FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 116
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UNCLASSIFIED
WITH TEXT
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BY 49
DATE 9/22/03

58A-NY-278417 - (X)

SEARCHED 49 INDEXED 49
SERIALIZED 49 FILED 49
SEP 16 2003
FBI - NEW YORK

mp 376

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b7C

(Rev. 01-31-2003)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

CLASSIFIED BY: NSICG [redacted]
REASON: 1.4 (C,D)
DECLASSIFY ON: 12-31-2078
DATE: 08-16-2016

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 09/11/2003 b6 b7C

To: √New York **Attn:** √Squad C-14 (SA [redacted])

From: [redacted]

Contact: [redacted]

Approved By: [redacted] 08/15 b6 b7C b7D

Drafted By: [redacted] sam

Case ID #: (U) √58A-NY-278417 (Pending)

Title: (U) UNSUB(S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH-FUGITIVE (B); ET AL;
CFPO-EXECUTIVE BRANCH;
OO:NY

Synopsis: (U) Lead covered.

(U) (~~S~~) ~~Derived From : G-3~~
~~Declassify On: X1~~

Reference: (U) 58A-NY-278417 Serial 348

Administrative: (~~S~~) EXTREME CAUTION MUST BE UTILIZED IN THE HANDLING OF INFORMATION PROVIDED BY [redacted] (S) b1 b3

Details: (~~S~~) Referenced NY EC requested that [redacted] provide a copy of a reference to [redacted] located in [redacted] (S) b1 b3 b6 b7C b7D

(~~S~~) Attached for NY is a copy of this reference, which is classified ~~SECRET~~, in that it was provided by [redacted] a highly sensitive source of continuing reliability. (S) b1 b3

(~~S~~) According to the source information, dated [redacted] (S) b1 b3

~~SECRET~~

To: New York From: [redacted]
Re: (U) 58A-NY-278417, 09/11/2003

(S) [redacted] b1 b3

(U) [redacted] apologizes for the delay in responding to referenced lead. b7D

♦♦

[redacted]

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b3

(S)

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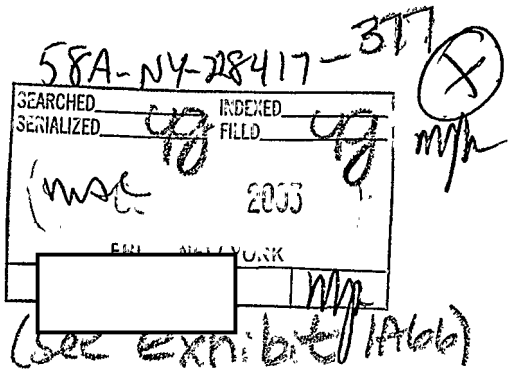

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10/22/03

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58A-NY-278417-379

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SERIALIZED FILED
OCT 23 2003
FBI NEW YORK

[redacted]

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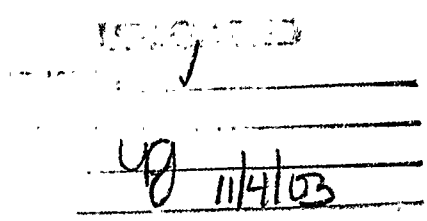

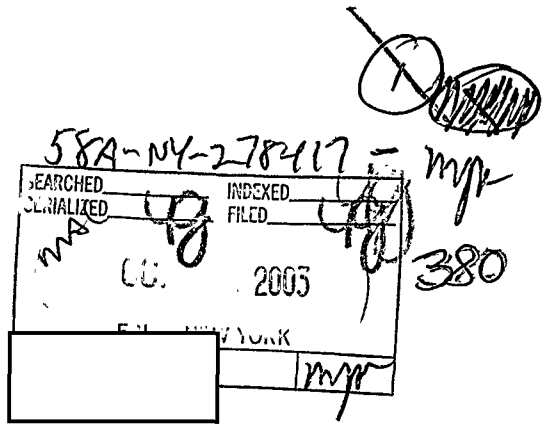
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NEW YORK

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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE b6 b7C b7D **Date:** 11/03/2003

To: [redacted] **Attn:** Public Corruption Squad

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] J:mjm b6 b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL; b6 b7C b7D
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request interview be conducted of [redacted]

Details: New York is conducting a sensitive public corruption investigation concerning controversial Presidential pardons granted by former U.S. President William J. Clinton in his last day in office. Based on information received by New York after interviews of [redacted] New York requests an interview be conducted of [redacted] b3 b6 b7C b7D

On or about [redacted]

[redacted] b3 b6 b7C b7D

b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Also during a point in time [redacted]

b3
b6
b7C
b7D

New York is requesting [redacted] be located and interviewed for information [redacted]

b6
b7C
b7D

[redacted] New York is also interested in whether [redacted]

It is New York's understanding that [redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D
b7E

[redacted] b6 b7C b7D b7E

To: [redacted] From: New York
Re: 58A-NY-278417, 11/03/2003

b6
b7C
b7D

LEAD(s):

Set Lead 1: (Action)

[redacted]

AT [redacted]

[redacted] is requested to locate and interview [redacted] and conduct an interview for information relating to the pardon of MARC RICH. Questioning should specifically include [redacted]

b6
b7C
b7D

[redacted] lead.ec

58A-NY-278417 — (X)

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

NOV 2003

381

b6
b7C

11/7/03

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 10/04/2003

To: New York

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

Approved By: [redacted] mjm

Drafted By: [redacted] :mjm b6 b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To provide case update and results of meeting with SDNY.

Details: On 11/04/2003, SA [redacted] met with AUSA [redacted] to discuss the status of the above investigation. b6 b7C The following details were discussed.

[redacted] AUSA [redacted] spoke with [redacted] b6 b7C b7D

AUSA [redacted] received a call from [redacted] who represented [redacted] b6 b7C b7D

SA [redacted] will contact [redacted] at DOJ to coordinate additional [redacted] for review of records. b6 b7C b7E

AUSA [redacted] advised that he review [redacted]

AUSA [redacted] will contact counsel for [redacted] return to be interviewed and testify in the Grand Jury. [redacted] b3 b6 b7C b7D

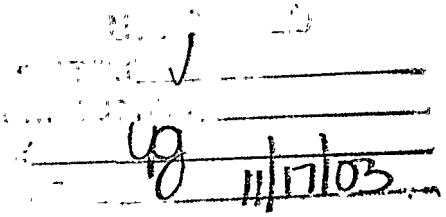
AUSA [redacted] will also contact counsel for [redacted] a subpoena for testimony. AUSA [redacted] will also prepare a subpoena for [redacted] b3 b6 b7C b7D

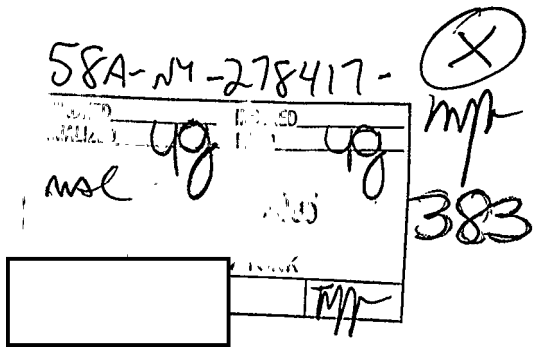
SA [redacted] will also conduct interviews of close associates of [redacted] b6 b7C b7D

At the present time, there is insufficient evidence to charge anyone in this case for conspiracy to bribe the President of the United States. An additional act or statement attributable to MARC RICH is still needed to establish some act in furtherance of the conspiracy.

Investigation is ongoing.

110403update.ec
♦♦





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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

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Precedence: PRIORITY **Date:** 11/10/2003

To: Bern **Attn:** [redacted]
Criminal Investigative **Attn:** Integrity in Government/Civil Rights Section, Public Corruption Unit,
Investigative Operations **Attn:** IOU 1, UC [redacted]

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

Approved By: [redacted] MAC

Drafted By: [redacted] :mjm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To advise that New York will pursue [redacted] in above captioned investigation. b7E

Reference: 58A-NY-278417, serials 367, 371, 382.

Details: In response to Bern's inquiry, New York will seek [redacted] in the above captioned investigation. When New York received a response from Bern indicating that [redacted] SA [redacted] prepared a [redacted] and provided it to the Assistant United States Attorney, Southern District of New York, for final review and submission to DOJ. SA [redacted] has inquired into the status of the submission [redacted] for several weeks and has not received confirmation that the [redacted] has been finalized. Once SA [redacted] has receive confirmation that [redacted] has been submitted to DOJ, a communication will be sent to Bern to coordinate [redacted]

b6
b7C
b7D
b7E

To: Bern From: New York
Re: 58A-NY-278417, 11/10/2003

LEAD(s):

Set Lead 1: (Action)

BERN

AT BERN, SWITZERLAND

Bern will be notified when [redacted] currently [redacted] has been completed, for coordination [redacted]

b7D
b7E

Set Lead 2: (Info)

CRIMINAL INVESTIGATIVE

AT WASHINGTON D.C.

For informational purposes only.

Set Lead 3: (Info)

INVESTIGATIVE OPERATIONS

AT WASHINGTON D.C.

For informational purposes only.

bern_clinton2.ec
♦♦

1/6/04

58A-NY-278417-384

SEARCHED
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1/5/04

Comp

RK

1/6/04

b6
b7C

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 1/02/2004

To: New York **Attn:** SSA [redacted] b6 b7C

From: New York
C-14
Contact: FA [redacted]

Approved By: [redacted] MAC

Drafted By: [redacted] mdj

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER PRESIDENT; b6 b7C
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH
OO: NY

Synopsis: Request for assistance from Financial Analyst (FA) [redacted] was completed on 11/6/03. b6 b7C

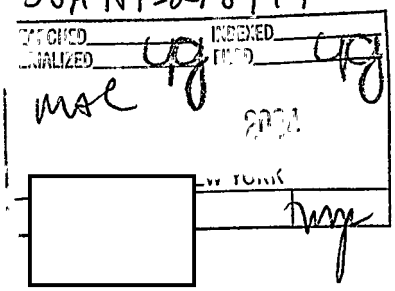
Reference: 58A-NY-278417, Serial 342.

Details: Assistance was requested from FA [redacted] to update an existing [redacted] with subpoenaed [redacted] [redacted] information. FA [redacted] was also requested to coordinate the service of subpoenas to pertinent [redacted] [redacted] b3 b6 b7C b7E

Lead for FA [redacted] assistance covered 11/06/03.

Pardon Lead COVERS UPD

58A-NY-278417 —



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b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/14/2004

To: New York **Attn:** SA [redacted] b6 b7C

From: [redacted] b6 b7C b7D

Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 58A-NY-278417 (Pending)

Title: CLINTON WILLIAM J;
[redacted] b6 b7C
MARC RICH-FUGITIVE (B);
ET AL;
CFPO-EXECUTIVE BRANCH;
00: NY

Synopsis: Covered Lead

Reference: 58A-NY-278417 Serial 380

Details: On [redacted] telephonically contacted SA [redacted] of the Federal Bureau of Investigation. After advising [redacted] of the nature of the inquiry, [redacted] provided the following information: b6 b7C b7D

On [redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] can be further contacted through [redacted]

[redacted] considers this lead as closed.

b6
b7C
b7D

LEAD(s):

Set Lead 1: (Action)

NEW YORK

AT NEW YORK

Lead Covered Read and Clear.

♦♦

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY UG
DATE 2/23/04

58A-NY-278417-388

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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/20/2004

To: New York

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted] mjm

b6
b7C

Approved By: [redacted] mjm

Drafted By: [redacted] mjm

Case ID #: ~~58~~A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request opening of subfile.

Details: Squad C-14 Rotor is requested to open a subfile (Sub A) to serve as a repository for ~~Secret~~ documents obtained in the above investigation.

♦♦

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/20/2004

To: New York **Attn:** Supervisor [redacted] b6 b7C

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted] mjm

Approved By: [redacted] MAC b6 b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417-389 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request opening of subfile.

Details: Rotor Supervisor [redacted] is requested to open a subfile (Sub TS) to serve as a repository for ~~Top Secret/SCI~~ information obtained in the above investigation. b6 b7C

♦♦

58A-NY-278417-389 mjm
MAC

[redacted] mjm

b6 b7C

W.I.T. ✓
BY AC
DATE 2/23/04

age, name of
, city and state.)
2/24/04
New York Post
288-NY-278417
on:
Office:
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WITHOUT TEXT
2/25/04

Fund-raiser whets his poison pen

OSCARS minus five.

A poisonous Hollywood-soaked memoir is coming down. The book is also virulently anti-**Clinton**.

Author, **Aaron Tonken**.

Producer/fund-raiser Tonken alleges:

He was involved in arrangements dealing with **Marc Rich**.

Nobody else has said this. Nobody's confirming this. Nobody is rushing to buttress Tonken's rep for not-so-squeaky clean sullied truth. Tonken alone is alleging it.

What he has already done so far, however, is, he has set these things down in black and white in a manuscript proposal.

He has had substantial chats with possible ghostwriters or collaborators.

Tonken alleges in Chapter 13:

- He was a White House guest seven times in Clinton's last three months in office.
- He sat "with **Hillary** (the Clintons, he writes, had separate bedrooms) in their pajamas eating popcorn and watching TV."
- He handed checks to certain pols which, he further states, was "illegal."
- He sees a "brown bag" with cash going someplace it shouldn't.
- He "smokes pot" with Clinton's brother **Roger**.
- He "funnels over $100,000 in illegal contributions."

Cindy Adams

And who is this guy who is now the centerpiece of an FBI investigation and was already subpoenaed twice by a grand jury?

He produced Hollywood's glitziest fund-raiser. Aug. 12, 2000. It was for the Clintons. I was there. So was **Chelsea**. Ditto a tubful of bold-faced one-namers like **Brad** and **Jen**, **Cher**, **Whoopi**, **Goldie**, **Stevie**, **Diana**, **Shirley**, etc.

The 2,000 guests paid $1,000. Private feed afterwards, another $2,000. Souvenir journal ad — another 5K. It raised a million and a half for Hillary's Senate campaign. The FBI now alleges another few mil went unreported.

All of that story I don't know. That is not my story today. My today's story is, Aaron Tonken's book.

The anti-Hillary manuscript from this onetime F.O.C., Friend of the Clintons, is titled: "Hands Out, Palms Up."

So, again, who is this Aaron Tonken?

Born in small-town Michigan, school dropout in 10th grade, an "A" schmoozer, he cold-called Jackie O at age 12, so he writes, and they "chatted amiably." At 14 he was schmoozing local politicos. At 15 he was in D.C. with **Ted Kennedy**.

His eventual lure was Hollywood.

He writes about the movie capital: "In a land of moral imbeciles, I knew I could be king."

He names names. Skewers every cinema celeb you ever heard of. Tells which VIPs took payoffs. What big-time stars did shakedowns. Who of California's royalty skimmed their own charities. He cites the gift-demanding celebs. Lists those who wouldn't do benefits unless their own families first got benefited. Lists those who wouldn't do benefits for their own families unless they themselves first got benefited.

Tonken is now a felon. He's out of gas, broke, badgered by the mob with whom he's been in bed, and has, he claims, contemplated suicide. But before he gets to use the bullets for his borrowed gun, the California attorney general and FBI nail him. Preliminary indictments come down. He's party to seven different lawsuits. He's pled to one count of fraud in return for singing.

The song he is singing is very off-key and will cause major disharmony for big bbbiiiiiggggg names in Washington and New York and will lance the dark side of the underbelly of Hollywood.

His opening chapter says these same celebrities who were his pals will eventually send a prayer up to whatever God they believe in and that it will end with: "Please, don't let him mention my name."

Thomas Nelson, out of the South, will be the publisher.

Sonia Moskowitz/Globe Photos

HILLARY CLINTON
Author claims a close friendship.

New York Post, Tuesday, February 24, 2004 nypost.com

FD-350 (Rev. 5-8-81)

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/01/04 Time: 15:50

Case ID: 58A-NY-278417 Serial: 392

Description of Document:

Type : EC
Date : 02/24/04
To : BERN, et al.
From : NEW YORK
Topic: (U) TO REQUEST BERN ASSISTANCE IN INVESTIGATION OF

Reason for Permanent Charge-Out:

case agents request

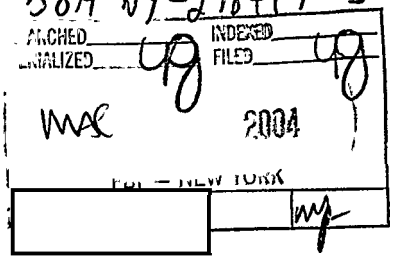
Transferred to:

Case ID: 58A-NY-278417-A Serial: 5

Employee: [redacted]

b6
b7C

58A-NY-278417-398



b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY **Date:** 04/07/2004

To: New York **Attn:** Squad C-14
SA [redacted]

b6
b7C

From: Bern
Contact: [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] dm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB (S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
ET AL;
MARCH RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: [redacted] need clarification on New York's information.

Reference: 58A-NY-278417 Serial 5

Details: Legat Bern has made a request to [redacted] to interview [redacted] New York noted that [redacted]

b6
b7C
b7D

In order for an interview to proceed [redacted]

[redacted]

b6
b7C
b7D
b7E

New York is requested to advise which [redacted]

[redacted] ec

To: New York From: Bern
Re: 58A-NY-278417 , 04/07/2004

LEAD(s):

Set Lead 1: (Action)

NEW YORK

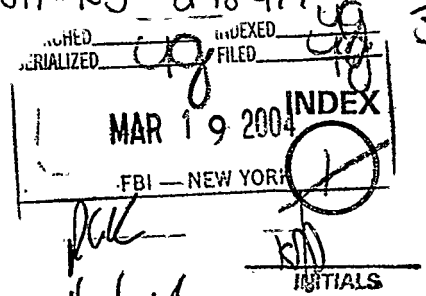
AT NEW YORK, NEW YORK

Will advise Legat Bern of [redacted] to be interviewed and which [redacted] is in question.

b6
b7C
b7D

♦♦

58A-NY-278417-49 399



4/9/04

(See Exhibit 1A67)

023KmaO1,302

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/23/2004

[redacted] white male, born [redacted] telephonically contacted [redacted] b6 b7C b7D

On the same date, Special Agent [redacted] contacted [redacted] b6 b7C b7D furnished the following information:

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] advised that [redacted] could be contacted at [redacted] to determine if [redacted] would be willing to be interviewed in this matter. b6 b7C b7D

Investigation on [redacted] at [redacted]

File # 58A-NY-278417 -399 Date dictated [redacted] b6 b7C b7D

by SA [redacted] kma

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

58A-NY-278417-400

...ED	INDEXED
...IALIZED 4g	FILED 4g
mafile 200[illegible]	
FBI — NEW YORK	
4/9/04	

INDEX

X

INITIALS

58A-NY-278417-400

023kma02.ec

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

b6
b7C

Precedence: ROUTINE **Date:** 03/23/2004

To: New York **Attn:** SA
Squad C14

From:
Contact: SA

b6
b7C
b7D

Approved By:

Drafted By: kma

Case ID #: 58A-NY-278417 (Pending) -400

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

MARC RICH - FUGITIVE (B);
ET-AL;
CF-PO - EXECUTIVE BRANCH;
OO:NY

b6
b7C
b7D

Synopsis: Completion of lead at

Reference: 58A-NY-278417 Serial 281 -399

Enclosure(s): Enclosed is one FD-302 and accompanying 1A of

Details: Interview of
was negative.

b6
b7C
b7D

Attempts to contact were negative. The telephone number provided

b6
b7C
b7D

b6
b7C
b7D

LEAD(s):

Set Lead 1: (Info)

NEW YORK

AT NEW YORK, NY

Read and clear.

♦♦

UPLOADED
WITH/TEXT ✓
WITHOUT TEXT
BY ug 4/22/04

58A-NY-278417 - 401 (X)
INDEXED ug
FILED ug
MAL
2004
FBI — NEW YORK
mjr

b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY **Date:** 04/21/2004 b6 b7C

To: Bern **Attn:** A/Legat

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA

Approved By: MAC

Drafted By: mjm b6 b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To provide clarification on New York's request.

Reference: 58A-NY-278417 Serial 398
58A-NY-278417-A Serial 5

Details: Bern requested clarification on is to be interviewed for information regarding New York's original request. Bern is advised that to be interviewed is born and described The individuals connected to are not sought for information. New York apologizes for any confusion in the original request.

b6 b7C b7D b7E

LEAD(s):

Set Lead 1: (Action)

BERN

AT BERN, SWITZERLAND

Bern is advised that [redacted] to be interviewed is born on [redacted] and described [redacted]

b6
b7C
b7D
b7E

♦♦

March 27, 2001

Honorable Mary Jo White
United States Attorney
Southern District of New York
First Street, Andrews Plaza
New York, New York 10007

Dear Ms. White:

The purpose of this letter is to ensure that you are aware of past allegations concerning [redacted] and his actions relative to [redacted] b6 b7C

In May of 1998, the [redacted] received detailed and reliable information pertaining to [redacted] b6 b7C

[redacted] b3 b6 b7C

In addition, on [redacted] Based upon information reported to the FBI [redacted] b6 b7C b7D

58A-NY-278417-402

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Admin. Serv. _____
Ctrterrorism _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Inv. Serv. _____
Lab. _____
National Sec. _____
OPR _____
Off. of Public & Cong. Affs. _____
Training _____
Off. of EEOA _____
Director's Office _____

1 - Addressee
1 - 58A-NY-278417
1 - 72-BA-96138
1 - Mr. [redacted]
(12) KKM:kkm

1 - Mr. [redacted]
1 - Mr. [redacted]
1 - Mr. [redacted]
1 - Ms. [redacted]

1 - Mr. [redacted]
1 - Mr. [redacted]
1 - Ms. [redacted]
1 - [redacted] b6 b7C

APPROVED:
Director _____
Deputy Director _____

Adm. Serv. _____ Gen. Counsel RLP National Sec. _____
CJIS _____ Info. Res. _____ OEEOA _____
Crim. Inv. _____ Inspection _____ OPCA _____
Cntrterror _____ Inv. Serv. _____ OPR _____
Finance _____ Laboratory _____ Training _____

Restricted

MAIL ROOM ☑ mailed 3/27/01

FBI/DOJ

Honorable Mary Jo White

On or about [redacted] allegations were provided by the New York Field Office to the U.S. Attorney's Office for the Southern District of New York. Additional documents which may be of assistance in your review of the allegations concerning [redacted] are enclosed and include: b6 b7C

(1) [redacted]

(2) [redacted]

(3) [redacted] b6 b7C b7D

(4) [redacted]

Please be advised that all information obtained by the FBI concerning the above-mentioned matters will be provided to the New York Field Office and will be available for your office to review in connection with your pending criminal investigation.

Sincerely yours,

Louis J. Freeh
Director

Enclosures (4)

b6
b7C
b7D

April 26, 2004

[redacted]

[redacted]

Dear [redacted]

Your March 29 letters to Director Mueller have been referred to me for reply. You make several allegations against an FBI Special Agent in New York, who you claim [redacted]

We appreciate the interest which prompted you to write and share your concerns with the FBI. I am providing a copy of your correspondence to the Assistant Director in Charge of our New York Office in order that he may address the alleged performance issues of the Agent who interacted with you.

Sincerely yours,

b6
b7C

[redacted]
Executive Secretariat Office

1 - ADIC, New York (58A-NY-278417-302) - Encs.
[redacted]

NOTE: Correspondent called FBIHQ on 4/14/04 to inquire about the status of this letter. On 4/15/04, he was advised by Exec. Sec., RMD, that his letter was received and would be responded to in the order that it was received. Correspondent is referenced in a New York file involving corruption of public officials - executive branch.

DD

UPLOADED
WITH TEXT
WITH OUT TEXT
BY ug 5/10/04

MAC
5/10/04

b6
b7C

58A-NY-278417-406
MAC ug ug

SA [redacted] attempt to reconnect at old telephone # [redacted] but no voice mail.

SSA [redacted] advised no need to contact/interview because of witness' inability to provide description and mental state.

C-14

[redacted]

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 05/05/2004

To: New York

From: New York
White Collar Crime/Squad C-14
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm hyp

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
b6
b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To provide back up [redacted] disk to case file for purposes of MIOG, Part 1, section 190-2.3, section 190-10.4. b7E

Enclosure(s): One 1A envelope containing four CD Rom disks containing [redacted] for above investigation.

Details: The above MIOG sections require that all records of additional databases be connected to the main case file. Enclosed for the case file are CD Rom disks copied by FA [redacted] containing [redacted] for the above investigation. While [redacted] is available [redacted] these disks are being sent to the case file per MIOG requirements.

b3
b6
b7C
b7E

♦♦

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY 49 5/10/04

58A-NY-278417 -
INDEXED
FILED 49
MAC 49
2004
NEW YORK
[redacted] hyp
(see exhibit 1A68)

X
hyp
407

b6
b7C

e-14

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 05/28/04 Time: 10:08

Case ID: 58A-NY-278417 Serial: 408

Description of Document:

Type : EC
Date : 05/21/04
To : COUNTERINTELLIGENCE, et al.
From : NEW YORK
Topic: TO SET LEAD FOR INTERVIEW OF [redacted]

b6
b7C
b7D

Reason for Permanent Charge-Out:

belongs in sub file

Employee: [redacted]

b6
b7C

6/1/04

SA [redacted] telcall w/AUSA [redacted]

will call AUSA [redacted] and follow up.

No information to be provided by SA [redacted]

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY

DATE 6/2/04

58A-NY-278417 - 409 (X)

SEARCHED [redacted] INDEXED [redacted]

SERIALIZED [redacted] FILED [redacted]

JUN 2004

FBI - NEW YORK

[redacted]

b6
b7C

[redacted]

b7E

58A-NY-278417-410

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

2004

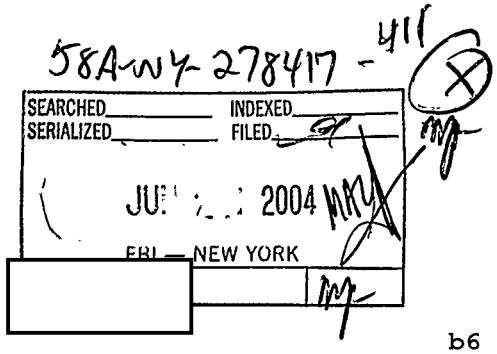
[redacted] YORK

[redacted]

UPLOADED
WITH/TEXT [redacted]
WITH/OUT TEXT [redacted]
BY [redacted]
DATE 6/2/04

b6
b7C

58A-NY-278417 - 411



b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY **Date:** 06/03/2004

To: International Operations **Attn:** SSA [redacted]
New York **Attn:** Squad 19
SA [redacted] b6 b7C

From: Bern
Contact: ALAT [redacted]

Approved By: [redacted]

Drafted By: [redacted] dam

Case ID #: 58A-NY-278417 -411 (Pending)

Title: UNSUB (S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: To advise of response [redacted] to b7D New York's request relative to captioned matter.

Reference: 58A-NY-278417-A Serial 5

Details: Legat Bern attempted to [redacted] b6 b7C b7D is not willing to answer any questions.

However, if New York wants to push for an interview [redacted] b6 b7C b7D b7E

In view of the fact that there remains no more [redacted] Legat Bern considers all leads covered in this matter.

To: International Operations From: Bern
Re: 58A-NY-278417, 06/03/2004

LEAD(s):

Set Lead 1: (Info)

INTERNATIONAL OPERATIONS

AT WASHINTGON, DC

Read and clear.

Set Lead 2: (Info)

NEW YORK

AT NEW YORK, NEW YORK

Read and clear.

♦♦

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE

58A-NY-278417-412

SEARCHED INDEXED
SERIALIZED FILED
2004
FBI — NEW YORK

b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 06/10/2004

To: Counterintelligence **Attn:** SSA [redacted] CD-4C, Room 1B015

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted] b6 b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To provide FD-302 of [redacted] to Counterintelligence Division. b6 b7C b7D

Administrative: Ref. 06/09/2004 telcall. between SA [redacted] and SSA [redacted] b6 b7C

Enclosure(s): For SSA [redacted] One copy of FD-302 dated [redacted]

Details: On [redacted] was interviewed by [redacted] agents concerning the MARC RICH pardon investigation conducted by New York. A copy of the FD-302 is provided to SSA [redacted] to disseminate as appropriate. New York appreciates the information provided from SSA [redacted] concerning [redacted] and his whereabouts which made this interview possible. b6 b7C b7D

LEAD(s):

Set Lead 1: (Discretionary)

COUNTERINTELLIGENCE

AT [redacted]

Enclosed is a copy of FD-302 of [redacted] dated [redacted] Disseminate as appropriate.

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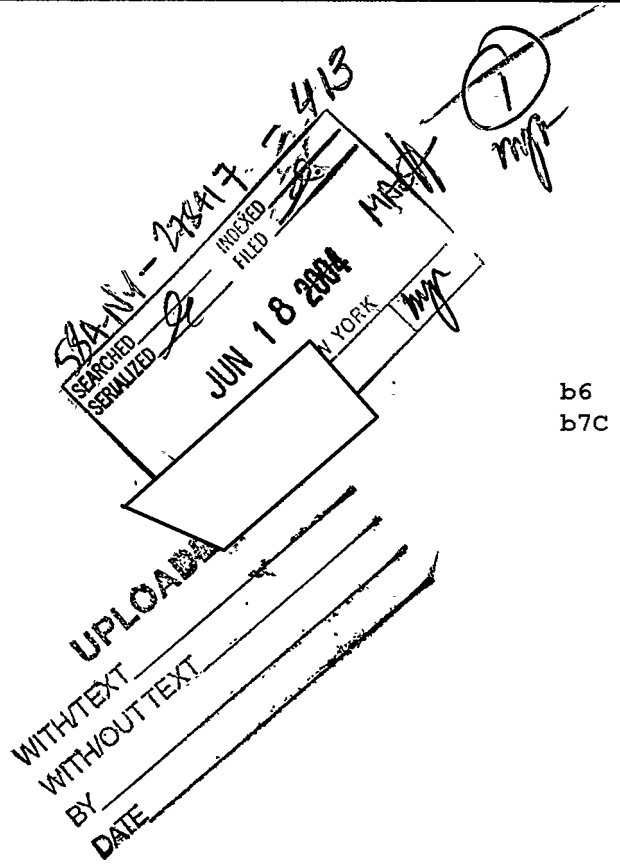
♦♦


SEARCHED
SERIALIZED
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FILED
JUN 18 2004
NEW YORK
UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE

b6
b7C

FD-71 (Rev. 3-05-2003)
Complaint Form

NY0

NOTE: Hand print names legibly; handwriting satisfactory for remainder.
Indices: ☐ Negative ☒ See below

Subject's name and aliases

b6
b7C
b7D

Character of case
Money laundering

Complainant ☐ Protect Source
Attorney

Complaint received by SA
Field Offic Telephone #
☐ Personal ☒ Telephonic Date 06/10/04 Time 2:40 pm

Address of Subject

Complainant's address and telephone number

Complainant's DOB

Sex
Male

b6
b7C
b7D

Subject's Description

Race	☒ Male	Height 5'9"	Hair	Build	Birth date and birth place
Age	☐ Female	Weight 150	Eyes	Complexion	Social Security Number

Scars, marks and other data

Employer Address Telephone

Vehicle Description

Facts of Complaint

During the morning of 6/9/04, Attorney called the Attorney advised he represented a client who

b6
b7C
b7D

b6
b7C
b7D

RTW:rtw

Do not write in this space.

SA
(Complaint received by)

BLOCK STAMP

C14

To: [redacted] b6 b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/29/04 Time: 15:04

Case ID: 58A-NY-278417 Serial: 414

Description of Document:

Type : EC
Date : 06/18/04
To : NEW YORK
From : [redacted] b6 b7C b7D
Topic: (U) TO COVER LEAD.

Reason for Permanent Charge-Out:

case agent wants serial transfered to sub file

Transferred to:

Case ID: 58A-NY-278417-A Serial: 7

Employee: [redacted] b6 b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 4/1/07

58A-NY-278417-415
SEARCHED INDEXED
SERIALIZED FILED
2004 MAY
NEW YORK

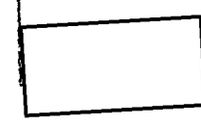
b6
b7C

58A-NY-278417 -416

EARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

2004

NEW YORK



mjm

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT 0
BY ___
DATE 7/7/03

b6
b7C

TRHVol

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 07/06/2004

To: Counterintelligence [redacted]

Attn: SSA [redacted]
Attn: SSA [redacted]
Attn: SA [redacted]
SA [redacted]

b6
b7C
b7D

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE AFFORDED APPROPRIATE SECURITY

b6
b7C
b7D
b7E

Synopsis: To request inter-divisional travel of SA [redacted] on [redacted] to conduct re-interview of [redacted]

Administrative: Reference various email communications between SA [redacted] SA [redacted] New York, and SSA [redacted] FBI HQ.

b6
b7C

Details: New York is conducting a sensitive public corruption investigation concerning controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON. Two of the pardons granted by President CLINTON in his final day in office were to two well-known Bureau fugitives - MARC RICH and PINCUS GREEN. RICH and GREEN were indicted in 1983 on RICO charges which included tax evasion and trading with the enemy, and their history is well documented in public media. New York is investigating whether the

pardons to RICH and GREEN were granted in exchange for anything of value.

Investigation has revealed that [redacted]

b6
b7C
b7D
b7E

Evidence also revealed that [redacted]

b6
b7C
b7D
b7E

Documents obtained in the investigation further reveal [redacted]

b3
b6
b7C
b7D

New York recently received notification that [redacted] agents located and interviewed [redacted]

b6
b7C
b7D
b7E

[redacted] counterintelligence agent will accompany SA [redacted] during the interview and attempt to

question [redacted] SA [redacted] b6 b7C
will seek to re-interview [redacted] b7D b7E

Given the unique knowledge concerning this investigation known only to SA [redacted] it is requested that SA [redacted] be granted authority to travel to [redacted] to conduct an interview of [redacted] Intelligence reveals that [redacted] is scheduled to be in [redacted] Travel is only expected to be for one full day. Anticipated travel costs include air fare and taxi/cab expenses. b6 b7C b7D b7E

LEAD(s):

Set Lead 1: (Info)

[redacted]

AT [redacted]

b6
b7C
b7D

For informational purposes only.

Set Lead 2: (Action)

[redacted]

AT [redacted]

[redacted] is requested to provide one Special Agent to accompany SA [redacted] during the attempted re-interview of [redacted]

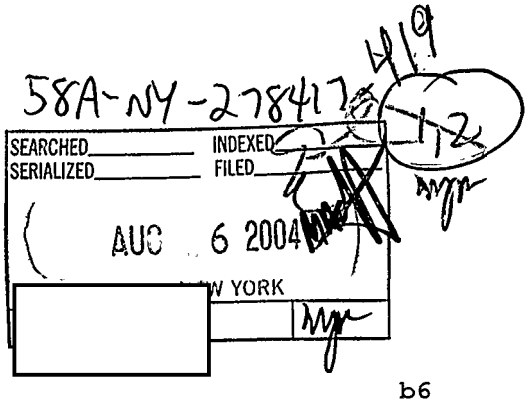
Set Lead 3: (Info)

COUNTERINTELLIGENCE

AT [redacted]

For informational purposes only.

♦♦


58A-NY-278417
SEARCHED
INDEXED
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FILED
AUG 6 2004
W YORK

b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY **Date:** 08/02/2004

To: Criminal Investigative **Attn:** SSA [redacted]
Integrity in Government/Civil Rights Section, Public Corruption Unit

International Operations **Attn:** SSA [redacted]
IOU-II

New York SA [redacted]
White Collar Crime/Squad C-14
Public Corruption

b6
b7C

From: [redacted]
Contact: [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] jaj

Case ID #: 58A-NY-278417-419 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;

b6
b7C

Synopsis: Provide case update.

Reference: 58A-NY-278417 Serial 417

Enclosure(s): For New York is an FD-340 (1A envelope) containing [redacted]

b6
b7C

Details: On July 20, 2004, Assistant Legal Attache (ALAT) [redacted] separately met with [redacted] the Regional Security Officer (RSO) for the United States (US [redacted] ALAT [redacted] followed up with previous telephonic and electronic mail requests by personally and formally tasking them to locate [redacted]

b6
b7C
b7D

L/C - 8/9/04

b6
b7C

1:1 [redacted] 225;9;01.cc

During the separate meetings, both [redacted] and RSO [redacted] unofficially advised ALAT [redacted] that they doubted that [redacted] They both explained that [redacted] previously (several years ago) was a [redacted] suspected involvement in former U.S. President Clinton's perceived improper pardon of Marc Rich. Since nothing had publicly been reported about him for a while, it was believed that [redacted] both [redacted] and RSO [redacted] agreed to conduct independent investigations to determine if [redacted] and to locate his current whereabouts. b6 b7C b7D

On July 21, 2004, ALAT [redacted] met with [redacted] b6 b7C

[redacted]

ALAT [redacted] forwarded the information pertaining to the possibility that [redacted] and to RSO [redacted] b6 b7C b7D

ALAT [redacted] will keep New York and FBIHQ aware of any new developments in the investigation. b6 b7C

To: Criminal Investigative From: [redacted]
Re: 58A-NY-278417, 08/02/2004

b6
b7C

LEAD(s):

Set Lead 1: (Info)

ALL RECEIVING OFFICES

For information only.

♦♦

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 08/16/04 Time: 09:55

Case ID: 58A-NY-278417 Serial: 420

Description of Document:

Type : FD302
Date : [redacted]
To : NEW YORK
From : [redacted]
Topic: INTERVIEW OF [redacted]

b6
b7C
b7D

Reason for Permanent Charge-Out:

[redacted] serialized to main belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 337

Employee: [redacted]

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b7C

014

58A-NY-278417-421

SEARCHED_____ INDEXED_____
SERIALIZED_____ FILED_____

JUN 5 2004

NEW YORK

MAC/RLB

b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 08/09/2004

To: New York **Attn:** SA [redacted] b6 b7C
Squad C-14

From: [redacted]

Contact: SA [redacted] b6 b7C b7D

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 58A-NY-278417-121 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B) ; ET AL;
CFPO - EXECUTIVE BRANCH

Synopsis: To report the interview of [redacted]

Reference: 58A-NY-278417 Serial 391

Enclosure(s): One original and one copy of FD-302. - see serial 337 in NY-278417-sub 302

Details: The interview of [redacted] was accomplished on [redacted] and is forwarded for your information. [redacted] considers this lead covered. b6 b7C b7D

♦♦

[redacted]

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 7/13/04

58A-NY-278417 — 42

SEARCHED
SERIALIZED
INDEXED
FILED

AUG 6 2004

NEW YORK

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b7D

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 08/18/2004

To: [redacted] **Attn:** SA [redacted] b6 b7C b7D

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm b6 b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To provide information and documents received from [redacted]

Enclosure(s): For [redacted] cover letter and documents received from [redacted] b6 b7C b7D

Details: On [redacted] was interviewed by SA [redacted] and AUSA [redacted] relating to the above investigation. A copy of the 302 was previously provided to [redacted] via email. New York recently received a cover letter and documents which were requested of [redacted] during the interview. These documents were voluntarily provided to New York investigators and are not governed by the grand jury secrecy provisions of rule 6(e). In addition, the U.S. Attorney's Office for the Southern District of New York consented to the dissemination of these documents to [redacted] As such, [redacted] is provided with copies of these documents for intelligence purposes and for any investigation deemed appropriate. b6 b7C b7D

In the event New York receives additional documents, New York will provide them to [redacted]

To: [redacted] From: New York
Re: 58A-NY-278417, 08/18/2004

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b7D

LEAD(s):

Set Lead 1: (Discretionary)

[redacted]

AT [redacted]

[redacted] is provided with the enclosed documents for any investigation deemed appropriate.

♦♦

58A-NY-278417-423 (X)

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

SEP 2004

W YORK

see attached
1A 70

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WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 9/29/04

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 09/23/2004

To: [redacted] **Attn:** SA [redacted]

b6
b7C
b7D

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]:mjm

b6
b7C
b7D

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To provide additional documents received from [redacted]

b6
b7C
b7D

Enclosure(s): For [redacted] copy of cover letter and documents received from [redacted] dated [redacted]

Details: On [redacted] was interviewed by SA [redacted] and AUSA [redacted] relating to the above investigation. A copy of the 302 was previously provided to [redacted] via email. New York recently received a second cover letter and documents which were requested of [redacted] during the interview. These documents were voluntarily provided to New York investigators and are not governed by the grand jury secrecy provisions of rule 6(e). In addition, the U.S. Attorney's Office for the Southern District of New York consented to the dissemination of these documents to [redacted] As such, [redacted] is provided with copies of these additional documents for intelligence purposes and for any investigation deemed appropriate.

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To: [redacted] From: New York
Re: 58A-NY-278417, 09/23/2004

b6
b7C
b7D

LEAD(s):

Set Lead 1: (Discretionary)

[redacted]

AT [redacted]

[redacted] is provided with the enclosed additional documents for any investigation deemed appropriate.

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58A-NY-278417-424 (X)

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

SE[illegible] 2004

[illegible]W YORK

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY ___
DATE 10/22/04

b6
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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 09/28/2004

To: International Operations **Attn:** IOU, SSA [redacted] b6
Bern **Attn:** [redacted] b7C
Rome **Attn:**

From: New York
White Collar Crime/Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To cover lead set for New York.

Reference: 58A-NY-278417 Serial 313

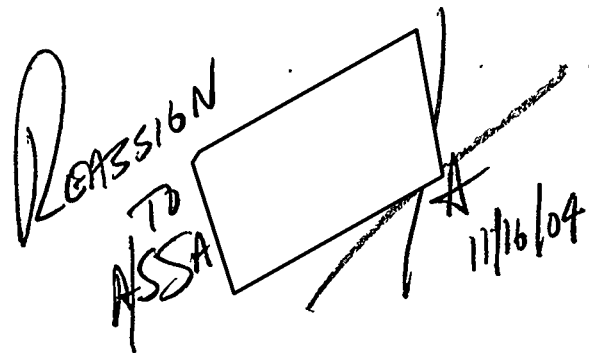
Details: Referenced EC dated 09/14/2004 to New York seeking coordination with Legat Bern, Rome is advised that New York has contacted Bern and Bern will not conduct any investigation at this time due to the sensitivity of the subjects involved and potential disclosure of this source information. New York previously sought assistance from Bern and has been advised that a formal submission [redacted] was required. Due to new source information which suggested that [redacted] New York prepared a request of Rome. b6 b7C b7D b7E

As described in Rome's EC dated 09/14/04 to Bern, New York, and IOU, Rome is requested to continue its own investigation to determine if [redacted] b6 b7C b7D

New York considers Rome's lead for coordination with Bern to be covered.

♦♦

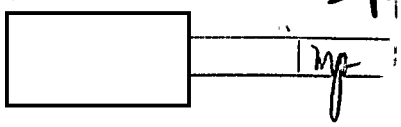
REASSIGN TO ASSA



11/16/04

11/18/04 6C

58A-NY-278417 - 425



TRANS.

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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 11/15/2004

To: New York **Attn:** A/SSA [redacted]

From: New York
Squad C-14
Contact: SA [redacted]

Approved By: [redacted] b6 b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278413 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET A b6 b7C
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To re-assign above investigation pending consideration of closing case.

Details: On 11/15/2004, a meeting was held at the U.S. Attorney's Office, SDNY, between A/SSA [redacted] SA [redacted] and AUSA [redacted] to discuss the future of the above investigation. The SDNY's position is that the investigation will continue to remain open until a final decision will be made by USA David Kelly regarding possible bribery conspiracy charges. A possible alternative to bringing an indictment [redacted] b3 b6 b7C b7E Due to SA [redacted] promotion to HQ and the departure of AUSA [redacted] the status of the case is in question. AUSA [redacted] recommendation was to not charge an indictment, based on a variety of factors. In the meantime, it was agreed that A/SSA [redacted] would be assigned the investigation for FBI administrative purposes until such time as USA Kelley makes his decision, which is expected to be made within the next month or so.

AUSA [redacted] will continue his research concerning the [redacted] and may also consider an independent

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BY
DATE

investigation of the [redacted] based on FBI source information. b7D

As such, A/SSA [redacted] will be assigned in ACS, and will coordinate with SA [redacted] at HQ for information as needed. A/SSA [redacted] and AUSA [redacted] will revisit this issue concerning the status of the case on or about 01/01/2005. b6 b7C

Due to SA [redacted] promotion to FBI HQ, it is requested that A/SSA [redacted] be assigned the above investigation.

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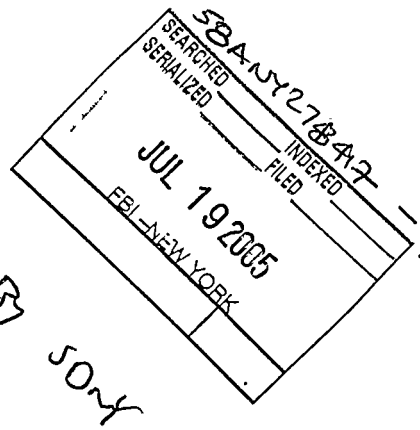

A (+)

58A-NY-278447-430

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JUL 19 2005

FBI - NEW YORK

REVIEWED BY SONY

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/02/2005

To: International Operations **Attn:** SSA [redacted]
IOU-1

✓ New York **Attn:** Squad 19 [redacted]

From: Rome [redacted]
Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 58A-NY-278417 -430 (Pending)

Title: UNSUB (S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: To advise of [redacted] to New York's request relative to captioned matter.

Reference: 58A-NY-278417 Serial 5

Details: On [redacted]

[redacted]

[redacted]

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b7E

Thus, it appears that [redacted] A review of the status of this case in ACS revealed that [redacted] As such, the determination of whether [redacted] will be prepared will be left to the discretion of New York. Legat Rome, [redacted] considers all leads covered in this matter.

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To: International Operations From: Rome
Re: 58A-NY-278417, 02/02/2005

LEAD(s):

Set Lead 1: (Info)

INTERNATIONAL OPERATIONS

AT WASHINTGON, D.C.

Read and clear.

Set Lead 2: (Info)

NEW YORK

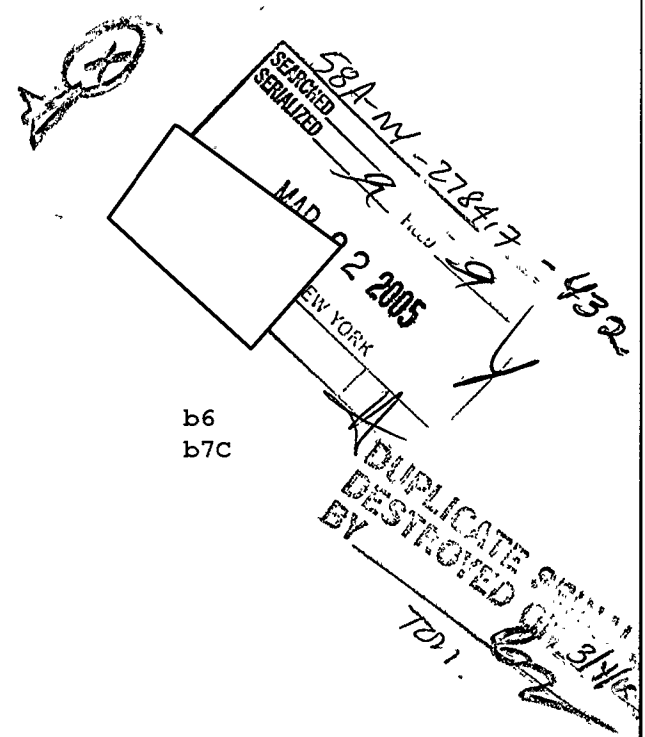
AT NEW YORK, NEW YORK

Read and clear.

♦♦

H:\CLINTON.EC

(A). SERIAL 424



SBA-NY-27847-432

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(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 03/05/2005

To: Criminal Investigative **Attn:** Integrity in Government;
Civil Rights Section;
Public Corruption Unit

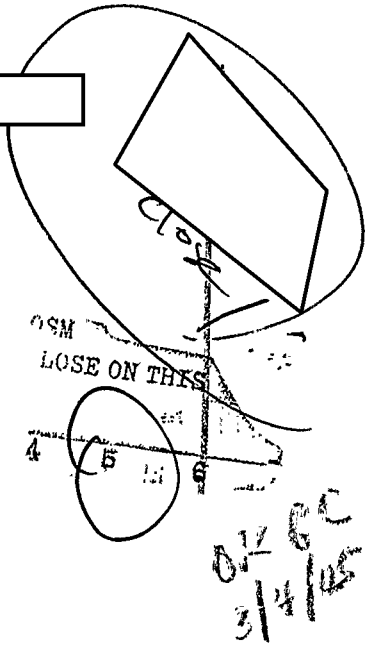
From: New York
C-14: Public Corruption
Contact: SA [redacted]

Approved By: [redacted] SSA

Drafted By: [redacted] rjh b6 b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY



Synopsis: To provide FBIHQ, Criminal Investigative Division; Integrity In Government; Civil Rights Section; Public Corruption Unit with closing LHM.

Details: Enclosed with this Electronic Communication (EC) is an LHM outlining captioned investigation.

In December, 2004, the United States Attorney's Office, Southern District of New York, declined prosecution in this matter.

For information of FBIHQ, during financial analysis involved in the pardon investigation, New York attempted to corroborate source information that [redacted] b6 b7C b7D

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WITH/TEXT ✓
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BY
DATE 3/4/05

[redacted] b6 b7C b7D

New York issued subpoenas for [redacted] [redacted] b3 b6 b7C

[redacted] were also obtained for [redacted] with negative results. b3 b6 b7C

New York attempted to coordinate the corroboration of this information with Legats Bern and Rome, however [redacted] would be required. The SDNY and New York were reluctant to [redacted] b3 b6 b7C b7D b7E

Despite the closing of captioned investigation in general, the SDNY appeared to have an interest in [redacted] b7D b7E

[redacted] the existing pardon investigation (case file 58A-NY-278417) will be closed for lack of evidence to continue an ongoing investigation or prosecution. b7E

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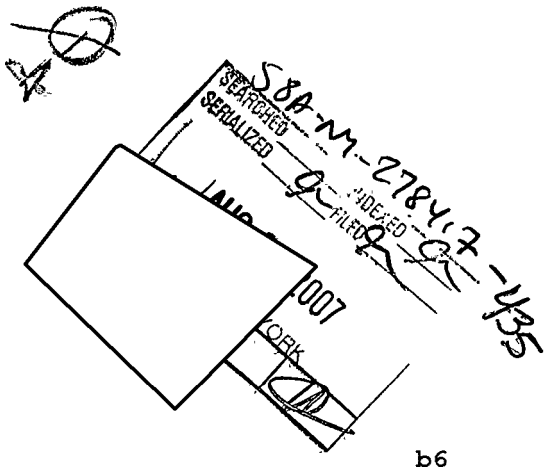

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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 05/16/2007

To: New York **Attn:** Evidence Control Unit

From: New York
C-14: Public Corruption
Contact: SSA [redacted]

Approved By: [redacted] rjh

Drafted By: [redacted] rjh

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b7C

Case ID #: 58A-NY-278417 (Closed) -435

Title: William Jefferson Clinton;
Marc Rich;
[redacted]
OO: New York

Synopsis: Captioned evidence has been returned to the United States Attorney's Office, Southern District of New York for permanent storage and should be removed from the FBI Evidence Retention System.

Details: FD-192 documents for captioned matter have been reviewed.

At the request of the United States Attorney's Office, Southern District of New York, captioned evidence should be transferred to the Department of Justice for permanent storage.

On May 16, 2007 the below listed 1B items (with associated evidence numbers) were returned to the Department of Justice and should be removed from the FBI Evidence Retention System. The FBI offered to destroy the evidence but the SDNY wanted to maintain the listed items for any future historical review.

[redacted] SDNY, coordinated the transfer of the evidence. The evidence was transported by Roselli Moving Corp., 260 Moffit Blvd., Islip, New York 11751, telephone 631-581-8000. A bill of lading and freight bill (one document) has been filed in the 1A section of this case file.

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The evidence was package in sealed cardboard boxes and labeled with United States Attorney's Office, Southern District

To: New York From: New York
Re: ??, 05/16/2007

of New York number (USAO Number) 2001R01633; United States versus Marc Rich.

PLEASE REMOVE THE FOLLOWING EVIDENCE FROM THE EVIDENCE RETENTION SYSTEM

FBI 1B NUMBER	EVIDENCE CONTROL NUMBER
1B1	E02083492
1B2	E02083493
1B3	E02083494
1B4	E02083495
1B5	E02083496
1B6	E02083497
1B7	E02083498
1B8	E02083499
1B9	E02083500
1B10	E02083501
1B11	E02083407
1B12	E02083408
1B13	E02083505
1B14	E02083506
1B15	E02083508
1B16	E02083507
1B17	E02083544
1B18	E02083545
1B19	E02083546
1B20	E02083547
1B21	E02083548
1B22	E02083549
1B23	E02083550
1B24	E02083551
1B25	E02083552
1B26	E02083553
1B27	E02083554
1B28	E02083555
1B29	E02083556
1B30	E02083557
1B31	E02083558
1B32	E02083559
1B33	E02083560
1B34	E02083561
1B35	E02083562
1B36	E02083563
1B37	E02083564
1B38	E02083565
1B39	E02083566
1B40	E02083567
1B41	E02083509

1B42	E02083568
1B43	E02083510
1B44	E02083569
1B45	E02083570
1B46	E02083571
1B47	E02083572
1B48	E02083573
1B49	E02083574
1B50	E02083575
1B51	E02083576
1B52	E02083577
1B53	E02083511
1B54	E02083578
1B55	E02083579
1B56	E02083512
1B57	E02083580
1B58	E02083581
1B59	E02083582
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1B62	E02083515
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1B67	E02083520
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1B73	E02083526
1B74	E02083527
1B75	E02083528
1B76	E02083529
1B77	E02083530
1B78	E02083531
1B79	E02083532
1B80	E02083533
1B81	E02083534
1B82	E02083535
1B83	E02083536
1B84	E02083537
1B85	E02083616
1B86	E02083538
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1B133	E02084030
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1B135	E02084032
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1B146	E02084975
1B147	E02084976
1B148	E02084977
1B149	E02084978
1B150	E1211896
1B151	E01960332
1B152	E01960333
1B153	E01960334
1B154	E01960335
1B155	E01960336
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1B164	E01960345
1B165	E01960346
1B166	E01960350
1B167	E01960746
1B168	E01960747
1B169	E01960748
1B170	E01960749
1B171	E01960750
1B172	E01960751
1B173	E01960752
1B174	E01960753
1B175	E01960754
1B176	E01960755
1B177	E01960756
1B178	E01960757
1B179	E01960758
1B180	E01960759
1B181	E01960760
1B182	E01960761
1B183	E01960762
1B184	E01960763
1B185	E01960764
1B186	E01960765
1B187	E01960766
1B188	E01960767
1B189	E01960768

Moving or Secured Boxes

436

58A-NY-278417 (CLOSED)

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 04 2007
DBK

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OFFICE, LOFT AND COMMERCIAL BILL OF LADING AND FREIGHT BILL

form is for use when the applicable TARIFF provides specific rates for Office, Loft and commercial moving on an HOURLY BASIS;

9/7/07

(10:00a.m.)

ROSELLI MOVING CORP.
260 MOFFITT BLVD.
ISLIP, NY 11751
631-581-8000

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b7C

Certificate No. DOT #T11195 Date 5/16/07

SHIPPER U.S.ATTY./Meet with [redacted] CONSIGNEE

At the corner of Dwayne & Lafayette

ADDRESS 26 Federal Pl. Call C [redacted] ADDRES [redacted]

CITY NY STATE NY PHONE [redacted] CITY STATE PHONE

FLOOR ROOM ELEV. WALKUP FLOOR ROOM ELEV. WALKUP

Received subject to the classifications and tariffs, rules and regulations in effect on the date of the issue of this Bill of Lading shipment described herein (contents and conditions of contents of packages unknown) consigned and destined as shown herein, which said company (the word company being understood throughout this contract as meaning any person or corporation in possession of the property under the contract) agrees to transport to destination indicated above. It is mutually agreed, that every service to be performed hereunder shall be subject to all conditions not prohibited by law, whether printed or written, herein contained, including the conditions on back hereof, which are hereby agreed to by shipper and accepted for himself and his assigns.

VALUATION

UNLESS THE SHIPPER EXPRESSLY RELEASES THE SHIPMENT TO A VALUE OF 30 CENTS PER POUND PER ARTICLE, THE CARRIER'S MAXIMUM LIABILITY FOR LOSS AND DAMAGE SHALL BE EITHER THE LUMP SUM VALUE DECLARED BY THE SHIPPER OR $2500, WHICHEVER IS GREATER.

THE SHIPMENT WILL MOVE SUBJECT TO THE RULES AND CONDITIONS OF THE CARRIER'S TARIFF. SHIPPER HEREBY RELEASES THE ENTIRE SHIPMENT TO A VALUE NOT EXCEEDING $ _____
(To be completed by person signing below)

NOTICE: THE SHIPPER SIGNING THIS CONTRACT MUST INSERT IN THE SPACE ABOVE, IN HIS OWN HANDWRITING, EITHER HIS DECLARATION OF THE ACTUAL VALUE OF THE SHIPMENT, OR THE WORDS "30 cents per pound per article," OTHERWISE THE SHIPMENT WILL BE DEEMED RELEASED TO A LUMP SUM VALUE OF $2500.

Shipper or Agent

SHIPPER'S COLLECTION INSTRUCTIONS

Subject to Section 7 of the conditions, if this shipment is to be delivered to the consignee without recourse to the consignor, the consignor shall sign the following statement:
The carrier shall not make delivery of this shipment without payment of transportation and all other lawful charges.

(Shipper or Agent)

TERMS

All advance of lawful charges must be paid in cash, money order, or certified check before carrier delivers or relinquishes possessions of the property, unless otherwise indicated below by the carrier.

TIME RECORD

Left Terminal _____ AM PM
On Job 1032 AM PM (Signature)
Time Finished _____ AM PM (Signature)
Returned Terminal _____ AM PM

SERVICE	DESCRIPTION	CHARGES
MOVING	STRAIGHT TIME 1 Van(s) 2 Men Hours at $ _____ per Hr.	
	OVERTIME CHARGES _____ Van(s) _____ Men _____ Hours at $ _____ per Hr.	
	VALUATION CHARGE (Additional Liability) 50¢ per $100 of declared value	
CONTAINER SERVICES AND LOAN CHARGES	DELIVERY OF PACKING CONTAINERS _____ Van(s) _____ Men _____ Hours at $ _____ per Hr.	
	RETURN OF PACKING CONTAINERS _____ Van(s) _____ Men _____ Hours at $ _____ per Hr.	
	Loan of Boxes or Ctns _____ at Ea. _____	
	Loan of Bins or Ctns _____ at Ea. _____	
STORAGE CHARGES	WAREHOUSE HANDLING _____ per _____ @ _____	
	TRANSIT STORAGE: FROM _____ TO _____ @ _____	
	S.I.T. VALUATION CHARGE (Additional Liability) $1.00 per $100 of declared value	
OTHER CHARGES		
	TOTAL	$

RECEIPT OF DELIVERY

Services shown herein were rendered and the property described above received in good condition except as herein noted.

Consignee _____

Per _____

RECEIVED FROM _____ $ _____

By _____

© COPYRIGHT 1989, MILBIN PRINTING, INC. 1290 MOTOR PARKWAY, HAUPPAUGE, NY 11749 (631) 582-8900

FORM # 253A

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 57
Page 8 ~ b3; b6; b7C; b7D;
Page 9 ~ b3; b6; b7C; b7D;
Page 10 ~ b3; b6; b7C; b7D;
Page 11 ~ b3; b6; b7C; b7D;
Page 12 ~ b3; b6; b7C; b7D;
Page 13 ~ b3; b6; b7C; b7D;
Page 14 ~ b3; b6; b7C; b7D;
Page 15 ~ b3; b6; b7C; b7D;
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Page 27 ~ b3; b6; b7C; b7D;
Page 28 ~ b3; b6; b7C; b7D;
Page 29 ~ b3; b6; b7C; b7D;
Page 30 ~ b3; b6; b7C; b7D;
Page 58 ~ Duplicate;
Page 59 ~ Duplicate;
Page 61 ~ b3; b6; b7C;
Page 63 ~ b3; b6; b7C; b7D;
Page 65 ~ Duplicate;
Page 96 ~ b3; b6; b7C;
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Page 107 ~ Duplicate;
Page 109 ~ Duplicate;
Page 136 ~ Duplicate;
Page 140 ~ b3; b6; b7C;
Page 177 ~ b3; b6; b7C;
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Page 195 ~ Duplicate;
Page 313 ~ b3; b6; b7C;
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Page 316 ~ b3; b6; b7C;

Page 317 ~ Duplicate;
Page 318 ~ Duplicate;
Page 319 ~ b3; b6; b7C;
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Page 321 ~ b3; b6; b7C;
Page 322 ~ b3; b6; b7C;
Page 323 ~ b3; b6; b7C;
Page 324 ~ b3; b6; b7C;
Page 377 ~ Duplicate;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXX

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/15/2001

To: New York **Attn:** Squad C-14 Rotor

From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm b6 b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL.;
CFPO - EXECUTIVE BRANCH;
OO: NY

Done yg 2/20/01

Synopsis: To request opening of subfiles in administration of the above investigation.

Details: Squad C-14 Rotor is requested to open the following subfiles which will serve as a repository for information in the above captioned investigation:

Sub 302	-	FD-302 reports
Sub IN	-	Investigative inserts
Sub BC	-	Background checks, searches, and information
Sub CE	-	Case expenditure information
Sub 1A	-	1A exhibits
Sub GJ	-	Grand Jury subpoenas and information

subfiles.ec
♦♦

58A-NY-278417- Sub GJ- mjm
yg yg
2/15/

UPLOADED
WITH/TEXT ✓
WITH/OUTTEXT
BY yg
DATE 2/20/01

FD-340a (Rev. 11-12-89)

(Title) _____

(File No.) 58A-NY-278417-Sub GJ-IAS

	Date Filed	To be returned Yes	To be returned No		Disposition
IA1			/	ORig. notes Re	
IA7			/	ORig. notes A	

b3
b6
b7C
b7D

58A-NY-278417-Sub GJ-IAS
SEARCHED
SERIALIZED
INDEXED
FILED
MAR 05 2001
FBI — NEW YORK

FD-340b (Rev. 2-5-93)

Sub GJ

Universal Case File Number 58A-NY-278417-

Field Office Acquiring Evidence New York

Serial # of Originating Document 1A1

b3
b6
b7C
b7D

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

b6
b7C

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUB(S);
WTC - Former U.S Pres.
[redacted] MR - Fug.
CFPO - Exec. Br.
OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

b3
b6
b7C
b7D

DO NOT REMOVE FROM EXHIBIT

NY-278417- Sub GJ 1A

GRAND JURY MATERIAL

6(e)

(1)

b3
b6
b7C
b7D

FD-340b (Rev. 2-5-93)

Sub

Universal Case File Number 58A-NY-278417-GJ

Field Office Acquiring Evidence NY 1A2

Serial # of Originating Document _____

b3
b6
b7C
b7D

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUBS;
WJC - Former US Pres.;
[redacted] MR - FUGLB);
CFBU - EXEC. BR.
OO: NY

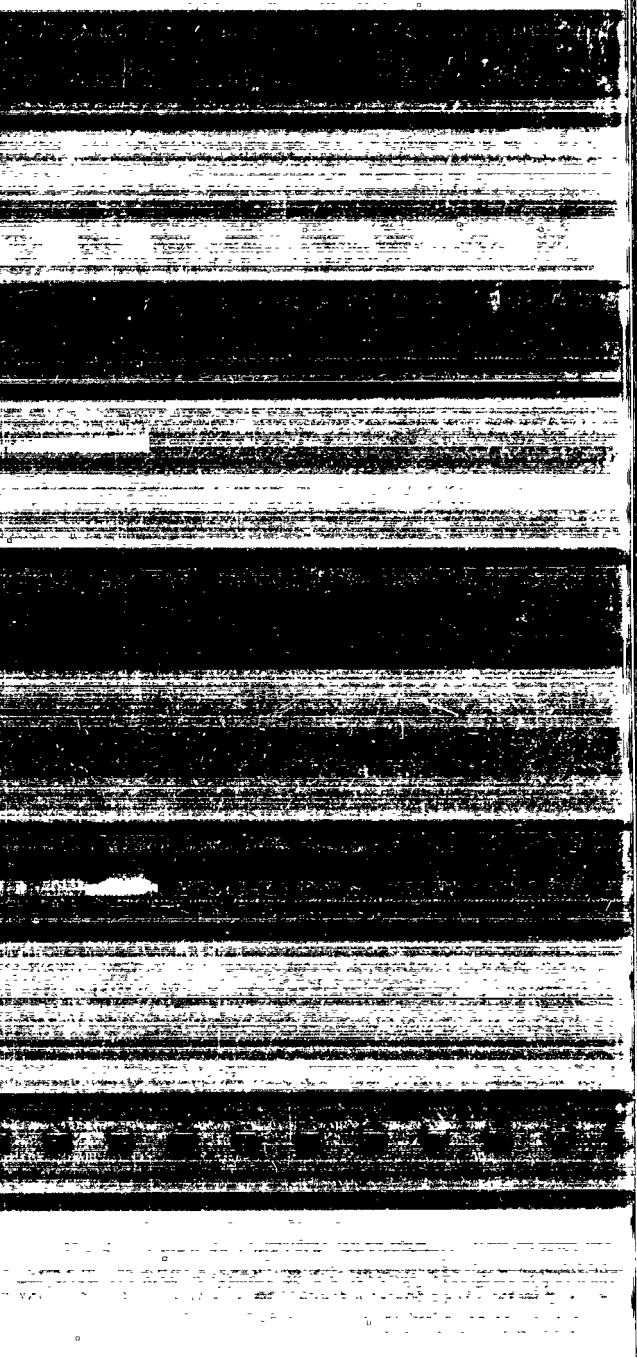
Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

b3
b6
b7C
b7D

DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub GJ 1A2



GRAND JURY MATERIAL

6(e)

b3
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b7C
b7D

(1)

Served by [redacted] AUSA, SDNY

On 02/15/01 via fax to [redacted]

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b6
b7C

58A-NY-278417-GJ Sub X

UPLOADED
WITH TEXT
WITHOUT TEXT ✓
BY UC
DATE 2/20/01

[redacted]

b6
b7C

Form No. USA-33s-222 (Rev. 3-28-78) Grand Jury Subpoena

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO [redacted]

b3
b6
b7C

GREETING:

WE COMMAND YOU that all and singular business and excuses being laid aside, you and each of you appear and attend before the GRAND INQUEST of the body of the people of the United States of America for the Southern District of New York, at a District Court, to be held at Room [redacted] in the United States Courthouse, Foley Square, in the Borough of Manhattan, City of New York, in and for the said Southern District of New York, on the [redacted] day of [redacted] at [redacted] o'clock in the [redacted]noon, to testify and give evidence in regard to an alleged violation of Section

18 U.S.C. §§ 201, 371, 1001 and 1505.
2 U.S.C. §§ 437g(d), 441e, 441f.

on the part of the United States, and not to depart the Court without leave thereof, or of the United States Attorney.

And for failure to attend you will be deemed guilty of contempt of Court and liable to penalties of the law.

DATED: New York, N. Y. February 14, 2001

[redacted]

Clerk.

MARY JO WHITE

United States Attorney for the Southern District of New York

NOTE: *Report at Room 767.* In order to secure your witness fees and mileage, it is necessary that you retain this Subpoena and present the same at the United States Attorney's Office, Room 767, upon each day on which you attend Court as a witness.

[redacted]

Assistant United States Attorney Room [redacted]

[redacted]

b6
b7C

FPI-MAR—4-26-78

Served by SA [redacted] FBI, NY
On 02/15/01 at 5:35 pm
Via fax to [redacted]

b3
b6
b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [illegible]
DATE 2/20/01

58A-NY-278417 - Sub GJ - 3

Form No. USA-33s-224 Grand Jury Subpoena Duces Tecum (Gov't)

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO [redacted]

GREETING:

b3

We COMMAND YOU that all and business and excuses being laid aside, you appear and attend before the GRAND INQUEST of the body of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square/500 Pearl Street, in the Borough of Manhattan, City of New York, at

[redacted] on the [redacted]

to testify and give evidence in regard to an alleged violation of

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C.§§ 437g(d), 441e, 441f

and not to depart the Court without leave thereof, or of the United States Attorney, and that you produce at the time and place aforesaid the following:

See attached rider

And for failure to attend and produce the said documents, you will be deemed guilty of a contempt of Court and liable to penalties of the Law.

February 14, 2001

DATED: New York, N.Y.

Mary Jo White
United States Attorney for the Southern District of New York

[redacted]

Note: In order to secure your witness fees and mileage, it is necessary that you retain this Subpoena and present the same to the Assistant United States Attorney upon each day on which you attend Court as a witness.

b6
b7C

[redacted]
Assistant United States Attorney
Telephone: [redacted]

[redacted]
One St. Andrew's Plaza
New York, New York 10007

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records of [redacted]

[redacted]

b3
b6
b7C

2. All [redacted]

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [X] Sensitive
- [ ] Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 02/15/2001
Name of Office b3

Facsimile Number: _____

Att.: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b3

Special Handling Instructions: Please hand deliver to _____ and provide records ASAP to SA _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999 b6 b7C

Approved: _____

Brief Description of Communication Faxed: One FGJ subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] FBI, NYO
On 02/15/01 at 5:35 pm
Via fax to [redacted]

58A-NY-278417- Sub GJ

UPLOADED
WITH TEXT
WITHOUT TEXT ✓
BY
DATE 2/20/01

2/15/

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 15, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records [redacted]

b3
b6
b7C

2. All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [X] Sensitive
- [ ] Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 02/15/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3

From: FBI - NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please hand deliver to _____ and provide records ASAP to SA _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: One FGJ subpoena with attached rider.

WARNING

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Served by [redacted] AUSA, SDNY

On 02/15/01 via fax to [redacted]

58A-NY-278417-GJ Seals

INDEXED
FILED

5

PFG

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY

DATE 2/20/01

[redacted]

b6
b7C

b3
b6
b7C

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 15, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All

2. All records relating or referring to

b3

3. All records relating or referring to

4.

5. All records relating or referring to

b3

6. All records relating or referring to

7.

58A-NY-278417-GS

6

2/15/

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY: 49
DATE: 2/20/01

Served by: SA [redacted] FBI, NYD

02/15/01 5:35pm

via faximile [redacted]

on [redacted]

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 15, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records of [redacted] b3 b6 b7C

2. All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [X] Sensitive
- [ ] Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 02/15/2001
Name of Office

b3

Facsimile Number: _____

Attn: _____
Name Room Telephone

From: FBI - New York (Squad C-14)
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please provide results of subpoena ASAP to SA _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

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WITH/TEXT ✓

WITH/OUTTEXT ✓

BY ug

DATE 2/22/01

58A-NY-278417-GJ-

ug ug 9

02/22/

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: _____ Date: 02/22/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

b6 b7C

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

b3

Brief Description of Communication Faxed: NO DISCLOSURE TO _____

DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

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58A-NY-278417-GJ-10 Sub

yg yg

UNCLASSIFIED

WITH/TEXT ✓

WITH/OUTTEXT ✓

BY yg

DATE 2/23/01

b6
b7C

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY ug

DATE 2/26/01

58A-NY-278417-GJ-

Sub

SEARCHED ug INDEXED ug

SERIALIZED ug FILED ug

FEB 2 7 2001

FBI — NEW YORK

b6

b7C

********** -COMM. JOURN[redacted] ********** DATE FEB-14-[redacted]01 ***** TIME 17:32 ********

MODE = TRANSMISSION START=FEB-14 17:32 END=FEB-14 17:32

FILE NO.=658

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	[redacted]	[redacted]	002	00:00:31

b3
b6
b7C -US ATTORNEYS OFFICE -

********** - - ***** - - **********

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
One St. Andrew's Plaza
New York, NY 10007

To: [redacted] b3 b6 b7C

Telephone No.: [redacted]

Fax No.: [redacted]

No. of pages (including cover sheet): 2

Date: 2/14/01

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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From: [redacted]
Assistant United States Attorney b6 b7C

Telephone No.: [redacted]

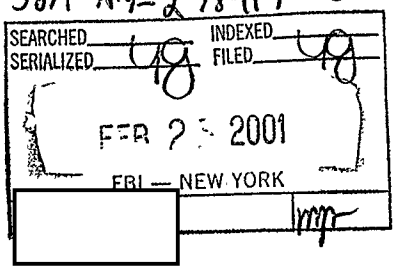
Fax: (212) 637-2615

Remarks: GJ Subpoena To [redacted] follows b3 b6 b7C

Served by SA [redacted] FBI, NY On 02/23/01
Via fax to [redacted]

58A-NY-278417-GJ-Sub mp

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
FEB 2 3 2001
FBI — NEW YORK
[redacted] mp



13

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BY ug
DATE 2/26/01

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. § § 201, 371, 1001 and 1505
2 U.S.C. § § 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

February 22, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All records referring or relating to [redacted]

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N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no [redacted], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
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FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted]

Office Phone No.: [redacted] (212) 637-2615 b6 b7C

Fax Number:

No. pages (including cover sheet): Three (3)

Date sent: FEBRUARY 22, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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Please deliver ASAP TO

****

To: S/A [redacted] S/A [redacted] C-14

Office Phone No.: [redacted] b3 b6 b7C

Fax Number: ~~384-2999~~ 2745

Remarks: [redacted] PLEASE TRY TO SERVE THE ATTACHED BY FAX THIS MORNING. THE THANKS LOTS. SEE YOU AT 10 AM FOR THE MTG.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 02/23/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

b3
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b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One FGJ subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

Served by AUSA [redacted] SDNY
On 02/25/01 via fax to [redacted]

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY UO
DATE 03/5/01

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58A-NY-278417 - GJ - Sub (X)

SEARCHED [illegible] INDEXED [illegible]
SERIALIZED [illegible] FILED [illegible]
[illegible] 5 2001
FBI - NEW YORK
[redacted] mp

18 mp

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
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b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 26, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted] b3

2. All [redacted] b3 b6 b7C

3. All [redacted] b3

4. All [redacted]

UPLOADED
WITH/TEXT
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BY UG
DATE 3/5/01

58A-NY-278417-GJ-19

SEARCHED
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FEB 25 2001
FBI — NEW YORK

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Served by AUSA [redacted] 02/26/01

via fax to [redacted]

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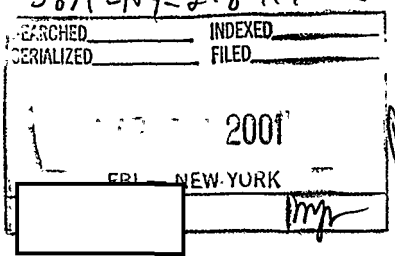
58A-NY-278417-GJ



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FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted] b6 b7C

Office Phone No.: [redacted]
(212) 637-2615

Fax Number:

No. pages (including cover sheet): Three (3)

Date sent: FEBRUARY 26, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted] b3 b6 b7C

Office Phone No.: [redacted]

Fax Number:

Remarks:

· Served by AUSA [ ] via fax to

[ ] on 03/05/01

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58A-NY-278417-GJ - Sub

SEARCHED [ ] INDEXED [ ]
SERIALIZED [ ] FILED [ ]
2001
FBI — NEW YORK

23

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [ ]
DATE 3/7/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 28, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. If subpoenaed materials are available before the return date, kindly deliver them to Special Agent (tel. no.: or Special Agent (tel. no. Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

********** -COMM. JOURNAL ********** DATE MAR-05-2001 ***** TIME 18:58 ********

MODE = TRANSMISSION START=MAR-05 18:56 END=MAR-05 18:58

FILE NO.=845

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION	
001	OK	a	[redacted]	003	00:01:28	b3 b6 b7C

-US ATTORNEYS OFFICE -

********** - ***** - **********

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted] b6 b7C

Office Phone No.: [redacted]
(212) 637-2615

Fax Number:

No. pages (including cover sheet): THREE (3)

Date sent: MARCH 5, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted] b3 b6 b7C

Office Phone No.: [redacted]

Fax Number: [redacted]

GRAND JURY SUBPOENA TO [redacted] RETURNABLE [redacted] [redacted] FOLLOWS

Remarks:

Served by AUSA [redacted] on 03/05/01
via fax to [redacted]

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58A-NY-278417-GJ-

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
2001
FBI — NEW YORK

24

UPLOADED
WITH/TEXT ___
WITH/OUT TEXT ✓
BY ___
DATE 3/7/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 28, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted]

Office Phone No.: [redacted] b6 b7C

(212) 637-2615

Fax Number: _____

No. pages (including cover sheet): THREE (3)

Date sent: MARCH 5, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted]

Office Phone No.: [redacted] b3 b6 b7C

Fax Number:

Remarks: ONE (1) SUBPOENA TO FOLLOW

********** -COMM. JOURNAL ********** DATE MAR-05-2001 ***** TIME 12:13 ********

MODE = TRANSMISSION START=MAR-05 12:12 END=MAR-05 12:13

FILE NO.=837

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK		[redacted]	003	00:00:42

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-US ATTORNEYS OFFICE -

********** - ***** - **********

58A-NY-278417 - GJ Sub (X)

SEARCHED [illegible] INDEXED [illegible]
SERIALIZED [illegible] FILED [illegible]
2001
FBI — NEW YORK

25

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY [illegible]
DATE 3/7/01

b6
b7C

Served by AUSA [redacted] on 03/05/01

Via fax to [redacted]

b3
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58A-NY-278417-GJ

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

2001

FBI NEW YORK

[redacted]

UPLOADED
WITH/TEXT [redacted]
WITHOUT TEXT [redacted]
BY [redacted]
DATE 3/7/01

b6
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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
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b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 5, 2001

[redacted]

b6
b7C

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly produce [redacted]

b3
b6
b7C

********** -COMM. JOURN[redacted] ********** DATE MAR-05-[redacted]01 ***** TIME 12:52 ********

MODE = TRANSMISSION START=MAR-05 12:51 END=MAR-05 12:52

FILE NO.=839

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	☎	[redacted]	003	00:01:00

b3
b6
b7C

-US ATTORNEYS OFFICE -

********** - ***** - *********

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted] b6 b7C

Office Phone No.: [redacted]
(212) 637-2615

Fax Number:

No. pages (including cover sheet): THREE (3)

Date sent: MARCH 5, 2001

****

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****

To: [redacted] b3 b6 b7C

Office Phone No.: [redacted]

Fax Number: [redacted]

Remarks: ONE (1) SUBPOENA TO FOLLOW

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/12/01 Time: 09:08

Case ID: 58A-NY-278417-GJ Serial: 27

Description of Document:

Type : EC
Date : 02/28/01
To : NEW YORK
From : NEW YORK
Topic: TO PROVIDE [redacted]

b3
b6
b7C
b7E

Reason for Permanent Charge-Out:

needs to be transfered back into main

Transferred to:

Case ID: 58A-NY-278417 Serial: 32

Employee: [redacted]

b6
b7C

********* Permanent Charge-Out of Serials Summary *********
There are no leads for old Case ID 58A-NY-278417-GJ with Serial 27 to be copied

********** -COMM. [redacted] RNAL- ********** DATE MAR-03-20[redacted] *** TIME 17:17 *** P.01

MODE = MEMORY TRANSMISSION START=MAR-03 17:15 END=MAR-03 17:16

FILE NO.= 004

STN NO.	COM	ABBR NO.	STATION NAME/TEL.NO.	PAGES	DURATION
001	OK	[redacted]	[redacted]	004/004	00:01'35"

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********** ***** **********

D-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [ ] Sensitive
- [X] Unclassified

Time Transmitted:
Sender's Initials: jtb
Number of Pages: 4
(including cover sheet)

To: [redacted] Date: 03/03/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI
Name of Office

Subject: [redacted]

Per our conversation on 3/3/01 [redacted] will accept service of this subpoena for [redacted] Thank you. SA [redacted]

b3
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b7C
b7D

Special Handling Instructions: Mr. [redacted] please note that the appearance and production date is the same. [redacted]

Originator's Name: SA [redacted] Telephone: [redacted]

b3
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b7C

Originator's Facsimile Number: 703-762-3072

b6
b7C

Approved: [redacted]

Brief Description of Communication Faxed: If you have any questions about the production and appearance date, please call the AUSA in New York, [redacted] Thank you.

b6
b7C

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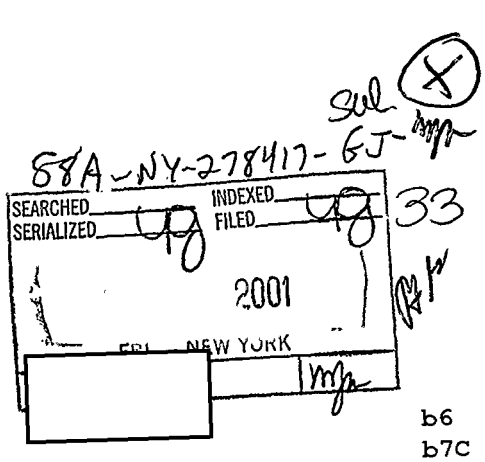
UPLOADED
WITH/TEXT /
WITH/OUT TEXT
BY
DATE 3/16/01



b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 12, 2001

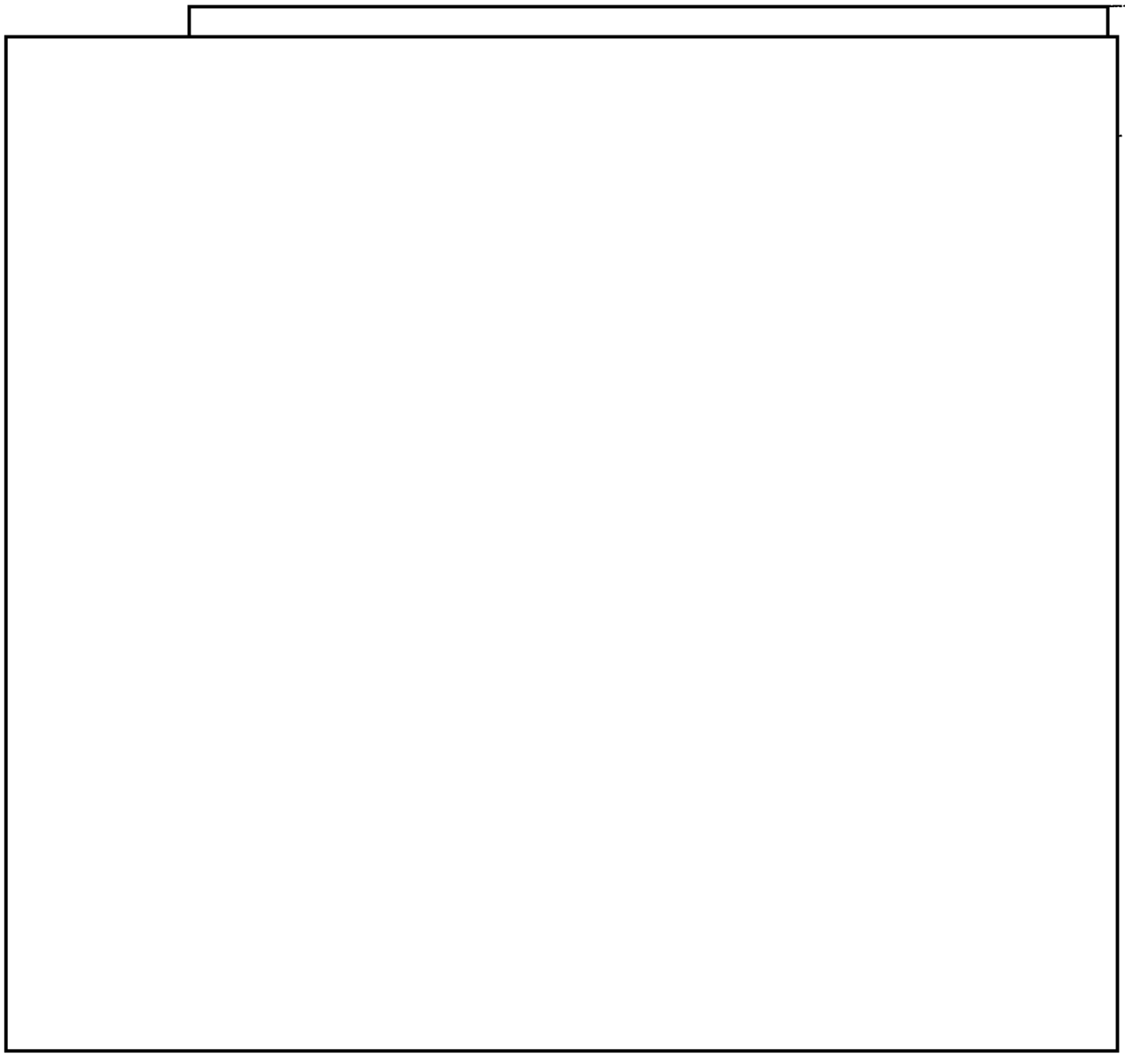
MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM



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FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted]

Office Phone No.: [redacted] b6 b7C

Fax Number: (212) 637-2615

No. pages (including cover sheet): FOUR (4)

Date sent: MARCH 12, 2001

****

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****

To: [redacted]

Office Phone No.: [redacted] b3 b6 b7C

Fax Number: [redacted]

Remarks:

********** -COMM. JOL[redacted]- ********** DATE MAR-[redacted]2001 ***** TIME 15:44 ********

MODE = TRANSMISSION START=MAR-12 15:43 END=MAR-12 15:44

FILE NO.=941

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	[redacted]	[redacted]	004	00:01:13

b3
b6 -US ATTORNEYS OFFICE
b7C

********** - - ***** - - **********

Served by SA [redacted] on 03/12/01 via fax.

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY 4Q
DATE 3/16/01

58A-NY-278417-GJ - Sub

SEARCHED 4Q INDEXED 4Q 34
SERIALIZED 4Q FILED 4Q
2001
FBI — NEW YORK
[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 12, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records o[redacted]

2. All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/12/2001
Name of Office

b3

Facsimile Number: _____

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] on 03/12/01

Via fax to [redacted]

b3
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b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY yg
DATE 3/16/01

Sul

58A-NY-278417-GJ

SEARCHED
SERIALIZED yg
INDEXED
FILED yg

35

1001

FBI — NEW YORK

[redacted] mp

mp

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 12, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records of [redacted]

b3
b6
b7C

2. All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/12/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

_____

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] on 03/12/01 via fax

b6
b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 3/16/01

58A-NY-278417-GJ-
SEARCHED
INDEXED
SERIALIZED
FILED
FBI — NEW YORK
[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 12, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records of [redacted]

b3
b6
b7C

2. All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/12/2001
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

58A-NY-278417 - GJ - Sub

37

UPLOADED
WITH/TEXT
WITHOUT TEXT
BY
DATE 3/16/01

SEARCHED
SERIALIZED
INDEXED
FILED
2001
FBI — NEW YORK

b6
b7C

FD-448 (Rev. 2-16-95)

TX Completed 12:04P KW

FBI FACSIMILE

COVERSHEET

PRECEDENCE

- ☐ Immediate
- ☑ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☑ Sensitive
- ☐ Unclassified

Time Transmitted: 11:55A
Sender's Initials: KW
Number of Pages: 5
(including coversheet)

To: [redacted] Date: 3/16/01.

Facsimile Number: [redacted]

Attn [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI - NEW YORK
Name of Office

Subject: GRAND JURY SUBPOENAS

Special Handling Instructions: PLEASE HANDLE AS APPROPRIATE

Originator's Name: SA [redacted] Telephone: [redacted]

b3
b6
b7C

Originator's Facsimile Number: (212) 384-2276

Approved: _____

Brief Description of Communication Faxed: PER DISCUSSION W/ [redacted] SUBPOENAS: [redacted]

[redacted] — SERVICE ACCEPTANCE.

Thank You - [redacted]

(X)KW 58A-NY-278417 Sub GJ

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY ug
DATE 3/20/01

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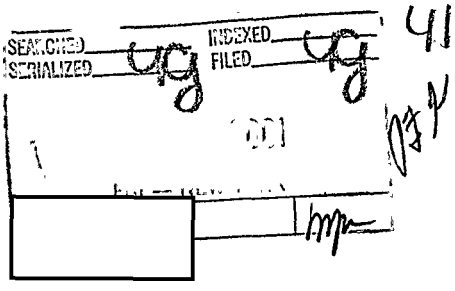
SERIALIZED FILED



41

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. § § 201, 371, 1001 and 1505
2 U.S.C. § § 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

March 19, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted] b6 b7C

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All records, including records of [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 2-16-95)

FBI FACSIMILE

COVERSHEET

TX Completed
10:42A KW

PRECEDENCE

- ☐ Immediate
- ☑ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☑ Sensitive
- ☐ Unclassified

Time Transmitted: 10:30A
Sender's Initials: KW
Number of Pages: 3
(including coversheet)

To: [redacted] Date: 3/19/01

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI - NEW YORK
Name of Office

Subject: GRAND JURY SUBPOENA

Special Handling Instructions: Per discussion with [redacted] I will call to verify receipt

b3
b6
b7C

Originator's Name: Special Agent [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: _____

Served by SA [redacted] on 03/16/01

via fax [redacted]

b3
b6
b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY UG
DATE 3/20/01

58A-NY-278417 - GJ

SEARCHED
SERIALIZED UG
INDEXED
FILED UG
2001
NEW

46

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

Attn: [redacted] Attn: [redacted]

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 12, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted] b3

2. All [redacted] b3 b6 b7C

3. All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before return date to Special Agent [redacted] (tel. no. [redacted]) or Special Agent [redacted] (tel. no. [redacted]), Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/16/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

b3
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b7C

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena returnable _____ with attached rider.

WARNING

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b6
b7C

Served by SA [redacted]
on 03/16/01 via fax

UPLOADED
WITH/TEXT ✓
WITH/OUTTEXT ✓
BY LC
DATE 3/20/01

58A-NY-278417 - Sub GJ -

SEARCHED 18 INDEXED 19
SERIALIZED 18 FILED 19
2001
FBI — NEW YORK

47

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 16, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/16/2001
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

_____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served by SA [redacted] b6 b7C
on 03/14/01 via fax.

58A-NY-278417 - GJ - Sub (X)

SEARCHED [ ] INDEXED [ ]
SERIALIZED [ ] FILED [ ] 48 mp

FBI — NEW YORK

UPLOADED
WITH TEXT ✓
WITHOUT TEXT ✓
BY [ ]
DATE 3/20/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 16, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3
b6
b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/16/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____ b6 b7C

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served by SA [redacted]
on 03/16/01 via fax

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 3/20/01

58A-NY-278417- SUL GJ - mp

SEARCHED
SERIALIZED
INDEXED
FILED

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law. b6 b7C

DATED: New York, New York
March 16, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 3
(including cover sheet)

To: _____ Date: 03/16/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served by SA [redacted]
on 03/16/01 via fax.

b6
b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY 4g
DATE 3/20/01

Sub (X)
58A-NY-278417-GJ-mp

SEARCHED 4g INDEXED 4g
SERIALIZED 4g FILED 4g 50

1 1 2001 1

FBI — NEW YORK

[redacted] mp

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 16, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 16, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 5
(including cover sheet)

To: [redacted] Date: 03/16/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] b3
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to [redacted]

Originator's Name: SA [redacted] Telephone: [redacted] b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA [redacted]

Brief Description of Communication Faxed: [redacted] Federal Grand Jury subpoenas [redacted]
[redacted] with attached riders. b3

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted]
Via fax on 03/16/01

UPLOADED
WITH/TEXT ✓
WITH/OUTTEXT ✓
BY ug
DATE 3/20/01

58A-NY-278417 - Sub G5 - (X)
SEARCHED ug INDEXED ug
SERIALIZED ug FILED ug
51

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 16, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: _____ Date: 03/16/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION. b3

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted]
on 3/20/01 via fax

⊗KIN 58A-NY-278417-Sub ~~[illegible]~~
GJ-

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 3/23/01

b6
b7C

SEARCHED
SERIALIZED
INDEXED
FILED

52

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. § § 201, 371, 1001 and 1505
2 U.S.C. § § 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

March 19, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All records referring or relating to [redacted]

[redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before return date to Special Agent [redacted] (tel. no. [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

FD-448 (Rev. 2-16-95)

tx completed 5:17PM KW

FBI FACSIMILE

COVERSHEET

PRECEDENCE

- ☐ Immediate
- ☑ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☑ Sensitive
- ☐ Unclassified

Time Transmitted: 5:15PM
Sender's Initials: KW
Number of Pages: 3
(including coversheet)

To: _____ Date: 3/20/01.
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3
b6
b7C

From: FBI - NYO
Name of Office

Subject: GRAND JURY SUBPOENA

Special Handling Instructions: As discussed -- for _____ attention.

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2276

Approved: _____

b6
b7C

Brief Description of Communication Faxed: Subpoena and rider.

