# A comprehensive investigation of intracortical and corticothalamic models of alpha rhythms

1

2

3

Δ

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

Sorenza P. Bastiaens<sup>1,2</sup>, Davide Momi<sup>2</sup>, and John D. Griffiths<sup>1,2</sup>

<sup>1</sup>Institute of Medical Sciences, University of Toronto <sup>2</sup>Krembil Centre for Neuroinformatics, Centre for Addiction and Mental Health, Toronto

March 2024

#### Abstract

Alpha rhythms are a robust phenomenon prominently observed in posterior resting state electroencephalogram (EEG) that has been shown to play a key role in a number of cognitive processes. However, the underlying mechanisms behind their generation is poorly understood. Here, we showcase the most concrete, mathematically-expressed theoretical foundations for understanding the neural mechanisms underlying the alpha rhythmogenesis. The neural population models of interest are Jansen-Rit (JR), Moran-David-Friston (MDF), Robinson-Rennie-Wright (RRW) and Liley-Wright (LW). Common elements between all models are identified, such as the description of each neural population in the form of a second-order differential equation with a potential-to-rate operator represented as a sigmoid and a rate-to-potential operator usually expressed as an impulse response. Even though these models have major differences, they can be meaningfully compared by associating parameters of analogous biological significance, which we summarize with a unified parameter table. With these correspondences, rate constants and connectivity parameter space is explored to identify common patterns between similar behaviors, such as the role of excitatory-inhibitory interactions in the generation of oscillations. Through stability analysis, two different alpha generation mechanisms were identified: one noise-driven and one self-sustaining oscillation in the form of a limit cycle emerging due to a Andronov-Hopf bifurcation. This work contributes to improving our mechanistic and theoretical understanding on candidate theories of alpha rhythmogenesis.

# Contents

28	

1	Bac	kground	3	29
	1.1	1 Overview and aims		
	1.2	2 The alpha rhythm: origins and theories		
	1.3	.3 Bridging scales: mathematical modelling of mesoscopic neural population dynam		
	1.4	Tracing the roots of NPMs: early history	10	33
	1.5	Classification of NPMs and mathematical characteristics of		34
		convolution-based models	13	35
2 Methods				36
	2.1	Alpha rhythm models	15	37
		2.1.1 Jansen-Rit model	15	38
		2.1.2 Moran-David-Friston model	17	39
		2.1.3 Liley-Wright model $\ldots$	19	40
		2.1.4 Robinson-Rennie-Wright model	21	41
	2.2	Simulation, power spectrum, and stability analysis methods	25	42
3	$\operatorname{Res}$	ults	<b>28</b>	43
	3.1	Analysis of neural model dynamics	28	44
		3.1.1 Characteristics of model-generated alpha activity	28	45
		3.1.2 Structure of parameter space	32	46
	3.2	Comparative evaluation of models	40	47
		3.2.1 Topology	40	48
		3.2.2 Equations $\ldots$	41	49
		3.2.3 Unified parameter table	42	50
		3.2.4 Deciphering the biological basis and rationale of parameter values	43	51
4	Disc	cussion	48	52
	4.1	Summary of main findings	48	53
	4.2	Model limitations and critique	50	54
	4.3	Alternative models of alpha rhythm beyond NPMs	53	55
		4.3.1 Two levels down: multicompartmental microcircuit models	53	56
		4.3.2 One level down: spiking neuron network models	54	57
		4.3.3 One level up: whole-brain NPMs	55	58
	4.4	Conclusion and future work	56	59

# 1 Background

### 1.1 Overview and aims

The classical alpha rhythm is an 8-12Hz oscillatory activity pattern that is highly prominent 62 in electroencephalogram (EEG), electrocorticogram (EcoG), and local field potential (LFP) 63 recordings from humans and other species, particularly during states of quiet wakefulness (Fig. 64 1, A1 and A2). Almost 100 years after its discovery (Berger, 1929), alpha frequency activity 65 remains one of the most robustly observed and broadly significant phenomena in all of neuro-66 science, yet also one of the most enigmatic (Bollimunta et al., 2011). Alpha plays a fundamental 67 role in a wide range of cognitive processes, and abnormal alpha rhythms are frequently iden-68 tified in psychiatric and neurological conditions as summarized in Fig. 1, A3 (Bucci et al., 69 2004; Clancy et al., 2017; Deiber et al., 2020; Jensen and Mazaheri, 2010). However, despite 70 the profound importance of alpha rhythms - both in terms of their undeniable prominence in 71 empirical EEG data, and their implication across a broad range of phenomena across clinical 72 and cognitive neuroscience, their mechanistic physiological basis and functional significance re-73 mains unclear. Several theories of alpha rhythmogenesis have been proposed over the years, 74 often emphasizing different physiological substrates such as recurrent activity and excitatory-75 inhibitory interactions in cortical column microcircuits, or delayed inhibitory feedback within 76 cortico-thalamocortical loops (Fig. 1, B2). There have however been relatively few attempts to 77 evaluate and compare in detail these alternative theories in conjunction, and thereby arrive at 78 a useful synthesis of the most compelling accounts. Developing such a synthesis is a principal 79 aim of the present study. 80

A central criterion around which we base this investigation is the requirement that the 81 models of interest should be expressed in concrete mathematical language, as well as being 82 implemented in numerical simulations and/or quantitative analytic computations. Specifically, 83 we consider a particular type of neurophysiological model - neural population models (NPMs) 84 (Fig. 1, C1) - that have been used extensively over the past half century as a tool to better 85 understand alpha activity (Lopes da Silva and Van Leeuwen, 1977; Grimbert and Faugeras, 86 2006b; Jansen and Rit, 1995; Liley et al., 2001; Bhattacharya et al., 2011; David and Friston, 87 2003; Hartovo et al., 2019; Robinson et al., 2003). We focus on four extensively studied NPMs 88 that are commonly used to describe EEG alpha activity in the neuroimaging, neurophysiology, 89 and computational neuroscience literature. We refer to these as the Jansen-Rit (JR; Jansen 90 and Rit 1995), Moran-David-Friston (MDF; David and Friston 2003; Moran et al. 2007), Liley-91 Wright (LW; Liley et al. 1999, 2001), and Robinson-Rennie-Wright (RRW; Robinson et al. 2002, 92 2003) models. These shorthand terms reference certain key individuals who contributed to the 93 conception and/or development of several prominent strands in the research literature. We do 94 note however that they are imperfect ones - both because all of the models studied here build 95 directly on the earlier work of other important theoreticians (e.g. Freeman, Zetterberg, Lopes 96 Da Silva, Cowan, Nunez), and also in some cases each other (e.g. MDF is an indirect extension 97 of JR). We begin over the next few sections with a description of general elements present in the 98

61

JR, MDF, LW, and RRW models, and a summary of their individual characteristics. Direct 99 comparisons between each of them are then made, first in the context of the alpha regime, 100 and then extending into other oscillatory regimes at non-alpha frequencies. A central objective 101 in this work is to identify common patterns between the models, using numerical simulations 102 and linear analysis across a broad parameter space to identify the effects of rate constants, 103 inter-population connectivity structure, and other factors on oscillatory dynamics. These sim-104 ilarities and differences across models constitute the points of agreement and divergence across 105 current theories of alpha rhythmogenesis, and it is the mapping of this theoretical landscape 106 that is our main aim in the present paper. The origin, biological significance, and validity of 107 their parameters, as well as the functional forms of their equations, are also considered when 108 discussing the respective limitations and advantages of each candidate model. 109

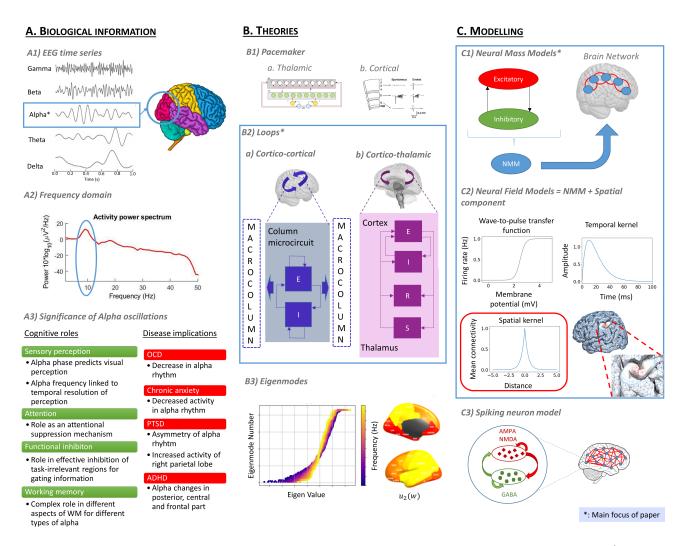


Figure 1. Overview of steps leading to neural population models of alpha oscillations. A) Alpha oscillations are most strongly observable in the occipital lobe of the cerebral cortex (A1), where they are characterized by a peak in the power spectrum between 8-12Hz (A2). Panel A3 summarizes the role alpha plays in cognitive processes, as well as abnormal alpha rhythm features observed in various diseases. B) Summary of the different theories that have been proposed to explain the alpha rhythm. We focus on theories emphasizing the importance of interactions between neural populations (B2). C) Alpha rhythm theories are clarified and concretized by mathematical formulations, allowing numerical and analytical investigation of their predictive and explanatory scope. The principal class of models used to date are neural population (neural mass and neural field) models (C1 and C2), which are the focus of the present work.

### 1.2 The alpha rhythm: origins and theories

Neural oscillations are repetitive, quasiperiodic patterns of brain activity that are believed to 111 play a key role in various sensory-cognitive processes (Başar, 2013). In humans, oscillations 112 are most commonly studied with EEG, a non-invasive neuroimaging modality that uses scalp-113 recording electrodes to capture large-scale neuroelectric activity with high temporal resolution. 114 EEGs measure differences in electrical potential between recording and reference electrodes on 115 the scalp that results from summed postsynaptic dipoles in the brain. In order to quantify oscil-116 latory activity, the measured signal is typically decomposed into its power spectrum frequency 117 components via Fourier transform, and often aggregated into canonical frequency bands (delta: 118

1-4Hz, theta: 4-8Hz, alpha: 8-12Hz, beta: 12-35Hz, gamma: above 35Hz) for further analysis (Abhang et al., 2016).

Alpha waves, usually defined as the EEG frequency band between 8 and 12 Hz (Moini and 121 Piran, 2020), are associated with quiet wakefulness, meditation, relaxation and reflection (Hal-122 gren et al., 2019). In the EEG recording, they are most prominent in the occipital lobe of the 123 cortex when the subject is awake with eyes closed during resting state (Klimesch, 1999). Their 124 role is believed to be fundamental for a number of top-down cognitive processes (Halgren et al., 125 2019) such as sensory perception (Samaha and Postle, 2015), attention (as an attentional sup-126 pression mechanism Foxe and Snyder 2011), functional inhibition (Jensen and Mazaheri, 2010) 127 working memory (Wianda and Ross, 2019) and long-term memory (Klimesch, 2012). Abnor-128 mal EEG rhythmic patterns, including aberrant alpha oscillations, are indicative of atypical 129 bioelectrical activity that may suggest the presence of cognitive and/or mental disorders. Thus, 130 robust resting state alpha activity is considered an indicator of healthy cognitive functioning. 131 Reduced alpha power or lowered alpha peak frequencies resulting from aging, head trauma, or 132 exposure to toxins may be correlated with a neurological disorder or brain impairment, such as 133 traumatic brain injury (TBI), or dementia (Scally et al., 2018; Buchanan et al., 2021). Both 134 the power and topography of the alpha rhythm is altered in epilepsy patients (Abela et al., 135 2019). Several psychiatric conditions are also associated with a decrease in activity in the alpha 136 rhythm, namely chronic anxiety (Fingelkurts et al., 2006; Roohi-Azizi et al., 2017), and ob-137 sessive compulsive disorder (OCD), sometimes accompanied by concomitant changes at theta 138 and beta frequencies (Karadag et al., 2003). Asymmetry of the alpha rhythm and increased 139 activity of the right parietal lobe is observed in patients experiencing post-traumatic stress 140 disorder (PTSD) (Metzger et al., 2004; Roohi-Azizi et al., 2017). A comprehensive survey of 141 the vast research literature on alpha in cognitive and clinical neuroscience is beyond the scope 142 of the present work; for this we refer the reader to excellent recent treatments by Ippolito et al. 143 (2022); Başar and Güntekin (2012)144

Although the alpha rhythm was the first rhythmic wave identified and named by Hans 145 Berger in 1929 (Berger, 1929; Tudor et al., 2005), and it is considered the predominant oscilla-146 tion in the human brain (Klimesch, 2012) with significant implications in empirical EEG data 147 and various clinical and cognitive neuroscience studies, the physiological mechanism underlying 148 its generation and functional significance remain poorly understood. Unlike other character-149 ized brain oscillations, such as beta and gamma waves, whose neural circuitry relies on local 150 connectivity (Lozano-Soldevilla, 2018), the generation of alpha rhythm is thought to involve 151 contributions from both cortical and thalamic regions, which can influence and interfere with 152 each other, suggesting an elaborate neural circuitry (Lozano-Soldevilla, 2018; Lopes da Silva, 153 1991). Several hypotheses have been proposed regarding the composition and mechanistic or-154 ganization of these alpha circuits, which can be grouped under three categories: *pacemaker*, 155 *local network*, and *global network* theories. The pacemaker theory suggests that intrinsic al-156 pha oscillations are generated either in the thalamus, driven by pulvinar or and/or the lateral 157 geniculate nucleus (Saalmann et al., 2012; Lőrincz et al., 2009; Hughes et al., 2011) or in the 158

cortex, originating from the pyramidal cells located in layer V (Lopes da Silva, 1991; Connors 159 and Amitai, 1997; Bollimunta et al., 2008). However, pacemaker theories in general suffer from 160 several severe limitations (see Nunez et al. 2006 for an extensive discussion of this). For in-161 stance, pacemaker cells such as putative thalamic nuclei, if they exist, would have to function 162 in a relatively autonomous fashion, having a highly restricted input from other oscillatory brain 163 regions - a notion that has been critically questioned on anatomical grounds (Lopes da Silva, 164 1998; Steriade, 2005). Additionally, there are certain global EEG phenomena that remain un-165 explained, including the relative frequencies of major rhythms and sleep-wave variations. The 166 second category, 'local network' theories, propose that alpha rhythms are produced by interac-167 tions between excitatory and inhibitory neural populations with dendritic response functions 168 and saturating nonlinearities (Valdés-Hernández et al., 2010). Finally, 'global network' theories 169 posit that alpha rhythms are generated by large-scale networks rather than local circuits within 170 a localized brain region. By disregarding complex dendritic response functions and finite intra-171 cortical propagation, models with a primary emphasis on global dynamics rely heavily on the 172 propagation delays between distant anatomical structures to shape their dynamics (Nunez and 173 Cutillo, 1995; Nunez and Srinivasan, 2006; Valdés-Hernández et al., 2010). Of these three cat-174 egories, local network theories are the most established and extensively studied, and will serve 175 as the major emphasis in the present work. Specifically, we examine in detail two prevailing 176 local network theories of alpha rhythmogenesis: 177

- 1. Alpha oscillations are generated by recurrent activity and excitatory-inhibitory interactions within cortical column microcircuits. 179
- 2. Alpha oscillations are generated by delayed inhibitory feedback within corticothalamocortical loops.

These two accounts describe the origin of alpha waves as a phenomenon relying on dynamics 182 of local networks of interconnected neural populations, and thus occurring at the *mesoscopic* 183 spatial scale. Computations underlying brain functions such as action, perception, learning, 184 language and higher cognition are hypothesized by some to operate from neural ensembles at 185 this scale (Deco et al., 2008). Current technologies allow us to measure the macroscale (EEG, 186 MEG, fMRI, ECoG) or the microscale (single cell recording, fluorescence calcium imaging, mul-187 tielectrode arrays), but the mesoscopic scale is more challenging to directly observe, particularly 188 in humans in vivo. To bridge the gap between scales and explore the underlying mechanisms 189 of alpha rhythmogenesis, mathematical models of neural networks replicating EEG phenomena 190 observed empirically are particularly useful. The class of computational neural models that 191 simulate neural activity directly at the mesoscopic level are known as neural population models 192 (NPMs). 193

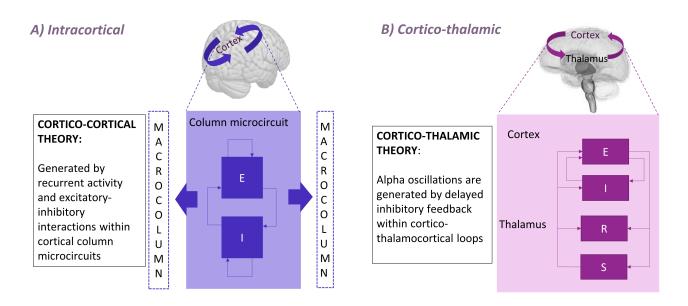


Figure 2. Schematic depiction of two candidate theories of alpha rhythmogenesis. A) Corticocortical columnar microcircuit model, representing the generation of alpha rhythm through interconnected macrocolumns. B) Cortico-thalamic model, involving thalamic neural populations in the process of alpha genesis.

# 1.3 Bridging scales: mathematical modelling of mesoscopic neural 194 population dynamics 195

Mathematical expressions of human brain activity have provided significant insights into the 196 hidden mechanisms of the underlying neural processes at multiple scales (Deco et al., 2008). 197 To construct models at the intended level of granularity, there are two main approaches: 1) a 198 'bottom-up' approach, beginning at the sub-cellular level with flows of ions and action potential 199 generation at small patches of neuronal membrane (typically using Hodgkin-Huxley or Rall 200 model equations), or at the whole-cell level (e.g. using Izhikevich or Leaky Integrate-and-201 Fire model equations); or 2) a 'top-down' approach, which represents the collective activity 202 of neurons sharing some common characteristics, such as the type of synapses they connect 203 to (excitatory or inhibitory) instead of focusing on individual cells (Cook et al., 2021; Cooray 204 et al., 2023). While the former approach is a closer representation of biological neurons with 205 finer details, it is often inadequate for modelling empirical phenomena emerging from large-206 scale brain activity, as the complexity rapidly increases with the number of neurons involved, 207 resulting in interpretability and computational issues (Cook et al., 2021). Since our investigation 208 focuses on the alpha rhythm, we prioritize models that take a 'top-down' approach in our study, 209 and provide a systems-level perspective which can give a more holistic understanding of alpha 210 rhythm and its functional significance. 211

The top-down perspective, based on the concept of neural ensemble dynamics (Breakspear, 212 2017), assumes that the activity of each individual neuron is negligible at large spatial scales. 213 Instead, the aggregate activity of a population of neurons with a common type of synaptic 214 connectivity (i.e. excitatory or inhibitory) is considered, and the states of neurons across the 215

ensemble are assumed to be uncorrelated. This approach, which is followed by all NPMs, is <sup>216</sup> particularly useful for modelling oscillatory activity such as the alpha rhythm, since the spatial <sup>217</sup> scales of the variables are equivalent to the physical coverage of an individual EEG channel <sup>218</sup> (mm<sup>2</sup> - cm<sup>2</sup>) and so can be understood as approximating local field potentials (Coombes et al., <sup>219</sup> 2014; Evertz et al., 2022). <sup>220</sup>

NPMs therefore represent a mesoscale formulation that aims to capture the emergent prop-221 erties of collective activity within a patch of neural tissue. In the literature, the term NPM 222 is used with varying interpretations. In our context, NPMs encompass a range of large-scale 223 computational models namely neural mass models, mean-field models, and neural field models 224 (Deco et al., 2008; Bojak, 2014). Models following the ensemble approach can be further re-225 duced by assuming a diffusion approximation (Coombes and Byrne, 2019; Deco et al., 2008). 226 In this formulation, the neural population activity is then defined as a standard normal proba-227 bility distribution, and is completely characterized by the mean and variance of the firing rate 228 (Breakspear, 2017). Dynamics expressed as a linear, normally distributed ensemble can be de-229 scribed using the Fokker-Planck equations. For a more detailed description of these equations 230 and models of large-scale brain dynamics, we refer the reader to Breakspear (2017). If strong 231 coherence is assumed between neurons, the activity of the ensemble is sufficiently close to the 232 mean that the variance becomes fixed, reducing the number of dimensions. NMMs can be 233 understood as a special case of the Fokker-Planck equations where the variance is fixed, and 234 the mean remains variable. They are then able to represent the coarse-grained activity of large 235 populations of neurons and synapses with a small number of equations (Jansen and Rit, 1995; 236 Lopes da Silva et al., 1974; Breakspear, 2017). NMMs are the simplest type of NPM capable 237 of describing the change in firing rate of neural populations without spatial information and 238 spatiotemporal time delays, providing a succinct yet biophysically meaningful description of 239 brain activity at the mesoscopic scale (Spiegler, 2012; Cook et al., 2021). The main advan-240 tage of NMMs is that the simplification of the dynamics reduces the number of dimensions 241 or differential equations that need to be integrated, enabling us to hone in on the behavior of 242 a large number of ensembles and more clearly understand their dynamics (Deco et al., 2008). 243 Furthermore, complex systems may exhibit emergent behavior that cannot be explained solely 244 by the behavior of individual components, but rather arises from the collective interactions and 245 relationships among them (Breakspear, 2017). Thus, rules governing the behavior of a complex 246 system may differ from those at lower levels of organization, as the system as a whole can be 247 more than the sum of its individual parts (Moran et al., 2011). The aim is to propose a model 248 that is balanced between mathematical tractability and biological plausibility (Spiegler, 2012). 249

Since NMMs assume a point mass, they evolve in time but not in space, unlike neural <sup>250</sup> field models (NFMs) which include a spatial component by considering the cortex as smooth <sup>251</sup> sheet, supporting waves of propagating activity (Pinotsis et al., 2014; Breakspear, 2017) usually <sup>252</sup> expressed in the form of a damped wave equation allowing the description of the activity over <sup>253</sup> the entire cortex. When spatial uniformity is assumed in a NFM, the model can be likened <sup>254</sup> to a NMM. Simulation of whole-brain activity with NMMs can also be achieved by coupling <sup>255</sup>

neural masses according to a weighted connectivity matrix representing the strength of the anatomical connections, known as the connectome, often estimated with diffusion-weighted MRI data (Breakspear, 2017; Schirner et al., 2018; Glomb et al., 2021). Each node corresponds to a NMM depicting a brain region to collectively form an integrated brain network model. 259

Alpha oscillations have been successfully simulated with both NPM (NMM and NFM) and <sup>260</sup> have been studied to shed light on the complex dynamics of neural systems. In the following <sup>261</sup> paragraph, we discuss early pioneers of NMMs and NFMs who have greatly influenced current <sup>262</sup> models in terms of structure, parameter values, and implementation. <sup>263</sup>

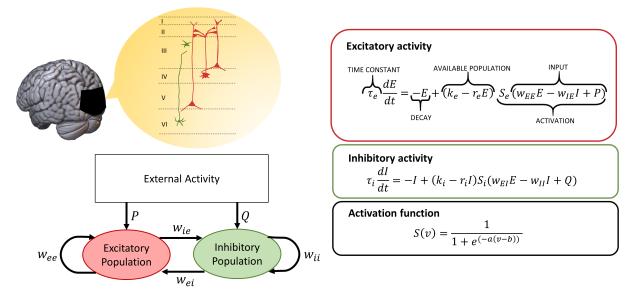
264

265

## 1.4 Tracing the roots of NPMs: early history

The notion of neural masses was introduced in various forms during the 1950s and 1960s 266 (Beurle, 1956; Griffith, 1963), and consolidated in the 1970s primarily through the highly in-267 fluential work of Freeman, Wilson & Cowan, Amari, and Nunez. It was Freeman who origi-268 nally used the term 'neural mass action model' (Freeman, 1972a,b, 1975), articulating many of 269 the neurobiological and mathematical fundamentals as they are understood today in a wide-270 reaching monograph on the subject (Freeman, 1975). Here, Freeman also develops the theory 271 of 'K-sets' which are based on a hierarchy of interacting sets of neural populations or masses, 272 and used to model neural population dynamics with ordinary differential equations (ODEs) to 273 simulate mesoscopic local field potentials (Deschle et al., 2021). The levels are designated as K0. 274 KI, KII, and KIII, with the K0 set corresponding to a model characterized by non-interactive 275 collections of neurons with globally common inputs and outputs, KI to pairs of interacting K0 276 sets, and so on. Freeman's research on the olfactory bulb and prepyriform cortex of cats and 277 rabbits (Freeman, 1979, 1975) provides valuable experimental data that has been used to define 278 mathematical formulations and parameter settings in many NMMs, which is further discussed 279 in section 3.2.4. Furthermore, Freeman's contributions on the use of the sigmoidal operator for 280 mapping membrane potential to firing rate remains a critical component of many NMMs, the 281 validity of which will be elaborated on in section 4.2. Even though Freeman coined the term 282 neural masses and laid much of the groundwork, many of the core mathematical principles of 283 NMMs were first proposed in the work of Wilson & Cowan (WC; Wilson and Cowan, 1972), 284 which itself builds upon earlier work by Beurle (1956). WC's implementation introduced and 285 solidified an approach to modelling neural dynamics and brain function. This approach con-286 sists of analyzing the collective properties of a large number of neurons using methods from 287 statistical mechanics rooted in the mean-field framework (Destexhe and Sejnowski, 2009; Chow 288 and Karimipanah, 2020). By omitting potential spatial arrangement of synaptic connections, 289 their model offers a minimalistic NMM representation that has been leveraged to develop sev-290 eral simple vet biophysically plausible models (eg Kilpatrick, 2013; Sanz-Leon et al., 2015). As 291 shown in Fig. 3, the canonical WC model consists of two neural masses with one excitatory and 292 one inhibitory population (Wilson and Cowan, 1972; Sanz-Leon et al., 2015). Two nonlinear 293 ODEs describe the dynamics of those two synaptically coupled populations in the neocortex 294

(Nakagawa et al., 2014; Cowan et al., 2016). The WC system is thus a coarse-grained description of the overall activity and mesoscale neuronal network structure of a patch of (usually cortical) tissue, as is typical of NPMs. By varying the connectivity strength and the input strength to each population, it is possible to generate a diversity of dynamical behaviors that are characteristic of observed activity in the brain, such as multistability, oscillations, traveling waves, and spatial patterns (Kilpatrick, 2013). 300



**Figure 3.** Wilson-Cowan model topography and mathematical expression. The model aims to represent a cortical column within the brain, consisting of an excitatory and an inhibitory population. These two connected populations each have a self-connection and external activity as input. Dynamics are expressed with nonlinear ordinary differential equations which are shown on the right for each neural population. Nonlinearity is introduced with the sigmoidal operator corresponding to the activation function.

