

**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

**2000**

Department of the Treasury  
 Internal Revenue Service

*Note: The organization may be able to use a copy of this return to satisfy state reporting requirements*

For calendar year 2000, or tax year beginning **JUL 1, 2000**, and ending **JUN 30, 2001**

**G** Check all that apply:  Initial return  Final return  Amended return  Address change  Name change

**Use the IRS label** Name of organization: **SURDNA FOUNDATION, INC.**  
**Otherwise, print or type** ATT: MARC DE VENOGUE  
 Number and street (or P.O. box number if mail is not delivered to street address) Room/suite: **330 MADISON AVENUE, 30TH FLOOR**  
 City or town, state, and ZIP code: **NEW YORK, NY 10017-5001**

**A Employer identification number**  
 13-6108163

**B Telephone number**  
 212-557-0010

**C** If exemption application is pending, check here   
**D 1** Foreign organizations, check here   
**2** Organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

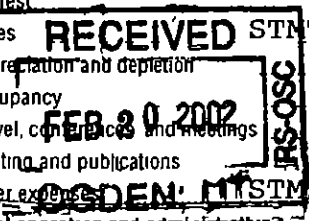
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 639,962,016.** (Part I, column (d) must be on cash basis)  
**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc. received	1,595,930.			STATEMENT 2
	2 Distributions from split-interest trusts				
	3 Interest on savings and temporary cash investments	148,200.	148,200.		STATEMENT 3
	4 Dividends and interest from securities	19,372,587.	37,260,745.		STATEMENT 4
	5a Gross rents				
	b (Net rental income or (loss))				
	6a Net gain or (loss) from sale of assets not on line 10	64,290,011.			STATEMENT 1
	b Gross sales price for all assets on line 6a	345,007,535.			
	7 Capital gain net income (from Part IV line 2)		57,827,651.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	<4,828,177.>	154,269.		STATEMENT 5	
12 Total Add lines 1 through 11	80,578,551.	95,390,865.			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	1,158,861.	0.		1,158,861.
	14 Other employee salaries and wages	506,715.	0.		506,715.
	15 Pension plans, employee benefits	405,561.	0.		405,561.
	16a Legal fees STMT 6	74,568.	0.		74,568.
	b Accounting fees STMT 7	44,500.	0.		44,500.
	c Other professional fees STMT 8	1,364,148.	955,092.		409,056.
	17 Interest				
	18 Taxes STMT 9	701,057.	0.		105,057.
	19 Depreciation and depletion	111,940.	0.		
	20 Occupancy	329,314.	0.		329,314.
21 Travel, conferences, and meetings	488,806.	0.		488,806.	
22 Printing and publications	50,098.	0.		50,098.	
23 Other expenses STMT 10	579,291.	1,390,273.		554,857.	
24 Total operating and administrative expenses Add lines 13 through 23	5,814,859.	2,345,365.		4,127,393.	
25 Contributions, gifts, grants paid	39,187,716.			39,531,216.	
26 Total expenses and disbursements Add lines 24 and 25	45,002,575.	2,345,365.		43,658,609.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	35,575,976.				
b Net investment income (if negative enter 0-)		93,045,500.			
c Adjusted net income (if negative enter 0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing						
	2	Savings and temporary cash investments	471,331.	1,104,466.	1,104,466.			
	3	Accounts receivable ▶						
		Less allowance for doubtful accounts ▶						
	4	Pledges receivable ▶						
		Less allowance for doubtful accounts ▶						
	5	Grants receivable						
	6	Receivables due from officers, directors, trustees, and other disqualified persons						
	7	Other notes and loans receivable ▶						
		Less allowance for doubtful accounts ▶						
	8	Inventories for sale or use						
	9	Prepaid expenses and deferred charges						
	10a	Investments - U S and state government obligations STMT 11	46,146,654.	41,759,010.	41,759,010.			
	b	Investments - corporate stock STMT 12	404,701,132.	359,906,191.	359,906,191.			
	c	Investments - corporate bonds STMT 13	133,585,375.	146,071,094.	146,071,094.			
11	Investments land, buildings, and equipment basis ▶ SEE SCH. 19 0.							
	Less accumulated depreciation ▶ 0.	0.	0.	0.	0.	0.		
12	Investments - mortgage loans							
13	Investments - other STMT 14	92,010,638.	88,461,435.	88,461,435.				
14	Land, buildings, and equipment basis ▶ 834,570.							
	Less accumulated depreciation ▶ 519,066.	349,872.	315,504.	315,504.				
15	Other assets (describe ▶ STATEMENT 15)	2,129,878.	2,344,316.	2,344,316.				
16	<b>Total assets (to be completed by all filers)</b>	<b>679,394,880.</b>	<b>639,962,016.</b>	<b>639,962,016.</b>				
Liabilities	17	Accounts payable and accrued expenses	150,922.	134,087.				
	18	Grants payable	15,415,000.	15,071,500.				
	19	Deferred revenue						
	20	Loans from officers directors trustees and other disqualified persons						
	21	Mortgages and other notes payable						
	22	Other liabilities (describe ▶ )						
23	<b>Total liabilities (add lines 17 through 22)</b>	<b>15,565,922.</b>	<b>15,205,587.</b>					
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31							
	24	Unrestricted	663,828,958.	624,756,429.				
	25	Temporarily restricted						
	26	Permanently restricted						
	Organizations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31							
	27	Capital stock, trust principal or current funds						
	28	Paid-in or capital surplus, or land, bldg, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds							
30	<b>Total net assets or fund balances</b>	<b>663,828,958.</b>	<b>624,756,429.</b>					
31	<b>Total liabilities and net assets/fund balances</b>	<b>679,394,880.</b>	<b>639,962,016.</b>					

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	663,828,958.
2	Enter amount from Part I line 27a	2	35,575,976.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	699,404,934.
5	Decreases not included in line 2 (itemize) ▶ NET UNREALIZED LOSS ON INVESTMENTS	5	74,648,505.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b) line 30	6	624,756,429.

From 990-PF (2000)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 345,007,535.		287,179,884.	57,827,651.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			57,827,651.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I line 7 }	2	57,827,651.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8 column (c) If (loss), enter -0- in Part I line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
1999	32,591,309.	655,927,843.	.0496873
1998	30,543,262.	566,358,419.	.0539292
1997	23,389,745.	562,366,802.	.0415916
1996	23,702,665.	488,734,695.	.0484980
1995	21,217,691.	453,942,908.	.0467409

2 Total of line 1, column (d)	2	.2404470
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0480894
4 Enter the net value of noncharitable-use assets for 2000 from Part X, line 5	4	648,609,835.
5 Multiply line 4 by line 3	5	31,191,258.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	930,455.
7 Add lines 5 and 6	7	32,121,713.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	43,736,181.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	930,455.
c	All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	930,455.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	930,455.
6	Credits/Payments		
a	2000 estimated tax payments and 1999 overpayment credited to 2000	6a	1,116,265.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	1,116,265.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	185,810.
11	Enter the amount of line 10 to be Credited to 2001 estimated tax	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X
11	X	

1a During the tax year did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities

1c Did the organization file Form 1120-POL for this year?

2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  
(1) On the organization \$ 0. (2) On the organization managers \$ 0.

2 Has the organization engaged in any activities that have not previously been reported to the IRS?  
If "Yes," attach a detailed description of the activities

3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the organization have unrelated business gross income of \$1 000 or more during the year?

4b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the organization have at least \$5 000 in assets at any time during the year?  
If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) NEW YORK & CALIFORNIA

8b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2000 or taxable year beginning in 2000 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year?  
If "Yes" attach a schedule listing their names and addresses

11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?

12 The books are in care of SURDNA FOUNDATION, INC Telephone no 212-557-0010  
Located at 330 MADISON AVE 30TH FL NEW YORK, NY ZIP+4 10017

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here   
and enter the amount of tax-exempt interest received or accrued during the year 13 N/A



Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

Table with columns: Question, Yes, No. Rows include questions 1a through 6b regarding disqualifying activities, tax on failure to distribute income, and business holdings.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		1158861.	129,008.	0.

**2 Compensation of five highest- paid employees (other than those included on line 1) If none, enter "NONE "**

(a) Name and address of each employee paid more than \$50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DARA MAJOR 330 MADISON AVE, NY, NY 10017	SPECIAL ASSISTANT FULL-TIME	71,321.	12,080.	0.
JONATHAN GOLDBERG 330 MADISON AVE, NY, NY 10017	GRANTS ADMINISTRATOR FULL-TIME	78,375.	9,883.	0.
CAMILLA SETH 330 MADISON AVE, NY, NY 10017	ASS PGM OFFICER FULL-TIME	72,419.	9,276.	0.
SHABA HOLLEY-KEYS 330 MADISON AVE, NY, NY 10017	ASS PGM OFFICER FULL-TIME	70,606.	8,344.	0.

Total number of other employees paid over \$50 000 ▶ 0

**3 Five highest- paid independent contractors for professional services If none, enter "NONE "**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ALLIANCE BERNSTEIN 1 NORTH LEXINGTON AVE., WHITE PLAINS, NY 10601	INVESTMENT MANAGEMENT	371,522.
STANDISH MELLON 1 FINANCIAL CENTER, BOSTON, MA 02111	INVESTMENT MANAGEMENT	215,303.
NORTHERN TRUST COMPANY 50 SOUTH LASALLE ST, CHICAGO, IL 60675	INVESTMENT MANAGEMENT	140,572.
NEUBERGER & BERMAN 605 THIRD AVE, NEW YORK, NY 10158	INVESTMENT MANAGEMENT	177,515.
CAMBRIDGE ASSOCIATES, LLP 1 WINTHROP SQUARE, SUITE 500, BOSTON, MA 02110	INVESTMENT MANAGEMENT	103,807.

Total number of others receiving over \$50 000 for professional services ▶ 4

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	564,956,410.
b Average of monthly cash balances	1b	4,540,241.
c Fair market value of all other assets	1c	88,990,491.
d Total (add lines 1a, b, and c)	1d	658,487,142.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	658,487,142.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount see instructions)	4	9,877,307.
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	648,609,835.
6 Minimum investment return Enter 5% of line 5	6	32,430,492.

**Part XI Distributable Amount** (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1 Minimum investment return from Part X, line 6		1	32,430,492.
2a Tax on investment income for 2000 from Part VI line 5	2a	930,455.	
b Income tax for 2000 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c	930,455.	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	31,500,037.	
4a Recoveries of amounts treated as qualifying distributions	4a	0.	
b Income distributions from section 4947(a)(2) trusts	4b	0.	
c Add lines 4a and 4b	4c	0.	
5 Add lines 3 and 4c	5	31,500,037.	
6 Deduction from distributable amount (see instructions)	6	0.	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	31,500,037.	

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	43,658,609.
b Program-related investments - total of lines 1-3 of Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	77,572.
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	43,736,181.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	930,455.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	42,805,726.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 1999	(c) 1999	(d) 2000
1 Distributable amount for 2000 from Part XI, line 7				31,500,037.
2 Undistributed Income, if any, as of the end of 1999				
a Enter amount for 1999 only			0.	
b Total for prior years 19 __, 19 __, 19 __		0.		
3 Excess distributions carryover, if any, to 2000:				
a From 1995				
b From 1996				
c From 1997				
d From 1998	1,372,635.			
e From 1999	693,233.			
f Total of lines 3a through e	2,065,868.			
4 Qualifying distributions for 2000 from Part XII, line 4 ▶ \$ 43,736,181.				
a Applied to 1999, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2000 distributable amount				31,500,037.
e Remaining amount distributed out of corpus	12,236,144.			
5 Excess distributions carryover applied to 2000 (If an amount appears in column (d) the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	14,302,012.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 1999. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2000. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2001				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)	0.			
8 Excess distributions carryover from 1995 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2001. Subtract lines 7 and 8 from line 6a	14,302,012.			
10 Analysis of line 9:				
a Excess from 1996				
b Excess from 1997				
c Excess from 1998	1,372,635.			
d Excess from 1999	693,233.			
e Excess from 2000	12,236,144.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2000 enter the date of the ruling

4942(j)(3) or  4942(j)(5)

b Check box to indicate whether the organization is a private operating foundation described in section

4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total	
	(a) 2000	(b) 1999	(c) 1998		(d) 1997
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year-see page 26 of the instructions )

1 Information Regarding Foundation Managers

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs

Check here  if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE SCHEDULE ATTACHED

b The form in which applications should be submitted and information and materials they should include

SEE SCHEDULE ATTACHED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions or other factors

SEE SCHEDULE ATTACHED

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p>SEE SCH. 15, 23 AND 24</p>		PUBLIC		39531216.
<b>Total</b>				▶ <b>3a</b> 39531216.
<p><i>b Approved for future payment</i></p> <p>SEE SCH. 15, 23 AND 24</p>		PUBLIC		15071500.
<b>Total</b>				▶ <b>3b</b> 15071500.



**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
<b>a</b> Transfers from the reporting organization to a noncharitable exempt organization of		
(1) Cash		X
(2) Other assets		X
<b>b</b> Other Transactions		
(1) Sales of assets to a noncharitable exempt organization		X
(2) Purchases of assets from a noncharitable exempt organization		X
(3) Rental of facilities, equipment, or other assets		X
(4) Reimbursement arrangements		X
(5) Loans or loan guarantees		X
(6) Performance of services or membership or fundraising solicitations		X
<b>c</b> Sharing of facilities, equipment mailing lists, other assets, or paid employees		
<b>1c</b>		X

**a** Transfers from the reporting organization to a noncharitable exempt organization of

(1) Cash

(2) Other assets

**b** Other Transactions

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

**c** Sharing of facilities, equipment mailing lists, other assets, or paid employees

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

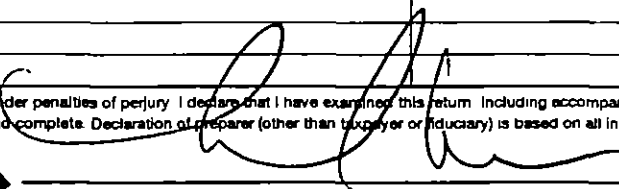
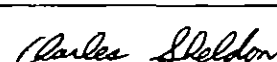
(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

**2a** Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here		
	Signature of officer or trustee	
Paid Preparer's Use Only	Preparer's signature	
	Firm's name (or yours if self-employed)	BDO SEIDMAN, LLP
	address, and ZIP code	330 MADISON AVENUE NEW YORK, NY 10017-5001



SURDNA FOUNDATION, INC.  
EIN: 13-6108163  
FORM 990-PF  
JUNE 30, 2001

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Gain or Loss from Sale of Assets	Stmt 1
Contributions Received	Stmt 2
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Dividends & Interest from Securities	Stmt 4
Other Income	Stmt 5
Legal Fees	Stmt 6
Accounting Fees	Stmt 7
Other Professional Fees	Stmt 8
Taxes	Stmt 9
Other Expenses	Stmt 10
Government Obligations	Stmt 11
Corporate Stock	Stmt 12
Corporate Bonds	Stmt 13
Other Investments	Stmt 14
Other Assets	Stmt 15
List of Officers and Directors	Stmt 16
Interest on Savings & Temporary Cash Investments	Sch 1

**SURDNA FOUNDATION, INC.**

**EIN: 13-6108163**

**FORM 990-PF**

**JUNE 30, 2001**

**TABLE OF CONTENTS (2 OF 3)**

	<b><u>Schedule/Statement</u></b>
Dividends & Interest from Securities	Sch 1
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Capital Gains and Losses Summary	Sch 3
Capital Gains and Losses Sections	Sections 1 - 13
K-1 Summary	Sch 4
Form K-1's	Sch 5 - 13
Depreciation	Sch 14
Grants Paid	Sch 15
Investments	Sch 16 & 17
2000 Estimated Tax Payments & 1999 Overpayment Credited to 2000	Sch 18
FMV of Real Property	Sch 19
Minimum Investment Return Information	Sch 20
Expenditure Responsibility Report and Rider	Sch 21
Grant Guidelines and Application Procedures	Sch 22
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**SURDNA FOUNDATION, INC.**

**EIN: 13-6108163**

**FORM 990-PF**

**JUNE 30, 2001**

**TABLE OF CONTENTS (3 OF 3)**

	<b><u>Schedule/Statement</u></b>
Form 8868 - Extension to 2/15/02	Sch 25
Footnote to Schedules of Capital Gains and Losses	Sch 26
<b><u>Schedules of Capital Gains and Losses:</u></b>	
Standish Ayer & Wood	151 pages
Grantham Mayo Van Otterloo Growth	3 pages
MFS Assets Management Group	4 pages
Neuberger & Berman	132 pages
Morgan Stanley - International	1 page
Morgan Stanley - Emerging Markets	1 page
Grantham Mayo Van Otterloo Global	8 pages
Sanford C Bernstein	58 pages
TNT Equity Index	190 pages
Rockefeller	1 page
PIMCO	3 pages
Main Cash	1 page
Miscellaneous	1 page

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	(F) GAIN OR LOSS
SEE SCHEDULE ATTACHED	345,007,535.	280,717,524.	0.		VARIOUS	64,290,011.
CAPITAL GAINS DIVIDENDS FROM PART IV						0.
TOTAL TO FORM 990-PF, PART I, LINE 6A						64,290,011.

FORM 990-PF CASH CONTRIBUTIONS OF \$5000 OR MORE INCLUDED ON PART I, LINE 1A STATEMENT 2

CONTRIBUTOR'S NAME	CONTRIBUTOR'S ADDRESS	DATE OF GIFT	AMOUNT
TRUST U/A JOHN E. ANDRUS RESIDUARY	TRUSTEE: U.S. TRUST CO, 45 WALL STREET, NEW YORK, NY 10005	VARIOUS	1,595,930.
TOTAL INCLUDED ON LINE 1A			1,595,930.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 3

SOURCE	AMOUNT
MISCELLANEOUS	148,200.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	148,200.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 4

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MISCELLANEOUS	19,372,587.	0.	19,372,587.
TOTAL TO FM 990-PF, PART I, LN 4	19,372,587.	0.	19,372,587.

FORM 990-PF OTHER INCOME STATEMENT 5

DESCRIPTION	AMOUNT
PARTNERSHIP INCOME(LOSS)	<4,828,177.>
TOTAL TO FORM 990-PF, PART I, LINE 11, COLUMN A	<4,828,177.>

FORM 990-PF LEGAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
VARIOUS LEGAL	74,568.	0.		74,568.
TO FM 990-PF, PG 1, LN 16A	74,568.	0.		74,568.

FORM 990-PF ACCOUNTING FEES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & TAX PREP	44,500.	0.		44,500.
TO FORM 990-PF, PG 1, LN 16B	44,500.	0.		44,500.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANT PROGRAM CONSULTANTS	399,751.	0.		399,751.
EXECUTIVE SEARCH FEES	9,305.	0.		9,305.
INVESTMENT MANAGEMENT	955,092.	955,092.		0.
TO FORM 990-PF, PG 1, LN 16C	1,364,148.	955,092.		409,056.

FORM 990-PF	TAXES			STATEMENT 9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	105,057.	0.		105,057.
EXCISE TAX ON NET INVESTMENT INCOME	596,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	701,057.	0.		105,057.

FORM 990-PF	OTHER EXPENSES			STATEMENT 10
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEMBERSHIPS	81,040.	0.		81,040.
PER DIEM SALARIES	83,517.	0.		83,517.
OFFICE SUPPLIES	68,485.	0.		68,485.
INSURANCE	22,007.	0.		22,007.
CONFERENCE REGISTRATION	30,651.	0.		30,651.
SERVICE CONTRACTS	20,346.	0.		20,346.
POSTAGE	17,272.	0.		17,272.
BOOKS & SUBSCRIPTIONS	24,204.	0.		24,204.
COMPUTER TRAINING	1,925.	0.		1,925.
TELEPHONE	36,172.	0.		36,172.
OFFICE DESIGN	1,002.	0.		1,002.
EVALUATION FEES	89,600.	0.		89,600.
INTERNET/SOFTWARE	56,799.	0.		56,799.
MISCELLANEOUS	46,271.	0.		46,271.
PARTNERSHIP EXPENSES - SEE SCHEDULE 4	0.	1,390,273.		0.

CASH BASIS EXPENSE ADJUSTMENT PER REG. 53.4942(A)-3	0.	0.	<24,434.>
TO FORM 990-PF, PG 1, LN 23	579,291.	1,390,273.	554,857.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 11

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	X		41,759,010.	41,759,010.
TOTAL U.S. GOVERNMENT OBLIGATIONS			41,759,010.	41,759,010.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			41,759,010.	41,759,010.

FORM 990-PF CORPORATE STOCK STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	359,906,191.	359,906,191.
TOTAL TO FORM 990-PF, PART II, LINE 10B	359,906,191.	359,906,191.

FORM 990-PF CORPORATE BONDS STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	146,071,094.	146,071,094.
TOTAL TO FORM 990-PF, PART II, LINE 10C	146,071,094.	146,071,094.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	88,461,435.	88,461,435.
TOTAL TO FORM 990-PF, PART II, LINE 13	88,461,435.	88,461,435.

FORM 990-PF	OTHER ASSETS	STATEMENT 15
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INTEREST RECEIVABLE	1,783,250.	1,783,250.
DIVIDENDS RECEIVABLE	224,286.	224,286.
PREPAID EXCISE TAXES	340,000.	340,000.
DUE TO/FROM FLEX SPENDING	<3,220.>	<3,220.>
TOTAL TO FORM 990-PF, PART II, LINE 15	2,344,316.	2,344,316.



FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HOOPER BROOKS 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	SR. PROGRAM OFFICER FULL-TIME	131,786.	17,227.	0.
MARC DE VENOGÉ 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	CFO/ASSISTANT TREASURER FULL-TIME (1)	186,975.	20,368.	0.
ELLEN RUDOLPH 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	PROGRAM OFFICER FULL-TIME	108,601.	13,652.	0.
ROBERT SHERMAN 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	PROGRAM OFFICER FULL-TIME	112,375.	14,139.	0.
EDWARD SKLOOT 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	EXECUTIVE DIRECTOR FULL-TIME	298,027.	21,000.	0.
CAREY SHEA 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	PROGRAM OFFICER FULL-TIME	103,317.	13,676.	0.
VINCENT STEHLE 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	PROGRAM OFFICER FULL-TIME	87,780.	13,676.	0.
STEVEN KELBAN 330 MADISON AVE, 30TH FL NEW YORK, NY 10017	DIR OF ANDRUS FAM PROJECT FULL-TIME	130,000.	15,270.	0.
JOHN E. ANDRUS III 603 EAST LAKE STREET WAYZATA, MN 55391	CHAIRMAN EMERITUS PART-TIME	0.	0.	0.
ELIZABETH H. ANDRUS 15317 HOLDRIDGE ROAD EAST WAYZATA, MN 55391	CHAIRMAN PART-TIME	0.	0.	0.
PETER B. BENEDICT 607 LANTANA LANE VERO BEACH, FL 32963	DIRECTOR PART-TIME	0.	0.	0.

(1) Includes one-time bonus paid for extraordinary service

CHRISTOPHER F. DAVENPORT 3100 WALEAUNEE ROAD TALLAHASSEE, FL 32308	DIRECTOR PART-TIME	0.	0.	0.
LAWRENCE S.C. GRIFFITH, M.D. 802 ST. GEORGE'S ROAD BALTIMORE, MD 21210	DIRECTOR PART-TIME	0.	0.	0.
JOHN F. HAWKINS 142 ALGER BROOK ROAD SOUTH STRAFFORD, VT 05070	DIRECTOR PART-TIME	0.	0.	0.
SANDRA T. KAUPE 1185 NORTH LAKEWAY PALM BEACH, FL 33480	DIRECTOR PART-TIME	0.	0.	0.
JOHN J. LYNAGH 101 PARK AVENUE, 3RD FLOOR NEW YORK, NY 10178	SECRETARY AND DIRECTOR PART-TIME	0.	0.	0.
FREDERICK F. MOON III 3701 SACRAMENTO STREET, #371 SAN FRANCISCO, CA 94118	TREASURER AND DIRECTOR PART-TIME	0.	0.	0.
EDITH D. THORPE 2505 CEDAR POINT DRIVE WAYZATA, MN 55391	DIRECTOR PART-TIME	0.	0.	0.
SAMUEL S. THORPE, III 5713 SCHAEFER ROAD EDINA, MN 55436	DIRECTOR PART-TIME	0.	0.	0.

NOTE: LEGAL FEES OF \$74,568 WERE PAID TO KELLEY, DRYE & WARREN (OF WHICH JOHN J. LYNAGH IS A PARTNER)

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII	<u>1,158,861.</u>	<u>129,008.</u>	<u>0.</u>
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**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

Part I, Lines 3 & 4 Interest on Savings & Temp. Cash, Dividends, & Interest from Securities	Line 3(a) Per Books	Line 3(b) Net Investment Income	Line 4(a) Per Books	Line 4(b) Net Investment Income
Income Per Books	148,200	148,200	19,372,587	19,372,587
Add Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books				14,418,734
Add Dividends accrued at 6/30/00	-	-	-	272,343
Less Dividends accrued at 6/30/01	-	-	-	(224,286)
Add Partnerships - Sch 4				3,421,368
	<u>148,200</u>	<u>148,200</u>	<u>19,372,587</u>	<u>37,260,745</u>

**Surdna Foundation, Inc.**

**EIN: 13-6108163**

**Form 990-PF**

**June 30, 2001**

**Part I, Lines 5 & 11**

	<b>Rev &amp; Exp Per Books Col. (a)</b>	<b>Net Investment Income Col. (b)</b>	<b>Adjusted Net Income Col. (c)</b>	<b>Disbursed for Charitable Purposed Col. (d)</b>
--	---	---	---	---

**Part I, Line 11, Other Income**

Partnership Income(Loss)	(4,828,177)	154,269 (Sch 4)		0
Total Other Income	<u>(4,828,177)</u>	<u>154,269</u>		<u>0</u>

**Surdna Foundation, Inc.**

**EIN: 13-6108163**

**Form 990-PF**

**June 30, 2001**

<b>Part I, Line 6 &amp; 7 Capital Gains &amp; Losses Summary</b>	<b>Sales Schedule Reference</b>	<b>Book Gains(Losses) Col. (a)</b>	<b>Tax Gains(Losses) Col. (b)</b>
Standish Ayer & Wood	Section 1	1,916,261	(556,072)
GMO Growth	Section 2	29,525,245	23,580,157
MFS Asset Management Group	Section 3	8,192,748	2,938,563
Neuberger & Berman	Section 4	2,640,516	2,640,377
Morgan Stanley - International	Section 5	4,898,531	4,247,863
Morgan Stanley - Emerging	Section 6	742,069	742,069
GMO - Global	Section 7	6,036,873	3,468,187
Sanford C Bernstein	Section 8	7,162,172	7,165,918
TNT Equity Index	Section 9	2,612,356	2,610,456
Rockefeller	Section 10	2,253	2,253
PIMCO	Section 11	553,675	553,676
Main Cash	Section 12	4,548	4,548
Miscellaneous	Section 13	2,763	2,763
Partnerships	Schedule 4		10,426,893
		<b>64,290,010</b>	<b>57,827,651</b>
		<b>To Part I</b>	<b>To Part I</b>
		<b>Line 6 Col. (a)</b>	<b>Line 7 Col. (b)</b>
			<b>To Part IV</b>

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Standish Ayer & Wood**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		959,473
Long-Term Capital Gains (Losses)		<u>(1,515,545)</u>
Total Capital Gains (Losses) per Tax Return		<u>(556,072)</u> (To Schedule 3)
<b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		(556,072)
Loss on Canceled Pending Sale at 6/30/00 which was not deducted on return FYE 6/30/00, it was deducted on books FYE 6/30/00 and included in income on books FYE 6/30/01		2,430,055
Loss on Late Settling Sale		44,509
Late Posting Accretion Adjustments		(18,333)
Unrecaptured Gain		2,033
Adjustment to Fed Home Loan Bank Notes Gains		11,004
Miscellaneous		<u>3,065</u>
Total Capital Gains (Losses) per Books		<u>1,916,261</u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
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**June 30, 2001**

**Grantham Mayo Van Otterloo (Growth Account)**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>23,580,157</u>
Total Capital Gains (Losses) per Tax Return		<u>23,580,157</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		23,580,157
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		5,945,088
Other Rounding Difference		<u>0</u>
Total Capital Gains (Losses) per Books		<u>29,525,245</u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
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**June 30, 2001**

**Mass Financial Services Asset Management**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>2,938,563</u>
Total Capital Gains (Losses) per Tax Return		<u>2,938,563</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		2,938,563
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		5,254,291
Other Miscellaneous adjustments		<u>(106)</u>
Total Capital Gains (Losses) per Books		<u>8,192,748</u> (To Schedule 3)



**Surdna Foundation, Inc.**  
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**Form 990-PF**  
**June 30, 2001**

**Neuberger & Berman**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		461,038
Long-Term Capital Gains (Losses)		<u>2,179,339</u>
Total Capital Gains (Losses) per Tax Return		<u>2,640,377</u>
		(To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		2,640,377
Other Miscellaneous adjustments		<u>139</u>
Total Capital Gains (Losses) per Books		<u>2,640,516</u>
		(To Schedule 3)

**Surdna Foundation, Inc.**  
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**Form 990-PF**  
**June 30, 2001**

**Morgan Stanley - International**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>4,247,863</u>
Total Capital Gains (Losses) per Tax Return		<u>4,247,863</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		4,247,863
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		650,668
Other Rounding Difference		<u>0</u>
Total Capital Gains (Losses) per Books		<u>4,898,531</u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Morgan Stanley - Emerging Markets Fund**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>742,069</u>
Total Capital Gains (Losses) per Tax Return		<u>742,069</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		742,069
Other Rounding Difference		<u>0</u>
Total Capital Gains (Losses) per Books		<u>742,069</u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**GMO - Global**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		36,235
Long-Term Capital Gains (Losses)		3,431,951
Other Rounding Difference		<u>1</u>
Total Capital Gains (Losses) per Tax Return		<u>3,468,187</u> (To Schedule 3)

**Total per Books:**

Total Gains (Losses) per Tax Return above		3,468,187
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		<u>2,568,686</u>
Total Capital Gains (Losses) per Books		<u>6,036,873</u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Sanford C. Bernstein**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		1,500,365
Long-Term Capital Gains (Losses)		<u>5,665,553</u>
Total Capital Gains (Losses) per Tax Return		<u>7,165,918</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		7,165,918
Other: Miscellaneous Difference		<u>(3,746)</u>
Total Capital Gains (Losses) per Books		<u>7,162,172</u> (To Schedule 3)

Surnda Foundation, Inc.  
EIN: 13-6108163  
Form 990-PF  
June 30, 2001

TNT Equity Index

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		(62,315)
Long-Term Capital Gains (Losses)		<u>2,672,771</u>
Total Capital Gains (Losses) per Tax Return		<u>2,610,456</u> (To Schedule 3)
<b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		2,610,456
Other Miscellaneous Difference		<u>1,900</u>
Total Capital Gains (Losses) per Books		<u><u>2,612,356</u></u>

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Rockefeller & Company**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>2,253</u>
Total Capital Gains (Losses) per Tax Return		<u><u>2,253</u></u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		2,253
Other Rounding Difference		<u>0</u>
Total Capital Gains (Losses) per Books		<u><u>2,253</u></u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Pimco Total Return Fund**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		295,298
Long-Term Capital Gains (Losses)		258,377
Other. Rounding Difference		<u>1</u>
Total Capital Gains (Losses) per Tax Return		<u>553,676</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		553,676
Other Rounding Difference		<u>(1)</u>
Total Capital Gains (Losses) per Books		<u>553,675</u> (To Schedule 3)



**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Main Cash Custody Account**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>4,548</u>
Total Capital Gains (Losses) per Tax Return		<u>4,548</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		4,548
Other: Rounding Difference		<u>0</u>
Total Capital Gains (Losses) per Books		<u>4,548</u> (To Schedule 3)

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Miscellaneous Account**

	<u>Page Number</u>	<u>Amount</u>
<b><u>Totals per Tax Return/Custodian Schedules:</u></b>		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		<u>2,763</u>
Total Capital Gains (Losses) per Tax Return		<u>2,763</u> (To Schedule 3)
 <b><u>Total per Books:</u></b>		
Total Gains (Losses) per Tax Return above		2,763
Other. Rounding Difference		<u>0</u>
Total Capital Gains (Losses) per Books		<u>2,763</u> (To Schedule 3)

Surdna Foundation, Inc.  
 EIN 13-6108163  
 Form K-1 Summary  
 Form 990-PF  
 June 30, 2001

Part I, Line 4(b), 7(b) & 23(b)

Year End	Form K-1's Attached Partnership Name/EIN	Dividends & Interest Income Part I 4(b)	Capital Gains & Losses Part I 7(b)	Other Portfolio Income Part I 11(b)	Portfolio Expenses Part I 23(b)	Schedule Ref
12/00	Discovery II '90- EIN 13-3549525 (Series I)	11,807	(80,706)	0	2,104	5
12/00	Discovery II '90- EIN 13-3549525 (Series II)	1,324	(829)	0	10,106	6
12/00	Farallon Capital Institutional Partners L P EIN 94-3106323	2,850,717	4,720,612	319	726,168	7
12/00	Vega Partners III, L P EIN 13-3839561	315,513	(56,457)	10,713	81,587	8
12/00	TIFF Partners I, LLC EIN 54-1831047	159,432	5,727,676	144,243	201,457	9
12/00	TIFF Partners III, LLC EIN 58-2426874	43,716	116,908	137	205,203	10
06/01	Endowment Private Equity Partners IV LP EIN 06-1563330	10,929	0	0	51,591	11
06/01	Endowment Venture Partners V LP EIN 06-1563332	27,878	(311)	(1,143)	109,721	12
06/01	Commonfund Capital International IV LP EIN 06-1605324	52	0	0	2,337	13
<b>Tax Return Totals</b>		<b>3,421,368</b>	<b>10,426,893</b>	<b>154,269</b>	<b>1,390,273</b>	
		<b>To Part I Line 4(b) Schedule 1</b>	<b>To Part I Line 7(b) Schedule 3</b>	<b>To Part I Line 11(b) Schedule 2</b>	<b>To Part I Line 23(b) Statement 10</b>	

**SCHEDULE K-1**  
**(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB NO 1545-0099

**2000**

See separate instructions

For calendar year 2000 or tax year beginning 1/1/2000 and ending 12/31/2000

<b>9830 Partner's I D # 13-6108163</b>	<b>8231 Partnership I D # 13-3549525</b>
Surdna Foundation Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor New York, NY 10112	Discovery Fund II-90 LP ( Series 1) Rockefeller & Co Inc. 30 Rockefeller Plaza 55th Floor New York, NY 10112

**A** Is this partner a general partner? [ ] Yes [x] No

**B** What type of entity is this partner? Foundation

**C** Is this partner a [ x ] domestic or [ ] foreign partner?

**D** Enter partner's percentage of

	(i) Before change or termination	(ii) End of Year
Profit Sharing	9 662%	9 662%
Loss Sharing	9 662%	9 662%
Ownership of Capital	9 662%	9 662%

**E** IRS center where partnership filed return Holtsville NY 00501

**F** Partner's share of liabilities (see instructions)

Nonrecourse	\$	NONE
Qualified nonrecourse financing	\$	NONE
Other		\$0 00

**G** Tax shelter registration number Not Applicable

**H** Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) [ ]

**I** Check applicable boxes (1) [ ] Final K 1 (2) [ ] Amended K 1

**J Analysis of partner's capital account**

(a) Capital account at beginning of year	203 877 45
(b) Capital contributed during the year	0 00
(c) Partner's share of lines 3 4 and 7 Form 1065 Schedule M 2	8 844 80
(d) Withdrawals and distributions	0 00
(e) Capital account at end of year (combine columns (a) through (d))	212 722 25

(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
<b>Income (Loss)</b>		
<b>1</b> Ordinary income (loss) from trade or business activities		See Page 6 of Partner's Instructions for Schedule K-1 (Form 1065)
<b>2</b> Net income (loss) from rental real estate activities		
<b>3</b> Net income (loss) from other rental activities		
<b>4</b> Portfolio income (loss)		
<b>a</b> Interest <span style="margin-left: 20px;">TO LINE 4(b)</span>	11 806 66	Sch B Part I line 1
<b>b</b> Ordinary Dividends	0 00	Sch B Part II line 5
<b>c</b> Royalties		Sch E Part I line 4
<b>d</b> Net short term capital gain (loss)	0 00	Sch D line 5 col (f)
<b>e</b> Net long term capital gain (loss) (1) 28% rate gain (2) Total for year TO LINE 7(b)	(80 705 94)	Sch D line 12 col (g)
<b>f</b> Other portfolio income (loss) (attach schedule)	0 00	Sch D line 12 col (f)
<b>5</b> Guarantee payments to partner		(Enter on appl line on your ret)
<b>6</b> Net gain (loss) under section 1231 (other than due to casualty or theft)		See Page 6 of Partner's Instructions for Schedule K-1 (Form 1065)
<b>7</b> Other income (loss) (attach schedule)	0 00	(Enter on appl line on your ret)
<b>Deductions</b>		
<b>8</b> Charitable contributions		Sch A line 15 or 16
<b>9</b> Section 179 expense deduction		See Pages 7 & 8 of Partner's Instructions for Schedule K-1 (Form 1065)
<b>10</b> Deductions related to portfolio income (attach schedule) TO LINE 23(b)	2 103 71	
<b>11</b> Other deductions (attach schedule)		
<b>Credits</b>		
<b>12 a</b> Low income housing credit		
(1) From section 42(j)(5) partnerships for property placed in service before 1990		Form 8586 line 5
(2) Other than line 12a(1) for property placed in service before 1990		Form 8586 line 5
(3) From section 42(j)(5) partnerships for property placed in service after 1989		Form 8586 line 5
(4) Other than line 12a(3) for property placed in service after 1989		Form 8586 line 5
<b>b</b> Qualified rehabilitation expenditures related to rental real estate activities (see instructions)		See Page 8 of Partner's Instructions for Schedule K-1 (Form 1065)
<b>c</b> Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities (see instructions)		
<b>d</b> Credits related to other rental activities (see instructions)		
<b>13</b> Other credits (see instructions)		

5 (1/3)

**SCHEDULE K-1**  
**(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB NO 1545-0099

**2000**

See separate instructions

For calendar year 2000 or tax year beginning 1/1/2000 and ending 12/31/2000

**9830 Partner's ID # 13-6108163**

**8231 Partnership ID # 13-3549525**

Surdna Foundation  
Rockefeller & Co Inc  
30 Rockefeller Plaza 55th Floor  
New York, NY 10112

Discovery Fund II-90 LP ( Series 1)  
Rockefeller & Co Inc  
30 Rockefeller Plaza 55th Floor  
New York NY 10112

(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
<b>Investment Interest</b>		
<b>14 a</b> Interest expense on investment debts		Form 4952 line 1
<b>b</b> (1) Investment income included on lines 4a, 4b, 4c, and 4f above	11 806 66	See Page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
(2) Investment expenses included on line 10 above	2 103 71	
<b>Self-Employment</b>		
<b>15 a</b> Net earnings (loss) from self-employment		Sch. SE Section A or B
<b>b</b> Gross farming or fishing income		(See Partner's Instruction for Schedule K-1 (Form 1065))
<b>c</b> Gross non farm income		
<b>Adjustments and Tax Preference Items</b>		
<b>16 a</b> Depreciation adjustment on property placed in service after 1986		See Page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
<b>b</b> Adjusted gain or (loss)		
<b>c</b> Depletion (other than oil and gas)		
<b>d</b> (1) Gross income from oil, gas and geothermal properties		
(2) Deductions allocable to oil, gas and geothermal properties		
<b>e</b> Other adjustments and tax preference items (attach schedule)		
<b>Foreign Taxes</b>		
<b>17 a</b> Name of foreign country or U.S. Possession		
<b>b</b> Gross income sourced at the partner level		Form 1116 Part I
<b>c</b> Foreign gross income sourced at partnership level		
(1) Passive	0 00	Form 1116 Part I
(2) Listed categories (attach schedules)		Form 1116 Part I
(3) General limitation		Form 1116 Part I
<b>d</b> Deductions allocated and apportioned at partner level		
(1) Interest expense		Form 1116 Part I
(2) Other		Form 1116 Part I
<b>e</b> Deductions allocated and apportioned at partnership level to foreign source income		
(1) Passive		Form 1116 Part I
(2) Listed categories (attach schedules)		Form 1116 Part I
(3) General limitation		Form 1116 Part I
<b>f</b> Total foreign taxes [ ] Paid [ ] Accrued		Form 1116 Part II
<b>g</b> Reduction in taxes available for credit (attach schedule)		See Instructions for Form 1116
<b>Other</b>		
<b>19</b> Tax-exempt interest income		Form 1040 line 8b
<b>20</b> Nondeductible expenses		See Pages 9 & 10 of Partners Inst. for Schedule K-1 (Form 1065)
<b>22</b> Distributions of money (cash and marketable securities)	0 00	

**25** Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)

SEE ATTACHED SCHEDULES

5 (2/3)

**SCHEDULE K-1**  
**(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB NO 1545-0099

**2000**

See separate instructions

For calendar year 2000 or tax year beginning 1/1/2000 and ending 12/31/2000

<b>9830 Partner's ID # 13-6108163</b>	<b>8231 Partnership ID # 13-3549525</b>
Surdna Foundation Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor New York, NY 10112	Discovery Fund II-90 LP (Series 1) Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor New York, NY 10112

**Supplemental Information Provided by Partnership**

**1 Statement for Form 1065, Schedule K-1, line 7**

Income from discharge of indebtedness from pass through K 1

**2 The amount on line (c) Section J Analysis of partner's capital account of your k 1 represents**

Ordinary income (loss) from trade or business activities	
Net income (loss) from rental real estate activities	
Interest income	11 806 66
Dividend income	0 00
Royalties income	
Short term capital gain (loss) income	0 00
Long term capital gain (loss) income	(80 705 94)
Passive foreign investment company income	
Other portfolio income	0 00
Other Income	0 00
Non taxable income	
<b>Less Deductions related to portfolio income</b>	<b>2 103 71</b>
Investment interest expense	0 00
Foreign taxes paid	
Contributions	
Other deductions (nondeductible)	
Deductions related to non taxable income	
<b>Net realized income</b>	<b>(71 002 99)</b>
<b>Unrealized appreciation (depreciation) and book to tax timing differences</b>	<b>79 847 79</b>
<b>Total of line (c) Section J</b>	<b>\$8 844 80</b>
<b>3 Lines 4a and f - Interest income and other portfolio income (loss) include net U S interest income of</b>	<b>\$0 00</b>
<b>4 Tax basis of your partnership interest on the records of the partnership at December 31 2000 is</b>	<b>\$349 190 71</b>
<b>5 Your capital gain on withdrawal or partial withdrawal is as follows</b>	
Proceeds	\$0 00
Cost	0 00
Gain	\$0 00

5 (3/3)

**SCHEDULE K-1**  
**(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB NO 1545-0099

**2000**

See separate instructions  
For calendar year 2000 or tax year beginning 1/1/2000 and ending 12/31/2000

<b>9830 Partner's ID # 13-6108163</b>	<b>8357 Partnership ID # 13-3549525</b>
Surdna Foundation Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor New York, NY 10112	Discovery Fund II-90 LP (Series 2) 30 Rockefeller Plaza 55th floor New York NY 10112

- A Is this partner a general partner? [ ] Yes [x] No
- B What type of entity is this partner? Foundation
- C Is this partner a [x] domestic or [ ] foreign partner?
- D Enter partner's percentage of
 

	(i) Before change or termination	(ii) End of Year
Profit Sharing	3 197%	3 197%
Loss Sharing	3 197%	3 197%
Ownership of Capital	3 197%	3 197%
- E IRS center where partnership filed return Holtsville NY 00501
- F Partner's share of liabilities (see instructions)
 

Nonrecourse	\$ -	NONE
Qualified nonrecourse financing	\$	NONE
Other	\$ 0.00	
- G Tax shelter registration number Not Applicable
- H Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) [ ]
- I Check applicable boxes (1) [ ] Final K 1 (2) [ ] Amended K 1

**J Analysis of partner's capital account.**

(a) Capital account at beginning of year	224 096 99
(b) Capital contributed during the year	0 00
(c) Partner's share of lines 3 4 and 7, Form 1065 Schedule M 2	(58 122 38)
(d) Withdrawals and distributions	0 00
(e) Capital account at end of year (combine columns (a) through (d))	165 974 61

(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
<b>Income (Loss)</b>		
1 Ordinary income (loss) from trade or business activities		See Page 6 of Partner's Instructions for Schedule K-1 (Form 1065)
2 Net income (loss) from rental real estate activities		
3 Net income (loss) from other rental activities		
4 Portfolio income (loss)		
a Interest <span style="margin-left: 20px;">TO LINE 4(b)</span>	1 324 20	Sch B Part I line 1
b Ordinary Dividends	0 00	Sch B Part II line 5
c Royalties		Sch E Part I line 4
d Net short term capital gain (loss)	0 00	Sch D line 5 col (f)
e Net long term capital gain (loss) (1) 28% rate gain (2) Total for year TO LINE 7(b)	(828 63)	Sch D line 12 col (g)
f Other portfolio income (loss) (attach schedule)	0 00	Sch D line 12 col (f) (Enter on appl line on your ret)
5 Guarantee payments to partner		See Page 6 of Partner's Instructions for Schedule K-1 (Form 1065)
6 Net gain (loss) under section 1231 (other than due to casualty or theft)		(Enter on appl line on your ret)
7 Other income (loss) (attach schedule)		
<b>Deductions</b>		
8 Charitable contributions		Sch A line 15 or 16
9 Section 179 expense deduction		See Pages 7 & 8 of Partner's Instructions for Schedule K-1 (Form 1065)
10 Deductions related to portfolio income (attach schedule) TO LINE 23(b)	10 105 51	
11 Other deductions (attach schedule)		
<b>Credits</b>		
12 a Low income housing credit		Form 8586 line 5
(1) From section 42(j)(5) partnerships for property placed in service before 1990		Form 8586 line 5
(2) Other than line 12a(1) for property placed in service before 1990		Form 8586 line 5
(3) From section 42(j)(5) partnerships for property placed in service after 1989		Form 8586 line 5
(4) Other than line 12a(3) for property placed in service after 1989		Form 8586 line 5
b Qualified rehabilitation expenditures related to rental real estate activities (see instructions)		See Page 8 of Partner's Instructions for Schedule K-1 (Form 1065)
c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities (see instructions)		
d Credits related to other rental activities (see instructions)		
13 Other credits (see instructions)		

\* Passive Activity Income - New Jersey

6 (1/3)

**SCHEDULE K-1**  
**(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB NO 1545-0099

**2000**

See separate instructions

For calendar year 2000 or tax year beginning 1/1/2000 and ending 12/31/2000

<b>9830 Partner's ID # 13-6108163</b>	<b>8357 Partnership ID # 13-3549525</b>
Surdna Foundation Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor New York, NY 10112	Discovery Fund II-90 LP (Series 2) 30 Rockefeller Plaza 55th floor New York, NY 10112

(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
<b>Investment Interest</b>		
<b>14 a</b> Interest expense on investment debts		Form 4952 line 1
<b>b</b> (1) Investment income included on lines 4a, 4b, 4c, and 4f above	1324.20	See Page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
(2) Investment expenses included on line 10 above	10105.51	
<b>Self-Employment</b>		
<b>15 a</b> Net earnings (loss) from self-employment		Sch SE Section A or B (See Partner's Instruction for Schedule K-1 (Form 1065))
<b>b</b> Gross farming or fishing income		
<b>c</b> Gross non farm income		
<b>Adjustments and Tax Preference Items</b>		
<b>16 a</b> Depreciation adjustment on property placed in service after 1986		See Page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
<b>b</b> Adjusted gain or (loss)		
<b>c</b> Depletion (other than oil and gas)		
<b>d</b> (1) Gross income from oil, gas, and geothermal properties		
(2) Deductions allocable to oil, gas, and geothermal properties		
<b>e</b> Other adjustments and tax preference items (attach schedule)		
<b>Foreign Taxes</b>		
<b>17 a</b> Name of foreign country or US Possession		Form 1116 Part I
<b>b</b> Gross income sourced at the partner level		
<b>c</b> Foreign gross income sourced at partnership level		
(1) Passive	0.00	Form 1116 Part I
(2) Listed categories (attach schedules)		Form 1116 Part I
(3) General limitation		Form 1116 Part I
<b>d</b> Deductions allocated and apportioned at partner level		
(1) Interest expense		Form 1116 Part I
(2) Other		Form 1116 Part I
<b>e</b> Deductions allocated and apportioned at partnership level to foreign source income		
(1) Passive		Form 1116 Part I
(2) Listed categories (attach schedules)		Form 1116 Part I
(3) General limitation		Form 1116 Part I
<b>f</b> Total foreign taxes   <input type="checkbox"/> Paid   <input type="checkbox"/> Accrued		Form 1116 Part II
<b>g</b> Reduction in taxes available for credit (attach schedule)		See Instructions for Form 1116
<b>Other</b>		
<b>19</b> Tax-exempt interest income		Form 1040 line 8b
<b>20</b> Nondeductible expenses		See Pages 9 & 10 of Partner's Inst for Schedule K-1 (Form 1065)
<b>22</b> Distributions of money (cash and marketable securities)	0.00	

**25** Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)

SEE ATTACHED SCHEDULES

6 (2/3)



**SCHEDULE K-1**  
**(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB NO 1545-0099

See separate instructions

**2000**

For calendar year 2000 or tax year beginning 1/1/2000 and ending 12/31/2000

<b>9830 Partner's ID # 13-6108163</b>	<b>8357 Partnership ID # 13-3549525</b>
Surdna Foundation Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor New York, NY 10112	Discovery Fund II 90 LP (Series 2) 30 Rockefeller Plaza 55th floor New York NY 10112

**Supplemental Information Provided by Partnership**

**1** The amount on line (c) Section J Analysis of partner's capital account, of your K 1 represents

Ordinary income (loss) from trade or business activities	
Net income (loss) from rental real estate activities	
Interest income	1 324 20
Dividend income	0 00
Royalties income	
Short term capital gain (loss) income	0 00
Long term capital gain (loss) income	(828 63)
Passive foreign investment company income	
Other portfolio income	0 00
Non-taxable income	
<b>Less</b> Deductions related to portfolio income	10 105 51
Investment interest expense	0 00
Foreign taxes paid	
Contributions	
Other deductions (nondeductible)	
Deductions related to non taxable income	
<b>Net realized income</b>	<b>(9 609 94)</b>
Unrealized appreciation (depreciation) and book to tax timing differences	(48 512 44)
<b>Total of line (c) Section J</b>	<b>(\$58 122 38)</b>

<b>2</b> Lines 4a and f Interest income and other portfolio income (loss) include net U S interest income of	<b>\$0 00</b>
<b>3</b> Tax basis of your partnership interest on the records of the partnership at December 31 2000 is	<b>\$109 397 03</b>
<b>4</b> Your capital gain on withdrawal or partial withdrawal is as follows	
Proceeds	\$0 00
Cost	0 00
Gain	<b>\$0 00</b>

6 (3/3)

SCHEDULE K-1  
(Form 1065)

Partner's Share of Income, Credits, Deductions, etc.

OMB No 1545-0099

See separate instructions

2000

Department of the Treasury  
Internal Revenue Service

For calendar year 2000 or tax year beginning

2000

and ending

2000

<p><b>Partner's identifying number</b> ▶ 13-6108163</p> <p><b>Partner's name address and ZIP code</b> # 88 Surdna Foundation, Inc c/o Mr Marc de Venoge Surdna Foundation, Inc 330 Madison Avenue, 30th Floor New York, NY 10017</p> <p><b>A</b> This partner is a <input type="checkbox"/> general partner <input checked="" type="checkbox"/> limited partner <input type="checkbox"/> limited liability company member</p> <p><b>B</b> What type of entity is this partner? ▶ EXEMPT</p> <p><b>C</b> Is this partner a <input checked="" type="checkbox"/> domestic or a <input type="checkbox"/> foreign partner?</p> <p><b>D</b> Enter partner's percentage of</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:25%; text-align: center;">(i) Before charge or termination</td> <td style="width:25%; text-align: center;">(ii) End of year</td> </tr> <tr> <td>Profit sharing</td> <td style="text-align: center;">2.49%</td> <td style="text-align: center;">2.86%</td> </tr> <tr> <td>Loss sharing</td> <td style="text-align: center;">2.49%</td> <td style="text-align: center;">2.86%</td> </tr> <tr> <td>Ownership of capital</td> <td style="text-align: center;">3.66%</td> <td style="text-align: center;">3.19%</td> </tr> </table> <p><b>E</b> IRS Center where partnership filed return Fresno, CA</p>		(i) Before charge or termination	(ii) End of year	Profit sharing	2.49%	2.86%	Loss sharing	2.49%	2.86%	Ownership of capital	3.66%	3.19%	<p><b>Partnership's identifying number</b> ▶ 94-3106323</p> <p><b>Partnership's name address and ZIP code</b> Farallon Capital Institutional Partners, L P One Maritime Plaza, Suite 1350 San Francisco, CA 94111</p> <p><b>F</b> Partner's share of liabilities (see instructions)</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:80%;">Nonrecourse</td> <td style="width:10%; text-align: right;">\$</td> <td style="width:10%; text-align: right;">NONE</td> </tr> <tr> <td>Qualified nonrecourse financing</td> <td style="text-align: right;">\$</td> <td style="text-align: right;">NONE</td> </tr> <tr> <td>Other</td> <td style="text-align: right;">\$</td> <td style="text-align: right;">NONE</td> </tr> </table> <p><b>G</b> Tax shelter registration number ▶</p> <p><b>H</b> Check if this partnership is a publicly traded partnership as defined in section 469(k)(2) <input type="checkbox"/></p> <p><b>I</b> Check applicable boxes (1) <input type="checkbox"/> Final K-1 (2) <input type="checkbox"/> Amended K-1</p>	Nonrecourse	\$	NONE	Qualified nonrecourse financing	\$	NONE	Other	\$	NONE
	(i) Before charge or termination	(ii) End of year																				
Profit sharing	2.49%	2.86%																				
Loss sharing	2.49%	2.86%																				
Ownership of capital	3.66%	3.19%																				
Nonrecourse	\$	NONE																				
Qualified nonrecourse financing	\$	NONE																				
Other	\$	NONE																				

**J Analysis of partner's capital account**

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of lines 3, 4 and 7 Form 1065 Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine columns (a) through (d))
54,346,568		6,556,970		60,903,538

	(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
Income (Loss)	1 Ordinary income (loss) from trade or business activities <i>SEE Pg. (3/8)</i>	2,738,509	See page 6 of Partner's Instructions for Schedule K-1 (Form 1065)
	2 Net income (loss) from rental real estate activities		
	3 Net income (loss) from other rental activities		
	4 Portfolio income (loss)		Sch B Part I line 1 Sch B Part II line 5 Sch E Part I, line 4 Sch D line 5 col (f)
	a Interest <i>TO LINE 4 (b)</i>	12,013	
	b Ordinary dividends <i>TO LINE 4 (b)</i>	24	
	c Royalties		
	d Net short term capital gain (loss)	1,166	
	e Net long term capital gain (loss)		
	(1) 28% rate gain (loss)		
	(2) Total for year <i>TO LINE 7 (b)</i>	119,461	
	f Other portfolio income (loss) (attach schedule) <i>TO LINE 11 (b)</i>	319	Enter on applicable line of your return
	5 Guaranteed payments to partner		
6 Net section 1231 gain (loss) (other than due to casualty or theft)	(5)	See page 6 of Partner's Instructions for Schedule K-1 (Form 1065)	
7 Other income (loss) (attach schedule) <i>SEE Pg. (3/8)</i>	4,785,451		
Deductions	8 Charitable contributions (see instructions) (attach schedule)		Sch A line 15 or 16
	9 Section 179 expense deduction		
	10 Deductions related to portfolio income (attach schedule) <i>TO LINE 23 (b)</i>	8,832	See pages 7 and 8 of Partner's Instructions for Schedule K-1 (Form 1065)
	11 Other deductions (attach schedule) <i>TO LINE 23 (b)</i>	4,925	
Credits	12a Low-income housing credit		Form 8586 line 5
	(1) From section 42(j)(5) partnerships for property placed in service before 1990		
	(2) Other than on line 12a(1) for property placed in service before 1990		
	(3) From section 42(j)(5) partnerships for property placed in service after 1989		
	(4) Other than on line 12a(3) for property placed in service after 1989		
	b Qualified rehabilitation expenditures related to rental real estate activities		See page 8 of Partner's Instructions for Schedule K-1 (Form 1065)
	c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities		
	d Credits related to other rental activities		
13 Other credits			

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		(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on	
Investment Interest	14a	Interest expense on investment debts	14a	Form 4952 line 1 See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)	
	b (1)	Investment income included on lines 4a, 4b, 4c, and 4f	b(1)		12,356
	(2)	Investment expenses included on line 10	b(2)		8,832
Self employment	15a	Net earnings (loss) from self employment	15a	Sch SE Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)	
	b	Gross farming or fishing income	15b		
	c	Gross nonfarm income	15c		
Adjustments and Tax Preference Items	16a	Depreciation adjustment on property placed in service after 1986	16a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251	
	b	Adjusted gain or loss	16b		
	c	Depletion (other than oil and gas)	16c		
	d (1)	Gross income from oil, gas, and geothermal properties	d(1)		
	(2)	Deductions allocable to oil, gas, and geothermal properties	d(2)		
	e	Other adjustments and tax preference items (attach schedule)	16e		
Foreign Taxes	17a	Name of foreign country or U.S. possession		Form 1116 Part I	
	b	Gross income sourced at partner level	17b		
	c	Foreign gross income sourced at partnership level			
	(1)	Passive	17c(1)		
	(2)	Listed categories (attach schedule)	17c(2)		
	(3)	General limitation	17c(3)		
	d	Deductions allocated and apportioned at partner level			
	(1)	Interest expense	17d(1)		
	(2)	Other	17d(2)		
	e	Deductions allocated and apportioned at partnership level to foreign source income			
	(1)	Passive	17e(1)		
(2)	Listed categories (attach schedule)	17e(2)			
(3)	General limitation	17e(3)			
f	Total foreign taxes (check one) <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	17f		Form 1116 Part II	
g	Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g		See Instructions for Form 1116	
Other	18	Section 59(e)(2) expenditures <input type="checkbox"/> Type <input type="checkbox"/>		See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)	
	b	Amount	18b		
	19	Tax-exempt interest income	19	Form 1040 line 8b	
	20	Other tax-exempt income	20	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)	
	21	Nondeductible expenses	21		
	22	Distributions of money (cash and marketable securities)	22		
	23	Distributions of property other than money	23		
	24	Recapture of low income housing credit			Form 8611, line 8
a	From section 42(j)(5) partnerships	24a			
b	Other than on line 24a	24b			
Supplemental Information	25	Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)			
		_____			
		_____			
		_____			
		_____			
		_____			

7/2/8

1 THE PARTNERSHIP EARNS A PORTION OF ITS INCOME FROM THE TRADE OR BUSINESS OF ACTIVELY TRADING PERSONAL PROPERTY FOR ITS OWN ACCOUNT IN ACCORDANCE WITH INTERNAL REVENUE CODE SEC 469 AND REGULATION SEC 1.469-1T(e)(6) THE INCOME EARNED ON SUCH ACTIVITIES IS "NON-PASSIVE" TO ANY PARTNER WHERE APPROPRIATE, WE HAVE INDICATED IN THE ACCOMPANYING FOOTNOTES ANY PORTION OF INCOME WHICH IS "NON-PASSIVE" CONSULT YOUR TAX ADVISOR WITH REGARD TO THIS ISSUE

2 THE AMOUNTS REPORTED ON LINES 4(a) THROUGH 4(f) AND LINE 10 ARE FROM INVESTMENT ACTIVITIES NOT RISING TO THE LEVEL OF A TRADE OR BUSINESS AND THEREFORE, THESE INCOME AND DEDUCTION ITEMS ARE PORTFOLIO INDIVIDUAL TAXPAYERS SHOULD FOLLOW THE INSTRUCTIONS ON SCHEDULE K-1 FOR THESE AMOUNTS

3 ALL OF THE AMOUNTS COMPRISING LINE 1 OF YOUR SCHEDULE K-1 ARE FROM THE FOLLOWING "NON-PASSIVE" TRADE OR BUSINESS SOURCES

INTEREST	To LINE 4(b)	{ \$2,472,586
DIVIDENDS		366,094
INCOME FROM NOTIONAL PRINCIPAL CONTRACTS		367,914
TRADE OR BUSINESS EXPENSES		(605,419)
TRADE OR BUSINESS INVESTMENT INTEREST EXPENSE (DIVIDEND CHARGE)	To LINE 23(b)	{ (106,992)
IRC SECTION 988 GAIN (LOSS)		244,326
TOTAL LINE 1		<u>\$2,738,509</u>

TRADE OR BUSINESS INVESTMENT INTEREST EXPENSE MAY BE SUBJECT TO THE INVESTMENT INTEREST EXPENSE DEDUCTION LIMITATIONS FOR PARTNERS WHO DO NOT MATERIALLY PARTICIPATE IN THE ACTIVITY SEE INTERNAL REVENUE CODE SECTION 163(d) THIS INTEREST EXPENSE REPRESENTS INTEREST INCURRED IN CONNECTION WITH A TRADE OR BUSINESS THAT IS DEEMED "NON-PASSIVE" UNDER REG SEC 1.469-1T(e)(6) AS DISCUSSED ABOVE.

4 THE AMOUNT ON LINE 4(f) CONSISTS OF OTHER PORTFOLIO INCOME FROM INVESTMENTS

5 AMOUNTS COMPRISING LINE 7 OF YOUR SCHEDULE K-1 ARE FROM THE FOLLOWING "NON-PASSIVE" TRADE OR BUSINESS SOURCES

SHORT-TERM CAPITAL GAIN (LOSS)	To LINE 7(b)	4,599,985	{ \$4,077,282
LONG-TERM CAPITAL GAIN (LOSS)			522,703
IRC SECTION 1256 CONTRACTS			185,466
TOTAL LINE 7			<u>\$4,785,451</u>

INDIVIDUAL TAXPAYERS SHOULD ENTER THE CAPITAL GAINS OR LOSSES ON SCHEDULE D

6 INTEREST INCOME FROM U.S. GOVERNMENT OBLIGATIONS INCLUDED IN LINES 1 AND 4(a) OF SCHEDULE K-1 IS

\$7,944

7 THE PARTNERSHIP GENERATED NO UNRELATED BUSINESS TAXABLE INCOME ("UBTI") DURING 2000

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8 THE PARTNERSHIP OWNS INTERESTS IN PASSIVE FOREIGN INVESTMENT COMPANIES (PFICs) WHICH ARE TREATED AS QUALIFIED ELECTING FUNDS (QEFs) YOUR ALLOCABLE SHARE OF INCOME ITEMS FROM THESE ENTITIES WHICH HAS BEEN INCLUDED IN LINES 1 AND 4 IS

	NOMURA CBO 1997-1	WESTERN OIL SANDS	PHARMA MARKETING	PHARMA OPERATING	UNITED CAPITAL
ORDINARY INCOME	NONE	NONE	NONE	NONE	NONE
L/T CAPITAL GAIN	NONE	NONE	NONE	NONE	NONE
	ULM ENTERPRISES	BONARCO LTD	CALVISSON ENTERPRISES	CALVISSON FINANCE	INDUSTRIAL CAPITAL
ORDINARY INCOME	NONE	NONE	NONE	NONE	NONE
L/T CAPITAL GAIN	\$4,425	\$805	\$0	NONE	NONE
	NEURALAB				
ORDINARY INCOME	NONE				
L/T CAPITAL GAIN	NONE				

THE ABOVE PFIC'S HAVE CALENDAR TAX YEAR, EXCEPT FOR NEURALAB THE ADDRESSES FOR THE ABOVE PFIC'S ARE.

NOMURA CBO 1997-1 LIMITED  
C/O MAPLES AND CALDER, UGLAND HOUSE  
SOUTH CHURCH, CAYMAN ISLANDS  
NOMURA IS INCORPORATED IN GRAND CAYMAN, CAYMAN ISLANDS, B V I

WESTERN OIL SANDS INC.  
440 SECOND AVENUE S W , SUITE 2400  
CALGARY, ALBERTA T2P 5E9

PHARMA MARKETING LTD & PHARMA OPERATING LTD  
P O BOX HM 2257, PAR LA VILLE PLACE,  
14 PAR-LA-VILLE ROAD  
HAMILTON HM JX BERMUDA

UNITED CAPITAL CORP  
PALM CHAMBERS #3  
ROAD TOWN  
TORTOLA, B V I

7(4/8)

ULM ENTERPRISES LIMITED & BONARCO LIMITED &  
CALVISSON ENTERPRISES LTD & CALVISSON FINANCE CORP  
2-4 ARCH, MAKARIOS III AVENUE  
CAPITAL CENTER, 9TH FLOOR  
NICOSIA CYPRUS

INDUSTRIAL CAPITAL CORP  
WICKHAMS CAY, P O BOX 662  
ROAD TOWN TORTOLA, B V I

NEURALAB (FYE 9/30/2000)  
102 ST JAMES COURT  
FLATTS SMITHS FL-04  
BERMUDA

9 RECONCILIATION FROM BOOK INCOME TO TAXABLE INCOME

BOOK INCOME	\$6,556,970
NET UNREALIZED INCOME FROM INVESTMENTS	1,086,211
	<hr/>
NET TAXABLE INCOME ON THIS K-1	<u>\$7,643,181</u>

10 THE PARTNERSHIP IS A QUALIFIED INVESTMENT PARTNERSHIP UNDER CALIFORNIA REVENUE & TAXATION CODE SEC. 17955, THEREFORE, NONE OF THE PARTNERSHIP'S INCOME FROM QUALIFYING INVESTMENT SECURITIES IS SOURCED TO CALIFORNIA FOR THE NON-RESIDENT PARTNERS

YEAR  
**2000**

# Partner's Share of Income, Deductions, Credits, etc.

CALIFORNIA SCHEDULE  
**K-1 (565)**

For calendar year 2000 or fiscal income year beginning month \_\_\_\_\_ day \_\_\_\_\_ year 2000 and ending month \_\_\_\_\_ day \_\_\_\_\_ year \_\_\_\_\_

Partner's identifying number **13-6108163** Partnership's FEIN **94-3106323**

Partner's name address state and ZIP Code **#88** Secretary of State file number \_\_\_\_\_

**Surdna Foundation, Inc**  
c/o Mr. Marc de Venoge  
Surdna Foundation, Inc.  
330 Madison Avenue, 30th Floor  
New York, NY 10017

Partnership's name, address state and ZIP Code  
**Farallon Capital Inst Partners, L P**  
One Maritime Plaza, Suite 1325  
San Francisco, CA 94111

**A** Is this partner a  (1) general partner or  (2) limited partner?

**B** What type of entity is this partner?  (1) Individual  (5) General Partnership  (8) LLC  
 (2) S Corporation  (6) Limited Partnership  (9) RAKeogh/SEP  
 (3) Estate/Trust  (7) LLP  (10) Exempt Organization

**C** Is this partner a foreign partner?  Yes  No

**D** Enter partner's percentage (without regard to special allocations) of (i) Before decrease or termination (ii) End of year

Profit sharing	2.492607%	●	2.864921%
Loss sharing	2.492607%	●	2.864921%
Ownership of capital	3.656961%	●	3.189773%

**E** Partner's share of liabilities  
Nonrecourse  \$ \_\_\_\_\_  
Qualified nonrecourse financing  \$ \_\_\_\_\_  
Other  \$ \_\_\_\_\_

**F** Tax shelter registration number \_\_\_\_\_

**G** (1) Check here if this is a publicly traded partnership as defined in IRC Section 469(k)(2)   
(2) Check here if this is an investment partnership (R&TC Section 17955 and 23040.1)

**H** Check here if this is  (1) a final Schedule K-1 (565)  (2) an amended Schedule K-1 (565)

**I** Is this partner a nonresident of California?  Yes  No

**J Analysis of partner's capital account**

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of line 3 line 4 and line 7 Form 565 Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine column (a) through column (d))
● 54,346,568	●	● 6,556,970	( )	● 60,903,538

**Caution:** Refer to Partner's Instructions for Schedule K-1 (565) before entering information from this schedule on your California return

	(a) Distributive share item	(b) Amounts from federal Schedule K-1 (1065)	(c) California adjustments	(d) Total amounts using California law (Combine col (b) and col (c))	(e) California source amounts and credits
Income (Loss)	1 Ordinary income (loss) from trade or business activities	2,738,509	23	● 2,738,532	▶
	2 Net income (loss) from rental real estate activities			●	▶
	3 Net income (loss) from other rental activities				
	4 Portfolio income (loss)				
	a Interest	12,013	(7,944)	● 4,069	▶
	b Dividends	24		● 24	▶
	c Royalties			●	▶
Deductions	d Net capital gain (loss)	120,573		● 120,573	▶
	e Other portfolio income (loss) Attach schedule	319		● 319	▶
	5 Guaranteed payments to partners			●	▶
	6 Net gain (loss) under IRC Section 1231 (other than due to casualty or theft)	(5)		● (5)	▶
	7 Other income (loss) Attach schedule	4,785,451		● 4,785,451	▶
	8 Charitable Contributions				
Deductions	9 Expense deduction for recovery property (R&TC Sections 17267 2 17267 6, 17268 and IRC Section 179) Attach Schedule				
	10 Deductions related to portfolio income Attach schedule	8,832		8,832	
	11 Other deductions Attach schedule	4,925		4,925	

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(a) Distributive share item		(b) Amounts from federal Schedule K 1 (1065)	(c) Adjustments	(d) Total amounts using California law (Combine col (b) and col (c))	(e) California source amounts and credits
Investment Interest	12 a Interest expense on investment debts				
	b (1) Investment income included on line 4a, 4b, 4c and 4e	12,356	(7,944.)	4,412	
	(2) Investment expenses included on line	8,832		8,832	
Credits	13 a (1) Withholding on partnership allocated to all partners				
	(2) Partnership withholding on nonresident partners				
	(3) Total withholding (equals amount on Form 592-B if calendar year partnership)				
	b Low income housing credit				
	c Credits other than line 13b related to rental real estate activities Attach schedule				
d Credits related to other rental activities See instructions Attach schedule					
14 Other credits Attach required schedules or statements					
Adjustments and Tax Preference Items	15 a Depreciation adjustment on property placed in service after 1986				
	b Adjusted gain or loss				
	c Depletion (other than oil and gas)				
	d (1) Gross income from oil, gas, and geothermal properties				
	(2) Deductions allocable to oil, gas, and geothermal properties				
e Other adjustments and tax preference items Attach schedule					
Other	16 a Total expenditures to which an IRC Section 59(e) election may apply				
	b Type of expenditures _____				
	17 Tax-exempt interest income		7,944	7,944	
	18 Other tax-exempt income				
	19 Nondeductible expenses		23	23	
	20 Distributions of money (cash and marketable securities)				
21 Distributions of property other than money					
22 Supplemental information required to be reported separately to each partner Attach additional schedules See instructions					\$

**Table 1** - Partner's share of nonbusiness income from intangibles (source of income is dependent on residence or commercial domicile of the partner)

Interest	\$ 4,069.	Sec 1231 Gains/Losses	\$ (5)	Capital Gains/Losses	\$ 120,573
Dividends	\$ 24	Royalties	\$	Other	\$ 319

FOR USE BY APPORTIONING UNITARY PARTNERS ONLY -- See instructions

**Table 2** - Partners share of distributive items

- A Partner's share of the partnership's business income See instructions \$ \_\_\_\_\_
- B Partner's share of nonbusiness income from real and tangible personal property sourced or allocable to California
 

Capital Gains/Losses	\$ _____	Rents/Royalties	\$ _____
1231 Gains/Losses	\$ _____	Other	\$ _____
- C Partner's distributive share of the partnership's property payroll, and sales

Factors	Total within and outside California	Total within California
Property Beginning	\$	\$
Ending	\$	\$
Annual Rent Expense	\$	\$
Payroll	\$	\$
Sales	\$	\$

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**FARALLON CAPITAL INSTITUTIONAL PARTNERS, L.P.**  
ATTACHMENT TO SCHEDULE K-1

Partner Name Surdna Foundation, Inc  
 Partner ID # 13-6108163

Partner #88

- 1 All of the following amounts comprising Line 1 of your Schedule K-1 are from the following "non-passive" trade or business sources

Line 1, Ord Inc	(b) - Fed	(c) Adj	(d) CA Law	(e) CA Source
Interest	\$2,472,586		\$2,472,586	-
Dividends	366,094		366,094	-
IRC Sec 988 Income/(Loss)	244,326		244,326	-
Income from Notional Principal Contracts	367,914		367,914	-
Trade/Bus Inv Int Exp	(106,992)		(106,992)	-
Trade/Bus Deductions	(605,419)	23	(605,396)	-
<b>Total Line 1</b>	<b>\$2,738,509</b>	<b>23</b>	<b>\$2,738,532</b>	<b>-</b>

- 2 Line 4a, Interest Income

The Federal/California adjustment in column (c) is attributable to Interest Income from U S Government obligations included in the federal amount on Line 4(a)

- 3 Line 7, Other Income

Short-term Capital Gain	\$4,077,282		\$4,077,282	-
Long-term Capital Gain	522,703		522,703	-
IRC Sec 1256 Contracts	185,466		185,466	-
<b>Total Line 7</b>	<b>\$4,785,451</b>	<b>-</b>	<b>\$4,785,451</b>	<b>-</b>

- 4 The partnership is a qualified investment partnership under California Revenue & Taxation Code Sec 17955 Therefore, none of the partnership's income from qualifying investment securities is sourced to California for the non-resident partners

7 (8/8)

**SCHEDULE K-1**  
**(Form 1065)**

Department of the Treasury  
Internal Revenue Service

**Partner's Share of Income, Credits, Deductions, etc.**

▶ See separate instructions.

OMB No 1545-0099

**2000**

For calendar year 2000 or tax year beginning , 2000, and ending

Partner's identifying number ▶ **13-6108163** Partnership's identifying number ▶ **13-3839561**

Partner's name, address, and ZIP code **PARTNER # 8** Partnership's name, address and ZIP code  
**SURDNA FOUNDATION, INC.** **VEGA PARTNERS III, L.P.**  
**ATTN: MARC DEVENOGE** **11 MARTINE AVENUE - 11TH FLOOR**  
**330 MADISON AVENUE - 30TH FL.** **WHITE PLAINS, NY 10606**  
**NEW YORK, NY 10017-5001**

**A** This partner is a  general partner  limited partner  
 limited liability company member

**B** What type of entity is this partner? ▶ **EXEMPT ORG.**

**C** Is this partner a  domestic or a  foreign partner?

**D** Enter partner's percentage of (i) Before change or termination % (ii) End of year %  
 Profit sharing . . . . . %  
 Loss sharing . . . . . %  
 Ownership of capital . . . . . % **3.243888** %

**E** IRS Center where partnership filed return **BROOKHAVEN**

**F** Partner's share of liabilities (see instructions)  
 Nonrecourse \_\_\_\_\_  
 Qualified nonrecourse financing \_\_\_\_\_  
 Other \_\_\_\_\_

**G** Tax shelter registration number ▶ \_\_\_\_\_

**H** Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) . . . . .

**I** Check applicable boxes (1)  Final K-1 (2)  Amended K-1

**J Analysis of partner's capital account**

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of lines 3, 4 and 7 Form 1065 Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine columns (a) through (d))
4,836,243.		-760,328.		4,075,915.

(a) Distributive share item		(b) Amount	(c) 1040 filers enter the amount in column (b) on	
<b>Income (Loss)</b>	<b>1</b> Ordinary income (loss) from trade or business activities . . . . .	<b>1</b>	} See page 6 of Partner's Instructions for Schedule K-1 (Form 1065)	
	<b>2</b> Net income (loss) from rental real estate activities . . . . .	<b>2</b>		
	<b>3</b> Net income (loss) from other rental activities . . . . .	<b>3</b>		
	<b>4</b> Portfolio income (loss)		} See page 6 of Partner's Instructions for Schedule K-1 (Form 1065)	
	<b>a</b> Interest . . . . . <i>TO LINE 4(b)</i>	<b>4a</b> 302,946.		Sch B, Part I, line 1
	<b>b</b> Ordinary dividends . . . . . <i>TO LINE 4(b)</i>	<b>4b</b> 12,567.		Sch B, Part II, line 5
	<b>c</b> Royalties . . . . .	<b>4c</b>		Sch E, Part I, line 4
	<b>d</b> Net short-term capital gain (loss) <i>TO LINE 7(b)</i> . . . . .	<b>4d</b> 289,015.		Sch D, line 5, col (f)
	<b>e</b> Net long-term capital gain (loss)			
	<b>(1)</b> 28% rate gain (loss) . . . . . <i>TO LINE 7(b)</i>	<b>4e(1)</b>		Sch D, line 12, col (g)
<b>(2)</b> Total for year . . . . . <i>TO LINE 7(b)</i> . . . . .	<b>4e(2)</b> -345,472.	Sch D, line 12, col (f)		
<b>f</b> Other portfolio income (loss) (attach schedule) . . . . .	<b>4f</b>	Enter on applicable line of your return		
<b>5</b> Guaranteed payments to partner . . . . .	<b>5</b>	} See page 6 of Partner's Instructions for Schedule K-1 (Form 1065)		
<b>6</b> Net section 1231 gain (loss) (other than due to casualty or theft) . . . . .	<b>6</b>			
<b>7</b> Other income (loss) (attach schedule) <i>TO LINE 11(b)</i> . . . . .	<b>7</b> 10,713.		Enter on applicable line of your return	
<b>Deductions</b>	<b>8</b> Charitable contributions (see instructions) (attach schedule) . . . . .	<b>8</b>	Sch A, line 15 or 16	
	<b>9</b> Section 179 expense deduction . . . . .	<b>9</b>	} See pages 7 and 8 of Partner's Instructions for Schedule K-1 (Form 1065)	
	<b>10</b> Deductions related to portfolio income (attach schedule) <i>TO LINE 10 23(b)</i> . . . . .	<b>10</b> 81,587.		
<b>11</b> Other deductions (attach schedule) . . . . .	<b>11</b>			
<b>Credits</b>	<b>12a</b> Low-income housing credit		} Form 8586, line 5	
	<b>(1)</b> From section 42(j)(5) partnerships for property placed in service before 1990 . . . . .	<b>12a(1)</b>		
	<b>(2)</b> Other than on line 12a(1) for property placed in service before 1990 . . . . .	<b>12a(2)</b>		
	<b>(3)</b> From section 42(j)(5) partnerships for property placed in service after 1989 . . . . .	<b>12a(3)</b>		
	<b>(4)</b> Other than on line 12a(3) for property placed in service after 1989 . . . . .	<b>12a(4)</b>		
	<b>b</b> Qualified rehabilitation expenditures related to rental real estate activities . . . . .	<b>12b</b>	} See page 8 of Partner's Instructions for Schedule K-1 (Form 1065)	
	<b>c</b> Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities . . . . .	<b>12c</b>		
	<b>d</b> Credits related to other rental activities . . . . .	<b>12d</b>		
<b>13</b> Other credits . . . . .	<b>13</b>			

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		(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
Investment Interest	14 a	Interest expense on investment debts	14a	Form 4952 line 1 See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b (1)	Investment income included on lines 4a, 4b, 4c, and 4f	b(1) 315,513.	
	b (2)	Investment expenses included on line 10	b(2) 81,587.	
Self-employment	15 a	Net earnings (loss) from self-employment	15a	Sch SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b	Gross farming or fishing income	15b	
	c	Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a	Depreciation adjustment on property placed in service after 1986	16a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
	b	Adjusted gain or loss	16b	
	c	Depletion (other than oil and gas)	16c	
	d (1)	Gross income from oil, gas, and geothermal properties	d(1)	
	d (2)	Deductions allocable to oil, gas, and geothermal properties	d(2)	
	e	Other adjustments and tax preference items (attach schedule)	16e	
Foreign Taxes	17 a	Name of foreign country or U.S. possession ▶ STMT 1	17a	Form 1116, Part I
	b	Gross income sourced at partner level	17b	
	c	Foreign gross income sourced at partnership level		
	(1)	Passive	17c(1) 6,066.	
	(2)	Listed categories (attach schedule)	17c(2)	
	(3)	General limitation	17c(3)	
	d	Deductions allocated and apportioned at partner level		
	(1)	Interest expense	17d(1)	
	(2)	Other	17d(2)	
	e	Deductions allocated and apportioned at partnership level to foreign source income		
	(1)	Passive	17e(1)	
(2)	Listed categories (attach schedule)	17e(2)		
(3)	General limitation	17e(3)		
f	Total foreign taxes (check one) ▶ <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	17f	Form 1116, Part II	
g	Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g	See instructions for Form 1116	
Other	18	Section 59(e)(2) expenditures a Type ▶		See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b	Amount	18b	
	19	Tax-exempt interest income	19	Form 1040, line 8b
	20	Other tax-exempt income	20	
	21	Nondeductible expenses	21	
	22	Distributions of money (cash and marketable securities)	22	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	23	Distributions of property other than money	23	
24	Recapture of low-income housing credit:		Form 8611, line 8	
a	From section 42(j)(5) partnerships	24a		
b	Other than on line 24a	24b		
Supplemental Information	25	Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)		
		SEE PARTNER FOOTNOTES SCHEDULE		
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LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME

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MANAGEMENT FEES	72,846
PROFESSIONAL FEES	5,866
AMORTIZATION OF ORGANIZATION EXPENSES	1,208
MISCELLANEOUS EXPENSES	1,512
FROM PASS-THROUGH ENTITIES	155
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	81,587

=====

LINE 17A - NAME OF FOREIGN COUNTRY OR U.S. POSSESSION

=====

VARIOUS

PARTNER FOOTNOTES

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NOTE TO CORPORATE ENTITIES:  
100% OF THE DIVIDENDS REPORTED TO YOU ON LINE 4B QUALIFY FOR THE  
DIVIDENDS RECEIVED DEDUCTION AVAILABLE TO CORPORATE PARTNERS UNDER  
INTERNAL REVENUE CODE SECTION 243.

