State Estimation and Motion Prediction of Vehicles and Vulnerable Road Users for Cooperative Autonomous Driving: A Survey

Prasenjit Ghorai[®], Azim Eskandarian[®], Senior Member, IEEE, Young-Keun Kim[®], and Goodarz Mehr[®]

Abstract—The recent progress in autonomous vehicle research and development has led to increasingly widespread testing of 2 fully autonomous vehicles on public roads, where complex traffic 3 scenarios arise. Along with these vehicles, partially autonomous 4 vehicles, manually-driven vehicles, pedestrians, cyclists, and some animals can be present on the road, to which autonomous 6 vehicles must react. This study focuses on a comprehensive survey of the literature on motion prediction and state estimation 8 of vehicles and VRUs, which are essential for path planning and navigation functionalities of an autonomous vehicle. Motion 10 prediction and state estimation methods utilize the vehicle's own 11 sensory perception capabilities and information obtained through 12 cooperative perception from V2V and V2X connections. This 13 survey summarizes the significant progress that has been made 14 in both categories, discusses the most promising results to date 15 and outlines critical research challenges that need to be overcome 16 to achieve full autonomy, from an ego vehicle's perspective in 17 18 mixed traffic environments.

Index Terms—Cooperative autonomous driving, motion pre diction, perception, state estimation, vulnerable road users.

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LIST OF ACRONYMS

ACC	Adaptive Cruise Control
AD	Autonomous Driving
ADAS	Advanced Driver Assistant Systems
ADS	Automated Driving System
AP	Average Precision
AV	Autonomous Vehicle
BEV	Bird's Eye View
CACC	Cooperative Adaptive Cruise Control
CAS	Collision Avoidance System
CAVs	Connected Autonomous Vehicles
CCAD	Connected and Cooperative Autonomous Driving
CNN	Convolutional Neural Network
CD	

CP Cooperative Perception

Manuscript received February 13, 2021; revised September 24, 2021; accepted March 4, 2022. This work was supported in part by the Department of Mechanical Engineering, Virginia Tech; and in part by the Safe-D Project (Safety through Disruption and Safe-D National UTC) under Grant 69A3551747115. The Associate Editor for this article was Y. Chen. (*Corresponding author: Prasenjit Ghorai.*)

Prasenjit Ghorai, Azim Eskandarian, and Goodarz Mehr are with the Autonomous Systems and Intelligent Machines (ASIM) Laboratory, Department of Mechanical Engineering, Virginia Tech, Blacksburg, VA 24061 USA (e-mail: prasenjitg@vt.edu; eskandarian@vt.edu; goodarzm@vt.edu).

Young-Keun Kim is with the School of Mechanical and Control Engineering, Handong Global University, Pohang 37554, Republic of Korea (e-mail: ykkim@handong.edu).

Digital Object Identifier 10.1109/TITS.2022.3160932

DLDeep LearningDSRCDedicated Short-Range CommunicationFoVField of ViewHMMHidden Markov ModelHOGHistogram of Oriented GradientsLoSLine of SightMLMachine LearningMLPMulti-layer PerceptronNHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVM-BFSupport Vector MachineSVM-BFSupport Vector MachineV2IVehicle to InfrastructureV2XVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUSVulnerable Road Users	CPN	Cooperative Perception and Navigation
FoVField of ViewHMMHidden Markov ModelHOGHistogram of Oriented GradientsLoSLine of SightMLMachine LearningMLPMulti-layer PerceptronNHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	DL	Deep Learning
HMMHidden Markov ModelHOGHistogram of Oriented GradientsLoSLine of SightMLMachine LearningMLPMulti-layer PerceptronNHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	DSRC	Dedicated Short-Range Communication
 HOG Histogram of Oriented Gradients LoS Line of Sight ML Machine Learning MLP Multi-layer Perceptron NHTSA National Highway Traffic Safety Administration R-CNN Regions with Convolutional Neural Network RoI Regions of Interest SLAM Simultaneous Localization and Mapping SSD Single Shot Detector SVM Support Vector Machine SVM-BF Support Vector Machines-Bayesian Filtering V2I Vehicle to Infrastructure V2V Vehicle to Vehicle V2X Vehicle to Everything VEC Vehicular Edge Computing VRUs Vulnerable Road Users 	FoV	Field of View
LoSLine of SightMLMachine LearningMLPMulti-layer PerceptronNHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	HMM	Hidden Markov Model
MLMachine LearningMLPMulti-layer PerceptronNHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	HOG	Histogram of Oriented Gradients
MLPMulti-layer PerceptronNHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	LoS	Line of Sight
NHTSANational Highway Traffic Safety AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	ML	Machine Learning
AdministrationR-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	MLP	Multi-layer Perceptron
R-CNNRegions with Convolutional Neural NetworkRoIRegions of InterestSLAMSimultaneous Localization and MappingSSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	NHTSA	National Highway Traffic Safety
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SSDSingle Shot DetectorSVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	RoI	Regions of Interest
SVMSupport Vector MachineSVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	SLAM	Simultaneous Localization and Mapping
SVM-BFSupport Vector Machines-Bayesian FilteringV2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	SSD	Single Shot Detector
V2IVehicle to InfrastructureV2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	SVM	Support Vector Machine
V2VVehicle to VehicleV2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	SVM-BF	Support Vector Machines-Bayesian Filtering
V2XVehicle to EverythingVECVehicular Edge ComputingVRUsVulnerable Road Users	V2I	Vehicle to Infrastructure
VECVehicular Edge ComputingVRUsVulnerable Road Users	V2V	Vehicle to Vehicle
VRUs Vulnerable Road Users	V2X	Vehicle to Everything
	VEC	Vehicular Edge Computing
	VRUs	Vulnerable Road Users
YOLO You Only Look Once	YOLO	You Only Look Once

I. INTRODUCTION

RECENT breakthroughs in deep learning-based computer vision have advanced autonomous driving technology to the next level. The worldwide research and development carried out by academia and vehicle manufacturers has significantly expanded the knowledge base for autonomous driving, reducing the time horizon to deploy fully autonomous vehicles on public roads. This progress, however, has brought forth new problems and challenges arising from the operation of autonomous vehicles in dynamic and heterogeneous traffic scenarios where manually-driven vehicles and partially-automated vehicles will be on the road along with pedestrians, bicyclists, and other VRUs.

A critical challenge facing fully autonomous vehicles is an improper or inaccurate response to the surrounding environment in a driving scenario that may endanger other vehicles or VRUs. This can be because the vehicle has not encountered that specific scenario before, because of detection or classification failure, because of sensor FoV blockage or failure, or because of extreme weather conditions. Take, for example,

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TABLE I A Summary of ADAS and AV Survey Papers

