

Electronic Commerce Client Requirements Document (V4.0)

Chapter 23
Single Window
Initiative

Addendum 1.0 of the Integrated Import Declaration

April 2019 Addendum 1.0 PROTECTION SERVICE INTEGRITY INTEGRITÉ PROTECTION SERVICE INTEGRITY INTÉGRITÉ PROTECTION SERVICE INTEGRITY INTÉGRITÉ PROTECTION SERVICE INTEGRITY INTÉGRITÉ PROTECTION SERVICE INTEGRITY INTÉGRITÉ PROTECTION SERVICE INTEGRITÉ PROTECTION SERVICE INTÉGRITÉ PROTECTION SERVICE INTÉGRITÉ PROTECTION SERVICE INTÉGRITÉ PROTECTION SERVICE INTÉGRITY INTÉGRITY INTÉGRITY INTÉGRITÉ PROTECTION SERVICE INTEGRITY INTÉGRITÉ PROTECTION







# **Revision History**

Date Changes Made	Version	Changes Made	Changes Made By
March, 2019	0.1	Initial draft version	Murray Guthrie
April, 2019	1.0	Final edits made by CBSA	Murray Guthrie



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# 1 Introduction

#### 1.1 Background

The Single Window Initiative (SWI) was identified as one of 32 joint priorities within the Perimeter Security and Economic Competitiveness Action Plan (hereinafter the "Action Plan") announced on December 7, 2011 that would facilitate trade and align regulatory approaches to protect health, safety and the environment while supporting growth. The Action Plan commits the Canada Border Services Agency (CBSA) and U.S. Customs and Border Protection (U.S. CBP) to providing traders with a single window through which they can electronically submit all information required to comply with government import regulations, resulting in more efficient border processes.

Importers/Brokers (IBs) will no longer be required to send duplicate information, in duplicate formats, via different delivery mechanisms to multiple agencies to meet regulatory requirements. The **Integrated Import Declaration (IID)** includes all CBSA required data as well as all data essential for PGAs to make border-related decisions and acts as the foundation for the modernization of government business processes to eliminate requirements for presentation of paper documents at the border.

#### 1.2 Document Purpose

The main vehicle for communicating the transaction details and usage of the IID has been the IID Electronic Commerce Requirements Document (ECCRD), which has been published in successive versions since the spring of 2013. The latest version of the IID ECCRD is v4.0, published April 2017.

This Addendum outlines the changes to be applied to version 4.0 of the IID ECCRD. The changes listed within this addendum will be included in the next full version of the IID ECCRD (v5.0), which will be published in the summer of 2019.

The complete set of requirements for the IID is now:

- The IID ECCRD v4.0 from April 2017;
- CBSA Bulletin **TCC18-168** (sent on December 13, 2018)
- CBSA Bulletin TCC19-024 (sent on February 22, 2019)
- The Participants Requirements Document (PRD) Image Functionality v1.5 from September 2017.
- ECCRD Addendum v1.0 (this document)

The changes identified within this Addendum range from minor corrections/additional instructions to PGA legislative and regulation rule changes. Please note that any item highlighted as a **Rule Change or Code Change** will be available in the Testing environment in May 2019. Following a 5-month period for client testing, the changes identified in this addendum will be migrated into CBSA's Production environment in October 2019.



#### 1.3 Contact Information

# **Policy Support**

Program and Policy Management Division, OGD Programs Unit

cbsa.sw\_program-programme\_du\_gu.asfc@cbsa-asfc.gc.ca

#### **Technical Support**

#### Technical Commercial Client Unit (Client Testing/Onboarding)

- To obtain a copy of the IID Test/Certification Package
- To apply, test or certify for the IID
- To request a copy of the SWI IID ECCRD or PRD DIF
- For questions relating to the exchange of data with the CBSA

Email: tccu-ustcc@cbsa-asfc.gc.ca

**Fax:** 343-291-5482

#### Mailing address:

Canada Border Services Agency 355 North River Road, 6th Floor, Tower B Ottawa ON K1A 0L8

#### **Technical Commercial Client Unit (Production Technical Support)**

#### **Business hours**

- Monday to Friday (except holidays)
- 8 a.m. to 5 p.m. (ET)
- Refer to our <u>Services document</u> to find out more about support provided during and after business hours.

#### Telephone:

- Canada and the United States: 1-888-957-7224
  - Option 1 for EDI transactions
  - Option 2 for Technical Portal Assistance
- Overseas: 613-946-0762

Email: <u>tccu-ustcc@cbsa-asfc.gc.ca</u> (for non-urgent correspondence only)



# 2 Corrections to ECCRD V4.0 (Published April 2017)

The following is a list of corrections to version 4.0 of the SWI ECCRD, published in April 2017.

# 2.1 Appendix A: IID EDIFACT Inbound MIG

1) In element 7009 'Item Description Code' of SG117.IMD (page 95), **remove** the following from the Usage / Remark section:

The following codes are for use with Item Characteristic 180 (Chemical Specification)

• CNSC Various - See Table 1 in the CNSC appendix (B2.1.3)

**Business Justification** – As per the CNSC appendix (B2.1.2/page 187), the chemical specification values are to be entered in element 7008 (Item description). For clients who have completed IID certification, there are no system changes required.

# 2.2 Appendix B: Inbound MIG PGA Appendices

#### Global Affairs Canada

#### 1) SG117.CNT (page 255) - Commodity Unit Count

Listed under the "Qualifier" section for the Commodity Unit Count, the previous SWI ECCRD publications mistakenly identified Appendix G6 as the reference for possible units of count. Instead, clients should refer to Appendix G7 for valid units of count:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Quantity Unit Count	С	The number of units for each commodity line must be provided, Either a size (MEA) or a count (CNT) segment must be provided for this commodity line to be valid.  The Unit of Measure provided must align to the HS tariff expectations for this commodity line and should be provided as either a size or a count as appropriate.	Refer to Appendix G7 for possible count UOMs	



# 2) SG128.COD (page 258) - Component Ingredient Details

The data element status for Fibre Country of Origin, Yarn Country of Origin, and Fabric Country of Origin will be changed from M (mandatory) to C (conditional):

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG128 COD (Q) 7505 (E) 1131	Component/ Ingredient Details	Fibre Country of Origin	C	For clothing and textile commodities, the coded (as per appendix G24) country of origin of the <b>fibre</b> raw material component of the textile must be provided, qualified with the following:  • FI – Fibre	FI	
SG128 COD (Q) 7505 (E) 1131	Component/ Ingredient Details	Yam Country of Origin	C	For clothing and textile commodities, the coded (as per appendix G24) country of origin of the <b>yarn</b> raw material component of the textile must be provided, qualified with the following:  • YA – Yarn	YA	
SG128 COD (Q) 7505 (E) 1131	Component/ Ingredient Details	Fabric Country of Origin	C	For clothing and textile commodities, the coded (as per appendix G24) country of origin of the <b>fabric</b> raw material component of the textile must be provided, qualified with the following:  • FA – Fabric	FA	

**Business Justification** – The above elements are not applicable to all GAC programs and therefore are only mandatory for Clothing and Textiles. For clients who have completed IID certification, there are no system changes required.



# 3 Updates to the April 2017 ECCRD v4.0

#### 3.1 Appendix A: IID EDIFACT Inbound MIG

#### **RULE/CODE CHANGE**

1) In the segment description for SG13 **Additional Inspection/Exception Details** (pages 61-63), the following (highlighted) wording will be **added:** 

Counter No	Tag	St	MaxOcc	Level	Name
0550	SG13	С	99	1	Additional Inspection/Exception Details

This segment group is used to provide any additional details that may be required by PGAs for exceptional processing or inspection scheduling.

This segment group indicates the PGA(s) exception processing is applicable. Include additional iterations within this segment group for each PGA or CBSA processing requirement. This segment group should only be used to provide PGA Processing Exception Codes that are applicable to **all** commodity lines on this entire declaration. To submit PGA Process Exception Codes that only apply to specific commodity lines, use SG125.

0560 25 RCS M 1 Requirements and conditions

#### **RULE/CODE CHANGE**

2) The segment name for SG125 Compliance Statements (pages 132/133), will be renamed Compliance Statements / Exception Details. SG125.RCS will become a dual use element for clients to provide PGA Compliance Statements as well as PGA Exception Process Codes at the commodity level. Codes beginning with 'X' will be designated for PGA Exception Process Codes. Codes beginning with 'M' will be designated for PGA Compliance Statement Codes.



#### **RULE/CODE CHANGE**

3) In the segment description for SG125 (pages 132/133) the following (highlighted) wording will be **added:** 

Counter	No	Tag	St	MaxOcc	Level	Name
5650		SG125	С	99	4	Compliance Statements / Exception Details

This segment group is used to provide attestations of the importer (or other parties) to various compliance statements. See PGA appendices for details regarding compliance statements.

Use this segment group to provide PGA Processing Exception Codes that are applicable to individual commodity lines. To submit a PGA's Exception Process Code that applies to all commodity lines, use SG13.

5660 79 RCS M 1 4 Requirements and conditions

**Business Justification** – Production issues have been identified for PGA Programs who have multiple exception codes. By adding Processing Exception Codes at SG125.RCS, clients will be able to identify exception codes which apply to specific commodity lines.

**Note:** All existing PGA Exception Codes and PGA Compliance Statement Codes from ECCRD V4.0 will be replaced. Refer to section 3.3 of this addendum to obtain the new PGA coded values.



