## **SPECIFICATIONS**

33114

(FOR FIXED-PRICE SERVICES CONTRACT)

SOLICITATION NO. DACW45-93-R-0077

# DRAKE CHEMICAL SUPERFUND SITE ON-SITE SOIL INCINERATION

VOLUME 1 OF 3 SECTIONS A, B, C\*, E, F, G, I, J, K, L, AND M

LOCK HAVEN, Pennsylvania

**APRIL 1993** 



US Army Corps of Engineers

Omaha District

\* SECTION C BOUND SEPARATELY (VOL. 2 AND 3)



#### INFORMATION TO OFFERORS OR QUOTERS

Section A - Cover Sheet

1. SOLICITATION NUMBER

DACW45-93-R-0077

2. (X one)

a. SEALED BID

b. NEGOTIATED (RFP)

c. NEGOTIATED (RFQ)

#### **INSTRUCTIONS**

NOTE THE AFFIRMATIVE ACTION REQUIREMENT OF THE EQUAL OPPORTUNITY CLAUSE WHICH MAY APPLY TO THE CONTRACT RESULTING FROM THIS SOLICITATION.

You are cautioned to note the "Certification of Non-Segregated Facilities" in the solicitation. Failure to agree to the certification will render your reply nonresponsive to the terms of solicitations involving awards of contracts exceeding \$25,000 which are not exempt from the provisions of the Equal Opportunity clause.

"Fill-ins" are provided on the face and reverse of Standard Form 18 and Parts I and IV of Standard Form 33, or other solicitation documents and Sections of Table of Contents in this solicitation and should be examined for applicability.

See the provision of this solicitation entitled either "Late Bids, Modifications of Bids or Withdrawal of Bids" or "Late Proposals, Modifications of Proposals and Withdrawals of Proposals."

When submitting your reply, the envelope used must be plainly marked with the Solicitation Number, as shown above and the date and local time set forth for bid opening or receipt of proposals in the solicitation document.

If NO RESPONSE is to be submitted, detach this sheet from the solicitation, complete the information requested on reverse, fold, affix postage, and mail. NO ENVELOPE IS NECESSARY.

Replies must set forth full, accurate, and complete information as required by this solicitation (including attachments). The penalty for making false statements is prescribed in 18 U.S.C. 1001.

3. ISSUING OFFICE (Complete mailing address, including Zip Code)

US ARMY CORPS OF ENGINEERS
CONTRACTING DIV (CT)
215 NORTH 17TH STREET
CMAHA. NE 68102-4978

#### TMS TO BE PURCHASED (Brief description)

Furnish all plant, labor, material, equipment, and facilities to provide necessary services for On-Site incineration of contaminated soil, sludge, and lagoon sediments located at Drake Chemical Superfund Site at Lock Haven, PA.

#### 5. PROCUREMENT INFORMATION (X and complete as applicable)

- X a THIS PROCUREMENT IS UNRESTRICTED
  - b. THIS PROCUREMENT IS A \_\_\_\_\_\_\_% SET-ASIDE FOR ONE OF THE FOLLOWING (X one). (See Section C of the Table of Contents in this solicitation for details of the set-aside.)

(1) Small Business

(2) Labor Surplus Area Concerns

(3) Combined Small Business/Labor Area Concerns

#### 6. ADDITIONAL INFORMATION

- a. This is a Competitive Request For Proposal (RFP). There will be no formal bid opening.
- b. Sections A,B, and K will be completed (by the proposing firm) and returned with the proposal documents contained in Volume III of your proposal. Each section will be separately tabbed.
- c. Bid Bonds, Payment Bonds, and Performance Bonds are not required to be submitted with your proposal documents in response to this RPP.

#### 7. POINT OF CONTACT FOR INFORMATION

a. NAME (Last, First, Middle Initial)

DD Form 1707, MAR 89

John A. Haskell Jr.

C4H

b. ADDRESS (Include Zip Code)
US ARMY CORPS OF ENGINEERS
CONTRACTING DIV (CT)
215 NORTH 17TH STREET

OMAHA, NE 68102-4978

PHONE NUMBER (Include Area Code and Extension) (NOCOLLECT CALLS)

(402)221-4109

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Previous editions are obsolete.

461/089

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e. OTHER (Specify)			•			
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CONTRACTING DIV (CT)
215 NORTH 17TH STREET
CMAHA, NE 68102-4978

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## SECTION B SUPPLIES OR SERVICES AND PRICES/COSTS

## PRICING SCHEDULE DRAKE CHEMICAL SUPERFUND SITE ON-SITE SOIL INCINERATION PROJECT

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Item No.	Description	Quantity	<u>Unit</u>	Unit <u>Price</u>	Amount
0001	Pre-Mobilization	Job	L.S.	XXX	\$
0002	*-Site Preparation	Job	L.S.	XXX	\$
0003	On-Site Laboratory	Job	L.S.	XXX	\$
0004	Health and Safety Equipment	: Job	L.S.	XXX	\$
0005	TDF Mobilization	Job	L.S.	XXX	\$
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0008	Analytical Requirements	Job	L.S.	XXX	۶
0009	*-Debris Removal	100	C.Y.	\$	\$
0010	*-Relocation of Water & Sewer	_			
0010	•	Job	T C	7777	6
	Lines	700	L.S.	XXX	\$
0011	*-Excavation and Backfill	194,520	C.Y.	\$	\$
0012	Soil Incineration	194,520	C.Y.	\$	\$
0013	*-Ash Fixation	9,726	C.Y.	\$	\$
0014	*-Fill from Offsite	31,087	C.Y.	\$	\$
0015	*-Topsoiling and Seeding	Job	L.S.	XXX	\$
0016	Wastewater Management	Job	L.S.	XXX	\$
0017	*-Storm Drainage System	Job	L.S.	XXX	\$
0018	*-Site Restoration	Job	L.S.	XXX	\$
0019	Off-Site Disposal of Hazardous Wastes	100	C.Y.	\$	\$
0020	Off-Site Disposal of Non-hazardous Wastes	100	C.Y.	\$	\$

## PRICING SCHEDULE DRAKE CHEMICAL SUPERFUND SITE ON-SITE SOIL INCINERATION PROJECT

Item No.	Description	Quantity	<u>Unit</u>	Unit <u>Price</u>	Amount
0021	Perimeter Air Monitoring	Job	L.S.	XXX	\$
0022	Emergency Response and Waste Characterization	10	Event	\$	\$
	TOTAL AMOUNT (I	TEMS 0001 1	THRU 002	2) \$	

#### NOTES:

- 1. See Section 01800, Measurement and Payment for additional descriptions of pricing items.
- 2. Quantities for unit priced items are estimated only and the respective unit price will prevail in the event of an overrun or underrun subject to Contract Clause "Variation in Estimated Quantity."
- 3. Prices must be entered for all items of the schedule. Total amount price proposals submitted without prices being entered on individual items will be rejected. Extensions will be subject to verification by the Government. In case of variation between the unit price and the extension, the unit price will be considered the price. In case of variation between the individual item prices and the total amount, the individual prices will be considered the price proposal.
- 4. All items marked with an asterisk (\*-) are construction related items.

  See Section H: SPECIAL CONTRACT REQUIREMENTS. All items not marked with an asterisk are service related items.

#### SECTION C

#### DESCRIPTION/SPECS/WORK STATEMENT

1. DRAKE CHEMICAL SUPERFUND SITE, ON-SITE SOIL INCINERATION, LOCK HAVEN, PENNSYLVANIA. Work for this project shall be performed in accordance with specifications (Volumes 2 and 3) and drawings, which are bound separately and requirements of Sections A thru K of this Volume 1.

#### DACW45-93-R-0077

#### INDEX OF CLAUSES SECTION E

E.1 52.0246-0004 INSPECTION OF SERVICES--FIXED-PRICE (FEB 1992)

E.2 52.0246-0012 INSPECTION OF CONSTRUCTION (JUL 1986)

## SECTION E INSPECTION AND ACCEPTANCE

E.1 52.246-0004

#### INSPECTION OF SERVICES -- FIXED-PRICE (FEB 1992)

- (a) Definitions. "Services," as used in this clause, includes services performed, workmanship, and material furnished or utilized in the performance of services.
- (b) The Contractor shall provide and maintain an inspection system acceptable to the Government covering the services under this contract. Complete records of all inspection work performed by the Contractor shall be maintained and made available to the Government during contract performance and for as long afterwards as the contract requires.
- (c) The Government has the right to inspect and test all services called for by the contract, to the extent practicable at all times and places during the term of the contract. The Government shall perform inspections and tests in a manner that will not unduly delay the work.
- (d) If the Government performs inspections or tests on the premises of the Contractor or a subcontractor, the Contractor shall furnish, and shall require subcontractors to furnish, without additional charge, all reasonable facilities and assistance for the safe and convenient performance of these duties.
- (e) If any of the services do not conform with contract requirements, the Government may require the Contractor to perform the services again in conformity with contract requirements, at no increase in contract amount. When the defects in services cannot be corrected by reperformance, the Government may (1) require the Contractor to take necessary action to ensure that future performance conforms to contract requirements and (2) reduce the contract price to reflect the reduced value of the services performed.
- (f) If the Contractor fails to promptly perform the services again or to take the necessary action to ensure future performance in conformity with contract requirements, the Government may (1) by contract or otherwise, perform the services and charge to the Contractor any cost incurred by the Government that is directly related to the performance of such service or (2) terminate the contract for default.

(End of clause)

E.2 52.246-0012

INSPECTION OF CONSTRUCTION (JUL 1986)

- (a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.
- (b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and

at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.

- (c) Government inspections and tests are for the sole benefit of the Government and do not--
  - Relieve the Contractor of responsibility for providing adequate quality control measures;
  - (2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
    - (3) Constitute or imply acceptance; or
  - (4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) below.
- (d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.
- (e) The Contractor shall promptly furnish, without additional charge, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.
- (f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.
- (g) If the Contractor does not promptly replace or correct rejected work, the Government may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor or (2) terminate for default the Contractor's right to proceed.
- (h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed,

an extension of time.

(i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

(End of clause)

END OF SECTION E

#### DACM45-93-R-0077

#### INDEX OF CLAUSES SECTION F

F.1	52.0000-4006	PERIOD OF PERFORMANCE (Local Provision)
F.2	52.0209-0007	ORGANIZATIONAL CONFLICTS OF INTEREST CERTIFICATEMARKETING CONSULTANTS
		(NOV 1991)
F.3	52.0212-0011	VARIATION IN ESTIMATED QUANTITY (APR 1984)
F.4	52.0212-0013	STOP-WORK ORDER (AUG 1989)
F.5	52.0212-0015	GOVERNMENT DELAY OF WORK (APR 1984)

## SECTION F DELIVERIES OR PERFORMANCE

F.1

52.209-0007

PERIOD OF PERFORMANCE (Local Provision)

The period of performance of any contract awarded as a result of this solicitation shall be five (5) years \_\_\_\_\_ unless sooner terminated under the terms of the contract.

(End of Local Provision)

ORGANIZATIONAL CONFLICTS OF INTEREST CERTIFICATE--MARKETING CONSULTANTS (NOV 1991)

#### (a) Definitions.

- (1) "Marketing consultant" means any independent Contractor who furnishes advice, information, direction, or assistance to an Offeror or any other Contractor in support of the preparation or submission of an offer for a Government contract by that Offeror. An independent Contractor is not a marketing consultant when rendering--
  - (i) Services excluded in FAR 37.204;
  - (ii) Routine engineering and technical services (such as installation, operation, or maintenance of systems, equipment, software, components, or facilities);
    - (iii) Routine legal, actuarial, auditing, and accounting services; or
    - (iv) Training services.
- (2) Organizational conflict of interest means that because of other activities or relationships with other persons, a person is unable or potentially unable to render impartial assistance or advice to the Government, or the person's objectivity in performing the contract work is or might be otherwise impaired, or a person has an unfair competitive advantage.
- (b) An individual or firm that employs, retains, or engages contractually one or more marketing consultants in connection with a contract, shall submit to the Contracting Officer, with respect to each marketing consultant, the certificates described below, if the individual or firm is notified that it is the apparent successful Offeror.
  - (c) The certificate must contain the following:
  - (1) The name of the agency and the number of the solicitation in question.
  - (2) The name, address, telephone number, and Federal tampayer identification number of the marketing consultant.
  - (3) The name, address, and telephone number of a responsible officer or employee of the marketing consultant who has personal knowledge of the marketing consultants involvement in the contract.
  - (4) A description of the nature of the services rendered by or to be rendered by the marketing consultant.
    - (5) The name, address, and telephone number of the client or clients,

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and the name of a responsible officer or employee of the marketing consultant who is knowledgeable about the services provided to such client(s), and a description of the nature of the services rendered to such client(s), if, based on information provided to the Contractor by the marketing consultant, any marketing consultant is rendering or, in the \_\_\_\_\_\_ months preceding the date of the certificate, has rendered services respecting the same subject matter of the instant solicitation, or directly relating to such subject matter, to the Government or any other client (including any foreign government or person).

- (6) A statement that the person who signs the certificate for the prime Contractor has informed the marketing consultant of the existence of Subpart 9.5 and Office of Federal Procurement Policy Letter 89-1.
- (7) The signature, name, title, employer's name, address, and telephone number of the persons who signed the certificates for both the apparent successful Offeror and the marketing consultant.
- (d) In addition, the apparent successful Offeror shall forward to the Contracting Officer a certificate signed by the marketing consultant that the marketing consultant has been told of the existence of Subpart 9.5 and Office of Federal Procurement Policy Letter 89-1, and the marketing consultant has made inquiry, and to the best of the consultant's knowledge and belief, the consultant has provided no unfair competitive advantage to the prime Contractor with respect to the services rendered or to be rendered in connection with the solicitation, or that any unfair competitive advantage that, to the best of the consultant's knowledge and belief, does or may exist, has been disclosed to the Offeror.
- (e) Failure of the Offeror to provide the certifications may result in the Offeror being determined ineligible for award. Misrepresentation of any fact may result in the assessment of penalties associated with false certifications or such other provisions provided for by law or regulation. (End of provision)

#### VARIATION IN ESTIMATED QUANTITY (APR 1984)

If the quantity of a unit-priced item in this contract is an estimated quantity and the actual quantity of the unit-priced item varies more than 15 percent above or below the estimated quantity, an equitable adjustment in the contract price shall be made upon demand of either party. The equitable adjustment shall be based upon any increase or decrease in costs due solely to the variation above 115 percent or below 85 percent of the estimated quantity. If the quantity variation is such as to cause an increase in the time necessary for completion, the Contractor may request, in writing, an extension of time, to be received by the Contracting Officer within 10 days from the beginning of the delay, or within such further period as may be granted by the Contracting Officer before the date of final settlement of the contract. Upon the receipt of a written request

F.3 52.212-0011

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DACW45-93-R-0077

for an extension, the Contracting Officer shall ascertain the facts and make an adjustment for extending the completion date as, in the judgement of the Contracting Officer, is justified.

(End of clause)

(R 7-603.27 1968 APR)

F.4 52.212-0013

STOP-WORK ORDER (AUG 1989)

- (a) The Contracting Officer may, at any time, by written order to the Contractor, require the Contractor to stop all, or any part, of the work called for by this contract for a period of 90 days after the order is delivered to the Contractor, and for any further period to which the parties may agree. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Within a period of 90 days after a stop-work is delivered to the Contractor, or within any extension of that period to which the parties shall have agreed, the Contracting Officer shall either--
  - (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.
- (b) If a stop-work order issued under this clause is canceled or the period of the order or any extension thereof expires, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--
  - (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
  - (2) The Contractor asserts its right to the adjustment within 30 days after the end of the period of work stoppage; provided, that, if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon the claim submitted at any time before final payment under this contract.
- (c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.
- (d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.

P-3

#### (End of clause)

F.5 52.212-0015

#### GOVERNMENT DELAY OF WORK (APR 1984)

- (a) If the performance of all or any part of the work of this contract is delayed or interrupted (1) by an act of the Contracting Officer in the administration of this contract that is not expressly or impliedly authorized by this contract, or (2) by a failure of the Contracting Officer to act within the time specified in this contract, or within a reasonable time if not specified, an adjustment (excluding profit) shall be made for any increase in the cost of performance of this contract caused by the delay or interruption and the contract shall be modified in writing accordingly. Adjustment shall also be made in the delivery or performance dates and any other contractual term or condition affected by the delay or interruption. However, no adjustment shall be made under this clause for any delay or interruption to the extent that performance would have been delayed or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an adjustment is provided or excluded under any other term or condition of this contract.
- (b) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved, and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the delay or interruption, but not later than the day of final payment under the contract.

(End of clause)
(AV 7-104.77 1968 SEP)
RED OF SECTION F

## SECTION G CONTRACT ADMINISTRATION DATA

G.1

G.2

G.3

FEDERAL LEGAL HOLIDAYS

The following Federal legal holidays are observed by this Installation.

New Year's Day

1 January

Martin Luther King's Birthday Third Monday in January

Third Monday in January
Third Monday in February

President's Day

Last Monday in May

Memorial Day
Independence Day

4 July

Labor Day

First Monday in September

Columbus Day

Second Monday in October

Veterans Day

11 November

Thanksgiving Day

Fourth Thursday in November

Christmas Day

25 December

If a wage determination applies the number of holidays specified on it has priority over this clause.

INVOICING DATA (Local Provision)

Submit/mail invoices:

US Army Corps Of Engineers Lock Haven Resident Office P.O. Box 509 Lock Haven, PA 17745-0509

All invoices will be identified with the resultant Contract Number.

Payment made by:

Pinance & Accounting Branch USAED Omaha P.O. Box 547 DTS Omaha, NE 68101-0547

(End of Local Provision)

CONTRACT ADMINISTRATION DATA (Local Provision)

1. CONTRACTING DIVISION
CEMRO-CT-H
215 North 17th Street
Omaha, NE 68102-4910
ATTN: John A Haskell
(402) 221-4109

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#### G.4 PARTIAL PAYMENT PROCEDURES.

- (a) Payment shall be made in accordance with Contract Clause FAR 52.232-0001 PAYMENTS (APR 84).
- (b) The contractor will be paid for project services in accordance with the completion of milestone events outlined herein. Partial payments will be made for completion and acceptance by the Contracting Officer of any subevents listed under the milestone events. All work involved in the performance of the milestone event or subevent must be completed and accepted by the Contracting Officer before the Contractor will be paid for that event or subevent.
- (c) A percent will be withheld from Contractor payment, by the Contracting Officer, for some milestone events in the specified amounts identified herein. The witheld amounts will be released to the Contractor at predetermined levels of project completion upon approval by the Contracting Officer. Significant milestone events determined to be pivotal in the event of contractor default are identified herein and will be the basis for determination by the Contracting Officer for payment of amounts witheld.
- (d) Upon satisfactory completion and acceptance of all work, the Contracting Officer will release final witheld amount.
- (e) The following Partial Payment Schedule lists six (6) milestone events with subevents listed under each event where applicable.

#### Partial Payment Schedule

	Milestone Event	% Witheld	Witholdings Released
1.	Premobilization	10	Start of Event 5
2.	Site Preparation	10	Start of Event 5
*3.	Trial Burn a. Trial Burn Plan b. Trial Burn c. Analytical Requirements	10	Start of Event 5
*4.	TDF Mobilization  a. TDU Startup and Trial Burn  b. Stabilization Startup and		At Start of Event 5
5.	Incineration Operations	None	NA
6.	Site Restoration	10	When approved by CO at end of establish-ment period.

#### \*Pivotal event.

(f) The following listing of Partial Payment Schedule events correlates these events with the item numbers in the Proposal Schedule (Refer to Section B).

	Event in Partial Payment Schedule	Item Number in Proposal Schedule
1.	Premobilization	Item 0001
2.	Site Preparation	Item 0002
3.	Trial Burn	Items 0006, 0007 and 0008
4.	TDF Mobilization	Item 0005
5.	Incineration Operations	Items 0003, 0004, 0009, 0010, 0011, 0012, 0013, 0014, 0015, 0016, 0017, 0019, 0020, 0021 and 0022
6.	Site Restoration	Item 0018

END OF SECTION

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THIS SECTION ONLY APPLIES TO CONSTRUCTION.

H.25 52.0246-0021 WARRANTY OF CONSTRUCTION (APR 1984)

## SECTION H SPECIAL CONTRACT REQUIREMENTS

H.1

INSURANCE REQUIRED (Reference FAR 52.0228-5) (Local Provision)

In accordance with CONTRACT CLAUSES clause: "Insurance Work on a Government Installation," the Contractor shall produce the following minimum insurance:

Type

Amount

Workmen's Compensation and Employer's Liability Insurance

\$100,000

General Liability Insurance

\$500,000 per occurrence

Automobile Liability Insurance

Bodily Injury

\$200,000 per person and \$500,000 per occurrence

Property Damage

\$ 20,000 per occurrence

(Coverages per FAR 28.307-2)

(End of Local Provision)

H.2 52.222-0007

WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Pederal contract with the same Prime Contractor, or any other Pederally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(End of clause)

PAYROLLS AND BASIC RECORDS (FEB 1988)

(a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of

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H.3 52.222-0008

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the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b) (2) (B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

- (b) (1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.
  - (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify--
    - (i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;
    - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and

- (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form NH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b) (2) of this clause.
- (4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.
- (c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment.

  Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(End of clause)

#### APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid

H.4 52.222-0009

not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of prograss, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the

applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trained performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize traineds at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(End of clause)

COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(End of clause)

SUBCONTRACTS (LABOR STANDARDS) (FEB 1988)

- (a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination--Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.
- (b) (1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.
  - (2) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

(End of clause)

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H.6 52.222-0011

H.5

H.7 52.222-0012

CONTRACT TERMINATION -- DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrollz and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

(End of clause)

H.8 52.222-0013

COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

(End of clause)

H.9 52.222-0014

DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees of their representatives.

(End of clause)

H.10 52.222-0015

CERTIFICATION OF BLIGIBILITY (FEB 1988)

- (a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CTR 5 12(a)(1)
- (b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(Rnd of clause)

H.11

DAVIS-BACON ACT (NOV 1992)

(a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full

amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractural relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- (b) (1) The Contracting Officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:
- (i) Except with respect to helpers, as defined in section 22.401 of Federal Acquisition Regulation, the work to be performed by the classification requested is not performed by a classification in the wage determination.
- (ii) The classification is utilized in the area by the construction industry.
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (iv) With respect to helpers, such a classification prevails in the area in which the work is performed.
  - (2) If the Contractor and the laborers and mechanics to be employed in

the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe bensfits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

- (3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.
- (4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b) (2) and (b) (3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in this classification.
- (c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (d) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(End of clause)

H.12 52.225-0005

#### BUY AMERICAN ACT--CONSTRUCTION MATERIALS (MAY 1992)

(a) The Buy American Act (41 U.S.C. 10) provides that the Government give preference to domestic construction material.

"Components," as used in this clause, means those articles, materials, and supplies incorporated directly into construction materials.

"Construction materials," as used in this clause, means an article, material, or supply brought to the construction site for incorporation into the building or work. Construction material also includes an item brought to the site pre-assembled from articles, materials or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, which are discrete systems incorporated into a public building or work and which are produced as a complete system, shall be evaluated as a single and distinct construction material regardless of when or how the individual parts or components of such systems are delivered to the construction site.

"Domestic construction material," as used in this clause, means (1) an unmanufactured construction material mined or produced in the United States, or (2) a construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind as the construction materials determined to be unavailable pursuant to subparagraph 25.202(a) (3) of the Federal Acquisition Regulation (FAR) shall be treated as domestic.

(b) The Contractor agrees that only domestic construction material will be used by the Contractor, subcontractors, materialmen, and suppliers in the performance of this contract, except for foreign construction materials, if any, listed in this contract. (The foregoing requirements are administered in accordance with Executive Order No. 10582, dated December 17, 1954, as amended, and Subpart 25.2 of the PAR).

(End of clause)

#### DIFFERING SITE CONDITIONS (APR 1984)

- (a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or (2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.
  - (b) The Contracting Officer shall investigate the site conditions

H.13 52.236-0002

promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.

- (c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.
- (d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

(End of clause) (R 7-602.4 1968 FEB) (R 1-7.602-4)

H.14 52.236-0005

#### MATERIAL AND WORKMANSHIP (APR 1984)

- (a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.
- (b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

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(c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

(End of clause)

(R 7-602.9 1964 JUN)

H.15 52.236-0007

PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

(End of clause)

H.16 52.236-0008

OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

(End of clause)

(R 7-602.15 1964 JUM)

(R 1-7.602.15)

H.17 52.236-0010

#### OPERATIONS AND STORAGE AREAS (APR 1984)

- (a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.
- (b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon

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 completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

(End of clause)

(R 7-602.35 1965 JAM)

H.18 52.236-0011

USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

- (a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.
- (b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

(End of clause)

(R 7-602.39 1976 OCT)

H.19 52.236-0012

CLEANING UP (APR 1904)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the

work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

(End of clause)

(R 7-602.40 1965 JAN)

(R 7-2101.21 1976 OCT)

H.20 52.236-0013 I

ACCIDENT PREVENTION (NOV 1991) -- ALTERNATE I (NOV 1991)

- (a) The Contractor shall provide and maintain work environments and procedures which will (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities; (2) avoid interruptions of Government operations and delays in project completion dates; and (3) control costs in the performance of this contract.
- (b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall--
  - (1) Provide appropriate safety barricades, signs, and signal lights;
  - (2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and
  - (3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.
- (c) If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.
- (d) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.
- (e) The Contractor shall insert this clause, including this paragraph(e), with appropriate changes in the designation of the parties, in subcontracts.
  - (f) Before commencing the work, the Contractor shall--

- (1) Submit a written proposed plan for implementing this clause. The plan shall include an analysis of the significant hazards to life, limb, and property inherent in contract work performance and a plan for controlling these hazards; and
- (2) Meet with representatives of the Contracting Officer to discuss and develop a mutual understanding relative to administration of the overall safety program.

#### (End of clause)

#### H.21 52.236-0014

#### AVAILABILITY AND USE OF UTILITY SERVICES (AFR 1984)

- (a) The Government shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to or paid for by the Contractor at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. The Contractor shall carefully conserve any utilities furnished without charge.
- (b) The Contractor, at its expense and in a workmanlike manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines, and all meters required to measure the amount of each utility used for the purpose of determining charges. Before final acceptance of the work by the Government, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia.

(End of clause)
(R 7-603.30 1967 APR)

(R 7-2102.4 1976 OCT)

#### H.22 52.236-0017

#### LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government-established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorised to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

(End of clause)

#### (R 7-604.3 1965 JAN)

H.23 52.236-0021

#### SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (APR 1984)

- (a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.
- (b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by", or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.
- (c) Where "as shown," "as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed".
- (d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail (1) the proposed fabrication and assembly of structural elements, and (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's

approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

- (f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.
- (g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.
  - (h) This clause shall be included in all subcontracts at any tier.

(End of clause)

(7-602.2 JUNE 1964 and 1-7.602-2)

(7-602.41 JAN 1965)

(7-602.47 APR 1966)

(7-602.54 OCT 1976 and 1-7.602-36)

#### H.24 52.236-7000

#### MODIFICATION PROPOSALS -- PRICE BREAKDOWN (DEC 1991)

- (a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.
  - (b) The price breakdown--
  - (1) Must include sufficient detail to permit an analysis of profit, and of all costs for--
    - (i) Material;
    - (ii) Labor;
    - (iii) Equipment;
    - (iv) Subcontracts; and
    - (v) Overhead; and
  - (2) Must cover all work involved in the modification, whether the work was deleted, added, or changed.
- (c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.

(d) The Contractor's proposal shall include a justification for any time extension proposed.

(End of clause)

H.25 52.246-0021

WARRANTY OF CONSTRUCTION (APR 1984)

- (a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (j) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.
- (b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.
- (c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--
  - (1) The Contractor's failure to conform to contract requirements; or
  - (2) Any defect of equipment, material, workmanship, or design furnished.
- (d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.
- (e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.
- (f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.
- (g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--
  - Obtain all warranties that would be given in normal commercial practice;
  - (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
  - (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.
- (h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to

enforce a subcontractor's, manufacturer's, or supplier's warranty.

- (i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.
- (j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.

(End of clause) (R 7-604.4 1976 JUL) END OF SECTION H

#### DACW45-93-R-0077

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# SECTION I CONTRACT CLAUSES

I.1 52.202-0001

#### DEFINITIONS (SEPT 1991)

- (a) "Head of the agency" (also called "agency head") or "Secretary" means the Secretary (or Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, including any deputy or assistant chief official of the agency; and the term "authorized representative" means any person, persons, or board (other than the Contracting Officer) authorized to act for the head of the agency or Secretary.
- (b) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (c) Except as otherwise provided in this contract, the term "subcontracts" includes, but is not limited to, purchase orders and changes and modifications to purchase orders under this contract.

(End of clause)

(R 7-103.1 1979 MAR)

(R 7-203.1)

(R 7-302.1)

(R 7-402.1)

(R 7-901.1)

(R 7-1902.1)

(R 7-1909.1)

I.2 52.203-0001

#### OFFICIALS NOT TO BENEFIT (APR 1984)

No member of or delegate to Congress, or resident commissioner, shall be admitted to any share or part of this contract, or to any benefit arising from it. However, this clause does not apply to this contract to the extent that this contract is made with a corporation for the corporation's general benefit.

(End of clause)
(R 7-103.19 1949 JUL)
(R 1-7.102-17)

I.3 52.203-0003

# GRATUITIES (APR 1984)

- (a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--
  - (1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and
  - (2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.

- (b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.
- (c) If this contract is terminated under paragraph (a) above, the Government is entitled--
  - (1) To pursue the same remedies as in a breach of the contract; and
  - (2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)
- (d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

(R 7-104.16 1952 MAR)

COVENANT AGAINST CONTINGENT FEES (APR 1984)

full amount of the contingent fee.

- (a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the
- (b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.

"Bona fide employee," as used in this clause, means a person, employed by a Contractor and subject to the Contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.

"Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.

"Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

52.203-0005

(End of clause)
(R 7-103.20 1958 JAN)
(R 1-1.503)
(R 1-7.102-18)

I.5 52.203-0006

RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (JUL 1985)

- (a) Except as provided in (b) below, the Contractor shall not enter into any agreement with an actual or prospective subcontractor, nor otherwise act in any manner, which has or may have the effect of restricting sales by such subcontractors directly to the Government of any item or process (including computer software) made or furnished by the subcontractor under this contract or under any follow-on production contract.
- (b) The prohibition in (a) above does not preclude the Contractor from asserting rights that are otherwise authorized by law or regulation.
- (c) The Contractor agrees to incorporate the substance of this clause, including this paragraph (c), in all subcontracts under this contract.

  (End of clause)

1.6 52.203-0007

ANTI-KICKBACK PROCEDURES (OCT 1988)

#### (a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract.

"Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor" as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime

contract or a subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

- (b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from--
  - Providing or attempting to provide or offering to provide any kickback;
    - (2) Soliciting, accepting, or attempting to accept any kickback; or
  - (3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.
- (c) (1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.
  - (2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.
  - (3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.
  - (4) The Contracting Officer may (i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or (ii) direct that the Prime Contractor withhold from sums owed a subcontractor under the prime contract the amount of the kickback. The Contracting Officer may order that monies withheld under subdivision (c) (4) (ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c) (4) (i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.
  - (5) The Contractor agrees to incorporate the substance of this clause, including subparagraph (c) (5) but excepting subparagraph (c) (1), in all subcontracts under this contract.

(End of clause)

REQUIREMENT FOR CERTIFICATE OF PROCUREMENT INTEGRITY--MODIFICATION (NOV 1990)

(a) Definitions. The definitions set forth in FAR 3.104-4 are hereby

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incorporated in this clause.

- (b) The Contractor agrees that it will execute the certification set forth in paragraph (c) of this clause when requested by the Contracting Officer in connection with the execution of any modification of this contract.
- (c) Certification. As required in paragraph (b) of this clause, the officer or employee responsible for the modification proposal shall execute the following certification:

ecute the following certification:
CERTIFICATE OF PROCUREMENT INTEGRITYMODIFICATION (NOV 1990)
(1) I,[Name of certifier] am the officer or
employee responsible for the preparation of this modification proposal
and hereby certify that, to the best of my knowledge and belief, with
the exception of any information described in this certification, I have
no information concerning a violation or possible violation of
subsection 27(a), (b), (d) or (f) of the Office of Federal Procurement
Policy Act, as amended+ (41 U.S.C. 423), (hereinafter referred to as
"the Act"), as implemented in the FAR, occurring during the conduct of
this procurement(contract and modification number).
(2) As required by subsection 27(e)(1)(B) of the Act, I further certify
that to the best of my knowledge and belief, each officer, employee,
agent, representative, and consultant of[Name
of Offeror] who has participated personally and substantially in the
preparation or submission of this proposal has certified that he or she
is familiar with, and will comply with, the requirements of subsection
27(a) of the Act, as implemented in the FAR, and will report immediately
to me any information concerning a violation or possible violation of
subsections 27(a), (b), (d), or (f) of the Act, as implemented in the
FAR, pertaining to this procurement.

if necessary and label Certificate			of Proc	urement	IntegrityModification		
(Continuat	ion Shee	t), enti	R "NONE"	IF	NONE	EXISTS)	
		3					
	·						

(3) Violations or possible violations: (Continue on plain bond paper

[Signature of the officer or employee responsible for the modification proposal and date]

[Typed name of the officer or employee responsible for the modification proposal]

+ Subsections 27(a), (b), and (d) are effective on December 1, 1990. Subsection 27(f) is effective on June 1, 1991.

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY

OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER TITLE 18, UNITED STATES CODE, SECTION 1001.

#### (End of certification)

- (d) In making the certification in paragraph (2) of the certificate, the officer or employee of the competing Contractor responsible for the offer or bid, may rely upon a one-time certification from each individual required to submit a certification to the competing Contractor, supplemented by periodic training. These certifications shall be obtained at the earliest possible date after an individual required to certify begins employment or association with the Contractor. If a Contractor decides to rely on a certification executed prior to the suspension of section 27 (i.e., prior to December 1, 1989), the Contractor shall ensure that an individual who has so certified is notified that section 27 has been reinstated. These certifications shall be maintained by the Contractor for a period of 6 years from the date a certifying employee's employment with the company ends or, for an agency, representative, or consultant, 6 years from the date such individual ceases to act on behalf of the Contractor.
- (e) The certification required by paragraph (c) of this clause is a material representation of fact upon which reliance will be placed in executing this modification.

#### (End of clause)

# PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (SEP 1990)

- (a) The Government, at its election, may reduce the price of a fixed-price type contract or contract modification and the total cost and fee under a cost-type contract or contract modification by the amount of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or his or her designee determines that there was a violation of subsection 27(a) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in the FAR. In the case of a contract modification, the fee subject to reduction is the fee specified in the particular contract modification at the time of execution, except as provided in subparagraph (b) (5) of this clause.
- (b) The price or fee reduction referred to in paragraph (a) of this clause shall be--
  - (1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;
  - (2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;
    - (3) For cost-plus-award-fee contracts--
      - (i) The base fee established in the contract at the time of contract

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- (ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.
   (4) For fixed-price-incentive contracts, the Government may--
- (i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or
- (ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.
- (5) For firm-fixed-price contracts or contract modifications, by 10 percent of the initial contract price; 10 percent of the contract modification price; or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award or modification.
- (c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.
- (d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

# (End of clause)

LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JAN 1990)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in

"Covered Federal action," as used in this clause, means any of the following Federal actions:

- (a) The awarding of any Federal contract.
- (b) The making of any Federal grant.

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- (c) The making of any Federal loan.
- (d) The entering into of any cooperative agreement.
- (e) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Matives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

- (a) An individual who is appointed to a position in the Government under title 5, United States Code, including a position under a temporary appointment.
- (b) A member of the uniformed services, as defined in subsection 101(3), title 37, United States Code.
- (c) A special Government employee, as defined in section 202, title 18, United States Code.
- (d) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private

sector.

"Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Pederal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State," as used in this clause, means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

#### (b) Prohibitions.

- (1) Section 1352 of title 31, United States Code, among other things, prohibits a recipient of a Federal contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any Cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.
- (3) The prohibitions of the Act do not apply under the following conditions:
  - (i) Agency and legislative liaison by own employees.
  - (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of

- a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.
- (B) For purposes of subdivision (b) (3) (i) (A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.
- (C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:
  - (1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.
  - (2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.
- (D) The following agency and legislative limits activities are permitted where they are prior to formal solicitation of any covered Federal action--
  - (1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action:
  - (2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and
  - (3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.
- (E) Only those services expressly authorized by subdivision (b) (3) (i) (A) of this clause are permitted under this clause. (ii) Professional and technical services.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--
- (1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.
- (2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for

professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

- (B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.
- (C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.
- (D) Only those services expressly authorized by subdivisions (b) (3) (ii) (A) (1) and (2) of this clause are permitted under this
- (B) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.
- (c) Disclosure.
- (1) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, CMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or

has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b) (1) of this clause, if paid for with appropriated funds.

- (2) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c) (1) of this clause. An event that materially affects the accuracy of the information reported includes--
  - (i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or
  - (ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or
  - (iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.
- (3) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.
- (4) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.
- (d) Agreement. The Contractor agrees not to make any payment prohibited by this clause.
  - (e) Penalties.
  - (1) Any person who makes an expenditure prohibited under paragraph
    (a) of this clause or who fails to file or amend the disclosure form to
    be filed or amended by paragraph (b) of this clause shall be subject to
    civil penalties as provided for by 31 U.S.C. 1352. An imposition of a
    civil penalty does not prevent the Government from seeking any other
    remedy that may be applicable.
  - (2) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.
- (f) Cost allowability. Mothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

(End of clause)

#### SPECIAL PROHIBITION ON EMPLOYMENT (DEC 1991)

- (a) Definitions.
- As used in this clause --
- (1) "Arising out of a contract with the DoD" means any act in connection with--
  - (i) Attempting to obtain,
  - (ii) Obtaining, or
  - (iii) Performing a contract or first-tier subcontract of any agency, department, or component of the Department of Defense (DoD).
- (2) "Conviction of fraud or any other felony" means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of nolo contendere, for which sentence has been imposed.
- (3) "Date of conviction" means the date judgment was entered against the individual.
- (b) 10 U.S.C. 2408 provides that any individual who is convicted after September 29, 1988, of fraud or any other felony arising out of a contract with the DoD is prohibited from:
  - (1) Working in a management or supervisory capacity on any DoD contract or first-tier subcontract:
  - (2) Serving on the board of directors of any DoD Contractor or first-tier subcontractor; or
  - (3) Serving as a consultant to any DoD Contractor or first-tier subcontractor.
- (c) Unless waived, the prohibition in paragraph (b) applies for five years from the date of conviction.
- (d) 10 U.S.C. 2408 further provides that a defense Contractor or firsttier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly--
  - (1) Employing a person under a prohibition specified in paragraph (b) of this clause; or
  - (2) Allowing such a person to serve on the board of directors of the Contractor or first-tier subcontractor.
- (e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as--
  - (1) Suspension or debarment;
  - (2) Cancellation of the contract at no cost to the Government; or
  - (3) Termination of the contract for default.
- (f) The Contractor may submit written requests for waiver of the prohibitions in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify--
  - (1) The person involved;
  - (2) The nature of the conviction and resultant sentence or punishment

#### imposed;

- (3) The reasons for the requested waiver; and,
- (4) An explanation of why a waiver is in the interest of national security.
- (g) The Contractor agrees to include the substance of this clause, appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding \$25,000.

