

1 Measuring the size of non-spherical particles and the implications  
2 for grain size analysis in volcanology  
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12 **ABSTRACT**  
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## 1. Introduction

Particles with highly irregular shapes, such as the products of explosive volcanic eruptions (tephra), present a particular challenge when quantifying particle size. The ‘size’ of non-spherical particles can be quantified in multiple ways depending on the method of measurement and definition of ‘size’. For example, size can be measured as the longest particle dimension using callipers, or the diameter of a volume equivalent sphere calculated from 3D data (Bagheri et al. 2015; Saxby et al. 2020). A clear and consistent definition of size is important because the ‘size’ of tephra is used to predict the dispersal of the particles in the atmosphere (Rose and Durant 2009; Mele et al. 2011; Engwell and Eychenne 2016; Saxby et al. 2018). The grain size distribution (GSD) of tephra also provides insight into fragmentation mechanisms (e.g. Barberi et al. 1989; Wohletz et al. 1989; Jones et al. 2016; Mele et al. 2020) and estimates of eruption column heights for unobserved eruptions (e.g. Carey and Sparks 1986; Burden et al. 2011; Rossi et al. 2019). The GSD of volcanic ash (tephra <2 mm) is also important for understanding the risks posed to human health and infrastructure (Horwell and Baxter 2006; Horwell 2007; Bebbington et al. 2008; Wilson et al. 2012; Blake et al. 2017) and the efficiency of wind-driven remobilisation (Hadley et al. 2004; Leadbetter et al. 2012; Liu et al. 2014; Panebianco et al. 2017). Finally, quantitative measurements of particle shape complement size analysis and are equally important for interpreting eruptive processes and forecasting tephra transport and sedimentation (Heiken 1972; Riley et al. 2003; Cioni et al. 2014; Liu et al. 2015; Bagheri et al. 2015; Saxby et al. 2018; Dürig et al. 2020).

One of the main challenges faced when characterising a tephra deposit is the large range of particle sizes produced by an eruption (from  $10^{-6}$  -  $10^1$  m). This has required use of a variety of methods to measure size, often requiring an overlap of two or more methods to analyse the coarse and fine components of a single sample. Numerous size and shape parameters are associated with different methods and the choice of parameter has implications for data interpretation and comparison. Furthermore, particle size and shape are typically analysed separately using different methods, leading to slow data collection and processing as noted by several authors who have investigated the range of

45 shape parameters and size characterisation methods for volcanic ash (Riley et al. 2003; Liu et al. 2015;  
46 Leibrandt and Le Pennec 2015). Thus, despite the importance of grain size and shape characterisation,  
47 data compilation and comparison across different studies is hindered by the range of methods used.

48  
49 Tephra from a single eruption is a mixture of components (e.g., lithics, free-crystals and juvenile  
50 fragments such as pumice) and each component can have unique optical and physical properties (e.g.,  
51 refractive index, density, porosity and permeability) which can limit the efficacy of grain size methods  
52 initially developed for analysing more homogenous materials. Furthermore, the different components  
53 within a single sample, such as free-crystals, can have individual GSDs that overlap to produce the GSD  
54 of the whole sample (Moore 1934; Walker 1971; Sparks 1976; Mele et al. 2020). A further complication  
55 is that the componentry can vary spatially in a deposit due to emplacement and transport processes  
56 (Sparks and Walker 1977; Carey and Sigurdsson 1982; Williams and Self 1983; Eychenne et al. 2015).  
57 For example, crystal concentrations observed in airfall deposits reflect a narrow crystal size and density  
58 distribution that causes deposition over a limited transport distance. Grain size procedures that do not  
59 account for variations in particle density or componentry with size (e.g., sieving) could therefore  
60 produce inaccurate interpretations of GSDs.

61  
62 Here we outline an analytical protocol for simultaneous size and shape characterisation using a fast and  
63 flexible method that employs dynamic image analysis (DIA). Methods of size measurement that use  
64 image analysis do not need to assume particle shape, which is analysed simultaneously. Imaging the  
65 particles also means that multiple size parameters (e.g., particle long axis and equivalent circle  
66 diameter) are measured concurrently. This provides flexibility and consistency when reporting size  
67 measurements. First we review methods of grain size measurement (Section 2; Table 1), expanding on  
68 the summary by Eychenne and Engwell (2016) and with emphasis on how 'size' is quantified. We then  
69 outline the methodology for size analysis using DIA with example analyses using spherical and non-  
70 spherical particles (Section 3). We then discuss the benefits of DIA for measuring the grain size of  
71 tephra and examine the implications of different size measurements in volcanological applications  
72 (Sections 4-5). We conclude by showing ways in which inconsistencies in size definitions for non-

73 spherical particles affect studies of explosive volcanism, particularly when particle shapes are extreme,  
74 as is common for glass shards.

75

## 76 2. Background

77

78 Analysing the grain size of tephra is a long-established practise in volcanology and the standard  
79 methodologies were adopted from the wider field of sedimentology (Wentworth 1922; Krumbein 1934;  
80 Pettijohn 1949). For example, early work characterising the grain size of field deposits helped  
81 distinguish poorly sorted pyroclastic density current deposits (nuée ardente or ignimbrite deposits) from  
82 well sorted airfall deposits (Lacroix 1904; Moore 1934; Fenner 1937). Standard statistical procedures  
83 from sedimentology were also adopted, such as characterising GSDs using the maximum clast size,  
84 median diameter ( $M_d$ ) and sorting ( $\sigma$ ; Fisher 1964). Also adapted from sedimentology is the practise of  
85 deconvolving multi-modal GSDs into sub-populations. Studies of sands attribute sub-populations in  
86 multi-modal GSDs to the genesis of the material (Visher 1969) and when applied to volcanic GSDs,  
87 grain size sub-populations are related to eruptive processes (Sheridan 1971; Wohletz et al. 1989;  
88 Eychenne et al. 2015). Whilst these procedures have merit and can provide insight into volcanic  
89 processes, the complex and heterogeneous physical properties of tephra suggests that volcanic GSDs  
90 measured using traditional grain size methods may need additional scrutiny.

91

### 92 2.1. Why is grain size important for volcanology?

93

94 Grain size data are used to interpret two key eruption source parameters (ESPs), the eruption column  
95 height (Carey and Sparks 1986; Woods and Wohletz 1991; Sparks et al. 1992; Burden et al. 2011) and  
96 the total grain size distribution (TGSD; Carey and Sigurdsson 1982; Bonadonna and Houghton 2005).  
97 Both parameters are used to interpret the nature of eruptive activity from field deposits. Eruption column  
98 height can be inferred from modelled clast support envelopes within the eruption column (Carey and  
99 Sparks 1986) and requires maximum clast size data that are typically measured in the field on a sub-

100 sample of the largest clasts (Bonadonna et al. 2013). TGSDs are produced by combining GSDs from  
101 multiple sampling sites across the tephra deposit and weighting them according to the mass  
102 accumulation of tephra (Carey and Sigurdsson 1982; Bonadonna and Houghton 2005). Here GSD is in  
103 reference to tephra that has been deposited on the ground.

104

105 ESPs are a key requirement for ash dispersion models, which can be used to reconstruct past eruptions  
106 or to forecast tephra dispersal from future eruptions (Mastin et al. 2009; Webley et al. 2009; Bonadonna  
107 et al. 2012; Beckett et al. 2015). Most operational and research-based ash dispersion models use an  
108 input particle size distribution (PSD), where PSD is used in reference to tephra in the atmosphere  
109 (Mastin et al. 2009; Bonadonna et al. 2012; Beckett et al. 2015; WMO 2018). TGSDs can be used to  
110 inform PSDs but there are several challenges to relating the two measures. First, TGSD estimates are  
111 sensitive to both the spatial coverage and the number of individual GSDs measured (Bonadonna and  
112 Houghton 2005; Alfano et al. 2016; Pioli et al. 2019). This sensitivity can propagate as uncertainty in  
113 the outputs of dispersion models if TGSDs are used as input PSDs (Beckett et al. 2015). Secondly, most  
114 ash dispersion model PSDs describe a distribution of spherical particles (or particles with a fixed shape  
115 factor; Beckett et al. 2015; Saxby et al. 2018). Therefore, equating measured TGSDs directly to PSDs  
116 is not appropriate where particle shapes are not constant and ‘size’ measurements vary with particle  
117 shape and/or other physical properties such as density or refractive index.

118

119 An alternative to using TGSDs for ash dispersion modelling is to use PSDs that have been measured in  
120 situ from an active plume. In situ PSDs have been measured following aircraft encounters with ash  
121 clouds (Hobbs et al. 1991; Casadevall 1994; Pieri et al. 2002), by flying sampling devices through  
122 plumes (e.g. Johnson et al. 2012; Petäjä et al. 2012; Mori et al. 2016; Schellenberg et al. 2019), from  
123 satellite retrievals (e.g. Prata and Grant 2001; Bonadonna et al. 2011; Pavolonis et al. 2013; Gouhier et  
124 al. 2019) and using ground based sensors (Scollo et al. 2005; Bonadonna et al. 2011; Kozono et al.  
125 2019). However, in situ measurements are limited to a small number of modern eruptions and the range  
126 of grain sizes is never fully covered by one technique. Furthermore, how ‘size’ is quantified is not

127 consistent across ground-based or in situ techniques, which makes the combination and comparison of  
128 in situ PSDs and GSDs challenging (Bonadonna et al. 2011; Stevenson et al. 2015).

129

## 130 2.2. Grain size methods in volcanology

131

### 132 2.2.1. Coarse sieving

133

134 The GSD of coarse (>125  $\mu\text{m}$ ) unconsolidated tephra is typically measured by sieving. The tephra is  
135 passed through a series of nested sieves where the aperture size typically decreases on the logarithmic  
136  $\phi$  or Krumbein scale (Krumbein 1934) in whole- $\phi$ , half- $\phi$  or quarter- $\phi$  increments where

$$137 \quad \phi = -\log_2 d \quad (1)$$

138 and  $d$  is the length of the side of the square aperture in mm. The  $\phi$ -scale is widely used in sedimentology  
139 and volcanology instead of an arithmetic or linear scale to avoid emphasis of this mass-based measure  
140 on the coarse sediment (Blott and Pye 2001). Manual or mechanical shaking, with or without the  
141 addition of water, is used to segregate the tephra into the individual sieve fractions. The minimum  
142 particle “size” (diameter for a sphere) within a sieve fraction is equal to  $d$ . The GSD is then reported as  
143 the percentage of the analysed mass (weight percent) retained in each sieve fraction.

144

145 Sieving is a low cost and established method that is often the only available tool for measuring very  
146 coarse size fractions whilst in the field (Folk and Ward 1957; Walker 1971; Fairbridge and Bourgeois  
147 1978). However, sieving does have limitations. Firstly, the sieve size is only equal to the particle size  
148 for spheres. Anisotropic particle shapes mean that clasts do not always pass through the sieve mesh  
149 according to the same dimension. For example, flat or elongated particles can be sorted according to  
150 their largest or smallest dimension which can vary substantially (e.g. needle like particles in Katla SILK  
151 layers; Saxby et al. 2018, 2020). This means that sieving sorts by both size and shape (Komar and Cui  
152 1984). Agitation of delicate tephra when sieving can also lead to clast breakage and alteration of the  
153 GSD and particle shape during analysis (Cox et al. 2017) so the reproducibility of the GSD depends on  
154 the method and duration of agitation. The amount of material sieved affects the ease of GSD

155 measurement, particularly for coarse material where large quantities of material are required to ensure  
156 a representative aliquot (Swineford and Swineford 1946; Sarocchi et al. 2011; Román-Sierra et al.  
157 2013). Interpretations of GSDs produced by sieving also depend on the sieve interval. Ideally, sieve  
158 intervals should be quarter- or half- $\phi$ , because larger intervals present difficulties in computing  
159 statistics, especially for fractions  $>2$  mm ( $-1 \phi$ ; Hails et al. 1973). The grain size range typically covered  
160 by sieves is from  $\sim 125$  mm to  $20 \mu\text{m}$  (Table 1). However, sieving below  $\sim 125 \mu\text{m}$  is challenging as fine  
161 sieves are prone to overloading, and fine material can form coarser aggregates or loft when agitated  
162 meaning that the particles do not pass into the correct sieve fraction and can be lost. For this reason,  
163 other methods are preferred for measuring particles  $<125 \mu\text{m}$ .

164

#### 165 2.2.2. Particle sedimentation

166

167 An alternative method of grain size analysis uses rates of particle sedimentation; this method measures  
168 the velocities of particles settling in a fluid of known viscosity and density and can cover a wide range  
169 of particle sizes ( $\sim 50 - 5000 \mu\text{m}$ ; Table 1; Gibbs et al. 1971). From the measured settling velocities, the  
170 diameters of dense equivalent spheres that would have the same settling velocities are calculated using  
171 an empirical equation (Gibbs et al. 1971). A variant is the pipette method, which uses water as the fluid  
172 and has been used with volcanic tephra (Watanabe et al. 1999; Wiesner et al. 2004). Another  
173 sedimentation method is the Roller apparatus (Roller 1931; Riley et al. 2003), an air elutriation device  
174 that separates particles according to their settling velocities in air. As with sieving, however,  
175 sedimentation methods of grain size analysis indirectly measure the effects of grain shape, and  
176 specifically for these methods, variations in particle density (Sanford and Swift 1971; Komar and Cui  
177 1984; Beuselinck et al. 1998). Moreover, the settling behaviour of fine material ( $<125 \mu\text{m}$ ) is poorly  
178 described by existing settling laws because of aggregation, Brownian motion ( $<10 \mu\text{m}$ ) and complex  
179 flow and depositional regimes (Rose and Durant 2009; Brown et al. 2012; Engwell and Eychenne 2016;  
180 Saxby et al. 2018).

181

182 Table 1 – Summary of grain size methods discussed in this study with measurement range  
 183 and the assumptions required to quantify size.