Author(s)	Year	Survey Topic(s)		
Sivaraman and Trivedi [10]	2013	Vision-based on-road vehicle detection, tracking, and behavior analysis		
Lefèvre et al. [11]	2014	Motion prediction and risk assessment for intelligent vehicles		
Mukhtar et al. [12]	2015	On-road vision-based vehicle detection and tracking systems for CAS		
Paden et al. [13]		Planning and control algorithms for self-driving vehicles in urban traffic/scenario		
Gonzalez et al. [14]	2016	Motion planning techniques implemented in intelligent transportation literature		
Abboud et al. [15]		DSRC and cellular solutions for V2X communications already adopted and deployed in vehicles by car manufacturers		
Bresson et al. [16]	2017	Localization, mapping, and SLAM		
Pendleton et al. [22]	2017	Perception, planning, control, and coordination for AVs		
Zhu et al. [18]		Environment perception: lane and road detection, traffic sign recognition, vehicle tracking, behavio analysis, and scene understanding		
Kuutti et al. [23]	2010	Ego vehicle localization techniques using on-board sensors and information obtained from V2X communication channels and their applicability to AVs		
Van Brummelen et al. [24]	2018	Perception, localization, and mapping methods currently implemented in AV research		
Schwarting et al. [19]		Integrated perception for behavior-aware planning		
Bighashdel and Dubbelman [25]	2019	Path prediction techniques/approaches for VRUs		
Montanaro et al. [26]	1	Connected autonomous driving		
Eskandarian et al. [27]	1	Methods and algorithms for sensing, perception, planning, and control of CAVs		
Badue et al. [28]		Architectural autonomy of AVs for perception and decision making		
Yurtsever et al. [17]	2020	Localization, mapping, perception, planning, and human-machine interfaces		
Feng et al. [29]	1	Deep multi-modal object detection with semantic segmentation		
Yu and Marinov [30]	1	Obstacle detection in extreme weather and in urban areas		
Rasouli and Tsotsos [20]		Pedestrian behavior studies and interaction problems with AVs		
Rudenko et al. [21]		Human motion trajectory prediction		
Wu et al. [31]		Intrusion detection for in-vehicle networks		
Hu et al. [32]		Multi-sensor fusion-based obstacle detection for intelligent ground vehicles in off-road environmen		
Hu et al. [33]		Research on traffic conflicts based on intelligent vehicles		
Pilz et al. [34]	2021	Components of CP		
Yeong et al. [35]	1	Sensor and sensor fusion technology in AVs		

the Tesla on Autopilot's crash in California [1], where the car's 44 sensors could not recognize a parked fire truck on the side 45 of the raod. In another crash involving Tesla and Autopilot in 46 Florida [2], the vehicle could not discern a white crossing truck 47 against the bright sky background. There are still many other 48 instances of circumstances leading up to bad decisions, such 49 as the Uber incident [3]. In that case, the vehicle did detect 50 an unknown object, a pedestrian walking with a bicycle, from 51 a distance. As the vehicle approached the unknown object, 52 first classified that object as a vehicle and later as a bicycle, it 53 but it was too late by then. While these incidents highlight the 54 challenges facing autonomous vehicles and the importance of 55 perception failure mitigation, we should not gloss over the 56 incredible progress that has been made in autonomous vehicle 57 research, nor the benefits of having fully autonomous vehicles 58 on the road, given that according to NHTSA, 94% of road 59 accidents are caused by human error [4]. 60

An enormous amount of research work has been carried out to introduce and implement ADAS [5] such as CAS, lanekeeping [6], ACC [7], and CACC [8], [9] to counteract a signal loss, reduce human error and improve vehicle safety. The same is true for the methods and algorithms enabling vehicle autonomy in areas ranging from perception to motion planning and control. Overall, the progress made toward intelligent transportation systems over the past several years has be 68 reviewed by researchers in different areas, with important sur-69 veys listed in Table I each highlighting a core area of research 70 and the advances made in that area; namely, on-road vehicle 71 detection [10], motion prediction and risk assessment [11], 72 vehicle detection techniques for collision avoidance [12], 73 motion planning [13], [14] and control techniques [13], DSRC 74 and cellular solutions for V2X communication for intelligent 75 vehicles [15], localization and mapping [16], [17], environ-76 ment perception and traffic sign detection [18], perception for 77 behavior-aware planning [19], pedestrian behavior [20] and it's 78 motion trajectory prediction [21], etc. 79

Most of these works only cover a few aspects of connected 80 autonomous driving, which is reflective of the current approach 81 to autonomy that has focused on building small and disparate 82 intelligences that are closed off to the rest of the world. In the 83 current approach, even if several autonomous vehicles are 84 traveling in the same environment at the same time, they each 85 have to carry expensive sensing, navigation, and processing 86 hardware and still, lacking coordination with other road users, 87 they may get into accidents. A future with a mixed traffic 88 of CAVs and other vehicles on the road requires a paradigm 89 shift in communications and coordination, cooperative sensing, 90 and real-time dynamic planning and controls to be effective 91

SM	IA	WA	С	А	Benefits	Drawbacks	
Standard Camera	Yes		Lowest	TT: 1	Good lane and obstacle detection, object classification, 3D mapping	Image processing may become computationally expensive, distance and velocity measurements are	
Stereo camera	1	Yes	Low	High	(using a stereo camera), long-range	not easy, performance degrades in extreme weather	
Thermal Camera	No		Low		detection, depth information can be extracted	conditions, sensitive to scene lighting (except thermal Camera)	
Lidar	Yes		High	High	Direct distance measurement and obstacle detection, large FoV, robust 3D mapping, intensity measurement can lead to lane detection	Poor object classification and indirect velocity estimation, difficulty detecting an object with high reflectivity or in bad weather conditions, difficulty in short-distance measurements	
Radar	No	No	Low	Medium	Direct distance and velocity measurement, can operate in extreme weather conditions	Poor object classification, poor performance in short distance measurement and pedestrian and static object detection, susceptible to interference	

TABLE II A Summary of the Discussed Exteroceptive Sensors Used in AVs

SM: Sensor Modality; IA: Illumination Affects; WA: Weather Affects; A: Accuracy; C: Cost;

at improving traffic congestion, road user safety, and overall efficiency. This future can be imagined as a multi-lane 93 highway or a city block with a mix of autonomous and 94 manually-driven cars which are communication-enabled, each 95 having a navigation plan, and a generated trajectory and a 96 maneuver of some sort to meet that plan. The autonomous 97 ones have situational awareness by virtue of their sensors, and 98 this awareness can be shared with the surrounding road users 99 within a region or area. This will ultimately improve traffic 100 congestion, minimize driver load, increase the effective usage 101 of on-road vehicles, and improve fuel efficiency. Observing 102 this untapped potential, researchers are moving towards con-103 nected and cooperative intelligent transportation systems by 104 merging established and developing technologies from diverse 105 areas. Therefore, the prime objective of this comprehensive 106 study is to connect all the relevant research areas, summarize 107 the existing developments, and highlight the challenges in 108 each area so that a bird's-eye view is available to the new 109 researchers in this field. 110

This survey begins with a discussion of exteroceptive sensor 111 types used in AVs and a comparison of their range, accuracy, 112 cost, weather performance, and a discussion of each sensor 113 type's drawbacks. We then review DL-based 2D and 3D 114 dynamic object detection methods used in AV research, with 115 a focus on the applications and limitations of these methods. 116 Next, we discuss and categorize different approaches for 117 detection, motion prediction, intent estimation, and behavior 118 analysis of other vehicles and pedestrians from a practical 119 point of view, along with a summary of existing data sets for 120 training, validation, and testing of these methods. We will also 121 highlight open challenges in mixed driving traffic scenarios for 122 future research. Considering the critical nature of perception 123 failure mitigation, in this survey we focus on detection and 124 tracking, state and intent estimation, and motion prediction 125 of dynamic agents and objects an autonomous ego vehicle 126 encounters. In this survey, dynamic agents include pedestrians 127 and other vehicles - primarily passenger cars. The recent 128 emergence of cooperative perception and navigation plays an 129 important role in the development of CAVs, which should 130 ultimately help them take appropriate actions in heterogeneous 131

traffic scenarios. Therefore, we provide a summary of major developments in cooperative perception and navigation and present an overall analysis of current implementations and their limitations. As CCAD [36] seems a promising approach for the widespread adoption of vehicle autonomy, we think this survey will be beneficial to researchers who are working in or entering this area.

The remainder of this paper is organized as follows. 139 Section II highlights major developments of exteroceptive 140 perception sensors used in AVs, sensor fusion, egocentric 141 dynamic object detection methods using DL and machine 142 intelligence, their limitations, and open challenges. Section III 143 summarizes the state-of-the-art classical methods of state 144 estimation and motion prediction of pedestrians and vehicles. 145 Section IV discusses the progress of cooperative perception 146 for autonomous driving and a detailed analysis of existing 147 implementation issues in AVs. Future research directions are 148 discussed in Section V, and finally, Section VI concludes our 149 review of the literature. 150

II. EGO VEHICLE PERCEPTION OF ON-ROAD OBJECTS

An AV's level of intelligence depends on its sensors and the sophistication of the algorithms that interpret information from those sensors. This section first reviews perception sensors commonly used in CAVs, then discusses various object detection methods, and finally highlights the existing challenges of ego-centric object detection.