#### (End of clause)

I.11 52.204-0002

# SECURITY REQUIREMENTS (APR 1984)

- (a) This clause applies to the extent that this contract involves access to information classified "Confidential," "Secret," or "Top Secret."
- (b) The Contractor shall comply with (1) the Security Agreement (DD Form 441), including the Department of Defense Industrial Security Manual for Safeguarding Classified Information (DOD 5220.22-M), and (2) any revisions to that manual, notice of which has been furnished to the Contractor.
- (c) If, subsequent to the date of this contract, the security classification or security requirements under this contract are changed by the Government and if the changes cause an increase or decrease in security costs or otherwise affect any other term or condition of this contract, the contract shall be subject to an equitable adjustment as if the changes were directed under the Changes clause of this contract.
- (d) The Contractor agrees to insert terms that conform substantially to the language of this clause, including this paragraph (d) but excluding any reference to the Changes clause of this contract, in all subcontracts under this contract that involve access to classified information.

# (End of clause)

- (R 7-104.12 1971 APR)
- (R 7-204.12 1971 APR)
- (R 7-702.29 1973 APR)
- (R 7-704.22 1976 JUL)
- (R 7-902.3 1976 JUL)

PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (NOV 1992)

- (a) The Government suspends or debars Contractors to protect the Government's interest. The Contractor shall not enter into any subcontract in excess of the small purchase limitation at FAR 13.000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.
  - (b) The Contractor shall require each proposed first-tier subcontractor,

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whose subcontract will exceed the small purchase limitation at FAR 13.000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principals, is or is not debarred, suspended, or proposed for debarrent by the Federal Government.

- (c) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Procurement Programs). The notice must include the following:
  - (1) The name of the subcontractor.
  - (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Procurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded from . Procurement Programs.
  - (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

(End of clause)

1.13 52.210-0005

I.14 52.210-0006

NEW MATERIAL (APR 1984)

Unless this contract specifies otherwise, the Contractor represents that the supplies and components, including any former Government property identified under the Used or Reconditioned Material, Residual Inventory, and Former Government Surplus Property clause of this contract, are new, including recycled (not used or reconditioned) and are not of such age or so deteriorated as to impair their usefulness or safety. If the Contractor believes that furnishing used or reconditioned supplies or components will be in the Government's interest, the Contractor shall so notify the Contracting Officer in writing. The Contractor's notice shall include the reasons for the request along with a proposal for any consideration to the Government if the Contracting Officer authorizes the use of used or reconditioned supplies or components.

(End of clause)

(SS 7-104.48 1965 JAN)

LISTING OF USED OR RECONDITIONED MATERIAL, RESIDUAL INVENTORY, AND FORMER GOVERNMENT SURPLUS PROPERTY (APR 1984)

(a) If the offeror proposes to furnish items or components which are used or reconditioned material, residual inventory resulting from terminated Government contracts, or former Government surplus property, the offeror shall provide the following information as an attachment to the offer: a complete description of the items or components; quantity; name of

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Government agency from which acquired; and date of acquisition, if applicable. No used, reconditioned, residual inventory, or former Government surplus property other than that listed on the attachment shall be furnished under the resulting contract unless authorized in writing by the Contracting Officer.

(b) All items to be furnished under the resultant contract must comply with the terms and specifications contained in the contract.

(End of provision)

(R 7-104.49 1965 JAN)

USED OR RECONDITIONED MATERIAL, RESIDUAL INVENTORY, AND FORMER GOVERNMENT SURPLUS PROPERTY (APR 1984)

- (a) The Contractor shall not furnish any item or component which is used or reconditioned material, residual inventory resulting from terminated Government contracts, or former Government surplus property, unless such item or component was listed in the applicable attachment to the offer and approved by the Contracting Officer or unless otherwise authorized in writing by the Contracting Officer.
- (b) All items or components furnished under this contract shall comply with the terms and specifications contained in the contract.

(End of clause)

(R 7-104.49 1965 JAN)

I.16 52.215-0001

I.15 52.210-0007

EXAMINATION OF RECORDS BY COMPTROLLER GENERAL (FEB 1993)

- (a) This clause applies if this contract exceeds the small purchase limitation in Part 13 of the Federal Acquisition Regulation (FAR) and was entered into by negotiation.
- (b) The Comptroller General of the United States or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under this contract or for any shorter period specified in FAR Subpart 4.7, Contractor Records Retention, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract.
- (c) The Contractor agrees to include in first-tier subcontracts under this contract a clause to the effect that the Comptroller General or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under the subcontract or for any shorter period specified in FAR Subpart 4.7, have access to and the right to examine any of the subcontractor's directly pertinent books, documents, papers, or other records involving transactions related to the subcontract.

  "Subcontract" as used in this clause, excludes (1) purchase orders not exceeding the FAR Part 13 small purchase limitation and (2) subcontracts or purchase orders for public utility services at rates established to apply

uniformly to the public, plus any applicable reasonable connection charge.

(d) The periods of access and examination in paragraphs (b) and (c) above for records relating to (1) appeals under the Disputes clause, (2)

litigation or settlement of claims arising from the performance of this contract, or (3) costs and expenses of this contract to which the Comptroller General or a duly authorized representative from the General Accounting Office has taken exception shall continue until such appeals, litigation, claims, or exceptions are disposed of.

(End of clause)
(R 7-104.15 1975 JUN)
(R 1-7.103-3)

I.17 52.215-0002

AUDIT -- NEGOTIATION (FEB 1993)

- (a) Examination of costs. If this is a cost-reimbursement, incentive, time-and-materials, labor-hour, or price-redeterminable contract, or any combination of these, the Contractor shall maintain—and the Contracting Officer or representatives of the Contracting Officer shall have the right to examine and audit—books, records, documents, and other evidence and accounting procedures and practices, regardless of form (e.g., machine readable media such as disk, tape, etc.) or type (e.g., data bases, applications software, data base management software, utilities, etc.), sufficient to reflect properly all costs claimed to have been incurred or anticipated to be incurred in performing this contract. This right of examinations shall include inspection at all reasonable times of the Contractor's plants, or parts of them, engaged in performing the contract.
- (b) Cost or pricing data. If, pursuant to law, the Contractor has been required to submit cost or pricing data in connection with pricing this contract or any modification to this contract, the Contracting Officer or representatives of the Contracting Officer who are employees of the Government shall have the right to examine and audit all of the Contractor's books, records, documents, and other data regardless of form (e.g., machine readable media such as disk, tape, etc.) or type (e.g., data bases, applications software, data base management software, utilities, etc.), including computations and projections, related to proposing, negotiating, pricing, or performing the contract or modification, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data. The right of examination shall extend to all documents necessary to permit adequate evaluation of the cost or pricing data submitted, along with the computations and projections used.
- (c) Reports. If the Contractor is required to furnish cost, funding, or performance reports, the Contracting Officer or representatives of the Contracting Officer who are employees of the Government shall have the right to examine and audit books, records, other documents, and supporting materials, for the purpose of evaluating (1) the effectiveness of the

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Contractor's policies and procedures to produce data compatible with the objectives of these reports and (2) the data reported.

- (d) Availability. The Contractor shall make available at its office at all reasonable times the materials described in paragraphs (a) and (b) above, for examination, audit, or reproduction, until 3 years after final payment under this contract, or for any shorter period specified in Subpart 4.7, Contractor Records Retention, of the Federal Acquisition Regulation (FAR), or for any longer period required by statute or by other clauses of this contract. In addition--
  - (1) If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement; and
- (2) Records relating to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to this contract shall be made available until such appeals, litigation, or claims are disposed of.
- (e) Except as otherwise provided in FAR Subpart 4.7, Contractor Records Retention, the Contractor may transfer computer data in machine readable form from one reliable computer medium to another. The Contractor's computer data retention and transfer procedures shall maintain the integrity, reliability, and security of the original data. The contractor's choice of form or type of materials described in paragraphs (a), (b), and (c) of this clause affects neither the Contractor's obligations nor the Government's rights under this clause.
- (f) The Contractor shall insert a clause containing all the terms of this clause, including this paragraph (f), in all subcontracts under this contract that are over the small purchase limitation in FAR Part 13, altering the clause only as necessary to identify properly the contracting parties and the Contracting Officer under the Government prime Contract.

#### (End of clause)

# PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA (JAM 1991)

(a) If any price, including profit or fee, negotiated in connection with this contract, or any cost reimbursable under this contract, was increased by any significant amount because (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data, (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data, or (3) any of these parties furnished data of any description that were not accurate, the price or cost shall be reduced accordingly and the contract shall be modified to reflect the reduction.

I.18 52.215-0022

- (b) Any reduction in the contract price under paragraph (a) above due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which (1) the actual subcontract or (2) the actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.
- (c)(1) If the Contracting Officer determines under paragraph (a) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:
  - (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.
  - (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.
  - (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.
  - (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
  - (2) (i) Except as prohibited by subdivision (c) (2) (ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if--
    - (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
    - (B) The Contractor proves that the cost or pricing data were available before the date of agreement on the price of the contract (or price of the modification) and that the data were not submitted before such date.
    - (ii) An offset shall not be allowed if--
    - (A) The understated data was known by the Contractor to be understated when the Certificate of Current Cost or Pricing Data was signed; or
    - (8) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the date of agreement on price.
  - (d) If any reduction in the contract price under this clause reduces

the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid--

- (1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and
- (2) For Department of Defense contracts only, a penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data which were incomplete, inaccurate, or noncurrent.

#### (End of clause)

I.19 52.215-0023

PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA--HODIFICATIONS (DEC 1991)

- (a) This clause shall become operative only for any modification to this contract involving a pricing adjustment expected to exceed \$100,000, or for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, expected to exceed \$500,000, except that this clause does not apply to any modification for which the price is--
  - (1) Based on adequate price competition;
  - (2) Based on established catalog or market prices of commercial items sold in substantial quantities to the general public; or
    - (3) Set by law or regulation.
- (b) If any price, including profit or fee, negotiated in connection with any modification under this clause, or any cost reimbursable under this contract, was increased by any significant amount because (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Fricing Data, (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Fricing Data, or (3) any of these parties furnished data of any description that were not accurate, the price or cost shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) above.
- (c) Any reduction in the contract price under paragraph (b) above due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus

applicable overhead and profit markup, by which (1) the actual subcontract or (2) the actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.

- (d)(1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:
  - (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.
  - (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.
    - (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.
    - (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
- (2) (i) Except as prohibited by subdivision (d) (2) (ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if---
  - (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
  - (B) The Contractor proves that the cost or pricing data were available before the date of agreement on the price of the contract (or price of the modification) and that the data were not submitted before such date.
  - (ii) An offset shall not be allowed if--
  - (A) The understated data was known by the Contractor to be understated when the Certificate of Current Cost or Pricing Data was signed; or
  - (B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the date of agreement on price.
- (e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is

#### repaid --

- (1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and
- (2) For Department of Defense contracts only, a penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data which were incomplete, inaccurate, or noncurrent.

+The \$500,000 threshold shall revert to \$100,000 after December 31, 1995. Therefore, all subcontracts awarded after December 31, 1995, and/or all changes or modifications made after December 31, 1995, shall be subject to the \$100,000 threshold.

#### (End of clause)

#### SUBCONTRACTOR COST OR PRICING DATA (DEC 1991)

- (a) Before awarding any subcontract expected to exceed \$100,000, or for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, expected to exceed \$500,000, when entered into, or before pricing any subcontract modification involving a pricing adjustment expected to exceed \$100,000, or for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, expected to exceed \$500,000, the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless the price is--
  - (1) Based on adequate price competition;
  - (2) Based on established catalog or market prices of commercial items sold in substantial quantities to the general public; or
    - (3) Set by law or regulation.
- (b) The Contractor shall require the subcontractor to certify in substantially the form prescribed in subsection 15.804-4 of the Federal Acquisition Regulation (FAR) that, to the best of its knowledge and belief, the data submitted under paragraph (a) above were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.
- (c) In each subcontract that exceeds \$100,000, or for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, in each subcontract that exceeds \$500,000, when entered into, the Contractor shall insert either--
  - (1) The substance of this clause, including this paragraph (c), if paragraph (a) above requires submission of cost or pricing data for the subcontract; or

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1.20 52.215-0024

(2) The substance of the clause at FAR 52.215-25, Subcontractor Cost or Pricing Data--Modifications.

+The \$500,000 threshold shall revert to \$100,000 after December 31, 1995. Therefore, all subcontracts awarded after December 31, 1995, and/or all changes or modifications made after December 31, 1995, shall be subject to the \$100,000 threshold.

(End of clause)

SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS (DEC 1991)

- (a) The requirements of paragraphs (b) and (c) of this clause shall (1) become operative only for any modification to this contract involving a pricing adjustment expected to exceed \$100,000, or for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, expected to exceed \$500,000; and (2) be limited to such modifications.
- (b) Before awarding any subcontract expected to exceed \$100,000, or \$500,000 for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, when entered into, or pricing any subcontract modification involving a pricing adjustment expected to exceed \$100,000, or for the Department of Defense, the Mational Aeronautics and Space Administration, and the Coast Guard, expected to exceed \$500,000, the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless the price is-
  - (1) Based on adequate price competition;
  - (2) Based on established catalog or market prices of commercial items sold in substantial quantities to the general public; or
    - (3) Set by law or regulation.
- (c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in subsection 15.804-4 of the Federal Acquisition Regulation (FAR) that, to the best of its knowledge and belief, the data submitted under paragraph (b) above were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.
- (d) The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that exceeds \$100,000, or for the Department of Defense, the National Aeronautics and Space Administration, and the Coast Guard, in each subcontract that exceeds \$500,000, when entered into.

(End of clause)

TERMINATION OF DEFINED BENEFIT PENSION PLANS (SEP 1989)

The Contractor shall promptly notify the Contracting Officer in writing when it determines that it will terminate a defined benefit pension plan or

I.22 52.215-0027

T.21 52.215-0025

otherwise recapture such pension fund assets. If pension fund assets revert to the Contractor or are constructively received by it under a termination or otherwise, the Contractor shall make a refund or give a credit to the Government for its equitable share as required by FAR 31.205-6(j)(4). The Contractor shall include the substance of this clause in all subcontracts under this contract which meet the applicability requirement of FAR 15.804-8(e).

(End of clause)

1.23 52.215-0030

PACILITIES CAPITAL COST OF MOMEY (SEP 1987)

- (a) Facilities capital cost of money will be an allowable cost under the contemplated contract, if the criteria for allowability in subparagraph 31.205-10(a)(2) of the Federal Acquisition Regulation are met. One of the allowability criteria requires the prospective contractor to propose facilities capital cost of money in its offer.
- (b) If the prospective Contractor does not propose this cost, the resulting contract will include the clause Waiver of Facilities Capital Cost of Money.

(End of provision)

I.24 52.215-0033

ORDER OF PRECEDENCE (JAN 1986)

Any inconsistency in this solicitation or contract shall be resolved by giving precedence in the following order: (a) the Schedule (excluding the specifications); (b) representations and other instructions; (c) contract clauses; (d) other documents, exhibits, and attachments; and (e) the specifications.

#### (End of clause)

I.25 52.219-0008

UTILIZATION OF SPALL BUSINESS CONCERNS AND SMALL DISADVANTAGED BUSINESS CONCERNS (FEB 1990)

- (a) It is the policy of the United States that small business concerns and small business concerns owned and controlled by socially and economically disadvantaged individuals shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns and small business concerns owned and controlled by socially and economically disadvantaged individuals.
- (b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business

Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

(c) As used in this contract, the term "small business concern" shall mean a small business as defined pursuant to section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto. The term "small business concern owned and controlled by socially and economically disadvantaged individuals" shall mean a small business concern (1) which is at least 51 percent unconditionally owned by one or more socially and economically disadvantaged individuals; or, in the case of any publicly owned business, at least 51 per centum of the stock of which is unconditionally owned by one or more socially and economically disadvantaged individuals; and (2) whose management and daily business operations are controlled by one or more of such individuals. This term also means a small business concern that is at least 51 percent unconditionally owned by an economically disadvantaged Indian tribe or Native Hawaiian Organization, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one of these entities which has its management and daily business controlled by members of an economically disadvantaged Indian tribe or Mative Hawaiian Organization. and which meets the requirements of 13 CFR 124. The Contractor shall presume that socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Mative Americans, Asian-Pacific Americans, Subcontinent Asian Americans, and other minorities, or any other individual found to be disadvantaged by the Administration pursuant to section \$(a) of the Small Business Act. The Contractor shall presume that socially and economically disadvantaged entities also include Indian Tribes and Mative Hawaiian Organizations.

(d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as either a small business concern or a small business concern owned and controlled by socially and economically disadvantaged individuals.

(End of clause)

SHALL BUSINESS AND SHALL DISADVANTAGED BUSINESS SUBCONTRACTING PLAN (JAN 1991)

- (a) This clause does not apply to small business concerns.
- (b) "Commercial product," as used in this clause, means a product in regular production that is sold in substantial quantities to the general public and/or industry at established catalog or market prices. It also means a product which, in the opinion of the Contracting Officer, differs only insignificantly from the Contractor's commercial product.

"Subcontract," as used in this clause, means any agreement (other than one involving an employer-employee relationship) entered into by a

I.26 52.219-0009

Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

- (c) The offeror, upon request by the Contracting Officer, shall submit and negotiate a subcontracting plan, where applicable, which separately addresses subcontracting with small business concerns and with small disadvantaged business concerns. If the offeror is submitting an individual contract plan, the plan must separately address subcontracting with small business concerns and with small disadvantaged business concerns with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate the subcontracting plan shall make the offeror ineligible for award of a contract.
- (d) The offeror's subcontracting plan shall include the following:
  - (1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business concerns and small disadvantaged business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.
    - (2) A statement of --
      - (i) Total dollars planned to be subcontracted;
    - (ii) Total dollars planned to be subcontracted to small business concerns; and
    - (iii) Total dollars planned to be subcontracted to small disadvantaged business concerns.
  - (3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to (i) small business concerns and (ii) small disadvantaged business concerns.
- (4) A description of the method used to develop the subcontracting goals in (1) above.
- (5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Automated Source System (PASS) of the Small Business Administration, the Mational Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small and small disadvantaged business concerns trade associations).
- (6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with (i) small business concerns and (ii) small disadvantaged

business concerns.

- (7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.
- (8) A description of the efforts the offeror will make to assure that small business concerns and small disadvantaged business concerns have an equitable opportunity to compete for subcontracts.
- (9) Assurances that the offeror will include the clause in this contract entitled "Utilization of Small Business Concerns and Small Disadvantaged Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) who receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility), to adopt a plan similar to the plan agreed to by the offeror.
- (10) Assurances that the offeror will (i) cooperate in any studies or surveys as may be required, (ii) submit periodic reports in order to allow the Government to determine the extent of compliance by the offeror with the subcontracting plan, (iii) submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with the instructions on the forms, and (iv) ensure that its subcontractors agree to submit Standard Forms 294 and 295.
- (11) A recitation of the types of records the offeror will maintain to demonstrate procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of its efforts to locate small and small disadvantaged business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated):
  - (i) Source lists, guides, and other data that identify small and small disadvantaged business concerns.
  - (ii) Organisations contacted in an attempt to locate sources that are small or small disadvantaged business concerns.
  - (iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating (A) whether small business concerns were solicited and if not, why not, (B) whather small disadvantaged business concerns were solicited and if not, why not, and (C) if applicable, the reason award was not made to a small business concern.
  - (iv) Records of any outreach efforts to contact (A) trade associations, (B) business development organisations, and (C) conferences and trade fairs to locate small and small disadvantaged business sources.
  - (v) Records of internal guidance and encouragement provided to buyers through (A) workshops, seminars, training, etc., and (B)

monitoring performance to evaluate compliance with the programs's requirements.

- (vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having company or division-wide annual plans need not comply with this requirement.
- (e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:
  - (1) Assist small business and small disadvantaged business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business and small disadvantaged subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.
  - (2) Provide adequate and timely consideration of the potentialities of small business and small disadvantaged business concerns in all "make-or-buy" decisions.
  - (3) Counsel and discuss subcontracting opportunities with representatives of small and small disadvantaged business firms.
  - (4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small business or small disadvantaged business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.
- (f) A master subcontracting plan on a plant or division-wide basis which contains all the elements required by (d) above, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided, (1) the master plan has been approved, (2) the offeror provides copies of the approved master plan and evidence of its approval to the Contracting Officer, and (3) goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.
- (g) (1) If a commercial product is offered, the subcontracting plan required by this clause may relate to the offeror's production generally, for both commercial and noncommercial products, rather than solely to the Government contract. In these cases, the offeror shall, with the concurrence of the Contracting Officer, submit one company-wide or division-wide annual plan.
  - (2) The annual plan shall be reviewed for approval by the agency awarding the offeror its first prime contract requiring a subcontracting

plan during the fiscal year, or by an agency satisfactory to the Contracting Officer.

- (3) The approved plan shall remain in effect during the offeror's fiscal year for all of the offeror's commercial products.
- (h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.
- (i) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization of Small Business Concerns and Small Disadvantaged Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the

#### (End of clause)

I.27 52.219-0010

INCENTIVE SUBCONTRACTING PROGRAM FOR SIGALL AND SIGALL DISANVANTAGED BUSINESS CONCERNS (APR 1984)

- (a) Of the total dollars it plans to spend under subcontracts, the Contractor has committed itself in its subcontracting plan to try to award a certain percentage to small business concerns and a certain percentage to small disadvantaged business concerns.
- (b) If the Contractor exceeds its subcontracting goals in performing this contract, it will receive 10 percent of the dollars in excess of each goal in the plan, unless the Contracting Officer determines that the excess was not due to the Contractor's efforts (e.g., a subcontractor cost overrun caused the actual subcontract amount to exceed that estimated in the subcontracting plan, or the award of subcontracts that had been planned but had not been disclosed in the subcontracting plan during contract negotiations). Determinations made under this paragraph are not subject to the Disputes clause.
- (c) If this is a cost-plus-fixed-fee contract, the sum of the fixed fee and the incentive fee earned under this contract may not exceed the limitations in Subpart 15.9 of the Pederal Acquisition Regulation.

(End of clause)

(R 7-104.14(d) 1979 JUL)

(R FPR Temp. Reg. SO 1979 JUH)

1.28 52.219-0013

UTILIZATION OF WOMEN-OWNED SHALL SUSTIMESSES (AUG 1986)

(a) "Women-owned small businesses," as used in this clause, means small business concerns that are at least 51 percent owned by women who are United States citizens and who also control and operate the business.

"Control," as used in this clause, means exercising the power to make policy decisions.

"Operate," as used in this clause, means being actively involved in the day-to-day management of the business.

- "Small business concern," as used in this clause, means a concern including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) It is the policy of the United States that women-owned small businesses shall have the maximum practicable opportunity to participate in performing contracts awarded by any Federal agency.
- (c) The Contractor agrees to use its best efforts to give women-owned small businesses the maximum practicable opportunity to participate in the subcontracts it awards to the fullest extent consistent with the efficient performance of its contract.
- (d) The Contractor may rely on written representations by its subcontractors regarding their status as women-owned small businesses. (End of clause)

LIQUIDATED DAMAGES -- SMALL BUSINESS SUBCONTRACTING PLAN (AUG 1989)

- (a) "Failure to make a good faith effort to comply with the subcontracting plan," as used in this subpart, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business and Small Disadvantaged Business Subcontracting Plan," or willful or intentional action to frustrate the plan.
- (b) If, at contract completion, or in the case of a commercial products plan, at the close of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled Smell and Smell Disadvantaged Business Subcontracting Plans, the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply, shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal or, in the case of a commercial products plan, that portion of the dollar amount allocable to Government contracts by which the Contractor failed to achieve each subcontract
- (c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a

1.29 52.219-0016

good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.

- (d) With respect to commercial products plans; i.e., company-wide or division-wide subcontracting plans approved under paragraph (g) of the clause in this contract entitled, Small Business and Small Disadvantaged Business Subcontracting Plan, the Contracting Officer of the agency that originally approved the plan will exercise the functions of the Contracting Officer under this clause on behalf of all agencies that awarded contracts covered by that commercial products plan.
- (e) The Contractor shall have the right of appeal, under the clause in this contract entitled, Disputes, from any final decision of the Contracting Officer.
- (f) Liquidated damages shall be in addition to any other remedies that Government may have.

(End of clause)

#### PREFERENCE FOR LABOR SURPLUS AREA CONCERNS (APR 1984)

- (a) This acquisition is not a set-aside for labor surplus area (LSA) concerns. However, the offeror's status as such a concern may affect (1) entitlement to award in case of tie offers, or (2) offer evaluation in accordance with the Buy American Act clause of this solicitation. In order to determine whether the offeror is entitled to a preference under (1) or (2) above, the offeror must identify, below, the LSA in which the costs to be incurred on account of manufacturing or production (by the offeror or the first-tier subcontractors) amount to more than 50% of the contract price.
- (b) Failure to identify the locations as specified above will preclude consideration of the offeror as an LSA concern. If the offeror is awarded a contract as an LSA concern and would not have otherwise qualified for award, the offeror shall perform the contract or cause the contract to be performed in accordance with the obligations of an LSA concern.

(End of provision)

MOTICE TO THE GOVERNMENT OF LABOR DISPUTES (APR 1984)

- (a) If the Contractor has knowledge that any actual or potential labor dispute is delaying or threatens to delay the timely performance of this contract, the Contractor shall immediately give notice, including all relevant information, to the Contracting Officer.
  - (b) The Contractor agrees to insert the substance of this clause,

I.31 52.222-0001

1.30 52.220-0001

I-31

including this paragraph (b), in any subcontract to which a labor dispute may delay the timely performance of this contract; except that each subcontract shall provide that in the event its timely performance is delayed or threatened by delay by any actual or potential labor dispute, the subcontractor shall immediately notify the next higher tier subcontractor or the prime Contractor, as the case may be, of all relevant information concerning the dispute.

(End of clause) (R 7-203.27 1967 JUN) (AV 7-104.4 1958 SEP) (AV 7-603.1 1958 SEP)

1.32 52.222-0003

CONVICT LABOR (APR 1984)

The Contractor agrees not to employ any person undergoing sentence of imprisonment in performing this contract except as provided by 18 U.S.C. 4082(c)(2) and Executive Order 11755, December 29, 1973.

(End of clause)

(R 7-104.17 1975 OCT)

(R 7-607.12 1975 OCT)

(R 1-12.204)

1.33 52.222-0004

CONTRACT WORK HOURS AND SAFETY STANDARDS ACT--OVERTIME COMPENSATION (MAR 1986)

- (a) Overtime requirements. We Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics (see Federal Acquisition Regulation (FAR) 22.300) shall require or permit any such laborers or mechanics in any workweek in which the individual is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than 1 1/2 times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
- (b) Violation; liability for unpaid weges; liquidated damages. In the event of any violation of the provisions set forth in paragraph (a) of this clause, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid weges. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or sechanic employed in violation of the provisions set forth in paragraph (a) of this clause in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime weges required by provisions set forth in paragraph (a) of this clause.

- (c) Withholding for unpaid wages and liquidated damages. The Contracting Officer shall upon his or her own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same Prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act which is held by the same Prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in paragraph (b) of this clause.
- (d) Payrolls and basic records. (1) The Contractor or subcontractor shall maintain payrolls and basic payroll records during the course of contract work and shall preserve them for a period of 3 years from the completion of the contract for all laborers and mechanics working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Nothing in this paragraph shall require the duplication of records required to be maintained for construction work by Department of Labor regulations at 29 CFR 5.5(a) (3) implementing the Davis-Bacon Act.
  - (2) The records to be maintained under paragraph (d) (1) of this clause shall be made available by the Contractor or subcontractor for inspection, copying, or transcription by authorised representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit such representatives to interview employees during working hours on the job.
- (e) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts the provisions set forth in paragraphs (a) through (e) of this clause and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the provisions set forth in paragraphs (a) through (e) of this clause.

### I.34 52.222-0026

### EQUAL OPPORTUNITY (APR 1984)

- (a) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with subparagraphs (b) (1) through (11) below. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.
  - (b) During performing this contract, the Contractor agrees as follows:

- (1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.
- (2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to, (i) employment, (ii) upgrading, (iii) demotion, (iv) transfer, (v) recruitment or recruitment advertising, (vi) layoff or termination, (vii) rates of pay or other forms of compensation, and (viii) selection for training, including apprenticeship.
- (3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that emplain this clause.
- (4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- (5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. Standard Form 100 (EEC-1), or any successor form, is the prescribed form to be filed within 30 days following the award, unless filed within 12 months preceding the date of award.
- (8) The Contractor shall permit access to its books, records, and accounts by the contracting agency or the Office of Federal Contract Compliance Programs (OFCCP) for the purposes of investigation to ascertain the Contractor's compliance with the applicable rules, regulations, and orders.
- (9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorised in Executive Order

11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended, the rules, regulations, and orders of the Secretary of Labor, or as otherwise provided by law.

- (10) The Contractor shall include the terms and conditions of subparagraph (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.
- (11) The Contractor shall take such action with respect to any subcontract or purchase order as the contracting agency may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance; provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.
- (c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

(End of clause)

(P. 7-103.18 1978 SEP)

(R 1-12.803-2)

(R 7-607.13 1978 SEP)

EQUAL OPPORTUNITY PREAMARD CLEARANCE OF SUBCONTRACTS (APR 1984)

Notwithstanding the clause of this contract entitled "Subcontracts," the Contractor shall not enter into a first-tier subcontract for an estimated or actual amount of \$1 million or more without obtaining in writing from the Contracting Officer a clearance that the proposed subcontractor is in compliance with equal opportunity requirements and therefore is eligible for award.

(End of clause)

(AV 7-104.22 1971 OCT)

I.36 52.222-0035

1.35 52.222-0028

APPIRENTIVE ACTION FOR SPECIAL DISABLED AND VIETNAM ERA VETERANS (APR 1984)

# (a) Definitions.

"Appropriate office of the State employment service system," as used in this clause, means the local office of the Federal-State national system of public employment offices assigned to serve the area where the employment opening is to be filled, including the District of Columbia, Guam, Puerto Rico, Virgin Islands, American Samoa, and the Trust Territory of the Pacific Islands.

\*Openings that the Contractor proposes to fill from within its own

organization," as used in this clause, means employment openings for which no one outside the Contractor's organization (including any affiliates, subsidiaries, and the parent companies) will be considered and includes any openings that the Contractor proposes to fill from regularly established "recall" lists.

"Openings that the Contractor proposes to fill under a customary and traditional employer-union hiring arrangement," as used in this clause, means employment openings that the Contractor proposes to fill from union halls, under their customary and traditional employer-union hiring relationship.

"Suitable employment openings," as used in this clause--

- (1) Includes, but is not limited to, openings that occur in jobs categorized as--
  - (i) Production and nonproduction;
  - (ii) Plant and office;
  - (iii) Laborers and mechanics;
  - (iv) Supervisory and nonsupervisory;
  - (v) Technical; and
  - (vi) Executive, administrative, and professional positions compensated on a salary basis of less than \$25,000 a year; and
- (2) Includes full-time employment, temporary employment of over 3 days, and part-time employment, but not openings that the Contractor proposes to fill from within its own organization or under a customary and traditional employer-union hiring arrangement, nor openings in an educational institution that are restricted to students of that institution.
- (b) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against the individual because the individual is a special disabled or Vietnam Era veteran. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified special disabled and Vietnam Era veterans without discrimination based upon their disability or veterans' status in all employment practices such as-
  - (i) Imployment;
  - (ii) Upgrading;
  - (iii) Demotion or transfer;
  - (iv) Recruitment;
  - (v) Advertising;
  - (vi) Layoff or termination;
  - (vii) Rates of pay or other forms of compensation; and
  - (viii) Selection for training, including apprenticeship.
  - (2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as

#### amended.

- (c) Listing openings. (1) The Contractor agrees to list all suitable employment openings existing at contract award or occurring during contract performance, at an appropriate office of the State employment service system in the locality where the opening occurs. These openings include those occurring at any Contractor facility, including one not connected with performing this contract. An independent corporate affiliate is exempt from this requirement.
  - (2) State and local government agencies holding Federal contracts of \$10,000 or more shall also list all their suitable openings with the appropriate office of the State employment service.
  - (3) The listing of suitable employment openings with the State employment service system is required at least concurrently with using any other recruitment source or effort and involves the obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.
  - (4) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State employment service system, in each State where it has establishments, of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State system, it need not advise the State system of subsequent contracts. The Contractor may advise the State system when it is no longer bound by this contract clause.
  - (5) Under the most compelling circumstances, an employment opening may not be suitable for listing, including situations when (i) the Government's needs cannot reasonably be supplied, (ii) listing would be contrary to national security, or (iii) the requirement of listing would not be in the Government's interest.
- (d) Applicability. (1) This clause does not apply to the listing of employment openings which occur and are filled outside the 50 States, the District of Columbia, Puerto Rico, Guam, Virgin Islands, American Samoa, and the Trust Territory of the Pacific Islands.
  - (2) The terms of paragraph (c) above of this clause do not apply to openings that the Contractor proposes to fill from within its own organization or under a customary and traditional employer-union hiring arrangement. This exclusion does not apply to a particular opening once an employer decides to consider applicants outside of its own organization or employer-union arrangement for that opening.
  - (e) Postings. (1) The Contractor agrees to post employment notices

stating (i) the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified special disabled veterans and veterans of the Vietnam era, and (ii) the rights of applicants and employees.

- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. They shall be in a form prescribed by the Director, Office of Federal Contract Compliance Programs, Department of Labor (Director), and provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of the Act, and is committed to take affirmative action to employ, and advance in employment, qualified special disabled and Vietness Era veterans.
- (f) Moncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (g) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Director to enforce the terms, including action for noncompliance.

(End of clause)

(R 7-103.27 1976 JUL)

(R FPR Temp. Reg. 39)

# I.37 52.222-0036

# AFFIRMATIVE ACTION FOR HANDICAPPED NORKERS (AFR 1984)

- (a) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental handicap. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified handicapped individuals without discrimination based upon their physical or mental handicap in all employment practices such as--
  - (i) Imployment;
  - (ii) Upgrading;
  - (iii) Demotion or transfer;
  - (iv) Recruitment;
  - (v) Advertising;
  - (vi) Layoff or termination;
  - (vii) Rates of pay or other forms of compensation; and
  - (viii) Selection for training, including apprenticeship.
  - (2) The Contractor agrees to comply with the rules, regulations, and

relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.

- (b) Postings. (1) The Contractor agrees to post employment notices stating (i) the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified handicapped individuals and (ii) the rights of applicants and employees.
  - (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. They shall be in a form prescribed by the Director, Office of Federal Contract Compliance Programs, Department of Labor (Director), and provided by or through the Contracting Officer.
  - (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified physically and mentally handicapped individuals.
- (c) Moncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$2,500 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Director to enforce the terms, including action for noncompliance.

(End of clause)

(R 7-103.28 1976 MAY)

(R FPR Temp. Reg. 38)

EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA (JAN 1986)

- (a) The contractor shall report at least annually, as required by the Secretary of Labor, on:
- (1) The number of special disabled veterans and the number of veterans of the Vietnem era in the workforce of the contractor by job category and hiring location; and
- (2) The total number of new employees hired during the period covered by the report, and of that total, the number of special disabled veterans, and the number of veterans of the Vietnam era.
- (b) The above items shall be reported by completing the form entitled "Federal Contractor Veterans' Employment Report VETS-100."
- (c) Reports shall be submitted no later than March 31 of each year beginning March 31, 1988.

I.38 52.222-0037

DACW45-93-R-0077

- (d) The employment activity report required by paragraph (a) (2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a) (1) of this clause. Contractors may select an ending date:

  (1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EMO-1 (Standard Form 100).
- (e) The count of veterans reported according to paragraph (a) of this clause shall be based on voluntary disclosure. Each contractor subject to the reporting requirements at 38 U.S.C. 2012(d) shall invite all special disabled veterans and veterans of the Vietnam era who wish to benefit under the affirmative action program at 38 U.S.C. 2012 to identify themselves to the contractor. The invitation shall state that the information is voluntarily provided, that the information will be kept confidential, that disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment and that the information will be used only in accordance with the regulations promulgated under 38 U.S.C. 2012.
- (f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary.

SERVICE CONTRACT ACT OF 1965, AS AMERICAD (NAY 1989)

(a) Definitions. "Act," as used in this clause, means the Service Contract Act of 1965, as amended (41 U.S.C. 351, et seq.).

"Contractor," as used in this clause or in any subcontract, shall be deemed to refer to the subcontractor, except in the term "Government Prime Contractor."

"Service employee," as used in this clause, means any person engaged in the performance of this contract other than any person employed in a bona fide executive, administrative, or professional especity, as these terms are defined in Part \$41 of Title 29. Code of Federal Regulations, as revised. It includes all such persons regardless of any contractual relationship that may be alleged to exist between a Contractor or subcontractor and such persons.

(b) Applicability. This contract is subject to the following provisions and to all other applicable provisions of the Act and regulations of the Secretary of Labor (29 CFR Part 4). This clause does not apply to contracts or subcontracts administratively excepted by the Secretary of Labor or excepted by 41 U.S.C. 356, as interpreted in Subpart C of 29 CFR Part 4.