8 (3/3)

**SCHEDULE K-1  
(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

OMB No 1545-0099

**2000**

Department of the Treasury  
Internal Revenue Service

See separate instructions

For calendar year 2000 or tax year beginning 2000, and ending

Partner's identifying number ▶ **13-6108163**  
 Partner's name, address, and ZIP code **PARTNER # 25**  
**SURDNA FOUNDATION, INC.**  
**330 MADISON AVENUE, 30TH FLOOR**  
**NEW YORK, NY 10017-5001**

Partnership's identifying number ▶ **54-1831047**  
 Partnership's name, address, and ZIP code  
**TIFF PARTNERS I, LLC**  
**2405 IVY ROAD**  
**CHARLOTTESVILLE, VA 22903**

**A** This partner is a  general partner  limited partner  
 limited liability company member  
**B** What type of entity is this partner? ▶ **EXEMPT ORG.**  
**C** Is this partner a  domestic or a  foreign partner?  
**D** Enter partner's percentage of (i) Before change or termination (ii) End of year  
 Profit sharing % **18.320611** %  
 Loss sharing % **18.320611** %  
 Ownership of capital % **18.320611** %  
**E** IRS Center where partnership filed return **PHILADELPHIA**

**F** Partner's share of liabilities (see instructions)  
 Nonrecourse **125,896.**  
 Qualified nonrecourse financing **2,965,751.**  
 Other \_\_\_\_\_  
**G** Tax shelter registration number ▶ \_\_\_\_\_  
**H** Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2)   
**I** Check applicable boxes (1)  Final K-1 (2)  Amended K-1

**J Analysis of partner's capital account:**

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of lines 3, 4, and 7 Form 1065 Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine columns (a) through (d))
27,617,649.	750,000.	-6,834,986.	(7,447,652.)	14,085,011.

	(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on	
Income (Loss)	1 Ordinary income (loss) from trade or business activities <i>SEE PG 9(5/12)</i>	7,450.	See page 6 of Partner's instructions for Schedule K-1 (Form 1065)	
	2 Net income (loss) from rental real estate activities <i>STMT 1 SEE PG 9(7/12)</i>	68,439.		
	3 Net income (loss) from other rental activities	3		
	4 Portfolio income (loss)		See page 6 of Partner's instructions for Schedule K-1 (Form 1065)	
	a Interest <i>To LINE 4(b)</i>	55,044.		Sch B, Part I, line 1
	b Ordinary dividends <i>To LINE 4(b)</i>	104,388.		Sch B, Part II, line 5
	c Royalties	4c		Sch E, Part I, line 4
	d Net short-term capital gain (loss) <i>To LINE 7(b)</i>	632,820.		Sch D, line 5, col (f)
	e Net long-term capital gain (loss)			
	(1) 28% rate gain (loss)	4e(1)		Sch D, line 12, col (g)
	(2) Total for year <i>To LINE 7(b)</i>	4e(2) 5,094,856.	Sch D, line 12, col (f)	
	f Other portfolio income (loss) (attach schedule) <i>STMT 1 SEE PG 9(6/12)</i>	4,779.	Enter on applicable line of your return	
	5 Guaranteed payments to partner <i>To LINE 11(b)</i>	5 186,992.	See page 6 of Partner's instructions for Schedule K-1 (Form 1065)	
6 Net section 1231 gain (loss) (other than due to casualty or theft)	6 20,657.			
7 Other income (loss) (attach schedule) <i>STMT 1</i>	7 -7,069.	Enter on applicable line of your return		
Deductions	8 Charitable contributions (see instructions) (attach schedule)	8	Sch A, line 15 or 16	
	9 Section 179 expense deduction	9	See pages 7 and 8 of Partner's instructions for Schedule K-1 (Form 1065)	
	10 Deductions related to portfolio income (attach schedule) <i>To LINE 23(b)</i>	201,457.		
	11 Other deductions (attach schedule)	11		
Credits	12a Low-income housing credit		Form 8586, line 5	
	(1) From section 42(j)(5) partnerships for property placed in service before 1990	12a(1)		
	(2) Other than on line 12a(1) for property placed in service before 1990	12a(2)		
	(3) From section 42(j)(5) partnerships for property placed in service after 1989	12a(3)		
	(4) Other than on line 12a(3) for property placed in service after 1989	12a(4)		
	b Qualified rehabilitation expenditures related to rental real estate activities	12b	See page 8 of Partner's instructions for Schedule K-1 (Form 1065)	
	c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities	12c		
	d Credits related to other rental activities	12d		
13 Other credits	13			

For Paperwork Reduction Act Notice, see instructions for Form 1065

Schedule K-1 (Form 1065) 2000

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		(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on.
Investment Interest	14 a	Interest expense on investment debts	14a	Form 4952, line 1 See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b (1)	Investment income included on lines 4a, 4b, 4c, and 4f	164,211.	
	b (2)	Investment expenses included on line 10	201,457	
Self-employment	15 a	Net earnings (loss) from self-employment	15a	Sch SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b	Gross farming or fishing income	15b	
	c	Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a	Depreciation adjustment on property placed in service after 1986	137.	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
	b	Adjusted gain or loss	16b	
	c	Depletion (other than oil and gas)	16c	
	d (1)	Gross income from oil, gas, and geothermal properties	d(1)	
	d (2)	Deductions allocable to oil, gas, and geothermal properties	d(2)	
e	Other adjustments and tax preference items (attach schedule)	16e		
Foreign Taxes	17 a	Name of foreign country or U.S. possession ▶ STMT 2		Form 1116, Part I
	b	Gross income sourced at partner level	17b	
	c	Foreign gross income sourced at partnership level		
	(1)	Passive	11,794.	
	(2)	Listed categories (attach schedule)	17c(2)	
	(3)	General limitation	17.	
	d	Deductions allocated and apportioned at partner level:		
	(1)	Interest expense	17d(1)	
	(2)	Other	17d(2)	
	e	Deductions allocated and apportioned at partnership level to foreign source income:		
	(1)	Passive	1,735.	
(2)	Listed categories (attach schedule)	17e(2)		
(3)	General limitation	230.		
f	Total foreign taxes (check one) <input checked="" type="checkbox"/> Paid <input type="checkbox"/> Accrued	291.	Form 1116, Part II	
g	Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g	See Instructions for Form 1116	
Other	18	Section 59(e)(2) expenditures a Type ▶		See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b	Amount	18b	
	19	Tax-exempt interest income	19	Form 1040, line 8b
	20	Other tax-exempt income	20	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	21	Nondeductible expenses	21	
	22	Distributions of money (cash and marketable securities)	7,447,652.	
	23	Distributions of property other than money	23	
	24	Recapture of low-income housing credit		Form 8611, line 8
a	From section 42(j)(5) partnerships	24a		
b	Other than on line 24a	24b		
Supplemental Information	25	Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)		
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	<u>SEE PARTNER FOOTNOTES SCHEDULE</u>			
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**PARTNER'S SCHEDULE OF ACTIVITIES**

54-1831047

Activity Name(s) and Type of Income:	Disposed Activity	Qualified Low Income Housing	Publicly Traded Partnership	Pass-through Activity
A TIFF PARTNERS I, LLC	NO	NO	NO	NO
B TIFF PARTNERS I, LLC	NO	NO	NO	NO
C FROM BROOKDALE INVESTORS II, L.P.	NO	NO	NO	YES
D FROM CMD REALTY INVESTMENT FUND III, L.P.	NO	NO	NO	YES
E FROM DOUGLAS EMMETT-BARRINGTON PLAZA	NO	NO	NO	YES

Schedule K-1 Line References	Activity A	Activity B	Activity C	Activity D	Activity E
	PORTFOLIO	GEN. T/B	P/T RENTAL	P/T RENTAL	P/T RENTAL
1 Ordinary income . . . . .					
2 Rental real estate income . . .			-24,377.	-63,385.	4,631.
3 Other rental income . . . . .					
4a Interest income . . . . .	55,044.				
4b Ordinary dividends . . . . .	104,388.				
4c Royalties . . . . .					
4d Short-term capital gain/loss	4,776.				
4e (1)28% Rate Long-term capital gain/loss					
4e (2)Total Long-term capital gain/loss	5,722,903.				
4f Other portfolio income/loss . .	4,779.				
5 Guaranteed payments . . . . .		186,992.			
6 Net Section 1231 gain/loss . . .		20,657.			
7 Other income . . . . .		-7,069.			
8 Charitable contributions . . . . .					
9 Section 179 expense . . . . .					
10 Deductions for portfolio income	201,452.				
11 Other deductions . . . . .					
12a (1)Elec pre-90 low-inc housing cr					
12a (2)Other pre-90 low-inc housing cr					
12a (3)Elec post-89 low-inc housing cr					
12a (4)Other post-89 low-inc housing cr					
12b Qualified rehab expenditures					
12c Rental real estate credits . . .					
12d Other rental credits					
13 Other credits . . . . .					
14a Interest expense on inv debts					
14b (1)Investment income . . . . .	164,211.				
14b (2)Investment expense . . . . .	201,452.				
16a Depr adj on post-86 property . .		137.			
16b Adjusted gain/loss . . . . .					
16c Depletion . . . . .					
16d (1)Gross income oil/gas/geoth					
16d (2)Deductions alloc to oil/gas prop					
16e Other tax preference					
17b Total gross income (ptr level) .					
17c (1)Passive (ptrshp level)		11,794.			
17c (2>Listed categories (ptrshp level)					
17c (3)General limitation (ptrshp level)		17.			
17d (1)Interest exp allo & appt (ptr level)					
17d (2)Other deductions allo. & appt (ptr level)					
17e (1)Passive deductions (ptrshp level)		1,735.			
17e (2>Listed categories (ptrshp level)					
17e (3)General limitation (ptrshp level)		230.			
17f Total foreign taxes. . . . .		291.			
17g Reduction in taxes avail for credit .					
18b Section 59(e) expenditures . . .					
19 Tax-exempt interest income					
20 Other tax-exempt income . . . . .					
21 Nondeductible expenses. . . . .					
24a Low-inc housing credit recap . . .					
24b Other than Sec 42(j)(5) . . . . .					
25 Supplemental Information . . . . .					

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**PARTNER'S SCHEDULE OF ACTIVITIES**

54-1831047

Activity Name(s) and Type of Income:	Disposed Activity	Qualified Low-income Housing	Publicly Traded Partnership	Pass-through Activity
<b>A FROM DOUGLAS EMMETT-FOX BUILDING</b>	NO	NO	NO	YES
<b>B FROM DOUGLAS EMMETT-GALLERIA PARK</b>	NO	NO	NO	YES
<b>C FROM DOUGLAS EMMETT-PACIFIC PLAZA</b>	NO	NO	NO	YES
<b>D FROM DOUGLAS EMMETT-OFFICE, SALTAIR</b>	NO	NO	NO	YES
<b>E FROM DOUGLAS EMMETT-OFFICE, SECOND ST</b>	NO	NO	NO	YES

Schedule K-1 Line References	Activity A	Activity B	Activity C	Activity D	Activity E
	P\T RENTAL	P\T RENTAL	P\T RENTAL	P\T RENTAL	P\T RENTAL
1 Ordinary income . . . . .					
2 Rental real estate income . . . . .	1,514.	-2,866.	378.	3,126.	7,515.
3 Other rental income . . . . .					
4a Interest income . . . . .					
4b Ordinary dividends . . . . .			-		
4c Royalties . . . . .			-		
4d Short-term capital gain/loss . . . . .					
4e (1)28% Rate Long-term capital gain/loss . . . . .					
4e (2)Total Long-term capital gain/loss . . . . .					
4f Other portfolio income/loss . . . . .					
5 Guaranteed payments . . . . .					
6 Net Section 1231 gain/loss . . . . .					
7 Other income . . . . .			-		
8 Charitable contributions . . . . .				-	
9 Section 179 expense . . . . .					
10 Deductions for portfolio income . . . . .					
11 Other deductions . . . . .					
12a (1)Elec pre-90 low-inc housing cr . . . . .					
12a (2)Other pre-90 low-inc housing cr . . . . .					
12a (3)Elec post-89 low-inc housing cr . . . . .					
12a (4)Other post-89 low-inc housing cr . . . . .					
12b Qualified rehab expenditures . . . . .					
12c Rental real estate credits . . . . .					
12d Other rental credits . . . . .					
13 Other credits . . . . .					
14a Interest expense on inv debts . . . . .					
14b (1)Investment income . . . . .					
14b (2)Investment expense . . . . .					
16a Depr adj on post-86 property . . . . .					
16b Adjusted gain/loss . . . . .					
16c Depletion . . . . .					
16d (1)Gross income oil/gas/geoth . . . . .					
16d (2)Deductions alloc to oil/gas prop . . . . .					
16e Other tax preference . . . . .					
17b Total gross income (ptr level) . . . . .					
17c (1)Passive (ptrshp level) . . . . .					
17c (2>Listed categories (ptrshp level) . . . . .					
17c (3)General limitation (ptrshp level) . . . . .					
17d (1)Interest exp allo & appl (ptr level) . . . . .					
17d (2)Other deductions allo & appl (ptr level) . . . . .					
17e (1)Passive deductions (ptrshp level) . . . . .					
17e (2>Listed categories (ptrshp level) . . . . .					
17e (3)General limitation (ptrshp level) . . . . .					
17f Total foreign taxes . . . . .					
17g Reduction in taxes avail for credit . . . . .					
18b Section 59(e) expenditures . . . . .					
19 Tax-exempt interest income . . . . .					
20 Other tax-exempt income . . . . .					
21 Nondeductible expenses . . . . .					
24a Low-inc housing credit recap . . . . .					
24b Other than Sec 42(j)(5) . . . . .					
25 Supplemental Information . . . . .					

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**PARTNER'S SCHEDULE OF ACTIVITIES**

54-1831047

Activity Name(s) and Type of Income:	Disposed Activity	Qualified Low-Income Housing	Publicly Traded Partnership	Pass-through Activity
<b>A FROM DOUGLAS EMMETT-OFFICE, VERONA</b>	NO	NO	NO	YES
<b>B FROM DOUGLAS EMMETT-SHERMAN OAKS TOWER</b>	NO	NO	NO	YES
<b>C FROM DOUGLAS EMMETT-10990 WILSHIRE</b>	NO	NO	NO	YES
<b>D FROM YORKTOWN ENERGY PARTNERS III, L.P.</b>	NO	NO	NO	YES
<b>E FROM NEW CENTURY XI</b>	NO	NO	NO	YES

Schedule K-1 Line References	Activity A	Activity B	Activity C	Activity D	Activity E
	P\T RENTAL	P\T RENTAL	P\T RENTAL	P\T GEN TB	PASS-THRU
1 Ordinary income . . . . .				7,651.	-201.
2 Rental real estate income . . . . .	1,644.	3,234.	147.		7450
3 Other rental income . . . . .					
4a Interest income . . . . .					
4b Ordinary dividends . . . . .					
4c Royalties . . . . .					
4d Short-term capital gain/loss . . . . .					
4e (1)28% Rate Long-term capital gain/loss . . . . .					
4e (2)Total Long-term capital gain/loss . . . . .					
4f Other portfolio income/loss . . . . .					
5 Guaranteed payments . . . . .					
6 Net Section 1231 gain/loss . . . . .					
7 Other income . . . . .					
8 Charitable contributions . . . . .					
9 Section 179 expense . . . . .					
10 Deductions for portfolio income . . . . .					
11 Other deductions . . . . .					
12a (1)Elec pre-90 low-inc housing cr . . . . .					
12a (2)Other pre-90 low-inc housing cr . . . . .					
12a (3)Elec post-89 low-inc housing cr . . . . .					
12a (4)Other post-89 low-inc housing cr . . . . .					
12b Qualified rehab expenditures . . . . .					
12c Rental real estate credits . . . . .					
12d Other rental credits . . . . .					
13 Other credits . . . . .					
14a Interest expense on inv debts . . . . .					
14b (1)Investment income . . . . .					
14b (2)Investment expense . . . . .					
16a Depr adj on post-86 property . . . . .					
16b Adjusted gain/loss . . . . .					
16c Depletion . . . . .					
16d (1)Gross income oil/gas/geoth . . . . .					
16d (2)Deductions alloc to oil/gas prop . . . . .					
16e Other tax preference . . . . .					
17b Total gross income (ptr level) . . . . .					
17c (1)Passive (ptrshp level) . . . . .					
17c (2>Listed categories (ptrshp level) . . . . .					
17c (3)General limitation (ptrshp level) . . . . .					
17d (1)Interest exp allo & appt (ptr level) . . . . .					
17d (2)Other deductions allo & appt (ptr level) . . . . .					
17e (1)Passive deductions (ptrshp level) . . . . .					
17e (2>Listed categories (ptrshp level) . . . . .					
17e (3)General limitation (ptrshp level) . . . . .					
17f Total foreign taxes . . . . .					
17g Reduction in taxes avail for credit . . . . .					
18b Section 59(e) expenditures . . . . .					
19 Tax-exempt interest income . . . . .					
20 Other tax-exempt income . . . . .					
21 Nondeductible expenses . . . . .					
24a Low-inc housing credit recap . . . . .					
24b Other than Sec 42(j)(5) . . . . .					
25 Supplemental Information . . . . .					

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ITEM J, COLUMN(C) - RECONCILIATION OF INCOME

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INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7 6,031,478.  
 LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B 201,748.

TOTAL INCOME PER SCHEDULE K-1 5,829,730.

LESS: EXPENSES RECORDED ON BOOKS, NOT INCLUDED ON SCH. K-1:  
 NET BOOK/TAX DIFFERENCES FROM  
 PASS-THROUGH ENTITIES 12,664,716.

TOTAL INCOME PER ITEM J, COLUMN(C) -6,834,986.

LINE 2 - NET INCOME FROM RENTAL REAL ESTATE ACTIVITIES  
 FROM PASS-THROUGH ENTITIES -68,439.

TOTAL NET INCOME FROM RENTAL REAL ESTATE ACTIVITIES -68,439.

LINE 4F - OTHER PORTFOLIO INCOME  
 OTHER PORTFOLIO INCOME FROM PASS-THROUGH ENTITIES 4,814.  
 OTHER PORTFOLIO INCOME FROM PASS-THROUGH ENTITIES: SECTION 988 INCOME -35.

TOTAL OTHER PORTFOLIO INCOME 4,779.

To LINE 11(b)

LINE 7 - OTHER INCOME  
 OTHER INCOME INCLUDED IN ITEM J, COLUMN(C):  
 REALIZED LOSS ON FOREIGN CURRENCY TRANSACTIONS -7,069.

TOTAL OTHER INCOME -7,069.

LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME  
 PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES 134,836.  
 PROFESSIONAL FEES 6,502.  
 ADVISORY FEES 54,092.  
 INSURANCE EXPENSE 4,270.  
 MISCELLANEOUS EXPENSES 1,757.

TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME 201,457.

9/6/12

=====

LINE 17A - NAME OF FOREIGN COUNTRY OR U.S. POSSESSION  
=====

VARIOUS

PARTNER FOOTNOTES NOT INCLUDED IN ITEM J  
=====

FOR TAX EXEMPT ENTITIES ONLY:

YOUR SHARE OF UNRELATED BUSINESS TAXABLE INCOME/  
(LOSS) GENERATED DURING 2000 IS REPORTED BELOW:

FEDERAL UBTI INFORMATION\*:

- NET INCOME/(LOSS) FROM RENTAL REAL ESTATE  
ACTIVITIES FROM BROOKDALE INVESTORS II, L.P.
- NET INCOME/(LOSS) FROM RENTAL REAL ESTATE  
ACTIVITIES FROM DOUGLAS EMMETT REALTY FUND 1996
- NET INCOME/(LOSS) FROM RENTAL REAL ESTATE  
ACTIVITIES FROM CMD REALTY

To 990-T  
 { 20,876 }  
 (x)

-16,061.  
 12,879.  
 -17,694.

STATE UBTI INFORMATION:

- NET INCOME/(LOSS) FROM RENTAL REAL ESTATE  
ACTIVITIES FROM DOUGLAS EMMETT REALTY FUND:  
CALIFORNIA:

(AL UBTI 12,879.

\*IF YOU ARE A QUALIFIED ORGANIZATION UNDER IRC  
SECTION 514(C)(9), YOUR PERCENTAGE OF UNRELATED  
BUSINESS TAXABLE INCOME FROM THESE ITEMS IS 0%.

UNRECAPTURED SECTION 1250 GAIN INCLUDED ON LINE 6  
IS

3,638.

Pg 9(1/2) LINE 2: <68,439>  
 20,876 (x)  
 -----  
 <47,563>

9/7/12

**TIFF PARTNERS I, LLC**  
**EIN 54-1831047**  
**For the year ended December 31, 2000**  
**SCHEDULE K-1 SUPPLEMENTAL INFORMATION**

Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

TIFF Partners I LLC has indirectly invested in the following Passive Foreign Investment Companies ("PFICs") which may require special reporting by U S persons. Tiff Partners I LLC's share of the income and distributions are shown below. A partner in Tiff Partners I LLC may compute their pro-rata share of the amounts shown below by multiplying the amounts below by the percentage of their partnership interest in Tiff Partners I, LLC.

	Ordinary Earnings	Net Capital Gain Earnings	Cash Distribution	FMV of Property Distributions
Acton Participacoes S A Av Brigadeiro Fana Lima 3729 7 Andar Sao Paulo SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Albae Participacoes S A Av Brigadeiro Fana Lima 3729 7 Andar Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Asseca Participacoes S A Av Brigadeiro Fana Lima 3729, 7 Andar Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Atque Participacoes Ltda Av Brigadeiro Fana Lima 3729 Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Barton Participacoes Ltda Av Brigadeiro Fana Lima 3729 Sao Paulo Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Convex Communications Do Brazil S A Av Dr Cardoso De Mello 1450 / Cjs 401 e 401 Sao Paolo Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Draco Producao E Programacao S A Av Brigadeiro Fana Lima 3729, 7 Andar Sao Paulo SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0

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TIFF PARTNERS I, LLC  
EIN 54-1831047  
For the year ended December 31, 2000  
SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

	Ordinary Earnings	Net Capital Gain Earnings	Cash Distribution	FMV of Property Distributions
<p>Elefante Com Ltd Ugland House P O Box 309, South Church Street Grand Cayman Cayman Islands Tax ID N/A For the period 01/01/2000 through 12/31/2000</p>	0	0	0	0
<p>E-Pedreiro Ltd Ugland House, P O Box 309 South Church St Grand Cayman Cayman Islands Tax ID N/A For the period 01/01/2000 through 12/31/2000</p>	0	0	0	0
<p>Evora Participacoes S A Av Bngadeiro Fana Lima 3729 7 Andar Sao Paulo SP, Brazil Tax ID N/A For the period 05/26/2000 through 12/31/2000</p>	0	0	0	0
<p>Febraro Participacoes S A Av Bngadeiro Fana Lima 3729, 7 Andar Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000</p>	0	0	0	0
<p>Ibest S A Rua Goethe Casa 6 Botafogo Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000</p>	0	0	0	0
<p>Logistica Com Ltd Ugland House P O Box 309 South Church Street Grand Cayman Cayman Islands Tax ID N/A For the period 04/18/2000 through 12/31/2000</p>	0	0	0	0
<p>Logistica Com do Brasil S A Rua do Rocio 351 Sao Paulo SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000</p>	0	0	0	0
<p>Lokau Limited Ugland House P O Box 309 South Church St Grand Cayman Cayman Islands Tax ID N/A For the period 01/01/2000 through 12/31/2000</p>	0	0	0	0

9/9/12

TIFF PARTNERS I, LLC  
EIN 54-1831047  
For the year ended December 31, 2000  
SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Supplemental information with regard to Passive Foreign Investment Companies (PFICs)

	Ordinary Earnings	Net Capital Gain Earnings	Cash Distribution	FMV of Property Distributions
New Brazil Development Equity Investments Ugland House, P O Box 309, South Church St Grand Cayman Cayman Islands Tax ID N/A For the period 04/11/2000 through 12/31/2000	95,131	0	155,375	0
New Emerging Markets Capital Investments Ugland House, P O Box 309 South Church St Grand Cayman Cayman Islands Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Pocone Participacoes S.A Av Bngadeiro Faria Lima 3729, 7 Andar Sao Paulo SP, Brazil Tax Id N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
SVM Participacoes e Empreendimentos Ltda Av Bngadeiro Fana Lima, 3729 7 Andar Sao Paulo, SP Brazil Tax Id N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Talb Participacoes S.A Av Bngadeiro Fana Lima 3729 Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Telemar Participacoes S A Av Bngadeiro Fana Lima 3729 7 Andar Sao Paulo, SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Webmotors Com Ltd Ugland House P O Box 309 South Church Street Grand Cayman Cayman Islands Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
WRSPC Empreendimentos E Participacoes Ltda Av Bngadeiro Fana Lima 3729 7 Andar Sao Paulo SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	1,060	0	0	0

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TIFF PARTNERS I, LLC  
EIN 54-1831047  
For the year ended December 31, 2000  
SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Supplemental information with regard to Passive Foreign Investment Companies (PFICs)

Additionally, the corporations listed below were treated as PFICs in '99, however, they don't qualify as PFICs in 2000. As certain aspects of US tax law are unclear you are encouraged to consult with your tax advisor to consider whether you may be eligible to file a "Protective Statement" regarding a reasonable belief that the corporation did not qualify as PFICs for the year 2000.

Aprile Participacoes Ltda  
Av Bngadeiro Fana Lima, 3729  
Sao Paulo, Brazil  
Tax ID N/A  
For the period 01/01/00 through 12/31/00

Balaku Participacoes Ltda  
Av Bngadeiro Fana Lima, 3729  
Sao Paulo, Brazil  
Tax ID N/A  
For the period 01/01/2000 through 12/31/2000

Maggio Participacoes Ltda  
Av Bngadeiro Fana Lima, 3729  
Sao Paulo, Brazil  
Tax ID N/A  
For the period 01/01/2000 through 12/31/2000

T P S P V Empreendimentos e Participacoes Ltda  
Av Bngadeiro Fana Lima 3729  
Sao Paulo Brazil  
Tax ID N/A  
For the period 01/01/2000 through 12/31/2000

Submanno Ltd  
Ugland House P O Box 309  
South Church Street Grand Cayman Cayman Islands  
Tax ID N/A  
For the period 01/01/2000 through 12/31/2000

The tax rules relating to PFICs are complex. Please consult your tax adviser. If you are required to report this information, a copy of Form 8621 should be attached to your tax return.

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TIFF PARTNERS I, LLC  
EIN 54-1831047  
For the year ended December 31, 2000  
SCHEDULE K-1 SUPPLEMENTAL INFORMATION  
STATEMENT 4

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Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

TIFF Partners I, LLC, an indirect U S shareholder, has made an election under Section 1295 of the Internal Revenue Code to treat the following PFIC as a Qualified Electing Fund ("QEF") since the direct interest is held by a foreign entity

S P E L Empreendimentos e Participacoes S A  
Av Brigadeiro Faria Lima, 3729, 7 Andar  
Sao Paulo, Brazil  
Tax ID N/A  
For the period 01/01/2000 through 12/31/2000

A separate form 8621, "Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund" must be completed by each U S person (whether a direct or indirect shareholder) for this PFIC

The tax rules relating to PFICs are complex. Please consult your tax adviser. If required to file, a copy of each Form 8621 should be attached your tax return

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**Partner's Share of Income, Credits, Deductions, etc.**

See separate instructions.

**2000**

For calendar year 2000 or tax year beginning 2000, and ending

<b>Partner's identifying number</b> ▶ 13-6108163 Partner's name, address, and ZIP code PARTNER # 37 SURDNA FOUNDATION, INC. 330 MADISON AVENUE, 30TH FL. NEW YORK, NY 10017-5001	<b>Partnership's identifying number</b> ▶ 58-2426874 Partnership's name, address, and ZIP code TIFF PARTNERS III, LLC 2405 IVY ROAD CHARLOTTESVILLE, VA 22903
--	---

<b>A</b> This partner is a <input type="checkbox"/> general partner <input type="checkbox"/> limited partner <input checked="" type="checkbox"/> limited liability company member <b>B</b> What type of entity is this partner? ▶ EXEMPT ORG. <b>C</b> Is this partner a <input checked="" type="checkbox"/> domestic or a <input type="checkbox"/> foreign partner? <b>D</b> Enter partner's percentage of (i) Before change or termination (ii) End of year Profit sharing . . . . . % 6.096074 % Loss sharing . . . . . % 6.096074 % Ownership of capital . . . . . % 6.096074 % <b>E</b> IRS Center where partnership filed return PHILADELPHIA	<b>F</b> Partner's share of liabilities (see instructions) Nonrecourse . . . . . 32,403. Qualified nonrecourse financing . . . . . Other . . . . . <b>G</b> Tax shelter registration number ▶ <b>H</b> Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) . . . . . <input type="checkbox"/> <b>I</b> Check applicable boxes (1) <input type="checkbox"/> Final K-1 (2) <input type="checkbox"/> Amended K-1
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**J Analysis of partner's capital account:**

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of lines 3, 4 and 7 Form 1065 Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine columns (a) through (d))
1,159,579.	2,100,000	306,157	( 131,960 )	3,433,776.

	(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
<b>Income (Loss)</b>	1 Ordinary income (loss) from trade or business activities <i>SEE PG. (3/6)</i>	-2,042.	See page 6 of Partner's Instructions for Schedule K-1 (Form 1065)
	2 Net income (loss) from rental real estate activities	2	
	3 Net income (loss) from other rental activities	3	
	4 Portfolio income (loss)		Sch B, Part I, line 1 Sch B, Part II, line 5 Sch E, Part I, line 4 Sch D, line 5, col (f) Sch D, line 12, col (g) Sch D, line 12, col (f) Enter on applicable line of your return
	a Interest . . . . . <i>TO LINE 4 (b)</i>	4a 25,843.	
	b Ordinary dividends . . . . . <i>TO LINE 4 (b)</i>	4b 17,873.	
	c Royalties . . . . .	4c	
	d Net short-term capital gain (loss) <i>TO LINE 7 (b)</i>	4d 28,864.	
	e Net long-term capital gain (loss)		
	(1) 28% rate gain (loss)	4e(1)	
(2) Total for year . . . . . <i>TO LINE 7 (b)</i>	4e(2) 88,044.		
f Other portfolio income (loss) (attach schedule) . . . . . <i>STMT. 1 TO LINE 11 (b) 137.</i>	4f	See page 6 of Partner's Instructions for Schedule K-1 (Form 1065) Enter on applicable line of your return	
5 Guaranteed payments to partner . . . . .	5		
6 Net section 1231 gain (loss) (other than due to casualty or theft)	6	See page 6 of Partner's Instructions for Schedule K-1 (Form 1065) Enter on applicable line of your return	
7 Other income (loss) (attach schedule) . . . . . <i>STMT. 1 SEE 10 (7/6) -3,000.</i>	7		
<b>Deductions</b>	8 Charitable contributions (see instructions) (attach schedule)	8	Sch A, line 15 or 16
	9 Section 179 expense deduction . . . . .	9	
	10 Deductions related to portfolio income (attach schedule) <i>TO LINE 23 (b) 204,124</i>	10	See pages 7 and 8 of Partner's Instructions for Schedule K-1 (Form 1065)
	11 Other deductions (attach schedule)	11	
<b>Credits</b>	12a Low-income housing credit		Form 8586, line 5
	(1) From section 42(j)(5) partnerships for property placed in service before 1990 . . . . .	12a(1)	
	(2) Other than on line 12a(1) for property placed in service before 1990 . . . . .	12a(2)	
	(3) From section 42(j)(5) partnerships for property placed in service after 1989 . . . . .	12a(3)	
	(4) Other than on line 12a(3) for property placed in service after 1989 . . . . .	12a(4)	
	b Qualified rehabilitation expenditures related to rental real estate activities . . . . .	12b	See page 8 of Partner's Instructions for Schedule K-1 (Form 1065)
	c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities . . . . .	12c	
	d Credits related to other rental activities . . . . .	12d	
13 Other credits . . . . .	13		

*10 (7/6)*

(a) Distributable share item		(b) Amount	(c) 1040 filers enter the amount in column (b) on:
Investment Interest	14 a Interest expense on investment debts <i>To line 23 (b)</i>	14a 1,079.	Form 4952, line 1 See page 9 of Partner's Instructions for Schedule K-1 (Form 1065).
	b (1) Investment income included on lines 4a, 4b, 4c, and 4f	b(1) 43,853.	
	(2) Investment expenses included on line 10	b(2) 204,124	
Self-employment	15 a Net earnings (loss) from self-employment	15a	Sch SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Gross farming or fishing income	15b	
	c Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a Depreciation adjustment on property placed in service after 1986	16a 216.	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
	b Adjusted gain or loss	16b	
	c Depletion (other than oil and gas)	16c	
	d (1) Gross income from oil, gas, and geothermal properties	d(1)	
	(2) Deductions allocable to oil, gas, and geothermal properties	d(2)	
e Other adjustments and tax preference items (attach schedule)	16e		
Foreign Taxes	17 a Name of foreign country or U S possession ▶ STMT 2		Form 1116, Part I
	b Gross income sourced at partner level	17b 10,520.	
	c Foreign gross income sourced at partnership level		
	(1) Passive	17c(1) 15,872.	
	(2) Listed categories (attach schedule)	17c(2)	
	(3) General limitation	17c(3)	
	d Deductions allocated and apportioned at partner level		
	(1) Interest expense	17d(1) 972.	
	(2) Other	17d(2)	
	e Deductions allocated and apportioned at partnership level to foreign source income		
(1) Passive	17e(1) 5,220.		
(2) Listed categories (attach schedule)	17e(2)		
(3) General limitation	17e(3)		
f Total foreign taxes (check one) ▶ <input checked="" type="checkbox"/> Paid <input type="checkbox"/> Accrued	17f 173.	Form 1116, Part II	
g Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g	See Instructions for Form 1116	
Other	18 Section 59(e)(2) expenditures a Type ▶		See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Amount	18b	
	19 Tax-exempt interest income	19	Form 1040, line 8b
	20 Other tax-exempt income	20	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	21 Nondeductible expenses	21	
	22 Distributions of money (cash and marketable securities)	22 131,960.	
	23 Distributions of property other than money	23	Form 8611, line 8
	24 Recapture of low-income housing credit		
a From section 42(j)(5) partnerships	24a		
b Other than on line 24a	24b		
Supplemental Information	25 Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)		
	SEE PARTNER FOOTNOTES SCHEDULE		
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	-----		
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	-----		
	-----		
	-----		
	-----		

**PARTNER'S SCHEDULE OF ACTIVITIES**

58-2426874

PARTNER # 37 SURD FOUNDATION, INC.		Disposed Activity	Qualified Low-Income Housing	Publicly Traded Partnership	Pass-through Activity
Activity Name(s) and Type of Income:					
A	TIFF PARTNERS III, LLC	NO	NO	NO	NO
B	TIFF PARTNERS III, LLC	NO	NO	NO	NO
C					
D					
E					

Schedule K-1 Line References	Activity A PORTFOLIO	Activity B GEN. T\B	Activity C	Activity D	Activity E
1 Ordinary income . . . . .		-2,042.			
2 Rental real estate income . . . . .					
3 Other rental income . . . . .					
4a Interest income . . . . .	25,843.				
4b Ordinary dividends . . . . .	17,873.				
4c Royalties . . . . .					
4d Short-term capital gain/loss . . . . .	28,864				
4e(1)28% Rate Long-term capital gain/loss . . . . .					
4e(2)Total Long-term capital gain/loss . . . . .	88,044.				
4f Other portfolio income/loss . . . . .	137.				
5 Guaranteed payments . . . . .					
6 Net Section 1231 gain/loss . . . . .					
7 Other income . . . . .		-3,000.			
8 Charitable contributions . . . . .					
9 Section 179 expense . . . . .					
10 Deductions for portfolio income . . . . .	204,124.				
11 Other deductions . . . . .					
12a (1)Elec pre-90 low-inc housing cr . . . . .					
12a (2)Other pre-90 low-inc housing cr . . . . .					
12a (3)Elec post-89 low-inc housing cr . . . . .					
12a (4)Other post-89 low-inc housing cr . . . . .					
12b Qualified rehab expenditures . . . . .					
12c Rental real estate credits . . . . .					
12d Other rental credits . . . . .					
13 Other credits . . . . .					
14a Interest expense on inv debts . . . . .	1,079				
14b(1)Investment income . . . . .	43,853				
14b(2)Investment expense . . . . .	204,124.				
16a Depr adj on post-86 property . . . . .		216.			
16b Adjusted gain/loss . . . . .					
16c Depletion . . . . .					
16d(1)Gross income oil/gas/geoth . . . . .					
16d(2)Deductions alloc to oil/gas prop . . . . .					
16e Other tax preference . . . . .					
17b Total gross income (ptr level) . . . . .		10,520.			
17c (1)Passive (ptrshp level) . . . . .		15,872			
17c (2>Listed categories (ptrshp level) . . . . .					
17c (3)General limitation (ptrshp level) . . . . .					
17d(1)Interest exp allo & appt (ptr level) . . . . .		972.			
17d(2)Other deductions allo. & appt (ptr level) . . . . .					
17e (1)Passive deductions (ptrshp level) . . . . .		5,220.			
17e (2>Listed categories (ptrshp level) . . . . .					
17e (3)General limitation (ptrshp level) . . . . .					
17f Total foreign taxes . . . . .		173.			
17g Reduction in taxes avail for credit . . . . .					
18b Section 59(e) expenditures . . . . .					
19 Tax-exempt interest income . . . . .					
20 Other tax-exempt income . . . . .					
21 Nondeductible expenses . . . . .					
24a Low-inc housing credit recap . . . . .					
24b Other than Sec 42(i)(5) . . . . .					
25 Supplemental Information . . . . .					

10/3/16

ITEM J, COLUMN(C) - RECONCILIATION OF INCOME

INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7	155,719.
LESS: DEDUCTIONS FROM SCH K-1, LINES 8 - 11, 14A, 17F & 18B	205,376.
	-----
TOTAL INCOME PER SCHEDULE K-1	-49,657.
PLUS: INCOME RECORDED ON BOOKS, NOT INCL. ON SCH. K-1: NET BOOK/TAX DIFFERENCES FROM PASS-THROUGH ENTITIES	355,537.
PLUS: DEDUCTIONS ON SCH. K-1, NOT CHARGED AGAINST BOOKS: AMORTIZATION OF ORGANIZATION COSTS	277.
	-----
TOTAL INCOME PER ITEM J, COLUMN(C)	306,157.

LINE 4F - OTHER PORTFOLIO INCOME

OTHER PORTFOLIO INCOME FROM PASS-THROUGH ENTITIES	137.
	-----
TOTAL OTHER PORTFOLIO INCOME	137.

LINE 7 - OTHER INCOME

OTHER INCOME INCLUDED IN ITEM J, COLUMN(C): FOREIGN CURRENCY EXCHANGE LOSS	-3,000.
	-----
TOTAL OTHER INCOME	-3,000.

LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME

PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES	111,549.
PROFESSIONAL FEES	3,025.
AMORTIZATION OF ORGANIZATION COSTS	277.
ADVISORY FEES	84,267.
INSURANCE EXPENSE	852.
MISCELLANEOUS EXPENSES	4,154.
	-----
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	204,124.

LINE 17A - NAME OF FOREIGN COUNTRY OR U.S. POSSESSION

VARIOUS

PARTNER FOOTNOTES NOT INCLUDED IN ITEM J

FOR TAX EXEMPT ORGANIZATION ONLY:  
YOUR SHARE OF UNRELATED BUSINESS TAXABLE INCOME/  
(LOSS) GENERATED DURING 2000 IS\*:

\* IF YOU ARE A QUALIFIED ORGANIZATION UNDER IRC  
SECTION 514(C)(9), YOUR PERCENTAGE OF UNRELATED  
BUSINESS TAXABLE INCOME FROM THESE ITEMS IS 0%.

To 990-1 -2,020

**TIFF PARTNERS III, LLC**  
**SCHEDULE K-1 SUPPORTING SCHEDULES**  
**12/31/00**

**STATEMENT 3**

**Additional Tax Information with Respect to QSB Stock**

During 2000, the partnership acquired an indirect interest in stock of the following QSB eligible companies

<u>Company</u>	<u>Purchase Date</u>	<u>Total Purchase Amount</u>
Collabrys, Inc	09/25/00	64,428
Confirma	11/13/00	59,715
EhealthContracts	04/07/00	91,958
EhealthContracts	11/10/00	82,298
ESIN Technologies Corp	09/01/00	66,649
MitraLife	08/09/00	26,195
Mycometnx Corp	07/05/00	68,933
Pharmion Corporation	01/05/00	32,550
Pharmion Corporation	12/26/00	21,467
Spiration, Inc	11/01/00	129,882
St Francis Medical Technologies, Inc	10/06/00	72,549
Symx, Inc	02/23/00	22,649
Symx, Inc	09/06/00	37,676
Tensys Medical, Inc	02/18/00	79,418

The above Qualified Small Business ("QSB") Stock information is provided in the case of a taxpayer other than a corporation, with respect to the rollover treatment pursuant to Internal Revenue Code Section 1045. Please consult your tax advisor.

The amounts indicated above represent Tiff Partners III, LLC share of the purchase price. A partner in Tiff Partners III, LLC may compute their pro-rata share of these amounts by multiplying the amounts by the percentage of their partnership interest in Tiff Partners III, LLC.

10 (6/6)

**SCHEDULE K-1  
(Form 1065)**

**Partner's Share of Income, Credits, Deductions, etc.**

▶ See separate instructions.

Department of the Treasury  
Internal Revenue Service

For calendar year 2000 or tax year beginning **07/01** 2000, and ending **06/30/2001**

**2000**

<p><b>Partner's identifying number</b> ▶ 13-6108163</p> <p>Partner's name, address, and ZIP code <b>PARTNER # 63</b>  <b>SURDNA FOUNDATION</b>  <b>330 MADISON AVENUE, 30TH FLOOR</b>  <b>NEW YORK, NY 10017-5001</b></p>	<p><b>Partnership's identifying number</b> ▶ 06-1563330</p> <p>Partnership's name, address, and ZIP code  <b>ENDOWMENT PRIVATE EQUITY PARTNERS</b>  <b>IV, LP</b>  <b>15 OLD DANBURY ROAD</b>  <b>P.O. BOX 812</b>  <b>WILTON, CT 06897-0812</b></p>
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<p><b>A</b> This partner is a <input type="checkbox"/> general partner <input checked="" type="checkbox"/> limited partner  <input type="checkbox"/> limited liability company member</p> <p><b>B</b> What type of entity is this partner? ▶ <b>EXEMPT ORG.</b></p> <p><b>C</b> Is this partner a <input checked="" type="checkbox"/> domestic or a <input type="checkbox"/> foreign partner?</p> <p><b>D</b> Enter partner's percentage of (I) Before change of termination (II) End of year</p> <table style="width:100%;"> <tr> <td>Profit sharing</td> <td><u>VARIOUS</u></td> <td>%<u>VARIOUS</u></td> <td>%</td> </tr> <tr> <td>Loss sharing</td> <td><u>VARIOUS</u></td> <td>%<u>VARIOUS</u></td> <td>%</td> </tr> <tr> <td>Ownership of capital</td> <td><u>VARIOUS</u></td> <td>%<u>VARIOUS</u></td> <td>%</td> </tr> </table> <p><b>E</b> IRS Center where partnership filed return <b>ANDOVER</b></p>	Profit sharing	<u>VARIOUS</u>	% <u>VARIOUS</u>	%	Loss sharing	<u>VARIOUS</u>	% <u>VARIOUS</u>	%	Ownership of capital	<u>VARIOUS</u>	% <u>VARIOUS</u>	%	<p><b>F</b> Partner's share of liabilities (see instructions)</p> <p>Nonrecourse _____</p> <p>Qualified nonrecourse financing _____</p> <p>Other _____</p> <p><b>G</b> Tax shelter registration number ▶ _____</p> <p><b>H</b> Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2). <input type="checkbox"/></p> <p><b>I</b> Check applicable boxes (1) <input type="checkbox"/> Final K-1 (2) <input type="checkbox"/> Amended K-1</p>
Profit sharing	<u>VARIOUS</u>	% <u>VARIOUS</u>	%										
Loss sharing	<u>VARIOUS</u>	% <u>VARIOUS</u>	%										
Ownership of capital	<u>VARIOUS</u>	% <u>VARIOUS</u>	%										

**J Analysis of partner's capital account:**

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Partner's share of lines 3, 4 and 7, Form 1065 Schedule M-2	(d) Withdrawals and distributions	(e) Capital account at end of year (combine columns (a) through (d))
355,808.	640,000	-25,508.	( 7,841 )	962,459.

	(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
<b>Income (Loss)</b>	1 Ordinary income (loss) from trade or business activities . . . . .	-14.	STMT See page 8 of Partner's instructions for Schedule K-1 (Form 1065)  Sch. B, Part I, line 1 Sch. B, Part II, line 5 Sch. E Part I, line 4 Sch. D, line 5, col (f)  Sch. D, line 12, col (g) Sch. D, line 12, col (f)  Enter on applicable line of your return  See page 8 of Partner's instructions for Schedule K-1 (Form 1065) Enter on applicable line of your return.
	2 Net income (loss) from rental real estate activities . . . . .	2	
	3 Net income (loss) from other rental activities . . . . .	3	
	4 Portfolio income (loss)		
	a Interest . . . . .	4a 10,814.	
	b Ordinary dividends <i>TO LINE 4(b) STMT 1.</i> . . . . .	4b 115.	
	c Royalties . . . . .	4c	
	d Net short-term capital gain (loss) . . . . .	4d	
	e Net long-term capital gain (loss)		
	(1) 28% rate gain (loss) . . . . .	4e(1)	
(2) Total for year . . . . .	4e(2)		
f Other portfolio income (loss)(attach schedule) . . . . .	4f		
5 Guaranteed payments to partner . . . . .	5		
6 Net section 1231 gain (loss) (other than due to casualty or theft) . . . . .	6		
7 Other income (loss)(attach schedule) . . . . .	7		
<b>Deductions</b>	8 Charitable contributions (see instructions)(attach schedule) . . . . .	8	Sch. A, line 15 or 18  See pages 7 and 8 of Partner's instructions for Schedule K-1 (Form 1065)
	9 Section 179 expense deduction . . . . .	9	
	10 Deductions related to portfolio income(attach schedule) <i>TO LINE 23(b)</i> . . . . .	10 51,363.	
	11 Other deductions (attach schedule) . . . . .	11	
<b>Credits</b>	12a Low-income housing credit		Form 8586, line 5  See page 8 of Partner's instructions for Schedule K-1 (Form 1065)
	(1) From section 42(j)(5) partnerships for property placed in service before 1990 . . . . .	12a(1)	
	(2) Other than on line 12a(1) for property placed in service before 1990 . . . . .	12a(2)	
	(3) From section 42(j)(5) partnerships for property placed in service after 1989 . . . . .	12a(3)	
	(4) Other than on line 12a(3) for property placed in service after 1989 . . . . .	12a(4)	
	b Qualified rehabilitation expenditures related to rental real estate activities . . . . .	12b	
	c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities . . . . .	12c	
	d Credits related to other rental activities . . . . .	12d	
13 Other credits . . . . .	13		

11(1/4)

(a) Distributive Share Item		(b) Amount	(c) 1040 filers enter the amount in column (b) on:
Investment Interest	14 a Interest expense on investment debts	14a To LINE 23(b) 228.	Form 4952, line 1 See page 3 of Partner's Instructions for Schedule K-1 (Form 1065)
	b (1) Investment income included on lines 4a, 4b, 4c, and 4f	b(1) 10,929.	
	(2) Investment expenses included on line 10	b(2) 51,363.	
Self-employment	15 a Net earnings (loss) from self-employment	15a	Sch. SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Gross farming or fishing income	15b	
	c Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a Depreciation adjustment on property placed in service after 1986	16a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and instructions for Form 6251
	b Adjusted gain or loss	16b	
	c Depletion (other than oil and gas)	16c	
	d (1) Gross income from oil, gas, and geothermal properties	d(1)	
	(2) Deductions allocable to oil, gas, and geothermal properties	d(2)	
e Other adjustments and tax preference items (attach schedule)	16e		
Foreign Taxes	17 a Name of foreign country or U.S. possession	17a	Form 1116 Part I
	b Gross income sourced at partner level	17b	
	c Foreign gross income sourced at partnership level		
	(1) Passive	17c(1)	
	(2) Listed categories (attach schedule)	17c(2)	
	(3) General limitation	17c(3)	
	d Deductions allocated and apportioned at partner level		
	(1) Interest expense	17d(1)	
	(2) Other	17d(2)	
	e Deductions allocated and apportioned at partnership level to foreign source income		
(1) Passive	17e(1)		
(2) Listed categories (attach schedule)	17e(2)		
(3) General limitation	17e(3)		
f Total foreign taxes (check one) <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	17f	Form 1116, Part II	
g Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g	See instructions for Form 1116	
Other	18 Section 59(e)(2) expenditures a Type	18a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Amount	18b	
	19 Tax-exempt interest income	19	Form 1040, line 8b
	20 Other tax-exempt income	20	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	21 Nondeductible expenses	21	
	22 Distributions of money (cash and marketable securities)	22 7,841.	
	23 Distributions of property other than money	23	Form 8811 line 8
	24 Recapture of low-income housing credit		
a From section 42(j)(5) partnerships	24a		
b Other than on line 24a	24b		
Supplemental Information	25 Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)		
	SEE PARTNER FOOTNOTES SCHEDULE		
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11 (2/4)



ITEM J, COLUMN(C) - RECONCILIATION OF INCOME

INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7	10,915.
LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	51,591.
TOTAL INCOME PER SCHEDULE K-1	-40,676.
LESS: INCOME INCLUDED ON SCH. K-1, NOT RECORDED ON BOOKS: BOOK/TAX DIFFERENCE FROM PASS-THROUGH ENTITIES	37,313.
PLUS: INCOME RECORDED ON BOOKS, NOT INCL. ON SCH K-1: NET CHANGE IN UNREALIZED APPRECIATION ON INVESTMENTS	48,141.
PLUS: DEDUCTIONS ON SCH. K-1, NOT CHARGED AGAINST BOOKS: AMORTIZATION OF ORGANIZATION EXPENSES	4,302
PLUS: OTHER INCREASES TO PARTNER'S CAPITAL OTHER INCREASES	38.
TOTAL INCOME PER ITEM J, COLUMN(C)	-25,508.

LINE 4B - DIVIDENDS

FROM PASS-THROUGH ENTITIES	115.
TOTAL DIVIDENDS	115.

LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME

PROFESSIONAL FEES	1,177.
MANAGEMENT FEES	25,670.
GENERAL AND ADMINISTRATIVE EXPENSES	545.
ORGANIZATION COSTS	4,302.
PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES	19,669.
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	51,363.

LINE 14B(1) - INVESTMENT INCOME INCLUDED ON LINES 4A, 4B, 4C, AND 4F

FROM PARTNERSHIP	9,568.
FROM PASS-THROUGH ENTITIES	1,361.
TOTAL INVESTMENT INCOME INCLUDED ON LINES 4A,B,C,F	10,929.

PARTNER FOOTNOTES

TAX EXEMPT INVESTORS:

THE PARTNERSHIP IS NOT ENGAGED IN A TRADE OR BUSINESS AS DEFINED IN IRC SECTION 513. THE PARTNERSHIP DOES NOT HOLD ANY DEBT-FINANCED PROPERTY AS DEFINED IN IRC SECTION 514(B). THEREFORE, ABSENT ACQUISITION INDEBTEDNESS TO ACQUIRE YOUR PARTNERSHIP INTEREST, YOUR SHARE OF PARTNERSHIP INCOME AND DEDUCTIONS IS NOT CONSIDERED UNRELATED BUSINESS TAXABLE INCOME.

THE PARTNERSHIP HAS FILED A CONNECTICUT PARTNERSHIP INCOME TAX RETURN FOR THE FISCAL YEAR ENDED JUNE 30, 2001. ALL INCOME AND DEDUCTIONS HAVE BEEN REPORTED AS CONNECTICUT-SOURCED. HOWEVER, EACH PARTNER SHOULD CONSULT THEIR TAX ADVISER REGARDING THEIR OWN RESPECTIVE FILING REQUIREMENTS.

Partners' Share of Income, Credits, Deductions, etc.

OMB No 1545-0099

SCHEDULE K-1 (Form 1065)

See separate instructions

2000

Department of the Treasury Internal Revenue Service

For calendar year 2000 or tax year beginning 07/01, 2000, and ending 06/30/2001

Partner's identifying number 13-6108163
Partner's name, address, and ZIP code PARTNER # 108
SURDNA FOUNDATION, INC.
330 MADISON AVENUE
30TH FLOOR
NEW YORK, NY 10017-5001

Partnership's identifying number 06-1563332
Partnership's name, address, and ZIP code
ENDOWMENT VENTURE PARTNERS V, L.P.
15 OLD DANBURY ROAD, P.O. BOX 812
WILTON, CT 06897-0812

A This partner is a general partner limited partner
B What type of entity is this partner? EXEMPT ORG.
C Is this partner a domestic or a foreign partner?
D Enter partner's percentage of Profit sharing Loss sharing Ownership of capital
E IRS Center where partnership filed return ANDOVER

F Partner's share of liabilities (see instructions)
Nonrecourse
Qualified nonrecourse financing
Other
G Tax shelter registration number
H Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2)
I Check applicable boxes (1) Final K-1 (2) Amended K-1

J Analysis of partner's capital account:

Table with 5 columns: (a) Capital account at beginning of year, (b) Capital contributed during year, (c) Partner's share of lines 3, 4, and 7, Form 1065 Schedule M-2, (d) Withdrawals and distributions, (e) Capital account at end of year.

Main table with columns: (a) Distributive share item, (b) Amount, (c) 1040 filers enter the amount in column (b) on. Rows include Income (Loss) and Deductions.

	(a) Distributable share item	Amount	(c) 1040 filers enter the amount in column (b) or
Investment Interest	14 a Interest expense on investment debts STMT 2	14a TO LINE 23(b) 700	Form 4952, line 1 See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b (1) Investment income included on lines 4a, 4b, 4c, and 4f	b(1) 26,735	
	(2) Investment expenses included on line 10 STMT 2	b(2) 109,021	
Self-employment	15 a Net earnings (loss) from self-employment	15a	Sch SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Gross farming or fishing income	15b	
	c Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a Depreciation adjustment on property placed in service after 1986	16a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
	b Adjusted gain or loss	16b	
	c Depletion (other than oil and gas)	16c	
	d (1) Gross income from oil, gas, and geothermal properties	d(1)	
	(2) Deductions allocable to oil, gas, and geothermal properties	d(2)	
	e Other adjustments and tax preference items (attach schedule)	16e	
Foreign Taxes	17 a Name of foreign country or U.S. possession	17a	Form 1116, Part I
	b Gross income sourced at partner level	17b	
	c Foreign gross income sourced at partnership level	17c	
	(1) Passive	17c(1)	
	(2) Listed categories (attach schedule)	17c(2)	
	(3) General limitation	17c(3)	
	d Deductions allocated and apportioned at partner level	17d	
	(1) Interest expense	17d(1)	
	(2) Other	17d(2)	
	e Deductions allocated and apportioned at partnership level to foreign source income	17e	
	(1) Passive	17e(1)	
(2) Listed categories (attach schedule)	17e(2)		
(3) General limitation	17e(3)		
f Total foreign taxes (check one) <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	17f	Form 1116, Part II	
g Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g	See Instructions for Form 1116	
Other	18 Section 59(e)(2) expenditures a Type	18a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Amount	18b	
	19 Tax-exempt interest income	19	Form 1040, line 8b
	20 Other tax-exempt income	20	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	21 Nondeductible expenses	21	
	22 Distributions of money (cash and marketable securities)	22 9,770	
	23 Distributions of property other than money	23	Form 8811, line 8
24 Recapture of low-income housing credit	24		
a From section 42(j)(5) partnerships	24a		
b Other than on line 24a	24b		
Supplemental Information	25 Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)		
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	SEE PARTNER FOOTNOTES SCHEDULE		
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ITEM J, COLUMN(C) - RECONCILIATION OF INCOME

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INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7	26,424.
LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	109,721.
	-----
TOTAL INCOME PER SCHEDULE K-1	-83,297.
LESS: EXPENSES RECORDED ON BOOKS, NOT INCLUDED ON SCH. K-1:	
BOOK-TAX DIFFERENCE IN ORDINARY INCOME	
ON INVESTMENT IN UNDERLYING PASS	
THROUGH ENTITIES	90,894.
PLUS: INCOME RECORDED ON BOOKS, NOT INCL. ON SCH. K-1	
CHANGE IN UNREALIZED APPRECIATION ON	
INVESTMENT IN PASS THROUGH ENTITIES	3,601.
PLUS: DEDUCTIONS ON SCH. K-1, NOT CHARGED AGAINST BOOKS:	
AMORTIZATION EXPENSE	6,907.
PLUS: OTHER INCREASES TO PARTNER'S CAPITAL	
ORGANIZATIONAL ADJUSTMENT	354.
	-----
TOTAL INCOME PER ITEM J, COLUMN(C)	-163,329.
	=====

LINE 4B - DIVIDENDS	
=====	
FROM PASS-THROUGH ENTITIES	149.
	-----
TOTAL DIVIDENDS	149.
	=====

LINE 4D - NET SHORT-TERM CAPITAL GAIN (LOSS)	
=====	
FROM PASS-THROUGH ENTITIES	-311.
	-----
TOTAL NET SHORT-TERM CAPITAL GAIN (LOSS)	-311.
	=====

LINE 4F - OTHER PORTFOLIO INCOME	
=====	
FROM PASS-THROUGH ENTITIES	-1,143.
	-----
TOTAL OTHER PORTFOLIO INCOME	-1,143.
	=====

LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	
=====	
GENERAL AND ADMINISTRATIVE EXPENSES	1,049.
PROFESSIONAL FEES	700.
MANAGEMENT FEE	48,035.
AMORTIZATION EXPENSE	7,238.

CONTINUED ON NEXT PAGE

STATEMENT #1

LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME (CONT'D)

FROM PASS-THROUGH ENTITIES	51,999.
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	109,021.

LINE 14A - INTEREST EXPENSE ON INVESTMENT DEBTS

FROM PASS-THROUGH ENTITIES	700.
TOTAL INTEREST EXPENSE ON INVESTMENT DEBTS	700.

LINE 14B(1) - INVESTMENT INCOME INCLUDED ON LINES 4A, 4B, 4C, AND 4F

FROM PARTNERSHIP	22,822.
FROM PASS-THROUGH ENTITIES	3,913.
TOTAL INVESTMENT INCOME INCLUDED ON LINES 4A,B,C,F	26,735.

LINE 14B(2) - INVESTMENT EXPENSES INCLUDED ON LINE 10

FROM PARTNERSHIP	57,022.
FROM PASS-THROUGH ENTITIES	51,999.
TOTAL INVESTMENT EXPENSES INCLUDED ON LINE 10	109,021.

PARTNER FOOTNOTES

FOOTNOTE #1 - TAX EXEMPT INVESTORS. THE PARTNERSHIP IS NOT ENGAGED IN A TRADE OR BUSINESS AS DEFINED IN IRC SECTION 513 THE PARTNERSHIP DOES NOT HOLD ANY DEBT-FINANCED PROPERTY AS DEFINED IN IRC SECTION 514(B). THEREFORE, ABSENT ACQUISITION INDEBTEDNESS TO ACQUIRE YOUR PARTNERSHIP INTEREST, YOUR SHARE OF PARTNERSHIP INCOME AND DEDUCTIONS IS NOT CONSIDERED UNRELATED BUSINESS TAXABLE INCOME.

FOOTNOTE #2: THE PARTNERSHIP HAS FILED A CONNECTICUT PARTNERSHIP INCOME TAX RETURN FOR THE TAX YEAR ENDED JUNE 30, 2001. ALL INCOME AND DEDUCTIONS HAVE BEEN REPORTED AS CONNECTICUT SOURCE. HOWEVER, EACH PARTNER SHOULD CONSULT THEIR TAX ADVISOR REGARDING THEIR OWN RESPECTIVE FILING REQUIREMENTS.

SCHEDULE K-1 (Form 1065)

SHORT YEAR INITIAL RETURN Partner's Share of Income, Credits, Deductions, etc.

OMB No 1545-0099

2000

Department of the Treasury Internal Revenue Service

See separate instructions

For calendar year 2000 or tax year beginning 03/16, 2000 and ending 06/30/2001

Partner's identifying number 13-6108163
Partner's name address, and ZIP code PARTNER # 53
SURDNA FOUNDATION, INC.
330 MADISON AVENUE
30TH FLOOR
NEW YORK, NY 10017-5001

Partnership's identifying number 06-1605324
Partnership's name, address, and ZIP code
COMMONFUND CAPITAL INTERNATIONAL
PARTNERS IV, LP
15 OLD DANBURY ROAD
P O. BOX 812
WILTON, CT 06897-0812

A This partner is a general partner [X] limited partner
B What type of entity is this partner? EXEMPT ORG.
C Is this partner a domestic or a foreign partner?
D Enter partner's percentage of Profit sharing, Loss sharing, Ownership of capital
E IRS Center where partnership filed return ANDOVER

F Partner's share of liabilities (see instructions)
Nonrecourse
Qualified nonrecourse financing
Other
G Tax shelter registration number
H Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2)
I Check applicable boxes (1) Final K-1 (2) Amended K-1

Table with 5 columns: (a) Capital account at beginning of year, (b) Capital contributed during year, (c) Partner's share of lines 3, 4, and 7, (d) Withdrawals and distributions, (e) Capital account at end of year. Values: 20,000, -3,569, 16,431.

Main table with 4 columns: (a) Distributive share item, (b) Amount, (c) 1040 filers enter the amount in column (b) on, (d) Instructions. Rows include Income (Loss), Deductions, and Credits.

13(1/3)

	(a) Distributable share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on:
Investment Interest	14 a Interest expense on investment debts	14a	Form 4952, line 1 See page 8 of Partner's Instructions for Schedule K-1 (Form 1065)
	b (1) Investment income included on lines 4a, 4b, 4c, and 4f	b(1) 52	
	(2) Investment expenses included on line 10	b(2) 2,337	
Self-employment	15 a Net earnings (loss) from self-employment	15a	Sch. SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Gross farming or fishing income	15b	
	c Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a Depreciation adjustment on property placed in service after 1998	16a	See page 9 of Partner's Instructions for Schedule K-1 (Form 1065) and Instructions for Form 6251
	b Adjusted gain or loss	16b	
	c Depletion (other than oil and gas)	16c	
	d (1) Gross income from oil, gas, and geothermal properties	d(1)	
	(2) Deductions allocable to oil, gas, and geothermal properties	d(2)	
	e Other adjustments and tax preference items (attach schedule)	16e	
Foreign Taxes	17 a Name of foreign country or U.S. possession		Form 1116 Part I
	b Gross income sourced at partner level	17b	
	c Foreign gross income sourced at partnership level		
	(1) Passive	17c(1)	
	(2) Listed categories (attach schedule)	17c(2)	
	(3) General limitation	17c(3)	
	d Deductions allocated and apportioned at partner level		
	(1) Interest expense	17d(1)	
	(2) Other	17d(2)	
	e Deductions allocated and apportioned at partnership level to foreign source income		
(1) Passive	17e(1)		
(2) Listed categories (attach schedule)	17e(2)		
(3) General limitation	17e(3)		
f Total foreign taxes (check one) <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	17f	Form 1116, Part II	
g Reduction in taxes available for credit and gross income from all sources (attach schedule)	17g	See Instructions for Form 1116	
Other	18 Section 59(e)(2) expenditures a Type		See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
	b Amount	18b	
	19 Tax-exempt interest income	19	Form 1040 line 8b
	20 Other tax-exempt income	20	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	21 Nondeductible expenses	21	
	22 Distributions of money (cash and marketable securities)	22	
	23 Distributions of property other than money	23	
	24 Recapture of low-income housing credit		Form 8511, line 8
a From section 42(j)(5) partnerships	24a		
b Other than on line 24a	24b		
Supplemental Information	25 Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)		
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	<u>SEE PARTNER FOOTNOTES SCHEDULE</u>		
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ITEM J, COLUMN (C) - RECONCILIATION OF INCOME

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INCOME (LOSS) FROM SCH K-1, LINES 1 - 7	52.
LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	2,337.
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TOTAL INCOME PER SCHEDULE K-1	-2,285
LESS: EXPENSES RECORDED ON BOOKS, NOT INCLUDED ON SCH. K-1:	
BOOK-TAX DIFFERENTIAL ON INVESTMENT	
IN UNDERLYING PASS THROUGH ENTITIES	79.
NET CHANGE IN UNREALIZED APPRECIATION ON	
INVESTMENT IN UNDERLYING PASS THROUGH	
ENTITIES	154.
LESS: OTHER DECREASES TO PARTNER'S CAPITAL	
AMORTIZATION ADJUSTMENT	1,051
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TOTAL INCOME PER ITEM J, COLUMN (C)	-3,569.
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LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME

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GENERAL AND ADMINISTRATIVE	10.
PROFESSIONAL FEES	102.
MANAGEMENT FEE	2,225.
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TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	2,337.
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PARTNER FOOTNOTES

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FOOTNOTE #1 (TAX EXEMPT INVESTORS):

THE PARTNERSHIP IS NOT ENGAGED IN A TRADE OR BUSINESS AS DEFINED IN IRC SECTION 513. THE PARTNERSHIP DOES NOT HOLD ANY DEBT-FINANCED PROPERTY AS DEFINED IN IRC SECTION 514(B). THEREFORE, ABSENT ANY ACQUISITION INDEBTEDNESS TO ACQUIRE YOUR PARTNERSHIP INTEREST, YOUR SHARE OF PARTNERSHIP INCOME AND DEDUCTIONS IS NOT CONSIDERED UNRELATED BUSINESS TAXABLE INCOME.

FOOTNOTE #2:

THE PARTNERSHIP HAS FILED A CONNECTICUT PARTNERSHIP INCOME TAX RETURN FOR THE YEAR ENDED JUNE 30, 2001. ALL INCOME AND DEDUCTIONS HAVE BEEN REPORTED AS CONNECTICUT-SOURCED. HOWEVER, EACH PARTNER SHOULD CONSULT THEIR TAX ADVISOR REGARDING THEIR OWN RESPECTIVE FILING REQUIREMENTS.

Surdna Foundation, Inc.

EIN: 13-3108163

Form 990-PF

June 30, 2001

Contribution Date	Description	Asset Cost	Life Method	Dep'n/Dep'l Expense	Accumulated Dep'n/Dep'l	Fair Market Value
<b>Part II, Line 14, Leasehold Improvements &amp; Equipment</b>						
6/91	Furn & Fixtures	15,945	5Yrs S/L	0	15,945	0
6/92	Furn & Fixtures	7,762	5Yrs S/L	0	7,762	0
6/93	Furn & Fixtures	2,715	5Yrs S/L	0	2,715	0
6/94	Furn & Fixtures	1,977	5Yrs S/L	0	1,977	0
6/95	Furn & Fixtures	1,234	5Yrs S/L	0	1,234	0
6/96	Furn & Fixtures	51,660	5Yrs S/L	5,166	51,660	0
6/97	Furn & Fixtures	192	5Yrs S/L	38	172	20
6/98	Furn & Fixtures	3,580	5Yrs S/L	716	2,506	1,074
6/00	Furn & Fixtures	45,573	5Yrs S/L	9,117	13,676	31,897
6/01	Furn & Fixtures	1,987	5Yrs S/L	199	199	1,788
6/95	Leasehold Improv	70,634	5Yrs S/L	10,593	70,634	0
6/96	Leasehold Improv	17,453	5Yrs S/L	1,940	10,670	6,783
6/00	Leasehold Improv	180,695	5Yrs S/L	36,140	54,210	126,485
6/01	Leasehold Improv	50,259	5Yrs S/L	5,276	5,276	44,983
6/93	Office Equip	876	5Yrs S/L	0	876	0
6/91	Computer Equipment	21,296	5Yrs S/L	0	21,296	0
6/92	Computer Equipment	9,091	5Yrs S/L	0	9,091	0
6/95	Computer Equipment	90,082	5Yrs S/L	0	90,082	0
6/96	Computer Equipment	43,973	5Yrs S/L	4,398	43,974	(1)
6/97	Computer Equipment	7,021	5Yrs S/L	1,404	6,318	703
6/98	Computer Equipment	39,720	5Yrs S/L	7,944	27,804	11,916
6/99	Computer Equipment	97,145	5Yrs S/L	19,429	48,573	48,572
6/00	Computer Equipment	25,835	5Yrs S/L	5,167	7,751	18,084
6/01	Computer Equipment	25,326	5Yrs S/L	2,533	2,533	22,793
6/95	Art Work	4,837	5Yrs S/L	0	4,837	0
6/96	Art Work	16,605	5Yrs S/L	1,660	16,605	0
6/97	Art Work	350	5Yrs S/L	70	315	35
6/99	Art Work	747	5Yrs S/L	150	375	372
<b>Total Part II, Line 14c</b>		<u>834,570</u>		<u>111,940</u>	<u>519,066</u>	<u>315,504</u>

**Total Dep'n & Dep'l to Part I, Line 19a**

111,940

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
1000 Friends of Florida	01 4	\$ -	\$ 1,000	\$ 1,000	\$ -
1000 Friends of New Mexico	00 4	330,000	-	330,000	-
1000 Friends of New Mexico	01 4	-	330,000	330,000	-
52nd Street Project	00 3	40,000	-	40,000	-
79th Street Corridor Neighborhood Initiative, Inc	00 4	160,000	-	160,000	-
Acre Family Day Care	00 4	100,000	-	100,000	-
Acre Family Day Care	01 4	-	100,000	100,000	-
Addison Gallery of American Art- Phillips Academy	00 4	155,000	-	155,000	-
Alaska Conservation Foundation	00 1	50,000	-	50,000	-
Alaska Conservation Foundation	01 3	-	300,000	100,000	200,000
Alliance For Justice	01 1	-	100,000	100,000	-
Alliance of Redding Museums	01 3	-	50,000	50,000	-
Alternatives for Community & Environment	00 1	50,000	-	50,000	-
Alternatives In Action	01 1	-	400,000	200,000	200,000
Alvin Ailey Dance Theater Foundation	01 3	-	225,000	75,000	150,000
American Farmland Trust	00 3	200,000	-	100,000	100,000
American Farmland Trust	01 3	-	15,000	15,000	-
American Farmland Trust	01 4	-	1,000	1,000	-
American Forum	01 4	-	50,000	50,000	-
American Friends of the Royal Court Theatre	01 3	-	250	250	-
American Museum of Natural History	00 1	50,000	-	50,000	-
American Oceans Campaign	01 1	-	75,000	75,000	-
American Rivers	01 1	-	200,000	100,000	100,000
Americans for Equitable Climate Solutions	01 3	-	100,000	100,000	-
Appalachian Mountain Club	01 3	-	150,000	75,000	75,000
Aquinas Housing Corporation	00 4	100,000	-	100,000	-
Aquinas Housing Corporation	01 4	-	100,000	100,000	-
Armory Center for the Arts	00 4	170,000	-	85,000	85,000
Artists for Humanity	00 4	150,000	-	75,000	75,000
Arts Teachers Fellowship Program	01 4	-	134,000	102,500	31,500
Aspen Music Festival and School	01 3	-	225,000	75,000	150,000
Association For Neighborhood & Housing Development, Inc	01 3	-	50,000	50,000	-
Audubon Society of New Hampshire	01 4	-	200,000	100,000	100,000
Ballet Hispanico of New York, Inc	00 4	85,000	-	85,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Ballet Tech	99 4	\$ 75,000	\$ -	\$ 75,000	\$ -
Baltimore School for the Arts	01 3	-	375,000	75,000	300,000
Baltimore Symphony Orchestra	01 1	-	2,000	2,000	-
Baltimore Symphony Orchestra	01 4	-	1,000	1,000	-
Bay Area Video Coalition	01 1	-	80,000	80,000	-
Beaverkill Conservancy	01 1	-	2,075,000	2,075,000	-
Benton Foundation	01 4	-	100,000	100,000	-
Berkshire Farm Center & Services For Youth	01 3	-	33,000	33,000	-
Berkshire Farm Center & Services For Youth	01 4	-	300,000	150,000	150,000
Biodiversity Project	01 3	-	75,000	75,000	-
Blake School	01 3	-	2,500	2,500	-
Bloomington School of Music	01 4	-	20,000	20,000	-
Boston Aging Concerns-Young and Old United	01 4	-	10,000	10,000	-
Boston University Tanglewood Institute	99 2	75,000	-	75,000	-
Boys Choir of Tallahassee	01 1	-	65,000	65,000	-
Brevard Music Center	01 1	-	150,000	50,000	100,000
Bridge Group, The	00 1	300,000	-	150,000	150,000
Bridge Street Development Corporation	01 3	-	65,000	65,000	-
Broad-Park Development Corporation	01 3	-	15,000	15,000	-
Broad-Park Development Corporation	01 4	-	200,000	100,000	100,000
Brookings Institution, The	00 1	175,000	-	175,000	-
Brooklyn Academy of Music	01 1	-	35,000	35,000	-
Brooklyn Youth Chorus	01 4	-	216	216	-
Brooks School	01 3	-	10,000	10,000	-
Brown University	01 3	-	100,000	50,000	50,000
C A S E L - University of Illinois	01 3	-	15,000	15,000	-
California Institute of Integral Studies	01 4	-	10,000	10,000	-
California Institute of the Arts	01 3	-	375,000	125,000	250,000
California State University Monterey Bay	01 4	-	120,000	120,000	-
Campaign For Fiscal Equity, Inc	01 3	-	10,000	10,000	-
Cape and Islands Self-Reliance Corp	00 3	40,000	-	-	40,000
Cape Cod Commercial Hook Fishermen's Association	01 1	-	45,000	45,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Cape Cod Commercial Hook Fishermen's Association	01 3	\$ -	\$ 15,000	\$ 15,000	\$ -
Carnegie Foundation For The Advancement Of Teaching	01 4	-	75,000	75,000	-
Catholic Charities-Brooklyn and Queens	01 3	-	80,000	80,000	-
Catholic Charities-Brooklyn and Queens	01 4	-	10,000	10,000	-
Center for a New American Dream	01 4	-	5,000	5 000	-
Center for Clean Air Policy	01 1	-	75 000	75 000	-
Center for Community Self Help	00 4	90 000	-	90 000	-
Center for Community Self Help	01 4	-	100,000	100 000	-
Center for Constitutional Rights	01 4	-	10,000	10,000	-
Center for Cultural Exchange	01 4	-	70,000	70,000	-
Center for Effective Philanthropy	01 4	-	100,000	100,000	-
Center for Marine Conservation	99 4	150,000	-	150,000	-
Center for Media Education	01 3	-	75,000	75,000	-
Center for Neighborhood Technology - Institute for Location Efficiency	00 4	170,000	-	70,000	100,000
Center for Public Interest Research	01 4	-	100,000	100,000	-
Center for Resource Solutions	01 1	-	100,000	100,000	-
Center for Teen Empowerment	01 1	-	175,000	175,000	-
Center for Victims of Torture	01 4	-	5,000	5,000	-
Center for Youth as Resources	01 1	-	15,000	15,000	-
Center of Contemporary Arts	99 4	80,000	-	80,000	-
Center of Contemporary Arts	01 4	-	15,000	15,000	-
Central Synagogue	01 3	-	1,600	1,600	-
Certified Forest Products Council	00 4	750,000	-	275,000	475,000
Changemakers	01 4	-	2,500	2,500	-
Chesapeake Bay Foundation	01 4	-	295,000	295,000	-
Chicago Children's Choir	01 3	-	200,000	80,000	120,000
Child Care Council of Westchester	00 4	100,000	-	100,000	-
Child Care Council of Westchester	01 4	-	100,000	100,000	-
Child Care, Inc	00 4	100 000	-	100,000	-
Child Care, Inc	01 4	-	120,000	120,000	-
Children s Defense Fund	01 3	-	100,000	100,000	-
Citizen Schools	00 4	200,000	-	200,000	-
Citizens Planning and Housing Association	01 4	-	15,000	15,000	-
City Cares	01 1	-	125 000	125,000	-
City Year	99 4	125,000	-	125,000	-
Citykids Foundation	01 1	-	100,000	100,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Clark Atlanta University	00 3	\$ 85,000	\$ -	\$ 85,000	\$ -
Clean Energy Group	01 1	-	100,000	100,000	-
Cleveland Housing Network, Inc	00 1	80,000	-	80,000	-
Cleveland School of the Arts	01 3	-	375,000	75,000	300,000
Coalition for Utah's Future	01 4	-	200,000	200,000	-
Coastal Conservation Association of Florida	01 1	-	80,000	80,000	-
Coastal Enterprises, Inc	00 4	250,000	-	250,000	-
Collegiate School	01 4	-	35,000	35,000	-
Colorado Open Lands	01 3	-	80,000	80,000	-
Colorado Public Interest Research Foundation	01 1	-	100,000	100,000	-
Common Ground	01 4	-	80,000	80,000	-
Community Builders	00 4	125,000	-	125,000	-
Community Catalyst	01 3	-	150,000	150,000	-
Community Foundation of Napa Valley	01 4	-	16,500	16,500	-
Community IMPACT'	01 1	-	150,000	150,000	-
Community IMPACT'	01 3	-	12,000	12,000	-
Community IMPACT'	01 4	-	15,000	15,000	-
Community Law Center	01 4	-	115,000	60,000	55,000
Community Rights Counsel	01 3	-	75,000	75,000	-
Community Training And Resource Center	01 1	-	50,000	50,000	-
Compumentor	01 1	-	200,000	200,000	-
Concert Competition and Musical Development - Sphinx	01 3	-	60,000	20,000	40,000
Congress for the New Urbanism	01 3	-	15,000	15,000	-
Congress for the New Urbanism	01 4	-	100,000	100,000	-
Connecticut Fund for the Environment	01 4	-	15,000	15,000	-
Conservation Fund	01 1	-	100,000	100,000	-
Conservation Fund	01 4	-	1,000	1,000	-
Conservation Law Foundation	01 3	-	450,000	150,000	300,000
Constitutional Rights Foundation	01 1	-	300,000	150,000	150,000
Consumer's Choice Council	00 4	100,000	-	100,000	-
Consumers Union	01 4	-	100,000	100,000	-
Cooper Union for the Advancement of Science & Art	01 3	-	150,000	50,000	100,000
Corcoran Gallery of Art	01 4	-	45,000	45,000	-
Corporation for Supportive Housing	00 1	100,000	-	100,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Council of Better Business Bureaus' Foundation	01 3	\$ -	\$ 75,000	\$ 75,000	\$ -
Council on the Environment of New York City	01 3	-	25,000	25,000	-
Creative Capital	01 1	-	100,000	50,000	50,000
Dance Institute of Washington	01 1	-	60,000	30,000	30,000
DataCenter	01 4	-	90,000	90,000	-
Defenders of Wildlife	01 4	-	125,000	125,000	-
Development Corporation of Columbia Heights	00 4	100,000	-	100,000	-
Development Training Institute	01 4	-	15,000	15,000	-
Developmental Studies Center	00 1	200,000	-	200,000	-
Dorchester Bay Economic Development Corp	01 4	-	90,000	90,000	-
Dover Sherborn Regional Schools	01 4	-	15,000	15,000	-
Down East Partnership for Children	00 4	100,000	-	100,000	-
Down East Partnership for Children	01 4	-	100,000	100,000	-
Duke University-Nicholas School for the Environment	01 4	-	25,000	25,000	-
East Bay Asian Youth Center - Kids First!	01 4	-	75,000	75,000	-
East Bay Center for the Performing Arts	00 3	75,000	-	75,000	-
East Bay Community Foundation	01 4	-	200,000	100,000	100,000
East Bay Conservation Corps	01 1	-	125,000	125,000	-
East Harlem School at Exodus House	01 4	-	10,000	10,000	-
Eastman School of Music	01 3	-	100,000	50,000	50,000
Educational Video Center	01 4	-	75,000	75,000	-
Educators for Social Responsibility	01 3	-	150,000	75,000	75,000
Egrants.org	01 4	-	100,000	100,000	-
Elaine Kaufman Cultural Center	01 4	-	50,000	25,000	25,000
Ella Baker Center For Human Rights	01 1	-	100,000	100,000	-
Enterprise Child Care	00 4	100,000	-	100,000	-
Enterprise Child Care	01 4	-	100,000	100,000	-
Enterprise Foundation	01 1	-	75,000	75,000	-
Enterprise Foundation (NCDI)	97 4	250,000	-	250,000	-
Enterprise Foundation (NCDI)	01 1	-	1,000,000	-	1,000,000
Environmental Defense	00 4	250,000	-	250,000	-
Environmental Law & Policy Center	01 1	-	15,000	15,000	-
Environmental Law Institute	01 1	-	15,000	15,000	-

