

State of Rhode Island  
Grant Management Office



## Unique Entity ID (UEI) Renewal Process

October 26 & November 1, 2022

RI Office of Grants Management

RHODE  
ISLAND

# AGENDA

---

**01**

**UEI Renewal Process  
Overview**

**02**

**How to renew UEI on  
SAM.gov**

**03**

**Required  
Information/Documents**

**04**

**Grants Management  
recommendations**

# UEI Renewal Process Overview

---

**IMPORTANT:** State Agencies/Offices are responsible for the renewal of their UEI(s). This presentation includes recommendations based on the challenges the Grant Management Office is aware of related to the new renewal process. Specific renewal questions should be directed to the GSA Federal Service Desk (FSD). See Appendix for additional resources/links.

# Unique Entity Identifier (UEI)

---

“Unique Entity Identifier” or “UEI” is a 12-character alphanumeric code assigned by SAM required for entities receiving federal funds directly from a federal agency or from a pass-through entity.

The UEI replaced the Dunn and Bradstreet (DUNS) Number as the go-to entity reference number in April 2022.

SAM.gov no longer uses the DUNS number

# Changes to SAM.gov

---

- In 2022, the GSA switched to a new verification servicer
- In the past, the renewal process for SAM.gov was not as rigorous under the DUNS model
- The new system under the UEI model requires validation of submitted information for ALL registrations
  - Even if no information in your registration is changing
- Problems:
  - The GSA verification process is not geared towards government agencies
    - Existing GSA/SAM allowable documents guidance may not be applicable to agency needs
  - In many cases, the legally required documents don't exist for State government

# Why This Matters

---



- An entity must be registered in SAM and have a UEI in order to be eligible to receive Federal funds
- Renewal may require foundation or origination docs, such as the RIGL, to validate an entity, so you will need to start the renewal process far ahead of the registration expiration date
- Potential impact on active awards and drawdowns if your UEI registration goes Inactive
- Potential impact on applying for new direct/pass-through Federal funding if Inactive
- Example: State of Ohio had payments to an existing award stopped due to agency registration going Inactive

# Grants Management Office involvement

---

1. State Agency awareness, provide training and guidance
2. Submit formal comments and feedback to General Services Administration about delays and burdens associated with this process
3. Contact Federal HHS and OMB regarding problem and potential impact on receipt of Federal funds
4. Network with other States to share information about the process and establish best practices to advise agencies
5. Alert State leadership to the issue and advise on the potential ramifications that delays in the renewal process may cause

# Renewing Your UEI on SAM.gov and Demo

---

**RHODE  
ISLAND**



# SAM.Gov Dashboard

The SAM dashboard allows you to see your agency's currently registered information. You can view this record to see what GSA currently has on file, the Points of Contact listed for your agency, or begin the update process

You will need to have an active SAM.gov login. If you are having trouble logging in, you can request a ticket from the Federal Service Desk (FSD)

Download Follow

[Back to Workspace](#)

**STATE OF RHODE ISLAND DEPARTMENT OF ADMINISTRATION**

Unique Entity ID <b>RCNZMJ2JDEY7</b>	Registration Status <b>Active Registration</b>	Expiration Date <b>Nov 28, 2022</b>
CAGE/NCAGE <b>82929</b>	Purpose of Registration <b>Federal Assistance Awards Only</b>	
Physical Address <b>6 Cherrydale Ct Cranston, Rhode Island 02920, United States</b>	Mailing Address <b>6 Cherrydale CT Cottage 43 Cranston, Rhode Island 02920, United States</b>	

Version:

**BUSINESS INFORMATION**

Doing Business As <b>Child Advocate Office</b>	Division Name <b>Child Advocate Office</b>
URL <a href="http://www.child-advocate.ri.gov/index.php/">http://www.child-advocate.ri.gov/index.php/</a>	Division Number <b>(blank)</b>
State / Country of Incorporation <b>(blank)</b>	Congressional District <b>Rhode Island 02</b>
MPIN <b>*****at1</b>	

## Accounts Receivable POC

**Diana Robbins**  
diana.robbins@doa.ri.gov  
401 462-4300

## Electronic Business

**Katelyn Medeiros, Assistant Child Advocate**  
katelyn.medeiros@doa.ri.gov  
401 462-4300

**6 Cherrydale Court  
Cottage 43  
Cranston, Rhode Island 02920  
United States**

## Government Business

**Diana Robbins, Staff Attorney**  
diana.robbins@doa.ri.gov  
401 462-4300

**6 Cherrydale Court  
Cottage 43  
Cranston, Rhode Island 02920  
United States**

## ISLAND DEPARTMENT OF ADMINISTRATION ● Active Registration

Doing Business As:  
**Child Advocate Office**

Purpose of Registration:  
**Federal Assistance Awards**

Physical Address:  
**6 Cherrydale Ct  
Cranston, RI 02920 USA**

### Actions

[View Record](#)

