

## Jennifer Matis

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**From:** News and Info for Ethics Officials <oge-ethicsinfo@LISTSERV.GSA.GOV> on behalf of Ethics Mailinglist <Ethics\_mailinglist@OGE.GOV>  
**Sent:** Monday, February 06, 2017 4:45 PM  
**To:** OGE-ETHICSINFO@LISTSERV.GSA.GOV  
**Subject:** OGE Issues Legal Advisory on Executive Order 13770, "Ethics Commitments by Executive Branch Appointees"

### **OGE Issues Legal Advisory on Executive Order 13770, "Ethics Commitments by Executive Branch Appointees"**

On February 6, 2017, OGE issued a legal advisory on Executive Order 13770, "Ethics Commitments by Executive Branch Appointees." In this advisory, OGE is making available for agency use a copy of the Executive Order and the Ethics Pledge form. Further, OGE explains the extent to which its legal advisories applicable to Executive Order 13490 may be read as being applicable to Executive Order 13770.

The legal advisory is available on OGE's website at:

[https://www.oge.gov/web/oge.nsf/All+Advisories/F5470156962CE0E6852580BF00752A17/\\$FILE/LA-17-02%20\(final\).pdf?open](https://www.oge.gov/web/oge.nsf/All+Advisories/F5470156962CE0E6852580BF00752A17/$FILE/LA-17-02%20(final).pdf?open)

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**From:** [Monica M. G. Ashar](#)  
**To:** [Communications](#); [Brandon A. Steele](#); [Brandon L. Bunderson](#); [Kaneisha T. Cunningham](#)  
**Cc:** [Seth Jaffe](#)  
**Subject:** Communications and Distribution Plan for LA-17-02  
**Date:** Monday, February 06, 2017 4:42:22 PM  
**Attachments:** [Communications Form.docx](#)

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All:

I am writing to let you know that LA-17-02 has now been posted to OGE's website. The link to the advisory is:

[https://www.oge.gov/web/oge.nsf/All+Advisories/F5470156962CE0E6852580BF00752A17/\\$FILE/LA-17-02%20\(final\).pdf?open](https://www.oge.gov/web/oge.nsf/All+Advisories/F5470156962CE0E6852580BF00752A17/$FILE/LA-17-02%20(final).pdf?open)

Per the Legal Advisory Procedures, I appreciate you assisting with the following:

- MAX AAB Announcement: Brandon B., if possible, please post the MAX/AAB Announcement today – see the attached communications content form.
- Listserv Announcement: Kaneisha, as discussed, please post the Listserv Announcement today – see the attached communications content form.
- Tweet: Brandon S., as discussed, please post/schedule the Tweet today – see the attached communications content form.

If you have questions, please let me know. Thanks for your help!

Thanks,  
Monica

**Communications Form – “Executive Order 13770” Legal Advisory**

**LISTSERV & AAB ANNOUNCEMENT**

**OGE Issues Legal Advisory on Executive Order 13770, “Ethics Commitments by Executive Branch Appointees”**

On February 6, 2017, OGE issued a legal advisory on Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” In this advisory, OGE is making available for agency use a copy of the Executive Order and the Ethics Pledge form. Further, OGE explains the extent to which its legal advisories applicable to Executive Order 13490 may be read as being applicable to Executive Order 13770.

The legal advisory is available on OGE’s website at: [\[direct link to the Legal Advisory\]](#).

**TWEETS**

OGE issues legal advisory on the ethics pledge under Executive Order 13770 [\[direct link to the Legal Advisory\]](#).

**From:** [Deborah J. Bortot](#)  
**To:** [GCLPD MailGroup](#)  
**Subject:** EA language for E.O. 13770  
**Date:** Friday, February 03, 2017 5:04:13 PM

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I updated the EA language with the Executive Order number. It is saved in Nonresponsive network info  
[REDACTED]

**From:** [Teresa L. Williamson](#)  
**To:** [Deborah J. Bortot](#); [GCLPD MailGroup](#)  
**Subject:** Pledge Language Amendment Process  
**Date:** Thursday, February 02, 2017 10:55:08 AM  
**Attachments:** [ROUTING SLIP FOR PLEDGE AMENDMENT.pdf](#)  
**Importance:** High

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Good Morning,

Please follow the steps below for forwarding your nominee's pledge language amendment to the Senate.

A new folder for each nominee has been saved to N: drive located at [Nonresponsive network info](#). Save all of the files associated with the pledge language amendment to this folder.

When you receive the two letters from the agency please name the files as shown below and save to the nominee's amendment folder.

- For the agency letter to OGE name the file "Last Name, First Name finalagencyltr"
- For the nominee EA supplement letter to the agency name the file "Last Name, First Name finalEAsupplement"

Prepare a routing slip using the attached routing slip template. Note, several of the fields will not be applicable to the amendment, just enter as much of the information as you have that is applicable.

Using the applicable template letter located at [Nonresponsive network info](#) prepare the transmittal letter to the Senate. I will add the applicable committee information to the letter when I convert it for digital signature.

**For the following nominees use the letter template "Senate Ltr for Second Submission to Cmte FINAL.docx"**

Filer	Reviewer
Chao, Elaine L.	Sandy
DeVos, Elisabeth (Betsy) (P.)	Megan
Haley, Nikki	Stephanie
Mattis, James N.	Monica
McMahon, Linda E.	Rachel
Mnuchin, Steven T.	Lorna

Mulvaney, John Michael "Mick"	Jim
Perry, James R.	Jim
Pompeo, Michael R.	Lorna
Price, Thomas E.	Patrick
Pruitt, Edward Scott	Stephanie
Ross, Wilbur L.	Keith
Shulkin, David J.	Lorna
Tillerson, Rex W.	Leigh
Zinke, Ryan	Lorna

**For the following nominees use the Senate transmittal letter “Senate Ltr for Third Submission to Cmte FINAL.docx”**

Filer	Reviewer
Carson, Ben	Monica
Kelly, John F.	Stephanie
Sessions, Jefferson B.	Jack

To forward the amendment for certification, please attach to the top of the blue folder the below items and drop off the folder in Sandy’s office. If you don’t have the blue folder let me know.

- Routing Slip
- Printed version of the transmittal letter to the Senate
- Printed version of the agency letter
- Printed version of the nominee’s EA supplement letter

No action is required in FDTs or Integrity for the amendment. If you have any questions, please contact Jack, Sandy or myself.

Thanks!  
Teresa

# PLEDGE AMENDMENT

<b>NOMINEE PROGRAM ROUTING SLIP</b>							
filer's last name		filer's first name & middle initial					
position		agency					
report type	<input type="radio"/> nominee <input checked="" type="radio"/> nominee amendment <input type="radio"/> candidate <input type="radio"/> cabinet annual <input type="radio"/> WH/VP						
OGE reviewer			ext. <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>				
agency reviewer		e-mail <span style="border: 1px solid black; display: inline-block; width: 80px; height: 15px;"></span>	tel. <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>				
1. Documents saved to n-drive & named as follows: • 278 for preclearance-[last name].docx (or 450) • EA for preclearance-[last name].docx • notes for preclearance-[last name].docx	check <input type="checkbox"/>	2. only information that may be posted on the internet is included in ethics agreement	check <input type="checkbox"/>	3. EA tracking elements are indicated in FDTS	check <input type="checkbox"/>		
<b>REVIEWED FOR PRECLEARANCE</b> (nominee reports and amendments only)							
Bortot / Jones / Mabry / other:		initials <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>	date <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>				
Comments:							
<b>SUBMITTING FINAL PACKAGE FOR CERTIFICATION</b>				<b>DATE FINAL RECEIVED:</b>			
1. precleared EA & report are marked "precleared" and loosely placed in file	check <input type="checkbox"/>	2. notes added to FDTS	check <input type="checkbox"/>	3. DAEO letter placed on right side of file; notes placed on left	check <input type="checkbox"/>	4. extraneous docs deleted from n-drive	check <input type="checkbox"/>
5. I have carefully reviewed the determination regarding posting in the box immediately below:		initials <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>	date <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>				
<div style="border: 1px solid black; width: 40px; height: 40px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> </div>	<b>STOP! Do <u>NOT</u> post to website.</b>			<div style="border: 1px solid black; width: 60px; height: 40px; margin: 0 auto;"></div>	post report to OGE's website		
<b>REVIEW FOR CERTIFICATION</b>							
Williamson/ other:		1	initials <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>	date <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>			
Mabry / Bortot		2	initials <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>	date <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>			
Director / General Counsel		3	initials <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>	date <span style="border: 1px solid black; display: inline-block; width: 40px; height: 15px;"></span>			

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**From:** Deborah J. Bortot  
**Sent:** Monday, January 30, 2017 5:55 PM  
**To:** GCLPD MailGroup  
**Subject:** Pledge Language

I've attached ethics agreement language to address the Executive Order dated January 28, 2017 ("Ethics Commitments by Executive Branch Appointees").

The attachment to this email labeled "EA Pledge language" provides the language that needs to be included in ethics agreements for nominees covered by the Executive Order. Use the language in this attachment, **NOT** the language in the Guide on our website.

The attachment to this email labeled "Sample EA amendment for Pledge" provides sample language for supplements to ethics agreements that have already been sent to the Senate.

**Reports not sent to Senate yet:**

If you are assigned a report that has not been sent to the Senate yet, please use the language in "A.1.1 – language regarding the Executive Order for a new PAS nominee" in the "EA Pledge language," attached to this email.

**Reports that have already been sent to the Senate:**

If you worked on a report that has been sent to the Senate, please create a letter for the filer and a letter for the agency using the attached "Sample EA amendment for Pledge." Please insert the necessary information in each letter and show me a copy of each letter before providing it to the ethics official. Once I approve the letter for the filer and the letter for the agency, please contact the agency and work quickly to get the signed letters.

Thanks,  
Deb



**From:** [Nicole Stein](#)  
**To:** [DeskOfficers MailGroup](#)  
**Subject:** RE: Departing DAEOs & Category Change in AIMS  
**Date:** Monday, February 06, 2017 12:48:40 PM

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I forgot to include a key piece of information in my email last week (see red below)  
Emailed [278tracking@oge.gov](mailto:278tracking@oge.gov) and [Integrity@oge.gov](mailto:Integrity@oge.gov) the following information

The name of the departing DAEO and agency. If the outgoing DAEO is moving to another 278 filing position (and no break in service), include the date the filer "terminated" the DAEO position (so the record can be closed out in FDTS)

### **Change in AIMS**

Monica suggested and I agreed that should create two separate categories for the Ethics Pledge executive orders. Everything that was previously tagged as Ethics Pledge is now tagged as Ethics Pledge (E.O. 13490). A new category was added - Ethics Pledge (E.O. 13770).

I know someone of you created AIMS entries last week pertaining to the new Ethics Pledge. I suggest either going in when you have a moment to change the category or change it when you resolve the interaction.

Nonresponsive



Nonresponsive



**From:** [Kehli Cage](#)  
**To:** [Nicole Stein](#); [DeskOfficers MailGroup](#)  
**Subject:** RE: New Executive Order  
**Date:** Monday, January 30, 2017 11:02:04 AM

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Thank you!

Kehli Cage  
Government Ethics Specialist  
U.S. Office of Government Ethics  
1201 New York Ave NW  
Suite #500  
Washington, D.C. 20005  
Ph: 202-482-9279  
[kehli.cage@oge.gov](mailto:kehli.cage@oge.gov)

Visit OGE's website: [www.oge.gov](http://www.oge.gov)  
Follow OGE on Twitter: @OfficeGovEthics



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**From:** Nicole Stein  
**Sent:** Monday, January 30, 2017 10:57 AM  
**To:** Kehli Cage; [DeskOfficers MailGroup](#)  
**Subject:** RE: New Executive Order

<https://www.whitehouse.gov/the-press-office/2017/01/28/executive-order-ethics-commitments-executive-branch-appointees>

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**From:** Kehli Cage  
**Sent:** Monday, January 30, 2017 10:56 AM  
**To:** Nicole Stein <[nstein@oge.gov](mailto:nstein@oge.gov)>; [DeskOfficers MailGroup](#) <[DeskOfficersMailGroup@oge.gov](mailto:DeskOfficersMailGroup@oge.gov)>  
**Subject:** RE: New Executive Order

Hi Nicole,

Where can we obtain a copy of the executive order?

Thank you,

Kehli Cage

Government Ethics Specialist  
U.S. Office of Government Ethics  
1201 New York Ave NW  
Suite #500  
Washington, D.C. 20005  
Ph: 202-482-9279  
[kehli.cage@oge.gov](mailto:kehli.cage@oge.gov)

Visit OGE's website: [www.oge.gov](http://www.oge.gov)  
Follow OGE on Twitter: @OfficeGovEthics



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**From:** Nicole Stein  
**Sent:** Monday, January 30, 2017 10:53 AM  
**To:** DeskOfficers MailGroup  
**Subject:** New Executive Order

As you may have saw over the weekend, a new executive order was issued regarding the Pledge.

If you receive questions from your agencies, please refer them. We are working on reviewing the order.

*Nicole*

Nicole Stein  
Chief, Agency Assistance Branch  
U.S. Office of Government Ethics  
[nstein@oge.gov](mailto:nstein@oge.gov)  
(202) 482-9255

**From:** [Teresa L. Williamson](#)  
**To:** [Deborah J. Bortot](#); [GCLPD MailGroup](#)  
**Subject:** RE: Pledge Language Amendment Process  
**Date:** Thursday, February 02, 2017 11:36:00 AM

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Just a quick follow-up. Please reach out to the agency if you haven't received the documents regarding the pledge language. We want to expedite this process as we'd like to get these sent to the Senate as soon as possible.

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**From:** Teresa L. Williamson  
**Sent:** Thursday, February 02, 2017 10:55 AM  
**To:** Deborah J. Bortot; GCLPD MailGroup  
**Subject:** Pledge Language Amendment Process  
**Importance:** High

Good Morning,

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Chao, Elaine L.	Sandy
DeVos, Elisabeth (Betsy) (P.)	Megan

Haley, Nikki	Stephanie
Mattis, James N.	Monica
McMahon, Linda E.	Rachel
Mnuchin, Steven T.	Lorna
Mulvaney, John Michael "Mick"	Jim
Perry, James R.	Jim
Pompeo, Michael R.	Lorna
Price, Thomas E.	Patrick
Pruitt, Edward Scott	Stephanie
Ross, Wilbur L.	Keith
Shulkin, David J.	Lorna
Tillerson, Rex W.	Leigh
Zinke, Ryan	Lorna

**For the following nominees use the Senate transmittal letter “Senate Ltr for Third Submission to Cmte FINAL.docx”**

Filer	Reviewer
Carson, Ben	Monica
Kelly, John F.	Stephanie
Sessions, Jefferson B.	Jack

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- Routing Slip
- Printed version of the transmittal letter to the Senate
- Printed version of the agency letter

- Printed version of the nominee's EA supplement letter

No action is required in FDTS or Integrity for the amendment. If you have any questions, please contact Jack, Sandy or myself.

Thanks!

Teresa

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**From:** Deborah J. Bortot  
**Sent:** Monday, January 30, 2017 5:55 PM  
**To:** GCLPD MailGroup  
**Subject:** Pledge Language

I've attached ethics agreement language to address the Executive Order dated January 28, 2017 ("Ethics Commitments by Executive Branch Appointees").

The attachment to this email labeled "EA Pledge language" provides the language that needs to be included in ethics agreements for nominees covered by the Executive Order. Use the language in this attachment, **NOT** the language in the Guide on our website.

The attachment to this email labeled "Sample EA amendment for Pledge" provides sample language for supplements to ethics agreements that have already been sent to the Senate.

**Reports not sent to Senate yet:**

If you are assigned a report that has not been sent to the Senate yet, please use the language in "A.1.1 – language regarding the Executive Order for a new PAS nominee" in the "EA Pledge language," attached to this email.

**Reports that have already been sent to the Senate:**

If you worked on a report that has been sent to the Senate, please create a letter for the filer and a letter for the agency using the attached "Sample EA amendment for Pledge." Please insert the necessary information in each letter and show me a copy of each letter before providing it to the ethics official. Once I approve the letter for the filer and the letter for the agency, please contact the agency and work quickly to get the signed letters.

Thanks,

Deb

**From:** [Deborah J. Bortot](#)  
**To:** [GCLPD MailGroup](#)  
**Subject:** Pledge Language  
**Date:** Monday, January 30, 2017 5:55:14 PM  
**Attachments:** [EA Pledge language.docx](#)  
[Sample EA amendment for Pledge.docx](#)

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**Reports that have already been sent to the Senate:**

If you worked on a report that has been sent to the Senate, please create a letter for the filer and a letter for the agency using the attached "Sample EA amendment for Pledge." Please insert the necessary information in each letter and show me a copy of each letter before providing it to the ethics official. Once I approve the letter for the filer and the letter for the agency, please contact the agency and work quickly to get the signed letters.

Thanks,

Deb



**APPENDIX A: LANGUAGE ADDRESSING EXECUTIVE ORDER SIGNED  
JANUARY 28, 2017, CONCERNING “ETHICS COMMITMENTS BY EXECUTIVE  
BRANCH APPOINTEES” (Ethics Pledge)**

The following language is used to incorporate the terms of the Ethics Pledge for those PAS nominees required to sign the pledge. This language is not applicable to special Government employees. This language is not applicable to career Foreign Service Officers who are being nominated to Ambassador positions.

**A.1.0 – language regarding the Executive Order for a PAS nominee/PAS appointee whose ethics agreement was previously sent to the Senate**

Comment:

This sample is for use, where applicable, by an individual whose ethics agreement was sent to the Senate before January 28, 2017, whether or not that individual has already been confirmed.

Sample Language (Version 1 – For an individual who submitted an ethics agreement):

I understand that as an appointee I will be required to sign the Ethics Pledge required under the Executive Order dated January 28, 2017 (“Ethics Commitments by Executive Branch Appointees”) and that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on January **XX**, 2017.