I.39 52.222-0041

- (c) Compensation. (1) Each service employee employed in the performance of this contract by the Contractor or any subcontractor shall be paid not less than the minimum monetary wages and shall be furnished fringe benefits in accordance with the wages and fringe benefits determined by the Secretary of Labor, or authorized representative, as specified in any wage determination attached to this contract.
  - (2) (i) If a wage determination is attached to this contract, the Contractor shall classify any class of service employee which is not listed therein and which is to be employed under the contract (i.e., the work to be performed is not performed by any classification listed in the wage determination) so as to provide a reasonable relationship (i.e., appropriate level of skill comparison) between such unlisted classifications and the classifications listed in the wage determination. Such conformed class of employees shall be paid the monetary wages and furnished the fringe benefits as are determined pursuant to the procedures in this paragraph (c).
    - (ii) This conforming procedure shall be initiated by the Contractor prior to the performance of contract work by the unlisted class of employee. The Contractor shall submit Standard Form (SF) 1444. Request For Authorization of Additional Classification and Rate, to the Contracting Officer no later than 30 days after the unlisted class of employee performs any contract work. The Contracting Officer shall review the proposed classification and rate and promptly submit the completed SF 1444 (which must include information regarding the agreement or disagreement of the employees' authorised representatives or the employees themselves together with the agency recommendation), and all pertinent information to the Wage and Hour Division. Employment Standards Administration, U.S. Department of Labor. The Wage and Hour Division will approve, modify, or disapprove the action or render a final determination in the event of disagreement within 30 days of receipt or will notify the Contracting Officer within 30 days of receipt that additional time is necessary.
    - (iii) The final determination of the conformance action by the Wage and Hour Division shall be transmitted to the Contracting Officer who shall promptly notify the Contractor of the action taken. Each affected employee shall be furnished by the Contractor with a written copy of such determination or it shall be posted as a part of the wage determination.
    - (iv) (A) The process of establishing wage and fringe benefit rates that bear a reasonable relationship to those listed in a wage determination cannot be reduced to any single formula. The approach used may vary from wage determination to wage determination depending on the circumstances. Standard wage and salary administration practices which rank various job classifications by pay grade pursuant

to point schemes or other job factors may, for example, be relied upon. Guidance may also be obtained from the way different jobs are rated under Federal pay systems (Federal Wage Board Pay System and the General Schedule) or from other wage determinations issued in the same locality. Basic to the establishment of any conformable wage rate(s) is the concept that a pay relationship should be maintained between job classifications based on the skill required and the duties performed.

- (B) In the case of a contract modification, an exercise of an option, or extension of an existing contract, or in any other case where a Contractor succeeds a contract under which the classification in question was previously conformed pursuant to paragraph (c) of this clause, a new conformed wage rate and fringe benefits may be assigned to the conformed classification by indexing (i.e., adjusting) the previous conformed rate and fringe benefits by an amount equal to the average (mean) percentage increase (or decrease, where appropriate) between the wages and fringe benefits specified for all classifications to be used on the contract which are listed in the current wage determination, and those specified for the corresponding classifications in the previously applicable wage determination. Where conforming actions are accomplished in accordance with this paragraph prior to the performance of contract work by the unlisted class of employees, the Contractor shall advise the Contracting Officer of the action taken but the other procedures in subdivision (c)(2)(ii) of this clause need not be followed.
- (C) No employee engaged in performing work on this contract shall in any event be paid less than the currently applicable minimum wage specified under section 6(a)(1) of the Fair Labor Standards Act of 1936, as amended.
- (v) The wage rate and fringe benefits finally determined under this subparagraph (c) (2) of this clause shall be paid to all employees performing in the classification from the first day on which contract work is performed by them in the classification. Failure to pay the unlisted employees the compensation agreed upon by the interested perties and/or finally determined by the Wage and Hour Division retroactive, to the date such class of employees commenced contract work shall be a violation of the Act and this contract.
- (vi) Upon discovery of failure to comply with subparagraph (c) (2) of this clause, the Wage and Hour Divison shall make a final determination of conformed classification, wage rate, and/or fringe benefits which shall be retroactive to the date such class or classes of employees commenced contract work.
- (3) Adjustment of Compensation. If the term of this contract is more than 1 year, the minimum monetary weges and fringe benefits required to

be paid or furnished thereunder to service employees under this contract shall be subject to adjustment after 1 year and not less often than once every 2 years, under wage determinations issued by the Wage and Hour Division.

- (d) Obligation to Furnish Fringe Benefits. The Contractor or subcontractor may discharge the obligation to furnish fringe benefits specified in the attachment or determined under subparagraph (c) (2) of this clause by furnishing equivalent combinations of bona fide fringe benefits, or by making equivalent or differential cash payments, only in accordance with Subpart D of 29 CFR Part 4.
- (e) Minimum Wage. In the absence of a minimum wage attachment for this contract, neither the Contractor nor any subcontractor under this contract shall pay any person performing work under this contract (regardless of whather the person is a service employee) less than the minimum wage specified by section 6(a)(1) of the Fair Labor Standards Act of 1938. Nothing in this clause shall relieve the Contractor or any subcontractor of any other obligation under law or contract for payment of a higher wage to any employee.
- (f) Successor Contracts. If this contract succeeds a contract subject to the Act under which substantially the same services were furnished in the same locality and service employees were paid wages and fringe benefits provided for in a collective bargaining agreement, in the absence of the minimum wage attachment for this contract setting forth such collectively bargained wage rates and fringe benefits, neither the Contractor nor any subcontractor under this contract shall pay any service employee performing any of the contract work (regardless of whether or not such employee was employed under the predecessor contract), less than the wages and fringe benefits provided for in such collective bargaining agreement, to which such employee would have been entitled if employed under the predecessor contract, including accrued wages and fringe benefits and any prospective increases in wages and fringe benefits provided for under such agreement. No Contractor or subcontractor under this contract may be relieved of the foregoing obligation unless the limitations of 29 CFR 4.1b(b) apply or unless the Secretary of Labor or the Secretary's authorized representative finds, after a hearing as provided in 29 CFR 4.10 that the wages and/or fringe benefits provided for in such agreement are substantially at variance with those which prevail for services of a character similar in the locality, or determines, as provided in 29 CFR 4.11, that the collective bargaining agreement applicable to service employees employed under the predecessor contract was not entered into as a result of arm's length negotiations. Where it is found in accordance with the review procedures provided in 29 CFR 4.10 and/or 4.11 and Parts 6 and 8 that some or all of the wages and/or fringe benefits contained in a predecessor Contractor's collective bargaining agreement are substantially at variance

with those which prevail for services of a character similar in the locality, and/or that the collective bargaining agreement applicable to service employees employed under the predecessor contract was not entered into as a result of arm's length negotiations, the Department will issue a new or revised wage determination setting forth the applicable wage rates and fringe benefits. Such determination shall be made part of the contract or subcontract, in accordance with the decision of the Administrator, the Administrative Law Judge, or the Board of Service Contract Appeals, as the case may be, irrespective of whether such issuance occurs prior to or after the award of a contract or subcontract (53 Comp. Gen. 401 (1973)). In the case of a wage determination issued solely as a result of a finding of substantial variance, such determination shall be effective as of the date of the final administrative decision.

- (g) Motification to Employees. The Contractor and any subcontractor under this contract shall notify each service employee commencing work on this contract of the minimum monetary wage and any fringe benefits required to be paid pursuant to this contract, or shall post the wage determination attached to this contract. The poster provided by the Department of Labor (Publication NH 1313) shall be posted in a prominent and accessible place at the worksite. Failure to comply with this requirement is a violation of section 2(a)(4) of the Act and of this contract.
- (h) Safe and Sanitary Norking Conditions. The Contractor or subcontractor shall not permit any part of the services called for by this contract to be performed in buildings or surroundings or under working conditions provided by or under the control or supervision of the Contractor or subcontractor which are unsanitary, hazardous, or dangerous to the health or safety of the service employees. The Contractor or subcontractor shall comply with the safety and health standards applied under 29 CFR Part 1925.
- (i) Records. (1) The Contractor and each subcontractor performing work subject to the Act shall make and maintain for 3 years from the completion of the work, and make them available for inspection and transcription by authorized representatives of the Wage and Mour Division, Employment Standards Administration, a record of the following:
  - (i) For each employee subject to the Act--
    - (A) Name and address and social security number;
  - (B) Correct work classification or classifications, rate or rates of monetary weges paid and fringe benefits provided, rate or rates of payments in lieu of fringe benefits, and total daily and weekly compensation;
    - (C) Daily and weekly hours worked by each employee; and
  - (D) Any deductions, rebates, or refunds from the total daily or weekly compensation of each employee.

- (ii) For those classes of service employees not included in any wage determination attached to this contract, wage rates or fringe benefits determined by the interested parties or by the Administrator or authorized representative under the terms of paragraph (c) of this clause. A copy of the report required by subdivision (c)(2)(ii) of this clause will fulfill this requirement.
- (iii) Any list of the predecessor Contractor's employees which had been furnished to the Contractor as prescribed by paragraph (n) of this clause.
- (2) The Contractor shall also make available a copy of this contract for inspection or transcription by authorized representatives of the Wage and Hour Division.
- (3) Pailure to make and maintain or to make available these records for inspection and transcription shall be a violation of the regulations and this contract, and in the case of failure to produce these records, the Contracting Officer, upon direction of the Department of Labor and notification to the Contractor, shall take action to cause suspension of any further payment or advance of funds until the violation ceases.
  - (4) The Contractor shall permit authorized representatives of the Wage and Hour Division to conduct interviews with employees at the worksite during normal working hours.
- (j) Pay Periods. The Contractor shall unconditionally pay to each employee subject to the Act all wages due free and clear and without subsequent deduction (except as otherwise provided by law or Regulations, 29 CFR Part 4), rebate, or kickback on any account. These payments shall be made no later than one pay period following the end of the regular pay period in which the wages were earned or accrued. A pay period under this Act may not be of any duration longer than semi-monthly.
- (k) Withholding of Payments and Termination of Contract. The Contracting Officer shall withhold or cause to be withheld from the Government Prime Contractor under this or any other Government contract with the Prime Contractor such sums as an appropriate official of the Department of Labor requests or such sums as the Contracting Officer decides may be necessary to pay underpaid employees employed by the Contractor or subcontractor. In the event of failure to pay any employees subject to the Act all or part of the wages or fringe benefits due under the Act, the Contracting Officer may, after authorisation or by direction of the Department of Labor and written notification to the Contractor, take action to cause suspension of any further payment or advance of funds until such violations have ceased. Additionally, any failure to comply with the requirements of this clause may be grounds for termination of the right to proceed with the contract work. In such event, the Government may enter into other contracts or arrangements for completion of the work, charging the Contractor in default with any additional cost.

- (1) Subcontracts. The Contractor agrees to insert this clause in all subcontracts subject to the Act.
- (m) Collective Bargaining Agreements Applicable to Service Employees. If wages to be paid or fringe benefits to be furnished any service employees employees employed by the Government Prime Contractor or any subcontractor under the contract are provided for in a collective bargaining agreement which is or will be effective during any period in which the contract is being performed, the Government Prime Contractor shall report such fact to the Contracting Officer, together with full information as to the application and accrual of such weges and fringe benefits, including any prospective increases, to service employees engaged in work on the contract, and a copy of the collective bargaining agreement. Such report shall be made upon commencing performance of the contract, in the case of collective bargaining agreements effective at such time, and in the case of such agreements or provisions or amendments thereof effective at a later time during the period of contract performance such agreements shall be reported promptly after negotiation thereof.
- (n) Seniority List. Not less than 10 days prior to completion of any contract being performed at a Federal facility where service employees may be retained in the performance of the succeeding contract and subject to a wage determination which contains vacation or other benefit provisions based upon length of service with a Contractor (predecessor) or successor (29 CFR 4.173), the incumbent Prime Contractor shall furnish the Contracting Officer a certified list of the names of all service employees on the Contractor's or subcontractor's payroll during the last month of contract performance. Such list shall also contain anniversary dates of employment on the contract either with the current or predecessor Contractors of each such service employee. The Contracting Officer shall turn over such list to the successor Contractor at the commencement of the succeeding contract.
- (o) Rulings and Interpretations. Rulings and interpretations of the Act are contained in Regulations, 29 CFR Part 4.
- (p) Contractor's Certification. (1) By entering into this contract, the Contractor (and officials thereof) certifies that neither it (nor he or she) nor any person or firm who has a substantial interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of the sanctions imposed under section 5 of the Act.
  - (2) We part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract under section 5 of the Act.
  - (3) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.
- (q) Variations, Tolerances, and Exemptions Involving Employment.

  Motwithstanding any of the provisions in paragraphs (b) through (o) of

this clause, the following employees may be employed in accordance with the following variations, tolerances, and exemptions, which the Secretary of Labor, pursuant to section 4(b) of the Act prior to its amendment by Pub. L. 92-473, found to be necessary and proper in the public interest or to avoid serious impairment of the conduct of Government business:

- (1) Apprentices, student-learners, and workers whose earning capacity is impaired by age, physical or mental deficiency, or injury may be employed at wages lower than the minimum wages otherwise required by section 2(a)(1) or 2(b)(1) of the Act without diminishing any fringe benefits or cash payments in lieu thereof required under section 2(a)(2) of the Act, in accordance with the conditions and procedures prescribed for the employment of apprentices, student-learners, handicapped persons, and handicapped clients of sheltered workshops under section 14 of the Fair Labor Standards Act of 1938, in the regulations issued by the Administrator (29 CFR Parts 520, 521, 524, and 525).
- (2) The Administrator will issue certificates under the Act for the employment of apprentices, student-learners, handicapped persons, or handicapped clients of sheltered workshops not subject to the Fair Labor Standards Act of 1938, or subject to different minimum rates of pay under the two acts, authorising appropriate rates of minimum wages (but without changing requirements concerning fringe benefits or supplementary cash payments in lieu thereof), applying procedures prescribed by the applicable regulations issued under the Fair Labor Standards Act of 1938 (29 CFR Parts 520, 521, 524, and 525).
- (3) The Administrator will also withdraw, annul, or cancel such certificates in accordance with the regulations in 29 CFR Parts 525 and 528.
- (r) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed and individually registered in a bona fide apprenticeship program registered with a State Apprenticeship Agency which is recognized by the U.S. Department of Labor, or if no such recognized agency exists in a State, under a program registered with the Bureau of Apprenticeship and Training, Employment and Training Administration, U.S. Department of Labor. Any employee who is not registered as an apprentice in an approved program shall be paid the wage rate and fringe benefits contained in the applicable wage determination for the journeymen classification of work actually performed. The wage rates paid apprentices shall not be less than the wage rate for their level of progress set forth in the registered program, expressed as the appropriate percentage of the journeyman's rate contained in the applicable wage determination. The allowable ratio of apprentices to journeymen employed on the contract work in any craft classification shall not be greater than the ratio permitted to the Contractor as to his entire work force under the registered program.

- (s) Tips. An employee engaged in an occupation in which the employee customarily and regularly receives more than \$30 a month in tips may have the amount of these tips credited by the employer against the minimum wage required by section 2(a)(1) or section 2(b)(1) of the Act, in accordance with section 3(m) of the Fair Labor Standards Act and Regulations 29 CFR Part 531. However, the amount of credit shall not exceed \$1.34 per hour beginning January 1, 1981. To use this provision—
  - (1) The employer must inform tipped employees about this tip credit allowance before the credit is utilized;
  - (2) The employees must be allowed to retain all tips (individually or through a pooling arrangement and regardless of whether the employer elects to take a credit for tips received);
  - (3) The employer must be able to show by records that the employee receives at least the applicable Service Contract Act minimum wage through the combination of direct wages and tip credit; and
  - (4) The use of such tip credit must have been permitted under any predecessor collective bargaining agreement applicable by virtue of section 4(c) of the Act.
- (t) Disputes Concerning Labor Standards. The U.S. Department of Labor has set forth in 29 CFR Parts 4, 6, and 8 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

FAIR LABOR STANDARDS ACT AND SERVICE CONTRACT ACT--PRICE ADJUSTMENT (MULTIPLE YEAR AND OPTION CONTRACTS) (MAY 1989)

- (a) This clause applies to both contracts subject to area prevailing wage determinations and contracts subject to collective bargaining agreements.
- (b) The Contractor warrants that the prices in this contract do not include any allowance for any contingency to cover increased costs for which adjustment is provided under this clause.
- (c) The wage determination, issued under the Service Contract Act of 1965, as amended, (41 U.S.C. 351, et seq.), by the Administrator, Wage and Mour Division, Employment Standards Administration, U.S. Department of Labor, current on the anniversary date of a multiple year contract or the beginning of each renewal option period, shall apply to this contract. If no such determination has been made applicable to this contract, then the Federal minimum wage as established by section 6(a)(1) of the Fair Labor Standards Act of 1938, as amended, (29 U.S.C. 206) current on the

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anniversary date of a multiple year contract or the beginning of each renewal option period, shall apply to this contract.

- (d) The contract price or contract unit price labor rates will be adjusted to reflect the Contractor's actual increase or decrease in applicable wages and fringe benefits to the extent that the increase is made to comply with or the decrease is voluntarily made by the Contractor as a result of:
  - (1) The Department of Labor wage determination applicable on the anniversary date of the multiple year contract, or at the beginning of the renewal option period. For example, the prior year wage determination required a minimum wage rate of \$4.00 per hour. The Contractor chose to pay \$4.10. The new wage determination increases the minimum rate to \$4.50 per hour. Even if the Contractor voluntarily increases the rate to \$4.75 per hour, the allowable price adjustment is \$.40 per hour;
  - (2) An increased or decreased wage determination otherwise applied to the contract by operation of law; or
  - (3) An amendment to the Fair Labor Standards Act of 1938 that is enacted after award of this contract, affects the minimum wage, and becomes applicable to this contract under law.
- (e) Any adjustment will be limited to increases or decreases in wages and fringe benefits as described in paragraph (c) of this clause, and the accompanying increases or decreases in social security and unemployment taxes and workers' compensation insurance, but shall not otherwise include any amount for general and administrative costs, overhead, or profit.
- claimed under this clause within 30 days after receiving a new wage determination unless this notification period is extended in writing by the Contracting Officer. The Contractor shall promptly notify the Contracting Officer of any decrease under this clause, but nothing in the clause shall preclude the Government from asserting a claim within the period permitted by law. The notice shall contain a statement of the amount claimed and any relevant supporting data, including payroll records, that the Contracting Officer may reasonably require. Upon agreement of the parties, the contract price or contract unit price labor rates shall be modified in writing. The Contractor shall continue performance pending agreement on or determination of any such adjustment and its effective date.
- (g) The Contracting Officer or an authorized representative shall have access to and the right to examine any directly pertinent books, documents, papers and records of the Contractor until the expiration of 3 years after final payment under the contract.

(End of clause)

- (a) "Air Act", as used in this clause, means the Clean Air Act (42 U.S.C. 7401, et sec.).
  - "Clean air standards," as used in this clause, means--
  - (1) Any enforceable rules, regulations, guidelines, standards, limitations, orders, controls, prohibitions, work practices, or other requirements contained in, issued under, or otherwise adopted under the Air Act or Executive Order 11738;
  - (2) An applicable implementation plan as described in section 110(d) of the Air Act (42 U.S.C. 7410(d));
  - (3) An approved implementation procedure or plan under section 111(c) or section 111(d) of the Air Act (42 U.S.C. 7411(c) or (d)); or
  - (4) An approved implementation procedure under section 112(d) of the Air Act (42 U.S.C. 7412(d)).

"Clean water standards," as used in this clause, means any enforceable limitation, control, condition, prohibition, standard, or other requirement promulgated under the Water Act or contained in a permit issued to a discharger by the EPA or by a State under an approved program, as authorized by section 402 of the Water Act (33 U.S.C. 1342), or by local government to ensure compliance with pretreatment regulations as required by section 307 of the Water Act (33 U.S.C. 1317).

"Compliance," as used in this clause, means compliance with--

- (1) Clean air or water standards; or
- (2) A schedule or plan ordered or approved by a court of competent jurisdiction, the EPA, or an air or water pollution control agency under the requirements of the Air Act or Water Act, and related regulations.

"Facility," as used in this clause, means any building, plant, installation, structure, mine, vessel or other floating craft, location, or site of operations, owned, leased, or supervised by a Contractor or subcontractor, used in the performance of a contract or subcontract. When a location or site of operations includes more than one building, plant, installation, or structure, the entire location or site shall be deemed a facility except when the Administrator, or a designee, of the EFA determines that independent facilities are collocated in one geographical area.

"Mater Act," as used in this clause, means Clean Mater Act (33 U.S.C. 1251, et seq.).

- (b) The Contractor agrees --
- (1) To comply with all the requirements of section 114 of the Clean Air Act (42 U.S.C. 7414) and section 308 of the Clean Water Act (33 U.S.C. 1318) relating to inspection, monitoring, entry, reports, and information, as well as other requirements specified in section 114 and section 308 of the Air Act and the Water Act, and all regulations and guidelines issued to implement those acts before the award of this contract;

- (2) That no portion of the work required by this prime contract will be performed in a facility listed on the EPA List of Violating Facilities on the date when this contract was awarded unless and until the EPA eliminates the name of the facility from the listing;
- (3) To use best efforts to comply with clean air standards and clean water standards at the facility in which the contract is being performed; and
- (4) To insert the substance of this clause into any nonexempt subcontract, including this subparagraph (b) (4).

(End of clause)
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### EAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL SAFETY DATA (NOV 1991)

- (a) "Masardous material," as used in this clause, includes any material defined as hazardous under the latest version of Federal Standard No. 313 (including revisions adopted during the term of the contract).
- (b) The Offeror must list any hazardous material, as defined in paragraph (a) of this clause, to be delivered under this contract. The hazardous material shall be properly identified and include any applicable identification number, such as Mational Stock Number or Special Item Number. This information shall also be included on the Material Safety Data Sheet submitted under this contract.

Material (If mone, insert Mone) Identification No.

(c) The apparently successful Offeror, by acceptance of the contract, certifies that the list in paragraph (b) of this clause is complete. This list must be updated during performance of the contract whenever the Contractor determines that any other material to be delivered under this contract is basardous.

(d) The apparently successful Offeror agrees to submit, for each item as required prior to everd, a Material Safety Data Sheet, meeting the requirements of 29 CPR 1910.1200(g) and the latest version of Federal Standard No. 313, for all basardous material identified in paragraph (b) of this clause. Data shall be submitted in accordance with Federal Standard No. 313, whether or not the apparently successful Offeror is the actual manufacturer of these items. Failure to submit the Material Safety Data Sheet prior to award may result in the apparently successful Offeror being considered accresponsible and ineligible for award.

- (e) If, after award, there is a change in the composition of the item(s) or a revision to Federal Standard No. 113, which renders incomplete or inaccurate the data submitted under paragraph (d) of this clause or the certification submitted under paragraph (d) of this clause, the Contractor shall promptly notify the Contracting Officer and resubmit the data.
- (f) Heither the requirements of this clause nor any act or failure to act by the Government shall relieve the Contractor of any responsibility or liability for the safety of Government, Contractor, or subcontractor personnel or property.
- (g) Nothing contained in this clause shall relieve the Contractor from complying with applicable Pederal, State, and local laws, codes, ordinances, and regulations (including the obtaining of licenses and permits) in composition with hazardous material.
- (h) The Government's rights in data furnished under this contract with respect to hazardous material are as follows:
  - (1) To use, duplicate and disclose any data to which this clause is applicable. The purposes of this right are to--
    - (i) Apprise personnel of the hazards to which they may be exposed in using, handling, packaging, transporting, or disposing of hazardous materials;
    - (ii) Obtain medical treatment for those affected by the material; and
    - (iii) Have others use, duplicate, and disclose the data for the Government for these purposes.
  - (2) To use, duplicate, and disclose data furnished under this clause, is accordance with subparagraph (h)(1) of this clause, is precedence over any other clause of this contract providing for rights in data.
  - (3) The Government is not precluded from using similar or data acquired from other sources.

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# DEDG-FREE MOREFLACE (JUL 1990)

(a) Definitions. As used in this clause,

"Controlled substance" means a controlled substance in echedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 912) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of noise contenders) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Tederal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done

by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

- (b) The Contractor, if other than an individual, shall--within 30 calendar days after award (unless a longer period is agreed to in writing for contracts of 30 calendar days or more performance duration), or as soon as possible for contracts of less than 30 calendar days performance duration--
  - (1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;
  - (2) Establish an ongoing drug-free awareness program to inform such employees about--
    - (i) The dangers of drug abuse in the workplace;
    - (ii) The Contractor's policy of maintaining a drug-free workplace;
    - (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
    - (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
  - (3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this clause;
  - (4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--
    - (i) Abide by the terms of the statement; and
    - (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 calendar days after such conviction.
  - (5) Notify the Contracting Officer in writing within 10 calendar days after receiving notice under subdivision (b) (4) (ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;
  - (6) Within 30 calendar days after receiving notice under subdivision(b) (4) (ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse

violation occurring in the workplace:

- (i) Taking appropriate personnel action against such employee, up to and including termination; or
- (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.
- (7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)  $(\hat{\bf I})$  through (b)  $(\hat{\bf b})$  of this clause.
- (c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in the performance of this contract.
- (d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraphs (b) or (c) of this clause may, pursuant to FAR 23.506, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

(End of clause)

I.44 52.225-0013 I.45 52.226-0001

# RESERVED

UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES (AUG 1991)

- (a) This clause applies only if the contract includes a subcontracting plan incorporated under the terms of the clause entitled, Small Business and Small Disadvantaged Business Subcontracting Plan. It does not apply to contracts awarded based on a subcontracting plan submitted and approved under paragraph (g) of the clause at 52.219-9.
  - (b) Definitions. As used in this clause:
- "Indian organization" means the governing body of any Indian tribe (as defined by 25 U.S.C. 1452(c)) or entity established or recognized by the governing body for the purposes of 25 U.S.C., Chapter 17.
- "Indian-owned economic enterprise" means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership shall constitute not less than 51 percent of the enterprise.
- (c) The Contractor agrees to use its best efforts to give Indian organizations and Indian-owned economic enterprises the (25 U.S.C. 1544) maximum practicable opportunity to participate in the subcontracts it awards to the fullest extent consistent with efficient performance of its contract.
  - (1) The Contractor may rely on the written representation of the Indian

organization or Indian-owned economic enterprise.

- (2) If the cost of subcontracting with an Indian organization or Indian-owned economic enterprise exceeds the cost of acquiring the supplies or services from a non-Indian source, the Contractor may request an adjustment to the following:
  - (i) The estimated cost of a cost-type contract;
  - (ii) The target cost of a cost-plus-incentive-fee prime contract;
  - (iii) The target cost and ceiling price of a fixed-price incentive prime contract; or
    - (iv) The price of a firm-fixed-price prime contract.
- (3) The amount of the equitable adjustment to the prime contract shall be the lesser of--
  - (i) The difference between the estimated cost, target cost or firm-fixed-price included in the subcontract initially awarded to the Indian organization or enterprise and the corresponding estimated cost, target cost or firm-fixed-price which would have been included in a subcontract with the otherwise low, non-Indian offeror; or
  - (ii) Five percent of the estimated cost, target cost or firm-fixed-price included in the subcontract initially awarded to the Indian organization or enterprise.
- (4) The Contractor has the burden of proving the amount claimed and must assert its request for an adjustment prior to completion of contract performance.
- (d) The Contracting Officer shall decide the amount of the adjustment and modify the contract accordingly. The Contracting Officer's decision is final and not subject to the Disputes clause of this contract.

(End of clause)

AUTHORIZATION AND COMMENT (APR 1984)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent (1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or (2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with (i) specifications or written provisions forming a part of this contract or (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

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(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed \$25,000; however, omission of this clause from any subcontract, under or over \$25,000, does not affect this authorization and consent.

(End of clause)

(R 7-103.22 1961 JAM)

I.47 52.227-0002

NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (APR 1984)

- (a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copyright infringement based on the performance of this contract of which the Contractor has knowledge.
- (b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.
- (c) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the dollar amount set forth in 13.000 of the Federal Acquisition Regulation (FAR).

(End of clause)

(R 7-103.23 1965 JAM)

I.48 52.227-0014

RIGHTS IN DATA--GENERAL (JUN 1987)

(a) Definitions. "Computer software," as used in this clause, means computer programs, computer data bases, and documentation thereof. "Data," as used in this clause, means recorded information, regardless of form or the media on which it may be recorded. The term includes technical data and computer software. The term does not include information incidental to contract administration, such as financial, administrative, cost or pricing, or management information.

"Form, fit, and function data," as used in this clause, means data relating to items, components, or processes that are sufficient to enable

physical and functional interchangeability, as well as data identifying source, size, configuration, mating, and attachment characteristics, functional characteristics, and performance requirements; except that for computer software it means data identifying source, functional characteristics, and performance requirements but specifically excludes the source code, algorithm, process, formulae, and flow charts of the software.

"Limited rights," as used in this clause, means the rights of the Government in limited rights data as set forth in the Limited Rights Notice of subparagraph (g) (2) if included in this clause.

"Limited rights data," as used in this clause, means data (other than computer software) that embody trade secrets or are commercial or financial and confidential or privileged, to the extent that such data pertain to items, components, or processes developed at private expense, including minor modifications thereof.

"Restricted computer software," as used in this clause, means computer software developed at private expense and that is a trade secret; is commercial or financial and is confidential or privileged; or is published copyrighted computer software, including minor modifications of such computer software.

"Restricted rights," as used in this clause, means the rights of the Government in restricted computer software, as set forth in a Restricted Rights Motice of subparagraph (g) (3) if included in this clause, or as otherwise may be provided in a collateral agreement incorporated in and made part of this contract, including minor modifications of such computer software.

"Technical data," as used in this clause, means data (other than computer software) which are of a scientific or technical nature.

"Unlimited rights," as used in this clause, means the right of the Government to use, disclose, reproduce, prepare derivative works, distribute copies to the public, and perform publicly and display publicly, in any menner and for any purpose, and to have or permit others to do so.

- (b) Allocation of rights. (1) Except as provided in paragraph (c) of this clause regarding copyright, the Government shall have unlimited rights in a
  - (i) Data first produced in the performance of this contract;
  - (ii) Form, fit, and function data delivered under this contract;
  - (iii) Data delivered under this contract (except for restricted computer software) that constitute manuals or instructional and training material for installation, operation, or routine maintenance and repair of items, components, or processes delivered or furnished for use under this contract; and
  - (iv) All other data delivered under this contract unless provided otherwise for limited rights data or restricted computer software in accordance with paragraph (g) of this clause.

- (2) The Contractor shall have the right to--
  - (i) Use, release to others, reproduce, distribute, or publish any data first produced or specifically used by the Contractor in the performance of this contract, unless provided otherwise in paragraph (d) of this clause;
  - (ii) Protect from unauthorized disclosure and use those data which are limited rights data or restricted computer software to the extent provided in paragraph (g) of this clause;
  - (iii) Substantiate use of, add or correct limited rights, restricted rights, or copyright notices and to take other appropriate action, in accordance with paragraphs (e) and (f) of this clause; and
  - (iv) Establish claim to copyright subsisting in data first produced in the performance of this contract to the extent provided in subparagraph (c) (1) of this clause.
- (c) Copyright. (1) Data first produced in the performance of this contract. Unless provided otherwise in paragraph (d) of this clause, the Contractor may establish, without prior approval of the Contracting Officer, claim to copyright subsisting in scientific and technical articles based on or containing data first produced in the performance of this contract and published in academic, technical or professional journals, symposia proceedings or similar works. The prior, express written permission of the Contracting Officer is required to establish claim to copyright subsisting in all other data first produced in the performance of this contract. When claim to copyright is made, the Contractor shall affix the applicable copyright notices of 17 U.S.C. 401 or 402 and acknowledgment of Government sponsorship (including contract number) to the data when such data are delivered to the Government, as well as when the data are published or deposited for registration as a published work in the U.S. Copyright Office. For data other than computer software the Contractor grants to the Government, and others acting on its behalf, a paid-up, nonexclusive, irrevocable worldwide license in such copyrighted data to reproduce, prepare derivative works, distribute copies to the public, and perform publicly and display publicly, by or on behalf of the Government. For computer software, the Contractor grants to the Government and others acting in its behalf, a paid-up nonexclusive, irrevocable worldwide license in such copyrighted computer software to reproduce, prepare derivative works, and perform publicly and display publicly by or on behalf of the Government.
  - (2) Data not first produced in the performance of this contract. The Contractor shall not, without prior written permission of the Contracting Officer, incorporate in data delivered under this contract any data not first produced in the performance of this contract and which contains the copyright notice of 17 U.S.C. 401 or 402, unless the Contractor identifies such data and grants to the Government, or acquires on its

- behalf, a license of the same scope as set forth in subparagraph (c)(1) of this clause; provided, however, that if such data are computer software the Government shall acquire a copyright license as set forth in subparagraph (g)(3) of this clause if included in this contract or as otherwise may be provided in a collateral agreement incorporated in or made part of this contract.
- (3) Removal of copyright notices. The Government agrees not to remove any copyright notices placed on data pursuant to this paragraph (c), and to include such notices on all reproductions of the data.
- (d) Release, publication and use of data. (1) The Contractor shall have the right to use, release to others, reproduce, distribute, or publish any data first produced or specifically used by the Contractor in the performance of this contract, except to the extent such data may be subject to the Federal export control or national security laws or regulations, or unless otherwise provided in this paragraph of this clause or expressly set forth in this contract.
  - (2) The Contractor agrees that to the extent it receives or is given access to data necessary for the performance of this contract which contain restrictive markings, the Contractor shall treat the data in accordance with such markings unless otherwise specifically authorized in writing by the Contracting Officer.
- (e) Unauthorized marking of data. Motwithstanding any other provisions of this contract concerning inspection or acceptance, if any data delivered under this contract are marked with the notices specified in subparagraph (g) (2) or (g) (3) of this clause and use of such is not authorized by this clause, or if such data bears any other restrictive or limiting markings not authorized by this contract, the Contracting Officer may at any time either return the data to the Contractor, or cancel or ignore the markings. However, the following procedures shall apply prior to canceling or ignoring the markings.
  - (i) The Contracting Officer shall make written inquiry to the Contractor affording the Contractor 30 days from receipt of the inquiry to provide written justification to substantiate the propriety of the markings;
  - (ii) If the Contractor fails to respond or fails to provide written justification to substantiate the propriety of the markings within the 30-day period (or a longer time not exceeding 90 days approved in writing by the Contracting Officer for good cause shown), the Government shall have the right to cancel or ignore the markings at any time after said period and the data will no longer be made subject to any disclosure prohibitions.
  - (iii) If the Contractor provides written justification to substantiate the propriety of the markings within the period set in subdivision (e)(1)(i) of this clause, the Contracting Officer shall

consider such written justification and determine whether or not the markings are to be cancelled or ignored. If the Contracting Officer determines that the markings are authorized, the Contractor shall be so notified in writing. If the Contracting Officer determines, with concurrence of the head of the contracting activity, that the markings are not authorized, the Contracting Officer shall furnish the Contractor a written determination, which determination shall become the final agency decision regarding the appropriateness of the markings unless the Contractor files suit in a court of competent jurisdiction within 90 days of receipt of the Contracting Officer's decision. The Government shall continue to abide by the markings under this subdivision (e)(1)(iii) until final resolution of the matter either by the Contracting Officer's determination becoming final (in which instance the Government shall thereafter have the right to cancel or ignore the markings at any time and the data will no longer be made subject to any disclosure prohibtions), or by final disposition of the matter by court decision if suit is filed.

- (2) The time limits in the procedures set forth in subparagraph (e)(1) of this clause may be modified in accordance with agency regulations implementing the Freedom of Information Act (5 U.S.C. 552) if necessary to respond to a request thereunder.
- (3) This paragraph (e) does not apply if this contract is for a major system or for support of a major system by a civilian agency other than MASA and the U.S. Coast Guard agency subject to the provisions of Title III of the Federal Property and Administrative Services Act of 1949.
- (4) Except to the extent the Government's action occurs as the result of final disposition of the matter by a court of competent jurisdiction, the Contractor is not precluded by this paragraph (e) from bringing a claim under the Contract Disputes Act, including pursuant to the Disputes clause of this contract, as applicable, that may arise as the result of the Government removing or ignoring authorized markings on data delivered under this contract.
- (f) Omitted or incorrect markings. (1) Data delivered to the Government without either the limited rights or restricted rights notice as authorised by paragraph (g) of this clause, or the copyright notice required by paragraph (c) of this clause, shall be deemed to have been furnished with unlimited rights, and the Government assumes no liability for the disclosure, use, or reproduction of such data. However, to the extent the data has not been disclosed without restriction outside the Government, the Contractor may request, within 6 months (or a longer time approved by the Contracting Officer for good cause shown) after delivery of such data, permission to have notices placed on qualifying data at the Contractor's expense, and the Contracting Officer may agree to do so if the Contractor--

- (i) Identifies the data to which the omitted notice is to be applied;
- (ii) Demonstrates that the omission of the notice was inadvertent:
- (iii) Establishes that the use of the proposed notice is authorized; and
- (iv) Acknowledges that the Government has no liability with respect to the disclosure, use, or reproduction of any such data made prior to the addition of the notice or resulting from the omission of the notice.
- (2) The Contracting Officer may also (i) permit correction at the Contractor's expense of incorrect notices if the Contractor identifies the data on which correction of the notice is to be made, and demonstrates that the correct notice is authorized, or (ii) correct any incorrect notices.
- (g) Protection of limited rights data and restricted computer software.

  (1) When data other than that listed in subdivisions (b) (1) (i), (ii), and (iii) of this clause are specified to be delivered under this contract and qualify as either limited rights data or restricted computer software, if the Contractor desires to continue protection of such data, the Contractor shall withhold such data and not furnish them to the Government under this contract. As a condition to this withholding, the Contractor shall identify the data being withheld and furnish form, fit, and function data in lieu thereof. Limited rights data that are formatted as a computer data base for delivery to the Government are to be treated as limited rights data and not restricted computer software.
  - (2) Reserved.
  - (3) Reserved.
- (h) Subcontracting. The Contractor has the responsibility to obtain from its subcontractors all data and rights therein necessary to fulfill the Contractor's obligations to the Government under this contract. If a subcontractor refuses to accept terms affording the Government such rights, the Contractor shall promptly bring such refusal to the attention of the Contracting Officer and not proceed with subcontract award without further authorization.
- (i) Relationship to patents. Nothing contained in this clause shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Government.