A. Perception Sensors

Based on their application, AV sensors can be divided 159 into onboard exteroceptive and proprioceptive or interoceptive 160 sensors. The primary task of exteroceptive sensors is the 161 perception of static and dynamic objects in the surrounding 162 environment and prediction of their motion and behavior. This 163 subsection focuses on exteroceptive perception sensors, par-164 ticularly camera, lidar, and radar, and discusses their purpose, 165 major advantages and disadvantages, cost-effectiveness, level 166 of uncertainty, and suitability for different weather conditions. 167 A comparative summary of our discussion on these sensors is 168 available in Table II. 169

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1) Camera: cameras are passive sensors in the sense that 170 they do not interfere with other systems or sensors by affecting 171 the environment. They can distinguish color, which is critical 172 to AVs for recognizing traffic lights and signs, lane mark-173 ings, other vehicles, and pedestrians on the road. A recent 174 survey [17] has highlighted the state-of-the-art computer vision 175 algorithms utilizing monocular, omnidirectional, and event 176 cameras, comparing their advantages and limitations. Though 177 event and thermal cameras have drawn some interest for ADS, 178 they still suffer from problems arising from scene illumination 179 and weather conditions. Another survey paper [27] has detailed 180 computer vision-based algorithms for object and traffic sign 181 detection. Additional details regarding the performance of 182 standard, stereo, and thermal cameras are highlighted below. 183

a) Standard camera: standard cameras are cost and com-184 putationally efficient but subject to performance degradation 185 due to scene illumination and weather conditions. They are 186 mainly utilized for vehicle [10], [37], pedestrian [38]–[40], 187 lane marking [41]–[43], and traffic sign [18] detection in AVs. 188 360° or omnidirectional cameras can be used to obtain a 189 panoramic view for navigation, localization, and mapping [44]. 190 It is generally difficult to obtain accurate depth information 191 from a single camera, but promising studies to improve 192 monocular camera-based depth estimation are ongoing. 193

b) Stereo camera: depth information from a scene can be measured by a stereo camera system, similar to the human eye. Stereo cameras are commonly used for 3D mapping, better target classification, and long-range detection with better detection capacity than standard vision. Image processing of stereo cameras is more computationally demanding, and camera performance suffers in poor weather or lighting conditions.

c) Thermal camera: thermal cameras are used as stand-201 alone or with standard color cameras in object detection 202 to overcome poor lighting [45], [46]. They are effective at 203 pedestrian detection in low light conditions [47] and are useful 204 for vehicle detection and tracking at night. Information from a 205 thermal camera can be fused with data from other sources such 206 as standard color cameras and lidar to get depth information 207 in normal weather conditions. 208

2) Lidar: lidar is a relatively expensive sensor and utilizes 209 IR light to measure its distance to targets, outputting a 3D 210 211 point cloud. Lidars calculate target distance through either pulse measurement or phase shift measurement. Phase shift 212 measurement is used for small distances and has a higher 213 accuracy compared to pulse measurement, which is commonly 214 used for long-range distance measurement and hence suitable 215 for AVs. Lidar is suitable for the identification and recognition 216 of road markings, pedestrians, bicyclists, and cars. A per-217 ception process utilizing lidar is generally divided into three 218 steps: segmentation, fragmentation clustering, and tracking. 219 The range of lidars is generally below 300 m, but is subject to 220 performance degradation especially in extreme weather condi-221 tions such as fog and snow. Overall, lidars are most effective 222 for mid-near range and multi-target object detection, though 223 they cost more compared to other exteroceptive sensors. 224

3) Radar: compared to lidar, radar has a lower cost, is lightweight, and is small in size, but also has a lower accuracy. In AV applications, it is primarily used to measure

TABLE III ONBOARD SENSOR COMBINATIONS FOR SOME AV PLATFORMS [17]

Platform	360° rotating lidar (No.)	Stationary lidar (No.)	Radar (No.)	Camera (No.)
Yurtsever et al. 2020 [17]	1		-	4
Boss [49]	1	9	5	2
Junior [48]	1	2	6	4
BRAiVE [56]	-	5	1	10
RobotCar [50]	-	3	-	4
Google car (Pirus) [57]	1	-	4	1
Uber car (XC 90) [52]	1	-	10	7
Uber car (Fusion) [52]	1	7	7	20
Bertha [54]	-	-	6	3
Apollo Auto [53]	1	3	2	2

the position and velocity of an object and is more reliable 228 in extreme weather conditions than lidar or camera. As its 229 performance is not affected by scene illumination, radar can 230 also cover some of the shortcomings of camera. Radar is 231 good at detecting vehicle-sized objects, but the detection task 232 becomes challenging if the object is smaller. Moreover, due 233 to its lower resolution precise shape estimation is challenging, 234 though fusing with camera images can increase the precision 235 and accuracy of such an operation. 236

Research groups and vehicle manufacturers worldwide have 237 developed different AV platforms utilizing various sensor com-238 binations, indicating each platform's approach to achieving 239 full autonomy. Among them are not only platforms from 240 academia such as Stanford's Junior [48], CMU's Boss [49], 241 and RobotCar [50], but also commercial ones like the Tesla 242 Autopilot [51], Uber Car (Ford Fusion) [52], Apollo Auto [53], 243 Bertha [54], and Google's self-driving car [55]. A summary 244 of various full-size AVs and their sensor combination is 245 provided in Table III. Some of these platforms prioritize vision 246 data while others favor that of lidar, with a few pursuing a 247 balance between these two types of perception sensors. Further 248 study is needed to understand the optimal number, type, and 249 combination of sensors that achieve the best overall perception 250 quality and redundancy while maintaining some level of cost-251 effectiveness. 252

B. Deep Learning-Based 2D Object Detection

Detection, state estimation, and motion prediction of 254 dynamic objects on the road is the most challenging task 255 facing an AV, as the ego vehicle needs to frequently update its 256 path based on the predicted behavior of surrounding objects 257 to prevent any hazardous situations. Computer vision research 258 over the past few decades has enabled the detection and 259 classification of thousands of static and dynamic objects in 260 a scene (image frame) [58], first using traditional detection 261 methods and from 2012 using DL [59]. This can be seen in 262 the road-map of object detection milestones shown in Fig. 1. 263 Detection of static objects has allowed AVs to understand 264 traffic signs and traffic lights and obey basic driving rules. 265

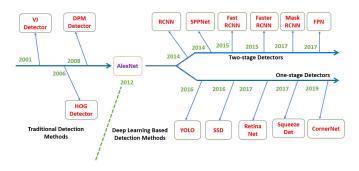


Fig. 1. Milestones of object detection over the last 20 years [58].