A simplified version of the WC equations shown in Fig. 3 has been previously implemented <sup>301</sup> by Abeysuriya et al. (2018) in a network of neural masses to generate alpha oscillations. These <sup>302</sup> two populations are described as follows: <sup>303</sup>

$$\tau_e \frac{dE(t)}{dt} = -E(t) + S(w_{ee}E(t) + w_{ie}I(t) + P + \epsilon(t))$$
(1)

$$\tau_i \frac{dI(t)}{dt} = -I(t) + S(w_{ei}E(t) + \epsilon(t))$$
(2)

where E and I represent the activity of the excitatory and inhibitory neural populations <sup>304</sup> in the form of mean firing rates,  $\tau_{e/i}$  are the excitatory/inhibitory time constants,  $w_{ab}$  are the <sup>305</sup> local connection strengths from population a to population b, P is a constant external input <sup>306</sup> to the excitatory neural population, and  $\epsilon$  is a noise signal added to the system. The studied <sup>307</sup> NMMs share similar parameters, with some variations such as the use of membrane potential <sup>308</sup> instead of firing rates as the state variable, and the concatenation of the external input and <sup>309</sup> noise term into a single variable. <sup>310</sup>

Concurrently to WC and Freeman, Lopes da Silva and colleagues developed a point-process model of EEG alpha rhythm generated with a corticothalamic loop (Lopes da Silva et al., 1974). 312

Specifically, these authors proposed a negative feedback loop between excitatory thalamocor-313 tical relay cells and inhibitory thalamic reticular neurons as the basis for generating certain 314 brain rhythms, in a manner similar to the interacting E and I populations in the WC model. 315 By applying linear systems analysis to investigate the influence of physiological parameters on 316 neural periodic patterns, they established a novel approach to studying oscillatory dynamics 317 in theoretical neuroscience that relied on analytical power spectra. The Lopes da Silva model 318 had a substantial impact on subsequent corticothalamic models and linear analysis tools (Cona 319 et al., 2014; Bhattacharya et al., 2011). 320

A few years later, Zetterberg et al. (1978) built an extension of the model by adding a second 321 cortical excitatory population in order to separately account for pyramidal cells and excitatory 322 interneurons. Their work was then reprised and further popularized by Jansen and Rit (1995). 323 In the JR model, each neural population is described in two steps: a transformation of the 324 incoming average pulse density of action potentials into an average postsynaptic membrane 325 potential, followed by a sigmoidal function to perform the inverse conversion. Over the years, 326 several extended versions of JR have been proposed (Wendling et al., 2000; David and Friston, 327 2003; Zavaglia et al., 2006; Sotero et al., 2007), - including Moran et al., where they focused 328 on steady-state spectral responses with a linearized approximation of the model (Moran et al., 329 2007). Contemporaneous with these early conceptualizations and formulations of NMMs in 330 the 1970s was the introduction of NFMs by Amari, Wilson & Cowan, Nunez, and others. The 331 'brain wave equation' model of (Nunez, 1974) is particularly important here as it was the first 332 to attempt to describe neural activity across the entire cerebral cortex with an evolution in both 333 time and space. This work was a major influence for several macroscale NFM formulations in 334 the 1990s (Jirsa and Haken, 1996; Wright and Liley, 1996; Robinson et al., 1997). The latter 335 of these which was then extended in 2001 to include the thalamus, and subsequently used to 336 investigate a wide range of brain states including sleep (Robinson et al., 2005; Abeysuriya et al., 337 2014), epileptic seizures (Zhao and Robinson, 2015; Breakspear et al., 2006), evoked responses 338 (Kerr et al., 2008), functional connectivity (Robinson, 2014), and alpha rhythms (Robinson 339 et al., 2002, 2005). 340

For a more detailed timeline and review on the development of NPMs and whole brain 341 modelling in general, we refer the reader to Griffiths et al. (2022) and Chow and Karimipanah 342 (2020). The early mathematical models reviewed there and above laid the groundwork for 343 most NPM formulations used in theoretical neuroscience today. In particular, they form the 344 basis for the four most widely studied models of the EEG alpha rhythm - Jansen-Rit (JR), 345 Moran-David-Friston (MDF), Liley-Wright (LW) and Robinson-Rennie-Wright (RRW). Before 346 presenting each of these models individually in detail, we conclude our background review in 347 the next section by examining the two common mathematical operators of NPMs. 348

349

350

# 1.5 Classification of NPMs and mathematical characteristics of convolution-based models

NPMs can be further divided based on different modelling approaches, including convolution 351 vs. conductance-based models and voltage vs. activity-based models. For conductance-based 352 models, very high coherence between neurons is assumed, to the extent that the dynamics of 353 neuron population resembles the dynamics of each single neuron. The mathematical equa-354 tions then follow the same structure as single neuron conductance-based models (Marreiros 355 et al., 2010; Breakspear, 2017). Since distinct types of ionic currents are explicitly modelled, 356 a direct relationship between modelled synaptic processes and physiological mechanisms can 357 be determined (Moran et al., 2011). In contrast, convolution-based NPMs rely on empirical 358 observations of the collective response of a neural population to their inputs, to build a phe-359 nomenological model that captures the system's response. Although convolution-based models 360 lack the biological detail of conductance-based models, they provide a more straightforward and 361 interpretable framework for understanding the system-level dynamics of neural populations. 362

Since the four models reviewed in this paper are considered convolution-based models, <sup>363</sup> each with slightly different expressions or additional elements, we will present the common <sup>364</sup> mathematical foundations between all of them (which is composed of two operators) allowing <sup>365</sup> for relevant comparisons. Even though a conductance-based model is not explicitly investigated <sup>366</sup> here, we note that the LW model incorporates conductance-based components which enables <sup>367</sup> us to determine how these factors affect the dynamics of the model. <sup>368</sup>

The mathematical expression of convolution-based NPMs is composed of two key operators: 369 a rate-to-potential operator describing the dynamics between synapses and dendritic trees, 370 and a potential-to-rate operator representing the output firing rate produced at the soma. 371 which were briefly introduced in the description of the WC equations (Figure 3). The rate-to-372 potential operator describes a conversion from firing rate to membrane potential by excitatory 373 and inhibitory neurotransmitters, usually in the form of an impulse response. It has been 374 shown that the convolution of the incoming spike rate with an impulse response adequately 375 reproduces the postsynaptic potential in response to presynaptic firing (Bhattacharya, 2013). 376 This is expressed as a second-order differential equation, which makes the representation of 377 chemical synapses linear (Rall, 1962, 1964; Freeman, 1975; Spiegler, 2012). The nonlinearity 378 is introduced with the potential-to-rate operator (also known as a wave-to-pulse conversion 379 (Freeman, 1992; Cook et al., 2021)), generally in the form of a sigmoid, which transforms the 380 average membrane potential of the population into the average rate of action potentials fired 381 by the neurons. The sigmoid form is not derived from a biophysical model, but rather seen as 382 a physiologically consistent choice (Coombes and Byrne, 2019). Furthermore, the introduction 383 of nonlinearity allows for the representation of more complex behavior (such as chaos) within 384 the brain. It is worth noting that the sigmoidal shape of the function limits the effective 385 dynamic range (Spiegler, 2012) - the validity of which we discuss further in section 4.2. Thus 386 the central part of all neural populations in convolution-based NPMs is described by a second-387 order nonlinear ordinary differential equation, which can either be deterministic or stochastic 388

depending on the external input (usually noise) introduced to the model. NMMs can be further 389 categorized based on the nature of their state variable. In some models, such as WC, the state 390 variable represents the proportion of cells that are active in the population at a given time, 391 referred to as activity-based. On the other hand, in voltage-based models, the state variable 392 corresponds to the membrane potential of the neurons in the population. This means that 393 changes in the state parameters represent changes in the electrical potentials (Griffiths et al., 394 2022). Therefore, NMMs are classified based on the mathematical operators used and the 395 biological representation of the output state variable. 396

Almost all convolution-based NPMs in the literature are built upon the presented mathemat-397 ical operators, which form the fundamental basis of these models. This allows for meaningful 398 comparisons between models, and the impact of varying model elements on the output can be 399 assessed. It is worth noting that these models can be linearized around their stable points, 400 yielding analytic versions of the model equations. Although many assumptions are made, sta-401 bility analysis has been useful in understanding the dynamics of the systems in question and 402 their implications for brain organization. Even though they share the same backbone, there are 403 three key factors that distinguish the models: 1) the number of neural population modelled, 404 2) the degree of physiological complexity associated with each neural population, and 3) the 405 connectivity between them. 406

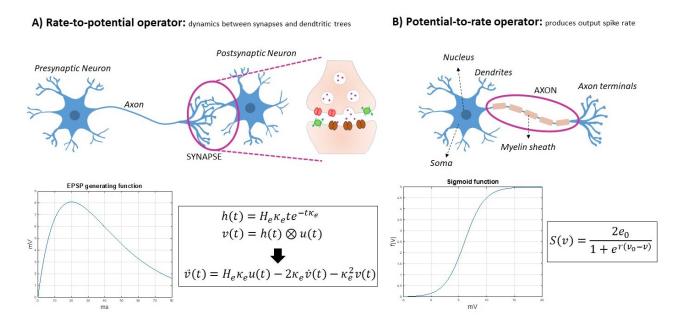


Figure 4. Foundational components of NMM to simulate local brain activity. Neural populations are composed of A) A rate-to-potential operator describing the postsynaptic potential generated by the firing rates of the presynaptic neurons; and B) a potential-to-rate operator, typically expressed as a nonlinear function, to relate the membrane potential of the neurons to their spiking activity. These two operators are the basic components of NMM and shape the dynamics and behavior of the system.

# 2 Methods

### 2.1 Alpha rhythm models

With the basic conceptual and mathematical background established, the four selected NPMs 409 representing alternative theories for the genesis of alpha activity - JR, MDF, LW, and RRW 410 - will now be introduced in full detail. In the next few sections we present for each model i) 411 topological and circuit diagrams with the corresponding equations, ii) alpha rhythm simulations 412 using both numerical (differential equation) and analytical (linearized algebraic) expressions<sup>1</sup>, 413 and iii) a didactic commentary. By comparing and contrasting these models in the subsequent 414 sections, we aim to provide insights into their activity regimes and dynamical properties. All 415 model parameters are listed in Supplementary S.6 along with their definitions. Selected equa-416 tions are included in figures, while the complete equations for all models can be also be found in 417 Supplementary S.6 for reference, and the Python code implementations in the GitHub reposi-418 tory accompanying this paper (https://github.com/GriffithsLab/Bastiaens2024\_AlphaModels). 419

#### 2.1.1 Jansen-Rit model

Based on Lopes da Silva's lumped parameter formulation (Lopes da Silva et al., 1974), the JR 421 model was one of the first of its kind to reproduce a broad range of EEG oscillation frequencies 422 (including alpha), as well as evoked response waveform, by describing the macroscopic elec-423 trophysiological activity within a cortical column (Jansen et al., 1993; Jansen and Rit, 1995). 424 Analogously to Zetterberg et al. (1978), JR developed the model with three interconnected 425 neural populations: pyramidal projection neurons  $(y_0)$ , excitatory  $(y_1)$  and inhibitory  $(y_2)$  in-426 terneurons forming two feedback loops - a (fast) excitatory feedback loop and a slow inhibitory 427 feedback loop (Fig. 5A) (Knösche, 2015). The output  $y_1 - y_2$  represents the net PSP on the 428 pyramidal cell dendrites, which is defined as the difference between the EPSP from the exci-429 tatory population and the IPSP from the inhibitory population. This quantity corresponds 430 to the membrane potential of pyramidal neurons which can also be understood as the out-431 put of the columnar microcircuit that is transmitted to other adjacent and distal brain areas. 432 Since pyramidal neurons have their apical dendrites in the superficial layers of the cortex where 433 the postsynaptic potentials are summated, their activity is the primary contribution to the 434 measured EEG signal (Jansen and Rit, 1995; Grimbert and Faugeras, 2006a). 435

The mathematical expression of the sigmoid for JR is defined as

$$S(v) = \frac{2e_0}{1 + e^{r(V_0 - v)}} \tag{3}$$

with  $e_0$  representing the firing rate at threshold (and  $2e_0$  the maximum firing rate), r denoting 437

408

407

420

<sup>&</sup>lt;sup>1</sup>With regards to nomenclature: originally we aimed to find a generalized mathematical form that covered all four models of interest, and allowed for a single nomenclature with clear correspondences across models indicated by variable and parameter names. After further exploration we determined however that this is not possible without an unhelpfully large amount of abstraction. We have therefore elected to write out the equations following exactly the original and/or primary literature sources.

the variance of firing thresholds, and  $V_0$  corresponding to the mean firing threshold. The <sup>438</sup> impulse response is expressed as follows <sup>439</sup>

$$h(t) = \alpha \beta t e^{-\beta t} \qquad \text{for } t > 0, \tag{4}$$

and corresponds to an alpha function. The parameter  $\alpha$  is defined as the maximum amplitude of the postsynaptic potential, and  $\beta$  represents a sum of the reciprocal of the time constant of the passive membrane and all other spatially distributed delays present in the dendritic network, condensed into a single lumped term. For the excitatory populations  $\alpha$ ,  $\beta$  in Eq. 4 correspond to the terms A, a in Fig. 5 respectively, and for the inhibitory population  $\alpha$ ,  $\beta$  are B, b.

After transforming the above impulse response in the Laplace domain, we are able to fully 446 define the system with second-order differential equations (derivation provided in Supplemen-447 tary S.1). The final set of differential equations are detailed in Fig. 5B with the numerically 448 integrated time series output, the associated power spectrum, as well as the power spectrum 449 obtained with the transfer function in Fig. 5C. It is important to note that the connectivity 450 parameters  $C_1$  and  $C_3$  are slightly different than  $C_2$  and  $C_4$  based on the mathematical ex-451 pression. As noted by Cook et al. (2021), JR assumes that pyramidal cell population equally 452 synapses onto the other two populations. However, the synaptic coefficients at the dendrites 453 of the excitatory and inhibitory populations differ. The inverse is also observed with the pyra-454 midal cells, as the synaptic coefficient at the dendrites of the pyramidal cells is fixed (1 and -1 455 for excitatory and inhibitory interneurons respectively), but the synaptic connectivity changes. 456 Therefore,  $C_1$  and  $C_3$  represent these former synaptic coefficients and  $C_2$  and  $C_4$  are the latter 457 connectivity constants, as seen in the detailed schematic. However, in practice, they all repre-458 sent connectivity strength and can be likened and associated with each other. Further details 459 are provided in Supplementary S.6 in the details of the JR model equations. 460

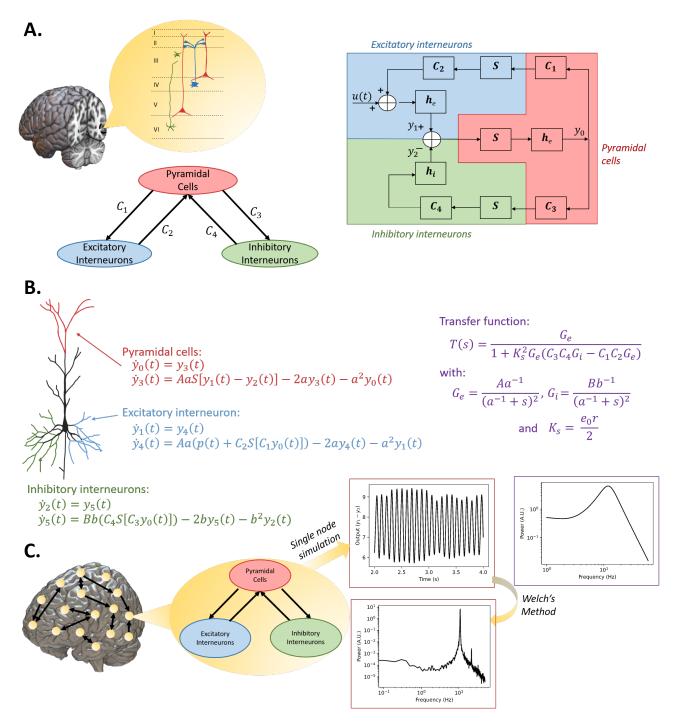


Figure 5. JR model topography, schematic, numerical and analytical mathematical expression, and alpha simulation results. A) General structure of the model, along with a detailed schematic that includes the operators and representations of the connectivities; B) Left: Numerical mathematical expression for each neural population; Right: Transfer function of the model derived using control graph analysis; C) Simulation outputs of the model with standard parameters (time series, power spectrum estimated from the time series and analytical power spectrum)

#### 2.1.2 Moran-David-Friston model

Many models inspired by JR emerged in the years following their introduction. One of the most 462 influential of these was proposed by David and Friston (2003), later extended by (Moran et al., 463 2007). The MDF model and the JR model (of which it is an indirect extension) thus share many 464

similar features, and are interesting to compare in terms of the new elements included in David 465 and Friston (2003) and (Moran et al., 2007). One such element is the addition of recurrent 466 inhibitory connections, which were introduced by (Moran et al., 2007) in order to enable the 467 generation of a wider range of oscillatory frequencies. Another is that the contribution from 468 excitatory and inhibitory populations are separated in the equations, giving rise to independent 469 EPSP and IPSP terms. The quantity used in observation models such as EEG as a measured 470 response corresponds to the difference between these two postsynaptic potentials, resulting in 471 supplementary sets of differential equations. A third main modifications from JR in MDF is 472 the expression of the sigmoid, given by 473

$$S(v) = \frac{1}{1 + e^{-\rho_1(v - \rho_2)}} - \frac{1}{1 + e^{\rho_1 \rho_2}}.$$
(5)

This differs from the other models surveyed in this paper (cf. Eqs 3, 8, 11) in providing a  $_{474}$  greater flexibility in its gain behavior, parameterized by shape and position  $\rho_1$  and  $\rho_2$ .  $_{475}$ 

The impulse response in MDF is identical to the JR model, and the parameters have the same definition (Supplementary S.6) with some small variable name changes ( $\alpha, \beta = H_e, \kappa_e$  for the excitatory populations, and  $\alpha, \beta = H_i, \kappa_i$  for the inhibitory population).

The paper by Moran et al. (2007) includes a linearized version of the MDF model that is 479 used to investigate the steady-state responses. For consistency with our analyses of the JR 480 model, here, we have determined an alternative expression for the transfer function (Fig. 6B) 481 using graphical stability analysis. 482

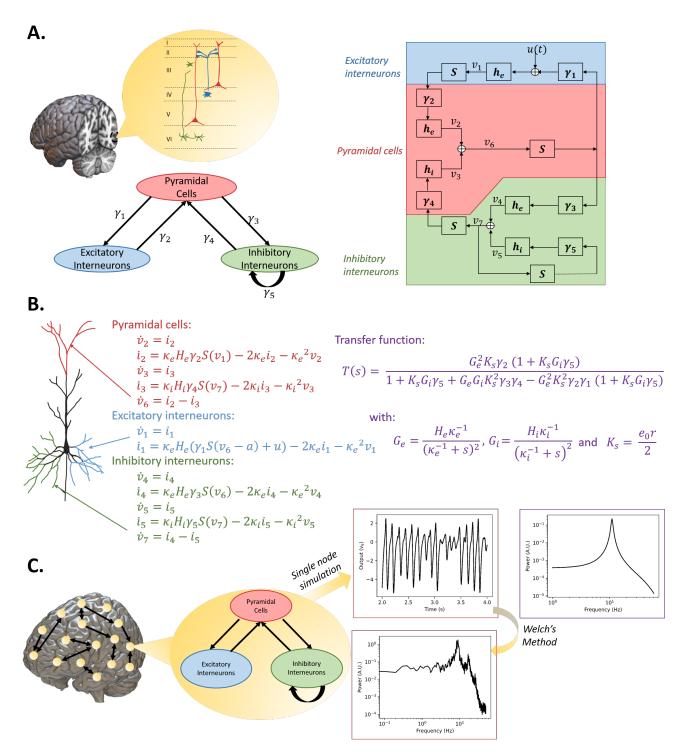


Figure 6. *MDF model topography, schematic, numerical and analytical mathematical expression* and alpha simulation results A) Composed of three neural populations with similar wiring structure to JR with the addition of an inhibitory self-connection; B) Left: Numerical mathematical expression for each neural population; Right: Transfer function of the model derived using control graph analysis; C) Simulation outputs of the model with modified parameters to generate alpha oscillations (time series, power spectrum estimated from the time series and analytical power spectrum)

#### 2.1.3 Liley-Wright model

Liley, Wright, and colleagues (Liley et al., 2001) developed a physiologically parametrizable, 484 two population firing-rate based model of EEG/ECoG dynamics, which differs from JR and 485

MDF in several respects. Most notably, this includes i) inclusion of high-order excitatory and 486 inhibitory neurotransmitter kinetics, ii) presence of synaptic reversal potentials, and iii) the 487 separation of each neural population into both a dendritic and a somatic compartment, yield-488 ing two membrane potential state variables per population instead of one. The LW model can 489 be thought of as a convolution-based model with conductance-based synaptic dynamics (where 490 a neuron is regarded as an electrical circuit and the membrane response follows the inflow and 491 outflow of current through ionic channels). These additional features make it more physiologi-492 cally realistic than e.g. JR, MDF, and WC, albeit at the expense of greater levels of complexity 493 and nonlinearity (Cook et al., 2021). As with the RRW model discussed below, the LW model 494 was initially formulated as a macroscopic neural field model, with both spatial and temporal 495 variation in the excitatory and inhibitory neural population equations. The version presented 496 here is simplified, however, by neglecting spatial components (setting partial derivatives in the 497 spatial terms of the original equations), and only considering the temporal dynamics - which 498 nevertheless preserves the essential qualitative behavior (alpha-frequency fluctuations) that is 499 our focus in the present paper. These expressions are based on the presentations by Song 500 et al. (2019) and Hartoyo et al. (2019), in which the LW model was used to explore periodic 501 discharges in acute hepatic encephalopathy and eves-open/closed alpha-blocking, respectively. 502 503

The sigmoidal firing rate function in the LW model is defined as

$$S(t) = \frac{S_{(e,i)}^{max}}{1 + e^{-(\sqrt{2}V(t) - \mu_{e,i})/\sigma_{e,i}}}$$
(6)

where  $S_{(e,i)}^{max}$  corresponds to the maximal attainable firing rate,  $\mu_{e,i}$  is the spike threshold, 504 and  $\sigma_{e,i}$  is the standard deviation for spike threshold. The soma membrane potential is given 505 by 506

$$\tau \dot{V}(t) = V^r - V(t) + \sum \psi(V(t))I(t)$$
(7)

where  $\psi(V(t)) = \frac{[V^{eq} - V(t)]}{[V^{eq} - V^r]}$ , with  $V_r$  as the mean resting membrane potential, and  $V_{eq}$  the 507 mean equilibrium potential. Similarly to MDF and JR, the impulse response in LW is expressed 508 with an alpha function, 509

$$h(t) = \Gamma \gamma t e^{1 - \gamma t} \qquad \text{for } t > 0 \tag{8}$$

510

with a postsynaptic potential peak amplitude  $\Gamma_{e,i}$  and rate constant  $\gamma_{e,i}$ .

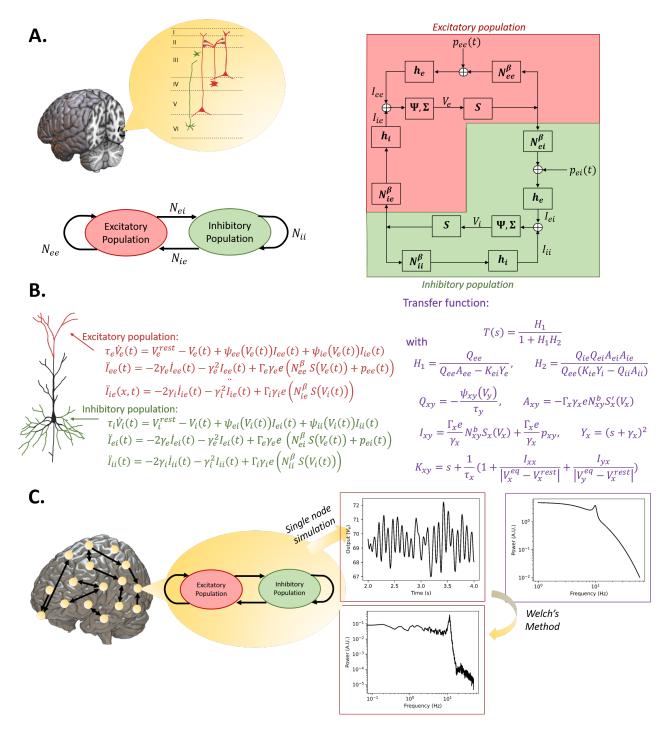


Figure 7. LW model topography, schematic, numerical and analytical mathematical expression, and alpha simulation results. A) The general structure of the model is two neural populations each with a self-connection. In the detailed schematic, compared to the other models, a third block is introduced to transform PSP into soma membrane potential. B) Left: Numerical mathematical expression for each neural population; Right: Transfer function of the model derived using control graph analysis; C) Simulation outputs of the model with standard parameters (time series, power spectrum estimated from the time series and analytical power spectrum)

#### 2.1.4 Robinson-Rennie-Wright model

Unlike the three models discussed thus far, the RRW model does not attempt to offer a minimal <sup>512</sup> circuit representation of a single cortical macrocolumn. Instead, this model includes thalamic <sup>513</sup>

neural populations in addition to cortical ones, and thus is primarily concerned with describing 514 cortico-thalamic interactions. RRW permits the exploration of the second class of alpha theory 515 outlined in Fig. 2B, which hypothesize that the corticothalamic loop is central for resting state 516 alpha. The model consists of four neural populations, two cortical (excitatory and inhibitory, 517 similar to previous schematics) and two thalamic (thalamic reticular nucleus and thalamic relay 518 nuclei) (Robinson et al., 2002). In this case, the two cortical populations are lumped together 519 by assuming that intracortical connections are random, making their number proportional to 520 the number of available synapses, and implying that cortical excitatory and inhibitory voltages 521 are equal (Roberts and Robinson, 2012). As noted above, like LW the original formulation of 522 RRW is as a neural field model, making use of a damped wave equation operator for including 523 a spatial representation. However, here we again assume spatial uniformity, removing any 524 spatial variations, as indeed is commonly done in analyses of this model. Propagation delay 525 and long axonal ranges are still preserved solely for the cortical excitatory population, this 526 being the only population large enough with distant connections for wave propagation to have 527 a significant effect (Zhao et al., 2015). Furthermore, a corticothalamic loop delay parameter 528  $(t_0)$  is introduced in the model to take into account the conduction delay of the signal when it 529 passes through thalamic nuclei and the projections. The differential equations comprising the 530 RRW model version we use here are explicitly detailed by Zhao and Robinson (2015), who also 531 modified them to study epileptic seizures and bursting dynamics. The firing rate is defined as 532

$$Q_a = \frac{Q_a^{max}}{1 + e^{-\frac{V_a - \theta_a}{\sigma'_a}}} \tag{9}$$

with  $Q_{max}$  representing the maximum firing rate,  $\theta_a$  the mean firing threshold, and  $\sigma'_a \pi \sqrt{3}$  533 the standard deviation of the threshold distribution. The damped wave equation governing 534 long-range axonal activity propagation is expressed as 535

$$D_a \phi_a = Q_a \tag{10}$$

538

with  $\phi_a$  corresponding to the mean density of outgoing spikes produced by population  $a_{536}$ and  $D_a = \frac{1}{\gamma_a^2} \frac{\partial^2}{\partial t^2} + \frac{2}{\gamma_a} \frac{\partial}{\partial t} + 1 - r_a^2 \nabla^2$ 

In the spatially uniform case where  $\nabla^2 = 0$ , owing to the short range of cortical inhibitory <sup>539</sup> axons and the relative smallness of the thalamus,  $\gamma_a$  is so large that the approximation  $\phi_a = Q_a$  <sup>540</sup> can be made for a = i, r, s. This is called the *local interaction approximation* and is not <sup>541</sup> assumed for  $\phi_e$  as the propagation effects are significant only when considering the axons of <sup>542</sup> the excitatory cortical neurons, as they are the only ones with sufficient length as mentioned <sup>543</sup> previously (Robinson et al., 2001, 2002; Sanz-Leon and Robinson, 2017).