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# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Environmental Leadership Program	01 3	\$ -	\$ 110,000	\$ 110,000	\$ -
Environmental Media Services	01 3	-	15,000	15,000	-
Environmental Support Center	01 1	-	150,000	150,000	-
Esperanza Center	01 3	-	50,000	50,000	-
Essential Information, Inc	01 1	-	30,000	30,000	-
Ethical Culture Fieldston School	01 3	-	60,000	20,000	40,000
Family Services Woodfield	00 4	90,000	-	90,000	-
Fenway Community Development Corporation	01 1	-	80,000	80,000	-
Fifth Avenue Committee, Inc	01 4	-	5,000	5,000	-
Florida State University - Center for Aquatic Research and Resource Management	01 4	-	2,500	2,500	-
Forest Stewardship Council - U S	00 3	530,000	-	530,000	-
Forest Trends	00 4	325,000	-	-	325,000
Forest Trust	00 1	100,000	-	100,000	-
Foundation Center	01 1	-	20,000	20,000	-
Friends of Acadia	01 3	-	5,000	5,000	-
Fund for Independent Publishing - The New Press	00 3	75,000	-	75,000	-
Fund for the City of New York	01 4	-	100,000	100,000	-
Funders' Collaborative On Youth Organizing	01 1	-	400,000	200,000	200,000
Funders' Network for Smart Growth and Livable Communities	01 1	-	30,000	30,000	-
Gay, Lesbian & Straight Education Network	01 3	-	200,000	100,000	100,000
Gay, Lesbian & Straight Education Network	01 4	-	75,000	75,000	-
Genesis Jobs, Inc	01 4	-	60,000	30,000	30,000
George D Aiken Resource and Conservation Development Council	01 4	-	5,000	5,000	-
Global Action Project, Inc (GAP)	01 1	-	100,000	50,000	50,000
Global Forest Foundation	01 1	-	850,000	300,000	550,000
Governor's School for the Arts	01 4	-	80,000	40,000	40,000
Graduate School & University Center/CUNY	01 3	-	100,000	100,000	-
Grand Canyon Trust	01 3	-	15,000	15,000	-
Grantmaker Forum on Community & National Service	01 3	-	75,000	75,000	-
Great American Station Foundation	01 1	-	200,000	100,000	100,000



# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Greater Hartford Arts Council	00 3	\$ 100,000	\$ -	\$ 50,000	\$ 50,000
Greater Minneapolis Council of Churches - Division of Indian Work	01 4	-	5,000	5,000	-
Greater Philadelphia Urban Affairs Coalition - Mural Arts Program	99 4	80,000	-	80,000	-
Guadalupe Cultural Arts Center	01 4	-	50,000	50,000	-
Guthrie Theater	00 3	80,000	-	80,000	-
H T Dance Company	01 3	-	55,000	25,000	30,000
Habitat for Humanity - New York City	01 1	-	60,000	60,000	-
Hartford Neighborhood Development Support Collaborative	01 1	-	100,000	50,000	50,000
Haystack Mountain School of Crafts	01 1	-	120,000	40,000	80,000
Headlands Center for the Arts	99 4	50,000	-	50,000	-
Health Education Resource Organization	01 1	-	3,000	3,000	-
Highbridge Unity Center	01 4	-	30,000	30,000	-
Highlander Research And Education Center	01 4	-	100,000	100,000	-
Historic District Development Corporation	01 1	-	80,000	80,000	-
Hope Community	01 1	-	200,000	100,000	100,000
Housing Partnership Network	01 3	-	150,000	75,000	75,000
ICA Group	00 1	60,000	-	60,000	-
Illinois Facilities Fund	01 3	-	75,000	75,000	-
ImpactOnline	01 4	-	600,000	300,000	300,000
Independent Media Institute	01 4	-	75,000	75,000	-
Independent Sector	00 3	125,000	-	125,000	-
Independent Sector	01 3	-	50,000	50,000	-
Independent Sector	01 4	-	15,000	15,000	-
Indian River Land Trust	01 3	-	25,000	25,000	-
Institute for Agriculture & Trade Policy	00 3	100,000	-	100,000	-
Institute for Education and Advocacy	01 4	-	5,000	5,000	-
Institute of Contemporary Art	01 3	-	75,000	75,000	-
International Center of Photography	01 3	-	225,000	75,000	150,000
International Game Fish Association	01 2	-	5,000	5,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Island Institute	01 3	\$ -	\$ 75,000	\$ 75,000	\$ -
Island Institute	01 4	-	15,000	15,000	-
Isles	01 4	-	200,000	100,000	100,000
Jacob's Pillow Dance Festival and School	01 4	-	85,000	85,000	-
Jamaica Plain Neighborhood Development Corp	00 4	80,000	-	80,000	-
Jamaica Plain Neighborhood Development Corp	01 4	-	80,000	80,000	-
Jane Addams Resource Corporation	01 3	-	90,000	90,000	-
Jane Goodall Institute	01 4	-	75,000	75,000	-
Jazz at Lincoln Center	00 1	200,000	-	100,000	100,000
Jewish Family and Children's Services	01 1	-	80,000	80,000	-
Jones Harrison Home	01 2	-	5,000	5,000	-
Julliard School	99 1	75,000	-	75,000	-
Julia Dyckman Andrus Memorial	01 1	-	500,000	500,000	-
Julia Dyckman Andrus Memorial	01 3	-	2,500	2,500	-
Julia Dyckman Andrus Memorial	01 3	-	320,000	160,000	160,000
Julia Dyckman Andrus Memorial	01 4	-	15,000	15,000	-
Juma Ventures	01 1	-	160,000	160,000	-
Kinhaven Music School	99 2	25,000	-	25,000	-
Labor/Community Strategy Center	00 3	300,000	-	150,000	150,000
LaGuardia High School of Music and Arts	01 3	-	375,000	75,000	300,000
Land and Water Fund	01 3	-	15,000	15,000	-
Land Trust Alliance	01 3	-	200,000	100,000	100,000
Lawyers Committee for Human Rights	01 4	-	77,000	77,000	-
Lead Partnership Group	01 1	-	150,000	75,000	75,000
League of Conservation Voters Education Fund	00 3	100,000	-	100,000	-
Leukemia & Lymphoma Society	01 3	-	250	250	-
Levine School of Music	01 4	-	50,000	50,000	-
Listen, Inc	01 1	-	100,000	100,000	-
Little Tokyo Service Center CDC	00 4	100,000	-	100,000	-
Little Tokyo Service Center CDC	01 4	-	110,000	110,000	-
Lobster Conservatory	01 3	-	1,000	1,000	-
Local Initiatives Support Corp - CEO's For Cities	01 1	-	50,000	50,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
<b>Local Initiatives Support</b>					
Corporation - New Communities Initiative	00 1	\$ 90,000	\$ -	\$ 90,000	\$ -
Local Initiatives Support Corporation (NCDI)	97 4	250,000	-	250,000	-
Local Initiatives Support Corporation (NCDI)	01 1	-	1,000,000	-	1,000,000
Los Angeles County High School For The Arts	01 3	-	375,000	75,000	300,000
Maclay School	01 4	-	2,500	2,500	-
Manhattan School of Music	00 1	75,000	-	75,000	-
Marine Fish Conservation Network	01 1	-	135,000	135,000	-
Marwen Foundation	01 1	-	180,000	50,000	130,000
Maryland Association of Nonprofit Organizations	01 4	-	70,000	70,000	-
Maryland Institute College of Art	01 4	-	150,000	75,000	75,000
Massachusetts Association of Community Development Corp	01 1	-	120,000	120,000	-
MBD Community Housing Corporation	00 4	75,000	-	75,000	-
McAuley Institute	00 4	125,000	-	125,000	-
Miami City Ballet	00 3	50,000	-	50,000	-
Miami-Dade Community College Foundation	01 3	-	375,000	75,000	300,000
Minneapolis Institute of Arts	01 2	-	10,000	10,000	-
Minnesota Landscape Arboretum Foundation	01 3	-	100,000	100,000	-
Minnesota Opera	01 3	-	2,500	2,500	-
Minnesota Project	01 1	-	260,000	130,000	130,000
Montshire Museum of Science	01 4	-	5,000	5,000	-
Mountain Association For Community Economic Development	01 4	-	110,000	110,000	-
Music Institute of Chicago	01 4	-	60,000	30,000	30,000
National Center for Family Philanthropy	01 3	-	75,000	75,000	-
National Center for Victims of Crime	01 1	-	250	250	-
National Center on Philanthropy and the Law	00 3	75,000	-	75,000	-
National Civic League	01 3	-	15,000	15,000	-
National Committee for Responsive Philanthropy	01 1	-	75,000	75,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
National Council for Science and the Environment	01 3	\$ -	\$ 60,000	\$ 60,000	\$ -
National Council of Nonprofit Associations	01 1	-	75,000	75,000	-
National Dance Institute of New Mexico	01 4	-	50,000	25,000	25,000
National Helpers Network, Inc	01 3	-	15,000	15,000	-
National Housing Development Corporation	01 3	-	160,000	80,000	80,000
National Housing Law Project	01 1	-	50,000	50,000	-
National Low Income Housing Coalition	01 4	-	75,000	75,000	-
National Museum of Wildlife Art	01 4	-	1,000	1,000	-
National Neighborhood Coalition	01 4	-	15,000	15,000	-
National Network of Forest Practitioners	01 3	-	40,000	40,000	-
National Organization for Victim Assistance	01 4	-	110,000	55,000	55,000
National Technology Enterprise Network	01 1	-	100,000	100,000	-
National Youth Leadership Council	01 3	-	15,000	15,000	-
Natural Heritage Institute	01 4	-	75,000	75,000	-
Natural Resources Council of Maine	00 4	50,000	-	50,000	-
Natural Resources Defense Council	00 1	125,000	-	125,000	-
Natural Resources Defense Council	01 4	-	300,000	100,000	200,000
Nature Conservancy - Minnesota Chapter	01 2	-	5,000	5,000	-
Neighborhood Funders Group	01 1	-	15,000	15,000	-
Neighborhood Funders Group	01 4	-	15,000	15,000	-
Neighborhood Partnership Fund	01 4	-	200,000	100,000	100,000
New England Aquarium	01 3	-	50,000	25,000	25,000
New England Conservatory of Music	00 1	75,000	-	75,000	-
New Jersey Future	01 4	-	150,000	150,000	-
New Prospect Development Corporation	01 4	-	100,000	50,000	50,000
New Song Urban Ministries	01 4	-	200,000	100,000	100,000
New World Symphony	00 1	100,000	-	50,000	50,000
New York Cares	01 4	-	75,000	75,000	-
New York University	01 3	-	15,000	15,000	-
New York University - Institute for Education and Social Policy	01 4	-	15,000	15,000	-

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# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
New York Urban League	01 4	\$ -	\$ 15,000	\$ 15,000	\$ -
New York Youth Symphony	00 4	120,000	-	60,000	60,000
Noise Pollution Clearinghouse	01 4	-	15,000	15,000	-
North Carolina Center for Nonprofits	01 3	-	75,000	75,000	-
North Carolina School of the Arts	01 3	-	375,000	125,000	250,000
Northeast Community Development Corporation	00 4	50,000	(50,000)	-	-
Northern Stage	01 3	-	5,000	5,000	-
Northwest Atlantic Marine Alliance	00 3	45,000	-	45,000	-
Northwest Side Community Development Corporation	00 3	120,000	-	120,000	-
NPower	01 1	-	100,000	100,000	-
NPowerNY	01 3	-	100,000	100,000	-
OMB Watch/Focus Project, Inc	01 3	-	15,000	15,000	-
Organizing Project	01 3	-	60,000	60,000	-
Pace University Land Use Law Center	01 4	-	200,000	100,000	100,000
Pace University School of Law	01 4	-	50,000	50,000	-
Pacific Forest Trust	00 4	4,000,000	-	3,000,000	1,000,000
Pacific News Service-Bay Area Institute	01 1	-	250,000	125,000	125,000
Pacific Rivers Council	01 1	-	100,000	100,000	-
Park Slope Christian Help	01 3	-	250	250	-
Partners for Democratic Change	01 3	-	75,000	75,000	-
Partnership for the Homeless	01 4	-	40,000	40,000	-
Partnership Project	01 1	-	50,000	50,000	-
Peace Games	01 3	-	15,000	15,000	-
People for Open Space	01 4	-	15,000	15,000	-
People's Light and Theatre Company	00 3	170,000	-	85,000	85,000
Perry School Community Services Center, Inc	01 1	-	85,000	85,000	-
PFLAG - DC National Office	01 1	-	1,000	1,000	-
PFLAG - DC National Office	01 4	-	1,000	1,000	-
Philadelphia Youth Network Inc	01 3	-	125,000	125,000	-
Pinchot Institute for Conservation	01 3	-	250,000	250,000	-
Pittsburgh Ballet Theatre	01 4	-	150,000	75,000	75,000
Planned Parenthood of Minnesota	01 4	-	5,000	5,000	-
Planned Parenthood of Northern New England	01 3	-	5,000	5,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Planning and Conservation League Foundation	01 1	\$ -	\$ 50,000	\$ 50,000	\$ -
Plymouth Congregational Church	01 3	-	5,000	5,000	-
Pratt Area Community Council	01 1	-	75,000	75,000	-
President's Committee on the Arts and the Humanities	01 4	-	15,000	15,000	-
Princeton Project 55	01 4	-	50,000	50,000	-
Project Row Houses	99 4	80,000	-	80,000	-
Project Row Houses	01 4	-	85,000	85,000	-
Project STEP, Inc	99 2	25,000	-	25,000	-
Public Allies	01 1	-	125,000	125,000	-
Public Citizen Foundation	01 3	-	50,000	50,000	-
Public Radio Capitol	01 4	-	200,000	200,000	-
Public/Private Ventures	01 3	-	100,000	100,000	-
Public/Private Ventures	01 3	-	20,000	20,000	-
Public/Private Ventures	01 4	-	125,000	125,000	-
Rainforest Alliance	01 3	-	500,000	250,000	250,000
Rebuild Resources, Inc	01 2	-	5,000	5,000	-
Redefining Progress	01 1	-	150,000	150,000	-
Reinvestment Fund	00 3	50,000	-	50,000	-
Renewable Energy Policy Project	01 1	-	50,000	50,000	-
Resource Renewal Institute	01 1	-	50,000	50,000	-
Resources For Child Caring	01 3	-	100,000	100,000	-
Responsive Community	01 3	-	15,000	15,000	-
ROCA Inc	01 1	-	250,000	125,000	125,000
Rock School of Pennsylvania Ballet	01 4	-	50,000	50,000	-
Rockefeller Family Fund - Environmental Grantmakers Assoc	01 1	-	5,000	5,000	-
Rockefeller Family Fund - Environmental Grantmakers Assoc	01 4	-	5,000	5,000	-
Rockefeller Family Fund - TechRocks	01 4	-	10,000	10,000	-
Rollins College	01 3	-	250,000	100,000	150,000
Rollins College/Rollins Fund	01 4	-	5,000	5,000	-
ROSE Community Development	01 3	-	50,000	50,000	-
Rubicon Programs, Inc	01 1	-	140,000	140,000	-
Saint Edward's School	01 3	-	5,000	5,000	-
Saint Joseph Ballet	01 4	-	110,000	55,000	55,000
Saint Stephens Episcopal Church	01 2	-	5,000	5,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
San Francisco Community Music Center	01 1	\$ -	\$ 75,000	\$ 25,000	\$ 50,000
San Francisco Foundation					
Community Initiative Funds	01 4	-	15,000	15,000	-
Save the Children/Youth Noise	01 3	-	150,000	150,000	-
Save the Children/Youth Noise	01 3	-	150,000	150,000	-
Scenic America	01 1	-	85,000	85,000	-
Scenic America	01 3	-	5,000	5,000	-
Scholarship Fund - American University	01 1	-	22,000	22,000	-
Seattle Foundation - Social Venture Partners	01 4	-	100,000	50,000	50,000
Sherwood Conservatory of Music	01 1	-	30,000	30,000	-
Sierra Business Council	01 3	-	300,000	100,000	200,000
Society for Art Publications	00 4	40,000	-	40,000	-
Somerville Community Corporation	01 1	-	120,000	60,000	60,000
South Carolina Coastal Conservation League	01 1	-	50,000	50,000	-
Southern Appalachian Forest Coalition	01 1	-	100,000	100,000	-
Southern Environmental Law Center	01 3	-	250,000	125,000	125,000
Southern Environmental Law Center	01 4	-	15,000	15,000	-
St Joseph Hope Community	01 2	-	10,000	10,000	-
St Mark the Evangelist School	01 4	-	5,000	5,000	-
St Mary's PTO	01 4	-	2,000	2,000	-
St Paul's Episcopal Church	01 2	-	5,000	5,000	-
Stanford Jazz Workshop	01 4	-	35,000	35,000	-
Stanford University School of Education	01 3	-	145,000	145,000	-
Street Level Youth Media	01 3	-	50,000	50,000	-
STRIVE-East Harlem Employment Service Inc	00 4	90,000	-	90,000	-
Surface Transportation Policy Project	01 3	-	150,000	150,000	-
Surface Transportation Policy Project	01 4	-	100,000	100,000	-
Sustainability Institute	01 1	-	100,000	100,000	-
Sustainable Northwest	01 4	-	100,000	100,000	-
Tall Timbers Research, Inc	01 4	-	2,500	2,500	-
Taxpayers for Common Sense	01 1	-	100,000	100,000	-

# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Tellus Institute	01 3	\$ -	\$ 15,000	\$ 15,000	\$ -
Temple University - Tyler School of Art	00 1	55,000	-	55,000	-
Tennessee Valley Energy Education Foundation	01 3	-	40,000	40,000	-
Texas Citizen Fund	01 4	-	100,000	50,000	50,000
The Door	01 4	-	150,000	90,000	60,000
The Natural Step	01 3	-	675,000	225,000	450,000
The Point	01 3	-	85,000	85,000	-
The Winsor School	01 4	-	2,000	2,000	-
Tides Center - Green Media Toolshed	01 3	-	100,000	100,000	-
Tides Center - International Center for Transitional Justice	01 3	-	100,000	100,000	-
Tides Center - National 4-H Council	00 4	100,000	-	100,000	-
Tides Center - Youth United For Community Action	00 3	75,000	-	75,000	-
Time Dollar Institute	01 4	-	100,000	100,000	-
Trevor Day School	01 3	-	400	400	-
Trust for Public Land	99 2	150,000	-	150,000	-
Tufts University - Global Development and Environment Inst	01 1	-	30,000	30,000	-
Tufts University - Lincoln Filene Center for Citizenship & Public Affairs	00 1	50,000	-	50,000	-
United Neighborhood Houses of New York, Inc	00 4	100,000	-	100,000	-
United Neighborhood Houses of New York, Inc	01 4	-	100,000	100,000	-
United Way of Minneapolis Area	01 2	-	10,000	10,000	-
University of California, San Diego - Scripps Institution	00 3	40,000	-	40,000	-
University of Chicago - Chapin Hall Center for Children	01 1	-	75,000	75,000	-
University of Illinois - C A S E L	00 1	200,000	-	200,000	-
University of Minnesota - Center for Democracy & Citizenship	01 1	-	100,000	100,000	-
University of North Carolina- Greensboro - Elizabeth Langford Davenport Scholarship Fund	01 3	-	2,500	2,500	-

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# Surdna Foundation, Inc.

## Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
University of Pennsylvania - Center for Greater Philadelphia	01 4	\$ -	\$ 15,000	\$ 15,000	\$ -
University of Washington - Public Policy Mgmt	01 3	-	14,500	14,500	-
Upper Valley Land Trust	01 1	-	5,000	5,000	-
Urban Ecology/Bay Area Transportation and Land Use Coalition	00 1	100,000	-	100,000	-
Urban Edge Housing Corporation	00 1	100,000	-	100,000	-
Urban Institute	01 3	-	70,000	70,000	-
Urban Retrievers - Philadelphia Student Union	01 1	-	15,000	15,000	-
Urban Retrievers - Philadelphia Student Union	01 3	-	75,000	75,000	-
Urban Strategies	01 4	-	75,000	75,000	-
Urban Ventures Leadership Foundation	01 4	-	65,000	65,000	-
Vanderbilt University	01 4	-	2,500	2,500	-
Venture Philanthropy Partners	01 4	-	500,000	500,000	-
Walker Art Center	00 3	85,000	-	85,000	-
Walker Art Center	01 3	-	15,000	15,000	-
We Interrupt This Message	01 3	-	75,000	75,000	-
Welfare to Work Partnership	01 3	-	100,000	100,000	-
Wesleyan University	01 2	-	5,000	5,000	-
Wesleyan University	01 3	-	15,000	15,000	-
Western Environmental Law Center	01 1	-	100,000	50,000	50,000
Westminster Presbyterian Church	01 4	-	10,000	10,000	-
WFSU-FM/FSU Foundation	01 4	-	1,000	1,000	-
Wilderness Society	01 1	-	200,000	100,000	100,000
Women's Community Revitalization Project	01 1	-	80,000	80,000	-
Women's Housing And Economic Development Corporation	01 1	-	15,000	15,000	-
World Wildlife Fund	01 1	-	250,000	125,000	125,000
Yerba Buena Center for the Arts	01 1	-	50,000	50,000	-
YMCA of Albuquerque	01 4	-	15,000	15,000	-
Youth Empowerment Center	01 1	-	75,000	75,000	-
Youth Law Center	01 4	-	185,000	90,000	95,000
Youth Radio	01 4	-	200,000	100,000	100,000
Youth Service California	01 3	-	15,000	15,000	-
Youth United For Change	01 3	-	75,000	75,000	-
Zaccho Dance Theatre	01 3	-	20,000	20,000	-
		<b>\$15,415,000</b>	<b>\$39,187,716</b>	<b>\$39,531,216</b>	<b>\$15,071,500</b>

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**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**Investments**

<b>Investments - Part II</b>	<b>Reference</b>	<b>End of Year Book Value/ Market Value</b>
<b><u>Savings and Temporary Cash Investments:</u></b>		
Total Line 2		<u>1,104,466</u>
<b><u>Government Obligations:</u></b>		
Total Line 10a	Sch 17	<u>41,759,010</u>
<b><u>Corporate Stock:</u></b>		
Money Market Funds	Sch 17	43,334,673
Common Stock	Sch 17	314,113,425
Preferred Stock	Sch 17	<u>2,458,093</u>
Total Line 10b		<u>359,906,191</u>
<b><u>Corporate Bonds:</u></b>		
Total Line 10c	Sch 17	<u>146,071,094</u>
<b><u>Investments - Other:</u></b>		
Total Line 13	Sch 17	<u>88,461,435</u>
<b>Total Investments</b> <b>Lines 10 &amp; 13</b>		<u><b>636,197,730</b></u>

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Money Market Funds:</b>		
43,334,673	Diversified Asset Portfolio	\$ 43,334,673
<b>Common Stocks:</b>		
4,730	1ST UN CORP COM	165,266
9,200	1ST YRS INC COM	103,040
2,793	5TH 3RD BANCORP COM	167,720
10,750	AAR CORP COM	183,825
7,400	ABBOTT LAB COM	355,274
2,600	ABM INDS INC COM	96,850
7,600	ACTEL CORP COM	186,580
4,000	ADC TELECOMMUNICATIONS INC COM	26,400
1,100	ADOBE SYS INC COM	51,700
2,728	ADR UNILEVER N V NY SHS NEW	162,507
1,700	ADVANCED MICRO DEVICES INC COM	49,096
2,600	AES CORP COM	111,930
22,500	AETNA INC	582,075
4,800	AFC ENTERPRISES INC COM	91,920
2,500	AFLAC INC COM	78,725
2,235	AGILENT TECHNOLOGIES INC COM	72,638
1,100	AIR PROD & CHEM INC COM	50,325
6,500	ALBERTO CULVER CO CL A	230,100
300	ALBERTO-CULVER CO CL B COM	12,612
1,897	ALBERTSON S INC COM	56,891
1,500	ALCAN INC	63,030
4,148	ALCOA INC COM STK	163,431
600	ALLEGHENY ENERGY INC COM	28,950
400	ALLEGY TECHNOLOGIES INC COM	7,236
600	ALLERGAN INC COM	51,300
3,150	ALLIANT TECHSYSTEMS INC COM	283,185
1,000	ALLIED WASTE INDS INC COM	18,680
3,500	ALLSTATE CORP COM	153,965
1,500	ALLTEL CORP COM	91,890
7,500	ALREF COM STK	268,125
1,900	ALTERA CORP COM	55,100
500	AMBAC FNCL GROUP COM STK	29,100
400	AMER ARCH CO INC COM	1
1,520	AMER ELEC PWR CO INC COM	2,060,105
6,400	AMER EXPRESS CO COM	248,320
2,400	AMER GEN CORP COM	111,480
300	AMER GREETINGS CORP CL A COM	3,300
6,300	AMER HOME PROD CORP COM	368,172
11,162	AMER INTL GROUP INC COM	959,932
15,500	AMERADA HESS CORP COM	1,252,400
700	AMEREN CORP COM	29,890
1,000	AMERN PWR CONVERSION CORP COM	15,750

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued).</b>		
5,000	AMGEN INC COM	\$ 303,400
700	AMR CORP COM	25,291
1 800	AMSOUTH BANCORPORATION COM	33,282
1,237	ANADARKO PETRO CORP COM	66,835
1,700	ANALOG DEVICES INC COM	73,525
5,100	ANALYSTS INTL CORP COM	22,746
400	ANDREW CORP COM	7,380
4,300	ANHEUSER BUSCH COS INC COM	177,160
21,300	AOL TIME WARNER INC COM	1,128,900
1 300	AON CORP COM	45,500
600	APACHE CORP COM	30,450
1,700	APPLE COMPUTER INC COM	39,525
1,000	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	26,750
3,900	APPLIED MATERIALS INC COM	191,490
1,500	APPLIED MICRO CIRCUITS CORP CDT-COM	25,800
14,500	APTARGROUP INC COM	470,235
3,075	ARCHER-DANIELS-MIDLAND CO COM	39,975
18,600	ARROW ELECTR INC COM	451,794
300	ASHLAND INC COM	12,030
16,585	AT & T CORP COM STK	364,870
3,000	AUTO DATA PROCESSING INC COM	149,100
300	AUTODESK INC COM	11,190
500	AUTOZONE INC COM	18,750
1,442	AVAYA INC COM	19,755
500	AVERY DENNISON CORP COM	25,525
6,500	AVIALL INC NEW COM	71,305
23,600	AVNET INC COM	529,112
1,100	AVON PROD INC COM	50,908
1,580	BAKER HUGHES INC COM	52,930
100	BALL CORP COM	4,756
3,500	BANK N Y CO INC COM	168,000
47,800	BANK ONE CORP COM	1,711,240
10,500	BANKNORTH GROUP INC NEW COM	237,825
200	BARD C R INC CAP	11,390
2,000	BARRICK GOLD CORP	30,300
300	BAUSCH & LOMB INC COM	10,872
2,800	BAXTER INTL INC COM	137,200
2,000	BB&T CORP COM	73,400
477	BEAR STEARNS COS INC COM	28,129
1 200	BECTON DICKINSON & CO COM	42,948
1,400	BED BATH BEYOND INC COM	43,680
9,000	BELLSOUTH CORP COM STK	362,430
300	BEMIS CO INC COM	12,051
2,100	BENCHMARK ELECTRS INC COM	51,156

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued)</b>		
5,000	BERKLEY W R CORP COM	\$ 207,100
1,000	BEST BUY INC COM STK	63,520
600	BIG LOTS INC COM	8,208
700	BIOGEN INC COM	38,052
900	BIOMET INC COM	43,254
47,532	BK AMER CORP COM	2,853,346
400	BLACK & DECKER CORP COM	15,784
3,500	BLACK BOX CORP DEL COM	235,760
400	BLOCK H & R INC COM	25,820
1,200	BMC SOFTWARE INC COM STK	27,048
4,200	BOEING CO CAP	233,520
300	BOISE CASCADE CORP COM	10,551
5,400	BRADY CORP	195,102
9,300	BRISTOL MYERS SQUIBB CO COM	486,390
1,300	BROADCOM CORP CL A	55,588
1,400	BROADVISION INC COM	7,000
4,500	BROWN & BROWN INC COM	188,955
300	BROWN-FORMAN INC CL B NON-VTG COM	19,182
400	BRUNSWICK CORP COM	9,612
1,900	BSTN SCIENTIFIC CORP COM	32,300
24,000	BURL NORTHN SANTA FE CORP COM	724,080
1,000	BURL RES INC COM	39,950
1,000	CABLETRON SYS INC	22,850
2,500	CACI INTL INC CL A	117,500
1,400	CALPINE CORP COM	52,920
2,000	CAMPBELL SOUP CO COM	51,500
1,000	CAP I FNCL COM	60,000
2,175	CARDINAL HLTH INC	150,075
2,782	CARNIVAL CORP COM	85,407
1,600	CATERPILLAR INC COM	80,080
5,400	CEC ENTMT INC COM	266,490
4,100	CENDANT CORP COM STK	79,950
300	CENTEX CORP COM	12,225
650	CENTURYTEL INC COM	19,695
11,700	CH & DWIGHT INC COM	297,765
955	CHARTER 1 FINCL INC COM	30,465
3,100	CHEVRON CORP COM	280,550
900	CHIRON CORP COM	45,900
9,500	CHUBB CORP COM	735,585
13,300	CIBER INC COM	126,350
16,700	CIGNA CORP COM	1,600,194
800	CIN FNCL CORP COM	31,600
800	CINERGY CORP COM	27,960
800	CINTAS CORP COM	37,000

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued).</b>		
1,100	CIRCUIT CY STORES INC COM	\$ 19,800
35,100	CISCO SYS INC COM	638,820
24,136	CITIGROUP INC COM	1,275,346
1,400	CITIZENS COMMUNICATIONS CO COM	16,842
900	CITRIX SYS INC COM	31,410
9,800	CLAIRES STORES INC	189,728
2,800	CLEAR CHANNEL COMMUNICATIONS INC COM	175,560
1,100	CLOROX CO COM	37,235
600	CMS ENERGY CORP COM	16,710
11,900	COCA COLA CO COM	535,500
2,000	COCA COLA ENTERPRISES INC COM	32,700
4,700	COGNEX CORP COM	159,095
2,700	COLGATE-PALMOLIVE CO COM	159,273
8,000	COLONIAL BANCGROUP INC COM	115,040
4,500	COMCAST CORP CL A SPL	195,300
900	COMERICA INC COM	51,840
8,139	COMPAQ COMPUTER CORP COM	126,073
2,800	COMPUTER ASSOC INTL INC COM	100,800
90	COMPUTER LEARNING CTRS INC COM	1
800	COMPUTER SCI CORP COM	27,680
1,900	COMPUWARE CORP COM	26,581
800	COMVERSE TECH INC	45,680
86,400	CONAGRA FOODS INC	1,711,584
1,200	CONCORD EFS INC COM	62,412
1,200	CONEXANT SYS INC COM	10,740
23,200	CONOCO INC CL A COM STK	654,240
3,016	CONOCO INC CL B COM STK	87,162
22,300	CONS EDISON INC COM	887,540
1,716	CONSECO INC COM	23,423
800	CONSTELLATION ENERGY GROUP INC COM	34,080
800	CONVERGYS CORP COM	24,200
21,825	COOPER IND INC COM	864,052
400	COOPER TIRE & RUBBER CO COM, NO PAR	5,680
200	COORS ADOLPH CO CL B	10,036
4,500	CORNING INC COM	75,195
2,200	COSTCO WHSL CORP NEW COM	90,376
600	COUNTRYWIDE CR IND INC COM	27,528
250	CRANE CO COM	7,750
21,400	CROWN CORK & SEAL CO INC COM	80,250
48,100	CSX CORP COM	1,743,144
5,100	CULLEN / FROST BANKERS INC COM	172,635
200	CUMMINS INC	7,740
1,900	CVS CORP COM STK	73,340
3,700	DAL-TILE INTL INC COM	68,635

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued)</b>		
50,864	DANA CORP COM	\$ 1,187,166
700	DANAHER CORP COM	39,200
600	DARDEN RESTAURANTS INC COM	16,740
2,900	DATASCOPE CORP COM	133,661
6,100	DAVOX CORP COM	50,630
1,100	DEERE & CO COM	41,635
12,500	DELL COMPUTER CORP COM	326,875
2,645	DELPHI AUTOMOTIVE SYS CORP COM	42,135
5,200	DELTA \$ PINE LD CO COM	102,180
600	DELTA AIR LINES INC COM	26,448
400	DELUXE CORP COM	11,560
2,400	DENTSPLY INTL INC NEW COM	106,440
600	DEVON ENERGY CORP NEW COM	31,500
500	DILLARDS INC CL A COM	7,635
5,800	DIONEX CORP COM	192,850
10,000	DISNEY WALT CO COM	288,900
1,588	DOLLAR GEN CORP COM	30,966
1,200	DOMINION RES INC VA NEW COM	72,156
5,200	DONALDSON INC COM	161,980
600	DONNELLEY R R & SONS CO COM	17,820
1,000	DOVER CORP COM	37,650
81,189	DOW CHEM CO COM	2,699,534
400	DOW JONES & CO INC COM	23,884
4,100	DRESS BARN INC COM	93,275
800	DTE ENERGY CO COM	37,152
5,020	DU PONT E I DE NEMOURS & CO COM STK	242,165
3,700	DUKE ENERGY CORP COM STK	144,337
1,600	DYNEGY INC NEW CL A COM	74,400
18,805	EASTMAN CHEM CO COM	895,682
1,400	EASTMAN KODAK CO COM	65,352
300	EATON CORP COM	21,030
600	ECOLAB INC COM	24,582
1,700	EDISON INTL COM	18,955
100	EGL INC COM	1,746
2,453	EL PASO CORP COM	128,881
2,200	ELECTR DATA SYS CORP NEW COM	137,500
2,200	ELECTRO SCIENTIFIC INDS INC COM	83,820
11,200	ELECTRONICS FOR IMAGING INC COM	330,400
5,400	ELI LILLY & CO COM	399,600
10,586	EMC CORP COM COM	307,523
2,100	EMERSON ELEC CO COM	127,050
197	EMP SOLUTIONS INC DESP	1
700	ENGELHARD CORP COM	18,053
3,600	ENRON CORP COM	176,400

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued):</b>		
1,100	ENTERGY CORP NEW COM	\$ 42,229
600	EOG RESOURCES INC COM	21,330
700	EQUIFAX INC COM	25,676
1,587	EXELON CORP COM	101,758
16,564	EXXON MOBIL CORP COM	1,446,865
800	F P L GROUP INC COM	48,168
5,500	FAIR ISAAC & CO INC COM	340,010
4,434	FBL FINL GROUP INC CL A	79,812
1,480	FEDEX CORP COM	59,496
36,500	FEDT DEPT STORES INC DEL COM	1,551,250
3,300	FHLMC VTG COM	231,000
1,900	FIRST DATA CORP COM	122,075
29,800	FIRSTENERGY CORP COM	958,368
600	FISERV INC COM	38,388
5,186	FLEETBOSTON FINL CORP COM STK	204,588
400	FLUOR CORP NEW COM	18,060
9,950	FMC CORP COM	682,172
4,800	FNMA COM STK	408,720
8,804	FORD MTR CO DEL COM PAR \$0 01	216,138
800	FOREST LAB INC COM	56,800
700	FORTUNE BRANDS INC COM STK	26,852
800	FREEPORT MCMORAN C & G CL B COM STK	8,840
1,300	FRKLN RES INC COM	59,501
5,700	FURNITURE BRANDS INTL INC COM	159,600
17,296	GA PAC CORP COM-GEORGIA PAC GROUP	585,470
1,300	GANNETT INC COM	85,670
4,100	GAP INC COM	118,900
1,600	GATEWAY INC COM	26,320
1,000	GEN DYNAMICS CORP COM	77,810
47,700	GEN ELEC CO COM	2,325,375
1,400	GEN MILLS INC COM	61,292
2,600	GENERAL MOTORS CORP COMMON STOCK	167,310
3,000	GENTEX CORP COM	83,610
32,400	GENUINE PARTS CO COM	1,020,600
5,100	GILLETTE CO COM	147,849
4,260	GLOBAL CROSSING LTD COM	36,806
7,100	GLOBAL INDS LTD COM	88,537
800	GOLDEN W FNCL CORP COM	51,392
500	GOODRICH CORPORATION	18,990
51,468	GOODYEAR TIRE & RUBBER CO COM	1,441,104
600	GPU INC COM	21,090
500	GRAINGER W W INC COM	20,580
300	GREAT LAKES CHEM CORP COM	9,255
7.265	GUANGDONG ALLIANCE LTD ORDINARY SHARES	1



# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued).</b>		
1,500	GUIDANT CORP COM STK	\$ 54,000
8,300	HAEMONETICS CORP MASS COM	253,150
2,100	HALLIBURTON CO COM	74,760
1,500	HANCOCK JOHN FINL SVCS INC COM ISIN US41014S1069	60,390
1,700	HANOVER COMPRESSOR CO COM (HOLDING COMPANY)	56,253
1,500	HARLEY DAVIDSON INC COM	70,620
600	HARRAH S ENTMT INC COM STK	21,180
1,100	HARTFORD FINL SVCS GROUP INC COM	75,240
850	HASBRO INC COM	12,283
2,600	HCA INC COM	117,494
8,000	HCC INS HLDGS INC COM	196,000
1,900	HEALTHSOUTH CORP COM STK	30,343
1,700	HEINZ H J CO COM	69,513
2,900	HELIX TECH CORP COM	88,392
600	HERCULES INC VTG COM	6,780
700	HERSHEY FOODS CORP COM	43,197
9,300	HEWLETT-PACKARD CO COM	265,980
6,200	HILB ROGAL & HAMILTON CO COM	271,250
1,900	HILTON HOTELS CORP COM	22,040
11,200	HOME DEPOT INC COM	521,360
1,400	HOMESTAKE MNG CO CAP	10,850
3,900	HONEYWELL INTL INC COM STK	136,461
7,265	HONG KONG PROPERTY CO LTD ORDINARY SHARES	1
2,226	HSEHD INTL INC COM	148,474
900	HUMANA INC COM	8,865
1,302	HUNTINGTON BANCSHARES INC COM	21,288
5,150	IDEX CORP COM	175,100
3,200	IDEXX LABS INC	100,000
2,800	IHOP CORP NEW COM	75,180
1,500	ILL TOOL WKS INC COM	94,950
1,400	IMS HLTH INC COM STK	39,900
900	INCO LTD COM	15,534
6,100	INDPT BK CORP MASS COM	120,414
800	INGERSOLL-RAND CO COM	32,960
32,300	INTEL CORP CAP	944,775
1,800	INTERPUBLIC GROUP COMPANIES INC COM	52,830
8,300	INTL BUSINESS MACHS CORP COM	937,900
500	INTL FLAVORS & FRAGRANCES INC COM	12,565
42,995	INTL PAPER CO COM	1,534,922
1,000	INTUIT COM	39,990
400	ITT INDS INC COM	17,700
9,560	J P MORGAN CHASE & CO COM	426,376

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued):</b>		
900	JABIL CIRCUIT INC COM	\$ 27,774
6,300	JDS UNIPHASE CORP COM ISIN US46612J1016	78,750
725	JEFFERSON-PILOT CORP COM	35,032
14,576	JOHNSON & JOHNSON COM	728,800
400	JOHNSON CTL INC COM	28,988
2,500	K MART CORP COM	28,675
9,600	KAYDON CORP COM	246,240
200	KB HOME COM	6,034
7,300	KFANE INC COM	160,600
1,900	KELLOGG CO COM	55,100
458	KERR MCGEF CORP COM	30,352
2,000	KEYCORP NEW COM	52,100
700	KEYSPAN CORP COM	25,536
2,600	KIMBERLY-CLARK CORP COM	145,340
500	KINDER MORGAN INC COM STK	25,125
800	KING PHARMACEUTICALS INC COM	43,000
900	KLA-TENCOR CORP	52,623
400	KNIGHT-RIDDER INC COM STK	23,720
1,600	KOHL'S CORP COM	100,368
3,900	KROGER CO COM	97,500
3,100	KRONOS INC COM	126,945
4,200	LEAR CORP COM STK PAR \$0.01	146,580
1,000	LEGGETT & PLATT INC COM	22,030
1,200	LEHMAN BROS HLDGS INC COM	93,300
600	LEXMARK INTL INC NEW CL A	40,350
3,200	LINCOLN ELEC HLDGS INC COM	81,600
900	LINCOLN NATL CORP COM	46,575
1,500	LINEAR TECH CORP DEL	66,330
4,700	LINENS N THINGS INC COM	128,404
300	LIZ CLAIBORNE INC COM	15,135
2,100	LOCKHEED MARTIN CORP COM	77,805
900	LOEWS CORP COM	57,987
500	LOUISIANA-PACIFIC CORP COM	5,865
1,800	LOWES COS INC COM	130,590
1,800	LSI LOGIC CORP COM	33,840
2,000	LTD INC COM	33,040
15,400	LUBRIZOL CORP COM	478,170
16,310	LUCENT TECHNOLOGIES INC COM STK	101,122
11,200	LYONDELL CHEMICAL CO COM STK	172,256
500	MANOR CARE INC NEW COM STK	15,875
1,200	MARRIOTT INTL INC NEW COM STK CL A	56,808
1,350	MARSH & MC LENNAN CO'S INC	136,350
2,200	MASCO CORP COM	54,912
2,100	MATTEL INC COM	39,732

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued):</b>		
3,600	MATTHEWS INTL CORP CL A	\$ 158,283
1,600	MAXIM INTEGRATED PRODS INC COM	70,736
47,400	MAY DEPT STORES CO COM	1,623,924
400	MAYTAG CORP COM	11,704
7,750	MBIA INC COM	431,520
4,050	MBNA CORP COM	133,448
300	MC DERMOTT INTL INC COM \$1 PAR	3,495
6,200	MC DONALDS CORP COM	167,772
900	MCGRAW HILL COMPANIES INC COM	59,535
1,410	MCKESSON CORP	52,339
12,600	MEAD CORP COM	341,964
1,000	MEDIMMUNE INC COM	47,200
5,800	MEDTRONIC INC COM	266,858
2,300	MELLON FINL CORP COM	105,800
10,600	MENTOR CORP COM	302,100
11,000	MERCK & CO INC COM	703,010
400	MERCURY INTERACTIVE CORP	23,960
200	MEREDITH CORP COM	7,162
4,000	MERRILL LYNCH & CO INC COM	237,000
3,600	METLIFE INC COM ISIN US59156R1086	111,528
445,388	MFO GMO CORE III	6,213,161
889,289	MFO GMO EMERGING MKT FD III	8,048,064
2,232,709	MFO GMO GROWTH FD III	46,150,101
1,584,785	MFO GMO INTL INSTRINSIC VALUE III FD	31,077,635
320,215	MFO GMO INTL SMALL CO III	3,711,286
548,168	MFO GMO REIT FD III	6,303,929
84,179	MFO GMO TR SMALL CAP GROWTH FD CL III	1,717,260
880,403	MFO GMO TR SMALL CAP VALUE FD CL III	13,021,165
259,860	MFO GMO VALUE FD III	2,520,640
799,514	MFO MFS INSTL TR EMERGING EQUITIES FD	17,229,537
1,468,510	MFO MORGAN STANLEY DEAN WITTER INSTL FD EMERGING MARKETS PORTFOLIO CL A	16,006,757
963,304	MFO MORGAN STANLEY DEAN WITTER INSTL FD INC INTL EQTY PORTFOLIO CL A	15,923,418
500	MGIC INVT CORP WIS COM	36,320
2,700	MICHAELS STORES INC COM	110,700
2,900	MICRON TECH INC COM	119,190
3,600	MICROS SYS INC COM	79,200
25,800	MICROSOFT CORP COM	1,883,400
9,600	MILLENNIUM CHEMICALS INC COM STK	144,480
200	MILLIPORE CORP COM	12,396
1,900	MINN MNG & MFG CO COM	216,790
1,591	MIRANT CORP COM	54,730
950	MOLEX INC COM	34,704

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# Surdna Foundation, Inc.

## Investments

June 30 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued):</b>		
800	MOODYS CORP COM	\$ 26,800
5,300	MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486	340,419
10,496	MOTOROLA INC COM	173,814
2,700	MUELLER INDS INC COM	88,857
10,200	N E PA FINL CORP COM	129,030
700	NABORS IND INC COM	26,040
6,000	NANOMETRICS INC COM	164,922
10,434	NATIONAL-OILWELL INC COM	279,631
45,900	NATL CY CORP COM	1,412,802
900	NATL SEMICONDUCTOR CORP COM	26,208
200	NATL SERVICE IND INC COM	4,514
300	NAVISTAR INTL CORP NEW COM	8,439
500	NCR CORP COM	23,500
1,600	NETWORK APPLIANCE INC COM	21,920
800	NEW YORK TIMES CO CL A ISIN #US6501111073	33,600
1,267	NEWELL RUBBERMAID INC COM	31,802
900	NEWMONT MINING CORP NEW COM	16,749
2,500	NEWPORT NEWS SHIPBUILDING INC COM STK	153,125
3,700	NEXTEL COMMUNICATIONS INC CL A COM STK	64,750
800	NIAGARA MOHAWK HLDGS INC COM ISIN #US6535201064	14,152
200	NICOR INC COM	7,796
1,300	NIKE INC CL B COM	54,587
1,000	NISOURCE INC COM	27,330
600	NOBLE DRILLING CORP COM	19,650
700	NORDSTROM INC COM	12,985
104,100	NORFOLK SOUTHN CORP COM	2,154,870
15,300	NORTEL NETWORKS CORP NEW COM	139,077
1,100	NORTHN TR CORP COM	68,750
400	NORTHROP GRUMMAN CORP (HLDG CO)	32,040
1,700	NOVELL INC COM	9,673
700	NOVELLUS SYS INC COM	39,753
400	NUCOR CORP COM	19,556
5,250	NY CMNTY BANCORP INC COM	197,663
89,093	OCCIDENTAL PETE CORP COM	2,368,983
7,500	OCEANEERING INTL INC COM	155,625
5,600	OCEANFIRST FINL CORP COM	145,264
1,600	OFFICE DEPOT INC COM	16,608
7,000	OFFSHORE LOGISTICS INC COM	133,000
900	OMNICOM GROUP INC COM	77,400
200	ONEOK INC COM STK	3,940
27,000	ORACLE CORP COM	513,000
10,400	OWENS CORNING COM	20,904
34,580	OWENS ILL INC COM NEW	234,452

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued)</b>		
400	PACCAR INC COM	\$ 20,568
800	PACTIV CORP COM	10,720
600	PALL CORP COM	14,118
2 918	PALM INC COM STK ISIN #US6966421074	17,712
1 400	PARAMETRIC TECH CORP COM	19,586
600	PARKER-HANNIFIN CORP COM	25,464
8,500	PATTERSON DENTAL CO COM	280,500
1,825	PAYCHEX INC COM	73,000
3,100	PAYLESS SHOESHOURCE INC COM	200,570
1,300	PENNEY J C CO INC COM	34,268
200	PEOPLES ENERGY CORP COM	8,040
1,400	PEOPLESOFT INC COM	68,922
700	PEPSI BOTTLING GROUP INC COM STK ISIN US7134091005	28,070
7,000	PEPSICO INC COM	309,400
500	PERKINELMER INC COM	13,765
30,275	PFIZER INC COM	1,212,514
2,000	PG& E CORP COM	22,400
6,227	PHARMACIA CORP COM	286,131
345	PHELPS DODGE CORP CAP	14,318
31,100	PHILIP MORRIS COS INC COM	1,578,325
43,200	PHILLIPS PETRO CO COM	2,462,400
400	PINNACLE W CAP CORP COM	18,960
1,200	PITNEY BOWES INC COM	50,544
1,700	PLACER DOME INC COM	16,660
1,400	PNC FINANCIAL SERVICES GROUP COM STK	92,106
100	POTLATCH CORP COM	3,441
400	POWER-ONE INC COM	6,656
800	PPG IND INC COM	42,056
740	PPL CORP COM ISIN US69351T1060	40,700
800	PRAXAIR INC COM	37,600
600	PRICE T ROWE GROUP INC COM	22,434
4,600	PRIDE INTL INC COM	87,400
7,500	PRIME HOSPITALITY CORP COM	88,875
6,200	PROCTER & GAMBLE CO COM	395,560
1,000	PROGRESS ENERGY INC COM	44,920
400	PROGRESSIVE CORP OH COM	54,076
1,400	PROVIDIAN FINL CORP COM	82,880
1,000	PUB SERVICE ENTERPRISE GROUP INC COM	48,900
1,333	PULITZER INC COM	70,382
200	PULTE HOMES INC COM	8,526
4,900	PWR INTEGRATIONS INC COM	76,440
400	QLOGIC CORP COM	25,780
600	QUAKER OATS CO	54,750

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued)</b>		
3,600	QUALCOMM INC COM	\$ 210,528
44,500	QUANTUM CORP DSSG COM	449,005
600	QUINTILES TRANSNATIONAL CORP COM	15,150
7,980	QWEST COMMUNICATIONS INTL INC COM	254,323
900	RADIOSHACK CORP COM	27,450
1,500	RALSTON-RALSTON PURINA GROUP	45,030
1,700	RAYTHEON CO COM NEW	45,135
300	REEBOK INTL COM	9,585
16,400	REGIONS FNCL CORP COM	524,800
1,400	RELIANT ENERGY INC COM	45,094
3,300	RENAL CARE GROUP INC COM	108,537
4,700	RESPIRONICS INC COM	139,872
900	ROBERT HALF INTL INC COM	22,401
900	ROCKWELL INTL CORP NEW COM	34,308
1,095	ROHM & HAAS CO COM	36,026
4,900	ROPER INDS INC NEW COM	204,575
500	ROWAN COMPANIES INC COM	11,050
10,300	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	600,181
9,100	RUDDICK CORP COM	154,245
300	RYDER SYS INC COM	5,880
3,625	S W AIRL CO COM	67,026
622	SABRE HLDGS CORP	31,100
600	SAFECO CORP COM	17,700
2,400	SAFEWAY INC COM NEW	115,200
1,600	SANMINA CORP COM	37,456
600	SAPIENT CORP COM	5,850
3,800	SARA LEE CORP COM	71,972
16,206	SBC COMMUNICATIONS INC COM	649,212
2,700	SCHEIN HENRY INC COM	103,275
15,800	SCHERING-PLOUGH CORP COM	572,592
2,800	SCHLUMBERGER LTD COM ISIN AN8068571086	147,420
6,650	SCHWAB CHARLES CORP COM NEW	101,745
800	SCIENTIFIC-ATLANTA INC COM	32,480
421	SEALED AIR CORP NEW COM STK	15,682
50,000	SEARS ROEBUCK & CO COM	2,115,500
6,430	SEC CAP GROUP INC CL A COM	6,751,322
414,563	SEC CAP GROUP INC CL B	8,871,648
1,023	SEMPRA ENERGY INC COM STK	27,969
800	SHERWIN-WILLIAMS CO COM	17,760
2,200	SIEBEL SYS INC COM	103,180
400	SIGMA-ALDRICH CORP COM	15,448
2,500	SIMPSON MFG INC COM	151,250
37,900	SMURFIT-STONE CONTAINER CORP COM	613,980

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued)</b>		
300	SNAP-ON INC COM	\$ 7,248
3,100	SOLETRON CORP COM	56,730
3,300	SOUTHERN CO COM STK	76,725
1,600	SOUTHTRUST CORP COM	41,600
4,300	SPRINT CORP COM	91,848
4,500	SPRINT CORP PCS COM SER 1	108,675
400	ST JUDE MED INC COM	24,000
10,906	ST PAUL COS INC COM	552,825
1,600	ST STR CORP COM	79,184
400	STANLEY WKS COM	16,752
2,300	STAPLES INC (RETAIL & DELIVERY)	36,777
1,800	STARBUCKS CORP COM	41,400
1,000	STARWOOD HOTELS & RESORTS WORLDWIDE INC PAIRED CTF 1 CL B SH BEN INT STARWOOD	37,280
4,000	STERLING BANCSHARES INC COM	76,720
1,100	STILWELL FINL INC COM	36,916
900	STRYKER CORP COM	49,365
15,600	SUN MICROSYSTEMS INC COM STK \$ 00067 PAR	245,232
1,400	SUN TR BANKS INC COM	90,692
400	SUNOCO INC COM	14,652
23,900	SUPER VALU INC COM	419,445
7,100	SWIFT ENERGY CO COM	213,923
1,100	SYMBOL TECHNOLOGIES INC COM	24,420
1,450	SYNOVUS FINL CORP COM	45,501
3,200	SYSCO CORP COM	86,880
4,300	TARGET CORP COM	148,780
10,200	TECH DATA CORP COM	340,272
500	TEKTRONIX INC COM	13,575
2,000	TELLABS INC COM	38,760
5,700	TEMPLE INLAND INC COM	303,753
1,600	TENET HEALTHCARE CORP COM	82,544
800	TERADYNE INC COM	26,480
2,600	TEXACO INC COM	173,160
700	TEXTRON INC COM	38,528
900	THERMO ELECTRON CORP COM	19,818
12,600	THOMAS & BETTS CORP COM	278,082
700	TIFFANY & CO COM	25,354
300	TIMKEN CO COM	5,082
1,400	TJX COS INC COM NEW	44,618
500	TMP WORLDWIDE INC COM	30,000
600	TORCHMARK CORP COM	24,126
700	TOSCO CORP COM NEW	30,835
1,000	TOYS R US INC (HOLDING COMPANY)	24,750
1,496	TRANSOCEAN SEDCO FOREX INC COM	61,710

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued):</b>		
1,400	TRIBUNE CO COM	\$ 56,014
700	TRICON GLOBAL RESTAURANT INC	30,730
4,000	TRIGON HEALTHCARE INC CL A COM	259,400
600	TRW INC COM	24,600
300	TUPPERWARE CORP COM	7,029
8,300	TX INSTRS INC COM	261,450
3,160	TX REGL BANCSHARES INC CL A VTG	127,316
1,200	TXU CORP COM	57,828
9,327	TYCO INTL LTD NEW COM	508,322
15,800	TYSON FOODS INC CL A COM (DELAWARE)	145,518
300	U S AIR GROUP COM	7,290
6,500	UCBH HLDGS INC COM	197,275
11,600	UN PAC CORP COM	636,956
700	UN PLANTERS CORP COM	30,520
1,600	UNISYS CORP COM	23,536
15,500	UNIT CORP COM	245,675
1,500	UNITEDHEALTH GROUP INC COM	92,625
1,000	UNIVISION COMMUNICATIONS INC CL A	42,780
1,200	UNOCAL CORP COM	40,980
1,157	UNUMPROVIDENT CORP COM	37,163
9,133	US BANCORP	208,141
800	USA EDUCATION INC COM	58,400
800	UST INC COM	23,088
1,500	USX - MARATHON GROUP COM NEW	44,265
500	USX-US STEEL GROUP COM	10,075
7,600	UTD STATIONERS INC COM	239,856
2,300	UTD TECHNOLOGIES CORP COM	168,498
25,500	V F CORP COM	927,690
4,800	VALASSIS COMMUNICATIONS INC COM	171,840
7,100	VARCO INTL INC DEL COM	132,131
1,900	VERITAS SOFTWARE CORP COM	126,407
12,966	VERIZON COMMUNICATIONS COM	693,681
8,562	VIACOM COM CL B	443,084
664	VISTEON CORP COM	12,204
900	VITESSE SEMICONDUCTOR CORP COM	18,936
500	VULCAN MATERIALS CO COM	26,875
48,943	WA MUT INC COM	1,837,808
1,000	WACHOVIA CORP COM NEW	71,150
4,900	WALGREEN CO COM	167,335
16,800	WALLACE COMPUTER SVCS INC COM	277,872
21,500	WAL-MART STORES INC COM	1,049,200
2,965	WASTE MGMT INC DEL COM STK	91,381
500	WATSON PHARMACEUTICALS INC COM	30,820
7,200	WEBSTER FNCL CORP WATERBURY CONN COM	236,016



# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Common Stocks (continued):</b>		
300	WELLPOINT HLTH NETWORKS INC CL A (NEW) DELAW EFF 8-4-97	\$ 28,272
8,200	WELLS FARGO & CO NEW COM STK	380,726
600	WENDY S INTL INC COM	15,324
15 700	WESTVACO CORP COM	381,353
1,000	WEYERHAEUSER CO COM	54,970
8,800	WHIRLPOOL CORP COM	550,000
3,200	WHOLE FOODS MKT INC COM	86,720
500	WILLAMETTE IND INC COM	24,750
2,300	WILLIAMS CO INC COM	75,785
1	WILLIAMS COMMUNICATIONS GROUP INC COM	3
700	WINN-DIXIE STORES INC COM	18,291
4,700	WOODHEAD INDS INC COM	79,900
13,828	WORLDCOM INC/WORLDCO	196,358
400	WORTHINGTON INDS INC COM	5,440
1,100	WRIGLEY WM JR CO CAP	51,535
1 630	XCEL ENERGY INC COM	46,374
3,500	XEROX CORP COM	33,495
1,600	XILINX INC COM	65,984
3,400	XL CAP LTD-CL A	279,140
15,730	XTO ENERGY INC COM	225,726
2,700	YAHOO INC COM	53,973
6 500	ZEBRA TECHNOLOGIES CORP CL A	319,280
400	ZIONS BANCORP COM	23,600
418,500	JEA PLYMOUTH CORP DEB A RST *	418,500
24,040	GENERAL MOTORS CORP CONT NOTE	74,283
45 000	JEA CHITTITU CREEK RST COM *	-
45,000	JEA PLYMOUTH CORP RST COM *	816,037
425,000	SECURITY CAP EUROPEAN RLTY ADR SHARE CERTIFICATE	8,500,000
<b>Total Common Stocks</b>		<b>314,113,425</b>
<b>Preferred Stock:</b>		
40,100	CA FED PFD CAP CORP EXCHANGEABLE	1,013,728
9,750	EQTY OFFICE PPTYS TR PFD CONV SER B 5 25	472,875
819	PAXSON COMMUNICATIONS CORP EXCHANGEABLE	786,240
190 000	FRESENIUS MED CARE CAP TR II GTD TR PFD SECS 7 875	185,250
<b>Total Preferred Stock</b>		<b>2,458,093</b>
		<b>359,906,191</b>

\* NOT PUBLICLY TRADED

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Coporate Bonds:</b>		
225,000	1ST UN CORP NT 7 55 DUE 08-18-2005 BEO	\$ 237,598
350,000	1ST UN CORP NT DTD 11/05/1999 6 95 DUE 11-01-2004 BEO	362,254
395,000	ABITIBI-CONSOLIDATED INC DEB 8 85 DUE 08-01-2030 BEO	404,946
150,000	ADELPHIA COMMUNICATIONS CORP 10 875 DUE 10-01- 2010 BEO	151,125
175,000	ADELPHIA COMMUNICA TIONS CORP SR NT 8 125DUE 07-15-2003 BEO	168,875
410,000	AES CORP 8 875 DUE 02-15-2011 BEO	399,750
200,000	AHOLD FIN U S A INC GTD NT DTD 07/20/2000 8 25 DUE 07-15 2010 BEO	215,298
250,000	AHOLD FIN U S A INC NT 6 25 DUE 05-01-2009 BEO	237,963
325,000	AHOLD FIN U S A INC NT 6 875 DUE 05-01-2029 BEO	293,992
200,000	ALBERTSONS INC ALBERTSON'S INC 7 5 DUE 02-15- 2011 BEO	202,718
150,000	ALBERTSONS INC ALBERTSON'S INC 8 DUE 05-01-2031 BEO	148,938
275 000	ALLIED WASTE N AMER INC SR NT 7 375 DUE 01-01- 2004 BEO	270,875
400,000	ALTA ENERGY LTD NT 8 125 DUE 09-15-2030 BEO	433,840
375,000	AMRESKO INC 10 DUE 03-15-2004 REG	210,000
200,000	AMRESKO INC 9 875 DUE 03-15-2005/03-15-2002 REG	114,000
275,000	AMVESCAP PLC SR NT 6 6 DUE 05 15-2005 BEO	276,771
225 000	ANCHOR GAMING SR SUB NT 9 875 DUE 10-15-2008/10- 15-2004 BEO	240,750
50,000	ANHEUSER BUSCH COS INC 7 55 DUE 10-01-2030 BEO	54,312
375,000	AOL TIME WARNER INC 6 75 DUE 04-15-2011 BEO	368,681
400,000	ARAMARK SVCS INC 7 0 BD DUE 07-15-2006 BEO	388,520
210,000	ARAMARK SVCS INC ARAMARK CORP 6 75 DUE 08-01- 2004 BEO	207,514
25,000	AVALON BAY CMNTYS INC 6 5 SR NT DUE 07-15-2003 BEO	25,408
350 000	AVALON PPTYS INC 6 625 NTS DUE 01-15-2005 BEO	352,272
475,000	BECKMAN INSTRS INC NEW SR NT 7 1 DUE 03-04-2003 BEO	478,454
550 000	BRASCAN LTD 7 375 DUE 10-01-2002 REG	552,585
410,000	BRIT SKY BROADCASTING GROUP PLC NT 8 2 DUE 07- 15-2009 BEO	405,392
400,000	BRIT TELECOMMUNICATIONS P L C NT 8 375 DUE 12- 15-2010 BEO	424,248
175 000	BRIT TELECOMMUNICATIONS P L C NT 8 625 DUE 12- 15-2030 BEO	190,927
125 000	BROWNING FERRIS INDS INC 6 1 DUE 01-15-2003 REG	121,875
90,000	BUCKEYE CELLULOSE CORP 8 5 SR SUB NT DUE12-15- 2005/00	89,100

# Surdna Foundation, Inc.

## Investments

June 30 2001

Face value or shares	Description	Market value
<b>Coporate Bonds (continued):</b>		
125,000	BUCKEYE TECHNOLOGIES INC SR SUB NT 8 DUE10-15-2010/10-15-2003 BEO	\$ 118,125
300,000	CALPINE CDA ENERGY FIN ULC GTD SR NT 8 5DUE 05-01-2008 BEO	292,488
50,000	CALPINE CORP 8 5 DUE 02-15-2011 BEO	48,021
375,000	CBS CORP SR NT 7 15 DUE 05-20-2005 BEO	390,420
200,000	CHARTER COMM HLDGS LLC/CHARTER COMM HLDGS CAP CORP SRNT 8 25 DUE 4-1-07 BEO	187,000
225 000	CHARTER COMM HLDGS LLC/CHARTER COMM HLDGCAP CORP SR DISC NT STEP 1-15-10/1-15-05	150,750
25,000	CK WITCO CORP SR NT 8 5 DUE 03-15-2005 BEO	25,352
350,000	CLEAR CHANNEL COMMUNICATIONS INC 7 875 DUE 06-15-2005 BEO	367,196
225,000	CLEV ELEC ILLUM CO 7 43 DUE 11-01-2009 REG	223,394
50,000	CMS ENERGY CORP 8 125 SR NT DUE 05-15-2002	50,490
950,000	COASTAL CORP NT DTD 06/16/2000 7 75 DUE 06-15-2010 BEO	962,227
400,000	COCA COLA ENTERPRISES INC 6 75 DUE 01-15-2038 REG	376,308
175,000	COLT TELECOM GRP PLC SR DISC NT STEP UP 12-15-06 REG 0 TTL 12-15-01 12 AFTER	157,500
625,000	COMINCO LTD 6 875 NT DUE 02-15-2006	589,094
300,000	COMPAQ COMPUTER CORP NT 7 65 DUE 08-01-2005 BEO	306,831
100,000	CONCENTRIC NETWORK CORP DEL 12 75 DUE 12-15-2007/12-15-2002 REG	30,500
150,000	CONMED CORP 9 DUE 03-15-2008/03-15-2003 REG	145,500
250,000	CONSECO INC 9 DUE 10-15-2006 BEO	231,250
125 000	CONSECO INC MEDIUM TERM SR NTS BOOK ENTRY 6 8 DUE 06-15-2005	108,750
75,000	CONSECO INC NT 8 75 DUE 02-09-2004/02-08-2004 BEO	70,875
125,000	COX COMMUNICATIONS INC NT 7 75 DUE 08-15-2006 BEO	132,668
300,000	COX RADIO INC COX RADIO SRNT 6 6250000 20060215 20010215 6 625 2-15-06 BEO	300,894
225,000	CRESCENT REAL ESTATE QUITIES LTD PARTNERSHIP 7 NT DUE 09-15-2002 BEO	223,335
300,000	CSX CORP 6 25 DUE 10-15-2008 BEO	288,627
125,000	CSX CORP 7 95 E-DOLLR DEB DUE 05-01-2027BEO	129,443
575,000	DAIMLER CHRYSLER N AMER HLDG CORP GTD NT7 4 DUE 01-20-2005 BEO	595,257
450,000	DELTA AIR LINES INC DEL NT 8 3 DUE 12-15-2029 BEO	394,758
150,000	DEVON ENERGY CORP NEW 4 95 DUE 08-15-2008/08-15-2000 BEO	150,180
250,000	DIME BANCORP INC NEW NT 9 DUE 12-19-2002BEO	259,930
400,000	DOMTAR INC 8 75 NT DUE 08-01-2006 BEO	413,180
175,000	DONOHUE FST PRODS INC 7 625 DUE 05-15-2007 REG	174,946
400,000	DTE ENERGY CO DTE ENERGY CO NTS NCL 7 05DUE 06-01-2011 BEO	400,000

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Coporate Bonds (continued):</b>		
475,000	DUKE-WEEKS RLTY LTD PARTNERSHIP NT 6 95 DUE 03-15-2011 BEO	\$ 466,764
75,000	DUKE-WEEKS RLTY LTD PARTNERSHIP NT DTD 03/20/1998 6 875 DUE 03-15-2005 BEO	75,986
200,000	EOP OPER LTD PARTNERSHIP 6 5 DUE 01-15-2004 BEO	200,470
575,000	ERP OPER LTD PARTNERSHIP 6 95 DUE 03-02-2011 BEO	569,009
275,000	EXTENDICARE HLTH SVCS INC 9 35 DUE 12-15-2007/12-15-2002 REG	237,875
500,000	FIRSTAR BK 7 125 DUE 12-01-2009 BEO	516,745
375,000	FLEETBOSTON FINL CORP 7 25 DUE 09-15-2005 BEO	392,621
220,000	FORD MTR CO DEL GLOBAL LANDMARK SECS-GLOBL 7 45 DUE 07-16-2031 BEO	211,317
225,000	FORD MTR CR CO GLOBAL LANDMARK SECS-GLOBL 6 7 DUE 07-16-2004 BEO	229,833
150,000	FORD MTR CR CO GLOBAL LANDMARK SECS-GLOBL 7 375 DUE 02-01-2011 BEO	151,382
50,000	FORD MTR CR CO NT 5 8 DUE 01-12-2009 BEO	46,371
500,000	FORD MTR CR CO NT 7 5 DUE 03-15-2005 BEO	519,875
450,000	GS ESCR CORP SR NT 7 DUE 08-01-2003 BEO	449,658
562,000	GT GROUP TELECOM INC SR DISC EXCH NT STEP UP 2-1-10/05 BEO 0 TIL 2-1-05 13 25	157,360
35,110	GUANGDONG ASSETS MGMT LTD GTD NT 144A 5 DUE 01-01-2005 BEO	11,235
175,000	GULF CANADA RES 7 125% NTS 15 01 2011	179,198
100,000	HCA-HEALTHCARE CO HCA HEALTHCARE 7 875 DUE 02-01-2011 BEO	100,875
125,000	HCA-HEALTHCARE CO NT 8 75 DUE 09-01-2010BEO	132,813
400,000	HEALTHCARE RLTY TR INC HEALTHCARE RLTY 8 125 DUE 05-01-2011 BEO	399,328
400,000	HORSESHOE GAMING LLC SR SUB NT 8 625 DUE05-15-2009/05-15-2004 BEO	404,000
300,000	HUNTSMAN INTL HLDGS LLC SR DISC NT 0 DUE12-31-2009/07-01-2004 BEO PUT	90,750
250,000	INTERPOOL CAP TR 9 875 DUE 02-15-2027/11-26-1997 REG	182,500
250,000	ISLE CAPRI CASINOS INC SR SUB NT 8 75 DUE 04-15-2009/04-15-2004 BEO PUT	226,875
250,000	KEYSPAN CORP NT 7 625 DUE 11-15-2010 BEO	263,585
350,000	KEYSPAN GAS E CORP TR 00001 7 875 DUE 02-01-2010 BEO	374,630
150,000	KPNQWEST N V SR NT DTD 06/02/1999 8 125 DUE 06-01-2009/06-01-2004 BEO PUT	85,500
300,000	KROGER CO 8 05 DUE 02-01-2010/01-31-2010BEO	320,862
200,000	KROGER CO DEB 8 DUE 09-15-2029 BEO	208,932
100,000	KROGER CO SR NT 7 625 DUE 09-15-2006 BEO	105,352
375,000	LEHMAN BROS HLDGS INC NT DTD 03/26/1999 6 625 DUE 04-01-2004 BEO	383,224
425,000	LIBERTY MEDIA CORP 8 25 DUE 02-01-2030 BEO	368,900

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Coporate Bonds (continued):</b>		
25,000	LUCENT TECHNOLOGIES INC DEB 6 45 DUE 03-15-2029 BEO	\$ 14,426
200,000	MCLEODUSA INC SR NT 9 5 DUE 11-01-2008/03 BEO	112,000
150,000	METROMEDIA FIBER NETWORK INC SR NT 10 DUE 11-15-2008/11-15-2003 BEO PUT	61,500
177,856	MFO GMO INFLATION INDEX BD III	1,940,412
301,041	MFO GMO TR EMERGING CTRY DEBT FD CL III	2,808,709
7,496,807	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	84,218,024
91,153	MFO STANDISH AYER & WOOD INVT TR INTL FXD INC FD	1,751,959
200,000	MOHEGAN TRIBAL GAMING AUTH SR NT 8 125 DUE 01-01-2006 BEO PUT	202,500
725,000	MORGAN STANLEY GLOBAL NTS 7 75 DUE 06-15-2005 BEO	771,763
225,000	NATL CY CORP SUB DEB DTD 05/04/1999 6 875 DUE 05-15-2019 BEO	214,189
225,000	NEWS AMER INC 6 625 DUE 01-09-2008 REG	218,822
200,000	NEWS AMER INC GTD SR DEB DTD 04/30/1998 7 3 DUE 04-30-2028 BEO	180,330
425,000	NEXTEL COMMUNICATIONS INC SR SER NT DTD 01/26/2001 9 5 DUE 02-01-2011 BEO	332,988
50,000	NEXTLINK COMMUNICATIONS INC SR DISC NT 10 75 DUE 11-15-2008/11-15-2003 BEO	16,500
775,000	NEXTLINK COMMUNICATIONS INC SR DISC NT STEP UP 6-1 09 0 TIL 6-1-04 12 25 AFTER	116,250
200,000	NIAGARA MOHAWK PWR CORP 7 75 DUE 05-15-2006 REG	209,530
100,000	NIAGARA MOHAWK PWR CORP SER E 7 375 SR NT DUE 07-01-2003	103,062
400,000	NISOURCE FIN CORP GTD NT DTD 11/14/2000 7 875 DUE 11-15-2010 BEO	422,340
175,000	NORFOLK SOUTHN CORP 7 8 DUE 05-15-2027 REG	179,687
350,000	NORFOLK SOUTHN CORP NT DTD 02/06/2001 6 75 DUE 02-15-2011 BEO	345,135
250,000	NORTEL NETWORKS LTD FORMERLY NORTEL NETWORKS CORP 03/06/2000 6 125 02-15-06	216,250
425,000	PANAMSAT CORP NEW 6 125 NT DUE 01-15-2005B BEO	405,888
50,000	PPN EL PASO NRG PRTNRS L P/EL PASO NRG PRTNRS FIN CO SR SUB NT 144A 8 56-1-11BE	50,271
125,000	PREMIER PKS INC SR DISC NT STEP UP 4-1-08 RT=0 TIL 4-1-03 10 THEREAFTER	101,250
125,000	PREMIER PKS INC SR NT 9 25 DUE 04-01-2006/04-01-2002 REG	124,375
200,000	PREMIER PKS INC SR NT 9 75 DUE 06-15-2007/06-15-2003 BEO PUT	204,000
225,000	PROGRESS ENERGY INC SR NT 7 1 DUE 03-01-2011 BEO	227,016
50,000	PULTE HOMES INC 7 DUE 12 15-2003 REG	49,847

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Coporate Bonds (continued)</b>		
100,000	PULTE HOMES INC 7 625 DUE 10-15-2017 REG	\$ 90,218
175 000	PVTPL AMERISTAR CASINOS INC SR SUB NT 144A 10 75 DUE 02-15-2009/02-15-2006 BEO	182,875
100,000	PVTPL ARGOSY GAMING CO SR SUB NT DTD 02/08/2001 144A 10 75 DUE	107,500
525,000	PVTPL AT&T WIRELESS SVCS INC SR NT 144A 7 875 DUE 03-01-2011 BEO	525,835
150,000	PVTPL CHOCTAW RESORT DEV ENTERPRISE SR NT 144A 9 25 DUE 04-01-2009/04-01-2005	153,750
25 000	PVTPL DAVITA INC SR SUB NT 144A 9 25 DUE04-15- 2011/04-15-2006 BEO	25,625
625,000	PVTPL ERAC USA FIN CO NT 144A 8 25 DUE 05-01-2005 BEO	654,000
500,000	PVTPL EXIDE CORP SR SUB NT CONV 144A 2 9 DUE 12- 15-2005/12-15-1998 REG	207,950
40,000	PVTPL EXTD STAY AMER INC SR SUB NT 144A 9 875 DUE 06-15-2011 BEO	39,700
150,000	PVTPL FL WINDSTORM UNDERWRITING ASSN 144A 6 7 DUE 08-25-2004 BEO	151,982
400,000	PVTPL GLOBAL CROSSING HLDGS LTD 144A 8 7 DUE 08-01-2007 BEO	304,000
300,000	PVTPL GOLDMAN SACHS GROUP L P 6 625 DUE 12-01- 2004 REG	309,075
150 000	PVTPL HARRAHS OPER INC 7 125 DUE 06-01-2007 BEO	148,379
395,000	PVTPL INTL FLAVORS & FRAGRANCES INC NT 144A 6 45 DUE 05-15-2006 BEO	393,377
75,000	PVTPL MERISTAR HOSPITALITY CORP SR NT 144A 9 DUE 01-15-2008 BEO	75,563
675 000	PVTPL NORDBANKEN AB CAP CONTRIBUTION SECS 144A STEP 11-29-49 8 95 TO 11/09	709,979
475,000	PVTPL NORTHROP GRUMMAN CORP DEB 144A 7 75 DUE 02-15-2031 BEO	466,222
400,000	PVTPL PINNACLE 1 PARTNERS L P /PINNACLE1 INC SR NT 144A 8 83 08-15-2004	409,408
150,000	PVTPL PRIMEDIA INC SR NT 144A 8 875 DUE 05-15-2011 BEO	139,500
600,000	PVTPL QWEST CAP FDG INC NT 144A 7 25 DUE 02-15- 2011 BEO	593,856
225,000	PVTPL SHOPPING CTR ASSOCS 7 625 BD DUE 05-15-2005 REG	226,181
450,000	PVTPL ST GEORGE BK LTD SUB NT 144A 7 15 DUE 10-15- 2005 REG	460,184
400,000	PVTPL WORLDCOM INC GA REMARKETABLE SECS- DRS 144A 7 375 01-15-2011 BEO	407,024
775,000	PX ESCROW CORP SR SUB DISC NT STEP UP 2-1-06 0 TIL 2-1-02 9 625 AFTER	286,750
150,000	QUEST DIAGNOSTICS INC SR NT 6 75 DUE 07-12-2006 BEO	147,549
150,000	RAYTHEON CO NT 8 2 DUE 03-01-2006 BEO	155,553

# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Coporate Bonds (continued)</b>		
50,000	RAYTHEON CO NT 8 3 DUE 03-01-2010 BEO	\$ 52,027
300,000	REP SVCS INC NT 7 125 DUE 05-15-2009 BEO	295,128
675,000	ROYAL BK SCOTLAND GROUP PLC 9 11 DUE 03-31-2049 BEO	746,078
195,000	ROYAL CARIBBEAN CRUISES LTD 8 125 DUE 07-28-2004 REG	196,240
200,000	ROYAL CARIBBEAN CRUISES LTD SR NT 8 75 DUE 02-02-2011 BEO	189,484
50,000	ROYAL CARIBBEAN CRUISES LTD SR NT CONV ZERO CPN DTD 5/18/01 0 05-18-21/05-18-06	20,300
245,000	ROYAL CARIBBEAN CRUISES LTD 7 0 SR NT DUE 10-15-2007 BEO	219,858
400,000	ROYAL KPN NV FORMERLY ROYAL PTT NEDERLAND NV TO 07/06/1998 8 375 10-1-30	369,960
575,000	SAFEWAY INC 6 15 DUE 03-01-2006 BEO	573,643
125,000	SALEM COMMUNICATIONS CORP 9 5 DUE 10-01-2007/10-01-2002 REG	126,250
100,000	SCOTT'S CO SR SUB NT 8 625 DUE 01-15-2009/01-15-2004 BEO	101,875
5,000,000	SEC CAP CORP 6 5 CONV DEB DUE 03-29-2016	6,414,460
425,000	SIMON PTY GROUP LP NT DTD 01/18/2001 7 375 DUE 01-20-2006 BEO	431,154
450,000	SOUTHLAND CORP 1ST PRTY SR SUB 5 00 DEB DUE 12-15 2003 REG	421,101
50,000	STA CASINOS INC 9 75 DUE 04-15-2007/04-15-2002 REG	51,500
50,000	STA CASINOS INC SR SUB NT 9 875 DUE 07-01-2010/07-01-2005 BEO	52,125
205,000	STAGECOACH HLDGS PLC STAGECOACH HOLDINGSPLC CORP NT 8 625 DUE 11-15-2009 BEO	187,081
400,000	STORA ENSO CORP NT 7 375 DUE 05-15-2011 BEO	405,168
375,000	SUNTRUST BKS INC 7 75 DUE 05-01-2010 BEO	399,626
350,000	TELE COMMUNICATIONS INC 9 80 BD DUE 2-1-2012 REG	410,330
150,000	TELEFONICA EUROPE B V U S NT 8 25 DUE 09-15-2030 BEO	157,494
375,000	TELUS CORP 8 00000 05/30/01 06/01/11 8 DUE 06-01-2011 BEO	382,695
80,000	TEMBEC INDS INC GTD SR NT DTD 01/19/2001 8 5 DUE 02-01-2011 BEO	81,200
125,000	TENET HEALTHCARE CORP 7 875 DUE 01-15-2003 REG	126,875
300,000	TENET HEALTHCARE CORP 8 DUE 01-15-2005 REG	307,875
125,000	TENET HEALTHCARE CORP 8 625 SR NT DUE 12-01-2003	128,826
125,000	TOTAL RENAL CARE HLDGS INC SUB NT CONV 7 DUE 05-15-2009/11-15-2001 BEO	119,825
350,000	TRENWICK CAP TR I 8 82 DUE 02-01-2037/08-08-1997 REG	280,000
200,000	TRICON GLOBAL RESTAURANTS INC 7 45 DUE 05-15-2005 REG	197,000

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# Surdna Foundation, Inc.