# 3.2 Appendix B: Inbound MIG PGA Appendices

# 3.2.1 - Environment and Climate Change Canada - Wildlife Enforcement

#### **CODE CHANGE**

1) In B3.3.2 Data Element Rules/Conditions, the following Compliance Statement Codes will be **updated:** 

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG 125 RCS (Q) 3055 (E) 7295	PGA Compliance statement (coded)	Identification of ECCC regulated goods	M	Indicate whether or not these goods are subject to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) as regulated in Canada under the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) or are listed in Schedule II of the Wild Animal and Plant Trade Regulations (WAPTR).  • Yes • No		ME17 ME18

# 3.2.2 - Environment and Climate Change Canada - Vehicle and Engine Emissions Program - On-Road Vehicles, Engines and Equipment

#### **RULE/CODE CHANGE**

1) In B3.5.1 Data Element Rules/Conditions, the following rows will be **added**:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Contact Identification	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Fax Number	0	The fax number of a person knowledgeable about the specific shipment of goods.	FX	



SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC – On-Road Vehicles, Engines and Equipment (XE01).  If a client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods then they are not required to declare goods upon import. Authorized clients may provide code XE05 in this field in lieu of code XE01.  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS). If only one exception process code is applicable to the entire declaration for the Vehicle and	22	XE01 XE99 XE05
				Emissions Program, provide this code at the declaration level (SG13.RCS).		

# RULE/CODE CHANGE

# 2) In B3.5.1 Data Element Rules/Conditions, the following rows will be **updated**:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC – On-Road Vehicles, Engines and Equipment (XE01).  If a client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods then they are not required to declare goods upon import. Authorized clients may provide code XE05 in this field in lieu of code XE01.  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS).	22	XE01 XE99 XE05



IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance, coded	Affirmation of Statement Compliance	M	At least one of the following compliance statements must be provided, as appropriate for this commodity.  National Emissions Mark EPA Certified or Considered Equivalent to EPA Certified Canada Unique Vehicles, Engines Incomplete Vehicles or Engines The Affirmation of Statement Compliance of Incomplete Vehicles or Engines, code MEO4, must be provided for Incomplete Vehicles or Engines.  Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.	22	ME01 ME02 ME03 ME04

# 3.2.3 - Environment and Climate Change Canada - Vehicle and Engine Emissions Program - Off-Road Compression (Diesel) Engines

# RULE/CODE CHANGE

1) In 3.6.1 Data Element Rules/Conditions, the following rows will be **added:** 

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Contact Identification	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Fax Number	0	The fax number of a person knowledgeable about the specific shipment of goods.	FX	



SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC – Off-Road Compression (Diesel) Engines. (XE02).  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS). If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, provide this code at the declaration level (SG13.RCS).	22	XE02 XE99
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# RULE/CODE CHANGE

# 2) In 3.6.1 Data Element Rules/Conditions, the following rows will be **updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC – Off-Road Compression (Diesel) Engines (XE02).  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS).	22	XE02 XE99

SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance, coded	Affirmation of Statement Compliance	M	At least one of the following compliance statements must be provided, as appropriate for this commodity.  National Emissions Mark EPA Certified and Sold Concurrently in Canada and the U.S. Transition and Sold Concurrently in Canada and the U.S Canada Unique Engines Incomplete Engines The Affirmation of Statement Compliance of Incomplete Engines, code ME09, must be provided if the Engine Class is "Incomplete Engine".  Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.	22	ME05 ME06 ME07 ME08 ME09
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# 3.2.4 - Environment and Climate Change Canada - Vehicle and Engine Emissions Program - Off-Road Small Spark-Ignition (Gasoline) Engines

#### **RULE/CODE CHANGE**

1) In 3.7.1 Data Element Rules/Conditions, the following rows will be **added:** 

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Contact Identification	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Fax Number	0	The fax number of a person knowledgeable about the specific shipment of goods.	FX	
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).	22	XE03 XE99

SG125 RCS (Q) 3055	RCS Process Process	С	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:	22	XE03 XE99
(E) 7295		ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).			
			If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code <b>XE99</b> in this field.		
			If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS). If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, provide this code at the declaration level (SG13.RCS).		



# RULE/CODE CHANGE

# 2) In 3.7.1 Data Element Rules/Conditions, the following rows will be **updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS).	22	XE99

SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Characteristic	Name of the engine Family	M	The name of the engine family must be provided.  "Engine Family Name" means an alphanumerical code that a manufacturer uses for engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer's self-designated identifier). This code can be found on the emission control information label affixed to the engine. A similar code also exists for engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).	EFN	
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance, coded	Affirmation of Statement Compliance	M	At least one of the following compliance statements must be provided, as appropriate for this commodity.  National Emissions Mark EPA Certified and Sold Concurrently in Canada and the U.S. Canada Unique Engines Incomplete Engines The Affirmation of Statement Compliance of Incomplete Engines, code ME12, must be provided for Incomplete Engines.  Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.	22	ME05 ME10 ME11 ME12



# 3.2.5 - Environment and Climate Change Canada - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles

#### **RULE CHANGE**

1) In 3.8.1 Data Element Rules/Conditions, the following rows will be **added**:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Contact Identification	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E)3148	Contact Method	Importer's Fax Number	0	The fax number of a person knowledgeable about the specific shipment of goods.	FX	
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles (XE04).  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS). If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, provide this code at the declaration level (SG13.RCS).	22	XE04 XE99
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance, coded	Criteria conformance	C	One of the following compliance statements must be provided for the engine, as appropriate for this commodity.  National Emissions Mark (Engines) EPA Certified, Sold Concurrently in Canada and the U.S., and bears emission control information label (Engines) Canada Unique Engines Incomplete Engines Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.	22	ME19 ME20 ME21 ME22



# RULE/CODE CHANGE

# 2) In 3.8.1 Data Element Rules/Conditions, the following rows will be **updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:  ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles (XE04).  If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.  Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS).	22	XE04 XE99

SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Commodity Characteristic (Engine)	Engine Manufacturer Name	C	The name of the engine manufacturer must be provided, if the coded class of the engine is:  Inboard Engine Outboard Engine Personal Watercraft Engine  Note: For an engine installed in/on a marine vessel, the vessel information must be provided separately.	135	ENG500
SG117 IMD (Q) 7081 (E) 7008	Commodity Characteristic (brand name)	Make of Vehicle	С	The make of the vehicle must be provided if the coded class of the vehicle is:  Vessel with installed marine engine  Vessel without marine engine  Snowmobile  All-terrain vehicle  Utility vehicle  Off-Road Motorcycle  Note: For vessels with installed marine engines, the engine information must be provided separately.	223	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Commodity Characteristic (Engine)	Make of Engine	С	The make of the engine must be provided if the coded class of the engine is:  Inboard Engine  Outboard Engine  Personal Watercraft Engine  Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.	135	ENG501



SG117 IMD (Q) 7081 (E)7008	Commodity Name (model)	Model of Vehicle	С	The model name of the vehicle must be provided if the coded class of the vehicle is:  Vessel with installed marine engine  Vessel without marine engine  Snowmobile  All-terrain vehicle  Utility vehicle  Off-Road motorcycle  Note: For vessels with installed marine engines, the engine information must be provided separately.	221	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Commodity Characteristic (Engine)	Model of Engine	С	The model of the engine must be provided if the coded class of the engine is:  Inboard Engine Outboard Engine Personal Watercraft Engine  Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.	135	ENG502
SG117 IMD (Q) 7081 (E) 7008	Commodity name (model year)	Model Year of vehicle	С	The model year of the vehicle must be provided in CCYY format if the coded class of the vehicle is:  Vessel with installed with marine engine Vessel without marine engine Snowmobile All-terrain vehicle Utility vehicle Off-Road Motorcycle  Note: For vessels with installed marine engines, the engine information must be provided separately.	228	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Commodity Characteristic (Engine)	Model Year of engine	С	The model year of the engine must be provided in CCYY format if the coded class of the engine is:  Inboard Engine Outboard Engine Personal Watercraft Engine  Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.	135	ENG503
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Vehicle Class	С	The coded class of the vehicle must be provided, if applicable.  Vessel with installed marine engine Vessel without marine engine Snowmobile All-terrain vehicle Utility vehicle Off-Road Motorcycle  Note: For vessels with installed marine engines, the engine information must be provided separately.  For additional information on vehicles classes, please refer to the Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations.	EC01	EC25 EC26 EC27 EC28 EC29 EC31



SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	С	The coded class of the engine must be provided, if applicable.  Inboard Engine Outboard Engine Personal Watercraft Engine  Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.  For additional information on engine classes, please refer to the Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations.	EC02	EC44 EC45 EC46
SG119 NAD (Q) 3035 (E) 3036	Manufacturer	Vehicle Manufacturer Name	С	If the coded class of the vehicle is one of the following, the name of the vehicle manufacturer of must be provided if not provided at SG102 or if different than the Manufacturer Name provided at SG102:  Vessel with installed marine engine Vessel without marine engine Snowmobile All-terrain vehicle Utility vehicle Off-Road Motorcycle  Note: For vessels with installed marine engines, the engine information must be provided separately.	MF	
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance, coded	Criteria conformance	C	One of the following compliance statements must be provided for the vessel or vehicle, as appropriate for this commodity.  National Emissions Mark (Vessels/Vehicles) EPA Certified, Sold Concurrently in Canada and the U.S., and bears emission control information label (Vehicles/Vessels) Canada Unique Vehicles/Vessels Incomplete Vehicles/Vessels Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.	22	ME13 ME14 ME15 ME16



# 3.2.6 - Fisheries and Oceans Canada - Aquatic Invasive Species Program

#### **CODE CHANGE**

1) In B4.2.2 Data Element Rules/Conditions, the following Compliance Statement Codes will be **updated**:

IID Segmen t & Tags	Data Element Name	PGA Element Definitio n	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance, Coded	Acting on the Direction of	С	If non-eviscerated Asian Carps or Zebra or quagga mussels are being imported, and are not prohibited under the AIS Regulations, then at least one of the following corresponding identifiers must be provided confirming that the import is by a person who is an employee or a person acting under the direction of one of the following:  Corresponding identifier:   • Educational Institution • Research Facility • Aquarium • Zoo • Federal department with a mandate to manage or control aquatic invasive species • Provincial department with a mandate to manage or control aquatic invasive species	20	MF01 MF02 MF03 MF04 MF05 MF06

# 3.2.7 - Global Affairs Canada

#### **CODE CHANGE**

1) In B5.1.2 Data Element Rules/Conditions, the following Compliance Statement Code will be **updated:** 

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	Compliance Statement	Resident Importer Certification	С	For all shipment-specific permits, whether an application or a reference to an existing one, this element must contain the code for the following statement to which the importer is attesting to. That is:  "The importer of this commodity certifies that they are a resident of Canada, that is, either in the case of a natural person, a person who ordinarily resides in Canada and, in the case of a corporation, a corporation having its head office in Canada or operating a branch office in Canada."	3	MG01



# 3.2.8 - Health Canada - Cells, Tissues and Organs

#### **RULE/CODE CHANGE**

1) In B6.3.2 Data Element Rules/Conditions, the following rows will be:

# **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Processes	Exception Processes	С	Indicate any exceptional processing required for this transaction.  The Specific HC exceptional processing that applies to cells, tissues and organs is:  • Lymphohematopoietic cells and organs  Lymphohematopoietic cells and organs are exempt from having to provide Establishment Registration Numbers. (SG9 and SG121)  If all commodities on the IID are Lymphohematopoietic cells and organs and are exempt, provide the exception process code at the declaration level (SG13.RCS). If the exception applies only to specific commodities on the IID, the exception process code must be reported at the commodity level (SG125.RCS).	12	XH01

SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	Indicate any exceptional processing required for this commodity.	22	XH01
(E) 7295				The Specific HC exceptional processing that applies to cells, tissues and organs is:  • Lymphohematopoietic cells and organs		
				Lymphohematopoietic cells and organs are exempt from having to provide Establishment Registration Numbers. (SG9 and SG121)		
				If all commodities on the IID are Lymphohematopoietic cells and organs and are exempt, provide the exception process code at the declaration level (SG13.RCS). If the exemption applies only to specific commodities on the IID, the exception process code must be reported at the commodity level (SG125.RCS).		



# 3.2.9 - Health Canada Consumer Products

#### **RULE/CODE CHANGE**

1) In B6.4.2 Data Element Rules/Conditions, the following Intended Use and Canadian Product Category sections will be **updated:** 

SG9 DOC 1131	Document Type (Licence, Permit, Certificate, Other)	LPCO Type/ Authorization Type	0	permits, certificat expedite communication. The coded identification level should be papplicable to all commodities, ple	tes and/or other is optional. Finications in case of a referral.  fier of applicable document ty provided in this field. Docume commodities on this declarations provide the document types.	pes being provided at the declaration nt types provided here must be	5006 5007 5037 5038
				Intended Use (SG117 APP)	Canadian Product Category (SG117 PGI)	Document Type(s) (SG9 or SG121) – All Optional	
				For Sale or Distribution	Appliances Children's Products Clothing, Textiles and Accessories Electronics Home and Automobile Maintenance Housewares Outdoor Living Grooming Products & Accessories	Any of the following (multiples may apply):  HC - Consumer Products – Safety Standard Certification HC - Consumer Products – Product Label HC - Consumer Products – Other	
					Sports, Recreation and Hobby Consumer Chemical Cosmetic Appliances Children's Products Clothing, Textiles and	HC - Cosmetics Product Number  Any of the following (multiples may	
				Educational / Research Purposes	Accessories Electronics Home and Automobile Maintenance Housewares Outdoor Living Grooming Products &	apply):  HC - Consumer Products – Safety Standard Certification HC - Consumer Products – Product Label HC - Consumer Products – Other	
					Accessories Sports, Recreation and Hobby Consumer Chemical Cosmetic	HC - Cosmetics Product Number	
				Import for Export	Appliances Children's Products Clothing , Textiles and Accessories Electronics Home and Automobile Maintenance Housewares	Any of the following (multiples may apply):  HC - Consumer Products – Safety Standard Certification HC - Consumer Products – Product Label	
					Outdoor Living Grooming Products & Accessories Sports, Recreation and Hobby Consumer Chemical Cosmetic	HC - Cosmetics Product Number	



SG117 APP (Q) 9049 (E) 1131	Intended End Use	Intended Use	M	The intended end-use of the commodity must be provided. Intended Uses that are applicable to consumer products include:  For Sale or Distribution Educational/Research Purposes Import For Export	ZZZ	HC21 HC21 HC21
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Commodity Type	M	A Canadian Product Category must be provided, depending on the Intended Use Code entered in SG117 APP as follows:  Intended Use (SG117 APP)  Appliances Children's Products Clothing , Textiles and Accessories Electronics Home and Automobile Maintenance Housewares Outdoor Living Grooming Products & Accessories Sports, Recreation and Hobby Consumer Chemical Cosmetic  Appliances Children's Products Clothing , Textiles and Accessories Electronics Home and Automobile Maintenance Appliances Children's Products Clothing , Textiles and Accessories Electronics Home and Automobile Maintenance Housewares Outdoor Living Grooming Products & Accessories Sports, Recreation and Hobby Consumer Chemical Cosmetic	HC11	HC44 HC44 HC55 HC55 HC55 HC53 HC36
				Appliances Children's Products Clothing , Textiles and Accessories Electronics Home and Automobile Maintenance Housewares Outdoor Living Grooming Products & Accessories Sports, Recreation and Hobby Consumer Chemical Cosmetic  The qualifier for field 5389 should be the code for HC – Consumer Products		



SG121 DOC 1131	Document Type (Licence, Permit, Certificate, Other)	LPCO Type/ Authorization Type	0	certificates and/o expedite commu	or other documents is optional nications in case of a referral.		5006 5007 5037 5038
				applicable to a sp	pecific commodity line entry. I ments at the declaration level	nt should be provided in this field if f applicable to all commodities, please (SG9). Acceptable document types for	
				Intended Use (SG117 APP)	Canadian Product Category (SG117 PGI)	Document Type(s) (SG9 or SG121) – All Optional	
					Appliances Children's Products Clothing , Textiles and Accessories	Any of the following (multiples may apply):	
				For Sale or Distribution	Electronics Home and Automobile Maintenance Housewares Outdoor Living	HC - Consumer Products – Safety Standard Certification HC - Consumer Products – Product Label HC - Consumer Products – Other	
					Grooming Products & Accessories Sports, Recreation and Hobby Consumer Chemical		
					Cosmetic	HC - Cosmetics Product Number	
					Appliances Children's Products Clothing , Textiles and	Any of the following (multiples may	
				Educational / Research	Accessories Electronics Home and Automobile Maintenance Housewares	apply):  HC - Consumer Products – Safety Standard Certification HC - Consumer Products – Product Label	
				Purposes	Outdoor Living Grooming Products & Accessories Sports, Recreation and	HC - Consumer Products – Other	
					Hobby Consumer Chemical Cosmetic	HC - Cosmetics Product Number	
					Appliances Children's Products Clothing , Textiles and Accessories	Any of the following (multiples may apply):	
				Import for Export	Electronics Home and Automobile Maintenance Housewares Outdoor Living	HC - Consumer Products – Safety Standard Certification HC - Consumer Products – Product Label	
					Grooming Products & Accessories Sports, Recreation and Hobby	HC - Consumer Products – Other	
					Consumer Chemical	HC Cosmotion Product Number	
					Cosmetic	HC - Cosmetics Product Number	



# 3.2.10 - Health Canada - Donor Semen

#### **CODE CHANGE**

1) In B6.5.2 Data Element Rules/Conditions, the following Compliance Statement Code will be **updated**:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement	Processor Declaration	С	For importations intended for human therapeutic use (SG117 APP), the code indicating the semen processor's certification of the product (as indicated below) must be provided.  "The outer shipping container in which the semen is transported displays clearly, on the outside surface of that container, a declaration, signed by the processor or an authorized agent of the processor, certifying that the semen has been processed in accordance with the Processing and Distribution of Semen for Assisted Conception Regulations and quarantined for a minimum of six months."	12	мно1



# 3.2.11 - Health Canada - Human Drugs (Including Radiopharmaceuticals)

#### CODE/RULE CHANGE

1) In B6.6.2 Data Element Rules/Conditions, the following Intended Use will be **added:** 

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Elemen	nt Rules & Conditi	ions	Qualifier	Codes
SG9 DOC 1131	OC Type Authorization		С	declaration level r provided here mu- If not applicable to at the commodity	must be provided in this field st be applicable to all common of all commodities, please publine level (SG121). Accept ended Use (SG117 APP) a	nodities on this declaration. rovide the document types able documents types		5010 5011 5012 5013
				Intended Use Code	Canadian Product Category	Document Type(s)		
				Human Therapeutic	Human Drug other than Radiopharmaceutical	Establishment Licence (EL) and Drug Identification (DI)		
				Use	Radiopharmaceutical	Establishment Licence (EL)		
				Special Access	Human Drug other than Radiopharmaceutical	Letter of Authorization (LOA)		
					Phase I Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
				Human Clinical Trial	Phase II Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
				Use	Phase III Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
					Phase IV Clinical Trial Drug	Drug Identification (DI)		
				Urgent Public Health Need	Human Drug Other than Radiopharmaceutical	Establishment Licence (EL)		
				Tiodiai Nood	Radiopharmaceutical	Establishment Licence (EL)		
				Research & Development	Human Drug other than Radiopharmaceutical			
				Bovolopiniont	Radiopharmaceutical	None required		
				Other	Human Drug other than Radiopharmaceutical	None required		
					Radiopharmaceutical			