# INSURANCE -- WORK ON A GOVERNMENT INSTALLATION (SEP 1989)

(a) The Contractor shall, at its own expense, provide and maintain during the entire performance of this contract, at least the kinds and minimum amounts of insurance required in the Schedule or elsewhere in the contract.

I.49 52.228-0005

- (b) Before commencing work under this contract, the Contractor shall certify to the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective (1) for such period as the laws of the State in which this contract is to be performed prescribe, or (2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.
- (c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

PEDERAL, STATE, AND LOCAL TAXES (JAM 1991)

(a) "Contract date," as used in this clause, means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.

"All applicable Federal, State, and local taxes and duties," as used in this clause, means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.

"After-imposed Federal tax," as used in this clause, means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.

"After-relieved Federal tax," as used in this clause, means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.

- (b) The contract price includes all applicable Federal, State, and local taxes and duties.
  - (c) The contract price shall be increased by the amount of any after-

1.50 52.229-0003

imposed Federal tax, provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.

- (d) The contract price shall be decreased by the amount of any afterrelieved Federal tax.
- (e) The contract price shall be decreased by the amount of any Pederal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.
- (f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.
- (g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price and shall take appropriate action as the Contracting Officer directs.
- (h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

(End of clause)

I.51 52.232-0001

# PAYMENTS (APR 1984)

The Government shall pay the Contractor, upon the submission of proper invoices or vouchers, the prices stipulated in this contract for supplies delivered and accepted or services rendered and accepted, less any deductions provided in this contract. Unless otherwise specified in this contract, payment shall be made on partial deliveries accepted by the Government if--

- (a) The amount due on the deliveries warrants it; or
- (b) The Contractor requests it and the amount due on the deliveries is at least \$1,000 or 50 percent of the total contract price.

(End of clause)

(R 7-103.7 1958 JAM)

= (R 1-7.102-7)

1.52 52.232-0008

#### DISCOUNTS FOR PROMPT PAYMENT (APR 1989)

- (a) Discounts for prompt payment will not be considered in the evaluation of offers. However, any offered discount will form a part of the award, and will be taken if payment is made within the discount period indicated in the offer by the offeror. As an alternative to offering a prompt payment discount in conjunction with the offer, offerors awarded contracts may include prompt payment discounts on individual invoices.
  - (b) In connection with any discount offered for prompt payment, time

shall be computed from the date of the invoice. For the purpose of computing the discount earned, payment shall be considered to have been made on the date which appears on the payment check or the date on which an electronic funds transfer was made.

(End of clause)

T.53 52.232-0011

EXTRAS (APR 1984)

Except as otherwise provided in this contract, no payment for extras shall be made unless such extras and the price therefor have been authorised in writing by the Contracting Officer.

(End of clause)
(V 7-103.3 1949 JUL)
(V 1-7.102-3)

I.54 52.232-0017

INTEREST (JAM 1991)

- (a) Motwithstanding any other clause of this contract, all amounts, except amounts that are repayable and which bear interest under a Price Reduction for Defective Cost of Pricing Data clause, that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until the amount is paid.
  - (b) Amounts shall be due at the earliest of the following dates:
    - (1) The date fixed under this contract.
  - (2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.
  - (3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the assumt of debt:
  - (4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.
- (c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

(End of clause)

I.55 52.232-0018

AVAILABILITY OF FUNDS (APR 1984)

Funds are not presently available for this contract. The Government's obligation under this contract is contingent upon the availability of appropriated funds from which payment for contract purposes can be made. No legal liability on the part of the Government for any payment may arise until funds are made available to the Contracting Officer for this contract and until the Contractor receives notice of such availability, to be confirmed in writing by the Contracting Officer.

(End of clause)

(SS 7-104.91(a) 1962 SEP)

I.56 52.232-0023

ASSIGNMENT OF CLAIMS (JAN 1986)

- (a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.
- (b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.
- (c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting Officer authorises such action in writing.

(End of clause)

PROMPT PAYMENT (SEP 1992)

Motwithstanding any other payment clause in this contract, the Government will make invoice payments and contract financing payments under the terms and conditions specified in this clause. Payment shall be considered as being made on the day a check is dated or an electronic funds transfer is made. Definitions of pertinent terms are set forth in 32.902. All days referred to in this clause are calendar days, unless otherwise specified. The term "foreign vendor" means an incorporated concern not incorporated in the United States, or an unincorporated concern having its principal place of business outside the United States.

- (a) Invoice Payments.
- (1) For purposes of this clause, "invoice payment" means a Government disbursement of monies to a Contractor under a contract or other authorization for supplies or services accepted by the Government. This

I.57

includes payments for partial deliveries that have been accepted by the Government and final cost or fee payments where amounts owed have been settled between the Government and the Contractor.

- (2) Except as indicated in subparagraph (a)(3) and paragraph (c) of this clause, the due date for making invoice payments by the designated payment office shall be the later of the following two events:
  - (i) The 30th day after the designated billing office has received a proper invoice from the Contractor.
  - (ii) The 30th day after Government acceptance of supplies delivered or services performed by the Contractor. On a final invoice where the payment amount is subject to contract settlement actions, acceptance shall be deemed to have occurred on the effective date of the contract settlement. However, if the designated billing office fails to annotate the invoice with the actual date of receipt, the invoice payment due date shall be deemed to be the 30th day after the date the Contractor's invoice is dated, provided a proper invoice is received and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (3) The due date on contracts for meat, meat food products, or fish contracts for perishable agricultural commodities, contracts for dairy products, edible fats or oils, and food products prepared from edible fats or oils, and contracts not requiring submission of an invoice shall be as follows:
  - (i) The due date for meat and meat food products, as defined in section 2(a)(3) of the Packers and Stockyard Act of 1921 (7 U.S.C. 182(3)) and further defined in Pub. L. 98-181 to include any edible fresh or frozen poultry meat, any perishable poultry meat food product, fresh eggs, and any perishable egg product, will be as close as possible to, but not later than, the 7th day after product delivery.
  - (ii) The due date for fresh or frozen fish, as defined in section 204(3) of the Fish and Seafood Promotion Act of 1986 (16 U.S.C. 4003(3)), will be as close as possible to, but not later than, the seventh day after product delivery.
  - (iii) The due date for perishable agricultural commodities, as defined in section 1(4) of the Perishable Agricultural Commodities Act of 1930 (7 U.S.C. 499a(44)), will be as close as possible to, but not later than, the 10th day after product delivery, unless another date is specified in the contract.
  - (iv) The due date for dairy products, as defined in section 111(e) of the Dairy Production Stabilization Act of 1983 (7 U.S.C. 4502(e)), edible fats or oils, and food products prepared from edible fats or oils, will be as close as possible to, but not later than, the 10th day after the date on which a proper invoice has been received.
    - (v) If the contract does not require submission of an invoice for

payment (e.g., periodic lesse payments), the due date will be as specified in the contract.

- (4) An invoice is the Contractor's bill or written request for payment under the contract for supplies delivered or services performed. An invoice shall be prepared and submitted to the designated billing office specified in the contract. A proper invoice must include the items listed in subdivisions (a) (4) (i) through (a) (4) (viii) of this clause. If the invoice does not comply with these requirements, then the Contractor will be notified of the defect within 7 days after receipt of the invoice at the designated billing office (3 days for meat and meat food products, or fish, and 5 days for perishable agricultural commodities, edible fats or oils, and food products prepared from edible fats or oils). Untimely notification will be taken into account in the computation of any interest penalty owed the Contractor in the manner described in subparagraph (a) (6) of this clause.
  - (i) Name and address of the Contractor.
  - (ii) Invoice date.
- (iii) Contract number or other authorisation for supplies delivered or services performed (including order number and contract line item number).
- (iv) Description, quantity, unit of measure, unit price, and extended price of supplies delivered or services performed.
- (v) Shipping and payment terms (e.g., shipment number and date of shipment, prompt payment discount terms). Bill of lading number and weight of shipment will be shown for shipments on Government bills of lading.
- (vi) Name and address of Contractor official to whom payment is to be sent' (must be the same as that in the contract or in a proper notice of assignment).
- (vii) Name (where practicable), title, phone number and mailing address of person to be notified in event of a defective invoice.
- (viii) Any other information or documentation required by other requirements of the contract (such as evidence of shipment).
- (5) An interest penalty shall be paid automatically by the Government, without request from the Contractor, if payment is not sade by the due date and the conditions listed in subdivisions (a) (5) (i) through (a) (5) (iii) of this clause are met, if applicable. An interest penalty shall not be paid on contracts awarded to foreign vendors outside the United States for work performed outside the United States.
  - (i) A proper invoice was received by the designated billing office.
  - (ii) A receiving report or other Government documentation authorizing payment was processed and there was no disagreement over quantity, quality, or contractor compliance with any contract term or condition.
    - (iii) In the case of a final invoice for any balance of funds due the

Contractor for supplies delivered or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.

- (6) The interest penalty shall be at the rate established by the Secretary of the Treasury under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) that is in effect on the day after the due date, except where the interest penalty is prescribed by other governmental authority. This rate is referred to as the "Renegotiation Board Interest Rate, " and it is published in the Federal Register semiannually on or about January 1 and July 1. The interest penalty shall accrue daily on the invoice payment amount approved by the Government and be compounded in 30-day increments inclusive from the first day after the due date through the payment date. That is, interest accrued at the end of any 30-day period will be added to the approved invoice payment amount and be subject to interest penalties if not paid in the succeeding 30-day period. If the designated billing office failed to notify the contractor of a defective invoice within the periods prescribed in subparagraph (a) (4) of this clause, then the due date on the corrected invoice will be adjusted by subtracting the number of days taken beyond the prescribed notification of defects period. Any interest penalty oved the Contractor will be based on this adjusted due date. Adjustments will be made by the designated payment office for errors in calculating interest penalties, if requested by the Contractor.
  - (i) For the sole purpose of computing an interest penalty that might be due the Contractor, Government acceptance shall be deemed to have occurred constructively on the 7th day (unless otherwise specified in this contract) after the Contractor delivered the supplies or performed the services in accordance with the terms and conditions of the contract, unless there is a disagreement over quantity, quality, or contractor compliance with a contract provision. In the event that actual acceptance occurs within the constructive acceptance period, the determination of an interest penalty shall be based on the actual date of soceptance. The constructive acceptance requirement does not, however, compel Government officials to accept supplies or services, perform contract administration functions, or make payment prior to fulfilling their responsibilities.
  - (ii) The following periods of time will not be included in the determination of an interest penalty:
    - (A) The period taken to notify the Contractor of defects in invoices submitted to the Government, but this may not exceed 7 days (3 days for meet and meet food products, or fish and 5 days for perishable agricultural commodities, dairy products, edible fats or oils, and food products prepared from edible fats or oils).
      - (B) The period between the defects notice and resubmission of the

corrected invoice by the Contractor.

- (iii) Interest penalties will not continue to accrue after the filing of a claim for such penalties under the clause at 52.233-1, Disputes, or for more than 1 year. Interest penalties of less than \$1.00 need not be paid.
- (iv) Interest penalties are not required on payment delays due to disagreement between the Government and Contractor over the payment amount or other issues involving contract compliance or on amounts temporarily withheld or retained in accordance with the terms of the contract. Claims involving disputes, and any interest that may be payable, will be resolved in accordance with the clause at 52.233-1, Disputes.
- (7) An interest penalty shall also be paid automatically by the designated payment office, without request from the Contractor, if a discount for prompt payment is taken improperly. The interest penalty will be calculated as described in subparagraph (a) (6) of this clause on the amount of discount taken for the period beginning with the first day after the end of the discount period through the date when the Contractor is paid.
- (8) If this contract was awarded on or after October 1, 1989, a penalty amount, calculated in accordance with regulations issued by the Office of Management and Budget, shall be paid in addition to the interest penalty amount if the Contractor--
  - (i) Is owed an interest penalty;
  - (ii) Is not paid the interest penalty within 10 days after the date the invoice amount is paid; and
- (iii) Makes a written demand, not later than 40 days after the date the invoice amount is paid, that the agency pay such a penalty.(b) Contract Financing Payments.
- (1) For purposes of this clause, "contract financing payment" means a Government disbursement of monies to a Contractor under a contract clause or other authorization prior to acceptance of supplies or services by the Government. Contract financing payments include advance payments, progress payments based on cost under the clause at 52.232-16, Progress Payments, progress payments based on a percentage or stage of completion (32.102(e)(1)) other than those made under the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, or the clause at 52.232-10, Payments Under Fixed-Price Architect-Engineer Contracts, and interim payments on cost type contracts.
- (2) For contracts that provide for contract financing, requests for payment shall be submitted to the designated billing office as specified in this contract or as directed by the Contracting Officer. Contract financing payments shall be made on the 30th day after receipt of a proper contract financing request by the designated billing office. In

the event that an audit or other review of a specific financing request is required to ensure compliance with the terms and conditions of the contract, the designated payment office is not compelled to make payment by the due date specified.

- (3) For advance payments, loans, or other arrangements that do not involve recurrent submissions of contract financing requests, payment shall be made in accordance with the corresponding contract terms or as directed by the Contracting Officer.
- (4) Contract financing payments shall not be assessed an interest penalty for payment delays.
- (c) If this contract contains the clause at 52.213-1, Fast Payment Procedure, payments will be made within 15 days after the date of receipt of the invoice.

. (End of clause)

I.SS 52.233-0001

DISPUTES (DEC 1991)

- (a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).
- (b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.
- (c) "Claim," as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. A claim arising under a contract, unlike a claim relating to that contract, is a claim that can be resolved under a contract clause that provides for the relief sought by the claimant. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$50,000 is not a claim under the Act until certified as required by subparagraph (d) (2) below. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.
- (d) (1) A claim by the Contractor shall be made in writing and submitted to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.
  - (2) For Contractor claims exceeding \$50,000, the Contractor shall submit with the claim a certification that--
    - (i) The claim is made in good faith;
    - (ii) Supporting data are accurate and complete to the best of the Contractor's knowledge and belief; and
      - (iii) The amount requested accurately reflects the contract

adjustment for which the Contractor believes the Government is liable.

(3) (i) If the Contractor is an individual, the certification shall be

- (ii) If the Contractor is not an individual, the certification shall be executed by--
  - (A) A senior company official in charge at the contractor's plant or location involved; or
  - (B) An officer or general partner of the Contractor having overall responsibility for the conduct of the Contractor's affairs.
- (e) For Contractor claims of \$50,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$50,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.
- (f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.
- (g) At the time a claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative means of dispute resolution. When using alternate dispute resolution procedures, any claim, regardless of amount, shall be accompanied by the certification described in paragraph (d) (2) of this clause, and executed in accordance with paragraph (d) (3) of this clause.
- (h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (properly certified if required), or (2) the date payment otherwise would be due, if that date is later, until the date of payment. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.
- (i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

(End of clause)

1.59 52.233-0003

## PROTEST AFTER AMARD (AUG 1989)

executed by that individual.

(a) Upon receipt of a notice of protest (as defined in 33.101 of the FAR) the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall

immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--

- (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.
- (b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--
  - (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
  - (2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.
- (c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.
- (d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.
- (e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.

(End of clause)

PROTECTION OF GOVERNMENT BUILDINGS, EQUIPMENT, AND VEGETATION (APR 1984)

The Contractor shall use reasonable care to avoid damaging existing buildings, equipment, and vegetation on the Government installation. If the Contractor's failure to use reasonable care causes damage to any of this property, the Contractor shall replace or repair the damage at no expense to the Government as the Contracting Officer directs. If the Contractor fails or refuses to make such repair or replacement, the Contractor shall be liable for the cost, which may be deducted from the contract price.

(End of clause)
(R 7-104.63 1968 PEB)

1.60 52.237-0002

I.61 52.237-0003

#### CONTINUITY OF SERVICES (JAN 1991)

- (a) The Contractor recognizes that the services under this contract are vital to the Government and must be continued without interruption and that, upon contract expiration, a successor, either the Government or another contractor, may continue them. The Contractor agrees to (1) furnish phase-in training and (2) exercise its best efforts and cooperation to effect an orderly and efficient transition to a successor.
- (b) The Contractor shall, upon the Contracting Officer's written notice, (1) furnish phase-in, phase-out services for up to 90 days after this contract empires and (2) negotiate in good faith a plan with a successor to determine the nature and extent of phase-in, phase-out services required. The plan shall specify a training program and a date for transferring responsibilities for each division of work described in the plan, and shall be subject to the Contracting Officer's approval. The Contractor shall provide sufficient emperienced personnel during the phase-in, phase-out period to ensure that the services called for by this contract are maintained at the required level of proficiency.
- (c) The Contractor shall allow as many personnel as practicable to remain on the job to help the successor maintain the continuity and consistency of the services required by this contract. The Contractor also shall disclose necessary personnel records and allow the successor to conduct onsite interviews with these employees. If selected employees are agreeable to the change, the Contractor shall release them at a mutually agreeable date and negotiate transfer of their earned fringe benefits to the successor.
- (d) The Contractor shall be reimbursed for all reasonable phase-in, phase-out costs (i.e., costs incurred within the agreed period after contract expiration that result from phase-in, phase-out operations) and a fee (profit) not to exceed a pro rata portion of the fee (profit) under this contract.

(End of clause)

I.62 52.242-0013

#### BANKRUPTCY (APR 1991)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail, written notification of the bankruptcy to the Contracting Office responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

#### (End of clause)

· I.63 52.243-0001 I

CHANGES--FIXED-PRICE (AUG 1987) -- ALTERNATE I (APR 1984)

- (a) The Contracting Officer may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in any one or more of the following:
  - (1) Description of services to be performed.
  - (2) Time of performance (i.e., hours of the day, days of the week, etc.).
    - (3) Place of performance of the services.
- (b) If any such change causes an increase or decrease in the cost of, or the time required for, performance of any part of the work under this contract, whether or not changed by the order, the Contracting Officer shall make an equitable adjustment in the contract price, the delivery schedule, or both, and shall modify the contract.
- (c) The Contractor must assert its right to an adjustment under this clause within 30 days from the date of receipt of the written order. However, if the Contracting Officer decides that the facts justify it, the Contracting Officer may receive and act upon a proposal submitted before final payment of the contract.
- (d) If the Contractor's proposal includes the cost of property made obsolete or excess by the change, the Contracting Officer shall have the right to prescribe the manner of the disposition of the property.
- (e) Failure to agree to any adjustment shall be a dispute under the Disputes clause. However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.

(End of clause)

(R 7-1902.2 1971 MOV)

I.64 52.243-7001

PRICING OF CONTRACT MODIFICATIONS (DEC 1991)

When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR Part 31 and DFARS Part 231, in effect on the date of this contract, apply.

(Mind of clause)

I.65 52.244-0001

SUBCOSTRACTS (FIXED-PRICE CONTRACTS) (APR 1991)

- (a) This clause does not apply to firm-fixed-price contracts and fixed-price contracts with economic price adjustment. However, it does apply to subcontracts resulting from unpriced modifications to such contracts.
- (b) "Subcontract," as used in this clause, includes but is not limited to purchase orders, and changes and modifications to purchase orders. The Contractor shall notify the Contracting Officer reasonably in advance of entering into any subcontract if the Contractor does not have an approved purchasing system and if the subcontract--

- (1) Is proposed to exceed \$100,000; or
- (2) Is one of a number of subcontracts with a single subcontractor, under this contract, for the same or related supplies or services, that in the aggregate are expected to exceed \$100,000.
- (c) The advance notification required by paragraph (b) above shall include--
  - (1) A description of the supplies or services to be subcontracted;
  - (2) Identification of the type of subcontract to be used;
  - (3) Identification of the proposed subcontractor and an explanation of why and how the proposed subcontractor was selected, including the competition obtained;
  - (4) The proposed subcontract price and the Contractor's cost or price analysis;
  - (5) The subcontractor's current, complete, and accurate cost or pricing data and Certificate of Current Cost or Pricing Data, if required by other contract provisions;
  - (6) The subcontractor's Disclosure Statement or Certificate relating to Cost Accounting Standards when such data are required by other provisions of this contract; and
    - (7) A negotiation memorandum reflecting--
      - (i) The principal elements of the subcontract price negotiations:
    - (ii) The most significant considerations controlling establishment of initial or revised prices;
      - (iii) The reason cost or pricing data were or were not required;
    - (iv) The extent, if any, to which the Contractor did not rely on the subcontractor's cost or pricing data in determining the price objective and in negotiating the final price;
    - (v) The extent, if any, to which it was recognized in the negotiation that the subcontractor's cost or pricing data were not accurate, complete, or current; the action taken by the Contractor and subcontractor; and the effect of any such defective data on the total price negotiated;
    - (vi) The reasons for any significant difference between the Contractor's price objective and the price negotiated; and
    - (vii) A complete explanation of the incentive fee or profit plan when incentives are used. The explanation shall identify each critical performance element, management decisions used to quantify each incentive element, reasons for the incentives, and a summary of all trade-off possibilities considered.
- (d) The Contractor shall obtain the Contracting Officer's written consent before placing any subcontract for which advance notification is required under paragraph (b) above. However, the Contracting Officer may ratify in writing any such subcontract. Ratification shall constitute the consent of the Contracting Officer.

- (e) Even if the Contractor's purchasing system has been approved, the Contractor shall obtain the Contracting Officer's written consent before placing subcontracts that have been selected for special surveillance and so identified in the Schedule of this contract.
- (f) Unless the consent or approval specifically provides otherwise, neither consent by the Contracting Officer to any subcontract nor approval of the Contractor's purchasing system shall constitute a determination (1) of the acceptability of any subcontract terms or conditions, (2) of the acceptability of any subcontract price or of any amount paid under any subcontract, or (3) to relieve the Contractor of any responsibility for performing this contract.
- (g) No subcontract placed under this contract shall provide for payment on a cost-plus-a-percentage-of-cost basis, and any fee payable under cost-reimbursement subcontracts shall not exceed the fee limitations in subsection 15.903(d) of the Federal Acquisition Regulation (FAR).
- (h) The Government reserves the right to review the Contractor's purchasing system as set forth in FAR Subpart 44.3.

(End of clause)

1.66 52.244-0005

COMPETITION IN SUBCONTRACTING (APR 1984)

The Contractor shall select subcontractors (including suppliers) on a competitive basis to the maximum practical extent consistent with the objectives and requirements of the contract.

(End of clause)
(V 7-104.40 1962 APR)
(V 1-7.202-30)
(V 7-303.27)
(V 7-402.29)
(V 7-603.18)
(V 7-605.37)
(V 7-702.50)
(V 7-703.43)
(V 7-704.35)
(V 7-1703.5)

(V 7-1903.28)

(V 7-1909.23)

1.67 52.245-0002

GOVERNMENT PROPERTY (FIXED-PRICE CONTRACTS) (DEC 1969)

(a) Government-furnished property. (1) The Government shall deliver to the Contractor, for use in connection with and under the terms of this contract, the Government-furnished property described in the Schedule or specifications together with any related data and information that the Contractor may request and is reasonably required for the intended use of the property (hereinafter referred to as "Government-furnished property").

- (2) The delivery or performance dates for this contract are based upon the expectation that Government-furnished property suitable for use (except for property furnished "as is") will be delivered to the Contractor at the times stated in the Schedule or, if not so stated, in sufficient time to enable the Contractor to meet the contract's delivery or performance dates.
- (3) If Government-furnished property is received by the Contractor in a condition not suitable for the intended use, the Contractor shall, upon receipt of it, notify the Contracting Officer, detailing the facts, and, as directed by the Contracting Officer and at Government expense, either repair, modify, return, or otherwise dispose of the property. After completing the directed action and upon written request of the Contractor, the Contracting Officer shall make an equitable adjustment as provided in paragraph (h) of this clause.
- (4) If Government-furnished property is not delivered to the Contractor by the required time, the Contracting Officer shall, upon the Contractor's timely written request, make a determination of the delay, if any, caused the Contractor and shall make an equitable adjustment in accordance with paragraph (h) of this clause.
- (b) Changes in Government-furnished property. (1) The Contracting Officer may, by written notice, (i) decrease the Government-furnished property provided or to be provided under this contract, or (ii) substitute other Government-furnished property for the property to be provided by the Government, or to be acquired by the Contractor for the Government, under this contract. The Contractor shall promptly take such action as the Contracting Officer may direct regarding the removal, shipment, or disposal of the property covered by such notice.
  - (2) Upon the Contractor's written request, the Contracting Officer shall make an equitable adjustment to the contract in accordance with paragraph (h) of this clause, if the Government has agreed in the Schedule to make the property available for performing this contract and there is any--
    - (i) Decrease or substitution in this property pursuant to subparagraph (b) (1) above; or
    - (ii) Withdrawal of authority to use this property, if provided under any other contract or lease.
- (c) Title in Government property. (1) The Government shall retain title to all Government-furnished property.
- (2) All Government-furnished property and all property acquired by the Contractor, title to which vests in the Government under this paragraph (collectively referred to as "Government property"), are subject to the provisions of this clause. However, special tooling accountable to this contract is subject to the provisions of the Special Tooling clause and is not subject to the provisions of this clause. Title to Government

property shall not be affected by its incorporation into or attachment to any property not owned by the Government, nor shall Government property become a fixture or lose its identity as personal property by being attached to any real property.

- (3) Title to each item of facilities and special test equipment acquired by the Contractor for the Government under this contract shall pass to and vest in the Government when its use in performing this contract commences or when the Government has paid for it, whichever is earlier, whether or not title previously vested in the Government.
- (4) If this contract contains a provision directing the Contractor to purchase material for which the Government will reimburse the Contractor as a direct item of cost under this contract--
  - (i) Title to material purchased from a vendor shall pass to and vest in the Government upon the vendor's delivery of such material; and
  - (ii) Title to all other material shall pass to and west in the Government upon--
    - (A) Issuance of the material for use in contract performance;
    - (B) Commencement of processing of the material or its use in contract performance; or
    - (C) Reimbursement of the cost of the material by the Government, whichever occurs first.
- (d) Use of Government property. The Government property shall be used only for performing this contract, unless otherwise provided in this contract or approved by the Contracting Officer.
- (e) Property administration. (1) The Contractor shall be responsible and accountable for all Government property provided under this contract and shall comply with Federal Acquisition Regulation (FAR) Subpart 45.5, as in effect on the date of this contract.
- (2) The Contractor shall establish and maintain a program for the use, maintenance, repair, protection, and preservation of Government property in accordance with sound industrial practice and the applicable provisions of Subpart 45.5 of the FAR.
- (3) If damage occurs to Government property, the risk of which has been assumed by the Government under this contract, the Government shall replace the items or the Contractor shall make such repairs as the Government directs. However, if the Contractor cannot effect such repairs within the time required, the Contractor shall dispose of the property as directed by the Contracting Officer. When any property for which the Government is responsible is replaced or repaired, the Contracting Officer shall make an equitable adjustment in accordance with paragraph (h) of this clause.
- (4) The Contractor represents that the contract price does not include any amount for repairs or replacement for which the Government is responsible. Repair or replacement of property for which the Contractor

- is responsible shall be accomplished by the Contractor at its own expense.
- (f) Access. The Government and all its designees shall have access at all reasonable times to the premises in which any Government property is located for the purpose of inspecting the Government property.
- (g) Risk of loss. Unless otherwise provided in this contract, the Contractor assumes the risk of, and shall be responsible for, any loss or destruction of, or damage to, Government property upon its delivery to the Contractor or upon passage of title to the Government under paragraph (c) of this clause. However, the Contractor is not responsible for reasonable wear and tear to Government property or for Government property properly consumed in performing this contract.
- (h) Equitable adjustment. When this clause specifies an equitable adjustment, it shall be made to any affected contract provision in accordance with the procedures of the Changes clause. When appropriate, the Contracting Officer may initiate an equitable adjustment in favor of the Government. The right to an equitable adjustment shall be the Contractor's exclusive remedy. The Government shall not be liable to suit for breach of contract for--
  - (1) Any delay in delivery of Government-furnished property;
  - (2) Delivery of Government-furnished property in a condition not suitable for its intended use;
    - (3) A decrease in or substitution of Government-furnished property; or
  - (4) Failure to repair or replace Government property for which the Government is responsible.
- (i) Final accounting and disposition of Government property. Upon completing this contract, or at such earlier dates as may be fixed by the Contracting Officer, the Contractor shall submit, in a form acceptable to the Contracting Officer, inventory schedules covering all items of Government property (including any resulting scrap) not consumed in performing this contract or delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b, origin, or dispose of the Government property as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as the Contracting Officer directs.
- (j) Abendoment and restoration of Contractor's premises. Unless otherwise provided herein, the Government--
  - (1) May abandon any Government property in place, at which time all obligations of the Government regarding such abandoned property shall cease; and
  - (2) Has no obligation to restore or rehabilitate the Contractor's premises under any circumstances (e.g., abandonment, disposition upon completion of need, or upon contract completion). However, if the

Government-furnished property (listed in the Schedule or specifications) is withdrawn or is unsuitable for the intended use, or if other Government property is substituted, then the equitable adjustment under paragraph (h) of this clause may properly include restoration or rehabilitation costs.

- (k) Communications. All communications under this clause shall be in writing.
- (1) Overseas contracts. If this contract is to be performed outside of the United States of America, its territories, or possessions, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.

(End of clause)

I.68 52.246-0020

#### WARRANTY OF SERVICES (APR 1984)

- (a) Definitions. "Acceptance," as used in this clause, means the act of an authorised representative of the Government by which the Government assumes for itself, or as an agent of another, ownership of existing and identified supplies, or approves specific services, as partial or complete performance of the contract.
  - "Correction," as used in this clause, means the elimination of a defect.
- (b) Notwithstanding inspection and acceptance by the Government or any provision concerning the conclusiveness thereof, the Contractor warrants that all services performed under this contract will, at the time of acceptance, be free from defects in workmanship and conform to the requirements of this contract. The Contracting Officer shall give written notice of any defect or nonconformance to the Contractor \_\_\_\_\_\_. This notice shall state either (1) that the Contractor shall correct or reperform any defective or nonconforming services, or (2) that the Government does not require correction or reperformance.
- (c) If the Contractor is required to correct or reperform, it shall be at no cost to the Government, and any services corrected or reperformed by the Contractor shall be subject to this clause to the same extent as work initially performed. If the Contractor fails or refuses to correct or reperform, the Contracting Officer may, by contract or otherwise, correct or replace with similar services and charge to the Contractor the cost occasioned to the Government thereby, or make an equitable adjustment in the contract price.
- (d) If the Government does not require correction or reperformance, the Contracting Officer shall make an equitable adjustment in the contract price.

(End of clause) (E 7-1904.5(b) 1979 SEP)

### I.69 52.246-0025 LIMITATION OF LIABILITY--SERVICES (APR 1984)

- (a) Except as provided in paragraphs (b) and (c) below, and except to the extent that the Contractor is expressly responsible under this contract for deficiencies in the services required to be performed under it (including any materials furnished in conjunction with those services), the Contractor shall not be liable for loss of or damage to property of the Government that (1) occurs after Government acceptance of services performed under this contract, and (2) results from any defects or deficiencies in the services performed or materials furnished.
- (b) The limitation of liability under paragraph (a) above shall not apply when a defect or deficiency in, or the Government's acceptance of, services performed or materials furnished results from willful misconduct or lack of good faith on the part of any of the Contractor's managerial personnel. The term "Contractor's managerial personnel," as used in this clause, means the Contractor's directors, officers, and any of the Contractor's managers, superintendents, or equivalent representatives who have supervision or direction of--
  - (1) All or substantially all of the Contractor's business;
  - (2) All or substantially all of the Contractor's operations at any one plant, laboratory, or separate location at which the contract is being performed; or
  - (3) A separate and complete major industrial operation connected with the performance of this contract.
- (c) If the Contractor carries insurance, or has established a reserve for self-insurance, covering liability for loss or damage suffered by the Government through the Contractor's performance of services or furnishing of materials under this contract, the Contractor shall be liable to the Government, to the extent of such insurance or reserve, for loss of or damage to property of the Government occurring after Government acceptance of, and resulting from any defects and deficiencies in, services performed or materials furnished under this contract.
- (d) The Contractor shall include this clause, including this paragraph(d), supplemented as necessary to reflect the relationship of the contracting parties, in all subcontracts over \$25,000.

(End of clause)

(R 7-1912 1974 APR)

I.70 52.247-0034

#### F.O.B. DESTINATION (NOV 1991)

- (a) The term "f.o.b. destination," as used in this clause, means--
- (1) Free of expense to the Government, on board the carrier's conveyance, at a specified delivery point where the consignee's facility (plant, warehouse, store, lot, or other location to which shipment can be made) is located; and
- (2) Supplies shall be delivered to the destination consignee's wharf (if destination is a port city and supplies are for export), warehouse

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unloading platform, or receiving dock, at the expense of the Contractor. The Government shall not be liable for any delivery, storage, demurrage, accessorial, or other charges involved before the actual delivery (or "constructive placement" as defined in carrier tariffs) of the supplies to the destination, unless such charges are caused by an act or order of the Government acting in its contractual capacity. If rail carrier is used, supplies shall be delivered to the specified unloading platform of the consignee. If motor carrier (including "piggyback") is used, supplies shall be delivered to truck tailgate at the unloading platform of the consignee, except when the supplies delivered meet the requirements of Item 568 of the Mational Motor Freight Classification for "heavy or bulky freight." When supplies meeting the requirements of the referenced Item 568 are delivered, unloading (including movement to the tailgate) shall be performed by the consignee, with assistance from the truck driver, if requested. If the contractor uses rail carrier or freight forwarded for less than carloed shipments, the contractor shall ensure that the carrier will furnish tailgate delivery, when required, if transfer to truck is required to complete delivery to consignee.

- (b) The Contractor shall--
- (1) (i) Pack and mark the shipment to comply with contract specifications; or
  - (ii) In the absence of specifications, prepare the shipment in conformance with carrier requirements;
  - (2) Prepare and distribute commercial bills of lading;
- (3) Deliver the shipment in good order and condition to the point of delivery specified in the contract;
- (4) Be responsible for any loss of and/or damage to the goods occurring before receipt of the shipment by the consignee at the delivery point specified in the contract;
- (5) Purnish a delivery schedule and designate the mode of delivering carrier; and
  - (6) Pay and beer all charges to the specified point of delivery. (End of clause)

#### I.71 52.248-0001

#### VALUE ENGINEERING (MAR 1989)

- (a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any net acquisition savings realized from accepted VECP's, in accordance with the incentive sharing rates in paragraph (f) below.
- (b) Definitions. "Acquisition savings," as used in this clause, means savings resulting from the application of a VECP to contracts awarded by the same contracting office or its successor for essentially the same unit. Acquisition savings include--

- (1) Instant contract savings, which are the net cost reductions on this, the instant contract, and which are equal to the instant unit cost reduction multiplied by the number of instant contract units affected by the VECP, less the Contractor's allowable development and implementation costs;
- (2) Concurrent contract savings, which are net reductions in the prices of other contracts that are definitized and ongoing at the time the VECP is accepted; and
- (3) Future contract savings, which are the product of the future unit cost reduction multiplied by the number of future contract units scheduled for delivery during the sharing period. If this contract is a multiplied contract, future contract savings include savings on quantities funded after VECP acceptance.
- (4) Annual acquisition savings, which are the net reduction in acquisition cost to the Government of an item, resulting from an accepted Value Engineering Change Proposal which the Government determines to reduce the quantity requirements on either the instant contract, concurrent and/or future contracts during the shared period.

  "Collateral costs," as used in this clause, means agency cost of operation, maintenance, logistic support, or Government-furnished property.

  "Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.

"Contracting office" includes any contracting office that the acquisition is transferred to, such as another branch of the agency or another agency's office that is performing a joint acquisition action.

"Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.

"Future unit cost reduction," as used in this clause, means the instant unit cost reduction adjusted as the Contracting Officer considers necessary for projected learning or changes in quantity during the sharing period. It is calculated at the time the VECP is accepted and applies either (1) throughout the sharing period, unless the Contracting Officer decides that recalculation is necessary because conditions are significantly different from those previously anticipated or (2) to the calculation of a lump-sum payment, which cannot later be revised.

"Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistics support. The term does not include the normal administrative costs of

processing the VECP or any increase in this contract's cost or price resulting from negative instant contract savings.

"Instant contract," as used in this clause, means this contract, under which the VECP is submitted. It does not include increases in quantities after acceptance of the VECP that are due to contract modifications, exercise of options, or additional orders. If this is a multiyear contract, the term does not include quantities funded after VECP acceptance. If this contract is a fixed-price contract with prospective price redetermination, the term refers to the period for which firm prices have been established.

"Instant unit cost reduction" means the amount of the decrease in unit cost of performance (without deducting any Contractor's development or implementation cost) resulting from using the VECP on the instant contract or the amount of savings in annual acquisition cost per unit resulting from the procurement of a reduced total annual demand. In service contracts, the instant unit cost reduction is normally equal to the number of hours per line-item task saved by using the VECP on the instant contract, multiplied by the appropriate contract labor rate. Unit cost reduction for savings in annual acquisition cost will be determined by: old annual demand (QAD) of the old item multiplied by the old unit cost (OCC) minus "new" annual demand (MAD) of the new part multiplied by the new unit cost (MCC) and this quantity divided by the "new" annual demand (MAD): {(QAD x OCC) - (MAD x MCC)}/MAD.

"Negative instant contract savings" means the increase in the cost or price of this contract when the acceptance of a VECP results in an excess of the Contractor's allowable development and implementation costs over the product of the instant unit cost reduction multiplied by the number of instant contract units affected.

"Met acquisition savings" means total acquisition savings, including instant, concurrent, and future contract savings, less Government costs.

"Sharing base," as used in this clause, means the number of affected and items on contracts of the contracting office accepting the VECP.

"Sharing period," as used in this clause, means the period beginning with acceptance of the first unit incorporating the VECP and ending at the later of (1) 3 years after the first unit affected by the VECP is accepted or (2) the last scheduled delivery date of an item affected by the VECP under this contract's delivery schedule in effect at the time the VECP is accepted.

"Unit," as used in this clause, means the item or task to which the Contracting Officer and the Contractor agree the VECP applies.