On 3/12/2001, [redacted] was served at [redacted] residence with [redacted] Federal Grand Jury Subpoenas Duces Tecum dated 3/1/2001, by SA [redacted] and SA [redacted]

b3
b6
b7C

58A-NY-278417 -GJ- Sub

53

SEARCHED
SERIALIZED
INDEXED
FILED
MAR 22 2001
FBI — NEW YORK

3/27/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 1, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All records relating to any and all [redacted]

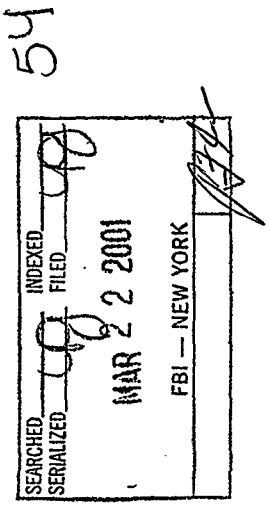
b3

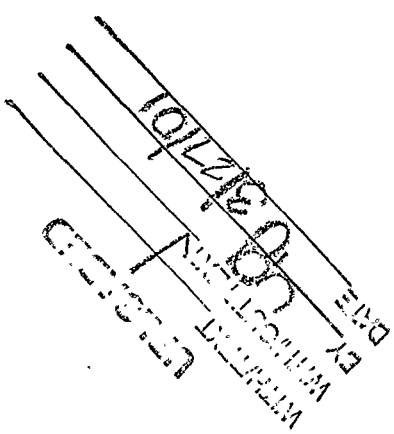
N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

b6
b7C

On 3/12/2001, [redacted] was served at [redacted] residence with [redacted] Federal Grand Jury Subpoenas Duces Tecum, dated 3/1/2001, by SA [redacted] and SA [redacted]

b3
b6
b7C





58A-NY-278417 - SUB - GJ - [illegible]

54

SEARCHED
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INDEXED
FILED
MAR 2 2 2001
FBI — NEW YORK

WITHDRAWN
BY
DATE 3/27/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 1, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All records relating to any and all [redacted]

[redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury. b6 b7C

58A-NY-278417-Sub GJ

60

UPLOADED

WITH/TEXT ✓

WITH/OUTTEXT ✓

BY 48

DATE 4/5/01

49 49

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All records [redacted] b3 b6 b7C

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 3:10PM
Sender's Initials: kjw
Number of Pages: 3
(including cover sheet)

To: _____ Date: 04/04/2001
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3
b6
b7C

From: FBI-NYO
Name of Office

Subject: Grand Jury Subpoena

Special Handling Instructions: Please deliver directly to _____

b6
b7C

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Sir, Per our discussion, I am enclosing a Grand Jury Subpoena for _____ and the attached rider. Please contact me if you have any questions/concerns.
Thank You

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

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(X)KM 58A-NY-278417-SubGJ

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY
DATE 4/13/01

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FBI - NEW YORK

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58A-NY-278417-SubGJ

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BY UG
DATE 04/13/01

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APR 10 2001
FBI NEW YORK

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DATE 4/13/01

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FBI — NEW YORK

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b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

[redacted]

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

6 Disks received 4/13/01

MM 4-11-01

2956
6-D

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/12/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by AUSA [redacted] via fax on 04/12/01

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY ug
DATE 4/13/01

Sub (X)
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2001
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b7C

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. § § 201, 371, 1001 and 1505
2 U.S.C. § § 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

April 12, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Please provide all [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted]) or Special Agent [redacted] (Tel. No. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

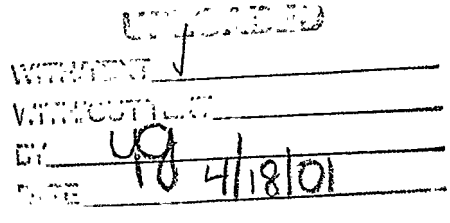
FD-302 (Rev. 10-6-95)

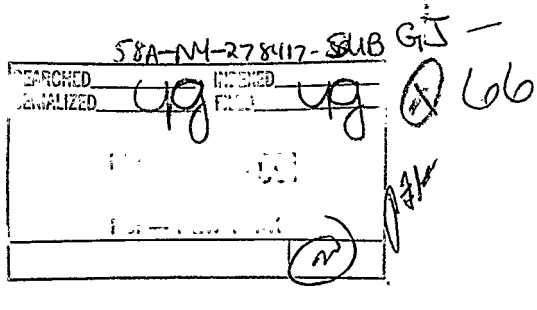
FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/28/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

On 3/27/01, [redacted] was served via facsimile, a Federal Grand Jury Subpoena, Duces Tecum, Southern District of New York by Acting Supervisory Special Agent [redacted] The subpoena was served without incident. b3 b6 b7C





Investigation on 03/27/01 at New York, New York (via facsimile)

File # 58A-NY-278417 Date dictated

by SA [redacted] b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: [redacted]

Office Phone No.: [redacted]

b6
b7C

Fax Number: (212) 637-2615

No. pages (including cover sheet): 6

Date sent: March 15, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

The information contained in this facsimile message, and any and all accompanying documents, constitute ~~confidential~~ information. This information is the property of the U.S. Attorney's Office. If you are not the intended recipient of this information, any disclosure, copying, distribution, or the taking of any action in reliance on this information is strictly prohibited. If you received this information in error, please notify us immediately by telephone at the above number and destroy the information.

****

To: [redacted]

Office Phone No.:

b3
b6
b7C

Fax Number: [redacted]

Remarks:

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY Vg
DATE 4/20/01

Sub
58A-NY-278417-GJ-
SERIALIZED Vg INDEXED
FILED Vg 68

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

RECEIVED
2001 APR 13 AM 11:00
SECURITY

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and
2 U.S.C. §§ 437g(d), 441e, 441f, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER b3 b6 b7C

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]
United States Attorney
St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

4-13-01

RIDER TO SUBPOENA

b3
b6
b7C

UPLOADED

WITH/TEXT ✓

WITH/CUT TEXT ✓

BY 48

DATE 4/20/01

58A-NY-278417-GJ-Sub-mp

SEARCHED INDEXED

SERIALIZED 48 FILED 48

FBI — NEW YORK

mp

United States District Court

SOUTHERN DISTRICT OF NEW YORK

RECEIVED
2001 APR 13 AM 11:00
SECURITY

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

[redacted]

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

April 9, 2001

b3
b6
b7C

MMM 4-13-01

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

MMM [redacted]

RIDER TO SUBPOENA

All [redacted]

[redacted]

Please put in disc.

[redacted]

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UNCLASSIFIED
WITH/OUT TEXT ✓
BY UG
DATE 4/20/01

58A-NY-278417-GJ-
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SERIALIZED UG
INDEXED
FILED UG
70
001
[redacted]

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b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 16, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

[redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] (Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

********** -COMM. [redacted]NAL- ********** DATE APR-16-200[redacted] TIME 12:45 ********

MODE = MEMORY TRANSMISSION START=APR-16 12:44 END=APR-16 12:45

FILE NO.=363

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	s	[redacted]	003/003	00:00:51

b3

-US ATTORNEYS OFFICE -

********** - - ***** - - *********

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted]

Office Phone No.: [redacted]

Fax Number: (212) 637-2615

b6
b7C

No. pages (including cover sheet): THREE (3)

Date sent: APRIL 16, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted]

Office Phone No.: [redacted]

Fax Number: [redacted]

b3

Remarks:

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: AUSA [redacted] b6 b7C

Office Phone No.: [redacted]

Fax Number: (212) 637-2615

No. pages (including cover sheet): THREE (3)

Date sent: APRIL 16, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

The information contained in this facsimile message, and any and all accompanying documents, constitute ~~confidential~~ information. This information is the property of the U.S. Attorney's Office. If you are not the intended recipient of this information, any disclosure, copying, distribution, or the taking of any action in reliance on this information is strictly prohibited. If you received this information in error, please notify us immediately by telephone at the above number and destroy the information.

****

To: [redacted] b3

Office Phone No.: [redacted]

Fax Number: [redacted]

Remarks:

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 5/23/01

SSA-14-278417 - Sub GJ - 73
SEARCHED INDEXED
SERIALIZED FILED
MAY 23 2001
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 17, 2001

[redacted] b6 b7C

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

[redacted] b3

1. Any and all [redacted] b3

2. All [redacted] b3 b6 b7C

N.B.: If subpoenaed materials are available before return date, kindly deliver them to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

Served by FA [redacted] on 05/23/01
(Personal)

b6
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58A-NY-278417-GJ- Sub (X) mp

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY ug
DATE 5/23/01

SEARCHED
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INDEXED
FILED ug
2001
FBI NEW YORK
[redacted] mp

74

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 21, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All records, including:

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents or Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. for submission to the grand jury.

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b7C

UPLOADED
WITH TEXT
WITHOUT TEXT
BY: UG
DATE: 5/24/01

58A-NY-278417-GT-
Sub

SEARCHED UG INDEXED UG
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2001
FBI — NEW YORK

75

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 21, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

[redacted] b3

1. Any and all [redacted] b3 b6 b7C

N.B.: [redacted] b3

[redacted] Personal appearance is not currently required if the subpoenaed materials are timely produced. If the subpoenaed materials are available before the return date, kindly deliver them to Special Agent [redacted] (tel. no.: [redacted]) or Special Agent [redacted] (tel. no. [redacted]) b6 b7C Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: [redacted] b6 b7C

Office Phone No.: [redacted]

Fax Number: (212) 637-2615

No. pages (including cover sheet): THREE (3)

Date sent: MAY 21, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted] b3 b6 b7C

Office Phone No.: [redacted]

Fax Number: [redacted]

Remarks:

********** -COMM. JOURNAL [redacted] ********** DATE MAY-21-2[redacted] ***** TIME 18:03 *********

MODE = TRANSMISSION START=MAY-21 18:01 END=MAY-21 18:03

FILE NO.=768

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	[redacted]	[redacted]	003	00:01:36

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b6
b7C

-US ATTORNEYS OFFICE -

********** - ***** - *********

Served on [redacted] on May 18, 2001 by Special Agent [redacted], Federal Bureau of Investigation.

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[redacted]

5/18/01

58A-NY-278417-Sub 93 GJ-76

SEARCHED INDEXED ug
SERIALIZED ug FILED ug
MAY 29 2001
FBI — NEW YORK

ug 5/29/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 17, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

UPLOADED

WITH/TEXT ✓

WITH/OUT TEXT ✓

BY UG

DATE 6/4/01

58A-NY-278417-GJ- Sub (X) mp

SEARCHED UG INDEXED

SERIALIZED UG FILED UG

77

001

FBI — NEW YORK

mp

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 21, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

5-24-01

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted]

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

b6
b7C

UPLOADED
WITH/TEXT
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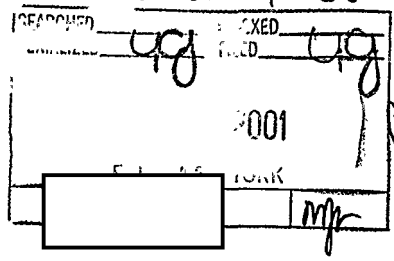
BY
DATE 10/15/01

58A-NY-278417-GJ-Sub



b6
b7C

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 29, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before return date to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: [redacted] b6 b7C

Office Phone No.: [redacted]

(212) 637-2615

Fax Number:

No. pages (including cover sheet): THREE (3)

Date sent: MAY 29, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted]

Office Phone No.: [redacted] b3 b6 b7C

Fax Number: [redacted]

Remarks:

********** -COMM. JOURNAL [redacted] ********** DATE MAY-29-2[redacted] ***** TIME 16:31 ********

MODE = TRANSMISSION START=MAY-29 16:30 END=MAY-29 16:31

FILE NO.=839

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	a	[redacted]	003	00:01:17

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-US ATTORNEYS OFFICE -

********** - ***** - *********

58A-NY-278417-GJ-Sub Grim

SEARCHED INDEXED
SERIALIZED FILED
MAY 30 2001
FBI — NEW YORK

79

UPLOADED
WITH ATT
WITHOUT
BY
DATE 6/6/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Served by [redacted] Division

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UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 6/7/01

Seal
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NEW YORK
[redacted]

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 24, 2001

MARY JO WHITE
United States Attorney for the

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted] b6 b7C

Telephone: [redacted]

Served by SA [redacted]
On 06/07/01 via FAX to [redacted]

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UPLOADED
WITH/TEXT /
WITH/OUTTEXT /
BY UG
DATE 6/7/01

58A-NY-278417-GJ-Sub

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2001
YORK
NY

81

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 24, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 2
(including cover sheet)

To: _____ Date: 06/07/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____ b3 b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury subpoena for _____

_____

WARNING

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58A-NY-278417-GJ-Sub 82

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 10/17/01

SEARCHED INDEXED
SERIALIZED FILED
JUN 0 6 2001
FBI — NEW YORK

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 2:49PM.
Sender's Initials: cam
Number of Pages: 2
(including cover sheet)

To: [redacted] Date: 06/05/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted] [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI - NEW YORK OFFICE
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: PLEASE HAND DELIVER.

Originator's Name: SA [redacted] Telephone: [redacted]

b6
b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: _____

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 6/11/01

Sub (X)
58A-NY-278417-GJ
SEARCHED
SERIALIZED
INDEXED
FILED
2001
FBI — NEW YORK
83

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

No documents are requested by this subpoena. Your personal appearance is required.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 1, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 2
(including cover sheet)

To: _____ Date: 06/07/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

b3
b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One federal grand jury subpoena attached.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] 6/8/01 via fax

to [redacted]

b3
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b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT
6/12/01

58A-NY-278417-GJ-Sub

SEARCHED
SERIALIZED
INDEXED
FILED
84
001
FBI NEW YORK

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 24, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b3 b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Served by SA [redacted] 6/8/01 via fax

to [redacted]

b3
b6
b7C

58A-NY-278417 - Sub GJ - 85

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
2001
NEW YORK
[redacted]

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b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 6/12/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 24, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Served by: SA [redacted] 6/8/01 via fax

to [redacted]

b3
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b7C

UPLOADED

WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [illegible]
DATE 6/12/01

Seal (X)

58A-NY-278417-GJ-

SEARCHED [illegible] INDEXED [illegible]
SERIALIZED [illegible] FILED [illegible]

86

.001

FBI — NEW YORK

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 24, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 4
(including cover sheet)

To: _____ Date: 06/08/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed _____ federal grand jury subpoena.

b3
b6
b7C

For _____ 6/8/01

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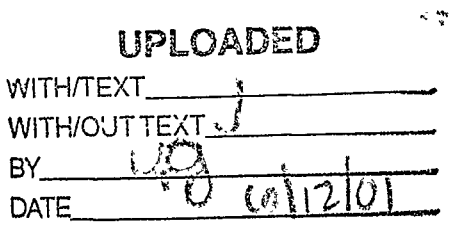

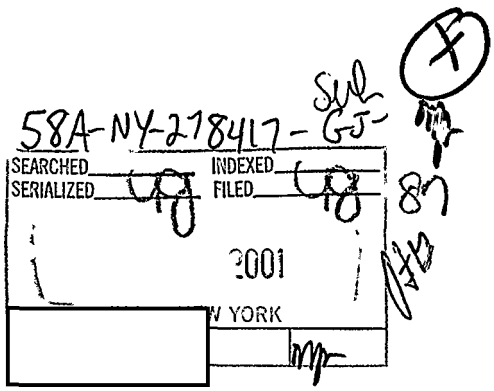

UPLOADED

WITH/TEXT

WITH/OUT TEXT

BY

DATE 6/12/01

58A-NY-278417-GJ-

SEARCHED

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2001

W YORK

87

b6

b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 2
(including cover sheet)

To: _____ Date: 06/04/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena for _____

_____

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena as discussed.

WARNING

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UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 6/12/01

58A-NY-278417-Sub GJ-
SEARCHED
SERIALIZED
INDEXED
FILED
001
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 6, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3
b6
b7C

1. All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 06/08/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please fax results to 212-384-2999 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____ b6 b7C

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

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UPLOADED
WITH/TEXT
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BY UCI
DATE 10/12/01

58A-NY278417-GJ Sub
SEARCHED INDEXED
SERIALIZED FILED
89
001
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Al [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted]) or Special Agent [redacted] Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 06/08/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please fax results to 212-384-2999 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

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UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 10/12/01

58A-NY-278417-GJ Sub (X)

SEARCHED INDEXED
SERIALIZED FILED 90

001

FBI — NEW YORK

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
June 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] (Tel. No [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 06/08/2001
Name of Office

Facsimile Number: _____

Attn: _____ Room _____ Telephone _____
Name

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please fax results to 212-384-2999 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

b3
b6
b7C
b6
b7C

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

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WITH/TEXT ✓

WITH/OUT TEXT ✓

BY UG

DATE 6/12/01

58A-NY-278417-GJ-

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SEARCHED UG INDEXED UG

SERIALIZED UG FILED UG 91

001

FBI — NEW YORK

mpr

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Al [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Tel. No.: [redacted] r Special Agent [redacted] Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 06/08/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____ b3
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please fax results to 212-384-2999 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____ b3

Originator's Facsimile Number: 212-384-2999 b6 b7C

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 10/12/01

58A-NY-278417-GJ-Sub
INDEXED
SERIALIZED
FILED
92
001
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 3
(including cover sheet)

To: _____ Date: 06/08/2001
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please fax results to 212-384-2999 as soon as possible.

C-14

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

UPLOADED
WITH/TEXT /
WITH/OUT TEXT /
BY UG
DATE 6/12/01

58A-NY-278417-Sub GJ
SEARCHED UG INDEXED UG 93
SERIALIZED UG FILED UG
001
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Al [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen [redacted] Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 06/07/2001
Name of Office

Facsimile Number: _____

Att.: _____ Room _____ Telephone _____

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please fax results to 212-384-2999 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____

b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

58A-NY-278417-GJ-Sub

SEARCHED UG INDEXED UG
SERIALIZED UG FILED UG
2001
NEW YORK

94

UPLOADED
WITH/TEXT J
WITH/OUT TEXT
BY UG
DATE 10/13/01

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [ ] Sensitive
- [X] Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: _____ Date: 06/12/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please hand deliver to _____ for immediate compliance.

Originator's Name: SA _____ C-14 Telephone: _____

b3
b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: Attached _____ subpoenas previously served to _____ for compliance. No response has been received to date. Please deliver documents to SA _____ FBI, 26 Federal Plaza, New York, NY 10278 as soon as possible.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 10 5 R101

58A-NY-278417- Sub GJ
SEARCHED INDEXED
SERIALIZED FILED
MAY 18 2001
FBI — NEW YORK
CAM

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 7, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 41
Page 9 ~ b3;
Page 10 ~ b3; b6; b7C;
Page 11 ~ b3; b6; b7C;
Page 12 ~ b3;
Page 13 ~ b3;
Page 14 ~ b3;
Page 16 ~ b3; b6; b7C;
Page 17 ~ b3; b6; b7C;
Page 18 ~ b3; b6; b7C;
Page 19 ~ b3; b6; b7C;
Page 20 ~ b3; b6; b7C;
Page 21 ~ b3; b6; b7C;
Page 22 ~ b3; b6; b7C;
Page 23 ~ b3; b6; b7C;
Page 24 ~ b3; b6; b7C;
Page 25 ~ b3; b6; b7C;
Page 26 ~ b3; b6; b7C;
Page 27 ~ b3; b6; b7C;
Page 28 ~ b3; b6; b7C;
Page 29 ~ b3; b6; b7C;
Page 30 ~ b3; b6; b7C;
Page 31 ~ b3; b6; b7C;
Page 33 ~ b3; b6; b7C;
Page 34 ~ b3; b6; b7C;
Page 35 ~ b3; b6; b7C;
Page 36 ~ b3; b6; b7C;
Page 37 ~ b3; b6; b7C;
Page 38 ~ b3; b6; b7C;
Page 39 ~ b3; b6; b7C;
Page 40 ~ b3; b6; b7C;
Page 41 ~ b3; b6; b7C;
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Page 43 ~ b3; b6; b7C;
Page 44 ~ b3; b6; b7C;
Page 45 ~ b3; b6; b7C;
Page 46 ~ b3; b6; b7C;
Page 47 ~ b3; b6; b7C;
Page 48 ~ b3; b6; b7C;
Page 49 ~ b3; b6; b7C;
Page 50 ~ b3; b6; b7C;
Page 51 ~ b3; b6; b7C;

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X Deleted Page(s) X
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X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXX

Sub

58A-NY-278417-Tel

SEARCHED INDEXED
SERIALIZED FILED

MAR 10 2003

FBI — NEW YORK

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 03/17/2003

To: New York

From: New York
Squad C-14 - Public Corruption
Contact: SA [redacted] b6 b7C

Approved By: [redacted] MAC/mjm

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request opening of subfile.

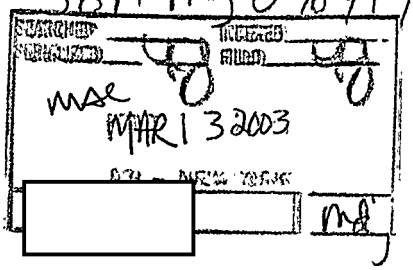
Details: Squad C-14 Rotor is requested to open the following subfile which will serve as a repository for information related to the above investigation:

SUB [redacted] - [redacted] b3 b7E

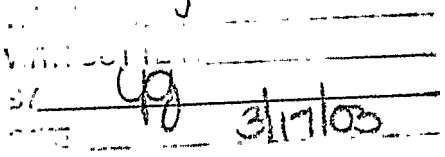
subfiles2.ec
♦♦

b3

58A-NY-278417 + Sub [redacted]



[redacted]



b6
b7C

(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 03/12/2003

To: New York

From: New York
C-14
Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted] mdj

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB (S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B) ;
[redacted]
ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

Synopsis: To advise of various problems experienced by FA [redacted] associated with the submission of a subpoena to [redacted]

b3
b6
b7C

Details: Set forth below are problems identified with the submission and subsequent processing of subpoenaed [redacted]

1. FA [redacted] has been assigned to this case since January of 2002. On or about 10/8/02, a subpoena was sent to

[redacted]

b3
b6
b7C
b7E

[redacted]

b3
b7E

To date, [redacted]

[redacted]

b3
b6
b7C
b7E

2. [redacted]

[redacted]

b3
b7E

[redacted]

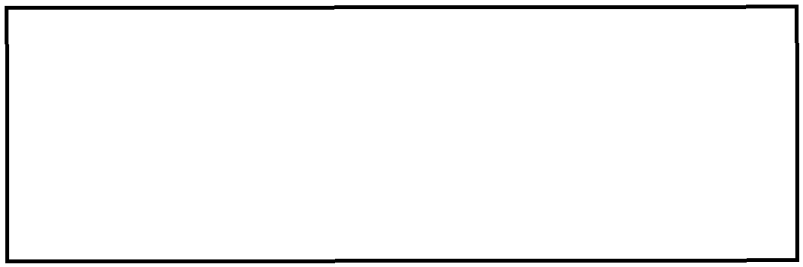
b3
b6
b7C

♦♦

CURRENT

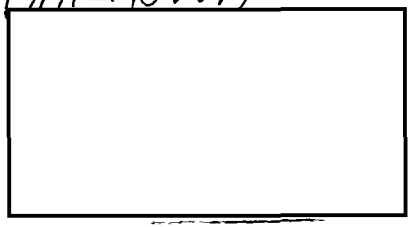
UNKNOWN

b3



b3
b6
b7C
b7E

on unknown



FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 82
Page 23 ~ Referral/Consult;
Page 24 ~ Referral/Consult;
Page 25 ~ Referral/Consult;
Page 26 ~ Referral/Consult;
Page 27 ~ Referral/Consult;
Page 28 ~ Referral/Consult;
Page 29 ~ Referral/Consult;
Page 30 ~ Referral/Consult;
Page 39 ~ Referral/Consult;
Page 43 ~ Referral/Consult;
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Page 45 ~ Referral/Consult;
Page 46 ~ Referral/Consult;
Page 47 ~ Referral/Consult;
Page 48 ~ Referral/Consult;
Page 50 ~ Referral/Consult;
Page 51 ~ Referral/Consult;
Page 52 ~ Referral/Consult;
Page 53 ~ Referral/Consult;
Page 54 ~ Referral/Consult;
Page 60 ~ Referral/Consult;
Page 61 ~ Referral/Consult;
Page 71 ~ Referral/Direct;
Page 72 ~ Referral/Direct;
Page 88 ~ Referral/Consult;
Page 89 ~ Referral/Consult;
Page 90 ~ Referral/Consult;
Page 91 ~ Referral/Consult;
Page 118 ~ Duplicate;
Page 119 ~ Duplicate;
Page 142 ~ Referral/Direct;
Page 147 ~ b6; b7C; b7D; b7E;
Page 148 ~ b7E;
Page 152 ~ b6; b7C; b7D; b7E;
Page 153 ~ b7E;
Page 165 ~ b7D;
Page 166 ~ b7D;
Page 167 ~ b7D;
Page 168 ~ b7D;
Page 169 ~ b7D;
Page 170 ~ b7D;
Page 171 ~ b7D;
Page 172 ~ b7D;
Page 173 ~ b7D;
Page 174 ~ b7D;
Page 175 ~ b7D;
Page 176 ~ b7D;
Page 177 ~ b7D;

Page 178 ~ b7D;
Page 179 ~ b7D;
Page 180 ~ b7D;
Page 181 ~ b7D;
Page 182 ~ b7D;
Page 183 ~ b7D;
Page 184 ~ b7D;
Page 185 ~ b7D;
Page 186 ~ b7D;
Page 187 ~ b7D;
Page 188 ~ b7D;
Page 189 ~ b7D;
Page 190 ~ b7D;
Page 191 ~ b7D;
Page 197 ~ b6; b7C; b7D; b7E;
Page 198 ~ b7E;
Page 203 ~ Referral/Consult;
Page 204 ~ Referral/Consult;
Page 205 ~ Referral/Consult;
Page 206 ~ Referral/Consult;
Page 207 ~ Referral/Consult;
Page 208 ~ Referral/Consult;
Page 209 ~ Referral/Consult;
Page 210 ~ Referral/Consult;
Page 211 ~ Referral/Consult;
Page 212 ~ Referral/Consult;
Page 231 ~ b6; b7C; b7D;
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Page 233 ~ b6; b7C; b7D;
Page 234 ~ b6; b7C; b7D;
Page 237 ~ Referral/Direct;
Page 248 ~ b6; b7C; b7D;
Page 250 ~ b6; b7C; b7D;
Page 259 ~ b6; b7C; b7D;

XXXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXX

196A-NY-1774
KPM:kpm

[redacted] a Confidential Source, furnished the following information to Special Agent (SA) [redacted] on May 7, 1993:

[redacted] is using the following aliases: b6 b7C b7D

1) [redacted]
2)
3)

[redacted]

(1)

196A-1774-654X1

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 25 1994
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2-12-01 BY [redacted]

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 5/10/93

FM FBI NEW YORK (196A-NY-1774) (C-12) (P)

TO DIRECTOR FBI/ROUTINE/

LEGAT LONDON (196A-NY-1774)/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

(1) - NEW YORK

1 - SUPERVISOR (C-12)

JCM:SLT007W.130

(2)

(X)

196A-1774-656

Searched
Serialized
Indexed
Filed

5/11/93

ALL INFORMATION CONTAINED HEREIN IS UNCLASS[illegible] DATE 2/20/01 BY [redacted]

b6
b7C

Approved: [signature] Original filename: SLT007W.130

Time Received: _____ Telprep filename: SLT007S0.130

MRI/JULIAN DATE: 1348/130 ISN: 049

FOX DATE & TIME OF ACCEPTANCE: 2124

FOR INFORMATION OF LEGAT LONDON, BOTH MARC RICH AND PINCUS GREEN WERE INDICTED IN THE SOUTHERN DISTRICT OF NEW YORK ON SEPTEMBER 19, 1983 FOR THE ABOVE CHARGES AND ARE CURRENTLY LIVING AS FUGITIVES IN ZUG, SWITZERLAND.

THE NYO HAS RECENTLY RECEIVED INFORMATION FROM [redacted] IN THE U.S. THAT A [redacted] b7D

ACCORDING TO [redacted] b7D

[redacted] b7D

LEAD:

LEGAT LONDON AT LONDON, ENGLAND:

LEGAT LONDON IS REQUESTED TO CONTACT [redacted] ADDRESS UNKNOWN, [redacted] WHO IS REPORTEDLY INVOLVED IN THE [redacted] b6 b7C b7D

OBTAIN DETAILS OF HIS KNOWLEDGE OF THIS MATTER PARTICULARLY RELATING TO [redacted]

CONDUCT AN ARREST CHECK IN THE [redacted] IF POSSIBLE, REGARDING [redacted] BORN IN [redacted]

BT

0105 MRI 01348

RR FBINY

DE FBINY #0049 1302149

ZNR UUUUU

R 102124Z MAY 93

FM FBI NEW YORK (196A-NY-1774) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT LONDON (196A-NY-1774)/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FSW, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

FOR INFORMATION OF LEGAT LONDON, BOTH MARC RICH AND PINCUS GREEN WERE INDICTED IN THE SOUTHERN DISTRICT OF NEW YORK ON SEPTEMBER 19, 1983 FOR THE ABOVE CHARGES AND ARE CURRENTLY LIVING AS FUGITIVES IN ZUG, SWITZERLAND.

THE NYO HAS RECENTLY RECEIVED INFORMATION FROM [redacted] IN THE U.S. THAT A [redacted]

b7D

196A - 1774 - 656

Searched _____
Serialized _____
Indexed _____
Filed _____

ALL INFORMATION CONTAINED HEREIN IS UNCLASS DATE 2/20/01 BY [redacted] 61-0

b6
b7C

[redacted] b7D

ACCORDING TO [redacted]

[redacted] b7D

LEAD:

LEGAT LONDON AT LONDON, ENGLAND:

LEGAT LONDON IS REQUESTED TO CONTACT [redacted] ADDRESS UNKNOWN, [redacted] WHO IS REPORTEDLY INVOLVED IN [redacted] AND OBTAIN DETAILS OF HIS KNOWLEDGE OF THIS MATTER PARTICULARLY RELATING TO [redacted]

b6
b7C
b7D

CONDUCT AN ARREST CHECK IN THE [redacted] IF POSSIBLE; REGARDING [redacted] BORN IN [redacted]

BT

#0049

NNNN

196A-1774-657

SEARCHED ____ INDEXED ____
SERIALIZED JC FILED JC

JUN 08 1992

FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

To DADIC/SAC, DIVISION [redacted] Date 5/17/93
(ATT; SUPERVISOR, SECTION [redacted])

From ADIC, NEW YORK (66-7566)

b6
b7C

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 5/24/93.
(Date)

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN ✓

b6
b7C

MODIFY [redacted]
(Updated

CASE AGENT

SUPERVISORY SA

DATE RETURNED

DMO:
Rev. 6/6/86

REV. 01/27/93 WANTED (MISSING) PERSON INQUIRY FORMAT
INQUIRY TYPE 1 - STANDARD INQUIRY BY NAME, DOB, RAC & SEX:
(NYSPIN, DCJS & NCIC)

KFBC WTST
ORI/.* .NAM[redacted] b6 b7C
.SEX/M.RAC/U.DOB[redacted]
INQ/*.MIS/FOR VALIDATION PURPOSES MKH

N 1101-1101 05/17/93 00468113 KFBC59283 PART 001 OF 001
NYSP WTST KFBC 1101
NYFBINY00
NAM/[redacted]
.SEX/M.RAC/U.DOB[redacted]

CONFIRM RECORD WITH ORIGINATOR

b6 b7C

MKE/WANTED PERSON
ORI/NYFBINY00 - US FBI NEW YORK CITY 212-335-2700
NAM/[redacted] SEX/M RAC/U DOB/[redacted]
SID/. CCH/. SOC/. POB/II
HGT/507 WGT/150 EYE/BRO HAI/BLK SKN/.
LCD/ LCT/F OFF/2608 [redacted]
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC0941 WAR/. DKT/. DOW/011785
MIS/196A-1760 WILL EXTR 200000 CASH OR SURETY BD [redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/[redacted] OLS/NY OLY/[redacted]
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0375766

*****ADDITIONAL SUPPLEMENTAL DATA*****

---AKA--- ---AKA---
[redacted]

---DOB---
[redacted] b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 9/18/01 B[redacted]

N 1101-1101 05/17/93 00468201 KFBC59284 PART 001 OF 001
NCIC WTST KFBC 1101
NYFBINY00

2

MKE/WANTED PERSON
ORI/NYFBINYOO NAM/[redacted] SEX/M RAC/U POB/II DOB/[redacted]
HGT/507 WGT/150 EYE/BRO HAI/BLK
OLN/[redacted] OLS/NY OLY/[redacted] OFF/[redacted] DOW/011785
OCA/KFBC0941
MIS/196A-1760 WILL EXTR 200000 CASH OR SURETY BD [redacted] C12
ORI IS FBI NEW YORK NY
DOB/[redacted]
AKA/
AKA/
AKA/
NIC/W356632452

b6
b7C

REV. 01/27/93 WANTED (MISSING) PERSON INQUIRY FORMAT 5/17/93
INQUIRY TYPE 1 - STANDARD INQUIRY BY NAME, DOB, RAC & SEX:
(NYSPIN, DCJS & NCIC)

KFBC WTST
ORI/.* .NAM [redacted]
.SEX/M.RAC/U.DOB/[redacted] b6 b7C
INQ/*.MIS/FOR VALIDATION PURPOSES MKH

N 1101-1101 05/17/93 00468113 KFBC59283 PART 001 OF 001
NYSP WTST KFBC 1101
NYFBINYOO
NAM/[redacted]
.SEX/M.RAC/U.DOB/[redacted]

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON
ORI/NYFBINYOO - US FBI NEW YORK CITY 212-335-2700 b6 b7C
NAM/[redacted] SEX/M RAC/U DOB/[redacted]
SID/. CCH/. SOC/. POB/II
HGT/507 WGT/150 EYE/BRO HAI/BLK SKN/.
LCD/ LCT/F OFF/2608 [redacted]
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/O PFL/O VIC/O RSP/.
OCA/KFBC0941 WAR/. DKT/. DOW/011785 5/24/93
MIS/196A-1760 WILL EXTR 200000 CASH OR SURETY BD [redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/[redacted] OLS/NY OLY/[redacted]
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0375766

*****ADDITIONAL SUPPLEMENTAL DATA*****

---AKA--- ---AKA---
[redacted]

---DOB---
[redacted] 196A-1774 b6 b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY [redacted]

N 1101-1101 05/17/93 00468201 KFBC59284 PART 001 OF 001
NCIC WTST KFBC 1101

MKE/WANTED PERSON
ORI/NYFBINYCO NAM/[redacted] SEX/M RAC/U POB/II DOB/[redacted]
HGT/507 WGT/150 EYE/BRO HAI/BLK
OLN/[redacted] OLS/NY OLY/[redacted] OFF/[redacted] DOW/011785
OCA/KFBC0941
MIS/196A-1760 WILL EXTR 200000 CASH OR SURETY BD [redacted] C12 b6 b7C
ORI IS FBI NEW YORK NY
DOB/[redacted]
AKA/
AKA/
AKA/
NIC/

0-9 (Rev. 5-6-79)

TRANSMIT VIA: AIRTEL

CLASSIFICATION: UNCLAS DATE: 5/21/93

FROM: Director, FBI

TO: ADIC, New York (196A-NY-1774)

MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY
OO: NY

Reference is made to your airtel dated 12/17/92.

New York is requested to advise Foreign Liaison Unit II, FBIHQ of results of interview with [redacted] as previously requested in Bureau airtel dated 11/17/92.

b6
b7C

196A-1774-658
SEARCHED INDEXED
SERIALIZED FILED
JUN 01 1993
FBI NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLAS
DATE 12/01 BY [redacted]

b6
b7C

Memorandum

To : SAC, NEW YORK (196A-NY-1774) Date 6/23/93

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING
WITH THE ENEMY
OO: NY

On 6/22/93, [redacted] PROTECT IDENTITY (PI), [redacted] b6 b7C b7D telephonically advised the following:

That [redacted] (PI) said he has learned [redacted]

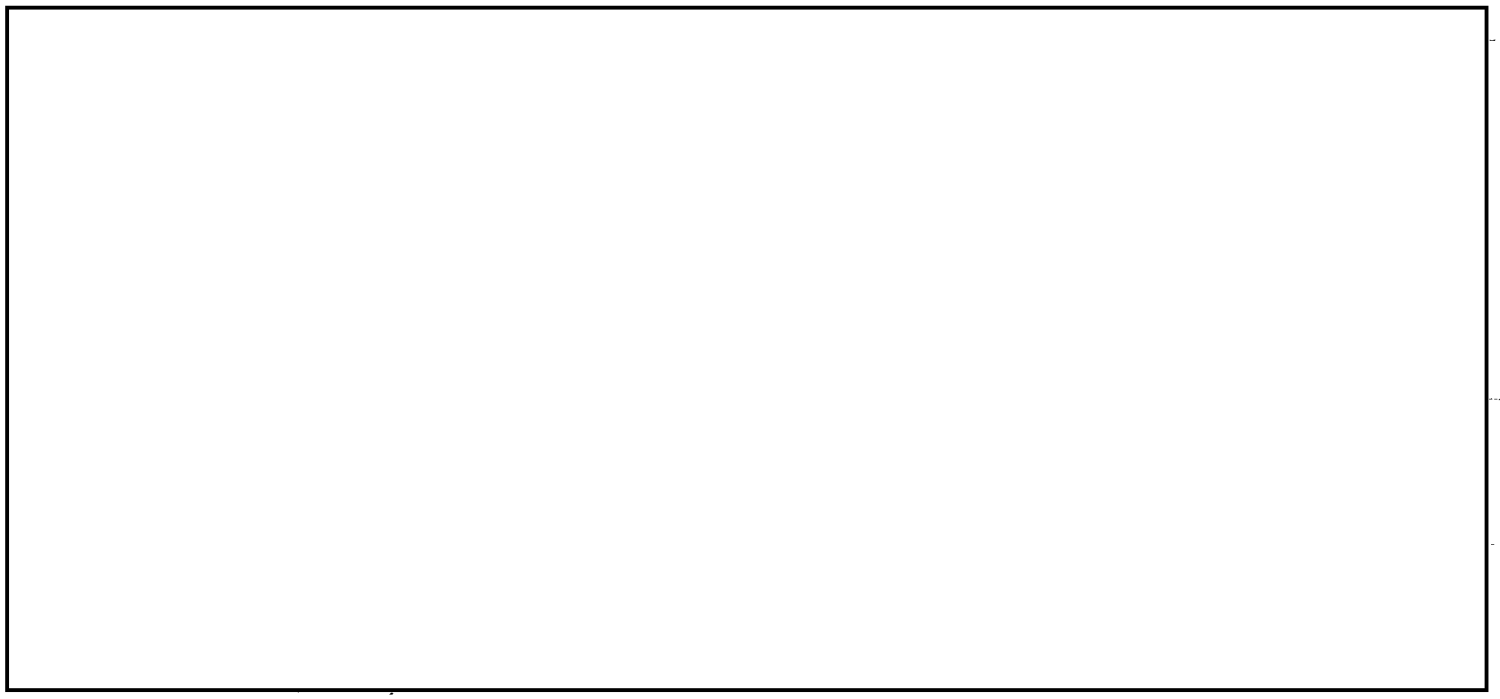
196A-1774-659

SEARCHED [redacted] INDEXED
SERIALIZED [redacted] FILED
JUN 25 1993
FBI — NEW YORK

b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C
b7D



2-*

1.*

196A-NY-1774
JCM:dap

On May 27, 1993, [redacted] telephonically contacted the New York Office of the Federal Bureau of Investigation (FBI)

[redacted]

b6
b7C
b7D
b7E

Source was advised the [redacted]

Source concluded by stating [redacted]

196A-1774-660

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JUN 23 1993
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/26/01 BY [redacted]

1.*

196A-NY-1774
JCM:njs

On July 14, 1993, [redacted] furnished the following information to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation:

b6
b7C
b7D
b7E

Source stated [redacted]

Source will continue reporting his progress in this matter.

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2-20-01 BY [redacted]

196A-1774-66
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JUL 26 1993
FBI—NEW YORK

196A-NY-1774
KPM:kpm

[redacted] a Confidential Source, furnished the following information to Special Agent (SA) [redacted] on September 13, 1993:

b6
b7C
b7D

[redacted]

196A-1774-661X1

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 25 1994
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY [redacted]

196A-NY-1774
KPM:kpm

[redacted] a Confidential Source, furnished the following information to Special Agent (SA) [redacted] on September 14, 1993: b6 b7C b7D

[redacted]

[redacted]

196A-1774 - 6618

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 25 1994
FBI — NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY [redacted]

1.*

196A-NY-1774
JCM:vad

On October 12, 1993, [redacted] furnished to Special Agent (SA) [redacted] of the New York Office (NYO) of the Federal Bureau of Investigation (FBI) the following:

b6
b7C
b7D
b7E

Source advised that [redacted]

Source has [redacted]

Source stated [redacted]

196A-1774-662

[redacted] INDEXED
[redacted] FILED
OCT 27 1993
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-17-2019 BY: [redacted]

b6
b7C

Memorandum

~~SECRET~~

To : SAC, DIV.I [redacted] (P) (S) (U) Date NOV 29 1993

From : SA [redacted] (I-6) (S) (U)

b3
b6
b7C
b7E

Subject: [redacted] (S) (U)
OO:NY

This communication is classified "~~Secret" in its entirety~~.

Asset: [redacted] (S) (U)
Date(s) of Contact: 11/16/93
Contacted By: SA [redacted]
Reliability: Asset has provided reliable information in the past.

b6
b7C
b7D
b7F

WARNING: INFORMATION FROM [redacted] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT ([redacted] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY. ADDITIONALLY, INFORMATION FROM [redacted] (S) (U)

b3
b6
b7C
b7D
b7F
b7E

Asset advised that according to [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] (S) (U)

1,2

~~SECRET~~
Classified by G-3
Declassify on OADR

196A-1774-665

DSC:jkl
(1)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

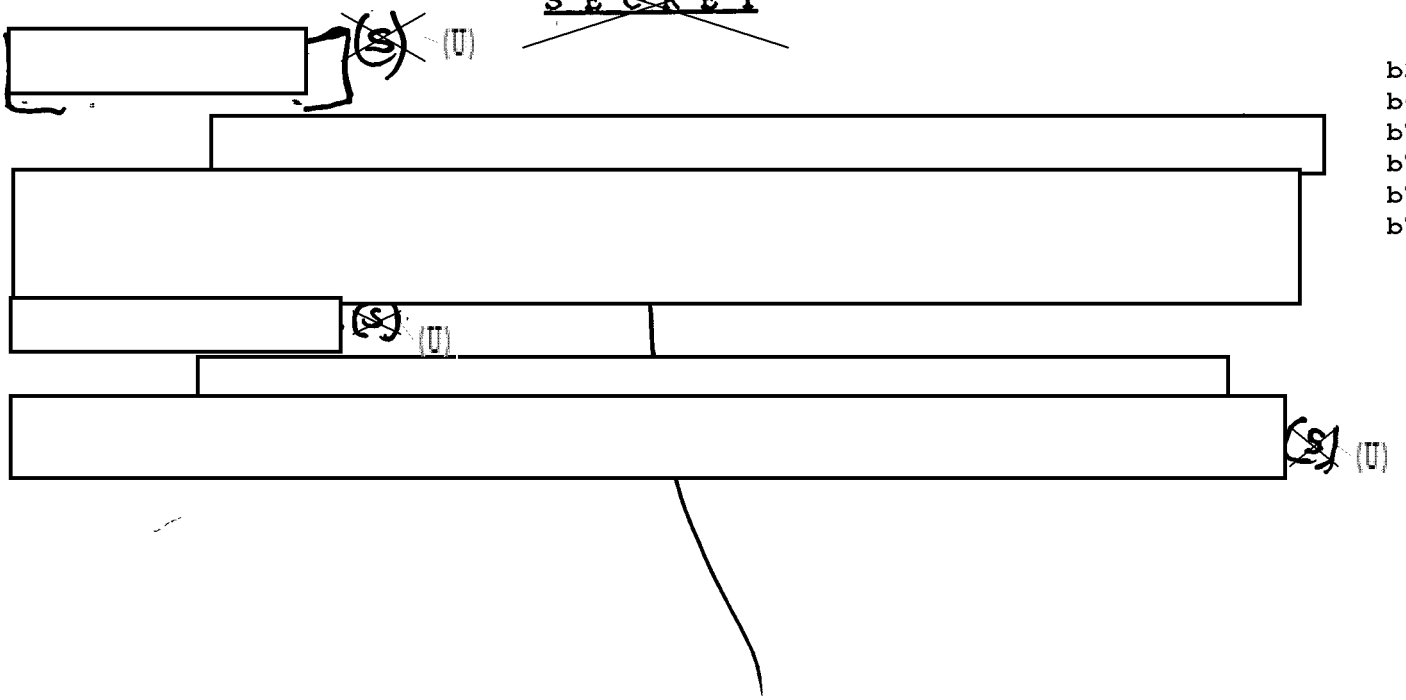
CLASSIFIED BY: [redacted]
REASON: 1.5 (c,d)
DECLASSIFY ON: X 1,6
2/20/01

b6
b7C

C-12

NOV 29 1993

[redacted]



b3
b6
b7C
b7D
b7F
b7E

196A-NY-1774
JCM:jkl

On December 23, 1993, [redacted] furnished the following to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation (FBI):

b6
b7C
b7D

Source [redacted]

Source will be better able to provide further details in [redacted]

196A-1774-666

b6
b7C

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
JAN 03 1994
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2-12-01 BY [redacted]

To DADIC/SAC, DIVISION 012 Date 2/9/94
(ATT: SUPERVISOR, SECTION [redacted]

From ADIC, NEW YORK (66-7566)

b6
b7C

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 2/16/94.
(Date)

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN ✓

MODIFY _____
(Updated [redacted]

CASE AGENT [redacted]

SUPERVISORY SA [redacted]

b6
b7C

DATE RETURNED 2/10/94

DMO:
Rev. 6/6/86

SERIALIZED FILED
FEB 23 1994
FBI NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 5/20/01 BY [redacted]

196A-NY-1774-667

FMT WTST

REV. 01/27/93 WANTED (MISSING) PERSON INQUIRY FORMAT
INQUIRY TYPE 1 - STANDARD INQUIRY BY NAME, DOB, RAC & SEX:
(NYSPIN, DCJS & NCIC)
KFBC WTST
ORI/.* .NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834.
INQ/*.MIS/FOR VALIDATIONS

N 1001-1001 02/09/94 01631556 KFBC14978 PART 001 OF 001
NYSP WTST KFBC 1002
NYFBINYOO
NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON
ORI/NYFBINYOO - US FBI NEW YORK CITY 212-335-2700
NAM/RICH,MARC SEX/M RAC/W DOB/121834
SID/. CCH/. SOC/496343075 POB/BG
HGT/510 WGT/180 EYE/. HAI/XXX SKN/.
LCD/ LCT/F OFF/2608 FRAUD BY WIRE-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/O PFL/O VIC/O RSP/.
OCA/KFBC5851 WAR/. DKT/. DOW/091983
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774 [redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0302454

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY [redacted]

b6
b7C

N 1001-1001 02/09/94 01631607 KFBC14979 PART 001 OF 001
NCIC WTST KFBC 1002
NYFBINYOO

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/121834 HGT/509
WGT/160 EYE/BRO HAI/BLK
SOC/496343075 OLN/R090999104534792134 OLS/NY OLY/86 OFF/FRAUD
DOW/091983 OCA/92I2NY031
MIS/MAIL & WIRE FRAUD, ARMS TRADING, TAX EVASION SA [redacted]
EXTR 24 HRS CALL 212-466-5472/CN-NY03UT520003/WN-S83CR579
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY
NIC/W318412622
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

b6
b7C

MKE/WANTED PERSON
ORI/NYFBINYOO NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/121834 HGT/510
WGT/180 EYE/XXX HAI/XXX
SOC/496343075 OFF/FRAUD - BY WIRE DOW/091983 OCA/KFBC5851
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774 [redacted] C12
ORI IS FBI NEW YORK NY
NIC/W321531799

b6
b7C

MKE/WANTED PERSON
ORI/DCIRS9600 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/121834 HGT/509
WGT/160 EYE/BRO HAI/BLK
MNU/PP-23045402 SOC/496343075 OLN/R090999104534792134 OLS/NY OLY/86
OFF/INCOME TAX DOW/030584 OCA/13820154P
MIS/SUBJ RESIDING IN SWITZERLAND CONT S/A [redacted] S/A [redacted]
212-264-0794 OR TREAS 24-HR NUM 202-622-1260
ORI IS IRS CRIMINAL INVESTIGATION DIV HQ WASHINGTON DC
MNU/ -PI-182540351
NIC/W369016859
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

b6
b7C

Routing Slip
FD-4 (Rev. 5-31-84)

Date 2/25/94

To: ☐ Director
Att.:
☐ SAC
☐ ASAC
☐ Supv.
☐ Agent
☐ OSM
☐ Rotor
☐ Steno
☐ Typist
☐ M
Room

FILE #

Title

RE:

b6
b7C

☐ Acknowledge	☐ For information	☐ Return assignment card
☐ Assign ☐ Reassign	☐ Handle	☐ Return file ☐ serial
☐ Bring file	☐ Initial & return	
☐ Call me	☐ Leads need attention	☐ Return with action taken
☐ Correct	☐ Open case	☐ Return with explanation
☐ Deadline	☐ Prepare lead cards	☐ Search and return
☐ Delinquent	☐ Prepare tickler	☐ See me
☐ Discontinue	☐ Recharge file ☐ serial	☐ Type
☐ Expedite		
☐ File	☐ Send to	

This printout in its entirely should be placed in the case file.

The FD 497 should then be updated by the rotor + signed by the agent or supr.

THANK YOU

SAC

Office NYC

See reverse side

196A-NY-1774-668

SEARCHED INDEXED
SERIALIZED FILED
1994
FBI NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY

196A-NY-1774

[redacted] a Confidential Source, furnished the following information to Special Agent (SA) [redacted] on December 3, 1993:

[redacted]

b6
b7C
b7D

[redacted]

1
9

196A-1774 — 669

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 25 1994
FBI — NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2-20-01 BY [redacted]

ASAC O'CONNOR
SCAGLIONE (CS)
BOCRA (C-1)
THORNTON (C-2)
HANSEN (C-3)
LEE (C-4)
DUFF (C-12)
CODD (C-14)
DOTLO (C-21)
D'AMURO (C-28)
LEWIS (C-33)

Newspaper: Wall Street Journal
Date: 2/1/94
Page: A1

Fugitive Marc Rich Prospers Abroad, Hopes to Settle U.S. Criminal Charges

By PETER TRUELL
Staff Reporter of THE WALL STREET JOURNAL

DAVOS, Switzerland — Marc Rich has succeeded in maintaining and building a successful international commodity and investment business despite being a fugitive from U.S. justice and facing continuing lawsuits from his former wife.

Mr. Rich fled the U.S. in 1983, with his partner Pincus Green, just before they were indicted on tax-evasion and racketeering charges relating to an alleged oil-trading scheme. Now, Mr. Rich says, he wants to settle U.S. criminal charges outstanding against him. He also seems resigned to having to face a long fight with his ex-wife, Denise, who has pursued him in the courts.

Marc Rich

"It will probably take years to settle," the 59-year-old Mr. Rich says in an interview, referring to the legal dispute with his former spouse. The former Mrs. Rich is seeking half of her husband's assets, which have been estimated to total as much as $1 billion.

Mr. Rich's ex-wife and her family provided some of the seed capital when, in 1974, Mr. Rich left Philipp Brothers and set up Marc Rich & Co. She is no longer a stockholder, according to Mr. Rich. "She redeemed her shares in December 1990," he says.

As chairman of Marc Rich & Co. Holding AG, based in the nearby town of Zug, Switzerland, Mr. Rich oversees his 27.5% stake in the operating company, Marc Rich & Co. It now has annual turnover of $30 billion from trading crude oil, petroleum products, metals, minerals and agricultural commodities.

Mr. Rich says that he hopes his decision to step down last year as chief executive officer of Marc Rich & Co. may make it easier to negotiate a settlement of the U.S. charges against him.

It has become Mr. Rich's custom to attend the annual World Economic Forum in this Alpine resort of Davos. The gathering of world political and business leaders, which ends tonight, provides Mr. Rich an opportunity to meet with old friends and get an annual taste of the world of international financial circles that he hastily left more than a decade ago.

[redacted] b6 b7C

196B-1774-670X1

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 01 1994
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/9/01 BY [redacted] b6 b7C

061 MRI 00576

RR RUCNFB FBINY

DE MAD #0003 0751336

ZNR UUUUU

R 161119Z MAR 94

FM LEGAT MADRID (196A-NY-1774) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5540:MD119.075//

PASS: FBIHQ FOR LIAS, FLU-I, ROOM 7458; AND NEW YORK FOR C-12.

SUBJECT: MARC RICH - FUGITIVE; ET AL; FBW; OO: NY.

RETELCAL 3/15/94 BETWEEN NY SA [redacted] AND LEGAT MADRID; AND MADRID FAX TO NY 3/15/94. b6 b7C

INFORMATION RECEIVED 3/14/94 BY LEGAT MADRID INDICATES SUBJECT OWNS A RESIDENCE IN MARBELLA IN THE COSTA DEL SOL AREA. THE RESIDENCE IS CALLED LA FINCA DEL RINCON, LOCATED IN URBANIZACION CASA BLANCA, MARBELLA, TELEPHONE 952-777148.

b6
b7C [redacted]

ARCHED INDEXED
RIALIZED FILED
MAR 16 1994
FBI — NEW YORK

196A-1774
671

C12

ALL INFORMATION CONTAINED HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

CMB/DPC 03-16-94

SUBJECT OWNS RON INVESTMENTS, MARC RICH & COMPANY, TRIP HOTELS. RICH RESIDES IN BELGIUM AND TRAVELS TO BOLIVIA. ACCORDING TO INFORMATION RECEIVED, SUBJECT IS ASSOCIATED WITH [redacted] WHO, ACCORDING TO [redacted] IS INVOLVED IN [redacted] b6 b7C b7E

THE ABOVE INFORMATION WAS FURNISHED TO DEA COUNTRY ATTACHE WHO HAD BEEN CONTACTED BY FREELANCE JOURNALISTS WRITING A STORY ABOUT SUBJECT.

SUBJECT HOLDS SPANISH CITIZENSHIP, IS EXTREMELY WELL CONNECTED WITH THE HIGHEST LEVELS IN THE SPANISH GOVERNMENT (QUEEN SOFIA AND MINISTERIAL LEVEL). IN VIEW OF THE ABOVE, ANY EFFORT TO EXTRADITE SUBJECT FROM SPAIN WOULD BE UNSUCCESSFUL. LEADS TO LOCATE SUBJECT IN BOLIVIA OR SOME OTHER COUNTRY ARE LEFT TO THE DISCRETION OF NEW YORK.

SINCE NO INVESTIGATIVE ACTIVITY REMAINS TO BE CONDUCTED IN SPAIN, THIS MATTER IS RUC'D.

BT

#0003

NNNN

REV. 01/27/93 WANTED (MISSING) PERSON INQUIRY FORMAT
INQUIRY TYPE 1 - STANDARD INQUIRY BY NAME, DOB, RAC & SEX:
(NYSPIN, DCJS & NCIC)

KFBC WTST
ORI/.* .NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]
INQ/*.MIS/FOR VALIDATIONS

b6
b7C

N 1146-1146 04/04/94 01482346 KFBC35344 PART 001 OF 001
NYSP WTST KFBC 1146
NYFBINYOO
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON
ORI/NYFBINYOO - US FBI NEW YORK CITY 212-335-2700
NAM/GREEN,PINCUS SEX/M RAC/W DOB/[redacted]
SID/. CCH/. SOC/[redacted] POB/NY
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC06671 WAR/. DKT/. DOW/091983
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0307502

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/26/01 BY [redacted]

[redacted]

N 1146-1146 04/04/94 01482390 KFBC35345 PART 001 OF 001
NCIC WTST KFBC 1146
NYFBINYOO

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB[redacted] HGT/510
WGT/180 EYE/GRN HAI/GRY
SOC[redacted] OLN/G1818678772332113334 OLS/NY OLY//84 OFF/FRAUD
DOW/091983 OCA/92I2NY032
MIS/WIRE & MAIL FRAUD/RACKETEERING CONSP/ARMS TRADER/SA[redacted]
EXTR 24 HRS CALL 212-466-5472/CN-037T520003/WN83CR579
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY
NIC/W318619755
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

b6
b7C

MKE/WANTED PERSON
ORI/NYFBINYOO NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted] HGT/510
WGT/180 EYE/XXX HAI/GRY
SOC/[redacted] OFF/FRAUD DOW/091983 OCA/KFBC06671
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12
ORI IS FBI NEW YORK NY
NIC/W324077114

MKE/WANTED PERSON
ORI/DCIRS9600 NAM/GREEN,PINCUS SEX/M RAC/W DOB/[redacted] HGT/510 WGT/180
EYE/GRN HAI/BRO
MNU/PP-24229195 SOC/[redacted] OFF/INCOME TAX DOW/030584 OCA/138301688
MIS/SUBJ RESIDES IN SWITZERLAND,CONT S/AA [redacted] S/A [redacted]
[redacted] 212-264-0794 OR TREAS 24-HR NUM 202-622-1260/ASST
ORI IS IRS CRIMINAL INVESTIGATION DIV HQ WASHINGTON DC
MNU/ PI-318620318 PI-23045402
NIC/W369018253
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 4/5/94

TO : FBI, NEW YORK

FROM : SAC, MIAMI (196A-NY-1774)

SUBJECT : MARC RICH - FUGITIVE (B); ET AL;
FBW - MAJOR FRAUD; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NY

Re New York teletype dated 3/18/94 and telephone conversations between SSA [redacted] and Departmental Attorney [redacted] on 3/21/94. b6 b7C

[redacted] b5 b6 b7C

Miami is leaving this matter in closed status.

2 - New York
2 - Miami
WLN/atb
(4)

96B-1774-674

No Record Last name ..... Searches Completed:
No Middle names ..... Automated & Manual
Numerous Middle Names ..... Cr... NA 4/22/94

[redacted]

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
APR 21 1994
FBI — NEW YORK

b6
b7C

Approved: _____ Transmitted _____ (Number) (Time) Per _____

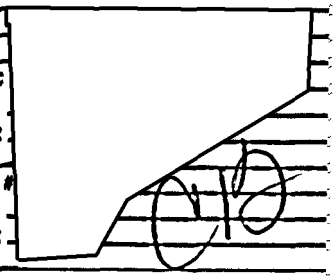
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

Routing Slip
FD-4 (Rev. 5-31-84)

Date 4/11/94

To: ☐ Director
Att.:

☐ SAC
☐ ASAC
☐ Supv.
☐ Agent
☐ OSM
☐ Rotor #
☐ Steno
☐ Typist
☐ M
Room



FILE #

Title

RE:

b6
b7C

☐ Acknowledge
☐ Assign ☐ Reassign
☐ Bring file
☐ Call me
☐ Correct
☐ Deadline
☐ Delinquent
☐ Discontinue
☐ Expedite
☐ File

☐ For information
☐ Handle
☐ Initial & return
☐ Leads need attention
☐ Open case
☐ Prepare lead cards
☐ Prepare tickler
☐ Recharge file ☐ serial
☐ Send to

☐ Return assignment card
☐ Return file ☐ serial
☐ Return with action taken
☐ Return with explanation
☐ Search and return
☐ See me
☐ Type

This printout in its entirely should be placed in the case file.

The FD 487 should then be updated by the rotor & signed by the agent or supv.

~~SAC~~ THANK YOU

See reverse side

Office NYCA

1968-1774-675

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
APR 11 1994
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 5/20/01 BY

b6
b7C

To DADIC/SAC, DIVISION [redacted] Date 4/4/94
(ATT: SUPERVISOR, SECTION [redacted])

From ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initialing below and returning form and printout to NYSPIN by 4/11/94.
(Date)

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN ✓

MODIFY _____
(Updated [redacted])

CASE AGENT [redacted]

SUPERVISORY SA [redacted]

DATE RETURNED 4/8/94

b6
b7C

DMO:
Rev. 6/6/86

1963-1774

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

REV. 01/27/93 WANTED (MISSING) PERSON INQUIRY FORMAT
INQUIRY TYPE 1 - STANDARD INQUIRY BY NAME, DOB, RAC & SEX:
(NYSPIN, DCJS & NCIC)
KFBC WTST
ORI/.* .NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]
INQ/*.MIS/FOR VALIDATIONS

b6
b7C

N 1146-1146 04/04/94 01482346 KFBC35344 PART 001 OF 001
NYSP WTST KFBC 1146
NYFBINY00
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON
ORI/NYFBINY00 - US FBI NEW YORK CITY 212-335-2700
NAM/GREEN,PINCUS SEX/M RAC/W DOB/[redacted]
SID/. CCH/. SOC/[redacted] POB/NY
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC06671 WAR/. DKT/. DOW/091983
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0307502

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2-20-01 BY [redacted]

N 1146-1146 04/04/94 01482390 KFBC35345 PART 001 OF 001
NCIC WTST KFBC 1146
NYFBINY00

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted] HGT/510
WGT/180 EYE/GRN HAI/GRY
SOC/[redacted] OLN/G1818678772332113334 OLS/NY OLY/84 OFF/FRAUD
DOW/091983 OCA/92I2NY032
MIS/WIRE & MAIL FRAUD/RACKETEERING CONSP/ARMS TRADER/SA [redacted]
EXTR 24 HRS CALL 212-466-5472/CN-037T520003/WN83CR579
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY
NIC/W318619755
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted] HGT/510
WGT/180 EYE/XXX HAI/GRY
SOC/[redacted] OFF/FRAUD DOW/091983 OCA/KFBC06671
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12
ORI IS FBI NEW YORK NY
NIC/W324077114

b6
b7C

MKE/WANTED PERSON
ORI/DCIRS9600 NAM/GREEN,PINCUS SEX/M RAC/W DOB/[redacted] HGT/510 WGT/180
EYE/GRN HAI/BRO
MNU/PP-24229195 SOC/[redacted] OFF/INCOME TAX DOW/030584 OCA/13830168P
MIS/SUBJ RESIDES IN SWITZERLAND,CONT S/AA [redacted] S/A [redacted]
[redacted] 212-264-0794 OR TREAS 24-HR NUM 202-622-1260/ASST
ORI IS IRS CRIMINAL INVESTIGATION DIV HQ WASHINGTON DC
MNU/ PI-318620318 PI-23045402
NIC/W369018253
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 5/4/94

TO : SAC, NEW YORK (196A-NY-1774 FUB 2)

FROM : SAC, DALLAS (196-0)

SUBJECT : MARC RICH-FUGITIVE

Enclosed for New York Division are two copies of an insert re captioned matter.

Additional information, if any, will be forwarded as received. Should enclosed information provide useful in the apprehension of fugitive, please contact SA [redacted] at 214/922-7436 in order to credit achievement as merited.

b6
b7C

2-New York (Enc. 2)
1-Dallas
BDH/dr
(3)

b6
b7C

196A-NY-1774-6816
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAY 12 1994
NEW YORK

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/00 BY [redacted]

196A-NY-1774
JCM:vad

On June 16, 1994, [redacted] furnished the following information to Special Agent (SA) [redacted] of the New York Office (NYO) of the Federal Bureau of Investigation (FBI): b6 b7C b7D

Source stated [redacted] b7D

Source advised, however, [redacted]

Source said when [redacted] b7D

X

196A-1774-678

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JUN 24 1994
FBI—NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/26/04 B[redacted] b6 b7C

0033 MRI 00954

PP RUCNFB FBINY

DE BER #0001 2221548

ZNR UUUUU

P 101523Z AUG 94

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS

CITE: //5550:BR286W.222//

PASS: FBIHQ: CID; FCS/ECU, LIAS/FLU-1.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

FOR THE INFORMATION OF RECIPIENTS, THE SWISS NEWSPAPER, "LE NOUVEAU QUOTIDIEN", LAUSANNE, REPORTED ON 8 AUGUST 1994, AN ARTICLE ABOUT SUBJECT RICH, WHICH IS SUMMARIZED AS FOLLOWS:

"MARC RICH BECOMES AN ISRAELI - THE NEWS BROKEN BY SONNTAGS ZEITUNG YESTERDAY CLAIMS THAT MARC RICH, THE ZUG

b6
b7C

196A-1774 -679
SEARCHED INDEXED
SERIALIZED FILED
AUG 10 1994
FBI — NEW YORK
SA

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/30/01 B

C.B. 8/10 8/10/94

RESIDENT PURSUED BY THE U.S. FOR TAX EVASION, HAS RECENTLY ACQUIRED ISRAELI NATIONALITY. HAVING ALSO SPANISH NATIONALITY, HE IS THUS REINFORCING HIS DEFENSES AGAINST AN EVENTUAL EXTRADITION TO THE U.S. WHILE IN SWITZERLAND HE IS OUT OF DANGER BECAUSE SWITZERLAND DOES NOT EXTRADITE PEOPLE FOR TAX CRIMES; HE IS ALSO SAFE IN ISRAEL, A COUNTRY THAT ALSO DOES NOT EXTRADITE ITS CITIZENS."

BERN HAS ADVISED THE DEPARTMENT OF JUSTICE, CRIMINAL DIVISION, OFFICE OF INTERNATIONAL AFFAIRS, THROUGH SEPARATE COMMUNICATION.

UPON RECEIPT OF ANY FURTHER INFORMATION REGARDING THIS MATTER, RECIPIENTS WILL BE SO ADVISED.

BT

#0001

NNNN

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:
☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 8/12/94

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

LEGAT BERN/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT.

[redacted] b6 b7C

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW, MF RICO, INCOME; TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REFERENCE LEGAT BERN TELETYPE TO BUREAU, DATED AUGUST 10, 1994.

FOR INFORMATION, AN ARTICLE APPEARED IN THE "WALL STREET JOURNAL" ON AUGUST 3, 1994, DISCLOSING THAT MARC RICH AND COMPANY IS CHANGING ITS NAME TO GLENCORE INTERNATIONAL, AG, EFFECTIVE SEPTEMBER 1, 1994. THE NEW NAME COMPLETES ITS RESTRUCTURING AND CHANGE OF OWNERSHIP AND ACCORDING TO THE

-680 changed date ajc

1-NY
1-SUPV. (C-12)
JCM:APC001W.224
(2)

196A-1774

ALL INFORMATION CONTAINED HEREIN IS UNCLASS DATE 2/20/01 BY [redacted]
SEARCHED [redacted] b6
SERIALIZED [redacted] b7C
INDEXED [redacted] 8/12/94
FILED [redacted]

Approved: [redacted] Original filename: APC001W.224

Time Received: [redacted] Telprep filename: APC001S0.224

MRI/JULIAN DATE: 11084/228 ISN: 039

FOX DATE & TIME OF ACCEPTANCE: 2032

ARTICLE IS ANOTHER STEP IN DISTANCING ITSELF FROM ITS FOUNDER, MARCH RICH. THE ARTICLE CONTINUES BY SAYING, ALTHOUGH RICH RELINQUISHED HIS MAJORITY STAKE IN THE COMPANY IN MARCH, 1993, HE RETAINS 27.5% IN MARC RICH & COMPANY HOLDING AG.

LEGAT BERN STATED IN REFERENCED TELETYPE THAT THE SWISS PAPER "LE NOUVEAU QUOTIDIEN" REPORTED MARC RICH HAS ACQUIRED ISRAELI CITIZENSHIP.

REQUEST OF THE BUREAU:

THE BUREAU IS REQUESTED TO ADVISE THE NYO WHETHER ANY EFFORT, I.E., A CIRCULAR LETTER, ETC. SHOULD BE MADE TO NOTIFY FINANCIAL INSTITUTIONS IN THE UNITED STATES THAT MARC RICH AND COMPANY HAS CHANGED ITS NAME TO GLENCORE INTERNATIONAL AG SINCE RICH STILL MAINTAINS A FINANCIAL INTEREST IN THE COMPANY.

LEAD:

LEGAT BERN.

WILL FAX A COPY OF THE "LE NOUVEAU QUOTIDIEN" ARTICLE CONCERNING RICH'S ISRAELI CITIZENSHIP TO THE NYO.

WILL PROVIDE COPIES OF ANY ARTICLES APPEARING ON OR ABOUT SEPTEMBER 1, 1994 REGARDING THE NAME CHANGE OF RICH'S COMPANY.

BT

0079 MRI 01684

PP FBINY

DE FBINY #0029 2282036

ZNR UUUUU

P 162032Z AUG 94

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

LEGAT BERN/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW, MF RICO, INCOME; TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REFERENCE LEGAT BERN TELETYPE TO BUREAU, DATED AUGUST 10, 1994.

FOR INFORMATION, AN ARTICLE APPEARED IN THE "WALL STREET JOURNAL" ON AUGUST 3, 1994, DISCLOSING THAT MARC RICH AND COMPANY IS CHANGING ITS NAME TO GLENCORE INTERNATIONAL, AG, EFFECTIVE SEPTEMBER 1, 1994. THE NEW NAME COMPLETES ITS

680

b6
b7C

196A-1774

SEARCHED
SERIALIZED
INDEXED
FILED

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY

RESTRUCTURING AND CHANGE OF OWNERSHIP AND ACCORDING TO THE ARTICLE IS ANOTHER STEP IN DISTANCING ITSELF FROM ITS FOUNDER, MARCH RICH. THE ARTICLE CONTINUES BY SAYING, ALTHOUGH RICH RELINQUISHED HIS MAJORITY STAKE IN THE COMPANY IN MARCH, 1993, HE RETAINS 27.5% IN MARC RICH & COMPANY HOLDING AG.

LEGAT BERN STATED IN REFERENCED TELETYPE THAT THE SWISS PAPER "LE NOUVEAU QUOTIDIEN" REPORTED MARC RICH HAS ACQUIRED ISRAELI CITIZENSHIP.

REQUEST OF THE BUREAU:

THE BUREAU IS REQUESTED TO ADVISE THE NYO WHETHER ANY EFFORT, I.E., A CIRCULAR LETTER, ETC. SHOULD BE MADE TO NOTIFY FINANCIAL INSTITUTIONS IN THE UNITED STATES THAT MARC RICH AND COMPANY HAS CHANGED ITS NAME TO GLENCORE INTERNATIONAL AG SINCE RICH STILL MAINTAINS A FINANCIAL INTEREST IN THE COMPANY.

LEAD:

LEGAT BERN.

WILL FAX A COPY OF THE "LE NOUVEAU QUOTIDIEN" ARTICLE CONCERNING RICH'S ISRAELI CITIZENSHIP TO THE NYO.

WILL PROVIDE COPIES OF ANY ARTICLES APPEARING ON OR ABOUT SEPTEMBER 1, 1994 REGARDING THE NAME CHANGE OF RICH'S COMPANY.

BT

#0029

NNNN

Routing Slip
FD-4 (Rev. 5-31-84)

Date _____

To: ☐ ~~Director~~
Att.: _____

FILE # 196A-NY-1774

☑ SAC New York
☐ ASAC _____
☐ Supv. _____
☐ Agent _____
☐ OSM _____
☐ Rotor # _____
☐ Steno _____
☐ Typist _____
☐ M _____
Room _____

Title MARC RICH

RE: _____

☐ Acknowledge	☑ For information	☐ Return assignment card
☐ Assign ☐ Reassign	☐ Handle	☐ Return file ☐ serial
☐ Bring file	☐ Initial & return	_____
☐ Call me	☐ Leads need attention	☐ Return with action taken
☐ Correct	☐ Open case	☐ Return with explanation
☐ Deadline _____	☐ Prepare lead cards	☐ Search and return
☐ Delinquent	☐ Prepare tickler	☐ See me
☐ Discontinue	☐ Recharge file ☐ serial	☐ Type
☐ Expedite	_____	
☐ File	☐ Send to _____	

196A-1774-682

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____
OCT 28 1994
FBI

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted] SAC [redacted]

_____ See reverse side

Office LEGAT MADRID

☆ U.S.G.P.O.: 1988 - 202-042/85016

Memorandum

To : SAC, NEW YORK (196A-NY-1774) Date 11/4/94

From : SA [redacted] (C-12)

b6
b7C

Subject : MARC RICH - FUG (CB);
PINCUS GREEN - FUG (CB);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING
WITH THE ENEMY;
OO: NY

On 11/4/94, [redacted] telephonically advised the following:

b6
b7C
b7D

She said the [redacted]

(1)

196A-1774-683

SEARCHED [redacted] INDEXED
SERIALIZED [redacted] FILED
NOV 04 1994
FBI - NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

b6
b7C
b7D

[redacted]

[redacted]

[redacted]

b6
b7C
b7D

2*

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 11/25/94

TO : SAC, WMFO (196A-NY-1774)

FROM : DADIC, NEW YORK (196A-NY-1774) (P) (C-12)

SUBJECT : MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUG (B);
FRAUD BY WIRE,
MAIL FRAUD,
RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;
OO:NY

Enclosed for WMFO are photographs of MARC RICH and PINCUS GREEN.

Re New York telcal from SA [redacted] NYO, to SA JOHN McKINNON, WMFO, ON 11/15/94.

b6
b7C
b7D

NYO Case Agent understands that SA [redacted] had a conversation with [redacted] Attorney, Office of International Affairs (OIA), Department of Justice (DOJ), recently at a function in Washington, D. C. and the subject of MARC RICH was raised. Subsequent conversations that Case Agent has had with SA [redacted] revealed that Squad CI-2, of which SA [redacted] is a member, may be interested in exploring sources in an effort to [redacted] The NYO is interested in discussing the idea with CI-2 and request whatever assistance is available.

196A-1774-684

SEARCHED
SERIALIZED
INDEXED
FILED

12/5/94

3 - WMFO (Encls.)
(1- SA [redacted] CI-2)
1 - New York

JCM:apc
(5)

b6
b7C

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

The NYO has worked amicably with the U. S. Marshal Service, particularly [redacted] currently assigned to the Tampa Office of the U. S. Marshal's since approximately 1990. I.R.S. requested the U. S. Marshal's Office to assist in the apprehension of MARC RICH and his partner, PINCUS GREEN, as I.R.S. did not have the resources for the fugitive investigation. b6 b7C

For information, MARC RICH and PINCUS GREEN were principals in MARC RICH & COMPANY INTERNATIONAL, the U. S. affiliate of MARC RICH AG in Switzerland during the 1970's and early 1980's. MARC RICH & COMPANY allegedly sold price controlled crude oil in a series of daisy chain transactions wherein the crude oil was fraudulently re-certified to-sell at world market prices thus generating high profits which were transferred overseas to RICH's Swiss accounts. The price controlled crude oil should have been sold at a price between $5.00 and $12.00 but, in fact, was sold at approximately $30.00 per barrel. Fictitious transactions were then generated showing losses in order to offset the acquired profits. RICH and GREEN failed to pay $48,000,000.00 in federal income taxes. Fraudulent telexes and other documents were used to perpetrate this fraud and during the late 1970's, RICH traded with Iran during the hostage crisis.

On 9/19/83, both RICH and GREEN were indicted in the Southern District of New York for Fraud by Wire, Mail Fraud, RICO, Income Tax Evasion and Trading with the Enemy. Both remained in Switzerland where they reside today. RICH and GREEN both have Spanish citizenship as well as Israeli citizenship and it is generally recognized that neither Spain nor Israel would arrest RICH or GREEN. Because the indictment is so heavily taxed laden, the Swiss have refused to arrest and extradite RICH and GREEN. Both reside today near Zug, Switzerland. MARC RICH maintains commodity trading offices in many countries of the world and is a leading trader in the oil, lead, zinc and aluminum markets. He is also one of the biggest exporters of grain to the Soviet Union. He reportedly travels to Russia, the Eastern European countries, Cuba and Jamaica, although there have never been any actual sightings of him in those countries. GREEN who [redacted] in approximately 1988, has retired from the company and according to [redacted] is a philanthropist active in many Jewish and Israeli causes. It is reported that GREEN travels outside of Switzerland only to Israel where he [redacted] maintain a residence. b6 b7C

There is evidence that MARC RICH is passing the control of his company to others and as of 9/1/94 MARC RICH & COMPANY, based in Zug, Switzerland, is known as GLENCORE INTERNATIONAL AG. The firm has a new name and is restructuring the ownership. In March, 1993, RICH relinquished his majority stake in the company and gave up the title of Chief Executive Officer though he retained a 27.5% stake in MARC RICH & COMPANY HOLDING AG. He is currently undergoing [redacted] whose name is [redacted] who resides in [redacted] as of about 1991. b6 b7C

The following is a description of both MARC RICH and PINCUS GREEN:

Name:	MARC RICH
Race:	White
Sex:	Male
DOB:	12/18/34
POB:	Antwerp, Belgium
Height:	About 5'10"
Weight:	About 175 lbs.
Hair:	Black and grey, thinning on top
Residence:	Zug, Switzerland area
Citizenship:	Spanish, Israeli and possibly Swiss, since he has lived permanently since 1983. RICH reportedly renounced his U. S. citizenship after being indicted in 1983.
Name:	PINCUS GREEN
Race:	White
Sex:	Male
DOB:	[redacted] b6 b7C
POB:	Brooklyn, New York

Height:	About 5'9"
Weight:	About 160 lbs.
Hair:	Grey
Residence:	Zug, Switzerland area
Citizenship:	Spanish, Israeli and possibly Swiss citizenship where he has lived permanently since 1983.

LEAD:

WMFO AT WASHINGTON, D. C.

It is requested that SA [redacted] furnish a copy of this airtel to SA [redacted] on CI-2 and report to the NYO what are the capabilities of CI-2 in captioned matter. b6 b7C

Routing Slip
FD-4 (Rev. 5-31-84)

Date 2/6/95

To: ☐ Director
Att.:

☐ SAC
☐ ASAC
☐ Supv.
☐ Agent
☐ OSM
☐ Rotor #
☐ Steno
☐ Typist
☐ M
Room

FILE #

Title

b6
b7C

RE:

☐ Acknowledge
☐ Assign ☐ Reassign
☐ Bring file
☐ Call me
☐ Correct
☐ Deadline
☐ Delinquent
☐ Discontinue
☐ Expedite
☐ File

☐ For information
☐ Handle
☐ Initial & return
☐ Leads need attention
☐ Open case
☐ Prepare lead cards
☐ Prepare tickler
☐ Recharge file ☐ serial

☐ Send to

☐ Return assignment card
☐ Return file ☐ serial

☐ Return with action taken
☐ Return with explanation
☐ Search and return
☐ See me
☐ Type

This printout in its entirely should be placed in the case file

ROTOR

The FD 487 should then be updated by the rotor + signed by the agent or supv.

SAC THANK YOU

Office NYCA

See reverse side

196A-1774-685

b6
b7C

SEARCHED INDEXED
SERIALIZED FILED
FEB - 6 1995
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/20/01 BY

To DADIC/SAC, DIVISION _____ Date 1.30.95
(ATT: SUPERVISOR, SECTION C-12)

From ADIC, NEW YORK (66-7566) [redacted] b6 b7C

Subject NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 2.10.95.
(Date)

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN ✓

MODIFY _____
(Updated information attached)

CASE AGENT [redacted]

SUPERVISORY SA [redacted] C-12

DATE RETURNED _____

DMO:
Rev. 6/6/86

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

NEW YORK STATE POLICE
WANTED RECORDS AS OF 4AM 01-06-95

WANTED PERSONS VALIDATION
VALIDATION CONTROL NUMBER = [redacted]

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SIO	FBI	DOE	TOE
W	[redacted]RICH,MARC	M	W	121834	496343075	NYFBINY00	KFBC5851	302454	2608			83304	

(MIS)-> TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 1968-177[redacted]12

b6
b7C

[redacted]

[redacted] HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE

b6
b7C

COUNT = 30

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-18-2019 BY: [redacted]

FD-36 (Rev. 8-29-85)

~~SECRET~~

Correctcorp p. 2.3

FBI

b6
b7C

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [X] ~~SECRET~~
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 2/16/95

FM FBI NEW YORK [redacted] (S) (U) (P) (I-4) (S) (U)

TO DIRECTOR FBI [redacted] /IMMEDIATE/

FBI ALBUQUERQUE [redacted] /IMMEDIATE/

FBI WMFO [redacted] (S) /IMMEDIATE/ (U)

b3
b7E

BT

~~S E C R E T~~

CITE: //3540// (U)

PASS: HQ FOR NSD-1C, (S) UNIT CHIEF [redacted] FBI WMFO

FOR NVMRA, SQUAD C-9, (S) SA [redacted] (U)

b6
b7C

SUBJECT: [redacted] (S) (U) OO: AQ.

b7E

WARNING: FOREIGN INTELLIGENCE INFORMATION; THIS COMMUNICATION IS CLASSIFIED "~~SECRET" IN ITS ENTIRETY.~~

2,3

SERIAL: 95NY8854/6875/2699/12

COUNTRIES: RUSSIA AND UNITED STATES (US) (S) (U)

SUBJ: [redacted] (S) (U)

DOI: JANUARY-FEBRUARY, 1995

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE. (S) (U)

196A-1774-686

SEARCHED INDEXED
SERIALIZED FILED
FEB 16 1995
FBI NEW YORK

b6
b7C

1-NY
1-SUPV. [I4] (S) (U)
DSC: KAUD3W.047.

C-12

Approved: _____ Original filename: _____

Time Received: _____ Telprep filename: _____

MRI/JULIAN DATE: _____ ISN: _____

FOX DATE & TIME OF ACCEPTANCE: _____

CLASSIFIED BY: [redacted]
REASON: 1.5 (E,d)
DECLASSIFY ON: X 1,6
2/20/01

~~SECRET~~

b3
b7E

^PAGE 2 NEW YORK [redacted] (S) (U) SECRET

SOURCE: [redacted] WHO HAS FURNISHED

RELIABLE INFORMATION IN THE PAST, AND WHO IS IN CONTACT WITH [redacted] (S) (U) b6 b7C b7D b7F

TEXT: [redacted]

[redacted] (S) (U)

[redacted] b7D b7F

[redacted] (S) (U)

[redacted] (S) (U) b6 b7C b7D b7F

[redacted] (S) (U)

b3
b7E

^PAGE 3 NEW YORK [redacted] (S) (U) S E C R E T

[redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

[redacted] (S) (U)

COMMENT: THIS INFORMATION WAS OBTAINED FROM [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

~~C BY C-3, DECL. ON OADR~~

ADMINISTRATIVE:

THE ASSET MAY BE ASKED TO [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

ASSET WILL ADVISE IF [redacted]

[redacted] (S) (U)

WARNING: SOURCE OF THIS INTELLIGENCE IS [redacted] THIS INFORMATION IS HIGHLY SINGULAR IN NATURE. (S) (U)

b7D
b7F
b7E

WARNING: INFORMATION FROM [redacted] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A [redacted] (S) (U)

b3
b7E

~~SECRET~~

^PAGE 4 NEW YORK [redacted] (S) (U) ~~S E C R E T~~

[redacted] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

b6
b7C
b7D
b7F
b7E

ADDITIONALLY, INFORMATION FROM [redacted]

[redacted] (S) (U)

BT

~~SECRET~~

196A-NY-1774
JCM:jxs

On March 10, 1995, [redacted] furnished the following information to Special Agent (SA) [redacted] of the New York Office of the Federal Bureau of Investigation (FBI):

Source advised [redacted] b6 b7C b7D

Source advised [redacted]

(X)
d

196B-1774-688

SERIALIZED FILED
APR 14 1995
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

196A-NY-1774
JCM:jxs

On March 15, 1995, [redacted] furnished the following information to Special Agent (SA) [redacted] of the New York Office of the Federal Bureau of Investigation (FBI):

b6
b7C
b7D

Source contacted [redacted]

Source advised that [redacted]

196A-1774-689

SERIALIZED FILED
APR 14 1995
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 BY [redacted]
01-081

b6
b7C

Routing Slip
FD-4 (Rev. 5-31-84)

Date 3/20/95

To: ☐ Director
Att.:
☐ SAC
☐ ASAC
☐ Supv.
☐ Agent
☐ OSM
☐ Rotor #
☐ Steno
☐ Typist
☐ M
Room

FILE #
Title
RE:

☐ Acknowledge
☐ Assign ☐ Reassign
☐ Bring file
☐ Call me
☐ Correct
☐ Deadline
☐ Delinquent
☐ Discontinue
☐ Expedite
☐ File

☐ For information
☐ Handle
☐ Initial & return
☐ Leads need attention
☐ Open case
☐ Prepare lead cards
☐ Prepare tickler
☐ Recharge file ☐ serial

☐ Send to

☐ Return assignment card
☐ Return file ☐ serial

☐ Return with action taken
☐ Return with explanation
☐ Search and return
☐ See me
☐ Type

This printout in its entirely should be placed in the case file.

The FD 497 should then be updated by the rotor + signed by the agent or supr.

Thank you

SAC
Office NYC

See reverse side

196A-1774-690

SEARCHED INDEXED
SERIALIZED FILED
MAR 20 1995
FBI-NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 8/20/01 BY

To DADIC/SAC, DIVISION _____ Date 3/15/95
(ATT: SUPERVISOR, SECTION CID)

From ADIC, NEW YORK (66-7566)

[redacted] b6 b7C

Subject NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

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Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 3/22/95 (Date).

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN [redacted]

MODIFY _____
(Updated information attached)

CASE AGENT [redacted]

SUPERVISORY SA WHD/

DATE RETURNED 3/17/94 b6 b7C

DMO:
Rev. 6/6/86

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 B [redacted]

SECRET

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-18-2019 BY: [redacted]

b6
b7C

MKE	NAME	SX RC DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE

[redacted]

b3
b6
b7C
b7E

[redacted] (U)

SECRET

SECRET

b6
b7C

NEW YORK STATE POLICE
WANTED RECORDS AS OF 4AM 03-03-95

WANTED PERSONS VALIDATION
VALIDATION CONTROL NUMBER = [redacted]

PAGE 1
03/03/95

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
W	GREEN,PINCUS	M	W	[redacted]		NYFBINY00	KFBC06671	307502	2699			83339	

(MIS)-> MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12

[redacted]

CLASSIFIED BY: [redacted]
REASON: 1.5 (c,d)
DECLASSIFY ON: X 1,6
2/20/01 OF-081

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

SECRET

0035 MRI 00678

PP RUCNFB FBINY

DE LON #0001 0801046

ZNR UUUUU

P 210921Z MAR 95

FM LEGAT LONDON (196A-NY-1774)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS

CITE: //5200:LON344.079//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

FOR THE INFORMATION OF NEW YORK, [redacted] b7D

[redacted] CONTACTED LEGAT LONDON TO ADVISE THE FOLLOWING:

[redacted] b7D

JM
3-21-95

196A 1774 -691

[redacted]

MAR 21 1995
FBI — NEW YORK

C12

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 BY [redacted]

[redacted] b7D

[redacted]

[redacted]

THE [redacted]

[redacted] b7D

I WOULD BE GRATEFUL IF YOU COULD CONTACT YOUR NEW YORK OFFICE TO OBTAIN THE FOLLOWING INFORMATION:

"A. [redacted] b7D

[redacted]

B. [redacted]

C. [redacted]

D. [redacted] b7D

E. [redacted]

F. [redacted]

THE FILE MAINTAINED AT LEGAT LONDON DOES NOT CONTAIN FULL DETAILS REGARDING [redacted] THEREFORE, LEGAT LONDON IS FORWARDING THESE REQUESTS TO b7D OFFICE OF ORIGIN FOR RESPONSE.

LEAD: NEW YORK DIVISION AT NEW YORK, NEW YORK: NEW YORK IS REQUESTED TO RESPOND TO THE REQUEST SET FORTH BY [redacted] IN THE TEXT ABOVE.

BT

#0001

NNNN

0035 MRI 00678

PP RUCNFB FBINY

DE LON #0001 0801046

ZNR UUUUU

P 210921Z MAR 95

FM LEGAT LONDON (196A-NY-1774)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS

CITE: //5200:LON344.079//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

FOR THE INFORMATION OF NEW YORK, [redacted] b7D

[redacted]

[redacted] CONTACTED LEGAT LONDON TO ADVISE THE FOLLOWING:

[redacted] b7D

SEARCHED INDEXED
SERIALIZED FILED
MAR 21 1995
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 5/20/01 BY [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 3/23/95

FM FBI NEW YORK (196A-NY-1774) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REFERENCE BERN TELETYPE TO THE BUREAU, DATED MARCH 3, 1995.

FOR INFORMATION OF LEGAT BERN, BOTH MARC RICH AND PINCUS

1 - NEW YORK

1 - SUPERVISOR C-12

JCM:log003W.082

(2)

196A-1774-692

SEARCHED
SERIALIZED
INDEXED
FILED

ALL INFORMATION CONTAINED HEREIN IS UNCLASS DATE 2/20/01 BY

b6
b7C

3/27/95

Approved: JKK/JP Original filename: LOG003W.082

Time Received: Telprep filename: LOG00380.082

MRI/JULIAN DATE: 2202/083 ISN: 048

FOX DATE & TIME OF ACCEPTANCE: 2211

GREEN NEVER RETURNED TO THE U.S. AFTER THEIR MULTI-COUNT INDICTMENT IN SEPTEMBER, 1983. THEY REMAINED IN THE ZUG, SWITZERLAND AREA WHERE THEY OPERATED FROM AND RESIDED WITH THEIR FAMILIES. BOTH HAVE HAD SEVERAL ATTORNEYS WHO MAKE OVERTURES AT LEAST ONE A YEAR TO THE UNITED STATES ATTORNEY'S OFFICE FOR THE SOUTHERN DISTRICT OF NEW YORK TO EITHER DISMISS THE 1983 INDICTMENT OR ALLOW THE SUBJECTS TO RETURN TO THE UNITED STATES WITHOUT FACING A JAIL SENTENCE.

AT THE INVITATION OF RICH AND GREEN AND THEIR ATTORNEYS, THE THEN UNITED STATES ATTORNEY FOR THE SOUTHERN DISTRICT OF NEW YORK, [redacted] TRAVELED TO [redacted] IN THE FALL OF 1992 WITH AUSA [redacted] TO WHOM THE CASE WAS ASSIGNED AND MET BOTH SUBJECTS WITH THEIR ATTORNEYS IN A HOTEL IN [redacted] BOTH RICH AND GREEN WERE GIVEN AN OPPORTUNITY TO TALK ABOUT THE MATTER WHICH RESULTED IN THE SEPTEMBER, 1983 INDICTMENT. THEY BOTH EXPRESSED A DESIRE TO RETURN TO THE UNITED STATES AND ANTICIPATED THEIR RETURN BY THE END OF 1992 IN SPITE OF THE FACT THEY BOTH FACED A JAIL SENTENCE. NEITHER RICH NOR GREEN RETURNED TO SURRENDER.

b6
b7C

LEGAT BERN SHOULD BE AWARE THAT ALTHOUGH RICH ATTEMPTED TO RENOUNCE HIS U.S. CITIZENSHIP, THE U.S. GOVERNMENT STILL CONSIDERS RICH A U.S. CITIZEN.

THE NYO ADVISES LEGAT BERN THAT IT IS MOST IMPORTANT FOR THE NYO TO KNOW IF RICH TRAVELS FROM SWITZERLAND TO ANY COUNTRIES OTHER THAN SPAIN AND ISRAEL WHERE HE IS A CITIZEN. THE NYO IS ALSO INTERESTED IN ANY COMMENTS RICH FREELY MAKES CONCERNING HIS ACTIVITIES WHILE OPERATING IN THE EARLY 1980'S AS MARC RICH AND COMPANY INTERNATIONAL, LIMITED AND MARC RICH AND COMPANY AG.

BT

0016 MRI 00760

PP RUCNFB FBINY

DE BER #0002 0871027

ZNR UUUUU

P 280931Z MAR 95

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK (196A-NY-1774)/PRIORITY/

BT

UNCLAS

CITE: //5550:BR128W.086//

PASS: FBIHQ: CID; FCS/ECU.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

REFERENCE BERN TELETYPE DATED 3 MARCH 1995 AND NEW YORK TELETYPE DATED 24 MARCH 1995.

REQUEST OF FBIHQ: CID; FCS/ECU, THROUGH COORDINATION WITH OFFICE OF INTERNATIONAL AFFAIRS (OIA), CRIMINAL DIVISION, DEPARTMENT OF JUSTICE, WASHINGTON D.C., AND THE NEW YORK

3-28-95

196A-NY-1774 -693

ARCHIVED INDEXED

SERIALIZED FILED

MAR 28 1995 C-12

FBI — NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY

DIVISION, IT IS RESPECTFULLY REQUESTED THAT [redacted]

BE FORWARDED TO BERN FOR THE DISSEMINATION TO [redacted]

AS SET FORTH IN REFERENCED BERN TELETYPE, [redacted] b7E

[redacted]

PERTINENT BACKGROUND INFORMATION, AS NOTED IN REFERENCED BERN TELETYPE, HAS BEEN PROVIDED TO [redacted] FOR INFORMATION. [redacted]

[redacted] b7E

AS REQUESTED BY NEW YORK, IF BERN RECEIVES INFORMATION WHICH REPORTS THAT RICH TRAVELS FROM SWITZERLAND TO ANY COUNTRIES OTHER THAN SPAIN AND ISRAEL WHERE HE IS A CITIZEN,

RECIPIENTS WILL BE SO ADVISED. RECIPIENTS WILL ALSO BE ADVISED IF BERN LEARNS OF ANY COMMENTS RICH MAKES CONCERNING HIS ACTIVITIES WHILE OPERATING IN THE EARLY 1980'S AS MARC RICH AND COMPANY INTERNATIONAL, LIMITED, AND MARC RICH AND COMPANY AG.

LEAD:

NEW YORK DIVISION IS REQUESTED TO COORDINATE SAME LEAD AS SET FORTH FOR FBIHQ THROUGH THE APPROPRIATE ASSISTANT UNITED STATES ATTORNEY AND U.S. MARSHAL [redacted] COMMENTS REGARDING [redacted] SHOULD THEN BE FORWARDED TO FBIHQ AND BERN. b6 b7C b7E

BT

#0002

NNNN

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 3/29/95

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT LONDON/ROUTINE/

BT

UNCLAS

CITE: //3540//

Edited KAW

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

RE LEGAT LONDON TELETYPE TO NY, DATED 3/21/94.

MARC RICH BORN 12/18/34 IN ANTWERP, BELGIUM, WAS [redacted] APPROXIMATELY 1990 AND ARE IN THE PROCESS OF [redacted] IT IS BELIEVED [redacted] ARE IN THEIR [redacted] WHILE [redacted] IS BELIEVED TO BE IN [redacted]

b6
b7C

196A-1774-694
3/30/95

SEARCHED
SERIALIZED
INDEXED
FILED

1-NY
1-SUPV. C-12.
JCR: KAWDIW.088.

Approved: JKK/ks Original filename: KAWDIW.088

Time Received: Telprep filename: KAWDISO.088

MRI/JULIAN DATE: 1589/088 ISN: 009

FOX DATE & TIME OF ACCEPTANCE: 1902

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 3/20/01 BY

[redacted] AND CURRENTLY RESIDING IN [redacted]

THE NYO HAS NO CURRENT INFORMATION REGARDING WHETHER [redacted]

RICH [redacted] CURRENT INFORMATION b6 b7C

INDICATES THE [redacted]

THE NYO HAS NO KNOWLEDGE OF ANY [redacted] RESIDING IN THE U.K. RICH [redacted] LIVED IN THE GOLDERGREEN SECTION OF LONDON DURING THE 1970'S.

RICH REPORTEDLY HAS TRAVELED TO LONDON SINCE HIS INDICTMENT IN THE U.S. IN 9/83 BUT NO CONFIRMATION OF THOSE REPORTS. HE SUPPOSEDLY TRAVELS BY PRIVATE PLANE AND HAS ENTERED THE U.K, BY HEATHROW AND GATWICK AIRPORTS IN LONDON AND PRESSWICK AIRPORT IN SCOTLAND.

THE ONLY BUSINESS ASSOCIATE OF RICH IN LONDON KNOWN TO THE NYO IS [redacted] FROM MARC RICH AND COMPANY IN LONDON. [redacted] IS BELIEVED TO RESIDE IN [redacted] b6 b7C ENGLAND. [redacted] THE MARC RICH COMPANY IN MOSCOW.

BT

0069 MRI 01589

RR FBINY

DE FBINY #0009 0881906

ZNR UUUUU

R 291901Z MAR 95

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT LONDON/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF; RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

RE LEGAT LONDON TELETYPE TO NY, DATED 3/21/94.

MARC RICH BORN 12/18/34 IN ANTWERP, BELGIUM, [redacted] b6 b7C

[redacted] APPROXIMATELY 1990 AND ARE IN THE PROCESS OF [redacted]

[redacted] IT IS BELIEVED [redacted] ARE IN THEIR

196-1774-694

SEARCHED
SERIALIZED
INDEXED
FILED

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY [redacted] b6 b7C

[redacted] WHILE [redacted] IS BELIEVED TO BE IN [redacted]
[redacted] AND CURRENTLY RESIDING IN [redacted]
THE NYO HAS NO CURRENT INFORMATION REGARDING WHETHER [redacted]
[redacted] CURRENT INFORMATION
INDICATES THE [redacted]

b6
b7C

THE NYO HAS NO KNOWLEDGE OF ANY [redacted] RESIDING IN THE U.K. RICH [redacted] LIVED IN THE GOLDERGREEN SECTION OF LONDON DURING THE 1970'S.

