# What is Unusual about the Third Largest Geomagnetic Storm of Solar Cycle 24?

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November 22, 2022

#### Abstract

We report on the solar and interplanetary (IP) causes of the third largest geomagnetic storm (2018 August 26) in solar cycle 24. The 2018 August 20 coronal mass ejection (CME) originating from a quiescent filament region becomes a magnetic cloud (MC) at 1 au after ~5 days. The CME accelerates for about a day as evidenced by the time evolution of the CME speed, the intensity of the associated post-eruption arcade, and the reconnected flux. The presence of multiple coronal holes near the filament channel and the high-speed wind from them seem to have the combined effect of producing complex rotation in the corona and IP medium resulting in a high-inclination MC. The Dst time profile in the main phase steepens significantly (rapid increase in storm intensity) coincident with the density increase in the MC second half of the MC. We confirm that the enhanced strength of the 2018 August 26 storm is a direct result of the enhanced MC density by comparing with two other events: the 2010 May 28 MC that has similar properties except for no density enhancement and the 2014 April 11 MC with a complex density structure. The Comprehensive Inner Magnetosphere-Ionosphere (CIMI) model applied to the three events confirm that higher ring current energy results from larger dynamic pressure in the MCs. A complex temporal structure develops in the storm main phase if the underlying MC has a complex density structure during intervals of southward interplanetary magnetic field.

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- 6
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- 8 Key Points:
- Coronal mass ejection characterized by prolonged acceleration, rotation, and high-density
   content results in the intense geomagnetic storm
- Storm main-phase time profile is controlled by the ring current injection that incorporates
   solar wind dynamic pressure and electric field
- Empirical formulas for predicting Dst based on solar wind electric field fail when the
   interplanetary structure has high density
- 15

#### 16 Abstract

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- 18 (2018 August 26) in solar cycle 24. The 2018 August 20 coronal mass ejection (CME)
- 19 originating from a quiescent filament region becomes a magnetic cloud (MC) at 1 au after ~5
- 20 days. The CME accelerates for about a day as evidenced by the time evolution of the CME
- speed, the intensity of the associated post-eruption arcade, and the reconnected flux. The
- 22 presence of multiple coronal holes near the filament channel and the high-speed wind from them
- 23 seem to have the combined effect of producing complex rotation in the corona and IP medium 24 resulting in a high-inclination MC. The Dst time profile in the main phase steepens significantly
- resulting in a high-inclination MC. The Dst time profile in the main phase steepens significantly (rapid increase in storm intensity) coincident with the density increase in the MC second half of
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- similar properties except for no density enhancement and the 2014 April 11 MC with a complex
- density structure. The Comprehensive Inner Magnetosphere-Ionosphere (CIMI) model applied to
- 30 the three events confirm that higher ring current energy results from larger dynamic pressure in
- the MCs. A complex temporal structure develops in the storm main phase if the underlying MC
- 32 has a complex density structure during intervals of southward interplanetary magnetic field.

#### 33 Plain Language Summary

- <sup>34</sup> Powerful coronal mass ejections (CMEs) are responsible for very intense geomagnetic storms.
- 35 The 2018 August 28 storm was very intense, but the CME was inconspicuous and weak near the
- 36 Sun. However, over an extended period of time the CME accelerated slowly and picked up
- adequate speed to cause an intense storm. Due to the presence of coronal holes near the eruption
- region, the CME rotated in such a way that the CME magnetic field and Earth's magnetic field
- 39 can efficiently couple to transfer energy into the magnetosphere to cause the geomagnetic storm.
- 40 The energy transfer is expedited by the presence of dense material deep inside the CME.

# 41 **1 Introduction**

It is well established that intense geomagnetic storms with a Dst index < -150 nT are always 42 associated with coronal mass ejections (CMEs). CMEs causing geomagnetic storms are generally 43 fast and wide indicating they are very energetic (see e.g., Gopalswamy 2018 and references 44 therein). Occasionally, storms are caused by slower CMEs as observed in the coronagraph field 45 of view (FOV) (Zhang et al. 2007). Many of these CMEs continue to accelerate beyond the 46 coronagraph FOV and attain sufficient speed to drive shocks at large distances from the Sun that 47 can be detected in situ or via purely kilometric type II radio bursts (Gopalswamy 2006; 48 Gopalswamy et al. 2010). During the weak solar cycle 24, the frequency and intensity of 49 geomagnetic storms is unusually low (Gopalswamy 2012; Richardson 2013; Kakad et al. 2019). 50 Towards the end of this cycle, an intense storm has been observed on 2018 August 26 with a Dst 51 index of -175 nT. Only two storms in solar cycle 24 are stronger than this event: the 2015 March 52 53 17 (-222 nT) and 2015 June 23 (-204 nT) storms (see e.g., Liu et al. 2015; Gopalswamy et al. 2015a; Wu et al 2016; Webb and Nitta 2017). The 2018 August 26 event is characterized by 54 55 weak solar eruption, significant flux rope rotation in the corona and interplanetary medium, and an intense geomagnetic storm, as first reported by Chen et al. (2019). These authors identify the 56 solar source of this storm as a filament channel eruption and track the CME propagation in the 57 corona and interplanetary medium. The event has also been reported to have widespread space 58 59 weather effects at Earth (Zakharenkova et al. 2021; Abunin et al. 2020) and Mars (Thampi et al.

2021). Although some authors claim that this is a stealth CME (Mishra and Srivastava 2019; 60

Piersanti et al. 2020; Nitta et al 2021), the near-surface signatures are clear although weak (Chen 61 et al. 2019; Abunin et al. 2020). 62

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One of the key findings by Chen et al. (2019) is that the CME flux rope rotated between the Sun 64 and Earth, resulting in a unipolar magnetic cloud (MC) with its axis pointing to the south 65 throughout the cloud. The high intensity of the geomagnetic storm has been attributed to the 66 long-duration southward field possibly enhanced by the compression due to following high speed 67 stream (HSS) (Chen et al. 2019; Abunin et al. 2020; Nitta et al. 2021). It is well known that the 68 storm strength represented by the Dst index has a high correlation with the solar wind electric 69 field VBz, where V is the speed and Bz is the out of the ecliptic component of the interplanetary 70 magnetic structure such as a MC (Wu and Lepping 2002; Wang et al. 2003a; Kane 2005; 71 Gonzalez and Echer 2005; Gopalswamy et al. 2008; 2015b). The 2018 August 26 event seems to 72 be an exception because the observed maximum value of -VBz is too small to account for the 73 storm intensity of -175 nT. Using Bz = -16.4 nT and V = 400 km/s from Chen et al. (2019), the 74 resulting –VBz has a maximum 6560 km/s nT (in GSE coordinates). Using the empirical 75 formula for the minimum value of Dst given by (Gopalswamy et al. 2008), 76 77 Dst = -0.01 VBz - 32 nT78 (1)

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we can get a maximum strength of only -98 nT, about half of the observed -175 nT. Therefore, 80

how the weak eruption and the resulting MC caused the third largest storm is a mystery. 81

One of the factors not considered in the above works is the density within the MC. The solar 82

wind density has been considered as a factor in determining the geoeffectiveness of 83 interplanetary structures (Weigel 2010). A high solar wind density can lead to higher density in 84

85 the magnetospheric plasma sheet (Borovsky et al. 1998), and the latter can influence the ring

current amplitude (Jordanova et al. 2003). MHD simulations show that increased solar wind 86

density during intervals of southward Bz can increase the bow-shock compression ratio resulting 87

in increased magnetospheric energy dissipation rate (Lopez et al. 2004). Following earlier 88 suggestions by Maruvama (1982) and Fenrich and Luhmann (1998), Wang et al. (2003b) define 89

the ring current injection (Q) as a function of solar wind electric field Ey = VBz and the dynamic 90

- pressure ( $P_f$ ). They find that  $P_f$  (which is proportional to the solar wind density) can increase the 91
- 92 ring current injection during Bz < 0 and decrease the ring current decay time during Bz > 0.