[Update](#)

[Deactivate](#)

[View In Hierarchy](#)

# Beginning the Renewal Process

---

- IMPORTANT: This process is the same whether or not you need to make any changes to your agency information
- Log in to SAM.gov and locate your agency in the SAM Dashboard
- Select the three dots icon next to your agency name
- Select “View Record” to confirm that you have selected the correct agency (if your agency has multiple UEs)
  - Confirm the physical address and PoCs, as you will need to validate these to complete renewal process
- Return to Workspace, select three dots icon again
- Select “Update” to start the renewal
- CAGE/NCAGE Number validation needed (this is the code managed by DOD for entities with federal contracts)
  - Plan for this process to take two weeks beyond SAM renewal. If you update physical address in SAM.gov you'll need to update CAGE as well, otherwise it will fail CAGE validation.

# Required Information and Documents

---

**RHODE  
ISLAND**

# Required Information

- State agencies may need to reference origination documents, such as the State Constitution or RIGLs to establish that their current information is accurate
- Examples:
  - Rhode Island Declaration of Rights from 1790 to verify Rhode Island as the “U.S. state of incorporation” and that the office has a “start date” of 1790.
  - PDF of RIGL 42-7-1 establishing the “Executive Department of the State of Rhode Island” to verify the Governor’s office exists
- All of the following must be established and matched to a record in the SAM system in order to begin renewal
  - Legal business (agency) name
  - DBA name (if applicable)
  - Agency’s Physical Address
  - Year and State of Incorporation
  - State of Incorporation

# Title 42

## State Affairs and Government

### Chapter 7

#### Executive Department

R.I. Gen. Laws § 42-7-1

#### Assignment — Powers of governor.

1 executive department. The head of the executive department shall be the governor. The governor shall have all powers and duties provided by R.I. Const., Art. IX, and by chapter 1 of this title in all general laws and public laws relating to powers and duties of the governor.

m.  
0, § 10; G.L. 1956, § 42-7-1; P.L. 1988, ch. 84, § 80.

## GSA Required Documents

In order to validate renewal, your agency information must include documents showing:

01

Legal business name and physical address in the same document.

02

Legal business name and doing business as name in the same document

03

Legal business name and U.S. state of incorporation in the same document .

04

Legal business name and start year in the same document.

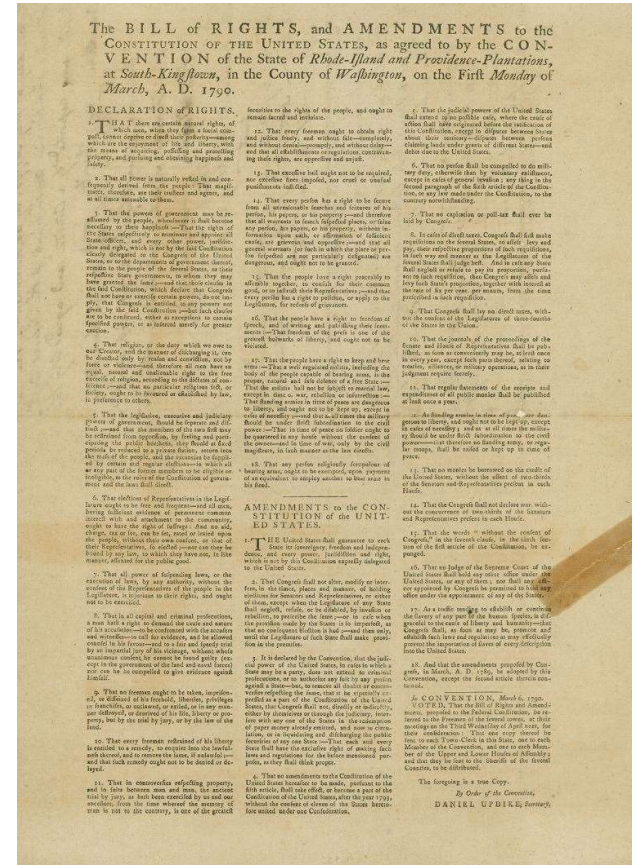
# Grants Management Office Recommendations

---

**RHODE  
ISLAND**

# Guidance on Completing Renewal Process

- ✓ Use the primary location for your state agency as the address
- ✓ Start early. Based on information received late last week, we recommend agencies begin UEI renewal as soon as possible.
- ✓ If your agency or office was established in RIGL use this reference to establish your “start date” as an entity and or state of incorporation as "Rhode Island"
- ✓ Legal Business Name should match what’s in the RIGL, if applicable
- ✓ Use your agency’s legal name, we DO NOT recommend using a DBA name for State entities



# Multiple UEIs

---

- If a UEI you administer has no active or in process awards we recommend letting the registration expire.
- If your agency has multiple UEI(s) we recommend developing an internal plan to reduce UEI(s) down to one per agency/office. If this is the case in your agency, prioritize your renewal of any UEI with an active award first, then focus on reduction.