RICH REPORTEDLY HAS TRAVELED TO LONDON SINCE HIS INDICTMENT IN THE U.S. IN 9/83 BUT NO CONFIRMATION OF THOSE REPORTS. HE SUPPOSEDLY TRAVELS BY PRIVATE PLANE AND HAS ENTERED THE U.K, BY HEATHROW AND GATWICK AIRPORTS IN LONDON AND PRESSWICK AIRPORT IN SCOTLAND.

THE ONLY BUSINESS ASSOCIATE OF RICH IN LONDON KNOWN TO THE NYO IS [redacted] FROM MARC RICH AND COMPANY IN LONDON. [redacted] IS BELIEVED TO RESIDE IN [redacted] ENGLAND. [redacted] THE MARC RICH COMPANY IN MOSCOW.

b6
b7C

BT

#0009

NNNN

Memorandum

To SAC, NEW YORK (196A-NY-1774) Date 3/29/95

From SA [redacted] (C-12) b6 b7C

Subject: MARC RICH - FUG. (CB);
PINCUS GREEN - FUG. (CB);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY;
OO: NY

b6
b7C On 3/28/95, [redacted]
Office of International Affairs, Department
of Justice, [redacted] was advised
a review of the captioned file fails
to provide any information concerning
the questions in the enclosure.

196A-1774 - 695

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAR 29 1995
FBI - NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 B [redacted]

It is the writer's understanding that IRS essentially put the indictment together in 1983. b6 b7C The agent at the time was [redacted] who has since retired. [redacted] is aware of this and efforts have been made to contact him.

2 **

U.S. Department of Justice

Federal Bureau of Investigation

Date 3/30/95

To Director

Attn: [redacted] CI2 b6 b7C

This printout in its entirety should be placed in the case file.

The FD 487 should then be updated by the rotor and signed by the case agent or supervisor.

Thank you,

[redacted] b6 b7C

b6
b7C

1948-1771-696

SEARCHED INDEXED
SERIALIZED FILED
APR 06 1995

[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2-20-01 BY [redacted]

U.S. Department of Justice

Federal Bureau of Investigation

Date [redacted] b6 b7C

To: DADIC/SAC, DIVISION C12

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation on these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of innaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. It is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 4/7/95.

PURGE _____

Date of Recovery _____
Date of Arrest _____

MAINTAIN ✓
MODIFY _____
(Update Information Attached)

CASE AGENT [redacted]
SUPERVISORY SA [redacted] b6 b7C
DATE RETURNED _____

Rev. 3/22/95
MKH/mm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 9/20/01 BY [redacted]

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☒ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ AIRTEL	☒ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☒ UNCLAS

Date 4/5/95

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG. (B); FBW, MF. RICO, INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

REFERENCE NEW YORK TELETYPE TO LEGAT BERN, MARCH 24, 1995, LEGAT BERN TELETYPE TO NEW YORK MARCH 27, 1995 AND LEGAT BERN TELEPHONE CALL FROM ALAT [redacted] TO SA [redacted] b6 b7C [redacted] NYO, APRIL 5, 1995.

1 - NEW YORK

1 - SUPERVISOR (C-12)

JCM:SLT004W.095

(2)

196-1774-697

SEARCHED
SERIALIZED
INDEXED
FILED

4/10/95

ALL INFORMATION CONTAINED HEREIN IS UNCLASSI DATE 2/20/01 BY [redacted] b6 b7C

Approved: JKK/J Original filename: SLT004W.095

Time Received: Telprep filename: SLT004S0.095

MRI/JULIAN DATE: 2083/97 ISN: 55

FOX DATE & TIME OF ACCEPTANCE: 217

NYO REQUESTS OF LEGAT BERN TO ADVISE [redacted] ABOUT THE FOLLOWING:

1) [redacted] b7E

2) [redacted]

3) [redacted]

4) [redacted]

AUSA, SDNY, CONCURS WITH ABOVE.

BT

0135 MRI 02083

RR FBINY

DE FBINY #0035 0972141

ZNR UUUUU

R 072116Z APR 95

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG. (B); FBW, MF. RICO, INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

REFERENCE NEW YORK TELETYPE TO LEGAT BERN, MARCH 24, 1995, LEGAT BERN TELETYPE TO NEW YORK MARCH 27, 1995 AND LEGAT BERN TELEPHONE CALL FROM ALAT [redacted] TO SA [redacted] [redacted] NYO, APRIL 5, 1995. b6 b7C

NYO REQUESTS OF LEGAT BERN TO ADVISE THE [redacted] b7E

196-1774-617

b6 b7C

SEARCHED
SERIALIZED
INDEXED
FILED

ALL INFORMATION CONTAINED HEREIN IS UNCLASS DATE 2/2001 BY [redacted]

FOLLOWING:

1) [redacted]

2) [redacted] b7E

3) [redacted]

4) [redacted]

AUSA, SDNY, CONCURS WITH ABOVE.

BT

#0035

NNNN

FBI INFO
CLASSIFIED BY: NSICG
REASON: 1.4 (C)
DECLASSIFY ON: 12-31-2045
DATE: 10-18-2019

b6
b7C

FD-36 (Rev. 11-17-88)

~~SECRET~~ SECRET

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 4/11/95

TO : ADIC, NEW YORK (196A-NY-1774) (P) (C-12)

FROM : ADIC, WMFO (CI-2)

SUBJECT : MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGITIVE (B);
FRAUD BY WIRE, MAIL FRAUD
RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;
OO:NY

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~ IN ~~ITS ENTIRETY~~.

RE: NY airtel 11/25/94, with enclosures, which were not received by SA [redacted] CI-2.

WMFO's response was delayed because the copy of airtel designated for SA [redacted] was distributed within WMFO to another CI-2 SA who was in extended travel status.

NYO referred to SA [redacted] contact with [redacted]. To clarify, the MARC RICH matter was first discussed by [redacted] at a large social event at SA [redacted]

b6
b7C

b6
b7C
b7D
b7E

CLASSIFIED BY: 1740
DECLASSIFY ON: OADR

196A-1774-698

2-New York
(Attn: SA [redacted] C-12)
2-WM
(1
(1-SA William Mackey)
JWM:tln
(4)

b1
b3
b6
b7C
b7D

b6
b7C

SEARCHED INDEXED SERIALIZED FILED
APR 19 1995
FBI — NEW YORK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

CLASSIFIED BY: [redacted]
REASON: 1.5
DECLASSIFY ON: X
3/20/01

~~SECRET~~ SECRET

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

DECLASSIFIED BY [redacted]
ON 2/20/01

[redacted] b6 b7C b7D b7E

[redacted] WF T-1 a participant in the conversation, had conducted sensitive operations [redacted]

b1 b3 b7D b7E

[redacted] (S) another participant (WF T-3) who is [redacted]. WF T-3's other contacts [redacted]

SA [redacted] learned of the discussion afterwards and became interested since RICH was considered a priority FBI matter, albeit an extremely difficult and protracted investigation. b6 b7C

Informal conversations with the above mentioned individuals continued until early 1995, however SA [redacted] did not believe enough information was known regarding NYO and [redacted] Given the multinational aspect of the RICH matter, which inevitably involves U.S. [redacted] WMFO believes that our group of interested individuals [redacted] would create a number of ideas and suggestions, many of which it is probable NYO has already tried. b7D b7E

On 4/7/95, WF T-3 [redacted] b7D

~~SECRET~~

WMFO Squad CI-2 believes that our involvement to "brainstorm" was to "acquire" RICH without specifics on past NYO investigation would not be productive. However, if NYO can provide information on past efforts to capture RICH preferably through personal involvement by the case agent with WMFO sources, the least that will be produced is some very unorthodox and innovative ideas to target RICH.

~~SECRET~~

SECRET

SECRET

SECRET

SECRET

0046 MRI 01112

RR RUCNFB FBINY

DE BER #0003 1811401

ZNR UUUUU

R 301350Z JUN 95

FM LEGAT BERN (196A-NY-1774) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5550:BR244W.181//

PASS: FBIHQ: CID; FCS/ECU, SSA [redacted] NEW YORK, C-12, SA [redacted]

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

REFERENCE NEW YORK TELETYPE DATED 7 APRIL 1995, FBIHQ TELETYPE DATED 27 JUNE 1995, BOTH CAPTIONED AS ABOVE.

FOR THE INFORMATION OF RECIPIENTS, [redacted]

b7E

b6
b7C

196A-NY-1774 699

SEARCHED INDEXED
SERIALIZED FILED
JUN 30 1995
FBI — NEW YORK

C-12

OPC/KC
6/30/95

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

[redacted] SET FORTH IN REFERENCED NEW YORK TELETYPE WERE FORWARDED TO [redacted] AS NOTED IN REFERENCED FBIHQ TELETYPE, BOTH FBIHQ AND DEPARTMENT OF JUSTICE, CRIMINAL DIVISION, OFFICE OF INTERNATIONAL AFFAIRS CONCURS WITH NEW YORK'S RECOMMENDATION. b7E

INASMUCH AS THERE IS NO FURTHER ACTION WARRANTED AT THIS TIME, BERN IS PLACING THIS MATTER INTO RUC STATUS.

BT

#0003

NNNN

0046 MRI 01112

RR RUCNFB FBINY

DE BER #0003 1811401

ZNR UUUUU

R 301350Z JUN 95

FM LEGAT BERN (196A-NY-1774) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5550:BR244W.181//

PASS: FBIHQ: CID; FCS/ECU, SSA [redacted] NEW YORK, [redacted] SA [redacted] b6 b7C

[redacted]

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

REFERENCE NEW YORK TELETYPE DATED 7 APRIL 1995, FBIHQ TELETYPE DATED 27 JUNE 1995, BOTH CAPTIONED AS ABOVE.

FOR THE INFORMATION OF RECIPIENTS, [redacted] b7E

[redacted]

b6
b7C

[redacted]

SEARCHED INDEXED
SERIALIZED FILED
JUL 3 0 1995
FBI — NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 8/10/95

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK.

THE NYO HAS BEEN INFORMED THAT PINCUS GREEN HAS OBTAINED

1 - New York

1 - Supervisor C-12

JM:maj004W.222

(2)

196A-1774-900

SEARCHED
SERIALIZED
INDEXED
FILED

8/16/95

ALL INFORMATION CONTAINED HEREIN IS UNCLASS DATE 8/30/01 BY [redacted]

b6
b7C

Approved: [redacted] Original filename: MAJ004W.222

Time Received: _____ Telprep filename: MAJ004SC.222

MRI/JULIAN DATE: 1993/227 ISN: 028

FOX DATE & TIME OF ACCEPTANCE: 2109

SWISS CITIZENSHIP. AN ARTICLE REPORTEDLY APPEARED IN A SWISS NEWSPAPER DURING JULY, 1995 CONCERNING THE MATTER. GREEN MAY HAVE APPLIED FOR CITIZENSHIP IN WOLDWAHL (PH) NEAR MEGGIN, SOUTH OF ZUG.

LEGAT, BERN IS REQUESTED TO VERIFY GREEN'S CITIZENSHIP AND SINCE GREEN HAS REPORTEDLY OBTAINED SWISS CITIZENSHIP ALSO DETERMINE WHETHER MARC RICH HAS APPLIED.

GREEN WAS BORN [redacted] IN BROOKLYN, NY, AND RICH WAS BORN DECEMBER 18, 1934 IN ANTWERP, BELGIUM.

b6
b7C

BT

OOYY MRI 01593

RR FBINY

DE FBINY #0028 2272116

ZNR UUUUU

R 152108Z AUG 95

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK.

THE NYO HAS BEEN INFORMED THAT PINCUS GREEN HAS OBTAINED SWISS CITIZENSHIP. AN ARTICLE REPORTEDLY APPEARED IN A SWISS NEWSPAPER DURING JULY, 1995 CONCERNING THE MATTER. GREEN MAY HAVE APPLIED FOR CITIZENSHIP IN WOLDWAHL (PH) NEAR MEGGIN, SOUTH OF ZUG.

LEGAT, BERN IS REQUESTED TO VERIFY GREEN'S CITIZENSHIP

196A-1774-700

SEARCHED
SERIALIZED
INDEXED
FILED

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY

b6
b7C

AND SINCE GREEN HAS REPORTEDLY OBTAINED SWISS CITIZENSHIP ALSO DETERMINE WHETHER MARC RICH HAS APPLIED.

GREEN WAS BORN [redacted] IN BROOKLYN, NY, AND RICH WAS BORN DECEMBER 18, 1934 IN ANTWERP, BELGIUM.

b6
b7C

b1

#0028

NNNN

1.*

196A-NY-1774
JCM:dap

On August 28, 1995, [redacted] furnished the following information to Special Agent (SA) [redacted] of the New York Office of the Federal Bureau of Investigation (FBI):

b6
b7C
b7D

Source advised [redacted]

[redacted]

(X)

196A-1774-701

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
SEP 15 1995
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

0025 MRI 00805

RR RUCNFB FBINY

DE BER #0001 2491016

ZNR UUUUU

R 060936Z SEP 95

FM LEGAT BERN (196A-NY-1774) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5550:BR313W.248//

PASS: FBIHQ: CID; FCS/ECU, SSA [redacted] NEW YORK, C-12, SA [redacted]

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

REFERENCE NEW YORK TELETYPE CAPTIONED AS ABOVE, DATED 14 AUGUST 1995.

[redacted]

b7D

196A-NY-1774-702

[redacted]

EARCHED INDEXED
ERIALIZED FILED
SEP 06 1995
FBI — NEW YORK

C12

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 1/20/01 BY [redacted]

rcd/opc 9/6/95

[redacted] b7D

[redacted]

[redacted]

IF LEGAT BERN RECEIVES FURTHER INFORMATION REGARDING THIS MATTER, RECIPIENTS WILL BE SO ADVISED.

LEGAT BERN IS PLACING THIS MATTER INTO RUC STATUS.

BT

#0001

NNNN

196A-NY-1774
JCM:njs

On September 12, 1995, [redacted] furnished the following information to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation (FBI): b6 b7C b7D

Source advised [redacted]

Source feels [redacted] b7D

Source said the [redacted]

(X)
Y

196A-1774-1103

SEARCHED INDEXED
SERIALIZED FILED
SEP 26 1995
FBI—NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 1/20/01 BY [redacted]

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 2/12/96

FM FBI NEW YORK (196D-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUG (B); PINCUS GREEN-FUG (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

FOR INFORMATION OF LEGAT, BERN, MARC RICH HAS REPORTEDLY BEGUN DOING BUSINESS AS MARC RICH INVESTMENTS, INC., HEADQUARTERED IN ZUG WITH OFFICES IN MOSCOW, MADRID, ETC.

LEGAT, BERN IS REQUESTED TO FURNISH WHATEVER INFORMATION THAT HAS BECOME AVAILABLE CONCERNING THIS NEW VENTURE.

BT

196-NY-1774-705

b6
b7C

(1) NY
1-SUPV, C-12
JCM:dks
(2)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY [redacted]

2/14/96

Approved: JKK/C Original filename: DKS003W.043

Time Received: _____ Telprep filename: DKS003S0.043

MRI/JULIAN DATE: 2141 40 ISN: 40

FOX DATE & TIME OF ACCEPTANCE: 1838

0099 MRT 01214

RR FBINY

DE FBINY 80040 0451903

ZNR UUUUU

R 141[illegible]Z FEB 96

FM FBI NEW YORK (196D-NY-[illegible])

[illegible]

[illegible]

[illegible]

CITE: //3540//

SUBJECT: MARC [illegible] (B); PINCUS GREEN-[illegible] (B); FBW, MF,

[illegible] H HAS [illegible]

[illegible]

HEADQUARTERED [illegible], MADRID, ETC.

[illegible]

[illegible] VENTURE.

[illegible]

NNNN

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

196D-NY-1774
JCM:jkl

On January 3, 1996, [redacted] furnished the following information:

b6
b7C
b7D

Source advised [redacted]

[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2-13-0101 BY [redacted]

b6
b7C

196D-1774-708
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 29 1996
FBI-NEW YORK

196D-NY-1774
JCM:jkl

On February 13, 1996, [redacted] furnished the following information to Special Agent (SA) [redacted] of the New York Office of the Federal Bureau of Investigation (FBI): b6 b7C b7D

Source advised [redacted]

[redacted]

(X)

196D-1774-709

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 29 1996
FBI-NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

(03/31/95)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/05/1996

To: Director FBI **Attn:** IROSB [redacted], Room 7443
✓New York
London
Montevideo

From: Madrid

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]gle

File Number(s): 196A-NY-1774

Title: MARC RICH - FUGITIVE (B); ET AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY

Synopsis: Provide newspaper article with information concerning MARC RICH.

Enclosures: Enclosed for New York and Montevideo is a newspaper article in Spanish concerning captioned subject. Translation is left to the discretion of New York

Details: Enclosed article is provided to New York for information. Followup leads are left to the discretion of New York.

The enclosed newspaper article reports on MARC RICH's return to the world of international business through the use of MARC RICH INVESTMENT, with offices in London, Madrid and Buenos Aires.

The article indicates RICH once again has selected Spain as the central point for his business activities and talks about RICH's former company "MARC RICH & GLENCORE INTERNATIONAL."

As New York knows, RICH has acquired Spanish citizenship; thus he would not be subject to extradition in Spain.

♦♦

196A-1774-710

SEARCHED SERIALIZED FILED
FEB 26 1996
[redacted] ORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 B[redacted]

196A-NY-1774-NI

Después de estar alejado tres años

Marc Rich vuelve a apostar por España para hacer negocios

P.G.M. Madrid

El empresario Marc Rich ha anunciado su regreso activo al mundo de los negocios después de un paréntesis de tres años.

El que fuera fundador de la segunda corporación de Suiza en 1992, Glencore Internacional –que facturó tres billones de pesetas–, vuelve a escena a través de Marc Rich Investment, con oficinas en Londres, Madrid y Buenos Aires.

El empresario ha elegido de nuevo España como punto de arranque de su actividad. En 1974, fundó la primera sociedad en Madrid de lo que posteriormente sería Marc Rich & Glencore International. La división en España llegó a mover hasta 150.000 millones de pesetas.

El empresario Marc Rich.

Amigo de Fiter

Además, el empresario realizó varias incursiones en otros sectores. De su amistad con Ramón Fiter, ex presidente de Corporación Alimentaria Ibérica y actual presidente de Urbas, arranca la entrada en el matadero Explasa en 1992 –en suspensión de pagos– así como la compra de otras empresas cárnicas como Rango o Cadi. Estas compras agrupadas en torno a Pesiver convirtieron al grupo en uno de los tres más importantes de España del sector cárnico, con ventas de 185.000 millones de pesetas en 1992.

En el área turística, Marc Rich tenía la mayoría de la cadena de Hoteles Trip, participaba en Ron Investment y en 1992 entró en contacto con Argentaria para un proyecto inmobiliario.

Los problemas en Marc Rich & Glencore International, una de las primeras empresas europeas dedicadas a la intermediación de petróleo, metales y minerales, empezaron en agosto de 1992, con la dimisión de tres altos ejecutivos, incluido el vicepresidente. Esta salida provocó que Rich abandonara la presidencia y vendiera el 50% de la empresa. Dos años más tarde se deshizo de otro veinticinco por ciento de la compañía, que pasó a denominarse Glencore Internacional.

Acusaciones

La figura de Marc Rich ha sido objeto de polémica. Se ha visto envuelto en escándalos relacionados con la evasión de impuestos y otros delitos en EEUU, y también ha estado implicado en dudosas prácticas financieras en la antigua Unión Soviética. También se le acusó de la venta de un complejo turístico edificado sin permiso en Mallorca.

b6
b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2-12-2001 BY

196A-1774
SEARCHED INDEXED
SERIALIZED FILED
FEB 2 1996
FBI

(03/31/95)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/09/1996

To: NEW YORK

From: NEW YORK
C-12
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

File Number(s): 196D-NY-1774 (Pending)

Title: MARC RICH -FUG.(B);
PINCUS GREEN-FUG.(B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;
(OO:NY)

Synopsis: MARC RICH has reportedly begun doing business as MARC RICH INVESTMENTS, INC.

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Details: On 1/31/96, [redacted] attorney representing [redacted] suit against RICH advised an article appeared on 1/30/96 in the "Wall Sreet Journal" outlining RICH'S plans to begin a new worldwide business venture from Zug, Switzerland.

No such article was found om either 1/30 or 1/29 but information was then received the article may have appeared in the "Washington Post" but the article was not located in that paper either.

The article reportedly says that RICH is embarking on a new business venture and is doing business now from Zug as MARC RICH INVESTMENTS, INC. with a huge complex in Moscow and offices in Madrid, etc. No information is known to dAte as to the type of business in which the company will be engaged.

This matter will be pursued with Legat, Moscow regarding RICH'S reported operation there.

196D-1774-111

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 09 1996
FBI-NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

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b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 2/7/96

FM FBI NEW YORK (196D-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

[redacted] b6 b7C

SUBJECT: MARC RICH-FUGITIVE(B); PINCUS GREEN-FUG(B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

FOR INFORMATION OF BERN, RICH AND GREEN WERE INDICTED ON A MULTI-COUNT INDICTMENT IN THE SDNY ON SEPTEMBER 19, 1983. AT THE TIME BOTH WERE OPERATING AS MARC RICH AG IN ZUG AND MARC RICH AND COMPANY INTERNATIONAL, THE U.S. AFFILIATE. WHEN INDICTED BOTH REMAINED IN ZUG WHERE THEY WORKED UNTIL GREEN RETIRED ABOUT 1991-92. HE LIVES [redacted] IN THE ZUG AREA TODAY. RICH HAS PASSED CONTROL OF HIS COMPANY TO OTHERS AND THE NAME HAS BEEN CHANGED TO GLENCORE INTERNATIONAL

b6 b7C

1-NY
1-SUPV. C-12
JC mid cd
(2)

196D-1774-712

ALL INFORMATION CONTAINED HEREIN IS UNCLASS[redacted]
DATE 2/20/01 B[redacted]

INDEXED
FILED

2/12/96

Approved: JKK/LB Original filename: DCD009W.038

Time Received: Telprep filename: DCD00950.038

MRI/JULIAN DATE: 10921 040 ISN: 006

FOX DATE & TIME OF ACCEPTANCE: 2/9 1644

IN ZUG WITH RICH MAINTAINING A MINORITY INTEREST. RICH'S CURRENT ACTIVITIES ARE UNKNOWN, BUT IT IS BELIEVED HE WILL REMAIN ACTIVE IN INTERNATIONAL TRADING TRANSACTIONS WHILE GREEN IS FULLY RETIRED AND ACTIVE IN PHILANTHROPIC CAUSES.

RICH IS A W/M, BORN DECEMBER 18, 1934, IN ANTWERP, BELGIUM, WHO MAINTAINS SPANISH AND ISRAELI CITIZENSHIP.

GREEN IS A W/M BORN [redacted] IN BROOKLYN AND MAINTAINS ISRAELI AND SWISS CITIZENSHIP. b6 b7C

LEAD:

LEGAT BERN IS REQUESTED TO ALWAYS BE VIGILANT IN MEETING POSSIBLE SOURCES WHO WILL BE ABLE TO PROVIDE INFORMATION TO LEGAT CONCERNING [redacted] b7D [redacted] THE ONLY APPARENT POSSIBILITY TO ARREST RICH AND GREEN EXISTS IN COUNTRIES AMENABLE TO EXTRADITION WHICH ARE NOT SWITZERLAND, SPAIN OR ISRAEL.

BT

000 MR1 01092

RR FBINY

DE FBINY #0006 0401733

ZNR UUUUU

R 091643Z FEB 96

FM FBI NEW YORK (196D-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE(B); PINCUS GREEN-FUG(B); FBW; MF;
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

FOR INFORMATION OF BERN, RICH AND GREEN WERE INDICTED ON A MULTI-COUNT INDICTMENT IN THE SDNY ON SEPTEMBER 19, 1983. AT THE TIME BOTH WERE OPERATING AS MARC RICH AG IN ZUG AND MARC RICH AND COMPANY INTERNATIONAL, THE U.S. AFFILIATE. WHEN INDICTED BOTH REMAINED IN ZUG WHERE THEY WORKED UNTIL GREEN RETIRED ABOUT 1991-92. HE LIVES [redacted] IN THE ZUG AREA TODAY. RICH HAS PASSED CONTROL OF HIS COMPANY TO

b6
b7C

712

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/0[redacted] BY [redacted]

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OTHERS AND THE NAME HAS BEEN CHANGED TO GLENCORE INTERNATIONAL IN ZUG WITH RICH MAINTAINING A MINORITY INTEREST. RICH'S CURRENT ACTIVITIES ARE UNKNOWN, BUT IT IS BELIEVED HE WILL REMAIN ACTIVE IN INTERNATIONAL TRADING TRANSACTIONS WHILE GREEN IS FULLY RETIRED AND ACTIVE IN PHILANTHROPIC CAUSES.

RICH IS A W/M, BORN DECEMBER 18, 1934, IN ANTWERP, BELGIUM, WHO MAINTAINS SPANISH AND ISRAELI CITIZENSHIP.

GREEN IS A W/M BORN [redacted] IN BROOKLYN AND MAINTAINS ISRAELI AND SWISS CITIZENSHIP. b6 b7C

LEAD:

LEGAT BERN IS REQUESTED TO ALWAYS BE VIGILANT IN MEETING POSSIBLE SOURCES WHO WILL BE ABLE TO PROVIDE INFORMATION TO LEGAT CONCERNING [redacted] b7D

[redacted] THE ONLY APPARENT POSSIBILITY TO ARREST RICH AND GREEN EXISTS IN COUNTRIES AMENABLE TO EXTRADITION WHICH ARE NOT SWITZERLAND, SPAIN OR ISRAEL.

BT

#0006

NNNN

U.S. Department of Justice

Federal Bureau of Investigation

Date 2/13/96

To Director (C.D.) [redacted]

Attn: [redacted]

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This printout in its entirety should be placed in the case file.

The FD 487 should then be updated by the rotor and signed by the case agent or supervisor.

Thank you,

[redacted]

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196-1774-713

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 14 1996
FBI-NEW YORK

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

U.S. Department of Justice

Federal Bureau of Investigation

Date

To: DADIC/SAC, DIVISION C-6 [redacted] b6 b7C

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation on these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of innaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. It is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 2/15/96

PURGE _____

Date of Recovery _____
Date of Arrest _____

MAINTAIN ✓
MODIFY _____
(Update Information Attached)

CASE AGENT [redacted]
SUPERVISORY SA [redacted]
DATE RETURNED _____ b6 b7C

Rev. 3/22/95
MKH/mm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

NEW YORK STATE POLICE
WANTED RECORDS AS OF 4AM 01-12-96

WANTED PERSONS VALIDATION
VALIDATION CONTROL NUMBER - [redacted]

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
W	RICH,MARC	M	W	121834	496343075	NYFBINY00	KFBC5851	302454	2608			83304	

(MIS)-> TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 1968-177 [redacted] C12

b6
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

b6
b7C

b6
b7C

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-18-2019 BY: [redacted]

(03/31/95)

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

b6
b7C

Precedence: ROUTINE [redacted] **Date:** 03/10/1996

To: ADIC, NEW YORK **Attn:** SA [redacted]

From: ADIC, WMFO
Squad C-9
Contact: SA [redacted] 202-324-6292

b6
b7C

Approved By: [redacted] SSA

Drafted By: [redacted] SA;wmm

File Number(s): 196A-NY-1774 (RUC)

Title: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FRAUD BY WIRE; MAIL FRAUD; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

Synopsis: In view of the new FBI reporting and filing system which makes it difficult to maintain an "AO" case without leads, WMFO's investigation of RICH is being placed in an RUC status. SA [redacted] will continue to maintain contact with [redacted] and will report any information concerning RICH to New York upon receipt.

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b7D
b7F

Details: WMFO has maintained captioned matter in an open "AO" status as a vehicle to obtain and disseminate information from [redacted] concerning source's [redacted]. In view of the new FBI reporting and filing system which makes it difficult to maintain an "AO" case without leads, WMFO's investigation of RICH and associates is being placed in an RUC status. SA [redacted] will continue to maintain contact with [redacted] and will report any information concerning RICH to New York upon receipt by telephone or teletype.

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b7C
b7D
b7F

2-New York
1-WMFO

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

1

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b7C

CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X 1
2/20/01

196A-1774-714
C12

SEARCHED INDEXED
SERIALIZED FILED
FBI — NEW YORK

04/22/96
11:17:31

View Document Attributes

ECFVA0M0

Orig. Office : BR
Document Type : LETTER
Document Date : 02/16/96
To . . . . . : SFOPM
From . . . . . : BERN
Case ID . . . : NY 196A-1774
Topic . . . . : RICH MARC
Author . . . :
Approver . . :
Ref. Case ID :

Responses :
Text . . . : Y
FIF . . . . :

Serial : 715

Serial :

Class Level : SN Authority :
Rule 6(e) . :

Duration :
FD-501 . :

SCI :

Command . . . > . . . . . +
F1=Help F3=Exit F4=Prompt F12=Cancel F13=Text F14=List F15=PrevDoc F16=NextDoc

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY

Top of document.
08/08/96
15:26:26

View Document Text

ECFVT0M0
More : +

Case ID . : NY 196A-1774 Serial : 717
Responses :

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 04/04/96 Time: 08:33

Case ID: NY 196A-1774 Serial: 717

Description of Document:

Type : LETTER
Date : 04/01/96
To : SCPB
From : BERN
Topic: [redacted]

b6
b7C

Command . . . > ..... +
F1=Help F3=Exit F4=Prompt F8=Fwd F12=Cancel F13=Attrib F14=List F15=PrevDoc
F16=NextDoc

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

b6
b7C

INVESTIGATIVE CASE MANAGEMENT

Lead Upload Report

07/29/1996

RUN BY: [redacted]

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b7C

(06/01/1995)

4065

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

6pm

Precedence: ROUTINE **Date:** 04/02/1996

To: Criminal Investigative **Attn:** FCS/ECU
New York **Attn:** C-12, SA

From: Bern
Contact:

b6
b7C

Approved By:

Drafted By:

Case ID #: 196A-NY-1774 Referred Upon Completion

Title: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

Synopsis: provided copy of report concerning as requested by New York. b7D

Details: Reference New York teletype captioned as above, dated 2/14/96.

Enclosed for New York is a copy of a twenty page report provided by b7D

As discussed during Bern telephone contact with New York on 3/26/96, New York will translate the enclosed twenty page report written b7D Upon completion of translation by New York, Bern would appreciate a copy of the English version.

If information is ever received by Bern which discloses possible travel outside of Switzerland by Rich, recipients will be immediately advised.

Inasmuch as there are no further leads outstanding for Bern, this matter is being placed into RUC status.

(X)

1 - New York (Enclosure)

1

196A-1774-718

SEARCHED INDEXED
SERIALIZED FILED
APR 29 1996

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY

196D-NY-1774
JCM:jkl

On March 7, 1996, [redacted] furnished the following information to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation (FBI): b6 b7C b7D

Source advised in view of [redacted]

[redacted]

b6
b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSI[redacted] DATE 2/20/01 BY [redacted]

196D-NY-1774-719
SEARCHED INDEXED
SERIALIZED FILED
APR 17 1996
FBI-NEW YORK

196D-NY-1774
JCM:jkl

On April 3, 1996, [redacted] furnished the following information to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation (FBI): b6 b7C b7D

Source stated [redacted]

[redacted]

b6
b7C

196A-NY-1774-720

SEARCHED INDEXED
SERIALIZED FILED
APR 17 1996
FBI-NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 B[redacted]

U.S. Department of Justice

Federal Bureau of Investigation

Date 4/17/96

To Director

Attn: [redacted] C.D.

b6
b7C

This printout in its entirety should be placed in the case file.

The FD 487 should then be updated by the rotor and signed by the case agent or supervisor.

Thank you,

[redacted]

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b7C

196B-1774-721

Searched
Serialized
Indexed
Filed

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY [redacted]

b6
b7C

U.S. Department of Justice

Federal Bureau of Investigation

Date 4/11/96

To: DADIC/SAC, DIVISION [redacted] C.D

b6
b7C

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation on these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of innaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. It is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 4/15/96

PURGE _____

Date of Recovery _____
Date of Arrest _____

MAINTAIN ✓
MODIFY _____
(Update Information Attached)

CASE AGENT [redacted]
SUPERVISORY SA [redacted]
DATE RETURNED _____

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b7C

Rev. 3/22/95
MKH/mm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2010 BY [redacted]

NEW YORK STATE POLICE
WANTED RECORDS AS OF 4AM 03-01-96

WANTED PERSONS VALIDATION
VALIDATION CONTROL NUMBER - [redacted]

b6
b7C

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
W	GREEN,PINCUS	M	W	[redacted]		NYFBINY00	KFBC06671	307502	2699			83339	

(MIS)-> MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-20-01 BY [redacted]

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b7C

b6
b7C

NCIC	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE

(06/01/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 09/11/1996

To: Director **Attn:** CID
Legat Ottawa **Attn:** LSG, Room 5990

From: New York
C-12
Contact: SA [redacted] Ext. 3258

Approved By: [redacted]

Drafted By: [redacted]axh b6 b7C

Case ID #: 196D-NY-1774-729 (Pending)

Title: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW, MAIL FRAUD, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY

Synopsis: LHM with background and leads for [redacted] b7D

Enclosures: Enclosed are the original and five copies of an LHM.

Details: See LHM.

♦♦

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY DS
DATE 9/17/96

b6 b7C

196 NY-1774 - 729
Searched
Serialized DS
Indexed
Filed DS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

LEAD:

OT

AT OTTAWA, ONTARIO, CANADA

Legat Ottawa is requested to contact the [redacted]

b7D

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted]

5. [redacted]

6. [redacted]

7. [redacted]

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 10/23/96 Time: 12:49

Case ID: NY 196A-1774 Serial: 730

Description of Document:

Type : TELETYPE
Date : 09/24/96
To : FBI HEADQUARTERS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY

b6
b7C

From : NEW YORK
Topic: [redacted]

Reason for Permanent Charge-Out: b6 b7C

DOCUMENT INADVERTENTLY ENTERED IN MAIN FILE

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 122

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

b6
b7C

Embassy of the United States of America

Office of the Legal Attache
24 Grosvenor Square
London, England W1A 1AE

3 October, 1996

Our Ref: 196D-NY-1774

Tel: 0171 408-8078

[redacted]

b6
b7C
b7D

[redacted]

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE

Attention: [redacted]

Dear [redacted]

b6
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The New York office of the Federal Bureau of Investigation (FBI) continues its long standing investigation into the international fraud activities of Marc Rich and Pincus Green. New York recently received information that [redacted]

[redacted]

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Your department is requested to review [redacted] and conduct any additional discreet investigation to identify the [redacted] other available information. Also please determine the [redacted] It is also requested that any available information concerning [redacted] be discreetly obtained.

b6
b7C
b7D

1 - Addressee
1 - London
HEC:dk
(2)

[redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY [redacted]

SEARCHED PS INDEXED
SERIALIZED FILED PS
OCT 21 1996
FBI-NEW YORK

196D-NY-1774-732

Searched
Serialized
Indexed
Filed

If you have any questions regarding this matter, or if this office can be of any further assistance, please do not hesitate to contact Assistant Legal Attache [redacted] at telephone number 0171-408-8078.

Your assistance in this matter is greatly appreciated.

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b7C

Very truly yours,

[redacted]
Legal Attache

By: [redacted]
Assistant Legal Attache

Office of the Legal Attache
100 Wellington Street
Ottawa, Ontario K1P 5T1

November 1, 1996

[redacted]

b6
b7C
b7D

Attention: [redacted]

Reference: MARC RICH

Legat File: NY 196D-1774

Dear [redacted]

Enclosed is a copy of a letter from the New York Office of the Federal Bureau of Investigation dated September 11, 1996 with an enclosed list of prepared questions.

REQUEST OF [redacted]

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b7D

[redacted]

Should any questions arise, please contact Assistant Legal Attache [redacted] at (613) 238-1253.

Sincerely yours,

[redacted]

b6
b7C

Legal Attache

Enclosures

1- Addressee
1- Ottawa (NY 196D-1774)

MG/sga
(2)

NY 196D-1774-736

Searched _____
Indexed _____
Serialized PNW
Filed PNW

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

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b7C

306 SbA 21. RLT

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/25/97 Time: 08:40

Case ID: NY 196A-1774 Serial: 738

Description of Document:

Type : LETTER
Date : 11/13/96
To : OTTAWA

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 3/20/01 BY

b6
b7C

From : [redacted]
Topic: [redacted]

Reason for Permanent Charge-Out:

DOCUMENT ENTERED INTO MAIN FILE

b6
b7C
b7D

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 125

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2120191 B[redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/25/97 Time: 08:42

Case ID: NY 196A-1774 Serial: 739

Description of Document:

Type : MEMO
Date : 11/15/96
To : [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLAS[redacted]
DATE 3/20/01 [redacted]

b6
b7C
b7D

From : [redacted]
Topic: [redacted]

Reason for Permanent Charge-Out:

DOCUMENT ENTERED INTO MAIN FILE

b6
b7C
b7D

Transferred to:

Case ID: NY 196A-1774-FUG-2 Serial: 126

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 9/20/04 BY [redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/25/97 Time: 08:42

Case ID: NY 196A-1774 Serial: 740

Description of Document:

Type : LETTER
Date : 12/16/96

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 3/20/01 BY [redacted]

b6
b7C

To : OTTAWA
From :
Topic: [redacted] HAS RESPONDED WITH INFO ATTACHED TO MEMO DTD 11/15

Reason for Permanent Charge-Out:

DOCUMENT ENTERED INTO MAIN FILE

b6
b7C
b7D

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 127

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 B[redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/25/97 Time: 08:46

Case ID: NY 196A-1774 Serial: 741

Description of Document:

Type : EC
Date : 01/17/97
To : NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY

b6
b7C

From : WASHINGTON FIELD
Topic: RESULTS OF LEAD COVERED IN WASHINGTON, D.C.

Reason for Permanent Charge-Out:

DOCUMENT ENTERED IN MAIN FILE

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 129 b6 b7C

Employee:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY

b6
b7C

Office of the Legal Attache
100 Wellington Street
Ottawa, Ontario K1P 5T1

February 12, 1997

[redacted]

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b7D

Attention: [redacted]

Reference: MARC RICH

[redacted]

Legat File: 196D-NY-1774

[redacted]

b6
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Reference is made to my correspondence dated November 1, 1996 and your response dated December 16, 1996.

In conversation with [redacted]

[redacted]

REQUEST OF [redacted]

[redacted] is requested to update this office of [redacted]

b6
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b7D

Should any questions arise, please contact Assistant Legal Attache [redacted] at (613) 238-5335 extension 206.

Sincerely yours,

[redacted]

Legal Attache

1 - Addressee
(1) - Ottawa (196D-NY-1774)
MG: [redacted] (2)

b6
b7C

NY-196D-1774742

Searched
Indexed
Serialized None
Filed None

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

043KMC08.RLT

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/25/97 Time: 08:44

Case ID: NY 196A-1774 Serial: 743

Description of Document:

Type : EC
Date : 02/24/97
To : CRIMINAL INVESTIGATIVE, et al.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY

b6
b7C

From : OTTAWA
Topic: INVESTIGATION REQUESTED IN [redacted]

Reason for Permanent Charge-Out:

DOCUMENT ENTERED INTO MAIN FILE

b6
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Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 128

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/07 B[redacted]

b6
b7C

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 03/17/1997

To: Criminal Investigative **Attn:** SSA [redacted]
IRU-2, IRS

New York **Attn:** SA [redacted]
Squad C-12

b6
b7C

From: Ottawa
Contact: ALAT [redacted] 613-238-5335, ext. 206

Approved By: [redacted]

Drafted By: [redacted] jcf

Case ID #: NY 196D-1774 -746 (Pending)

Title: MARC RICH - FUGITIVE (B)
PINCUS GREEN - FUGITIVE (B)
FBW, MAIL FRAUD, RICO,
INCOME TAX EVASION
TRADING WITH THE ENEMY

Synopsis: Update by [redacted] concerning the lead in referenced document.

b6
b7C
b7D

Reference: NY 196D-1774 Serial 729
NY 196D-1774 Serial 743

Enclosures: Enclosed for New York is a report, dated February 26, 1997, concerning [redacted]

Details: [redacted] has been attempting to [redacted]

♦♦

196D-1774-746

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
APR 07 1997
[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 8/20/01 BY [redacted]

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 03/03/1997

To: New York

From: New York
C-12
Contact: SA [redacted] Ext. 3258

Approved By: [redacted] b6 b7C

Drafted By: [redacted] pan

Case ID #: N/A

Title: RECLASSIFICATION OF
196 CASES

Synopsis: The below listed cases are presently classified as 196A and 196B cases when in fact they should be reclassified as 196D cases.

Details: The below listed files which are assigned to SA [redacted] b6 b7C are 196As and 196Bs. These files should be reclassified as 196Ds in view of the fact they are national and international fraud matters:

196A-NY-1760, 196A-NY-1774, 196A-NY-223431, 196A-NY-246153, 196A-NY-253845.

It is requested that the above files all be reclassified as 196Ds.

♦♦

196A-NY-1774

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
MAR 12 1997
FBI-NEW YORK

UPLOADED
WITH/TEXT ✓
WITHOUT TEXT ___
BY ___
DATE 4/11/97

CANNOT RE-CLASSIFY A PRE-UCFN FILE

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY [redacted] b6 b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/12/97 Time: 16:22

Case ID: NY 196A-1774 Serial: 750

Description of Document:

Type : EC
Date : 04/16/97
To : BERN, et al.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 3/20/01 B

b6
b7C

From : PARIS
Topic: LEGAT PARIS MET WITH [redacted], U.S.

Reason for Permanent Charge-Out:

UPLOADED INTO MAIN FILE

b6
b7C
b7E

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 149

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 04/25/97 Time: 06:37

Case ID: NY 196A-1774 Serial: 751

b6
b7C
b7D

Description of Document:

Type : OTHER
Date : 04/02/97
To : [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY [redacted]

From : PARIS
Topic: NOTE NO. T-48

DECLASSIFIED BY: NSICG
ON 10-09-2019

~~SECRET~~

Reason for Permanent Charge-Out:

MISFILED

Transferred to: ~~(S)~~ (U)

Case ID:

Employee:

b3
b6
b7C
b7E

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

~~CLASSIFIED BY:~~
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X~~ 2/20101

b6
b7C

~~SECRET~~

U.S. Department of Justice

Federal Bureau of Investigation

Date 2/10/97

To: DADIC/SAC, DIVISION C12 [redacted] b6 b7C

From: ADIC, NEW YORK (66-7566)

Subject: NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation on these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of innaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. It is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initialing below and returning form and printout to NYSPIN by 2/20/97.

PURGE _____

Date of Recovery _____
Date of Arrest _____

MAINTAIN ✓
MODIFY _____
(Update Information Attached)

CASE AGENT [redacted]
SUPERVISORY SA [redacted]
DATE RETURNED 2/20/97

Rev. 3/22/95
MKH/mm

b6
b7C

196-1774-752

SEARCHED SERIALIZED INDEXED FILED
APR 23 1997
FBI-NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

b6
b7C

NEW YORK STATE POLICE WANTED PERSONS VALIDATION PAGE 1
WANTED RECORDS AS OF 4AM 01-10-97 VALIDATION CONTROL NUMBER - [redacted] 01/10/97

VALIDATION INSTRUCTIONS: FROM THE NYSPIN ENFORCER MENU BAR, CLICK ON <MENU>, CLICK ON <ADMINISTRATIVE FUNCTIONS>, CLICK ON <VALIDATION AND QUALITY CONTROL>, CLICK ON <QV02 - INSTRUCTIONS/CHECK LIST FOR VALIDATION (LTR)> OR TYPE (SOH)QV02(XMIT)

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
							KFBC						
W	RICH,MARC	M	W	121834	496343075	NYFBINY00	KFBC5851	302454	2608			83304	
(MIS)-> TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-177[redacted] C12													

b6
b7C

HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

VALIDATION INSTRUCTIONS: FROM THE NYSPIN ENFORCER MENU BAR, CLICK ON <MENU>, CLICK ON <ADMINISTRATIVE FUNCTIONS>, CLICK ON <VALIDATION AND QUALITY CONTROL>, CLICK ON <QVO2 - INSTRUCTIONS/CHECK LIST FOR VALIDATION (LIR)> OR TYPE (SOH)QVO2(XMIT) b6 b7C

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
						KFBC							

Office of the Legal Attache
100 Wellington Street
Ottawa, Ontario K1P 5T1

May 9, 1997

[redacted]

Attention: [redacted]

b6
b7C
b7D

Reference: MARC RICH

[redacted]

Legat File: NY 196D-1774

Dear [redacted]

Reference is made to to your correspondence dated February 27 1997.

REQUEST OF [redacted]

[redacted] is requested to update this office of the progress of [redacted]

b6
b7C
b7D

Should any questions arise, please contact Assistant Legal Attache [redacted] at (613) 238-5335 extension 206.

Sincerely yours,

[redacted]

Legal Attache

1 - Addressee
1 - Ottawa (NY 196D-1774)
MG/cfc
(2)

NY 196D-1774-753

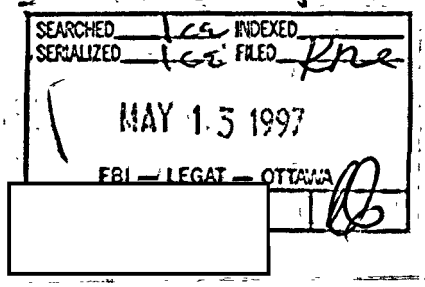
SEARCHED
SERIALIZED
INDEXED
FILED

T Dat 7/1/97

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 B[redacted]

196B-NY-1774-755



b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 07/29/97 Time: 09:13

Case ID: NY 196A-1774 Serial: 756

Description of Document:

Type : EC
Date : 06/17/97
To : CRIMINAL INVESTIGATIVE, et al.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY

b6
b7C

From : OTTAWA
Topic: FUGITIVE INVESTIGATION. ALL LEADS COVERED.

Reason for Permanent Charge-Out:

ENTERED INTO MAIN FILE

Employee: [redacted]

b6
b7C

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY [redacted]

From : MOSCOW
Topic: [redacted] PROVIDED LEGAT, MOSCOW WITH A THREE-PAGE ME

Reason for Permanent Charge-Out:

ENTERED INTO MAIN FILE

b6
b7C
b7E

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 155

Employee: [redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 12/01 BY [redacted]

From : LONDON
Topic: REQUEST FOR INQUIRY

Reason for Permanent Charge-Out:

ENTERED INTO MAIN FILE

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 156

Employee: [redacted] b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted] b6 b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 08/20/97 Time: 15:57

Case ID: NY 196A-1774 Serial: 757

Description of Document:

Type : EC
Date : 06/30/97

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 07/29/97 Time: 09:14

Case ID: NY 196A-1774 Serial: 758

Description of Document:

Type : EC
Date : 07/02/97
To : CRIMINAL INVESTIGATIVE, et al.

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/20/01 BY

To : NEW YORK
From : BUTTE ITC
Topic: DATABASE SEARCHES CONDUCTED BY THE BUTTE ITC

Reason for Permanent Charge-Out:

ENTERED IN ERROR

Transferred to:

Case ID: NY 196A-1774-FUG 2 Serial: 158

Employee: [redacted] b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 07/29/97 Time: 09:15

Case ID: NY 196A-1774 Serial: 759

b6
b7C
b7D

Description of Document:

Type : LETTER
Date : 07/08/97
To : [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 12/02/97 Time: 15:11

Case ID: NY 196A-1774 Serial: 762

Description of Document:

Type : FAX
Date : 10/17/97
To : [redacted]
From : [redacted]
Topic: REF REQUEST TO INTERVIEW [redacted]

Reason for Permanent Charge-Out:

belongs in subfile

b6
b7C
b7D

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 3/20/01 BY [redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 12/02/97 Time: 15:11

Case ID: NY 196A-1774 Serial: 763

Description of Document:

Type : LETTER
Date : 10/23/97
To : OTTAWA
From : [redacted]
Topic: ATTACHED FAX DATED 10/17/97 RECEIVED AT THIS HQ FROM OUR CAL

Reason for Permanent Charge-Out: b6 b7C b7D

belongs in subfile

Employee: [redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 5/20/01 BY

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 12/02/97 Time: 15:12

Case ID: NY 196A-1774 Serial: 764

Description of Document:

Type : EC
Date : 11/17/97
To : CRIMINAL INVESTIGATIVE, et al.
From : LEGAT OTTAWA
Topic: FUGITIVE INVESTIGATION.

Reason for Permanent Charge-Out:

belongs in subfile

Employee: [redacted] b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/20/01 BY [redacted] b6 b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 02/10/98 Time: 11:10

Case ID: NY 196A-1774 Serial: 766

Description of Document:

Type : EC
Date : 12/02/97
To : BERN, et al.
From : PARIS
Topic: TO REQUEST STATUS REPORT OF LEADS SET FORTH IN AN EC

Reason for Permanent Charge-Out:

belongs in subfile

Employee: [redacted] b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY [redacted] b6 b7C

Office of the Legal Attache
100 Wellington Street
Ottawa, Ontario K1P 5T1

December 10, 1997

[redacted]

Attention: Officer in Charge
Economic Crime Branch

b6
b7C
b7D

Reference: MARC RICH

[redacted]

Legat File: NY 196D-1774

Dear [redacted]

Reference is made to your correspondence dated October 23, 1997.

Enclosed is a copy of a communication from the new York Office of the Federal Bureau of Investigation dated December 5, 1997 which is being supplied in response to the inquiry of [redacted] dated October 17, 1997.

b6
b7C
b7D

Should any questions arise, please contact Assistant Legal Attache [redacted] at (613) 238-5335 extension 206.

Sincerely yours,

[redacted]

Legal Attache

Enclosure

1 Addressee
1 Ottawa (NY 196A-1774)

MG/sga
(2)

Destroy

ACC 9-18-97

b6
b7C

NY 196A-1774-767

Searched
Indexed
Serialized
Filed

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]

344SA-111. RLT

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/09/98 Time: 10:49

Case ID: NY 196A-1774 Serial: 768

Description of Document:

Type : EC
Date : 01/14/98
To : CRIMINAL INVESTIGATIVE, et al.
From : BERN
Topic: RESULTS OF INVESTIGATION AT LEGAT, BERN TO DETERMINE A

Reason for Permanent Charge-Out:

supposed to be in subfile

Employee: [redacted] b6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 3/20/01 BY [redacted]

b6
b7C

DATE: DECEMBER 5, 1997

FROM: FBI NEW YORK

SUBJECT: MARC RICH

NYO RESPONDS TO THE [redacted] INQUIRY BY STATING THE ONLY KNOWLEDGE THE NYO HAS OF [redacted] b7D

[redacted] NYO HAS NO KNOWLEDGE OF THE CURRENT [redacted]

[redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSI[redacted] DATE 2/20/01 BY [redacted] b6 b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:56

Case ID: NY 196A-1774 Serial: 769

Description of Document:

Type : EC
Date : 07/02/97
To : CRIMINAL INVESTIGATIVE, et al.
From : MOSCOW
Topic: [redacted] PROVIDED LEGAT MOSCOW WITH A THREE PAGE

Reason for Permanent Charge-Out:

belongs in fug subfile

b6
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Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE **Date:** 05/04/1998

To: Criminal Investigative **Attn:** WCCS
IRU 1 SSA [redacted]

Bern
New York

From: Madrid
Contact: [redacted] 011-341-587-2350 b6 b7C

Approved By: [redacted]

Drafted By:

Case ID #: 196A-NY-1774-772 (Pending)

C-12

Title: MARK DAVID RICH, aka - FUGITIVE
FBW; MF;
ET AL
OO: NY

Synopsis: Reports contact with individual claiming to be advisor to fugitive, who purportedly wishes to negotiate disposition of case with U.S. authorities without utilizing his attorneys. Legat requests guidance from OO and FBIHQ.

Details: On 5/4/98, [redacted] (protect), [redacted] b6 b7C b7D

Although [redacted] b6 b7C b7D

(S)(4)

124 DWS 01.EC

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/20/01 BY
01-081

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

CLASSIFIED BY
REASON: 1.5 (d)
DECLASSIFY ON: X 6
2/5/01

NY-1774-772

SEARCHED INDEXED
SERIALIZED FILED
JUN 1 1998
FBI NEW YORK

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[redacted] (b)(1)

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b7D

During [redacted]

[redacted]

[redacted]

b6
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b7D

[redacted]

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A review of documents available in the U.S. Embassy reflects that Mark Rich was the subject of indictment number S-83-CR-579, returned on 3/5/84 in U.S. District Court for the Southern District of New York (Manhattan), charging him with 38 counts of wire fraud; 15 counts of mail fraud; 2 counts of racketeering; 2 counts of income tax fraud; and 1 count of illegal trade with Iran during the American hostage crisis. A warrant was issued the same date by U.S. Magistrate Nina Gershon.

Although it appears Rich remains a fugitive, Legat Madrid, which does not maintain closed files beyond a ninety day period, has not confirmed his status.

LEAD (s):

Set Lead 1:

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

CID/WCCS, in concert with FBI field offices with interest in Mark Rich, requested to advise Legat Madrid if further action is desired.

Set Lead 2:

NEW YORK

AT NEW YORK, N.Y.

Advise AUSA handling matter, and in coordination with FBIHQ/CID/WCCS, advise Legat Madrid if further action is warranted.

Set Lead 3:

MADRID

AT MADRID, SPAIN

Upon receipt of input from New York and FBIHQ, take appropriate action.

♦♦

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 05/04/1998

To: Criminal Investigative

Attn: WCCS
IRU 1 SSA [redacted]

Bern
New York

From: Madrid
Contact: [redacted] 011-341-587-2350

b6
b7C
b7D

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196A-NY-1774-772 (Pending)

Title: MARK DAVID RICH, aka - FUGITIVE
FBW; MF;
ET AL
OO: NY

① [redacted]
② [redacted]
③ [redacted]

Synopsis: Reports contact with individual claiming to be advisor to fugitive, who purportedly wishes to negotiate disposition of case with U.S. authorities without utilizing his attorneys. Legat requests guidance from OO and FBIHQ.

Details: On 5/4/98, [redacted] (protect), [redacted]

b6
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Although [redacted]

b6
b7C
b7D

[redacted]

(U)

5/6/98 [redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

CLASSIFIED BY: [redacted]
REASON: 1.5 (d)
DECLASSIFY ON: X 6
2/20/01

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY

Test
bug. sent OO

121 DWS 01.EC

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/11/98 Time: 12:27

Case ID: NY 196A-1774 Serial: 773

Description of Document:

Type : EC
Date : 05/08/98
To : CRIMINAL INVESTIGATIVE, et al.
From : MADRID
Topic: REPORTS RECONTACT WITH INTERMEDIARY IN MADRID.

Reason for Permanent Charge-Out:

BELONGS IN SUBFILE

Employee: [redacted] b6 b7C

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 3/20/01 BY [redacted]

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:57

Case ID: NY 196A-1774 Serial: 774

Description of Document:

Type : FAX
Date : 04/01/98
To : [redacted]
From : [redacted]
Topic: THE FBI WISH TO KNOW YOUR RESPONSE TO THE FOLLOWING QUESTION

Reason for Permanent Charge-Out: b6 b7C b7D

belongs in fug file

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/20/01 BY [redacted]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:57

Case ID: NY 196A-1774 Serial: 775

Description of Document:

Type : MEMO
Date : 04/06/98
To : [redacted]
From : [redacted]
Topic: [redacted]

Reason for Permanent Charge-Out:

belongs in fug subfile

b6
b7C
b7D

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY [redacted]
01-08

b6
b7C

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 96
Page 19 ~ b6; b7C; b7E;
Page 20 ~ b6; b7C; b7E;
Page 21 ~ b6; b7C; b7E;
Page 22 ~ b6; b7C; b7E;
Page 23 ~ b6; b7C; b7E;
Page 24 ~ b6; b7C; b7E;
Page 25 ~ b6; b7C; b7E;
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Page 54 ~ b6; b7C; b7E;
Page 55 ~ b6; b7C; b7E;
Page 56 ~ b6; b7C; b7E;
Page 57 ~ b6; b7C; b7E;
Page 58 ~ b6; b7C; b7E;
Page 59 ~ b6; b7C; b7E;
Page 60 ~ b6; b7C; b7E;
Page 61 ~ Referral/Direct;
Page 62 ~ Referral/Direct;
Page 63 ~ Referral/Direct;
Page 64 ~ Referral/Direct;
Page 65 ~ Referral/Direct;
Page 66 ~ Referral/Direct;
Page 67 ~ Referral/Direct;
Page 68 ~ Referral/Direct;
Page 69 ~ Referral/Direct;
Page 70 ~ Referral/Direct;
Page 71 ~ Referral/Direct;
Page 72 ~ Referral/Direct;
Page 73 ~ Referral/Direct;
Page 88 ~ b6; b7C; b7D;
Page 89 ~ Referral/Direct;

Page 90 ~ Referral/Direct;
Page 91 ~ Referral/Direct;
Page 99 ~ b6; b7C; b7D;
Page 100 ~ b6; b7C; b7D;
Page 101 ~ b6; b7C; b7D;
Page 102 ~ b6; b7C; b7D;
Page 103 ~ b6; b7C; b7D;
Page 104 ~ b7D;
Page 105 ~ b6; b7C; b7D;
Page 106 ~ b6; b7C; b7D;
Page 107 ~ b6; b7C; b7D;
Page 108 ~ b7D;
Page 109 ~ b7D;
Page 110 ~ b6; b7C; b7D;
Page 111 ~ b6; b7C; b7D;
Page 112 ~ b6; b7C; b7D;
Page 113 ~ b6; b7C; b7D;
Page 114 ~ b6; b7C; b7D;
Page 115 ~ b6; b7C; b7D;
Page 116 ~ b7D;
Page 117 ~ b6; b7C; b7D;
Page 118 ~ b6; b7C; b7D;
Page 119 ~ b6; b7C; b7D;
Page 120 ~ b6; b7C; b7D;
Page 121 ~ b6; b7C; b7D;
Page 122 ~ b6; b7C; b7D;
Page 123 ~ b6; b7C; b7D;
Page 124 ~ b7D;
Page 125 ~ b6; b7C; b7D;
Page 126 ~ b6; b7C; b7D;
Page 127 ~ b6; b7C; b7D;
Page 128 ~ b6; b7C; b7D;
Page 129 ~ b7D;
Page 130 ~ b6; b7C; b7D;
Page 131 ~ b6; b7C; b7D;
Page 132 ~ b6; b7C; b7D;
Page 133 ~ b6; b7C; b7D;
Page 134 ~ b6; b7C; b7D;
Page 135 ~ b7D;
Page 136 ~ b7D;
Page 137 ~ b6; b7C; b7D;
Page 138 ~ b6; b7C; b7D;
Page 139 ~ b6; b7C; b7D;
Page 140 ~ b6; b7C; b7D;
Page 141 ~ b6; b7C; b7D;
Page 142 ~ b6; b7C; b7D;
Page 143 ~ b6; b7C; b7D;
Page 144 ~ b6; b7C; b7D;

XXXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXX

196A-1774

SUBJECT INDEX LOG

Subject Name	Serial	DOB	Race	Sex	SSN#
Marc Rich	539	12-18-34	W	M	496-34-3075
Pincus Green	539P2	[redacted]	W	M	[redacted]

b6
b7C

Do not place any additional serials into this main file. —

use Fug Sub.

[redacted]

9/9/[illegible]

b6
b7C

ALL INFORMATION CO[illegible]NED
HEREIN IS UNCLASSI[illegible]
DATE 2/21/01 BY [redacted]
#01-081

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:58

Case ID: NY 196A-1774 Serial: 776

Description of Document:

Type : FAX
Date : 04/20/98
To :
From :
Topic:

Reason for Permanent Charge-Out:

belongs in fug subfile

b6
b7C
b7D

Employee:

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY

#01-081

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:58

Case ID: NY 196A-1774 Serial: 777

Description of Document:

Type : LETTER
Date : 04/23/98
To : OTTAWA
From : [redacted]
Topic: THE ATTACHED FACSIMILE DATED 4/20/98 RECEIVED AT THIS HQ FRO

Reason for Permanent Charge-Out:

belongs in fug subfile

b6
b7C
b7D

Employee: [redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
01-081

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:59

Case ID: NY 196A-1774 Serial: 778

Description of Document:

Type : MEMO
Date : 07/01/98
To : BERN
From : [redacted]
Topic: ON 6/30/98, THE WRITER SPOKE WITH DOJ, OIA, ATTORNEY [redacted]

Reason for Permanent Charge-Out: b6 b7C

belongs in fug subfile

Employee: [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
#01-08

b6
b7C

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-17-2019 BY: [redacted]

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 15:59

Case ID: NY 196A-1774 Serial: 780

b6
b7C
b7D

Description of Document:

Type : EC
Date : 08/17/98
To : CRIMINAL INVESTIGATIVE, et al.
From : [redacted]
Topic: [redacted] (S)(U)

SECRET

Reason for Permanent Charge-Out:

belongs in fug subfile

Employee: [redacted]

CLASSIFIED BY [redacted]
REASON: 1.5 (C,D)
DECLASSIFY ON: X1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

b6
b7C

SECRET

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

#01-081

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 16:00

Case ID: NY 196A-1774 Serial: 782

Description of Document:

Type : EC
Date : 09/09/98
To : CRIMINAL INVESTIGATIVE, et al.
From : [redacted]
Topic: [redacted]

b7D

Reason for Permanent Charge-Out:

belongs in fug subfile

Employee: [redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/21/01 BY [redacted]
#01-081

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 11/09/98 Time: 16:00

Case ID: NY 196A-1774 Serial: 783

Description of Document:

Type : LETTER
Date : 08/19/98
To :
From :
Topic:

b7D

Reason for Permanent Charge-Out:

belongs in fug subfile

Employee:

b6
b7C

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS
DATE 2/2/01 BY
#01-08

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 02/22/99 Time: 10:23

Case ID: NY 196A-1774 Serial: 784

Description of Document:

Type : EC
Date : 10/21/98
To : CRIMINAL INVESTIGATIVE, et al.
From :
Topic:

Reason for Permanent Charge-Out:

belongs in sub-file

b6
b7C
b7D

Employee:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/21/01 BY

#01-081

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/03/99 Time: 11:29

Case ID: NY 196A-1774 Serial: 785

Description of Document:

Type : EC
Date : 01/20/99
To : BERN, et al.
From :
Topic:

Reason for Permanent Charge-Out:

belongs in fug file

Employee:

b6
b7C
b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI
DATE 2/21/01 BY
#01-081

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/03/99 Time: 11:30

Case ID: NY 196A-1774 Serial: 786

Description of Document:

Type : LETTER
Date : 01/21/99
To :
From :
Topic:

Reason for Permanent Charge-Out:

belongs in sub file

b6
b7C
b7D

Employee:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY

#01-081

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 03/04/99 Time: 09:32

Case ID: NY 196A-1774 Serial: 787

Description of Document:

Type : LETTER
Date : 02/11/99
To :
From :
Topic:

Reason for Permanent Charge-Out:

belongs in sub file

Employee:

b6
b7C
b7D

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY

#01-081

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 07/08/99 Time: 15:35

Case ID: NY 196A-1774 Serial: 788

Description of Document:

Type : LETTER
Date : 02/11/99
To : [redacted]
From : [redacted]
Topic: [redacted]

Reason for Permanent Charge-Out:

belongs in subfile

b6
b7C
b7D

Employee: [redacted]

RUN BY: [redacted]

07/08/1999

Case Consolidation

ELECTRONIC CASE FILE

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
#01-0971

NY 196A-1774-788

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 08/24/99 Time: 16:43

Case ID: NY 196A-1774 Serial: 789

Description of Document:

Type : EC
Date : 05/27/99
To : CRIMINAL INVESTIGATIVE, et al.
From :
Topic:

Reason for Permanent Charge-Out:

belongs in subfile

b6
b7C
b7D

Employee:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
#01-081

b6
b7C

NY 196A-1774-789

(12/31/1999)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 12/22/1999

To: New York **Attn:** [redacted]

From: Butte ITC
Investigative Information Services Center (IISC)
Contact: [redacted] (406) 782-2304

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: ~~196D-NY-1774~~ (Pending)

Title: [redacted] IISC# 1946

Synopsis: Results of database searches conducted by IISC.

Enclosures: Attached are copies of printouts setting forth results of inquiries conducted by IISC and a Reply Form.

Details: [redacted] Social Security Account Number (SSAN) [redacted] is associated with [redacted] This SSAN was issued during [redacted] The most recent address located for [redacted] New Jersey. A telephone number, [redacted] is listed for [redacted] An employer, [redacted] and a occupation, [redacted] is listed for [redacted] Other peripheral information is attached.

b6
b7C

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
#01-081

Access Denied
to case, not
denied

196D-NY-1774-790
SEARCHED — INDEXED
SERIALIZED — FILED
JAN - 3 2000
FBI — NEW YORK

To: New York From: Butte ITC
Re: 196D-NY-1774, 12/22/1999

LEAD (s):

Set Lead 1:

NEW YORK

AT NEW YORK

Complete and return Reply Form to **Butte ITC**.

♦♦

INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Butte Information Technology Center
400 North Main Street, Room #115
Butte, Montana 59701
- Commercial Telephone (406) 782-2304
- FTS: (406) 782-2304 FAX: (406) 782-9504, 782-9507 & 782-7418
- Secure FAX & STU III: (406) 782-2304, Ext. 26

b6 b7C b7E

BITC Use Only: BITC Record #: 1946
Date/Time In: 12/21 / 745 ☐ am ☐ pm
Date/Time Out: 12/22/12:30 ☐ am ☐ pm
Number(s) Used:
1. _____ 5. _____ 9. _____
2. _____ 6. _____ 10. _____
3. _____ 7. _____ 11. _____
4. _____ 12. _____
Handled By: _____

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER
Date: 12/21/99
Forfeiture/Seizure Related: ☐ Type of Request: ☒ FAX ☐ Telcal ☐ Mail Response: ☐ Telcal ☒ Mail
Requestor: _____ Phone #: 384-3258 (212) FAX #: 384-2745 (212) UCFN: 196D-1774
(Requestor Name is Required) (UCFN (File #) is Required)
Office/RA: NEW YORK Precedence: ☒ ROUTINE ☐ IMMEDIATE (Emergency/Crisis Situation)

SEARCH CRITERIA (Attach additional sheets if necessary) b6 b7C
Name - Last: _____ First: _____ Middle: _____
Alias: _____ Sex: F DOB1: _____ DOB2: / /
SSAN1 _____ SSAN2: - - Spouse: _____
Fugitive: ☐ Yes ☒ No Driver's License #: _____ State: _____

RESIDENCE
Street Address: _____ City/State: _____ Zip: _____ Phone: _____

BUSINESS
Business Name: _____ Street Address: _____
City/State: _____ Zip: _____ Phone: _____ Business ID#: _____

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)
☐ 1. Specific Information Desired _____
☒ 2. Determine All Individuals Associated with Social Security Number(s)
☒ 3. Report Validity of Social Security Number
☐ 4. Determine Who is Associated with Telephone Number(s)
☒ 5. Determine Address of Business/Person (____ U.S. NY, _____, _____ State(s))
☐ 6. Determine Property Owned by Individual (____ U.S. _____, _____, _____ State(s))
☐ 7. Determine Who Owns Property Listed Above
☐ 8. Determine Who Resides at Address Listed Above
☐ 9. Determine Financial Background Info, i.e., Bankruptcy, Judgments, Liens, UCC filings, or Lawsuits
☐ 10. Determine Corporate Business Info, i.e., Officer, Director, Registered Agent _____ (Person/Business)
☐ 11. Customs Border Crossings / Subject query / I-94 info *(circle one)*
☐ 12. Federal Prison Inmate Information
☐ 13. Telemarketing Complaints

Reply From: FBI, Butte Information Technology Center (BITC)

Return Reply To:
SAC, New York
Attention: SA _____ (C-12)
Based on search criteria, marked records are attached: b6 b7C
☒ Possible Identifiable Records ☐ Brief Synopsis of Information Found
☐ Other Peripheral Information ☐ No Information Found

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY _____
#01-081

TOTAL P.04

(Rev. 10-31-1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 12/22/1999

To: New York **Attn:** [redacted]

From: Butte ITC
Investigative Information Services Center (IISC)
Contact: [redacted] (406) 782-2304

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 196D-NY-1774 (Pending)

Title: [redacted] IISC# 01944

Synopsis: Results of database searches conducted by IISC.

Enclosures: Attached are copies of printouts setting forth results of inquiries conducted by IISC and a Reply Form.

Details: [redacted] - Social Security Account Number (SSAN) [redacted] is associated with [redacted] This SSAN was issued during [redacted] The most recent address located for [redacted] New York. A telephone number, [redacted] is associated with [redacted] An employer, [redacted] is listed. Other peripheral information is attached.

b6
b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY [redacted] #01-081

b6
b7C

Access denied to case, NOT serialized

196D-NY-1774-791

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN - 3 2000
FBI — NEW YORK

To: New York From: Butte ITC
Re: 196D-NY-1774, 12/22/1999

LEAD (s):

Set Lead 1:

NEW YORK

AT NEW YORK

Complete and return Reply Form to Butte ITC.

♦♦

FD-809 (Rev. 12-5-96)

INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Butte Information Technology Center
400 North Main Street, Room #115
Butte, Montana 59701
▹ Commercial Telephone (406) 782-2304
▹ FTS: (406) 782-2304 FAX: (406) 782-9504, 782-9507 & 782-7418
▹ Secure FAX & STU III: (406) 782-2304, Ext. 26

b6
b7C
b7E

ITC Use Only: BITC Record #: 1944
Date/Time In: 12/21 1792 ☐ am ☐ pm
Date/Time Out: 12/22 11:00 ☐ am ☐ pm
Disk(s) Used:
1. ____ 5. [ ] 9. ____
2. ____ 6. ____ 10. ____
3. ____ 7. [ ] 11. ____
4. ____ 12. ____
Handled By: [ ]

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER
Date: 12/21/99
Forfeiture/Seizure Related: ☐ Type of Request: ☒ FAX ☐ Telcal ☐ Mail Response: ☐ Telcal ☒ Mail
Requestor: [ ] Phone #: (212) 384-3258 FAX #: 384-2745 UCFN: 196D-1774
(Requestor Name is Required) (UCFN (File #) is Required)
Office/RA: New York Precedence: ☒ ROUTINE ☐ IMMEDIATE
(Emergency/Crisis Situation)

SEARCH CRITERIA (Attach additional sheets if necessary)

b6
b7C

Name - Last: [ ] First: [ ] Middle: ____
Alias: ____ Sex: M DOB1: [ ] DOB2: / /
SSAN1: - - SSAN2: - - Spouse: ____
Fugitive: ☐ Yes ☐ No Driver's License #: ____ State: ____

RESIDENCE

Street Address: ____ City/State: ____ Zip: ____ Phone: ____

BUSINESS

Business Name: ____ Street Address: ____
City/State: ____ Zip: ____ Phone: ____ Business ID#: ____

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)

☐ 1. Specific Information Desired ____
____
☒ 2. Determine All Individuals Associated with Social Security Number(s) (NONE GIVEN)
☒ 3. Report Validity of Social Security Number
☐ 4. Determine Who is Associated with Telephone Number(s)
☒ 5. Determine Address of Business/Person (____ U.S. NY, ____, ____ State(s))
☐ 6. Determine Property Owned by Individual (____ U.S. ____, ____, ____ State(s))
☐ 7. Determine Who Owns Property Listed Above
☐ 8. Determine Who Resides at Address Listed Above
☐ 9. Determine Financial Background Info, i.e., Bankruptcy, Judgments, Liens, UCC filings, or Lawsuits
☐ 10. Determine Corporate Business Info, i.e., Officer, Director, Registered Agent ____
____ (Person/Business)
☐ 11. Customs Border Crossings / Subject query / I-94 info *(circle one)*
☐ 12. Federal Prison Inmate Information
☐ 13. Telemarketing Complaints

Reply From: FBI, Butte Information Technology Center (BITC)

Return Reply To:
SAC. New York
Attention: SA [ ] (C-12)

b6
b7C

Based on search criteria, marked records are attached:
☒ Possible Identifiable Records ☐ Brief Synopsis of Information Found
☐ Other Peripheral Information ☐ No Information Found

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [ ]

#01-081

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 12/22/1999

To: New York **Attn:** [redacted]

From: Butte ITC
Investigative Information Services Center (IISC)
Contact: [redacted] (406)782-2304

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 196D-NY-1774 (Pending)

Title: [redacted] IISC# 1943

Synopsis: Results of database searches conducted by IISC.

Enclosures: Attached are copies of printouts setting forth results of inquiries conducted by IISC and a Reply Form.

Details: [redacted] - Social Security Account Number (SSAN) [redacted] is associated with Murtha. This SSAN was issued during [redacted] The most recent address located for [redacted] New York. A telephone number [redacted] is listed and an employer [redacted] is listed for [redacted] Other peripheral information is attached.

b6
b7C

(1)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY [redacted] #01-081

b6
b7C

196D-NY-1774-792

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN - 3 2000
FBI — NEW YORK

Access Denied to case not serialized

To: New York From: Butte ITC
Re: 196D-NY-1774, 12/22/1999

LEAD (s):

Set Lead 1:

NEW YORK

AT NEW YORK

Complete and return Reply Form to Butte ITC.

♦♦

FD-809 (Rev. 12-3-98)

INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Butte Information Technology Center
400 North Main Street, Room #115
Butte, Montana 59701
▹ Commercial Telephone (406) 782-2304
▹ FTS: (406) 782-2304 FAX: (406) 782-9504, 782-9507 & 782-7418
▹ Secure FAX & STU III: (406) 782-2304, Ext. 26

b6 b7C b7E

ITC Use Only: BITC Record #: 1943
Date/Time In: 12/21 1745 ☐ am ☐ pm
Date/Time Out: 12/22 1030 ☐ am ☐ pm
Database(s) Used:
1. 2. 3. 4. 5. 6. 7. 8. 9. 10. 11. 12.
Handled By:

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER
Date: 12/21/99
Forfeiture/Seizure Related: ☐ Type of Request: ☒ FAX ☐ Telcal ☐ Mail Response: ☐ Telcal ☒ Mail
Requestor: (Requestor Name is Required) Phone #: 384-3258 (212) FAX #: 384-2745 UCFN: 196D-1774 (UCFN (File #) is Required)
Office/RA: New York Precedence: ☒ ROUTINE ☐ IMMEDIATE (Emergency/Crisis Situation)

SEARCH CRITERIA (Attach additional sheets if necessary)
Name - Last: First: Middle:
Alias: Sex: F DOB1: DOB2: / /
SSAN1: SSAN2: - - Spouse:
Fugitive: ☐ Yes ☐ No Driver's License #: State:

RESIDENCE
Street Address: City/State: Zip: Phone:

BUSINESS
Business Name: Street Address:
City/State: Zip: Phone: Business ID#:

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)
☐ 1. Specific Information Desired
☒ 2. Determine All Individuals Associated with Social Security Number(s)
☒ 3. Report Validity of Social Security Number
☐ 4. Determine Who is Associated with Telephone Number(s)
☒ 5. Determine Address of Business/Person (____ U.S. NY, _____, _____ State(s))
☐ 6. Determine Property Owned by Individual (____ U.S. ____, _____, _____ State(s))
☐ 7. Determine Who Owns Property Listed Above
☐ 8. Determine Who Resides at Address Listed Above
☐ 9. Determine Financial Background Info, i.e., Bankruptcy, Judgments, Liens, UCC filings, or Lawsuits
☐ 10. Determine Corporate Business Info, i.e., Officer, Director, Registered Agent (Person/Bu
☐ 11. Customs Border Crossings / Subject query / I-94 info *(circle one)*
☐ 12. Federal Prison Inmate Information
☐ 13. Telemarketing Complaints

Reply From: FBI, Butte Information Technology Center (BITC)

Return Reply To:
SAC, New York
Attention: SA (C-12) b6 b7C
Based on search criteria, marked records are attached:
☒ Possible Identifiable Records ☐ Brief Synopsis of Information Found
☐ Other Peripheral Information ☐ No Information Found

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
#01-081

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 12/22/1999

To: New York **Attn:** [redacted]

From: Butte ITC
Investigative Information Services Center (IISC)
Contact: [redacted] (406) 782-2304

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 196D-NY-1774 (Pending)

Title: [redacted] IISC# 1945

Synopsis: Results of database searches conducted by IISC.

Enclosures: Attached are copies of printouts setting forth results of inquiries conducted by IISC and a Reply Form.

Details: [redacted] -Social Security Account Number (SSAN) [redacted] is associated with [redacted] This SSAN was issued during [redacted] The most recent address located for [redacted] Connecticut.

b6
b7C

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

#01-081

Access Denied
to case, not
serialized

1960-NY-1774-793

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN - 3 2000
FBI — NEW YORK

To: New York From: Butte ITC
Re: 196D-NY-1774, 12/22/1999

LEAD (s):

Set Lead 1:

NEW YORK

AT NEW YORK

Complete and return Reply Form to Butte ITC.

♦♦

INVESTIGATIVE INFORMATION REQUEST FORM

FBI, Butte Information Technology Center
400 North Main Street, Room #115
Butte, Montana 59701
▹ Commercial Telephone (406) 782-2304
▹ FTS: (406) 782-2304 FAX: (406) 782-9504, 782-9507 & 782-7418
▹ Secure FAX & STU III: (406) 782-2304, Ext. 26

b6 b7C b7E

ITC Use Only: BITC Record #: 1945
Date/Time In: 12/21 / 745 ☐ am
Date/Time Out: 12/22/11:30 ☐ am
Database(s) Used:
1. _____ 5. _____ 9. _____
2. _____ 6. _____ 10. _____
3. _____ 7. _____ 11. _____
4. _____ 8. _____ 12. _____
Handled By: _____

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER
Date: 12/21/99
Forfeiture/Seizure Related: ☐ Type of Request: ☒ FAX ☐ Telcal ☐ Mail Response: ☐ Telcal ☒ Mail
Requestor: _____ Phone #: 384-3258 (212) FAX #: 384-2745 (212) UCFN: 196-1774
(Requester Name is Required) (UCFN (File #) is Req
Office/RA: New York Precedence: ☒ ROUTINE ☐ IMMEDIATE
(Emergency/Crisis Situation)

SEARCH CRITERIA (Attach additional sheets if necessary)
b6 b7C
Name - Last: _____ First: _____ Middle: _____
Alias: _____ Sex: F DOB1: _____ DOB2: ___/___
SSAN1 _____ SSAN2: ___-___-___ Spouse: _____
Fugitive: ☐ Yes ☒ No Driver's License #: _____ State: ____

RESIDENCE
Street Address: _____ City/State: _____ Zip: _____ Phone: _____

BUSINESS
Business Name: _____ Street Address: _____
City/State: _____ Zip: _____ Phone: _____ Business ID#: _____

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed)
☐ 1. Specific Information Desired _____
☒ 2. Determine All Individuals Associated with Social Security Number(s)
☒ 3. Report Validity of Social Security Number
☐ 4. Determine Who is Associated with Telephone Number(s)
☒ 5. Determine Address of Business/Person (____ U.S. NY, _____, _____ State(s))
☐ 6. Determine Property Owned by Individual (____ U.S. _____, _____, _____ State(s))
☐ 7. Determine Who Owns Property Listed Above
☐ 8. Determine Who Resides at Address Listed Above
☐ 9. Determine Financial Background Info, i.e., Bankruptcy, Judgments, Liens, UCC filings, or Lawsuits
☐ 10. Determine Corporate Business Info, i.e., Officer, Director, Registered Agent _____ (Person/Bus
☐ 11. Customs Border Crossings / Subject query / I-94 info *(circle one)*
☐ 12. Federal Prison Inmate Information
☐ 13. Telemarketing Complaints

Reply From: FBI, Butte Information Technology Center (BITC)

Return Reply To:
SAC, NEW YORK
Attention: SA _____ (C-12)
b6 b7C
Based on search criteria, marked records are attached:
☒ Possible Identifiable Records ☐ Brief Synopsis of Information Found
☐ Other Peripheral Information ☐ No Information Found

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY _____
#01-081

(5/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY **Date:** 2.4.06

To: NEW YORK **Attn:** SA [redacted] Supv

From: ADMINISTRATIVE DIVISION
OPC, A-9
Contact: STIS [redacted] X2540

Approved By: [redacted]

Drafted By: [redacted] km

b6
b7C

Case ID #: 66F-NY-10119 (PENDING)

Title: NYSPIN/NCIC VALIDATION REPLY

Synopsis: Completion of validation process..

Details: This printout in its entirety should be placed in the case file. The FD487 should be updated by the rotor, signed and dated by the Case Agent and/or Supervisor.

♦♦

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY [redacted] #01-081

b6
b7C

1968-1774-795

SEARCHED INDEXED SERIALIZED FILED

(12/31/1995).

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 1-24-00

To: NEW YORK

From: NEW YORK
OPERATIONS CENTER/SOD A-9
Contact: STIS [redacted] Ext. 2540

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] kk

Case ID #: 66F-NY-10119 (Pending)

Title: NYSPIN/NCIC VALIDATION

Synopsis: This EC seeks to ensure the accuracy of and continued necessity for, the information you have entered.

Details: Attached is a validation record regarding:

__WANTED RECORD __SECURITY __GUN

__VEHICLES __LICENSE PLATES __MISSING PERSONS

NOTE:
In fugitive matters, the Case Agent and/or squad Supervisor must ensure that the United States Attorney is contacted annually for verification of prosecution. (MIOG, PART II, 21-6)
The Case Agent contacted AUSA [redacted] ON 1/19/00 who verified the warrant.

b6
b7C

The Supervisory Special Agent and Case Agent must review the attached record and certify the accuracy of, and continued need for this entry by initialing below. Any changes may be noted where indicated.

Complete and return this document to the OPC/ICA by COB 1/31/00 in order for this office to be in compliance with NCIC policy.

PURGE RECORD __Y ✓N **MAINTAIN RECORD** ✓Y __N

DATE OF RECOVERY _____ **DATE OF ARREST** _____

DO YOU NEED TO MAKE ANY MODIFICATIONS? IF SO, PLEASE SUBMIT THE ORIGINAL FD-65 WITH THE NECESSARY CHANGES.

CASE AGENT [redacted]

SUPERVISORY SPECIAL AGENT [redacted] **NCIC PROGRAM MANAGER REVIEW** [redacted]

DATE RETURNED 2/2/2000

b6
b7C

1-66F-NY-10119 SUB. J♦♦

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
#01-081

[redacted]

WANTED PERSON VALIDATION CHECK LIST

USE THIS CHECK LIST WHEN VALIDATING WANTED PERSON RECORDS:

[ ] CHECK YOUR CASE FOLDER FOR EACH RECORD TO ENSURE THAT THE WANTED PERSON HAS NOT BEEN APPREHENDED AND THE RECORD NOT CANCELED. AN ORIGINAL OF THE WARRANT SUPPORTING THE ENTRY SHOULD BE AVAILABLE AT ALL TIMES FOR "HIT" CONFIRMATION.

[ ] CONTACT THE COURT OR COURT CLERK FOR EACH RECORD TO ENSURE THAT THE DEFENDANT HAS NOT APPEARED BEFORE THE COURT OR THE WARRANT VACATED WITHOUT YOUR KNOWLEDGE.

[X] INQUIRE AND OBTAIN A COPY OF THE RECORD AS IT APPEARS ON NYSPIN (AND NCIC). REVIEW EACH RECORD TO ENSURE THAT ALL FIELDS ARE COMPLETED IF DATA IS AVAILABLE (PACK THE RECORD).

[ ] IF THE NY SID NUMBER IS NOT COMPLETED, PERFORM A TYPE 1 CRIMINAL HISTORY INQUIRY UTILIZING THE "HINQ" SCREEN FORMAT. IF A SUSPECT "RAP" SHEET IS IDENTIFIED, CONFIRM YOUR WANTED PERSON IS IDENTICAL TO THE PERSON IN THE "RAP" SHEET AND THEN UPDATE (MODIFY OR SUPPLEMENTAL ENTRY) YOUR RECORD TO INCLUDE THE NY SID NUMBER, FBI NUMBER, ADDITIONAL A.K.A.'S AND ADDITIONAL NUMERIC IDENTIFIERS (SOC, DOB, MNU, ETC.). THE MORE COMPLETE THE RECORD, THE GREATER THE CHANCES THE WANTED PERSON WILL BE LOCATED AND THE EASIER IT WILL BE TO CONFIRM THE "HIT".

[ ] MODIFY RECORD OR APPEND SUPPLEMENTAL INFORMATION AS NECESSARY AND ATTACH COPY OF UPDATE MESSAGE TO ORIGINAL MESSAGE IN TERMINAL MESSAGE FILE.

ANY QUESTIONS SHOULD BE DIRECTED TO STCO [redacted] X3915 OR STIS [redacted] X2540

b6
b7C

NEW YORK STATE POLICE
WANTED RECORDS AS OF 4AM 01-15-00

WANTED PERSONS VALIDATION
VALIDATION CONTROL NUMBER = [redacted]

PAGE 1
01/15/00

VALIDATION INSTRUCTIONS: FROM THE NYSPIN ENFORCER MENU BAR, CLICK ON <MENU>, CLICK ON <ADMINISTRATIVE FUNCTIONS>, CLICK ON <VALIDATION AND QUALITY CONTROL>, CLICK ON <QVO2 - INSTRUCTIONS/CHECK LIST FOR VALIDATION (LTR)> OR TYPE (SOH)QVO2(XMIT)

b6
b7C

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
							KFBC						
W	RICH,MARC	M	W	121834	496343075	NYFBINY00	KFBC5851	302454	2608			83304	

(MIS)-> TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774 [redacted] C12

555

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

2/21/01

KFBD WTST
ORI/.* .NAM/RICH,MARC
.SEX/M.RAC/U.DOB/12181934.
INQ/*.MIS/ICA [redacted]

N 2323-2323 01/24/00 ROSQ# KFBD 04634 RDSQ# 01417336 PART 001 OF 001
NYSP WTST KFBD 2323
NYFBINY03
NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834

b6
b7C

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON
ORI/NYFBINY00 - NEW YORK CITY US FBI 212-384-1000
NAM/RICH,MARC SEX/M RAC/W DOB/121834
SID/. CCH/. SOC/496343075 POB/BG
HGT/510 WGT/180 EYE/. HAI/XXX SKN/.
LCD/ LCT/F OFF/2608 FRAUD BY WIRE-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC5851 WAR/. DKT/. DOW/091983
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774 [redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0302454

[redacted]ED /
ALL INFORMATION CO[redacted]
HEREIN IS UNCLASSI[redacted] BY
DATE 2/21/01

#01-081

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 3.21.00

To: NEW YORK Attn: SA

[redacted]

C3

From: ADMINISTRATIVE DIVISION
OPC, A-9
Contact: STIS [redacted] X2540

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] km

Case ID #: 66F-NY-10119 (PENDING)

Title: NYSPIN/NCIC VALIDATION REPLY

Synopsis: Completion of validation process.

Details: This printout in its entirety should be placed in the case file. The FD487 should be updated by the rotor, signed and dated by the Case Agent and/or Supervisor.

♦♦

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
01-081

b6
b7C

196-1174-796

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAR 21 2000
FBI — NEW YORK

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 3/2/00

To: NEW YORK

From: NEW YORK
OPERATIONS CENTER/SOD A-9
Contact: STIS [redacted] Ext. 2540

C3

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 66F-NY-10119 (Pending)

Title: NYSPIN/NCIC VALIDATION

Synopsis: This EC seeks to ensure the accuracy of and continued necessity for, the information you have entered.

Details: Attached is a validation record regarding:

X WANTED RECORD __SECURITY __GUN

__VEHICLES __LICENSE PLATES __MISSING PERSONS

NOTE:
In fugitive matters, the Case Agent and/or squad Supervisor must ensure that the United States Attorney is contacted annually for verification of prosecution. (MIOG, PART II, 21-6)
The Case Agent contacted AUSA [redacted] ON 2/22/00 who verified the warrant.

b6
b7C

The Supervisory Special Agent and Case Agent must review the attached record and certify the accuracy of, and continued need for this entry by initialing below. Any changes may be noted where indicated.

Complete and return this document to the OPC/ICA by COB 3/20/00 in order for this office to be in compliance with NCIC policy.

PURGE RECORD __Y __N **MAINTAIN RECORD** X Y __N

DATE OF RECOVERY _____ **DATE OF ARREST** _____

DO YOU NEED TO MAKE ANY MODIFICATIONS? IF SO, PLEASE SUBMIT THE ORIGINAL FD-65 WITH THE NECESSARY CHANGES.

CASE AGENT [redacted]

b6
b7C

SUPERVISORY SPECIAL AGENT [redacted] **NCIC PROGRAM MANAGER REVIEW** [redacted]

DATE RETURNED 3/15/00

1- 66F-NY-10119 SUB. J♦♦

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

#01-081

WANTED PERSON VALIDATION CHECK LIST

USE THIS CHECK LIST WHEN VALIDATING WANTED PERSON RECORDS:

[ ] CHECK YOUR CASE FOLDER FOR EACH RECORD TO ENSURE THAT THE WANTED PERSON HAS NOT BEEN APPREHENDED AND THE RECORD NOT CANCELED. AN ORIGINAL OF THE WARRANT SUPPORTING THE ENTRY SHOULD BE AVAILABLE AT ALL TIMES FOR "HIT" CONFIRMATION.

[ ] CONTACT THE COURT OR COURT CLERK FOR EACH RECORD TO ENSURE THAT THE DEFENDANT HAS NOT APPEARED BEFORE THE COURT OR THE WARRANT VACATED WITHOUT YOUR KNOWLEDGE.

[X] INQUIRE AND OBTAIN A COPY OF THE RECORD AS IT APPEARS ON NYSPIN (AND NCIC). REVIEW EACH RECORD TO ENSURE THAT ALL FIELDS ARE COMPLETED IF DATA IS AVAILABLE (PACK THE RECORD).

[ ] IF THE NY SID NUMBER IS NOT COMPLETED, PERFORM A TYPE 1 CRIMINAL HISTORY INQUIRY UTILIZING THE "HINQ" SCREEN FORMAT. IF A SUSPECT "RAP" SHEET IS IDENTIFIED, CONFIRM YOUR WANTED PERSON IS IDENTICAL TO THE PERSON IN THE "RAP" SHEET AND THEN UPDATE (MODIFY OR SUPPLEMENTAL ENTRY) YOUR RECORD TO INCLUDE THE NY SID NUMBER, FBI NUMBER, ADDITIONAL A.K.A.'S AND ADDITIONAL NUMERIC IDENTIFIERS (SOC, DOB, MNU, ETC.). THE MORE COMPLETE THE RECORD, THE GREATER THE CHANCES THE WANTED PERSON WILL BE LOCATED AND THE EASIER IT WILL BE TO CONFIRM THE "HIT".

[ ] MODIFY RECORD OR APPEND SUPPLEMENTAL INFORMATION AS NECESSARY AND ATTACH COPY OF UPDATE MESSAGE TO ORIGINAL MESSAGE IN TERMINAL MESSAGE FILE.

ANY QUESTIONS SHOULD BE DIRECTED TO STCO [redacted] X3915 OR STIS [redacted] X2540

b6
b7C

4

NEW YORK STATE POLICE
WANTED RECORDS AS OF 4AM 03-04-00

WANTED PERSONS VALIDATION
VALIDATION CONTROL NUMBER - [redacted]

PAGE 1
03/04/00

VALIDATION INSTRUCTIONS: FROM THE NYSPIN ENFORCER MENU BAR, CLICK ON <MENU>, CLICK ON <ADMINISTRATIVE FUNCTIONS>, CLICK ON <VALIDATION AND QUALITY CONTROL>, CLICK ON <QV02 - INSTRUCTIONS/CHECK LIST FOR VALIDATION (LIR)> OR TYPE (SOH)QV02(XMIT)

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b7C

MKE	NAME	SX	RC	DOB	SOC	ORI	OCA	WPR	OFF	SID	FBI	DOE	TOE
							KFBC[redacted]						
W	GREEN,RINCUS	M	W	[redacted]		NYFBINY00	KFBC06671	307502	2699			83339	

(MIS)-> MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] +2 C3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASS[redacted]
DATE 2/21/01 B[redacted]
2/21/01

518

KFBI WTST
ORI/.* .NAM/GREEN.PINCUS
.SEX/M.RAC/U.DOB/[redacted]
INQ/*.MIS/ICA[redacted]

N 0932-0932 03/12/00 ROSQ# KFBI 48325 RDSQ# 03186648 PART 001 OF 001
NYSP WTST KFBI 0933
NYFBINY01
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]

CONFIRM RECORD WITH ORIGINATOR

b6
b7C

MKE/WANTED PERSON
ORI/NYFBINY00 - NEW YORK CITY US FBI 212-384-1000
NAM/GREEN,PINCUS SEX/M RAC/W DOB/[redacted]
SID/. CCH/. SOC/[redacted] POB/NY
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC06671 WAR/. DKT/. DOW/091983
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774[redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0307502

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/21/01 BY

01-081

KFBI WTST
ORI/ * .NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]
INQ/*.MIS/ICA[redacted]

N 0932-0932 03/12/00 ROSQ# KFBI 48325 RDSQ# 03186648 PART 001 OF 001
NYSP WTST KFBI 0933
NYFBINY01
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB[redacted]

CONFIRM RECORD WITH ORIGINATOR

b6
b7C

MKE/WANTED PERSON
ORI/NYFBINY00 - NEW YORK CITY US FBI 212-384-1000
NAM/GREEN,PINCUS SEX/M RAC/W DOB/[redacted]
SID/. CCH/. SOC/[redacted] POB/NY
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC06671 WAR/. DKT/. DOW/091983
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774[redacted]C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/[redacted] 2/22/00
WPR/0307502

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
H 01-081

Memorandum

13 November 2000

To : [redacted]
Legal Attache

b6
b7C

From : [redacted]
Assistant Legal Attache

Subject: Marc Rich
196-NY-1774

On 11/10/00, writer met with [redacted] who provided the following:

b6
b7C
b7D

The [redacted]

The [redacted]

b6
b7C
b7D

Twixtel records confirms that the above [redacted]

Rec + A
11-14-00

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSI[redacted]
DATE 2/21/01 BY [redacted]
#01-081

798

196-NY-1774

196-NY-1774

SEARCHED INDEXED
SERIALIZED FILED

NOV 14 2000

HE - BERN

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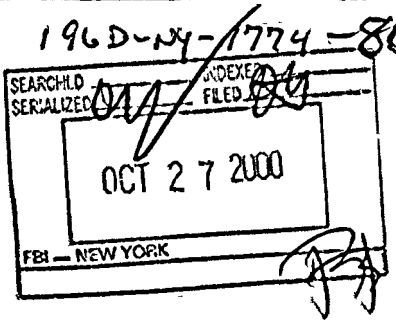
334 GJA 07.mem

per Herb

Rich Marc
Kleinnaumatt 9
6045 Meggen LU

041 / 377 32 80

196D-NY-1774-800



Sub Fug 2

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY **Date:** 03/29/2001

To: Criminal Investigative **Attn:** Financial Crimes Section, Economic Crimes Unit

Investigative Services **Attn:** IOU1 SSA [redacted]
New York **Attn:** SA [redacted]
Squad C-3

Bern **Attn:** Legat [redacted] b6 b7C

From: London
Contact: ALAT [redacted] 011-44-207-408-8078

Approved By: [redacted]

Drafted By: [redacted] :krm

Case ID #: NY 196A-1774 (Pending) - 835

Title: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW - MF
RICO INCOME TAX EVASION TRADING WITH THE ENEMY

Synopsis: To provide information received by Legat London from [redacted] b7D 1,2

Reference: NY 196A-1774 Serial 831
192H-HQ-1020651-D Serial 22
192h-HQ-1020651-D Serial 24

Enclosure(s): Enclosed for New York is a copy of a report provided to Legat London from [redacted] b7D

Details: For the information of New York, the enclosed report from [redacted] b6 b7C b7D

[redacted]

196A-NY-1774-835

SEARCHED INDEXED
SERIALIZED FILED
MAY 3 2001
FBI — NEW YORK

b6
b7C
b7D

According to

b6
b7C
b7D

Once

b6
b7C
b7D

b6
b7C
b7D

Information obtained by

Included in the enclosed documents is the [redacted]

[redacted]

b6
b7C
b7D

On 3/9/01, [redacted]

[redacted]

As of 3/28/01, [redacted]

[redacted]

LEAD(s):

Set Lead 1:

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

For information. Read and clear.