SG117 APP (Q) 9049	Intended End Use	Intended Use	M		use of the commodity must d-use code, additional deta	be provided. Depending ils are required as follows:	ZZZ	HC01 HC02 HC05
(E) 1131				Intended Use Code	Canadian Product Category	Document Type(s)		HC31 HC07
				Human Therapeutic	Human Drug other than Radiopharmaceutical	Establishment Licence (EL) and Drug Identification (DI)		HC29
				Use	Radiopharmaceutical	Establishment Licence (EL)		
				Special Access	Human Drug other than Radiopharmaceutical	Letter of Authorization (LOA)		
					Phase I Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
				Human Clinical Trial Use	Phase II Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
				That Use	Phase III Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
					Phase IV Clinical Trial Drug	Drug Identification (DI)		
				Urgent Public Health Need	Human Drug Other than Radiopharmaceutical	Establishment Licence (EL)		
				Tiodilit Nood	Radiopharmaceutical	Establishment Licence (EL)		
				Research & Development	Human Drug other than Radiopharmaceutical			
				Бетогоринен;	Radiopharmaceutical	None required		
				Other	Human Drug other than Radiopharmaceutical	None required		
					Radiopharmaceutical			



SG117 PGI (Q) 5389	Canadian Product Category	Commodity Type	M	A Canadian Product Category Intended End-Use Code enter	must be provided depending on the red in SG117 APP as follows:	HC05	HC05 HC06 HC07
(E) 5388	,			Intended Use Code	Canadian Product Category (SG117 PGI)		HC08 HC09
				Human Therapeutic Use	Human Drug other than Radiopharmaceutical		HC10
					Radiopharmaceutical		
				Special Access	Human Drug other than Radiopharmaceutical		
					Phase I Clinical Trial Drug		
				Human Clinical Trial Use	Phase II Clinical Trial Drug		
				Human Clinical That Use	Phase III Clinical Trial Drug		
					Phase IV Clinical Trial Drug		
				Urgent Public Health Need	Human Drug Other than Radiopharmaceutical		
				Need	Radiopharmaceutical		
				Research & Development	Human Drug other than Radiopharmaceutical		
					Radiopharmaceutical		
				Other	Human Drug other than Radiopharmaceutical		
					Radiopharmaceutical		
				The qualifier for field 5389 sho	ould be the code for HC – Human Drugs		



SG121 DOC 1131	OC Type (Licence,	LPCO Type/ Authorization Type	С	The coded identifier this field if applicabe all commodities, ple (SG9). Acceptable (SG117 APP) and		5010 5011 5012 5013		
				Intended Use Code	Canadian Product Category	Document Type(s)		
				Human Therapeutic	Human Drug other than Radiopharmaceutical	Establishment Licence (EL) and Drug Identification (DI)		
				Use	Radiopharmaceutical	Establishment Licence (EL)		
				Special Access	Human Drug other than Radiopharmaceutical	Letter of Authorization (LOA)		
				Human Clinical Trial Use  Urgent Public Health Need	Phase I Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
					Phase II Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
					Phase III Clinical Trial Drug	Clinical Trial No Objection Letter (CT NOL)		
					Phase IV Clinical Trial Drug	Drug Identification (DI)		
					Human Drug Other than Radiopharmaceutical	Establishment Licence (EL)		
				ricallii Need	Radiopharmaceutical	Establishment Licence (EL)		
				Research & Development	Human Drug other than Radiopharmaceutical			
				Development	Radiopharmaceutical	None required		
				Other	Human Drug other than Radiopharmaceutical	None required		
					Radiopharmaceutical			



# 3.2.12 - Health Canada - Medical Devices

#### **CODE/RULE CHANGE**

1) In B6.8.2 Data Element Rules/Conditions, the following rows will be:

# **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Processes	Exception Processes	С	Indicate any exceptional processing required for this transaction. The Health Canada exceptional processing that applies to medical devices:  • Medical Device Establishment Licence Exemption  Retailers, health care facilities and manufacturers that are eligible for an exemption to the establishment licensing requirement must indicate their status by providing this exception process code. If all commodities on the IID are exempt, provide the exception process code at the declaration level (SG13.RCS). If the exemption applies only to specific commodities on the IID, the exception process code must be reported at the commodity level (SG125.RCS).  If this exemption is indicated, the Intended Use Code (SG117 APP) must equal Human Therapeutic Use.	12	XH02

SG125 PGA Exception Processes (Q) 3055 Processes (E) 7295	 С	Indicate any exceptional processing required for this transaction.  The Health Canada exceptional processing that applies to medical devices:	12	XH02	
		Medical Device Establishment Licence Exemption			
			Retailers, health care facilities and manufacturers that are eligible for an exemption to the establishment licensing requirement must indicate their status by providing this exception process code. If all commodities on the IID are exempt, provide the exception process code at the declaration level (SG13.RCS). If the exemption applies only to specific commodities on the IID, the exception process code must be reported at the commodity level (SG125.RCS).		
			If this exemption is indicated, the Intended Use Code (SG117 APP) must equal Human Therapeutic Use.		



# 3.2.13 - Health Canada - Pesticides

#### **CODE/RULE CHANGE**

1) In B6.10.2 Data Element Rules/Conditions, the following rows will be:

# **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Processes	Exception Processes	С	Indicate any exceptional processing required for this transaction. Specific Health Canada exceptional processing that may apply includes:  PMRA Scheduled Pest Control Products PMRA Exempt Pest Control Products  Scheduled or exempt pest control products are exempt from having to provide LPCO information (SG9 and/or SG121).  If all commodities on the IID are scheduled or exempt pest control products, provide the exception process code at the declaration level (SG13.RCS). If multiple exception process codes apply to the IID, then each code must be reported at the commodity level (SG125.RCS).	12	XH03 XH04

SG125 RCS (Q) 3055 (E) 7295	PGA Exception Processes	Exception Processes	С	Indicate any exceptional processing required for this transaction. Specific Health Canada exceptional processing that may apply includes:	12	XH03 XH04
(=) . ===				<ul> <li>PMRA Scheduled Pest Control Products</li> <li>PMRA Exempt Pest Control Products</li> </ul>		
				Scheduled or exempt pest control products are exempt from having to provide LPCO information (SG9 and/or SG121).		
				If all commodities on the IID are scheduled or exempt pest control products, provide the exception process code at the declaration level (SG13.RCS). If multiple exception process codes apply to the IID, then each code must be reported at the commodity level (SG125.RCS).		



# 3.2.14 - Public Health Agency of Canada

#### **CODE/RULE CHANGE**

1) In B6.8.2 Data Element Rules/Conditions, the following rows will be:

# **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Processes	Exception Processes	С	Specific activities related to the importations of pathogens and biological toxins may be exempted under the <i>Human Pathogens and Toxins Regulations</i> . Use the following code:  PHAC – Exempt Pathogen/Toxin  Exempted activities are not required to provide LPCO information (SG9 and/or SG121)  If all commodities on the IID are exempted under the <i>Human Pathogens and Toxins Regulations</i> , then provide the exception process code at the declaration level (SG13.RCS). If the exemption applies only to specific commodities on the IID, the exception process code must be reported at the commodity level (SG125.RCS).	23	XP01

SG125 PGA RCS Exception (Q) 3055 Processes	Exception Processes	С	Specific activities related to the importations of pathogens and biological toxins may be exempted under the <i>Human Pathogens and Toxins Regulations</i> . Use the following code:	23	XP01	
(E) 7295				PHAC – Exempt Pathogen/Toxin		
				Exempted activities are not required to provide LPCO information (SG9 and/or SG121)		
				If all commodities on the IID are exempted under the <i>Human Pathogens and Toxins Regulations</i> , then provide the exception process code at the declaration level (SG13.RCS). If the exemption applies only to specific commodities on the IID, the exception process code must be reported at the commodity level (SG125.RCS).		



# 3.2.15 - Transport Canada - Tires Program

#### **CODE/RULE CHANGE**

1) In B9.1.2 Data Element Rules/Conditions, the following rows will be:

# **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Process Type	TC Tires	C	For commodities regulated under the Tires Program, the following code must be provided.  • Tires Program  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the Tires Program. Otherwise, provide this exception code at the commodity level (SG125.RCS) for each regulated commodity.	13	XT01

SG125 RCS (Q) 3055 (E) 7295	Compliance Statement	Affirmation of Compliance for new/retreaded tires from all countries	С	This field must be provided if:  the Country Of Origin is other than the United States the Reason for Import is sale and	13	MT01
				<ul> <li>the Tire Type is on-road and</li> <li>the Tire Class is either new or retreaded and</li> <li>the HS code of the commodity is one of: <ul> <li>4011.10.00</li> <li>4011.20.00</li> <li>4011.40.00</li> <li>4012.11.00</li> <li>4012.19.00</li> </ul> </li> </ul>		
				If the Country of Origin is the United States, this compliance statement is optional.		
				By populating this field with the code corresponding to the below statement, the importer agrees to the following statement that indicates that the tire conforms to the standards of MVTSR on the date of its completion.		
				"I [declare]/[have been authorized by the company referred to in this form to declare, on their behalf,] that the tires referred to in this form conforms to the standards prescribed for a tire of that class by section 3 to 5 of the <i>Motor Vehicle Tire Safety Regulations</i> at the time the tire was manufactured."		