The impulse response in RRW includes both synaptic rise time  $\beta^{-1}$  and synaptic decay time  $\alpha^{-1}$  parameters, and is defined as 546

$$w(u) = \frac{\alpha\beta}{\beta - \alpha} (e^{-\alpha u} - e^{-\beta u}) \quad \text{for } \beta \neq \alpha$$

$$w(u) = \alpha^2 u e^{-\alpha u} \quad \text{for } \alpha = \beta$$
(11)

which implies that the dendritic response is

$$D_{\alpha\beta} = \frac{1}{\alpha\beta} \frac{d^2}{dt^2} + \left(\frac{1}{\alpha} + \frac{1}{\beta}\right) \frac{d}{dt} + 1$$
(12)

547

which is identical to the JR impulse response function when  $\alpha = \beta$ . In the spatially uniform 548 case, the impulse response appears as 549

$$D_{\alpha\beta}V_e(t) = v_{ee}\phi_e(t) + v_{ei}\phi_i(t) + v_{es}\phi_s(t - t_0/2)$$
(13)

$$D_{\alpha\beta}V_r(t) = v_{re}\phi_e(t - t_0/2) + v_{rs}\phi_s(t)$$
(14)

$$D_{\alpha\beta}V_s(t) = v_{se}\phi_e(t - t_0/2) + v_{sr}\phi_r(t) + v_{sn}\phi_n(t)$$
(15)

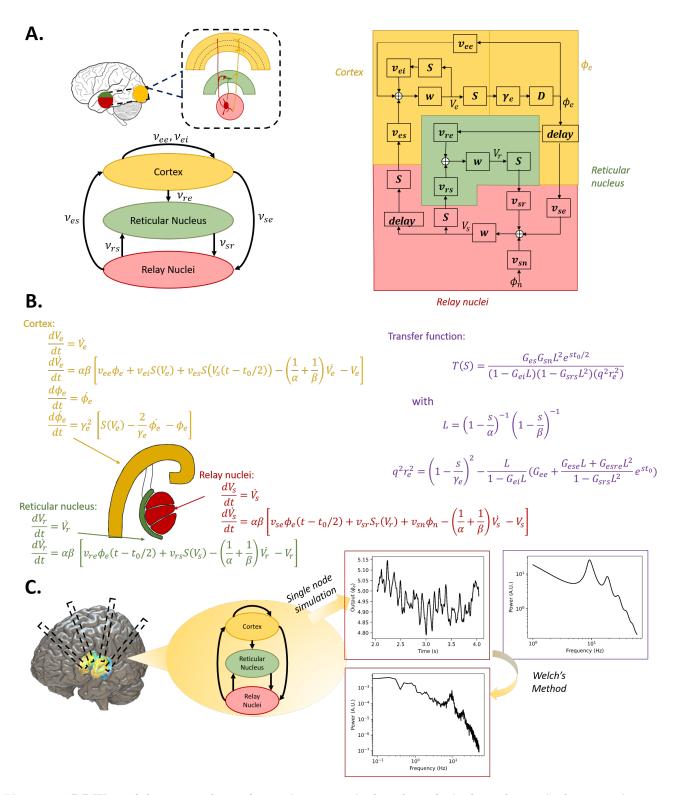


Figure 8. *RRW model topography, schematic, numerical and analytical mathematical expression, and alpha simulation results.* **A)** Three main populations are broadly described: the cortex (composed of excitatory and inhibitory neurons) and two thalamic populations (reticular nucleus and relay nuclei). Delays are included to take into account long range connections from the cortex to the thalamus; **B)** Left: Numerical mathematical expression for each neural population; Right: Transfer function of the model derived using control graph analysis; **C)** Simulation outputs of the model with standard parameters (time series, power spectrum estimated from the time series and analytical power spectrum)

### 2.2 Simulation, power spectrum, and stability analysis methods

550

For all four of the selected models, we simulate alpha activity numerically (by integrating the 551 models' differential equations given in Figs. 5-8 and Supplementary S.6) and analytically, by 552 algebraically calculating the power spectrum from the models' transfer function. Python is 553 utilized as the programming language for implementing all numerical and analytical equations, 554 as well as statistical analyses and visualization. To ensure consistency, simulations are executed 555 for a duration of 100 seconds, generating a time series that represents neural activity within the 556 principal excitatory cortical population. The power spectrum of this simulated activity is then 557 computed using Welch's method, as implemented in the scipy library (Virtanen et al., 2020). 558

The ability and accuracy of the models to replicate an empirical alpha rhythm is explored 559 by running numerical simulations with parameter values that are commonly used in previous 560 studies to elicit alpha activity, which we refer to as 'standard alpha parameters'. The resulting 561 power spectra are compared against characteristic empirical resting state EEG features. These 562 nominal parameter values are taken from Jansen and Rit (1995) for JR, Moran et al. (2007) 563 for MDF (using David and Friston (2003) to tune to a dominant frequency of alpha [8-12Hz] 564 instead of beta [12-20Hz]), Liley et al. (2001) for LW, and Zhao and Robinson (2015) for 565 RRW, which stem from Robinson et al. (2002); Rowe et al. (2004). Defining precise reference 566 features of empirical alpha rhythms presents a challenge, due to the observed heterogeneity in 567 resting state alpha oscillations both within individuals and between individuals across different 568 moments (Niedermeyer et al., 2005). However, certain prominent elements of the resting state 569 power spectral density are well-established. On average, a healthy adult human exhibits a main 570 oscillation frequency near 10Hz, accompanied by the presence of harmonics (Van Albada et al., 571 2010). These features are considered somewhat volatile, as they significantly vary between 572 individuals and across different sessions. More stable or broader resting state EEG features 573 include: the frequency scaling of  $1/f^{\beta}$  ( $\beta \approx 1-2$ ) (Muthukumaraswamy and Liley, 2018), 574 and the phenomenon of *alpha blocking* - attenuation of the alpha frequency peak during the 575 transition from eyes-closed (EC) to eyes-open (EO) state. Each model's estimation of these 576 features is compared against reference values derived from empirical data for evaluation, more 577 specifically from Muthukumaraswamy and Liley (2018) where they used Irregularly Resampled 578 Auto Spectral Analysis to quantify the 1/f components of MEG/EEG/ECoG data. The high 579 and low frequency  $\beta$  values were obtained from 5min 64 channel EEG eyes-closed recordings of 580 seventeen healthy male participants (mean age = 23), and results were confirmed with other 581 datasets (Muthukumaraswamy and Liley, 2018). 582

The  $\beta$  frequency scaling can be quantified in several ways. One approach involves considering the entire spectrum, which empirically tends to fall within the range of 1 to 2. Another approach involves evaluating two distinct values of  $\beta$ , one for lower frequencies (pre-peak) and another for higher frequency values (post-peak). In our simulated results, we estimated  $\beta$  with two different methods: 1) Evaluating pre- and post-peak  $\beta$  separately by fitting a line with linear regression in the logarithmic scale, and 2) Using the power spectrum fit of the FOOOF library (https://foooftools.github.io/fooof/; Donoghue et al., 2020), which parametrizes neural power spectra into a

mixture of the  $1/f^{\beta}$  background and a Gaussian for each frequency peak. These FOOOF fits <sup>590</sup> are also used to calculate the dominant oscillation frequencies of the power spectra, which are <sup>591</sup> discussed in detail in parameter space figures of Section 3.1.2. We compare the  $\beta$  values approximated for each of our models against those estimated from EO and EC resting state EEG data <sup>593</sup> reported in Muthukumaraswamy and Liley (2018). All signal processing analysis and modelling <sup>594</sup> results are fully available at https://github.com/GriffithsLab/Bastiaens2024\_AlphaModels and <sup>595</sup> implemented in Python 3.8.

To gain further insights into the dynamics generated by JR and LW, we determined the stability of the fixed points of the system as a function of E-I connection strengths. For JR, similar to Grimbert and Faugeras (2006b), the fixed points are determined by setting the derivatives to 0. With some manipulations, the equilibrium points in the  $(C, y_1 - y_2)$  plane with  $y = y_1 - y_2$  are equal to:

$$y = \frac{A}{a}p + \frac{A}{a}C_2S(\frac{A}{a}C_1S(y) - \frac{B}{b}C_4S(\frac{A}{a}C_3S(y))$$
(16)

602

612

The stability of the fixed points is then defined using the Jacobian matrix

$$\mathbf{Y}_{i,j} = \begin{bmatrix} \frac{\partial y_0}{\partial y_0} & \frac{\partial y_0}{\partial y_1} & \frac{\partial y_0}{\partial y_2} & \frac{\partial y_0}{\partial y_3} & \frac{\partial y_0}{\partial y_4} & \frac{\partial y_0}{\partial y_5} \\ \frac{\partial y_1}{\partial y_0} & \frac{\partial y_1}{\partial y_1} & \frac{\partial y_1}{\partial y_2} & \frac{\partial y_1}{\partial y_3} & \frac{\partial y_1}{\partial y_4} & \frac{\partial y_1}{\partial y_5} \\ \frac{\partial y_2}{\partial y_0} & \frac{\partial y_2}{\partial y_1} & \frac{\partial y_2}{\partial y_2} & \frac{\partial y_2}{\partial y_3} & \frac{\partial y_2}{\partial y_4} & \frac{\partial y_2}{\partial y_5} \\ \frac{\partial y_3}{\partial y_0} & \frac{\partial y_3}{\partial y_1} & \frac{\partial y_3}{\partial y_2} & \frac{\partial y_3}{\partial y_3} & \frac{\partial y_3}{\partial y_4} & \frac{\partial y_3}{\partial y_5} \\ \frac{\partial y_4}{\partial y_0} & \frac{\partial y_4}{\partial y_1} & \frac{\partial y_4}{\partial y_2} & \frac{\partial y_4}{\partial y_3} & \frac{\partial y_4}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_3} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_0} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_2} & \frac{\partial y_5}{\partial y_5} & \frac{\partial y_5}{\partial y_5} \\ \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_1} & \frac{\partial y_5}{\partial y_$$

with y corresponding to the fixed point of interest and  $y_0(y) = \frac{A}{a}S(y)$ . Stability is then defined by calculating the eigenvalues of the matrix **Y** for each fixed point, and looking at the sign of the real part of the eigenvalues. The system is stable if all the eigenvalues have a negative real part. If at least one of the eigenvalues has a positive real part, it is considered as an unstable fixed point.

Using a similar method (estimation of the fixed point, following an assessment of the stability of the fixed points by looking at the real part of the eigenvalues of the Jacobian matrix), the LW equilibrium points' stability was also determined. The full calculation and equations are detailed in the appendix of Hartoyo et al. (2019) and also in Supplementary S.6. Briefly: 611

The equilibrium point equations can be reduced to:

$$0 = -V_e + V_{er} + \psi_{ee}(V_e)I_{ee} + \psi_i e(V_e)I_{ie}$$
(17)

$$0 = -V_i + V_{ir} + \psi_{ei}(V_i)I_{ei} + \psi_{ii}(V_i)I_{ii}$$
(18)

with

$$I_{ee} = \frac{\Gamma_e e}{\gamma_e} N_{ee}^\beta S(V_e) + \frac{\Gamma_e e}{\gamma_e} p_{ee}$$
(19)

$$I_{ei} = \frac{\Gamma_e e}{\gamma_e} N_{ei}^{\beta} S(V_e) + \frac{\Gamma_e e}{\gamma_e} p_{ei}$$
(20)

$$I_{ie} = \frac{\Gamma_i e}{\gamma_i} N_{ie}^\beta S(V_i) + \frac{\Gamma_i e}{\gamma_i}$$
(21)

$$I_{ii} = \frac{\Gamma_i e}{\gamma_i} N_{ii}^{\beta} S(V_i) + \frac{\Gamma_i e}{\gamma_i}$$
(22)

The fixed points for  $V_e$  and  $V_i$  are then estimated by finding the values for which values these two equations intersect.

The Jacobian matrix is:

$$\mathbf{F}_{i,j} = \begin{bmatrix} \frac{\partial V_e}{\partial V_e} & \frac{\partial V_e}{\partial V_i} & \frac{\partial V_e}{\partial I_{ee}} & \frac{\partial V_e}{\partial I_{ei}} & \frac{\partial V_e}{\partial I_{ie}} & \frac{\partial V_e}{\partial I_{ie}} & \frac{\partial V_e}{\partial U_{ee}} & \frac{\partial V_e}{\partial U_{ei}} & \frac{\partial V_e}{\partial U_{ie}} & \frac{\partial V_e}{\partial U_{ii}} \\ \frac{\partial V_i}{\partial V_e} & \frac{\partial V_i}{\partial V_i} & \frac{\partial V_i}{\partial I_{ee}} & \frac{\partial V_i}{\partial I_{ei}} & \frac{\partial V_i}{\partial I_{ie}} & \frac{\partial V_i}{\partial I_{ii}} & \frac{\partial V_i}{\partial U_{ee}} & \frac{\partial V_i}{\partial U_{ei}} & \frac{\partial V_i}{\partial U_{ie}} & \frac{\partial V_i}{\partial U_{ii}} \\ \frac{\partial I_{ee}}{\partial V_e} & \frac{\partial I_{ee}}{\partial V_i} & \frac{\partial I_{ee}}{\partial I_{ee}} & \frac{\partial I_{ee}}{\partial I_{ei}} & \frac{\partial I_{ee}}{\partial I_{ie}} & \frac{\partial I_{ee}}{\partial I_{ii}} & \frac{\partial I_{ei}}{\partial U_{ee}} & \frac{\partial I_{ee}}{\partial U_{ei}} & \frac{\partial I_{ei}}{\partial U_{ii}} & \frac{\partial I_{ie}}{\partial U_{ii}} & \frac{\partial I_{ii}}{\partial U_{ii$$

which evaluates to

with

618

617

613

$$G(V_e) = \frac{1}{\tau_e} \left( -1 - \frac{I_{ee}}{|V_e^e q - V_{er}|} - \frac{I_{ie}}{|V_i^e q - V_{ir}|} \right)$$
(23)

$$G(V_i) = \frac{1}{\tau_i} \left( -1 - \frac{I_{ei}}{|V_e^e q - V_{ir}|} - \frac{I_{ii}}{|V_i^e q - V_{ir}|} \right)$$
(24)

We then replace  $V_e$  and  $V_i$  with the equilibrium points computed previously, and the real <sup>619</sup> parts of the eigenvalues of this Jacobian matrix are then examined to assess their stability. <sup>620</sup>

In summary: we have given a description of each of the selected neural population mod-621 els of alpha activity (JR, MDF, LW, RRW), highlighting those aspects of the biological and 622 mathematical formulation that are of particular note, and/or that vary in readily describable 623 ways between two or more of the four. Figs. 5-8 show in a colour-coded fashion key parts of 624 the numerical and analytical mathematical expression for each model (full details given Sup-625 plementary S.6), with the corresponding simulated time series and power spectra output shown 626 for standard alpha oscillation parameter conditions. The aim of our numerical explorations of 627 these models in the following was to determine 1) to what extent do these models accurately 628 capture empirical EEG alpha rhythms, 2) how do rate constant and connectivity parameters 629 influence the alpha regime and the dynamics of the model, and 3) what do the differences 630 between the models imply for EEG alpha rhythmogenesis, and what are their limitations. 631

# 3 Results

Having presented and contrasted the four candidate alpha models (JR, MDF, LW, RRW) in 633 terms of their motivation and formulation, we now turn to an assessment of their simulated 634 activity dynamics. First, we present numerical and analytic spectra, discussing general charac-635 teristics and comparing them quantitatively against empirical EEG features from (Muthuku-636 maraswamy and Liley, 2018). Second, an exploration of the boundaries of the alpha regime 637 is conducted through parameter searches, with a specific focus on discerning the impact of 638 rate constant and connectivity on the dominant oscillation frequency. Last, a comprehensive 639 comparison of the models is provided, encompassing various facets including their topology, 640 mathematical equations, and the biological significance attributed to the parameters. 641

### 3.1 Analysis of neural model dynamics

#### 3.1.1 Characteristics of model-generated alpha activity

#### Frequency peak and harmonics

Each of the models displays a dominant oscillatory frequency within the alpha range for the originally-reported default parameters, with values of 10.8Hz, 8.8Hz, 11.6Hz, and 9.5Hz observed for JR, MDF, LW, and RRW, respectively (Fig. 9A). With these parameter settings, JR closely approximates the 10Hz frequency, while LW demonstrates a slightly higher value, and RRW a lower value. Importantly, all of these frequencies fall well within the alpha oscillatory 649

642

643

644

range of 8-12Hz, indicating that the models adequately simulate the alpha frequency peak. It should also be noted that there is considerable heterogeneity across subjects in terms of both the central frequency and magnitude of the alpha rhythm (Haegens et al., 2014), and slight modifications in the model parameters have the potential to shift the peak frequency up or down, providing flexibility in matching specific experimental recordings. Differences between individuals in model parameters can be potentially also related to their cognitive profile as, alpha peak is considered as a biomarker for healthy cognitive functioning.

In addition to the main frequency, harmonics in the beta range are also present in each 657 model, albeit with varying degrees of accentuation. Of these, LW exhibits the least pronounced 658 harmonics, suggesting a closer approximation to a pure sinusoidal waveform. In contrast, RRW 659 shows more prominent harmonics, which is evidenced in particular by the fact that (unlike the 660 other three models) these still appear in its linearized approximation. This variable presence 661 of harmonics across the four models, and their subtle dependence on parameter values and 662 nonlinearities, underscores the complex nature of alpha oscillations in the brain and their 663 spectral characteristics. 664

665

#### 1/f scaling

Empirical studies have shown that aperiodic activity (also known as 1/f noise) observed in EEG 666 power spectra following a power-law function could play a functional role in healthy brains and 667 explain disease symptoms. For example, cognitive decline in ageing has been associated with 668 increased 1/f noise (slope) in the power spectrum (Voytek et al., 2015), as well as aperiodic 669 variations in stroke patients (Johnston et al., 2023). The 1/f noise is therefore an important 670 feature of resting state EEG. Visually, the shape of the 1/f curve from the RRW model closely 671 resembles the empirical 1/f curve (see e.g. Freeman et al. (2003); Dehghani et al. (2010)). In 672 contrast, this feature is poorly represented by JR, which may be due to the fact that the system 673 generate almost a perfect sinusoid, whereas RRW for instance seems to have more aperiodic 674 fluctuations in the EEG time series. 675

Table 1 presents the computed data feature values across all four models. Comparison with 676 the mean empirical EEG result (0.76) shows that 1/f pre-peak values are considerably lower 677 for JR and LW (0.36 and 0.48 respectively), but much higher for RRW (1.64). Empirically, 678 lower frequencies (pre-peak) exhibit steeper slopes in frontal areas, but these quantities for 679 the JR and LW models are notably low. At higher frequencies (1/f post-peak), JR has the 680 steepest slope (4.03), followed by RRW (3.78) then LW (2.46). All three models yield post-681 peak values above the empirical mean (1.21). Inversely to lower frequencies, empirically these 682 higher frequencies in the 1/f post-peak range tend to have steeper slopes in posterior areas. 683 However, the simulated post-peak values observed are significantly higher than the empirical 684 values provided in Muthukumaraswamy and Liley (2018). 685

To summarize, the models demonstrate an underrepresentation of lower frequencies in JR 686 and LW, and an overrepresentation in RRW. They all exhibit considerably steeper slopes for 687 higher frequencies than the empirical average, due to their representing only the posterior area 688

of the brain, instead of an average value across the cortex. Visually, RRW appears to be the most similar to empirical resting state EEG, especially for the representation of 1/f in lower frequencies, which is not accounted for in the other models. Finally, consistent with empirical findings, all models have lower pre-peak 1/f values than post-peak 1/f values during EC, with higher frequencies displaying steeper slopes in posterior areas within the cortex. 693

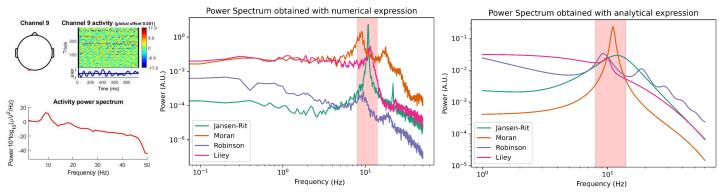
694

#### Eyes open vs. Eyes closed

A defining characteristic of the resting state alpha rhythm in visual areas is that its amplitude 695 is attenuated in EC compared to EO conditions, a phenomenon known as *alpha blocking* (Barry 696 and De Blasio, 2017; Adrian and Matthews, 1934; Chapman et al., 1962). We examined the 697 ability of our surveyed models to reproduce this effect by modifying relevant parameters based 698 on previous research findings. In the LW model, increasing the external input to the inhibitory 699 cortical population resulted in a reduction of alpha activity, consistent with the intuitive idea 700 that an increase in the amount of incoming visual information is what characterizes the tran-701 sition from EC to EO (Hartoyo et al., 2020). Similar effects were also observed in the JR and 702 MDF models, where an increase in external input led to the alpha blocking. In these cases 703 however, input is (and can only be) delivered to the excitatory rather than the inhibitory neu-704 ral population. For RRW, we selected a specific parameter set that simulates the EO state 705 based on detailed studies conducted by (Rowe et al., 2004). According to these authors, the 706 transition from the EC to EO state is associated with a decrease in cortico-thalamocortical and 707 intrathalamic gains, accompanied by increased cortical gains and dendritic rate parameters, 708 which together lead to an alpha blocking behavior in the RRW model. Interestingly, these 709 observations regarding RRW are broadly consistent with the behavior of the three intracortical 710 models: In JR, MDF, and LW, the attenuation of the alpha rhythm is caused by an increase in 711 input representing incoming visual stimuli. In the case of RRW, it is mediated not by a direct 712 input per se, but by a decrease in corticothalamic interactions and an increase in cortical gains. 713 This increase in cortical activity causing alpha blocking in RRW could be considered analogous 714 to the increase in cortical activity caused by greater driving input in JR, MDF, and LW. 715

In summary, all four models capture key features of empirically observed alpha rhythms, in 716 terms of frequency peaks, harmonics, alpha blocking, and 1/f scaling. Of the four, RRW is in 717 general notably closer to empirical EEG data in both its 1/f behavior and its harmonics. It is 718 important to acknowledge however that this analysis is based on a specific set of parameters, 719 which can be restrictive given the wide range of parameter combinations that can give rise to 720 the alpha regime. Therefore, further exploration of the parameter space boundaries is crucial 721 to gain a more comprehensive understanding of the emerging behavior and dynamics of the 722 alpha rhythm. 723

#### A. Empirical and simulated alpha rhythm



#### B. Eyes-closed and Eyes-open

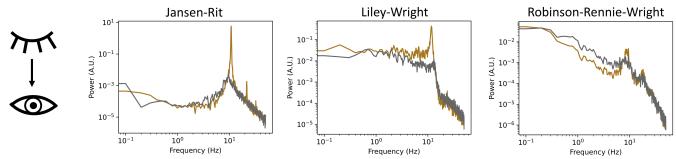


Figure 9. Simulation results with standard parameter settings to generate characteristic resting state alpha oscillations features A) Power spectra with characteristic occipital alpha rhythm from empirical EEG time series (left), from numerical simulation results (middle), and from analytical simulations (right). The red zone in the simulated results corresponds to the alpha range. All models generate an alpha oscillation with variations in specific features (peak frequency, presence of harmonics, 1/f shape). B) Simulation results for EC and EO in JR, LW and RRW. The difference from EC to EO is an attenuation in the amplitude of the alpha rhythm.

Model	Main fr.	1/f pre-peak	1/f post-peak	Harmonics
JR	10.8	0.39	4.04	Y
MDF	8.8	0.10	5.50	Υ
LW	11.6	0.48	2.46	Υ
RRW	9.5	1.64	3.78	Y
Empirical	$\approx 10$	0.76	1.21	Y

**Table 1.** Evaluating Model Performance against Empirical EEG Features To assess the performance of each neural mass model, we estimated its characteristic features, such as the main frequency, slope, and presence of harmonics, and compared them against the corresponding empirical measures obtained from resting state EEG recordings. These features are known to be informative of the underlying neural dynamics that give rise to the EEG signal. By evaluating the agreement between the model-based estimates and the empirical approximations, we can determine the extent to which the model captures the essential aspects of brain activity during rest.

#### 3.1.2 Structure of parameter space

Alpha oscillations are generated by non-unique parameter sets, and while there may be guan-725 titative differences in parameter values between models, their qualitative behavior may be 726 similar. In the next section, we explore alpha regime boundaries and the necessary conditions 727 for producing a dominant frequency in the alpha range, as a function of rate constant and 728 connectivity parameters. We also identify any other dynamical regimes that the model may 729 present. Parameters with similar biological interpretations between the models are compared 730 in order to provide a meaningful comparison. To ensure consistency, all other parameters are 731 maintained in their standard resting state setting (Tables in Supplementary S.6). 732

#### Rate constant parameter space dynamics

The JR, MDF and LW models exhibit distinct excitatory and inhibitory impulse responses 734 that are modulated by rate constants ( $\tau_e$  and  $\tau_i$ ). These rate constants reflect collective pas-735 sive dendritic cable delays and neurotransmitter kinetics associated with fast synaptic activity 736 involving glutamatergic AMPA receptors and GABA receptors (Spiegler, 2012). This synaptic 737 filtering is assumed to take a different shape in excitatory than in inhibitory neural populations 738 in most of the four models, with the exception of RRW - where the same rate constant is used 739 for AMPA as for GABA receptors. Previous studies have demonstrated that the manipulation 740 of these rate constants can significantly impact the dominant frequency of oscillations (David 741 and Friston, 2003; Gast et al., 2019). In our investigation, we aim to determine whether similar 742 patterns of frequency changes can be observed across the parameter space for all three models. 743

744

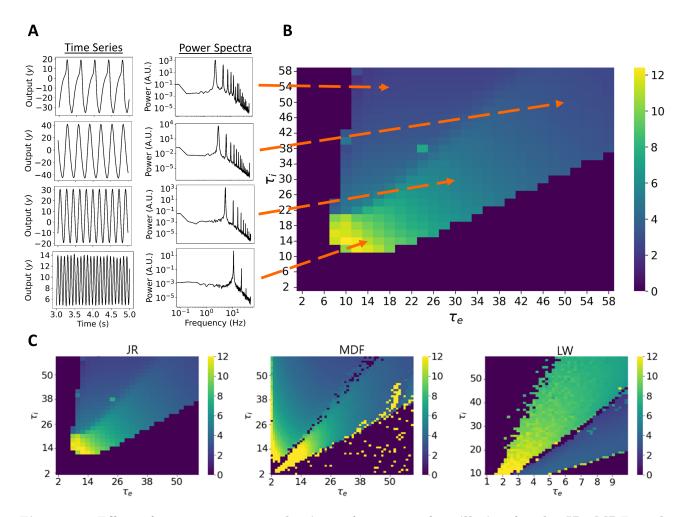


Figure 10. Effect of rate constants on dominant frequency of oscillation for the JR, MDF, and LW models. A) Example time series and power spectra of a set of specific rate constant values to show the slowing in frequency as the values of the excitatory and inhibitory rate constant increase. B) Heatmap presenting the dominant frequency of oscillation as a function of the rate constants of the JR model. C) Three heatmaps for the JR, MDF and LW with the dominant frequency of oscillation as a function of the rate constants. For JR and MDF  $\tau_e$  and  $\tau_i$  are varied from 2ms to 60ms. For LW,  $\tau_e$  changes from 1.72ms to 5ms, and  $\tau_i$  from 10 to 50ms to generate oscillatory behavior.