Sample Language (Version 2 – For an individual who submitted both an ethics agreement and amended agreement):

I understand that as an appointee I will be required to sign the Ethics Pledge required under the Executive Order dated January 28, 2017 (“Ethics Commitments by Executive Branch Appointees”) and that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on January **XX**, 2017, and in the amendment that I signed on January **YY**, 2017.

Sample Language (Version 3 – For an individual who has been confirmed and appointed and who has already signed the new Ethics Pledge):

I have signed the Ethics Pledge required under the Executive Order dated January 28, 2017 (“Ethics Commitments by Executive Branch Appointees”). I understand that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on January **XX**, 2017, and in the amendment that I signed on January **YY**, 2017.

**A.1.1 – language regarding the Executive Order for a new PAS nominee**

Comment:

This sample is for use, where applicable, by an individual who is not currently subject to the terms of this Ethics Pledge.

Sample Language:

I understand that as an appointee I will be required to sign the Ethics Pledge required under the Executive Order dated January 28, 2017 (“Ethics Commitments by Executive Branch Appointees”) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

**A.1.2 – language regarding the Executive Order for a current Presidential appointee who has already signed the Ethics Pledge**

Comment:

This sample is for use, where applicable, by an individual who is currently subject to the terms this Ethics Pledge that the individual previously signed in connection with a Presidential appointment.

Sample Language:

I understand that as an appointee I must continue to abide by the Ethics Pledge required under the Executive Order dated January 28, 2017 (“Ethics Commitments by Executive Branch Appointees”) that I previously signed and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

January 31, 2017

[DAEO's Address]  
[DAEO's Address]  
[DAEO's Address]  
[DAEO's Address]

Dear **XXXXXXX**:

The purpose of this letter is to supplement my ethics agreement signed on **[insert date]**. The following information supplements my ethics agreement:

I understand that as an appointee I will be required to sign the Ethics Pledge required under the Executive Order dated January 28, 2017 ("Ethics Commitments by Executive Branch Appointees") and that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on **[insert date]**.

I have been advised that this supplement to my ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Sincerely,

**[insert filer's name]**

**Comment [OGE1]:** If the nominee has submitted an amended ethics agreement add the following language at the end of this sentence:  
  
and the amended ethics agreement that I signed on **[insert date]**

**Comment [OGE2]:** If the nominee previously amended the ethics agreement, substitute the following paragraph for the second paragraph:

I understand that as an appointee I will be required to sign the Ethics Pledge required under the Executive Order dated January 28, 2017 ("Ethics Commitments by Executive Branch Appointees") and that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on **[insert date]**, and in the amendment that I signed on January **YY**, 2017

**Comment [OGE3]:** If the nominee has already been confirmed and appointed and has already signed the pledge, substitute the following language for the second paragraph:

I have signed the Ethics Pledge required under the Executive Order dated January 28, 2017 ("Ethics Commitments by Executive Branch Appointees") I understand that I will be bound by the requirements and restrictions therein in addition to the commitments I made in the ethics agreement I signed on **[insert date]**

If the nominee also submitted an amendment, add the following language at the end of this substitute paragraph:  
, and in the amendment that I signed on January **YY**, 2017

January 31, 2017

Walter M. Shaub, Jr.  
Director  
U.S. Office of Government Ethics  
1201 New York Ave., NW, Suite 500  
Washington, DC 20005-3017

Dear Director Shaub:

I am enclosing a supplement to the ethic agreement that [insert nominee's name] signed on [insert date]. Based on my review of the ethics commitment made in this supplement, it is my opinion that there will be no unresolved conflicts between [insert his or her] financial interests and the duties that [insert he or she] will be expected to perform as [insert position].

Sincerely,

[insert DAEO's name]  
Designated Agency Ethics Official

Enclosure

**Comment [OGE4]:** If the nominee has submitted an amended ethics agreement add the following language at the end of this sentence:  
  
, as well as the amended ethics agreement signed on [insert date]

**From:** [Stephanie Nonluecha](#)  
**To:** [Deborah J. Bortot](#); [GCLPD MailGroup](#)  
**Subject:** RE: Pledge Language  
**Date:** Monday, January 30, 2017 7:52:24 PM

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All,

Deb asked me to send this e-mail. Please note that in the sample DAEO cover letter to Walt, in the first sentence, the letter “s” is missing in the word “ethics.”

Thanks,  
Stephanie

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**From:** Deborah J. Bortot  
**Sent:** Monday, January 30, 2017 5:55 PM  
**To:** GCLPD MailGroup  
**Subject:** Pledge Language

I’ve attached ethics agreement language to address the Executive Order dated January 28, 2017 (“Ethics Commitments by Executive Branch Appointees”).

The attachment to this email labeled “EA Pledge language” provides the language that needs to be included in ethics agreements for nominees covered by the Executive Order. Use the language in this attachment, **NOT** the language in the Guide on our website.

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**Reports not sent to Senate yet:**

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Thanks,  
Deb

**From:** [Kimberley H. Kaplan](#)  
**To:** [DeskOfficers MailGroup](#)  
**Subject:** Subject Matter Folders  
**Date:** Friday, February 03, 2017 4:15:00 PM

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FYI –

I've added copies of some internal training materials on interpretations of the previous administration's Ethics Pledge (EO 13490) to the Subject Matter Folder: Nonresponsive network info  
[REDACTED] These documents are not for distribution, internal reference only.

Kim

**From:** [Teresa L. Williamson](#)  
**To:** [Jack MacDonald](#); [Deborah J. Bortot](#); [Lorna A. Syme](#); [Sandra S. Mabry](#); [Heather A. Jones](#); [Megan V. Khaner](#); [Stephanie Noniuecha](#); [Keith Labedz](#)  
**Subject:** RE: Getting EA supplements to the Hill  
**Date:** Wednesday, February 01, 2017 9:52:23 AM  
**Importance:** High

To make it easier to make sure all EA supplements are captured below is a chart showing when they were sent to the Senate. I can sent them to the Senate when they are ready to go. Thanks! Teresa

Filer	Agency	Position	Reviewer	Date Sent to Senate	Amendment Sent to Senate	Pledge Amendment Sent to Senate
Carson, Ben	Department of Housing and Urban Development	Secretary	Monica	01/10/17	25-Jan	
Chao, Elaine L.	Department of Transportation	Secretary	Sandy	01/06/17		
DeVos, Elisabeth (Betsy) (P.)	Department of Education	Secretary	Megan	01/19/17		
Haley, Nikki	Department of State	Ambassador to the UN	Stephanie	12/30/16		
Kelly, John F.	Department of Homeland Security	Secretary	Stephanie	01/09/17	19-Jan	
Lighthizer, Robert	Office of the US Trade Representative	US Trade Representative	Stephanie	01/31/17		pledge lang in original EA sent to Senate
Mattis, James N.	Department of Defense	Secretary	Monica	01/05/17		
McMahon, Linda E.	Small Business Administration	Administrator	Rachel	01/17/17		
Mnuchin, Steven T.	Department of the Treasury	Secretary	Lorna	01/10/17		
Mulvaney, John Michael "Mick"	Office of Management and Budget	Director	Jim	01/14/17		
Perry, James R.	Department of Energy	Secretary	Jim	01/10/17		
Pompeo, Michael R.	Central Intelligence Agency	Director	Lorna	12/23/17		

Price, Thomas E.	Department of Health & Human Services	Secretary	Patrick	01/11/17		
Pruitt, Edward Scott	Environmental Protection Agency	Administrator	Stephanie	01/04/17		
Ross, Wilbur L.	Department of Commerce	Secretary	Keith	01/15/17		
Sessions, Jefferson B.	Department of Justice	Attorney General	Jack	12/23/16	27-Jan	
Shulkin, David J.	Department of Veterans Affairs	Secretary	Lorna	01/27/17		
Tillerson, Rex W.	Department of State	Secretary	Leigh	01/03/17		
Zinke, Ryan	Department of the Interior	Secretary	Lorna	01/10/17		

**From:** Jack MacDonald

**Sent:** Wednesday, February 01, 2017 8:28 AM

**To:** Deborah J. Bortot; Teresa L. Williamson; Lorna A. Syme; Sandra S. Mabry; Heather A. Jones; Megan V. Khaner; Stephanie Nonluecha; Keith Labedz

**Subject:** RE: Getting EA supplements to the Hill

Will do.

---

**From:** Deborah J. Bortot

**Sent:** Wednesday, February 01, 2017 8:17 AM

**To:** Teresa L. Williamson; Lorna A. Syme; Jack MacDonald; Sandra S. Mabry; Heather A. Jones; Megan V. Khaner; Stephanie Nonluecha; Keith Labedz

**Subject:** Getting EA supplements to the Hill

Sandy, Lorna, and Jack,

Could you coordinate to get any EA supplements we receive today to the Hill?

Thanks,

Deb

---

Nonresponsive



## Jennifer Matis

---

**From:** Jennifer Matis  
**Sent:** Monday, February 06, 2017 12:03 PM  
**To:** Agency Wide; News Clippings  
**Subject:** Legislative Update for Monday, February 6, 2017

### **The Senate reconvenes at 12 p.m.**

The Senate convenes at 12:00 p.m. to begin a period of morning business. Thereafter, it will proceed to executive session to resume consideration of the nomination of Elisabeth DeVos to be Secretary of Education.

### **The House reconvenes at 2 p.m.**

The House reconvenes at 2 p.m. for legislative business and is expected to consider measures under suspension of the rules. Roll call votes will be postponed until 6:30 p.m.

### **Legislative Branch Ethics and Related News**

*The Washington Post* reports on the “Follow the Rules Act,” approved by the House Oversight and Government Reform Committee last week. The bill would tighten whistleblower protections. [Bipartisan Hill leaders warn White House on whistleblowers](#)

### **Executive Branch Ethics and Related News**

*The Hill* publishes an opinion piece by Norman Eisen and Richard Painter arguing that Betsy DeVos has not sufficiently addressed her potential conflicts of interest. [The ethics case against Betsy DeVos](#)

*Bloomberg* publishes an opinion piece on the revocable trust established by Donald Trump to house assets of the Trump Organization. [Trump's Ethics Plan Is Even Worse Than You Thought](#)

*Government Executive* reports on the delays regarding Andrew Puzder’s confirmation hearing. The article notes that “[t]o veterans of the appointments process, Puzder’s experience is a case study in why new administrations should vet potential nominees for financial conflicts of interests before naming them to their posts—something the Trump transition did not do in assembling the Cabinet.” The article also quotes Max Stier of the Partnership for Public Service, who said: “It’s a rare person who has full appreciation of what’s involved in going through this process. It’s protection both for the administration as well as the nominee or potential nominee to be able to have that private preview.” [President Trump's Missing Labor Secretary](#)

*The Christian Science Monitor* reports that Vincent Viola withdrew his name from consideration to serve as Secretary of the Army. The article quotes Director Shaub’s comments last month praising Rex Tillerson’s ethics agreement. [Why Vincent Viola turned down Trump's nomination for Army Secretary](#)

### **Federal Agency and Related News**

*Federal News Radio* reports on the first meeting for the 115<sup>th</sup> Congress of the congressional Transparency Caucus. The article discusses the increase in FOIA requests received by OGE since the beginning of the fiscal year. [Transparency caucus pledges bipartisan effort, restoring public trust in open government](#)

*Politico* reports on some of the questions being asked about the Trump Administration’s executive order on regulation. [Five big questions about Trump's executive order on regulation](#)

### **Hearing of Interest**

Senate Commerce, Science and Transportation Committee hearing on "A Look Ahead: Inspector General Recommendations for Improving Federal Agencies."  
Wednesday, Feb. 8, 10 a.m.  
253 Russell Bldg.

Next Scheduled Recess:

**House:** February 9-10

**Senate:** February 20-24

**From:** [Brandon A. Steele](#)  
**To:** [Agency Wide](#)  
**Cc:** [News Clippings](#)  
**Subject:** Legislative Update for Monday, January 30, 2017  
**Date:** Monday, January 30, 2017 1:08:28 PM  
**Attachments:** [image001.jpg](#)

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### **The Senate reconvenes at 3:00 p.m.**

The Senate reconvenes at 3 p.m. and will be in a period of morning business. At 5 p.m., the Senate will resume consideration of the nomination of Rex Tillerson to be secretary of State. Following up to 30 minutes of debate, the Senate will hold a cloture vote on the nomination.

### **The House reconvenes at 2:00 p.m.**

The House reconvenes at 2 p.m. for legislative business and is expected to consider measures under suspension of the rules. Roll call votes will be postponed until 6:30 p.m.

### **Executive Branch Ethics and Related News**

Various outlets discuss the EO related to ethics commitments by executive branch employees.

[Trump Toughens Some Facets of Lobbying Ban and Weakens Others](#) [Trump lobbying ban weakens Obama rules](#) [Trump blocks revolving door for lobbyists](#) [Trump imposes lifetime ban on some lobbying, five years for others](#) [Trump puts five-year lobbying ban on his political appointees](#) [Trump's Ethics Order Seen as Boost for Shadow Lobbying](#) [Trump's Executive Order On Ethics Pulls Word For Word From Obama, Clinton](#) [Trump Administration Executive Order on Ethics Breaks New Ground](#) [Trump lobbying ban strips out language requiring public reporting on ethics compliance](#) [Trump lobbying ban strips out language requiring public reporting on ethics compliance](#) [Trump sets tougher restrictions on lobbying by officials](#) *NPR* reports that the Office of Legal Counsel has not confirmed whether it was consulted on any of the recent EOs. [Key Justice Dept. Office Won't Say If It Approved White House Executive Orders](#) The EO is [here](#).

*Newsweek* reports that an analysis of ethics agreements “shows that none of the compacts mention the 2009 executive order that requires incoming officials to sign a pledge to avoid participating in policies that ‘directly and substantially relate to [their] former employer or former clients’ for the first two years of government service. POGO is quoted as stating “the Office of Government Ethics is not applying the Obama ethics order. Because it is still in place, OGE isn’t following the law...”

### **[OBAMA ETHICS RULE UNENFORCED IN TRUMP WHITE HOUSE](#)**

Multiple outlets discuss various aspects of President Trump’s alleged conflicts of interest. [President Trump's Muslim ban excludes countries linked to his sprawling business empire](#) [Trump's Immigration Ban Excludes Countries With Business Ties](#) [This week in Donald Trump's conflicts of interest: Business meets government at his inauguration](#) [Trump Follows Mayor Bloomberg's Ethics Steps: Critics Call That Inadequate](#) [WHY TRUMP'S CONFLICTS OF INTEREST WON'T HURT HIM](#) [How Does Trump's Immigration Freeze Square With His Business Interests?](#) [Trump Organization's Ethics Team Tied to Trump U, Dark Money](#)

Outlets discuss the transition. [Tales Of Presidential Transition Woe, With The Associated Press](#) [Republicans aim to break jam on Trump nominees](#) [Donald Trump Puts Coal Lobbyist in Charge of Prosecuting Environmental Crimes](#)

### **Federal Agency and Related News**

*Fed Smith* reports that the new administration intends to cut the EPA workforce by up to half. [Trump Plans to Cut EPA Workforce by as Much as 50%](#)

### **International Ethics and Related News**

*Forbes* reports that a petition is circulating in Kenya’s parliament to introduce the concept of a blind trust. [Kenyan Politician Advocates For Blind Trust To End Corruption](#)

### **Next Scheduled Recess:**

**House:** February 9-10

**Senate:** February 20-24

Visit OGE's website: [www.oge.gov](http://www.oge.gov)

Follow OGE on Twitter: @OfficeGovEthics

OGE\_rgb



**From:** [Elizabeth D. Horton](#)  
**To:** [Agency Wide: News Clippings](#)  
**Subject:** Legislative Update for Monday, February 13, 2017  
**Date:** Monday, February 13, 2017 11:56:47 AM

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### **The Senate reconvenes at 12:00 p.m.**

The Senate reconvenes at noon and is expected to resume consideration of the nomination of Steven Mnuchin to be secretary of the Treasury. Roll call votes are expected at 7 p.m. on the Mnuchin nomination and on the nomination of David Shulkin to be secretary of Veterans Affairs.

### **The House reconvenes at 2:00 p.m.**

The House reconvenes at 2 p.m. for legislative business and is expected to consider measures under suspension of the rules. Roll call votes will be postponed until 6:30 p.m.

