict with a chromosomal hypothetical gene

68 PFLU4242, inducing a sustained SOS response and the maladaptive expression of 69 chromosomal prophages leading to cell damage (Hall et al., 2021). This costly cellular disruption 70 can be negated by single CMs affecting either PFLU4242 itself or a plasmid-encoded regulator 71 PQBR57\_0059. Either CM is sufficient to reduce the fitness cost of pQBR57 and both fix the 72 transcriptional disruption caused by pQBR57 acquisition. For clarity, we refer to SBW25 strains 73 with a loss-of-function mutation in PFLU4242 as SBW25::chrCM to indicate chromosomal CM, 74 and strains with a loss-of-function mutation in PQBR57 0059 as pQBR57::plaCM to indicate 75 plasmid CM. Although both CMs evolved in SBW25 plasmid-carrying populations in potting soil 76 microcosms, they were never observed to co-occur in the same genome, suggesting that there 77 is no added benefit of combining both CMs in the same cell. chrCM can ameliorate the fitness 78 costs of other pQBR plasmids, whereas the benefits of plaCM are transmitted when 79 pQBR57::plaCM transfers by conjugation (Hall et al., 2021, 2019).

Where alternative CMs exist on the chromosome or the plasmid, existing theory predicts that plaCMs will be superior (Zwanzig et al., 2019). This superiority arises because, unlike chrCMs which are only inherited vertically at cell division, plaCMs are also transmitted horizontally by conjugation. Provided the plaCM also negates the fitness cost of the plasmid in newly formed transconjugant cells, the linkage of the plasmid and the CM can thus enhance plasmid maintenance and spread. Correspondingly, plaCMs are predicted to outcompete chrCMs even if plaCMs offer less efficient amelioration than chrCMs. However, previous attempts to explore these predictions theoretically have relied on numerical simulations and extensive parameter fitting (Rebelo et al., 2023a; Zwanzig et al., 2019), limiting the generalisability of the findings, while experimental tests competing alternate modes of CM are lacking altogether. Moreover, some experiments have reported a trade-off between CMs and conjugation rate, which could impede the success of plaCMs (Bethke et al., 2023; Dimitriu et al., 2021; Turner et al., 1998).

94 To contrast the effect of chrCM with plaCM on bacteria-plasmid dynamics, we first develop two 95 simple mathematical models based on 3-equation ordinary differential equations (ODEs) in 96 which we consider the arrival of a plasmid-bearing strain with either a chrCM or a plaCM. A key 97 strength of this approach is that the models we create can be solved exactly to provide general 98 understanding. The predictions generated by our models were then tested experimentally. To 99 enable direct competition of chrCM and plaCM we engineered variants of SBW25 and pQBR57 100 carrying defined CMs and fluorescent tags allowing cells containing the plaCM and/or chrCM to 91 be distinguished by flow cytometry. We then performed competition experiments across various

102 ecological scenarios predicted to alter the differential benefits of these contrasting modes of 103 compensatory evolution. Specifically, we varied the strength of mercury selection, the presence 104 of other plasmid replicons in the population, or the availability of plasmid-free recipient cells for 105 onward conjugative transfer within the population. We show that, contrary to expectations, 106 plaCM performs poorly in competition with chrCM under all tested conditions due to lower 107 efficacy of amelioration, a probable trade-off against conjugative efficacy, and an overlooked 108 benefit of chrCM that effectively enables these cells to 'weaponise' costly plasmids to reduce 109 the fitness of competitors. Our results have implications for the mobilisation of genes in 110 microbial communities, suggesting that chromosomes are more likely to become plasmid-111 favourable — and thus hubs of source-sink horizontal gene transfer — than plasmids are to 112 become low-cost generalists across hosts.

#### 114 Results

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### 115 Mathematical model of plasmid- or chromosome-encoded compensatory mutation

#### 116 dynamics

117 To understand the dynamics of chrCM and plaCM we modified a simple, well-understood model 118 of bacteria-plasmid population dynamics to include either chrCM or plaCM. Separate models 119 were preferred because the combined system (i.e., containing both chrCM and plaCM) is too 120 complex to solve analytically. The basic model, without CMs, is detailed in the Supplementary 121 Appendix and recapitulated the key findings of previous studies wherein costly plasmids do not 122 invade unless their conjugation rate,  $\gamma$ , is larger than  $\mu(\alpha - \beta)/(\alpha - \mu)$ , and only competitively displace the plasmid-free population if  $\gamma$  is larger than  $\mu (\alpha - \beta)/(\beta - \mu)$ , where  $\alpha$  is the plasmid 123 free growth rate,  $\beta$  is the plasmid containing growth rate, and  $\mu$  is the population turnover rate. Positive selection for the plasmid is included via the selection pressure term,  $\eta$ , which is initially set to zero.

We then considered how the addition of chrCM affects the outcome of this underlying basic system. Here, plasmid free wild-type bacteria (f) and wild-type bacteria containing a wild-type plasmid (p) are invaded by a chrCM variant bearing a wild-type plasmid (c). The compensatory effect is assumed to be imperfect so the growth rate of the three strains are assumed to be  $\alpha$  for f,  $\beta_P$  for p, and  $\beta_C$  for c, where  $\alpha > \beta_C > \beta_P$ . The dynamics of the system are then described by 133 the following set of ODEs:

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$$\frac{df}{dt} = \alpha f(1 - \alpha)$$

$$\frac{df}{dt} = \alpha f (1 - f - p - c) - \mu f - \gamma_P p f - \gamma_C c f - \eta f$$
(1)

$$\frac{dp}{dt} = \beta_P p (1 - f - p - c) - \mu p + \gamma_P p f + \gamma_C c f$$
(2)

$$\frac{dc}{dt} = \beta_C c(1 - f - p - c) - \mu c$$
(3)