Across all models, a consistent trend is observed where the predominant rhythmic frequency 745 decreases with an increase in both rate constants, aligning with previous analyses (David and 746 Friston, 2003). For the LW model, the range of values for  $\tau_e$  and  $\tau_i$  differs due to the system's 747 tendency to diverge if  $\tau_e$  becomes excessively high compared to  $\tau_i$ . Due to this, in Fig. 10 we 748 constrain the possible range of values to 1-10 ms for  $\tau_e$  and 10-60 ms for  $\tau_i$ . With a uniform 749 external input, the JR model has a peak oscillatory frequency of 12.4 Hz, falling within the 750 high alpha / low beta range. MDF can elicit higher beta oscillations with a normal noise 751 input when rate constant are both small. This suggests that the inclusion of self-inhibitory 752 connections in MDF contributes to generating higher frequency oscillations. Notably, both JR 753 and MDF exhibit a phenomenon known as a 'hypersignal' (David and Friston, 2003) when  $\tau_i$  is 754 considerably higher than  $\tau_e$ , which is typically associated with lower frequency oscillations. In 755 such cases, the time series does not produce an exact sinusoidal oscillation (Fig. 10). Conversely, 756 if  $\tau_e$  becomes too high compared to  $\tau_i$ , neither model shows oscillatory patterns. This means 757

that a balance needs to be kept in order to maintain a periodic behavior, which can be achieved <sup>758</sup> by keeping the product of  $H_{e,i}$  and  $\tau_{e,i}$  constant by appropriately adjusting  $H_e$  and  $H_i$  as  $\tau_e$  <sup>759</sup> and  $\tau_i$  is modified (David and Friston, 2003). <sup>760</sup>

In the LW model, equivalent hypersignal behavior is observed when  $\tau_e$  is excessively high <sup>761</sup> compared to  $\tau_i$ , while in the opposite case of  $\tau_i$  higher than  $\tau_e$  no oscillatory activity is seen. <sup>762</sup> Furthermore, as shown in Fig. 10, this hypersignal activity occurs above the alpha regime in <sup>763</sup>  $\tau_e$  vs  $\tau_i$  space for JR and MDF, and below the alpha regime for LW (Fig. 10). What these <sup>764</sup> observations suggest is that the central alpha oscillatory regime in JR and MDF operates in a <sup>765</sup> manner that is intrinsically different to the alpha regime in LW - a question we revisit through <sup>766</sup> the lens of linear stability analyses below. <sup>767</sup>

As expected, modifying the shape of the synaptic filtering through the rate constants has an 768 influence on the rhythmic behavior of the system. Increasing both rate constants simultaneously 769 leads to a decrease in the frequency of oscillation since longer delays are then introduced. For 770 example, if a disease affects the propagation of action potentials, it could lead to a decrease 771 in the dominant frequency of oscillation. In the RRW model,  $\tau_e$  and  $\tau_i$  are assumed to be 772 equal, considering that the difference in rise time between AMPA and GABA-A is negligible 773 and, therefore, the synaptic filtering is the same between excitatory and inhibitory neurons. 774 This assumption can be questioned as changes in rate constants in the other models have been 775 shown to affect the central frequency. 776

777

#### **Connection Strength**

The strength of connections between neural populations plays a role in facilitating communi-778 cation, and thus when the strength of these connections is appropriately balanced, it enables 779 coordinated neural activity, leading to the generation of brain rhythms. Even though on the 780 face of it the neural populations included in the four models differ quite considerably, they all 781 exhibit at least one common element - a principal excitatory-inhibitory (E-I) loop. The ratio 782 of synaptic weights within that loop relates closely to the concept of 'E/I balance', a widely 783 studied physiological phenomenon that has garnered significant attention in neuroscience in 784 recent years (Meisel et al., 2017; Zhou and Yu, 2018; Sohal and Rubenstein, 2019; Murray 785 et al., 2014). We explored the impact of connectivity parameters on the dominant frequency 786 of oscillation. To maintain conciseness, we exclude the connectivity parameter spaces of MDF 787 in this section, since the patterns observed are very similar between JR and MDF, with the 788 distinction that MDF tends to generate higher frequencies of oscillation for the same set of 789 parameter values. A comprehensive summary of the comparison between JR and MDF can be 790 found in Supplementary S.2. 791

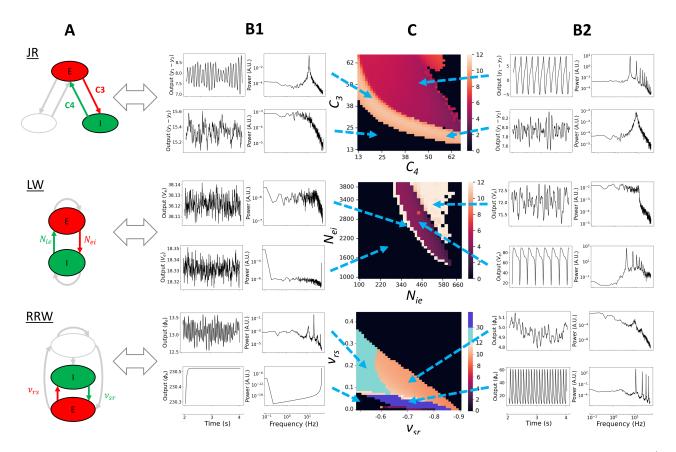


Figure 11. Frequency of oscillation parameter spaces as a function of E-I connectivities A) Schematic of the models with their principal E-I loop highlighted. These are the parameters that are going to be varied. B1 and B2) Time series and corresponding power spectra for specific combinations of E-I, showing different dynamics. C) Heatmaps presenting the dominant frequency of oscillation as a function of E-I connectivity. The dark region presents non-oscillatory or non-physiological time series. JR and LW have a clearly defined regime of lower frequency of oscillations being generated (purple and red region), whereas RRW quickly tends to produce signals of lower amplitude, or higher frequency of oscillations. In RRW, the dark blue regime indicates that the system is still oscillating but at a higher amplitude and higher frequency as the system is starting to explode. In the light blue regime, the dominant frequency of oscillation is in the beta regime. In the three models, white or orange areas correspond to alpha or higher oscillations.

JR's E-I interaction is represented by the connectivity strength between pyramidal cells 792 and inhibitory interneurons. Since the LW model is only composed of one excitatory and one 793 inhibitory neural population, the parameters of interest are the two synaptic weights connecting 794 the two populations. Finally, for RRW, the reticular nucleus inhibits the relay nuclei and is 795 considered the inhibitory population of the model. In this context, we consider the relay nuclei 796 as having a central role and can be compared to the pyramidal cells in the JR model, as they 797 are connected to all other populations. The excitatory-inhibitory interaction explored is then 798 within the thalamus between the relay nuclei and the reticular nucleus. It should be noted that 799 this interaction is not an isolated loop, because it is embedded within the larger cortex-reticular 800 nucleus-relay nuclei loop, and so is also affected by the activity from the cortex. However, for 801 simplicity, our focus is on the E-I interaction between the two thalamic populations. 802

After exploring various parameter ranges, we identified specific values that produced distinct <sup>803</sup> behaviors for each model, and focused on these dynamic regimes. Results of these analyses are <sup>804</sup>

shown in Fig. 11. As can be seen in the heatmaps, we observe an inverse diagonal relationship between E-I connectivity and the parameter regime giving rise to alpha frequency oscillations in all three models. This illustrates the fact that it is the total amount of E-I connectivity, or the total E-I gain, that defines the presence of alpha rhythm in these models.

A second common feature across all three models is that if the excitatory or the inhibitory connectivity is too low, non-physiological results are obtained. These include time series with either very low amplitude or very high frequency (dark region in Fig. 11 panel D), highlighting neural dynamics.

The relationship between  $C_3$   $(P \to I)$  and  $C_4$   $(I \to P)$  in JR in order to generate al-814 pha oscillations correspond to an exponentially decaying function. A similar correspondence 815 is observed in the LW model, although with a narrower range of possibilities due to model 816 constraints. Furthermore, LW presents a steeper slope, indicating a stronger effect on the dy-817 namical regime of the input from GABA interneurons  $(N_{ie})$  on the frequency than the input to 818 GABA interneurons  $(N_{ei})$ . Both the JR and LW models generate lower frequency oscillations, 819 corresponding to the hypersignal regime, as observed in the analysis of rate constant parameter 820 space (purple color in the JR and LW heatmaps in Fig. 11 C, rows 1 and 2). In the LW 821 model, if the connectivities are increased beyond this regime, predominantly alpha-frequency 822 activity is generated (triangular white zone above the purple region), which corresponds to the 823 dynamics observed with standard connectivity parameter values. To better understand this 824 difference, a local stability analysis was performed to define the fixed points of the JR and LW 825 models, and expand on their dynamical characteristics (Fig. 12). In the case of JR, the colored 826 alpha regime presents unstable fixed points that continue into the hypersignal regime. These 827 oscillations are due to an Andronov-Hopf bifurcation, wherein the system enters a limit cycle 828 that changes shape over time (Fig. 12, 1a and 1b). In LW, an Andronov-Hopf bifurcation 829 also occurs, explaining the hypersignal and some higher frequencies on the left hand side of 830 the lower frequency region (Fig. 12, 3a and 3b), including alpha. However, the alpha regime 831 in LW generated with standard parameter values lies within the space of stable fixed points 832 (Fig. 12, star in 3b), which corresponds to the triangular white regime in the LW heatmap 833 (Fig. 11, C LW). This implies a separate emergent mechanism of alpha rhythm in LW that 834 is distinct from the emergence of a limit cycle that is seen in JR. The generated alpha in this 835 setting is noise-driven, since without noise the system becomes a damped oscillator (due to 836 its having complex eigenvalues with negative real part), and eventually reaches the fixed point 837 (Fig. 12, 4a and 4b). The noise fluctuations repeatedly push the system away from its fixed 838 point at the frequency of alpha, but it tends to stay around that stable point instead of reach-839 ing a self-sustaining limit cycle oscillation. The stability analysis presented here corroborates 840 the idea that the standard alpha rhythms generated by the LW and JR models constitute two 841 mechanisms that are both physiologically and mathematically distinct. This is consistent with 842 the rate constant and connectivity parameter space results as in the rate constant result, we 843 could identify the hypersignal regime above the alpha regime for JR but below for LW, which 844

is also seen in the connectivity parameter space result.

We also conducted an investigation into the effect of low noise in the JR model (Fig. 12, 846 2a and 2b). This analysis revealed that while the shape of the fixed points curve changed, an 847 Andronov-Hopf bifurcation still occurred, and limit cycle trajectories are still present as can be 848 seen in Fig. 12, 2b (star example). We note that, similarly to the rate constants analysis,  $C_3$ 849  $(P \to I)$  and  $C_4$   $(I \to P)$  in JR have ranges of equal values, whereas in LW  $N_{ei}$  is significantly 850 larger than  $N_{ie}$ . This discrepancy can be attributed to the fact that in JR there is a higher 851 level of excitatory interactivity, due to the additional connections between pyramidal cells and 852 excitatory interneurons  $(C_1 \ (P \to E) \text{ and } C_2 \ (I \to P))$ , which also have higher values than 853 pyramidal-inhibitory interneurons. 854

845

As can be seen in Fig. 11, the connectivity values of the the RRW model are of a much 855 smaller range compared to JR and LW, because they represent the connection strength (mean 856 number of synapses times the strength of the response to a unit signal) in mVs rather than the 857 number of synapses between neural populations. Extensive explorations of parameter spaces 858 for this model have been conducted by several authors previously, often using a mathematically 859 simpler reduced version that summarizes connection strengths across aggregated corticocortical, 860 corticothalamic, and intrathalamic loops (Roberts and Robinson, 2012; Abeysuriya et al., 2015). 861 A notable feature of these analyses using the reduced RRW model is the finding that the 862 parameters most strongly influencing the transition from an alpha-frequency regime to lower 863 frequency dynamics are predominantly associated with the corticothalamic loop. The values of 864 these corticothalamic loop parameters in turn determine the effect of variation in intrathalamic 865 loop parameters on the dynamics. In our study, employing parameter sets corresponding to 866 EC conditions, we observed that increasing the intrathalamic connectivities simultaneously led 867 to a decrease in the amplitude of the alpha peak, accompanied by a slight shift in the central 868 frequency. When the change in  $\nu_{sr}$  and  $\nu_{rs}$  are sufficiently high, then the alpha peak disappears 869 which corresponds to the dark colored upper right corner of Fig. 11, C row 3. Interestingly, 870 similarly to the JR and LW models within the analogous parameter range, we observed in 871 RRW an inverse relationship between  $\nu_{sr}$  and  $\nu_{rs}$ . However as  $\nu_{rs}$  becomes more negative and 872  $\nu_{rs}$  smaller the alpha regime reduces. Frequency increases as well as the oscillatory regime 873 as  $\nu_{rs}$  becomes more positive. When  $-\nu_{rs}$  is smaller than 0.6, we still have alpha oscillations 874 but there is a dominant peak in the beta range (around 20Hz) seen in B1 row 3 for RRW 875 (light blue region). Finally, if  $\nu rs$  is below 0.09 approximately the system starts to explode, 876 resulting in either higher amplitude and frequency oscillations (B2 row 3, dark blue region) 877 or in a continuous very high amplitude value that are not physiologically accurate (B1 row 878 3, dark region). It seems that  $\nu_{sr}$  has an effect on the frequency of the alpha peak which 879 correlates with previous analysis that suggested the importance of corticothalamic interactions 880 as  $\nu_{sr}$  is part of the cortico-reticular-relay nuclei circuit. Adjusting  $\nu_{rs}$  is key in order to have 881 an oscillatory behavior in the system emphasizing the E-I balance reflected in the other two 882 models. However, due to the numerous connections within the model, the thalamus is probably 883 not the sole connectivity parameter capable of having an effect on the frequency of alpha. 884

In summary, through our exploration of E-I connectivity parameter spaces in the preceding 885 pages and in Figs. 10-12, we have demonstrated that the emergence of alpha oscillations in 886 numerical simulations with the JR, MDF, LW, and RRW models requires the neural circuit 887 in question to reach and maintain a sufficient level of E-I gain, whilst also not exceeding a 888 certain threshold amount. This finding emphasizes the importance of achieving a balance 889 between excitatory and inhibitory activity and connectivity, as alterations in this balance can 890 lead to pathological and/or non-physiological oscillatory patterns. The connectivity parameter 891 space results we have shown indicate in a mathematically explicit fashion how dysregulation 892 of synaptic connectivity may contribute to abnormal brain activity. Furthermore, in LW, we 893 observed that the dynamics of the model are more strongly influenced by inhibitory connectivity 894  $(N_{ie})$  than by excitatory connectivity  $(N_{ei})$ . This suggests that an imbalance in the E-I ratio is 895 more likely to be affected by the number or strength of synapses originating from GABAergic 896 interneurons than glutamatergic ones, highlighting the significance of inhibitory interneurons 897 and their synaptic connections in shaping the overall dynamics of the LW model. Our stability 898 analyses showed that there are distinct mechanisms underlying alpha oscillations in JR and 899 LW. In our analyses of the RRW model, the intrathalamic loop was seen to primarily modulate 900 the amplitude of the alpha peak, with little influence on the dominant frequency of oscillation. 901 Thus, in the RRW model, the dominant frequency of oscillation and the overall dynamics 902 are predominantly modulated by the corticothalamic loop, underscoring the significance of 903 interactions between cortex and thalamus in driving alpha rhythms according to this theory. 904 The narrow range of parameter values leading to alpha oscillations in the RRW model suggests 905 strong interdependencies among the parameters, which need to be carefully adjusted collectively 906 to maintain oscillatory behavior and clearly detectable spectral peaks in model simulations. 907

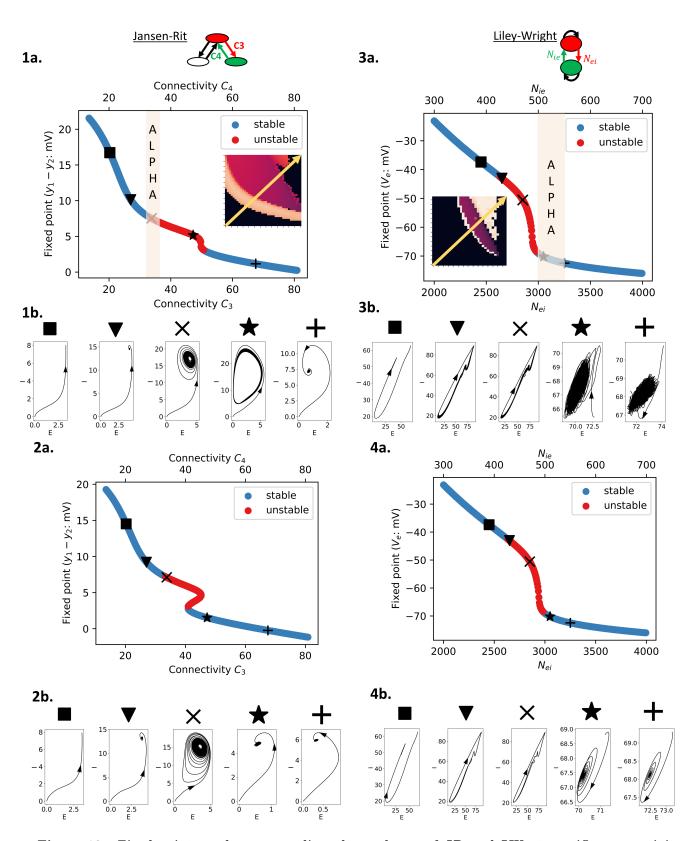


Figure 12. Fixed points and corresponding phase planes of JR and LW at specific connectivity values with high and low noise By performing stability analysis, the stability of the fixed points of JR and LW is determined for connectivity values intersecting across the parameter space (yellow arrow). For the JR model, 1a and 2a correspond to the fixed points of JR with noise and low noise, respectively, as well as their phase planes for specific values of connectivity in 1b and 2b. Similarly to JR, in 3a and 4a the fixed points of LW with noise and no noise are presented with the corresponding phase planes in 3b and 4b. Unstable fixed points are red, whereas stable fixed points are blue. The light orange area corresponds to the optimal connectivity parameter setting to generate alpha oscillations in each model.

These findings enhance our understanding of the relationship between E-I connectivity, <sup>908</sup> alpha oscillations, and the specific mechanisms at play in the LW and JR models. They <sup>909</sup> emphasize the importance of striking a balance in synaptic connectivity and shed light on the <sup>910</sup> key role of cortico-thalamic interactions in generating and modulating alpha rhythms. <sup>911</sup>

912

920

### 3.2 Comparative evaluation of models

Initially, our investigation involved comparing the models within the alpha regime and conducting parameter space searches to explore the different dynamical regimes. However, we have not yet explicitly compared the various components that constitute the models, including their topology, equation formulation, and parameter values. The subsequent section of our study aims to address these aspects and critically evaluate the validity of the choices made by each model. A detailed analysis of these factors is also of central importance in understanding and assessing the suitability of the respective models as theories of alpha rhythm generation.

### 3.2.1 Topology

Patches of neural tissue, such as the cortical columns (also known as a macrocolumns) typi-921 cally of interest in NPMs, comprise large numbers of both excitatory and inhibitory neurons 922 that give rise to EPSPs and IPSPs, respectively. Therefore, NPMs commonly have at least 923 a two population structure. Across the models surveyed in the present work, the most min-924 imal topologically speaking is the LW model, which includes a single excitatory and a single 925 inhibitory population only. Despite this simplicity, the LW is able to capture the balance be-926 tween excitatory and inhibitory activity, while also including finer biological details such as 927 synaptic reversal potentials and transmitter kinetics (e.g., 'fast' AMPA and 'fast' GABA). The 928 LW model consists of four connections overall, including a self-connection for each population. 929

While the LW model, characterized by a simple structure with only a single excitatory 930 and inhibitory population, effectively captures the balance between excitatory and inhibitory 931 activity, there is also an interest in incorporating more neural populations to account for specific 932 dynamics, such as adding an excitatory population. The majority of the electrical activity 933 recorded with EEG is generated by groups of pyramidal cells (Louis et al., 2016), as they are 934 the primary excitatory neuron in the brain, making up approximately 70 to 90% of all neurons in 935 the cortex (Elston, 2007). They are predominantly found in layers three and five of the cerebral 936 cortex (Louis et al., 2016). In the JR model, pyramidal cells are separately represented from 937 other excitatory interneurons (commonly referred to as spiny stellate cells, mostly found in layer 938 4; David et al., 2006), yielding a model composed of three neural populations - one greater than 939 the LW model. This additional excitatory population, and thus excitatory feedback loop stems 940 from Katznelson's approach to explore the importance of (long-range) excitatory connections 941 (Jansen et al., 1993; Katznelson, 1981). Pyramidal cells interact with both excitatory and 942 inhibitory interneurons, resulting in a total of four connections in the model. Thus, despite 943 the difference in the number of neural populations between JR (three) and LW (two), they do 944

have the same number of connections. This is due to the absence of self-connections in JR. In 945 contrast, the MDF model, which shares a similar topology to JR, introduces a self connection to 946 its inhibitory population. This extension is motivated by experimental and theoretical evidence 947 suggesting the necessity of such connections for high-frequency oscillations in the gamma band 948 (Moran et al., 2007). The corticothalamic RRW model is composed of four neural populations: 949 excitatory and inhibitory neurons in the cortex, and the (excitatory) relay and (inhibitory) 950 reticular nuclei of the thalamus. Regarding cortical connectivities, is is assumed that the 951 number of projections from each source neuron to each target population is proportional to 952 the size of the target population. This leads to  $\nu_{ee} = \nu_{ie}$ ,  $\nu_{ei} = \nu_{ii}$ , and  $\nu_{es} = \nu_{is}$  implying 953 that  $V_i = V_e$  and the inhibitory quantities are re-expressed in terms of excitatory quantities 954 (Zhao and Robinson, 2015). Consequently, the intracortical connections correspond to  $\nu_{ee}$ 955 and  $\nu_{ei}$ , representing the self-connection and the inhibitory input to the excitatory population 956 respectively. The RRW model circuit has seven connections in total, with a single cortical 957 output that extends to the thalamus. The reticular nucleus receives these inputs from the 958 cortex, as well as a reciprocal connection from the thalamic relay nuclei. The four-node RRW 959 topology can thus be summarized in terms of three primary loops: 1) an intrathalamic loop 960 connecting the reticular nucleus and relay nuclei, 2) a direct corticothalamic loop linking the 961 cortex and relay nuclei, and 3) an indirect corticothalamic loop involving the cortex, reticular 962 nucleus, relay nuclei, and completing the circuit back to the cortex. 963

#### 3.2.2 Equations

As noted previously, all of the models studied here characterize neural subpopulation activity within their respective circuits using at least one second-order (equivalently, two first-order) differential equation(s), combined with a nonlinear operator that describes the synapses and postsynaptic dendritic processes (Aburn et al., 2012).

Three sets of two first-order differential equations are defined to describe each neural pop-969 ulation in JR. The model assumes that excitatory and inhibitory interneurons have identical 970 states up to a scaling constant (Aburn et al., 2012), and pyramidal neurons synapse equally 971 onto the excitatory and inhibitory populations (Cook et al., 2021). Mathematically, this implies 972 that the contributions from EPSPs and IPSPs are not separately simulated for the pyramidal 973 population, unlike the MDF model. In the MDF model, the contributions from excitatory and 974 inhibitory populations are separately calculated to give rise to EPSPs and IPSPs. The differ-975 ence between the two results in a mixture of potentials induced by excitatory and inhibitory 976 currents, which equates to the measured local field potential (Moran et al., 2007). Additionally, 977 the MDF model incorporates recurrent connections in the inhibitory population. This means 978 that, compared to the JR model, the MDF model includes two additional differential equations, 979 and the measured response corresponds to the difference between EPSPs and IPSPs. 980

Furthermore, MDF is distinguished from the other models by its richer and more flexible sigmoid function definition, in terms of two parameters ( $\rho_1$  and  $\rho_2$ ) that determine its shape (voltage sensitivity) and position respectively. The MDF model also has the possibility to 983

964

984

1013

include adaptation currents, through a parameter a which is set to 0 in our analyses.

Mathematically, the LW model is slightly more complex than the other three models studied 985 here, mainly due to its inclusion of an additional block for each subpopulation that converts 986 post-synaptic potentials into the some membrane potential, allowing for the inclusion of synap-987 tic reversal potential terms in the equations. The model consists of three distinct blocks that 988 perform specific transformations. The first block transforms the some membrane potential into 989 firing rate with a nonlinear operator in the form of a sigmoid, as described in the methods 990 section. In the second block, the firing rate is converted into postsynaptic potential on the 991 target population (i.e. on I for the  $E \to I$  and  $I \to I$  connections, and on E for the  $I \to E$ 992 and  $E \to E$  connections), representing the integrated effect of synaptic inputs. Finally, the 993 postsynaptic potential is further translated into the some membrane potential, modelled in 994 this case according to conductance-based rules (Song et al., 2019). Unlike the other models, 995 LW thus has two state variables for each population: the postsynaptic potential and the soma 996 membrane potential. LW also includes fast excitatory and inhibitory neurotransmitter kinetics 997 not found in JR, MDF, or RRW. 998

In the RRW model, activity dynamics are nominally specified in four neural populations: 999 cortical excitatory, cortical inhibitory, thalamic reticular, and thalamic relay neurons (Robinson 1000 et al., 2002). However, as noted above, with the assumptions made in this case, the two cortical 1001 populations are not clearly separated into specific subgroups within the equations. As a result, 1002 there are no local inhibitory connections within the cortex, and only one cortical output extends 1003 to the thalamic populations - reducing the number of equations as compared for example to 1004 LW, which is a fully connected graph. The equations that govern the RRW model first describe 1005 the firing behavior of individual cells within each population. These firing cells serve as sources 1006 of pulse fields, which are treated as average spike rates in their respective populations. The 1007 propagation expressed as a damped wave equation in the RRW model, which is only taken into 1008 consideration for the cortical excitatory population since it is the only one with a finite  $\gamma_e$ , is 1009 what differentiates it from the other models. Therefore, mathematically, we observe that there 1010 is an additional  $\phi_e$  term corresponding to the average pulse density, nonexistent in the other 1011 neural populations or models. 1012

#### 3.2.3 Unified parameter table

One of the aims when developing and studying mathematical models, such as the four considered in the present work, is to relate various model parameters to specific biological features or processes of the brain, and in so doing to more fully understand the mechanisms underlying neural activity, as well as how changes in these factors may impact brain function and behavior. This can include features such as the properties of individual neurons or synapses, the architecture of neural circuits, or the dynamics of different neural populations. Unfortunately however, this task can sometimes be a challenging one for NPMs, since many of the models in common use today (including all four reviewed in this paper) were formulated phenomenologically - i.e. via a top-down strategy focused on replicating activity dynamics in neural recordings, rather 1012

than the fine-grained details of neuronal circuit microstructure.

