Juniper Networks MX10003 3D Universal Edge Router with JNP-MIC1-MACSEC MACSec MIC and EX9253 Ethernet Switch with EX9253-6Q12C-M MACSec Line Card

Firmware: Junos OS 19.3R1

Non-Proprietary FIPS 140-2 Cryptographic Module Security Policy

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1 Introduction

This is a non-proprietary Cryptographic Module Security Policy for the Juniper Networks MX10003 3D Universal Edge Router with JNP-MIC1-MACSEC MACSec MIC and EX9253 Ethernet Switch with EX9253-6Q12C-M MACSec Line Card . The MX series provides dedicated high-performance processing for flows and sessions and integrates advanced security capabilities that protect the network infrastructure as well as user data. The EX9200 series enables collaboration and provides simple and secure access for the delivery of mission-critical applications in the enterprise campus. In the data center, it simplifies operations to align the network with fast-changing business requirements.

This FIPS 140-2 validation includes the MX10003 router and the EX9253 switch. The FIPS validated version of firmware is Junos OS 19.3R1.

The cryptographic boundary for the MX10003 and EX9253 is defined as follows for the validation:

- The outer edge of the chassis including the Routing Control Board (RCB) (which integrates the Routing Engine (RE) and Control Board functions into a single management unit) in the following configurations:
  - For MX10003 (2 available RCB slots, 2 additional slots): 1 RCB (1 Built-in: JNP10003-RE1) and at least 1 MACsec MIC (JNP-MIC1-MACSEC) inserted into 1 MPC (JNP10003-LC2103). All empty module bays must have a slot cover installed for proper cooling air circulation.

  - For EX9253 (2 available RCB slots, 2 additional slots): 1 RCB (1 Built-in: EX9253-RE) and at least 1 MACsec Line Card (EX9253-6Q12C-M). All empty module bays must have a slot cover installed for proper cooling air circulation.

- Includes the inverse three-dimensional space where non-crypto-relevant line cards fit, with the backplane port serving as the physical interface.
- Excluding the power distribution module on the rear of the device.

The cryptographic modules provide for an encrypted connection, using SSH, between the management station and the module. The cryptographic modules also provide for an encrypted connection, using MACSec, between devices. All other data input to or output from the modules are considered plaintext for this FIPS 140-2 validation.

The cryptographic modules are defined as multiple-chip standalone modules that execute Junos OS 19.3R1 firmware on the Juniper Networks MX10003 3D Universal Edge Router and the Juniper Networks EX9253 Ethernet Switch as listed in Table 1 below.

Table 1 – Cryptographic Module Hardware Configurations

Chassis PN	Power PN	RCB PN	MACSec LineCard/MIC
MX10003	JNP-PWR1600-AC JNP-PWR1100-DC	JNP10003-RE1 (RE: RE-S-1600x8; CB: Control Board)	JNP-MIC1-MACSEC inserted into an MPC JNP10003-LC2103
EX9253	JNP-PWR1600-AC JNP-PWR1100-DC	EX9253-RE (RE: EX9253-RE; CB: Control Board)	EX9253-6Q12C-M

The modules are designed to meet FIPS 140-2 Level 1 overall:

Table 2 – Security Level of Security Requirements

Area	Description	Level
1	Module Specification	1
2	Ports and Interfaces	1
3	Roles, Services, and Authentication	3
4	Finite State Model	1
5	Physical Security	1
6	Operational Environment	N/A
7	Key Management	1
8	EMI/EMC	1
9	Self-test	1
10	Design Assurance	3
11	Mitigation of Other Attacks	N/A
	Overall	1

The modules have a limited operational environment as per the FIPS 140-2 definitions. It includes a firmware load service to support necessary updates. New firmware versions within the scope of this validation must be validated through the FIPS 140-2 CMVP. Any other firmware loaded into the modules are out of the scope of this validation and require a separate FIPS 140-2 validation.

The modules do not implement any mitigations of other attacks as defined by FIPS 140-2.

1.1 Hardware and Physical Cryptographic Boundary

The cryptographic modules' operational environment is a limited operational environment.

The images below depict the physical boundary of the modules, which includes the Routing Engines and the MACSec card (the JNP-MIC1-MACSEC MIC for the MX10003 and the EX9253-6Q12C-M Line Card for the EX9253). The boundary excludes the non-crypto-relevant line cards included in the figure. The modules exclude the power supplies from the requirements of FIPS 140-2. The power supplies do not contain any security relevant components and cannot affect the security of the module.
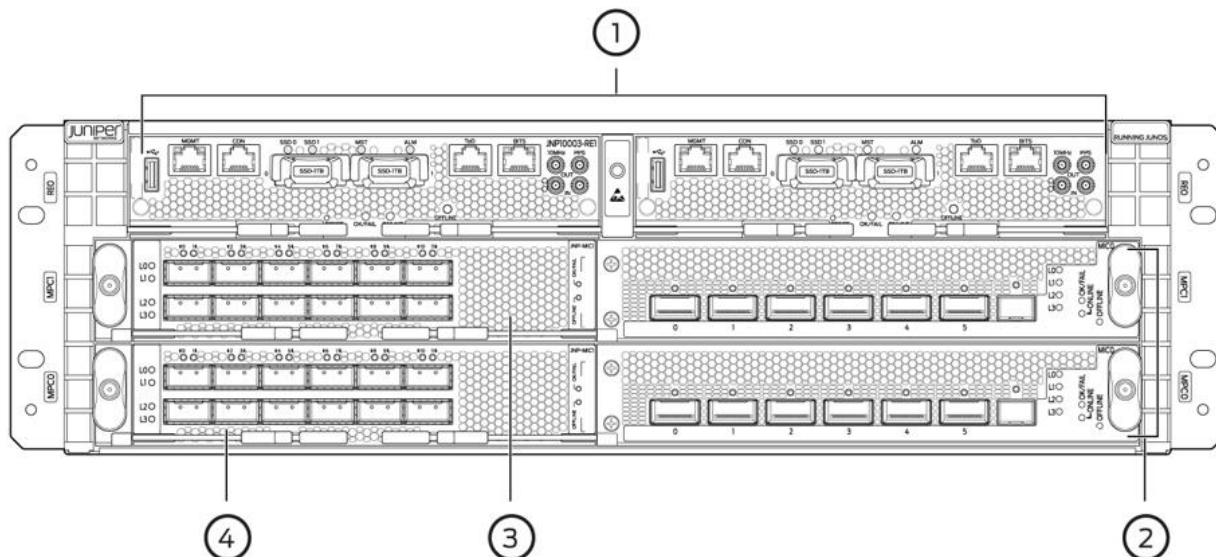
Figure 1 – Physical Cryptographic Boundary (MX10003/EX9253 Front View)