137 In the case of no selection for the plasmid,  $\eta = 0$ , this set of ODEs can be solved exactly to yield 138 a simple phase plane structure in which chrCM sweeps to fixation  $(0,0,c^*)$  from the expected 139 stable fixed point of the underlying system according to the value of the conjugation rate (Fig. 1A). When the underlying fixed point is plasmid-free only ( $f^*, 0, 0$ ) (i.e.  $\gamma_P < \mu (\alpha - \beta_P) / (\alpha - \mu)$ ) or 140 141 mixed ( $f^*, p^*, 0$ ) (i.e.  $\mu (\alpha - \beta_P)/(\beta_P - \mu) > \gamma_P > \mu (\alpha - \beta_P)/(\alpha - \mu)$ ), and compensation is 142 imperfect, the fixed points are separated by a saddle at (fs,ps,cs). This means that when the 143 conjugation rate is sufficiently high the chrCM will always invade, but at lower conjugation rates 144 (provided  $\alpha > \beta_c$ ) there is a threshold. If the initial proportion of chrCM exceeds the threshold 145 value (given by the saddle), complete replacement occurs and chrCM successfully invades and 146 moves to fixation. The reason invasion can occur despite chrCM having lower growth than the 147 plasmid-free is that a plasmid-carrying chrCM can conjugate the costly plasmid into plasmid-free 148 competitors, such that chrCM then exceeds the uncompensated competitor's growth rate (i.e. 149  $\beta_C > \beta_P$ ) and takes over the system. Selection ( $\eta > 0$ ) reduces the relative ability of plasmid-free 150 wild-type f to compete against plasmid-bearers, concentrating the dynamics on the competition 151 between p and c and the difference between  $\beta_c$  and  $\beta_o$ , thus favouring chrCM. This result is 152 demonstrated in the Supplemental Appendix, and typically occurs with a linear addition to the 153 stability conditions which rapidly favour the chrCM plasmid-bearing invader.

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We next considered a system invaded by a variant bearing a plaCM plasmid (*q*). This leads to aset of three differential equations analogous to those above:

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$$\frac{df}{dt} = \alpha f(1 - f - p - q) - \mu f - \gamma_P p f - \gamma_Q q f - \eta f$$

(4)

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$$\frac{dp}{dt} = \beta_P p (1 - f - p - q) - \mu p + \gamma_P p f$$

$$\frac{dq}{dt} = \beta_Q q (1 - f - p - q) - \mu q + \gamma_Q q f$$
(5)
(6)

161 Analysis of the system reveals a more complex phase portrait, described in Fig. 1B in the case 162 of no selection,  $\eta = 0$ . Consistent with prior work (Zwanzig et al., 2019), comparison of Figs 1A 163 and 1B shows a wider range of conditions in which plaCM is successful compared with chrCM. 164 Where there is no trade-off with conjugation rate, plaCM always displaces the uncompensated 165 plasmid, invading the system if  $\gamma_Q < \mu (\alpha - \beta_Q)/(\alpha - \mu)$  and dominating if  $\gamma_Q >$ 166  $\mu (\alpha - \beta_Q)/(\beta_Q - \mu)$ , and, unlike chrCM, in a manner that does not depend on initial conditions.

167 168 Previous experiments have shown that CMs can affect the ability of a plasmid to conjugate 169 (Bethke et al., 2023; Dimitriu et al., 2021; Turner et al., 1998). We therefore investigated how 170 the success of each CM is affected by changes in the conjugation rate. For plaCM, if  $\gamma_0 > \gamma_P$ , i.e. plaCM confers a higher transfer rate than the wild-type plasmid, the wild-type plasmid is 171 172 always lost from the system, and the outcome for plaCM collapses into the single-plasmid 173 system described above (Supplementary Appendix). However, if there is a trade-off such that 174  $\gamma_P > \gamma_0$ , various outcomes are possible depending on the other parameters, including loss of both plasmids ( $f^*$ ,0,0), fixation of plaCM (0,0, $q^*$ ), co-existence between wild-type and plasmid-175 176 free  $(f^*, p^*, 0)$ , and, unexpectedly, a state with a stable coexistence between the f, p and q 177 populations (Fig. 1B orange region), which would not be found in a linearised adaptive dynamics 178 approach. The stable fixed point is oscillatory in character (a stable spiral) and is driven by 179 Rock-Paper-Scissors (RPS)-like nontransitive dynamics. When f is large, this promotes the 180 conjugative spread of the fastest conjugating population, p. When p is large, f is small, so the 181 opportunities for conjugation are relatively low but the force of infection remains high due to high 182  $\gamma_{P}$ . Here, the q outgrows the p. When q is large opportunities for conjugation are also low but as 183  $\gamma_Q$  is relatively low the *f* can outgrow the *q*. This RPS-like dynamic is approximate as the 184 interactions are not perfectly symmetric; in the prototypical model each type has a direct impact 185 on one other type and is directly impacted by the third. Here the competition arises though a mixture of competitive growth and competitive infection and is different for each combination of 186 187 subpopulations.

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189 For chrCM, the system is robust to changes in conjugation rate provided compensation is 190 sufficiently strong  $(\beta_c > \mu(\gamma_c + \alpha)/(\mu + \gamma_c))$ . In cases where chrCM has a more substantial 191 effect on  $\gamma_c$ , the CM is lost (Fig. 1A red and blue regions), except in cases where the conjugation rate from uncompensated strains is sufficiently high (Fig. 1A pink area,  $\gamma_P > \mu(\alpha - 1)$ 192  $\beta_P$  /( $\beta_P - \mu$ )). Under these conditions, the force of infection of costly plasmids ensures that the 193 194 frequency of plasmid-bearers in the system is maintained at a high enough level such that 195 chrCM has sufficient competitive advantage to persist. Although the chrCM system can also 196 admit an oscillatory stable coexistent solution, driven by a form of RPS dynamics it is only for  $\gamma_{P}$ 197 large,  $\gamma_c$  small and further away from the biologically relevant regime.

199 Selection simplifies the dynamics of the plaCM system by reducing the effect of the plasmid-free 200 population (*f*) to the dynamics. Even when  $\gamma_P \gg \gamma_0$ , plaCM is more likely to invade across a 201 range of parameters because the contribution of the conjugation terms to the dynamic is 202 reduced, resulting in a head-to-head competition between p and q, which the latter will always 203 dominate due to lack of infection opportunities which would be granted by a large plasmid free f204 population.