"Value engineering change proposal (VECP)" means a proposal that --

- (1) Requires a change to this, the instant contract, to implement; and
- (2) Results in reducing the overall projected cost to the agency without impairing essential functions or characteristics; provided, that it does not involve a change--

- (i) In deliverable end item quantities only;
- (ii) In research and development (R&D) end items or R&D test quantities that is due solely to results of previous testing under this contract; or
  - (iii) To the contract type only.
- (c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in subparagraphs (1) through (8) below. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:
  - (1) A description of the difference between the existing contract requirement and the proposed requirement, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, the effect of the change on the end item's performance, and any pertinent objective test data.
  - (2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.
    - (3) Identification of the unit to which the VECP applies.
  - (4) A separate, detailed cost estimate for (i) the affected portions of the existing contract requirement and (ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under the Subcontracts paragraph of this clause, below.
  - (5) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.
  - (6) A prediction of any effects the proposed change would have on collateral costs to the agency.
  - (7) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.
  - (8) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.
- (d) Submission. The Contractor shall submit VECP's to the Contracting Officer, unless this contract states otherwise. If this contract is administered by other than the contracting office, the Contractor shall submit a copy of the VECP simultaneously to the Contracting Officer and to the Administrative Contracting Officer.
- (e) Government action. (1) The Contracting Officer shall notify the Contractor of the status of the VECP within 45 calendar days after the

contracting office receives it. If additional time is required, the Contracting Officer shall notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it shall not be liable for any delay in acting upon a VECP.

- (2) If the VECP is not accepted, the Contracting Officer shall notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.
- (3) Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause and made either before or within a reasonable time after contract performance is completed. Until such a contract modification applies a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The Contracting Officer's decision to accept or reject all or part of any VECP and the decision as to which of the sharing rates applies shall be final and not subject to the Disputes clause or otherwise subject to litigation under the Contract Disputes Act of 1978 (41 U.S.C. 601-613).
- (f) Sharing rates. If a VECP is accepted, the Contractor shall share in not acquisition savings according to the percentages shown in the table below. The percentage paid the Contractor depends upon (1) this contract's type (fixed-price, incentive, or cost-reimbursement), (2) the sharing arrangement specified in paragraph (a) above (incentive, program requirement, or a combination as delineated in the Schedule), and (3) the source of the savings (the instant contract, or concurrent and future contracts), as follows:

CONTRACTOR'S SHARE OF MET ACQUISITION SAVINGS
(figures in percent)

	Sharing	Arrangement
Contract Type	Incentive (voluntary)	Program requirement   (mandatory)
	Instant   Concurrent   contract   and future   rate   contract   rate	Instant   Concurrent   contract   and future   rate   contract   rate
Fixed-price (other than	50   50	25   25 

incentive)	1		1		1		1	
	1		ł		1		1	
Incentive	1	•	1	50	1	*	1	25
(fixed-price	1		1		1		ļ	
or cost)	1		1	•	1		1	
	ŧ		1		1		1	
Cost-	1	25	1	25	1	15	1	15
reimbursement	Ţ		ı		1		ļ	
(other than	i		1		ı		1	
incentive) ++	I		1		1		1	
-	ı	1	1		1		1	

<sup>+</sup> Same sharing arrangement as the contract's profit or fee adjustment formula.

- (g) Calculating net acquisition savings. (1) Acquisition savings are realized when (i) the cost or price is reduced on the instant contract, (ii) reductions are negotiated in concurrent contracts, (iii) future contracts are awarded, or (iv) agreement is reached on a lump-sum payment for future contract savings (see subparagraph (i) (4) below). Bet acquisition savings are first realized, and the Contractor shall be paid a share, when Government costs and any negative instant contract savings have been fully offset against acquisition savings.
  - (2) Except in incentive contracts, Government costs and any price or cost increases resulting from negative instant contract savings shall be offset against acquisition savings each time such savings are realized until they are fully offset. Then, the Contractor's share is calculated by multiplying net acquisition savings by the appropriate Contractor's percentage sharing rate (see paragraph (f) above). Additional Contractor shares of net acquisition savings shall be paid to the Contractor at the time realized.
  - (3) If this is an incentive contract, recovery of Government costs on the instant contract shall be deferred and offset against concurrent and future contract savings. The Contractor shall share through the contract incentive structure in savings on the instant contract items affected. Any negative instant contract savings shall be added to the target cost or to the target price and ceiling price, and the amount shall be offset against concurrent and future contract savings.
  - (4) If the Government does not receive and accept all items on which it paid the Contractor's share, the Contractor shall reimburse the Government for the proportionate share of these payments.
- (h) Contract adjustment. The modification accepting the VSCP (or a subsequent modification issued as soon as possible after any negotiations

<sup>++</sup>Includes cost-plus-award-fee contracts.

are completed) shall--

- Reduce the contract price or estimated cost by the amount of instant contract savings, unless this is an incentive contract;
- (2) When the amount of instant contract savings is negative, increase the contract price, target price and ceiling price, target cost, or estimated cost by that amount;
- (3) Specify the Contractor's dollar share per unit on future contracts, or provide the lump-sum payment;
- (4) Specify the amount of any Government costs or negative instant contract savings to be offset in determining net acquisition savings realized from concurrent or future contract savings; and
- (5) Provide the Contractor's share of any net acquisition savings under the instant contract in accordance with the following:
  - (i) Fixed-price contracts--add to contract price.
  - (ii) Cost-reimbursement contracts -- add to contract fee.
- (i) Concurrent and future contract savings. (1) Payments of the Contractor's share of concurrent and future contract savings shall be made by a modification to the instant contract in accordance with subparagraph (h) (5) above. For incentive contracts, shares shall be added as a separate firm-fixed-price line item on the instant contract. The Contractor shall maintain records adequate to identify the first delivered unit for 3 years after final payment under this contract.
  - (2) The Contracting Officer shall calculate the Contractor's share of concurrent contract savings by (i) subtracting from the reduction in price negotiated on the concurrent contract any Government costs or negative instant contract savings not yet offset and (ii) multiplying the result by the Contractor's sharing rate.
  - (3) The Contracting Officer shall calculate the Contractor's share of future contract savings by (i) multiplying the future unit cost reduction by the number of future contract units scheduled for delivery during the sharing period, (ii) subtracting any Government costs or negative instant contract savings not yet offset, and (iii) multiplying the result by the Contractor's sharing rate.
  - (4) When the Government wishes and the Contractor agrees, the Contractor's share of future contract savings may be paid in a single lump sum rather than in a series of payments over time as future contracts are awarded. Under this alternate procedure, the future contract savings may be calculated when the VECP is accepted, on the basis of the Contracting Officer's forecast of the number of units that will be delivered during the sharing period. The Contractor's share shall be included in a modification to this contract (see subparagraph (h)(3) above) and shall not be subject to subsequent adjustment.
  - (5) Alternate no-cost settlement method. When, in accordance with subsection 48.104-3 of the Federal Acquisition Regulation, the Government

and the Contractor mutually agree to use the no-cost settlement method, the following applies:

- (i) The Contractor will keep all the savings on the instant contract and on its concurrent contracts only.
- (ii) The Government will keep all the savings resulting from concurrent contracts placed on other sources, savings from all future contracts, and all collateral savings.
- (j) Collateral savings. If a VECP is accepted, the instant contract amount shall be increased, as specified in subparagraph (h) (5) above, by 20 percent of any projected collateral savings determined to be realized in a typical year of use after subtracting any Government costs not previously offset. However, the Contractor's share of collateral savings shall not exceed (1) the contract's firm-fixed-price, target price, target cost, or estimated cost, at the time the VECP is accepted, or (2) \$100,000, whichever is greater. The Contracting Officer shall be the sole determiner of the amount of collateral savings, and that amount shall not be subject to the Disputes clause or otherwise subject to litigation under 41 U.S.C. 601-613.
- (k) Relationship to other incentives. Only those benefits of an accepted VECP not rewardable under performance, design-to-cost (production unit cost, operating and support costs, reliability and maintainability), or similar incentives shall be rewarded under this clause. However, the targets of such incentives affected by the VECP shall not be adjusted because of VECP acceptance. If this contract specifies targets but provides no incentive to surpass them, the value engineering sharing shall apply only to the amount of achievement better than target.
- (1) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$100,000 or more and may include one in subcontracts of lesser value. In calculating any adjustment in this contract's price for instant contract savings (or negative instant contract savings), the Contractor's allowable development and implementation costs shall include any subcontractor's allowable development and implementation costs, and any value engineering incentive payments to a subcontractor, clearly resulting from a VECP accepted by the Government under this contract. The Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that the payments shall not reduce the Government's share of concurrent or future contract savings or collateral savings.
- (m) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations.

If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

#### (End of clause)

I.72 52.249-0002

TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (APR 1984)

- (a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Motice of Termination specifying the extent of termination and the effective date.
- (b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:
  - (1) Stop work as specified in the notice.
  - (2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.
  - (3) Terminate all subcontracts to the extent they relate to the work terminated.
  - (4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.
  - (\$) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.
  - (6) he directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the

contract had been completed, would be required to be furnished to the Government.

- (7) Complete performance of the work not terminated.
- (8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.
- (9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (6) above; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.
- (c) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.
- (d) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1 year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information swallable, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.
- (e) Subject to paragraph (d) above, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (e) or paragraph (f) below, exclusive of costs shown in

subparagraph (f)(3) below, may not exceed the total contract price as raduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be amended, and the Contractor paid the agreed amount. Paragraph (f) below shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.

- (f) If the Contractor and the Contracting Officer fail to agree on the whole amount to be paid because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined by the Contracting Officer as follows, but without duplication of any amounts agreed on under paragraph (e) above:
  - (1) The contract price for completed supplies or services accepted by the Government (or sold or acquired under subparagraph (b) (9) above) not previously paid for, adjusted for any saving of freight and other charges.
    - (2) The total of--
    - (i) The costs incurred in the performance of the work terminated, including initial costs and preparatory expense allocable thereto, but excluding any costs attributable to supplies or services paid or to be paid under subparagraph (f) (1) above;
    - (ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (i) above; and
    - (iii) A sum, as profit on subdivision (i) above, determined by the Contracting Officer under 49.202 of the Federal Acquisition
      Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.
  - (3) The reasonable costs of settlement of the work terminated, including--
    - (i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;
    - (ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and
    - (iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.
- (g) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph

- (f) above, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.
- (h) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.
- (i) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (d), (f), or (k), except that if the Contractor failed to submit the termination settlement proposal within the time provided in paragraph (d) or (k), and failed to request a time extension, there is no right of appeal. If the Contracting Officer has made a determination of the amount due under paragraph (d), (f), or (k), the Government shall pay the Contractor (1) the amount determined by the Contracting Officer if there is no right of appeal or if no timely appeal has been taken, or (2) the amount finally determined on an appeal.
- (j) In arriving at the amount due the Contractor under this clause, there shall be deducted--
  - (1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;
  - (2) Any claim which the Government has against the Contractor under this contract; and
  - (3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.
- (k) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.
- (1) (1) The Government may, under the terms and conditions it prescribes, wake partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.
- (2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(3). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest

shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(m) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

> (End of clause) (R 1-8.701)

(R 7-103.21(b) 1974 OCT)

I.73 52.249-0008

#### DEFAULT (FIXED-PRICE SUPPLY AND SERVICE) (APR 1984)

- (a) (1) The Government may, subject to paragraphs (c) and (d) below, by written notice of default to the Contractor, terminate this contract in whole or in part if the Contractor fails to--
  - Deliver the supplies or to perform the services within the time specified in this contract or any extension;
  - (ii) Make progress, so as to endanger performance of this contract (but see subparagraph (a) (2) below); or
  - (iii) Perform any of the other provisions of this contract (but see subparagraph (a) (2) below).
  - (2) The Government's right to terminate this contract under subdivisions (1)(ii) and (1)(iii) above, may be exercised if the Contractor does not cure such failure within 10 days (or more if authorised in writing by the Contracting Officer) after receipt of the notice from the Contracting Officer specifying the failure.
- (b) If the Government terminates this contract in whole or in part, it way acquire, under the terms and in the manner the Contracting Officer considers appropriate, supplies or services similar to those terminated, and the Contractor will be liable to the Government for any excess costs for those supplies or services. However, the Contractor shall continue the work not terminated.
- (c) Except for defaults of subcontractors at any tier, the Contractor shall not be liable for any excess costs if the failure to perform the contract arises from causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include (1) acts of

God or of the public enemy, (2) acts of the Government in either its sovereign or contractual capacity, (3) fires, (4) floods, (5) epidemics, (6) quarantine restrictions, (7) strikes, (8) freight embargoes, and (9) unusually severe weather. In each instance the failure to perform must be beyond the control and without the fault or negligence of the Contractor.

- (d) If the failure to perform is caused by the default of a subcontractor at any tier, and if the cause of the default is beyond the control of both the Contractor and subcontractor, and without the fault or negligence of either, the Contractor shall not be liable for any excess costs for failure to perform, unless the subcontracted supplies or services were obtainable from other sources in sufficient time for the Contractor to meet the required delivery schedule.
- (e) If this contract is terminated for default, the Government may require the Contractor to transfer title and deliver to the Government, as directed by the Contracting Officer, any (1) completed supplies, and (2) partially completed supplies and materials, parts, tools, dies, jigs, fixtures, plans, drawings, information, and contract rights (collectively referred to as "manufacturing materials" in this clause) that the Contractor has specifically produced or acquired for the terminated portion of this contract. Upon direction of the Contracting Officer, the Contractor shall also protect and preserve property in its possession in which the Government has an interest.
- (f) The Government shall pay contract price for completed supplies delivered and accepted. The Contractor and Contracting Officer shall agree on the amount of payment for manufacturing materials delivered and accepted and for the protection and preservation of the property. Failure to agree will be a dispute under the Disputes clause. The Government may withhold from these amounts any sum the Contracting Officer determines to be necessary to protect the Government against loss because of outstanding liens or claims of former lien holders.
- (g) If, after termination, it is determined that the Contractor was not in default, or that the default was excusable, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the Government.
- (h) The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

(End of clause) (R 1-8.707) (R 7-103.11 1959 AUG) END OF SECTION I

# SECTION J LIST OF ATTACHMENTS

- 1. Service Wage Determination Nos.: 86-0851 (Rev 15), 86-0845 (Rev. 9) and 86-0847 (Rev. 12).
- 2. General Wage Decision No.: PA930004 (For Construction)
- 3. Request for Cage Code
- 4. Compliance Summary Checklist
- 5. Technical Specifications and Attachments I thru VIII (Section C) (Volumes 2 and 3 Bound Separately)
- 6. Drawings (Section C) 1/2 size set of advertised drawings Bound Separately

#### DACW45-93-R-0077

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# SECTION K REPRESENTATIONS, CERTIFICATIONS AND OTHER STATEMENTS OF OFFERORS

K.1

CONTRACTOR'S CERTIFICATION (Reference FAR 4.102) (Local Provision)

Bidders are cautioned to note the "contractor's certification" included in this solicitation and to furish the information required by paragraph b, Partnerships, and paragraph c, Corporations, as appropriate.

- CONTRACT UTTU	INDIVIDUALS. If the resultant contract is with
•	
	signed by the individual in his own name. A
	al doing business as a firm shall be signed by
that individual and will o	ordinarily take the following form.
1	
<u>_</u>	(Signed)
2	
Az	n individual doing business as
	•
<del> </del>	
b govern a concentration	
(2)	PARTMERSHIPS. If the resultant contract is with
· · · · · · · · · · · · · · · · · · ·	signed by only one partner PROVIDED the partner
signing has the authority	to legally bind the partnership. In addition,
the following statement sh	mall be completed:
	is a partnership composed of
(Firm Name)	<del></del> -
	•
(List All Partners)	
(Disc All Falchers)	
	•
(Indicate if any partner :	is limited in partnership authority)
c. CONTRACTS WITH	H CORPORATIONS. If the resultant contract is with
a corporation, it shall be	e executed in the corporation name, followed by
the word "by" after which	the person who has been authorized to execute th
contract on behalf of the	corporation shall sign his name, with the
designation of his official	al capacity. In addition, the following
certification shall be con	mpleted:
	•
I.	, certify that I am the
-	as contractor herein, that
•	on behalf of the contractor was then
of said corporation, that	said contract was duly signed for and on behalf

K-1

In witness w		reunto affixed my sig	nature this day	
		(Signature, Printe	d Name, Title)	
d. CONTRACT WITH JOINT VENTURES. If the resultant contract is with a joint venture, each participant shall sign and in the manner indicated above for each type of participant. In addition, to assure a single point of contact for resolution of contractual matters and paymen the following certification shall be signed by each participant in the joint venture.				
-	hereto expressly	understand and agree	as follows:	
(1)	(Name)		<del></del>	
-	-	ive of the joint vent		
communicatio	ns regarding the	ive of the joint vent	ure. As such, all contract and the	
communicatio performance of:	ns regarding the	ive of the joint vent	ure. As such, all contract and the to him. In the abse	
communication performance of:	ns regarding the of the work there	ive of the joint vent administration of the under may be directed	ure. As such, all contract and the ito him. In the abse	
communication or formance of: (Name)	of the work there  (Title)	ive of the joint vent administration of the under may be directed (Company as above)	ure. As such, all contract and the ito him. In the abse	
(Name)  (Name)  (State alter  (2)	(Title)  (Title)  mate principle of Directions, appress from the Government	ive of the joint vent administration of the under may be directed (Company as above)	ure. As such, all contract and the to him. In the absence to him the a	

e. SIGNATURE OF AGENTS. If the resultant contract is signed by an agent, other than as stated above, the fact of the agency will be evidenced by a copy of the Power of Attorney.

(End of Local Provision)

K.2 52.203-0002

#### CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985)

- (a) The offeror certifies that --
- (1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- (b) Each signature on the offer is considered to be a certification by the signatory that the signatory--
  - (1) Is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a) (1) through (a) (3) above; or
  - (2)(i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above

(insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization);

- (ii) As an authorized agent, does certify that the principals named in subdivision (b) (2) (i) above have not participated, and will not participate, in any action contrary to subparagraphs (a) (1) through (a) (3) above; and
- (iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) If the offeror deletes or modifies subparagraph (a) (2) above, the

offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

#### (End of provision)

K.3 52.203-0004

CONTINGENT FEE REPRESENTATION AND AGREEMENT (APR 1984)

- (a) Representation. The offeror represents that, except for full-time bona fide employees working solely for the offeror, the offeror-[Note: The offeror must check the appropriate boxes. For interpretation of the representation, including the term "bona fide employee," see Subpart 3.4 of the Federal Acquisition Regulation.]
  - (1) /\_/ has, /\_/ has not employed or retained any person or company to solicit or obtain this contract; and
- (2) /\_/ has, /\_/ has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.
- (b) Agreement. The offeror agrees to provide information relating to the above Representation as requested by the Contracting Officer and, when subparagraph (a)(1) or (a)(2) is answered affirmatively, to promptly submit to the Contracting Officer--
  - (1) A completed Standard Form 119, Statement of Contingent or Other Fees, (SF 119); or
  - (2) A signed statement indicating that the SF 119 was previously submitted to the same contracting office, including the date and applicable solicitation or contract number, and representing that the prior SF 119 applies to this offer or quotation.

(End of provision)

(R 7-2002.1 1974 APR)

(R 1-1.505)

#### K.4 52.203-0008

#### REQUIREMENT FOR CERTIFICATE OF PROCUREMENT INTEGRITY (NOV 1990)

- (a) Definitions. The definitions at FAR 3.104-4 are hereby incorporated in this provision.
- (b) Certifications. As required in paragraph (c) of this provision, the officer or employee responsible for this offer shall execute the following certification:

#### CERTIFICATE OF PROCUREMENT INTEGRITY

(1) I, \_\_\_\_\_\_\_\_[Name of certifier], as the officer or employee responsible for the preparation of this offer and hereby certify that, to the best of my knowledge and belief, with the exception of any information described in this certificate, I have no information concerning a violation or possible violation of subsection 27(a), (b), (d), or (f) of the Office of Federal Procurement Policy Act, as amended-(41.U.S.C. 423), (hereinafter referred to as "the Act"), as implemented

in the FAR, occurring during the conduct of this procurement
(solicitation number).
(2) As required by subsection 27(e)(1)(B) of the Act, I further certitate, to the best of my knowledge and belief, each officer, employee, agent, representative, and consultant of[Name of
Offeror] who has participated personally and substantially in the
preparation or submission of this offer has certified that he or she is
familiar with, and will comply with, the requirements of subsection
27(a) of the Act, as implemented in the FAR, and will report immediately
to me any information concerning a violation or possible violation of
subsections 27(a), (b), (d), or (f) of the Act, as implemented in the
FAR, pertaining to this procurement.
(3) Violations or possible violations: (Continue on plain bond paper
if necessary and label Certificate of Procurement Integrity
(Continuation Sheet), ENTER NOME IF NOME EXIST)
(4) I agree that, if awarded a contract under this solicitation, the
certifications required by subsection 27(e)(1)(B) of the Act shall be
maintained in accordance with paragraph (f) of this provision.
[Signature of the officer or employee responsible for the offer and
date)
[Typed name of the officer or employee responsible for the offer]
Subsections 27(a), (b), and (d) are effective on December 1, 1990.
bsection 27(f) is effective on June 1, 1991.
is certification concerns a matter within the jurisdiction of an agency
THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT
TID CHITTEN GIVING WENT THE LAWFILL AL W LUTHEN LIMITIAGE AV LUMCHONDING

(End of certification)

CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER TITLE 18,

UNITED STATES CODE, SECTION 1001.

- (c) (1) For procurements using sealed bidding procedures, the signed certifications shall be submitted by each bidder with the hid submission except for procurements using two-step sealed bidding procedure (see Subpart 14.5). For those procurements, the certifications shall be submitted with submission of the step two sealed bids. A certificate is not required for indefinite delivery contracts (see Subpart 16.5) unless the total estimated value of all orders eventually to be placed under the contract is expected to exceed \$100,000.
  - (2) For contracts and contract modifications which include options, a certificate is required when the aggregate value of the contract or

contract modification and all options (see 3.104-4(e)) exceeds \$100,000.

- (3) Failure of a bidder to submit the signed certificate with its bid shall render the bid nonresponsive.
- (d) Pursuant to FAR 3.104-9(d), the Offeror may be requested to execute additional certifications at the request of the Government. Failure of an Offeror to submit the additional certifications shall cause its offer to be rejected.
- (e) A certification containing a disclosure of a violation or possible violation will not necessarily result in the withholding of award under this solicitation. However, the Government, after evaluation of the disclosure, may cancel this procurement or take any other appropriate actions in the interests of the Government, such as disqualification of the Offeror.
- (f) In making the certification in paragraph (2) of the certificate, the officer or employee of the competing Contractor responsible for the offer may rely upon a one-time certification from each individual required to submit a certification to the competing Contractor, supplemented by periodic training. These certifications shall be obtained at the earliest possible date after an individual required to certify begins employment or association with the Contractor. If a Contractor decides to rely on a certification executed prior to the suspension of section 27 (i.e., prior to December 1, 1989), the Contractor shall ensure that an individual who has so certified is notified that section 27 has been reinstated. These certifications shall be maintained by the Contractor for 6 years from the date a certifying employee's employment with the company ends or, for an agent, representative, or consultant, 6 years from the date such individual ceases to act on behalf of the Contractor.
- (g) Certifications under paragraphs (b) and (d) of this provision are material representations of fact upon which reliance will be placed in awarding a contract.

(End of provision)

CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)

- (a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this certification.
- (b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989,--
- (1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection

K.5 52.203-0011

with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

- (2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, CMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and
- (3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.
- (c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31. United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

(End of provision)

TAXPAYER IDENTIFICATION (SEP 1992)

#### (a) Définitions.

"Common parent," as used in this solicitation provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Corporate status", as used in this solicitation provision, means a designation as to whether the offeror is a corporate entity, an unincorporated entity (e.g., sole proprietorship or partnership), or a corporation providing medical and health care services.

"Taxpayer Identification Number (TIN)", as used in this solicitation provision, means the number required by the IRS to be used by the offeror in reporting income tax and other returns.

(b) All offerors are required to submit the information required in paragraphs (c) through (e) of this solicitation provision in order to comply with reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M and implementing regulations issued by the Internal Revenue Service (IRS). If the resulting contract is subject to the reporting requirements

K. 6

described in 4.903, the failure or refusal by the offeror to furnish the information may result in a 20 percent reduction of payments otherwise due under the contract.

COMMERC	TAT-	(End of provision) AND GOVERNMENT ENTITY (CAGE) CODE REPORTING (DEC 1991)
_		
Kame	-	) Hame and TIM of common parent:
defined		paragraph (a) of this clause.
	-	) Offeror is not owned or controlled by a common parent as
(e) C		m Parent.
		that is exempt from taxation under 26 CFR 501(a).
	-	) Hospital or extended care facility described in 26 CFR
		) Partnership
	(	) Sole proprietorship
	(	) Not a corporate entity;
	(	) Other corporate entity;
engaged	in	the billing and collecting of payments for such services;
	(	) Corporation providing medical and health care services, or
(d) C		orate Status.
	(	) Other. State basis.
local go		· · · · · · · · · · · · · · · · · · ·
30.02	-	) Offeror is an agency or instrumentality of a Federal, state or
governm	-	•
or brace		business or a fiscal paying agent in the U.S.; ) Offeror is an agency or instrumentality of a foreign
		of a trade or business in the U.S. and does not have an office
_	-	thership that does not have income effectively connected with
	(	) Offeror is a nonresident alien, foreign corporation, or
	(	) TIN is not required because:
	(	) TIN has been applied for.
	(	) TIN:
(C) Ta	-	yer Identification Number (TIN).

K.7 52.204-7001

- (a) The Offeror is requested to enter its CAGE code on its offer in the block with its name and address. The CAGE code entered must be for that name and address. Enter CAGE before the number.
- (b) If the Offeror does not have a CAGE code, it may ask the Contracting Officer to request one from the Defense Logistics Services Center (DLSC). The Contracting Officer will--
  - (1) Ask the Contractor to complete section B of a DD Form 2051, Request for Assignment of a Commercial and Government Entity (CAGE) Code;
    - (2) Complete section A and forward the form to DLSC; and
    - (3) Notify the Contractor of its assigned CAGE code.

K.8 52.209-0005

(c) Do not delay submission of the offer pending receipt of a CAGE code. (End of provision)

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (MAY 1999)

- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that--
  - (i) The Offeror and/or any of its Principals--
  - (A) Are / / are not / / presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
  - (B) Have / / have not / /, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; and
  - (C) Are // are not // presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a) (1) (i) (B) of this provision.
  - (ii) The Offeror has / / has not / /, within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
  - (2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).
- THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.
- (b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure

of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

(End of provision)

ORGANIZATIONAL CONFLICTS OF INTEREST CERTIFICATE--MARKETING CONSULTANTS
(NOV 1991)

#### (a) Definitions.

- (1) "Marketing consultant" means any independent Contractor who furnishes advice, information, direction, or assistance to an Offeror or any other Contractor in support of the preparation or submission of an offer for a Government contract by that Offeror. An independent Contractor is not a marketing consultant when rendering--
  - (i) Services excluded in FAR 37.204;
  - (ii) Routine engineering and technical services (such as installation, operation, or maintenance of systems, equipment, software, components, or facilities);
    - (iii) Routine legal, actuarial, auditing, and accounting services; or
    - (iv) Training services.
- (2) Organizational conflict of interest means that because of other activities or relationships with other persons, a person is unable or potentially unable to render impartial assistance or advice to the Government, or the person's objectivity in performing the contract work is or might be otherwise impaired, or a person has an unfair competitive advantage.
- (b) An individual or firm that employs, retains, or engages contractually one or more marketing consultants in connection with a contract, shall submit to the Contracting Officer, with respect to each marketing consultant, the certificates described below, if the individual or firm is notified that it is the apparent successful Offeror.
  - (c) The certificate must contain the following:
    - (1) The name of the agency and the number of the solicitation in

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52.209-0007

question.

- (2) The name, address, telephone number, and Federal taxpayer identification number of the marketing consultant.
- (3) The name, address, and telephone number of a responsible officer or employee of the marketing consultant who has personal knowledge of the marketing consultants involvement in the contract.
- (4) A description of the nature of the services rendered by or to be rendered by the marketing consultant.
- (5) The name, address, and telephone number of the client or clients, and the name of a responsible officer or employee of the marketing consultant who is knowledgeable about the services provided to such client(s), and a description of the nature of the services rendered to such client(s), if, based on information provided to the Contractor by the marketing consultant, any marketing consultant is rendering or, in the \_\_\_\_\_\_ months preceding the date of the certificate, has rendered services respecting the same subject matter of the instant solicitation, or directly relating to such subject matter, to the Government or any other client (including any foreign government or person).
- (6) A statement that the person who signs the certificate for the prime Contractor has informed the marketing consultant of the existence of Subpart 9.5 and Office of Federal Procurement Policy Letter 89-1.
- (7) The signature, name, title, employer's name, address, and telephone number of the persons who signed the certificates for both the apparent successful Offeror and the marketing consultant.
- (d) In addition, the apparent successful Offeror shall forward to the Contracting Officer a certificate signed by the marketing consultant that the marketing consultant has been told of the existence of Subpart 9.5 and Office of Federal Procurement Policy Letter 89-1, and the marketing consultant has made inquiry, and to the best of the consultant's knowledge and belief, the consultant has provided no unfair competitive advantage to the prime Contractor with respect to the services rendered or to be rendered in connection with the solicitation, or that any unfair competitive advantage that, to the best of the consultant's knowledge and belief, does or may exist, has been disclosed to the Offeror.
- (e) Failure of the Offeror to provide the certifications may result in the Offeror being determined ineligible for award. Misrepresentation of any fact may result in the assessment of penalties associated with false certifications or such other provisions provided for by law or regulation.

(Eng of provision)

TYPE OF BUSINESS ORGANIZATION (JUL. 1987)

The	of	feror or quoter.	by che	cking th	ne applicable	box,	repro	esents	th	at-
(a)	Ιt	operates as /_/	a corp	oration	incorporated	under	the	laws	of	the
State	of		<del></del>	, /_/ as	n individual,	/_/ 4	part	nersh	ip,	1_

K.10 52.215-0006

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a nonprofit organization, or /\_/ a joint venture.

(b) If the offeror or quoter is a foreign entity, it operates as /\_/ an individual, /\_/ a partnership, /\_/ a nonprofit organization, /\_/ a joint venture, or /\_/ a corporation, registered for business in

(country).

(End of provision)

K.11 52.215-0011

AUTHORIZED NEGOTIATORS (APR 1984)

The offeror or quoter represents that the following persons are authorized to negotiate on its behalf with the Government in connection with this request for proposals or quotations:

Name

Title

Telephone number

(list names, titles, and telephone numbers of the authorized negotiators).
(End of provision)

(R 3-501(b) Sec K (iv))

K.12 52.215-0019

PERIOD FOR ACCEPTANCE OF OFFER (APR 1984)

In compliance with the solicitation, the offeror agrees, if this offer is accepted within \_6\_O\_ calendar days (60 calendar days unless a different period is inserted by the offeror) from the date specified in the solicitation for receipt of offers, to furnish any or all items on which prices are offered at the price set opposite each item, delivered at the designated point(s), within the time specified in the Schedule.

(End of provision)
(R SF 33 1977 MAR)

K.13 52.215-0020

PLACE OF PERFORMANCE (APR 1984)

- (a) The offeror or quoter, in the performance of any contract resulting from this solicitation, /\_/ intends, /\_/ does not intend (check applicable block) to use one or more plants or facilities located at a different address from the address of the offeror or quoter as indicated in this proposal or quotation.
- (b) If the offeror or quoter checks "intends" in paragraph (a) above, it shall insert in the spaces provided below the required information:

  Place of Ferformance (Street Name and Address of Owner Address, City, County, State, and Operator of the Plant or Zip Code)

  Facility if Other than Offeror or Ounter

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(End of provision)

(R 3-501(b) Sec K (viii))

K.14 52.215-0035

ANNUAL REPRESENTATIONS AND CERTIFICATIONS -- NEGOTIATION (DEC 1989)

The offeror certifies that annual representations and certifications (check the appropriate block):

/\_/ (a) Dated \_\_\_\_\_\_ (insert date of signature on submission) which are incorporated herein by reference, have been submitted to the contracting office issuing this solicitation and that the submittal is current, accurate, and complete as of the date of this bid, except as follows (insert changes that affect only this solicitation; if "none," so state):

/\_/ (b) Are enclosed.

(End of provision)

SMALL BUSINESS CONCERN REPRESENTATION (JAN 1991)

K.15 52.219-0001

- (a) Representation. The offeror represents and certifies as part of its offer that it /\_/ is, /\_/ is not a small business concern and that /\_/ all, /\_/ not all end items to be furnished will be manufactured or produced by a small business concern in the United States, its territories or possessions, Puerto Rico, or the Trust Territory of the Pacific Islands.
  - (b) Definition.
- "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria and size standards in this solicitation.
- (c) Motice. Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to sections 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall--
  - (1) Be punished by imposition of a fine, imprisonment, or both;
  - (2) Be subject to administrative remedies, including suspension and debarment; and
  - (3) Be ineligible for participation in programs conducted under the authority of the Act.

(End of provision)

SMALL DISADVANTAGED BUSINESS CONCERN REPRESENTATION (FEB 1990)

- (a) Representation. The offeror represents that it  $/\_/$  is,  $/\_/$  is not a small disadvantaged business concern.
  - (b) Definitions.

"Asian Pacific Americans," as used in this provision, means United States citizens whose origins are in Japan, China, the Philippines, Vietnam, Korea, Samoa, Guam, the U.S. Trust Territory of the Pacific Islands (Republic of Palau), the Northern Mariana Islands, Laos, Kampuchea (Cambodia), Taiwan, Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Republic of the Marshall Islands, or the Federated States of Micronesia.

"Indian tribe," as used in this provision, means any Indian tribe, band, nation, or other organized group or community of Indians, including any Alaska Native Corporation as defined in 13 CFR 124.100 which is recognized as eligible for the special programs and services provided by the U.S. to Indians because of their status as Indians, or which is recognized as such by the State in which such tribe, band, nation, group, or community resides.

"Native Americans," as used in this provision, means American Indians, Eskimos, Aleuts, and Mative Hawaiians.

"Native Hawaiian Organization," as used in this provision, means any community service organization serving Mative Hawaiians in, and chartered as a not-for-profit organization by, the State of Hawaii, which is controlled by Mative Hawaiians, and whose business activities will principally benefit such Mative Hawaiians.

"Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria and size standards in 13 CFR 121.

"Small disadvantaged business concern," as used in this provision, means a small business concern that (a) is at least 51 percent unconditionally owned by one or more individuals who are both socially and economically disadvantaged, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one or more socially and economically disadvantaged individuals and (b) has its management and daily business controlled by one or more such individuals. This term also means a small business concern that is at least 51 percent unconditionally owned by an economically disadvantaged Indian tribe or Mative Hawaiian Organization, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one of these entities which has its management and daily business controlled by members of an economically disadvantaged Indian tribe or Mative Hawaiian Organization, and which meets the

requirements of 13 CFR 124.

"Subcontinent Asian-Americans," as used in this provision, means United States citizens whose origins are in India, Pakistan, Bangladesh, Sri Lanka, Bhutan, or Nepal.

(c) Qualified groups. The offeror shall presume that socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian Americans, and other individuals found to be qualified by SBA under 13 CFR 124. The offeror shall presume that socially and economically disadvantaged entities also include Indian tribes and Native Hawaiian Organizations.

(End of provision)

WOMEN-OWNED SMALL BUSINESS REPRESENTATION (APR 1984)

- $\cdot$  (a) Representation. The offeror represents that it /\_/ is, /\_/ is not a women-owned small business concern.
  - (b) Definitions.

"Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria and size standards in 13 CFR 121.

"Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.

(End of provision)

(R FPR Temp. Reg. 48 1978 DEC)

SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (JUL 1991)

(a) Definition.

"Emerging small business" as used in this solicitation, means a small business concern whose size is no greater than 50 percent of the numerical size standard applicable to the standard industrial classification code assigned to a contracting opportunity.

(b) (Complete only if the Offeror has certified itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.)

The Offeror represents and certifies as part of its offer that it /\_/ is. /\_/ is not an emerging small business.

(c) (Complete only if the Offeror is a small business or an emerging small business, indicating its size range.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of

K.18 52.219-0019

K.17 52.219-0003

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employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees	Avg. Annual Gross Revenues			
50 or fewer		\$1 million or less		
51-100	1	\$1,000,001-\$2 million		
101-250	ı	\$2,000,001-\$3.5 million		
251-500	l	\$3,500,001-\$5 million		
501-750	1	\$5,000,001-\$10 million		
751-1,000	i	\$10,000,001-\$17 million		
Over 1,000	1	Over \$17 million		

#### (End of provision)

K.19 52.219-0021

SMALL BUSINESS SIZE REPRESENTATION FOR TARGETED INDUSTRY CATEGORIES UNDER THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (JUL 1991)

(Complete only if the Offeror has certified itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.)

Offeror represents and certifies as follows:

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees	Avg. Annual Gross Revenues		
50 or fewer	\$1 million or less		
51-100	\$1,000,001-\$2 million		
101-250	\$2,000,001-\$3.5 million		
251-500	\$3,500,001-\$5 million		
501-750	\$5,000,001-\$10 million		
751-1,000	\$10,000,001-\$17 million		
Over 1,000	Over \$17 million		

#### (End of provision)

K 20 52.319-7005

INCENTIVE FOR SUBCONTRACTING WITH SMALL BUSINESSES, SMALL DISADVANTAGED BUSINESSES, HISTORICALLY BLACK COLLEGES AND UNIVERSITIES, AND MINORITY INSTITUTIONS (DEC 1991)

- (a) If the Contractor exceeds the small disadvantaged business, historically black college and university, minority institution goal of its subcontracting plan, at completion of contract performance, the Contractor will receive 10 percent of the excess.
- (b) The Contractor will not receive this incentive if the Contracting Officer determines that exceeding the goal was not due to the Contractor's efforts (e.g., a subcontractor cost overrun or award of subcontracts planned but not disclosed in the subcontracting plan). Determinations made under this paragraph are not subject to the Disputes clause.
- . (c) If this is a cost contract, the limitations in FAR Subpart 15.9 may not be exceeded.
- (d) This clause does not apply if the subcontracting plan is a plant, division, or company-wide commercial products plan.

(End of clause)

CERTIFICATION OF NONSEGREGATED FACILITIES (APR 1984)

- (a) "Segregated facilities," as used in this provision, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom, or otherwise.
- (b) By the submission of this offer, the offeror certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The offeror agrees that a breach of this certification is a violation of the Equal Opportunity clause in the contract.
- (c) The offeror further agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) it will--
  - (1) Obtain identical certifications from proposed subcontractors before the award of subcontracts under which the subcontractor will be subject to the Equal Opportunity clause;
    - (2) Retain the certifications in the files; and
    - (3) Forward the following notice to the proposed subcontractors

K.21 52.222-0021

(except if the proposed subcontractors have submitted identical certifications for specific time periods):

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES.

