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Securities Act of Ontario (R.S.O. 1990, c. S.5) and the
Commodity Futures Act of Ontario (R.S.O. 1990, c. C.20)

The Ontario Securities Commission

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Chapter 1

Notices / News Releases

1.5 Notices from the Office of the Secretary

1.5.1 Money Gate Mortgage Investment Corporation et al.

FOR IMMEDIATE RELEASE
May 9, 2018

**MONEY GATE MORTGAGE
INVESTMENT CORPORATION,
MONEY GATE CORP.,
MORTEZA KATEBIAN and
PAYAM KATEBIAN,
File No. 2017-79**

TORONTO – The Commission issued an Order in the above named matter.

A copy of the Order dated May 9, 2018 is available at www.osc.gov.on.ca.

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1.5.2 Harald Seemann et al.

FOR IMMEDIATE RELEASE
May 10, 2018

**HARALD SEEMANN,
JENS BRANDT AND
KARL PAWLOWICZ,
File No. 2018-19**

TORONTO – Further to the Notice of Hearing issued on May 3, 2018, a first attendance will be held on June 4, 2018 at 10:00 a.m. The hearing will be held on the 17th floor of the Commission's offices located at 20 Queen Street West, Toronto.

A copy of the Notice of Hearing dated May 3, 2018 and Statement of Allegations dated May 3, 2018 are available at www.osc.gov.on.ca.

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1.5.3 Omega Securities Inc.

**FOR IMMEDIATE RELEASE
May 14, 2018**

**OMEGA SECURITIES INC.,
File No. 2017-64**

TORONTO – The Commission issued an Order in the above named matter.

A copy of the Order dated May 14, 2018 is available at www.osc.gov.on.ca.

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1.5.4 Dennis L. Meharchand and Valt.X Holdings Inc.

**FOR IMMEDIATE RELEASE
May 15, 2018**

**DENNIS L. MEHARCHAND and
VALT.X HOLDINGS INC.**

TORONTO – The Commission issued an Order in the above named matter.

A copy of the Order dated May 14, 2018 is available at www.osc.gov.on.ca.

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Chapter 2

Decisions, Orders and Rulings

2.1 Decisions

2.1.1 Manulife Asset Management Limited et al.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Approval of mutual fund mergers – approval required because certain mergers do not meet the criteria for pre-approved reorganizations and transfers in National Instrument 81-102 – the fundamental investment objectives of the terminating funds and the continuing funds are not substantially similar for certain mergers – certain mergers not a “qualifying exchange” or a tax-deferred transaction under the Income Tax Act (Canada) – securityholders of terminating funds are provided with timely and adequate disclosure regarding the mergers.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 5.5(1)(b), 19.1.

April 11, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the “Jurisdiction”)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF MANULIFE ASSET MANAGEMENT LIMITED (the “Filer”)

AND

IN THE MATTER OF
MANULIFE CANADIAN OPPORTUNITIES BALANCED CLASS,
MANULIFE U.S. DOLLAR FLOATING RATE INCOME FUND,
MANULIFE PREFERRED INCOME CLASS,
MANULIFE ASIA TOTAL RETURN BOND FUND,
MANULIFE CANADIAN OPPORTUNITIES CLASS,
MANULIFE U.S. FIXED INCOME PRIVATE TRUST,
MANULIFE SHORT TERM BOND FUND,
MANULIFE CANADIAN CORPORATE BOND FUND,
MANULIFE HIGH YIELD BOND FUND,
MANULIFE EMERGING MARKETS DEBT FUND,
MANULIFE EMERGING MARKETS CLASS
(each a “Terminating Fund” and, collectively, the “Terminating Funds”)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Terminating Funds for a decision under the securities legislation of the Jurisdiction of the principal regulator (the “**Legislation**”) for approval of the proposed mergers (each a “**Merger**” and, collectively, the “**Mergers**”) of the Terminating Funds into the applicable Continuing

Funds (as defined below) under subsection 5.5(1)(b) of National Instrument 81-102 – *Investment Funds* (“**NI 81-102**”) (the “**Approval Sought**”).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission (the “**OSC**”) is the principal regulator for this application, and
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 – *Passport System* (“**MI 11-102**”) is intended to be relied upon in each of the other provinces and territories of Canada (together with Ontario, the “**Jurisdictions**”).

Interpretation

Terms defined in National Instrument 14-101 – *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Filer

- 1. The Filer is a corporation amalgamated under the *Canada Business Corporations Act* with its head office located in Toronto, Ontario.
- 2. The Filer is registered in the following categories: portfolio manager in all provinces and territories of Canada, investment fund manager in Ontario, Newfoundland and Labrador, and Quebec, commodity trading manager in Ontario, and derivatives portfolio manager in Quebec.
- 3. The Filer is the manager of the Funds (as defined below) and also the trustee of the Trust Funds (as hereinafter defined).

The Funds

- 4. Each of Manulife U.S. Dollar Floating Rate Income Fund, Manulife Asia Total Return Bond Fund, Manulife U.S. Fixed Income Private Trust, Manulife Short Term Bond Fund, Manulife Canadian Corporate Bond Fund, Manulife High Yield Bond Fund and Manulife Emerging Markets Debt Fund, (each a “**Trust Fund**”, and together, the “**Trust Funds**”) are open-ended mutual fund trusts established under the laws of Ontario by declarations of trust and where applicable, separate regulations (together with the declarations of trust, the “**Declarations of Trust**”) and are governed by the provisions of NI 81-102.
- 5. Each of Manulife Canadian Opportunities Balanced Class, Manulife Preferred Income Class, Manulife Canadian Opportunities Class, and Manulife Emerging Markets Class (each a “**Corporate Class**”, and together, the “**Corporate Classes**”) are classes of mutual fund shares of Manulife Investment Exchange Funds Corp. (“**MIX Corp**”). MIX Corp is a mutual fund corporation formed under the laws of Ontario by articles of amalgamation dated November 21, 2015, as amended. Each Corporate Class is an open-ended mutual fund governed by the provisions of NI 81-102.
- 6. The Filer is proposing to merge each Terminating Fund listed in Schedule “A” into the corresponding continuing fund (each a “**Continuing Fund**” and, collectively, the “**Continuing Funds**” and, together with the Terminating Funds, the “**Funds**”) shown opposite its name.
- 7. Other than E-Series, Legend Series, Series H, Series HE, Series J, Series JT and Series K Securities, (the “**Non-Offered Continuing Fund Series**”), securities of the Continuing Funds are qualified for sale in each of the provinces and territories of Canada pursuant to a simplified prospectus and annual information form, each dated August 1, 2017, as amended.
- 8. Legend Series and E-Series of the Terminating Funds are currently capped to new purchases and not available by way of prospectus. In addition, securityholders of Series X, Series O, Series G and Series M of the Terminating Funds have only been offered by way of a prospectus exemption pursuant to National Instrument 45-106 – *Prospectus Exemptions*. All of such Terminating Fund series are referred to herein as the “**Non-Offered Terminating Fund Series**”.

9. The Terminating Funds and the Continuing Funds are reporting issuers as defined under the applicable securities legislation of each province and territory of Canada and are not in default of any of the requirements of the securities legislation of any of the provinces and territories of Canada.
10. The net asset value for each of the Funds is calculated on a daily basis at the end of each day the Toronto Stock Exchange is open for trading.
11. The Filer is not in default of any of the requirements of the securities legislation of any of the provinces and territories of Canada.
12. Other than under circumstances in which the securities regulatory authority or securities regulator of the Jurisdictions has expressly exempted a Fund therefrom, each of the Funds is governed and follows the standard investment restrictions and practices established by NI 81-102.

Reason for Approval Sought

13. The Approval Sought is required because: (i) in the case of the Mergers between Manulife Asia Total Return Bond Fund and Manulife Global Tactical Credit Fund, Manulife U.S. Dollar Floating Rate Income Fund and Manulife U.S. Dollar Strategic Income Fund, Manulife Preferred Income Class and Manulife Dividend Income Class, Manulife U.S. Fixed Income Private Trust and Manulife Global Fixed Income Private Trust, Manulife Short Term Bond Fund and Manulife Money Market Private Trust, Manulife Canadian Corporate Bond Fund and Manulife Canadian Bond Plus Fund, Manulife Emerging Markets Debt Fund and Manulife Global Tactical Credit Fund, a reasonable person may not consider the fundamental investment objectives of a Terminating Fund and its corresponding Continuing Fund to be “substantially similar” as required by subsection 5.6(1)(a)(ii) of NI 81-102, and (ii) contrary to clause 5.6(1)(b) of NI 81-102, the Mergers will not be effected in reliance on the “qualifying exchange” or tax-deferred transaction provisions of the *Income Tax Act* (Canada) (the “**Tax Act**”).

The Proposed Mergers

14. Each Merger is anticipated to be effective on or about the effective date as set out in Schedule “A” (the “**Effective Date**”).
15. Pursuant to subsection 5.1(f) of NI 81-102, securityholders of the Terminating Funds approved the Mergers at special meetings held on April 4, 2018.
16. Pursuant to 5.1(g) of NI 81-102, securityholders of the Manulife Canadian Monthly Income Class, Manulife Dividend Income Class, Manulife Canadian Stock Class, Manulife Money Market Private Trust, Manulife Canadian Bond Plus Fund and Manulife U.S. Tactical Credit Fund approved the Mergers at special meetings held on April 4, 2018 as each such Merger was a material change for such Funds.
17. Pursuant to National Instrument 81-107 – *Independent Review Committee for Investment Funds*, the independent review committee of the Funds (the “**IRC**”) has reviewed the proposed Mergers and the process to be followed in connection with each such Merger, and has advised the Filer that, in the opinion of the IRC, having reviewed each Merger as a potential “conflict of interest matter”, each Merger achieves a fair and reasonable result for the Funds. Such opinion of the IRC was disclosed in the Circular (as defined below).
18. No costs or expenses will be payable in connection with the acquisition by a Continuing Fund of the investment portfolio of its corresponding Terminating Fund.
19. The Filer will pay for the costs of the Mergers. These costs consist mainly of legal, proxy solicitation, printing, mailing, brokerage costs and regulatory fees.
20. Except as noted above, the Mergers will otherwise comply with all other criteria for pre-approved reorganizations and transfers set out in section 5.6 of NI 81-102.

Securityholder Disclosure

21. A press release was issued and filed on SEDAR on January 15, 2018, and a material change report was filed on SEDAR on January 25, 2018 with respect to the proposed Mergers. The simplified prospectus, annual information form, and fund facts for the applicable Funds were amended to include disclosure with respect to the Mergers in accordance with applicable securities law.

22. A notice of meeting, a management information circular ("**Circular**") and a form of proxy (together, the "**Meeting Materials**") in connection with the special meetings of securityholders being held on or about April 4, 2018, were mailed to investors of record as at February 20, 2018 of the Terminating Funds, certain Continuing Funds, and to other applicable securityholders for matters that are not subject to this application, and filed on SEDAR, on or before March 14, 2018.
23. The Circular provided securityholders of the Terminating Funds with sufficient information to permit them to make an informed decision as to whether to approve the Mergers or not, including a discussion regarding the tax implications of the Mergers, the differences between being a securityholder of a mutual fund trust and a securityholder of a mutual fund corporation and the potential benefits of the Mergers.
24. The Circular also contained certain prospectus-level disclosure concerning the Continuing Funds, including information in respect of its: investment objective, investment structure (i.e.: trust or corporation), registered plan eligibility, portfolio management responsibility, net asset value, fees and expenses, annual returns, valuation procedures, and distribution policy. In addition, the Circular highlighted the similarities and differences between each Terminating Fund and the Continuing Fund with respect to such matters.
25. The Circular also disclosed that securityholders could obtain the simplified prospectus, annual information form, fund facts, the most recent annual and interim financial statements and the most recent annual and interim management report of fund performance for the Continuing Funds from the Filer upon request or on SEDAR at www.sedar.com. Also, when applicable, accompanying the Circular delivered to securityholders of the Terminating Funds was a copy of the fund facts for the relevant Continuing Fund. Accordingly, investors of the Terminating Funds will have an opportunity to consider this information prior to voting on the Mergers at the special meetings.

Merger Steps

26. The Mergers will be structured substantially as follows:
 - (i) The value of each Terminating Fund's portfolio and other assets will be determined at the close of business on the Effective Date.
 - (ii) The Declaration of Trust governing each Trust Fund and the articles of MIX Corp. will be amended to permit such actions as are necessary to complete the Mergers.
 - (iii) Prior to effecting a Merger, each Terminating Fund will sell certain portfolio securities in order to better align with the portfolio of the corresponding Continuing Fund.
 - (iv) Immediately following the close of business on the Effective Date, each Terminating Fund will transfer all of its assets and liabilities to the Continuing Fund with which the Terminating Fund is merging.
 - (v) In exchange, the Terminating Fund will receive securities of the relevant series of the corresponding Continuing Fund, the aggregate value of which is equal to the aggregate net asset value (the "**NAV**") of the assets of the Terminating Fund transferred to such Continuing Fund, in each case calculated as of the close of business on the Effective Date.
 - (vi) Immediately thereafter, each Terminating Fund will cause all of its securities to be redeemed and pay the redemption price by distributing securities of the corresponding Continuing Fund. This will result in each securityholder of a Terminating Fund receiving securities of the applicable series of the corresponding Continuing Fund with a NAV equal to the NAV of the securities of the relevant series of the Terminating Fund that were held by such securityholder.
 - (vii) Securityholders of a Terminating Fund will receive securities of its corresponding Continuing Fund as follows:

<i>Terminating Fund</i>	<i>Continuing Fund</i>
<i>Manulife U.S. Fixed Income Private Trust</i>	<i>Manulife Global Fixed Income Private Trust</i>
Advisor Series securities	Series H securities ¹
Series F securities	Series J securities ¹

¹ New series of securities of the Continuing Fund will be created to grandfather the lower management fees of the Terminating Fund. Please see Schedule "B" for the new series being created. Such new series may be re-named by the Filer, at its discretion, prior to the Merger taking place.

<i>Terminating Fund</i>	<i>Continuing Fund</i>
Series X securities	Series X securities
Series O securities	Series O securities
Series G securities	Series G securities
Series L securities	Series HE securities ¹
<i>Manulife Short Term Bond Fund</i>	<i>Manulife Money Market Private Trust</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Legend Series securities	Legend Series securities
E-Series securities	E-Series securities
Series X securities	Series X securities
Series H securities	Advisor Series securities
Series J securities	Series F securities
Series G securities	Series G securities
<i>Manulife Canadian Corporate Bond Fund</i>	<i>Manulife Canadian Bond Plus Fund</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series T securities
Legend Series securities	Legend Series securities
E-Series securities	E-Series securities
Series X securities	Series X securities
Series G securities	Series G securities
<i>Manulife High Yield Bond Fund</i>	<i>Manulife U.S. Tactical Credit Fund</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series T securities
Legend Series securities	Legend Series securities
E-Series securities	E-Series securities
Series X securities	Series X securities
Series M securities	Series M securities
Series J securities	Series F securities

<i>Terminating Fund</i>	<i>Continuing Fund</i>
Series G securities	Series G securities
Series D securities	Series D securities
<i>Manulife Emerging Markets Debt Fund</i>	<i>Manulife Global Tactical Credit Fund</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series T securities
Series I securities	Series I securities
Legend Series securities	Legend Series securities
E-Series securities	E-Series securities
Series X securities	Series X securities
Series J securities	Series F securities
Series G securities	Series G securities
<i>Manulife Emerging Markets Class</i>	<i>Manulife Emerging Markets Fund</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series T securities
Series X securities	Series X securities
Series G securities	Series G securities
<i>Manulife Canadian Opportunities Balanced Class</i>	<i>Manulife Canadian Monthly Income Class</i>
Advisor Series securities	Series H securities ²
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series K securities ²
<i>Manulife U.S. Dollar Floating Rate Income Fund</i>	<i>Manulife U.S. Dollar Strategic Income Fund</i>
Advisor Series securities	Series H securities ²
Series F securities	Series J securities ²
Series FT securities	Series JT securities ²

² New series of securities of the Continuing Fund will be created to grandfather the lower management fees of the Terminating Fund. Please see Schedule "B" for the new series being created. Such new series may be re-named by the Filer, at its discretion, prior to the Merger taking place.

<i>Terminating Fund</i>	<i>Continuing Fund</i>
Series T securities	Series K securities ²
Series I securities	Series I securities
<i>Manulife Preferred Income Class</i>	<i>Manulife Dividend Income Class</i>
Advisor Series securities	Series H securities ²
Series F securities	Series J securities ²
Series FT securities	Series JT securities ²
Series T securities	Series K securities ²
Series I securities	Series I securities
<i>Manulife Asia Total Return Bond Fund</i>	<i>Manulife Global Tactical Credit Fund</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series T securities
Series I securities	Series I securities
Series X securities	Series X securities
Series G securities	Series G securities
Series D securities	Series D securities
<i>Manulife Canadian Opportunities Class</i>	<i>Manulife Canadian Stock Class</i>
Advisor Series securities	Advisor Series securities
Series F securities	Series F securities
Series FT securities	Series FT securities
Series T securities	Series T securities
Series D securities	Series D securities

As soon as reasonably practicable after the distribution of securities of the Continuing Fund to the Terminating Fund's securityholders, such Fund will be terminated or wound up.

27. As indicated in the table above, securityholders of the Non-Offered Terminating Fund Series (the "**Non-Offered Terminating Fund Securityholders**"), will receive corresponding Non-Offered Continuing Fund Series upon completion of their Mergers.
28. In addition to the Non-Offered Terminating Fund Securityholders, the securityholders of Advisor Series, Series F and Series L of Manulife U.S. Fixed Income Private Trust, Advisor Series and Series T of Manulife Canadian Opportunities Balanced Class, Advisor Series, Series F, Series FT and Series T of Manulife U.S. Dollar Floating Rate Income Fund, and Advisor Series, Series F, Series FT and Series T of Manulife Preferred Income Class (the "**Offered Terminating Fund Securityholders**") will receive corresponding Non-Offered Continuing Fund Series as indicated in the table above upon completion of their Mergers. Such Mergers involving the Non-Offered Terminating Fund Securityholders and the Offered Terminating Fund Securityholders, and the resultant distribution of securities of Non-Offered Continuing Fund Series, will be completed in reliance on the prospectus exemption contained in section 2.11 of

National Instrument 45-106 *Prospectus Exemptions*. Non-Offered Continuing Fund Series are being created solely to grandfather the lower management fees of the Terminating Funds to facilitate such Mergers and are not or will not be qualified for distributions or available for sale following completion of the Mergers.

29. With respect to the Mergers involving Offered Terminating Fund Securityholders described in paragraph 28 above, the Filer included prospectus level disclosure in the Circular describing the applicable securities and Mergers in sufficient detail to enable the Offered Terminating Fund Securityholders to form a reasoned judgement concerning the applicable Mergers. In particular, the Circular disclosed information regarding fees, expenses, investment objectives, risk ratings, valuation procedures, the manager, the portfolio advisor (or sub-advisor, as applicable), income tax considerations and net asset value of the applicable Terminating Funds and applicable Continuing Funds.
30. Securityholders of a Terminating Fund will continue to have the right to redeem securities of such Terminating Fund for cash at any time up to the close of business on the Effective Date. The Circular disclosed that, upon acquisition of securities of the Continuing Fund, Terminating Fund securityholders will be subject to the same redemption charges to which their securities of the Terminating Fund were subject prior to their Merger occurring.
31. All Terminating Funds with an Effective Date of April 20, 2018 will be capped to new purchases and redemptions as of 4:00 pm (Toronto time) on: (i) April 18, 2018 for wire orders over Fundserv, and (ii) after 4:00 pm (Toronto time) on April 20, 2018 for direct orders, in each case to allow for the Mergers to be processed. In addition, all Terminating Funds will be capped to switches and transfers over Fundserv after 4:00 pm (Toronto time) on April 19, 2018.
32. All Terminating Funds with an Effective Date of May 25, 2018 will be capped to new purchases and redemptions as of 4:00 pm (Toronto time) on: (i) May 23, 2018 for wire orders over Fund, and (ii) after 4:00 pm (Toronto time) on May 25, 2018 for direct orders, in each case to allow for the Mergers to be processed. In addition, all Terminating Funds will be capped to switches and transfers over Fundserv after 4:00 pm (Toronto time) on May 24, 2018.

Benefits of Mergers

33. The Filer believes that the Mergers will benefit securityholders of the Funds because:
 - (i) Each Terminating Fund has a similar investment mandate as the Continuing Fund and would generally attract the same type of investor with a similar risk-return profile. As a result, each Merger will contribute towards reducing duplication and redundancy across the Manulife fund line-up and may potentially reduce the administrative and regulatory operating costs and expenses associated with the Terminating Funds.
 - (ii) Each Merger has the potential to lower costs for securityholders as Certain Fund Costs (as defined in the Simplified Prospectus of the Terminating Funds and Continuing Funds dated August 1, 2017, as amended) of the Continuing Funds will be spread over a greater pool of assets when the Terminating Funds merge into the corresponding Continuing Funds, potentially resulting in a lower management expense ratio for the Continuing Funds than may occur otherwise. No securityholder of the Terminating Funds will be subject to an increase in management fees as a result of the Terminating Funds merging into the corresponding Continuing Funds.
 - (iii) Each Continuing Fund will have an asset base of greater size, potentially allowing for increased portfolio diversification opportunities and a smaller proportion of assets set aside to fund redemptions. The ability to improve diversification may lead to increased returns and a reduction of risk, while at the same time creating a higher profile that may attract more investors.
 - (iv) Each of the Continuing Funds is expected to attract more assets as marketing efforts will be concentrated on fewer funds, rather than multiple funds with similar investment mandates. The ability to attract assets to the Continuing Funds will benefit investors by ensuring that the Continuing Funds remain viable, long-term, attractive investment vehicles for existing and potential investors.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Approval Sought is granted.

“Darren McKall”

Manager, Investment Funds & Structured Products Branch
Ontario Securities Commission

SCHEDULE "A"

TERMINATING FUND	CONTINUING FUND	EFFECTIVE DATE	MERGER TYPE
Manulife Canadian Opportunities Balanced Class	Manulife Canadian Monthly Income Class	On or about April 20, 2018	Corporate to Corporate
Manulife U.S. Dollar Floating Rate Income Fund	Manulife U.S. Dollar Strategic Income Fund	On or about April 20, 2018	Trust to Trust
Manulife Preferred Income Class	Manulife Dividend Income Class	On or about April 20, 2018	Corporate to Corporate
Manulife Asia Total Return Bond Fund	Manulife Global Tactical Credit Fund	On or about April 20, 2018	Trust to Trust
Manulife Canadian Opportunities Class	Manulife Canadian Stock Class	On or about April 20, 2018	Corporate to Corporate
Manulife U.S. Fixed Income Private Trust	Manulife Global Fixed Income Private Trust	On or about May 25, 2018	Trust to Trust
Manulife Short Term Bond Fund	Manulife Money Market Private Trust	On or about May 25, 2018	Trust to Trust
Manulife Canadian Corporate Bond Fund	Manulife Canadian Bond Plus Fund	On or about May 25, 2018	Trust to Trust
Manulife High Yield Bond Fund	Manulife U.S. Tactical Credit Fund	On or about May 25, 2018	Trust to Trust
Manulife Emerging Markets Debt Fund	Manulife Global Tactical Credit Fund	On or about May 25, 2018	Trust to Trust
Manulife Emerging Markets Class	Manulife Emerging Markets Fund	On or about May 25, 2018	Corporate to Trust

SCHEDULE "B"

NEW GRANDFATHERING SERIES IN CONTINUING FUNDS

Terminating Fund		Continuing Fund
<i>Manulife Canadian Opportunities Balanced Class</i>	<i>into</i>	<i>Manulife Canadian Monthly Income Class</i>
Advisor Series securities	→	Series H securities
Series T securities	→	Series K securities

<i>Manulife U.S. Dollar Floating Rate Income Fund</i>	<i>into</i>	<i>Manulife U.S. Dollar Strategic Income Fund</i>
Advisor Series securities	→	Series H securities
Series F securities	→	Series J securities
Series FT securities	→	Series JT securities
Series T securities	→	Series K securities

<i>Manulife Preferred Income Class</i>	<i>into</i>	<i>Manulife Dividend Income Class</i>
Advisor Series securities	→	Series H securities
Series F securities	→	Series J securities
Series FT securities	→	Series JT securities
Series T securities	→	Series K securities

<i>Manulife U.S. Fixed Income Private Trust</i>	<i>Into</i>	<i>Manulife Global Fixed Income Private Trust</i>
Advisor Series securities	→	Series H securities
Series F securities	→	Series J securities
Series L securities	→	Series HE securities

2.1.2 Brookfield Property Partners L.P. et al.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Filers want to put in place a credit support issuer structure, but are unable to rely on the exemption for credit support issuers in applicable securities legislation – Relief granted from continuous disclosure requirements, certification requirements, insider reporting requirements, audit committee requirements, short form prospectus qualification requirements and corporate governance requirements – Relief also granted from incorporation by reference requirements, earnings coverage requirements and subsidiary credit supporter requirements – Filers unable to rely on exemption for credit support issuers in applicable securities legislation since the Holding LP and Brookfield Property Partners are partnerships, and certain Preference Shares may be convertible, in certain circumstances, into other series of Preference Shares, as well as the fact that Brookfield Property Partners satisfies its continuous disclosure obligations by complying with U.S. federal securities law as is permitted under NI 71-102 – Relief granted subject to conditions.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, ss. 107, 121(2)(a)(ii).
National Instrument 44-101 Short Form Prospectus Distributions, ss. 2.4, 2.8, 8.1(2).
Form 44-101F1 Short Form Prospectus, ss. 6.1, 11.1(1), 12.1, 13.3.
National Instrument 51-102 Continuous Disclosure Obligations, ss. 13.1, 13.4.
National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings, ss. 8.5, 8.6.
National Instrument 52-110 Audit Committees, ss. 1.2(g), 8.1.
National Instrument 55-102 System for Electronic Disclosure by Insiders (SEDI), s. 6.1.
National Instrument 55-104 Insider Reporting Requirements and Exemptions, s. 10.1(2).
National Instrument 58-101 Disclosure of Corporate Governance Practices, ss. 1.3(c), 3.1(2).

May 4, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(THE JURISDICTION)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
BROOKFIELD PROPERTY PARTNERS L.P.
(BROOKFIELD PROPERTY PARTNERS)

AND

BROOKFIELD PROPERTY FINANCE ULC
(DEBT ISSUER)

AND

BROOKFIELD PROPERTY PREFERRED EQUITY INC.
(PREF ISSUER)

DECISION

Background

The principal regulator in the Jurisdiction has received an application (the **Application**) from Brookfield Property Partners, the Debt Issuer and the Pref Issuer (collectively, the **Filers**) for a decision under the securities legislation of the principal regulator

(the **Legislation**) granting exemptive relief for the Debt Issuer and the Pref Issuer and, in respect of (c), the insiders of the Debt Issuer and the Pref Issuer, from certain requirements including:

- (a) the continuous disclosure requirements contained in the Legislation, including requirements under National Instrument 51-102 – *Continuous Disclosure Obligations* (**NI 51-102**), as amended from time to time (the **Continuous Disclosure Requirements**);
- (b) the certification requirements contained in National Instrument 52-109 – *Certification of Disclosure in Issuers' Annual and Interim Filings*, as amended from time to time (the **Certification Requirements**);
- (c) the insider reporting requirements contained in the Legislation under sections 107 and 109 of the *Securities Act* (Ontario) (the **Act**) as well as the requirement to file an insider profile and insider reports under National Instrument 55-102 – *System for Electronic Disclosure by Insiders*, as amended from time to time, in respect of the respective insiders of the Debt Issuer and the Pref Issuer (the **Insider Reporting Requirements**);
- (d) the requirements of the Legislation relating to audit committees, including, without limitation, National Instrument 52-110 – *Audit Committees*, as amended from time to time (the **Audit Committee Requirements**);
- (e) the requirement in section 2.8 of National Instrument 44-101 – *Short Form Prospectus Distributions* (**NI 44-101**) for the Debt Issuer and the Pref Issuer to each file a notice of intention to file a short form prospectus no fewer than 10 business days prior to a filing of a preliminary short form prospectus (the **Notice of Intention Requirement**);
- (f) the corporate governance disclosure requirements contained in National Instrument 58-101 – *Disclosure of Corporate Governance Practices*, as amended from time to time (the **Corporate Governance Requirements** and together with the Continuous Disclosure Requirements, Certification Requirements, Insider Reporting Requirements and Audit Committee Requirements, the **Reporting Issuer Requirements**);
- (g) the qualification requirements (the **Qualification Requirements**) of Part 2 of NI 44-101, such that the Debt Issuer and the Pref Issuer are qualified to file a prospectus in the form of a short form prospectus;
- (h) the disclosure requirements contained in paragraphs 1 to 4 and 6 to 8 of item 11 of Form 44-101F1 – *Short Form Prospectus* (**Form 44-101F1**) (the **Incorporation by Reference Requirements**);
- (i) the disclosure requirements contained in item 6 of Form 44-101F1 (the **Earnings Coverage Requirements**); and
- (j) the disclosure requirements contained in item 12 of Form 44-101F1 (the **Subsidiary Credit Supporter Requirements** and together with the Incorporation by Reference Requirements and the Earnings Coverage Requirements, the **Prospectus Disclosure Requirements**),

in connection with the Filers' plan to file a collective base shelf prospectus (the **Base Shelf Prospectus**) authorizing: (i) the Debt Issuer to issue debt securities; (ii) the Pref Issuer to issue preference shares; and (iii) Brookfield Property Partners to issue limited partnership units (the **Units**) and preferred limited partnership units, in each case to the public pursuant to one or more prospectus supplements to the Base Shelf Prospectus (collectively, the **Exemption Sought**).

Furthermore, the principal regulator in the Jurisdiction has received a request from the Filers for a decision that the Application and this decision be kept confidential and not be made public until the earlier of: (a) the date on which the Filers file the preliminary Base Shelf Prospectus; (b) the date on which the Filers advise the Ontario Securities Commission, as the principal regulator, that there is no longer any need for the Application and decision to remain confidential; and (c) the date that is 60 days after the date of this decision (the **Confidentiality Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this Application; and
- (b) the Filers have provided notice that section 4.7(1) of Multilateral Instrument 11-102 – *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, the Northwest Territories, Yukon and Nunavut (collectively with the Jurisdiction, the **Reporting Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 – *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined. In this decision, Brookfield Property Partners Related Entities means, collectively, the Holding LP and subsidiary entities (as this term is defined in Multilateral Instrument 61-101 – *Take-Over Bids and Special Transactions*) of the Holding LP.

Representations

This decision is based on the following facts represented by the Filers:

Brookfield Property Partners

1. Brookfield Property Partners is a Bermuda exempted limited partnership that was established on January 3, 2013.
2. The Units are listed on the NASDAQ and the Toronto Stock Exchange under the symbols “BPY” and “BPY.UN”, respectively. As of April 17, 2018, there were 255,071,358 Units issued and outstanding and, as of April 17, 2018, approximately 191,966,808 Units, representing approximately 75% of the total issued and outstanding Units, were beneficially and directly held by Canadian residents.
3. Brookfield Property Partners is a reporting issuer in the Reporting Jurisdictions and is not in default of any requirements under applicable securities legislation or the rules and regulations made pursuant thereto in the Reporting Jurisdictions.
4. Brookfield Property Partners is a SEC foreign issuer within the meaning of section 1.1 of National Instrument 71-102 – *Continuous Disclosure and Other Exemptions Relating to Foreign Issuers (NI 71-102)* and satisfies its continuous disclosure obligations by complying with U.S. federal securities laws as is permitted under NI 71-102.
5. The general partner of Brookfield Property Partners is Brookfield Property Partners Limited (**BPY General Partner**), a Bermuda company and also a wholly-owned subsidiary of Brookfield Asset Management Inc. (**BAM**). BPY General Partner holds a 0.1% general partnership interest in Brookfield Property Partners. The mind and management of BPY General Partner is located in Bermuda.
6. BAM, a Canadian company, is Brookfield Property Partners’ largest holder of Units. As of April 17, 2018, BAM owned, directly or indirectly, 50,332,307 Units, 138,875 general partner units of Brookfield Property Partners, 432,649,105 Redemption-Exchange Units (defined below) and 4,759,997 special limited partnership interests in Brookfield Property L.P. (the **Holding LP**), collectively representing an approximate 69% interest in Brookfield Property Partners (assuming the exchange of the Redemption-Exchange Units and the limited partnership units of Brookfield Office Properties Exchange LP not held by subsidiaries of Brookfield Property Partners, or 62% on a fully-exchanged basis) including the indirect general partnership interest held in Brookfield Property Partners held by BPY General Partner.
7. Brookfield Property Partners’ sole asset is a 100% managing general partnership interest in the Holding LP.
8. Brookfield Property Partners is the managing general partner of the Holding LP, a Bermuda exempted limited partnership that was established on January 4, 2013. The Holding LP owns, directly or indirectly, all of the common shares of Brookfield BPY Holdings Inc., an Ontario corporation (**CanHoldco**), Brookfield BPY Retail Holdings II Inc., an Ontario corporation (**CanHoldco 2**), BPY Bermuda Holdings Limited, a Bermuda company (**Bermuda Holdco**), and BPY Bermuda Holdings II Limited, a Bermuda company (**Bermuda Holdco 2**), BPY Bermuda Holdings IV Limited, a Bermuda company (**Bermuda Holdco 4**), BPY Bermuda Holdings V Limited, a Bermuda company (**Bermuda Holdco 5**) and BPY Bermuda Holdings VI Limited (**Bermuda Holdco 6** and, collectively with CanHoldco, CanHoldco 2, Bermuda Holdco, Bermuda Holdco 2, Bermuda Holdco 4 and Bermuda Holdco 5, the **Holding Entities**).
9. Brookfield Property Partners, the Holding LP and related entities have retained BAM (together with its subsidiaries other than Brookfield Property Partners and its subsidiaries, **Brookfield**) and its related entities to provide management, administrative and advisory services under an amended and restated master services agreement.

The Debt Issuer

10. The Debt Issuer is an unlimited liability company formed under the *Business Corporations Act* (Alberta) on April 18, 2018. The Debt Issuer is a wholly-owned subsidiary of CanHoldco.
11. The Debt Issuer’s registered office and Canadian head office is Suite 1700, 335 – 8th Avenue SW, Calgary, Alberta, T2P 1C9.

12. The Debt Issuer has been established to issue debt securities to the public.
13. The Debt Issuer's capital structure consists of an unlimited number of authorized Common Shares. As the date hereof, CanHoldco owns all of the issued and outstanding Common Shares of the Debt Issuer.
14. None of the Common Shares of the Debt Issuer trade publicly, on a stock exchange or otherwise.
15. Brookfield Property Partners indirectly owns 100% of CanHoldco's issued and outstanding securities except for all of issued and outstanding (i) Class A Senior Preference Shares, Series 1, which are held by Brookfield; (ii) Class B Junior Preference Shares, Series 1, which are held by Brookfield; and (iii) Class C Junior Preference Shares, Series 1, which are held by Brookfield (collectively the **Current Preference Shares**). The Current Preference Shares have an aggregate voting entitlement of 3% of the aggregate votes entitled to be cast at a meeting of the shareholders. Brookfield Property Partners therefore indirectly controls 97% of the voting securities of CanHoldco, and CanHoldco controls 100% of the voting securities of the Debt Issuer.
16. Brookfield has recently agreed to subscribe for additional Units and will satisfy payment of the subscription price by transferring all of the Class C Junior Preference Shares, Series 1 it holds to Brookfield Property Partners (the **Acquisition**), immediately after which Brookfield Property Partners will indirectly control 98% of the voting securities of CanHoldco.
17. The Debt Issuer is not currently a reporting issuer in the Reporting Jurisdictions; however it would become one upon filing the Base Shelf Prospectus, as it would at such time then satisfy the definition of "reporting issuer" in the Act and the equivalent securities legislation in the Reporting Jurisdictions.

The Pref Issuer

18. The Pref Issuer is a corporation formed under the *Business Corporations Act* (Ontario) on April 18, 2018. The Pref Issuer is a wholly-owned subsidiary of CanHoldco.
19. The Pref Issuer's registered office and Canadian head office is Suite 300, Brookfield Place Toronto, 181 Bay Street, Toronto, Ontario, M5J 2T3.
20. The Pref Issuer has been established to issue preference shares to the public.
21. The Pref Issuer's capital structure consists of an unlimited number of authorized: (i) Common Shares; (ii) Class A Preference Shares, issuable in series; and (iii) Class B Preference Shares, issuable in series. As of the date hereof, CanHoldco owns all of the issued and outstanding Common Shares of the Pref Issuer and there are no Class A Preference Shares or Class B Preference Shares issued and outstanding.
22. The Class A Preference Shares and the Class B Preference Shares are each issuable in one or more series having such rights, restrictions and privileges determined by the directors of the Pref Issuer.
23. None of the shares of the Pref Issuer trade publicly, on a stock exchange or otherwise.
24. Except as required by law or in the terms and conditions of a specific series, none of the Class A Preference Shares or the Class B Preference Shares is entitled to voting rights.
25. As discussed in paragraphs 15 and 16 above, Brookfield Property Partners indirectly controls 97% (which will be 98% after the Acquisition) of the voting securities of CanHoldco, and CanHoldco directly controls 100% of the voting securities of the Pref Issuer.
26. The Pref Issuer is not currently a reporting issuer in the Reporting Jurisdictions; however it would become one upon filing the Base Shelf Prospectus, as it would at such time then satisfy the definition of "reporting issuer" in the Act and the equivalent securities legislation in the Reporting Jurisdictions.

Base Shelf Filing

27. The Filers have determined that it is in their respective best interests for them to collectively file the Base Shelf Prospectus authorizing the issuance of: (i) Units and preferred limited partnership units by Brookfield Property Partners; (ii) debt securities (the **Debt Securities**) by the Debt Issuer; and (iii) Class A Preference Shares (the **Preference Shares**, and together with the Debt Securities, the **Securities**) by the Pref Issuer. The Preference Shares will have seniority over all other shares of the Pref Issuer and may, in certain circumstances, be convertible into Preference Shares of another series (the **Resulting Preference Shares**) or Units.

28. In connection with any issuance of Securities, Brookfield Property Partners, the Holding LP and the Holding Entities (collectively, the **Guarantors**) will each provide full and unconditional joint and several guarantees (collectively, the **Guarantees**) of the payments to be made by the Debt Issuer and the Pref Issuer in respect of the Securities, as stipulated in agreements governing the rights of holders of the Securities, that will result in the holders of such securities being entitled to receive payment from the Guarantors within 15 days of any failure by the Debt Issuer or the Pref Issuer to make a payment, as contemplated by paragraph (d) of the definition of “designated credit support security” in NI 51-102. The Guarantees in respect of the Securities will rank *pari passu* with certain senior preferred limited partnership units or preference shares of the Guarantors and junior to certain other obligations of the Guarantors. The Guarantees are expected to be in place by the time of an issuance of Securities.
29. Either the Debt Issuer or the Pref Issuer may guarantee preferred limited partnership units issued by Brookfield Property Partners and debt securities issued by Brookfield Property Partners’ subsidiaries. Such guarantees will rank *pari passu* with the Securities.

The Filers, the Holding LP and the Holding Entities

30. The Holding LP owns, directly or indirectly, all of the issued and outstanding common shares of all the Holding Entities and Brookfield owns all of the Current Preference Shares. The Current Preference Shares are redeemable for cash at the option of CanHoldco, subject to certain limitations. The Current Preference Shares are entitled to vote with the common shares of CanHoldco. The Current Preference Shares are not equity securities as such term is defined in the Act. The voting rights attached to the Current Preference Shares represent 3% of the votes to be cast by shareholders of CanHoldco; therefore they should be disregarded when considering the overall relationship between Brookfield Property Partners, the Debt Issuer, the Pref Issuer, the Holding LP and the Holding Entities.
31. The definitions of “subsidiary” and “beneficial ownership of securities” that apply under the Act only refer to the ownership or control of companies, as opposed to partnerships, and do not clearly capture the relationship that exists among Brookfield Property Partners, the Holding LP, the Debt Issuer, the Pref Issuer and CanHoldco. However, Brookfield Property Partners acts as the managing general partner of the Holding LP, holding a 100% managing general partnership interest in the Holding LP, and therefore controls the Holding LP directly. Further, the Holding LP owns, directly or indirectly, all of the equity and voting securities of the Holding Entities (other than as described in representation 30 above). As a result, Brookfield Property Partners consolidates the Holding LP (and all of the Holding LP’s assets, including the Holding Entities) in its financial statements.
32. Brookfield Property Special L.P. (**Property Special LP**), a Brookfield subsidiary, holds a 0.7% special limited partnership interest (the **Special Limited Partnership Units**) in the Holding LP, Qatar Investment Authority (**QIA**), an unrelated third party, holds class A preferred limited partnership units (the **Class A Preferred Units**) of the Holding LP and the remaining limited partnership interests (the **Redemption-Exchange Units**) in the Holding LP are held by Brookfield. Property Special LP is the sole holder of the Special Limited Partnership Units, QIA is the sole holder of the Class A Preferred Units and Brookfield is the sole holder of the Redemption-Exchange Units.
33. The Special Limited Partnership Units are non-voting interests in the Holding LP and are not redeemable or exchangeable. The Class A Preferred Units are non-voting interests in the Holding LP and are exchangeable into Units upon exchange, redemption or maturity. The Redemption-Exchange Units are subject to a redemption-exchange mechanism pursuant to which Brookfield has the right to require that the Holding LP redeem all or a portion of its Redemption-Exchange Units for a cash amount equal to the fair market value of one Unit multiplied by the number of Redemption-Exchange Units to be redeemed. In connection with the redemption, Brookfield Property Partners has the right to purchase all the Redemption-Exchange Units to be redeemed in exchange for Units on a one for one basis. The characteristics of the redemption-exchange mechanism associated with Brookfield’s Redemption-Exchange Units are such that the economic interest of Brookfield is an economic interest in Brookfield Property Partners rather than the Holding LP.
34. BPY General Partner holds a 0.1% general partnership interest in Brookfield Property Partners and acts as the general partner of Brookfield Property Partners. BPY General Partner is wholly-owned by Brookfield.
35. The Guarantors will be “credit supporters” of each of the Debt Issuer and the Pref Issuer and each of the Debt Issuer and the Pref Issuer will be a “credit support issuer”.
36. Each of the Debt Issuer and the Pref Issuer, and the relationship between each entity and Brookfield Property Partners, satisfies the requirements of section 13.4(2.1) of NI 51-102 in all respects, other than: (i) the fact that the Holding LP and Brookfield Property Partners are partnerships, (ii) certain Preference Shares may be convertible, in certain circumstances, into Resulting Preference Shares, (iii) the fact that Brookfield Property Partners satisfies its continuous disclosure obligations by complying with U.S. federal securities law as is permitted under NI 71-102, and (iv) the fact

that the Holding LP is not the beneficial owner of all of the voting securities of CanHoldco, which in turn wholly-owns each of the Debt Issuer and the Pref Issuer (see paragraph 30 above).

37. Brookfield Property Partners does not directly satisfy the definition of “parent credit supporter” (as defined in Part 13.4 of NI 51-102) in relation to each of the Debt Issuer and the Pref Issuer and the Securities as a result of the indirect ownership of the Debt Issuer and the Pref Issuer through CanHoldco. Therefore, the Securities are not “designated credit support securities” (as defined in Part 13.4 of NI 51-102). If the Exemption Sought is granted, the Filers will (i) treat Brookfield Property Partners as a “parent credit supporter” and comply with the conditions in section 13.4(2.1) of NI 51-102 that apply to parent credit supporters, and (ii) treat the Securities as “designated credit support securities” and comply with the conditions in section 13.4(2.1) of NI 51-102 that apply to designated credit support securities, in accordance with the terms and conditions of the decision document.
38. The Securities will satisfy the definition of “designated credit support securities” (as defined in Part 13.4 of NI 51-102), but for: (i) the fact that Brookfield Property Partners does not directly satisfy the definition of “parent credit supporter” (as defined in Part 13.4 of NI 51-102), and (ii) certain Preference Shares may be convertible, in certain circumstances, into Resulting Preference Shares.
39. Each of the Debt Issuer and the Pref Issuer plans to distribute Securities to the public pursuant to one or more prospectus supplements to the Base Shelf Prospectus, filed in the Reporting Jurisdictions, in reliance upon sections 2.4 of NI 44-101 and, if applicable, National Instrument 44-102 – *Shelf Distributions (NI 44-102)*. The Base Shelf Prospectus and any short form prospectuses filed in connection with future offerings of Securities will be prepared pursuant to the short form procedures contained in NI 44-101 and, if applicable, NI 44-102 and will comply with the requirements set out in Form 44-101F1 and, if applicable, NI 44-102, other than the Prospectus Disclosure Requirements.
40. The Pref Issuer will not directly satisfy the eligibility criteria in Part 2 of NI 44-101 (and thus the shelf qualification requirements in Part 2 of NI 44-102) in order to be able to file a prospectus in the form of a short form prospectus (and thus short form base shelf prospectus) for Preference Shares that are convertible into Resulting Preference Shares.
41. Brookfield Property Partners does not meet the test set forth in section 13.4(2)(a) of NI 51-102 as it does not directly satisfy the definition of “parent credit supporter” (as defined in Part 13.4 of NI 51-102) and, by virtue of section 13.4(4) of NI 51-102, Brookfield Property Partners is unable to meet the test set forth in section 13.4(2)(b)(ii) of NI 51-102 as it satisfies its continuous disclosure obligations by complying with U.S. federal securities law as is permitted under NI 71-102. Therefore, the Exemption Sought is required in order for the provisions of section 13.4 of NI 51-102 to apply to the Debt Issuer and the Pref Issuer, and the relationship between the Debt Issuer, the Pref Issuer and Brookfield Property Partners.

Offering of Securities

42. At the time of the filing of any short form prospectus or shelf prospectus supplement in connection with an offering of Securities:
 - a) The Debt Issuer and the Pref Issuer will comply with all of the filing requirements and procedures set out in NI 44-101, other than the Qualification Requirements, and, if applicable, NI 44-102 other than the Notice of Intention Requirement, except as permitted by the Legislation;
 - b) the prospectus will be prepared in accordance with the short form prospectus requirements of NI 44-101 and, if applicable, NI 44-102 other than the Prospectus Disclosure Requirements, except as permitted by the Legislation;
 - c) Brookfield Property Partners will continue to be a reporting issuer under the Legislation;
 - d) Brookfield Property Partners will continue to provide its Guarantees;
 - e) the prospectus will incorporate by reference the documents of Brookfield Property Partners set forth under item 11.1 of Form 44-101F1;
 - f) the prospectus disclosure required by item 11 of Form 44-101F1 will be addressed by incorporating by reference Brookfield Property Partners’ public disclosure documents referred to in paragraph (e) above; and
 - g) Brookfield Property Partners will continue to satisfy all of the criteria in section 2.2 of NI 44-101, as applicable, pursuant to Part 4 of NI 71-102.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted provided that:

1. in respect of the Continuous Disclosure Requirements, the Debt Issuer, the Pref Issuer and Brookfield Property Partners continue to satisfy the conditions set out in subsection 13.4(2.1) of NI 51-102, except as modified as follows:
 - (a) any reference to parent credit supporter in section 13.4 shall be deemed to include Brookfield Property Partners notwithstanding its indirect ownership of the Debt Issuer and the Pref Issuer through CanHoldco,
 - (b) any reference to subsidiary credit supporter in section 13.4 of NI 51-102 shall be deemed to include the Holding Entities and their affiliates, including the Brookfield Property Partners Related Entities, notwithstanding Brookfield Property Partners' indirect ownership of such entities through the Holding LP,
 - (c) Brookfield Property Partners does not have to comply with the conditions in section 13.4(2)(a) and section 13.4(2.1)(b) of NI 51-102 if:
 - (i) no party other than Brookfield Property Partners and Brookfield will have any direct or indirect ownership of, or control or direction over, voting securities of the Holding LP,
 - (ii) no party other than Brookfield Property Partners, Brookfield and the Brookfield Property Partners Related Entities will have any direct or indirect ownership of, control or direction over, voting securities of the Holding Entities,
 - (iii) no party other than Brookfield Property Partners, Brookfield and the Brookfield Property Partners Related Entities, will have any direct or indirect ownership of, or control or direction over, voting securities of the Debt Issuer or the Pref Issuer,
 - (iv) Brookfield Property Partners consolidates in its financial statements the Holding LP, the Holding Entities, the Debt Issuer and the Pref Issuer as well as any entities consolidated by any of the foregoing and, if the Debt Issuer or the Pref Issuer has issued Securities that remain outstanding, files its financial statements pursuant to Part 4 of NI 51-102, except that Brookfield Property Partners does not have to comply with the conditions in section 4.2 of NI 51-102 if it files such financial statements on or before the date that it is required to file its Form 20-F with the U.S. Securities and Exchange Commission (**SEC**), and
 - (v) other than the Current Preference Shares owned by Brookfield, the issued and outstanding voting securities of the Holding Entities, the Debt Issuer and the Pref Issuer are 100% owned, directly or indirectly, by their respective parent companies or entities,
 - (d) section 13.4(4) of NI 51-102 does not apply to Brookfield Property Partners (the **SEC Foreign Issuer Relief**) if:
 - (i) Brookfield Property Partners continues to be a reporting issuer,
 - (ii) Brookfield Property Partners continues to be a SEC foreign issuer (as defined in section 1.1 of NI 71-102) and only relies on the exemptions in Part 4 of NI 71-102,
 - (iii) to the extent that Brookfield Property Partners complies with the foreign private issuer disclosure regime under U.S. securities law, it does not rely on any exemption from that regime,
 - (iv) if the Debt Issuer or the Pref Issuer has issued Securities that remain outstanding, the summary financial information referred to in section 13.4(2.1)(c) of NI 51-102 will be reconciled to the consolidated financial statements of Brookfield Property Partners, including any minority interest adjustments,
 - (v) Brookfield Property Partners continues to file a material change report as set out in Part 7 of NI 51-102 in respect of any material change in the affairs of Brookfield Property Partners that is not reported or filed by Brookfield Property Partners on SEC Form 6-K,

- (vi) Brookfield Property Partners continues to file an interim financial report as set out in Part 4 of NI 51-102 and the Management Discussion and Analysis as set out in Part 5 of NI 51-102 for each period commencing on the first day of the financial year and ending nine, six or three months before the end of the financial year, and
 - (vii) Brookfield Property Partners includes in any prospectus of the Debt Issuer or the Pref Issuer, financial statements or other information about any acquisition that would have been or would be a significant acquisition for the purposes of Part 8 of NI 51-102 that Brookfield Property Partners has completed or has progressed to a state where a reasonable person would believe that the likelihood of Brookfield Property Partners completing the acquisition is high if the inclusion of the financial statements is necessary for the prospectus to contain full, true and plain disclosure of all material facts relating to the securities being distributed. The requirement to include financial statements or other information must be satisfied by including or incorporating by reference (a) the financial statements or other information as set out in Part 8 of NI 51-102, or (b) satisfactory alternative financial statements or other information, unless at least 9 months of the operations of the acquired business or related businesses are incorporated into Brookfield Property Partners' current annual financial statements included or incorporated by reference in the prospectus of the Debt Issuer or the Pref Issuer,
- (e) The Debt Issuer and the Pref Issuer do not have to comply with the conditions in section 13.4(2)(c) of NI 51-102 if the Debt Issuer and the Pref Issuer do not issue any securities and do not have any securities outstanding other than:
 - (i) designated credit support securities,
 - (ii) securities issued to and held by Brookfield Property Partners or the Brookfield Property Partners Related Entities,
 - (iii) non-voting securities held by Brookfield,
 - (iv) debt securities issued to and held by banks, loan corporations, loan and investment corporations, savings companies, trust corporations, treasury branches, saving or credit unions, financial services cooperatives, insurance companies or other financial institutions,
 - (v) securities issued under exemptions from the prospectus requirements in section 2.35 of National Instrument 45-106 – *Prospectus and Registration Exemptions*, and
 - (vi) Securities, provided that (x) Brookfield Property Partners has provided its Guarantees in respect of such securities and (y) such securities are not convertible into any security other than Resulting Preference Shares, Units and Preference Shares.
- 2. in respect of the Certification Requirements, the Audit Committee Requirements and the Corporate Governance Requirements, Brookfield Property Partners, the Debt Issuer and the Pref Issuer continue to satisfy the conditions for relief from the Continuous Disclosure Requirements set forth above.
- 3. in respect of the Insider Reporting Requirements, an insider of the Debt Issuer or the Pref Issuer can only rely on the Exemption Sought so long as:
 - (a) the insider complies with the conditions in sections 13.4(3)(b) and (c) of NI 51-102, and
 - (b) Brookfield Property Partners, the Debt Issuer and the Pref Issuer continue to satisfy the conditions for relief from the Continuous Disclosure Requirements set forth above.
- 4. in respect of the Qualification Requirements, the Notice of Intention Requirement and the Prospectus Disclosure Requirements so long as:
 - (a) any preliminary short form prospectus of either the Debt Issuer or the Pref Issuer is in respect of an offering of Securities,
 - (b) the Debt Issuer and the Pref Issuer are qualified to file a preliminary short form prospectus under section 2.4 of NI 44-101, except modified as follows:

- (i) the Debt Issuer and the Pref Issuer do not have to comply with the condition in section 2.4 of NI 44-101 that the securities being distributed be non-convertible preferred shares if, on completion of any offering of new Preference Shares, such Preference Shares are only convertible into Resulting Preference Shares,
- (c) The Debt Issuer and the Pref Issuer will be, and will remain so long as any of the Securities issued to the public remain outstanding, an electronic filer under National Instrument 13-101 – *System for Electronic Document Analysis and Retrieval (SEDAR)*,
- (d) The Debt Issuer, the Pref Issuer and Brookfield Property Partners satisfy the conditions set out in section 13.3 of Form 44-101F1, except as modified as follows:
 - (i) any reference to parent credit supporter in section 13.3 of Form 44-101F1 shall be deemed to include Brookfield Property Partners notwithstanding its indirect ownership of the Debt Issuer and the Pref Issuer through CanHoldco,
 - (ii) any reference to subsidiary credit supporter in section 13.3 of Form 44-101F1 shall be deemed to include the Holding Entities and their affiliates, including the Brookfield Property Partners Related Entities, notwithstanding Brookfield Property Partners' indirect ownership of such entities through the Holding LP,
 - (iii) Brookfield Property Partners does not have to comply with the conditions in sections 13.3(1)(e) and 13.3(1)(f) of Form 44-101F1 if it meets the conditions in paragraph 1(c) of this decision above,
 - (iv) the Pref Issuer does not have to comply with the conditions in section 13.3(1)(d) of Form 44-101F1 if, on completion of any offering of new Preference Shares, such Preference Shares are only convertible into Resulting Preference Shares or Units, and
 - (v) the summary financial information referred to in section 13.3(1)(g) of Form 44-101F1 will be reconciled to the consolidated financial statements of Brookfield Property Partners, including any minority interest adjustments,
- (e) any preliminary short form prospectus and final short form prospectus of the Debt Issuer or the Pref Issuer contains (or incorporates by reference a document containing) a corporate organizational chart showing the ownership and control relationships among Brookfield, Brookfield Property Partners, the BPY General Partner, the Holding LP, the Holding Entities, the Debt Issuer and the Pref Issuer,
- (f) Brookfield Property Partners, the Debt Issuer and the Pref Issuer continue to satisfy the conditions for relief from the Continuous Disclosure Requirements set forth above,
- (g) the Debt Issuer, the Pref Issuer and Brookfield Property Partners, as applicable, comply with the requirements in paragraph 42 above; and
- (h) the Debt Issuer or the Pref Issuer will issue a news release and file a material change report as set out in Part 7 of NI 51-102 in respect of any material change in the affairs of the Debt Issuer or the Pref Issuer, as applicable, that is not also a material change in the affairs of Brookfield Property Partners.