# Resources and Links

---

- Article from the GSA Federal Service Desk for renewal steps:  
[https://www.fsd.gov/gsafsd\\_sp?id=kb\\_article\\_view&sysparm\\_article=KB0016307&sys\\_kb\\_id=308b082b1b5201d0937fa64ce54bcb14&spa=1](https://www.fsd.gov/gsafsd_sp?id=kb_article_view&sysparm_article=KB0016307&sys_kb_id=308b082b1b5201d0937fa64ce54bcb14&spa=1)
- Federal Service Desk UEI questions: [https://www.fsd.gov/gsafsd\\_sp?id=gsafsd\\_kb\\_articles&sys\\_id=14c7dfa81b9659d0c5c4dd39bc4bcb0f](https://www.fsd.gov/gsafsd_sp?id=gsafsd_kb_articles&sys_id=14c7dfa81b9659d0c5c4dd39bc4bcb0f)
- DUNS to UEI Transition resources (sam.gov) <https://sam.gov/content/duns-uei>
- Federal Service Desk [https://www.fsd.gov/gsafsd\\_sp/?id=gsa\\_landing](https://www.fsd.gov/gsafsd_sp/?id=gsa_landing)

# Appendix

---

SAM Registration process  
screenshots

**RHODE  
ISLAND**

UNITED STATES

### Add Document

Select a Document Type


Articles of incorporation/organization

This document includes the following. Select all that apply.

- Legal business name and physical address in the same document.
- Legal business name and doing business as name in the same document
- Legal business name and U.S. state of incorporation in the same document
- Legal business name and start year in the same document.

Select Document

Selected file [Change file](#)

 Declaration-of-Rights RI Ratification 1790.pdf

500 characters allowed

ce/em/entities/register

2 CFR IL AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS St

### Validate Entity

## Review Entity Information

Review the legal entity list and select whether or not you clearly recognize an entity as yours.

**Select an Option**

I recognize my entity in the legal entities list.  
If some details are not correct, you can update them.

I don't recognize my entity in this list.  
Select Next to continue.

**Select From the List**

Then select **Next** to continue

**LEGAL ENTITIES LIST** WHERE DO THESE RESULTS COME FROM?

Showing Top Results

- EXECUTIVE DEPARTMENT OF THE STATE OF RHODE ISLAND Public authority/State/Government  
82 SMITH ST  
RHODE ISLAND STATE HOUSE  
PROVIDENCE, RI 02903-1105 USA
- RHODE ISLAND, STATE OF Public authority/State/Government  
269 MELROSE ST  
PROVIDENCE, RI 02907-2102 USA

Update Entity

## Enter Incorporation Information

Start Year

State of Incorporation

**Start Year** could be

- your year of incorporation
- your "established date"
- the year you legally began doing business
- the year you received your employer identification number (EIN)

**State of incorporation** could be where

- you incorporated your organization
- you filed your certificate or articles of formation
- your organization is located, if not incorporated

< ✕ >

Continue Registration

YOUR ENTITY REGISTRATION INFORMATION:

**EXECUTIVE DEPARTMENT OF THE STATE OF RHODE ISLAND** • Active Registration

UNIQUE ENTITY ID [REDACTED]	PURPOSE OF REGISTRATION FEDERAL ASSISTANCE AWARDS ONLY	PHYSICAL ADDRESS 82 SMITH ST RHODE ISLAND STATE HOUSE PROVIDENCE, RI 02903-1105 USA
CAGE/NCAGE [REDACTED]	EXPIRATION DATE OCT 14, 2022	

**Include in public search**

This means your registration status, legal business name, physical address, and other non-sensitive information can be displayed on SAM.gov to any authenticated user. If you feel the public display of your basic entity information poses a security threat or danger to you or your organization, you can restrict the public viewing of your registration record in SAM.gov by deselecting the checkbox.