207 Figure 1. Phase portraits describing the fate of compensatory mutations. (A) Chromosomal CMs. (B) Plasmid CMs. Axes describe relative conjugation rates without (x) and with (y) the 208 209 corresponding CM, with the black line indicating no difference. Where  $\gamma_{CM} > \gamma_P$  (i.e. both

growth rate and conjugation rate are increased by the CM, indicated in grey) the wild-type
plasmid is always lost with the system reverting to a two-member basic model.
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Although four-equation models describing a direct competition between chrCM and plaCM cannot be solved analytically, some insight can be gained by comparing equations 3 and 6. Specifically, we can see that as the abundance of plasmid-free recipients decreases, the  $\gamma_Q f$ component that positively affects the success of plaCM correspondingly decreases, such that the relative success of plaCM and chrCM is increasingly determined by the difference between  $\beta_C$  and  $\beta_a$ , i.e. the relative strengths of amelioration of the two CMs.

221 Overall, then, our models identify a broader range of parameter space in which plaCM is likely 222 to succeed, relative to chrCM. However, we also predict that trade-offs between compensatory 223 mutations and plasmid conjugation can have complex effects on the overall success of a CM, 224 particularly in communities that contain a mixture of uncompensated plasmid-carrying and 225 plasmid-free competitors. Selection reduces the complexity of the dynamics in both cases by 226 removing potential recipients from the system, thus reducing the contribution of plasmid 227 transmission to the dynamics, and increasing the dependency of the outcome on the relative 228 strength of the CM.

# 230 *Knock-outs of putative 'cost' genes recapitulate compensatory mutations*

231 To experimentally investigate the dynamics of plaCM versus chrCM, we established an experimental system in which fluorescently-labelled strains were engineered with plaCM or 232 233 chrCM, enabling enumeration by flow cytometry. To test that our newly-engineered strains 234 exhibited the fitness effects by flow cytometry that we have previously observed by CFU plating, 235 we first performed 24-hour competition experiments. As expected, each CM ameliorated the 236 fitness cost of plasmid carriage (Fig. 2, linear model [LM] one-sided posthoc comparison against 237 0: plaCM t<sub>9</sub> = 22.1, p < 1e-7; chrCM t<sub>9</sub> = 23.5, p < 1e-7). In addition, our head-to-head 238 experimental design revealed that chrCM was marginally fitter than plaCM (coefficient = 0.13, t<sub>9</sub> 239 = 2.75, p = 0.045) and there was no detected fitness benefit of combining both CMs in the same 240 cell (coefficient comparing single vs. double-compensation = -0.1,  $t_9 = -1.86$ , p = 0.19). 241 Interestingly, the benefits of CMs relative to non-compensated plasmid-carrying strains were 242 enhanced in the presence of mercury, and more strongly so for chrCM than for plaCM (chrCM 243 difference in coefficients = 1.0, plaCM difference in coefficients = 0.7), suggesting that the costly 244 cellular disruption caused by pQBR57 is further exacerbated by exposure to mercury (Carrilero
245 et al., 2021). These results cause us to expect acceleration of CM invasion under mercury
246 selection.



**Figure 2.** Engineered plaCM and chrCM variants both ameliorate plasmid fitness costs, though chrCM is more effective. Panels indicate test strains. Unfilled circles indicate mean across 10 replicates, each of which is indicated by a semi-transparent filled circle. The asterisk indicates data in the right panel which is also presented in the left panel.

Chromosomal CM outcompetes plasmid-borne CM across various ecological conditions
 To test our predictions, we used our validated strains to test the relative success of chrCM
 *versus* plaCM under varying ecological conditions. First, we varied the strength of selection for

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258 the plasmid-encoded mercury resistance trait. Informed by our models, we predicted that 259 selection would increase the success of chrCM relative to plaCM. This is because selection 260 would remove plasmid-free recipients from the system, and as plasmids prevent superinfection 261 by similar plasmids through surface exclusion and/or entry exclusion, plaCM would gain no 262 benefit from its ability to transfer by conjugation. For these experiments, our strains were 263 labelled to track the relative success of each mode of compensation, and so the label was 264 inserted into the replicon encoding the compensatory mutation i.e. the SBW25APFLU4242 265 chromosome for chrCM, and pQBR57∆PQBR57 0059 for plaCM. SBW25::chrCM carrying wild-266 type pQBR57 was competed against pQBR57::plaCM in a wild-type chromosomal background 267 in populations initially containing wild-type plasmid-free recipients at 50% frequency (1:1:2 268 chrCM:plaCM:recipients), and populations were transferred for 8 transfers (~50 generations). 269 Consistent with our predictions, mercury selection indeed favoured chrCM (Fig. 3, Generalised Linear Mixed Effects Model [GLMM] interaction effect of selection:transfer  $\chi^2 = 102.9$ , p < 1e-7, 270 271 Fig. 3). However, chrCM was also fitter than plaCM without selection (coefficient for transfer = -272 0.32, z = -15.7, p < 2e-16), suggesting that any benefits from the ability of the plaCM to transfer 273 into the recipient pool were outweighed by the reduced amelioration provided by plaCM relative 274 to chrCM (Fig. 3).



Figure 3. Chromosomal compensatory mutations outperform plasmid-borne compensatory
mutations, particularly under positive selection. Subpanels indicate the presence of mercury
selection (No/Yes). Mean of 20 replicates/treatment (10 per marker orientation). Individual
replicate plots are shown in Figure S1.