Set Lead 2:

INVESTIGATIVE SERVICES

AT WASHINGTON, DC

For information. Read and clear.

Set Lead 3:

NEW YORK

AT NEW YORK, NY

Will notify London if additional investigation is required at London.

♦♦

THE TIMES e-services times appointments
software
THE TIMES
HOME BRITISH NEWS WORLD NEWS SPORT BUSINESS COMMENT FEATURES SPECIALS

Marc Rich and his current wife, Gisela, last year

MONDAY MARCH 26 2001

Fugitive billionaire's $2m cash seized at Gatwick

BY STEWART TENDLER, CRIME CORRESPONDENT

CUSTOMS officers have seized nearly $2 million (£1.4 million) in cash after it was flown into Britain on behalf of. Marc Rich, the fugitive billionaire pardoned by Bill Clinton.

Mr Rich, whose presidential pardon is under investigation by the FBI, now has to prove that the cash was honestly acquired, or he could lose it. Investigators are holding the cash under powers aimed at preventing drug traffickers moving their profits from country to country.

The cash was found by customs officers this month when a courier for a British security company flew into Gatwick Airport from Europe. The company and courier were hired to transport a high-security package in a case and were un-aware it contained cash. The courier was stopped by customs officers and the case was searched. Officers seized the $1.9 million under legislation covering suspected cash from drug trafficking.

The legislation allows customs officers to hold sums of £10,000 or more from travellers. They act if they have reasonable grounds to suspect the money is directly or indirectly linked to drug trafficking or will be used for further

drug trafficking.

The cash can be held for 48 hours, and if customs officers are still not satisfied that the money is legitimate a magistrate can give them powers to hold it for up to three months.

Customs investigators are understood to have been given permission by a magistrates' court to continue to hold Mr Rich's cash. If Mr Rich can show the source and the purpose of the cash is legitimate, customs officers will have to hand it back to him.

Yesterday a spokesman for Customs and Excise said: "We cannot discuss individual cases of cash seizures." The spokesman added that no one of Mr Rich's name had been charged with any offence.

Mr Rich has a company in London called Marc Rich Investment Ltd in Redan Place, Bayswater, West London. Yesterday a senior member of staff said that he was unaware of the seizure and referred any queries to senior executives in Zug in Switzerland, which is the home of Mr Rich's private company.

Mr Rich is based in Zug in a closely guarded home. For the past 17 years he has been facing 65 charges that include racketeering, evading $48 million in taxes and trading with Iran during the US Embassy hostages crisis in the early 1980s. In January he and his partner, Pincus Greene, were pardoned in one of the last acts of the Clinton presidency. The move caused outrage, although Mr Rich praised it as "humanitarian".

Mr Rich's former wife, Denise, a socialite and songwriter, contributed more than $1 million to Democratic Party funds, and the circumstances of the pardon are now under investigation. Ehud Barak, the former Israeli Prime Minister, had also interceded on Mr Rich's behalf.

Once described as "a beautifully sinister executive who could frame deals with the artistry of a pool shark". Mr Rich, 66, was born in Belgium and is said to have amassed more than £1 billion. He is reputed to have traded commodities with "rogue" countries, often led by leaders few others would deal with. He was accused of illegal dealing with Iran under Ayatollah Khomenei and also dealt with Iraq, Libya, North Korea and Cuba.

In 1983 he fled to Switzerland from his $10 million flat in

New York and renounced his American citizenship just before he was indicted for tax evasion, fraud and other charges. He took out Spanish and Israeli citizenship.

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HELP CROSSWORD SEARCH CONTACT US TERMS & CONDITIONS

196D-1774-855

SEARCHED
SERIALIZED
FBI — NEW YORK

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/24/2001

To: New York **Attn:** Operations Center

From: New York
C-3
Contact: SA [redacted] x3258

Approved By: [redacted] b6 b7C

Drafted By: [redacted]

Case ID #: 196A-NY-1774 (Pending)

Title: MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;

Synopsis: It is requested that both subjects be removed from NCIC.

Details: It is requested that MARC RICH born 12/18/34 and PINCUS GREEN born [redacted] be removed from NCIC since both have received a Presidential pardon from President Clinton. b6 b7C

♦♦

NCIC			
ENTER			
MODIFY			
CLEAR	X	RB	Cm
CANCEL			
LOCATE			
DATE 1/24/01	CIC# W321531799		

cleared 1/24/01

KFBI WTST
ORI/.* .NAM/RICH,MARC
.SEX/M.RAC/U.DOB/12181934.
INQ/*.MIS/

N 1242-1242 01/24/01 ROSQ# KFBI 10726 RDSQ# 04321513 PART 001 OF 001
NYSP WTST KFBI 1243
NYFBINY01
NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON
ORI/NYFBINY00 - NEW YORK CITY US FBI 212-384-1000
NAM/RICH,MARC SEX/M RAC/W DOB/121834
SID/. CCH/. SOC/496343075 POB/BG
HGT/510 WGT/180 EYE/. HAI/XXX SKN/.
LCD/ LCT/F OFF/2608 FRAUD BY WIRE-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
DRCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC5851 WAR/. DKT/. DOW/091983
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-177[redacted] C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0302454

b6
b7C

N 1242-1242 01/24/01 ROSQ# KFBI 10727 RDSQ# 04321530 PART 001 OF 001
NYSP PARQ KFBI 1243
NYFBINY01
NO RECORD - NYSPIN PAROLE FILE
NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834

N 1242-1243 01/24/01 ROSQ# KFBI 10728 RDSQ# 04321598 PART 001 OF 001
NCIC WTST KFBI 1243
NYFBINY01

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/509 WGT/160 EYE/BR0 HAI/BLK
MNU/PP-Z30450402 SOC/496343075
OFF/FRAUD
DOW/19830919 OCA/92I2NY031
MIS/MAIL & WIRE FRAUD/ARMS TRADING/TAX EVASI0N/CUST0MS WILL EXTR 24HRS CALL
MIS/407-975-1740/SDNY WN-83CR579/CN-NY06SR94NY0020
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK 800 973-2867
AKA/BERK0VICH,EYAL
MNU/PI-182540351
NIC/W318412622 DTE/19830920 0000 EDT
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/510 WGT/180 EYE/XXX HAI/XXX
SOC/496343075
OFF/FRAUD - BY WIRE
DOW/19830919 OCA/KFBC5851
MIS/TAX EVA9I0N IF ENC0UNTERED N0TIFY WILL EXTR 196B-1774 [redacted] C12
ORI IS FBI NEW YORK 212 384-1000
NIC/W321531799 DTE/19831031 0000 EST

b6
b7C

KFBC WCAN
00002 FILE 5 FBI NEW YORK OFFICE NYFBINY00 JAN 24-01 CANCEL
TO APB

VOID

WANTED PERSON CANCELLATION
:MKE/*:ORI/NYFBINY00:NAM/RICH,MARC
:OCA/KFBC5851 :RSN/Z.

ADDITIONAL COMMENTS

b6
b7C

AUTH SA [redacted] MAINOR 15-12 PM

E 1513-1513 01/24/01 ROSQ# KFBC 00578 RDSQ# 04803597 PART 001 OF 001
NYSP WCAN KFBC
INPUT SEQUENCE NUMBER 00002 HAS BEEN CORRECTED TO 00027

N 1513-1513 01/24/01 ROSQ# KFBC 00579 RDSQ# 04803603 PART 001 OF 001
NYSP KFBC
ACK SEQ NUM 00027
WCAN NAM/RICH,MARC OCA/KFBC5851
WPR/0302454

N 1513-1513 01/24/01 ROSQ# KFBC 00580 RDSQ# 04803689 PART 001 OF 001
NYIS WCAN KFBC
ACK 00027
NYFBINY00

DCJS & NCIC CANCEL RESULTS

CANCELLED FROM DCJS FILE ONLY
NAM/RICH,MARC OCA/KFBC5851 WPR/0302454

NCIC UNAVAILABLE . CANCEL WILL BE MADE WHEN NCIC BECOMES AVAILABLE.

KFBC WTST
ORI/.* .NAM/RICH,MARC
.SEX/M.RAC/U.DOB/12181934.
INQ/*.MIS/

N 1514-1514 01/24/01 ROSQ# KFBC 00581 RDSQ# 04808392 PART 001 OF 001
NYSP WTST KFBC 1514
NYFBINY00
NO RECORD - NYSPIN WANTED PERSONS FILE
NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834

N 1514-1514 01/24/01 ROSQ# KFBC 00582 RDSQ# 04808402 PART 001 OF 001
NYSP PARQ KFBC 1514
NYFBINY00
NO RECORD - NYSPIN PAROLE FILE
NAM/RICH,MARC
.SEX/M.RAC/U.DOB/121834

N 1514-1514 01/24/01 ROSQ# KFBC 00583 RDSQ# 04808982 PART 001 OF 001
NCIC WTST KFBC 1514
NYFBINY00

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/509 WGT/160 EYE/BR0 HAI/BLK
MNU/PP-Z30450402 SOC/496343075
OFF/FRAUD
DOW/19830919 OCA/92I2NY031
MIS/MAIL & WIRE FRAUD/ARMS TRADING/TAX EVASI0N/CUST0MS WILL EXTR 24HRS CALL
MIS/407-975-1740/SDNY WN-83CR579/CN-NY06SR94NY0020
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK 800 973-2867
AKA/BERK0VICH,EYAL
MNU/PI-182540351
NIC/W318412622 DTE/19830920 0000 EDT
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/510 WGT/180 EYE/XXX HAI/XXX.
SOC/496343075
OFF/FRAUD - BY WIRE
DOW/19830919 OCA/KFBC5851
MIS/TAX EVASI0N IF ENC0UNTERED N0TIFY WILL EXTR 196B-1774 [redacted] C12
ORI IS FBI NEW YORK 212 384-1000
NIC/W321531799 DTE/19831031 0000 EST

b6
b7C

N 1627-1627.01/24/01 ROSQ# KFBC 00585 RDSQ# 05048521 PART 001 OF 001
NYIS WCAN KFBC
ACK 00027
NYFBINY00

DCJS & NCIC CANCEL RESULTS

NCIC NOW AVAILABLE AND RECORD CANCELLED
NAM/RICH,MARC DCA/KFBC5851

RECORD WPR/0302454 PREVIOUSLY CANCELLED FROM THE DCJS FILE.

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 06/25/2002

To: New York

From: New York
C-3
Contact: [redacted] x2808

Approved By: [redacted] b6 b7C

Drafted By: [redacted]

Case ID #: 196D-NY-257685 (Pending)
196A-NY-1774

Title: Transfer of Files

Synopsis: See Below

Details: It is requested that the following files be reassigned to SA [redacted] b6 b7C

The following files are:

196D-NY-257685
196D-NY-257685 GJ

196A-NY-1774 B
196A-NY-1774 C
196A-NY-1774 FUG 1
196A-NY-1774 FUG 2

♦♦

[redacted] b6 b7C

196D-1774-856

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JUN 25 2002
FBI — NEW YORK

to SA [redacted]

6/25/02

Marc Rich EC.wpd

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 08/26/2002

To: New York

From: New York
C-3
Contact: SA [redacted], ext. 3582

Approved By: [redacted]

Drafted By: [redacted] rc

b6
b7C

Case ID #: 196A-NY-1774 (Pending)

Title: MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW, MF, RICO, INCOME TAX EVASION
TRADING WITH THE ENEMY

8
RC

Synopsis: It is requested that the case be closed.

Details: In approximately January 2001, this case was to be closed as a result of the above mentioned subjects receiving their pardons. The closing of the case was approved by Assistant United States Attorney [redacted] of the Southern District of New York. The paperwork was submitted documenting the closing, however, the case was never officially closed.

b6
b7C

Therefore, it is requested that the above mentioned case and all its sub files be officially closed at this time.

♦♦

[redacted]

CLOSE ON THIS
8/27/02

196A-1774-857

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

AUG 27 2002

FBI — NEW YORK

document not uploaded

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/24/2001

To: New York **Attn:** Operations Center

From: New York
C-3
Contact: SA [redacted] x3258

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 196A-NY-1774 (Pending)

Title: MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;

Synopsis: It is requested that both subjects be removed from NCIC.

Details: It is requested that MARC RICH born 12/18/34 and PINCUS GREEN born [redacted] be removed from NCIC since both have received a Presidential pardon from President Clinton.

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b7C

♦♦

NCIC			
ENTER			
MODIFY			
CLEAR	X		
CANCEL			
LOCATE			

DATE 1/24/01 NCIC W32 4077114

[redacted]

b6
b7C

196A-NY-1774-858

SERIALIZED MCO MCO

N 1627-1627 01/24/01 ROSQ# KFBC 00584 RDSQ# 05048363 PART 001 OF 001
NYIS WCAN KFBC
ACK 00026
NYFBINY00

DCJS & NCIC CANCEL RESULTS

NCIC NOW AVAILABLE AND RECORD CANCELLED
NAM/GREEN,PINCUS DCA/KFBC06671

RECORD WPR/0307502 PREVIOUSLY CANCELLED FROM THE DCJS FILE.

KFBI WTST
ORI/.* .NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/03111934.
INQ/*/MIS/

N 1243-1243 01/24/01 ROSQ# KFBI 10730 RDSQ# 04324156 PART 001 OF 001
NYSP WTST KFBI 1244
NYFBINY01
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]

CONFIRM RECORD WITH ORIGINATOR

b6
b7C

MKE/WANTED PERSON
ORI/NYFBINY00 - NEW YORK CITY US FBI 212-384-1000
NAM/GREEN,PINCUS SEX/M RAC/W DOB[redacted]
SID/. CCH/. SOC/[redacted] POB/NY
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
RCV/0 PFL/0 VIC/0 RSP/.
OCA/KFBC06671 WAR/. DKT/. DOW/091983
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774[redacted]C12
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/0307502

N 1243-1244 01/24/01 ROSQ# KFBI 10731 RDSQ# 04324171 PART 001 OF 001
NYSP PARQ KFBI 1244
NYFBINY01
NO RECORD - NYSPIN PAROLE FILE
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]

b6
b7C

N 1244-1244 01/24/01 ROSQ# KFBI 10732 RDSQ# 04324288 PART 001 OF 001
NCIC WTST KFBI 1244
NYFBINY01

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted]
HGT/510 WGT/180 EYE/GRN HAI/GRY
MNU/PP-24229195 SOC/[redacted]
OFF/FRAUD
DOW/19830919 OCA/92I2NY032
MIS/WIRE & MAIL FRAUD/ARMS TRADER/RACKETEERING CONSP/CUSTOMS WILL EXTR 24 HRS
MIS/407-975-1740/SDNY WN-83CR0579/CN-NY06SR94NY0021
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK 800 973-2867
MNU/PP-C2195283
MNU/PP-381982
MNU/PP-6578811183
MNU/PP-110334

b6
b7C

NIC/W318619755 DTE/19830922 0000 EDT
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted]
HGT/510 WGT/180 EYE/XXX HAI/GRY
SOC/[redacted]
OFF/FRAUD
DOW/19830919 OCA/KFBC06671
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774[redacted]C12
ORI IS FBI NEW YORK 212 384-1000
NIC/W324077114 DTE/19831205 0000 EST

KFBC WCAN
00001 FILE 5 FBI NEW YORK OFFICE NYFBINY00 JAN 24-01 CANCEL
TO APB

VOID

WANTED PERSON CANCELLATION
:MKE/*:ORI/NYFBINY00:NAM/GREEN,PINCUS
:OCA/KFBC06671 :RSN/Z.

ADDITIONAL COMMENTS

b6
b7C

AUTH SA [redacted] MAINOR 15-10 PM

E 1510-1510 01/24/01 ROSQ# KFBC 00572 RDSQ# 04795616 PART 001 OF 001
NYSP WCAN KFBC
INPUT SEQUENCE NUMBER 00001 HAS BEEN CORRECTED TO 00026

N 1510-1510 01/24/01 ROSQ# KFBC 00573 RDSQ# 04795629 PART 001 OF 001
NYSP KFBC
ACK SEQ NUM 00026
WCAN NAM/GREEN,PINCUS OCA/KFBC06671
WPR/0307502

N 1510-1510 01/24/01 ROSQ# KFBC 00574 RDSQ# 04795747 PART 001 OF 001
NYIS WCAN KFBC
ACK 00026
NYFBINY00

DCJS & NCIC CANCEL RESULTS

CANCELLED FROM DCJS FILE ONLY
NAM/GREEN,PINCUS OCA/KFBC06671 WPR/0307502

NCIC UNAVAILABLE . CANCEL WILL BE MADE WHEN NCIC BECOMES AVAILABLE.

KFBC WTST
ORI/.* .NAM/GREEN.PINCUS
.SEX/M.RAC/U.DOB/[redacted] b6
INQ/*.MIS/ b7C

N 1511-1511 01/24/01 ROSQ# KFBC 00575 RDSQ# 04798707 PART 001 OF 001
NYSP WTST KFBC 1512
NYFBINY00
NO RECORD - NYSPIN WANTED PERSONS FILE
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted]

N 1511-1511 01/24/01 ROSQ# KFBC 00576 RDSQ# 04798715 PART 001 OF 001
NYSP PARQ KFBC 1512
NYFBINY00
NO RECORD - NYSPIN PAROLE FILE
NAM/GREEN,PINCUS
.SEX/M.RAC/U.DOB/[redacted] b6 b7C

N 1511-1511 01/24/01 ROSQ# KFBC 00577 RDSQ# 04798761 PART 001 OF 001
NCIC WTST KFBC 1512
NYFBINY00

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted]
HGT/510 WGT/180 EYE/GRN HAI/GRY
MNU/PP-24229195 SOC/[redacted]
OFF/FRAUD
DOW/19830919 OCA/92I2NY032
MIS/WIRE & MAIL FRAUD/ARMS TRADER/RACKETEERING CONSP/CUSTOMS WILL EXTR 24 HRS
MIS/407-975-1740/SDNY WN-83CR0579/CN-NY06SR94NY0021
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK 800 973-2867
MNU/PP-C2195283
MNU/PP-381982
MNU/PP-6578811183 b6
MNU/PP-110334 b7C
NIC/W318619759 DTE/19830922 0000 EDT
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/GREEN,PINCUS SEX/M RAC/W POB/NY DOB/[redacted]
HGT/510 WGT/180 EYE/XXX HAI/GRY
SOC/[redacted]
OFF/FRAUD
DOW/19830919 OCA/KFBC06671
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [redacted] C12
ORI IS FBI NEW YORK 212 384-1000
NIC/W324077114 DTE/19831205 0000 EST

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 39
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Page 4 ~ Referral/Consult;
Page 5 ~ Referral/Consult;
Page 6 ~ Referral/Consult;
Page 7 ~ Referral/Consult;
Page 8 ~ Referral/Consult;
Page 9 ~ Referral/Consult;
Page 10 ~ Referral/Consult;
Page 11 ~ Referral/Consult;
Page 12 ~ Referral/Consult;
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Page 92 ~ b6; b7C; b7E;
Page 93 ~ b6; b7C; b7E;
Page 94 ~ b6; b7C; b7E;
Page 95 ~ b6; b7C; b7E;
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Page 102 ~ b6; b7C; b7E;
Page 103 ~ b6; b7C; b7E;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
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DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: [redacted] b6 b7C

Indices Search Slip
FD-160 (Rev. 4-29-87)

~~SECRET~~

To: Office Services Manager

Date 7/21/89

Requested	Squad	Extension	File No.
SA [redacted]	C-9	6292	196A-1563

b6 b7C

Social Security Account # [redacted] (S) (U)

Aliases dba [redacted]

Address [redacted] WDC

Birth Date	Birthplace	Race	Sex
		W	☐ Male ☒ Female

☐ Exact Spelling ☐ Main Criminal Case Files Only ☐ Restrict Locality of

☒ All References ☐ Criminal References Only

☐ Main Security Case Files Only ☐ Main Security *(If no Main, list all Security References)* Check WFO, AX & Balt. indices

☐ Security References Only ☐ Main Criminal *(If no Main, list all Criminal References)*

☒ **General Indices:** ☐ NEG ☒ POS [redacted] Searched by	8-21-89 Date	☒ **ISIS:** ☐ NEG ☒ POS Searched by	8-9-89 Date
☒ **Confidential Indices:** ☐ NEG ☐ POS Searched by	8/29/89 Date	☐ **OCIS:** ☐ NEG ☐ POS Searched by	Date
☒ **ELSUR Indices:** ☒ NEG ☐ POS BAD Searched by	7-28-89 Date	☐ **IIS:** ☐ NEG ☐ POS Searched by	Date
☒ **E** [redacted] ☐ POS Searched by AX, BA & WF	7/21/89 Date		

File & Serial Number	Remarks
	~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~ b6 b7C
	CWR CONFIDENTIAL INDICES SEARCH SEARCHED BY [redacted] DATE: 8/29/89 ☒ NEGATIVE RESULTS ☐ POSITIVE RESULTS (REVIEW INFO. AT CWR)

File & Serial Number	Remarks
	CLASSIFIED BY [redacted] REASON: 1.5 (c) DECLASSIFY ON: X 1
	ELSUR INDICES SEARCH SEARCHED BY: BAD DATE: 7-28-89 ☒ ☐ (Subject) (ATT.) (Review of ELSUR 50. AT CWR)

Consolidated by _____ Date _____

Reviewed by _____ Date _____

File Review Symbols
I - Identical ? - Not identifiable
NI - Not identical U - Unavailable reference

~~SECRET~~

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2-27-91~~ [redacted] b6 b7C

196A-1563 Sub A 1X1

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JUL 24 1989
FBI WASH. METRO FIELD OFFICE
[redacted]

FBI/DOJ

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: [redacted]

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[redacted]

b6
b7C

[redacted]

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JEB*MP245-201*172
[redacted]*SA89-250*6844
[redacted]SU149-270 SB 02*21

b6
b7C

[redacted] DOES NOT EXIST IN ALL-NAMES AS SPECIFIED

[redacted] DOES NOT EXIST IN ALL-NAMES AS SPECIFIED

[redacted] DOES NOT EXIST IN ALL-NAMES AS SPECIFIED

[redacted] DOES NOT EXIST IN ALL-NAMES AS SPECIFIED

*** END OF LISTING ***

~~SECRET~~

Processed 9-6-89
Initialled [illegible]

~~CLASSIFIED BY [redacted] 3/27/01~~
~~REASON [illegible] (C)~~
~~DE[illegible]~~

~~ALL INFORMATION CONTAINED~~
~~HEREIN IS UNCLASSIFIED~~
~~DATE 2-27-07 BY~~ [redacted]

b6
b7C

~~SECRET~~

LST-NAME..... FIRST-NAME..... /MIDDLE-NAME. DATA-FILE.....

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: [redacted]

[redacted] (S) HIRIG*KC60-626 E*1E

(U) *** END OF LISTING ***

b6
b7C

~~SECRET~~

~~CLASSIFIED BY~~ [redacted] 5/23/01
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X 1~~

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT OTHERWISE~~

ISIS
Processed 9-6-89
Initialed [illegible]

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2-27-01 BY~~ [redacted]

b6
b7C

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

FD-36 (Rev. 8-28-85)

~~SECRET~~

b6
b7C

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 8/16/89

TO : DIRECTOR, FBI (HANDCARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARK RICH-FUGITIVE (B);
PINCUS GREEN-FUGUTIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified "~~SECRET~~" ~~in its entirety~~.

RE meeting between WMFO SA [redacted] and FBIHQ SSA [redacted], 7/27/89; WMFO Teletype to Director, 7/28/89; WMFO Airtel to FBIHQ, 8/4/89; and Telcall between SA [redacted] and SSA [redacted] 8/15/89. b6 b7C

Referenced Teletype and Airtel noted that a reliable and sensitive [redacted] source and [redacted]

[redacted] b6 b7C b7D b7F b7E

[redacted] (S) (U)

Since 6/28/89, a reliable and sensitive [redacted] source (S) (U)

2-Bureau
2-WMFO
WM:tll
(4)

b6
b7C

1 CC to SAC Gow.

SSA
SA

196A-1563 Sub A-2

2-27-01
CLASSIFIED BY: [redacted]
REASON: 1.5 (2)
DECLASSIFY ON: X 1

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
AUG 16 1989
FBI - WMFO

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

~~SECRET~~

[redacted] OFFICE

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

on [redacted]

[redacted] (S) (U)

WMFO provided [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

Based upon [redacted] and knowledge of

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

(S) (U)

The following is a summary of key developments during

(S) (U)

b6
b7C
b7D
b7F
b7E

Prior to the

(S) (U)

When

b6
b7C
b7D
b7F
b7E

(S) (U)

b6
b7C
b7D
b7F
b7E

(S) (U)

b6
b7C
b7D
b7F
b7E

(S) (U)

During the

(S) (U)

b6
b7C
b7D
b7F
b7E

(S) (U)

After source

(S) (U)

Within hours of

b6
b7C
b7D
b7F
b7E

(S) (U)

b6 b7C b7D b7F b7E

On [ ] source was [ ]

[ ] (S) (U)

b6 b7C b7D b7F b7E

Source had a subsequent [ ]

[ ] (S) (U)

b6 b7C b7D b7F b7E

On [ ] source spoke with [ ]

[ ] (S) (U)

RECOMMENDATIONS:

b6 b7C b7D b7F b7E

WMFO recommends both source [ ]

[ ] (S) (U)

b6 b7C b7D b7F b7E

If WMFO's request for source [ ]

[ ] (S) (U)

b6 b7C b7D b7F b7E

Recommendation for source to [ ]

(S) (U)

b6
b7C
b7D
b7F
b7E

(S) (U)

Although indicates are that

(S) (U)

In view of the sensitivity of source as well as the potential national security aspects of the case, WMFO considers additional assuring source of backing by the FBI. (S) (U)

b7D
b7F
b7E

b6
b7C
b7D
b7F
b7E

WMFO will need a response concerning the WMFO recommendations by close of business, August 21, 1989. Unless the (S) (U)

ADMINISTRATIVE:

THE

b6
b7C
b7D
b7F
b7E

Source is (S) (U) Information from source is singular in nature. in this matter unless major crimes are being committed Improper handling will disclose source's identity and will jeopardize (3) If source's identity is disclosed, source and family will be in physical danger (S) (a)

C and E by G-3 OADR.

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-302 (REV. 3-10-82)

SECRET

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/15/89

On [redacted] The following events occurred at the approximate times: (S) (U)

b6
b7C
b7E

[redacted] p.m. SA [redacted] and SA [redacted] arrived at the [redacted] (S) (U)

b6
b7C
b7E

[redacted] SA [redacted] (S) (U)

b6
b7C
b7E

[redacted] An [redacted] (S) (U)

[redacted] SA's [redacted] (S) (U)

b6
b7C
b7E

[redacted] SA [redacted] (S) (U)

b6
b7C
b7E

[redacted] (ph). (S) (U)

b6
b7C
b7E

[redacted] SA's [redacted]

(U)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2-27-01

2X1

(U) b6
b7C
b7E

[redacted] 7 [redacted]

Investigation on [redacted] at [redacted] File # WMFO 196A-1563 Sub A

by 3-5-01 SA [redacted] & SA [redacted] Date dictated August 18, 1989

CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X 1

SECRET

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Continuation of FD-302 of [redacted], On [redacted], Page 2

(S) (U)

b6
b7C
b7E

(U) [redacted] SA's [redacted] (S)

96A-1563 SUBA -2X1
SEARCHED INDEXED
SERIALIZED FILED
AUG 0[illegible] 1990
FBI — WASH. METRO FIELD OFFICE

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: [redacted]

b6
b7C

Memorandum

~~SECRET~~

To : SAC, WMFO (196A-1563 Sub-A) Date 8/17/89

From : SA [redacted] (C-9) b6 b7C

Subject: MARC RICH, ET AL.
FUGITIVE:
00:NEW YORK

(U)

The following Special Agents (SA) [redacted] (S)
with respect to the above captioned case:

[redacted]

b6
b7C
b7E

(S) (U)

b6
b7C
b7E

The [redacted]

(U)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

CLASSIFIED BY: [redacted] 2/27/07
REASON: 1.5 (C)
DECLASSIFY ON: X1

b6
b7C

196A-1563 Sub A - 2x2

SEARCHED INDEXED
SERIALIZED FILED
AUG 18 1989
FBI OFFICE

1 - SA [redacted]
1 - WMFO (196A-1563 Sub-A)
JPF:jpf
(2)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-27-07 BY [redacted]

~~SECRET~~

FD-523a (Rev. 4-10-85)

THIS FORM MUST BE TYPED

REQUEST FOR PHOTOGRAPHIC WORK

Prepare for: SA [redacted]

Cost Code: 3920

Date: 3/17/89

Room: C-9, HVMRA

☒ Unclassified ☐ Confidential ☐ Secret

Squad/Telelift: C-9

Extension:

Any questions please contact SA [redacted]

b6
b7C
b7E

ENCLOSURES:

	Size	Type	Quantity
Film	35mm	Koda	4 rolls
Negatives			
Prints			
Other (specify)			

(color and black & white work will not be accepted on same request)

WORK REQUESTED:

Please develop and print one 3½x5 of each of the enclosed rolls of film.

JUSTIFICATION:

On-going investigaion/ Surveillance photos

b6
b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2-27-01 BY [redacted]

FBIHQ Enclosure

19LA-1563 Sub A 2x3

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

[redacted]

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY: [redacted]

THE PINK COVER SHEET IS AN ADMINISTRATIVE PAGE AND SHOULD BE SERIALIZED SEPARATELY FROM THE ATTACHED ORIGINAL LOG

~~SECRET~~

b6
b7C

PHYSICAL SURVEILLANCE LOG COVER SHEET

Case Agent/Squad [redacted] /C-9 [illegible]
File # 196A-1563

SUBJECT OF SURVEILLANCE [redacted]

b7E

SHIFT: [redacted]

DATE OF SURVEILLANCE [redacted] (S) (U)
Day of Week: [redacted]

SA ORIGINATING LOG [redacted]

AGENTS ON DUTY

b6
b7C
b7E

[redacted]

ALL INDIVIDUALS OBSERVED	ADDRESSES/ LOCATIONS	ALL LICENSE PLATES OBSERVED Known	Unknown
b7E [redacted]		(S) (U)	

SYNOPSIS OF LOG ACTIVITY (Check where applicable)
- Subjects observed (✓)
- Subject not observed ( )
- Photos attempted by SA _____

ADMINISTRATIVE DATA

- Investigative notes attached Yes ( ) No (✓)

ADDITIONAL COMMENTS:

~~CLASSIFIED BY [redacted] 3/3/01~~
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X 1~~

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED~~

b6
b7C

196A-1563 Sub A 2X4

SEARCHED SERIALIZED INDEXED FILED
1989
[redacted]

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2-27-01 BY [redacted]~~

~~SECRET~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-06-2017 BY [redacted]

b6
b7C

~~SECRET~~

SURVEILLANCE LOG

DATE [redacted] (S) (U)

FILE # 196A-1563

DAY OF WEEK [redacted]

b7E

WEATHER CLEAR

On [redacted], a surveillance was conducted in the vicinity

of [redacted] (S) (U)

at which time the following observations were made:

TIME	INITIALS	OBSERVATION
[redacted]		

(U) (S)

b6
b7C
b7E

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT

CLASSIFIED BY [redacted]
REASON: 1.5 (c)
DECLASSIFY ON: X1

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-22-61 [redacted]

~~SECRET~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

~~S E C R E T~~

Date 8/23/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~

This communication is classified "~~SECRET~~" ~~in its entirety.~~

b6
b7C

Re WMFO Teletype to Director, 7/28/89; Airtel to Director, 8/18/89; and WMFO telcalls of ASAC [redacted] to Assistant Director WILLIAM BAKER, 8/22 and 23/89.

Enclosed to the Bureau are the original and four copies of a Letter Head Memorandum (LHM) NOT SUITABLE FOR DISSEMINATION other than to the highest level U.S. Government officials. The LHM contains detailed singular source information pursuant to FBIHQ instructions. This information is necessary to properly describe [redacted] The LHM summarizes information that has been provided to [redacted] reliable and sensitive source concerning [redacted] (S) (U)

b6
b7C
b7D
b7F

2-Bureau (Enc. 5)
2-WMFO
WMM/ms
(4) cc-1 ASAC [redacted]

~~Classified by G-3~~
~~Declassify on: OADR~~ 196A-1563 Sub A-3

~~S E C R E T~~

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
AUG 29 1989
[redacted] FIELD OFFICE

~~HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~

b6
b7C

Approved: [redacted] Transmitted

(Number) (Time)

~~CLASSIFIED BY: [redacted]~~
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X1~~

RECOMMENDATIONS:

b6
b7C
b7D
b7F
b7E

WMFO recommends source [redacted] (S) (U)

WMFO also recommends that the source [redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

WMFO also recommends that after source [redacted] (S) (U)

b7D
b7F
b7E

ADMINISTRATIVE:

b6
b7C
b7D
b7F
b7E

[redacted] is SA [redacted]

Source is [redacted] (S) (U) The information contained in the enclosed LHM is singular in nature and, if disseminated, WILL DISCLOSE SOURCE'S IDENTITY and will jeopardize [redacted] If source's identity is disclosed, source and family will be in exterme physical danger from [redacted]

~~C and E by C-3 OADR.~~

~~SECRET~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~S E C R E T~~

Date 8/28/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET" in its entirety~~. b6 b7C

Re WMFO Teletype to Director, 7/28/89; Airtels to Director, 8/18/89 and 8/23/89; and numerous WMFO telcalls of ASAC [redacted] to Assistant Director WILLIAM BAKER and others, 8/23 through 25/89.

For information of the Bureau, on 8/25/89 a sensitive and reliable [redacted] source was telephonically contacted by [redacted] b6 b7C b7D b7F b7E

[redacted] (S) (U)

2-Bureau
(2)-WMFO
WMM/ms
(4) CC-ASAC [redacted]

b7D

~~Classified by C-3~~ 196A-1563 Sub A-4
~~Declassify on: OADR~~

~~S E C R E T~~

CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X

b6 b7C

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
1989
O FIELD OFFICE

Approved: Transmitted (Number) (Time) Per [redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

On [redacted] source was telephonically contacted by [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] "(S) (U)

b6
b7C
b7D
b7F
b7E

Source [redacted]

[redacted] (S) (U)

On [redacted] source recontacted [redacted]

[redacted] (S) (U)

[redacted] (S) (U)

During numerous discussions between WMFO and FBIHQ, WMFO's decision not to [redacted] source has repeatedly been questioned by FBIHQ. As noted in referenced 7/28/89 WMFO teletype, "Source very reluctantly verbally agreed [redacted]

[redacted] (S) (U)

b6 b7C b7D b7F b7E As a precautionary measure for [redacted] (U)

b6 b7C b7D b7F b7E Use of a [redacted] is a normal investigative tool available in situations where [redacted] As noted in WMFO'S recommendations in referenced 8/18/89 Airtel, WMFO clearly stated that WMFO considered future [redacted] (U)

b6 b7C b7D b7F b7E WMFO anticipates several additional [redacted] (U)

On [redacted] source was recontacted and requested to

b7D b7F b7E [redacted] (U)

On 8/28/89, [redacted]

b6 b7C b7D b7F b7E [redacted] (U)

b5 AUSA [redacted] reiterated his earlier concerns expressed during an [redacted] with the FBI regarding [redacted] In regard to the [redacted] AUSA [redacted] (U)

b6
b7C
b7D
b7F
b7E
b5

However, given the position of the source and the apparent background [redacted] AUSA [redacted] deferred to the judgement of WMFO. AUSA [redacted] was told [redacted] taking place and AUSA [redacted] (S) (U)

In regard to the overall investigation, AUSA [redacted] stated he was [redacted]

b6
b7C
b7E
b5

[redacted] (S) (U)

JURISDICTION:

In prior conversations between FBIHQ and WMFO officials, concern was expressed about FBI investigative jurisdiction in this matter if [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

The FBI continues to retain investigative jurisdiction concerning the overall MARC RICH investigation. In addition, the FBI maintains an investigative interest concerning the "fugitive" status of both RICH and GREEN.

RECOMMENDATIONS:

b6
b7C
b7D
b7F
b7E

WMFO recommends source [redacted]

[redacted] (S) (U)

~~S E C R E T~~

b7D
b7F
b7E

[redacted] (U)

b7D
b7F
b7E

WMFO recommends source [redacted]

[redacted] (S) (U)

WMFO also recommends that the source [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

WMFO also recommends that after source has determined

b7D
b7F
b7E

[redacted] (U) (S)

ADMINISTRATIVE:

[redacted] is SA [redacted] (U)

Source is [redacted] (S) The information contained in the enclosed LHM is singular in nature and, if disseminated, WILL

b6
b7C
b7D
b7F
b7E

DISCLOSE SOURCE'S IDENTITY and will jeopardize [redacted] If source's identity is disclosed, source and family will be in exterme physical danger from [redacted]

~~C and E by G-3 OADR.~~

~~S E C R E T~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

~~S E C R E T~~

Date 8/30/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET" in its entirety.~~

Re WMFO Teletype to Director, 7/28/89; Airtels to Director, 8/18/89, 8/23/89 and 8/28/89; numerous WMFO telcalls of ASAC [redacted] to Assistant Director WILLIAM BAKER and others, 8/23 through 30/89; and meeting between ASAC [redacted] and Assistant Director BAKER, 8/29/89.

b6
b7C

Enclosed are an original and four copies of a Letter Head Memorandum (LHM) not suitable for dissemination except to the highest level U.S. Government officials.

For information of the Bureau, on [redacted] a sensitive and reliable [redacted] source was telephonically contacted by [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

2-BUREAU
2-WMFO
WMM/ms

~~Classified by G-3~~
~~Declassify on: OADR~~ 196A-1563 Sub A-5
~~S E C R E T~~

CC-SAC [signature] 2-27-01

~~CLASSIFIED BY: [redacted]~~
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X 1~~

b6
b7C

SEARCHED INDEXED
SERIALIZED FILED
1989
FBI — WASH METRO FIELD OFFICE
SA [redacted]

Approved: Transmitted (Number) (Time) Per

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

During the same call, source spoke with [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (U)

During a subsequent telephone [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (U)

FBI DISCUSSIONS WITH SOURCE:

During evening of [redacted], source met with [redacted]

b7D
b7F

[redacted] (U)

b6
b7C
b7D
b7F
b7E

lives of source and family would be in jeopardy if source's relationship with the FBI is improperly disclosed. (S) (U)

Source was advised that if the necessary

b6
b7C
b7D
b7F
b7E

(S) (U)

Source understood the gravity of the matter and the continued need for source's cooperation. Source realized that

b7D
b7F
b7E

(S) (U)

In regard to

b6
b7C
b7D
b7F
b7E

(S) (U)

Source agreed to continue assisting the FBI in this matter. Source agreed to accept direction from the FBI and to b7D continue providing information concerning this matter to contact b7F agents. However, source adamantly refused to cooperate b7E

[redacted] (U)

U.S. ATTORNEY OPINION:

On 8/29/89, the facts of this matter were discussed with U.S. Attorney for the District of Columbia [redacted] b6 and Chief of the Criminal Dicision for the District of Columbia [redacted] b7C expressed concerns b7E as previously reported regarding initial [redacted] b5

[redacted] (U)

RECOMMENDATIONS:

WMFO recommendations will follow in a subsequent communication following discussions at FBIHQ.

ADMINISTRATIVE:

Source is [redacted] (U) b7D b7F

C and E by G-3. OADR.

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Falls Church, Virginia 22043
August 30, 1989

[redacted] (S) (U)

b6
b7C
b7E

The following is classified "~~SECRET~~" ~~In its entirety~~.

b6
b7C
b7D
b7F

Since June 28, 1989, a very sensitive and reliable confidential source (source) of the FEDERAL BUREAU OF INVESTIGATION (FBI) has been providing information to Special Agents (SAs) of the Washington Metropolitan Field Office (WMFO) concerning [redacted] (S) (U)

b6
b7C
b7D
b7F

On June 28, 1989, [redacted] known to source [redacted] (S) (U)

b6
b7C
b7D
b7F

On July 6, 1989 [redacted] (S) (U)

b6
b7C

~~3-5-01~~
CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X_1

~~Classified by G-3~~
~~Declassify on: OADR~~
~~S E C R E T~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] told source that [redacted]

(S) (U)

On July 18, 1989, source was contacted by [redacted]

b6
b7C
b7D
b7F

[redacted]

(S) (U)

b6
b7C
b7D
b7F

Regarding the [redacted]

[redacted]

(S) (U)

b6
b7C
b7D
b7F

On [redacted] source [redacted] (S) (U)

b6
b7C
b7D
b7F

RE: [redacted] (S) (U)

[redacted]

[redacted] (S) (U)

During the [redacted]

b6
b7C
b7D
b7F

[redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7F

During the [redacted] source was advised by

[redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

On [redacted]

[redacted] and source. (S) (U)

b6
b7D
b7D
b7E
b7F

The FBI provided surveillance coverage of [redacted]

An FBI Special Agent, [redacted]

[redacted] (S) (U)

Prior to the [redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

~~S E C R E T~~

b6
b7C
b7D
b7E
b7F

RE: [redacted] (S) (U)

[redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

When [redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted]

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

~~S E C R E T~~

b6
b7C
b7D
b7E
b7F

RE: [redacted] (S) (U)

[redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] (S) (U)

After source [redacted] (S) (U)

Within hours of [redacted] (S) (U)

b6
b7C
b7D
b7F

On August 17, 1989, source was telephonically contacted [redacted] (S) (U)

~~S E C R E T~~

RE: (S) (U)

b6
b7C
b7D
b7F

(S) (U)

source had a subsequent

b6
b7C
b7D
b7F

(S) (U)

On source spoke with

b6
b7C
b7D
b7E
b7F

(S) (U)

On source

(S) (U)

Source advised

b6
b7C
b7D
b7E
b7F

(S) (U)

questioned source as to whether source.

b6
b7C
b7D
b7E
b7F

(S) (U)

~~S E C R E T~~

RE: [redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

During the [redacted] (S) (U)

Source advised [redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] advised source and [redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

During evening of [redacted] source received a telephone call from [redacted] (S) (U)

b6
b7C
b7D
b7F

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted]

[redacted] (S) (U)

On [redacted] source learned [redacted]

[redacted] (S) (U)

In addition to the [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

On [redacted]

3

b6
b7C
b7D
b7F

[redacted] (S) (U)

The following day [redacted] source was telephonically contacted by [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] (S) (U)

Source recontacted [redacted] (S) (U)

b6
b7C
b7D
b7F

On [redacted] source was telephonically contacted [redacted] (S) (U)

During the same call, source spoke with [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

b6
b7C
b7D
b7F

During a subsequent telephone discussion [redacted] (S) (U)

b6
b7C
b7D
b7F

RE [redacted] (S) (U)

[redacted]

[redacted] (S) (U)

On [redacted] the meeting between [redacted] source [redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

The FBI has not been able to uncover any [redacted] with source. (S) (U)

Information provided by [redacted] (S) (U)

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Falls Church, Virginia 22043
August 23, 1989

b6
b7C
b7E

[redacted] (S) (U)

The following is classified ~~"SECRET" in its entirety~~.

Since June 28, 1989, a very sensitive and reliable confidential source (source) of the FEDERAL BUREAU OF INVESTIGATION (FBI) has been providing information to Special Agents (SAs) of the Washington Metropolitan Field Office (WMFO) concerning [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

On June 28, 1989, [redacted] known to source [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

On July 6, 1989, [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

5-Bureau
1-WMFO
WMM:laht

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

~~Classified by: C-3~~
~~Declassify on: OADR~~

~~S E C R E T~~

3-6-01
CLASSIFIED BY [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X 1

b6
b7C

~~S E C R E T~~

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] told source that [redacted]

[redacted] (S) (U)

On July 18, 1989, source was contacted by [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

Regarding the [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

On [redacted] source [redacted] (S) (U)

b6
b7C
b7D
b7F

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] (S) (U)

During the [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

During the [redacted] source was advised by

b6
b7C
b7D
b7F

[redacted] (S) (U)

On [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] and source. (S) (U)

The FBI provided surveillance coverage of [redacted]

An FBI Special Agent, [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

Prior to the [redacted]

[redacted] (S) (U)

~~S E C R E T~~

RE: [redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

When [redacted]

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

~~S E C R E T~~

RE: [redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

[redacted] (S) (U)

After source [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

Within hours of [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

On August 17, 1989, source was telephonically contacted (S) (U)

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] (S) (U)

Source had a subsequent [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

On [redacted] source spoke with [redacted]

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

On [redacted], source [redacted]

[redacted] (S) (U)

Source advised [redacted]

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

[redacted] questioned source as to whether source, [redacted]

[redacted] (S) (U)

RE: [redacted] (S) (U)

b6
b7C
b7D
b7E
b7F

During the [redacted]

[redacted] (S) (U)

Source advised [redacted]

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

[redacted] advised source and [redacted]

b6
b7C
b7D
b7E
b7F

[redacted] (S) (U)

During evening of [redacted] source received a telephone call from [redacted]

b6
b7C
b7D
b7F

[redacted] (S) (U)

RE: [redacted] (S) (U)

b6
b7C
b7D
b7F

[redacted] (S) (U)

On [redacted], source learned [redacted]

b6
b7C
b7D
b7F

(U) [redacted] (S)

In addition to the [redacted]

b6
b7C
b7D
b7F

(U) [redacted] (S)

The FBI has not been able to uncover any involvement of [redacted] source [redacted] (S) (U).

b6
b7C
b7D
b7E
b7F

Information provided by [redacted]

[redacted] (S) (U)

On August 23, 1989, [redacted]

b6
b7C
b5

[redacted] (S) (U)

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY:

b6
b7C

FD-664 (Rev. 10-17-85) ELSUR CARD SUBMISSION

AIRTEL

Federal Bureau of Investigation

FROM: SAC WMFO (196A-1563 SUB A) (P)

DATE: 8/30/89

TO: Director, FBI (62-318)
Attn: ELSUR Index

SECRET

Enclosed for the ELSUR Index are # nine ELSUR cards.

Case Title: MARC RICH-FUGITIVE (B);
PINCUS GREEN-FUGUTIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NY

☐ **TITLE III** ☐ **FISA**
- ☐ TESUR Source # _____
- ☐ MISUR Source # _____
- ☐ CCTV Source # _____
- ☐ BEEPER Source # _____
- ☐ OTHER Source # _____ Specify technique _____

Approved by: ☐ USDC Judge _____ on _____ Date

☐ FISC on _____ Date

EXTENSION DATE(S) _____ ; _____ ; _____ ; _____

☒ **CONSENSUAL MONITORING (CM)**
☐ Telephone - # of cards _____ - CM # _____ ☒ Nontelephone - # of cards 9 - CM # [redacted]

b7E

ELSUR CARD SUBMISSION:
Principal Card(s) # Enclosures _____
List Target(s) only:

Proprietary Interest (PI) Card(s) # Enclosures _____
List all names, addresses, telephone numbers and etc. pertaining to PI.

196A-1563 Sub A-5X1

b6
b7C
b7D
b7F
b7E

Overhear card(s) # Enclosures 9
List Name(s) only: [redacted]

Searched _____
Serialized _____
Indexed _____
Filed _____

(SA)

SECRET
~~CLASSIFIED BY C-9~~
~~DECLASSIFY ON: OADR~~

2 - Bureau (9 Enclosures)
(2) WMFO (1-196A-1563 SUB A)
(1-196A-1563 SUB Y)
(1-[redacted]

2-27-01
CLASSIFIED
REASON: 1.5 (C)
DECLASSIFY ON: X 1

SECRET

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DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

~~S E C R E T~~

Date 9/1/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET" in its entirety~~.

b6
b7C

Re WMFO Airtel to Director, 8/30/89,; and Conference at FBIHQ between Assistant Director WILLIAM BAKER, U.S. Attorney [redacted] WMFO SAC [redacted] and others, 8/30/89.

For information of FBIHQ, on [redacted] a sensitive an reliable [redacted] source was contacted by [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (U)

196A-1563 sub A-6

2-BUREAU
2-WMFO
WMM/ms

Classified by G-3
Declassify on: OADR

~~S E C R E T~~

2-27-01

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

CLASSIFIED BY [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X 1

b6
b7C

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
DEC 1 1989
FBI/DOJ OFFICE

Approved: [redacted] **Transmitted** [redacted] **Per** [redacted]
(Number) (Time)

As a result of referenced 8/30/89 conference at FBIHQ, the following is a summary of WMFO'S understanding concerning expected developments and direction of this matter.

1) [redacted] (S) (U)

2) [redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

3) [redacted] (S) (U)

Source will be advised [redacted] by the FBI. [redacted] (S) (U)

4) [redacted] (S) (U)

Source will be advised of the [redacted] by the FBI. Source will be instructed to [redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

b6
b7C
b7D
b7F
b7E

For information of FBIHQ, during discussions with source was [redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

[redacted] source does [redacted]

[redacted] (S) (U)

Source must [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

Source requested [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

In regard to [redacted] source was advised

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

WMFO is gathering background information concerning

[redacted] (S) (U)

FBIHQ will be kept apprised of additional developments by WMFO.

ADMINISTRATIVE:

Source providing above information is [redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

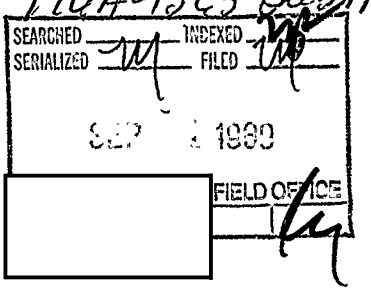
[redacted] is SA [redacted]

~~C and E by G-3. OADR~~

1 cc to source file
by

196A-1563 Sub A 5X2

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___



SEP 1 1999

FIELD OFFICE

b6
b7C

back of page

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FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

~~S E C R E T~~

Date 9/6/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET" in its entirety~~.

Re WMFO Teletype to Director, 7/28/89; WMFO Airtels to Director,7/31/89, 8/4/89, 8/18/89, 8/23/89, 8/28/89,8/30/89 and 9/1/89; FBIHQ conference 8/30/89 attended by Assistant Director WILLIAM BAKER, WMFO SAC [redacted] U.S. Attorney JAY STEPHENS and others; 9/5/89 telcall from FBIHQ to ASAC [redacted] and 9/5/89 telcalls between SSA [redacted] Financial Crimes Unit, and WMFO SA [redacted]

b6
b7C

For information of FBIHQ, on [redacted] a sensitive an reliable [redacted] source was in telephonic contact with [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

2-BUREAU
2-WMFO
WMM/ms

~~Classified by G-3~~
~~Declassify on: OADR~~
~~S E C R E T~~

196A-1563 Sub A-7

2-27-01
CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X

b6
b7C

SEARCHED ... INDEXED ... SERIALIZED ... FILED ...
SEP 6 1989
FBI ... FIELD OFFICE
SA [redacted]

Approved: Transmitted (Number) (Time) Per

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

Pursuant to FBIHQ instructions provided to WMFO ASAC [redacted] during referenced 9/5/89 telcall, source was contacted b6 b7C b7D b7F b7E on [redacted]

[redacted] (S) (U)

Source understood the [redacted] b6 b7C b7D b7F b7E

[redacted] (S) (U)

Arrangements were made for source [redacted] b6 b7C b7D b7F b7E

[redacted] (S) (U)

For information of FBIHQ, source has been [redacted] b6 b7C b7D b7F b7E

[redacted] (S) (U)

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

COURSES OF ACTION RECOMMENDED BY WMFO:

1) If it is determined that [redacted]

b6
b7C
b7D
b7F
b7E

[redacted] (S) (U)

2) Since actions by [redacted]

[redacted] (S) (U)

3) If deemed appropriate by FBIHO, source can [redacted] (S) (U)

ADMINISTRATIVE:

b6
b7C
b7D
b7F
b7E

Source providing above information is [redacted] (S) (U)

[redacted] is SA [redacted]

C and E by ~~G-3. OADR~~

~~S E C R E T~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

~~S E C R E T~~

Date 9/6/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET" in its entirety.~~

b6
b7C

Re WMFO Teletype to Director, 7/28/89; WMFO Airtels to Director,7/31/89, 8/4/89, 8/18/89, 8/23/89, 8/28/89,8/30/89 and 9/1/89; FBIHQ conference 8/30/89 attended by Assistant Director WILLIAM BAKER, WMFO SAC [redacted] U.S. Attorney JAY STEPHENS and others; 9/5/89 telcall from FBIHQ to ASAC [redacted] and 9/5/89 telcalls between SSA [redacted] Financial Crimes Unit, and WMFO SA [redacted]

b6
b7C
b7D
b7F
b7E

For information of FBIHQ, on [redacted] a sensitive an reliable [redacted] source was in telephonic contact with [redacted] ~~(S)~~ (U)

2-BUREAU
2-WMFO
WMM/ms

~~Classified by G-3~~
~~Declassify on: OADR~~
~~S E C R E T~~

2-27-01
~~CLASSIFIED BY: [redacted]~~
~~REASON: 1.5 (C)~~
~~DECLASSIFY ON: X~~

196A-1563 Sub A-7

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
SEP 0 1989
FBI — WA[redacted]LD OFFICE

b6
b7C

Approved: Transmitted (Number) (Time) Per SAC SSA [redacted]

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-12-2019 BY: [redacted]

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

~~S E C R E T~~

Date 9/5/89

TO : DIRECTOR, FBI (HAND CARRY TO SECTION CHIEF LARRY POTTS, DIVISION 6)

FROM : SAC, WMFO (196A-1563 SUB A) (P) (C-9)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO:NY

This communication is classified ~~"SECRET" in its entirety.~~

Re WMFO Airtel to Director, 9/1/89, and 9/5/89 telcall between SSA [redacted] Financial Crime Unit, and WMFO SA [redacted]

For information of FBIHQ, on [redacted] a sensitive an reliable [redacted] source was in telephonic contact with [redacted] (U)

ASAC [redacted]

b6
b7C

2 BUREAU
2 WMFO
WMM/ms

2-27-01
CLASSIFIED BY: [redacted]
REASON: 1.5 (C)
DECLASSIFY ON: X 1

~~Classified by G-3~~
~~Declassify on: OADR~~
~~S E C R E T~~

196A-1563 Sub A 18

STAMPED SERIALIZED INDEXED FILED

SEP 5 1989

FBI/DOJ OFFICE

Approved: Transmitted (Number) (Time)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

b6 b7C b7D b7F b7E

In regard any discussions concerning captioned matter and [redacted] (S) (U)

b6 b7C b7D b7F b7E

If source is advised of [redacted] by the FBI [redacted] (S) (U)

If source is advised of [redacted] by the FBI, [redacted] (S) (U)

b6 b7C b7D b7F b7E

In regard to [redacted] (S) (U)

b6 b7C b7D b7F b7E

WMFO concurs with source's reasons for [redacted] (S) (U)

ADMINISTRATIVE:

b6 b7C b7D b7F b7E

Source providing above information is [redacted] (S) (U)

[redacted] is SA [redacted]

C and E by ~~G-3. OADR~~

~~S E C R E T~~

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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Page 8 ~ Duplicate;
Page 18 ~ b3; b6; b7C;
Page 20 ~ b3; b6; b7C;
Page 21 ~ b3; b6; b7C;
Page 25 ~ b3; b6; b7C; b7E;
Page 26 ~ b3; b6; b7C; b7E;
Page 27 ~ b3; b6; b7C; b7E;
Page 28 ~ b3; b6; b7C; b7E;
Page 30 ~ b3; b6; b7C; b7E;
Page 31 ~ b3; b6; b7C; b7E;
Page 32 ~ b3; b6; b7C; b7E;
Page 33 ~ b3; b6; b7C; b7E;
Page 34 ~ b3; b6; b7C; b7E;
Page 35 ~ b3; b6; b7C; b7E;
Page 36 ~ b3; b6; b7C; b7E;
Page 51 ~ b3; b6; b7C;
Page 52 ~ b3; b6; b7C;
Page 53 ~ b3; b6; b7C;
Page 54 ~ b3; b6; b7C;
Page 76 ~ b3;
Page 77 ~ b3; b6; b7C;
Page 80 ~ Duplicate;
Page 119 ~ b3; b6; b7C;
Page 124 ~ b3; b6; b7C;
Page 362 ~ b3; b6; b7C;
Page 363 ~ b3; b6; b7C;
Page 364 ~ b3; b6; b7C;
Page 365 ~ b3; b6; b7C;
Page 444 ~ b3; b6; b7C;
Page 450 ~ b3; b6; b7C;
Page 451 ~ b3; b6; b7C;
Page 457 ~ b3; b6; b7C;

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FBI — NEW YORK
96

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 7, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

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N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no. [redacted]) or Special Agent [redacted] (tel. no. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

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FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 7, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 3, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

I. [redacted]

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1. Any and all [redacted]

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2. All [redacted]

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3. [redacted]

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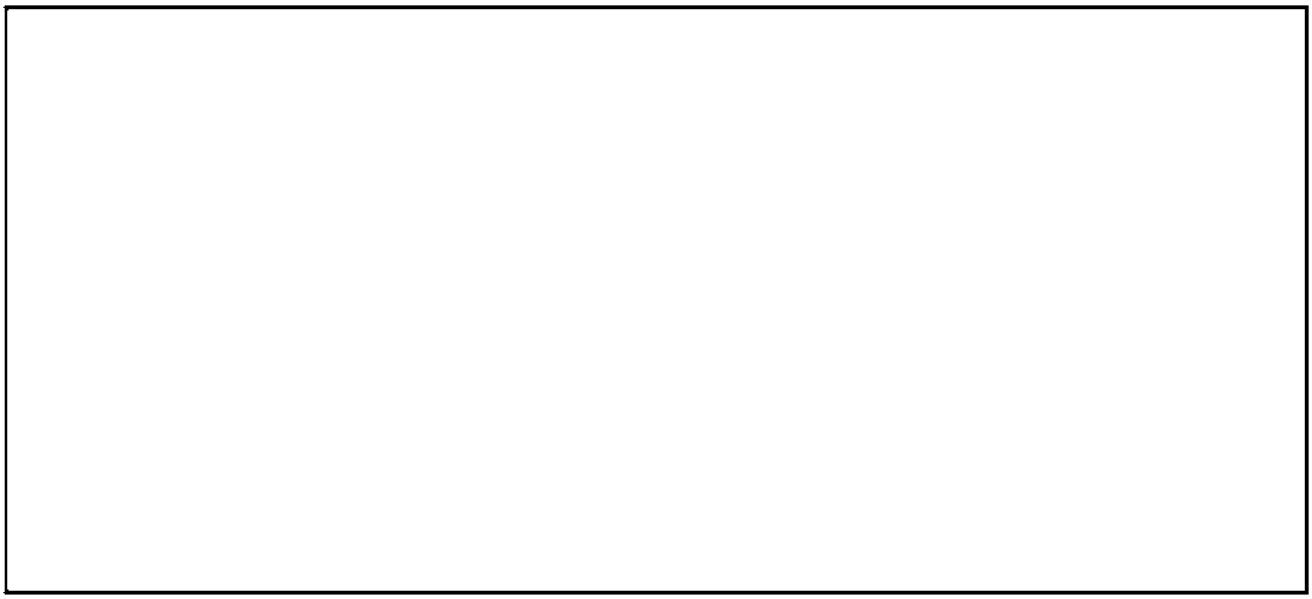

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N.B.: If subpoenaed materials are available before the return date, kindly deliver them to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

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Served by SA [redacted]
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BY [ ]
DATE 6/20/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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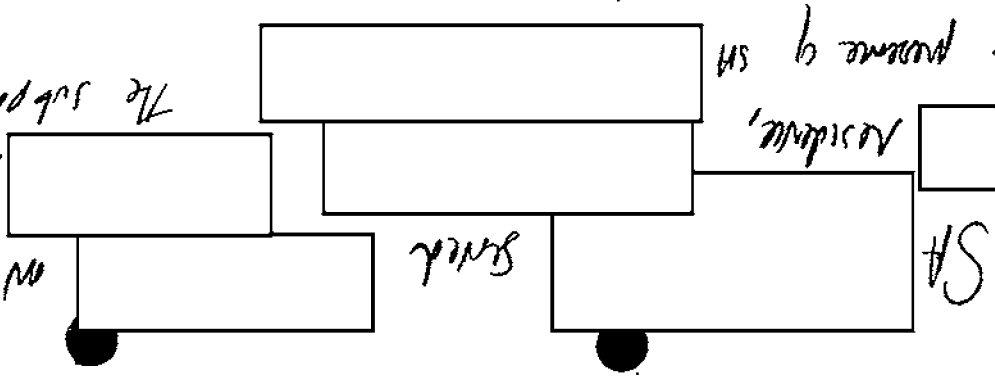

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

b6
b7C

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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BY
DATE 6/28/01

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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DATE 10/29/01

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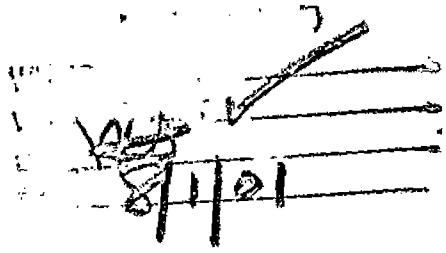

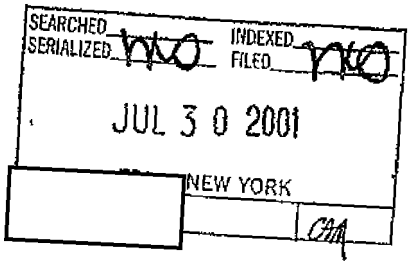

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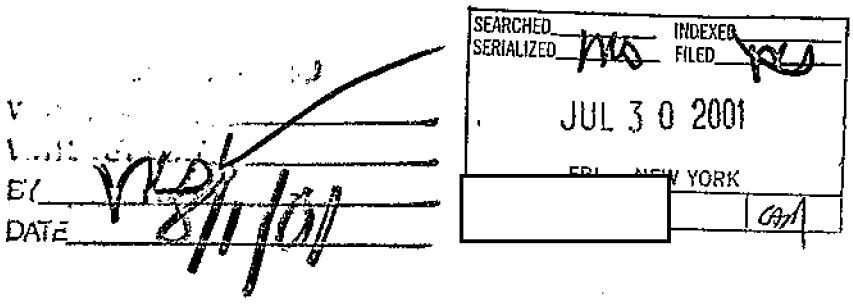

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 25, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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DATE 8/1/01

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 25, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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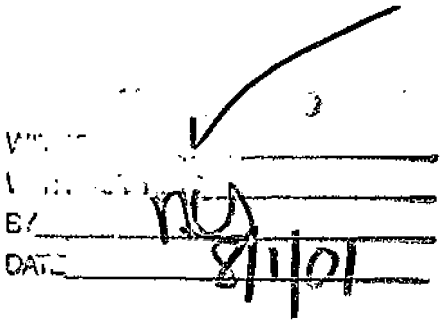

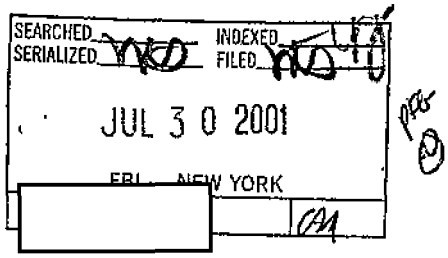

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(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 07/30/2001

To: [redacted] Attn: [redacted]
Public Corruption
SA [redacted]

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From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] cam

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b7C

Case ID #: 58A-NY-278417-GJ (Pending)

Title: UNSUB (S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request [redacted] to interview and serve Federal Grand Jury Subpoenas to the below listed individuals.

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Enclosures: For [redacted] are the original, and one copy of each Grand Jury Subpoena, and suggested interview questions. Also enclosed is [redacted] for each individual.

Details: For information of [redacted] ongoing investigation has revealed that during social events attended by [redacted] and [redacted] the topic of presidential pardons for Marc Rich [redacted] were discussed. Several individuals have been identified as associates of [redacted] who were present at these events.

[redacted] is requested to interview the respective associates to [redacted]

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To: [redacted] From: New York
Re: 58A-NY-278417, 07/30/2001

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[redacted]

To: [redacted] From: New York
Re: 58A-NY-278417, 07/30/2001

LEAD (s):

Set Lead 1:

[redacted]

AT [redacted]

[redacted] is requested to interview and serve Federal Grand Jury Subpoena's to the following individuals: [redacted]

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(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY Date: 07/30/2001

To: New York Attn: Supv. [redacted] b6 b7C
Supv.

From: New York
Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] fns

Case ID #: 58A-NY-278417-GJ (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT
[redacted]
MARC RICH - FUGITIVE (B); ET AL; b6 b7C
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to upload [redacted] b3 b7E
[redacted]

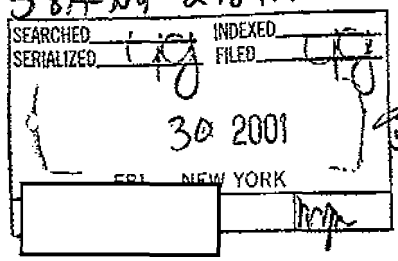
Enclosures: [redacted] records for [redacted] b3 b6 b7C b7E

Details: It is requested that the enclosed [redacted]
activity records be uploaded into [redacted] pursuant
to the Federal Bureau of Investigation's guidelines.

The following information identifies [redacted]

[redacted] b3

58A-NY-278417-GJ Sub 112



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Received on: 7/26/01
Served on: 7/26/01
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Service on:

United States District Court

SOUTHERN DISTRICT OF NEW YORK

[redacted]

TO: [redacted]

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By Hand.
-MFB

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

July 20, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted]

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b3

[redacted] [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

For the inclusive period [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted](Tel. No.:[redacted]or Special Agent [redacted](Tel. No.[redacted], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

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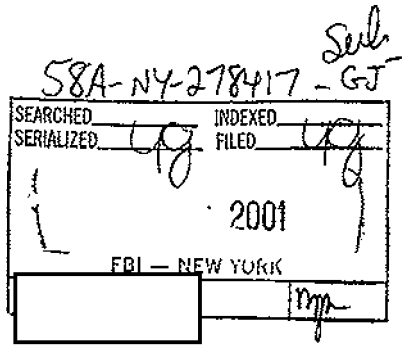

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 24, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 07/26/2001
Name of Office

b3

Facsimile Number: _____

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b3
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b7C

Special Handling Instructions: Please hand deliver to _____

_____ Please fax results to SA _____ at _____ ASAP.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

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WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/8/01

58A-NM-278417-GJ-Serial 5
SEARCHED INDEXED
SERIALIZED FILED
2001
FBI NEW YORK
Imp

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
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b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 24, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 3
(including cover sheet)

To: _____ Date: 07/26/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please fax results _____ to SA _____ at _____ as soon as possible. Thanks.

Originator's Name: SA _____ C-14 Telephone: _____ b3 b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by AUSA [redacted]
07/25/01
by fax [redacted]

b3
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b7C

58A-NY-278417-Serial GJ

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
: 2001
FBI — NEW YORK

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [redacted]
DATE 8/8/01

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

Served 7/25/01 by fax [redacted]

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 25, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

Served via fax on 07/31/01

by SA [redacted]

b6
b7C

UPLOADED

WITH/TEXT _____

WITH/OUTTEXT J

BY UA

DATE 8/1/01

58A-NY-278417-GJT Sub

SEARCHED ____ INDEXED ____

SERIALIZED UA FILED UA

31 2001

FBI — NEW YORK

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 30, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 07/31/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

b3

Subject: Federal Grand Jury subpoena for _____
information.

Special Handling Instructions: Please fax results to _____ as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____

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Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Returned w/ appropriate language. 08/08/01

58A-NY-278417-GJ

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SERIALIZED UG FILED UG

8/8/2001

FOL NEW YORK

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY UG
DATE 8/13/01

b6
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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

154609

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3
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b7C

All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] Tel. No. [redacted], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
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b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 9/4/01

58A-NY-278417- Sub CJ
EARCHED INDEXED
SERIALIZED FILED
AUG 2001
FBI — NEW YORK
CAM

UPLOADED

WITH/TEXT ✓

WITH/OUT TEXT ✓

BY UG

DATE 8/15/01

58A-NY-278417-GJ Sub

SEARCHED UG INDEXED

SERIALIZED UG FILED UG

5 2001

FBI — NEW YORK

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Ba 8/2/01

[redacted]

[redacted]

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b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

[redacted]

b3
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b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

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b7C

UPLOADED
WITH TEXT
WITH/OUT TEXT ✓
BY
DATE 10/4/01

58A-NY-278417-GJ Sub
SEARCHED INDEXED
SERIALIZED FILED
AUG 2001
FBI — NEW YORK
120

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6 b7C [redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
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58A-NY-278417 - GJ Sub

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WITH/TEXT
WITH/OUT TEXT
BY
DATE 9/4/01

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 30 2001
NEW YORK

121

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b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 11:55AM.
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/06/2001
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

b3
b6
b7C

Subject: Federal Grand Jury Subpoena dated August 2, 2001

Special Handling Instructions: Please refer to attached subpoena and rider. Request for _____ information.

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001

WARNING

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WITH/OUT TEXT
BY
DATE 9/4/07

58A-NY-278417-SUB CJ-122
SEARCHED INDEXED
SERIALIZED FILED
AUG
FBI — NEW YORK
CAM

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] n the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

Sub
58A-NY-278417 - GJ

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 9/4/07

SEARCHED
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INDEXED
FILED
AUG 30 2001
FBI - NEW YORK

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b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 11:43AM
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: [redacted] Date: 08/06/2001
Name of Office

Facsimile Number: [redacted] b3

Attn: [redacted]
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: Federal Grand Jury Subpoena dated August 2, 2001

Special Handling Instructions: Please refer to attached subpoena and rider. Request for [redacted] information.

Originator's Name: SA [redacted] Telephone: [redacted] b3 b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved:

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001

WARNING

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b3

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 11:43AM
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: [redacted] Date: 08/06/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name

b3

From: FBI - NEW YORK OFFICE
Name of Office

Subject: Federal Grand Jury Subpoena dated August 2, 2001

Special Handling Instructions: Please refer to attached subpoena and rider. Request for [redacted] information.

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2276

b3
b6
b7C

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

5A [redacted] faxed on 8/6/01 / 8/28/01 both dates.

58A-NY-278417-GJ Sub

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124

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 9/4/01

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 29 2001
FBI NEW YORK

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
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b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

1. (917) 578-8645

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

58A-NY-278417 - Sub GJ

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WITH/TEXT
WITH/OUT TEXT
BY
DATE 9/4/07

SEARCHED INDEXED
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FBI — NEW YORK

125

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: CAM
Sender's Initials: cam
Number of Pages: 4
(including cover sheet)

To: [redacted] Date: 08/28/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted] [redacted] b3
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: Federal Grand Jury Subpoena dated 8/2/2001

Special Handling Instructions: Faxed to incorrect department.

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2276

Approved: [redacted] b3 b6 b7C

Brief Description of Communication Faxed: Federal Grand Jury Subpoena/ for [redacted] information.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 11:35AM
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/06/2001
Name of Office

Facsimile Number: _____

Attn: _____ b3 b6 b7C
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: Federal Grand Jury Subpoena dated August 2, 2001

Special Handling Instructions: Please refer to attached subpoena and rider. Request for _____ information.

Originator's Name: SA _____ Telephone: _____ b3 b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001

WARNING

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58A-NY-278417-GJ Sub

127

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 9/5/01

SEARCHED
SERIALIZED
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FILED
SEP 0 4 2001
NEW YORK

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b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 10:37AM.
Sender's Initials: cam
Number of Pages: 2
(including cover sheet)

To: [redacted] Date: 09/04/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI - New York Office
Name of Office

Subject: Federal Grand Jury Subpoena dated 8/30/2001

Att: [redacted]

Special Handling Instructions: Please hand deliver to [redacted]

[redacted]

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: (212) 384-2276

Approved: _____

Brief Description of Communication Faxed: Please contact Assistant United States Attorney [redacted] regarding personal appearance.

[redacted]

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, §-641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 30, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

Sub
58A-NY-278417-GJ-

128

UPLOADED
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BY UG
DATE 9/5/01

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 10:42 A.M.
Sender's Initials: cam
Number of Pages: 2
(including cover sheet)

To: _____ Date: 09/04/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York Office
Name of Office

Subject: Federal Grand Jury Subpoena dated 8/30/01

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: (212)384-2276

Approved: _____

Brief Description of Communication Faxed: Please contact Assistant United States Attorney _____ regarding personal appearance.

_____

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18 USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

58A-NY-278417-Sub GJ-

UPLOADED
WITH/TEXT
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BY
DATE 9/5/01

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NEW YORK

129

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 9:53A.M.
Sender's Initials: cam
Number of Pages: 4
(including cover sheet)

To: _____ Date: 09/04/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____
Name Room Telephone

b3
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b7C

From: FBI - New York Office
Name of Office

Subject: Federal Grand Jury Subpoena dated 8/30/2001

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena.
Personal Appearance Not Required if subpoenaed materials are furnished.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

58A-NY-278417-GJ Sub

130

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 9:29AM.
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 09/04/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: _____

Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 30,2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

Sub
58A-NY-278417 - GT -

131

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DATE 9/5/01

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FBI NEW YORK

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 9:15AM.
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: [redacted] Date: 09/04/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted]
Name Room Telephone b3

From: FBI - NEW YORK OFFICE
Name of Office

Subject: [redacted] information requested.

Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA [redacted] Telephone: [redacted] b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 31,2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] n the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 31, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

I. All [redacted]

[redacted]

II. All [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

b6
b7C

58A-NY-278417-GJ Sub

132

UPLOADED
WITH/TEXT ✓
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BY
DATE 9/18/01

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FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 12:50pm
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/29/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: Subscriber information requested.
Federal Grand Jury Subpoena

Special Handling Instructions: Please refer to attached subpoena and rider information.

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 28, 2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, §-641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted], in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 28, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

Subpoena mailed to [redacted] on 8/29/2001 by SA [redacted]

b3
b6
b7C

58A-NY-278417-GJ-Sub

133

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WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [redacted]
DATE 9/18/01

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SERIALIZED [redacted] FILED [redacted]
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[redacted] CA

134

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b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 28, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted] (Tel. No.[redacted] or Special Agent [redacted] (Tel. No.[redacted], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

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134

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BY UC
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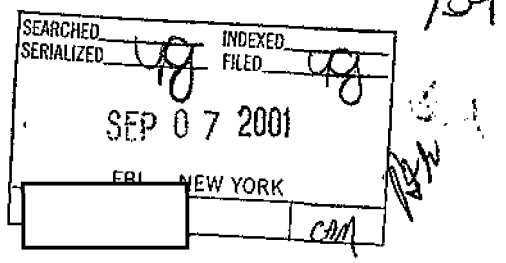

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b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 12:58pm
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: [redacted] Date: 09/05/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted]
Name Room Telephone

b3

From: FBI - NEW YORK OFFICE
Name of Office

Subject: [redacted] records regarding [redacted] records.
Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA [redacted] Telephone: [redacted]

b6
b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated September 4, 2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

I. All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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b7C

Sub
58A-NY-278417-GJ

135

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 9/18/01

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INDEXED
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FBI — NEW YORK

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 12:31pm
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 09/05/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI New York Office
Name of Office

Subject: Federal Grand Jury Subpoena dated September 4, 2001.

Special Handling Instructions: Please hand deliver to _____ -

_____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: (212)384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena and attached rider with detailed information requested.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18 USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All

b3
b6
b7C

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent (tel. no.: or Special Agent (tel. no Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

58A-NY-278417-GJ Sub

136

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 9/18/07

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 07 2001
FBI — NEW YORK

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: ✓
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 09/05/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____ b3
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: _____ records regarding _____ records.
Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated September 4, 2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

I. All [redacted]

[redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

58A-NY-278417-SUB-6J

137

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 9/18/01

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 07 2001
FBI NEW YORK

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 3:11 p.m. ✓
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 09/07/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____ b3
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: _____ records regarding _____ records.
Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated September 6, 2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury. b6 b7C

58A-NY-278417-GJ- Seal

138

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 09/18/07

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 07 2001
FBI - NEW YORK

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b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 1:43p.m. ✓
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 09/07/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3

From: FBI - NEW YORK OFFICE
Name of Office

Subject: _____ records regarding _____ records.
Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA _____ Telephone: _____

b6
b7C

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated September 6, 2001.

WARNING

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 6, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted] b6 b7C
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

I. All

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II. All

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents or Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: for submission to the grand jury.

b6
b7C

Served by SA [redacted] 10/5/01

via Fax [redacted]

58A-NY-278417-GJ Sub

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
2001
FBI — NEW YORK

139

UPLOADED
WITH/TEXT 1
WITHOUT TEXT 1
BY [redacted]
D[redacted] 10/10/01

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.[redacted] for submission to the grand jury.

b6
b7C

33

********** -COMM.JOURNAL ********** DATE OCT-08-2001 ***** TIME 13:46 *** P.01

MODE = TRANSMISSION START=OCT-08 13:46 END=OCT-08 13:46

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	001		

b3

********** ***** **********

-HOLD-

SA [redacted] C-14

b6
b7C

30

********** -COMM.JOURNAL ********** DATE OCT-08-2001 ***** TIME 13:45 *** P.01

MODE = TRANSMISSION START=OCT-08 13:44 END=OCT-08 13:45

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK			003		

b3

********** - - ***** - - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____

Att.: _____ Room _____ Telephone _____

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please fax results to 212-508-5299 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____

b6
b7C

Originator's Facsimile Number: 212-508-5299

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena with attached rider.

WARNING

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Served by SA [redacted] 10/8/01
via fax [redacted]

58A-NY-278417-GJ- Sub

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
2001
FBI — NEW YORK

140 mp

UNCLASSIFIED
WITH/TEXT ___
WITHOUT TEXT ✓
BY ___
DATE 10/10/01

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b3
b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents or Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. for submission to the grand jury.

b6
b7C

********** -COMM.JOURNAL- ********** DATE OCT-08-2001 ***** TIME 13:51 *** P.01

MODE = TRANSMISSION START=OCT-08 13:50 END=OCT-08 13:51

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	003		

b3

********** - - ***** - - *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-508-5299 as soon as possible.**

Originator's Name: SA C-14 _____ Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-508-5299

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served by SA [redacted] 10/8/01 via fax.

[redacted]

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58A-NY-278417-GJ 141 (X)

INDEXED

FILED

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY

DATE 10/10/01

b3

b6

b7C

FBI — NY

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

********** -COMM.JOURNAL ********** DATE OCT-08 2001 ***** TIME 15:50 *** P.01

MODE = TRANSMISSION START=OCT-08 15:49 END=OCT-08 15:50

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	003		

b3

********** ***** **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b3
b6
b7C

Special Handling Instructions: Please deliver to _____

_____

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

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Served by SA [redacted] 10/8/01 via
Fax [redacted]

58A-NY-278417 - Sub GJ

SEARCHED
SERIALIZED
INDEXED
FILED

142

UPLOADED
WITH/TEXT
WITHOUT TEXT
10/10/01

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

40

********** -COMM.JOURNAL ********** DATE OCT-08-2001 ***** TIME 15:54 *** P.01

MODE = TRANSMISSION START=OCT-08 15:53 END=OCT-08 15:54

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	003		

b3 – –

********** – ***** – *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-508-5299

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served by SA [redacted] via fax on 10/8/01

[redacted]

UPLOADED

WITH/TEXT ✓

WITH/OUT TEXT ✓

BY [illegible]

DATE 10/10/01

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b7C

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58A-NY-278417-GJ

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INDEXED

FILED

2001

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

3:53 pm

********** -COMM.JOURNAL- ********** DATE OCT-08-2001 ***** TIME 16:05 *** P.01

MODE = TRANSMISSION START=OCT-08 16:04 END=OCT-08 16:05

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK			003		

b3

********** - ***** - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b3 b6 b7C

Special Handling Instructions: Please hand deliver to _____
Records may be mailed to SA _____ 26 Federal Plaza, 23rd Floor, New York, New York 10278.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299 b6 b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] 10/8/18

via fax [redacted]

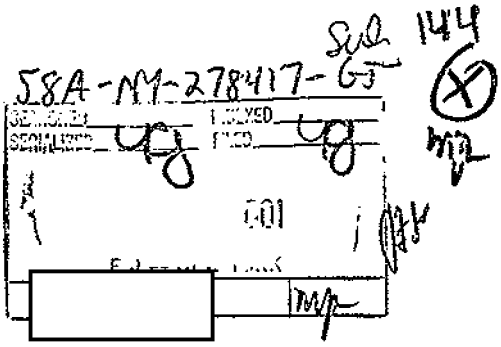
UPLOADED

WITH/TEXT ✓ b3

WITHOUT TEXT ✓ b6

BY [redacted] b7C

DATE 10/10/18



United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

3:48 pm

********** -COMM.JOURNAL ********** DATE OCT-08 2001 ***** TIME ~~16:02~~ *** P.01

MODE = TRANSMISSION START=OCT-08 16:01 END=OCT-08 16:02

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	003		

b3

********** - - ***** - - **********

(Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Name of Office

Date: 10/08/2001

Facsimile Number: _____

b3

Attn: _____ Name _____ Room _____ Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-508-5299 as soon as possible.**

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] 10/8/01

via fax [redacted]

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY ug

DATE 10/10/01

b3
b6
b7C

Sul

58A-NY-278417-GJ-145 (X)

SEARCHED ug INDEXED ug

SERIALIZED ug FILED ug

1001

FBI — NEW YORK

mjr

PJ

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3
b6
b7C

All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

46

********** -COMM.JOURNAL- ********** DATE OCT-08-2001 ***** TIME 15:59 *** P.01

MODE = TRANSMISSION START=OCT-08 15:58 END=OCT-08 15:59

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	003		

b3

********** ***** **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____ b3
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-508-5299 as soon as possible.**

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299 b6 b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by
SA [redacted] 10/8/01 via fax

[redacted]

UPLOADED
WITH TEXT
WITHOUT TEXT ✓
BY
DATE 10/10/01

b3
b6
b7C

58A-NY-278417-60 Serial 146

INDEXED
FILED
001
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury. b6 b7C

********** -COMM.JOURNAL- ********** DATE OCT-08-2001 ***** TIME 15:56 *** P.01

MODE = TRANSMISSION START=OCT-08 15:55 END=OCT-08 15:56

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎	[redacted]	003		

b3

********** - - ***** - - *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-508-5299 as soon as possible.**

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] via fax 10/8/01

58A-NY-278417-GJ-Sub 147

UPLOADED

WITH/TEXT ✓

WITH/OUT TEXT ✓

BY [redacted]

DATE 10/10/01

b3
b6
b7C

SERIALIZED [redacted] FILED [redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury. b6 b7C

1:50 PM

********** -COMM.JOURNAL- ********** DATE OCT-08-2001 ***** TIME 14:06 *** P.01

MODE = TRANSMISSION START=OCT-08 14:04 END=OCT-08 14:06

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	☎		003	b3	

********** - - ***** - - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____ b3

Att.: _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____ b3 b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena. **Please fax results to 212-508-5299 as soon as possible.**

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] 10/8/01

via Fax [redacted]

UPLOADED

WITH/TEXT _____

WITH/OUT TEXT ✓

BY _____

DATE 10/10/01

b3
b6
b7C

58A-NY-278417-GJ Sub 148

SEARCHED _____ INDEXED _____

SERIALIZED _____ FILED _____

2001

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3
b6
b7C

All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

1:47 pm

********** -COMM.JOURNAL ********** DATE OCT-08-2001 ***** TIME 14:00 *** P.01

MODE = TRANSMISSION START=OCT-08 13:59 END=OCT-08 14:00

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK			003		

********** ***** **********

b3

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____ b3 b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider. **Please fax results to 212-508-5299 as soon as possible.**

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] 10/8/01

via fax [redacted]

58A-NY-278417-GJ Sub 149

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
2001
FBI — NEW YORK

UPLOADED
WITH TEXT [redacted]
WITHOUT TEXT [redacted]
BY [redacted]
DATE 10/10/01

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b3
b6
b7C

[redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No [redacted] for submission to the grand jury.

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

[redacted]

b6
b7C

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Al [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted]Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

42

********** -COMM.JOURNAL ********** DATE OCT-08-2001 ***** TIME 13:55 *** P.01

MODE = TRANSMISSION START=OCT-08 13:53 END=OCT-08 13:55

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	a		005	b3	

********** - - ***** - - *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 10/08/2001
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoenas.

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-508-5299 b3 b6 b7C

Approved: SSA _____

Brief Description of Communication Faxed: Two Federal Grand Jury subpoenas with attached riders. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

SA [redacted] mailed subpoena/rider
to [redacted] on 08/13/2001.

b3
b6
b7C

58A-NY-278417-GJ Sub

151

UNLOADED
WITH/TEXT
WITH/OUT TEXT J
BY
DATE 11/16/01

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 10 2001
FBI NEW YORK
[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

b6
b7C

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Tel. No. [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

58A-NY-278417 - Sub G -

152

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 10/16/01

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 10 2001
NEW YORK

b6
b7C

********** -CON[redacted]OURNAL-- ********** DATE AUG-03-[redacted] ***** TIME 16:31 *** P.01

MODE = MEMORY TRANSMISSION START=AUG-03 16:16 END=AUG-03 16:31

FILE NO.= 254

STN NO.	COM	ABBR NO.	STATION NAME/TEL.NO.	PAGES	DURATION
001	BUSY	8	[redacted]	000/003	00:00'00"

b3

********** ***** **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 3:59 PM.
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: [redacted] Date: 08/03/2001
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted] [redacted] b3
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: [redacted] information requested.
Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA [redacted] Telephone: [redacted] b6 b7C

Originator's Facsimile Number: 212-384-2276

Approved:

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

b6
b7C

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No [redacted] or Special Agent [redacted] Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 3:59 P.M.
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/03/2001
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____ b3
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: _____ information requested.
Federal Grand Jury Subpoena

Special Handling Instructions: Please referred to attached subpoena and rider information.

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2276

Approved: _____ b6 b7C

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

Serh
58A-NY-278417- GJ-
153

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY UG
DATE 11/16/01

SEARCHED UG INDEXED UG
SERIALIZED UG FILED UG
SEP 10 2001
FBI NEW YORK
[redacted] CAM

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: 11:51 AM
Sender's Initials: cam
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/06/2001
Name of Office

Facsimile Number: _____

Attn: _____ b3 b6 b7C
Name Room Telephone

From: FBI - NEW YORK OFFICE
Name of Office

Subject: Federal Grand Jury Subpoena dated August 2, 2001

Special Handling Instructions: Please refer to attached subpoena and rider. Request for _____ information.

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2276

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena dated August 2, 2001

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law. b6 b7C

DATED: New York, New York
August 2, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3 b6 b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

Served via fax on 11/16/01
by SA [redacted]

b6
b7C

58A-NY-278417-GJ Seal

SEARCHED
SERIALIZED ug
INDEXED
FILED ug

2001

[redacted]

154

mp

[illegible]
WITH/TEXT ✓
WITH/COPY ✓
BY ug
DATE 12/11/01

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
November 14, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before return date to Special Agent [redacted] (tel. no. [redacted]) or Special Agent [redacted] (tel. no. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

TRANSMISSION VERIFICATION REPORT

TIME : 11/16/2001 10:03

DATE,TIME	11/16 10:02	
FAX NO./NAME	[redacted]	b3
DURATION	00:01:16	
PAGE(S)	03	
RESULT	OK	
MODE	STANDARD ECM	

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 3
(including cover sheet)

To: _____ Date: 11/16/01

Facsimile Number: _____

Attn: _____ Name _____ Room _____ Telephone

From: FBI- New York
Name of Office

b3
b6
b7C

Subject: Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: (914) 989-6107

Approved: _____

Brief Description of Communication Faxed: One Federal grand jury subpoena with attached rider.

WARNING

Served via fax on 11/19/01

to [redacted]

by SA [redacted]

b3
b6
b7C

[illegible]

WITH TEXT ✓

WITHOUT TEXT ✓

BY [illegible]

DATE 12/11/07

58A-NY-278417-GJ

Seal

SEARCHED [illegible] INDEXED [illegible]

SERIALIZED [illegible] FILED [illegible]

155 mp

2001

[illegible] — NEW YORK

[redacted] mp

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
November 16, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before return date to Special Agent [redacted] (tel. no. [redacted]) or Special Agent [redacted] (tel. no. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

TRANSMISSION VERIFICATION REPORT

TIME : 11/18/2001 09:18

DATE,TIME	11/18 09:16	
FAX NO./NAME	[redacted]	b3
DURATION	00:01:20	
PAGE(S)	03	
RESULT	OK	
MODE	STANDARD ECM	

TRANSMISSION VERIFICATION REPORT

TIME : 11/19/2001 12:16

DATE,TIME	11/19 12:15	
FAX NO./NAME	[redacted]	
DURATION	00:01:20	b3
PAGE(S)	03	
RESULT	OK	
MODE	STANDARD ECM	

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 3
(including cover sheet)

To: _____ Date: 11/18/01

Facsimile Number: _____

Attn: _____ Name _____ Room _____ Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Revised Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ /C-14 Telephone: _____

Originator's Facsimile Number: (914) 989-6107

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal grand jury subpoena with revised rider. Call me with any questions. _____

WARNING

Served by SA [redacted] /SA [redacted] on 09/05/01

to [redacted]

UPLOADED

WITH/TEXT ____

WITH/OUT TEXT ✓

BY ____

DATE 12/13/01

b3
b6
b7C

Sub

58A-NY-278417 - GJ -

SEARCHED ____ INDEXED ____

SERIALIZED ____ FILED ____

6 [illegible]

[redacted]

1516

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 12/14/01 Time: 08:27

Case ID: 58A-NY-278417-GJ Serial: 157

Description of Document:

Type : SUBPOENA
Date : 10/12/01
To : [redacted]
From : NEW YORK
Topic: GRAND JURY SUBPOENA

b3

Reason for Permanent Charge-Out:

filed in error

Employee: [redacted]

b6
b7C

Served by SA [redacted]
via fax to [redacted]
on or about 9/5/01

b3
b6
b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY Yg
DATE 12/18/07

58A-NY-278417-GJ
Sub
SEARCHED
SERIALIZED Yg
INDEXED
FILED Yg
1 Dec 17 2001
[redacted]
mp
158

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 28, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Served by AUSA [redacted] on 12/6/01 via fax

to [redacted]

b3
b6
b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 12/7/01

58A-NY-278417-GJ Sub

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
December 6, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Served by SA [redacted] on 12/13/01 via fax

to [redacted]

UPLOADED

b3 WITH/TEXT

b6 WITH/OUT TEXT ✓

b7C BY UG

DATE 1/7/02

Sech (X)

58A-NY-278417-GJ MJ

UG UG 161

[redacted] MJ

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
December 7, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

TRANSMISSION VERIFICATION REPOR

TIME : 02/12/1996 01:19
NAME :
FAX : 2123843695
TEL :

DATE,TIME	02/12 01:18	
FAX NO./NAME	[redacted]	
DURATION	00:00:47	b3
PAGE(S)	02	
RESULT	OK	
MODE	STANDARD ECM	

********** -COMM[redacted]URNAL- ********** DATE DEC-13-20[redacted] TIME 10:29 ********

MODE = MEMORY TRANSMISSION START=DEC-13 10:28 END=DEC-13 10:29

FILE NO.=929

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	[redacted]	[redacted]	002/002	00:00:27

b3

-FBI NYO OPC -

- ***** - - *********

********** -

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 2
(including cover sheet)

To: [redacted] Name of Office Date: 12/13/2001

Facsimile Number: [redacted] b3

Attn: _____ b6
Name Room Telephone b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted] b6 b7C

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena as discussed.

WARNING

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 2
(including cover sheet)

To: _____ Date: 12/13/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

b3 b6 b7C

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena as discussed.

WARNING

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 2
(including cover sheet)

To: _____ Date: 12/13/2001
Name of Office

Facsimile Number: _____ b3 b6 b7C

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena for _____

_____

Special Handling Instructions: Please hand deliver to _____ as requested by _____

Originator's Name: SA _____ Telephone: _____ b3 b6 b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena per telephone conversation with _____ on 12/13/2001.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by IA [redacted]
on 10/10/01 to [redacted]

Seb

58A-NY-278417-G5

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

JAN 10 2002

FBI NEW YORK

[redacted]

UPLOADED
WITH/TEXT ___
WITH/OUT TEXT ✓
BY ___
DATE 1/10/02

b3
b6
b7C

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 3, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

b6
b7C [redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

I. All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

Served by IA [redacted]
on 10/10/01 to [redacted]

UPLOADED
WITH/TEXT
WITH/OUT TEXT /
BY VCL
DATE 10/10/02

b3
b6
b7C

58A-WY-278417-GJ Sub (X)

SEARCHED INDEXED
SERIALIZED FILED
JAN 10 2002
FBI - NEW YORK

163

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b3

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents or Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. for submission to the grand jury.

b6
b7C

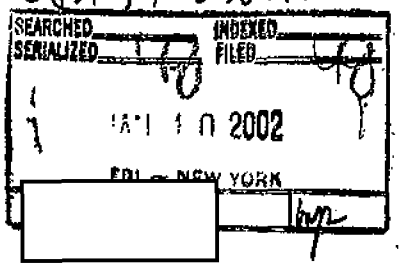
Served by IA [redacted]

on 10/10/01 [redacted]

Sub (X)

58A-NY-278417-65 mp

164



UPLOADED

WITH/TEXT

WITH/CUT TEXT

BY

DATE 10/10/02

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 4, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted] b3

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted] b3 b6 b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury. b6 b7C

Served by AUSA [redacted] via fax
12/26/01 to [redacted]

UPLOADED

b3 WITH/TEXT
b6 WITH/OUT TEXT ✓
b7C BY
DATE 1/11/02

58A-NY-278417-GJ- Serh (X)

SEARCHED INDEXED
SERIALIZED FILED
JAN 10 2002
[redacted] W-YORK

1165

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
December 26, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b3
b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted]

N.B.: Personal appearance is not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no.: [redacted]) or Special Agent [redacted] (tel. no [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: [redacted]

b6
b7C

Office Phone No.: [redacted]

(718) 422-1706

Fax Number:

No. pages (including cover sheet): THREE (3)

Date sent: DECEMBER 26, 2001

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

The information contained in this facsimile message, and any and all accompanying documents, constitute ~~confidential~~ information. This information is the property of the U.S. Attorney's Office. If you are not the intended recipient of this information, any disclosure, copying, distribution, or the taking of any action in reliance on this information is strictly prohibited. If you received this information in error, please notify us immediately by telephone at the above number and destroy the information.