Method name	Measurement range ( $\mu\text{m}$ )	Method assumptions	Size measure	Mass or volume distribution
Sieving	20 - 125000	Sieve aperture only equal to particle size if spherical	Diameter for spheres, minimum to intermediate dimension for non-spherical particles	M
Pipette method	50 - 5000	Constant density spheres	Equivalent settling velocity sphere diameter	V
Roller apparatus	1 - 100	Settling velocity classes of constant density spheres	Equivalent settling velocity sphere diameter	V
Laser diffraction (Mie theory)	0.01 - 3500	Spherical particles, constant refractive index	Volume equivalent sphere diameter	V
Laser diffraction (Fraunhofer approximation)	10 - 3500	Flat disc particles, particles only cause diffraction	Maximum width	V
Electrozone sensing (e.g. Coulter counter)	0.4 - 1600	Spherical particles	Volume equivalent sphere diameter	V
Image analysis (SEM)	~0.01 - 200	Conversion from 2D area to 3D volume	2D Miscellaneous	V
Image analysis (Morphologi)	0.5 - 1300	Conversion from 2D area to 3D volume	2D Miscellaneous	V
Image analysis (cryptotephra)	20 - 250	Conversion from 2D area to 3D volume, material <20 $\mu\text{m}$ removed	2D Miscellaneous	V
Radar disdrometer (e.g. PLUDIX)	1000 - 10000	Dense spherical particles	Volume equivalent sphere diameter	V
Laser disdrometer (e.g. Parsivel2)	200 - 25000	Dense spherical particles	Volume equivalent sphere diameter or maximum width	V
High resolution video	62 - 2000	Conversion from 2D area to 3D volume	2D Miscellaneous	V
Satellite infrared retrievals	~0 - 100	Spherical particles, constant refractive index	Volume equivalent sphere diameter	V
Dynamic image analysis (e.g. Camsizer X2)	0.8 - 8000	Conversion from 2D area to 3D volume	2D Miscellaneous	V

184

185 2.2.3. Laser diffraction

186

187 Laser diffraction is the most common method used in volcanology to characterise the GSD of fine  
188 material (e.g. Horwell 2007; Buckland et al. 2018; Genareau et al. 2019). The sample is dispersed in a  
189 liquid (commonly distilled water) to form a suspension that passes by three lasers with different  
190 wavelengths. The diffraction of the laser beams by the suspended particles is used to calculate particle  
191 size by inverting the measured scattering pattern. The GSD is then output as a volume distribution;  
192 combining laser diffraction data with sieve data thus requires estimates of particle density. This method  
193 is rapid (<2 mins per analysis) and instruments such as the Mastersizer 3000 by Malvern Panalytical  
194 (formerly Malvern Instruments Ltd) can measure a particle size range of 0.01 – 3500  $\mu\text{m}$  (Malvern  
195 Panalytical 2020). However, the mathematical model chosen to resolve the laser scattering can  
196 introduce errors. For example, Mie scattering theory assumes spherical particles and requires an  
197 assumption of refractive index. Tephra is very rarely close to spherical, however, and the refractive  
198 index is not routinely measured. Moreover, as tephra is commonly a mixture of crystals, lithics and  
199 glass, one refractive index will not be representative of the whole sample. An alternative mathematical  
200 model used to resolve the laser scattering is the Fraunhofer theory, which assumes particles are flat  
201 discs and that the particles only cause diffraction, thus it does not require an assumption of refractive  
202 index (Beuselinck et al. 1998; Cyr and Tagnit-Hamou 2001). However, the Fraunhofer approximation  
203 can overestimate the proportion of very fine particles (<10  $\mu\text{m}$ ) due to this simplification (Cyr and  
204 Tagnit-Hamou 2001).

205

#### 206 2.2.4. Electrozone sensing

207

208 Another method of characterising the GSD of fine material is electrozone sensing, or the Coulter counter  
209 method, which has a measurement range of ~0.4 – 1600  $\mu\text{m}$ . This requires that particles are suspended  
210 in an electrically conductive fluid. The suspended particles are counted as they pass through an aperture  
211 of known diameter which generates a pulse in electrical resistivity that is measured and related to an  
212 equivalent sphere diameter based on the calibration curve of the instrument (Figueiredo 2006). The  
213 resulting GSD can be output either as a number (particle count) or volume (converted from equivalent  
214 sphere diameter) distribution. This method has been used to measure the GSD of volcanic ash (e.g.

215 Sparks et al. 1983; Carey et al. 1988; Brand et al. 2016) and has the benefit of being non-optical and  
216 therefore not affected by variations in particle opacity or reflectivity. However, similar to particle  
217 sedimentation methods, both electrozone sensing and laser diffraction methods quantify size as an  
218 equivalent sphere diameter and provide no information about particle shape.

219

#### 220 2.2.5. Grain size analysis from image analysis

221

222 Image analysis is a flexible method for characterising the grain size and shape of coarse- and fine-  
223 grained materials. Here we focus on the application of image analysis to determine the GSD for fine-  
224 grained materials, but there are a number of studies that use image analysis to determine the GSD of  
225 coarse and consolidated volcanic material (e.g. Capaccioni et al. 1997; Sarocchi et al. 2011; Jutzeler et  
226 al. 2012). The grain size of fine ash can be characterised using scanning electron microscope (SEM)  
227 images, most commonly collected in secondary electron mode (SE; Riley et al. 2003; Horwell et al.  
228 2003; Coltelli et al. 2008; Liu et al. 2015). This method allows simultaneous classification of particle  
229 shape and componentry (lithic, glass or crystal). However, it can be time consuming due to the duration  
230 of image acquisition (fine material necessitates high resolution images), and the post-processing of the  
231 SEM images required to make them suitable for quantitative analysis. There is also an assumption of  
232 shape required to convert from 2D images to a GSD in terms of volume %.

233

234 Other image analysis methods use optical imagery. For example, the Morphologi G3 particle analyser  
235 by Malvern Panalytical scans and rapidly images particles that have been dispersed onto a glass plate;  
236 size and shape are measured using the built-in software. One constraint of this method for GSD  
237 determination, however, is that the sample must be sieved prior to analysis to ensure optimal particle  
238 dispersion and image resolution (Leibrandt and Le Pennec 2015; Buckland et al. 2018; Freret-Lorgeril  
239 et al. 2019). Studies of cryptotephra (non-visible tephra layers) also quantify grain size using optical  
240 imaging methods; size is typically measured along the longest particle axis (e.g., Palais et al. 1992;  
241 Zdanowicz et al. 1999; Stevenson et al. 2015). Here chemical and physical tephra extraction (Dugmore  
242 and Newton 1992; Cooper et al. 2019) is required before tephra shards are counted and imaged using

243 an optical microscope. However, part of the tephra extraction process involves removing very fine  
244 material by wet sieving ( $<20\ \mu\text{m}$ ) and only a small number of particles are measured ( $\sim 100$ ; Stevenson  
245 et al. 2015). These aspects of the sample handling, combined with the different size parameterisation,  
246 make cryptotephra GSDs difficult to compare with GSDs from other methods (Cashman and Rust  
247 2020).

248

#### 249 2.2.6. In situ methods

250

251 In situ methods of particle size analysis utilise a variety of the measurement principles used by  
252 laboratory methods such as diffraction and image analysis (Table 1). As with laboratory methods of  
253 size analysis, the grain size range and definition of size is unique to each in situ method and instrument.  
254 Ground-based radar systems such as the PLUDIX instrument (Scollo et al. 2005; Bonadonna et al.  
255 2011) measure the settling velocity of particles from  $\sim 1 - 10\ \text{mm}$ . The settling velocity is converted  
256 into a PSD by assuming spheres with variable densities (Bonadonna et al. 2011); thus size is quantified  
257 as an equivalent sphere diameter. Optical disdrometers, such as the Parsivel<sup>2</sup>, also quantify size as the  
258 volume equivalent sphere diameter according to the manufacturers specifications (Kozono et al. 2019;  
259 OTT 2020), however, studies of rainfall found that the measured size of non-spherical particles is closer  
260 to the maximum horizontal diameter (Table 1; Adachi et al. 2013). In situ high resolution 2D imaging  
261 of active tephra fall can measure particles from  $\sim 0.0625 - 2\ \text{mm}$ ; the images can be used to determine  
262 multiple size descriptors including minimum and maximum particle lengths and area equivalent  
263 measures (Miwa et al. 2020). Finally, the methods used to determine PSDs from satellite infrared  
264 measurements typically assume that the particles are dense spheres with a constant refractive index and  
265 that the scattering can be resolved using Mie theory; thus the ‘size’ reported refers to a sphere diameter  
266 (Wen and Rose 1994; Pavolonis et al. 2013; Kylling et al. 2014; Stevenson et al. 2015). Note that the  
267 term ‘effective radius’ that is used in remote sensing refers to a log-normal PSD that contains a range  
268 of particle sizes rather than a single particle size (Stevenson et al. 2015).

269

### 2.2.7. Grain size statistics

After the GSD of tephra has been measured using the techniques described above, the convention is to report GSD statistics that facilitate comparison with other distributions. The most common parameters reported for volcanic GSDs are based on the Inman (1952) or Folk and Ward (1957) graphical methods which determine the mean ( $\mu$ ), median (Md), standard deviation or sorting ( $\sigma$ ), skewness (Sk) and Kurtosis (K; Blott and Pye 2001). These methods were designed for grain size data on the  $\phi$ -scale and require very little data manipulation (Appendix A). This method, however, assumes that the GSD follows a log-normal distribution, in other words the GSD is normally distributed on the  $\phi$ -scale. Alternatively, the GSD can be described using a Weibull or Rosin-Rammler distribution (Rosin and Rammler 1933; Weibull 1951; Brown and Wohletz 1995) from which shape and scale parameters can be described (Appendix A). Log-normal and Weibull distributions can be fit as mixture models to account for the multimodal form of many volcanic GSDs (Appendix A; Eychenne et al. 2012, 2015; Costa et al. 2016; Pioli et al. 2019; Mele et al. 2020). The number and proportion of subpopulations provide additional parameters that can be compared between different samples and in some cases subpopulations can be related to distinct eruptive processes (e.g., Sheridan 1971; Eychenne et al. 2012, 2015).

Common themes found when reviewing grain size methodologies (Table 1) are the lack of quantified shape characterisation, the need to assume particle properties such as density and refractive index (sieving, sedimentation, laser diffraction and electrozone sensing), and slow data collection (SEM image analysis and the pre-analysis sample preparation required for any method). Furthermore, the amount of material analysed varies between methods. Notably, the Mastersizer 3000, Morphologi G3 and SEM image analysis use <10 mg of material per analysis, which can cause undercounting of large grains. Hence the rationale for developing approaches to particle size analysis that do not require assumptions of shape and the pertinence of methods that can measure multiple size parameters for non-spherical particles.

## 297 3. Methods

298

### 299 3.1. Instrumentation

300

301 Here we present a relatively new analytical approach to characterise the size and shape of tephra which  
302 addresses some of the limitations of other techniques. The protocol involves the CAMSIZER® X2  
303 (CX2), a particle analyser manufactured by Microtrac MRB (formerly Retsch Technology) that utilises  
304 dynamic image analysis (DIA; ISO 13322-2) to characterise the grain size of particulate materials.  
305 Castro and Andronico (2008) published a detailed INGV report outlining similar procedures using an  
306 earlier CAMSIZER model, although the CX2 model described in this study has capabilities to work  
307 with much finer material ( $>0.8\ \mu\text{m}$ ) thanks to the multiple particle dispersion modules.

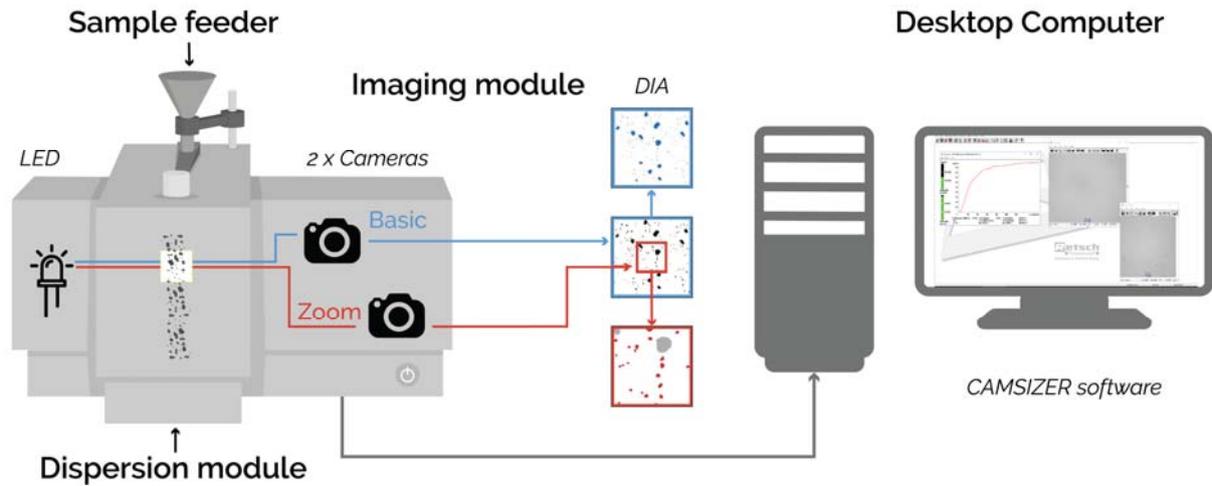
308

#### 309 3.1.1. Basic functions of the CX2

310

311 The CX2 is a compact particle analyser that consists of three key components: the sample feeder and  
312 particle dispersal module, the imaging module, and a desktop computer running the CX2 software (Fig.  
313 1). The DIA principle requires that particles are dispersed past the field of view of two high resolution  
314 digital cameras to image the moving particles that are back lit by an LED (Fig. 1). The combination of  
315 two cameras (one basic and one zoom) ensures that a range of particle sizes ( $0.8\ \mu\text{m} - 8\ \text{mm}$ ) can be  
316 imaged at an optimum resolution. These images are processed in real-time by the CX2 software to  
317 generate shape and size distributions and compute grain size statistics.

318



319  
320

321 Figure 1 – Modular set up of CAMSIZER® X2 modified from MicroTrac MRB (2020).

322

323 The particles are dispersed past the cameras' field of view by one of three mechanisms: wet dispersion  
 324 (X-wet), compressed air (X-jet) or as free-falling particles (X-fall). Each dispersion mechanism has an  
 325 optimum grain size range. The X-fall dispersion is best for coarse material (10  $\mu\text{m}$  to 8 mm), X-jet  
 326 covers 0.8  $\mu\text{m}$  – 5 mm and X-wet is suited to fine material (0.8  $\mu\text{m}$  – 1 mm). The choice between X-jet  
 327 and X-wet for fine material (0.8  $\mu\text{m}$  – 1 mm) depends on the maximum grain size and amount of  
 328 material available to be analysed. The X-wet uses only a very small amount (<10 mg) of material for  
 329 analysis so is best suited to volume-limited fine-grained samples. The choice of dispersion method for  
 330 coarse material (5 - 8 mm) depends on whether sample recovery is required, which is only possible for  
 331 the X-fall.