SG125 RCS (Q) 3055 (E) 7295	Compliance Statement	Affirmation of Compliance for new/retreaded tires from the USA	C	This field must be provided if:  the Country Of Origin is the United States the Reason for Import is sale and the Tire Type is on-road and the Tire Class is either new or retreaded and the HS code of the commodity is one of: 4011.10.00 4011.20.00 4011.40.00 4012.11.00 4012.12.00 4012.19.00 If the Country of Origin is the United States, either this compliance statement or the previous "All Countries" one must be provided.  By populating this field with the code corresponding to the below statement, the importer agrees to the following statement that indicates that the tire conforms to the standards of MVTSR on the date of its completion.  "I [declare]/[have been authorized by the company referred to in this form were manufactured for sale in the United States and conform on the day of their manufacture to the requirements established under chapter 301, title 49 of the United States Code."	13	MT02
SG125 RCS (Q) 3055 (E) 7295	Compliance Statement	Affirmation of Compliance for used tires from all countries	С	This field must be provided if:  the Country Of Origin is other than the United States the Reason for Import is sale and the Tire Type is on-road and the Tire Class is used and the HS code of the commodity is one of: 4012.20.10 4012.20.20 4012.20.90  If the Country of Origin is the United States, this compliance statement is optional.  By populating this field with the code corresponding to the below statement, the importer agrees to the following statement that indicates that the tire conforms to the standards of MVTSR on the date of its completion.  "I [declare]/[have been authorized by the company referred to in this form bear a NSM, DOT, or JIS Symbol, are designed to fit on a rim that has a rim diameter greater than 406.4 mm (16 inches) and have a load range of not less than D (ply rating of not less than 8)."	13	MT07



SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance for used tires from the USA	This field must be provided if:  the Country Of Origin is the United States the Reason for Import is sale and the Tire Type is on-road and the Tire Class is used and the HS code of the commodity is one of: 4012.20.10 4012.20.20 4012.20.90  If the Country of Origin is the United States, either this compliance statement or the previous "All Countries" one must be provided.  By populating this field with the code corresponding to the below statement, the importer agrees to the following statement that indicates that the tire conforms to the standard of MVTSR on the date of its completion.  "I [declare]/[have been authorized by the company referred to in this form were manufactured for sale in the United States and conform on the day of their manufacture to the requirements established under chapter 301, title 49 of the United States Code."	s	MT08
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SG125 RCS	PGA Process Type	TC Tires	С	For commodities regulated under the Tires Program, the following code must be provided.	13	XT01
(Q) 3055 (E) 7295				Tires Program		
				If all commodities on this IID fall under the Tires Program regulations, then this exception code may be provided at the declaration level (SG13.RCS).		



# 3.2.16 - Transport Canada - Vehicles Program - CMVSS Appendix F - PRE-CLEARED IMPORTERS (VOLUME GREATER THAN 2,500 PER YEAR)

## CODE/RULE CHANGE

1) In B9.3.1 Data Element Rules/Conditions, the following rows will be:

## **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  TC3 - E01 - Appendix F - Commercial Importation  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the CMVSS Appendix F Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT04

SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT04
(E) 7295	110000			TC3 - E01 - Appendix F - Commercial Importation		
				For each commodity regulated by the CMVSS Appendix F Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		



# 3.2.17 - Transport Canada - Vehicles Program - CMVSS Appendix G - PRE-CLEARED IMPORTERS (VOLUME LESS THAN 2,500 PER YEAR)

## CODE/RULE CHANGE

1) In B9.4.1 Data Element Rules/Conditions, the following rows will be:

## **Updated:**

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC3 – E02 - Appendix G - Commercial Importation  Provide this exception code at the declaration level (SC13.RCS) only if all commodities on the IID are regulated by the CMVSS Appendix G Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT05
SG125 RCS (Q) 3055 (E)7295	Affirmation of Compliance coded	Criteria conformance	М	The importer must certify that a statement of compliance label is attached by providing the code for the following conformance criteria:  • Statement of Compliance Label	13	MT03

SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT05
(E) 7295			TC3 – E02 - Appendix G - Commercial Importation			
				For each commodity regulated by the CMVSS Appendix G Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		



## 3.2.18 - Transport Canada - Vehicles Program - CMVSS Case-By-Case

#### **CODE/RULE CHANGE**

1) In B9.5.1 Data Element Rules/Conditions, the following rows will be:

## **Updated:**

SG13 RCS (Q) 3055 (E) 7295  PGA Exception Process  Exception Process  The following code must be provided for vehicles to which this program's regulations apply:  TC3 - E06 - Case by-Case CMVSS - RIV  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the CMVSS Case-By-Case Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.	XT09
Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	

SG125 RCS (Q) 3055 (E)7295	Affirmation of Compliance, coded	Criteria conformance	M	One of the following two compliance statements must be provided, as appropriate for this commodity.  Statement of Compliance Label Manufacturer Letter Attached  If the Manufacturer Letter Attached is provided, then an Image Attachment of type TC - Manufacturer Letter of Compliance must have been provided for this commodity line.  If the Statement of Compliance Label is provided, then the commodity must have an appropriate compliance label physically attached to if	13	MT03 MT05
				physically attached to it.		

SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT09
(E) 7295	110000			TC3 - E06 - Case by-Case CMVSS - RIV		
				For each commodity regulated by the CMVSS Case-By-Case Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		



## 3.2.19 - Transport Canada - Vehicles Program - FMVSS Standard

#### **CODE/RULE CHANGE**

1) In B9.6.1 Data Element Rules/Conditions, the following rows will be:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC1 – Standard Process  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the FMVSS Standard Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT02

SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance (coded)	Criteria conformance	M	One of the following two compliance statements must be provided, as appropriate for this commodity.  Statement of Compliance Label Manufacturer Letter Attached  If the Manufacturer Letter Attached is provided, then an Image Attachment of type TC - Manufacturer Letter of Compliance must have been provided for this commodity line.  If the Statement of Compliance Label is provided, then the commodity must have an appropriate compliance label physically attached to it.	13	MT03 MT05
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance (coded)	Affirmation of Statement Compliance	M	For FMVSS vehicles, this field is mandatory and by populating it, the importer agrees to the following Affirmation of Statement Compliance:  "I am the owner or agent of the owner of the vehicle described herein. This vehicle shall be modified to Transport Canada standards, with the prescribed time of 45 days and the current owner shall be responsible for any penalties prescribed for failure to do so. I acknowledge that receipt of the Vehicle Import Form and payment of fees are not warranty that the vehicle is necessarily capable of being brought into conformity with applicable law. The vehicle shall be taken to an authorized inspection centre and otherwise processed in accordance with the requirements of the Registrar of Imported Vehicles. I authorize the required service payment."	13	MT06



SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT02
(E) 7295				TC1 – Standard Process		
				For each commodity regulated by the FMVSS Standard Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		

## 3.2.20 - Transport Canada - Vehicles Program - FMVSS Case-By-Case

## CODE/RULE CHANGE

1) In B9.7.1 Data Element Rules/Conditions, the following rows will be:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC1 – E08 - Case-By-Case FMVSS – RIV  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the FMVSS Case-By-Case Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT03
SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance (coded)	Criteria conformance	M	One of the following two compliance statements must be provided, as appropriate for this commodity.  Statement of Compliance Label Manufacturer Letter Attached  If the Manufacturer Letter Attached is provided, then an Image Attachment of type TC - Manufacturer Letter of Compliance must have been provided for this commodity line.  If the Statement of Compliance Label is provided, then the commodity must have an appropriate compliance label physically attached to it.	13	MT03 MT05



SG125 RCS (Q) 7293 (E) 7295	Affirmation of Compliance (coded)	Affirmation of Statement Compliance	M	For FMVSS vehicles, this field is mandatory and by populating it, the importer agrees to the following Affirmation of Statement Compliance:	13	MT06
				"I am the owner or agent of the owner of the vehicle described herein. This vehicle shall be modified to Transport Canada standards, within the prescribed time of 45 days and the current owner shall be responsible for any penalties prescribed for failure to do so. I acknowledge that receipt of the Vehicle Import Form and payment of fees are not warranty that the vehicle is necessarily capable of being brought into conformity with applicable law. The vehicle shall be taken to an authorized inspection centre and otherwise processed in accordance with the requirements of the Registrar of Imported Vehicles. I authorize the required service payment."		

SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:  • TC1 – E08 - Case-By-Case FMVSS – RIV	13	XT03
(2) 1233				For each commodity regulated by the FMVSS Case-By-Case Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		

# 3.2.21 - Transport Canada - Vehicles Program - Age Exemption (GREATER THAN 15 YEARS)

## CODE/RULE CHANGE

1) In B9.8.1 Data Element Rules/Conditions, the following rows will be:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC3 – E04 - Age Exemption  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the Age Exemption Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT07



RCS Co	ffirmation of ompliance oded	Criteria conformance	С	One of the following two compliance statements must be provided, as appropriate for this commodity.  • Statement of Compliance Label • Manufacturer Letter Attached  If the Manufacturer Letter Attached is provided, then an Image Attachment of type TC - Manufacturer Letter of Compliance must have been provided for this commodity line.  If the Statement of Compliance Label is provided, then the commodity must have an appropriate compliance label physically attached to it.	13	MT03 MT05
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SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:  • TC3 – E04 - Age Exemption	13	XT07
(2) 1233				For each commodity regulated by the Age Exemption Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		

# 3.2.22 - Transport Canada - Vehicles Program - CMVSS Returning Vehicles (Original Owner)

## CODE/RULE CHANGE

1) In B9.9.1 Data Element Rules/Conditions, the following rows will be:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC3 – E03 - CMVSS Vehicle Returning by Original Owner  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the CMVSS Returning Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT06



SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance (coded)	Criteria conformance	M	One of the following two compliance statements must be provided, as appropriate for this commodity.  Statement of Compliance Label Manufacturer Letter Attached  If the Manufacturer Letter Attached is provided, then an Image Attachment of type TC - Manufacturer Letter of Compliance must have been provided for this commodity line.  If the Statement of Compliance Label is provided, then the commodity must have an appropriate compliance label physically attached to it.	13	MT03 MT05
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SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT06
(E) 7295	7		TC3 – E03 - CMVSS Vehicle Returning by Original Owner			
		For each commodity regulated by the CMVSS Returning Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).				
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		

## 3.2.23 - Transport Canada - Vehicles Program - Unregulated Vehicles

## CODE/RULE CHANGE

1) In B9.10.1 Data Element Rules/Conditions, the following rows will be:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC3 – E05 - Non-regulated & others  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the Unregulated Vehicles Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT08



SG125 RCS (Q) 3055	PGA Exception Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT08
(E) 7295	1100033			TC3 – E05 - Non-regulated & others		
				For each commodity regulated by the Unregulated Vehicles Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		

## 3.2.24 - Transport Canada - Vehicles Program - Vehicles for Parts

## CODE/RULE CHANGE

1) In B9.11.1 Data Element Rules/Conditions, the following rows will be:

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process	Exception Process	C	The following code must be provided for vehicles to which this program's regulations apply:  • TC3 - E07 - Vehicle for Parts  Provide this exception code at the declaration level (SG13.RCS) only if all commodities on the IID are regulated by the Vehicles for Parts Program. Otherwise, provide the exception code at the commodity level (SG125.RCS) for each regulated commodity.  Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.	13	XT10

SG125 RCS (Q) 3055 (E) 7295	Affirmation of Compliance (coded)	Affirmation of Statement Compliance	M	For vehicles imported for parts, this field is mandatory and by populating it, the importer agrees to the following Affirmation of Statement Compliance:	13	MT04
(4)				"I am the owner or agent of the owner of the vehicle described herein. This vehicle is being imported into Canada to be dismantled for parts and will not be rebuilt or licensed in Canada. I further agree that the declared status for this vehicle to be dismantled for parts cannot be changed. I authorize the required service payment.		



SG125 PGA RCS Exception (Q) 3055 Process	Exception Process	С	The following code must be provided for vehicles to which this program's regulations apply:	13	XT10	
(E) 7295	1 100035			TC3 - E07 - Vehicle for Parts		
				For each commodity regulated by the Vehicles for Parts Program, provide this exception code at the commodity level (SG125.RCS). If all commodities are regulated by this exception process, then provide this code at the declaration level (SG13.RCS).		
				Note – The IID cannot contain multiple (different) Vehicle Program Exception Process codes.		



## 3.3 Appendix G: Code Tables

## 3.3.1 Appendix G14 (PGA Exception Process Qualifier)

As a result of the changes to allow PGA Exception Process Codes at the commodity line level (at SG125.RCS), all existing PGA Exception Codes and PGA Compliance Statement Codes from ECCRD V4.0 will be replaced.

Codes beginning with 'X' will be designated for PGA Exception Process Codes. Codes beginning with 'M' will be designated for PGA Compliance Statement Codes. The PGA Exception Codes identified below in Appendix G14, will also appear in Appendix G23.

1) The following PGA Exception Process Qualifier Codes, found in Appendix G14 (p425-426) of v4.0 of the SWI ECCRD, will be **added and/or replaced**:

Previous Code Tag Value	New Code Tag Value	Code Description	Notes
EC01	XE01 - (applicable to SG13.RCS and SG125.RCS)	ECCC – On-Road Vehicles, Engines and Equipment	For use by Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
EC02	<b>XE02</b> - (applicable to SG13.RCS and SG125.RCS)	ECCC - Off-Road Compression (Diesel) Engines	For use by Vehicle and Engine Emissions Program – Off-Road Compression (Diesel) Engines
EC03	XE03 - (applicable to SG13.RCS and SG125.RCS)	ECCC - Off-Road Small Spark- Ignition (Gasoline) Engines	For use by Vehicle and Engine Emissions Program – Off-Road Small Spark-Ignition (Gasoline) Engines
EC04	XE04 - (applicable to SG13.RCS and SG125.RCS)	ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles	For use by Vehicle and Engine Emissions Program – Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles
Did not exist	XE05 (applicable to SG13.RCS and SG125.RCS)	ECCC - Client Exemption -client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods	For use by Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment



Did not exist	XE99 (applicable to SG13.RCS and SG125.RCS)	ECCC - goods are not subject to any of the Vehicle and Engine Emissions Program regulations	For use by all Vehicle and Engine Emissions Programs
HC01	XH01 - (applicable to SG13.RCS and SG125.RCS)	HC - Cells, Tissues & Organs - Lymphohematopoietic Cells and Organs	For use by HC - Lymphohematopoietic cells and organs are exempt from having to provide Establishment Registration Numbers.
HC02	XH02 - (applicable to SG13.RCS and SG125.RCS)	HC - Medical Devices - Medical Device Establishment Licence Exemption	For use by HC – Retailers, healthcare facilities and manufacturers that are eligible for an exemption to the establishment licensing requirement must indicate their status in this field. If this exemption is indicated, the Intended use Code, must equal Human Therapeutic Usage for all device classes.
HC03	XH03 - (applicable to SG13.RCS and SG125.RCS)	HC - Pesticides - PMRA Scheduled Pest Management Products	For use by HC – Specific HC exceptional processing that may apply include: PMRA Scheduled Pest Management Products.
HC04	XH04 - (applicable to SG13.RCS and SG125.RCS)	HC - Pesticides - PMRA Exempt Pest Management Products	For use by HC - Specific HC exceptional processing that may apply include: PMRA Exempt Pest Management Products.
TC01	XT01 - (applicable to SG13.RCS and SG125.RCS)	TC - Tires Program - Tires Program	For use by TC - For commodities regulated under the Tire Program a code indicating 'Tire Program 'must be provided.



TC02	<b>XT02</b> - (applicable to SG13.RCS and SG125.RCS)	TC - FMVSS Standard - TC1 - Standard Process	For use by TC Vehicle Program - FMVSS - Standard must indicate TC1 - Standard Process.
TC03	XT03 - (applicable to SG13.RCS and SG125.RCS)	TC - FMVSS Case-By-Case - TC1 - E08 - Case-By-Case FMVSS - RIV	For use by TC (RIV) - TC1 = E08 -Case-by- Case FMVSS - RIV
TC04	XT04 - (applicable to SG13.RCS and SG125.RCS)	TC - CMVSS Appendix F - TC3 - E01 - Appendix F - Commercial Importation	For use by TC3 - Appendix F
TC05	XT05 - (applicable to SG13.RCS and SG125.RCS)	TC - CMVSS Appendix G - TC3 - E02 - Appendix G - Commercial Importation	For use by TC3 - Appendix G
TC06	XT06 - (applicable to SG13.RCS and SG125.RCS)	TC - Returning Vehicles - TC3 - E03 - CMVSS Vehicle Returning by Original Owner	For use by TC3 - CMVSS Vehicle Returning by Original Owner.
TC07	XT07 - (applicable to SG13.RCS and SG125.RCS)	TC - Age Exemption - TC3 - E04 - Age Exemption	For use by TC3 - Vehicle Age Exemption (Greater than 15 years)
TC08	<b>XT08</b> - (applicable to SG13.RCS and SG125.RCS)	TC - Unregulated Vehicles - TC3 - E05 - Non-Regulated & Others	For use by TC3 - This exemption code is to be used for all unregulated vehicles.
TC09	XT09 - (applicable to SG13.RCS and SG125.RCS)	TC - CMVSS Appendix Case- By-Case - TC3 - E06 - Case-By- Case CMVSS - RIV	For use by TC3 - This exemption code is to be used on a case-by-case basis for CMVSS - Commercial Vehicle Imports.
TC10	<b>XT10</b> - (applicable to SG13.RCS and SG125.RCS)	TC - Vehicles for Parts - TC3 - E07 - Vehicle for Parts	For use by TC3 - This exemption coded is to be used for Parts.
PH01	<b>XP01</b> - (applicable to SG13.RCS and SG125.RCS)	PHAC - Human and Terrestrial Animal Pathogens & Biological Toxins - Exempt Pathogen/Toxin	For use by PHAC - Human Terrestrial Animal Pathogens



## 3.3.2 Appendix G19 (Intended Use Code)

1) As per section 3.2.9 of this addendum, the following PGA Intended Use Codes, found in Appendix G19 (p436-442) of v4.0 of the SWI ECCRD, will be **removed**:

Code Tag	Description	Notes	To be Used by
HC23	HC - Consumer Products - Resale	Health Canada (Second Hand Use)	Consumer Products
HC24	HC - Consumer Products - Charitable Purposes	Health Canada	Consumer Products
HC26	HC - Consumer Products - Repair Purposes	Health Canada	Consumer Products

2) As per section 3.2.11 of this addendum, the following PGA Intended Use Code, will be **added** to Appendix G19 (p436-442) of the SWI ECCRD:

Code Tag	Description	Notes	To be Used by
HC31	Urgent Public Health Need	Health Canada	Human Drugs

3) As per section 3.2.9 of this addendum, the following PGA Intended Use Codes, found in Appendix G19 (p436-442) of v4.0 of the SWI ECCRD, will be **renamed:** 