1 — Routing and Control Boards (RCBs)	3 — MIC installed in MIC1 slot of MPC1
2 — MPCs	4 — MIC installed in MIC1 slot of MPC0
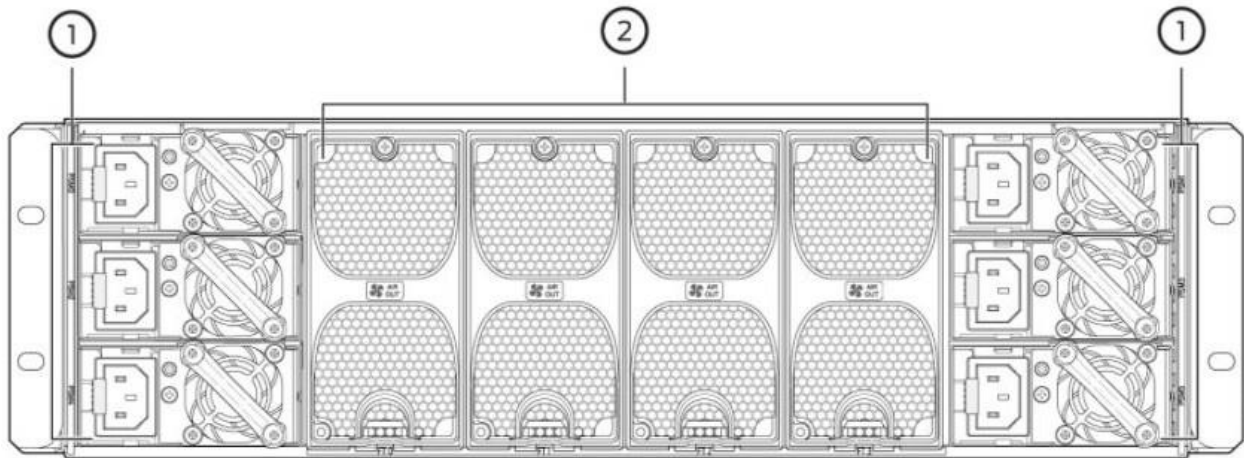
Figure 2 – Physical Cryptographic Boundary (MX10003/EX9253 Rear View)

1 — Power supply modules | 2 — Fan modules
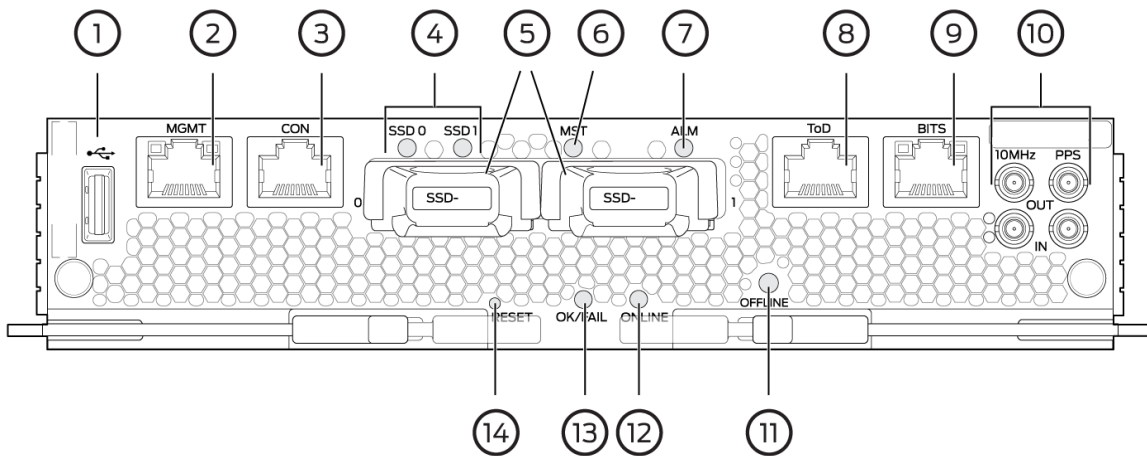
Figure 3 – Routing Control Board (RCB)

1—USB port	8—Time of day (ToD) port with LEDs
	(This port is reserved for future use)
2—Management (MGMT) port	9—BITS port with LEDs
3—Console (CON) port	10—Clocking ports
4—SSD LEDs	11—OFFLINE button
5—SSD slots (0 and 1)	12—ONLINE LED
6—Master (MST) LED	13—OK/FAIL LED
7—Alarm (ALM) LED	14—RESET button
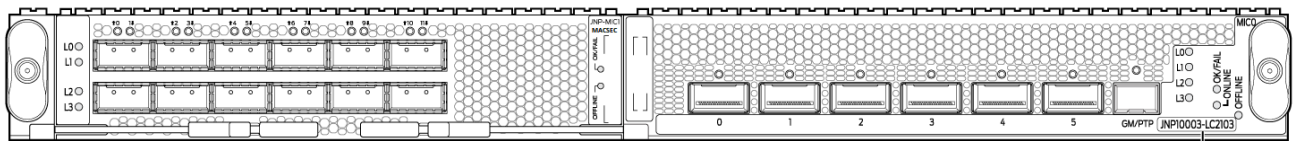
JNP10003-LC2103

Figure 4 – JNP10003-LC2103

The JNP10003-LC2103 supports Multi-Rate Ethernet Modular Interface Card (MIC) (JNP-MIC1-MACSEC as seen on the left in the image above) and the fixed-port PIC (6 built-in QSFP+ ports as seen on the right in the image above). Each of the six QSFP+ ports can be configured as a 40-Gigabit Ethernet port or as four 10-Gigabit Ethernet ports (by using a breakout cable).
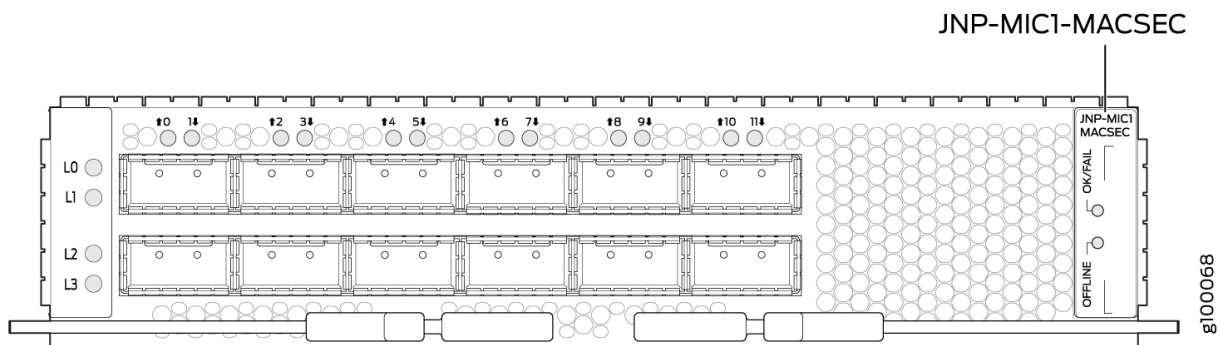
JNP-MIC1-MACSEC