332

333 For every analysis, the CX2 requires a 'task file' (Castro and Andronico 2008) that informs the software  
 334 of the analytical conditions to use and allows the user to customise the data acquisition. For example,  
 335 particles with certain characteristics (e.g. related to size or shape parameters) can be excluded; this is  
 336 useful for eliminating contaminating fibres which have extreme values of shape parameters such as  
 337 compactness and convexity (Table 2). One important feature of the task file is whether a 'velocity  
 338 adaption' is required. When using the X-fall module (free falling particles), a correction is needed to  
 339 account for large particles falling faster than small particles under gravity, which causes them to be

340 undercounted as they remain in the field of view of the camera for less time. In contrast, the X-jet  
 341 dispersion mechanism requires the software to correct for small particles moving faster in the stream of  
 342 compressed air relative to large particles. The user generates the velocity adaption within the CX2  
 343 software by producing a calibration curve of particle size versus particle velocity. Best practise is to  
 344 produce a new velocity adaption for samples where there is a broad GSD, and for samples that have not  
 345 been analysed using the CX2 before (i.e. where there no pre-existing task file).

346

347 Table 2 – Size and shape parameters used by the CAMSIZER® X2 software

Notation or symbol	Name	Definition or formula	Alternative nomenclature
$A_P$	Area of particle		
$A_{CH}$	Area of bounding convex hull		
$U$	Perimeter		
$r_1$ and $r_2$	Particle radii	Minimum and maximum radii of a particle from the centre of the particle area	
$x_{area}$	Equivalent circle diameter	Diameter of the circle having the same projection area of the particle	
$x_{Fe}$	Feret diameter	The perpendicular distance between parallel tangents touching opposite sides of the profile	Length, caliper diameter
$x_{cmin}$	Chord diameter	Minimum width of the particle	Width, minimum rope
$x_{Ma}$	Martin diameter	Line bisecting the area of the particle	
SPHT	Sphericity	$\frac{4\pi A_P}{U^2}$	Form factor (Liu et al. 2015)
b/l	Aspect ratio	$\frac{x_{cmin}}{x_{Fe}max}$	Width to length ratio, axial ratio (Liu et al. 2015)
CVX	Convexity	$\frac{A_P}{A_{CH}}$	Solidity (Liu et al. 2015), roughness
CPT	Compactness	$\frac{\sqrt{4A_P}}{\pi}$	Roundness (Liu et al. 2015)
Symm	Symmetry	$\frac{1}{2} \left[ 1 + \min \left( \frac{r_1}{r_2} \right) \right]$	

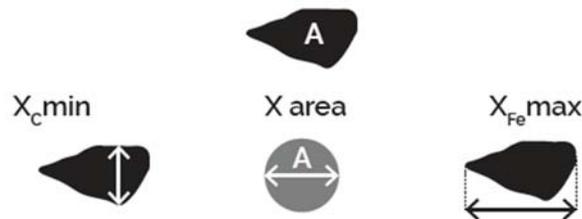
348

349

### 3.1.2. Principles of dynamic image analysis

351

352 The raw images captured by the basic and zoom cameras are converted to binary images (particle versus  
353 no particle). The size and shape of the particles in each image are measured by the CX2 software using  
354 an algorithm that combines the results from the basic and zoom cameras. Every particle above a  
355 minimum size threshold is measured, with the minimum size determined by the limit of image  
356 resolution or the limit set in the task file. The software has the capacity to measure 100's of millions of  
357 particles at >300 images per second, and can measure multiple size and shape parameters per particle  
358 (Table 2; MicroTrac MRB 2020). Three key size parameters are equivalent circle diameter ( $x_{area}$ ),  
359 minimum chord diameter ( $x_{c,min}$ ) and maximum Feret diameter ( $x_{Fe,max}$ ; Table 2; Fig. 2). These  
360 parameters are not identical for irregular particles and therefore yield different information about the  
361 particle distribution. Importantly, computing all three size parameters allows CX2 outputs to be  
362 compared with different grain size measurement methods. For example, laser diffraction using Mie  
363 theory outputs equivalent sphere diameters ( $\sim x_{area}$ ) while cryptotephra data report the long axis  
364 ( $x_{Fe,max}$ ) and the retaining sieve aperture should be greater than or equal to the minimum diameter of a  
365 particle ( $x_{c,min}$ ; Freret-Lorgeril et al. 2019).



366

367 Figure 2 – Schematic of three key size parameters;  $x_{c,min}$  the minimum chord diameter,  
368  $x_{area}$  the equivalent circle diameter and  $x_{Fe,max}$  the maximum Feret diameter.

369

370 To obtain a GSD using the CX2, the results of the 2D image analysis are converted to 3D by calculating  
371 an apparent volume per particle. The conversion from area to volume depends on the size parameters  
372 chosen. Using  $x_{area}$ , the conversion to volume assumes spherical particles, whereas using  $x_{Fe,max}$  and  
373  $x_{c,min}$  assumes ellipses where the long and short axes are represented by  $x_{Fe,max}$  and  $x_{c,min}$  respectively

374 (Castro and Andronico 2008). The data can be output as a GSD in terms of volume fraction or as a  
375 particle number distribution (PND; number of particles in each size fraction).

376

### 377 3.1.3. Post-processing and data analysis

378

379 The CX2 software has flexible data processing that allows adjustable binning of raw data (logarithmic  
380 or arithmetic). This means that there are no restrictions equivalent to those that arise from fixed sieve  
381 intervals. The software outputs the GSD as a probability density function and cumulative distribution  
382 function (PDF and CDF), and has customisable data visualisation options. The output of the CX2  
383 software is a ‘resource description framework’ file (.rdf), that can be output as a Microsoft Excel  
384 compatible file (.xle) for user-specific data processing and analysis. Images can also be saved.

385

386 Another useful feature in the CX2 software is the ‘particle wizard’ tool, which crops the saved images  
387 to allow visualisation of individual particles. This can be helpful for ensuring the task file has been  
388 designed correctly. For example, particles with specific shape and size characteristics can be displayed  
389 to confirm that contaminants (such as fibres) are identified and eliminated from the GSD. The particle  
390 wizard is also useful for qualitatively characterising particle shapes in different size fractions.

391

392 To facilitate flexible and reproducible data processing and visualisation, we analyse sample GSDs in  
393 Microsoft Excel and R. We output each GSD from the CX2 in two grain size bin configurations, one  
394 equivalent to a quarter- $\phi$  scale for compatibility with sieve data, and one on the linear scale with a bin  
395 width of 5  $\mu\text{m}$ . For all GSDs we compute the Folk and Ward (1957) graphical parameters of mean  
396 ( $\mu_{\text{FW}}$ ), standard deviation or sorting ( $\sigma_{\text{FW}}$ ), skewness ( $S_k$ ) and Kurtosis ( $K$ ). We also fit log-normal and  
397 Weibull distributions directly to the GSDs using the ‘fitdistrplus’ package in R (Delignette-Muller and  
398 Dutang 2015). Mixture models of log-normal and Weibull distributions were fit to multimodal GSDs  
399 using the ‘mixfit’ function from the ‘mixR’ R package (Yu 2018). The probability density functions,  
400 and distribution fitting methods are reported in Appendix A.

401

## 3.2. Test samples and method comparison

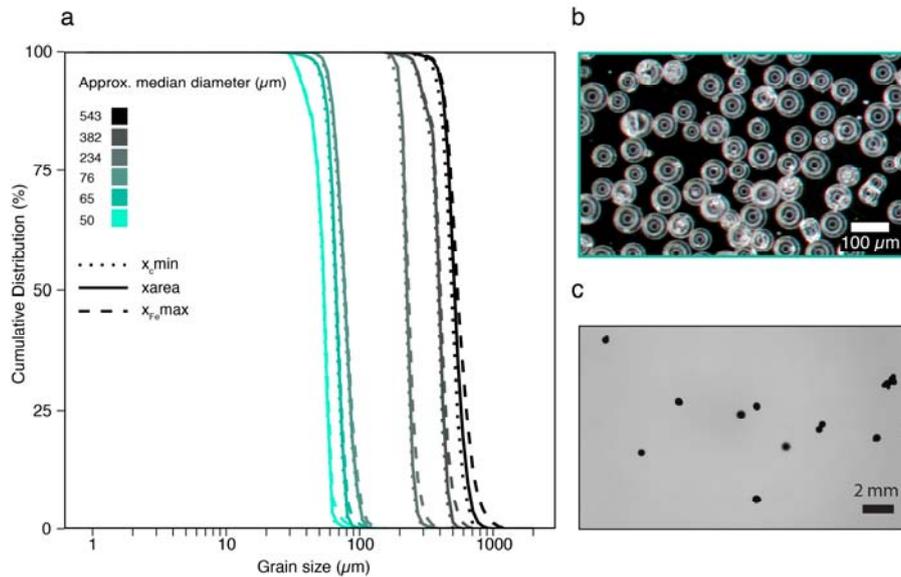
### 3.2.1. Sample preparation and data collection

To test the capabilities and performance of the CX2, we conducted a series of preliminary analyses with glass spheres (ballotine) and natural samples that had been characterised using other techniques. Prior to analysis, some sample preparation was required. To gauge the approximate size, the ballotine were dry sieved into 6 sieve fractions using disposable nylon sieve meshes to ensure no contamination: >500  $\mu\text{m}$ , 355 – 500  $\mu\text{m}$ , 100 – 250  $\mu\text{m}$ , 65 – 110  $\mu\text{m}$ , 50 – 65  $\mu\text{m}$  and 20 – 50  $\mu\text{m}$ . The natural samples include Mazama tephra (~ 7.7 ka eruption of Crater Lake, OR, USA) sampled at different distances from source (Buckland et al. 2020), hydromagmatic fallout samples from the Hverfjall Fires (~2.5 ka eruptive episode of Krafla Volcanic System, Iceland) sampled by Liu et al. (2017), Campanian Ignimbrite tephra (~39 ka eruption from Phlegrean Fields, Italy) sampled by Engwell et al. (2014), and tephra from the 1980 eruption of Mount St Helens (MSH), Washington, USA sampled via multiple sources (Meredith 2019). Some of the MSH samples are assumed to be equivalent to samples analysed by other authors (Sarna-Wojcicki et al. 1983; Durant et al. 2009) based on comparable sampling locations (Supplementary S1). The tephra was dried to eliminate particle cohesion (Castro and Andronico 2008) and dry sieved into half- $\phi$  intervals from 8 mm - 125  $\mu\text{m}$  (-3 to 3  $\phi$ ) where necessary. Further information on the natural samples can be found in the supplementary information.

### 3.2.2. Choice of size parameters

To explore the reliability of the different size parameters calculated by the CX2, we measured the ballotine sieve fractions using  $x_{\text{area}}$ ,  $x_{\text{Fe,max}}$  and  $x_{\text{c,min}}$  (Fig. 2). As expected, the choice of size parameter for the ballotine did not significantly alter the GSD in any sieve fraction (Fig. 3a) because  $x_{\text{area}}$ ,  $x_{\text{Fe,max}}$  and  $x_{\text{c,min}}$  are equal for spherical particles (equivalent to circular in 2D images; Fig. 3c). The near vertical cumulative distributions reflect the manufacturing of the ballotine to achieve similar sizes. There is a slight fine tail in two of the analyses (Fig. 3a) that could indicate imperfect sieving where the finer material hadn't fully segregated into the correct sieve fraction. The largest variability

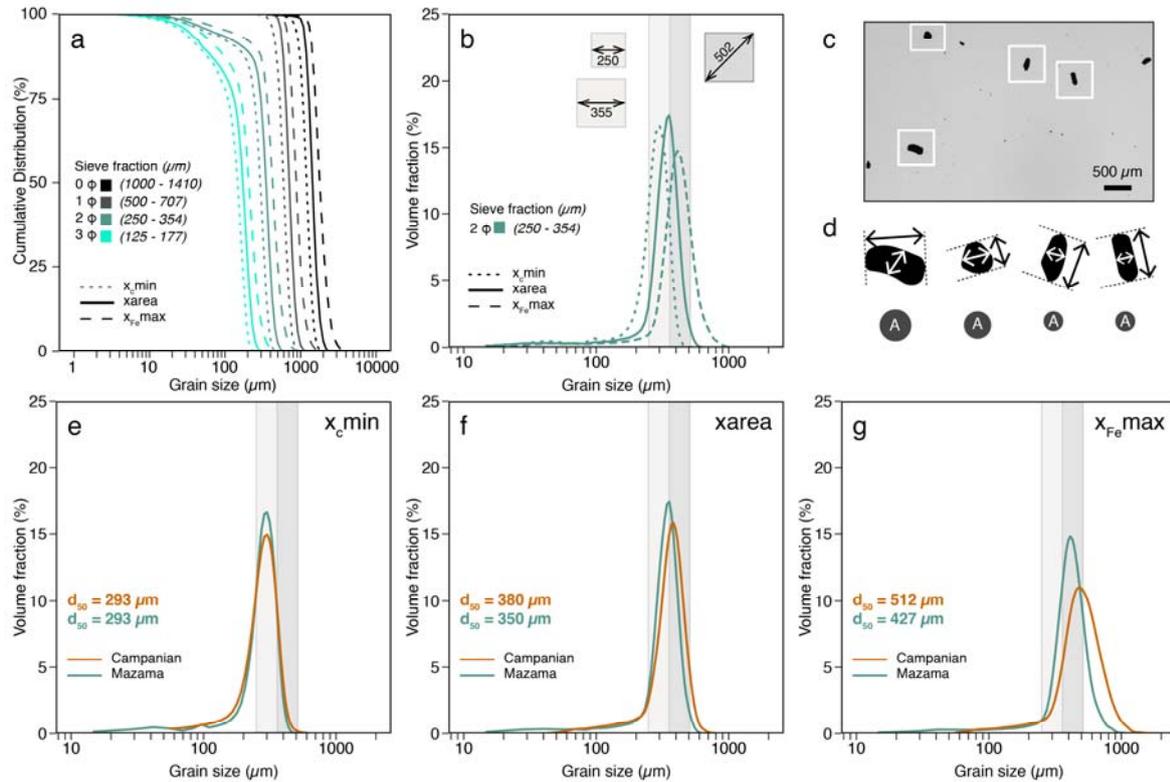
431 in size parameter is observed in the  $x_{Fe,max}$  data. This is attributed to the presence of slightly elongated  
 432 spheres which we observed with optical microscope images (Fig. 3b). Similarly, the coarsest sieve  
 433 fraction contained some irregular particles (Fig. 3c), which are likely a manufacturing fault.