Code Tag	Description	Notes	To be Used by
HC22	HC - Consumer Products - Educational / Research Purposes	Health Canada	Consumer Products
HC27	HC - Consumer Products - Import for Export	Health Canada	Consumer Products



## 3.3.3 Appendix G22 (Product Category)

1) As per sections 3.2.9 and 3.2.5 of this addendum, the following Canadian Product Category Codes, found in Appendix G22 (p445-449) of v4.0 of the SWI ECCRD, will be **removed**:

Code Tag	Code Description	Notes
HC29	HC - Consumer Products - Consumer Product for Infants (0-18 months)	HC - Consumer Products
HC30	HC - Consumer Products - Consumer Product for Toddlers (19-36 months)	HC - Consumer Products
HC31	HC - Consumer Products - Consumer Product for Children (3-6 years)	HC - Consumer Products
HC32	HC - Consumer Products - Consumer Product for Children (6-8 years)	HC - Consumer Products
HC33	HC - Consumer Products - Consumer Product for Children (8-12 years)	HC - Consumer Products
HC34	HC - Consumer Products - Consumer Product for People Aged 13+ years	HC - Consumer Products
HC37	HC - Consumer Products - Consumer Product (for all ages)	HC - Consumer Products - Consumer Product (for all ages)
EC30	ECCC - Loose	ECCC - Vehicle and Engine Emissions Program
EC33	ECCC - Incomplete Engine	ECCC - Vehicle and Engine Emissions Program



# 2) As per sections 3.2.9 and 3.2.5 of this addendum, the following Canadian Product Category Codes, will be **added** to Appendix G22 (p445-449) of the SWI ECCRD:

Code Tag	Code Description	Notes
HC46	HC - Consumer Products - Appliances	HC - Consumer Products
HC47	HC - Consumer Products - Children's Products	HC - Consumer Products
HC48	HC - Consumer Products - Clothing, Textiles and Accessories	HC - Consumer Products
HC49	HC - Consumer Products - Electronics	HC - Consumer Products
HC50	HC - Consumer Products - Home and Automobile Maintenance	HC - Consumer Products
HC51	HC - Consumer Products - Housewares	HC - Consumer Products
HC52	HC - Consumer Products - Outdoor Living	HC - Consumer Products
HC53	HC - Consumer Products - Grooming Products & Accessories	HC - Consumer Products
HC54	HC - Consumer Products - Sports, Recreation and Hobby	HC - Consumer Products
EC44	ECCC - Inboard Engine	ECCC - Vehicle and Engine Emissions Program
EC45	ECCC - Outboard Engine	ECCC - Vehicle and Engine Emissions Program
EC46	ECCC - Personal Watercraft Engine	ECCC - Vehicle and Engine Emissions Program



#### 3.3.4 Appendix G23 (PGA Compliance Statement)

Appendix G23, found on page 450 of v4.0 of the SWI ECCRD, will be renamed PGA Exception Process Qualifier / PGA Compliance Statement. SG125.RCS will become a dual use element for clients to provide PGA Exception Process Codes **and** PGA Compliance Statement Codes at the commodity level. Codes beginning with 'X' will be designated for PGA Exception Process Codes. Codes beginning with 'M' will be designated for PGA Compliance Statement Codes.

1) The following PGA Compliance Statement Codes found in Appendix G23 (p450-454) of v4.0 of the SWI ECCRD, will be **replaced**:

Previous Code Tag	New Code Tag	Code Description	Notes
FA01	MG01	"The importer of this commodity certifies that they are a resident of Canada, that is, either in the case of a natural person, a person who ordinarily resides in Canada and, in the case of a corporation having its head office in Canada or operating a branch office in Canada."	GAC - Agriculture and Textiles - Resident Importer Certification
HC01	MH01	"The outer shipping container in which the semen is transported displays clearly, on the outside surface of that container, a declaration, signed by the processor or an authorized agent of the processor, certifying that the semen has been processed in accordance with the Processing and Distribution of Semen for Assisted Conception Regulations and quarantined for a minimum of six months."	HC - Donor Semen - Processor Declaration
TC01	MT01	"I [declare]/[have been authorized by the company referred to in this form to declare, on their behalf,] that the tires referred to in this form conforms to the standards prescribed for a tire of that class by section 3 to 5 of the Motor Vehicle Tire Safety Regulations at the time the tire was manufactured."	TC - Tires Program - Affirmation of Compliance for new/retreaded tires from all countries
TC02	MT02	"I [declare]/[have been authorized by the company referred to in this form to declare, on their behalf,] that the tires referred to in this form were manufactured for sale in the United States and conform on the day of their manufacture to the requirements established under chapter 301, title 49 of the United States Code."	TC - Tires Program - Affirmation of Compliance for new/retreaded tires from the USA
TC03	МТОЗ	TC - Generic - Statement of Compliance Label Attached	



TC04	MT04	"I am the owner or agent of the owner of the vehicle described herein. This vehicle is being imported into Canada to be dismantled for parts and will not be rebuilt or Licence d in Canada. I further agree that the declared status for this vehicle to be dismantled for parts cannot be changed. I authorize the required service payment.	TC - Generic - Affirmation of Statement Compliance
TC05	MT05	Manufacturer Letter Attached	TC - Generic - Manufacturer Letter Attached
TC06	MT06	"I am the owner or agent of the owner of the vehicle described herein. This vehicle shall be modified to Transport Canada standards, with the prescribed time of 45 days and the current owner shall be responsible for any penalties prescribed for failure to do so. I acknowledge that receipt of the Vehicle Import Form and payment of fees are not warranty that the vehicle is necessarily capable of being brought into conformity with applicable law. The vehicle shall be taken to an authorized inspection centre and otherwise processed in accordance with the requirements of the Registrar of Imported Vehicles. I authorize the required service payment."	TC- Foreign Manufacturer Affirmation Statement of Compliance
TC07	MT07	I [declare]/ [have been authorized by the company referred to in this form to declare, on their behalf,] that the tires referred to in this form bear a NSM, DOT, or JIS Symbol, are designed to fit on a rim that has a rim diameter greater than 406.4 mm (16 inches) and have a load range of not less than D (ply rating of not less than 8).	TC - Tires Program - Affirmation of Compliance for Used Tires from All Countries
TC08	MT08	I [declare]/[have been authorized by the company referred to in this form to declare, on their behalf,] that the tires referred to in this form were manufactured for sale in the United States and conform on the day of their manufacture to the requirements established under chapter 301, title 49 of the United States Code.	TC - Tires Program - Affirmation of Compliance for Used Tires from the USA
FO01	MF01	DFO - Invasive Species - Education Institution	DFO - Aquatic Invasive Species
FO02	MF02	DFO - Invasive Species - Research Facility	DFO - Aquatic Invasive Species
FO03	MF03	DFO - Invasive Species - Aquarium	DFO - Aquatic Invasive Species



FO04	MF04	DFO - Invasive Species - Zoo	DFO - Aquatic Invasive Species
FO05	MF05	DFO - Invasive Species - Federal department with a mandate to manage or control aquatic invasive species	DFO - Aquatic Invasive Species
FO06	MF06	DFO - Invasive Species - Provincial department with a mandate to manage or control aquatic invasive species	DFO - Aquatic Invasive Species
EC01	ME01	The company states that each vehicle or engine will bear the national emissions mark.	ECCC - Vehicle and Engine Emissions Program - On- Road Vehicles, Engines and Equipment - <u>National</u> Emission Mark
EC02	ME02	The company states that it will have the evidence of conformity referred to in section 35 [i.e. the vehicle or engine is covered by an EPA certificate, is sold concurrently in Canada and the U.S., bears the EPA emission control information label and is able to provide copies of records submitted to the EPA in support of the application for the EPA certificate, application for amendments made to the EPA certificate and any records submitted to maintain the EPA certificate] or 35.1 [i.e. the vehicle has the same emission control features as the vehicle tested to obtain the EPA certificate and has no features that could cause it to have a higher level of emission than that certified vehicle, has a copy of the EPA certificate that the vehicle is equivalent to and is able to provide copies of records submitted to the EPA in support of the application for the EPA certificate in respect of the vehicle to which it is equivalent, application for amendments made to that EPA certificate and any records submitted to maintain the EPA certificate].	ECCC - Vehicle and Engine Emissions Program - On- Road Vehicles, Engines and Equipment- EPA Certified or Considered Equivalent to EPA Certified
EC03	ME03	The company states that it will have the evidence of conformity referred to in section 36 [i.e. for vehicles or engines other than those referred to in section 35 or 35.1].	ECCC - Vehicle and Engine Emissions Program - On- Road Vehicles, Engines and Equipment- <u>Canada Unique</u> <u>Vehicles, Engines</u>
EC04	ME04	The company states that 1) it has a statement from the manufacturer of the vehicle or engine that the vehicle or engine will, when completed in accordance with instructions provided by the manufacturer, conform to the standards prescribed under the <i>On-Road Vehicle and Engine Emission Regulations</i> ; and 2) the vehicle or engine will be completed in accordance with those instructions.	ECCC - Vehicle and Engine Emissions Program - On- Road Vehicles, Engines and Equipment - <u>Incomplete</u> <u>Vehicles or Engines (On- Road)</u>