### **Legislative Branch Ethics and Related News**

*Roll Call* discusses the absence of a current director of the Office of Management and Budget and its effect on the budget. [It's Huge: Trump Administration Sets Record with Empty OMB Director Slot](#)

### **Executive Branch Ethics and Related News**

*CNN Money* cites the Office of Government Ethics in reporting the number of federal workers accused of violating government ethics rules in 2015 and the disciplinary actions they faced. [Here's what happens when you violate government ethics rules](#)

Multiple outlets discuss President Trump's alleged conflicts of interest. [How Kellyanne Conway is in hot water over Ivanka brand promotion \(The Christian Science Monitor\)](#); [The Week Of Blurred Lines Between President Trump And Businessman Trump \(NPR\)](#); [Trump's south Florida estate raises ethics questions \(Yahoo\)](#); [Deutsche Bank remains Trump's biggest conflict of interest \(The CT Mirror\)](#); [Trump's 'Winter White House' raises more conflict of interest accusations \(WION\)](#); [Report: Firm lobbying for Saudi Arabia paid guest's bill at Trump hotel \(AOL\)](#)

*A.V. Club* reports that President Trump's counselor was "'counseled' by the Office of Government Ethics" for telling taxpayers to buy a line of products. [Kmart and Sears don't want to be associated with Ivanka Trump, either](#)

*International Business Times* reports that President Donald Trump's nominee to head the U.S. Department of Labor personally owed millions of dollars to a convicted bank that is relying on the same department to waive sanctions for its crimes. [Donald Trump's Labor Secretary Pick Andrew Puzder Owed Millions To UBS As Bank Seeks Waiver From Sanctions](#)

*The Week* opines that the White House's recent executive order extending the lobbying ban on executive branch officials is unlikely to stem the lobbying "revival" predicted by a leading lobbyist. [Why President Trump is a godsend for lobbyists](#)

*Propublica* discusses the new ethics pledge and how a former lobbyist was able to take a job in the government as a result of President Donald Trump's revision of ethics rules in place during the Obama administration. The article notes that the pledge cites a part of the U.S. Code that does not exist. [Trump's Watered-Down Ethics Rules Let a Lobbyist Help Run an Agency He Lobbied](#)

### **Federal Agency and Related News**

*Government Executive* reports that the White House has started rolling out reform ideas in the areas of federal pay and moving current government functions to the private sector. The article notes that a review of federal employees' compensation will not focus on one area or agency, but instead "at how government as a whole operates." [White House Floats Federal Employee Pay Reform, Privatization in Name of Innovation](#)

**Next Scheduled Recess:**

**House:** February 20-24

**Senate:** February 20-24

**From:** [Elizabeth D. Horton](#)  
**To:** [Agency Wide: News Clippings](#)  
**Subject:** Legislative Update for Friday, January 27, 2017  
**Date:** Friday, January 27, 2017 11:38:56 AM

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## **The Senate and the House meet in pro forma sessions.**

### **Legislative Branch Ethics and Related News**

*ValueWalk* reports that some abnormal gains on the stock market resulting from “informational advantage” enjoyed by U.S. senators have vanished since an exposé by the 60 Minutes television show and the subsequent passage of the Stop Trading on Congressional Knowledge, or STOCK Act in 2012. [Senators’ Abnormal Gains Vanish After STOCK Act](#)

### **Executive Branch Ethics and Related News**

*International Business Times* reports that the two-year restriction on participating in government business affecting former employers required by the ethics pledge appears to be going unenforced -- even if it is still on the books. The article notes that the White House did not respond to International Business Times questions about whether the Trump administration is following the current executive order that compels appointees to sign the ethics pledge. [Trump White House Appears To Kill Obama's Ethics Rule: Appointees May Not Be Signing Required Ethics Pledges](#)

*Federal News Radio* reports that President Donald Trump’s pick to lead the Air Force has the needed experience, but some fear her business connections could be a problem, as illustrated by the Project on Government Oversight (POGO) describing her as “a textbook example of the revolving door.” [Wilson has the chops, but business connections may crash Air Force nominee](#)

Multiple sources report that Gary Cohn is walking away from a career at Goldman Sachs to become President Trump’s top economic adviser with \$285 million. NBC-2 notes that Goldman’s willingness to give Cohn a chunk of that fortune ahead of schedule is causing unease among ethics experts, who say the huge payout will make him beholden to the Wall Street firm he worked at for 25 years. They say that Cohn should have to recuse himself from Trump administration matters linked to his former firm. [Goldman’s \\$285 Million Package for Gary Cohn Is Questioned \(The New York Times\)](#); [Trump adviser Gary Cohn's \\$285 million Goldman Sachs exit raises eyebrows \(NBC-2\)](#); [Ethics Of Gary Cohn Goldman Sachs Exit Deal Questioned \(Jewish Business News\)](#); [Dems rip Trump adviser’s Goldman Sachs payout \(The Hill\)](#)

*The Washington Post* and *The Huffington Post* report that the confirmation hearing for President Trump’s Labor secretary nominee Andrew Puzder was delayed again to allow more time for the candidate to submit his paperwork. The Washington Post article states that a Senate aide confirmed that Puzder has filed his ethics paperwork with the Office of Government Ethics, but the report, which would outline how the nominee plans to avoid conflicts of interest if confirmed, is still being reviewed and has not been submitted to the Senate. [Labor secretary nominee’s confirmation hearing delayed again \(The Washington Post\)](#); [Trump’s Labor Nominee Still Hasn’t Turned In His Ethics Paperwork \(The Huffington Post\)](#) Relatedly, *Yahoo! Finance* reports that workers from CKE Restaurants filed 33 complaints against franchises of the company, which is run by Donald Trump’s nominee to head the Department of Labor. [33 labor complaints just dropped in front of Trump's labor secretary pick](#)

*OB Rag* reports that the American Civil Liberties Union has taken legal action seeking documents on conflicts of interest and violations of the Constitution and federal law posed by President Trump’s and his family’s business interests by filing a Freedom of Information Act request with several

government agencies, including the Office of Government Ethics. [ACLU Announces First Legal Action On Trump Conflicts Of Interest](#)

*VOA News* reports that the anti-corruption group Transparency International says it is concerned that allegations of conflicts of interest and nepotism in the Trump administration may hurt international perceptions about America's ability to continue serving as a champion of anti-corruption efforts around the world. [Watchdog Says US Transparency Rating May Be Endangered](#)

*WTOP* reports that a husband and wife have been indicted by a federal grand jury on conspiracy and bribery charges related to contracting at the U.S. Army Communications-Electronics Command headquartered at Aberdeen Proving Ground. The article notes that the couple was also charged with making false statements on their government ethics forms. [Bel Air couple accused of taking bribes for Army contracts](#)

*The Atlanta Journal-Constitution* reports that Stefan Passantino is set to be in charge of President Donald Trump's team of ethics lawyers and will be charged with trying to smooth over Trump's conflicts of interests. [Meet the Atlanta attorney who will head Donald Trump's ethics office](#)

Multiple sources report on the President's conflicts of interest. [Trump's foreign profits plan is doable, but experts say it's an ethical minefield](#); [Trump's Mar-a-Lago is getting more exclusive](#); [Trump's Immigration Ban Excludes Countries With Business Ties](#); [Should Chaffetz investigate Trump over pipeline?](#); [Report: Trump names new head of agency in charge of DC hotel](#)

*The Huffington Post* refers to the revolving door in reporting that Julie Lindsay, a top lawyer at Citigroup, was working for the president-elect before the inauguration to fill key posts at agencies that are supposed to oversee banks and is now back at work at Citigroup. [A Citigroup Lawyer Helped Trump Pick Bank Regulators, Then Returned To Work At The Bank](#)

### **Federal Agency and Related News**

*Government Executive* reports that President Donald Trump threw his support behind dramatic changes to federal employees' retirement and health benefits, calling the current system "unsustainable." The article notes that decreases in feds' benefits will likely come through Congress with the House Oversight and Government Reform Chairman promising sweeping reforms in this area. [White House Throws Weight Behind Cutting Feds' Retirement, Health Benefits](#)

### **Next Scheduled Recess:**

**House:** January 26-27, February 9-10

**Senate:** February 20-24



**From:** [Kelsey D. Phipps](#)  
**To:** [Agency Wide; News Clippings](#)  
**Subject:** Legislative Update for Thursday, February 23, 2017  
**Date:** Thursday, February 23, 2017 10:53:17 AM

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## **The Senate meets in a pro forma session.**

## **No votes are expected in the House.**

### **Legislative Branch Ethics and Related News**

*Politico* and *Daily Kos* report that House Republicans will bury a Democratic resolution aimed at President Trump's alleged conflicts of interest. The resolution will be sent to committee where it will be voted down, rather than go to the floor for a vote. [GOP to bury House resolution on Trump conflicts](#) [Republicans move to hide Trump's Russia connections, bury conflict of interest investigations](#)

### **Executive Branch Ethics and Related News**

*WMGT* reports that there are many public records missing from the White House website, including a page reporting waivers of ethics regulations to allow executive branch appointees to serve without being bound by all or a part of an executive order enacted on Jan. 28 that restricts the lobbying activities of former government officials. [HOST OF PUBLIC RECORDS STILL MISSING FROM WHITE HOUSE WEBSITE](#)

*Opposingviews.com* discusses Secretary Pruitt's alleged conflicts of interest. [EPA Chief Pruitt's Long-Standing Conflicts Of Interest](#)

*Rolling Stone* reports on potential conflicts of interest with regards to the President's pick for Ambassador to the Dominican Republic. [Reported Trump Ambassador Pick Raises Conflict-of-Interest Questions](#)

*Politico* reports that top former Obama attorneys are forming a group to fight President Trump's alleged conflicts of interest. [Obama lawyers form 'worst-case scenario' group to tackle Trump](#)

Multiple sources discuss the President's alleged conflicts of interest. [Donald Trump's global trademarks show huge conflicts of interest and a lot of failures](#) [Despite Brush-Off From Trump, More Than Half of Voters Say His Conflicts of Interest Illegal or Wrong](#) [A Real Fix for Trump's Conflicts of Interest](#) [Trump's Conflicts Could Undercut Global Efforts To Fight Corruption, Critics Say](#) [Donald Trump's Mystery \\$50 Million \(or More\) Loan](#) [The Ethics Cloud over Trump](#) [DAILY POLL: Trump's ethics](#) [Ethics is not a partisan issue](#)

### **Federal Agency and Related News**

*Fedweek* reports on OGE's guidance on financial disclosures. [OGE Explains Reviews of Financial Disclosures](#)

*The National Law Review* provides an analysis of the new Pledge. [You're Hired: President Trump Introduces Ethics Pledge For His Appointees With Serious Departures from Obama's Ethics Pledge](#)

### **Next Scheduled Recess:**

**House:** February 20-24

**Senate:** February 20-24

Kelsey D. Phipps

Assistant Counsel, Legal, External Affairs and Performance Branch

Program Counsel Division

U.S. Office of Government Ethics

(202) 482-9318

[kelsey.phipps@oge.gov](mailto:kelsey.phipps@oge.gov)

Visit OGE's website: [www.oge.gov](http://www.oge.gov)

Follow OGE on Twitter: @OfficeGovEthics

**From:** [Kimberly L. Sikora Panza](#)  
**To:** [Seth Jaffe](#); [Heather A. Jones](#)  
**Cc:** [David J. Apol](#); [Director of OGE](#); [Rachel K. Dowell](#); [Deborah J. Bortot](#)  
**Subject:** RE: EO on lobbying was signed today  
**Date:** Sunday, January 29, 2017 10:13:54 PM

---

Thanks, Heather/Seth/et al. (b)(5) & (b)(6)

Thanks,  
Kim

---

**From:** Seth Jaffe  
**Sent:** Sunday, January 29, 2017 1:42 PM  
**To:** Heather A. Jones  
**Cc:** David J. Apol; Director of OGE; Rachel K. Dowell; Deborah J. Bortot; Kimberly L. Sikora Panza  
**Subject:** Re: EO on lobbying was signed today

Heather,

Thank you for the update. (b)(5) & (b)(6)

Seth

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

---

**From:** Heather A. Jones  
**Sent:** Sunday, January 29, 2017 1:32 PM  
**To:** Seth Jaffe  
**Cc:** David J. Apol; Director of OGE; Rachel K. Dowell; Deborah J. Bortot; Kimberly L. Sikora Panza  
**Subject:** RE: EO on lobbying was signed today

(b)(5) & (b)(6)

Heather

---

**From:** Seth Jaffe  
**Sent:** Sunday, January 29, 2017 1:19 PM  
**To:** Heather A. Jones  
**Cc:** David J. Apol; Director of OGE; Rachel K. Dowell  
**Subject:** Re: EO on lobbying was signed today

Heather,

Thanks. We are looking at this and

Rachel has already done some preliminary analysis.

Thanks again,

Seth

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

---

**From:** Heather A. Jones  
**Sent:** Sunday, January 29, 2017 12:51 PM  
**To:** Deborah J. Bortot; David J. Apol; Director of OGE  
**Cc:** Seth Jaffe; Leigh J. Francis  
**Subject:** RE: EO on lobbying was signed today

All –

Attached is a copy of the new pledge from the WH website.

Heather

---

**From:** Heather A. Jones  
**Sent:** Saturday, January 28, 2017 10:45 PM  
**To:** Deborah J. Bortot; David J. Apol; Director of OGE  
**Subject:** EO on lobbying was signed today

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

**Jennifer Matis**

---

**From:** Seth Jaffe  
**Sent:** Tuesday, February 14, 2017 12:49 PM  
**To:** Leigh J. Francis; Rachel K. Dowell; Monica M. G. Ashar  
**Cc:** David J. Apol  
**Subject:** RE: Holdover Day Counting-- From which Day does the 100 begin to Run

Dear folks,

I spoke with Dave and he and I agree that we can provide this advice. (i.e. hold-overs required to sign began on January 20, 2017 and ends on April 29, 2017 – subject to whether the agency provides an extension)


Thanks,  
Seth

---

**From:** Leigh J. Francis  
**Sent:** Tuesday, February 14, 2017 11:28 AM  
**To:** Rachel K. Dowell; Monica M. G. Ashar  
**Cc:** David J. Apol; Seth Jaffe  
**Subject:** Holdover Day Counting-- From which Day does the 100 begin to Run

Rachel and Monica,

(b)(5) deliberative



the former is the recommended interpretation. Please let me know if you have any questions or concerns.

Best,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
(202) 482-9313

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## Jennifer Matis

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**From:** Seth Jaffe  
**Sent:** Friday, February 03, 2017 10:57 AM  
**To:** Monica M. G. Ashar  
**Subject:** RE: Link to E.O. 13770

Thanks!

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**From:** Monica M. G. Ashar  
**Sent:** Friday, February 03, 2017 10:51 AM  
**To:** Seth Jaffe  
**Subject:** Link to E.O. 13770

Here's the link to the Executive Order: <https://www.gpo.gov/fdsys/pkg/FR-2017-02-03/pdf/2017-02450.pdf>

## Jennifer Matis

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**From:** Heather A. Jones  
**Sent:** Sunday, January 29, 2017 12:51 PM  
**To:** Deborah J. Bortot; David J. Apol; Director of OGE  
**Cc:** Seth Jaffe; Leigh J. Francis  
**Subject:** RE: EO on lobbying was signed today  
**Attachments:** Trump ethics pledge.pdf

All –  
Attached is a copy of the new pledge from the WH website.

Heather

---

**From:** Heather A. Jones  
**Sent:** Saturday, January 28, 2017 10:45 PM  
**To:** Deborah J. Bortot; David J. Apol; Director of OGE  
**Subject:** EO on lobbying was signed today

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

the WHITE HOUSE



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**The White House**

Office of the Press Secretary

For Immediate Release

January 28, 2017

# Executive Order: ETHICS COMMITMENTS BY EXECUTIVE BRANCH APPOINTEES

EXECUTIVE ORDER

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## ETHICS COMMITMENTS BY EXECUTIVE BRANCH APPOINTEES

By the authority vested in me as President of the United States by the Constitution and the laws of the United States of America, including section 301 of title 3, United States Code, and sections 3301 and 7301 of title 5, United States Code, it is hereby ordered as follows:

Section 1. Ethics Pledge. Every appointee in every executive agency appointed on or after January 20, 2017, shall sign, and upon signing shall be contractually committed to, the following pledge upon becoming an appointee:

"As a condition, and in consideration, of my employment in the United States Government in an appointee position invested with the public trust, I commit myself to the following obligations, which I understand are binding on me and are enforceable under law:

"1. I will not, within 5 years after the termination of my employment as an appointee in any executive agency in which I am appointed to serve, engage in lobbying activities with respect to that agency.

"2. If, upon my departure from the Government, I am covered by the post-employment restrictions on communicating with employees of my former executive agency set forth in section 207(c) of title 18, United States Code, I agree that I will abide by those restrictions.

"3. In addition to abiding by the limitations of paragraphs 1 and 2, I also agree, upon leaving Government service, not to engage in lobbying activities with respect to any covered executive branch official or non-career Senior Executive Service appointee for the remainder of the Administration.

"4. I will not, at any time after the termination of my employment in the United States Government, engage in any activity on behalf of any foreign government or foreign political party which, were it undertaken on January 20, 2017, would require me to register under the Foreign Agents Registration Act of 1938, as amended.

"5. I will not accept gifts from registered lobbyists or lobbying organizations for the duration of my service as an appointee.

"6. I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer or former clients, including regulations and contracts.

"7. If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

"8. I agree that any hiring or other employment decisions I make will be based on the candidate's qualifications, competence, and experience.

"9. I acknowledge that the Executive Order entitled 'Ethics Commitments by Executive Branch Appointees,' issued by the President on January 28, 2017, which I have read before signing this document, defines certain terms applicable to the foregoing obligations and sets forth the methods for enforcing them. I expressly accept the provisions of that Executive Order as a part of this agreement and as binding on me. I understand that the obligations of this pledge are in addition to any statutory or other legal restrictions applicable to me by virtue of Government service."

Sec. 2. Definitions. As used herein and in the pledge set forth in section 1 of this order:

(a) "Administration" means all terms of office of the incumbent President serving at the time of the appointment of an appointee covered by this order.

(b) "Appointee" means every full-time, non-career Presidential or Vice-Presidential appointee, non-career appointee in the Senior Executive Service (or other SES-type system), and appointee to a position that has been excepted from the competitive service by reason of being of a confidential or policymaking character (Schedule C and other positions excepted under comparable criteria) in an executive agency. It does not include any person appointed as a member of the Senior Foreign Service or solely as a uniformed service commissioned officer.

(c) "Covered executive branch official" shall have the definition set forth in the Lobbying Disclosure Act.

(d) "Directly and substantially related to my former employer or former clients" shall mean matters in which the appointee's former employer or a former client is a party or represents a party.

(e) "Executive agency" and "agency" mean "executive agency" as defined in section 105 of title 5, United States Code, except that the terms shall include the Executive Office of the President, the United States Postal Service, and the Postal Regulatory Commission, and excludes the Government Accountability Office. As used in paragraph 1 of the pledge, "executive agency" means the entire agency in which the appointee is appointed to serve, except that:

(1) with respect to those appointees to whom such designations are applicable under section 207(h) of title 18, United States Code, the term means an agency or bureau designated by the Director of the Office of Government Ethics under section 207(h) as a separate department or agency at the time the appointee ceased to serve in that department or agency; and

(2) an appointee who is detailed from one executive agency to another for more than 60 days in any calendar year shall be deemed to be an officer or employee of both agencies during the period such person is detailed.

(f) "Foreign Agents Registration Act of 1938, as amended" means sections 611 through 621 of title 22, United States Code.

(g) "Foreign government" means the "government of a foreign country," as defined in section 1(e) of the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. 611(e).

(h) "Foreign political party" has the same meaning as that term has in section 1(f) of the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. 611(f).

(i) "Former client" is any person for whom the appointee served personally as agent, attorney, or consultant within the 2 years prior to the date of his or her appointment, but excluding instances where the service provided was limited to a speech or similar appearance. It does not include clients of the appointee's former employer to whom the appointee did not personally provide services.

