

BrokerCheck Report

Christopher Matthew Schurmann

CRD# 7495054

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our [investor alert](#) on imposters.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

Christopher M. Schurmann

CRD# 7495054

Currently employed by and registered with the following Firm(s):

- B** **LPL FINANCIAL LLC**
3065 CENTRE POINTE DR STE 2
ROSEVILLE, MN 55113-1118
CRD# 6413
Registered with this firm since: 02/06/2023

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 2 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History**This broker was previously registered with the following securities firm(s):**

No information reported.

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 2 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**

Main Office Address: **1055 LPL WAY
FORT MILL, SC 29715**

Firm CRD#: **6413**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	02/06/2023

	U.S. State/ Territory	Category	Status	Date
B	Florida	Agent	Approved	06/20/2023
B	Minnesota	Agent	Approved	03/09/2023

Branch Office Locations

LPL FINANCIAL LLC
3065 CENTRE POINTE DR STE 2
ROSEVILLE, MN 55113-1118



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination	Series 7TO	02/06/2023
B Securities Industry Essentials Examination	SIE	09/27/2022

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	03/09/2023

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
No information reported.			

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2022 - Present	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	ST.PAUL, MN, United States
01/2022 - 02/2023	Summit Investment Advisors	Client Services Associate	Y	Roseville, MN, United States
05/2021 - 12/2021	Castlelake, LP	Legal Coordinator	Y	Minneapolis, MN, United States
07/2020 - 05/2021	Unemployed/Laid off	Laid off from previous job due to pandemic	N	Eagan, MN, United States
05/2019 - 07/2020	Digital River	Corporate Governance Paralegal	N	Minnetonka, MN, United States
03/2019 - 05/2019	Jacob Law Group	Paralegal	N	Oxford, MS, United States
05/2012 - 05/2019	MidSouth 360 Tours	Self-Employed	N	Senatobia, MS, United States
12/2018 - 03/2019	CoreLogic FNC	Customer Service Representative	N	Oxford, MS, United States
12/2015 - 05/2018	University of Mississippi	Community Desk Assistant	N	Oxford, MS, United States
08/2015 - 05/2018	University of Mississippi	Student	N	Oxford, MS, United States
10/2015 - 05/2016	Applebee's	Waiter	N	Senatobia, MS, United States
06/2015 - 09/2015	Papa John's Pizza	Delivery Driver	N	Hernando, MS, United States



Registration and Employment History

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1- 10/2021 / J&S Rentals Specialists, LLC / Real Estate Rental / NON INVESTMENT RELATED / 3433 Cove View Blvd, #1308 Galveston, TX ;
7820 Seawall Blvd, #327 Galveston, TX

2- 01/2023 / Summit Investment Advisors / DBA for LPL Business (entity for LPL business) / INVESTMENT RELATED / ROSEVILLE, MN

3- 3/1/2023 - Summit Investment Advisory Services Inc. - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - Registered Admin - Start Date - 01/17/2023 - 160 Hours Per Month/160 Hours During Securities Trading - Time Spent 100% - I provide administrative support to Summit Investment Advisory Services Inc., an independent investment advisor firm. I started this business activity in 1/17/2023. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at <http://www.adviserinfo.sec.gov/IAPD>. The firm is separate from and independent of LPL Financial.

End of Report



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