434  
 435 Figure 3 – Comparing the size parameters for six ballotine size fractions. a) Cumulative  
 436 grain size distributions showing that the three size parameters (differentiated by the line  
 437 pattern) plot close to on top of each other for each size fraction (differentiated by the  
 438 line colour). b) Optical microscope image of the 65 – 110 μm sieve fraction. c) CX2  
 439 image from the DIA of the >500 μm sieve fraction.

440  
 441 We repeated this analysis on the sieved Mazama and Campanian samples to further explore the  
 442 sensitivity of GSDs to size parameter (Fig. 4). Irregular particles, such as volcanic tephra, have GSDs  
 443 that vary within a sieve fraction according to the size parameter. Parameter  $x_{c,min}$  is best suited for  
 444 combining sieve analysis and DIA (Fig. 4b) because the  $x_{c,min}$  GSD falls within the expected sieve  
 445 range according to sieve diameter  $d$ . Extending the sieve range so that the maximum grain size is equal  
 446 to the **hypotenuse** of the sieve aperture shows better agreement with the  $x_{area}$  GSD (Fig. 4b) consistent  
 447 with comparisons between optical image analysis (Morphologi GS3) and sieving (Freret-Lorgeril et al.  
 448 2019). In contrast, the coarse tail on the  $x_{Fe,max}$  GSD extends well beyond both sieve ranges, indicating  
 449 that elongated particles can pass through the sieves on their intermediate or short axes. The  $x_{area}$  and  
 450  $x_{Fe,max}$  distributions within a size fraction also vary between samples (Fig. 4). For example, the median  
 451  $x_{Fe,max}$  of the Campanian 2φ sieve fraction is 512 μm, compared to a median  $x_{Fe,max}$  of 427 μm for the

452 same sieve fraction of Mazama tephra. Similar to the ballotine analyses (Fig. 3), all GSDs have fine  
 453 tails below the sieve range signifying that fine material is often retained in coarse sieves due to imperfect  
 454 segregation as a result of the aggregation of fines or the adhesion of fine material to larger particles.



455  
 456 Figure 4 – Comparing different size parameters for natural sieved tephras. a) Cumulative  
 457 GSDs for Mazama tephra showing the three size parameters (differentiated by the line  
 458 pattern) for each half-φ sieve fraction (differentiated by the line colour). b) GSDs of the  
 459 2 φ (250-354 μm) sieve fraction for each size parameter. The light grey box indicates  
 460 the size range expected from sieving according to sieve diameter,  $d$ ; the dark grey box  
 461 extends this range to the length of the sieve aperture hypotenuse. c) CX2 image from the  
 462 DIA (using X-Jet) of the 2 φ sieve fraction of Mazama tephra. d) Binary images of  
 463 irregular Mazama tephra particles from c) with  $x_{Fe,max}$ ,  $x_{c,min}$  and  $x_{area}$  indicated. e-g)  
 464 Comparing GSDs within a sieve fraction according to the size parameter for the Mazama  
 465 and Campanian Ignimbrite tephras.

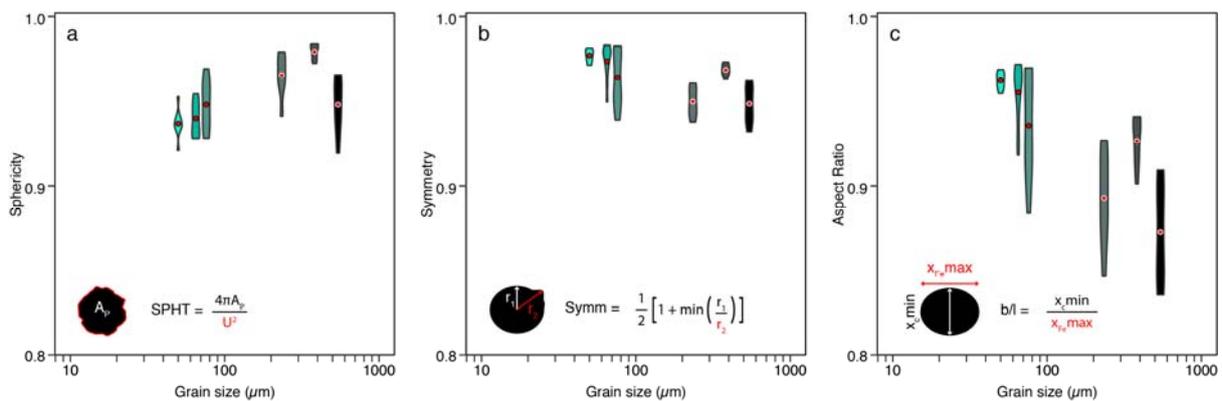
466  
 467 3.2.3. Shape parameters and distributions  
 468

469 The CX2 measures multiple shape parameters. Three shape parameters measured on the ballotine and  
 470 natural samples were sphericity (SPHT;  $\frac{4\pi A_P}{U^2}$ ), symmetry (Symm;  $\frac{1}{2} \left[ 1 + \min \left( \frac{r_1}{r_2} \right) \right]$ ) and aspect ratio

471 (b/l; Table 2; Fig. 5). For perfectly spherical particles these parameters should equal 1 for all grain sizes.  
 472 However, small imperfections and deviations from perfect spheres will reduce these shape parameters  
 473 to <1 and each has a different sensitivity. For example, the interpretation that the  $x_{Fe,max}$  results (Fig.  
 474 3) for the coarser ballotine contained a larger proportion of non-spherical particles is supported by the  
 475 lower values of both symmetry and aspect ratio (Figs. 5 b&c). In contrast, the coarsest ballotine has a  
 476 higher median SPHT than the finest ballotine and there is no significant change in the range of SPHT  
 477 values with grain size (Fig. 5a). SPHT (Fig. 5a) is particularly sensitive to particle perimeter so is lower  
 478 for particles with high surface roughness; the generally smooth perimeters of the ballotine thus suggests  
 479 that deviations from perfect spheres arise primarily from elongation and surface protrusions rather than  
 480 surface roughness (Fig. 3c).

481  
 482 Shape data are also susceptible to differences in image resolution, which becomes a problem when  
 483 samples span a wide size range (e.g. Saxby et al. 2020). For example, the high number of pixels per  
 484 particle for coarse particles could increase the particle perimeter measurement relative to the particle  
 485 area, which would artificially lower the SPHT. Nevertheless, our data on ballotine show little relation  
 486 between particle size and the SPHT (Fig. 5a) and we attribute the changes in Symm and b/l with grain  
 487 size to imperfections in the ballotine (Fig. 3) rather than differences in image resolution.

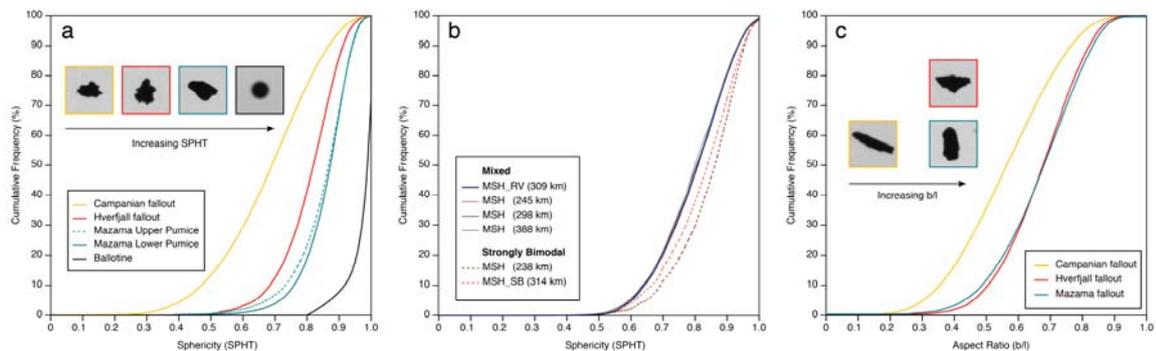
488



489  
 490 Figure 5 – Shape parameters indicating the deviation of ballotine from perfect spheres.  
 491 Violin plots show the distribution of the shape parameters for the median grain size. The  
 492 red dot corresponds to the mean value of the shape parameter. a) Sphericity (SPHT), b)  
 493 Symmetry (Symm) and c) Aspect ratio (b/l).

494

495 It is evident from the shape distributions measured for the natural tephra samples that the CX2 can be  
496 used to differentiate samples according to particle shape (Fig. 6). Compared to the ballotine, the  
497 distribution of SPHT values for the sieved Campanian Ignimbrite, Hverfjall and Mazama tephtras show  
498 a wide range of SPHT values as a result of the irregular particle morphology (Fig. 6a). The Mazama  
499 distribution shows the highest SPHT values as it contains a high proportion of free crystals with smooth  
500 surface textures compared to the basaltic Hverfjall and micro-pumice rich Campanian Ignimbrite  
501 tephtras (Fig. 6a). Interestingly, bimodal MSH samples display a different SPHT distribution relative to  
502 the unimodal samples (Fig. 6b); here bimodal samples have been interpreted to record particles  
503 produced by different phases of the eruption (Eychenne et al. 2015). The aspect ratio ( $b/l$ ), which  
504 reflects the elongation of particles, is lowest for the Campanian Ignimbrite tephtra but shows no real  
505 difference between the Hverfjall and Mazama tephtras (Fig. 6c).



506

507 Figure 6 – Cumulative shape distributions for ballotine and natural samples. a)  
508 Comparing SPHT for individual sieve fractions of ballotine and natural tephra samples.  
509 The 2.5  $\phi$  sieve fraction (180-250  $\mu\text{m}$ ) is shown for the natural samples, and the ballotine  
510 data is for the sieve fraction with 234  $\mu\text{m}$  median diameter. b) SPHT distributions for  
511 distal MSH samples. c) Comparing  $b/l$  distributions for the 2.5  $\phi$  sieve fraction of natural  
512 tephra samples.

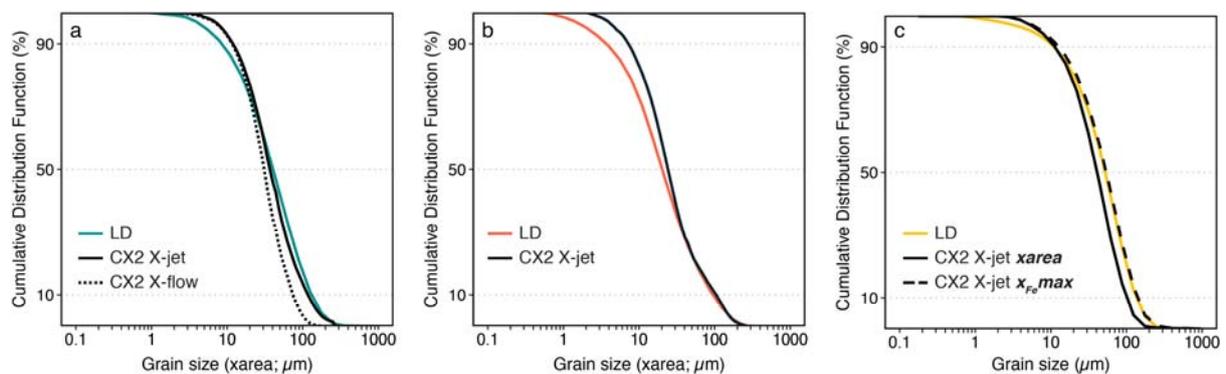
513

### 514 3.2.4. Comparison of CAMSIZER X2 results with other methods

515

516 The GSD of the natural samples has been previously characterised using a combination of sieving and  
517 laser diffraction (Mt. St. Helens, Durant et al. 2009; Campanian Ignimbrite, Engwell et al. 2014;

518 Hverfjall Fires, Liu et al. 2017; Mazama, Buckland et al. 2020). Here we compare the GSDs of fine-  
519 grained tephras measured using laser diffraction with GSDs measured using DIA with X-jet and X-flow  
520 dispersion mechanisms (Fig. 7). The laser diffraction GSDs have a broader fine-grained tail than the  
521 CX2 results (Fig. 7). For example, laser diffraction suggests that 10% of the volume of the MSH tephra  
522 is  $<4 \mu\text{m}$  compared to the X-jet GSD which suggests that 10% of the sample is  $<8 \mu\text{m}$  (Fig. 7b). X-jet  
523 and X-wet GSDs also differ slightly at the coarse end of the distribution with the X-flow distribution  
524 showing that  $<5\%$  of the Mazama tephra is coarser than  $100 \mu\text{m}$  while the laser diffraction and X-jet  
525 distributions show that  $>10\%$  of the sample is coarser than  $100 \mu\text{m}$  (Fig. 7a). We expect the xarea GSDs  
526 to be the most comparable to GSDs from laser diffraction if Mie scattering theory is used (Fig. 7a-b).  
527 The Campanian Ignimbrite GSD measured by laser diffraction used the Fraunhofer approximation and  
528 appears to be best matched by  $x_{Fe,max}$  in the CX2 GSD (Fig. 7c).  
529  
530 The differences at the  $<10 \mu\text{m}$  end of the scale between the CX2 and laser diffraction are due to the  
531 different minimum particle sizes measured by the instruments. Laser diffraction detects particles  $>0.01$   
532  $\mu\text{m}$ , whereas the lower size limit of the CX2 is  $0.8 \mu\text{m}$ . For very fine-grained material ( $<10 \mu\text{m}$ ) there  
533 are also some limitations of laser diffraction. For example, fine material can cause multiple scatterings  
534 of the laser beam, and some authors have attributed an overestimation of fine particles to the presence  
535 of non-spherical grains (Vriend and Prins 2005; Jonkers et al. 2009). The differences in the GSDs  $>100$   
536  $\mu\text{m}$  are likely the result of the amount of material analysed. The X-jet method analyses the largest  
537 quantity of material ( $>10 \text{ mg}$ ) which ensures representative sampling of the coarse particles unlike the  
538 wet dispersion methods which use  $<10 \text{ mg}$  of material.