(j) "Former employer" is any person for whom the appointee has within the 2 years prior to the date of his or her appointment served as an employee, officer, director, trustee, or general partner, except that "former employer" does not include any executive agency or other entity of the Federal Government, State or local government, the District of Columbia, Native American tribe, or any United States territory or possession.

(k) "Gift"

(1) shall have the definition set forth in section 2635.203(b) of title 5, Code of Federal Regulations;

(2) shall include gifts that are solicited or accepted indirectly as defined at section 2635.203(f) of title 5, Code of Federal Regulations; and

(3) shall exclude those items excluded by sections 2635.204(b), (c), (e)(1) & (3), (j), (k), and (l) of title 5, Code of Federal Regulations.

(l) "Government official" means any employee of the executive branch.

(m) "Lobbied" shall mean to have acted as a registered lobbyist.

(n) "Lobbying activities" has the same meaning as that term has in the Lobbying Disclosure Act, except that the term does not include communicating or appearing with regard to: a judicial proceeding; a criminal or civil law enforcement inquiry, investigation, or

proceeding; or any agency process for rulemaking, adjudication, or licensing, as defined in and governed by the Administrative Procedure Act, as amended, 5 U.S.C. 551 *et seq.*

(o) "Lobbying Disclosure Act" means sections 1601 *et seq.* of title 2, United States Code.

(p) "Lobbyist" shall have the definition set forth in the Lobbying Disclosure Act.

(q) "On behalf of another" means on behalf of a person or entity other than the individual signing the pledge or his or her spouse, child, or parent.

(r) "Particular matter" shall have the same meaning as set forth in section 207 of title 28, United States Code, and section 2635.402(b)(3) of title 5, Code of Federal Regulations.

(s) "Particular matter involving specific parties" shall have the same meaning as set forth in section 2641.201(h) of title 5, Code of Federal Regulations, except that it shall also include any meeting or other communication relating to the performance of one's official duties with a former employer or former client, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties.

(t) "Participate" means to participate personally and substantially.

(u) "Pledge" means the ethics pledge set forth in section 1 of this order.

(v) "Post-employment restrictions" shall include the provisions and exceptions in section 207(c) of title 18, United States Code, and the implementing regulations.

(w) "Registered lobbyist or lobbying organization" shall mean a lobbyist or an organization filing a registration pursuant to section 1603(a) of title 2, United States Code, and in the case of an organization filing such a registration, "registered lobbyist" shall include each of the lobbyists identified therein.

(x) Terms that are used herein and in the pledge, and also used in section 207 of title 18, United States Code, shall be given the same meaning as they have in section 207 and any implementing regulations issued or to be issued by the Office of Government Ethics, except to the extent those terms are otherwise defined in this order.

(y) All references to provisions of law and regulations shall refer to such provisions as in effect on January 20, 2017.

Sec. 3. Waiver. (a) The President or his designee may grant to any person a waiver of any restrictions contained in the pledge signed by such person.

(b) A waiver shall take effect when the certification is signed by the President or his designee.

(c) A copy of the waiver certification shall be furnished to the person covered by the waiver and provided to the head of the agency in which that person is or was appointed to serve.

Sec. 4. Administration. (a) The head of every executive agency shall establish for that agency such rules or procedures (conforming as nearly as practicable to the agency's general ethics rules and procedures, including those relating to designated agency ethics officers) as are necessary or appropriate:

(1) to ensure that every appointee in the agency signs the pledge upon assuming the appointed office or otherwise becoming an appointee; and

(2) to ensure compliance with this order within the agency.

(b) With respect to the Executive Office of the President, the duties set forth in section 4(a) shall be the responsibility of the Counsel to the President or such other official or officials to whom the President delegates those duties.

(c) The Director of the Office of Government Ethics shall:

(1) ensure that the pledge and a copy of this Executive Order are made available for use by agencies in fulfilling their duties under section 4(a);

(2) in consultation with the Attorney General or Counsel to the President, when appropriate, assist designated agency ethics officers in providing advice to current or former appointees regarding the application of the pledge; and

(3) adopt such rules or procedures (conforming as nearly as practicable to its generally applicable rules and procedures) as are necessary or appropriate:

(i) to carry out the foregoing responsibilities;

(ii) to apply the lobbyist gift ban set forth in paragraph 5 of the pledge to all executive branch employees;

(iii) to authorize limited exceptions to the lobbyist gift ban for circumstances that do not implicate the purposes of the ban;

(iv) to make clear that no person shall have violated the lobbyist gift ban if the person properly disposes of a gift as provided by section 2635.206 of title 5, Code of Federal Regulations;

(v) to ensure that existing rules and procedures for Government employees engaged in negotiations for future employment with private businesses that are affected by their official actions do not affect the integrity of the Government's programs and operations; and

(vi) to ensure, in consultation with the Director of the Office of Personnel Management, that the requirement set forth in paragraph 8 of the pledge is honored by every employee of the executive branch;

(d) An appointee who has signed the pledge is not required to sign the pledge again upon appointment or detail to a different office, except that a person who has ceased to be an appointee, due to termination of employment in the executive branch or otherwise, shall sign the pledge prior to thereafter assuming office as an appointee.

(e) All pledges signed by appointees, and all waiver certifications with respect thereto, shall be filed with the head of the appointee's agency for permanent retention in the appointee's official personnel folder or equivalent folder.

Sec. 5. Enforcement. (a) The contractual, fiduciary, and ethical commitments in the pledge provided for herein are solely enforceable by the United States by any legally available means, including any or all of the following: debarment proceedings within any affected executive agency or civil judicial proceedings for declaratory, injunctive, or monetary relief.

(b) Any former appointee who is determined, after notice and hearing, by the duly designated authority within any agency, to have violated his or her pledge may be barred from engaging in lobbying activities with respect to that agency for up to 5 years in addition to the 5-year time period covered by the pledge. The head of every executive agency shall, in consultation with the Director of the Office of Government Ethics, establish procedures to implement this subsection, which shall include (but not be limited to) providing for factfinding and investigation of possible violations of this order and for referrals to the Attorney General for his or her consideration pursuant to subsection (c).

(c) The Attorney General or his or her designee is authorized:

(1) upon receiving information regarding the possible breach of any commitment in a signed pledge, to request any appropriate Federal investigative authority to conduct such investigations as may be appropriate; and

(2) upon determining that there is a reasonable basis to believe that a breach of a commitment has occurred or will occur or continue, if not enjoined, to commence a civil action on behalf of the United States against the former officer or employee in any United States District Court with jurisdiction to consider the matter.

(d) In such civil action, the Attorney General or his or her designee is authorized to request any and all relief authorized by law, including but not limited to:

- (1) such temporary restraining orders and preliminary and permanent injunctions as may be appropriate to restrain future, recurring, or continuing conduct by the former officer or employee in breach of the commitments in the pledge he or she signed; and
- (2) establishment of a constructive trust for the benefit of the United States, requiring an accounting and payment to the United States Treasury of all money and other things of value received by, or payable to, the former officer or employee arising out of any breach or attempted breach of the pledge signed by the former officer or employee.

Sec. 6. General Provisions. (a) This order supersedes Executive Order 13490 of January 21, 2009 (Ethics Commitments by Executive Branch Personnel), and therefore Executive Order 13490 is hereby revoked. No other prior Executive Orders are repealed by this order. To the extent that this order is inconsistent with any provision of any prior Executive Order, this order shall control.

(b) If any provision of this order or the application of such provision is held to be invalid, the remainder of this order and other dissimilar applications of such provision shall not be affected.

(c) The pledge and this order are not intended to, and do not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party (other than by the United States) against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

(d) The definitions set forth in this order are solely applicable to the terms of this order, and are not otherwise intended to impair or affect existing law.

(e) Nothing in this order shall be construed to impair or otherwise affect:

- (1) the authority granted by law to an executive department, agency, or the head thereof; or
- (2) the functions of the Director of the Office of Management and Budget relating to budgetary, administrative, or legislative proposals.

(f) This order shall be implemented consistent with applicable law and subject to the availability of appropriations.

DONALD J. TRUMP



## Jennifer Matis

---

**From:** Rachel K. Dowell  
**Sent:** Sunday, January 29, 2017 12:25 PM  
**To:** Seth Jaffe  
**Subject:** RE: Ethics EO

Sounds good – Monday is my CWS but I’m available in the PM if needed (my calls will still be forwarding).

---

**From:** Seth Jaffe  
**Sent:** Sunday, January 29, 2017 12:23 PM  
**To:** Rachel K. Dowell  
**Subject:** Re: Ethics EO

Rachel,

Thanks! Let's discuss Monday.

Seth

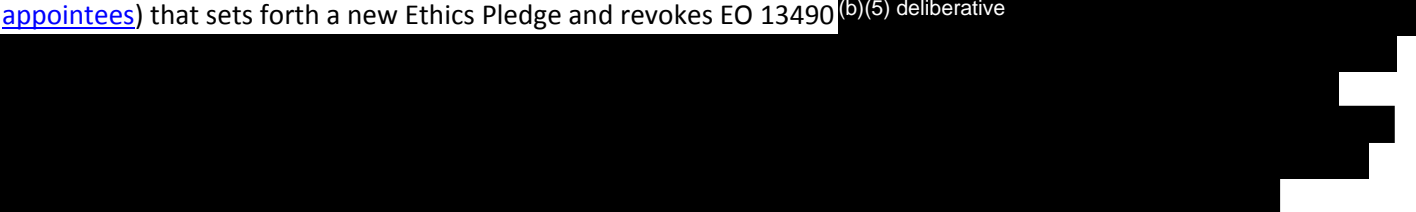
Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

---

**From:** Rachel K. Dowell  
**Sent:** Sunday, January 29, 2017 12:16 PM  
**To:** Seth Jaffe  
**Subject:** Ethics EO

Hi Seth –

As you are probably aware, President Trump signed an ethics executive order yesterday (<https://www.whitehouse.gov/the-press-office/2017/01/28/executive-order-ethics-commitments-executive-branch-appointees>) that sets forth a new Ethics Pledge and revokes EO 13490 (b)(5) deliberative



I also wanted to volunteer to help implement this EO. Please let me know what I can do to help.

Thanks!  
Rachel



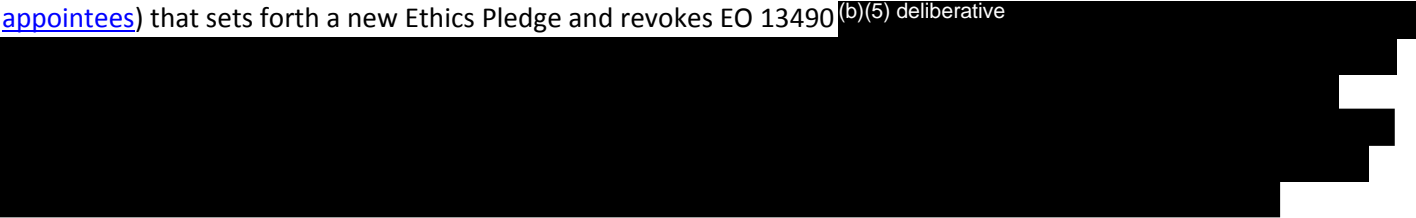
## Jennifer Matis

---

**From:** Rachel K. Dowell  
**Sent:** Sunday, January 29, 2017 12:17 PM  
**To:** Seth Jaffe  
**Subject:** Ethics EO  
**Attachments:** Ethics EO Comparison (Draft 1.29.17).docx

Hi Seth –

As you are probably aware, President Trump signed an ethics executive order yesterday (<https://www.whitehouse.gov/the-press-office/2017/01/28/executive-order-ethics-commitments-executive-branch-appointees>) that sets forth a new Ethics Pledge and revokes EO 13490 (b)(5) deliberative

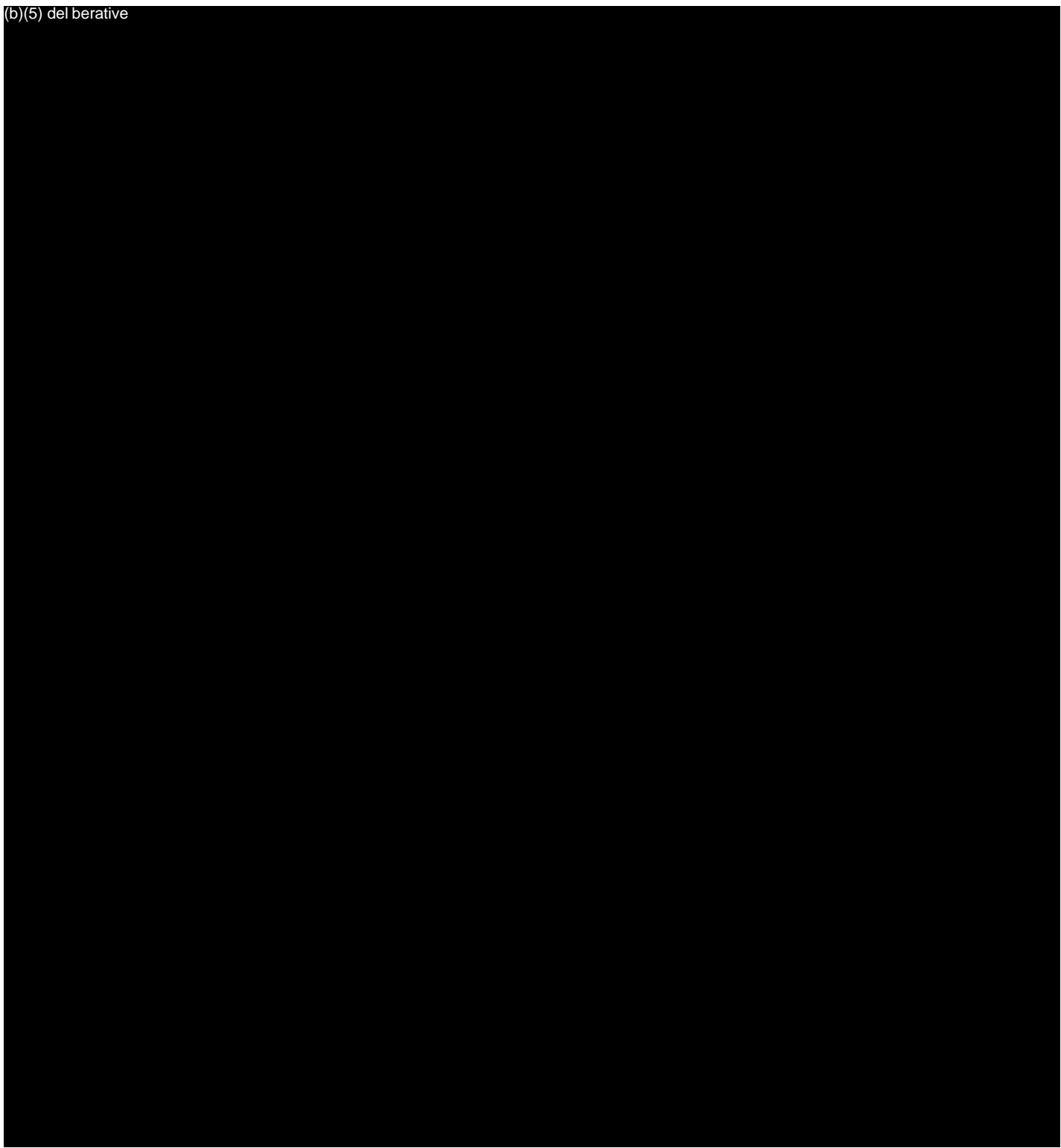


I also wanted to volunteer to help implement this EO. Please let me know what I can do to help.

Thanks!  
Rachel



(b)(5) del berative



## Jennifer Matis

---

**From:** Seth Jaffe  
**Sent:** Tuesday, February 14, 2017 3:27 PM  
**To:** Rachel K. Dowell  
**Subject:** FW: Accomplishment has been entered by Rachel K. Dowell

Rachel,

Thank you very much for entering this in to the log - and of course for your great job putting this together. I think that Kim is a fan!

Seth

-----Original Message-----

**From:** [Michael\\_Hanson.USOGE@oge.gov](mailto:Michael_Hanson.USOGE@oge.gov) [[mailto:Michael\\_Hanson.USOGE@oge.gov](mailto:Michael_Hanson.USOGE@oge.gov)]  
**Sent:** Tuesday, February 14, 2017 2:48 PM  
**To:** Seth Jaffe; Deborah J. Bortot; David J. Apol  
**Subject:** Accomplishment has been entered by Rachel K. Dowell

Hello  
Rachel K. Dowell has added a new accomplishment

### ACCOMPLISHMENT

-----

Presentation at Desk Officer meeting on agency questions related to E.O. 13770.  
-----

Click this link to open the entry and see individual contributions:

Non-responsive network information

---

## Jennifer Matis

---

**From:** Rachel K. Dowell  
**Sent:** Tuesday, February 14, 2017 9:13 AM  
**To:** Monica M. G. Ashar; Leigh J. Francis  
**Cc:** Seth Jaffe  
**Subject:** Pledge/Desk Officer Pre-Meeting  
**Attachments:** CHART - Agency Questions on Pledge (2.14.17).docx

Monica & Leigh – Please meet in Seth’s office at 9:45 for pre-meeting prep. Thanks!

(b)(5) deliberative









(b)(5) deliberative



## Jennifer Matis

---

**From:** Suzanne L. Meyer  
**Sent:** Friday, February 03, 2017 2:13 PM  
**To:** Seth Jaffe  
**Subject:** RE: Link to E.O. 13770

Thanks, Seth. (b)(5) deliberative

Thanks,

Suzanne

---

**From:** Seth Jaffe  
**Sent:** Friday, February 03, 2017 2:11 PM  
**To:** GCLPD MailGroup; AAB MailGroup  
**Cc:** Deborah J. Bortot; Heather A. Jones; Shelley K. Finlayson; Diana Veilleux; Jennifer Matis; Elizabeth D. Horton  
**Subject:** FW: Link to E.O. 13770

Dear folks,

The new "Ethics Pledge E.O." has now been assigned number 13770.