TABLE IV Comparison of the Accuracy of DL Object Detection Architectures on the Imagenet 1k Test Set [17]

Architecture	No. of Parameters $(\times 10^6)$	No. of Layers	Top 5% Error
Inception-ResNet v2 [61]	30	95	4.9
Inception v4 [61]	41	75	5
ResNet 101 [62]	45	100	6.05
DenseNet 201 [63]	18	200	6.34
YOLO v3-608 [64]	63	53+1	6.2
ResNet 50 [62]	26	49	6.7
GoogLeNet [65]	6	22	6.7
VGG-16 [66]	134	13+2	6.8
AlexNet [60]	57	5+2	15.3

Moreover, the progress in object detection research in more 266 recent years has accelerated research focused on the localiza-267 tion of dynamic objects, detection of their pose, and prediction 268 of their short-term future trajectories to enable safe path 269 planning for AVs. Though these dynamic objects - vehicles, 270 pedestrians, bicyclists - can now be easily detected and 271 classified, prediction of their future intention is still not an 272 easy task. State-of-the-art object detection methods based on 273 DL proposed in computer vision literature are highlighted in 274 Table IV (ordered by Top 5% error). All these methods use 275 CNN in some form. The number of parameters and layers is 276 a good indicator of the computational load of the respective 277 architecture. The research indicates that an ego vehicle utiliz-278 ing one of those architectures [60]-[66] in its vision pipeline 279 can detect and identify an unknown object with an accuracy 280 of around 95%. However, real-time implementation of such 281 heavy networks with online training is still challenging. 282

All state-of-the-art object detection methods used for AD are based on DL. They work by first detecting and classifying target object(s) and then drawing a bounding box around them to position those objects in the scene. These methods can be categorized as either two-stage or single-stage frameworks [67], with an overview of each category provided below.

1) Two-Stage Framework: the two-stage framework is also
known as region proposal object detection. In this framework, general regions of interest are usually targeted in
the first neural network. In the second stage, they are
classified by a separate classifier network. A few methods
belonging to this framework are R-CNN, Fast R-CNN, and

TABLE V AP OF COMMON 3D OBJECT DETECTION METHODS ON THE CAR CLASS OF THE KITTI 3D OBJECT DETECTION TEST SET [17]

Algorithm	T (s)	Easy	Moderate	Hard
PointRCNN [73]	0.10	85.9	75.8	68.3
PointPillars [74]	0.02	79.1	75.0	68.3
SECOND [75]	0.04	83.1	73.7	66.2
IPOD [76]	0.20	82.1	72.6	66.3
F-PointNet [77]	0.17	81.2	70.4	62.2
VoxelNet [78]	0.23	77.5	65.1	57.7
MV3D [79]	0.24	66.8	52.8	51.3

Faster R-CNN. A detailed list of such methods and a discussion of them is available in [67]. Overall, two-stage object detection methods are more accurate but are also less computationally efficient, requiring more computational power and inference time.

2) Single-Stage Framework: compared to the previous category, methods in this category are generally faster and more computationally efficient, making them suitable for real-time object detection, but have less accuracy. YOLO [64], [68], [69] and SSD [70] are two examples of such methods.

C. Deep Learning-Based 3D Object Detection

Lidar outputs 3D point clouds indicating the surfaces of 307 a scene. If the data is sparse, it makes object detection 308 and classification challenging. In general, lidar-based object 309 detection methods consist of three steps: segmentation, clus-310 tering, and tracking [71], typically utilizing machine learning 311 techniques such as SVM. The shape of objects and their 312 motion characteristics [48], [72] can also be utilized to identify 313 VRUs and cars. State-of-the-art 3D object detection methods 314 commonly used in AVs are listed in Table V along with their 315 AP on the car class of the KITTI 3D object detection data 316 set. While Table V shows that these detection methods have 317 greatly increased 3D object detection accuracy, convolution 318 complexity still remains a challenge for real-time usage. 319

D. Pedestrian, Cyclist and Vehicle Detection

A critical task of AVs in a real traffic environment is detect-32 ing and tracking other cars and VRUs, the most important 322 dynamic objects on the road. The performance of current state-323 of-the-art object detection methods for these object classes 324 can be compared through studies like the one proposed by 325 Lang et al. [74]. They considered various sensing configu-326 rations as well as object detection methods developed by 327 them or other researchers and calculated the detection and 328 classification mAP for each object class. For their first study, 329 the authors used the KITTI BEV benchmark data set, and 330 the comparative results are shown in Table VI. Their second 331 study used the KITTI 3D detection benchmark data set, and the 332 results are presented in Table VII. The tabulated results of case 333 studies with moderate difficulty indicate that the PointPillars 334 method performs better than almost all other methods and also 335 outperforms them when fusion-based methods are applied to 336 detect cars and cyclists. More research is still needed in this 337

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TABLE VI COMPARATIVE STUDY OF STATE-OF-THE-ART OBJECT DETECTION METHODS [74] (RESULTS ON THE KITTI BEV DETECTION BENCHMARK DATA SET)

Method	м	s	mAP	Car	Pe	С
		5	Mod.	Mod.	Mod.	Mod.
MV3D [79]		2.80	N/A	76.90	N/A	N/A
Cont-Fuse [80]]	16.70	N/A	85.83	N/A	N/A
Roarnet [81]	L&I	10.00	N/A	79.41	N/A	N/A
AVOD-FPN [82]	1	10.00	64.11	83.79	51.05	57.48
F-PointNet [77]		5.90	65.39	84.00	50.22	61.96
HDNET [83]	L&M	20.00	N/A	86.57	N/A	N/A
PIXOR++ [83]		25.00	N/A	83.70	N/A	N/A
VoxelNet [78]		4.40	58.25	79.26	40.78	54.76
SECOND [75]	Lidar	20.00	60.56	79.37	46.27	56.04
PointPillars [74]	1	62.00	66.19	86.10	50.23	62.25

M: Modality; S: Speed (Hz); Pe: Pedestrian; C: Cyclist; L&I: Lidar & Image; L&M: Lidar & Map; mAP: Mean Average Precision

TABLE VII COMPARATIVE STUDY OF STATE-OF-THE-ART OBJECT DETECTION METHODS [74] (RESULTS ON THE KITTI

3D DETECTION BENCHMARK DATA SET)

Method	м	S.	mAP	Car	Pe	С
			Mod.	Mod.	Mod.	Mod.
MV3D [79]		2.8	N/A	62.35	N/A	N/A
Cont-Fuse [80]		16.7	N/A	66.22	N/A	N/A
Roarnet [81]	L&I	10	N/A	73.04	N/A	N/A
AVOD-FPN [82]		10	52.62	71.88	42.81	52.18
F-PointNet [77]		5.9	57.35	70.39	44.89	56.77
VoxelNet [78]		4.4	49.05	65.11	33.69	48.36
SECOND [75]	Lidar	20	56.69	73.66	42.56	53.85
PointPillars [74]		62	59.2	74.99	43.53	59.07

M: Modality; S: Speed (Hz); Pe: Pedestrian; C: Cyclist; L&I: Lidar & Image

area since the mAP of the current methods, especially when 338 it comes to pedestrians and cyclists that are more vulnerable 339 and frequently disobey traffic laws, is far lower than 90%. 340

E. Sensor Fusion-Based Object Detection 341

An accurate fusion of data collected from different sensory 342 sources would dramatically improve object detection effec-343 tiveness. It allows different sensing modalities to reinforce 344 each other's strengths and cover individual weaknesses. For 345 sensor fusion, either all sensing modalities perform detection 346 tasks simultaneously and then validate each other's results, 347 or one modality performs the detection while others validate 348 349 the data [84], [85]. In [86], human sensing performance is compared to ADS, where one of the key findings is that even 350 though human drivers are still better at reasoning overall, the 351 perception capabilities of an ADS utilizing sensor fusion can 352 exceed that of humans, especially in degraded environmental 353 conditions such as low scene lighting [17]. To that end, various 354 sensor combinations commonly used for data fusion are briefly 355 discussed below. 356

1) Radar-Camera Data Fusion [12]: in this fusion process, 357 radar is mainly used for estimating RoI or distance, while 358 recognition is carried out using cameras [87]-[96]. In two 359

studies, guardrails' locations were determined by radar data, 360 and vehicles were detected using the limited region's ver-361 tical symmetry features in image frames [88]. In similar 362 approaches [94], [97], vehicles were detected using symmetry, 363 edge information, and optical flow features of images. Once a 364 vehicle was detected, its distance was calculated using radar-365 and-camera-fused data. That data was then projected onto a 366 common global occupancy grid, where vehicles were tracked 367 in a global frame of reference using a Kalman filter [89]. 368

2) Lidar-Camera Data Fusion: some approaches have used 369 lidar for reliable object detection while simultaneously using 370 lidar and Camera to perform classification [85], [98], [99]. 371 Others have used a camera for vehicle detection and lidar for 372 ranging [100], [101]. MV3D, AVOD-FPN, and F-PointNet are 373 some of the popular lidar-camera data fusion methods. 374

3) Radar-Lidar Data Fusion: Data from radar and lidar 375 can be fused to improve the performance of state estimation 376 and tracking of dynamic objects [102]. The state is estimated 377 using Bayesian methods, extended Kalman filter, or particle filter, while data from two independent systems are fused for improved detection and tracking. 380

4) Radar-Lidar-Camera Data Fusion: through this fusion 381 process, object detection and classification results from the camera are utilized to improve tracking model selection, data association, and movement classification [103], [104].