Figure 5 – MACSec MIC JNP-MIC1-MACSEC

The JNP-MIC1-MACSEC has 12 100-Gigabit Ethernet ports. The ports support QSFP28 transceivers. The ports are numbered 0 through 11 (with the MIC orientation as shown in Figure 4). Each port can be configured as a 100-Gigabit Ethernet port, 40-Gigabit Ethernet port, or as four 10-Gigabit Ethernet ports (by using a breakout cable).
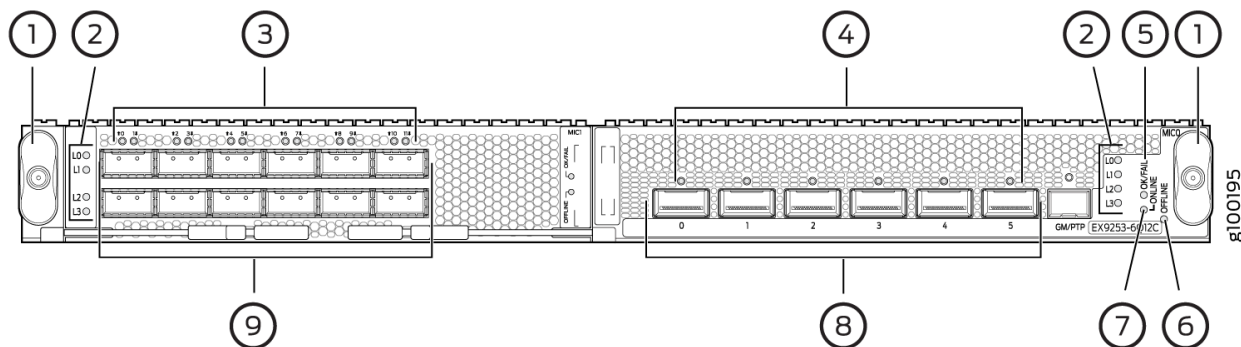
Figure 6 – MACSec Line Card EX9253-6Q12C-M

1—Ejector levers

2—Lane LEDs

3—LEDs for the QSFP28 ports

4—LEDs for the QSFP+ ports

5—OK/FAIL LED

6—ONLINE LED

7—OFFLINE button

8—QSFP+ ports (without MACsec capability)

9—QSFP28 ports (with MACsec capability)

Table 3 – Ports and Interfaces

Port	Device (# of ports)	Description	Logical Interface Type
Ethernet	MX10003: Management port (1), JNP10003-LC2103 interfaces: 40 Gigabit Ethernet/ 4X10-Gigabit Ethernet (using a breakout cable) QSFP+ ports (6) JNP-MIC1-MACSEC MACSec MIC interfaces: 4 10-Gigabit Ethernet (using a breakout cable)/40-Gigabit Ethernet/100-Gigabit Ethernet MACsec-capable QSFP28 ports (12) EX9253: Management port (1), EX9253-6Q12C-M Line Card interfaces: 40 Gigabit Ethernet/ 4X10-Gigabit Ethernet (using a breakout cable) QSFP+ ports (6), 4 10-Gigabit Ethernet (using a breakout cable)/40-Gigabit Ethernet/100-Gigabit Ethernet MACsec-capable QSFP28 ports (12)	LAN Communications/Remote management	Control in, Data in, Data out, Status out
Serial	MX10003 (1), EX9253 (1)	Console serial port	Control in, Data in, Data out Status out
USB	MX10003 (1), EX9253 (1)	USB port - load Junos image	Control in, Data in
Power	MX10003 (6), EX9253 (6)	Power connector	Power
LEDs	MX10003 (10), EX9253	Status indicator lighting	Status out

Port	Device (# of ports)	Description	Logical Interface Type
	(10), JNP10003-LC2103 (11), JNP-MIC1-MACSEC (18), EX9253-6Q12C-M (29)		
Reset Button	MX10003 (1), EX9253 (1)	Reset	Control in
Backplane	MX10003 (1), EX9253 (1)	Line card backplane interface	Control in, Data in, Status out, Data out
BITS	MX10003 (1), EX9253 (1)	Connects the module to external clocking devices	Control in
Clocking ports (1PPS and 10 MHz GPS)	MX10003 (4), EX9253 (4)	connect the module to external clock signal sources	Control in
Time of day (ToD) port	MX10003 (1), EX9253 (1)	connects the module to external timing signal sources	Control in
Offline Button	MX10003 (0), EX9253 (0), JNP-MIC1-MACSEC (0), JNP10003-LC2103 (1), EX9253-6Q12C-M (1)	Power off	Control in

1.2 Modes of Operation

The module supports three FIPS Approved modes of operation and a non-Approved mode of operation. The module must always be zeroized when switching between any FIPS Approved mode of operation and the non-Approved mode of operation and vice versa.

1.2.1 FIPS Approved Mode

The hardware versions contained in Table 1, with Junos OS 19.3R1 installed, contain three FIPS-Approved modes of operation and a non-Approved mode of operation. The Junos OS 19.3R1 firmware image must be installed on the device. The module is configured during initialization to operate in an approved mode or a non-approved mode.

The Crypto-Officer places the module in an Approved mode of operation by following the instructions in cryptographic officer guidance (Section 6.1).

The Crypto-Officer can verify that the cryptographic module is in an Approved mode by observing the console prompt and running the "show version" command. When operating in FIPS mode, the prompt will read "<user>@<device name>:fips>" (e.g. crypto-officer@mx10003:fips>). The "show version" command will allow the Crypto-Officer to verify that the validated firmware version is running on the module. The Crypto-Officer can also use the "show system fips chassis level" command to determine if the module is operating in FIPS mode.

The module supports three Approved modes of operation. The three modes are identified as "FIPS Standard Mode", "FIPS Reduced Throughput Mode" and "FIPS Recovery Mode."

The FIPS Standard Mode is entered when the module is configured for FIPS mode and successfully passes all the power on self-tests (POST) in the routing engine (RE) and in each of the JNP-MIC1-MACSEC MACSec MICs installed in the chassis in case of the MX10003 module (the maximum number of JNP-MIC1-MACSEC MACSec MICs that can be installed in the MX10003 module chassis is 2) and in each of the EX9253-6Q12C-M MACSec Line Cards installed in the chassis in case of the EX9253 module (the maximum number of EX9253-6Q12C-M MACSec Line Cards that can be installed in the EX9253 module chassis is 2). The FIPS Standard Mode supports the approved and allowed algorithms, functions and protocols identified in Table 4 – 11. The services available in this mode are described in Tables 14 and 16.

The FIPS Reduced Throughput mode is automatically selected by the module at power-up when all the power on self-tests (POST) in the routing engine (RE) pass successfully, at least one JNP-MIC1-MACSEC MACSec MIC in case of the MX10003 module chassis or at least one EX9253-6Q12C-M MACSec Line Card in case of the EX9253 chassis passes all of its self-tests, and the other JNP-MIC1-MACSEC MACSec MIC in the MX10003 module chassis or the other EX9253-6Q12C-M MACSec Line Card in the EX9253 chassis fails one or more of its self-tests. In this mode, the module offers reduced throughput MACsec services. The FIPS Reduced Throughput Mode supports the approved and allowed algorithms, functions and protocols identified in Table 4 – 11. The services available in this mode are described in Tables 14 and 16.