Thanks,  
Seth

---

**From:** Monica M. G. Ashar  
**Sent:** Friday, February 03, 2017 10:51 AM  
**To:** Seth Jaffe  
**Subject:** Link to E.O. 13770

Here's the link to the Executive Order: <https://www.gpo.gov/fdsys/pkg/FR-2017-02-03/pdf/2017-02450.pdf>

## Jennifer Matis

---

**From:** Michael\_Hanson.USOGE@oge.gov  
**Sent:** Tuesday, February 07, 2017 8:39 AM  
**To:** Seth Jaffe; Deborah J. Bortot; David J. Apol  
**Subject:** Accomplishment has been entered by Monica M. G. Ashar

Hello  
Monica M. G. Ashar has added a new accomplishment

### ACCOMPLISHMENT

---

OGE issued LA-17-02, which makes available for agency use a copy of Executive Order 13770 and the Ethics Pledge form. It also explains the extent to which OGE's legal advisories applicable to Executive Order 13490 may be read as being applicable to Executive Order 13770.

---

Click this link to open the entry and see individual contributions:

Non-responsive network information

---

**Jennifer Matis**

---

**From:** Leigh J. Francis  
**Sent:** Tuesday, February 07, 2017 3:34 PM  
**To:** David J. Apol  
**Cc:** Seth Jaffe; Rachel K. Dowell; Monica M. G. Ashar  
**Subject:** (b)(5) deliberative  
**Attachments:** (b)(5) deliberative

**Importance:** High

Attachment withheld  
in full - (b)(5)  
deliberative

Sir,

(b)(5) deliberative

Please let me know if you would like to discuss. Thank you.

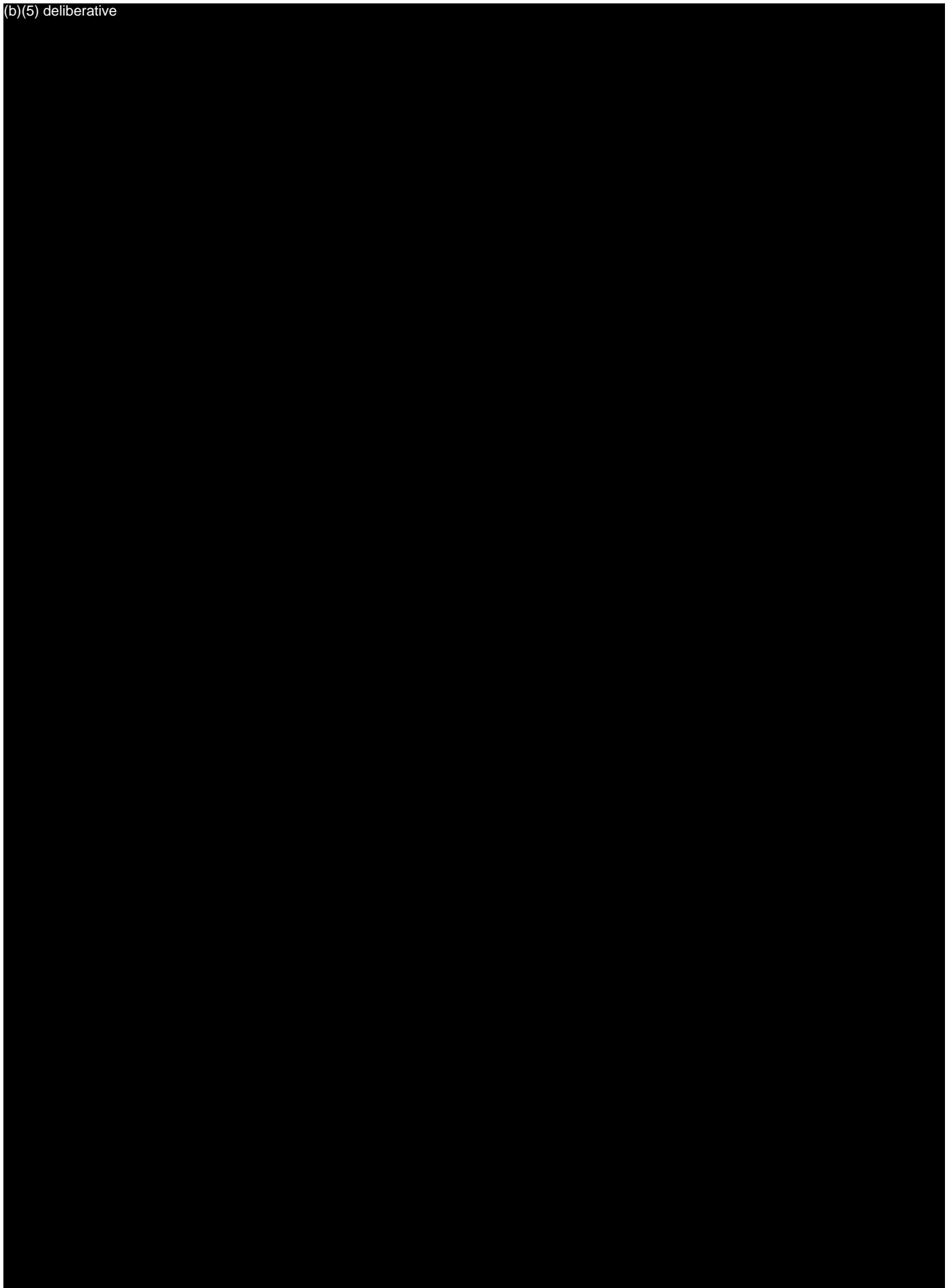
V/r,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
(202) 482-9313

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## Jennifer Matis

---

**From:** Leigh J. Francis  
**Sent:** Tuesday, February 14, 2017 11:28 AM  
**To:** Rachel K. Dowell; Monica M. G. Ashar  
**Cc:** David J. Apol; Seth Jaffe  
**Subject:** Holdover Day Counting-- From which Day does the 100 begin to Run

Rachel and Monica,

(b)(5) deliberative



the former is the recommended interpretation. Please let me know if you have any questions or concerns.

Best,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
(202) 482-9313

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## Jennifer Matis

---

**From:** Monica M. G. Ashar  
**Sent:** Monday, February 06, 2017 4:45 PM  
**To:** Agency Wide  
**Subject:** LA-17-02: Executive Order 13770

In accordance with the OGE Legal Advisory Procedures, I wanted to alert you that a new Legal Advisory, LA-17-02, has been posted to the internet:

[https://www.oge.gov/web/oge.nsf/All+Advisories/F5470156962CE0E6852580BF00752A17/\\$FILE/LA-17-02%20\(final\).pdf?open](https://www.oge.gov/web/oge.nsf/All+Advisories/F5470156962CE0E6852580BF00752A17/$FILE/LA-17-02%20(final).pdf?open). If you have questions regarding the Legal Advisory, please feel free to reach out to me.

Thanks,  
Monica



**From:** [Deborah J. Bortot](#)  
**To:** [GCLPD MailGroup](#)  
**Subject:** EA language for E.O. 13770  
**Date:** Friday, February 03, 2017 5:04:13 PM

---

I updated the EA language with the Executive Order number. It is saved in Nonresponsive network info

**From:** [Seth Jaffe](#)  
**To:** [Monica M. G. Ashar](#)  
**Subject:** FW: Accomplishment has been entered by Monica M. G. Ashar  
**Date:** Tuesday, February 07, 2017 8:58:58 AM

---

Monica,

Thank you for entering this - and excellent job . . . as always!

Seth

-----Original Message-----

From: Michael\_Hanson.USOGE@oge.gov [[mailto:Michael\\_Hanson.USOGE@oge.gov](mailto:Michael_Hanson.USOGE@oge.gov)]  
Sent: Tuesday, February 07, 2017 8:39 AM  
To: Seth Jaffe; Deborah J. Bortot; David J. Apol  
Subject: Accomplishment has been entered by Monica M. G. Ashar

Hello

Monica M. G. Ashar has added a new accomplishment

#### ACCOMPLISHMENT


-----

OGE issued LA-17-02, which makes available for agency use a copy of Executive Order 13770 and the Ethics Pledge form. It also explains the extent to which OGE's legal advisories applicable to Executive Order 13490 may be read as being applicable to Executive Order 13770.

-----

Click this link to open the entry and see individual contributions:

Nonresponsive network information



**From:** [Seth Jaffe](#)  
**To:** [GCLPD MailGroup](#); [AAB MailGroup](#)  
**Cc:** [Deborah J. Bortol](#); [Heather A. Jones](#); [Shelley K. Finlayson](#); [Diana Veilleux](#); [Jennifer Matis](#); [Elizabeth D. Horton](#)  
**Subject:** FW: Link to E.O. 13770  
**Date:** Friday, February 03, 2017 2:11:26 PM

---

Dear folks,

The new "Ethics Pledge E.O." has now been assigned number 13770.

Thanks,

Seth

---

**From:** Monica M. G. Ashar  
**Sent:** Friday, February 03, 2017 10:51 AM  
**To:** Seth Jaffe  
**Subject:** Link to E.O. 13770  
Here's the link to the Executive Order: <https://www.gpo.gov/fdsys/pkg/FR-2017-02-03/pdf/2017-02450.pdf>

**From:** [Rachel K. Dowell](#)  
**To:** [Monica M. G. Ashar](#); [Leigh J. Francis](#)  
**Subject:** (b) (5)  
**Date:** Tuesday, February 21, 2017 11:05:14 AM  
**Attachments:** (b) (5)

Attachments withheld  
in full - (b)(5)  
deliberative drafts

---

Attached is a Legal Advisory on (b) (5)  
(b)(5) deliberative  
. Open to suggestions!

Also attaching the (b) (5) (b)(5) del berative  
.

**From:** [Rachel K. Dowell](#)  
**To:** [Monica M. G. Ashar](#)  
**Cc:** [Leigh J. Francis](#)  
**Subject:** RE: (b) (5)  
**Date:** Wednesday, February 15, 2017 5:08:09 PM  
**Attachments:** (b) (5)

Attachment withheld  
in full - (b)(5)  
deliberative draft

---

(b)(5) deliberative [redacted] – a few edits attached

---

**From:** Monica M. G. Ashar  
**Sent:** Wednesday, February 15, 2017 4:21 PM  
**To:** Rachel K. Dowell; Leigh J. Francis  
**Subject:** RE: (b) (5)

If you have time, would you mind taking a look at Nonresponsive network info [redacted] [redacted]? In particular, I'm interested in your thoughts on the (b)(5) deliberative [redacted]

---

**From:** Rachel K. Dowell  
**Sent:** Wednesday, February 15, 2017 1:46 PM  
**To:** Leigh J. Francis; Monica M. G. Ashar  
**Subject:** (b) (5)

(b) (5) [redacted] attached (saved at Nonresponsive network info [redacted] for the meeting tomorrow. Please feel free to edit.

I will plan to print out the following for Dave, Seth, and Walt tomorrow, so please make any changes before noon:

(b)(5) deliberative [redacted]  
[redacted]  
[redacted]  
[redacted]  
[redacted]

Am I missing anything that Seth asked for?

**From:** [Rachel K. Dowell](#)  
**To:** [Leigh J. Francis](#); [Monica M. G. Ashar](#)  
**Subject:** Nonresponsive record  
**Date:** Wednesday, February 15, 2017 1:46:03 PM  
**Attachments:** (b) (5)

Attachment withheld in full - (b)(5) deliberative draft

---

(b) (5) attached (saved at Nonresponsive network info) for the meeting tomorrow.

Please feel free to edit.

I will plan to print out the following for Dave, Seth, and Walt tomorrow, so please make any changes before noon:

(b)(5) deliberative  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]

Am I missing anything that Seth asked for?

**From:** [Rachel K. Dowell](#)  
**To:** [Monica M. G. Ashar](#); [Leigh J. Francis](#)  
**Subject:** RE: (b)(5)  
**Date:** Friday, February 10, 2017 11:15:12 AM  
**Attachments:** (b)(5)

Attachment withheld  
in full - (b)(5)  
deliberative draft

Outline attached.

(b)(5) deliberative  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted] Thoughts?

---

**From:** Monica M. G. Ashar  
**Sent:** Friday, February 10, 2017 9:08 AM  
**To:** Rachel K. Dowell; Leigh J. Francis  
**Subject:** RE: (b)(5)

That's correct – I'll start working on the attachment.

---

**From:** Rachel K. Dowell  
**Sent:** Friday, February 10, 2017 8:58 AM  
**To:** Monica M. G. Ashar; Leigh J. Francis  
**Subject:** RE: (b)(5)

Hi all – Following up on yesterday's meeting, Nicole scheduled a desk officer meeting for Tues. so that we can go through the questions. (b)(5) deliberative  
[Redacted]  
[Redacted]

I'm also working on (b)(5), which I'll send your way for review today. I started a folder for the LA at Nonresponsive network info [Redacted]

Monica, you mentioned that you would be working on a (b)(5), correct?

---

**From:** Monica M. G. Ashar  
**Sent:** Thursday, February 09, 2017 2:36 PM  
**To:** Leigh J. Francis  
**Cc:** Rachel K. Dowell  
**Subject:** RE: (b)(5)

Leigh,

I suggested a few edits – (b)(5) deliberative  
[Redacted]  
[Redacted]  
[Redacted]

Monica

---

**From:** Leigh J. Francis  
**Sent:** Wednesday, February 08, 2017 7:35 PM  
**To:** Monica M. G. Ashar  
**Cc:** Rachel K. Dowell  
**Subject:** (b)(5)

Monica,

As discussed, I have put together a (b)(5) for our meeting tomorrow (or today for, you, when you read this). It is in the EO 13770 folder and is attached. (b)(5) deliberative

[Redacted]

Best,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
(202) 482-9313

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**From:** [Rachel K. Dowell](#)  
**To:** [Leigh J. Francis](#)  
**Subject:** FW: New assignment  
**Date:** Tuesday, February 07, 2017 9:09:40 AM  
**Attachments:** (b)(5) [REDACTED]

Attachments withheld in full  
- (b)(5) deliberative

---

Here are some resources for the meeting. Also see [https://www.washingtonpost.com/opinions/will-trump-follow-through-and-drain-the-swamp/2017/02/06/0d9ea91c-e8b8-11e6-b82f-687d6e6a3e7c\\_story.html?utm\\_term=.fec220495573](https://www.washingtonpost.com/opinions/will-trump-follow-through-and-drain-the-swamp/2017/02/06/0d9ea91c-e8b8-11e6-b82f-687d6e6a3e7c_story.html?utm_term=.fec220495573).

---

**From:** Seth Jaffe  
**Sent:** Monday, February 06, 2017 4:34 PM  
**To:** Monica M. G. Ashar; Leigh J. Francis; Rachel K. Dowell  
**Subject:** New assignment

Dear Monica, Leigh & Rachel,

As you may have seen, we now have a meeting tomorrow scheduled for 11:00am to discuss an assignment related to the new ethics pledge E.O. If any of you would like to discuss this with me to get some insight into the assignment today, prior to the meeting tomorrow, feel free to give me a call or stop by.

Thanks,  
Seth

**From:** [Monica M. G. Ashar](#)  
**To:** [Leigh J. Francis](#)  
**Cc:** [Rachel K. Dowell](#)  
**Subject:** RE: (b)(5)  
**Date:** Thursday, February 09, 2017 2:36:25 PM  
**Attachments:** (b)(5)

Attachment withheld  
in full - (b)(5)  
deliberative

Leigh,

I suggested a few edits – (b)(5) deliberative  
[Redacted]

Monica

---

**From:** Leigh J. Francis  
**Sent:** Wednesday, February 08, 2017 7:35 PM  
**To:** Monica M. G. Ashar  
**Cc:** Rachel K. Dowell  
**Subject:** (b)(5)

Monica,

As discussed, I have put together a (b)(5) for our meeting tomorrow (or today for, you, when you read this). It is in the EO 13770 folder and is attached. (b)(5) deliberative  
[Redacted]

[Redacted]

Best,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
(202) 482-9313

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**From:** [Leigh J. Francis](#)  
**To:** [Monica M. G. Ashar](#)  
**Cc:** [Rachel K. Dowell](#)  
**Subject:** (b)(5)  
**Date:** Wednesday, February 08, 2017 7:34:36 PM  
**Attachments:** (b)(5)

Attachment withheld in full - (b)(5) deliberative

Monica,

As discussed, I have put together (b)(5) for our meeting tomorrow (or today for, you, when you read this). It is in the EO 13770 folder and is attached. (b)(5) deliberative

[Redacted]

[Redacted]

Best,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
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**From:** [Rachel K. Dowell](#)  
**To:** [Leigh J. Francis](#); [Monica M. G. Ashar](#)  
**Subject:** RE: (b)(5)  
**Date:** Friday, February 10, 2017 11:40:30 AM

---

Yes – I just tried calling Dave but no answer. Let me know if one of you can catch him.

---

**From:** Leigh J. Francis  
**Sent:** Friday, February 10, 2017 11:39 AM  
**To:** Rachel K. Dowell; Monica M. G. Ashar  
**Subject:** RE: (b)(5)

(b)(5) deliberative

-LJF

---

**From:** Rachel K. Dowell  
**Sent:** Friday, February 10, 2017 11:38 AM  
**To:** Monica M. G. Ashar; Leigh J. Francis  
**Subject:** RE: (b)(5)

One other thought – (b)(5) deliberative

---

**From:** Monica M. G. Ashar  
**Sent:** Friday, February 10, 2017 11:17 AM  
**To:** Leigh J. Francis; Rachel K. Dowell  
**Subject:** RE: (b)(5)

Me, too.

Thanks,  
Monica

---

**From:** Leigh J. Francis  
**Sent:** Friday, February 10, 2017 11:16 AM  
**To:** Rachel K. Dowell; Monica M. G. Ashar  
**Subject:** RE: (b)(5)

Works for me.

Thanks Rach.

Best,

Leigh

---

**From:** Rachel K. Dowell  
**Sent:** Friday, February 10, 2017 11:15 AM  
**To:** Monica M. G. Ashar; Leigh J. Francis  
**Subject:** RE: (b)(5)

Outline attached.