539

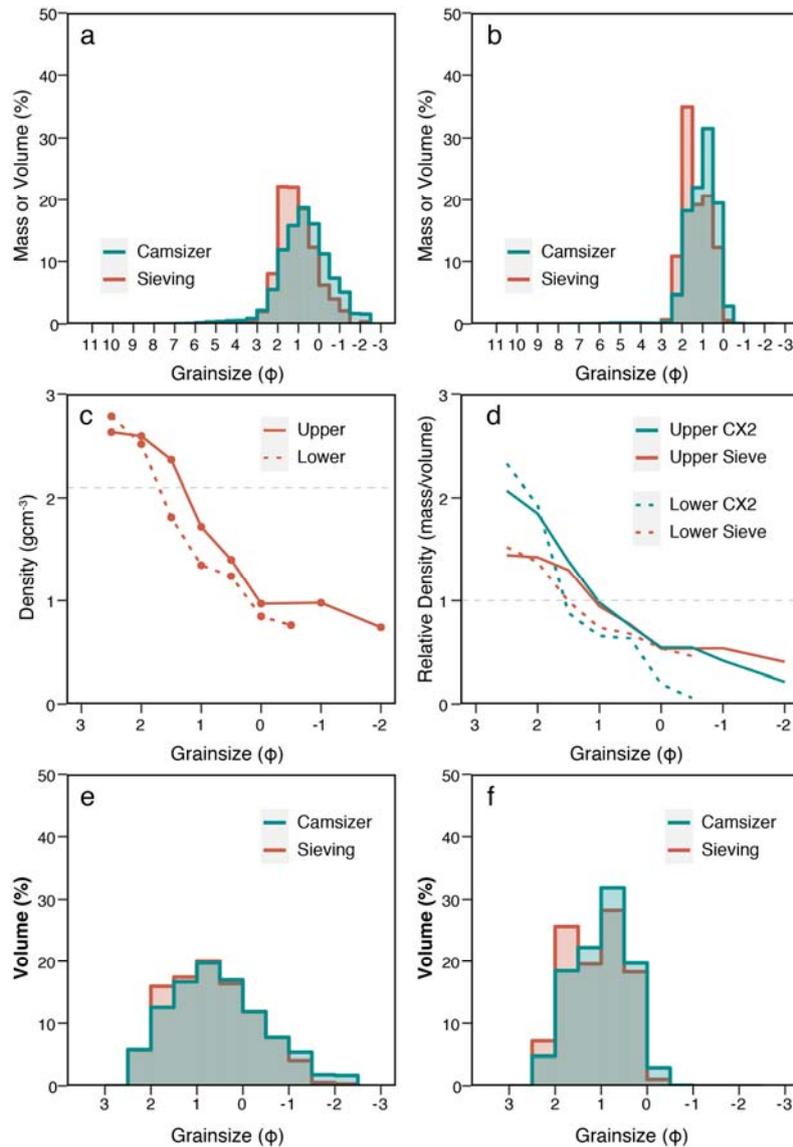
540 Figure 7 – Comparing GSDs from laser diffraction (LD) with CX2 GSDs for fine-grained  
541 distal tephras. a) GSDs for distal Mazama sample from site 73. b) GSDs for distal MSH  
542 sample. Laser diffraction analysis carried out on sample DAVIS11 by Durant et al.  
543 (2009), corresponding sample MSH\_RV analysed using CX2 for this study (see  
544 Supplementary S1). c) GSDs for ultra-distal Campanian Ignimbrite tephra. Laser  
545 diffraction analysis from Engwell et al. (2014) compared to X-jet GSDs according to  
546 size parameter.

547

548 For the coarser Mazama tephra, we compare GSDs measured using a combination of sieving and CX2  
549 (X-jet) with GSDs produced using the CX2 alone, where the X-fall ( $>125\ \mu\text{m}$ ) and X-jet analyses are  
550 combined ( $<125\ \mu\text{m}$ , Fig. 7). The sieving and CX2 data were combined using the overlap between the  
551 methods at  $125 - 250\ \mu\text{m}$  by assuming a constant density and therefore converting the volumetric size  
552 distribution (CX2) to a mass distribution (e.g. Eychenne et al. 2012). The X-fall and X-jet data were  
553 combined by weighting the coarse and fine distributions according to the mass percentage that was  
554 greater than and less than  $125\ \mu\text{m}$ . For the sake of comparison, all data were processed in half- $\phi$   
555 intervals to match the limits of data manipulation imposed by sieving.

556

557 The difference between the GSDs in Figure 8 results from the distinction between coarse GSDs that are  
558 quantified as weight percent (mass%; sieving & CX2) versus volume percent (vol%; CX2). The GSDs  
559 from sieving have a strong mode at  $2 - 1.5\ \phi$  ( $250 - 354\ \mu\text{m}$ ), which corresponds to the sieve fraction  
560 that contains a large proportion of dense phenocrysts (magnetite and pyroxene); this mode remains  
561 constant throughout the Mazama tephra section (upper and lower pumice fallout units, see  
562 Supplementary S1). The modes in the CX2-only distributions (Fig. 8) do not align with the GSDs from  
563 sieving because they are represented in terms of the vol% (rather than mass%) in each size class. This  
564 means that although the X-fall and X-jet analyses are combined by the relative mass%  $>$  and  $<125\ \mu\text{m}$ ,  
565 dense individual size fractions (crystal concentrations) do not manifest as the mode of the GSDs.



566

567 Figure 8 – Comparing GSDs of Mazama tephra measured by sieving & CX2, with CX2  
 568 alone. All samples are from a fallout section located at site 46 ~120 km from source (see  
 569 supplementary information S1). a) Sample from the upper pumice unit, b) sample from  
 570 the lower pumice unit. c) Measured densities ( $\text{gcm}^{-3}$ ) of individual sieve fractions for the  
 571 upper and lower unit with the dashed line indicating the density of Mazama glass  $\sim 2.1$   
 572  $\text{gcm}^{-3}$ . d) The relative density of half- $\phi$  sieve fractions calculated using the sieve data  
 573 (red) and the CX2 & sieve data (blue). e) and f) Comparing the volume distributions  
 574 measured using the CX2 with calculated volume distributions from sieve data for the  
 575 upper (e) and lower (f) units between 2.5 and -2.5  $\phi$ .

576

## 577 4. Results

578

579 The method development and testing (section 3) shows that the CX2 provides an appropriate analytical  
580 protocol for characterising the grain size and morphology of volcanic tephra. Here we explore the  
581 unique capabilities of DIA for determining accurate GSDs of samples with non-uniform density  
582 distributions and then examine the sensitivity of grain size statistics to the choice of size parameter and  
583 method of grain size measurement.

584

#### 585 4.1. Non-uniform density distributions

586

587 The CX2 and sieve analyses of the coarse Mazama tephra (Fig. 8) differ because of the non-uniform  
588 density of the pyroclasts across the GSD (Fig. 8), in contrast to parallel sieve and CX2 analyses of  
589 natural tephtras with less significant changes in clast density that show similar GSDs when quantified  
590 by either mass or volume (Supplementary S2). This contrast suggests we can use simultaneous  
591 measurements of GSDs by mass and volume to invert for density distributions.

592

593 To obtain independent measurements of density, we used a water pycnometer (e.g. Eychenne and Le  
594 Pennec 2012; Liu et al. 2017) to analyse the -2 and 2.5  $\phi$  sieved size fractions of Mazama samples from  
595 the upper and lower pumice units (Fig. 8c). These data show the expected increase in particle density  
596 with decreasing size (Bonadonna and Phillips 2003; Eychenne and Le Pennec 2012) and highlight the  
597 high density ( $\rho \sim 2.6 \text{ gcm}^{-3}$ ) of the 2 and 2.5  $\phi$  sieve fractions where pyroxene and magnetite crystals  
598 are concentrated, a density that greatly exceeds that of the matrix glass ( $\sim 2.1 \text{ gcm}^{-3}$ ). We used the sieved  
599 mass and measured density of each size class to calculate a volume-based GSD to compare with the  
600 CX2 GSD (Fig. 8e-f). This comparison shows that relative to the sieve data, the CX2 underestimates  
601 the volume in the densest sieve fraction (2.5  $\phi$ ) and overestimates the volume of the coarse pumice  
602 clasts. This is reflected in the values of relative density calculated by dividing the mass % by the volume  
603 % in each class using both the sieve data and CX2 data (Fig. 8d). Importantly, whilst the resulting  
604 absolute values of relative density are incorrect, the relative density profiles derived from the CX2 data  
605 do emphasize the dense crystal rich grain size fractions (3 – 1.5  $\phi$ ) relative to the coarse low-density

606 pumice clasts ( $<1.5 \phi$ ), suggesting that a direct comparison of mass and volume provides important  
607 information about the particle population.

608

#### 609 4.2. Grain size distribution statistics

610

611 Grain size statistics provide a way to quantitatively compare GSDs that arise from different  
612 measurement methods. For example, the Folk and Ward (FW;1957) mean grain size ( $\mu_{FW}$ ) calculated  
613 for the Mazama upper pumice is  $1.07 \phi$  ( $476 \mu\text{m}$ ) for sieve data compared to  $0.38 \phi$  ( $768 \mu\text{m}$ ) for the  
614 CX2 GSD (Table 3). Similarly, for fine-grained Mazama samples (Fig. 7),  $\mu_{FW}$  varies from  $4.73 - 5.38$   
615  $\phi$  ( $38 - 24 \mu\text{m}$ ) depending on the size parameter ( $x_{cmin}$  or  $x_{area}$ ) and method of grain size analysis  
616 used (laser diffraction or CX2; Table 3). The FW sorting ( $\sigma_{FW}$ ; measure of spread) and skewness (Sk;  
617 measure of symmetry) also depend on the method used (Table 2). For example, the Sk of the lower  
618 pumice is  $-0.20$  when measured by sieving but  $+0.15$  when measured with the CX2. This difference  
619 affects the qualitative classification from finely skewed (sieving) to coarsely skewed (CX2; Table A1).  
620 Another important parameter is the proportion of fine ( $<125 \mu\text{m}$ ) and very fine ( $<15 \mu\text{m}$ ) ash. Here the  
621 proportion of very fine ash ( $<15 \mu\text{m}$ ) in sample MZ73 ranges from 16 % ( $x_{area}$ ; X-jet) to 26% ( $x_{cmin}$ ;  
622 X-wet) of the total volume.

623

624 The statistics and interpretation of multimodal GSDs are similarly sensitive to the method used to  
625 characterise the distribution (Fig. 9; Table 4). The distal MSH ash has previously been shown to contain  
626 at least two grain size sub-populations (Durant et al. 2009; Eychenne et al. 2015). Deconvolution of  
627 GSDs into subpopulations, however, is sensitive to both differences in the starting GSD and the  
628 distribution chosen (log-normal or Weibull; Appendix A), as illustrated by PDFs deconvolved for the  
629 laser diffraction GSD compared to the CX2 GSD. When the number of log-normal subpopulations is  
630 fixed at 2, the laser diffraction GSD (Fig. 9a) is resolved into distributions with means of  $9.23 \phi$  ( $2 \mu\text{m}$ )  
631 and  $5.47 \phi$  ( $26 \mu\text{m}$ ). The same fitting algorithm applied to the CX2 GSD resolves two sub-populations  
632 with means of  $\sim 5.6$  and  $3.0 \phi$  ( $21$  and  $125 \mu\text{m}$ ) respectively (Fig. 9b; Table 4). This comparison shows

633 that two samples from the same deposit, taken from the same location, can have GSDs that can be  
 634 interpreted differently simply because of measurement method.

635

636 It is well known that grain size statistics are sensitive to the bin size. To explore this sensitivity we  
 637 processed the data in multiple bin configurations (Table 4). We find that fitting of unimodal and bimodal  
 638 distributions is not strongly affected by the type of binning used, particularly when working with fine-  
 639 grained material (e.g., Fig. 9f). However, coarse bins are still problematic for particles  $>500 \mu\text{m}$  when  
 640 using the  $\phi$ -scale as this translates into a wide range on the linear scale and poor resolution of the  
 641 distribution within the sieve intervals (Hails et al. 1973). Similarly, coarse linear binning ( $>5 \mu\text{m}$ ) can  
 642 obscure the GSD in the fine grain sizes and places too much emphasis on the coarse particles (Blott and  
 643 Pye 2001).

644

645 Table 3 – Grain size statistics calculated for different methods of grain size analysis.

Sample	Method	Binning	$\mu_{\text{FW}}$ ( $\phi$ )	$\sigma_{\text{FW}}$ ( $\phi$ )	$\text{Sk}_{\text{FW}}$	$<125 \mu\text{m}$ (%)	$< 15 \mu\text{m}$ (%)
MZ46 Upper Pumice (Fig. 8a)	Sieving & CX2	$1/2 \phi$	1.07	0.92	-0.15 ( <i>coarse skewed</i> )	2.4	0.2
	CX2 ( <i>x.min</i> )	$1/2 \phi$	0.66	1.15	-0.04 ( <i>symmetrical</i> )	2.4	0.2
MZ46 Lower Pumice (Fig. 8b)	Sieving & CX2	$1/2 \phi$	1.32	0.67	-0.20 ( <i>coarse skewed</i> )	0.82	0.01
	CX2 ( <i>x.min</i> )	$1/2 \phi$	1.00	0.65	0.13 ( <i>fine skewed</i> )	0.84	0.01
MZ73 (Fig. 7b)	CX2 X-jet ( <i>x.min</i> )	$1/4 \phi$	5.11	1.24	-0.01 ( <i>symmetrical</i> )	95	23
	CX2 X-jet ( <i>x.area</i> )	$1/4 \phi$	4.74	1.27	-0.01 ( <i>symmetrical</i> )	91	16
	CX2 X-wet ( <i>x.min</i> )	$1/4 \phi$	5.38	1.01	0.06 ( <i>symmetrical</i> )	99	26
	CX2 X-wet ( <i>x.area</i> )	$1/4 \phi$	5.03	1.04	0.05 ( <i>symmetrical</i> )	99	17

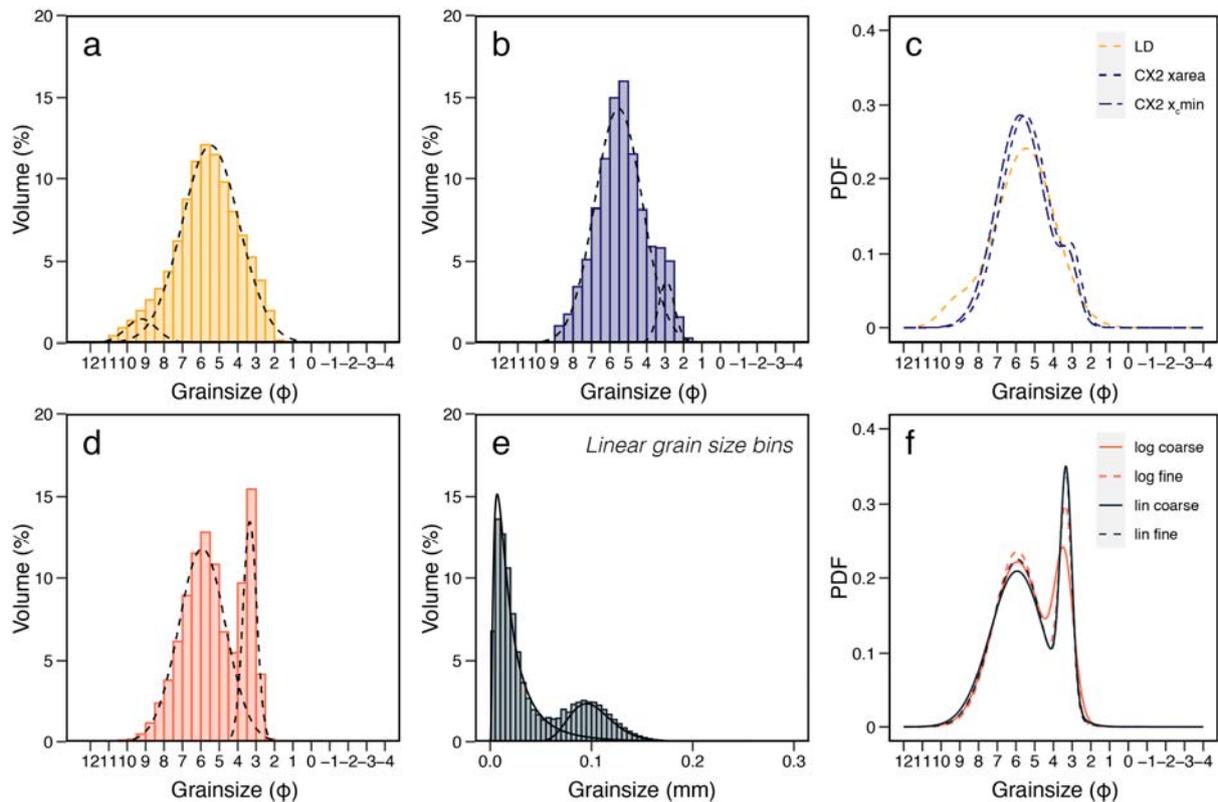
LD  $\frac{1}{2} \phi$  4.73 1.53 0.139 89 20  
*(xarea)*

646 \*FW = Folk and Ward (1957) graphical method of calculating GSD statistics.