## Investments

June 30, 2001

Face value or shares	Description	Market value
<b>Corporate Bonds (continued)</b>		
75,000	TRICON GLOBAL RESTAURANTS INC 8 875 DUE 04-15-2011 BEO	\$ 76,688
700,000	TRW INC NT 6 625 DUE 06-01-2004 BEO	706,888
250,000	TYCO INTL GROUP S A 6 75 DUE 02-15-2011 BEO	247,738
625,000	TYCO INTL GROUP S A GTD NT 6 125 DUE 01-15-2009 BEO	600,456
75,000	U S BANCORP MEDIUM TERM NTS- BOOK ENTRY TR 00125 6 875 DUE 12-01-2004	77,516
225,000	UN PAC RES GROUP INC DEB 7 95 DUE 04-15-2029 BEO	240,536
225,000	UN PLANTERS CORP SUB NT 7 75 DUE 03-01-2011 BEO	231,604
375,000	VIACOM INC NT 7 7 DUE 07-30 2010 BEO	396,810
150,000	VIACOM INC SR NT 6 4 DUE 01-30-2006 BEO PUT	152,381
550,000	WASTE MGMT INC DEL SR NT 6 875 DUE 05-15-2009 BEO	535,530
350,000	WASTE MGMT INC DEL WMI 7 375 DUE 08-01-2010 BEO	350,525
225,000	WELLS FARGO BK NA SAN FRAN CAL WELLS FARGO BK N A 7 55 DUE 06-21-2010 BEO	238,066
325,000	WELLS FARGO BK NA SAN FRAN CAL WELLS FARGO BK NA 6 45 DUE 02-01-2011 BEO	319,777
200,000	WILLIAMS COMMUNICATIONS GROUP INC US\$ SRNT 11 7 DUE 08-01-2008 BEO	82,000
200,000	WMX TECHNOLOGIES INC 7 1 SR NTS DUE 08-01-2026 PUTTABLE 8-1-2003 @ PAR **PUT	203,522
425,000	WORLDCOM INC GA NEW 7 50000 05/16/01 05/15/11 7 5 DUE 05-15-2011 BEO	413,474
400,000	WORLDCOM INC GA NEW 8 25000 05/16/01 05/15/31 8 25 DUE 05-15-2031 BEO	393,252
<b>Total Corporate Bonds</b>		<b>146,071,094</b>
<b>Government Obligations:</b>		
1,100,000 000	ADVANTA MTG LN TR 1999-2 AST BKD CTF CL A-3 6 44 DUE 05-25-2029 BEO	1,110,505
950,000 000	CAP AUTO RECEIVABLES AST TR 2001-2 AST BACKED NT CL A-3 4 6 DUE 09-15-2005 BEO	947,328
850,000 000	DAIMLERCHRYSLER AUTO TR 2001-A AST BKD NT CL A-3 5 16 DUE 01-06-2005 BEO	855,695
423,060 120	FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45 CTF DUE 09-25-2025 REG	425,852
425,000 000	GREEN TREE FINL CORP 98-8 MFD SR/SUB PASSTHRU CTF M-1 6 98 DUE 09-01-2029 BEO	394,319
1,066,000 000	MTG CAP FDG INC SER 1996-MC-1 CL C 7 8 MTG PASSTHRU CTF DUE 04-15-2006 BEO	1,127,956
61,137 960	MFO GMO DOMESTIC BD FD III	607,100
144,656 270	MFO GMO TR US BD/GLOBAL ALPHA A FD CL III	1,485,620

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# Surdna Foundation, Inc.

## Investments

June 30 2001

Face value or shares	Description	Market value
<b>Government Obligations (continued).</b>		
8,100,000 000	US TREAS BDS DTD 02/15/2000 6 25 DUE 05-15-2030 BEO	8,593,614
1,025,000 000	US TREAS NTS 6 75 DUE 05-15-2005 REG	1,091,287
4,300,000 000	US TREAS NTS DTD 00880 5 125 DUE 12-31-2002 REG	4,360,888
720,000 000	US TREAS NTS DTD 08/15/2000 5 75 DUE 08-15-2010 REG	736,762
75,000 000	UTD MEXICAN STATES BD 10 375 DUE 02-17-2009 BEO ISIN #US593048BG58	84,174
648,756 030	FHLMC GROUP C43700 7 10-01-2030	652,992
3,000,300 000	FNMA POOL #253884 6 5 DUE 08-01-2016 REG	3,028,428
417,508 500	FNMA POOL #323348 8 5 DUE 06-01-2012 REG	441,904
1,688,902 300	FNMA POOL #535533 8 DUE 10-01-2030 REG	1,743,353
120,629 800	FNMA POOL #563869 8 DUE 01-01-2031 REG	124,519
275,027 000	FNMA POOL #598249 6 5 DUE 07-01-2016 REG	277,605
276,415 100	RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8% COML PASS THRU CTF 4-25-25	274,367
500,000 000	CMO FDIC REMIC TR 1994-C1 CL II-D 8 7 DUE 09-25-2025 BEO	510,575
2,300,000 000	FNMA DISC NT 07-18-2001	2,295,561
100,000 000	FNMA DISC NT 09-13-2001	99,255
3,557,000	GMO MARKET NEUTRAL FUND ONSHORE, LP	3,866,478
5,346,528	GMO US AGGR LONG/SHORT FD ONSHORE, LP	6,622,873
<b>Total Government Obligations</b>		<b>41,759,010</b>
<b>Other Investments:</b>		
123,141 000	BRAZIL C SER 20 YR 8 DUE 04-15-2014/04-15-1999 REG	92,368
105,000 000	BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM 005147271	82,950
185,000 000	MEXICO-UNITED MEXICAN STS GLOBAL BD 8 125 DUE 12-30-2019 BEO	174,381
75,000 000	PHILIPPINES REP GLOBAL BD DTD 03/16/2000 10 625 DUE 03-16-2025 BEO	68,250
113,157 000	REP PANAMA PDI FLTG RT DEB DUE 07-17-2016 S/F ISIN #XS0067928712	94,062
225,000 000	RUSSIAN FEDN EURO BD 5 DUE 03-31-2030 BEO #XS0114288789 512473U	106,875
166	DISCOVERY II 90 SER 1 CORP CL B *	14,497
165	DISCOVERY II 90 SER 2 CORP CL B *	1,672
18	LP DISCOVERY II 90 SER 1 *	218,304
301,851	LP DISCOVERY II 90 SER 2 *	127,923
1,720,000	ENDOWMENT PRIVATE EQUITY PARTNERS IV *	962,459
2,100,000	ENDOWMENT VENTURE PARTNERS V *	1,586,867
60,000	COMMONFUND CAPITAL INTERNATIONAL PARTNERS IV *	16,431
37,500,000	FARAI LON CAPITAL INSTITUTIONAL PARTNERS, L P *	64,250,363
3,870,747	TIFF PARTNERS III *	3,625,854
11,041,957	TIFF PARTNERS I *	12,737,289
4,499,264	VEGA PARTNERS III *	4,215,074

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\* PARTNERSHIP INTEREST - NOT PUBLICLY TRADED

# Surdna Foundation, Inc.

## Investments

*June 30, 2001*

Face value or shares	Description	Market value
544	<b>Rights and Warrants:</b> PVTPL GT GROUP TELECOM IN WTS 144AISIN US 3623591019	10,880
	<b>Total Other Investments:</b>	88,461,435
	<b>Grand Total:</b>	\$636,197,730

**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

**2000 Summary of Estimated Tax Payments and Overpayments**

<b>Part VI, Line 6(a)</b>	<b>Tax Payments &amp; Overpayments</b>
1999 Overpayment	465,265
11/15/00	1,000
12/15/00	200,000
03/15/01	400,000
06/15/01	<u>50,000</u>
 Total Tax Payments and Overpayments	 <u>1,116,265</u> <b>To Part VI Line 6(a)</b>

Surdna Foundation, Inc.

EIN: 13-6108163

Form 990-PF

June 30, 2000

Fair Market Value of Real Property-Part II, Line 11

<u>Asset</u>	<u>Basis</u>	<u>Fair Market Value</u>
Mineral Rights and/or Rights of Repurchase in Minnesota Lands	0	0
45% Undivided Interest in the following per deeds dated 10/14/77	0	0
Parcel #12 On lands in Cook County Minnesota	0	0
Parcel #13 On lands in St. Louis County, Minnesota	0	0
Parcel #14 On lands in Itasca County, Minnesota	0	0
Parcel #15 On lands in Cass County, Minnesota	0	0
Parcel #20 On lands in Crow Wing County, Minnesota	0	0
Parcel #21 On lands in Cass County, Minnesota	0	0
Parcel #22 On lands in Cook County, Minnesota	0	0

**Surdna Foundation, Inc.**

**EIN: 13-6108163**

**Form 990-PF**

**June 30, 2000**

**Fair Market Value of Real Property-Part II, Line 11**

Parcel #23		
On lands in St Louis County, Minnesota	0	0
Parcel #24		
On lands in Lake County, Minnesota	0	0
Parcel #28		
On lands in Aitken County, Minnesota, known as Troy O Shawmut Property containing 3,264.77 acres	0	0
Parcel #29		
On lands in Crown Wing County, Minnesota	0	0
Parcel #30		
On lands in Crown Wing County, Minnesota	0	0
Parcel #31		
On lands in Cass County, Minnesota	0	0
	<hr/>	<hr/>
Total	0	0
	<hr/>	<hr/>

Surdna Foundation, Inc.  
 EIN 13-6108163  
 Form 990-PF  
 June 30, 2001

**Fair Market Value of Other Assets**  
**Part X, Line 1c**

Description	FMV Value at End of Yr
<u>Real Property</u>	
Mineral Rights and/or Rights of Repurchase in Minnesota Lands	0
<u>Rights and Warrants</u>	
PVTPL GT Group Telecom in WTS 144AISIN 3623591019	10,880
<u>Common Stock of</u>	
J E A Chittitu Creek Corp (*)	0
J E A Plymouth Corp (*)	816,037
<u>Bonds of</u>	
J E A Plymouth Corp (*)	418,500
<u>Partnership Interests</u>	
Commonfund Capital Inter'l Partners IV	4,772 **
Discovery Fund II '90 Series 1 (*)	232,801
Discovery Fund II '90 Series 2 (*)	129,595
Endowment Private Equity Partners IV (*)	962,459
Endowment Venture Partners V (*)	1,586,867
Vega Partners III LP (*)	4,215,074
Farallon Capital Institutional Partners, L P (*)	64,250,363
TIFF Partners I, LLC (*)	12,737,289
TIFF Partners III, LLC (*)	3,625,854
Totals	<u>88,990,491</u>

(\*) Not publicly traded

(\*\*) Reflect \$16,431 FMV Commonfund Capital International Partners IV x 106 days held / 365

Periodic Report by Julia Dyckman Andrus Memorial, Inc to  
**Surdna Foundation, Inc.**  
**EIN: 13-6108163**  
**Form 990-PF**  
**June 30, 2001**

(Pursuant to expenditure responsibility  
requirement of IRC Section 4942(g)(3)(B))


- 1 Julia Dyckman Andrus Memorial, Inc ("JDAM"), 1156 North Broadway, Yonkers, NY 10701, operates a children's home and is classified by the Internal Revenue Service as a public charity
- 2 BDO Seidman, LLP, Certified Public Accountants, rendered an unqualified opinion on the financial statements of JDAM for the year ended June 30, 2000
3. Form 990-PF filed by JDAM for the year ended June 30, 2000 constitutes a full and detailed report to the Internal Revenue Service with respects to its expenditures
- 4 During the year ended June 30, 2000 JDAM received grants from Surdna aggregating \$500,000 for general support purposes and \$6,000 for restricted support purposes
- 5 JDAM submitted to Surdna an expenditure responsibility statement under IRC Section 4942 (g)(3)(B) for the year ended June 30, 2000, prepared by BDO Seidman, LLP, certifying that JDAM made qualifying distributions equal to the \$506,000 contributed by Surdna to JDAM and that such qualifying distributions would be treated as distributions out of corpus under IRC Section 4942(h) if JDAM were a private non-operating foundation

JULIA DYCKMAN ANDRUS MEMORIAL, INC

By

Title

Date

  
\_\_\_\_\_  
*Director*  
\_\_\_\_\_  
*2/14/02*

21(1/2)

Rider to Form 990-PF  
**Surdna Foundation, Inc.**  
EIN: 13-6108163  
Form 990-PF  
June 30, 2001

(Pursuant to expenditure responsibility  
requirement of IRC Section 4942(g)(3)(B))

- 1 To the knowledge of the foundation managers for Surdna Foundation, Inc , no portion of the funds granted to Julia Dyckman Andrus Memorial, Inc , a public charity, has been diverted by Julia Dyckman Andrus Memorial, Inc , from the purpose of the grant
- 2 Some of the Directors of Surdna Foundation, Inc are also Directors of Julia Dyckman Andrus Memorial, Inc
- 3 The periodic report on expenditures of grant funds submitted by Julia Dyckman Andrus Memorial, Inc , is attached hereto and incorporated herein

SURDNA FOUNDATION, INC.

By. \_\_\_\_\_

Title

Date

*[Handwritten Signature]*  
Director

2/14/02



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## OUR APPROACH TO GRANTMAKING

---

We are interested in fostering catalytic, entrepreneurial programs that offer viable solutions to difficult systemic problems

---

We seek high-quality, direct-service programs that advance our philanthropic goals

---

We enjoy collaboration with our grantees and seek to work with them in supportive, collegial relationships

---

We recognize the importance of collaborating with other foundations to build joint, diverse programs and to leverage our funding with that of other foundations, corporations, and governmental bodies

---

We make both project and general support grants. We do not generally fund individuals, capital campaigns, building construction, or projects that are internationally based or focused

---

We are committed

- To respecting those seeking grants by demonstrating promptness, courtesy, responsiveness, and objectivity in assessing how their grant requests meet our philanthropic goals
  - To communicating honestly and directly with those seeking support.
  - To demonstrating both accountability to and support for our grantees after a grant is made
-

SURDNA FOUNDATION, INC  
330 MADISON AVENUE, 30th FLOOR  
NEW YORK, NEW YORK 10017-5001  
FORM 990 PF - June 30, 2001

FD# 13-6108163

## GRANT APPLICATION PROCEDURES

We strongly recommend that prospective applicants submit the following in the form of a letter of inquiry before a full proposal is sent

- Information about the organization's purposes and specific activities
- A brief description of the program for which funds are being sought, including the time period to be covered
- The principal outcome(s) expected.
- Budgets for the program for which funds are sought and for the organization
- The amount being requested of the Foundation
- Funding received to date for the program, as well as sources from which funding is being sought
- The names and qualifications of the key personnel who will be responsible for the program
- The most recent audited financial statements of the organization and its operating subsidiaries, if any
- IRS certification of 501(c)(3) status of the organization
- E-mail address for contact person at the organization

*We do not generally fund individuals, capital campaigns, building construction, or projects that are internationally based or focused*

Upon receipt of the letter of inquiry, we will issue a notification of our 90-day consideration period. During that time we will make every effort to respond promptly and cordially to the request. We will work in collaboration with applicants and will move as expeditiously as possible to achieve our mutual goals.

Organizations that have received funding from Surdna may apply for continued support. If you intend to apply for additional support, you must inform us in writing within 8 months of the receipt of your grant. Please note that organizations are eligible for a maximum of three consecutive years of funding.

**Correspondence should be addressed to:**

Edward Skloot, Executive Director  
Surdna Foundation, Inc  
330 Madison Avenue, 30th Floor  
New York, New York 10017-5001  
E-mail: request@surdna.org

**Surdna Foundation, Inc.**  
**Form 990PF**  
**ID#: 13-6108163**  
**Grantee Addresses**  
**July 1, 2000 through June 30,2001**

1000 Friends of Florida  
Mr Charles Pattison  
P O Box 5948  
Tallahassee, FL 32314-5948

1000 Friends of New Mexico  
Mr Ned Farquhar  
1001 Marquette Ave, NW  
Albuquerque, NM 87102-1937

52nd Street Project  
Ms Carol Ochs  
500 West 52nd Street, 2nd Floor  
New York, NY 10019

79th Street Corridor Neighborhood Initiative, Inc.  
Mr. Oliver Gross  
c/o John Little, Esquire  
Legal Services of Greater Miami  
3000 Biscayne Boulevard, Suite 500  
Miami, FL 33137

Acre Family Day Care  
Ms Kristen Wesloh  
14 Kirk Street  
Lowell, MA 08154

Addison Gallery of American Art-Phillips Academy  
Mr Adam Weinberg  
Phillips Academy  
Andover, MA 01810

Alaska Conservation Foundation  
Ms. Deborah L Williams  
441 West 5th Avenue, Suite 402  
Anchorage, AK 99501-2340

Alliance For Justice  
Nan Aron  
2000 P Street, N W , Suite 712  
Washington, DC 20036

Alliance of Redding Museums  
Ms Judith Lalouche  
800 Auditorium Drive  
Redding, CA 96001

Alternatives for Community & Environment  
Penn Loh  
Offices at Boston College  
Law School  
885 Centre Street  
Newton, MA 02159

Alternatives In Action  
Ms Leslie Medine  
2750 Todd Street  
Alameda, CA 94501

Alvin Ailey Dance Theater Foundation  
Ms Sharon Luckman  
Dance Theatre Foundation  
211 West 61st Street  
New York, NY 10023

American Farmland Trust  
Mr Ralph Grossi  
1200 18th Street, N W  
Suite 800  
Washington, DC 20036

American Forum  
Ms Denice Zeck  
National Press Building, Suite 840  
529 14th Street NW  
Washington, DC 20045

American Friends of the Royal Court Theatre  
Mr Harry Brown  
P O Box 5042  
New York, NY 10185

American Museum of Natural History  
Ms Trudy Hayden  
Central Park West  
at 79th Street  
New York, NY 10024-5192

American Oceans Campaign  
Ms Barbara Jeanne Polo  
600 Pennsylvania Avenue SE, Suite 210  
Washington, DC 20003

American Rivers  
Mr Kevin Coyle  
1025 Vermont Avenue, NW Suite 720  
Washington, DC 20005

Americans for Equitable Climate Solutions  
Mr Rafe Pomerance  
1828 L Street, N W , Suite 1000  
Washington, DC 20036

Appalachian Mountain Club  
Mr Andrew J Falender  
5 Joy Street  
Boston, MA 02108

Aquinas Housing Corporation  
Mr Michael Brennan  
1912 Crontona Parkway  
Bronx, NY 10460

Armory Center for the Arts  
Ms Elisa Greben Crystal  
145 North Raymond Avenue  
Pasadena, CA 91103

Artists for Humanity  
Ms Susan Rodgerson  
288-300 A Street  
Boston, MA 02210

Arts Teachers Fellowship Program\*  
\*Fellows listed at bottom

Aspen Music Festival and School  
Mr Robert Harth  
2 Music School Road  
Aspen, CO 81611

Association For Neighborhood & Housing  
Development, Inc  
Ms Irene M Baldwin  
305 Seventh Avenue, Suite 2001  
New York, NY 10001-6008

Audubon Society of New Hampshire  
Mr. Richard Cook  
3 Silk Farm Road  
Concord, NH 03301

Ballet Hispanico of New York, Inc  
Ms Jill Hellman  
167 West 89th Street  
New York, NY 10024

Ballet Tech  
Mr Eliot Feld  
Lawrence A Wien Center for Dance & Theater  
890 Broadway  
New York, NY 10003

Baltimore Symphony Orchestra  
Ms Sarah Angerer  
Joseph Meyerhoff Symphony Hall  
1212 Cathedral Street  
Baltimore, MD 21201-5545

Beaverkill Conservancy  
Mr. Christopher Elliman  
666 Broadway, 9th Floor  
New York, NY 10012-2317

Berkshire Farm Center & Services For Youth  
Mrs Rose Washington  
13640 Route 22  
Canaan, NY 12029-9711

Biodiversity Project  
Ms. Cindy Coffin  
214 N Henry Street  
Suite 201  
Madison, WI 53703

Bloomington School of Music  
Mr Lawrence Davis  
323 West 108th St  
New York, NY 10025

Boston University Tanglewood Institute  
Mr Raymond Jalette  
19 Deerfield Street  
Boston, MA 02215

Baltimore School for the Arts  
M Leslie Shepard  
712 Cathedral Street  
Baltimore, MD 21201

Bay Area Video Coalition  
Ms Sally Jo Fifer  
2727 Mariposa St , 2nd Floor  
San Francisco, CA 94110

Benton Foundation  
Ms Karen Menichelli  
950 18th Street, NW  
Washington, DC 20006

Berkshire Farm Center & Services For Youth  
Mrs Rose Washington  
13640 Route 22  
Canaan, NY 12029-9711

Blake School  
Mr Tyler C Tingley  
Northrop Campus  
511 Kenwood Parkway  
Minneapolis, MN 55403

Boston Aging Concerns-Young and Old United  
Ms Ann Silverman  
67 Newberry Street  
Boston, MA 02116

Boys Choir of Tallahassee  
Ms Dianne Montgomery  
225 University Center  
Building C  
Suite 3100  
Tallahassee, FL 32306

Brevard Music Center  
Gary Himes  
P O Box 312  
Brevard, NC 28712

Bridge Group, The  
Mr. Jeffrey L. Bradach  
c/o Bain & Company  
Two Copley Place  
Boston, MA 02116

Bridge Street Development Corporation  
Mr. Colvin W. Grannum  
266 Stuyvesant Avenue  
Brooklyn, NY 11221

Broad-Park Development Corporation  
Mr. Romulo Samaniego  
617 Park Street  
Hartford, CT 06106

Broad-Park Development Corporation  
Mr. Romulo Samaniego  
617 Park Street  
Hartford, CT 06106

Brookings Institution, The  
Mr. Bruce Katz  
1775 Massachusetts Avenue N W  
Washington, DC 20036

Brooklyn Academy of Music  
Ms. Karen Brooks Hopkins  
30 Lafayette Avenue  
Brooklyn, NY 11217

Brooklyn Youth Chorus  
Ms. Ann Scott Kone  
138A Court Street  
Brooklyn, NY 11201-6209

Brooks School  
Ms. Katherine B. Hardy  
1160 Great Pond Road  
North Andover, MA 01845-1298

Brown University  
Ms. Elizabeth Hollander  
164 Angell St  
Providence, RI 02912

CASEL - University of Illinois  
f  
Department of Psychology (MC 285),  
1009 Behavioral Sciences Building, 10007 East  
Harrison Street  
Chicago, IL 60607-7137

CASEL - University of Illinois  
Roger P. Weissberg, Ph.D.  
Department of Psychology (MC 285),  
1009 Behavioral Sciences Building, 10007 East  
Harrison Street  
Chicago, IL 60607-7137

California Institute of Integral Studies  
Robert McDermott  
1453 Mission Street  
San Francisco, CA 94103

California Institute of the Arts (CalArts)  
Mr. Jeffrey H. James  
24700 McBean Parkway  
Valencia, CA 91355-2397

California State University Monterey Bay  
Ms Kristine Edmunds  
Service Learning Institute  
Administrative Offices  
100 Campus Center, Bldg 46A  
Seaside, CA 93955

Campaign For Fiscal Equity, Inc  
Mr Michael A Rebell  
6 East 43rd Street  
New York, NY 10017

Cape Cod Commercial Hook Fishermen's Association  
Mr Paul Parker  
210 Orleans Road  
North Chatham, MA 02650

Cape Cod Commercial Hook Fishermen's Association  
Mr Paul Parker  
210 Orleans Road  
North Chatham, MA 02650

Carnegie Foundation For The Advancement Of  
Teaching  
Ms Ann Fitzgerald  
555 Middle Road  
Menlo Park, CA 94025

Catholic Charities-Brooklyn and Queens  
Mr Thomas A DeStefano  
191 Joralemon Street  
Brooklyn, NY 11201

Catholic Charities-Brooklyn and Queens  
Ms Joan M Murphy  
191 Joralemon Street  
Brooklyn, NY 11201

Center for a New American Dream  
Ms Betsy Taylor  
6930 Carroll Avenue, Suite 900  
Takoma Park, MD 20912

Center for Clean Air Policy  
Mr Ned Helme  
750 First Street, NE, Suite 1140  
Washington, DC 20002

Center for Community Self-Help  
Mr Dan Broun  
301 W Main Street  
Durham, NC 27701

Center for Constitutional Rights  
Mr Ron Daniels  
666 Broadway  
New York, NY 10012

Center for Cultural Exchange  
Ms Phyllis O'Neill  
One Longfellow Square  
Portland, ME 04101

Center for Effective Philanthropy  
Mr Mark Kramer  
20 Park Plaza  
Suite 1125  
Boston, MA 02116

Center for Marine Conservation  
Roger E McManus  
1725 DeSales Street, NW  
Suite 500  
Washington, DC 20036

Center for Media Education  
Dr Kathryn Montgomery, Ph D  
2120 L St, NW, Suite 200  
Washington, DC 20037

Center for Neighborhood Technology-Institution for  
Location Efficiency  
Rev Joan M Dehzad  
1730 Clifotn Place, Suite A-1  
Minneapolis, MN 55403-3242

Center for Public Interest Research  
M Margie Alt  
29 Temple Place  
Boston, MA 02111

Center for Resource Solutions  
Mr Jan Hamrin  
P O Box 29512, Presidio Building 49  
San Francisco, CA 94129

Center for Teen Empowerment  
Stanley Pollack  
48 Rutland Street  
Boston, MA 02118

Center for Victims of Torture  
Mr Douglas A Johnson  
717 East River Road  
Minneapolis, MN 55455

Center for Youth as Resources  
Marilyn Bassett  
1000 Connecticut Avenue, NW, 12th Floor  
Washington, DC 20036

Center of Contemporary Arts  
Ms Stephanie Riven  
524 Trinity Ave  
University City, MO 63130

Central Synagogue  
Livia Thompson  
122 East 55th Street  
New York, NY 10022

Certified Forest Products Council  
Mr David Ford  
14780 S W Osprey Drive  
Suite 285  
Beaverton, OR 97007-8424

Changemakers  
John L. Levy  
Presidio Building 1009  
San Francisco, CA 94129-0150

Chesapeake Bay Foundation  
Mr William C. Baker  
6 Herndon Avenue  
Annapolis, MD 21403

Chicago Children's Choir  
Ms Nancy Carstedt  
Chicago Cultural Center  
78 East Washington Street  
Chicago, IL 60602

Child Care Council of Westchester  
Ms Lottie Harris  
470 Mamaroneck Avenue  
White Plains, NY 10605



Child Care, Inc  
Ms Nancy Kolben  
275 Seventh Avenue  
New York, NY 10001

Children's Defense Fund  
Ms Susanne Martinez  
25 E Street, N W  
Washington, DC 20001

Citizen Schools  
Mr Eric Schwarz  
308 Congress Street  
5th Floor  
Boston, MA 02210-1027

Citizens Planning and Housing Association  
Ms Terr L Turner  
218 West Saratoga Street  
Fourth Floor  
Baltimore, MD 21201

City Cares  
Ms Michelle Nunn  
1605 Peachtree Street  
Suite 100  
Atlanta, GA 30309

City Year  
Michael Brown  
285 Columbus Avenue  
Boston, MA 02116

Citykids Foundation  
Ms Elizabeth Sak  
57 Leonard Street  
New York, NY 10013

Clark Atlanta University  
Dr Robert Bullard  
Environmental Justice Center  
223 James P Brawley Drive, SW  
Atlanta, GA 30314-4391

Clean Energy Group  
Mr Lewis Milford  
50 State Street  
Montpelier, VT 05602

Cleveland Housing Network, Inc  
Mr Robert S Curry  
2999 Payne Avenue  
Suite 306  
Cleveland, OH 44114

Cleveland School of the Arts  
Mr Michael Starinsky  
2064 Streans Road  
Cleveland, OH 44106

Coalition for Utah's Future  
Mr Stephen Holbrook  
2185 South 3600 West  
Salt Lake City, UT 84119

Coastal Conservation Association of Florida  
Ted Forsgren  
905 East Park Ave  
Tallahassee, FL 32301

Coastal Enterprises, Inc  
Ronald L Phillips  
36 Water Street, P O Box 268  
Wiscasset, ME 04578

Collegiate School  
Ms Jill Lloyd  
370 West End Avenue  
New York, NY 10024

Colorado Open Lands  
Mr Daniel Pike  
274 Union Blvd  
Suite 320  
Lakewood, CO 80228

Colorado Public Interest Research Foundation  
Rich McClintock  
1724 Gilpin Street  
Denver, CO 80218

Common Ground  
Ms Rosanne Haggerty  
255 W 43rd Street  
New York, NY 10036-3917

Community Builders  
Mr Patrick M Costigan  
The Sunderland Building  
1320 19th Street, NW, Suite 420  
Washington, DC 20036

Community Catalyst  
Mr Robert Restuccia  
30 Winter Street  
Boston, MA 02108

Community Foundation of Napa Valley  
Ms Nicole Suard, Esq.  
433 Soscol Avenue  
Napa, CA 94559

Community IMPACT'  
Mr Greg Taylor  
1815 H Street  
Suite 700  
Washington, DC 20006

Community Law Center  
Ms Lauren Abramson  
Johns Hopkins University  
624 N Broadway, 8th Floor  
Baltimore, MD 21205

Community Rights Counsel  
Mr Doug Kendall  
1726 M St NW  
Suite 703  
Washington, DC 20036

Community Training And Resource Center  
Mr Kevin Ryan  
90 William Street  
New York, NY 10038

Compumentor  
Mr Daniel Ben-Horn  
487 Third Street  
San Francisco, CA 94107

Concert Competition and Musical Development, Inc  
Mr Aaron Dworkin  
3319 Greenfield Rd , #705  
Dearborn, MI 48120-1212

Congress for the New Urbanism  
Ms Shelley R. Poticha  
The Hearst Building  
5 Third Street, Suite 725  
San Francisco, CA 94103

Connecticut Fund for the Environment  
Donald S Strait  
205 Whitney Avenue, 1st Floor  
New Haven, CT 06511

Conservation Fund  
Mr Edward T McMahon  
1800 North Kent Street  
Suite 1120  
Arlington, VA 22209-2156

Conservation Law Foundation  
Mr Douglas I Foy  
62 Summer Street  
Boston, MA 02110

Constitutional Rights Foundation  
Mr Todd Clark  
601 South Kingsley Drive  
Los Angeles, CA 90005

Consumer's Choice Council  
Mr Chad Dobson  
2000 P Street NW, Suite 540  
Washington, DC 20036

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Scenic America  
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Southern Appalachian Forest Coalition  
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Southern Environmental Law Center  
Mr Frederick S Middleton, III  
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St Mark the Evangelist School  
Ms Margaret Crotty  
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Scholarship Fund  
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School of International Service  
American University  
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Sherwood Conservatory of Music  
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Society for Art Publications  
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South Carolina Coastal Conservation League  
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Minneapolis, MN 55404

St Mary's PTO  
Joe Mahon  
St Mary's Development Office  
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Manhasset, NY 11030

St Paul's Episcopal Church  
PO Box 770722  
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Stanford Jazz Workshop  
Mr Jim Nadel  
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Stanford, CA 94309

Stanford University School of Education  
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Street Level Youth Media  
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STRIVE-East Harlem Employment Service Inc  
Mr Robert Carmona  
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Surface Transportation Policy Project  
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Surface Transportation Policy Project  
Mr David Burwell  
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Sustainable Northwest  
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Tall Timbers Research, Inc  
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Taxpayers for Common Sense  
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Temple University - Tyler School of Art  
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Tennessee Valley Energy Education Foundation  
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Texas Citizen Fund  
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The Door  
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New York, NY 10013

The Natural Step  
Ms Catherine Gray  
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The Point  
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Bronx, NY 10474

The Reinvestment Fund  
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The Tides Center  
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The Winsor School  
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Time Dollar Institute  
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Trevor Day School  
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Annual Appeal  
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Trust for Public Land  
Lisa Cashdan  
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Tufts University - Global Development and  
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Neva Goodwin  
Cabot Intercultural Center  
Tufts University  
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Tufts University-Lincoln Filene Center for Citizenship  
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Tufts University  
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United Neighborhood Houses of New York, Inc  
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United Way of Minneapolis Area  
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University of Chicago-Chapin Hall Center for Children  
Harold Richman  
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University of Minnesota-Center for Democracy &  
Citizenship  
Harry Boyte  
Hubert H Humphrey Institute of Public Affairs  
301 19th Avenue South  
Minneapolis, MN 55455

University of North Carolina-Greensboro-Elizabeth  
Langford Davenport Scholarship Fund  
Mr Ray Covington  
Development Office  
University of North Carolina  
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Greensboro, NC 27412-5001  
University of Washington - Public Policy Mgmt  
Mr. Daniel Carlson  
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Seattle, WA 98195

University of Pennsylvania-Center for Greater  
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University of Pennsylvania  
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Urban Edge Housing Corporation  
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Urban Retrievers-Philadelphia Student Union  
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Urban Strategies  
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Vanderbilt University  
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Venture Philanthropy Partners  
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Walker Art Center  
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We Interrupt This Message  
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Westminster Presbyterian Church  
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WFSU-FM/FSU Foundation  
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Wilderness Society  
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Women's Community Revitalization Project  
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Women's Housing And Economic Development  
Corporation  
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World Wildlife Fund  
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## Surdna Foundation - FY01 Grant List and Descriptions

<b>Organization</b>	<b>For:</b>
1000 Friends of Florida	General support
1000 Friends of New Mexico	For 1000 Friends of New Mexico (1000 Friends), working with partner organizations, to expand a statewide 'smart growth' coalition and to mount a campaign to change the direction of growth management in New Mexico
1000 Friends of New Mexico	Continued support for 1000 Friends of New Mexico (1000 Friends) working with its partner organizations to expand a statewide 'smart growth' coalition and to implement a campaign to change the direction of growth management in New Mexico
52nd Street Project	To further develop the Teen Program In a two-year Teen Program, in weekly classes, teens work with established texts ranging from the classics to modern pieces, with a particular emphasis on Shakespeare
79th Street Corridor Neighborhood Initiative, Inc	To plan and implement the transit-oriented redevelopment of a distressed Miami neighborhood served by a major transportation hub
Acre Family Day Care	Support for Surdna's Family Child Care Initiative
Acre Family Day Care	To continue support of the Massachusetts Institute on Family Child Care, Acre's vehicle to share its family child care work throughout the U S
Addison Gallery of American Art-Phillips Academy	To help support Site Lines-a program in which internationally recognized visual artists will work intensively with students from Lawrence and Andover High Schools, and Phillips Academy, on the production of temporary outdoor artworks
Alaska Conservation Foundation	To launch a new network of conservation groups, fishing groups, professional observers and Native organizations who will collaboratively work together to restore and maintain a healthy marine ecosystem in Alaska, in particular the North Pacific Ocean
Alaska Conservation Foundation	A one time only transition grant to enhance its capacity educate and fundraise at a time when it has acquired several new assets-a new executive director, an energized and expanded board, a new blue print for development and new program directions
Alliance For Justice	To continue building a national network of well trained, youth-led efforts to combat gun violence
Alliance of Redding Museums	A planning grant to develop a mobile forestry education project that will take science-based lessons on forestry into rural communities of the Pacific Northwest
Alternatives for Community & Environment	Two years of support for the creation of an environmentally sustainable transportation and urban development strategy for Roxbury, Massachusetts
Alternatives In Action	Support for a 'Youth Zone' designed by high school students from across the city The Zone will house education, recreation and business opportunities for the youth of Alameda
Alvin Ailey Dance Theater Foundation	To increase access to dance training to boys, strengthen the visiting Artist-In-Residence program, and enhance professional preparation

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American Farmland Trust	General support
American Farmland Trust	Support to implement 'outcome-based funding' throughout the organization
American Farmland Trust	For three initiatives that are part of American Farmland Trust (AFT) continued work on smart growth
American Forum	Support for a 21st Century Task Force that will help the organization adapt its editorial services to a wide range of new technologies
American Friends of the Royal Court Theatre	General support
American Museum of Natural History	For a comprehensive assessment of the status of New York biodiversity and related policy, the establishment of a centralized database and establishment (using the assessment and database) of priorities and strategies for biodiversity conservation
American Oceans Campaign	For an initiative to promote fish habitat protection in New England
American Rivers	For an integrated set of strategies to strengthen the collective voice of river conservation, restoration and more effective riverfront revitalization
Americans for Equitable Climate Solutions	Continued support for a 'common assets' policy proposal that would reduce U S greenhouse gas emissions (which contribute to global warming) and do so without diminishing economic growth or violating basic principles of fairness
Appalachian Mountain Club	Two years of support for a project that will protect ecologically significant working forestlands across the East
Aquinas Housing Corporation	For capacity building support of the AHC Home-Based Child Care Resource Center
Aquinas Housing Corporation	Support for Surdna's Family Child Care Initiative
Armory Center for the Arts	To help support the development and retention of a consistent faculty of highly trained and experienced artist/teachers
Artists for Humanity	To help support the City Teens Design Company, a youth-run micro-enterprise. Paintings and other artworks conceived and produced by teens are commissioned by corporations and community organizations
Arts Teachers Fellowship Program*	Grant descriptions at bottom of list
Aspen Music Festival and School	To expand the mentoring dynamic for musicians expressing an interest in becoming teachers by awarding ten fellowships to musicians who will be named apprentice fellows
Association For Neighborhood & Housing Development, Inc	Support for an advocacy initiative aimed at obtaining a commitment from the upcoming mayoral administration to expand multi-year capital investment in affordable housing
Audubon Society of New Hampshire	Continued support for an initiative designed to ensure that biodiversity conservation in New Hampshire is included in the decisions that affect its environment, particularly in its rapidly urbanizing areas

## Surdna Foundation - FY01 Grant List and Descriptions

Ballet Hispanico of New York, Inc	To strengthen the curriculum and performance opportunities for its School's upper level students (high school age)
Ballet Tech	To further strengthen the Upper School's dance and academic programs at The New York City Public School for Dance Eliot Feld directs this small NYC Board of Education alternative school for pre-professional ballet students, grades 6-12
Baltimore School for the Arts	To establish an annual guest artist dance residency, create a mentor program of theater professionals, establish a Theater Technology Fund, develop a pedagogical approach for the music department, and enhance a summer study scholarship fund
Baltimore Symphony Orchestra	Support for the Arts Excel Program
Baltimore Symphony Orchestra	Support for the Arts Excel Program
Bay Area Video Coalition	For an additional year of funding to help this new media organization leverage the success of JobLink and refine the program as a model that can be developed for replication on a national level
Beaverkill Conservancy	A grant to a regional investment fund whose monies will be used to protect ecologically sensitive land in the Northern Forest The grant also contains a component for the salary of the fund project coordinator
Benton Foundation	For the Communications Capacity Building Program, which will deliver Benton's extensive knowledge concerning strategic communications to a broad audience of nonprofits
Berkshire Farm Center & Services For Youth	To fund the planning stage for a potential collaboration between AFF and Berkshire Farm Center
Berkshire Farm Center & Services For Youth	To underwrite the Andrus Enrichment Program - which will provide young men participating in Berkshire's Educational/Vocational Academy for Preparation to Adult Living (the Academy) access to various enrichment activities
Biodiversity Project	Continued support for work to enhance the communication strategies and skills of national, regional, state and local groups working to educate the public on smart growth and land conservation issues
Blake School	General support
Bloomington School of Music	To support the Music Access Project for advanced music students, ages 14-18, and to build a restricted Faculty Resource Fund
Boston Aging Concerns-Young and Old United	For a series of planning and assessment activities
Boston University Tanglewood Institute	To further develop the string chamber music component of Boston University Tanglewood Institute's (BUTI) Young Artists Orchestra Funding will help support faculty positions and provide full scholarships for talented student string players

## Surdna Foundation - FY01 Grant List and Descriptions

Boys Choir of Tallahassee	To further implement the artistic development of the choir, and to support professional development for its directors The Choir is a program of Florida State University's School of Social Work
Brevard Music Center	To help its Young Artist Division. Financial needs include salary support, guest artist fees for established/emerging artists, increased scholarship aid, targeted recruitment beyond the Southeast, instrument rentals and computers to aid student composers
Bridge Group, The	To create a new nonprofit management consulting organization. The organization will be affiliated with Bain & Company, one of the world's leading management consultants
Bridge Street Development Corporation	To assist BSDC in hiring more staff to strengthen the capacity of its housing development group
Broad-Park Development Corporation	To enhance the capacity of staff to undertake the development of a mixed-use, mixed income artists housing complex that is a vital part of the revitalization of Hartford's famous Park Street
Broad-Park Development Corporation	To hire Artspace to perform a feasibility study for the transformation of six historic buildings in the heart of Hartford's Latino Community into artist housing
Brookings Institution, The	To devise and promote ten strategies that cities can implement to enhance their competitiveness as economically viable places to live and work
Brooklyn Academy of Music	To help support the expansion of DanceAfrica's education program, a joint project of BAM and the Bedford Stuyvesant Restoration Corporation (BSRC)
Brooklyn Youth Chorus	General support
Brooks School	For the senior campaign
Brown University	Support for two programs of the Compact: its website, which will be upgraded in order to provide easier access and deeper content, and student involvement in the governance and planning of the organization
C A S E L - University of Illinois	To open a satellite office in New York City
California Institute of Integral Studies	General support
California Institute of the Arts (CalArts)	To support faculty initiated projects, increase student scholarships, and enhance the Community Arts Partnership (CAP), a teen arts program
California State University Monterey Bay	Third year support for the University's core service-learning program, as well as new initiative to encourage wider institutionalization of service-learning curricula within students' majors
Campaign For Fiscal Equity, Inc	Planning to further develop ideas and preparation to launch a dynamic initiative involving students across New York City
Cape Cod Commercial Hook Fishermen's Association	For membership training and board development

## Surdna Foundation - FY01 Grant List and Descriptions

Cape Cod Commercial Hook Fishermen's Association	A second year of support for a campaign by commercial fishermen and other concerned coastal residents for reform of New England ground fisheries management to make it environmentally sustainable
Carnegie Foundation For The Advancement Of Teaching	Third year support of scholarly investigation into strategies through which colleges and universities successfully foster the development of civic responsibility, engagement through service and moral development
Catholic Charities-Brooklyn and Queens	For Project Bridge, Builders for the Family and Youth of the Diocese of Brooklyn
Catholic Charities-Brooklyn and Queens	Support for Catholic Charities' citywide, housing development work
Center for a New American Dream	For a conference on sustainable consumption and production
Center for Clean Air Policy	Tie-off support to conclude a multi-disciplinary initiative that is identifying and addressing barriers to the greater use of mass transit and other alternatives to private autos and develop marketing concepts to make transit services more attractive
Center for Community Self-Help	For the continued development of the Business Assistance Network, a statewide collection of services targeted toward the development of business skills among child care providers
Center for Community Self-Help	Support for Surdna's Family Child Care Initiative
Center for Constitutional Rights	For its Pro-Democracy Convention
Center for Cultural Exchange	To help support production expenses, artists' fees and documentation for the Youth Intensive Project
Center for Effective Philanthropy	For a study that will help to define and measure foundation effectiveness
Center for Marine Conservation	To support key components of its new Campaign for the Oceans that involve improvement in the management of the US marine environment
Center for Media Education	For an exploration of how to develop a noncommercial space for youth on the internet, and a map of noncommercial options as they exist now
Center for Neighborhood Technology-Institute for Location Efficiency	General support for the Institute for Location Efficiency to promote the expanded use of location efficient mortgages and of the concept location efficiency
Center for Public Interest Research	Continue support for the activities of state public interest research group activists in New Mexico and Maryland to craft meaningful policy solutions to the sprawl problem and build grassroots support for reform at the state level
Center for Resource Solutions	A tie-off year of support to a 'green' power certification and verification initiative
Center for Teen Empowerment	Support for expansion of a multi-site, youth organizing program through which teenagers work to reduce violence and improve their schools and communities
Center for Victims of Torture	General support
Center for Youth as Resources	To convene a design team for a 2-day facilitated retreat
Center of Contemporary Arts	To upgrade COCA's Web site

## Surdna Foundation - FY01 Grant List and Descriptions

Center of Contemporary Arts	To strengthen its pre-professional dance training program (COCA Dance)
Central Synagogue	General support
Certified Forest Products Council	For a program to increase the number of companies committed to buying forest products from sustainably managed sources
Changemakers	General support
Chesapeake Bay Foundation	A proposal from the Chesapeake Bay Foundation and the Baltimore Regional Partnership for integrating activities at the state, regional and local levels of government on the development of a Baltimore region land use and transportation plan
Chicago Children's Choir	To support the artistic growth of its advanced Concert Choir, and to support the artistic director's study of Latin American choral repertory and performance styles
Child Care Council of Westchester	Support for Surdna's Family Child Care Initiative
Child Care Council of Westchester	To support the development of the Council's family child care provider network
Child Care, Inc	To continue support of the Family Child Care Business Resource Center and its expanded National Association for Family Child Care Accreditation Pilot Initiative
Child Care, Inc	Support for Surdna's Family Child Care Initiative
Children's Defense Fund	For support of a program helping young adults take leadership roles on policy-making bodies concerned with access to employment opportunities for young people in their communities
Citizen Schools	To help the organization grow its innovative after school and community-building program into more sites in Boston, and become a training resource for afterschool initiatives across the country through its Citizen Schools University
Citizens Planning and Housing Association	To develop marketing materials
City Cares	For piloting & replication of Citizen Academies. It will offer opportunities for formal learning about social problems and the process of policy change within already established, large-scale volunteer programs in several cities across the country
City Year	Support for the Service Department to develop formal service-learning curricula for the growing number of teams that serve in elementary schools
Citykids Foundation	Continued support for youth organizing efforts in New York City and New Haven, CT through which youth become agents for concrete community change in their neighborhoods and become advisors to political leaders
Clark Atlanta University	A proposal by the Environmental Justice Resource Center (EJRC) at Clark Atlanta University for a transportation and urban sprawl initiative in partnership with the Southern Organizing Committee for Economic and Social Justice

## Surdna Foundation - FY01 Grant List and Descriptions

Clean Energy Group	For the creation of a national network of state-based clean energy funds. The network will provide information and brokering assistance to facilitate the most high leverage use of state monies to promote clean energy technologies, companies and markets.
Cleveland Housing Network, Inc	Support for three staff positions that will help the network expand its real estate development activities and increase its in-house operations.
Cleveland School of the Arts	To expand its summer scholarship and internship program.
Coalition for Utah's Future	Continued support for Envision Utah (EU) to help it implement the growth strategy that it has developed for the Greater Wasatch Area surrounding Salt Lake City, Utah.
Coastal Conservation Association of Florida	For an initiative to document problems with the federal management of fisheries in the Southeast and financial conflicts of interest on the federal fishery management councils to then develop and advocate management approaches that address these problems.
Coastal Enterprises, Inc	For the continued expansion of the role and impact of Coastal Enterprises Inc (CEI) as a model for sustainable economic development and the creation of social and economic opportunities that are in balance with the natural environment.
Collegiate School	General support.
Colorado Open Lands	To assist communities along the front range of Colorado, where growth is occurring at a rapid pace, with the development of innovative land protection programs and partnerships.
Colorado Public Interest Research Foundation	Tie-off support for a transportation campaign that is focused on promoting alternative transportation and on fighting sprawl induced highway projects in the Denver region.
Common Ground	To expand the Employer Partnership Initiative of the Common Ground Jobs Training Corporation.
Community Builders	To support the design and implementation of a management system for Community Builders' new social and workforce programs. To measure outcomes/benchmarking, and improve reporting, knowledge management and financial management.
Community Catalyst	For continued support of the organization's Community Benefits Initiative, an effort to press health care institutions to meet their obligations in serving disadvantaged populations.
Community Foundation of Napa Valley	General support.
Community IMPACT!	To improve development capacity by helping staff learn how to pursue federal funding prospects.
Community IMPACT!	Support for new ways to prepare neighborhood leaders to join with youth as they tackle significant neighborhood problems such as poor local schools and lack of economic opportunities in certain communities.

## Surdna Foundation - FY01 Grant List and Descriptions

Community IMPACT!	To develop a Web site for DC youth
Community Law Center	To fund the first two years of a three-year project to provide a series of community conferences to address the seriously eroded relationships between residents and police in the Greenmount West neighborhood in Baltimore
Community Rights Counsel	A second year of support for a public interest law firm that helps communities defend critical health and environmental protections, particularly in the area of land use
Community Training And Resource Center	To increase its programmatic capacity and staff support as the organization undergoes the transition to a new executive director
Compumentor	For continued support of TechSoup, a nonprofit technology portal that provides information on where nonprofits can find free or cheap hardware and software and guidance on a range of 'humanware' issues, such as training, consulting, and technical support
Concert Competition and Musical Development, Inc	To help support its Junior Division-serving youths under 18 years old Sphinx will expand opportunities for Black and Latino string music students to perform with experienced music professionals, and train through master classes and seminars
Congress for the New Urbanism	To launch an initiative to educate town and city planners about the use of zoning in creating sustainable, pedestrian-friendly communities
Congress for the New Urbanism	To support a strategic planning retreat for its Board of Directors
Connecticut Fund for the Environment	A feasibility study to assess the achievability of a fund raising campaign to fulfill the goals of its new strategic plan
Conservation Fund	General support
Conservation Fund	For a program that will use development as a tool for conservation through partnerships with the private sector, especially the real estate development industry and advance the concept of green infrastructure as a strategic approach to land conservation
Conservation Law Foundation	For a program of transportation and land use reform in New England
Constitutional Rights Foundation	For expansion of its CityWorks program to scores of high schools throughout Los Angeles CityWorks helps students understand how local government functions and the role of direct, personal involvement in community improvement
Consumer's Choice Council	For support for work to encourage state, municipal and university procurement policies to incorporate environmental sustainability criteria
Consumers Union	Continued support for the Educational Assets Project of Consumers Union's Western Regional Office



## Surdna Foundation - FY01 Grant List and Descriptions

Cooper Union for the Advancement of Science & Art	To sustain and enhance the quality of its Saturday Outreach Program for talented visual art students. The Program provides free Saturday and Summer visual arts classes, at Cooper Union, for public high school students.
Corcoran Gallery of Art	To continue support of the Corcoran Art Mentorship Program. The program matches talented art students and practicing artists for long-term individual and group art instruction, and for counseling regarding future education and careers.
Corporation for Supportive Housing	Support for a national demonstration program to test the effectiveness of supportive housing in moving chronically unemployed and formerly homeless adults from welfare dependency into the world of work.
Council of Better Business Bureaus' Foundation	In support of a study intended to lead to new standards for charities, to be used by the Wise Giving Alliance - a merger of the BBB Philanthropic Advisory Service and the National Charities Information Bureau.
Council on the Environment of New York City	Continued support for the Council on the Environment of New York City (CENYC) to provide input and oversight for a (Surdna supported) faculty and student enrichment program at the New York High School for Environmental Studies (HSES).
Creative Capital	To help create a web-based communications and management system that will help deliver information to Creative Capital's portfolio of grant recipients and to the wider world.
Dance Institute of Washington	To help stabilize support for its year-round classes in ballet, modern, jazz, tap, and African dance.
DataCenter	Support for a program that trains youth activists and organizers in research skills which can provide critical information for their social justice campaigns.
Defenders of Wildlife	Continued support for projects in Oregon and Florida that are building a cohesive decision making process to reconcile the demands of growth and transportation development with the need to conserve species and habitat to protect biodiversity.
Development Corporation of Columbia Heights	To increase this comprehensive community development corporation's leadership capacity in developing key economic development opportunities in the Columbia Heights neighborhood of Washington, DC.
Development Training Institute	To build a development department.
Developmental Studies Center	Support for continued growth of the country's best researched social and emotional learning program.
Dorchester Bay Economic Development Corp	For general operating support to assist the CDC create and rehabilitate rental and homeownership housing, attract new businesses, and engage in resident-driven community building activities.
Dover Sherborn Regional Schools	To expand the number of trainings and staff involvement in this student activism program.
Down East Partnership for Children	For continued support and capacity building of the Child Care Resource and Referral Program.

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Down East Partnership for Children	Support for Surdna's Family Child Care Initiative
Duke University-Nicholas School for the Environment	General support
East Bay Asian Youth Center	Continued support as this vibrant youth organizing program involving teenagers from a broad range of youth-serving agencies. With funding it will solidify its organization, and expand its Youth Leadership Institute from a summer to a yearlong program.
East Bay Center for the Performing Arts	To help support its five-week Intensive Summer Institute (ISI) for 16-19 year olds, plus a similar Institute for younger teens, ages 12-15.
East Bay Community Foundation	For an initiative on smart growth and livable communities in Contra Costa and Alameda Counties, California that is being led by the East Bay Community Foundation.
East Bay Conservation Corps	Continued funding for a charter school whose curriculum focuses on democratic participation, service-learning and community improvement.
East Harlem School at Exodus House	General support
Eastman School of Music	To help support a component of Eastman Pathways, a program offering a continuum of quality music education to Rochester's teens.
Educational Video Center	To refine, implement and assess an expanded series of sequential documentary workshops for high school youth.
Educators for Social Responsibility	Support as this social & emotional learning program, which bases its work in conflict resolution, rolls out a curriculum for high schools.
Egrants.org	Support for development and marketing of an online giving service, especially designed for use by small and medium-sized nonprofit organizations.
Elaine Kaufman Cultural Center	To help support music training for students, ages 11 to 18, in its Advanced Music Study program.
Ella Baker Center For Human Rights	To help it support youth organizing efforts in the Bay Area with a range of technical assistance, particularly around issues of police/community relations and human rights.
Enterprise Child Care	Support for Surdna's Family Child Care Initiative.
Enterprise Child Care	To support its collaborative family child care network in Portland.
Enterprise Foundation	To engage in a new and critically important strategic review and planning process.
Enterprise Foundation	For the National Community Development Initiative (NCDI).
Environmental Defense	To greatly expand a pathbreaking Internet service, making it a powerful tool to encourage enlightened consumer behavior as well as channel new energy into environmental activism and advocacy.

## Surdna Foundation - FY01 Grant List and Descriptions

Environmental Law & Policy Center	Support to overhaul its web-site and integrate improvements in online advocacy, online donations, search engine indexing, online advertising, communications and marketing
Environmental Law Institute	To design and administer a symposium aimed at identifying the legal, policy and scientific needs of biodiversity initiatives at the state level
Environmental Leadership Program	Continued support for a leadership development program that is training and supporting a new generation of environmental leaders, characterized by diversity, innovative thinking, coalition building and effective communication
Environmental Media Services	Support to begin preparatory work for a long-term public education campaign to protect America's private forests
Environmental Support Center	Renewed support for the ESC for its work as an intermediary to enhance the organizational effectiveness of grassroots environmental advocacy organizations Also included is support to strengthen a new program called the State Leadership Program
Esperanza Center	To help support Artescuola, a year-round, youth community arts school
Essential Information, Inc	Continued support for a web-based clearinghouse on smart growth related information, targeted in particular towards grassroots activists
Ethical Culture Fieldston School	To continue support for its free summer youth dance program for dedicated modern dance students, ages 14 to 19, from New York City public high schools
Family Services Woodfield	To help this community-centered, social service organization create a community development financial institution in Bridgeport
Fenway Community Development Corporation	To ensure that residents concerns are addressed as new housing and the new Fenway Park are built in Boston's Fenway neighborhood And, to support the Walk to Work job link program
Fifth Avenue Committee, Inc	For a conference on appropriate strategies to slow displacement and gentrification in New York
Florida State University - Center for Aquatic Research and Resource Management	General support
Forest Stewardship Council - US	Support for the standards setting body for independent, third-party verified sustainable forestry in British Columbia
Forest Trust	General support for an organization dedicated to the creation of a cadre of foresters who are trained and committed to ecologically sustainable forest management
Foundation Center	General support for the organization, which serves as the central repository of financial and governance data on foundations
Friends of Acadia	General support

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Fund for Independent Publishing-The New Press	To support communications technology infrastructure at the nonprofit public interest publishing house
Fund for the City of New York	Third year support for its Youth Court in Red Hook, Brooklyn The Center also plans a systematic investigation of the national youth court movement the current state of practice, its promises and pitfalls
Funders' Collaborative On Youth Organizing	Support for a new grantmakers group which provides funding and helps strengthen youth organizing efforts across the country
Funders' Network for Smart Growth and Livable Communities	Continued support for an organization that is a resource and focal point for foundations, nonprofit organizations and other partners working to solve the problems created by suburban sprawl and urban disinvestment
Gay, Lesbian & Straight Education Network	GLSEN Accountability Program
Gay, Lesbian & Straight Education Network	Support for this important national organization's plans to build a regional support structure for gay/straight alliances which battle homophobia in schools and their communities
Genesis Jobs, Inc	Support that will enable this employment training and placement organization to expand its services and deliver them in a variety of neighborhood locations
George D Aiken Resource and Conservation Development Council	General support
Global Action Project, Inc (GAP)	To support the expansion of its core media arts training program, Urban Voices
Global Forest Foundation	To create the tools and processes needed to translate corporate forest policy commitments into reality in North America
Governor's School for the Arts	To help support the Visiting and Resident Guest Artist Fund
Graduate School & University Center/CUNY	A collaborative effort to promote philanthropy among professionals and entrepreneurs within the African American, Asian-American and Hispanic communities of the New York metropolitan region
Grand Canyon Trust	Support for the organization's growth management related projects
Grantmaker Forum on Community & National Service	General support for a grantmaker's affinity group which plans to focus intellectual attention and substantial convening time to an exploration of the relationship between service and activism
Great American Station Foundation	Support to launch a demonstration project in five cities that will use the renovation and expansion of intermodal transit stations as catalysts for community revitalization
Greater Hartford Arts Council	To expand the Textile Studio, a component of its summer youth arts apprenticeship program, Neighborhood Studios Ed Johnetta Miller, master textile artist, created the Textile Studio, and now has designed a year-round extension to better serve teens

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Greater Minneapolis Council of Churches - Division of Indian Work	General support
Greater Philadelphia Urban Affairs Coalition	To expand 'The Big Picture,' a mural art series offering mural instruction to young people, ages 10-18, in five neighborhoods
Guadalupe Cultural Arts Center	To help support Juntos en Arte, its arts education and mentoring program for youth, ages 11 to 18 Young people participate in theatre, dance, media arts, visual arts, literature and music programs
Guthrie Theater	To strengthen the training of the resident artists who will participate in an expanded Schools on Stage program
H T Dance Company	To begin a new cycle of collaboration with dance students at New York City's La Guardia High School of Music, Art and Performing Arts
Habitat for Humanity - New York City	General operating support for this organization to build housing on hard-to-develop sites through out New York City in cooperation with CDCs and small, faith-based organizations
Hartford Neighborhood Development Support Collaborative	To provide operating support for the most productive community development corporations in Hartford in cooperation with other local and national funders
Haystack Mountain School of Crafts	To strengthen three programs for teens Studio Based Learning, Haystack Mentor Program and Haystack Artist's Residency In addition, funding will cover Fellowships for several Maine Art Teachers and graduating high school students
Headlands Center for the Arts	To strengthen and extend the Bridge Project -- invitational residencies for artists who use the creative process as a catalyst for change in communities and social institutions
Health Education Resource Organization	General support
Highbridge Unity Center	General support
Highlander Research And Education Center	Second year support for growing an extensive network of youth organizing programs working for democratic participation and economic justice in Appalachia and the South
Historic District Development Corporation	To support the redevelopment of the Sweet Auburn neighborhood and the Martin Luther King, Jr Historic District as a mixed-income, mixed-use, pedestrian friendly community
Hope Community	To enable this mature and highly productive organization expand its internal capacity so it may engage in a wider variety of housing and community development activities
Housing Partnership Network	To implement an initiative to rehabilitate FHA foreclosed homes in cities across the country and advocate for policy changes to make these properties available to community-based organizations

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ICA Group	To enable The ICA Group to provide technical assistance to three nonprofits that are operating temp-to-perm employment businesses
Illinois Facilities Fund	To support the IFF's public policy staff to create and launch a coalition of child care providers and parents to communicate directly with the U S Congress during the reauthorization of Head Start
ImpactOnline	Continued support for the organization's VolunteerMatch website, the leading Internet service connecting individuals with organizations that need volunteer assistance
Independent Media Institute	Support for an online information source written by and for socially-conscious youth Wiretap creates an online civic community that nurtures awareness, critical thinking and a sense of personal investment that lead to young people taking direct action
Independent Sector	Support for a newly -independent organization devoted to educating nonprofit organizations in their rights and responsibilities to shape legislation and regulation at all levels of government
Independent Sector	To support the establishment of an Internet-based communications system to be used in conjunction with the Building Capacity for Public Policy project, a collaborative effort being undertaken with the National Council of Nonprofit Associations
Independent Sector	For research and writing activities by Mark Rosenman
Indian River Land Trust	For McKee Botanical Gardens
Institute for Agriculture & Trade Policy	Continued support for a process of scientifically informed involvement in resource management through citizen led partnerships in the Bluffland-Coulee drainage basins of the Minnesota, Wisconsin and Iowa rivers
Institute for Education and Advocacy	General support
Institute of Contemporary Art	To help the ICA's collaborating artists work with visual art students at Boston's arts magnet public high school
International Center of Photography	To support its expanded Community Programs for Teens Program components include Community Record, The Internship Program, Re-Visions of El Barrio (a collaboration with El Museo del Barrio), Summer Teen Workshops, and The Portable Digital Darkroom
International Game Fish Association	General support
Island Institute	A third year of support for a program that promotes the restoration and wise management of Gulf of Maine fisheries based on the concept of co-management
Island Institute	To hire a consultant to help the organization with strategic planning and restructuring
Isles	Continued support for a community development corporation, Isles, to implement a process that is promoting smart growth by integrating urban and suburban organizing and development strategies

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Jacob's Pillow Dance Festival and School	To help support its School's pre-professional dance training and mentorship for 16 to 18 year-olds
Jamaica Plain Neighborhood Development Corp	Support for Surdna's Family Child Care Initiative
Jamaica Plain Neighborhood Development Corp	For training, attracting and retaining family child care providers in its system
Jane Addams Resource Corporation	Continued funding for the Transitional Services Program - a model, comprehensive education and employment training and placement program
Jane Goodall Institute	Roots & Shoots
Jazz at Lincoln Center	Jazz at Lincoln Center requests funding for continued support of the annual Essentially Ellington High School Jazz Band Competition and Festival
Jewish Family and Children's Services	Support to expand the Healthy Family/Healthy Child Project which trains child care providers to recognize the signs of abuse, neglect or inadequate parenting that will retard a child's development
Jones Harrison Home	General support
Juilliard School	To strengthen its Music Advancement Program (MAP) through the support of distinguished faculty and visiting artists
Julia Dyckman Andrus Memorial	General support
Julia Dyckman Andrus Memorial	General and capital funding
Julia Dyckman Andrus Memorial	For on-going support of the Yonkers Early Childhood Initiative
Julia Dyckman Andrus Memorial	To create a new Web site
Juma Ventures	For the development of a new generation of information management, called an Ongoing Assessment of Social Impact
Kinhaven Music School	To increase tutition assistance and improve faculty salaries
Labor/Community Strategy Center	Three years of support for a multi-racial regional campaign that is mobilizing public transportation users, community groups and environmentalists to build and maintain a transportation system in Los Angeles that is environmentally sound
LaGuardia High School of Music and Arts	To support student internships and apprenticeships, provide student scholarships for summer and afterschool programs, engage guest artists/master class teachers, and foster professional development programs for faculty
Land and Water Fund of the Rockies	Organizational capacity support to increase and diversity the Board of Directors and to develop targeted communications tools for outreach and fundraising
Land Trust Alliance	To support the launch of a comprehensive initiative to improve and sustain the quality of land trusts that will help insure the consistency of private land conservation across the nation
Lawyers Committee for Human Rights	To support the first phase in a process to improve police and community relations in New York City

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Lead Partnership Group	For the ongoing development and implementation of a collaborative process that will lead to improved national forest management practices that also promote community well-being based on the involvement of all interested parties, both local and national
League of Conservation Voters Education Fund	A second and third year of support for a project to increase the effectiveness of national, state and local conservation organizations through list enhancement, and technology and leadership training
Leukemia & Lymphoma Society	General support
Levine School of Music	To strengthen its music curriculum for teens. In addition to ongoing private lessons, theory classes and ensembles, the curricular additions include musical theatre, jazz, summer music camps and new technology
Listen, Inc	To work with 10 youth-led community improvement and organizing efforts in the Mid-Atlantic region
Little Tokyo Service Center CDC	Support for Surdna's Family Child Care Initiative
Little Tokyo Service Center CDC	To continue the development of its family child care provider network
Lobster Conservatory	General support
Local Initiatives Support Corporation	For the National Community Development Initiative (NCDI)
Local Initiatives Support Corporation-CEO's For Cities	To enable this new national forum prepare and present a competitive cities agenda to the new presidential administration
Local Initiatives Support Corporation-New Communities Initiative	Support for three community development corporations to engage in comprehensive community development efforts that address the dearth of services and quality of life issues in three Chicago neighborhoods
Los Angeles County High School For The Arts	To develop an intensive four-week summer program, enhance the visiting artist series, support student scholarships to attend performances, underwrite five major theater productions, and increase the school's inventory of instruments
Maclay School	General support
Manhattan School of Music	Manhattan School of Music requests funding to support tuition-free training for talented students from the NYC public schools, grades 5-8 (middle school), through a Summer Music Camp and year-round study in the Preparatory Division of the School
Marine Fish Conservation Network	For the ongoing work of the MFCN to stop the erosion of the conservation provisions added to the Magnuson-Stevens Act of 1996, ensure their effective implementation and develop and advocate strong conservation provisions when the Act is reauthorized
Marwen Foundation	To strengthen its pre-college program. Marwen will help talented art students prepare and compete for advanced education in the arts. Advanced studio courses will be integrated with college planning programs



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Maryland Association of Nonprofit Organizations	Continued support for Standards for Excellence, a project to promote and instruct ethical practices and policies among nonprofits
Maryland Institute College of Art	To provide scholarships for talented, visual art high school students to attend its Pre-College Studio Residency Program, and to provide financial aid to outstanding middle and high school visual art teachers attending the MFA in Studio program
Massachusetts Association of Community Development Corp	Additional funding for the Ricanne Hadrian Initiative for Community Organizing and support for this trade association's public policy work
MBD Community Housing Corporation	Support for a fundraiser/grantwriter who will secure funding for MBD's new ventures including service and housing maintenance companies, and internal capacity building
McAuley Institute	To support the Women's Homeownership Program which will increase homeownership opportunities for women in Kansas City, Houston, Washington, DC and the State of New Jersey
Miami City Ballet	To strengthen its School's pre-professional training, especially for boys and advanced students, and to make the training available to an increased number of low income students
Miami-Dade Community College Foundation	To develop an internship and Master Artist-in-Residence program to serve as advanced placement courses for seniors, and enhance a Senior Mentoring Program enabling performing arts seniors to tutor underclassmen and serve as peer role models
Minneapolis Institute of Arts	General support
Minnesota Landscape Arboretum Foundation	For the remodeling of the Marion Andrus Learning Center
Minnesota Opera	General support
Minnesota Project	For a campaign to promote renewable energy development in Minnesota, a state whose energy advocacy strategies and successes have been influential across the Midwest
Montshire Museum of Science	General support
Mountain Association For Community Economic Development	General operating support for MACED's innovative sustainable community development and economic development programs, Communities By Choice, and the Appalachian Resource and Design Center
Music Institute of Chicago	To help provide advanced music training for musically gifted teens unable to afford this level of music education, and to help support master classes and performances by guest artists
National Center for Family Philanthropy	For Leadership and Continuity, a research and education project that will reveal how wealthy families develop philanthropic goals and create vehicles implement them
National Center for Victims of Crime	General support

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National Center on Philanthropy and the Law	For the Nonprofit Legal Bibliography Project, an effort to organize a comprehensive index of research materials, including books, articles and other documents, concerning nonprofit legal issues
National Civic League	Support for their annual conference
National Committee for Responsive Philanthropy	For continued general operating support of the leading progressive philanthropy watchdog organization
National Council for Science and the Environment	Support for the planning stage of a project designed to strengthen the scientific basis for discussions, comparisons and evaluations of forest management practices and their impact on biodiversity
National Council of Nonprofit Associations	NCNA serves a network of state nonprofit associations, and our support will help the organization build a range of programs designed to improve the management of member associations and to enhance the capacity of their public policy and advocacy efforts
National Dance Institute of New Mexico	To expand programming for the Celebration Team -- a dance scholarship program for advanced teen dancers
National Helpers Network, Inc	To underwrite the first phase of its initiative, in order to increase awareness of the Network's services and programs
National Housing Development Corporation	Seed funding for NHDC's program to acquire and preserve at-risk (expiring Section 8) affordable housing throughout the country and eventually transfer ownership/management to local non-profits
National Housing Law Project	To educate tenants and housing organizations about 'expiring use' buildings and craft solutions to keep these buildings affordable in perpetuity
National Low Income Housing Coalition	To support the public education and grass roots organizing activities for its National Housing Trust Fund Campaign
National Museum of Wildlife Art	General support
National Neighborhood Coalition	To examine and improve the organization's external communications capacity and practice
National Network of Forest Practitioners	A second year of support for a grassroots alliance of individuals, organizations and businesses in rural communities who are dedicated to finding practical ways to integrate economic development, environmental protection and social justice
National Organization for Victim Assistance	To promote community reconciliation through identifying, examining and developing new responses to hate crimes/hate incidents that are based on facilitated dialogue and other restorative justice principles
National Technology Enterprise Network (N-TEN)	For start-up support of a national organization that will serve and support nonprofit technology expertise nationwide
National Youth Leadership Council	For their annual service-learning conference

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Natural Heritage Institute	A final year of support for the Natural Heritage Institute (NHI) program to research, develop and foster improvement in the process of negotiating, approving and implementing multi-species habitat conservation plans (HCP)
Natural Resources Council of Maine	For a project to protect the biological diversity of Maine's North Woods by protecting the land from development, establishing a system of ecological reserves, and ensuring sustainable forest practices
Natural Resources Defense Council	Support for NRDC's forest initiative which works to shift wood production and consumption away from threatened forests, promotes Forest Stewardship Council certification and wood use efficiency
Natural Resources Defense Council	To bring together its expertise on green buildings, sprawl and tax incentives in the creation of an integrated financial incentive for smart growth in New Jersey
Nature Conservancy - Minnesota Chapter	General support
Neighborhood Funders Group	For program expansion and capacity building
Neighborhood Funders Group	For increased outreach to the field of philanthropy
Neighborhood Partnership Fund	To enable a year-long process, facilitated by NPF, to merge three CDCs in northeast Portland into an entity that will represent community interests in the public debate, sponsor large-scale housing and economic development initiatives
New England Aquarium	To support the work of an individual to take advantage of his position on the New England Fisheries Management Council to promote strong conservation measures around themes that reflect the vision of the fishing community
New England Conservatory of Music	New England Conservatory (NEC) requests funding to support its new Music in Education core curriculum for undergraduates
New Jersey Future	For an education campaign for candidates and voters in the November 2001 New Jersey gubernatorial and legislative election to ensure that smart growth and related issues are among the major issues of the 2001 campaign
New Prospect Development Corporation	Police & Community Relations
New Song Urban Ministries	Capacity building assistance for the enhancement of the umbrella organization that supports the five affiliates delivering housing, employment, education, arts and health services to the Sandtown-Winchester neighborhood of Baltimore
New World Symphony	To mentor students from three middle and high schools
New York Cares	Ongoing support for the Partners in Technology project, which connects individuals with technology know-how and nonprofit organizations that need assistance

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New York University	To enable the Center for Real Estate and Urban Policy to create an up-to-date source of data and information about housing and neighborhood conditions in NYC that can be used by practitioners, government and advocates
New York University - Institute for Education and Social Policy	For the Insitute's study of Organizing For School Reform
New York Urban League	To create an Urban Youth Platform
New York Youth Symphony	To strengthen the Orchestral Training Program by supporting conducting and coaching staff, equipment rental, rehearsal costs and transportation, and expanding its base of support
Noise Pollution Clearinghouse	For development and refinement of the Washington Watchdog online research tool
North Carolina Center for Nonprofits	In support of the organization's public policy activities
North Carolina School of the Arts	To support student scholarships, enhance the performance training and guest artist program, and provide individual and group career advancement opportunities
Northern Stage	General support
Northwest Atlantic Marine Alliance	General support for an organization of fishermen, community organizers, scientists, conservationists, educators and others that seeks to restore and enhance marine ecosystems in New England
Northwest Side Community Development Corporation	to help this highly successful training and placement organization upgrade its technological capacity, develop a tracking system, and plan a post-welfare reform strategy
NPower	For support of a pilot program to develop ChangeFrame, a suite of software to be delivered by an application service provider (ASP) The software package will be specifically tailored to the data needs of a broad array of nonprofit organizations
NPowerNY	For the creation of a nonprofit technology technical assistance provider Part of a planned nationwide expansion of the Seattle-based technical assistance provider, NpowerNY will bring together products and services of other existing technology resources
OMB Watch/Focus Project, Inc	To help launch the long-term efforts of the Invest in America initiative
Organizing Project	For a process of education for leaders of institutions (schools, congregations, unions and civic groups) and families about the potential of environmentally centered economic development to create living wage jobs for families in the Pacific Northwest
Pace University Land Use Law Center	Continued support for a training program for local leaders in the New York tri-state metropolitan area on local land use strategies

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Pace University School of Law	Support for outreach and marketing of the Power Scorecard, a tool to help consumers compare the environmental profile of all the power products available to them
Pacific Forest Trust	The anchor investment in an innovative conservation forestry investment fund targeted to preserve forest lands in the Pacific Northwest
Pacific News Service-Bay Area Institute	Support for Youth Outlook <sup>1</sup> and The Beat Within, youth media efforts which help young people become journalists, find strong voices and report on matters of crucial importance to youth
Pacific Rivers Council	Continued support of an initiative to develop and implement a comprehensive watershed restoration and management plan in several of the federally administered Southern Appalachian National Forests
Park Slope Christian Help	General support
Partners for Democratic Change	- For a program to provide technical assistance and training to 8 youth-serving agencies seeking to involve young people in meaningful decision-making roles within these agencies and in their broader communities
Partnership for the Homeless Partnership Project	General support
Peace Games	For a broad-based collaboration among leading environmental groups to build and enhance collective membership lists, to facilitate coordinated actions on shared campaigns, and to make use of the internet as an organizing and communications medium
Peace Games	To implement a set of systems to maintain chherence and quality control during a national expansion of this program
People for Open Space	To implement and communicate a strategic restructuring plan
People's Light and Theatre Company	To support the further development of its New Voices Ensemble--a ten-year collaboration between the Theatre and young people from Chester, PA, and from suburban communities near the Theatre
Perry School Community Services Center, Inc	To implement an intensive job training/career development effort serving both the unemployed and underemployed
PFLAG - DC National Office	General support
PFLAG - DC National Office	General support
Philadelphia Youth Network Inc	To help build service-learning programs for high school students around youth philanthropy and youth-produced media, both intended to build the power of student voices within the school district
Pinchot Institute for Conservation	Support for a nationwide pilot project to demonstrate the applicability of forest certification on tribal lands
Pittsburgh Ballet Theatre	To help support tuition scholarships, student housing stipends, guest faculty fees for The Schenley Program-PBT's School's pre-professional division, and for its Intensive Summer Program (the recruitment vehicle for the Schenley Program)

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Planned Parenthood of Minnesota	General support
Planned Parenthood of Northern New England	General support
Planning and Conservation League Foundation	A joint proposal from several California conservation and environmental justice groups for a campaign to build a statewide coalition to change transportation funding policy in California
Plymouth Congregational Church	General support
Pratt Area Community Council	Support a neighborhood-based economic development initiative to recruit & retain local businesses, provide residents access to job readiness & placement services, & strengthen a blighted commercial corridor in the Clinton Hill/Bedford Stuyvesant, Brooklyn
President's Committee on the Arts and the Humanities	Design and publication of the Coming Up Taller Awards booklet and communication efforts
Princeton Project 55	Continued support of The Alumni Network, an effort to establish alumni-based public interest programs at colleges and universities nationwide
Project Row Houses	To help a group of teens design, build and develop 'Community Studio', a house devoted to teen artmaking projects, and to help teens work with an emerging national network of community-based artists projects
Project Row Houses	A final year of support for the housing and development arm of this highly successful community arts organization
Project STEP, Inc	To enhance pre-professional training for intermediate and advanced strings music students, ages 12-18
Public Allies	For continued improvement of its formal learning program, and to help the organization pilot a franchise model as part of its ongoing national expansion
Public Citizen Foundation	A final year of support for a national campaign to promote the benefits of community choice
Public Radio Capital	To establish a financial institution that will help nonprofit public broadcasting organizations secure financing for large capital projects
Public/Private Ventures	To fund the administrative and program costs of the Andrus Youth Service Program
Public/Private Ventures	To support Working Ventures, a comprehensive workforce development curriculum for practitioners, and its advocacy component
Public/Private Ventures	The grant will underwrite the cost of the first annual William Bridges Seminar on Transitions for new Andrus Family Fund (AFF) grantees
Rainforest Alliance	Two years of support for a 'SmartWood' program that will ensure equitable access to forestry certification, special attention to certifications of forests with high conservation or community values and development of innovative new certification models
Rebuild Resources, Inc	General support

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Redefining Progress	For a project that will further refine the concept of common assets and develop a set of tools that will increase communities' capacity to value and improve the management of these assets
Reinvestment Fund	to enable TRF to work with financial service consultants to review the organization's loan marketing, origination, and service functions and apply cost-efficiency recommendations to the lending and investment processes
Renewable Energy Policy Project	For development and implementation of an outreach program to support the preparation and release of a major new report on opportunities for renewable energy and energy efficiency in the southern U S
Resource Renewal Institute	For a campaign to advance the concept and policy implementation of green planning -- an approach to environmental planning and recovery that is comprehensive, integrated, and long-term
Resources For Child Caring	For additional support of its web site development to increase outreach to child care providers and parents
Responsive Community	Support for authors' fees, marketing and consulting for this quarterly publication focusing on the communitarian movement
ROCA Inc	Support for the refinement of an evaluation tool to help it measure progress towards its core program goals of helping young people be direct agents of change in Chelsea and Revere
Rock School of Pennsylvania Ballet	To augment the scholarship support it provides for talented dance students-covering tuition, housing, transportation and stipends
Rockefeller Family Fund-Environmental Grantmakers Association	General support
Rockefeller Family Fund	Support for the EGA's annual retreat
Rockefeller Family Fund-TechRocks	The the annual Circuit Rider Roundup
Rollins College	For a broad, cross-disciplinary service-learning & community improvement initiative aimed at building civic involvement for its students while building respectful & beneficial relationships with service agencies in the surrounding community
Rollins College/Rollins Fund	General support
ROSE Community Development	To assist Rose in its efforts to develop housing units to meet the needs of family childcare providers
Rubicon Programs, Inc	For the development of a new generation of information management, called an Ongoing Assessment of Social Impact
Saint Edward's School	General support
Saint Joseph Ballet	To create a new student dance work in 2001, repeat its performance in 2002, and help advanced teen dance students progress artistically through year-round training
Saint Stephens Episcopal Church	General support