282 In parallel, we investigated whether the benefits of chrCM could be increased in microbial 283 communities hosting multiple costly plasmids. Our previous worked showed that pQBR57 and 284 pQBR103 could be harboured in the same cell. We also showed pQBR103 could be 285 compensated by the chrCM,  $\Delta$ PFLU4242, both by itself and together with pQBR57, whereas 286 evidence suggested that plaCM exacerbated the cost of pQBR103 (Carrilero et al., 2021; Hall et 287 al., 2021). We therefore predicted that chrCM would be favoured over plaCM both with and 288 without selection in pQBR103-harbouring communities, since chrCM would ameliorate both 289 plasmids. Indeed, in communities harbouring pQBR103, chrCM again outcompeted plaCM (Fig. 290 4, GLMM coefficient for transfer = -0.30, z = -14.5, p < 2e-16, Fig 4), but there was no 291 detectable additional effect of pQBR103 on the relative success of chrCM versus plaCM. 292



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Figure 4. Chromosomal compensatory mutations were not additionally favoured in
environments with another costly plasmid. Subpanels indicate the presence of mercury
selection (No/Yes). Mean of 20 replicates/treatment (10 per marker orientation). Individual
replicate plots are shown in Figure S2.

300 We reasoned that increasing the pool of potential recipients may tip the balance towards plaCM, 301 since conjugation (and thus transmission of the CM) could then play a bigger role in the 302 population dynamics. We again established populations beginning with equal proportions of 303 plaCM and chrCM but varied the proportions of recipients: (i) 10-fold excess of plasmid-free; (ii) 304 equal abundance of plasmid-free; (iii) 10% plasmid-free; (iv) without added plasmid-free. 305 Populations were propagated without selection. Contrary to expectations, plaCM performed 306 relatively poorly against chrCM across all frequencies of plasmid-free recipients (Fig. 5, GLMM transfer: ratio interaction  $\chi^2 = 5.00$ , p = 0.17; main effect of transfer  $\chi^2 = 88.6$ , p < 1e-7; 307 308 coefficient for transfer = -0.35, z = -30.8, p < 2e-16), suggesting that the potential benefits of CM 309 transmission could not be manifested by the plaCM.



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Figure 5. ChrCM was more successful than plaCM regardless of recipient availability. Subpanels indicate, from left to right, the starting fraction of wild-type/plasmid-free cells relative to a
50:50 mix of chromosomal CM (with wild-type plasmid) and plasmid CM in wild-type cells. Plots
indicate the mean of 6 independent experiments; replicate-level plots are provided in Figure S3.
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317 Our model predicted that transmission of wild-type plasmids from chrCM cells could play an 318 important role in the success of chrCM. Furthermore, the theoretical advantage gained by 319 plaCM through horizontal transfer may be reduced when in competition against plasmids carried 320 by cells with chrCM, because wild-type plasmid transfer from chrCM could remove potential recipients for plaCM. However, our initial experiments used fluorescent labels to track the fates 321 322 of the different compensatory alleles, i.e. the chromosomes of chrCM (SBW25::chrCM), and the 323 plasmids of plaCM (pQBR57::plaCM), rather than the plasmids which began in each 324 background. To understand how the plasmids themselves were affected by the different CMs, 325 we established a complementary experiment to that in Fig. 5, except, rather than tracking 326 chrCM, we tracked the wild-type pQBR57 that began in the chrCM background (Fig. 6). 327 Compared with pQBR57::plaCM, uncompensated pQBR57 from chrCM was significantly more 328 successful, with a considerable proportion of the plasmids at the end of the experiment being 329 uncompensated plasmids that began in the chrCM population, and patterns of invasion 330 depending on the abundance of potential recipients (GLMM third-order polynomial transfer: ratio interaction  $\chi^2 = 287.4$ , p < 1e-7). Notably, comparison with the experiments in Fig. 3 (which 331 332 were performed in parallel) revealed that invasion of the plasmid from chrCM pre-empted the 333 invasion of chrCM, indicating that plasmid transmission likely contributed to the overall success 334 of chrCM. Essentially, as plasmid-free competitors are removed from the system by infection 335 with the wild-type uncompensated plasmid, the space is filled by competition between CMs, 336 which favours the more effective mechanism of compensation, chrCM. Indeed, when plasmid-337 free recipients were initially rare, the dynamics essentially come down to a competition between 338 the compensatory mutations, with the most effective mechanism, chrCM, becoming dominant. 339 The experimental results were therefore consistent with our model prediction that transmission 340 of costly plasmids, and the consequent removal of plasmid-free recipients, facilitates the 341 success of chrCM.



**Figure 6.** Plasmids carried by chrCM cells were more successful than plaCM plasmids regardless of recipient availability. Plots are arranged as Fig. 5. Plots indicate the mean of 6 independent experiments; replicate-level plots are provided in Figure S4.

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350 The relative inability of plaCM to invade the recipient population, when compared with wild-type 351 pQBR57 from chrCM cells, suggested that either plaCM exerted a negative pleiotropic effect on 352 the ability of pQBR57 to invade a recipient population, or, conversely, that chrCM enhanced the 353 ability of wild-type pQBR57 to invade. To distinguish between these possibilities, we conducted 354 a similar experiment to Fig. 6, but here, plaCM was competed against pQBR57 harboured by an 355 uncompensated competitor. As with Fig. 6, fluorescent labels were designed to track the relative 356 success of the two plasmids. Unexpectedly, plaCM was only able to outcompete wild-type 357 pQBR57 in the situation where plasmid-free recipients started at low frequency, i.e. effectively a 358 head-to-head competition between compensated and uncompensated plasmid-bearers. We 359 detected a significant effect of plasmid-free recipient ratio on the competition dynamics (GLMM fourth-order polynomial transfer: ratio interaction  $\chi^2 = 414.4$ , p < 1e-7). Specifically, under 360 361 conditions where recipients were more numerous, wild-type pQBR57 outcompeted the plaCM 362 despite higher fitness costs (Fig. 2), an observation that suggests that plaCM inhibits plasmid 363 transmission (or establishment in transconjugants) relative to the wild-type. Overall, plaCM did 364 not appear to gain a substantive fitness benefit from horizontal transmission, only reaching



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Figure 7. Plasmid-free, uncompensated, and plaCM-compensated plasmids undergo 'rockpaper-scissors' cyclical dynamics. Plots are arranged as Fig. 5. Plots indicate the mean of 6 independent experiments; replicate-level plots are provided in Figure S5.