Using such an injection term, Xie et al. (2008) find that the Dst peak value of a storm increases 93

when there is a large enhancement in P<sub>f</sub> during the main phase of a storm. Using a Q similar to 94

that of Wang et al. (2003b), Le et al. (2020) show that the storm strength defined by the SYM-H 95

index is highly correlated with the time-integral of the injection over the main phase (see also 96

Zhao et al. 2021). Based on the above discussion, we conclude that it is worth examining the 97

98 effect of the high density inside the MC to see if it can explain the observed Dst peak value and

its time profile in the main phase of the 2018 August 26 storm. 99

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In section 2, we summarize the observations from the Sun to 1 au. In section 3 we analyze the 101

102 observations and present new results regarding CME kinematics, MC structure, and Dst time

profile. In section 4, we discuss the results and provide a summary of the investigation in section 103

104 5.

#### 105 **2 Observations**

- 106 The primary objective of this paper is to provide a physical description of the solar and
- 107 interplanetary circumstances that led to the intense 26 August 2018 geomagnetic storm. The
- 108 provisional Dst index obtained from the Kyoto World Data Center (WDC) for Geomagnetism
- 109 (http://wdc.kugi.kyoto-u.ac.jp/dstdir/, Nose et al. 2015) shows that the Dst index attains a
- 110 minimum value of -175 nT. The source of the 26 August 2018 storm is a MC associated with a
- filament channel eruption on 20 August 2018 that results in a white-light CME. The filament
- 112 channel, the post eruption arcade (PEA), and coronal dimming are observed at several
- 113 wavelengths by the Atmospheric Imaging Assembly (AIA, Lemen et al. 2012) on board the Solar
- 114 Dynamics Observatory (SDO). The AIA images are also used in identifying the coronal holes
- near the filament channel. The filament channel is along the neutral line of a large-scale
- 116 magnetic region identified in the Helioseismic and Magnetic Imager (HMI, Scherrer et al. 2012).
- 117 H-alpha images obtained by the Big Bear Solar Observatory are used to identify the filament and
- 118 the filament channel (<u>http://www.bbso.njit.edu/Research/FDHA/menu.html</u>). The white-light
- 119 CME is observed by the Large Angle Spectrometric coronagraph (LASCO, Brueckner et al.
- 120 1995) on board the Solar and Heliospheric Observatory (SOHO) and the Sun Earth Connection
- 121 Coronal and Heliospheric Investigation (SECCHI, Howard et al. 2008) on board the Solar
- 122 Terrestrial Relations Observatory (STEREO). The combined SOHO and STEREO images help
- us track the CME from the Sun to Earth. We use OMNI data (https://omniweb.gsfc.nasa.gov/) to
- describe the plasma and magnetic properties of the interplanetary CME (ICME).



- **Figure 1.** An overview of the source region and its surroundings of the 2018 August 20 coronal
- mass ejection: a filament channel oriented in the NE-SW direction. Only a small section of the
- filament channel contains a filament as marked. Coronal holes located on the east and west side
- of the channel are marked as CH-E and CH-W, respectively. There is also another coronal hole
- to the south, marked as CH-S. The SDO/AIA 193 Å image was taken at 00:10 UT, several hours
- 131 before the onset of the eruption.

- 133 Figure 1 provides an overview of the eruption region (filament channel) with nearby coronal
- holes in an SDO/AIA 193 Å image taken several hours before the eruption. The filament channel
- extends from N50W10 to N10W40. The centroid is roughly at N20W10, close to the disk center.
- A dark filament is present at the northern end of the filament channel. Two coronal holes are
- 137 located on the east (CH-E) and west (CH-W) sides of the filament channel. There is another
- large coronal hole (CH-S) to the south of the eruption region, probably connected to CH-W.



Figure 2. (a) H-alpha image of the source region before eruption showing the filament fragment in the north and tiny fragments along the filament channel. (b) SDO/AIA 193 Å image showing coronal cells numbered from 1 to 7 on either side of the filament channel. (c) SDO/HMI line of

- sight magnetogram at 06:11 UT with an outline of the H-alpha filament (red), AIA 193 Å
- filament channel (blue), and the H-alpha trace of the filament channel (pink dots) marked. Also
- superposed is the foot-points of the post eruption arcade (yellow lines) extracted from the
- 146 SDO/AIA 211 Å image taken at 06:20 UT on 2018 August 21 (d). In (d), the two coronal holes
- 147 (CH-E and CH-W) are marked along with the core dimming regions D1 and D2 located just
- 148 outside the PEA.

#### 149 **3 Analysis and results**

Figure 2 shows more details of the source region from various sources. The northeast end of the

- filament channel has a clear filament, and the rest of the channel has tiny filament fragments as
- can be seen in the H-alpha image (Fig. 2a). The filament can also be seen in the SDO/AIA 193 Å
- image (Fig. 2b). The HMI magnetogram shows that the filament channel is located along the
- polarity inversion line (PIL) of a large-scale bipolar magnetic region (Fig. 2c). The east and west
- side of the PIL have positive and negative polarities, respectively. Tadpole-shaped coronal cells
- line up on either side of the filament channel, seven of them marked in Fig. 2b. The coronal cells
  1-3 are located on the positive side of the PIL while cells 4-7 are located on the negative side.
- 1-3 are located on the positive side of the PIL while cells 4-7 are located on the negative side.
  The cells are similar to the chromospheric fibrils with the head of the tadpoles located on a
- majority-polarity magnetic element (Martin 1998; Sheeley et al. 2013). The field direction in the
- 160 cell is the same as that of the filament channel, so we infer from Fig. 2c that the field direction is
- southward along the filament channel. The helicity sign is negative (left-handed) because the
- azimuthal field above the filament channel goes from east to west, in agreement with the
- hemispheric rule. The filament channel eruption is marked by the formation of a PEA starting
- around 08:00 UT on 20 August 2018 that takes about a day to reach its full size. The outline of

- the PEA (enclosed by the yellow lines) is overlaid in Fig. 2c,d. The eruption of the filament
- channel is accompanied by core dimmings (D1, D2) located on either side of the polarity
- inversion line (Fig. 2d). The line connecting the D1 and D2 has a tilt of  $\sim -6^{\circ}$ , which is smaller
- 168 than the tilt of the PEA ( $\sim -30^{\circ}$ ) and the PIL ( $\sim -45^{\circ}$ ).

### 169 **3.1 CME kinematics**

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- 171 The white-light CME is listed in the SOHO/LASCO CME catalog (<u>https://cdaw.gsfc.nasa.gov</u>,
- Yashiro et al. 2004; Gopalswamy et al. 2009) as a slowly accelerating CME ( $\sim$ 5.4 m s<sup>-2</sup>) with a
- first appearance time of 21:24:05 UT on 20 August 2018. The linear sky-plane speed is 126
- 174 km/s, which is expected to be much smaller than the true three-dimensional (3D) speed because
- of the severe projection effects in a disk-center eruption. At the time of the eruption onset,
   STEREO Ahead (STA) was located at E108 from Earth. Therefore, in STA view, the eruption is
- STEREO Ahead (STA) was located at E108 from Earth. Therefore, in STA view, the eruption slightly behind the west limb, so the speed measured from STA is closer to the 3D speed.
- Although extremely faint, the eruption can be seen at 08:30 UT in STA/COR1 image, see:
- (https://stereo ssc.nascom.nasa.gov/browse/2018/08/20/ahead\_20180820\_cor1\_rdiff\_512.mpg).
- The CME first appears in the STA/COR2 FOV around 12:00 UT. We use coronal images from
- 181 SOHO and STA to fit a graduated cylindrical shell (GCS, Thernisien 2011) flux rope. Snapshots
- of the CME from SOHO and STEREO are shown in Fig. 3 along with the GCS flux rope
- 183 overlaid on the coronagraph images. In addition to SOHO/LASCO and SECCHI/COR2 images,
- 184 we have included SECCHI's Heliospheric Imager (HI) data in the GCS fit. The flux rope leading
- edge is at a height of ~64 Rs in the HI1A image shown Fig. 3d,h taken at 04:49 UT on August
- 186 22. The tilt of the GCS flux rope axis turns out to be 12°, indicating a counterclockwise rotation
- 187 of  $\sim 18^{\circ}$  with respect to the line connecting the dimming regions.
- 188



**Figure 3.** Snapshots of the CME in question at our times: (a) 2018 August 21 at 01:25 UT

- 192 (LASCO C2), (b) at 01:24 UT (SECCHI COR2A), (c) at 06:54 UT (SECCHI COR2A), and (d)
- at 04:49 UT on August 22 (SECCHI HIA). The corresponding flux ropes fitted to the CME are
- shown the bottom panels (e-h). The leading edge of the flux rope is at 64.3 Rs in the HI1A FOV.