F. Challenges of Ego-Centric Object Detection

Although dynamic objects such as cars and pedestrians are 386 well-structured and easy to detect, estimation of their dynamics 387 and intent is not a simple task. Therefore, the following 388 challenges have to be addressed to step closer to full autonomy. 389

1) Physical Limitations of Sensors: compared to camera 390 images, a lidar measurement results in better 3D object 391 detection accuracy and FoV. Motion-based object detection 392 using a camera is sensitive to noise and scene lighting. 393 On the contrary, lidar can work in low visibility environ-394 ments and is not affected by low light conditions. Compared to radar, however, lidar performs less satisfyingly in rainy 396 and snowy climates [37]. More research is still needed to 397 address challenges arising from sensor physical limitations in 398 scenarios with complicated scene lighting or extreme weather 399 conditions. 400

2) Accuracy Issues: pedestrian detection accuracy of 2D 401 object detection methods such as YOLO v3 or RetinaNet 402 on some large-scale data sets such as COCO or ImageNet 403 is usually much higher (around 85-95%) than it is on the 404 KITTI 3D object detection data set (lower than 50%) that is 405 much closer to real-world driving conditions. Because of this, 406 a pedestrian may not be detected in some (from a couple to 407 tens of) frames. 408

3) Reliability and Robustness Issues: despite significant 409 progress in AV research and technology, the reliability and 410 robustness of the perception sensor suite cannot be fully 411 guaranteed. Some sensors may not work as well in low light 412 conditions, while others may be rendered useless by snow or 413 dirt, affecting the AV's performance despite sensor redundancy 414 and sensor fusion. Because of this, finding answers to the 415 following questions is crucial to making progress on sensor 416

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Category	Based on	Method	s	Comments	
	Physics-based	Single-model methods [105]–[112]	Works using Newton's laws of	Needs dynamic model	
M. J. P.	Thysics cused	Multi-model methods [113]-[118]	motion	iveeds dynamic moder	
Modeling approaches	Pattern-based	Sequential models [119]–[126]	Learns prototype of trajectory	Needs past trajectory	
		Non-sequential models [122], [127]–[132]	from observed behavior	or behavioral data	
	Planning-based	Forward planning methods [133]-[141]	Reasons about likely goals and	Explicit reasoning on	
		Inverse planning methods [142]-[151]	computes possible future paths	long-term motion goals	
	Target agent cues	Motion state [109], [117], [124], [127], [131], [136], [144], [145], [151]–[154]	Position and velocity		
	Target agent cues	Articulated pose [123], [155]–[160]	head orientation		
		Semantic attributes [117], [161]-[163]	Age, gender, personality, and awareness	Needs to account for relevant internal and	
Contextual cues	Dynamic environment cues	unaware [107], [127], [164]–[169]	Does not care about presence of other agents	external stimuli that influence motion	
		Individual-aware [108], [117], [119], [126], [131], [145], [153], [154]	Considers the presence of other agents	behavior	
		Group-aware [111], [170]–[175]	Considers the presence of other agents and social groupings		
	~	Unaware [123], [129], [158], [176]–[182]	Assumes open-space environment		
	Static environment cues	Obstacle-aware [120], [126], [131], [152]–[154], [183], [184]	Accounts for the presence of individual static obstacles		
		Map-aware [117], [118], [125], [137]–[139], [142], [147], [151], [185]–[191]	Accounts for the environment geometry and topology		
		Semantics-aware [106], [136], [144], [148], [161], [192]–[195]	Accounts for environment semantics		

TABLE VIII Overview of Human Motion Prediction Methods

reliability: (i) what should be done when a sensor fault occurs? 417 (ii) How can the AV recognize defective data from a sensor? 418 (iii) How to anticipate sensor failure? (iv) How to determine 419 the absolute ground truth during extreme weather conditions? 420 4) Time Latency Issues: the total time latency from an 421 occurrence in the environment to detection by an AV is 422 dependent on the scan rate of sensors and the AV's com-423 putational speed. Processing image frames from cameras and 424 point clouds from lidars require high computational power, 425 without which there would be increased latency. In high-426 speed driving scenarios where an AV is going upward of 427 100 km/hr, a 1 second latency means traveling a distance 428 of at least 36 m, significantly reducing the available braking 429 distance in case of an emergency. Therefore, the total time 430 latency should be below 100 ms to ensure safety for fully 431 autonomous driving. What complicates this is that all recent 432 object detection algorithms are DL-based, resulting in a much 433 heavier computational load. Therefore, a trade-off has to be 434 made between speed and accuracy. Some recent high-speed 435 object detection algorithms such as YOLO v3-v5 and Inception 436 v3 are gaining popularity but require a high-performance GPU 437 for real-time application in ADS. Nevertheless, they have 438 shown promising gains in both speed and accuracy. Further 439 research is needed to build upon this progress. 440

441 III. STATE ESTIMATION AND MOTION PREDICTION

442 A. Pedestrian State Estimation and Motion Prediction

Accurate estimation of pedestrian state and future motion is challenging for AVs on the road, especially in heterogeneous traffic environments. So AVs need to analyze a pedestrian's 445 past motion and present state and predict its future path. 446 This is difficult because although most pedestrians frequently 447 move along sidewalks and intersection crossings, they may 448 behave randomly in some instances and not follow traffic 449 rules, perhaps due to an external stimulus. The reaction to 450 that stimulus may or may not be shared with other traffic 451 agents, and those factors may or may not be observable 452 or controllable by an AV. Hence an AV has to consider a 453 multitude of factors, including a pedestrian's pose - standing, 454 starting, walking, stopping - facial expressions, and move-455 ment through space to make an effective prediction of that 456 pedestrian's future motion and intention. A summary of human 457 motion prediction methods for AVs developed over the past 458 few decades is presented in Table VIII, broadly categorized 459 by modeling approach and contextual cues. These prediction 460 methods are validated using real-time ground-truth data from 461 data sets collected and standardized by various research and 462 development communities. Table IX provides an overview 463 of popular data sets available and used for human motion 464 prediction and research works performed by researchers in 465 this area. 466

Despite the progress [20], [21] made in the development of 467 pedestrian state estimation and motion prediction techniques, 468 their accuracy and reliability are still not fully guaranteed. 469 This can be a problem because AVs need to make anticipatory 470 actions for their short-term path plan based on accurate state 471 estimation and motion prediction of the surrounding pedestri-472 ans. Furthermore, there is still no model for the prediction of 473 the abnormal behavior of pedestrians walking on the roadside. 474