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San Francisco Community Music Center	To support its Comprehensive Musicianship Program/Inner City Young Musicians Program Middle and high school students will receive 36 weeks of tuition-free music training
San Francisco Foundation Community Initiative Funds	For the merger of GenerationNet org and MoveOn org
Save the Children/Youth Noise	Support for development and launch of Web site to serve teenagers in their searches for information and opportunities around policy development, youth service and youth philanthropy
Save the Children/Youth Noise	Support for development and launch of a Web site to serve teenagers in their searches for information and opportunities around policy development, youth service and youth philanthropy
Scenic America	For an independent consultant to review the American Planning Association Legislative Handbook to insure that the final draft incorporates the perspectives of several major environmental and quality of life groups
Scenic America	A tie-off year of support for a campaign to promote highway design that is sensitive to the environment, to aesthetics, to the character of place and which fully involves citizens that are affected by it
Scholarship Fund	General support
Seattle Foundation	To support a growing network of projects that seek to introduce wealthy young individuals into the art of philanthropy
Sherwood Conservatory of Music	To strengthen the quality of the Chicago Teen Musicians Program
Sierra Business Council	General support for an association of more than 580 member businesses to secure the economic and environmental health of the Sierra Nevada
Society for Art Publications	To help support the Meridian Interns Program, a training program that integrates artmaking workshops for teens with their management of an art gallery
Somerville Community Corporation	For its comprehensive approach to community development in Somerville
South Carolina Coastal Conservation League	A tie-off year of support to enable the executive director of the South Carolina Coastal Conservation League (SCCCL) to build the smart growth field and advance the smart growth agenda throughout the South and nationally
Southern Appalachian Forest Coalition	A tie-off year of support for a campaign to permanently protect Southern Appalachian public forest lands and critical private land holdings
Southern Environmental Law Center	For a strategic planning process
Southern Environmental Law Center	Support for a southeast regional program of transportation reform and related land use reform issues
St Joseph Hope Community	General support
St Mark the Evangelist School	General support
St Mary's PTO	General support



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St Paul's Episcopal Church	General support
Stanford Jazz Workshop	To help support its Jazz Camp, a summer residential jazz education program for youth
Stanford University School of Education	For an initiative linking extensive understanding of youth development and deep research capacities of a major university with a community-building program in two Bay Area municipalities that puts young people major leadership roles
Street Level Youth Media	To increase the Special Projects division's capacity to produce high quality advanced work
STRIVE-East Harlem Employment Service Inc	To continue and enhance STRIVE's Career Advancement program aimed at low-wage workers moving up the career ladder into living wage jobs
Surface Transportation Policy Project	A third year of support for a coalition of the nation's leading advocates for smart growth
Surface Transportation Policy Project	To help expand the national coalition of transportation reform advocates and to develop the policy framework for a major new transportation reform campaign
Sustainability Institute	To build the capacity of the Sustainability Institute, so that it can educate and communicate to a broad audience its two powerful sets of concepts systems thinking and sustainability
Sustainable Northwest	A second year of support for a project that seeks to make forest restoration more viable for rural Northwest communities by better commercializing the by-products of forest ecosystem management
Tall Timbers Research, Inc	General support
Taxpayers for Common Sense	A tie-off year of support for a campaign to reform the U S Forest Service budget by eliminating timber sale subsidies and improving fiscal and environmental accountability of the Forest Service timber management program
Taxpayers for Common Sense	A tie-off year of support for a campaign to reform the U S Forest Service budget by eliminating timber sale subsidies and improving fiscal and environmental accountability of the Forest Service timber management program
Tellus Institute	For a symposium to explore the environmental and land use impacts of e-commerce
Temple University - Tyler School of Art	To strengthen partnerships between Art Works in Different Places (AWDP) and three community centers
Tennessee Valley Energy Education Foundation	Continued support for a campaign to promote the use of clean energy in the Tennessee Valley through consumer education about renewable energy, energy efficiency and Tennessee Valley Authority's green power program □
Texas Citizen Fund	Continued support for the Texas Citizen Fund's Just Transportation Alliance to build, train and support local citizen alliances in 32 Texas cities to increase informed citizen involvement in transportation planning and implementation

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The Door	To underwrite a holistic counseling and support program for young people aging-out of the foster care system that combines expressive therapies (e g , art, music, drama) with mentoring
The Natural Step	For support of the expanded dissemination of a science-based framework on ecological and economic sustainability to the business community
The Point	To help strengthen its collaboration with its major arts partners, Arthur Aviles Typical Theater, and the International Center of Photography Both arts programs engage young people, ages 12 to 18
Tides Center-Green Media Toolshed	Continued support for a cooperatively run national clearinghouse of media related tools and information to be used by member local, regional and national non-profit environment, conservation and preservation groups
Tides Center-International Center for Transitional Justice	To fund the salary and related expenses for an AFF Fellow
Tides Center-National 4-H Council	Support for the a website which will link the many national efforts focused on youth governance of community-based institutions
Tides Center-Youth United For Community Action	Continued funding for a two-site program involving young people in community improvement In East Palo Alto,youth are challenging local polluters In South Central, LA, students have focused efforts to improve a disasterously inadequate high schools
The Winsor School	General support
Time Dollar Institute	Third year support for the D C Youth Court The court enables youth to have a voice and role with the juvenile justice system, and creates a visible peer culture of civic responsibility with support from key judicial and community stakeholders
Trevor Day School	General support
Trust for Public Land	To help expand its capacity at the national, regional and state level to conduct a program that will increase state and local public finance for open space protection
Tufts University - Global Development and Environment Inst	This is a proposal to cover partial salaries of researchers on the most comprehensive text available on sustainable development
Tufts University-Lincoln Filene Center for Citizenship & Public Affairs	To support a research project that seeks to determine how nonprofit groups decide whether or not to engage in advocacy efforts The project will also develop new approaches to convince nonprofit leaders of the legitimacy of advocacy activities
United Neighborhood Houses of New York, Inc	For continued development of its Neighborhood Networks for Family Child Care
United Neighborhood Houses of New York, Inc	Support for Surdna's Family Child Care Initiative
United Way of Minneapolis Area	General support

## Surdna Foundation - FY01 Grant List and Descriptions

University of California, San Diego	For the establishment of a new secretariat that will provide a forum for the directors and leaders of major oceanographic institutions around the world to promote global (and domestic) oceanography
University of Chicago-Chapin Hall Center for Children	For a study concerning the various ways nonprofits can pursue strategic restructuring The study will outline the benefits and challenges of going through mergers, entering joint ventures or pursuing a range of other changes in corporate structure
University of Illinois - C A S E L	Continued support of this national network of educators, researcher and policy makers who promote the creation, dissemination and institutionalization of high-quality social and emotional learning programs in schools
University of Minnesota-Center for Democracy & Citizenship	Continued support for refinement & field testing of an evaluation tool for Project Public Achievement It promotes direct civic involvement for middle school & college students The evaluation takes into account individual growth & clear community change
University of North Carolina-Greensboro	For the Elizabeth Langford Davenport Scholarship Fund
University of Pennsylvania-Center for Greater Philadelphia	Planning funds for the Greater Philadelphia High School Partnership
University of Washington - Public Policy Mgmt	For the preparation of information on tools and approaches that metropolitan regions are employing to implement smart growth
Upper Valley Land Trust	General support
Urban Ecology	To build the organizational capacity of six lead organizations in a coalition of fifty groups that is seeking to reform transportation investment and land use planning in the Bay Area
Urban Edge Housing Corporation	To help this mature and complex community development corporation expand its organizational capacity and improve its infrastructure
Urban Institute	Continued support of a research and seminar series devoted to issues in the regulation of nonprofit advocacy
Urban Retrievers-Philadelphia Student Union	General support for a youth organizing group that carries out campaigns to improve specific schools and also pushes for district-wide education reforms
Urban Retrievers-Philadelphia Student Union	For meetings, staffing, and a special consultant for finance
Urban Strategies	For a complete assessment of the strengths, weaknesses, and interests of the new and returning residents of a HOPE VI project on the Near Northside of Minneapolis
Urban Ventures Leadership Foundation	A one-year grant to support a computer learning lab, business assistance, job training and a jobs linkage program with neighboring businesses in one of Minneapolis's most under-served neighborhoods
Vanderbilt University	General support

## Surdna Foundation - FY01 Grant List and Descriptions

Venture Philanthropy Partners	For support of a new fund that intends to provide cash donations, management assistance and information technology solutions to child-serving charities in the Washington, DC - metropolitan area
Walker Art Center	For the annual fund and the renovation campaign
Walker Art Center	To support its Teen Programs, and share what it has learned regarding teen involvement through a new dissemination project Working with a consortium of colleagues from eight museums and art organizations, it will share best practices nationally
We Interrupt This Message	To develop a Youth Council with members drawn from a wide range of Bay Area youth-serving agencies It will monitor coverage of youth and youth issues in mainstream newspapers & television, & work with these media to counteract biased stereotype
Welfare to Work Partnership	Continued support for the Partnership's Technology Initiative and funds to build the internal technology capacity of the organization
Wesleyan University	To hire a consultant to construct a preliminary plan and architectural study for a community arts center and art studios for Wesleyan students
Wesleyan University	General support
Western Environmental Law Center	To help the WELC to provide legal counsel and technical assistance to local governments in New Mexico in the adoption, implementation and enforcement of land use subdivision regulations and ordinances regulating mining, logging and growth and development
Westminster Presbyterian Church	For Completing the Celebration Campaign
WFSU-FM/FSU Foundation	General support
Wilderness Society	For a project to reestablish a network of wildlands and thereby create a healthy wilderness system in the Northern Rockies
Women's Community Revitalization Project	To enable WCRP to continue its Community Based Employment Initiative aimed at meeting the employment needs of community members affected by welfare reform
Women's Housing And Economic Development Corporation	For strategic communications activities
World Wildlife Fund	For a key environmental stakeholder to enhance Forest Stewardship Council certification efforts and improve forest management practices across the United States
Yerba Buena Center for the Arts	To strengthen the year-round Young Artists at Work arts employment and training program
YMCA of Albuquerque	For a youth media program
Youth Empowerment Center	To train organizers and build strong youth-led organizations prepared to tackle important policy concerns in the Bay Area
Youth Law Center	To fund the Transitions to Independence portion of the Youth Law Center's project on Faith Communities for Families and Children (FCFC)

## Surdna Foundation - FY01 Grant List and Descriptions

Youth Radio	First year support for its core youth development and media program Also, funding for a national network formed with eight other youth media outlets in geographically dispersed communities
Youth Service California	To help the organization move through Phase II of a merger
Youth United For Change	Support to develop an additional school-based chapter of a youth organizing initiative which trains and supports students as they directly improve their schools, and work on general education improvement city-wide
Zaccho Dance Theatre	To support teen participation in the final year of projects in the Bayview/Hunter's Point neighborhood in San Francisco, and the Red Hook neighborhood, in Brooklyn, New York
<b>*Arts Teachers Fellowship Program</b>	
Anne Averre	To enter into a formal mentorship with IMAGO THEATER, resulting in the production of an original interdisciplinary work that will premier in a prominent Portland theater
Gregory Baber	To further enhance Classical acting skills by attending a master class in Shakespearean Acting with the British-American Drama Academy at Balliol College, Oxford, England
Beth Balliro	To travel for three weeks through the island of Kyushu, Japan to observe the creation of traditional pottery, and study with a ceramic mentor, Ben Ryterband, upon return
Maurice Byers	To compose a ballet score for the October Ballet Co in Ho Chi Minh City, Vietnam at their invitation, and follow up with performances and exchanges with the dance division of LaGuardia High School
Fernadina Chan	To explore choreography & dance technology by participating in 3 summer workshops at Arizona State University, and studying at Concord Academy's Summer Stages Dance
Angela Ellsworth	To create an autobiographical performance piece through research in Utah, and residency in one of three artists' colonies to develop the work
Luis Flores	To produce new print work and to work at Goya Girl Press to produce a limited edition print, and to learn new printmaking techniques through a month long residency at The Virginia Center for the Creative Arts
Jennifer Gifford	To study and work with figure drawing teachers at the School of Visual Arts in NYC, or at Haystack School of the Arts in Maine
Rona Glasser	To improve artistic skills, try new materials, and invigorate artistic vision through workshops at the Fine Arts Work Center in Provincetown and classes at the Ringling School of Art, Sarasota
Jarvis Grant	To explore & receive training in digital photographic printmaking & electronic media delivery methods

## Surdna Foundation - FY01 Grant List and Descriptions

Jonathan Holt Howard	To compose and record a set of musical pieces that explore the relationship between text & music & utilizes advanced <i>electronic techniques, through collaboration with a writer, choreographer, &amp; several musicians based in the Minneapolis area</i>
William Jung	To take a class and learn about lithography at the Manhattan Graphics Art Center and to hire a Master Printmaker, Ms Judy Mensch, to assist in making experimental lithographic prints
Thomas Kanthak	To revitalize and expand a musical collaboration, develop new group improvisational curriculum, and create a workshop, seminar, and performance with Canadian flutist, Dr Jonathan Bayley, at Banff Center for the Arts, Summer 2001
Elizabeth Lyons	To study sculpture and glass with Jaminx and Enar de la Torre at Haystack Mountain School
Nancy Norwood	To create a series of short, thematic video poems to expand knowledge about digital cinema through experimentation and critiques by Kevin Smith and Nathan Lyons in Rochester, NY
Carol Panaro-Smith	To learn the use of photo polymer plates for printing letter press and photogravure with James Hajcek, also learn new bookmaking techniques at the Columbia College Chicago Center for Book and Paper Arts
Mary Parker	To attend 'A Print Odyssey 2001,' a print symposium in Cortona, Italy, to research and create work through travel in Italy, participating in a color woodcut course at Santa Reparata International School for Art
Michelle Tillander	To take a workshop with Elizabeth Opelenick 'The Romantic Image' and Katrin Eisman, 'The Complex Image,' at the Maine Photographic Workshops, expanding methods of using digital and photographic media
Richard Titone	To attend an Artist-in-Residence program with the Gramercy Brass Orchestra, with activities including performance with the ensemble, writing an original work for the group and receiving mentoring in performance and Brass Band conducting
Lily Weiss	To expand knowledge of modern dance repertory through an internship with The American Dance Legacy Institute
Susan Willis	To attend a three-week, technical and design workshop led by Manfred Bischoff at Alchimia, Contemporary Jewelry School in Florence, Italy

# Application for Extension of Time to File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
  - If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)
- Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

## Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed)

Note Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only   
 All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization SURDNA FOUNDATION, INC. ATT: MARC DE VENOGÉ	Employer identification number 13-6108163
	Number, street, and room or suite no. If a P O box, see instructions 330 MADISON AVENUE, 30TH FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10017-5001	

Check type of return to be filed (file a separate application for each return)

- |   |  |                                    |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)     | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041 A                             | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3 month (6 month, for 990-T corporation) extension of time until FEBRUARY 15, 2002 to file the exempt organization return for the organization named above. The extension is for the organization's return for  calendar year \_\_\_\_\_ or  tax year beginning JUL 1, 2000 and ending JUN 30, 2001

2 If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

3a If this application is for Form 990 BL, 990 PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ 960,000.

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ 1,116,265.

c Balance Due Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ 0.

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

LHA For Paperwork Reduction Act Notice, see instruction

Form 8868 (12-2000)

**SURNA FOUNDATION, INC.  
EIN: 13-6108163  
FORM 990-PF  
JUNE 30, 2001**

**Footnote to Schedules of Capital Gains and Losses**

Method of acquisition was by purchase unless donation, by John E Andrus Trusts #1 and #2 U/A dated 12/30/21 and 12/29/22, respectively, is indicated Date acquired by the Trusts and tax cost of the Trust are shown Property acquired by the Trusts prior to 1970 is considered held by Surdna on 12/31/69 under Revenue Ruling 76-424, since John E Andrus, the Trust Grantor, died prior to 1970



**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHORT TERM CAPITAL GAINS AND LOSSES				
REVLON CONSUMER PRODS CORP 8 625% DUE 02-01-2008/02-01-2003 REG	SOLD 07-05-00 ACQUIRED 11-18-99	37,500 00	40,817 40	3,317 40-
REVLON CONSUMER PRODS CORP 8 625% DUE 02-01-2008/02-01-2003 REG	SOLD 07-06-00 ACQUIRED 11-18-99	49,750 00	54,331 92	4,581 92-
PAR VALUE: 100,000 00				
REVLON CONSUMER PRODS CORP 8 625% DUE 02-01-2008/02-01-2003 REG	SOLD 07-06-00 ACQUIRED 12-15-99	24,875 00	27,451 79	2,576 79-
PAR VALUE: 50,000 00				
UNITED STATES TREAS NTS 5 5% DUE 08-31-2001 REG	SOLD 07-07-00 ACQUIRED 06-13-00	1,980,000 00	1,976,506 75	3,493.25
PAR VALUE: 2,000,000 00				
BRAZIL-EI FLTG RT EURO-CLEAR BD DUE 4-15-06 REG ISIN#XS0049993636	SOLD 07-07-00 ACQUIRED 02-23-00	102,602 25	99,300 64	3,301.61
PAR VALUE 111,600.00				
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				

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SURDNA FOUNDATION - STANDISH, AYER  
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01298 ACCOUNT NO 26-00351  
 SSB70 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 200,000 00	SOLD 07-11-00 ACQUIRED 06-13-00	198,198 99	197,625.00	523 99
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ				
PAR VALUE. 375,000 00	SOLD 07-13-00 ACQUIRED 04-05-00	397,675 78	402,246 09	4,570.31-
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE 250,000 00	SOLD 07-13-00 ACQUIRED 06-20-00	265,117 19	264,511 72	605 47
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ				
PAR VALUE 300,000 00	SOLD 07-13-00 ACQUIRED 04-05-00	318,351 56	321,796 87	3,445 31-
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE 125,000 00	SOLD 07-13-00 ACQUIRED 06-13-00	124,291 99	123,515 63	776 36
FHLMC GOLD POOL C38552 7 0 05-01-2030				

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 AND WOOD

01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 07-17-00	5,562 71	5,373.66	189 05
UNITED STATES TREAS NTS 7.875% DUE	ACQUIRED 04-18-00			
11-15-2004 REG				
PAR VALUE:	SOLD 07-18-00	105,410 15	105,804 69	394.54-
UNITED STATES TREAS NTS 7 875% DUE	ACQUIRED 06-20-00			
11-15-2004 REG				
PAR VALUE	SOLD 07-19-00	158,097 71	158,707 03	609.32-
PARK PL ENHMT CORP 7 95% DUE 08-01-2003	ACQUIRED 06-20-00			
REG				
PAR VALUE:	SOLD 07-19-00	172,789 75	173,862 50	1,072 75-
TCI COMMUNICATIONS INC 6 375% DUE	ACQUIRED 07-28-99			
05-01-2003 REG				
PAR VALUE:	SOLD 07-19-00	413,648 25	420,203.17	6,554 92-
TCI COMMUNICATIONS INC 6 375% DUE	ACQUIRED 12-08-99			
05-01-2003 REG				

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	07-19-00	275,000 00	271,896 17	4,246 92-
SOLD	07-19-00	267,649 25		
ACQUIRED	12-08-99			
UNITED STATES TREAS NTS DTD 08/15/1999				
6.0% DUE 08-15-2009 REG				
PAR VALUE	07-20-00	347,580 08	345,843 75	1,736 33
SOLD	07-20-00			
ACQUIRED	06-13-00			
UNITED STATES TREAS NTS 7 875% DUE				
11-15-2004 REG				
PAR VALUE	07-21-00	106,000.00	105,804 69	199.22
SOLD	07-21-00			
ACQUIRED	06-20-00			
UNITED STATES TREAS NTS 7 875% DUE				
11-15-2004 REG				
PAR VALUE	07-21-00	250,000 00	264,511 71	390 63
SOLD	07-21-00			
ACQUIRED	06-20-00			
UNITED STATES TREAS NTS DTD 08/15/1999				
6 0% DUE 08-15-2009 REG				
PAR VALUE	07-21-00	225,000 00	239,000 97	588 86-
SOLD	07-21-00			
ACQUIRED	07-07-00			
UNITED STATES TREAS NTS DTD 08/15/1999				
25,000 00	07-21-00	24,840 82	24,703 13	137.69
SOLD	07-21-00			
ACQUIRED	06-13-00			

##UNITED MEXICAN STS MTN 9 875% DUE  
 02-01-2010 BEQ US91086QAD07 DO NOT USE  
 SEE INT# 4-880412

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SURDNA FOUNDATION - STANDISH, AYER  
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01298 ACCOUNT NO. 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 07-25-00	84,908 00	84,340 00	568 00
FNMA POOL # 532152 7 5% DUE 02-01-2030 REG	ACQUIRED 04-04-00			
PAR VALUE	SOLD 07-25-00	17,267.45	17,128 50	138 95
CK WITCO CORP SR NT 8 5% DUE 03-15-2005 BEO	ACQUIRED 04-05-00			
PAR VALUE	SOLD 07-26-00	49,932 50	49,779 50	153 00
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG	ACQUIRED 03-02-00			
PAR VALUE	SOLD 07-27-00	79,582 03	79,666 99	84 96-
BRAZIL FEDERALATIVE REP GLOBAL BD 14.5% DUE 10-15-2009 BEO ISIN US105756AJ93 507310U	ACQUIRED 07-07-00			
PAR VALUE	SOLD 07-28-00	27,062 50	26,625.00	437 50
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	ACQUIRED 06-02-00			

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	08-01-00	106,117 19	106,222 66	105 47-
UNITED STATES TREAS NTS 7 875% DUE	08-01-00	106,117 19	106,222 66	105 47-
PAR VALUE:	08-01-00	212,234 37	212,070 32	164.05
UNITED STATES TREAS NTS 7 875% DUE	08-01-00	212,234 37	212,070 32	164.05
PAR VALUE:	08-02-00	424,765 62	424,140 62	625 00
UNITED STATES TREAS NTS DTD 08/15/1999	08-02-00	424,765 62	424,140 62	625 00
PAR VALUE	08-02-00	49,855 47	49,406 25	449.22
UNITED STATES TREAS NTS DTD 08/15/1999	08-02-00	49,855 47	49,406 25	449.22
PAR VALUE:	08-02-00	149,531 25	148,218 75	1,312 50
UNITED STATES TREAS NTS DTD 08/15/1999	08-02-00	149,531 25	148,218 75	1,312 50
PAR VALUE	08-02-00	45,450 00	42,762.41	2,687 59
UNITED STATES TREAS BDS 9 25% DUE	08-02-00	45,450 00	42,762.41	2,687 59

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	08-03-00 SOLD	131,664 06	125,421 88	6,242 18
PAR VALUE	08-03-00 SOLD	164,580 08	161,445 31	3,134 77
PAR VALUE	08-03-00 SOLD	263,328 13	259,125 00	4,203 13
PAR VALUE	08-03-00 SOLD	296,244 14	288,000 00	8,244 14
PAR VALUE	08-03-00 SOLD	1,119,144 54	1,093,312 50	25,832 04
UNITED STATES TREAS NTS 5 5% DUE 08-31-2001 REG	08-03-00 SOLD	198,140 62	197,801 35	339 27
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	08-03-00 SOLD	74,950 19	74,109 38	840 81
WILLIAMS COMMUNICATIONS GROUP INC SR NT 10 7% DUE 10-01-2007 BEO	08-03-00 SOLD			

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 150,000 00	SOLD 08-03-00 ACQUIRED 09-30-99	144,187 50	150,000.00	5,812 50-
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE: 25,000.00	SOLD 08-04-00 ACQUIRED 06-13-00	25,037 11	24,703 13	333.98
UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG				
PAR VALUE: 375,000 00	SOLD 08-04-00 ACQUIRED 06-13-00	376,274 40	370,546 88	5,727 52
RSL COMMUNICATIONS PLC GTD SR NT 9 875% DUE 11-15-2009/05-15-2004 BE0 PUT				
PAR VALUE: 75,000 00	SOLD 08-04-00 ACQUIRED 03-21-00	23,250 00	63,526 81	40,276 81-
RSL COMMUNICATIONS PLC SR NT 12 0% DUE 11-01-2008/11-01-2003 BE0				
PAR VALUE: 50,000 00	SOLD 08-04-00 ACQUIRED 12-10-99	16,500 00	50,655 00	34,155 00-
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				



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 AND WOOD

01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 375,000 00 SOLD 08-07-00 ACQUIRED 06-13-00	08-07-00 06-13-00	375,351 56	370,546 88	4,804.68
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE 150,000 00 SOLD 08-08-00 ACQUIRED 07-13-00	08-08-00 07-13-00	159,773 44	159,052 73	720 71
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE: 175,000 00 SOLD 08-08-00 ACQUIRED 06-13-00	08-08-00 06-13-00	175,170 89	172,921 88	2,249 01
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE 100,000 00 SOLD 08-08-00 ACQUIRED 06-13-00	08-08-00 06-13-00	100,121 09	98,812 50	1,308 59
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE: 200,000 00 SOLD 08-09-00 ACQUIRED 06-13-00	08-09-00 06-13-00	200,187 50	197,625 00	2,562 50
BULGARIA BULGARIA GOVT DUE 07-28-2012/07-28-1995 REG				

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SURDNA FOUNDATION - STANDISH, AYER  
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01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 60,000 00	SOLD 08-14-00	46,092 00	42,787 21	3,304 79
FHLMC GOLD POOL C38552 7 0 05-01-2030	ACQUIRED 06-06-00			
PAR VALUE 3,162 38	SOLD 08-15-00	3,162.38	3,054 91	107 47
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	ACQUIRED 04-18-00			
PAR VALUE 75,000 00	SOLD 08-15-00	79,681 58	79,048 83	632.75
PAR VALUE 100,000 00	ACQUIRED 07-19-00			
PAR VALUE 100,000 00	SOLD 08-15-00	106,242 10	105,394 53	847.57
ACQUIRED 07-19-00				
PAR VALUE 100,000,00	SOLD 08-15-00	106,242 10	105,960 94	281.16
ACQUIRED 07-25-00				
FEDERAL HOME LN MTG CORP DISC NT DUE 08-16-2000				
PAR VALUE 2,550,000 00	MATURED 08-16-00	2,514,711 54	2,514,711 54	0 00
ACQUIRED 05-30-00				
BULGARIA BULGARIA GOVT DUE 07-28-2012/07-28-1995 REG				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 60,000 00	SOLD 08-17-00 ACQUIRED 06-06-00	45,930 00	42,955 87	2,974 13
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE 75,000 00	SOLD 08-18-00 ACQUIRED 06-13-00	75,166.99	74,109 37	1,057.62
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE 200,000.00	SOLD 08-23-00 ACQUIRED 06-13-00	201,195 31	197,625.00	3,570.31
FNMA POOL # 532152 7 5% DUE 02-01-2030 REG				
PAR VALUE 9,865 79	SOLD 08-25-00 ACQUIRED 04-05-00	9,865 79	9,786.40	79 39
RUSSIAN FEDERATION 8 25% DUE 03-10-2031 BEO				
PAR VALUE 257,925 00	SOLD 08-30-00 ACQUIRED 08-30-00	183,449 16	179,580 96	3,868 20
SPRINT CAP CORP NT DTD 05/06/1999 6 375% BEO ISIN US85206DAF95				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 225,000 00 SOLD 08-30-00 ACQUIRED 07-11-00 FEDERAL HOME LN MTC CORP DISC NT DUE 11-09-2000	08-30-00 07-11-00	203,485.50	204,389.60	904 10-
PAR VALUE: 200,000.00 SOLD 08-29-00 ACQUIRED 08-09-00 UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	08-29-00 08-09-00	196,805 00	196,805 00	0 00
PAR VALUE 150,000 00 SOLD 09-06-00 ACQUIRED 06-13-00 UNITED STATES TREAS NTS 6 625% DUE 04-30-2002 REG	09-06-00 06-13-00	151,095 70	148,218 75	2,876.95
PAR VALUE: 25,000 00 SOLD 09-07-00 ACQUIRED 07-05-00 DAIMLERCHRYSLER AUTO TR DAIMLER CHRYSLERAUTO TR 2000-1 A4 7 23% DUE 01-06-2005 BEO	09-07-00 07-05-00	25,154 30	25,122 07	32.23
PAR VALUE 75,000 00 SOLD 09-07-00 ACQUIRED 07-06-00 DAIMLERCHRYSLER AUTO TR DAIMLER CHRYSLERAUTO TR 2000-1 A4 7 23% DUE 01-06-2005 BEO	09-07-00 07-06-00	75,462 88	75,287 11	175 77
PAR VALUE: 1,375,000 00 SOLD 09-07-00 ACQUIRED 02-29-00 CLEAR CHANNEL COMMUNICATIONS INC 7 875% DUE 06-15-2005 BEO	09-07-00 02-29-00	1,386,816 41	1,374,993.13	11,823 28

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	09-07-00	250,000 00	249,855 00	4,945 00
GOLDMAN SACHS GROUP INC NT 7 625% DUE 08-17-2005 BEQ	09-07-00	254,800 00	249,855 00	4,945 00
PAR VALUE:	09-07-00	250,000 00	249,955.00	3,527.50
RUSSIAN FEDERATION EURO 12 75% DUE 06-24-2028 BEQ	09-07-00	253,482.50	249,955.00	3,527.50
PAR VALUE	09-07-00	200,750 00	199,928 03	821 97
UNITED STATES TREAS NTS 5 5% DUE 08-31-2001 REG	09-07-00	200,750 00	199,928 03	821 97
PAR VALUE	09-07-00	1,500,000 00	1,484,721 35	3,442 71
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ	09-07-00	1,488,164 06	1,484,721 35	3,442 71
PAR VALUE:	09-08-00	26,960 94	26,816 36	144 58
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	09-08-00	161,765 62	160,828.13	937.49
PAR VALUE	09-08-00	150,000 00	160,828.13	937.49

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA NT 5 625% DUE 05-14-2004 BEO	09-08-00 SOLD 06-13-00 ACQUIRED	201,304 69	197,625 00	3,679 69
SPRINT CAP CORP SPRINT CAPITAL CORP 6 875% DUE 11-15-2028 BEO	09-08-00 SOLD 05-12-00 ACQUIRED	506,278 50	492,158 44	14,120 06
COMCAST CABLE COMMUNICATIONS INC 6 2% DUE 11-15-2008 BEO	09-08-00 SOLD 06-28-00 ACQUIRED	169,748 00	171,995 30	2,247 30-
PHILLIPS PETE CO NT 8 5% DUE 05-25-2005 BEO	09-11-00 SOLD 01-05-00 ACQUIRED	182,392 00	180,410 83	1,981 17
U S BANCORP MEDIUM TERM NTS- BOOK ENTRY FRANCHE # TR 00119 6 0% DUE 05-15-2004	09-11-00 SOLD 05-18-00 ACQUIRED	78,820 50	74,790.00	4,030 50

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS NTS 5 5X DUE 08-31-2001 REG	SOLD 09-11-00 ACQUIRED 12-13-99	359,422 50	363,112 14	3,689 64-
UNITED STATES TREAS NTS DTD 02/15/2000 6 25% DUE 05-15-2030 REG	SOLD 09-12-00 ACQUIRED 06-13-00	173,660 16	173,262 28	397 88
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 09-12-00 ACQUIRED 08-03-00	294,389 65	294,851 56	461 91-
UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG	SOLD 09-12-00 ACQUIRED 06-13-00	75,322 27	74,109 38	1,212 89
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	SOLD 09-12-00 ACQUIRED 06-13-00	200,812 50	197,625 00	3,187 50

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
ALBERTA ENERGY LTD NT 8 125% DUE 09-15-2030 BEO	SOLD 09-12-00 ACQUIRED 09-11-00	335,054 69	335,207 03	152 34-
MEXICO-UNITED MEXICAN STS BD 11 375% DUE09-15-2016 BEO	SOLD 09-13-00 ACQUIRED 09-12-00	301,038 00	298,197 00	2,841 00
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 09-13-00 ACQUIRED 07-25-00	86,850 00	87,750 00	900 00-
PHILLIPS PETE CO NT 8 5% DUE 05-25-2005 BEO	SOLD 09-14-00 ACQUIRED 06-27-00	75,398,44	73,886 72	1,511 72
	SOLD 09-14-00 ACQUIRED 06-13-00	251,328 12	242,083 02	9,245 10
	SOLD 09-13-00 ACQUIRED 03-16-00	92,640 00	96,000 00	3,360 00-
	SOLD 09-13-00 ACQUIRED 07-25-00	75,000 00	75,000 00	000 00-
	SOLD 09-14-00 ACQUIRED 06-13-00	250,000 00	242,083 02	7,916 98
	SOLD 09-14-00 ACQUIRED 06-27-00	75,000 00	73,886 72	1,113 28



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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ	SOLD 09-14-00 ACQUIRED 05-18-00	52,601 50	49,860 00	2,741.50
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	SOLD 09-14-00 ACQUIRED 08-03-00	186,183 59	187,632.81	1,449 22-
PAR VALUE: 175,000.00				
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 09-15-00 ACQUIRED 04-04-00	168,527 28	180,200.00	11,672 72-
SHARES 1,700 00				
PYPL ADELPHIA COMMUNICATIONS CORP PFD EXCHANGABLE SER B 13%	SOLD 09-15-00 ACQUIRED 04-18-00	688 02	664.64	23 38
PAR VALUE 688.02				
FHLMC GOLD POOL C38552 7 0 05-01-2030	SOLD 09-14-00 ACQUIRED 07-25-00	106,765.63	105,960 94	804 69
PAR VALUE: 100,000 00				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PANAMA REP PANAMA GLOBAL 2029 ISIN US698299AK07 9 375% DUE 04-01-2029 BEO PUT	SOLD 09-15-00 ACQUIRED 06-27-00	74,988.28	73,886.72	1,101.56
PAR VALUE				
50,000 00				
UNITED STATES TREAS BDS 9 25% DUE 02-15-2016 REG	SOLD 09-18-00 ACQUIRED 06-16-00	28,950 00	28,740 00	210 00
PAR VALUE				
150,000 00				
UNITED STATES TREAS BDS 8 125% DUE 05-15-2021 REG	SOLD 09-20-00 ACQUIRED 10-01-99	213,691 41	206,500 00	7,191 41
PAR VALUE				
175,000 00				
LIBERTY MEDIA CORP 7 875% DUE 07-15-2009 BEO	SOLD 09-20-00 ACQUIRED 07-13-00	122,723 75	122,441 25	282 50
PAR VALUE				
125,000 00				
SOLD 09-20-00 ACQUIRED 07-17-00		29,544 75	29,247 42	297 33
PAR VALUE				
25,000 00				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 09-20-00	29,544 75	29,448 00	96 75
	ACQUIRED 07-21-00			
PANAMA IRB 18YR VAR RT DUE 07-17-2014 REG				
PAR VALUE	SOLD 09-21-00	23,962.50	23,668 13	294 37
	ACQUIRED 09-18-00			
BRAZIL C SER 20 YR 8 0% DUE 04-15-2014/04-15-1999 REG				
PAR VALUE	SOLD 09-21-00	20,409 83	20,323.02	86.81
	ACQUIRED 03-30-00			
PAR VALUE	SOLD 09-21-00	2,736 83	3,640 00	903 17-
	ACQUIRED 04-19-00			
PAR VALUE.	SOLD 09-21-00	27,775.99	27,361 50	414 49
	ACQUIRED 07-28-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE	SOLD 09-25-00	186,839 84	185,431 64	1,408 20
	ACQUIRED 07-25-00			
PAR VALUE.	SOLD 09-25-00	26,691 41	26,484 38	207 03
	ACQUIRED 07-26-00			

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 150,000 00	SOLD 09-25-00	160,148 44	159,796 88	351 56
ACQUIRED 08-23-00				
PAR VALUE: 1,150,000.00	SOLD 09-25-00	1,227,804 69	1,228,343 75	539 06-
ACQUIRED 09-07-00				
UNITED STATES TREAS NTS 5 5% DUE 08-31-2001 REG				
PAR VALUE: 500,000 00	SOLD 09-25-00	496,503 91	495,163 09	1,340.82
ACQUIRED 06-13-00				
FNMA POOL # 532152 7 5% DUE 02-01-2030 REG				
PAR VALUE 33,756 13	SOLD 09-25-00	33,756.13	33,484 50	271.63
ACQUIRED 04-05-00				
FNMA POOL # 547078 8 5% DUE 07-01-2030 REG				
PAR VALUE 715 99	SOLD 09-25-00	715 99	719 23	3 24-
ACQUIRED 05-25-00				
FNMA POOL # 539188 8 5% DUE 07-01-2030 REG				
PAR VALUE 357 87	SOLD 09-25-00	357 87	359 49	1 62-
ACQUIRED 05-25-00				
FNMA POOL # 528561 8 5% DUE 05-01-2030 REG				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 09-25-00 ACQUIRED 05-25-00	572 78	575.38	2 60-
FNMA POOL # 537997 8 5% DUE 05-01-2030 REG				
PAR VALUE	SOLD 09-25-00 ACQUIRED 05-25-00	14 30	14 36	0 06-
FHLMC GOLD POOL C38552 7 0 05-01-2030				
PAR VALUE	SOLD 09-27-00 ACQUIRED 04-18-00	698,251 27	690,020 14	8,231 13
FHLMC TBA POOL 7 0% GOLD 30YR NOV				
PAR VALUE	SOLD 09-27-00 ACQUIRED 09-27-00	708,007 81	708,022 85	15 04-
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE	SOLD 10-04-00 ACQUIRED 06-27-00	150,041 01	152,916 27	2,875 26-
PHILLIPS PETE CO NT 8 5% DUE 05-25-2005 REG				
PAR VALUE	SOLD 10-04-00 ACQUIRED 05-18-00	52,601 50	49,860 00	2,741 50
TCI COMMUNICATIONS INC 8 65% DUE 09-15-2004 REG				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 275,000 00	SOLD 10-04-00	286,448 25	284,894.50	1,553 75
ACQUIRED 07-19-00				
PAR VALUE 425,000 00	SOLD 10-04-00	442,692.75	440,597 50	2,095 25
ACQUIRED 07-19-00				
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 10-05-00	400,375 00	394,062 50	6,312.50
ACQUIRED 06-27-00				
FORT JAMES CORP 6 625% DUE 09-15-2004 REG	SOLD 10-06-00	72,157 50	71,514 85	642 65
ACQUIRED 07-18-00				
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 10-06-00	150,410 16	147,773 44	2,636.72
ACQUIRED 06-27-00				
BRAZIL FEDERATIVE REP GLOBAL BD 14 5% DUE 10-15-2009 BEO ISIN US105756AJ93 507310U	SOLD 10-11-00	27,650 00	26,625 00	1,025 00
ACQUIRED 06-02-00				
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 10-11-00	25,000 00		
ACQUIRED 06-02-00				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 150,000 00 SOLD 10-11-00 ACQUIRED 06-27-00	10-11-00	151,289 07	197,773.44	3,515 63
PAR VALUE: 25,000 00 SOLD 10-11-00 ACQUIRED 07-07-00	10-11-00	25,214 84	24,867 19	347 65
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG *				
PAR VALUE: 25,000 00 SOLD 10-11-00 ACQUIRED 07-07-00	10-11-00	25,214 84	24,867 19	347 65
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE: 25,000.00 SOLD 10-11-00 ACQUIRED 07-07-00	10-11-00	25,209 96	24,867 19	342 77
CHARTER COMMUNICATIONS HLDGS LLC / CHARTER COMMUNICATIONS HLDGS CAP CORP SRDISC NT DTD 01/12/2000 STEP UP DUE 01-15-2010 01-15-2005 BEQ				
PAR VALUE: 350,000 00 SOLD 10-11-00 ACQUIRED 01-06-00	10-11-00	205,625 00	218,850 90	13,225 90-
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 325,000 00	SOLD 10-12-00 ACQUIRED 07-07-00	327,183 59	323,273 43	3,910 16
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE: 200,000 00	SOLD 10-12-00 ACQUIRED 07-07-00	202,437 50	198,937.50	3,500 00
PAR VALUE: 125,000 00	SOLD 10-12-00 ACQUIRED 07-18-00	126,523.43	123,261 72	3,261 71
PAR VALUE 50,000 00	SOLD 10-12-00 ACQUIRED 08-04-00	50,609 38	50,132 81	476 57
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG				
PAR VALUE: 25,000 00	SOLD 10-12-00 ACQUIRED 08-04-00	25,273 44	25,066 41	207 03
PAR VALUE 75,000 00	SOLD 10-12-00 ACQUIRED 08-30-00	75,820 31	75,093 75	726 56
UNITED STATES TREAS BDS 9 25% DUE 02-15-2016 REG				
PAR VALUE: 250,000.00	SOLD 10-13-00 ACQUIRED 06-27-00	329,360 00	321,562 50	7,797 50



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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	10-13-00 SOLD	131,744 00	129,203 13	2,540.87
UNITED STATES TREAS BDS 9 25% DUE	06-28-00 ACQUIRED			
PAR VALUE	10-13-00 SOLD	500,000 00	646,015 62	12,704.38
PAR VALUE	10-13-00 SOLD	50,000 00	65,412 11	459.89
PAR VALUE	10-13-00 SOLD	125,000 00	165,761 72	1,081.72
PAR VALUE	10-13-00 SOLD	164,680 00	165,380 86	700.86-
PAR VALUE	10-13-00 SOLD	164,680 00	297,694 33	1,270.33-
UNITED STATES TREAS BDS 8 125% DUE	09-13-00 ACQUIRED			
PAR VALUE	10-13-00 SOLD	279,540 00	267,539 06	12,000.94
PAR VALUE	10-13-00 SOLD	279,540 00		
PAR VALUE	10-13-00 SOLD	869,680 00	826,266.09	43,433.91
PAR VALUE	12-07-99 ACQUIRED			

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS BDS 8 125% DUE 05-15-2021 REG	SOLD 10-13-00 ACQUIRED 02-11-00	714,380 00	673,077 75	41,302.25
PAR VALUE 575,000 00				
PAR VALUE 425,000 00	SOLD 10-13-00	528,020 00	497,492 25	30,527 75
PAR VALUE 375,000 00	ACQUIRED 02-11-00			
PAR VALUE 375,000 00	SOLD 10-13-00	465,900 00	443,378 91	22,521 09
PAR VALUE 700,000 00	ACQUIRED 02-17-00			
PAR VALUE 700,000 00	SOLD 10-13-00	869,680 00	832,753 90	36,926 10
PAR VALUE 100,000 00	ACQUIRED 03-10-00			
PAR VALUE 100,000 00	SOLD 10-13-00	124,240 00	125,484.38	1,244 38-
PAR VALUE 100,000 00	ACQUIRED 09-07-00			
BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM 005147271	SOLD 10-16-00	38,031 25	40,489 02	2,457 77-
PAR VALUE 50,000 00	ACQUIRED 08-02-00			
PAR VALUE 110,000 00	SOLD 10-16-00	113,080 00	112,585 00	495 00
PAR VALUE 110,000 00	ACQUIRED 07-05-00			
PAR VALUE 110,000 00	SOLD 10-16-00			
PAR VALUE 110,000 00	ACQUIRED 07-05-00			
PAR VALUE 110,000 00	SOLD 10-16-00			
PAR VALUE 110,000 00	ACQUIRED 07-05-00			

##BRAZIL FEDERATIVE REP US\$ GLOBAL BD  
 11 625% DUE 04-15-2004 REG DO NOT USE  
 SEE SECURITY #4-008844

PYPL RUSSIAN FEDN US\$ BD 144A 8 25% DUE  
 03-31-2010 REG

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PHLMC GOLD POOL C38552 7 0 05-01-2030				
PAR VALUE	SOLD 10-16-00	797 94	770 82	27 12
	ACQUIRED 04-18-00			
UNITED STATES TREAS BDS DTD 02/15/2000				
6 25% DUE 05-15-2030 BEQ				
PAR VALUE	SOLD 10-16-00	1,220,976 57	1,233,015 63	12,039 06-
	ACQUIRED 08-03-00			
UNITED STATES TREAS NTS 7 875% DUE				
11-15-2004 REG				
PAR VALUE:	SOLD 10-17-00	430,281 25	427,091 60	3,189 65
	ACQUIRED 09-07-00			
UNITED STATES TREAS NTS DTD 08/15/1999				
6 0% DUE 08-15-2009 REG				
PAR VALUE	SOLD 10-18-00	50,802 73	50,062 50	740 23
	ACQUIRED 08-30-00			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 10-18-00	25,398 44	25,031 25	367.19
PVTPL RUSSIAN FEDN US\$ BD 144A B 25% DUE 03-31-2010 BEO	ACQUIRED 08-30-00			
PAR VALUE: 115,000.00	SOLD 10-18-00	70,150 00	80,471 16	10,321.16-
PANAMA REP PANAMA GLOBAL 2029 ISIN US698299AK07 9 375% DUE 04-01-2029 BEO	ACQUIRED 09-07-00			
PAR VALUE	SOLD 10-18-00	47,250 00	47,900 00	650.00-
FOR T JAMES CORP 6 625% DUE 09-15-2004 REG	ACQUIRED 06-16-00			
PAR VALUE:	SOLD 10-18-00	14,175 00	14,381.25	206 25-
PAR VALUE:	SOLD 10-19-00	47,932 50	47,692 00	240 50
BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM 005147271	ACQUIRED 07-18-00			
PAR VALUE	SOLD 10-19-00	7,475 00	8,098 84	623 84-
PAR VALUE	SOLD 10-19-00			
ACQUIRED 08-02-00				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	10-19-00	44,850 00	49,317 84	4,467 84-
ACQUIRED	08-14-00			
PAR VALUE	10-19-00	26,162 50	28,643 83	2,481 33-
ACQUIRED	08-17-00			
FNMA POOL # 532152 7 5% DUE 02-01-2030 REG				
PAR VALUE	10-23-00	2,678,826 88	2,649,611 75	29,215 13
ACQUIRED	04-05-00			
FNMA POOL # 532152 7 5% DUE 02-01-2030 REG				
PAR VALUE	10-25-00	23,015 53	22,830 33	185 20
ACQUIRED	04-05-00			
FNMA POOL # 547078 8 5% DUE 07-01-2030 REG				
PAR VALUE	10-25-00	774 09	777 60	3 51-
ACQUIRED	05-25-00			
FNMA POOL # 539188 8 5% DUE 07-01-2030 REG				
PAR VALUE:	10-25-00	683 75	686 85	3 10-
ACQUIRED	05-25-00			
FNMA POOL # 528561 8 5% DUE 05-01-2030 REG				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 10-25-00	699 97	652 91	2 94-
FNMA POOL # 537997 8 5% DUE 05-01-2030 REG	ACQUIRED 05-25-00	699 97		
PAR VALUE	SOLD 10-25-00	14 37	14 44	0 07-
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	ACQUIRED 05-25-00	14 37		
PAR VALUE	SOLD 10-26-00	375,935 54	374,002.15	1,933 39
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO	ACQUIRED 09-07-00	375,935 54		
PAR VALUE	SOLD 10-26-00	590,755 86	587,511 72	3,244 14
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	ACQUIRED 09-07-00	590,755 86		
PAR VALUE	SOLD 10-26-00	268,164 06	265,468 75	2,695 31
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	ACQUIRED 10-13-00	268,164 06		
PAR VALUE	SOLD 10-26-00	50,767 58	50,062 50	705 08
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	ACQUIRED 08-30-00	50,767 58		

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 50,000.00	SOLD 10-26-00 ACQUIRED 10-05-00	50,767 58	50,144 53	623 05
PAR VALUE: 75,000 00	SOLD 10-26-00 ACQUIRED 10-06-00	76,151 36	75,413 09	738 27
PAR VALUE 235,000 00	SOLD 10-26-00 ACQUIRED 10-11-00	238,607 62	236,854 30	1,753 32
PAR VALUE: 500,000.00	SOLD 10-26-00 ACQUIRED 10-16-00	507,675 78	505,683 59	1,992 19
PAR VALUE: 50,000 00	SOLD 10-26-00 ACQUIRED 10-18-00	50,767 58	50,843.75	76 17-
PAR VALUE: 50,000 00	SOLD 10-26-00 ACQUIRED 10-19-00	50,767 58	50,871 09	103 51-
FNMA NT 5 625% DUE 05-14-2004 BEQ				
PAR VALUE: 900,000 00	SOLD 10-26-00 ACQUIRED 05-12-00	877,437 00	845,423 63	32,013 37
UNITED STATES TREAS BDS DTB 02/15/2000 6 25% DUE 05-15-2030 BEQ				
PAR VALUE: 250,000 00	SOLD 10-27-00 ACQUIRED 10-13-00	267,734 38	265,468 75	2,265 63
ENRON CORP 6 95% DUE 07-15-2028 BEQ				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 225,000 00	SOLD 10-30-00 ACQUIRED 09-19-00	206,466 75	199,724.12	6,742 63
FORT JAMES CORP 6 625% DUE 09-15-2004 REG				
PAR VALUE 50,000 00	SOLD 10-30-00 ACQUIRED 08-24-00	47,688 00	48,075 92	387.92-
METROMEDIA FIBER NETWORK INC SR NT 10 0% DUE 12-15-2009/12-15-2004 BEO				
PAR VALUE: 50,000 00	SOLD 10-31-00 ACQUIRED 11-12-99	44,750.00	49,600 50	4,850 50-
FNMA POOL # 532152 7 5% DUE 02-01-2030 REG				
PAR VALUE 0 09	SOLD 10-31-00 ACQUIRED 04-05-00	0 09	0 03	0 06
DEUTSCHE TELEKOM INTL FIN B V GID NT 8 0% DUE 06-15-2010 BEO				
PAR VALUE 150,000 00	SOLD 11-01-00 ACQUIRED 09-11-00	153,667 50	152,601.00	1,066 50
PAR VALUE. 250,000 00	SOLD 11-01-00 ACQUIRED 10-12-00	256,112 50	255,682 50	430 00
FHLMC DEB 5 75% DUE 03-15-2009 BEO				



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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	11-01-00 SOLD	275,000 00	250,016 04	8,849 71
PAR VALUE	02-24-00 ACQUIRED			
PAR VALUE	11-01-00 SOLD	525,000 00	479,597 34	14,600 91
PAR VALUE	03-22-00 ACQUIRED			
FNMA 7 1/2% BDS 15/6/2010 USD1000 7 1/2% DUE 06-15-2010 REG				
PAR VALUE	11-01-00 SOLD	2,425,000 00	2,510,432 75	6,571 75-
PAR VALUE	11-01-00 SOLD	825,000 00	851,829 00	4,553 18-
PAR VALUE	10-26-00 ACQUIRED			
PSINET INC SR NT 10 5% DUE 12-01-2006 BEQ BOND IN DEFAULT				
PAR VALUE	11-01-00 SOLD	30,000 00	19,041 60	8,691 60-
PAR VALUE	11-02-00 SOLD	10,350 00	10,350 00	
PAR VALUE	10-05-00 ACQUIRED			
PSINET INC SR NT 10 5% DUE 12-01-2006 BEQ BOND IN DEFAULT				
PAR VALUE	11-03-00 SOLD	8,375 00	15,203 54	6,828 54-
PAR VALUE	10-11-00 ACQUIRED			
PSINET INC SR NT 10 5% DUE 12-01-2006 BEQ BOND IN DEFAULT				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE. 25,000 00	SOLD 11-03-00 ACQUIRED 10-11-00	8,125 00	15,203 54	7,078.54-
##BRAZIL FEDERATIVE REP US\$ GLOBAL BD 11 625% DUE 04-15-2004 BEG DO NOT USE SEE SECURITY #4-008844	SOLD 11-06-00	25,150 00	25,587 50	437.50-
PAR VALUE: 25,000 00	SOLD 11-06-00	25,150 00	25,587 50	437.50-
PAR VALUE 55,000 00	SOLD 11-06-00	55,330 00	56,375 00	1,045 00-
ACQUIRED 07-06-00				
PAR VALUE 100,000 00	SOLD 11-06-00	100,600,00	102,550 00	1,950 00-
ACQUIRED 07-07-00				
PVTPL FLEXTRONICS INTL LTD SR SUB NT 144A 9 875% DUE 07-01-2010/07-01-2005 BEG	SOLD 11-06-00	254,062 50	248,050 00	6,012.50
PAR VALUE 250,000 00	SOLD 11-06-00	254,062 50	248,050 00	6,012.50
ACQUIRED 06-26-00				
LITTON INDS INC SR NT 8 0% DUE 10-15-2009 BEG	SOLD 11-06-00	202,150 00	200,706 00	1,444 00
PAR VALUE: 200,000 00	SOLD 11-06-00	202,150 00	200,706 00	1,444 00
ACQUIRED 09-08-00				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 100,000 00	SOLD 11-06-00 ACQUIRED 09-12-00	101,075 00	100,385 00	690 00
METROMEDIA FIBER NETWORK INC SR NT 10 % DUE 12-15-2009/12-15-2004 BEO				
PAR VALUE 100,000.00	SOLD 11-06-00 ACQUIRED 11-12-99	86,750.00	99,201.00	12,451.00-
FORT JAMES CORP 6 625% DUE 09-15-2004 REG				
PAR VALUE 125,000 00	SOLD 11-07-00 ACQUIRED 08-24-00	118,951 25	120,278 76	1,327.51-
TELEFONICA EUROPE B V U S NT B 25% DUE 09-15-2030 BEO				
PAR VALUE 175,000 00	SOLD 11-07-00 ACQUIRED 09-14-00	177,418 50	174,926 50	2,492 00
TELEFONICA EUROPE B V 7 75% DUE 09-15-2010 BEO				
PAR VALUE 75,000 00	SOLD 11-07-00 ACQUIRED 09-14-00	75,077 25	74,734 50	342 75
TELEFONICA EUROPE B V 7 75% DUE 09-15-2010 BEO				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
TELEFONICA EUROPE B V 7 75% DUE 09-15-2010 BE0	SOLD 11-07-00 ACQUIRED 09-14-00	75,153 75	74,734 50	419 25
TELEFONICA EUROPE B V 7 75% DUE 09-15-2010 BE0	SOLD 11-07-00 ACQUIRED 09-14-00	100,035 00	99,646 00	389 00
TELEFONICA EUROPE B V 7 75% DUE 09-15-2010 BE0	SOLD 11-08-00 ACQUIRED 09-14-00	75,143 25	74,734 50	408 75
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 11-08-00 ACQUIRED 11-06-00	150,615 25	150,492 19	123 04
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 11-08-00 ACQUIRED 11-06-00	50,205 08	50,203 12	1 96
LEVEL 3 COMMUNICATIONS INC SR NT 11 25% DUE 03-15-2010/03-15-2005 BE0 PUT	SOLD 11-08-00 ACQUIRED 11-06-00	100,453 13	100,328 12	125 01

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 11-08-00 ACQUIRED 02-24-00	64,400 25	75,000 00	10,599 75-
UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG	SOLD 11-09-00 ACQUIRED 11-06-00	252,089 85	251,015 63	1,074.22
PAR VALUE:	SOLD 11-09-00 ACQUIRED 11-07-00	75,626 95	75,275 39	351.56
UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG	SOLD 11-13-00 ACQUIRED 11-07-00	75,855 47	75,275 39	580 08
PAR VALUE	SOLD 11-13-00 ACQUIRED 11-07-00	75,855 47	75,275 39	580 08
UNITED STATES TREAS NTS 6.625% DUE 04-30-2002 REG	SOLD 11-14-00 ACQUIRED 10-23-00	125,903 32	126,083 98	180.66-
PAR VALUE	SOLD 11-14-00 ACQUIRED 11-07-00	50,609 38	50,183 60	425 78
UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG	SOLD 11-14-00 ACQUIRED 11-07-00	50,609 38	50,183 60	425 78
PAR VALUE	SOLD 11-14-00 ACQUIRED 11-07-00	50,609 38	50,183 60	425 78
USA WASTE SVCS INC 6.125% NIS DUE 07-15-2001 REG	SOLD 11-14-00 ACQUIRED 11-07-00	50,609 38	50,183 60	425 78

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 175,000 00	SOLD 11-14-00	172,117 75	167,359 65	4,758.10
ACQUIRED 01-05-00				
PAR VALUE: 50,000 00	SOLD 11-14-00	49,176 50	47,753 84	1,422 66
ACQUIRED 04-14-00				
UNITED STATES TREAS NTS 6 625% DUE				
PAR VALUE 200,000 00	SOLD 11-15-00	201,468.75	201,734 38	265 63-
ACQUIRED 10-23-00				
PAR VALUE: 250,000 00	SOLD 11-15-00	251,835 94	251,787 11	48.83
ACQUIRED 10-27-00				
UNITED STATES TREAS NTS 7 875% DUE				
11-15-2004 REG				
PAR VALUE 725,000.00	SOLD 11-15-00	777,024 41	774,447 26	2,577 15
ACQUIRED 09-07-00				
PAR VALUE: 325,000 00	SOLD 11-15-00	348,321 29	346,988 28	1,333 01
ACQUIRED 09-08-00				
DAIMLERCHRYSLER AUTO TR 2000-C ASSET BKD				
NT CL A-3 6 82% DUE 09-06-2004 BEO				
PAR VALUE 725,000 00	SOLD 11-15-00	727,945 31	724,957 23	2,988 08
ACQUIRED 09-07-00				
TURKEY REP NT 12 375% DUE 06-15-2009 BEO				
US900123AJ93 505558U				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE, 50,000.00	SOLD 11-15-00	50,000.00	50,687.50	687.50-
ACQUIRED 10-11-00				
PAR VALUE 50,000.00	SOLD 11-15-00	50,000.00	50,750.00	750.00-
ACQUIRED 10-12-00				
LIBERTY MEDIA CORP SR DEB 8 5% DUE 07-15-2029 BEQ				
PAR VALUE 200,000.00	SOLD 11-16-00	190,526.00	192,940.00	2,414.00-
ACQUIRED 09-20-00				
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE 75,000.00	SOLD 11-17-00	80,446.29	80,074.22	372.07
ACQUIRED 09-08-00				
SAFEMAY INC 6 05% DUE 11-15-2003 BEQ				
PAR VALUE 400,000.00	SOLD 11-21-00	388,204.00	389,129.73	925.73-
ACQUIRED 12-09-99				
PAR VALUE 300,000.00	SOLD 11-21-00	291,153.00	284,138.33	7,014.67
ACQUIRED 05-08-00				
AMERICAN STD INC 7 375% SR NT DUE 02-01-2008 BEQ				

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 567078 8 5% DUE 07-01-2030 REG	SOLD 11-27-00 ACQUIRED 10-05-00	235,000 00	232,763.89	2,236 11
PAR VALUE: 250,000 00				
FNMA POOL # 59188 8 5% DUE 07-01-2030 REG	SOLD 11-27-00 ACQUIRED 05-25-00	782.95	786 50	3 55-
PAR VALUE: 782.95				
FNMA POOL # 539188 8 5% DUE 07-01-2030 REG	SOLD 11-27-00 ACQUIRED 05-25-00	25,832.02	25,949 07	117.05-
PAR VALUE: 25,832.02				
FNMA POOL # 528561 8 5% DUE 05-01-2030 REG	SOLD 11-27-00 ACQUIRED 05-25-00	631 04	633 90	2 86-
PAR VALUE: 631 04				
FNMA POOL # 537997 8 5% DUE 05-01-2030 REG	SOLD 11-27-00 ACQUIRED 05-25-00	14 48	14 55	0.07-
PAR VALUE: 14 48				
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 11-27-00 ACQUIRED 05-25-00	14 48	14 55	0.07-
PAR VALUE: 14 48				



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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	11-29-00 SOLD 11-15-00 ACQUIRED	50,734 38	50,039.06	695 32
LOCKHEED MARTIN CORP NT 8 2% DUE 12-01-2009 BEQ				
PAR VALUE	11-29-00 SOLD 10-11-00 ACQUIRED	185,734 50	181,539.75	4,194 75
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ				
PAR VALUE	12-01-00 SOLD 10-13-00 ACQUIRED	435,750 00	424,750 00	11,000 00
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE	12-01-00 SOLD 11-15-00 ACQUIRED	228,858 40	225,175 78	3,682 62
UNITED STATES TREAS NTS DTD 08/15/2000 5.75% DUE 08-15-2010 REG				
PAR VALUE	12-01-00 SOLD 11-15-00 ACQUIRED	510,234 38	500,390.63	9,843 75
DISNEY WALT CO NEW MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR 00033 7 3% DUE 02-08-2005 BEQ				

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01298 ACCOUNT NO 26-00351 AAB

SURDNA FOUNDATION - STANDISH, AYER AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS MTS 7.875% DUE 11-15-2009 REG	SOLD 12-01-00 ACQUIRED 02-03-00	743,944 25	724,188 00	19,756 25
PANAMA IRB 18YR VAR RT DUE 07-17-2014 REG	SOLD 12-05-00 ACQUIRED 09-08-00	514,540 04	507,136.72	7,403 32
BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM 005147271	SOLD 12-05-00 ACQUIRED 10-18-00	31,800 00	30,807 15	992 85
WORLD COM INC GA NT 8 25% DUE 05-15-2010 BEO	SOLD 12-05-00 ACQUIRED 09-21-00	21,712 50	23,154 06	1,441 56-
UNITED STATES TREAS MTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	SOLD 12-05-00 ACQUIRED 11-01-00	417,916 00	414,784 00	3,132 00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE; 50,000 00	SOLD 12-06-00	52,117 19	50,183 59	1,933.60
PAR VALUE; 75,000 00	SOLD 12-06-00	78,175 78	75,222 66	2,953 12
PAR VALUE 125,000 00	SOLD 12-06-00	130,292 97	127,055 67	3,237 30
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	ACQUIRED 11-21-00			
PAR VALUE 825,000 00	SOLD 12-06-00	851,425 79	825,644 53	25,781 26
PAR VALUE 275,000 00	SOLD 12-06-00	283,808 59	277,395 51	6,413.08
AMERICAN STD INC 7 375% SR NT DUE 02-01-2008 BEQ	ACQUIRED 11-27-00			
PAR VALUE; 100,000 00	SOLD 12-06-00	90,500 00	93,116 96	2,616 96-
BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM 005147271	ACQUIRED 10-05-00			
PAR VALUE. 55,000 00	SOLD 12-06-00	40,287 50	42,439 93	2,152 43-
PANAMA IRB 18YR VAR RT DUE 07-17-2014 REG	ACQUIRED 09-21-00			

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01298 ACCOUNT NO 26-00351  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 25,000 00	SOLD 12-07-00	19,812 50	19,257 47	555.03
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	ACQUIRED 10-18-00			
PAR VALUE 275,000 00	SOLD 12-07-00	298,117 19	293,605 46	4,511.73
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO	ACQUIRED 09-08-00			
PAR VALUE: 75,000 00	SOLD 12-07-00	83,039 06	79,640 63	3,398 43
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	ACQUIRED 10-13-00			
PAR VALUE 75,000 00	SOLD 12-07-00	77,132.81	75,653.32	1,479.49
LEVEL 3 COMMUNICATIONS INC SR DISC NT STEP UP DUE 03-15-2010 BEO RT = 0% TIL 03-15-2005 12 875% THEREAFTER	ACQUIRED 11-27-00			
PAR VALUE 125,000 00	SOLD 12-07-00	48,125 00	70,649 43	22,524 43-
LEVEL 3 COMMUNICATIONS INC SR DISC NT STEP UP DUE 03-15-2010 BEO RT = 0% TIL 03-15-2005 12 875% THEREAFTER	ACQUIRED 08-02-00			

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 12-07-00	39,000 00	56,519 55	17,519 55-
ACQUIRED	08-02-00			
MGM MIRAGE FORMERLY MGM GRAND INC TO				
08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO				
PAR VALUE	SOLD 12-11-00	50,769 00	49,702 00	1,067 00
ACQUIRED	09-12-00			
UNITED STATES TREAS NTS 7 875% DUE				
11-15-2004 REG				
PAR VALUE	SOLD 12-12-00	27,109 38	26,691 41	417 97
ACQUIRED	09-08-00			
UNITED STATES TREAS NTS DTD 08/15/2000				
5 75% DUE 08-15-2010 REG				
PAR VALUE	SOLD 12-12-00	77,241.21	75,940 43	1,300 78
ACQUIRED	11-29-00			
MGM MIRAGE FORMERLY MGM GRAND INC TO				
08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO				
PAR VALUE	SOLD 12-12-00	25,407 75	24,851 00	556 75
ACQUIRED	09-12-00			
UNITED STATES TREAS BDS DTD 02/15/2000				
6 25% DUE 05-15-2030 BEO				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE, 225,000 00	SOLD 12-13-00 ACQUIRED 10-13-00	250,593 75	238,921 88	11,671.87
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO				
PAR VALUE, 100,000 00	SOLD 12-13-00 ACQUIRED 10-13-00	111,128 91	106,187 50	4,941 41
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO				
PAR VALUE, 125,000 00	SOLD 12-13-00 ACQUIRED 10-13-00	139,199 22	132,754 38	6,444 84
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE, 25,000 00	SOLD 12-14-00 ACQUIRED 09-11-00	27,203 13	26,660 16	542 97
UNITED STATES TREAS BDS DTD 02/15/2000 6.25% DUE 05-15-2030 BEO				
PAR VALUE, 25,000 00	SOLD 12-14-00 ACQUIRED 09-14-00	27,203 12	26,693 36	509.76
UNITED STATES TREAS BDS DTD 02/15/2000 6.25% DUE 05-15-2030 BEO				
PAR VALUE, 225,000 00	SOLD 12-14-00 ACQUIRED 10-13-00	251,191 41	238,921.88	12,269.53
MGM MIRAGE FORMERLY MGM GRAND INC TO 08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	12-14-00 SOLD	51,408 00	49,702 00	1,706 00
MGM MIRAGE FORMERLY MGM GRAND INC TO 08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO	09-12-00 ACQUIRED			
PAR VALUE	12-14-00 SOLD	25,704 00	24,851.00	853 00
FHLMC GOLD C43700 7 0 10-01-2030				
PAR VALUE	12-15-00 SOLD	644 56	629 45	15 11
UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG	09-27-00 ACQUIRED			
PAR VALUE	12-19-00 SOLD	131,406 25	127,055 66	4,350 59
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	11-21-00 ACQUIRED			
PAR VALUE	12-19-00 SOLD	104,187 50	101,253 91	2,933 59
PAR VALUE	12-19-00 SOLD	78,140 63	77,519 53	621 10
PAR VALUE	12-06-00 ACQUIRED			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	12-19-00 SOLD	26,046 87	25,839.84	207 03
PAR VALUE	12-19-00 SOLD	26,046 88	25,739.26	307 62
PAR VALUE	12-19-00 SOLD	26,046 87	25,725 59	321 28
PAR VALUE	12-19-00 SOLD	26,046 88	25,747 07	299 81
PAR VALUE	12-19-00 SOLD	156,281 25	155,519 53	761 72
PAR VALUE	12-20-00 SOLD	54,679 69	53,429 69	1,250 00
MGM MIRAGE FORMERLY MGM GRAND INC TO 08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO	12-20-00 SOLD	25,701 00	24,851 00	850 00
UNITED STATES TREAS NTS 7 875% DUE	09-12-00 ACQUIRED			
UNITED STATES TREAS NTS 7 875% DUE	11-15-2004 REG			



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 200,000 00	SOLD 12-21-00 ACQUIRED 10-04-00	219,312 50	213,718 75	5,593 75
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE: 200,000 00	SOLD 12-22-00 ACQUIRED 12-13-00	210,976 56	207,359 38	3,617.18
PAR VALUE: 50,000 00	SOLD 12-22-00 ACQUIRED 12-14-00	52,744 14	52,000 00	744.14
PAR VALUE 25,000 00	SOLD 12-22-00 ACQUIRED 12-20-00	26,372 07	26,244 14	127 93
FNMA POOL # 547078 8 5% DUE 07-01-2030 REG				
PAR VALUE 985,161 16	SOLD 12-22-00 ACQUIRED 05-25-00	1,013,792 41	989,625 17	24,167 24
FNMA POOL # 539188 8 5% DUE 07-01-2030 REG				
PAR VALUE 522,487 95	SOLD 12-22-00 ACQUIRED 05-25-00	537,672 76	524,855 48	12,817 28
FNMA POOL # 528561 8 5% DUE 05-01-2030 REG				

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 537997 8 5% DUE 05-01-2030 REG	12-22-00 SOLD 05-25-00 ACQUIRED	999,895 03	976,059 09	23,835 94
FNMA POOL # 547078 8.5% DUE 07-01-2030 REG	12-22-00 SOLD 05-25-00 ACQUIRED	25,981.29	25,361.92	619 37
FNMA POOL # 539188 8 5% DUE 07-01-2030 REG	12-26-00 SOLD 05-25-00 ACQUIRED	11,736 29	11,789 47	53 18-
FNMA POOL # 528561 8 5% DUE 05-01-2030 REG	12-26-00 SOLD 05-25-00 ACQUIRED	315 92	317 35	1.43-
FNMA POOL # 537997 8 5% DUE 05-01-2030 REG	12-26-00 SOLD 05-25-00 ACQUIRED	680.11	683 19	3 08-

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 19 59	SOLD 12-26-00 ACQUIRED 05-25-00	19 59	19.66	0 07-
CK MITCO CORP SR NT 8 5% DUE 03-15-2005 BEO				
PAR VALUE 50,000 00	SOLD 01-03-01 ACQUIRED 03-02-00	50,087 00	49,779.50	307 50
FNMA 6 625% DUE 11-15-2010 REG				
PAR VALUE, 1,600,000 00	SOLD 01-03-01 ACQUIRED 11-01-00	1,684,309 00	1,597,040 00	87,269 00
FNMA 6 625% DUE 11-15-2010 REG				
PAR VALUE 400,000 00	SOLD 01-03-01 ACQUIRED 11-01-00	419,760 00	399,260 00	20,500 00
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE 125,000 00	SOLD 01-04-01 ACQUIRED 10-04-00	137,470 70	133,574 22	3,896 48
MGM MIRAGE FORMERLY MGM GRAND INC TO 08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO				
PAR VALUE 50,000 00	SOLD 01-04-01 ACQUIRED 09-12-00	51,217 00	49,702 00	1,515 00
LEVEL 3 COMMUNICATIONS INC SR DISC NT STEP UP DUE 03-15-2010 BEO RT = 0% TIL 03-15-2005 12 875% THEREAFTER				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	01-05-01	58,156 25	41,440.83	3,284 58-
QUEBEC PROV CDA PROVINCE DE QUEBEC GLOBAL NOTE 7 5% DUE 09-15-2029 BEQ	SOLD 08-02-00			
PAR VALUE	01-05-01	222,730 00	200,798 00	21,932 00
PAR VALUE	SOLD 01-05-01			
ACQUIRED	07-13-00			
##UNITED MEXICAN STS MTN 9 875% DUE 02-01-2010 BEQ US91086QAD07 DO NOT USE SEE INT# 4-880412	SOLD 01-05-01	37,800 00	36,872.50	927.50
PAR VALUE	SOLD 01-05-01			
ACQUIRED	12-05-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	SOLD 01-08-01	276,855 44	267,148 44	9,707 03
PAR VALUE	SOLD 01-08-01			
ACQUIRED	10-04-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	SOLD 01-08-01	55,416 02	53,429 68	1,986 34
PAR VALUE	SOLD 01-08-01			
ACQUIRED	10-04-00			
PAR VALUE	SOLD 01-08-01	110,832 03	107,039 06	3,792.97
PAR VALUE	SOLD 01-08-01			
ACQUIRED	10-04-00			

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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 01-08-01	249,572 07	241,681 64	7,690 43
ACQUIRED	10-16-00			
##UNITED MEXICAN STS MEDIUM TERM NTS BOOK ENTRY TR 00006 8 375% DUE 01-14-2011 DO NOT USE SEE INT 4871335	SOLD 01-08-01	14,719 50	14,718 00	1 50
ACQUIRED	01-08-01			
PVTPL GUANGDONG ENTERPRISES HLDGS LTD SRNT 144A 8 875% DUE 05-22-2007 BEO DEFAULT	SOLD 01-09-01	221,125 00	214,828 12	6,296 88
ACQUIRED	10-16-00			
PAR VALUE:	SOLD 01-09-01	200,000 00		
ACQUIRED	10-16-00			
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO	SOLD 01-09-01	150,000 00		
ACQUIRED	10-13-00			
PAR VALUE:	SOLD 01-09-01	167,718 75	159,281 25	8,437 50
ACQUIRED	10-13-00			
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 01-08-01	11,608 00		
ACQUIRED	10-16-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	SOLD 01-08-01	11,608 00		
ACQUIRED	10-16-00			
PAR VALUE	SOLD 01-08-01	15,000 00		
ACQUIRED	01-08-01			

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	01-09-01	26,440 43	26,316 41	124 02
ACQUIRED	01-04-01			
PAR VALUE	01-09-01	26,440 43	26,433 59	6 84
ACQUIRED	01-05-01			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	01-09-01	82,851 56	80,560 55	2,291 01
PAR VALUE	01-09-01			
ACQUIRED	10-16-00			
DAIMLER CHRYSLER NORTH AMER HLDG CORP GTD NT 7 4% DUE 01-20-2005 BEQ	01-10-01	55,042 97	53,707 03	1,335 94
PAR VALUE	01-10-01			
ACQUIRED	10-16-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	01-10-01	100,691 00	99,926 00	765 00
PAR VALUE	01-10-01			
ACQUIRED	01-12-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	01-11-01	412,470 70	402,802 73	9,667.97
PAR VALUE	01-11-01			
ACQUIRED	10-16-00			
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ				

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE, 150,000 00	SOLD 01-11-01 ACQUIRED 10-13-00	166,606 50	159,281 25	7,325 25
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO				
PAR VALUE 200,000 00	SOLD 01-11-01 ACQUIRED 10-13-00	220,156 25	212,375 00	7,781 25
FORD CR AUTO OWNER TR 2000-A ASSET BACKED NT CL A-5 7 19% DUE 03-15-2004 BEO				
PAR VALUE, 900,000 00	SOLD 07-11-01 ACQUIRED 03-15-00	930,199 22	899,924 15	30,275 07
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE 75,000 00	SOLD 01-12-01 ACQUIRED 10-16-00	82,195 31	80,560 55	1,634 76
R & B FALCON CORP SR NT SER B 6 95% DUE 04-15-2008 BEO				
PAR VALUE 150,000 00	SOLD 01-12-01 ACQUIRED 12-12-00	145,875 00	138,492 80	7,382 20
R & B FALCON CORP SR NT SER B 6 5% DUE 04-15-2003 BEO				

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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 75,000 00	SOLD 01-12-01	79,062 50	72,118.76	1,943 74
ACQUIRED 12-12-00				
TEMPREC INDS INC GTD SR NT 8 625% DUE 06-30-2009/06-30-2004 BEG				
PAR VALUE: 30,000 00	SOLD 01-12-01	30,450.00	29,207.16	1,242 84
ACQUIRED 07-12-00				
PAR VALUE: 50,000 00	SOLD 01-12-01	50,750 00	48,164 01	2,585 99
ACQUIRED 10-11-00				
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE 50,000 00	SOLD 01-16-01	54,824 22	53,789 06	1,035 16
ACQUIRED 10-19-00				
PAR VALUE 250,000 00	SOLD 01-16-01	274,121 09	269,101 56	5,019 53
ACQUIRED 10-20-00				
PAR VALUE 550,000 00	SOLD 01-16-01	603,066 41	591,808 59	11,257 82
ACQUIRED 10-23-00				
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE 175,000 00	SOLD 01-16-01	181,712 89	181,644 53	68.36
ACQUIRED 01-12-01				
FHLMC GOLD C43700 7 0 10-01-2030				



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 01-16-01	614 09	599 70	14 39
	ACQUIRED 09-27-00			
PVTPL RUSSIAN FEDN US\$ BD 144A B 25% DUE 03-31-2010 BEO				
PAR VALUE:	SOLD 01-17-01	39,975 00	42,220 42	2,245 42-
	ACQUIRED 09-07-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE	SOLD 01-18-01	55,117 19	53,800 78	1,316 41
	ACQUIRED 10-23-00			
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE	SOLD 01-18-01	164,941 41	161,402 34	3,539 07
	ACQUIRED 10-23-00			
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2050 BEO				
PAR VALUE	SOLD 01-18-01	250,593 75	238,921 87	11,671 88
	ACQUIRED 10-13-00			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO. 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO	SOLD 01-19-01 ACQUIRED 10-23-00	165,046 88	161,402 34	3,644 54
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 01-19-01 ACQUIRED 01-19-01	1,105,312 50	1,061,875 00	43,437 50
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 01-19-01 ACQUIRED 01-19-01	208,656 25	209,109.57	453 12-
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 01-19-01 ACQUIRED 01-19-01	78,199 22	78,416 02	216 80-
UNITED STATES TREAS NTS DTD 08/15/2000 8.5% DUE 01-18-2031 BEO	SOLD 01-19-01 ACQUIRED 01-19-01	234,738 28	235,248 05	509 77-

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 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 50,000.00	SOLD 01-19-01 ACQUIRED 01-11-01	50,095.00	49,608.50	486.50
FEDERAL HOME LN MTG CORP DISC NT DUE 01-22-2001				
PAR VALUE: 2,550,000.00	MATURED 01-22-01 ACQUIRED 01-10-01	2,545,504.20	2,545,504.20	0.00
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE: 75,000.00	SOLD 01-23-01 ACQUIRED 10-23-00	82,236.33	80,701.17	1,535.16
GLOBAL CROSSING HLDGS LTD SR NT 9 625% DUE 05-15-2008/05-15-2003 BE0				
PAR VALUE, 125,000.00	SOLD 01-23-01 ACQUIRED 08-17-00	127,546.25	124,375.00	3,171.25
PYPL VERIZON GLOBAL FDG CORP				
PAR VALUE 325,000.00	SOLD 01-24-01 ACQUIRED 12-07-00	337,723.75	324,376.00	13,347.75
BUCKEYE TECHNOLOGIES INC SR SUB NT 8 0% DUE 10-15-2010/10-15-2003 BE0				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE . 25,000 00	SOLD 01-24-01	23,750 00	22,511.19	1,238 81
ACQUIRED 01-05-01				
UNITED STATES TREAS NTS DTD 08/15/2000				
5 75% DUE 08-15-2010 REG				
PAR VALUE 125,000 00	SOLD 01-25-01	129,257 81	129,082 03	175 78
ACQUIRED 01-24-01				
FNMA POOL # 547078 8 5% DUE 07-01-2030				
REG				
PAR VALUE 730 26	SOLD 01-25-01	730 26	733 57	3 31-
ACQUIRED 05-25-00				
FNMA POOL # 539188 8 5% DUE 07-01-2030				
REG				
PAR VALUE 322 41	SOLD 01-25-01	322 41	323 87	1 46-
ACQUIRED 05-25-00				
FNMA POOL # 528561 8 5% DUE 05-01-2030				
REG				
PAR VALUE 587 97	SOLD 01-25-01	587 97	590 63	2.66-
ACQUIRED 05-25-00				
FNMA POOL # 537997 8 5% DUE 05-01-2030				
REG				

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SURDNA FOUNDATION - STANDISH, AYER  
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01298 ACCOUNT NO 26-00351  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	14 68	14 68	14 75	0 07-
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	01-25-01 ACQUIRED	14 68		
PAR VALUE:	150,000 00			
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	01-25-01 ACQUIRED	164,636 72	161,402 34	3,234.38
PAR VALUE:	25,000.00			
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	01-25-01 ACQUIRED	25,945 31	25,837 89	107 42
PAR VALUE	175,000 00			
ARGENTINA REP NT ISIN US040114BE93 11 75% DUE 04-07-2009 BE0	01-25-01 ACQUIRED	181,617 19	180,714 85	902 34
PAR VALUE	180,000 00			
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	01-25-01 ACQUIRED	175,500 00	173,060 85	2,439.15
FEDERAL HOME LN BK DISC NT DUE 01-26-2001	01-26-01 ACQUIRED	2,338,667 76	2,338,667 76	0 00
PAR VALUE:	2,350,000 00			
UNITED STATES TREAS NTS DTD 00880 5.125% DUE 12-31-2002 REG	01-26-01 ACQUIRED	2,338,667 76		