****

To: [redacted]

b3
b6
b7C

Office Phone No.: [redacted]

Fax Number: [redacted]

Remarks:

********** -COMM. JOURNAL- ********** DATE DEC-26-2001 ***** TIME 18:39 ********

MODE = TRANSMISSION START=DEC-26 18:37 END=DEC-26 18:39

FILE NO.=441

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	a	[redacted] b3	003	00:00:55

-US ATTORNEYS OFFICE -

********** - ***** - **********

Seal

58A-NY-278417-GJ

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

JAN 16 2002

FBI - NEW YORK

UPLOADED
WITH/TEXT ____
WITH/OUT TEXT ____
BY ____
DATE 10/15/02

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 10, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no.: [redacted] or Special Agent [redacted] (tel. no [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 3
(including cover sheet)

To: _____ Date: 01/14/2002
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-4999

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

********** -COMM[redacted]IRNAL- ********** DATE JAN-14-20[redacted] TIME 10:19 *******

MODE = MEMORY TRANSMISSION START=JAN-14 10:18 END=JAN-14 10:19

FILE NO.=335

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	a	[redacted]	003/003	00:00:32

b3

-FBI NYO OPC -

********** - ***** - ** **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 3
(including cover sheet)

To: [redacted] Date: 01/14/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] [redacted] [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

b6
b7C

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-4999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Seal (X)

58A-NY-278417-GJ

168

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY

DATE 1/15/02

b6

b7C

SEARCHED INDEXED

SERIALIZED FILED

JAN 15 2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 10, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [X] Sensitive
- [ ] Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/14/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

_____ **Please provide results to SA** _____ **ASAP.**

Originator's Name: SA _____ C-14 Telephone: _____ b3 b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider. These records were already requested, but not produced in _____

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

********** -COMM.JC[redacted]L- ********** DATE JAN-14-2002 *** TIME 16:50 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-14 16:49 END=JAN-14 16:50

FILE NO.= 029

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	S	[redacted]	003/003		

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

b3

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- [X] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION

- [ ] Top Secret
- [ ] Secret
- [ ] Confidential
- [X] Sensitive
- [ ] Unclassified

Time Transmitted:
Sender's Initials: MJM
Number of Pages:
(including cover sheet)

To: [redacted] Date: 01/14/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

[redacted] **Please provide results to SA** [redacted] **ASAP.**

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

Approved:

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider. These records were already requested, but not produced in [redacted]

b3
b6
b7C

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served by SA [redacted] via fax 1/15/02

Sub GJ

58A-NY-278417-[illegible]

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

JAN 15 2002

FBI - NEW YORK

[redacted]

b6
b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ____
BY ____
DATE 1/17/02

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), Room [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, New York 10278, for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-384-2745 as soon as possible.**

Originator's Name: SA _____ C-14 Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

********** -COMM[redacted]RNAL- ********** DATE JAN-15-20[redacted] ***** TIME 10:32 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 10:30 END=JAN-15 10:32

FILE NO.= 038

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]		003/003		

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

b3

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Name of Office Date: 01/15/2002

Facsimile Number: [redacted]

Attn: [redacted] Name Room [redacted] Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-384-2745 as soon as possible.**

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

b6
b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 1/17/02

58A-NY-278417-GJ-170 Serial

SEARCHED INDEXED
SERIALIZED FILED
JAN 15 2002
FBI NEW YORK

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to _____

Please fax results to 212-384-2745 as soon as possible. Thank you.

Originator's Name: SA _____ C-14 Telephone: _____ b3 b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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********** -COMM. J[redacted]AL- ********** DATE JAN-15-20[redacted] *** TIME 11:12 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 11:06 END=JAN-15 11:12

FILE NO.= 051

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	s	[redacted]	003/003		

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

b3

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 01/15/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to [redacted]

Please fax results to 212-384-2745 as soon as possible. Thank you.

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

b3
b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: SSA [redacted]

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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UPLOADED

WITH/TEXT _____

WITH/OUT TEXT ✓

BY UG

DATE 1/17/02

b6

b7C

58A-NY-278417-GJ

Seal 171

SEARCHED _____ INDEXED _____

SERIALIZED UG FILED UG

JAN 15 2002

FBI NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____

Att.: _____ _____ _____
Name Room Telephone

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please fax results to 212-384-2745 as soon as possible.

Originator's Name: SA _____ C-14 Telephone: _____

b6
b7C

Originator's Facsimile Number: 212-384-4999

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena with attached rider.

WARNING

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********** -COMM. [redacted]NAL- ********** DATE JAN-15-200[redacted]*** TIME 10:47 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 10:45 END=JAN-15 10:47

FILE NO.= 043

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	s	[redacted]	003/003		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 01/15/2002
Name of Office

Facsimile Number: [redacted] b3

Att.: [redacted] [redacted] [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please fax results to 212-384-2745 as soon as possible.

Originator's Name: SA [redacted] C-14 Telephone: [redacted] b6 b7C

Originator's Facsimile Number: 212-384-4999

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena with attached rider.

WARNING

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b6
b7C

UNCLASSIFIED

WITH/TEXT ✓
WITH/CUT TEXT ✓
BY [illegible]
DATE 1/17/02

Seal
58A-NY-278417-GJ-172 (X)

SEARCHED [illegible] INDEXED [illegible]
SERIALIZED [illegible] FILED [illegible]

JAN 15 2002

NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C
[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to security department.

Please fax results to 212-384-2745 ASAP. Thank you.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2745 b3 b6 b7C

Approved: SSA _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoenas with attached rider. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

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********** -COMM.JOURNAL- ********** DATE JAN-15-2002 ***** TIME 11:06 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 11:04 END=JAN-15 11:06

FILE NO.= 049

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]	[redacted]	003/003		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 01/15/2002
Name of Office

Facsimile Number: [redacted]

b3

Attn: [redacted] [redacted] [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to security department.

Please fax results to 212-384-2745 ASAP. Thank you.

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2745

Approved: SSA [redacted]

b3
b6
b7C

Brief Description of Communication Faxed: One Federal Grand Jury subpoenas with attached rider. NO DISCLOSURE TO [redacted] DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

UPLOADED
WITH TEXT
WITH/OUT TEXT
BY
DATE 10/17/02

58A-NY-278417-GJ Sub
SEARCHED
SERIALIZED
INDEXED
FILED
JAN 15 2002
FBI - NEW YORK

173

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____ b3

Att.: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to Subpoena Compliance Dept.

Please fax results to 212-384-2745 as soon as possible. Thank you.

Originator's Name: SA _____ C-14 Telephone: _____ b6

Originator's Facsimile Number: 212-384-2745 b7C

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena with attached rider.

WARNING

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********** -COMM.JOURNAL- ********** DATE JAN-15-2002 ***** TIME 11:10 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 11:09 END=JAN-15 11:10

FILE NO.= 054

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]	[redacted]	003/003		

b3 -FBI NYD CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 01/15/2002
Name of Office

Facsimile Number: [redacted]

b3

Att.: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to Subpoena Compliance Dept.

Please fax results to 212-384-2745 as soon as possible. Thank you.

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

b6
b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One FGJ Subpoena with attached rider.

WARNING

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UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY UG
DATE 1/17/06

Seal
58A-NY-278417-GJ
174 mp

SEARCHED
SERIALIZED UG
INDEXED
FILED UG
JAN 15 2002
FBI — NEW YORK

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: One Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-384-2745 as soon as possible. Thank you.**

Originator's Name: SA _____ C-14 Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal grand jury subpoena with attached rider.

WARNING

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********** -COMM. [redacted]HAL- ********** DATE JAN-15-20[redacted] *** TIME 10:42 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 10:40 END=JAN-15 10:42

FILE NO.= 041

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	s	[redacted]	003/003		

b3 -FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 01/15/2002
Name of Office

Facsimile Number: [redacted] b3

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: One Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-384-2745 as soon as possible. Thank you.**

Originator's Name: SA [redacted] C-14 Telephone: [redacted] b6 b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal grand jury subpoena with attached rider.

WARNING

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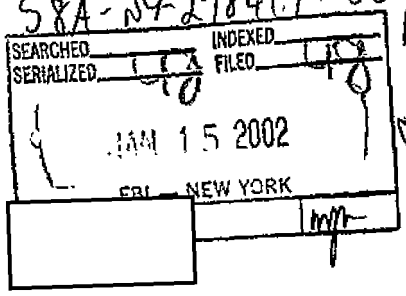
Sub
58A-NY-278417-GJ-175



SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

JAN 15 2002

FBI — NEW YORK

UNCLASSIFIED

WITH/TEXT ____
WITH/OUT TEXT ✓
BY 48
DATE 1/17/02

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 01/15/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-384-2745 as soon as possible.**

Originator's Name: SA _____ C-14 Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2745

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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********** -COMM. JOURNAL- ********** DATE JAN-15-2002 ***** TIME 11:17 *** P.01

MODE = MEMORY TRANSMISSION START=JAN-15 11:15 END=JAN-15 11:17

FILE NO.= 057

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	s	[redacted]	003/003		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 01/15/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: **Please fax results to 212-384-2745 as soon as possible.**

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2745

b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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WITH TEXT ✓
WITH/OUT TEXT ✓
BY UG
DATE 1/17/02

SEARCHED
SERIALIZED UG
INDEXED
FILED UG
JAN 15 2002
FBI — NEW YORK

SYA NY 278417-SBIB GJ-170

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 10, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

UPLOADED

WITH/TEXT ____

WITH/OUT TEXT ✓

BY ____

DATE 1/17/02

53-NY-278417-SUB GS-

SEARCHED ____ INDEXED ____

SERIALIZED ____ FILED ____

1 1 5 2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 11, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY yg

DATE 1/24/02

Sub

58A-NY-278417-GJ

178 mp

SEARCHED INDEXED

SERIALIZED yg FILED yg

JAN 24 2002

FBI — NEW YORK

mp

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 17, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no. [redacted] or Special Agent [redacted] (tel. no [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: nm
Number of Pages: 3
(including cover sheet)

b3

To: _____ Name of Office Date: 01/18/2002

Facsimile Number: _____

Attn: _____
Name Room Telephone

From: SA _____ Name of Office

Subject: subpoena for records

b6
b7C

Special Handling Instructions: _____

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: 212)384-2999

Approved: _____

Brief Description of Communication Faxed: _____

WARNING

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BY YG
DATE 1/24/02

58A-NY-278417-GJ Sub 179

SEARCHED
SERIALIZED YG
INDEXED
FILED YG
JAN 24 2002
FBI — NEW YORK
hmp

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 17, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted]) or Special Agent [redacted] (Tel. No. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: nm
Number of Pages: 3
(including cover sheet)

b3

To: _____ Date: 01/18/2002
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

From: FBI New York
Name of Office

Subject: subpoena

Special Handling Instructions: _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: (212)384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: _____

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

UPLOADED
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BY
DATE 1/24/02

58A-NY-278417 - GJ - Serial 180

SEARCHED
SERIALIZED
INDEXED
FILED
JAN 24 2002
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 17, 2002

b6
b7C

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Tel. No. [redacted] or Special Agent [redacted] (Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: nm
Number of Pages: 3
(including cover sheet)

To: [redacted] Name of Office

Date: 01/18/2002

Facsimile Number: [redacted] b3

Attn: [redacted] Name Room Telephone

From: FBI New York
Name of Office

Subject: subpoena

Special Handling Instructions: _____

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: (212)384-2999

Approved: _____ b6 b7C

Brief Description of Communication Faxed: _____

WARNING

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BY
DATE 1/24/02

58A-NY-278417-65 Sub 181 (X)

SEARCHED
SERIALIZED
INDEXED
FILED
JAN 24 2002
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 17, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: nm
Number of Pages: 3
(including cover sheet)

To: _____ Date: 01/18/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI New York
Name of Office

Subject: subpoena

Special Handling Instructions: _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: (212)384-2999

b6
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Approved: _____

Brief Description of Communication Faxed: _____

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WITH/TEXT ✓

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BY 48

DATE 1/24/06

58A-NY-278417-GJ Sub

SEARCHED 48 INDEXED

SERIALIZED 48 FILED 48

[redacted]

182

b6
b7C

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 17, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3
b6
b7C

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent (tel. no.: or Special Agent (tel. no. Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: nm
Number of Pages: 3
(including cover sheet)

To: _____ Date: 01/23/2002
Name of Office

b3
b6
b7C

Facsimile Number: _____

Attn: _____
Name Room Telephone

From: FBI New York
Name of Office

Subject: subpoena

Special Handling Instructions: _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: (212) 384-2999

b6
b7C

Approved: _____

Brief Description of Communication Faxed: _____

WARNING

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WITH/TEXT ✓

WITH/OUT TEXT ✓

BY yg

DATE 1/24/02

Sub

58A-NY-278417-GJ

SEARCHED yg INDEXED

SERIALIZED yg FILED yg

JAN 24 2002

FBI NEW YORK

183 mp

mp

b6

b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 18, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

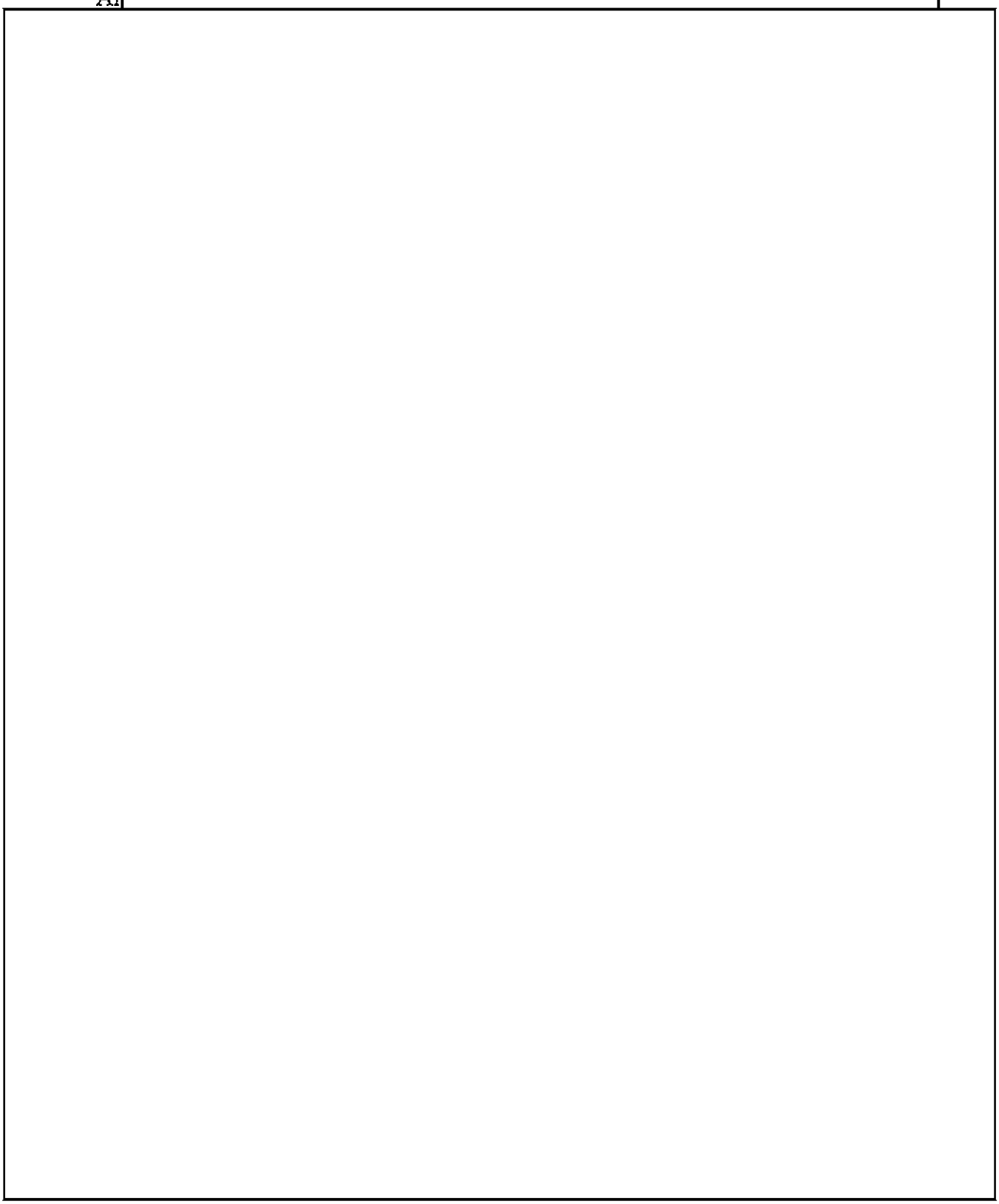
[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3

A1



N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agen[redacted](tel. no.:[redacted] or Special Agent [redacted](tel. n[redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: nm
Number of Pages: 4
(including cover sheet)

To: [redacted] _____ Date: 01/22/2002
Name of Office

Facsimile Number: [redacted] _____

b3
b6
b7C

Attn: [redacted] _____
Name Room Telephone

From: FBI New York
Name of Office

Subject: subpoena

Special Handling Instructions: _____

Originator's Name: SA [redacted] _____ Telephone: [redacted] _____

Originator's Facsimile Number: (212)384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: _____

WARNING

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WITH/TEXT ✓

WITH/OUT TEXT ✓

BY UG

DATE 1/25/02

58A-NY-278417-GJ- Sub (X)

SEARCHED UG INDEXED UG

SERIALIZED UG FILED UG

JAN 24 2002

FBI — NEW YORK

mp

18 mp

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of: b3

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
January 24, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

Sub (X)

58A-NY-278417-GJ

188

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY UG

DATE 1/31/02

SEARCHED

SERIALIZED UG

INDEXED

FILED UG

JAN 2 9 2002

FBI — NEW YORK

UPLOADED

WITH/TEXT ____

WITH/OUT TEXT ✓

BY yg

DATE 2/27/02

584-NY-278417-Sub BJ-189

SEARCHED ____ INDEXED ____

SERIALIZED yg FILED yg

2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES. B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone:

RIDER TO SUBPOENA

b3

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY UB
DATE 2/27/03

SBA-M-278417 - Sub SBJ - 190

SEARCHED INDEXED
SERIALIZED UB FILED UB
2002
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

b3

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY ug

DATE 2/27/02

Seal

SAH-NY-278417-SGJ- 191

SEARCHED ug INDEXED ug

SERIALIZED ug FILED ug

2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

b3

UPLOADED

WITH/TEXT ✓

WITH/OUT TEXT

BY ug

D 2/27/02

SYA-M-278417- Sub J- 192

SEARCHED INDEXED

SERIALIZED ug FILED ug

2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B COMEY
United States Attorney for the Southern District of New York

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted] Telephone: [redacted]

b6
b7C

RIDER TO SUBPOENA

b3

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY ug

DATE 3/27/02

SOA-M-278417-SUB-SKGS- 193

SEARCHED ug INDEXED

SERIALIZED ug FILED ug

2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

b3

UPLOADED

WITH/TEXT ✓

WITH/OUT TEXT

BY UG 2/27/02

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SEARCHED UG INDEXED

SERIALIZED UG FILED UG

2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

b3

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/27/02

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SEARCHED INDEXED
SERIALIZED FILED
2002
FBI — NEW YORK

195

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

b3

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY

DATE 2/27/02

SEARCHED

SERIALIZED

INDEXED

FILED

2002

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

b3

UPLOADED
WITH/TEXT
WITH/CONTENT
BY
DATE 3/27/02

SM-M278417-SBU-GJ-
INDEXED
SERIALIZED
FILED
2002
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

b6
b7C

DATED: New York, New York
February 5, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO SUBPOENA

[redacted]

b3

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
3/27/02

58HM-278417-Sub GJ-
SEARCHED INDEXED
SERIALIZED FILED
2002
FBI — NEW YORK
2

198

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
February 12, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

[redacted]

b3

[redacted] b3

N.B.: Original records are to be produced if they exist; otherwise, produce clear photocopies. Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no. [redacted] or Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury. b6 b7C

Served via fax 4/5/02

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WITH TEXT
WITH/OUTTEXT ✓
BY
DATE 4/5/02

58A-NY-278417-GJ Sub

SEARCHED
SERIALIZED
INDEXED
FILED

199

b6
b7c

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached Rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 3, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/04/2002
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

_____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

********** -COMM. [redacted]RNAL- ********** DATE APR-05-20[redacted] ***** TIME 13:59 *** P.01

MODE = MEMORY TRANSMISSION START=APR-05 13:57 END=APR-05 13:58

FILE NO.= 041

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]	[redacted]	003/003		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Name of Office Date: 04/04/2002

Facsimile Number: [redacted]

Attn: [redacted] Name [redacted] Room [redacted] Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

[redacted]

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served via fax 4/5/02

[illegible]

[illegible]

[illegible] ✓

BY: [illegible]

DATE: 4/5/02

S8A-NY-278417-GJ Sub

SERIALIZED [illegible] FILED [illegible]

[illegible]

[illegible]

200

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached Rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 3, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Tel. No. [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

********** -COMM[redacted]RNAL- ********** DATE APR-04-20[redacted] ***** TIME 08:51 *** P.01

MODE = MEMORY TRANSMISSION START=APR-04 08:50 END=APR-04 08:51

FILE NO.= 008

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]	[redacted]	003/003		

b3 -FBI NYD CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 04/04/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted] b3
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to [redacted]

[redacted]

Originator's Name: SA [redacted] Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999 b6 b7C

Approved: SSA [redacted]

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/04/2002
Name of Office

Facsimile Number: _____

b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: SSA _____

b6
b7C

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served via fax 4/4/02

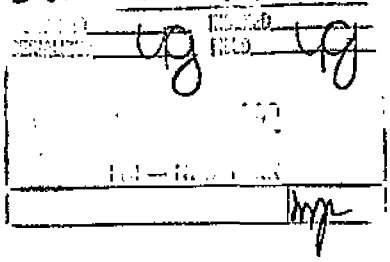
[redacted]

BY tg

DATE 4/5/02

58A-NY-278417-GJ Sub

tg tg 201



United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached Rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

April 3, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Tel. No.: [redacted] or Special Agent [redacted] Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

********** -COMM[redacted]URNAL- ********** DATE APR-04-2[redacted] ***** TIME 08:49 *** P.01

MODE = MEMORY TRANSMISSION START=APR-04 08:48 END=APR-04 08:49

FILE NO.= 007

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	a	[redacted]	003/003		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 04/04/2002
Name of Office

Facsimile Number: [redacted]

b3

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

[redacted] Please provide results to SA [redacted] ASAP.

b3
b6
b7C

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/04/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b3
b6
b7C

Special Handling Instructions: Please hand deliver to _____

_____ Please provide results to SA _____ ASAP.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served via fax 4/5/02

Sub

58A-NY-278419-GJ

202

BY Ug

DATE 4/5/02

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached Rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

April 3, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted]

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] or Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

********** -COMM. JOURNAL- ********** DATE APR-05-2002 ***** TIME 13:52 *** P.01

MODE = MEMORY TRANSMISSION START=APR-05 13:49 END=APR-05 13:52

FILE NO.= 037

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]	[redacted]	003/003		

b3

-FBI NYD CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 04/04/2002
Name of Office

Facsimile Number: [redacted]

b3

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

b6
b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/04/2002
Name of Office

Facsimile Number: _____

Attn: _____ b3
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____ b6 b7C

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served via fax 4/5/02

58A-NY-278417-65

Seal

203

UNLOADED

WITH TEXT

WITH/OUT TEXT ✓

BY

DATE 4/5/02

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached Rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 3, 2002

b6
b7C

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted] or Special Agent [redacted] (Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

********** -COMM. JOURNAL- ********** DATE APR-05-2002 ***** TIME 13:55 *** P.01

MODE = MEMORY TRANSMISSION START=APR-05 13:53 END=APR-05 13:55

FILE NO.= 039

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	S	[redacted] b3	003/003		-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 04/04/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to [redacted]

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: [redacted]

Approved: SSA [redacted]

b3
b6
b7C

Brief Description of Communication Faxed: One Federal Grand Jury subpoenas with attached rider. NO DISCLOSURE TO [redacted] DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/04/2002
Name of Office

Facsimile Number: _____

Attn: _____ b3
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999 b3

Approved: SSA _____ b6 b7C

Brief Description of Communication Faxed: One Federal Grand Jury subpoenas with attached rider. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

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WITH/OUT TEXT
BY ug
DATE 4/9/02

58A-NY-278417-GO Sub-
SEARCHED ug INDEXED ug 204 (X)
SERIALIZED ug FILED ug
2002
FBI NEW YORK
mjp

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: _____ Date: 04/09/2002
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b6
b7C

Special Handling Instructions: Please provide records to SA _____

_____ 26 Federal Plaza, 23rd Floor, New York, NY 10278.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

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********** -COMM. JOURNAL- ********** DATE APR-09-2002 ***** TIME 15:06 *** P.01

MODE = MEMORY TRANSMISSION START=APR-09 15:04 END=APR-09 15:06

FILE NO. = 065

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	[redacted]		003/003		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 04/09/2002
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b3

Special Handling Instructions: Please provide records to SA [redacted]

[redacted] 26 Federal Plaza, 23rd Floor, New York, NY 10278.

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider.

WARNING

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Served by SA [redacted]
via fax to [redacted]
4/9/02

b3
b6
b7C

UPLOADED
WITH TEXT
WITHOUT TEXT ✓
BY
DATE 4/12/02

58A-NY-278417-GJ Serial 206

SEARCHED INDEXED
SERIALIZED FILED
2002
FBI NEW YORK
[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

~~See attached Rider~~.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

[redacted]

b6
b7C

~~Assistant United States~~ Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

********** -COMM. JOURNAL- ********** DATE APR-09-2002 ***** TIME 15:15 *** P.01

MODE = MEMORY TRANSMISSION START=APR-09 15:14 END=APR-09 15:15

FILE NO.= 067

NO.	COM	ABBR/NTWK	STATION NAME/ TELEPHONE NO.	PAGES	PRG.NO.	PROGRAM NAME
001	OK	S	[redacted]	002/002		

b3

-FBI NYO CD WCC -

********** -2123842999 - ***** - 2123842999- *********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 2
(including cover sheet)

To: [redacted] Date: 04/09/2002
Name of Office

Facsimile Number: [redacted]

Attn: _____ [redacted]
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena for [redacted]

Special Handling Instructions: Please hand deliver to [redacted]

b6
b7C

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena for [redacted]

b3
b6
b7C

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FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 2
(including cover sheet)

To: [redacted] Date: 04/09/2002
Name of Office

Facsimile Number: [redacted] b3 b6 b7C

Attn: [redacted]
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena for [redacted]

Special Handling Instructions: Please hand deliver to [redacted]

Originator's Name: SA [redacted] C-14 Telephone: [redacted] b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena for

[redacted] b3 b6 b7C

WARNING

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Served by FA [redacted] on 06/18/02
via fax to [redacted]

UPLOADED

WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 6/19/02

b3
b6
b7C

58A-NY-278417-GJ-
Sub
208
PFG/KCB

SEARCHED
SERIALIZED
INDEXED
FILED
JUN 2002
FBI — NEW YORK

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 14, 2002

JAMES B. COMEY
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Records [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

CK#1

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mdj
Number of Pages: 4
(including cover sheet)

To: [redacted] Date: 6/18/02
Name of Office

Facsimile Number: [redacted] b3 b6 b7C

Attn: [redacted]
Name Room Telephone

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: HAND CARRY PLEASE.

Originator's Name: [redacted] Telephone: [redacted]

Originator's Facsimile Number: (212) 384-[redacted]2745

Approved: _____ b6 b7C

Brief Description of Communication Faxed: _____

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********** -COMM. [redacted] NAL- ********** DATE JUN-18-2002 [redacted] ** TIME 11:13 ********

MODE = MEMORY TRANSMISSION START=JUN-18 11:12 END=JUN-18 11:13

FILE NO.=139

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	[redacted]	[redacted]	004/004	00:00:31

b3

-FBI NYO OPC -

********** - ***** - **********

FD-448 (Rev. 6-2-97)

CK#1

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

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- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mdj
Number of Pages: 4
(including cover sheet)

To: [redacted] Date: 6/18/02
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]

b3
b6
b7C

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: HAND CARRY PLEASE.

Originator's Name: [redacted] Telephone: [redacted]

b6
b7C

Originator's Facsimile Number: (212) 384-2999

Approved: _____

Brief Description of Communication Faxed: _____

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 10/19/02

58A-NY-278417-GJ Sub

SEARCHED
SERIALIZED
INDEXED
FILED
JUN 18 2002
FBI - NEW YORK

209 PFG/HCO

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 14, 2002

JAMES B. COMEY
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Records [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] of [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mdj
Number of Pages: 25
(including cover sheet)

To: _____ Date: 6/18/02
Name of Office

Facsimile Number: _____

Attn: _____
Telephone

b3
b6
b7C

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: HAND carry please.

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: (212) 384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: _____

WARNING

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********** -COMM. JOURNAL- ********** DATE JUN-18-2002 ***** TIME 10:44 ********

MODE = MEMORY TRANSMISSION START=JUN-18 10:42 END=JUN-18 10:44

FILE NO. [redacted] b7D

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION	
001	OK	s	[redacted]	005/005	00:00:45	b3

-FBI NYO OPC -

********** - ***** - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted:
Sender's Initials: MDJ
Number of Pages: 5
(including cover sheet)

To: [redacted] Date: 6/18/02

Facsimile Number: [redacted] b3 b6 b7C

Attn: [redacted] Telephone

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: HAND CARRY PLEASE.

Originator's Name: [redacted] Telephone: [redacted] b6 b7C

Originator's Facsimile Number: (212) 384-2999 2745

Approved:

Brief Description of Communication Faxed:

WARNING

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Served by FA [redacted] on 6/18/02
via fax to [redacted]

Sub
58A-NY-278417-GJ (X)
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted] 250 mds
JUN 18 2002
FBI - NEW YORK
[redacted] mds
AFG/HCB

WITH/TEXT [redacted]
WITH/OUT TEXT ✓
BY [redacted]
DATE 10/19/02

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Records [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mdj
Number of Pages: 4
(including cover sheet)

To: _____ Date: 6/18/02
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name e

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: HAND CARRY PLEASE.

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: (212) 384-2999

Approved: _____ b6 b7C

Brief Description of Communication Faxed: _____

WARNING

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***************** -COMM. RNAL- ******************* DATE JUN-18-2002 **** TIME 10:46 ********

MODE = MEMORY TRANSMISSION　　START=JUN-18 10:44　　END=JUN-18 10:46

FILE NO.=065

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	≋	[redacted]	004/004	00:01:02

b3

-FBI NYO OPC -

** - - ***** - - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: ______
Sender's Initials: mdj
Number of Pages: 4
(including cover sheet)

To: [redacted]　　Date: 6/18/02
Name of Office

Facsimile Number: [redacted]　　b3

Attn: [redacted]
Name　Room　Telephone

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: HAND CARRY PLEASE

Originator's Name: [redacted]　　Telephone: [redacted]

Originator's Facsimile Number: (212) 384-~~2999~~ 2745　　b6 b7C

Approved: ______

Brief Description of Communication Faxed: ______

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 67
Page 6 ~ b3; b6; b7C;
Page 19 ~ b3; b6; b7C;
Page 77 ~ b3; b6; b7C;
Page 84 ~ b3; b6; b7C;
Page 85 ~ b3; b6; b7C;
Page 86 ~ b3; b6; b7C;
Page 91 ~ b3; b6; b7C;
Page 92 ~ b3;
Page 93 ~ b3; b6; b7C;
Page 94 ~ b3; b6; b7C;
Page 95 ~ b3; b6; b7C;
Page 100 ~ b3; b6; b7C;
Page 101 ~ b3; b6; b7C;
Page 102 ~ b3; b6; b7C;
Page 103 ~ b3; b6; b7C;
Page 104 ~ b3; b6; b7C;
Page 105 ~ b3; b6; b7C;
Page 106 ~ b3; b6; b7C;
Page 107 ~ b3; b6; b7C;
Page 108 ~ b3; b6; b7C;
Page 109 ~ b3; b6; b7C;
Page 110 ~ b3;
Page 115 ~ b3; b6; b7C;
Page 116 ~ b3; b6; b7C;
Page 117 ~ b3; b6; b7C;
Page 118 ~ b3; b6; b7C;
Page 119 ~ b3; b6; b7C;
Page 120 ~ b3; b6; b7C;
Page 121 ~ b3; b6; b7C;
Page 122 ~ b3; b6; b7C;
Page 126 ~ b3; b6; b7C;
Page 127 ~ b3; b6; b7C;
Page 128 ~ b3; b6; b7C;
Page 133 ~ b3; b6; b7C;
Page 134 ~ b3; b6; b7C;
Page 135 ~ b3; b6; b7C;
Page 136 ~ b3; b6; b7C;
Page 137 ~ b3; b6; b7C;
Page 142 ~ b3; b6; b7C;
Page 143 ~ b3;
Page 144 ~ b3; b6; b7C;
Page 148 ~ b3; b6; b7C;
Page 153 ~ b3; b6; b7C;
Page 154 ~ b3; b6; b7C;
Page 155 ~ b3; b6; b7C;
Page 156 ~ b3; b6; b7C;
Page 157 ~ b3; b6; b7C;
Page 158 ~ b3; b6; b7C;

Page 159 ~ b3; b6; b7C;
Page 160 ~ b3; b6; b7C;
Page 161 ~ b3; b6; b7C;
Page 162 ~ b3; b6; b7C;
Page 163 ~ b3; b6; b7C;
Page 164 ~ b3; b6; b7C;
Page 165 ~ b3; b6; b7C;
Page 166 ~ b3; b6; b7C;
Page 167 ~ b3; b6; b7C;
Page 168 ~ b3; b6; b7C;
Page 169 ~ b3; b6; b7C;
Page 170 ~ b3; b6; b7C;
Page 171 ~ b3; b6; b7C;
Page 172 ~ b3; b6; b7C;
Page 173 ~ b3; b6; b7C;
Page 174 ~ b3; b6; b7C;
Page 175 ~ b3; b6; b7C;
Page 176 ~ b3; b6; b7C;
Page 177 ~ b3; b6; b7C;

XXXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXX

Served by FA [redacted] on 6/18/02
via fax to [redacted]

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 10/19/02

b3
b6
b7C

58A-NY-278417-GJ
SEARCHED
SERIALIZED
INDEXED
FILED
JUN 18 2002
FBI — NEW YORK
[redacted]

211
PFG/KCO

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See **attached rider.**

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 14, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Records [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mdj
Number of Pages: 4
(including cover sheet)

To: [redacted] Date: 6/18/02
Name of Office

Facsimile Number: [redacted]

Attn: [redacted]
Name Room Telephone

b3
b6
b7C

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: PLEASE HAND CARRY TO [redacted]

Originator's Name: [redacted] Telephone: [redacted]

Originator's Facsimile Number: (212) 384-2999

Approved: _____

b6
b7C

Brief Description of Communication Faxed: _____

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********** -COMM. [redacted]NAL- ********** DATE JUN-18-2002 [redacted]*** TIME 10:39 ********

MODE = MEMORY TRANSMISSION START=JUN-18 10:38 END=JUN-18 10:39

FILE NO.=133

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	@	[redacted]	004/004	00:00:27

b3

-FBI NYO OPC -

********** - ***** - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☒ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted:

Sender's Initials: mdj

Number of Pages: 4 (including cover sheet)

To: [redacted] Date: 6/18/02

Facsimile Number: [redacted] b3

Attn: [redacted] Room Telephone b6 b7C

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS

Special Handling Instructions: Please hand carry to [redacted]

Originator's Name: [redacted] Telephone: [redacted]

Originator's Facsimile Number: (212) 384-2999 b6 b7C

Approved:

Brief Description of Communication Faxed:

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Served by SA [redacted]
on 7/15/02 via fax [redacted]
to [redacted]

WITH TEXT
WITH/OUT TEXT ✓
BY UD
DATE 7/15/02

58A-NY-278417-GJ-212

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
2002
FBI — NEW YORK
[redacted]

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
b6
b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

July 15, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 2
(including cover sheet)

To: _____ Date: 07/15/2002
Name of Office

Facsimile Number: _____

Attn: _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: _____

b6
b7C

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena. Call me if you have any questions. We will see you at about _____

b3

Disregard the _____ time on subpoena.

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Served by AUSA [redacted] on 07/17/02
to [redacted] via fax.

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [redacted]
DATE 7/18/02

58A-NY-278417-GJ-213

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
2002
FBI - NEW YORK
[redacted]

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

July 16, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (tel. no. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

********** -COMM. [redacted]RNAL- ********** DATE JUL-17-20[redacted] *** TIME 15:43 *** P.01

MODE = MEMORY TRANSMISSION START=JUL-17 15:42 END=JUL-17 15:43

FILE NO.= 104

STN NO.	COM	ABBR NO.	STATION NAME/TEL.NO.	PAGES	DURATION
001	OK	[redacted]		003/003	00:00'51"

b3

********** - ***** - *********

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: [redacted]
[redacted] Major Crimes Unit
Assistant United States Attorney

b6
b7C

Office Phone No.: [redacted]

Fax Number: (718) 422-1703 / (212) 637-2429

No. pages (including cover sheet): 3

Date sent: 7/17/02

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

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****

To: [redacted]

Telephone Number: [redacted]

Fax Number: [redacted]

b3
b6
b7C

Remarks:

Served by FA [redacted]
7/12/02 via fax to [redacted]

58A-NY-278417-6J

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
2002
FBI — NEW YORK
[redacted]

0214

UPLOADED
WITH/TEXT [redacted]
WITH/OUT TEXT [redacted]
BY [redacted]
DATE 7/19/02

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

July 1r, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3

All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

********** -CO[redacted]JOURNAL- ********** DATE JUL-12-2[redacted] ***** TIME 11:19 *********

MODE = MEMORY TRANSMISSION START=JUL-12 11:18 END=JUL-12 11:19

FILE NO.=461

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK	a	[redacted]	004/004	00:00:44

b3

-FBI NYO OPC -

********** - ***** - **********

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☐ Unclassified

Time Transmitted:
Sender's Initials: mdj
Number of Pages: 4
(including cover sheet)

To: [redacted] Date: 7/12/02

Facsimile Number: [redacted]

Attn: [redacted] Telephone [redacted]

b3
b6
b7C

From: NEW YORK FBI FIELD OFFICE
Name of Office

Subject: SERVICE OF A SUBPOENA FOR DOCUMENTS (Included time frame of [redacted]

Special Handling Instructions: Re subpoenaed documents; please do not disclose - Disclosure may impede the investigation.

Originator's Name: [redacted] Telephone: [redacted]

Originator's Facsimile Number: (212) 384-[redacted] 2746

b6
b7C

Approved:

Brief Description of Communication Faxed:

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UPLOADED
WITH/TEXT √
WITHOUT TEXT
BY
DATE 10/9/02

58A-NY-278417-6

SEARCHED
SERIALIZED
INDEXED
FILED
JUL 18 2002
FBI — NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/18/02

On 7/12/2002, Financial Analyst [redacted] served a Federal grand jury subpoena via fax to [redacted] information only.

b3
b6
b7C

This subpoena was issued in the Southern District of New York and directed [redacted] to produce records for submission to the grand jury.

b6
b7C

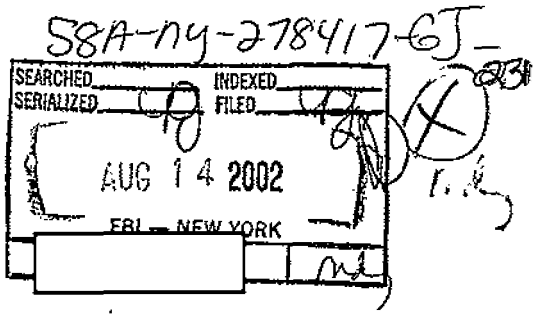
Investigation on 7/12/2002 at New York, New York

File # 58A-NY-278417 Date dictated N/A

by FA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency;

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
8/15/02



b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

b3

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

July 11, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury. b6 b7C

Served by SA [redacted] 8/21/02 via Fed Ex
w/ copies of docs highlighted & flagged.

58A-NY-278417-GJ-232 Sub

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
AUG 21 2002
FBI NEW YORK
[redacted]

(X)
mjr

UPLOADED
WITH/TEXT ___
WITH/OUT TEXT ✓
BY ___
DATE 8/22/02

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

b3
b6
b7C

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

Served by SA [redacted] via fax on 8/21/02

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY

DATE 8/22/02

b6
b7C

Sub
S8A-NY-278417-GJ

SEARCHED INDEXED
SERIALIZED FILED

AUG 2 1 2002

FBI — NEW YORK

233 (X)

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 08/21/2002
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

b6
b7C

Special Handling Instructions: Please provide results to SA _____
As discussed.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

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Served by SA [redacted] via fax
8/21/02

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b7C

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/22/02

58A-NY-278417 - GJ - Sub
SEARCHED INDEXED
SERIALIZED FILED
AUG 2 1 2002
FBI — NEW YORK
234

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 7
(including cover sheet)

To: _____ Date: 08/21/2002
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please provide results of subpoena to SA _____ at 26 Federal Plaza, 23rd Floor, New York, NY 10278.

b6
b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: Federal Grand Jury Subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

Served via fax by SA [redacted]
8/21/02

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/22/02

b6
b7C

Sub
58A-NY-278417-GJ

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 21 2002
FBI — NEW-YORK

235

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No [redacted] for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 08/21/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

_____ Please provide results to SA _____ ASAP.

Originator's Name: SA _____ C-14 Telephone: _____ b3

Originator's Facsimile Number: 212-384-2999 b6 b7C

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Served by SA [redacted] via fed ex
w/ enclosed [redacted] on 8/21/02

UPLOADED
WITH/TEXT ✓
WITH/CUT TEXT ✓
BY [redacted]
DATE 8/22/02

b3
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58A-NY-278417-GJ-Sub 2360 (X)

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
AUG 2 1 2002
FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury. b6 b7C

Served by SA [redacted] by fax 8/21/02

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/22/02

b6
b7C

58A-NY-278417-GJ Sub 237

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 2 1 2002
FBI NEW YORK

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3

All [redacted]

[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 08/21/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please fax results to SA _____ as soon as possible. b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

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8/21/02 [redacted]

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WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/22/02

b3
b6
b7C

SUL
58A-NY-278417-GJ-238

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 21 2002
FBI NEW YORK

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury. b6 b7C

Served by SA [redacted] 8/21/02 via fax

58A-NY-278417-GJ-239

SEARCHED [ ] INDEXED [ ]
SERIALIZED [ ] FILED [ ]
AUG 2 1 2002
FBI - NEW YORK
[redacted]

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ✓
BY [ ]
DATE 8/22/02

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 08/21/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please provide results to SA _____ ASAP.

Thanks! b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury. b6 b7C

Served by SA [redacted] via fax 8/21/02

UPLOADED

WITH/TEXT

WITH/OUT TEXT ✓

BY yg

DATE 8/22/02

b6
b7C

Sul

58A-NY-278417-GJ-

SEARCHED yg INDEXED yg
SERIALIZED yg FILED yg

240

JUN 2 1 2002

FBI - NEW YORK

[redacted]

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 08/21/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please provide results to SA _____ as soon as possible. Thanks! b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

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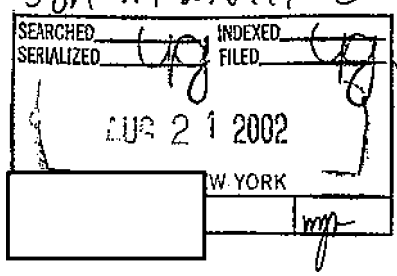
Served by SA [redacted] by fed ex 8/21/02

w/ [redacted] (not attached hereto)

58A-NY-278417-GJ Sub - 241



UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 8/26/02

b3
b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 20, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted]

[redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 94
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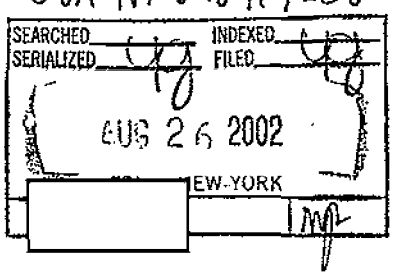
via fmf

58A-NY-278417-GJ Sub 242 (X) mf



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WITH/TEXT
WITH/OUT TEXT J
BY
DATE 8/26/02

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Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 23, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All [redacted]

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b7C

N.B.: [redacted]

b3

FACSIMILE COVER SHEET

U.S. ATTORNEY'S OFFICE, SDNY
ONE ST. ANDREW'S PLAZA
NEW YORK, NY 10007

****

From: [redacted]
[redacted] Major Crimes Unit
Assistant United States Attorney

b6
b7C

Office Phone No.: [redacted]

Fax Number: (718) 422-1703 / (212) 637-2429

No. pages (including cover sheet): 3

Date sent: 8/23/02

****

~~CONFIDENTIAL~~ U.S. ATTORNEY FACSIMILE COMMUNICATION

The information contained in this facsimile message, and any and all accompanying documents, constitute ~~confidential~~ information. This information is the property of the U.S. Attorney's Office. If you are not the intended recipient of this information, any disclosure, copying, distribution, or the taking of any action in reliance on this information is strictly prohibited. If you received this information in error, please notify us immediately by telephone at the above number and destroy the information.

****

To: [redacted]
SA - FBI

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Telephone Number: [redacted]

Fax Number: (212) 384-2999

Remarks:

58A-NY-278417-GJ Sub 243

SEARCHED INDEXED
SERIALIZED FILED
AUG 26 2002
FBI — NEW YORK

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/30/02

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b7C

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3 b6 b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 27, 2002

JAMES B. COMEY
United States Attorney for the Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

1. All

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N.B.:

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WITH/OUT TEXT ✓
BY
DATE 9/3/02

58A-NY-278417-GJ-Sub

SEARCHED
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INDEXED
FILED
AUG 26 2002
FBI — NEW YORK

244

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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No. 58A-NY-278417

26 Federal Plaza
23rd Floor
New York, New York 10278
[redacted]

August 29, 2002

[redacted]

b3
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VIA FED EX

4992 5343 4760

RE: Federal Grand Jury Subpoena [redacted]

Dear [redacted]

I received your telephone message concerning the [redacted] on the fax transmission. Enclosed please find a
b3 copy of the grand jury subpoena served on [redacted] on August 21,
b6 2002 for [redacted] information. Should you have any questions,
b7C please contact me at the above telephone number.

Sincerely yours,

Frederick Snellings
Special Agent in Charge

By: [redacted]
Special Agent

MJM/mjm
enclosures

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BY
DATE 10 9/9/02

58A-NY-278417-GJ-245 SUB

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Sep 16
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FBI - NEW YORK

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94605
8/28

Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

RECEIVED
AUG 26 2002

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

2002 AUG 26 PM 3:13

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 21, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

[redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury. b6 b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 09/05/2002
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: Please provide results to SA _____ as soon as possible. b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One federal grand jury subpoena with attached rider - Resubmitted.

WARNING

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WITHOUT ATTACHMENT ✓
BY 48
DATE 10/22/02

BBA-NY-278417-GJ-246

SEARCHED 10 INDEXED 10
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OCT 01 2002

FBI — NEW YORK

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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No. 58A-NY-278417

23rd Floor

[redacted]

October 21, 2002

VIA FEDERAL EXPRESS

[redacted]

b3
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RE: Federal Grand Jury Subpoena

Dear [redacted]

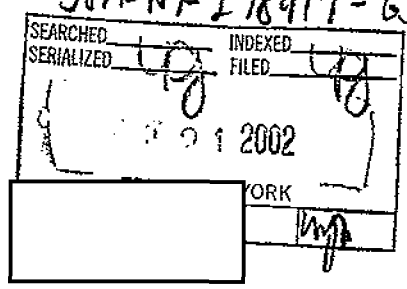
As per our telephone conversation of this date, enclosed please find [redacted] Please provide [redacted] as requested in the original subpoena on [redacted] and return to me at the above address. The [redacted] that you provided to me is also enclosed. Please contact me at [redacted] in the event you have any questions. Thank you for your attention to this matter.

Sincerely yours,

Frederick Snellings
Special Agent in Charge

By: [redacted]
Special Agent

58A-NY-278417-GJ



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WITH TEXT
WITHOUT TEXT
BY
DATE 10/22/02

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 21, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted]
Acting Clerk

b6
b7C

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All

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N.B.: Personal appearance not required at this time if subpoenaed materials are delivered on or before the return date to Special Agent (tel. no. Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, for submission to the grand jury.

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Served by SA [redacted]

On 3/17/03 via regular

mail to [redacted]

BY [illegible] 3/18/03

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

58A-NY-278417-GJ - 252

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MAR [illegible] 2003

[redacted]

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of :
18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 17, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

[redacted]
Acting Clerk

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

[redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

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Served by FA [redacted]
on 10/18/2002 via FEDERAL EXPRESS
to [redacted]

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58A-NY-278417-GJ - (X)

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
MAR 2002
FBI — NEW YORK
[redacted]

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

RECEIVED
2002 OCT 10 PM 3:46

TO:

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: Appearance Time:

to testify and give evidence in regard to an alleged violation of :
18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
October 8, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

Acting Clerk

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone:

STXP021527104

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Al [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agents [redacted] or [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury. b6 b7C

United States District Court

RECEIVED
2003 MAR 24 AM 11:45

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :
18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
March 17, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

[redacted]
Acting Clerk

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

SEARCHED INDEXED
SERIALIZED FILED
MAR 31 2003

[redacted]

4/2/03

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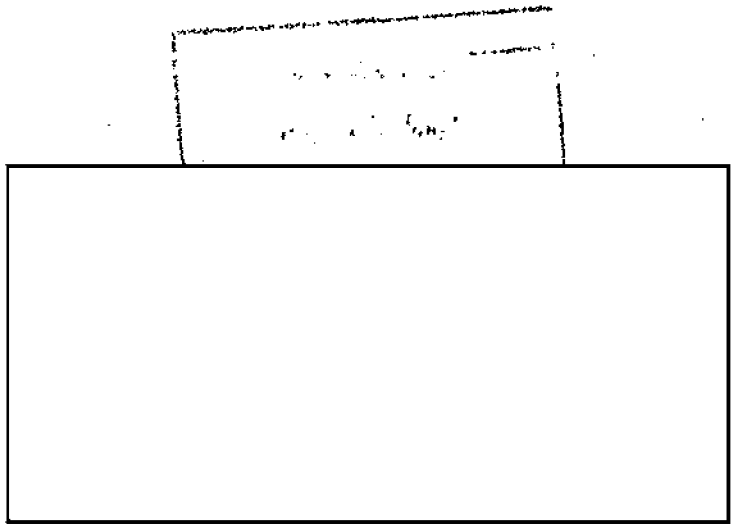

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide all records reflecting [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted] (Tel. No.:[redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury. b6 b7C

Served by SA [redacted]
via Fax on 4/4/03

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[illegible] ✓
BY [illegible] 4/7/03

SUB
58A-NY-278417-GJ
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SERIALIZED [illegible] FILED [illegible]
APR [illegible] 2003
[redacted] W YORK
[illegible]

255 (X)
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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 4, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

J. Michael McMahon
Clerk

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3

Kindly provide [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 .

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b7C

FD-448 (Rev. 5-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: 5
(including cover sheet)

To: _____ Date: 04/04/2003
Name of Office

Facsimile Number: _____ b3

Attn: _____ _____ _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury subpoena

Special Handling Instructions: Please hand deliver to security department.

Please provide results to SA _____ FBI, 26 Federal Plaza, New York, NY 10278.

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

b3
b6
b7C

Approved: _____

Brief Description of Communication Faxed: One Federal GJ subpoena with attached rider. NO DISCLOSURE TO _____ DISCLOSURE COULD IMPEDE AN ONGOING CRIMINAL INVESTIGATION.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

RECEIVED
2003 APR -8 P: 16

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 4, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

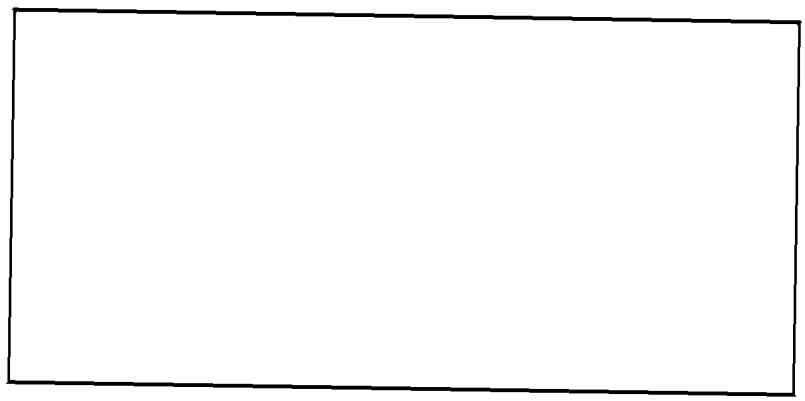
Telephone: [redacted]

b6
b7C

-256
58A-NY-278417-6J

SEARCHED INDEXED
SERIALIZED FILED
APR 0 2003
FBI NEW YORK

4/10/03



b3
b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 . b6 b7C

Served by SA [redacted]
via fax on 4/14/03

b6
b7C

58A-NY-278417-GJ-257

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
APR 2003
[redacted] TOWN

BY ___ 4/14/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place: b3

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 14, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 3
(including cover sheet)

To: _____ Date: 04/14/2003
Name of Office

Facsimile Number: _____ _____ b3 b6 b7C

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: _____ b6 b7C

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

WARNING

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58A-NY-278417-GJ-258

SEARCHED ___ INDEXED ___
SERIALIZED yg FILED yg

APR 2003

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yg 4/15/03

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58A-NY-278417-GJ

ARCHED ___ INDEXED ___
ALIZED ___ FILED ___

259

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mjr

WITH ... ✓
BY ___
DATE 4/15/03

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b7C

FD-448 (Rev. 8-4-97)

[redacted] b3

[redacted]

FBI FACSIMILE

COVER SHEET

[redacted] b6 b7C

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☒ Sensitive
- ☐ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: [redacted] Date: 04/04/2002
Name of Office

Facsimile Number: [redacted] b3

Attn: [redacted] b6 b7C
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to [redacted]

[redacted] Please provide results to SA [redacted] ASAP. b3 b6 b7C

Originator's Name: SA [redacted] C-14 Telephone: [redacted]

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with attached rider.

WARNING

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Grand Jury Subpoena 0012

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. 371, 201, 1001, 1505

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached Rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

April 3, 2002

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted] b6 b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

b3

All [redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. [redacted]) or Special Agent [redacted] (Tel. No.: [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

b6
b7C

served via fax on 4/11/03 by FA [redacted]

58A-NY-278417-GJ - 260

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
APR 14 2003
FBI — NEW YORK
[redacted]

b6
b7C

4/15/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury. b6 b7C

Served via fax on 4/11/03 by FA [redacted]

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261

SEARCHED
SERIALIZED
INDEXED
FILED
APR 14 2003
FBI - NEW YORK

[redacted]

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b7C

WITH
BY
DATE 4/15/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]

b6
b7C

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury. b6 b7C

Served via FAX by FA [redacted] on 4/11/03

58A-NY-278417-GJ

b6
b7C

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
MAC
APR 14 2003
FBI — NEW YORK
[redacted]

262

WITH ___
BY ___
DATE 4/15/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

b6
b7C

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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Served via Fax on 4/11/03 by FA [redacted]

58A-NY-278447-GJ-263

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SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAC APR 14 2003
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BY [redacted]
DATE 4/15/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

b3

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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Served via FAX by FA [redacted] on 4/11/03

58A-NY-278417-GJ

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264

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BY
DATE 4/15/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

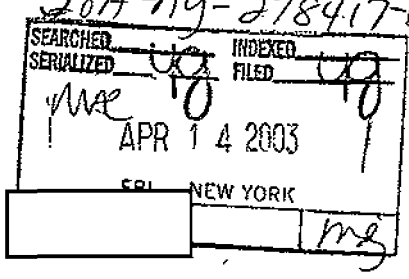
Kindly provide [redacted] b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury. b6 b7C

Served via FAX by FA [redacted] on 4/11/03

-265

58A-NY-278417-65



SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
APR 14 2003
FBI NEW YORK

[redacted]

b6
b7C

BY ___
DATE 4/15/03

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
April 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

b3

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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b7C

SEALED - CONFIDENTIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (e), FED. R. CRIM. P.

UPLOADED
WITH/TEXT
WITH/CUTTEXT ✓
BY VG
DATE 6/18/03

58A-NY-278417-GT
EARCHED VG INDEXED VG
ERIALIZED VG FILED VG
JUN 2003
YORK

266

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b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18, U.S.C. §§ 371, 201, 1001, 1505;
2 U.S.C. § 437g(d), 441(e) and 441(f).

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Please See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 16, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

[redacted]

b6
b7C

J. Michael McMahon
Clerk

[redacted]y

Telephone:

One St. Andrew's Plaza
New York, New York 10007

[redacted]

Rider

b3
b6
b7C

Any and all [redacted]

[redacted]

Personal appearance not required if the requested materials are delivered on or before the return date to **Special Agent** [redacted] **of the FBI at** [redacted] 26 Federal Plaza, NY, NY 10278. Please contact Agent [redacted] directly to arrange delivery of materials requested. Please do not send materials to the U.S. Attorney.

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: mjm
Number of Pages: 4
(including cover sheet)

To: _____ Date: 06/16/2003
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: _____

Originator's Name: SA _____ C-14 Telephone: _____ b6 b7C

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury subpoena with rider and cover letter.

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

UPLOADED
ATTACHMENT
WITHOUT ... ✓
BY Lg
DATE 7/2/03

58A-NY-278417-6J
SEARCHED Lg INDEXED Lg
SERIALIZED Lg FILED Lg
MAC
JUL 1 2003
FBI - NEW YORK
mdf

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b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] b6 b7C

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

b6
b7C

UNCLASSIFIED

WITH/TEXT ✓

WITH/OUT TEXT ✓

BY yg

DATE 7/2/03

58A-NY-278417-GJ Sub 268

SEARCHED yg INDEXED yg

SERIALIZED yg FILED yg

msl

JUL 1 2003

FBI NEW YORK

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey /MA

J. Michael McMahon
Clerk

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.:[redacted] for submission to the grand jury.

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DATE 7/2/03

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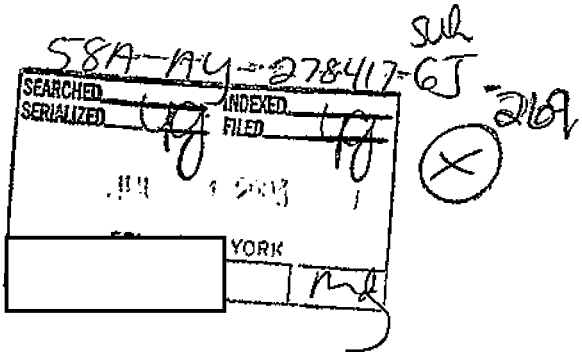

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted] b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted] b6 b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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DATE 7/2/03

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] s Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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[redacted]

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] es Attorney

b6
b7C

One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] es Attorney b6 b7C Telephone: [redacted]

One St. Andrew's Plaza
New York, New York 10007

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]s Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey /ga

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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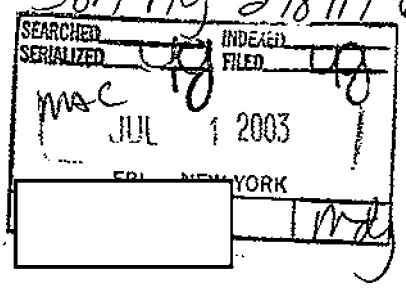

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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FBI NEW YORK

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DATE 7/2/03

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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DATE 49 7/2/03

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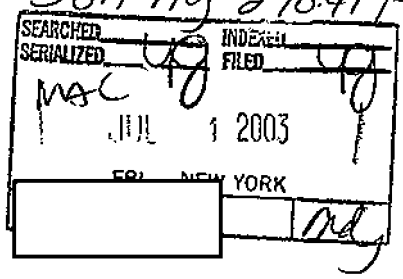

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

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and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey

J. Michael McMahon
Clerk

[redacted] tes Attorney
One St. Andrew's Plaza
New York, New York 10007

b6
b7C

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

UPLOADED
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BY
DATE 7/2/03

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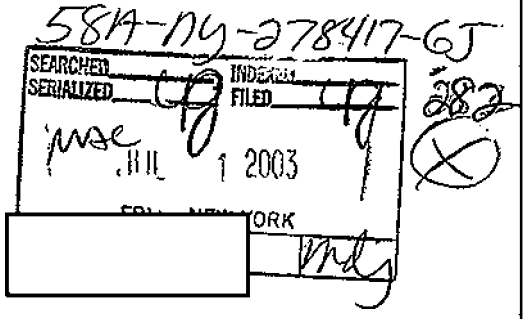

282

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

b6
b7C

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
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See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

b6
b7C

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

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DATE 7/2/03

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284

SEARCHED INDEXED
SERIALIZED FILED
JUL 1 2003
FBI - NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
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Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

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FBI NEW YORK

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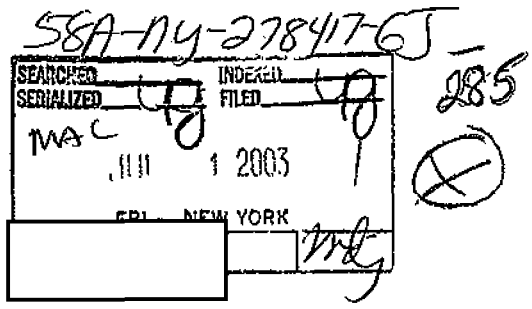

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

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See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey

J. Michael McMahon
Clerk

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

b6
b7C

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.

UPLOADED
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BY 7/2/03
DATE

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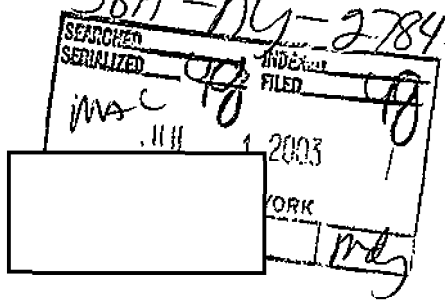
58A-NY-278417-6-286



United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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JUL 1 2003
FBI - NEW YORK

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

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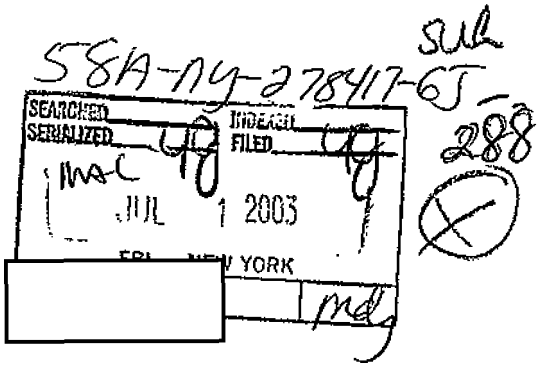
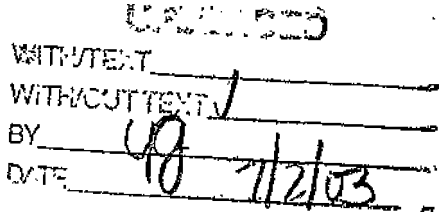
N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted] for submission to the grand jury.





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BY
DATE 7/2/03

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

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Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

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DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

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DATE 7/2/03

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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

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Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

June 23, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No.: [redacted]) for submission to the grand jury.

58A-NY-278417-6J Sub. 291

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BY
DATE 7/2/03

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/01/2003

On 6/30/2003, Financial Analyst [redacted] served, via fax, Federal Grand Jury subpoenas to the following [redacted] information only:

[redacted]

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On 7/01/2003, Financial Analyst [redacted] served, via Federal Express, a Federal Grand Jury subpoena to [redacted] information only.

All of the above subpoenas were issued in the Southern District of New York and directed each [redacted] to produce records for submission to the grand jury.

Investigation on 6/30/03 at New York, New York

File # 58A-NY-278417 b6 b7C Date dictated N/A

by FA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Served by SA [redacted] on 8/1/03
via facsimile to [redacted]

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WITH/TEXT
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BY ug
DATE 8/5/03

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JUL 29 2003
FBI — NEW YORK
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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted]

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GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
July 31, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey

J. Michael McMahon
Clerk

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: _____
Number of Pages: _____
(including cover sheet)

To: _____ Date: 08/01/2003
Name of Office

Facsimile Number: _____

Attn: _____
Name Room Telephone

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From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena

WARNING

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BY
DATE 8/21/03

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

SEARCHED
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FILED
AUG 20 2003

58A-NY-278417-SUBGJ
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United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 19, 2003

James B. Comey

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All current [redacted]

[redacted]

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N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

FD-448 (Rev. 6-2-97)

OKe

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/20/2003
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name _____ Telephone: _____

Originator's Facsimile Number: 212-384-~~2745~~ 2999 b3 b6 b7C

Approved: _____

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

UPLOADED
WITH/TEXT
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BY
DATE 8/21/03

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 2 0 2003
YORK

SA-NY-278417-SUB 6J
294

b6
b7C

Grand Jury Subpoena 0108

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 19, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All current [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No. [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/20/2003
Name of Office

Facsimile Number: _____

Attn: _____ b3
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: 212-384-2745

Approved: _____ b3 b6 b7C

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

WARNING

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GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

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WITH/TEXT
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BY UC
DATE 8/21/03

58A-NY-278417-S

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 2 0 2003
RK

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 19, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

b6
b7C

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All curren[redacted]

1.[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent[redacted]Tel. No.:[redacted]Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

FD-448 (Rev. 6-2-97)

OKC

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/20/2003
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: 212-384-2999 b3 b6 b7C

Approved: _____

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

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GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

UPLOADED

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BY UG

DATE 8/21/03

58A-NY-278417-65 SUB

SEARCHED

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[redacted] ORK

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b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 19, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey /MS

J. Michael McMahon
Clerk

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All curren[redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agen[redacted](Tel. No.[redacted]Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/20/2003
Name of Office

Facsimile Number: _____

Attn: _____ b3
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: 212-384-~~2315~~ ~~2729~~ 2746 b3 b6 b7C

Approved: _____

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

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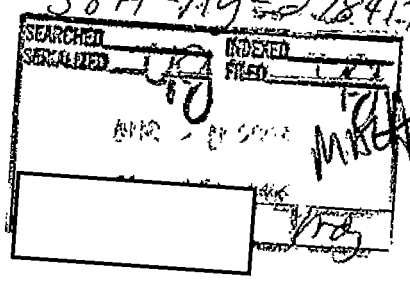
UPLOADED
WITH/TEXT
WITHOUT TEXT ✓
BY: [illegible]
DATE: 8/21/03



GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

58A-AG-228417-S
-2

SEARCHED
SERIALIZED
INDEXED
FILED

b6
b7C

Grand Jury Subpoena 0108

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 19, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All current [redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Tel. No. [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

FD-448 (Rev. 6-2-97)

done

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 08/20/2003
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: 212-384-~~2745~~ 2999 b3 b6 b7C

Approved: _____

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

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58A-NY-278417-GJ SUB 298

SEARCHED [ ] INDEXED [ ]
SERIALIZED [ ] FILED [ ]
AUG 20 2003
FBI - NEW YORK

UPLOADED
WITH/TEXT
WITH/OUT TEXT ✓
BY
DATE 8/21/03

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

August 19, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

All current [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No.: [redacted]) Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

UPLOADED
WITH/TEXT ✓
WITH/OUTTEXT
BY
DATE 10/8/2003

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6(e), FED. R. CRIM. P.

58A-NY-278417-GJ SUB

SEARCHED INDEXED
SERIALIZED FILED
AUG 2 0 2003
FBI - NEW YORK

299 X

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/20/2003

On 8/20/2003, Financial Analyst [redacted] served, via fax, Federal Grand Jury subpoenas to the following [redacted] information only.

[redacted]

b3
b6
b7C

[redacted] was also served via Federal Express on 8/20/2003 by FA [redacted]

All of the above subpoenas were issued in the Southern District of New York and directed each [redacted] to produce records for submission to the grand jury.

Investigation on 8/20/03 at New York, New York

File # 58A-NY-278417 b6 b7C Date dictated N/A

by FA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Served by fax to [redacted]
on 9/11/03

SUB - 300

58A-NY-278417-GJ

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
SEP 0 9 2003
[redacted] YORK

4/29/04 Re served via
fax to [redacted]
[redacted]
No docs received.

b3
b6
b7C

[redacted] 9/12/03

[redacted]

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See attached rider.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

September 9, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

James B. Comey

J. Michael McMahon
Clerk

[redacted]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] (Tel. No [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MJM
Number of Pages: _____
(including cover sheet)

To: _____ Date: 09/11/2003
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

b3
b6
b7C

From: FBI - New York
Name of Office

Subject: Federal Grand Jury Subpoena

Special Handling Instructions: Please hand deliver to _____

Originator's Name: SA _____ C-14 Telephone: _____

Originator's Facsimile Number: 212-384-2999

Approved: _____

Brief Description of Communication Faxed: One Federal Grand Jury Subpoena with attached rider.

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Material received from [redacted] pursuant to subpoena.

b3
b6
b7C

UNSEALED
WITH/TEXT
WITHOUT/TEXT
BY
DATE 9/23/03

58A-NY-278417-GJ-SUB

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 23 2003
FBI - NEW YORK

301

SUB

58A-NY-278417-GJ-302

SEARCHED
SERIALIZED
INDEXED
FILED

MAC OCT 20 2003

YORK

10/22/03

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

October 17, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] s Attorney

One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 10/20/2003
Name of Office

Facsimile Number: _____

Attn: _____ _____ _____
Name Room Telephone

From: FBI NEW YORK
Name of Office

b3
b6
b7C

Subject: FEDERAL GRAND JURY SUBPOENA

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: SA _____ Telephone: _____

Originator's Facsimile Number: 212-384-2745

Approved: MDJ

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER FOR CURRENT _____ INFORMATION ONLY

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SUB
58A-NY-278417-GJ-

SEARCHED
SERIALIZED
INDEXED
FILED
OCT 20 2003
YORK

(X)
303

10/22/03

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

See Attached Rider

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

October 17, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted]s Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
b6
b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted]) for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

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- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 10/20/2003
Name of Office

Facsimile Number: _____ b3

Attn: _____
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: _____ Telephone: _____

Originator's Facsimile Number: 212-384-2745

Approved: _____ b3 b6 b7C

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

WARNING

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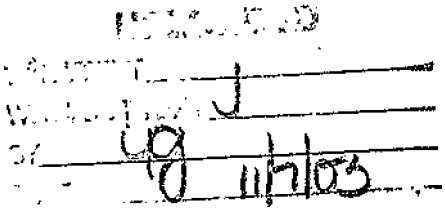

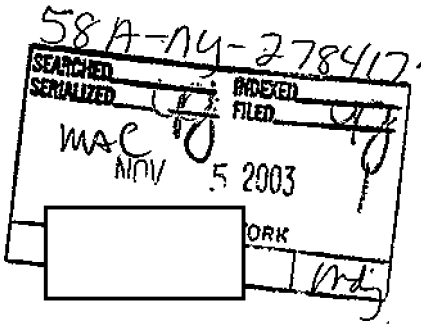
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GJ
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304

b6
b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505
2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

November 4, 2003

JAMES B. COMEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
Clerk

[redacted] Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

b6
b7C

RIDER TO GRAND JURY SUBPOENA DUCES TECUM

Kindly provide [redacted]

[redacted]

b3
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b7C

N.B.: Personal appearance not required if subpoenaed materials are delivered on or before the return date to Special Agent [redacted] Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278 (Tel. No. [redacted] for submission to the grand jury.

FD-448 (Rev. 6-2-97)

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☐ Priority
- ☒ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: MDJ
Number of Pages: 3
(including cover sheet)

To: _____ Date: 11/5/2003
Name of Office

Facsimile Number: _____

Attn: _____ b3
Name Room Telephone

From: FBI NEW YORK
Name of Office

Subject: FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER

Special Handling Instructions: PLEASE HAND CARRY

Originator's Name: _____ elephone: _____

Originator's Facsimile Number: 212-384-2745 b3 b6 b7C

Approved: _____

Brief Description of Communication Faxed: ONE FEDERAL GRAND JURY SUBPOENA WITH ATTACHED RIDER --REQUEST FOR _____ INFORMATION

WARNING

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********** -FILE ACCEPTED REPORT- ********** DATE NOV-05-2003 ***** TIME 12:04 ********

MODE = MEMORY TRANSMISSION CREATE=NOV-05 12:03

FILE NO.=824

NO.	ABBR/NTWK	STATION NAME/	PAGES	PRG.NO.	PROGRAM NAME
001			003		

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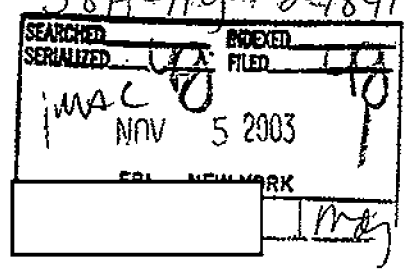
-FBI NYO OPC -

********** - - ***** - - *********

58A-NY-278417-Sub GJ



X

305

11/7/03

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/5/2003

On 11/5/2003, Financial Analyst [redacted] served, via fax, a Federal Grand Jury subpoena to [redacted] for [redacted] information only. b3 b6 b7C

This subpoena was issued in the Southern District of New York and directed [redacted] to produce records for submission to the grand jury.

Investigation on 11/5/03 at New York, New York

File # 58A-NY-278417 b6 b7C Date dictated N/A

by FP [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b3

Received 6/23/04 from [redacted]

WITH TEXT
WITH/OUT TEXT
BY
DATE 6/23/04

58A-NY-278417-GJ-

SEARCHED
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INDEXED
FILED
2004
NEW YORK

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (e), FED. R. CRIM. P.

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Served by SA [redacted]

[redacted]

UPLOADED
7/14/04
WITH TEXT
WITHOUT TEXT
BY
DATE 8/5/04

58A-NY-218417-GJ-309

SEARCHED INDEXED
SERIALIZED FILED
JUL 3 2004
FBI - NEW YORK

[redacted]

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), FED. R. CRIM. P.

b3
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b7C

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO: [redacted]

b3
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b7C

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street) [redacted] in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: [redacted] Appearance Time: [redacted]

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. 371, 201, 1001, 1505; 2 U.S.C. 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York

July 9, 2004

DAVID N. KELLEY
United States Attorney for the
Southern District of New York

J. Michael McMahon
CLERK

[redacted] Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

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b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 9/8/04

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (e), FED. R. CRIM. P.

58A-NY-278417-GJ 310

SEARCHED INDEXED
SERIALIZED FILED
AUG 16 2004
FBI — NEW YORK

see exhibit 1.13

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DECLASSIFIED BY: NSICG
ON 09-15-2016

(Rev. 01-31-2003)

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 08/30/2004

To: New York

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA

Approved By:

Drafted By: :mjm

Case ID #: 58A-NY-278417-GJ (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

RESTRICTED DOCUMENT - DISSEMINATE TO PERSON(S) WITH ROLE

ENCLOSURES HAVE BEEN FILED UNDER SEAL WITH THE U.S. DISTRICT COURT (SDNY)

~~CONFIDENTIAL~~

Synopsis: To provide documents to case file.

Enclosure(s): Enclosed in brown envelope with 1A attached:

(specific list below).

Details: In early 2003, the U.S. Attorney's Office, SDNY, and the New York Office,

in the attached envelope. Inasmuch as the enclosed documents were submitted under seal, they will be placed in the grand jury subfile

b3
b6
b7C
OTHER Sealed Pursuant to Court Order

To: New York From: New York
Re: 58A-NY-278417, 08/30/2004

ENCLOSURES HAVE BEEN FILED UNDER SEAL WITH THE U.S. DISTRICT COURT (SDNY)

~~CONFIDENTIAL~~

to be protected from unauthorized disclosure. The following is a complete list of the enclosed documents:

1)
2)
3)
4)
5)
6)
7)
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9)
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11)
12)
13)

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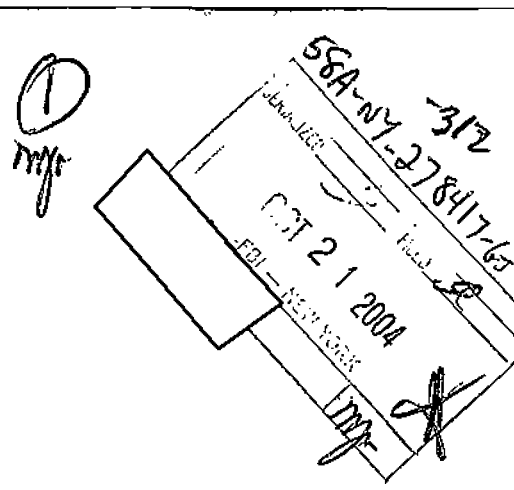
OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/X
WITHOUT
BY
DATE

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (e), F.R.Cr.P.



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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 09/14/2004

To: [redacted] Attn: SSA [redacted]

New York Attn: SA [redacted]
Squad C-14

[redacted] Attn: [redacted]

From: [redacted]
Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted] sac

Case ID #: 58A-NY-278417-GJ-313 (Pending)

Title: UNSUB(S);
WILLIAM J CLINTON-FORMER US PRESIDENT;
[redacted]
MARK RICH-FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH

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Synopsis: EC provides a response to New York on lead set to [redacted] and recommends that New York query [redacted] as to the possibility of interviewing [redacted]

Reference: 58A-NY-278417-GJ Serial 307

Details: Referenced EC requested that [redacted] conduct

[redacted]

GJ-313

58A-NY-278417

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6(e), FED. R. CRIM. P.

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SEARCHED INDEXED FILED
OCT 21 2004

To: [redacted] From: [redacted]
Re: 58A-NY-278417-GJ, 09/14/2004

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[redacted]

[redacted] will furnish any additional information [redacted] to New York as soon as it becomes available.

To: [redacted] From: [redacted]
Re: 58A-NY-278417-GJ, 09/14/2004

b3
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LEAD(s):

Set Lead 1: (Info)

ALL RECEIVING OFFICES

Read and clear.

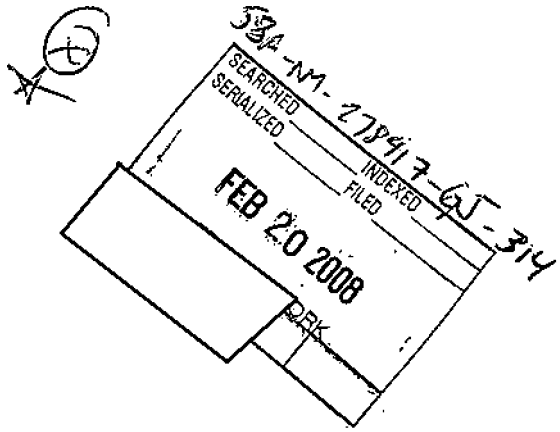
Set Lead 2: (Action)

NEW YORK

AT NEW YORK, NEW YORK

New York is requested to contact [redacted] regarding a possible interview of [redacted]

♦♦



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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 09/21/2004

To: [redacted] Attn: [redacted] SSA [redacted]
SSA [redacted]
[redacted] Attn: [redacted]
New York Attn: C-14, SA [redacted]

b3
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From: [redacted]
Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 58A-NY-278417-GJ-314 (Pending)

Title: UNSUBS;
WILLIAM J CLINTON - FORMER US PRESIDENT:
ET AL;
CFPO - EXECUTIVE BRANCH

Synopsis: Advise the NYO that [redacted] will not furnish [redacted]

b3
b7D
b7E

Reference: 58A-NY-278417-GJ Serial 313

Details: [redacted]

[redacted]

b3
b6
b7C
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The NYO should also be aware that [redacted]

[redacted]

b3
b7D
b7E

To: [redacted] From: [redacted]
Re: 58A-NY-278417-GJ, 09/21/2004

b3
b7D

LEAD(s):

Set Lead 1: (Action)

ALL RECEIVING OFFICES

Read and clear.

♦♦

ROUTING SLIP

DATE: 1/18/08

TO: NY

ATTN: FILE SUPERVISOR

FROM:

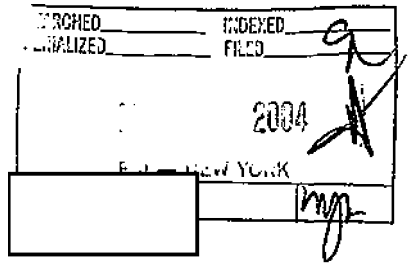
SUBJECT:

FILE #: 58A-NY-278417-GJ - serial 314

b3
b7D

The attached serial(s) may be "original" document(s) serialized to your file, and is/are being forwarded to your office as the Office of Origin (OO) for document retention. Please file or shred as you deem appropriate; do not return to [redacted] Thank you.

58A-NY-278417-SUB 63 314



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BY
DATE

GRAND JURY MATERIAL - DISSEMINATE ONLY
PURSUANT TO RULE 6 (e), FED. R. CRIM. P.

58A-NY-278417-
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2004

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) = 109
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Page 7 ~ b3; b6; b7C; b7D;
Page 8 ~ b3; b6; b7C; b7D;
Page 9 ~ b3; b6; b7C; b7D;
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Page 23 ~ b3; b6; b7C; b7D;
Page 24 ~ b3; b6; b7C; b7D;
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(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/15/2001

To: New York **Attn:** Squad C-14 Rotor

From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted] x3488 b6 b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted] b6 b7C
MARC RICH - FUGITIVE (B); ET AL.;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request opening of subfiles in administration of the above investigation.

Details: Squad C-14 Rotor is requested to open the following subfiles which will serve as a repository for information in the above captioned investigation:

Sub 302	-	FD-302 reports
Sub IN	-	Investigative inserts
Sub BC	-	Background checks, searches, and information
Sub CE	-	Case expenditure information
Sub 1A	-	1A exhibits
Sub GJ	-	Grand Jury subpoenas and information

subfiles.ec
◆◆

UPLOADED
WITHOUT ✓
WITHOUT ENCL
BY 49
DATE 2/20/01

58A-NY-278417 - Sub IN - 1
49 49
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FD-340a (Rev. 11-12-89)

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(Title)

(File No.) 58A-NY-278417-Sub IN-1A Sup

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A1	9/23/03		/	[redacted] Other Documents Provided (see Sec. 59)	yg
				to SA [redacted]	
1A2	9/23/03		/	Docs. Received from [redacted] see Sec. 60	yg
				Do Not Add Anymore	
				1A Exhibits See Vol. 1	

58A-NY-278417-Sub IN-1A Sup

SEARCHED ___ INDEXED ___
SERIALIZED yg FILED yg
SEP 25 2003
FBI — NEW YORK
yg

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417 - sub IA - 1A1

Field Office Acquiring Evidence New York

Serial # of Originating Document 59

Date Received 08/12

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

b6
b7C

Title: MARC RICH PARDON INVESTIGATION

Reference _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of [redacted] + OTHER DOCUMENTS PROVIDED TO SA [redacted]

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub JJ0- 1A2

Field Office Acquiring Evidence _____

Serial # of Originating Document 60

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

DOCUMENTS RECEIVED FROM [redacted]

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58A-NY-278417-IN
02/25/2001
MJM:mjm
[redacted]

b7D

The following investigation was conducted by Special Agent (SA) [redacted]:

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The attached document was received from AUSA [redacted] The SDNY received this document anonymously concerning [redacted] investigation.

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WITH/OUT TEXT
BY ug
DATE 2/26/01

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FBI — NEW YORK
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SERIALIZED ___ FILED ___

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FBI - NEW YORK

[redacted] mp

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WITH/TEXT ✓
WITH/CUT TEXT ___
BY ___
DATE 3/9/01

The following investigation was conducted by Special Agent (SA) [redacted] b6 b7C

On 03/06/2001, SA [redacted] Sacramento Division, Tahoe RA, telephonically contacted SA [redacted] regarding a fugitive case handled by the Sacramento Division. An unknown man previously called the U.S. Attorney's Office, SDNY, claiming to have information on the MARC RICH pardon matter. The unidentified man stated that he was a federal fugitive from the FBI's Sacramento Division. b6 b7C

SA [redacted] stated that GLENN WILLIAM BOX, DOB 6/16/1951, SS# 046-44-9023, was indicted on low level mail and wire fraud charges in June of 1994 (case file #196D-SC-19906). He is believed to have been living in exile in Switzerland since that time. BOX has called SA [redacted] on two occasions over the past year stating that he wishes to have a signed letter from the U.S. Attorney's Office stating that he will not have to serve time in jail. BOX told SA [redacted] that he is "rubbing elbows" with other fugitives in Switzerland, but would not provide any names. BOX was attempting to start a business in the former Soviet Union involving marketing of satellite communications. In December of 2000, BOX attempted to call [redacted] but accidentally called the number of a suicide help line. BOX spoke with the woman on the phone who obtained BOX's number in Switzerland (011-41-81-834-4175). BOX told the woman his story about being a fugitive and the women relayed his story to the police and eventually the FBI. b6 b7C

SA [redacted] stated that BOX formerly lived in Aspen, Colorado and grew up in Connecticut. SA [redacted] stated that BOX's Zurich, Switzerland attorney may be named [redacted] b6 b7C

The AUSA assiged to the case in Sacramento is [redacted] b6 b7C

b6
b7C

The following investigation was conducted by Special Agent (SA) [redacted]

On 03/06/2001, SA [redacted] Sacramento Division, South Lake Tahoe RA, faxed SA [redacted] a copy of the 1994 indictment of GLENN BOX. A copy will be maintained in the 58A-NY-278417-IN case file.

UNCLASSIFIED
WITH TEXT ✓
WITHOUT TEXT
BY 40
DATE 3/9/01

58A-NY-278417 - SUB IN
SEARCHED 40 INDEXED
SERIALIZED 40 FILED 40
2001
FBI - NEW YORK
[redacted]

b6
b7C

FILED

MAY 26 1994

CLERK, U. S. DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA
BY _____ DEPUTY CLERK

CHARLES J. STEVENS
United States Attorney
DANIEL S. LINHARDT
Assistant U.S. Attorney
650 Capitol Mall
Sacramento, California 95814
Telephone: (916) 551-2770

IN THE UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA, Plaintiff, v. GLENN BOX, aka Glenn Goodman, Defendant.	CR-S- 94- 190 WBS CR. NO. VIOLATION: 18 U.S.C. § 1343- Wire Fraud (2 counts)

I N D I C T M E N T

The Grand Jury charges: T H A T

GLENN BOX,
aka Glenn Goodman,

defendant herein, as follows:

I. THE SCHEME

From on or about March 1, 1992, through on or about July 1, 1992, in the Eastern District of California and elsewhere, defendant GLENN BOX, aka Glenn Goodman, devised and intended to devise a scheme and artifice to defraud and for obtaining money and property by means of false and fraudulent pretenses, representations, and promises and in connection and for the purpose of executing such scheme or artifice attempted to transmit

and caused to be transmitted in interstate commerce certain communications described more fully below, to wit: defendant GLENN BOX, aka Glenn Goodman, after realizing that an agreement to broadcast sporting events in Latvia had been terminated because of insufficient funding defendant GLENN BOX, aka Glenn Goodman, falsely stated to potential investors that the agreement was still in existence in order to obtain funds from such individuals and as a result of this scheme defendant GLENN BOX, aka Glenn Goodman, fraudulently obtained in excess of $20,000.

II. THE WAYS AND MEANS

A. Brian Bernstein was an individual in the entertainment business who entered into an agreement with defendant GLENN BOX, aka Glenn Goodman, whereby Bernstein would provide equipment, expertise and promotion capabilities for a joint enterprise which involved the showing of sports programs on the State Television in the country of Latvia.

B. Defendant GLENN BOX, aka Glenn Goodman, agreed to provide approximately $2.5 million necessary to fund the enterprise referred to in the preceding paragraph.

C. On or about March 9, 1992, an agreement was reached between ALB Productions, a business owned by Brian Bernstein and the Latvia Radio Television Committee (LRTC) for ALB Productions to provide sports programming for Latvia television. This agreement was contingent upon funding by defendant GLENN BOX, aka Glenn Goodman. The funds were to be made available by March 31, 1992, or the agreement, by its terms, was terminated.

D. On or about March 17, 1992, ALB Productions and Pro Sports, an entity controlled by GLENN BOX, aka Glenn Goodman,

entered into an agreement to split the profits from the sale of sports programs to LRTC on a 50/50 basis.

E. On or about April 22, 1992, defendant GLENN BOX, aka Glenn Goodman, sent what was purported to be a copy of a United States State Department telex from the United States Embassy in Riga, Latvia, to the State Department in Washington, D.C., to Brian Bernstein which Telex stated that the Overseas Private Investment Corporation would be making a $2.92 million loan available to Sunset Music Corporation, a corporation owned by Brian Bernstein, which funds would be released to Sunset Music Corporation on June 8, 1992.

F. On or about May 26, 1992, the LRTC sent a letter to Brian Bernstein extending the time for the funding of the project to June 8, 1992, based on Bernstein's representation to LRTC that funds would be available to fund the project. Bernstein's representations were based upon the false State Department telex he received from defendant GLENN BOX, aka Glenn Goodman. When no funds were forthcoming on June 8, 1992, the agreement between ALB Productions and LRTC expired by its terms.

In May and June 1992 the defendant, well knowing that the agreement to broadcast sporting events in Latvia could not be funded, began soliciting money from individuals ostensibly for use in the enterprise to provide television in Latvia for sporting events. He told individuals they would be loaning money to Pro Sports on a short term in exchange for repayment of the funds plus a percentage of Pro Sport stock. The individuals would receive one percent of Pro Sport stock for every $2,000 of funds loaned. In that fashion, on May 11, 1992, he obtained $2,000 from Scott

Huebner and Stacy Bissell. On May 12, 1992, he obtained $2,500 from Corinne and Harold Luce and $2,500 from Bonnie Pickering. On June 5, 1992, he obtained $5,000 from Pete and Silvija Moring and $5,000 from Russell Gene Wilso. On June 7, 1992, he obtained $3,600 from Brit Huebner.

III. THE WIRE FRAUD

On or about the dates set forth below, in connection with the scheme and artifice set forth in the preceding sections of this indictment, defendant GLENN BOX, aka Glenn Goodman transmitted and caused to be transmitted by means of wire communication and interstate commerce writings, signs, signals, and sounds for the purpose of executing such scheme and artifice to defraud.

Count	Dates	From	To	Item Transmitted
1	5/12/92	Tahoe City, CA	New York, N.Y.	Fax to Corinne Luce a loan agreement and projected revenue and expense sheet of Sunset Music
2	5/13/92	New York, N.Y.	Tahoe City, CA	$5,000 wire transfer from Corinne Luce to Pro Sports bank account

All in violation of Title 18, United States Code, Section 1343.

A TRUE BILL.

FOREPERSON

CHARLES J. STEVENS
United States Attorney

The following investigation was conducted by Special Agent (SA) [redacted] b6 b7C

On 03/07/2001, SA [redacted] Sacramento Division, South Lake Tahoe RA, faxed SA [redacted] the attached documents relating to his case file for GLENN BOX (196D-SC-19906). BOX is a fugitive of the Sacramento Division who is believed to be residing in Switzerland. The documents are being submitted to the case file. b6 b7C

UPLOADED
WITH TEXT ✓
WITHOUT TEXT
BY
DATE 3/14/01

58A-NY-278417-IN Sub
SEARCHED INDEXED
SERIALIZED FILED
2001
FBI — NEW YORK
[redacted] b6 b7C

FBI FACSIMILE

COVER SHEET

PRECEDENCE

- ☐ Immediate
- ☒ Priority
- ☐ Routine

CLASSIFICATION

- ☐ Top Secret
- ☐ Secret
- ☐ Confidential
- ☐ Sensitive
- ☒ Unclassified

Time Transmitted: _____
Sender's Initials: CC
Number of Pages: 16
(including cover sheet)

To: FBI New York
Name of Office

Date: 03/07/2001

Facsimile Number: (212) 384-2999

Attn: SA [redacted]
Name

(212) 384-3488
Room Telephone

b6
b7C

From: Sacramento
Name of Office

Subject: Glenn Box

Special Handling Instructions: Please hand carry to SA [redacted]

Originator's Name: SA [redacted] Telephone: (530) 542-4151

Originator's Facsimile Number: (530) 542-4151

Approved: _____

Brief Description of Communication Faxed: _____

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information, disclosure, reproduction, distribution, or use of this information is prohibited (18.USC, § 641). Please notify the originator or the local FBI Office immediately to arrange for proper disposition.

1/01

P.02

: SA [redacted]

m: SA [redacted]

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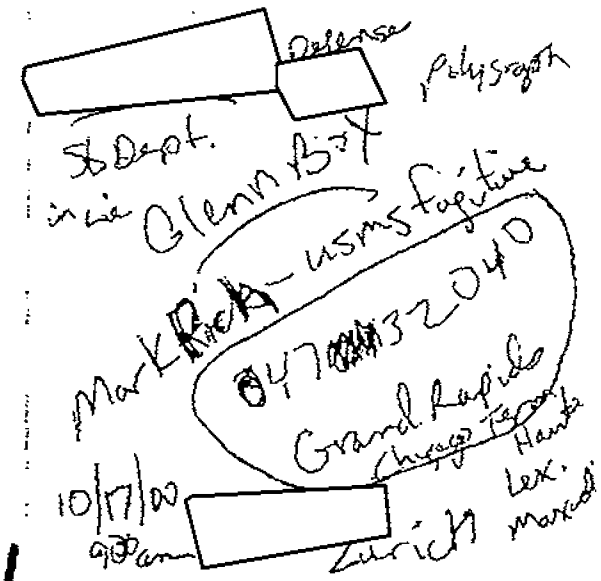
b7C
b7E



Defense
Polygraph
St Dept.
in Lie
Glenn Box
Mark Rich - USMS fugitive
04732040
Grand Rapids
Chicago Term
Haute
Lex.
Maxwell
10/17/00
900 am
Zurich

These are my 'notes' of my
nversation with Box on 10/17/00.
he number "04732040" is how he
dentified himself initially, followed
y the FCI's he was in. He referred to Rich as a fellow
ederal fugitive who was on the Marshal's Top 15 list. He had
otten to know Rich while in Switzerland. He also claimed that
is charges should be dropped because the State Dept. lied
about his telex, supposedly because of some covert operation. He
ated [redacted] (LMU) at State polygraphed. Advise if you need 3

MAR-07-2001 10:35

(Rev. 10-01-1999)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 12/07/2000

To: Sacramento Attn: South Lake Tahoe RA
SA [redacted] (530)542-4140

From: New Haven
Squad 7, White Collar Crime
Contact: SA [redacted] 203-777-6311

Approved By: [redacted]

Drafted By: [redacted] :psp

b6
b7C

Case ID #: 196D-SC-19906 (Pending)

Title: Glenn William Box;
aka Glenn Goodman - Fugitive;
dba Pro Sports International;
FBW

Synopsis: [redacted] located and interviewed. Milford Police Department incident report attached.

Reference: 196D-SC-19906 Serial 88

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Administrative: Re 12/7/00 telephone call between SA [redacted] and SA [redacted]

Enclosures: For Sacramento, the original FD302 and two copies of the interview of [redacted] Also for Sacramento, is one copy of the Milford Police Department incident report dated October 22, 2000.