As to the Exemption Sought (other than from the Insider Reporting Requirements in the Act).

Furthermore, the decision of the principal regulator is that the Confidentiality Sought as to the Exemption Sought (other than from the Insider Reporting Requirements in the Act) is granted.

“Sonny Randhawa”
Deputy Director, Corporate Finance
Ontario Securities Commission

As to the Exemption Sought from the Insider Reporting Requirements in the Act.

Furthermore, the decision of the principal regulator is that the Confidentiality Sought as to the Exemption Sought from the Insider Reporting Requirements in the Act is granted.

“Lawrence Haber”
Commissioner
Ontario Securities Commission

“Janet Leiper”
Commissioner
Ontario Securities Commission

2.1.3 Sun Life Global Investments (Canada) Inc. and Excel Funds Management Inc.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Approval of mutual fund merger and change of custodian – merger approval required because the mergers do not meet the criteria for pre-approved reorganizations and transfers in National Instrument 81-102 – the fundamental investment objectives and fee structures of the terminating funds and the continuing funds are not substantially similar for certain mergers – unitholders of the terminating funds are provided with timely and adequate disclosure regarding the mergers – National Instrument 81-102 Investment Funds.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 5.5(1)(b) and 5.5(1)(c), 19.1.

April 30, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
SUN LIFE GLOBAL INVESTMENTS (CANADA) INC.
(Sun Life)

AND

EXCEL FUNDS MANAGEMENT INC.
(Excel, and together with Sun Life, the Filers)

AND

THE TERMINATING FUNDS
(as defined below)

AND

THE EXCEL MUTUAL FUNDS
(as defined below)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filers for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) for approval of:

- (a) the proposed mergers (the **Mergers**) of the Terminating Funds into the applicable Continuing Funds (as defined below) as set out in paragraph 14 below pursuant to paragraph 5.5(1)(b) of National Instrument 81-102 *Investment Funds* (**NI 81-102**); and
- (b) the proposed change of custodian (the **Change of Custodian**) for the Excel Mutual Funds (as defined below) from State Street Trust Company Canada to RBC Investor Services Trust (**RBC IS**) pursuant to paragraph 5.5(1)(c) of NI 81-102

(collectively, the **Approval Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filers have provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each of the provinces and territories of Canada, other than Ontario (together with Ontario, the **Canadian Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined. The following additional terms shall have the following meanings:

Continuing Funds means Sun Life MFS Canadian Equity Growth Fund, Sun Life Schroder Emerging Markets Fund and Sun Life Money Market Fund;

Excel Mutual Funds means Excel Emerging Markets Balanced Fund, Excel India Balanced Fund, Excel High Income Fund, Excel Money Market Fund, Excel India Fund, Excel New India Leaders Fund, Excel China Fund, Excel Chindia Fund and Excel Emerging Markets Fund;

Excel Terminating Funds means Excel Chindia Fund, Excel Emerging Markets Fund and Excel Money Market Fund;

Fund or **Funds** means, individually or collectively, the Excel Mutual Funds and the Sun Life Funds;

IRC means, in respect of each Fund, the independent review committee for the Fund;

NI 81-101 means National Instrument 81-101 *Mutual Fund Prospectus Disclosure*;

NI 81-106 means National Instrument 81-106 *Investment Fund Continuous Disclosure*;

NI 81-107 means National Instrument 81-107 *Independent Review Committee for Investment Funds*;

Sun Life Funds means the Sun Life Terminating Funds and the Continuing Funds;

Sun Life Terminating Funds means Sun Life MFS Canadian Equity Fund and Sun Life MFS Canadian Equity Value Fund;

Terminating Funds means the Excel Terminating Funds and the Sun Life Terminating Funds; and

Tax Act means the *Income Tax Act* (Canada).

Representations

This decision is based on the following facts represented by the Filers:

The Filers

1. Sun Life is a corporation incorporated under the laws of Canada with its head office in Toronto, Ontario. Sun Life is an indirect wholly-owned subsidiary of Sun Life Financial Inc., a public company incorporated under the *Insurance Companies Act* (Canada) and listed on the Toronto Stock Exchange, the New York Stock Exchange and the Philippines Stock Exchange.
2. Sun Life is the manager, trustee and portfolio manager of the Sun Life Funds.
3. Sun Life is registered as an investment fund manager in Ontario, Quebec and Newfoundland and Labrador, as a mutual fund dealer in each of the Canadian Jurisdictions, and as a commodity trading manager and portfolio manager in Ontario.
4. Excel is a corporation incorporated under the laws of Ontario with its head office in Mississauga, Ontario. Excel is a wholly owned subsidiary of Sun Life, which in turn is an indirect wholly-owned subsidiary of Sun Life Financial Inc.
5. As Excel is a wholly-owned subsidiary of Sun Life, Excel and Sun Life are affiliates.
6. Excel is the manager and trustee of the Excel Terminating Funds.

7. Excel is registered as an investment fund manager in Ontario, Quebec and Newfoundland and Labrador.

The Funds

8. The Funds are open-ended mutual funds established as trusts under the laws of Ontario.
9. Units of the Excel Mutual Funds are currently qualified for sale in each of the Canadian Jurisdictions under a simplified prospectus, annual information form and fund facts dated September 18, 2017, as amended by Amendment No. 1 dated February 16, 2018, Amendment No. 2 dated March 9, 2018 and Amendment No. 3 dated March 28, 2018.
10. Units of the Sun Life Terminating Funds and of Sun Life MFS Canadian Equity Growth Fund are currently qualified for sale in each of the Canadian Jurisdictions under a simplified prospectus, annual information form and fund facts dated February 16, 2018, as amended by Amendment No. 1 dated March 9, 2018. Units of Sun Life Schroder Emerging Markets Fund and Sun Life Money Market Fund are currently qualified for sale in each of the Canadian Jurisdictions under an amended and restated simplified prospectus, amended and restated annual information form and fund facts dated December 15, 2017, as amended by Amendment No. 1 dated March 9, 2018.
11. Each of the Funds is a reporting issuer under the applicable securities legislation of the Canadian Jurisdictions and is subject to the requirements of NI 81-102 and NI 81-101.
12. Neither the Filers nor the Funds are in default under the securities legislation of any of the Canadian Jurisdictions.
13. Other than circumstances in which the securities regulatory authority of a Canadian Jurisdiction has expressly exempted a Fund therefrom, each of the Funds follows the standard investment restrictions and practices established under NI 81-102.

The Mergers

14. Each of the Terminating Funds will merge into the corresponding Continuing Fund as follows, effective on or about June 15, 2018 (the **Merger Date**):
- (a) Sun Life MFS Canadian Equity Fund into Sun Life MFS Canadian Equity Growth Fund;
 - (b) Sun Life MFS Canadian Equity Value Fund into Sun Life MFS Canadian Equity Growth Fund;
 - (c) Excel Chindia Fund into Sun Life Schroder Emerging Markets Fund;
 - (d) Excel Emerging Markets Fund into Sun Life Schroder Emerging Markets Fund; and
 - (e) Excel Money Market Fund into Sun Life Money Market Fund.
15. Each Filer, in respect of the Terminating Funds it manages, concluded that approval for the Mergers is required because:
- (a) for each Merger other than the Merger of Excel Money Market Fund into Sun Life Money Market Fund, the fundamental investment objective of each Continuing Fund is not, or may be considered not to be, “substantially similar” to the investment objective of the corresponding Terminating Fund; and
 - (b) for the Mergers involving the Excel Terminating Funds, the fee structure of each Continuing Fund is not, or may be considered not to be, “substantially similar” to the fee structure of the corresponding Excel Terminating Fund
- and therefore each Merger does not satisfy all of the criteria for pre-approved reorganizations and transfers set out in subsection 5.6(1) of NI 81-102.
16. Each of the other conditions for pre-approval under subsection 5.6(1) of NI 81-102 is or will be met in respect of each Merger.
17. Each Merger will take place on a tax-deferred basis.
18. The assets of each Terminating Fund to be acquired by the applicable Continuing Fund to effect each Merger are currently or will, on the Merger Date, be acceptable to the portfolio manager of the applicable Continuing Fund and are, or will be, consistent with the investment objectives of the applicable Continuing Fund.

19. Unitholders of each Terminating Fund will be asked to approve the relevant Merger at a special meeting to be held on or about May 25, 2018.
20. Sun Life, as manager of the Continuing Funds, is of the view that the Mergers will not be a “material change” for any of the Continuing Funds and accordingly, there is no intention to convene a meeting of securityholders of the Continuing Funds to approve the Mergers pursuant to paragraph 5.1(g) of NI 81-102.
21. The Filers will pay for the costs of the Mergers. These costs consist mainly of brokerage charges associated with the merger-related trades that occur both before and after the Merger Date and legal, proxy solicitation, printing, mailing and regulatory fees.
22. No sales charges will be payable in connection with the acquisition by a Continuing Fund of the investment portfolio of the corresponding Terminating Fund.
23. No sales charges, redemption fees or other fees or commissions will be payable by securityholders of the Terminating Funds in connection with the Mergers.
24. Unitholders of each Terminating Fund will continue to have the right to redeem units of the Terminating Fund at any time up to the close of business on the business day immediately before the Merger Date. Following each Merger, all optional plans which were established with respect to the Terminating Funds will be re-established in comparable plans with respect to the Continuing Funds unless securityholders advise otherwise.
25. The Continuing Funds have the same valuation procedures as the Terminating Funds.

Unitholder Disclosure

26. In accordance with NI 81-106, a press release announcing the proposed Mergers was issued and filed via SEDAR on February 28, 2018. An amendment to the relevant simplified prospectus and annual information form of the Terminating Funds, along with amended Fund Facts and a material change report with respect to the proposed Mergers were filed via SEDAR on March 9, 2018.
27. As required by NI 81-107, an IRC has been appointed for each of the Excel Terminating Funds and the Sun Life Terminating Funds. The Filers presented the potential conflict of interest matters related to the proposed Mergers to the IRC of each Terminating Fund. Each IRC reviewed the potential conflict of interest matters related to the proposed Mergers and, on February 23, 2018, provided its positive recommendation for the Mergers, after determining that the proposed Mergers, if implemented, would achieve a fair and reasonable result for each Terminating Fund.
28. Pursuant to a decision dated December 5, 2016 (the **Notice-and-Access Decision**), Sun Life has obtained an exemption from the requirement in paragraph 12.2(2)(a) of NI 81-106 to send a printed management information circular while proxies are being solicited, and, subject to certain conditions, instead allows a notice-and-access document (as described in the Notice-and-Access Decision) to be sent to such securityholders. In accordance with Sun Life’s standard of care owed to the Sun Life Funds pursuant to securities legislation, Sun Life will only use the notice-and-access procedure for a particular meeting where it has concluded that it is appropriate and consistent with the purpose of notice-and-access (as described in the Companion Policy to National Instrument 54-101 *Communication with Beneficial Owners of Securities of a Reporting Issuer*) to do so, also taking into account the purpose of the meeting and whether the Sun Life Funds would obtain a better participation rate by sending the management information circular with the other proxy-related materials. As an affiliate of Sun Life, Excel is entitled to use the same exemption for the Excel Mutual Funds.
29. Pursuant to the requirements of the Notice-and-Access Decision, the notice prescribed by the Notice-and-Access Decision (the **Notice-and-Access Document**), the applicable form of proxy in connection with the meetings, and the most recent fund facts of the relevant series of the applicable Continuing Fund were sent to unitholders of each Terminating Fund on April 24, 2018. Additionally, the Notice-and-Access Document, form of proxy and information circular (the **Circular**) were filed via SEDAR on April 20, 2018 and posted on each Filer’s website on April 23, 2018.
30. The Circular describes all relevant facts concerning the Mergers, including the investment objectives, strategies and fee structure of the Terminating Funds and the Continuing Funds, the tax implications and other consequences of each Merger, as well as the IRC’s recommendation of each Merger, so that securityholders of the Terminating Funds may make an informed decision before voting on whether to approve the Mergers. The Circular also describes the various ways in which securityholders can obtain a copy of the simplified prospectus, annual information form and fund facts for the applicable Continuing Fund, and the most recent interim and annual financial statements and management reports of fund performance.

Procedure for the Mergers

31. Each proposed Merger will be structured as follows:
- (a) prior to the Merger Date, the Terminating Fund will sell securities in its portfolio that do not meet the investment objectives and investment strategies of the applicable Continuing Fund. As a result, the Terminating Fund may temporarily hold cash or cash equivalents and may not be fully invested in accordance with its investment objectives for a brief period of time prior to the Merger;
 - (b) the value of the Terminating Fund's portfolio and other assets will be determined at the close of business on the Merger Date in accordance with its declaration of trust;
 - (c) the Continuing Fund will acquire the assets of the Terminating Fund in exchange for units of the Continuing Fund;
 - (d) the Continuing Fund will not assume any liabilities of the Terminating Fund and the Terminating Fund will retain sufficient assets to satisfy its estimated liabilities, if any, as of the Merger Date;
 - (e) the units of the Continuing Fund received by the Terminating Fund will have a total net asset value equal to the value of the assets acquired by the Continuing Fund from the Terminating Fund, and the units of the Continuing Fund will be issued at the applicable series net asset value per unit as of the close of business on the Merger Date;
 - (f) the Terminating Fund will distribute to its unitholders a sufficient amount of its net income and net realized capital gains, if any, to ensure that the Terminating Fund will not be subject to tax for its taxation year that includes the Merger Date;
 - (g) immediately thereafter, the units of the Continuing Fund received by the Terminating Fund will be distributed to unitholders of the Terminating Fund on a dollar for dollar basis in exchange for their units in the Terminating Fund, with unitholders of each series of the Terminating Fund receiving the corresponding series of units of the Continuing Fund (other than holders of Institutional Series units of Excel Emerging Markets Fund, who will receive Series IS units of Sun Life Schroder Emerging Markets Fund); and
 - (h) as soon as reasonably possible following the Mergers, the Terminating Fund will be wound up and the Continuing Fund will continue as a publicly offered open end mutual fund.
32. The result of each Merger will be that securityholders of each Terminating Fund will cease to be securityholders of the Terminating Fund, become securityholders of the applicable Continuing Fund, and realize capital gains or capital losses.

Benefits of the Mergers

33. When undertaking a merger of two or more funds, the Filers consider both qualitative and quantitative factors when choosing the continuing fund. The qualitative factors considered include the comparability of investment objectives, investment strategies, risk rating, investment philosophy and portfolio construction. When considering quantitative factors, the Filers review fund performance (using both calendar year and to date metrics), the investment performance correlation between the potential terminating and continuing funds, any overlap in investment holdings, the asset allocation/sector allocation/geographic allocation of each fund, fees for each series, the AUM difference between the funds, a taxation analysis at both the fund and unitholder level and any unique factors that would be applicable for the given merger. Once each of these items has been reviewed, the Filers formalize the analysis and recommend a continuing fund with which to proceed forward.
34. With respect to the mergers of the Sun Life Terminating Funds into Sun Life MFS Canadian Equity Growth Fund, Sun Life determined that it was no longer viable to maintain these three funds as separate mandates. After considering the factors set out in paragraph 33, Sun Life MFS Canadian Equity Growth Fund was selected as the continuing fund primarily due to its larger size.
35. With respect to the mergers of Excel Chindia Fund and Excel Emerging Markets Fund into Sun Life Schroder Emerging Markets Fund, Excel believes that these Terminating Funds are no longer viable on their own, and must be either merged or terminated. After considering the factors set out in paragraph 33, Sun Life Schroder Emerging Markets Fund was chosen as the continuing fund for these mergers as it offers exposure to the same geographic regions as Excel Chindia Fund and Excel Emerging Markets Fund, while, in the case of Excel Chindia Fund, also possessing a broader investment mandate, which may lead to less volatile investment returns.

36. The Filers believe that the Mergers will be beneficial to unitholders of the Terminating Funds and the Continuing Funds for the following reasons:
- (a) a line-up consisting of fewer mutual funds that target similar types of investors will allow Sun Life to concentrate its marketing efforts to attract additional assets in the Continuing Funds. Ultimately this benefits unitholders because it ensures that each Continuing Fund remains a viable, long-term investment vehicle for existing and potential investors;
 - (b) each Continuing Fund has a portfolio of greater value, allowing for increased portfolio diversification opportunities compared to the corresponding Terminating Fund;
 - (c) each Continuing Fund, as a result of greater size, benefits from a larger profile in the marketplace by potentially attracting more investors and enabling it to maintain a “critical mass”; and
 - (d) unitholders of each Terminating Fund, except for the Excel Money Market Fund, will receive units of the applicable Continuing Fund that have a management fee that is the same as, or lower than, that charged in respect of the series of units of the Terminating Fund that they currently hold.
37. In addition to the benefits stated above, Sun Life is of the belief that the merger of Sun Life MFS Canadian Equity Fund into Sun Life MFS Canadian Equity Growth Fund will be beneficial to the unitholders of the Terminating Fund by:
- (a) providing unitholders with exposure to similar investment holdings; and
 - (b) providing unitholders with the added diversification of global equities.
38. In addition to the benefits stated above, Sun Life is of the belief that the merger of Sun Life MFS Canadian Equity Value Fund into Sun Life MFS Canadian Equity Growth Fund will be beneficial to the unitholders of the Terminating Fund by providing unitholders with exposure to similar investment holdings.
39. In addition to the benefits stated above, Excel is of the belief that the mergers of Excel Emerging Markets Fund and Excel Chindia Fund into Sun Life Schroder Emerging Markets Fund will be beneficial to the unitholders of the Terminating Funds by:
- (a) providing unitholders with potential for enhanced risk adjusted returns; and
 - (b) providing unitholders with a higher level of emerging markets diversification, which may reduce the overall volatility at the strategy level.
40. In addition to the benefits stated above, Excel is of the belief that the merger of Excel Money Market Fund into Sun Life Money Market Fund will be beneficial to the unitholders of the Terminating Fund by providing unitholders with units of a Continuing Fund with highly similar investment objectives.

The Change of Custodian

41. Excel intends to implement the Change of Custodian for the Excel Mutual Funds effective on or about June 1, 2018. The Change of Custodian may be considered to be implemented in connection with the change of manager of the Excel Mutual Funds from Excel to Sun Life (the **Change of Manager**), which was previously approved by the principal regulator in a decision dated December 4, 2017. Sun Life acquired ownership of Excel effective January 2, 2018, and it is anticipated that Excel and Sun Life will be amalgamated effective on or about June 30, 2018, at which time the Change of Manager will be effected. As the Change of Custodian will be implemented in connection with the Change of Manager, approval of the principal regulator under subsection 5.5(1)(c) of NI 81-102 is required for the Change of Custodian.
42. Excel believes that the Change of Custodian will be beneficial to the Excel Mutual Funds as it will create administrative efficiencies by having custody of all the mutual funds managed by Sun Life with the same custodian once the merger is effected.
43. The current custodian of the Excel Mutual Funds is State Street Trust Company Canada.
44. State Street Trust Company Canada’s most recent custodian report for the Excel Mutual Funds was filed on January 19, 2018.

Decisions, Orders and Rulings

45. The custodian of each Excel Mutual Fund will be changed to RBC IS. RBC IS may engage sub-custodians in connection with the assets of the Excel Mutual Funds.
46. Neither of the Filers is an affiliate of RBC IS.
47. RBC IS is the custodian of the mutual funds managed by Sun Life and meets the requirements of Part 6 of NI 81-102.
48. RBC IS's most recent custodian report for the mutual funds managed by Sun Life was filed on April 4, 2018. This report was provided to Sun Life.
49. The Change of Custodian and the custodial agreements and arrangements between the Excel Mutual Funds and RBC IS will be implemented in compliance with Part 6 of NI 81-102.
50. Excel believes that the Change of Custodian and the addition of the Excel Mutual Funds to the existing custodial arrangements between the mutual funds managed by Sun Life and RBC IS will have no adverse impact on continued compliance with Part 6 of NI 81-102.
51. Excel does not regard the Change of Custodian as either a "material change" as defined in section 1.1 of NI 81-106, or as a "conflict of interest matter" as defined in section 1.2 of NI 81-107.
52. Details of the Change of Custodian will be set out in the final renewal simplified prospectus and annual information form of the Excel Mutual Funds, which is expected to be rolled into the final renewal simplified prospectus and annual information form of the mutual funds managed by Sun Life on or about July 6, 2018.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Approval Sought is granted.

"Darren McKall"

Manager, Investment Funds and Structured Products Branch
Ontario Securities Commission

2.1.4 1832 Asset Management L.P. et al.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – relief to permit exchange-traded mutual fund prospectus to omit an underwriter’s certificate – relief from take-over bid requirements for normal course purchases of securities on the TSX –relief granted to facilitate the offering of exchange-traded mutual funds.

Applicable Legislative Provisions

Securities Act (Ontario), R.S.O. 1990, c. S.5, as am., ss. 59(1), 147.
National Instrument 62-104 Take-Over Bids and Issuer Bids, Part 2 and s. 6.1.

May 4, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
1832 ASSET MANAGEMENT L.P.
(the Filer)**

AND

**SCOTIA STRATEGIC FIXED INCOME ETF PORTFOLIO,
SCOTIA STRATEGIC CANADIAN EQUITY ETF PORTFOLIO,
SCOTIA STRATEGIC U.S. EQUITY ETF PORTFOLIO,
SCOTIA STRATEGIC INTERNATIONAL EQUITY ETF PORTFOLIO
(the Proposed ETFs)**

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Proposed ETFs and any additional exchange-traded mutual funds (the **Future ETFs**, and together with the Proposed ETFs, the **ETFs**, each an **ETF**) established in the future for which the Filer is the manager, for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that:

- (a) exempts the Filer and each ETF from the requirement to include a certificate of an underwriter in an ETF’s prospectus (the **Underwriter’s Certificate Requirement**); and
- (b) exempts a person or company purchasing ETF Securities (as defined below) in the normal course through the facilities of the TSX (as defined below) or another Marketplace (as defined below) from the Take-Over Bid Requirements (as defined below)

(collectively, the “**Exemption Sought**”).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and

- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Northwest Territories, Nova Scotia, Nunavut, Prince Edward Island, Québec, Saskatchewan and Yukon (together with Ontario, the **Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Affiliate Dealer means a registered dealer that is an affiliate of an Authorized Dealer or Designated Broker and that participates in the re-sale of Creation Units (as defined below) from time to time.

Authorized Dealer means a registered dealer that has entered, or intends to enter, into an agreement with the manager of an ETF authorizing the dealer to subscribe for, purchase and redeem Creation Units from one or more ETFs on a continuous basis from time to time.

Designated Broker means a registered dealer that has entered, or intends to enter, into an agreement with the manager of an ETF to perform certain duties in relation to the ETF, including the posting of a liquid two-way market for the trading of the ETF Securities on the TSX or another Marketplace.

ETF Facts means a prescribed summary disclosure document required in respect of one or more classes or series of ETF Securities being distributed under a prospectus.

ETF Security means a listed security of an ETF.

Marketplace means a “marketplace” as defined in National Instrument 21-101 *Marketplace Operation* that is located in Canada.

NI 81-102 means National Instrument 81-102 *Investment Funds*.

Other Dealer means a registered dealer that acts as authorized dealer or designated broker to exchange-traded funds that are not managed by the Filer and that has received relief under a Prospectus Delivery Decision.

Prescribed Number of ETF Securities means the number of ETF Securities determined by the Filer from time to time for the purpose of subscription orders, exchanges, redemptions or for other purposes.

Prospectus Delivery Decision means a decision granting relief from the Prospectus Delivery Requirement to a Designated Broker, Authorized Dealer, Affiliate Dealer or Other Dealer dated August 24, 2015 and any subsequent decision granted to a Designated Broker, Authorized Dealer, Affiliate Dealer or Other Dealer that grants similar relief.

Prospectus Delivery Requirement means the requirement that a dealer, not acting as agent of the purchaser, who receives an order or subscription for a security offered in a distribution to which the prospectus requirement of the Legislation applies, send or deliver to the purchaser or its agent, unless the dealer has previously done so, the latest prospectus and any amendment either before entering into an agreement of purchase and sale resulting from the order or subscription, or not later than midnight on the second business day after entering into that agreement.

Securityholders means beneficial or registered holders of ETF Securities.

Take-Over Bid Requirements means the requirements of National Instrument 62-104 *Take-Over Bids and Issuer Bids* relating to take-over bids, including the requirement to file a report of a take-over bid and to pay the accompanying fee, in each Jurisdiction.

TSX means the Toronto Stock Exchange.

Representations

This decision is based on the following facts represented by the Filer:

The Filer

1. The Filer is an Ontario limited partnership, which is wholly-owned indirectly by The Bank of Nova Scotia. The general partner of the Filer is 1832 Asset Management G.P. Inc., an Ontario corporation wholly-owned directly by The Bank of Nova Scotia, with its head office in Ontario.
2. The Filer is registered as: (i) a portfolio manager in all of the provinces of Canada and in Northwest Territories and Yukon; (ii) an exempt market dealer in all of the provinces of Canada (except Prince Edward Island and Saskatchewan); (iii) an investment fund manager in Ontario, Québec, Newfoundland and Labrador and Northwest Territories; and (iv) a commodity trading manager in Ontario.
3. The Filer is, or will be, the investment fund manager of the ETFs. The Filer has applied, or will apply, to list the ETF Securities on the TSX or another Marketplace.
4. The Filer is not in default of securities legislation in any of the Jurisdictions.

The ETFs

5. Each Proposed ETF will be a mutual fund structured as a trust that is governed by the laws of the Province of Ontario. The Future ETFs will be either trusts or corporations or classes thereof governed by the laws of a Jurisdiction. Each ETF will be a reporting issuer in the Jurisdiction(s) in which its securities are distributed.
6. Subject to any exemptions that have been, or may be, granted by the applicable securities regulatory authorities, each ETF will be an open-ended mutual fund subject to NI 81-102 and Securityholders of each ETF will have the right to vote at a meeting of Securityholders in respect of matters prescribed by NI 81-102.
7. The ETF Securities will be listed on the TSX or another Marketplace.
8. The Filer has prepared and filed a long form preliminary prospectus dated April 13, 2018, and will prepare and file a final long form prospectus, in accordance with National Instrument 41-101 *General Prospectus Requirements*, subject to any exemptions that may be granted by the applicable securities regulatory authorities.
9. ETF Securities will be distributed on a continuous basis in one or more of the Jurisdictions under a prospectus. ETF Securities may generally only be subscribed for or purchased directly from the ETFs (**Creation Units**) by Authorized Dealers or Designated Brokers. Generally, subscriptions or purchases may only be placed for a Prescribed Number of ETF Securities (or a multiple thereof) on any day when there is a trading session on the TSX or other Marketplace. Authorized Dealers or Designated Brokers subscribe for Creation Units for the purpose of facilitating investor purchases of ETF Securities on the TSX or another Marketplace.
10. In addition to subscribing for and re-selling Creation Units, Authorized Dealers, Designated Brokers and Affiliate Dealers will also generally be engaged in purchasing and selling ETF Securities of the same class or series as the Creation Units in the secondary market. Other Dealers may also be engaged in purchasing and selling ETF Securities of the same class or series as the Creation Units in the secondary market despite not being an Authorized Dealer, Designated Broker or Affiliate Dealer.
11. Each ETF will appoint, at any given time, a Designated Broker to perform certain other functions, which include standing in the market with a bid and ask price for ETF Securities for the purpose of maintaining liquidity for the ETF Securities.
12. Except for Authorized Dealer and Designated Broker subscriptions for Creation Units, as described above, and other distributions that are exempt from the Prospectus Delivery Requirement under the Legislation, ETF Securities generally will not be able to be purchased directly from an ETF. Investors are generally expected to purchase and sell ETF Securities, directly or indirectly, through dealers executing trades through the facilities of the TSX or another Marketplace. ETF Securities may also be issued directly to ETF Securityholders upon a reinvestment of distributions of income or capital gains.
13. Securityholders that are not Designated Brokers or Authorized Dealers that wish to dispose of their ETF Securities may generally do so by selling their ETF Securities on the TSX or other Marketplace, through a registered dealer, subject only to customary brokerage commissions. A Securityholder that holds a Prescribed Number of ETF Securities or multiple thereof may exchange such ETF Securities for Baskets of Securities and/or cash in the discretion of the Filer. Securityholders may also redeem ETF Securities for cash at a redemption price equal to 95% of the closing price of the ETF Securities on the TSX or other Marketplace on the date of redemption, subject to a maximum redemption price of the applicable net asset value per ETF Security.

Underwriter's Certificate Requirement

14. Authorized Dealers and Designated Brokers will not provide the same services in connection with a distribution of Creation Units as would typically be provided by an underwriter in a conventional underwriting.
15. The Filer will generally conduct its own marketing, advertising and promotion of the ETFs.
16. The Authorized Dealers and Designated Brokers will not be involved in the preparation of an ETF's prospectus, will not perform any review or any independent due diligence as to the content of an ETF's prospectus, and will not incur any marketing costs or receive any underwriting fees or commissions from the ETFs or the Filer in connection with the distribution of ETF Securities. The Authorized Dealers and Designated Brokers generally seek to profit from their ability to create and redeem ETF Securities by engaging in arbitrage trading to capture spreads between the trading prices of ETF Securities and their underlying securities and by making markets for their clients to facilitate client trading in ETF Securities.

Dealer Delivery

17. Securities regulatory authorities have advised that they take the view that the first re-sale of a Creation Unit on the TSX or another Marketplace will generally constitute a distribution of Creation Units under the Legislation and that the Authorized Dealers, Designated Brokers and Affiliate Dealers are subject to the Prospectus Delivery Requirement in connection with such re-sales. Re-sales of ETF Securities in the secondary market that are not Creation Units would not ordinarily constitute a distribution of such ETF Securities.
18. According to Authorized Dealers and Designated Brokers, Creation Units will generally be commingled with other ETF Securities purchased by the Authorized Dealers, Designated Brokers and Affiliate Dealers in the secondary market. As such, it is not practicable for the Authorized Dealers, Designated Brokers or Affiliate Dealers to determine whether a particular re-sale of ETF Securities involves Creation Units or ETF Securities purchased in the secondary market.
19. Under the applicable Prospectus Delivery Decision, Authorized Dealers, Designated Brokers and Affiliate Dealers are exempt from the Prospectus Delivery Requirement in connection with the re-sale of Creation Units to investors on the TSX or another Marketplace. Under a Prospectus Delivery Decision, Other Dealers are also exempt from the Prospectus Delivery Requirement in connection with the re-sale of creation units of other exchange-traded funds that are not managed by the Filer.
20. Each Prospectus Delivery Decision includes a condition that the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer undertakes that it will, unless it has previously done so, send or deliver to each purchaser of an ETF Security who is a customer of the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer, and to whom a trade confirmation is required under the Legislation to be sent or delivered by the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer in connection with the purchase, the latest ETF Facts filed in respect of the ETF Security not later than midnight on the second day, exclusive of Saturdays, Sundays and holidays, after the purchase of the ETF Security.
21. The Filer will prepare and file with the applicable Jurisdictions on the System for Electronic Document Analysis and Retrieval (**SEDAR**) an ETF Facts for each class or series of ETF Securities and will make available to the applicable Authorized Dealers, Designated Brokers, Affiliate Dealers and Other Dealers the requisite number of copies of the ETF Facts for the purpose of facilitating their compliance with the Prospectus Delivery Decision within the timeframe necessary to allow Authorized Dealers, Designated Brokers, Affiliate Dealers and Other Dealers to effect delivery of the ETF Facts as contemplated in the Prospectus Delivery Decision.

Take-Over Bid Requirements

22. As equity securities that will trade on the TSX or another Marketplace, it is possible for a person or company to acquire such number of ETF Securities so as to trigger the application of the Take-Over Bid Requirements. However:
 - (a) it will not be possible for one or more Securityholders to exercise control or direction over an ETF, as the constating documents of each ETF will provide that only the Filer may call a meeting of the Securityholders;
 - (b) it will be difficult for the purchasers of ETF Securities to monitor compliance with the Take-Over Bid Requirements because the number of outstanding ETF Securities will always be in flux as a result of the ongoing issuance and redemption of ETF Securities by each ETF; and

- (c) the way in which the ETF Securities will be priced deters anyone from either seeking to acquire control, or offering to pay a control premium for outstanding ETF Securities because pricing for each ETF Security will generally reflect the net asset value of the ETF Securities.
23. The application of the Take-Over Bid Requirements to the ETFs would have an adverse impact on the liquidity of the ETF Securities because they could cause the Designated Brokers and other large Securityholders to cease trading ETF Securities once the Securityholder has reached the prescribed threshold at which the Take-Over Bid Requirements would apply. This, in turn, could serve to provide conventional mutual funds with a competitive advantage over the ETFs.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

1. The decision of the principal regulator is that the Exemption Sought from the Underwriter's Certificate Requirement is granted, provided that the Filer will be in compliance with the following conditions:
 - (a) the Filer provides or makes available to each Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer, the number of copies of the ETF Facts of each ETF Security that the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer reasonably requests in support of compliance with its respective Prospectus Delivery Decision;
 - (b) each ETF's prospectus, as the same may be amended from time to time, will disclose the relief granted pursuant to the Exemption Sought under Item 34.1 of Form 41-101F2 – *Information Required in an Investment Fund Prospectus*, as applicable;
 - (c) the Filer obtains an executed acknowledgement from each Authorized Dealer, Designated Broker and Affiliate Dealer, and uses its best efforts to obtain an acknowledgment from each Other Dealer:
 - (i) indicating each dealer's election, in connection with the re-sale of Creation Units on the TSX or another Marketplace, to send or deliver the ETF Facts in accordance with a Prospectus Delivery Decision or, alternatively, to comply with the Prospectus Delivery Requirement; and
 - (ii) if the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer agrees to deliver the ETF Facts in accordance with a Prospectus Delivery Decision:
 - (1) an undertaking that the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer will attach or bind one ETF's ETF Facts with another ETF's ETF Facts only if the documents are being sent or delivered under the Prospectus Delivery Decision at the same time to an investor purchasing ETF Securities of each such ETF; and
 - (2) confirming that the Authorized Dealer, Designated Broker, Affiliate Dealer or Other Dealer has in place written policies and procedures to ensure that it is in compliance with the conditions of the Prospectus Delivery Decision;
 - (d) the Filer will keep records of which Authorized Dealers, Designated Brokers, Affiliate Dealers and Other Dealers have provided it with an acknowledgement under a Prospectus Delivery Decision, and which intend to rely on and comply with the Prospectus Delivery Decision or intend to comply with the Prospectus Delivery Requirement;
 - (e) the Filer files with its principal regulator, to the attention of the Director, Investment Funds and Structured Products Branch, on or before January 31st in each calendar year, a certificate signed by its ultimate designated person certifying that, to the best of the knowledge of such person, after making due inquiry, the Filer has complied with the terms and conditions of this decision during the previous calendar year; and
 - (f) conditions (a), (b), (c), (d) and (e) above do not apply to the Exemption Sought after any new legislation or rule dealing with the Prospectus Delivery Decision takes effect and any applicable transition period has expired.
2. The decision of the principal regulator is that the Exemption Sought from the Take-Over Bid Requirements is granted.

As to the Exemption Sought from the Underwriter's Certificate Requirement:

"L. Haber"
Commissioner
Ontario Securities Commission

"J. Leiper"
Commissioner
Ontario Securities Commission

As to the Exemption Sought from the Take-Over Bid Requirements:

"Darren McKall"
Manager
Investment Funds and Structured Products
Ontario Securities Commission

2.2 Orders

2.2.1 Money Gate Mortgage Investment Corporation et al.

FILE NO.: 2017-79

IN THE MATTER OF
MONEY GATE MORTGAGE INVESTMENT CORPORATION,
MONEY GATE CORP.,
MORTEZA KATEBIAN and
PAYAM KATEBIAN

Mark J. Sandler, Commissioner and Chair of the Panel

May 9, 2018

ORDER

WHEREAS on May 9, 2018, the Ontario Securities Commission held a hearing at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario;

ON HEARING the submissions of the representatives for Staff of the Commission (**Staff**) and for Money Gate Mortgage Investment Corporation, Money Gate Corp., Morteza Katebian and Payam Katebian (the **Respondents**);

IT IS ORDERED THAT:

1. the Respondents shall file and serve a witness list, serve a summary of each witness's anticipated evidence on Staff, and indicate any intention to call an expert witness no later than June 5, 2018;
2. the parties shall file the E-hearing Checklist for the hearing on the merits no later than June 26, 2018; and
3. the Third Appearance in this matter will be heard on July 6, 2018 at 10:00 a.m., or such other date and time as provided by the Office of the Secretary and agreed to by the parties.

"Mark J. Sandler"

2.2.2 Student Transportation Inc.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

May 9, 2018

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(THE JURISDICTION)

AND

IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS

AND

IN THE MATTER OF
STUDENT TRANSPORTATION INC.
(THE FILER)

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

1. the Ontario Securities Commission is the principal regulator for this application, and
2. the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland, Yukon, Nunavut and Northwest Territories.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;

3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of Legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Winnie Sanjoto”
Manager, Corporate Finance
Ontario Securities Commission

2.2.3 P/E Global LLC – s. 80 of the CFA

Headnote

Section 80 of the Commodity Futures Act (Ontario) – Foreign adviser exempted from the adviser registration requirement in paragraph 22(1)(b) of the CFA where such adviser acts as an adviser in respect of commodity futures contracts or commodity futures options (Contracts) for certain investors in Ontario who meet the definition of “permitted client” in National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Contracts are primarily traded on commodity futures exchanges outside of Canada and primarily cleared outside of Canada.

Terms and conditions of exemption correspond to the relevant terms and conditions of the comparable exemption from the adviser registration requirement available to international advisers in respect of securities set out in section 8.26 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Exemption also subject to a “sunset clause” condition.

Applicable Legislative Provisions

Commodity Futures Act, R.S.O. 1990, c. C.20. as am., ss. 1(1), 22(1)(b), 80.

Securities Act, R.S.O. 1990, c. S.5, as am., s. 25(3).

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 1.1, 8.26.

Ontario Securities Commission Rule 13-502 Fees.

**IN THE MATTER OF
THE COMMODITY FUTURES ACT,
R.S.O. 1990, CHAPTER C.20, AS AMENDED
(the “CFA”)**

AND

**IN THE MATTER OF
P/E GLOBAL LLC**

**ORDER
(Section 80 of the CFA)**

UPON the application (the **Application**) of P/E Global, LLC (the **Applicant**) to the Ontario Securities Commission (the **Commission**) for an order pursuant to section 80 of the CFA that the Applicant and any individuals engaging in, or holding themselves out as engaging in, the business of advising others as to trading in Contracts (as defined below) on the Applicant’s behalf (the **Representatives**) be exempt, for a specified period of time, from the adviser registration requirement in paragraph 22(1)(b) of the CFA, subject to certain terms and conditions;

AND UPON considering the Application and the recommendation of staff of the Commission;

AND WHEREAS for the purposes of this Order:

“**CFA Adviser Registration Requirement**” means the requirement in paragraph 22(1)(b) of the CFA that prohibits a person or company from acting as an adviser with respect to trading in Contracts unless the person or company is registered in the appropriate category of registration under the CFA;

“**CFTC**” means the Commodity Futures Trading Commission of the United States;

“**Contract**” has the meaning ascribed to that term in subsection 1(1) of the CFA;

“**Foreign Contract**” means a Contract that is primarily traded on one or more organized exchanges that are located outside of Canada and primarily cleared through one or more clearing corporations that are located outside of Canada;

“**International Adviser Exemption**” means the exemption set out in section 8.26 of NI 31-103 from the OSA Adviser Registration Requirement;

“**NI 31-103**” means National Instrument 31-103, *Registration Requirements, Exemptions and Ongoing Registrant Obligations*;

“**OSA**” means the *Securities Act*, R.S.O. 1990, c. S.5, as amended;

“OSA Adviser Registration Requirement” means the requirement in the OSA that prohibits a person or company from engaging in or holding himself, herself or itself out as engaging in the business of advising others as to the investing in or the buying or selling of securities, unless the person or company is registered in the appropriate category of registration under the OSA;

“Permitted Client” means a client in Ontario that is a “permitted client”, as that term is defined in section 1.1 of NI 31-103, except that for the purposes of this Order such definition shall exclude a person or company registered as an adviser or dealer under the securities legislation or derivatives legislation, including commodity futures legislation, of a jurisdiction of Canada;

“SEC” means the Securities and Exchange Commission of the United States;

“specified affiliate” has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*; and

“United States” means the United States of America.

AND UPON the Applicant having represented to the Commission that:

1. The Applicant is a limited liability company formed under the laws of the State of Delaware with its principal place of business located at 75 State Street, 31st Floor, Boston, MA, U.S.A., 02109.
2. The Applicant is registered in the United States with the SEC as an investment adviser under the *Investment Advisers Act of 1940* as amended, with the CFTC as a commodity trading adviser (“CTA”) and a commodity pool operator (“CPO”) and is a member of the National Futures Association. The Applicant has claimed a CFTC Rule 4.7 exemption as a CTA and CPO pursuant to which the Applicant is subject to reduced disclosure and recordkeeping requirements with respect to certain qualified eligible person accounts and pools.
3. The Applicant provides investment advisory and portfolio management services on a discretionary basis for persons that are “qualified eligible persons” under CFTC rules, high net worth individuals, banking or thrift institutions, pension and profit sharing plans, pooled investment vehicles, charitable organizations, foundations, investment companies, corporations trusts and other business entities, and state or municipal government entities.
4. The Applicant’s investment strategies generally may invest in futures (primarily foreign exchange, government bond and equity index futures), forwards, swaps, U.S. Treasury bills, money market securities, foreign currencies, cash or cash equivalents.
5. The Applicant is authorized by the CFTC and SEC to advise on, inter alia, securities, options, futures, swaps, forward rate agreements and any other derivative contracts.
6. The Applicant is not registered under the OSA or CFA in Ontario or under the securities legislation or derivatives legislation, including commodity futures legislation, of any other jurisdiction of Canada.
7. On December 22, 2017, the Applicant was granted an exemption (the **BC Order**) from the adviser registration requirement of the securities legislation of British Columbia so that the requirement does not apply to the Applicant and any individuals engaging in, or holding themselves out as engaging in, the business of advising others as to the trading in foreign contracts (as it is defined in the BC Order) on the Applicants behalf, subject to certain terms and conditions. The BC Order was relied upon in Alberta, Saskatchewan, New Brunswick and Nova Scotia pursuant to Multilateral Instrument 11-102 *Passport System*.
8. The Applicant is not in default of the securities legislation or derivatives legislation, including commodity futures legislation, of any jurisdiction of Canada. The Applicant is also in compliance in all material respects with securities law, commodity futures law and derivatives laws of the United States.
9. Certain investors that are Permitted Clients, including separately managed accounts, mutual funds and collective investment trust funds, may seek to engage the Applicant as an investment adviser for the purposes of implementing certain investment strategies, including providing advice as to trading in Foreign Contracts and managing trading in Foreign Contracts through discretionary authority.
10. Paragraph 22(1)(b) of the CFA prohibits a person or company from acting as an adviser in respect of Contracts unless the person or company is registered as an adviser under the CFA, or is registered as a representative or as partner or an officer of a registered adviser and is acting on behalf of a registered adviser.

11. There is currently no exemption from the CFA Adviser Registration Requirement that is equivalent to the International Adviser Exemption. Consequently, in order to advise Permitted Clients as to trading in Foreign Contracts, in the absence of this Order, the Applicant would be required to satisfy the CFA Adviser Registration Requirement by applying for and obtaining registration under the CFA in the appropriate category of registration.
12. The Applicant confirms that there are currently no regulatory actions of the type contemplated by the Notice of Regulatory Action attached as Appendix "B".

AND UPON being satisfied that it would not be prejudicial to the public interest for the Commission to make this Order;

IT IS ORDERED, pursuant to section 80 of the CFA, that the Applicant and the Representatives are exempt from the adviser registration requirement in paragraph 22(1)(b) of the CFA in respect of providing advice to Permitted Clients as to the trading of Foreign Contracts provided that:

- (a) the Applicant provides advice to Permitted Clients only as to trading in Foreign Contracts and does not advise any Permitted Client as to trading in Contracts that are not Foreign Contracts, unless providing such advice is incidental to its providing advice on Foreign Contracts;
- (b) the Applicant's head office or principal place of business remains in the United States;
- (c) the Applicant is registered in a category of registration, or operates under an exemption from registration, under the applicable securities or derivatives legislation, including commodity futures legislation of the United States that permits it to carry on the activities in the United States that registration under the CFA as an adviser in the category of commodity trading manager would permit it to carry on in Ontario;
- (d) the Applicant continues to engage in the business of an adviser (as defined in the CFA) in the United States;
- (e) as at the end of the Applicant's most recently completed financial year, not more than 10% of the aggregate consolidated gross revenue of the Applicant, its affiliates and its affiliated partnerships (excluding the gross revenue of an affiliate or affiliated partnership of the Applicant if the affiliate or affiliated partnership is registered under securities legislation, commodities legislation or derivatives legislation of a jurisdiction of Canada) was derived from the portfolio management activities of the Applicant, its affiliates and its affiliated partnerships in Canada (which, for greater certainty, includes both securities-related and commodity-futures-related activities);
- (f) before advising a Permitted Client with respect to Foreign Contracts, the Applicant notifies the Permitted Client of all of the following:
 - (i) the Applicant is not registered in Ontario to provide the advice described in paragraph (a) of this Order;
 - (ii) the foreign jurisdiction in which the Applicant's head office or principal place of business is located;
 - (iii) all or substantially all of the Applicant's assets may be situated outside of Canada;
 - (iv) there may be difficulty enforcing legal rights against the Applicant because of the above; and
 - (v) the name and address of the Applicant's agent for service of process in Ontario;
- (g) the Applicant has submitted to the Commission a completed Submission to Jurisdiction and Appointment of Agent for Service in the form attached as Appendix "A";
- (h) the Applicant notifies the Commission of any regulatory action initiated after the date of this Order with respect to the Applicant or any predecessors or the specified affiliates of the Applicant by completing and filing Appendix "B" within 10 days of the commencement of each such action;
- (i) if the Applicant is not subject to the requirement to pay a participation fee in Ontario because it is not registered under the OSA and does not rely on the International Adviser Exemption, by December 31st of each year, the Applicant pays a participation fee based on its specified Ontario revenues for its previous financial year in compliance with the requirements of Part 3 and section 6.4 of Ontario Securities Commission Rule 13-502 Fees as if the Applicant relied on the International Adviser Exemption; and

IT IS FURTHER ORDERED that this Order will terminate on the earliest of:

- (a) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
- (b) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the ability of the Applicant to act as an adviser to a Permitted Client; and
- (c) five years after the date of this Order.

DATED at Toronto, Ontario, this 8th day of May, 2018.

"Philip Anisman"
Commissioner
Ontario Securities Commission

"Garnet W. Fenn"
Commissioner
Ontario Securities Commission

APPENDIX "A"

SUBMISSION TO JURISDICTION AND
APPOINTMENT OF AGENT FOR SERVICE

INTERNATIONAL DEALER OR INTERNATIONAL ADVISER EXEMPTED FROM
REGISTRATION UNDER THE COMMODITY FUTURES ACT, ONTARIO

1. Name of person or company ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered firm or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Head office address of the International Firm:
5. The name, e-mail address, phone number and fax number of the International Firm's individual(s) responsible for the supervisory procedure of the International Firm, its chief compliance officer, or equivalent.

Name:
E-mail address:
Phone:
Fax:
6. The International Firm is relying on an exemption order under section 38 or section 80 of the *Commodity Futures Act* (Ontario) that is similar to the following exemption in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the "Relief Order"):

☐ Section 8.18 [*international dealer*]

☐ Section 8.26 [*international adviser*]

☐ Other [specify]:
7. Name of agent for service of process (the "Agent for Service"):
8. Address for service of process on the Agent for Service:
9. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in the local jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
10. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of the local jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the local jurisdiction.
11. Until 6 years after the International Firm ceases to rely on the Relief Order, the International Firm must submit to the regulator
 - a. a new Submission to Jurisdiction and Appointment of Agent for Service in this form no later than the 30th day before the date this Submission to Jurisdiction and Appointment of Agent for Service is terminated;
 - b. an amended Submission to Jurisdiction and Appointment of Agent for Service no later than the 30th day before any change in the name or above address of the Agent for Service;
 - c. a notice detailing a change to any information submitted in this form, other than the name or above address of the Agent for Service, no later than the 30th day after the change.
12. This Submission to Jurisdiction and Appointment of Agent for Service is governed by and construed in accordance with the laws of the local jurisdiction.

Dated: _____

(Signature of the International Firm or authorized signatory)

(Name of signatory)

(Title of signatory)

Acceptance

The undersigned accepts the appointment as Agent for Service of _____ [*Insert name of International Firm*] under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service.

Dated: _____

(Signature of the Agent for Service or authorized signatory)

(Name of signatory)

(Title of signatory)

This form, and notice of a change to any information submitted in this form, is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

APPENDIX "B"

NOTICE OF REGULATORY ACTION

1. Has the firm, or any predecessors or specified affiliates¹ of the firm entered into a settlement agreement with any financial services regulator, securities or derivatives exchange, SRO or similar agreement with any financial services regulator, securities or derivatives exchange, SRO or similar organization?

Yes _____ No _____

If yes, provide the following information for each settlement agreement:

Name of entity
Regulator/organization
Date of settlement (yyyy/mm/dd)
Details of settlement
Jurisdiction

2. Has any financial services regulator, securities or derivatives exchange, SRO or similar organization:

	Yes	No
a) Determined that the firm, or any predecessors or specified affiliates of the firm violated any securities regulations or any rules of a securities or derivatives exchange, SRO or similar organization?	_____	_____
(b) Determined that the firm, or any predecessors or specified affiliates of the firm made a false statement or omission?	_____	_____
(c) Issued a warning or requested an undertaking by the firm, or any predecessors or specified affiliates of the firm?	_____	_____
(d) Suspended or terminated any registration, licensing or membership of the firm, or any predecessors or specified affiliates of the firm?	_____	_____
(e) Imposed terms or conditions on any registration or membership of the firm, or predecessors or specified affiliates of the firm?	_____	_____
(f) Conducted a proceeding or investigation involving the firm, or any predecessors or specified affiliates of the firm?	_____	_____
(g) Issued an order (other than an exemption order) or a sanction to the firm, or any predecessors or specified affiliates of the firm for securities or derivatives-related activity (e.g. cease trade order)?	_____	_____

If yes, provide the following information for each action:

Name of entity	
Type of action	
Regulator/organization	
Date of action (yyyy/mm/dd)	Reason for action
Jurisdiction	

¹ In this Appendix, the term "specified affiliate" has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*.

3. Is the firm aware of any ongoing investigation of which the firm or any of its specified affiliates is the subject?

Yes _____ No _____

If yes, provide the following information for each investigation:

Name of entity
Reason or purpose of investigation
Regulator/organization
Date investigation commenced (yyyy/mm/dd)
Jurisdiction

Name of firm:
Name of firm's authorized signing officer or partner
Title of firm's authorized signing officer or partner
Signature
Date (yyyy/mm/dd)

Witness

The witness must be a lawyer, notary public or commissioner of oaths.

Name of witness
Title of witness
Signature
Date (yyyy/mm/dd)

This form is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

2.2.4 Canadian Real Estate Investment Trust

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

May 11, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(THE “JURISDICTION”)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
CANADIAN REAL ESTATE INVESTMENT TRUST
(THE “FILER”)**

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the “**Legislation**”) that upon the units of the Filer being delisted from the Toronto Stock Exchange, the Filer will have ceased to be a reporting issuer in all jurisdictions of Canada in which the Filer is a reporting issuer (the “**Order Sought**”).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 – *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Québec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, the Northwest Territories, Nunavut and the Yukon, as applicable.