If you choose to restrict your information from public view, it will also not be visible to other non-federal entities or state and local governments who may wish to do business with you. Certain programs may require you to be included in public search. Either way, your non-sensitive entity information remains available to federal government users and is available through public data services. [Learn more about SAM.gov public search](#)

**Continue Registrati**

entity/entityCoreContinue.jsf

AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Ap

Here's how you know

Services Help

**Register Entity**

- Core Data
- Continue Update / Renewal
- Business Information
- CAGE or NCAGE Code
- General Information
- Financial Information
- Executive Compensation Questions
- Proceedings Questions
- Review Core Data

Representations and Certifications

Points of Contact

Submit Registration

Back to Workspace

**Core Data** EXECUTIVE DEPARTMENT

Continue Update / Renewal Unique Entity ID: [REDACTED]

You have the following steps left to complete:

- Core Data
- Representations and Certifications
- Points of Contact

Cancel

Feedback

eBusiness.jsf

AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Ap

**View assistance for Core Data-Business Information**

Help

**Register Entity**

- Core Data
- Continue Update / Renewal
- Business Information
- CAGE or NCAGE Code
- General Information
- Financial Information
- Executive Compensation Questions
- Proceedings Questions
- Review Core Data

Representations and Certifications

Points of Contact

Submit Registration

Back to Workspace

**Core Data** EXECUTIVE DEPARTMENT

Business Information Unique Entity ID: [REDACTED]

**Page Description**

Please respond to questions on this page to better describe your entity. If you are required to provide a Taxpayer Identification Number (TIN), you may provide either an Employer Identification Number (EIN) or Social Security Number (SSN). Do not provide a TIN unless you are a Sole Proprietor or a single-member Limited Liability Company (LLC) without an EIN. The TIN will be sent to the IRS for validation after you complete the consent information on the next page.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

**Your Entity's Business Information:**

Entity Start Date (MM/DD/YYYY): \* [REDACTED]

Fiscal Year End Close Date (MM/DD): \* 06/30

Entity Division Name: Executive Office of State of Rhode Island

Entity Division Number: Executi

Entity URL: [REDACTED]

Congressional District: RI 01

MPIN: \* [REDACTED] UPDATE

The MPIN will be shared with authorized partner applications (e.g. Grants.gov, Past Performance Information Retrieval System) and acts as your password in these systems and you should guard it as such. The MPIN must be nine characters long and contain only letters and numbers, and no spaces or special characters.

The MPIN will be shared with authorized partner applications (e.g. Grants.gov, Past Performance Information Retrieval System) as your password in these systems and you should guard it as such. The MPIN must be nine characters long and contain a number, and no spaces or special characters.

**Physical Address - PROVIDENCE**

Address Type: Physical

Address Line 1: 82 SMITH ST

Address Line 2: RHODE ISLAND STATE HOUSE

City: PROVIDENCE

State/Province: RHODE ISLAND

ZIP/Postal Code: 02903 1105

Country: UNITED STATES

**Mailing Address - Providence**

Address Type: Mailing

Address Line 1\*: Department of Administration

Address Line 2: One Capitol Hill

City\*: Providence

State/Province\*: RHODE ISLAND

ZIP/Postal Code\*: 02908 1120

Country\*: UNITED STATES

**Taxpayer Identification Number (TIN):**

Select your TIN Type. Most entities who pay U.S. taxes select Employer Identification Number (EIN). If you don't have one, you can get one [from the IRS](#) for free. If you are a Sole Proprietor or a single-member Limited Liability Company (LLC), you may select Social Security Number (SSN). Choose carefully. You cannot change your TIN Type once you navigate beyond this page.

TIN Type\*: EIN

Note: If you select SSN as your TIN Type on this page, you must select either Sole Proprietor or Single-member LLC on the General Information page later during this registration.

Country: UNITED STATES

**Mailing Address - Providence**

Address Type: Mailing

Address Line 1\*: Department of Administration

Address Line 2: One Capitol Hill

City\*: Providence

State/Province\*: RHODE ISLAND

ZIP/Postal Code\*: 02908 1120

Country\*: UNITED STATES

**Taxpayer Identification Number (TIN):**

Select your TIN Type. Most entities who pay U.S. taxes select Employer Identification Number (EIN). If you don't have one, you can get one [from the IRS](#) for free. If you are a Sole Proprietor or a single-member Limited Liability Company (LLC), you may select Social Security Number (SSN). Choose carefully. You cannot change your TIN Type once you navigate beyond this page.

TIN Type\*: EIN

Note: If you select SSN as your TIN Type on this page, you must select either Sole Proprietor or Single-member LLC on the General Information page later during this registration.