Details: [redacted] the above captioned fugitive, advised that [redacted] is located in Switzerland and she last spoke with him approximately one week prior. [redacted] advised that [redacted] can be contacted at telephone number 011 41 81 834 4175. She knows [redacted] address but was fearful in divulging it. Milford Police Department, Milford, Connecticut, provided the writer with a copy of an incident report dated October 22, 2000.

New Haven considers this lead complete.

♦♦

AGENT COPY

196D-SC-19906-91

GBSAC127.WPD

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/07/2000

[redacted] a white female, Date of Birth, [redacted] [redacted] residing at [redacted] home telephone number, [redacted], was interviewed at her residence. Upon the undersigned identifying themselves as Special Agents of the Federal Bureau of Investigation (FBI), [redacted] voluntarily provided the following information: b6 b7C

[redacted] Glenn, is located in Switzerland. She and [redacted] last spoke telephonically approximately one week ago and she has been able to contact him at telephone number 011 41 81 834 4175. Glenn has stated several times to [redacted] that he cannot return to the United States because he is wanted by the FBI. He has not spoken in any detail to [redacted] as to why he is wanted by federal law enforcement authorities. He has never communicated any threats or thoughts of suicide to [redacted] She does know where [redacted] is residing in Switzerland but is fearful in divulging the information as she wants to remain loyal to [redacted] and his wishes to remain in Switzerland. b6 b7C

[redacted] last saw [redacted] several months ago when she flew to Switzerland. She will telephone [redacted] soon and convey that the relatively low dollar loss in this matter in conjunction with Rule 20 of the Federal Rules of Criminal Procedure, would allow him to self-surrender in Connecticut, plead guilty, and be sentenced in Connecticut. b6 b7C

Investigation on 12/7/2000 at [redacted]

File # 196D-SC-19906 90 Date dictated 12/7/2000

by SA [redacted] SA b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ON DATE: 12/07/00 *** M[redacted]ORD ***
2000026723 INCIDENT REPORT

NCIDENT TYPE:MENTAL CASE PREMISE TYPE:RESIDENCE/HOME
OCATION:23 MARKET PL APT/LOT AREA A3
USINESS: WEAPON TYPE: NONE
EGIN DATE:10/22/00 BEGIN TIME:1042 END DATE:10/22/00 END TIME:1042
ENSUS TRACT: SUB-DIVISION:
OMMENTS: COMPLAINANT RECEIVED A WRONG # CALL FROM A GLEN BOX SAYING

HE WAS TRYING TO REACH [redacted] - WAS CONSIDERING SUICIDE
10/24/00 COPY TO FBI - MJR

ASE STATUS:CLOSED REPORT DATE: 102200
REPORTING OFFICER: [redacted]

b6
b7C

SUPERVISOR

********** P E R S O N S **********

[redacted]

PERSON #:002 STATUS: SUBJECT
NAME: BOX GLENN RACE: WHITE
SEX:MALE DOB: 6/16/51 AGE: HGT: WGT: HAIR:
EYES: FACIAL HAIR: BUILD: COMPLEXION:
ADDRESS:UNK UNK
EMPLOYMENT:
OCCUPATION:
SOC SEC:000-00-0000 PHONE (RES):000-0000 PHONE (BUS):000-000-0000

[redacted]

b6
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[redacted] reported to HQ that earlier in the morning, she had received a call from a suicidal male who had dialed her residence in error. Writer was assigned to investigate this incident.

Writer met with [redacted] at her residence. It was learned that today at approximately 0800 hours, she received a call at her residence waking her up. It was from an unfamiliar voice, who called her number in error. The caller was asking to speak to [redacted] The caller sounded intoxicated. The caller said his name was Glenn Box. Glenn Box said he was trying to call [redacted] and say goodbye, before he was going to shoot himself in the head. Glenn Box refused to say what number he was calling from. No Caller I.D. information was available. The caller said he was living in Switzerland, and was a Fugitive from Justice, wanted by the F.B.I. The caller said he was a past graduate of Jonathan Law High School in 1969, and that he knew [redacted] The caller said [redacted] lived on [redacted]

[redacted] was contacted by HQ. He stated he was familiar with Glenn Box and confirmed that he suspected he was a Fugitive from Justice for a money laundering Federal case. [redacted] did not know where Glenn Box was living.

Writer responded to the residence of [redacted] This is also the residence of Glenn [redacted] No one was found to be home at the time writer stopped at the residence. Writer left a note to be contacted upon receipt. At approximately 1130 hours, writer received a phone call from [redacted] said that she knew [redacted] Glenn Box was living outside of the United States but did not know where. [redacted] also said [redacted] did call [redacted] earlier in the morning. Glenn Box was said to be intoxicated during the call. [redacted] who did not speak directly to [redacted] said he was going to be checking into an alcohol rehab center on Monday, 10/23/00, with the help of [redacted] was unaware of any content of this conversation being about [redacted] harming himself. [redacted] provided [redacted] work number.

Writer contacted [redacted] at her employment phone number. [redacted] said she did receive a phone call at about 1130 hour from [redacted] and another call from [redacted] last name unknown. [redacted] is said not to have [redacted] as a last name. Glenn Box was said to have discussed only his alcohol problem and that he was going to check into a clinic. No discussion occurred regarding Glenn Box wanting to kill himself. [redacted] said that [redacted] was living outside the U.S.A., but did not know where. [redacted] said she did not have a phone number for [redacted] said she did have a phone number at home for [redacted] Glenn [redacted] but would not provide it to writer. [redacted] were both informed of the specific threats of suicide made by Glenn Box in the event they wished to provide any further information.

Glenn Box was checked through NCIC records. A Federal (FBI) warrant was found to exist for the arrest of Glenn Box. The information of this report was forwarded to FBI Agent [redacted] of the New Haven office.

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b7C

Writer requests a copy of this report be forwarded to Agent [redacted] attention at the New Haven field office.

COPY: DETECTIVE DIVISION
CLEARANCE CODE _____
STENO: lmd

b6
b7C

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 10/27/2000

To: Bern
Investigative Services International Oper. Section
New Haven

From: Sacramento
South Lake Tahoe RA
Contact: SA [redacted] (530) 542-4140

Approved By: [redacted] GF b6 b7C

Drafted By: [redacted] cc

Case ID #: 196D-SC-19906 (Pending Inactive)

Title: Glenn William Box,
aka Glenn Goodman - Fugitive;
dba Pro Sports International;
FBW

Synopsis: Document recent contact by fugitive subject. Set lead to re-contact [redacted] b6 b7C

Details: Subject Glenn William Box, date of birth 6/16/51, has been a fugitive since his indictment in 1994 on two counts of mail fraud. The indictment resulted from Box's misrepresentations in an investment scheme involving bringing satellite television sports coverage to the former Soviet Union. The loss documented in the case is less than $50,000. Box was located by Legat Bern in Zurich, Switzerland, in 1995. The U.S. Attorney's Office, Eastern District of California, Sacramento declined to seek extradition due to the low loss amount. [redacted] were interviewed by New Haven in 1996 (serials 75 and 76). They admitted to having telephonic contact with subject, but denied he would return to the United States. b6 b7C

In May, 2000, subject telephonically contacted the Sacramento FBI office, purportedly from Switzerland. He inquired about his fugitive status and was told to contact the writer.

On 10/17/2000, subject telephonically contacted the South Lake Tahoe RA and spoke with the writer. Subject indicated he was in Zurich, Switzerland, and expressed his desire to negotiate self-surrender in Sacramento. His only condition was to receive a letter from the USAO promising that he would not be detained in a county jail pending resolution of the case.

AGENT COPY

196D SC - 19906 - 88

301 CCO, EC

To: Bern From: Sac[redacted]ento
Re: 196D-SC-19906, 10/27/2000

Subject advised that his attorney in Zurich, (FNU) [redacted] would handle dealings with the Sacramento USAO. Subject declined to give any address or phone number to contact himself or his attorney. He agreed to re-contact the writer on 10/24/2000. Sacramento AUSA [redacted] was contacted on 10/17/2000. He advised that no promises regarding detention would be made by his office. b6 b7C

On Sunday, 10/22/2000, [redacted] date of birth [redacted] telephone number [redacted] received a "wrong number" call from subject who was attempting to contact [redacted] a mental health agency, learned that Box was trying to call [redacted] to say 'goodbye' inasmuch as he planned to commit suicide. Box appeared to have been drinking. In the course of a 20 minute conversation, Box explained that he was in Switzerland and wanted to return home but could not because he is an FBI fugitive. [redacted] attempted to persuade subject to not kill himself. At the end of the conversation, Box indicated that he still intended to commit suicide. b6 b7C

[redacted] contacted Milford Police who confirmed the warrant and apparently interviewed [redacted] She advised police that [redacted] had called her several times on 10/22/2000 and had ultimately agreed to seek alcoholism treatment instead of committing suicide. Milford police contacted the New Haven duty agent, SA [redacted] who contacted the writer. b6 b7C

Box failed to call the Tahoe RA on 10/24/2000.

Leads are being set to contact [redacted] to facilitate self-surrender in the U.S.

To: Bern From: Sac[redacted]ento
Re: 196D-SC-19906, 10/27/2000

LEAD(s):

Set Lead 1: (Adm)

INVESTIGATIVE SERVICES

AT WASHINGTON, DC

Information only. Read and clear.

Set Lead 2: (Adm)

BERN

AT BERN, SWITZERLAND

Information only. Read and clear.

Set Lead 3:

NEW HAVEN

[redacted]

Will interview [redacted] last known address [redacted] telephone number [redacted] regarding recent contacts with fugitive Glenn Box. Efforts to secure [redacted] assistance in a negotiated self-surrender should be made. Emphasis should be placed on the relatively low amount of loss and the provisions of Rule 20 of the Federal Rules of Criminal Procedure, whereby subject may self-surrender in Connecticut, plead guilty, and be sentenced in federal court there. b6 b7C

Will obtain Milford Police Reports regarding their investigation on 10/22/2000.

♦♦

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/1/94

An indictment was returned against GLENN WILLIAM BOX in Federal District Court for the Eastern District of California. This indictment was for two counts in violation of Title 18, United States Code, Section 1343, Wire Fraud.

On the same date, an arrest warrant for BOX was also issued.

Copies submitted to the file.

b6
b7C

Investigation on 5/20/94 at Sacramento, California File # 196B-SC-19906

by SA [redacted] prc Date dictated 5/23/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FILED

MAY 26 1994

CLERK, U. S. DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA
BY _____ DEPUTY CLERK

CHARLES J. STEVENS
United States Attorney
DANIEL S. LINHARDT
Assistant U.S. Attorney
650 Capitol Mall
Sacramento, California 95814
Telephone: (916) 551-2770

IN THE UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA, Plaintiff, v. GLENN BOX, aka Glenn Goodman, Defendant.	CR-S- 94- 190 WBS CR. NO. VIOLATION: 18 U.S.C. § 1343- Wire Fraud (2 counts)

I N D I C T M E N T

The Grand Jury charges: T H A T

GLENN BOX,
aka Glenn Goodman,

defendant herein, as follows:

I. THE SCHEME

From on or about March 1, 1992, through on or about July 1, 1992, in the Eastern District of California and elsewhere, defendant GLENN BOX, aka Glenn Goodman, devised and intended to devise a scheme and artifice to defraud and for obtaining money and property by means of false and fraudulent pretenses, representations, and promises and in connection and for the purpose of executing such scheme or artifice attempted to transmit

and caused to be transmitted in interstate commerce certain communications described more fully below, to wit: defendant GLENN BOX, aka Glenn Goodman, after realizing that an agreement to broadcast sporting events in Latvia had been terminated because of insufficient funding defendant GLENN BOX, aka Glenn Goodman, falsely stated to potential investors that the agreement was still in existence in order to obtain funds from such individuals and as a result of this scheme defendant GLENN BOX, aka Glenn Goodman, fraudulently obtained in excess of $20,000.

II. THE WAYS AND MEANS

A. Brian Bernstein was an individual in the entertainment business who entered into an agreement with defendant GLENN BOX, aka Glenn Goodman, whereby Bernstein would provide equipment, expertise and promotion capabilities for a joint enterprise which involved the showing of sports programs on the State Television in the country of Latvia.

B. Defendant GLENN BOX, aka Glenn Goodman, agreed to provide approximately $2.5 million necessary to fund the enterprise referred to in the preceding paragraph.

C. On or about March 9, 1992, an agreement was reached between ALB Productions, a business owned by Brian Bernstein and the Latvia Radio Television Committee (LRTC) for ALB Productions to provide sports programming for Latvia television. This agreement was contingent upon funding by defendant GLENN BOX, aka Glenn Goodman. The funds were to be made available by March 31, 1992, or the agreement, by its terms, was terminated.

D. On or about March 17, 1992, ALB Productions and Pro Sports, an entity controlled by GLENN BOX, aka Glenn Goodman,

entered into an agreement to split the profits from the sale of sports programs to LRTC on a 50/50 basis.

E. On or about April 22, 1992, defendant GLENN BOX, aka Glenn Goodman, sent what was purported to be a copy of a United States State Department telex from the United States Embassy in Riga, Latvia, to the State Department in Washington, D.C., to Brian Bernstein which Telex stated that the Overseas Private Investment Corporation would be making a $2.92 million loan available to Sunset Music Corporation, a corporation owned by Brian Bernstein, which funds would be released to Sunset Music Corporation on June 8, 1992.

F. On or about May 26, 1992, the LRTC sent a letter to Brian Bernstein extending the time for the funding of the project to June 8, 1992, based on Bernstein's representation to LRTC that funds would be available to fund the project. Bernstein's representations were based upon the false State Department telex he received from defendant GLENN BOX, aka Glenn Goodman. When no funds were forthcoming on June 8, 1992, the agreement between ALB Productions and LRTC expired by its terms.

In May and June 1992 the defendant, well knowing that the agreement to broadcast sporting events in Latvia could not be funded, began soliciting money from individuals ostensibly for use in the enterprise to provide television in Latvia for sporting events. He told individuals they would be loaning money to Pro Sports on a short term in exchange for repayment of the funds plus a percentage of Pro Sport stock. The individuals would receive one percent of Pro Sport stock for every $2,000 of funds loaned. In that fashion, on May 11, 1992, he obtained $2,000 from Scott

Huebner and Stacy Bissell. On May 12, 1992, he obtained $2,500 from Corinne and Harold Luce and $2,500 from Bonnie Pickering. On June 5, 1992, he obtained $5,000 from Pete and Silvija Moring and $5,000 from Russell Gene Wilso. On June 7, 1992, he obtained $3,600 from Brit Huebner.

III. THE WIRE FRAUD

On or about the dates set forth below, in connection with the scheme and artifice set forth in the preceding sections of this indictment, defendant GLENN BOX, aka Glenn Goodman transmitted and caused to be transmitted by means of wire communication and interstate commerce writings, signs, signals, and sounds for the purpose of executing such scheme and artifice to defraud.

Count	Dates	From	To	Item Transmitted
1	5/12/92	Tahoe City, CA	New York, N.Y.	Fax to Corinne Luce a loan agreement and projected revenue and expense sheet of Sunset Music
2	5/13/92	New York, N.Y.	Tahoe City, CA	$5,000 wire transfer from Corinne Luce to Pro Sports bank account

All in violation of Title 18, United States Code, Section 1343.

A TRUE BILL.

FOREPERSON

CHARLES J. STEVENS
United States Attorney

UPLOADED
WITH/TEXT ✓
WITHOUT
BY 49 3/16/01

1,2,3
Sub mp
58A-NY-278417 - IN
SEARCHED 49 INDEXED 49
SERIALIZED 49 FILED 49
2001
FBI NEW YORK
[redacted]

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b7C

58A-NY-278417
KTL;ktl
03-14-01

Insert page 1 of 3

On 03/13/01, FA [redacted] reviewed various news paper articles pertaining to former President Clinton's pardons. The following information is the result of the review:

b6
b7C

1) **Zug, Switzerland -** Marc Rich's (MR) current residence

2) **Meggen, Switzerland -** Village within Zug where MR resides

3) [redacted] the Canton of Zug [redacted] MR charitable foundation in Switzerland

4) [redacted] Local Green Party [redacted] in Switzerland who tried to expose wrong doing by MR

5) **Marc Rich -** Born Marc Reich, 12/18/34 in Belgian city of Antwerp

6) [redacted] Marc Rich [redacted]

7) **Crown Resources -** Acquired MR investments, is a subsidiary of Alfa Group

8) **Julia Payne -** Bill Clinton's spokeswoman

9) **Al Gonzalez -** Current White House Counsel

10) **Dennis Hastert -** House Speaker R-Ill.

11) **Dan Burton -** House Government Reform Committee R-Ind.

12) **Trent Lott -** Senate Majority Leader R-Miss.

13) [redacted] Conducted foreign commodity trading with MR, lives in New Square

14) **Rabbi David Twersky -** Grand Rabbi of New Square

15) **C.L.M. -** [redacted] firm set up for pardons in Arkansas

58A-NY-278417
KTL;ktl

Insert Page 2 of 3

16) [redacted] Didn't pay [redacted] for pardon at advise of lawyer

17) [redacted] Former Rockland County [redacted] and supporter of WJC, plead guilty in early [redacted]

18) [redacted] [redacted] from New Square had [redacted] month sentence commuted to [redacted] by WJC

19) **Samuel Colman -** Democratic Assemblyman represents New Square

20) [redacted] New Square

21) **Bruce Lindsey -** Former White House Deputy Counsel

22) **Eric Holder -** Deputy Attorney General

23) **Beth Nolan -** Former White House Counsel

24) [redacted] with [redacted]

25) [redacted] D.N.C. (3/99-10/99)

26) [redacted] WJC [redacted]

27) [redacted] Major fundraiser for WJC library and lobbied for [redacted]

28) **Vincent Monte -** Current Rockland County Democratic Leader

29) [redacted] paid CLM for pardon

30) [redacted] CLM, met with [redacted]

b6
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(2)

58A-NY-278417
KTL;ktl

Insert Page 3 of 3

31) **William Jefferson Clinton -** 42nd President of the United States

32) [redacted]

33) [redacted] Marc Rich [redacted]

34) **John Podesta -** Former Chief of Staff for WJC

35) **Ehud Barak -** Former Prime Minister of Israel

36) **Cheryl Mills -** Former White House attorney, 1 of 5 trustees for WJC Library

b6
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BY UG
DATE 3/19/01

58A-NY-278417-IN - ~~1,2~~ Scab

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SERIALIZED UG FILED UG

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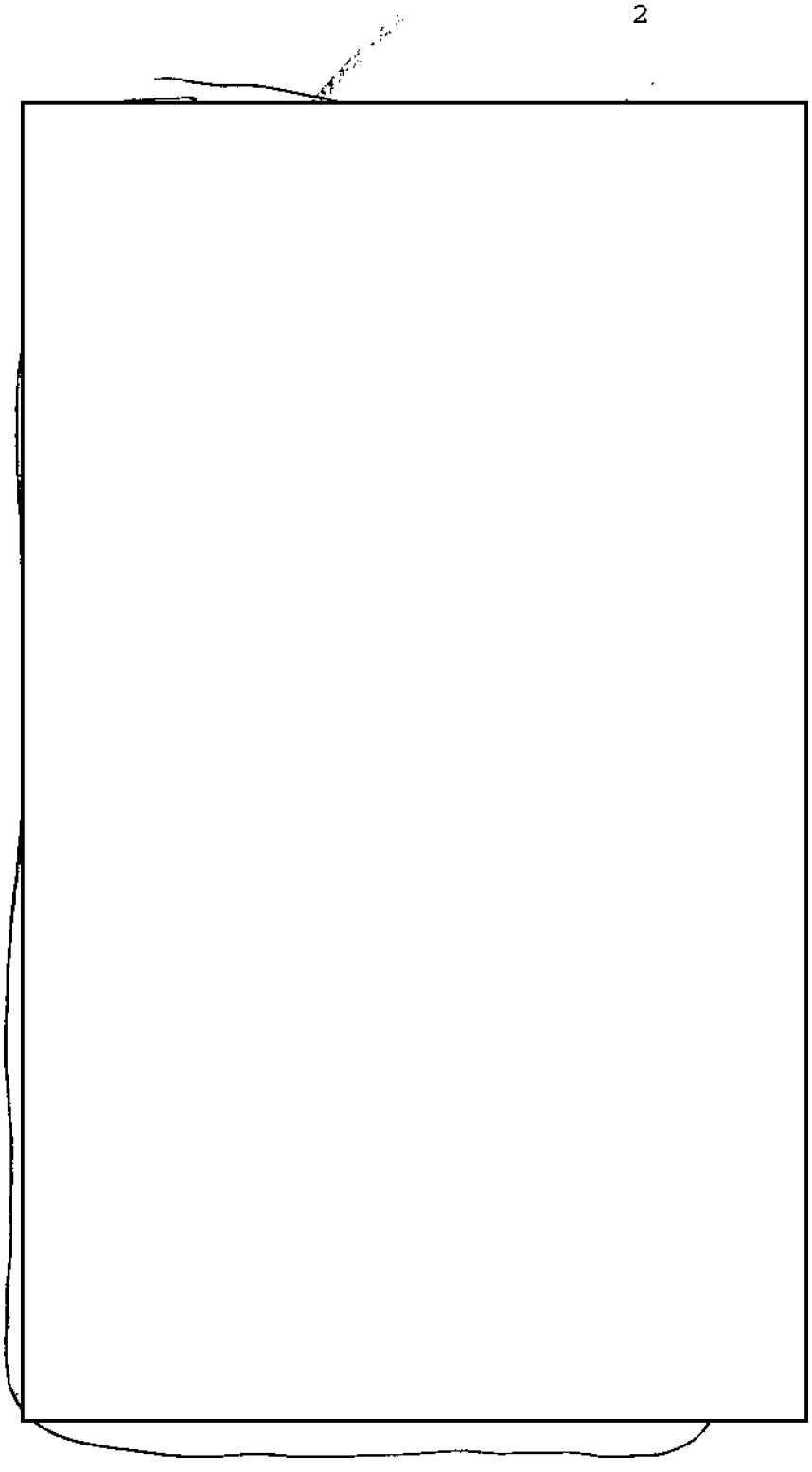
MJM:fs

At the request of SA [redacted] information was found utilizing [redacted] for the following [redacted]

b6
b7C
b7E



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[redacted] for the following [redacted] could not be located, however [redacted] contact information was identified for subpoena requests:

[redacted]

b6
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b3

[redacted]

b6
b7C
b3

[redacted]

b6
b7C
b3

A thorough search by IRS [redacted] of the internet, [redacted] has not yet identified contact information of carrier service for [redacted]
Further research will be conducted in order to identify contact information for the above carrier.

Attached are the internet printouts of the information listed within this insert for further review.

b6
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The following investigation was conducted by Special Agent (SA) [redacted]

On 03/12/2001, SA [redacted] Division, faxed the attached documents to SA [redacted] as they relate to [redacted]

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DATE 3/21/01

58A-NY-278417-IN
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SERIALIZED 40 FILED 40
FBI — NEW YORK
[redacted]

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UNCLASSIFIED
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WITH/CUT TEXT
BY 49
DATE 3/21/01

58A-NY-278417-1N-Sub
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SERIALIZED 49 FILED 49
13 mp
2001
FBI NEW YORK

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b6
b7C
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The following investigation was conducted by Special Agent (SA)

On 03/16/2001, SA received a fax from regarding a recent The fax is attached and being submitted to the case file.

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BY UG
DATE 3/22/01

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SERIALIZED UG FILED UG
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58A-278417
MJM:jp

1

The following variations on subject [redacted] were queried through [redacted] with negative results:

[redacted]

[redacted]

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WITHOUT TEXT ✓
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DATE 3/22/01

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58A-NY-278417
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1

On Monday, March 19, 2001, IRS [redacted] manually entered [redacted] records from [redacted] report listing all [redacted] for the above target was created. Using this report, IRS [redacted] to identify recurring [redacted] from which the [redacted] were placed. The following are the results of his [redacted]

[redacted]

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(See attachments for computer printouts from website.)

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DATE 10 3/22/01

58A-NY-278417 Sub

SEARCHED UP INDEXED UP
SERIALIZED UP FILED UP

001

NEW YORK

16

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58A-NY-278417
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1

On 03/19/01, IRS [redacted] reviewed various newspaper articles pertaining to former President Clinton's pardons. The following information is the result of the review: b6 b7C

1) Shirley Wohl Kram- Judge in 1984 Rich case

2) [redacted] AUSA who initially investigated and prosecuted Pincus Green and Marc Rich

3) Philipp Brothers- A commodity trading concern where Marc Rich and Pincus Green started working together in mailroom

4) [redacted] the Republican National Committee

5) [redacted] Former Clinton Justice Department official who now works as a [redacted]

6) [redacted]

7) Lanny J. Davis- Former Clinton aide

8) Karen Dunn- Hillary Rodham Clinton spokeswoman

9) Rep. Henry Waxman- Senior Democrat on the House Government Reform Committee

58A-NY-278417 - 1N - Sub Q

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1

On 03/20/01, IRS [redacted] reviewed various newspaper articles pertaining to former President Clinton's pardons. The following information is the result of the review: b6 b7C

1) Sheldon Silver- Assembly Speaker who attended a New Square meeting with the former First Lady, the legislature's most powerful Democrat and a major supportor of Hillary Rodham Clinton's campaign.

2) [redacted] former President Clinton during [redacted] scandall.

3) David Twersky- Grand Rabbi

4) [redacted] Anti-Defamation League [redacted] who lobbied former President Clinton for the Rich pardon.

5) [redacted] Hillary Clinton's [redacted] paid to prepare pardon papers for [redacted]

6) [redacted] Grand Rabbi David Twersky.

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NEW YORK
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58A-NY-278417
MJM:fs

b6
b7C

On 03/21/01, IRS [redacted] reviewed various newspaper articles pertaining to former President Clinton's pardons. The following information is the result of the review:

1) [redacted] Assembly speaker Sheldon Silver

2) [redacted]

3) [redacted] Assemblyman who was at the meeting on August 7th with Hillary Clinton and New Square Grand Rabbi David Twersky.

4) [redacted] New Square [redacted]

5) Rabbi Mayer Schiller- New Square spokesman

6) [redacted] US Attorney Mary Jo White

7) [redacted] the county legislature (Congers-Rockland)

8) [redacted] the county legislature (Wesley Hills-Rockland)

9) [redacted] Arkansas [redacted] who contacted Justice Department regarding Roger Clinton's pardon involvement

10) [redacted] who was promised clemency by the president [redacted]

11) [redacted] received pardon from Clinton in [redacted]

12) [redacted]

58A-NY-278417
MJM:fs

On 03/22/01, IRS [redacted] performed a search of Motor Vehicle licenses for [redacted] (ph) which revealed the following information:

Name:
DOB:
Address:

Name:
DOB:
Address:

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At this time, further descriptive data is needed to ascertain if the above results matches the subject in question. IRS [redacted] and IRS [redacted] will consult with the case agents and continue to query additional databases.

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1

On 03/23/01, IRS [redacted] reviewed various newspaper articles pertaining to former President Clinton's pardons. The following information resulted from the review:

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1) [redacted] House Government Reform and Oversight Committee chairman Dan Burton

2) [redacted]

3) Abe Foxman- Anti-Defamation League National Director. He called for Clinton to pardon Marc Rich however, now feels he erred supporting Rich's pardon.

4) Anita Lowey- Congresswoman, Chair for Democratic Congressional Campaign Committee

5) Paul W. Adler- Chairman for Democratic Party and fundraiser for Hillary Clinton who attended New Square meeting

6) [redacted]

58A-NY-278417-IN-SUB

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

[redacted]

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Financial Analyst [redacted] discovered a check endorsed by [redacted] This information confirmed a Motor Vehicle search conducted by IRS [redacted] Further database queries will be performed by IRS [redacted] and IRS [redacted] to gather additional information on this subject. b6 b7C

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/15/2001

To: New York **Attn:** Squad C-14 Rotor

From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted], x3488

Approved By: [redacted]

Drafted By: [redacted] mjm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL.;
CFPO - EXECUTIVE BRANCH;
OO: NY

Done yg 2/20/01

Synopsis: To request opening of subfiles in administration of the above investigation.

Details: Squad C-14 Rotor is requested to open the following subfiles which will serve as a repository for information in the above captioned investigation:

Sub 302	-	FD-302 reports
Sub IN	-	Investigative inserts
Sub BC	-	Background checks, searches, and information
Sub CE	-	Case expenditure information
Sub 1A	-	1A exhibits
Sub GJ	-	Grand Jury subpoenas and information

subfiles.ec
♦♦

(X)

58A-NY-278417-302 Sub 1
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DATE 2/20/01

FD-340a (Rev. 11-12-89)

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(Title) _____

(File No.) 58A-NY-278417-Sub 302-IA5

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A1	3/23/01		/	ORig. notes Re:	(see Serial 49) yg
1A2	3/30/01		/	Orig. notes Re:	3/30/01 (see Serial 55) yg
1A3	3/30/01		/	ORig. notes Re:	(see Serial 56) yg
1A4	4/3/01		/	Orig. notes Re:	(see Serial 58) yg
1A5	4/3/01		/	ORig. notes Re:	(see Serial 59) yg
1A6	4/5/01		/	ORig. notes Re:	(see Serial 61) yg
1A7	4/17/01		/	ORig. notes Re:	(see Serial 67) yg
1A8	4/24/01		/	ORig. notes Re:	(see Serial 14) yg
1A9	4/24/01		/	ORig. notes Re:	(see Serial 75) yg
				Do not add anymore 1A Exhibits See Vol. 2	

58A-NY-278417-Sub 302-IA5

SEARCHED yg INDEXED yg
SERIALIZED yg FILED yg

MAR 23 2001

FBI — NEW YORK

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-SUB-302-1A

Field Office Acquiring Evidence NYO

Serial # of Originating Document

Date Received 03/20/01

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: Howe Rich
Pardon et al (see Serial 49)

Reference: 03/20/01 FD-302 - Interview of
(Communication Enclosing Material)
[redacted]

Description: ☑ Original notes re interview of

[redacted]

b6
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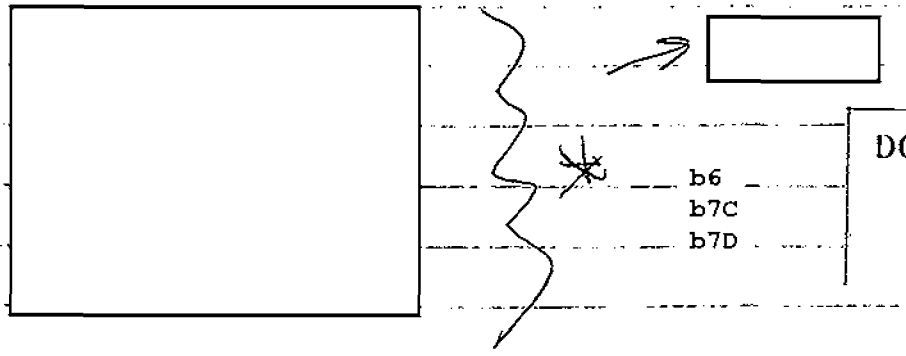

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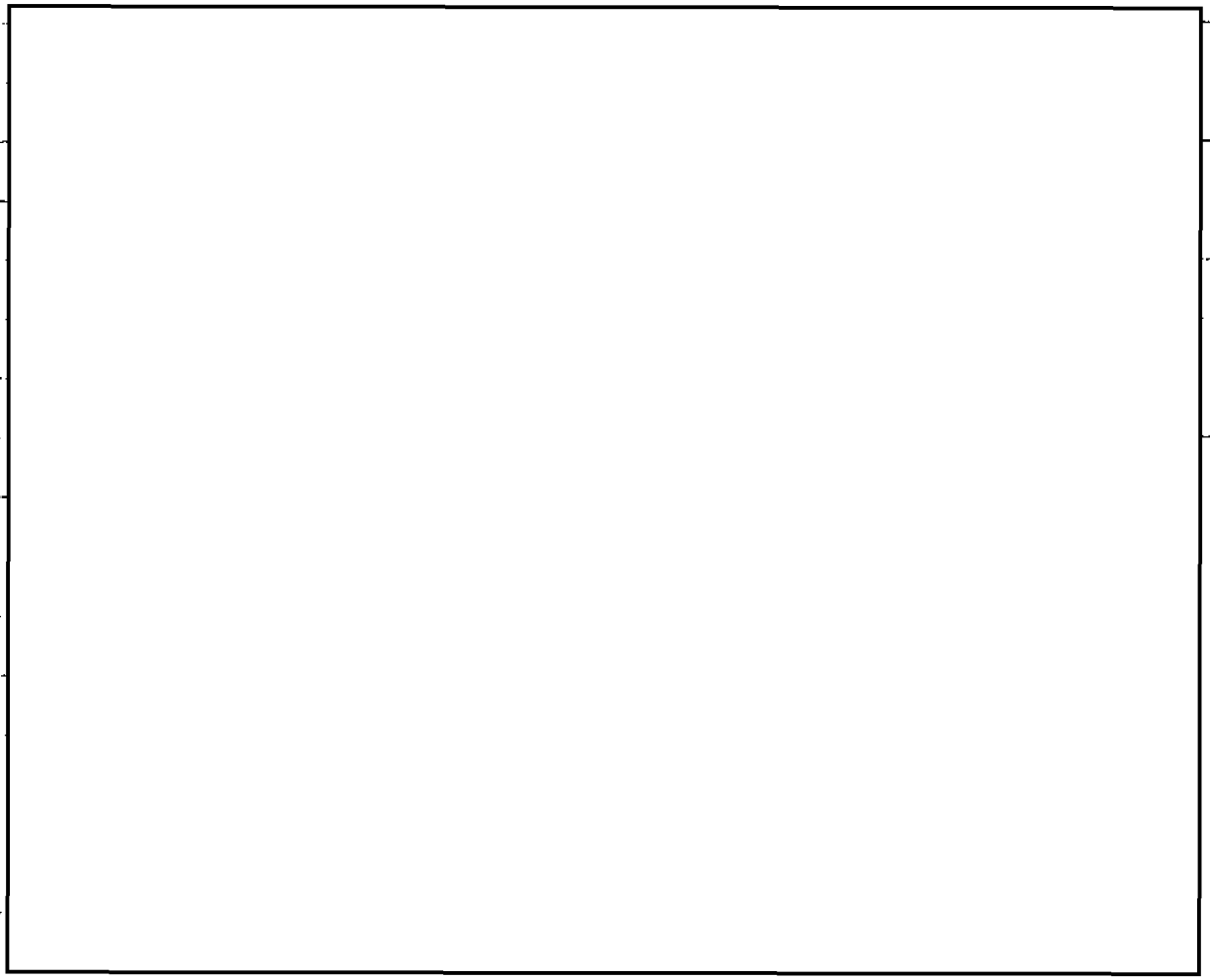
DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A



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FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-Sub 302-1AZ

Field Office Acquiring Evidence LA

Serial # of Originating Document

Date Received 3/13/01

From [redacted]
(Name of Contributor)
[redacted]
(Address of Contributor)
[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: William J Clinton
UNSUB
Marc Rich
[redacted]
CFFO

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Reference: (See Serial 55)
(Communication Enclosing Material)

Description: ☒ Original notes re interview of
302- [redacted]

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FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-Sub 302-1A4

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received [redacted]

From FBI - New York
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York NY

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: UNSUB(s);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (8), ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
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Reference: (See Serial 68)
(Communication Enclosing Material)

Description: ☑ Original notes re interview of
[redacted]

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DOB [redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A4

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[redacted]

FD-340 (Rev. 8-7-97)

Universal Case File Number 288A-NY-278717-Sub 302 1A5

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received [redacted]

From FBI - New York
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York N.Y. 10278

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: UNSUB (5);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ETAL (See Serial 59)
CFPO - EXECUTIVE BRANCH

Reference: 00:NY
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

[redacted]

DOB: [redacted]

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b7C
b7D

b3
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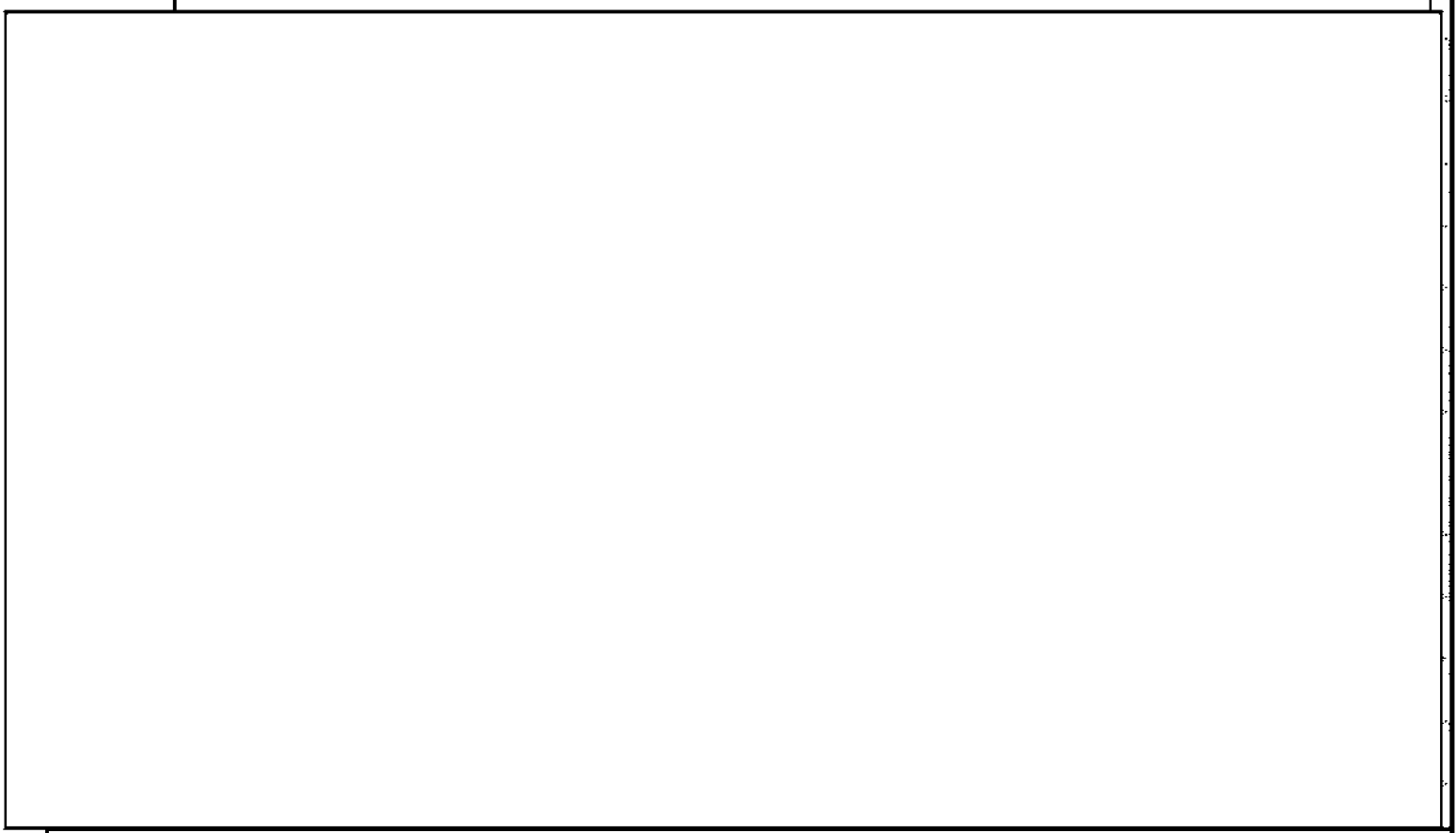
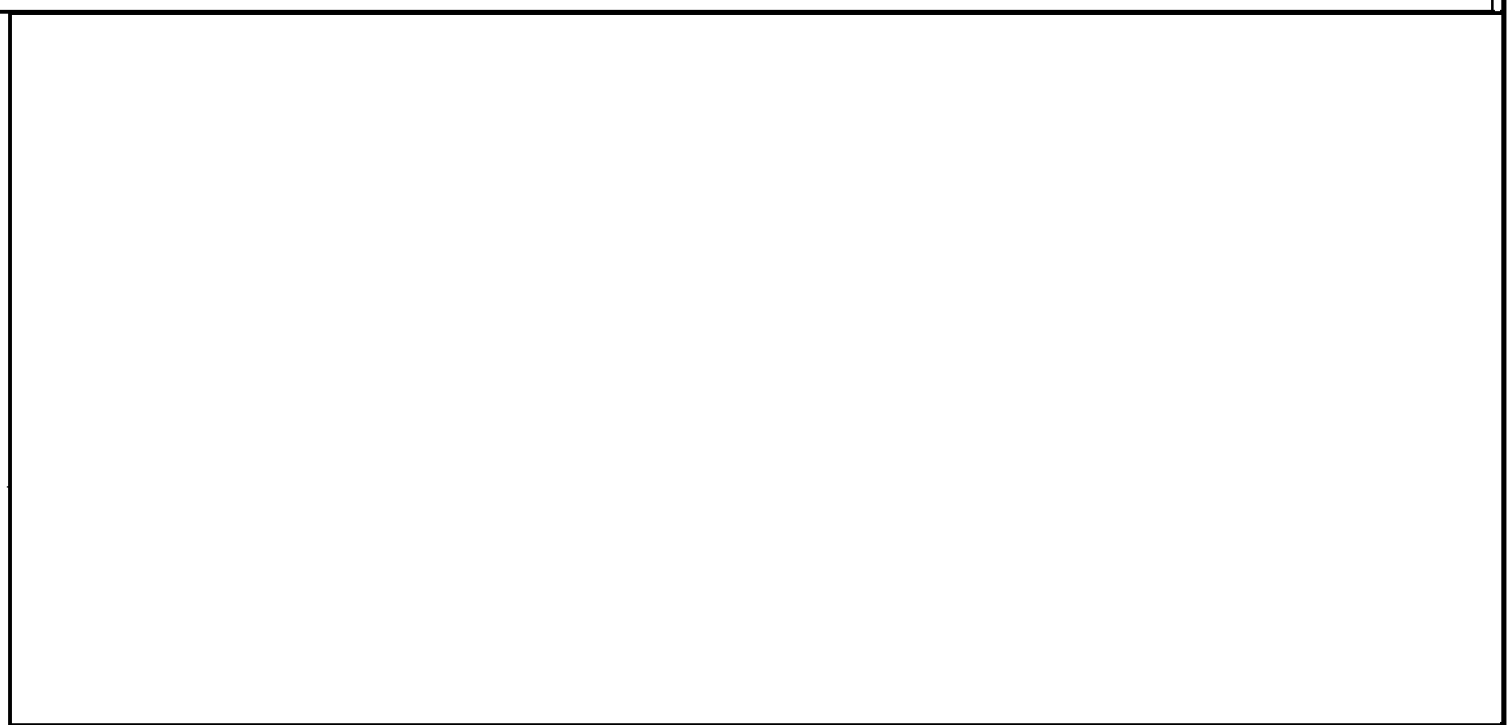
b7D





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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A5

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-Sub 302-1A6

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received [redacted]

From FBI - New York
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York NY 10278

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; [redacted] MARC RICH - FUGITIVE (B); ETAL; (See Serial 61) CFPO - EXECUTIVE BRANCH;

Reference: 00:NY
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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b7C
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b6
b7C

b3
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b7C
b7D

[redacted]

b3
b7D

[redacted]

[redacted]

b3
b6
b7C
b7D

[redacted]

[redacted]

b3
b6
b7C
b7D

NY-278417-Sub 302 1A 6

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278412-Sub-302-197

Field Office Acquiring Evidence NYO

Serial # of Originating Document

Date Received 03/26/2001

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By SA [redacted] (Special Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title:

(See Serial 67)

Reference: FD-302 DATED [redacted] MEMORANDUM
(Communication Enclosing Material)

INTERVIEW OF [redacted]

b3
b6
b7C
b7D

Description: ☑ Original notes re interview of

b3
b6
b7C
b7D

b3
b6
b7C
b7D

b3
b6
b7C
b7D

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417 Sub302

Field Office Acquiring Evidence NYO 1A8

Serial # of Originating Document

Date Received 4/3/01

From [redacted]
(Name of Contributor)

(Address of Contributor)

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☑ Yes ☐ No

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER US PRESIDENT;
[redacted] MARC RICH;
CFPO - EXECUTIVE

Reference: (See Serial 94)
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

[redacted] 1.wpd

b3
b6
b7C
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b6
b7C
b3
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b7C
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DO NOT REMOVE FROM EXHIBIT

NY-278417- Sub 309 1A8

4/3/01

b3
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b7C
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b3
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b7D

b3
b6
b7C
b7D

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO 1A9

Serial # of Originating Document _____

Date Received 4/3/01

From _____ b3 b6 b7C b7D
(Name of Contributor)

(Address of Contributor)

By SA _____
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) b6 b7C
Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER US PRESIDENT; _____ MARC RICH; CFPO - EXEC BR (see Serial 75)

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of _____ b3 b6 b7C b7D

_____.wpd

4/3/01

[redacted]

[redacted]

b3
b6
b7C
b7D

[redacted]

[redacted]

[redacted]

b3
b6
b7C
b7D

[redacted]

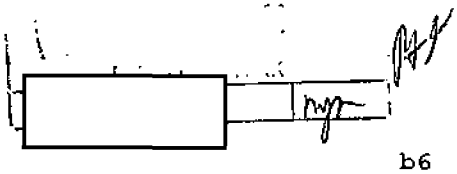
DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A9

58A-NY-278417 - Sub 302 - mp

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY 49
DATE 8/20/01

49 INDEXED FILED 49 2



mp

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/16/2001

On 02/15/2001, Special Agent (SA) [redacted] served three Federal Grand Jury subpoenas via facsimile for various [redacted] The subpoenas were issued in the Southern District of New York and required the records to be provided to the FBI on or before [redacted]

b3
b6
b7C

Investigation on 02/15/2001 at [redacted]

File # 58A-NY-278417-Sub 302-2 Date dictated n/a

by SA [redacted] /mjm mjm subpoen.302

b3
b6
b7C

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58A-NM-278417-Sub 302-
49 - 4903

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WITH TEXT
WITHOUT TEXT
BY
DATE 10/2/2001

[redacted]

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/19/2001

On 02/15/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] and SA [redacted] that two Federal Grand Jury subpoenas were served via facsimile to [redacted]. The subpoenas were issued in the Southern District of New York and commanded [redacted] (1) appear and present oral testimony before the grand jury; and (2) provide various [redacted] documents before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 02/15/2001 at [redacted]

b3
b6
b7C

File # 58A-NY-278417-Sub 308-3 Date dictated n/a

by SA [redacted] mjm [redacted] sub.302

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/20/2001

On [redacted] (current name), aka [redacted] (birth name), aka [redacted] (original married name), born [redacted] Social Security number [redacted] telephone [redacted] was interviewed at her residence by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on [redacted] at [redacted]

File # 58A-NY-278417-Sub 302-4 Date dictated n/a

by SA [redacted] mjm SA [redacted] 302

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Sub
58A-NY-278417 - 302 - 4

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BY 40
DATE 2/26/01

SEARCHED 40 INDEXED 40
SERIALIZED 40 FILED 40
FEB 2 3 2001
FBI - NEW YORK

1, 2, 3, 5, 6

b6
b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 2/26/01

58A-NY-278417 - Sub 302

SEARCHED
SERIALIZED
INDEXED
FILED
FEB 2 2001
FBI — NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/25/2001

On [redacted], office telephone number [redacted] was telephonically interviewed by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on [redacted] at [redacted] (telephonically)

File # 58A-NY-278417-Sub B02-5 Date dictated n/a

by SA [redacted] /mjm [redacted] 302

b6
b7C
b7D

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WITH/TEXT ✓
WITH/OUT TEXT
BY UG
DATE 3/7/01

58A-NY-278417-Sub 302-

SEARCHED
SERIALIZED UG
INDEXED
FILED UG
FEB 25 2001
FBI - NEW YORK

6 mp

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/19/2001

On 02/19/2001, Assistant United States Attorney Jonathan Halpern advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted] facsimile number [redacted] The subpoena was issued in the Southern District of New York and commanded [redacted] to provide various documents before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 02/15/2001 at [redacted]

File # 58A-NY-278417-Sub 302-6 Date dictated n/a

by SA [redacted] /mjm

[redacted] ub2.302

b3
b6
b7C

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WITH/OUT TEXT
BY 49
DATE 49 3/7/01

58A-NY-278417 - Serial 302 - 8

SEARCHED 49 INDEXED 49
SERIALIZED 49 FILED 49

FEB 2001

FBI — NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/26/2001

On [redacted] telephone [redacted] was telephonically interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA), Southern District of New York, [redacted] After being advised of the identity of the above parties, [redacted] provided the following information: b6 b7C b7D

[redacted]

Investigation on [redacted] at [redacted] (telephonically) b6 b7C b7D

File # 58A-NY-278417-Sub 302-8 Date dictated n/a

by SA [redacted] mjm [redacted] 302

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58A-NY-278417 - Sub 302

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WITH/TEXT ✓
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BY
DATE 10/31/01

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b7C

SEARCHED
SERIALIZED
INDEXED
FILED
FEB 2 7 2001
FBI — NEW YORK

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/26/2001

On 02/23/2001, Special Agent (SA) [redacted] served a Federal Grand Jury Subpoena via facsimile upon the [redacted] facsimile number [redacted] advised SA [redacted] that he would accept service of the subpoena via fax. The subpoena requested records to be provided to the grand jury on or before [redacted].

b3
b6
b7C

Investigation on 02/23/2001 at [redacted]

File #58A-NY-278417-Sub 302-10 Date dictated n/a

by SA [redacted] /mjm [redacted] 302

b3
b6
b7C

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WITH/OUT TEXT
BY UG
DATE 3/7/01

58A-NY-278417-302 Seal

SEARCHED UG INDEXED UG
SERIALIZED UG FILED UG

2001
FBI NEW YORK

11 mp

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/02/2001

On 03/01/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted], who accepted service on behalf of [redacted] The subpoena was issued in the Southern District of New York and commanded [redacted] to appear before the grand jury on [redacted]

b3
b6
b7C

Investigation on 03/01/2001 at [redacted]

File # 58A-NY-278417-Sub-302-11 Date dictated n/a

by SA [redacted] mjm [redacted] 302

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BY UG
DATE 3/8/01

58A-NY-278417-302
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2001
NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/06/2001

On 03/05/2001, Assistant United States Attorney Jonathan Halpern advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted], who accepted service on behalf of [redacted] The subpoena was issued in the Southern District of New York and commanded [redacted] to provide documents before the grand jury on or before [redacted]

b3
b6
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Investigation on 03/05/2001 at [redacted]

File # 58A-NY-278417-Sub 302- 12 Date dictated n/a

by SA [redacted] /mjm [redacted] 302

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BY 48
DATE 3/8/01

58A-NY-278417- Sub 302

SEARCHED 48 INDEXED 48
SERIALIZED 48 FILED 48
2001
FBI NEW YORK
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13

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b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/07/2001

On 03/05/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted] who accepted service on behalf of [redacted] The subpoena was issued in the Southern District of New York and commanded [redacted] to provide documents before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/05/2001 at [redacted]

File # 58A-NY-278417-Sub-302-13 Date dictated n/a

by SA [redacted] mjm [redacted] 302

b3
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58A-NY-278417 - Sub 302-14

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

2001

FBI — NEW YORK

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT ____
BY ____
DATE 3/8/01

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/07/2001

On 03/05/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted] who accepted service on behalf of [redacted] The subpoena was issued in the Southern District of New York and commanded [redacted] to provide documents before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/05/2001 at [redacted]

File # 58A-NY-278417-Sub 302-14 Date dictated n/a

by SA [redacted] /mjm [redacted] 302

b3
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b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/02/2001

On 03/02/2001, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon the [redacted] via Federal Express. SA [redacted] left a telephone message with the [redacted] stating that a Federal Grand Jury subpoena would be forthcoming. The subpoena requested various [redacted] and other documents to be presented before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/02/2001 at [redacted]

File # 58A-NY-278417-Sub 302-15 Date dictated n/a

by SA [redacted] 02

b3
b6
b7C

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/07/01

On 3/7/01, SA [redacted] served via facsimile, a Federal Grand Jury Subpoena for Personal Appearance and Duces Tecum, to [redacted] SA [redacted] advised [redacted] that AUSA [redacted] should be contacted for further discussion, and provided [redacted] with [redacted] SA [redacted] advised [redacted] that the return date on the subpoena had expired, and that an accommodation had been made to [redacted] due to [redacted]

b3
b6
b7C

Investigation on 03/07/01 at [redacted] (telephonically)

File # 58A-NY-278417-Sub 302-16 Date dictated [redacted]

by SA [redacted]

b3
b6
b7C

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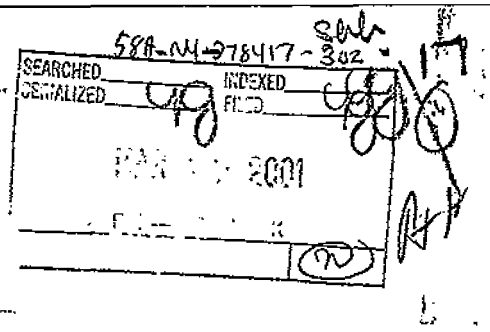
WITH TEXT
WITHOUT TEXT
BY
DATE 3/13/01



FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/05/01

[redacted] date of birth [redacted] social security number [redacted] was interviewed telephonically by Assistant United States Attorney (AUSA) [redacted] Southern District of New York (SDNY), and by Special Agent [redacted] Federal Bureau of Investigation. Also present on the conference call were [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

b6
b7C
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[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 02/28/01 at New York, New York (telephonically)

File # 58A-NY-278417 - Sub 302-17 Date dictated

by SA [redacted]

b6
b7C

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58A-NY-278417

b6
b7C
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[redacted]

[redacted]

b6
b7C
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WITH/TEXT ✓
WITHOUT TEXT
BY 49
DATE 3/14/01

58A-NY-278417 - 302-
SEARCHED 49 INDEXED
SERIALIZED 49 FILED
2001
FBI - NEW YORK

b6
b7C

[redacted]

[redacted]

Calling from [redacted] (call this # first)

b6
b7C
b7D

Re: [redacted]

[redacted]

[redacted]

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/09/2001

On 03/07/2001, [redacted] born [redacted] social security number [redacted] telephone number [redacted] was telephonically interviewed by Special Agent (SA) [redacted] and [redacted] Assistant United States Attorney, Southern District of New York, [redacted] had telephonically contacted the U.S. Attorney's Office, Southern District of New York, claiming to have information concerning [redacted] After being advised of the identity of the interviewing parties, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted] claimed to have previously spoken with an FBI agent named [redacted]

b6
b7C
b7D

[redacted] did not have any information concerning [redacted]

[redacted]

Investigation on 03/07/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub-302-18 Date dictated n/a

b6
b7C
b7D

by SA [redacted] /mjm [redacted] 302

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BY 49
DATE 3/14/01

58A-NY-278417-Sub 302

SEARCHED 49 INDEXED 49
SERIALIZED 49 FILED 49

2001

FBI — NEW YORK

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/11/2001

On 03/11/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted], who accepted service on behalf of [redacted] The subpoena was served on 03/09/2001 and issued in the Southern District of New York. [redacted] is commanded to provide documents and records to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/11/2001 at [redacted]

File # 58A-NY-278417-Sub 302-19 Date dictated n/a

by SA [redacted] /mjm

b3
b6
b7C

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1, 2, 3, 6, 8, 11, 12

58A-NY-278417-Sub 307

SEARCHED
SERIALIZED
INDEXED
FILED

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FBI — NEW YORK

20

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 3/19/01

b6
b7C

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY yg
DATE 3/19/01

58A-NY-278417-302 Sub ⊗ mjr

SEARCHED
SERIALIZED yg
INDEXED
FILED yg
2001
FBI — NEW YORK
mjr

23

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/12/2001

On 03/12/2001, Special Agent (SA) [redacted] served three Federal Grand Jury subpoenas via facsimile for various [redacted] The subpoenas were issued in the Southern District of New York and required the records to be provided to the FBI on or before [redacted]

b3
b6
b7C

Investigation on 03/12/2001 at [redacted]

File # 58A-NY-278417-Sub 302-23 Date dictated n/a

by SA [redacted] mjr [redacted]02

b3
b6
b7C

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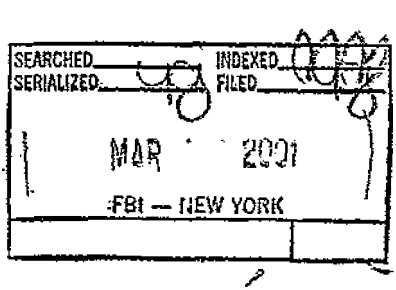
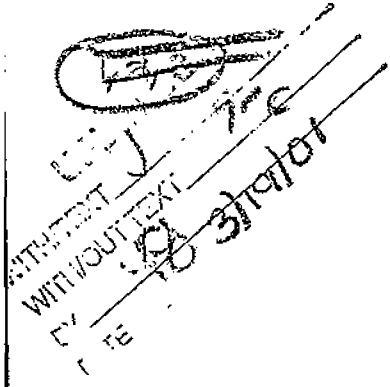
58A-NY-278417-SUB-



24



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/14/2001

[redacted] social security number [redacted]
of [redacted]
was interviewed telephonically. After being advised of the identity of the interviewing agent and the nature of the interview, he provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 03/14/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302-24 Date dictated N/A

b6
b7C

by SA [redacted] pl

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/19/01

On 3/19/01, a Federal Grand Jury Subpoena, Duces Tecum, Southern District of New York, for [redacted], was served via facsimile to [redacted] by SA [redacted]. b3 b6 b7C

Investigation on 03/19/01 at [redacted] (via facsimile)

File # 58A-NY-278417-SUB-302-25 Date dictated [redacted]

by SA [redacted] b3 b6 b7C

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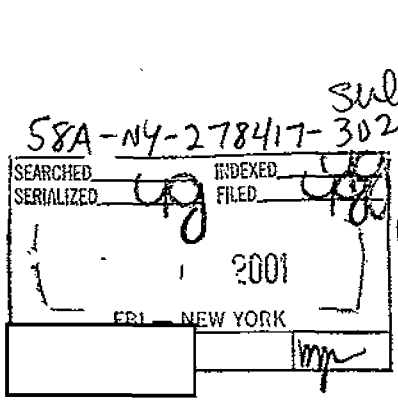
58A-NY-278417-302 Sub
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/05/2001

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6 (e)

On [redacted] an attempt to personally contact [redacted] telephone [redacted] was made and SA [redacted] business card was left with a note for [redacted] to contact SA [redacted] was left for [redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted] date of birth is [redacted] and social security number is [redacted]

Investigation on [redacted] at [redacted] (telephonically)

b3 b6 b7C b7D

File # 58A-WF-NY-278417-Sub 302-26 Date dictated 03/05/01

by SA [redacted]

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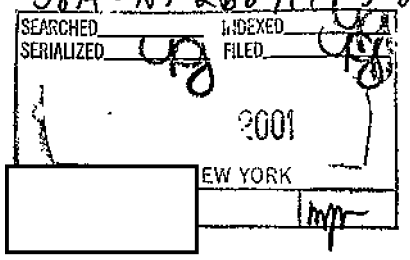
58A-NY-268417-Sub 302-



b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/05/2001

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6 (e)

On [redacted] telephone [redacted] was telephonically contacted regarding the service of a federal grand jury subpoena from the Southern District of New York for [redacted] stated that [redacted] regarding the service of the subpoena and would advise SA [redacted] who would accept the service. b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] date of birth is [redacted] and social security number is [redacted]

3 [redacted]

Investigation on [redacted] at [redacted] (telephonically) b3 b6 b7C b7D

File # 58A-NY-278417 -27 Date dictated 03/05/01

by SA [redacted]

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58A-NY-278417-3-1

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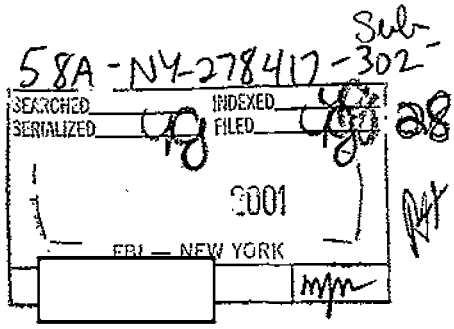
FBI — NEW YORK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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/05/2001

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

On [redacted], telephone [redacted] date of birth [redacted] and social security number [redacted] was telephonically contacted regarding the service of a federal grand jury subpoena from the Southern District of New York. [redacted] was advised that a business card had been left at [redacted] requesting that she contact this Agent. [redacted] advised that [redacted] lives at that address.

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[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
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3 [redacted]

Investigation on [redacted] at [redacted] (telephonically)

File # 58A-NY-278417 — 28 Date dictated 03/05/01

by SA [redacted]

b3
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b7C
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58A-NY-278417-
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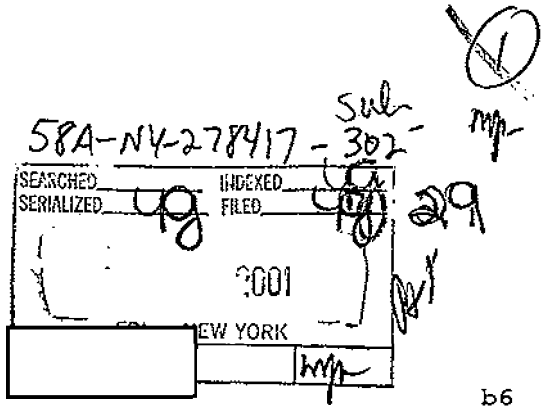
58A-NY-278417 - Sub 302
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29
2001
NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/05/2001

On [redacted] was advised of the identity of this Agent and the nature of the visit. [redacted] was advised that attempts to contact [redacted] were unsuccesful after a message had been left with [redacted] to contact SA [redacted] b3 b6 b7C b7D

[redacted] was given a federal grand jury subpoena from the Southern District of New York requiring [redacted] appear and produce documents to the grand jury on [redacted] A business card from SA [redacted] with a note was left to contact either SA [redacted] or Southern District of New York AUSA [redacted] regarding the service and compliance date of this subpoena. b3 b6 b7C b7D

On Sunday, March 4, 2001, a voice mail message from [redacted] was left on SA [redacted] voice mail stating that [redacted] received the [redacted] and would have [redacted] (ph) [redacted] (ph) contact [redacted] in New York on Monday, [redacted] did not provide [redacted] phone number. b3 b6 b7C b7D

[redacted] date of birth is [redacted] and his social security number is [redacted]

3 [redacted]

Investigation on [redacted] at [redacted] b3 b6 b7C b7D

File # 58A-NY-278417 — 29 Date dictated 03/05/01

by SA [redacted]

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Sub 0316.wpd

58A-NY-278417 Sub 302

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/19/01

On 3/16/01, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon [redacted] via facsimile. SA [redacted] spoke with [redacted] received service of the subpoena. The subpoena requested various [redacted] and other documents to be presented before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/16/01 at New York, New York

File # 58A-NY-278417-Sub302 -30 Date dictated [redacted]

b6
b7C

by SA [redacted] /kjw

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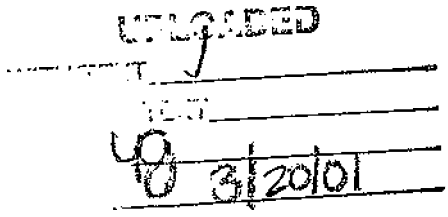
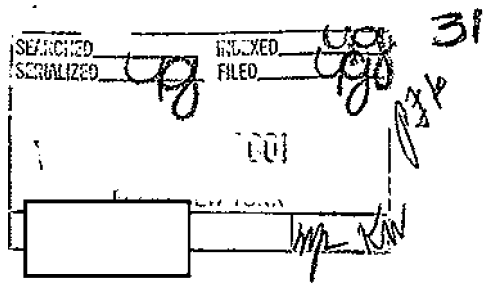
Sub0316a.wpd

58A-NY-278417 Sub302





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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/19/01

On 3/16/01, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon [redacted] via facsimile. SA [redacted] spoke with [redacted] received service of the subpoena. The subpoena requested various [redacted] and other documents to be presented before the grand jury on or before [redacted]

b3
b6
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Investigation on 03/16/01 at New York, New York

File # 58A-NY-278417-Sub302- 31 Date dictated

by SA [redacted] kjw FN

b6
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Sub0319.wpd

58A-NY-278417 Sub-302-

32

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DATE 03/20/01

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/19/01

On 3/19/01, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon [redacted] via facsimile. SA [redacted] spoke with [redacted] who stated that [redacted] would receive service of the subpoena. The subpoena requested various records, [redacted] and other documents [redacted] to be presented before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/19/01 at New York, New York

File # 58A-NY-278417-Sub302- 32 Date dictated [redacted]

b6
b7C

by SA [redacted] kjw

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/20/01

On March 20, 2001, a Federal Grand Jury Subpoena, Duces Tecum, for [redacted] was served via facsimile, to [redacted] at [redacted] telephone number [redacted] by SA [redacted]

b3
b6
b7C

Investigation on 03/20/01 at [redacted] (via facsimile)

File # 58A-NY-278417-Sub 302-33 b3 b6 b7C Date dictated

by SA [redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/14/01

[redacted] telephone number (202) [redacted] was telephonically contacted by SA [redacted] and AUSA [redacted] SDNY. [redacted] was advised that a Federal Grand Jury Subpoena, duces tecum, dated March 29, 2001, had been issued in [redacted] advised that he would accept service of the subpoena by fax, and that [redacted] in the acceptance of the subpoena. AUSA [redacted] faxed the subpoena to [redacted] on 3/12/01.

b3
b6
b7C

Investigation on 03/12/01 at [redacted] (via facsimile)

File # 58A-NY-278417-SW 302- 35 Date dictated [redacted]

by SA [redacted]

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DATE 3/21/01

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NEW YORK

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/18/2001

On 03/02/2001, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon [redacted] on behalf of [redacted] via facsimile [redacted]. The subpoena requested various [redacted] and other documents to be presented before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/02/2001 at New York, New York

File # 58A-NY-278417-Sub-302-360 Date dictated n/a

by SA [redacted] 02

b3
b6
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/15/2001

On 03/12/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile to [redacted] The subpoena was served on 03/12/2001 and issued in the Southern District of New York. [redacted] is commanded to provide documents and records to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/12/2001 at New York, New York

File # 58A-NY-278417-Sub 302-37 Date dictated n/a

by SA [redacted] [redacted] 302

b3
b6
b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/16/2001

On [redacted], born [redacted] was interviewed at the United States Courthouse, Southern District of New York (SDNY), 40 Foley Square, New York, New York in the presence of Special Agent (SA) [redacted] SA [redacted] SA [redacted] Assistant United States Attorney, SDNY, [redacted] The statements [redacted] made during this interview [redacted] After being advised of the identity of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

Investigation on [redacted] at New York, New York

b3
b6
b7C
b7D

File # 58A-NY-278417-Sub B02-38 Date dictated n/a

by SA [redacted] /mjm, SA [redacted]

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b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/15/2001

On [redacted] telephone number [redacted] was telephonically interviewed by Special Agent (SA) [redacted] After being advised of the identity of the interviewing agent, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on [redacted] at New York, New York b6 b7C b7D

File # 58A-NY-278417-SUB-302-39 Date dictated n/a

by SA [redacted] [redacted] 02

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58A-NY-278417-302 Sub

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/15/2001

On 03/13/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile [redacted], who accepted service on behalf of [redacted] The subpoena was served on 03/13/2001 and issued in the Southern District of New York. [redacted] is commanded to provide documents and records to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/13/2001 at New York, New York

File # 58A-NY-278417-SWL 302-40 Date dictated n/a

by SA [redacted] [redacted] 302

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription . 03/15/2001

On 03/14/2001, Assistant United States Attorney [redacted] advised Special Agent (SA) [redacted] that a Federal Grand Jury subpoena was served via facsimile [redacted], who accepted service on behalf of [redacted] The subpoena was served on 03/14/2001 and issued in the Southern District of New York. [redacted] is commanded to provide documents and records to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/14/2001 at New York, New York

File # 58A-NY-278417-Sub-302-41 Date dictated n/a

by SA [redacted] 02

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b6
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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/15/2001

On 03/14/2001, Assistant United States Attorney [redacted] in the presence of Special Agent (SA) [redacted] served a Federal Grand Jury subpoena to [redacted] accepted service on behalf of [redacted] The subpoena was served on 03/14/2001 and issued in the Southern District of New York. [redacted] is commanded to provide documents and records to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/14/2001 at New York, New York

File # 58A-NY-278417-Sub302-42 Date dictated n/a

by SA [redacted] [redacted]302

b3
b6
b7C

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/18/2001

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

On [redacted] born [redacted] was interviewed at the United States Courthouse, Southern District of New York (SDNY), 40 Foley Square, New York, New York in the presence of Special Agent (SA) [redacted] SA [redacted] Assistant United States Attorney, SDNY, [redacted] The statements made during this interview were [redacted] After being advised of the identity of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, New York

File # 58A-NY-278417-Sub-302-43 Date dictated n/a

by SA [redacted] /mjm [redacted] 302

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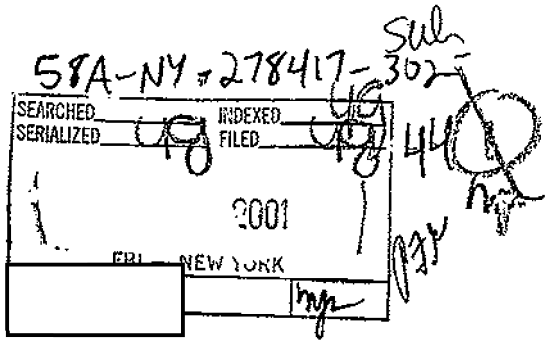
DATE 12/21/01



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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/16/2001

On 03/16/2001, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena via facsimile [redacted] to the Archivist of the United States, National Archives and Records Administration. [redacted] accepted service for the subpoena. The subpoena was issued in the Southern District of New York and required records to be provided to the FBI on or before [redacted]

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Investigation on 03/16/2001 at New York, New York

File # 58A-NY-278417-Sub 302 - 44 Date dictated n/a

by SA [redacted] [redacted] 302

b3
b6
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/16/2001

On 03/16/2001, Special Agent (SA) [redacted] served six Federal Grand Jury subpoenas via facsimile for various [redacted] to the following entities: [redacted] The subpoenas were issued in the Southern District of New York and required the records to be provided to the FBI on or before [redacted]

b3
b6
b7C

Investigation on 03/16/2001 at New York, New York

File # 58A-NY-278417-SWL-302-45 Date dictated n/a

by SA [redacted] [redacted] 302

b3
b6
b7C

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BY 49

DATE 3/22/01

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47

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/04/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] residing at [redacted] was interviewed at the offices of the Federal Bureau of Investigation(FBI), 26 Federal Plaza, New York, New York. Present at the interview were Assistant United States Attorney(AUSA) [redacted] Southern District of New York(SDNY), Special Agent(SA) [redacted] and SA [redacted] Federal Bureau of Investigation(FBI). Also present were [redacted] were not present during the first part of the interview.) AUSA [redacted] again advised [redacted] that the [redacted] before the Federal Grand Jury. [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, New York

File # 58A-NY-278417-Sub 302-47 Date dictated

by SA [redacted] nm

b3
b6
b7C
b7D

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UPLOADED
WITH/TEXT ✓
WITHOUT TEXT
BY 48
DATE 3/23/01

Sub
SBA-NM-028417-302
SEARCHED
INDEXED
SERIALIZED
FILED
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48

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/06/01

On 03/06/01, Assistant United States Attorney(AUSA), [redacted] Southern District of New York(SDNY), and Special Agent [redacted] Federal Bureau of Investigation (FBI), telephonically interviewed [redacted] Last Name Unknown(LNU). [redacted] LNU contacted AUSA [redacted] and advised that he had information [redacted] LNU was later identified as [redacted] date of birth [redacted] AUSA [redacted] contacted [redacted] at a telephone number in [redacted] which [redacted] LNU advised was a pay phone. [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 03/06/01 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302-48 Date dictated [redacted]

by SA [redacted] b6 b7C

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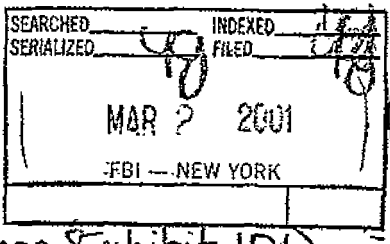
58A-NY-278417 — Sub 302-



49

(see Exhibit 191)

3/23/01

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/20/2001

[redacted] telephone numbers [redacted] (office), [redacted] (home), was interviewed telephonically. After being advised of the identity of the interviewing agent and the need to arrange a meeting for the purpose of conducting an interview, [redacted] furnished the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 03/20/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302- 49 Date dictated N/A

by SA [redacted] gpc

b6
b7C

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58A-NY-278417 -302-

HAND DELIVERED TO [redacted] ON 3/12/01

BY SA [redacted]

b3
b6
b7C

50

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

MAR 2 2 2001

FBI — NEW YORK

UNCLASSIFIED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 3/27/01

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/20/01

[redacted] was served at her residence with two Federal Grand Jury Subpoenas Duces Tecum, dated 3/1/2001, To: [redacted], Southern District of New York, requesting records [redacted] was advised to include both [redacted] in this request.

b3
b6
b7C

Investigation on 03/12/01 at [redacted]

File # 58A-NY-278417-Sub-302-50 Date dictated [redacted]

by SA [redacted] cam(#)
SA [redacted]

b3
b6
b7C

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Sub0320.wpd

58A-NY-278417 Sub 302

51

UPLOADED
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49
3/27/01

SEARCHED 49 INDEXED 49
SERIALIZED 49 FILED 49
2001
FBI — NEW YORK
[redacted]

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/21/01

On 3/20/01, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon the [redacted] via facsimile. SA [redacted] spoke with [redacted] who stated that he would receive service of the subpoena. The subpoena requested various records [redacted] to be presented before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 03/20/01 at New York, New York

File # 58A-NY-278417-Sub302 - 51 b6 b7C Date dictated [redacted]

by SA [redacted] /kjw

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

58A-NY-278417 Sub-302 (b)(7)(C)

FAXED TO [redacted] ON 3/20/01 by

SA [redacted]

52

b3
b6
b7C

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

MAR 22 2001

FBI — NEW YORK

[redacted]

[redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/20/01

On 03/20/2001, Federal Grand Jury Subpoena Duces Tecum, Southern District of New York, dated 3/19/2001, was faxed to [redacted] telephone number [redacted] fax number [redacted] advised he would accept the subpoena on behalf of [redacted] telephonically contacted writer to confirm receipt. b3 b6 b7C

Investigation on 03/20/01 at New York, New York (telephonically)

File # 58A-NY-278417-SUB-302-52 b6 b7C Date dictated

by SA [redacted]:cam

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/23/01

On 3/23/01, SA [redacted] served via facsimile, a Federal Grand Jury Subpoena, Duces Tecum, for [redacted] per a telephone conversation with [redacted] on 3/23/01. The subpoena was served without incident. b3 b6 b7C

Investigation on 03/23/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub-302-53 b6 b7C Date dictated

by SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/23/01

On 3/23/01, SA [redacted] served a Federal Grand Jury Subpoena, Duces Tecum, for [redacted] per a telephone conversation with AUSA [redacted] The subpoena was served without incident.

b3
b6
b7C

Investigation on 03/23/01 at New York, New York (via facsimile)

File # 58A-NY-278417-SUB 302-54 b6 b7C Date dictated

by SA [redacted]

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58A-NY-278417 - Sub 302 - 1,2 UNI

58

SEARCHED ___ INDEXED ___
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MAR 28 2001
FBI — NEW YORK

(SEE Exhibit 1A4)

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FEDERAL BUREAU OF INVESTIGATION
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S8A-NY-278417 Sub 302

62

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BY ug
DATE 4/5/01

SERIALIZED ug FILED ug
APR 2001
FBI — NEW YORK

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/04/01

On 04/03/01 [redacted] contacted the writer. At the writer's request, [redacted] specifically requested information. [redacted] regarding the specified [redacted] requested in the Federal Grand Jury subpoena for the specific date of [redacted]

b3
b6
b7C

Investigation on 04/03/01 at New York, New York

File # 58A-NY-278417-Sub302- 102 Date dictated [redacted]

b6
b7C

by SA [redacted] kjw KW

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QKW SSA-NY-278417 Sub 302

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BY UG
DATE 4/5/01

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2001
FBI — NEW YORK

63

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/04/01

On 04/04/01, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena upon the [redacted] via facsimile. SA [redacted] spoke with [redacted] who stated that he would receive service of the subpoena. The subpoena requested various [redacted] to be presented before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 04/04/01 at New York, New York

File # 58A-NY-278417-Sub302-63 Date dictated [redacted]

b6
b7C

by SA [redacted] /kjw KW

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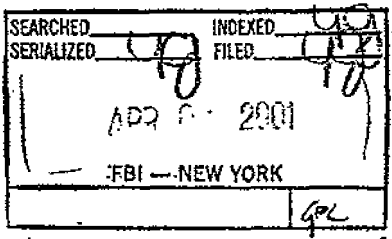
58A-NY-278417-Serial 302-

64



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FBI — NEW YORK

GPL

1, 2, 4, 5, 6, 7

UNCLASSIFIED
WITH/TEXT
WITH/OUT TEXT
BY
DATE 04/5/01

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/28/2001

[redacted] of [redacted] telephone numbers [redacted] (home in [redacted], was interviewed in [redacted] telephone numbers [redacted] was also present. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on [redacted] at [redacted]

File # 58A-NY-278417-Sub 302- 64 Date dictated N/A

by SA [redacted] KW
SA [redacted] fpc

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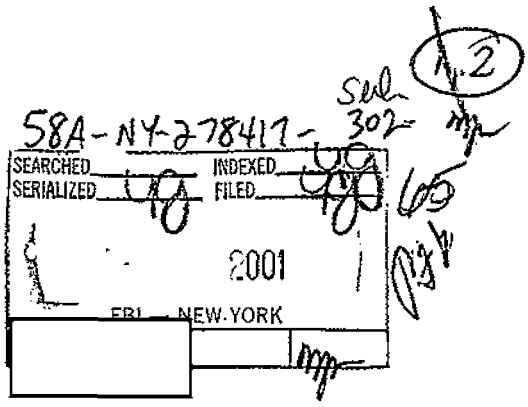
BY
DATE 04/10/01



b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/30/2001

On [redacted] was interviewed by Special Agent (SA) [redacted] and SA [redacted] Present during the interview were [redacted] At the request of the interviewing agents, [redacted] was requested to not tape record the interview. After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on [redacted] at [redacted]

File # 58A-NY-278417-Sub 302-65 Date dictated n/a

by SA [redacted] /mjm [redacted] 02

b6
b7C
b7D

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BY
DATE 04/13/01

58A-NY-278417-302 Serial

SEARCHED
SERIALIZED
INDEXED
FILED
2001
FBI NEW YORK

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/12/2001

On 04/12/2001, [redacted] born [redacted] Social Security Number [redacted] telephone [redacted] was telephonically interviewed by Special Agent (SA) [redacted] previously attempted to contact U.S. Attorney [redacted] Southern District of New York, concerning [redacted] After being advised of the identity of the interviewing agent, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 04/12/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302-66 Date dictated [redacted]

b6
b7C
b7D

by SA [redacted] [redacted] 302

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

SRA-NY-278917- Sub 302-

67

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

APR [illegible] 2001

FBI — NEW YORK

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1-4, 12, 15, 14, 16, 21, 22,
31, 33, 38, 36, 38, [illegible],
45, 47-49

SEE Exhibit 1A7

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 4/13/01

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/02/2001

[redacted] was interviewed at the Office of the United States Attorney, Southern District of New York, 5th Floor, One St. Andrew's Plaza, New York, New York. Present for the interview were Deputy United States Attorney [redacted] Assistant United States Attorney [redacted] Assistant United States Attorney [redacted] Special Agent [redacted] Special Agent [redacted] and Special Agent [redacted] Assistant United States Attorney [redacted] was also present for a portion of the interview. After being advised of the identity of the interviewers and the nature of the interview, [redacted] provided the following information:

b6
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b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 03/26/2001 at New York, New York

File # 58A-NY-278417-Sub-302-(07) Date dictated N/A

b6
b7C

by SA [redacted] SA [redacted]

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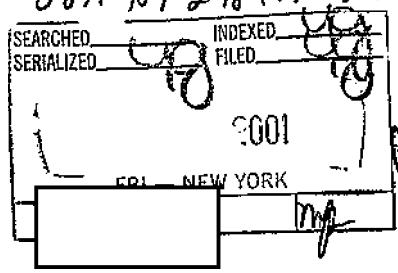
BY
DATE 10 4/13/01

58A-NY-278417-Sub X 302-



68

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/10/2001

On 04/09/2001, [redacted] born [redacted] was telephonically interviewed by Special Agent (SA) [redacted] Also conferenced during the telephone interview was [redacted] After being advised of the identity of the interviewing agent, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 04/09/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub-302-68 Date dictated n/a

b6
b7C
b7D

by SA [redacted] [redacted] 302

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BY 49
DATE 04/16/01

58A-NY-278417-302 Serial

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2001
FBI NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/13/2001

On 04/12/2001, Assistant United States Attorney (AUSA) [redacted] advised Special Agent (SA) [redacted] that he faxed a subpoena to [redacted]. The subpoena was issued in the Southern District of New York and required compliance of records to the FBI on or before [redacted]

b3
b6
b7C

Investigation on 04/12/2001 at New York, New York

File # 58A-NY-278417-Sub 302- 70 Date dictated n/a

by SA [redacted] mp [redacted] 302

b3
b6
b7C

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BY UG
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58A-NY-278417-302 Sub 1

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2001
FBI NEW YORK

71

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/13/2001

On 04/12/2001, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena via facsimile [redacted] to [redacted] for various records. The subpoena was issued in the Southern District of New York and required the records to be provided to the FBI on or before [redacted]

b3
b6
b7C

Investigation on 04/12/2001 at New York, New York

File # 58A-NY-278417-Sub.302-71 Date dictated n/a

by SA [redacted] [redacted] sub.302

b3
b6
b7C

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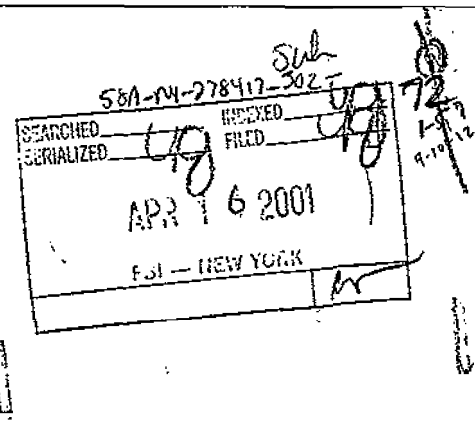
BY UG
DATE 10/17/01



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/02/01

[redacted] telephone number [redacted] was interviewed at the offices of the United States Attorney for the Southern District of New York(SDNY), One St. Andrew's Plaza, New York, New York. Present at the interview were Assistant Untied States Attorney [redacted], Special Agent(SA) [redacted] and SA [redacted], Federal Bureau of Investigation(FBI), and [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 03/30/01 at New York, New York

File # 58A-NY-278417-SUB-302-72 Date dictated

by SA [redacted]

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58A-NY-278417-Sub-302-

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SERIALIZED ✓ FILED ✓

APR 2001

FBI — NEW YORK

73

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/02/2001

[redacted], white female, Date of Birth: [redacted], residing at [redacted] telephone number [redacted] employed by [redacted], was contacted at her residence. After being advised of the official identities of the interviewing Agents and the purpose of the interview, [redacted] furnished the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on [redacted] at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-Sub B 302-73 Date dictated 03/30/2001

by SA [redacted] dlo
SA L.C.

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1.wpd

58A-NY-278417-Sub302

b3
b6
b7C
b7D

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APR 16 2001
FBI — NEW YORK

(see Exhibit A8)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/03/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] was interviewed at the United States Courthouse, 40 Foley Square, New York, New York, in regard to his upcoming Grand Jury testimony. Present during the interview were [redacted] Assistant United States Attorney, Southern District of New York (SDNY); [redacted] the SDNY; and [redacted] After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

Investigation on 04/03/01 at New York, NY

File # 58A-NY-278417-Sub302 - 94 Date dictated

by SA [redacted] /kjw KW

b6
b7C

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[redacted]2.wpd

58A-NY-278417 Sub 302

b3
b6
b7C
b7D

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BY
DATE 04/24/01

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APR 16 2001
FBI NEW YORK
[redacted]

(see Serial 189)

75

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/04/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] was interviewed at the United States Courthouse, 40 Foley Square, New York, New York. Present for the interview were Assistant United States Attorney [redacted] Special Agent [redacted] and [redacted]. After being advised of the identity of the interviewing parties and the nature of the interview, [redacted] provided the following information. b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

AGENT'S ADMINISTRATIVE NOTE:

[redacted] b3 b6 b7C b7D

Investigation on 04/03/01 at New York, New York

File # 58A-NY-278417-Sub302 - 75 Date dictated [redacted] b6 b7C

by SA [redacted] kjw

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1-6, 8, 9, 12

58A-NY-278417-302

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76

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BY ___
DATE 4/24/01

001

FBI NEW YORK

[redacted]

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/19/2001

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

On 03/14/2001, [redacted] born [redacted], social security number [redacted] telephone [redacted] was interviewed at the United States Attorney's Office, 5th Floor, 500 Pearl Street, New York, New York. Present for the interview were Deputy United States Attorney [redacted] Assistant United States Attorney [redacted] Special Agent (SA) [redacted], SA [redacted] counsel to [redacted]. After being advised of the identity of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on 03/14/2001 at New York, New York

File # 58A-NY-278417-Sub-302-NY Date dictated n/a

by SA [redacted] mjm mp [redacted] 2.302

b3
b6
b7C
b7D

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1, 3, 5, 6, 7, 8

58A-NY-278417-302

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77

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WITH/OUT TEXT ___
BY ___
DATE 4/24/01

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/05/2001

On 04/05/2001, [redacted] was interviewed at the United States Attorney's Office, Southern District of New York by Special Agent (SA) [redacted] SA [redacted] and Assistant United States Attorney (AUSA) [redacted]. After being advised of the identity of the interviewers, [redacted] provided the following information: b6 b7C b7D

[redacted]

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 04/05/2001 at New York, New York

File # 58A-NY-278417-Sub-302-77 Date dictated n/a

by SA [redacted] /mjm [redacted] 302 b6 b7C b7D

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DATE 4/24/01

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SERIALIZED UG FILED UG 78
2001
FBI — NEW YORK
mp

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/19/2001

On 04/18/2001, [redacted] was interviewed at the U.S. Attorney's Office, Southern District of New York, by Special Agent (SA) [redacted] SA [redacted] and Assistant United States Attorney [redacted] After being advised of the identity of the interviewers and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

Prior to the interview [redacted] advised that he received the Federal Grand Jury subpoena issued to him, but did not have any documents in his possession, nor did he ever have any documents, that fell within the scope of the subpoena.

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on 04/18/2001 at New York, New York

File # 58A-NY-278417-Sub-302-78 Date dictated n/a

by SA [redacted] CHM mjm [redacted] 302

b3 b6 b7C b7D

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BY
DATE 4/24/01

58A-NY-278417-Sub 302

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NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/19/2001

On 04/19/2001, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena via facsimile [redacted] to [redacted] for various records. The subpoena was issued in the Southern District of New York and required the records to be provided to the FBI on or before [redacted]

b3
b6
b7C

Investigation on 04/19/2001 at New York, New York

File # 58A-NY-278417-SUB-302-79 Date dictated n/a

by SA [redacted] [redacted] sub.302

b3
b6
b7C

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[redacted] wpd

b3
b6
b7C
b7D

~~1,2,3,4,5,~~
~~7,8,10,11~~ KAW

58A-NY-278417 Sub 302

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BY UG
DATE 4/24/01

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FBI — NEW YORK

80

(See Exhibit 1A10)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/23/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] was interviewed at the United States Attorney's Office, 8th Floor, 500 Pearl Street, New York, New York. Present for the interview were Assistant United States Attorneys [redacted] and [redacted] Special Agents [redacted] and [redacted] and [redacted] After being advised of the identity of the interviewing parties and the nature of the interview, [redacted] provided the following information.

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on 03/22/01 at New York, New York

File # 58A-NY-278417-Sub302 - 80 Date dictated

b6
b7C

by SA's [redacted] and [redacted] kjw

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58A-NY-278417 Sub302

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JUN 12 2001
FBI - NEW YORK
[redacted]

(See Exhibit 1A25).

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/04/01

[redacted] date of birth [redacted] came to the office of the Federal Bureau of Investigation, 26 Federal Plaza, New York, NY, to provide information. After being advised of the identity of the interviewing agents, [redacted] provided the following information. b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 05/04/01 at New York, New York

File # 58A-NY-278417 Sub302 - 132 Date dictated [redacted] b6 b7C

by SA's [redacted] kjw

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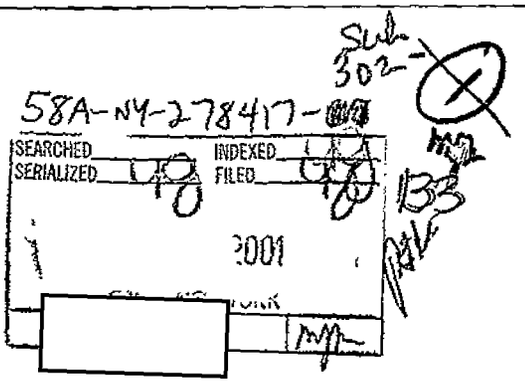
BY
DATE 10/13/01



b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/12/2001

On 06/12/2001, Special Agent (SA) [redacted] telephonically spoke with [redacted] telephone [redacted] in an effort to determine the status of two outstanding federal grand jury subpoenas issued to [redacted] on 02/15/2001 and 03/16/2001, respectively. [redacted] advised that compliance for the months of February and March 2001 have been completed and that SA [redacted] should re-serve the subpoenas to his attention for immediate compliance. SA [redacted] then re-served [redacted] via facsimile [redacted] attention.

b3 b6 b7C

Investigation on 06/12/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub302-133 Date dictated n/a

by SA [redacted] mjm [redacted] sub.302

b3 b6 b7C

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FILED UG
JUN 13 2001
FBI — NEW YORK

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/12/01

[redacted] date of birth [redacted] social security number [redacted] residing at [redacted] telephone number [redacted] was interviewed at his residence by Special Agents [redacted] and [redacted] Federal Bureau of Investigation. Also present was [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on [redacted] at [redacted]

File # 58A-NY-278417-Sub-392-134 Date dictated [redacted]

b6
b7C
b7D

by SA [redacted]
SA [redacted]

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SERIALIZED 40 FILED 40
JUN 13 2001
FBI — NEW YORK

1360

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/04/01

[redacted] Social Security Account Number [redacted] was served at his residence, [redacted] telephone number [redacted] with Federal Grand Jury Subpoena, Southern District of New York, dated May 30, 2001, appearance date of [redacted]

b3
b6
b7C

Investigation on [redacted] at [redacted]

File # 58A-NY-278417-Sub-302-1360 Date dictated N/A

by SA [redacted] cam

b3
b6
b7C

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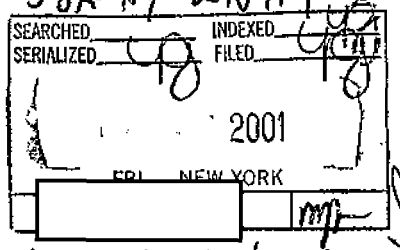
58A-NY-278417-Sub-302-137



1,2

mp

b6
b7C

(see Exhibit 1A27)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/08/2001

[redacted] w/m, dob [redacted] was contacted at his residence, [redacted] telephone number [redacted]. Also present during the interview was [redacted] was advised of the identity of the investigating agents and that the purpose of the interview was to talk to him about [redacted] agreed to talk with investigating agents and provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on [redacted] at [redacted]

File # 58A-NY-278417 - [redacted] Sub 302-137 Date dictated 6/8/01

by SA [redacted] :pjm

b3 b6 b7C b7D

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58A-NY-278417 Sub 302

138

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BY
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FILED
JUN 27 2001
FBI — NEW YORK

(see Exhibit 1A28)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/25/01

[redacted] Date of Birth [redacted] Social Security Account Number [redacted] was interviewed at her residence [redacted] After being apprised of the identities of the interviewing Agents and the nature of the interview [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on [redacted] at [redacted]

File # 58A-NY-278417-Sub302-138 Date dictated N/A b6 b7C b7D

by SA [redacted] SA [redacted] cam

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JUN 2001
FBI — NEW YORK

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/26/01

[redacted] W/M, DOB [redacted] met with investigating agent and Assistant United State Attorney (AUSA) [redacted] located on [redacted] doing business as [redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on [redacted] at [redacted]

File # 58-0-300 Date dictated 2/26/01

by SA [redacted]

b6
b7C
b7D

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58A-NY-278417-302 Serial

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2001
FBI - NEW YORK

141

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b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/07/2001

On captioned date, pursuant to a Federal Grand Jury Subpoena from the United States District Court, Southern District of New York, dated May 30, 2001, Special Agent (SA) [redacted] Federal Bureau of Investigation (FBI), San Diego Division served [redacted] with stated subpoena. The Federal Grand Jury Subpoena requested [redacted] to appear and testify before the Grand Jury in the Southern District of New York on [redacted] at [redacted] located at the United States Courthouse, Foley Square (40 Centre Street), Room [redacted] in the Borough of Manhattan City, City of New York, New York. b3 b6 b7C

[redacted] acknowledged receipt of the Federal Grand Jury Subpoena. SA [redacted] advised [redacted] that if he had additional questions or needed to make alternate arrangements regarding his appearance before the Grand Jury on [redacted] he should contact Assistant United States Attorney (AUSA) [redacted] at telephone number [redacted] b3 b6 b7C

Attached hereto is an identical copy of the Grand Jury Subpoena served on [redacted]

Investigation on 06/07/01 at [redacted]

File # 58A-NY-278417-Sub-302-6141 Date dictated Not Dictated b3 b6 b7C

by [redacted] eaf

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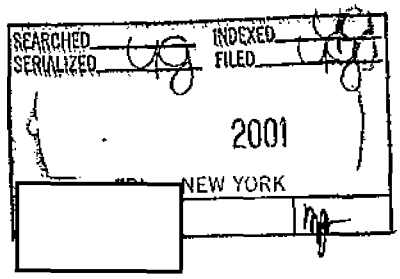
SBA-NY-278417 - Sub 302 - 142



b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/14/2001

[redacted], born on [redacted], residing at [redacted] was served with a Grand Jury Subpoena from the United States District Court, Southern District of New York on June 14, 2001 at his residence. [redacted] was informed of the purpose of the subpoena. He was also given the telephone number of the Assistant United States Attorney involved in the case. At the time of service he was departing his residence and did not have time to answer any questions regarding [redacted]

b3
b6
b7C

Investigation on 6/14/01 at [redacted]

File # 58A-NY-278417-Sub 302-142 Date dictated [redacted]

by SA [redacted]
SA

b3
b6
b7C

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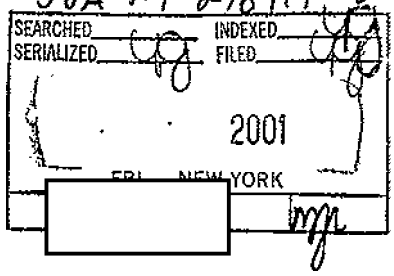
58A-NY-27841-Sub 302



143

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/19/2001

A grand jury subpoena issued from the United States District Court, Southern District of New York, was served on [redacted] date of birth [redacted] social security account number [redacted] The subpoena commanded [redacted] to appear before the grand jury on [redacted]. b3 b6 b7C

A copy of the subpoena is attached to and hereafter made part of this FD-302.