A Certification of Nonsegregated Facilities must be submitted before the award of a subcontract under which the subcontractor will be subject to the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

(End of provision)

(R 7-2003.14(b)(1)(A) 1970 AUG)

(R 1-12.803-10(d))

K.22 52.222-0022

PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (APR 1984)

The offeror represents that --

- (a) It /\_/ has, /\_/ has not, participated in a previous contract or subcontract subject either to the Equal Opportunity clause of this solicitation, the clause originally contained in Section 310 of Executive Order No. 10925, or the clause contained in Section 201 of Executive Order No. 11114;
  - (b) It /\_/ has, /\_/ has not, filed all required compliance reports; and
- (c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

(End of provision)

(R 7-2003.14(b)(1)(B) 1973 APR)

K.23 52.222-0025

AFFIRMATIVE ACTION COMPLIANCE (APR 1984)

The offeror represents that (a) it /\_/ has developed and has on file, /\_/ has not developed and does not have on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2), or (b) it /\_/ has not previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.

(End of provision)

(R 7-2003.14(b) 1979 SEP)

(R 1-12.805-4)

K.24 52.223-0001

CLEAN AIR AND WATER CERTIFICATION (APR 1984)

The Offeror certifies that --

(a) Any facility to be used in the performance of this proposed contract is /\_/ is not /\_/ listed on the Environmental Protection Agency (EPA) List

of Violating Facilities;

- (b) The Offeror will immediately notify the Contracting Officer, before award, of the receipt of any communication from the Administrator, or a designee, of the EPA, indicating that any facility that the Offeror proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and
- (c) The Offeror will include a certification substantially the same as this certification, including this paragraph (c), in every nonexempt subcontract.

(End of provision)
(AV 7-2003.71 1977 JUN)
(AV 1-1.2302-1)

K.25 52.223-0005

#### CERTIFICATION REGARDING A DRUG-FREE WORKPLACE (JUL 1990)

(a) Definitions. As used in this provision,

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

(b) By submission of its offer, the offeror, if other than an individual, who is making an offer that equals or exceeds \$25,000, certifies and agrees that, with respect to all employees of the offeror to be employed under a contract resulting from this solicitation, it will--no later than 30 calendar days after contract award (unless a longer period is agreed to in writing), for contracts of 30 calendar days or more performance duration; or as soon as possible for contracts of less than 30 calendar days performance duration, but in any case, by a date prior to

when performance is expected to be completed --

- (1) Publish a statement notifying such employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition:
- (2) Establish an ongoing drug-free awareness program to inform such employees about--
  - (i) The dangers of drug abuse in the workplace;
  - (ii) The Contractor's policy of maintaining a drug-free workplace;
  - (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this provision:
- (4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this provision, that as a condition of continued employment on the contract resulting from this solicitation, the employee will--
  - (i) Abide by the terms of the statement; and
  - (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 calendar days after such conviction;
- (5) Notify the Contracting Officer in writing within 10 calendar days after receiving notice under subdivision (b)(4)(ii) of this provision, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee; and
- (6) Within 30 calendar days after receiving notice under subdivision
  (b) (4) (ii) of this provision of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:
  - (i) Take appropriate personnel action against such employee, up to and including termination; or
  - (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.
- (7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b) (1) through (b) (6) of this provision.
- (c) By submission of its offer, the offeror, if an individual who is making an offer of any dollar value, certifies and agrees that the offeror

will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in the performance of the contract resulting from this solicitation.

- (d) Failure of the offeror to provide the certification required by paragraphs (b) or (c) of this provision, renders the offeror unqualified and ineligible for award. (See FAR 9.104-1(g) and 19.602-1(a)(2)(i).)
- (e) In addition to other remedies available to the Government, the certification in paragraphs (b) or (c) of this provision concerns a matter within the jurisdiction of an agency of the United States and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

(End of provision)

K.26 52.225-0001

BUY AMERICAN CERTIFICATE (DEC 1989)

The offeror certifies that each end product, except those listed below, is a domestic end product (as defined in the clause entitled "Buy American Act--Supplies"), and that components of unknown origin are considered to have been mined, produced, or manufactured outside the United States.

Excluded End Products	Country of Origin
•	

#### (List as necessary)

Offerors may obtain from the contracting officer lists of articles, materials, and supplies excepted from the Buy American Act.

(End of provision)

K.27 52.225-0006

BALANCE OF PAYMENTS PROGRAM CERTIFICATE (APR 1985)

(a) The offeror hereby certifies that each end product or service, except the end products or services listed below, is a domestic end product or service (as defined in the clause entitled "Balance of Payments Program") and that components of unknown origin have been considered to have been mined, produced, or manufactured outside the United States.

Excluded End Products or Services

Line Item No.

Country of Origin

•	

#### (List as necessary)

(b) For evaluation purposes only, each offer of an end product other than a domestic end product shall be increased by 50 percent. Any domestic end product offer that exceeds such evaluated other end product shall be considered unreasonable in cost or inconsistent with the public interest.

(End of provision)

K.28 52.225-0012 K.29 52.227-0015 RESERVED

REPRESENTATION OF LIMITED RIGHTS DATA AND RESTRICTED COMPUTER SOFTMARE (JUN 1987)

- (a) This solicitation sets forth the work to be performed if a contract award results, and the Government's known delivery requirements for data (as defined in FAR 27.401). Any resulting contract may also provide the Government the option to order additional data under the Additional Data Requirements clause at 52.227-16 of the FAR, if included in the contract. Any data delivered under the resulting contract will be subject to the Rights in Data--General clause at 52.227-14 that is to be included in this contract. Under the latter clause, a Contractor may withhold from delivery data that qualify as limited rights data or restricted computer software, and deliver form, fit, and function data in lieu thereof. The latter clause also may be used with its Alternates II and/or III to obtain delivery of limited rights data or restricted computer software, marked with limited rights or restricted rights notices, as appropriate. In addition, use of Alternate V with this latter clause provides the Government the right to inspect such data at the Contractor's facility.
- (b) As an aid in determining the Government's need to include any of the aforementioned Alternates in the clause at 52.227-14, Rights in Data--General, the offeror's response to this solicitation shall, to the extent feasible, complete the representation in paragraph (b) of this provision to either state that none of the data qualify as limited rights data or restricted computer software, or identify which of the data qualifies as limited rights data or restricted computer software. Any identification of limited rights data or restricted computer software in the offeror's response is not determinative of the status of such data should a contract be awarded to the offeror.

#### REPRESENTATION CONCERNING DATA RIGHTS

Offeror has reviewed the requirements for the delivery of data or software and states (offeror check appropriate block)--

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NOTE: "Limited rights data" and "Restricted computer software" are defined in the contract clause entitled "Rights in Data--General."

(End of provision)

END OF SECTION K

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#### SECTION L

## INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

- 1. STANDARD INDUSTRIAL CLASSIFICATION (SIC).
- 2. AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND AND STANDARDS (DODISS) (SEP 1990).
- 3. SOLICITATION DEFINITIONS (JUL 1987).
- UNNECESSARILY ELABORATE PROPOSALS OR QUOTATIONS (APR 1984).
- 5. AMENDMENTS TO SOLICITATIONS (DEC 1989).
- 6. SUBMISSION OF OFFERS (DEC 1989).
- 7. LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF PROPOSALS (DEC 1989).
- 8. RESTRICTION ON DISCLOSURE AND USE OF DATA (APR 1984).
- 9. PREPARATION OF OFFERS (APR 1984).
- 10. EXPLANATION TO PROSPECTIVE OFFERORS (APR 1984).
- 11. FAILURE TO SUBMIT OFFER (APR 1984).
- 12. CONTRACT AWARD (JUL 1990).
- 13. TYPE OF CONTRACT (APR 1984).
- 14. PREAWARD ON-SITE EQUAL OPPORTUNITY COMPLIANCE REVIEW (APR 1984).
- 15. SERVICE OF PROTEST (NOV 1988).
- 16. SITE VISIT (APR 1984).
- 17. PROPOSAL PREPARATION AND FORMAT.
- 18. ADDITIONAL DRAWINGS AND SPECIFICATIONS.
- 30. TELEPHONIC INQUIRIES/COMMENTS.
- 31. PREPROPOSAL CONFERENCE.
- 32. PREPOSAL EXPENSES AND PRECONTRACT COSTS.
- 33. PRE-AWARD REVIEWS.
- 34. EQUAL OPPORTUNITY CLAUSE.
- 35. RESTRICTIONS ON CONTRACT AWARD.
- 36. NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (APRIL 1984).

#### SECTION L

#### INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

1. STANDARD INDUSTRIAL CLASSIFICATION (SIC). (Reference FAR 19.102) (Local Provision)

In accordance with Division C of the SIC Manual, the work in this solicitation is assigned Classification Code 8744.

2. AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) (SEP 1990).

Single copies of specifications cited in this solicitation may be obtained by submitting a written request to the supply point listed below. The request must contain the title of the specification, its number, date, applicable amendment(s), and the solicitation or contract number. A telephone order entry system is available with the use of a touch tone telephone. A Customer Number is required to use this system and may be obtained by written request to the address listed below or by telephone (215-697-2179). In case of urgency, telegraphic requests are acceptable. Voluntary standards, which are not available to offerors and contractors from Government sources, may be obtained from the organization responsible for their preparation, maintenance, or publication.

Standardization Document
Order Desk, Building 4, Section D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Telex Number......834295
Western Union Number.....710-670-1685
Telephone Number......(215) 697-3321 (Express shipment pickup)
Telephone Order Entry System (TOES) Numbers.....
215-697-1187 through and including 215-697-1197
(FAR 52.210-2)

3. SOLICITATION DEFINITIONS (JUL 1987).

"Offer" means "proposal" in negotiation.

"Solicitation" means a request for proposals (RFP) or a request for quotations (RFQ) in negotiation.

"Government" means United States Government. (FAR 52.215-5)

UNNECESSARILY ELABORATE PROPOSALS OR QUOTATIONS (APR 1984).

Unnecessarily elaborate brochures or other presentations beyond those sufficient to present a complete and effective response to this solicitation are not desired and may be construed as an indication of the offeror's or quoter's lack of cost

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consciousness. Elaborate art work, expensive paper and bindings, and expensive visual and other presentation aids are neither necessary nor wanted (FAR 52.215-7).

#### 5. AMENDMENTS TO SOLICITATIONS (DEC 1989).

- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Offerors shall acknowledge receipt of any amendment to this solicitation by (1) signing and returning the amendment, (2) identifying the amendment number and date in the space provided for this purpose on the form for submitting an offer, or (3) by letter or telegram. The Government must receive the acknowledgement by the time specified for receipt of offers (FAR 52.215-8).

#### 6. SUBMISSION OF OFFERS (DEC 1989).

- (a) Offers and modifications thereof shall be submitted in sealed envelopes or packages (1) addressed to the office specified in the solicitation, and (2) showing the time specified for receipt, the solicitation number, and the name and address of the offeror.
- (b) Telegraphic offers will not be considered unless authorized by the solicitation; however, offers may be modified by written or telegraphic notice.
- (c) Facsimile offers, modifications or withdrawals will not be considered unless authorized by the solicitation.
- (d) Item samples, if required, must be submitted within the time specified for receipt of offers. Unless otherwise specified in the solicitation, these samples shall be (1) submitted at no expense to the Government, and (2) returned at the sender's request and expense, unless they are destroyed during preaward testing.

(End of provision) (52.215-0009)

## 7. LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF PROPOSALS (DEC 1989).

- (a) Any proposal received at the office designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it--
- (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
- (2) Was sent by mail or, if authorized by the solicitation, was sent by telegram or via facsimile and it is determined by the Government that the late receipt was due solely to mishandling by the Government after receipt at the Government installation:
- (3) Was sent by U.S. Postal Service Express Mail Next Day Service-Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working

days" excludes weekends and U.S. Federal holidays; or (4) Is the only proposal received.

- (b) Any modification of a proposal or quotation, except a modification resulting from the Contracting Officer's request for "best and final" offer, is subject to the same conditions as in subparagraphs (a)(1), (2), and (3) of this provision.
- (c) A modification resulting from the Contracting Officer's request for "best and final" offer received after the time and date specified in the request will not be considered unless received before award and the late receipt is due solely to mishandling by the Government after receipt at the Government installation.
- (d) The only acceptable evidence to establish the date of mailing of a late proposal or modification sent either by U.S. Postal Service registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the proposal, quotation, or modification shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, offerors or quoters should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and the envelope or wrapper.
- (e) The only acceptable evidence to establish the time of receipt at the Government installation is the time/date stamp of that installation on the proposal wrapper or other documentary evidence of receipt maintained by the installation.
- (f) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (d) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, offerors or quoters should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and the envelope or wrapper.
- (g) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful proposal that makes its terms more favorable to the Government will be considered at any time it is received and may be accepted.
- (h) Proposals may be withdrawn by written notice or telegram (including mailgram) received at any time before award. If the solicitation authorizes facsimile proposals, proposals may be withdrawn via facsimile received at any time before award, subject to the conditions specified in the provision entitled "Facsimile Proposals." Proposals may be withdrawn in person by an offeror or an

authorized representative, if the representative's identity is made known and the representative signs a receipt for the proposal before award.

(End of provision) (52.215-0010)

#### 8. RESTRICTION ON DISCLOSURE AND USE OF DATA (APR 1984).

Offerors or quoters who include in their proposals or quotations data that they do not want disclosed to the public for any purpose or used by the Government except for evaluation purposes, shall-

## (a) Mark the title page with the following legend:

"This proposal or quotation includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed--in whole or in part--for any purpose other than to evaluate this proposal or quotation. If, however, a contract is awarded to this offeror or quoter as a result of--or in connection with--the submission of this data, the Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting contract. This restriction does not limit the Government's right to use information contained in this data if it is obtained from another source without restriction. The data subject to this restriction are contained in sheets [insert numbers or other identification of sheets]"; and

(b) Mark each sheet of data it wishes to restrict with the following legend:

"Use or disclosure of data contained on this sheet is subject to the restriction on the title page of this proposal or quotation." (FAR 52.215-12)

## 9. PREPARATION OF OFFERS (APR 1984).

- (a) Offerors are expected to examine drawings, specifications, Schedule, and all instructions. Failure to do so will be at the offeror's risk.
- (b) Each offeror shall furnish the information required by the solicitation. The offeror shall sign the offer and print or type its name on the Schedule and each continuation sheet on which it makes an entry. Erasures or other changes must be initialed by the person signing the offer. Offers signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the issuing office.
- (c) For each item offered, offerors shall show (1) the unit price/cost, including, unless otherwise specified, packaging, packing, and preservation and (2) enter the extended price/cost for the quantity of each item offered in the "Amount" column of the Schedule. In case of discrepancy between a unit price/cost and an extended price/cost, the unit price/cost will be presumed to be correcxt, subject, however to correction to the same extent and in the same manner as any other mistake.

- (d) Offers for supplies or services other than those specified will not be considered unless authorized by the solicitation.
- (e) Offerors must state a definite time for delivery of supplies or for performance of services, unless otherwise specified in the solicitation.
- (f) Time, if stated as a number of days, will include Saturdays, Sundays, and holidays (FAR 52.215-13).

## 10. EXPLANATION TO PROSPECTIVE OFFERORS (APR 1984).

Any prospective offeror desiring an explanation or interpretation of the solicitation or instructions must request it in writing soon enough to allow a reply to reach all prospective offerors before the submission of their offers. Oral explanations or instructions given to a prospective offeror concerning a solicitation will be furnished promptly to all other prospective offerors as an amendment of the solicitation, if that information is necessary in submitting offers or if the lack of it would be prejudicial to any other prospective offerors (FAR 52.215-14).

## 11. FAILURE TO SUBMIT OFFER (APR 1984).

Recipients of this solicitation not responding with an offer should not return this solicitation, unless it specifies otherwise. Instead, they should advise the issuing office by letter or postcard whether they want to receive future solicitations for similar requirements. If a recipient does not submit an offer and does not notify the issuing office that future solicitations are desired, the recipient's name may be removed from the applicable mailing list (FAR 52.215-15).

#### 12. CONTRACT AWARD (JUL 1990).

- (a) The Government will award a contract resulting from this solicitation to the responsible offeror whose offer conforming to the solicitation will be most advantageous to the Government, cost or price and other factors, specified elsewhere in this solicitation, considered.
- (b) The Government may (1) reject any or all offers if such action is in the public interest, (2) accept other than the lowest offer, and (3) waive informalities and minor irregularities in offers received.
- (c) The Government may award a contract on the basis of initial offers received, without discussions. Therefore, each initial offer should contain the offeror's best terms from a cost or price and technical standpoint.
- (d) The Government may accept any item or group of items of an offer, unless the offeror qualifies the offer by specific limitations. Unless otherwise provided in the Schedule, offers may be submitted for quantities less than those specified. The Government reserves the right to make an award on any item for a quantity less than the quantity offered, at the unit cost or prices offered, unless the offeror specified otherwise in the offer.

- (e) A written award or acceptance of offer mailed or otherwise furnished to the successful offeror within the time for acceptance specified in the offer shall result in a binding contract without further action by either party. Before the offer's specified expiration time, the Government may accept an offer (or part of an offer, as provided in paragraph (d) above), whether or not there are negotiations after its receipt, unless a written notice of withdrawal is received before award. Negotiations conducted after receipt of an offer do not constitute a rejection or counter-offer by the Government.
- (f) Neither financial data submitted with an offer, nor representations concerning facilities or financing, will form a part of the resulting contract. However, if the resulting contract contains a clause providing for price reduction for defective cost or pricing data, the contract price will be subject to reduction if cost or pricing data furnished is incomplete, inaccurate, or not current.
- (g) The Government may determine that an offer is unacceptable if the prices proposed are materially unbalanced between line items or subline items. An offer is materially unbalanced when it is based on prices significantly less than the cost for other work, and if there is a reasonable doubt that the offer will result in the lowest overall cost to the Government, even though it may be the low evaluated offer, or its is so unbalanced as to be tantamount to allowing an advance payment. (FAR 52.215-16).

## 13. TYPE OF CONTRACT (APR 1984).

The Government contemplates award of a Firm-Fixed Price Services contract resulting from this solicitation.

(End of provision) (FAR 52.216-0001)

## 14. PREAWARD ON-SITE EQUAL OPPORTUNITY COMPLIANCE REVIEW (APR 1984).

An award in the amount of \$1 million or more will not be made under this solicitation unless the offeror and each of its known first-tier subcontractors (to whom it intends to award a subcontract of \$1 million or more) are found, on the basis of a compliance review, to be able to comply with the provisions of the Equal Opportunity clause of this solicitation.

(End of provision)
(FAR 52.222-0004)

#### 15. SERVICE OF PROTEST (NOV 1988).

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO) or the General Services Administration Board of Contract Appeals (GSBCA), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgement of receipt from District Counsel, 215 North 17th Street, Omaha, Nebraska 68102.

(b) The copy of any protest shall be received in the office designated above on the same day a protest is filed with the GSBCA or within one day of filing a protest with the GAO. (FAR 52.233-2)

#### 16. SITE VISIT (APR 1984).

Offerors or quoters are urged and expected to inspect the site where services are to be performed and to satisfy themselves regarding all general and local conditions that may affect the cost of contract performance, to the extent that the information is reasonably obtainable. In no event shall failure to inspect the site constitute grounds for claim after contract award. (FAR 52.237-0001)

#### 17. PROPOSAL PREPARATION AND FORMAT.

Any proposal submitted in response to this solicitation shall be prepared in three separate volumes as described below. Each volume shall address the contents of each of the numbered subparagraphs associated with it. Each numbered subparagraph title shall be clearly identified and shall be addressed in the same order as listed in the volume description. Definition of equipment and/or systems identified in this section shall be the same as defined in the specifications. The proposals will be evaluated as described in Section M, EVALUATION OF PROPOSALS. The relative importance of each volume, as identified herein, is described in Paragraph 3, PROPOSAL EVALUATION, of Section M.

Proposal documents shall be prepared in an  $8-1/2" \times 11"$  format. Any tabulated data requiring a larger area on a single page shall be limited to double-letter, fold-out size ( $11" \times 17"$ ). Any drawings to be submitted with the proposal should be furnished in one-half (1/2) size format whenever possible (standard full size is  $28" \times 40"$ ). All proposals shall contain table of contents; list of tables; list of figures; list of appendices; and names, addresses, and telephone numbers of the Prime or Joint Venture Contractor and Subcontractors. Proposal clarity and organization is mandatory.

The Offeror's proposal, submitted in response to this solicitation, shall be prepared in three separate volumes, appropriately identified, with the required number of copies as follows:

## Proposal Document Number of Copies

	Original	<u>Copies</u>
Volume I - Technical	1	5
Volume II - Management	1	5
Volume III - Cost	1	2

Proposal documents shall be paginated; the maximum size of Volume I and Volume II shall be 150 pages each excluding table of contents, tabs, section dividers, and resumes.

The Offeror shall complete the attached Compliance Summary Checklist. The Compliance Summary Checklist shall be included with Volume I - Technical.

The compliance checklist is intended to help expedite review of the Technical and Past Project Experience information submitted by each Offeror. The checklist also contains blank columns, which must be completed by the Offeror. The blank for the Comment column shall be used by the Offeror, as required, to provide additional cross-referencing information. Failure to submit a complete checklist or one without exact reference locations may require rejection of the proposal. The compliance checklist shall not be considered as a complete listing of all requirements of this contract. All items not listed on the compliance checklist are required to meet the requirements of the contract drawings and specifications and will be subject to review during the normal submittal review process. The check list is rank ordered.

## 17.1. TECHNICAL (VOLUME I)

The Offeror shall provide a technical volume for the work required in this RFP. At a minimum, the technical volume shall include the following:

- Operation Plan
- Work Plan

#### 17.1.1. OPERATION PLAN

The Offeror shall provide an operation plan that describes processes and equipment to perform all Scope of Work (SOW) requirements. This plan shall include excavation and feed preparation, incineration, fly ash and bottom ash control, and pollution control equipment. At a minimum the following items must be addressed.

## 17.1.1.1. Performance Specifications

The Offeror shall provide a description of the proposed system and each of the following major components to demonstrate the proposed system's capacity and performance to meet or exceed all requirements of the SOW.

- Excavation and feed preparation
- Incineration system
- Fly ash and bottom ash control
- Pollution control equipment

#### 17.1.1.2. Past System Performance

The Offeror shall provide the past performance record of the system proposed to be used at the Drake Chemical Superfund Site, or, if a new system is to be provided, the past performance record of a similar system that the Offeror has used. The performance record shall be specific as to project site location, project duration, operation mode and schedule, average throughput versus design capacity, contaminants to be destroyed by the system, operation problems, and record of major equipment breakdown.

## 17.1.1.3. Process Flow Diagrams

The Offeror shall provide process flow information for all material flows, including process flow diagrams (PFDs) for all process streams. The PFDs are not required to be detailed but shall at a minimum provide flow directions, rates, and parameters such as temperature and pressure as applicable. Flow ranges are acceptable if exact rates are not known.

#### 17.1.1.4. Facility Layout Plan

The Offeror shall provide a description and a preliminary layout plan for the proposed facilities and TDF. The layout plan shall indicate the location of major buildings and equipment systems and the approximate space required. This plan shall include the TDF, pre-burn and post-burn staging areas, stockpile footprints, and decontamination areas, as well as their positions relative to the contaminated soil. The TDF shall be located on the Drake Chemical Superfund Site. The layout plan shall provide a view of the facility with the proposed tie-ins to utilities. The Offeror shall address how all facilities will be located within available space constraints.

## 17.1.1.5. Laboratory

The Offeror shall describe the laboratory facilities to be used for this project. This shall include capabilities of both the on-site and off-site laboratories, type of analysis to be performed by each laboratory, and the turnaround times for each type of analysis. The laboratory's experience and any state and federal certifications/accreditations acquired shall be included. Responsibilities of field sampling and laboratory personnel and how they relate to the Offeror and the government shall be identified. The Offeror shall identify location and first-tier QA responsibility required to assume timely, defendable, cost-effective, and flexible sampling and laboratory support. The Offeror's experience with Chemical Data Acquisition Plan (CDAP) preparation or similar documentation shall also be addressed.

## 17.1.1.6. Reliabilities, Backup and Redundancy

The Offeror shall describe provision to be made for backup and redundancy of items critical to the safe operation of the TDF. The Offeror shall provide a failure-mode analysis for all operating contingencies that could present a safety hazard to operational personnel or the general public.

#### 17.1.1.7. Excavation Sequence

The Offeror shall provide a description and a plan depicting the location and sequence of how excavation is to be conducted at the Drake Chemical Superfund Site. Interaction of excavation and backfill activities with the installation of the TDF and with the relocation of the water and sewer lines shall also be addressed.

#### 17.1.1.8. Material Processing

The Offeror shall provide a description of all equipment, facilities, and processes associated with processing the contaminated soil, including excavation, feeding, storage/stockpiling, final ash handling, treatment, and disposition. Fly ash material handling and disposition shall be described. Liquid stream processing and disposition including scrubber water recycling, sludge disposition, and bottom ash reconstitution shall be described as applicable.

#### 17.1.1.9. Noncombustible Debris Handling

The Offeror shall describe all processes and equipment associated with handling and treating of noncombustible debris such as railroad tracks, scrap metal, oversized gravel, and brick fragments.

## 17.1.1.10. Wastewater Management

The Offeror shall describe all processes and equipment intended to handle, treat, and dispose of wastewater generated at the Drake Chemical Superfund Site. Wastewater shall include but is not limited to dewatering water, decontamination water, and process wastewater.

#### 17.1.1.11. Air Emission Control

The Offeror shall describe measures to minimize the release of airborne contaminants at the Drake site. At a minimum, the Offeror shall address the requirements listed in SECTION 13590: ON-SITE THERMAL DESTRUCTION FACILITY. Description shall include control of airborne contaminants during site preparation, excavation, material handling, and incineration.

## 17.1.1.12. Perimeter Air Monitoring

An outline of the Perimeter Air Sampling Plan (PASP) as described in SECTION 13600: PERIMETER AIR MONITORING, shall be submitted to describe the Contractor's proposed plan for air monitoring.

#### 17.1.2. WORK PLAN

## 17.1.2.1. Preparation Planning Functions

All planning functions shall be described to demonstrate the Offeror's understanding and ability to meet all SOW preoperational planning functions. The proposal shall include a discussion of the following items.

- 17.1.2.1.1. Chemical Quality Management/Sampling Plan. Discuss the Offeror's analytical capabilities, an outline of field sampling protocols, and quality control and quality assurance policy.
- 17.1.2.1.2. Health & Safety Requirements. The information shall include, but not be limited to the following items:

- Management flow diagram of proposed Health and Safety staff, with qualifications, responsibilities, contractual affiliations, and locations of responsible Certified Industrial Hygienist (CIH), and on-site health and safety personnel. Offeror shall discuss choice of management method on the Drake site for the following issues: health and safety coordination of subcontractors, health and safety coordination of the three major phases, site-wide emergency response, site-wide health and safety education programs, amendments to the Site Safety and Health Plan (SSHP), method of controlling access to the site, minimum training and medical surveillance requirements for the entire site.
- An outline of major and/or unique requirements needed to effectively monitor the health and safety activities at the Drake site.
- Discussion of how to effectively and efficiently implement a sitespecific health and safety plan generated for the work at the Drake site.
- Discussion of Offeror's rationale for the allocation of on-site safety personnel for the various phases (e.g., construction of the TDF, excavation of contaminated soil, and operation of the TDF) of work to occur at the Drake site.
- Discussion of Offeror's past project experience in the last 5 years
  with regard to health and safety. The proposers shall discuss all
  OSHA inspection of their company, the result of the inspection, and
  the method of resolving any problems identified as a result of any
  inspection.
- 17.1.2.1.3. Contractor Quality Control. The Offeror shall discuss their approach to quality control and past experience in preparing the Contractor Quality Control Plan as required in SECTION 01400: CONTRACTOR QUALITY CONTROL. In addition, the Offeror shall provide a discussion of what they consider key features worthy of additional QC effort and how they plan to approach it.

## 17.1.2.2. Commissioning & Startup

The Offeror shall discuss, at a minimum, the following:

- 17.1.2.2.1. TDF Mobilization. Discussion shall include key activities, such as the mobilization of the TDF at the Drake Chemical Superfund Site and the coordination with the mobilization or set-up of other supporting facilities such as the on-site laboratory, staging areas, etc.
- 17.1.2.2.2. Trial Burn. The Offeror shall provide an outline of the Trial Burn Plan and shall demonstrate his/her understanding of the Pennsylvania regulations and permit application procedures in terms of getting approval from the State to operate the TDF.

## 17.1.2.3. Mobilization Plan

The Offeror shall describe the proposed mobilization plan to include as a minimum a discussion of the following considerations.

- 17.1.2.3.1. Site Preparation. This section should describe earth work, debris removal, contamination soils removal, and wastewater management.
- 17.1.2.3.2. Utility Utilization
- 17.1.2.3.3. Hot Zone Identification, Isolation, and Control
- 17.1.2.3.4. Personnel Training
- 17.1.2.3.5. Material & Equipment Delivery and Storage
- 17.1.2.4. Demobilization Plan

The Offeror shall describe the proposed demobilization plan including, at a minimum, a discussion of the following considerations.

- 17.1.2.4.1. Project Closeout (Section 01700)
- 17.1.2.4.2. Topsoiling and Seeding (Section 02480)
- 17.1.2.4.3. Off-Site Disposal as Appropriate (Section 02903)
- 17.1.2.4.4. Procedures for Equipment Disassembly, Decontamination, and Site Closure

## 17.2. MANAGEMENT (VOLUME II)

The Offeror shall provide a management plan for the work required and shall indicate how the work shall be controlled as specified in this Request for Proposals (RFP). At a minimum, the management plan shall include the following items:

- · Past Project Experience
- · Project Management
- Corporate Commitment
- Personnel Qualifications
- · Schedule

The Offeror shall provide a matrix identifying which work elements will be performed by the prime contractor and which will be performed by subcontractors. The matrix shall identify, at a minimum, all work elements that are valued at 5 percent or greater of the total cost of the project minus the proposed unit price costs for Excavation and Backfill and Soil Incineration price items. Notwithstanding these threshold values, the following work items shall be included in the matrix: overall management of contract, sampling and laboratory analysis, TDF manufacturer, installer, trial burn plan, test burn, operator, on-

site QC health and safety, and air monitoring. Other work items valued at less than 5 percent may also be identified at the discretion of the Offeror. No cost information or percentages of cost shall be included in this matrix. Also, where the names of the subcontractor(s) are known they shall be identified.

## 17.2.1. PAST PROJECT EXPERIENCE (STATEMENT OF QUALIFICATIONS)

#### 17.2.1.1. Offeror's Experience

The Offeror shall describe company qualifications including a description of experience in managing major hazardous waste remedial action projects, general capabilities, and technical competence. In addition, this description shall include a list of all office locations and corporate capabilities of all participants.

## 17.2.1.2. Subcontractor(s)' Experience

The Offeror shall discuss how the subcontractor(s) experience (if used) will augment the Offeror's own organization. The Offeror shall also describe any assurances they have that the intended subcontractor(s) will be available to perform the work when called upon.

#### 17.2.1.3. Relevant Experience

The Offeror shall furnish complete and detailed information regarding contracts undertaken by the company, its joint ventures, or its subcontractor(s) for which incineration was or is a major feature. Any contracts involving significant amounts of earthmoving, incineration/treatment, and stabilization shall also be included. The information submitted shall cover the previous 5 years. Experience gained as a joint venture shall be included if the company sponsored the joint venture and performed at least 30 percent of the actual work. Experience of the subcontractor(s) will not be considered relevant if the Offeror cannot demonstrate assurance that the subcontractor(s) will perform the work when called upon.

In the event that a company has not done business under its present organizational name and status for at least 5 years, other corporate experience brought to the company by its principals or through mergers and/or similar corporate creations may be reported for as much of the desired 5-year period as possible.

## 17.2.1.4. SOQ Format

The information shall be submitted in tabular form and shall include the following:

- Name of the firm presenting the SOQ (the Offeror and key subcontractors)
- Name and location of each project
- Dates and duration of each project

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- Description of primary feature including:
  - Name of project
  - Treatment technology used and facility and equipment type and capacity
  - Name and address of owner
  - Name, address, and phone number of the Project Manager (Project Manager may be contacted by the Contracting Officer or his designee)
- Form of contract used on each project
- Company's association with owner (i.e., prime contractor, subcontractor, or joint venture sponsor)
- · For joint ventures, the percentage of your company's participation
- · Original contract value of each project
- · Final contract value of each project
- · Original completion time and date
- · Actual completion time and date
- Time extensions granted that extended the original completion time and date
- Percentage of work actually performed by the company on each project
- Information on whether or not the work was satisfactorily completed on time and within the original contract time requirements or within any extension of time granted.
- Previous involvement in off-site disposal operations with transportation to treatment, storage, and disposal (TSD) facilities.

## 17.2.2. PROJECT MANAGEMENT

## 17.2.2.1. Organization Plan

The Offeror shall describe the project management organization proposed for this project. The Offeror shall include organization charts depicting the overall organization of its firm and that of any subcontractors indicating the names and positions of personnel to be assigned to the project. Charts should indicate the lines of authority through the organization, physical location, and corporate affiliation. The project organization description shall include any planned recruiting and staffing requirements for this project as well as the project management procedures that shall be applied to ensure successful completion of the work. They shall provide key position descriptions of duties, responsibilities, and authorities. They shall identify the key principals and

their corporate affiliations, their areas of responsibility, and relationships with the management structure.

#### 17.2.2.2. Company Organization Structure

The Offeror shall furnish a narrative description of the organization structure of the company and its home-office capabilities in the areas of operations, engineering, contract administration, purchasing, cost and financial accounting, estimating, equipment management, safety, and automatic data processing.

## 17.2.2.3. Key Personnel Identification

The Offeror shall identify all key personnel positions for this project.

#### 17.2.2.4. Subcontractor Management

The Offeror shall submit a list of all key subcontractors that they intend to employ along with a description of the specific work task that each shall address and a narrative of how the Offeror shall manage them.

# 17.2.2.5. Small Business and Small Disadvantaged Business Subcontract Plan (SSDBSP)

Offeror shall submit a copy of proposed Small Business and Small Disadvantaged Business Subcontract Plan. Your subcontracting plan must address the following elements for evaluation purposes with this procurement action:

- (a) Goals expressed in terms of percentages of total planned subcontracting dollars, for the use of small business concerns and small disadvantaged business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.
  - (b) A statement of -
  - (1) Total dollars planned to be subcontracted;
- (2) Total dollars planned to be subcontracted to small business concerns; and
- (3) Total dollars planned to be subcontracted to small disadvantaged business concerns.
- (c) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to (a) small business concerns and (b) small disadvantaged business concerns.

NOTE: PROVIDE A LIST OF CONTRACTORS, BUSINESS SIZE (SB), SMALL DISADVANTAGED BUSINESS (SDB) PROPOSED AS SUBCONTRACTORS AND LIST THE SERVICES OR SUPPLIES UNDER THAT SUBCONTRACT.

- (d) A description of the method used to develop the subcontracting goals in 1. above.
- (e) A description of the method used to identify potential sources for solicitation purposes.
- (f) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals and a description of the method used to determine the proportionate share of indirect costs to be incurred with (a) small business concerns and (b) small disadvantaged business concerns.
- (g) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.
- (h) A description of the efforts the offeror will make to assure that small business concerns and small disadvantaged business concerns have an equitable opportunity to compete for subcontracts.
- (i) Assurances that the offeror will include the clause in this contract entitled "Utilization of Small Business Concerns and Small Disadvantaged Business Concerns", in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) who receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility), to adopt a plan similar to the plan agreed to by the offeror.
- (j) Assurance that the offeror will (1) cooperate in any studies or surveys as may be required, (2) submit periodic reports in order to allow the Government to determine the extent of compliance by the offeror with the subcontracting plan, (3) submit Standard Form SF294, Subcontracting Report for Individual Contracts, and/or SF295, Summary Subcontract Report, in accordance with the instructions on the forms, and (4) ensure that its subcontractors agree to submit Standard Forms 294 and 295.
  - (k) A recitation of the types of records the offeror will maintain to demonstrate procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of its efforts to locate small and small disadvantaged business concerns and award subcontracts to them.
  - (1) Historical Black Colleges and Universities/Minority Institutions, (HBCU/MI). Submit your firm's intent to participate in the HBCU/MI program.

### 17.2.2.5.1. Small Business

For the purpose of proposal evaluation, a goal of twenty (20) percent of contract dollars for Small Business has been established for this project. This information shall be provided in the prescribed format included above in this solicitation. The Offeror shall state the extent to which they expect to utilize Small Business in attaining this goal.

### 17.2.2.5.2. Small Disadvantaged Business

For the purpose of evaluation, the Offeror shall state the extent to which they expect to participate or commit in the Small Disadvantaged Business (SDB) and Historically Black Colleges and Universities or Minority Institutions (HBCU/MI) and Women Owned Business programs. This information shall be provided in the format included above in this solicitation. Pursuant to P.L. 101-507 Governmentwide goal for participation by small business concerns owned and controlled by socially and economically disadvantaged individuals shall be established at not less than eight (8) percent of the total value for contract awards. The Offeror shall discuss how they intend to meet or exceed this level, and utilize business and labor to the greatest extent possible.

### 17.2.3. CORPORATE COMMITMENT

The Offeror shall identify corporate commitments to this project and capacity to accomplish work on schedule and with available resources. The Offeror shall submit "Statement of Availability" for all individuals identified to be involved with this project. The Offeror shall specify current contracts by nature, duration, and amount, and summarize the effect of those commitments on this project.

### 17.2.3.1. Resource Utilization Plan

The Offeror shall demonstrate effectiveness to balance manpower material/equipment requirements for this project. The Offeror shall provide a curve showing combined billings for remedial actions versus time. The Offeror shall provide this information for the last 3 years and for the projected next 3 years. The Offeror shall include this contract's impact on projected future billings. The Offeror shall show aggregate value of billings only (do not identify project specific components).

### 17.2.4. PERSONNEL QUALIFICATIONS

### 17.2.4.1. General

Data sheets or resumes shall be prepared and submitted for the individuals proposed to perform key functions on this project.