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 100,000 00	SOLD 01-26-01 ACQUIRED 01-11-01	100,628 91	100,703 13	74.22-
BRITISH TELECOMMUNICATIONS P L C NT 7 625% DUE 12-15-2005 BEQ				
PAR VALUE 350,000 00	SOLD 01-26-01 ACQUIRED 12-05-00	358,711 50	349,436 50	9,275 00
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE 100,000 00	SOLD 01-29-01 ACQUIRED 01-26-01	103,308 59	103,597 66	289 07-
BRITISH TELECOMMUNICATIONS P L C NT 7 625% DUE 12-15-2005 BEQ				
PAR VALUE: 50,000 00	SOLD 01-29-01 ACQUIRED 12-05-00	51,225 00	49,919 50	1,305 50
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE 125,000 00	SOLD 01-30-01 ACQUIRED 10-23-00	137,309 58	134,501 95	2,807 63
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS NTS 7 875% DUE	01-30-01 SOLD	137,329 22	139,501.95	2,822 27
UNITED STATES TREAS NTS 7 875% DUE	10-23-00 ACQUIRED			
BRITISH TELECOMMUNICATIONS P L C NT 8 375% DUE 12-15-2010 BEQ	01-30-01 SOLD	59,929 69	53,800 78	1,128.91
BRITISH TELECOMMUNICATIONS P L C NT	10-23-00 ACQUIRED			
UNITED STATES TREAS NTS 7 875% DUE	01-31-01 SOLD	362,645 50	362,645 50	0 00
UNITED STATES TREAS NTS 7 875% DUE	01-26-01 ACQUIRED			
UNITED STATES TREAS NTS 7 875% DUE	02-01-01 SOLD	331,218 75	322,809.69	8,419 06
UNITED STATES TREAS NTS 7 875% DUE	10-23-00 ACQUIRED			
UNITED STATES TREAS NTS 7 875% DUE	02-01-01 SOLD	303,729.61	295,909.31	7,820 30
UNITED STATES TREAS NTS 7 875% DUE	10-23-00 ACQUIRED			
UNITED STATES TREAS NTS 7 875% DUE	02-01-01 SOLD	110,445 31	107,167 97	3,277 34
UNITED STATES TREAS NTS 7 875% DUE	10-30-00 ACQUIRED			

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 02-01-01	165,650 39	160,751 95	4,898 44
ACQUIRED	10-30-00			
FNMMA POOL # 537997 B 5% DUE 05-01-2030 REG				
PAR VALUE	SOLD 02-01-01	0 02	0 02	0 00
ACQUIRED	05-25-00			
CAPITAL ONE MASTER TR SER 2000-1 CL A7 1% DUE 04-17-2006 BEO				
PAR VALUE	SOLD 02-02-01	929,953 13	896,124 35	33,828 78
ACQUIRED	02-23-00			
PVTPL GH WTR SUPPLY HLDGS LTD GTD NT 144A 0 01% DUE 01-01-2008 BEO				
PAR VALUE	SOLD 02-02-01	74,750 00	78,399.10	3,649 10-
ACQUIRED	06-08-00			
APP GLOBAL FIN III (CI) EUROCLEAR DUE 04-17-2002 BEO ISIN #XS0075591353				
PAR VALUE	SOLD 02-05-01	4,875 00	82,505 69	77,630 69-
ACQUIRED	02-24-00			
PVTPL GH WTR SUPPLY HLDGS LTD GTD NT 144A 0 01% DUE 01-01-2008 BEO				



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE; 128,294 40	SOLD 02-05-01	98,478 78	100,581 66	2,102.88-
	ACQUIRED 06-08-00			
PAR VALUE 57,073 60	SOLD 02-05-01	43,809 70	46,396 75	2,587.05-
	ACQUIRED 06-09-00			
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	SOLD 02-06-01	80,132 81	80,267 58	134 77-
	ACQUIRED 02-02-01			
AES CORP SR NT 9 375% DUE 09-15-2010 BEO	SOLD 02-06-01	103,823 00	100,000 00	3,823 00
	ACQUIRED 09-07-00			
U S WEST CAP FDG INC 6 25% DUE	SOLD 02-07-01	490,610 00	475,333 00	15,277 00
	ACQUIRED 07-21-00			
U S WEST COMMUNICATIONS INC NT 7 2% DUE	SOLD 02-07-01	101,563 00	98,988 45	2,574 55
	ACQUIRED 07-21-00			
UNITED STATES TREAS NTS 7.875% DUE	SOLD 02-07-01			
	ACQUIRED 07-21-00			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TRESAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	SOLD 02-08-01 ACQUIRED 10-30-00	411,621 09	401,879 88	9,741 21
CAPITAL ONE MASTER TR SER 2000-1 CL A7.1% DUE 04-17-2006 BEQ	SOLD 02-08-01 ACQUIRED 02-05-01	133,574 22	133,735 35	161 13-
PAR VALUE, 125,000 00	SOLD 02-08-01			
PAR VALUE, 50,000 00	SOLD 02-08-01	53,429 69	53,449 22	19 53-
ACQUIRED 02-05-01				
FORD CR AUTO OWNER TR 2000-G NT CL A-4 6 62% DUE 07-15-2004 BEQ	SOLD 02-09-01 ACQUIRED 02-23-00	206,687 50	199,138 75	7,548 75
PAR VALUE 200,000 00	SOLD 02-09-01			
PAR VALUE 800,000 00	SOLD 02-09-01	817,750 00	799,882 52	17,867.48
ACQUIRED 11-15-00				
UNITED STATES TRESAS NTS 7 875% DUE 11-15-2004 REG	SOLD 02-12-01 ACQUIRED 10-30-00	275,283 20	267,919 92	7,363 28
PAR VALUE 250,000 00	SOLD 02-12-01			
UNITED STATES TRESAS NTS 7 875% DUE 11-15-2004 REG	SOLD 02-12-01 ACQUIRED 10-30-00	275,283 20	267,919 92	7,363 28
PAR VALUE 250,000 00	SOLD 02-12-01			

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 275,000 00 SOLD 02-12-01 ACQUIRED 10-30-00 AMERICAN STD INC 7 375% SR NT DUE 02-01-2008 BEQ		302,811 52	294,711.91	8,099 61
PAR VALUE 50,000.00 SOLD 02-12-01 ACQUIRED 10-05-00 UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG		49,250 00	46,627 41	2,622 59
PAR VALUE 150,000 00 SOLD 02-13-01 ACQUIRED 10-30-00 UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG		165,058.59	160,751 95	4,306 64
PAR VALUE 125,000 00 SOLD 02-14-01 ACQUIRED 10-30-00 UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG		137,031 25	133,959 96	3,071 29
PAR VALUE 25,000.00 SOLD 02-14-01 ACQUIRED 10-30-00		27,406.25	26,782 23	624 02
PAR VALUE 25,000 00 SOLD 02-14-01 ACQUIRED 11-06-00		27,406 25	26,700 20	706 05
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				



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 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	02-15-01 SOLD	30,270 00	28,950 00	1,320 00
PAR VALUE	02-15-01 SOLD	35,315 00	33,705 00	1,610.00
PAR VALUE:	02-15-01 SOLD	20,180 00	19,500 00	880 00
PHLMC GOLD C93700 7 0 10-01-2030	02-15-01 SOLD	625 33	610 67	14 66
PAR VALUE:	02-15-01 SOLD	625 33	610 67	14 66
UNITED STATES TREAS BDS DTD 02/15/2000	02-15-01 SOLD	27,912.11	26,546 87	865 24
PAR VALUE	02-16-01 SOLD	27,912.11	26,546 87	865 24
USA WASTE SVCS INC 6 5% NT DUE	02-20-01 SOLD	174,202 00	165,421 55	8,780.45
PAR VALUE	02-20-01 SOLD	174,202 00	165,421 55	8,780.45
UNITED MEXICAN STS MEDIUM TERM NTS BOOK	02-20-01 SOLD	175,000 00	165,421 55	8,780.45
PAR VALUE	02-20-01 SOLD	175,000 00	165,421 55	8,780.45
TRANCHE # TR 00006 8 375% DUE 01-14-2011	05-17-00 ACQUIRED			
UNITED MEXICAN STS MEDIUM TERM NTS BOOK	05-17-00 ACQUIRED			
PAR VALUE	05-17-00 ACQUIRED			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	02-20-01 SOLD	112,294 05	111,747 99	546 06
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	01-16-01 ACQUIRED			
PAR VALUE	02-21-01 SOLD	125,000 00	125,000 00	
PAR VALUE	02-21-01 SOLD	109,863 28	106,781 25	3,082 03
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	11-07-00 ACQUIRED			
PAR VALUE	02-21-01 SOLD	137,329 10	133,896 48	3,432 62
PAR VALUE	02-21-01 SOLD	137,329 10	133,500 97	3,828 13
PAR VALUE	11-06-00 ACQUIRED			
PAR VALUE	02-21-01 SOLD	53,365 23	53,449 22	83 99-
PAR VALUE	02-21-01 SOLD	26,682 62	26,757 81	75 19-
USA WASTE SVCS INC 6 5% NT DUE 12-15-2002 BEG	02-05-01 ACQUIRED			
PAR VALUE	02-21-01 SOLD	24,884 25	23,642 74	1,241 51
PAR VALUE	02-21-01 SOLD	25,000 00	25,000 00	
PAR VALUE	05-17-00 ACQUIRED			

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	02-21-01	49,768 50	48,431 15	1,337 35
USA WASTE SVCS INC 6 5% NT DUE	06-30-00			
ACQUIRED	02-21-01	50,000 00		
PAR VALUE	02-21-01	99,537 00	95,809.24	3,727 76
TYCO INTL GROUP S A CB 6 375% DUE	02-21-01			
ACQUIRED	06-30-00			
PAR VALUE	02-21-01	250,220 00	249,185 00	1,035 00
UNITED STATES TREAS BDS DTD 02/15/2000	02-21-01			
ACQUIRED	02-15-01	250,000 00		
PAR VALUE	02-22-01	135,898 44	132,734 37	3,164 07
UNITED STATES TREAS NTS 6 75% 15/5/2005	02-22-01			
ACQUIRED	10-13-00	125,000 00		
PAR VALUE	02-22-01	53,376 95	53,515 63	138 68-
UNITED STATES TREAS NTS DTD 08/15/2000	02-22-01			
ACQUIRED	02-09-01	150,000 00		
PAR VALUE	02-22-01	160,130 86	160,669 92	539 06-
UNITED STATES TREAS NTS DTD 08/15/2000	02-22-01			
ACQUIRED	02-09-01	150,000 00		

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 75,000 00	SOLD 02-22-01	77,642 58	77,481 45	161.13
ACQUIRED 02-15-01				
DEUTSCHE TELEKOM INTL FIN B V GTD NT 8 25% DUE 06-15-2030 BEO				
PAR VALUE 200,000 00	SOLD 02-22-01	193,544 00	203,152 00	9,608 00-
ACQUIRED 09-08-00				
VIACOM INC NOTE 7 7% DUE 07-30-2010 BEO				
PAR VALUE 125,000 00	SOLD 02-22-01	132,725 00	133,846 25	1,121 25-
ACQUIRED 02-02-01				
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO				
PAR VALUE 25,000 00	SOLD 02-23-01	27,369 14	26,546 87	822 27
ACQUIRED 10-13-00				
FNMA POOL # 560387 7 0% DUE 01-01-2016 REG				
PAR VALUE 847,446 01	SOLD 02-26-01	861,217 01	857,575 64	3,641 37
ACQUIRED 12-22-00				
FNMA POOL # 560387 7 0% DUE 01-01-2016 REG				



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 7,661 96	SOLD 02-26-01 ACQUIRED 12-22-00	7,661 96	7,753 54	91 58-
BRITISH SKY BROADCASTING GROUP PLC NT 8 2% DUE 07-15-2009 BE0				
PAR VALUE: 350,000 00	SOLD 02-26-01	348,817 00	343,269 50	5,547 50
PAR VALUE: 425,000 00	SOLD 02-26-01 ACQUIRED 06-21-00	423,563 50	400,752 54	22,810 96
TRICON GLOBAL RESTAURANTS INC 7 45% DUE 05-15-2005 REG				
PAR VALUE: 250,000 00	SOLD 02-26-01 ACQUIRED 10-17-00	245,360 00	238,629 66	6,730 34
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE: 175,000 00	SOLD 02-27-01 ACQUIRED 11-21-00	193,477 53	187,817 38	5,660 15
PAR VALUE: 50,000 00	SOLD 02-27-01 ACQUIRED 12-01-00	55,279 30	53,951 17	1,328 13
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE, 325,000 00	SOLD 02-27-01 ACQUIRED 12-01-00	359,493 16	350,682 62	8,810 54
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG				
PAR VALUE 150,000 00	SOLD 02-27-01 ACQUIRED 11-14-00	165,779 30	160,675 78	5,103.52
PAR VALUE 450,000 00	SOLD 02-27-01 ACQUIRED 11-21-00	497,337 89	482,958 99	14,378 90
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ				
PAR VALUE 600,000 00	SOLD 02-27-01 ACQUIRED 10-13-00	662,835 94	637,125 00	25,710 94
FNMA 6.625% DUE 11-15-2010 REG				
PAR VALUE 550,000 00	SOLD 02-27-01 ACQUIRED 11-01-00	583,154 00	548,982 50	34,171.50
UNITED STATES TREAS NTS 6 625% DUE 04-30-2002 REG				
PAR VALUE 200,000 00	SOLD 02-28-01 ACQUIRED 02-02-01	204,484 38	204,312 50	171 88
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				

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SURDNA FOUNDATION - STANDISH, AYER  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	02-28-01 SOLD	300,000 00	323,707.03	8,308.60
PAR VALUE:	02-28-01 SOLD	175,000 00	189,013.67	4,662.11
ACQUIRED	12-01-00 ACQUIRED	193,675 78		
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG				
PAR VALUE	02-28-01 SOLD	325,000 00	348,118 16	1,091 80
PAR VALUE	02-28-01 SOLD	300,000 00	299,652.00	18,276 00
PAR VALUE	02-28-01 SOLD	100,000 00	100,313 00	5,663 00
PAR VALUE	02-28-01 SOLD	375,000 00	379,278 75	2,673 75

ENRON CORP 6 625% DUE 11-15-2005 REG  
 #FNMA TBA POOL #99999999 7 0% DUE  
 04-01-2014 REG

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE · 1,000,000.00	SOLD 03-01-01 ACQUIRED 03-01-01	1,018,359.38	1,018,359.38	0.00
##FAMA TBA POOL #99999999 7 0% DUE 04-01-2014 REG				
PAR VALUE 575,000.00	SOLD 03-01-01 ACQUIRED 03-01-01	585,556.64	585,556.64	0.00
UNITED STATES TREAS NTS 6.625% DUE 04-30-2002 REG				
PAR VALUE 700,000.00	SOLD 03-01-01 ACQUIRED 02-02-01	715,914.07	715,093.75	820.32
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE 300,000.00	SOLD 03-01-01 ACQUIRED 12-01-00	332,484.38	324,023.44	8,460.94
UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG				
PAR VALUE 400,000.00	SOLD 03-01-01 ACQUIRED 12-01-00	442,562.50	432,031.25	10,531.25
PAR VALUE · 100,000.00	SOLD 03-01-01 ACQUIRED 01-10-01	110,640.62	110,085.94	554.68

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 50,000 00	SOLD 03-01-01	55,320 32	54,804.69	515 63
	ACQUIRED 01-12-01			
PAR VALUE 600,000.00	SOLD 03-01-01	663,843 74	662,343 75	1,499.99
	ACQUIRED 01-18-01			
PAR VALUE 300,000 00	SOLD 03-01-01	331,921.88	330,585 94	1,335.94
	ACQUIRED 01-19-01			
UNITED STATES TREAS NTS DTD 00880 5 125X DUE 12-31-2002 REG				
PAR VALUE 425,000 00	SOLD 03-01-01	429,449 22	427,988.28	1,460 94
	ACQUIRED 01-11-01			
PVTPL VIACOM INC SR DEB 144A 7.875% DUE 07-30-2030 BEQ				
PAR VALUE 175,000 00	SOLD 03-02-01	190,911 00	183,279 25	7,631 75
	ACQUIRED 01-09-01			
UNITED STATES TREAS BDS DTD 02/15/2000 6.25% DUE 05-15-2030 BEQ				
PAR VALUE: 300,000 00	SOLD 03-05-01	332,437 50	318,562.50	13,875 00
	ACQUIRED 10-13-00			
UNITED STATES TREAS NTS 6.75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	03-05-01	241,541 02	241,004 89	536.13
SOLD	03-05-01			
PAR VALUE	03-05-01	53,675 78	53,548 83	126 95
SOLD	03-05-01			
ACQUIRED	02-26-01			
SIX FLAGS INC PFD INCOME EQUITY SHS PIERS	03-07-01	74,632 51	57,500 00	17,132 51
SHARES	03-07-01			
SOLD	03-07-01			
ACQUIRED	01-17-01			
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	03-07-01	55,345 70	55,097.66	248.04
PAR VALUE	03-07-01			
SOLD	03-07-01			
ACQUIRED	01-19-01			
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	03-07-01	107,660 16	107,398 44	261 72
PAR VALUE	03-07-01			
SOLD	03-07-01			
ACQUIRED	03-06-01			
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	03-08-01	323,121 09	322,195 31	925 78
PAR VALUE	03-08-01			
SOLD	03-08-01			
ACQUIRED	03-06-01			
FIRST SEC CORP DEL FIRST SECURITY CORP 5 875% DUE 11-01-2003 REG				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	03-08-01 SOLD	327,726 75	313,047 29	14,679.46
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	03-08-01 SOLD	161,425 78	161,097.66	328 12
BRAZIL FEDERATIVE REP GLOBAL BD 14 5% DUE 10-15-2009 BEO ISIN US105756AJ93 507310U	03-09-01 SOLD	131,100 00	128,685 00	2,415 00
PAR VALUE	03-09-01 SOLD	115,000 00	128,252 50	127,841 25
DEUTSCHE TELEKOM INTL FIN B V GTD NT 8 0% DUE 06-15-2010 BEO	03-14-01 SOLD	128,252 50	127,841 25	411 25
BRAZIL FEDERATIVE REP 12 25% DUE 03-06-2030 BEO US105756AL40 509787U	03-14-01 SOLD	130,900 00	120,067 74	10,832 26
PAR VALUE	03-14-01 SOLD	42,075 00	41,760 00	315 00
PAR VALUE	03-14-01 SOLD	45,000 00		
ACQUIRED	01-16-01 ACQUIRED			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG	SOLD 03-14-01 ACQUIRED 03-09-01	46,750 00	47,225 00	475 00-
PAR VALUE: 50,000 00				
FHLMC GOLD C43700 7.0 10-01-2030	SOLD 03-15-01 ACQUIRED 03-06-01	378,615 23	375,894 53	2,720.70
PAR VALUE: 350,000 00				
BRAZIL FEDERATIVE REP GLOBAL BD 14 5% DUE 10-15-2009 BE0 ISIN US105756AJ93 507310U	SOLD 03-16-01 ACQUIRED 09-27-00	624 75	610 11	14 64
PAR VALUE: 624 75				
GUANGDONG ASSETS MGMT LTD GTD NT 144A 5 0% DUE 01-01-2005 BE0	SOLD 03-16-01 ACQUIRED 01-16-01	82,875 00	83,925 00	1,050 00-
PAR VALUE: 75,000 00				
IMC GLOBAL INC 7 625% DUE 11-01-2005 BE0	SOLD 03-21-01 ACQUIRED 06-08-00	1,494 03	878.87	615.16
PAR VALUE: 1,494 03				



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	03-22-01	92,000 00	85,505 10	6,494 90
SOLD	03-22-01	100,000 00		
ACQUIRED	01-09-01			
STATION CASINOS INC SR SUB NT 9 875% DUE 07-01-2010/07-01-2005 BEO				
PAR VALUE	03-22-01	46,000 00	43,398 15	2,601 85
SOLD	03-22-01	50,000 00		
ACQUIRED	01-16-01			
PAR VALUE	03-22-01	103,250 00	99,606 00	3,644 00
SOLD	03-22-01	100,000 00		
ACQUIRED	06-29-00			
ARGENTINA REP 11 375% DUE 03-15-2010 BEO				
PAR VALUE	03-23-01	81,000 00	94,560 15	13,560 15-
SOLD	03-23-01	100,000 00		
ACQUIRED	01-25-01			
ARGENTINA REP 11.375% DUE 03-15-2010 BEO				
PAR VALUE	03-23-01	66,300 00	80,376 13	14,076 13-
SOLD	03-23-01	85,000 00		
ACQUIRED	01-25-01			
BRAZIL FEDERATIVE REP US\$ GLOBAL BD 10 25% DUE 01-11-2006 BEO				
PAR VALUE	03-23-01	161,500 00	168,895 00	7,395 00-
SOLD	03-23-01	170,000 00		
ACQUIRED	01-16-01			
PAR VALUE	03-23-01	42,750 00	45,202 50	2,452 50-
SOLD	03-23-01	45,000 00		
ACQUIRED	03-09-01			
RUSSIA FEDN EURO BD 5 0% DUE 03-31-2030BEO XS0114288789 512473U				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE, 220,000.00	SOLD 03-23-01 ACQUIRED 01-16-01	86,350 00	87,946.94	1,596 94-
AZATECH INC MINISTRY FIN RUSSIA EUROCLEAR ISIN # USX74344CZ79 11 75% DUE 06-10-2003 BE0	SOLD 03-23-01 ACQUIRED 01-16-01	130,950 00	129,745 15	1,204 85
##BRAZIL FEDERATIVE REP US\$ DENOMINATED GLOBAL BD 8 875% DUE 04-15-2024 BE0 D0 NOT USE SEE SECURITY #4-035843	SOLD 03-23-01 ACQUIRED 03-14-01	206,150 00	221,834.52	15,684 32-
RUSSIAN FEDERATION EURO 12 75% DUE 06-24-2028 BE0	SOLD 03-23-01 ACQUIRED 02-14-01	43,187 50	45,126 88	1,939 38-
IMC GLOBAL INC DEB 6 875% DUE 07-15-2007 BE0	SOLD 03-26-01 ACQUIRED 01-19-01	43,250 00	41,307 05	1,942 95
AMERICAN STD INC 7 375% SR NT DUE 02-01-2008 BE0				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 03-28-01	29,500 00	23,343 21	1,156 79
PAR VALUE	ACQUIRED 10-05-00			
PVTPL NORTHROP GRUMMAN CORP NT 144A 7 125% DUE 02-15-2011 BEQ				
PAR VALUE	SOLD 03-28-01	29,500 00	22,088 19	2,411 81
PAR VALUE	ACQUIRED 12-06-00			
ADELPHIA COMMUNICATIONS CORP 10 875% DUE 10-01-2010 BEQ				
PAR VALUE	SOLD 03-28-01	279,653 00	274,216 25	5,436 75
PAR VALUE	ACQUIRED 02-22-01			
MEXICO-UNITED MEXICAN STS BD 11 375% DUE09-15-2016 BEQ				
PAR VALUE	SOLD 03-29-01	79,500 00	74,432 25	5,067.75
PAR VALUE	ACQUIRED 09-15-00			
PAR VALUE	SOLD 04-02-01	34,950 00	35,295 00	345 00-
PAR VALUE	ACQUIRED 01-05-01			
PAR VALUE	SOLD 04-02-01	5,825 00	5,805 00	20 00
PAR VALUE	ACQUIRED 01-16-01			
PVTPL AT&T WIRELESS SVCS INC SR NT 144A 7 35% DUE 03-01-2006 BEQ				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 300,000 00	SOLD 04-03-01	304,425 00	299,883 00	4,542 00
MEXICO-UNITED MEXICAN STS BD 11 375% DUE09-15-2016 REG	ACQUIRED 03-01-01			
PAR VALUE 75,000 00	SOLD 04-04-01	88,440 00	87,075 00	1,365 00
UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG	ACQUIRED 01-16-01			
PAR VALUE 150,000 00	SOLD 04-05-01	166,675 78	165,292 96	1,382.82
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	ACQUIRED 01-19-01			
PAR VALUE 50,000 00	SOLD 04-05-01	54,085 94	53,724 61	361 33
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	ACQUIRED 03-07-01			
PAR VALUE 300,000 00	SOLD 04-05-01	315,515 64	319,664 06	4,148 42-
ACQUIRED 03-23-01				
PAR VALUE: 125,000.00	SOLD 04-05-01	131,464.85	133,002 93	1,538 08-
ACQUIRED 03-23-01				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG				
PAR VALUE	04-05-01 SOLD	157,757 82	157,710 94	46 88
	03-28-01 ACQUIRED			
PAR VALUE	04-05-01 SOLD	78,878 91	78,779 50	99 61
	03-29-01 ACQUIRED			
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG				
PAR VALUE	04-05-01 SOLD	27,025 39	26,862 30	163 09
	04-05-01 SOLD	250,000 00	269,833 98	419 93
	04-05-01 SOLD	270,253 91	269,833 98	419 93
	03-14-01 ACQUIRED			
PAR VALUE	04-05-01 SOLD	351,330 08	352,231 44	901 36-
	04-05-01 SOLD	108,101 56	108,593 75	492 19-
	03-22-01 ACQUIRED			
UNITED MEXICAN STATES BD 10 375% DUE 02-17-2009 BEQ ISIN #USS930488G58				
PAR VALUE	04-06-01 SOLD	25,435 55	25,175 78	259 77
	01-11-01 ACQUIRED			

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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE . 60,000 00	SOLD 04-09-01 ACQUIRED 02-15-01	66,900 00	66,180 00	720 00
PAR VALUE 15,000 00	SOLD 04-09-01 ACQUIRED 02-20-01	16,725 00	16,443 75	281 25
PANAMA REP 8 875% GLOBAL BD DUE 09-30-2027 BEQ	SOLD 04-09-01 ACQUIRED 12-04-00	39,870 00	37,472.31	2,397 69
INNOVA S DE R L SR EXCHANGE NT 12 875% DUE 04-01-2007/04-01-2002 REG	SOLD 04-10-01 ACQUIRED 06-29-00	43,250 00	49,325 65	6,075 65-
PAR VALUE . 50,000 00	SOLD 04-10-01 ACQUIRED 01-04-01	220,625 00	250,000 00	29,375 00-
MCLEODUSA INC SR NT 11 375% DUE 01-01-2009 BEQ	SOLD 04-11-01 ACQUIRED 01-04-01	66,937 50	75,000 00	8,062 50-
PAR VALUE: 75,000 00	SOLD 04-11-01 ACQUIRED 01-04-01			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 04-11-01	22,312 50	26,343 75	4,031 25-
METROMEDIA FIBER NETWORK INC SR NT 10 0% DUE 11-15-2008/11-15-2003 BEG PUT	ACQUIRED 01-30-01			
PAR VALUE	SOLD 04-11-01	36,500 00	48,701 90	12,201 90-
PAR VALUE	SOLD 04-11-01	18,250 00	22,468 47	4,218 47-
LEVEL 3 COMMUNICATIONS INC SR NT 9 125% DUE 05-01-2008/05-01-2003 BEG	ACQUIRED 10-31-00			
PAR VALUE	SOLD 04-12-01	47,250 00	59,011 22	11,761 22-
MEXICO-UNITED MEXICAN STS BD 11 375% DUE09-15-2016 BEG	ACQUIRED 11-08-00			
PAR VALUE	SOLD 04-16-01	41,230.00	40,635 00	595 00
FEDERAL NATL MTG ASSN DISC NT 04-16-2001	ACQUIRED 01-16-01			
PAR VALUE	SOLD 04-16-01	1,093,723 59	1,093,723.59	0 00
PAR VALUE	MATURED 04-16-01			
FHLMC GOLD C43700 7 0 10-01-2030	ACQUIRED 03-01-01			

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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 04-16-01	22,923 63	22,386 36	537 27
FEDERAL NATL MTG ASSN DISC NT 04-19-2001	ACQUIRED 09-27-00			
PAR VALUE	MATURED 04-19-01	1,590,202 67	1,590,202 67	0 00
FNMA POOL # 560387 7 0% DUE 01-01-2016 REG	ACQUIRED 03-01-01			
PAR VALUE:	SOLD 04-19-01	40,244 58	40,725 63	481.05-
KINDER MORGAN ENERGY PARTNERS L P KINDERMORGAN ENERGY NTS NCL 6 75% DUE 03-15-2011 BEQ	ACQUIRED 12-22-00			
PAR VALUE:	SOLD 04-19-01	147,883 50	149,557 50	1,674 00-
SCOTTS CO SR SUB NT 8 625% DUE 01-15-2009/01-15-2004 BEQ	ACQUIRED 03-07-01			
PAR VALUE:	SOLD 04-19-01	102,000 00	98,070 76	3,929.24
CMS ENERGY CORP SR NT 9.875% DUE 10-15-2007 BEQ	ACQUIRED 07-28-00			



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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 04-20-01	150,000 00	149,067.00	9,558 00
	ACQUIRED 10-06-00	158,625 00		
CLEAR CHANNEL COMMUNICATIONS INC SR NT 7 65% DUE 09-15-2010 BEQ				
PAR VALUE	SOLD 04-20-01	225,000 00	221,001.75	10,827 00
	ACQUIRED 10-04-00	231,828 75		
UNITED STATES TREAS NTS DTD 08/15/2000 DUE 12-31-2002 REG				
PAR VALUE	SOLD 04-23-01	200,000 00	201,406 25	1,750 00
	ACQUIRED 01-11-01	203,156 25		
BRAZIL C SER 20 YR 8 0% DUE 04-15-2014/04-15-1999 REG				
PAR VALUE	SOLD 04-23-01	307,852 50	240,420 79	16,458 10-
	ACQUIRED 04-09-01	223,962 69		
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE	SOLD 04-24-01	50,000 00	51,988.28	304 68-
	ACQUIRED 04-11-01	51,683 60		
PAR VALUE	SOLD 04-24-01	50,000.00	51,582 03	101 56
	ACQUIRED 04-19-01	51,683 59		
CLEAR CHANNEL COMMUNICATIONS INC SR NT 7 65% DUE 09-15-2010 BEQ				

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01298 ACCOUNT NO 26-00351 SURDNA FOUNDATION - STANDISH, AYER AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 200,000 00	SOLD 04-24-01 ACQUIRED 10-04-00	207,072 00	196,446 00	10,626.00
FNMA POOL # 560387 7 0% DUE 01-01-2016 REG				
PAR VALUE: 39,226 19	SOLD 04-25-01 ACQUIRED 12-22-00	39,226 19	39,695 07	468 88-
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG				
PAR VALUE 75,000 00	SOLD 04-25-01 ACQUIRED 03-23-01	80,507 81	81,360 35	852 54-
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BE0				
PAR VALUE 150,000 00	SOLD 04-26-01 ACQUIRED 10-13-00	159,714 84	159,281.25	433 59
NEXTLINK COMMUNICATIONS L C SR NT 12 5% DUE 04-15-2006/04-15-2001 REG				
PAR VALUE 75,000 00	SOLD 04-26-01 ACQUIRED 11-08-00	42,750 00	69,358 37	26,608 37-
WILLIAMS COMMUNICATIONS GROUP INC US\$ SR NT 8 25% DUE 08-01-2008 BE0				

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01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 04-26-01	32,250 00	75,000 00	42,750 00-
ACQUIRED	08-03-00			
PVTPL FUJI JGB INVT L L C FFD SEC SER A 144A 9 87% DUE 12-31-2049/03-16-1998 BEO				
PAR VALUE	SOLD 04-27-01	112,151 25	107,040 97	5,110 28
ACQUIRED	04-06-01			
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE	SOLD 04-27-01	51,363 28	51,582 03	218 75-
ACQUIRED	04-19-01			
PAR VALUE:	SOLD 04-27-01	25,681 64	25,673 83	7 81
ACQUIRED	04-19-01			
INTERNATIONAL PAPER CO NT DTD 06/07/2000 8 0% DUE 07-08-2003 BEO				
PAR VALUE:	SOLD 04-27-01	261,635 00	249,390 00	12,245 00
ACQUIRED	06-07-00			
CLEVELAND ELEC ILLUM CO / TOLEDO EDISON CO 7 67% DUE 07-01-2004 REG				
PAR VALUE	SOLD 04-30-01	251,435 00	226,701 00	4,734 00
ACQUIRED	08-08-00			
INTERNATIONAL PAPER CO NT DTD 06/07/2000 8 0% DUE 07-08-2003 BEO				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	04-30-01 SOLD	235,300 50	224,451 00	10,849 50
NORFOLK SOUTHWEST CORP NORFOLK SOUTHERN CORP NCL 8 375% DUE 05-15-2005 BEQ	06-07-00 ACQUIRED			
PAR VALUE	04-30-01 SOLD	50,000 00	50,000 00	0 00
WILLIAMS COMMUNICATIONS GROUP INC US\$ SR NT 8 25% DUE 08-01-2008 BEQ	04-30-01 SOLD	22,250 00	50,000 00	27,750 00-
FORD MTR CR CO GLOBAL LANDMARK SECS-GLOBL 6 7% DUE 07-16-2004 BEQ	04-30-01 SOLD	305,703 00	293,313 63	12,389 37
PAR VALUE:	04-30-01 SOLD	300,000 00	293,313 63	6,686 37
ACQUIRED	08-15-00			
PAR VALUE	04-30-01 SOLD	101,901 00	101,902 00	1 00-
ACQUIRED	03-08-01			
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	05-01-01 SOLD	187,386 72	189,840 82	2,454 10-
ACQUIRED	03-23-01			
PAR VALUE	05-01-01 SOLD	175,000 00	189,840 82	14,840 82-
DAIMLER CHRYSLER NORTH AMER HLDG CORP 8 5% DUE 01-18-2031 BEQ	05-01-01 SOLD			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 100,000 00 SOLD 05-02-01 ACQUIRED 01-11-01	104,706 00	99,217.00	5,489 00	
WILLIAMS COMMUNICATIONS GROUP INC USA SR NT 8 25% DUE 08-01-2008 BEG				
PAR VALUE 25,000 00 SOLD 05-02-01 ACQUIRED 08-03-00	19,125 00	25,000 00	10,875 00-	
FEDERAL HOME LN BK DISC NT DUE 05-02-2001				
PAR VALUE: 800,000 00 MATURED 05-02-01 ACQUIRED 01-31-01	789,646 22	789,646 22	0 00	
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE: 100,000 00 SOLD 05-03-01 ACQUIRED 04-19-01	103,421 87	102,695 31	726 56	
PAR VALUE 25,000 00 SOLD 05-03-01 ACQUIRED 04-20-01	25,855 47	25,701 17	154.30	
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE 200,000 00 SOLD 05-03-01 ACQUIRED 04-20-01	207,031 25	205,609 38	1,421 87	

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	05-03-01 SOLD	207,031 25	207,015 63	15 62
PAR VALUE	04-23-01 ACQUIRED			
UNITED STATES TREAS BDS DTD 02/15/2000				
6 25% DUE 05-15-2030 BEO				
PAR VALUE	05-03-01 SOLD	77,636.72	77,554 69	82 03
PAR VALUE	04-24-01 ACQUIRED			
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG				
PAR VALUE	05-03-01 SOLD	101,441 41	100,703 13	738.28
PAR VALUE	05-03-01 ACQUIRED			
MASCO CORP MASCO CORP NOTES 6 75% MAR 15 2006 6 75% DUE 03-15-2006 BEO				
PAR VALUE	05-07-01 SOLD	152,802 00	149,698 50	3,103 50
PAR VALUE	03-08-01 ACQUIRED			
GULF CDA RES LTD NT 7 125% DUE 01-15-2011 BEO				
PAR VALUE	05-08-01 SOLD	126,180 00	126,717 50	537 50-
PAR VALUE	01-08-01 ACQUIRED			
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 05-09-01 ACQUIRED 03-23-01	26,924.80	27,120.12	195.32-
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 05-09-01 ACQUIRED 04-24-01	129,731.45	129,257.81	473.64
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 05-09-01 ACQUIRED 04-27-01	207,578.31	205,304.69	2,265.62
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ	SOLD 05-09-01 ACQUIRED 04-30-01	25,946.29	25,545.90	400.39
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG	SOLD 05-09-01 ACQUIRED 10-13-00	319,828.13	318,562.50	1,265.63
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 05-09-01 ACQUIRED 04-03-01	296,033.20	297,708.98	1,675.78-

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	05-09-01 SOLD 06-05-01 ACQUIRED	25,921 75	25,781 25	140 50
PAR VALUE	05-09-01 SOLD 06-05-01 ACQUIRED	25,921 75	25,755 86	165 89
PAR VALUE:	05-09-01 SOLD 06-05-01 ACQUIRED	51,843 50	51,531 25	312 25
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ	05-10-01 SOLD 10-13-00 ACQUIRED	158,625 00	159,281 25	656 25-
PAR VALUE	05-10-01 SOLD 05-11-01 SOLD	150,000 00	159,281 25	656 25-
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	05-11-01 SOLD 03-23-01 ACQUIRED	26,658 20	27,120 12	461.92-
PAR VALUE:	05-11-01 SOLD 03-23-01 ACQUIRED	25,000 00	27,120 12	461.92-
UNITED STATES TREAS BDS WI TREASURY SEC GOVT NATIONAL 5 375% DUE 02-15-2031 BEQ	05-15-01 SOLD 05-15-01 ACQUIRED	745,625.00	747,875.00	2,250.00-
PAR VALUE:	05-15-01 SOLD 05-15-01 ACQUIRED	800,000 00	747,875.00	2,250.00-
FHLMC GOLD C43700 7 0 10-01-2030				



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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	05-15-01	551 40	538 48	12 92
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
PAR VALUE:	05-16-01	42,047 50	40,820 85	1,226.65
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	02-22-01			
PAR VALUE	05-16-01	42,178 00	40,820 85	1,357.15
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	02-22-01			
PAR VALUE	05-16-01	672,587 50	648,797 50	23,790 00
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	11-01-00			
PAR VALUE	05-16-01	106,414.06	108,191 41	1,777.35-
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	04-05-01			
PAR VALUE	05-17-01	159,621 10	160,646 49	1,025.39-
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	04-27-01			
PAR VALUE	05-17-01	100,000 00	100,000 00	
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	04-05-01			
PAR VALUE	05-17-01	150,000 00	150,000 00	
SPRINT CAP CORP SPRINT CAPITAL CORP				
6 875% DUE 11-15-2028 BEQ				
ACQUIRED	04-27-01			
RUSSIAN FEDERATION EURO 12 75% DUE				
06-24-2028 BEQ				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PTPL RESOLUTION PERFORMANCE PRODS LLC /RFP CAP CORP SR SUB NT 144A 13 5% DUE 11-15-2010 BEQ	SOLD 05-17-01 ACQUIRED 04-09-01	61,221 88	58,340 14	2,881 74
PAR VALUE: 65,000 00				
FNMA POOL # 560387 7 0% DUE 01-01-2016 REG	SOLD 05-18-01 ACQUIRED 11-08-00	108,875 00	98,661 00	10,214 00
PAR VALUE: 100,000 00				
FNMA POOL # 567512 7 0% DUE 01-01-2016 REG	SOLD 05-22-01 ACQUIRED 12-22-00	1,575,269 29	1,560,809 54	14,459 75
PAR VALUE 1,542,373 37				
FNMA POOL # 558065 7 0% DUE 01-01-2016 REG	SOLD 05-22-01 ACQUIRED 03-01-01	585,067 39	583,366 76	1,700 63
PAR VALUE 572,849 59				
WILLIAMS COMMUNICATIONS GROUP INC US\$ SR NT B 25% DUE 08-01-2008 BEQ	SOLD 05-22-01 ACQUIRED 03-01-01	995,640 86	992,746 79	2,894 07
PAR VALUE 974,849 16				

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
GEORGIA PAC CORP 8 125% DUE 05-15-2011 BEO	SOLD 05-22-01 ACQUIRED 08-03-00	26,500 00	50,000.00	23,500 00-
GEORGIA PAC CORP 8 875% DUE 05-15-2031 BEO	SOLD 05-22-01 ACQUIRED 05-03-01	252,930 00	248,610 00	4,320 00
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	SOLD 05-23-01 ACQUIRED 05-03-01	35,607 25	34,647.55	959 70
RUSSIAN FEDN EUROCLEAR ISIN XS00893720638.75% DUE 07-24-2005 BEO	SOLD 05-23-01 ACQUIRED 05-16-01	25,549 80	25,455 08	94 72
NEXTLINK COMMUNICATIONS L C SR NT 12 5% DUE 04-15-2006/04-15-2001 REG	SOLD 05-23-01 ACQUIRED 05-17-01	67,312 50	66,517 34	795 16

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE; 50,000 00 SOLD 05-23-01 ACQUIRED 11-08-00	05-23-01	26,500 00	46,282.31	19,782 31-
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG				
PAR VALUE 75,000 00 SOLD 05-23-01 ACQUIRED 04-27-01	05-23-01	79,869 14	80,323.24	454 10-
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG				
PAR VALUE 125,000 00 SOLD 05-23-01 ACQUIRED 04-30-01	05-23-01	133,115 23	133,652 34	537.11-
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG				
PAR VALUE. 175,000.00 SOLD 05-23-01 ACQUIRED 04-30-01	05-23-01	186,525 39	187,113 28	587 89-
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE 25,000 00 SOLD 05-23-01 ACQUIRED 05-16-01	05-23-01	25,542 97	25,455.08	87 89
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE; 100,000 00 SOLD 05-23-01 ACQUIRED 05-18-01	05-23-01	102,140 63	102,222.66	82 03-

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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 05-23-01	127,675 78	127,714 84	39 06-
PAR VALUE	ACQUIRED 05-22-01			
FNMMA POOL # 537806 8 0% DUE 05-01-2030 REG				
PAR VALUE:	SOLD 05-24-01	810,302.66	806,015.99	4,286 67
PAR VALUE:	ACQUIRED 03-01-01			
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG				
PAR VALUE	SOLD 05-24-01	50,722.66	50,351.56	371 10
PAR VALUE	ACQUIRED 01-11-01			
PAR VALUE:	SOLD 05-24-01	101,445 31	100,679 69	765 62
PAR VALUE:	ACQUIRED 02-09-01			
LUCENT TECHNOLOGIES INC DEB 6 45% DUE 03-15-2029 BE0				
PAR VALUE	SOLD 05-25-01	18,000 00	16,890 84	1,109 16
PAR VALUE	ACQUIRED 02-16-01			
FNMMA POOL # 563869 8 0% DUE 01-01-2031 REG				
PAR VALUE	SOLD 05-25-01	11,161 34	11,477 00	315 66-
PAR VALUE	ACQUIRED 03-01-01			
FNMMA POOL # 560387 7 0% DUE 01-01-2016 REG				

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE, FNMMA POOL # 567512 7 0% DUE 01-01-2016 REG	SOLD 05-25-01 ACQUIRED 12-22-00	73,047 89	73,921 04	873 15-
PAR VALUE, FNMMA POOL # 537806 8 0% DUE 05-01-2030 REG	SOLD 05-25-01 ACQUIRED 03-01-01	2,207 78	2,248 31	40 53-
PAR VALUE, FNMMA POOL # 537806 8 0% DUE 05-01-2030 REG	SOLD 05-25-01 ACQUIRED 03-01-01	25,249 99	25,713 56	463.57-
PAR VALUE, GEORGIA PAC CORP 8 125% DUE 05-15-2011 BEO	SOLD 05-25-01 ACQUIRED 03-01-01	83,176 65	85,528 99	2,352 34-
PAR VALUE, GUANGDONG ASSETS MGMT LTD GTD NT 144A BEO	SOLD 05-29-01 ACQUIRED 05-03-01	125,415 00	124,305 00	1,110 00

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
GEORGIA PAC CORP 8.875% DUE 05-15-2031 BEO	SOLD 05-29-01 ACQUIRED 06-08-00	747 02	577 25	169.77
GEORGIA PAC CORP 8.125% DUE 05-15-2011 BEO	SOLD 05-29-01 ACQUIRED 02-16-01	7,300 00	6,756.45	543.55
GEORGIA PAC CORP 8.875% DUE 05-15-2031 BEO	SOLD 05-30-01 ACQUIRED 05-03-01	125,846 25	124,305 00	1,541.25
PRESENIUS MED CARE CAP TR II LTD TR PFD SECS 7 875%	SOLD 05-30-01 ACQUIRED 05-03-01	25,371 00	24,748 25	622.75
LUCENT TECHNOLOGIES INC DEB 6.45% DUE 03-15-2029 BEO	SOLD 05-29-01 ACQUIRED 05-03-01	25,230 25	24,748 25	482 00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES:	SOLD 05-31-01 ACQUIRED 08-23-00	29,625 00	27,964.69	1,660 31
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BE0	SOLD 05-31-01 ACQUIRED 10-13-00	131,967 77	132,734 38	766 61-
UNITED STATES TREAS BDS DTD 02/15/2000 6.25% DUE 05-15-2030 BE0	SOLD 05-31-01 ACQUIRED 10-13-00	79,242 19	79,640 63	398 44-
INTERNATIONAL PAPER CO NT DTD 06/07/2000 8 0% DUE 07-08-2003 BE0	SOLD 05-31-01 ACQUIRED 10-13-00	235,545 75	235,773 00	227 25-
UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG	SOLD 06-01-01 ACQUIRED 04-30-01	186,757 81	187,113 28	355 47-
COMCAST CABLE COMMUNICATIONS INC COMCAST CABLE COMM 6 75% DUE 01-30-2011 BE0	SOLD 06-01-01 ACQUIRED 04-30-01	175,000 00		



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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 25,000 00	SOLD 06-01-01 ACQUIRED 01-12-01	24,645 50	24,574 75	70.75
COMCAST CABLE COMMUNICATIONS INC COMCAST CABLE COMM 6 75% DUE 01-30-2011 BE0				
PAR VALUE 25,000 00	SOLD 06-01-01 ACQUIRED 01-12-01	24,662 75	24,574 75	88 00
METROMEDIA FIBER NETWORK INC SR NT 10 0% DUE 11-15-2008/11-15-2003 BE0 PUT				
PAR VALUE 25,000 00	SOLD 06-01-01 ACQUIRED 10-31-00	13,687 50	22,496 60	8,809 10-
PAR VALUE 25,000 00	SOLD 06-01-01 ACQUIRED 11-06-00	13,687 50	21,892 73	8,205.23-
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE 100,000 00	SOLD 06-04-01 ACQUIRED 05-22-01	102,484 37	102,171 88	312 49
PAR VALUE 100,000 00	SOLD 06-04-01 ACQUIRED 05-29-01	102,484 38	101,343 75	1,140 63
PAR VALUE 80,000 00	SOLD 06-04-01 ACQUIRED 05-30-01	81,987 50	81,159 38	828 12
GULF CDA RES LTD NT 7 125% DUE 01-15-2011 BE0				

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SPRINT CAP CORP SPRINT CAPITAL CORP 6.875% DUE 11-15-2028 BEO	SOLD 06-04-01 ACQUIRED 01-08-01	103,645 00	101,374 00	2,271 00
PVTPL ARGOSY GAMING CO SR SUB NT DTD 02/08/2001 144A 10 75% DUE 06-01-2009/06-01-2004 BEO	SOLD 06-04-01 ACQUIRED 02-22-01	86,913 00	81,649 48	5,263 52
FORD MTR CO DEL GLOBAL LANDMARK SECS-GLOBL 7 45% DUE 07-16-2031 BEO	SOLD 06-05-01 ACQUIRED 02-01-01	27,156 25	26,500 00	656 25
COMCAST CABLE COMMUNICATIONS INC COMCAST CABLE COMM 6 75% DUE 01-30-2011 BEO	SOLD 06-05-01 ACQUIRED 12-13-00	123,581 25	118,918 75	4,662.50
PVTPL VERIZON GLOBAL FDG CORP	SOLD 06-05-01 ACQUIRED 01-12-01	24,835 75	24,574 75	261 00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 75,000 00 SOLD 06-05-01 ACQUIRED 12-07-00		77,938 50	79,856.00	3,082 50
FORD MTR CR CO NT 5 8% DUE 01-12-2009 BEO				
PAR VALUE: 125,000 00 SOLD 06-05-01 ACQUIRED 04-30-01		117,861 25	117,059 73	801 52
METROMEDIA FIBER NETWORK INC SR NT 10 % DUE 11-15-2008/11-15-2003 BEO PUT				
PAR VALUE: 75,000 00 SOLD 06-06-01 ACQUIRED 11-06-00		42,750 00	67,353 33	24,603 33-
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG				
PAR VALUE: 150,000 00 SOLD 06-07-01 ACQUIRED 02-09-01		152,337 89	151,019 53	1,318 36
ALESTRA S DE R L DE C V SR NT 12 625% DUE 05-15-2009/05-15-2004 BEO				
PAR VALUE: 15,000 00 SOLD 06-07-01 ACQUIRED 06-30-00		13,725 00	13,710.98	14 02
PAR VALUE: 30,000 00 SOLD 06-07-01 ACQUIRED 06-30-00		27,450 00	27,634 80	184 80-

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 AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 06-07-01	9,575 00	9,705.95	130 95-
ENRON CORP 6 95% DUE 07-15-2028 REG	ACQUIRED 07-05-00			
PAR VALUE	SOLD 06-07-01	213,633 00	205,406 67	8,226.33
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG	ACQUIRED 10-30-00			
PAR VALUE	SOLD 06-12-01	254,101 56	251,699 22	2,402 34
CANADIAN NATL RY CO 6 9% DUE 07-15-2028 BEO	ACQUIRED 02-09-01			
PAR VALUE	SOLD 06-13-01	216,290 25	194,686 93	21,603 32
PAR VALUE	ACQUIRED 09-20-00			
PAR VALUE	SOLD 06-13-01	96,129 00	92,626 28	3,502.72
CONSECO INC NT 8 75% DUE 02-09-2004/02-08-2004 BEO	ACQUIRED 12-07-00			
PAR VALUE	SOLD 06-13-01	47,750.00	44,320 71	3,429 29
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO	ACQUIRED 01-24-01			

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SURDNA FOUNDATION - STANDISH, AYER  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO. 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 06-14-01	53,757.81	53,093.75	664.06
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG	ACQUIRED 10-13-00			
PAR VALUE	SOLD 06-14-01	305,179.69	302,039.06	3,140.63
ROYAL KPN NV FORMERLY ROYAL PTT NEDERLAND NV TO 07/06/1998 8 0% DUE 10-01-2010 BEQ	ACQUIRED 02-09-01			
PAR VALUE	SOLD 06-14-01	610,359.38	604,171.87	6,187.51
AZATECH INC MINISTRY FIN RUSSIA EUROCLEAR ISIN # USX74344CZ79 11 75% DUE 06-10-2003 BEQ	ACQUIRED 01-18-01			
PAR VALUE	SOLD 06-14-01	72,442.50	75,327.00	2,884.50-
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ	ACQUIRED 05-23-01			
PAR VALUE	SOLD 06-14-01	62,062.50	61,275.00	787.50

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351

SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 06-15-01	188,125 00	185,828 13	2,296 87
ACQUIRED	10-13-00			
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG				
PAR VALUE	SOLD 06-15-01	1,424,281 25	1,409,734 37	14,546 88
ACQUIRED	02-09-01			
PAR VALUE	SOLD 06-15-01	610,406 25	606,046 88	4,359 37
ACQUIRED	03-06-01			
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG				
PAR VALUE	SOLD 06-15-01	20,774 22	20,289 84	484 38
ACQUIRED	05-30-01			
PAR VALUE	SOLD 06-15-01	31,161 33	30,639 84	521 49
ACQUIRED	05-31-01			
PAR VALUE	SOLD 06-15-01	25,967 77	25,626 95	340 82
ACQUIRED	06-01-01			
PAR VALUE	SOLD 06-15-01	25,967 77	25,626 95	340 83
ACQUIRED	06-01-01			
PAR VALUE	SOLD 06-15-01	25,967 77	25,676 76	291 01
ACQUIRED	06-04-01			

ALESTRA S DE R L DE C V SR NT 12 625%  
 DUE 05-15-2009/05-15-2004 BEO

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SURDNA FOUNDATION - STANDISH, AYER  
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01298 ACCOUNT NO 26-00351  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	06-15-01 SOLD	25,062 50	23,531 90	469 40-
PAR VALUE	06-15-01 ACQUIRED	25,062 50		
INNOVA S DE R L SR EXCHANGE NT 12 875X DUE 04-01-2007/04-01-2002 REG	06-15-01 SOLD	32,287.50	33,006.72	719 22-
PAR VALUE	06-15-01 ACQUIRED			
PAR VALUE	06-15-01 SOLD	9,375 00	9,342 52	32 48
PAR VALUE	06-15-01 ACQUIRED			
PAR VALUE	06-15-01 SOLD	23,437 50	23,270 02	167 48
PAR VALUE	06-15-01 ACQUIRED			
LIBERTY MEDIA CORP 7.875% DUE 07-15-2009	06-15-01 SOLD	23,437 50	23,554 98	117 48-
PAR VALUE	06-15-01 ACQUIRED			
PAR VALUE	06-15-01 SOLD	199,876 00	198,946 00	930.00
PAR VALUE	06-15-01 ACQUIRED			
FNMA SINGLE FAMILY MORTGAGE 6 5% 15 YEARS SETTLES JULY	06-15-01 SOLD	2,296,328 13	2,296,328 13	0 00
PAR VALUE	06-15-01 ACQUIRED			
FHLMC GOLD C43700 7 0 10-01-2030	06-15-01 SOLD			

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 06-15-01	50,259 78	49,081.82	1,177 96
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ	ACQUIRED 09-27-00			
PAR VALUE; 175,000 00	SOLD 06-18-01	187,181 64	185,828 13	1,353 51
EXDUS COMMUNICATIONS INC 11 625% DUE 07-15-2010 BEQ	ACQUIRED 10-13-00			
PAR VALUE 100,000 00	SOLD 06-18-01	51,000 00	100,000 00	49,000 00-
LEVEL 3 COMMUNICATIONS INC SR NT 11 0% DUE 03-15-2008 BEQ PUT	ACQUIRED 06-28-00			
PAR VALUE, 25,000.00	SOLD 06-18-01	11,625 00	16,864 25	5,239.25-
MCLEODUSA INC SR NT 11 375% DUE 01-01-2009 BEQ	ACQUIRED 04-12-01			
PAR VALUE 25,000 00	SOLD 06-18-01	15,000 00	26,343 75	11,343 75-
MCLEODUSA INC SR NT 11 375% DUE 01-01-2009 BEQ	ACQUIRED 01-30-01			



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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 06-18-01	29,875 00	52,687 50	22,812 50-
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG	ACQUIRED 01-30-01			
PAR VALUE	SOLD 06-19-01	76,371 09	75,755 86	615 23
BUCKEYE CELLULOSE CORP 8 5% SR SUB NT DUE 12-15-2005/00	ACQUIRED 03-06-01			
PAR VALUE	SOLD 06-19-01	65,731 25	62,170,76	3,560 49
PAR VALUE	SOLD 06-19-01	60,675 00	58,193 40	2,481 60
COX COMMUNICATIONS INC MEDIUM TERM NTS BOOK ENTRY 6 95% DUE 01-15-2028	ACQUIRED 01-10-01			
PAR VALUE	SOLD 06-19-01	226,742 50	231,137 64	4,395 14-
UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG	ACQUIRED 01-11-01			
PAR VALUE	SOLD 06-20-01	98,536 52	98,195 12	341 40
PAR VALUE	SOLD 06-20-01			
95,000 00	ACQUIRED 06-18-01			

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE.	06-20-01 SOLD	25,930 66	25,878 91	51 75
	06-18-01 ACQUIRED			
PAR VALUE	06-20-01 SOLD	31,116 80	31,054 69	62.11
	06-18-01 ACQUIRED			
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG	06-20-01 SOLD	80,607 42	80,191 41	416 01
	04-30-01 ACQUIRED			
UNITED STATES TREAS NTS & 75% 15/5/2005 USD1000 & 75% DUE 05-15-2005 REG	06-20-01 SOLD	161,261 72	160,382 81	878 91
	04-30-01 ACQUIRED			
UNITED STATES TREAS NTS DTD 08/15/2000 5.75% DUE 08-15-2010 REG	06-20-01 SOLD	77,777 34	77,030 27	747 07
	06-04-01 ACQUIRED			
PAR VALUE	06-20-01 SOLD	129,628 91	128,964 84	664 07
	06-05-01 ACQUIRED			
BRITISH SKY BROADCASTING GROUP PLC NT 8 2% DUE 07-15-2009 BE0				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA 6.0% DUE 12-15-2005BEO	06-20-01 SOLD 06-21-00 ACQUIRED	264,777 40	250,272 45	14,504.95
PAR VALUE: 265,000 00				
MCLEODUSA INC SR NT 9 5% DUE 11-01-2008/11-01-2003 BEO	06-20-01 SOLD 05-01-01 ACQUIRED	206,308 00	205,132 00	1,176.00
PAR VALUE: 200,000 00				
MCLEODUSA INC SR NT 9 5% DUE 11-01-2008/11-01-2003 BEO	06-20-01 SOLD 12-21-00 ACQUIRED	37,000 00	74,622.22	37,622.22-
PAR VALUE: 80,000 00				
MCLEODUSA INC SR NT 9 5% DUE 11-01-2008/11-01-2003 BEO	06-20-01 SOLD 12-21-00 ACQUIRED	9,000 00	18,655 56	9,655 56-
PAR VALUE: 20,000 00				
SEP 01 U.S. T-BONDS		825 00		825.00
SEP 01 U S T-BONDS		99 00-		99 00-
SEP 01 10 YR T-NOTES		204 00-		204 00-
SEP 01 10 YR T-NOTES		1,274 86-		1,274 86-

UNITED STATES TRSAS NTS DTD 08/15/2000  
 5 75% DUE 08-15-2010 REG

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351  
 AAB

SS870

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 25,000.00	SOLD 06-21-01 ACQUIRED 06-05-01	25,964.84	25,765.63	199.21
PAR VALUE: 25,000.00	SOLD 06-21-01 ACQUIRED 06-07-01	25,964.85	25,703.13	261.72
PAR VALUE: 70,000.00	SOLD 06-21-01 ACQUIRED 06-14-01	72,701.56	72,493.75	207.81
PAR VALUE: 175,000.00	SOLD 06-21-01 ACQUIRED 06-15-01	181,753.91	181,446.29	307.62
PAR VALUE: 75,000.00	SOLD 06-21-01 ACQUIRED 06-15-01	77,894.53	77,900.39	5.86-
PAR VALUE: 5,000.00	SOLD 06-21-01 ACQUIRED 06-18-01	5,192.97	5,168.16	24.81
SEP 01 U S T-BONDS		3,687.50		3,687.50
SEP 01 U S T-BONDS		21.00-		21.00-
SEP 01 10 YR T-NOTES		1,275.14-		1,275.14-
UNITED STATES TREAS BDS DTD 02/15/2000				
6 25% DUE 05-15-2030 BEQ				
PAR VALUE: 150,000.00	SOLD 06-22-01 ACQUIRED 10-13-00	162,591.80	159,281.25	3,310.55
UNITED STATES TREAS NTS DTD 00880 5 125%				
UNITED STATES TREAS NTS DTD 00880 5 125%				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	06-22-01	331,131 84	328,275.39	2,856 45
SOLD	06-22-01	331,131 84		
ACQUIRED	03-06-01			
PAR VALUE	06-22-01	534,905 27	531,993 16	2,912 11
SOLD	06-22-01	534,905 27		
ACQUIRED	05-22-01			
FORD MTR CR CO NT 5 8% DUE 01-12-2009 BEO				
PAR VALUE	06-22-01	210,813 75	210,787 41	26 34
SOLD	06-22-01	210,813 75		
ACQUIRED	04-30-01			
SEP 01 U S T-BONDS				
PAR VALUE	06-22-01	14,000 00	14,000 00	00 00
SOLD	06-22-01	14,000 00		
ACQUIRED	04-30-01			
SEP 01 10 YR T-NOTES				
PAR VALUE	06-25-01	7,902 64	8,126 14	223 50-
SOLD	06-25-01	7,902 64		
ACQUIRED	03-01-01			
FNMA POOL # 563869 8 0% DUE 01-01-2031 REG				
PAR VALUE	06-25-01	93,280 99	95,919 09	2,638.10-
SOLD	06-25-01	93,280 99		
ACQUIRED	03-01-01			
SEP 01 10 YR T-NOTES				
PAR VALUE	06-25-01	2,550 00	2,550 00	00 00
SOLD	06-25-01	2,550 00		
ACQUIRED	03-01-01			
SEP 01 U S T-BONDS				
PAR VALUE	06-25-01	3,000 00-	3,000 00-	00 00-
SOLD	06-25-01	3,000 00-		
ACQUIRED	03-01-01			
FEDERAL HOME LN MTG CORP DISC NT DUE 06-26-2001				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST	GAIN/LOSS
PAR VALUE 900,000 00	06-26-01 MATURED	899,322 75	899,322 75	0 00
CONCENTRIC NETWORK CORP DEL 12 75% DUE 12-15-2007/12-15-2002 REG	06-14-01 ACQUIRED			
PAR VALUE 75,000 00	06-26-01 SOLD	22,500 00	66,849 22	44,349,22-
CALPINE CORP 8 5% DUE 02-15-2011 BE0 FNMMA 6 625% DUE 11-15-2010 REG	12-13-00 ACQUIRED			
PAR VALUE 150,000 00	06-26-01 SOLD	144,865 50	149,652,00	4,786 50-
ACQUIRED 02-08-01				
PAR VALUE 850,000 00	06-26-01 SOLD	891,522 50	848,427 50	43,095.00
EXDUS COMMUNICATIONS INC 11.625% DUE 07-15-2010 BE0	11-01-00 ACQUIRED			
PAR VALUE 125,000 00	06-26-01 SOLD	43,437 50	86,448 91	43,011 41-
ACQUIRED 06-05-01				
EXDUS COMMUNICATIONS INC 11.625% DUE 07-15-2010 BE0				
PAR VALUE 50,000 00	06-26-01 SOLD	17,250 00	34,460.64	17,210 64-
ACQUIRED 06-05-01				
SEP 01 10 YR T-NOTES		14,874 86		14,874 86
SEP 01 U S T-BONDS		12,000 00-		12,000 00-
SIX FLAGS INC PFD INCOME EQUITY SHS PIERS				

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO 26-00351

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES; CONCENTRIC NETWORK CORP DEL 12.75% DUE 12-15-2007/12-15-2002 REG	SOLD 06-27-01 ACQUIRED 01-17-01	137,255 41	117,500 00	19,735 41
PAR VALUE; 4,700 00				
PAR VALUE; 75,000 00	SOLD 06-27-01 ACQUIRED 12-13-00	22,500 00	66,863.08	44,363 08-
PAR VALUE; 125,000 00	SOLD 06-27-01 ACQUIRED 01-03-01	37,500 00	106,860 08	69,360 08-
PAR VALUE; 50,000 00	SOLD 06-27-01 ACQUIRED 04-12-01	22,375 00	33,738 43	11,363 43-
PVTPL GT GROUP TELECOM IN WTS 144AISIN US3623591019				
RIGHTS; ANHEUSER BUSCH COS INC 7 55% DUE 10-01-2030 BE0	SOLD 06-28-01 ACQUIRED 09-14-00	1,700 00	0 00	1,700 00
PAR VALUE; 68 00				
PAR VALUE; 175,000.00	SOLD 06-28-01 ACQUIRED 10-03-00	192,377 50	175,477 75	16,899.75
TIME WARNER ENTMT CO L P 8 375% DUE 03-15-2023 REG				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SEP 01 U S T-BONDS	06-28-01 SOLD	136,211 25	136,982 50	771 25-
SEP 01 U S T-BONDS	01-12-01 ACQUIRED			
SEP 01 10 YR T-NOTES		3,000 00		3,000 00
SEP 01 10 YR T-NOTES		3,825 14		3,825 14
TRANSOCEAN SEDCO FOREX INC 7 5% DUE 04-15-2031 BEQ				
PAR VALUE:	06-29-01 SOLD	100,669 00	99,535 00	1,134.00
	05-29-01 ACQUIRED			
RAYTHEON CO NT 8.3% DUE 03-01-2010 BEQ				
PAR VALUE	06-29-01 SOLD	207,932 00	207,116 00	816 00
	09-12-00 ACQUIRED			
PAR VALUE	06-29-01 SOLD	181,940 50	185,192 00	3,251 50-
	12-01-00 ACQUIRED			
SEP 01 10 YR T-NOTES		19,124 86		19,124 86
SEP 01 U S T-BONDS		12,500 00-		12,500 00-
SEP 01 10 YR T-NOTES		13,600 00		13,600.00
SEP 01 U S T-BONDS		13,500 00-		13,500 00-
UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEQ				



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01298 ACCOUNT NO 26-00351 AAB

SURDNA FOUNDATION - STANDISH, AYER AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	BASIS COST	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE: 200,000 00	SOLD 06-29-01 ACQUIRED 10-13-00	212,734 38	212,375.00	359 38	
UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG					
PAR VALUE: 1,000,000 00	SOLD 06-29-01 ACQUIRED 05-22-01	1,014,296 88	1,013,320 31	976 57	
UNITED STATES TREAS NTS DTD 00885 4 25% DUE 03-31-2003 BEQ					
PAR VALUE 225,000 00	SOLD 06-29-01 ACQUIRED 05-31-01	225,096 68	225,184 57	87 89-	
<b>SUB-TOTAL</b>		144,024,269 96	143,064,797 14	959,472 82	
<b>TOTAL SHORT TERM CAPITAL GAIN/LOSS-</b>		144,024,269 96	143,064,797 14	959,472 82	

LONG TERM CAPITAL GAINS AND LOSSES  
 CRESCENT REAL ESTATE EQUITIES LTD  
 PARTNERSHIP NT 7 5% DUE 09-15-2007 BEQ

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 SURDNA FOUNDATION - STANDISH, AYER AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

PAR VALUE. 350,000 00 SOLD 07-13-00 275,233 00 349,366 50 74,133 50- 0.00  
 INTERPOOL CAP TR 9 875% DUE 09-19-97 ACQUIRED

PAR VALUE: 200,000 00 SOLD 07-18-00 146,000 00 200,000 00 54,000 00- 0.00  
 GS ESCROW CORP SR NT 7 125% DUE 01-22-97 ACQUIRED

PAR VALUE: 150,000 00 SOLD 07-25-00 135,582 00 149,434 50 13,852 50- 0.00  
 PAR VALUE: 300,000 00 SOLD 07-25-00 271,164 00 298,572 00 27,408 00- 0.00  
 FNMMA POOL # 323348 8 5% DUE 06-01-2012 08-10-98 ACQUIRED

PAR VALUE: 13,842 64 SOLD 07-25-00 13,842 64 14,396 35 553 71- 0.00  
 RESOLUTION TR CORP MTG SECS INC SER 11-12-98 ACQUIRED  
 94-C2 CL G 8 0% COML PASS THRU CTF DUE

PAR VALUE 1,298 31 SOLD 07-26-00 1,298 31 1,186 89 111 42 0.00  
 INTERPOOL CAP TR 9 875% DUE 10-17-96 ACQUIRED

02-15-2027/11-26-1997 REG

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

36,250 00-

0.00

2,168 64-

0 00

756 51

0.00

6,798.75-

0.00

14,078 75-

DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 ACQUIRED

PAR VALUE, 125,000.00  
 SOLD 07-26-00  
 ACQUIRED 01-22-97

FDIC REMIC TR SER 1994-C1 CL II-C COM1  
 REG  
 MTG PASSTRHU B 45X CTF DUE 09-25-2025

PAR VALUE 37,511.67  
 SOLD 08-01-00  
 ACQUIRED 11-15-95

PVPL GE CAP MTG SVCS INC REMIC MC  
 PASSTRHU CTF SER 94-1 144A CLB3 6 5X  
 DUE01-25-2024 REG

PAR VALUE, 5,308 86  
 SOLD 08-16-00  
 ACQUIRED 12-17-97

BEAR STEARNS COS INC BEAR STEARNS CO INC  
 6.15X DUE 03-02-2004 BEO

PAR VALUE. 175,000 00  
 SOLD 08-23-00  
 ACQUIRED 02-24-99

FORT JAMES CORP 6.875% DUE 09-15-2007  
 REG

PAR VALUE: 175,000 00  
 SOLD 08-24-00  
 ACQUIRED 05-12-99

FNMA POOL # 323348 B 5X DUE 06-01-2012  
 REG

164,337 25

174,258 00

4,552.35

39,680 31

125,000 00

SALES  
 PROCEEDS

88,750 00

37,511 67

5,308.86

167,459 25

164,337 25

COST  
 BASIS

125,000 00

39,680 31

4,552.35

174,258 00

178,416.00

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 REG	08-25-00 ACQUIRED 11-12-98	10,190 67	10,598 30	407 63-	0 00
PAR VALUE		10,190 67			
RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL 8 0% COML PASS THRU CTF DUE 4-25-2025 REG	08-25-00 ACQUIRED 11-15-95	37,157 40	39,305 56	2,148.16-	0 00
PAR VALUE		37,157 40			
PVTPL GE CAP MTG SVCS INC REMIC MC PASSTHRU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG	08-28-00 ACQUIRED 10-17-96	1,382 20	1,263 58	118 62	0 00
PAR VALUE		1,382 20			
PVTPL GOLDMAN SACHS GROUP L P NT 144A 7 25% DUE 10-01-2005 REG	09-01-00 ACQUIRED 12-17-97	3,512 14	3,011 66	500 48	0 00
PAR VALUE		3,512 14			
GROVE HLDGS LLC / GROVE HLDGS CAP INC SR DISC DEB STEP UP DUE 05-01-2009 05-01-2003 BE0	09-07-00 ACQUIRED 02-10-99	248,355.00	265,297 50	16,942.50-	0 00
PAR VALUE		250,000 00			

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
FNMA POOL # 323348 8 5% DUE 06-01-2012 REG	SOLD 09-18-00 ACQUIRED 12-04-98	531 25	227,523 86	226,992 61-	0 00
FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 REG	SOLD 09-25-00 ACQUIRED 11-12-98	11,603 79	12,067.94	464 15-	0 00
RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG	SOLD 09-25-00 ACQUIRED 11-15-95	22,980 39	24,308 94	1,328 55-	0 00
PYPL GE CAP MTG SVCS INC REMIC MC PASSTHRU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG	SOLD 09-26-00 ACQUIRED 10-17-96	1,395 61	1,275 84	119.77	0 00
UNITED STATES TREAS BDS 8.125% DUE 05-15-2021 REG	SOLD 09-29-00 ACQUIRED 12-17-97	3,612 30	3,097 55	514 75	0.00

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO. 26-00351  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
10-03-00 SOLD 10-01-99 ACQUIRED	214,094.73	206,500.00	7,594.73	0.00	PAR VALUE: 175,000.00 AMERICAN FINL GROUP INC OHIO 7.125% DUE 04-15-2009 BEO
10-04-00 SOLD 04-15-99 ACQUIRED	227,560.80	262,058.50	34,497.70-	0.00	PAR VALUE: 265,000.00 FORD JAMES CORP 6 875% DUE 09-15-2007 REG
10-05-00 SOLD 05-12-99 ACQUIRED	46,866.50	50,976.00	4,109.50-	0.00	PAR VALUE: 50,000.00 FORD JAMES CORP 6 875% DUE 09-15-2007 REG
10-06-00 SOLD 05-12-99 ACQUIRED	46,592.00	50,976.00	4,384.00-	0.00	PAR VALUE 50,000.00 UNITED STATES TREAS BDS 8.125% DUE 05-15-2021 REG
10-13-00 SOLD 10-01-99 ACQUIRED	4,130,980.00	3,923,500.00	207,480.00	0.00	PAR VALUE: 3,325,000.00 UNITED STATES TREAS BDS 11 25% DUE 02-15-2015 REG

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 AND WOOD

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
10-20-00 SOLD 05-20-99 ACQUIRED	566,762 69	571,091 25	4,328 56-	0.00	PAR VALUE: 375,000.00 FNMA POOL # 323348 8 5% DUE 06-01-2012 REG
10-25-00 SOLD 11-12-98 ACQUIRED	14,011 66	14,572 13	560 47-	0.00	PAR VALUE: 14,011 66 FDIC REMIC TR SER 1994-C1 CL I1-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 REG
10-25-00 SOLD 11-15-95 ACQUIRED	28,827 13	30,493 70	1,666.57-	0.00	PAR VALUE: 28,827 13 RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG
10-27-00 SOLD 10-17-96 ACQUIRED	1,431 40	1,308.56	122 84	0.00	PAR VALUE 1,431 40 MFO STANDISH AYER & WOOD INVT TR INTL FIXED INCOME FD
10-27-00 SOLD 09-30-97 ACQUIRED	119,036 60	133,268 68	14,232 08-	0.00	UNITS 5,452 89

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 AND WOOD

01298 ACCOUNT NO 26-00351  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

0 00

0 00

0 00

0 00

0.00

DESCRIPTION OF PROPERTY  
 ACQUIRED

DATE SOLD/ 10-27-00 SOLD 64,874 48 UNITS

ACQUIRED 12-29-97

1,416,210 07

1,481,733 02

65,522 95-

10-27-00 SOLD 6,266 62 UNITS

ACQUIRED 03-27-98

136,800 33

144,633 56

7,833 23-

PYPL GE CAP MTG SVCS INC REMIC MC  
 PASSTRU CTF SER 94-1 144A CLB3 6 5%  
 DUE01-25-2024 REG

10-31-00 SOLD 3,558 21 PAR VALUE

ACQUIRED 12-17-97

3,558 21

3,051 17

507 04

NEXLINK COMMUNICATIONS INC SR DISC NT  
 STEP UP DUE 06-01-2009 BEO 0X TIL  
 06-01-2004 12 25% THEREAFTER

11-08-00 SOLD 250,000 00 PAR VALUE

ACQUIRED 05-25-99

122,500 00

166,764 75

44,264.75-

UNITED TECHNOLOGIES CORP NOTES 6 625%  
 DUE 11-15-2004 BEO

11-14-00 SOLD 300,000 00 PAR VALUE

ACQUIRED 11-04-99

298,107 00

299,604 00

1,497 00-

TYCO INTL GROUP S A GTD NT 6 875% DUE  
 09-05-2002 BEO



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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351  
 SSB70 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS  
 1,282 50

COST  
 BASIS  
 347,961 50

SALES  
 PROCEEDS  
 349,244 00

DATE SOLD/  
 ACQUIRED  
 SOLD 11-14-00 350,000 00  
 ACQUIRED 10-22-99

ENRON CORP 6.45% DUE 11-15-2001 REG

0 00

0 00

273,638 75

273,638 75

PAR VALUE  
 SOLD 11-21-00 275,000 00  
 ACQUIRED 08-30-99

FNMA POOL # 323548 8 5% DUE 06-01-2012  
 REG

0 00

522 26-

13,578 78

13,056 52

PAR VALUE  
 SOLD 11-27-00 13,056 52  
 ACQUIRED 11-12-98

FDC REMIC TR SER 1994-C1 CL 11-C COML  
 MTG PASSTHRU 8.45% CTF DUE 09-25-2025  
 REG

0 00

1,161.04-

21,243 86

20,082 82

PAR VALUE  
 SOLD 11-27-00 20,082.82  
 ACQUIRED 11-15-95

RESOLUTION TR CORP MTG SECS INC SER  
 94-C2 CL G 8 0% COML PASS THRU CTF DUE  
 4-25-2025 REG

0 00

124 44

1,325 52

1,449 96

PAR VALUE  
 SOLD 11-28-00 1,449 96  
 ACQUIRED 10-17-96

APP FIN IX LTD SR NT FLTG RATE DUE  
 10-04-2001 BED

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 SS870 AAB  
 SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
11-30-00 ACQUIRED 04-26-99	49,500 00	90,042 32	40,542 32-	0 00	PAR VALUE 100,000 00 PVTPL GE CAP MTG SVCS INC REMIC MC PASSTRHU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG
11-30-00 ACQUIRED 12-17-97	5,059 99	4,338 94	721.05	0 00	PAR VALUE 5,059 99 NEXTLINK COMMUNICATIONS INC SR DISC NT 10 75% DUE 11-15-2008/11-15-2003 BEO
12-13-00 ACQUIRED 11-04-98	126,187 50	150,000 00	23,812 50-	0 00	PAR VALUE 150,000 00 CRESCENT REAL ESTATE EQUITIES LTD PARTNERSHIP NT 7.5% DUE 09-15-2007 BEO
12-13-00 ACQUIRED 09-19-97	306,033 00	349,366.50	43,333.50-	0.00	PAR VALUE 350,000 00 CRESCENT REAL ESTATE EQUITIES LTD PARTNERSHIP 7 00% NT DUE 09-15-2002 BEO
12-20-00 ACQUIRED 12-15-98	47,705 50	47,538 50	167 00	0 00	PAR VALUE 50,000 00 MCLEODUSA INC SR NT 8 125% DUE 02-15-2009/02-15-2004 BEO PUT

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
12-21-00 ACQUIRED 02-12-99	172,500 00	200,000.00	27,500 00-	0.00
FNMMA POOL # 323348 8 5% DUE 06-01-2012 REG				
12-26-00 ACQUIRED 11-12-98	8,432 77	8,770 08	337 31-	0.00
FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSHRU 8.45% CTF DUE 09-25-2025 REG				
12-26-00 ACQUIRED 11-15-95	23,363 87	24,714 59	1,350 72-	0 00
MCLEODUSA INC SR NT 8 125% DUE 02-15-2009/02-15-2004 BED PUT				
12-27-00 ACQUIRED 02-12-99	86,000 00	100,000 00	14,000.00-	0 00
RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG				
01-03-01 SOLD 10-17-96	1,458 41	1,333 25	125 16	0 00
NEXTLINK COMMUNICATIONS INC SR DISC NT 10.75% DUE 11-15-2008/11-15-2003 BED				

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SURDNA FOUNDATION - STANDISH, AYER  
 AND WOOD

01298 ACCOUNT NO 26-00351  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MCLEODUSA INC SR NT 8 125% DUE 02-15-2009/02-15-2004 BEO PUT	01-03-01 ACQUIRED 11-04-98	100,000 00	125,000 00	25,000 00-	0 00
PVTPL GE CAP MTG SVCS INC REMIC MC PASSIRRU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG	01-05-01 SOLD 01-05-01	3,693 31	3,167 01	526 30	0 00
NEXLINK COMMUNICATIONS INC SR DISC NT 10 75% DUE 11-15-2008/11-15-2003 BEO	01-05-01 SOLD 01-05-01	84,500 00	100,000 00	15,500 00-	0 00
CONSECO FING TR II 8 7% DUE 11-15-2026 REG	01-10-01 SOLD 01-10-01	12,875 00	25,238.75	12,363.75-	0.00
VIACOM INC 7 75% DUE 06-01-2005 REG	01-10-01 SOLD 01-10-01	38,625 00	76,458 00	37,833.00-	0 00

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SURDNA FOUNDATION - STANDISH, AYER AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
TIME WARNER INC DEB DTD 11/23/1998 6.625% DUE 05-15-2029 BEO	SOLD 01-11-01 ACQUIRED 02-19-99	236,810 25	242,966 25	6,156 00-	0 00
CONSECO FING TR II 8 7% DUE 11-15-2026 REG	SOLD 01-12-01 ACQUIRED 12-10-99	114,097 50	110,696 55	3,400 95	0 00
RSL COMMUNICATIONS PLC SR DISC NT - STEP UP CPN TO 10 125% STEP UP DUE 03-01-2008 03-01-2003 REG	SOLD 01-17-01 ACQUIRED 09-01-98	53,750 00	101,944 00	48,194 00-	0 00
PAR VALUE 100,000 00	SOLD 01-17-01 ACQUIRED 10-07-99	2,000 00	69,765.72	67,765 72-	0 00
PAR VALUE 125,000.00	SOLD 01-17-01 ACQUIRED 10-14-99	2,500 00	72,575 20	70,075.20-	0 00
PVTPL ARG FDC CORP 1999-1 RENTAL CAR ASSET BKD NT 144A CL 6.02% DUE 05-20-2006 BEO					

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01298 ACCOUNT NO. 26-00351  
 AAB

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
01-18-01 ACQUIRED 02-19-99	678,770 50	674,802 23	3,968 27	0 00	CONSECO FING TR II B 7% DUE 11-15-2026 REG
01-19-01 ACQUIRED 09-01-98	81,937 50	152,916 00	70,978.50-	0 00	PAR VALUE: 150,000.00 TIME WARNER INC DEB DTD 11/23/1998 6 625% DUE 05-15-2029 BEO
01-19-01 ACQUIRED 12-10-99	116,476 25	110,699 52	5,776 73	0 00	PAR VALUE: 125,000.00 GLOBAL CROSSING HLDGS LTD SR NT 9 625% DUE 05-15-2008/05-15-2003 BEO
01-23-01 ACQUIRED 05-13-98	280,601.75	272,841.25	7,760 50	0 00	PAR VALUE 275,000 00 CONSECO FING TR II B 7% DUE 11-15-2026 REG
01-24-01 ACQUIRED 09-01-98	73,437 50	127,430 00	53,992 50-	0 00	PAR VALUE: 125,000 00 FNMA POOL # 323348 B 5% DUE 06-01-2012 REG