(b)(5) deliberative  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted]  
[Redacted] Thoughts?

---

**From:** Monica M. G. Ashar  
**Sent:** Friday, February 10, 2017 9:08 AM  
**To:** Rachel K. Dowell; Leigh J. Francis  
**Subject:** RE: (b)(5)

That's correct – I'll start working on the attachment.

---

**From:** Rachel K. Dowell  
**Sent:** Friday, February 10, 2017 8:58 AM  
**To:** Monica M. G. Ashar; Leigh J. Francis  
**Subject:** RE: (b)(5)

Hi all – Following up on yesterday's meeting, Nicole scheduled a desk officer meeting for Tues. so that we can go through the questions. (b)(5) deliberative  
[Redacted]  
[Redacted]

I'm also working on an (b)(5), which I'll send your way for review today. I started a folder for the LA at Nonresponsive network info

Monica, you mentioned that you would be working on a (b)(5) correct?

---

**From:** Monica M. G. Ashar  
**Sent:** Thursday, February 09, 2017 2:36 PM  
**To:** Leigh J. Francis  
**Cc:** Rachel K. Dowell  
**Subject:** RE: (b)(5)

Leigh,

I suggested a few edits – (b)(5) deliberative  
[Redacted]  
[Redacted]  
[Redacted]

Monica

---

**From:** Leigh J. Francis  
**Sent:** Wednesday, February 08, 2017 7:35 PM  
**To:** Monica M. G. Ashar  
**Cc:** Rachel K. Dowell  
**Subject:** (b)(5)

Monica,

As discussed, I have put together a (b)(5) for our meeting tomorrow (or today for, you, when you read this). It is in the EO 13770 folder and is attached. (b)(5) deliberative

[Redacted]

[Redacted]

Best,

Leigh

Leigh Jason Francis  
Assistant Counsel  
General Counsel and Legal Policy Division  
U.S. Office of Government Ethics ([www.oge.gov](http://www.oge.gov))  
1201 New York Avenue, NW, Suite 500  
Washington, DC 20005  
[Leigh.Francis@oge.gov](mailto:Leigh.Francis@oge.gov)  
(202) 482-9313

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**From:** [Leslie Garvey, POGO](#)  
**To:** [Shelley K. Finlayson](#)  
**Subject:** [GRAYMAIL] POGO condemns Congressional gutting of anti-corruption law  
**Date:** Saturday, February 04, 2017 9:07:19 AM

---



POGO emphatically condemns Congress' vote to eliminate an important anti-corruption measure critical to ensuring American taxpayers can hold the government accountable for its dealings with the oil, gas, and mining industries.



### **[POGO Condemns Congressional Vote to Gut Anti-Corruption Law](#)**

The Project On Government Oversight (POGO) emphatically condemns Congress' vote to eliminate an important anti-corruption measure critical to ensuring American taxpayers can hold the government accountable for its dealings with the oil, gas, and mining industries.

Without the rule, taxpayers will remain the dark, which is where the companies want to keep us. We have no idea right now how much, if any, the industry is paying in taxes.

**[Read more](#)**



### **[House off to Strong Start on Whistleblower Protections in 2017](#)**

POGO testifies before Congress on the need for continued Congressional oversight and enforcement of protected and meaningful channels of communication between agency employees and Congressional offices.

**[Read more](#)**





### **[Executive Order is Two Steps Backwards on Regulations](#)**

President Trump's order breaks with the modest process changes implemented by previous administration and instead creates two entirely new and significant requirements for agencies that could bring the already slow process of rulemaking to a grinding halt.

**[Read more](#)**



### **[Trump Fails to Expand Definition of Lobbyist in Ethics Order](#)**

We really thought, based on Trump's tough talk and numerous ethics concerns with Hillary Clinton, that the Trump ethics order might become a gold standard. President Trump had a chance to clean up the government, but unfortunately this ethics order looks like he's going to do it with dust pan and brush.

**[Read more](#)**



### **[POGO: F-35 "Deal" Unlikely to Result in Savings](#)**

Although President Trump's announcement to cut \$600 million from the F-35 program appears to be a victory for those concerned about out-of-control costs, these savings don't really exist. The American people will end up paying even more for the unproven jets in the future.

[Read more](#)



### [Foreign Lobbying Revolving Door Closed? Not Quite](#)

The new executive order dealing specifically with administration officials who leave public office to work on behalf of foreign clients is a good start, but will not fully capture those trading on their expertise and experience once leaving public service.

[Read more](#)



### [Is Sessions Ready to Say No to Trump?](#)

The independence of the Department of Justice is under threat. Protecting it must be Sessions's top priority. Yet thus far he has offered little more than bland assurances of fairness &mdash' combined with worrying hints of blindness to the gravity of the situation.

[Read more](#)

**We're Hiring!**



### **Openings: Investigator Positions**

POGO has openings for investigator positions of various levels of experience. The ideal candidate will have fire in their belly, bring disciplined focus to in-depth investigations, and have a creative and strategic sense of what issues could lead to front-page news and spark congressional hearings.

**[Read more](#)**

## **POGO in the News**

*Bloomberg*

### **Trump's Ethics Order Seen as Boost for Shadow Lobbying**

Trump's executive order contains exceptions to the definition of lobbying that "are a huge disappointment," said Scott Amey, general counsel of the Project on Government Oversight, a nonpartisan ethics group. Still, Amey said, the five-year ban, which echoes one that former President Bill Clinton had imposed, "exceeds what we had for the past eight years."

*Government Executive*

### **Trump's Lobbying Ban Draws Mixed Reviews From Ethics Groups**

The nonprofit Project on Government Oversight was more upbeat. "The Trump ethics order takes a lot from the previous orders issued by Presidents Obama and Clinton," POGO General Counsel Scott Amey told Government Executive in an email. "The five-year ban on lobbying one's former agency and the ban on lobbying on behalf of a foreign government are positive improvements. We had hoped that President Trump would honor his statement to expand the definition of lobbying to capture all of the influence peddlers who are not registered lobbyists, but that didn't happen."





Project On Government Oversight (POGO)  
1100 G Street NW Suite 500, Washington, DC

[View this email online](#) | [Unsubscribe](#)



[nonprofit software](#)

**From:** Susman, Thomas  
**To:** Paul Miller; Walker, Robert; Paul Miller; Kelner, Robert; (b)(6) M Elias; (b)(6) N Eisen; David J. Apol  
**Cc:** Amanda Kadilak  
**Subject:** [GRAYMAIL] RE: RE: Panel program details  
**Date:** Tuesday, January 31, 2017 5:06:28 PM

---

I think Rob Walker has done so already:

[http://www.wileyrein.com/newsroom-newsletters-item-Jan\\_2017\\_ELN-President\\_Trump\\_Extends\\_Obama\\_Ethics\\_Executive\\_Order\\_for\\_Appointees\\_Some\\_Differences\\_in\\_Details.html](http://www.wileyrein.com/newsroom-newsletters-item-Jan_2017_ELN-President_Trump_Extends_Obama_Ethics_Executive_Order_for_Appointees_Some_Differences_in_Details.html)

Thomas M. Susman

(b)(6) contact info

**From:** Paul Miller [mailto:(b)(6) contact info]  
**Sent:** Tuesday, January 31, 2017 4:57 PM  
**To:** Susman, Thomas; Walker, Robert; Paul Miller; Kelner, Robert; (b)(6) M Elias contact info; (b)(6) N Eisen contact info; David J. Apol  
**Cc:** Amanda Kadilak  
**Subject:** RE: RE: Panel program details

If any of you write articles on these topics or anything related to the lobbying profession and would like us to post it and send it to our e-mail list, please send them along.

Paul A. Miller, PPC

Miller/Wenhold Capitol Strategies

3930 Walnut Street

(b) (6)

Fairfax, VA 22030

(b)(6) contact info

W: [www.mwcapitol.com](http://www.mwcapitol.com)

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**From:** Susman, Thomas [mailto:(b)(6) contact info]  
**Sent:** Tuesday, January 31, 2017 4:55 PM  
**To:** (b)(6) contact info  
**Cc:** (b)(6) contact info  
**Subject:** RE: RE: Panel program details

The following individuals' contact info was redacted pursuant to (b)(6): Robert Walker, Paul Miller, Robert Kelner, Marc Elias, Norm Eisen, Amanda Kadilak, Paul Miller

David J. Apol <[djapol@oge.gov](mailto:djapol@oge.gov)>

Paul beat me to it, but of course, I owe you not only thanks, but an apology for having to bolt so quickly. My colleague was there for the program and gave it high marks. These are far too interesting times for my appetite.

I look forward to staying in touch.

Tom

Thomas M. Susman

(b)(6)

[Redacted]

The following individuals' contact info was redacted pursuant to (b)(6): Paul Miller, Thomas Susman, Robert Kelner, Marc Elias, Norm Eisen, Robert Walker, Amanda Kadilak

**From:** Walker, Robert [mailto:(b)(6)]

**Sent:** Tuesday, January 31, 2017 4:40 PM

**To:** (b)(6) contact info [Redacted]

[Redacted] David J. Apol

<djapol@oge.gov>

**Cc:** (b)(6) contact info [Redacted]

**Subject:** RE: RE: Panel program details

Thank you, Paul, for organizing an interesting and timely event.

Rob

**From:** Paul Miller [mailto:(b)(6)]

**Sent:** Tuesday, January 31, 2017 4:38 PM

**To:** (b)(6) contact info [Redacted] David

J. Apol

**Cc:** (b)(6) contact info [Redacted]

**Subject:** RE: RE: Panel program details

I want to thank you all for participating in our panel discussion yesterday on the revolving door. We have received positive feedback and hope we can do a follow-up program later this year. Appreciate all of you taking the time out of your busy schedules to share your expertise and knowledge with our members.

Paul A. Miller, PPC

Miller/Wenhold Capitol Strategies

3930 Walnut Street

(b)(6)

Fairfax, VA 22030

(b)(6)

[Redacted]

[Redacted]

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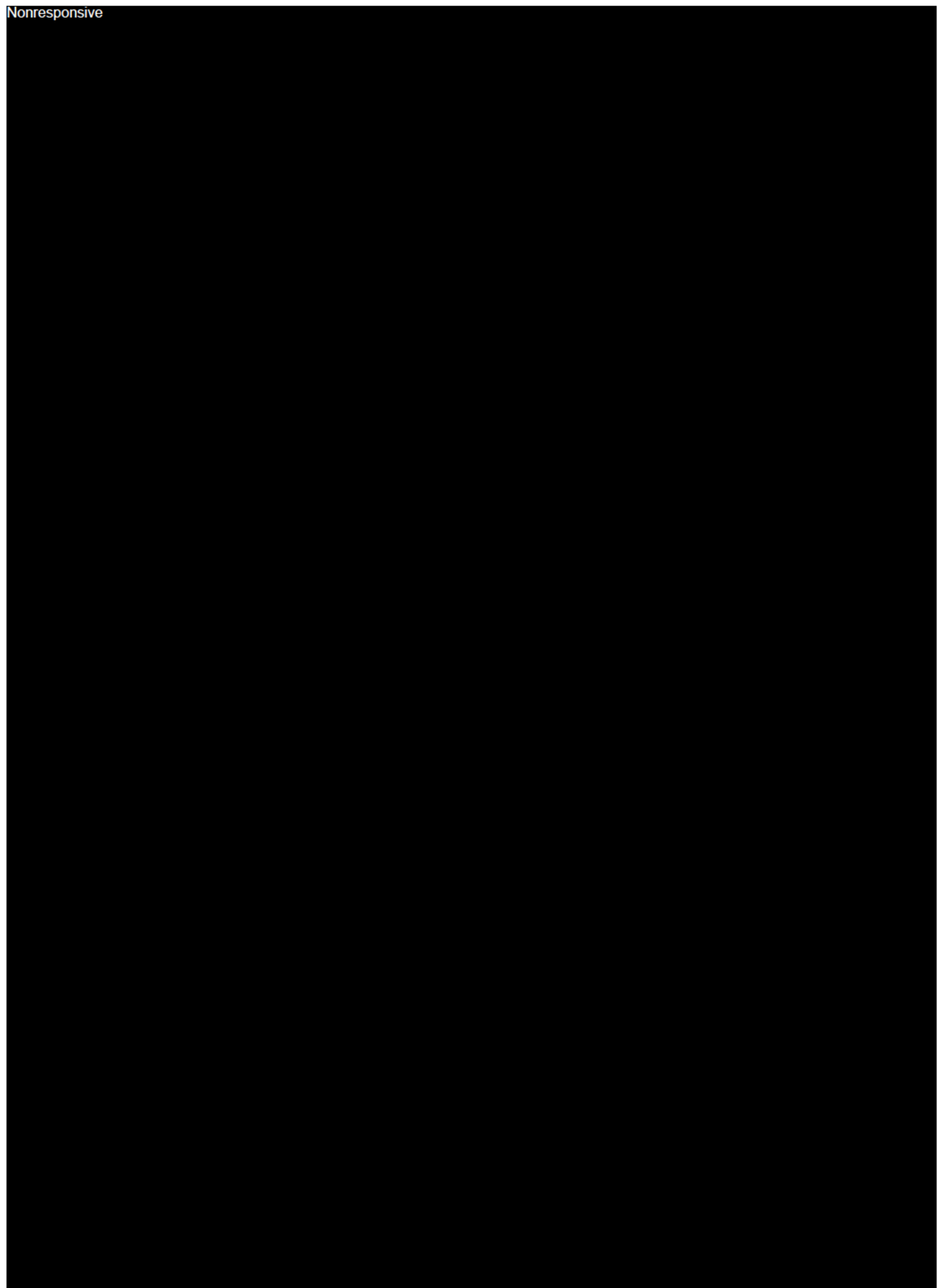
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Nonresponsive [Redacted]