The FIPS Recovery Mode is automatically selected when the module is configured for FIPS mode, at power-up, when one or more of the POST fail for each of the JNP-MIC1-MACSEC MACSec MICs in the MX10003 module chassis or for each of the EX9253-6Q12C-M MACSec Line Cards in the EX9253 chassis, and all of the RE POST pass successfully. In the FIPS Recovery Mode, the module does not allow MACsec services and shuts down all data ports on each of the JNP-MIC1-MACSEC MACSec MICs in the MX10003 module chassis or on each of the EX9253-6Q12C-M MACSec Line Cards in the EX9253 chassis. The module supports the OpenSSL, LibMD and Kernel algorithms in Table 4-6; the algorithms in Table 10, and the SSH protocol in Table 11 when in the FIPS Recovery mode. The services available in the Recovery mode are described in Table 15 and Table 17.

1.2.2 Non-Approved Mode

The cryptographic module supports a non-Approved mode of operation. When operated in the non-Approved mode of operation, the module supports the algorithms identified in Section 2.2 as well as the algorithms supported in the Approved mode of operation.

The Crypto-Officer can place the module into a non-approved mode of operation by following the instructions in the cryptographic officer guidance (Section 6.1).

1.3 Zeroization

The cryptographic module provides a non-Approved mode of operation in which non-Approved cryptographic algorithms are supported. When transitioning between the non-Approved mode of operation and the FIPS-Approved mode of operation, or vice-versa, the cryptographic officer shall zeroize all keys and CSPs.

Zeroization completely erases all configuration information on the device. The Crypto Officer initiates the zeroization process by entering the *"request vmhost zeroize no-forwarding" (FIPS)* operational command from the CLI after enabling FIPS mode. Use of this command is restricted to the Crypto Officer (The same command can be used to zeroize the system before enabling FIPS mode).

Use of the zeroize command is restricted to the Cryptographic Officer. The cryptographic officer shall perform zeroization in the following situations:

1. Before FIPS Operation: To prepare the device for operation as a FIPS cryptographic module by erasing all CSPs and other user-created data on a device before its operation as a FIPS cryptographic module.
2. Before non-FIPS Operation: To conduct erasure of all CSPs and other user-created data on a device in preparation for repurposing the device for non-FIPS operation.

CAUTION: Perform system zeroization with care. After the zeroization process is complete, no data is left on the Routing Engine. The device is returned to the factory default state, without any configured users or configuration files.

To zeroize the device:

1. From the CLI, enter

      Crypto-officer@device> **request vmhost zeroize no-forwarding**
      warning: System will be rebooted and may not boot without configuration
      Erase all data, including configuration and log files? [yes, no] (no)

2. To initiate the zeroization process, type yes at the prompt:

      Erase all data, including configuration and log files? [yes, no] (no)
yes

3. When the system finishes rebooting the system will be in a factory default state.

Note: The Cryptographic Officer must retain control of the module while zeroization is in process.

2 Cryptographic Functionality

2.1 Allowed Algorithms and Protocols

The module implements the FIPS Approved and Non-Approved but Allowed cryptographic functions listed in Tables 4, 5, 6, 7, 8, 9 and 10 below. Table 11 summarizes the high-level protocol algorithm support. There are some algorithm modes that were tested but not implemented by the module. Only the algorithms, modes, and key sizes that are implemented by the module are shown in this/these table(s).

Table 4 – Kernel Approved Cryptographic Functions

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
C1467	DRBG	SP800-90A	HMAC	SHA-256	Random Bit Generation
C1467	HMAC	PUB 198	SHA-1	Key size: 160 bits, λ = 96	Message Authentication, DRBG Primitive
			SHA-256	Key size: 256 bits, λ = 128, 256	
C1467	SHS	PUB 180-4	SHA-1 SHA-256 SHA-384 SHA-512		Message Digest Generation

Table 5 – LibMD Approved Cryptographic Functions

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
C1468	HMAC	PUB 198	SHA-1	Key size: 160 bits, λ = 96	Message Authentication
			SHA-256	Key size: 256 bits, λ = 128, 256	
C1468	SHS	PUB 180-4	SHA-1 SHA-256 SHA-512		Message Digest Generation

Table 6 – OpenSSL Approved Cryptographic Functions

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
C1469	AES[1]	PUB 197-38A	CBC, CTR, ECB	Key Sizes: 128, 192, 256	Encrypt, Decrypt
N/A[2]	CKG	SSH-PUB 133	Section 6.1 Section 6.2		Asymmetric key generation using unmodified DRBG output

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[1] The AES-ECB mode was used for testing the AES-CTR mode.
[2] Vendor Affirmed

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
N/A[3]	KAS-SSC	SP 800-56Arev3	ECC DH	P-256 (SHA 256) P-384 (SHA 384) P-521 (SHA 512)	Key Agreement Scheme - Shared Secret Computation (KAS-SSC) per SP 800-56Arev3 and Key Derivation per SP 800-135 (SSH KDF CVL Cert. #C1469)
C1469	CVL	SP 800-135	SSH	SHA 1, 256, 384, 512	Key Derivation
C1469	DRBG	SP 800-90A	HMAC	SHA-256	Random Number Generation
C1469	ECDSA	PUB 186-4		P-256 (SHA 256) P-384 (SHA 384) P-521 (SHA 512)	SigGen, KeyGen, SigVer, PKV
C1469	HMAC	PUB 198	SHA-1	Key size: 160 bits, $\lambda = 160$	Message Authentication
			SHA-224	Key size: 224 bits, $\lambda = 192$	
			SHA-512	Key size: 512 bits, $\lambda = 512$	
			SHA-256	Key size: 256, bits, $\lambda = 256$	Message Authentication, DRBG Primitive
C1469	KTS		AES Cert. #C1469 and HMAC Cert. #C1469		key establishment methodology provides between 128 and 256 bits of encryption strength
			Triple-DES Cert. #C1469 and HMAC Cert. #C1469		key establishment methodology provides 112 bits of encryption strength
C1469	RSA	PUB 186-4		n=2048 (SHA 256, 512) n=3072 (SHA 256, 512) n=4096 (SHA 256, 512)	KeyGen, SigGen, SigVer[4]
C1469	SHS	PUB 180-4	SHA-1 SHA-256 SHA-384 SHA-512		Message Digest Generation, KDF Primitive

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[3] Vendor Affirmed as per IG D.1-rev3
[4] RSA 4096 SigVer was not tested by the CAVP; however, it is Approved for use per CMVP guidance, because RSA 2048 SigVer was tested and testing for RSA 4096 SigVer is not available.