Interpretation

Terms defined in National Instrument 14-101 – *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 – *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 Marketplace Operation or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Sonny Randhawa”
Deputy Director
Corporate Finance Branch

2.2.5 State Street Global Advisors, Ltd. and SSgA Funds Management, Inc. – s. 80 of the CFA

Headnote

Section 80 of the Commodity Futures Act (Ontario) – Relief from the adviser registration requirement of paragraph 22(1)(b) of the CFA granted to sub-advisers headquartered in foreign jurisdictions in respect of advice regarding trades in commodity futures contracts and commodity futures options, subject to certain terms and conditions – Relief mirrors exemption available in section 8.26.1 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations made under the Securities Act (Ontario) – Relief is subject to a sunset clause.

Applicable Legislative Provisions

Commodity Futures Act, R.S.O. 1990, c. C.20, as am., ss. 1(1), 22(1)(b), 80.

Securities Act, R.S.O. 1990, c. S.5, as am., s. 25(3).

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, s. 8.26.1.

Ontario Securities Commission Rule 35-502 Non-Resident Advisers, s. 7.11.

Applicable Orders

In the Matter of State Street Global Advisors, Ltd. and SSgA Funds Management, Inc., dated May 3, 2013.

**IN THE MATTER OF
THE COMMODITY FUTURES ACT,
R.S.O. 1990, CHAPTER C.20, AS AMENDED (THE CFA)**

AND

**IN THE MATTER OF
STATE STREET GLOBAL ADVISORS, LTD. AND
SSGA FUNDS MANAGEMENT, INC.**

**ORDER
(SECTION 80 OF THE CFA)**

UPON the application (the **Application**) of State Street Global Advisors, Ltd. (the **Principal Adviser**) and SSgA Funds Management, Inc. (the **Sub-Adviser**) to the Ontario Securities Commission (the **Commission**) for an order (the **Order**) pursuant to section 80 of the CFA, that the Sub-Adviser and any individuals engaging in, or holding themselves out as engaging in, the business of advising others when acting on behalf of the Sub-Adviser in respect of the Sub-Advisory Services (as defined below) (the **Representatives**) be exempt, for a specified period of time, from the adviser registration requirements of paragraph 22(1)(b) of the CFA when acting as a sub-adviser to the Principal Adviser for the benefit of the Clients (as defined below) regarding commodity futures contracts and commodity futures options (collectively, the **Contracts**) traded on commodity futures exchanges and cleared through clearing corporations;

AND UPON considering the Application and the recommendation of staff of the Commission;

AND UPON the Principal Adviser and the Sub-Adviser having represented to the Commission that:

1. The Principal Adviser is a corporation incorporated under the laws of Canada with its head office located in Montreal, Québec.
2. The Principal Adviser is an indirect wholly owned subsidiary of State Street Corporation.
3. The Principal Adviser is registered (a) as a portfolio manager, exempt market dealer and investment fund manager under the securities legislation of all the provinces of Canada, and (b) under the CFA, as commodity trading counsel and as commodity trading manager, as an adviser under the *Commodity Futures Act* (Manitoba) in Manitoba and as a derivatives portfolio manager under the Québec *Derivatives Act* in Québec.
4. The Principal Adviser provides discretionary portfolio management services to segregated accounts and pooled funds. The portfolio management services provided by the Principal Adviser to its clients (the **Clients**) include acting as an adviser with respect to both securities and Contracts where such investments are part of the investment program of such Clients.

5. The Sub-Adviser is a corporation incorporated under the laws of the Commonwealth of Massachusetts with its head office located in Boston, Massachusetts, United States of America (the **U.S.**). The Sub-Adviser does not have any offices or physical presence in any jurisdictions of Canada.
6. The Sub-Adviser is an indirect wholly owned subsidiary of State Street Corporation.
7. The Sub-Adviser is not registered in any capacity under the securities legislation of Ontario or any other jurisdiction in Canada or under the CFA.
8. The Sub-Adviser relies on the investment fund manager registration exemption in Multilateral Instrument 32-102 *Registration Exemptions for Non-Resident Investment Fund Managers* in Ontario, Québec and Newfoundland and Labrador. The Sub-Adviser was also (a) granted relief from the adviser registration requirement in paragraph 22(1)(b) of the CFA in Ontario on May 3, 2013 (the Prior Order), (b) granted relief from the adviser registration requirement in paragraph 24(2) of the *Commodity Futures Act* (Manitoba) in Manitoba on May 8, 2013 and (d) granted relief from the adviser registration requirements in sections 54 and 56 of the Québec *Derivatives Act* in Québec on August 11, 2017.
9. The Sub-Adviser is registered as an investment adviser under the U.S. *Investment Advisers Act of 1940* and as a commodity trading adviser under the U.S. *Commodity Exchanges Act* with the United States Commodity Futures Trading Commission. As such, the Sub-Adviser is authorized and permitted to carry on the Sub-Advisory Services (as defined below) in its principal jurisdiction.
10. The Sub-Adviser provides trading advice services in respect of futures, options on futures and swaps to its clients generally traded on a U.S. Exchange and/or with a U.S. counterparty to certain of its clients. As such, the Sub-Adviser engages in the business of an adviser in respect of Contracts in its principal jurisdiction.
11. Neither the Principal Adviser, nor the Sub-Adviser, is in default of any requirements of securities legislation, commodity futures legislation or derivatives legislation in any jurisdiction of Canada. The Sub-Adviser is in compliance in all material respects with the securities laws, commodity futures laws and derivatives laws in the jurisdiction in which its head office or principal place of business is located.
12. Paragraph 22(1)(b) of the CFA prohibits a person or company from acting as an adviser, unless such person or company is registered as an adviser under the CFA, or is registered as a representative or as a partner or as an officer of a registered adviser and is acting on behalf of such adviser.
13. By providing the Sub-Advisory Services (as defined below), the Sub-Adviser and its Representatives will be engaging in, or holding himself, herself or itself out as engaging in, the business of advising others in respect of Contracts and, in the absence of being granted the requested relief, would be required to register as an adviser, or a representative of an adviser, as the case may be, under the CFA.
14. There is presently no rule or regulation under the CFA that provides an exemption from the adviser registration requirement in paragraph 22(1)(b) of the CFA that is similar to the exemption from the adviser registration requirement in subsection 25(3) of the *Securities Act* (Ontario) provided under section 8.26.1 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**).
15. In connection with the Principal Adviser acting as an adviser to Clients in respect of the purchase or sale of Contracts, the Principal Adviser has retained the Sub-Adviser, pursuant to a written agreement made between the Principal Adviser and the Sub-Adviser, to act as a sub-adviser to the Principal Adviser by exercising discretionary investment authority on behalf of the Principal Adviser, in respect of all or a portion of the assets of the investment portfolio of the respective Clients, including discretionary authority to buy or sell Contracts for the Client, (the **Sub-Advisory Services**), provided that:
 - a) in each case, the Contracts are cleared through an “acceptable clearing corporation” (as defined in National Instrument 81-102 *Investment Funds* (**NI 81-102**)) or a clearing corporation that clears and settles transactions made on a futures exchange listed in Appendix A of NI 81-102; and
 - b) such investments are consistent with the investment objectives and strategies of the applicable Clients.
16. The Sub-Adviser will only provide the Sub-Advisory Services as long as the Principal Adviser is, and remains, registered under the CFA as an adviser in the category of commodity trading manager.
17. The relationship among the Principal Adviser, the Sub-Adviser and any Client is, or will be, consistent with the requirements of section 8.26.1 of NI 31-103. As would be required under section 8.26.1 of NI 31-103:

- a) the obligations and duties of the Sub-Adviser are set out in a written agreement with the Principal Adviser;
 - b) the Principal Adviser has entered into a written agreement with Client agreeing to be responsible for any loss that arises out of the failure of the Sub-Adviser:
 - i) to exercise the powers and discharge the duties of its office honestly, in good faith and in the best interests of the Principal Adviser and each Client, or
 - ii) to exercise the degree of care, diligence and skill that a reasonably prudent person would exercise in the circumstances (together with (i), the **Assumed Obligations**); and
 - c) the Principal Adviser cannot be relieved by the Client from its responsibility for any loss that arises out of the failure of the relevant Sub-Adviser to meet the Assumed Obligations.
18. The agreement between the Principal Adviser and the Sub-Adviser sets out the obligations and duties of each party in connection with the Sub-Advisory Services and permits the Principal Adviser to exercise the degree of supervision and control it is required to exercise over the applicable Sub-Adviser in respect of the Sub-Advisory Services.
19. The Principal Adviser will deliver to the Clients all required reports and statements under applicable securities, commodity futures and derivatives legislation.
20. Any offering document (an **Offering Document**) of a Client that is a pooled fund, and for which the Principal Adviser engages the Sub-Adviser to provide the Sub-Advisory Services, will include the following disclosure (the **Required Disclosure**):
- a) a statement that the Principal Adviser is responsible for any loss that arises out of the failure of the Sub-Adviser to meet the Assumed Obligations; and
 - b) a statement that there may be difficulty in enforcing any legal rights against the Sub-Adviser (or any of its Representatives) because the Sub-Adviser is resident outside of Canada and all or a substantial portion of its assets are situated outside of Canada.
21. Prior to purchasing any securities of a Client that is a pooled fund directly from the Principal Adviser, each investor in any of these pooled funds who is an Ontario resident has received, or will receive, the Required Disclosure in writing (which may be in the form of an Offering Document).
22. Each Client that is a segregated account for which the Principal Adviser engages the Sub-Adviser to provide the Sub-Advisory Services has received, or will receive, the Required Disclosure in writing prior to the purchasing of any Contracts for such Client.
23. The Sub-Adviser obtained substantially similar relief in the Prior Order, pursuant to which the Sub-Adviser provided Sub-Advisory Services to the Principal Adviser in respect of the Clients.
24. The expiry of the Prior Order, pursuant to the terms of the Prior Order, has triggered the need for the requested Order.

AND UPON the Commission being of the opinion that to do so would not be prejudicial to the public interest;

IT IS ORDERED, pursuant to section 80 of the CFA, that the Sub-Adviser and its Representatives are exempt from the adviser registration requirement of paragraph 22(1)(b) of the CFA when acting as a sub-adviser to the Principal Adviser in respect of the Sub-Advisory Services provided that at the time that such activities are engaged in:

- a) the Principal Adviser is registered under the CFA as an adviser in the category of “commodity trading manager”;
- b) the Sub-Adviser’s head office or principal place of business is in a jurisdiction outside of Canada;
- c) the Sub-Adviser is registered in a category of registration, or operates under an exemption from registration, under the commodity futures or other applicable legislation of its principal jurisdiction that permits it to carry on the activities in that jurisdiction that registration as an adviser under the CFA would permit it to carry on in Ontario;
- d) the Sub-Adviser engages in the business of an adviser in respect of Contracts in the jurisdiction outside of Canada in which its head office or principal place of business is located;

- e) the obligations and duties of the Sub-Adviser are set out in a written agreement with the Principal Adviser;
- f) the Principal Adviser has entered into a written agreement with each Client, agreeing to be responsible for any loss that arises out of any failure of the Sub-Adviser to meet the Assumed Obligations;
- g) the Offering Document of each Client that is a pooled fund and for which the Principal Adviser engages the Sub-Adviser to provide the Sub-Advisory Services includes the Required Disclosure;
- h) prior to purchasing any securities of a Client that is a pooled fund directly from the Principal Adviser, each investor in any of these pooled funds who is an Ontario resident receives the Required Disclosure in writing (which may be in the form of an Offering Document); and
- i) each Client that is a segregated account for which the Principal Adviser engages the Sub-Adviser to provide the Sub-Advisory Services receives the Required Disclosure in writing prior to the purchasing of any Contracts for such Client.

IT IS FURTHER ORDERED that this Order will terminate on the earliest of:

- a) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
- b) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the *Securities Act* (Ontario)) that affects the ability of any Sub-Adviser to act as a sub-adviser to the Principal Adviser in respect of the Sub-Advisory Services; and
- c) five years after the date of this Order.

Dated at Toronto this 11th day of May, 2018

"M. Cecilia Williams"
Commissioner
Ontario Securities Commission

"William Furlong"
Commissioner
Ontario Securities Commission

2.2.6 Omega Securities Inc. – s. 127(7)

FILE NO.: 2017-64

**IN THE MATTER OF
OMEGA SECURITIES INC.**

Mark J. Sandler, Commissioner and Chair of the Panel

May 14, 2018

ORDER

Subsection 127(7) of the
Securities Act, RSO 1990, c S.5

WHEREAS on May 14, 2018, the Ontario Securities Commission conducted a hearing in writing, to consider whether to extend the temporary order of the Commission issued on November 23, 2017 in this matter (the **Temporary Order**) and extended on December 5, 2017, January 26, 2018, February 27, 2018, March 28, 2018 and April 12, 2018;

ON READING the material filed by Staff of the Commission and considering Omega Securities Inc.'s consent to the making of this Order;

IT IS ORDERED THAT:

1. Pursuant to section 5.1 of the *Statutory Powers Procedure Act*, RSO 1990, c S.22 and Rule 23(2) of the Ontario Securities Commission *Rules of Procedure and Forms* (2017), 40 OSCB 8988, the hearing be conducted in writing; and
2. Pursuant to subsection 127(7) of the *Securities Act*, RSO 1990, c S.5, the Temporary Order is extended until June 14, 2018.

"Mark J. Sandler"

2.2.7 NeuLion, Inc.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceases to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

May 14, 2018

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
NEULION, INC.
(the Filer)**

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions in Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland and Labrador.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

"Michael Balter"
Manager, Corporate Finance
Ontario Securities Commission

2.2.8 Dennis L. Meharchand and Valt.X Holdings Inc. – s. 127(1)

IN THE MATTER OF DENNIS L. MEHARCHAND and VALT.X HOLDINGS INC.

Timothy Moseley, Vice-Chair and Chair of the Panel
Deborah Leckman, Commissioner
Robert P. Hutchison, Commissioner

May 14, 2018

ORDER Subsection 127(1) of the *Securities Act*, RSO 1990, c S.5

WHEREAS on May 14, 2018, the Ontario Securities Commission held a hearing on the merits at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario; and

ON HEARING the submissions of Dennis L. Meharchand and Valt.X Holdings Inc. and the representative for Staff of the Commission;

IT IS ORDERED THAT the hearing date on May 18, 2018 is vacated.

"Timothy Moseley"

"Deborah Leckman"

"Robert P. Hutchison"

2.2.9 B&A Fertilizers Limited – s. 1(10)(a)(ii)

Headnote

OSC Staff Notice 12-703 Applications for a Decision that an Issuer is not a Reporting Issuer – issuer deemed to no longer be a reporting issuer under applicable securities legislation – issuer has fewer than 15 security holders in Ontario and fewer than 51 security holders worldwide

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

April 6, 2018

**IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S.5, AS AMENDED
(the Act)**

AND

**IN THE MATTER OF
B&A FERTILIZERS LIMITED
(the Filer)**

**ORDER
(Subparagraph 1(10)(a)(ii) of the Act)**

Background

The Ontario Securities Commission has received an application from the Filer for an order pursuant to subparagraph 1(10)(a)(ii) of the Act that the Filer is no longer a reporting issuer (the **Exemptive Relief Sought**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions* have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. The Filer is a corporation amalgamated under the BVI *Business Companies Act, 2004* (the **BVI Act**) as evidenced by a certificate of merger dated March 13, 2013 issued by the Registrar of Corporate Affairs of the British Virgin Islands pursuant to which Rio Verde Minerals Development Corp. (**Rio Verde**) and B&A Fertilizers Limited were amalgamated to form the Filer (the **Merger**). The Filer is the corporation resulting from the Merger and is the successor in law to Rio Verde.
2. The Filer's head office is located at Rua Clodomiro Amazonas, n. 249, 120 andar, Itaim Bibi, São Paulo, Brazil.

3. The Filer is a mining company engaged in the exploration and development of fertilizer projects in Brazil.
4. The Filer is a reporting issuer only in Ontario and not in any other jurisdiction of Canada.
5. The authorized capital of the Filer consists of an unlimited number of ordinary shares. The sole shareholder of the Filer is B&A Mineração S.A. (**B&A Mineração**).
6. Prior to the Merger, Rio Verde's authorized capital consisted of an unlimited number of ordinary shares without par value (the **Ordinary Shares**). The Ordinary Shares were listed on the Toronto Stock Exchange under the symbol "RVD".
7. Prior to the Merger, Rio Verde also had a total of 17,461,538 ordinary share purchase warrants (the **Warrants**) outstanding. The Warrants were issued pursuant to and governed by the terms of a warrant indenture between Rio Verde and Olympia Transfer Services Inc. made as of July 29, 2011. Each outstanding Warrant entitled the holder to purchase one Ordinary Share at a price of Cdn.\$0.85 until July 28, 2016.
8. In addition, Rio Verde had a total of 1,079,000 broker warrants (the **Broker Warrants**) outstanding. Each Broker Warrant entitled the holder to acquire one unit of Rio Verde at a price of Cdn.\$0.65 until July 28, 2016, with each unit being comprised of one Ordinary Share and one ordinary share purchase warrant having identical terms as the Warrants described above.
9. The Merger was implemented pursuant to a plan of merger under section 170 of the BVI Act. Pursuant to the Merger, each outstanding Ordinary Share, other than Ordinary Shares held by B&A Mineração, was cancelled and exchanged for one redeemable preferred share of the surviving company (a **Redeemable Share**). The Redeemable Shares entitled the shareholders to cash consideration of Cdn.\$0.40 per Redeemable Share.
10. Following the Merger, B&A Mineração beneficially owned and exercised control and direction over 100% of the issued and outstanding Ordinary Shares. The Ordinary Shares were subsequently delisted from the Toronto Stock Exchange on March 25, 2013.
11. Following the Merger, the Warrants and Broker Warrants remained outstanding as securities of the Filer. As a result, the Filer did not meet the requirements for the simplified procedure under OSC Staff Notice 12-703 *Applications for a Decision that an Issuer is not a Reporting Issuer* (the **OSC Staff Notice 12-703**), as it was unable to certify that its outstanding Warrants were

- owned by fewer than 15 securityholders in Ontario and fewer than 51 in total worldwide.
12. The Warrants and Broker Warrants expired in accordance with their respective terms on July 28, 2016. As a result, the outstanding securities of the Filer, including debt securities, are now beneficially owned directly or indirectly, by fewer than 15 security holders in Ontario and fewer than 51 security holders worldwide; specifically, the Ordinary Shares are held by a single shareholder resident in Brazil, and there are no other securities of the Filer outstanding.
 13. No securities of the Filer are traded on a marketplace as defined in National Instrument 21-101 *Marketplace Operations* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported.
 14. The Filer is currently subject to a cease trade order dated May 5, 2016 issued by the Director of the Ontario Securities Commission pursuant to paragraph 2 of subsection 127(1) and subsection 127(4.1) of the Act ordering that the trading in the securities of the Filer cease until further ordered by the Director. The Filer has applied for and expects to be granted concurrently with this order, a full revocation of the cease trade order.
 15. The Filer is applying for an order that it is not a reporting issuer in Ontario.
 16. The Filer is not in default of securities legislation, except for the failure to file:
 - (a) its audited annual financial statements and annual management's discussion and analysis (**MD&A**) for the year ended December 31, 2016, and the related certification of filings of the Chief Executive Officer and Chief Financial Officer (the **Certification**) required under Multi-lateral Instrument 52-109 *Certification of Disclosure in Issuers' Annual and Interim Filings*; and
 - (b) its interim financial statements and interim MD&A for the interim periods ended March 31, 2016, June 30, 2016, September 30, 2016, March 31, 2017, June 30, 2017 and September 30, 2017, and the related Certifications.
 17. The Filer has no current intention to distribute any securities to the public in Canada, nor to seek public financing by way of an offering of securities in Canada.
 18. The Filer is not eligible to use the simplified procedure under OSC Staff Notice 12-703 because it is in default of its obligations under the Act.
 19. Upon the grant of the Exemptive Relief Sought, the Filer will not be a reporting issuer or the equivalent in any jurisdiction in Canada.
 20. The Filer has paid all outstanding participation fees and filing fees owing to the Commission.

Order

The Commission is satisfied that the order meets the test set out in the Act for the Commission to make the order.

The order of the Commission under the Act is that the Exemptive Relief Sought is granted.

"Deborah Leckman"
Commissioner
Ontario Securities Commission

"Mark Sandler"
Commissioner
Ontario Securities Commission

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Chapter 4

Cease Trading Orders

4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
THERE IS NOTHING TO REPORT THIS WEEK.				

Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
AlkaLi3 Resources Inc.	04 May 2018	11 May 2018
Imex Systems Inc.	04 May 2018	11 May 2018
Vivione Biosciences Inc.	04 May 2018	10 May 2018
Walton Westphalia Development Corporation	04 May 2018	11 May 2018

4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order	Date of Lapse
THERE IS NOTHING TO REPORT THIS WEEK.		

4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		

Company Name	Date of Order	Date of Lapse
Agility Health, Inc.	01 May 2018	
Blockchain Power Trust	01 May 2018	
Katanga Mining Limited	15 August 2017	
Sage Gold Inc.	01 May 2018	

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Chapter 7

Insider Reporting

The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI ® (the System for Electronic Disclosure by Insiders).¹ The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).²

Guide to Codes

Relationship of Insider to Issuer (Rel=n)

- | | |
|---|---|
| 1 | Issuer |
| 2 | Subsidiary of Issuer |
| 3 | 10% Security Holder of Issuer |
| 4 | Director of Issuer |
| 5 | Senior Officer of Issuer |
| 6 | Director or Senior Officer of 10% Security Holder |
| 7 | Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6) |
| 8 | Deemed Insider – 6 Months before becoming Insider |

Nature of Transaction (T/O)

- | | |
|----|---|
| 00 | Opening Balance-Initial SEDI Report |
| 10 | Acquisition or disposition in the public market |
| 11 | Acquisition or disposition carried out privately |
| 15 | Acquisition or disposition under a prospectus |
| 16 | Acquisition or disposition under a prospectus exemption |
| 22 | Acquisition or disposition pursuant to a take-over bid, merger or acquisition |
| 30 | Acquisition or disposition under a purchase/ ownership plan |
| 35 | Stock dividend |
| 36 | Conversion or exchange |
| 37 | Stock split or consolidation |
| 38 | Redemption, retraction, cancellation, repurchase |
| 40 | Short sale |
| 45 | Compensation for property |
| 46 | Compensation for services |
| 47 | Acquisition or disposition by gift |
| 48 | Acquisition by inheritance or disposition by bequest |
| 50 | Grant of options |
| 51 | Exercise of options |
| 52 | Expiration of options |
| 53 | Grant of warrants |
| 54 | Exercise of warrants |
| 55 | Expiration of warrants |
| 56 | Grant of rights |
| 57 | Exercise of rights |
| 59 | Exercise for cash |
| 70 | Acquisition or disposition (writing) of third party derivative |
| 71 | Exercise of third party derivative |
| 72 | Other settlement of third party |
| 73 | Expiration of third party derivative |
| 90 | Change in nature of ownership |
| 97 | Other |
| 99 | Correction of Information |

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

1 SEDI® is a registered trademark owned by CDS INC.

2 ©CDS INC.

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/8/2018	10	3.2976	1,111,300	11,300
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/9/2018	10	3.3	1,125,000	13,700
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/10/2018	10	3.293		7,400
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/10/2018	10	3.2495	1,132,400	7,400
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/11/2018	10	3.2495		17,600
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/11/2018	10	3.2495		17,600
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/11/2018	10	3.27	1,136,500	4,100
5N Plus Inc.	Common Shares	Bertrand, Luc	4	5/11/2018	10	3.3	1,150,000	13,500
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	Bertrand, Luc	4	5/4/2018	56	2.8	176,050	40,179
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	BOURASSA, JEAN-MARIE	4	5/4/2018	56	2.8	280,859	33,929
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	Le Prohon, Nathalie	4	5/4/2018	56	2.8	199,416	32,679
5N Plus Inc.	Common Shares	Osborne, Donald F.	4	5/2/2018	00			
5N Plus Inc.	Common Shares	Osborne, Donald F.	4	5/10/2018	10	3.2336	5,000	5,000
5N Plus Inc.	Common Shares	Osborne, Donald F.	4	5/10/2018	10	3.25	10,000	5,000
5N Plus Inc.	Common Shares	Osborne, Donald F.	4	5/11/2018	10	3.2977	20,000	10,000
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	Osborne, Donald F.	4	5/2/2018	00			
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	Osborne, Donald F.	4	5/4/2018	56	2.8	29,464	29,464
5N Plus Inc.	Common Shares	Perron, Richard	5	5/9/2018	51	1.65	98,300	98,300
5N Plus Inc.	Common Shares	Perron, Richard	5	5/9/2018	10	3.2652	0	-98,300
5N Plus Inc.	Common Shares	Perron, Richard	5	5/11/2018	51	1.65	16,900	16,900
5N Plus Inc.	Common Shares	Perron, Richard	5	5/11/2018	10	3.2961	0	-16,900
5N Plus Inc.	Options	Perron, Richard	5	5/9/2018	51	1.65	466,865	-98,300
5N Plus Inc.	Options	Perron, Richard	5	5/11/2018	51	1.65	449,965	-16,900
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	S. Hwang, Jennie	4	5/4/2018	56	2.8	209,303	31,786
5N Plus Inc.	Deferred Share Units/Unités d'action reportées	T. Fahey, James	4	5/4/2018	56	2.8	209,303	31,786
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/2/2018	38	4.54	7,000	7,000
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/3/2018	38	4.54	14,000	7,000
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/5/2018	38	4.5	20,500	6,500
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/5/2018	38	4.52	21,000	500
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/9/2018	38	4.51	22,800	1,800
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/9/2018	38	4.52	28,000	5,200
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	4/20/2018	38		0	-28,000
AbraPlata Resource Corp.	Common Shares	Fuchter, Willem Anton Hendrik	5	5/4/2018	16	0.2	2,558,006	50,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
AbraPlata Resource Corp.	Warrants	Fuchter, Willem Anton Hendrik	5	5/4/2018	16	0.3	200,000	50,000
Absolute Software Corporation	Common Shares	Grace, Mark	5	5/8/2018	99		8,400	-64
Absolute Software Corporation	Common Shares ESOP shares	Grace, Mark	5	5/8/2018	99		0	-4,406
Accord Financial Corp.	Common Shares	Adair, Stuart Adair	5	5/7/2018	10	9	1,200	1,200
Accord Financial Corp.	Common Shares	Adair, Stuart Adair	5	5/11/2018	10	9.07	2,000	800
Accord Financial Corp.	Common Shares	Hitzig, Simon Jonathan	4	5/4/2018	10	9.05	185,500	600
Accord Financial Corp.	Common Shares	Hitzig, Simon Jonathan	4	5/4/2018	10	9.04	185,600	100
Accord Financial Corp.	Common Shares	Hitzig, Simon Jonathan	4	5/4/2018	10	9.1	186,000	400
Accord Financial Corp.	Common Shares	Hitzig, Simon Jonathan	4	5/7/2018	10	9	190,000	4,000
AcuityAds Holdings Inc.	Rights DSU	Dent, Roger	4	5/8/2018	46		38,675	20,500
Advantage Oil & Gas Ltd.	Rights Performance Share Units	Blackwood, Donald Craig	5	5/8/2018	56		437,569	208,877
Advantage Oil & Gas Ltd.	Rights Performance Share Units	Bokenfohr, Neil	5	5/8/2018	56		513,654	261,097
Advantage Oil & Gas Ltd.	Rights Performance Share Units	Mah, Andy	5	5/8/2018	56		876,066	469,974
AEterna Zentaris Inc.	Deferred Share Units	Cardiff, Michael	4	1/29/2016	00			
AEterna Zentaris Inc.	Deferred Share Units	Cardiff, Michael	4	5/8/2018	56		23,000	23,000
AEterna Zentaris Inc.	Deferred Share Units	Egbert, Carolyn	4	8/14/2012	00			
AEterna Zentaris Inc.	Deferred Share Units	Egbert, Carolyn	4	5/8/2018	56		23,000	23,000
AEterna Zentaris Inc.	Deferred Share Units	Ernst, Jürgen	4	2/25/2005	00			
AEterna Zentaris Inc.	Deferred Share Units	Ernst, Jürgen	4	5/8/2018	56		23,000	23,000
AEterna Zentaris Inc.	Deferred Share Units	Limoges, Gérard A.	4	6/22/2004	00			
AEterna Zentaris Inc.	Deferred Share Units	Limoges, Gérard A.	4	5/8/2018	56		23,000	23,000
AEterna Zentaris Inc.	Deferred Share Units	Norton, Brent Henry Barry	4	5/8/2018	00			
AEterna Zentaris Inc.	Deferred Share Units	Norton, Brent Henry Barry	4	5/8/2018	56		23,000	23,000
AEterna Zentaris Inc.	Deferred Share Units	Pollack, Jonathan	4	5/8/2018	00			
AEterna Zentaris Inc.	Deferred Share Units	Pollack, Jonathan	4	5/8/2018	56		23,000	23,000
AEterna Zentaris Inc.	Deferred Share Units	Smith Hoke, Robin	4	5/8/2018	00			
AEterna Zentaris Inc.	Deferred Share Units	Smith Hoke, Robin	4	5/8/2018	56		23,000	23,000
Aethon Minerals Corporation	Common Shares	Gaumont, André	6	4/26/2018	00		100,000	
Aethon Minerals Corporation	Warrants	Gaumont, André	6	4/26/2018	00		100,000	
Africa Energy Corp.	Common Shares	Maier, Jan Joost	5	5/4/2018	11	0.1242		157,190
Africa Energy Corp.	Common Shares	Maier, Jan Joost	5	5/4/2018	11	0.1242	1,047,440	157,190
AGF Management Limited	Common Shares Deferred Share Units	BUCHAN, JANE MELISSA	4	5/8/2018	56	6.88	21,333	17,442
AGF Management Limited	Common Shares Class B	Squibb, Geoffrey Wayne	4	4/18/2018	10	6.73		236
AGF Management Limited	Common Shares Class B	Squibb, Geoffrey Wayne	4	4/18/2018	10	6.73	22,452	263
Agnico Eagle Mines Limited	Common Shares	Allan, Don	5	5/8/2018	51	28.03	51,209	7,000
Agnico Eagle Mines Limited	Common Shares	Allan, Don	5	5/8/2018	10	55.12	44,209	-7,000
Agnico Eagle Mines Limited	Options	Allan, Don	5	5/8/2018	51	28.03	167,000	-7,000
Agnico Eagle Mines Limited	Common Shares	Gemmell, Robert	4	5/10/2018	10	56.86	3,000	-3,077
Agnico Eagle Mines Limited	Common Shares	Sylvestre, Yvon	5	5/10/2018	10	56.5	1,818	-7,910
Agnico Eagle Mines Limited	Common Shares	Sylvestre, Yvon	5	5/10/2018	51	28.03	6,818	5,000
Agnico Eagle Mines Limited	Common Shares	Sylvestre, Yvon	5	5/10/2018	10	56.75	1,818	-5,000
Agnico Eagle Mines Limited	Common Shares	Sylvestre, Yvon	5	5/10/2018	51	28.03	6,818	5,000
Agnico Eagle Mines Limited	Common Shares	Sylvestre, Yvon	5	5/10/2018	10	57	1,818	-5,000
Agnico Eagle Mines Limited	Options	Sylvestre, Yvon	5	5/10/2018	51	28.03	200,000	-5,000
Agnico Eagle Mines Limited	Options	Sylvestre, Yvon	5	5/10/2018	51	28.03	195,000	-5,000
Aimia Inc.	Common Shares	Mittleman Investment Management, LLC	3	5/4/2018	10	1.571	26,831,262	34,300

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Aimia Inc.	Common Shares	Mittleman Investment Management, LLC	3	5/7/2018	10	1.56	26,863,462	32,200
Aimia Inc.	Common Shares	Mittleman Investment Management, LLC	3	5/7/2018	10	1.557	26,871,762	8,300
Aimia Inc.	Common Shares	Mittleman Investment Management, LLC	3	5/9/2018	97		26,814,262	-57,500
Air Canada	Deferred Share Units	Doer, Gary Albert	4	4/30/2018	00			
Air Canada	Deferred Share Units	Doer, Gary Albert	4	5/8/2018	56	23.71	750	750
Air Canada	Class B Voting Shares	Doyle, Eddy	5	5/2/2018	51	12.64	1,054	515
Air Canada	Class B Voting Shares	Doyle, Eddy	5	5/2/2018	10	24.799	539	-515
Air Canada	Class B Voting Shares	Doyle, Eddy	5	5/2/2018	51	13.69	2,131	1,592
Air Canada	Class B Voting Shares	Doyle, Eddy	5	5/2/2018	10	24.799	539	-1,592
Air Canada	Options (Long-Term Incentive Plan)	Doyle, Eddy	5	5/2/2018	51	12.64		-515
Air Canada	Options (Long-Term Incentive Plan)	Doyle, Eddy	5	5/2/2018	51	12.64		-515
Air Canada	Options (Long-Term Incentive Plan)	Doyle, Eddy	5	5/2/2018	51	12.64	20,420	-515
Air Canada	Options (Long-Term Incentive Plan)	Doyle, Eddy	5	5/2/2018	51	13.69	18,828	-1,592
Akita Drilling Ltd.	Non-Voting Shares	Coleman, Raymond	5	4/27/2018	30	7.3	24,839	359
Akita Drilling Ltd.	Non-Voting Shares	Hensel, Fred	5	4/27/2018	30	7.3	34,996	238
Akita Drilling Ltd.	Non-Voting Shares	Mohan, Harish	4	5/7/2018	30		6,622	70
Akita Drilling Ltd.	Non-Voting Shares	Mohan, Harish	4	5/7/2018	30		6,627	5
Akita Drilling Ltd.	Non-Voting Shares	Reynolds, Darcy	5	4/27/2018	30	7.3	5,899	116
Alamos Gold Inc.	Common Shares Class A	Ellingham, Elaine	4	5/7/2018	00		47,361	
Alamos Gold Inc.	Options	Ellingham, Elaine	4	5/7/2018	00		213,111	
Alaska Hydro Corporation	Common Shares	Grandison, Clifford Allan	4, 5	5/3/2018	10	0.0575	5,252,030	2,000
Alcanna Inc. (formerly Liquor Stores N.A. Ltd.)	Common Shares	Aurora Cannabis Inc	3	5/9/2018	16	15	9,200,000	2,300,000
Alexco Resource Corp.	Common Shares	Van Nieuwenhuyse, Rick	4	5/11/2018	57	12500	91,171	12,500
Alexco Resource Corp.	Common Shares	Van Nieuwenhuyse, Rick	4	5/11/2018	10	1.911	78,671	-12,500
Alexco Resource Corp.	Warrants	Van Nieuwenhuyse, Rick	4	5/11/2018	57	1.75	0	-12,500
Algoma Central Corporation	Common Shares	Algoma Central Corporation	1	4/30/2018	38	14.8	18,300	18,300
Algoma Central Corporation	Common Shares	Algoma Central Corporation	1	4/30/2018	38	14.8	0	-18,300
Algoma Central Corporation	Common Shares	Newton, Wesley	5	5/3/2013	00			
Algoma Central Corporation	Common Shares	Newton, Wesley	5	5/7/2018	50		25,000	25,000
Algoma Central Corporation	Common Shares	Ruhl, Gregg	5	10/1/2015	00			
Algoma Central Corporation	Common Shares	Ruhl, Gregg	5	5/7/2018	50		37,500	37,500
Algoma Central Corporation	Common Shares	Soerensen, Ken Bloch	5	4/1/2015	00			
Algoma Central Corporation	Common Shares	Soerensen, Ken Bloch	5	5/7/2018	50		150,000	150,000
Algoma Central Corporation	Common Shares	Winkley, Peter D.	5	5/7/2018	50		47,045	37,500
Algonquin Power & Utilities Corp.	Common Shares	Jarratt, Christopher Kenneth	5	12/31/2017	30		1,616,943	1,481
Algonquin Power & Utilities Corp.	Common Shares	Robertson, Ian Edward	5	12/31/2017	30		1,864,878	3,267
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Brown, Mark Thomas	4	5/4/2018	10	0.12	30,000	-40,000
Alimentation Couche-Tard Inc.	Unité d'action différée	Kau, Mélanie	4	10/19/2017	46	59.78		497
Alimentation Couche-Tard Inc.	Unité d'action différée	Kau, Mélanie	4	10/19/2017	46	59.78	65,546	623
Alliance Growers Corp.	Common Shares	Lambert, Ian Davidson	4	5/9/2018	10	0.25	374,500	-10,000
Alliance Growers Corp.	Common Shares	Lambert, Ian Davidson	4	5/10/2018	10	0.265	364,500	-10,000
Allied Properties Real Estate Investment Trust	Units	Connor, Gerald R.	4	5/8/2018	10	42.76	105,156	-15,310

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Allied Properties Real Estate Investment Trust	Options	Williams, Cecilia Catalina	5	5/7/2018	51	31.56	128,193	-12,404
Allied Properties Real Estate Investment Trust	Units	Williams, Cecilia Catalina	5	5/7/2018	51	31.56	25,057	12,404
Allied Properties Real Estate Investment Trust	Units	Williams, Cecilia Catalina	5	5/7/2018	10	42.902	12,653	-12,404
Almonty Industries Inc.	Common Shares	Almonty Industries Inc.	1	5/9/2018	38	0.4	50,000	50,000
Almonty Industries Inc.	Common Shares	Almonty Industries Inc.	1	5/10/2018	38	0.38	100,000	50,000
Altius Minerals Corporation	Common Shares	Lewis, Benjamin Gerard	5	5/9/2018	10	14.14	45,112	1,500
Altius Minerals Corporation	Common Shares	Lewis, Benjamin Gerard	5	5/10/2018	10	13.98	46,012	900
Altius Minerals Corporation	Common Shares	Wells, Chad	5	5/10/2018	10	14.02	42,400	1,850
Altius Minerals Corporation	Common Shares	Winter, Stephen Lawrence	5	5/10/2018	10	14.15	68,785	400
Altius Minerals Corporation	Common Shares	Winter, Stephen Lawrence	5	5/11/2018	10	14.07	68,815	30
Altius Minerals Corporation	Common Shares	Winter, Stephen Lawrence	5	5/10/2018	10	14.12	8,400	300
Altius Minerals Corporation	Common Shares	Winter, Stephen Lawrence	5	5/10/2018	10	14.14	8,580	180
Altius Minerals Corporation	Common Shares	Winter, Stephen Lawrence	5	5/10/2018	10	14.123	9,455	875
American Hotel Income Properties REIT LP	Units	O'Neill, Robert Francis	4, 5	5/11/2018	10	7.96	221,400	20,000
American Hotel Income Properties REIT LP	Units	O'Neill, Robert Francis	4, 5	5/11/2018	10	8.16		14,000
American Hotel Income Properties REIT LP	Units	O'Neill, Robert Francis	4, 5	5/11/2018	10	8.16	235,400	14,000
American Manganese Inc.	Common Shares	Skoda, Edward Franklin	4	5/9/2018	10	0.17	3,175,000	25,000
Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	5/10/2018	10	1.04	21,879,600	23,200
Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	5/11/2018	10	1.04	21,887,900	8,300
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Caciavillani, Cole	3, 4, 5	5/4/2018	10	10	5,949,806	-27,700
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Caciavillani, Cole	3, 4, 5	5/7/2018	10	10.11	5,879,806	-70,000
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Caciavillani, Cole	3, 4, 5	5/8/2018	10	10.81	5,619,806	-260,000
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Caciavillani, Cole	3, 4, 5	5/10/2018	10	11.68	5,590,306	-29,500
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Waddington, Philip	4	5/7/2018	10	9.85	30,610	-1,397
Arch Biopartners Inc.	Options	Allary, Claude	4	5/8/2018	50	0.78	407,000	100,000
Arch Biopartners Inc.	Options	Bishop, Andrew Trevor	4	5/8/2018	50		1,200,000	100,000
Arch Biopartners Inc.	Options	Bishop, Andrew Trevor	4	5/8/2018	50		1,325,000	125,000
Arch Biopartners Inc.	Options	Gunne, Conor Michael	4	5/8/2018	50	0.78	350,000	100,000
Arch Biopartners Inc.	Options	Haigh, Adrian J.	4	5/8/2018	50	0.78	350,000	100,000
Arch Biopartners Inc.	Options	Muruve, Daniel Abraham	7	5/8/2018	50	0.78	525,000	175,000
Arch Biopartners Inc.	Options	Muruve, Richard Gabriel	3, 4	5/8/2018	50	0.78	1,300,000	100,000
Arch Biopartners Inc.	Options	Muruve, Richard Gabriel	3, 4	5/8/2018	50	0.78	1,500,000	200,000
Arch Biopartners Inc.	Options	Rossman, Richard Lawrence	4	5/8/2018	50	0.78	350,000	100,000
Arch Biopartners Inc.	Options	Vink, Patrick Volkert Jozef Johannes	4	5/8/2018	50	0.78	250,000	100,000
Arctic Hunter Energy Inc.	Common Shares	Coupland, Timothy Andrew	4, 5	5/11/2018	10	0.085	1,034,425	5,000
Arctic Star Exploration Corp.	Common Shares	Eldridge, Scott Richard	4, 5	5/9/2018	90		257,000	-323,000
Arctic Star Exploration Corp.	Common Shares	Eldridge, Scott Richard	4, 5	5/9/2018	11		0	-257,000
Arctic Star Exploration Corp.	Common Shares	Eldridge, Scott Richard	4, 5	5/9/2018	90		673,000	323,000
Argonaut Gold Inc.	Common Shares	Symons, Daniel Arthur	4	5/6/2018	57	2.48	28,425	8,215
Argonaut Gold Inc.	Restricted Shares	Symons, Daniel Arthur	4	5/6/2018	57	2.48	99,978	-8,215
Arizona Silver Exploration Inc.	Common Shares	Stark, Michael	4	5/11/2018	10	0.16	729,700	10,000
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	5/7/2018	10	0.5	15,596,178	10,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Athabasca Oil Corporation	Common Shares	Taylor, Matthew	5	5/11/2018	57	0.1	345,268	56,250
Athabasca Oil Corporation	Common Shares	Taylor, Matthew	5	5/11/2018	30	1.87	314,606	-30,662
Athabasca Oil Corporation	Common Shares	Taylor, Matthew	5	5/11/2018	10	1.88	20,000	5,000
Athabasca Oil Corporation	Restricted Share Units (Old 2010 Plan)	Taylor, Matthew	5	5/11/2018	57	0.1	3,300	-56,250
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	4/23/2018	10	2.2	23,829,620	37,563
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	5/4/2018	10	2.1457	23,868,270	6,700
Aura Minerals Inc.	Common Shares	de Brito, Paulo Carlos	3, 4	5/7/2018	10	2.1765	23,872,570	4,300
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	4/23/2018	10	2.2	23,829,620	37,563
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	5/4/2018	10	2.1457	23,868,270	6,700
Aura Minerals Inc.	Common Shares	Northwestern Enterprises Ltd.	3	5/7/2018	10	2.1765	23,872,570	4,300
Aurania Resources Ltd.	Common Shares	Harper, Gerald	4	5/8/2018	10	2.72	222,450	-2,000
Aurania Resources Ltd.	Common Shares	Harper, Gerald	4	5/9/2018	10	2.78	222,250	-200
Auryn Resources Inc.	Common Shares	Bebek, Ivan	4	5/7/2018	10	1.72	4,715,000	2,500
Auryn Resources Inc.	Common Shares	Bebek, Ivan	4	5/8/2018	10	1.72	4,717,500	2,500
Auryn Resources Inc.	Common Shares	Bebek, Ivan	4	5/9/2018	10	1.7	4,720,000	2,500
Avante Logixx Inc.	Common Shares	Wechsler, Rodney Alan	7	2/26/2018	10	0.35	798,728	-20,000
AXS BLOCKCHAIN SOLUTIONS INC. (Form. Canadian Data Preserve Inc.)	Common Shares	Kwok, David	4, 5	5/7/2018	00			
Azarga Uranium Corp.	Options	Havlin, Joseph	4	5/1/2018	52	1.2	225,000	-29,200
Azarga Uranium Corp.	Options	O'Kane, Matthew James Gerard	6	5/1/2018	52	1.2	248,336	-29,200
Aztec Minerals Corp.	Common Shares	Schilling, James	4	4/25/2017	10	0.16		8,000
Aztec Minerals Corp.	Common Shares	Schilling, James	4	4/25/2018	10	0.16	784,666	8,000
Aztec Minerals Corp.	Common Shares	Schilling, James	4	5/10/2018	10	0.15	804,666	20,000
Aztec Minerals Corp.	Common Shares	Varas, Jorge Patricio	4	5/7/2018	10	0.209	165,833	-17,500
Ballard Power Systems Inc.	Common Shares	Campbell, Robert William	5	5/1/2017	00			
Ballard Power Systems Inc.	Common Shares	Campbell, Robert William	5	5/7/2018	57	3.92	13,525	13,525
Ballard Power Systems Inc.	Units Restricted Share Units	Campbell, Robert William	5	5/7/2018	57	3.92		-26,493
Ballard Power Systems Inc.	Units Restricted Share Units	Campbell, Robert William	5	5/7/2018	57	3.92	103,174	-26,943
Barker Minerals Ltd.	Common Shares	Kristian, Jerry Archie	3, 4	5/7/2018	10	0.01	28,503,621	-250,000
Barrick Gold Corporation	Common Shares	Raw, Catherine Philippa	5	5/7/2018	10	17.367	33,944	10,000
Barrick Gold Corporation	Common Shares	Sipos, Katherine	5	5/4/2018	10	17.34	14,361	3,000
Baylin Technologies Inc.	Deferred Share Units	Day, Stockwell	4	4/30/2018	56		55,630	735
Baylin Technologies Inc.	Deferred Share Units	Jones, Douglas Aubrey	4	4/30/2018	56		47,414	368
Baylin Technologies Inc.	Deferred Share Units	Reiter, Barry	4	4/30/2018	56		78,543	980
Baylin Technologies Inc.	Deferred Share Units	SIMMONDS, DONALD E.	4	4/30/2018	56		55,630	735
Baylin Technologies Inc.	Deferred Share Units	Wolkin, Harold Morton	4	4/30/2018	56		82,081	1,838
Beleave Inc. (formerly Stream Ventures Inc.)	Common Shares	Ferreira, Roger Emmanuel	4	5/1/2018	10	1.28	669,579	-20,000
Beleave Inc. (formerly Stream Ventures Inc.)	Common Shares	Ferreira, Roger Emmanuel	4	5/9/2018	46	1.55	869,579	200,000
Beleave Inc. (formerly Stream Ventures Inc.)	Common Shares	Murphy, Christopher Robin	4	5/2/2018	10	1.25	58,600	-5,000
Beleave Inc. (formerly Stream Ventures Inc.)	Common Shares	Murphy, Christopher Robin	4	5/4/2018	10	1.25	53,600	-5,000
Beleave Inc. (formerly Stream Ventures Inc.)	Common Shares	Murphy, Christopher Robin	4	5/4/2018	10	1.25	48,600	-5,000
Beleave Inc. (formerly Stream Ventures Inc.)	Common Shares	wnek, andrew thomas	4	5/4/2018	10	1.2526	2,990	2,990

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Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Feit, Barbara	5	5/10/2018	56		118,500	12,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Gautreau, Paul Henry	5	5/10/2018	56		88,500	10,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	McNally-Leitch, Kathleen Margaret	4	5/10/2018	56		29,400	3,000
Black Mammoth Metals Corporation	Common Shares	Henderson, Hollie	3	5/2/2018	10	0.11	1,731,500	2,500
Black Mammoth Metals Corporation	Common Shares	Henderson, Hollie	3	5/4/2018	10	0.11	1,732,000	500
Black Mammoth Metals Corporation	Common Shares	Henderson, Hollie	3	5/8/2018	10	0.115	1,737,000	5,000
Black Tusk Resources Inc.	Common Shares	Rubin, Roman Reuven	3, 4, 5	5/9/2018	10	0.2	1,875,501	-15,000
Blackline Safety Corp.	Common Shares	Cook, Brendon John Mckay	5	5/4/2018	51	1.3	543,500	10,000
Blackline Safety Corp.	Options	Cook, Brendon John Mckay	5	5/4/2018	51		130,000	-10,000
Blackline Safety Corp.	Common Shares	Moore, Barry David	5	5/10/2018	51	1.3	211,721	10,000
Blackline Safety Corp.	Options	Moore, Barry David	5	5/10/2018	51		130,000	-10,000
BlackPearl Resources Inc.	Common Shares	Edgar, Brian Douglas	4	5/7/2018	10	1.46	700,800	138,900
BlackPearl Resources Inc.	Common Shares	Edgar, Brian Douglas	4	5/7/2018	10	1.47	811,900	111,100
BlackPearl Resources Inc.	Common Shares	Hruska, Joanne Angela	4	5/3/2018	00		95,600	
BlackPearl Resources Inc.	Options	Hruska, Joanne Angela	4	5/3/2018	00			
BlackPearl Resources Inc.	Rights Restricted Share Units	Hruska, Joanne Angela	4	5/3/2018	00			
BlackPearl Resources Inc.	Rights Restricted Share Units	Hruska, Joanne Angela	4	5/3/2018	56		50,000	50,000
Block One Capital Inc.	Common Shares	Amandeep, Thindal	4	3/1/2018	00		502,100	
Block One Capital Inc.	Options Expiring December 7, 2020	Amandeep, Thindal	4	3/1/2018	00		600,000	
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/2/2018	38	8.75	2,700	2,700
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/2/2018	38	8.75	0	-2,700
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/2/2018	38	8.9	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/2/2018	38	8.9	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/3/2018	38	8.75	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/3/2018	38	8.75	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/4/2018	38	8.73	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/4/2018	38	8.73	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/6/2018	38	8.82	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/6/2018	38	8.82	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/9/2018	38	8.84	1,500	1,500

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Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/9/2018	38	8.84	0	-1,500
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/10/2018	38	8.8	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/10/2018	38	8.8	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/11/2018	38	8.8	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/11/2018	38	8.8	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/12/2018	38	8.84	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/12/2018	38	8.84	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/13/2018	38	8.84	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/13/2018	38	8.84	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/16/2018	38	8.84	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/16/2018	38	8.84	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/17/2018	38	8.89	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/17/2018	38	8.89	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/19/2018	38	9.01	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/19/2018	38	9.01	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/24/2018	38	9.03	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/24/2018	38	9.03	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/27/2018	38	9.07	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	4/27/2018	38	9.07	0	-3,000
Bonavista Energy Corporation	Common Shares	Estep, Rochelle Lee	5	5/3/2018	00		43,304	
Bonavista Energy Corporation	Performance Incentive Awards (PIA)	Estep, Rochelle Lee	5	5/3/2018	00		56,440	
Bonavista Energy Corporation	Performance Incentive Awards (PIA)	Estep, Rochelle Lee	5	5/3/2018	56	1.48	99,440	43,000
Bonavista Energy Corporation	Restricted Share Awards (RSA)	Estep, Rochelle Lee	5	5/3/2018	00			

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Bonavista Energy Corporation	Restricted Share Awards (RSA)	Estep, Rochelle Lee	5	5/3/2018	00		67,440	
Bonavista Energy Corporation	Restricted Share Awards (RSA)	Estep, Rochelle Lee	5	5/3/2018	56	1.48	82,440	15,000
Bonavista Energy Corporation	Common Shares	Kobelka, Dean Mark	5	5/8/2018	57	1.62	294,151	2,882
Bonavista Energy Corporation	Restricted Share Awards (RSA)	Kobelka, Dean Mark	5	5/8/2018	57	1.62	238,384	-5,000
Bonavista Energy Corporation	Performance Incentive Awards (PIA)	Ranger, Colin	5	5/3/2018	56	1.48	278,220	30,000
Bonavista Energy Corporation	Common Shares	Shimek, Scott	5	5/8/2018	57	1.62	119,407	960
Bonavista Energy Corporation	Performance Incentive Awards (PIA)	Shimek, Scott	5	5/3/2018	56	1.48	274,620	30,000
Bonavista Energy Corporation	Restricted Share Awards (RSA)	Shimek, Scott	5	5/8/2018	57	1.62	127,437	-1,665
Boralex Inc.	Common Shares	Croteau, Lise	4	5/9/2018	00			
Boralex Inc.	Convertible Debentures 4.5% (échéance 30 juin 2020)	Croteau, Lise	4	5/9/2018	00			
Boralex Inc.	Options Options d'achat d'actions ordinaires	Croteau, Lise	4	5/9/2018	00			
Boralex Inc.	Common Shares	Deschamps, Ghyslain	4	5/9/2018	00			
Boralex Inc.	Convertible Debentures 4.5% (échéance 30 juin 2020)	Deschamps, Ghyslain	4	5/9/2018	00			
Boralex Inc.	Options Options d'achat d'actions ordinaires	Deschamps, Ghyslain	4	5/9/2018	00			
Boreal Metals Corp.	Common Shares	Varas, Jorge Patricio	4	5/3/2018	10	0.21	3,157,500	-92,500
Braveheart Resources Inc.	Common Shares	Berzins, Ian Martin	4, 5	5/3/2018	00			
Braveheart Resources Inc.	Options	Berzins, Ian Martin	4, 5	5/3/2018	00			
Braveheart Resources Inc.	Warrants	Berzins, Ian Martin	4, 5	5/3/2018	00			
Brigadier Gold Limited	Warrants	Ryder, John Alick	3	4/19/2018	16	15	1,333,370	400,000
Brigadier Gold Limited	Warrants	Ryder, John Alick	3	4/23/2018	16	15		400,000
Brigadier Gold Limited	Common Shares	Ryder, John Alick	4	4/19/2018	16	10	945,000	400,000
Brigadier Gold Limited	Common Shares	Ryder, John Alick	4	4/23/2018	16	10		400,000
Brigadier Gold Limited	Options	Ryder, John Alick	4	5/9/2018	50		200,000	200,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/2/2018	38	18.986	100,000	100,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/3/2018	38	18.716	200,000	100,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/4/2018	38	18.827	300,000	100,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/5/2018	38	19.07	400,000	100,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/6/2018	38	19.012	499,724	99,724
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/9/2018	38	19.074	527,724	28,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/10/2018	38	19.101	528,254	530
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	4/10/2018	38		0	-528,254
Brookfield Property Partners L.P.	Limited Partnership Units	DeNardo, Stephen	4	5/8/2018	10	19.66	20,700	550
Brookfield Property Partners L.P.	Limited Partnership Units	DeNardo, Stephen	4	5/8/2018	10	19.65	12,304	500
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/2/2018	38	49.38	35,100	35,100