Our analytical models for plaCM predicted cyclical RPS-like dynamics for some combinations of parameters (Fig 1B orange region). The experimental results in Fig. 7 were consistent with this prediction. Specifically, plasmid-free populations were invaded by the wild-type plasmid (left panel, and mid-left panel after transfer 4), the wild-type plasmid was outcompeted by plaCM (right panel, mid-right panel, and mid-left panel before transfer 4), and plaCM was outcompeted by plasmid-free (mid-right panel). To explore the dynamics in further detail we experimentally determined key parameters in our system (Table S1) and compared these with the analytical model (Fig. 1). With our system, and with experimentally-measured parameter values, the uncompensated plasmid exceeds the threshold for plasmid invasion and domination, which is indeed what we have described previously (Stevenson et al., 2017). Both chrCM and plaCM likewise exceed the threshold for domination by 18- and 10-fold respectively, indicating that, with sufficient reduction in  $\gamma_0$  relative to  $\gamma_P$ , the plaCM system might exist in the  $(f^*, p^*, q^*)$  region

### 365 dominance under conditions that prioritise vertical replication and only in populations without

of parameter space. Numerical simulations based on equations 1–6 and Supplementary
Equations 1, and parameterised with biologically-plausible values, resulted in dynamics
resembling observed experimental results, provided plaCM decreased conjugation rate
sufficiently (10–100×, Fig. 8). An interactive (Shiny) app enabling readers to explore these
patterns is provided at (jpjh.shinyapps.io/COMPMOD\_shiny).







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**Figure 8.** ODE-based model simulations resemble experimental results. Numerical simulations of a batch-transfer model using the following parameters:  $\alpha = 0.6 \text{ h}^{-1}$ , SBW25(pQBR57) relative fitness = 0.82, SBW25(pQBR57::plaCM) relative fitness = 0.95,  $K = 5.7 \times 10^9 \text{ m}^{-1}$ ,

397 uncompensated conjugation rate =  $9.9 \times 10^{-12}$  ml.cells<sup>-1</sup>h<sup>-1</sup>, plaCM conjugation rate =  $1.3 \times 10^{-13}$ 398 ml/cells/h;  $\gamma_P \sim 75 \times \gamma_Q$ . Top panels indicate dynamics over 8 transfers, bottom panels over 192 399 transfers. Details on parameterisation are provided in Supplementary Table 1. An interactive 400 version of this figure is provided at jpjh.shinyapps.io/COMPMOD\_shiny. 401 //

403 Together, our experimental and modelling results suggested that plaCM has a negative 404 pleiotropic effect on conjugative transmissibility. Previous attempts to measure the intraspecific 405 conjugation rates of pQBR57 with and without chrCM/plaCM did not detect any significant 406 differences from the wild-type pQBR57 (Hall et al., 2021). However, these experiments were 407 conducted over a relatively long time window (24 hr), which could allow fitness differences 408 between transconjugants, donors, and recipients to mask differences in transfer rate (Huisman 409 et al., 2022; Kosterlitz et al., 2022). We therefore re-measured conjugation rate using the 410 Approximate Extended Simonsen approach (Huisman et al., 2022) — an extension to the 411 popular 'Simonsen's gamma' (Simonsen et al., 1990) that accommodates the potential for 412 variation in growth rate. Unexpectedly, we did not detect any significant difference between the 413 wild-type and plaCM variants of pQBR57, and certainly not the order-of-magnitude difference 414 predicted by the model (Figure S6;  $t_{9.86} = 0.75$ , p = 0.94, TOST equivalence test with  $log_{10}$ -415 transformed bounds  $\pm 0.5$ , p = 0.02). We therefore hypothesise that the differences in pQBR57 416 transmissibility between wild-type and plaCM variants observed in Fig. 7 emerge from yet-to-be-417 determined processes following plasmid establishment in recipient cells.

#### 419 Discussion

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420 Plasmid fitness cost amelioration is an important process driving the maintenance, distribution, 421 and dissemination of plasmids and their associated traits. Where plasmid fitness costs are 422 generated from an interaction between the plasmid and resident chromosomal genes, mutations 423 affecting either of these partners can enable plasmid survival. Previous experimental evolution 424 studies on CMs have generally implicated chromosomal loci rather than plasmid loci as the principal targets, a finding which has been taken to reflect mutational supply, availability, and/or 425 426 the poor ability of oftentimes recessive compensatory mutations to penetrate when appearing 427 on a multi-copy plasmid (Mei et al., 2019; Stalder et al., 2017). But although more difficult to 428 access, plaCMs, once achieved, ought to be more successful than chrCMs under a range of 429 ecological conditions owing to the simple fact that plaCM is propagated when the plasmid 430 transfers into recipients (Zwanzig et al., 2019). The relatively high transfer rate of pQBR57 431 ought to have further accentuated this benefit (Hall et al., 2015), particularly under 432 environmental conditions with high recipient availability. In contrast to these expectations, our 433 analyses and experiments showed that plaCM was not successful under most tested conditions, 434 losing out to chrCM, wild-type plasmids harboured by chrCM-containing cells, and even, where 435 opportunities for HGT were plentiful, wild-type plasmids from cells lacking CMs.

437 There are several processes that could explain the relative failure of plaCM. First, our analyses 438 of extensions to a simple plasmid population dynamics model reveal that for transmissible 439 plasmids, chrCMs provide a hidden benefit besides directly reducing the fitness cost of plasmid 440 carriage to their bearers: conjugation from chrCM-containing cells transforms plasmid-free 441 competitors into plasmid-carriers suffering the full burden of uncompensated plasmid carriage. 442 indirectly enhancing the relative fitness of chrCM. Previous numerical simulations have likewise 443 demonstrated the possibility for 'weaponisation' of conjugative elements through compensatory 444 mutation, and such a dynamic provides a further explanation for the persistence of non-445 beneficial plasmids in communities (Domingues et al., 2022; Rebelo et al., 2023b, 2023a). Our 446 analyses generalise these findings and demonstrate that a high degree of amelioration and low 447 impact on transmissibility will enhance chrCM invasion, particularly if the local chrCM frequency is sufficiently high, a condition that is more likely to be met in spatially-structured habitats and/or 448 449 where chrCM confers pleiotropic environmentally-adaptive benefits (Kloos et al., 2021; Loftie-450 Eaton et al., 2017; Rebelo et al., 2023a). Thus, the marginal benefits of conjugative 451 transmissibility for plaCM in competition with chrCM are reduced.