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
			SHA-224		Message Digest Generation
C1469	Triple-DES	SP 800-67	TCBC	Key Size: 192	Encrypt, Decrypt

Table 7 – QuickSec Approved Cryptographic Functions

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
C1470	HMAC	PUB 198	SHA-256	Key size: 256, bits, λ = 256	
C1470	SHS	SP800- 180-4	SHA-256		Message Digest Generation, KDF Primitive
C1470	DRBG	SP800-90A	HMAC	SHA-256	Random Bit Generation

Table 8 – MACSec Approved Cryptographic Functions

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
C1471	AES	SP800- 197- 38A	ECB, CBC	Key Sizes: 128, 256	AES CMAC
		SP800-38D	CMAC	Key Sizes: 128,256	Key Derivation SP 800-108: Used to generate MACsec keys
		SP800-35F	KW	Key Size: 128	Key Wrapping for MACsec keys
C1471	KDF	SP 800-108	Counter	CMAC AES128 CMAC AES256	KDF for MACsec keys
N/A	KTS		AES # C1471		Key Wrapping

Table 9 – JNP-MIC1-MACSEC/EX9253-6Q12C-M BCM82391 Chip

CAVP Cert.	Algorithm	Standard	Mode	Description	Functions
4545	AES	SP800-38D	GCM	Key Sizes: 128,256	Key Derivation SP 800-108: Used to generate MACsec keys

Table 10 – Allowed Cryptographic Functions

Algorithm	Caveat	Use
NDRNG IG 7.14 Scenario 1a	The module generates a minimum of 256 bits of entropy for key generation.	Seeding the DRBG

Table 11 – Protocols Allowed in FIPS Mode

Protocol	Key Exchange	Auth	Cipher	Integrity
MACsec MKA	MACsec Key Agreement	Shared secret	GCM-AES-128 GCM-AES-256	HMAC-SHA-256
SSHv2[5]	EC Diffie-Hellman P-256, P-384, P-521	RSA 2048,4096 ECDSA P-256	Triple-DES CBC AES CBC 128/192/256 AES CTR 128/192/256	HMAC-SHA-1 HMAC-SHA2-256 HMAC-SHA2-512

No part of these protocols, other than the KDF, have been tested by the CAVP and CMVP. The MACsec and SSH algorithms allow independent selection of key exchange, authentication, cipher and integrity. In Table 11 above, each column of options for a given protocol is independent and may be used in any viable combination.

The modules can take on the role of Peer or Authenticator in reference to the MACsec protocol. The AES GCM IV construction is performed in compliance with IEEE 802.1AE and its amendments.

2.2 Disallowed Algorithms and Protocols

These algorithms and protocols are non-Approved algorithms and protocols that are disabled when the module is operated in an Approved mode of operation. The algorithms are available as part of the SSH connect service when the module is operated in the non-Approved mode.

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[5] RFC 4253 governs the generation of the Triple-DES encryption key for use with the SSHv2 protocol

Algorithms

- RSA with key size less than 2048
- ECDSA with ed25519 curve
- ECDH with ed25519 curve
- ARCFOUR
- Blowfish
- CAST
- DSA (SigGen, SigVer; non-compliant)
- HMAC-MD5
- HMAC-RIPEMD160
- UMAC
- Diffie-Hellman
- Chacha20
- Poly
- OpenSSL AES-GCM

Protocols

- Finger
- ftp
- rlogin
- telnet
- tftp
- xnm-clear-text

2.3 Critical Security Parameters

All CSPs and public keys used by the module are described in this Section. The CSPs in Table 12 are used in the FIPS Standard and FIPS Reduced Throughput Modes. The FIPS Recovery Mode uses a subset of the CSPs found in Table 12. The MACsec CSPs are not available for use in FIPS Recovery Mode of operation.

Table 12 – Critical Security Parameters (CSPs)

Name	Description and usage
DRBG_Seed	Seed material used to seed or reseed the DRBG
DRBG_State	Values V and Key which comprise the HMAC_DRBG state
Entropy Input	256 bits entropy (min) input used to instantiate the DRBG
ECDH Shared Secret	The Diffie-Hellman shared secret used in EC Diffie-Hellman (ECDH) exchange. Created per the EC Diffie-Hellman protocol. Provides between 128-256 bits of security.
SSH PHK	SSH Private host key. 1st time SSH is configured, the keys are generated. ECDSA P-256. RSA 2048
SSH ECDH	Ephemeral EC Diffie-Hellman private key used in SSH. ECDH P-256, P-384, or P-521
SSH-SEKs	SSH Session Keys: SSH Session Encryption Key: 3-Key Triple-DES or AES (128,192,256); SSH Session Integrity Key: HMAC (SHA-1, SHA-256, SHA2-512).

Name	Description and usage
MACsec CAK	User-configured PSK entered when MACsec using static connectivity association key (CAK) security mode is enabled (32 characters).
MACsec CKN	User-configured PSK used to identify the CAK (64 characters).
MACsec SAK	Security Association Key used to encrypt/decrypt traffic for a given session. Derived from CAK using KDF SP 800-108. (128-bit AES).
MACsec KEK	Key Encryption Key used to transmit SAK to other members of a MACSec connectivity association. Derived from CAK using KDF SP 800-108. (128-bit AES).
MACsec ICK	Integrity Check Key used to verify the integrity and authenticity of MPDUs. Derived from CAK using KDF SP 800-108. (128/256-bit CMAC).
HMAC Key	The LibMD HMAC keys: message digest for hashing password and critical function test.
User Password	Passwords used to authenticate Users to the module.
CO Password	Passwords used to authenticate COs to the module.

Table 13 – Public Keys

Name	Description and usage
SSH-PUB	SSH Public Host Key used to identify the host. ECDSA P-256. RSA 2048, 4096.
SSH-ECDH-PUB	Ephemeral EC Diffie-Hellman public key used in SSH key establishment. ECDH P-256, P-384, or P-521
Auth-User Pub	User Authentication Public Keys. Used to authenticate users to the module. ECDSA P-256, P-384, or P-521; RSA 2048, 4096
Auth-CO Pub	CO Authentication Public Keys. Used to authenticate CO to the module. ECDSA P-256, P-384, or P-521; RSA 2048, 4096
Root CA	ECDSA P-256 X.509 Certificate; Used to verify the validity of the Juniper Package CA at software load and also at runtime for integrity.
Package CA	ECDSA P-256 X.509 Certificate; Used to verify the validity the Juniper Image at software load and also at runtime for integrity.

3   Roles, Authentication and Services

3.1 Roles and Authentication of Operators to Roles

The module supports two roles: Cryptographic Officer (CO) and User. The module supports concurrent operators but does not support a maintenance role and/or bypass capability. The module enforces the separation of roles using identity-based operator authentication.

The Cryptographic Officer role configures and monitors the module via a console or SSH connection. As root or super-user, the Cryptographic Officer has permission to view and edit secrets within the module.

The User role monitors the router via the console or SSH. The User role cannot change the configuration.

3.2 Authentication Methods

The module implements two forms of Identity-Based authentication, Username and password over the Console and SSH as well as Username and ECDSA or RSA public key over SSH.

Password authentication: The module enforces 10-character passwords (at minimum) chosen from the 96 human readable ASCII characters. The maximum password length is 20-characters. Thus, the probability of a successful random attempt is $1/96^{10}$, which is less than 1/1 million.