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BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/3/2018	38	50.164	75,300	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/4/2018	38	50.023	115,500	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/5/2018	38	50.59	155,700	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/6/2018	38	50.801	195,900	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/9/2018	38	51.252	236,100	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/10/2018	38	51.673	276,300	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/11/2018	38	52.177	316,500	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/12/2018	38	52.37	356,700	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/13/2018	38	52.335	396,900	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/16/2018	38	52.282	437,100	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/17/2018	38	53.801	477,300	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/18/2018	38	53.326	517,500	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/19/2018	38	52.791	557,700	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/20/2018	38	53.292	597,900	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/23/2018	38	53.378	638,100	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/24/2018	38	55.168	678,300	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/25/2018	38	53.67	718,500	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/26/2018	38	53.642	743,000	24,500
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/27/2018	38	53.653	770,900	27,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/30/2018	38	52.99	811,100	40,200
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	4/30/2018	38		0	-811,100
C-COM Satellite Systems Inc.	Common Shares	Awada, Bilal	5	5/4/2018	90	1.1	107,293	-29,000
C-COM Satellite Systems Inc.	Common Shares	Awada, Bilal	5	5/4/2018	90	1.1	340,426	24,000
C-COM Satellite Systems Inc.	Common Shares	Awada, Bilal	5	5/4/2018	90	1.1	60,437	5,000
C-COM Satellite Systems Inc.	Common Shares	Fathi, Eliahu Tzion	4	5/2/2018	10	1.13	218,300	-10,000
C-COM Satellite Systems Inc.	Common Shares	Fathi, Eliahu Tzion	4	5/7/2018	51	0.6	318,300	100,000
C-COM Satellite Systems Inc.	Options	Fathi, Eliahu Tzion	4	5/7/2018	51		350,000	-100,000
C-COM Satellite Systems Inc.	Common Shares	Leslie, Ronald A.	4	5/7/2018	51	0.6	219,599	100,000
C-COM Satellite Systems Inc.	Options	Leslie, Ronald A.	4	5/7/2018	51		472,000	-100,000
Cadillac Ventures Inc.	Common Shares	Brewster, Norman	5	4/23/2018	10	0.04	7,425,720	1,000
CAE Inc.	Common Shares	CAE INC.	1	4/2/2018	38	23.92	7,500	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/3/2018	38	24.37	15,000	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/4/2018	38	24.3	22,500	7,500

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CAE Inc.	Common Shares	CAE INC.	1	4/5/2018	38	24.39	30,000	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/6/2018	38	24.19	37,500	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/9/2018	38	24.1	44,900	7,400
CAE Inc.	Common Shares	CAE INC.	1	4/10/2018	38	23.96	52,400	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/11/2018	38	24.23	59,900	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/12/2018	38	24.5	67,400	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/13/2018	38	24.44	74,900	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/16/2018	38	24.47	82,400	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/17/2018	38	24.69	89,900	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/18/2018	38	24.97	97,400	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/19/2018	38	24.64	104,800	7,400
CAE Inc.	Common Shares	CAE INC.	1	4/20/2018	38	24.68	112,300	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/23/2018	38	24.78	119,800	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/24/2018	38	24.79	127,300	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/25/2018	38	24.47	134,800	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/26/2018	38	24.44	142,100	7,300
CAE Inc.	Common Shares	CAE INC.	1	4/27/2018	38	24.3	149,600	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/30/2018	38	24.38	157,100	7,500
CAE Inc.	Common Shares	CAE INC.	1	4/30/2018	38	24.43	0	-157,100
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner II Inc.	3	1/24/2018	30	9.63		42,877
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner II Inc.	3	1/24/2018	30	9.63	4,170,977	42,887
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner II Inc.	3	2/22/2018	30	8.84	4,218,176	47,199
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner II Inc.	3	3/23/2018	30	7.48	4,274,580	56,404
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner II Inc.	3	4/24/2018	30	4.63	4,366,935	92,355
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner III Inc.	3	1/24/2018	30	9.63	22,259,680	228,881
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner III Inc.	3	2/22/2018	30	8.84	22,511,574	251,894
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner III Inc.	3	3/23/2018	30	7.48	22,812,595	301,021
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner III Inc.	3	4/24/2018	30	4.63	23,305,477	492,882
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner IV Inc.	3	1/24/2018	30	9.63	9,730,627	100,053
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner IV Inc.	3	2/22/2018	30	8.84	9,840,740	110,113
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner IV Inc.	3	3/23/2018	30	7.48	9,972,328	131,588
Callidus Capital Corporation	Common Shares	Catalyst Fund General Partner IV Inc.	3	4/24/2018	30	4.63	10,187,787	215,459
Callidus Capital Corporation	Common Shares	Catalyst Fund II Parallel General Partner Inc.	3	1/24/2018	30	9.63	772,511	7,943
Callidus Capital Corporation	Common Shares	Catalyst Fund II Parallel General Partner Inc.	3	2/22/2018	30	8.84	781,252	8,741
Callidus Capital Corporation	Common Shares	Catalyst Fund II Parallel General Partner Inc.	3	3/23/2018	30	7.48	791,698	10,446
Callidus Capital Corporation	Common Shares	Catalyst Fund II Parallel General Partner Inc.	3	4/24/2018	30	4.63	808,803	17,105
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	1/24/2018	30	9.63	4,170,977	42,887
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	2/22/2018	30	8.84	4,218,176	47,199

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Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	3/23/2018	30	7.48	4,274,580	56,404
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	4/24/2018	30	4.63	4,366,935	92,355
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	1/24/2018	30	9.63	22,259,680	228,881
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	2/22/2018	30	8.84	22,511,574	251,894
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	3/23/2018	30	7.48	22,812,595	301,021
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	4/24/2018	30	4.63	23,305,477	492,882
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	1/24/2018	30	9.63	9,730,627	100,053
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	2/22/2018	30	8.84	9,840,740	110,113
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	3/23/2018	30	7.48	9,972,328	131,588
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	4/24/2018	30	4.63	10,187,787	215,459
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	1/24/2018	30	9.63	772,511	7,943
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	2/22/2018	30	8.84	781,252	8,741
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	3/23/2018	30	7.48	791,698	10,446
Callidus Capital Corporation	Common Shares	The Catalyst Capital Group Inc.	3	4/24/2018	30	4.63	808,803	17,105
Canada Carbon Inc.	Warrants	Duncan, Robert Bruce	4, 5	5/11/2018	53	0.11	2,773,333	1,400,000
Canada Goose Holdings Inc.	Options	Black, John	5	5/4/2018	51	0.019	326,636	-28,458
Canada Goose Holdings Inc.	Subordinate Voting Shares	Black, John	5	5/4/2018	51	0.019	28,458	28,458
Canada Goose Holdings Inc.	Subordinate Voting Shares	Black, John	5	5/4/2018	10	46.843	0	-28,458
Canada Goose Holdings Inc.	Options	Orr, Spencer	5	5/8/2018	51	0.019	237,384	-31,955
Canada Goose Holdings Inc.	Subordinate Voting Shares	Orr, Spencer	5	5/8/2018	51	0.019	31,955	31,955
Canada Goose Holdings Inc.	Subordinate Voting Shares	Orr, Spencer	5	5/8/2018	10	49.111	0	-31,955
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/2/2018	38	8.68	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/2/2018	38	8.68	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/3/2018	38	8.63	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/3/2018	38	8.63	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/4/2018	38	8.58	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/4/2018	38	8.58	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/11/2018	38	8.75	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/11/2018	38	8.75	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/17/2018	38	8.79	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/17/2018	38	8.79	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/19/2018	38	8.85	3,000	3,000

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Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/19/2018	38	8.85	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/24/2018	38	8.85	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	4/24/2018	38	8.85	0	-3,000
Canadian National Railway Company	Common Shares	Finn, Sean	5	5/10/2018	10	102.52	14,597	-5,990
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	4/30/2018	38	41.94	350,000	350,000
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	4/30/2018	38	32.969	700,000	350,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	51	34.96	48,607	10,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	10	46.22	38,607	-10,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	51	35.64	28,607	-10,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	51	35.64	38,607	10,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	10	46.22	28,607	-10,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	51	35.97	38,607	10,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	5/7/2018	10	46.22	28,607	-10,000
Canadian Natural Resources Limited	Options	Stagg, Kendall W.	5	5/7/2018	51	34.96	420,000	-10,000
Canadian Natural Resources Limited	Options	Stagg, Kendall W.	5	5/7/2018	51	35.64	410,000	-10,000
Canadian Natural Resources Limited	Options	Stagg, Kendall W.	5	5/7/2018	51	35.97	400,000	-10,000
Canadian Natural Resources Limited	Common Shares	Verschuren, Annette Marie	4	5/7/2018	10	34.1	11,000	3,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	4/25/2018	10	0.29	1,832,000	2,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	4/26/2018	10	0.32	1,839,000	7,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	4/27/2018	10	0.34	1,840,000	1,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	4/30/2018	10	0.32	1,841,000	1,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	5/2/2018	10	0.3	1,842,000	1,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	5/7/2018	10	0.32	1,843,000	1,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	5/8/2018	10	0.3	1,847,000	4,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	5/9/2018	10	0.31	1,847,500	500
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	5/10/2018	10	0.31	1,848,000	500
Canadian Overseas Petroleum Limited	Warrants	Astor, William Waldorf	4	5/3/2018	55		0	-678,571
Canadian Overseas Petroleum Limited	Warrants	Carello, Massimo	4	5/3/2018	55		0	-1,521,429
Canadian Overseas Petroleum Limited	Warrants	Cowan, John Frederick	4	5/3/2018	55		0	-716,742
Canadian Overseas Petroleum Limited	Warrants	Ludwig, Harald Horst	4	5/3/2018	55		0	-2,785,715
Canadian Overseas Petroleum Limited	Warrants	Mays, Richard Paul	5	7/9/2017	55		200,000	-300,000

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Canadian Overseas Petroleum Limited	Warrants	Mays, Richard Paul	5	5/3/2018	55		0	-200,000
Canadian Overseas Petroleum Limited	Warrants	Millholland, Arthur Sherman	4, 5	5/3/2018	55		0	-3,571,500
Canadian Overseas Petroleum Limited	Warrants	Millholland, Arthur Sherman	4, 5	5/3/2018	55		0	-1,357,340
Canadian Silver Hunter Inc.	Common Shares	Hunter, Jeffrey	4, 5	5/8/2018	10	0.05	4,146,000	50,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/7/2018	38	176.55	10,000	10,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/7/2018	38		0	-10,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/8/2018	38	175.25	10,000	10,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/8/2018	38		0	-10,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/9/2018	38	173.38	10,000	10,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/9/2018	38		0	-10,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/11/2018	38	163.7	40,000	40,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	5/11/2018	38		0	-40,000
Canadian Tire Corporation, Limited	Options	Craven, Deborah Lynne	5	5/3/2017	00			
Canadian Tire Corporation, Limited	Options	Craven, Deborah Lynne	5	5/3/2017	00			
Canadian Tire Corporation, Limited	Options	Craven, Deborah Lynne	5	5/3/2017	00			
Canadian Tire Corporation, Limited	Options	Craven, Deborah Lynne	5	5/3/2017	00			
Canadian Tire Corporation, Limited	Performance Share Units	Craven, Deborah Lynne	5	5/3/2017	00			
Canadian Tire Corporation, Limited	Performance Share Units	Craven, Deborah Lynne	5	5/3/2017	00			
Canadian Tire Corporation, Limited	Performance Share Units	Craven, Deborah Lynne	5	5/3/2017	00			
Candelaria Mining Corp.	Units Restricted Share Units	gomez, sergio manuel	4	3/22/2016	00			
Candelaria Mining Corp.	Units Restricted Share Units	gomez, sergio manuel	4	3/27/2018	56	0.61	50,000	50,000
Candelaria Mining Corp.	Units Restricted Share Units	Montano, Javier	4	9/12/2016	00			
Candelaria Mining Corp.	Units Restricted Share Units	Montano, Javier	4	3/27/2018	56	0.61	5,000	5,000
Candelaria Mining Corp.	Units Restricted Share Units	Perez, Ramon	5	3/17/2016	00			
Candelaria Mining Corp.	Units Restricted Share Units	Perez, Ramon	5	3/27/2018	56	0.61	500,000	500,000
Candelaria Mining Corp.	Units Restricted Share Units	Reyes, Javier	4	3/22/2016	00			
Candelaria Mining Corp.	Units Restricted Share Units	Reyes, Javier	4	3/27/2018	56	0.61	50,000	50,000
Candelaria Mining Corp.	Units Restricted Share Units	WONG, SAM K.	5	9/12/2016	00			
Candelaria Mining Corp.	Units Restricted Share Units	WONG, SAM K.	5	3/27/2018	56	0.61		50,000
Candelaria Mining Corp.	Units Restricted Share Units	WONG, SAM K.	5	3/27/2018	56	0.61	150,000	150,000
Candente Gold Corp.	Options	Barry, Paul H.	4	5/7/2018	50	0.05	750,000	200,000
Candente Gold Corp.	Options	Freeze, Joanne Constance	4, 5	5/7/2018	50	0.05	2,600,000	300,000
Candente Gold Corp.	Options	Peck, Alec	5	4/3/2018	00			

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Candente Gold Corp.	Options	Peck, Alec	5	5/7/2018	50		150,000	150,000
Candente Gold Corp.	Options	Thomas, Kenneth Glyndwr	4	5/7/2018	50	0.05	950,000	200,000
Cannabis Wheaton Income Corp.	Common Shares	Tung, Jeffrey H.	5	5/9/2018	97		8,283,056	3,833,332
Canntab Therapeutics Limited	Options	Inwentash, Sheldon	4	4/19/2018	50		425,000	25,000
Cannvas MedTech Inc.	Common Shares	Beganovic, Miroslav	5	5/4/2018	46	0.25	100,000	100,000
Cannvas MedTech Inc.	Common Shares	Beganovic, Miroslav	5	5/4/2018	16	0.25	200,000	100,000
Cannvas MedTech Inc.	Options	Beganovic, Miroslav	5	5/4/2018	50	0.25	200,000	200,000
Cannvas MedTech Inc.	Warrants	Beganovic, Miroslav	5	5/4/2018	16	0.5	100,000	100,000
Cannvas MedTech Inc.	Options	Contardi, Marco	4	5/4/2018	50	0.25	50,000	50,000
Cannvas MedTech Inc.	Common Shares	Hamelin, Lindsay	4	5/4/2018	16	0.25	138,000	80,000
Cannvas MedTech Inc.	Options	Hamelin, Lindsay	4	4/4/2018	00			
Cannvas MedTech Inc.	Options	Hamelin, Lindsay	4	5/4/2018	50	0.25	25,000	25,000
Cannvas MedTech Inc.	Warrants	Hamelin, Lindsay	4	4/4/2018	00			
Cannvas MedTech Inc.	Warrants	Hamelin, Lindsay	4	5/4/2018	16	0.5	80,000	80,000
Cannvas MedTech Inc.	Common Shares	Loutskou, Steve	4, 5	5/4/2018	16	0.25	2,226,285	240,000
Cannvas MedTech Inc.	Warrants	Loutskou, Steve	4, 5	5/4/2018	16	0.5	240,000	240,000
Cannvas MedTech Inc.	Common Shares	Moniz, Shawn	4, 5	5/4/2018	16	0.25	2,305,286	272,000
Cannvas MedTech Inc.	Warrants	Moniz, Shawn	4, 5	5/4/2018	16	0.5	272,000	272,000
Canopy Growth Corporation	Options	Pryce, Dave	5	5/1/2018	00		180,000	
Canopy Growth Corporation	Options	Wadasinghe, Ru	5	5/1/2018	00		216,667	
Canso Select Opportunities Fund	Units Class A	Canso Select Opportunities Fund	1	5/10/2018	38	10.45	1,500	1,500
Canso Select Opportunities Fund	Units Class A	Canso Select Opportunities Fund	1	5/10/2018	38	10.45	0	-1,500
CanWel Building Materials Group Ltd.	Common Shares	Dushnisky, Kelvin Paul Michael	4	5/8/2018	57			3,726
CanWel Building Materials Group Ltd.	Common Shares	Dushnisky, Kelvin Paul Michael	4	5/8/2018	57			3,726
CanWel Building Materials Group Ltd.	Common Shares	Dushnisky, Kelvin Paul Michael	4	5/8/2018	57	6.71	52,109	3,726
CanWel Building Materials Group Ltd.	Restricted Equity Common Share Units	Dushnisky, Kelvin Paul Michael	4	5/8/2018	56	6.71		3,726
CanWel Building Materials Group Ltd.	Restricted Equity Common Share Units	Dushnisky, Kelvin Paul Michael	4	5/8/2018	56	6.71		3,726
CanWel Building Materials Group Ltd.	Restricted Equity Common Share Units	Dushnisky, Kelvin Paul Michael	4	5/8/2018	57			-3,726
CanWel Building Materials Group Ltd.	Restricted Equity Common Share Units	Dushnisky, Kelvin Paul Michael	4	5/8/2018	57			-3,726
CanWel Building Materials Group Ltd.	Restricted Equity Common Share Units	Dushnisky, Kelvin Paul Michael	4	5/8/2018	56		3,726	3,726
CanWel Building Materials Group Ltd.	Restricted Equity Common Share Units	Dushnisky, Kelvin Paul Michael	4	5/8/2018	57	6.71	0	-3,726
CanWel Building Materials Group Ltd.	Common Shares	Rosenfeld, Harry	6	5/10/2018	10	7.36	32,839	293
CanWel Building Materials Group Ltd.	Common Shares	Rosenfeld, Harry	6	5/10/2018	10	7.34	154,715	2,065
Capital Power Corporation	Common Shares	DeNeve, Bryan	5	5/7/2018	10	25.53	20,379	-120
Capstone Mining Corp.	Common Shares	Grm Investments Ltd.	3	5/11/2018	10	1.156	61,442,661	1,364,700
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	5/7/2018	10		229,000	79,000
Cardero Resource Corp.	Common Shares	Ross, Stuart	5	5/9/2018	10		230,000	1,000
CardioComm Solutions, Inc.	Common Shares	Grima, Etienne Anthony	4	5/8/2018	10	0.05		10,000
CardioComm Solutions, Inc.	Common Shares	Grima, Etienne Anthony	4	5/8/2018	10	0.05	2,905,000	9,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Rampton, Vernon Neil	4, 5	5/8/2018	10	0.07	847,565	-150,000

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Cascades Inc.	Common Shares	Cascades inc.	1	5/11/2018	38	12.39	4,000	4,000
Cascades Inc.	Common Shares	Cascades inc.	1	5/11/2018	38	12.39	0	-4,000
CCL Industries Inc.	Non-Voting Shares Class B	Furtado, Suzana	5	5/8/2018	00		100	
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	51	17.434	148,470	62,500
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.5	148,170	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.505	148,070	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.51	147,970	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.52	147,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.525	147,770	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.53	146,170	-1,600
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.535	145,170	-1,000
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.54	144,270	-900
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.545	143,870	-400
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.55	143,770	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.56	143,670	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.565	142,970	-700
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.57	142,170	-800
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.575	128,070	-14,100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.58	127,770	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.585	127,570	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.59	127,370	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.595	127,070	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.6	126,870	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.61	126,670	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.655	125,670	-1,000
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.66	125,370	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.665	125,270	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.67	124,670	-600
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.675	124,570	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.68	124,370	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.685	124,070	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.69	123,270	-800

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CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.695	122,370	-900
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.7	121,970	-400
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.705	121,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.71	120,270	-1,600
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.715	119,570	-700
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.72	119,170	-400
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.725	117,870	-1,300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.73	116,670	-1,200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.735	115,970	-700
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.745	115,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.75	114,770	-1,100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.755	113,870	-900
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.76	113,170	-700
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.77	112,170	-1,000
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.775	112,070	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.78	111,570	-500
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.785	111,470	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.79	111,270	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.795	111,070	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.8	110,870	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.805	110,470	-400
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.81	108,270	-2,200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.815	107,770	-500
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.82	107,470	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.85	107,270	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.865	106,970	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.875	106,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.88	105,570	-1,300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.885	105,470	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.89	103,470	-2,000
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.895	103,370	-100

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CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.9	103,270	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.905	103,170	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.925	102,970	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.93	102,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.935	102,770	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.94	102,670	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.945	102,570	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.95	102,270	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.955	101,970	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.96	101,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.97	101,770	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.975	101,570	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.98	100,670	-900
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.985	100,370	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.99	99,970	-400
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	60.995	99,870	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61	99,270	-600
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.01	99,070	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.015	98,870	-200
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.02	98,470	-400
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.03	97,670	-800
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.035	97,370	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.04	96,870	-500
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.045	96,570	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.05	86,470	-10,100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.055	86,170	-300
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.06	86,070	-100
CCL Industries Inc.	Non-Voting Shares Class B	Rubino, Sebastian	7	5/9/2018	10	61.07	85,970	-100
CCL Industries Inc.	Options	Rubino, Sebastian	7	5/9/2018	51	17.434	305,000	-62,500
Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	5/10/2018	51		68,404	-2,100
Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	5/10/2018	51		67,804	-600
Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	5/10/2018	51		65,704	-2,100
Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	5/10/2018	51		49,704	-16,000

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Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	5/10/2018	51		49,604	-100
Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	5/10/2018	51		46,623	-2,981
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/9/2018	10	15.25	119,722	-9,500
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/9/2018	10	15.28	97,222	-22,500
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/9/2018	10	15.3	96,542	-680
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	51	8.29	98,642	2,100
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	10	15.4	96,542	-2,100
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	51	8.29	97,142	600
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	10	15.39	96,542	-600
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	51	8.29	98,642	2,100
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	10	15.36	96,542	-2,100
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	51	8.29	112,542	16,000
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	10	15.35	96,542	-16,000
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	51	8.29	96,642	100
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	10	15.37	96,542	-100
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	51	8.29	99,523	2,981
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	5/10/2018	10	15.33	96,542	-2,981
Cenovus Energy Inc.	Options	McKenzie, Jonathan Michael	5	5/1/2018	00		398,089	
Cenovus Energy Inc.	Performance Share Units	McKenzie, Jonathan Michael	5	5/1/2018	00		113,533	
Cenovus Energy Inc.	Restricted Share Units	McKenzie, Jonathan Michael	5	5/1/2018	00		90,826	
Centerra Gold Inc.	Common Shares	Desjardins, Daniel Richard	7	5/7/2018	30	7.31	1,567	1,567
Centerra Gold Inc.	Rights Restricted Share Units	Kubatov, Eduard	4	5/7/2018	59	7.92	0	-3,950
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Bharucha, Yazdi	4	5/9/2018	56		104,999	35,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Dalton, Kevin	4	5/9/2018	56		135,000	35,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Davis, Ingrid	4	5/9/2018	56		354,999	35,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Ganderton, Allan Rikki	4	5/9/2018	56		126,666	35,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Hollinshead, Robert Macphail	4	5/9/2018	56		126,666	35,000
Centric Health Corporation (formerly Alegro Health Corp.)	Common Shares	Murphy, David	5	5/1/2018	00			
Centric Health Corporation (formerly Alegro Health Corp.)	Common Shares	Murphy, David	5	5/8/2018	57		50,000	50,000

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Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Murphy, David	5	5/1/2018	00			
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Murphy, David	5	5/8/2018	56		500,000	500,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Murphy, David	5	5/8/2018	57		450,000	-50,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Shevel, Jack	4, 6, 7	5/9/2018	56		454,999	35,000
Centric Health Corporation (formerly Alegro Health Corp.)	Restricted Stock Units	Youngleson, Darren D.	6, 7	5/9/2018	56		104,999	35,000
Cervus Equipment Corporation	Common Shares Deferred Shares	Bell, Don	4	5/8/2018	56	13.92	18,311	719
Cervus Equipment Corporation	Common Shares Deferred Shares	Benke, Larry Max	4	5/8/2018	56	13.92	14,387	719
Cervus Equipment Corporation	Common Shares	Cervus Equipment Corporation	1	5/4/2018	38		0	-50,580
Cervus Equipment Corporation	Common Shares Deferred Shares	Collicutt, Steven Myles	4	5/8/2018	56	13.92	19,267	719
Cervus Equipment Corporation	Common Shares Deferred Shares	Drake, Graham	4	4/16/2018	30	14.4	43,297	317
Cervus Equipment Corporation	Common Shares Deferred Shares	Lacey, Peter Alan	3, 4, 5	5/8/2018	56	13.92	40,514	1,078
Cervus Equipment Corporation	Common Shares Deferred Shares	Lekatsas, Angela S.	4	5/8/2018	56	13.92	11,146	719
CGI Group Inc.	Options	Evans, Richard B.	4	5/9/2018	51	12.54	54,179	-2,500
CGI Group Inc.	Options	Evans, Richard B.	4	5/10/2018	51	13.26	54,135	-44
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	9/29/2009	00			
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/9/2018	51	12.54	2,500	2,500
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/9/2018	10	77.53	1,400	-1,100
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/9/2018	10	77.533	1,100	-300
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/9/2018	10	77.527	100	-1,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/9/2018	10	77.54	0	-100
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/10/2018	51	13.26	44	44
CGI Group Inc.	Subordinate Voting Shares Classe A	Evans, Richard B.	4	5/10/2018	10	77.51	0	-44
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	5/8/2018	38	75.06	3,634,729	3,634,729
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	5/10/2018	38		0	-3,634,729
CGI Group Inc.	Subordinate Voting Shares Classe A	Pedersen, Mike	4	8/1/2017	00			
CGI Group Inc.	Subordinate Voting Shares Classe A	Pedersen, Mike	4	5/9/2018	10	77.315	7,200	7,200
CGI Group Inc.	Subordinate Voting Shares Classe A	Pedersen, Mike	4	5/9/2018	10	77.33	7,900	700
CGI Group Inc.	Subordinate Voting Shares Classe A	Pedersen, Mike	4	5/9/2018	10	77.34	13,000	5,100
Chesswood Group Limited	Common Shares	Comrev Investments Limited	3	5/11/2018	10	10.3	1,862,985	17,800
Chesswood Group Limited	Common Shares	Comrev Investments Limited	3	5/11/2018	10	10.29	1,863,285	300

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Chesswood Group Limited	Common Shares	Sonshine, Edward	3	5/11/2018	10	10.3	1,862,985	17,800
Chesswood Group Limited	Common Shares	Sonshine, Edward	3	5/11/2018	10	10.29	1,863,285	300
Choice Properties Real Estate Investment Trust	Trust Units	Kinsley, Karen	4	5/4/2018	00			
Choice Properties Real Estate Investment Trust	Trust Units	Kinsley, Karen	4	5/8/2018	10	11.892	2,000	2,000
Choice Properties Real Estate Investment Trust	Class B Units of CP LP exchangeable 1 for 1 for Trust Units	Loblaw Companies Limited	3	7/4/2013	00			
Choice Properties Real Estate Investment Trust	Class B Units of CP LP exchangeable 1 for 1 for Trust Units	Loblaw Companies Limited	3	5/4/2018	36		70,881,226	70,881,226
ChroMedX Corp.	Common Shares	Invidx Corp	3	5/11/2018	10		19,659,052	-245,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/2/2018	38	27.335	56,000	56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/2/2018	38		0	-56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/3/2018	38	27.41	56,000	56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/3/2018	38		0	-56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/4/2018	38	27.026	55,200	55,200
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/4/2018	38		0	-55,200
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/5/2018	38	27.107	53,800	53,800
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/5/2018	38		0	-53,800
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/6/2018	38	27.126	55,400	55,400
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/6/2018	38		0	-55,400
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/9/2018	38	27.216	55,100	55,100
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/9/2018	38		0	-55,100
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/10/2018	38	27.379	55,300	55,300
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/10/2018	38		0	-55,300
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/11/2018	38	27.157	55,600	55,600
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/11/2018	38		0	-55,600
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/12/2018	38	27.069	50,700	50,700
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/12/2018	38		0	-50,700
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/13/2018	38	26.907	191,585	191,585
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/13/2018	38		0	-191,585
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/16/2018	38	26.731	53,900	53,900
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/16/2018	38		0	-53,900
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/17/2018	38	26.939	56,000	56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/17/2018	38		0	-56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/18/2018	38	27.019	42,400	42,400
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/18/2018	38		0	-42,400
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/19/2018	38	26.906	51,600	51,600
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/19/2018	38		0	-51,600
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/20/2018	38	26.811	340,200	340,200
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/20/2018	38		0	-340,200
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/23/2018	38	26.933	56,000	56,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	4/23/2018	38		0	-56,000
Cineplex Inc.	Common Shares	Banks, Jordan	4	5/14/2013	00			
Cineplex Inc.	Common Shares	Banks, Jordan	4	5/10/2018	10	27.97	9,000	9,000
Cineplex Inc.	Common Shares	McGrath, Daniel F.	5	5/4/2018	10	28.38	66,652	10,000
Clarocity Corporation (formerly, Zaio Corporation)	Warrants	StableView Asset Management	3	5/9/2018	16		40,840,862	1,402,500
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2003	10	12.59	13,372	13,372
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2004	10	8.99		1,161

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Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2004	10	10.69	14,533	1,161
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2005	10	7.16	15,746	1,213
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/29/2006	10	13.16		635
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/29/2006	10	5.09	16,381	635
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2007	10	4.05		1,761
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2007	10	4.81	18,142	1,761
Clearwater Seafoods Incorporated	Common Shares	MacDonald, Michael Charles	4	12/31/2008	10	4.59	18,306	164
Cobalt Blockchain Inc.	Common Shares	Hooper, Peter	4	5/4/2018	51	0.05	2,350,000	1,350,000
Cobalt Blockchain Inc.	Options	Hooper, Peter	4	5/4/2018	51		2,995,307	-2,000,000
Colabor Group Inc.	Common Shares	Ettegui, Lionel	5	5/9/2018	10	0.51	406,500	56,500
Colabor Group Inc.	Common Shares	Ettegui, Lionel	5	5/10/2018	10	0.51	506,500	100,000
Colabor Group Inc.	Common Shares	Johnston, Robert Bruce	4	5/8/2018	10	0.51	60,000	10,000
Colabor Group Inc.	Convertible Debentures 6.00% échéance le 13 octobre 2021	Johnston, Robert Bruce	4	5/8/2018	10	71.75	\$245,000	\$25,000
Colabor Group Inc.	Common Shares	The Article 6 Marital Trust created under the First Amended and Restated Jerry Zucker Revocable Trust dated 4-2-07	3	5/8/2018	10	0.51	10,787,652	99,500
Colibri Resource Corporation	Options	Doucet, Roger	4	4/16/2018	50		700,000	300,000
Colibri Resource Corporation	Options	Goguen, Ronald J.	4	4/16/2018	50		700,000	300,000
Colibri Resource Corporation	Options	MONETTE, JACQUES F.	4	4/16/2018	50		350,000	300,000
Condor Petroleum Inc.	Common Shares	Disbrow, Robert	3	5/7/2018	10	0.57	2,756,833	3,500
Condor Petroleum Inc.	Common Shares	Disbrow, Robert	3	5/8/2018	10	0.57	2,758,333	1,500
Condor Petroleum Inc.	Common Shares	Disbrow, Robert	3	5/10/2018	10	0.5713	2,761,000	2,667
Constantine Metal Resources Ltd.	Common Shares	Tognetti, John	3	5/8/2018	10	0.15	17,266,500	180,000
Constellation Software Inc.	Common Shares	Baksh, Jamal Nizam	5	5/9/2018	10	960.92	1,202	-425
Continental Gold Inc.	Options	Franco, Carlos Horacio	5	5/1/2018	00		50,000	
Continental Gold Inc.	Options	Franco, Carlos Horacio	5	5/3/2018	50	3.58	100,000	50,000
Continental Gold Inc.	Options	MENESES, LUIS GERMAN	5	5/1/2018	00			
Continental Gold Inc.	Options	MENESES, LUIS GERMAN	5	5/3/2018	50	3.58	300,000	300,000
Copper Mountain Mining Corporation	Common Shares	Cowden, Alistair	4	4/18/2018	90		0	-666,976
Copper Mountain Mining Corporation	Common Shares	Cowden, Alistair	4	4/18/2018	90		934,826	666,976
Copperbank Resources Corp.	Common Shares	Bonifacio, Giulio	4, 5	5/10/2018	00		6,070,000	
Copperbank Resources Corp.	Options	Bonifacio, Giulio	4, 5	5/10/2018	00		4,000,000	
Copperbank Resources Corp.	Options	Cunningham, Kenneth	4	5/10/2018	50	0.1	1,800,000	700,000
Copperbank Resources Corp.	Options	DEJOU, Brigitte	4	2/24/2017	00		600,000	
Copperbank Resources Corp.	Options	DEJOU, Brigitte	4	5/10/2018	50	0.1	1,200,000	600,000
Copperbank Resources Corp.	Options 2017 - 600000	DEJOU, Brigitte	4	2/24/2017	00			
Copperbank Resources Corp.	Options 2017 - 600000	DEJOU, Brigitte	4	2/24/2017	00			
Copperbank Resources Corp.	Options 600000	DEJOU, Brigitte	4	2/24/2017	00			

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Copperbank Resources Corp.	Options 600000	DEJOU, Brigitte	4	2/24/2017	00			
Copperbank Resources Corp.	Options	Dirom, Gavin	4	5/10/2018	50	0.1	1,200,000	600,000
Copperbank Resources Corp.	Options	Elsdon, Sheryl	5	5/10/2018	50	0.1	250,000	50,000
Copperbank Resources Corp.	Options	Kovacevic, John Gianni	4, 5	5/10/2018	50	0.1	4,000,000	950,000
Copperbank Resources Corp.	Options	Ricci, Antonio	4, 5	5/10/2018	50	0.1	2,450,000	1,000,000
Core Gold Inc. (formerly Dynasty Metals & Mining Inc.)	Common Shares	Bailey, Mark	4	5/4/2018	16	0.3	389,312	253,712
Core Gold Inc. (formerly Dynasty Metals & Mining Inc.)	Warrants	Bailey, Mark	4	9/24/2003	00		126,956	
Coro Mining Corp.	Options	Bias, Nicholas	5	4/20/2018	00		2,400,000	
Coro Mining Corp.	Options	Decher, Petra	4	5/5/2018	50	0.09	500,000	500,000
Coro Mining Corp.	Options	Decher, Petra	4	5/7/2018	00			
Coro Mining Corp.	Options	Véliz, Armando Carlos	5	5/1/2018	00		2,000,000	
Cott Corporation	Common Shares	Gibbons, David	4	5/4/2018	30	15.42	150,568	7,717
Cott Corporation	Common Shares	Halperin, Stephen	4	5/4/2018	30	15.42	134,131	7,717
Cott Corporation	Common Shares	Hess, Betty Jane	4	5/4/2018	30	15.42	89,460	7,717
Cott Corporation	Common Shares	Keller, Jr., Kenneth Casey	4	10/6/2017	00			
Cott Corporation	Common Shares	Keller, Jr., Kenneth Casey	4	5/4/2018	30	15.42	7,717	7,717
Cott Corporation	Common Shares	Monahan, Gregory Rush	4	5/4/2018	30	15.42	110,383	7,717
Cott Corporation	Common Shares	Pilozzi, Mario	4	5/4/2018	30	15.42	141,210	7,717
Cott Corporation	Common Shares	Rosenfeld, Eric Stuart	4	5/4/2018	30	15.42	407,122	7,717
Cott Corporation	Common Shares	Savage, Graham William	4	5/4/2018	30	15.42	52,995	7,717
CounterPath Corporation	Common Shares	Carothers, Todd	5	5/4/2018	30	2.8199	11,185	62
CounterPath Corporation	Common Shares	Carothers, Todd	5	5/4/2018	30	2.5725	11,219	34
CounterPath Corporation	Common Shares	Jones, Donovan	4, 5	5/4/2018	30	3.4396	87,227	88
CounterPath Corporation	Common Shares	Jones, Donovan	4, 5	5/4/2018	30	3.3057	87,273	46
CounterPath Corporation	Common Shares	Karp, David Lawrence	5	5/4/2018	30	3.4396	28,466	88
CounterPath Corporation	Common Shares	Karp, David Lawrence	5	5/4/2018	30	3.3057	28,512	46
Crescita Therapeutics Inc.	Options	Copeland, David A.	4	5/6/2018	52		34,653	-2,688
Crescita Therapeutics Inc.	Options	Dobranowski, Anthony Edward	4	5/9/2018	52		34,653	-2,688
Crew Energy Inc.	Restricted Awards	Errico, Jeffery Ernest	4	4/15/2014	57	2.356		-1,208
Crew Energy Inc.	Restricted Awards	Errico, Jeffery Ernest	4	4/15/2018	57	2.356	63,825	-1,208
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/2/2018	38	3.21	1,565,100	18,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/3/2018	38	3.25	1,581,300	16,200
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/4/2018	38	3.16	1,602,200	20,900
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/5/2018	38	3.21	1,607,200	5,000
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/9/2018	38	3.53	1,615,100	7,900
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/10/2018	38	3.51	1,623,400	8,300
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/11/2018	38	3.47	1,631,300	7,900
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/12/2018	38	3.45	1,639,300	8,000
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/13/2018	38	3.42	1,647,200	7,900
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/16/2018	38	3.53	1,655,200	8,000
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/17/2018	38	3.5	1,662,800	7,600
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/18/2018	38	3.53	1,670,400	7,600
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/19/2018	38	3.54	1,678,000	7,600
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/20/2018	38	3.45	1,685,500	7,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/23/2018	38	3.53	1,693,100	7,600

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CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/24/2018	38	3.63	1,702,300	9,200
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/25/2018	38	3.59	1,709,800	7,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/26/2018	38	3.59	1,717,300	7,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/27/2018	38	3.62	1,724,300	7,000
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	4/30/2018	38	3.62	1,732,600	8,300
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Abdiye, Abbey	5	5/2/2018	11		239,250	139,250
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Abdiye, Abbey	5	11/15/2016	00			
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Abdiye, Abbey	5	5/2/2018	11		69,625	69,625
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Horsley, Nigel Alexander	4	1/27/2017	00			
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Horsley, Nigel Alexander	4	5/2/2018	30	0.4	455,000	455,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Options	Horsley, Nigel Alexander	4	1/27/2017	00			
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Options	Horsley, Nigel Alexander	4	3/14/2018	50	0.5	100,000	100,000
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Horsley, Nigel Alexander	4	1/27/2017	00			
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Horsley, Nigel Alexander	4	5/2/2018	30	0.55	227,500	227,500
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Jensen, Twila Crystal	4	5/2/2018	00		50,000	
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Jensen, Twila Crystal	4	5/2/2018	00		25,000	
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Common Shares	Mah, Christine	4	11/15/2016	00		50,000	
CROP INFRASTRUCTURE CORP.(formerly Fortify Resources Inc.)	Warrants	Mah, Christine	4	11/15/2016	00		25,000	
Crown Capital Partners Inc.	Common Shares	Fowlie, George Robertson	4	5/10/2018	57		16,342	3,387
Crown Capital Partners Inc.	Rights Restricted Share Units	Fowlie, George Robertson	4	5/10/2018	56		7,288	116
Crown Capital Partners Inc.	Rights Restricted Share Units	Fowlie, George Robertson	4	5/10/2018	57		0	-7,288
CT Real Estate Investment Trust	Units	Christie, James Robert	7	5/8/2018	00		8,500	
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	5/7/2018	10	0.18	566,000	50,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	5/9/2018	10	0.185	606,000	40,000
CYNTAR VENTURES INC.	Common Shares	Carlson, Gerald George	4	2/27/2018	00			
CYNTAR VENTURES INC.	Common Shares	Chew, Harry	4, 5	5/2/2018	00		1,000,000	
CYNTAR VENTURES INC.	Common Shares	Chew, Sonny	4, 5	5/2/2018	00		500,000	
CYNTAR VENTURES INC.	Common Shares	OWEN, TERRANCE G.	4	5/2/2018	00		10,000	
Desert Mountain Energy Corp.	Common Shares	Olian, Irwin Alois	3, 4, 5	5/9/2018	10	0.16	14,846,000	57,500
Desert Mountain Energy Corp.	Common Shares	Olian, Irwin Alois	3, 4, 5	5/9/2018	10	0.145	14,862,000	16,000

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Dream Hard Asset Alternatives Trust	Units	Cooper, Michael	7, 5	5/10/2018	10	6.3198	10,819,247	252,500
Dream Hard Asset Alternatives Trust	Units	Cooper, Michael	7, 5	5/11/2018	10	6.314	10,856,947	37,700
Dream Hard Asset Alternatives Trust	Units	Dream Asset Management Corporation	5	5/10/2018	10	6.3198	2,271,370	252,500
Dream Hard Asset Alternatives Trust	Units	Dream Asset Management Corporation	5	5/11/2018	10	6.314	2,309,070	37,700
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/23/2018	38	1.9	5,000	5,000
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/23/2018	38		0	-5,000
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/24/2018	38	1.88	2,900	2,900
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/24/2018	38	1.89	3,300	400
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/24/2018	38	1.9	5,000	1,700
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/24/2018	38		0	-5,000
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/25/2018	38	1.9	2,100	2,100
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/25/2018	38	1.91	5,000	2,900
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/25/2018	38		0	-5,000
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/26/2018	38	1.92	5,000	5,000
Dynacor Gold Mines Inc.	Common Shares	Mines d'Or Dynacor inc.	1	4/26/2018	38		0	-5,000
Eagle Graphite Incorporated	Common Shares	Akdeniz, Sinan	6	5/4/2018	37		20,500,707	-164,005,663
Eagle Graphite Incorporated	Common Shares	Akdeniz, Sinan	6	5/11/2018	10	0.225	20,520,707	20,000
Eagle Graphite Incorporated	Common Shares	Akdeniz, Sinan	6	5/4/2018	37		142,222	-1,137,778
Eagle Graphite Incorporated	Warrants	Akdeniz, Sinan	6	5/4/2018	37		15,555	-124,445
Eagle Graphite Incorporated	Common Shares	Deith, James Thomas	3, 4, 6, 5	5/4/2018	37		20,500,707	-164,005,663
Eagle Graphite Incorporated	Common Shares	Deith, James Thomas	3, 4, 6, 5	5/11/2018	10	0.225	20,520,707	20,000
Eagle Graphite Incorporated	Common Shares	Deith, James Thomas	3, 4, 6, 5	5/4/2018	37		90,000	-720,000
Eagle Graphite Incorporated	Options	Deith, James Thomas	3, 4, 6, 5	5/4/2018	37		444,444	-3,555,556
Eagle Graphite Incorporated	Warrants	Deith, James Thomas	3, 4, 6, 5	5/11/2018	55	0.075	0	-650,000
Eagle Graphite Incorporated	Warrants	Deith, James Thomas	3, 4, 6, 5	5/4/2018	37		86,666	-693,334
Eagle Graphite Incorporated	Common Shares	Latitude Minerals Inc.	3	5/4/2018	37		20,500,707	-164,005,663
Eagle Graphite Incorporated	Common Shares	Latitude Minerals Inc.	3	5/11/2018	10	0.225	20,520,707	20,000
Eagle Graphite Incorporated	Warrants	Latitude Minerals Inc.	3	1/31/2018	52	0.075		-650,000
Eagle Graphite Incorporated	Warrants	Latitude Minerals Inc.	3	1/31/2018	52	0.075	0	-650,000
Eagle Graphite Incorporated	Common Shares	Logie, Timothy Douglas	6	5/4/2018	37		20,500,707	-164,005,663
Eagle Graphite Incorporated	Common Shares	Logie, Timothy Douglas	6	5/11/2018	10	0.225	20,520,707	20,000
Eagle Graphite Incorporated	Common Shares	Logie, Timothy Douglas	6	5/4/2018	37		186,666	-1,493,334
Eagle Graphite Incorporated	Warrants	Logie, Timothy Douglas	6	5/11/2018	55	0.075	0	-650,000
Eagle Graphite Incorporated	Warrants	Logie, Timothy Douglas	6	5/4/2018	37		93,333	-746,667
Earth Alive Clean Technologies Inc.	Common Shares	Saviuk, Steve	4	4/25/2018	00		538,500	
Earth Alive Clean Technologies Inc.	Common Shares	Saviuk, Steve	4	4/25/2018	00		5,891,834	
Earth Alive Clean Technologies Inc.	Common Shares	Saviuk, Steve	4	4/25/2018	00		25,000	
East Coast Investment Grade Income Fund	Units	Arrow Capital Management Inc.	7	5/8/2018	10	9.8	109,500	-2,900
Eastwood Bio-Medical Canada Inc.	Common Shares	OWEN, TERRANCE G.	4	7/8/2013	00			
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	7/26/2013	00			
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/5/2018	38	9.6	500	500
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/5/2018	38	9.6	0	-500

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Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/6/2018	38	9.55	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/6/2018	38	9.55	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/9/2018	38	9.59	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/9/2018	38	9.59	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/10/2018	38	9.55	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/10/2018	38	9.55	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/13/2018	38	9.59	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/13/2018	38	9.59	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/16/2018	38	9.59	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/16/2018	38	9.59	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/17/2018	38	9.5	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/17/2018	38	9.5	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/19/2018	38	9.61	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/19/2018	38	9.61	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/20/2018	38	9.63	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/20/2018	38	9.63	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/24/2018	38	9.6	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/24/2018	38	9.6	0	-1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/25/2018	38	9.65	400	400
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/25/2018	38	9.65	0	-400
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/30/2018	38	9.66	1,000	1,000
Eclipse Residential Mortgage Investment Corporation	Common Shares	Eclipse Residential Mortgage Investment Corporation	1	4/30/2018	38	9.66	0	-1,000
eCobalt Solutions Inc.	Common Shares	Christie, David William	4	5/9/2018	54	0.4	60,100	16,700

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eCobalt Solutions Inc.	Warrants Private Placement Subscriptions	Christie, David William	4	5/9/2018	54	0.4	5,000	-16,700
Edgewater Wireless Systems Inc.	Common Shares	Harper, Robert	5	5/10/2018	51	0.27	7,500	7,500
Edgewater Wireless Systems Inc.	Options	Harper, Robert	5	5/10/2018	51	0.27	682,500	-7,500
Edgewater Wireless Systems Inc.	Common Shares	Imrie, Brian Crompton	4	5/8/2018	10	0.3128	628,838	25,000
Edgewater Wireless Systems Inc.	Common Shares	Skafel, Andrew	5	5/9/2018	10	0.29	1,287,100	7,100
Eldorado Gold Corporation	Common Shares	Aram, Karen Christine	5	5/8/2018	10	1.29	966	-968
Eloro Resources Ltd.	Common Shares	ESTEPA, JORGE	5	5/3/2018	54	0.3	772,524	21,175
Eloro Resources Ltd.	Warrants	ESTEPA, JORGE	5	5/3/2018	54	0.3	0	-21,175
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	5/3/2018	54	0.3	1,358,000	157,500
Eloro Resources Ltd.	Warrants	LARSEN, THOMAS	4, 5	5/3/2018	54	0.3	0	-157,500
Eloro Resources Ltd.	Common Shares	NAGAMATSU, MILES	5	5/3/2018	54	0.3	667,050	15,000
Eloro Resources Ltd.	Warrants	NAGAMATSU, MILES	5	5/3/2018	54	0.3	0	-15,000
Encana Corporation	Options	Suttles, Douglas James	4, 5	5/7/2018	59	5.56	4,160,986	-40,000
ENCANTO POTASH CORP.	Warrants	Daskos, Stavros	4, 5	5/4/2018	55		2,109,000	-825,000
ENCANTO POTASH CORP.	Warrants	Kanafani, Aref	4	5/4/2018	55		2,366,000	-825,000
Endeavour Silver Corp.	Options	Szotlender, Mario	4	5/3/2018	50		136,500	31,500
Endeavour Silver Corp.	Rights Deferred Share Unit	Szotlender, Mario	4	5/3/2018	56		139,147	16,909
Endeavour Silver Corp.	Options	Walton, Godfrey John	4	5/3/2018	50		1,122,000	174,000
Endeavour Silver Corp.	Rights Performance Share Units	Walton, Godfrey John	4	5/3/2018	56		132,000	92,000
Enerdynamic Hybrid Technologies Corp.	Common Shares	Matthews, Wilmot Leslie	3	5/3/2018	45	0.1	16,915,000	3,000,000
Enerdynamic Hybrid Technologies Corp.	Debentures	Matthews, Wilmot Leslie	3	5/3/2018	45		\$700,000	(\$300,000)
Enerdynamic Hybrid Technologies Corp.	Warrants	Matthews, Wilmot Leslie	3	5/3/2018	45		13,950,000	1,500,000
Energy Fuels Inc.	Rights Restricted Stock Units	Carroll, Paul Aylward	4	1/27/2016	56	2.12		35,777
Energy Fuels Inc.	Rights Restricted Stock Units	Carroll, Paul Aylward	4	1/27/2016	56	2.12		35,777
Energy Fuels Inc.	Rights Restricted Stock Units	Carroll, Paul Aylward	4	1/27/2016	56	2.12	39,727	35,377
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.96	1,197,993	-4,400
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.965	1,195,093	-2,900
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.97	1,192,828	-2,265
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.98	1,192,817	-11
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.96	211,559	-600
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.9601	211,059	-500
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.965	210,959	-100
Energy Fuels Inc.	Common Shares	Eshleman, Benjamin	4	5/10/2018	10	1.97	210,359	-600
Energy Fuels Inc.	Common Shares	Higgs, Dennis	4	5/10/2018	30	2.03	502,869	-2,000
Energy Fuels Inc.	Common Shares	Higgs, Dennis	4	5/10/2018	30	2.02	498,869	-4,000
Energy Fuels Inc.	Common Shares	Higgs, Dennis	4	5/10/2018	30	2.01	496,869	-2,000
Energy Fuels Inc.	Common Shares	Higgs, Dennis	4	5/10/2018	30	2	494,869	-2,000
Enerplus Corporation	Common Shares	Roane, Glen Dawson	4	5/9/2018	10	16.04	0	-25,000
Enterprise Group, Inc.	Common Shares	JAROSZUK, LEONARD	4, 5	5/7/2018	10	0.59	3,999,777	6,000
ENTREC Corporation	Common Shares	Brennan, Joseph Douglas	4	5/10/2018	00		43,000	
ENTREC Corporation	Restricted Share	Brennan, Joseph Douglas	4	5/10/2018	00		83,067	
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	5/9/2018	38	2.58	13,902	4,401
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	5/10/2018	38	2.58	18,303	4,401

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Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	5/11/2018	38	2.58	22,704	4,401
Espial Group Inc.	Common Shares	Espial Group Inc	1	5/3/2018	38		0	-105,400
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/4/2018	38	8.85	1,400	1,400
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/4/2018	38	8.85	0	-1,400
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/6/2018	38	8.9	500	500
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/6/2018	38	8.9	0	-500
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/6/2018	38	8.92	900	900
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/6/2018	38	8.92	0	-900
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/12/2018	38	9.12	3,000	3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/12/2018	38	9.12	0	-3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/16/2018	38	9.11	1,400	1,400
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/16/2018	38	9.11	0	-1,400
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/17/2018	38	9.3	3,000	3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/17/2018	38	9.3	0	-3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/18/2018	38	9.38	3,000	3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/18/2018	38	9.38	0	-3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/23/2018	38	9.45	100	100
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/23/2018	38	9.45	0	-100
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/25/2018	38	9.46	3,000	3,000
European Dividend Growth Fund	Units	European Dividend Growth Fund	1	4/25/2018	38	9.46	0	-3,000
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	5/4/2018	38	9.4588	261,700	800
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	5/7/2018	38	9.55	262,100	400
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	5/8/2018	38	9.5	263,100	1,000
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	5/9/2018	38	9.4158	265,000	1,900
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	5/10/2018	38	9.3943	268,000	3,000
Evrin Resources Corp.	Common Shares	Harris, Alan Stewart	5	4/30/2018	10	1.13	183,600	-3,200
Evrin Resources Corp.	Common Shares	Harris, Alan Stewart	5	4/30/2018	10	1.16	177,400	-6,200
Excellon Resources Inc.	Common Shares	Sprott, Eric S.	3	5/7/2018	10	1.4	6,841,996	100,000
Exchange Income Corporation	Common Shares	Exchange Income Corporation	1	5/9/2018	30	31.617	36,859	36,859
Exchange Income Corporation	Common Shares	Exchange Income Corporation	1	5/10/2018	30	32.803	62,859	26,000
Exchange Income Corporation	Common Shares	Exchange Income Corporation	1	5/11/2018	30	32.977	69,159	6,300
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.66	4,858,000	900
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.67	4,858,300	300
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.68	4,858,600	300