647  
 648 Table 4 – Main parameters of bi-modal MSH samples calculated using different methods  
 649 of grain size analysis and different bin configurations.  $\mu_{\phi 1}$ ,  $\mu_{\phi 2}$  = log-mean,  $\sigma_{\phi 1}$ ,  $\sigma_{\phi 2}$  =  
 650 log-standard deviation,  $p_1$ ,  $p_2$  = proportion of the total GSD of the fine- and coarse-  
 651 grained subpopulations respectively.

Sample	Method	Binning	$\mu_{\phi 1}$	$\mu_{\phi 2}$	$\sigma_{\phi 1}$	$\sigma_{\phi 2}$	$p_1$	$p_2$
DAVIS11*	LD	$\frac{1}{2} \phi$	9.23	5.47	0.83	1.56	0.06	0.94
MSH_RV	CX2 <i>(xarea)</i>	$\frac{1}{2} \phi$	5.53	2.92	1.28	0.43	0.92	0.08
	CX2 <i>(x<sub>min</sub>)</i>	$\frac{1}{2} \phi$	5.76	3.13	1.28	0.46	0.92	0.08
MSH_SB	CX2 <i>(x<sub>min</sub>)</i>	1 $\phi$	5.92	3.42	1.35	0.50	0.75	0.25
		$\frac{1}{2} \phi$	5.93	3.34	1.27	0.37	0.75	0.25
		$\frac{1}{4} \phi$	5.93	3.34	1.25	0.32	0.74	0.26
		1 $\mu\text{m}$	5.94	3.36	1.25	0.32	0.75	0.25
		5 $\mu\text{m}$	5.92	3.33	1.35	0.31	0.76	0.24
		10 $\mu\text{m}$	5.94	3.32	1.45	0.31	0.76	0.24

652 \* DAVIS 11 sample was sampled very close to MSH\_SB (Sarna-Wojcicki et al. 1981;  
 653 Durant et al. 2009; Meredith 2019)  
 654



655

656 Figure 9 – Bimodal log-normal distributions fit to MSH GSDs. a) GSD of DAVIS11  
 657 measured with laser diffraction (Durant et al. 2009) fit with two log-normal  
 658 subpopulations. b) GSD of MSH\_RV measured by X-jet (xarea) fit with two log-normal  
 659 subpopulations. c) Comparison between bimodal distributions according to method and  
 660 CX2 size parameter. d) GSD of MSH\_SB sample in half- $\phi$  bins with two log-normal  
 661 subpopulations. e) GSD of MSH\_SB sample binned on the linear scale (5  $\mu\text{m}$ ) fitted with  
 662 two log-normal subpopulations. f) Comparison between distributions fit on the  $\phi$  and  
 663 linear scales, as well as coarse (full  $\phi$ ; 10  $\mu\text{m}$ ) and fine bins (half  $\phi$ ; 5  $\mu\text{m}$ ).

664

## 665 5. Discussion

666

667 The CX2 is a valuable tool for simultaneously analysing the size and shape of non-spherical particles,  
 668 such as tephra, thanks to the dynamic image analysis (DIA) principle. Here we discuss some of the  
 669 benefits of DIA relative to more widely used methods of grain size analysis (see section 2). We also  
 670 consider the limitations of grain size analysis methods, in particular, for studying ultra-fine (<10  $\mu\text{m}$ )

671 particles. Finally, we discuss the implications of different grain size methods for using and interpreting  
672 grain size data for the purposes of studying explosive volcanism.

673

### 674 5.1. Appraisal of dynamic image analysis for measuring non-spherical particles

675

676 DIA facilitates rapid and simultaneous quantification of the size and shape of volcanic tephra whilst  
677 other particle analysis techniques compromise on either particle shape information or analysis time. For  
678 example, laser diffraction contains no shape information but is fast, whereas SEM image analysis  
679 provides excellent particle shape data but requires time consuming image processing as well as  
680 assumptions about particle size. Specifically, the CX2 has the added benefit of measuring multiple size  
681 descriptors (Figs. 2 - 4). Not only does this supplement shape parameterisation, but it can help explain  
682 some of the grain size anomalies described in the literature. For example, the large grains reported in  
683 cryptotephra studies (Stevenson et al. 2015; Saxby et al. 2019) are quantified according to their  $x_{Fe,max}$   
684 size. Our data show that the  $x_{Fe,max}$  grain sizes within individual sieve classes can extend beyond the  
685 range predicted by the size aperture (Fig. 4). In other words, sieve data can mask extreme particle sizes  
686 if you assume the maximum particle size is equal to the passing sieve aperture. Furthermore, we have  
687 confirmed that sieving sorts by both particle size and shape and that the range of  $x_{Fe,max}$  within a sieve  
688 fraction can be extreme for elongated and flat particles such as the Campanian Ignimbrite tephra (Fig.  
689 4g).

690

691 Collection of multiple size parameters makes CX2-derived GSDs compatible and comparable with a  
692 range of other widely used grain size measurement methods. The  $x_{c,min}$  parameter closely matches the  
693 expected sieve range (Fig. 4), meaning that there is limited data loss and manipulation required to  
694 combine coarse and fine-grained methods. Laser diffraction (LD) estimates  $x_{area}$  when using Mie  
695 theory and  $x_{Fe,max}$  when using the Fraunhofer approximation. Aside from differences of  $<10 \mu m$ , we  
696 find that CX2 and LD GSDs are comparable, which is advantageous for comparisons with established  
697 grain size datasets (e.g. Durant et al. 2009; Engwell et al. 2014; Liu et al. 2017).

698

699 An additional benefit of DIA is that it quantifies GSDs in terms of volume percent, such that coarse  
700 (>125  $\mu\text{m}$ ) GSDs measured using DIA are compatible with other volume-based methods of grain size  
701 analysis (laser diffraction, image analysis). This means there is no need to convert between volume and  
702 mass which requires an assumption of sample density. Furthermore, using DIA analysis in parallel with  
703 sieve analysis shows that mass-based GSDs can be influenced by dense grain size fractions that arise  
704 from crystal concentrations (Fig. 8). Whilst the relative density distributions calculated from the parallel  
705 sieve and CX2 analyses cannot be used quantitatively (Fig. 8d), this approach provides a fast way to  
706 qualitatively investigate changes in particle density and it clearly highlights the crystal concentration in  
707 the 2-3  $\phi$  size range and can be used to identify size classes that require direct density measurements,  
708 which are more accurate but time consuming.

709

710 Although DIA has clear advantages for characterising tephra it also has limitations. Firstly, the  
711 minimum grain size measured by the CX2 is 0.8  $\mu\text{m}$ , which is coarser than laser diffraction techniques  
712 (e.g. the Mastersizer 3000 minimum size is 0.01  $\mu\text{m}$ ). Sub-micron and nano scale particles are important  
713 for understanding satellite retrievals of volcanic ash in the atmosphere (e.g. Prata 1989; Muñoz et al.  
714 2004; Prata and Prata 2012; Miffre et al. 2012), the health impacts of volcanic ash (Horwell and Baxter  
715 2006; Horwell 2007), the electrification of volcanic plumes (e.g. James et al. 2000; Miura et al. 2002;  
716 Cimarelli et al. 2014) and the meteorological (Durant et al. 2008; Gibbs et al. 2015) and climactic effects  
717 of volcanic eruptions (Rampino and Self 1993; Darteville et al. 2002). As the proportion of particles  
718 <0.8  $\mu\text{m}$  cannot be determined with the CX2, characterisation of the ultra-fine GSD is incomplete.

719

720 The minimum grain size and image resolution limits of the CX2 also have consequences for the shape  
721 measurements. As the DIA approaches the limit of image resolution, the edge detection for particles  
722 will be increasingly affected by image pixilation. This could lead to over smoothed or imprecise particle  
723 perimeters, which will be particularly significant for shape parameters that include particle perimeter  
724 (e.g. SPHT; Fig. 5; Liu et al. 2015). Additionally, the shape parameter formulae are not always  
725 consistent with other studies, for example, the convexity formulation used by the CX2 software is the  
726 equivalent of the 'solidity' parameter used by Cioni et al. (2014) and Liu et al. (2015). The CX2 is also

727 limited to 2D shape characterisation whereas some studies of volcanic ash compute 3D shape  
728 parameters (e.g. sphericity; Ganser 1993; Dioguardi et al. 2017; Saxby et al. 2018). Whilst it is common  
729 that shape parameters have different definitions and formulations, it is not possible to modify the shape  
730 parameter formulations in the CX2 software, meaning that not all shape parameters and formulations  
731 can be computed and compared with other shape studies (e.g. Riley et al. 2003; Liu et al. 2015;  
732 Leibrandt and Le Penneec 2015).

733

## 734 5.2. Significance of comprehensive grain size characterisation

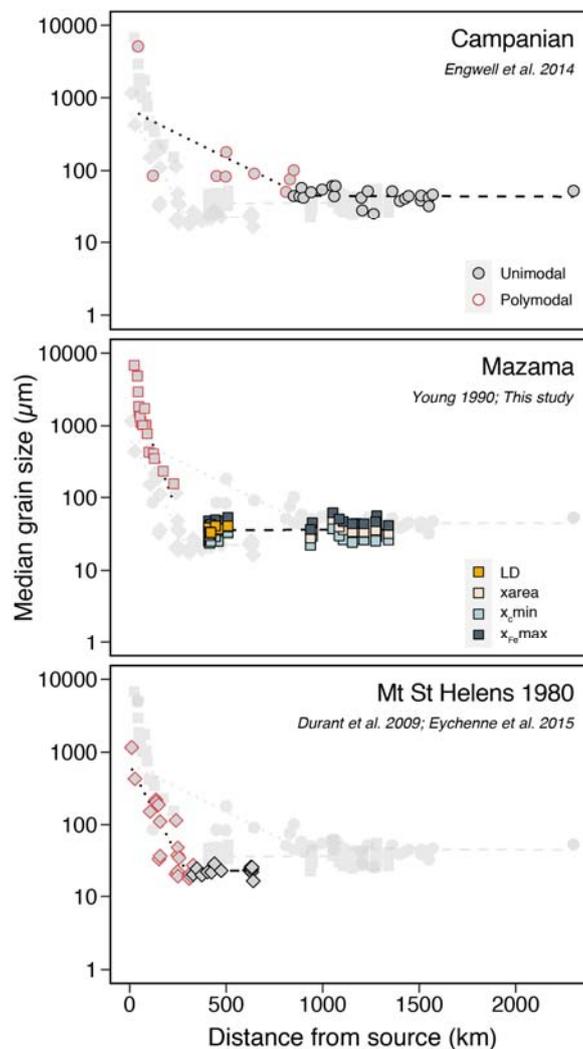
735

736 DIA is a valuable method for scrutinising the size and shape of distal ash samples simultaneously. The  
737 median grain size of distal ash deposits is known to stabilise at large distances from source (Fig.10;  
738 Engwell et al. 2014; Engwell and Eycheenne 2016; Cashman and Rust 2020). The transition to the stable  
739 distal grain size occurs when the sedimentation of particles is no longer governed by Stokes law  
740 (Engwell and Eycheenne 2016). However, analysis of distal MSH, Mazama and Campanian Ignimbrite  
741 ash shows that the median grain size of distal ash is not uniform across different eruptions meaning  
742 particle 'size' alone cannot explain this phenomenon (Fig. 10). We propose that differences in how  
743 particle size is quantified can partly explain the dissimilar distal grain sizes. For example, the laser  
744 diffraction method used to measure the GSD of the Campanian Ignimbrite tephra (Fraunhofer theory;  
745 Engwell et al. 2014) produces the equivalent of an  $x_{Fe,max}$  distribution (particle long axis), which may  
746 explain the apparent coarse distal grain size when compared to GSDs quantified as  $x_{c,min}$  (sieving) or  
747  $x_{area}$  (laser diffraction using Mie theory).