Nonresponsive

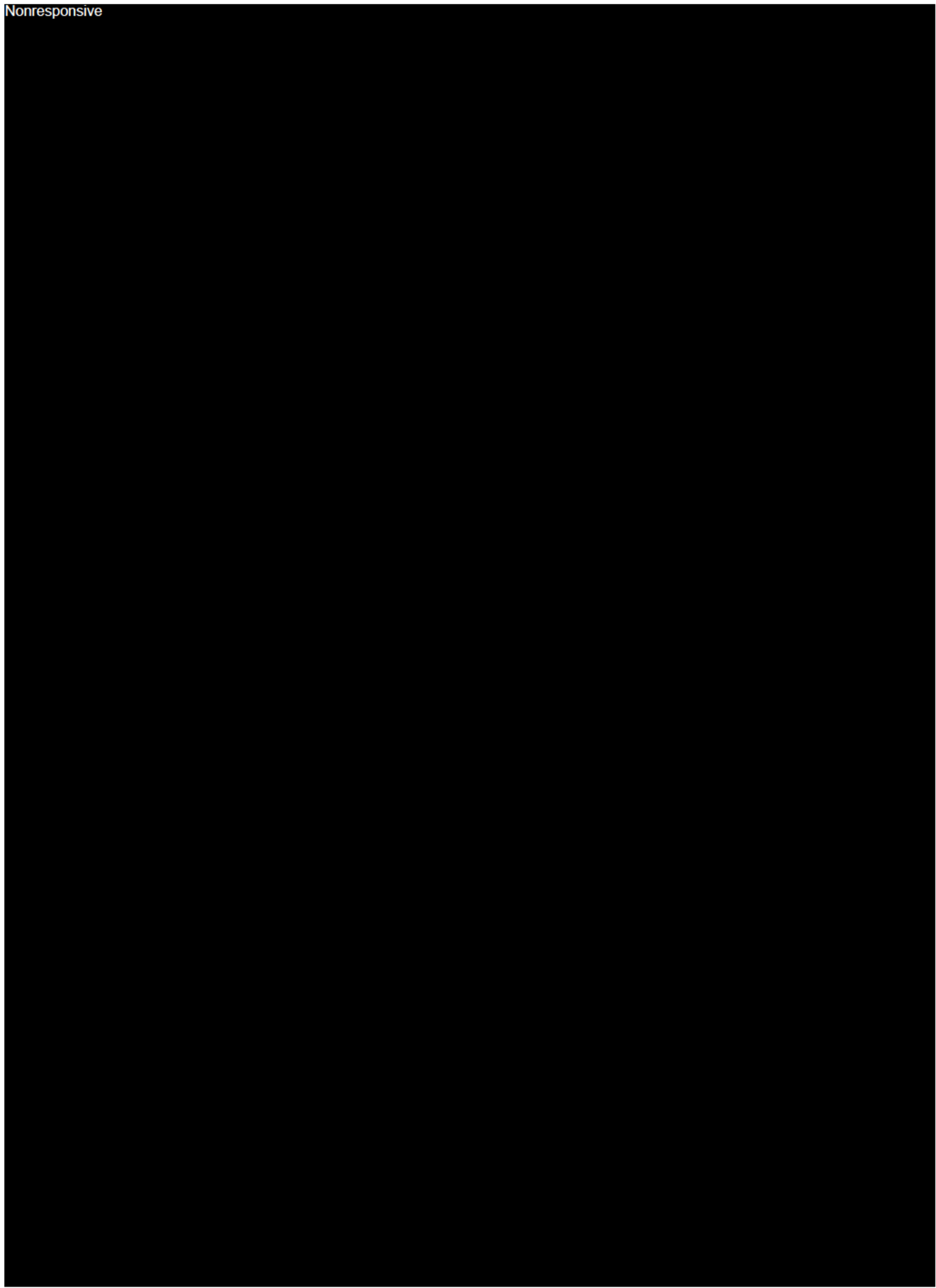


Nonresponsive

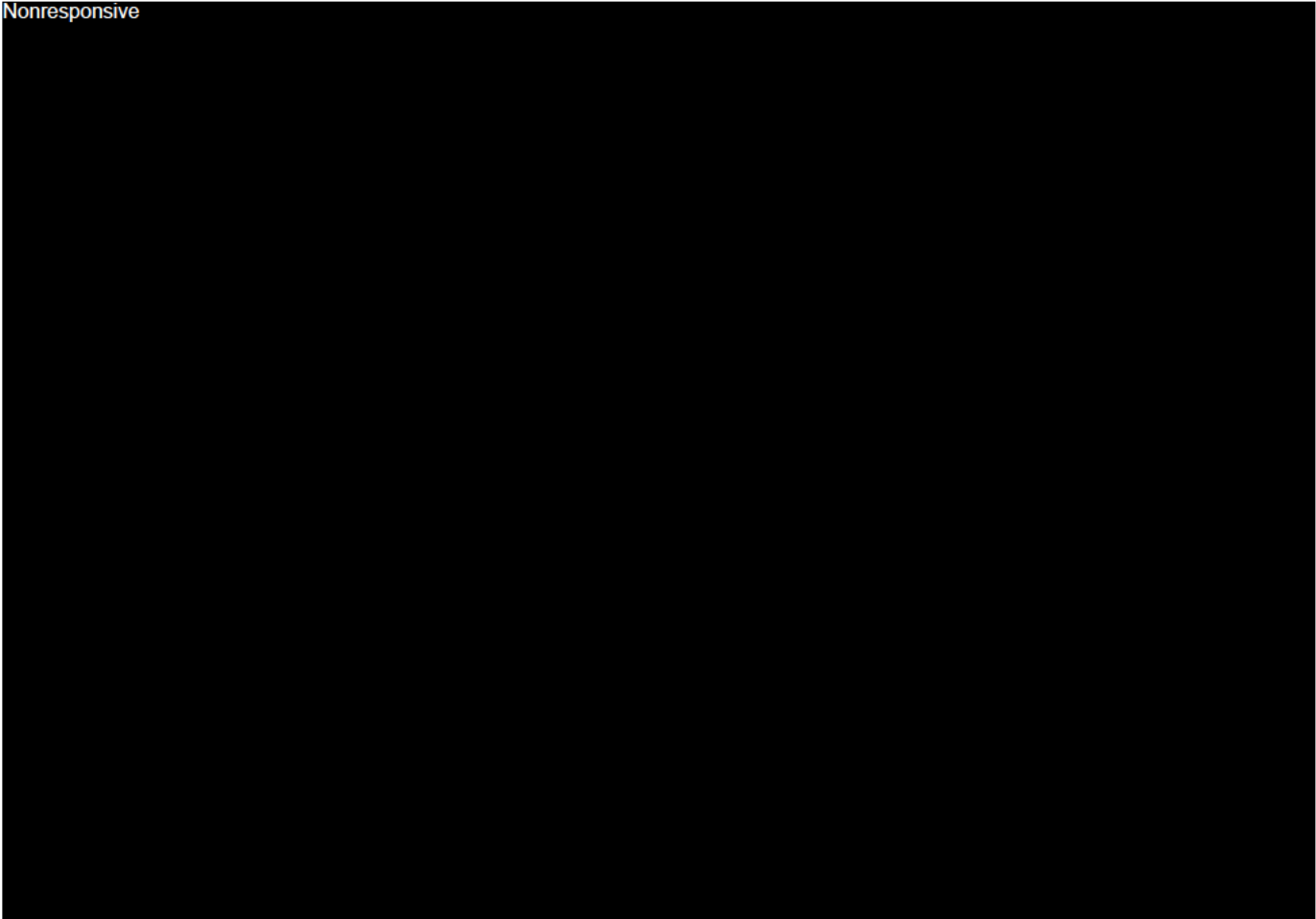




Nonresponsive



Nonresponsive



**From:** [Norman Eisen](#)  
**To:** [David J. Apol](#)  
**Cc:** [Susman, Thomas](#); [Kelner, Robert](#); [Paul Miller](#); (b)(6) M Elias; [Walker, Robert](#); [Amanda Kadilak](#); [Paul Miller](#) (b)(6)  
**Subject:** Re: Panel program details  
**Date:** Sunday, January 29, 2017 7:00:42 PM

---

My schedule is continuing to gyrate, but I will be there to discuss the EO, I have now studied it.

Ambassador (ret.) Norman L. Eisen

BROOKINGS | [1775 Mass. Ave. NW](#)

[Washington, DC 20036](#) | (b)(6)

On Jan 29, 2017, at 6:57 PM, David J. Apol <[djapol@oge.gov](mailto:djapol@oge.gov)> wrote:

Whew!!! I thought I was going to have to discuss the EO!

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

**From:** Susman, Thomas

**Sent:** Sunday, January 29, 2017 2:50 PM

**To:** Kelner, Robert; 'Paul Miller'; (b)(6) M Elias; Walker, Robert; (b)(6) N Eisen; David J. Apol

**Cc:** Amanda Kadilak; 'Paul Miller' (b)(6)

**Subject:** Re: RE: Panel program details

Colleagues, in light of the Trump Executive Order yesterday on lobbyists/revolving door etc., I suggest that we change the order a bit tomorrow morning. I'll do an introduction of the subject and the participants, and start with Norm Eisen, who will focus on the new Order and what it does and does not do affecting lobbyists and the new administration. Then we'll move to David with the OGE legal framework and proceed from there.

My apologies in advance for having to duck out earlier than expected; we're going through budget review here at the ABA and I have a large stake in being present at the meeting in the morning, which has now been moved to 9:45.

And there may well be, in light of the new development, increased media interest in what you will have to say.

Tom

---

**From:** Kelner, Robert <(b)(6)>  
**Sent:** Friday, January 27, 2017 9:15:55 AM  
**To:** 'Paul Miller'; Susman, Thomas; (b)(6) M Elias; Walker, Robert;  
(b)(6) N Eisen edu; David J. Apol  
**Cc:** Amanda Kadilak; 'Paul Miller (b)(6)'  
**Subject:** RE: RE: Panel program details

Fine with me. And Tom, your plan below looks good to me as well.

Rob

**Robert Kelner**

Covington & Burling LLP  
One CityCenter, 850 Tenth Street, NW  
Washington, DC 20001-4956  
T (b)(6)  
[www.cov.com](http://www.cov.com)

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**From:** Paul Miller [[\(b\)\(6\)](mailto:(b)(6))]  
**Sent:** Friday, January 27, 2017 9:13 AM  
**To:** Susman, Thomas; Kelner, Robert; (b)(6) M Elias; Walker, Robert;  
(b)(6) N Eisen David J. Apol  
**Cc:** Amanda Kadilak; 'Paul Miller (b)(6)'  
**Subject:** RE: RE: Panel program details

We had a reporter from Bloomberg register to attend the program Monday. Just wanted to give everyone a heads up. I've talked to this reporter in the past and his focus has been lobbying reform efforts (5-yr ban).

Paul A. Miller, PPC

Miller/Wenhold Capitol Strategies

3930 Walnut Street

(b)(6)

Fairfax, VA 22030

(b)(6)

[REDACTED]

[REDACTED]

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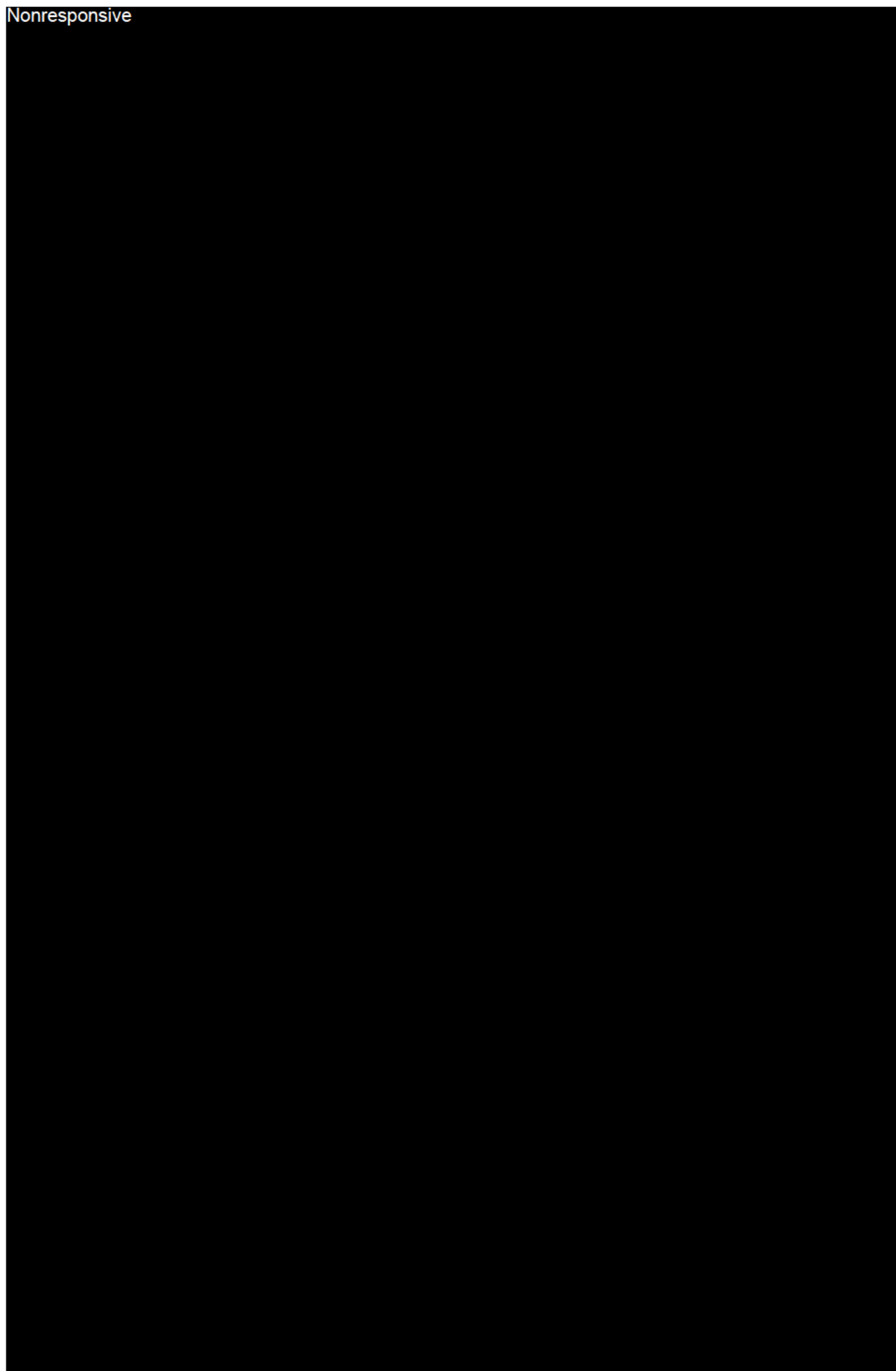
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# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11203	
Initiation Date*:	2017-02-07
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	Ethics Pledge (E.O. 13770)
Origin Of Interaction*:	Email
Source*:	Agency Merit Systems Protection Board
First Name:	Treyer
Last Name:	Mason-Gale
Title:	Attorney
Position:	
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Wendy G. Pond
Watching:	
Temporary Notes:	
created by:	Wendy G. Pond
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

Interaction History Log	
Create	02/07/2017 09:24:06 AM by Wendy G. Pond

Now Assigned:  
Wendy G. Pond

02/07/2017 09:24:06 AM by Wendy G. Pond

Division(s) assigned: PCD

Interaction Number: 11207

Initiation Date\*:

2017-02-07

Title\*:

(b)(5) deliberative

Question\*:

(b)(5) deliberative

Update:

Categories\*:

Ethics Pledge (E.O. 13770)

Origin Of Interaction\*:

Email

Source\*:

Agency

Securities And Exchange Commission

First Name:

Danae

Last Name:

Serrano

Title:

Position:

Ethics Official

Email:

(b)(6) contact info

Phone:

(b)(6) contact info

Other Contact Notes:

Assignment:

Brandon L. Bunderson

Watching:

Nicole Stein, Seth Jaffe

Temporary Notes:

Added to folder

created by:

Brandon L. Bunderson

EditResolve

Resolution Information (current status = Open)

Resolution Date\*:

Resolution Category\*:

Response\*:

Complexity (level):

[\[Click to view/hide complexity guidelines\]](#)

Time Spent (hours):

Contributor:

Interaction History Log

Create 02/07/2017 11:36:38 AM by Brandon L. Bunderson

Now Assigned:

Brandon L. Bunderson 02/07/2017 11:36:38 AM by Brandon L. Bunderson



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11252

<b>Initiation Date*:</b>	2017-02-08
<b>Title*:</b>	(b)(5) deliberative
<b>Question*:</b>	(b)(5) deliberative [Redacted]
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge (E.O. 13770)
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency Department Of Commerce
<b>First Name:</b>	David
<b>Last Name:</b>	Maggi
<b>Title:</b>	ADAEO & Chief, Ethics Law & Program Divisions
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	[Redacted]
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Kimberley H. Kaplan
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="button" value="[Click to view/hide complexity guidelines]"/>
<b>Time Spent (hours):</b>	

Contributor:

**Interaction History Log**

Create	02/08/2017 04:23:41 PM by Kimberley H. Kaplan
Now Assigned: Kimberley H. Kaplan	02/08/2017 04:23:41 PM by Kimberley H. Kaplan

Division(s) assigned: PCD





# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11253	
Initiation Date*:	2017-02-08
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	E hics Pledge (E.O. 13770)
Origin Of Interaction*:	Email
Source*:	Agency National Aeronautics And Space Administration
First Name:	Adam
Last Name:	Greenstone
Title:	
Position:	E hics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Kimberley H. Kaplan
Watching:	
Temporary Notes:	
created by:	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

Interaction History Log	
Create	02/08/2017 04:35:23 PM by Kimberley H. Kaplan
Now Assigned:	02/08/2017 04:35:23 PM by Kimberley H. Kaplan

Kimberley H. Kaplan

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11202

Initiation Date*:	2017-02-06
Title*:	(b)(5) del berative
Question*:	(b)(5) deliberative
Update:	
Categories*:	E hics Pledge (E.O. 13490), Ethics Pledge (E.O. 13770)
Origin Of Interaction*:	Email
Source*:	Agency Department Of Defense
First Name:	Jeff
Last Name:	Green
Title:	Senior Attorney
Position:	E hics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Steven Corbally
Watching:	
Temporary Notes:	
created by:	Steven Corbally
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	

<b>Complexity (level):</b>	<a href="#">[Click to view/hide complexity guidelines]</a>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

Interaction History Log	
Create	02/07/2017 06:50:43 AM by Steven Corbally
Now Assigned: Steven Corbally	02/07/2017 06:50:43 AM by Steven Corbally

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11210

<b>Initiation Date*:</b>	2017-02-07
<b>Title*:</b>	(b)(5)
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge (E.O. 13490), Ethics Pledge (E.O. 13770)
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency National Archives And Records Administration
<b>First Name:</b>	Chris
<b>Last Name:</b>	Runkel
<b>Title:</b>	Senior Counsel
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Kehli Cage
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Kehli Cage
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	

<b>Complexity (level):</b>	<a href="#">[Click to view/hide complexity guidelines]</a>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

Interaction History Log	
Create	02/07/2017 12:40:06 PM by Kehli Cage
Now Assigned: Kehli Cage	02/07/2017 12:40:06 PM by Kehli Cage

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11226

<b>Initiation Date*:</b>	2017-02-08
<b>Title*:</b>	(b)(5) del berative
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	E hics Pledge (E.O. 13490), Ethics Pledge (E.O. 13770)
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency Pension Benefit Guaranty Corporation
<b>First Name:</b>	Ka hy
<b>Last Name:</b>	Easmunt
<b>Title:</b>	Office of the General Counsel
<b>Position:</b>	E hics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Kehli Cage
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<b>created by:</b>	Kehli Cage
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	[Click to view/hide complexity guidelines]
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

Interaction History Log	
Create	02/08/2017 10:31:59 AM by Kehli Cage
Now Assigned: Kehli Cage	02/08/2017 10:31:59 AM by Kehli Cage

Division(s) assigned: PCD





# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11211

<b>Initiation Date*:</b>	2017-02-07
<b>Title*:</b>	(b)(5)
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge (E.O. 13490), Ethics Pledge (E.O. 13770)
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency Federal Maritime Commission
<b>First Name:</b>	Daniel
<b>Last Name:</b>	Lee
<b>Title:</b>	Attorney-Advisor
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Kehli Cage
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<b>created by:</b>	Kehli Cage
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="button" value="[Click to view/hide complexity guidelines]"/>

<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

<b>Interaction History Log</b>	
Create	02/07/2017 12:42:59 PM by Kehli Cage
Now Assigned: Kehli Cage	02/07/2017 12:42:59 PM by Kehli Cage

Division(s) assigned: PCD



# AIMS Agency Information Management System

INTERACTIONS

NEW INTERACTION

SEARCH

TRENDS

AGENCIES

ADMINISTRATION

## Unassigned Interaction

Interaction Number: 11235

Initiation Date\*: 2017-02-08

Title\*: (b)(5) del berative

Question\*: (b)(5)

Update:

Categories\*: Ethics Pledge (E.O. 13770)

Origin Of Interaction\*: Email

Source\*: Agency  
Office Of Management And Budget (EOP)

First Name: Yasaman "Yasi"

Last Name: Sutton

Title: Assistant General Counsel

Position: Ethics Official

Email: (b)(6) contact info

Phone:

Other Contact Notes:

Assignment: AIMS GCLPD Intake, Cheryl L. Kane-Piasecki

Watching: David J. Apol, Nicole Stein, Seth Jaffe

Temporary Notes:

created by: Cheryl L. Kane-Piasecki

Edit

Resolve

### Resolution Information (current status = Unassigned)

Resolution Date\*:

Resolution Category\*:

Response\*:

Complexity (level): [\[Click to view/hide complexity guidelines\]](#)

Time Spent (hours):

Contributor:

### Interaction History Log

Create 02/08/2017 12:07:35 PM by Cheryl L. Kane-Piasecki

Now Assigned:  
Cheryl L. Kane-Piasecki  
AIMS GCLPD Intake

02/08/2017 12:07:35 PM by Cheryl L. Kane-Piasecki

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Resolved Interaction

Interaction Number: 11204

Initiation Date*:	2017-02-07
Title*:	(b)(5) del berative
Question*:	(b)(5) del berative
Update:	
Categories*:	Ethics Pledge (E.O. 13770)
Origin Of Interaction*:	Phone
Source*:	Agency Department of Health And Human Services
First Name:	Kareena
Last Name:	Dees
Title:	
Position:	Ethics Official
Email:	
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Patrick Shepherd
Watching:	
Temporary Notes:	
created by:	Patrick Shepherd