195 We track the leading edge of the flux rope until it reaches ~133.5 Rs in HI2A FOV at 13:31 UT

on August 23. Beyond this distance, the features are too faint to make measurements. However,

197 playing HI2 movies, we can see the CME disturbances blowing past Earth around midday on

August 25. As expected, the 3D speed is  $\sim$ 400 km/s within the LASCO FOV, which is much higher than the sky-plane speed ( $\sim$ 126 km/s). The average acceleration within the LASCO FOV

is  $\sim 7.5 \text{ m s}^{-2}$ .

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One of the interesting features in these images is the core of the CME, which has a brightness similar to that of the leading edge early on but becomes the dominant feature later on (in the HI1 FOV). This is the vertical feature in the middle of the FOV in Fig. 3d. This feature is also

observed in the HI2 FOV and in-situ when the flux rope arrives at Earth.

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#### 207 **3.2 Signatures of Magnetic Reconnection**

Figure 4 shows the time evolution of the PEA intensity (I), its time derivative (dI/dt), and the 209 intensity in the dimming regions in comparison with the height-time plot of the GCS flux rope's 210 leading edge. Although the PEA is well defined, its intensity is extremely weak, so no 211 enhancement is observed in the GOES soft X-ray light curve. The situation is similar to the 212 source regions of polar CMEs, whose kinematics can be understood using the EUV intensity of 213 214 the PEA and its time derivative (Gopalswamy et al. 2015c). This is because both PEA and the CME flux rope are created by magnetic reconnection and the PEA intensity closely follows the 215 CME speed (Zhang et al. 2001). dI/dt mimics the Neupert effect (Neupert 1968; Dennis & Zarro 216 1993) and hence follows the CME acceleration. In the 2018 August 20 event, dI/dt becomes 217 positive at the same time as the dimming onset around 10:00 UT and drops to zero level around 218 22:00 UT the next day (see Fig. 4b). There are several bumps in dI/dt. The CME acceleration 219 from the leading edge of the GCS flux rope corresponds to the third and largest bump in dI/dt. 220 Both the CME acceleration and dI/dt drop to low values around 06:00 UT on August 21 221 remaining positive until about 22 UT. The close correspondence between dI/dt and CME 222 acceleration is remarkable given the weakness of the PEA. The cumulative reconnected (RC) 223 flux ( $\Phi_r$ ) reaches a steady value of ~ (1.6 ± 0.19)×10<sup>21</sup> Mx around 08:00 UT on August 21. The 224 instantaneous RC flux computed every 2 hours ( $d\Phi_r/dt$ ) shows a time variation very similar to 225 those in dI/dt and CME acceleration. The low values of dI/dt, CME acceleration, and the RC flux 226 227 are clear between 08:00 and 22:00 UT on August 21. The clear dip around 21 UT on August 20 is also simultaneous in  $d\Phi_r/dt$  and dI/dt. The first broad bump in  $d\Phi_r/dt$  has a counterpart in 228 dI/dt, but the latter has a double structure, which probably is not seen in  $d\Phi_r/dt$  due to the low 229 time resolution employed. The height-time plot in Fig. 4b shows that CME continues to 230 accelerate into the HI1A FOV, reaching ~50 Rs by the time the acceleration ceases around 22 231 UT on August 21. The acceleration seems to be powered by the reconnection the whole time. 232 233 Evidence for the continued increase of CME speed beyond ~100 Rs due to the continued effect of magnetic reconnection in the source region has been presented by Temmer et al. (2011). 234 Sachdeva et al. (2015) have also shown that the evolution of slow CMEs is not affected by the 235 drag force below the range 15-50 Rs. Here we have shown direct evidence from the evolution of 236 PEA arcade, RC flux, and CME acceleration that the propelling force can act at distances >50 237 Rs. Slowly accelerating CMEs are generally associated with filament eruptions outside active 238 239 regions and can cause type III bursts, type II bursts, and large SEP events if they accelerate to high enough speeds (Kahler et al. 1986; Gopalswamy et al. 2015d: Cliver et al. 2019). Some 240

slowly accelerating CMEs can become superalfvenic at distances of tens of solar radii to drive a

shock and produce purely kilometric type II radio bursts (Gopalswamy 2006). In some cases, the

shock may not cause a type II bursts (a radio-quiet shock) but a weak shock is observed in the

solar wind data (Gopalswamy et al. 2010). Examination of ground-based and space-based radio

observations shows that the 2018 August 20 eruption is not associated with any radio emission.



Figure 4. (a) SDO/AIA 211 Å image showing the PEA and dimming regions D1 (green contour) 247 248 and D2 (orange contour). The box encloses the area where the PEA is contained. (b) The average EUV intensity (I, black curve) within the box in (a) and its time derivative (dI/dt, pink curve) 249 plotted as a function of time. The area corresponding to the dimming regions is excluded in 250 251 computing the average intensity in units of data number (DN). The leading-edge height of the GCS flux rope (red diamonds) along with the quadratic fit (dotted line) to the height-time data 252 points. The last two data points correspond to the HI1A FOV. The CME acceleration derived 253 from the height-time measurements is shown in blue. (c) The time evolution of the RC Flux ( $\Phi_r$ ) 254 and its time derivative  $d\Phi_r/dt$  computed from PEA every two hours. (d) The average EUV 255 intensity in the diming regions D1 (green curve) and D2 (orange curve). The three gray vertical 256 257 bands denote intervals of SDO data gap. The vertical dark line marks the time of the SDO/AIA





**Figure 5.** Solar wind observations from OMNI for the period 2018 August 24 - 27. (a) Solar 260 wind speed  $(V_p)$ , (b) proton density  $(N_p)$ , (c) proton temperature  $(T_p)$  along with the expected 261 temperature (orange line), (d) gas ( $P_g$  – red curve), magnetic ( $P_b$  – blue curve), and flow ( $P_f$  – 262 green curve) pressures and the total pressure  $(P_g+P_b-black curve)$ , (e) plasma beta, (f) total 263 264 magnetic field strength (B) along with the three components  $B_x$  (red curve),  $B_y$  (green curve), and  $B_z$  (blue curve) in GSE coordinates, (g) solar wind electric field (solar wind speed times the  $B_z$ 265 component of the magnetic field), (h) the  $D_{st}$  index showing the intense geomagnetic storm with 266 267 a slope change in the main phase at the instance marked by the vertical orange line (02:30 UT on August 26). The D<sub>st</sub> data are from the World Data Center, Kyoto. The vertical green lines mark 268 the boundaries of the magnetic cloud based on  $T_p$  (beginning and end of MC interval), beta 269 270 (beginning of MC interval), and B (beginning and end of MC interval). The vertical blue dashed lines mark the boundary of a preceding MC on August 24 (MC1). The MC on August 25 (MC2) 271 272 has its  $B_z$  negative throughout and hence designated as fully southward (FS) MC meaning it is a 273 high-inclination MC with its axial field pointing southward. The By component rotates from west to east, so this is a left-handed (WSE MC). MC2 was followed by a CIR interval indicated 274 by the vertical red lines. 275 276