EIN: [REDACTED] UPDATE

Cancel





red/entity/entityCoreTIN.jsf

IL AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Stand

**View assistance for Core Data-IRS Consent**

Data Services Help

**Register Entity**

Core Data

- Continue Update / Renewal Business Information
- IRS Consent**
- CAGE or NCAGE Code
- General Information
- Financial Information
- Executive Compensation Questions
- Proceedings Questions
- Review Core Data

Representations and Certifications

Points of Contact

Submit Registration

[Back to Workspace](#)

**Core Data** EXECUTIVE DEPA

IRS Consent Unique Entity ID: U5S8NSDEB

**Page Description**

Please carefully read the "Consent to Disclosure of Tax Information" on this page. Follow the instructions to provide the Taxpayer Information required for the most current tax year reported.

Upon completion of this page, all information required to perform the TIN Match will be sent to the IRS. Confirm the information is accurate before selecting Save and Continue. You will not be able to edit this page while the TIN Match is being performed.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

**CONSENT TO DISCLOSURE OF TAX INFORMATION**

By entering my Marketing Partner Identification Number (MPIN) as my Signature below, pursuant to 26 U.S.C. 6109, I consent to disclose to the Internal Revenue Service (IRS) to validate and disclose to the officers and employees of the System for Award Management (SAM) Program Office whether the name and/or name control and Taxpayer Identification Number (TIN) provided for this entity does not match the name and/or name control and TIN maintained in the IRS files for EXECUTIVE DEPARTMENT OF TREASURY RHODE ISLAND in the most current tax year reported.

I recognize this IRS-validated name and TIN, which is either my Employer Identification Number (EIN) or my Social Security Number (SSN). If I am a Sole Proprietor who chooses to use my SSN instead of getting an EIN or a single-member Limited Liability Company (LLC) disregarded entity, will reside in SAM and will be accessible to Federal government procurement officials and other performing managerial review and oversight for use in all Governmental business activities including tax reporting and collection. By providing the taxpayer information below in support of this TIN Match, I certify that I have the authority to provide this information for disclosure for this tax return information.

**TIN Match Instructions:**

Provide the Taxpayer Information required for the most current tax year reported for this entity.

Your Taxpayer Name may be different than the entity's Legal Business Name. However, the Taxpayer Name must exactly match the IRS has on file for your entity's TIN according to its most recent tax return.

For questions about your EIN, please call the IRS at 1-866-255-0654. For questions about your SSN, please call the Social Security Administration at 1-800-772-1213.

**View assistance for Core Data-IRS Consent**

**TIN Match Instructions:**

Provide the Taxpayer Information required for the most current tax year reported for this entity.

Your Taxpayer Name may be different than the entity's Legal Business Name. However, the Taxpayer Name must exactly match the IRS has on file for your entity's TIN according to its most recent tax return.

For questions about your EIN, please call the IRS at 1-866-255-0654. For questions about your SSN, please call the Social Security Administration at 1-800-772-1213.

**Provide Taxpayer Information:**

Taxpayer Name\*: STATE OF RHODE ISLAND

Use only letters, numbers, spaces, hyphens (-), and ampersands (&). Omit any other special characters that are part of your name.

Taxpayer Identification Number (TIN): [REDACTED]

**Taxpayer Address:** [COPY MAILING ADDRESS](#) [COPY PHYSICAL ADDRESS](#)

Address Line 1\*: STATE HOUSE, ROOM 217

Address Line 2: [REDACTED]

City\*: PROVIDENCE

State/Province\*: RHODE ISLAND

ZIP/Postal Code\*: 02903 [REDACTED]

Country\*: UNITED STATES

Type of Tax: Applicable Federal Tax

Tax Year (YYYY)\*: [REDACTED]

(Insert Most Recent Tax Year)

Name of Individual Executing Consent\*: [REDACTED]

Title of the Individual Executing Consent\*: DIRECTOR

Signature\*: [REDACTED] Enter your MPIN here

Date: [REDACTED] Date will populate when you select Save and Continue

[Cancel](#) [Previous](#) [Save](#)

**TIN Match Instructions:**

Provide the Taxpayer Information required for the most current tax year reported for this entity.

Your Taxpayer Name may be different than the entity's Legal Business Name. However, the Taxpayer Name must exactly match the TIN the IRS has on file for your entity's TIN according to its most recent tax return.

For questions about your EIN, please call the IRS at 1-866-255-0654. For questions about your SSN, please call the Social Security Administration at 1-800-772-1213.

**Provide Taxpayer Information:**

Taxpayer Name\*: STATE OF RHODE ISLAND

Use only letters, numbers, spaces, hyphens (-), and ampersands (&). Omit any other special characters that are part of the TIN.