Data set (agent: person)	Scene description	Used by
ETH [109]	Two pedestrian scenes, top-down view, moderately crowded	[38], [39], [111], [119], [126], [131], [162], [186], [196]–[214]
UCY [215]	Two pedestrian scenes (sparsely populated Zara and crowded students), top-down view	[38], [39], [111], [119], [133], [161], [171], [196], [198]–[214], [216]
Stanford Drone Data Set [173] (with cyclists and vehicles)	Eight urban scenes, 900 m ² each, top-down view, moderately populated	[106], [180], [196], [203], [205], [217]–[223]
Edinburgh [224]	One pedestrian scene, top-down view, 12×16 m ² , varying density of people	[138], [153], [225]–[228]
Grand Central Station Data Set [229]	Recorded in the crowded New York Grand Central train station	[38], [141], [226], [227], [230], [231]
VIRAT [232] (with cars and other vehicles)	Sixteen urban scenes, 20–50° camera view angle towards the ground plane (homographies included)	[139], [140], [144], [150], [225]
KITTI [233] (with cyclists and vehicles)	Recorded around the mid-size city of Karlsruhe (Germany), in rural areas and on highways	[136], [146], [234]–[236]
Town Center Data Set [237]	Pedestrians moving along a moderately populated street	[155], [161], [204], [231]
ATC [238]	Recording in a shopping center, 900 m ² coverage, with varying density of people	[190], [239], [240]
Daimler Pedestrian Path Prediction Data Set [181]	Recorded from a moving/standing vehicle, with pedestrians crossing the street, stopping at the curb, or starting to move	[223], [241], [242]
L-CAS [243]	Recorded in a university building from a moving or standing robot	[199], [244]
TrajNet [245]	A superset of data sets, collecting relevant metrics and visualization tools	[231]

TABLE IX Summary of Existing Datasets on Human Motion Trajectories

While V2X connectivity has been proposed as a solution, 475 its feasibility is still not guaranteed since a pedestrian may 476 not always be online throughout a traffic scenario. Some 477 of the other difficulties in pedestrian state estimation and 478 motion prediction are the following: variation in dimensions 479 of the human body, presence of human pictures on street 480 advertisements, dense or occluded pedestrian detection, and 481 difficulty in real-time robust pedestrian detection. 482

483 B. Vehicular State Estimation and Motion Prediction

For any AV, other vehicles on the road are generally the primary concern at any time. Hence, accurate state estimation, tracking, and prediction of other vehicles' near-future paths and understanding their behavior is as important as that of pedestrians. This subsection briefly reviews and summarizes classical vehicle detection, state estimation, tracking, and motion prediction methods.

Vision-based vehicle detection has reached its maturity 491 after decades of research in ML and DL, and the following 492 tables (Tables X - XV) provide an overview of that research. 493 Classic vision-based vehicle detection methods are presented 494 in Table X, and are categorized by their usage of the motion 495 or appearance of vehicles through monocular and stereo cam-496 eras. Alongside vehicle detection, state estimation and motion 497 tracking are also essential for predicting the future position 498 of vehicles on the road so that short and long-term path 499 planning and collision avoidance are possible for the ego 500 vehicle. Hence, Table XI highlights application-specific on-501 road vehicle tracking methods commonly used for monocular 502 and stereo vision setups. Furthermore, Table XII presents the 503

methods utilized for task-specific behavior analysis of on-road vehicles. 504

Table XIII provides a summary of the existing benchmark 506 data sets for vehicle detection and trajectory prediction, and 507 interested readers can refer to [10] for a detailed analysis 508 and comprehensive review of vision-based vehicle detection, 509 tracking, behavior analysis, and data sets used for this pur-510 pose. Alongside detection and tracking, motion prediction 511 and maneuver intention estimation [12] of other vehicles are 512 also equally important for an ego vehicle's safe trajectory 513 planning and execution. Therefore, an overview of current 514 motion prediction methods and their limitations is presented 515 in Table XIV. Finally, methods used for maneuver intention 516 estimation at road intersections are provided in Table XV. 517

While significant progress has been made in the devel-518 opment of vehicle detection and motion prediction methods, 519 some challenges remain unsolved. Among them is a reduction 520 in the performance of the current methods in extreme weather 521 conditions. Another challenge is identification of abnormal 522 driving behavior of other vehicles in real time. A final 523 challenge is long-term motion prediction of other vehicles 524 irrespective of traffic signals, where a multi-model tracking 525 method is needed. 526

IV. COOPERATIVE PERCEPTION AND NAVIGATION

527

CPN refers to the practice of sharing perception and navigation information using V2V and V2X communication [340], [341] in a traffic network to better understand the surrounding environment and increase safety. Receiving perception information from other AVs can help the ego vehicle better understand blind spots or areas blocked by large objects. It can

TABLE X Summary of Vision-Based Vehicle Detection Methods

Vision type	Characteristic used	Method description
		Dynamic background modeling of overtaking area [246]
	Motion	Optical flow for blind-spot detection [247]
		Optical flow, HMM classification [248]
		SVM and NN classification [249], HOG and Gabor features
Monocular vision		Statistical modeling of local features [250]
Wonocular vision		Haar-like features, boosted classification, online learning [251]
	Appearance	Haar-like features, Adaboost classification, active learning [252]
		HOG features, SVM classification. Orientation determined using multiplicative kernel learning [253]
		HOG features, deformable parts-based model [254]
		SURF and edge features, probabilistic classification, blind-spot detection [255]
		Optical flow [256]
	Motion	Occupancy grid, free space computation [257]
		Optical flow, clustering 6D points [258]
	Within	Optical flow, particle-based occupancy grid [259]
Stereo vision		Tracking stixel and fitting probabilistic cuboid model [260]
Stereo vision		Optical flow, spatiotemporally smoothed occupancy grid [261]
		Size, width, height, image intensity features, Bayesian classification [262]
	Appearance	Clustering of 3D points, vehicle orientation estimation [263]
	Appearance	Color, 3D vertical edges [264]
		V-disparity, clustering in the disparity space [265]

TABLE XI Summary of Vision-Based Vehicle Tracking Methods

Trackin	g Method	Vision type	Application
Optical flow, geometric constraints, and Kalman filtering [266]			Tracking and motion estimation
Template matching [267]			Tracking
Feature-based tracking and Kalman filtering [268], [269]			Detection and tracking
	Sivaraman and Trivedi, 2010 [252]	Monocular vision	Tracking
	Quan et al., 2011 [253]		Tracking and orientation detection
Particle filtering	Xue and Ling, 2011 [270]		Tracking
	Niknejad et al., 2012 [254]		Detection and tracking
	Danescu et al., 2011 [259]	stereo vision	Position and velocity
Kalman filtering	Rabe et al., 2007 [271]		Motion estimation
Kannan internig	Bota and Nedevschi, 2011 [272]		Position and velocity (tracking)
Extended Kalman filtering	Barth and Franke, 2009 [258]		State and turning behavior estimation
	Lim et al., 2011 [273]		Tracking
Kalman filtering, interacting multiple models [274]			Motion estimation
Mean-shift on 3D points [275]			Tracking

also be an added layer of safety in case of sensor failure.
 Moreover, sharing trajectory information can help vehicles
 navigate more seamlessly, for example, by negotiating at inter sections or forming highway platoons, or relevant platooning
 tasks [342]–[347].

The most straightforward approach to CPN is raw 539 (or lightly-processed) information sharing, though this can be 540 challenging due to bandwidth limitations and heavy commu-541 nication load [348]. Aside from that, both fusing data received 542 from a large variety of sensor arrays of other road users and 543 processing a large volume of raw data can be computationally 544 challenging. Therefore, a more common approach is to share 545 processed perception information, for example an occupancy 546 grid or a real-time map indicating the location, pose, and 547

predicted trajectory of the surrounding objects, vehicles, and VRUs. This section briefly highlights major developments in this area and discusses open challenges facing CPN. Interested readers can visit [27] for a more comprehensive discussion.