#### 278 **3.3 Interplanetary CME and the geomagnetic storm**

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The interplanetary counterpart of the CME is a MC, which arrives at 13:00 UT on August 25 and 280 lasts until ~09:00 UT on August 26 (labeled MC2 in Fig. 5). The MC is not associated with a 281 shock, but there is some compressed plasma arriving at ~08:00 UT on August 25 ahead of the 282 flux rope but before MC1. The MC interval is identified as the region of low proton temperature 283 and plasma beta. MC2 is preceded by another weak cloud (MC1) that arrives about a day ahead 284 of MC2. The central speed of MC2 is ~400 km/s with a slightly higher and lower speeds at the 285 leading and trailing edges, respectively. This indicates that the MC continues to expand at 1 au, 286 with leading- and trailing-edge speeds of 440 km/s and 370 km/s, respectively. MC2 is 287 immediately followed by a corotating interaction region (CIR), with a stream interface around 288 13:00 UT on August 26. The magnetic field strength in the MC has a peak value of 18 nT. The 289 field rotates from west to east with the axial field pointing southwards throughout the MC 290 interval. The high inclination MC has a WSE configuration (negative helicity). The Bz 291 component reaches a peak value of -15 nT. A flux rope fit to the in-situ data confirms the 292 negative helicity and high inclination of the MC with a radius of 0.13 au. 293

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The feature that stands out in the solar wind plots in Fig. 5 is the proton density. The density in the MC remains low ( $\sim$ 5 cm<sup>-3</sup>) until  $\sim$ 22:00 UT on August 25 and then starts quickly increasing

to attain a peak value between 20 and 30 cm<sup>-3</sup>. There are 5 large peaks with density >20 cm<sup>-3</sup>,

the last two reaching  $\sim 30 \text{ cm}^{-3}$ . The density drops to  $\sim 5 \text{ cm}^{-3}$  after the MC interval. The high-

density region is also the coolest part of the MC. The opposite trends in density and temperature

resulted in a gas pressure that only slightly increases in the region. The magnetic pressure is much larger, so it dominates in the total pressure, which smoothly increases from the beginning

much larger, so it dominates in the total pressure, which smoothly increases from the beginning
 of the MC and drops only after the end of the cloud interval. Corresponding to the increase in the

303 gas pressure is the increase in plasma beta but beta stays below 1.

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**Figure 6.** The height-time history of the CME flux rope leading edge (red data points) and its core (green data points). Linear and quadratic fits to the height-time data points are shown. The linear fit is closer to the in-situ arrival of the MC leading edge (the compressed material arriving at 08:00 UT as noted in Fig. 5). The extended height-time plot of the CME as tracked in the FOV of COR2, HI1, and HI2, is

- shown in Fig. 6. The CME attains roughly a constant speed of ~412 km/s after it finishes
- accelerating around 22 UT on August 21 when the flux-rope LE is at a height of 50 Rs (and the
- core at 35 Rs (see Fig. 4(b)). The CME speed (V in km/s) is related to the total RC flux ( $\Phi_r$  in 10<sup>21</sup>
- Mx ):  $V = 298 \times (\Phi_r)^{0.79}$  (Gopalswamy et al. 2018). Inserting the observed  $\Phi_r$  of  $1.6 \times 10^{21}$  Mx, we get V = 423 km/s, which is in good agreement with the speed from the height-time
- get V = 423 km/s, which is in good agreement with the speed from the height-time measurements. The linear fit to the height-time data points is in good agreement with the arrival
- time of the MC disturbance. When the MC disturbance at 1 au, the high-density region is ~50 Rs
- behind, which is also consistent with the increase in density in the MC. The quadratic fit would
- imply a 1-au arrival time of 21 UT on August 24, about 11 hrs ahead of what the linear fit
- 320 indicates. After the acceleration ends, the CME flux rope seems to propagate at constant speed
- 321 or slightly decelerating since the in-situ data point of the MC disturbance is located slightly
- below the linear fit curve.
- 323

The Dst index in Fig. 5 starts decreasing about 4 hrs after the Bz in the MC starts turning south.
The solar wind electric field VBz attains its minimum value of -6520 km/s nT in the highdensity interval at ~05:00 UT on August 26, following which the Dst index reaches its minimum

value (-175 nT) two hours later. The Dst time profile shows a significant slope change starting around 02:00 UT on August 26, at which time the Dst = -85 nT. The slope changes from -12.5

- nT/hr to -22.5 nT/hr, which is a steepening by 77%. The time of the slope change coincides
- precisely with the time of temperature drop and density increase in the MC (and hence with the gas pressure – see Fig. 5b,c,d). Since the speed of the MC does not change much through the MC
- interval, the five-fold increase in density should increase the dynamic pressure by the same
- factor. This gives a clue to the possible mechanism that causes the slope change. The steepening
- 334 Dst profile indicates that the density increase (or the dynamic pressure of the high-density 335 material) seems to have made the MC more geoeffective. In hindsight, such a slope change can
- be found in the largest storm in solar cycle 23 (2003 November 20) that has a final Dst = -422
- nT (the provisional Dst is -472 nT, see Gopalswamy et al. 2005). The underlying MC has high

density material, later confirmed to be prominence material (Sharma and Srivastava 2013).

However, neither of these works recognizes the coincidence of the density increase with the

slope change of the Dst profile. From the final Dst data, we see that the slope changes from –
 33.5 nT/hr to -83.5 nT (not shown) when the density increase starts. Recently, Cheng et al.

33.5 nT/hr to -83.5 nT (not shown) when the density increase starts. Recently, Cheng et al.
 (2020) report on an opposite case: when the density drops significantly during the main phase,

- the storm strength is accordingly reduced.
- 344

The unusual Dst profile indicates that the minimum Dst deviates significantly from the one predicted by empirical relations. We have already shown this to be the case in the introduction using equation (1). Another empirical relation that considers the storm main-phase duration ( $\Delta t$ in hr) is (Wang et al. 2003a):

349

350 Dst =  $-19.01 - 8.43 (-\langle VBz \rangle)^{1.09} (\Delta t)^{0.3} (2)$ 

351

352 where  $\langle VBz \rangle$  is the average over the main phase of the storm in units of mV/m in GSM

- 353 coordinates. With  $\Delta t = 13$  hr and  $-\langle VBz \rangle = 4.74$  mV/m, we get Dst = -121 nT, which is
- slightly better compared to the Dst from Eq. (1), but the observed Dst is still 45% lower.
- 355

In order to illustrate the importance of density, we compare the 2018 August 26 event with

- another event (2010 May 29) of similar solar wind parameters but has no significant density
- enhancement (see Fig. 7). The 2010 May 29 storm is due to a high inclination MC with negative
- helicity and associated with the 2010 May 23 halo CME originating from a filament eruption region centered around N16W10. The source magnetic configuration is very similar to that of the
- 2018 August 20 CME. The white-light CME has a higher sky-plane speed (258 km/s) than the
- 362 2018 August 20 CME (https://cdaw.gsfc.nasa.gov/CME\_list/halo/halo.html). Fig. 7 shows that
- the density inside the MC has an average value of 6 cm<sup>-3</sup> and there is no significant enhancement
- in the second half of the MC. In the first half, there is a small enhancement over a 5-hr interval,
- starting at 00 UT on May 29 that has a peak value of  $\sim 10$  cm<sup>-3</sup>. There is a large density
- enhancement outside the back of the MC due to a CIR formed by a high-speed solar windstream.
- 368

The observed minimum Dst value of the 2010 May 29 storm is only -80 nT, less than half of the

minimum Dst in the 2018 August 26 storm. Using the observed -VBz = 4979 km/s nT and -