Taxpayer Identification Number (TIN): \*\*\*\*\*0522

**Taxpayer Address:** COPY MAILING ADDRESS COPY

Address Line 1\*: STATE HOUSE, ROOM 217

Address Line 2\*:

City\*: PROVIDENCE

State/Province\*: RHODE ISLAND

ZIP/Postal Code\*: 02903

Country\*: UNITED STATES

Type of Tax: Applicable Federal Tax

Tax Year (YYYY)\*: [Redacted]  
(Insert Most Recent Tax Year)

Name of Individual Executing Consent\*: [Redacted]

Title of the Individual Executing Consent\*: DIRECTOR

Signature\*: [Redacted] Enter your MPIN here

Date: [Redacted] Date will populate when you select Save and Confirm

Cancel Previous Save

/entityCoreCage.jsf

AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM Ri eCivis Final Cross... GMS Standard Appl...

**View assistance for Core Data-CAGE Code**

Services Help

**Register Entity** EXECUTIVE DEPARTMENT

**Core Data**

CAGE Code Unique Entity ID: [Redacted] CA

**Page Description**

The Commercial and Government Entity (CAGE) Code is a five-character, alpha-numeric identifier assigned to entities in the United States and its outlying areas by the Defense Logistics Agency (DLA) CAGE Program. All registrations in SAM go through a CAGE Code assignment or validation after submission.

If your entity already has a CAGE Code, provide it on this page. SAM will check to see if you entered a valid CAGE Code. To search for CAGE Code information, go to the [DLA CAGE website](#). If you do not have a CAGE Code, one will be assigned to your entity after you submit your entity registration.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

Does your entity already have a CAGE Code? \*  Yes  No

You may select Search to check the DLA CAGE database for the Legal Business Name and Physical Address associated with the CAGE Code you entered. Once you select Search, the page will reload and display any available match. This information is only displayed for informational purposes. To confirm you entered the correct CAGE Code, select Clear.

CAGE Code: [Redacted]

**Details returned from U.S. CAGE database:**

Legal Business Name	EXECUTIVE OFFICE OF STATE OF RHODE ISLAND
Address Line 1	STATE HOUSE, ROOM 217
City, State/Province ZIP	PROVIDENCE, RI 02903-1120
Country	USA

Cancel Previous Save



oreFinance.jsf

AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Appl...

**View assistance for Core Data-Financial Information**

Representations and Specifications  
 Methods of Contact  
 Registration  
 Back to Workspace

**Electronic Funds Transfer (EFT):**

Account Type: \*

Financial Institute:

**Update Banking Information**

ABA Routing Number: \*

Account Number: \*

Lockbox Number:

**Automated Clearing House (ACH):**

Please enter at least one method of contact for your A.C.H below.

A.C.H U.S. Phone: \*  (xxx)xxx-xxxx

A.C.H Non-U.S. Phone:  xxx-xxxxxxxxxxx

A.C.H Fax:  (xxx)xxx-xxxx

A.C.H Email:

**Remittance Address:**

Name: \*

Address Line 1: \*

Address Line 2:

City: \*

State/Province \*

Country: \*

ZIP/Postal Code: \*

CompQuestions.jsf

Z P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Appl... Designatec

**View assistance for Core Data-Executive Compensation Questions**

Help

Register Entity  
 Continue Update / Renewal  
 Business Information  
 IRS Consent  
 CAGE or NCAGE Code  
 General Information  
 Financial Information  
 Executive Compensation Questions  
 Proceedings Questions  
 Review Core Data  
 Notifications  
 Method of Contact  
 Registration

EXECUTIVE DEPARTMENT OF THE STATE OF RHODE ISLAND

Executive Compensation Questions Unique Entity ID:  CAGE Code

**Page Description**

Please answer the following questions to determine if you need to provide total compensation details for the five (5) most highly compensated executives in your business or organization. In accordance with the Federal Funding Accountability and Transparency Act (FFATA) of 2006, as amended in 2008, your responses will be displayed to the public on USAspending.gov in association with any eligible Federal award your entity receives.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

**Executive Compensation:**

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a Unique Entity ID, belongs) receive both of the following:

- 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements and
- \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements? \*

Does the public have access to information about the compensation of the senior executives in your business or organization (the legal entity to which this specific SAM record, represented by a Unique Entity ID, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? \*

Back to Workspace

Cancel Previous **Save and Continue**

entityCoreProceedingsQues.jsf

AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Appl...