A. Recent Progress in CPN

Working cooperatively benefits all vehicles in a network, as it improves every vehicle's understanding of the surrounding environment. In what follows, we list what vehicles stand to gain from cooperative perception and navigation:

552

1) It extends the LoS and FoV of every vehicle in 558 the network. This, in turn, facilitates detection of traffic 559

TABLE XII Methods for on-Road Behavior Analysis

Specification	Method/classification	Task
	Template matching score [276]	Detection and tracking of overtaking vehicles
Non-context-specific	Dynamic Bayesian network [247]	Dynamic Bayesian network used to predict lane changes of other vehicles
	Optical flow direction, intensity [84]	Optical flows used to detect overtaking vehicles
Context-specific	Neural network [277]	Dynamic visual model of typical on-road behavior, saliency used to detect unusual and critical situations
	Interfacing multiple model likelihood [277]	Velocity and yaw-rate estimation used to infer the turning behavior of oncoming vehicles
	SVM [278]	Histograms of scene flow used to classify intersection vs. non-intersection driving environment
	Trajectory-based augmented particle filter [279]	Vehicle motion is matched to 44 prototypes using QELCS distance
	Trajectory-based HMM [280]	Unsupervised clustering of observed on-road trajectories

TABLE XIII

DATASETS FOR VEHICLE DETECTION AND TRAJECTORY PREDICTION

Data set	Scene description	
Caltech 1999, 2001 [281], [282]	Static images of vehicles in a variety of poses	
PETS 2001 [283]	Testing set of some 2867 frames from two cameras. Includes videos of preceding vehicles viewed through the front windshield, and a video of following vehicles viewed through the rear windshield	
LISA 2010 [252]	Three short videos, 1500, 300, and 300 frames, comprised of highway and urban driving. Monocular detection of preceding vehicles only	
Caraffi 2012 [284]	Several videos comprising some 20 minutes of driving on Italian highways	
HighD Dataset 2018 [285]	Six different highway locations near Cologne, top-down view, varying densities with light and heavy traffic	
Vehicles NGSIM 2006, 2007 [286], [287]	Recording of US Highway 101 and Interstate 80, road segment length 640 and 500 m	
KITTI 2012 [233]	Recorded around the mid-sized city of Karlsruhe, Germany, in rural areas and on highways	

TABLE XIV

SUMMARY OF VEHICLE MOTION PREDICTION METHODS

Based-on	Broad category	Sub-category	Limitations	
Physics-based motion models	Evolution models	Dynamic models [288]–[294]	Limited to short-term motion prediction,	
	Evolution models	Kinematic models [116], [288], [295]-[303]	unable to anticipate any change in the motion caused by the execution of a particular maneuver or changes caused by external	
	Trajectory prediction	Single trajectory simulation [289], [296], [297], [299], [303]		
		Gaussian noise simulation [116], [296], [298], [301], [302], [304]–[306]	factors	
		Monte Carlo simulation [293], [307], [308]		
Maneuver-based motion models	Prototype trajectories [309]	Representation [120], [310]-[315]	Strictly deterministic representation of time, heavy computational burden, inability to	
[115], [310], [316]–[318]		Trajectory prediction [120], [309], [310], [312], [314], [319]–[321]	consider physical limitations of a vehicle, and difficult to adapt to different road layouts	
	Maneuver intention estimation and	Maneuver intention estimation [105], [310], [316], [318], [322]–[329]	Inter-vehicle dependencies are particularly strong at road intersections, can lead to	
	maneuver execution	Maneuver execution [105], [310], [322], [330]–[332]	erroneous interpretations of the situation	
Interaction-aware	Models based on trajectory prototypes [128], [333]		Computationally expensive and not compatible with real-time risk assessment	
motion models	Models based on dynamic Bayesian networks [113], [334]-[339]			

congestion, avoidance of hidden obstacles and hazardous
situations [349], safe lane changing/overtaking, and smooth
path planning [350].

⁵⁶³ 2) It helps AVs with short-term planning and control, for ⁵⁶⁴ example, in immediate longitudinal control [351]. 3) Speed and heading angle sharing through V2V communication can help with collision avoidance and complement emergency braking systems. 567

4) Cooperative intersection management through trajectory sharing can improve the safety of intersection naviga-

TABLE XV Summary of Maneuver Intention Estimation Methods at Road Intersection

Maneuvers	Methods
Stop, go straight, left turn, right turn [322]	Heuristics
Go straight, left turn, right turn [330]	
Safe errant [105]	SVM-BF
Lane-keeping, lane change left, lane change right [325]	5 V M-DI
Lane-keeping, lane change left, lane change right [326]	SVM
Lane-keeping, lane change left, lane change right [324]	
Go straight, turn right, stop [323]	Logistic regression
Stop, brake, keep speed [318]	MLP
Complaint violating [316]	HMM
Go straight, left turn, right turn [310]	Hierarchical HMM
Go straight, left turn, right turn [327]	
Lane-keeping, lane change left, lane change right [329]	НММ
Go straight, turn left, turn right [328]	1

tion [27]. This can lead to significant improvements because,
for instance, during the ten years from 2005 to 2014, over
20% of the fatalities on EU roads took place at intersections [352] only. Therefore, such cooperative management
algorithms, along with rule-based heuristic methods [353], and
optimization-based methods [354], could make a noticeable
difference in intersection safety.

577 B. Challenges Facing CPN

Despite recent developments and benefits listed above, CPN faces many challenges that need to be addressed before it can be widely adopted. These challenges include data privacy, data authenticity, handling data from malfunctioning sensors, development of a general architecture for cooperative data fusion, multi-object detection and tracking, and cooperative driving. Some of these challenges are further discussed below.

1) Data Transfer Decision: assuming that V2V communi cation is established between multiple vehicles for CP, each
 vehicle has to decide when and how to transmit or receive
 data:

a) Transmitter: some questions that need to be addressed are the following: what data to send? When and in what situation to send that data? How to assess a hazardous situation? If a nearby vehicle is in a hazardous situation, how to handle it? Among multiple nearby vehicles, how to select a target vehicle to send data? How to be aware of all nearby vehicles' relative positions in real-time?

b) Receiver: what data and how to fuse to extend 596 FoV? Which received data to fuse for object detection if 597 the ego vehicle failed to detect an object? How to select 598 one transmitting vehicle among multiple such vehicles to 599 receive data from? Or should data be received from all such 600 vehicles? Should receiving data be continuous or selective? If 601 continuous, how to handle the increased communication and 602 processing burden? Overall, there needs to be a general frame-603

work for CP that defines protocols for data transmission and cooperative behavior. This can enable efficient implementation of CP and reduce potential compatibility issues during data transmission. 607

2) Data Reliability and Accuracy Issues: an AV connected to a cooperative network perceives the driving environment through several on-board sensors, among which a few are its own, and the rest are located on other vehicles. Therefore, the sensing accuracy is not only dependent on the sensors of an individual vehicle and their accuracy, but also on the performance of the overall network.

3) Data Association Issues: setting aside communication issues, it is still non-trivial to associate the information received from one vehicle with another vehicle's local understanding of the same situation [355]. Further research is needed to understand how the ego vehicle should select from among the data it receives and how that data should be fused with the ego vehicle's own sensory information.

4) Computing Issues: fusing perception data, driving decisions, and future trajectories requires high computational power. A possible solution may be VEC, through which the computational burden is offloaded to nearby edge computing servers, though further research is needed to investigate the viability of this method.

5) Time-Delay and Communication Issues: one area that 628 requires further research is the impact of time delay [356] on 629 the usability of information received through 5G or DSRC 630 V2V and V2X communication. This concerns both informa-631 tion that travels from a single road user to another one and 632 information that travels through a number of intermediaries 633 to reach a road user. Analysis of the technical literature has 634 shown that the lumped communication delay usually ranges 635 from 200 to 800 ms, while the actuation time delay is typically 636 within 20 to 250 ms [357]. According to [358], a lumped 637 actuation delay is the combined result of pure time delays 638 in (i) the engine response, (ii) the throttle actuator, (iii) the 639 brake actuator, and (iv) low-pass filters used for sensors such 640 as engine manifold pressure sensor, wheel speed sensor, etc. 641

6) *Relative Pose and Localization Issues:* effective fusion of data from onboard sensors and those obtained through communication requires knowledge of the relative pose and location of the surrounding road users. Determining this can become challenging when a large number of road users are present in a network. 647

V. FUTURE RESEARCH DIRECTIONS

Up to this point, this survey has presented an overview of research in various areas that enable the development and deployment of CAVs. While significant progess has been made in these areas, many are still facing challenges that require innovative solutions. These challenges and directions of future research are summarized below.