The module enforces a timed access mechanism as follows: For the first two failed attempts (assuming 0 time to process), no timed access is enforced. Upon the third attempt, the module enforces a 5-second delay. Each failed attempt thereafter results in an additional 5-second delay above the previous (e.g. 4th failed attempt = 10-second delay, 5th failed attempt = 15-second delay, 6th failed attempt = 20-second delay, 7th failed attempt = 25-second delay).

This leads to a maximum of 7 possible attempts in a one-minute period for each getty. The best approach for the attacker would be to disconnect after 4 failed attempts and wait for a new getty to be spawned. This would allow the attacker to perform roughly 9.6 attempts per minute (576 attempts per hour/60 mins); this would be rounded down to 9 per minute, because there is no such thing as 0.6 attempts. The probability of a success with multiple consecutive attempts in a one-minute period is $9/(96^{10})$, which is less than 1/100,000.

ECDSA signature verification: SSH public-key authentication. The module supports ECDSA (P-256, P-384, and P-521), which has a minimum equivalent computational resistance to attack of either 2^{128}, 2^{192} or 2^{256} depending on the curve. Thus, the probability of a successful random attempt is $1/(2^{128})$, which is less than 1/1,000,000. Configurable SSH connection establishment rate limits the number of connection attempts, and thus failed authentication attempts in a one-minute period to a maximum of 15,000 attempts. The probability of a success with multiple consecutive attempts in a one-minute period is $15,000/(2^{128})$, which is less than 1/100,000.

RSA signature verification: SSH public-key authentication. The module supports RSA (2048, 4096), which has a minimum equivalent computational resistance to attack of 2^{112} (2048). Thus, the probability of a successful random attempt is $1/(2^{112})$, which is less than 1/1,000,000. Configurable SSH connection establishment rate limits the number of connection attempts, and thus failed authentication attempts in

a one-minute period to a maximum of 15,000 attempts. The probability of a success with multiple consecutive attempts in a one-minute period is 15,000/ (2^{112}), which is less than 1/100,000.

3.3 Approved and Allowed Services

All services implemented by the module are listed in the tables below. Table 18 lists the access to CSPs by each service.

Table 14 – Authenticated Services in FIPS Standard and Reduced Throughput Modes

Service	Description	CO	User
Configure security	Security relevant configuration	x	
Configure	Non-security relevant configuration	x	
Secure Traffic	MACsec encrypted transfer of data	x	
Status	Show status	x	x
Zeroize	Destroy all CSPs	x	
SSH connect	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
MACsec connect	Initiate MACsec connection	x	
Console access	Console monitoring and control (CLI)	x	x
Remote reset	Software initiated reset, performs self-tests on demand.	x	
Load Image	Verification and loading of a validated firmware image	x	

Table 15 – Authenticated Services in FIPS Recovery Mode

Service	Description	CO	User
Configure security	Security relevant configuration	x	
Configure	Non-security relevant configuration	x	
Status	Show status	x	x
Zeroize	Destroy all CSPs	x	
SSH connect	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
Console access	Console monitoring and control (CLI)	x	x
Remote reset	Software initiated reset, performs self-tests on demand.	x	
Load Image	Verification and loading of a validated firmware image	x	

Table 16 – Unauthenticated Services in FIPS Standard and Reduced Throughput Modes

Service	Description
Local reset	Hardware reset or power cycle
Traffic	Traffic requiring no cryptographic services (e.g. OSPF, BGP)
LED Status	Basic

Table 17 – Unauthenticated Services in Recovery Mode

Service	Description
Local reset	Hardware reset or power cycle
LED Status	Basic

Table 18 – CSP Access Rights within Services

Service	CSPs														
	DRBG_Seed	DRBG_State	Entropy Input	ECDH Shared Secret	SSH PHK	SSH ECDH	SSH-SEK	MACsec SAK	MACsec CAK	MACsec CKN	MACsec KEK	MACsec ICK	HMAC Key	CO-PW	User-PW
Configure security	--	E	--	GW R	GW R	--	--	GW R	W R	W R	G W	G W	G	W	W
Configure	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Secure traffic	--	--	--	--	--	--	--	E	--	--	E	--	--	--	--
Status	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Zeroize	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z
SSH connect	--	E	--	E	E	GE	GE	--	--	--	--	--	--	E	E
MACsec connect	--	E	--	--	--	--	--	GE	--	--	GE	GE	--	--	--
Console access	--	--	--	--	--	--	--	--	--	--	--	--	--	E	E
Remote reset	GE Z	GZ	GZ	Z	--	Z	Z	Z	--	--	--	Z	--	--	--
Load Image	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Local	GE	GZ	GZ	Z	--	Z	Z	Z	--	--	--	Z	--	--	--

Service	CSPs														
	DRBG_Seed	DRBG_State	Entropy Input	ECDH Shared Secret	SSH PHK	SSH ECDH	SSH-SEK	MACsec SAK	MACsec CAK	MACsec CKN	MACsec KEK	MACsec ICK	HMAC Key	CO-PW	User-PW
reset	Z														
Traffic	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

G = Generate: The module generates the CSP
R = Read: The CSP is read from the module (e.g. the CSP is output)
E = Execute: The module executes using the CSP
W = Write: The CSP is updated or written to the module (persistent storage) Z = Zeroize: The module zeroizes the CSP.

3.4 Non-Approved Services

The following services are available in the non-Approved mode of operation. The security functions provided by the non-Approved services are identical to the Approved counterparts with the exception of SSH Connect (non-compliant). SSH Connect (non-compliant) supports the security functions identified in Section 2.2 and the SSHv2 row of Table 11.

Table 19 – Non-Approved Authenticated Services in FIPS Standard and Reduced Throughput Modes

Service	Description	CO	User
Configure security (non-compliant)	Security relevant configuration	x	
Configure (non-compliant)	Non-security relevant configuration	x	
Secure Traffic (non-compliant)	MACsec encrypted transfer of data	x	
Status (non-compliant)	Show status	x	x
Zeroize (non-compliant)	Destroy all CSPs	x	
SSH connect (non-compliant)	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
MACsec connect (non-compliant)	Initiate MACsec connection	x	
Console access (non-compliant)	Console monitoring and control (CLI)	x	x
Remote reset (non-compliant)	Software initiated reset, performs self-tests on demand	x	
Load Image (non-compliant)	Verification and loading of a validated firmware image into the switch.	x	

Table 20 – Non-Approved Authenticated Services in FIPS Recovery Mode

Service	Description	CO	User
Configure security (non-compliant)	Security relevant configuration	x	
Configure	Non-security relevant configuration	x	
Status (non-compliant)	Show status	x	x
Zeroize (non-compliant)	Destroy all CSPs	x	
SSH connect (non-compliant)	Initiate SSH connection for SSH monitoring and control (CLI)	x	x
Console access (non-compliant)	Console monitoring and control (CLI)	x	x
Remote reset (non-compliant)	Software initiated reset, performs self-tests on demand	x	
Load Image (non-compliant)	Verification and loading of a validated firmware image into the switch.	x	

Table 21 – Non-Approved Unauthenticated Services

Service	Description
Local reset	Hardware reset or power cycle
Traffic	Traffic requiring no cryptographic services (e.g. OSPF, BGP)
LED Status	Basic

4 Self-tests

Each time the module is powered up it tests that the cryptographic algorithms still operate correctly, and that sensitive data have not been damaged. Power-up self–tests are available on demand by power cycling the module (Remote reset service).