748

749 Another disparity in how size is quantified exists between the inputs used by ash dispersion models and  
750 how we measure physical ash samples. Particle size distributions (PSDs) used by ash dispersion models  
751 are specified in terms of equivalent volume sphere diameter ( $D_v$ ; Beckett et al. 2015; Saxby et al. 2018).  
752 Saxby et al. (2020) used 3D data of ash volumes to demonstrate the divergence between volume-  
753 equivalent sphere diameters and long axis ( $x_{Fe,max}$ ) measurements that result from extreme ash  
754 morphologies (Fig. 11). If we assume an average shard thickness of  $\sim 10 \mu m$ , the difference between

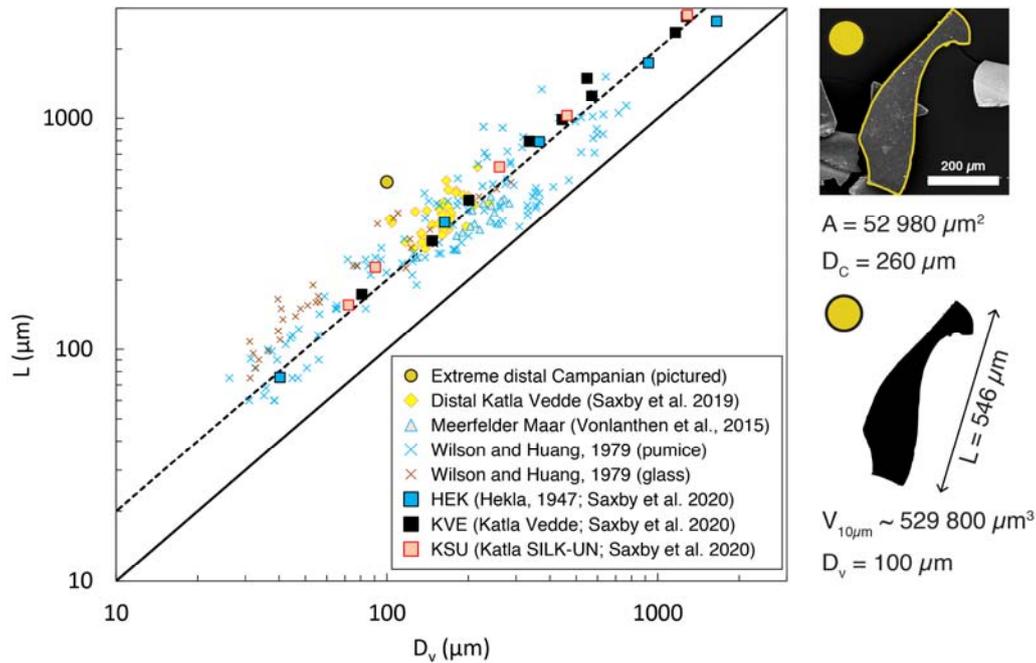
755 the maximum length ( $L$  or  $x_{Fe,max}$ ) and equivalent sphere diameter of a Campanian Ignimbrite ash shard  
 756 ( $D_V$ ) is more than 5-fold (Fig. 11). Similarly, to quantify particle size as an equivalent volume sphere  
 757 diameter, 2D image analysis techniques assume that the equivalent area circle diameter ( $x_{area}$ ) can be  
 758 converted directly to  $D_V$ , although the relation between  $x_{area}$  and  $D_V$  varies with the 3D shape.  
 759



760

761 Figure 10 – Grain size of distal tephra with distance from source. a) Campanian tephra  
 762 with grain size distributions and sub-populations from Engwell et al. (2014). b) Mazama  
 763 tephra with data from Young (1990), Buckland et al. 2020 and this study. c) Mount St  
 764 Helens 1980 data from Durant et al. (2009) and deconvolution by Engwell et al. (2015).

765



766

767 Figure 11 – Volume-equivalent sphere diameter  $D_v$  vs long axis length  $L$  with example  
 768 extreme Campanian Ignimbrite ash shard (circle symbol).  $A$  is the 2D area of the particle  
 769 and  $D_c$  is the equivalent circle diameter. Square symbols show means (from X-ray CT  
 770 data, Saxby et al. 2020); diamond symbols are from optical measurements (Saxby et al.  
 771 2019) and all other symbols are individual particle measurements collated in Saxby et  
 772 al. (2020). Solid line:  $y=x$ , dashed line:  $y=2x$ . The SE image (top right) and binary image  
 773 (bottom right) illustrate how the long axis ( $L$ ) and equivalent circle diameter ( $D_c$ ) is  
 774 determined from 2D image analysis.

775

776 Another explanation for the coarse grain size of the distal Campanian Ignimbrite and Mazama samples  
 777 relative to the MSH distal tephra is related to the influence of particle shape (Fig. 12). Non-spherical  
 778 particles have higher drag coefficients and lower settling velocities than volume-equivalent spherical  
 779 particles (Mele et al. 2011; Dioguardi et al. 2017; Saxby et al. 2018, 2019). The distal Campanian  
 780 Ignimbrite ash has the lowest range of SPHT distributions reflecting the high proportion of glass shards  
 781 and plates (Fig. 12b). The MSH 1980 tephra on the other hand, has higher overall SPHT and the  
 782 particles appear closer to ellipses (Fig. 12d). Therefore, it is likely that the differences in the medial  
 783 distal grain sizes (Fig. 11) are a result of the combination in different parameterisations of size and the  
 784 impact of particle shape on terminal settling velocities. It is also possible that the differences in distal

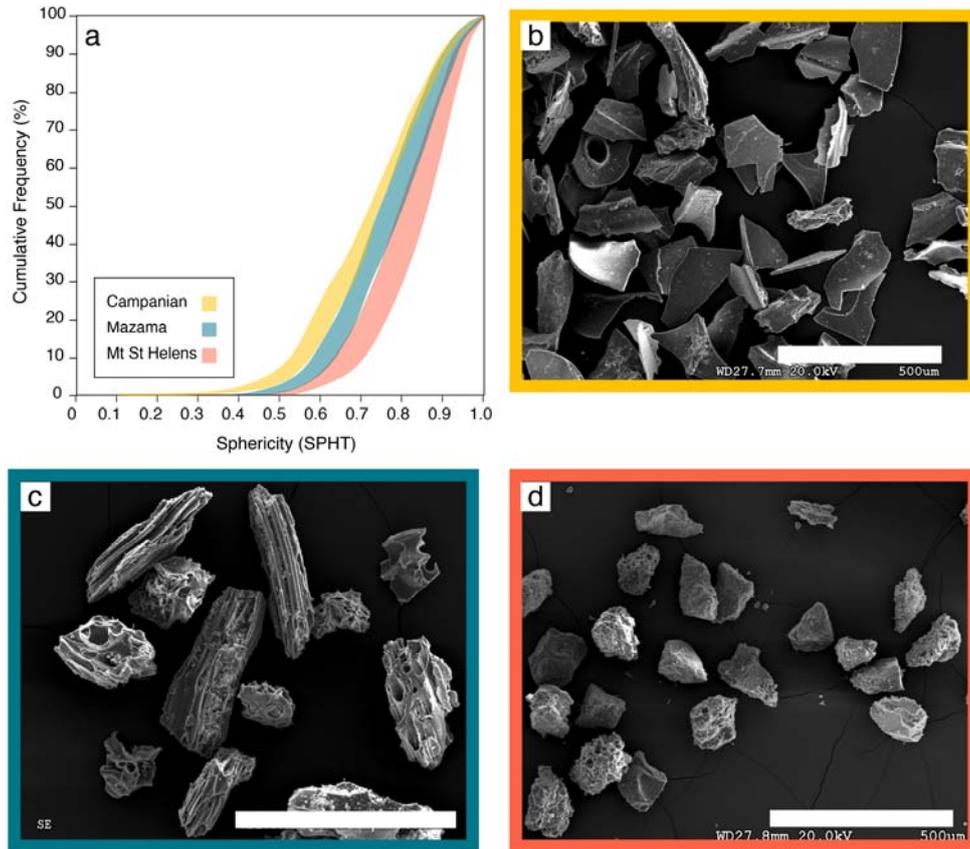
785 median grain size (Fig. 10) are real and reflect the initial fragmentation processes. For instance, the  
786 fine-grained MSH ash (Md  $\sim 20 \mu\text{m}$ ) has been attributed to the co-PDC plume formed as a result of the  
787 lateral blast (Eycheenne et al. 2015).

788

789 Particle density also governs the settling velocity of tephra. Parallel sieve and CX2 analyses, paired  
790 with density measurements, highlight the non-uniform density distribution in coarse Mazama tephras  
791 (Fig. 8); parallel analyses provided a qualitative assessment of density across the size array. The density  
792 distribution measured for the coarse Mazama samples (Fig. 8c) differs from the sigmoidal distributions  
793 of clast density that have been measured and modelled in other tephra deposits (e.g. Barberi et al. 1989;  
794 Koyaguchi and Ohno 2001; Bonadonna and Phillips 2003; Eycheenne and Le Pennec 2012). The main  
795 difference is that the maximum measured density ( $\sim 2.6 \text{ g cm}^{-3}$ ) exceeds the glass density ( $\sim 2.1 \text{ g cm}^{-3}$ ),  
796 which is often used to approximate the density of the very fine ash that is typically dominated by glass  
797 fragments. Whilst the high proportion of lithics and iron titanium oxides in the Mazama tephra  
798 contribute to this extreme density value, crystal concentrations are frequently observed in fallout  
799 deposits (Taupo, Walker 1981; MSH, Carey and Sigurdsson 1982; Santa Maria, Williams and Self  
800 1983) and it is likely that their occurrence could influence interpretations of GSDs especially when  
801 quantified as mass distributions without reference to parallel componentry analyses. Moreover,  
802 componentry is often determined from SEM images (Liu et al. 2017; Buckland et al. 2018; McNamara  
803 et al. 2018); without consideration of particle density, the componentry proportions from SEM images  
804 do not map directly to the proportion of the sample mass. This has implications for methods that use  
805 the proportion of crystals in deposits to calculate erupted volumes (Walker 1980; Pyle 1989; Fierstein  
806 and Nathenson 1992; Scarpati et al. 2014). Whilst crystal and lithic concentrations pose a challenge for  
807 grain size analysis methods, sample density does converge on the glass density at small grain sizes  
808 (distal ash). Understanding where the transition to stable ash density occurs is important for ash  
809 dispersion modelling and likely relates to the eruption intensity and parent magma.

810

811



812

813 Figure 12 – Sphericity distributions and SE images of distal tephras. a) Ranges of  
 814 multiple individual SPHT distributions for each distal tephra suite. b-d) Images collected  
 815 on the Hitachi S-3500N SEM at the University of Bristol in secondary electron mode.  
 816 Samples were sieved between 90-125  $\mu\text{m}$ , mounted on carbon stubs gold coated. Images  
 817 were collected at 20kV using a working distance of  $\sim 27.7$  mm. White bars are 500  $\mu\text{m}$  in  
 818 all images.

819

## 820 6. Conclusions

821 Quantifying the size of an irregular shaped particle can be ambiguous and the range of methods  
 822 available to analyse grain size adds another source of variability to the definition of particle ‘size’  
 823 (Bagheri et al. 2015). The heterogenous nature of tephra, which is often a mixture of components with  
 824 varied particle densities and shapes, also complicates size analysis. We have shown, however, that  
 825 dynamic image analysis methods can provide a useful protocol for characterising the size and shape of  
 826 irregular particles. For example, we show that sieving (which is often considered to sort by size) sorts

827 by size and shape and that for non-spherical particles, the size range of a sieve fraction depends on the  
828 size parameter used (Sanford and Swift 1971). In contrast, DIA can measure continuously over a large  
829 size range and GSDs can be quantified according to multiple size measures. DIA also quantified GSDs  
830 as volume distributions which facilitates comparisons between DIA methods and other techniques  
831 such as laser diffraction. Using grain size statistics, we show that both GSDs and the interpretation of  
832 GSDs are sensitive to the method of particle size analysis. For example, different sub-populations may  
833 be deconvolved from multi-modal deposits that have been analysed in different ways. This suggests  
834 that caution should be used when comparing GSDs and their statistics for samples that have been  
835 analysed using different methods. Similarly, associating eruptive processes to grain size sub-  
836 populations could be influenced by the starting GSD and the method of deconvolution.

837

838 The discrepancy between volcanic ash dispersion models PSDs and ground-based GSDs are explained  
839 by a combination of different analysis methods, different size parameterisation, different size ranges  
840 and the impact of non-spherical particles. For instance, large distal ash grains often exhibit extreme  
841 shapes that when described using  $x_{Fe,max}$  or their long axis appear oversized compared to their volume-  
842 equivalent sphere diameter (Saxby et al. 2020). Characterising the 3D morphology of volcanic particles  
843 is impractical on a large scale, which is why existing methods of grain size analysis are favoured. In  
844 parallel with quantitative shape data, we have shown that 2D methods of size analysis such as DIA can  
845 provide insight into the properties of distal ash. Careful consideration of size methods and the impact  
846 of non-spherical particles have in part explained the differences between the grain size of distal tephra.  
847 This information could be used to inform the PSDs used by ash dispersion models, especially if  
848 predicting long range ash dispersal is the main goal.

849

850 **Appendix A – Grain size statistics and distribution fitting**

851 **A.1. Definitions of parameters and probability density functions**

852 The Folk and Ward (FW; 1957) graphical statistics are calculated using interpolated values from the  
 853 cumulative distribution function (Fig. A1b). The parameters are calculated using the formulas below:

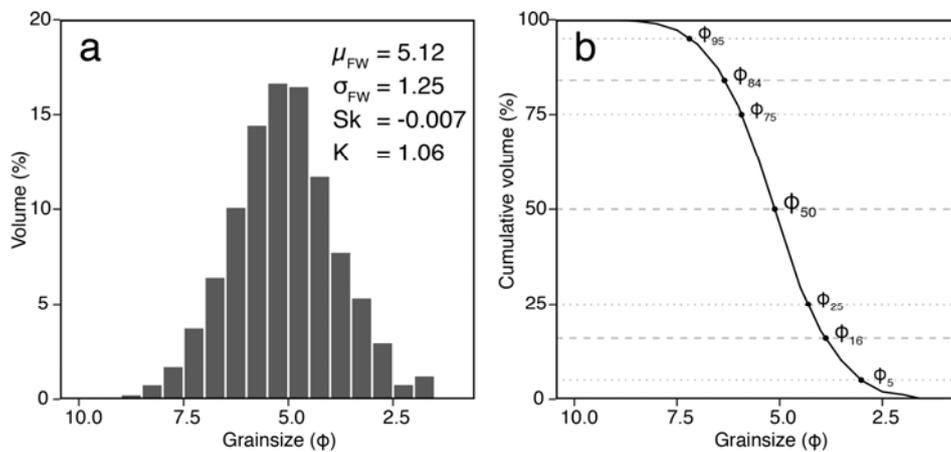
854 
$$\mu_{FW} = \frac{\varphi_{16} + \varphi_{50} + \varphi_{84}}{3} \quad (A.1)$$

855 
$$\sigma_{FW} = \frac{\varphi_{84} - \varphi_{16}}{4} + \frac{\varphi_{95} + \varphi_5}{6.6} \quad (A.2)$$

856 
$$Sk = \frac{\varphi_{16} + \varphi_{84} - 2\varphi_{50}}{2(\varphi_{84} - \varphi_{16})} + \frac{\varphi_5 + \varphi_{95} - 2\varphi_{50}}{2(\varphi_{95} - \varphi_5)} \quad (A.3)$$

857 
$$K = \frac{\varphi_{95} - \varphi_5}{2.44(\varphi_{75} - \varphi_{25})} \quad (A.4)$$

858 where  $\mu_{FW}$  denotes the Folk and Ward mean,  $\sigma_{FW}$  is the standard deviation or sorting,  $Sk$  is the skewness  
 859 and  $K$  is Kurtosis.  $\varphi_y$  is the value in  $\varphi$  where  $y$  denotes the percentile of the cumulative distribution, e.g.  
 860  $\varphi_{50}$  is the median grain size (Fig. A1b). The values of sorting, skewness and Kurtosis then correspond  
 861 to a qualitative classification according to the categories in Table A1.