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Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.69	4,859,300	700
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.71	4,861,300	2,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.72	4,862,800	1,500
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.73	4,864,300	1,500
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.74	4,869,500	5,200
Exco Technologies Limited	Common Shares	Kernaghan, Edward James	3	5/4/2018	10	9.75	5,057,100	187,600
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Subordinate Voting Shares	Lamonde, Germain	3, 4, 5	5/11/2018	47	4.8	2,791,666	-208,334
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	1	3/29/2018	38	648.96	28,389	28,389
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	1	4/23/2018	38	676.22	38,389	10,000
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	1	4/24/2018	38	686.09	48,389	10,000
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	1	4/25/2018	38	680.53	58,389	10,000
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	1	4/26/2018	38	697.08	68,389	10,000
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	1	4/27/2018	38		0	-68,389
Finning International Inc.	Common Shares	Damjanic Yutronic, Pedro Antonio	5	5/11/2018	51	21.83	4,707	1,053
Finning International Inc.	Common Shares	Damjanic Yutronic, Pedro Antonio	5	5/11/2018	10	33.2	3,654	-1,053
Finning International Inc.	Options	Damjanic Yutronic, Pedro Antonio	5	5/11/2018	51		17,657	-2,990
First Majestic Silver Corp.	Common Shares	Wheaton Precious Metals Corp.	3	5/10/2018	00			
First Majestic Silver Corp.	Common Shares	Wheaton Precious Metals Corp.	3	5/10/2018	16	9.0219	20,914,590	20,914,590
First Mexican Gold Corp.	Common Shares	Voisin, James Arthur Robert	4	5/10/2018	10	0.045	5,058,364	-25,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Calder, Brendan	4	5/7/2018	10	90.7	1,520	-4,080
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Calder, Brendan	4	5/10/2018	97		310	-1,210
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	Calder, Brendan	4	5/11/2018	97		0	-310
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	4/30/2018	10	0.155	2,511,831	7,480
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	9/8/2017	00			
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	5/1/2018	10	0.155	10,000	10,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	5/1/2018	10	0.14	24,000	14,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	5/1/2018	10	0.135	34,000	10,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	5/3/2018	10	0.155		10,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	5/3/2018	10	0.14		14,000
Five Star Diamonds Limited	Common Shares	Waraich, Aneel Singh	4	5/11/2018	10	0.13	45,000	11,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/24/2018	38	12.41	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/24/2018	38	12.41	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/25/2018	38	12.39	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/25/2018	38	12.39	0	-3,000

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Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/27/2018	38	12.24	400	400
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/27/2018	38	12.24	0	-400
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/30/2018	38	12.24	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	4/30/2018	38	12.24	0	-3,000
Focus Graphite Inc.	Common Shares	Economo, Gary	4, 5	5/3/2018	10	0.045	3,219,231	1,100,000
Fox River Resources Corporation	Common Shares	Case, Stephen Dunbar	3, 4, 5	5/8/2018	10	0.06	7,638,213	2,000
Fox River Resources Corporation	Common Shares	Case, Stephen Dunbar	3, 4, 5	5/10/2018	10	0.06	7,639,213	1,000
Freegold Ventures Limited	Warrants	Jackson, Alvin William	4	5/4/2018	55	0.15	100,000	-250,000
Freegold Ventures Limited	Warrants	Steblin, Gordon	5	5/4/2018	55	0.15	0	-75,000
Freegold Ventures Limited	Warrants	Walcott, Kristina	4, 5	5/4/2018	55	0.15	159,875	-50,000
Frontenac Mortgage Investment Corporation	Common Shares	Barnes, Robert	4	2/2/2018	15	30		1,494
Frontenac Mortgage Investment Corporation	Common Shares	Barnes, Robert	4	2/2/2018	15	29.84		1,502
Frontenac Mortgage Investment Corporation	Common Shares	Barnes, Robert	4	2/2/2018	15	29.84	50,323	1,502
Frontenac Mortgage Investment Corporation	Common Shares	Cruickshank, Kevin	5	2/2/2018	15	30		183
Frontenac Mortgage Investment Corporation	Common Shares	Cruickshank, Kevin	5	2/2/2018	15	29.84		184
Frontenac Mortgage Investment Corporation	Common Shares	Cruickshank, Kevin	5	2/2/2018	15	29.84	14,605	184
Generic Gold Corp. (formerly, Wamco Technology Group Ltd.)	Common Shares	Malcolm, Kelly James	5	5/9/2018	10	0.23	104,000	6,500
Genesis Metals Corp (formerly Entourage Metals Ltd.)	Common Shares	Sundar, Jeffrey Rohit	4, 5	5/8/2018	10	0.07	706,000	5,000
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/4/2018	51	19	25,727	3,300
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/4/2018	10	41.8	22,427	-3,300
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/7/2018	51	19	25,227	2,800
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/7/2018	10	19		-2,800
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/7/2018	10	19		-2,800
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/7/2018	10	41.75	22,427	-2,800
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/8/2018	51	19	43,327	20,900
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	5/8/2018	10	41.75	22,427	-20,900
Genworth MI Canada Inc.	Options	Levings, Stuart Kendrick	4	5/4/2018	51	19	222,100	-3,300
Genworth MI Canada Inc.	Options	Levings, Stuart Kendrick	4	5/7/2018	51	19	219,300	-2,800
Genworth MI Canada Inc.	Options	Levings, Stuart Kendrick	4	5/8/2018	51	19	198,400	-20,900
Genworth MI Canada Inc.	Common Shares	Macdonell, Winsor James	5	5/9/2018	51	27.12	21,944	7,200
Genworth MI Canada Inc.	Common Shares	Macdonell, Winsor James	5	5/9/2018	10	41.9	14,744	-7,200
Genworth MI Canada Inc.	Options	Macdonell, Winsor James	5	5/9/2018	51		48,600	-7,200
Genworth MI Canada Inc.	Common Shares	Piroli, Robert John	5	5/7/2018	10	41.56	10	-705
Geodrill Limited	Common Shares	Borsk, Gregory	5	5/8/2018	51	0.81	392,500	45,000
Geodrill Limited	Options	Borsk, Gregory	5	5/8/2018	51	0.81	360,000	-45,000
George Weston Limited	Common Shares	Downe, William	7	5/3/2018	00		200	
George Weston Limited	Common Shares	Wasti, Rashid	5	5/9/2018	10	103.7	1,000	150
George Weston Limited	Common Shares	Wasti, Rashid	5	5/9/2018	10	103.47	1,150	150

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GFG Resources Inc.	Common Shares	Awde, Jonathan Charles Timothy	4	4/30/2018	10	0.275	3,739,976	14,500
GFG Resources Inc.	Common Shares	Awde, Jonathan Charles Timothy	4	4/30/2018	10	0.275	3,775,476	35,500
GFG Resources Inc.	Common Shares	Skanderbeg, Brian Neville	5	5/3/2018	10	0.25	2,369,300	35,000
Gibson Energy Inc.	Common Shares	Festival, John Larry	4	5/9/2018	00			
Gibson Energy Inc.	Common Shares	Festival, John Larry	4	5/9/2018	00		36,249	
Gibson Energy Inc.	Common Shares	Festival, John Larry	4	5/9/2018	00		4,996	
GIGA Metals Corporation	Common Shares	Usher-Jones, Brian	3	5/7/2018	10	0.24	260,500	33,000
GIGA Metals Corporation	Common Shares	Usher-Jones, Brian	3	5/7/2018	10	0.24	3,092,395	47,500
GIGA Metals Corporation	Common Shares	Usher-Jones, Brian	3	5/9/2018	10	0.23	3,142,895	50,500
Gildan Activewear Inc.	Common Shares	Bertrand, Maryse	4	5/3/2018	00			
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Bertrand, Maryse	4	5/3/2018	00			
Gildan Activewear Inc.	Common Shares	Caira, Marc	4	5/3/2018	00			
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Caira, Marc	4	5/3/2018	00			
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/2/2018	38	36.997	344,378	114,600
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/3/2018	38	37.004	459,267	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/4/2018	38	37.314	574,156	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/5/2018	38	37.642	689,031	114,875
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/6/2018	38	37.526	803,920	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/9/2018	38	37.197	918,809	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/10/2018	38	37.091	1,033,609	114,800
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/11/2018	38	37.237	1,148,498	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/12/2018	38	37.415	1,263,298	114,800
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/13/2018	38	37.129	1,378,187	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/16/2018	38	37.13	1,492,687	114,500
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/17/2018	38	37.299	1,607,576	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/18/2018	38	37.523	1,721,765	114,189
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/19/2018	38	37.046	1,836,565	114,800
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/20/2018	38	37.245	1,951,454	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/23/2018	38	37.873	2,066,343	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/24/2018	38	37.737	2,181,232	114,889
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/25/2018	38	37.433	2,295,932	114,700
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/26/2018	38	37.546	2,408,832	112,900
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/27/2018	38		112,900	-2,295,932
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/27/2018	38	38.169	191,800	78,900
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/30/2018	38		78,900	-112,900
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	4/30/2018	38	37.74	193,789	114,889
Gildan Activewear Inc.	Common Shares	Herington, Charles	4	5/3/2018	00		5,200	
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Herington, Charles	4	5/3/2018	00			
Gildan Activewear Inc.	Restricted Share Units	Hoffman, Michael	7	5/4/2018	56	30.1	102,200	19,933
Gildan Activewear Inc.	Restricted Share Units	Hoffman, Michael	7	5/4/2018	56	30.1	122,133	19,933
Gildan Activewear Inc.	Common Shares	Leavitt, Craig Ansel	4	5/3/2018	00			
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Leavitt, Craig Ansel	4	5/3/2018	00			
Giyani Metals Corp.	Common Shares	Jones, Michael Shivas	4	4/25/2018	00			
Giyani Metals Corp.	Options	Jones, Michael Shivas	4	4/25/2018	00		350,000	
Giyani Metals Corp.	Warrants	Jones, Michael Shivas	4	4/25/2018	00			
Giyani Metals Corp.	Common Shares	Parnham, Duane	3, 4, 5	5/4/2018	10	0.245	9,490,668	20,500
Giyani Metals Corp.	Common Shares	Parnham, Duane	3, 4, 5	5/11/2018	10	0.26	9,525,668	35,000

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GLG LIFE TECH CORPORATION	Common Shares	Meadows, Brian	5	5/9/2018	51	0.53	339,848	154,000
GLG LIFE TECH CORPORATION	Options	Meadows, Brian	5	5/9/2018	51	0.53	412,832	-154,000
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	5/4/2018	38	9.8293	26,400	1,400
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	5/8/2018	38	9.8351	29,900	3,500
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	5/9/2018	38	9.8175	36,300	6,400
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	5/10/2018	38	9.8429	38,000	1,700
Global Li-Ion Graphite Corp.	Common Shares	Walsh, Jason	4	5/4/2018	10	0.37	1,274,223	14,000
Global Li-Ion Graphite Corp.	Common Shares	Walsh, Jason	4	5/4/2018	10	0.37	1,284,223	10,000
Global Li-Ion Graphite Corp.	Common Shares	Walsh, Jason	4	5/7/2018	10	0.37	1,285,223	1,000
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Brown, David G.	4	5/9/2018	56		78,147	8,169
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Ferguson, David Cadwell	4	5/9/2018	56		31,498	11,828
GMP Capital Inc.	Rights Deferred Share Units (DSU)	SOLMAN, DONALD	4	5/9/2018	56		16,547	3,926
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Wright, Donald Arthur	4	5/9/2018	56		66,826	14,932
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	Mullins, Jason	5	5/10/2018	47	38.9	47,116	-750
Gold Standard Ventures Corp.	Common Shares	Threlkeld, William Earl II	4	5/1/2018	10	2.1	192,200	-52,000
Golden Valley Mines Ltd.	Common Shares	Lee, Jimmy S.H.	3, 4	5/4/2018	10	0.27	30,810,000	29,500
GOLDPLAY EXPLORATION LTD. (Formerly: Soleil Capital Corp.)	Options	Bailey, Blaine	5	5/7/2018	50	0.31	288,945	150,000
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Common Shares	LeBlanc, Guy	4	5/7/2018	10	2.5	60,000	40,000
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Common Shares	Olds, Donald John	4	5/7/2018	10	2.5	50,000	20,000
Gran Tierra Energy Inc.	Options	Mawdsley, Susan	5	5/8/2018	52	6.28	301,682	-19,960
Grande West Transportation Group Inc.	Options	Buckle, Danial	5	5/7/2018	00			
Grande West Transportation Group Inc.	Options	Buckle, Danial	5	5/7/2018	50		250,000	250,000
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/19/2018	38	49.99	100	100
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/19/2018	38		0	-100
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/20/2018	38	49.88	300	300
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/20/2018	38		0	-300
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/24/2018	38	49.914	25,400	25,400
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/24/2018	38		0	-25,400
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/25/2018	38	49.69	25,300	25,300

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Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/25/2018	38		0	-25,300
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/26/2018	38	49.826	14,200	14,200
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/26/2018	38		0	-14,200
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/27/2018	38	49.998	3,600	3,600
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Granite Real Estate Investment Trust	1	4/27/2018	38		0	-3,600
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/19/2018	38	49.99	100	100
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/19/2018	38		0	-100
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/20/2018	38	49.88	300	300
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/20/2018	38		0	-300
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/24/2018	38	49.914	25,400	25,400
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/24/2018	38		0	-25,400
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/25/2018	38	49.69	25,300	25,300
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/25/2018	38		0	-25,300
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/26/2018	38	49.826	14,200	14,200
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/26/2018	38		0	-14,200
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/27/2018	38	49.998	3,600	3,600
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Granite REIT Inc.	1	4/27/2018	38		0	-3,600
Great Panther Silver Limited	Options	Archer, Robert Alexander	4	5/9/2018	51	0.65	2,249,600	600
Great Panther Silver Limited	Options	Archer, Robert Alexander	4	5/9/2018	51	1.62	2,249,000	-600
Great Panther Silver Limited	Options	Archer, Robert Alexander	4	5/10/2018	51	0.65	2,268,400	19,400
Great Panther Silver Limited	Options	Archer, Robert Alexander	4	5/10/2018	51	1.62	2,249,000	-19,400
Great Panther Silver Limited	Options	Archer, Robert Alexander	4	5/11/2018	51	0.65	2,259,000	10,000
Great Panther Silver Limited	Options	Archer, Robert Alexander	4	5/11/2018	51	1.64	2,249,000	-10,000
Grenville Strategic Royalty Corp. (formerly, Troon Ventures Ltd.)	Convertible Debentures	Lobo, Vernon	4	9/29/2016	00			
Grenville Strategic Royalty Corp. (formerly, Troon Ventures Ltd.)	Convertible Debentures	Lobo, Vernon	4	5/8/2018	10	0.8	\$21,000	\$21,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Grenville Strategic Royalty Corp. (formerly, Troon Ventures Ltd.)	Common Shares	Rahill, Donnacha	5	5/9/2018	10	0.08	435,500	5,000
Grenville Strategic Royalty Corp. (formerly, Troon Ventures Ltd.)	Common Shares	Rahill, Donnacha	5	5/10/2018	10	0.08	730,500	295,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	6/25/2015	10	4.03	182,300	5,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/4/2018	51	2.64	519,357	25,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/4/2018	10	5.13	494,357	-25,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/4/2018	51	2.64	519,357	25,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/4/2018	10	5.12	494,357	-25,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/7/2018	51	2.64	504,357	10,000
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/7/2018	10	5.15	501,557	-2,800
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/7/2018	10	5.14	498,257	-3,300
Guyana Goldfields Inc.	Common Shares	Caldwell, Scott Andrew	4	5/7/2018	10	5.13	494,357	-3,900
Guyana Goldfields Inc.	Options	Caldwell, Scott Andrew	4	6/25/2015	10	4.03		5,000
Guyana Goldfields Inc.	Options	Caldwell, Scott Andrew	4	6/25/2015	10	4.03		5,000
Guyana Goldfields Inc.	Options	Caldwell, Scott Andrew	4	5/4/2018	51	2.64	1,325,000	-25,000
Guyana Goldfields Inc.	Options	Caldwell, Scott Andrew	4	5/4/2018	51	2.64	1,300,000	-25,000
Guyana Goldfields Inc.	Options	Caldwell, Scott Andrew	4	5/7/2018	51		1,290,000	-10,000
Guyana Goldfields Inc.	Common Shares	Kei, Wendy Wai Ting	4	5/8/2018	51	3.54	36,133	33,333
Guyana Goldfields Inc.	Common Shares	Kei, Wendy Wai Ting	4	5/8/2018	10	5.29	2,800	-33,333
Guyana Goldfields Inc.	Options	Kei, Wendy Wai Ting	4	5/8/2018	51		86,667	-33,333
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/8/2018	51	2.64	119,125	20,000
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/8/2018	10	5.19	115,425	-3,700
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/8/2018	10	5.18	109,125	-6,300
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/8/2018	10	5.28	106,925	-2,200
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/8/2018	10	5.29	99,125	-7,800
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/9/2018	51	2.64	109,125	10,000
Guyana Goldfields Inc.	Common Shares	Murphy, Paul	5	5/9/2018	10	5.37	99,125	-10,000
Guyana Goldfields Inc.	Options	Murphy, Paul	5	5/8/2018	51		730,000	-20,000
Guyana Goldfields Inc.	Options	Murphy, Paul	5	5/9/2018	51		720,000	-10,000
Happy Creek Minerals Ltd.	Common Shares	blann, David	5	5/9/2018	10	0.16	4,496,501	27,500
Hemisphere Energy Corporation	Common Shares	Borowicz, Frank Stephen	4	5/9/2018	10	0.2545	1,415,500	100,000
HI HO SILVER RESOURCES INC.	Common Shares	Jorgenson, Gary William	4	5/2/2018	46	170000	2,123,000	170,000
HI HO SILVER RESOURCES INC.	Warrants	Jorgenson, Gary William	4	5/2/2018	46		570,000	170,000
HI HO SILVER RESOURCES INC.	Common Shares	Jorgenson, Steve	5	3/28/2018	00			
HI HO SILVER RESOURCES INC.	Common Shares	Jorgenson, Steve	5	5/2/2018	46	0.1	80,000	80,000
HI HO SILVER RESOURCES INC.	Warrants	Jorgenson, Steve	5	3/28/2018	00			
HI HO SILVER RESOURCES INC.	Warrants	Jorgenson, Steve	5	5/2/2018	46		80,000	80,000
HI HO SILVER RESOURCES INC.	Common Shares	jorgenson, william george	4	4/30/2018	11		950,000	-1,500,000
HI HO SILVER RESOURCES INC.	Common Shares	jorgenson, william george	4	5/2/2018	46	0.1	1,150,000	200,000
HI HO SILVER RESOURCES INC.	Warrants	jorgenson, william george	4	5/2/2018	46		1,050,000	200,000
High Liner Foods Incorporated	Rights Deferred Share Units	Bell, Alan	4	5/9/2018	56	11.17	7,258	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Chow, Joan Kai	4	5/9/2018	56	11.17	9,572	5,764

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High Liner Foods Incorporated	Rights Deferred Share Units	Covelluzzi, James G	4	5/9/2018	56	11.17	9,572	5,764
High Liner Foods Incorporated	Rights Deferred Share Units	Demone, Henry	4, 5	5/9/2018	56	11.17	7,258	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Dexter, Robert P.	4	5/9/2018	56	11.17	36,459	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Hennigar, David John	4, 6	5/9/2018	56	11.17	7,258	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Hennigar, Jillian Charlotte	4	5/9/2018	00			
High Liner Foods Incorporated	Rights Deferred Share Units	Hennigar, Jillian Charlotte	4	5/9/2018	56	11.17	4,476	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Jamieson, Shelly L.	4	5/9/2018	56	11.17	7,258	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	MAHODY, Jolene	4	5/9/2018	56	11.17	7,258	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Miller, Ralph Andy	4	5/9/2018	56	11.17	21,452	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	Pace, Robert	4	5/9/2018	56	11.17	8,594	4,476
High Liner Foods Incorporated	Rights Deferred Share Units	van Schaayk, Frank Bernard Harry	4	5/9/2018	56	11.17	7,258	4,476
High Mountain Capital Corporation	Common Shares	BURSTALL, V.E. DALE	3, 4, 5	5/7/2018	00		400,000	
High Mountain Capital Corporation	Common Shares	Kanters, William	3, 4, 5	5/7/2018	00		400,000	
High Mountain Capital Corporation	Common Shares	Ross, John Charles	5	5/7/2018	00		200,000	
High Mountain Capital Corporation	Common Shares	Smith, Harold Richard	3, 4	5/7/2018	00		400,000	
High Mountain Capital Corporation	Common Shares	Winter, Gordon William Stewart	3, 4	5/7/2018	00		400,000	
Hudson's Bay Company	Restricted Share Units	Harlam, Bari	5	4/15/2018	00			
Hudson's Bay Company	Restricted Share Units	Harlam, Bari	5	5/4/2018	56		65,997	65,997
Hunt Mining Corp	Options	Hunt, Timothy	3, 4, 5	5/10/2018	52	1	1,100,000	-10,000
HUSKY ENERGY INC.	Options	Myer, John William George	5	5/8/2018	59	15.67	291,900	-42,420
HUSKY ENERGY INC.	Options	Myer, John William George	5	5/8/2018	59	16.16	269,330	-22,570
HUSKY ENERGY INC.	Common Shares	PEABODY, ROBERT JOHN	4, 5	5/9/2018	10	18.22	120,213	10,000
IAMGOLD Corporation	Common Shares	Banducci, Carol	5	5/10/2018	10	7.9	211,051	-50,000
IAMGOLD Corporation	Common Shares	Little, Benjamin Richard	5	5/10/2018	51	7.87	99,617	61,000
IAMGOLD Corporation	Common Shares	Little, Benjamin Richard	5	5/10/2018	10	7.87	38,617	-61,000
IAMGOLD Corporation	Options	Little, Benjamin Richard	5	5/10/2018	51	7.87	393,621	-61,000
IDM Mining Ltd. (Formerly Revolution Resources Corp.)	Common Shares	McLeod, Robert John	5	5/4/2018	10	0.07	1,443,632	3,000
IDM Mining Ltd. (Formerly Revolution Resources Corp.)	Common Shares	McLeod, Robert John	5	5/7/2018	10	0.07	1,480,632	37,000
Imagination Park Entertainment Inc.	Common Shares	Coffin, Tristram	4	11/9/2017	00			
Imagination Park Entertainment Inc.	Common Shares	Coffin, Tristram	4	5/9/2018	10	0.34	50,000	50,000
Imagination Park Entertainment Inc.	Common Shares	Coffin, Tristram	4	5/9/2018	10	0.33	70,500	20,500
Imagination Park Entertainment Inc.	Common Shares	Coffin, Tristram	4	5/9/2018	10	0.35	144,500	74,000
Imagination Park Entertainment Inc.	Common Shares	Coffin, Tristram	4	5/10/2018	10	0.35	169,500	25,000
IMAX Corporation	Common Shares	IMAX Corporation	1	3/8/2018	30			-181,172
IMAX Corporation	Common Shares	IMAX Corporation	1	3/8/2018	30		388,301	-181,107
IMAX Corporation	Common Shares	IMAX Corporation	1	5/2/2018	38		368,839	88,700

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IMAX Corporation	Common Shares	IMAX Corporation	1	5/3/2018	38		455,839	87,000
IMAX Corporation	Common Shares	IMAX Corporation	1	5/4/2018	38		367,139	-88,700
IMAX Corporation	Common Shares	IMAX Corporation	1	5/4/2018	38		452,982	85,843
IMAX Corporation	Common Shares	IMAX Corporation	1	5/7/2018	38		365,982	-87,000
IMAX Corporation	Common Shares	IMAX Corporation	1	5/7/2018	38		387,333	21,351
IMAX Corporation	Common Shares	IMAX Corporation	1	5/7/2018	38			21,351
IMAX Corporation	Common Shares	IMAX Corporation	1	5/7/2018	38			21,351
IMAX Corporation	Common Shares	IMAX Corporation	1	5/8/2018	38		301,490	-85,843
IMAX Corporation	Common Shares	IMAX Corporation	1	5/8/2018	38		322,290	20,800
IMAX Corporation	Common Shares	IMAX Corporation	1	5/9/2018	38		300,939	-21,351
IMAX Corporation	Common Shares	IMAX Corporation	1	5/9/2018	38		323,200	22,261
IMAX Corporation	Common Shares	IMAX Corporation	1	5/10/2018	38		302,400	-20,800
IMAX Corporation	Common Shares	IMAX Corporation	1	5/11/2018	30		302,023	-377
IMAX Corporation	Common Shares	Vance, Jeff	5	5/8/2018	10	23.857	3,107	-2,500
iMining Blockchain and Cryptocurrency Inc.	Common Shares	Arca, Gary Vittorio	4, 5	5/9/2018	10	0.18	714,533	2,000
iMining Blockchain and Cryptocurrency Inc.	Common Shares	Arca, Gary Vittorio	4, 5	5/9/2018	10	0.17	716,533	2,000
iMining Blockchain and Cryptocurrency Inc.	Common Shares	Arca, Gary Vittorio	4, 5	5/9/2018	10	0.15	718,533	2,000
iMining Blockchain and Cryptocurrency Inc.	Common Shares	Eadie, Robert	4, 5	5/8/2018	10	0.18	2,707,500	1,500
iMining Blockchain and Cryptocurrency Inc.	Common Shares	Eadie, Robert	4, 5	5/8/2018	10	0.17	2,709,500	2,000
iMining Blockchain and Cryptocurrency Inc.	Common Shares	Eadie, Robert	4, 5	5/9/2018	10	0.17	2,710,000	500
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	5/4/2018	38	39.92	571,977,481	-360,467
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	5/7/2018	38	40.29	571,617,014	-360,467
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	5/8/2018	38	40.77	571,256,547	-360,467
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	5/9/2018	38	41	570,896,080	-360,467
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	5/10/2018	38	41.35	570,535,613	-360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/4/2018	38	39.646	157,446	157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/4/2018	38	39.646	0	-157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/4/2018	38	39.92	360,467	360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/4/2018	38	39.92	0	-360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/7/2018	38	40.961	157,446	157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/7/2018	38	40.961	0	-157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/7/2018	38	40.29	360,467	360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/7/2018	38	40.29	0	-360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/8/2018	38	40.269	157,446	157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/8/2018	38	40.269	0	-157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/8/2018	38	40.77	360,467	360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/8/2018	38	40.77	0	-360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/9/2018	38	41.147	157,446	157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/9/2018	38	41.147	0	-157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/9/2018	38	41	360,467	360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/9/2018	38	41	0	-360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/10/2018	38	41.271	157,446	157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/10/2018	38	41.271	0	-157,446
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/10/2018	38	41.35	360,467	360,467
Imperial Oil Limited	Common Shares	Imperial Oil Limited	1	5/10/2018	38	41.35	0	-360,467
Inca One Gold Corp.	Options	Wright, Mark St. John	5	3/14/2018	50	0.075	1,032,000	300,000
Infinite Lithium Corp.	Common Shares	England, Michael Bruno John Franz	4, 5	5/7/2018	10	0.13	1,503,056	25,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Infinite Lithium Corp.	Common Shares	England, Michael Bruno John Franz	4, 5	5/8/2018	10	0.135	1,539,556	36,500
Infinite Lithium Corp.	Common Shares	England, Michael Bruno John Franz	4, 5	5/9/2018	10	0.135	1,553,056	13,500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/2/2018	38	1.4081	48,600	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/3/2018	38	1.3909	58,600	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/16/2018	38	1.37	60,700	2,100
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/17/2018	38	1.3914	70,500	9,800
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/18/2018	38	1.3948	74,900	4,400
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/19/2018	38	1.3806	79,900	5,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/23/2018	38	1.3694	89,900	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/24/2018	38	1.3725	98,400	8,500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/25/2018	38	1.3833	101,100	2,700
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/26/2018	38	1.3902	109,200	8,100
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/27/2018	38	1.3937	119,200	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/30/2018	38	1.4	129,200	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	4/30/2018	38		48,500	-80,700
Intact Financial Corporation	Common Shares	Higgins, Natalie Marie	5	4/30/2018	00		251	
Intact Financial Corporation	Stock Incentives	Higgins, Natalie Marie	5	4/30/2018	00		804	
Intact Financial Corporation	Stock Incentives	Higgins, Natalie Marie	5	4/30/2018	00		346	
Intact Financial Corporation	Common Shares	Leary, Robert	4	5/6/2015	00			
Intact Financial Corporation	Common Shares	Leary, Robert	4	5/11/2018	10	96.23	2,645	2,645
IntelGenx Technologies Corp.	Common Shares	Godin, André	5	5/8/2018	16	0.63	100,380	15,880
IntelGenx Technologies Corp.	Convertible Debentures 6%, due June 1, 2021	Godin, André	5	7/20/2015	00			
IntelGenx Technologies Corp.	Convertible Debentures 6%, due June 1, 2021	Godin, André	5	7/20/2015	00			
IntelGenx Technologies Corp.	Convertible Debentures 6%, due June 1, 2021	Godin, André	5	7/20/2015	00			
IntelGenx Technologies Corp.	Convertible Debentures 6%, due June 1, 2021	Godin, André	5	5/8/2018	16	0.8	\$12,500	\$12,500
IntelGenx Technologies Corp.	Warrants	Godin, André	5	7/20/2015	00			
IntelGenx Technologies Corp.	Warrants	Godin, André	5	5/8/2018	16		15,380	15,380
INTELLIPHARMACEUTICS INTERNATIONAL INC.	Common Shares	Patient, Andrew	5	5/3/2018	10	0.34	30,000	30,000
INTELLIPHARMACEUTICS INTERNATIONAL INC.	Common Shares	Patient, Andrew	5	5/4/2018	10	0.33	60,000	30,000
INTELLIPHARMACEUTICS INTERNATIONAL INC.	Common Shares	Patient, Andrew	5	5/11/2018	10	0.4	72,775	12,775
Inter Pipeline Ltd.	Common Shares	Cella, Peter Louis	4	5/7/2018	00		10,000	
Inter Pipeline Ltd.	Common Shares	Korpach, Arthur Neil	4	5/7/2018	00		13,000	
Interfor Corporation	Rights Performance Share Units	Davies, Duncan Kenneth	4, 5	5/3/2018	56		256,493	5,426
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	Borgese, Christina	4, 5	4/13/2018	00		1,566,667	
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	Burba, John Leslie	4, 5	4/13/2018	00		1,566,667	
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	Privitera, Marc Philip	5	4/13/2018	00		1,566,666	
Intrinsyc Technologies Corporation	Common Shares	Intrinsyc Technologies Corporation	1	5/7/2018	10	1.31	5,000	5,000
Intrinsyc Technologies Corporation	Common Shares	Intrinsyc Technologies Corporation	1	5/7/2018	38		0	-5,000

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Inventronics Limited	Common Shares	MONETTE, SERGE	3	5/9/2018	10	0.1	483,000	1,000
Inventronics Limited	Common Shares	MONETTE, SERGE	3	5/9/2018	10	0.125	488,000	5,000
Ivanhoe Mines Ltd.	Options	Bos, Matthieu	7	5/7/2018	50		562,500	500,000
Jackpotjoy plc	Ordinary Shares	HG Vora Capital Management, LLC	3	5/9/2018	10	8.062	11,325,000	25,000
Jericho Oil Corporation (fka Dakar Resource Corp.)	Common Shares	Tuskey, Gerald	4	5/2/2018	51	0.3	160,000	150,000
Jericho Oil Corporation (fka Dakar Resource Corp.)	Options	Tuskey, Gerald	4	5/2/2018	51	0.3	600,000	-150,000
Kinaxis Inc.	Options	Muelhoefer, Jay Allan	5	5/7/2018	50	81.24	85,000	85,000
Kinross Gold Corporation	Common Shares	Etter, Gregory Van	5	5/8/2018	57	4.78	143,555	140,766
Kinross Gold Corporation	Common Shares	Etter, Gregory Van	5	5/8/2018	10	4.78	108,388	-35,167
Kinross Gold Corporation	Restricted Shares	Etter, Gregory Van	5	5/8/2018	56	2.96	324,898	28,153
Kinross Gold Corporation	Restricted Shares	Etter, Gregory Van	5	5/8/2018	57	4.78	184,132	-140,766
Kinross Gold Corporation	Common Shares	Jardine, Gina Maree	5	5/8/2018	57	5.19	102,112	20,932
Kinross Gold Corporation	Common Shares	Jardine, Gina Maree	5	5/8/2018	10	5.19	102,106	-6
Kinross Gold Corporation	Common Shares	Jardine, Gina Maree	5	5/8/2018	57	5.19	183,949	81,843
Kinross Gold Corporation	Common Shares	Jardine, Gina Maree	5	5/8/2018	10	5.19	183,943	-6
Kinross Gold Corporation	Restricted Shares	Jardine, Gina Maree	5	5/8/2018	56	2.96	628,049	26,866
Kinross Gold Corporation	Restricted Shares	Jardine, Gina Maree	5	5/8/2018	59	5.19	603,936	-24,113
Kinross Gold Corporation	Restricted Shares	Jardine, Gina Maree	5	5/8/2018	57	5.19	583,004	-20,932
Kinross Gold Corporation	Restricted Shares	Jardine, Gina Maree	5	5/8/2018	59	5.19	488,727	-94,277
Kinross Gold Corporation	Restricted Shares	Jardine, Gina Maree	5	5/8/2018	57	5.19	406,884	-81,843
Kinross Gold Corporation	Restricted Shares	Longenecker, Nathan M.	5	5/7/2018	59	5.08	155,445	-30,364
Kinross Gold Corporation	Restricted Shares	van Akkooi, Michiel	5	5/7/2018	59	5.08	151,553	-26,991
Kontrol Energy Corp.	Common Shares	Ghezzi, Paul	6	4/20/2018	10	0.76	11,659,500	2,500
Kontrol Energy Corp.	Common Shares	Ghezzi, Paul	6	5/1/2018	10	0.712	11,664,500	5,000
KWG Resources Inc.	Options	Flett, Douglas Melville	4	5/10/2018	52	0.1	6,785,000	-1,715,000
KWG Resources Inc.	Options	Hodgman, Bruce Ronald	5	5/10/2018	52	0.1	4,950,000	-1,050,000
KWG Resources Inc.	Options	Smeenck, Frank Cornelius	4, 5	5/10/2018	52		18,389,000	-1,111,000
LEAGOLD MINING CORPORATION	Common Shares	Giustra, Frank	4	5/4/2018	10	2.7248	3,466,900	100,000
LED Medical Diagnostics Inc.	Options	Gane, David	5	5/8/2018	50		950,000	80,000
LED Medical Diagnostics Inc.	Options	Leetzow, Joel	4	8/22/2017	00			
LED Medical Diagnostics Inc.	Options	Leetzow, Joel	4	5/8/2018	50	0.42	90,000	90,000
LED Medical Diagnostics Inc.	Options	Roberts, Clayto Lamar	5	5/8/2018	50		940,000	80,000
LED Medical Diagnostics Inc.	Options	Sweeney, Stephen E.	4	8/22/2017	00			
LED Medical Diagnostics Inc.	Options	Sweeney, Stephen E.	4	5/8/2018	50		50,000	50,000
LED Medical Diagnostics Inc.	Options	Topham, James Walter	4	1/2/2018	00			
LED Medical Diagnostics Inc.	Options	Topham, James Walter	4	5/8/2018	50		90,000	90,000
LED Medical Diagnostics Inc.	Options	Yea, Darryl	4	5/8/2018	50	0.42	136,000	40,000
Leviathan Cannabis Group Inc.	Common Shares	Corsini, Raniero	4, 5	5/2/2018	10	0.63	2,508,333	-5,000
Leviathan Cannabis Group Inc.	Common Shares	Corsini, Raniero	4, 5	5/3/2018	10	0.61	2,488,333	-20,000
Leviathan Cannabis Group Inc.	Common Shares	Corsini, Raniero	4, 5	5/4/2018	10	0.63	2,474,333	-14,000
Leviathan Cannabis Group Inc.	Common Shares	Corsini, Raniero	4, 5	5/11/2018	10	0.62	2,454,333	-20,000
Leviathan Cannabis Group Inc.	Common Shares	Corsini, Raniero	4, 5	5/11/2018	10	0.62	2,434,333	-20,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/3/2018	10	0.415	4,020,000	1,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/3/2018	10	0.415	4,024,500	4,500

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Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/4/2018	10	0.415	4,025,500	1,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/7/2018	10	0.415	4,045,500	20,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/7/2018	10	0.41	4,065,500	20,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/7/2018	10	0.41	4,087,500	22,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/8/2018	10	0.41	4,097,500	10,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/9/2018	10	0.405	4,112,500	15,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/9/2018	10	0.41	4,116,500	4,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Everett, Calvin Clovis	5	5/10/2018	10	0.4	150,000	50,000
Loblaw Companies Limited	Common Shares	Downe, William	4	5/3/2018	00		10,000	
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	5/7/2018	10	0.05	1,431,610	50,000
Lorne Park Capital Partners Inc.	Options	Brown, David	4	10/25/2013	00			
Lorne Park Capital Partners Inc.	Options	Brown, David	4	5/7/2018	50		60,000	60,000
Lorne Park Capital Partners Inc.	Options	Clemons, Michael Lutrell	4	5/7/2018	50		135,000	10,000
Lorne Park Capital Partners Inc.	Options	Dingle, Christopher James	4	5/7/2018	50		110,000	60,000
Lorne Park Capital Partners Inc.	Options	Pannella, Carlo	5	5/7/2018	50		200,000	100,000
Lorne Park Capital Partners Inc.	Options	Williams, James Warner	4	5/7/2018	50		60,000	10,000
Lucara Diamond Corp.	Common Shares	Maoketsa, Boitumelo	7	5/3/2018	00			
Lupaka Gold Corp.	Common Shares	Jones, Darryl Fergus Orton	5	5/10/2018	54	0.2	2,759,465	250,000
Lupaka Gold Corp.	Warrants	Jones, Darryl Fergus Orton	5	5/10/2018	54	0.2	917,000	-250,000
Lydian International Limited	Common Shares	Carrelo, Joao	5	5/1/2018	00		10,000	
Madalena Energy Inc.	Common Shares	Maglan Capital LP	3	5/11/2018	10	0.23	79,538,373	200,000
Madison Pacific Properties Inc.	Common Shares Class B Voting	Madison Venture Corporation	3	5/11/2018	10	3.4067	1,309,014	300
Madison Pacific Properties Inc.	Common Shares Class B Voting	Madison Venture Corporation	3	5/11/2018	10	3.41	1,309,114	100
Magna International Inc.	Rights Deferred Share Units	MACLELLAN, ROBERT FRANCIS	4	5/10/2018	00		443	
Magna International Inc.	Common Shares	Magna International Inc.	1	4/2/2018	38	71.093	648,358	87,200
Magna International Inc.	Common Shares	Magna International Inc.	1	4/2/2018	38	54.95	735,758	87,400
Magna International Inc.	Common Shares	Magna International Inc.	1	4/3/2018	38	71.576	822,458	86,700
Magna International Inc.	Common Shares	Magna International Inc.	1	4/3/2018	38	55.908	908,358	85,900
Magna International Inc.	Common Shares	Magna International Inc.	1	4/4/2018	38	72.034	993,758	85,400
Magna International Inc.	Common Shares	Magna International Inc.	1	4/4/2018	38	56.303	1,079,058	85,300
Magna International Inc.	Common Shares	Magna International Inc.	1	4/5/2018	38	75.333	1,160,458	81,400
Magna International Inc.	Common Shares	Magna International Inc.	1	4/5/2018	38	58.972	1,241,958	81,500
Magna International Inc.	Common Shares	Magna International Inc.	1	4/6/2018	38	75.368	1,323,258	81,300
Magna International Inc.	Common Shares	Magna International Inc.	1	4/6/2018	38	59.03	1,404,658	81,400
Magna International Inc.	Common Shares	Magna International Inc.	1	4/9/2018	38	75.16	1,486,258	81,600
Magna International Inc.	Common Shares	Magna International Inc.	1	4/9/2018	38	59.085	1,567,471	81,213
Magna International Inc.	Common Shares	Magna International Inc.	1	4/10/2018	38	75.823	1,647,954	80,483
Magna International Inc.	Common Shares	Magna International Inc.	1	4/10/2018	38	60.134	1,727,846	79,892
Magna International Inc.	Common Shares	Magna International Inc.	1	4/11/2018	38	75.9	1,807,646	79,800
Magna International Inc.	Common Shares	Magna International Inc.	1	4/11/2018	38	60.348	1,887,246	79,600

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Magna International Inc.	Common Shares	Magna International Inc.	1	4/12/2018	38	76.636	1,966,046	78,800
Magna International Inc.	Common Shares	Magna International Inc.	1	4/12/2018	38	60.844	2,043,931	77,885
Magna International Inc.	Common Shares	Magna International Inc.	1	4/13/2018	38	76.378	2,122,931	79,000
Magna International Inc.	Common Shares	Magna International Inc.	1	4/13/2018	38	60.593	2,202,231	79,300
Magna International Inc.	Common Shares	Magna International Inc.	1	4/16/2018	38	76.468	2,281,431	79,200
Magna International Inc.	Common Shares	Magna International Inc.	1	4/16/2018	38	60.813	2,352,431	71,000
Magna International Inc.	Common Shares	Magna International Inc.	1	4/17/2018	38	76.529	2,431,631	79,200
Magna International Inc.	Common Shares	Magna International Inc.	1	4/17/2018	38	60.966	2,509,384	77,753
Magna International Inc.	Common Shares	Magna International Inc.	1	4/18/2018	38	76.385	2,587,684	78,300
Magna International Inc.	Common Shares	Magna International Inc.	1	4/18/2018	38	60.49	2,665,650	77,966
Magna International Inc.	Common Shares	Magna International Inc.	1	4/19/2018	38	74.493	2,747,550	81,900
Magna International Inc.	Common Shares	Magna International Inc.	1	4/19/2018	38	58.942	2,829,550	82,000
Magna International Inc.	Common Shares	Magna International Inc.	1	4/20/2018	38	74.99	2,911,150	81,600
Magna International Inc.	Common Shares	Magna International Inc.	1	4/20/2018	38	58.881	2,993,250	82,100
Magna International Inc.	Common Shares	Magna International Inc.	1	4/23/2018	38	76.448	3,073,950	80,700
Magna International Inc.	Common Shares	Magna International Inc.	1	4/23/2018	38	59.53	3,149,659	75,709
Magna International Inc.	Common Shares	Magna International Inc.	1	4/24/2018	38	76.028	3,230,959	81,300
Magna International Inc.	Common Shares	Magna International Inc.	1	4/24/2018	38	59.272	3,311,898	80,939
Magna International Inc.	Common Shares	Magna International Inc.	1	4/25/2018	38	76.107	3,393,798	81,900
Magna International Inc.	Common Shares	Magna International Inc.	1	4/25/2018	38	59.132	3,462,598	68,800
Magna International Inc.	Common Shares	Magna International Inc.	1	4/26/2018	38	76.249	3,545,298	82,700
Magna International Inc.	Common Shares	Magna International Inc.	1	4/26/2018	38	59.281	3,627,828	82,530
Magna International Inc.	Common Shares	Magna International Inc.	1	4/27/2018	38	76.715	3,684,228	56,400
Magna International Inc.	Common Shares	Magna International Inc.	1	4/27/2018	38	59.492	3,775,528	91,300
Magna International Inc.	Common Shares	Magna International Inc.	1	4/30/2018	38	76.562	3,859,428	83,900
Magna International Inc.	Common Shares	Magna International Inc.	1	4/30/2018	38	59.658	3,943,228	83,800
Magna International Inc.	Common Shares	Magna International Inc.	1	4/30/2018	38		2,220,266	-1,722,962
Magna International Inc.	Common Shares	Magna International Inc.	1	4/30/2018	38		464,583	-1,755,683
Manulife Financial Corporation	Common Shares	Ambrose, Ronalee Hope	4	9/1/2017	00			
Manulife Financial Corporation	Common Shares	Ambrose, Ronalee Hope	4	5/10/2018	10	24.685	1,300	1,300
Manulife Financial Corporation	Common Shares	Ambrose, Ronalee Hope	4	5/10/2018	10	24.69	6,000	4,700
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	12/31/2016	30			1,163
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	12/31/2016	30		35,847	1,198
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	12/31/2017	30			307
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	12/31/2017	30		40,964	303
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	3/31/2018	30		42,359	372
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	12/31/2016	30			5,109
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	12/31/2016	30		146,194	5,166
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	12/31/2017	30			1,188
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	12/31/2017	30		157,699	1,181
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	3/31/2018	30		161,076	1,433
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	12/31/2016	30			18

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	12/31/2016	30		2,310	33
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	12/31/2017	30			29
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	12/31/2017	30		4,117	28
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	3/31/2018	30		4,550	38
Manulife Financial Corporation	Deferred Share Units	DeWolfe, Richard B.	4	12/31/2016	30			4,528
Manulife Financial Corporation	Deferred Share Units	DeWolfe, Richard B.	4	12/31/2016	30		134,846	4,623
Manulife Financial Corporation	Deferred Share Units	DeWolfe, Richard B.	4	12/31/2017	30			1,057
Manulife Financial Corporation	Deferred Share Units	DeWolfe, Richard B.	4	12/31/2017	30		139,352	1,058
Manulife Financial Corporation	Deferred Share Units	DeWolfe, Richard B.	4	3/31/2018	30		140,618	1,266
Manulife Financial Corporation	Common Shares	Framke, Gregory Allen	5	5/7/2018	10	18.845	1,000	1,000
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	12/31/2016	30			1,463
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	12/31/2016	30		44,026	1,498
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	12/31/2017	30			371
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	12/31/2017	30		49,416	367
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	3/31/2018	30		50,888	449
Manulife Financial Corporation	Deferred Share Units	Helms, Luther Sherman	4	12/31/2016	30			2,741
Manulife Financial Corporation	Deferred Share Units	Helms, Luther Sherman	4	12/31/2016	30		80,569	2,790
Manulife Financial Corporation	Deferred Share Units	Helms, Luther Sherman	4	12/31/2017	30			666
Manulife Financial Corporation	Deferred Share Units	Helms, Luther Sherman	4	12/31/2017	30		88,761	660
Manulife Financial Corporation	Deferred Share Units	Helms, Luther Sherman	4	3/31/2018	30		90,925	807
Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-Yan	4	12/31/2016	30			1,869
Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-Yan	4	12/31/2016	30		62,037	1,964
Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-Yan	4	12/31/2017	30			556
Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-Yan	4	12/31/2017	30		75,065	544
Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-Yan	4	3/31/2018	30		78,257	682
Manulife Financial Corporation	Deferred Share Units	Jenkins, P. Thomas	4	12/31/2016	30			509
Manulife Financial Corporation	Deferred Share Units	Jenkins, P. Thomas	4	12/31/2016	30		25,827	608
Manulife Financial Corporation	Deferred Share Units	Jenkins, P. Thomas	4	12/31/2017	30			272
Manulife Financial Corporation	Deferred Share Units	Jenkins, P. Thomas	4	12/31/2017	30		37,754	261
Manulife Financial Corporation	Deferred Share Units	Jenkins, P. Thomas	4	3/31/2018	30		40,717	344
Manulife Financial Corporation	Deferred Share Units	Kimmet, Pamela O'Brien	4	12/31/2016	30			13

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Manulife Financial Corporation	Deferred Share Units	Kimmet, Pamela O'Brien	4	12/31/2016	30		4,278	48
Manulife Financial Corporation	Deferred Share Units	Kimmet, Pamela O'Brien	4	12/31/2017	30			60
Manulife Financial Corporation	Deferred Share Units	Kimmet, Pamela O'Brien	4	12/31/2017	30		8,340	56
Manulife Financial Corporation	Deferred Share Units	Kimmet, Pamela O'Brien	4	3/31/2018	30		9,439	76
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	12/31/2016	30			1,508
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	12/31/2016	30		46,616	1,554
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	12/31/2017	30			399
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	12/31/2017	30		53,388	394
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	3/31/2018	30		55,155	485
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	12/31/2016	30			2,396
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	12/31/2016	30		71,439	2,447
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	12/31/2017	30			586
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	12/31/2017	30		77,745	583
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	3/31/2018	30		79,801	706
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	12/31/2016	30			1,402
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	12/31/2016	30		52,273	1,519
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	12/31/2017	30			474
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	12/31/2017	30		67,647	508
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	3/31/2018	30		68,262	615
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	6/30/2016	46	17.42		3,936
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	6/30/2016	46	17.42	61,696	3,936
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	12/31/2016	30			2,165
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	12/31/2016	30		70,459	2,261
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	12/31/2017	30			620
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	12/31/2017	30		83,552	608
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	3/31/2018	30		84,311	759
Manulife Financial Corporation	Deferred Share Units	Webster, Lesley Daniels	4	12/31/2016	30			1,069
Manulife Financial Corporation	Deferred Share Units	Webster, Lesley Daniels	4	12/31/2016	30		37,438	1,139
Manulife Financial Corporation	Deferred Share Units	Webster, Lesley Daniels	4	12/31/2017	30			320
Manulife Financial Corporation	Deferred Share Units	Webster, Lesley Daniels	4	12/31/2017	30			320
Manulife Financial Corporation	Deferred Share Units	Webster, Lesley Daniels	4	12/31/2017	30		42,608	316

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Manulife Financial Corporation	Deferred Share Units	Webster, Lesley Daniels	4	3/31/2018	30		44,018	387
Maple Leaf Foods Inc.	Common Shares	Brooks, Bentley Andrew	5	5/8/2018	57	29.18	16,716	2,021
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Brooks, Bentley Andrew	5	5/8/2018	57	29.18	6,860	-1,800
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Brooks, Bentley Andrew	5	5/8/2018	57	29.18	10,263	-1,800
Maple Leaf Foods Inc.	Common Shares	Cappuccitti, Rocco	5	5/8/2018	57	29.18	81,751	2,709
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Cappuccitti, Rocco	5	5/8/2018	57	29.18	5,624	-2,410
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Cappuccitti, Rocco	5	5/8/2018	57	29.18	10,225	-2,410
Maple Leaf Foods Inc.	Common Shares	Compton, Christopher Paul	5	5/8/2018	57	29.18	9,033	1,687
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Compton, Christopher Paul	5	5/8/2018	57	29.18	5,040	-1,500
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Compton, Christopher Paul	5	5/8/2018	57	29.18	7,964	-1,500
Maple Leaf Foods Inc.	Common Shares	Elmer, Stephen Lloyd	5	5/8/2018	57	29.18	13,742	3,518
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Elmer, Stephen Lloyd	5	5/8/2018	57	29.18	8,195	-3,130
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Elmer, Stephen Lloyd	5	5/8/2018	57	29.18	9,873	-3,130
Maple Leaf Foods Inc.	Common Shares	Frank, Curtis Eugene	5	5/8/2018	57	29.18	12,050	5,409
Maple Leaf Foods Inc.	Common Shares	Frank, Curtis Eugene	5	5/8/2018	57	29.18	21,892	9,842
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Frank, Curtis Eugene	5	5/8/2018	57	29.18	8,675	-4,810
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Frank, Curtis Eugene	5	5/8/2018	57	29.18	34,109	-4,810
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Frank, Curtis Eugene	5	5/8/2018	57	29.18	12,929	-21,180
Maple Leaf Foods Inc.	Common Shares	Gratton, Glen Lucien	5	5/8/2018	57	29.18	14,532	3,611
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Gratton, Glen Lucien	5	5/8/2018	57	29.18	7,965	-3,010
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Gratton, Glen Lucien	5	5/8/2018	57	29.18	9,695	-3,010
Maple Leaf Foods Inc.	Common Shares	Grogan, Adam John	5	5/8/2018	57	29.18	14,256	4,057
Maple Leaf Foods Inc.	Common Shares	Grogan, Adam John	5	5/8/2018	57	29.18	24,098	9,842
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Grogan, Adam John	5	5/8/2018	57	29.18	8,420	-3,610
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Grogan, Adam John	5	5/8/2018	57	29.18	34,119	-3,610
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Grogan, Adam John	5	5/8/2018	57	29.18	12,939	-21,180
Maple Leaf Foods Inc.	Common Shares	Henry, Ian Vincent	5	5/8/2018	57	29.18	17,355	4,057
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Henry, Ian Vincent	5	5/8/2018	57	29.18	8,420	-3,610

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Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Henry, Ian Vincent	5	5/8/2018	57	29.18	12,253	-3,610
Maple Leaf Foods Inc.	Common Shares	Huffman, Randall	5	5/8/2018	57	29.18	42,596	5,409
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Huffman, Randall	5	5/8/2018	57	29.18	11,245	-4,810
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Huffman, Randall	5	5/8/2018	57	29.18	17,871	-4,810
Maple Leaf Foods Inc.	Common Shares	Kuhn, Lynda J.	5	5/3/2018	51	11.85	105,037	46,500
Maple Leaf Foods Inc.	Common Shares	Kuhn, Lynda J.	5	5/8/2018	57	29.18	109,094	4,057
Maple Leaf Foods Inc.	Options Employee	Kuhn, Lynda J.	5	5/3/2018	51	11.85	156,450	-46,500
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Kuhn, Lynda J.	5	5/8/2018	57	29.18	7,930	-3,610
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Kuhn, Lynda J.	5	5/8/2018	57	29.18	12,147	-3,610
Maple Leaf Foods Inc.	Common Shares	Liris, Andreas	5	5/8/2018	57	29.18	15,175	3,383
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	5/8/2018	57	29.18	3,902	-3,010
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Liris, Andreas	5	5/8/2018	57	29.18	7,624	-3,010
Maple Leaf Foods Inc.	Common Shares	Maksymetz, Gary Louis	5	5/8/2018	57	29.18	128,854	13,523
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Maksymetz, Gary Louis	5	5/8/2018	57	29.18	31,435	-12,030
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Maksymetz, Gary Louis	5	5/8/2018	57	29.18	43,843	-12,030
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/4/2018	38	29.57	52,100	52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/4/2018	38	29.57	0	-52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/7/2018	38	29.078	52,100	52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/7/2018	38	29.078	0	-52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/8/2018	38	29.167	52,100	52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/8/2018	38		0	-52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/9/2018	38	29.189	52,100	52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/9/2018	38		0	-52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/10/2018	38	29.216	52,100	52,100
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	5/10/2018	38		0	-52,100
Maple Leaf Foods Inc.	Common Shares	McAlpine, Rory A.	5	5/8/2018	57	29.18	29,559	2,021
Maple Leaf Foods Inc.	Common Shares	McAlpine, Rory A.	5	5/9/2018	51	22.52	33,759	4,200
Maple Leaf Foods Inc.	Options Employee	McAlpine, Rory A.	5	5/9/2018	51	22.52	33,550	-4,200
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McAlpine, Rory A.	5	5/8/2018	57	29.18	5,045	-1,800
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McAlpine, Rory A.	5	5/8/2018	57	29.18	7,969	-1,800
Maple Leaf Foods Inc.	Common Shares	McCain, Michael Harrison	4, 5	5/8/2018	90		47,112,753	108,860
Maple Leaf Foods Inc.	Common Shares	McCain, Michael Harrison	4, 5	5/8/2018	57	29.18	108,860	108,860
Maple Leaf Foods Inc.	Common Shares	McCain, Michael Harrison	4, 5	5/8/2018	90		0	-108,860
Maple Leaf Foods Inc.	Common Shares	McCain, Michael Harrison	4, 5	5/8/2018	90		108,860	108,860
Maple Leaf Foods Inc.	Common Shares	McCain, Michael Harrison	4, 5	5/8/2018	90		0	-108,860
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McCain, Michael Harrison	4, 5	5/8/2018	57	29.18	121,765	-45,000