On power up or reset, the module performs the self-tests described below. All KATs must be completed successfully prior to any other use of cryptography by the module in the FIPS Standard and Reduced Throughput Modes of operation. If any one of the Routing Engine KATs fails, the module enters the Error state. If all the RE KATs pass and at least one JNP-MIC1-MACSEC MACSec MIC in the MX10003 module chassis or at least one EX9253-6Q12C-M MACSec Line Card in the EX9253 chassis passes all of its self-tests, and at least one JNP-MIC1-MACSEC MACSec MIC in the MX10003 module chassis or at least one EX9253-6Q12C-M MACSec Line Card in the EX9253 chassis fails one or more of its self-tests, the module selects the FIPS Reduced Throughput Mode of operation and the module offers reduced throughput MACsec services. If all the RE KATs pass and each of the JNP-MIC1-MACSEC MACSec MICs in the MX10003 module chassis or each of the EX9253-6Q12C-M MACSec Line Cards in the EX9253 chassis fails one or more of its KATs, the module selects the FIPS Recovery Mode of operation and all JNP-MIC1-MACSEC algorithms for the MX10003 or all EX9253-6Q12C-M algorithms for the EX9253 are prevented from being used.

The module performs the following power-up self-tests:

Routing Engine (RE)
- **Firmware Integrity check**: using ECDSA P-256 with SHA-256
- **Kernel KATs**
  - SP 800-90A HMAC DRBG KAT
    - Health-tests initialize, re-seed, and generate
  - HMAC-SHA-1 KAT
  - HMAC-SHA-256 KAT
  - SHA-384 KAT
  - SHA-512 KAT
- **OpenSSL KATs**
  - AES-CBC (128/192/256) Encrypt KAT
  - AES-CBC (128/192/256) Decrypt KAT
  - SP 800-90A HMAC DRBG KAT
    - Health-tests initialize, re-seed, and generate
  - ECDSA P-256 Sign/Verify PCT
  - HMAC-SHA-1 KAT
  - HMAC-SHA-224 KAT
  - HMAC-SHA-256 KAT
  - SHA-384 KAT
  - HMAC-SHA-512 KAT
  - KAS-ECC KAT
  - KDF-SSH KAT
  - RSA 2048 w/ SHA-256 Sign KAT

- RSA 2048 w/ SHA-256 Verify KAT
- Triple-DES-CBC Encrypt KAT
- Triple-DES-CBC Decrypt KAT
- **LibMD KATs**
  - HMAC-SHA-1
  - HMAC-SHA-256
  - SHA-512
- **QuickSec KATs**
  - SP 800-90A HMAC DRBG KAT
    - Health-tests initialize, re-seed, and generate
  - HMAC-SHA-256 KAT
- **MacSec KATs**
  - AES128-CMAC KAT
  - AES256-CMAC KAT
  - AES-ECB (128/256) Encrypt KAT
  - AES-ECB (128/256) Decrypt KAT
  - AES-KEYWRAP KAT
  - SP 800-108 KBKDF KAT

- **JNP-MIC1-MACSEC/ EX9253-6Q12C-M**
  - **BCM82391 Chip**
    - AES GCM KAT

- Critical Function Test
  - The cryptographic module performs a verification of a limited operational environment, and verification of optional non-critical packages.

The module also performs the following conditional self-tests:

- Continuous RNG Test on the SP 800-90A HMAC-DRBGs in the OpenSSL and Quicksec libraries.
- Continuous RNG test on the NDRNG.
- Pairwise consistency test when generating ECDSA, and RSA key pairs.
- Firmware Load Test (ECDSA signature verification).

5 Physical Security Policy

The modules physical embodiment is that of a multi-chip standalone device that meets Level 1 Physical Security requirements. The module is completely enclosed in a rectangular nickel or clear zinc coated, cold rolled steel, plated steel and brushed aluminum enclosure. There are no ventilation holes, gaps, slits, cracks, slots, or crevices that would allow for any sort of observation of any component contained within the cryptographic boundary.

6   Security Rules and Guidance

The module design corresponds to the security rules below. The term *shall* in this context specifically refers to a requirement for correct usage of the module in the Approved mode; all other statements indicate a security rule implemented by the module.

1. The module clears previous authentications on power cycle.
2. When the module has not been placed in a valid role, the operator does not have access to any cryptographic services.
3. Power up self-tests do not require any operator action.
4. Data output is inhibited during key generation, self-tests, zeroization, and error states.
5. Status information does not contain CSPs or sensitive data that if misused could lead to a compromise of the module.
6. There are no restrictions on which keys or CSPs are zeroized by the zeroization service.
7. The module does not support a maintenance interface or role.
8. The module does not support manual key entry.
9. The module does not output intermediate key values.
10. The module requires two independent internal actions to be performed prior to outputting plaintext CSPs.
11. The cryptographic officer shall verify that the firmware image to be loaded on the module is a FIPS validated image. If any non-validated firmware image is loaded the module will no longer be a FIPS validated module.
12. The cryptographic officer shall retain control of the module while zeroization is in process.
13. If the module loses power and then it is restored, then a new key shall be established for use with the AES GCM encryption/decryption processes.
14. The operator shall ensure that the number of 64-bit blocks encrypted by the same key does not exceed 2^20 with a single Triple-DES key when Triple-DES is the encryption algorithm for SSH.
15. Virtual Chassis is not supported in FIPS mode and shall not be configured on the modules.
16. RSA key generated shall only be 2048 bits or greater.
17. The module shall only be used with CMVP FIPS 140-2 validation modules when supporting the MACsec protocol for providing Peer, Authenticator functionality.
18. The link between the Peer and Authenticator, used in the MACsec communication, shall be secure to prevent the possibility for an attacker to introduce foreign equipment into the local area network.
19. The module shall not be configured to use a radius server and the radius server capability shall be disabled.
20. 3-key Triple-DES has been implemented in the module and is FIPS approved until December 31, 2023. Should the CMVP disallow the usage of Triple-DES post December 31, 2023, then users must not configure Triple-DES.

6.1 Cryptographic-Officer Guidance

The cryptographic officer must check to verify the firmware image on the device is the FIPS 140-2 validated image. If the image is the FIPS 140-2 validated image, then proceed to Section 6.1.2.

6.1.1 Installing the FIPS-Approved Firmware Image

Download the validated firmware image from the https://www.juniper.net/support/downloads/junos.html. Log in to the Juniper Networks authentication system using the username (generally your e-mail address) and password supplied by Juniper Networks representatives. Select the validated firmware image. Download the firmware image to a local host or to an internal software distribution site.

Connect to the console port on the device from your management device and log in to the Junos OS CLI. Copy the firmware package to the device to the /var/tmp/ directory. Install the new package on the device:

> user@device> request vmhost software add /var/tmp/*package*.tgz.