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
01-25-01 ACQUIRED 11-12-98	10,210 82	10,619 25	408 43-	0 00	PAR VALUE: 10,210 82 SOLD 01-25-01 ACQUIRED 11-12-98 FDIC REMIC TR SER 1994-C1 CL 11-C COML MTG PASS THRU 8 45% CTF DUE 09-25-2025 REG
01-25-01 ACQUIRED 11-15-95	33,785 65	35,738.88	1,953.23-	0.00	PAR VALUE: 33,785 65 SOLD 01-25-01 ACQUIRED 11-15-95 WELLS FARGO & CO NEW NT 6 625% DUE 07-15-2004 BE0
01-25-01 ACQUIRED 12-08-99	229,880.25	222,774 75	7,105 50	0.00	PAR VALUE: 225,000 00 SOLD 01-25-01 ACQUIRED 12-08-99 RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL 6 B 0% COML PASS THRU CTF DUE 4-25-2025 REG
01-26-01 ACQUIRED 10-17-96	1,517.13	1,386 93	130 20	0 00	PAR VALUE: 1,517.13 SOLD 01-26-01 ACQUIRED 10-17-96 IMPERIAL CAP TR I 9 98% DUE 12-31-2026/08-01-1997 REG
01-26-01 ACQUIRED 04-18-97	128,906 25	122,122 50	6,783 75	0 00	PAR VALUE: 125,000 00 SOLD 01-26-01 ACQUIRED 04-18-97 MCLEODUSA INC SR NT B 125% DUE 02-15-2009/02-15-2004 BE0 PUT

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE 100,000 00	SOLD 01-30-01 ACQUIRED 02-12-99	92,000 00	100,000 00	8,000.00-	0 00
PVTPL GE CAP MTG SVCS INC REMIC MC PASSHRU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG					
PAR VALUE 3,902 20	SOLD 02-01-01 ACQUIRED 12-17-97	3,902 20	3,346 14	556.06	0.00
VIACOM INC 7 75% DUE 06-01-2005 REG					
PAR VALUE 125,000 00	SOLD 02-02-01 ACQUIRED 02-19-99	132,358 75	134,981.25	2,622 50-	0.00
EXTENDICARE HEALTH SVCS INC 9 35% DUE 12-15-2007/12-15-2002 REG					
PAR VALUE 125,000 00	SOLD 02-05-01 ACQUIRED 03-11-98	101,250 00	129,843 75	28,593 75-	0 00
APP FIN IX LTD SR NT FLTG RATE DUE 10-04-2001 BE0					
PAR VALUE 50,000 00	SOLD 02-05-01 ACQUIRED 04-26-99	5,375.00	46,008.88	40,633 88-	0 00
PAR VALUE 200,000 00	SOLD 02-05-01 ACQUIRED 04-27-99	21,500 00	183,828.81	162,328 81-	0.00
PVTPL GE CAP MTG SVCS INC REMIC MC PASSHRU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG					



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01298 ACCOUNT NO 26-00351 SURDNA FOUNDATION - STANDISH, AYER AND WOOD  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
02-09-01 ACQUIRED 12-17-97	797,794 09	772,564 20	25,229 89	0 00	PAR VALUE . 894,513 34 SOLD 02-09-01
02-09-01 ACQUIRED 12-17-97	3,493.09	3,582.53	110.56	0 00	PAR VALUE 3,916 57 SOLD 02-09-01 ACQUIRED 12-17-97
02-15-01 ACQUIRED 01-24-97	103,250 00	177,432.50	74,182.50-	0.00	ADVANTA CAP TR I CAP SECS SER B 8 99% DUE 12-17-2026/08-01-1997 REG PAR VALUE 175,000 00 SOLD 02-15-01 ACQUIRED 01-24-97
02-23-01 ACQUIRED 10-26-99	256,190 00	247,470.90	8,719 10	0 00	METROMEDIA FIBER NETWORK INC SR NT 10 0% DUE 12-15-2009/12-15-2004 BEO PAR VALUE 275,000 00 SOLD 02-23-01 ACQUIRED 10-26-99
02-26-01 ACQUIRED 11-12-98	10,680.88	11,108.11	427.23-	0.00	UNION PLANTERS 6 5% SUB NT DUE 03-15-2018/08 PAR VALUE . 10,680.88 SOLD 02-26-01 ACQUIRED 11-12-98
					FDMA POOL # 323348 8 5% DUE 06-01-2012 REG FDIC REMIC TR SER 1994-C1 CL 11-C COML MTG PASSSTRU 8.45% CTF DUE 09-25-2025

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
02-26-01 SOLD 11-15-95 ACQUIRED	46,368 15	49,048 81	2,680 66-	0 00	PAR VALUE PVTPL GE CAP MTG SVCS INC REMIC MC PASSTRHU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG
02-26-01 SOLD 12-17-97 ACQUIRED	0 10	0 09	0 01	0.00	PAR VALUE CALIFORNIA FED FPD CAP CORP EXCHANGEABLE SHARES, ADVANTA CAP TR I CAP SECS SER B 8 99% DUE 12-17-2026/08-01-1997 REG
02-26-01 SOLD 01-24-97 ACQUIRED	89,625 00	152,085 00	62,460 00-	0 00	PAR VALUE: BRITISH SKY BROADCASTING GROUP PLC NT 8 2% DUE 07-15-2009 BEO
02-26-01 SOLD 07-01-99 ACQUIRED	24,915 50	24,863 25	52.25	0 00	PAR VALUE: NEXTLINK COMMUNICATIONS INC SR DISC NT 10 75% DUE 11-15-2008/11-15-2003 BEO

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G B 0% COML PASS THRU CTF DUE 4-25-2025 REG	SOLD 02-26-01 ACQUIRED 11-04-98	173,000 00	200,000 00	27,000 00-	0 00
WELLS FARGO & CO NEW NT 6.625% DUE 07-15-2004 BEQ	SOLD 02-27-01 ACQUIRED 10-17-96	1,537 55	1,405 60	131 95	0 00
PVTPL GE CAP MTG SVCS INC REMIC MC PASSTHRU CTF SER 94-1 144A CLB3 6.5% DUE01-25-2024 REG	SOLD 02-28-01 ACQUIRED 12-08-99	309,255 00	297,033 00	12,222 00	0 00
CONSECO FINNG TR II B 7% DUE 11-15-2026 REG	SOLD 03-08-01 ACQUIRED 12-17-97	2,632 36	2,257 25	375.11	0 00
PVTPL MERITA BK LTD CAP SECS STEP-UP PERPETUAL 144A 7.15% DUE 12-29-2049/09-11-1997 REG	SOLD 03-13-01 ACQUIRED 09-01-98	90,687 50	76,458 00	35,770.50-	0 00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE  
 GAIN/LOSS

0.00

3,315.00  
 GAIN/LOSS

COST  
 BASIS

250,092.50

SALES  
 PROCEEDS

253,407.50

DATE SOLD/  
 ACQUIRED

SOLD 03-16-01  
 ACQUIRED 09-09-97

PAR VALUE: 250,000.00

ELECTRONIC DATA SYS CORP NT 6.85% DUE  
 10-15-2004 BEQ

PAR VALUE 350,000.00  
 SOLD 03-16-01  
 ACQUIRED 10-06-99

0.00

11,522.00

349,646.50

361,168.50

FNMA POOL # 323348 8.5% DUE 06-01-2012  
 REG

PAR VALUE: 9,789.78  
 SOLD 03-26-01  
 ACQUIRED 11-12-98

0.00

391.59-

10,181.37

9,789.78

FDIC REMIC TR SER 1994-C1 CL II-C COML  
 MTG PASS THRU 8.45% CTF DUE 09-25-2025  
 REG

PAR VALUE 28,853.20  
 SOLD 03-26-01  
 ACQUIRED 11-15-95

0.00

1,668.08-

30,521.28

28,853.20

METROMEDIA FIBER NETWORK INC SR NT 10.0%  
 DUE 12-15-2009/12-15-2004 BEQ

PAR VALUE: 100,000.00  
 SOLD 03-26-01  
 ACQUIRED 11-12-99

0.00

16,201.00-

99,201.00

83,000.00

RESOLUTION TR CORP MTG SECS INC SER  
 94-C2 CL G 8.0% COML PASS THRU CTF DUE  
 4-25-2025 REG

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-27-01 ACQUIRED 10-17-96	1,505 62	1,376 41	129.21	0 00	PAR VALUE 1,505 62 STAGECOACH HLDGS PLC STAGECOACH HOLDINGS PLC CORP NT 8 625X DUE 11-15-2009 BEQ
03-28-01 ACQUIRED 11-19-99	135,360.00	153,147.00	17,787 00-	0.00	PAR VALUE 150,000 00 PAR VALUE: 50,000 00 PX ESCROW CORP SR SUB DISC NT STEP UP DUE 02-01-2006 BEQ 0X TIL 02-01-2002 9 625X THEREAFTER
03-28-01 ACQUIRED 02-18-00	45,120 00	49,490 00	4,370 00-	0.00	PAR VALUE: 50,000 00 PAR VALUE: 50,000 00 ACQUIRED 05-28-98
04-05-01 ACQUIRED 12-03-98	117,812 50	125,202 48	7,389.98-	0 00	PAR VALUE 125,000 00 METROMEDIA FIBER NETWORK INC SR NT 10 0X DUE 12-15-2009/12-15-2004 BEQ
04-05-01 ACQUIRED 12-03-98	125,000 00	125,202 48	7,389.98-	0 00	PAR VALUE 125,000 00 COLT TELECOM GROUP PLC SR DISC NT STEP UP DUE 12-15-2006 REG RT=0X TIL 12-15-2001 12X THEREAFTER

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
COMCAST CABLE COMMUNICATIONS INC 8 875% DUE 05-01-2017 REG	SOLD 04-06-01 ACQUIRED 11-12-99	36,000.00	49,600 50	13,600 50-	0 00
FNMA POOL # 323548 8 5% DUE 06-01-2012 REG	SOLD 04-06-01 ACQUIRED 12-22-99	338,595 00	329,412 00	9,183 00	0 00
FDIC REMIC TR SER 1994-C1 CL 11-C COML MTG PASS THRU 8 45% CTF DUE 09-25-2025 REG	SOLD 04-25-01 ACQUIRED 11-12-98	16,548 03	17,209 95	661.92-	0 00
RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG	SOLD 04-25-01 ACQUIRED 11-15-95	26,501 74	28,033 87	1,532 13-	0 00
PVTPL FUJI JGB INVT L L C PFD SEC SER A 144A 9 87% DUE 12-31-2049/03-16-1998 BEO	SOLD 04-26-01 ACQUIRED 10-17-96	1,545.25	1,412.64	132.61	0 00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE. 25,000.00 SOLD 04-27-01 ACQUIRED 08-26-98 PVTPL FUJI JGB INVT L L C PFD SEC SER A 144A 9 87% DUE 12-31-2049/03-16-1998 BEO	04-27-01 08-26-98	22,430 25	16,750 99	5,679 26	0 00
PAR VALUE: 100,000 00 SOLD 04-27-01 ACQUIRED 08-26-98 COX ENTERPRISES INC NT 7 5% DUE 08-15-2004 BEO	04-27-01 08-26-98	89,721 00	67,003 94	22,717 06	0 00
PAR VALUE: 525,000 00 SOLD 04-30-01 ACQUIRED 08-10-99 TIME WARNER INC 7 75% DUE 06-15-2005 REG PUT	04-30-01 08-10-99	541,983 75	523,230 75	18,753.00	0 00
PAR VALUE: 275,000 00 SOLD 04-30-01 ACQUIRED 02-22-99 FIRST PALM BEACH BANCORP INC SER B 10 35% SR DEB DUE 06-30-2002 BEO	04-30-01 02-22-99	289,905 00	301,279 00	11,374 00-	0 00
PAR VALUE. 50,000 00 SOLD 05-03-01 ACQUIRED 06-26-97 BROWNING FERRIS INDS INC 6 1% DUE 01-15-2003 REG	05-03-01 06-26-97	52,625 00	50,000 00	2,625.00	0 00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE 175,000 00 SOLD 05-04-01 ACQUIRED 03-10-99 STAGECOACH HLDGS PLC STAGECOACH HOLDINGS PLC CORP NT 8 625% DUE 11-15-2009 REG		172,812 50	169,631 06	3,181 44	0 00
PAR VALUE 75,000.00 SOLD 05-16-01 ACQUIRED 02-18-00 FNMA POOL # 323348 8 5% DUE 06-01-2012 REG		67,589 25	74,235 00	6,645.75-	0.00
PAR VALUE 10,158 57 SOLD 05-25-01 ACQUIRED 11-12-98 FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSHRU 8 45% CTF DUE 09-25-2025 REG		10,158 57	10,564 91	406 34-	0 00
PAR VALUE 57,836 24 SOLD 05-25-01 ACQUIRED 11-15-95 RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG		57,836 24	61,179 90	3,343 66-	0 00
PAR VALUE 1,552 65 SOLD 05-29-01 ACQUIRED 10-17-96 CK WITCO CORP SR NT 8 5% DUE 03-15-2005 REG		1,552 65	1,419 40	133 25	0 00



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 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-05-01 SOLD 03-02-00 ACQUIRED	156,867 00	149,338 50	7,528 50	0 00	EXODUS COMMUNICATIONS INC SR NT 10 75% DUE 12-15-2009/12-15-2004 BEO PUT
06-05-01 SOLD 06-26-97 ACQUIRED	105,252 00	100,000 00	5,252.00	0 00	FIRST PALM BEACH BANCORP INC SER B 10.35% SR DEB DUE 06-30-2002 BEO
06-11-01 SOLD 03-02-00 ACQUIRED	15,694 50	14,933 85	760 65	0 00	CK MITCO CORP SR NT B 5% DUE 03-15-2005 BEO
06-11-01 SOLD 03-02-00 ACQUIRED	10,462.00	9,955 90	506 10	0.00	STAGECOACH HLDS PLC STAGECOACH HOLDINGS PLC CORP NT B 625% DUE 11-15-2009 BEO

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 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE, 45,000.00	SOLD 06-13-01 ACQUIRED 02-18-00	42,596 55	44,541 00	1,944 45-	0 00
LEVEL 3 COMMUNICATIONS INC SR NT 11 0% DUE 03-15-2008 BE0 PUT					
PAR VALUE 75,000 00	SOLD 06-18-01 ACQUIRED 02-24-00	33,000 00	75,000 00	42,000 00-	0 00
LEVEL 3 COMMUNICATIONS INC SR NT 11 0% DUE 03-15-2008 BE0 PUT					
PAR VALUE 75,000 00	SOLD 06-18-01 ACQUIRED 02-24-00	34,875 00	75,000 00	40,125 00-	0 00
LEVEL 3 COMMUNICATIONS INC SR NT 11 0% DUE 03-15-2008 BE0 PUT					
SEP 01 U S T-BONDS	20%	1,237 50		1,237 50	
SEP 01 U S T-BONDS	20%	148 50-		148 50-	
SEP 01 10 YR T-NOTES	20%	306 00-		306 00-	
SEP 01 10 YR T-NOTES	20%	1,912 30-		1,912 30-	

COLT TELECOM GROUP PLC SR DISC NT STEP  
 UP DUE 12-15-2006 REG RT=0% TIL  
 12-15-2001 12% THEREAFTER

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 AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PTPL FLORIDA WINDSTORM AASN SER 1999A SR SECN NT 144A 7 125% DUE 02-25-2019 BEO	SOLD 06-21-01 ACQUIRED 04-11-00	84,875 00	88,050 74	3,175.74-	0 00
PAR VALUE: 100,000 00					
PYPL FLORIDA WINDSTORM AASN SER 1999A SR SECN NT 144A 7 125% DUE 02-25-2019 BEO	SOLD 06-21-01 ACQUIRED 04-24-00	152,382 00	141,948 34	10,433 66	0 00
PAR VALUE 150,000 00					
SEP 01 U S T-BONDS	20%	5,531 25		5,531 25	
SEP 01 U.S T-BONDS	20%	31 50-		31.50-	
SEP 01 10 YR T-NOTES	20%	1,912 70-		1,912.70-	
CALIFORNIA FED FPD CAP CORP EXCHANGEABLE SHARES, 2,000.00	SOLD 06-22-01 ACQUIRED 09-25-97	50,018 33	52,750 00	2,731.67-	0.00
SEP 01 U S T-BONDS	20%	21,000 00		21,000 00	
SEP 01 10 YR T-NOTES	20%	21,037 30-		21,037 30-	
FNMA POOL # 323348 8 5% DUE 06-01-2012 REG					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
FDIC REMIC TR SER 1994-C1 CL 11-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 REG	SOLD 06-25-01 ACQUIRED 11-12-98	12,676.15	13,183.20	507.05-	0.00
PVTPL MERITA BK LTD CAP SECS STEP-UP PERPETUAL 144A 7 15% DUE 12-29-2049/09-11-1997 REG	SOLD 06-25-01 ACQUIRED 11-15-95	57,285.32	60,597.13	3,311.81-	0.00
PAR VALUE: 250,000.00	SOLD 06-25-01 ACQUIRED 09-09-97	256,070.00	250,092.50	5,977.50	0.00
SEP 01 10 YR T-NOTES	20%	3,825.00		3,825.00	
SEP 01 U S T-BONDS	20%	4,500.00-		4,500.00-	
RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8.0% COML PASS THRU CTF DUE 4-25-2025 REG	PAR VALUE 1,542.99	SOLD 06-26-01 ACQUIRED 10-17-96	1,542.99	1,410.57	132.42
SEP 01 10 YR T-NOTES	20%	22,312.30		22,312.30	
SEP 01 U S T-BONDS	20%	18,000.00-		18,000.00-	
PVTPL FLORIDA WINDSTORM AASN SER 1999A SR SECN NT 144A 7 125% DUE 02-25-2019 BEC					

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
GT GROUP TELECOM INC SR DISC EXCHANGE RT=0% TIL 02-01-2005 13 25% THEREAFTER NSTEP UP DUE 02-01-2010/02-01-2005 BEO	06-27-01 SOLD 04-24-00 ACQUIRED	406,352 00	378,537 72	27,814 28	0.00
TIME WARNER INC DEB DTD 11/23/1998 6 625% DUE 05-15-2029 BEO	06-28-01 SOLD 02-04-00 ACQUIRED	12,750 00	30,161 06	17,411.06-	0 00
PAR VALUE 50,000.00					
SEP 01 10 YR T-NOTES	06-28-01 SOLD 12-10-99 ACQUIRED	44,814 00	44,298 00	516.00	0.00
PAR VALUE 50,000.00					
SEP 01 10 YR T-NOTES	06-28-01 SOLD 06-26-00 ACQUIRED	268,884.00	248,913 01	19,970 99	0 00
PAR VALUE 300,000 00					
SEP 01 10 YR T-NOTES					
SEP 01 10 YR T-NOTES		5,737 70		5,737.70	
20%					
SEP 01 U S T-BONDS		4,500 00		4,500 00	
20%					
SEP 01 U S T-BONDS		28,687 30		28,687.30	
20%					
SEP 01 U S T-BONDS		18,750.00-		18,750 00-	
20%					
GUANGDONG ASSETS MGMT LTD NT 144A 5 0% DUE 01-01-2005 BEO					

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 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

0.00

0 00

0 00

END OF SALES SCHEDULE

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SEP 01 10 YR T-NOTES	06-29-01	1,494 04	1,154.50	339 54	0.00
PAR VALUE	SOLD				
1,494 04	06-08-00	ACQUIRED			
20%		20,400 00		20,400 00	
SEP 01 U S T-BONDS		20,250 00-		20,250 00-	
20%		20,161,696 93		20,161,696 93	
SUB-TOTAL		21,677,241 52		21,677,241 52	
TOTAL LONG TERM CAPITAL GAIN/LOSS-		20,161,696 93		20,161,696 93	

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 959,472 82

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 959,472.82

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 1,515,544 59-

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0 00

SUB TOTAL LONG TERM GAINS AND LOSSES 1,515,544 59-

TOTAL LONG TERM CAPITAL GAINS AND LOSSES

1,515,544 59-

Total (Net) Gains (Losses)

556,071.77

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01282 ACCOUNT NO 26-00353  
 SS870 AAB  
 SURDNA FOUNDATION - GRANTHAM MAYO VAN  
 OTTERLOO & COMPANY - GROWTH FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MFO GWO GROWTH FUND III					
UNITS: 616,016 43	SOLD 07-13-00	3,000,000 00	3,018,480 51	18,480 51-	0 00
	ACQUIRED 12-23-96				
MFO GWO GROWTH FUND III					
UNITS 608,519 27	SOLD 07-14-00	3,000,000 00	2,981,744 43	18,255 57	0 00
	ACQUIRED 12-23-96				
MFO GWO GROWTH FUND III					
UNITS 586,016 48	SOLD 09-25-00	2,818,739 27	2,871,480 76	52,741 49-	0 00
	ACQUIRED 12-23-96				
MFO GWO GROWTH FUND III					
UNITS 28,307,23	SOLD 09-25-00	136,157 77	139,271 55	3,113 78-	0 00
	ACQUIRED 04-01-97				
MFO GWO GROWTH FUND III					
UNITS 924,137 83	SOLD 09-25-00	4,445,102 96	3,946,068 54	499,034,42	0 00
	ACQUIRED 07-01-97				
SUB-TOTAL					
CAPITAL GAIN DIVIDENDS		13,400,000 00	12,957,045 79	442,954 21	0 00
MFO GWO GROWTH FUND III					
20%		4,908,566 72		4,908,566 72	
MFO GWO GROWTH FUND III					
20%		18,228,636 04		18,228,636 04	
SUB-TOTAL					
TOTAL LONG TERM CAPITAL GAIN/LOSS-		36,537,202 76	12,957,045 79	23,580,156 97	0 00
		23,137,202 76	0 00	23,137,202 76	0 00



**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01282 ACCOUNT NO 26-00353

SURDNA FOUNDATION - GRANTHAM MAYO VAN  
 OTTERLOO & COMPANY - GROWTH FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
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END OF SALES SCHEDULE

**The Northern Trust Company**  
 50 South LaSalle Street Chicago, Illinois 60675  
 (312) 630 6000

01282 ACCOUNT NO. 26-00353  
 SS870 AAB

SURDNA FOUNDATION - GRANTHAM MAYO VAN  
 OTTERLOO & COMPANY - GROWTH FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 23,580,156 97

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0 00

SUB TOTAL LONG TERM GAINS AND LOSSES 23,580,156.97

TOTAL LONG TERM CAPITAL GAINS AND LOSSES 23,580,156 97

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01268 ACCOUNT NO. 26-69319  
 AAB

SURDNA FOUNDATION, INC. -  
 MFS ASSET MANAGEMENT GROUP

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
LONG TERM CAPITAL GAINS AND LOSSES				
COMPUTER LEARNING CTRS INC COM	20%	61 88		61 88
COMPUTER LEARNING CTRS INC COM	20%	221.92		221 92
SS&C TECHNOLOGIES INC COM	20%	134 91		134 91
CLASS ACTION PROCEEDS FOR COMMON STOCK "FOR CLASS ACTION USE ONLY"	20%	1,919 13		1,919 13
MFO MFS INSTL TR EMERGING EQUITIES FD				
UNITS: 27,985 08	SOLD 09-25-00	900,000 00	641,977 73	258,022 27
ACQUIRED 07-01-98				
EMPLOYEE SOLUTIONS INC DESPERATE	20%	43.34		43.34
SYMANTEC CORP COM STOCK	20%	2,963 20		2,963 20
EMPLOYEE SOLUTIONS INC DESPERATE	20%	2,266 29		2,266 29
#REORG USA DETERGENTS CASH MERGER EFF 5/29/01	20%	1,396 97		1,396 97
MFO MFS INSTL TR EMERGING EQUITIES FD				

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01268 ACCOUNT NO 26-69319  
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SURDNA FOUNDATION, INC -  
 MFS ASSET MANAGEMENT GROUP

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 2

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
NASDAQ-100 INDEX CL ACTION SETTLEMENT PROCEEDS	UNITS: SOLD 01-12-01 ACQUIRED 07-01-98	2,100,000 00	2,254,281.68	154,281.68-	0 00
MFO MFS INSTL TR EMERGING EQUITIES FD	UNITS: SOLD 02-16-01 ACQUIRED 07-01-98	600,000 00	622,523 70	22,523 70-	0 00
#REORG SIRROM CAP CORP COMMON STOCK EXCH FINOVA GROUP INC @ 1634 STK MGR EFF 3-22-99 SEE 2320158	20X	207 93	207 93	207.93	
MOSSIMO INC COM	20X	3,504 19	3,504 19	3,504 19	
ANADIGCS INC COM	20X	346 89	346 89	346 89	
MFO MFS INSTL TR EMERGING EQUITIES FD	UNITS SOLD 06-29-01 ACQUIRED 07-01-98	12,000,000 00	12,774,013.94	774,013 94-	0 00
SUB-TOTAL		15,621,284.56	16,292,797 05	671,512 49-	0 00
CAPITAL GAIN DIVIDENDS		3,610,075 23	16,292,797 05	2,938,562.74	0 00
MFO MFS INSTL TR EMERGING EQUITIES FD		3,610,075 23	16,292,797 05	3,610,075 23	0 00
TOTAL LONG TERM CAPITAL GAIN/LOSS-					0 00

**The Northern Trust Company**  
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01268  
SS870  
ACCOUNT NO. 26-69319  
AAB

SURDNA FOUNDATION, INC. -  
MFS ASSET MANAGEMENT GROUP

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
07-01-00 THROUGH 06-30-01

END OF SALES SCHEDULE

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01268 ACCOUNT NO. 26-69319  
 AAB

SURDNA FOUNDATION, INC. -  
 MFS ASSET MANAGEMENT GROUP

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 2,938,562 74  
 NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0 00

SUB TOTAL LONG TERM GAINS AND LOSSES 2,938,562 74

TOTAL LONG TERM CAPITAL GAINS AND LOSSES 2,938,562 74

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
<b>SHORT TERM CAPITAL GAINS AND LOSSES</b>				
HENRY JACK & ASSOC INC				
SHARES:	07-06-00	3,935 95	2,079.02	1,856 93
	SOLD			
	11-03-99			
	ACQUIRED			
99 CENTS ONLY STORES COM				
SHARES:	07-06-00	4,743 40	1,727 74	3,015.66
	SOLD			
	09-20-99			
	ACQUIRED			
HENRY JACK & ASSOC INC				
SHARES:	07-07-00	4,834.98	1,727 73	3,107 25
	SOLD			
	09-20-99			
	ACQUIRED			
SHARES				
	07-07-00	9,669 95	3,387 50	6,282 45
	SOLD			
	09-21-99			
	ACQUIRED			
SHARES:				
	07-07-00	4,834 98	1,630 15	3,204 83
	SOLD			
	09-22-99			
	ACQUIRED			
JEFFERIES GROUP INC NEW COM				
SHARES:	07-07-00	4,189 86	4,159.24	30.62
	SOLD			
	12-23-99			
	ACQUIRED			
99 CENTS ONLY STORES COM				

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES .	SOLD 07-07-00 ACQUIRED 11-03-99	5,471 81	2,751 37	2,720.44
SHARES	SOLD 07-07-00 ACQUIRED 11-05-99	6,932 17	3,267.80	3,664.37
ANNAYLOR STORES CORP COM	SOLD 07-10-00 ACQUIRED 01-06-00	18,296 33	11,998 90	6,297 43
SHARES HENRY JACK & ASSOC INC	SOLD 07-10-00 ACQUIRED 09-22-99	4,918.58	1,630 14	3,288 44
INVESTMENT TECHNOLOGY GROUP INC NEW COM	SOLD 07-10-00 ACQUIRED 12-16-99	4,469 85	2,339.80	2,130 05
SHARES 99 CENTS ONLY STORES COM	SOLD 07-10-00 ACQUIRED 11-05-99	4,516 16	1,949 06	2,567.10
SHARES . ANNAYLOR STORES CORP COM	SOLD 07-11-00 ACQUIRED 01-06-00	7,580 82	4,799.56	2,781 26
SHARES HENRY JACK & ASSOC INC				



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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES:	SOLD 07-11-00	10,157.76	3,260.29	6,897.47
SHARES:	ACQUIRED 09-22-99			
INVESTMENT TECHNOLOGY GROUP INC NEW COM				
SHARES:	SOLD 07-11-00	4,666.94	2,339.80	2,327.14
SHARES:	ACQUIRED 12-16-99			
ANNAYLOR STORES CORP COM				
SHARES:	SOLD 07-11-00	4,666.94	2,563.64	2,103.30
SHARES:	ACQUIRED 12-17-99			
ANNAYLOR STORES CORP COM				
SHARES	SOLD 07-12-00	3,815.95	2,399.78	1,416.17
SHARES:	ACQUIRED 01-06-00			
INVESTMENT TECHNOLOGY GROUP INC NEW COM				
SHARES:	SOLD 07-12-00	4,819.14	2,563.64	2,255.50
SHARES:	ACQUIRED 12-17-99			
JEFFERIES GROUP INC NEW COM				
SHARES:	SOLD 07-12-00	2,358.04	2,079.62	278.42
SHARES:	ACQUIRED 12-23-99			
99 CENTS ONLY STORES COM				

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	SOLD 07-12-00 ACQUIRED 11-05-99	23,355.07	9,745.31	13,609.76
INVESTMENT TECHNOLOGY GROUP INC NEW COM				
SHARES.	SOLD 07-13-00 ACQUIRED 12-17-99	4,831.28	2,563.64	2,267.64
ANNAYLOR STORES CORP COM				
SHARES:	SOLD 07-14-00 ACQUIRED 01-06-00	3,788.62	2,399.78	1,388.84
INVESTMENT TECHNOLOGY GROUP INC NEW COM				
SHARES	SOLD 07-14-00 ACQUIRED 12-17-99	4,680.56	2,563.64	2,116.92
JEFFERIES GROUP INC NEW COM				
SHARES:	SOLD 07-14-00 ACQUIRED 12-23-99	6,813.04	6,238.86	574.18
99 CENTS ONLY STORES COM				
SHARES:	SOLD 07-14-00 ACQUIRED 11-05-99	1,527.71	630.33	897.38
SHARES	SOLD 07-14-00 ACQUIRED 11-12-99	3,196.20	1,310.91	1,885.29
HENRY JACK & ASSOC INC				

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
JEFFERIES GROUP INC NEW COM SHARES: 100.00	SOLD 07-18-00 ACQUIRED 09-23-99	5,179.46	1,639 12	3,540 34
JEFFERIES GROUP INC NEW COM SHARES: 200 00	SOLD 07-18-00 ACQUIRED 12-23-99	4,589 84	4,159 24	430.60
99 CENTS ONLY STORES COM SHARES: 100 00	SOLD 07-18-00 ACQUIRED 11-12-99	4,594 84	1,937 49	2,657.35
HENRY JACK & ASSOC INC SHARES: 100 00	SOLD 07-19-00 ACQUIRED 10-20-99	5,098 50	1,857.32	3,241 18
HENRY JACK & ASSOC INC SHARES: 100 00	SOLD 07-20-00 ACQUIRED 10-20-99	5,351 52	1,857 31	3,494 21
JEFFERIES GROUP INC NEW COM SHARES: 200 00	SOLD 07-26-00 ACQUIRED 12-23-99	4,939.83	4,159 24	780 59

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SURDNA FOUNDATION, INC. - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01269 ACCOUNT NO. 26-69329  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
07-27-00 ACQUIRED	28,878 21	22,875 82	6,002 39	SHARES. JEFFERIES GROUP INC NEW COM
08-04-00 SOLD	5,489 81	4,159 24	1,330 57	SHARES: 99 CENTS ONLY STORES COM
08-04-00 SOLD	8,856.32	3,874 98	4,981.34	SHARES. CHARLES RIV LABORATORIES INTL INC COM
08-08-00 SOLD	3,308 52	1,600 00	1,708 52	SHARES INISIN
08-08-00 SOLD	4,914 08	2,563 64	2,350 44	SHARES INVESTMENT TECHNOLOGY GROUP INC NEW COM
08-08-00 SOLD	1,452.78	626.58	826 20	SHARES 99 CENTS ONLY STORES COM
08-08-00 SOLD				ACQUIRED
11-12-99 ACQUIRED				ACQUIRED

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 67 66	SOLD 08-08-00 ACQUIRED 11-15-99	3,039.42	1,291.69	1,747 73
JEFFERIES GROUP INC NEW COM				
SHARES: 200.00	SOLD 08-09-00 ACQUIRED 12-23-99	5,294 58	4,159 24	1,135.34
99 CENTS ONLY STORES COM				
SHARES: 100 00	SOLD 08-10-00 ACQUIRED 11-15-99	4,431 18	1,909 10	2,522 08
JEFFERIES GROUP INC NEW COM				
SHARES 100.00	SOLD 08-14-00 ACQUIRED 12-23-99	2,795 35	2,079 62	715.73
99 CENTS ONLY STORES COM				
SHARES: 100.00	SOLD 08-14-00 ACQUIRED 11-15-99	4,495 06	1,909 10	2,585 96
HENRY JACK & ASSOC INC				
SHARES: 100.00	SOLD 08-15-00 ACQUIRED 10-21-99	4,237.35	1,768.54	2,469 01
JEFFERIES GROUP INC NEW COM				

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-69329  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
99 CENTS ONLY STORES COM	08-15-00	5,577 31	4,375 44	1,201.87
SHARES:	SOLD			
200.00	08-15-00			
HENRY JACK & ASSOC INC	11-15-99	4,461.63	1,909 10	2,552 53
SHARES:	ACQUIRED			
100 00	11-15-99			
JEFFERIES GROUP INC NEW COM	08-16-00	4,289.54	1,768.34	2,521.20
SHARES:	SOLD			
100 00	08-16-00			
JEFFERIES GROUP INC NEW COM	12-28-99	5,484.45	4,357.04	1,127.41
SHARES:	ACQUIRED			
200.00	12-28-99			
JEFFERIES GROUP INC NEW COM	08-21-00	5,412 45	4,356.06	1,056 39
SHARES:	SOLD			
200.00	08-21-00			
99 CENTS ONLY STORES COM	12-29-99	4,452 46	1,909 10	2,543 36
SHARES:	ACQUIRED			
100 00	12-29-99			
HENRY JACK & ASSOC INC	08-21-00	4,452 46	1,909 10	2,543 36
SHARES:	SOLD			
100 00	08-21-00			

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-69329  
 SSB70 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	08-22-00 SOLD 10-26-99 ACQUIRED	4,299 85	1,700 00	2,599 85
INVESTMENT TECHNOLOGY GROUP INC NEW COM				
SHARES.	08-22-00 SOLD 12-17-99 ACQUIRED	4,844 03	2,563 64	2,280 39
ANNAYLOR STORES CORP COM				
SHARES.	08-23-00 SOLD 01-06-00 ACQUIRED	3,763 31	2,399 78	1,363 53
ANNAYLOR STORES CORP COM				
SHARES.	08-24-00 SOLD 01-06-00 ACQUIRED	10,746 00	7,199 34	3,546 66
HENRY JACK & ASSOC INC				
SHARES.	08-24-00 SOLD 10-26-99 ACQUIRED	4,405 10	1,700 00	2,705 10
JEFFERIES GROUP INC NEW COM				
SHARES.	08-24-00 SOLD 12-29-99 ACQUIRED	2,756 56	2,178 03	578 53
99 CENTS ONLY STORES COM				

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
ANNAYLOR STORES CORP COM	08-25-00 SOLD	3,617.06	2,399.78	1,217.28
JEFFERIES GROUP INC NEW COM	08-25-00 SOLD	2,735.81	2,198.75	537.06
SHARES:	ACQUIRED			
SHARES:	12-30-99			
100.00	08-25-00 SOLD	2,735.80	2,259.50	476.30
SHARES:	ACQUIRED			
SHARES:	01-24-00			
100.00	08-25-00 SOLD	2,735.81	2,155.00	580.81
SHARES:	ACQUIRED			
SHARES:	01-25-00			
100.00	08-25-00 SOLD	4,557.34	1,909.10	2,648.24
99 CENTS ONLY STORES COM	ACQUIRED			
SHARES	11-15-99			
100.00	08-28-00 SOLD	4,650.61	1,909.09	2,741.52
ANNAYLOR STORES CORP COM	ACQUIRED			
SHARES	11-15-99			
100.00	08-25-00 SOLD	4,544.41	1,909.10	2,635.31
ANNAYLOR STORES CORP COM	ACQUIRED			
SHARES:	08-24-00			
100.00	11-15-99			



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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	08-29-00 SOLD	3,518 75	2,399.78	1,118 97
INVESTMENT TECHNOLOGY GROUP INC NEW COM	08-30-00 SOLD	5,021 14	2,563 64	2,457.50
99 CENTS ONLY STORES COM	09-01-00 SOLD	4,451 98	1,909.10	2,542.88
SHARES:	11-15-99 ACQUIRED			
99 CENTS ONLY STORES COM	09-05-00 SOLD	2,989.19	1,253.70	1,735.49
SHARES:	11-15-99 ACQUIRED			
SHARES	09-05-00 SOLD	1,562 65	859.02	703 63
ANNAYLOR STORES CORP COM	09-06-00 SOLD	4,046 59	2,399 78	1,646 81
SHARES	01-06-00 ACQUIRED			
99 CENTS ONLY STORES COM	09-06-00 SOLD	4,615 61	2,477 23	2,138.38
SHARES	12-17-99 ACQUIRED			
HENRY JACK & ASSOC INC				

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
ANNAYLOR STORES CORP COM	09-07-00 SOLD	4,331.10	1,695.35	2,635.75
ANNAYLOR STORES CORP COM	10-27-99 ACQUIRED			
ANNAYLOR STORES CORP COM	09-11-00 SOLD	8,656.91	4,799.56	3,857.35
ANNAYLOR STORES CORP COM	01-06-00 ACQUIRED			
ANNAYLOR STORES CORP COM	09-13-00 SOLD	4,236.70	2,375.24	1,861.46
ANNAYLOR STORES CORP COM	01-07-00 ACQUIRED			
ANNAYLOR STORES CORP COM	09-14-00 SOLD	8,734.54	4,750.48	3,984.06
ANNAYLOR STORES CORP COM	01-07-00 ACQUIRED			
HENRY JACK & ASSOC INC	09-15-00 SOLD	4,599.84	1,695.34	2,904.50
ANNAYLOR STORES CORP COM	10-27-99 ACQUIRED			
ANNAYLOR STORES CORP COM	09-18-00 SOLD	4,308.14	2,375.24	1,932.90
ANNAYLOR STORES CORP COM	01-07-00 ACQUIRED			

#REORG CIRCLE INTL GROUP INC COM STOCK  
 MERGER TO EGL INC 2348587 ai 0 EFF  
 10/3/00

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SURDNA FOUNDATION, INC. - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-69329  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 100.00	09-18-00 SOLD 05-03-00 ACQUIRED	3,412 38	2,612 50	799.88
HELMERICH & PAYNE INC COM				
SHARES: 500.00	09-18-00 SOLD 01-05-00 ACQUIRED	18,161 89	10,025.00	8,136.89
HENRY JACK & ASSOC INC				
SHARES. 300 00	09-18-00 SOLD 11-01-99 ACQUIRED	13,314.42	5,193 75	8,120.67
INVESTMENT TECHNOLOGY GROUP INC NEW COM				
SHARES: 200.00	09-18-00 SOLD 12-17-99 ACQUIRED	8,570.97	5,127 28	3,443 69
ANNAYLOR STORES CORP COM				
SHARES: 400 00	09-20-00 SOLD 01-07-00 ACQUIRED	16,388.73	9,500 96	6,887 77
HELMERICH & PAYNE INC COM				
SHARES 100.00	09-20-00 SOLD 01-05-00 ACQUIRED	3,569 19	2,005 00	1,564 19
ANNAYLOR STORES CORP COM				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-69329  
 AAB

SS870

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES:	09-21-00 SOLD	4,265 47	2,375 24	1,890.23
SHARES:	01-07-00 ACQUIRED			
SHARES: 400.00	09-21-00 SOLD	17,061 86	6,869.12	10,192 74
ANNAYLOR STORES CORP COM	02-03-00 ACQUIRED			
SHARES: 300.00	09-22-00 SOLD	12,829 82	6,624 18	6,205 64
SHARES:	04-24-00 ACQUIRED			
SHARES: 100 00	09-22-00 SOLD	4,276.60	2,255 00	2,021.60
SHARES:	04-26-00 ACQUIRED			
HENRY JACK & ASSOC INC	09-22-00 SOLD	4,312 16	1,731.25	2,580 91
SHARES:	11-01-99 ACQUIRED			
HENRY JACK & ASSOC INC	09-25-00 SOLD	4,383.37	1,860.25	2,523 12
SHARES:	11-05-99 ACQUIRED			
HENRY JACK & ASSOC INC	09-26-00 SOLD	4,379 40	1,860.24	2,519 16
SHARES	11-05-99 ACQUIRED			

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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: HENRY JACK & ASSOC INC	09-26-00 SOLD 11-12-99 ACQUIRED	4,379.40	1,776.42	2,602.98
SHARES HENRY JACK & ASSOC INC	09-27-00 SOLD 11-12-99 ACQUIRED	4,408.13	1,776.42	2,631.71
SHARES HENRY JACK & ASSOC INC	09-27-00 SOLD 11-16-99 ACQUIRED	4,408.13	1,859.38	2,548.75
SHARES SHOPKO STORES INC COM	09-28-00 SOLD 11-16-99 ACQUIRED	4,342.59	1,859.37	2,483.22
SHARES CHARLES RIV LABORATORIES INTL INC COM	09-28-00 SOLD 11-10-99 ACQUIRED	5,202.82	11,664.90	6,462.08-
SHARES SHOPKO STORES INC COM	09-29-00 SOLD 06-23-00 ACQUIRED	9,811.53	4,800.00	5,011.53

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES; EGL INC COM	SOLD 10-04-00 ACQUIRED 05-03-00	13,699 54	10,450.00	3,249 54
SHARES; SHOPKO STORES INC COM	SOLD 10-04-00 ACQUIRED 11-10-99	2,099 66	4,665 96	2,566.30-
SHARES; SHOPKO STORES INC COM	SOLD 10-05-00 ACQUIRED 11-10-99	14,433 71	41,993 64	27,559 93-
SHARES; SHOPKO STORES INC COM	SOLD 10-06-00 ACQUIRED 11-12-99	1,602 72	4,760 46	3,157 74-
SHARES; SHOPKO STORES INC COM	SOLD 10-06-00 ACQUIRED 11-19-99	1,602 73	4,438 36	2,835.63-
SHARES; SHOPKO STORES INC COM	SOLD 10-06-00 ACQUIRED 11-23-99	801 36	2,144.23	1,342 87-

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SURDNA FOUNDATION, INC - NEUBERGER &  
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 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES · SHOPKO STORES INC COM	SOLD 10-06-00 ACQUIRED 12-17-99	801.36	2,010.17	1,208.81-
SHARES: SHOPKO STORES INC COM	SOLD 10-09-00 ACQUIRED 12-17-99	753.59	2,010.17	1,256.58-
SHARES SHOPKO STORES INC COM	SOLD 10-09-00 ACQUIRED 12-20-99	1,507.19	4,012.50	2,505.31-
SHARES · SHOPKO STORES INC COM	SOLD 10-10-00 ACQUIRED 12-20-99	697.54	2,006.25	1,308.71-
SHARES: SHOPKO STORES INC COM	SOLD 10-10-00 ACQUIRED 12-23-99	2,790.18	8,185.76	5,395.58-
SHARES: SHOPKO STORES INC COM	SOLD 10-10-00 ACQUIRED 12-27-99	697.55	2,030.95	1,333.40-
SHARES: SHOPKO STORES INC COM	SOLD 10-11-00 ACQUIRED 12-27-99	709.96	2,030.95	1,320.99-
SHARES · SHOPKO STORES INC COM	SOLD 10-11-00 ACQUIRED 12-27-99	1,419.91	4,077.76	2,657.85-

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	SOLD 10-11-00 ACQUIRED 12-29-99	709.95	2,151.74	1,441.79-
SHARES	SOLD 10-11-00 ACQUIRED 01-03-00	709.96	2,227.69	1,517.73-
REGORG BLOCK DRUG CO CASH MERGER 953 EFF 1/18/01				
SHARES	SOLD 10-12-00 ACQUIRED 07-11-00	5,221.99	4,189.20	1,032.79
SHARES	SOLD 10-12-00 ACQUIRED 07-12-00	156.66	127.13	29.53
HELMERICH & PAYNE INC COM	SOLD 10-12-00 ACQUIRED 01-05-00	11,169.16	6,015.00	5,154.16
SHOPKO STORES INC COM	SOLD 10-12-00 ACQUIRED 03-03-00	732.88	1,724.22	991.34-
SHOPKO STORES INC COM	SOLD 10-12-00 ACQUIRED 03-06-00	710.83	1,747.15	1,036.32-



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES.	SOLD 10-12-00 ACQUIRED 03-16-00	710.82	1,988.29	1,277.47-
*GEORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01				
SHARES.	SOLD 10-13-00 ACQUIRED 07-12-00	15,655.72	12,712.50	2,943.22
SHOPKO STORES INC COM				
SHARES.	SOLD 10-13-00 ACQUIRED 03-16-00	705.75	1,988.29	1,282.54-
SHOPKO STORES INC COM				
SHARES.	SOLD 10-16-00 ACQUIRED 03-16-00	2,103.22	5,964.87	3,861.65-
*GEORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01				
SHARES	SOLD 10-17-00 ACQUIRED 07-12-00	5,078.75	4,110.37	968.38
SHOPKO STORES INC COM				
SHARES	SOLD 10-17-00 ACQUIRED 08-03-00	157.07	123.38	33.69

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	SOLD 10-17-00 ACQUIRED 03-16-00	682.24	1,988.29	1,306.05-
SHARES	SOLD 10-17-00 ACQUIRED 03-17-00	682.23	1,898.29	1,216.06-
#REGORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01				
SHARES	SOLD 10-18-00 ACQUIRED 08-03-00	5,072.32	3,989.12	1,083.20
SHARES	SOLD 10-18-00 ACQUIRED 08-08-00	5,386.07	4,229.44	1,156.63
SHOPKO STORES INC COM				
SHARES	SOLD 10-18-00 ACQUIRED 03-21-00	1,829.78	5,774.07	3,944.29-
SHOPKO STORES INC COM				
SHARES	SOLD 10-18-00 ACQUIRED 03-20-00	676.98	1,905.00	1,228.02-
#REGORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01				
SHARES	SOLD 10-19-00 ACQUIRED 08-08-00	5,062.02	3,983.06	1,078.96

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	10-19-00 SOLD 08-10-00 ACQUIRED	10,437 14	8,325 00	2,112.14
SHARES	10-19-00 SOLD 08-28-00 ACQUIRED	156 56	122.70	33 86
TECHNITROL, INC, COMMON STOCK, \$ 12 1/2 PAR				
SHARES	10-19-00 SOLD 03-23-00 ACQUIRED	8,517 15	5,744 93	2,772.22
#REORG BLOCK DRUG CO CASH MERGER 953 EFF 1/18/01				
SHARES:	10-20-00 SOLD 08-28-00 ACQUIRED	5,062 02	3,967.50	1,094.72
SHARES:	10-20-00 SOLD 08-28-00 ACQUIRED	5,218.57	4,092.65	1,125.92
SHARES	10-20-00 SOLD 08-31-00 ACQUIRED	5,218.58	4,000 83	1,217.75
TECHNITROL, INC, COMMON STOCK, \$ 12 1/2 PAR				
SHARES	10-23-00 SOLD 03-23-00 ACQUIRED	9,265.09	5,744.93	3,520.16
FBL FINL GROUP INC CL A				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES,	SOLD 11-03-00 ACQUIRED 02-05-00	29,320.00	17,451.26	11,868.74
LINENS N THINGS INC COM				
SHARES:	SOLD 11-14-00 ACQUIRED 03-15-00	17,831.70	14,086.32	3,745.38
LINENS N THINGS INC COM				
SHARES:	SOLD 11-15-00 ACQUIRED 03-15-00	6,004.35	4,938.34	1,066.01
TECHNITROL, INC, COMMON STOCK, \$ 12 1/2				
SHARES:	SOLD 12-08-00 ACQUIRED 03-23-00	14,423.63	8,617.39	5,806.24
TECHNITROL, INC, COMMON STOCK, \$ 12 1/2				
SHARES	SOLD 12-12-00 ACQUIRED 03-23-00	10,173.42	5,744.93	4,428.49

#REGOR RICHMOND CNTY FINL CORP STK  
 MERGER TO NY CMNTY BANCORP INC 2600009  
 EFF 8/1/01

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-69329  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	SOLD 12-28-00 ACQUIRED 06-26-00	123,662 17	83,977 65	39,684 52
99 CENTS ONLY STORES COM				
SHARES.	SOLD 01-10-01 ACQUIRED 10-27-00	2,702.35	2,030 00	672 35
TECHNITROL, INC , COMMON STOCK, \$ 12 1/2 PAR				
SHARES.	SOLD 01-22-01 ACQUIRED 03-23-00	14,789 83	8,617.40	6,172 43
KRONOS INC COM				
SHARES.	SOLD 01-23-01 ACQUIRED 03-23-00	3,693.62	4,501 56	807.94-
TECHNITROL, INC , COMMON STOCK, \$ 12 1/2 PAR				
SHARES.	SOLD 01-23-01 ACQUIRED 03-23-00	30,994 78	17,234.79	13,759 99
SHARES:	SOLD 01-23-01 ACQUIRED 03-24-00	25,828 99	14,931 03	10,897 96
TECHNITROL, INC , COMMON STOCK, \$ 12 1/2 PAR				
SHARES:	SOLD 01-23-01 ACQUIRED 03-23-00	500.00		

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
01-24-01 SOLD 03-24-00 ACQUIRED	10,426 81	5,972 41	4,454 40	SHARES: 200 00 TECHNITROL, INC, COMMON STOCK, \$ .12 1/2 PAR
01-25-01 SOLD 03-24-00 ACQUIRED	5,205 76	2,986 20	2,219.56	SHARES: 100 00 #REORG PRIMEX TECHNOLOGIES INC COM CASH MERGER 3/22 10 EFF 1/26/01
01-29-01 SOLD 03-28-00 ACQUIRED	3,210 00	2,162 50	1,047 50	SHARES: 100 00 TECHNITROL, INC, COMMON STOCK, \$ .12 1/2 PAR
01-29-01 SOLD 05-22-00 ACQUIRED	6,420 00	3,700.00	2,720.00	SHARES: 200 00 TECHNITROL, INC, COMMON STOCK, \$ .12 1/2 PAR
02-08-01 SOLD 03-24-00 ACQUIRED	9,275 69	5,972 41	3,303 28	SHARES: 200 00 META GROUP INC COM
02-08-01 SOLD 04-25-00 ACQUIRED	9,275 69	5,882 17	3,393 52	SHARES: 200 00 META GROUP INC COM

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 400 00	SOLD 03-29-01 ACQUIRED 09-28-00	789 85	5,111.04	4,321.19-
SHARES: 500.00	SOLD 03-29-01 ACQUIRED 09-29-00	987.31	6,300 45	5,313.14-
SHARES 500 00	SOLD 03-29-01 ACQUIRED 10-12-00	987 32	4,250 00	5,262 68-
SHARES: 300.00	SOLD 03-29-01 ACQUIRED 10-13-00	592 39	2,872.92	2,280.53-
SHARES: 200 00	SOLD 03-29-01 ACQUIRED 11-09-00	394.93	1,986.26	1,591.33-
HCC INS HLDGS INC COM				
SHARES: 800 00	SOLD 04-06-01 ACQUIRED 06-01-00	21,479.28	15,533.04	5,946.24
CHARLES RIV LABORATORIES INTL INC COM				
SHARES: 100.00	SOLD 04-10-01 ACQUIRED 06-23-00	2,348 49	1,600 00	748 49
SHARES: 2,500.00	SOLD 04-10-01 ACQUIRED 06-23-00	58,712 29	48,687 75	10,024.54

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
04-10-01 SOLD	2,348 49	1,814 48	534.01	SHARES: 100 00
12-11-00 ACQUIRED				HCC INS HLDGS INC COM
04-11-01 SOLD	61,389 82	42,715 86	18,673 96	SHARES: 2,200.00
06-01-00 ACQUIRED				HCC INS HLDGS INC COM
04-11-01 SOLD	2,790 44	1,941 63	848.81	SHARES 100 00
06-01-00 ACQUIRED				HCC INS HLDGS INC COM
04-12-01 SOLD	2,774 90	1,941 63	833.27	SHARES. 100 00
06-01-00 ACQUIRED				HCC INS HLDGS INC COM
04-18-01 SOLD	2,906 86	12,040 00	9,133 14-	SHARES: 800.00
07-12-00 ACQUIRED				MUTUAL RISK MGMT LTD COM
04-18-01 SOLD	363 36	1,722.95	1,359.59-	SHARES: 100 00
08-02-00 ACQUIRED				SHARES: 200 00
04-18-01 SOLD	726 72	3,604.38	2,877 66-	SHARES: 100 00
08-08-00 ACQUIRED				



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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	SOLD 04-18-01	1,090.07	6,187.80	5,097.73-
SHARES	ACQUIRED 10-18-00			5,813.73-
SHARES	SOLD 04-18-01	1,453.43	7,267.16	5,813.73-
SHARES	ACQUIRED 10-20-00			1,481.51-
SHARES	SOLD 04-18-01	363.37	1,844.88	1,481.51-
SHARES	ACQUIRED 10-23-00			
MUTUAL RISK MGMT LTD COM				
SHARES	SOLD 04-19-01	695.87	1,844.88	1,149.01-
SHARES	ACQUIRED 10-23-00			
SHARES	SOLD 04-19-01	695.86	1,828.44	1,132.58-
SHARES	ACQUIRED 10-24-00			
SHARES	SOLD 04-19-01	695.87	1,780.00	1,084.13-
SHARES	ACQUIRED 10-27-00			
SHARES	SOLD 04-19-01	1,391.73	3,650.68	2,258.95-
SHARES	ACQUIRED 11-07-00			
SHARES	SOLD 04-19-01	1,391.73	3,640.22	2,248.49-
SHARES	ACQUIRED 11-08-00			
SHARES	SOLD 04-19-01	695.87	1,805.00	1,109.13-
SHARES	ACQUIRED 11-10-00			
POWER INTEGRATIONS INC COM				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
04-20-01 SOLD 08-31-00 ACQUIRED	2,107.43	1,700.00	407.43	SHARES, 100.00
04-20-01 SOLD 09-01-00 ACQUIRED	2,107.42	1,765.37	342.05	SHARES; 100.00
POWER INTEGRATIONS INC COM				
04-23-01 SOLD 09-05-00 ACQUIRED	1,999.93	1,712.50	287.43	SHARES 100.00
POWER INTEGRATIONS INC COM				
04-26-01 SOLD 09-06-00 ACQUIRED	3,856.69	3,350.00	506.69	SHARES 200.00
04-26-01 SOLD 09-08-00 ACQUIRED	3,856.69	3,162.50	694.19	SHARES; 200.00
POWER INTEGRATIONS INC COM				
04-27-01 SOLD 09-11-00 ACQUIRED	1,900.73	1,516.26	384.47	SHARES; 100.00
04-27-01 SOLD 09-12-00 ACQUIRED	1,900.74	1,572.49	328.25	SHARES; 100.00
04-27-01 SOLD 09-13-00 ACQUIRED	1,900.73	1,475.48	425.25	SHARES, 100.00
ABM INDS INC COM				

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
ACTEL CORP COM SHARES	06-26-01 SOLD 05-31-01 ACQUIRED	17,480.41	15,775.00	1,705.41
ACTEL CORP COM SHARES	06-26-01 SOLD	6,371.79	8,711.85	2,340.06-
SHARES	ACQUIRED 10-25-00			
SHARES	SOLD 06-26-01	6,371.78	8,694.57	2,322.79-
SHARES	ACQUIRED 10-26-00			
SHARES	SOLD 06-26-01	4,247.86	6,376.04	2,128.18-
SHARES	ACQUIRED 10-27-00			
SHARES	SOLD 06-26-01	4,247.86	6,376.04	2,128.18-
SHARES	ACQUIRED 10-30-00			
SHARES	SOLD 06-26-01	4,247.86	6,255.98	2,008.12-
SHARES	ACQUIRED 11-09-00			
SHARES	SOLD 06-26-01	2,123.92	2,928.39	804.47-
SHARES	ACQUIRED 11-10-00			
SHARES	SOLD 06-26-01	8,495.72	11,565.72	3,070.00-
SHARES	ACQUIRED 11-21-00			
SHARES	SOLD 06-26-01	2,123.93	3,075.00	951.07-
CEC ENTMT INC COM SHARES	06-26-01 SOLD 09-27-00 ACQUIRED	53,278.22	35,515.56	17,762.66
COGNEX CORP COM SHARES	06-26-01 SOLD 09-27-00 ACQUIRED	1,200.00		

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	2,509.92	1,750 00	759.92	SHARES: 100 00
06-26-01 ACQUIRED				SHARES: 100 00
06-26-01 SOLD	10,039.66	7,417 64	2,622 02	SHARES: 400.00
06-26-01 ACQUIRED				SHARES: 400.00
06-26-01 SOLD	5,019 83	3,626.30	1,393 53	SHARES: 200.00
06-26-01 ACQUIRED				SHARES: 200 00
06-26-01 SOLD	5,019 83	3,839 56	1,180.27	SHARES: 200 00
06-26-01 ACQUIRED				SHARES: 200 00
06-26-01 SOLD	23,457 17	18,810 84	4,646 33	SHARES: 1,700.00
06-26-01 ACQUIRED				SHARES: 1,700.00
06-26-01 SOLD	19,811 33	16,500.00	3,311 33	SHARES: 1,200.00
06-26-01 ACQUIRED				SHARES: 1,200.00
06-26-01 SOLD	22,199 26	14,905.85	7,293 41	SHARES: 500.00
06-27-00 ACQUIRED				SHARES: 500.00

ELECTRO SCIENTIFIC INDS INC COM

DENTSPLY INTL INC NEW COMMON STOCK

DAL-TILE INTL INC COM

COLONIAL BANCORP INC COMMON

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO. 26-69329  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: ELECTRONICS FOR IMAGING INC COM	SOLD 06-26-01 ACQUIRED 04-11-01	13,723.54	13,184.04	539.50
SHARES: HCC INS HLDGS INC COM	SOLD 06-26-01 ACQUIRED 06-27-00	59,338.02	55,990.28	3,347.74
SHARES: HELIX TECHNOLOGY CORP COM	SOLD 06-26-01 ACQUIRED 08-18-00	4,699.84	4,342.70	357.14
SHARES: HILB ROGAL & HAMILTON CO COM	SOLD 06-26-01 ACQUIRED 04-11-01	17,351.42	15,336.78	2,014.64
SHARES: INDEX LABS INC	SOLD 06-26-01 ACQUIRED 10-13-00	12,029.15	11,473.80	555.35
SHARES: FURNITURE BRANDS INTL INC COM	SOLD 06-26-01 ACQUIRED 04-18-01	20,334.32	17,553.69	2,780.63

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES, KEANE INC COM				
SHARES, 400 00	SOLD 06-26-01	10,471.65	6,766.12	3,705.53
SHARES, 400 00	ACQUIRED 09-28-00			
SHARES, 400 00	SOLD 06-26-01	10,471.65	6,743.20	3,728.45
SHARES, 400 00	ACQUIRED 09-29-00			
SHARES, 100 00	SOLD 06-26-01	2,617.91	1,720.17	897.74
SHARES, 100 00	ACQUIRED 09-29-00			
SHARES, 300 00	SOLD 06-26-01	7,853.74	4,947.12	2,906.62
SHARES, 300 00	ACQUIRED 10-02-00			
SHARES, 800 00	SOLD 06-26-01	15,399.48	14,270.64	1,128.84
SHARES, 800 00	ACQUIRED 09-15-00			
SHARES, 700 00	SOLD 06-26-01	13,474.55	11,598.51	1,876.04
SHARES, 700 00	ACQUIRED 09-18-00			
LEAR CORP COM STK PAR #0 01				
SHARES, 100.00	SOLD 06-26-01	3,295.00	2,092.50	1,202.50
SHARES, 100.00	ACQUIRED 09-20-00			
SHARES, 300.00	SOLD 06-26-01	9,884.99	6,277.50	3,607.49
SHARES, 300.00	ACQUIRED 09-21-00			
SHARES, 500 00	SOLD 06-26-01	16,475.00	10,392.50	6,082.50
SHARES, 500 00	ACQUIRED 09-21-00			
MICHAELS STORES INC COM				

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 SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES:	06-26-01 SOLD	7,591 74	5,054 88	2,536.86
SHARES:	11-30-00 ACQUIRED			
300 00 SHARES	06-26-01 SOLD	11,387.62	7,737 12	3,650 50
ACQUIRED	12-01-00			
MUELLER INDS INC COM				
SHARES:	06-26-01 SOLD	15,254 49	14,230 30	1,024 19
500 00 SHARES:	03-19-01 ACQUIRED			
MANOMETRICS INC COM				
SHARES	06-26-01 SOLD	27,959 06	15,907.80	12,051.26
1,200 00 SHARES	12-29-00 ACQUIRED			
NEW YORK CNTY BANCORP INC COM				
SHARES:	06-26-01 SOLD	39,763 67	19,387.50	20,376 17
1,100.00 SHARES:	09-07-00 ACQUIRED			
POWER INTEGRATIONS INC COM				
SHARES:	06-26-01 SOLD	1,329.96	1,475.48	145.52-
100 00 SHARES:	09-13-00 ACQUIRED			
SHARES:	06-26-01 SOLD	1,329 95	1,487 50	157 55-
100.00 SHARES:	09-15-00 ACQUIRED			

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 BERMAN PENSION MANAGEMENT

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	1,329.96	1,434.09	104.13-	SHARES: 100.00
06-26-01 ACQUIRED				RENAL CARE GROUP INC COM
06-26-01 SOLD	1,329.95	1,394.45	64.50-	SHARES: 100.00
06-26-01 ACQUIRED				RENAL CARE GROUP INC COM
06-26-01 SOLD	1,329.96	1,460.94	130.98-	SHARES: 100.00
06-26-01 ACQUIRED				RENAL CARE GROUP INC COM
06-26-01 SOLD	2,659.91	2,678.74	18.83-	SHARES: 200.00
06-26-01 ACQUIRED				RENAL CARE GROUP INC COM
06-26-01 SOLD	1,329.95	1,125.00	204.95	SHARES: 100.00
06-26-01 ACQUIRED				RENAL CARE GROUP INC COM
06-26-01 SOLD	20,376.32	18,471.25	1,905.07	SHARES: 700.00
06-26-01 ACQUIRED				SCHEIN HENRY INC COM
06-26-01 SOLD	19,985.33	18,344.40	1,640.93	SHARES: 600.00
06-26-01 ACQUIRED				UCBH HLDGS INC COM



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES; VALASSIS COMMUNICATIONS INC COM	SOLD 06-26-01 ACQUIRED 10-25-00	37,035 76	21,856.25	15,179.51
SHARES VALASSIS COMMUNICATIONS INC COM	SOLD 06-26-01 ACQUIRED 09-15-00	39,868 83	27,486.00	7,382.83
SHARES ABM INDS INC COM	SOLD 06-27-01 ACQUIRED 05-31-01	3,459.88	3,155 00	304 88
SHARES ABM INDS INC COM	SOLD 06-27-01 ACQUIRED 05-31-01	3,452 88	3,155 00	297.88
SHARES ACTEL CORP COM	SOLD 06-27-01 ACQUIRED 11-30-00	2,235 93	2,281 25	45.32-
SHARES ACTEL CORP COM	SOLD 06-27-01 ACQUIRED 12-01-00	2,235.92	2,506 25	270.33-
SHARES; ACTEL CORP COM	SOLD 06-27-01 ACQUIRED 12-13-00	2,235.93	2,609.25	373.32-

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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES:	06-27-01	2,235.92	2,449.23	213 31-
ACQUIRED	12-13-00			
AFC ENTERPRISES INC COM				
SHARES:	06-27-01	3,959.86	3,800.00	159 86
SOLD	04-06-01			
ACQUIRED				
CEC ENMT INC COM				
SHARES:	06-27-01	9,049.69	5,919.26	3,130 43
SOLD	09-27-00			
ACQUIRED				
COGNEX CORP COM				
SHARES:	06-27-01	2,646.91	1,919.78	727.13
SOLD	12-05-00			
ACQUIRED				
COLONIAL BANGROUP INC COMMON				
SHARES:	06-27-01	2,646.91	1,848.49	798.42
SOLD	12-06-00			
ACQUIRED				
DAL-TILE INTL INC COM				
SHARES	06-27-01	5,564.81	4,426.08	1,138.73
SOLD	12-28-00			
ACQUIRED				

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	06-27-01 SOLD 05-16-01 ACQUIRED	5,102.82	4,125.00	977.82
DENTSPLY INTL INC NEW COMMON STOCK	06-27-01 SOLD	4,424.85	2,981.17	1,443.68
SHARES:	06-27-01 SOLD 06-27-00 ACQUIRED	4,611.84	4,959.10	347.26-
DRESS BARN INC COM	06-27-01 SOLD 05-23-01 ACQUIRED	3,506.88	3,296.01	210.87
SHARES	06-27-01 SOLD 04-11-01 ACQUIRED	11,235.62	9,737.44	1,498.18
ELECTRONICS FOR IMAGING INC COM	06-27-01 SOLD 06-27-00 ACQUIRED	2,808.91	2,431.19	377.72
SHARES	06-27-01 SOLD 06-28-00 ACQUIRED	1,485.79	2,404.27	918.48-
EGL INC COM	06-27-01 SOLD 12-28-00 ACQUIRED			

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01249 ACCOUNT NO 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES; HCC INS HLDGS INC COM	06-27-01 SOLD 12-29-00 ACQUIRED	11,886.52	19,600.00	7,713.68-
SHARES; HELIX TECHNOLOGY CORP COM	06-27-01 SOLD 04-11-01 ACQUIRED	2,992.90	2,556.13	436.77
SHARES; HILB ROGAL & HAMILTON CO COM	06-27-01 SOLD 10-13-00 ACQUIRED	12,514.58	11,473.80	840.78
SHARES; INDEX LABS INC	06-27-01 SOLD 04-18-01 ACQUIRED	2,899.90	2,507.67	392.23
SHARES; FURNITURE BRANDS INTL INC COM	06-27-01 SOLD 10-02-00 ACQUIRED	2,730.91	1,649.04	1,081.87
SHARES;	06-27-01 SOLD 10-02-00 ACQUIRED	2,730.90	1,669.06	1,061.84

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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: KEANE INC COM	SOLD 06-27-01 ACQUIRED 10-03-00	2,730 91	1,630.63	1,100 28
SHARES: LEAR CORP COM STK PAR \$0.01	SOLD 06-27-01 ACQUIRED 09-18-00	1,984 93	1,656 93	328.00
SHARES: MICHAELS STORES INC COM	SOLD 06-27-01 ACQUIRED 09-21-00	6,653 77	4,157.00	2,496 77
SHARES: MUELLER INDS INC COM	SOLD 06-27-01 ACQUIRED 12-01-00	3,795 87	2,579.04	1,216.83
SHARES: NANOMETRICS INC COM	SOLD 06-27-01 ACQUIRED 03-19-01	3,164 89	2,846.06	318 83
SHARES: NEW YORK CNTY BANCORP INC COM	SOLD 06-27-01 ACQUIRED 12-29-00	7,190 76	3,976.95	3,213.81

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01249 ACCOUNT NO 26-69329  
 AAB SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES ·	06-27-01 SOLD 09-07-00 ACQUIRED	7,335 75	3,525 00	3,810 75
POWER INTEGRATIONS INC COM				
SHARES ·	06-27-01 SOLD 10-17-00 ACQUIRED	2,991 90	1,952.00	1,039.90
RENAL CARE GROUP INC COM				
SHARES ·	06-27-01 SOLD 03-20-01 ACQUIRED	5,893.80	5,277.50	616.30
SCHEIN HENRY INC COM				
SHARES ·	06-27-01 SOLD 03-06-01 ACQUIRED	3,554 88	3,057.40	497 48
UCBH HLDGS INC COM				
SHARES ·	06-27-01 SOLD 10-25-00 ACQUIRED	8,858 70	5,043.75	3,814 95
VALASSIS COMMUNICATIONS INC COM				
SHARES	06-27-01 SOLD 09-15-00 ACQUIRED	7,057.76	5,497.20	1,560.56
EGL INC COM				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY		DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES, EGL INC COM		06-28-01 SOLD	5,937 36	9,800.00	3,862.64-
SHARES, EGL INC COM		06-29-01 SOLD	7,922.23	12,250 00	4,327 77-
SUB-TOTAL			2,112,894 04	1,651,855.76	461,038.28
TOTAL SHORT TERM CAPITAL GAIN/LOSS-			2,112,894 04	1,651,855 76	461,038 28
DESCRIPTION OF PROPERTY		DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: #REORG ADAC LABS INC CASH MERGER 218.5		07-03-00 SOLD	4,966 65	3,750.86	1,215 79
SHARES: #REORG ADAC LABS INC CASH MERGER 218 5		07-24-97 ACQUIRED			0.00
LONG TERM CAPITAL GAINS AND LOSSES					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-05-00 SOLD 07-24-97 ACQUIRED	5,253 16	3,750.86	1,502.30	0.00	SHARES: 200.00
07-05-00 SOLD 07-25-97 ACQUIRED	2,626 58	1,821 38	805 20	0 00	SHARES: 100 00 #REORG ADAC LABS INC CASH MERGER 218 5
07-06-00 SOLD 07-25-97 ACQUIRED	2,631.16	1,821 38	809.78	0.00	SHARES: 100.00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01
07-06-00 SOLD 09-16-96 ACQUIRED	3,976.13	931 99	3,044 14	0 00	SHARES: 100 00 #REORG ADAC LABS INC CASH MERGER 218 5 EFF 12/18/00
07-07-00 SOLD 07-25-97 ACQUIRED	7,578.01	5,464.14	2,113 87	0.00	SHARES: 300.00 SOS STAFFING SVCS INC COM
07-07-00 SOLD 04-27-98 ACQUIRED	5,399.82	36,149.94	30,750 12-	0.00	SHARES: 1,800 00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-07-00 SOLD 04-28-98 ACQUIRED	3,299 89	22,000.00	18,700 11-	0.00	SHARES: 1,100 00 #REORG ADAC LABS INC CASH MERGER 218.5 EFF 12/18/00
07-10-00 SOLD 07-25-97 ACQUIRED	2,578.19	1,821 38	756.81	0 00	SHARES: 100.00 SOS STAFFING SVCS INC COM
07-10-00 SOLD 04-28-98 ACQUIRED	3,199 24	22,000.00	18,800 76-	0.00	SHARES: 1,100.00
07-10-00 SOLD 08-26-98 ACQUIRED	290 84	1,600 00	1,309 16-	0.00	SHARES: 100 00
07-10-00 SOLD 08-27-98 ACQUIRED	290.84	1,550.00	1,259.16-	0.00	SHARES: 100 00
07-10-00 SOLD 08-28-98 ACQUIRED	1,454 20	7,500 00	6,045.80-	0 00	SHARES: 500 00
07-10-00 SOLD 08-31-98 ACQUIRED	290.84	1,334.25	1,043 41-	0.00	SHARES: 100 00
07-10-00 SOLD 10-20-98 ACQUIRED	1,454 20	4,000.00	2,545 80-	0.00	SHARES: 500 00 CONNED CORP COM

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
07-12-00 SOLD 11-19-97 ACQUIRED	17,186 92	23,428.46	6,241 54-	0.00
#REG ADAC LABS INC CASH MERGER 218 5 EFF 12/18/00				
07-13-00 SOLD 07-25-97 ACQUIRED	2,293 51	1,821 38	472 13	0.00
#REG BUSH BOKE ALLEN INC COM CASH MERGER 248 5 EFF 11/9/00				
07-14-00 SOLD 09-17-96 ACQUIRED	43,235 05	22,280.00	20,955.05	0.00
#REG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01				
07-14-00 SOLD 09-16-96 ACQUIRED	9,152.65	1,863.98	7,288 67	0.00
SHARES: BENCHMARK ELECTRS INC COM				
07-17-00 SOLD 05-27-99 ACQUIRED	29,519 85	16,800 00	12,719 85	0.00
SHARES: #REG ADAC LABS INC CASH MERGER 218.5 EFF 12/18/00				

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO 26-69329

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 07-18-00 ACQUIRED 07-25-97	1,908 29	1,821 38	86 91	0 00
SHARES:	SOLD 07-18-00 ACQUIRED 07-28-97	9,541 42	8,989.75	551 67	0 00
SHARES	SOLD 07-18-00 ACQUIRED 07-30-97	5,724 86	5,444 31	280.55	0.00
BENCHMARK ELECTRS INC COM	SOLD 07-18-00 ACQUIRED 05-27-99	14,297.97	8,400.00	5,897 97	0 00
NATIONAL-OILWELL INC COM	SOLD 07-18-00 ACQUIRED 11-18-96	7 88	4 52	3 36	0 00
SHARES:	SOLD 07-18-00 ACQUIRED 11-18-96	0.25			
#REGOR ADAC LABS INC CASH MERGER 218 5 EFF 12/18/00	SOLD 07-19-00 ACQUIRED 07-30-97	3,674 85	3,629.54	45 31	0 00
SHARES:	SOLD 08-02-00 ACQUIRED 07-15-97	5,732.30	2,497 88	3,234.42	0.00
TRIGON HEALTHCARE INC CL A COMMON STOCK	SOLD 08-02-00 ACQUIRED 07-15-97				
SHARES:	SOLD 08-02-00 ACQUIRED 07-15-97				
#REGOR KENT ELECTRONICS STK MERGER TO AVNET INC 2042752 EFF 6/8/01	SOLD 08-02-00 ACQUIRED 07-15-97				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
08-04-00 ACQUIRED	8,499 01	3,620 19	4,878.82	0.00	SHARES: 300 00 BENCHMARK ELECTRS INC COM
09-06-00 SOLD	5,801 32	2,800 00	3,001 32	0.00	SHARES: 100.00 BENCHMARK ELECTRS INC COM
05-27-99 ACQUIRED					WHOLE FOODS MKT INC COM
09-06-00 SOLD	11,615 05	6,055 78	5,559.27	0.00	SHARES: 200 00 BENCHMARK ELECTRS INC COM
03-11-99 ACQUIRED					BENCHMARK ELECTRS INC COM
09-07-00 SOLD	6,007.19	2,800.00	3,207 19	0.00	SHARES 100 00 BENCHMARK ELECTRS INC COM
05-27-99 ACQUIRED					GLOBAL INDS LTD COMMON STOCK
09-18-00 SOLD	38,498 71	22,575 00	15,923 71	0.00	SHARES 2,800 00 HANOVER COMPRESSOR CO COM (HOLDING COMPANY) COM
09-16-96 ACQUIRED					
09-18-00 SOLD	6,364.79	1,955.50	4,409 29	0.00	SHARES 200.00 HANOVER COMPRESSOR CO COM (HOLDING COMPANY) COM
02-25-99 ACQUIRED					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY	SHARES:	28% RATE	GAIN/LOSS
0.00	4,336.36	2,028.42	6,364.78	09-18-00 SOLD 02-25-99 ACQUIRED	SHARES: #REORG KENT ELECTRONICS STK MERGER TO AVNET INC 2042752 EFF 6/8/01	200.00	0.00	4,336.36
0.00	6,522.35	3,024.83	9,547.18	09-18-00 SOLD 02-26-99 ACQUIRED	SHARES: #REORG KENT ELECTRONICS STK MERGER TO AVNET INC 2042752 EFF 6/8/01	300.00	0.00	6,522.35
0.00	6,642.97	4,826.92	11,469.89	09-18-00 SOLD 05-12-99 ACQUIRED	SHARES: UNIT CORP COM	400.00	0.00	6,642.97
0.00	13,543.31	9,912.15	23,455.46	09-18-00 SOLD 10-17-96 ACQUIRED	SHARES: UNIT CORP COM	1,500.00	0.00	13,543.31
0.00	859.33	704.37	1,563.70	09-18-00 SOLD 10-18-96 ACQUIRED	SHARES: VARCO INTL INC DEL COM	100.00	0.00	859.33
0.00	10,324.75	11,937.00	22,261.75	09-18-00 SOLD 09-11-98 ACQUIRED	SHARES: VARCO INTL INC DEL COM	1,000.00	0.00	10,324.75
0.00	8,414.21	10,500.00	18,914.21	09-20-00 SOLD 09-16-96 ACQUIRED	SHARES: PRIDE INTL INC COM	700.00	0.00	8,414.21

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-20-00 ACQUIRED	37,828.41	20,825.00	17,003.41	0.00	SHARES: 1,400.00 SOLD 09-20-00 ACQUIRED 09-17-96 BENCHMARK ELECTRS INC COM
09-22-00 ACQUIRED	5,040.30	2,800.00	2,240.30	0.00	SHARES 100.00 SOLD 09-22-00 ACQUIRED 05-27-99 #REGOR BUSH BOAKE ALLEN INC COM CASH
09-22-00 ACQUIRED	5,040.30	2,929.08	2,111.22	0.00	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 05-28-99 #REGOR BUSH BOAKE ALLEN INC COM CASH
09-25-00 ACQUIRED	23,724.21	11,140.00	12,584.21	0.00	SHARES: 500.00 SOLD 09-25-00 ACQUIRED 09-17-96 #REGOR CROSS TIMBERS NAME CHANGE TO XT
09-25-00 ACQUIRED	9,489.68	5,036.60	4,453.08	0.00	SHARES: 200.00 SOLD 09-25-00 ACQUIRED 02-20-97 #REGOR CROSS TIMBERS NAME CHANGE TO XT
09-25-00 ACQUIRED	4,744.84	2,518.30	2,226.54	0.00	SHARES: 100.00 SOLD 09-25-00 ACQUIRED 02-21-97 #REGOR CROSS TIMBERS NAME CHANGE TO XT
09-25-00 ACQUIRED	4,744.84	2,518.30	2,226.54	0.00	SHARES: 100.00 SOLD 09-25-00 ACQUIRED 02-24-97 #REGOR CROSS TIMBERS NAME CHANGE TO XT

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES 0.50 SOLD 09-26-00 ACQUIRED 01-05-95 8 52 2.08 6 44 0 00

BENCHMARK ELECTRS INC COM

SHARES: 100 00 SOLD 09-29-00 ACQUIRED 06-01-99 5,209 88 3,067 70 2,142 18 0 00

ALLIANT TECHSYSTEMS INC COM

SHARES. 200.00 SOLD 10-04-00 ACQUIRED 05-28-97 16,189 46 9,606 52 6,582 94 0 00

NEWPORT NEWS SHIPBUILDING INC COM STK

SHARES. 300 00 SOLD 10-04-00 ACQUIRED 12-30-97 12,858.71 7,428 90 5,429 81 0 00

WHOLE FOODS MKT INC COM

SHARES: 100 00 SOLD 10-04-00 ACQUIRED 03-11-99 5,387 85 3,027 89 2,359 96 0 00

NN INC COM

SHARES 1,300.00 SOLD 10-05-00 ACQUIRED 12-19-94 10,724 64 10,761.11 36 47- 0 00

NEWPORT NEWS SHIPBUILDING INC COM STK

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28% RATE  
 GAIN/LOSS

0.00

0.00

0.00

0.00

0.00

0.00

DESCRIPTION OF PROPERTY

DATE SOLD/  
 ACQUIRED

SALES  
 PROCEEDS

COST  
 BASIS

GAIN/LOSS

200 00 SOLD 10-05-00 ACQUIRED 12-30-97

100.00 SOLD 10-05-00 ACQUIRED 01-02-98

TRIGON HEALTHCARE INC CL A COMMON STOCK

200 00 SOLD 10-05-00 ACQUIRED 07-15-97

WHOLE FOODS MKT INC COM

200 00 SOLD 10-05-00 ACQUIRED 03-11-99

NN INC COM

3,400.00 SOLD 10-06-00 ACQUIRED 12-19-94

#REGO BLOCK DRUG CO CASH MERGER 253 EFF  
 1/18/01

500.00 SOLD 10-09-00 ACQUIRED 10-18-96

#REGO BLOCK DRUG CO CASH MERGER 253 EFF  
 1/18/01

26,113.07 20,490.71 5,622.36

27,624.07 28,144.44 520.37-

10,810.43 6,055.78 4,754.65

11,707.80 4,995.76 6,712.04

4,294.32 2,486.53 1,807.79

8,588.63 4,952.60 3,636.03



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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DESCRIPTION OF PROPERTY ACQUIRED DATE SOLD/ SALES PROCEEDS BASIS COST 28% RATE GAIN/LOSS