**View assistance for Core Data-Proceedings Questions**

Core Data  
 Continue Update / Renewal  
 Business Information  
 IRS Consent  
 CAGE or NCAGE Code  
 General Information  
 Financial Information  
 Executive Compensation Questions  
**Proceedings Questions**  
 Review Core Data

Representations and Certifications  
 Points of Contact  
 Submit Registration

Back to Workspace

Proceedings Questions Unique Entity ID: [REDACTED]

Page Description  
 Please answer the following questions about the entity you are registering. SAM collects information about proceedings only if you meet the conditions set forth in FAR 52.209-7, FAR 52.209-9, or 2 C.F.R. 200 Appendix XII. This information is not displayed in SAM. It is only displayed to FAPIIS.gov for display as applicable.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

**Proceedings:**

Is your business or organization, as represented by the Unique Entity ID on this entity registration, responding to a Federal procurement opportunity that contains the provision at FAR 52.209-7, subject to the clause in FAR 52.209-9 in a current Federal contract, or applying for a Federal grant opportunity which contains the award term and condition described in 2 C.F.R. 200 Appendix XII? \*

[REDACTED]

Does your business or organization, as represented by the Unique Entity ID on this specific SAM record, have current active Federal contracts and/or grants with total value (including any exercised/unexercised options) greater than \$10,000,000?

Not Applicable

Within the last five years, had the business or organization (represented by the Unique Entity ID on this specific SAM record) and/or its principals, in connection with the award to or performance by the business or organization of a Federal contract or grant, been found guilty of a Federal or State

1. Criminal proceeding resulting in a conviction or other acknowledgment of fault;
2. Civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than \$5,000, or other acknowledgment of fault; and/or
3. Administrative proceeding resulting in a finding of fault with either a monetary fine or penalty greater than \$5,000 or reimbursement, restitution, or damages greater than \$100,000, or other acknowledgment of fault?

Not Applicable

Cancel Previous **Save and Continue**

/financialAssistanceResponse.jsf

AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Appl...

Services Help

Register Entity  
 Core Data  
 Representations and Certifications  
**Financial Assistance Response**  
 Points of Contact  
 Submit Registration

Back to Workspace

**Representations and Certifications** EXECUTIVE DEPARTMENT

Financial Assistance Response Unique Entity ID: [REDACTED]

Page Description  
 This page provides a common set of certifications and representations required by Federal statutes or regulations in accordance with grants guidance under Title 2 of the Code of Federal Regulations (2 CFR 200.208 Certifications and Representations). If you are applying for, or are already a recipient of a Federal grant or agreement, you must agree to the following grants certifications and representations.

You are required to keep these grants certifications and representations current, accurate, and complete as part of your entity registration in SAM. Note, these may not include all federal requirements that apply to your project or program. Federal awarding agencies will notify you if they require additional certifications. If you have questions, please contact the awarding agency.

Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.

Does EXECUTIVE DEPARTMENT OF THE STATE OF RHODE ISLAND wish to apply for a Federal financial assistance project or program? \*

Yes  
 No

**Issue Financial Assistance General Certifications and Representations**

As the duly authorized representative of the EXECUTIVE DEPARTMENT OF THE STATE OF RHODE ISLAND, I certify that the EXECUTIVE DEPARTMENT OF THE STATE OF RHODE ISLAND:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability to ensure the management, and completion of any financial assistance project covered by this Certifications and Representations document (2 CFR 200.113 Mandatory disclosures, 2 C.F.R. §200.214 Suspension and debarment, OMB Guidance A-129, "Policies for Federal Programs and Non-Tax Receivables");
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will maintain an accounting system in accordance with generally accepted accounting standards or agency directives (See 2 C.F.R. §200.208 Management and 2 C.F.R. §200.303 Internal controls);

Programs and Non-Tax Receivables 7).

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the representative, access to and the right to examine all records, books, papers, or documents related to the accounting system in accordance with generally accepted accounting standards or agency directives (See 2 C.F.R. §200.303 Internal controls);
3. Will disclose in writing any potential conflict of interest to the Federal awarding agency or pass through entity Federal awarding agency policy (See 2 C.F.R. §200.112 Conflict of interest);
4. Will comply with all limitations imposed by annual appropriation acts;
5. Will comply with the U.S. Constitution, all Federal laws, and relevant Executive guidance in promoting the free liberty in the administration of federally-funded programs (See 2 C.F.R. §200.300 Statutory and national policy §200.303 Internal controls);
6. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and public assistance awards and any Federal financial assistance project covered by this certification document, including:
  - a. Trafficking Victims Protection Act(TVPA) of 2000, as amended, 22 U.S.C. §7104(g);
  - b. Drug Free Workplace, 41 U.S.C. §8103;
  - c. Protection from Reprisal of Disclosure of Certain Information, 41 U.S.C. §4712;
  - d. National Environmental Policy Act of 1969, as amended, 42 U.S.C. §4321 et seq;
  - e. Universal Identifier and System for Award Management, 2 C.F.R. part 2;
  - f. Reporting Subaward and Executive Compensation Information, 2 C.F.R. part 170;
  - g. OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement), 2 C.F.R. part 101-11.6;
  - h. Civil Actions for False Claims Act, 31 U.S.C. §3730;
  - i. False Claims Act, 31 U.S.C. §3729, 18 U.S.C. §§287 and 1001;
  - j. Program Fraud and Civil Remedies Act, 31 U.S.C. §3801 et seq;
  - k. Lobbying Disclosure Act of 1995, 2 U.S.C. §1601 et seq;
  - l. Title VI of the Civil Rights Act of 1964, 42 U.S.C. §2000d et seq;
  - m. Title VIII of the Civil Rights Act of 1968, 42 U.S.C. § 3601 et seq;
  - n. Title IX of the Education Amendments of 1972, as amended; 20 U.S.C. §1681 et seq;
  - o. Section 504 of the Rehabilitation Act of 1973, as amended; 29 U.S.C. §794; and
  - p. Age Discrimination Act of 1975, as amended, 42 U.S.C. §6101 et seq.