- $\langle VBz \rangle = 4.14 \text{ mV/m}$  in the empirical formulas (1) and (2), we get the minimum Dst values as –
- 82 nT and -107 nT, respectively (Empirical formula (1) uses GSE coordinates, while (2) uses
- 373 GSM coordinates). Note that equation (1) gives a value very close to the observed value (-80

nT), whereas equation (2) predicts a stronger storm (-107 nT). The two parameters that differ

significantly between the two events are the density and the minimum Dst value: higher density

- 376 results in a stronger geomagnetic storm on 2018 August 26. The strengthening of the storm
   377 coincides with the start of the higher-density interval. The empirical formulas for Dst seem to
- 378 work for events with "normal" densities.
- 379

The density variation inside some MCs is more complex. The 2014 April 11 MC shown in Fig. 380 8, is also an FS MC, so Bz <0 throughout the MC interval. The MC has three intervals with 381 different density variations: (i) constant density (~4 cm<sup>-3</sup>) during the first 8 hours of the MC, (ii) 382 slow increase from 4 cm<sup>-3</sup> to  $\sim$ 7 cm<sup>-3</sup> over the next 14 hours, and (iii) high density ( $\sim$ 18 cm<sup>-3</sup>) in 383 the last 6 hours. During the low-density interval (i), Bz and VBz increase in amplitude but the 384 Dst index hovers slightly above 0 nT. The Dst starts decreasing when the density starts 385 increasing in interval (ii) while Bz and VBz level off. At 22:00 UT on April 11, Dst reaches -34 386 nT. Further increase in density is accompanied by a slight steepening of the Dst, which reaches a 387 388 local minimum value of -61 nT at 01:00 UT on April 12. The Dst starts increasing when the Bz magnitude decreases, but the continued increase in density prolongs the storm. Another local 389 minimum in Dst (-83 nT) occurs marking the noticeable decease in Bz magnitude at ~07 UT on 390 April 12. At this time, the density rapidly increases to  $\sim 18$  cm<sup>-3</sup> (interval iii) resulting in a Dst of 391 -87 nT, the peak strength of the storm at 10:00 UT on April 12. The peak value of -VBz (3000 392 km/s nT) when used in equation (1) yields a Dst of only -62 nT compared to the observed -87 393 394 nT. The 40% stronger geomagnetic storm seems to be due to the increased density in the MC. This event illustrates that a combination of density and Bz variations dictate the evolution of the 395 Dst index in the main phase. 396

- 397
- 398



Figure 7. Solar wind parameters as in Fig. 5 but for the 28 May 2010 MC that resulted in a
moderate storm (-80 nT). The two parameters that look distinctly different from the ones in Fig.
5 are the proton density and the minimum value of the Dst.



**Figure 8**. Solar wind parameters of the 2014 April 11 MC (between the vertical green lines) and the associated Dst index as in Figs. 5 and 7. The vertical black lines indicate 1. the time when the Dst index started negative excursion; 2. the time of slope change when Np reaches a higher value of ~ 7 cm<sup>-3</sup>. 3. the lime of local dip in Dst, corresponding to the upward turning of VBz (decrease in electric field). 4. local Dst minimum followed by a slight recovery. 5. time of Dst minimum. Np peaks when VBz declines significantly. The plasma beta briefly exceeds 1 at this

- time. Steady recovery of the storm starts at the end of the MC, where VBz = 0.
- 412
- Table 1 compares the properties of the three MCs discussed above and the associated
- 414 geomagnetic storms. The MCs are unipolar (FS), of similar size and central speed (Vc), and a
- slightly longer duration for the 2014 MC. The three MCs differ in proton densities (Np),
- 416 especially the peak values. From the last three rows in the table, we see that the 2018 storm is
- 417 much stronger than the other two storms, which are of similar strength (-80 nT and -87 nT). The
- 418 MCs underlying the 2010 and 2014 storms have similar speeds, but much different Bz.
- Therefore, |VBz| is higher in the 2010 event. However, the higher |VBz| does not lead to a
- 420 stronger storm. The main reason is the effect of the density enhancement in the back of the 2014

- 421 MC. Comparing the 2018 and 2010 events, we see that the 2018 MC is slightly faster and has a
- slightly higher |Bz|, so it has a higher |VBz| by ~24% yet it resulted a much stronger storm. In
- this case also, the higher density in the 2018 MC seems to make the difference.
- 424

Table 1. Solar wind parameters associated with the geomagnetic storms on 2018 August 26,2010
 May 29 and 2014 April 11 from the OMNI data

427

Property	20180826	20100529	20140411	Remark
MC type	FS (WSE)	FS (WSE)	FS (ESW)	Unipolar MCs
MC duration (hr)	20	21	29.7	
MC radius (au)	0.13	0.09	0.12	Lepping et al. Fit
Main phase duration (hr)	12	14	19	
<beta></beta>	0.31	0.22	0.52	
$(cm^{-3})$	10.7	5.2	5.9	Over the MC interval
Max Np (cm <sup>-3</sup> )	29.2	12.3	18.4	
Bt (nT)	19.1	14.6	11.1	Peak values
MC Vc (km/s)	406	358	358	Central speed
–Bz (nT)	15.8	13.9	9	Peak values GSE
–VBz (km/s nT)	6617	4962	3147	Peak values
–Dst (nT)	175	80	87	Peak values

428

#### 429 **3.4 Ring current energy from simulations**

430

In order test the above conclusion that the density increase inside the MC while Bz < 0 is 431 responsible for the stronger geomagnetic storm, we perform a numerical simulation experiment 432 to compute the total ring current energy (RCE) contrasting the low- and high-density situations. 433 First we obtain the RCE for the three storms because they represent different densities in the 434 MCs. Second we reduce the density inside the 2018 MC to the value in the first half and then 435 obtain the RCE. For this purpose, we make use of the Comprehensive Inner Magnetosphere-436 Ionosphere (CIMI) model (Fok et al., 2014). CIMI is a kinetic model that computes the energetic 437 ion (0.1 keV - 500 keV) and electron (1 keV - 5 MeV) distributions, plasmaspheric densities, 438 Region 2 field-aligned currents, and subauroral ionospheric potentials. The model is a further 439 development of the Comprehensive Ring Current Model (CRCM; Fok et al., 2001) with the 440 addition of Radiation Belt Environment (RBE) model (Fok et al., 2011). As for CRCM, the 441 CIMI model solves three major equations: bounce-averaged Boltzmann equation for the 442 distribution functions of energetic ions and electrons; conservation equation of plasmasphere 443 particles; and the ionospheric current conservation equation for the ionospheric potential. Wave-444 particle interactions, losses due to charge exchange and loss cone are considered. The CIMI 445 model can be run in empirical models of magnetic field, e.g., T04 model (Tsyganenko and 446 Sitnov, 2005) and plasma sheet models (Ebihara and Ejiri, 2000; Borovsky et al., 1998; 447 Tsyganenko and Mukai, 2003). The CIMI model can also be coupled with MHD models, such as 448 the BATSRUS model (e.g., Glocer et al., 2013). 449





Figure 9. Plots of the Dst and its pressure-corrected version Dst\* along with the CIMI-452 calculated ring current energy (Erc) for the three storms; (a) 2018 August 26, (b) 2010 May 29, 453 454 and (c) 2014 April 11 (blue curves). On the right-side Y-axis, RCE increases downwards. The red and blue vertical lines mark the start of the solar wind proton density enhancement and the 455 time of peak RCE, respectively. In (a), the red curve represents the RCE when there is no density 456 enhancement in the second half of the MC. The lower proton density results in a lower RCE. 457 Using the solar wind parameters shown in Figs. 5, 7, and 8 in the CIMI model, we compute RCE 458 for the three events. Fig. 9 shows the time evolution of RCE along with Dst and its pressure-459 corrected version, Dst\*. We see that RCE peaks at a much higher value  $(3.32 \times 10^{31} \text{ keV})$  for the 460 2018 storm than that in the 2010  $(1.57 \times 10^{31} \text{ keV})$  and 2014  $(1.37 \times 10^{31} \text{ keV})$  storms. On the other 461 hand, the peak REC is similar in the latter two events. The steepening of the Dst profile in the 462 2018 storm (see Fig. 5) coincident with the density enhancement is also reflected in the RCE 463 profile. Even the minor density enhancement in the beginning of the 2010 storm has a 464 corresponding steepening in Dst and RCE. Even though Bz magnitude is relatively small (-9 nT) 465 in the 2014 storm, the density enhancement towards the end of the MC increases the storm 466 strength on par with that of the 2010 storm. The CIMI simulation thus confirms that the density 467 enhancement is the main cause of the increased strength of the 2018 storm. 468

469

In the next CIMI run, we artificially replace the density in the back of the 2018 MC by that in the first half of the MC keeping all other solar wind parameters the same. The result is shown by the

first half of the MC keeping all other solar wind parameters the same. The result is shown by thered curve in Fig. 9a. In the first half of the MC, the blue and red curves are identical. The red

473 curve shows that the RCE  $(2.79 \times 10^{31} \text{ keV})$  is lower by ~16% than the RCE in the actual density 474 case  $(3.32 \times 10^{31} \text{ keV})$ . This result further confirms the importance of density inside MCs.