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453 Second, the benefits to plaCM of conjugative transmission wane as the plasmid-free recipient 454 pool is diminished, from either (i) selection against plasmid-free recipients, or (ii) recipient 455 acquisition of plasmids that can bar the incoming plaCM by incompatibility or exclusion. We 456 observed both dynamics in our experiments. The effects of the latter mechanism are even more 457 pronounced if there is a mechanistic trade-off between compensating plasmid fitness costs and 458 the ability of the plasmid to transfer or establish in recipients, such as our results suggest, since a more transmissible (but more costly) plasmid can sweep through the recipient population. 459 460 blocking access for the plaCM. Once the plasmid-free population is diminished, the dynamics of 461 the system are driven by the relative growth rates of the different subpopulations, which in turn 462 are determined by the degree of amelioration provided by chrCM and plaCM. In our system, 463 SBW25::chrCM(pQBR57) outgrows SBW25(pQBR57::plaCM) in direct competition, because 464 plaCM is not as efficient as chrCM at compensating the fitness cost of pQBR57. This 465 discrepancy is likely due to the molecular mechanisms that underpin the principal fitness costs 466 of pQBR57 and their resolution by compensatory mutation. PFLU4242 is a putative 467 endonuclease, with a DUF262/DUF1524 domain structure resembling that of the GmrSD type 468 IV restriction system (Machnicka et al., 2015), and so we hypothesised that this gene is 469 somehow directly responsible for generating the dsDNA breaks that trigger the SOS response 470 and subsequent toxic gene expression patterns characteristic of uncompensated pQBR57

471 carriage (Hall et al., 2021). Loss-of-function mutations to PFLU4242 (i.e. chrCM) would directly 472 prevent these breaks from occurring. On the other hand, PQBR57 0059 encodes a lambda 473 repressor-like protein that regulates expression of two other pQBR57-encoded putative DNA-474 binding proteins, PQBR57 0054-0055, and it is upregulation of PQBR57 0054-0055 which 475 provides the proximal mechanism of plaCM compensation, through a mechanism not yet fully 476 understood. ChrCM therefore likely provides a more direct route than plaCM to resolving the 477 genetic conflict at the heart of pQBR57-SBW25 fitness costs, and thus is mechanistically a more 478 effective CM. Ultimately, it is likely to be the degree to which CMs reduce the cost of plasmid 479 carriage, rather than CM transmissibility, which will primarily determine CM success.

481 Unexpectedly, plaCM was a poor competitor against the wild-type uncompensated pQBR57, 482 winning out only in cases where there were few opportunities for conjugation. This experimental 483 observation, coupled with our model parameterisation and numerical simulations, strongly 484 implies that pQBR57::plaCM is not as effective as the wild-type plasmid at transmitting by 485 conjugation and/or establishing in recipients. As measured conjugation rates of wild-type 486 pQBR57 and pQBR57::plaCM have been indistinguishable, even when controlling for the 487 different fitness effects of the two plasmids, these observations imply that differences in 488 transmissibility emerge from processes other than plasmid transfer per se. PQBR57\_0055 is a 489 Spo0J/ParB-like protein, homologues of which have been shown to have various, non-specific 490 effects on gene expression, and by upregulating PQBR57 0054-0055, plaCM could have 491 various pleiotropic effects in transconjugants. For example, plasmids have been shown to 492 impose transient costs on acquisition, mainly driven by increased in lag time and usually resolved in a matter of hours, alongside the longer lasting fitness costs (Ahmad et al., 2023; 493 494 Prensky et al., 2021). Additionally, some plasmids exhibit 'conjugation derepression', whereby, 495 for a short period, transconjugants display an increased onward conjugation rate. The extent 496 and duration of such 'acquisition costs' and conjugation derepression may vary between plaCM 497 and wild-type to disfavour plaCM transmission without having a direct impact on transmission rate. Overall, our experiments comparing pQBR57::plaCM and wild-type pQBR57 are congruent 498 499 with several other studies demonstrating trade-offs between vertical and horizontal plasmid 500 transmission (Bethke et al., 2023; Dahlberg and Chao, 2003; Dimitriu et al., 2021; Porse et al., 501 2016; Turner et al., 2014), and further show that imperfect plaCMs that trade-off against 502 transmission can generate long-standing oscillatory dynamics which could sustain diversity in 503 the plasmid population.

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505 The chrCM in our system ameliorates diverse other mercury resistance plasmids, including 506 pQBR103 and pQBR55 (Hall et al., 2021, 2019), and can ameliorate the costs of co-habiting 507 compatible plasmids (Carrilero et al., 2020). Previous work has likewise shown the generality of 508 chromosomal compensatory mutations in reducing the fitness costs of different plasmids (Loftie-509 Eaton et al., 2017). Our experiments did not detect a beneficial effect on chrCM vs. plaCM when 510 pQBR103 was introduced, likely because the low conjugation rate of pQBR103, overall benefit 511 of chrCM, and short period of the experiment meant that any selective pressure imposed by 512 pQBR103 acquisition was negligible. Nevertheless, the fact that chrCM was more likely to 513 outcompete plaCM even in a single-plasmid (pQBR57) system indicates that lineages gaining 514 CMs can become pre-disposed to acquiring further plasmids, potentially becoming hubs for 515 horizontal gene transfer, plasmid recombination, and trait dissemination in microbial 516 communities. Efforts to limit HGT, for example to control the spread of antibiotic resistance, 517 should therefore focus on identifying and targeting such 'keystone' strains. One possible route 518 would be to use antagonistic parasitic MGEs such as lytic bacteriophage. The target of chrCM in 519 our system appears related to GmrSD, a known genome defence mechanism, and while little 520 was known of the biological function of the P. aeruginosa PAO1 chrCM targets identified by San 521 Millan et al. (San Millan et al., 2015) at the time of discovery, gene function prediction tools now 522 associate the accessory helicase PA1372 and partner gene PA1371 with genome defence 523 ('Helicase + DUF2290 system') (Payne et al., 2021; Tesson et al., 2022). Likewise, the 524 'Xpd/Rad3-like helicase' and 'upstream UvrD helicase' targets of chrCMs identified by Loftie-525 Eaton et al. (Loftie-Eaton et al., 2017) in Pseudomonas sp. H12 refer to predicted components 526 of prokaryotic Argonaute type III and Gabija respectively, while in Vibrio, a recently-discovered 527 defence system DdmABC confers a high fitness cost on bearers of large plasmids such that 528 they are removed from a population by purifying selection in a manner that resembles the large 529 fitness cost imposed by PFLU4242 (Jaskólska et al., 2022). The ability of 'MGE-favourable' 530 organisms to receive and host plasmids thus likely trades off against susceptibility to costly 531 parasitic elements, and exploiting this weakness may be a profitable approach to controlling the 532 maintenance and spread of unwanted mobile genetic elements in various microbiomes. 533