I have read each of the certifications and representations presented on this page. By submitting this certification, I am attesting to the accuracy of the certifications and representations contained herein. I understand that I may be held liable under Section 1001, Title 18 of the United States Code or civil liability under the False Claims Act if I misrepresent information to the DEPARTMENT OF THE STATE OF RHODE ISLAND by providing false, fictitious, or fraudulent information to

Cancel

Previous

view.jsf

AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standan

Last Name:	[REDACTED]
Email:	[REDACTED]
US Phone:	[REDACTED]
Extension:	[REDACTED]
NON US Phone:	
Fax:	
Notes:	
Address Line 1:	One Capitol Hill, 4th Floor
Address Line 2:	
City:	Providence
State/Province:	RI
Country:	UNITED STATES
ZIP/Postal Code:	02908

By submitting this registration, you are certifying the information is accurate and complete. Knowingly providing false or misleading information may result in criminal prosecution under Section 1001, Title 18 of the United States Code. Criminal Penalties could include imprisonment, or both. You may be subject to other penalties as well, including, but not limited to, administrative remedies and debarment; ineligibility to participate in programs conducted under the authority of the Small Business Act; or civil liability under the False Claims Act.

Cancel

↓



entity/submitRegistration.jsf

AZ AZ P DoIT eCivis HELP DESK Airtable GMS PP2 GMS PM RI eCivis Final Cross... GMS Standard Appl...

ces Help

**Register Entity**

Core Data

Representations and Certifications

Points of Contact

Submit Registration

Entity Review

Confirmation Page

**Submit Registration**
EXECUTIVE DEPARTMENT OF THE  
RHODE ISLAND

Confirmation Page
Unique Entity ID: [REDACTED]
CAGE Code

Registration Submitted - Confirmation

Wed Oct 12 13:28:30 EDT 2022

You successfully submitted your entity registration. This registration record will remain in Submitted status until all external validations are complete. This process is entirely FREE to you. It is FREE to register and maintain your registration in SAM. It is FREE to get help with your registration.

**What happens next?**

- 1 If you provided a Taxpayer Identification Number (TIN), the Internal Revenue Service (IRS) will conduct a validation of your TIN and Taxpayer Name. This could take two business days. You will get an email from @sam.gov when that review is complete.
- 2 Your registration will then be sent to the Defense Logistics Agency (DLA) Commercial and Government Entity (CAGE) Code system for assignment or validation of your CAGE Code. This also is a FREE service. This step averages two business days; however, the DLA CAGE team can take up to ten business days, or longer, in peak periods. You will get an email from @sam.gov when that review is complete.
- 3 If the DLA CAGE team has any questions, they will contact the individual you listed as the Government Business Point of Contact (POC) via email. The email will come from an @dla.mil address. Please tell your Government Business POC to respond to any requests from an @dla.mil email. If a timely response is not received, your registration will be returned to SAM and your registration status changed to Work in Progress. You will have to resubmit and provide the requested information to the DLA CAGE to continue.
- 4 You will get an email from @sam.gov when your registration passes these external validations and becomes Active. While you are waiting, select Check Status on the SAM.gov homepage to see where your registration is in the review process.
- 5 Remember, it is FREE to register and maintain your registration in SAM. If you get an email from any address that does not end in .gov or .mil, be cautious. If you get an email, text message, or phone call asking for money or payment of any amount, be cautious. These parties do not represent the U.S. government. You engage third party vendors at your own risk.
- 6 You can get FREE help with your registration by contacting our supporting [Federal Service Desk \(FSD\)](#). In addition, if you are located in the U.S. and its outlying areas, you can get FREE support from your local Procurement Technical Assistance Center (PTAC), an official resource for government contracting assistance. Check the [PTAC website](#) to locate your closest PTAC.

Select [Back to Workspace](#) to be navigated to your Workspace where you can view your entity record and print or save a PDF.

Back to Workspace