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Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McCain, Michael Harrison	4, 5	5/8/2018	57	29.18	144,988	-45,000
Maple Leaf Foods Inc.	Common Shares	McLean, Rene Richard	5	5/8/2018	57	29.18	16,489	5,679
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McLean, Rene Richard	5	5/8/2018	57	29.18	14,055	-5,050
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McLean, Rene Richard	5	5/8/2018	57	29.18	13,087	-5,050
Maple Leaf Foods Inc.	Common Shares	Simpson, Deborah Keenan	5	5/8/2018	57	29.18	96,953	10,140
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Simpson, Deborah Keenan	5	5/8/2018	57	29.18	21,080	-9,020
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Simpson, Deborah Keenan	5	5/8/2018	57	29.18	29,266	-9,020
Maple Leaf Foods Inc.	Common Shares	Stewart, Iain William	5	5/8/2018	57	29.18	10,224	2,709
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Stewart, Iain William	5	5/8/2018	57	29.18	19,150	-2,410
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Stewart, Iain William	5	5/8/2018	57	29.18	22,929	-2,410
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	5/8/2018	57	29.18	178,301	4,731
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Young, Richard	5	5/8/2018	57	29.18	16,764	-4,210
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Young, Richard	5	5/8/2018	57	29.18	17,524	-4,210
Maplewood International Real Estate Investment Trust	Options	Kacira, Orhan	3, 4, 5	5/10/2018	52	0.8	0	-223,125
Maplewood International Real Estate Investment Trust	Warrants	Kacira, Orhan	3, 4, 5	5/11/2018	55	3.2	0	-39,139
Maplewood International Real Estate Investment Trust	Options	Kanji, Nizar Esmail	4	5/10/2018	52	0.8	0	-84,375
Maplewood International Real Estate Investment Trust	Warrants	Kanji, Nizar Esmail	4	5/11/2018	55	3.2	0	-31,250
Maplewood International Real Estate Investment Trust	Options	Nakamoto, Sean	4	5/10/2018	52	0.8	0	-43,750
Maplewood International Real Estate Investment Trust	Warrants	Rivlin, Paul	4	5/11/2018	55	3.2	0	-31,250
Maplewood International Real Estate Investment Trust	Options	Simcox, Paul	4	5/10/2018	52	0.8	0	-56,250
Maplewood International Real Estate Investment Trust	Warrants	Stroink, Rudy	4	5/11/2018	55	3.2	0	-6,250
Maplewood International Real Estate Investment Trust	Options	Tam, Kimberly	5	5/10/2018	52	0.8	0	-68,750
Marapharm Ventures Inc.	Common Shares	Sampson, Linda Joy	4, 5	5/10/2018	97	0.4	800,000	100,000
Marapharm Ventures Inc.	Options	Sampson, Linda Joy	4, 5	5/10/2018	51	0.4	650,000	-100,000
Marathon Gold Corporation	Common Shares	BCI Ventures Inc	3	6/26/2017	54	0.34	15,539,648	700,000
Marathon Gold Corporation	Common Shares	BCI Ventures Inc	3	5/4/2018	54	0.32	18,364,648	725,000
Marathon Gold Corporation	Warrants	BCI Ventures Inc	3	6/26/2017	54	0.34	725,000	-700,000
Marathon Gold Corporation	Warrants	BCI Ventures Inc	3	5/4/2018	54			-725,000
Marathon Gold Corporation	Warrants	BCI Ventures Inc	3	5/4/2018	54			-725,000
Marathon Gold Corporation	Warrants	BCI Ventures Inc	3	5/4/2018	54	0.32	0	-725,000
Martinrea International Inc.	Deferred Share Unit	Balfour, Scott Carlyle	4	5/3/2018	46	15.77		3,629
Martinrea International Inc.	Deferred Share Unit	Balfour, Scott Carlyle	4	5/3/2018	46	15.77		3,629
Martinrea International Inc.	Deferred Share Unit	Balfour, Scott Carlyle	4	5/3/2018	46	15.77		3,269
Martinrea International Inc.	Deferred Share Unit	Balfour, Scott Carlyle	4	5/3/2018	46	15.77		3,269

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Martinrea International Inc.	Deferred Share Unit	Balfour, Scott Carlyle	4	5/3/2018	46	15.77	23,821	3,269
Martinrea International Inc.	Performance Share Units	D'Eramo, Frank Patrick	4	5/3/2018	56	15.77	60,945	12,343
Martinrea International Inc.	Restricted Share Units	D'Eramo, Frank Patrick	4	5/3/2018	56	15.77	60,945	12,343
Martinrea International Inc.	Deferred Share Unit	Di Tosto, Alfred	5	6/21/2010	00			
Martinrea International Inc.	Deferred Share Unit	Di Tosto, Alfred	5	5/3/2018	56	15.77		6,341
Martinrea International Inc.	Deferred Share Unit	Di Tosto, Alfred	5	5/3/2018	56	15.77		6,341
Martinrea International Inc.	Performance Share Units	Di Tosto, Alfred	5	6/21/2010	00			
Martinrea International Inc.	Performance Share Units	Di Tosto, Alfred	5	5/3/2018	56	15.77		6,341
Martinrea International Inc.	Performance Share Units	Di Tosto, Alfred	5	5/3/2018	56	15.77		6,341
Martinrea International Inc.	Performance Share Units	Di Tosto, Alfred	5	5/3/2018	56	15.77	6,341	6,341
Martinrea International Inc.	Performance Share Units	Di Tosto, Alfred	5	5/3/2018	56	15.77	9,841	3,500
Martinrea International Inc.	Restricted Share Units	Di Tosto, Alfred	5	6/21/2010	00			
Martinrea International Inc.	Restricted Share Units	Di Tosto, Alfred	5	5/3/2018	56	15.77	6,341	6,341
Martinrea International Inc.	Restricted Share Units	Di Tosto, Alfred	5	5/3/2018	56	15.77	9,841	3,500
Martinrea International Inc.	Deferred Share Unit	Doroniuk, Roman	4	5/3/2018	46	15.77	23,821	3,269
Martinrea International Inc.	Performance Share Units	Johnson, Bruce Norman	5	3/25/2008	00			
Martinrea International Inc.	Performance Share Units	Johnson, Bruce Norman	5	5/3/2018	56	15.77	2,504	2,504
Martinrea International Inc.	Restricted Share Units	Johnson, Bruce Norman	5	3/25/2008	00			
Martinrea International Inc.	Restricted Share Units	Johnson, Bruce Norman	5	5/3/2018	56	15.77	2,504	2,504
Martinrea International Inc.	Performance Share Units	LaRosa, Andre	5	1/5/2009	00			
Martinrea International Inc.	Performance Share Units	LaRosa, Andre	5	5/3/2018	56	15.77	2,500	2,500
Martinrea International Inc.	Restricted Share Units	LaRosa, Andre	5	1/5/2009	00			
Martinrea International Inc.	Restricted Share Units	LaRosa, Andre	5	5/3/2018	56	15.77	2,500	2,500
Martinrea International Inc.	Common Shares	Lyons, Terrence	4	2/1/2014	00			
Martinrea International Inc.	Common Shares	Lyons, Terrence	4	2/1/2014	00			
Martinrea International Inc.	Common Shares	Lyons, Terrence	4	5/8/2018	10	17.22	1,400	1,400
Martinrea International Inc.	Common Shares	Lyons, Terrence	4	5/8/2018	10	17.25	5,000	3,600
Martinrea International Inc.	Common Shares	Lyons, Terrence	4	5/8/2018	10	17.25	10,000	5,000
Martinrea International Inc.	Deferred Share Unit	Lyons, Terrence	4	5/3/2018	46	15.77	23,821	3,269
Martinrea International Inc.	Deferred Share Unit	Macher, Frank Edward	4	5/3/2018	46	15.77	23,821	3,269
Martinrea International Inc.	Performance Share Units	Marinaccio, Rocco	5	3/3/2016	00			
Martinrea International Inc.	Performance Share Units	Marinaccio, Rocco	5	5/3/2018	56	15.77	3,170	3,170
Martinrea International Inc.	Performance Share Units	Marinaccio, Rocco	5	5/3/2018	56	15.77	5,670	2,500
Martinrea International Inc.	Restricted Share Units	Marinaccio, Rocco	5	3/3/2016	00			
Martinrea International Inc.	Restricted Share Units	Marinaccio, Rocco	5	5/3/2018	56	15.77	3,170	3,170
Martinrea International Inc.	Restricted Share Units	Marinaccio, Rocco	5	5/3/2018	56	15.77	5,670	2,500
Martinrea International Inc.	Performance Share Units	Morsy, Hany	5	5/2/2013	00			
Martinrea International Inc.	Performance Share Units	Morsy, Hany	5	5/3/2018	56	15.77	500	500
Martinrea International Inc.	Restricted Share Units	Morsy, Hany	5	5/2/2013	00			
Martinrea International Inc.	Restricted Share Units	Morsy, Hany	5	5/3/2018	56	15.77	500	500
Martinrea International Inc.	Deferred Share Unit	Olson, Fred Donald	4	5/3/2018	46	15.77	23,821	3,269
Martinrea International Inc.	Options Options to purchase common shares	Olson, Fred Donald	4	5/10/2018	59	9	139,500	-50,000
Martinrea International Inc.	Performance Share Units	Pagliari, Armando	5	6/6/2003	00			
Martinrea International Inc.	Performance Share Units	Pagliari, Armando	5	5/3/2018	56	15.77	3,500	3,500
Martinrea International Inc.	Restricted Share Units	Pagliari, Armando	5	6/6/2003	00			
Martinrea International Inc.	Restricted Share Units	Pagliari, Armando	5	5/3/2018	56	15.77	3,500	3,500
Martinrea International Inc.	Performance Share Units	Pope, Kerri Lynn	5	8/13/2008	00			
Martinrea International Inc.	Performance Share Units	Pope, Kerri Lynn	5	5/3/2018	56	15.77	3,170	3,170
Martinrea International Inc.	Performance Share Units	Pope, Kerri Lynn	5	5/3/2018	56	15.77	5,670	2,500
Martinrea International Inc.	Restricted Share Units	Pope, Kerri Lynn	5	8/13/2008	00			
Martinrea International Inc.	Restricted Share Units	Pope, Kerri Lynn	5	5/3/2018	56	15.77	3,170	3,170

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Martinrea International Inc.	Restricted Share Units	Pope, Kerri Lynn	5	5/3/2018	56	15.77	5,670	2,500
Martinrea International Inc.	Deferred Share Unit	Pupatello, Sandra	4	5/3/2018	46	15.77	23,821	3,269
Martinrea International Inc.	Performance Share Units	Wildeboer, Robert Peter Edward	4, 5	5/3/2018	56	15.77	60,945	12,343
Martinrea International Inc.	Restricted Share Units	Wildeboer, Robert Peter Edward	4, 5	5/3/2018	56	15.77	60,945	12,343
Matica Enterprises Inc.	Common Shares	Bouchard, Denis	4	7/11/2017	00			
Matica Enterprises Inc.	Common Shares	Bouchard, Denis	4	5/7/2018	10	0.19	100,000	100,000
Maxim Power Corp.	Common Shares	Workman, Timothy William	5	5/10/2018	10	2.55	1,000	1,000
MAYA GOLD & SILVER INC.	Options	Mokaddem, Noureddine	3, 4, 5	5/4/2018	50			400,000
MAYA GOLD & SILVER INC.	Options	Mokaddem, Noureddine	3, 4, 5	5/4/2018	50			400,000
MAYA GOLD & SILVER INC.	Options	Mokaddem, Noureddine	3, 4, 5	5/4/2018	50		650,000	400,000
MAYA GOLD & SILVER INC.	Options	Taub, Robert	3, 4	5/4/2018	50			400,000
MAYA GOLD & SILVER INC.	Options	Taub, Robert	3, 4	5/4/2018	50			400,000
MAYA GOLD & SILVER INC.	Options	Taub, Robert	3, 4	5/4/2018	50		425,000	400,000
MBN Corporation	Units	MBN Corporation	1	5/4/2018	38	6	20,000	20,000
MBN Corporation	Units	MBN Corporation	1	5/4/2018	38		0	-20,000
MBN Corporation	Units	MBN Corporation	1	5/8/2018	38	5.909	11,100	11,100
MBN Corporation	Units	MBN Corporation	1	5/8/2018	38		0	-11,100
MBN Corporation	Units	MBN Corporation	1	5/10/2018	38	6	100	100
MBN Corporation	Units	MBN Corporation	1	5/10/2018	38		0	-100
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		6,575	
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		54,770	
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		6,911	
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		9,051	
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		6,255	
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		6,200	
MCAN Mortgage Corporation	Common Shares	Herridge, Gordon James	4	5/8/2018	00		40,069	
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	3/29/2018	30	17.37	3,285	68
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	3/29/2018	30	17.37	2,941	61
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	3/29/2018	30	17.37	5,040	105
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	1/15/2018	30	17.987	8,673	31
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	1/31/2018	30	17.76	8,705	32
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	2/15/2018	30	17.953	8,736	31
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	2/28/2018	30	18.231	8,767	31
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	3/15/2018	30	17.89	8,799	32
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	3/29/2018	30	17.379	8,986	187
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	4/2/2018	30	17.651	9,019	33
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	4/13/2018	30	17.78	9,052	33
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	4/30/2018	30	18.13	9,084	32
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	3/29/2018	30	17.698	6,331	33
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	3/29/2018	30	17.698	6,392	61
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	3/29/2018	30	17.698	6,430	38
MCAN Mortgage Corporation	Restricted Share Units	Pinto, Sylvia	5	3/29/2018	30	17.698	1,557	32
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	1/9/2004	16	0.5	451,874	20,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	2/20/2004	11	0.5	471,874	20,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	12/10/2012	16	0.41	1,153,376	973,630
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	1/7/2016	46	0.1	1,353,376	200,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	12/19/2017	16	0.12	2,776,584	1,423,208
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	4/18/2018	10	0.27	2,755,084	-21,500

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MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	4/19/2018	10	0.26	2,705,084	-50,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	1/9/2004	16	0.5		20,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	2/20/2004	11	0.5		20,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	12/10/2012	16	0.41		973,630
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	1/7/2016	46	0.1		200,000
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	12/19/2017	16	0.12		1,423,208
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	4/18/2018	10	0.27		-21,500
MedX Health Corp.	Common Shares	Van Nest, Gary Norman	4	4/19/2018	10	0.26		-50,000
MedX Health Corp.	Options	Van Nest, Gary Norman	4	5/3/2010	52	0.6	0	-100,000
MedX Health Corp.	Options	Van Nest, Gary Norman	4	5/3/2010	52	0.5	125,000	-10,000
MedX Health Corp.	Options	Van Nest, Gary Norman	4	5/3/2010	52	0.75	112,500	-12,500
MedX Health Corp.	Options	Van Nest, Gary Norman	4	6/10/2011	52	0.75	100,000	-12,500
MedX Health Corp.	Options	Van Nest, Gary Norman	4	11/24/2011	52	1	0	-100,000
MedX Health Corp.	Options	Van Nest, Gary Norman	4	11/27/2017	52	0.1	0	-400,000
MedX Health Corp.	Options	Van Nest, Gary Norman	4	4/30/2018	50		400,000	400,000
MedX Health Corp.	Warrants	Van Nest, Gary Norman	4	6/30/2001	00			
MedX Health Corp.	Warrants	Van Nest, Gary Norman	4	12/19/2017	16		1,423,208	1,423,208
MedX Health Corp.	Warrants	Van Nest, Gary Norman	4	12/19/2017	16			1,423,208
Metalore Resources Limited	Common Shares	Chilian, Armen	8	5/7/2018	10		10,400	400
Metalore Resources Limited	Common Shares	Chilian, Armen	8	5/9/2018	10		25,400	15,000
Metanor Resources Inc.	Common Shares	Coffin, Tristram	4	5/2/2018	10	0.5	416,326	-10,000
Metanor Resources Inc.	Common Shares	Coffin, Tristram	4	5/9/2018	10	0.54	406,326	-10,000
Methanex Corporation	Common Shares	Valembos, David	5	5/9/2018	51	38.24	8,963	3,120
Methanex Corporation	Common Shares	Valembos, David	5	5/9/2018	10	64.9	5,843	-3,120
Methanex Corporation	Options	Valembos, David	5	5/9/2018	51		11,505	-3,120
Mexican Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	5/1/2018	10	0.39	8,079,600	10,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	3/18/2018	16	1.13	458,000	25,000
MGX Minerals Inc.	Options	Kikauka, Andris	4	4/30/2018	50	0.89	775,000	300,000
Middlefield Can-Global REIT Income Fund	Trust Units	Middlefield Can-Global REIT Income Fund	1	5/8/2018	38	11.573	4,365,487	1,300
Middlefield Can-Global REIT Income Fund	Trust Units	Middlefield Can-Global REIT Income Fund	1	5/9/2018	38	11.53	4,366,087	600
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	5/4/2018	38	9.4514	927,300	3,600
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	5/8/2018	38	9.5129	928,700	1,400
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	5/9/2018	38	9.5075	930,300	1,600
Middlefield Healthcare & Life Sciences Dividend Fund	Trust Units	Middlefield Healthcare & Life Sciences Dividend Fund	1	5/10/2018	38	9.5588	932,000	1,700
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	5/4/2018	38	9.64	973,600	300
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	5/8/2018	38	9.448	975,600	2,000
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	5/9/2018	38	9.4894	979,200	3,600
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	5/10/2018	38	9.55	979,300	100
Minera Alamos Inc.	Common Shares	Ramshaw, Douglas William	4, 5	5/7/2018	10	0.13	1,287,200	50,000
Minera Alamos Inc.	Common Shares	Ramshaw, Douglas William	4, 5	5/8/2018	10	0.125	1,307,200	20,000
Mineral Mountain Resources Ltd.	Common Shares	Baker, Bradley	4	5/3/2018	10	0.345	417,000	17,000

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Mineral Mountain Resources Ltd.	Common Shares	Baker, Bradley	4	5/4/2018	10	0.345	450,000	33,000
Mineral Mountain Resources Ltd.	Common Shares	Coughlan, Terrance Barry	4	5/7/2018	10	0.335	385,000	25,000
Mineral Mountain Resources Ltd.	Common Shares	Coughlan, Terrance Barry	4	5/7/2018	10	0.335	200,000	100,000
Mineral Mountain Resources Ltd.	Common Shares	Jordan, Maribel	5	5/4/2018	11	0.3	225,000	200,000
Mineral Mountain Resources Ltd.	Options	Jordan, Maribel	5	5/4/2018	51	0.3	225,000	-100,000
Mineral Mountain Resources Ltd.	Options	Jordan, Maribel	5	5/4/2018	51	0.3	125,000	-100,000
MINT Income Fund	Trust Units	MINT Income Fund	1	5/4/2018	10	6.9282	71,833,398	-12,400
MINT Income Fund	Trust Units	MINT Income Fund	1	5/7/2018	10	6.94	71,818,398	-15,000
MINT Income Fund	Trust Units	MINT Income Fund	1	5/9/2018	10	6.9756	71,811,398	-7,000
MINT Income Fund	Trust Units	MINT Income Fund	1	5/10/2018	10	7.0003	71,792,898	-18,500
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	4/29/2010	00			
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	5/9/2018	56		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	5/9/2018	56		16,137	1,637
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	3/1/2018	57		0	-14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	3/1/2018	57		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	3/1/2018	10	8.115	0	-14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Bejar, Martha Helena	4	5/9/2018	56		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Bejar, Martha Helena	4	5/9/2018	56		15,016	516
Mitel Networks Corporation	Rights Restricted Stock Units	Charbonneau, Peter D.	4	5/9/2018	56		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Matthews, Terence Hedley	4	5/9/2018	56		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Matthews, Terence Hedley	4	5/9/2018	56		17,303	2,803
Mitel Networks Corporation	Units Restricted Stock Units	McAnuff, Colin Ross	5	5/9/2018	56		45,625	500
Mitel Networks Corporation	Rights Restricted Stock Units	McHugh, John	4	5/9/2018	56		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	McHugh, John	4	5/9/2018	56		16,025	1,525
Mitel Networks Corporation	Rights Restricted Stock Units	Ramakrishna, Sudhakar	4	5/9/2018	56		14,500	14,500
Mitel Networks Corporation	Rights Restricted Stock Units	Williams, David Michael	4	5/9/2018	56		14,500	14,500
Mogo Finance Technology Inc.	Common Shares	Feller, David Marshall	3, 4, 5	5/11/2018	10	3.17	19,000	1,000
Mogo Finance Technology Inc.	Common Shares	Feller, Gregory Dean	4, 5	5/11/2018	10	2.7	742,203	1,000
Mogo Finance Technology Inc.	Common Shares	Mohamed, Minhas	4	5/10/2018	10	3.163	88,736	2,000
Mogo Finance Technology Inc.	Common Shares	Wekerle, Michael A.	3	5/7/2018	10	3.47	988,551	400
Mogo Finance Technology Inc.	Common Shares	Wekerle, Michael A.	3	5/10/2018	10	3.1478	993,951	5,400
Mogo Finance Technology Inc.	Common Shares	Wekerle, Michael A.	3	5/11/2018	10	3.3946		5,900
Mogo Finance Technology Inc.	Common Shares	Wekerle, Michael A.	3	5/11/2018	10	3.4426	1,005,151	11,200

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Mogo Finance Technology Inc.	Common Shares	Wekerle, Michael A.	3	3/20/2018	97		0	-218,144
Mogo Finance Technology Inc.	Common Shares	Wekerle, Michael A.	3	3/30/2018	97			-218,144
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	5/7/2018	10	102	\$3,827,000	(\$2,000)
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	5/10/2018	10	100.5	\$3,815,000	(\$12,000)
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	5/11/2018	10	100.56		(\$34,000)
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	5/11/2018	10	100.76	\$3,756,000	(\$59,000)
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	3/20/2018	97		\$0	(\$250,000)
Mogo Finance Technology Inc.	Convertible Debentures	Wekerle, Michael A.	3	3/30/2018	97			(\$250,000)
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	5/4/2018	54	0.07	500,000	500,000
MONETA PORCUPINE MINES INC.	Warrants	Henry, Alexander David	4	5/4/2018	54	0.07	0	-500,000
MONETA PORCUPINE MINES INC.	Common Shares	Wayne, Mark	4	5/7/2018	54	0.07	6,582,600	1,500,000
MONETA PORCUPINE MINES INC.	Warrants	Wayne, Mark	4	5/7/2018	54	0.07	0	-1,500,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	4/1/2018	38		0	-5,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	4/16/2018	38	0.2	20,000	20,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	4/17/2018	38	0.2	26,000	6,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	4/20/2018	38	0.21	75,500	49,500
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	4/30/2018	38	0.2269	82,000	6,500
Morien Resources Corp.	Common Shares	Byrne, John Philip	4	5/4/2018	11	0.57	1,401,000	200,000
Morien Resources Corp.	Common Shares	Byrne, John Philip	4	5/4/2018	11	0.58	1,501,000	100,000
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	3/11/2013	00			
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	2/27/2018	97		27,433	27,433
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	4/13/2018	22		43,325	15,892
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	4/13/2018	22			487,617
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	4/13/2018	22		856,736	467,291
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	5/10/2018	11			22,999
Mountain Province Diamonds Inc.	Common Shares	Dresner, Bruce Michael	4	5/10/2018	11			22,999
MYM Nutraceuticals Inc.	Options	Tabisz, Edward	4	5/1/2018	00		100,000	
NanoSphere Health Sciences Inc.	Common Shares	Goncalves, Victor	5	4/25/2018	10	0.42	221,375	4,000
NeuLion, Inc.	Common Shares	WME Entertainment Parent, LLC	3	5/7/2018	00		1,000	
New Age Metals Inc. (formerly Pacific North West Capital Corp.)	Common Shares	Barr, Harry	4, 5	5/2/2018	10	0.085	4,865,988	50,000
New Age Metals Inc. (formerly Pacific North West Capital Corp.)	Common Shares	Barr, Harry	4, 5	5/4/2018	10	0.08	4,885,988	20,000
New Dimension Resources Ltd.	Warrants	Burkhart, Thomas	4	5/9/2018	55		0	-14,000
New Dimension Resources Ltd.	Warrants	Heffernan, Robert Scott	4	5/9/2018	55		20,000	-60,000

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New Dimension Resources Ltd.	Warrants	Hewett, Frederic George	4, 5	5/9/2018	55		40,000	-11,000
New Dimension Resources Ltd.	Warrants	Johnstone, Wayne David	5	5/11/2018	55		10,000	-10,000
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Lurie, Ian	5	5/10/2018	30	10.75	8,242	6,556
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	STEELE, JOHN RICHARD	4	3/31/2018	30	13.06	592,351	415
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	STEELE, ROBERT GEORGE	4, 5	3/31/2018	30	13.05	881,754	1,018
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Weatherby, Scott G.M.	5	5/8/2018	30	13.1	38,707	1,034
Newfoundland Power Inc.	Preferred Shares First Preference Series B	Fortis Inc.	3	5/8/2018	11	8.25	75,922	2,000
Newstrike Resources Ltd.	Common Shares	Redman, Jason Patrick	5	5/8/2018	00			
Newstrike Resources Ltd.	Options	Redman, Jason Patrick	5	5/8/2018	00			
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Redman, Jason Patrick	5	5/8/2018	00			
Newstrike Resources Ltd.	Warrants	Redman, Jason Patrick	5	5/8/2018	00			
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	5/9/2018	10	0.21	5,953,000	20,000
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	5/11/2018	10	0.2	6,003,000	50,000
Nickel Creek Platinum Corp.	Options	Darling, Michèle	4	9/25/2015	00			
Nickel Creek Platinum Corp.	Options	Darling, Michèle	4	5/7/2018	50		183,500	183,500
Nickel Creek Platinum Corp.	Options	FIELDS, MARK	4	3/25/2016	00			
Nickel Creek Platinum Corp.	Options	FIELDS, MARK	4	5/7/2018	50	0.26	183,500	183,500
Nickel Creek Platinum Corp.	Options	Garrett, Diane Renee	4, 5	5/7/2018	50	0.26	2,326,900	2,326,900
Nickel Creek Platinum Corp.	Options	Jennings, Graeme Douglas	5	7/4/2017	00			
Nickel Creek Platinum Corp.	Options	Jennings, Graeme Douglas	5	5/7/2018	50		564,700	564,700
Nickel Creek Platinum Corp.	Options	Kirk, Harry Wayne	4	3/24/2016	00			
Nickel Creek Platinum Corp.	Options	Kirk, Harry Wayne	4	5/7/2018	50	0.26	183,500	183,500
Nickel Creek Platinum Corp.	Options	Leathley, Gillyeard(Gil) James	4	9/27/2016	00			
Nickel Creek Platinum Corp.	Options	Leathley, Gillyeard(Gil) James	4	5/7/2018	50		183,500	183,500
Nickel Creek Platinum Corp.	Options	Manternach, Myron	4	5/7/2018	50		384,500	284,500
Nickel Creek Platinum Corp.	Options	Romagnolo, Joseph	5	8/15/2016	00			
Nickel Creek Platinum Corp.	Options	Romagnolo, Joseph	5	5/7/2018	50		705,900	705,900
Nickel Creek Platinum Corp.	Options	Sylvestre, Michel	4	5/7/2018	50		283,500	183,500
Nickel Creek Platinum Corp.	Options	White, Heather Eileen	5	8/14/2017	00			
Nickel Creek Platinum Corp.	Options	White, Heather Eileen	5	5/7/2018	50		762,400	762,400
Norbord Inc.	Common Shares	Banks, Nigel	5	5/11/2018	30	52.211	2,012	-6,000
Noront Resources Ltd.	Common Shares	Baker, Mark	5	8/7/2014	00			
Noront Resources Ltd.	Common Shares	Baker, Mark	5	5/1/2018	51	0.25		200,000
Noront Resources Ltd.	Common Shares	Baker, Mark	5	5/3/2018	51	0.25	200,000	200,000
Noront Resources Ltd.	Options common shares	Baker, Mark	5	5/1/2018	51	0.25		-200,000
Noront Resources Ltd.	Options common shares	Baker, Mark	5	5/3/2018	51	0.25	883,981	-200,000
Noront Resources Ltd.	Common Shares	Parisotto, Paul Anthony	4	5/8/2018	10	0.35	27,000	-88,000
Noront Resources Ltd.	Common Shares	Parisotto, Paul Anthony	4	5/8/2018	51	0.25	227,000	200,000
Noront Resources Ltd.	Options common shares	Parisotto, Paul Anthony	4	5/8/2018	51	0.25	1,700,000	-200,000
Noront Resources Ltd.	Common Shares	Rieveley, Gregory Robert	5	4/27/2018	10	0.36	701,300	-41,000
Noront Resources Ltd.	Common Shares	Rieveley, Gregory Robert	5	4/30/2018	10	0.35	662,800	-38,500
Noront Resources Ltd.	Common Shares	Rieveley, Gregory Robert	5	5/1/2018	10	0.34	545,800	-117,000
Noront Resources Ltd.	Common Shares	Rieveley, Gregory Robert	5	5/2/2018	10	0.34	425,500	-120,300
Noront Resources Ltd.	Common Shares	Rieveley, Gregory Robert	5	5/3/2018	10	0.34	339,500	-86,000
Noront Resources Ltd.	Common Shares	Rieveley, Gregory Robert	5	5/7/2018	10	0.35	307,000	-32,500

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North American Construction Group Ltd.	Convertible Debentures	Ferron, Martin Robert	4, 5	5/7/2018	10	103.5	\$1,472,000	(\$60,000)
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	4/30/2018	10	7.3319		10,200
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	4/30/2018	10	7.3305	10,000	10,000
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	4/30/2018	38			-10,200
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	4/30/2018	38		0	-10,000
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	5/9/2018	10	8.25	25,000	25,000
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	5/9/2018	38		0	-25,000
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	5/10/2018	10	8.3586	2,800	2,800
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	5/10/2018	38		0	-2,800
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	5/11/2018	10	8.4903	3,500	3,500
North American Construction Group Ltd.	Common Shares	North American Energy Partners	1	5/11/2018	38		0	-3,500
NORTHERN LIGHTS RESOURCES CORP.	Common Shares	Timcke, Albert Richard	4, 5	5/1/2018	11	0.042	6,002,800	-100,000
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Drimmer, Daniel	3, 4	5/10/2018	56	25.477	9,465	1,776
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Grayston, Kevin Eric	4	5/11/2018	56	25.477	7,809	1,776
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Hoffman, Dennis J.	4	5/11/2018	56	25.477	7,809	1,776
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	McGinley, Christine Ellen	4	5/11/2018	56	25.477	7,809	1,776
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	McKibbon, Terrance Lloyd	4	5/11/2018	56	25.477	7,809	1,776
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Rosenberg, Graham Lawrence	4	5/10/2018	56	25.477	8,502	373
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Thon, Scott William	4	5/10/2018	56	25.477	13,201	2,748
NOVAGOLD RESOURCES INC.	Common Shares	Garrett, Diane Renee	4	5/4/2018	00			
NOVAGOLD RESOURCES INC.	Options	Garrett, Diane Renee	4	5/4/2018	00			
NOVAGOLD RESOURCES INC.	Options	Garrett, Diane Renee	4	5/7/2018	50	4.75	100,000	100,000
Novelion Therapeutics Inc.	Common Shares	Buono, Linda	5	5/9/2018	57		454	273
Novelion Therapeutics Inc.	Common Shares	Buono, Linda	5	5/9/2018	11	4.26	374	-80
Novelion Therapeutics Inc.	Restricted Stock Unit	Buono, Linda	5	5/9/2018	57		48,253	-273
Novelion Therapeutics Inc.	Common Shares	Harshbarger, Benjamin	5	5/9/2018	57		2,147	349
Novelion Therapeutics Inc.	Common Shares	Harshbarger, Benjamin	5	5/9/2018	11	4.26	2,045	-102
Novelion Therapeutics Inc.	Common Shares	Harshbarger, Benjamin	5	5/9/2018	57		2,793	748
Novelion Therapeutics Inc.	Common Shares	Harshbarger, Benjamin	5	5/9/2018	11	4.26	2,573	-220
Novelion Therapeutics Inc.	Restricted Stock Unit	Harshbarger, Benjamin	5	5/9/2018	57		50,300	-349
Novelion Therapeutics Inc.	Restricted Stock Unit	Harshbarger, Benjamin	5	5/9/2018	57		49,552	-748
Novelion Therapeutics Inc.	Common Shares	Louis, Roger	5	5/9/2018	57		932	547
Novelion Therapeutics Inc.	Common Shares	Louis, Roger	5	5/9/2018	11	4.26	771	-161
Novelion Therapeutics Inc.	Restricted Stock Unit	Louis, Roger	5	5/9/2018	57		21,106	-547
Nuinsco Resources Limited	Common Shares	Galipeau, René Réal	4	5/8/2018	10	0.0035	18,638,040	-250,000
NuLegacy Gold Corporation	Common Shares	Budreski, John Philip Adrian	4	4/3/2018	00		739,000	
NuLegacy Gold Corporation	Options	Budreski, John Philip Adrian	4	4/3/2018	00		150,000	

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NuLegacy Gold Corporation	Options	Budreski, John Philip Adrian	4	5/8/2018	50	0.2	900,000	750,000
Nutrien Ltd.	Common Shares	Douglas, Steven James	5	5/9/2018	10	62.93	40,000	-15,750
Nutrien Ltd.	Common Shares	Webb, Michael Reed	5	2/7/2018	10	59.019	4,676	2,000
Nutrien Ltd.	Common Shares	Webb, Michael Reed	5	5/9/2018	10	63.43	7,276	2,600
Nutrien Ltd.	Common Shares	Webb, Michael Reed	5	5/9/2018	10	63.42	7,376	100
Nutrien Ltd.	Common Shares	Webb, Michael Reed	5	5/9/2018	10	63.39	7,676	300
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Copeland, David A.	4	5/6/2018	52		18,840	-2,688
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Dobranowski, Anthony Edward	4	5/6/2018	52		18,840	-2,688
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Messier, Jacques	4	5/6/2018	52		18,840	-2,688
Open Text Corporation	Common Shares OTEX Common	Duggan, Paul Michael	5	10/3/2017	00			
Open Text Corporation	Common Shares OTEX Common	Duggan, Paul Michael	5	5/11/2018	57	34.71	10,000	10,000
Open Text Corporation	Restricted Share Units	Duggan, Paul Michael	5	5/11/2018	57	34.71	8,900	-10,000
Open Text Corporation	Deferred Share Units	Fowle, Randy	4	5/11/2018	56	36.08	77,959	212
Open Text Corporation	Deferred Share Units	Jenkins, P. Thomas	4	5/11/2018	56	36.08	90,608	55
Open Text Corporation	Deferred Share Units	Slaunwhite, Michael William George	4	5/11/2018	56	36.08	89,725	256
Open Text Corporation	Deferred Share Units	Stevenson, Katharine Berghuis	4	5/11/2018	56	36.08	71,163	229
Open Text Corporation	Deferred Share Units	Weinstein, Deborah	4	5/11/2018	56	36.08	85,137	256
Orla Mining Ltd.	Warrants	Goldcorp Inc.	3	11/7/2017	00			
Orla Mining Ltd.	Warrants	Goldcorp Inc.	3	2/15/2018	15	2.35	1,275,000	1,275,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/4/2018	51	0.075	200,000	200,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/4/2018	10	0.235	170,000	-30,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/4/2018	10	0.24	150,500	-19,500
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/7/2018	10	0.25	70,000	-80,500
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/7/2018	10	0.255	60,000	-10,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/7/2018	10	0.245	50,000	-10,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/8/2018	10	0.265	40,000	-10,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/8/2018	10	0.27	30,000	-10,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/8/2018	10	0.28	20,000	-10,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/8/2018	10	0.29	10,000	-10,000
Oroco Resource Corp.	Common Shares	Vanry, Steven Edward	4, 5	5/8/2018	10	0.285	0	-10,000
Oroco Resource Corp.	Options	Vanry, Steven Edward	4, 5	5/4/2018	51	0.075	250,000	-200,000
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Bertrand, Françoise	4	5/7/2018	56	12.97	45,479	9,300
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Burzynski, John Feliks	4	5/7/2018	56	12.97	16,565	9,300
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Chenard, Pierre	4	5/7/2018	56	12.97	18,279	5,900
OSISKO GOLD ROYALTIES LTD	Options	Coates, Bryan A.	5	5/7/2018	50	12.97	550,600	72,100
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Coates, Bryan A.	5	5/7/2018	56	12.97	130,577	28,900
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Curfman, Christopher C.	4	5/7/2018	56	12.97	29,008	9,300

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OSISKO GOLD ROYALTIES LTD	Options	de la Plante, Joseph	5	5/7/2018	50	12.97	282,300	53,100
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	de la Plante, Joseph	5	5/7/2018	56	12.97	65,054	20,800
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Ferstman, Joanne Shari	4	5/7/2018	56	12.97	68,528	13,900
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Gaumont, André	4	5/7/2018	56	12.97	16,565	9,300
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Labbé, Pierre	4	5/7/2018	56	12.97	35,818	9,300
OSISKO GOLD ROYALTIES LTD	Options	Le Bel, André	5	5/7/2018	50	12.97	263,900	69,300
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Le Bel, André	5	5/7/2018	56	12.97	99,076	33,000
OSISKO GOLD ROYALTIES LTD	Options	Lessard, Luc	5	5/7/2018	50	12.97	264,400	72,100
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Lessard, Luc	5	5/7/2018	56	12.97	120,185	28,900
OSISKO GOLD ROYALTIES LTD	Options	Lévesque, Elif	5	5/7/2018	50	12.97	414,200	84,300
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Lévesque, Elif	5	5/7/2018	56	12.97	107,443	37,200
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Lewnowski, Oskar	4	5/7/2018	56	12.97	19,379	7,000
OSISKO GOLD ROYALTIES LTD	Options	Metcalfe, Vincent	5	5/7/2018	50	12.97	73,000	1,200
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Metcalfe, Vincent	5	5/7/2018	56	12.97	57,525	21,300
OSISKO GOLD ROYALTIES LTD	Common Shares	OSISKO GOLD ROYALTIES LTD	1	4/5/2018	38	12.177	94,700	94,700
OSISKO GOLD ROYALTIES LTD	Common Shares	OSISKO GOLD ROYALTIES LTD	1	4/5/2018	38	12.177	0	-94,700
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	PAGE, CHARLES ELIJAH	4	5/7/2018	56	12.97	45,685	9,300
OSISKO GOLD ROYALTIES LTD	Options	Roosen, Sean	4, 5	5/7/2018	50	12.97	615,800	30,800
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Roosen, Sean	4, 5	5/7/2018	56	12.97	209,594	97,100
OSISKO GOLD ROYALTIES LTD	Options	Ruel, Frédéric	5	5/7/2018	50	12.97	158,800	53,100
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Ruel, Frédéric	5	5/7/2018	56	12.97	60,777	21,300
OSISKO GOLD ROYALTIES LTD	Options	Spencer, Michael David Saynor	7	5/7/2018	50	12.97	80,600	40,400
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Spencer, Michael David Saynor	7	5/7/2018	56	12.97	33,200	16,200
Pacific Rim Cobalt Corp.	Common Shares	Bromley, Sean	4	4/18/2018	10	0.45	182,500	5,000
Pacific Rim Cobalt Corp.	Common Shares	Bromley, Sean	4	4/24/2018	10	0.43	183,000	500
Pacific Rim Cobalt Corp.	Common Shares	Bromley, Sean	4	4/30/2018	10	0.43	187,500	4,500
Pacific Rim Cobalt Corp.	Common Shares	Bromley, Sean	4	4/30/2018	10	0.41	189,500	2,000
Pacific Rim Cobalt Corp.	Common Shares	Bromley, Sean	4	5/1/2018	10	0.41	192,500	3,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/2/2018	10	0.39		3,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/2/2018	10	0.39		3,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/3/2018	10	0.36	183,016	13,500
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/3/2018	10	0.365	192,516	9,500
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/3/2018	10	0.385	286,084	11,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/3/2018	10	0.39	594,225	9,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/7/2018	10	0.425	597,825	3,600
Palamina Corp.	Common Shares	Thomson, Andrew	4	5/10/2018	10	0.4023	604,325	6,500
Paramount Resources Ltd.	Common Shares Class A	Bell, James Geral	4	5/10/2018	10	14.3	2,600	1,000
Park Lawn Corporation	Common Shares	Clark, Andrew	4, 5	5/8/2018	36		242,510	5,000
Park Lawn Corporation	Subscription Receipts	Clark, Andrew	4, 5	7/4/2011	00			
Park Lawn Corporation	Subscription Receipts	Clark, Andrew	4, 5	5/4/2018	15	24.5	5,000	5,000
Park Lawn Corporation	Subscription Receipts	Clark, Andrew	4, 5	5/8/2018	36		0	-5,000
Park Lawn Corporation	Common Shares	Mulroney, Daniel Nicolas Dimitri	5	5/8/2018	36		4,113	3,061
Park Lawn Corporation	Subscription Receipts	Mulroney, Daniel Nicolas Dimitri	5	2/21/2017	00			
Park Lawn Corporation	Subscription Receipts	Mulroney, Daniel Nicolas Dimitri	5	5/4/2018	15	24.5	3,061	3,061
Park Lawn Corporation	Subscription Receipts	Mulroney, Daniel Nicolas Dimitri	5	5/8/2018	36		0	-3,061
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/4/2017	10	0.41	3,585,500	220,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/11/2017	97		3,645,500	60,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/20/2017	10	0.37	3,655,500	10,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/27/2017	10	0.33	3,664,500	9,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/3/2017	10	0.35		6,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/3/2017	10	0.35		6,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/4/2017	10	0.35	3,710,100	45,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/8/2017	10	0.37	3,723,800	13,700
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/9/2017	10	0.38	3,728,800	5,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/15/2017	10	0.37	3,739,800	11,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/16/2017	10	0.37	3,746,800	7,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/17/2017	10	0.37	3,764,800	18,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/18/2017	10	0.37	3,783,536	18,736
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/19/2017	10	0.375		448,136
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/19/2017	10	0.375	3,813,636	30,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	5/25/2017	10	0.45	3,811,636	-2,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	6/6/2017	10	0.38	3,805,636	-6,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	6/7/2017	10	0.4	3,807,236	1,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	6/20/2017	10	0.4	3,815,236	8,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	6/29/2017	10	0.4	3,816,236	1,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	6/30/2017	10	0.4	3,828,236	12,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	7/7/2017	10	0.4	3,835,336	7,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	7/10/2017	10	0.4	3,877,936	42,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	7/17/2017	10	0.4	3,906,936	29,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	7/18/2017	10	0.4	3,912,436	5,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	7/19/2017	10	0.42	4,078,536	166,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	7/24/2017	10	0.47	4,018,936	-59,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/3/2017	10	0.42	4,028,936	10,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/4/2017	10	0.41	4,058,036	29,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/10/2017	10	0.41	4,100,536	42,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/14/2017	10	0.36	4,140,300	39,764
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/16/2017	10	0.39	4,165,800	25,500

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Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/22/2017	10	0.38	4,195,300	29,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/28/2017	10	0.37	4,235,502	40,202
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/29/2017	10	0.41	4,242,502	7,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	8/30/2017	10	0.41	4,257,502	15,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	9/7/2017	10	0.42	4,391,102	133,600
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	9/8/2017	10	0.42	4,392,102	1,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	9/11/2017	10	0.41		673,966
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	9/11/2017	10	0.41	4,487,602	95,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	9/14/2017	10	0.39	4,491,602	4,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	10/3/2017	10	0.41	4,500,102	8,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	10/18/2017	10	0.4	4,508,602	8,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	11/3/2017	10	0.38	4,528,602	20,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	11/8/2017	10	0.33	4,533,071	4,469
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	11/9/2017	10	0.35	4,552,071	19,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	11/15/2017	10	0.37	4,561,571	9,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	11/28/2017	10	0.27	4,564,071	2,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	12/6/2017	10	0.35	4,571,171	7,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	12/11/2017	10	0.35	4,576,571	5,400
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	12/28/2017	10	0.32	4,607,771	31,200
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/2/2018	10	0.34	4,621,771	14,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/4/2018	10	0.34	4,718,771	97,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/9/2018	10	0.32	4,741,871	23,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/11/2018	10	0.36	4,797,871	56,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/16/2018	10	0.38	4,830,871	33,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/19/2018	10	0.38	4,865,871	35,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/25/2018	10	0.34	4,975,027	109,156
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/29/2018	10	0.35	5,016,027	41,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/30/2018	10	0.37	5,054,127	38,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	1/31/2018	10	0.36	5,064,827	10,700
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	2/2/2018	10	0.32	5,084,927	20,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	2/5/2018	10	0.37		649,625
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	2/5/2018	10	0.34	5,137,227	52,300
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	2/8/2018	10	0.34	5,220,227	83,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	3/2/2018	10	0.28	5,231,727	11,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	3/14/2018	10	0.3	5,286,227	54,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	3/22/2018	10	0.32	5,362,287	76,060
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	3/27/2018	10	0.34	5,365,287	3,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	3/28/2018	10	0.34	5,412,287	47,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	3/29/2018	10	0.34	5,422,287	10,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/2/2018	10	0.3	5,526,287	104,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/3/2018	10	0.34	5,529,287	3,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/4/2018	10	0.34	5,546,287	17,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/9/2018	10	0.34	5,551,287	5,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/10/2018	10	0.3	5,613,287	62,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/11/2018	10	0.26	5,621,287	8,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/13/2018	10	0.29	5,674,787	53,500
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/20/2018	10	0.33	5,695,787	21,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/23/2018	10	0.33	5,707,787	12,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/24/2018	10	0.35	5,716,887	9,100
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/26/2018	10	0.36	5,756,887	40,000
Parkit Enterprise Inc.	Common Shares	Leonite Capital LLC	3	4/27/2018	10	0.37	5,751,387	-5,500

Insider Reporting

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Pason Systems Inc.	common share options	Ando, Melinda	5	5/7/2018	51		52,535	-5,000
Pason Systems Inc.	Common Shares	Ando, Melinda	5	5/6/2015	00			
Pason Systems Inc.	Common Shares	Ando, Melinda	5	5/7/2018	51		5,000	5,000
Pason Systems Inc.	Common Shares	Ando, Melinda	5	5/7/2018	10	20.599	0	-5,000
Pason Systems Inc.	Common Shares	Elliott, David Robert	5	5/7/2018	51		32,058	23,333
Pason Systems Inc.	Common Shares	Elliott, David Robert	5	5/7/2018	10	20.6	8,725	-23,333
Pason Systems Inc.	Options	Elliott, David Robert	5	5/7/2018	51		80,763	-23,333
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	2/1/2018	90		0	-1,650,000
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	2/1/2018	90		10,316,580	1,650,000
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	5/7/2018	10	20.307	10,313,380	-3,200
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	5/8/2018	10	20.271	10,289,780	-23,600
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	5/9/2018	10	20.266	10,262,480	-27,300
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	5/10/2018	10	20.25	10,262,380	-100
Pason Systems Inc.	Common Shares	Hill, James Douglas	3, 4, 5	5/11/2018	10	20.102	9,816,580	-445,800
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	5/4/2018	10	8.25	9,893,988	-6,300
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	5/7/2018	10	8.2562	9,888,988	-5,000
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	5/8/2018	10	8.24	9,883,288	-5,700
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	5/9/2018	10	8.25	9,879,688	-3,600
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	5/10/2018	10	8.26	9,876,988	-2,700
PEEK SOCIAL LTD.	Common Shares	Itwaru, Mark	8, 4, 5	5/4/2018	22		63,330,377	61,340,322
PEEK SOCIAL LTD.	Common Shares	Lavin, William Charles	4	8/12/2015	00			
PEEK SOCIAL LTD.	Common Shares	Lavin, William Charles	4	5/4/2018	22		89,431	89,431
PEEK SOCIAL LTD.	Common Shares	Macdonald, Alexander Ross	5	11/18/2014	00			
PEEK SOCIAL LTD.	Common Shares	Macdonald, Alexander Ross	5	5/4/2018	22		433,523	433,523
PEEK SOCIAL LTD.	Common Shares	McLeod, Vincent Clive	4	8/12/2015	00			
PEEK SOCIAL LTD.	Common Shares	McLeod, Vincent Clive	4	5/4/2018	22		433,523	433,523
PEEK SOCIAL LTD.	Common Shares	Riavera Corp.	3	5/4/2018	22		68,958,860	55,346,527
PEEK SOCIAL LTD.	Common Shares	Westlake, William James	4	2/21/2017	00			
PEEK SOCIAL LTD.	Common Shares	Westlake, William James	4	5/4/2018	22		289,015	289,015
Pembina Pipeline Corporation	Common Shares	Dilger, Michael H.	5	5/8/2018	10	33.921	5,000	-5,000
Pembina Pipeline Corporation	Common Shares	Dilger, Michael H.	5	5/8/2018	10	33.939	0	-5,000
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	5/9/2018	10	44.46	1,746	-338
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	5/9/2018	10	44.406	2,135	225
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	5/9/2018	10	44.406	2,135	225
Pembina Pipeline Corporation	Options	Scherman, Chris	5	5/7/2018	59	44.2	37,862	-7,160
Pengrowth Energy Corporation	Common Shares	Schulich, Seymour	3	5/2/2018	10	1.1168	34,000,000	4,000,000
People Corporation	Common Shares	Anderson, Scott Cameron	4	5/4/2018	10	7.46	4,300	800
Pershimex Resources Corporation	Common Shares	Bureau, Roger	3, 4, 6	5/8/2018	10	0.08	12,921,000	2,000
PetroMaroc Corporation plc (formerly Longreach Oil and Gas Limited)	Options	Deacon, Donald Campbell	4	3/30/2018	50			1,000,000
PetroMaroc Corporation plc (formerly Longreach Oil and Gas Limited)	Options	Deacon, Donald Campbell	4	3/30/2018	50			1,000,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
PetroShale Inc.	Restricted Bonus Awards	Shughart, Brooks Michael	4	1/25/2018	00			
PetroShale Inc.	Restricted Bonus Awards	Shughart, Brooks Michael	4	5/7/2018	50		60,000	60,000
Petrus Resources Ltd.	Director Share Units	Arnell, Patrick	4	5/8/2018	97	2.63	21,673	21,673
Petrus Resources Ltd.	Options New Options	Arnell, Patrick	4	5/1/2018	52	2.25	19,740	-4,000
Petrus Resources Ltd.	Options New Options	Booth, Brett Daniel	5	5/1/2018	52	2.25	119,700	-19,100
Petrus Resources Ltd.	Options New Options	CORMACK, Donald Gordon	4	5/1/2018	52	2.25	19,740	-4,000
Petrus Resources Ltd.	Rights Director Share Units	CORMACK, Donald Gordon	4	5/8/2018	97	2.63	21,673	21,673
Petrus Resources Ltd.	Director Share Units	Gray, Don	4	5/8/2018	97	2.63	21,673	21,673
Petrus Resources Ltd.	Options New Options	Gray, Don	4	5/1/2018	52	2.25	28,800	-6,000
Petrus Resources Ltd.	Options New Options	Keilly, Ross Andrew	5	5/1/2018	52	2.25	92,100	-14,000
Petrus Resources Ltd.	Options New Options	Korchinski, Neil	5	5/1/2018	52	2.25	446,600	-78,500
Petrus Resources Ltd.	Options New Options	Schlegel, Marcus Nicholas	5	5/1/2018	52	2.25	125,500	-20,700
Petrus Resources Ltd.	Options New Options	Stephenson, Cheree	5	5/1/2018	52	2.25	313,400	-55,000
Petrus Resources Ltd.	Director Share Units	White, Stephen	4	5/8/2018	97	2.63	21,673	21,673
Petrus Resources Ltd.	Options New Options	White, Stephen	4	5/1/2018	52	2.25	19,740	-4,000
Petrus Resources Ltd.	Director Share Units	Wingren B.V.	3	5/8/2018	97	2.63	21,673	21,673
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	4/25/2018	10	0.86		69,700
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	4/25/2018	10	0.86	2,629,600	67,700
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	4/27/2018	10	0.91	2,630,100	500
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	4/30/2018	10	0.91	2,639,600	9,500
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/3/2018	10	0.9	2,654,600	15,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/4/2018	10	0.87	2,660,100	5,500
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/7/2018	10	0.9		15,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/7/2018	10	0.89	2,665,100	5,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/8/2018	10	0.87	2,666,100	1,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.91	2,666,600	500
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.9	2,667,600	1,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.87	2,671,100	3,500
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.88	2,676,100	5,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.881	2,681,100	5,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.88	2,691,100	10,000
Phivida Holdings Inc.	Common Shares	Belfontaine, John David Alexander	3, 4, 5	5/9/2018	10	0.88	2,701,100	10,000
Phivida Holdings Inc.	Common Shares	Kovalyov, Georgiy	4	5/11/2018	10	1	451,200	4,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	5/8/2018	90		16,570,375	-195,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	5/2/2018	10	0.31	17,291,500	50,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	4/10/2018	38	2.0075	54,000	54,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	4/13/2018	38	1.9637	93,100	39,100