Though some aspects — namely the relative degree to which chrCM and plaCM ameliorate
plasmids and the extent to which plaCM imposes pleiotropic effects on conjugation rate — may
be system specific, the superiority of chromosomal CMs over plasmid-borne CMs in terms of
mutational accessibility, indirect effects on plasmid-free competitors (as presented here with a
general theoretical mechanism), mechanistic efficacy, and reduced potential for trade-off with

horizontal transmission, are likely to generalise to diverse other plasmid-bacterial pairings,
including pathogens and multi-drug resistance plasmids. One broader implication is that
transmissible plasmids thus have a limited ability to 'act nice' by effectively ameliorating their
own costs by evolution. Instead, plasmids are under stronger selection to improve their
transmissibility and intracellular competitiveness, and it is largely down to resident genes to
accommodate these unruly vectors — or remove them.

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# 546 Acknowledgements

This work was supported by the Natural Environment Research Council (NE/R008825/1) to
MAB, EH, AJW, SP, JPJH. RCW is supported by a funding from the Biotechnology and
Biosciences Research Council to RCW and MAB (BB/T014342/1). JPJH is supported by an
MRC Career Development Award (MR/W02666X/1). MJB is supported by the Wellcome Trust
Sir Henry Wellcome Fellowship (221663/Z/20/Z).

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# 553 Author contributions

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RCTW, AJW, MJB, JPJH. Funding acquisition: MAB, EH, AJW, SP, JPJH. Visualization: RCTW,
AJW, MJB, JPJH. Writing — original draft: RCTW, AJW, MAB, JPJH. Writing — review &
editing: RCTW, AJW, MJB, EH, MAB, JPJH.

559

## 560 Methods

## 561 Bacterial strains

562 Fluorescently-labelled strains of Pseudomonas fluorescens SBW25 and P. fluorescens SBW25△PFLU4242 (Hall et al., 2019) were generated using the mini-Tn7 system and plasmid 563 pUCT-mini-Tn7T-Gm-eyfp (Choi and Schweizer, 2006) or a derivative in which dTomato was 564 cloned to replace eyfp. The pQBR57 ΔPQBR57 0059 knockout, and fluorescently-labelled 565 variants of megaplasmid pQBR57 (Hall et al., 2015; Lillev et al., 1996) were generated using 566 567 homologous recombination with plasmid pTS-1 (Campilongo et al., 2017). Briefly, for the knockout, 1 kb flanking regions of pQBR57 were amplified and cloned into the MCS of Xba-568 569 KpnI-digested pTS-1 using NEB HiFi assembly. For the fluorescently-labelled plasmids, 570 fragments of pQBR57 and an expression cassette consisting of a Ptac promoter driving a 571 fluorescence protein gene (either eGFP or tdTomato), followed by lambda t0 and rrnB T1 572 terminators were amplified and cloned into the MCS of XhoI/KpnI-digested pTS-1 using NEB

573 HiFi assembly. Constructs were transformed into SBW25(pQBR57) by electroporation (Choi 574 and Schweizer, 2006), and merodiploids selected on KB supplemented with 100 µg/ml 575 tetracycline. Double-crossovers were selected on LB supplemented with 10% w/v sucrose and 20 µM HgCl<sub>2</sub>, and candidates screened by PCR and tetracycline sensitivity before sending for 576 577 whole genome sequencing (2x250 bp, >30x coverage, MicrobesNG) to test for second-site 578 mutations. Using breseq (Deatherage and Barrick, 2014), no second-site mutations were 579 detected for the strains used in these experiments. For experiments, each plasmid-containing 580 replicate was established with an independent transconjugant from a genome-sequenced donor 581 strain. Conjugation experiments were performed with non-fluorescent antibiotically-labelled 582 strains described previously (Hall et al., 2021).

### Competition experiment

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585 To determine the short-term fitness effects of compensation, direct competitions were performed 586 between YFP- versus dTomato-labelled strains. Overnight cultures were mixed at 1:1 ratio 587 (test:reference) before inoculation at 1:100 dilution into 6 ml King's B media in a 30 ml glass 588 universal with loose-fitting lid ('microcosm'), with or without mercury (Hg (II), 40 µM) and incubated 589 at 28°C, 180 rpm for 24 hours. Each competition was repeated with 10 biological replicates. Flow 590 cytometry was used to estimate bacterial counts: starting mixtures and endpoint competition cultures were diluted 1:100 into M9 buffer and run on a Beckman Coulter CytoflexS machine at 591 592 17  $\mu$ l.min<sup>-1</sup> for either 5,000 counts (determined as events with signal in SSC-H channel > 10<sup>3</sup>) or 90 seconds maximum. Between samples, M9 buffer was sampled for 5 seconds to minimise 593 594 cross-over between samples. Strain counts were determined by gating in the following channels: FITC-H (gate=10<sup>3.4</sup> for YFP) and PE-H (gate=10<sup>3.4</sup> for dTomato). Relative fitness was calculated 595 as the difference in Malthusian parameters,  $r = \ln\left(\frac{test_{end}}{test_{start}}\right) - \ln\left(\frac{reference_{end}}{reference_{start}}\right)$ . 596