It is therefore, necessary to understand the role of the different elements and the rationale <sup>1024</sup> behind the choice in their values, to make them as biophysically meaningful and interpretable <sup>1025</sup> as possible. To aid with this, Supplementary S.6 includes a set of tables with a brief description <sup>1026</sup> of each model's parameters and their biological meaning. Although the models do often have <sup>1027</sup> slightly different values for corresponding parameters, they do nevertheless often share similar <sup>1028</sup> functional roles. To facilitate further comparison, an additional table is given below that aims <sup>1029</sup> to relate variables of equivalent biological meaning (Table 2). <sup>1030</sup>

Among the JR, RRW, and LW models, which use very similar expressions for their sigmoidal 1031 transfer functions, there are three key common parameters that emerge: i) mean firing thresh-1032 old, ii) firing threshold variability, and iii) maximum attainable firing rate. JR, MDF, and LW, 1033 which include both a separate excitatory and inhibitory impulse response function, have the 1034 following shared components: maximum amplitude of EPSPs, and of IPSPs, and an excitatory 1035 and inhibitory rate constants. Finally, every model has features representing the connections 1036 between neural populations. The MDF model introduces additional parameters to define the 1037 shape of the sigmoid function used in its formulation, providing easier modulation of the shape 1038 of the sigmoid compared to the other models. In RRW, the impulse response differs, which 1039 includes a decay and rise time of the impulse response, affecting the dynamics of the model's 1040 dendritic filtering process. Furthermore, factors associated with corticothalamic interactions 1041 are introduced in the RRW model to account for long-range interactions between cortical and 1042 thalamic regions. The LW model distinguishes itself by incorporating attributes related to 1043 synaptic reversal potentials, such as the resting membrane potential and passive membrane 1044 decay time constant. These parameters are essential for transforming the postsynaptic poten-1045 tial into the soma membrane potential and incorporating synaptic reversal potentials into the 1046 model's dynamics. 1047

1048

1049

1058

1023

### 3.2.4 Deciphering the biological basis and rationale of parameter values

The systems under consideration have parameters with corresponding biological interpretations; <sup>1050</sup> however, the nominal values assigned to these parameters vary considerably across the models. <sup>1051</sup> The variation in parameter values across the models can be attributed to several factors, including differences in the experimental data used to inform the models, distinct mathematical <sup>1053</sup> formulations, and specific assumptions. Each model is designed to capture different aspects of <sup>1054</sup> neural activity and may prioritize certain features or phenomena over others. In the following <sup>1055</sup> section, we first examine the rationale behind the expression and parameters of the firing rate <sup>1056</sup> function, then the impulse response, and finally the connectivity values. <sup>1057</sup>

### Firing rate

Fig. 13 shows the firing rate curves of the four models. It can be seen here that there is some 1059 variability in maximum neural firing rate parameters used, as well as the point of inflection 1060

Common Parameters									
Model	JR	MDF	LW	RRW					
Firing threshold (mean)	$V_0$	-	$\mu_{e,i}$	Θ					
Firing threshold variability	1/r	—	$\sigma_{e,i}$	$\sigma'$					
Maximum firing rate	$2e_0$	—	$S_{e,i}^{max}$	$Q_{max}$					
Maximum EPSP amplitude	A	$H_e$	$\Gamma_e$	—					
Maximum IPSP amplitude	В	$H_i$	$\Gamma_i$	—					
Rate constants	a and $b$	$\kappa_e$ and $\kappa_i$	$\gamma_{e,i}$	—					
Connectivity	$C_1, C_2, C_3, C_4$	$\gamma_1, \gamma_2, \gamma_3, \gamma_4$	$N^{\beta}_{ee}, N^{\beta}_{ei}, N^{\beta}_{ie}, N^{\beta}_{ii}$	$\nu_{ee}, \nu_{ei}, \nu_{es}, \nu_{se}$					
v			$ u_{sr}, \nu_{rs}, \nu_{re}, \nu_{sn}$						
Additional Parameters									
Sigmoid shape		$ ho_1, ho_2$							
Decay and rise time				$rac{1}{lpha},rac{1}{eta}$					
Decay and rise time Corticothalamic loop delay	-	-		$rac{1}{lpha},rac{1}{eta}$ $t_0$					
•									
Corticothalamic loop delay				$t_0$					
Corticothalamic loop delay Cortical damping rate			$\gamma_{e,i}$	$t_0$					
Corticothalamic loop delay Cortical damping rate Passive membrane decay				$t_0$					
Corticothalamic loop delay Cortical damping rate Passive membrane decay time constant			$\gamma_{e,i}$ $h_{e,i}^{rest}$	$t_0$					

**Table 2.** Common parameters across models based on their biological interpretation. Certain parameters have a similar role and a biological interpretation associated with it that is comparable between the models. The additional parameters reflect the novelty and differences proposed by each models.

of the curves. As mentioned in the previous section, MDF implements a different expression 1061 of the sigmoid that does not include parameters equivalent to a maximum firing rate, mean 1062 firing threshold, or standard deviation of the threshold distribution in the neural population, 1063 but instead has two parameters defining shape and position. The maximum amplitude with 1064 the current setting reaches 0.9, but can be tuned by modifying the parameters  $\rho_2$ . Even though 1065 the other three models have parameters with a similar biological interpretation, the values are 1066 considerably different. First, the maximal firing rate is equal to  $500s^{-1}$ ,  $340s^{-1}$  and  $5s^{-1}$  for 1067 LW, RRW and JR respectively. The difference in the order of magnitude between JR and the 1068 other two models (LW and RRW) can in part be explained by the fact that the value chosen 1069 by Jansen and Rit in their original paper is taken from Freeman (1987), and is actually a 1070 dimensionless normalized parameter. This quantity is expressed without units (for details on 1071 the calculation of the maximal wave amplitude  $Q_m$  see Freeman, 1979), whereas both RRW and 1072 LW rely on experimentally derived average values. However, in the case of RRW, the assumed 1073  $Q_{max}$  value was made without a clear citation mentioning it is an assumption and within units 1074 of the measured maximum value possible (Robinson et al., 1997; Rennie et al., 1999). The 1075 standard values from Freeman for converting membrane potential to firing rates are applied in 1076

the JR firing rate function, but the expression itself stems from Lopes da Silva et al. (1976), <sup>1077</sup> and the current JR model uses a simplified version of that function. In the case of RRW, the <sup>1078</sup> firing rate function initially corresponded to the error function introduced by Wright and Liley <sup>1079</sup> (1995). Since 1999, the nonlinear function in the RRW model has been a modified version of <sup>1080</sup> that initial error function and closely approximates it (Rennie et al., 1999). The differing source <sup>1081</sup> of the firing rate conversion equation between the two models explains the slight differences <sup>1082</sup> observed in their mathematical expressions. <sup>1083</sup>

The spiking threshold parameter (voltage at point of inflection in the sigmoid curve) in LW <sup>1084</sup> has a negative potential, due to the fact that the model includes synaptic reversal potentials. <sup>1085</sup> JR and RRW, in contrast, have a positive point of inflection for this parameter (6mV and <sup>1086</sup> 12.92mV respectively). The values for the standard deviation of the threshold distribution <sup>1087</sup> in the neural population, which affects the steepness of the firing ate slope, are (1/0.56)mV <sup>1088</sup> ( $\approx 1.79mV$ ), 5.5mV, and 5.9mV for JR, LW, and RRW respectively. <sup>1089</sup>

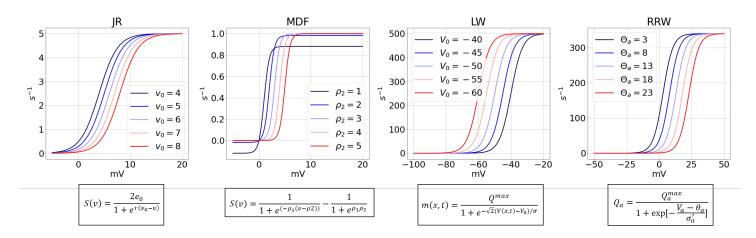


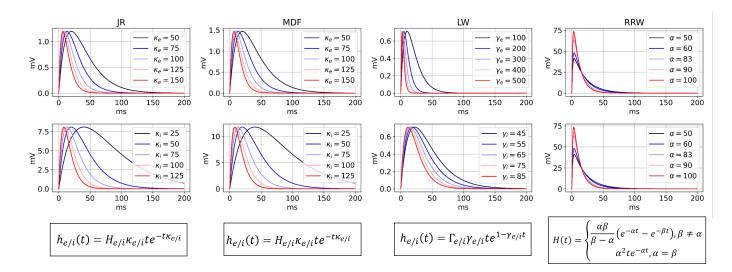
Figure 13. Sigmoid curve of each model with firing rate against voltage with different firing threshold. The sigmoids differ in terms of the maximum value and the voltage at which the inflection point occurs which is modulated by the firing threshold.

#### Impulse response

1090

With respect to the impulse response, the parameter values in JR can be traced back to van 1091 Rotterdam's paper in 1982 (van Rotterdam et al., 1982). The impulse response used in JR 1092 corresponds to a simplified version of expression given in Lopes Da Silva (Lopes da Silva et al., 1093 1974, 1976). These authors determined the parameters A, B, a and b by respecting certain basic 1094 properties of real postsynaptic potentials, and ensuring the system produces alpha frequency 1095 oscillations (Grimbert and Faugeras, 2006a). This choice of JR to use the alpha function (unrelated to alpha rhythms) as an impulse response was originally proposed by Rall (Rall, 1967). 1097 MDF has an identical impulse response function, but some of the standard parameter values 1098 differ because in Moran et al. (2007), the authors deliberately selected 'standard' parameters 1099 that prioritize an EEG with significant power in the higher beta frequency range, aiming to 1100 showcase the impact of nonlinearities in their computational framework. The standard MDF 1101 parameters are thus adjusted in the present study to place the central frequency in the alpha 1102

band by using comparable values to David and Friston (2003). With our adjustments to obtain 1103 alpha oscillations, the values of the impulse response in MDF vary slightly from those in Moran 1104 et al. (2007), such as the rate constants ( $250s^{-1}$  instead of  $100s^{-1}$  for  $\kappa_e$ ;  $62.5s^{-1}$  instead of  $100s^{-1}$  $50s^{-1}$ ), but are still in the same order of magnitude. These differences are explained by the fact 1106 that the additional self-inhibitory connection changes the behavior of the system for similar 1107 parameter values. Thus, to simulate an equivalent alpha these need to be modified. There is 1108 some variability across the models in the values used for EPSP and IPSP amplitudes. This has 1109 been justified physiologically by the fact that certain neuropeptides can modulate the amplitude of PSPs, meaning that some degree of freedom in choice of these values is needed (Jansen 1111 and Rit, 1995). For the dendritic response, the original RRW model paper (Robinson et al., 1112 1997) mentions using 'physiologically reasonable parameters' for the decay and rise rate ( $\alpha$  and 1113  $\beta$ ), and cites sources such as Freeman (1991); Lopes da Silva et al. (1974); van Rotterdam et al. 1114 (1982) with no further details provided. It is surprising that the peak of the dendritic response 1115 is around 60mV, which is considerably higher than the other models. LW, on the other hand, 1116 has a lower potential peak amplitude, which can may be due the fact that other models represent the voltage at the soma, whereas LW expresses it at the site of synaptic activation (Liley 1118 et al., 2001). One of the status intentions of the LW model relative to its predecessors was to 1119 be more physiologically realistic, and thus allow greater biological validity and interpretability 1120 of its parameters (Liley et al., 2001); however it is notable that very little detail is given about 1121 the sources for chosen parameter values. Overall, an anatomical assumption made is that the 1122 amplitude of the inhibitory impulse response is larger than the excitatory impulse response, 1123 due to the fact that the former have axon terminals closer to the cell body, thereby leading to 1124 larger perturbation upon synaptic transmission (Kandel et al., 2000; Cook et al., 2021). LW 1125 makes the (reasonable) assumption that excitatory impulses occur on a faster timescale than 1126 inhibitory impulses, which is shared with JR and MDF, but notably not with RRW. In Fig. 1127 14, the shape of each model's excitatory and inhibitory impulse responses are shown, with their 1128 nominal varying rate constant values. As the rate constant increases, the curve widens and the 1129 decay time increases. In the case of RRW but not JR, MDF, or LW, variation of the decay 1130 time also leads to changes both slope and the magnitude of the impulse response curve. 1131



**Figure 14.** *Impulse response of excitatory and inhibitory population with varying rate constant* Top: EPSP; Bottom: IPSP; except for RRW which uses the same dendritic response curve for EPSP and IPSP. The general shape of EPSP and IPSP between the models is consistent and mainly differ in terms of amplitude. Rate constant is varied for the first three models and for RRW, the different curves correspond to varying decay times.

#### Connectivity

1132

Connectivity parameters across the four models differ in their units and physiological interpretation, making direct comparisons of specific values challenging. In JR and MDF, the 1134 connectivity parameter values are dimensionless, and proportional to the average number of 1135 synapses between populations, thus account for the total number of synapses (Jansen and 1136 Rit. 1995). Based on several neuroanatomical studies (Braitenberg and Schüz, 2013; Larkman, 1137 1991; Liu et al., 1991; Elhanany and White, 1990) that estimated these quantities by counting 1138 synapses. With these studies, Jansen and Rit condensed the four connections into fractions of 1139 a single parameter C (Grimbert and Faugeras, 2006b). Since Jansen and Rit estimated that 1140 the global parameter C would most likely change primarily due to its role in capturing synaptic phenomena like neurotransmitter depletion, this reduction has been useful in determining 1142 the overall effect of variations in connectivity while keeping their proportions to each other 1143 identical. LW has parameters representing the total number of connections between the two 1144 populations, which take higher values for excitatory neurons as 80% of cortical neurons are 1145 excitatory, vs 20% that are inhibitory neurons (Cook et al., 2021). Furthermore, anatomical 1146 estimates for each connection were derived using an equation that considers the diameter of 1147 the mean dendrite and intracortical axon, the mean total length of all dendritic and intracortical axonal arborizations, the mean length of the pyramidal cell's basal dendritic arborizations, 1149 and the neuronal density (as described in Liley et al., 2001 and outlined in Liley and Wright, 1150 1994). RRW has connectivity variables denoted as  $\nu_{ab}$ , which correspond to the mean number 1151 of synapses (anatomical or structural in nature) multiplied by the strength of the response to 1152 a unit signal expressed in units as mVs (related to physiology or functionality) (Rennie et al., 1153) 1999; Robinson et al., 1997; Rall, 1967). 1154

This section aims to compile the origin of the mathematical expressions as well as parameter 1155

values by retracing the literature, and discerning the biological associations. Our comparative <sup>1156</sup> evaluation has found that even though the formulation of the firing rate curves is similar <sup>1157</sup> between JR, LW and RRW, their mathematical origin differs, with Lopes da Silva et al. (1976) <sup>1158</sup> as a reference for JR, and the error function introduced by Wright and Liley (1995) for LW and <sup>1159</sup> RRW. This explains the variations in the parameter values. Finally, our goal is to provide a <sup>1160</sup> comprehensive comparison across all levels for the four models. With regards to the parameters <sup>1161</sup> of the impulse response, some degrees of freedom are accepted, and the parameter values are <sup>1162</sup> mentioned to be within reasonable physiological ranges. Finally, connectivity parameters can <sup>1163</sup> synapses (LW), or synaptic strengths (RRW). Although the specific parameter values may vary <sup>1164</sup> for the firing rate and the impulse response, modifying them uniformly yields a consistent effect <sup>1166</sup> across the two curves (Figs. 13 and 14). Similarly, as shown in Fig. 11, correspondences can <sup>1167</sup> be made in the effects of altering connectivities. <sup>1168</sup>

# 4 Discussion

### 4.1 Summary of main findings

In this paper we have undertaken a systematic investigation into the major mathematicallyexpressed physiological theories of EEG alpha rhythmogenesis. This has centred around an in-depth comparison of four primary models (JR, MDF, LW, RRW) that predominate in the literature, which also cover the two main alpha theory types (intracortical and corticothalamic Nunez et al., 2006). By clarifying at a technical and a conceptual level the relationships between the four models, our aim has been to prepare the ground for future experimental and theoretical work aimed at directly testing between alternative alpha theories, and other related research unrestions.

We first examined the mathematical expression of each model, highlighting common elements and important differences. We then explored the parameter space of each model to identify the necessary conditions to produce alpha rhythms, with a focus on the rate constant and E-I connectivity strength parameters. In the process of this didactic and comparative treatment of the assumptions and component features across these four models, we have reported a number of confirmatory simulation results, as well as several novel findings.

One major conclusion from our analyses is that, although the four models considered differ <sup>1185</sup> in their basic elements such as nominal cell types, microcircuit topologies, and connectivity <sup>1186</sup> assumptions (to name just a few), they are ultimately more similar to one another than they <sup>1187</sup> are different. Specifically, all the models can reproduce the characteristic features of resting <sup>1188</sup> state alpha observed in empirical EEG data, albeit with varying degrees of accuracy (Fig. 9). <sup>1189</sup> RRW appears to better capture the 1/f scaling compared to the other three models (Fig. 9, <sup>1190</sup> A), while the alpha blocking (EC to EO) is more attenuated in JR and LW (Fig. 9, B). This <sup>1191</sup> phenomenon has been previously studied directly with the RRW (Robinson et al., 2004) and LW <sup>1192</sup>

1169

1170

(Hartoyo et al., 2020) models, and so we based our analyses around the parameter sets described 1193 in this prior literature. For JR, we found limited prior work on alpha blocking directly and 1194 opted to model this effect by increasing the external input p(t), analogously to recent studies 1195 using LW, where the external input to the inhibitory population is increased to obtain an alpha 1196 attenuation. Interestingly, even though p(t) (representing increased visual input) was applied 1197 to the excitatory population in these simulations, we still observed attenuation of population 1198 firing rates and EEG alpha power. 1199

We studied the effect of changing the rate constant on the dominant frequency of oscillation 1200 across all four models (Fig. 10). Although this has been previously studied for the JR model 1201 David and Friston (2003); David et al. (2006); Gast et al. (2019), the concurrent comparison 1202 of JR with MDF and LW models has not been reported in prior work. These comparative 1203 simulation analyses clearly show the larger range of oscillatory behavior demonstrated by the 1204 MDF model, as well as the differing position of the hypersignal regime between JR, MDF, 1205 and LW. The observation of broadly similar trends across all of the models shows how the 1206 rate constants fundamentally influence the dynamical behavior of these systems. These results 1207 potentially raise questions about the somewhat restrictive assumption in RRW, which does not 1208 specify distinct rate constants for excitatory and inhibitory synaptic responses. In addition to 1209 exploring the rate constant parameters, we also studied the E-I connection strengths of the 1210 models (Fig. 11). Through this investigation, we found that changes in the gain of the E-I loop 1211 have a significant impact on the dynamics observed in all models. In JR, the total connectivity 1212 strength of the inhibitory loop determines the oscillatory regime of the model. For RRW, as the 1213 intrathalamic inhibitory connection increases, the value of the excitatory connection becomes 1214 more determinant of whether an alpha rhythm with significant amplitude is generated. Finally, 1215 we observed that changes in the number and strength of GABA interneuron synapses in the LW 1216 model tend to have a more prominent effect on the dynamics compared to the corresponding 1217 GABA-related parameters of the other models. 1218

When exploring the stability of the JR and LW models, we discovered that the standard <sup>1219</sup> alpha oscillation generated for nominal default alpha parameters by each of them stems from <sup>1220</sup> different mechanisms, mathematically speaking: a self-sustained limit-cycle for JR or noise-<sup>1221</sup> driven fluctuations around a fixed point for LW. In the RRW model, we observed that the <sup>1222</sup> intrathalamic E-I loop also plays a crucial role in modulating the general dynamics of the alpha <sup>1223</sup> oscillation. Decreases in inhibition lead to a dominant peak in the beta regime and a slight <sup>1224</sup> shift in the alpha central frequency. However, the primary function of the RRW intrathalamic <sup>1225</sup> loop (within the parameter regimes studied) is to modulate the magnitude of the alpha peak. <sup>1226</sup>

The final part of our comparative evaluation of the four alpha models highlighted their <sup>1227</sup> topological and mathematical differences. Tracing through cited sources and other available <sup>1228</sup> information in the literature, we were able to distill and clarify the various rationales behind <sup>1229</sup> the selection of reported parameter values. Despite variations in these values across models, <sup>1230</sup> their impact on the shape of both the sigmoid and impulse response remains consistent and <sup>1231</sup> qualitatively similar (Figs. 13-14).

From our investigation, where we have observed largely similar capacities to generate spectral EEG features such as alpha, alpha blocking, 1/f background, etc., it remains unclear whether the intracortical or corticothalamic theory type is best supported by the evidence and other theoretical considerations surveyed in this study. Ultimately, from a pragmatic point of view, the selection of a model in a research context depends on the goal of the study, its capacity to represent certain features of neural activity, and its inclusion of relevant biological details. While our analyses suggest that mesoscopic scale empirical data such as human scalp EEG signals may be insufficient to advance one alpha theory over another one, our investigation helps to clarify the role of the E-I loop in each model, how the synaptic gains influence the represented dynamics, and the implications of these in various alpha mechanisms. These factors are valuable in studies of how an imbalance in E-I can lead to altered dynamics, such as different oscillatory patterns or reduced alpha magnitude, which are associated with various neural pathologies and disorders (Eichler and Meier, 2008; Li et al., 2022).

1246

### 4.2 Model limitations and critique

NPMs offer a valuable framework for studying the dynamical behavior of the brain at the 1247 mesoscopic scale, particularly when investigating phenomena observed at the level of neural 1248 populations, as is the case for data modalities such as EEG, MEG, LFPs, ECoG, fMRI, PET, 1249 fNIRS, and wide-field calcium imaging. However, the (relative) simplicity of this methodology compared with more spatially fine-grained modelling approaches comes with a trade-off, 1251 as the coarse-grained nature of NPMs necessarily sacrifices many important neurobiological 1252 details. One major limitation that often results from the simplifications, approximations, and 1253 assumptions inherent in all NPMs is the lack of a clear correspondence between model vari- 1254 ables/parameters and measurable quantities in real neuronal tissue. This poses challenges for 1255 both model parameterization and validation. In some cases, certain values, such as connectivity parameters between neural populations in the cortex, may be arbitrarily chosen due to 1257 the lack of verifiable estimates in terms of magnitudes (Cook et al., 2021). Moreover, the 1258 primary experimental measurements used for validation in much of the modelling literature 1259 reviewed here are human EEG data, which are conventionally assumed to be driven by cortical 1260 excitatory (pyramidal) neurons. Many state variables in the models (cortical inhibitory populations, thalamic populations) are thus not directly captured in the measurement models based 1262 on scalp EEG alone, and it may well be the case that EEG contains insufficient information 1263 to effectively distinguish between different models. In the case of RRW, complementary data 1264 such as LFPs from surgically implanted electrodes in the thalamic reticular and relay nuclei, 1265 may help considerably. Given current trends in neuroscience recording technologies, combined 1266 electrophysiological and optical imaging in rodents seems the most promising source of neural recording data that addresses the shortfalls with human EEG, although species differences 1268 between rodents and humans are also a non-trivial consideration. 1269

Even though NPMs can serve as a bridge between the microscopic states of individual spiking <sup>1270</sup> neurons and macroscopic global brain states at the mesoscopic scale (Goldman et al., 2019), <sup>1271</sup>

this link is alas rarely a straightforward one (Huang and Lin, 2021), with various assumptions <sup>1272</sup> and abstractions such as microcircuit cell types, inclusion/exclusion of glial cells, and nominal <sup>1273</sup> physical units breaking down beyond a certain point. This challenge often leads to a disconnect <sup>1274</sup> between our understanding of brain activities observed at different spatial scales (Cook et al., <sup>1275</sup> 2021).

Since our models can be categorized as NMMs, it is important to acknowledge that the <sup>1277</sup> nature of NMMs introduces certain limitations due to the underlying assumptions they rely <sup>1278</sup> on. Firstly, the states of the neurons across the modelled ensemble are assumed to be un- <sup>1279</sup> correlated (Breakspear, 2017). As a result, NMMs neglect potential fluctuations in the level <sup>1280</sup> of within-population synchrony in neuronal firing rates (Glomb et al., 2021). This omission <sup>1281</sup> thus disregards any potential effects that within-population synchrony may have on observed <sup>1282</sup> EEG responses. This strong coherence assumption among the neurons means that the variance of neuronal states is fixed for NMMs. Thus, this neuronal variability is not taken into <sup>1284</sup> account, even though it might play an important role in observed EEG responses (Marreiros <sup>1285</sup> et al., 2008). Additionally, the common use of a sigmoidal function in NMMs to transform the <sup>1286</sup> membrane potential into a firing rate is not derived from a biophysically detailed description <sup>1287</sup> of spiking neurons (Huang and Lin, 2021; Byrne et al., 2020) but rather is a phenomenological <sup>1288</sup> approximation. Individual neuron firing thresholds, which vary considerably from cell to cell <sup>1289</sup> within an ensemble, are thus not considered in these models.

Despite these caveats, NPMs remain the most suitable approach for representing brain <sup>1291</sup> dynamics observed at the meso/macro scale in modalities such as scalp EEG. These models <sup>1292</sup> offer simplicity and computational efficiency due to their low dimensionality, making them wellsuited for numerical simulations as well as parameter estimation (David et al., 2006; Abeysuriya <sup>1294</sup> et al., 2014; Momi et al., 2023). NPMs also allow for the establishment of linearized or analytical <sup>1295</sup> correspondences, enabling researchers to gain further mathematical insights into a given model's <sup>1296</sup> putative physiological mechanisms.

In addition to limitations inherent to all NPMs, each of the four models also has its own 1298 advantages and limitations. JR, for example, is constrained in its oscillatory range, with lim-1299 ited ability to generate high frequencies. In contrast, the MDF model attempts to address this limitation by including a self-inhibitory connection. Furthermore, an external drive is neces-1301 sary in JR to generate stable (alpha) oscillations, which somewhat contradicts the empirical observation that prominent alpha rhythm is seen when subjects have their eyes-closed, and thus in the relative absence of a strong sensory-driven stimulation to the occipital cortex. Since an external drive is necessary in order to generate oscillations, it can be considered that the model does not reflect self-consistent intrinsic oscillations (Kiani et al., 2021). Nevertheless, it's worth noting that the external drive might also be attributed to input from the thalamus, aligning with the concept of corticothalamic connections contributing to intrinsic alpha oscillations. However, this stance presents a nuanced perspective, slightly diverging from our alpha plocking analysis. While a certain level of external input (p(t)) is essential for alpha rhythm generation, our findings indicate that beyond a specific threshold, an increase in p(t) results in 1301

a decrease in alpha rhythm amplitude. This introduces a degree of ambiguity concerning the <sup>1312</sup> biological role of the thalamus, particularly when considering that increased corticothalamic <sup>1313</sup> activity in the RRW is associated with higher alpha peaks. <sup>1314</sup>

The MDF model shares many of these advantages with JR, additionally incorporating recurrent intrinsic inhibitory connections to generate oscillations in higher frequency ranges (gamma <sup>1316</sup> band). The MDF model, as introduced in Moran et al. (2007), also includes spike-rate adaptation terms, although we have have omitted these extra equations here for simplicity. It is <sup>1318</sup> however worth noting that although the choice of the sigmoid function used by the MDF model <sup>1319</sup> allows for better flexibility in parameterization of the wave-to-pulse operator, the additional <sup>1320</sup> parameters used for this have no or little relationship to biological elements. <sup>1311</sup>

The LW model, by including several conductance-based elements in its formulation such <sup>1322</sup> as synaptic reversal potentials, is most faithful to neurobiology of the four models, at the <sup>1323</sup> cost of additional nonlinearities and other complexities. In practice, LW is less flexible and <sup>1324</sup> more constrained than the other NMMs considered here, as it is highly prone to numerical <sup>1325</sup> instability and divergence. Due to its richer parameterization, the LW model can nevertheless <sup>1326</sup> display several qualitatively different dynamical regimes - namely alpha-frequency limit cycle <sup>1327</sup> oscillations, noise-driven activity, or chaos. This diverse repertoire can also make interpretation <sup>1328</sup> and identification of continuous dynamics challenging (Liley et al., 2001). <sup>1329</sup>

Finally, a chief limitation of the RRW model as compared to the other three is its characterization of EPSPs and IPSPs with the same impulse response equation. This approximation has been a subject of debate, since, for example, our findings in the present work indicate that excitatory and inhibitory rate constants significantly influence the dominant frequency of oscillation. Previous studies have extensively analyzed the RRW model mathematically, particularly its linearized form, which offers a highly flexible and accurate estimation of EEG power spectrum feature, and these investigations have demonstrated the model's capability to generate oscillations at different frequencies, across various brain states and neuropathologies (Roberts and Robinson, 2008; Zhao and Robinson, 2015; Müller et al., 2017). However, the satisfies a constrained to obtain this tractable version of the model can be discussed (local activity approximation, cortical connectivity approximation, and similar synaptic filtering for AMPA and GABA).

Table 5 offers a global comparative analysis of the four models, outlining their strengths and <sup>1342</sup> weaknesses in various aspects, which can be summarized as follows: the JR model distinguishes <sup>1343</sup> between EPSPs and IPSPs, along with a separation of pyramidal cells from other excitatory <sup>1344</sup> interneurons. The strength of this model lies in its ability to showcase robust global dynamics. <sup>1345</sup> However, it has limitations concerning the biological significance of its parameters, the range <sup>1346</sup> of oscillatory behavior, and the general shape of the power spectrum. The MDF model shares <sup>1347</sup> similar strengths with JR, with the exception that it can achieve simulations with a higher <sup>1348</sup> frequency of oscillation, offering a broader range of possibilities. Nevertheless, it also shares <sup>1349</sup> similar limitations with the JR model in terms of parameter significance and power spectrum <sup>1350</sup> shape. On the other hand, LW and RRW exhibit strengths in terms of the biological association <sup>1351</sup>

Feature		MDF	LW	RRW
Biological significance of Parameters	-	-	+	+
Differentiation between EPSP and IPSP		+	+	-
Oscillatory range	-	+	+	+
General shape of PS		-	-	+
Robust Demonstration of Global Dynamics		+	-	-
Separation of Pyramidal Cells		+	-	-

**Table 3.** *Global evaluation of the models.* Different features of the models are assessed, highlighting strengths and limitations. In terms of robustness and tractability, the JR and MDF models prove more suitable. The LW model incorporates more physiological elements, and the RRW model shows a stronger capability in reproducing empirical features of alpha activity.

of parameters based on experimental studies, and they propose a considerable range of oscillatory frequencies. However, due to their complexity demonstrating robust global dynamics is more challenging. Furthermore, the RRW model emerges as a promising model for reproducing important empirical features, such as the 1/f curve.

### 4.3 Alternative models of alpha rhythm beyond NPMs

In this paper we have elaborated on a select few NPMs that specifically address alpha oscillations, following the corticocortical or corticothalamic alpha theory candidates summarized in Fig. 2. It is also important to note however that alpha rhythms have been studied by researchers at a variety of scales using models ranging from microscopic to macroscopic perspectives. Many of these extend beyond the scope of the present work due to being either not (mesoscale) NPMs, or not corresponding to the corticocortical/corticothalamic theory types. In this final section we review briefly a selection of this broader body of work developing alternative alpha rhythm and related computational models.