### Resolution Information

Resolution Date*:	2017-02-07
Resolution Category*:	Resolved – ELPB Consultation
Response*:	(b)(5) deliberative (Assigned to: Patrick Shepherd)
Complexity (level):	(b)(5) deliberative
Time Spent (hours):	0-1 hour
Contributor:	
<input type="button" value="reopen"/>	

### Interaction History Log

Closed	02/07/2017 10:16:10 AM by Patrick Shepherd
Now Assigned: Patrick Shepherd	02/07/2017 10:16:10 AM by Patrick Shepherd
Update	02/07/2017 10:10:54 AM by Patrick Shepherd

Create	02/07/2017 10:07:14 AM by Patrick Shepherd
Now Assigned: Patrick Shepherd A MS GCLPD Intake	02/07/2017 10:07:14 AM by Patrick Shepherd

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11209

Initiation Date*	2017-02-07
Title*	(b)(5) deliberative
Question*	(b)(5) deliberative [Redacted]
Update:	
Categories*	Ethics Pledge (E.O. 13490), Ethics Pledge (E.O. 13770)
Origin Of Interaction*	Email
Source*	Agency Federal Communications Commission
First Name:	Jane
Last Name:	Halprin
Title:	
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	[Redacted]
Other Contact Notes:	
Assignment:	Kehli Cage
Watching:	
Temporary Notes:	
created by:	Kehli Cage
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="text" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

Interaction History Log	
Create	02/07/2017 12:35:13 PM by Kehli Cage
Now Assigned: Kehli Cage	02/07/2017 12:35:13 PM by Kehli Cage

Division(s) assigned: PCD





# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11077

<b>Initiation Date*:</b>	2017-01-30
<b>Title*:</b>	(b)(5) del berative
<b>Question*:</b>	[REDACTED]
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency Federal Housing Finance Agency
<b>First Name:</b>	Rachel
<b>Last Name:</b>	Christopher
<b>Title:</b>	
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	[REDACTED]
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Brandon L. Bunderson
<b>Watching:</b>	Nicole Stein, David J. Apol, Seth Jaffe
<b>Temporary Notes:</b>	
<i>created by:</i>	Brandon L. Bunderson
<input type="button" value="Edit"/>	<input type="button" value="Resolve"/>

### Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="text" value="[Click to view/hide complexity guidelines]"/>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

### Interaction History Log

Update	01/30/2017 11:16:29 AM by Brandon L. Bunderson
Now Assigned:	01/30/2017 11:16:29 AM by Brandon L. Bunderson

Brandon L. Bunderson	
Create	01/30/2017 11:12:48 AM by Brandon L. Bunderson
Now Assigned: Brandon L. Bunderson AIMS GCLPD Intake	01/30/2017 11:12:48 AM by Brandon L. Bunderson

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11078

<b>Initiation Date*:</b>	2017-01-30
<b>Title*:</b>	(b)(5) deliberative
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Phone
<b>Source*:</b>	Agency Department Of The Interior
<b>First Name:</b>	Ed
<b>Last Name:</b>	McDonnell
<b>Title:</b>	ADAEO
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Patrick J. Lightfoot
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Patrick J. Lightfoot
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	[Click to view/hide complexity guidelines]
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

### Interaction History Log

Create	01/30/2017 11:21:12 AM by Patrick J. Lightfoot
Now Assigned: Patrick J. Lightfoot	01/30/2017 11:21:12 AM by Patrick J. Lightfoot

Division(s) assigned: GCLPD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11082

Initiation Date*:	2017-01-30
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative [Redacted] [Redacted] [Redacted] [Redacted] [Redacted] [Redacted] [Redacted] [Redacted]
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Phone
Source*:	Agency Department Of Justice
First Name:	Janice
Last Name:	Rodgers
Title:	
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	[Redacted]
Other Contact Notes:	
Assignment:	Kimberly L. Sikora Panza
Watching:	
Temporary Notes:	
created by:	Kimberly L. Sikora Panza
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	[Click to view/hide complexity guidelines]

<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

<b>Interaction History Log</b>	
Create	01/30/2017 12:48 54 PM by Kimberly L. Sikora Panza
Now Assigned: Kimberly L. Sikora Panza	01/30/2017 12:48 54 PM by Kimberly L. Sikora Panza

Division(s) assigned: GCLPD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11087

<b>Initiation Date*:</b>	2017-01-30
<b>Title*:</b>	(b)(5) del berative
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency Department Of Defense
<b>First Name:</b>	Jeff
<b>Last Name:</b>	Green
<b>Title:</b>	Senior Attorney
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Steven Corbally
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Steven Corbally
<input type="button" value="Edit"/>	<input type="button" value="Resolve"/>

### Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="button" value="[Click to view/hide complexity guidelines]"/>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

--

Interaction History Log	
Create	01/30/2017 02:38:32 PM by Steven Corbally
Now Assigned: Steven Corbally	01/30/2017 02:38:32 PM by Steven Corbally

Division(s) assigned: PCD





# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11089

Initiation Date*:	2017-01-30
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative [Redacted] [Redacted] [Redacted]
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Phone
Source*:	Agency Department Of Energy
First Name:	Susan
Last Name:	Beard
Title:	DAEO/Assistant General Counsel for General Law
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	[Redacted]
Other Contact Notes:	
Assignment:	Kimberley H. Kaplan
Watching:	
Temporary Notes:	
created by:	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

Interaction History Log

Update	01/30/2017 05:03:51 PM by Kimberley H. Kaplan
Create	01/30/2017 02:50:31 PM by Kimberley H. Kaplan
Now Assigned: Kimberley H. Kaplan	01/30/2017 02:50:31 PM by Kimberley H. Kaplan

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11090	
Initiation Date*:	2017-01-30
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	E hics Pledge
Origin Of Interaction*:	Email
Source*:	Agency Export-Import Bank Of The United States
First Name:	Felice
Last Name:	Smith
Title:	Acting ADAEO
Position:	E hics Official
Email:	
Phone:	
Other Contact Notes:	
Assignment:	Suzanne L. Meyer
Watching:	
Temporary Notes:	
created by:	Suzanne L. Meyer
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

Interaction History Log	
Create	01/30/2017 03:05:55 PM by Suzanne L. Meyer
Now Assigned:	01/30/2017 03:05:55 PM by Suzanne L. Meyer

Suzanne L. Meyer

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11094

Initiation Date*:	2017-01-30
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Email
Source*:	Agency Department Of Transportation
First Name:	Ellen
Last Name:	Herr
Title:	Senior Attorney and Deputy Ethics Official
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Elizabeth D. Horton
Watching:	
Temporary Notes:	
created by:	Elizabeth D. Horton
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

### Interaction History Log

Create	01/31/2017 08:15:40 AM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	01/31/2017 08:15:40 AM by Elizabeth D. Horton



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11099	
Initiation Date*:	2017-01-31
Title*:	(b)(5) deliberative
Question*:	(b)(5) del berative
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Email
Source*:	Agency National Transportation Safety Board
First Name:	David
Last Name:	Tochen
Title:	
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Brandon L. Bunderson
Watching:	David J. Apol, Nicole Stein, Seth Jaffe
Temporary Notes:	
created by:	Brandon L. Bunderson
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="text" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

Interaction History Log	
Create	01/31/2017 09:36:37 AM by Brandon L. Bunderson
Now Assigned:	01/31/2017 09:36:37 AM by Brandon L. Bunderson

Brandon L. Bunderson

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11100

Initiation Date*:	2017-01-31
Title*:	(b)(5) del berative
Question*:	(b)(5) del berative
Update:	
Categories*:	E hics Pledge
Origin Of Interaction*:	Phone
Source*:	Agency National Aeronau ics And Space Administra ion
First Name:	Adam
Last Name:	Greenstone
Title:	
Position:	E hics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Kimberley H. Kaplan
Watching:	
Temporary Notes:	
created by:	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	[Click to view/hide complexity guidelines]
Time Spent (hours):	
Contributor:	

### Interaction History Log

Create	01/31/2017 09:50:24 AM by Kimberley H. Kaplan
Now Assigned: Kimberley H. Kaplan	01/31/2017 09:50:24 AM by Kimberley H. Kaplan



Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11102	
<b>Initiation Date*:</b>	2017-01-31
<b>Title*:</b>	(b)(5) deliberative
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Phone
<b>Source*:</b>	Agency Department Of Commerce
<b>First Name:</b>	Eric
<b>Last Name:</b>	Osterman
<b>Title:</b>	
<b>Position:</b>	
<b>Email:</b>	
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Kimberley H. Kaplan
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	[Click to view/hide complexity guidelines]
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

Interaction History Log	
Create	01/31/2017 11:49 00 AM by Kimberley H. Kaplan
	01/31/2017 11:49 00 AM by Kimberley H. Kaplan

Now Assigned: Kimberley H. Kaplan
--------------------------------------

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11105

Initiation Date*:	2017-01-31
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative [Redacted]
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Phone
Source*:	Agency General Services Administration
First Name:	Eugenia
Last Name:	Ellison
Title:	
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	[Redacted]
Other Contact Notes:	
Assignment:	Kimberley H. Kaplan
Watching:	
Temporary Notes:	
created by:	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

### Interaction History Log


Create	01/31/2017 01:24:45 PM by Kimberley H. Kaplan
Now Assigned: Kimberley H. Kaplan	01/31/2017 01:24:45 PM by Kimberley H. Kaplan

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11107

Initiation Date*:	2017-01-31
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Email
Source*:	Agency Department Of Justice
First Name:	Janice
Last Name:	Rodgers
Title:	
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Kimberly L. Sikora Panza
Watching:	
Temporary Notes:	
created by:	Kimberly L. Sikora Panza
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	[Click to view/hide complexity guidelines]

<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

<b>Interaction History Log</b>	
Create	01/31/2017 02 01 50 PM by Kimberly L. Sikora Panza
Now Assigned: Kimberly L. Sikora Panza	01/31/2017 02 01 50 PM by Kimberly L. Sikora Panza

Division(s) assigned: GCLPD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11109	
Initiation Date*	2017-01-31
Title*	(b)(5) deliberative
Question*	(b)(5) deliberative [Redacted]
Update	
Categories*	Ethics Pledge
Origin Of Interaction*	Phone
Source*	Agency Federal Reserve System Board Of Governors
First Name	Sean
Last Name	Croston
Title	
Position	Ethics Official
Email	(b)(6) contact info
Phone	[Redacted]
Other Contact Notes	
Assignment	Rachel K. Dowell
Watching	
Temporary Notes	
created by:	Rachel K. Dowell
<a href="#">Edit</a>	<a href="#">Resolve</a>

Resolution Information (current status = Open)	
Resolution Date*	
Resolution Category*	
Response*	
Complexity (level)	[Click to view/hide complexity guidelines]
Time Spent (hours)	
Contributor	

Interaction History Log	
Create	01/31/2017 03:56:44 PM by Rachel K. Dowell
Now Assigned: Rachel K. Dowell	01/31/2017 03:56:44 PM by Rachel K. Dowell

Division(s) assigned: GCLPD





# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11124

Initiation Date*:	2017-02-01
Title*:	(b)(5) del berative
Question*:	(b)(5) deliberative
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Email
Source*:	Agency Environmental Protection Agency
First Name:	Justina
Last Name:	Fugh
Title:	
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Steven Corbally
Watching:	
Temporary Notes:	
created by:	Steven Corbally
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours):	
Contributor:	

### Interaction History Log

Create	02/01/2017 02:47:34 PM by Steven Corbally
Now Assigned: Steven Corbally	02/01/2017 02:47:34 PM by Steven Corbally

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11140	
<b>Initiation Date*:</b>	2017-02-02
<b>Title*:</b>	(b)(5) deliberative
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Email
<b>Source*:</b>	Agency Federal Election Commission
<b>First Name:</b>	Tracey
<b>Last Name:</b>	Ligon
<b>Title:</b>	Attorney and Acting Deputy Ethics Official
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Wendy G. Pond
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Wendy G. Pond
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)	
<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="button" value="[Click to view/hide complexity guidelines]"/>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

Interaction History Log	
Create	02/02/2017 08:09:34 AM by Wendy G. Pond
	02/02/2017 08:09:34 AM by Wendy G. Pond

Now Assigned: Wendy G. Pond
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Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11147

Initiation Date*:	2017-02-02
Title:	(b)(5) deliberative
Question:	(b)(5) deliberative
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Phone
Source*:	Agency Defense Nuclear Facilities Safety Board
First Name:	Neysa
Last Name:	Slater-Chander
Title:	Associate General Counsel
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	
Other Contact Notes:	
Assignment:	Kimberley H. Kaplan
Watching:	
Temporary Notes:	
created by:	Kimberley H. Kaplan
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	<input type="button" value="Click to view/hide complexity guidelines"/>
Time Spent (hours):	
Contributor:	

### Interaction History Log

Create	02/02/2017 12:44:13 PM by Kimberley H. Kaplan
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Now Assigned:  
Kimberley H. Kaplan

02/02/2017 12:44:13 PM by Kimberley H. Kaplan

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11156

<b>Initiation Date*:</b>	2017-02-02
<b>Title*:</b>	(b)(5) deliberative
<b>Question*:</b>	(b)(5) deliberative
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Phone
<b>Source*:</b>	Non-Agency Congress
<b>First Name:</b>	Donald
<b>Last Name:</b>	Sherman
<b>Title:</b>	Congressional staffer (McCaskill)
<b>Position:</b>	
<b>Email:</b>	
<b>Phone:</b>	(b)(6)
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Elizabeth D. Horton
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<i>created by:</i>	Elizabeth D. Horton
<input type="button" value="Edit"/>	<input type="button" value="Resolve"/>

### Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="button" value="[Click to view/hide complexity guidelines]"/>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

### Interaction History Log

Create	02/02/2017 04:30:47 PM by Elizabeth D. Horton
Now Assigned:	02/02/2017 04:30:47 PM by Elizabeth D. Horton

Elizabeth D. Horton

Division(s) assigned: PCD





# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11158

<b>Initiation Date*:</b>	2017-02-03
<b>Title*:</b>	(b)(5) deliberative
<b>Question*:</b>	(b)(5) deliberative [Redacted]
<b>Update:</b>	
<b>Categories*:</b>	Ethics Pledge
<b>Origin Of Interaction*:</b>	Phone
<b>Source*:</b>	Agency Consumer Product Safety Commission
<b>First Name:</b>	Monica
<b>Last Name:</b>	Garcia
<b>Title:</b>	Attorney
<b>Position:</b>	Ethics Official
<b>Email:</b>	(b)(6) contact info
<b>Phone:</b>	[Redacted]
<b>Other Contact Notes:</b>	
<b>Assignment:</b>	Wendy G. Pond
<b>Watching:</b>	
<b>Temporary Notes:</b>	
<b>created by:</b>	Wendy G. Pond
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

Resolution Information (current status = Open)

<b>Resolution Date*:</b>	
<b>Resolution Category*:</b>	
<b>Response*:</b>	
<b>Complexity (level):</b>	<input type="button" value="[Click to view/hide complexity guidelines]"/>
<b>Time Spent (hours):</b>	
<b>Contributor:</b>	

Interaction History Log

Create	02/03/2017 11:39:48 AM by Wendy G. Pond
Now Assigned: Wendy G. Pond	02/03/2017 11:39:48 AM by Wendy G. Pond

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Resolved Interaction

Interaction Number: 11163

Initiation Date*:	2017-02-02
Title*:	(b)(5) del berative
Question*:	(b)(5) deliberative
Update:	
Categories*:	E hics Pledge
Origin Of Interaction*:	Email
Source*:	Agency Export-Import Bank Of The United States
First Name:	Lisa
Last Name:	Terry
Title:	Chief Ethics Officer and DAEO
Position:	E hics Official
Email:	(b)(6) contact
Phone:	(b)(6)
Other Contact Notes:	
Assignment:	Suzanne L. Meyer
Watching:	
Temporary Notes:	
created by:	Suzanne L. Meyer

### Resolution Information

Resolution Date*:	2017-02-03
Resolution Category*:	Resolved
Response*:	Hi Lisa,  (b)(5) deliberative  Best regards,  Suzanne  (Assigned to: Suzanne L. Meyer)

<b>Complexity (level):</b>	(b)(5) deliberative
<b>Time Spent (hours):</b>	0-1 hour
<b>Contributor:</b>	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/03/2017 01:05:10 PM by Suzanne L. Meyer
Now Assigned: Suzanne L. Meyer	02/03/2017 01:05:10 PM by Suzanne L. Meyer

Division(s) assigned: PCD



# AIMS Agency Information Management System

## Open Interaction

Interaction Number: 11192

Initiation Date*:	2017-02-06
Title*:	(b)(5) deliberative
Question*:	(b)(5) deliberative
Update:	
Categories*:	Ethics Pledge
Origin Of Interaction*:	Email
Source*:	Agency Department Of The Interior
First Name:	Ed
Last Name:	McDonnell
Title:	ADAEO
Position:	Ethics Official
Email:	(b)(6) contact info
Phone:	(b)(6) contact
Other Contact Notes:	
Assignment:	Patrick J. Lightfoot
Watching:	
Temporary Notes:	
created by:	Patrick J. Lightfoot
<input type="button" value="Edit"/> <input type="button" value="Resolve"/>	

### Resolution Information (current status = Open)

Resolution Date*:	
Resolution Category*:	
Response*:	
Complexity (level):	[Click to view/hide complexity guidelines]
Time Spent (hours):	
Contributor:	

### Interaction History Log

Create	02/06/2017 12:53:40 PM by Patrick J. Lightfoot
Now Assigned: Patrick J. Lightfoot	02/06/2017 12:53:40 PM by Patrick J. Lightfoot

Division(s) assigned: GCLPD