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Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	4/19/2018	38	1.9633	173,100	80,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	4/24/2018	38	1.9446	231,000	57,900
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	4/27/2018	38		0	-231,000
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	5/9/2018	10	0.61	4,681,344	25,000
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	5/9/2018	90		4,671,344	-10,000
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19	1,594,844	-2,200
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.01	1,594,744	-100
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.02	1,593,744	-1,000
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.045	1,592,044	-1,700
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.1	1,589,544	-2,500
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.1		-2,500
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.1		-2,500
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.195	1,588,844	-700
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.25	1,588,144	-700
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.35	1,587,744	-400
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.36	1,587,444	-300
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.37	1,587,344	-100
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/2/2018	10	19.39	1,587,044	-300
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/3/2018	10	19.03	1,586,744	-300
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/4/2018	10	19.14	1,586,444	-300
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	5/4/2018	10	19.145	1,584,444	-2,000
Potash Ridge Corporation	Options	Klue, Edward Richard	4	5/1/2018	00		1,000,000	
Prairie Provident Resources Inc.	Common Shares	Prairie Provident Resources Inc.	1	4/13/2018	38	0.43	1,000	1,000
Prairie Provident Resources Inc.	Common Shares	Prairie Provident Resources Inc.	1	4/30/2018	38		0	-1,000
PrairieSky Royalty Ltd.	Common Shares	PROCTOR, CAMERON MACLEAN	5	5/11/2018	10	26.816	28,405	2,000
Primero Mining Corp.	Common Shares	First Majestic Silver Corp.	2	5/10/2018	00		193,045,822	
Profound Medical Corp. (formerly Mira IV Acquisition Corp.)	Common Shares	Dewan, Rashed Osman	5	3/20/2018	15	1	160,000	50,000
Profound Medical Corp. (formerly Mira IV Acquisition Corp.)	Warrants	Dewan, Rashed Osman	5	3/20/2018	15	1.4	75,000	25,000
Profound Medical Corp. (formerly Mira IV Acquisition Corp.)	Common Shares	Menawat, Dr., Arun	4, 5	3/20/2018	15	1	677,300	150,000
Profound Medical Corp. (formerly Mira IV Acquisition Corp.)	Warrants	Menawat, Dr., Arun	4, 5	3/20/2018	15	1.4	150,000	75,000
Prometic Life Sciences Inc.	Common Shares	Mesburis, Paul	4	5/7/2018	90		299,771	-1,325
Prometic Life Sciences Inc.	Common Shares	Mesburis, Paul	4	5/7/2018	90		36,318	1,325
Pulse Seismic Inc.	Common Shares Rights Restricted Share Units	Droppo, Dallas	4	5/8/2018	00			
Pulse Seismic Inc.	Common Shares Rights Restricted Share Units	Droppo, Dallas	4	5/8/2018	56	3.04	8,517	8,517
Pulse Seismic Inc.	Common Shares Rights Restricted Share Units	Grimsrud, Grant	4	5/8/2018	00			
Pulse Seismic Inc.	Common Shares Rights Restricted Share Units	Grimsrud, Grant	4	5/8/2018	56	3.04	8,517	8,517
Puma Exploration Inc.	Common Shares	Cordick, Arness William Ross	4	5/2/2018	10	0.075	13,849,000	15,000

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Quebecor Inc.	Subordinate Voting Shares Catégorie B	Bélanger, Chantal	4	5/8/2018	00			
Quebecor Inc.	Unité d'action différée	Bélanger, Chantal	4	5/8/2018	00			
Quendale Capital Corp.	Common Shares	Bayley, Brian Eric	4	5/10/2018	00		360,000	
Quendale Capital Corp.	Common Shares	Burchill, Donn	4	5/10/2018	00		100,000	
Quendale Capital Corp.	Common Shares	Graham, Richard	4, 5	5/10/2018	00		100,000	
Quendale Capital Corp.	Common Shares	Ionic Securities Ltd.	3	5/10/2018	00		1,350,000	
Quendale Capital Corp.	Common Shares	McLean, Scott Alexander	4	5/10/2018	00		100,000	
Radiant Technologies Inc.	Common Shares	Ferlaino, Francesco	4	5/7/2018	10	1.16	3,250,000	42,100
Radiant Technologies Inc.	Common Shares	Kaura, Harinder S	4	5/3/2018	45	1.4	1,246,449	1,246,449
Radiant Technologies Inc.	Common Shares	Kaura, Harinder S	4	5/3/2018	45	1.34	2,799,639	1,553,190
Radiant Technologies Inc.	Common Shares	Kaura, Harinder S	4	5/22/2014	00			
Radiant Technologies Inc.	Common Shares	Kaura, Harinder S	4	5/3/2018	45	1.4		1,246,499
Rainy Mountain Royalty Corp. (formerly East West Resource Corporation)	Warrants	Mason, Douglas Lawrence	4, 5	5/6/2018	55		2,875,000	-500,000
Razor Energy Corp.	Common Shares	Bailey, Douglas George	4, 5	5/9/2018	30	2.5	1,158,015	1,530
Razor Energy Corp.	Common Shares	Braun, Kevin Edward	5	5/9/2018	30	2.5	160,826	1,264
Razor Energy Corp.	Common Shares	Edney, Kent Harold	5	5/9/2018	30	2.5	17,957	1,264
Razor Energy Corp.	Common Shares	Mueller, Lisa Ann	5	5/9/2018	30	2.5	71,302	1,264
Razor Energy Corp.	Common Shares	Muller, Frank Peter	4, 5	5/9/2018	30	2.5	918,264	1,464
Razor Energy Corp.	Common Shares	Sundstrom, Devin Kent	5	5/9/2018	30	2.5	204,363	1,264
Razor Energy Corp.	Common Shares	Sych, Stephen George	5	5/9/2018	30	2.5	193,213	948
Razore Rock Resources Inc.	Common Shares	Wilson, Michael	4, 5	5/7/2018	11	0.18	200,000	-161,400
Real Matters Inc.	Common Shares	Slavens, Eric W.	6	5/10/2018	10	6.05	15,000	5,000
REIT INDEXPLUS Income Fund	Trust Units	REIT INDEXPLUS Income Fund	1	5/7/2018	10	12.956	10,312,225	-2,600
REIT INDEXPLUS Income Fund	Trust Units	REIT INDEXPLUS Income Fund	1	5/10/2018	10	13.05	10,311,725	-500
Richelieu Hardware Ltd.	Common Shares	Auclair, Antoine	5	5/8/2018	35	28.15	1,384	2
Richelieu Hardware Ltd.	Common Shares	Auclair, Antoine	5	5/8/2018	35	28.15	8,980	16
Richelieu Hardware Ltd.	Common Shares	Grenier, Guy	5	5/8/2018	35	28.15	74,888	11
Richelieu Hardware Ltd.	Common Shares	Lord, Richard	4, 5	5/8/2018	35	28.15	4,173,616	113
Richelieu Hardware Ltd.	Common Shares	Quevillon, Geneviève	5	5/8/2018	35	28.15	5,982	13
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	5/7/2018	10	15	7,388,399	1,400
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	5/8/2018	10	15	7,414,999	26,600
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	5/9/2018	10	15	7,462,871	47,872
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	5/9/2018	10	15	7,460,332	-2,539
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.75	1,000	1,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.8	1,317	317
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.84	2,117	800
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.85	2,917	800
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.88	5,517	2,600
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.89	6,217	700

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RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.9	10,117	3,900
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.91	10,517	400
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.92	14,417	3,900
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.93	1,217,317	1,202,900
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.94	1,224,617	7,300
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.95	1,229,617	5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.96	1,231,417	1,800
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.97	1,232,017	600
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.98	1,234,117	2,100
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	5/11/2018	38	23.99	1,235,717	1,600
Rockhaven Resources Ltd.	Common Shares	Strategic Metals Ltd.	3	5/8/2018	10		61,544,212	115,500
Rogers Communications Inc.	Non-Voting Shares Class B	Gemmell, Robert	4	5/9/2018	10	60.64	14,993	-435
Rogers Sugar Inc.	Common Shares	Dionne, Patrick	5	5/4/2018	10	5.4456	13,248	4,540
Roots Corporation	Options	Connell, James Hendry	5	4/23/2018	50			6,271
Roots Corporation	Options	Connell, James Hendry	5	4/23/2018	50		113,366	6,270
Roots Corporation	Restricted Share Units	Connell, James Hendry	5	4/23/2018	56			1,982
Roots Corporation	Restricted Share Units	Connell, James Hendry	5	4/23/2018	56		1,981	1,981
Roots Corporation	Options	Cuizon, Almira	5	4/23/2018	50			6,115
Roots Corporation	Options	Cuizon, Almira	5	4/23/2018	50		170,300	6,114
Roots Corporation	Options	Gabel, James Alan	4, 5	4/23/2018	50			18,958
Roots Corporation	Options	Gabel, James Alan	4, 5	4/23/2018	50		1,512,238	19,621
Roots Corporation	Restricted Share Units	Gabel, James Alan	4, 5	4/23/2018	56			5,991
Roots Corporation	Restricted Share Units	Gabel, James Alan	4, 5	4/23/2018	56		6,200	6,200
Roots Corporation	Options	Rudyk, James Daniel	5	4/23/2018	50			9,574
Roots Corporation	Options	Rudyk, James Daniel	5	4/23/2018	50		755,989	9,681
Roots Corporation	Restricted Share Units	Rudyk, James Daniel	5	4/23/2018	56			3,025
Roots Corporation	Restricted Share Units	Rudyk, James Daniel	5	4/23/2018	56		3,059	3,059
Roots Corporation	Options	Shum, Priscilla	5	4/23/2018	50			7,348
Roots Corporation	Options	Shum, Priscilla	5	4/23/2018	50		380,500	7,347
Roots Corporation	Restricted Share Units	Shum, Priscilla	5	4/23/2018	56			2,322
Roots Corporation	Restricted Share Units	Shum, Priscilla	5	4/23/2018	56		2,321	2,321
Royal Bank of Canada	Common Shares	Yabuki, Jeffery William	4	5/2/2018	10	76.067	2,900	300
Russel Metals Inc.	Common Shares	Halcrow, David	5	5/8/2018	10	30.6	24,905	-5,000
Santé Veritas Holdings Inc. (formerly, Marchwell Ventures Ltd.)	Common Shares	MIELE, PERRY JOSEPH	4	4/24/2018	00			
Santé Veritas Holdings Inc. (formerly, Marchwell Ventures Ltd.)	Options	MIELE, PERRY JOSEPH	4	4/24/2018	00			
Santé Veritas Holdings Inc. (formerly, Marchwell Ventures Ltd.)	Warrants	MIELE, PERRY JOSEPH	4	4/24/2018	00			
Savaria Corporation	Common Shares	BOURASSA, JEAN-MARIE	4, 6, 5	5/4/2018	15	16.6	336,500	60,500
Savaria Corporation	Common Shares	Bourassa, Marcel	4, 6, 5	5/4/2018	15	16.6	336,500	60,500
SBD Capital Corp. (formerly White Pine Resources Inc.)	Common Shares	Murray, Robert Brian	3, 4, 5	5/8/2018	10	0.36	3,008,500	8,500

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SBD Capital Corp. (formerly White Pine Resources Inc.)	Common Shares	Murray, Robert Brian	3, 4, 5	5/9/2018	10	0.36	3,010,500	2,000
Scandium International Mining Corp.	Common Shares	Harris, William	4	5/8/2018	51	0.1	630,000	200,000
Scandium International Mining Corp.	Options	Harris, William	4	5/8/2018	51		2,650,000	-200,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/4/2018	10	0.44	3,588,500	10,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/4/2018	10	0.44		10,500
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/4/2018	10	0.45	3,599,000	10,500
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/4/2018	10	0.44	3,599,500	500
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/7/2018	10	0.45	3,606,000	6,500
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/7/2018	10	0.45	3,612,000	6,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/7/2018	10	0.45	3,622,000	10,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/8/2018	10	0.45	3,629,500	7,500
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/8/2018	10	0.45	3,639,500	10,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/9/2018	10	0.45	3,644,500	5,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/4/2018	10	0.485	1,060,700	1,000
Seahawk Ventures Inc.	Common Shares	Ekholm, Ian Jack	3	5/11/2018	10	0.475	1,061,700	1,000
SENTERNET PHI GAMMA INC.	Common Shares	Conforti, Yaron	4	5/4/2018	11	0.001	75,000,000	75,000,000
SENTERNET PHI GAMMA INC.	Common Shares	Conforti, Yaron	4	5/8/2018	00			
SENTERNET PHI GAMMA INC.	Warrants	Conforti, Yaron	4	5/4/2018	11	0.002	75,000,000	75,000,000
SENTERNET PHI GAMMA INC.	Warrants	Conforti, Yaron	4	5/8/2018	00			
SENTERNET PHI GAMMA INC.	Common Shares	Kaplan, Jesse Armin	4	5/4/2018	00		37,500,000	
SENTERNET PHI GAMMA INC.	Warrants	Kaplan, Jesse Armin	4	5/4/2018	00			
SENTERNET PHI GAMMA INC.	Warrants	Kaplan, Jesse Armin	4	5/4/2018	11	0.002	37,500,000	37,500,000
SENTERNET PHI GAMMA INC.	Common Shares	Plazacorp Investments Limited	3	5/4/2018	00			
SENTERNET PHI GAMMA INC.	Common Shares	Plazacorp Investments Limited	3	5/4/2018	11	0.001	37,500,000	37,500,000
SENTERNET PHI GAMMA INC.	Warrants	Plazacorp Investments Limited	3	5/4/2018	00			
SENTERNET PHI GAMMA INC.	Warrants	Plazacorp Investments Limited	3	5/4/2018	11	0.002	37,500,000	37,500,000
SENTERNET PHI GAMMA INC.	Warrants	Plazacorp Investments Limited	3	5/4/2018	11	0.002	75,000,000	37,500,000
SENTERNET PHI GAMMA INC.	Common Shares	Posen, Binyomin Yehuda	4	5/4/2018	00			
SENTERNET PHI GAMMA INC.	Common Shares	Posen, Binyomin Yehuda	4	5/4/2018	11	0.001	5,000,000	5,000,000
SENTERNET PHI GAMMA INC.	Warrants	Posen, Binyomin Yehuda	4	5/4/2018	00			
SENTERNET PHI GAMMA INC.	Warrants	Posen, Binyomin Yehuda	4	5/4/2018	11	0.002	5,000,000	5,000,000
Seven Generations Energy Ltd.	Common Shares Class A	Nevokshonoff, Glen Allen	5	5/10/2018	57		39,099	12,586
Seven Generations Energy Ltd.	Common Shares Class A	Nevokshonoff, Glen Allen	5	5/10/2018	57		57,832	18,733
Seven Generations Energy Ltd.	Common Shares Class A	Nevokshonoff, Glen Allen	5	5/10/2018	10	16.153	26,513	-31,319
Seven Generations Energy Ltd.	Common Shares Class A	Nevokshonoff, Glen Allen	5	5/10/2018	57		38,537	12,024
Seven Generations Energy Ltd.	Common Shares Class A	Nevokshonoff, Glen Allen	5	5/10/2018	10	16.061	26,513	-12,024

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Seven Generations Energy Ltd.	Rights Performance Share Units	Nevokshonoff, Glen Allen	5	5/10/2018	57		51,016	-6,624
Seven Generations Energy Ltd.	Rights Performance Share Units	Nevokshonoff, Glen Allen	5	5/10/2018	57		35,786	-15,230
Seven Generations Energy Ltd.	Rights Performance Share Units	Nevokshonoff, Glen Allen	5	5/10/2018	57		23,762	-12,024
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Emberly, Katherine	5	5/4/2018	00		4,314	
SHAW COMMUNICATIONS INC.	Options	Emberly, Katherine	5	5/4/2018	00		95,000	
Shopify Inc.	Options	ASHE, ROBERT GERARD	4	5/20/2015	00			
Shopify Inc.	Options	ASHE, ROBERT GERARD	4	5/10/2018	50	137.72	1,624	1,624
Shopify Inc.	RSU	ASHE, ROBERT GERARD	4	5/20/2015	00			
Shopify Inc.	RSU	ASHE, ROBERT GERARD	4	5/10/2018	56		836	836
Shopify Inc.	Options	Collins, Steven Alan	4	5/20/2015	00			
Shopify Inc.	Options	Collins, Steven Alan	4	5/10/2018	50	137.72	1,412	1,412
Shopify Inc.	RSU	Collins, Steven Alan	4	5/20/2015	00			
Shopify Inc.	RSU	Collins, Steven Alan	4	5/10/2018	56		727	727
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Finkelstein, Harley Michael	5	5/10/2018	51	0.16	2,226	2,226
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Finkelstein, Harley Michael	5	5/10/2018	36		0	-2,226
Shopify Inc.	Options	Finkelstein, Harley Michael	5	5/10/2018	51	0.16	289,708	-2,226
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	5/10/2018	36		2,355	2,226
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	5/10/2018	30	186.83	129	-2,226
Shopify Inc.	Options	Goodman, Gail Faye	4	5/10/2018	50	137.72		1,412
Shopify Inc.	Options	Goodman, Gail Faye	4	5/10/2018	50	137.72	9,802	1,412
Shopify Inc.	RSU	Goodman, Gail Faye	4	5/10/2018	56		3,960	727
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Lutke, Tobias Albin	4, 5	5/7/2018	30	137.94	112,000	-4,000
Shopify Inc.	Options	Shapero, Amy Elizabeth	5	4/2/2018	00			
Shopify Inc.	Options	Shapero, Amy Elizabeth	5	5/10/2018	50	137.72	46,591	46,591
Shopify Inc.	RSU	Shapero, Amy Elizabeth	5	4/2/2018	00			
Shopify Inc.	RSU	Shapero, Amy Elizabeth	5	5/10/2018	56		55,911	55,911
Sierra Wireless, Inc.	Common Shares	Cohenour, Jason W.	4, 5	5/9/2018	51	10.26	351,081	5,648
Sierra Wireless, Inc.	Common Shares	Cohenour, Jason W.	4, 5	5/9/2018	10	17.64	345,433	-5,648
Sierra Wireless, Inc.	Options	Cohenour, Jason W.	4, 5	5/9/2018	51	10.26	188,012	-5,648
Sierra Wireless, Inc.	Common Shares	Link, Rene Fernando	5	5/9/2018	57	17.6	11,495	1,467
Sierra Wireless, Inc.	Restricted Share Units	Link, Rene Fernando	5	5/9/2018	57		20,839	-2,521
Sierra Wireless, Inc.	Common Shares	Teyssier, Pierre Jean Benoit	5	5/9/2018	51	10.26	29,730	5,329
Sierra Wireless, Inc.	Common Shares	Teyssier, Pierre Jean Benoit	5	5/9/2018	10	17.6	24,401	-5,329
Sierra Wireless, Inc.	Common Shares	Teyssier, Pierre Jean Benoit	5	5/9/2018	10	17.57	21,401	-3,000
Sierra Wireless, Inc.	Common Shares	Teyssier, Pierre Jean Benoit	5	5/10/2018	10	17.65	19,317	-2,084
Sierra Wireless, Inc.	Common Shares	Teyssier, Pierre Jean Benoit	5	5/11/2018	10	18.1	16,817	-2,500
Sierra Wireless, Inc.	Options	Teyssier, Pierre Jean Benoit	5	5/9/2018	51	10.26	32,716	-5,329
Sierra Wireless, Inc.	Options	Teyssier, Pierre Jean Benoit	5	5/9/2018	57		37,259	4,543
Sierra Wireless, Inc.	Restricted Share Units	Teyssier, Pierre Jean Benoit	5	5/9/2018	57		19,271	-4,543
Silver Bear Resources Plc	Ordinary Shares	Hill, Graham David	5	5/3/2018	10	0.24	0	-61,500

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SIR Royalty Income Fund	Units	SHARMA, ATUL	6	5/7/2018	10	15.103	14,000	5,000
SITKA GOLD CORP.	Common Shares	Coe, Corwin	4, 5	5/9/2018	10	0.26	894,000	2,000
Slam Exploration Ltd.	Common Shares	Macdonald, Bruce	3	5/7/2018	10	0.035	6,300,000	100,000
SNC-Lavalin Group Inc.	Common Shares	Courville, Isabelle	4	5/7/2018	10	55.095	1,755	100
SNC-Lavalin Group Inc.	Common Shares	Courville, Isabelle	4	5/7/2018	10	55.1	1,855	100
SNC-Lavalin Group Inc.	Common Shares	Courville, Isabelle	4	5/7/2018	10	55.2	2,355	500
SNC-Lavalin Group Inc.	Common Shares	Courville, Isabelle	4	5/7/2018	10	55.65	3,205	850
SNC-Lavalin Group Inc.	Common Shares	Ryan, Erik J.	5	11/1/2013	00			
SNC-Lavalin Group Inc.	Common Shares	Ryan, Erik J.	5	5/7/2018	57	55.999	6,094	6,094
SNC-Lavalin Group Inc.	Common Shares	Ryan, Erik J.	5	5/7/2018	10	55.999		-3,249
SNC-Lavalin Group Inc.	Common Shares	Ryan, Erik J.	5	5/7/2018	10	55.999	2,845	-3,249
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions incessibles	Ryan, Erik J.	5	5/7/2018	57	55.999	23,541	-6,094
Société d'exploration minière Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	5/8/2018	10	0.105	2,674,000	24,000
Société d'exploration minière Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	5/9/2018	10	0.105	2,699,000	25,000
Société d'exploration minière Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	5/10/2018	10	0.1	2,700,000	1,000
Société d'exploration minière Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	5/11/2018	10	0.105	2,721,000	21,000
Société d'exploration minière Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	5/11/2018	10	0.1	2,750,000	29,000
SOLITARIO ZINC CORP.	Common Shares	Herald, Christopher	4	5/4/2018	10	0.423	1,566,000	1,000
SOLITARIO ZINC CORP.	Common Shares	Herald, Christopher	4	5/7/2018	10	0.441	1,567,000	1,000
SOLITARIO ZINC CORP.	Common Shares	Labate, John Anthony	4	12/20/2016	00			
SOLITARIO ZINC CORP.	Common Shares	Labate, John Anthony	4	5/7/2018	10	0.437	10,000	10,000
Source Energy Services Ltd.	Deferred Share Units	Cameron, Neil	4	5/9/2018	59	5.2321	0	-8,571
Spartan Energy Corp.	Common Shares	Greenslade, Grant William	4	5/9/2018	54	2.4	111,111	111,111
Spartan Energy Corp.	Common Shares	Greenslade, Grant William	4	5/9/2018	54	2.4	439,444	439,444
Spartan Energy Corp.	Common Shares	Greenslade, Grant William	4	5/9/2018	54	2.4	5,556	2,778
Spartan Energy Corp.	Common Shares	Greenslade, Grant William	4	5/9/2018	54	2.4	11,895	2,222
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-111,111
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-111,111
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4	222,222	-111,111
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-439,444
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-439,444
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4	878,889	-439,444
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-2,778
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-2,778
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4	0	-2,778
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-2,222
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4		-2,222
Spartan Energy Corp.	Warrants	Greenslade, Grant William	4	5/9/2018	54	2.4	0	-2,222
Spartan Energy Corp.	Common Shares	Stark, Albert Jason	5	5/8/2018	10	6.4846	36,627	-21,706
Speakeasy Cannabis Club Ltd.	Common Shares	Jackson, Anthony	4, 5	5/4/2018	10	0.62	366,111	3,000
Speakeasy Cannabis Club Ltd.	Common Shares	Jackson, Anthony	4, 5	5/7/2018	10	0.59	368,111	2,000
Spectral Medical Inc.	Common Shares	Birch Hill Equity Partners Management Inc.	3	4/20/2018	16	0.3	379,807	26,365
Spectral Medical Inc.	Common Shares	Birch Hill Equity Partners Management Inc.	3	4/20/2018	16	0.3	19,498,807	1,353,415
Spectral Medical Inc.	Common Shares	Birch Hill Equity Partners Management Inc.	3	4/20/2018	16	0.3	16,139,104	1,120,220

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Spectral Medical Inc.	Warrants	Birch Hill Equity Partners Management Inc.	3	2/29/2012	00			
Spectral Medical Inc.	Warrants	Birch Hill Equity Partners Management Inc.	3	4/20/2018	16		13,182	13,182
Spectral Medical Inc.	Warrants	Birch Hill Equity Partners Management Inc.	3	2/29/2012	00			
Spectral Medical Inc.	Warrants	Birch Hill Equity Partners Management Inc.	3	4/20/2018	16		676,707	676,707
Spectral Medical Inc.	Warrants	Birch Hill Equity Partners Management Inc.	3	2/29/2012	00			
Spectral Medical Inc.	Warrants	Birch Hill Equity Partners Management Inc.	3	4/20/2018	16		560,111	560,111
Sphere 3D Corp.	Common Shares	Bo-Linn, Cheemin	4	5/8/2018	46		20,348	15,853
Sphere 3D Corp.	Common Shares	Bo-Linn, Cheemin	4	5/10/2018	57		36,348	16,000
Sphere 3D Corp.	Restricted Stock Unit	Bo-Linn, Cheemin	4	5/10/2018	57		0	-16,000
Sphere 3D Corp.	Common Shares	Mahadevan, Vivekanand	4	5/8/2018	46	0.5		15,853
Sphere 3D Corp.	Common Shares	Mahadevan, Vivekanand	4	5/8/2018	46		25,481	15,853
Sphere 3D Corp.	Common Shares	McEwan, Duncan John	4	5/8/2018	46	0.5		15,853
Sphere 3D Corp.	Common Shares	McEwan, Duncan John	4	5/8/2018	46		20,348	15,853
Sphere 3D Corp.	Common Shares	McEwan, Duncan John	4	5/10/2018	57		28,769	8,421
Sphere 3D Corp.	Restricted Stock Unit	McEwan, Duncan John	4	5/10/2018	57		0	-8,421
Spirit Banner II Capital Corp.	Common Shares	Wood, Matthew Gaden Western	3, 4	5/8/2018	00		1,200,000	
Spirit Banner II Capital Corp.	Options	Wood, Matthew Gaden Western	3, 4	5/8/2018	00			
Spirit Banner II Capital Corp.	Warrants	Wood, Matthew Gaden Western	3, 4	5/8/2018	00			
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	5/7/2018	10	0.145	1,853,458	1,500
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	5/8/2018	10	0.145	1,854,958	1,500
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	5/10/2018	10	0.16	1,856,458	1,500
Sprott Inc.	Options	Lee, Jack Chuck	4	5/6/2018	52		50,000	-50,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	8/15/2017	90		400,000	400,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/5/2018	10	0.295		-5,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/5/2018	10	0.295		-5,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/5/2018	10	0.295	895,000	-5,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	10	0.3	850,000	-45,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	8/15/2017	90			-400,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	8/15/2017	90			-400,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	8/15/2017	90		3,950,000	-400,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	90			14,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	90			14,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	90		1,864,000	14,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	90			-14,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	90			-14,000
Squire Mining Ltd.	Common Shares	Gajdics, Tibor	3, 4	5/10/2018	90		0	-14,000
Squire Mining Ltd.	Common Shares	Moroney, Donna	5	5/7/2018	54	0.08	200,000	100,000
Squire Mining Ltd.	Warrants	Moroney, Donna	5	5/7/2018	54		0	-100,000
SRG Graphite Inc.	Common Shares	NASSA, Abdoul Aziz	4	5/4/2018	10	1.51	8,860	5,310
SRG Graphite Inc.	Common Shares	NASSA, Abdoul Aziz	4	5/7/2018	10	1.5	12,000	3,140
Stelco Holdings Inc.	Common Shares	Samarasekera, Indira Vasanti	4	5/2/2018	00		2,075	
Stella-Jones Inc.	Restricted Stock Units (RSU's)	McManus, Brian	4, 5	5/7/2018	56		200,000	200,000
StorageVault Canada Inc.	Options	Duguid, Robert Michael	4	5/4/2018	50	2.52	125,000	125,000
StorageVault Canada Inc.	Options	Khan, Iqbal	4, 5	5/4/2018	50	2.52	4,057,750	1,045,000

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StorageVault Canada Inc.	Options	Salloum, Johanna	6	5/4/2018	50	2.52	25,000	25,000
StorageVault Canada Inc.	Options	Scott, Steven Robert	4, 5	5/4/2018	50	2.52	4,057,750	1,045,000
StorageVault Canada Inc.	Options	Simpson, Alan Arthur	4	5/4/2018	50	2.52	375,000	125,000
StorageVault Canada Inc.	Options	Tamblyn, Robert Blair	4	5/4/2018	50	2.52	400,000	100,000
Stornoway Diamond Corporation	Common Shares	Kyle, Hume	4	4/18/2017	90		0	-42,750
Stornoway Diamond Corporation	Common Shares	Kyle, Hume	4	8/15/2013	00			
Stornoway Diamond Corporation	Common Shares	Kyle, Hume	4	4/18/2017	90		42,750	42,750
Subscribe Technologies Inc.	Common Shares	PAUL, DICKSON	4, 5	5/9/2018	10	0.09	2,667,000	20,000
Subscribe Technologies Inc.	Common Shares	PAUL, DICKSON	4, 5	5/10/2018	10	0.08	2,677,000	10,000
Subscribe Technologies Inc.	Common Shares	PAUL, DICKSON	4, 5	5/10/2018	10	0.085	2,692,000	15,000
Suncor Energy Inc.	Common Shares	Gardner, Paul Douglas	5	5/7/2018	51	49.36	2,800	2,800
Suncor Energy Inc.	Common Shares	Gardner, Paul Douglas	5	5/7/2018	10	49.995	0	-2,800
Suncor Energy Inc.	Common Shares	Gardner, Paul Douglas	5	5/7/2018	51	34.58	40,000	40,000
Suncor Energy Inc.	Common Shares	Gardner, Paul Douglas	5	5/7/2018	10	50	0	-40,000
Suncor Energy Inc.	Common Shares	Gardner, Paul Douglas	5	5/7/2018	51	32.46	30,000	30,000
Suncor Energy Inc.	Common Shares	Gardner, Paul Douglas	5	5/7/2018	10	50	0	-30,000
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Gardner, Paul Douglas	5	5/7/2018	51	34.58	688,000	-40,000
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Gardner, Paul Douglas	5	5/7/2018	51	32.46	658,000	-30,000
Suncor Energy Inc.	Options Key Contributor/Executive Stock Options	Gardner, Paul Douglas	5	5/7/2018	51	49.36	0	-2,800
Suncor Energy Inc.	Common Shares	Little, Mark Stephen	5	5/4/2018	51	34.58	170,052	130,000
Suncor Energy Inc.	Common Shares	Little, Mark Stephen	5	5/4/2018	10	48.79	40,052	-130,000
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Little, Mark Stephen	5	5/4/2018	51	34.58	1,470,600	-130,000
Suncor Energy Inc.	Common Shares	MacSween, Michael Roderick	5	5/7/2018	51	34.58	75,000	75,000
Suncor Energy Inc.	Common Shares	MacSween, Michael Roderick	5	5/7/2018	10	49.959	0	-75,000
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	MacSween, Michael Roderick	5	5/7/2018	51	34.58	1,085,000	-75,000
Suncor Energy Inc.	Common Shares	Reynish, Stephen David Lile	5	5/4/2018	51	36.04	162,371	160,000
Suncor Energy Inc.	Common Shares	Reynish, Stephen David Lile	5	5/4/2018	10	49.487	2,371	-160,000
Suncor Energy Inc.	Common Shares	Reynish, Stephen David Lile	5	5/4/2018	51	38.9	197,371	195,000
Suncor Energy Inc.	Common Shares	Reynish, Stephen David Lile	5	5/4/2018	10	49.343	2,371	-195,000
Suncor Energy Inc.	Common Shares	Reynish, Stephen David Lile	5	5/4/2018	51	42.03	74,038	71,667
Suncor Energy Inc.	Common Shares	Reynish, Stephen David Lile	5	5/4/2018	10	49.27	2,371	-71,667
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Reynish, Stephen David Lile	5	5/4/2018	51	36.04	768,333	-160,000
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Reynish, Stephen David Lile	5	5/4/2018	51	38.9	573,333	-195,000
Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Reynish, Stephen David Lile	5	5/4/2018	51	42.03	501,666	-71,667
Suncor Energy Inc.	Common Shares	Smith, Kristopher Peter	5	5/7/2018	51	24.5	20,582	7,500
Suncor Energy Inc.	Common Shares	Smith, Kristopher Peter	5	5/7/2018	10	49.56	13,082	-7,500
Suncor Energy Inc.	Common Shares	Smith, Kristopher Peter	5	5/7/2018	51	32.46	63,082	50,000
Suncor Energy Inc.	Common Shares	Smith, Kristopher Peter	5	5/7/2018	10	49.62	13,082	-50,000

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Suncor Energy Inc.	Options - Suncor Energy Option Plan (Post August 1, 2009)	Smith, Kristopher Peter	5	5/7/2018	51	32.46	1,100,000	-50,000
Suncor Energy Inc.	Options Key Contributor/Executive Stock Options	Smith, Kristopher Peter	5	5/7/2018	51	24.5	0	-7,500
Surge Energy Inc.	Common Shares	Colborne, Paul	4	4/16/2018	30	2.07	4,061,231	910
Surge Energy Inc.	Common Shares	Colborne, Paul	4	4/30/2018	30	2.4024	4,071,947	716
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	4/16/2018	30	2.07	14,839	322
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	4/30/2018	30	2.4	15,068	229
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	4/16/2018	30	2.07	15,592	322
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	4/16/2018	30	2.07	15,594	2
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	4/30/2018	30	2.4	15,823	229
SustainCo Inc.	Common Shares	Hazelton, Chris	5	4/10/2018	11		1,280,032	150,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/2/2018	38	8.77	300	300
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/2/2018	38	8.77	0	-300
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/4/2018	38	8.7	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/4/2018	38	8.7	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/5/2018	38	8.78	100	100
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/5/2018	38	8.78	0	-100
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/6/2018	38	8.77	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/6/2018	38	8.77	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/9/2018	38	8.76	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/9/2018	38	8.76	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/11/2018	38	8.76	1,000	1,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/11/2018	38	8.76	0	-1,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/12/2018	38	8.76	1,400	1,400
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/12/2018	38	8.76	0	-1,400
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/13/2018	38	8.76	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/13/2018	38	8.76	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/16/2018	38	8.76	1,200	1,200
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/16/2018	38	8.76	0	-1,200
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/23/2018	38	8.81	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/23/2018	38	8.81	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/25/2018	38	8.83	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/25/2018	38	8.83	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/27/2018	38	8.85	1,600	1,600

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Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	4/27/2018	38	8.85	0	-1,600
Synex International Inc.	Common Shares	McDonnell, Glenn Stanley	4	5/7/2018	10	0.5	250,743	-245,000
Synex International Inc.	Common Shares	Russell, Daniel	3	5/1/2018	10	0.4969	11,728,500	100,000
Synex International Inc.	Common Shares	Russell, Daniel	3	5/2/2018	10	0.5	12,028,500	300,000
Synex International Inc.	Common Shares	Russell, Daniel	3	5/3/2018	10	0.5	12,044,000	15,500
Synex International Inc.	Common Shares	Russell, Daniel	3	5/4/2018	10	0.5	12,047,000	3,000
Synex International Inc.	Common Shares	Russell, Daniel	3	5/7/2018	10	0.5	12,069,000	22,000
Synex International Inc.	Common Shares	Russell, Daniel	3	5/7/2018	10	0.5	12,326,000	257,000
Synex International Inc.	Common Shares	Russell, Daniel	3	5/9/2018	10	0.5	12,328,500	2,500
Synex International Inc.	Options	sunell, gregory jack	3, 4, 7, 1	5/11/2018	50	0.5	245,000	140,000
Taiga Gold Corp.	Common Shares	Jordan, Norman Edward	1	5/7/2018	10		0	-28,559
Tajiri Resources Corp.	Warrants	Norman, Anthony Richard	4	4/28/2016	00			
Tajiri Resources Corp.	Warrants	Norman, Anthony Richard	4	4/28/2016	00			
Tajiri Resources Corp.	Warrants	Norman, Anthony Richard	4	4/28/2016	00		2,000,000	
Tajiri Resources Corp.	Warrants	Norman, Anthony Richard	4	9/24/2016	53	0.05		2,000,000
Tajiri Resources Corp.	Warrants	Norman, Anthony Richard	4	9/24/2016	46	0.05	4,000,000	2,000,000
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/24/2018	38	3.3525	5,100	5,100
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/24/2018	38	3.35	5,700	600
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/24/2018	38	3.3533	7,800	2,100
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/24/2018	38	3.3576	25,000	17,200
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/25/2018	38	3.39	27,700	2,700
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/25/2018	38	3.39	29,400	1,700
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/25/2018	38	3.3974	200,000	170,600
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/26/2018	38	3.47	201,200	1,200
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/26/2018	38	3.47	215,000	13,800
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/30/2018	38	3.565	225,000	10,000
Tamarack Valley Energy Ltd.	Common Shares	Tamarack Valley Energy Ltd.	1	4/30/2018	38		0	-225,000
Tango Mining Limited	Common Shares	Khalaf, Samer	4	5/10/2018	46	0.05	4,200,000	420,000
Tango Mining Limited	Common Shares	Tucker, Terry Lee	4	5/10/2018	46	0.05	10,391,140	231,000
Tanzanian Royalty Exploration Corporation	Common Shares	Harvey, William	4	5/4/2018	10	0.637	250,424	-10,000
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.4	2,857,248	30,000
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.44	2,884,948	27,700
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.42	2,922,248	37,300
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.39	4,700	3,700
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.39	275,819	7,500
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.4	281,319	5,500
Taseko Mines Limited	Common Shares	Hallbauer, Russell Edward	4, 5	5/4/2018	10	1.4	280,169	14,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/6/2018	38	10.85	800	800
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/6/2018	38	10.85	0	-800
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/18/2018	38	11.15	1,300	1,300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/18/2018	38	11.15	0	-1,300
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/20/2018	38	11.31	1,300	1,300
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/20/2018	38	11.31	0	-1,300
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/25/2018	38	11.34	1,200	1,200
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	4/25/2018	38	11.34	0	-1,200
Teck Resources Limited	Deferred Performance Share Units	McFadyen, Kieron	5	3/19/2018	00			
Teck Resources Limited	Deferred Performance Share Units	McFadyen, Kieron	5	5/1/2018	56	33.16	6,600	6,600
Teck Resources Limited	Options	McFadyen, Kieron	5	3/19/2018	00			
Teck Resources Limited	Options	McFadyen, Kieron	5	5/1/2018	50	32.23	30,250	30,250
Teck Resources Limited	Performance Share Units	McFadyen, Kieron	5	3/19/2018	00			
Teck Resources Limited	Performance Share Units	McFadyen, Kieron	5	5/1/2018	56	33.16	6,600	6,600
Temple Hotels Inc.	Common Shares	Carnella, Jonathan Alexander	4	5/7/2018	00			
Temple Hotels Inc.	Common Shares	Turple, Donald Wayne	4	5/7/2018	00			
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/4/2018	10	0.61	10,000	10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/4/2018	38	0.61	0	-10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/6/2018	10	0.61	10,000	10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/6/2018	38	0.61	0	-10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/9/2018	10	0.61	10,000	10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/9/2018	38	0.61	0	-10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/10/2018	10	0.61	10,000	10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/10/2018	38	0.61	0	-10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/12/2018	10	0.61	7,000	7,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/12/2018	38	0.61	0	-7,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/13/2018	10	0.65	10,000	10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/13/2018	38	0.65	0	-10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/16/2018	10	0.63	10,000	10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/16/2018	38	0.63	0	-10,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/20/2018	10	0.63	1,000	1,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/20/2018	38	0.63	0	-1,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/30/2018	10	0.64	8,000	8,000
Terra Firma Capital Corporation	Common Shares	Terra Firma Capital Corporation	1	4/30/2018	38	0.64	0	-8,000
The Descartes Systems Group Inc.	Options	Verhoeve, Michael	5	4/13/2018	50	36.44	75,606	14,544
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Verhoeve, Michael	5	4/13/2018	97		4,781	1,951

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The Green Organic Dutchman Holdings Ltd.	Common Shares	Anderson, Robert	4, 5	5/2/2018	15	3.65	13,534,667	68,000
The Green Organic Dutchman Holdings Ltd.	Warrants	Anderson, Robert	4, 5	5/2/2018	15		34,000	34,000
The Green Organic Dutchman Holdings Ltd.	Common Shares	Battley, Cam	4	3/29/2018	00			
The Green Organic Dutchman Holdings Ltd.	Common Shares	Battley, Cam	4	5/1/2018	00			
The Green Organic Dutchman Holdings Ltd.	Options	Battley, Cam	4	3/29/2018	00			
The Green Organic Dutchman Holdings Ltd.	Options	Battley, Cam	4	5/1/2018	00		250,000	
The Green Organic Dutchman Holdings Ltd.	Warrants	Battley, Cam	4	3/29/2018	00			
The Green Organic Dutchman Holdings Ltd.	Warrants	Battley, Cam	4	5/1/2018	00			
The Green Organic Dutchman Holdings Ltd.	Common Shares	Brody, Daniel	5	5/4/2018	10	4	91,666	25,000
The Green Organic Dutchman Holdings Ltd.	Common Shares	Perron, David	5	5/2/2018	15	3.65	63,000	63,000
The Green Organic Dutchman Holdings Ltd.	Warrants	Perron, David	5	5/2/2018	15		31,500	31,500
The Green Organic Dutchman Holdings Ltd.	Common Shares	POLLOCK, ANDREW CHRISTOPHER	5	5/2/2018	15	3.65	13,350	6,850
The Green Organic Dutchman Holdings Ltd.	Warrants	POLLOCK, ANDREW CHRISTOPHER	5	5/2/2018	15			16,000
The Green Organic Dutchman Holdings Ltd.	Warrants	POLLOCK, ANDREW CHRISTOPHER	5	5/2/2018	15			16,000
The Green Organic Dutchman Holdings Ltd.	Warrants	POLLOCK, ANDREW CHRISTOPHER	5	5/2/2018	15		3,225	3,225
The Green Organic Dutchman Holdings Ltd.	Common Shares	Wilms, Ian Patrick	4, 5	5/2/2018	15	3.65	1,213,000	32,000
The Green Organic Dutchman Holdings Ltd.	Warrants	Wilms, Ian Patrick	4, 5	5/2/2018	15		16,000	16,000
The Green Organic Dutchman Holdings Ltd.	Common Shares	Wren, John	5	5/2/2018	15	3.65	11,000	11,000
The Green Organic Dutchman Holdings Ltd.	Warrants	Wren, John	5	5/2/2018	15		5,500	5,500
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	4/30/2018	38	72.5	600,000	600,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	4/30/2018	38	72.5	0	-600,000
Therma Bright Inc.	Options	Heng, Joseph, Ching-Hiang	4	5/8/2018	50	0.05	1,740,000	150,000
Tilting Capital Corp.	Common Shares	McGrath, Patrick John	4, 5	5/7/2018	37		173,323	-1,559,912
Tilting Capital Corp.	Common Shares	Taylor, Kenneth John	4	5/7/2017	37		5,000	-45,000
Tilting Capital Corp.	Common Shares	Wilmot, Ross	4	5/7/2018	37		0	-192,648
Tilting Capital Corp.	Common Shares	Wilmot, Ross	4	5/7/2018	37		19,264	19,264
Timbercreek Financial Corp.	Common Shares	Altman, Zelick	4	5/7/2018	10	9.14	20,299	1,800
Timbercreek Financial Corp.	Common Shares	Morris, Carrie	5	5/9/2018	10	8.9698		21,000
Timbercreek Financial Corp.	Common Shares	Morris, Carrie	5	5/9/2018	10	8.9698	10,816	2,100
Tinka Resources Limited	Common Shares	Carman, Graham Donald	4, 5	5/9/2018	51	0.35	1,610,785	214,285
Tinka Resources Limited	Options	Carman, Graham Donald	4, 5	5/8/2018	50		3,384,500	1,300,000
Tinka Resources Limited	Options	Carman, Graham Donald	4, 5	5/9/2018	51		3,170,215	-214,285
Tinka Resources Limited	Options	DeMare, Nick	4, 5	5/9/2018	50	0.5	1,090,000	390,000
Tinka Resources Limited	Options	DeMare, Nick	4, 5	5/9/2018	50	0.5	205,000	130,000
Tinka Resources Limited	Common Shares	Fernandez-Baca, Alvaro	5	5/3/2018	51	0.3	390,000	96,666
Tinka Resources Limited	Common Shares	Fernandez-Baca, Alvaro	5	5/3/2018	10	0.464	319,200	-70,800
Tinka Resources Limited	Options	Fernandez-Baca, Alvaro	5	5/3/2018	51	0.3	710,000	-96,666
Tinka Resources Limited	Options	Fernandez-Baca, Alvaro	5	5/8/2018	50	0.5	1,360,000	650,000

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Tinka Resources Limited	Options	Little, Mary Lois	4	5/8/2018	50		820,000	420,000
Tinka Resources Limited	Options	McKeown, Benedict	4	5/8/2018	50		1,500,000	1,000,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	2/25/2004	00			
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	2/25/2004	00			
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	2/25/2004	50	1.98		100,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	2/25/2004	50	1.98		100,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	1/5/2005	50	3.05		50,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	1/5/2005	50	3.05		50,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	9/8/2006	50	1.98		100,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	9/8/2006	50	1.98		100,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	12/21/2007	50	2.25		125,000
Titanium Corporation Inc.	Options	Erasmus, Daniel	5	12/21/2007	50	2.25		125,000
Titanium Transportation Group Inc.	Warrants	De Zen, Vic	6	4/13/2018	55		0	-20,000
Titanium Transportation Group Inc.	Warrants	MALZ, Katarzyna (KASIA)	5	4/13/2018	55		0	-15,000
Toromont Industries Ltd.	Common Shares	Cochrane, Jennifer	5	5/7/2018	51	20.76	21,500	10,000
Toromont Industries Ltd.	Common Shares	Cochrane, Jennifer	5	5/7/2018	10	57.27	21,400	-100
Toromont Industries Ltd.	Common Shares	Cochrane, Jennifer	5	5/7/2018	10	57.25	11,500	-9,900
Toromont Industries Ltd.	Options	Cochrane, Jennifer	5	5/7/2018	51	20.76	87,500	-10,000
Toromont Industries Ltd.	Common Shares	Franklin, Robert	4	5/7/2018	51	17.1	56,350	3,350
Toromont Industries Ltd.	Common Shares	Franklin, Robert	4	5/7/2018	10	57.2	53,000	-3,350
Toromont Industries Ltd.	Options	Franklin, Robert	4	5/7/2018	51	17.1	10,000	-3,350
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	5	5/7/2018	51	26.79	3,670	3,600
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	5	5/7/2018	10	57.25	70	-3,600
Toromont Industries Ltd.	Options	Malinauskas, David Allan	5	5/7/2018	51	26.79	77,600	-3,600
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Ogilvie, Robert M.	4, 5	4/3/2017	56		45,206	186
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Rethy, Katherine Anne	4	3/31/2015	56	32.26		526
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Rethy, Katherine Anne	4	3/31/2015	56	32.26	5,916	531
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Rethy, Katherine Anne	4	4/1/2015	56	32.36		29
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Rethy, Katherine Anne	4	4/1/2015	56	32.36	5,944	28
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Rethy, Katherine Anne	4	7/4/2017	56		14,518	58
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/17/2018	38	0.35	2,828	2,828
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/18/2018	38	0.3555	5,656	2,828
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/19/2018	38	0.36	7,156	1,500
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/20/2018	38	0.37	9,984	2,828
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/23/2018	38	0.345	12,812	2,828
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/24/2018	38	0.36	15,640	2,828
Toscana Energy Income Corporation	Common Shares	Toscana Energy Income Corporation	1	4/25/2018	38	0.36	17,640	2,000
Touchstone Exploration Inc.	Common Shares	Baay, Paul Raymond	4, 5	5/7/2018	30	0.25	1,101,269	8,250
Touchstone Exploration Inc.	Common Shares	Shipka, James	5	5/7/2018	30	0.25	231,284	5,000
Transat A.T. inc.	Unités d'actions différées (UAD)	Delisle, Jean-Pierre	4	5/1/2018	50	8.43		-177

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Transat A.T. inc.	Unités d'actions différées (UAD)	Delisle, Jean-Pierre	4	5/1/2018	50	8.43		-177
TransCanada Corporation	Common Shares	Foster, Christopher T.	7	5/1/2018	00		190	
TransCanada Corporation	Common Shares	Foster, Christopher T.	7	5/1/2018	00		138	
TransCanada Corporation	Executive Share Units	Foster, Christopher T.	7	5/1/2018	00		6,167	
TransCanada Corporation	Common Shares	Hanrahan, Wendy	5	5/9/2018	51	41.65	34,822	24,000
TransCanada Corporation	Common Shares	Hanrahan, Wendy	5	5/9/2018	10	56	10,822	-24,000
TransCanada Corporation	Options Granted May 4, 2011 @ \$41.65 CDN Exp. May 4, 2018	Hanrahan, Wendy	5	5/9/2018	51		0	-24,000
TransCanada Corporation	Common Shares	Poirier, Francois Lionel	5	5/11/2018	10	55.84	19,300	-200
TransGlobe Energy Corporation	Common Shares	Ok, Edward Dale	5	5/11/2018	10	2.46	25,615	20,000
TransGlobe Energy Corporation	Common Shares	Sinclair, Steven William	4	5/11/2018	10	2.48	30,000	20,000
Tree Island Steel Ltd.	Common Shares	Davies, Nancy Adriana	5	5/10/2018	10	3.565	45,500	650
Tree Island Steel Ltd.	Common Shares	Doman, Amar	3, 4	5/7/2018	10	3.0438	8,583,450	8,500
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	3/28/2018	38	2.49	2,800	2,800
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	3/29/2018	38	2.51	3,800	1,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/2/2018	38	2.5	7,900	4,100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/3/2018	38	2.36	8,000	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/4/2018	38	2.4666	8,600	600
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/5/2018	38	2.6031	14,000	5,400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/6/2018	38	2.5061	15,300	1,300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/9/2018	38	2.715	18,500	3,200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/12/2018	38	2.66	19,600	1,100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/13/2018	38	2.6269	23,500	3,900
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/16/2018	38	2.6091	25,800	2,300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/17/2018	38	2.7	28,900	3,100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/18/2018	38	2.68	29,100	200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/19/2018	38	2.62	29,300	200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/23/2018	38	2.61	29,500	200

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/24/2018	38	2.702	33,500	4,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/25/2018	38	2.6912	34,300	800
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/26/2018	38	2.7342	39,300	5,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	4/30/2018	38	2.7364	41,800	2,500
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	5/2/2018	38		0	-41,800
Trevali Mining Corporation	Common Shares	McDONALD, JESSICA	4	5/10/2018	10	1.17	8,700	8,700
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	9/29/2017	38		76,850	-5,480
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/2/2018	38		0	-91,010
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/5/2018	38	3.9076	3,890	3,890
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/5/2018	38	3.94	4,690	800
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/6/2018	38	3.9412	8,990	4,300
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/6/2018	38	3.945	9,190	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/6/2018	38	3.94	9,290	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/9/2018	38	3.923	11,590	2,300
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/9/2018	38	3.93	11,690	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/9/2018	38	3.95	11,790	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/9/2018	38	3.9179	13,190	1,400
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/9/2018	38	3.9429	13,890	700
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/9/2018	38	3.93	13,980	90
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/10/2018	38	3.9326	16,680	2,700
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/10/2018	38	3.94	17,680	1,000
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/10/2018	38	3.94	18,580	900
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/10/2018	38	3.88	18,670	90
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/11/2018	38	3.9417	20,470	1,800
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/11/2018	38	3.95	20,570	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/11/2018	38	3.95	20,770	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/11/2018	38	3.95	20,870	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/11/2018	38	3.95	21,170	300
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/11/2018	38	3.95	21,260	90

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/12/2018	38	3.93	22,760	1,500
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/12/2018	38	3.93	23,760	1,000
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/12/2018	38	3.93	23,860	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/13/2018	38	3.95	24,360	500
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/13/2018	38	3.95	24,460	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/13/2018	38	3.95	24,560	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/13/2018	38	3.95	24,650	90
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/23/2018	38	2.5898	28,650	4,000
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/23/2018	38	2.565	28,850	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/23/2018	38	2.54	29,150	300
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/23/2018	38	2.62	29,250	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/23/2018	38	2.69	29,340	90
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/24/2018	38	2.5564	32,140	2,800
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/24/2018	38	2.555	32,340	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/24/2018	38	2.55	32,940	600
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/24/2018	38	2.56	33,140	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/24/2018	38	2.55	33,340	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/24/2018	38	2.5491	33,440	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/25/2018	38	2.6589	36,030	2,590
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/25/2018	38	2.6673	37,130	1,100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/25/2018	38	2.5495	37,230	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/25/2018	38	2.5357	37,930	700
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/26/2018	38	2.6586	42,230	4,300
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/26/2018	38	2.66	42,330	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/26/2018	38	2.66	42,530	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/26/2018	38	2.6	42,620	90
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/27/2018	38	2.5806	44,420	1,800
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/27/2018	38	2.6	45,420	1,000
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/27/2018	38	2.6	45,520	100
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/27/2018	38	2.6	45,720	200
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/27/2018	38	2.6	45,820	100