1365

1366

1356

#### 4.3.1 Two levels down: multicompartmental microcircuit models

Multicompartmental models are the most established 'low-level' description of single-neuron <sup>1367</sup> structure and dynamics, aiming to replicate as faithfully as possible their morphological characteristics, membrane biophysics, and synaptic kinetics within the mathematical framework of <sup>1369</sup> equivalent electrical circuits. In multicompartmental models, the activity of the neurons are <sup>1370</sup> described with the Hodgkin-Huxley equations. This approach can capture the complex electrical signaling that occurs within neurons and can provide a more accurate representation of how <sup>1372</sup> neurons interact with one another in neural circuits. Mesoscale dynamical phenomena such <sup>1373</sup> as oscillations are usually studied with this approach as emergent properties of networks con-<sup>1374</sup> taining hundreds or thousands of multicompartmental neurons, designed according to known <sup>1375</sup> architectural features of specific brain structures such as cortex (Hay et al., 2011), thalamus <sup>1376</sup>

(Iavarone et al., 2023), or hippocampus (Chatzikalymniou et al., 2021). Interestingly, despite <sup>1377</sup> the prominence of this general modelling paradigm in computational neuroscience, there are <sup>1378</sup> (to our knowledge) no established and/or consistently explored models of multicompartmental <sup>1379</sup> circuit models of EEG alpha. <sup>1380</sup>

An influential line of work in this area was first introduced by Jones et al. (2009), and 1381 continued more recently (Neymotin et al., 2020; Studenova et al., 2022). These authors used 1382 a multicompartmental circuit model to simulate the  $\mu$  rhythm, the somatosensory analogue of 1383 occipital alpha. The extent to which this model constitutes a 'true' alpha rhythm model is 1384 unclear, however, since a major component of the circuit described in Jones et al. (2009) is a 1385 pacemaker-like 10Hz thalamic drive. More recently, Hay and colleagues developed a detailed 1386 columnar microcircuit model (L2/3), based closely on newly-characterized morphological and 1387 electrophysiological properties of human cortical tissue, which has been shown to generate 1388 resting state EEG features such as the alpha rhythm (Yao et al., 2022; Mazza et al., 2022). 1389 Specifically, the model was used to investigate the effects of reduced cortical inhibition by 1390 somatostatin-expressing (SST) interneurons, a key element in the altered inhibition observed in 1391 treatment-resistant major depressive disorder. Comparing simulated healthy resting state EEG 1392 with depressed EEG (characterized by reduced SST) revealed significant changes in EEG. This 1393 discovery provides biomarkers that establish a connection between interneuron inhibition levels 1394 and quantifiable EEG patterns, thereby facilitating the identification of depression subtypes 1395 and the noninvasive monitoring of cortical inhibition modulation. 1396

### 4.3.2 One level down: spiking neuron network models

Whilst the individual elements in morphologically detailed circuit models such as those reviewed <sup>1398</sup> above are able to capture most of the known physiological properties of single neurons, they <sup>1399</sup> are potentially a suboptimal level of description for modelling oscillatory neuron behaviour <sup>1400</sup> that occurs due to (micro-scale) network organization. Spiking neuron models, which aim <sup>1401</sup> to capture accurately the membrane potential and firing dynamics of individual cells, but <sup>1402</sup> not their extended spatial structure, are the most commonly employed level of description in <sup>1403</sup> computational neuroscience for purely network-based activity patterns. <sup>1404</sup>

One notable example of this was described in the seminal paper of Izhikevich (2003), where <sup>1405</sup> the influential phenomenological single-neuron model was introduced, that is able to accurately <sup>1406</sup> reproduces neuronal spiking dynamics without the full complement of Hodgkin-Huxley ionic <sup>1407</sup> currents (Izhikevich, 2003). By simulating a network of 1000 randomly spiking neurons of <sup>1408</sup> this kind, alpha and gamma rhythms could also be generated. Subsequently, this model was <sup>1409</sup> used as the basic component of a large-scale representation of the mammalian thalamocortical <sup>1410</sup> system, which featured 22 neuronal cell types, six-layered cortical microcircuits, multiple tha- <sup>1411</sup> lamic nuclei, and white matter connectivity informed by diffusion-weighted MRI tractography <sup>1412</sup> (Izhikevich and Edelman, 2008). From their simulations with this model, the authors suggest <sup>1413</sup> that variations in rhythmic frequencies across different brain regions may arise from differences <sup>1414</sup> in white matter connectivity between and among cortical areas. <sup>1415</sup>

1397

#### 4.3.3 One level up: whole-brain NPMs

The large-scale spiking neuron model of (Izhikevich and Edelman, 2008) is an interesting early <sup>1418</sup> example of whole-brain modelling Griffiths et al. (2022), a sub-field of computational neuroscience that emerged in the mid 2000s, drawing strongly on developments in neuroimaging <sup>1420</sup> connectomics.

Whilst spiking network models have been employed with varying levels of anatomical prei 1422 cision in whole-brain modelling studies (Deco et al., 2013; Pronold et al., 2023), they have 1423 not been used extensively to study alpha rhythms specifically. Rather, whole-brain models 1424 of EEG alpha activity have for the most part used NPMs, of the kind discussed extensively 1425 in the present work (Stefanovski et al., 2019; Griffiths et al., 2020; Abeysuriya et al., 2018). 1426 The essential level of description in this case, notwithstanding some properties that result from 1427 large-scale network interactions and delays, for the most part the key level of analysis for understanding whole-brain networks of coupled NPMs is in fact individual NPM units themselves. 1429 From this point of view, the survey presented in the present work is of fundamental relevance 1430 to whole-brain alpha NPM models. Even though we have not explored here the question of how 1431 NPMs behave when coupled together into networks. The interesting case where this heuristic 1432 does not apply is when the alpha-generating mechanism in a whole-brain model occurs at the 1433 network level, and not at the level of individual nodes or NPM units. 1434

The motivating argument here, which applies equally to whole-brain vs. single-node NPMs <sup>1435</sup> and to microcircuit network vs. single-cell models, is that the emergent properties of interconnected neuronal ensembles may be unrelated to the activity of individual neurons (Raj et al., <sup>1437</sup> 2020). The extensive complexity introduced by numerous equations and parameters in more <sup>1438</sup> complex models can in this case become a 'black box', limiting the ability to draw conclusions <sup>1439</sup> on the core network-level rhythmogenic mechanisms (Taher, 2021; Türker and Powers, 2005). <sup>1440</sup>

An important new line of research motivated by these considerations is the spectral graph 1441 theory framework proposed by Raj et al. (2020). These authors introduced a hierarchical, linear, 1442 analytic spectral graph model capable of replicating empirical MEG spectra and the spatial 1443 distribution of alpha and beta frequency bands (Raj et al., 2020). Compared to BNMs and 1444 NFMs, the advantage of this type of modelling lies in providing steady-state frequency responses 1445 obtained from the eigendecomposition of a graph Laplacian, offering a closed-form solution of 1446 brain oscillations (Verma et al., 2022). This makes them computationally efficient and less 1447 time-consuming. However, a major limitation is the lack of clear biological interpretability in 1448 the local parameters and gain terms of simpler spectral graph models. A more recent modified 1449 spectral graph model by Verma et al. revisited Raj et al.'s work using a bottom-up approach 1450 to make it more biophysically relatable at the local scale while still capable of representing the 1451 same spatial patterns as the original model (Verma et al., 2022). Despite this improvement, 1452 solutions, which could be effectively addressed by nonlinear BNMs (Verma et al., 2022). 1454

### 4.4 Conclusion and future work

In conclusion, our comparative analysis of the JR, MDF, LW, and RRW models elucidate <sup>1456</sup> the relationship between their mathematical formulations and parameters, and providing a <sup>1457</sup> range of biological insights. Our novel simulations with these models showed differing levels of <sup>1458</sup> precision in replicating EEG alpha characteristics, demonstrating how their dynamical behavior <sup>1459</sup> is impacted by rate constants and connectivity parameters. <sup>1460</sup>

Future computational studies of alpha rhythmogenesis in human EEG should include investigations of intracortical and corticothalamic models at the scale of the whole brain. This 1462 is particularly important since, as we have discussed, mesoscale empirical data at the level of 1463 single neural populations alone, which has been our focus in this paper, may be insufficient to 1464 distinguish between these two theories. A key objective of these investigations should be to de- 1465 termine conclusively whether the contribution of the thalamus is essential for the generation of 1466 resting state alpha oscillations. We hypothesize that topographic variation in oscillatory brain 1467 activity, as well as network-level connectivity and dynamics, will provide important additional 1468 information for this objective. Furthermore, at the whole-brain level, each node is part of a 1469 larger network, and so the dynamics of the neural populations studied in the present work may 1470 be modified substantially when interconnected via the connectome. Finally, improving valida-1471 tion methods against empirical data, for example by extending the number and type of EEG 1472 features used for model comparison and fitting, would allow for better differentiation between 1473 models and determination of which ones are more accurate representations of observed brain 1474 dynamics. 1475

## References

- E. Abela, A. D. Pawley, C. Tangwiriyasakul, S. N. Yaakub, F. A. Chowdhury, R. D. Elwes, 1477
   F. Brunnhuber, and M. P. Richardson. Slower alpha rhythm associates with poorer seizure 1478
   control in epilepsy. Annals of clinical and translational neurology, 6(2):333–343, 2019.
- R. Abeysuriya, C. Rennie, and P. Robinson. Physiologically based arousal state estimation and <sup>1480</sup> dynamics. *Journal of Neuroscience Methods*, 253:55–69, 2015. <sup>1481</sup>
- R. G. Abeysuriya, C. J. Rennie, and P. A. Robinson. Prediction and verification of nonlinear <sup>1482</sup> sleep spindle harmonic oscillations. *Journal of Theoretical Biology*, 344:70–77, 2014. <sup>1483</sup>
- R. G. Abeysuriya, J. Hadida, S. N. Sotiropoulos, S. Jbabdi, R. Becker, B. A. Hunt, M. J. 1484 Brookes, and M. W. Woolrich. A biophysical model of dynamic balancing of excitation 1485 and inhibition in fast oscillatory large-scale networks. *PLoS computational biology*, 14(2): 1486 e1006007, 2018.
- P. A. Abhang, B. W. Gawali, and S. C. Mehrotra. Chapter 2 technological basics of eeg 1488 recording and operation of apparatus. In P. A. Abhang, B. W. Gawali, and S. C. Mehrotra, 1489 editors, *Introduction to EEG- and Speech-Based Emotion Recognition*, pages 19–50. Academic 1490

1476

1455

Press, 2016. ISBN 978-0-12-804490-2. doi: https://doi.org/10.1016/B978-0-12-804490-2. 1491 00002-6. 1492

- M. Aburn, C. Holmes, J. Roberts, T. Boonstra, and M. Breakspear. Critical fluctuations in 1493 cortical models near instability. *Frontiers in Physiology*, 3, 2012. ISSN 1664-042X. doi: 1494 10.3389/fphys.2012.00331.
- E. D. Adrian and B. H. Matthews. The berger rhythm: potential changes from the occipital 1496 lobes in man. *Brain*, 57(4):355–385, 1934.
- R. J. Barry and F. M. De Blasio. Eeg differences between eyes-closed and eyes-open resting 1498 remain in healthy ageing. *Biological psychology*, 129:293–304, 2017.
- E. Başar and B. Güntekin. A short review of alpha activity in cognitive processes and in <sup>1500</sup> cognitive impairment. *International Journal of Psychophysiology*, 86(1):25–38, 2012. <sup>1501</sup>
- E. Başar. Brain oscillations in neuropsychiatric disease. *Dialogues Clin Neurosci*, 15(3):291–300, <sup>1502</sup> Sep 2013.
- H. Berger. Uber das elektroenkephalogramm des menschen. Archiv für psychiatrie und nervenkrankheiten, 87(1):527–570, 1929.
- R. L. Beurle. Properties of a mass of cells capable of regenerating pulses. *Philosophical Trans-* <sup>1506</sup> actions of the Royal Society of London. Series B, Biological Sciences, pages 55–94, 1956. <sup>1507</sup>
- B. S. Bhattacharya, D. Coyle, and L. P. Maguire. A thalamo-cortico-thalamic neural mass 1508 model to study alpha rhythms in alzheimer's disease. *Neural networks*, 24(6):631–645, 2011. 1509
- B. S. M. Bhattacharya. Implementing the cellular mechanisms of synaptic transmission in a <sup>1510</sup> neural mass model of the thalamo-cortical circuitry. *Frontiers in computational neuroscience*, <sup>1511</sup> 7:81, 2013.
- I. Bojak. Neural population models and cortical field theory: overview. 2014.
- A. Bollimunta, Y. Chen, C. E. Schroeder, and M. Ding. Neuronal mechanisms of cortical alpha <sup>1514</sup> oscillations in awake-behaving macaques. *Journal of Neuroscience*, 28(40):9976–9988, 2008. <sup>1515</sup>
- A. Bollimunta, J. Mo, C. E. Schroeder, and M. Ding. Neuronal mechanisms and attentional <sup>1516</sup> modulation of corticothalamic alpha oscillations. *Journal of Neuroscience*, 31(13):4935–4943, <sup>1517</sup> 2011.
- V. Braitenberg and A. Schüz. *Cortex: statistics and geometry of neuronal connectivity*. Springer <sup>1519</sup> Science & Business Media, 2013. <sup>1520</sup>
- M. Breakspear. Dynamic models of large-scale brain activity. *Nature neuroscience*, 20(3): <sup>1521</sup> 340–352, 2017.

- M. Breakspear, J. A. Roberts, J. R. Terry, S. Rodrigues, N. Mahant, and P. A. Robinson. A <sup>1523</sup> unifying explanation of primary generalized seizures through nonlinear brain modeling and <sup>1524</sup> bifurcation analysis. *Cerebral Cortex*, 16(9):1296–1313, 2006.
- P. Bucci, A. Mucci, U. Volpe, E. Merlotti, S. Galderisi, and M. Maj. Executive hypercontrol in <sup>1526</sup> obsessive-compulsive disorder: electrophysiological and neuropsychological indices. *Clinical* <sup>1527</sup> *neurophysiology*, 115(6):1340–1348, 2004.
- D. M. Buchanan, T. Ros, and R. Nahas. Elevated and slowed eeg oscillations in patients <sup>1529</sup> with post-concussive syndrome and chronic pain following a motor vehicle collision. *Brain* <sup>1530</sup> *sciences*, 11(5):537, 2021.
- Å. Byrne, R. D. O'Dea, M. Forrester, J. Ross, and S. Coombes. Next-generation neural mass 1532 and field modeling. *Journal of neurophysiology*, 123(2):726–742, 2020.
- R. M. Chapman, J. C. Armington, and H. R. Bragdon. A quantitative survey of kappa and <sup>1534</sup> alpha eeg activity. *Electroencephalography and Clinical Neurophysiology*, 14(6):858–868, 1962. <sup>1535</sup>
- A. P. Chatzikalymniou, M. Gumus, and F. K. Skinner. Linking minimal and detailed models of ca1 microcircuits reveals how theta rhythms emerge and their frequencies controlled.
   *Hippocampus*, 31(9):982–1002, 2021.
- C. C. Chow and Y. Karimipanah. Before and beyond the wilson-cowan equations. *Journal of* <sup>1539</sup> *neurophysiology*, 123(5):1645–1656, 2020. <sup>1540</sup>
- K. Clancy, M. Ding, E. Bernat, N. B. Schmidt, and W. Li. Restless 'rest': intrinsic sensory <sup>1541</sup> hyperactivity and disinhibition in post-traumatic stress disorder. *Brain*, 140(7):2041–2050, <sup>1542</sup> 2017.
- F. Cona, M. Lacanna, and M. Ursino. A thalamo-cortical neural mass model for the simulation <sup>1544</sup> of brain rhythms during sleep. *Journal of Computational Neuroscience*, 37(1):125–148, 2014. <sup>1545</sup>
- B. W. Connors and Y. Amitai. Making waves in the neocortex. Neuron, 18(3):347–349, 1997. <sup>1546</sup>
- B. J. Cook, A. D. Peterson, W. Woldman, and J. R. Terry. Neural field models: historical <sup>1547</sup> perspectives and recent advances. *arXiv preprint arXiv:2103.10554*, 2021. <sup>1548</sup>
- S. Coombes and Á. Byrne. Next generation neural mass models. In Nonlinear dynamics in 1549 computational neuroscience, pages 1–16. Springer, 2019.
- S. Coombes, P. beim Graben, R. Potthast, and J. Wright. *Neural fields: theory and applications*. <sup>1551</sup> Springer, 2014.
- G. K. Cooray, R. E. Rosch, and K. J. Friston. Global dynamics of neural mass models. *PLOS* 1553 *Computational Biology*, 19(2):e1010915, 2023.

- J. D. Cowan, J. Neuman, and W. van Drongelen. Wilson-cowan equations for neocortical <sup>1555</sup> dynamics. *The Journal of Mathematical Neuroscience*, 6(1):1–24, 2016. <sup>1556</sup>
- O. David and K. J. Friston. A neural mass model for meg/eeg:: coupling and neuronal dynamics. <sup>1557</sup> NeuroImage, 20(3):1743–1755, 2003. <sup>1558</sup>
- O. David, J. M. Kilner, and K. J. Friston. Mechanisms of evoked and induced responses in meg/eeg. Neuroimage, 31(4):1580–1591, 2006.
  1560
- G. Deco, V. K. Jirsa, P. A. Robinson, M. Breakspear, and K. Friston. The dynamic brain: <sup>1561</sup> from spiking neurons to neural masses and cortical fields. *PLoS computational biology*, 4(8): <sup>1562</sup> e1000092, 2008.
- G. Deco, A. Ponce-Alvarez, D. Mantini, G. L. Romani, P. Hagmann, and M. Corbetta. Resting state functional connectivity emerges from structurally and dynamically shaped slow linear
   fluctuations. Journal of Neuroscience, 33(27):11239–11252, 2013.
- N. Dehghani, C. Bédard, S. S. Cash, E. Halgren, and A. Destexhe. Comparative power spectral <sup>1567</sup> analysis of simultaneous electroencephalographic and magnetoencephalographic recordings in <sup>1568</sup> humans suggests non-resistive extracellular media. *Journal of computational neuroscience*, <sup>1569</sup> 29:405–421, 2010.
- M.-P. Deiber, R. Hasler, J. Colin, A. Dayer, J.-M. Aubry, S. Baggio, N. Perroud, and T. Ros. <sup>1571</sup> Linking alpha oscillations, attention and inhibitory control in adult adhd with eeg neurofeedback. *NeuroImage: Clinical*, 25:102145, 2020. <sup>1573</sup>
- N. Deschle, J. Ignacio Gossn, P. Tewarie, B. Schelter, and A. Daffertshofer. On the validity of 1574 neural mass models. *Frontiers in computational neuroscience*, page 118, 2021.
- A. Destexhe and T. J. Sejnowski. The wilson-cowan model, 36 years later. *Biological cybernet-* <sup>1576</sup> *ics*, 101(1):1–2, 2009.
- T. Donoghue, M. Haller, E. J. Peterson, P. Varma, P. Sebastian, R. Gao, T. Noto, A. H. Lara, <sup>1578</sup> J. D. Wallis, R. T. Knight, et al. Parameterizing neural power spectra into periodic and <sup>1579</sup> aperiodic components. *Nature neuroscience*, 23(12):1655–1665, 2020.
- S. A. Eichler and J. C. Meier. Ei balance and human diseases-from molecules to networking. <sup>1581</sup> Frontiers in molecular neuroscience, 1:195, 2008. <sup>1582</sup>
- E. Elhanany and E. L. White. Intrinsic circuitry: synapses involving the local axon collaterals 1583 of corticocortical projection neurons in the mouse primary somatosensory cortex. Journal of 1584 Comparative Neurology, 291(1):43–54, 1990.
- G. N. Elston. Specialization of the neocortical pyramidal cell during primate evolution. 2007. 1586

- R. Evertz, D. G. Hicks, and D. T. Liley. Alpha blocking and  $1/f\beta$  spectral scaling in resting <sup>1587</sup> eeg can be accounted for by a sum of damped alpha band oscillatory processes. *PLOS* <sup>1588</sup> *Computational Biology*, 18(4):e1010012, 2022. <sup>1589</sup>
- A. A. Fingelkurts, A. A. Fingelkurts, H. Rytsälä, K. Suominen, E. Isometsä, and S. Kähkönen. <sup>1590</sup>
   Composition of brain oscillations in ongoing eeg during major depression disorder. *Neuro- science research*, 56(2):133–144, 2006. <sup>1592</sup>
- J. J. Foxe and A. C. Snyder. The role of alpha-band brain oscillations as a sensory suppression <sup>1593</sup> mechanism during selective attention. *Frontiers in psychology*, 2:154, 2011. <sup>1594</sup>
- W. J. Freeman. Linear analysis of the dynamics of neural masses. Annual review of biophysics 1595 and bioengineering, 1(1):225–256, 1972a.
- W. J. Freeman. Waves, pulses, and the theory of neural masses. *Progress in theoretical biology*, <sup>1597</sup> 2(1):1–10, 1972b.
- W. J. Freeman. Mass action in the nervous system, volume 2004. Citeseer, 1975.
- W. J. Freeman. Nonlinear gain mediating cortical stimulus-response relations. *Biological cy-*<sup>1600</sup> *bernetics*, 33(4):237–247, 1979.
- W. J. Freeman. Simulation of chaotic eeg patterns with a dynamic model of the olfactory <sup>1602</sup> system. *Biological cybernetics*, 56(2-3):139–150, 1987. <sup>1603</sup>
- W. J. Freeman. In E. Başar and T. H. Bullock, editors, *Induced Rhythms of the Brain*. <sup>1604</sup> Birkhäuser, Basel, 1991.
- W. J. Freeman. Tutorial on neurobiology: from single neurons to brain chaos. International 1606 journal of bifurcation and chaos, 2(03):451–482, 1992.
- W. J. Freeman, M. D. Holmes, B. C. Burke, and S. Vanhatalo. Spatial spectra of scalp eeg and 1608 emg from awake humans. *Clinical Neurophysiology*, 114(6):1053–1068, 2003. 1609
- R. Gast, D. Rose, C. Salomon, H. E. Möller, N. Weiskopf, and T. R. Knösche. Pyrates—a 1610 python framework for rate-based neural simulations. *PloS one*, 14(12):e0225900, 2019.
- K. Glomb, J. Cabral, A. Cattani, A. Mazzoni, A. Raj, and B. Franceschiello. Computational <sup>1612</sup> models in electroencephalography. *Brain topography*, pages 1–20, 2021. <sup>1613</sup>
- J. S. Goldman, N. Tort-Colet, M. Di Volo, E. Susin, J. Bouté, M. Dali, M. Carlu, T.-A. Nghiem, 1614
   T. Górski, and A. Destexhe. Bridging single neuron dynamics to global brain states. *Frontiers* 1615
   in systems neuroscience, page 75, 2019.
- J. S. Griffith. A field theory of neural nets: I: Derivation of field equations. The bulletin of <sup>1617</sup> mathematical biophysics, 25:111–120, 1963.

- J. D. Griffiths, A. R. McIntosh, and J. Lefebvre. A connectome-based, corticothalamic model <sup>1619</sup> of state-and stimulation-dependent modulation of rhythmic neural activity and connectivity. <sup>1620</sup> *Frontiers in computational neuroscience*, 14:575143, 2020. <sup>1621</sup>
- J. D. Griffiths, S. P. Bastiaens, and N. Kaboodvand. Whole-brain modelling: Past, present, <sup>1622</sup> and future. In *Computational Modelling of the Brain*, pages 313–355. Springer, 2022. <sup>1623</sup>
- F. Grimbert and O. Faugeras. Analysis of Jansen's model of a single cortical column. PhD 1624 thesis, INRIA, 2006a.
- F. Grimbert and O. Faugeras. Bifurcation analysis of jansen's neural mass model. Neural 1626 computation, 18(12):3052–3068, 2006b.
- S. Haegens, H. Cousijn, G. Wallis, P. J. Harrison, and A. C. Nobre. Inter-and intra-individual <sup>1628</sup> variability in alpha peak frequency. *Neuroimage*, 92:46–55, 2014. <sup>1629</sup>
- M. Halgren, I. Ulbert, H. Bastuji, D. Fabó, L. Erőss, M. Rey, O. Devinsky, W. K. Doyle, 1630
   R. Mak-McCully, E. Halgren, et al. The generation and propagation of the human alpha 1631
   rhythm. Proceedings of the National Academy of Sciences, 116(47):23772–23782, 2019.
- A. Hartoyo, P. J. Cadusch, D. T. Liley, and D. G. Hicks. Parameter estimation and identifiability 1633 in a neural population model for electro-cortical activity. *PLoS computational biology*, 15(5): 1634 e1006694, 2019.
- A. Hartoyo, P. J. Cadusch, D. T. Liley, and D. G. Hicks. Inferring a simple mechanism for 1636 alpha-blocking by fitting a neural population model to eeg spectra. *PLoS computational* 1637 *biology*, 16(4):e1007662, 2020.
- E. Hay, S. Hill, F. Schürmann, H. Markram, and I. Segev. Models of neocortical layer 5b 1639 pyramidal cells capturing a wide range of dendritic and perisomatic active properties. *PLoS* 1640 computational biology, 7(7):e1002107, 2011.
- C.-H. Huang and C.-C. K. Lin. A novel density-based neural mass model for simulating neu ronal network dynamics with conductance-based synapses and membrane current adaptation.
   *Neural Networks*, 143:183–197, 2021.
- S. W. Hughes, M. L. Lőrincz, K. Blethyn, K. A. Kékesi, G. Juhász, M. Turmaine, J. G. Parnave las, and V. Crunelli. Thalamic gap junctions control local neuronal synchrony and influence
   macroscopic oscillation amplitude during eeg alpha rhythms. *Frontiers in psychology*, 2:193,
   2011.
- E. Iavarone, J. Simko, Y. Shi, M. Bertschy, M. García-Amado, P. Litvak, A.-K. Kaufmann, 1649
   C. O'Reilly, O. Amsalem, M. Abdellah, et al. Thalamic control of sensory processing and 1650
   spindles in a biophysical somatosensory thalamoreticular circuit model of wakefulness and 1651
   sleep. Cell Reports, 42(3), 2023.