### 475 **4 Discussion**

The purpose of this study is to investigate the unusual circumstances that led to the third largest 476 477 geomagnetic storm of solar cycle that occurred on 2018 August 26. We consider three key factors. First, the solar eruption from a quiescent filament region is extremely weak. The 478 eruption signature is discerned from a faint PEA that persisted for more than a day. The 479 associated white-light CME is very slow, continuing to accelerate for a day and finally becoming 480 a typical MC. Second, the MC arrived as a unipolar cloud (FS) with its axis pointing southward, 481 in contrast to the near-Sun indicators such as the tilt of PIL, core dimming regions, and the GCS 482 flux rope fitted to coronagraph images. This indicates that the flux rope axis undergoes a large 483 and complex rotation during its coronal and interplanetary propagation and the resulting 484 configuration is conducive for reconnection with Earth's magnetic field. Third, the empirical 485 relations that based on the high correlation between Dst and VBz fail to predict the strength of 486

the storm. A new empirical relation between the observed Dst and the time integral of the ring

488 current term that includes the solar wind dynamic pressure is obtained, with which the storm in

hand agrees quite well. In the following we discuss some additional points related to these threeconsiderations.

#### 491 **4.1 Evolution of the flux rope size**

492 The kinematic analysis in combination with the reconnected flux and the PEA intensity shown in Fig. 4 suggests that the flux rope is not fully formed until it reaches a heliocentric distance of 493  $\sim$ 50 Rs. Therefore, the flux rope size obtained from the coronagraph images is not expected to be 494 the final size. Furthermore, the assumption of self-similar expansion is also not expected to be 495 valid in this distance range. A cylindrical flux rope fit to in-situ data using the Lepping et al. 496 (1990) gives a flux rope radius (R) at 1 au as 0.13 au, which indicates an aspect ratio  $\kappa = R/(Rtip)$ 497 -R) = 0.15. While such a  $\kappa$  value (0.19) is indicated by the GCS fit to LASCO/C2 and 498 499 STA/COR2 data, it increases to 0.35 in the HI-1 FOV at ~64 Rs. It is possible that the flux rope compacted after the dipolarization of the last reconnected field lines (Welsch 2018), which might 500 have happened when the flux rope is at the outer edge of the HI-1 FOV. Assuming that the flux 501 502 rope stabilizes by  $R_{tip} = 75 R_s$ , we can estimate the flux rope radius at this distance from the 1au value assuming self-similar expansion. For  $\kappa = 0.15$ , R = 9.8 Rs at Rtip = 75 Rs and from the 503 axial field strength  $B_0 = 23.8$  nT of the flux rope fitted to in-situ data, we estimate  $B_0$  at 75 Rs as 504 193.8 nT or 1.9 mG. This is consistent with the average  $B_0 = 52$  mG at Rtip = 10 Rs 505 (Gopalswamy et al. 2015b). From the fitted flux-rope R and B<sub>0</sub> at 1 au, we can estimate the 506 poloidal flux as  $5.8 \times 10^{21}$  Mx, which is a factor of a few larger than the observed total RC flux 507  $(1.6 \times 10^{21} \text{ Mx})$ . The correlation between 1-au poloidal flux and the RC flux has a large scatter, 508 so the agreement is not too bad. For example, the RC flux  $(1.5 \times 10^{21})$  of the 1999 April 13 CME 509 is smaller than the poloidal flux  $(5.35 \times 10^{21} \text{ Mx})$  of the associated MC (1999 April 16) observed 510

at 1 au (Gopalswamy et al. 2018).

### 512 **4.2** The effect of the nearby coronal holes on CME rotation

Weak eruptions from quiescent filament regions have been discussed before. A notable example 513 is the eruptions on 1997 January 10–11 (Burlaga et al. 1998; Webb et al. 1998). The associated 514 magnetic cloud results in only a moderate storm with Dst = -78 nT. The present event is even 515 weaker at the Sun yet produced an intense geomagnetic storm that is more than two times 516 stronger. Unlike the 1997 January event, our event has a high inclination MC, which ensures 517 Bz<0 for an extended period of time. The high inclination compared to the tilt near the Sun 518 indicates a large rotation of the MC between the Sun and Earth (see Chen et al. 2019 for details). 519 Magnetic flux ropes can rotate due to internal (Fan and Gibson, 2004; Török et al, 2004; Lynch 520 et al., 2009) and external forces (Nieves-Chinchilla et al. 2012; Kay et al. 2017). The complex 521 rotation in our event can be attributed to the two coronal holes CH-E and CH-W shown in Fig. 1 522 that seem to deflect the CME in opposite directions early on at the northern and southern ends. 523 Deflection by coronal hole magnetic fields has been documented extensively (Gopalswamy et al. 524 2009 and references therein). The distribution of the coronal holes at different distances and 525 magnetic field strengths indicates external differential magnetic forces along the CME axis 526 leading to a torque about the CME nose. In the interplanetary medium, the fast winds from the 527 two coronal holes might have interacted with the CME causing further rotation of the flux rope. 528

# 530 **4.3 The effect of the density enhancement**

Farrugia et al. (1998) compare three MCs with similar solar wind profiles, including enhanced 531 densities in the second half of the clouds. These are the MCs on 1995 October 18, 1996 May 27, 532 and 1997 January 10 with maximum densities of 60 cm<sup>-3</sup>, 30 cm<sup>-3</sup>, and 185 cm<sup>-3</sup>, respectively. 533 Unlike our event, these are south-north MCs, so the Bz <0 part of the MCs is in the front of the 534 MCs, with no overlap with the density enhancement. The Bz <0 part resulted in weak to intense 535 geomagnetic storms: Dst = -127 nT (1995 October 18), -33 nT (1996 May 27), and -64 nT536 (1997 January 10). Therefore, the enhanced MC density does not affect the ring current 537 (Farrugia et al. 1998; Jordanova et al. 1998) and the storm strength is simply ordered by the 538 interplanetary electric field, VBz. The VBz in our event (~-6500 km/s nT) is similar to that in 539 the 1997 January 10 MC (6900 km/s nT), but our storm is almost three times more intense (-175 540 nT vs. -64 nT for the 1997 January 10 event). The primary difference is that the high density in 541 the MC occurred during the Bz<0 portion of the MC. Unlike the above three events, our MC is 542 of FS type, so Bz<0 condition prevails throughout the MC including the high-density interval 543 and hence the enhancement of the ring current energy. Bisoi et al. (2016) report on a fully 544 southward (FS) MC that occurred on 1998 May 2. The MC has a density enhancement in the 545 back of the MC with several pulses. The SYM-H remains > -60 nT during these pulses. The 546 SYM-H index also shows pulses corresponding to the density pulses, indicating that the density 547 enhancement plays a role in the geoeffectiveness of MC substructures. After each density pulse 548 the storm temporarily strengthens for  $\sim 1$  hr. 549