Investigation on June 15, 2001 at [redacted]

File # 58A-NY-278417-Sub 302-143 Date dictated June 19, 2001 b3 b6 b7C

by SA [redacted] /mrs

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58A-NY-278417-Sub 302-

146

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BY
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JUN 27 2001
FBI — NEW YORK

(see Exhibit 1A31)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/25/01

[redacted] Social Security Account Number [redacted] was interviewed in the presence of his attorney [redacted] at the offices of the [redacted] After being apprised of the identities of the interviewing Agents and the nature of the interview [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 6/20/2001 at [redacted]

File # 58A-NY-278417-Sub 302-146 Date dictated N/A b6 b7C b7D

by SA [redacted] SA cam

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BY
DATE 7/13/01

58A-NY-278417-302- Serial 1,2,3

SEARCHED
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INDEXED
FILED
2001
NEW YORK

(SEE Exhibit 1A32)

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/21/2001

On 06/20/2001, [redacted] was interviewed at his residence, [redacted] by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] Also present during the interview was [redacted] After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 06/20/2001 at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-Sub 302-147 Date dictated n/a

by SA [redacted] :mjm [redacted] 302

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BY [illegible]
DATE 7/18/01

58A-NY-278417-Sub-302-(1,2)

SEARCHED [illegible] INDEXED [illegible]
SERIALIZED [illegible] FILED [illegible]

2001

FBI — NEW YORK

[redacted] 1mp

148 mp

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b7C

(SEE Exhibit 1A33)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/16/2001

On 07/16/2001, [redacted] telephone [redacted] was telephonically interviewed by Special Agent (SA) [redacted] [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 07/16/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub-302-148 Date dictated n/a

by SA [redacted] /mjm b6 b7C

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BY UG
DATE 7/18/01

58A-NY-278417-302 Sub

SEARCHED UG INDEXED UG
SERIALIZED UG FILED UG
2001
FBI NEW YORK

149

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/17/2001

On 07/17/2001, [redacted] telephone [redacted] was telephonically interviewed by Special Agent (SA) [redacted] [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 07/17/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302-149 Date dictated n/a

by SA [redacted] /mjm [redacted] 302 b6 b7C b7D

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58A-NY-278417-302 — 151

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JUL 2 5 2001

FBI — NEW YORK

UPLOADED

7/26/01

See Exhibit 1A 35

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/12/01

[redacted] Date of Birth [redacted] was interviewed in the presence of her attorney [redacted] at her residence [redacted] After being apprised of the identities of the interviewing Agents and the nature of the interview [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 07/10/01 at [redacted]

File # 58A-NY-278417 -151 Date dictated n/a

by SA [redacted] SA cam

b6
b7C
b7D

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58A-NY-278417-302 Sub 152

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
JUL 2 5 2001
FBI — NEW YORK

UNCLASSIFIED
DATE 7-26-01

See Exhibit 1A 36

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/12/01

[redacted] Date of Birth [redacted]

[redacted] b6 b7C b7D

was interviewed at her place of employment. After being apprised of the identities of the interviewing Agents and the nature of the interview [redacted] provided the following information:

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 07/10/01 at [redacted]

File # 58A-NY-278417-302 -152 Date dictated n/a

by SA [redacted] cam

[redacted] b6 b7C b7D

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See Exhibit 1A37

58A-NY-278417-302-153

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

JUL 2 5 2001

FBI — NEW YORK

UPLOADED

7/26/01

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/12/01

[redacted] Social Security Account Number [redacted] was interviewed in the presence of his attorney [redacted] at his residence [redacted] After being apprised of the identities of the interviewing Agents and the nature of the interview [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 07/10/01 at [redacted]

File # 58A-NY-278417 -302 -153 Date dictated n/a

by SA [redacted] cam

b6
b7C
b7D

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[redacted]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/27/01

On 07/26/01, [redacted] accepted the service of a Federal Grand Jury (FGJ) subpoena issued by the United States Attorney's Office for the Southern District of New York. The subpoena requested information on [redacted] b3 b6 b7C

Investigation on 07/26/01 at New York Division

File # 58A-NY-278417-Sub 302-155 b6 b7C Date dictated

by FA [redacted] RTC

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58A-NY-278417-302-

Sub

156

1,2

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b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/18/2001

SOURCE, [redacted] provided the following information in the presence of Special Agent (SA) [redacted] SA [redacted] SA [redacted] Assistant United States Attorney (AUSA), Southern District of New York, [redacted] Esq. b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 07/18/2001 at Undisclosed location

File # ~~58A-NY-278212-SUB-302-46~~ 58A-NY-278440 58A-NY-278417 Date dictated n/a b6 b7C b7D

by SA [redacted] SA [redacted]

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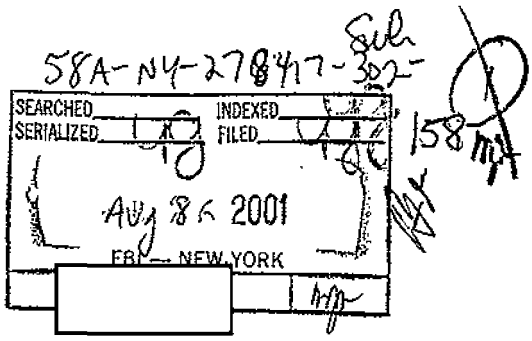

b6
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/08/2001

On 08/07/2001, [redacted] born [redacted] telephone [redacted] telephonically contacted the U.S. Attorney's Office, Southern District of New York (SDNY), to provide information about a [redacted] sent a letter and newspaper articles to the SDNY detailing information about [redacted] was telephonically interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] and provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 08/07/2001 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302- 158 Date dictated N/A b6 b7C b7D

by SA [redacted] /mjm [redacted] 302

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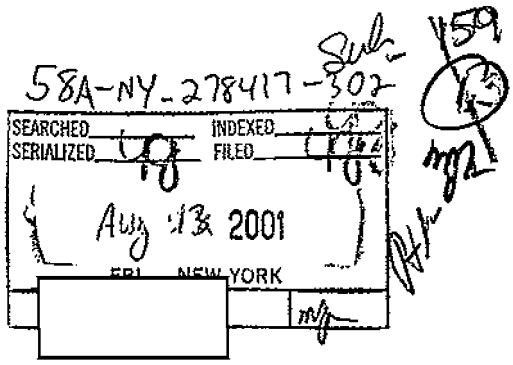

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/13/2001

[redacted] born [redacted] business telephone number [redacted] business facsimile number [redacted] was interviewed at the offices of [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, he provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 08/10/2001 at [redacted] b6 b7C b7D

File # SA-NK-208412-Sub 302-159 Date dictated N/A

by SA [redacted] GPC

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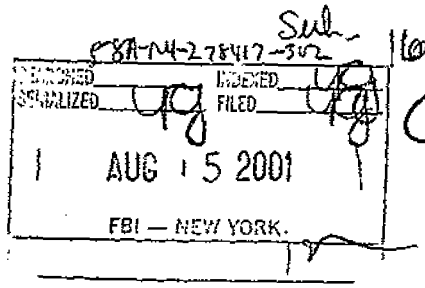

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/18/01

[redacted] date of birth [redacted] residing at [redacted] telephone number [redacted] was interviewed at her residence by Special Agents [redacted] Federal Bureau of Investigation. Also present was [redacted] attorney for [redacted] was advised of the identities of the interviewing Agents and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 07/11/01 at [redacted]

File # 58A-NY-278417-SUB-308F 1100 Date dictated

by SA [redacted] SA

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/30/01

[redacted], white male, date of birth of [redacted] was contacted at his [redacted] telephone number [redacted] had previously been contacted at which time investigating agent explained that [redacted] had given [redacted] name as someone he had spoken with regarding his [redacted] said he would attempt to locate [redacted] and contact investigating agent when he had done so. On April 11, 2001, investigating agent again contacted [redacted] to follow up on the last contact. [redacted] provided the following information.

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

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BY
DATE 10/23/01

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Investigation on 04/11/01 at [redacted] (telephonically)

File # 58-0-301 b6 b7C b7D Date dictated 04/23/01

by SA [redacted] pjm

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58A-NY-278417 - Sub 302

164

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FBI NEW YORK

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b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/30/01

On 08/06/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001, with rider requesting [redacted] was faxed to [redacted] telephone number [redacted] fax number [redacted]

b3
b6
b7C

Investigation on 08/06/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-164 Date dictated n/a

b6
b7C

by SA [redacted]:cam

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165

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FBI NEW YORK

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b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/30/01

On 08/06/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001, with rider requesting [redacted] was faxed to [redacted] b3

[redacted] telephone number [redacted] fax number [redacted]

Investigation on 08/06/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub302-1105 Date dictated n/a b6 b7C

by SA [redacted]

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58A-NY-278417-302 Sub

166

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/30/01

On 08/06/01, Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001, with rider requesting [redacted] was faxed to [redacted] telephone number [redacted] fax number [redacted] and fax number [redacted]

b3
b6
b7C

Investigation on 08/06/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-1166 Date dictated n/a

by SA [redacted]

b6
b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/29/01

On 08/06/2001 ,Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001, with rider requesting [redacted] was faxed to [redacted] Fax number [redacted] b3

Investigation on 08/06/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-160 Date dictated n/a

by SA [redacted] b6 b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/29/01

On 08/27/2001, writer telephonically contacted [redacted] at telephone number [redacted] advised writer the [redacted] has moved to new location. Writer was provided with a new telephone number of [redacted] and fax number [redacted] b3

On 08/29/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001, with rider requesting [redacted] was faxed to [redacted] telephone number [redacted] fax number [redacted] b3 b6 b7C

Investigation on 08/28/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-168 Date dictated n/a b6 b7C

by SA [redacted]

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58A-NY-278417 - Sub 302

169

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WITH/TEXT /
WITH/OUT TEXT
BY 49
DATE 9/5/01

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INDEXED
FILED
SEP 0 4 2001
FBI NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/04/01

On 9/4/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 31, 2001, with rider requesting [redacted] records was faxed to [redacted] b3

telephone number [redacted] fax number [redacted]

Investigation on 09/04/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-169 Date dictated n/a

by SA [redacted] b6 b7C

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58A-NY-278417-Sub 302

170

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BY UG
DATE 9/5/07

SEARCHED UG INDEXED
SERIALIZED UG FILED UG
SEP 0 4 2001
FBI NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/04/01

On 9/4/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 30, 2001, with rider requesting [redacted] records was faxed to [redacted] b3 b6 b7C

telephone number [redacted] fax number [redacted]

Investigation on 09/04/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302- 170 Date dictated n/a b6 b7C

by SA [redacted]

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58A-NY-278417 - Sub 302

171

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DATE 9/5/01

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FILED
SEP 0 4 2001
FBI - NEW YORK

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/4/01

On 9/4/2001, Federal Grand Jury Subpoena, Southern District of new York, dated August 30, 2001, with rider requesting [redacted] records was faxed to [redacted] telephone number [redacted] fax number [redacted]

b3
b6
b7C

Investigation on 9/4/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-171 Date dictated n/a

by SA [redacted]

b6
b7C

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58A-NY-278417-302 Sub

172

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BY UC
DATE 9/5/07

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SERIALIZED ✓ FILED ✓
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FBI NEW YORK

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/4/01

On 09/04/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 30,2001, was faxed to the [redacted] telephone number [redacted] and fax number [redacted]

b3
b6
b7C

Investigation on 9/4/01 at New York, New York (via facsimile)

File # 58A-NY-278417-SUB 302 - 172 Date dictated n/a

b6
b7C

by SA [redacted]

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58A-NY-278417 - Sub 302

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BY
DATE 9/5/07

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SEP 0 4 2001
FBI — NEW YORK

173

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b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/4/01

On 9/4/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 30, 2001, was faxed to [redacted] telephone number [redacted] fax number [redacted]

b3
b6
b7C

Investigation on 9/4/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-173 Date dictated n/a

b6
b7C

by SA [redacted]

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58A-NY-278417-302-Serial 175

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BY
DATE 10/18/07

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NEW YORK

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/5/01

On 08/29/01, Federal Grand Jury Subpoena, Southern District of New York, dated August 28, 2001, with rider requesting [redacted] records was faxed to [redacted] b3 b6 b7C

[redacted] telephone number [redacted] fax number [redacted]

Investigation on 8/29/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-175 Date dictated n/a

by SA [redacted]

b6 b7C

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58A-NY-278417-Sub-302

176

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BY
DATE 9/18/07

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FBI NEW YORK

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/5/01

On 08/29/2001, Federal Grand Jury Subpoena, Southern District of New York, dated September 6, 2001, with rider requesting [redacted] information, was mailed to [redacted] was advised by [redacted] telephone number [redacted] to serve the subpoena through the [redacted]

b3
b6
b7C

Investigation on 8/29/01 at New York, New York

File # 58A-NY-278417-Sub-302-1710 Date dictated n/a

by SA [redacted]

b6
b7C

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58A-NY-278417-Sub-302

177

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BY UG
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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/07/01

On 09/05/01, Federal Grand Jury Subpoena, Southern District of New York, dated September 4, 2001, with attached rider requesting [redacted] information To: [redacted] was faxed to [redacted] fax number [redacted] b3

Investigation on 09/05/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-177 Date dictated n/a

by SA [redacted] b6 b7C

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58A-NY-278417 -302 Sub

178

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/07/01

On 09/05/01, Federal Grand Jury Subpoena, Southern District of New York, dated September 4,2001, with attached rider requesting records for [redacted] was faxed to the [redacted] telephone number [redacted], fax number [redacted]

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Investigation on 09/05/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-178 b6 b7C Date dictated n/a

by SA [redacted]

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58A-NY-278417 Sub -302-

179

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/07/01

On 09/05/01, Federal Grand Jury Subpoena, Southern District of New York, dated September 4, 2001, with attached rider requesting [redacted] records was faxed to [redacted] fax number [redacted]

b3
b6
b7C

Investigation on 09/05/01 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-179 Date dictated n/a

b6
b7C

by SA [redacted]

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58A-NY-278417-302 Sub

180

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BY CG
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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/7/01

On 09/07/2001, Federal Grand Jury Subpoena, Southern District of New York, dated September 6, 2001, with attached rider was faxed to [redacted] b3 [redacted] Federal Grand Jury Subpoena addressed to [redacted] requesting [redacted] information and records.

Investigation on 9/7/01 at New York (via facsimile)

File # 58A-NY-278417-Sub 302-180 Date dictated N/A b6 b7C

by SA [redacted]

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58A-NY-278417-Sub 202-

181

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WITH/TEXT ✓
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BY
DATE 9/18/07

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FILED
SEP 07 2001
FBI NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/07/01

On 09/07/2001, Federal Grand Jury Subpoena, Southern District of New York, dated September 6, 2001, with attached rider requesting [redacted] records was faxed to [redacted] b3

[redacted] fax number [redacted]

Investigation on 09/07/01 at New York New York (via facsimile)

File # 58A-NY-278417-Sub-302-181 Date dictated N/A

by SA [redacted] b6 b7C

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58A-NY-278418-302

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10/9/01

See Exhibit 1142

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/05/2001

On 09/05/2001, [redacted] born [redacted] telephone [redacted] was interviewed by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Loo, Exhibit 1A42

Investigation on 09/05/2001 at [redacted]

File # 58A-NY-278417 -Sub 302-1882 Date dictated n/a

by SA [redacted] mjm

[redacted] 302

b6
b7C
b7D

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58A-NY-278417-Sub 302- 183 (X)

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BY UP 10/10/01
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0 2001
NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/13/2001

Source, [redacted] telephonically contacted Special Agent (SA) [redacted] Federal Bureau of Investigation (FBI), Austin Resident Agency (ARA):

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 09/13/2001 at [redacted] (telephonically)

File # [redacted]-A58A-NY-278417 Date dictated 09/13/2001

b6
b7C
b7D

by SA [redacted]

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WITH/TEXT ✓
WITH/OUT TEXT
BY UG
DATE 10/16/07

58A-NY-278417-302 Sel 1,2,3 mp

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INDEXED UG
FILED UG
2001
YORK
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184

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/12/2001

SOURCE, [redacted] provided the following information to Special Agent (SA) [redacted] and SA [redacted] SA [redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 09/11/2001 at Undisclosed location

b6
b7C
b7D

File # [redacted] 58A-NY-278417-Sub302-184 Date dictated n/a

by SA [redacted] /mjm SA [redacted] 302

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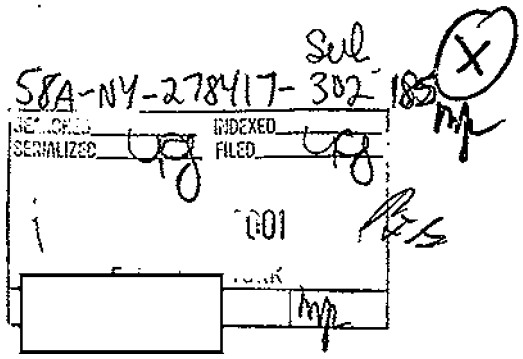
UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 10/16/07



b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/08/2001

On 10/08/2001, Special Agent (SA) served Federal Grand Jury subpoenas via facsimile to the below listed entities. The subpoenas were issued in the Southern District of New York.

ENTITY	SUBPOENA DATE	RETURN DATE
	10/03/2001	
	10/03/2001	
	10/03/2001	
	10/04/2001	
	10/04/2001	
	10/04/2001	
	10/03/2001	
	10/03/2001	
	10/04/2001	
	10/04/2001	
	10/04/2001	
	10/03/2001	

b3
b6
b7C

Investigation on 10/08/2001 at New York, New York

File # 58A-NY-278417-Sub302- 185 Date dictated N/A

b6
b7C

by SA /mjm

subs_served_10-8.302

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DATE 10/16/01

58A-NY-278417-302-186 Sub
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FBI — NEW YORK

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b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/10/2001

On 10/09/2001, Special Agent (SA) [redacted] and SA [redacted] served a Federal Grand Jury subpoena issued in the Southern District of New York on [redacted] accepted service of the subpoena. The subpoena requested records to be delivered to the Grand Jury on or before [redacted]

b3
b6
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Investigation on 10/09/2001 at New York, New York

File # 58A-NY-278417-Sub 302-186 Date dictated N/A

by SA [redacted] mjm

302

b3
b6
b7C

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58A-NY-278417-Sub-302

187

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BY UG
DATE 11/16/01

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FBI - NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/10/01

On 08/03/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001, requesting [redacted] information was faxed to [redacted] fax number [redacted] b3

Investigation on 08/03/2001 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-187 Date dictated n/a b6 b7C

by SA [redacted]

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58A-NY-278417-Sub-302

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/10/01

On 08/06/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 2, 2001 with attached rider requesting [redacted] information was faxed to [redacted] Telephone, [redacted] telephone number [redacted] fax number [redacted]

b3

Investigation on 08/06/2001 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-188 Date dictated n/a

b6
b7C

by SA [redacted]

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58A-NY-278417 - Sub 302

189

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DATE 11/16/01

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SEP 10 2001
FBI — NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/10/2001

On 08/13/2001, Federal Grand Jury Subpoena, Southern District of New York, dated August 9, 2001 with rider requesting [redacted] information was mailed to [redacted]

b3

Investigation on 08/13/2001 at New York, New York

File # 58A-NY-278417-Sub 308- 189 Date dictated N/A

b6
b7C

by SA [redacted]

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BY UG
DATE 12/12/07

58A-NY-278417-302 Sub

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2001

FBI - NEW YORK

1,2,4,5
6-10

190

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/16/2001

[redacted] date of birth, [redacted] residing at [redacted] was interviewed at the offices of the United States Attorney for the Southern District of New York (SDNY), One, St. Andrews Plaza, New York, New York, by Assistant United States Attorney [redacted] SDNY, Special Agent (SA) [redacted] Federal Bureau of Investigation, and SA [redacted] FBI. Also present for the interview were [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 11/16/01 at New York, New York

File # 58A-NY-278417-Sub 308 190 Date dictated [redacted] b6 b7C

by SA [redacted] SA

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DATE 12/13/01

58A-NY-278417-Sub 302-191

SEARCHED
SERIALIZED
INDEXED
FILED
2001

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b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/16/2001

On 11/16/2001, Special Agent (SA) served a Federal Grand Jury subpoena issued in the Southern District of New York via facsimile upon the accepted service of the subpoena. The subpoena requested records to be brought before the grand jury on or before

b3
b6
b7C

Investigation on 11/16/2001 at New York (via facsimile)

File # 58A-NY-278418-Sub 302-191 Date dictated N/A

by SA /mjm

302

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DATE 12/13/07

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192

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/18/2001

On 11/18/2001, Special Agent (SA) [redacted] served a revised Federal Grand Jury subpoena issued in the Southern District of New York via facsimile [redacted] upon the [redacted] accepted service of the subpoena. The subpoena requested [redacted] records to be brought before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 11/18/2001 at [redacted] New York (via facsimile)

File # 58A-NY-278418-Sub 308- 192 Date dictated N/A

by SA [redacted] mjm [redacted] 302

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FBI — NEW YORK
193

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/16/2001

On 10/15/2001, [redacted] born [redacted] Social Security number [redacted] residing at [redacted] was interviewed at the United States Attorney's Office for the Southern District of New York (SDNY), One St. Andrew's Plaza, New York, New York. Present for the interview were Assistant United States Attorney (AUSA) [redacted] Special Agent (SA) [redacted] SA [redacted] statements were [redacted] After being advised of the identities of the interviewers, [redacted] provided the following information: b3 b6 b7C b7D

[redacted] pursuant to a Grand Jury Subpoena Duces Tecum [redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

Investigation on 10/15/2001 at New York, New York b3 b6 b7C b7D

File # 58A-NY-278417-Sub 302-193 Date dictated N/A

by SA [redacted] SA mjm [redacted] 302

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1,2,5,6,10 mp

58A-NY-278417-302 Sub

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FBI — NEW YORK
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(see Exhibit 1A 43)

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/12/2001

On 12/07/2001, [redacted] born [redacted] Social Security number [redacted] residing at [redacted] was interviewed at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York, by Assistant United States Attorney [redacted] Special Agent (SA) [redacted] and SA [redacted] Also present during the interview were [redacted] Prior to the interview, [redacted] After being advised of the identity of the interviewers, [redacted] provided the following information:

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b7C
b7D

[redacted]

b6
b7C
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[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
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[redacted]

b6
b7C
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Investigation on 12/07/2001 at New York, New York

File # 58A-NY-278417-Sub 302-194 Date dictated N/A

by SA [redacted] mjm

302

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DATE 1/7/02

584-NY-278417-302 Sch
195
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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/01

[redacted], date of birth [redacted] Social Security number [redacted] residing at [redacted] was interviewed at the office of the United States Attorney for the Southern District of New York(SDNY), One, St. Andrew's Plaza, New York, New York. Present for the interview were Assistant United States Attorney [redacted], Special Agent [redacted] Federal Bureau of Investigation, and [redacted] prior to his interview. [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

08/14/01 New York, New York

Investigation on _____ at _____

File # 58A-NY-278417-Sub 302-195 Date dictated _____ b6 b7C

by SA [redacted]

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FEDERAL BUREAU OF INVESTIGATION
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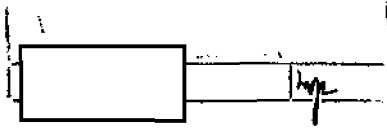
DATE 10/7/02



b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/18/2001

On 12/05/2001, Assistant United States Attorney [redacted] advised that [redacted] served a Federal Grand Jury subpoena via facsimile to [redacted] The subpoena was issued in the Southern District of New York and commanded [redacted] to appear before the grand jury on [redacted]

b3
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b7C

Investigation on 12/05/2001 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302-197 Date dictated N/A

b3
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b7C

by SA [redacted] /mjm [redacted] 302

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58A-NY-278417-302 Sub 198

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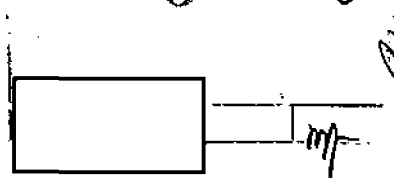

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/04/2002

On 12/13/2001, Special Agent (SA) [redacted] served a federal grand jury subpoena via facsimile [redacted] upon [redacted]. The subpoena was issued in the Southern District of New York and requested [redacted] appear before the grand jury on [redacted] request, the subpoena was also faxed to [redacted]

b3
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b7C

Investigation on 12/13/2001 at New York, New York

File # 58A-NY-278417-Sub 302-198 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

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b7C

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58A-NY-278417-302

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mp

(see Exhibit 1A44)

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DATE 1/7/02

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/20/2001

On [redacted] born [redacted] Social Security number [redacted]

[redacted] was interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] at the U.S. Courthouse, 40 Foley Square, New York, New York. Present during the interview was [redacted] After being advised of the identity of the interviewers, [redacted] provided the following information:

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[redacted]

b3
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[redacted]

b3
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[redacted]

Investigation on [redacted] at New York, New York

b3
b6
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b7D

File # 58A-NY-278417-Sub 302- 199 Date dictated N/A

by SA [redacted] /mjm

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58A-NY-278417-302

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(See Exhibit 1A47)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/12/2001

On 12/12/2001, [redacted] born [redacted] Social Security number [redacted] residing at [redacted] was interviewed at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York, by Assistant United States Attorney (AUSA) [redacted] AUSA [redacted] Special Agent (SA) [redacted] and SA [redacted] Also present during the interview were [redacted]. Prior to the interview, [redacted] After being advised of the identity of the interviewers, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 12/12/2001 at New York, New York

File # 58A-NY-278417-Sub 302-208 Date dictated N/A

by SA [redacted] /mjm

b6
b7C

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/18/01

[redacted] date of birth [redacted] Social Security Number [redacted] residing at [redacted] telephone number [redacted] was interviewed at her [redacted] by [redacted] Special Agents [redacted] Federal Bureau of Investigation (FBI). Also present for the interview was [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

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[redacted]

b6
b7C
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Investigation on 11/7/01 at [redacted]

b6
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File # 58A-NY-278417-Sub 3[redacted]-203 Date dictated [redacted]

by SA [redacted] SA

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DATE 1/14/02

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FILED yg
DEC 2001
FBI - NEW YORK

204

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/11/2001

[redacted], date of birth [redacted] Social Security Number [redacted] residing at [redacted] was interviewed at the offices of the United States Attorney for the Southern District of New York(SDNY), One St. Andrew's Plaza, New York, New York, by Assistant United States Attorney [redacted] SDNY, and Special Agents [redacted], Federal Bureau of Investigation. [redacted] was advised of the identity of the interviewers and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 12/11/01 at New York, New York

File # 58A-NY-278417-Sub 302- 204 Date dictated [redacted] b6 b7C

by SA [redacted] nm(N)

SA [redacted]

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58A-NY-278417-302 Serial 1

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FBI — NEW YORK

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DATE 10/15/02

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/14/2002

On 01/14/2001, Special Agent (SA) [redacted] served a Federal Grand Jury Subpoena via facsimile [redacted] upon [redacted] accepted service of the subpoena which was issued in the Southern District of New York and requested items to be produced before the grand jury on or before [redacted]

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Investigation on 01/14/2002 at New York, New York

File # 58A-NY-278417-Sub 302-205 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

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207

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/27/01

[redacted], Social Security Number [redacted] was interviewed at his [redacted] by Special Agents [redacted] of the Federal Bureau of Investigation (FBI). [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

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[redacted]

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[redacted]

b3
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At the conclusion of the interview, [redacted] was served with a Federal Grand Jury Subpoena, Southern District of New York, for a personal appearance before the Grand Jury.

Investigation on 09/03/01 at [redacted]

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File # 58A-NY-278417-Sub-30A-207 Date dictated

by SA [redacted] SA

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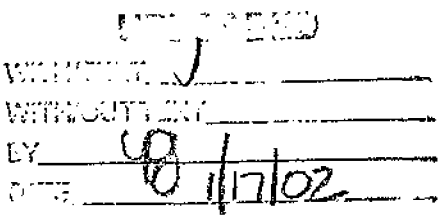

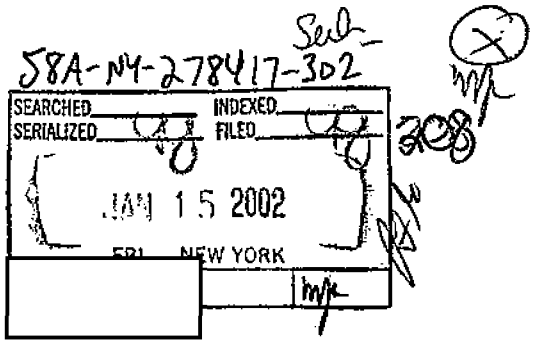

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FBI NEW YORK

308

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/15/2002

On 01/15/2002, Special Agent (SA) [redacted] served Federal grand jury subpoenas via facsimile to the following [redacted] information:

[redacted]

b3
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b7C

The subpoenas were issued in the Southern District of New York and directed [redacted] to produce records on or before [redacted] for submission to the grand jury.

Investigation on 01/15/2002 at New York, New York

File # 58A-NY-278417-Sub 302-208 Date dictated N/A

by SA [redacted] mjm [redacted] 302

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FBI — NEW YORK
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(see Exhibit 1A51)
(Received 1/17/02) 49

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/22/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted], date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. 20530. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

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[redacted]

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[redacted]

Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302-3/1 Date dictated [redacted]

by SA [redacted] kjw

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(see Exhibit 1A52)

(Received 1/17/02)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/21/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302-312 Date dictated [redacted]

by SA [redacted] /kjw

b3 b6 b7C b7D

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[redacted] upd

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FBI — NY

(see Exhibit 1A53)
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213

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/15/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted], Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

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[redacted]

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[redacted]

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[redacted]

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Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302-213 Date dictated [redacted]

by SA [redacted] kjw

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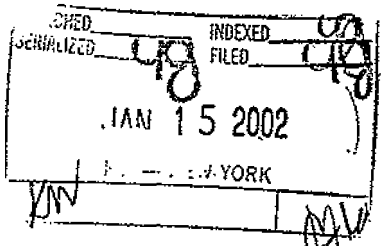

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(see Exhibit A54)
(Received 1/17/02) 48

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/14/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted], date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302 - 314 Date dictated [redacted]

by SA [redacted] /kjw

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(See Exhibit 1A55
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Date of transcription 05/18/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] [redacted] home address [redacted] [redacted] work phone [redacted] was interviewed telephonically. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at Washington, D.C. (telephonically)

File # 58A-NY-278417-Sub302 -315 Date dictated [redacted]

by SA [redacted] /kjw

b3
b6
b7C
b7D

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[redacted], wpd

b3
b6
b7C
b7D

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WITH TEXT
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BY
DATE 1/18/02

58A-NY-278417 Sub 302

SEARCHED INDEXED
SERIALIZED FBI
JAN 15 2002
FBI — NEW YORK

216

(see exhibit 1A56)
(Received 1/17/02) 4g

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/18/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room #2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302 -216 Date dictated [redacted]

by SA [redacted] kjw

b3 b6 b7C b7D

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wpd

b3
b6
b7C
b7D

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WITH/TEXT ✓
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BY
DATE 1/18/02

SBA-NY-278417 Sub 382

SERIALIZED INDEXED
FILED
JAN 15 2002
FBI - NEW YORK

217

(see Exhibit 1A57)
(Received 1/17/02)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/21/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302-217 Date dictated

by SA [redacted] /kjw

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[redacted] wpd

b3
b6
b7C
b7D

UNCLASSIFIED
WITH TEXT ✓
WITH OUT TEXT
BY 49 1/18/02
DATE

58A-NY-278417 Sub 302

SEARCHED 48 INDEXED 10
SERIALIZED 48 FILED 10
JAN 15 2002
FBI - NEW YORK

218

(see Exhibit 1A58
(Received 1/17/02) 49

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/21/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] work address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY) and [redacted] After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

Investigation on [redacted] at Washington, D.C.

File # 58A-NY-278417-Sub302 - 818 Date dictated [redacted]

by SA [redacted] kjw

b3
b6
b7C
b7D

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wpd

b3
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b7C
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WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 1/18/02

S8A-NY-278417 Sub302

219

SEARCHED INDEXED
SERIALIZED FILED
JAN 15 2002
FBI — NEW YORK

(see Exhibit 1A59
(Received 1/17/02) 49

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/18/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information: b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted]

Investigation on [redacted] at Washington, D.C. b3 b6 b7C b7D

File # 58A-NY-278417-Sub302 219 Date dictated [redacted]

by SA [redacted] /kw KW

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wpd

b3
b6
b7C
b7D

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WITH TEXT ✓
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BY
DATE 1/15/02

58A-NY-278417 Sub 302

SEARCHED
SERIALIZED
INDEXED
FILED
JAN 15 2002
FBI — NY

220

(see Exhibit 1A160
(Received 1/17/02)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/14/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information: b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

Investigation on [redacted] at Washington, D.C. b3 b6 b7C b7D

File # 58A-NY-278417-Sub302 - 220 Date dictated [redacted]

by SA [redacted] kjw

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upd

b3
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b7C
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WITH TEXT ✓
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BY
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58A-NY-278417 Sub 30

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INDEXED
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JAN 15 2002
FBI — NEW YORK

221

KW

(see Exhibit 1A61)
(Received 1/17/02) 4pg

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/15/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed via conference telephone call. [redacted] was contacted at her home phone [redacted] Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, NY (telephonically)

File # 58A-NY-278417-Sub302 Date dictated

by SA [redacted] kjw

b3
b6
b7C
b7D

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wpd

b3
b6
b7C
b7D

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WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 1/18/02

58A-NY-278417 Sub302

SEARCHED INDEXED
SERIALIZED FILED
JAN 15 2002
FBI — NEW YORK

222

(see Exhibit 1A62)
(Received 1/17/02) 4pg

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/21/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] work phone number [redacted] work fax [redacted] was interviewed via conference call. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on [redacted] at New York, NY (telephonically)

File # 58A-NY-278417-Sub302 - 282 Date dictated [redacted]

by SA [redacted] /kjw

b3 b6 b7C b7D

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wpd

b3
b6
b7C
b7D

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WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 1/18/02

58A-NY-278417 Sub 302

SEARCHED
SERIALIZED
INDEXED
FILED
JAN 15 2002
FBI — NEW YORK

223

(see Exhibit 1A63
(Received 1/17/02)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/05/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed via telephone conference call. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

Investigation on [redacted] at New York, NY (telephonically)

b3 b6 b7C b7D

File # 58A-NY-278417-Sub302 -203 Date dictated

by SA [redacted] /kjw

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[redacted].wpd

b3
b6
b7C
b7D

UPLOADED
WITH TEXT ✓
WITHOUT TEXT
BY
DATE 1/18/02

58A-NY-278417 Sub 302

SEARCHED
SERIALIZED
INDEXED
FILED
JAN 15 2002
FBI — NEW YORK

224

(See Exhibit A64
(Received 1/17/02)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/05/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed via telephone conference call. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, NY (telephonically)

File # 58A-NY-278417-Sub302 -224 Date dictated [redacted]

by SA [redacted] /kjw

b3
b6
b7C
b7D

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wpd

b3
b6
b7C
b7D

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WITH/OUT TEXT
BY
DATE 1/18/02

58A-NY-278417 Sub 302

SEARCHED
SERIALIZED
INDEXED
FILED
JAN 15 2002
FBI — NEW YORK

225

(see Exhibit 1A65

(Received 1/17/02)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/06/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed via telephone conference call. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, NY (telephonically)

File # 58A-NY-278417-Sub302-225 Date dictated [redacted]

by SA [redacted] kjw

b3
b6
b7C
b7D

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

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Page 24 ~ Duplicate;
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Page 29 ~ b3; b6; b7C; b7D;
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Page 34 ~ Duplicate;

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[redacted] upd

b3
b6
b7C
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BY yg
DATE 1/18/02

SA-NY-278417 Sub 302

226

ENRICHED INDEXED yg
SERIALIZED yg FILED yg
JAN 15 2002
FBI — NEW YORK

KW

(See Exhibit 1A66
(Received 1/17/02) yg

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/19/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] home address [redacted] was interviewed at the United States Attorney's Office, Southern District of New York (SDNY), One St. Andrews Plaza, New York, NY. Present during the interview were [redacted] Assistant United States Attorney, SDNY, and [redacted] After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, NY

File # 58A-NY-278417-Sub302 - 226 Date dictated [redacted]

by SA [redacted] /kjw

b3
b6
b7C
b7D

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[redacted] wpd

b3
b6
b7C
b7D

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WITH/TEXT ✓
WITH/OUT TEXT
BY [illegible]
DATE 1/18/02

S8A-NY-278417 Sub 302

SEARCHED [illegible] INDEXED [illegible] 227
SERIALIZED [illegible] FILED [illegible]
JAN 15 2002
RW [illegible]

(see Exhibit NA67)
(Received 1/17/02) [illegible]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/19/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] work telephone [redacted] cellular phone [redacted] was interviewed at the United States Attorney's Office, Southern District of New York (SDNY) first floor, One St. Andrews Plaza, New York, NY. Present during the interview were [redacted] Assistant United States Attorney, SDNY, and [redacted] After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information: b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

[redacted] b3 b6 b7C b7D

Investigation on [redacted] at New York, NY b3 b6 b7C b7D

File # 58A-NY-278417-Sub302 -227 Date dictated [redacted]

by SA [redacted] /kjw

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[redacted] wpd

b3
b6
b7C

58A-NY-278417 Sub 302

228

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BY ug
DATE 1/15/02

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SERIALIZED ug FILED ug
JAN 15 2002
FBI — NEW YORK

(See Serial 1468)

(Received 1/17/02) ug

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/20/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed via telephone conference call. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on [redacted] at New York, NY (telephonically)

File # 58A-NY-262837-Sub302 -228 Date dictated [redacted]

by SA [redacted] /kjw KW

b3
b6
b7C
b7D

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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FOI/PA# 1256617-0

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Page 105 ~ b6; b7C; b7D;
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Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 05/02/03 Time: 10:36

Case ID: 58A-NY-278417-302 Serial: 291

Description of Document:

Type : EC
Date : 06/14/02
To : CRIMINAL INVESTIGATIVE, et al.
From : NEW YORK
Topic: TO PROVIDE WEEKLY STATUS REPORT OF CAPTIONED

Reason for Permanent Charge-Out:

entered in error

Employee: [redacted] b6 b7C

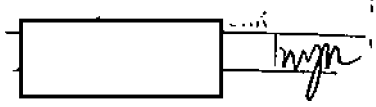
58A-NY-278417-302 Sub

SEARCHED [illegible] INDEXED [illegible]

SERIALIZED [illegible] FILED [illegible]

2926

b6
b7C



FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/07/2003

A Source who is in a position [redacted] provided the following information telephonically to SA [redacted] on April 7, 2003: b6 b7C b7D

[redacted]

[redacted] b6 b7C b7D

Investigation on 04/07/03 at [redacted] (telephonically)

File # [redacted] 58A-NY-278417-- Date dictated 04/07/03 b6 b7C b7D

by SA [redacted]

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097[redacted]E01

[redacted]

293

58A-NY-278417-302

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]

MAY 2003

FBI - NEW YORK

[redacted]

b6
b7C
b7D

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/24/2003

Individual (hereinafter referred to as "Source"), who is in a position [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 03/20/2003 at Undisclosed location

File # [redacted] 58A-NY-278417-352 Date dictated N/A

b6
b7C
b7D

by SA [redacted] /mjm SA [redacted] source.302

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Source.302

SUB
58A-WY-278417-302 - 294

EARCHED ____ INDEXED ____
ERIALIZED ____ FILED ____

MAC 2003

(1,4)

BY ____
DATE 5/21/03

b6
b7C

(see Exhibit 1A87)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/19/2003

On 05/17/2003, [redacted] born [redacted] social security number [redacted] residing at [redacted] was interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney [redacted] at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York. Present during the interview were [redacted] counsel for [redacted]. The statements [redacted] made during this interview were [redacted] AUSA [redacted] specifically advised [redacted] After being advised of the identity of the interviewers and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 05/17/2003 at New York, New York

File # 58A-NY-278417-Sub-302-294 Date dictated N/A

b6
b7C
b7D

by SA [redacted] /mjm [redacted] 302

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Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/03/03 Time: 08:27

Case ID: 58A-NY-278417-302 Serial: 295

Description of Document:

Type : FD302
Date : 05/22/03
To : NEW YORK
From : [redacted]
Topic: [redacted] TELEPHONICALLY CONTACTED

Reason for Permanent Charge-Out:

b6
b7C
b7D

entered in wrong file

Employee: [redacted]

********* Permanent Charge-Out of Serials Summary *********
There are no leads for 58A-NY-278417-302 with Serial 295 to be discontinued

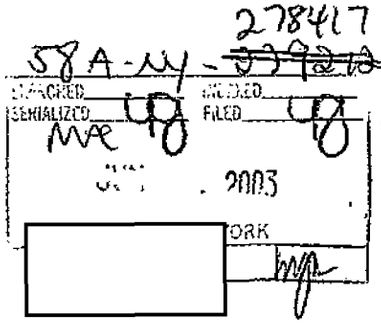
278417-302

58A-NY-~~279212~~

SEARCHED 48 INDEXED 48

SERIALIZED MAE FILED 48

2003



[redacted] ORK

296 (X)

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/25/2003

A Source who is in a position [redacted] provided the following information telephonically to SA [redacted] on April 25, 2003: b6 b7C b7D

[redacted]

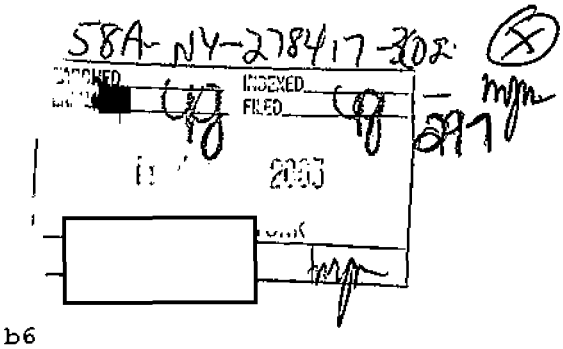
Investigation on 04/25/03 at [redacted] (telephonically)

File # [redacted] 58A-NY-278417 Date dictated 04/25/03

by SA [redacted] b6 b7C b7D

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

115-DAE-52



b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/01/2003

A Source who is in a position [redacted] provided the following information telephonically to SA [redacted] on May 1, 2003: b6 b7C b7D

[redacted]

[redacted] b6 b7C b7D

[redacted]

Investigation on 05/01/03 at [redacted] (telephonically) b6 b7C b7D

File # [redacted] 58A-NY-278417 Date dictated 05/01/03

by SA [redacted]

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12/28/02

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BY ug
DATE 6/18/03

58A-NY-278417-302 Sub
302

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SERIALIZED ug FILED ug
JUN 2003 MAY
NEW YORK

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/16/2003

On 06/16/2003, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena via facsimile to [redacted]. The subpoena was issued in the Southern District of New York and requested documents to be presented before the Grand Jury on or before [redacted] b3 b6 b7C

Investigation on 06/16/2003 at New York, New York (via facsimile)

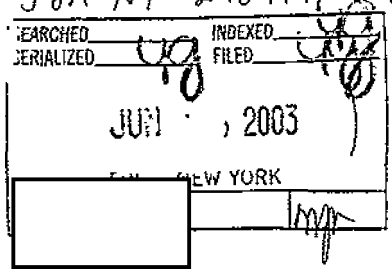
File # 58A-NY-278417-Sub 302 - 202 Date dictated N/A

by SA [redacted] /mjm mp [redacted] 302 b3 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

SUB - 304

58A-NY-278417-302



b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/04/2003

[redacted] (PROTECT IDENTITY BY REQUEST) was interviewed at the [redacted] located at [redacted] by Special Agent (SA) [redacted] and SA [redacted] home phone number is [redacted] and her cell phone number is [redacted] After being advised of the identities of the interviewing agents and the purpose of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
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[redacted]

b6
b7C
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[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 06/02/2003 at [redacted]

File # 194A-NY-284514, sub 302-39 58A-NY-278417-sub 302-304 Date dictated 06/06/2003

by SA [redacted]

b6
b7C
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58A-NY-278417-SUB-302

UPLOADED
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BY
DATE 7/16/03

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JUL 3 2003
FBI NEW YORK

305

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b7C

(see Exhibit 1493)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/11/2003

On 07/10/2003, [redacted], born [redacted], Social Security number [redacted], mailing address [redacted], cellular telephone number [redacted] was interviewed by Special Agent (SA) [redacted] at the [redacted] telephone number [redacted]. Present during the interview was [redacted]. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6
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b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 07/10/2003 at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-Sub 302- 305 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

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58A-NY-278417-Sub 302 - 306

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BY UCJ
DATE 7/28/03

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SERIALIZED UCJ FILED UCJ
JUL 16 2003
FBI - NEW YORK

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b7C

(see Exhibit 1A94)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/22/2003

On 07/18/2003, [redacted] telephone number [redacted] was interviewed by Special Agent (SA) [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 07/18/2003 at [redacted]

File # 58A-NY-278417-Sub 302-306 Date dictated N/A

by SA [redacted] /mjm

b6
b7C
b7D

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FEDERAL BUREAU OF INVESTIGATION
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DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/20/2002

On 1/18/02, SA [redacted] served via facsimile, a Federal Grand Jury Subpoena, returnable on [redacted] at [redacted] information for [redacted] b3 b6 b7C

Investigation on 1/18/02 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub B02-230 Date dictated [redacted] b6 b7C

by SA [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/20/2002

On 1/18/02, SA [redacted] served via facsimile, a Federal Grand Jury Subpoena, returnable on [redacted] information for [redacted]

b3
b6
b7C

Investigation on 1/18/02 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub-302-231 Date dictated [redacted]

b6
b7C

by SA [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/20/2002

On 1/18/02, SA [redacted] served via facsimile, a Federal Grand jury Subpoena, Southern District of New York, to [redacted] for [redacted] information for [redacted]. The subpoena is returnable on [redacted]

b3
b6
b7C

Investigation on 11/18/02 at New York, New York (via facsimile)

File # 58A-NY-278417-SubJ302-238 Date dictated [redacted]

b6
b7C

by SA [redacted]

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[redacted] wpd

58A-NY-278417 Sub302

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BY 49
DATE 1/25/02

283

SEARCHED 49 INDEXED 49
SERIALIZED 49 49
JAN 2 4 2002
FBI

KW
(see Exhibit 1A69)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/15/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] address [redacted] was interviewed at his place of [redacted] After being advised of the identities of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

b3 b6 b7C b7D

[redacted]

Investigation on 06/05/01 at [redacted]

b3 b6 b7C b7D

File # 58A-NY-278417-Sub302-233 Date dictated [redacted]

by SA's [redacted] and [redacted] kjw

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DATE 01/31/02

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FBI — NEW YORK

SAA-NY-218417 Sub-302 - 234

PFG/mf

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/23/02

[redacted] date of birth [redacted]

[redacted] b6 b7C b7D

was interviewed at the Offices of the United States Attorney for the Southern District of New York (SDNY), 500 Pearl Street, New York, New York, by Assistant United States Attorney (AUSA) [redacted] SDNY, and Special Agents [redacted] Federal Bureau of Investigation. Also present for the interview was [redacted] attorney for [redacted] and SDNY interpreter [redacted] cellphone number [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

[redacted] b6 b7C b7D

Investigation on 01/22/02 at New York, New York

File # 58A-NY-278417-SUB 302-224 Date dictated [redacted] b6 b7C

by SA [redacted] SA

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58A-NY-278417- Sub 302-

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FBI — NEW YORK

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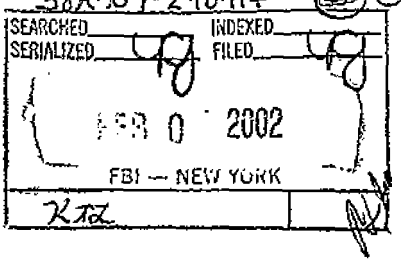
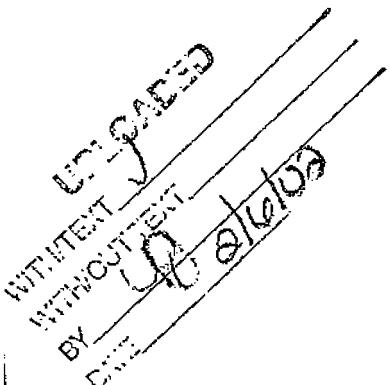
DATE 2/6/02





FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/23/02

On 01/23/02, [redacted] accepted the service of a Federal Grand Jury (FGJ) subpoena issued by the United States Attorney's Office for the Southern District of New York via fax. The subpoena requested various [redacted] information for the [redacted]

b3
b6
b7C

Investigation on _____ at New York Division

File # 58A-NY-278417-Sub 302-235 Date dictated _____

b6
b7C

by FA [redacted]

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58A-NY-278417 - Sub 302-

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FBI — NEW YORK

236

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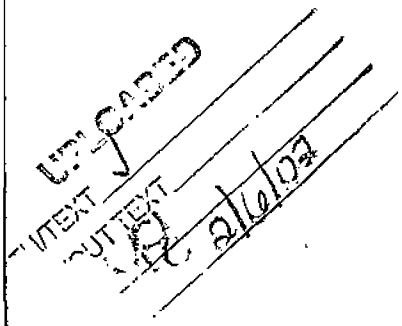

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/23/02

On 01/23/02, [redacted] accepted the service of a Federal Grand Jury (FGJ) subpoena issued by the United States Attorney's Office for the Southern District of New York via fax. The subpoena requested various [redacted] information for the [redacted]

b3
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Investigation on _____ at New York Division

File # 58A-NY-278417-SUB 302-2360 Date dictated _____

b6
b7C

by FA [redacted]

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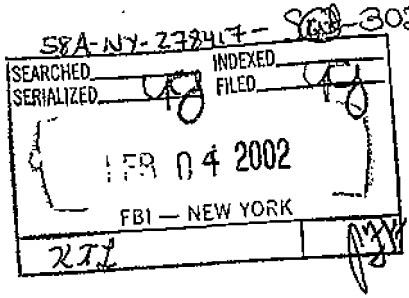

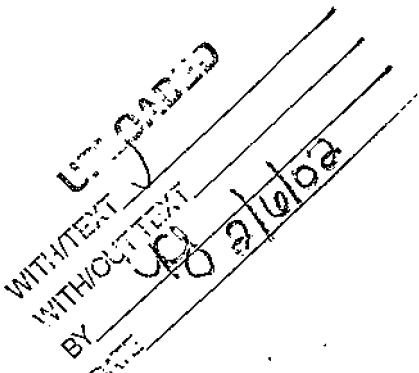

58A-NY-278417- SUB-302-

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237

FEB 04 2002

FBI — NEW YORK

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BY
DATE 2/6/02

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/23/02

On 01/23/02, [redacted] accepted the service of a Federal Grand Jury (FGJ) subpoena issued by the United States Attorney's Office for the Southern District of New York via fax. The subpoena requested various [redacted] information for the [redacted]

b3
b6
b7C

Investigation on [redacted] at New York Division

File # 58A-NY-278417 - Sub-302-237 Date dictated [redacted]

b6
b7C

by FA [redacted]

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WITH/OUT TEXT
BY
DATE 3/4/02

Sub
88A-NY-278412-302-238

SEARCHED
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2002
FBI — NEW YORK

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/14/02

[redacted] residing at [redacted] telephone number [redacted] was interviewed at his place of residence by Special Agents [redacted] Federal Bureau of Investigation. [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

b6
b7C
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[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 2/14/02 at [redacted]

File # 58A-NY-278417-302-Sub-238 Date dictated [redacted]

by SA [redacted]

b6
b7C
b7D

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BY UG
DATE 3/4/02

S8W-M4-278417 -302 Sub 239
RECEIVED UG
INDEXED UG
FILED UG

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/21/02

[redacted] date of birth, [redacted] social security number [redacted] was interviewed at the [redacted] by Special Agents [redacted] Federal Bureau of Investigation. Also present for the interview were [redacted] attorneys for [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted]

Investigation on 02/20/02 at [redacted] b6 b7C b7D

File # 58A-NY-278417-Sub 302 239 Date dictated

by SA [redacted]

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SEARCHED
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WITHOUT TEXT
BY 49
DATE 3/8/02

S8A-M-278412-302 Sub
SEARCHED 49 INDEXED 49
SERIALIZED 49 FILED 49
JAN 2002
240

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/12/01

[redacted] social security number [redacted] residing at [redacted] telephone number [redacted] was interviewed by Special Agents [redacted] Federal Bureau of Investigation. [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 07/11/01 at [redacted] b6 b7C b7D

File # 58A-NY-278417-SUB-302-1240 Date dictated [redacted]

by SA [redacted]

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58A-NY-278417-302 Sub

SEARCHED [ ] INDEXED [ ]
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FBI - NEW YORK

[redacted]

(see Exhibit 1A70)

[redacted]
BY [redacted]
DATE 8/4/02

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/27/2002

On 03/27/2002, [redacted] born [redacted] Social Security number [redacted] residing at [redacted] home telephone number [redacted] was interviewed at her residence by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 03/27/2002 at [redacted]

File # 58A-NY-278417-302-242 Date dictated N/A

by SA [redacted] mjm

SA [redacted] 302

b6
b7C
b7D

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58A-NY-278417-302

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

2002

FBI NEW YORK

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WITH/TEXT ✓
WITH/OUT TEXT ____
BY ____
DATE 9/11/02

243

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/09/2002

On 04/05/2002 and 04/09/2002, Special Agent (SA) served Federal grand jury subpoenas via facsimile to the following records of

Date Served
04/05/02
04/05/02
04/05/02
04/05/02
04/05/02
04/09/02

b3
b6
b7C

The subpoenas were issued in the Southern District of New York and directed each to produce records for submission to the grand jury.

Investigation on 4/5/02, 4/9/02 at New York, New York

File # 58A-NY-278417-302-243 Date dictated N/A

by SA mjm 302

b3
b6
b7C

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BY
DATE 4/9/02

58A-NY-278417-Sub 302-244
SEARCHED INDEXED
SERIALIZED FILED
1,3
2002
FBI NEW YORK

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/03/2002

On 04/02/2002, [redacted] born [redacted] was interviewed at her place of [redacted] by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 04/03/2002 at [redacted]

File # 58A-NY-278417-302-244 Date dictated N/A

by SA [redacted] /mjm

b6
b7C
b7D

302

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4/11/02

58A-NY-278417-302
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2002
FBI - NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/09/2002

On 04/09/2002, Special Agent (SA) [redacted] served a federal grand jury subpoena via facsimile [redacted] upon [redacted] accepted service of the subpoena on behalf of [redacted] who was requested to appear before the grand jury on [redacted] The subpoena was issued in the Southern District of New York.

b3
b6
b7C

Investigation on 04/09/2002 at New York, New York

File # 58A-NY-278417-302-245 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b3
b6
b7C

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WITH ATT
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BY
DATE

58A-NY-278417-302-246

SEARCHED
SERIALIZED
INDEXED
FILED

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/10/2002

On 04/10/2002, Special Agent (SA) [redacted] served a federal grand jury subpoena via facsimile [redacted] to [redacted] accepted service of the subpoena on behalf of [redacted] The subpoena was issued in the Southern District of New York and requested documents to be provided before the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 04/10/2002 at New York, New York

File # 58A-NY-278417-302-246 Date dictated N/A

by SA [redacted] mjm [redacted] 302

b3
b6
b7C

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BY VO
DATE 4/23/02

58A-NY-278417-302 Sub 24
SEARCHED VO INDEXED
SERIALIZED VO FILED
2002
NEW YORK

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/18/2002

On 04/18/2002, Special Agent (SA) [redacted] served a federal grand jury subpoena via facsimile [redacted] upon [redacted] accepted service of the subpoena on behalf of [redacted] The subpoena was issued in the Southern District of New York and requested documents to be provided to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 04/18/2002 at New York, New York

File # 58A-NY-278417-SUL-302-247 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b3
b6
b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/15/02

[redacted] social security number [redacted] residing at [redacted] telephone number [redacted] was interviewed by Special Agent [redacted] Federal Bureau of Investigation(FBI). [redacted] was advised of the identity of the interviewer and the nature of the interview. [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 04/12/02 at [redacted] b6 b7C b7D

File # 58A-NY-278417-Sub 302-248 Date dictated [redacted]

by SA [redacted]

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[illegible]

WITH [illegible] ✓

WITHOUT [illegible]

BY [illegible]

DATE 5/2/02

Sub

5SA-M1-21MM-302 249

[illegible] [illegible]

[illegible] [illegible]

APR 2002

[illegible]

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/23/02

[redacted] date of birth [redacted] was interviewed at the office of [redacted] by Special Agents [redacted] Federal Bureau of Investigation. [redacted] was also present for the interview. [redacted] was advised of the identity of the interviewers and the nature of the interview. [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 04/16/02 at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-SUB309-249 Date dictated [redacted]

by SA [redacted]

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58A-NY-278417-302-Sub

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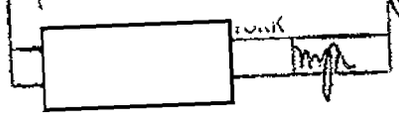
FILED



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BY
DATE 5/2/06

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/30/2002

On 04/30/2002, [redacted] born [redacted] as telephonically interviewed by Special Agent (SA) [redacted] was previously interviewed by SA [redacted] at his office. After being advised of the identity of the interviewing Agent and the nature of the interview, [redacted] provided the following information: b6 b7C b7D

[redacted]

Investigation on 04/30/002 at [redacted] (telephonically)

File # 58A-NY-278417-Sub 302-250 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b6 b7C b7D

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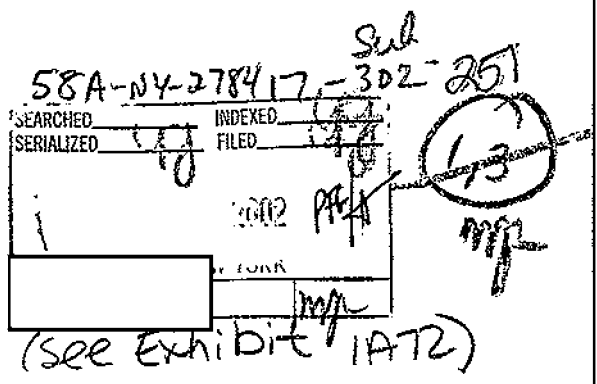
58A-NY-278417-Sub 3D2-251
SEARCHED
INDEXED
SERIALIZED
FILED
(see Exhibit 1A72)

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/03/2002

On 04/02/2002, [redacted] born [redacted] was interviewed at her place of [redacted] by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 04/02/2002 at [redacted]

File # 58A-NY-278417-302-251 Date dictated N/A

by SA [redacted] /mjm

SA [redacted] 302

b6
b7C
b7D

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[illegible]

WITH/OUT TEXT ✓

WITH/OUT TEXT

BY [illegible]

DATE 5/21/02

58A-NY-278417-302

SERIALIZED [illegible] INDEXED [illegible]

FILED [illegible] 252

[redacted]

(See Exhibit 1A13)

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/29/2002

b6
b7C
b7D

On 04/29/2002, [redacted] born [redacted] was interviewed by Special Agent (SA) [redacted] and SA [redacted] at his [redacted] After being advised of the identity of the interviewing Agents and the nature of the interview, [redacted] provided the following information:

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 04/29/2002 at [redacted]

File # 58A-NY-278417-302- 252 Date dictated N/A

by SA [redacted] /mjm

[redacted] 302

b6
b7C
b7D

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[illegible]
WITH/OUT TEXT ✓
WITH/OUT TEXT
BY UG
DATE 5/21/02

58A-NY-278417-302 Serial 253
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SERIALIZED UG FILED UG

(See Exhibit 1A74)

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/20/2002

On 05/16/2002, [redacted] born [redacted] Social Security number [redacted] residing at [redacted] was interviewed at the U.S. Courthouse, Southern District of New York, 40 Foley Square, New York, New York, by Assistant United States Attorney [redacted] and Special Agent (SA) [redacted] prior to testifying in the grand jury. Also present during the interview were [redacted] counsel to [redacted] (hereinafter [redacted]). After being advised of the identity of the interviewers, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

Investigation on 05/16/2002 at [redacted]

File # 58A-NY-278417-302-253 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b3
b6
b7C
b7D

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BY [illegible]

DATE 5/31/02

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58A-NU-278477-302

SEARCHED [illegible] INDEXED [illegible]

SERIALIZED [illegible] FILED [illegible]

254 (1/2)

m (see Exhibit 1A75)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/30/02

[redacted] telephone number [redacted] was interviewed at his office by Special Agents [redacted] Federal Bureau of Investigation. [redacted] was advised of the identities of the interviewers and the nature of the interview. [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 05/30/02 at [redacted]

File # 58A-NY-278417-302-254 Date dictated [redacted]

by SA [redacted]
SA

b6
b7C
b7D

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BY
DATE 5/31/02

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58A-NY-278417-302
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FILED
2002
FBI NEW YORK

(See Exhibit 1A-76)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/29/2002

On 05/29/2002, [redacted] born [redacted] residing in [redacted] cellular telephone [redacted] was telephonically interviewed by Special Agent (SA) [redacted] while [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 05/29/2002 at New York, New York (telephonically)

File # 58A-NY-278417-302-255 Date dictated N/A

by SA [redacted] mjm [redacted] 302 b6 b7C b7D

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BY Ug
DATE 5/31/02

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SEARCHED Ug INDEXED Ug
SERIALIZED Ug FILED Ug

2002

FBI NEW YORK

256

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/28/2002

On 05/28/2002, [redacted], born [redacted], Social Security number [redacted] was interviewed by Special Agent (SA) [redacted] SA [redacted] and Assistant United States Attorney (AUSA) [redacted] at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York. Also present for the interview was [redacted], counsel to [redacted] Prior to the interview, [redacted] AUSA [redacted] advised [redacted] that the [redacted] After being advised of the identity of the interviewers, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 05/28/2002 at New York, New York

File # 58A-NY-278417-302-256 Date dictated N/A

by SA [redacted] mjm

[redacted] 302

b6
b7C
b7D

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BY
DATE 6/19/02

58A-NY-278417

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JUN 18 2002

FBI — NEW YORK

Sub 302 - 1

257

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/18/2002

On 06/18/2002, Financial Analyst (FA) [redacted] served Federal grand jury subpoenas via facsimile to the following [redacted] information only:

[redacted]	Date Served
	06/18/02
	06/18/02
	06/18/02
	06/18/02

b3
b6
b7C

The subpoenas were issued in the Southern District of New York and directed each [redacted] to produce records for submission to the grand jury.

Investigation on 06/18/2002 at New York, New York

File # 58A-NY-278417-302-257 Date dictated N/A

b6
b7C

by FA [redacted] mdj

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BY
DATE 10/6/9/02

58A-NY-278417-Sub 302-258
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SERIALIZED
INDEXED
FILED
6/18/02
PFG/RICO
(See Exhibit 1077)

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/14/2002

On 06/14/2002, [redacted], born [redacted], residing at [redacted] was interviewed at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York, by Assistant United States Attorney [redacted] Special Agent (SA) [redacted] and SA [redacted] Also present during the interview was [redacted] counsel to [redacted] (hereinafter [redacted]). After being advised of the identity of the interviewers, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted]

Investigation on 06/14/2002 at New York, New York

File # 58A-NY-278417-302-258 Date dictated N/A b6 b7C b7D

by SA [redacted] /mjm SA [redacted] 302

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 10/16/02

58A-NY-278417-302 Sub

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FILED
259
002

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/15/2002

On 07/12/2002, [redacted] was interviewed by Special Agent (SA) [redacted] and SA [redacted] After being advised of the identity of the interviewing Agents, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 07/12/2002 at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-SUB 302-250 Date dictated N/A

by SA [redacted] /mjm

302

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BY
DATE 7/18/02

58A-NY-278417-Sub 302-260

SEARCHED
INDEXED
SERIALIZED
FILED
2002
FBI — NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/18/2002

On 07/17/2002, AUSA [redacted] served a Federal Grand Jury subpoena via facsimile to [redacted] records as described on the rider attached to the subpoena. [redacted] accepted service of the subpoena which was issued in the Southern District of New York. The subpoena requested the records to be produced to the grand jury on or before [redacted]

b3
b6
b7C

Investigation on 07/17/2002 at New York, New York

File # 58A-NY-278417-Sub 302-260 Date dictated N/A

by SA [redacted] /mjm

b6
b7C

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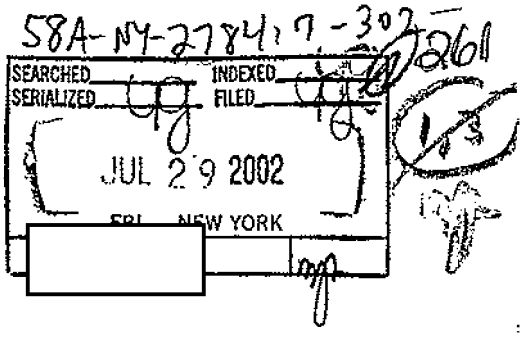
58A-NY-278417-302



b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/24/2002

[redacted] telephone number [redacted] date of birth [redacted] social security account number [redacted] was contacted at his residence. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted]

Investigation on 06/24/2002 at [redacted] b6 b7C b7D

File # 58A-NY-278417 Date dictated 06/24/2002

by SA [redacted]

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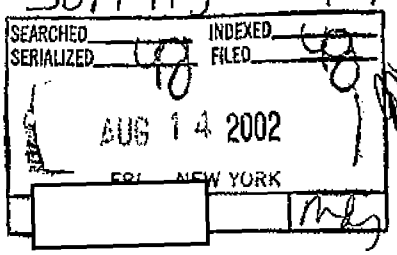
Sub 302

58A-NY-278417



262

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WITH/TEXT ✓
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BY
DATE 8/19/02

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/14/2002

On 7/12/2002, [redacted] served a Federal grand jury subpoena to [redacted] infcrmation only. b3 b6 b7C

This subpoena was issued in the Southern District of New York and directed [redacted] to produce records for submission to the grand jury.

Investigation on 7/12/2002 at New York, New York

File # 58A-NY-278417-Sub 302-262 Date dictated N/A

by FA [redacted] b6 b7C

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BY
DATE 8/22/02

58A-NY-278417-302 Sub - 263

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FBI NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/20/2002

On 08/11/2002, [redacted] left a telephone message for Special Agent (SA) [redacted] concerning additional information he stated he would provide as a result of his 08/08/2002 interview. The following information was obtained: b6 b7C b7D

[redacted]

Investigation on 08/11/2002 at New York, New York (telephonically) b6 b7C b7D

File # 58A-NY-278417-Sub 302- 2103 Date dictated N/A

by SA [redacted] mjm [redacted] 302

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BY
DATE 8/22/02

b6
b7C

58A-NY-278417-302 Serial 264

SEARCHED
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FILED
AUG 20 2002
FBI — NEW YORK

(see Exhibit 1A78)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/20/2002

On 08/13/2002, [redacted] telephonically contacted Assistant United States Attorney (AUSA) [redacted] Also present in AUSA [redacted] office were Special Agent (SA) [redacted] and SA [redacted] was placed on speaker phone and provided the following information: b6 b7C b7D

[redacted]

[redacted] b6 b7C b7D

[redacted]

Investigation on 08/13/2002 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302- 264 Date dictated N/A

by SA [redacted] mjm

302

b6 b7C b7D

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/26/02

On August 23, 2002, SA [redacted] served via Federal Express, a Federal Grand Jury Subpoena to [redacted] for [redacted] records. b3 b6 b7C

Investigation on 08/23/02 at New York, New York

File # 58A-NY-278417-Sub 302-2605 Date dictated [redacted] b6 b7C

by SA [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/26/02

On August 23, 2002, SA [redacted] served via Federal Express, a Federal Grand Jury Subpoena to [redacted] records. b3 b6 b7C

Investigation on 08/23/02 at New York, New York

File # 58A-NY-278417-Sub 302-266 Date dictated [redacted] b6 b7C

by SA [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/26/02

On August 23, 2002, SA [redacted] served via Federal Express, a Federal Grand Jury Subpoena to [redacted] records. b3 b6 b7C

Investigation on 08/23/02 at New York, New York

File # 58A-NY-278417-Sub 302-267 Date dictated [redacted] b6 b7C

by SA [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/26/02

On August 23, 2002, SA [redacted] served via Federal Express, a Federal Grand Jury Subpoena to [redacted] records. b3 b6 b7C

Investigation on 08/23/02 at New York, New York

File # 58A-NY-278417-Sub 302-268 Date dictated [redacted] b6 b7C

by SA [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/26/02

On August 23, 2002, SA [redacted] served via U.S. Mail, a Federal Grand Jury Subpoena, to [redacted] for [redacted] records.

b3
b6
b7C

Investigation on 08/23/02 at New York, New York

File # 58A-NY-278417-Sub 308-269 Date dictated [redacted]

b6
b7C

by SA [redacted]

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BY
DATE 8/30/02

58A-NY-278417-Sub 302-270

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 29 2002
FBI - NEW YORK

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/29/2002

On 08/21/2002, Special Agent (SA) [redacted] served a Federal grand jury subpoena via Fed Ex to [redacted] for [redacted] listed in the [redacted] The subpoena was sent to [redacted] who accepted service on behalf of [redacted]

b3
b6
b7C

The subpoenas were issued in the Southern District of New York and directed [redacted] to produce records for submission to the grand jury on or before [redacted]

Investigation on 08/21/2002 at New York, New York

File # 58A-NY-278417-Sub 302-270 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b3
b6
b7C

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DATE 8/30/02

58A-NY-278417-Sub 302 - 271

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 29 2002
FBI - NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/29/2002

On 08/21/2002, Special Agent (SA) [redacted] served Federal grand jury subpoenas via Fed Ex to the following [redacted] records:

[redacted]

b3
b6
b7C

The subpoenas were issued in the Southern District of New York and directed each [redacted] to produce records for submission to the grand jury on or before [redacted]

Investigation on 08/21/2002 at New York, New York

File # 58A-NY-278417-SUB-302-271 Date dictated N/A

by SA [redacted] mjm [redacted] 302

b3
b6
b7C

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DATE 8/30/02

58A-NY-278417-302 Sub-

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NEW YORK

272 Nyr (X)

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/29/2002

On 08/21/2002, Special Agent (SA) served Federal grand jury subpoenas via facsimile to the following records:

b3
b6
b7C

The subpoenas were issued in the Southern District of New York and directed each to produce records for submission to the grand jury on or before

Investigation on 08/21/2002 at New York, New York

File # 58A-NY-278417-Sub 308-272 Date dictated N/A

by SA /mjm. hyf 302

b3
b6
b7C

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b7C

(see Exhibit 1A79)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/14/2002

On 08/08/2002, [redacted] residing at [redacted] was voluntarily interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] at the United States Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York. After being advised of the identity of the interviewers, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 08/08/2002 at New York, New York

File # 58A-NY-278417-Sub 302-273 Date dictated N/A

by SA [redacted] /mjm

[redacted] 302

b6
b7C
b7D

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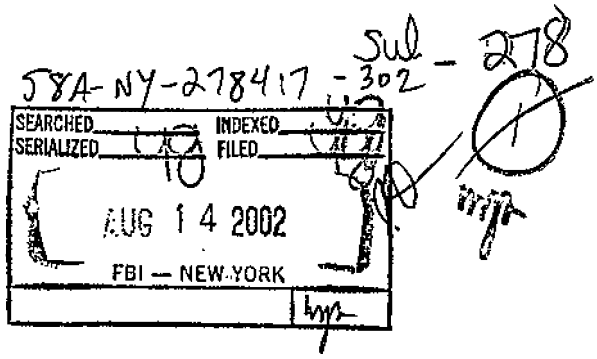
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FBI — NEW YORK

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/26/2002

SA [redacted] received Grand Jury Subpoenas issued by the United States District Court, Southern District of New York on July 22, 2002. The subpoenas were to [redacted] b3 b6 b7C

On July 26, 2002, Special Agent [redacted] served the above subpoenas on [redacted]

At the time of service, [redacted] contacted [redacted] on the telephone, and gave the telephone to SA [redacted] SA spoke to [redacted] who stated that he was arranging a conference call with his attorney, [redacted] As soon as [redacted] entered the conversation, he directed [redacted] to hang up the telephone. SA [redacted] conversed with [redacted] who ended the conversation abruptly. b3 b6 b7C

Investigation on 07/26/2002 at [redacted]

File # 58A-NY-278417-Sub 302-278 Date dictated

by SA [redacted] DBC

b3 b6 b7C

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218 DBC 05.02

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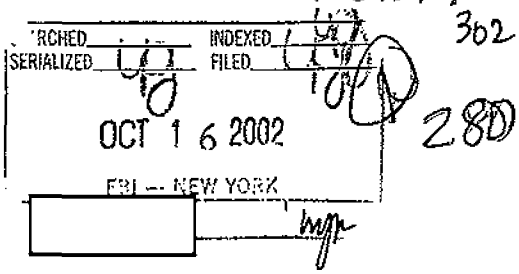

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/21/2002

Individual (hereafter referred to as Source), who has [redacted] was interviewed at the offices of his attorney. Also participating in this interview was [redacted] the Department of Justice. It should be noted that [redacted] Department of Justice, had left the room during this exchange. [redacted] had previously represented [redacted] Source provided the following information:

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 8/7/02 at [redacted]

File # [redacted] 58A-NY-278417-Sub 302-280 Date dictated

by SA SA [redacted] dcs

b6
b7C
b7D

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58A-NY-278417-302 (X)

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[redacted] W YORK

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BY
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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/04/2003

On 02/04/2003, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena via facsimile upon the [redacted] facsimile number [redacted] The subpoena was issued in the Southern District of New York and requested documents to be provided to the grand jury on or before [redacted] b3 b6 b7C

Investigation on 02/03/2003 at New York, New York (via facsimile)

File # 58A-NY-278417-Sub 302- 282 Date dictated N/A

by SA [redacted] mjm [redacted] sub.302 b3 b6 b7C

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58A-NY-278417-302

SEARCHED ___ INDEXED ___
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FEB 26 2003

[redacted]

283 mjm

b6
b7C

(See Exhibit 1A86)

WITHOUT ✓
WITH/OUT ___
BY ___
DATE 9/5/03

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/28/2003

On 02/27/2003, [redacted] was interviewed by Special Agent (SA) [redacted] Also present during the interview was [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted] documents provided to the FBI under a subpoena request:

[redacted]

b3
b6
b7C
b7D

Investigation on 02/27/2003 at New York, New York

File # 58A-NY-278417-Sub 302-283 Date dictated N/A

b6
b7C

by SA [redacted] /mjm

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58A-NY-278417-302

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MAR 0 2003

NEW YORK

284

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b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/17/2003

On 03/17/2003, Special Agent (SA) [redacted] served a federal grand jury subpoena was served upon [redacted] for [redacted] record information. The subpoena was issued in the Southern District of New York and required records to be submitted to the grand jury on or before [redacted]. b3 b6 b7C

On 03/17/2003, Assistant United States Attorney [redacted] served a federal grand jury subpoena to [redacted] for various records. The subpoena was issued in the Southern District of New York and required records to be submitted to the grand jury on or before [redacted]

Investigation on 03/17/2003 at New York, New York b6 b7C

File # 58A-NY-278417-302 - 284 Date dictated N/A

by SA [redacted] /mjm subs_served3-17.302

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58A-NY-278417-302-

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/20/2002

Individual (hereafter referred to as "Source"), who has [redacted] was interviewed at the [redacted] Also participating in this interview were [redacted] Public Integrity Section, Department of Justice, and [redacted] the Election Crimes Branch, Department of Justice. Source provided the following information: b6 b7C b7D

[redacted]

[redacted]

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 8/7/02 at [redacted]

File # [redacted] 56C-LA-227506-M Date dictated [redacted] b6 b7C b7D

by SA [redacted] dcs

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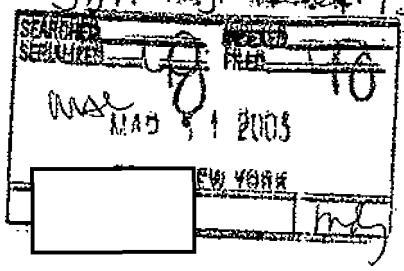
58A-NY-278417302



286

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/24/2003

On 3/24/2003, Investigative Analyst [redacted] served a Federal grand jury subpoena to [redacted] for [redacted] information only. b3 b6 b7C

This subpoena was issued in the Southern District of New York and directed [redacted] New York to produce records for submission to the grand jury.

Investigation on 3/24/2003 at New York, New York

File # 58A-NY-278417-Sub-302-286 Date dictated N/A b6 b7C

by FA [redacted]

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58A-NY-278417-302 Sub

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b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/31/2003

On 10/10/2002, FA [redacted] served a Federal grand jury subpoena to [redacted] via Federal Express for [redacted] information only. b3 b6 b7C

This subpoena was issued in the Southern District of New York and directed [redacted] to produce records for submission to the grand jury.

Investigation on 3/31/2003 at New York, New York

File # 58A-NY-278417 -Sub 302 -287 Date dictated N/A b6 b7C

by FA [redacted]

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~~1,2,3~~ mjp

58A-NY-278417-302

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288

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BY ___ 4/10/03

b6
b7C

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 03/24/2003

Individual (hereinafter referred to as "Source"), who is in a position [redacted] provided the following information:

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 03/20/2003 at Undisclosed location

File # [redacted] 58A-NY-278417 Date dictated N/A

b6
b7C
b7D

by SA [redacted] /mjm
SA [redacted]

source.302

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58A-NY-278417-Sub 302-289

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FBI - NEW YORK

[redacted]

b6
b7C

4/10/03

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/10/2003

On 4/8/2003, Investigative Analyst served a Federal grand jury subpoena to for information only.

This subpoena was issued in the Southern District of New York and directed to produce records for submission to the grand jury.

b3
b6
b7C

Investigation on 4/10/2003 at New York, New York

File # 58A-NY-278417-Sub 302-289 Date dictated N/A

by FA

b6
b7C

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58A-NY-278417-Sub 302-290

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APR 14 2003
NEW YORK

BY
DATE 4/15/03

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b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/14/2003

On 4/11/2003, Financial Analyst [redacted] served Federal grand jury subpoenas to the following [redacted] via fax for current [redacted] information only.

[redacted]

b3
b6
b7C

These subpoenas were issued in the Southern District of New York and directed these [redacted] to produce records for submission to the grand jury.

Investigation on 4/14/2003 at New York, New York

File # 58A-NY-278417-Sub 302- 290 Date dictated N/A

by FA [redacted]

b6
b7C

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58A-NY-278417 - SUB-302

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JUL 29 2003
FBI — NEW YORK

307

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/01/2003

On 08/01/2003, Special Agent (SA) [redacted] served a Federal Grand Jury subpoena via facsimile upon [redacted] On 07/31/2003, an attempt was made to locate and serve [redacted] at her residence, [redacted] with negative results. SA [redacted] left a business card at [redacted] residence, and received a call from [redacted] on 08/01/2003. SA [redacted] then faxed [redacted] the Grand Jury subpoena to her place of employment, [redacted] facsimile number [redacted] The subpoena was issued in the Southern District of New York and requested [redacted] to appear before the grand jury on [redacted]

b3
b6
b7C

Investigation on 08/01/2003 at New York, New York (via facsimile)

File # 58A-NY-278417-SUB 302-307 Date dictated N/A

by SA [redacted] /mjm [redacted] sub.302

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58A-NY-278417-Sub-302 (X)

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/27/2003

On 08/27/2003, [redacted] telephonically contacted Assistant United States Attorney (AUSA) [redacted] Southern District of New York, at his office. Special Agent (SA) [redacted] was then conferenced in to the conversation. [redacted] advised that his criminal attorney [redacted] would not attend the interview or [redacted] appearance before the Grand Jury on [redacted] in New York. His civil attorney, [redacted] would attend in [redacted] absence. [redacted] advised that [redacted] was the attorney who was responsible for coordinating [redacted] the California Attorney General's Office. AUSA [redacted] advised that [redacted] meeting on 08/27/2003 with SA [redacted] and AUSA [redacted] was entirely voluntary and [redacted] was free to select any attorney to represent him. [redacted] acknowledged that the meeting was voluntary and that could consult with any attorney with whom he wanted.

b3
b6
b7C

Investigation on 08/27/2003 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302-308 Date dictated N/A

b6
b7C

by SA [redacted] mjm

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58A-NY-278417-302 SUB 310

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FBI NEW YORK

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(See Exhibit 1A96)

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BY ___ 9/9/03

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/29/2003

On 08/27/2003 and 08/28/2003, [redacted] born [redacted], was interviewed at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York, by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] does not currently have a residence and is temporarily residing at [redacted] residence in [redacted] is represented by [redacted] in his criminal case, and [redacted] has consented to having the above interviewers question [redacted] outside of his presence. Prior to the interview, [redacted] was advised by AUSA [redacted] that his appearance in New York as a witness was entirely voluntary and all questioning may stop at any time if he [redacted] wished to speak with his lawyer. After being advised of the identity of the interviewers, [redacted] provided the following information:

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b7D

Investigation on 8/27-28/03 at New York, New York

File # 58A-NY-278417-SUB 302-310 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b6
b7C
b7D

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58A-NY-278417-302 SUB-312

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
MAC SEP 03 2003
NEW YORK
[redacted]

(see Exhibit 1A98)

BY ___
DATE 9/9/03

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/04/2003

On 09/03/2003, [redacted] born [redacted] telephonically contacted Special Agent (SA) [redacted] called rom his lawyer's office, telephone number [redacted] was previously interviewed by SA [redacted] and telephonically contacted SA [redacted] to clarify information he previously provided. [redacted] advised that his criminal attorney, [redacted] was aware of [redacted] direct contact with SA [redacted] and consented to this conversation. The following information was provided: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted]

Investigation on 09/03/2003 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 802- 312 Date dictated N/A b6 b7C b7D

by SA [redacted] /mjm [redacted] 302

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WITH/TEXT ✓
WITH/OUT TEXT
BY ug
DATE 9/9/03

SUB-
58A-NY-278417-302
313
X
mjm

SEARCHED ug INDEXED
SERIALIZED ug FILED ug
MAC
AUG 1 8 2003
FBI - NEW YORK
[redacted] mjm

b6
b7C

(see Exhibit 1A99)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/18/2003

On 08/12/2003, [redacted] was telephonically interviewed on a conference call by Special Agent [redacted] and Assistant United States Attorney (AUSA) [redacted] Present with [redacted] during the interview was [redacted] counsel, [redacted] After being advised of the identity of the interviewers and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 08/12/2003 at New York, New York (telephonically)

File # 58A-NY-278417-Sub 302- 313 Date dictated N/A

b6
b7C
b7D

by SA [redacted] /mjm [redacted] 302

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WITH TEXT ✓
WITHOUT TEXT
BY
DATE 9/11/03

58A-NY-278417-302 SUB 314

SEARCHED
SERIALIZED
INDEXED
FILED
SEP 0 9 2003
NEW YORK

b6
b7C

(see Exhibit 1A100)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/10/2003

On 09/10/2003, [redacted] telephonically provided Special Agent (SA) [redacted] with the following information which he forgot to provide in earlier interviews. [redacted] left a message for SA [redacted] to contact him at his lawyers office, [redacted] [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

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SUB
58A-NY-278417-302

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
MAC SEP 2 7 2003
NEW YORK
[redacted]

315 mjr

UPLOADED
WITH TEXT ✓
WITHOUT TEXT ___
BY ___
DATE 9/25/03

b6
b7C

(see Exhibit 1A101)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/24/2003

On 08/27/2003 and 08/28/2003, [redacted] was interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York. An FD-302 was prepared after the interview and has been placed in the case file. At the conclusion of the interview, [redacted] provided the interviewers with a print out copy of his [redacted] will be maintained in the case file.

b6
b7C
b7D

Investigation on 08/28/2003 at New York, New York

File # 58A-NY-278417-Sub 302- 315 Date dictated N/A

by SA [redacted] /mjm [redacted] 302

b6
b7C
b7D

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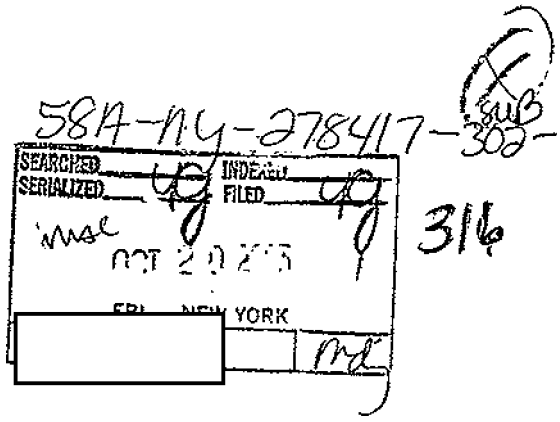
58A-NY-278417-302-SUB

316

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
OCT 20 2003
FBI NEW YORK



b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/20/2003

On 10/20/2003, [redacted] served, via fax, Federal Grand Jury subpoenas to the following [redacted] information only.

b3
b6
b7C

[redacted]

Both of the above subpoenas were issued in the Southern District of New York and directed each [redacted] to produce records for submission to the grand jury.

Investigation on 10/20/03 at New York, New York

File # 58A-NY-278417-SUB 302-316 Date dictated N/A

b6
b7C

by FA [redacted]

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SUB 318

58A-NY-278417-302

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

MA DEC 10 2003

(see Exhibit 1A102)

12/31/03

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/19/2003

On 12/10/2003, [redacted], residing at [redacted] was interviewed by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] at the U.S. Courthouse, 2nd floor, Southern District of New York, 40 Foley Square, New York, New York. Accompanying [redacted] during the interview were her attorneys [redacted] Esq. and [redacted] Esq. [redacted] had been interviewed on multiple occasions in the past and was advised by AUSA [redacted] that the same [redacted] during this voluntary interview. After being advised of the identity of the interviewers and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 12/19/2003 at [redacted]

File # 58A-NY-278417-SUB 302 - 318 Date dictated N/A

by SA [redacted] /mjm

b6
b7C
b7D

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY UG
DATE 2/10/04

58A-NY-278417-SUB-302
319
UNK

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/12/2004

On 01/12/2003, Individual, who is in a position [redacted] provided the following information to Special Agent (SA) [redacted] Supervisory Special Agent (SSA) [redacted] Assistant United States Attorney (AUSA) [redacted] Southern District of New York, and AUSA [redacted] Eastern District of New York. After being advised of the identity of the interviewers agents and the nature of the interview, Individual provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 01/12/2004 at New York, New York (telephonically)

File # 58A-NY-278417, [redacted] Date dictated N/A b6 b7C b7D

by SA [redacted] SSA mjm

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UPLOADED
WITH TEXT ✓
WITH/OUT TEXT
BY ug
DATE 4/22/04

SUB
58A-NY-278417-302-320
INDEXED ug
FILED ug
mol
2004
1-7
mp
NEW YORK
mp

b6
b7C

(see Exhibit 1A103)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/01/2004

On 03/30/2004, [redacted] (Protect Identity), residing at [redacted] home telephone number [redacted] cellular telephone number [redacted] was interviewed by Special Agent (SA) [redacted] the Federal Bureau of Investigation (FBI), Tampa Division, [redacted] Florida. [redacted] was also subsequently interviewed at [redacted] on 03/30/2004 and 03/31/2004. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 03/30-31/04 at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-SUB 302- 320 Date dictated N/A

by SA [redacted] /mjm

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY ug
DATE 4/22/04

58A-NY-278417-SUB 302 (X)

INDEXED ug
FILED ug
MAC
2004
W YORK
mg

321

b6
b7C

(see Exhibit 1A104)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/05/2004

On 04/02/2004, [redacted] telephonically advised Special Agent (SA) [redacted] of the following information:

b6
b7C
b7D

[redacted]

Investigation on 04/02/2004 at New York, New York (telephonically)

File # 58A-NY-278417-SWB 302- 321 Date dictated N/A

b6
b7C

by SA [redacted] /mjm

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58A-NY-278417-302 SUB-

INDEXED
FILED

MAC 2004

YORK

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 4/22/04

b6
b7C

(see Exhibit 1A105)

322

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/07/2004

On 04/06/2004, [redacted] residing at [redacted] home telephone number [redacted] was telephonically interviewed by Special Agent (SA) [redacted]. After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information: b6 b7C b7D

[redacted]

Investigation on 04/06/2004 at New York, New York (telephonically)

File # 58A-NY-278417-SUB 302-322 Date dictated N/A b6 b7C

by SA [redacted] /mjm hmp

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY ug
4/22/04

SUB-
58A-NY-278417-302

INDEXED
FILED
ug
MAC
2004
YORK
mp

(X)
mp
323

(see Exhibit 1A106)

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/07/2004

On 04/07/2004, [redacted] was telephonically interviewed by Special Agent (SA) [redacted] was interviewed previously. [redacted] provided the following information:

[redacted]

b6
b7C
b7D

Investigation on 04/07/2004 at New York, New York (telephonically)

File # 58A-NY-278417-SUB 302- 323 Date dictated N/A

by SA [redacted] mjm

b6
b7C

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WITH/TEXT ✓
WITH/OUT TEXT
BY UG
DATE 4/29/04

SUB-
58A-NY-287417-302 324

SEARCHED
SERIALIZED UG
INDEXED
FILED UG
MAC
2004
NEW YORK

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/26/2004

Individual, who is in a position to [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 04/22/2004 at Undisclosed location

File # [redacted] 194B-NY-287097-Sub 302-4 ✓58A-NY-278417 Date dictated N/A

by SA [redacted] mjm

b6
b7C
b7D

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WITH TEXT ✓
WITHOUT TEXT
BY 5/10/04

58A-NY-278417-SUB-302 325

INDEXED
FILED
2004
New York

b6
b7C

(see Exhibit 1A107)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/27/2004

On 04/26/2004, [redacted] employed as a [redacted] home telephone number [redacted] cellular telephone number [redacted] was interviewed by Special Agent (SA) [redacted] and SA [redacted] located at the [redacted] After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 04/26/2004 at [redacted]

b6
b7C
b7D

File # 58A-NY-278417-SUB 302-325 Date dictated N/A

by SA [redacted] mjm

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 5/20/04

58A-NM-278417
SEARCHED
SERIALIZED
INDEXED
FILED
2004
NEW YORK

See Exhibit 1A - 108

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/14/2004

On 05/14/2004, [redacted] Esq., [redacted] office telephone number [redacted] was telephonically interviewed by Special Agent (SA) [redacted] SA [redacted] previously contacted [redacted] and provided questions to be answered by his client, [redacted] provided the following information on behalf of [redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 05/14/2004 at [redacted] (telephonically)

File # 58A-NY-278417 -326 Date dictated N/A

b6
b7C
b7D

by SA [redacted] /mjm

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See exhibit 108

58A-NY-278417-302-327

SEARCHED INDEXED
SERIALIZED FILED
2004
NEW YORK

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY
DATE

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/18/2004

On 05/18/2004, Special Agent (SA) [redacted] received a call from the New York Office Operations Center that [redacted] had contacted the Assistant Director's Office regarding his recent letter sent to Director Mueller about [redacted] who has been missing. SA [redacted] telephonically interviewed [redacted] in 2001 after he voluntarily contacted the New York Office of the FBI and the U.S. Attorney's Office, Southern District of New York, about the [redacted] SA [redacted] received the letter sent to Director Mueller because [redacted] was referenced in the below case file as having been previously interviewed. b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

Investigation on 05/18/2004 at New York, New York (telephonically)

File # 58A-NY-278417 -sub 302-327 Date dictated N/A b6 b7C

by SA [redacted] mjm

SA [redacted]

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-328

58A-NY-278417-302

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

2004

YORK

1/2

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT
BY
DATE 6/1/04

b6
b7C

see exhibit 1A 109

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/28/2004

On 05/28/2004, [redacted] employed at the [redacted] telephone number [redacted] was telephonically interviewed by Special Agent (SA) [redacted] After being advised of the identity of the interviewing agent and the nature of the interview, [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 05/28/2004 at New York, New York (telephonically)

File # 58A-NY-278417 -SUB 302 - 328 Date dictated N/A

by SA [redacted] /mjm

b6
b7C

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58A-NY-278417-302
-330

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BY
DATE 6/15/06

SEARCHED
SERIALIZED
INDEXED
FILED
2004
NEW YORK

see Exhibit 111

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/24/2004

On 05/21/2004, [redacted] was interviewed at the [redacted] by SA [redacted] was located by SA [redacted] and SA [redacted] as he returned to [redacted] After being advised of the identities of the interviewing agents and the nature of the interview, [redacted] provided the following:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 05/21/2004 at [redacted]

File # 58A-NY-278417 - sub 302 - 330

Date dictated 05/24/2004

by SA [redacted]

b6
b7C
b7D

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58A-NY-278417 A302 - 331

UPLOADED
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BY
DATE

SEARCHED
SERIALIZED
INDEXED
FILED
2004
NEW YORK

1,3,4

b6
b7C

see exhibit 1A 112

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/24/2004

On 06/16/2004, [redacted] born [redacted] residing at [redacted] was interviewed at the U.S. Attorney's Office, Southern District of New York, One St. Andrew's Plaza, New York, New York, by Special Agent (SA) [redacted] and Assistant United States Attorney (AUSA) [redacted] Also present during the interview was [redacted] Esq., counsel to [redacted] (hereinafter [redacted] After being advised of the identity of the interviewers, [redacted] provided the following information:

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

Investigation on 06/16/2004 at New York, New York

File # 58A-NY-278417-sub302-831 Date dictated N/A

b6
b7C

by SA [redacted] /mjm

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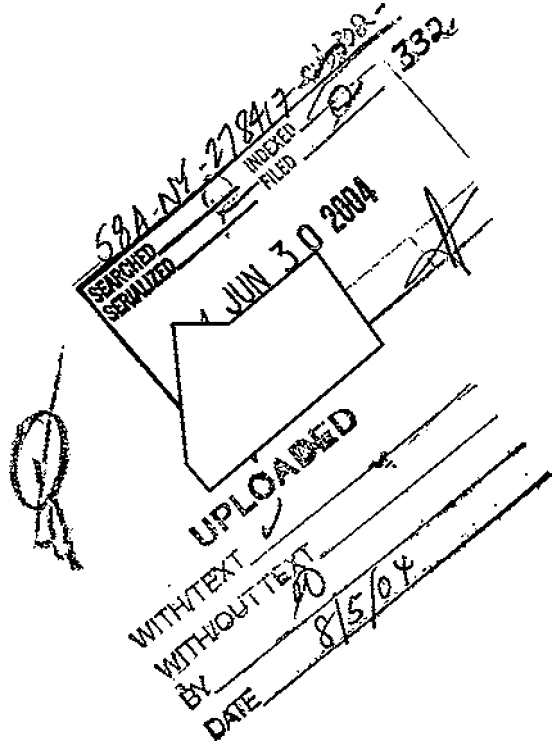

58A-NY-278417-Sub 302-
332
SEARCHED
SERIALIZED
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FILED
JUN 30 2004
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WITH/TEXT
WITH/OUT TEXT
BY
DATE 8/5/04

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 06/22/2004

[redacted] residence telephone [redacted] appeared at the Federal Bureau of Investigation, New York Division, 26 Federal Plaza, New York, New York 10278 for an interview. After being advised of the identity of the interviewing Agents, [redacted] provided the following information: b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted] b6 b7C b7D

[redacted]

Investigation on 6/21/04 at New York, New York

File # 58A-NY-278417 194-0 Date dictated

by SA [redacted] /rjh b6 b7C

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-334

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WITH/TEXT ✓
WITH/OUT TEXT
BY ew
DATE 8/18/04

58A-NY-278417-302

SEARCHED ll INDEXED
SERIALIZED ll FILED

AUG 13 2004
FBI NEW YORK

b6
b7C

see exhibits 1A 114

MAC/KWD 2U1

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/13/2004

On 07/12/2004 at approximately 9:00 am [redacted] was contacted in the [redacted] by Special Agent (SA) [redacted] and SA [redacted] recognized SA [redacted] from a previous interview. After requesting to be interviewed concerning similar subject matter to a prior interview, [redacted] advised that he was scheduled to be in meetings all day and would not be available until approximately 6:00 pm. [redacted] agreed to meet the above agents at that time at the [redacted] in any location that [redacted] wanted. SA [redacted] and SA [redacted] provided their business cards to [redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

Investigation on 07/12/2004 at [redacted]

File # 58A-NY-278417 - SUB 302 - 33V Date dictated N/A

SA [redacted]

by SA [redacted] mjm

b6
b7C
b7D

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-335

58A-NY-278417-302 (X)

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

AUG 6 2004

FBI — NEW YORK

[redacted]

mp

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WITH/TEXT ✓
WITH/OUT TEXT ____
BY ____
DATE 8/30/04

b6
b7C

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 08/18/2004

b3
b6
b7C
b7D

On 08/16/2004, Special Agent (SA) [redacted] received documents voluntarily provided from [redacted] through his counsel [redacted] after a request during a recent interview. The documents will be maintained in the below case file.