- G. Ippolito, R. Bertaccini, L. Tarasi, F. Di Gregorio, J. Trajkovic, S. Battaglia, and V. Romei. 1653
   The role of alpha oscillations among the main neuropsychiatric disorders in the adult and 1654
   developing human brain: Evidence from the last 10 years of research. *Biomedicines*, 10(12): 1655
   3189, 2022.
- E. M. Izhikevich. Simple model of spiking neurons. *IEEE Transactions on neural networks*, 14 <sup>1657</sup> (6):1569–1572, 2003.
- E. M. Izhikevich and G. M. Edelman. Large-scale model of mammalian thalamocortical systems. <sup>1659</sup> Proceedings of the national academy of sciences, 105(9):3593–3598, 2008. <sup>1660</sup>
- B. H. Jansen and V. G. Rit. Electroencephalogram and visual evoked potential generation in a 1661 mathematical model of coupled cortical columns. *Biological cybernetics*, 73(4):357–366, 1995. 1662
- B. H. Jansen, G. Zouridakis, and M. E. Brandt. A neurophysiologically-based mathematical 1663 model of flash visual evoked potentials. *Biological cybernetics*, 68:275–283, 1993. 1664
- O. Jensen and A. Mazaheri. Shaping functional architecture by oscillatory alpha activity: 1665 gating by inhibition. *Frontiers in human neuroscience*, 4:186, 2010.
- V. K. Jirsa and H. Haken. Field theory of electromagnetic brain activity. *Physical review* 1667 *letters*, 77(5):960, 1996.
- P. R. Johnston, A. R. McIntosh, and J. A. Meltzer. Spectral slowing in chronic stroke reflects 1669 abnormalities in both periodic and aperiodic neural dynamics. *NeuroImage: Clinical*, 37: 1670 103277, 2023.
- S. R. Jones, D. L. Pritchett, M. A. Sikora, S. M. Stufflebeam, M. Hämäläinen, and C. I. Moore. 1672
   Quantitative analysis and biophysically realistic neural modeling of the meg mu rhythm: 1673
   rhythmogenesis and modulation of sensory-evoked responses. *Journal of neurophysiology*, 1674
   102(6):3554–3572, 2009.
- E. R. Kandel, J. H. Schwartz, T. M. Jessell, S. Siegelbaum, A. J. Hudspeth, S. Mack, et al. <sup>1676</sup> Principles of neural science, volume 4. McGraw-hill New York, 2000. <sup>1677</sup>
- F. Karadag, N. K. Oguzhanoglu, T. Kurt, A. Oguzhanoglu, F. Atesci, and O. Özdel. Quantitative eeg analysis in obsessive compulsive disorder. *International journal of neuroscience*, 1679 113(6):833–847, 2003.
- R. Katznelson. Normal modes of the brain: neuroanatomical basis and a physiological theoretical model. *Electric fields of the brain: The neurophysics of EEG*, 1:401–442, 1981.
- C. C. Kerr, C. J. Rennie, and P. A. Robinson. Physiology-based modeling of cortical auditory 1683 evoked potentials. *Biological cybernetics*, 98:171–184, 2008.
- A. A. Kiani, T. I. Netoff, and G. M. Ghose. Realistic alpha oscillations and transient responses 1685 in a cortical microcircuit model. *bioRxiv*, pages 2021–11, 2021. 1686

- Z. P. Kilpatrick. Wilson-Cowan Model, pages 1–5. Springer New York, New York, NY, 2013. 1687
   ISBN 978-1-4614-7320-6. doi: 10.1007/978-1-4614-7320-6\_80-1. URL https://doi.org/10. 1688
   1007/978-1-4614-7320-6\_80-1. 1689
- W. Klimesch. Eeg alpha and theta oscillations reflect cognitive and memory performance: a <sup>1690</sup> review and analysis. *Brain research reviews*, 29(2-3):169–195, 1999.
- W. Klimesch. Alpha-band oscillations, attention, and controlled access to stored information. <sup>1692</sup> Trends in cognitive sciences, 16(12):606–617, 2012. <sup>1693</sup>
- T. R. Knösche. Jansen-Rit Model, pages 1463–1466. Springer New York, New York, NY, 2015. 1694 ISBN 978-1-4614-6675-8. doi: 10.1007/978-1-4614-6675-8\_65. URL https://doi.org/10. 1695 1007/978-1-4614-6675-8\_65.
- A. U. Larkman. Dendritic morphology of pyramidal neurones of the visual cortex of the rat: 1697 Iii. spine distributions. *Journal of comparative neurology*, 306(2):332–343, 1991.
- G. Li, L.-M. Hsu, Y. Wu, A. C. Bozoki, Y.-Y. I. Shih, and P.-T. Yap. Excitation-inhibition <sup>1699</sup> imbalance in alzheimer's disease using multiscale neural model inversion of resting-state fmri. <sup>1700</sup> *medRxiv*, pages 2022–10, 2022. <sup>1701</sup>
- D. T. Liley and J. J. Wright. Intracortical connectivity of pyramidal and stellate cells: estimates 1702 of synaptic densities and coupling symmetry. *Network: Computation in Neural Systems*, 5 1703 (2):175–189, 1994.
- D. T. Liley, P. J. Cadusch, and J. J. Wright. A continuum theory of electro-cortical activity. 1705 Neurocomputing, 26:795–800, 1999. 1706
- D. T. Liley, P. J. Cadusch, and M. P. Dafilis. A spatially continuous mean field theory of 1707 electrocortical activity. *Network: Computation in Neural Systems*, 13(1):67, 2001.
- X.-B. Liu, Z.-H. Zheng, M.-C. Xi, and C.-P. Wu. Distribution of synapses on an intracellularly <sup>1709</sup> labeled small pyramidal neuron in the cat motor cortex. *Anatomy and embryology*, 184: <sup>1710</sup> 313–318, 1991.
- F. Lopes da Silva. Neural mechanisms underlying brain waves: from neural membranes to 1712 networks. *Electroencephalography and clinical neurophysiology*, 79(2):81–93, 1991.
- F. Lopes da Silva. Dynamics of eegs as signals of neuronal populations: models and theoretical 1714 considerations. *Electroencephalography: Basic Principles, Clinical Applications and Related* 1715
   *Fields, 4th edition*, pages 76–92, 1998.
- F. Lopes da Silva and W. S. Van Leeuwen. The cortical source of the alpha rhythm. *Neuro-* 1717 science letters, 6(2-3):237–241, 1977.
- F. Lopes da Silva, A. Hoeks, H. Smits, and L. Zetterberg. Model of brain rhythmic activity. <sup>1719</sup> *Kybernetik*, 15(1):27–37, 1974.

- F. Lopes da Silva, A. Van Rotterdam, P. Barts, E. Van Heusden, and W. Burr. Models of neuronal populations: the basic mechanisms of rhythmicity. *Progress in brain research*, 45: 1722 281–308, 1976.
- M. L. Lőrincz, K. A. Kékesi, G. Juhász, V. Crunelli, and S. W. Hughes. Temporal framing <sup>1724</sup> of thalamic relay-mode firing by phasic inhibition during the alpha rhythm. *Neuron*, 63(5): <sup>1725</sup> 683–696, 2009. <sup>1726</sup>
- E. Louis, L. Frey, J. Britton, L. Frey, J. Hopp, P. Korb, M. Koubeissi, W. Lievens, E. Pestana-Knight, and E. Louis. Appendix 1. the scientific basis of eeg: Neurophysiology of eeg generation in the brain. *Electroencephalography (EEG): An Introductory Text and Atlas of Normal and Abnormal Findings in Adults, Children, and Infants*, 2016.
- D. Lozano-Soldevilla. On the physiological modulation and potential mechanisms underlying 1731 parieto-occipital alpha oscillations. *Frontiers in computational neuroscience*, 12:23, 2018. 1732
- A. C. Marreiros, J. Daunizeau, S. J. Kiebel, and K. J. Friston. Population dynamics: variance 1733 and the sigmoid activation function. *Neuroimage*, 42(1):147–157, 2008. 1734
- A. C. Marreiros, S. J. Kiebel, and K. J. Friston. A dynamic causal model study of neuronal 1735 population dynamics. *Neuroimage*, 51(1):91–101, 2010.
- F. Mazza, T. A. Valiante, J. D. Griffiths, and E. Hay. In-silico eeg biomarkers of reduced 1737 inhibition in human cortical microcircuits in depression. *bioRxiv*, pages 2021–07, 2022.
- C. Meisel, K. Bailey, P. Achermann, and D. Plenz. Decline of long-range temporal correlations <sup>1739</sup> in the human brain during sustained wakefulness. *Scientific reports*, 7(1):11825, 2017. <sup>1740</sup>
- L. J. Metzger, S. R. Paige, M. A. Carson, N. B. Lasko, L. A. Paulus, R. K. Pitman, and S. P. 1741 Orr. Ptsd arousal and depression symptoms associated with increased right-sided parietal 1742 eeg asymmetry. *Journal of abnormal psychology*, 113(2):324, 2004.
- J. Moini and P. Piran. Chapter 6 cerebral cortex. In J. Moini and P. Piran, editors, *Functional* 1744 and Clinical Neuroanatomy, pages 177–240. Academic Press, 2020. ISBN 978-0-12-817424-1. 1745 doi: https://doi.org/10.1016/B978-0-12-817424-1.00006-9.
- D. Momi, Z. Wang, and J. D. Griffiths. Tms-evoked responses are driven by recurrent large-scale 1747 network dynamics. *Elife*, 12, 2023. 1748
- R. J. Moran, S. J. Kiebel, K. E. Stephan, R. Reilly, J. Daunizeau, and K. J. Friston. A neural 1749 mass model of spectral responses in electrophysiology. *NeuroImage*, 37(3):706–720, 2007. 1750
- R. J. Moran, M. Symmonds, K. E. Stephan, K. J. Friston, and R. J. Dolan. An in vivo assay <sup>1751</sup> of synaptic function mediating human cognition. *Current Biology*, 21(15):1320–1325, 2011. <sup>1752</sup>

- E. J. Müller, S. J. van Albada, J. Kim, and P. A. Robinson. Unified neural field theory of brain <sup>1753</sup> dynamics underlying oscillations in parkinson's disease and generalized epilepsies. *Journal* <sup>1754</sup> of theoretical biology, 428:132–146, 2017.
- J. D. Murray, A. Anticevic, M. Gancsos, M. Ichinose, P. R. Corlett, J. H. Krystal, and X.-J. 1756 Wang. Linking microcircuit dysfunction to cognitive impairment: effects of disinhibition 1757 associated with schizophrenia in a cortical working memory model. *Cerebral cortex*, 24(4): 1758 859–872, 2014.
- S. D. Muthukumaraswamy and D. T. Liley. 1/f electrophysiological spectra in resting and druginduced states can be explained by the dynamics of multiple oscillatory relaxation processes. 1761 NeuroImage, 179:582–595, 2018.
- T. T. Nakagawa, M. Woolrich, H. Luckhoo, M. Joensson, H. Mohseni, M. L. Kringelbach, 1763 V. Jirsa, and G. Deco. How delays matter in an oscillatory whole-brain spiking-neuron 1764 network model for meg alpha-rhythms at rest. *Neuroimage*, 87:383–394, 2014. 1765
- S. A. Neymotin, D. S. Daniels, B. Caldwell, R. A. McDougal, N. T. Carnevale, M. Jas, C. I. 1766
   Moore, M. L. Hines, M. Hämäläinen, and S. R. Jones. Human neocortical neurosolver (hnn), 1767
   a new software tool for interpreting the cellular and network origin of human meg/eeg data. 1768
   *Elife*, 9:e51214, 2020. 1769
- E. Niedermeyer et al. The normal eeg of the waking adult. *Electroencephalography: Basic* 1770 principles, clinical applications, and related fields, 167:155–164, 2005.
- P. L. Nunez. The brain wave equation: a model for the eeg. *Mathematical Biosciences*, 21(3-4): 1772 279–297, 1974.
- P. L. Nunez and B. A. Cutillo. *Neocortical dynamics and human EEG rhythms*. Oxford University Press, USA, 1995.
- P. L. Nunez and R. Srinivasan. A theoretical basis for standing and traveling brain waves 1776 measured with human eeg with implications for an integrated consciousness. *Clinical neuro-* 1777 *physiology*, 117(11):2424–2435, 2006.
- P. L. Nunez, R. Srinivasan, et al. *Electric fields of the brain: the neurophysics of EEG*. Oxford 1779 University Press, USA, 2006.
- D. Pinotsis, P. Robinson, P. beim Graben, and K. Friston. Neural masses and fields: modeling 1781 the dynamics of brain activity, 2014.
- J. Pronold, A. van Meegen, H. Vollenbroeker, R. Shimoura, M. Senden, C. Hilgetag, R. Bakker, 1783 and S. van Albada. Multi-scale spiking network model of human cerebral cortex. *bioRxiv*, 1784 pages 2023–03, 2023.

- A. Raj, C. Cai, X. Xie, E. Palacios, J. Owen, P. Mukherjee, and S. Nagarajan. Spectral graph 1786 theory of brain oscillations. *Human brain mapping*, 41(11):2980–2998, 2020.
- W. Rall. Electrophysiology of a dendritic neuron model. *Biophysical journal*, 2(2 Pt 2):145, 1788 1962.
- W. Rall. Theoretical significance of dendritic trees for neuronal input-output relations. Neural 1790 theory and modeling, 1964.
- W. Rall. Distinguishing theoretical synaptic potentials computed for different soma-dendritic <sup>1792</sup> distributions of synaptic input. *Journal of neurophysiology*, 30(5):1138–1168, 1967. <sup>1793</sup>
- C. J. Rennie, P. A. Robinson, and J. J. Wright. Effects of local feedback on dispersion of 1794 electrical waves in the cerebral cortex. *Physical Review E*, 59(3):3320, 1999.
- J. Roberts and P. Robinson. Corticothalamic dynamics: structure of parameter space, spectra, <sup>1796</sup> instabilities, and reduced model. *Physical Review E*, 85(1):011910, 2012. <sup>1797</sup>
- J. A. Roberts and P. A. Robinson. Modeling absence seizure dynamics: implications for basic <sup>1798</sup> mechanisms and measurement of thalamocortical and corticothalamic latencies. *Journal of* <sup>1799</sup> *theoretical biology*, 253(1):189–201, 2008. <sup>1800</sup>
- P. Robinson. Determination of effective brain connectivity from functional connectivity using 1801 propagator-based interferometry and neural field theory with application to the corticotha 1802 lamic system. *Physical Review E*, 90(4):042712, 2014.
- P. Robinson, C. Rennie, and D. Rowe. Dynamics of large-scale brain activity in normal arousal 1804 states and epileptic seizures. *Physical Review E*, 65(4):041924, 2002.
- P. A. Robinson, C. J. Rennie, and J. J. Wright. Propagation and stability of waves of electrical 1806 activity in the cerebral cortex. *Physical Review E*, 56(1):826, 1997.
- P. A. Robinson, C. J. Rennie, J. J. Wright, H. Bahramali, E. Gordon, and D. L. Rowe. Prediction of electroencephalographic spectra from neurophysiology. *Physical Review E*, 63(2): 1809 021903, 2001.
- P. A. Robinson, C. J. Rennie, D. L. Rowe, S. C. O'Connor, J. J. Wright, E. Gordon, and R. W.
   Whitehouse. Neurophysical modeling of brain dynamics. *Neuropsychopharmacology*, 28(1): 1812
   S74–S79, 2003.
- P. A. Robinson, C. J. Rennie, D. L. Rowe, and S. C. O'Connor. Estimation of multiscale 1814 neurophysiologic parameters by electroencephalographic means. *Human brain mapping*, 23 1815 (1):53–72, 2004.
- P. A. Robinson, C. Rennie, D. L. Rowe, S. O'Connor, Gordon, and E. Multiscale brain modelling. *Philosophical Transactions of the Royal Society B: Biological Sciences*, 360(1457): 1818 1043–1050, 2005.

- M. Roohi-Azizi, L. Azimi, S. Heysieattalab, and M. Aamidfar. Changes of the brain's bioelectrical activity in cognition, consciousness, and some mental disorders. *Medical journal of the Islamic Republic of Iran*, 31:53, 2017.
- D. L. Rowe, P. A. Robinson, and C. J. Rennie. Estimation of neurophysiological parameters 1823 from the waking eeg using a biophysical model of brain dynamics. *Journal of theoretical* 1824 *biology*, 231(3):413–433, 2004.
- Y. B. Saalmann, M. A. Pinsk, L. Wang, X. Li, and S. Kastner. The pulvinar regulates information transmission between cortical areas based on attention demands. *science*, 337(6095): 1827 753-756, 2012.
- J. Samaha and B. R. Postle. The speed of alpha-band oscillations predicts the temporal resolution of visual perception. *Current Biology*, 25(22):2985–2990, 2015.
- P. Sanz-Leon and P. Robinson. Multistability in the corticothalamic system. *Journal of Theo-*<sup>1831</sup> *retical Biology*, 432:141–156, 2017.
- P. Sanz-Leon, S. A. Knock, A. Spiegler, and V. K. Jirsa. Mathematical framework for large-scale <sup>1833</sup> brain network modeling in the virtual brain. *Neuroimage*, 111:385–430, 2015. <sup>1834</sup>
- B. Scally, M. R. Burke, D. Bunce, and J.-F. Delvenne. Resting-state eeg power and connectivity are associated with alpha peak frequency slowing in healthy aging. *Neurobiology of aging*, 1836 71:149–155, 2018.
- M. Schirner, A. R. McIntosh, V. Jirsa, G. Deco, and P. Ritter. Inferring multi-scale neural <sup>1838</sup> mechanisms with brain network modelling. *Elife*, 7:e28927, 2018. <sup>1839</sup>
- V. S. Sohal and J. L. Rubenstein. Excitation-inhibition balance as a framework for investigating <sup>1840</sup> mechanisms in neuropsychiatric disorders. *Molecular psychiatry*, 24(9):1248–1257, 2019. <sup>1841</sup>
- J.-L. Song, L. Paixao, Q. Li, S.-H. Li, R. Zhang, and M. B. Westover. A novel neural computational model of generalized periodic discharges in acute hepatic encephalopathy. *Journal* 1843 of computational neuroscience, 47(2):109–124, 2019.
- R. C. Sotero, N. J. Trujillo-Barreto, Y. Iturria-Medina, F. Carbonell, and J. C. Jimenez. Realistically coupled neural mass models can generate eeg rhythms. *Neural computation*, 19(2): 1846 478–512, 2007.
- A. Spiegler. Dynamics of biologically informed neural mass models of the brain. PhD thesis, 1848 Universitätsbibliothek Ilmenau, 2012.
- L. Stefanovski, P. Triebkorn, A. Spiegler, M.-A. Diaz-Cortes, A. Solodkin, V. Jirsa, A. R. 1850 McIntosh, P. Ritter, and A. D. N. Initiative. Linking molecular pathways and large-scale 1851 computational modeling to assess candidate disease mechanisms and pharmacodynamics in 1852 alzheimer's disease. *Frontiers in computational neuroscience*, 13:54, 2019.

- M. Steriade. Cellular substrates of brain rhythms. *Electroencephalography: Basic principles*, <sup>1854</sup> clinical applications, and related fields, 5:31–83, 2005.
- A. A. Studenova, A. Villringer, and V. V. Nikulin. Non-zero mean alpha oscillations revealed 1856 with computational model and empirical data. *PLoS computational biology*, 18(7):e1010272, 1857 2022.
- H. Taher. Next generation neural mass models: working memory, all-brain modelling and 1859 multi-timescale phenomena. PhD thesis, Université Côte d'Azur, 2021.
- M. Tudor, L. Tudor, and K. I. Tudor. Hans berger (1873-1941)-the history of electroencephalography. Acta medica Croatica: casopis Hravatske akademije medicinskih znanosti, 59 (4):307-313, 2005.
- K. Türker and R. Powers. Black box revisited: a technique for estimating postsynaptic potentials in neurons. *Trends in neurosciences*, 28(7):379–386, 2005.
- P. A. Valdés-Hernández, A. Ojeda-González, E. Martínez-Montes, A. Lage-Castellanos, 1866 T. Virués-Alba, L. Valdés-Urrutia, and P. A. Valdes-Sosa. White matter architecture rather 1867 than cortical surface area correlates with the eeg alpha rhythm. Neuroimage, 49(3):2328–1868 2339, 2010.
- S. Van Albada, C. Kerr, A. Chiang, C. Rennie, and P. Robinson. Neurophysiological changes <sup>1870</sup> with age probed by inverse modeling of eeg spectra. *Clinical neurophysiology*, 121(1):21–38, <sup>1871</sup> 2010.
- A. van Rotterdam, F. Lopes da Silva, J. Van den Ende, M. Viergever, and A. Hermans. A 1873 model of the spatial-temporal characteristics of the alpha rhythm. Bulletin of mathematical 1874 biology, 44(2):283–305, 1982.
- P. Verma, S. Nagarajan, and A. Raj. Spectral graph theory of brain oscillations—-revisited 1876 and improved. *NeuroImage*, 249:118919, 2022.
- P. Virtanen, R. Gommers, T. E. Oliphant, M. Haberland, T. Reddy, D. Cournapeau, <sup>1878</sup>
  E. Burovski, P. Peterson, W. Weckesser, J. Bright, S. J. van der Walt, M. Brett, J. Wilson, K. J. Millman, N. Mayorov, A. R. J. Nelson, E. Jones, R. Kern, E. Larson, C. J. Carey, <sup>1880</sup>
  İ. Polat, Y. Feng, E. W. Moore, J. VanderPlas, D. Laxalde, J. Perktold, R. Cimrman, I. Henriksen, E. A. Quintero, C. R. Harris, A. M. Archibald, A. H. Ribeiro, F. Pedregosa, P. van <sup>1882</sup>
  Mulbregt, and SciPy 1.0 Contributors. SciPy 1.0: Fundamental Algorithms for Scientific <sup>1883</sup>
  Computing in Python. *Nature Methods*, 17:261–272, 2020. doi: 10.1038/s41592-019-0686-2. <sup>1884</sup>
- B. Voytek, M. A. Kramer, J. Case, K. Q. Lepage, Z. R. Tempesta, R. T. Knight, and A. Gazzaley. Age-related changes in 1/f neural electrophysiological noise. *Journal of Neuroscience*, 1886 35(38):13257–13265, 2015.

- F. Wendling, J.-J. Bellanger, F. Bartolomei, and P. Chauvel. Relevance of nonlinear lumpedparameter models in the analysis of depth-eeg epileptic signals. *Biological cybernetics*, 83(4): 1889 367–378, 2000.
- E. Wianda and B. Ross. The roles of alpha oscillation in working memory retention. *Brain and* <sup>1891</sup> *behavior*, 9(4):e01263, 2019.
- H. R. Wilson and J. D. Cowan. Excitatory and inhibitory interactions in localized populations 1893 of model neurons. *Biophysical journal*, 12(1):1–24, 1972.
- J. Wright and D. Liley. Dynamics of the brain at global and microscopic scales: Neural networks <sup>1895</sup> and the eeg. *Behavioral and Brain Sciences*, 19(2):285–295, 1996. <sup>1896</sup>
- J. J. Wright and D. T. Liley. Simulation of electrocortical waves. *Biological cybernetics*, 72: 1897 347–356, 1995.
- H. K. Yao, A. Guet-McCreight, F. Mazza, H. M. Chameh, T. D. Prevot, J. D. Griffiths, S. J. 1899
   Tripathy, T. A. Valiante, E. Sibille, and E. Hay. Reduced inhibition in depression impairs 1900
   stimulus processing in human cortical microcircuits. *Cell Reports*, 38(2):110232, 2022. 1901
- M. Zavaglia, L. Astolfi, F. Babiloni, and M. Ursino. A neural mass model for the simulation of 1902 cortical activity estimated from high resolution eeg during cognitive or motor tasks. *Journal* 1903 of neuroscience methods, 157(2):317–329, 2006.
- L. H. Zetterberg, L. Kristiansson, and K. Mossberg. Performance of a model for a local neuron population. *Biological cybernetics*, 31(1):15–26, 1978.
- X. Zhao and P. A. Robinson. Generalized seizures in a neural field model with bursting dynamics. *Journal of computational neuroscience*, 39(2):197–216, 2015.
- X. Zhao, J. Kim, and P. Robinson. Slow-wave oscillations in a corticothalamic model of sleep <sup>1909</sup> and wake. *Journal of theoretical biology*, 370:93–102, 2015. <sup>1910</sup>
- S. Zhou and Y. Yu. Synaptic ei balance underlies efficient neural coding. Frontiers in Neuroscience, 12:46, 2018.

# Supplementary Information

In the following, we provide additional information on various technical details and additional <sup>1914</sup> analyses from our study, which were not included in the main text primarily due to space <sup>1915</sup> reasons. These pages cover the derivation of the JR model differential equations (Section <sup>1916</sup> S1), the comparison of connectivity parameter spaces between JR and MDF (Section S2), the <sup>1917</sup> phase plane analysis of JR (Section S3), the reduced 3D parameter space of MDF (Section <sup>1918</sup> S4), the 4D JR connectivity analyses (Section S5), and finally, the full model equations with <sup>1919</sup> a description of their parameters and standard alpha values (Section S6). Note that complete <sup>1920</sup> code for the generating figures in the following and in the main text is openly available at <sup>1921</sup> github.com/griffithslab/Bastiaens2024\_AlpaModels. <sup>1922</sup>

1913

### S.1 Derivation of the JR Model Equations

The Jansen-Rit and related models are often discussed in terms of a convolution integral for <sup>1924</sup> the synaptic impulse response function, as well as the corresponding equivalent second-order <sup>1925</sup> differential equation, which is typically what is actually used in numerical simulations. The <sup>1926</sup> mathematical relationship between these is however rarely given in literature sources, and so <sup>1927</sup> we provide that here, with a full derivation of the JR differential equation from its impulse <sup>1928</sup> response, using the Laplace transform as it simplifies convolution operations by turning them <sup>1929</sup> into algebraic manipulations in the Laplace domain. <sup>1930</sup>

The synaptic impulse response is defined as an alpha function, which is described by the 1931 following equations:

$$h(t) = \begin{cases} \alpha\beta t e^{-\beta t}, & t \ge 0\\ 0 & \text{otherwise} \end{cases}$$
(25)

1923

with  $\alpha$  as the maximum amplitude of the PSP and  $\beta$  the rate constant parameter. The first 1933 step consists of finding the Laplace transform of h(t), denoted as H(s), which is defined as 1934 follows: 1935

$$H(s) = \mathcal{L}\{h(t)\} = \int_0^\infty h(t)e^{-st} dt$$
(26)

$$= \int_0^\infty \alpha \beta t e^{-\beta t} e^{-st} dt \tag{27}$$

$$= \int_0^\infty \alpha \beta t e^{(-\beta-s)t} dt \tag{28}$$

$$= \lim_{b \to \infty} \left[ \int_0^b \alpha \beta t e^{(-\beta - s)t} dt \right]$$
(29)

$$= \lim_{b \to \infty} \left( \left[ \alpha \beta t \frac{1}{-\beta - s} e^{(-\beta - s)t} \right]_0^b - \int_0^b \frac{\alpha \beta}{-\beta - s} e^{(-\beta - s)t} dt \right)$$
(30)

$$= \frac{\alpha\beta}{-\beta-s} \lim_{b\to\infty} \left( be^{(-\beta-s)b} - \int_0^b e^{(-\beta-s)t} dt \right)$$
(31)

$$= \frac{\alpha\beta}{\beta+s} \lim_{b\to\infty} \int_0^b e^{(-\beta-s)t} dt$$
(32)

$$= \frac{\alpha\beta}{\beta+s} \lim_{b\to\infty} \left[\frac{1}{-\beta-s} e^{(-\beta-s)t}\right]_0^b$$
(33)

$$= \frac{\alpha\beta}{(\beta+s)^2} \lim_{b\to\infty} \left[1 - e^{(-\beta-s)b}\right]$$
(34)

$$= \frac{\alpha\beta}{(\beta+s)^2} \tag{35}$$

Now, with an expression for H(s) in the Laplace domain, and given that y(t) is equal to 1936

the convolution of h(t) and x(t), we can represent this relationship in the Laplace domain as: 1937

$$Y(s) = X(s)H(s) \tag{36}$$

$$Y(s) = X(s)\frac{\alpha\beta}{(\beta+s)^2}$$
(37)

$$(\beta + s)^2 Y(s) = X(s)\alpha\beta \tag{38}$$

$$s^{2}Y(s) + \beta^{2}Y(s) + 2\beta sY(s) = \alpha\beta X(s)$$
(39)

$$s^{2}Y(s) = \alpha\beta X(s) - 2\beta sY(s) - \beta^{2}Y(s)$$

$$\tag{40}$$

Since  $s^2 Y(s)$  corresponds to the second derivative in the time domain, translating equation (40) <sup>1938</sup> back into the time domain, we obtain: <sup>1939</sup>

$$\ddot{y}(t) = \alpha \beta x(t) - 2\beta \dot{y}(t) - \beta^2 y(t)$$
(41)

This corresponds to the commonly used Jansen and Rit second-order differential equation, <sup>1940</sup> which can be rewritten in the form of two first-order ODE's: <sup>1941</sup>

$$\dot{y}(t) = z(t) \tag{42}$$

$$\dot{z}(t) = \alpha\beta x(t) - 2\beta z(t) - \beta^2 y(t)$$
(43)

with y(t) representing the average postsynaptic membrane potential (output of the PSP block). <sup>1942</sup>

# S.2 Comparison of MDF and JR connectivity parameter spaces

By setting the parameters to be the same between JR and MDF, we compare the connectivity 1944 parameter space of the two models (Fig. 15). 1945

1943

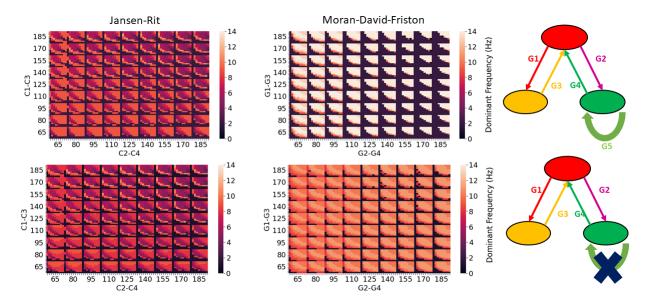


Figure S1. Connection strength parameter spaces for JR and MDF with similar parameter settings. In the top, for MDF  $\gamma_5 = 16$ , and at the bottom  $\gamma_5 = 0$ . The general shape of the dynamics is very similar between the two, suggesting that the effects of connectivity are the same. However, MDF tends to generate oscillations of higher frequencies for identical connectivity parameter sets, even when the  $\gamma_5$  connection is removed.