# 598 Serial passage experiments

For all evolution experiments, bacterial populations were grown in 6 ml KB microcosms at 28 °C with agitation at 180 rpm. Serial daily transfers of 1% population into fresh media were performed for 8 days, with daily flow cytometry used to track population dynamics. For flow cytometry, cultures were diluted 1:100 into M9 buffer and incubated with Hoechst 34580 stain (5  $\mu$ g/ml) for 15 minutes in the dark at room temperature to enable detection of unlabelled bacterial strains. Flow cytometry data was sampled for 60 seconds at 17 $\mu$ l.min<sup>-1</sup> with minimal gating for size (FSC-H > 10<sup>3</sup>), and strain counts were determined in post analysis with the following thresholds: 606 Hoechst-stained bacteria (i.e., total bacterial count, PB450-H >  $10^4$ ), YFP only (FITC-H >  $10^{3.5}$ ), 607 dTomato only (PE-H >  $10^{3.4}$ ), YFP+dTomato clumps (FITC-H >  $10^{3.5}$  and PE-H >  $10^{3.4}$ ). Between 608 samples, M9 buffer was sampled for 5 seconds to minimise cross-over between samples. For 609 each fluorescently labelled strain, single-strain populations (3 biological replicates) were serially 610 transferred for the duration of the experiment to ensure maintenance of the fluorescent signal.

612 To investigate the benefit of differing modes of compensation under varying selection pressures, 613 we first challenged equal proportions of plaCM (SBW25(pQBR57∆0059)) against chrCM 614 (SBW25APFLU4242(pQBR57)) in the presence of either a plasmid-free wild-type host (SBW25) or a wild-type host bearing a more costly conjugative plasmid (SBW25(pQBR103)). In all 615 populations, the wild-type host started at ~50% frequency and carried a gentamicin resistance 616 617 marker (Gm<sup>R</sup>). In a fully factorial design, each population was grown in either in the presence or 618 absence of mercury (Hg (II), 40 µM). Fluorescent markers (YFP or dTomato) associated with each mode of compensation allowed tracking of population dynamics in the presence of a non-619 620 fluorescent wild-type strain, with 10 biological replicates per marker orientation.

622 The impact of host availability on the benefit of plaCM was investigated in a separate evolution 623 experiment, by challenging GFP-labelled plaCM (SBW25(pQBR57 $\Delta$ 0059::GFP)) against different 624 host:plasmid backgrounds in the presence of varying ratios of plasmid-free wild-type hosts (SBW25::Gm<sup>R</sup>), including 10x excess, equal proportions, 10x fewer and no available hosts. At 625 626 each level of host availability, plaCM was competed against chrCM with a chromosomally-627 encoded fluorescent label (SBW25∆4242::dTomato(pQBR57)), chrCM with a plasmid-encoded 628 fluorescent label (SBW25\d242(pQBR57::tdTomato)) or a wild-type plasmid bearer 629 (SBW25(pQBR57::tdTomato)). For each treatment, 6 biological replicates were performed. Raw 630 data from flow cytometry experiments are provided at https://doi.org/10.5285/51046841-deaa-631 422f-a303-2c0759f014b4.

# 633 **Conjugation rates**

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634 Streptomycin-resistant *lacZ*-carrying donors (either SBW25(pQBR57) or

635 SBW25(pQBR57 $\Delta$ pQBR57\_0059)), Gm<sup>R</sup> recipients (SBW25), and Gm<sup>R</sup> transconjugants (either 636 SBW25(pQBR57) or SBW25(pQBR57 $\Delta$ pQBR57\_0059)), cultured overnight in 150 µl KB broth 637 in an untreated CytoOne 96-well microtitre plate at 28°C, were subcultured 1:30 into 150 µl 638 fresh media and placed in a Tecan Nano plate reader for incubation at 28°C with shaking. When 639 exponential phase was reached (assessed by examination of growth curves; OD600 ~ 0.4)

640 cultures were again diluted 30-fold into KB. Mixed cultures containing donors and recipients, or 641 single-strain donor, recipient, or transconjugant cultures were sampled and cultured in the plate 642 reader and spread on KB agar plates supplemented with 50 µg/ml X-gal for enumeration. After 643 approx. 4 hours growth, cultures were again sampled and spread on KB agar plates, some of 644 which were supplemented with antibiotics (250 µg/ml streptomycin or 30 µg/ml gentamicin) and 20 µM mercury to enumerate transconjugants. Conjugation rates were calculated with the 645 Approximate Extended Simonsen Method (Huisman et al., 2022). 646

# 647

#### **Statistics** 648

Relative fitness was analysed using linear models, with post-hoc pairwise comparisons 649 650 performed using the package emmeans (Lenth, 2023). Dynamics were analysed with 651 Generalized Linear Mixed Effects Models (GLMM) using the R package glmmTMB (Brooks et 652 al., 2017), with a beta-binomial response distribution, a logit link function, and the counts of 653 each competitor as the response variables. Preliminary analyses identified overdispersion in the 654 data, justifying the use of a beta-binomial rather than a binomial response distribution (Harrison, 655 2015). Non-independence of measurements arising from repeated sampling of populations was 656 accommodated with random effects of 'population' on intercept and slope, except for the 657 experiment presented in Fig. 7 which included only the random effect on intercept due to 658 extremely low variance and high correlation between random effects preventing model 659 convergence. For experiments presented in Figs. 6 and 7, polynomial terms were added to 660 accommodate potentially non-monotonic relationships between competitors over time. 661 Significance of fixed effects were determined by comparison of nested models using likelihood 662 ratio tests. Conjugation rate data were analysed by t-test and two one-sided tests using the 663 package TOSTER (Lakens et al., 2018). Data and analysis scripts are provided at 664 https://github.com/jpjh/COMPMUT dynamics.

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