Investigation on 08/16/2004 at New York, New York

File # 58A-NY-278417 - Sub 30 - 335 Date dictated N/A

b6
b7C

by SA [redacted] /mjm

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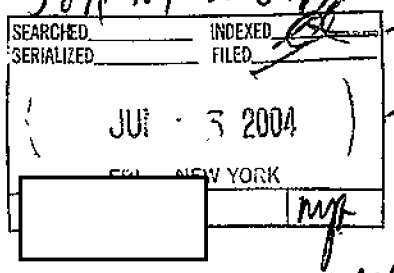
337

58A-NY-278417-302



SEARCHED_____ INDEXED_____
SERIALIZED_____ FILED_____

JUL - 3 2004

FBI - NEW YORK

[redacted]

mjp

MAC/RLB

See - 58A-NY-278417-
Sub 421 for E.C
see attached ca 115

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 07/29/2004

[redacted] was advised of the identity of the interviewing agent and the purpose for this interview. [redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Investigation on 07/23/2004 at [redacted]

File # 58A-NY-278417-420 sub, 302-337 Date dictated

by SA [redacted]

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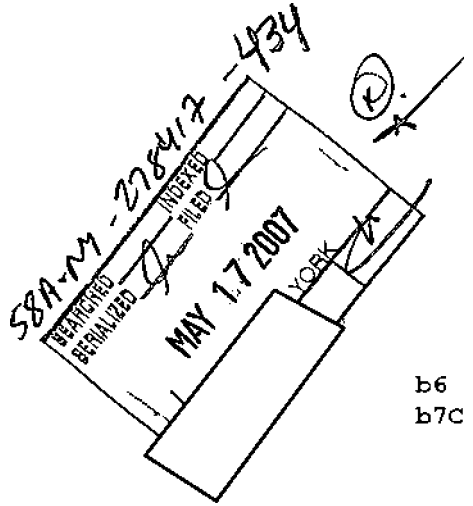
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.


58A-NY-227417-434
SEARCHED
INDEXED
SERIALIZED
FILED
MAY 17 2007
YORK

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(Rev. 01-31-2003)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 05/16/2007

To: New York Attn: Evidence Control Unit

From: New York
C-14: Public Corruption
Contact: SSA [redacted]

Approved By: [redacted] rjh

Drafted By: [redacted] rjh

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Case ID #: 58A-NY-278417 (Closed)

Title: [redacted]
Marc Rich;
[redacted]
OO: New York

Synopsis: Captioned evidence has been returned to the United States Attorney's Office, Southern District of New York for permanent storage and should be removed from the FBI Evidence Retention System.

Details: FD-192 documents for captioned matter have been reviewed.

At the request of the United States Attorney's Office, Southern District of New York, captioned evidence should be transferred to the Department of Justice for permanent storage.

On May 16, 2007 the below listed 1B items (with associated evidence numbers) was returned to the Department of Justice and should be removed from the FBI Evidence Retention System. The FBI offered to destroy the evidence but the SDNY wanted to maintain the listed items for any future historical review.

FBI 1B NUMBER	EVIDENCE CONTROL NUMBER
1B1	E02083492
1B2	E02083493
1B3	E02083494
1B4	E02083495
1B5	E02083496
1B6	E02083497
1B7	E02083498
1B8	E02083499

uploaded
1

1B9	E02083500
1B10	E02083501
1B11	E02083407
1B12	E02083408
1B13	E02083505
1B14	E02083506
1B15	E02083508
1B16	E02083507
1B17	E02083544
1B18	E02083545
1B19	E02083546
1B20	E02083547
1B21	E02083548
1B22	E02083549
1B23	E02083550
1B24	E02083551
1B25	E02083552
1B26	E02083553
1B27	E02083554
1B28	E02083555
1B29	E02083556
1B30	E02083557
1B31	E02083558
1B32	E02083559
1B33	E02083560
1B34	E02083561
1B35	E02083562
1B36	E02083563
1B37	E02083564
1B38	E02083565
1B39	E02083566
1B40	E02083567
1B41	E02083509
1B42	E02083568
1B43	E02083510
1B44	E02083569
1B45	E02083570
1B46	E02083571
1B47	E02083572
1B48	E02083573
1B49	E02083574
1B50	E02083575
1B51	E02083576
1B52	E02083577
1B53	E02083511
1B54	E02083578
1B55	E02083579
1B56	E02083512
1B57	E02083580

1B58	E02083581
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1B61	E02083514
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1B64	E02083517
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1B68	E02083521
1B69	E02083522
1B70	E02083523
1B71	E02083524
1B72	E02083525
1B73	E02083526
1B74	E02083527
1B75	E02083528
1B76	E02083529
1B77	E02083530
1B78	E02083531
1B79	E02083532
1B80	E02083533
1B81	E02083534
1B82	E02083535
1B83	E02083536
1B84	E02083537
1B85	E02083616
1B86	E02083538
1B87	E02083539
1B88	E02083540
1B89	E02083617
1B90	E02083618
1B91	E02083619
1B92	E02083320
1B93	E02083667
1B94	E02083668
1B95	E02083644
1B96	E02083645
1B97	E02083646
1B98	E02083647
1B99	E02083648
1B100	E02083649
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1B127	E02083854
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1B140	E02084830
1B141	E02084831
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1B144	E02084834
1B145	E02084974
1B146	E02084975
1B147	E02084976
1B148	E02084977
1B149	E02084978
1B150	E1211896
1B151	E01960332
1B152	E01960333
1B153	E01960334
1B154	E01960335
1B155	E01960336

To: New York From: New York
Re: ??, 05/16/2007

1B156	E01960337
1B157	E01960338
1B158	E01960339
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1B160	E01960341
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1B162	E01960343
1B163	E01960344
1B164	E01960345
1B165	E01960346
1B166	E01960350
1B167	E01960746
1B168	E01960747
1B169	E01960748
1B170	E01960749
1B171	E01960750
1B172	E01960751
1B173	E01960752
1B174	E01960753
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1B185	E01960764
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1B187	E01960766
1B188	E01960767
1B189	E01960768

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

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X For this Page X
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FD-340a (Rev. 11-12-89)

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(Title) _____

(File No.) 58A-NY-278417-Sub 302-1AS

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A27	6/21/01		/	Orig. notes Re: [redacted]	(see Serial 137) yg
1A28	6/28/01		/	Orig. notes Re: [redacted]	(see Serial 138) yg
1A29	6/28/01		/	Orig. notes Re: [redacted]	(see Serial 139) yg
1A30	6/29/01		/	Orig. notes Re: [redacted] + grand jury subpoena addressed to [redacted]	(see Serial 144) yg
1A31	6/29/01		/	Orig. notes Re: [redacted]	(see Serial 146) yg
1A32	7/13/01		/	Orig. notes Re: [redacted] 6/20/01	(see Serial 147) yg
1A33	7/18/01		/	Orig. notes Re: [redacted] TED 7/16/01	(see Serial 148) yg
1A34	7/20/01		/	Orig. notes Re: [redacted]	7/16/01 (see Serial 150) yg
1A35	7/26/01		/	Orig. notes interview of [redacted]	see Serial (151)
1A36	7/26/01		/	Orig. notes interview of [redacted]	see Serial (152)
1A37	7/26/01		/	Orig. notes interview of [redacted]	see Serial (153)
1A38	8/6/01		/	Orig. notes Re: [redacted]	(see Serial 154) yg
1A39	8/22/01		/	Orig. notes Re: [redacted]	7/30/01 (see Serial 162) yg
1A40	8/22/01		/	Orig. notes Re: [redacted]	7/31/01 (see Serial 163) yg
1A41	9/6/01		/	Orig. notes Re: [redacted]	see Serial 174) yg
1A42	10/10/01		/	Orig. notes Re: [redacted] on a/c Business Card on [redacted] copy of [redacted]	(see Serial 182) yg
1A43	12/20/01		/	Orig. notes Re: [redacted] on 12/7/01	(see Serial 194) yg

Do Not Add Anymore
1A Exhibits See Next Volume

3

58A-NY-278417-Sub 302-1AS

SEARCHED yg INDEXED yg
SERIALIZED yg FILED yg
JUN 21 2001
FBI — NEW YORK
yg

FD-340 (7-19-00)

Universal Case File Number 58A-NY-278417-Sub 308-1A27

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Federal Taxpayer Information (FTI)
☐ Yes ☑ No (see Serial 137)

Title: UNSUB(S); WILLIAM J. CLINTON - et al
GFPO - EXECUTIVE BRANCH

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A27

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[redacted]

[redacted]

DOB/
POB:

[redacted]

[redacted]

[redacted]

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[redacted]

[redacted]

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[redacted]

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FD-340b (Rev. 2-5-93)

sub-302-
1A28

Universal Case File Number 58A-NY-278417

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received [redacted]

From FBI. b6 b7C b7D
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York N.Y.

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; [redacted] b6 b7C
(see Serial 138)
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;

Reference: 00:NY
(Communication Enclosing Material)

Description: ☑ Original notes ☐ interview of [redacted] b6 b7C b7D

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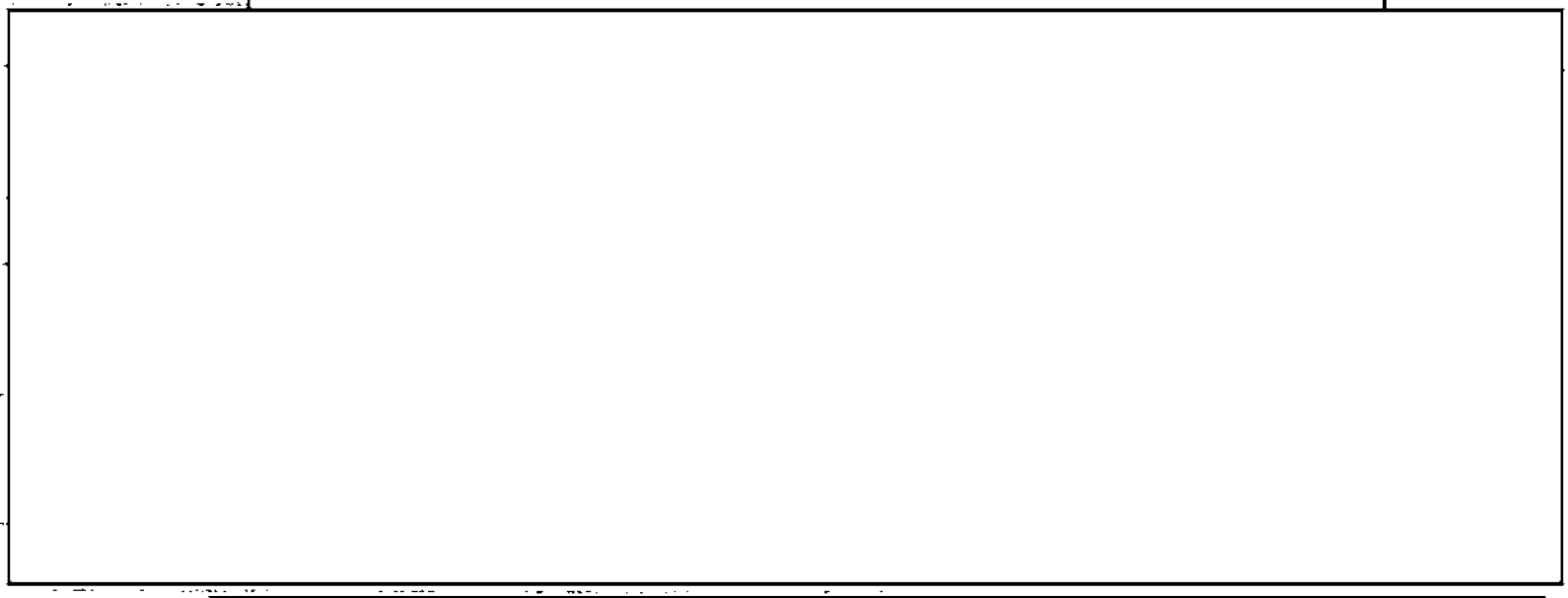

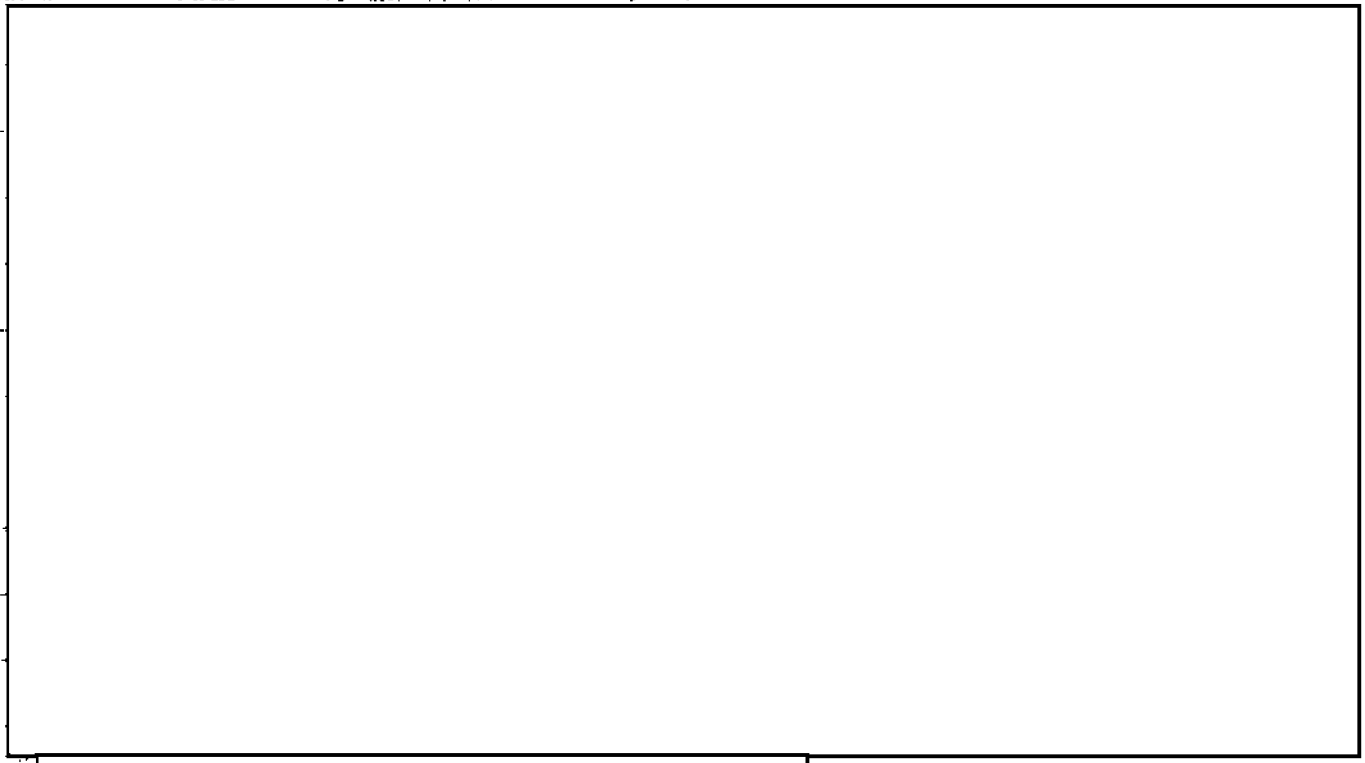

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NY-278417-Sub 302 1A 28

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FD-340 (7-19-00)

Universal Case File Number 58A-NY-278417-Sub 302-1A29

Field Office Acquiring Evidence SAN DIEGO

Serial # of Originating Document

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]

By SA [redacted]

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To Be Returned ☐ Yes ☒ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No (see Serial 139)

Federal Taxpayer Information (FTI)
☐ Yes ☒ No

Title: Unsub(s); William J. Clinton - Former U.S. President; [redacted] [redacted] Marc Rich - Fugitive (B), ETAL; CFPO - Executive Branch

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Reference: FD-302, dated 06/07/01 00: NY
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

[redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A29

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FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-Sub 302-1A30

Field Office Acquiring Evidence WF

Serial # of Originating Document

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

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b7C
b7D

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
ET AL
CFPO - EXECUTIVE BRANCH;
OO: NY

(see Serial 144)

b6
b7C

Reference:
(Communication Enclosing Material)

Description: ☑ Original notes re interview of
[redacted] AND GRAND JURY
SUBPOENA ADDRESSED TO [redacted]

b3
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58A NY 278417-10

JUN 2 2001

FBI — NEW YORK

United States District Court

SOUTHERN DISTRICT OF NEW YORK

TO:

[redacted] b3 b6 b7C

DO NOT REMOVE FROM EXHIBIT
NY-278417- Sub. 302 1A30

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, Foley Square (40 Centre Street), Room 209, in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date and time:

Appearance Date: [redacted] Appearance Time: [redacted] b3

to testify and give evidence in regard to an alleged violation of:

18 U.S.C. §§ 201, 371, 1001 and 1505
2 U.S.C. §§ 437g(d), 441e, 441f

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
May 30, 2001

MARY JO WHITE
United States Attorney for the
Southern District of New York

[redacted]

[redacted] b6 b7C
Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007

Telephone: [redacted]

[redacted]

DOB: [redacted]

SSAN:

[redacted]

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b7C
b7D

[redacted]

[redacted]

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b7C
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[redacted]

FD-340b (Rev. 2-5-93)

1A31
Sub 302-

Universal Case File Number 58A-NY-278417-

Field Office Acquiring Evidence NEW YORK

Serial # of Originating Document _____

Date Received 6/20/2001

From FBI
(Name of Contributor)
26 FEDERAL PLAZA
(Address of Contributor)
NEW YORK NY

By _____
(Name of Special Agent)

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title: UNSUB(S); WILLIAM J CLINTON - FORMER U.S. PRESIDENT; _____ (see Serial 146) MARC RICH - FUGITIVE(S); ETAL; CFPO - EXECUTIVE BRANCH; SDNY

b6
b7C

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes ☐ interview of _____ SSAN _____

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[redacted]

6/20/2001 (1)

[redacted]

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[redacted]

[redacted]

DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A146

[redacted]

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[redacted]

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-Sub 302-1A32

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received 06/20/2001

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By SA [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title: UNSUBS:
WJC - Former U.S. Pres.
MARC RICH; ETAL;
CFPO - Exec. Br.
OO: NY
(See Serial 47)

Reference: (Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] on 06/20/01

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b7D

DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A 32

06/20/01

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b7C
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FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-Sub 302-1A33

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received 07/16/01

From [redacted] b6 b7C b7D
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

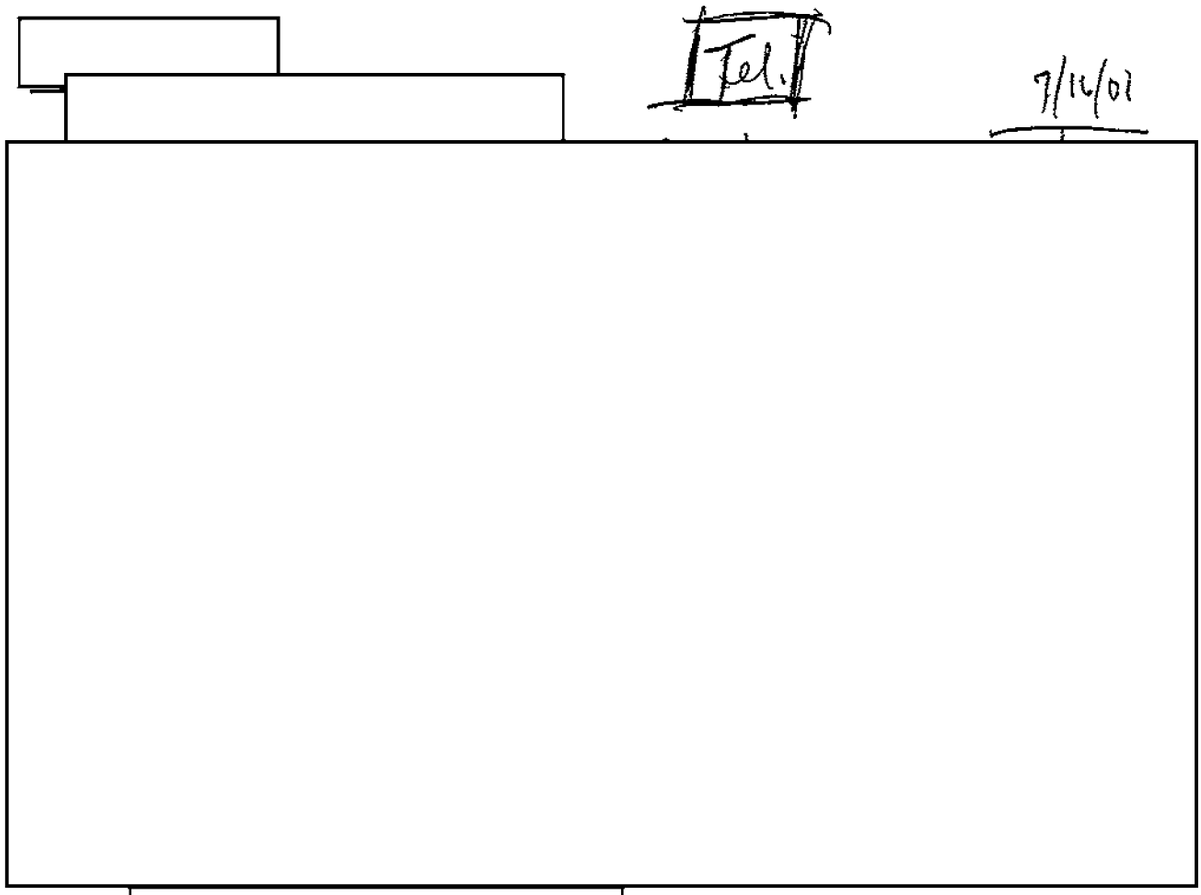
☐ Yes ☑ No

Title: UNSUBS;
MARC RICH PARDON INV.
CFPD - EXEL. BR.
00: NY

(see Serial 148)

Reference:
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] b6 b7C b7D (tel) on 07/16/01



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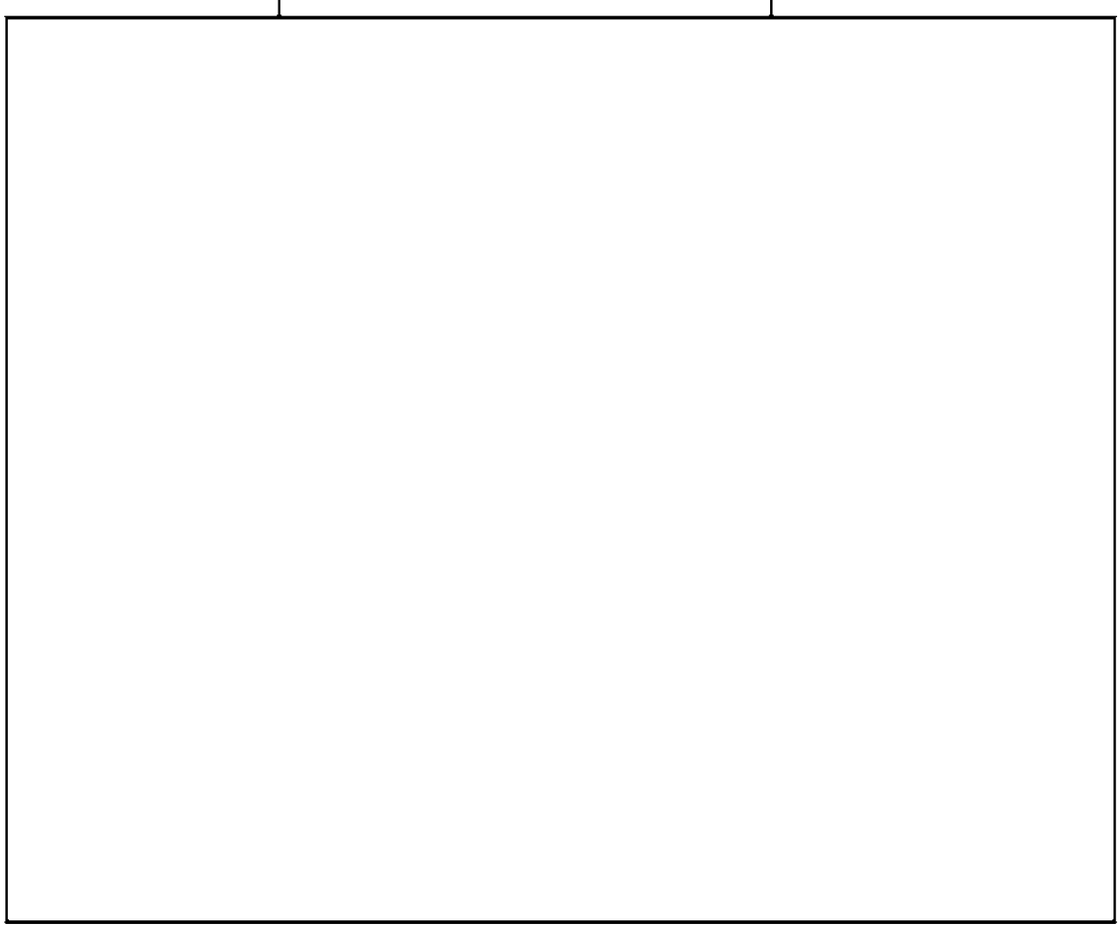

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A33

FD-340 (Rev. 8-18-89)

Universal File Case Number 58A-NY-278417-302 sub

Field Office Acquiring Evidence LA 1A34

Serial # of Originating Document

Date Received 7/11/01

From [redacted]
(Name of Contributor)

(Address of Contributor)

[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☒ No (see serial 150)

Title: William J. Clinton
Marc Rich et al
CFPO-Executive Branch

58A NY 278417-1

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
JUL 19 2001
FBI - NEW YORK

Reference: ____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

302 [redacted]

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b7C
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address: [redacted]

[redacted] 1/3

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1. DOB - [redacted]

[redacted]

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[redacted]

DO NOT REMOVE FROM EXHIBIT

NY-278417- Sub 302 1A 34

FD-340b (Rev. 2-5-93)

1A 35

Universal Case File Number 58A-NY-278417-38

Field Office Acquiring Evidence New York

Serial # of Originating Document

Date Received 7/10/01

From FBI
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York, NY 10278

By [redacted]
(Name of Special Agent)

b6
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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

Title: UNSUB(S); WILLIAM J. CLINTON, FORMER U.S. PRESIDENT; [redacted]

b6
b7C

MARC RICH - FUGITIVE(S); ETAL;
CFPO - EXECUTIVE BRANCH;

Reference: 00 NY
(Communication Enclosing Material)

Description: ☒ Original notes of interview of [redacted]

b6
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Released 151

[redacted]

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NY 278417-sub1A 35
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FD-340b (Rev. 2-6-93)

1A 36

Universal Case File Number 58A-NY-278417-302

Field Office Acquiring Evidence New York

Serial # of Originating Document _____

Date Received 7/10/01

From FBI
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York N.Y. 10278

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

Title UNSUB(S), William J. Clinton - Former U.S. President; [redacted]

b6
b7C

MARC RICH - Fugitive (S) ; ET AL;
CFPO - Executive Branch;

Reference: 00:NY
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

b6
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see serial 152

PLEASE DO NOT REMOVE
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NY 58A 278417-3021A 36

7/10/01

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[redacted]

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1437

Universal Case File Number 58A-NY-278417-32

Field Office Acquiring Evidence New York

Serial # of Originating Document _____

Date Received 7/10/01

From FBI
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
NY NY 10278

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT; [redacted]

b6
b7C

Reference: MARC RICH - FUGITIVE (B); ET AL; CF 90 - EXECUTIVE BRANCH; OO: NY
(Communication Enclosing Material)

Description: ☑ Original notes of interview of [redacted]

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7/10/01

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PLEASE DO NOT REMOVE
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NY 278417-302-1A 37

58A

1A38

FD-340b (Rev. 2-5-93)

Sub 302-

Universal Case File Number 58A-NY-278417

Field Office Acquiring Evidence New York

Serial # of Originating Document _____

Date Received [redacted]

From FBI
(Name of Contributor)
26 Federal Plaza
(Address of Contributor)
New York NY 10278

By [redacted]
(Name of Special Agent)

b6
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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

Title: UNSUB(S); WILLIAM J. CLINTON, FORMER U.S. PRESIDENT; [redacted]

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MARC RICH - FUGITIVE (B), ET AL;
CFPO - EXECUTIVE BRANCH
OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes ☐ Interview of [redacted]

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See Serial 154

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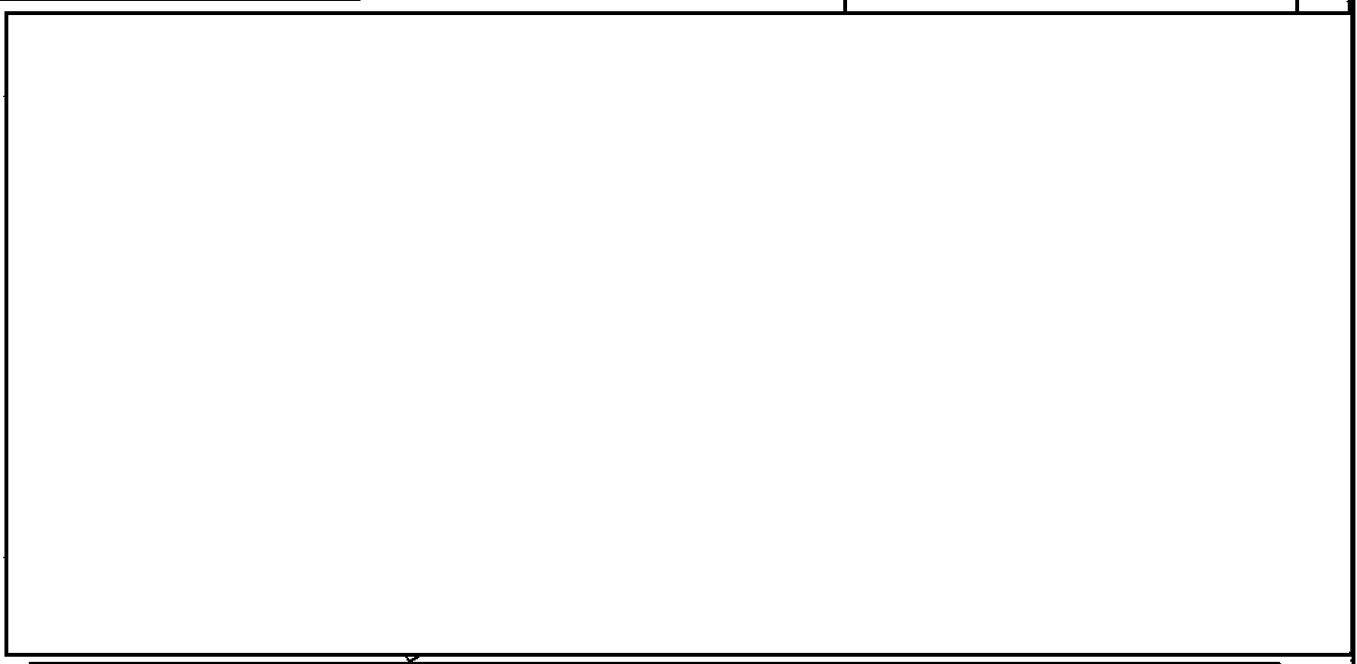
58ANY 2-78417-302 1A38



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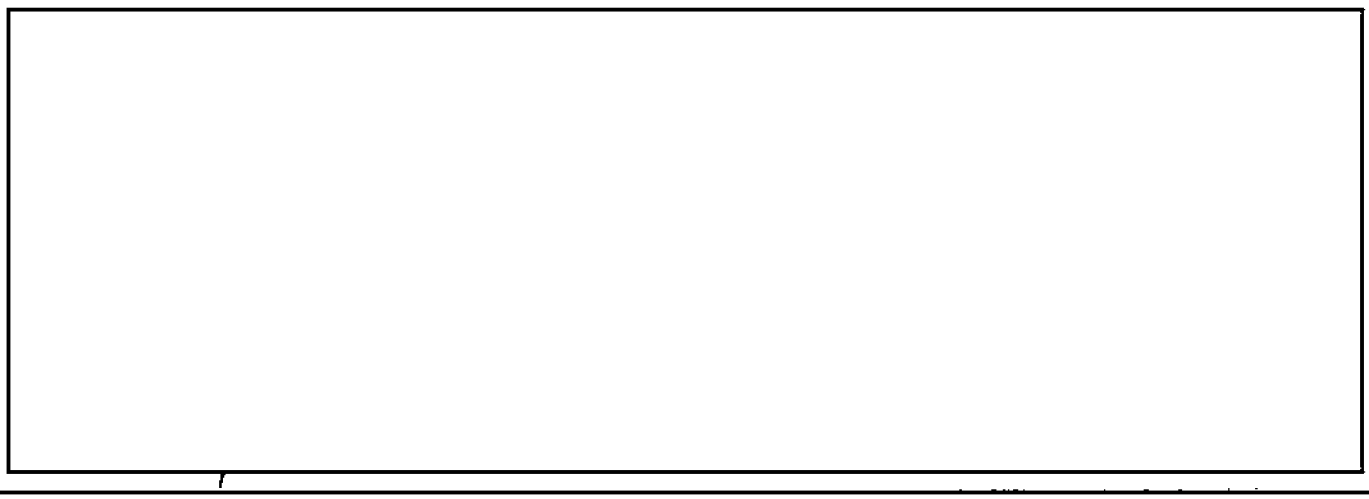

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FD-340 (Rev. 8-18-89)

Universal File Case Number 58A-NY-278417-Sub 302-1A39

Field Office Acquiring Evidence LA

Serial # of Originating Document

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

(See Serial 162)

Title: William J Clinton et al
LFPO

58A-NY-278417-1A
SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
AUG 15 2001
FBI — NEW YORK

Reference: ____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

302 [redacted]

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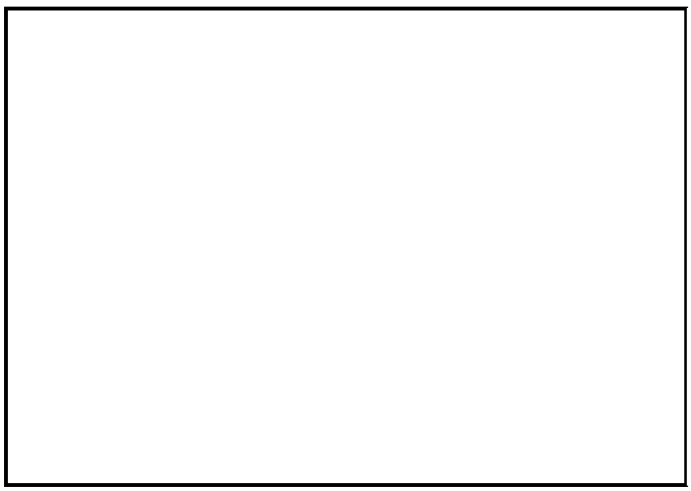

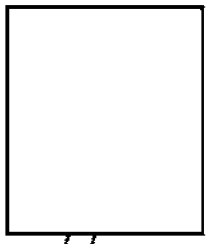

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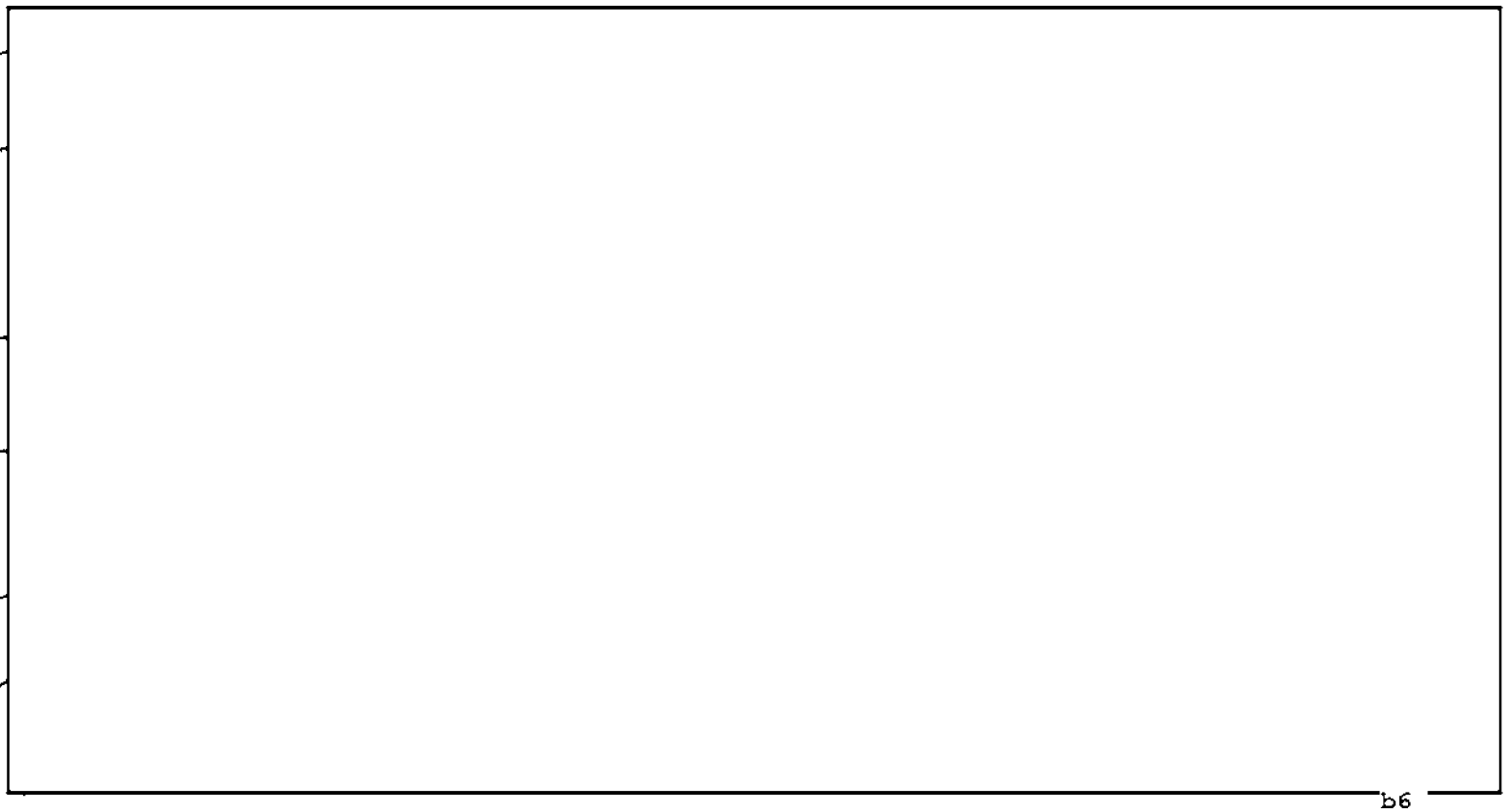
NY-278417-Sub 302 1A 39



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FD-340 (Rev 8-18-89)

Universal File Case Number 58A-NY-278417-Sub 302-1A40

Field Office Acquiring Evidence LA

Serial # of Originating Document

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]

[redacted]

By [redacted]

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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No (See Serial 163)

Title: William J. Clinton et al.
CFPO

58A-NY-278417-1A
SEARCHED INDEXED
SERIALIZED FILED
AUG 15 2001
FBI — NEW YORK

Reference:
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

302 [redacted]

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SSAN [redacted]

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NY-278417-Sub 302 1A 40

FD-340 (7-19-00)

Universal Case File Number: 58A-NY-278417-Sub302-1A41

Field Office Acquiring Evidence WFO

Serial # of Originating Document _____

Date Received AUGUST 21, 2001

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
ET AL

(See Serial 1194)

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted]

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DOB:
ESN:
Residence:

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FD-340b (Rev. 2-5-98)

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Sub 3

Universal Case File Number 58A-NY-278417

Field Office Acquiring Evidence New York

Serial # of Originating Document _____

Date Received 09/05/01

From _____
(Name of Contributor)

_____
(Address of Contributor)

By _____
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title: UNSUBS;
WILLIAM J. CLINTON;
ET. AL.
CFPO - EXEC:
OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

- _____ on 09/05
- _____
- Copy of _____

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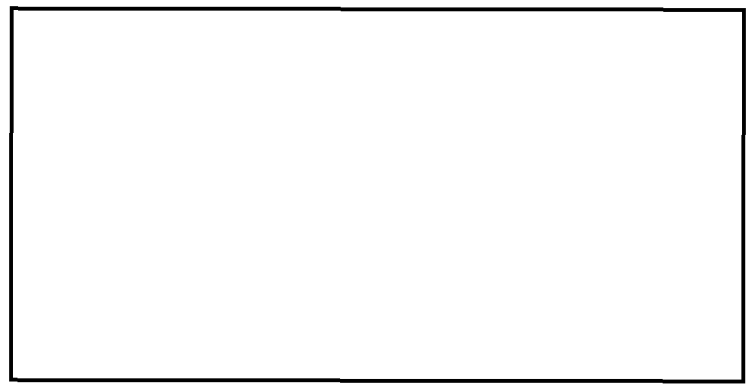
See Serial 1887



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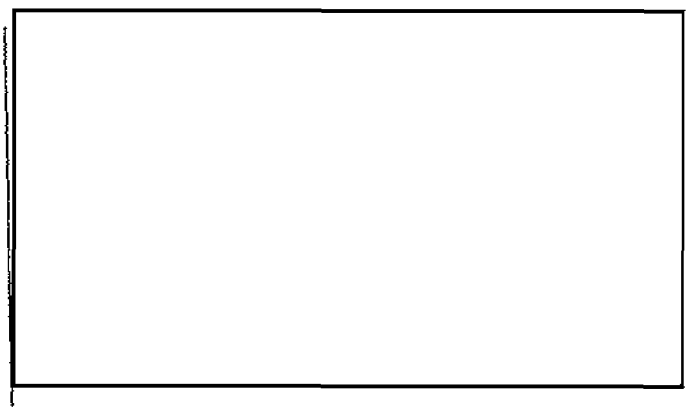

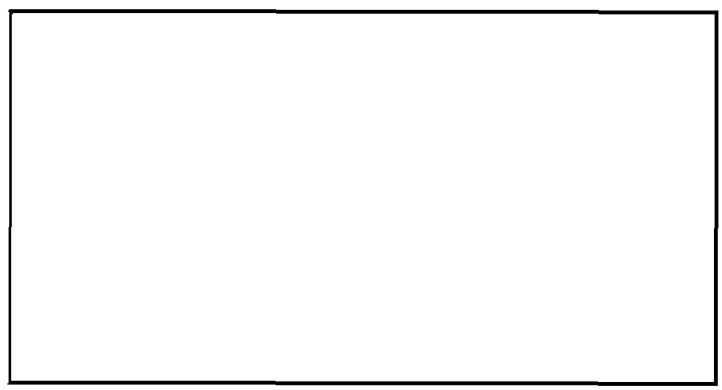

09/05/01

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A43

Field Office Acquiring Evidence New York

Serial # of Originating Document 194

Date Received 12/7/01

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

b6
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By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: PARDON INV.
MARC RICH, ET AL.
OO:NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 12/07/01

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(1)

9:45 am 12/07/01

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NY-278417-Sub 302 1A43

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Page 9 ~ b3; b6; b7C; b7D;
Page 14 ~ b6; b7C; b7D;
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Page 17 ~ b6; b7C; b7D;

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FD-340a (Rev. 11-12-89)

(Title) "Clinton Pardons"

(File No.) 58A-NY-278417-Sub 302-1ASupp

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Item	Date Filed	To be returned Yes	No		Disposition
1A44	1/7/02		/	Orig. notes Re: [redacted] 12/01	(See Serial 199) yg
1A45	1/7/02		/	Orig. notes Re: [redacted]	(See Serial 200) yg
1A46	1/7/02		/	Orig. notes Re: [redacted]	(See Serial 201) yg
1A47	1/8/02		/	Orig. notes Re: [redacted] 12/12/01	(See Serial 202) yg
1A48	1/15/02		/	Orig. notes Re: [redacted] 1/7/02	(See Serial 206) yg
1A49	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 209) yg
1A50	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 210) yg
1A51	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 211) yg
1A52	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 212) yg
1A53	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 213) yg
1A54	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 214) yg
1A55	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 215) yg
1A56	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 216) yg
1A57	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 217) yg
1A58	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 218) yg
1A59	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 219) yg
1A60	1/18/02		/	Orig. notes Re: [redacted]	(See Serial 220) yg

Do Not Add Anymore 1A Exhibits See Next Volume

58A-NY-278417-Sub 302-1ASupps

SEARCHED ____ INDEXED ____
SERIALIZED yg FILED yg

JAN 07 2002

FBI — NEW YORK

yg

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417- Sub 300- 1A44

Field Office Acquiring Evidence New York

Serial # of Originating Document 199

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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SSN: [redacted]

DOB: [redacted]

[redacted]

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NY-278417- Seal 302 1A44

[redacted]

Tel. - [redacted] (W)
(H)
(Cell)

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A45

Field Office Acquiring Evidence New York

Serial # of Originating Document 200

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

b3
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By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: RICH PARDON INVESTIGATION

Reference
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A45

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A46

Field Office Acquiring Evidence New York

Serial # of Originating Document 201

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

b6
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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: RICH PARDON INVESTIGATION

Reference
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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NY-278417-Sub 302 : 1A 46

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FD-340a (Rev. 11-12-89)

(Title) "Pardons"

(File No.) 58A-NY-278417-Sub 302-1A Supps

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Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A61	1/18/02		/	orig. notes re:	(See Serial 221) 4g
1A62	1/18/02		/	orig. notes re:	(See Serial 222) 4g
1A63	1/18/02		/	orig. notes re:	(See Serial 223) 4g
1A64	1/18/02		/	orig. notes re	(See Serial 224) 4g
1A65	1/18/02		/	orig. notes re:	(See Serial 225) 4g
1A66	1/18/02		/	orig. notes re	(See Serial 226) 4g
1A67	1/18/02		/	orig. notes re:	(See Serial 227) 4g
1A68	1/18/02		/	orig. notes re:	(See Serial 228) 4g
1A69	1/25/02		/	orig. notes re:	(See Serial 233) 4g
1A70	9/1/02		/	orig. notes re:	(See Serial 242) 4g
1A71	4/10/02		/	orig. notes re:	(See Serial 241) 4g
1A72	5/22/02		/	orig. notes re:	4/2/02 (See Serial 251) 4g
1A73	5/22/02		/	orig. notes re:	4/29/02 (See Serial 252) 4g
1A74	5/22/02		/	orig. notes re:	5/16/02 (See Serial 253) 4g
1A75	5/31/02		/	orig. notes re:	5/30/02 (See Serial 254) 4g
1A76	5/31/02		/	orig. notes re:	5/29/02 (See Serial 255) 4g
1A77	6/19/02		/	orig. notes re:	6/02 (See Serial 258) 4g

Do not add anymore 1A's
Exhibits See Next Vol.

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SEARCHED 4g INDEXED
SERIALIZED 4g FILED 4g

JAN 18 2002

FBI — NEW YORK

4g

-340a (Rev. 11-12-89)

Item	Date Filed	To be Returned Yes

FD-340b (Rev. 2-5-93)

1A61

Universal Case File Number 58A-NY-278417-Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 221

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure
☑ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [redacted] MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH; OO: NY

Reference: ____________
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

Disposition

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b7C

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FD-340b (Rev. 2-5-93)

1A62

Universal Case File Number 58A-NY-278417-Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 222

Date Received

From

By SA (Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR U.S. PRESIDENT; MARC RICH - FUGITIVE (B); ET AL; (FPO - EXECUTIVE BRANCH); OO: NY

Reference: (Communication Enclosing Material)

Description: ☒ Original notes re interview of

Date Filed	To be return Yes	No

Disposition

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FD-340b (Rev. 2-5-93)

1A63

Universal Case File Number 58A-NY-278417-Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 223

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH)

Reference: NY
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

Item	Date Filed	To be returned Yes	No	Disposition

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FD-340b (Rev. 2-5-93)

1A64

Universal Case File Number 58A-NY-278478b 302

Field Office Acquiring Evidence NY0

Serial # of Originating Document 224

Date Received

From

By

(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR U.S. PRESIDENT; MARC RICH - FUGITIVE (S); ET AL; CFO - EXECUTIVE BRANCH; OO: NY

Reference:

(Communication Enclosing Material)

Description: ☑ Original notes re interview of

Date Filed	To be retu Yes	Disposition

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FD-340b (Rev. 2-5-93)

1A65

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 225

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FAR US PRESIDENT; [redacted]; MARC RICH - FUGITIVE (B); ET AL; CFFO EXECUTIVE BRANCH; OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A66

Universal Case File Number 58A-NY-278419-Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 226

Item	Date Filed	To be ret Yes

Date Received [redacted]

From [redacted]
(Contributor)
[redacted]
(Contributor)

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); [redacted] CLINTON - FMR US PRESIDENT; [redacted]; MARC RICH - FUGITIVE (DECEASED); CFPO - EXECUTIVE BRANCH; OO: NY

Reference: [redacted]
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

Disposition
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b7C
b7D

FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A67

Universal Case File Number SBA-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 207

Date Received [ ]

From [ ]
(Name of Contributor)

[ ]

By SA [ ]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [ ]

MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH)

Reference: NY
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [ ]

Item	Date Filed	To be retu Yes	No

Disposition

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DO NOT REMOVE FROM EXHIBIT

NY-27841-Sub 302 1A67

FD-340b (Rev. 2-5-98)

1A68

Universal Case File Number ~~SSA-NY-278417 Sub~~ 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 228

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☒ ~~No~~

Receipt Given ☐ Yes ☒ ~~No~~

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ ~~Yes~~ ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT [redacted] MARC RICH - FUGITIVE(S); ET AL; CFPO - EXECUTIVE BRANCH;

b6
b7C

Reference: 00: NY
(Communication Enclosing Material)

Description: ☒ ~~Original~~ notes re interview of [redacted]

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-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A69

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 233

Date Received 6/5/01

From [redacted]
(Name of Contributor)

[redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON-FMR US PRESIDENT, [redacted] MARC RICH - FUGITIVE (B), ET AL, CFPO-EXECUTIVE BRANCH

Reference: NY
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

em	Date Filed	To be retu Yes	N	Disposition

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FD-340 (7-19-00)

Universal Case File Number 58A-NY-278417 Sub-1A90 -302-

Field Office Acquiring Evidence NY

Serial # of Originating Document 242

Date Received 03/27/02

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By _____ b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of _____ b5 b6 b7C b7D on 3/27/02

FD-340 (7-19-00)

1A71

Universal Case File Number 58A-NY-278417-302-

Field Office Acquiring Evidence NY

Serial # of Originating Document 241

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

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b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON
CSFO - EXEC
O: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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FD-340 (7-19-00)

1A72

Universal Case File Number 58A-NY-278417-302

Field Office Acquiring Evidence New York

Serial # of Originating Document 251

Date Received 04/02/02

From [redacted]
(Name of Contributor)

(Address of Contributor)

[redacted]
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION ET AL; CFPO-EXEL. BR. 00:NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 04/02/02

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FD-340 (7-19-00)

1A73

Universal Case File Number 58A-NY-278417-Sub302

Field Office Acquiring Evidence New York

Serial # of Originating Document 252

Date Received 04/29/02

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: PARDON INVESTIGATION

Reference:
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 04/29/02

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FD-340 (7-19-00)

1474

Universal Case File Number 58A-NY-278417-Sub 302

Field Office Acquiring Evidence NY

Serial # of Originating Document 253

Date Received 05/16/02

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: [redacted]
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] on 05/16/02

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FD-340 (7-19-00)

Universal Case File Number 58A-NY-278417-Sub 302 1A75

Field Office Acquiring Evidence NY

Serial # of Originating Document 254

Date Received

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☒ No

Federal Taxpayer Information (FTI)
☐ Yes ☒ No

Title: [redacted], et al
CFPO - Exec Branch
only

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Reference:
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

58A-NY-278417

5/30/02

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SERIALIZED ____ FILED ____
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FBI — NEW YORK

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Universal Case File Number 58A-NY-278417-Sub 302

Field Office Acquiring Evidence New York

Serial # of Originating Document 255

Date Received 05/29/02

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 05/29/02 (Tel.)

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FD-340 (7-19-00)

1A77

Universal Case File Number 58A-NY-278417-302-

Field Office Acquiring Evidence New York

Serial # of Originating Document 258

Date Received 06/14/02

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: "MARC RICH PARDON INVESTIGATION"
OO:NY

Reference: [redacted]
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] on 06/14/02

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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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FOI/PA# 1256617-0

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FD-340a (Rev. 11-12-89)

(Title) _____

(File No.) 58A-NY-278417-SUB 302-1A Supp

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A78	8/22/02		/	orig. notes re: 8/11/02 + 8/13/02 (TED)	on (See Serial 264)
1A79	9/3/02		/	orig. notes re: Copies of Drawings made by	on 8/8/02 (See Serial 273)
1A80	9/3/02		/	orig. notes re:	on 8/8/02 (See Serial 274)
1A81	9/5/02		/	orig. notes re:	(See Serial 275)
1A82	9/5/02		/	orig. notes re:	(See Serial 276)
1A83	9/5/02		/	orig. notes re:	(See Serial 277)
1A84	10/16/02		/	orig. notes re:	(See Serial 279)
1A85	12/24/02		/	orig. notes re:	1/02 (See Ser 281)
1A86	3/5/03		/	orig. notes re:	2/27/03 (See Ser 283)
1A87	5/27/03		/	orig. notes re:	5/17/03 (See Ser 294)
1A88	6/13/03		/	orig. notes re:	2/27/02 (See Ser 298)
1A89	6/17/03		/	orig. notes re: ORIGINAL FD- Sub-poena	(See Ser 299)
1A90	6/17/03		/	orig. notes re:	4/8/02 (See Ser 300)
1A91	6/17/03		/	orig. notes re:	8/28/02 (See Ser 301)
1A92	6/28/03		/	orig. notes re	on 6/5/03 (See Ser 303)
1A93	7/16/03		/	orig. notes re:	7/10/03 (See Ser 305)
1A94	7/23/03		/	orig. notes re:	7/18/03 (See Ser 306)

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DO NOT redal anymore
1A Exhibits See Vol-6

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ARCHED SERIALIZED — INDEXED FILED

AUG 2 2002

FBI—NEW YORK

FD-340 (7-19-00)

1A78

Universal Case File Number 58A-NY-278417-Sub 302-

Field Office Acquiring Evidence New York

Serial # of Originating Document 264

Date Received 08/13/02

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION
CFPO - EXEL.
OO: NY

Reference:
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 08/01/02 & 08/13/02 (Tel)

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FD-340 (7-19-00)

Universal Case File Number 58A-NY-278417-SUB 1A79 302-

Field Office Acquiring Evidence NY

Serial # of Originating Document _____

Date Received 08/08/02

From _____ [redacted]
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By _____ [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INV.
CFPO. EXEC.
DO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

(1) [redacted] ON 08/08/02

(2) COPIES OF [redacted] MADE BY [redacted]

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FD-340 (7-19-00)

Universal Case File Number 58A-NY-278417-Sub 1A80-302

Field Office Acquiring Evidence NY

Serial # of Originating Document 274

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

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b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INV.
OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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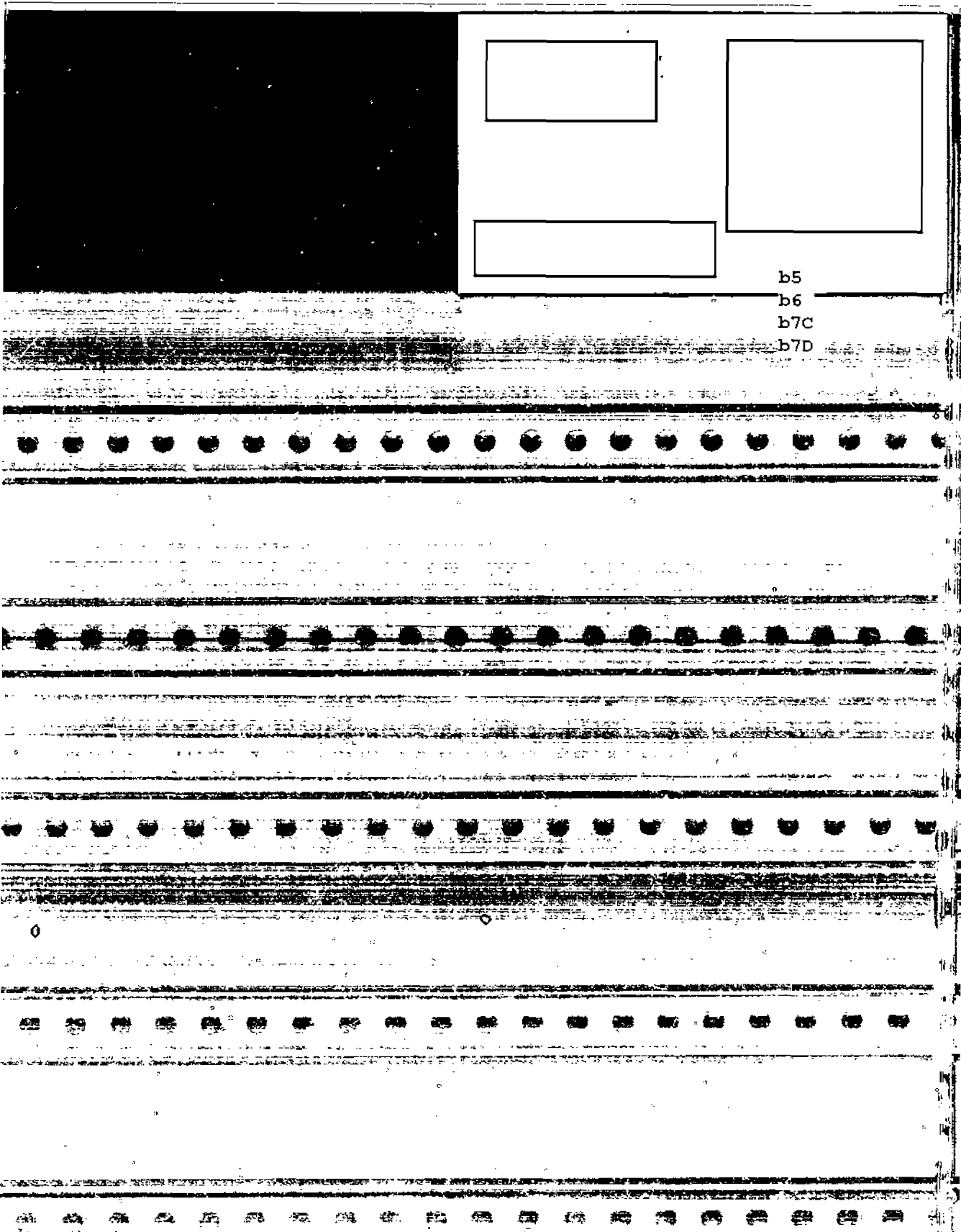
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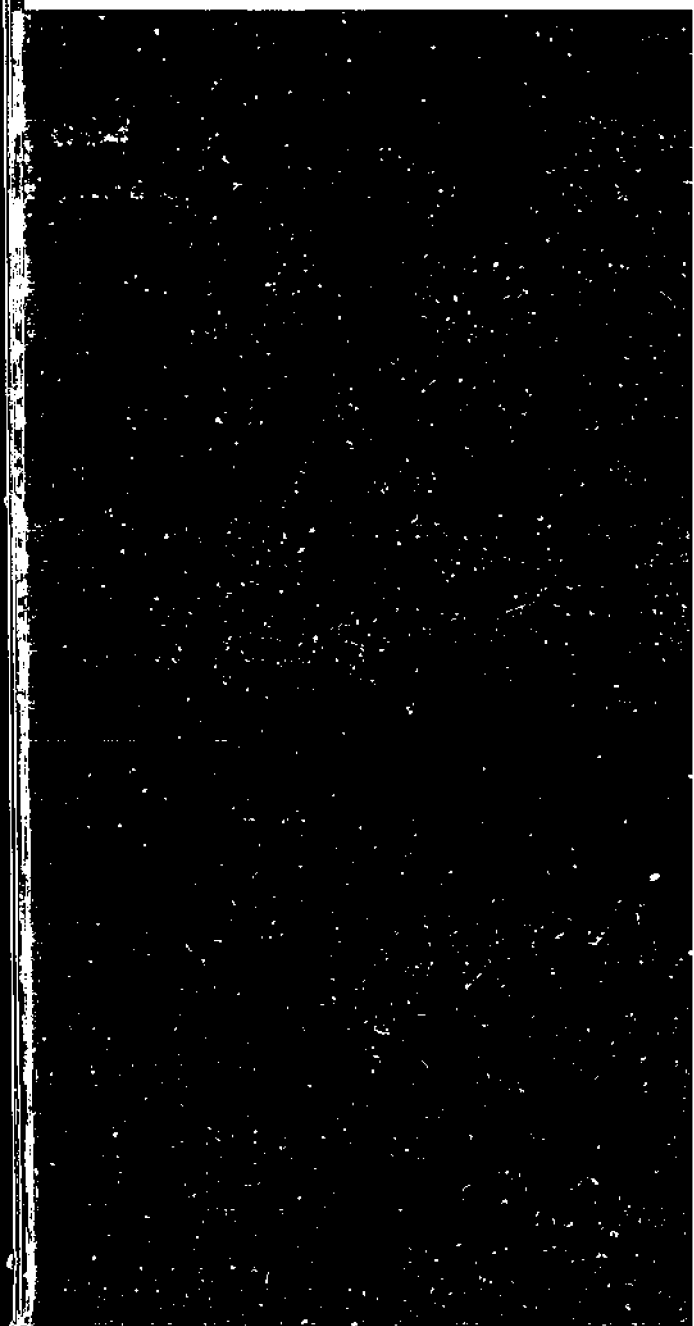

FD-340 (Rev. 3-8-01) 1A81

Universal Case File Number 58A-NY-278417-SUB 302

Field Office Acquiring Evidence LOS ANGELES

Serial # of Originating Document 275

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

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b7C

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title:

Reference _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted]

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b5

DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A81

PROPOSED INTERVIEW QUESTIONS

Please serve subpoena for records.

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A82

Field Office Acquiring Evidence Los Angeles

Serial # of Originating Document 276

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A82

[redacted]

Please serve subpoena for records.

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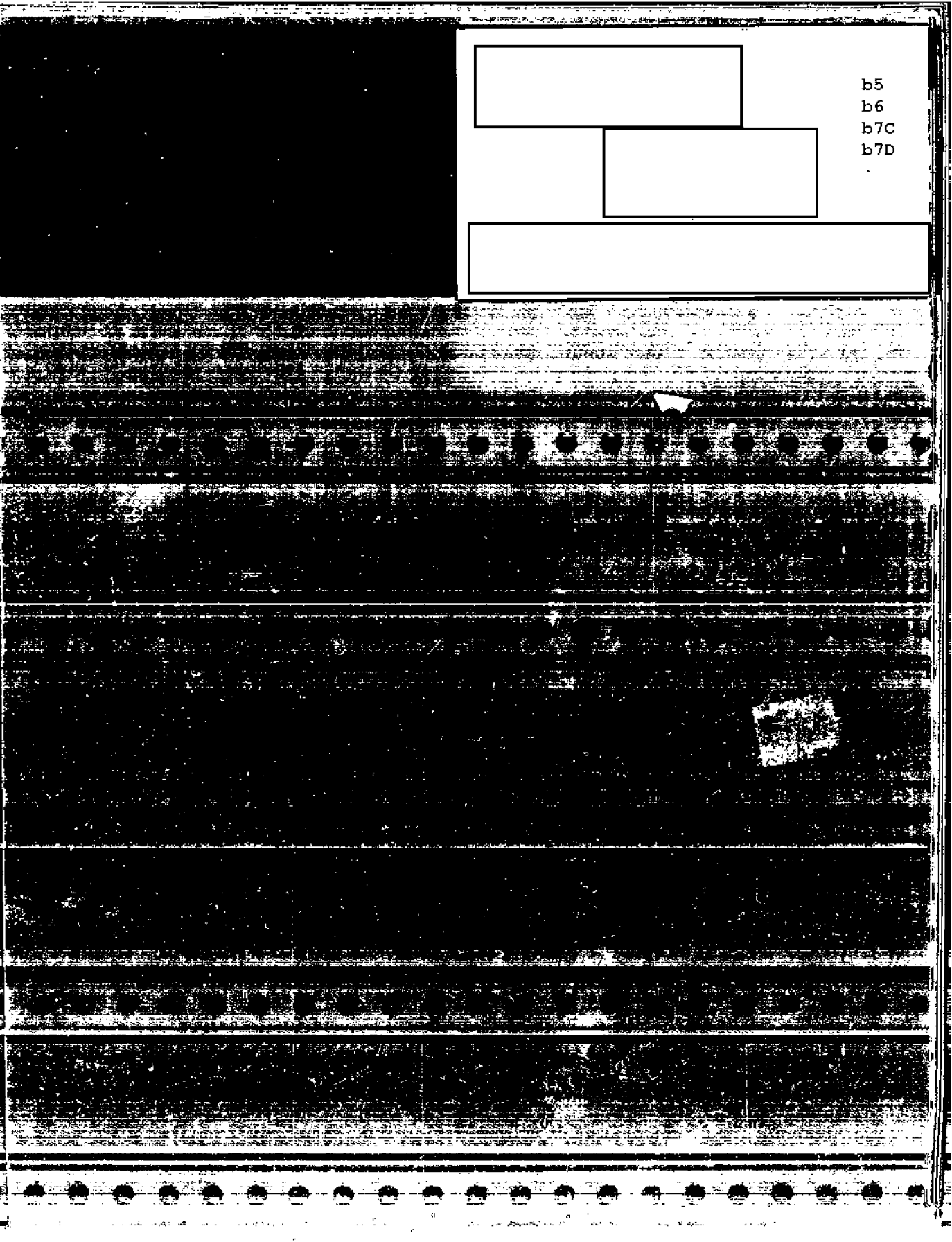
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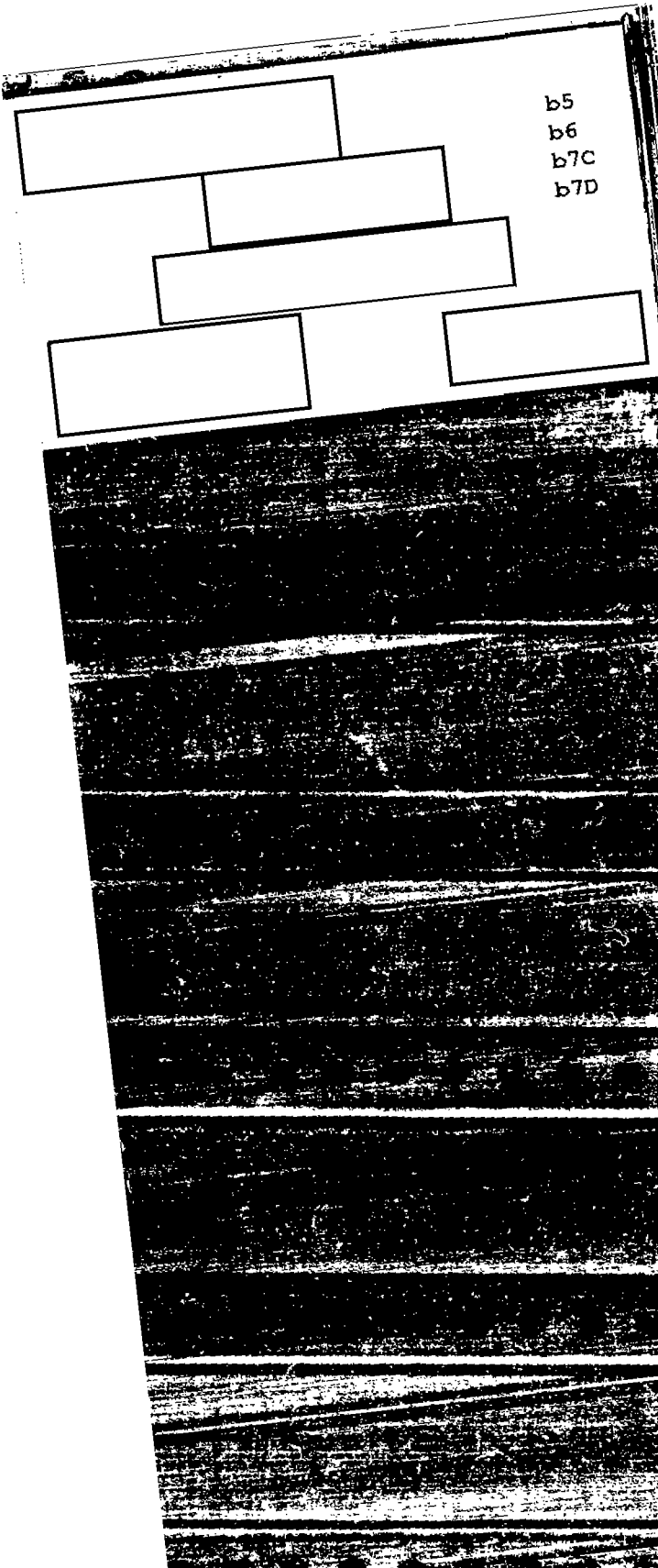
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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A83

Field Office Acquiring Evidence LOS ANGELES

Serial # of Originating Document 277

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted]

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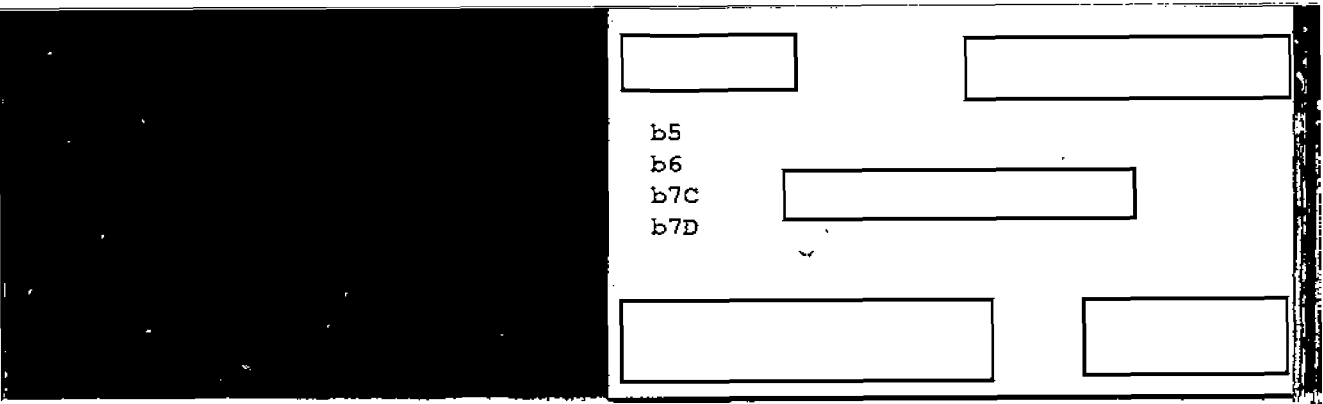
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Please serve subpoena for records.

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A84

Field Office Acquiring Evidence Los Angeles

Serial # of Originating Document ~~307~~ 279

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A84

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FD-340 (7-19-00)

SENSITIVE

Universal Case File Number 58A-NY-278417-Sub 302- 1A85

Field Office Acquiring Evidence New York

Serial # of Originating Document 281

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION
CFPO - EXEC.
OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

b5
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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302 - 1A86

Field Office Acquiring Evidence New York

Serial # of Originating Document 283

Date Received 2/27/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 2/27/03

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302 - 1A87

Field Office Acquiring Evidence New York

Serial # of Originating Document 294

Date Received 5/17/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By SA [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 5/17/03

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FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278477-SUB 1A88 302-

Field Office Acquiring Evidence LA

Serial # of Originating Document 298

Date Received [redacted]

From [redacted]
(Contributor)
[redacted]
(Contributor)
[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: UNSUB(S)
William J Clinton
Marc Rich
CFPO - Executive Branch
OO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of
[redacted]

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DO NOT REMOVE FROM EXHIBIT
NY-278417- Sub 308 1A 88

PROPOSED INTERVIEW QUESTIONS

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[redacted]

Please serve Federal Grand Jury subpoena enclosing copies of business cards of SA [redacted] and SA [redacted] New York Office.

Questions concerning the above may be directed to SA [redacted] (office), [redacted] (pager), or SA [redacted] (office), [redacted]

[redacted]

[redacted]

FD-340 (Rev. 8-7-97)

Sub. 1A89

Universal Case File Number 58A-NY-278417-302

Field Office Acquiring Evidence LA

Serial # of Originating Document 299

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☒ Yes ☐ No

Title: Unsub(s)
President William J Clinton
[redacted] et al
CFPO

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Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of
[redacted]

Original FGJ Subpoena

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DO NOT REMOVE FROM EXHIBIT
NY-278417-Sub 308 IA89

FD-340 (Rev. 8-7-97)

Universal Case File Number 58A-NY-278417-SUB 1A90-302-

Field Office Acquiring Evidence ~~LA~~ LA

Serial # of Originating Document 300

Date Received [redacted]

From [redacted]
(Contributor)

[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: Unsub(s)
William J. Clinton
Marc Rich et al;
LFPO
DOJ NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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DO NOT REMOVE FROM EXHIBIT
NY-278417 - Sub 328 1A90

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A91

Field Office Acquiring Evidence LA

Serial # of Originating Document 301

Date Received [redacted]

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title: UNSUBCS) William J Clinton - Former US President

[redacted]

Reference

(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-208417-Sub 302-1A92

Field Office Acquiring Evidence New York

Serial # of Originating Document 303

Date Received [redacted]

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417 - Sub 308 1A93

Field Office Acquiring Evidence NY

Serial # of Originating Document 305

Date Received 7/10/03

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 7/10/03

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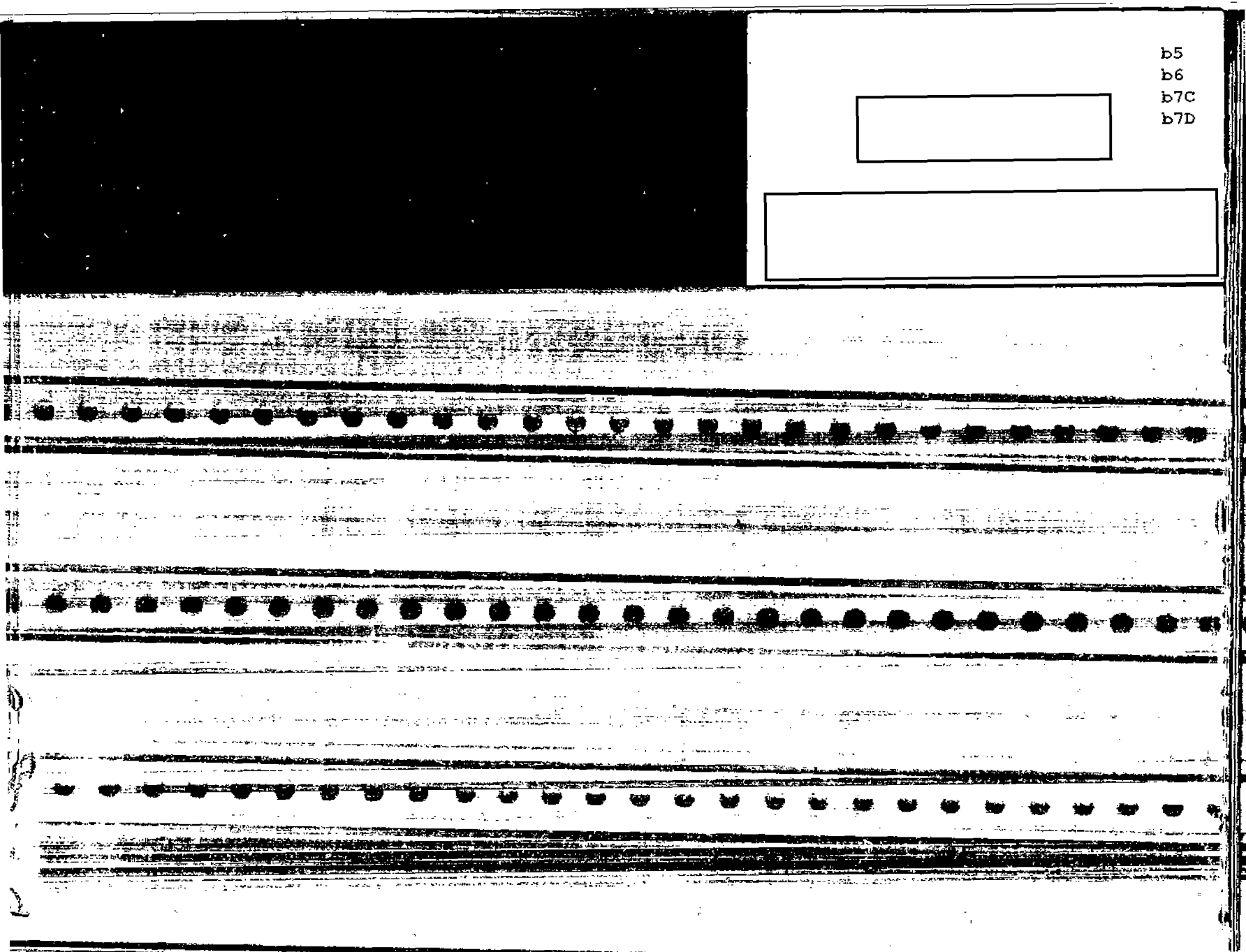
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NY-278417-Sub 302 IA 93

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A94

Field Office Acquiring Evidence NewYork

Serial # of Originating Document 306

Date Received 7/18/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 7/18/03

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DO NOT REMOVE FROM EXHIBIT
NY-278417-Subs 302 1A94

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

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Page 6 ~ b5; b6; b7C; b7D;
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Page 10 ~ b5; b6; b7C; b7D;
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Page 102 ~ Referral/Consult;
Page 104 ~ b5; b6; b7C; b7D;
Page 105 ~ b5; b6; b7C; b7D;
Page 106 ~ b5; b6; b7C; b7D;

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-SUB 308-1A95

Field Office Acquiring Evidence NEW YORK

Serial # of Originating Document 309

Date Received 8/19/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☑ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICA PARDON INVESTIGATION
ET AL.
CFPD EXECUTIVE

Reference _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 8/19/03

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DO NOT REMOVE FROM EXHIBIT

NY-278417-SUB 302 1A95

FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417 - SUB 302 - 1A96

Field Office Acquiring Evidence New York

Serial # of Originating Document 310

Date Received 8/27-28/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6-(e)
Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARCH PARDON INVESTIGATION

Reference _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] on 8/27-28/03

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DO NOT REMOVE FROM EXHIBIT

NY-278417- Sub 302 1A96

[redacted]

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-SUB 302- 1A97

Field Office Acquiring Evidence NewYork

Serial # of Originating Document 311

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]

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b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6.(e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference [redacted]
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 1A97

[redacted]

b5
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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-SUB 308 - 1498

Field Office Acquiring Evidence New York

Serial # of Originating Document 312

Date Received 9/3/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 9/3/03 b5 b6 b7C b7D

DO NOT REMOVE FROM EXHIBIT

NY-278417-Sub 302 IA98

FD-340 (Rev. 3-8-01)

1A99

Universal Case File Number 58A-NY-278417-302

Field Office Acquiring Evidence NY

Serial # of Originating Document 8/12/03 (313)

Date Received ↓

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By _____

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 8/12/03 (Tel)

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302- 1A100

Field Office Acquiring Evidence New York

Serial # of Originating Document 314

Date Received 9/10/03

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] (Tel) on 9/10/03 b5 b6 b7C b7D

FD-340 (Rev. 4-2-85)

1A101

Field File No. 58A-NY-278417-SUB-308

Serial # of Originating Document 315

OO and File No.

Date Received 8/28/03

From
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☑ No Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

Title:
MARC RICH PARDON
ET AL

Reference:
(Communication Enclosing Material)

Description: ☐ Original notes re interview of [redacted]

COPY OF [redacted] Received

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FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417 - SUB 302 - 1A102

Field Office Acquiring Evidence NY

Serial # of Originating Document 318

Date Received 12/10/03

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By _____ [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
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Description: ☑ Original notes re interview of [redacted] 6N 12/12/03 b5 b6 b7C b7D

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NY-278417-SUB 302 1A102

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[redacted]

FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417-SUB-308-1A103

Field Office Acquiring Evidence New York

Serial # of Originating Document 320

Date Received 3/30-31/04

From [redacted]
(Name of Contributor/Interviewee)

[redacted]
(Address)

[redacted]
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] (Protect) on 3/30-31/04

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FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417-SUB 302-1A104

Field Office Acquiring Evidence New York

Serial # of Originating Document 321

Date Received 4/2/04

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title: MARC RICH PARDON INVES.

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] (Tel) on 4/2/04 b5 b6 b7C b7D

FD-340 (Rev. 4-1-03)

File Number 58A-NY-278417-SUB 302- 1A105

Field Office Acquiring Evidence NY

Serial # of Originating Document 322

Date Received 4/6/04

From _____
(Name of Contributor/Interviewee)

(Address)

(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARCH 11 PAPERS

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] b5 b6 b7C b7D

FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417-SUB 302 - 1A106

Field Office Acquiring Evidence New York

Serial # of Originating Document 323

Date Received 4/7/04

From _____
(Name of Contributor/Interviewee)

(Address)

(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] (tel) on 4/7/04 b5 b6 b7C b7D

1A107

FD-340 (Rev. 4-11-03)

File Number ~~[illegible]~~ 58A-NY-278417-SUB 302

Field Office Acquiring Evidence New York

Serial # of Originating Document 325

Date Received 4/21/04

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 4/26/04 b5 b6 b7C b7D

FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417-302 -1A108

Field Office Acquiring Evidence

Serial # of Originating Document 326

Date Received 5/14/04

From
(Name of Contributor/Interviewee)

(Address)

(City and State)

By [redacted]

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To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title:

Reference: 302
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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FD-340 (Rev. 4-11-03) -1A109

File Number 58A-NY-278417-SUB302

Field Office Acquiring Evidence New York

Serial # of Originating Document 328

Date Received 5/28/04

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 5/28/04 (Tel). b5 b6 b6 b7C

1A111

FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417-sub 302

Field Office Acquiring Evidence WFO

Serial # of Originating Document 330

Date Received 5/21/04

From [redacted]
(Name of Contributor/Interviewee)

[redacted]
(Address)

[redacted]
(City and State)

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By SA [redacted]

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

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FD-340a (Rev. 1-27-03)

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(Title) _____

(File No.) 58A-NY-278417-SUB302

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A112	6/30/04		✓	orig notes re: [redacted]	Ser 331
1A113	7/30/04		✓	orig notes re interviews of [redacted] on 7/14/04	Ser 333
1A114	8/16/04		✓	[redacted]	Ser 334
1A115	8/17/04		✓	orig notes re interviews of [redacted]	Ser 337
1A116	8/23/04		✓	documents voluntarily received from [redacted]	Ser 335
1A117	11/9/04		✓	orig notes re: [redacted] 9/22/04	Ser 338

58A-NY-278417-SUB302

SEARCHED ✓ INDEXED ✓
SERIALIZED ✓ FILED ✓

JUN 30 2004

FBI - NEW YORK

FD-340 (Rev. 4-11-03)

File Number 58A-NY-278417-sub 302-1A10

Field Office Acquiring Evidence New York

Serial # of Originating Document 331

Date Received 06/16/04

From _____
(Name of Contributor/Interviewee)

(Address)

(City and State)

By 12/30/07 [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted] on 6/16/04 b3 b5 b6 b7C b7D

FD-340 (Rev. 4-14-03)

File Number 58A-NY-278417 - Sub 309 - FA 113

Field Office Acquiring Evidence New York

Serial # of Originating Document 333

Date Received [redacted]

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of [redacted]

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[redacted]

[redacted]

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[redacted]

FD-340b (Rev. 4-11-03)

File Number 58A-NY-278417 SUB 302 1A114

Field Office Acquiring Evidence NY

Serial # of Originating Document 334

Date Received 7/12/200X

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Contact with [redacted]

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FD-340 (7-19-00)

Universal Case File Number 591-WF-278417-69 sub 302 1A-15

Field Office Acquiring Evidence WFO

Serial # of Originating Document 337

Date Received 7/23/14

From Interview
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title:

Reference:
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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FD-340b (Rev. 4-11-03) 1P116

File Number 58A-NY-278417-Sub 307

Field Office Acquiring Evidence New York

Serial # of Originating Document 335

Date Received 8/16/04

From _____
(Name of Contributor/Interviewee)

_____
(Address)

_____
(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

DOCUMENTS VOLUNTARILY RECEIVED FROM [redacted] b3 b6 b7C b7D

FD-340b (Rev. 4-11-03) 1A117

File Number 58A-NY-278417 -sub302

Field Office Acquiring Evidence New York

Serial # of Originating Document 338

Date Received [redacted]

From [redacted]
(Name of Contributor/Interviewee)

[redacted]
(Address)

[redacted]
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON

Reference: [redacted]
(Communication Enclosing Material)

Description: ☐ Original notes re interview of [redacted]

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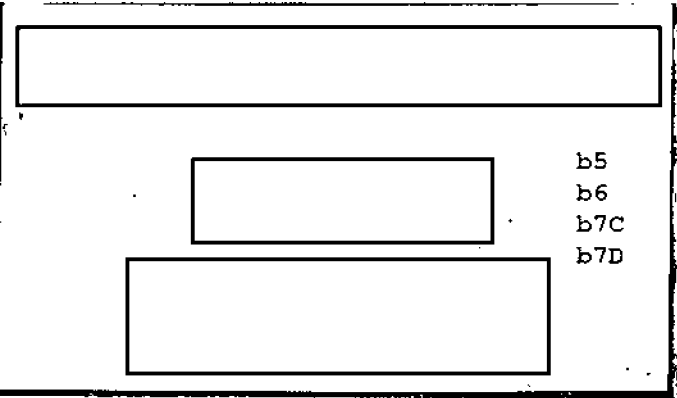

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Page 92 ~ b3; b5; b6; b7C; b7D;
Page 93 ~ b3; b5; b6; b7C; b7D;
Page 94 ~ b3; b6; b7C; b7D;
Page 95 ~ b3; b6; b7C; b7D;
Page 96 ~ b3; b6; b7C; b7D;
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Page 117 ~ b3; b5; b6; b7C; b7D;
Page 118 ~ b3; b5; b6; b7C; b7D;
Page 119 ~ b3; b5; b6; b7C; b7D;
Page 120 ~ b3; b5; b6; b7C; b7D;
Page 121 ~ b3; b5; b6; b7C; b7D;
Page 122 ~ b3; b5; b6; b7C; b7D;
Page 123 ~ b3; b5; b6; b7C; b7D;
Page 124 ~ b3; b5; b6; b7C; b7D;
Page 125 ~ b3; b5; b6; b7C; b7D;
Page 127 ~ b3; b5; b6; b7C; b7D;
Page 128 ~ b3; b5; b6; b7C; b7D;
Page 129 ~ b3; b5; b6; b7C; b7D;
Page 130 ~ b3; b5; b6; b7C; b7D;
Page 131 ~ b3; b5; b6; b7C; b7D;
Page 133 ~ b3; b5; b6; b7C; b7D;
Page 135 ~ b3; b5; b6; b7C; b7D;
Page 136 ~ b3; b5; b6; b7C; b7D;
Page 137 ~ b3; b5; b6; b7C; b7D;
Page 138 ~ b3; b5; b6; b7C; b7D;
Page 139 ~ b3; b5; b6; b7C; b7D;
Page 141 ~ b3; b5; b6; b7C; b7D;
Page 142 ~ b3; b5; b6; b7C; b7D;
Page 143 ~ b3; b5; b6; b7C; b7D;
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Page 147 ~ b3; b5; b6; b7C; b7D;
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Page 149 ~ b3; b5; b6; b7C; b7D;
Page 150 ~ b3; b5; b6; b7C; b7D;
Page 152 ~ b3; b5; b6; b7C; b7D;
Page 153 ~ b3; b5; b6; b7C; b7D;
Page 154 ~ b3; b5; b6; b7C; b7D;
Page 156 ~ b3; b5; b6; b7C; b7D;
Page 157 ~ b3; b5; b6; b7C; b7D;
Page 158 ~ b3; b5; b6; b7C; b7D;
Page 159 ~ b3; b5; b6; b7C; b7D;
Page 160 ~ b3; b5; b6; b7C; b7D;
Page 161 ~ b3; b5; b6; b7C; b7D;
Page 162 ~ b3; b5; b6; b7C; b7D;
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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417-Sub 302-1A47

Field Office Acquiring Evidence New York

Serial # of Originating Document 202

Date Received 12/12/01

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Federal Taxpayer Information (FTI)
☐ Yes ☑ No

Title: PARDON INVESTIGATION
MARC RICH, ET. AL.
CFPO.

Reference:
(Communication Enclosing Material)

Description: ☑ Original notes re interview of
[redacted] on 12/12/01.

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FD-340 (Rev. 3-8-01)

Universal Case File Number 58A-NY-278417 - Sub 302 - 1A48

Field Office Acquiring Evidence New York

Serial # of Originating Document 206

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

[redacted]
(City and State)

By [redacted]

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☑ No

Federal Taxpayer Information (FTI)

☐ Yes ☑ No

Title: MARC RICH PARDON INVESTIGATION

Reference [redacted]
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

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FD-340a (Rev. 11-12-89)

FD-340 (Rev. 8-7-97)

1A49

Universal Case File Number 58A-NY-2K417 Sub 302

Field Office Acquiring Evidence NY0

Serial # of Originating Document 209

Item

Date Received [redacted]

From [redacted]
(Name of Contributor)

[redacted]
(Address of Contributor)

By SA [redacted]
(Name of Special Agent)

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To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER US PRESIDENT; [redacted] MARC RICH; CFPO-EXEC BR

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Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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FD-340a (Rev. 11-12-89)

FD-340 (Rev. 8-7-97) 1A50

Universal Case File Number 58A-NY-278417 Sub302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 210

Date Received [redacted]

From [redacted]
(Name of Contributor)

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By SA [redacted]
(Name of Special Agent)

Item	Date Filed

tion

To Be Returned ☐ Yes ☒ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER US PRESIDENT; [redacted] MARC RICH; CFPO - EXEC BR.

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Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A51

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 211

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUB(S), WILLIAM J. CLINTON - FORMER US PRESIDENT [redacted] MARC RICH - FUGITIVE (B); ET AL

Reference: [redacted]
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

Item	Date Filed	To be re Yes

Disposition

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A52

Universal Case File Number SSA-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 212

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☑ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [redacted] MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH; DO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

Item	Date Filed	To be retu[illegible] Yes	No

Disposition

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A53

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 213

Date Received [redacted]

From [redacted]
(Name of Individual or Organization)

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☑ Yes ☐ No

Title: UNSUB(S); WILLIAM J CLINTON - FMR US PRESIDENT; [redacted] MARC RICH - FUGITIVE(S); ET AL; CFPO-EXECUTIVE BRANCH
DO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [redacted]

Item	Date Filed	To be Yes

Disposition

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A54

Universal Case File Number ~~58A-NY-278417~~ Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 214

Item	Date Filed	To be ret Yes

Date Received [ ]

From [ ]

[ ]

[ ]

By SA [ ]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUBS(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [ ]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
00: NY

Reference: [ ]
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [ ]

Disposition
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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A55

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 215

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure ☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [redacted]

MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH; OO: NY

Reference: [redacted]
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

Item	Date Filed	To be returned Yes	To be returned No	Disposition

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A56

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 216

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☒ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [redacted]
MARC RICH - FUGITIVE(S); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Reference: [redacted]
(Communication Enclosing Material)

Description: ☐ Original notes re interview of [redacted]

Item	Date Filed	To be retur Yes	No

Disposition
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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A57

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 217

Date Received [ ]

From [ ]

By SA [ ]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☑ No

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER US PRESIDENT; [ ]
MARC RICH - FUGITIVE (D); ET AL;
OFFO - EXECUTIVE BRANCH;
OO: NY

Reference: [ ]
(Communication Enclosing Material)

Description: ☑ Original notes re interview of [ ]

Item	Date Filed	To be returni Yes	To be returni No

Disposition

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A58

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 218

Date Received [ ]

From [ ]

By SA [ ]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; MARC RICH - FUGITIVE (3); ET AL; CFPO - EXECUTIVE BRANCH)
60: NY

Reference: [ ]
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [ ]

Item	Date Filed	To be returned Yes	No

Disposition
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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A59

Universal Case File Number ~~SA-NY-278417~~ Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 219

Date Received [ ]

From [ ]

By SA [ ]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☒ Yes ☐ No

Title: UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [ ]

MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH; CO: NY

Reference: [ ]
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [ ]

Item	Date Filed	To be return Yes	To be return No

Disposition

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FD-340a (Rev. 11-12-89)

FD-340b (Rev. 2-5-93)

1A160

Universal Case File Number 58A-NY-278417 Sub 302

Field Office Acquiring Evidence NYO

Serial # of Originating Document 220

Date Received [redacted]

From [redacted]

By SA [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure ☒ Yes ☐ No

Title: 'UNSUB(S); WILLIAM J. CLINTON - FMR US PRESIDENT; [redacted] MARC RICH - FUGITIVE (B); ET AL; CFPO - EXECUTIVE BRANCH; DO: NY

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

Item	Date Filed	To be return: Yes	To be return: No

Disposition

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