EC05	ME05	The company states that each engine bears the national emissions mark.	ECCC - Vehicle and Engine Emissions Program - Off- Road Compression (Diesel) Engines/ Off-Road Small Spark-Ignition (Gasoline) - National Emission Mark
EC06	ME06	The company states that it is able to produce the evidence of conformity referred to in section 16 [i.e. the engine is covered by EPA certificate of conformity, is sold concurrently in Canada and the U.S., and bears the EPA emission control information label].	ECCC Vehicle and Engine Emissions Program – Off- Road Compression (Diesel) Engines - EPA Certified and Sold Concurrently in Canada and the U.S.
EC07	ME07	The company states that it is able to produce the evidence of conformity referred to in paragraph 17(1)(a) [i.e. for transition engines that are or will be installed in or on machines sold concurrently in Canada and the U.S.].	ECCC - Vehicle and Engine Emissions Program - Off- Road Compression (Diesel) Engines - Transition and Sold Concurrently in Canada and the U.S.
EC08	ME08	The company states that it has submitted the evidence of conformity in accordance with paragraph 17(1)(b) [i.e. for engines not covered by an EPA certificate, engines covered by an EPA certificate that are not sold concurrently in Canada and the U.S., transition engines that are or will be installed in or on machines not sold concurrently in Canada and the U.S., and replacement engines].	ECCC- Vehicle and Engine Emissions Program - Off- Road Compression (Diesel) Engines - <u>Canada Unique</u> <u>Engines</u>
EC09	ME09	The company states that 1) it has a statement from the manufacturer of the engine that the engine will, when completed in accordance with instructions provided by the manufacturer, conform to the standards prescribed under the Off-Road Compression-Ignition Engine Emission Regulations; and 2) the engine will be completed in accordance with those instructions.	ECCC - Vehicle and Engine Emissions Program - Off- Road Compression (Diesel) Engines - <u>Incomplete Engines</u>
EC10	ME10	The company states that it is able to produce the evidence of conformity referred to in section 16 [i.e. the engine is covered by EPA certificate of conformity, is sold concurrently in Canada and the U.S., and bears the EPA emission control information label].	ECCC - Vehicle and Engine Emissions Program - Off- Road Small Spark-Ignition (Gasoline) - EPA Certified and Sold Concurrently in Canada and the U.S.



EC11	ME11	The company states that it has submitted the evidence of conformity in accordance with section 17 [i.e. for engines not covered by an EPA certificate or engines covered by an EPA certificate that are not sold concurrently in Canada and the U.S.].	ECCC - Vehicle and Engine Emissions Program - Off- Road Small Spark-Ignition (Gasoline) - <u>Compliance</u> <u>Option - Canada Unique</u> <u>Engines (Gasoline)</u>
EC12	ME12	The company states that 1) it has a statement from the manufacturer of the engine that the engine will, when completed in accordance with instructions provided by the manufacturer, conform to the standards prescribed under the <i>Off-Road Small Spark-Ignition Engine Emission Regulations</i> ; and 2) the engine will be completed in accordance with those instructions.	ECCC - Vehicle and Engine Emissions Program - Off- Road Small Spark-Ignition (Gasoline) - <u>Compliance</u> <u>Option - Incomplete Engines</u> (Off Road Spark-Ignition)
EC17	ME17	ECCC - these goods are subject to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) as regulated in Canada under the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) or are listed in Schedule II of the Wild Animal and Plant Trade Regulations (WAPTR).	Environment and Climate Change Canada - Wildlife Enforcement
EC18	ME18	ECCC - these goods are not subject to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) as regulated in Canada under the Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA) or are listed in Schedule II of the Wild Animal and Plant Trade Regulations (WAPTR).	Environment and Climate Change Canada – Wildlife Enforcement



2) As per section 3.2.5 of this addendum, the Code Tag and Code Descriptions of the following PGA Compliance Statement Codes found in Appendix G23 (p450-454) of v4.0 of the SWI ECCRD, will be **replaced/updated**:

Previous Code Tag	New Code Tag	Code Description	Notes
EC13	ME13	The company states that each vessel or vehicle bears the national emissions mark.	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - Compliance Option - National Emission Mark (Vessels/Vehicles)
EC14	ME14	The company states that it is able to produce the evidence of conformity referred to in subsection 35(1) [i.e. the vessels or vehicles are covered by EPA certificate(s) of conformity, are sold concurrently in Canada and the U.S., and bear the EPA emission control information label].	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - EPA Certified, Sold Concurrently and Bears Emission Control Information Labels Vehicles/Vessels
EC15	ME15	The company states that it has submitted the evidence of conformity in accordance with subsection 35(2) [i.e. for vessels or vehicles not covered by an EPA certificate or vessels or vehicles that are covered by an EPA certificate but are not sold concurrently in Canada and the U.S.].	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - Compliance Option - Canada Unique Vehicles/Vessels
EC16	ME16	The company states that 1) it has a statement from the manufacturer of the vessel or vehicle that the vessel or vehicle will, when completed in accordance with instructions provided by the manufacturer, conform to the standards prescribed under the Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations; and 2) the vessel or vehicle will be completed in accordance with those instructions.	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - <u>Incomplete</u> <u>Vehicles/Vessels</u>



3) As per section 3.2.5 of this addendum, the following PGA Compliance Statement Codes will be **added** to Appendix G23 (p450-454) of the SWI ECCRD:

Code Tag	Code Description	Notes
ME19	The company states that each engine bears the national emissions mark.	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - Compliance Option - National Emission Mark (Engines)
ME20	The company states that it is able to produce the evidence of conformity referred to in subsection 35(1) [i.e. the engines are covered by EPA certificate(s) of conformity, are sold concurrently in Canada and the U.S., and bear the EPA emission control information label].	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - EPA Certified, Sold Concurrently and Bears Emission Control Information Labels (Engines)
ME21	The company states that it has submitted the evidence of conformity in accordance with subsection 35(2) [i.e. for engines not covered by an EPA certificate or engines that are covered by an EPA certificate but are not sold concurrently in Canada and the U.S.].	ECCC - Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - Compliance Option - Canada Unique Engines
ME22	The company states that 1) it has a statement from the manufacturer of the engine that the engine will, when completed in accordance with instructions provided by the manufacturer, conform to the standards prescribed under the Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations; and 2) the engine will be completed in accordance with those instructions.	ECCC – Vehicle and Engine Emissions Program – Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles - Incomplete Engines



4) As per Section 3.1 and various PGA appendix updates from this addendum, the following PGA Exception Process Qualifier Codes will be **added** to Appendix G23 (p450-454) of the SWI ECCRD:

PGA Exception Process Qualifier Codes (Commodity Level SG125.RCS)		
XE01	ECCC – On-Road Vehicles, Engines and Equipment	For use by Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
XEO2	ECCC - Off-Road Compression (Diesel) Engines	For use by Vehicle and Engine Emissions Program – Off-Road Compression (Diesel) Engines
XE03	ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines	For use by Vehicle and Engine Emissions Program - Off-Road Small Spark-Ignition (Gasoline) Engines
XE04	ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles	For use by Vehicle and Engine Emissions Program – Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles
XE05	ECCC - Client Exemption -client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods	For use by Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
XE99	ECCC - goods are not subject to any of the Vehicle and Engine Emissions Program regulations	For use by all Vehicle and Engine Emissions Programs
XH01	HC - Cells, Tissues & Organs - Lymphohematopoietic Cells and Organs	For use by HC - Lymphohematopoietic cells and organs are exempt from having to provide Establishment Registration Numbers.



XH02	HC - Medical Devices - Medical Device Establishment Licence Exemption	For use by HC – Retailers, healthcare facilities and manufacturers that are eligible for an exemption to the establishment licensing requirement must indicate their status in this field. If this exemption is indicated, the Intended use Code, must equal Human Therapeutic Usage for all device classes.
XH03	HC - Pesticides - PMRA Scheduled Pest Management Products	For use by HC – Specific HC exceptional processing that may apply include: PMRA Scheduled Pest Management Products.
XH04	HC - Pesticides - PMRA Exempt Pest Management Products	For use by HC - Specific HC exceptional processing that may apply include: PMRA Exempt Pest Management Products.
XT01	TC - Tires Program - Tires Program	For use by TC Tires - For commodities regulated under the Tire Program a code indicating 'Tire Program 'must be provided.
XT02	TC - FMVSS Standard - TC1 - Standard Process	For use by TC Vehicle Program - FMVSS - Standard must indicate TC1 - Standard Process.
XT03	TC - FMVSS Case-By-Case - TC1 - E08 - Case- By-Case FMVSS - RIV	For use by TC Vehicle Program - TC1 = E08 - Case-by-Case FMVSS - RIV
XT04	TC - CMVSS Appendix F - TC3 - E01 - Appendix F - Commercial Importation	For use by TC Vehicle Program - Appendix F
XT05	TC - CMVSS Appendix G - TC3 - E02 - Appendix G - Commercial Importation	For use by TC Vehicle Program - Appendix G



ХТ06	TC - Returning Vehicles - TC3 - E03 - CMVSS Vehicle Returning by Original Owner	For use by TC Vehicle Program - CMVSS Vehicle Returning by Original Owner.
XT07	TC - Age Exemption - TC3 - E04 - Age Exemption	For use by TC Vehicle Program - Vehicle Age Exemption (Greater than 15 years)
XT08	TC - Unregulated Vehicles - TC3 - E05 - Non- Regulated & Others	For use by TC Vehicle Program - This exemption code is to be used for all unregulated vehicles.
ХТ09	TC - CMVSS Appendix Case-By-Case - TC3 - E06 - Case-By-Case CMVSS - RIV	For use by TC Vehicle Program - This exemption code is to be used on a case-by-case basis for CMVSS - Commercial Vehicle Imports.
XT10	TC - Vehicles for Parts - TC3 - E07 - Vehicle for Parts	For use by TC Vehicle Program - This exemption coded is to be used for Parts.
XP01	PHAC - Human and Terrestrial Animal Pathogens & Biological Toxins - Exempt Pathogen/Toxin	For use by PHAC - Human Terrestrial Animal Pathogens