- 550 Fenrich and Luhmann (1998) report about 40–45% the 27 MCs have of trailing density
- enhancement, which they identify due to compression by the following high-speed stream. They
- 552 find an increased geoeffectiveness of north-south (N-S) polarity clouds due to both an increased
- solar wind dynamic pressure and a compressed southward field due to a high-speed solar wind
- stream that follows the MC. The three MCs in our study are of FS type, so the Bz <0 condition is
- satisfied as in the N-S MCs of Fenrich and Luhmann (1998). Following the work by Maruyama
- (1982), Fenrich and Luhmann (1998) modified the ring current injection Q (nT/hr) in the
- Burton's equation (Burton et al. 1975) to include a factor  $P_f^{1/3}$ . Wang et al. (2003b) further modified Q by optimizing the exponent  $\gamma$  and a threshold  $P_f(P_0)$  as follows:
- 559 Q (t) = -4.4 (VBs 0.49)(P<sub>f</sub>/P<sub>0</sub>)<sup> $\gamma$ </sup>, VBs > 0.49 mV/m, (3)
- with Q = 0 for VBs  $\ge 0.49$  mV/m. Here, Bs is the southward component defined as: Bs = -Bz
- 561 when Bz < 0 and Bs = 0 when  $Bz \ge 0$ . Wang et al. (2003b) suggest  $\gamma = 0.2$  and  $P_0 = 3$  nPa as
- optimal values to be used in Eq. (3) and find that Q is the important term in the main phase of a
- storm. Using  $\gamma = 0.5$  in eq. (3) Xie et al. (2008) demonstrate that the Dst peak value is higher by
- up to 26% when there is an enhancement of  $P_f$  during the main phase of a storm. Le et al. (2020)
- also used  $\gamma = 0.5$  to find that the time integral of Q over the main phase of a storm (I (Q)) is
- <sup>566</sup> highly correlated with the storm strength measured by the minimum value of the SYM-H index
- 567 (SYM-H<sub>min</sub>). Zhao et al. (2021) find even a better correlation between I (Q) with  $\gamma = 0.5$  and
- $\Delta$ SYM-H, the change in SYM-H over the main phase: for a set of 17 very intense storms
- 569 ( $\Delta$ SYM-H  $\leq$  -200 nT) they find a correlation coefficient r =0.94. If we use the observed

- 570 minimum Dst instead of SYM-H<sub>min</sub> the correlation remains the same for the 17 events. Xie et al.
- 571 (2008), Le et al. (2020), and Zhao et al. (2021) allow a higher weightage ( $\gamma = 0.5$ ) for the
- dynamic pressure in Q than the one ( $\gamma = 0.2$ ) suggested by Wang et al. (2003b). Here we compare
- 573 the effect of using  $\gamma = 0.5$  vs.  $\gamma = 0.2$ , denoting the corresponding integrals as I (Q05) and I (Q02).
- 574 We use all the 32 events listed in Zhao et al. (2021) selected by the criterion  $\Delta$ SYM-H  $\leq$  -100
- nT. The 32 events are listed in Table 2 (date and Dst are as in Zhao et al.). The first 17 events
- are very intense ( $\Delta$ SYM-H  $\leq$  -200 nT). Also listed in the table are I (Ey), I (Q02), I (Q05), and
- 577 the location of the Bz <0 interval (sheath, cloud or CIR). Figure 10 shows the scatter plot 578 between I(Q) and Dst for the sets of 32 and 17 events with  $\gamma = 0.5$  and  $\gamma = 0.2$ . The correlations
- between I(Q) and Dst for the sets of 32 and 17 events with  $\gamma = 0.5$  and  $\gamma = 0.2$ . The correlations are slightly better when  $\gamma = 0.5$  for both the data sets. Higher  $\gamma$  increases the weight of the
- are slightly better when  $\gamma = 0.5$  for both the data sets. Higher  $\gamma$  increases the weight of the dynamic pressure in Q in Eq. (3). Furthermore, the correlations are almost the same for the 17
- and 32 events. The high correlation indicates that most of the contribution to Dst during the main
- 582 phase is due to the ring current injection, consistent with the CIMI simulation results.



**Figure 10.** Scatter plots between Dst and I (Q) for the 32 events (left column) and 17 very intense events (right column) with  $\gamma = 0.2$  (upper panel) and 0.5 (lower panel). I(Q02) and I(Q05) represent I (Q) computed with  $\gamma = 0.2$  and 0.5, respectively. The Pearson's critical coefficient r<sub>c</sub> (0.297 for 32 events; 0.412 for 17 events; p =0.05) is much smaller than all the correlation coefficients (r). The red open circle represents the 2018 August 26 storm. The blue and green crosses denote the 2010 May 29 and 2014 April 11 storms. The red data point is included in the correlation, while the crosses are not.

- 591 The correlation between I (Ey) and Dst is also significant. A scatter plot between I (Ey) and Dst
- (not shown) yields a relation: Dst = 0.45 I(Ey) 81.57 with r =0.80 for 32 events. The
- 593 correlation is slightly better when 17 events are used (r = 0.83). The I (Ey) Dst correlation is
- much weaker than the I(Q) Dst correlation (r = 0.93), further indicating the importance of the
- solar wind density via Q.

No.	Storm	Dst	I (Ey)	I (Q02)	I (Q05)	Bz<0
	Date	nT	Wb/m	nT	nT	Location
1	1998/05/04	-205	197	266	321	sheath
2	1998/09/25	-207	292	374	443	sheath
3	1999/10/22	-237	253	318	354	cloud
4	2000/04/06	-288	370	560	824	sheath
5	2000/08/12	-234	338	430	495	cloud
6	2000/09/17	-201	118	202	358	sheath
7	2001/03/31	-387	340	571	980	cloud
8	2001/04/11	-271	277	471	826	sheath
9	2001/10/21	-184	132	207	328	sheath
10	2003/11/20	-422	717	1040	1431	cloud
11	2004/11/08	-374	679	952	1231	cloud
12	2004/11/09	-263	446	606	762	cloud
13	2005/05/15	-247	164	274	452	sheath
14	2006/12/15	-162	271	322	349	cloud
15	2015/06/22	-204	247	377	602	sheath
16	2018/08/25	-175	214	253	283	cloud
17	2000/05/24	-147	96	144	228	sheath
18	2003/05/29	-144	120	226	487	sheath
19	2003/08/17	-148	390	429	431	cloud
20	2002/11/20	-87	56	67	79	cloud
21	2002/10/01	-176	304	370	426	cloud
22	2002/09/07	-181	176	226	273	sheath
23	2002/09/04	-109	87	97	97	CIR
24	2002/08/21	-106	179	161	124	cloud
25	2002/08/02	-102	106	115	113	sheath
26	2002/05/23	-109	84	144	268	sheath
27	2002/05/11	-110	140	162	182	cloud
28	2002/04/18	-124	268	311	366	cloud
29	2002/03/24	-100	191	203	214	sheath
30	2000/01/23	-96	140	166	188	cloud
31	2001/10/03	-166	228	248	234	cloud
32	2000/10/29	-126	133	154	165	cloud
-						

**Table 2.** List of 32 storms considered for correlation analysis, the first 17 being very intense

- <sup>598</sup> Figure 11 shows the time evolution of P<sub>f</sub>, Ey, and Q along with the time integrals Ey and Q.
- 599 There are two Q curves one with  $\gamma = 0.2$  (orange) and the other with  $\gamma = 0.5$  (red). There is clear
- sharp increase in |Q| when there is an increase in  $P_f$ . The peak values of |Q| in all three events
- 601 coincide with peaks in P<sub>f</sub>. We also see that |Q05| > |Q02| whenever P<sub>f</sub> > P<sub>0</sub> (3 nPa). The I(Q05)
- values for the 2018, 2010, and 2014 storms are: -283 nT, -142 nT, and -121 nT, respectively.
- The latter two I(Q05) are similar and much smaller than I(Q05) of the 2018 event, similar to the
- ordering in the total RCE and in the Dst index (see Fig. 9). The I (Q02) values follow the same

- pattern among the three events. On the other hand, I (Ey) is not very different among the three
- events: 214 Wb/m, 196 Wb/m, and 173 Wb/m for the above three events. For example, I (Ey) in
- the 2018 storm is higher than that in the 2010 storm only by 9%, whereas the storm strength
- doubles. This further demonstrates the importance of the dynamic pressure in Q. The I(Q) values
- of the three events in Figs. 5, 7, and 9 and the corresponding Dst values are plotted in Fig. 10.
- 610 We see that the events agree with the regression line.