### 17.2.4.2. Data Sheet Format

The individual data sheets or resumes shall be prepared in a consistent format for each of the key positions for the Offeror and subcontractor(s) and shall contain at a minimum the following information:

- Name of individual
- Company name
- Education background
- Number of years with the present company

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- Experience covering at least the last 10 years or last five project assignments (of at least 18 months per assignment) to include the following:
  - Project name, location and dates of assignments
  - Description of primary project features
  - Name and address of project owner
  - Name and address of employer on each project
  - Position(s) occupied on each assignment and the time assigned to each position
  - Description of duties and responsibilities of each position occupied
- · Percent of time available
- Professional registrations, licenses, certifications, and professional society/organization memberships.

Consideration will not be given to subcontractor personnel if assurance that the subcontractor will perform the work when called upon is not provided.

### 17.2.5. SCHEDULE

The Offeror shall provide a schedule for all major proposed submittals, plans, and operations that clearly depicts the start and completion of each milestone. At a minimum, the following items shall be included.

- Submittal of SSHP, CDAP, TDF Operation Plan, Trial Burn Plan, and Perimeter Air Sampling Plan (PASP)
- Mobilization of TDF
- Trial Burn
- TDF Operation
- Completion of TDF operation
- Site Restoration

The Offeror shall describe the proposed management information system (MIS) to be utilized. Personnel responsible for day-to-day and long-term schedule control shall be identified and shall indicate they will utilize the MIS to control and report progress and prepare payment requests.

### 17.3. PRICES/COST DATA (VOLUME III)

The Offeror shall complete the Solicitation/Contract Form [Section A (Standard Form 33)], Pricing Schedule [Section B (Pages B-1 and B-2)], and Representations, Certifications, and Other Statements to Offerors [Section K (Pages K-1 through K-23). All items shall be completed and the proposal shall be manually signed in the appropriate blocks on Standard Form 33. Prices shall be stated as

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indicated in the appropriate spaces. The total cost of the items contained in the proposal schedule will be considered for evaluation; therefore, at a minimum, the Offeror shall submit the following separate breakdown of costs for each proposal item for the purpose of evaluating costs (in the form of cost analysis):

- Labor costs
  - Material costs
- Equipment costs
- Travel costs
- Insurance
- Overhead
- G & A (if applicable)
- Profit
- Per diem costs\*

\* Shall not exceed the current amount contained in the Government Joint Travel Regulation.

### This volume shall be placed in a separately sealed envelope.

If the Offeror certifies that it is not a small business concern, it is required that the Offeror submit a subcontracting plan in accordance with the Contract Clause entitled "Small Business and Small Disadvantaged Business Subcontracting Plan." The format for the plan is attached (Attachment II). See paragraph 17.2.2.5 for Small Business and Small Disadvantaged Business Subcontract goals. Failure to submit a required subcontracting plan could result in a firm being determined nonresponsive.

18. ADDITIONAL DRAWINGS AND SPECIFICATIONS. One set of half-size drawings and of the specifications will be furnished to each offeror. Additional Sets of drawings, reduced to half-size, and of specifications will be furnished upon receipt of payment of \$40.00 per set. The drawings need not be returned but in the event no award is made, the payment will be refunded upon request. Additional copies of the specifications alone will be furnished an applicant at the rate of \$20.00 per copy. Payment will be made by check or money order payable to "Omaha District, Corps of Engineers" and delivered to the Commander, U.S. Army Engineer District, Corps of Engineers, 215 N. 17th Street, Omaha, Nebraska 68102-4978, ATTN: Finance and Accounting Office.

### 30. TELEPHONIC INQUIRIES/COMMENTS.

Telephone calls concerning proposal documents should be made between 8:45 a.m. and 3:45 p.m. to: Contracting Division, Mr. John Haskell (402) 221-4109. Telephone calls on contents of drawings and specifications should be made to Mr. Craig Pennell at (402) 221-7186 or Specification Section at (402) 221-4547.

### 31. PREPROPOSAL CONFERENCE.

A One (1) day preproposal conference will be held at Lock Haven, Pennsylvania City Hall - 11 May 1993 at 9:00 a.m.

- a. The purpose of the conference will be familiarize prospective offerors with the extent and nature of the project, as well as the unique features of this solicitation. The conference will begin with a briefing session and during this protion of the conference, questions will not be accepted. All participating firms are strongly encouraged to prepare their written questions/comments and submit them to the U.S. Army Corps of Engineers (Attn: CEMRO-CT-H (Mr. Haskell), 215 North 17 th Street, Omaha, Nebraska 68102-4978. At the end of the briefing session, a 60 minute period will be set aside so that offerors can prepare and submit other questions in writing regarding the project. All questions shall contain the following information:
  - (1) Names of persons submitting the questions.
  - (2) Company name.
  - (3) Company address.
  - (4) Telephone number.
  - (5) Question/Comment.

The questions/comments will be read and answered by the appropriate Government personnel during the Question and Answer period. A written summary of the questions and answers will be mailed to each firm which has received a copy of the RFP and which has not submitted a "No-Response" notice. Questions will be received until one (1) week after the preproposal conference.

- b. Prospective offerors who plan to attend the conference shall provide to Mr. Craig Pennell at (402) 221-7186, at least 2 work days prior to the preproposal conference, a list of the names and titles of the representatives who will attend the conference. Any advance questions pertaining the solicitation should be submitted with the list so that they may be answered at the conference. The number of the offerors personnel allowed to attend the preproposal conference shall be limited to a maximum of two representative per firm.
- c. <u>IMPORTANT NOTE</u>: (1) Remarks and explanations addressed during the conference shall not qualify or alter the terms and conditions of the solicitation. (2) The terms and conditions of the solicitation, to include specifications and drawings, remain unchanged unless the solicitation is formally amended in writing.
- 32. PREPOSAL EXPENSES AND PRECONTRACT COSTS. This request for proposal does not commit the Government to pay for cost incurred in the preparation and submission of a proposal or for any other costs incurred prior to execution of a formal contract.
- 33. PRE-AWARD REVIEWS. The Government reserves the right to conduct a pre-award survey of any firm under consideration to confirm any part of the information furnished by the offeror, or to require other evidence of managerial, financial, technical, and other capabilities, the positive establishment of which is determined by the Government to be necessary for the successful performance of the contract.
- 34. EQUAL OPPORTUNITY CLAUSE.

- a. NOTE THE CERTIFICATION ON NONSEGREGATED FACILITIES IN THIS PROPOSAL. Offerors and applicants are cautioned to note the "Certification on Nonsegregated Facilities" in the proposal. Failure of an offeror to agree to the certification will render his offer unacceptable. See CERTIFICATIONS AND REPRESENTATATIONS located in Section K of this Request for Proposal.
- b. TO BE ELIGIBLE FOR AWARD, EACH PROPOSER MUST COMPLY WITH THE AFFIRMATIVE ACTION REQUIREMENTS TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY.
- 35. RESTRICTIONS ON CONTRACT AWARD. For purposes of evaluation of offerors for award of a contract pursuant to this solicitation, any person, firm or business shall be deemed to have an organizational conflict of interest and shall not be eligible for award of this contract if:
- (1) They are a potentially responsible party for this site under the Comprehensive Environmental Response, Compensation and Liability Act, Title 42 United States Code 9601 et seq., whether named as such by the United States or not, or,
- (2) They are affiliated or related in any way with a potentially responsible party for this site, or,
- (3) They have furnished services of any type concerning this site to any potentially responsible party, to any counsel, consultant, or Contractor acting for any potentially responsible party, or,
- (4) They are otherwise determined by the Contracting Officer to have an organizational conflict or interest under FAR Subpart 9.5, and any supplements. This restriction on eligiblity to perform work under this contract extends to subcontractors at any level.

[FOR CONSTRUCTION WORK ONLY]

- 36. NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (APRIL 1984).
- 36.1. The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.
- 36.2. The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for Minority Participation

Goals for Female Participation for

8.7

6.9

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice

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form, and these notices may be obtained from any Office of Federal Contract Compliance Programs Office.

- 36.3. The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.
- 36.4. The Contractor shall provide written notification to the Director, Office of Federal Contract Compliance Programs, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the --
  - (1) name, address, and telephone number of the subcontractor;(i) employer identification number of the subcontractor;
  - (2) estimated dollar amount of the subcontract;
  - (3) estimated starting and completion dates of the subcontract; and
  - (4) geographical area in which the subcontract is to be performed.
- 36.5. As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is Williamsport, Pennsylvania, EA-014, of which Clinton County, Pennsylvania is a part (FAR 52.222-23).

### SECTION M EVALUATION OF PROPOSALS

### INDEX

- 1. SOURCE SELECTION EVALUATION BOARD (SSEB)
- 2. TECHNICAL INFORMATION
- 3. PROPOSAL EVALUATION

  - 3.1. TECHNICAL DATA
    3.2. MANAGEMENT DATA
  - 3.3. COST DATA

### SECTION M EVALUATION OF PROPOSALS

### 1. SOURCE SELECTION EVALUATION BOARD (SSEB)

The Contracting Officer (CO) will establish an SSEB to conduct the evaluation of proposals received in response to this solicitation. The SSEB will consist of technical, procurement, and legal personnel. The evaluation will be based exclusively on the content of the proposal and any subsequent discussions required. The SSEB will not consider any information or data incorporated by reference or referred to otherwise. The identities of the SSEB personnel are confidential, and any attempt by the proposers to contact these individuals is prohibited.

### 2. TECHNICAL INFORMATION

The technical information to be provided under Paragraph 17, Proposal Preparation and Format shall support and be consistent with the technical requirements contained in the specifications and the Request for Proposals (RFP).

Text information describing technical data should be specific, without extended discussions, unless necessary to fully incorporate the content of the proposal. The format and checklists shall be as detailed under Paragraph 17, Proposal Preparation and Format. This format will aid in the evaluation process without omitting specific technical and personnel qualifications for any proposer.

### 3. PROPOSAL EVALUATION

Those proposals which have been properly submitted will be evaluated to determine a competitive range. The major elements of consideration in the evaluation are technical data, past project experience data and price/cost data. The technical data, management data, and past project experience data, elements will be point scored. Pricing/cost data will not be point scored but will be subjectively evaluated. If sufficient material for any of the items listed below is not included in the proposal, the Offeror may receive zero points for that evaluation item or the Offeror's proposal may be considered unacceptable and given no further consideration. The identified areas of proposal data to be evaluated are listed below in descending order of importance:

Volume I - Technical Volume II - Management Volume III - Cost

Once the competitive range is established, an award may be made to the Offeror whose proposal receives the highest point score for Technical and Management elements and whose price, after subjective evaluation by the board, is determined to be most advantageous to the Government, price and other related factors considered. Please note that an award may be made from the original proposal without further negotiations; therefore, the Offeror should submit the best offer possible. However, in the event clarifications and/or negotiations are needed,

those falling within the competitive range will be contacted. The letter will outline the weakness and request a response, a presentation, or negotiations as needed. Following clarifications or negotiations, a best and final offer will be requested. After clarifications or negotiations and after receipt of the best and final offer, those proposals within the competitive range will be point scored again, price subjectively evaluated, and a final ranking computed. An award may be made to the highest ranked firm as is in the best interest of the Government.

### 3.1. TECHNICAL DATA

Sufficient material must be included in the proposal to allow the Government to evaluate the firm's ability to comply with the terms and conditions of the RFP and any resultant contract thereto. This element carries more weight than Management and Cost elements of the proposal.

The specific items as detailed under Paragraph 17.1, Technical (Volume I) in Section L are listed in order of precedence, with the most important items listed first and descending in order of importance thereafter. The points available for technical data are determined based on the importance of specific project details. Descriptions shall be limited to technical information only.

### 3.2. MANAGEMENT DATA

The Offeror will be evaluated in accordance with Management Criteria identified in Section L. The categories listed under Paragraph 17.2, Management (Volume II) in Section M are listed in order of precedence, with the most important item listed first.

### 3.3. COST DATA

The total cost of the Items contained in the Proposal Schedule will be considered in a subjective evaluation. At a minimum, the Offeror shall submit a separate cost breakdown for each item listed in accordance with cost data requirements specified herein. The cost section of the proposal shall be sufficient detail to allow an in-depth cost analysis by the Government. Cost or pricing will not be point scored factors. However, they will be evaluated as to their reasonableness and affordability, and used to assist the Government in determining if the participating firm has a clear understanding of the actual work requirements.

### 3.3.1 Not Used

### 3.3.2 Evaluation Factors for Award

Award will be made to that responsible and responsive firm whose proposal is most advantageous to the Government, price and other weighted factors considered. A firm other than the lowest Offeror may be awarded provided the offer is within the competitive range. Only Fixed Firm Price proposals will be evaluated. A proposal using a sliding price scale or subject to escalation based on any contingency will not be acceptable and will be considered nonresponsive to the terms and conditions of this RFP. Amounts are required for each line item. If

not given, the cost proposal will be rejected.

### INDEX OF ATTACHMENTS

- 1. Service Wage Determination Nos.: 86-0851 (Rev 15), 86-0845 (Rev. 9) and 86-0847 (Rev. 12).
- 2. General Wage Decision No.: PA930004 (For Construction)
- 3. Request for Cage Code
- 4. Compliance Summary Checklist
- 5. Technical Specifications and Attachments I thru VIII (Section C) (Volumes 2 and 3 Bound Separately)
- 6. Drawings (Section C) 1/2 size set of advertised drawings Bound Separately

U.S. DEPARTMENT OF LABOR
EMPLOYMENT STANDARDS ADMINISTRATION
WAGE AND HOUR DIVISION
WASHINGTON, D.C. 20210

Page 1 of

State: Ohio, Pennsylvania

Area:

LOCALITY

REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT By direction of the Secretary of Labor

Alanz Mose Minister of Director Wage Determinat

rector	Wage	Wage Determinations	Wage Dete	Wage Determination No.: 86-0851 (Rev. 15) Date: 01/29/1993	Vo.: 86-0	851 (Rev.	15) Da	te: 01/	29/1993
: Class of Service Employees	ervice Em	ıployees	Minimum Hourly		Fringe B	Fringe Benefit Payments	yments		
			Wage	Health & Welfare	Vacat	Vacation Holiday	liday	Other	<u>u</u>

# Administrative Support and Clerical Occupations:

\$ 6.94 \$ 8.71	\$ 10.43	\$ 12.81	\$ 9.10	\$ 9.87	\$ 6.03	\$ 6.81	\$ 8.66	\$ 9.44		\$ 6.24	\$ 6.03	\$ 7.26		\$ 11.48	\$ 10.04		\$ 8.05	\$ 9.44	\$ 9.44	\$ 10.13
1. Accounting Clerk I	3. Accounting	<del>-</del>	ຜ	6	7.	æ	ġ	10. Audiovisual Services Clerk	(Film/Tape Librarian)	11. Inventory Clerk	12. Mail Clerk	13. Messenger	14. Order Clerk I	15. Order Clerk II	16. Payroll Clerk	17. Production Control Clerk	18. Receptionist	19. Scheduler, Maintenance	20. Secretary I	21. Secretary II

State: Ohio, Pennsylvania

Area:

EMPLOYMENT STANDARDS ADMINISTRATION U.S. DEPARTMENT OF LABOR 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C. LOCALITY By direction of the Secretary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT

Director Alanu

Wage Determinations Kaion of

Wage Determination No.: 86-0851 (Rev. 15) Date: 01/29/1993

	Melfare	
Fringe Benefit Payments	Vacation	
Payments	Holiday	
	Other	

									,		
\$ 11.41	\$ 13.00	\$ 15.47	\$ 8.66	\$ 9.56	\$ 10.69	\$ 8.66		\$ 11.41	\$ 8.05	\$ 8.05	
22. Secretary III	23. Secretary IV	24. Secretary V	25. Service Order Dispatcher		27. Stenographer II		Shelf Stocker/Store Clerk	29. Supply Technician		31. Switchboard Operator-	

Franscribing-Machine Typist ford Processor II ford Processor I Receptionist Pypist II Pypist I 35 150 834. 36.

Customer Service ersonnel Clerk rield Agent 37. 38. 39.

Camera Operator Representative Docty 41. 40.

ant Preparation Clerk el Assistant Per

. 05 9.40 8.87 9.81 8.84 6.24

6.24

6.03 6.81

of ... Page

State: Ohio, Pennsylvania

Area:

LOCALITY

EMPLOYMENT STANDARDS ADMINISTRATION U.S. DEPARTMENT OF LABOR 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C.

By direction of the Secretary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT

Moss Director Alan L.

Division of

Wage Determinations

Class of Service Employees

Wage Determination No.: 86-0851 (Rev. 15) Date: 01/29/1993

Other Fringe Benefit Payments Hollday Vacation Health & Welfare Minimum Hourly

Fringe benefits applicable to all classes of service employees engaged in contract performance:

3

\$0.83 per hour or \$33.20 per week or \$143.86 per month. 1/ HEALTH & WELFARE:

2/ VACATION: 2 weeks paid vacation after 1 year of service with a contractor or successor; 3 weeks mafter 8 years; 4 weeks after 15 years; 5 weeks after 25 years. Length of service includes the whole Tspan of continuous service with the present (successor) contractor, wherever employed, and with the Cpredecessor contractors in the performance of similar work at the same Federal facility.

(Reg 4.173)

(A contractor may substitute for any of the named holidays Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans' Day, New Year's Day, Martin Luther King Jr.'s Birthday, another day off with pay in accordance with a plan communicated to the employees involved.) 10 paid holidays per year: Thanksgiving Day, and Christmas Day. 3/ HOLIDAYS:

4/ OHIO:

Belmont, Harrison, Jefferson, and Tuscarawas

Allegheny, Armstrong, Beaver, Bedford, Blair, Butler, Cambria, Cameron, Centre, Clarion, Clearfield, Clinton, Crawford, Elk, Erie, Fayette, Forest, Fulton, Greene, Huntingdon, Indiana, Jefferson, Lawrence, McKean, Mercer, Potter, Somerset, PENNSY LVANIA:

U.S. DEPARTMENT OF LABOR EMPLOYMENT STANDARDS ADMINISTRATION	•	•		Page 4 of 7	
WAGE AND HOUR DIVISION WASHINGTON, D.C. 20210		State: Ohio, Pennsylvania	Pennsylvani	la	
		Area: 4/			
REGISTER OF WAGE DETERMINATIONS UNDER	LOCALITY				
By directiff of the Sporetary of Labor	·				
Com Minde	`				
Alan Lingss Division of					
Director in the lage Determinations	Wage Det	Wage Determination No.: 86-0851 (Rev. 15) Date: 01/29/1993	.: 86-0851	(Rev. 15) Dat	e: 01/29/1993
Class of Service Employees	Minimum Hourly	<b>4</b>	Fringe Benefit Payments	t Payments	
	Wage	Health & Welfare	Vacation	Holiday	Other
	1	.			

Venango, Warren, Washington, and Westmoreland.

officer no later than 30 days after such unlisted class(es) of employees performs any contract work. The contracting officer shall review the proposed action and promptly submit a report of the action, together with the agencys' recommendation and all pertinent information including the performed by any classification listed in the wage determination), be classified by the contractor NOTE: The contracting officer shall require that any class of service employee which is not listed position of the contractor and the employees, to the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, for review. (See section 4.6 (b)(2) of Regulations 29 conformed classes of employees shall be paid the monetary wages and furnished the fringe benefits representative, the employees themselves, shall be submitted by the contractor to the contracting so as to provide a reasonable relationship (i.e., appropriate level of skill comparison) between such unlisted classifications and the classifications listed in the wage determination. Such proposed conforming action, including information regarding the agreement or disagreement of the authorized representative of the employees involved or, where there is no authorized as are determined. Such conforming procedures shall be initiated by the contractor prior to the performance of contract work by such unlisted class(es) of employees. A written report of the herein and which is to be employed under the contract (i.e., the work to be performed is not

UNIFORM ALLOWANCE: If employees are required to wear uniforms in the performance of this contract (either by the terms of the Government contract, by the employer, by the state or local law, etc.), the cost of furnishing such uniforms and maintaining (by laundering or dry cleaning) such uniforms is an expense that may not be borne by an employee where such cost reduces the hourly rate below that required by the wage determination. The Department of Labor will accept payment in accordance with the following standards as compliance:

commercial laundering in order to meet the cleanliness or appearance standards set by the terms of the Government contract, by the contractor, by law, or by the nature of the work, there is no In the contractor or subcontractor is required to furnish all employees with an adequate number of uniforms without cost or to reimburse employees for the actual cost of the uniforms. In addition, Owhere uniform cleaning and maintenance is made the responsibility of the employee, Call contractors and subcontractors subject to this wage determination shall (in the absence of a Choma fide collective bargaining agreement providing for a different amount, or the furnishing of Ocontrary affirmative proof as to the actual cost), reimburse all employees for such cleaning and maintenance at a rate of \$3.80 a week (or 76 cents a day); and effective April 1, 1991, the note personal garments, and do not require any special treatment such as dry cleaning, daily washing, shall be \$4.25 per week (or \$.85 cents per day). However, in those instances where the uniforms furnished are made of "wash and wear" materials, may be routinely washed and dried with other requirement that employees be reimbursed for uniform maintenance costs.

DATE 01/29/1993

-

The duties of employees under job titles listed are those described in the Service Contract Act Directory of Occupations, Second Edition, July 1986, unless otherwise indicated. CFR Part 4 Section 4.152. \*\*\*\*\*\*\*\*\* OCCUPATIONS NOT INCLUDED IN THE SCA DIRECTORY OF OCCUPATIONS \*\*\*\*\*\*\*\*\*\*

### PERSONNEL CLERK

Processes personnel actions by recruiting, rating and ranking clerical applications and completion of a variety of SF-52 actions in accordance with FPM Supplement 296-33 which requires knowledge of types of appointing authorities, employee benefits, etc. Assures proper preparation of vacancy announcements based upon information provided by Staffing Specialist. Follows up on reproduction Tand distribution of announcements. Assures proper advertisement listing on positions advertised  $\mathcal{D}_{\mathsf{w}}$  ider than organization-wide. Upon issuance of announcement independently contacts qualified applicant supply file candidated within the area of consideration to determine interest and

required to complete incomplete applications or bids. Upon completion of merit staffing action, closes out the vacancy case file assuring that all required documentation is included and that all candidated receive proper notification. Reviews applications for the applicant supply file making final determination as to eligibility to apply. Acknowledges receipt and files by announcement number. Upon review by staffing specialist, enters into applicant supplu file in accordance with established procedures. Assists in orientation of new employees by explaining benefits, basic Verifies receipt of all necessary forms/information from candidates applying for vacancies.

Arequests supervisory appraisal, supplemental forms, documentation of competitive status, as established procedures. policies, etc.

the recruitment and placement function. Independently requests extensions as necessary and assures demanding problems may be referred to the staffing specialist. Establishes and maintains logs for promotion opportunities. Answers inquiries about competitive employment and promotion procedures, yacancies, etc. Handles the full range of inquiries independently. Unusual or exceptionally Gerves as an initial contact for individuals contacting the Personnel Office about employment or timely and proper return to OPM. Performs a variety of routine clerical duties such as classification and maintenance of files and tying a variety of materials.

The Field Agent performs various product pickup tasks based on the client job specifications. These task include locating, purchasing, wrapping, king, labeling, and shipping the prod

If applicable, job specifications may include supplemental tasks such as labeling, recording information, and acquiring special codes. to the required destination.

# CUSTOMER SERVICE REPRESENTATIVE:

The general responsibility is to insure that client job specifications are met through accurate and timely communication of client Responsible for servicing of assigned clients/accounts. requirements and Product Pickup Service Field Agents.

### CAMERA OPERATOR

Places standard photocoping machine. and clarity material in the machine, takes its picture, and checks for form Performs duties similar in nature to the operator of a

# DOCUMENT PREPARATION CLERK

of This entails the disassembly documents, books, periodicals, etc. and organizing this material inot a photographic mode. Primary duty is to prepare material for the camera operator.

# PERSONNEL ASSISTANT

Determining Duties and Responsibilities:

Follow established procedures to ensure conformance Cplacement on the Hourly, General Office, Technical and Professional payrolls to fill available Uppenings as authorized. Refer qualified employee-requested transfer applicants to appropriate Under the guidance of an employee of higher classification, interview transfer applicants for Ocompany procedures with respect to medical restrictions and transfer procedures. Corganization for plancement or rejection.

Associated Duties and Responsibilities:

- Collect information to support Employee Relations reports.
- . Perform special assignments as required.
- Advise employees as to Company rules, benefits and promotional opportunities.
- Screen employee-requested transfer applications with organizations before sending the employee on interview.
- 5. Set up and maintain adequate records.

State: Ohio, Pennsylvania

4

Area:

LOCALITY

EMPLOYMENT STANDARDS ADMINISTRATION U.S. DEPARTMENT OF LABOR 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C.

the Seggetary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT By direction

Alan L. Moss Director

Division of

Wage Determinations

Wage Determination No.: 86-0845 (Rev. 9) Minimum

Date: 12/17/1992

Other

Fringe Benefit Payments

Hourly Wage

Class of Service Employees

Hol Iday Vacation Health & Welfare

Operation and Repair Occupations, Material Handling and Repair Occupations, Transportation and Mobile Equipment Operation Occupations, and Plant and Systems Operation Packing Occupations, Mechanics and Maintenance and Machine Tool Furniture Maintenance and Repair, Occupations:

1. Material Coordinator	2. Order Filler		4. Warehouseman	5. Electrostatic Spray Painter	6. Furniture Handler	Furniture	
14	R	3	انا	5(	3 9	9:	5

11.67

12,19 12.19 14.04

12.19

11.24 13.68

12,19

14.04

Furniture Refinisher, Helper

Fuel Distribution System Machine-Tool Operator Tool and Die Maker Toolroom) 11. 12. 13.

Upholsterer

Laborer

10.

Material Handling Laborer Operator 14.

12.63 S **‹**›

16.15

11.27

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State: Ohio, Pennsylvania

Area:

U.S. DEPARTMENT OF LABOR EMPLOYMENT STANDARDS ADMINISTRATION 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C. 20210 REGISTER OF WAGE DET THE SERVICE CO By direction of the

Alan L. Moss Director

# Class of Servi

UNDER f Labor nations	Wage Dete	armination N	Wage Determination No.: 86-0845 (Rev. 9) Date: 12/17/1992	(Rev. 9)	Date:	12/17/1992	
ice Employees	Hourly Wage	Health & Welfare	Vacation	Holiday		0ther	

\$ 12.19	\$ 12.19	\$ 11.87	\$ 11.87	\$ 11.87	\$ 12.19	\$ 14.50	\$ 12.19	\$ 13.09	\$ 13.54	\$ 13.54	\$ 13.54	\$ 12.19	\$ 14.04	\$ 14.04	\$ 14.50	\$ 14.50	\$ 13.54	\$ 12.19	\$ 14.50	\$ 13.09	\$ 14.50
15. Power Truck Operator	0	17. Shipper and Receiver	18. Shipper	19. Receiver	20. Shipping Packer		22. Aircraft Mechanic Helper	23. Aircraft Servicer	24.	Cri 25. Appliance Mechanic	. 92	O 27. Bicycle Repairer	28.	29.	30. Electrician, Maintenance	31. Electronics Mechanic	32. Electronics Worker	33. Fabric Worker	34. Fire Alarm System Mechanic	35. Fire Extinguisher Repairman	36. Fuel Distribution System

	•	
Power Truck Operator	\$ 12.19	
(other than Forklift)		
Forklift Operator	12.1	
Shipper and Receiver	11.8	
Shipper	11.8	
Receiver	11.8	
Shipping Packer	12.1	
Aircraft Mechanic	14.5	
Aircraft Mechanic Helper	12.1	
Aircraft Servicer	13.0	
Aircraft Worker	~	
Appliance Mechanic	13.5	
Audiovisual Equipment Mechanic	13.5	
Bicycle Repairer	\$ 12.19	
Carpenter, Maintenance	14.0	
Carpet Layer	14.0	
Electrician, Maintenance	- •	
Electronics Mechanic	14.5	
Electronics Worker	-	
Fabric Worker	\$ 12.19	
Fire Alarm System Mechanic	\$ 14.50	
Fire Extingulaher Repairman	\$ 13.09	
Fuel Distribution System	\$ 14.50	
Mechanic		

U.S. DEPARTMENT OF LABOR EMPLOYMENT STANDARDS ADMINISTRATION				Page 3 of	<b>co</b>
WAGE AND HOUR DIVISION WASHINGTON, D.C. 20210		State: Ohio,	, Pennsylvania	a	
		Area: 4/			
REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT  By direction of the Secretary of Labor  () () () () () () () () () () () () () (	or LOCALITY				
Alan L. Moss Division of		,			
Director Wage Determinations	Wage	Determination No	No.: 86-0845 (	(Rev. 9) Date:	e: 12/17/1992
Class of Service Employees	Minimum		Fringe Benefit	t Payments	
	Wage	Health & Welfare	Vacation	Holiday	Other
37. General Maintenance Worker	\$ 12.01				
Heating/ Condition	•				
39. Heavy Equipment Mechanic	14.				
40. Instrument Mechanic	\$ 14.50 \$ 14.04				
Ch42. Mechanic, Maintenance	14.				
( machinety)	\$ 14.50	••			
. Maintenance	12.				
45. Mason, Maintenance 46. Willwright	\$ 14.50 \$ 14.50				
	13.				•
48. Aircraft Painter	\$ 14.04				
. Painter, Mai	\$ 14.04				
50. Pipefitter, Maintenance	\$ 14.50 \$ 14.00				
52. Preudraulic System Mechanic	\$ 14.50				
. Rigger	\$ 14,04				
. Scale Mechar	\$ 13.54		,		
55. Sheet-Metal Worker,	\$ 14.50		•		
•					F
Small	\$ 13.09				
57. Sound Technician					

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Ohio, Pennsylvania	ion No.: 86-0845 (Rev. 9) Date: 12/17/1992	Fringe Benefit Payments	th & Vacation Holiday Other																		
State:  Area:	Wage Determination	Minimum	Wage Health Welfare	\$ 14.50	14.	5 13.54 5 14 04	14.	\$ 14.50	\$ 14.04	\$ 12.76	\$ 13.25	-	\$ 12.76	13.2	13.7	14.9	14.	14.	14.5	\$ 14.92	
EMPLOYMENT STANDARDS ADMINISTRATION WAGE AND HOUR DIVISION WASHINGTON, D.C. 20210 REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT-ACT By direction of the Secretary of Labor Alan L. Moss Division of		Class of Service Employees		58. Welder, Combination, Maintenance		•	61. Boller Tender 62. Sewage Plant Oberator	63.	C64. Water Treatment Plant  Operator	65. Bus Drivers: Bus under 1 1/2	66. Bus Drivers: Bus 1 1/2-4	•	68. Heavy Equipment Operator	Truckdriver,	. Truckdriver,	•	. Telephone	. Teleph		76. Inspector	

EMPLOYMENT STANDARDS ADMINISTRATION U.S. DEPARTMENT OF LABOR 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C.

O.

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Page

State: Ohio, Pennsylvania

Area:

LOCALITY

By direction of the Secretary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT

Alan L. Moss Director

Wage Determinations Division of

Wage Determination No.: 86-0845 (Rev. 9)

Class of Service Employees

Other Fringe Benefit Payments Holiday Vacation Health & Welfare Minimum Hourly

Date: 12/17/1992

Fringe benefits applicable to all classes of service employees engaged in contract performance:

3

\$0.83 per hour or \$33.20 per week or \$143.86 per month. 1/ HEALTH & WELFARE:

12 2/ VACATION: 2 weeks paid vacation after 1 year of service with a contractor or successor; 3 weeks After 8 years; 4 weeks after 15 years; 5 weeks after 25 years. Length of service includes the whole Gipan of continuous service with the present (successor) contractor, wherever employed, and with the Tpredecessor contractors in the performance of similar work at the same Federal facility. (Reg 4.173)

(A contractor may substitute for any of the named holidays 3/ HOLIDAYS: 10 paid holidays per year: New Year's Day, Martin Luther King Jr.'s Birthday, Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans' Day, Thanksgiving Day, and Christmas Day. (A contractor may substitute for any of the named hol another day off with pay in accordance with a plan communicated to the employees involved.) 3/ HOLIDAYS:

4/ OHIO:

Belmont, Harrison, Jefferson, and Tuscarawas

Clarion, Clearfield, Clinton, Crawford, Elk, Erie, Fayette, Forest, Fulton, Greene, Huntingdon, Indiana, Jefferson, Lawrence, McKean, Mercer, Potter, Somerset, Allegheny, Armstrong, Beaver, Bedford, Blair, Butler, Cambria, Cameron, Centre, Venango, Warren, Washington, and Westmoreland. PENNSYLVANIA:

herein and which is to be employed under the contract (i.e., the work to be performed is not performed by any classification listed in the wage determination), be classified by the contractor NOTE: The contracting officer shall require that any class of service employee which is not listed conformed classes of employees shall be paid the monetary wages and furnished the fringe benefits authorized representative of the employees involved or, where there is no authorized representative, the employees themselves, shall be submitted by the contractor to the contracting position of the contractor and the employees, to the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, for review. (See section 4.6 (b)(2) of Regulations 29 as are determined. Such conforming procedures shall be initiated by the contractor prior to the performance of contract work by such unlisted class(es) of employees. A written report of the proposed conforming action, including information regarding the agreement or disagreement of the so as to provide a reasonable relationship (i.e., appropriate level of skill comparison) between such unlisted classifications and the classifications listed in the wage determination. Such officer no later than 30 days after such unlisted class(es) of employees performs any contract work. The contracting officer shall review the proposed action and promptly submit a report of action, together with the agencys' recommendation and all pertinent information including the

(either by the terms of the Government contract, by the employer, by the state or local law, etc.), the cost of furnishing such uniforms and maintaining (by laundering or dry cleaning) such uniforms is an expense that may not be borne by an employee where such cost reduces the hourly rate below that required by the wage determination. The Department of Labor will accept payment in accordance UNIFORM ALLOWANCE: If employees are required to wear uniforms in the performance of this contract Twith the following standards as compliance:

personal garments, and do not require any special treatment such as dry cleaning, daily washing, or commercial laundering in order to meet the cleanliness or appearance standards set by the terms of the Government contract, by the contractor, by law, or by the nature of the work, there is no The contractor or subcontractor is required to furnish all employees with an adequate number of Juniforms without cost or to reimburse employees for the actual cost of the uniforms. In addition, Dall contractors and subcontractors subject to this wage determination shall (in the absence of a bona fide collective bargaining agreement providing for a different amount, or the furnishing of contrary affirmative proof as to the actual cost), reimburse all employees for such cleaning and maintenance at a rate of \$3.80 a week (or 76 cents a day); and effective April 1, 1991, the note shall be \$4.25 per week (or \$.85 cents per day). However, in those instances where the uniforms furnished are made of "wash and wear" materials, may be routinely washed and dried with other where uniform cleaning and maintenance is made the responsibility of the employee, requirement that employees be reimbursed for uniform maintenance costs.

6

The duties of employees under job titles listed are those described in the Service Contract Act Directory of Occupations, Second Edition, July 1986, unless otherwise indicated. CFR Part 4 Section 4.152. \*\*\*\*\*\*\*\*\*\*\*\* OCCUPATIONS NOT INCLUDED IN THE SCA DIRECTORY OF OCCUPATIONS \*\*\*\*\*\*\*\*\*\*

### Linema

aerial and underground communications lines and auxiliary equipment such as conduits, This occupation includes jobs that involve installing, maintaining, and repairing The work does not require completing line connections. insulators, and poles.

## TELEPHONE MECHANIC

shelves, and such equipment as line finders, switch banks, selectors, connectors, repeaters, peg counters, restricting post cams, and various interrelated trunk circuits. Performs traffic study defective parts and such by procedures as setting clearances, adjusting spring tensions, wipers, Responsible for telephone installation, removal, relocation, problem resolution, and cable maintenance and repair. Specifically, the telephone mechanic handles circuit testing, analyses results, repairs and modifies circuits and equipment in a step by step, XY, all relay and/or Electronic Switch system. Locates electrical, electronic, and mechanical failures in telephone switching and carrier equipment by observing and listening to equipment, using test equipment; relay contacts, and other interrelated mechanisms. Installs or rearranges equipment frames and schematic drawings, computer printouts, and troubletickets. Repairs equipment by replacing analysis and office grading. Installs approved modifications and makes suggestions for

Resolves complex problems between exchange, both government and commercial.

-May direct, instruct, and assist lower level employees with their overall assignments.

Performs other duties as assigned.

### CABLE SPLICER

static pressurization systems. Ensures techniques, materials, and accomplishments are according to aerial cable systems. Prepares and installs distribution equipment. Terminates tip cables on main distribution frames. Installs, maintains, and repairs dry air compressors and continuous flow and Installs, maintains, repairs, and modifies cable systems. Uses engineered drawings, statements work, and technical manuals to determine requirements for maintaining underground, buried, and

86-0845 (Rev. 9)

WAGE DETERMINATION

deterioration in voice and data circuits over cable by using test equipment. Interprets compressor meter readings and adjusts controls. Troubleshoots pneumatic and electrical malfunctions in cable pressure component assemblies, using resistance measurements and pressure gradients. Repairs replaces splice cases. Performs pneumatic troubleshooting to locate faulty splice cases and pressure component assemblies and adjusts pressure contractors. Determines course of signal technical standards, and specifications and engineered directives. Locates, repairs, and/or air-dryer compressors.

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State: Ohio, Pennsylvania

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Area:

LOCALITY

EMPLOYMENT STANDARDS ADMINISTRATION DEPARTMENT OF LABOR 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C. u.s.

By direction of the Secretary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT

Alan L. Mogs Director

Wage Determinations Division of

Class of Service Employees

Wage Determination No.: 86-0847 (Rev. 12) Date: 12/17/1992 Fringe Benefit Payments Minimum

Health & Hourly Wage

Vacation Welfare

Other

Holiday

Automatic Data Processing Occupations, Information and Arts Occupations, Library and Archive Occupations, and Technical Occupations:

Computer Data Librarian Programmer III Programmer II Operator III Operator II Operator IV Programmer Operator I Computer Computer Computer Computer Computer Computer Computer 3 Ü 5 03

9.19 9.93

10.41

14.01

16.79

21.21 15.94

11.30

13.21 14.01

> Systems Analyst Systems Analyst Programmer IV Computer Computer Computer

18.95

24.40

21.87

Computer Systems Analyst Computer Systems Analyst Key Entry Operator I

Entry Operator II Exhibits Specialist I Peripheral Equipment Key 17. ø.