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Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/27/2018	38	2.5502	45,910	90
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/30/2018	38	2.5697	49,300	3,390
Trez Capital Mortgage Investment Corporation	Class A Shares	Trez Capital Mortgage Investment Corporation	1	4/30/2018	38	2.5696	49,500	200
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/6/2018	38	2.9419	125,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/9/2018	38	2.9475	250,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/10/2018	38	3.0263	375,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/11/2018	38	3.1608	500,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/12/2018	38	3.152	625,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/13/2018	38	3.2009	750,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/13/2018	38		250,000	-500,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/16/2018	38	3.1554	375,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/17/2018	38	3.1153	500,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/18/2018	38	3.2267	625,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/19/2018	38	3.3685	750,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/20/2018	38	3.2255	875,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/20/2018	38		250,000	-625,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/23/2018	38	3.2563	375,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/24/2018	38	3.3892	500,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/25/2018	38	3.3529	625,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/26/2018	38	3.4019	750,000	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/27/2018	38	3.3752	844,800	94,800
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/27/2018	38		219,800	-625,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/30/2018	38	3.4149	344,800	125,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	4/30/2018	38		219,800	-125,000
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Calvo, Juan Pablo	7	5/4/2018	30	0.02	200,903	265
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Gillespie, Theresa E	3, 4	5/4/2018	30	0.02	16,014,994	74,705
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Horwitz, Brad	7	5/4/2018	30	0.02	1,340,506	6,254
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Kroloff, Mark	4	5/4/2018	30	0.02	224,292	1,046
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Kroloff, Mark	4	5/4/2018	30	0.02	167,234	780
Trilogy International Partners Inc.	Common Shares	Mohamed, Nadir	4	5/4/2018	30	0.02	192,106	896
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Morris, Scott	5	5/4/2018	30	0.02	60,848	284
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	SG Enterprises II, LLC	3	5/4/2018	30	0.02	16,014,994	74,705
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Sherriff, Stewart	7	5/4/2018	30	0.02	51,586	240
Trilogy International Partners Inc.	Redeemable Units of Trilogy International Partners LLC	Stanton, John W	3, 4	5/4/2018	30	0.02	16,014,994	74,705
Trisura Group Ltd.	Common Shares	Partners Value Investments LP	3	5/9/2018	30	24.239	1,078,331	50,000
Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	5/7/2018	10	0.35	10,393,333	1,500

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Triumph Gold Corp.	Common Shares	Palisade Global Investments Ltd.	3	5/8/2018	10	0.35	10,401,833	8,500
True Leaf Medicine International Ltd.	Common Shares	Bomford, Darcy E	3, 4, 5	5/4/2018	11	0.05	22,049,084	-1,000,000
Trueclaim Exploration Inc.	Common Shares	FUOCO, DONATO	5	1/31/2018	00			
Trueclaim Exploration Inc.	Common Shares	FUOCO, DONATO	5	5/3/2018	16	0.1	100,000	100,000
Trueclaim Exploration Inc.	Common Shares	FUOCO, DONATO	5	5/4/2018	97	0.1	200,000	100,000
Trueclaim Exploration Inc.	Warrants	FUOCO, DONATO	5	1/31/2018	00			
Trueclaim Exploration Inc.	Warrants	FUOCO, DONATO	5	5/3/2018	16	0.12	100,000	100,000
Trueclaim Exploration Inc.	Warrants	FUOCO, DONATO	5	5/4/2018	97	0.12	200,000	100,000
TWC Enterprises Limited	Common Shares	Berrill, Fraser Reeve	4	5/9/2018	00		15,226	
TWC Enterprises Limited	Common Shares	SAHI, ANGELA	4	5/9/2018	00			
Uni-Select Inc.	Common Shares	Bibby, David	4	5/3/2018	00			
Uni-Select Inc.	Common Shares	Bibby, David	4	5/8/2018	10	19.64	100	100
Uni-Select Inc.	Common Shares	Bibby, David	4	5/8/2018	10	19.65	700	600
Uni-Select Inc.	Common Shares	Bibby, David	4	5/8/2018	10	19.66	800	100
Uni-Select Inc.	Common Shares	Bibby, David	4	5/8/2018	10	19.67	1,000	200
Uni-Select Inc.	Common Shares	Bussieres, Eric	5	5/8/2018	10	19.612	1,760	1,000
Uni-Select Inc.	Common Shares	Courville, André	4	5/8/2018	10	19.48	8,100	600
Uni-Select Inc.	Common Shares	Courville, André	4	5/8/2018	10	19.53	8,500	400
Uni-Select Inc.	Common Shares	Hall, Jeffrey	4	5/10/2018	10	19.85	3,000	1,000
Uni-Select Inc.	Common Shares	Roy, Richard G	4	5/8/2018	10	19.59	20,000	5,000
Uni-Select Inc.	Common Shares	Uni-Sélect Inc.	1	5/8/2018	38	19.58	32,198	32,198
Uni-Select Inc.	Common Shares	Uni-Sélect Inc.	1	5/8/2018	38	19.58	0	-32,198
Uni-Select Inc.	Common Shares	Uni-Sélect Inc.	1	5/9/2018	38	19.699	28,300	28,300
Uni-Select Inc.	Common Shares	Uni-Sélect Inc.	1	5/9/2018	38	19.699	0	-28,300
Uni-Select Inc.	Common Shares	Uni-Sélect Inc.	1	5/10/2018	38	19.922	32,198	32,198
Uni-Select Inc.	Common Shares	Uni-Sélect Inc.	1	5/10/2018	38	19.922	0	-32,198
Uni-Select Inc.	Common Shares	Welvaert, Dennis	4	5/9/2018	10	19.752	1,800	800
Uni-Select Inc.	Common Shares	Welvaert, Dennis	4	5/9/2018	10	19.742	1,900	100
Uni-Select Inc.	Common Shares	Welvaert, Dennis	4	5/9/2018	10	19.762	2,000	100
Uniserve Communications Corporation	Common Shares	Mitha, Hashim	4	5/9/2018	10	0.245	338,300	-100,000
UNISYNC Corp.	Common Shares Class B Voting Shares	McLean Capital Corporation	3	3/3/2015	36		2,133,600	1,866,900
UNISYNC Corp.	Non-Voting Shares Class A Restricted Equity Shares	McLean Capital Corporation	3	3/3/2015	36		0	-1,866,900
UpSnap, Inc.	Common Shares	UFPB VoodooVox LLC	3	5/7/2018	16	0.15	133,043,751	450,000
UrtheCast Corp.	Common Shares	SMF Investments Limited	3	5/3/2018	00		1,947,700	
UrtheCast Corp.	Subscription Rights Subscription Receipts	SMF Investments Limited	3	5/3/2018	00		28,575,000	
Val-d'Or Mining Corporation (formerly Nunavik Nickel Mines Ltd.)	Common Shares	Shewchuk, Lukas C.W.	4	5/4/2018	10	0.105	343,000	30,000
Val-d'Or Mining Corporation (formerly Nunavik Nickel Mines Ltd.)	Common Shares	Shewchuk, Lukas C.W.	4	5/8/2018	10	0.105	344,000	1,000
Valeant Pharmaceuticals International, Inc.	Common Shares	DE SCHUTTER, Richard Urbain	4	5/3/2018	57	18.07	98,448	25,406
Valeant Pharmaceuticals International, Inc.	Common Shares	DE SCHUTTER, Richard Urbain	4	5/3/2018	97	18.07	94,926	-3,522
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	DE SCHUTTER, Richard Urbain	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	DE SCHUTTER, Richard Urbain	4	5/3/2018	56		48,863	13,881

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Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	DE SCHUTTER, Richard Urbain	4	5/3/2018	57		23,457	-25,406
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Hale, David Robert	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Hale, David Robert	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Hale, David Robert	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Hale, David Robert	4	5/3/2018	56		13,881	13,881
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Karabelas, Argeris	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Karabelas, Argeris	4	5/3/2018	56		56,013	13,881
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Kavanagh, Sarah Baldwin	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Kavanagh, Sarah Baldwin	4	5/3/2018	56		53,745	13,881
Valeant Pharmaceuticals International, Inc.	Common Shares	Papa, Joseph	4, 5	5/3/2018	57	18.31	418,684	186,684
Valeant Pharmaceuticals International, Inc.	Common Shares	Papa, Joseph	4, 5	5/3/2018	97	18.31	326,742	-91,942
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Papa, Joseph	4, 5	5/3/2018	57		300,335	-186,684
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Paulson, John	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Paulson, John	4	5/3/2018	56		36,957	13,881
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Power, Robert Noel	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Power, Robert Noel	4	5/3/2018	56		65,508	13,881
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Robertson, Russel Clark	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Robertson, Russel Clark	4	5/3/2018	56		72,094	13,881
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Ross Sr., Thomas	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Ross Sr., Thomas	4	5/3/2018	56		57,109	13,881
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Wechsler, Amy	4	5/3/2018	56			14,285
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Wechsler, Amy	4	5/3/2018	56		68,888	13,881
Valens Groworks Corp. (formerly Genovation Capital Corp.)	Common Shares	Gervais, David	4	5/8/2018	10	1.37	5,557,785	-5,000
Valens Groworks Corp. (formerly Genovation Capital Corp.)	Common Shares	Gervais, David	4	5/9/2018	10	1.39	5,552,785	-5,000
Vanadium One Energy Corp.	Common Shares	Priestner, William John	5	5/4/2018	10	0.065	909,000	109,000
Vanadium One Energy Corp.	Common Shares	Priestner, William John	5	5/7/2018	10	0.06	992,000	83,000
Vermilion Energy Inc.	Rights Share Awards	Schut, Gerard	5	5/8/2018	56	43.177	33,256	4,938
VersaBank	Common Shares	Hillier, Arnold Edward	4	5/9/2018	10	7.3	0	-214
Vitalhub Corp.	Options	Goffenberg, Brian	6	5/4/2018	50	0.15	1,626,129	850,000
Vitalhub Corp.	Options	Matlow, Danny	6	5/4/2018	50	0.15	2,596,612	1,150,000
Volcanic Gold Mines Inc	Common Shares	Ridgway, Simon T.P.	4	5/3/2018	10	0.135	2,942,167	30,000
Volcanic Gold Mines Inc	Common Shares	Ridgway, Simon T.P.	4	5/3/2018	10	0.14	2,952,167	10,000
Volcanic Gold Mines Inc	Common Shares	Ridgway, Simon T.P.	4	5/4/2018	10	0.14	2,957,167	5,000
Wellness Lifestyles Inc.	Common Shares	Liston, Thomas Craig	4	4/30/2018	00		285,000	

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WesCan Energy Corp.	Common Shares	Cu, Alfredo	4	5/8/2018	10	0.06	491,000	-25,000
WesCan Energy Corp.	Common Shares	Cu, Alfredo	4	5/10/2018	10	0.09	481,000	-10,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/4/2018	11	0.08	430,587	150,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/7/2018	10	0.08	481,587	51,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/7/2018	10	0.08	531,587	50,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/8/2018	10	0.085	592,587	61,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/4/2018	11	0.08	5,563,279	162,500
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/7/2018	10	0.085	5,576,279	13,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	5/7/2018	10	0.08	5,579,779	3,500
Wescan Goldfields Inc.	Common Shares	Star Diamond Corp	3	8/7/2012	37			-11,656,427
Wescan Goldfields Inc.	Common Shares	Star Diamond Corp	3	8/7/2012	37		1,295,560	-11,656,026
West Fraser Timber Co. Ltd.	Common Shares	KETCHAM INVESTMENTS	3	5/9/2018	10	90.25	5,987,718	-175,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/3/2018	38	89.298	293,835	69,247
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/4/2018	38	89.602	363,082	69,247
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/7/2018	38	89.999	432,329	69,247
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/7/2018	38		207,741	-224,588
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/8/2018	38	91.279	237,741	30,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/9/2018	38	90.928	267,741	30,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/10/2018	38	89.952	336,988	69,247
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/11/2018	38	89.975	406,235	69,247
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	5/11/2018	38		237,741	-168,494
Westbridge Energy Corporation	Common Shares	Larkin, Paul Anthony	4	5/8/2018	10	0.115	15,000	10,000
Western Energy Services Corp.	Common Shares	Balkwill, Peter John	5	5/10/2018	57		13,859	1,842
Western Energy Services Corp.	Common Shares	Balkwill, Peter John	5	5/10/2018	10	1.16	12,960	-899
Western Energy Services Corp.	Restricted Share Unit	Balkwill, Peter John	5	5/10/2018	57		5,799	-1,842
Western Energy Services Corp.	Options	Harrison, Richard Merle	5	5/6/2018	52		386,800	-70,000
Western Energy Services Corp.	Options	Trann, David George	5	5/6/2018	52		282,000	-46,667
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/2/2018	38	1.4	24,700	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/3/2018	38	1.4	28,300	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/4/2018	38	1.35	31,900	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/5/2018	38	1.36	35,500	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/6/2018	38		3,600	-31,900
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/6/2018	38	1.35	7,200	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/9/2018	38	1.36		3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/9/2018	38	1.35		3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/9/2018	38	1.36	10,800	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/10/2018	38	1.36	13,800	3,000
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/11/2018	38	1.38	17,400	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/12/2018	38	1.37	21,000	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/13/2018	38	1.39	24,600	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/16/2018	38	1.42	28,200	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/17/2018	38	1.37	31,800	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/18/2018	38	1.4	35,400	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/19/2018	38	1.41	37,700	2,300
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/20/2018	38	1.4	41,300	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/23/2018	38	1.49	44,900	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/23/2018	38		7,200	-37,700
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/24/2018	38	1.5	10,800	3,600

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/25/2018	38	1.43	14,400	3,600
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/27/2018	38	1.53	15,600	1,200
WesternOne Inc.	Common Shares	WesternOne Inc.	1	4/30/2018	38	1.59	19,200	3,600
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/2/2018	38	22.201	27,700	27,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/2/2018	38		0	-27,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/3/2018	38	22.291	18,300	18,300
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/3/2018	38		0	-18,300
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/4/2018	38	22.334	6,100	6,100
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/4/2018	38		0	-6,100
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/5/2018	38	22.9	85,400	85,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/5/2018	38		0	-85,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/10/2018	38	22.99	500	500
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/10/2018	38		0	-500
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/13/2018	38	23.521	27,400	27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/13/2018	38		0	-27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/16/2018	38	23.686	27,400	27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/16/2018	38		0	-27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/17/2018	38	23.836	27,400	27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/17/2018	38		0	-27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/18/2018	38	23.875	27,400	27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/18/2018	38		0	-27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/19/2018	38	23.952	27,400	27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/19/2018	38		0	-27,400
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/20/2018	38	23.994	60,550	60,550
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	4/20/2018	38		0	-60,550
X-Terra Resources Inc.	Bons de souscription	Caisse de dépôt et placement du Québec	3	1/23/2018	00			
X-Terra Resources Inc.	Bons de souscription	Caisse de dépôt et placement du Québec	3	1/23/2018	11	0.3	662,500	662,500
X-Terra Resources Inc.	Common Shares	Caisse de dépôt et placement du Québec	3	1/23/2018	00			
X-Terra Resources Inc.	Common Shares	Caisse de dépôt et placement du Québec	3	1/23/2018	11	0.2	1,325,000	1,325,000
Ximen Mining Corp.	Options	Davis, Roy	4	4/4/2017	00			
Xtierra Inc.	Common Shares	Gallagher, Timothy David	3, 4, 5	5/9/2018	10	0.045	604,000	131,000
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	5/7/2018	38	0.25	70,000	70,000
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	5/7/2018	38		0	-70,000
ZCL Composites Inc.	Common Shares	Bachmeier, Ronald Marvin	7	5/8/2018	10	7.9044	145,310	1,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
ZCL Composites Inc.	Options	Demuth, Katherine Lynne	5	5/9/2018	50	10.2	105,297	24,091
ZCL Composites Inc.	Options	Pejs, Michael	5	5/9/2018	50	10.2	47,000	9,000
Zenith Capital Corp.	Options	McNeill, Kelly Bret	4	5/6/2018	52		245,000	-30,000
Zenyatta Ventures Ltd.	Options	gardner, paul howard	7	5/3/2018	00		200,000	
Zephyr Minerals Ltd.	Common Shares	Komperdo, Loren	4, 5	5/9/2018	10	0.23	1,768,667	-120,000
Zephyr Minerals Ltd.	Common Shares	Komperdo, Loren	4, 5	3/23/2011	00			
Zephyr Minerals Ltd.	Common Shares	Komperdo, Loren	4, 5	5/9/2018	10	0.23	120,000	120,000
ZTEST Electronics Inc.	Common Shares	Brown, William James	4	5/2/2018	10	0.45	0	-8,500

Chapter 11

IPOs, New Issues and Secondary Financings

INVESTMENT FUNDS

Issuer Name:

Advanced Folio Fund
Aggressive Folio Fund
Balanced Folio Fund
Canadian Dividend Class (Laketon)
Canadian Equity Class
Canadian Equity Class (Laketon)
Canadian Equity Fund (Laketon)
Canadian Growth Class (GWLIM)
Canadian Growth Fund (GWLIM)
Canadian Low Volatility Class (London Capital)
Canadian Value Class (FGP)
Cash Management Class
Conservative Folio Fund
Core Bond Fund (Portico)
Core Plus Bond Fund (Portico)
Corporate Bond Fund (Portico)
Diversified Fixed Income Folio Fund
Dividend Class (GWLIM)
Dividend Fund (GWLIM)
Focused Canadian Equity Class (CGOV)
Global All Cap Equity Fund (Setanta)
Global Dividend Equity Class (Setanta)
Global Dividend Fund (Setanta)
Global Equity Class (Setanta)
Global Infrastructure Equity Fund (London Capital)
Global Low Volatility Fund (ILIM)
Global Monthly Income Fund (London Capital)
Global Real Estate Fund (London Capital)
Growth and Income Class (GWLIM)
Growth and Income Fund (GWLIM)
Income Fund (Portico)
International Core Equity Class (Putnam)
International Core Equity Fund (Putnam)
Mackenzie Canadian Balanced Fund
Mackenzie Canadian Growth Fund
Mackenzie Canadian Large Cap Dividend Fund
Mackenzie Canadian Large Cap Growth Fund
Mackenzie Canadian Resource Fund
Mackenzie Emerging Markets Class
Mackenzie Floating Rate Income Fund
Mackenzie Global Growth Class
Mackenzie Ivy European Class
Mackenzie Ivy Foreign Equity Fund
Mackenzie Ivy Global Balanced Fund
Mackenzie Precious Metals Class
Mackenzie Strategic Income Fund
Mackenzie US All Cap Growth Fund
Mackenzie US Mid Cap Growth Class
Mid Cap Canada Fund (GWLIM)
Moderate Folio Fund
Money Market Fund
Monthly Income Fund (London Capital)
North American High Yield Bond Fund (Putnam)

North American Specialty Class
Real Return Bond Fund (Portico)
Short Term Bond Fund (Portico)
U.S. and International Equity Class
U.S. and International Specialty Class
U.S. Dividend Class (GWLIM)
U.S. Dividend Fund (GWLIM)
U.S. Low Volatility Fund (Putnam)
U.S. Value Class (Putnam)
U.S. Value Fund (London Capital)
U.S. Value Fund (Putnam)
Principal Regulator – Ontario

Type and Date:

Combined Preliminary and Pro Forma Simplified
Prospectus dated May 4, 2018

NP 11-202 Preliminary Receipt dated May 9, 2018

Offering Price and Description:

Quadrus series, H Series, L Series, N Series, QF Series,
QFW Series, D5 Series, H5 Series, L5 Series, N5 series,
QF5 Series, HW5 Series, QFW5 Series, D8 Series, H8
Series, L8 Series, N8 Series, HW8 Series and HW Series
Securities

Underwriter(s) or Distributor(s):

Quadrus Investment Services Ltd.
Quadrus Investment Services Inc.

Promoter(s):

Mackenzie Financial Corporation
Project #2767715

Issuer Name:

Arcs of Fire Tactical Balanced Fund
Principal Regulator – Alberta (ASC)

Type and Date:

Amendment #2 to Final Simplified Prospectus dated May
11, 2018

Received on May 11, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Arcs of Fire Investments Ltd.
Project #2672976

Issuer Name:

Canadian Banc Corp.
Principal Regulator – Ontario

Type and Date:

Preliminary Short Form Prospectus (NI 44-101) dated May 8, 2018

NP 11-202 Preliminary Receipt dated May 8, 2018

Offering Price and Description:

Offering: \$ *- Preferred Shares and * Class A Shares

Price: \$ * per Preferred Share and Class A Share

Underwriter(s) or Distributor(s):

National Bank Financial Inc.
CIBC Worldmarkets Inc.
Scotia Capital Inc.
RBC Dominion Securities Inc.
TD Securities Inc.
BMO Nesbitt Burns Inc.
Cannacord Genuity Corp.
Echelon Wealth Partners Inc.
Industrial Alliance Securities Inc.
GMP Securities L.P.
Raymond James Ltd.

Desjardins Securities Inc.
Mackie Research Capital Corporation
Manulife Securities Incorporated

Promoter(s):

N/A

Project #2768322

Issuer Name:

Canadian Banc Corp.
Principal Regulator – Ontario

Type and Date:

Amendment dated May 9, 2018 to Preliminary Short Form Prospectus (NI 44-101) dated May 8, 2018

NP 11-202 Preliminary Receipt dated May 9, 2018

Offering Price and Description:

Offerings: \$68,065,250 – 2,915,000 Preferred Shares and 2,915,000 Class A Shares

Price: \$10.00 Preferred Share and \$13.35 per Class A Share

Underwriter(s) or Distributor(s):

National Bank Financial Inc.
CIBC Worldmarkets Inc.
Scotia Capital Inc.
RBC Dominion Securities Inc.
TD Securities Inc.
BMO Nesbitt Burns Inc.
Cannacord Genuity Corp.
Echelon Wealth Partners Inc.
Industrial Alliance Securities Inc.
GMP Securities L.P.
Raymond James Ltd.

Desjardins Securities Inc.
Mackie Research Capital Corporation
Manulife Securities Incorporated

Promoter(s):

N/A

Project #2768322

Issuer Name:

Desjardins Global Equity Fund
Desjardins SocieTerra Emerging Markets Equity Fund
Desjardins SocieTerra International Equity Fund
Desjardins SocieTerra Positive Change Fund
Principal Regulator – Quebec

Type and Date:

Preliminary Simplified Prospectus dated May 7, 2018

NP 11-202 Preliminary Receipt dated May 8, 2018

Offering Price and Description:

A, I, C, F, D, T, R, S Class Units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Desjardins Investments Inc.

Project #2767861

Issuer Name:

Fidelity Europe Fund
Principal Regulator – Ontario

Type and Date:

Amendment #6 to Annual Information Form dated May 14, 2018

Received on May 14, 2018

Offering Price and Description:

Series A, B, E1, E2, E3, E4, F, O, P1, P2, P3 units

Underwriter(s) or Distributor(s):

Fidelity Investments Canada ULC
Fidelity Investments Canada Limited

Promoter(s):

N/A

Project #2675619

Issuer Name:

Fidelity Europe Class
Principal Regulator – Ontario

Type and Date:

Amendment #2 to Annual Information Form dated May 14, 2018

Offering Price and Description:

Series A, B, E1, E2, E3, E4, F, P1, P2, P3, P4, P5 shares

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Fidelity Investments Canada ULC

Project #2729743

Issuer Name:

NEI Canadian Bond Fund
 NEI Conservative Yield Portfolio
 NEI Environmental Leaders Fund
 NEI Ethical Balanced Fund
 NEI Ethical Canadian Equity Fund
 NEI Ethical Global Dividend Fund
 NEI Ethical Global Equity Fund
 NEI Ethical International Equity Fund
 NEI Ethical Select Balanced Portfolio
 NEI Ethical Select Conservative Portfolio
 NEI Ethical Select Growth Portfolio
 NEI Ethical Select Income Portfolio
 NEI Ethical Special Equity Fund
 NEI Ethical U.S. Equity Fund (formerly NEI Ethical American Multi-Strategy Fund)
 NEI Generational Leaders Fund
 NEI Global Strategic Yield Fund
 NEI Global Total Return Bond Fund
 NEI Global Value Fund
 NEI Money Market Fund
 NEI Northwest Canadian Dividend Fund
 NEI Northwest Canadian Equity Fund
 NEI Northwest Emerging Markets Fund
 NEI Northwest Global Equity Fund
 NEI Northwest Growth and Income Fund
 NEI Northwest Specialty Equity Fund
 NEI Northwest Specialty Global High Yield Bond Fund
 NEI Northwest Tactical Yield Fund
 NEI Northwest U.S. Dividend Fund
 NEI Select Balanced Portfolio
 NEI Select Conservative Portfolio
 NEI Select Global Maximum Growth Portfolio
 NEI Select Growth Portfolio
 Principal Regulator – Ontario

Type and Date:

Combined Preliminary and Pro Forma Simplified Prospectus dated May 4, 2018
 NP 11-202 Preliminary Receipt dated May 9, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

Credential Asset Management Inc.

Promoter(s):

N/A

Project #2767696

Issuer Name:

Norrep Fund
 Norrep High Income Fund
 Norrep Short Term Income Fund
 Norrep Income Growth Class
 Norrep II Class)
 Norrep US Dividend Plus Class
 Norrep Energy Plus Class
 Norrep Entrepreneurs Class
 Norrep Global Income Growth Class
 Norrep Tactical Opportunities Class
 Norrep Premium Growth Class
 Norrep Core Global (formerly, Norrep Core Global Pool)
 Norrep Core Canadian (formerly, Norrep Core Canadian Pool)
 Principal Regulator – Alberta (ASC)

Type and Date:

Amendment #3 to Final Simplified Prospectus dated May 11, 2018

Received on May 11, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Norrep Investment Management Group Inc.

Project #2633398

Issuer Name:

RBC Strategic Global Dividend Leaders ETF
 Principal Regulator – Ontario

Type and Date:

Amendment #1 to Final Long Form Prospectus dated May 9, 2018

Received on May 9, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

RBC Global Asset Management Inc.

Promoter(s):

RBC Global Asset Management Inc.

Project #2741476

Issuer Name:

Sun Life BlackRock Canadian Balanced Class
 Sun Life BlackRock Canadian Composite Equity Class
 Sun Life BlackRock Canadian Equity Class
 Sun Life Dynamic Energy Fund
 Sun Life Dynamic Equity Income Class
 Sun Life Dynamic Strategic Yield Class
 Sun Life Granite Balanced Class
 Sun Life Granite Balanced Growth Class
 Sun Life Granite Conservative Class
 Sun Life Granite Growth Class
 Sun Life Granite Moderate Class
 Sun Life JPMorgan International Equity Fund
 Sun Life MFS Canadian Equity Class
 Sun Life MFS Dividend Income Class
 Sun Life MFS Global Growth Class
 Sun Life MFS Global Growth Fund
 Sun Life MFS Global Total Return Fund
 Sun Life MFS Global Value Fund
 Sun Life MFS International Growth Class
 Sun Life MFS International Growth Fund
 Sun Life MFS International Value Fund
 Sun Life MFS Monthly Income Fund
 Sun Life MFS U.S. Growth Class
 Sun Life MFS U.S. Growth Fund
 Sun Life MFS U.S. Value Fund
 Sun Life Milestone 2020 Fund
 Sun Life Milestone 2025 Fund
 Sun Life Milestone 2030 Fund
 Sun Life Milestone 2035 Fund
 Sun Life Money Market Class
 Sun Life Money Market Fund
 Sun Life Multi-Strategy Bond Fund
 Sun Life Schroder Emerging Markets Fund
 Sun Life Sentry Value Class
 Principal Regulator – Ontario

Type and Date:

Combined Preliminary and Pro Forma Simplified
 Prospectus dated May 7, 2018
 NP 11-202 Preliminary Receipt dated May 8, 2018

Offering Price and Description:

Series A, T5, T8, F, F5, F8, I, O securities

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Sun Life Global Investments (Canada) Inc.

Project #2768019

Issuer Name:

BMO Ascent Balanced Portfolio
 BMO Ascent Conservative Portfolio
 BMO Ascent Equity Growth Portfolio
 BMO Ascent Growth Portfolio
 BMO Ascent Income Portfolio
 BMO Asian Growth and Income Class
 BMO Asian Growth and Income Fund
 BMO Asset Allocation Fund
 BMO Balanced ETF Portfolio
 BMO Balanced ETF Portfolio Class
 BMO Balanced Yield Plus ETF Portfolio
 BMO Bond Fund
 BMO Canadian Equity Class
 BMO Canadian Equity ETF Fund
 BMO Canadian Equity Fund
 BMO Canadian Large Cap Equity Fund
 BMO Canadian Small Cap Equity Fund
 BMO Canadian Stock Selection Fund
 BMO Concentrated Global Equity Fund
 BMO Conservative ETF Portfolio
 BMO Core Bond Fund
 BMO Core Plus Bond Fund
 BMO Covered Call Canada High Dividend ETF Fund
 BMO Covered Call Canadian Banks ETF Fund
 BMO Covered Call Europe High Dividend ETF Fund
 BMO Covered Call U.S. High Dividend ETF Fund
 BMO Crossover Bond Fund
 BMO Diversified Income Portfolio
 BMO Dividend Class
 BMO Dividend Fund
 BMO Emerging Markets Bond Fund
 BMO Emerging Markets Fund
 BMO Equity Growth ETF Portfolio
 BMO Equity Growth ETF Portfolio Class
 BMO European Fund
 BMO Global Multi-Sector Bond Fund
 BMO Fixed Income ETF Portfolio
 BMO Fixed Income Yield Plus ETF Portfolio
 BMO Floating Rate Income Fund
 BMO Fossil Fuel Free Fund
 BMO FundSelect Balanced Portfolio
 BMO FundSelect Equity Growth Portfolio
 BMO FundSelect Growth Portfolio
 BMO FundSelect Income Portfolio
 BMO Global Balanced Fund
 BMO Global Diversified Fund
 BMO Global Dividend Class
 BMO Global Dividend Fund
 BMO Global Energy Class
 BMO Global Equity Class
 BMO Global Equity Fund
 BMO Global Growth & Income Fund
 BMO Global Infrastructure Fund
 BMO Global Low Volatility ETF Class
 BMO Global Monthly Income Fund
 BMO Global Small Cap Fund
 BMO Global Strategic Bond Fund
 BMO Greater China Class
 BMO Growth & Income Fund
 BMO Growth ETF Portfolio
 BMO Growth ETF Portfolio Class
 BMO Growth Opportunities Fund

BMO Income ETF Portfolio
 BMO Income ETF Portfolio Class
 BMO International Equity ETF Fund
 BMO International Equity Fund
 BMO International Value Class
 BMO International Value Fund
 BMO Japan Fund
 BMO Laddered Corporate Bond Fund
 BMO LifeStage Plus 2022 Fund
 BMO LifeStage Plus 2025 Fund
 BMO LifeStage Plus 2026 Fund
 BMO LifeStage Plus 2030 Fund
 BMO Money Market Fund
 BMO Monthly Dividend Fund Ltd.
 BMO Monthly High Income Fund II
 BMO Monthly Income Fund
 BMO Mortgage and Short-Term Income Fund
 BMO Multi-Factor Equity Fund
 BMO North American Dividend Fund
 BMO Precious Metals Fund
 BMO Preferred Share Fund
 BMO Resource Fund
 BMO Retirement Balanced Portfolio
 BMO Retirement Conservative Portfolio
 BMO Retirement Income Portfolio
 BMO Risk Reduction Equity Fund
 BMO Risk Reduction Fixed Income Fund
 BMO SelectClass Balanced Portfolio
 BMO SelectClass Equity Growth Portfolio
 BMO SelectClass Growth Portfolio
 BMO SelectClass Income Portfolio
 BMO SelectTrust Balanced Portfolio
 BMO SelectTrust Conservative Portfolio
 BMO SelectTrust Equity Growth Portfolio
 BMO SelectTrust Fixed Income Portfolio
 BMO SelectTrust Growth Portfolio
 BMO SelectTrust Income Portfolio
 BMO Tactical Balanced ETF Fund
 BMO Tactical Dividend ETF Fund
 BMO Tactical Global Asset Allocation ETF Fund
 BMO Tactical Global Bond ETF Fund
 BMO Tactical Global Equity ETF Fund
 BMO Tactical Global Growth ETF Fund
 BMO Target Education 2020 Portfolio
 BMO Target Education 2025 Portfolio
 BMO Target Education 2030 Portfolio
 BMO Target Education 2035 Portfolio
 BMO Target Education Income Portfolio
 BMO U.S. Dividend Fund
 BMO U.S. Dollar Balanced Fund
 BMO U.S. Dollar Dividend Fund
 BMO U.S. Dollar Equity Index Fund
 BMO U.S. Dollar Money Market Fund
 BMO U.S. Dollar Monthly Income Fund
 BMO U.S. Equity Class
 BMO U.S. Equity ETF Fund
 BMO U.S. Equity Fund
 BMO U.S. Equity Plus Fund
 BMO U.S. High Yield Bond Fund
 BMO U.S. Small Cap Fund
 BMO Women in Leadership Fund
 BMO World Bond Fund
 Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated May 4, 2018
 NP 11-202 Receipt dated May 11, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

BMO Investments Inc.

Promoter(s):

BMO Investments Inc.

Project #2744768

Issuer Name:

BMO Private Canadian Core Equity Portfolio (formerly,
 BMO Private Canadian Conservative Equity Portfolio)
 BMO Private Canadian Corporate Bond Portfolio
 BMO Private Canadian Income Equity Portfolio
 BMO Private Canadian Mid-Term Bond Portfolio
 BMO Private Canadian Money Market Portfolio
 BMO Private Canadian Short-Term Bond Portfolio
 BMO Private Canadian Special Equity Portfolio
 BMO Private Diversified Yield Portfolio
 BMO Private Emerging Markets Equity Portfolio
 BMO Private International Equity Portfolio
 BMO Private U.S. Equity Portfolio
 BMO Private U.S. Growth Equity Portfolio
 BMO Private U.S. Special Equity Portfolio
 Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated May 4, 2018
 NP 11-202 Receipt dated May 8, 2018

Offering Price and Description:

units @ net asset value

Underwriter(s) or Distributor(s):

BMO Private Investment Counsel Inc.

Promoter(s):

N/A

Project #2753310

Issuer Name:

Dynamic Global Equity Private Pool Class
 Principal Regulator – Ontario

Type and Date:

Amendment #3 to Final Simplified Prospectus and
 Amendment #4 to AIF dated May 4, 2018
 NP 11-202 Receipt dated May 9, 2018

Offering Price and Description:

N/A

Underwriter(s) or Distributor(s):

1832 Asset Management L.P.

Promoter(s):

1832 Asset Management L.P.

Project #2609787

Issuer Name:

EdgePoint Canadian Growth & Income Portfolio
EdgePoint Canadian Portfolio
EdgePoint Global Growth & Income Portfolio
EdgePoint Global Portfolio
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated May 4, 2018
NP 11-202 Receipt dated May 11, 2018

Offering Price and Description:

Series A Units, Series B Units, Series F Units, Series I Units, Series A(N) Units, Series B(N) Units and Series F(N) Units @ net asset value

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2742445

Issuer Name:

First Asset Canadian Convertible Bond Fund
First Asset Canadian Dividend Opportunity Fund
First Asset Canadian Energy Convertible Debenture Fund
First Asset REIT Income Fund
First Asset Utility Plus Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated May 8, 2018
NP 11-202 Receipt dated May 9, 2018

Offering Price and Description:

Class A and Class F units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2749343

Issuer Name:

Goodwood Capital Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated May 11, 2018
NP 11-202 Receipt dated May 14, 2018

Offering Price and Description:

Class A and F units @ net asset value

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2753453

Issuer Name:

Harmony Canadian Equity Pool
Harmony Canadian Fixed Income Pool
Harmony Diversified Income Pool
Harmony Global Fixed Income Pool
Harmony Money Market Pool
Harmony Overseas Equity Pool
Harmony U.S. Equity Pool
Harmony Balanced Growth Portfolio
Harmony Balanced Growth Portfolio Class
Harmony Balanced Portfolio
Harmony Conservative Portfolio
Harmony Growth Plus Portfolio
Harmony Growth Plus Portfolio Class
Harmony Growth Portfolio
Harmony Growth Portfolio Class
Harmony Maximum Growth Portfolio
Harmony Maximum Growth Portfolio Class
Harmony Yield Portfolio
Principal Regulator – Ontario

Type and Date:

Amendment #1 to Final Simplified Prospectus dated April 26, 2018

NP 11-202 Receipt dated May 9, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2629761

Issuer Name:

MM Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated May 11, 2018
NP 11-202 Receipt dated May 14, 2018

Offering Price and Description:

Series A, Series D and Series F Units

Underwriter(s) or Distributor(s):

Spartan Fund Management Inc.

Promoter(s):

Spartan Fund Management Inc.

Project #2755187

Issuer Name:

Purpose Enhanced Dividend Fund

Principal Regulator – Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus and

Amendment #3 to AIF dated April 25, 2018

NP 11-202 Receipt dated May 9, 2018

Offering Price and Description:

–

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Purpose Investments Inc.

Project #2674554

Issuer Name:

Silver Bullion Trust

Principal Regulator – Ontario

Type and Date:

Final Long Form Prospectus dated May 4, 2018

NP 11-202 Receipt dated May 8, 2018

Offering Price and Description:

ETF Non-Currency Hedged Units and ETF Currency

Hedged Units @ net asset value

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2754404

NON-INVESTMENT FUNDS

Issuer Name:

Bank of Montreal
Principal Regulator – Ontario

Type and Date:

Preliminary Shelf Prospectus dated May 7, 2018
NP 11-202 Preliminary Receipt dated May 8, 2018

Offering Price and Description:

\$8,000,000,000.00
Debt Securities (subordinated indebtedness)
Common Shares
Class A Preferred Shares
Class B Preferred Shares

Underwriter(s) or Distributor(s):

–

Promoter(s):

–

Project #2768048

Issuer Name:

Emera Incorporated
Principal Regulator – Nova Scotia

Type and Date:

Preliminary Shelf Prospectus dated May 9, 2018
NP 11-202 Preliminary Receipt dated May 9, 2018

Offering Price and Description:

\$750,000,000.00 – First Preferred Shares, Second Preferred Shares, Debt Securities (unsecured)

Underwriter(s) or Distributor(s):

–

Promoter(s):

–

Project #2769119

Issuer Name:

Good2Go Corp.
Principal Regulator – Ontario

Type and Date:

Preliminary CPC Prospectus (TSX-V) dated May 10, 2018
NP 11-202 Preliminary Receipt dated May 14, 2018

Offering Price and Description:

Offering: \$220,000.00 – 2,200,000 Common Shares
Price: \$0.10 per Common Share

Underwriter(s) or Distributor(s):

Haywood Securities Inc.

Promoter(s):

James Cassina

Project #2771540

Issuer Name:

Lithium Energi Exploration Inc.
Principal Regulator – Ontario

Type and Date:

Preliminary Shelf Prospectus dated May 4, 2018
NP 11-202 Preliminary Receipt dated May 9, 2018

Offering Price and Description:

\$30,000,000.00 – Common Shares, Warrants, Units, Debentures

Underwriter(s) or Distributor(s):

–

Promoter(s):

–

Project #2769093

Issuer Name:

UrtheCast Corp.
Principal Regulator – British Columbia

Type and Date:

Preliminary Short Form Prospectus dated May 9, 2018
NP 11-202 Preliminary Receipt dated May 9, 2018

Offering Price and Description:

Senior Unsecured Convertible Debentures in the aggregate principal amount of \$20,659,205.00 Issuable on Conversion of 59,026,300 Subscription Receipts
32,279,996 Common Share Purchase Warrants Issuable on Conversion of 59,026,300 Subscription Receipts

Underwriter(s) or Distributor(s):

Clarus Securities Inc.
Canaccord Genuity Corp.

Promoter(s):

–

Project #2769333

Issuer Name:

BSR Real Estate Investment Trust
Principal Regulator – Ontario

Type and Date:

Final Long Form Prospectus dated May 11, 2018
NP 11-202 Receipt dated May 11, 2018

Offering Price and Description:

US\$135,000,000.00 – 13,500,000 Units; Price US\$10.00
per Unit

Underwriter(s) or Distributor(s):

BMO Nesbitt Burns Inc.
CIBC World Markets Inc.
RBC Dominion Securities Inc.
Scotia Capital Inc.
TD Securities Inc.
National Bank Financial Inc.
Raymond James Ltd.
Canaccord Genuity Corp.
Desjardins Securities Inc.
Industrial Alliance Securities Inc.
Echelon Wealth Partners Inc.

Promoter(s):

BSR Trust, LLC

Project #2755819

Issuer Name:

Capital Power Corporation
Principal Regulator – Alberta (ASC)

Type and Date:

Final Shelf Prospectus dated May 11, 2018
NP 11-202 Receipt dated May 11, 2018

Offering Price and Description:

\$3,000,000,000.00 – Common Shares, Preference Shares,
Subscription Receipts, Debt Securities

Underwriter(s) or Distributor(s):

–

Promoter(s):

–

Project #2767346

Issuer Name:

Quendale Capital Corp.
Principal Regulator – British Columbia

Type and Date:

Final CPC Prospectus (TSX-V) dated May 10, 2018
NP 11-202 Receipt dated May 11, 2018

Offering Price and Description:

OFFERING: \$202,500.00 (1,350,000 COMMON SHARES)
Price: \$0.15 per Common Share

Underwriter(s) or Distributor(s):

Haywood Securities Inc.

Promoter(s):

Ionic Securities Ltd.

Project #2739521

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Chapter 12

Registrations

12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
Consent to Suspension (Pending Surrender)	TPCM Inc.	Exempt Market Dealer	March 23, 2018
New Registration	Christopher Ross Cunningham Investments Ltd.	Portfolio Manager, Investment Fund Manager and Exempt Market Dealer	May 11, 2018

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Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 TSX Inc. – Trading of US-Listed Securities on TSX International Board – Notice of Withdrawal

TSX INC.

NOTICE OF WITHDRAWAL

TRADING OF US-LISTED SECURITIES ON TSX INTERNATIONAL BOARD

In accordance with the Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits thereto (the "Protocol") in Schedule 10 of the Ontario Securities Commission ("OSC") Recognition Order (the "Recognition Order") recognizing Toronto Stock Exchange ("TSX") as an exchange, TSX has withdrawn the Notice of Proposed Changes and Request for Comments published on December 22, 2016 in relation to the posting of US-listed securities for trading on the TSX International Board. To the extent the TSX decides to pursue the proposal again, it will be published for comment in accordance with the requirements of the Protocol.

13.2.2 Nasdaq CXC Limited – Notice of Proposed Changes and Request for Comment

NASDAQ CXC LIMITED

NOTICE OF PROPOSED CHANGES AND REQUEST FOR COMMENT

Nasdaq CXC Limited (Nasdaq Canada) has announced plans to implement the changes described below in Q4 2018 subject to regulatory approval. Nasdaq Canada is publishing this Notice of Proposed Changes in accordance with the requirements set out in the Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto (Exchange Protocol). Pursuant to the Exchange Protocol, market participants are invited to provide the Commission with comment on the proposed changes.

Comment on the proposed changes should be in writing and submitted by June 18, 2018 to:

Market Regulation Branch
Ontario Securities Commission
20 Queen Street West, 22nd Floor
Toronto, ON M5H 3S8
Fax 416 595 8940
Email: marketregulation@osc.gov.on.ca

And to

Matt Thompson
Chief Compliance Officer
Nasdaq CXC Limited
25 York St., Suite 900
Toronto, ON M5J 2V5
Email: matthew.thompson@nasdaq.com

Comments received will be made public on the OSC website. Upon completion of the Review by OSC staff, and in the absence of any regulatory concerns, notice will be published to confirm the completion of Commission staff's review and to outline the intended implementation date of the changes.

NASDAQ CXC LIMITED

NOTICE OF PROPOSED CHANGES

Nasdaq Canada has announced plans to introduce the following changes in Q4, 2018 subject to regulatory approval. Nasdaq Canada is publishing this Notice of Proposed Changes in accordance with the requirements set out in the Exchange Protocol.

Summary of Proposed Changes

A) Minimum Acceptable Quantity at the Touch (MAQ ATT)

Nasdaq Canada is proposing to introduce the MAQ ATT order for the CXD Trading Book. The MAQ ATT is an active order which specifies a minimum size quantity that must be met by individual contra-side resting orders at the NBBO (at the touch) in order to trade, after all other better priced orders have been displaced in the CXD Trading Book. The minimum size requirement is only required to be met by individual orders posted at the NBBO; the MAQ ATT will trade against any sized order that is posted at a price level that is better than the NBBO. If the MAQ ATT minimum size quantity is not met at the NBBO after all other better prices orders have been displaced the order will be cancelled back to the Member.

Members are able to customize the minimum size quantity of MAQ ATT orders to meet their trading objectives. MAQ ATT orders can only include multiples of Board Lots. If the remaining amount of shares of an MAQ ATT order becomes less than the minimum size quantity specified by the order, the minimum size quantity will become the amount of remaining shares. Execution of MAQ ATT orders is only available at the NBBO if the size of the MAQ ATT order meets the minimum size requirement set by IIROC.¹ If the order does not meet IIROC's minimum size requirement the order will be cancelled back.

How it Works

Example 1:

	BID Size	BID	ASK	ASK Size
NBBO		10.10	10.13	
CXD Book			10.11	500
			10.12	500
			10.13	6000
Total Ask Size on CXD at NBBO				(6,000)

Action: MAQ ATT buy order is entered for 10,000 shares with a 10.13 limit price and a 6000 share minimum size requirement.

Result: The order executes against the 500 shares offered at 10.11 and against the 500 shares offered at 10.12 leaving 9,000 shares remaining. Because there is a resting order at 10.13 that satisfies the order's minimum size requirement of 6,000, 6,000 shares of the order are executed at 10.13 and the remaining 3,000 shares are cancelled back.

Example 2:

	BID Size	BID	ASK	ASK Size
NBBO		10.10	10.13	
CXD Book			10.11	500
			10.12	500
			10.13	5,000 (P1)
			10.13	5,000 (P2)
Total Ask Size on CXD at NBBO				(10,000)

¹ IIROC's Minimum Size Requirements is defined as an order for more than 50 standard trading units or has a value of more than \$100,000.

Action: MAQ ATT buy order is entered for 10,000 shares with a 10.13 limit price with a 6000 share minimum size requirement.

Result: The order executes against the 500 shares offered at 10.11 and against the 500 shares offered at 10.12 leaving 9,000 shares outstanding. Because there is not a resting order at 10.13 that satisfies the order's minimum size requirement of 6,000, the order is cancelled back.

Example 3:

	BID Size	BID	ASK	ASK Size
NBBO		10.10	10.13	
CXD Book			10.11	2000
			10.12	2500
			10.13	6,000 (P1)
			10.13	6,000 (P2)
Total Ask Size on CXD at NBBO				(12,000)

Action: MAQ ATT buy order is entered for 10,000 shares with a 10.13 limit price with a 6000 share minimum size requirement.

Result: The order executes against the 2000 shares offered at 10.11 and against the 2500 shares offered at 10.12 leaving 5,500 shares. Because the residual size of the order is less than the minimum size requirement the minimum size becomes the size of the order (5,500 shares). There is sufficient liquidity at 10.13 to satisfy the new MAQ minimum size requirement of 5,500 which executes at 10.13 (against the 6,000 sell order with priority in the order book (P1)).

B) Minimum Quantity at the Touch (MQ ATT)

Nasdaq Canada is proposing to introduce the MQ ATT order for the CXD Trading Book. The MQ ATT is an active order which specifies a minimum size quantity that must be satisfied by the aggregate of contra-side resting orders at the NBBO (at the touch) in order to trade, after all other better priced orders have been displaced in the CXD Trading Book. The minimum size requirement is only required to be met by orders posted at the NBBO; the MQ ATT will trade against any sized order posted at a price level that is better than the NBBO. If the MQ ATT minimum size quantity is not met by the aggregate share size at the NBBO after all other better prices orders have been displaced the order will be cancelled back.

Members are able to customize the minimum size quantity of MQ ATT orders to meet their trading objectives. MQ ATT orders can only include multiples of Board Lots. If the remaining amount of shares of an MQ ATT order becomes less than the minimum size quantity specified by the order, the minimum size quantity will become the amount of shares remaining. Execution of MQ ATT orders is only available at the NBBO if the size of the MQ ATT order meets the minimum size requirements of IROC's Dark Rule Framework. If the MQ ATT order does not meet IROC's minimum size requirement the order will be cancelled back.

How it Works

Example 1:

	BID Size	BID	ASK	ASK Size
NBBO		10.10	10.13	
CXD Book			10.11	500
			10.12	500
			10.13	5000 (P1)
			10.13	5000 (P2)
Total Ask Size on CXD at NBBO				(10,000)

Action: MQ ATT buy order is entered for 10,000 shares with a 10.13 limit price and a 6000 share minimum size requirement.

Result: The order executes against the 500 shares offered at 10.11 and against the 500 shares offered at 10.12 leaving 9,000 shares remaining. Because there is sufficient liquidity at 10.13 to satisfy the minimum size requirement of 6,000 and the residual size of the order, the remaining 9,000 shares are executed at 10.13 (5000 shares against the 5,000 share order with priority in the order book (P1) and 500 shares against the 5,000 share order with priority next in the order book (P2)).

Example 2:

	BID Size	BID	ASK	ASK Size
NBBO		10.10	10.13	
CXD Book			10.11	500
			10.12	500
			10.13	3,000 (P1)
			10.13	2,000 (P2)
Total Ask Size on CXD at NBBO				(5,000)

Action: MQ ATT buy order is entered for 10,000 shares with a 10.13 limit price with a 6000 share minimum size requirement.

Result: The order executes against the 500 shares offered at 10.11 and against the 500 shares offered at 10.12 leaving 9,000 shares remaining. Because there is not sufficient liquidity at 10.13 to satisfy the MQ ATT minimum size requirement of 6,000 shares (there are only 5,000 shares available) the remaining 9,000 shares are cancelled back.

Example 3:

	BID Size	BID	ASK	ASK Size
NBBO		10.10	10.13	
CXD Book			10.11	2000
			10.12	2500
			10.13	3,000 (P1)
			10.13	2,500 (P2)
Total Ask Size at NBBO				(5,500)

Action: MQ ATT buy order is entered for 10,000 shares with a 10.13 limit price and a 6000 share minimum size requirement.

Result: The order executes against the 2000 shares offered at 10.11 and against the 2500 shares offered at 10.12 leaving 5,500 shares remaining. Because the residual size of the order is less than the minimum size requirement (6,000 shares) the minimum size becomes the size of the order (5,500 shares). There is sufficient liquidity at 10.13 to satisfy the new MQ ATT minimum size requirement of 5,500 which executes at 10.13 (3,000 shares against the 3,000 share order with priority in the order book (P1) and 2,500 shares against the 2,500 share order with priority next in the order book (P2)).

C) Seek Price Improvement Order (SPI)

Nasdaq Canada is proposing to introduce the SPI order for all Trading Books. SPI orders are active IOC orders intended to execute against available dark liquidity within the NBBO. An SPI order will execute against dark resting orders at prices up to (or down to) and including orders one tick increment inside of the opposite side of the NBBO (or up to the SPI order's limit price if the limit price is less aggressive). When the NBBO spread is one tick increment wide, the SPI order will execute against dark resting orders at the mid-point of the NBBO. Any shares of an SPI order that are not immediately executed will be cancelled back to the Member.

How it Works

Example 1:

	BID Size	BID	ASK	ASK Size
NBBO		10.00	10.05	
CXD Book	100	10.02		
	500	10.01		
	500	10.00		

Action: An SPI sell order for 1,000 shares is entered with a limit price of 10.00.

Result: The order executes against the 100 shares offered at 10.02 and against the 500 shares offered at 10.01 and the remaining 400 shares of the SPI order are cancelled back.

Example 2:

	BID Size	BID	ASK	ASK Size
NBBO		10.00	10.01	
CXD Book			10.005	100
			10.01	500

Action: An SPI buy order for 1,000 shares is entered with a limit price of 10.01.

Result: The order executes against the 100 shares offered at 10.005 and the remaining 900 shares of the SPI order are cancelled back.

Expected Date of Implementation

Subject to regulatory approval we are expecting to introduce these features in Q4 2018.

Rationale and Relevant Supporting AnalysisMAQ ATT

Nasdaq Canada is proposing to introduce the MAQ ATT order for the CXD Trading Book to maximize price improvement opportunities for Members while at the same time providing them a tool to mitigate the risk of information leakage. By specifying a minimum size requirement to trade at the NBBO Members can prevent small size orders from trading against their orders and in turn mitigate the risk of participants gaining information that a large size order has been entered in the market. In order to interact with an MAQ ATT order, participants will be required to post sufficient size and absorb commensurate risk.

MQ ATT

Nasdaq Canada is proposing to introduce the MQ ATT order for the CXD Trading Book which will allow Members to maximize price improvement opportunities while at the same time providing them a tool to mitigate the risk of information leakage. The MQ ATT provides added flexibility for Members that want to set a minimum size requirement but are willing to trade against all available orders at the NBBO to trade. In order to interact with an MQ ATT order, participants will be required to post sufficient size and absorb commensurate risk.

SPI

Nasdaq Canada is proposing to introduce the SPI to provide Members with an additional tool to be used for dark trading strategies where a Members' objective is to trade against all available dark liquidity before trading against the lit NBBO.

Expected Impact on Market Structure Impact of the Changes

Nasdaq Canada is introducing these changes in response to consultations with Members that have expressed the need to have additional trading tools available to execute their dark trading strategies. We expect that these order types will assist Members in this regard by providing price and size improvement opportunities while reducing information leakage.

Consultation and Review

This change is being made in response to feedback solicited by Members.

Estimated Time Required by Subscribers and Vendors (or why a reasonable estimate is not provided)

Some optional development work will be required by Members and vendors that choose to incorporate the proposed order types into their trading systems. Based on the intended implementation date we anticipate that there will be at least 90 days between regulatory approval of the proposed changes and implementation which should be sufficient for those who decide to implement the MQ ATT, MAQ ATT and SPI orders into their trading systems.

Discussion of any alternatives considered

No alternatives were considered.

Will Proposed Fee Change or Significant Change introduce a Fee Model or Feature that Currently Exists in other Markets or Jurisdictions

The MAQ ATT and MQ ATT are variations of existing order types already supported by the market. The SPI order is supported by other marketplaces in Canada today.

Any questions regarding these changes should be addressed to Matt Thompson, Nasdaq CXC Limited:
matthew.thompson@nasdaq.com, T: 416-647-6242

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