Though an enormous amount of research has been conducted on detection, estimation, and tracking techniques using different sensors for cars, trucks, and VRUs, further research on these methods and sensing modalities is needed so that an AV can confidently identify and predict the behavior of all road users. For vision-based object detection, it is usually difficult

to obtain accurate depth information from a single camera, 661 but promising studies to improve monocular camera-based 662 depth estimation are ongoing. Stereo cameras perform much 663 better in this regard, though their performance suffers in poor 664 weather or lighting conditions and future works should address 665 that, bringing their capabilities closer to the human eye. For 666 lidar-based object detection, since sensor cost is a major factor, 667 a future research track can be the study of the use of multiple, 668 low-cost lidars with less dense point clouds instead of one 669 expensive sensor, and how that can affect detection robustness 670 and reliability. Further research is also needed to understand 671 the optimal number, type, and combination of sensors that 672 achieve the best overall perception quality, even in challenging 673 weather and lighting conditions, while maintaining some level 674 of cost-effectiveness. 675

While current research has made great strides in detecting 676 and classifying vehicles and VRUs, further research is needed 677 to increase object detection accuracy, particularly when it 678 comes to smaller objects. More research is also needed to more 679 accurately predict the intention of different road users and 680 their future trajectories, which should be complemented with 681 advances in computational hardware and software pipelines. 682 This is especially important since VRUs such as pedestrians 683 and cyclists are frequently present in urban traffic environ-684 ments and may disobey traffic rules or behave unpredictably. 685 While V2X and V2I connectivity have been proposed as means 686 of increasing VRU awareness and enhancing their interaction 687 with AVs, more research is needed to demonstrate the feasibil-688 ity of this proposal. Future research should also address current 689 challenges in pedestrian state estimation and motion prediction 690 such as variations in human body dimensions, presence of 691 human pictures on street or vehicular advertisements, and 692 dense or occluded pedestrian detection. 693

While CPN looks like a promising approach for handling 694 a future with a traffic mix of autonomous and manually-695 driven vehicles, it still faces many challenges that need to 696 be addressed before it can be widely adopted. Some of these 697 challenges are data privacy, data authenticity, data associ-698 ation, handling data from malfunctioning sensors, handling 699 time-delay and communication issues, calculation of relative 700 pose, and cooperative driving. 701

VI. CONCLUSION

This survey of the literature on state estimation and motion 703 prediction of vehicles and VRUs summarized the significant 704 progress that has been made in both categories, discussed 705 the most promising results to date, and outlined the areas 706 where further research is needed. In a review of the perception 707 sensors most commonly used in AV research, we described 708 the strengths and weaknesses of cameras, lidars, and radars, 709 reviewed DL algorithms used for 2D and 3D object detection 710 and noted that the most reliable detection results come from 711 a fusion of data from different sensor modalities. We also 712 outlined the areas that need further research, including sensor 713 reliability and performance in extreme weather conditions. 714 In the next section, we surveyed the literature on pedestrian 715 and vehicle state estimation and motion prediction, cate-716 gorizing existing detection, tracking, behavior analysis, and 717

motion prediction algorithms and available benchmarking data 718 sets. We also reviewed the progress made in the area of 719 cooperative perception and navigation, using V2V and V2X 720 communication to share perception and trajectory information 721 for increased safety and traffic efficiency. While much research 722 is still needed in this area to address several challenges such as 723 data accuracy and association as well as time delay issues, this 724 research can ultimately have a great impact on the widespread 725 adoption of CAVs. Finally, possible future research directions 726 have been proposed that can help address current challenges 727 and accelerate the deployment of AVs on the road. 728

ACKNOWLEDGMENT

The authors are thankful to the Department of Mechanical Engineering, Virginia Tech, and the Safe-D project (Safety through Disruption, Safe-D National UTC) for supporting this research. In addition, the authors wish to express their gratitude to the anonymous reviewers for their valuable feedback that improved the quality of this paper.

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Prasenjit Ghorai received the B.Tech. degree in electronics and instrumentation engineering from the Maulana Abul Kalam Azad University of Technology (formerly West Bengal University of Technology), India, the M.Tech. degree in control and instrumentation engineering from the University of Calcutta, and the Ph.D. degree in engineering from the National Institute of Technology (NIT) Agartala (in collaboration with Indian Institute of Technology, Guwahati, India). He was an Assistant Professor of electronics and instrumentation engineering with

NIT Agartala from 2011 to 2019. He is currently working as a Post-Doctoral Associate with the Autonomous Systems and Intelligent Machines Laboratory, Virginia Tech, where he conducts the research on cooperative and connected 1893 autonomous vehicles.



Azim Eskandarian (Senior Member, IEEE) has 1895 been a Professor and the Head of the Mechani-1896 cal Engineering Department, Virginia Tech, since 1897 August 2015. He became the Nicholas and Rebecca 1898 Des Champs Chaired Professor in April 2018. 1899 He has been a Professor with the Electrical and 1900 Computer Engineering Department since 2021. 1901 He established the Autonomous Systems and Intel-1902 ligent Machines laboratory, Virginia Tech, and has 1903 conducted pioneering researches on autonomous 1904 vehicles, human/driver cognition and vehicle inter-1905

face, advanced driver assistance systems, and robotics. Before joining Virginia 1906 Tech, he was a Professor of engineering and applied science at George 1907 Washington University (GWU) and the Founding Director of the Cen-1908 ter for Intelligent Systems Research from 1996 to 2015, the Director 1909 of the Transportation Safety and Security University Area of Excellence 1910 from 2002 to 2015, and the Co-Founder of the National Crash Analysis 1911 Center in 1992 and its Director from 1998 to 2002 and from 2013 to 2015. 1912 From 1989 to 1992, he was an Assistant Professor at Pennsylvania State 1913 University, York, PA, USA, and an Engineer/a Project Manager in the 1914 industry from 1983 to 1989. He is a fellow of ASME and a member of 1915 SAE. He received the SAE's Vincent 2021 Bendix Automotive Electronics 1916 Engineering Award, the IEEE ITS Society's Outstanding Researcher Award 1917 in 2017, and GWU's School of Engineering Outstanding Researcher Award 1918 in 2013. (For more information see https://www.asim.me.vt.edu) 1919



Young-Keun Kim received the B.E. degree from 1920 Handong University, Pohang, South Korea, in 2008, 1921 and the M.Sc.Eng. and Ph.D. degrees in mechanical 1922 engineering from the Korea Advanced Institute of 1923 Science and Technology (KAIST), Daejeon, South 1924 Korea, in 2014. Since 2014, he has been with the 1925 Department of Mechanical and Control Engineer-1926 ing, Handong Global University. He is currently 1927 an Associate Professor and the Head of the Smart 1928 Sensor Systems Laboratory, to conduct researches 1929 on intelligent machine vision for automated systems. 1930

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deep learning for perception, and LiDAR signal processing



Goodarz Mehr received the B.Sc. degree in 1932 mechanical engineering from the Sharif University 1933 of Technology, Tehran, Iran. He is currently pursuing 1934 the Ph.D. degree in mechanical engineering from 1935 Virginia Tech. His research interests include robotics 1936 and control, stochastic planning models, machine 1937 learning, and cooperative perception. 1938