NOTE: If you need to terminate the installation, do not reboot your device; instead, finish the installation and then issue the request system software delete *package*.tgz command, where *package*.tgz is, for example, junos-vmhost-install-mx-x86-64-19.3R1.8.tgz. This is your last chance to stop the installation.

Reboot the device to load the installation and start the new firmware image:

> user@device> request vmhost reboot

After the reboot has completed, log in and use the show version command to verify that the new version of the firmware is successfully installed.

Also install the fips-mode package and jpfe-fips package needed for enabling FIPS mode and running KATS respectively. The following are the commands used for installing these packages:

> user@device >request system software add optional://fips-mode.tgz

> user@device >request system software add optional://jpfe-fips.tgz

6.1.2 Enabling FIPS-Approved Mode of Operation

The cryptographic officer is responsible for initializing the module in a FIPS-Approved mode of operation. The FIPS-Approved mode of operation is not automatically enabled. The cryptographic officer shall place the module in the FIPS-Approved mode by first zeroizing the device to delete all keys and CSPs. The instructions for zeroizing the module are in Section 1.3 of this document. Next, the cryptographic officer shall follow the steps found in the *Junos OS FIPS Evaluated Configuration Guide for MX10003 and EX9253 Devices, Release 19.3R1* document Chapters 3 & 7 to place the module into a FIPS-Approved mode of operation. The steps from the aforementioned document are repeated below:

The FIPS Modes are not automatically enabled once the firmware image is installed on the platform. These steps are for putting the module into the FIPS Standard Mode, FIPS Reduced Throughput Mode or FIPS Recovery Mode.

The FIPS Standard Mode will be selected automatically if all power-on self-tests pass successfully during the reboot after committing the module to FIPS mode. The FIPS Reduced Throughput Mode of operation is selected automatically if all the RE KATs pass and at least one JNP-MIC1-MACSEC MACSec MIC in the MX10003 module chassis or at least one EX9253-6Q12C-M MACSec Line Card in the EX9253 chassis passes all of its self-tests, and the other JNP-MIC1-MACSEC MACSec MIC in the MX10003 module chassis or the other EX9253-6Q12C-M MACSec Line Card in the EX9253 chassis fails one or more of its self-tests. The FIPS Recovery Mode is selected automatically if all the RE KATs pass and if each of the JNP-MIC1-MACSEC MACSec MICs in the MX10003 module chassis or each of the EX9253-6Q12C-M MACSec Line Cards in the EX9253 chassis fails one or more of its KATs.

To enable FIPS mode in Junos OS on the device:

1. Zeroize the device as explained in Section 1.3. Once device comes up in amnesiac mode post zeroize, connect to device using console port with username "root", enter the configuration mode and configure the root-authentication password, then configure crypto-officer credentials. The root authentication password can be configured as follows:

    root@device> edit
    Entering configuration mode
    [edit]
    root@device# set system root-authentication plain-text-password
    New password:
    Retype new password:

    [edit]
    crypto-officer@device# **commit**
    configuration check succeeds
    commit complete

2. Login to the device with crypto-officer credentials and enter configuration mode:
   crypto-officer@device> edit
   Entering configuration mode
   [edit]
   crypto-officer@device#

3. Enable FIPS mode on the device by setting the FIPS level to 1, and verify the level:

   [edit]
   crypto-officer@device # **set system fips chassis level 1**

   [edit]
   crypto-officer@device # **show system fips**
   chassis level 1;

4. Commit the configuration

   [edit]
   crypto-officer@device# **commit**
   configuration check succeeds
      Generating RSA key /etc/ssh/fips_ssh_host_key
      Generating RSA2 key /etc/ssh/fips_ssh_host_rsa_key
      Generating ECDSA key /etc/ssh/fips_ssh_host_ecdsa_key
      [edit]
      'system'
       reboot is required to transition to FIPS level 1
       commit complete

5. Reboot the device:

    [edit]
   crypto-officer@device# **run request vmhost reboot**
   Reboot the system ? [yes,no] (no) **yes**

   During the reboot, the device runs Known Answer Tests (KATS). It returns a login
   prompt:

   crypto-officer@device:fips>

6. After the reboot has completed, log in and use the "show version" command to verify the
   firmware version is the validated version.

   crypto-officer@device:fips> show version

6.1.3 Placing the Module in a Non-Approved Mode of Operation

As cryptographic officer, the operator needs to disable the FIPS-Approved mode of operation on the device to return it to a non-Approved mode of operation. To disable FIPS-Approved mode on the device, the device must be zeroized.   Follow the steps found in Section 1.3 to zeroize the device.

6.2 User Guidance

The user should verify that the module is operating in the desired mode of operation (FIPS-Approved mode or non-Approved mode) by observing the command prompt when logged into the device. If the string ":fips" is present, then the device is operating in a FIPS-Approved mode. Otherwise it is operating in a non-Approved mode.

All FIPS users, including the Crypto Officer, must observe security guidelines at all times.

All FIPS users must:
- • Keep all passwords confidential.
- • Store devices and documentation in a secure area.
- • Deploy devices in secure areas.
- • Check audit files periodically.
- • Conform to all other FIPS 140-2 security rules.
- • Follow these guidelines:
    - • Users are trusted.
    - • Users abide by all security guidelines.
    - • Users do not deliberately compromise security.
    - • Users behave responsibly at all times.

7 References and Definitions

The following standards are referred to in this Security Policy.

Table 22 – References

Abbreviation	Full Specification Name
[FIPS140-2]	*Security Requirements for Cryptographic Modules*, May 25, 2001
[SP800-131A]	*Transitions: Recommendation for Transitioning the Use of Cryptographic Algorithms and Key Lengths*, January 2011
[IG]	*Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program*

Table 23 – Acronyms and Definitions

Acronym	Definition
AES	Advanced Encryption Standard
CAK	Connectivity Association Key
CKN	Connectivity Association Key Name
DH	Diffie-Hellman
DSA	Digital Signature Algorithm
ECDH	Elliptic Curve Diffie-Hellman
ECDSA	Elliptic Curve Digital Signature Algorithm
EMC	Electromagnetic Compatibility
FIPS	Federal Information Processing Standard
HMAC	Keyed-Hash Message Authentication Code
ICV	Integrity Check Value (i.e. Tag)
ICK	Integrity Check Key
KEK	Key Encrypting Key
MACsec	Media Access Control Security
MD5	Message Digest 5
RE	Routing Engine
RSA	Public-key encryption technology developed by RSA Data Security, Inc.
SCB	Switch Control Board
SHA	Secure Hash Algorithms
SSH	Secure Shell
Triple-DES	Triple - Data Encryption Standard

Table 24 – Datasheets

Model	Title	URL
MX10003	MX10003 5G UNIVERSAL ROUTING PLATFORM	https://www.juniper.net/assets/us/en/local/pdf/datasheets/1000619-en.pdf
EX9253	EX9250 Ethernet Switch	https://www.juniper.net/assets/us/en/local/pdf/datasheets/1000632-en.pdf