13.42 14.42 17.76

13.42

Exhibits Specialist III Exhibits Specialist II Illustrator I 19. 18.

State: Ohio, Pennsylvania

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Area:

LOCALITY

EMPLOYMENT STANDARDS ADMINISTRATION U.S. DEPARTMENT OF LABOR 20210 WAGE AND HOUR DIVISION WASHINGTON, D.C.

By direction of the Secretary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT

Alan L. Moss Director

Wage Determinat Division of

Class of Service Employees

Hourly Health & Vacation Holiday Other Welfare	tions	Wage Det	<b>ermination</b>	No.:	86-0847	(Rev. 12	) Date:	Wage Determination No.: 86-0847 (Rev. 12) Date: 12/17/1992
Health & Vacation Holiday Welfare		Minimum		Frin	ige Benef	it Payme	nts	-
		Wage	Health & Welfare	<u> </u>	acation	Hol 1d		Other

Illustrator II	Illustrator III	Photographer I	Photographer II	Photographer III	Audiovisual Librarian	Librarian	Library Technician	Technical Information	Specialist I	
21.	22.	23.	24.	25.	26.	27.	28.	.62	R	() ()

17.76

Technical Information Specialist III Specialist II 333

Laboratory Tester Technical Writer Drafter I 33. 34.

Drafter III Drafter II 36. 35.

Drafter IV Drafter V 38.

Technician I 39.

Technician III Technician

14.42 17.76 10.89 13.42 14.42 17.76 8.67 15.93 13.42 14.01 16.55 14.42 17.76 10.74 ❖

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U.S. DEPARTMENT OF LABOR

o TO c phar	State: Ohio, Pennsylvania	Area: 5/	Τ.				Wage Determination No.: 86-0847 (Rev. 12) Date: 12/17/1992	m Fringe Benefit Payments	Health & Vacation Holiday Other   Welfare
			DER LOCALITY	bor		***************************************	<u> </u>	Minimum	Wage
EMPLOYMENT STANDARDS ADMINISTRATION	WAGE AND HOUR DIVISION WASHINGTON, D.C. 20210		REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT	By direction of the Secretary of Labor	00	Alan L. Moss Division of	Director Wage Determinations	Class of Service Employees	

42. Laboratory Technician 43. Instructor

\$ 9.93

4 3/ Fringe benefits applicable to all classes of service employees engaged in contract performance: 1/ Does not apply to employees employed in a bona fide executive, administrative, or professional (See 29 CFR 4.156) capacity as defined and delineated in 29 CFR 541.

\$0.83 per hour or \$33.20 per week or \$143.86 per month. 2/ HEALTH & WELFARE:

after 8 years; 4 weeks after 15 years; 5 weeks after 25 years. Length of service includes the whole span of continuous service with the present (successor) contractor, wherever employed, and with the 3/ VACATION: 2 weeks paid vacation after 1 year of service with a contractor or successor; 3 weeks predecessor contractors in the performance of similar work at the same Federal facility. (Reg 4.173)

Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans' Day, Thanksgiving Day, and Christmas Day. (A contractor may substitute for any of the named holidays 10 paid holidays per year: New Year's Day, Martin Luther King Jr.'s Birthday, another day off with pay in accordance with a plan communicated to the employees involved.) 4/ HOLIDAYS:

State: Ohio, Pennsylvania

2/

Area:

EMPLOYMENT STANDARDS ADMINISTRATION U.S. DEPARTMENT OF LABOR WAGE AND HOUR DIVISION WASHINGTON, D.C.

By direction of the Secretary of Labor REGISTER OF WAGE DETERMINATIONS UNDER THE SERVICE CONTRACT ACT

Director Alan

Class of Service Employees

Wage Determinations Division of

LOCALITY

Wage Determination No.: 86-0847 (Rev. 12) Date: 12/17/1992

other Fringe Benefit Payments Holiday Vacation Health & Welfare Minimum Hourly

5/ OHIO:

Belmont, Harrison, Jefferson, and Tuscarawas

Allegheny, Armstrong, Beaver, Bedford, Blair, Butler, Cambria, Cameron, Centre, Clarion, Clearfield, Clinton, Crawford, Elk, Erie, Fayette, Forest, Fulton, Gre Huntingdon, Indiana, Jefferson, Lawrence, McKean, Mercer, Potter, Somerset, PENNSYLVANIA:

Greene,

Venango, Warren, Washington, and Westmoreland.

Electromechanical, 6/ The Technician classification includes all of the following: Electronics, Environmental, Instrumentation, Mathematical, Mechanical, and Photo-Optics Key Entry Operator I (\$6.70); Key Dm 7/ Wage rates for Cambria and Somerset counties, Pennsylvania: Tentry Operator II (\$7.82)

Key Entry Operator I (\$7.05); Key Entry Operator II (\$8.00) G 8/ Wage rates for Blair County:

9/ Wage rates for the remaining counties: Key Entry Operator I (\$7.38); Key Entry Operator II (\$8.99).

o

officer no later than 30 days after such unlisted class(es) of employees performs any contract work. The contracting officer shall review the proposed action and promptly submit a report of the performed by any classification listed in the wage determination), be classified by the contractor NOTE: The contracting officer shall require that any class of service employee which is not listed such unlisted classifications and the classifications listed in the wage determination. Such conformed classes of employees shall be paid the monetary wages and furnished the fringe benefits position of the contractor and the employees, to the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, for review. (See section 4.6 (b)(2) of Regulations 29 representative, the employees themselves, shall be submitted by the contractor to the contracting so as to provide a reasonable relationship (i.e., appropriate level of skill comparison) between proposed conforming action, including information regarding the agreement or disagreement of the as are determined. Such conforming procedures shall be initiated by the contractor prior to the performance of contract work by such unlisted class(es) of employees. A written report of the action, together with the agencys' recommendation and all pertinent information including the herein and which is to be employed under the contract (i.e., the work to be performed is not authorized representative of the employees involved or, where there is no authorized

(either by the terms of the Government contract, by the employer, by the state or local law, etc.), that required by the wage determination. The Department of Labor will accept payment in accordance the cost of furnishing such uniforms and maintaining (by laundering or dry cleaning) such uniforms is an expense that may not be borne by an employee where such cost reduces the hourly rate below UNIFORM ALLOWANCE: If employees are required to wear uniforms in the performance of this contract with the following standards as compliance:

commercial laundering in order to meet the cleanliness or appearance standards set by the terms of the Government contract, by the contractor, by law, or by the nature of the work, there is no Juniforms without cost or to reimburse employees for the actual cost of the uniforms. In addition, where uniform cleaning and maintenance is made the responsibility of the employee, contrary affirmative proof as to the actual cost), reimburse all employees for such cleaning and maintenance at a rate of \$3.80 a week (or 76 cents a day); and effective April 1, 1991, the note all contractors and subcontractors subject to this wage determination shall (in the absence of a Dona fide collective bargaining agreement providing for a different amount, or the furnishing of personal garments, and do not require any special treatment such as dry cleaning, daily washing, shall be \$4.25 per week (or \$.85 cents per day). However, in those instances where the uniforms furnished are made of "wash and wear" materials, may be routinely washed and dried with other The contractor or subcontractor is required to furnish all employees with an adequate number of requirement that employees be reimbursed for uniform maintenance costs. The duties of employees under job titles listed are those described in the Service Contract See also 29 Act Directory of Occupations, Second Edition, July 1986, unless otherwise indicated. CFR Part 4 Section 4.152. NOTE:

\*\*\*\*\*\*\*\*\*\*\* OCCUPATIONS NOT INCLUDED IN THE SCA DIRECTORY OF OCCUPATIONS \*\*\*\*\*\*\*\*\*\*

# LABORATORY TECHNICIAN

laboratory techniques to aid in diagnosis, treatment, and prevention of diseases in the area of Collects, processes, and analyzes blood urine, and other body fluids by established scientific hematology, urinalysis, chemistry, blood bank, microbiology, serology, anatomic apthology, and general medical lab tests.

AR305108

### General Decision Number PA930004

Superseded General Decision No. PA910004

State: Pennsylvania

Construction Type:

Heavy Highway

County(ies):

ALLEGHENY CLINTON **JEFFERSON** ARMSTRONG CRAWFORD LAWRENCE BEAVER ELK MCKEAN BEDFORD ERIE **MERCER** BLAIR **FAYETTE** MIFFLIN BUTLER FOREST POTTER CAMBRIA FRANKLIN SOMERSET CAMERON FULTON **VENANGO** CENTRE **GREENE** WARREN CLARION HUNTINGDON WASHINGTON CLEARFIELD INDIANA WESTMORELAND

HEAVY AND HIGHWAY CONSTRUCTION PROJECTS (excluding sewer grouting projects and excluding sewage and water treatment plant projects)

Modification Number Publication Date 0 02/19/1993 1 02/19/1993 2 02/19/1993

	CLINTON CRAWFORD ELK ERIE FAYETTE FOREST FRANKLIN FULTON GREENE HUNTINGDON INDIANA	JEFFERSON LAWRENCE MCKEAN MERCER MIFFLIN POTTER SOMERSET VENANGO WARREN WASHINGTON WESTMORELA	
BOIL0154D 06/01	./1992	Rates	Fringes
ALLEGHENY COUNTY			
BOILERWORKERS		21.79	5.17+7%
BRPA0002A 06/01	•	Rates	Fringes
BRICKLAYERS		18.25	6.43
CARP2264A 01/01	/1993	Rates 30.00	Fringes 6.72
DIVERS TENDER		18.88	6.72
PILEDRIVERMEN - W	ELDER	18.88 19.11	6.72 6.79
	./1993 RONG, BEAVER, BUTLI WASHINGTON, AND V	ER, ERIE, FAYET	
CARPENTERS CARPENTERS (BURNE CARPENTERS (WELDE	•	17.94 18.13 18.30	6.50 6.57 6.64
CLEARFIELD, CRAW	CAMBRIA, CAMERON, CORD, ELK, FOREST, ON, MCKEAN, MIFFLI	FRANKLIN, FULT	ON, HUNTINGDON,

PA930004 - 2 AR305110

17.75

CARPENTERS

CARPENTERS (BURNERS) CARPENTERS (WELDERS)	17.94 18.13	6.50 6.57
ELEC0005F 06/01/1992		
ALLEGHENY, ARMSTRONG, BEDFORM CLARION, CLEARFIELD, ELK, FAY INDIANA, JEFFERSON, MCKEAN, S COUNTIES	D, BLAIR, CAMBRIA, METTE, FULTON, GREE	NE, HUNTINGDON.
ELECTRICIANS	20.46	4.89
ELEC0126M 05/01/1991		
LINE CONSTRUCTION:	Rates	Fringes
ALLEGHENY, ARMSTRONG, BEAVER, CLARION, CLEARFIELD, FAYETTE, INDIANA, JEFFERSON, SOMERSET, COUNTIES	FULTON, GREENE, H	UNTINGDON,
LINEMEN	20.82	2.10+3-3/4%
WINCH TRUCK OPERATOR	14.57	2.10+3-3/4*
TRUCK DRIVERS	13.53	
GROUNDMAN	12.49	2.10+3-3/4%
FRANKLIN AND MIFFLIN COUNTIES	;	
LINEMEN	17.93	12.5%
WINCH TRUCK OPERATOR	12.42	12.5%
TRUCK DRIVERS	11.50	12.5%
GROUNDMEN	10.58	12.5%
	!	
ELEC1319G 11/30/1992		<b>**</b> **********************************
LINE CONSTRUCTION:	Rates	Fringes
BUTLER, CAMERON, CLINTON, CRA MCKEAN, MERCER, VENANGO, WARR	WFORD, ELK, ERIE, EN AND POTTER COUNTY	FOREST, LAWRENCE, TIES
LINEMEN, DYNAMITE MAN,		
HEAVY EQUIPMENT OPERATOR	23.11	2.25+3-3/4%
WINCH TRUCK OPERATORS	16.41	2.25+3-3/4%
GROUNDMEN TRUCK DRIVERS	14.56	2.25+3-3/4% 2.25+3-3/4%
TRUCK DRIVERS	16.18	4.23T3T3/46
ENGI0066L 01/01/1993		•
	Rates	Fringes
POWER EQUIIPMENT OPERATORS		,

ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, BUTLER, CAMBRIA, CENTRE, CLARION, CLEARFIELD, CRAWFORD, ERIE, ELK, FAYETTE, GREENE, INDIANA, JEFFERSON, LAWRENCE, MCKEAN, MERCER, SOMERSET, VENANGO, WARREN, WASHINGTON, AND WESTMORELAND COUNTIES

GROUP 1	18.36	7.21
GROUP 2	18.10	7.21
GROUP 3	14.45	7.21
GROUP 4.	13.99	7.21
GROUP 5	13.74	7.21

BEDFORD, CAMERON, CLINTON, FOREST, FRANKLIN, FULTON, HUNTINGDON, MIFFLIN, AND POTTER COUNTIES

GROUP 1	18.07	7.21
GROUP 2	17.79	7.21
GROUP 3	14.15	7.21
GROUP 4	13.66	7.21
GROUP 5	13.45	7.21

# POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1 - Autograde (C.M.I. and similar); Backfiller, Backhoe -360 degree Swing; Cableway; Caisson Drill (similar to Hugh Williams); Central Mix Plant; Cooling Plant; Concrete Paving Mixer; Concrete Pump (self-propelled); Cranes; Cranes (boom or mast 101 ft. or over up to & including 150 ft. inclusive of job + \$.25; Boom or mast over 150 ft. up to & including 200 ft. inclusive of job + \$.50; Boom or mast over 200 ft. inclusive of job + \$.75; Tower-Stationary- Climbing Tower Crane); Derrick; Derrick Boat; Dozer (D-6 & over); Dragline; Dredge; Dredge Hydraulic; Elevating Grader; Franki Pile Machine; Gradall (remote control or other-wise); Grader (power-fine grade); Helicopter; Hillift (4 cy. and over); Hoist 2 Drums or more (in one unit); Hydraulic Boom Truck (with pivotal cab) (single motor-Pitman or similar); Kocal; Locomotive (std. Gauge); Metro-chip Harvester or similar; Milling Machine (Roto Mill or similar); Mix Mobile; Mucking Machine (tunnel); Pile Driver Machine; Pipe Extrusion Machine; Prespliter Drill (self contained); Refrigeration Plant (soil Stabilization) Rough Terrain Crane; Scrapers; Shovel-Power; Slip form Paver (C.M.I. and similar); Trenching Machine; Tunnel Machine (Mark XXI Jarva or similar) 1 Whirley.

GROUP 2: Asphalt paving machines (spreader); asphalt plant operator; auger (tractor mtd.); auger (truck mtd.); Backhoe (rear pivotal swing) (180 swing); belt loader (euclid or similar); boring machine; cable placer or layer; compactor with blade; concrete batch plant (electronically synchronized); concrete belt placer (C.M.I. and similar); concrete finishing machine and spreader concrete mixer (over 1 cy.) concrete pump (stationary); core drill (truck or skid mtd.— similar to pen drill); dozer (under D-6); force feedloader; fork lift (lull or similar); grader — power; grease unit operator (head); guard rail post

driver (truck mounted); guard rail post driver (skid type); hilift (under 4 cy.) hydraulic boom truck (non-pivotal cab); job work boat (powered when assistance is required it shall be a deckhand); jumbo operator; locomotive (narrow guage); mechanic; minor equipment operator (accumulative four units); mucking machine; multi-head saw (groover); overhead crane; roller - power- asphalt; ross carrier; side boom or tractor mounted boom; stone cursher; (screening-washing plants); stone spreader (self-propelled) truck mounted drill (davey or similar); welder and repairman; well point pump operator.

GROUP 3: Broom Finisher (C.M.I. or similar); Compactors/Rollers (static or vibratory (Self-propelled); Curb Builder; Minor Equipment Operator (two to three units); Multi-head Tie Tamper; Pavement Breaker (self-propelled or ridden); Soil Stabilizer Machine; Tire Repairman; Tractor (snaking and hauling); Well Driller and Horizontal: Winch or "A" Frame Truck (when hoisting and lowering).

GROUP 4: Ballast Regulator; Compressor; Concrete Mixer (1 cy. & under with skip); Concrete Saw (Ridden or self-propelled); Conveyor; Elevator (Material hauling only); Fork-lift (Ridden or self-propelled); Form Line Machine; Generator; Groute Pump; Heater (Mechanical); Hoist (single Drum); Ladavator Light Plant; Mulching Machine; Spray Cure Machine (powered Driven); Subgrader; Tie Puller; Tugger and Welding Machine (gas or diesel).

GROUP 5: Deck Hand; Farm Tractor; Fireman on Boiler; Mechanic's Helper; Oiler; Power Broom; Side Delivery Shoulder Spreader.

IRON0003A 01/01/1992

Rates

Fringes

ALLEGHENY, FAYETTE, WESTMORELAND, CAMBRIA, INDIANA, ARMSTRONG, BUTLER, BEAVER, CLARION AND WASHINGTON COUNTIES

IRONWORKERS:

STRUCTURAL, ORNAMENTAL,

AND REINFORCING

19.02

8.61

REINFORCING ON BRIDGE PIER

AND BRIDGE DECKS ONLY

17.01

3.00

IRON0207F 07/01/1992

LAWRENCE, MERCER, AND VENANGO COUNTIES

IRONWORKERS:

STRUCTURAL, ORNAMENTAL,

AND REINFORCING

10 04

6.39

Rates Fringes

IRON0404J 07/01/1992	Rates	Fringe
FRANKLIN, HUNTINGDON AND MIFFLIN COU		Fringes
IRONWORKERS: STRUCTURAL, ORNAMENTAL, AND REINFORCING	21.015	5.76
IRON0549D 07/01/1992	Rates	Fringes
GREENE COUNTY		
IRONWORKERS: STRUCTURAL, ORNAMENTAL, AND REINFORCING	16.96	7.40+8%
IRON0772E 07/01/1992	Rates	Fringes
CLEARFIELD, BLAIR, CAMERON, CENTRE, ELK, JEFFERSON, MCKEAN,		
CLINTON AND POTTER COUNTIES		
IRONWORKERS: STRUCTURAL, ORNAMENTAL,		
AND REINFORCING	17.20	8.21
LABO0811A 01/01/1993		. — — — — — — — — — — — — — — — — — — —
LABO0811A 01/01/1993 ALLEGHENY, ARMSTRONG, BEAVER, BLAIR,	Rates BUTLER, CAMBRIA,	Fringes
LABO0811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR	Fringes CLARION, ENCE,
LABO0811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN MCKEAN, MERCER, SOMERSET, VENANGO, W.	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR	Fringes CLARION, ENCE,
LABO0811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR	Fringes CLARION, ENCE,
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS:	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR	Fringes CLARION, ENCE,
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN MCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON 15.37	Fringes CLARION, ENCE, , AND
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN MCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON 15.37 15.53	Fringes CLARION, ENCE, , AND 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN MCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82 16.37	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82 16.37 17.87 RAWFORD, FOREST,	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREENMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6 GROUP 7  BEDFORD, CAMERON, CENTRE, CLINTON, CO	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82 16.37 17.87 RAWFORD, FOREST,	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6 GROUP 7  BEDFORD, CAMERON, CENTRE, CLINTON, CENTRE	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82 16.37 17.87 RAWFORD, FOREST,	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33 5.33 5.33
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEMCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6 GROUP 7  BEDFORD, CAMERON, CENTRE, CLINTON, CENTRE, CENTRE, CLINTON, CENTRE, CENTRE	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82 16.37 17.87  RAWFORD, FOREST, IN, AND POTTER CO	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33 5.33 5.33 5.3
LABOO811A 01/01/1993  ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, CLEARFIELD, ELK, ERIE, FAYETTE, GREEN MCKEAN, MERCER, SOMERSET, VENANGO, WESTMORELAND COUNTIES  LABORERS: GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6 GROUP 7  BEDFORD, CAMERON, CENTRE, CLINTON, CENTRE, CENT	Rates BUTLER, CAMBRIA, NE, INDIANA, LAWR ARREN, WASHINGTON  15.37 15.53 15.92 16.78 11.82 16.37 17.87  RAWFORD, FOREST, IN, AND POTTER CO	Fringes CLARION, ENCE, , AND 5.33 5.33 5.33 5.33 5.33 5.33 5.33 5.3

GROUP 5		11.82	5.33
GROUP 6		16.27	5.33
GROUP 7	*	17.77	5.33

#### LABORERS CLASSIFICATIONS

GROUP 1: Railroad construction laborers (including craft tenders, handling salamanders L.P. gas heaters or similar, etc.); Asphalt curb sealer; Asphalt tamper; Batchman (weight); Blaster's helper; Boatman; Brakeman; Change house attendant, Coffer dam; Concrete curing pitman; Puddler; Drill runner's helper, (including drill mounted on truck, Track, or similar and davey drill - spots-clean-up & helps to maintain); Electric brush and or grinder; Fence construction (including fence machine operator); Form stripper and mover; Gabion (erectors and placers); Hydro jet claster nozzlemen; Manually moved emulsion sprayer; Radio actuated traffic control operator; Rip rap work, scaffolds and runways; Sheeters and shorers; Structural concrete top surfacer; Walk behind street sweeper; Welder's helper (pipeline); Wood Chipper, reinforcing steel lacers (bending aligning and securing and cadweld.

GROUP 2: Air tool operator (all types); Asphalt, batch & concrete plant operator (manually operated) asphalt rakers; Burner; Caisson men (open air); Carryable pumps; Chain saw operator (including attachments); Cribbing (concrete or steel); Curb machine operator (aspalt or concrete - walk behind); Diamond head core driller; Drill runner's helper (tunnel); Fork lift (walk behind); Form setter (road forms line man); Highway slab reinforcement placers (including joint and basket setters); Hydraulic pipe pusher; Liner plates (tile or vitrified clay); Mechanical compacting equipment operators; Mechanical joint sealer, dope pot and tar kettle; Mortar mixer (hand or machine); Muckers, brakemen & all other labor (includes installation of utility lines); Pipe layers (regardless of material); Portable single unit conveyor; Post hole auger (2 or 4 cycle-hand operated); Power wheelbarrows and buggies; Rail porter or similar; Sand blaster; Vibrator operator; All rail road track work to include the following: adzing machine, ballast router; Bolting machine; Power jacks; Rail drill; Railroad brakeman; Rail saw; Spike drivers (manually or handheld tool); Spike pullers; Tamping machine; Thermitweld; Signal man; Toxic or hazardous waste removal (including asbestos).

GROUP 3: Blacksmith; Blaster; Brick stone and block pavers and block cutters (wood, belgian and asphalt); Cement mortar lining car pusher; Cement mortar mixer (pipe relining); Cement mortar pipe reliners; concrete saw operator (walk behind); Curb cutters and setters; Elevated roadway drainage construction; Form setter (road forms-lead man); Grout machine operator; Gunite or dry pack gun (nozzle and machine man); Manhole or catch basin (brick, block, concrete or any prefabrication); Miners and drillers (including lining, supporting and form workmen, setting of shields, miscellaneous equipment and jumbos); Multi-plate pipe

(aligning and securing); Placing wire mesh on gunite projects; Wagon drill operators (air track or similar); Walk behind ditching machine (trencher or similar); Welder.

GROUP 4: Welder (pipeline); High Burner (any burning not done from deck.)

GROUP 5: Flaggers.

GROUP 6: Hazardous/toxic material (level C & D).

GROUP 7: Hazardous/toxic material (level A & B).

LABO0836A 06/01/1992

Rates

Fringes

CAMERON, ELK, FOREST, MCKEAN, POTTER, AND WARREN COUNTIES

LANDSCAPING

GROUP 1

14.00 .10+24.3%

#### LANDSCAPING CLASSIFICATION

GROUP 1- Landscape laborer to include general landscaping work and the driving of trucks for the distribution of materials on the job site but not to include dump trucks used to transport supplies to the job

LABO7574B 05/01/1991

Rates ALLEGHENY, ARMSTRONG, BEAVER, BUTLER, CLARION, CRAWFORD, FAYETTE, GREENE, INDIANA, JEFFERSON, LAWRENCE, MERCER, VENANGO, WASHINGTON, WESTMORELAND, BEDFORD, BLAIR, CAMBRIA, CENTRE, CLEARFIELD, CLINTON, ERIE, FRANKLIN, FULTON, HUNTINGDON, MIFFLIN, AND SOMERSET COUNTIES

#### LANDSCAPING

GROUP 1 GROUP 2 12.53

30.68

12.65

30.68%

#### LANDSCAPING CLASSIFICATIONS

GROUP 1 - Landscape laborer to include general landscaping work and the driving of trucks for the distribution of materials on the job site but not to include dump trucks used to transport supplies to the job

GROUP 2 - Landscape tractor operator to operator small industrial rubber tire tractor equipped with front end loader and backhoe attachment used for the sole purpose of landscape work

# including soil spreading, but not for heavy and highway construction work

		•	
PAIN0006H 06/01/1992	· R	Rates	Fringes
ALLEGHENY COUNTY	. <del>-</del>		111900
PAINTERS: BRUSH SPRAY			4.53 4.53
ARMSTRONG, CENTRE, INDIANA, MIFFLIN, BEDFORD, CAMBRIA CORINGGOLD, PORTER, PERRY, YOU REMAINDER OF CLEARFIELD COUNTY	DUNTIES; JEF JNG, BELL, G	FERSON COUNTY (	BEAVER,
BRUSH SPRAY			4.53 4.53
PAIN0041C 05/01/1992	· R	Rates .	Fringes
CLINTON COUNTY			
PAINTERS:		•	
BRUSH		<del>-</del>	4.45
TAPERS HAZARDOUS			4.45 4.45
PAIN0327B 05/01/1991  FAYETTE, WASHINGTON AND GREENE COUNTIES	R	Rates	Fringes
PAINTERS: BRUSH, ROLLER, SPRAY, SANDBLASTER, COLD STACK, HOT STACK, TOWERS	2	0.00	4.95
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PAIN0411C 05/01/1991	R	Rates	Fringes
FRANKLIN COUNTY			· •
PAINTERS, BRUSH	1	.5.47	1.60
PAIN0530A 06/01/1992 BEAVER COUNTY	· <b>R</b>	Rates	Fringes

PAINTERS:	15.89	7 01
BRUSH ROLLER	16.42	7.21 7.21
KOULLO		/ • 4 ±
PAIN1066D 06/01/1992	Rates	Fringes
BUTLER, CAMERON, CLARION, CRAWFORD, ELK, FOREST, VENANGO, AND WARREN COU	LAWRENCE, MERCER,	POTTER,
(WETMORE, HAMILTON, SERGENT AND NORW		
JEFFERSON COUNTY; CLEARFIELD COUNTY		
CHESTER, JORDAN, BECCARIA, KNOX AND	FERGUSEN TOWNSHIP	S)
PAINTERS:	16 60	5.31
BRUSH AND ROLLER SPRAY	16.60 17.90	
DIMI	17.30	J.JL
•		
PLAS0526A 01/01/1993		
	Rates	Fringes
CEMENT MASONS		6.20
PLUM0027B 06/01/1990		_ ,
	Rates	Fringes
ALLEGHENY, ARMSTRONG, REMAINDER OF GREENE AND WASHINGTON COUNTIES		
OF GREENE AND WASHINGTON COUNTIES		
BRIDGE - DRAIN PIPE		•
PLUMBERS AND PIPEFITTERS	17.72	6.44
PLUM0047G 06/01/1992		
	Rates	
BEAVER, BUTLER, MCKEAN, MERCER, VENZ		RENCE,
FOREST, WARREN, CRAWFORD, AND ERIE	COUNTIES	•
BRIDGE - DRAIN PIPE		
PLUMBERS AND PIPEFITTERS	19.58	6 25
FEOMETRO WAS LIBERTIES	17.50	0.33
PLUM0354E 06/01/1992		
, -,	Rates	Fringes
BEDFORD, BLAIR, CAMBRIA, CAMERON, CI		YETTE,
HUNTINGDON, INDIANA, JEFFERSON, SOM		
COUNTIES; THE EXTREME EASTERN PORTIC	on of Washington A	ND GREENE
COUNTIES		
DRIDGE DRITT STRE		
BRIDGE - DRAIN PIPE	10.00	·C 10
PLUMBERS AND PIPEFITTERS	18.92	6.18
		<b></b>
PLUM0520G 05/01/1992		
	Rates	Fringes

FRANKLIN, FULTON, MIFFLIN, AND CENTRE COUNTIES

BRIDGE - DRAIN PIPE PLUMBERS AND PIPEFITTERS	20.63	4.89
PLUM0810F 05/01/1990 CLINTON AND POTTER COUNTIES	Rates	Fringes
BRIDGE - DRAIN PIPE PLUMBERS AND PIPEFITTERS	17.06	3.99

TEAM0040G 01/01/1993

Rates

Fringes

#### TRUCK DRIVERS:

ALLEGHENY, ARMSTRONG, BEAVER, BLAIR, BUTLER, CAMBRIA, CENTRE, CLARFIELD, CRAWFORD, ERIE, FAYETTE, GREENE, INDIANA, JEFFERSON, LAWRENCE, MCKEAN, MERCER, SOMERSET, VENANGO, WARREN, WASHINGTON, AND WESTMORELAND

GROUP 1	16.06	.05+27%+A+B
GROUP 2	16.22	.05+27%+A+B
GROUP 3	16.82	.05+27%+A+B

BEDFORD, CAMERON, CLAIRON, CLINTON, ELK, FOREST, FRANKLIN, FULTON, HUNTINGDON, MIFFLIN, AND POTTER COUNTIES

GROUP 1		15.85	.05+27%+A+B
GROUP 2	4	16.05	.05+27%+A+B
GROUP 3		16.64	.05+27 <b>%</b> +A+B

# TRUCK DRIVERS CLASSIFICATIONS

GROUP 1 - Single Axle (2 axles including steering axle); Includes partsman and warehoueman

GROUP 2 - Tandem - Tri-Axle - Semi-Tractor Trailer (combination) (3 axles or more including steering axle)

GROUP 3 - Specialty Vehicles; Heavy equipment whose capacity exceeds that for which state licenses are issued specifically refers to units in excess of eight (8) feet width (such as Euclids, Atley Wagon, Payloder, Tournawagons, and similar equipment when not self loaded); Tar and Asphalt Distributors Trucks, Heavy Duty Trailer, such as Low Boy, High Boy

## FOOTNOTES:

A- Hazardous/toxic waste material/work level A & B receive additional \$2.50 per hour above classification rate

B - Hazardous/toxic waste materials/ork level C & D receive \$1.00 per hour above classification

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a) 1(ii)).

END OF GENERAL DECISION

			يبريها المحاكلات	لسمين والكالب بالمالية والمستورية		
REQUEST FOR ASSIGNM	ent of a comm intity (cage) c			IOTE: lee instrections on Revi		m Asproved B No. 0704-0134
AA SKUMEN I E		. 70 BE CO	1~			5 NO. 9799-0134
HAME OF PEQUESTING SOVERHME	TABENCY/ACTIVIT			ADDRESS, CITY, STATE,	ZIP CODE	
U.S. Army Corps of E	ngineers		215 Nor	th 17th Street		
l			Omaha,	NE 68102-4978		
2. TYPE OF CODE REQUESTED	3. E40	CO MOLTABOR		4. OFFICE SYMBOL	do. TELEP	HOME MUMBER
	•			CEIRO-CT-A	A02.	221-3896
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Keimig, Tina L.					المراجع المجار	
1. NAME OF FIRM (facings "Breach of",	SECTION B . TO	SE COMPLI	ETED BY FIR	M TO BE CODED	7	1002 1842 A
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4. PRIMARY BUSINESS CATEGORY ("Z	" (mo) L DEALER/BISTRIBI		] c. CONSTRU	ICTION FIRM	SERVICE CO	
O. SALES OFFICE	. DEACER/BISTRIBI HegS) REHTO .1 □	_	J ==			m- AR ▼ .
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### INSTRUCTIONS FOR COMPLETING DD FORM 2051

GENERAL NOTE FOR PERSONNEL PREPARING OR PROCESSING THIS REPORT: Coding must be as indicated in the instructions. In cases where specific coding instructions are provided, reference must be made to the Department of Defense Manual for Standard D at a Elements, DoD 5000.12-M. Noncompliance with either the coding instructions contained herein or those published in referenced manual will make the organization which fails to comply responsible for required concessions in data base communication. Items marked with an asterisk (\*) have been registered in the DoD Data Element Program.

#### SPECIFIC INSTRUCTIONS:

SECTION A - TO BE COMPLETED BY THE INITIATING GOVERNMENT ACTIVITY

Items 1 and 1 a: Self-explanatory.

Item 2: "X" the type of code being requested.

- \*2a A Federal Supply Code for Manufacturers (FSCM) five position all numeric code (e.g., 12345) which is used in the Federal Catalog System to identify a certain facility at a specific location which is a possible source for the manufacture and/or design control of items cataloged by the Federal Government; or,
- \*2b A MILSCAP code of five positions (e.g., 1A367). These are assigned to contractors which are nonmanufacturers or are manufacturers not qualifying for inclusion in the Federal Catalog System.
- \*Item 3: If applicable, enter the exception DoD Activity Address Code for the Servicing Contract Administration Office (CAO) or ADP point.

Items 4, 4a, \*4b, 4c: Self-explanatory.

SECTION B - TO BE COMPLETED BY THE FIRM TO WHICH THE CODE WILL BE ASSIGNED

Items 1, 1a: Self-explanatory.

\*Item 1b: If a CAGE Code (FSCM or MILSCAP) was previously assigned, enter it in this block.

Item 2: Self-explanatory.

\*Item 3: If a block other than "None" is "X'd", identify the "Parent" company by a (P) beside the firm name.

\*Item 4: Self-explanatory.

Item 5: Enter the number of employees. This number should include the employees of all affiliates.

- \*Item 6: A disadvantaged business firm is defined as a firm that is 51%, or more, owned, controlled, and operated by a person(s) who is socially and economically disadvantaged. "Controlled" is defined as actively involved in the day-to-day management of the firm.
- \*Item 7: A woman-owned business is defined as a firm that is 51%, or more, owned, controlled, and operated by a woman or women. "Controlled" and "Operated" are as defined in Item 6.
- \*Item 8: The SIC Code is a Government Index used to identify business activity and indicates the function (manufacturer, wholesaler, retailer, or service) and the line of business in which the company is engaged. If multiple SIC Codes, indicate the primary first, next important, etc.

Items 9, \*10, 10a, \*10b, 10c: Self-explanatory.

NOTE: When any future changes are made to the coded facility; i.e., name change, location change, business sold or operations discontinued, etc., please notify, in writing, stating the appropriate change of your facility to the address reflected below:

Commander
Defense Logistics Services Center
ATTN: DLSG-CGC
Federal Center
Battle Creek, MI 49016

# COMPLIANCE SUMMARY CHECKLIST FOR DRAKE CHEMICAL SUPERFUND SITE LOCK HAVEN, PENNSYLVANIA

NAME OF OFFEROR:				
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IGNATURE OF PER	LSON		•	
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ATTACHMENT NO. 4

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Material Processing  Noncombustible Debris Handling Wastewater Management  Air Emission Control  Perimeter Air Monitoring  WORK PLAN  Preparation Planning Functions  Chemical Quality Management/ Sampling Plan  Health & Safety Requirements  Contractor Quality Control  Commissioning & Startup  TDF Mobilization  Trial Burn					
2 11 2 2 13 2 13 2 2 2 2 2 2 2 2 2 2 2 2	SECTION		LOCATION	ADECJATE (Y/N)	COMMENT/VARIANCE
22 11 11 12 11 12 13 13 13 13 13 13 13 13 13 13 13 13 13	17.1.1.8.	Material Processing			
2 11 12 13 13 14 19 19 19 19 19 19 19 19 19 19 19 19 19	17.1.1.9.	Noncombustible Debris Handling			
11	17.1.1.10.	Wastewater Management			
2	17.1.1.11.	Air Emission Control			
13 13 13 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13 27 13	17.1.1.12.	Perimeter Air Monitoring		,	
	17.1.2.	WORK PLAN	-		
	17.1.2.1.	Preparation Planning Functions			
	17.12.1.1.	Chemical Quality Management/Sampling Plan			
	17.1.2.1.2.	Health & Safety Requirements			
	17.1.2.1.3	Contractor Quality Control			
	17.1.2.2.	Commissioning & Startup			
	17,1.2.2.1.	TDF Mobilization			
	17.1.2.2.2.	Trial Burn			

SECTION		LOCATION	ADEQUATE (Y/N)	COMMENT/VARIANCE
17.1.2.3.	Mobilization Plan			
17.1.2.3.1.	Site Preparation		•	
17.1.2.3.2.	Utility Utilization			
17.1.2.3.3.	Hot Zone Identification, Isolation, and Control			
17.1.2.3.4.	Personnel Training			
17.1.2.3.5.	Material & Equipment Delivery and Storage			
17.1.2.4.	Demobilization Plan			
17.1.2.4.1	Project Closeout			
17.1.2.4.2	Topsoiling & Seeding			
17.1.2.4.3	Off-Site Disposal as Appropriate			•
17.12.4.4	Procedures for Equipment Disassembly, Decontamination and Site Closure			

SECTION	SECTION	LOCATION	ADEQUATE (Y/N)	COMMENT/VARIANCE
17.2	MANAGEMENT PLAN (VOLUME II)			
17.2.1.	PAST PROJECT EXPERIENCE			
17.2.1.1.	Offeror's Experience			
17.2.1.2.	Subcontractor(s)' Experience			
17.2.1.3.	Relevant Experience			
17.2.1.4.	SOQ Format			
17.2.2.	PROJECT MANAGEMENT			
17.2.2.1.	Organization Plan			
17.2.2.2.	Company Organization Structure			
17.2.2.3.	Key Personnel Identification			
17.2.2.4.	Subcontractor Management			

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SECTION		LOCATION	ADEQUATE (Y/N)	COMMENT/VARIANCE
17.2.2.5.	Small Business and Small Disadvantaged Business Subcontracting Plan			
17.2.2.5.1	Small Business			
17.2.2.5.2	Small Disadvantaged Business			
17.2.3.	CORPORATE COMMITMENT	·		•
17.2.3.1.	Resource Utilization Plan	·		•
17.2.4.	PERSONNEL QUALIFICATIONS			
17.2.4.1.	General			
17.2.4.2.	Data Sheet Format		-	
17.2.5.	SCHEDULE			
17.3.	PRICES/COST DATA (VOLUME III)			