862  
 863 Figure A1 – Example of the FW parameters calculated for distal Mazama sample AP1. a) The grain  
 864 size distribution measured using the CX2 quantified as the volume percent in each half- $\varphi$  size fraction.  
 865 b) Cumulative grain size distribution represented as the percentage coarser than the nominal grain size  
 866 fraction with the interpolated values required for calculating the FW statistics plotted as black circles.  
 867

868 Table A1 – Descriptive terminology corresponding to the Folk and Ward parameters calculated for  
 869 grain size data on the  $\phi$  scale.

870

Sorting ( $\sigma_{FW}$ )		Skewness (Sk)		Kurtosis (K)	
Very well sorted	<0.35	Very fine skewed	+0.3 to +1.0	Very platykurtic	<0.67
Well sorted	0.35 – 0.50	Fine skewed	+0.1 to +0.3	Platykurtic	0.67 – 0.90
Moderately well sorted	0.50 – 0.70	Symmetrical	+0.1 to -0.1	Mesokurtic	0.90 – 1.11
Moderately sorted	0.70 – 1.00	Coarse skewed	-0.1 to -0.3	Leptokurtic	1.11 – 1.50
Poorly sorted	1.00 – 2.00	Very coarse skewed	-0.3 to -1.0	Very leptokurtic	1.50 – 3.00
Very poorly sorted	2.00 – 4.00			Extremely leptokurtic	>3.00
Extremely poorly sorted	>4.00				

871

872 FW parameters assume that the GSD is log-normally distributed (normal on the  $\phi$ -scale). However,  
 873 GSDs can also be fit to probability density functions (PDFs) directly. When working with grain size  
 874 data on the  $\phi$  scale the GSD can be fit using a normal distribution which has the PDF:

$$875 \quad f_{norm}(\phi) = \frac{1}{\sigma_{\phi}\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{\phi - \mu_{\phi}}{\sigma_{\phi}}\right)^2\right] \quad (A.5)$$

876 where  $\phi$  is the grain size in  $\phi$  units,  $\mu_{\phi}$  denotes the mean and  $\sigma_{\phi}$  is the standard deviation. This can be  
 877 extended to facilitate the fitting of mixture models where the PDF is described as the sum of multiple  
 878 normal distributions multiplied by their mixing proportion. For example, the PDF for a bimodal  
 879 distribution which is the sum of two normal distributions is:

$$880 \quad f_{bi-norm}(\phi) = p_1 \frac{1}{\sigma_{\phi 1}\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{\phi - \mu_{\phi 1}}{\sigma_{\phi 1}}\right)^2\right] + p_2 \frac{1}{\sigma_{\phi 2}\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{\phi - \mu_{\phi 2}}{\sigma_{\phi 2}}\right)^2\right] \quad (A.6)$$

881 where  $p_1$  and  $p_2$  are the mixing proportions of each population. When fitting a normal distribution to  
 882 GSDs on the  $\phi$ -scale, it must be remembered that the mean and standard deviation relate to the logarithm  
 883 of the data and that the GSD is log-normal in linear space. This is an important distinction because  
 884 when data follows a log-normal distribution the mean, mode and median are not equal. Furthermore,  
 885 data visualisation of GSDs on the  $\phi$ -scale can be distorted (Fig. A1a).

886

887 It can be preferable to fit log-normal PDFs directly to grain size data and to work in metric units as is  
 888 standard procedure in engineering and aerosol science (Dartevelle et al. 2002). To fit a log-normal  
 889 function, the grain size data cannot be on the  $\phi$ -scale because  $d$  must be greater than 0 (Eq. A7).  
 890 Therefore, the GSD must either be output using a linear bin configuration or exponentiated from the  $\phi$   
 891 scale ( $d = 2^{-\phi}$ ). The PDF of a log-normal distribution is:

$$892 \quad f_{lnorm}(d) = \frac{1}{d\sigma'\sqrt{2\pi}} \exp\left[-\frac{(\ln(d) - \mu')^2}{2\sigma'^2}\right] \text{ for } d > 0 \quad (A.7)$$

893 where  $d$  is the grain size in mm,  $\mu'$  denotes the mean of the natural logarithm of the data and  $\sigma'$  is the  
 894 standard deviation of the natural logarithm of the data. Using these parameters, the mean ( $\mu$ ), median  
 895 ( $Md$ ) and mode ( $Mo$ ) can also then be calculated:

$$896 \quad \mu_L = \exp\left[\mu' + \frac{1}{2}\sigma'^2\right] \quad (A.8)$$

$$897 \quad Md_L = \exp[\mu'] \quad (A.9)$$

$$898 \quad Mo_L = \exp[\mu' - \sigma'^2] \quad (A.10)$$

899 where the  $\mu_L$  is the mean,  $Md_L$  is the median and  $Mo_L$  is the mode of the log-normal distribution in mm  
 900 units. Mixture models of log-normal distributions can also be used to describe GSDs where the PDF is  
 901 the sum of the PDF of each sub-population multiplied by the mixing proportion:

$$902 \quad f_{bi-lnorm}(d) = p_1 \frac{1}{d\sigma'_1\sqrt{2\pi}} \exp\left[-\frac{(\ln(d) - \mu'_1)^2}{2\sigma_1'^2}\right]$$

$$903 \quad + p_2 \frac{1}{d\sigma'_2\sqrt{2\pi}} \exp\left[-\frac{(\ln(d) - \mu'_2)^2}{2\sigma_2'^2}\right] \quad (A.11)$$

904  
 905 Grain size distributions can also be described using a Weibull distribution which has the PDF:

$$906 \quad f_{Weibull}(d) = \frac{k}{\lambda} \left(\frac{d}{\lambda}\right)^{k-1} \exp\left[-\left(\frac{d}{\lambda}\right)^k\right] \text{ for } d \geq 0 \quad (A.12)$$

907 where  $d$  is particle diameter in mm,  $k$  is the shape parameter and  $\lambda$  is the scale parameter. Similar to the  
 908 log-normal distribution, the Weibull distribution cannot be fit to grain size data on the  $\phi$ -scale so the  
 909 GSD must be quantified in mm. GSDs can also be fit with mixtures of Weibull PDF, for example the  
 910 PDF of a bimodal Weibull distribution is:

911 
$$f_{bi-Weibull}(d) = p_1 \frac{k_1}{\lambda_1} \left(\frac{d}{\lambda_1}\right)^{k_1-1} \exp\left[-\left(\frac{d}{\lambda_1}\right)^{k_1}\right] + p_2 \frac{k_2}{\lambda_2} \left(\frac{d}{\lambda_2}\right)^{k_2-1} \exp\left[-\left(\frac{d}{\lambda_2}\right)^{k_2}\right] \quad (A.13)$$

912 where  $p_1$  and  $p_2$  are the mixing proportions,  $k_1$  and  $k_2$  are the scale parameters, and  $\lambda_1$  and  $\lambda_2$  are the scale  
 913 parameters.

914

915 The mean, median and mode of the Weibull distribution can be calculated from the shape and scale  
 916 parameters using the equations:

917 
$$\mu_W = \lambda \cdot \Gamma\left(\frac{1}{k} + 1\right) \quad (A.14)$$

918 
$$Md_W = \lambda (\ln 2)^{\frac{1}{k}} \quad (A.15)$$

919 
$$Mo_W = \lambda \left(1 - \frac{1}{k}\right)^{\frac{1}{k}} \quad (A.16)$$

920 where the  $\mu_W$  is the mean,  $\Gamma$  is the gamma function,  $Md_W$  is the median and  $Mo_W$  is the mode in mm  
 921 units.

922

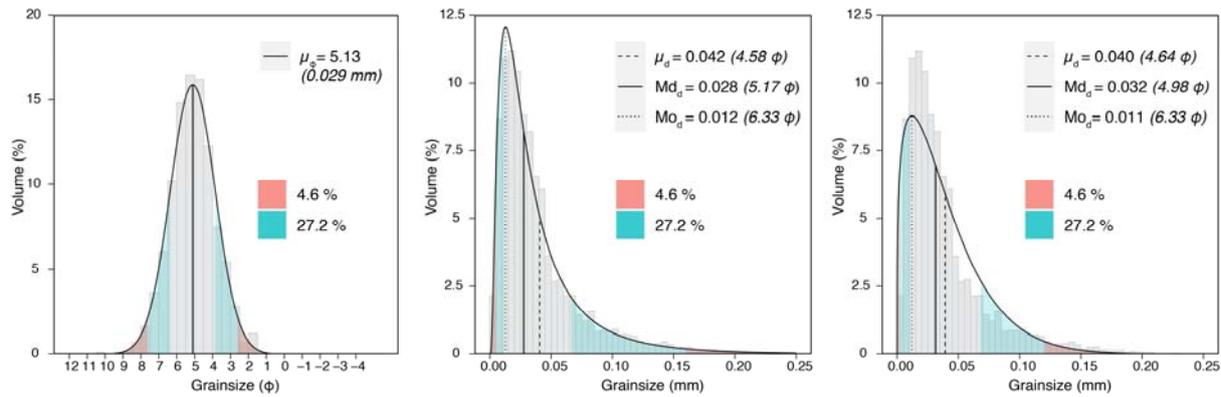
## 923 A.2. Methods of fitting distributions

924 GSDs are reported as histograms, in other words, the individual particle sizes are not known the  
 925 proportion of the total mass or volume of particles is known within a grain size range. This is why  
 926 graphical parameters and the method of moments have been favoured (Folk and Ward 1957; Blott and  
 927 Pye 2001) as they can be easily calculated from binned data. An alternative approach is to find the best  
 928 fit parameters of a chosen function (e.g. log-normal or Weibull) using least squares regression, typically  
 929 by fitting the cumulative density function (e.g. Macías-García et al. 2004). Another method is to  
 930 simulate measurements of individual particle sizes based on the proportion within each grain size bin,  
 931 which facilitates the use of maximum likelihood estimation methods.

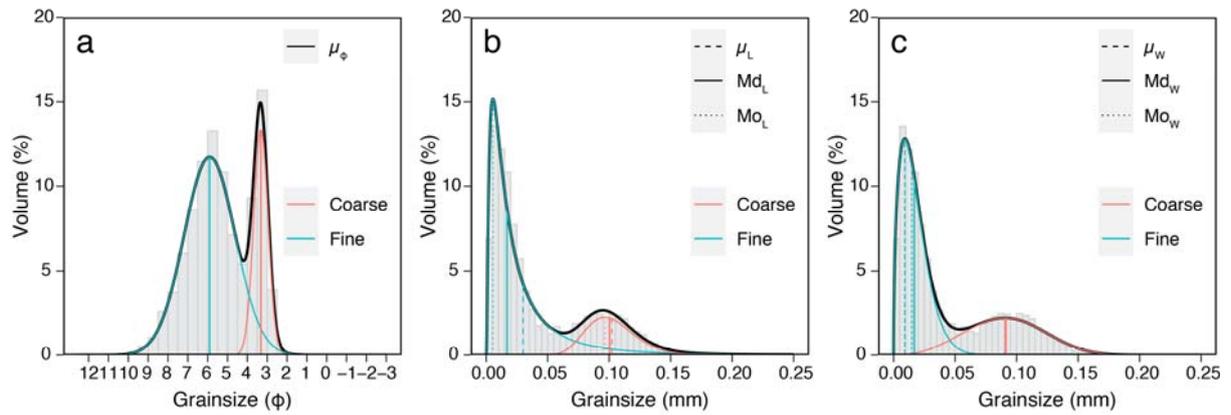
932

933 For this contribution we have used the latter approach of simulating data based on the measured GSD.  
 934 We chose this approach because we found that the least squares regression approach was more sensitive  
 935 to the grain size bin configuration than maximum likelihood estimates. We simulate the grain size data

936 by assuming that the weight or volume percent within each grain size bin is equivalent to the number  
 937 or frequency of measurements (n). We then generate a uniform distribution of grain size measurements,  
 938 where the number of measurements is equal to m and the absolute value ranges between the minimum  
 939 and maximum size of the bin. This simulated dataset can then be used to fit a range of PDFs.  
 940  
 941 We fit log-normal and Weibull distributions to the simulated data using the ‘fitdistrplus’ package in R  
 942 (Delignette-Muller and Dutang 2015). An example of a normal, log-normal and Weibull distribution fit  
 943 to a unimodal grain size distribution (distal Mazama) is shown in Figure A2. We fit bimodal  
 944 distributions using the ‘mixfit’ function from the ‘mixR’ R package with example fits shown in Figure  
 945 A3 (distal MSH).



946  
 947 Figure A2 – Simulated grain size data for distal Mazama sample AP1 fit with a) normal; b) log-normal  
 948 and c) Weibull probability density functions. The coloured segments correspond to >1 standard  
 949 deviation, so the blue shaded area contains 27.2% of the distribution ( $\pm 1$  to  $2\sigma$ ) and the red shaded area  
 950 contains 4.6% of the distribution ( $>2\sigma$ ).  
 951



952

953 Figure A3 – Simulated grain size data for distal Mount St Helens sample SB fit with bimodal a) normal;

954 b) log-normal and c) Weibull probability density functions. The coarse and fine sub-populations are

955 indicated by the coloured PDFs with the mode, mean and median of each population also indicated by

956 corresponding lines. The solid black line is the bimodal distribution according to Eqs. A6, 11 and 13

957

958 In the main text, we report the FW parameters and the parameters of bimodal normal distributions fit

959 to data on the  $\phi$ -scale (Eq. A6) to allow comparisons with previously published grain size statistics.

960 This also avoids any confusion that might arise from comparing Weibull parameters determined by

961 different fitting methods (e.g. DECOLOG; Eychenne et al. 2015).

962

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