Figure 11. Plots of the dynamic pressure  $P_f$  (a, d, g), solar wind electric field (GSM) Ey = VBs (b, e, h), and Q (c, f, i) for the 2018 August 25, 2010 May 28 and 2014 April 11 MCs. The green

curves represent Ey = VBs. The orange and red curves denote and Q values with  $\gamma = 0.2$  and 0.5,

<sup>615</sup> respectively. The time-integrated quantities I (Ey), I (Q02), and I (Q05) are noted on the plots.

- The vertical blue lines mark the  $P_f$  peaks for reference. Note that the peaks in Q lie within the
- 617 intervals of  $P_f$  (density) enhancement.
- 618

611

An important point to note in Table 1 is that the number of storms caused by shock sheaths and MCs (or the driving magnetic ejecta) are roughly equal: 17 cloud storms compared to 14 sheath storms. One intense storm is caused by a CIR. This indicates that the storm main phase is primarily determined by the solar wind parameters irrespective of the nature of the interplanetary

622 primarily determined by the solar wind parameters irrespective of the nature of the interplanetary 623 structure that impacts Earth. The density/dynamic pressure variability is generally more dramatic

- 624 in shock sheaths.
- 625

### 626 **4.4 Origin of the dense material**

High densities in ICMEs occur in two places: the compressed sheath ahead of the CME flux rope 627 and inside the flux rope. The sheath comprises of heliospheric plasma and magnetic field 628 compressed by the shock (Kilpua et al. 2017). Typically, the sheath density is higher than the 629 cloud density a factor of ~2 (Gopalswamy et al. 2015b, their Tables 1 and 2). The Bz component 630 is often fluctuating in the sheath interval. The high-density material inside ICMEs can be due to 631 compression by a high-speed stream that follows the ICME (Fenrich and Luhmann 1998) or due 632 to eruptive prominences that form the core of many CMEs (Burlaga et al. 1998; Gopalswamy et 633 al. 1998; Reinard 2008; Lepri and Zurbuchen 2010; Gilbert et al. 2012; Gruesbeck et al. 2012; 634 Sharma and Srivastava 2012; Sharma et al. 2013; Gopalswamy 2015; Mishra and Srivastava, 635 2015; Wang et al. 2018). The intervals of high-density prominence material are the coolest 636 within MCs and show low Fe and O charge states. Wang et al. (2018) find that at least 27 of the 637

638 76 MCs (or 36%) they examined contain prominence material indicated by the unusual O<sup>5+</sup>

and/or  $Fe^{6+}$  abundances and in the majority of cases the prominence material is at the back end of

- 640 MCs. However, occasionally azimuthal flows can redistribute the prominence material within
- 641 CMEs (Kozyra et al. 2013; Manchester et al. 2014). A recent study finds that among a set of 95
- 642 isolated geomagnetic storms caused by ICMEs, the MC type ICMEs with prominence material
- are the most geoeffective (Li and Yao 2020). In the 2018 MC, data on low charge states are not
- available, so we cannot confirm the filament material, although circumstantial evidence points to the filament material (high-density material in the coldest part of the MC). Recall from Fig. 2
- that a large filament is present at the northern end of the channel that erupts within the
- 647 acceleration phase of the CME and hence would have found its way to the back of the flux rope.
- The high-speed stream that follows our MC can also compress the material at the back of the
- MC. Irrespective of the origin of the high-density material, its influence on the geoeffectiveness
- 650 is significant. Further progress in understanding the high-density material in ICMEs can be made
- by considering MCs with high-density material but not followed by a high-speed stream.

# 652 **5 Conclusions**

653 We investigated the solar and interplanetary causes behind the third largest geomagnetic storm of

- solar cycle 24 that occurred on 2018 August 26. The solar source is a quiescent filament channel
- containing filament fragments. The eruption of the filament channel is accompanied by a slow
- 656 CME, twin core dimming, and a post eruption arcade, typical of most eruptions. The CME
- acceleration lasted for a day until the CME reached a heliocentric distance of  $\sim$ 50 Rs. The
- continued acceleration is powered by magnetic reconnection beneath the filament channel as
- evidenced by the correspondence among the time profiles of the CME acceleration, time
- derivative of the PEA intensity in EUV, and the rate of change of the reconnected flux. This is
- direct evidence that the CME propelling force can act at distances >50 Rs. The speed at this distance and the total reconnected flux in the eruption agree with the reconnected flux - CME
- 662 distance and the total reconnected flux in the eruption agree with the reconnected flux CME 663 speed relation. Therefore, in every respect (photospheric, chromospheric, and coronal) the CME
- behaves like a normal CME, so it is probably not a good idea to designate it as a stealth CME.
- 665 The one exception is the complete absence of nonthermal radio signatures.
- 666

667 Comparison among the tilt angles of the photospheric neutral line, filament channel, the lines connecting the dimming regions, axis of the GCS flux rope, and axis of the 1-au MC point to a 668 complex rotation of the CME flux rope between the Sun and Earth. We suggest that the multiple 669 coronal holes located near the filament channel creates a situation where differential magnetic 670 forces act on the CME axis causing deflections of different extent at different locations. The net 671 result is an early counterclockwise rotation. In the interplanetary medium, the solar wind from 672 the coronal hole on the east side of the filament channel is likely to have pushed the northern part 673 of the CME westward, resulting in the clockwise rotation and hence the high inclination of the 674 MC.

675 676

We focus on the time structure of the main phase and the peak storm strength. Complex time profiles of the Dst index in the storm main phase can occur when the dynamic pressure and Ey vary. Under Bz < 0 condition, the dynamic pressure primarily defines the time profile. When the

- dynamic pressure is low, Ey defines the time structure. Both of these are affected by the solar
- 681 wind density. The strength of the 2018 storm is significantly larger than what is predicted by
- empirical formulas of Dst (up to a factor of 2). The total RCE obtained from the CIMI model and
- the time integral of the ring current injection are consistent with the high storm intensity when

- the solar wind dynamic pressure is incorporated into the definition of the ring current injection,
- Q. A comparison of the 2018 storm with the 2010 and 2014 storms point to the enhanced proton
- density (and hence the dynamic pressure) inside the 2018 MC as the primary factor behind the
- unusually high storm intensity. The storm intensification coincides with the arrival of the high-
- density material at Earth. The empirical relations seem to work well when the MC density is not
- significantly enhanced. The 32 storms considered in this work are due to Bz < 0 intervals in
- different types of interplanetary structures: MCs, shock sheaths, and CIR.

# 691 Acknowledgments

- <sup>692</sup> This work benefited greatly from NASA's open data policy in using SDO, SOHO, STEREO,
- 693 OMNI, and Wind data. STEREO is a mission in NASA's Solar Terrestrial Probes program. The
- 694 Dst index used in this paper was provided by the WDC for Geomagnetism, Kyoto
- 695 (http://wdc.kugi.kyoto-u.ac.jp/wdc/Sec3.html). SOHO is a project of international collaboration
- between ESA and NASA. Preliminary results of this investigation were presented at the
- 697 International Space Weather Action Teams (ISWAT) inaugural meeting held during 10-14
- 698 February 2020 in Cape Canaveral, Florida. Work supported by NASA's Living With a Star
- 699 Program. PM is supported in part by the NSF grant AGS-2043131.

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