In the top row of Fig. 15, we compare JR against MDF with the self-inhibitory connection. <sup>1946</sup> We observe a similar triangular boundary shape within which the system oscillates. However, <sup>1947</sup> MDF tends oscillate at higher frequency that exceed the alpha range (Fig. 15, MDF top row, <sup>1948</sup> colors are brighter than JR). When the self-inhibitory connection is removed in MDF (Fig. <sup>1949</sup> 15, MDF bottom row), the system now oscillates at the alpha frequency. It does not present <sup>1950</sup> lower frequencies, such as those in the JR model where we have slower oscillations. Thus, <sup>1951</sup> MDF seems to oscillate at higher frequencies than JR. Nonetheless, we observe that the two <sup>1952</sup> models share this similar triangular shape with non-oscillatory behavior when  $C_3$  and  $C_4$  are <sup>1953</sup> too low, suggesting similar global dynamics. The main conclusion drawn from this analysis is <sup>1954</sup> that the self-inhibitory connection introduced in MDF grants the model the ability to generate <sup>1955</sup> oscillations at a higher frequency than alpha, a more challenging capability compared to JR. <sup>1956</sup>

### S.3 Phase plane of JR in 3D

For the stability analyses in Fig. 12, we have only presented the phase plane with the pyramidal <sup>1958</sup> and inhibitory population output voltages. Considering the trajectory of the third excitatory <sup>1959</sup> neural population activity alongside these can provide a better understanding of the full picture <sup>1960</sup> however, as can be seen in Fig. 16. <sup>1961</sup>

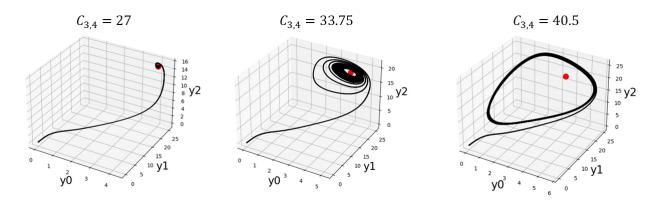


Figure S2. Phase plane of JR for different E-I connectivity parameters. The trajectory of the three neural populations (with  $y_0$ ,  $y_1$ , and  $y_2$  corresponding to the output of the PSP block for the pyramidal cells, excitatory interneurons, and inhibitory interneurons, respectively) can be inferred by examining the stability of their respective fixed point (red). When  $C_{3,4} = 27$ , the fixed point is stable and no oscillations occur. For  $C_{3,4} = 33.5$ , the system enters a limit cycle with the oscillation frequency of alpha. Finally, when  $C_{3,4} = 40.5$ , the limit cycle widens and the frequency of oscillation is reduced.

As seen in our previous phase plane analysis, for specific connectivity parameters, the system <sup>1962</sup> either reaches a fixed point or enters a limit cycle defining the frequency of oscillation. The <sup>1963</sup> results closely resemble those in Fig. 12, 1b, implying that the dynamics primarily involve <sup>1964</sup> interactions between the pyramidal and inhibitory populations, with minimal contribution from <sup>1965</sup> the third population in this case.. <sup>1966</sup>

## S.4 3D parameter space with MDF

We simplified the 5-dimensional connection parameter space into a 3-dimensional representation <sup>1968</sup> for the MDF model, using its linearized version. Stability is assessed by looking at the system's <sup>1969</sup> poles within the transfer function of the system. The aim was to establish a parallel with the <sup>1970</sup> 3D 'xyz' corticocortical/corticothalamic/intrathalamic lumped gains reduced parameter space <sup>1971</sup> discussed in a number of studies using the RRW model (although for reasons of space we have <sup>1972</sup> not focused on that aspect of RRW in the present paper Robinson et al., 2002, 2005; Roberts <sup>1973</sup> and Robinson, 2012; Breakspear et al., 2006; Abeysuriya et al., 2015), and determine the effects <sup>1974</sup> of the loops on the dynamics of the MDF model. <sup>1975</sup>

1967

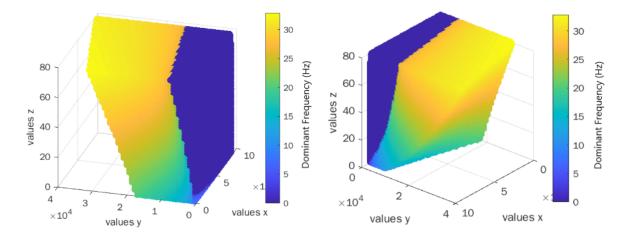


Figure S3. Visualization of dynamical regimes of the MDF model in a 3D setting using the linearized expression. The x-axis corresponds to effect of the excitatory loop  $(\gamma_1 * \gamma_2)$ ; the y-axis represents the effect of the inhibitory loop  $(\gamma_3 * \gamma_4)$ ; and the z-axis is the effect of the self-inhibitory loop  $(\gamma_5)$ . As  $\gamma_5$  values increase, the system tends to oscillate at a higher frequency.

The aim here is to easily visualize the regions of stability and dynamics as a function of <sup>1976</sup> the 'loops', rather than a single connectivity parameter. As expected, with the increase in the <sup>1977</sup> self-inhibitory connection (z-axis), the dominant frequency of oscillation gradually shifts from <sup>1978</sup> theta to alpha and then to the beta range. <sup>1979</sup>

## S.5 4D JR connectivity analysis

In the JR model, our focus was specifically on  $C_3$   $(P \to I)$  and  $C_4$   $(I \to P)$  as the E-I loop, but <sup>1981</sup> there is also the interaction between excitatory interneurons and pyramidal cells  $(C_1 (P \to E)$  <sup>1982</sup> and  $C_2 (E \to P))$  to consider. Typically, the ratio between these values is varied. By simulating time series for different values of C with the standard ratio values  $(C_1 = C, C_2 = 0.8 * C,$  <sup>1984</sup>  $C_3 = 0.25 * C$  and  $C_4 = 0.25 * C)$ , we can infer that increasing values of C lead to a decrease <sup>1985</sup> in the frequency of oscillation up to a certain point (Fig. 18), which concurs with results from <sup>1986</sup> Jansen and Rit (1995).

1988

1980

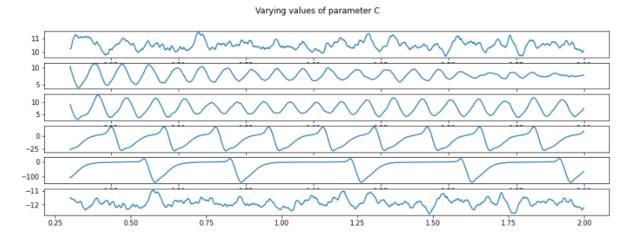


Figure S4. Simulated time series of JR for different connectivity C values. From top to bottom: C = 68, C = 128, C = 135, C = 270, C = 675, C = 1350. As connectivity values increase, the frequency of oscillations decreases up to C = 675.

Changes in the frequency of oscillation as a function of connectivity ratios are presented in <sup>1989</sup> the form of 4D heatmaps in a 2D space (Figure 19). The general trend observed is that higher <sup>1990</sup> connectivity values result in slower oscillations, as expected. <sup>1991</sup>

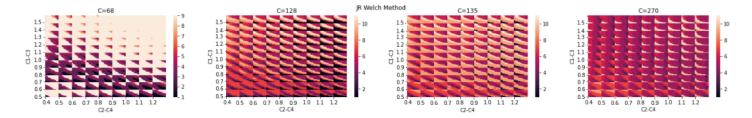


Figure S5. Variation in the frequency of oscillation as a function of connection strength for JR for different C values. From left to right: C = 68, C = 128, C = 135 and C = 270. The outer axes  $C_1 - C_2$  represent the excitatory loop, while the inner axes  $C_3 - C_4$  represent the inhibitory loop. The results correlate with what is observed in the time series. The parameter space is obtained by changing the ratio of each connection (i.e. C1 = 0.8 corresponds to C1 = 0.8 \* C)

To observe the different trends that emerge, we focused on the case where C equals 135 1992 and investigated the different possible combinations of parameters on the outer and inner axes 1993 (Figure 20). 1994

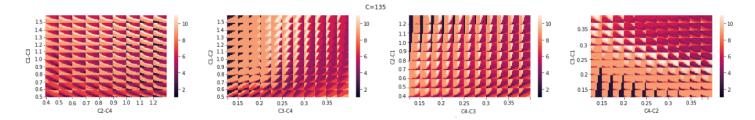


Figure S6. Connection strength parameter spaces for Jansen-Rit in different combinations with C=135. Each combination reveals a distinct pattern, aiding in visualizing the relationships among all the connectivity parameters. From left to tight: 1) Outer axes  $C_1 - C_2$  Inner axes  $C_3 - C_4$ ; 2) Outer axes  $C_1 - C_3$ ; Inner axes  $C_2 - C_4$ ; 3) Outer axes  $C_2 - C_3$ ; Inner axes  $C_1 - C_3$ ; 4) Outer axes  $C_3 - C_4$ ; Inner axes  $C_1 - C_2$ 

Clear patterns emerge in two different cases. When  $C_3$   $(P \to I)$  and  $C_4$   $(I \to P)$  are 1995 on the outer axes, a continuous change in the frequency of oscillation is observed. Similarly, 1996 when comparing  $C_1$   $(P \to E)$  against  $C_3$   $(P \to I)$ , a concrete pattern is evident, with more 1997 pronounced changes in the frequency of oscillation when  $C_3$  is altered. These results reinforce 1998 the idea that the main loop influencing the frequency of oscillation is the interaction between 1999 the pyramidal and inhibitory populations, raising the question of whether adding an additional 2000 excitatory population is truly necessary, even though it would be more biologically realistic. 2001

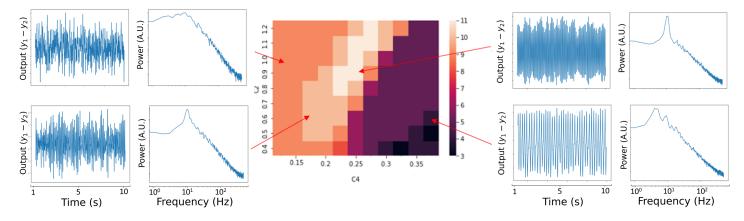


Figure S7. Connection strength parameter space for  $C_2$   $(E \to P)$  and  $C_4$   $(I \to P)$  in JR. Higher values of  $C_4$  lead to a decrease in rhythmic oscillations. The highest frequency of oscillation occurs when  $C_4$  is at a ratio of 0.25, and  $C_2$  is around 1.0. If  $C_4$  is too low, a very noisy signal is generated.

## S.6 Full Model Equations

Partial and diagrammatic presentations of the differential equations for each of the four models <sup>2003</sup> are given in Figs. 5-8. In this final Supplementary section, we provide the complete differential <sup>2004</sup> equations for each model, as well as tables describing the model parameters and state variables. <sup>2005</sup>

#### Jansen-Rit model equations

The differential equations for the JR model are

$$\dot{y}_0(t) = y_3(t)$$
 (44)

$$\dot{y}_3(t) = AaS[y_1(t) - y_2(t)] - 2ay_3(t) - a^2y_0(t)$$
(45)

$$\dot{y}_1(t) = y_4(t)$$
 (46)

$$\dot{y}_4(t) = Aa(p(t) + C_2 S[C_1 y_0(t)]) - 2ay_4(t) - a^2 y_1(t)$$
(47)

$$\dot{y}_2(t) = y_5(t)$$
 (48)

$$\dot{y}_5(t) = BbC_4S[C_3y_0] - 2by_5(t) - b^2y_2(t)$$
(49)

Here and in the rest of this paper we have maintained the same notation as in Jansen and 2008 Rit (1995) where  $y_0$ ,  $y_1$ , and  $y_2$  correspond to the outputs of the pyramidal, excitatory, and 2009 inhibitory PSP block, respectively. p(t) represents the external input applied to the system, 2010 usually noise. A and B define the maximum amplitude of excitatory and inhibitory PSP, 2011 respectively. a and b represent the collective effect of the inverse of the time constant of the 2012 passive membrane and the entirety of the spatially dispersed delays within the dendritic network 2013 for the excitatory and inhibitory populations, respectively.  $C_1$  to  $C_4$  are the connectivity 2014 constants.

For the connectivity parameters, we wanted to mention that  $C_1$  and  $C_3$  slightly differ from  $C_2$  <sup>2016</sup> and  $C_4$  in the mathematical expression. The JR model assumes equal synaptic input from the pyramidal cell population to the other two populations, setting these constants to 1. In contrast, the synaptic coefficients at the excitatory and inhibitory dendrites are varied (corresponding to  $C_1 (P \to E)$  and  $C_3 (P \to I)$ ). Conversely, for pyramidal cells, the synaptic coefficients at their dendrites remain fixed (1 and -1 for excitatory and inhibitory interneurons, respectively), and excitatory and inhibitory neurons synapse onto pyramidal cells differently (represented by  $C_2$  $(E \to P)$  and  $C_4 (I \to P)$ . Therefore,  $C_1$  and  $C_3$  function as synaptic coefficients, while  $C_2$  and  $C_4$  serve as connectivity constants, as illustrated in the detailed schematic. Mathematically, this means that  $C_1$  and  $C_3$  are applied within the nonlinear function, while  $C_2$  and  $C_4$  are applied outside. However, in practical terms, all these parameters are described as connectivity parameters and can be considered analogous and interrelated. Furthermore, all the values are scaled by a global connectivity parameter. See Cook et al. (2021) for a further explanation of this nuanced aspect of the JR model system.

2006

2002

Original Symbol	Description	Value
$e_0$	Firing rate at threshold	$2.5 \ s^{(-1)}$
$V_0$	Firing threshold	6 mV
r	Slope reflecting the variance of firing thresholds within	0.56
	the population	$\mathrm{mV}^{(-1)}$
А	Maximum amplitude of excitatory PSP (EPSP)	$3.25 \mathrm{mV}$
В	Maximum amplitude of inhibitory PSP (IPSP)	22  mV
a and b	Lumped representation of the sum of the reciprocal of	a = 100
	the time constant of passive membrane and all other	$s^{(-1)}$
	spatially distributed delays in the dendritic network	b = 50
		$s^{(-1)}$
$C_1$	Connectivity constant: Represents the number of	$C = C_1$
	synapses made by the feed forward neurons to the den-	135
	drites of the excitatory feedback loop	
$C_2$	Connectivity constant: Proportional to the number of	$C_2 = 0.8C$
	synapses made by the excitatory feedback loop to the	
	dendrites of the feedforward neurons	
$C_3$	Connectivity constant: number of synapses made by the	$C_3 =$
	feedforward neurons to the dendrites of the inhibitory	0.25C
	feedback loop	
$C_4$	Connectivity constant: Proportional to the number of	$C_4 =$
	synapses made by the inhibitory feedback loop to the	0.25C
	dendrites of the feedforward neurons	
P(t)	External pulse density consisting of activity originating	For stan-
	from adjacent and more distant cortical columns and	dard used
	from subcortical structures (e.g. thalamus)	uniform
		noise but
		can be
		normal or
		constant

Table 4. JR parameters with biological descriptions and corresponding values to generate alpha rhythm

The form of the differential equations for the MDF model are

$$\dot{\nu}_1 = i_1 \tag{50}$$

$$\dot{i}_1 = \kappa_e H_e(\gamma_1 S(\nu_6 - a) + u) - 2\kappa_e i_1 - \kappa_e^2 \nu_1$$
(51)

$$\dot{\nu}_2 = i_2 \tag{52}$$

$$\dot{i}_2 = \kappa_e H_e \gamma_2 S(\nu_1) - 2\kappa_e i_2 - \kappa_e^2 \nu_2$$
(53)

$$\dot{\nu}_3 = i_3 \tag{54}$$

$$\dot{i}_3 = \kappa_i H_i \gamma_4 S(\nu_7) - 2\kappa_i i_3 - \kappa_i^2 \nu_3 \tag{55}$$

$$\dot{\nu}_6 = i_2 - i_3 \tag{56}$$

$$\dot{\nu}_4 = i_4 \tag{57}$$

$$\dot{i}_4 = \kappa_e H_e \gamma_3 S(\nu_6) - 2\kappa_e i_4 - \kappa_e^2 \nu_4$$
 (58)

$$\dot{\nu}_5 = i_5 \tag{59}$$

$$\dot{i}_5 = \kappa_i H_i \gamma_5 S(\nu_7) - 2\kappa_i i_5 - \kappa_i^2 \nu_5 \tag{60}$$

$$\dot{\nu}_7 = i_4 - i_5 \tag{61}$$

The  $v_i$  values represent the membrane potential of the subpopulations and  $i_i$  denoting 2032 their current. Specifically,  $v_1$  and  $i_1$  describe the excitatory interneurons,  $v_{2,3,6}$  and  $i_{2,3}$  the 2033 pyramidal cells, and finally  $v_{4,5,7}$  and  $i_{4,5}$  the inhibitory interneurons. The  $\gamma_i$  values are the 2034 connection strengths between the populations.  $H_e$  and  $\kappa_e$  are the maximum amplitude and 2035 the rate constant associated with EPSP, respectively. Similarly,  $H_i$  and  $\kappa_i$  represent the same 2036 parameters for the IPSP.

Original Symbol	Description	Value
$\rho_1$	For shape of sigmoid: Can straighten more or less the	2
	slope	
$\rho_2$	For position of sigmoid: Can shift the curve right or left	1
$H_e$	Maximum amplitude of excitatory PSP (EPSP)	10 mV
$H_i$	Maximum amplitude of inhibitory PSP (IPSP)	22 mV
$\kappa_e$ and $\kappa_i$	Lumped representation of the sum of the rate constants	$\kappa_e = 250 \ \mathrm{s}^{(-1)}$
	of passive membrane and other spatially distributed de-	$\kappa_i = 62.5$
	lays in the dendritic tree	$s^{(-1)}$
$\gamma_1$	Coupling strength: Between pyramidal cells and macro-	128
	column u (in excitatory spiny cells in granular layer)	
$\gamma_2$	Coupling strength: Between excitatory spiny cells in	128
	granular layer and pyramidal cells	
$\gamma_3$	Coupling strength: Between pyramidal cells(excitatory)	64
	and inhibitory interneurons	
$\gamma_4$	Coupling strength: Between inhibitory interneurons and	64
	pyramidal cells	
$\gamma_5$	Coupling strength: Inhibitory-Inhibitory coupling (re-	1
	current connection)	

Table 5. MDF parameters with biological descriptions and corresponding values to generate alpha rhythm

### Liley-Wright model equations

For the LW model, the differential equations are

$$\tau_e \dot{V}_e(t) = V_e^{rest} - V_e(t) + \psi_{ee}(V_e(t))I_{ee}(t) + \psi_{ie}(V_e(t))I_{ie}(t)$$
(62)

$$\tau_i \dot{V}_i(t) = V_i^{rest} - V_i(t) + \psi_{ei}(V_i(t))I_{ei}(t) + \psi_{ii}(V_i(t))I_{ii}(t)$$
(63)

$$\dot{I}_{ee} = U_{ee} \tag{64}$$

$$\dot{U}_{ee} = -2\gamma_e U_{ee}(t) - \gamma_e^2 I_{ee}(t) + \Gamma_e \gamma_e e(N_{ee}^\beta S(V_e(t)) + p_{ee}(t))$$

$$\dot{I}_{ee} = -2\gamma_e U_{ee}(t) - \gamma_e^2 I_{ee}(t) + \Gamma_e \gamma_e e(N_{ee}^\beta S(V_e(t)) + p_{ee}(t))$$

$$(65)$$

$$\dot{I}_{ei} = U_{ei} \tag{66}$$

$$\dot{U}_{ei} = -2\gamma_e U_{ei}(t) - \gamma_e^2 I_{ei}(t) + \Gamma_e \gamma_e e(N_{ei}^\beta S(V_e(t)) + p_{ei}(t))$$

$$\dot{U}_{ei} = -2\gamma_e U_{ei}(t) - \gamma_e^2 I_{ei}(t) + \Gamma_e \gamma_e e(N_{ei}^\beta S(V_e(t)) + p_{ei}(t))$$

$$(67)$$

$$I_{ie} = U_{ie} \tag{68}$$

$$\dot{U}_{ie} = -2\gamma_i U_{ie}(t) - \gamma_i^2 I_{ie}(t) + \Gamma_i \gamma_i e(N_{ie}^\beta S(V_i(t)))$$
(69)

$$I_{ii} = U_{ii} \tag{70}$$

$$\dot{U}_{ii} = -2\gamma_i U_{ii}(t) - \gamma_i^2 I_{ii}(t) + \Gamma_i \gamma_i e(N_{ii}^\beta S(V_i(t)))$$
(71)

 $N_{xx}$  are the inter- and intra-connectivities between the two populations.  $p_{ei}$  and  $p_{ee}$  are 2040 the external inputs.  $I_{xx}$  are the postsynaptic potentials, and  $V_{xx}$  are the soma membrane 2041

2038

potentials.  $\Gamma_{e,i}$  and  $\gamma_{e,i}$  are the peak amplitude and rate constant PSPs for excitatory and 2042 inhibitory population, respectively. The model also includes passive membrane time constants 2043 represented by  $\tau_{e,i}$ , mean resting membrane potentials  $V_{e,i}^r$ , and mean equilibrium potentials 2044  $V_{e,i}^{eq}$ .

Original Symbol	Description	Value
$S_{(e,i)}^{max}$	Excitatory/Inhibitory population mean maximal firing	500, 500 s <sup>(-1)</sup>
	rates	
$\mu_{(e,i)}$	Excitatory/Inhibitory population thresholds (spike	-50, -50 mV
	threshold)	
$\sigma_{(e,i)}$	Standard deviation for spike-threshold in excita-	5, 5  mV
	tory/inhibitory population	
$\Gamma_e$	Excitatory postsynaptic potential peak amplitude (at	0.71 mV
	the site of synaptic activation)	
$\Gamma_i$	Inhibitory postsynaptic potential peak amplitude (at	0.71 mV
	the siyte of synaptic activation)	
$\gamma_{(e,i)}$	Excitatory/Inhibitory postsynaptic potential rate con-	$300, 65 \text{ s}^{(-1)}$
	stant	
$ au_{(e,i)}$	Passive membrane decay time constant	0.094, 0.042  s
$V^r_{(e,i)}$	Mean resting membrane potential	-70, -70 mV
$V^{eq}_{(e,i)}$	Mean equilibrium potential associated with excitation	45, -90 mV
	or inhibition	
$N^{\beta}_{(ee,ei)}$	Total number of connections that a cell of type e, i	3000, 3000
	receives from excitatory cells via intra-cortical fibres	
	(Weight connections)	
$N^{\beta}_{(ie,ii)}$	Total number of connections that a cell of type e,i re-	500, 500
((0,00)	ceives from inhibitory cells via intra-cortical connections	
	(Weight connections)	
$p_{(ee,ei)}$	Excitatory input to excitatory, inhibitory cells (extra-	3.460, 5.070
	cortical input)	$s^{(-1)}$
$p_{(ie,ii)}$	Inhibitory input to excitatory, inhibitory cells (extra-	$0, 0 \mathrm{s}^{(-1)}$
	cortical input)	

Table 7. LW parameters with biological descriptions and corresponding values to generate alpha rhythm

### **Robinson-Rennie-Wright model equations**

Finally, the differential equations of the RRW are as follows

$$\frac{dV_e}{dt} = \dot{V}_e \tag{72}$$

$$\frac{dV_e}{dt} = \alpha\beta[\nu_{ee}\phi_e + \nu_{ei}S(V_e) + \nu_{es}S(V_s(t - t_0/2)) - (\frac{1}{\alpha} + \frac{1}{\beta})\dot{V}_e - V_e]$$
(73)

$$\frac{dV_s}{dt} = \dot{V}_s \tag{74}$$

$$\frac{dV_s}{dt} = \alpha\beta[\nu_{se}\phi_e(t-t_0/2) + \nu_{sr}S_r(V_r) + \nu_{sn}\phi_n - (\frac{1}{\alpha} + \frac{1}{\beta})\dot{V}_s - V_s]$$
(75)

$$\frac{dV_r}{dt} = \dot{V}_r \tag{76}$$

$$\frac{dV_r}{dt} = \alpha\beta[\nu_{re}\phi_e(t - t_0/2) + \nu_{rs}S(V_s) - (\frac{1}{\alpha} + \frac{1}{\beta})\dot{V}_e - V_r]$$
(77)

$$\frac{d\phi_e}{dt} = \dot{\phi}_e \tag{78}$$

$$\frac{d\dot{\phi}_e}{dt} = \gamma_e^2 [S(V_e) - \frac{2}{\gamma_e} \dot{\phi}_e - \phi_e]$$
(79)

with  $V_e$ ,  $V_r$ , and  $V_s$  representing the potential of the cortical population, of the reticular <sup>2048</sup> nucleus and of the relay nuclei, respectively.  $\nu_{xx}$  denote the connection strengths parameters. <sup>2049</sup>  $\alpha$  and  $\beta$  refer to the decay and rise time of the impulse response, representing the dendritic <sup>2050</sup> rate.  $t_0$  is the conduction delay between thalamic and cortical projections. Finally,  $\gamma_e$  stands <sup>2051</sup> for the cortical damping rate, which is exclusively applied to the cortical population. This final <sup>2052</sup> differential equation for determining  $\phi_e$  is related to the PDE damped wave equation, which <sup>2053</sup> was used to consider spatial variations (Robinson et al., 1997). However, in the case of spatial <sup>2054</sup> uniformity, the wave equation simplifies to an ODE (Zhao and Robinson, 2015).

Original Symbol	Description	Value
$Q_{max}$	Maximum attainable firing rate of individual neurons	$340 \ s^{(-1)}$
$\sigma' \pi \sqrt{3}$	Standard deviation of the threshold distribution in the	$3.8*\pi\sqrt{3} \approx$
	neural population	$5.9 \mathrm{mV}$
$\theta$	Mean firing threshold	12.92 mV
$\gamma_e$	Cortical damping rate (Axonal velocity/Range)	$116 \ s^{(-1)}$
$1/\alpha$	Decay time (of impulse response, dendritic rate)	$83.33 \ s^{-1}$
$1/\beta$	Rise time (of impulse response, dendritic rate)	769.23 $s^{-1}$
$t_0$	Corticothalamic loop delay (Loop distance/Axonal ve-	80 ms
	locity) which means conduction delay through thalamic	
	nuclei and projections	
$v_{ee}$	$N_{ee}s_{ee}$ : Mean number of synapses X strength of the re-	$3.03 \mathrm{~mVs}$
	sponse to a unit signal	
$-v_{ei}$	$-N_{ei}s_{ei}$	$6.00 \mathrm{mVs}$
$v_{es}$	$N_{es}s_{es}$	2.06 mVs
$v_{se}$	$N_{se}s_{se}$	2.18 mVs
$-v_{sr}$	$-N_{sr}s_{sr}$	$0.83 \mathrm{~mVs}$
v <sub>re</sub>	$N_{re}s_{re}$	$0.33 \mathrm{~mVs}$
v <sub>rs</sub>	$N_{rs}s_{rs}$	$0.03 \mathrm{~mVs}$
$v_{sn}$	$N_{sn}s_{sn}$	$0.98 \mathrm{~mVs}$

 $\label{eq:table 6. RRW parameters with biological descriptions and corresponding values to generate alpha rhythm$