SHARES: 300 00 SOLD 10-09-00 15,675 10 12,294.43 3,380.67 0.00  
 NN INC COM ACQUIRED 10-18-96

SHARES: 50.00 SOLD 10-09-00 381 24 413 89 32.65- 0.00  
 ACQUIRED 12-19-94

SHARES: 1,125 00 SOLD 10-09-00 8,577.84 10,562 50 1,984.66- 0.00  
 ACQUIRED 01-31-95

SHARES: 750.00 SOLD 10-09-00 5,718 56 8,062.50 2,343.94- 0.00  
 ACQUIRED 10-03-95

#REORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01

SHARES: 600.00 SOLD 10-10-00 31,357 11 24,588.85 6,768 26 0.00  
 ACQUIRED 10-18-96

HANOVER COMPRESSOR CO COM (HOLDING COMPANY) COM

SHARES: 200 00 SOLD 10-10-00 5,846 28 2,016.55 3,829 73 0.00  
 ACQUIRED 02-26-99

#REORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01

SHARES: 200 00 SOLD 10-10-00 5,846 28 2,016.55 3,829 73 0.00  
 ACQUIRED 02-26-99

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DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
10-11-00 SOLD	3,258.72	2,556.01	702.71	0.00	SHARES: 62 37
10-11-00 ACQUIRED					SHARES: 327.82
10-11-00 SOLD	17,128.03	14,100.00	3,028.03	0.00	SHARES: 546 36
10-11-00 ACQUIRED					SHARES: 530 45
10-11-00 SOLD	27,715.09	18,875.00	8,840.09	0.00	SHARES: 103 00
10-11-00 ACQUIRED					SHARES: 103.00
10-11-00 SOLD	5,381.57	4,012.50	1,369.07	0.00	SHARES: 103.00
10-11-00 ACQUIRED					SHARES: 103.00
10-11-00 SOLD	5,381.57	4,031.25	1,350.32	0.00	SHARES: 309 00
10-11-00 ACQUIRED					SHARES: 103.00
10-11-00 SOLD	16,144.71	12,787.50	3,357.21	0.00	SHARES: 103.00
10-11-00 ACQUIRED					SHARES: 115 00
10-11-00 SOLD	5,381.57	4,050.00	1,331.57	0.00	SHARES: 62 37
10-11-00 ACQUIRED					SHARES: 115 00
10-11-00 SOLD	6,008.55	4,396.24	1,612.31	0.00	SHARES: 115 00
10-11-00 ACQUIRED					SHARES: 115 00

TRIGON HEALTHCARE INC CL A COMMON STOCK

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-69329

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100.00	SOLD 10-11-00 ACQUIRED 07-16-97	6,499.16	2,679.24	3,819.92	0.00
*REORG BLOCK DRUG CO CASH MERGER 253 EFF 1/18/01					
SHARES: 297.00	SOLD 10-12-00 ACQUIRED 02-23-99	15,509.33	11,353.76	4,155.57	0.00
HANOVER COMPRESSOR CO COM (HOLDING COMPANY) COM					
SHARES: 300.00	SOLD 10-12-00 ACQUIRED 02-26-99	9,697.05	3,024.82	6,672.23	0.00
PRIDE INTL INC COM					
SHARES: 700.00	SOLD 10-12-00 ACQUIRED 09-17-96	19,751.17	10,412.50	9,338.67	0.00
VARCO INTL INC DEL COM					
SHARES: 400.00	SOLD 10-12-00 ACQUIRED 09-11-98	7,890.89	4,774.80	3,116.09	0.00
ALLIANT TECHSYSTEMS INC COM					
SHARES: 100.00	SOLD 10-13-00 ACQUIRED 05-28-97	8,194.72	4,803.26	3,391.46	0.00
ALLIANT TECHSYSTEMS INC COM					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 10-20-00 ACQUIRED 05-28-97	8,899.47	4,803.26	4,096.21	0.00
WHOLE FOODS MKT INC COM					
SHARES	SOLD 10-20-00 ACQUIRED 03-11-99	5,491.57	3,027.89	2,463.68	0.00
SHARES	SOLD 10-20-00 ACQUIRED 03-12-99	5,491.56	3,015.38	2,476.18	0.00
WHOLE FOODS MKT INC COM					
SHARES	SOLD 10-20-00 ACQUIRED 03-11-99	5,481.06	3,027.89	2,453.17	0.00
ALLIANT TECHSYSTEMS INC COM					
SHARES	SOLD 10-24-00 ACQUIRED 05-28-97	8,738.25	4,803.26	3,934.99	0.00
NEWPORT NEWS SHIPBUILDING INC COM STK					
SHARES	SOLD 10-24-00 ACQUIRED 01-02-98	4,772.68	2,486.53	2,286.15	0.00
WHOLE FOODS MKT INC COM					
SHARES	SOLD 10-24-00 ACQUIRED 03-12-99	5,404.90	3,015.38	2,389.52	0.00
NEWPORT NEWS SHIPBUILDING INC COM STK					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 400 00	SOLD 10-25-00 ACQUIRED 01-02-98	19,174 56	9,946 12	9,228 44	0.00
TRIGON HEALTHCARE INC CL A COMMON STOCK					
SHARES: 100 00	SOLD 10-25-00 ACQUIRED 01-06-98	4,793.64	2,477.21	2,316.43	0.00
WHOLE FOODS MKT INC COM					
SHARES: 100 00	SOLD 10-25-00 ACQUIRED 07-16-97	6,709 52	2,679 24	4,030.28	0.00
NEWPORT NEWS SHIPPING INC COM STK					
SHARES: 100 00	SOLD 10-25-00 ACQUIRED 03-12-99	5,286.00	3,015 38	2,270.62	0.00
NEWPORT NEWS SHIPPING INC COM STK					
SHARES: 300 00	SOLD 10-26-00 ACQUIRED 01-09-98	14,447 75	6,991 50	7,456 25	0.00
TRIGON HEALTHCARE INC CL A COMMON STOCK					
SHARES: 100 00	SOLD 10-26-00 ACQUIRED 08-07-97	6,869 77	2,217.98	4,651.79	0.00
WHOLE FOODS MKT INC COM					
SHARES: 200.00	SOLD 10-26-00 ACQUIRED 03-12-99	9,354 14	6,030.76	3,323 38	0.00
NEWPORT NEWS SHIPPING INC COM STK					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
10-27-00 SOLD	4,906.66	2,330.50	2,576.16	0.00	SHARES: 100.00
01-09-98 ACQUIRED				0.00	SHARES: 400.00
10-27-00 SOLD	19,626.62	9,280.96	10,345.66	0.00	SHARES: 100.00
01-12-98 ACQUIRED				0.00	SHARES: 400.00
TRIGON HEALTHCARE INC CL A COMMON STOCK					
10-27-00 SOLD	7,098.76	2,217.98	4,880.78	0.00	SHARES: 100.00
08-07-97 ACQUIRED				0.00	SHARES: 100.00
WHOLE FOODS MKT INC COM					
10-27-00 SOLD	4,570.29	3,015.38	1,554.91	0.00	SHARES: 100.00
03-12-99 ACQUIRED				0.00	SHARES: 400.00
10-27-00 SOLD	18,281.14	11,832.24	6,448.90	0.00	SHARES: 500.00
03-15-99 ACQUIRED				0.00	SHARES: 500.00
WHOLE FOODS MKT INC COM					
10-30-00 SOLD	4,556.29	2,958.06	1,598.23	0.00	SHARES: 100.00
03-15-99 ACQUIRED				0.00	SHARES: 100.00
WHOLE FOODS MKT INC COM					
10-30-00 SOLD	22,185.18	6,653.94	15,531.24	0.00	SHARES: 500.00
08-07-97 ACQUIRED				0.00	SHARES: 500.00
WHOLE FOODS MKT INC COM					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
10-31-00 ACQUIRED	4,585 17	2,958 06	1,627.11	0.00	SHARES WHOLE FOODS MKT INC COM
11-01-00 SOLD	4,679 95	2,958 06	1,721 89	0.00	SHARES WHOLE FOODS MKT INC COM
03-15-99 ACQUIRED	4,679 95	2,958 06	1,721 89	0.00	SHARES WHOLE FOODS MKT INC COM
11-01-00 SOLD	4,679.95	3,531 25	1,148 70	0.00	SHARES WHOLE FOODS MKT INC COM
09-21-99 ACQUIRED	4,679.95	3,531 25	1,148 70	0.00	SHARES WHOLE FOODS MKT INC COM
11-02-00 SOLD	4,857 80	3,531.25	1,326 55	0.00	SHARES WHOLE FOODS MKT INC COM
09-21-99 ACQUIRED	4,857 80	3,531.25	1,326 55	0.00	SHARES WHOLE FOODS MKT INC COM
11-03-00 SOLD	4,899 83	3,687.50	1,212.33	0.00	SHARES #REGORG PRIMEX TECHNOLOGIES INC COM CASH MERGER 352 10 EFF 1/26/01
09-23-99 ACQUIRED	4,899 83	3,687.50	1,212.33	0.00	SHARES #REGORG PRIMEX TECHNOLOGIES INC COM CASH MERGER 352 10 EFF 1/26/01
11-09-00 SOLD	22,049 26	12,293.75	9,755 51	0.00	SHARES TRIGON HEALTHCARE INC CL A COMMON STOCK
02-04-98 ACQUIRED	22,049 26	12,293.75	9,755 51	0.00	SHARES TRIGON HEALTHCARE INC CL A COMMON STOCK

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DESCRIPTION OF PROPERTY DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

BERKLEY W R CORP COM SHARES: 100.00 SOLD 11-15-00 7,844 73 2,217 98 5,626 75 0.00  
 ACQUIRED 08-07-97

NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 600 00 SOLD 11-17-00 22,441.41 20,025.00 2,416 41 0.00  
 ACQUIRED 11-06-98

NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 100.00 SOLD 11-17-00 5,170 19 2,320 24 2,849 95 0.00  
 ACQUIRED 01-12-98

NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 300.00 SOLD 11-17-00 15,510.55 7,043.85 8,466 70 0.00  
 ACQUIRED 01-14-98

NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 100 00 SOLD 11-21-00 5,270.18 2,347 95 2,922 23 0.00  
 ACQUIRED 01-14-98

NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 100.00 SOLD 11-21-00 5,270.18 2,343 27 2,926.91 0.00  
 ACQUIRED 01-15-98

NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 100.00 SOLD 11-21-00 5,216 29 2,347.95 2,868 34 0.00  
 ACQUIRED 01-14-98



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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-693229  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES	100.00	SOLD	11-22-00	5,287.62	2,343.27	2,944.35	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	100.00	ACQUIRED	01-15-98					TRIGON HEALTHCARE INC CL A COMMON STOCK
SHARES	100.00	SOLD	11-30-00	5,501.06	2,343.27	3,157.79	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	100.00	ACQUIRED	01-15-98					TRIGON HEALTHCARE INC CL A COMMON STOCK
SHARES	200.00	SOLD	12-04-00	10,889.41	4,686.54	6,202.87	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	200.00	ACQUIRED	01-15-98					TRIGON HEALTHCARE INC CL A COMMON STOCK
SHARES	100.00	SOLD	12-04-00	5,444.71	2,604.60	2,840.11	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	100.00	ACQUIRED	01-29-98					TRIGON HEALTHCARE INC CL A COMMON STOCK
SHARES	100.00	SOLD	12-05-00	5,688.67	2,604.60	3,084.07	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	100.00	ACQUIRED	01-29-98					TRIGON HEALTHCARE INC CL A COMMON STOCK
SHARES	100.00	SOLD	12-05-00	7,380.42	2,217.98	5,162.44	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	100.00	ACQUIRED	08-07-97					TRIGON HEALTHCARE INC CL A COMMON STOCK
SHARES	100.00	SOLD	12-11-00	5,537.95	2,604.60	2,933.35	0.00	NEWPORT NEWS SHIPBUILDING INC COM STK
SHARES	100.00	ACQUIRED	01-29-98					TRIGON HEALTHCARE INC CL A COMMON STOCK

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00 SOLD 12-13-00 ACQUIRED 08-07-97	12-13-00	7,041 50	2,217.98	4,823.52	0 00
SHARES: 200.00 SOLD 12-13-00 ACQUIRED 08-08-97	12-13-00	14,083 01	4,368 88	9,714.13	0 00
ALLIANT TECHSYSTEMS INC COM SHARES: 300 00 SOLD 12-18-00 ACQUIRED 05-28-97	12-18-00	17,705.28	9,606 52	8,098.76	0 00
TRIGON HEALTHCARE INC CL A COMMON STOCK SHARES: 100 00 SOLD 12-21-00 ACQUIRED 08-08-97	12-21-00	7,588.18	2,184.44	5,403 74	0.00
ALLIANT TECHSYSTEMS INC COM SHARES: 100 00 SOLD 12-22-00 ACQUIRED 05-28-97	12-22-00	6,308 08	3,202.17	3,105 91	0.00
NEWPORT NEWS SHIPBUILDING INC COM STK SHARES: 100 00 SOLD 12-22-00 ACQUIRED 01-29-98	12-22-00	5,134.29	2,604 60	2,529.69	0 00
TRIGON HEALTHCARE INC CL A COMMON STOCK SHARES: 100.00 SOLD 12-22-00 ACQUIRED 08-08-97	12-22-00	7,374 28	2,184 44	5,189.84	0 00
BERKLEY W R CORP COM					

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 ACQUIRED  
 SALES  
 PROCEEDS  
 COST  
 BASIS  
 GAIN/LOSS

SHARES 200.00 SOLD 12-26-00 9,431.50 6,675.00 2,756.50 0.00

NEWPORT NEWS SHIPBUILDING INC COM STK

SHARES. 100.00 SOLD 12-26-00 5,252.94 2,604.60 2,648.34 0.00

NEWPORT NEWS SHIPBUILDING INC COM STK

SHARES 100.00 SOLD 12-27-00 5,281.66 2,604.60 2,677.06 0.00

SHARES 100.00 SOLD 12-27-00 5,281.66 2,526.56 2,755.10 0.00

BERKLEY W R CORP COM

SHARES. 100.00 SOLD 12-27-00 4,724.84 3,337.50 1,387.34 0.00

ALLIANT TECHSYSTEMS INC COM

SHARES. 100.00 SOLD 12-28-00 6,732.27 3,202.17 3,530.10 0.00

NEWPORT NEWS SHIPBUILDING INC COM STK

SHARES. 100.00 SOLD 12-28-00 5,304.45 2,604.60 2,699.85 0.00

STERLING BANCSHARES INC COM

28% RATE  
 GAIN/LOSS

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

TRIGON HEALTHCARE INC CL A COMMON STOCK  
 SHARES: 5,300.00 SOLD 12-28-00 ACQUIRED 04-07-97  
 108,290.23 48,141.67 60,148.56 0.00

ALLIANT TECHSYSTEMS INC COM  
 SHARES: 100.00 SOLD 12-28-00 ACQUIRED 08-08-97  
 7,754.12 2,184.44 5,569.68 0.00

HAEMONETICS CORP MASS COM  
 SHARES: 200.00 SOLD 12-29-00 ACQUIRED 05-28-97  
 13,589.16 6,404.35 7,184.81 0.00

NEWPORT NEWS SHIPBUILDING INC COM STK  
 SHARES: 100.00 SOLD 12-29-00 ACQUIRED 11-12-97  
 3,119.89 1,430.50 1,689.39 0.00

ALLIANT TECHSYSTEMS INC COM  
 SHARES: 100.00 SOLD 12-29-00 ACQUIRED 01-29-98  
 5,387.20 2,604.60 2,782.60 0.00

ALLIANT TECHSYSTEMS INC COM  
 SHARES: 100.00 SOLD 01-03-01 ACQUIRED 05-28-97  
 6,573.81 3,202.17 3,371.64 0.00

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO. 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

3,136.96

COST  
 BASIS

3,202.17

SALES  
 PROCEEDS

6,339.13

DATE SOLD/  
 ACQUIRED

01-10-01 SOLD  
 05-28-97 ACQUIRED

100.00 SHARES:

ALLIANT TECHSYSTEMS INC COM

0.00

3,155.37

3,202.18

6,357.55

01-10-01 SOLD  
 05-28-97 ACQUIRED

100.00 SHARES

BERKLEY W R CORP COM

0.00

600.90

3,337.50

3,938.40

01-10-01 SOLD  
 11-06-98 ACQUIRED

100.00 SHARES:

HAEMONETICS CORP MASS COM

0.00

1,548.78

1,430.50

2,979.28

01-10-01 SOLD  
 11-12-97 ACQUIRED

100.00 SHARES.

HAEMONETICS CORP MASS COM

0.00

1,564.40

1,430.50

2,994.90

01-10-01 SOLD  
 11-12-97 ACQUIRED

100.00 SHARES:

INVESTMENT TECHNOLOGY GROUP INC NEW COM

0.00

7,004.28

12,818.20

19,822.48

01-10-01 SOLD  
 12-17-99 ACQUIRED

500.00 SHARES.

NEWPORT NEWS SHIPBUILDING INC COM STK

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO. 26-69329  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 200 00	SOLD 01-10-01 ACQUIRED 02-02-98	9,270.59	5,053.12	4,217.47	0.00
INVESTMENT TECHNOLOGY GROUP INC NEW COM					
SHARES: 200.00	SOLD 01-11-01 ACQUIRED 12-17-99	8,419.21	5,127.28	3,291.93	0.00
MONACO COACH CORP COM					
SHARES: 2,700 00	SOLD 01-11-01 ACQUIRED 10-21-95	48,882.41	8,910.40	39,972.01	0.00
SHARES: 2,700 00	SOLD 01-11-01 ACQUIRED 10-22-95	48,882.40	9,200.00	39,682.40	0.00
FAIR ISAAC & CO INC COM					
SHARES 200 00	SOLD 01-12-01 ACQUIRED 07-27-99	9,591.27	7,286.62	2,304.65	0.00
INVESTMENT TECHNOLOGY GROUP INC NEW COM					
SHARES: 200 00	SOLD 01-12-01 ACQUIRED 12-17-99	8,532.37	5,248.60	3,283.77	0.00
FAIR ISAAC & CO INC COM					
SHARES 100.00	SOLD 01-16-01 ACQUIRED 07-27-99	4,782.99	3,643.31	1,139.68	0.00
INVESTMENT TECHNOLOGY GROUP INC NEW COM					

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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC. - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES.	SOLD 01-16-01 ACQUIRED 12-20-99	4,203.90	2,772.41	1,431.49	0.00
NASDAQ-100 INDEX CL ACTION SETTLEMENT PROCEEDS		4,284.60		4,284.60	
FAIR ISAAC & CO INC COM					
SHARES:	SOLD 01-22-01 ACQUIRED 07-27-99	9,409.12	7,286.62	2,122.50	0.00
FAIR ISAAC & CO INC COM					
SHARES	SOLD 01-23-01 ACQUIRED 07-27-99	4,698.11	3,643.31	1,054.80	0.00
FAIR ISAAC & CO INC COM					
SHARES:	SOLD 01-24-01 ACQUIRED 07-27-99	9,500.38	7,286.62	2,213.76	0.00
COACHMEN INDS INC COM					
SHARES:	SOLD 01-24-01 ACQUIRED 10-19-95	26,279.12	20,322.00	5,957.12	0.00
FAIR ISAAC & CO INC COM					
SHARES.	SOLD 01-24-01 ACQUIRED 10-23-95	13,139.56	10,086.00	3,053.56	0.00
FAIR ISAAC & CO INC COM					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES.	SOLD 01-26-01 ACQUIRED 07-27-99	16,184 46	10,929.93	5,254.53	0.00
#REGORG PRIMEX TECHNOLOGIES INC COM CASH MERGER 032 10 EFF 1/26/01					
SHARES:	SOLD 01-29-01 ACQUIRED 02-04-98	105,930 00	57,956 25	47,973 75	0 00
SHARES:	SOLD 01-29-01 ACQUIRED 01-28-99	12,840 00	9,025.00	3,815 00	0.00
SHARES	SOLD 01-29-01 ACQUIRED 03-16-99	6,420 00	4,200.00	2,220.00	0.00
SHARES	SOLD 01-29-01 ACQUIRED 03-17-99	6,420.00	4,130.68	2,289.32	0 00
SHARES	SOLD 01-29-01 ACQUIRED 04-26-99	35,310.00	23,718.75	11,591 25	0.00
SHARES.	SOLD 01-29-01 ACQUIRED 05-06-99	28,890 00	18,787.50	10,102 50	0 00
#REGORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01					
SHARES.	SOLD 02-08-01 ACQUIRED 09-16-96	7,179.76	1,863 98	5,315.78	0 00
FAIR ISAAC & CO INC COM					



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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 200 00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	SOLD 02-08-01 ACQUIRED 07-27-99	12,762 41	7,286 62	5,475.79	0.00
SHARES: 400 00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	SOLD 02-09-01 ACQUIRED 09-16-96	14,212 00	3,727 96	10,484 04	0.00
SHARES: 100.00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	SOLD 02-12-01 ACQUIRED 09-16-96	3,618.61	931 99	2,686 62	0 00
SHARES: 200.00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	SOLD 03-01-01 ACQUIRED 11-18-99	2,224.88	2,880.56	655 68-	0 00
SHARES 500 00 MUTUAL RISK MGMT LTD COM	SOLD 03-02-01 ACQUIRED 09-16-96	16,151 71	4,659 95	11,491 76	0 00

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SURDNA FOUNDATION, INC. - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329 AAB

SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY  
 DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES. 100.00 SOLD 03-02-01 ACQUIRED 11-18-99 1,073.82 1,440.28 366.46- 0.00

#REORG DALLAS SEMICONDUCTOR CORP COM STK  
 MERGER TO MAXIM INTEGRATED PRODUCTS INC  
 2542331 EFF 4/11/01

SHARES 600.00 SOLD 03-02-01 ACQUIRED 09-16-96 18,562.24 5,591.94 12,970.30 0.00

#REORG DALLAS SEMICONDUCTOR CORP COM STK  
 MERGER TO MAXIM INTEGRATED PRODUCTS INC  
 2542331 EFF 4/11/01

SHARES 200.00 SOLD 03-05-01 ACQUIRED 09-16-96 6,416.70 1,863.98 4,552.72 0.00

MUTUAL RISK MGMT LTD COM

SHARES 100.00 SOLD 03-05-01 ACQUIRED 11-18-99 1,012.97 1,440.28 427.31- 0.00

MUTUAL RISK MGMT LTD COM

SHARES: 800.00 SOLD 03-05-01 ACQUIRED 11-18-99 8,024.05 11,522.24 3,498.19- 0.00

ALLIANT TECHSYSTEMS INC COM

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
BERKLEY W R CORP COM	03-06-01	8,574.71	3,202.17	5,372.54	0 00
SHARES:	SOLD				
ACQUIRED	05-28-97				
BERKLEY W R CORP COM	03-06-01	4,612.34	3,337.50	1,274.84	0 00
SHARES:	SOLD				
ACQUIRED	11-06-98				
#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	03-06-01	7,013.94	1,863.98	5,149.96	0 00
SHARES:	SOLD				
ACQUIRED	09-16-96				
MUTUAL RISK MGMT LTD COM	03-06-01	4,980.38	7,201.40	2,221.02	0 00
SHARES:	SOLD				
ACQUIRED	11-18-99				
ALLIANT TECHSYSTEMS INC COM	03-07-01	21,408.06	8,005.44	13,402.62	0 00
SHARES:	SOLD				
ACQUIRED	05-28-97				
BERKLEY W R CORP COM	03-07-01	21,408.06	9,611.18	11,796.88	0.00
SHARES:	SOLD				
ACQUIRED	08-14-97				

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01249 ACCOUNT NO. 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

2,699.68

COST  
 BASIS

6,675.00

SALES  
 PROCEEDS

9,379.68

DATE SOLD/  
 ACQUIRED

SOLD 03-07-01  
 ACQUIRED 11-06-98

200.00

SHARES:

#REORG DALLAS SEMICONDUCTOR CORP COM STK  
 MERGER TO MAXIM INTEGRATED PRODUCTS INC  
 2542331 EFF 4/11/01

SHARES: 200.00

SOLD 03-07-01  
 ACQUIRED 09-16-96

6,889.59

1,863.98

5,025.61

0.00

ALLIANT TECHSYSTEMS INC COM

SHARES:

200.00

SOLD 03-08-01  
 ACQUIRED 08-14-97

16,900.65

7,688.95

9,211.70

0.00

BERKLEY W R CORP COM

SHARES:

200.00

SOLD 03-08-01  
 ACQUIRED 11-06-98

9,377.46

6,675.00

2,702.46

0.00

BERKLEY W R CORP COM

SHARES:

200.00

SOLD 03-08-01  
 ACQUIRED 11-06-98

9,399.68

6,675.00

2,724.68

0.00

#REORG DALLAS SEMICONDUCTOR CORP COM STK  
 MERGER TO MAXIM INTEGRATED PRODUCTS INC  
 2542331 EFF 4/11/01

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
ALLIANT TECHSYSTEMS INC COM SHARES: 200 00	SOLD 03-08-01 ACQUIRED 09-16-96	6,935.02	1,863.98	5,071.04	0.00
BERKLEY W R CORP COM SHARES: 100 00	SOLD 03-09-01 ACQUIRED 08-14-97	8,426.71	3,844.47	4,582.24	0.00
BERKLEY W R CORP COM SHARES: 100 00	SOLD 03-09-01 ACQUIRED 11-06-98	4,749.84	3,337.50	1,412.34	0.00
BERKLEY W R CORP COM SHARES: 100.00	SOLD 03-09-01 ACQUIRED 11-06-98	4,727.10	3,337.50	1,389.60	0.00
#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01 SHARES 300 00	SOLD 03-09-01 ACQUIRED 09-16-96	10,056.23	2,795.97	7,260.26	0.00
MUTUAL RISK MGMT LTD COM SHARES: 100.00	SOLD 03-09-01 ACQUIRED 11-18-99	745.64	1,440.28	694.64-	0.00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, ALLIANT TECHSYSTEMS INC COM	03-12-01 SOLD 08-14-97 ACQUIRED	4,216.36	1,922.24	2,294.12	0.00
SHARES	03-12-01 SOLD 08-15-97 ACQUIRED	12,649.09	5,754.90	6,894.19	0.00
SHARES	03-12-01 SOLD 09-29-97 ACQUIRED	12,649.10	6,361.75	6,287.35	0.00
SHARES	03-12-01 SOLD 10-01-97 ACQUIRED	4,216.36	2,164.33	2,052.03	0.00
*REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01					
SHARES:	03-12-01 SOLD 09-16-96 ACQUIRED	25,631.54	7,455.92	18,175.62	0.00
MUTUAL RISK MGMT LTD COM	03-12-01 SOLD 11-18-99 ACQUIRED	5,421.11	10,185.00	4,763.89-	0.00

01249 ACCOUNT NO 26-69329  
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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-12-01 SOLD	2,323.33	4,587 24	2,263.91-	0.00	SHARES.
11-19-99 ACQUIRED	2,323.33				
03-12-01 SOLD	2,323.33	4,670.67	2,347 34-	0.00	SHARES.
11-22-99 ACQUIRED	2,323.33				
03-12-01 SOLD	100.00	1,550.88	776.44-	0.00	SHARES
03-12-01 SOLD	100.00				
11-23-99 ACQUIRED					
ALLIANT TECHSYSTEMS INC COM					
03-13-01 SOLD	8,407.53	4,328.67	4,078 86	0.00	SHARES;
10-01-97 ACQUIRED	8,407.53				
BERKLEY W R CORP COM					
03-13-01 SOLD	4,704 02	3,337 50	1,366 52	0.00	SHARES
11-06-98 ACQUIRED	4,704 02				
*REGOR DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01					
03-13-01 SOLD	18,134 39	5,591 94	12,542 45	0.00	SHARES
09-16-96 ACQUIRED	18,134 39				
MUTUAL RISK MGMT LTD COM					

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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28X RATE GAIN/LOSS
SHARES: 200 00	SOLD 03-13-01 ACQUIRED 11-23-99	1,537 77	3,101.76	1,563 99-	0.00
SHARES: 800 00	SOLD 03-13-01 ACQUIRED 11-24-99	6,151 07	12,487.84	6,336 77-	0.00
SHARES: 100.00	SOLD 03-13-01 ACQUIRED 11-29-99	768.88	1,597.88	829 00-	0 00
#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	SOLD 03-14-01 ACQUIRED 09-16-96	8,872 95	2,795.97	6,076 98	0.00
MUTUAL RISK MGMT LTD COM					
SHARES: 100.00	SOLD 03-14-01 ACQUIRED 11-29-99	754 29	1,597.88	843 59-	0.00
SHARES: 300 00	SOLD 03-14-01 ACQUIRED 12-06-99	2,262 88	4,222 41	1,959 53-	0.00
#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01	SOLD 03-15-01 ACQUIRED 09-16-96	9,129 74	2,795 97	6,333.77	0.00
SHARES: 300 00	SOLD 03-15-01 ACQUIRED 09-16-96				
META GROUP INC COM					



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-15-01 SOLD 12-03-99 ACQUIRED	386 79	1,500 86	1,114 07-	0 00	MUTUAL RISK MGMT LTD COM SHARES; 100.00
03-15-01 SOLD 12-06-99 ACQUIRED	722 22	1,407.47	685.25-	0.00	MUTUAL RISK MGMT LTD COM SHARES 100 00
03-15-01 SOLD 12-07-99 ACQUIRED	2,888 90	5,580 48	2,691 58-	0.00	SHARES 400.00
03-15-01 SOLD 12-09-99 ACQUIRED	722.23	1,426 88	704.65-	0 00	SHARES; 100.00
#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01					
03-16-01 SOLD 09-16-96 ACQUIRED	17,027.31	5,591.94	11,435 37	0 00	SHARES 600.00
MUTUAL RISK MGMT LTD COM					
03-16-01 SOLD 12-09-99 ACQUIRED	2,086.37	4,280 64	2,194.27-	0.00	SHARES; 300 00
#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01					
03-16-01 SOLD 12-10-99 ACQUIRED	2,781 82	5,888 24	3,106.42-	0.00	SHARES; 400 00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

ACCOUNT NO	A/B	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
01249	AAB	03-19-01	26,178.59	8,387.91	17,790.68	0.00	MUTUAL RISK MGMT LTD COM SHARES 900 00 SOLD 03-19-01 ACQUIRED 09-16-96
26-69329		03-19-01	2,121.80	4,493.28	2,371.48-	0.00	MUTUAL RISK MGMT LTD COM SHARES 300 00 SOLD 03-19-01 ACQUIRED 12-13-99
		03-19-01	1,414.53	2,903.82	1,489.29-	0.00	MUTUAL RISK MGMT LTD COM SHARES 200 00 SOLD 03-19-01 ACQUIRED 12-14-99
		03-19-01	722.54	1,497.76	775.22-	0.00	MUTUAL RISK MGMT LTD COM SHARES 100 00 SOLD 03-19-01 ACQUIRED 12-13-99
		03-20-01	4,216.84	1,893.50	2,323.34	0.00	ALLIANT TECHSYSTEMS INC COM SHARES 50.00 SOLD 03-20-01 ACQUIRED 11-18-97
		03-20-01	4,216.84	2,069.90	2,146.94	0.00	SHARES 50 00 SOLD 03-20-01 ACQUIRED 04-13-98
		03-20-01	8,670.85	2,795.97	5,874.88	0.00	SHARES 300 00 SOLD 03-20-01 ACQUIRED 09-16-96
							REGORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

MUTUAL RISK MGMT LTD COM SHARES: 500.00 SOLD 03-20-01 14,451.41 4,639.50 9,811.91 0.00

MUTUAL RISK MGMT LTD COM SHARES: 400.00 SOLD 03-20-01 2,835.50 5,807.64 2,972.14- 0.00

#REGD DALLAS SEMICONDUCTOR CORP COM STK  
 MERGER TO MAXIM INTEGRATED PRODUCTS INC  
 2542331 EFF 4/11/01

SHARES: 300.00 SOLD 03-21-01 8,531.78 2,783.70 5,748.08 0.00

SHARES: 400.00 SOLD 03-21-01 11,375.70 3,594.30 7,781.40 0.00

SHARES: 300.00 SOLD 03-21-01 8,531.77 2,838.29 5,693.48 0.00

MUTUAL RISK MGMT LTD COM

SHARES: 300.00 SOLD 03-21-01 2,038.13 4,401.27 2,363.14- 0.00

SHARES: 100.00 SOLD 03-21-01 679.37 1,494.06 814.69- 0.00

#REGD DALLAS SEMICONDUCTOR CORP COM STK  
 MERGER TO MAXIM INTEGRATED PRODUCTS INC  
 2542331 EFF 4/11/01

SHARES: 300.00 SOLD 03-21-01 2,038.13 4,401.27 2,363.14- 0.00

SHARES: 100.00 SOLD 03-21-01 679.37 1,494.06 814.69- 0.00

SHARES: 300.00 SOLD 03-21-01 2,038.13 4,401.27 2,363.14- 0.00

SHARES: 100.00 SOLD 03-21-01 679.37 1,494.06 814.69- 0.00

SHARES: 300.00 SOLD 03-21-01 2,038.13 4,401.27 2,363.14- 0.00

SHARES: 100.00 SOLD 03-21-01 679.37 1,494.06 814.69- 0.00

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-22-01 SOLD 10-11-96 ACQUIRED	26,459 03	8,514.85	17,944.18	0.00	SHARES · 900.00
03-22-01 SOLD 10-31-96 ACQUIRED	5,879 78	1,968.30	3,911.48	0.00	SHARES: 200.00
MUTUAL RISK MGMT LTD COM					
03-22-01 SOLD 12-17-99 ACQUIRED	1,846 88	4,608.51	2,761 63-	0.00	SHARES: 300.00
03-22-01 SOLD 12-20-99 ACQUIRED	615.63	1,571 67	956.04-	0.00	SHARES: 100.00
#REORG KENT ELECTRONICS STK MERGER TO AVNET INC 2042752 EFF 6/8/01					
03-22-01 SOLD 05-12-99 ACQUIRED	62,839.06	44,649 01	18,190 05	0.00	SHARES: 3,700.00
03-22-01 SOLD 05-14-99 ACQUIRED	8,491 77	6,090 00	2,401 77	0.00	SHARES: 500.00
MUTUAL RISK MGMT LTD COM					
03-23-01 SOLD 12-20-99 ACQUIRED	636.45	1,571 67	935 22-	0.00	SHARES: 100.00
MUTUAL RISK MGMT LTD COM					

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-23-01 SOLD 12-20-99 ACQUIRED	637 97	1,571 67	933 70-	0.00	SHARES 100 00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01
03-27-01 SOLD 10-31-96 ACQUIRED	11,842 44	3,936 60	7,905 84	0 00	SHARES; 400.00 #REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01
03-28-01 SOLD 10-31-96 ACQUIRED	8,587 12	2,952.45	5,634.67	0.00	SHARES; 300 00 META GROUP INC COM SHARES; 1,100.00
03-28-01 SOLD 12-03-99 ACQUIRED	3,024 89	16,509.46	13,484.57-	0.00	SHARES; META GROUP INC COM
03-29-01 SOLD 12-03-99 ACQUIRED	4,936.58	37,521.50	32,584 92-	0.00	SHARES. 2,500.00 META GROUP INC COM
03-29-01 SOLD 12-06-99 ACQUIRED	394 93	3,075 00	2,680.07-	0 00	SHARES 200.00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-69329  
 AAB

SS870

DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 ACQUIRED  
 SALES  
 PROCEEDS  
 COST  
 BASIS  
 GAIN/LOSS  
 28% RATE  
 GAIN/LOSS

SHARES:	1,200 00	SOLD	03-29-01	2,369 56	17,590.92	15,221 36-	0.00
SHARES:	200.00	SOLD	03-29-01	394 92	2,891 58	2,496.66-	0.00
SHARES:	100 00	SOLD	03-29-01	197 47	1,412.50	1,215.03-	0.00
#REGD DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01							
SHARES:	300 00	SOLD	03-29-01	8,546.47	2,952.45	5,594 02	0.00
SHARES:	100.00	SOLD	04-02-01	9,030 84	4,139 79	4,891.05	0.00
SHARES:	100.00	SOLD	04-11-01	8,795 82	4,139.79	4,656 03	0.00
ALLIANT TECHSYSTEMS INC COM							
SHARES:	100 00	SOLD	04-11-01	4,392.85	3,356 25	1,036 60	0.00
ALLIANT TECHSYSTEMS INC COM							
SHARES:	100 00	SOLD	04-11-01	4,392.85	3,356 25	1,036 60	0.00
BERKLEY W R CORP COM							

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MUTUAL RISK MGMT LTD COM					
SHARES:	04-12-01 SOLD	8,755.44	4,139.79	4,615.65	0.00
SHARES:	04-13-98 ACQUIRED				
SHARES:	04-18-01 SOLD	363.36	1,614.64	1,251.28	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	363.35	1,601.25	1,237.90	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	363.36	1,592.50	1,229.14	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	363.36	1,584.17	1,220.81	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	726.71	3,264.26	2,537.55	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	363.36	1,640.13	1,276.77	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	363.36	1,615.42	1,252.06	0.00
SHARES:	04-18-01 ACQUIRED				
SHARES:	04-18-01 SOLD	363.35	1,654.38	1,291.03	0.00
SHARES:	04-18-01 ACQUIRED				

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
04-18-01 SOLD	1,090.08	5,019.99	3,929.91-	0.00	SHARES; 500.00
04-18-01 ACQUIRED					SHARES; 500.00
04-18-01 SOLD	363.35	1,666.97	1,303.62-	0.00	SHARES; 100.00
04-18-01 ACQUIRED					SHARES; 100.00
04-18-01 SOLD	1,816.79	8,243.75	6,426.96-	0.00	SHARES; 500.00
04-18-01 ACQUIRED					SHARES; 500.00
04-18-01 SOLD	363.36	1,473.90	1,110.54-	0.00	SHARES; 100.00
04-18-01 ACQUIRED					SHARES; 100.00
04-18-01 SOLD	726.71	3,186.00	2,459.29-	0.00	SHARES; 200.00
04-18-01 ACQUIRED					SHARES; 200.00
04-18-01 SOLD	363.36	1,498.75	1,135.39-	0.00	SHARES; 100.00
04-18-01 ACQUIRED					SHARES; 100.00
04-18-01 SOLD	363.36	1,451.84	1,088.48-	0.00	SHARES; 100.00
04-18-01 ACQUIRED					SHARES; 100.00
04-18-01 SOLD	726.71	2,907.20	2,180.49-	0.00	SHARES; 200.00
04-18-01 ACQUIRED					SHARES; 200.00
04-18-01 SOLD	726.72	2,818.34	2,091.62-	0.00	SHARES; 200.00
04-18-01 ACQUIRED					SHARES; 200.00
04-18-01 SOLD	726.71	2,815.00	2,088.29-	0.00	SHARES; 200.00
04-18-01 ACQUIRED					SHARES; 200.00



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	04-18-01 SOLD 02-29-00 ACQUIRED	363 36	1,395.89	1,032 53-	0.00
SHARES	04-18-01 SOLD 03-01-00 ACQUIRED	726.72	2,726 66	1,999 94-	0.00
SHARES:	04-18-01 SOLD 03-03-00 ACQUIRED	6,540.43	23,490 00	16,949 57-	0.00
MUTUAL RISK MGMT LTD COM					
SHARES:	04-18-01 SOLD 12-20-99 ACQUIRED	357.99	1,571.67	1,213 68-	0.00
SHARES:	04-18-01 SOLD 12-21-99 ACQUIRED	1,431 95	6,295.08	4,863.13-	0.00
SHARES	04-18-01 SOLD 12-23-99 ACQUIRED	1,073 96	5,013.36	3,939.40-	0.00
SHARES:	04-18-01 SOLD 12-27-99 ACQUIRED	1,073 96	4,957 56	3,883 60-	0.00
SHARES:	04-18-01 SOLD 12-29-99 ACQUIRED	357 99	1,648 58	1,290.59-	0.00
SHARES:	04-18-01 SOLD 12-31-99 ACQUIRED	1,073 96	5,007.66	3,933.70-	0.00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; SOLD 04-18-01 ACQUIRED 01-03-00	100.00	357.99	1,642.50	1,284.51-	0.00
SHARES; SOLD 04-18-01 ACQUIRED 01-11-00	500.00	1,789.94	8,073.20	6,283.26-	0.00
NEWPORT NEWS SHIPBUILDING INC COM STK					
SHARES SOLD 04-25-01 ACQUIRED 02-02-98	200.00	12,633.18	5,053.12	7,580.06	0.00
SHARES; SOLD 04-25-01 ACQUIRED 03-12-98	500.00	31,582.94	14,027.50	17,555.44	0.00
SHARES; SOLD 04-25-01 ACQUIRED 03-20-98	300.00	18,949.77	8,061.99	10,887.78	0.00
GARDNER DENVER INC COM					
SHARES SOLD 04-27-01 ACQUIRED 10-22-98	200.00	3,832.29	3,121.80	710.49	0.00
ALLIANT TECHSYSTEMS INC COM					
SHARES; SOLD 05-02-01 ACQUIRED 04-13-98	100.00	10,130.08	4,139.79	5,990.29	0.00
FAIR ISAAC & CO INC COM					

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-03-01 SOLD 07-27-99 ACQUIRED	14,928.10	7,286.62	7,641.48	0.00	SHARES FAIR ISAAC & CO INC COM
05-03-01 SOLD 07-27-99 ACQUIRED	7,344.91	3,643.31	3,701.60	0.00	SHARES FAIR ISAAC & CO INC COM
05-09-01 SOLD 07-27-99 ACQUIRED	7,394.75	3,643.31	3,751.44	0.00	SHARES FAIR ISAAC & CO INC COM
05-10-01 SOLD 07-27-99 ACQUIRED	7,403.97	3,643.31	3,760.66	0.00	SHARES FAIR ISAAC & CO INC COM
05-11-01 SOLD 07-27-99 ACQUIRED	7,524.49	3,643.31	3,881.18	0.00	SHARES FAIR ISAAC & CO INC COM
05-11-01 SOLD 08-10-99 ACQUIRED	15,048.97	6,391.42	8,657.55	0.00	SHARES NEWPORT NEWS SHIPBUILDING INC COM STK
05-11-01 SOLD 03-20-98 ACQUIRED	12,777.81	5,374.66	7,403.15	0.00	SHARES

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SURDNA FOUNDATION, INC. - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES:	SOLD	05-11-01	6,388 91	2,693.00	3,695.91	0.00	
	ACQUIRED	04-22-98					
SHARES:	SOLD	05-11-01	6,388 90	2,657.05	3,731.85	0.00	
	ACQUIRED	04-23-98					
SHARES:	SOLD	05-11-01	19,166 72	7,888 80	11,277 92	0.00	
	ACQUIRED	04-24-98					
NEWPORT NEWS SHIPBUILDING INC COM STK							
SHARES:	SOLD	05-14-01	6,363 39	2,629 60	3,733.79	0.00	
	ACQUIRED	04-24-98					
SHARES:	SOLD	05-14-01	31,816.93	13,350.75	18,466.18	0.00	
	ACQUIRED	05-22-98					
FAIR ISAAC & CO INC COM							
SHARES	SOLD	05-15-01	7,276 68	3,305 50	3,971.18	0.00	
	ACQUIRED	08-17-99					
NEWPORT NEWS SHIPBUILDING INC COM STK							
SHARES:	SOLD	05-15-01	6,344 83	2,574 25	3,770 58	0.00	
	ACQUIRED	06-22-98					
NEWPORT NEWS SHIPBUILDING INC COM STK							

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-15-01 SOLD 06-22-98 ACQUIRED	19,019 36	7,722 75	11,296 61	0.00	SHARES FAIR ISAAC & CO INC COM
05-16-01 SOLD 08-17-99 ACQUIRED	21,486 78	9,916 50	11,570.28	0.00	SHARES. NEWPORT NEWS SHIPBUILDING INC COM STK
05-16-01 SOLD 07-10-98 ACQUIRED	12,791 57	5,157 08	7,634 49	0.00	SHARES; NEWPORT NEWS SHIPBUILDING INC COM STK
05-16-01 SOLD 06-22-98 ACQUIRED	6,416.78	2,574.25	3,842.53	0.00	SHARES; FAIR ISAAC & CO INC COM
05-17-01 SOLD 08-27-99 ACQUIRED	7,262 97	2,984 92	4,278.05	0.00	SHARES FAIR ISAAC & CO INC COM
05-18-01 SOLD 08-30-99 ACQUIRED	7,283 21	2,664 95	4,618.26	0.00	SHARES NEWPORT NEWS SHIPBUILDING INC COM STK

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO. 26-69329  
 AAB SSB70

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

SHARES; SOLD 05-22-01 100.00 6,407.96 2,578.54 3,829.42 0.00

NEWPORT NEWS SHIPBUILDING INC COM STK

SHARES; SOLD 05-22-01 200.00 12,839.37 5,157.08 7,682.29 0.00

CACI INTL INC CL A

SHARES; SOLD 05-23-01 200.00 8,284.72 3,700.00 4,584.72 0.00

ACQUIRED 10-21-96

CACI INTL INC CL A

SHARES; SOLD 05-23-01 300.00 12,328.77 5,550.00 6,778.77 0.00

ACQUIRED 10-21-96

FAIR ISAAC & CO INC COM

SHARES; SOLD 05-23-01 100.00 7,386.14 2,761.75 4,624.39 0.00

ACQUIRED 09-03-99

NEWPORT NEWS SHIPBUILDING INC COM STK

SHARES; SOLD 05-23-01 200.00 12,753.07 5,071.70 7,681.37 0.00

ACQUIRED 08-07-98

CACI INTL INC CL A

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SURDNA FOUNDATION, INC - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
05-24-01 SOLD 10-21-96 ACQUIRED	21,119.44	9,093.75	12,025.69	SHARES: 500.00 FAIR ISAAC & CO INC COM
05-24-01 SOLD 10-22-96 ACQUIRED	12,671.67	5,412.51	7,259.16	SHARES: 300.00 FAIR ISAAC & CO INC COM
05-24-01 SOLD 09-15-99 ACQUIRED	7,493.48	2,753.11	4,740.37	SHARES: 100.00 FAIR ISAAC & CO INC COM
05-25-01 SOLD 09-17-99 ACQUIRED	7,618.38	2,887.25	4,731.13	SHARES: 100.00 NEWPORT NEWS SHIPBUILDING INC COM STK
05-25-01 SOLD 10-01-99 ACQUIRED	7,618.37	2,805.50	4,812.87	SHARES: 100.00 CACI INTL INC CL A
05-25-01 SOLD 08-07-98 ACQUIRED	6,383.11	2,535.85	3,847.26	SHARES: 100.00 FAIR ISAAC & CO INC COM
05-29-01 SOLD 10-22-96 ACQUIRED	8,385.52	3,608.34	4,777.18	SHARES: 200.00 FAIR ISAAC & CO INC COM

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-29-01 ACQUIRED	7,702 87	3,976.25	3,726 62	0.00	SHARES; 100 00 CACI INTL INC CL A
05-30-01 SOLD	4,111 50	1,800.00	2,311 50	0.00	SHARES; 100 00 FAIR ISAAC & CO INC COM
05-30-01 SOLD	7,709 29	3,863 81	3,845 48	0.00	SHARES; 100 00 CACI INTL INC CL A
06-01-01 SOLD	4,058.83	1,800 00	2,258 83	0.00	SHARES; 100 00 FAIR ISAAC & CO INC COM
10-23-96 ACQUIRED					SHARES; 100 00 FAIR ISAAC & CO INC COM
06-01-01 SOLD	7,544.60	3,911.04	3,633 56	0.00	SHARES; 100 00 MICROS SYS INC COM
11-05-99 ACQUIRED					SHARES; 100 00 FAIR ISAAC & CO INC COM
06-04-01 SOLD	7,818 06	3,801 75	4,016.31	0.00	SHARES; 100 00 MICROS SYS INC COM
11-11-99 ACQUIRED					SHARES; 100 00 FAIR ISAAC & CO INC COM



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01249 ACCOUNT NO. 26-69329  
 AAB SURDNA FOUNDATION, INC. - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-04-01 SOLD 05-12-00 ACQUIRED	16,507 76	32,016.24	15,508.48-	0 00	SHARES · EGL INC COM
06-05-01 SOLD 05-03-00 ACQUIRED	11,440.71	15,675.00	4,234.29-	0 00	SHARES · MICROS SYS INC COM
06-05-01 SOLD 05-12-00 ACQUIRED	2,106 28	9,002 03	1,895 75-	0 00	SHARES · EGL INC COM
06-06-01 SOLD 05-03-00 ACQUIRED	18,604.37	26,125 00	7,520.63-	0 00	SHARES EGL INC COM
06-07-01 SOLD 05-03-00 ACQUIRED	1,823 28	2,612 50	789.22-	0.00	SHARES EGL INC COM
06-07-01 SOLD 05-03-00 ACQUIRED	1,823 27	2,625 00	801.73-	0 00	SHARES EGL INC COM
06-08-01 SOLD 05-03-00 ACQUIRED	3,599 88	5,250 00	1,650.12-	0 00	SHARES · EGL INC COM

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-08-01 SOLD 05-03-00 ACQUIRED	7,204 23	10,500.00	3,295.77-	0 00	SHARES MICROS SYS INC COM
06-08-01 SOLD 05-12-00 ACQUIRED	2,090 20	4,002.03	1,911.83-	0 00	SHARES; EGL INC COM
06-11-01 SOLD 05-15-00 ACQUIRED	10,120.46	11,068.44	947 98-	0.00	SHARES. CACI INTL INC CL A
06-11-01 SOLD 05-15-00 ACQUIRED	1,686 74	1,857 42	170 68-	0 00	SHARES. EGL INC COM
06-12-01 SOLD 10-23-96 ACQUIRED	8,589 07	3,600.00	4,989.07	0 00	SHARES MICROS SYS INC COM
06-12-01 SOLD 05-15-00 ACQUIRED	11,785.22	13,001.94	1,216.72-	0.00	SHARES; EGL INC COM
06-12-01 SOLD 05-16-00 ACQUIRED	3,367.21	4,215 20	847.99-	0 00	SHARES; MICROS SYS INC COM

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO. 26-69329  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: EGL INC COM	06-12-01 SOLD 05-12-00 ACQUIRED	1,999 93	4,002 03	2,002.10-	0 00
SHARES: EGL INC COM	06-13-01 SOLD 05-16-00 ACQUIRED	8,443 92	10,538.00	2,094.08-	0 00
SHARES: MICRO SYS INC COM	06-13-01 SOLD 05-17-00 ACQUIRED	1,688.78	2,100 00	411 22-	0 00
SHARES: EGL INC COM	06-13-01 SOLD 05-12-00 ACQUIRED	2,003.68	4,002 03	1,998 35-	0 00
SHARES: EGL INC COM	06-14-01 SOLD 05-18-00 ACQUIRED	3,298 53	4,202 50	903 97-	0 00
SHARES: EGL INC COM	06-14-01 SOLD 05-22-00 ACQUIRED	3,298 53	4,153 62	855 09-	0 00
SHARES: EGL INC COM	06-15-01 SOLD 05-22-00 ACQUIRED	1,599 99	2,076 81	476.82-	0 00

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SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

1249 ACCOUNT NO 26-69329

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	06-15-01 SOLD 05-23-00 ACQUIRED	3,199 97	4,100.00	900 03-	0 00
SHARES:	06-15-01 SOLD 05-25-00 ACQUIRED	1,599 98	2,062.50	462 52-	0 00
MICROS SYS INC COM					
SHARES,	06-15-01 SOLD 05-12-00 ACQUIRED	1,878.93	4,002 03	2,123 10-	0 00
XTO ENERGY INC COM					
SHARES	06-15-01 SOLD 01-05-95 ACQUIRED	8 86	1.39	7.47	0 00
EGL INC COM					
SHARES	06-18-01 SOLD 05-30-00 ACQUIRED	3,114 95	4,056 58	941 63-	0 00
EGL INC COM					
SHARES:	06-19-01 SOLD 05-31-00 ACQUIRED	3,088 81	3,870 48	781 67-	0 00
EGL INC COM					
SHARES	06-19-01 SOLD 05-30-00 ACQUIRED	1,548 69	2,028.29	479.60-	0 00
EGL INC COM					

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-20-01 SOLD 05-31-00 ACQUIRED	3,093.55	3,870.48	776.93-	0.00	SHARES MICROS SYS INC COM
06-20-01 SOLD 05-12-00 ACQUIRED	1,766.94	4,002.03	2,235.09-	0.00	SHARES, MICROS SYS INC COM
06-21-01 SOLD 05-31-00 ACQUIRED	1,549.72	1,935.24	385.52-	0.00	SHARES, EGL INC COM
06-21-01 SOLD 05-12-00 ACQUIRED	1,807.18	4,002.03	2,194.85-	0.00	SHARES, MICROS SYS INC COM
06-22-01 SOLD 05-31-00 ACQUIRED	1,532.00	1,935.24	403.24-	0.00	SHARES, EGL INC COM
06-22-01 SOLD 06-01-00 ACQUIRED	6,127.99	7,363.32	1,235.33-	0.00	SHARES BIO TECHNOLOGY GEN CORP COM
06-25-01 SOLD 02-04-99 ACQUIRED	87,546.08	56,259.28	31,286.80	0.00	SHARES, BIO TECHNOLOGY GEN CORP COM

##SCLF COM STK DO NOT USE SEE  
 SEC#2251310

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY	SHARES:	DUCOMMUN INC DEL COM
06-25-01 SOLD	10,360.09	5,662.50	4,697.59	0.00	SHARES:	600.00	
06-25-01 SOLD	17,266.83	9,660.90	7,605.93	0.00	SHARES:	1,000.00	
06-25-01 SOLD	3,453.36	1,925.00	1,528.36	0.00	SHARES:	200.00	
06-25-01 SOLD	74,247.34	40,952.34	33,295.00	0.00	SHARES:	4,300.00	
06-25-01 SOLD	3,453.37	1,756.26	1,697.11	0.00	SHARES:	200.00	
06-25-01 SOLD	3,453.36	1,785.94	1,667.42	0.00	SHARES:	200.00	
06-25-01 SOLD	1,726.68	881.25	845.43	0.00	SHARES:	100.00	
06-25-01 SOLD	3,453.37	1,785.94	1,667.43	0.00	SHARES:	200.00	
06-25-01 SOLD	3,453.36	1,812.50	1,640.86	0.00	SHARES:	200.00	
06-25-01 SOLD	10,360.10	5,287.50	5,072.60	0.00	SHARES:	600.00	

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SURDNA FOUNDATION, INC - NEUBERGER &  
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01249 ACCOUNT NO 26-69329

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-25-01 SOLD 11-08-96 ACQUIRED	5,455.70	5,248.80	206.90	0.00	SHARES
06-25-01 SOLD 11-11-96 ACQUIRED	8,183.54	7,773.20	410.34	0.00	SHARES
06-25-01 SOLD 11-13-96 ACQUIRED	2,045.89	1,918.30	127.59	0.00	SHARES
06-25-01 SOLD 11-19-96 ACQUIRED	12,275.32	11,867.64	407.68	0.00	SHARES
06-25-01 SOLD 12-06-96 ACQUIRED	2,045.88	2,297.12	251.24	0.00	SHARES
06-25-01 SOLD 12-17-96 ACQUIRED	2,045.89	2,205.80	159.91	0.00	SHARES
06-25-01 SOLD 12-23-96 ACQUIRED	10,229.43	10,996.90	767.47	0.00	SHARES
06-25-01 SOLD 01-03-97 ACQUIRED	2,045.89	2,104.61	58.72	0.00	SHARES
06-25-01 SOLD 01-06-97 ACQUIRED	10,229.43	10,230.05	0.62	0.00	SHARES
06-25-01 SOLD 01-07-97 ACQUIRED	2,045.88	2,086.20	40.32	0.00	SHARES

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-25-01 SOLD 01-08-97 ACQUIRED	2,045 89	2,147.47	101 58-	0 00	SHARES. 150 00
06-25-01 SOLD 01-09-97 ACQUIRED	6,137 66	6,579 90	442.24-	0 00	SHARES. 450 00
06-25-01 SOLD 01-16-97 ACQUIRED	14,321 21	15,790 60	1,469 39-	0 00	SHARES. 1,050 00
GARDNER DENVER INC COM					
06-25-01 SOLD 10-22-98 ACQUIRED	13,659 27	10,926 30	2,732 97	0 00	SHARES. 700.00
06-25-01 SOLD 10-23-98 ACQUIRED	9,756 63	7,743.65	2,012 98	0 00	SHARES: 500 00
06-25-01 SOLD 10-28-98 ACQUIRED	1,951.32	1,477.93	473 39	0 00	SHARES: 100 00
06-25-01 SOLD 10-29-98 ACQUIRED	9,756.62	7,246 55	2,510.07	0.00	SHARES. 500 00
06-25-01 SOLD 11-11-98 ACQUIRED	21,464 57	16,285.50	5,179 07	0 00	SHARES: 1,100 00
06-25-01 SOLD 11-13-98 ACQUIRED	9,756 63	7,402.50	2,354.13	0 00	SHARES. 500.00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-25-01 SOLD	1,951.32	1,503.49	447.83	0.00	SHARES: 100.00
11-16-98 ACQUIRED					
06-25-01 SOLD	1,951.32	1,482.55	468.77	0.00	SHARES: 100.00
11-17-98 ACQUIRED					
06-25-01 SOLD	11,707.95	8,883.00	2,824.95	0.00	SHARES: 600.00
11-18-98 ACQUIRED					
06-25-01 SOLD	5,853.97	5,335.95	518.02	0.00	SHARES: 300.00
05-17-99 ACQUIRED					
06-25-01 SOLD	3,902.65	3,594.34	308.31	0.00	SHARES: 200.00
06-25-01 SOLD	3,902.65	3,586.00	316.65	0.00	SHARES: 200.00
06-25-01 SOLD	3,902.65	3,586.00	316.65	0.00	SHARES: 200.00
05-20-99 ACQUIRED					
06-25-01 SOLD	1,951.33	1,664.27	287.06	0.00	SHARES: 100.00
06-25-01 SOLD	1,951.33	1,664.27	287.06	0.00	SHARES: 100.00
06-25-01 SOLD	1,951.32	1,805.50	145.82	0.00	SHARES: 100.00
06-25-01 SOLD	1,951.32	1,530.50	420.82	0.00	SHARES: 100.00
06-25-01 SOLD	3,902.66	3,014.12	888.54	0.00	SHARES: 200.00
06-25-01 SOLD	3,902.66	3,014.12	888.54	0.00	SHARES: 200.00
09-23-99 ACQUIRED					EGL INC COM

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SURDNA FOUNDATION, INC. - NEUBERGER & BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69329 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-25-01 SOLD	1,464 19	1,840 83	376.64-	0.00	SHARES: 100 00
06-01-00 ACQUIRED					SHARES: 100 00
06-25-01 SOLD	1,464 19	1,684 38	220.19-	0.00	SHARES: 100 00
06-05-00 ACQUIRED					SHARES: 100 00
06-25-01 SOLD	1,464 19	1,696 88	232.69-	0.00	SHARES: 100.00
06-07-00 ACQUIRED					SHARES: 300 00
06-25-01 SOLD	4,392 58	5,360 31	967.73-	0.00	SHARES: 300 00
06-14-00 ACQUIRED					SHARES: 100 00
06-25-01 SOLD	1,464 19	1,807 50	343.31-	0.00	SHARES: 200 00
06-15-00 ACQUIRED					SHARES: 200 00
06-25-01 SOLD	2,928 38	3,775.00	846.62-	0.00	SHARES: 1,900.00
06-19-00 ACQUIRED					SHARES: 1,100 00
06-25-01 SOLD	37,398 74	34,260 93	3,137.81	0.00	SHARES: 100 00
06-25-01 SOLD	3,399 89	3,055 50	344.39	0.00	SHARES: 100 00
12-16-98 ACQUIRED					

HELMERICH & PAYNE INC COM

MOOG INC CL A

ROBBINS & MYERS INC COM

**The Northern Trust Company**  
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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28X RATE	GAIN/LOSS
06-25-01 SOLD	27,357.69	33,817.60	6,459.91-	0.00	0.00
06-25-01 SOLD	41,036.52	50,684.10	9,647.58-	0.00	0.00
06-25-01 SOLD	27,357.69	18,045.80	9,311.89	0.00	0.00
06-25-01 ACQUIRED					
04-23-98 ACQUIRED					
06-25-01 SOLD	1,000.00	1,000.00			
06-25-01 SOLD	1,000.00	1,000.00			
06-25-01 ACQUIRED					
10-21-93 ACQUIRED	2,961.77	1,283.53	1,678.24	0.00	0.00
06-25-01 SOLD	8,885.31	3,262.00	5,623.31	0.00	0.00
06-25-01 ACQUIRED					
11-29-95 ACQUIRED					
06-25-01 SOLD	88,853.14	33,370.00	55,483.14	0.00	0.00
06-25-01 SOLD	13,327.97	5,005.50	8,322.47	0.00	0.00
06-25-01 SOLD	33,044.87	33,150.34	105.47-	0.00	0.00
06-26-01 SOLD					
09-16-96 ACQUIRED					

ALBERTO CULVER CO CL A

AAR CORP COM

SHARES:

SHARES:

SHARES:

SHARES:

SHARES:

THOR INDS INC COM STK

SHARES:

SHARES

SHARES:

DESCRIPTION OF PROPERTY

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	45,823 47	29,315.00	16,508.47	0 00	SHARES: 1,500 00 ALLIANT TECHSYSTEMS INC COM
06-26-01 ACQUIRED					SHARES: 450 00 ALLIANT TECHSYSTEMS INC COM
06-26-01 SOLD	38,264 79	18,629 08	19,635 71	0 00	SHARES: 450 00
06-26-01 ACQUIRED					SHARES: 150 00
06-26-01 SOLD	8,503.29	4,267.43	4,235 86	0 00	SHARES: 100 00
06-26-01 ACQUIRED					SHARES: 1,000.00
06-26-01 SOLD	4,139 86	15,375 00	11,235.14-	0 00	SHARES: 900 00 ALREF COM STK
06-26-01 ACQUIRED					SHARES: 900 00
06-26-01 SOLD	13,559 55	8,050 00	5,509 55	0 00	SHARES: 600 00
06-26-01 ACQUIRED					SHARES: 600 00
06-26-01 SOLD	20,339.32	11,981 28	8,358 04	0 00	SHARES: 500 00
06-26-01 ACQUIRED					SHARES: 500 00
06-26-01 SOLD	16,949 43	10,000 00	6,949 43	0 00	SHARES: 1,000.00
06-26-01 ACQUIRED					SHARES: 1,000.00

APTARGROUP INC COMMON STOCK #0 01 PAR

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 07-01-00 THROUGH 06-30-01

28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD 09-16-96 ACQUIRED	39,029 90	20,174 28	18,855 62	0 00	SHARES. 1,200.00
06-26-01 SOLD 09-17-96 ACQUIRED	58,544 84	30,226 41	28,318 43	0 00	SHARES 1,800 00
06-26-01 SOLD 11-08-96 ACQUIRED	14,201 50	12,750 40	1,451 10	0 00	SHARES 1,300 00
06-26-01 SOLD 07-03-97 ACQUIRED	22,169 26	19,300 80	2,868 46	0 00	SHARES 1,000.00
06-26-01 SOLD 07-08-97 ACQUIRED	24,386 18	21,518 75	2,867 43	0 00	SHARES 1,100.00
BANKNORTH GROUP INC NEW COM					
06-26-01 SOLD 06-02-99 ACQUIRED	1,894 94	3,069 06	1,174 12-	0 00	SHARES. 100 00
06-26-01 SOLD 06-03-99 ACQUIRED	1,894 93	3,025 50	1,130 57-	0 00	SHARES. 100.00
06-26-01 SOLD 06-04-99 ACQUIRED	1,894 94	2,979 19	1,084 25-	0 00	SHARES: 100.00
BENCHMARK ELECTRS INC COM					
AVIALL INC NEW COM					

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	2BX RATE	GAIN/LOSS
<b>BERKLEY W R CORP COM</b>						
SHARES	SOLD 06-26-01	1,894.93	3,249.80	1,354.87-	0.00	0.00
	ACQUIRED 06-11-99					
<b>BLACK BOX CORP DEL COM</b>						
SHARES:	SOLD 06-26-01	15,703.47	13,425.00	2,278.47	0.00	0.00
	ACQUIRED 11-23-98					
SHARES:	SOLD 06-26-01	3,925.87	2,650.00	1,275.87	0.00	0.00
	ACQUIRED 01-29-99					
SHARES:	SOLD 06-26-01	3,925.87	2,612.50	1,313.37	0.00	0.00
	ACQUIRED 02-01-99					
SHARES:	SOLD 06-26-01	15,703.47	10,641.32	5,062.15	0.00	0.00
	ACQUIRED 02-17-99					
<b>BRADY CORP</b>						
SHARES:	SOLD 06-26-01	46,345.45	18,272.38	28,073.07	0.00	0.00
	ACQUIRED 02-20-97					
<b>BROWN &amp; BROWN INC COM</b>						
SHARES:	SOLD 06-26-01	35,220.82	16,454.17	18,766.65	0.00	0.00
	ACQUIRED 06-14-94					

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY	28% RATE	GAIN/LOSS
0.00	19,191.28	17,122.50	36,313.78	06-26-01 SOLD 11-24-99 ACQUIRED	SHARES, CACI INTL INC CL A	0.00	19,191.28
0.00	6,722.59	5,400.00	12,122.59	06-26-01 SOLD 10-23-96 ACQUIRED	SHARES, CHURCH & DWIGHT INC COM	0.00	6,722.59
0.00	4,481.73	3,600.00	8,081.73	06-26-01 SOLD 10-24-96 ACQUIRED	SHARES, CIBER INC COM	0.00	4,481.73
0.00	5,473.85	11,381.58	16,855.43	06-26-01 SOLD 07-20-98 ACQUIRED	SHARES, CIBER INC COM	0.00	5,473.85
0.00	7,705.56	11,557.80	19,263.36	06-26-01 SOLD 10-16-98 ACQUIRED	SHARES, CIBER INC COM	0.00	7,705.56
0.00	1,935.34	2,880.50	4,815.84	06-26-01 SOLD 10-21-98 ACQUIRED	SHARES, CIBER INC COM	0.00	1,935.34
0.00	6,685.37	10,170.06	16,855.43	06-26-01 SOLD 10-22-98 ACQUIRED	SHARES, CIBER INC COM	0.00	6,685.37
0.00	3,012.74	5,372.76	2,360.02	06-26-01 SOLD 08-02-99 ACQUIRED	SHARES, CIBER INC COM	0.00	3,012.74

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	5,506.71	12,353.60	6,846.89-	0.00	SHARES:
08-03-99 ACQUIRED					700.00
06-26-01 SOLD	3,933.37	8,840.00	4,906.63-	0.00	SHARES:
08-04-99 ACQUIRED					500.00
06-26-01 SOLD	3,146.69	7,220.04	4,073.35-	0.00	SHARES:
08-06-99 ACQUIRED					400.00
06-26-01 SOLD	3,146.69	7,222.00	4,075.31-	0.00	SHARES:
08-09-99 ACQUIRED					400.00
06-26-01 SOLD	786.68	1,839.29	1,052.61-	0.00	SHARES:
08-10-99 ACQUIRED					100.00
06-26-01 SOLD	2,360.02	5,634.00	3,273.98-	0.00	SHARES:
08-12-99 ACQUIRED					300.00
CLAIRES STORES INC					
06-26-01 SOLD	36,458.78	37,723.20	1,264.42-	0.00	SHARES:
11-05-98 ACQUIRED					2,000.00
CULLEN / FROST BANKERS INC COM					
06-26-01 SOLD	6,725.77	3,214.06	3,511.71	0.00	SHARES:
11-20-96 ACQUIRED					200.00
06-26-01 SOLD	26,903.10	12,800.00	14,103.10	0.00	SHARES:
11-21-96 ACQUIRED					800.00
DATASCOPE CORP COM					



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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 07-01-00 THROUGH 06-30-01

28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY	28% RATE	GAIN/LOSS
0.00	4,999.60	7,500.00	11,999.60	06-26-01 SOLD 06-04-99 ACQUIRED	SHARES	0.00	4,999.60
0.00	4,387.10	7,612.50	11,999.60	06-26-01 SOLD 06-07-99 ACQUIRED	SHARES	0.00	4,387.10
DAVOX CORP COM							
0.00	702.36-	6,372.17	5,669.81	06-26-01 SOLD 02-04-99 ACQUIRED	SHARES	0.00	702.36-
0.00	155.06-	1,775.00	1,619.94	06-26-01 SOLD 02-05-99 ACQUIRED	SHARES	0.00	155.06-
0.00	252.89-	2,682.81	2,429.92	06-26-01 SOLD 02-08-99 ACQUIRED	SHARES	0.00	252.89-
DELTA & PINE LD CO COM							
0.00	4,467.49	19,966.65	24,434.14	06-26-01 SOLD 02-28-00 ACQUIRED	SHARES:	0.00	4,467.49
DIONEX CORP COM							
0.00	24,070.87	9,600.00	33,670.87	06-26-01 SOLD 10-20-93 ACQUIRED	SHARES	0.00	24,070.87
DONALDSON INC COM							

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD 12-13-93 ACQUIRED	29,309 02	10,257 20	19,051.82	0.00	SHARES: 1,000 00 FAIR ISAAC & CO INC COM
06-26-01 SOLD 11-15-99 ACQUIRED	8,219.72	4,005.19	4,214.53	0.00	SHARES: 150.00
06-26-01 SOLD 11-16-99 ACQUIRED	8,219.73	4,104.49	4,115.24	0.00	SHARES: 150.00
06-26-01 SOLD 12-14-99 ACQUIRED	16,439 45	9,243 68	7,195.77	0.00	SHARES: 300 00
06-26-01 SOLD 01-21-00 ACQUIRED	16,439 45	10,287 62	6,151.83	0.00	SHARES: 300 00
06-26-01 SOLD 01-24-00 ACQUIRED	8,219.72	5,227 46	2,992.26	0.00	SHARES: 150 00
06-26-01 SOLD 01-25-00 ACQUIRED	2,739 91	1,705 83	1,034 08	0.00	SHARES: 50 00
06-26-01 SOLD 04-22-98 ACQUIRED	21,203 29	30,400 00	9,196 71-	0.00	SHARES: 1,900 00 GLOBAL INDS LTD COMMON STOCK
					FIRST YRS INC COM

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, 1,400.00	SOLD 06-26-01 ACQUIRED 09-16-96	19,193.36	11,287.50	7,905.86	0.00
HCC INS HLDGS INC COM					
SHARES, 1,500.00	SOLD 06-26-01 ACQUIRED 06-01-00	35,248.82	29,124.45	6,124.37	0.00
HAEMONETICS CORP MASS COM					
SHARES, 1,300.00	SOLD 06-26-01 ACQUIRED 11-12-97	36,853.77	18,596.50	18,257.27	0.00
SHARES, 200.00	SOLD 06-26-01 ACQUIRED 11-13-97	5,669.81	2,861.00	2,808.81	0.00
SHARES, 200.00	SOLD 06-26-01 ACQUIRED 12-04-97	5,669.81	2,867.42	2,802.39	0.00
HANOVER COMPRESSOR CO COM (HOLDING COMPANY) COM					
SHARES, 400.00	SOLD 06-26-01 ACQUIRED 02-26-99	13,822.53	9,033.10	9,789.43	0.00
IHOP CORP NEW COM					
SHARES, 500.00	SOLD 06-26-01 ACQUIRED 08-05-99	12,819.57	11,500.00	1,319.57	0.00
IDEX CORP COM					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 ACQUIRED	12,519.58	5,944.00	6,575.58	0.00	SHARES: 400 00 SOLD 06-26-01 ACQUIRED 10-21-93
06-26-01 SOLD	18,779.37	9,499.33	9,280.04	0.00	SHARES: 600 00 SOLD 06-26-01 ACQUIRED 12-21-93
INDEPENDENT BK CORP MASS COM					
06-26-01 SOLD	22,079.26	15,150.00	6,929.26	0.00	SHARES: 1,200 00 SOLD 06-26-01 ACQUIRED 06-01-00
KAYDON CORP COM					
06-26-01 SOLD	47,398.41	18,875.00	28,523.41	0.00	SHARES: 2,000 00 SOLD 06-26-01 ACQUIRED 11-29-93
KRONOS INC COM					
06-26-01 SOLD	20,417.31	27,009.36	6,592.05-	0.00	SHARES: 600 00 SOLD 06-26-01 ACQUIRED 03-23-00
LINCOLN ELEC HLDGS INC COM					
06-26-01 SOLD	2,340.92	2,077.66	263.26	0.00	SHARES: 100.00 SOLD 06-26-01 ACQUIRED 04-15-99
06-26-01 SOLD	14,045.53	12,462.78	1,582.75	0.00	SHARES: 600 00 SOLD 06-26-01 ACQUIRED 04-15-99
LINENS N THINGS INC COM					

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SHARES:	SOLD 06-26-01	21,254.41	19,753.36	1,501.05	0.00
	ACQUIRED 03-15-00				0.00
SHARES	SOLD 06-26-01	2,656.80	2,834.23	177.43-	0.00
	ACQUIRED 03-16-00				0.00
MATTHEWS INTL CORP CL A					
SHARES:	SOLD 06-26-01	32,814.90	17,600.00	15,214.90	0.00
	ACQUIRED 08-06-98				0.00
MENTOR CORP., COMMON STOCK, \$ 10 PAR					
SHARES:	SOLD 06-26-01	19,004.36	11,126.85	7,877.51	0.00
	ACQUIRED 11-20-98				0.00
SHARES	SOLD 06-26-01	13,574.55	9,414.05	4,160.50	0.00
	ACQUIRED 12-02-98				0.00
SHARES	SOLD 06-26-01	2,714.91	2,058.04	656.87	0.00
	ACQUIRED 12-07-98				0.00
SHARES:	SOLD 06-26-01	16,289.45	12,825.00	3,464.45	0.00
	ACQUIRED 12-08-98				0.00
SHARES:	SOLD 06-26-01	8,144.73	6,037.02	2,107.71	0.00
	ACQUIRED 12-10-98				0.00
MICROSYS INC COM					

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SHARES	SOLD 06-26-01 ACQUIRED 05-12-00	1,835 94	4,002.03	2,166.09-	0.00
SHARES	SOLD 06-26-01 ACQUIRED 05-15-00	7,343.75	15,866 80	8,523 05-	0.00
SHARES	SOLD 06-26-01 ACQUIRED 05-26-00	3,671 88	6,695 56	3,023.68-	0.00
NATIONAL-OILWELL INC COM					
SHARES:	SOLD 06-26-01 ACQUIRED 11-18-96	29,144 10	13,782 19	10,361 91	0.00
SHARES:	SOLD 06-26-01 ACQUIRED 11-19-96	19 01	11 83	7 18	0.00
SHARES:	SOLD 06-26-01 ACQUIRED 11-19-96	42,362 66	26,371 96	15,990 70	0.00
NORTHEAST PA FINL CORP COM					
SHARES	SOLD 06-26-01 ACQUIRED 06-22-99	25,774 11	23,215 50	2,558 61	0.00
OCEANERING INTL INC COM					
SHARES:	SOLD 06-26-01 ACQUIRED 09-17-96	31,873 93	25,167 30	6,706 63	0.00
OCEANFIRST FINL CORP COM					

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES:	SOLD 06-26-01	10,499 65	5,662 50	4,837 15	0.00
SHARES:	ACQUIRED 01-20-97				0.00
SHARES:	SOLD 06-26-01	5,249 82	2,837.50	2,412.32	0.00
SHARES:	ACQUIRED 01-21-97				0.00
SHARES:	SOLD 06-26-01	10,499.65	5,675.00	4,824 65	0.00
SHARES:	ACQUIRED 01-22-97				0.00
SHARES:	SOLD 06-26-01	2,624.91	1,418 75	1,206 16	0.00
SHARES:	ACQUIRED 01-23-97				0.00
OFFSHORE LOGISTICS INC COM	SOLD 06-26-01	9,479 68	6,409.50	3,070.18	0.00
SHARES:	ACQUIRED 12-21-93				0.00
SHARES:	SOLD 06-26-01	17,063.43	11,531 70	5,531 73	0.00
SHARES:	ACQUIRED 12-22-93				0.00
PATTERSON DENTAL CO COM	SOLD 06-26-01	12,927.57	4,005.56	8,922.01	0.00
SHARES:	ACQUIRED 02-14-97				0.00
SHARES:	SOLD 06-26-01	19,391 35	6,766 66	12,624 69	0.00
SHARES:	ACQUIRED 02-19-97				0.00
SHARES:	SOLD 06-26-01	19,391 35	6,625 00	12,766 35	0.00
SHARES:	ACQUIRED 02-20-97				0.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-26-01	3,231.89	1,083.53	2,148.56	0.00
PAYLESS SHOESHORCE INC COM	ACQUIRED 02-21-97				
SHARES:	SOLD 06-26-01	38,608.71	29,186.46	9,422.25	0.00
PRIME HOSPITALITY CORP COM	ACQUIRED 03-16-00				
SHARES:	SOLD 06-26-01	15,989.46	26,547.45	10,557.99-	0.00
SHARES:	ACQUIRED 09-19-96				
SHARES:	SOLD 06-26-01	1,065.96	1,728.00	662.04-	0.00
PRIDE INTL INC COM	ACQUIRED 09-23-96				
SHARES:	SOLD 06-26-01	18,787.41	13,387.50	5,399.91	0.00
PULITZER INC COM	ACQUIRED 09-17-96				
SHARES:	SOLD 06-26-01	14,939.50	834.69	14,104.81	0.00
RESPIRONICS INC COM	ACQUIRED 10-15-93				
SHARES:	SOLD 06-26-01	25,127.16	17,473.68	7,653.48	0.00
ROPER INDS INC NEW COM	ACQUIRED 12-04-98				
SHARES:	SOLD 06-26-01				
SHARES:	ACQUIRED 12-04-98				



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SURDNA FOUNDATION, INC. - NEUBERGER &  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-69329  
 SS870 AAB

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 300 00	SOLD 06-26-01 ACQUIRED 01-25-94	12,067 10	4,371 90	7,695 20	0 00
SHARES: 700 00	SOLD 06-26-01 ACQUIRED 01-26-94	28,156 55	8,062 60	20,093 95	0 00
RUDDICK CORP COM					
SHARES: 1,700 00	SOLD 06-26-01 ACQUIRED 08-05-98	27,624 07	27,733 63	109 56-	0 00
SHARES: 100 00	SOLD 06-26-01 ACQUIRED 08-07-98	1,624 95	1,705 50	80 55-	0 00
SIMPSON MFG INC COMMON STOCK					
SHARES 500 00	SOLD 06-26-01 ACQUIRED 11-24-99	28,724 04	21,477 65	7,246 39	0 00
STERLING BANCSHARES INC COM					
SHARES 800 00	SOLD 06-26-01 ACQUIRED 04-07-97	14,079 53	7,266 67	6,812 86	0 00
SWIFT ENERGY CO COM					
SHARES: 400 00	SOLD 06-26-01 ACQUIRED 05-14-99	12,058 28	4,803 08	7,255 20	0 00

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01249 ACCOUNT NO 26-69329  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
TEXAS REGL BANCSHARES INC CL A VTG	06-26-01 SOLD 05-17-99 ACQUIRED	33,160.26	13,425.83	19,734.43	0.00
TRIGON HEALTHCARE INC CL A COMMON STOCK	06-26-01 SOLD 02-05-97 ACQUIRED	23,309.22	12,136.36	11,172.86	0.00
UNIT CORP COM	06-26-01 SOLD 08-08-97 ACQUIRED	12,174.09	4,368.88	7,805.21	0.00
UNIT STATIONERS INC COM	06-26-01 SOLD 10-18-96 ACQUIRED	33,871.86	14,791.77	19,080.09	0.00
SHARES:	06-26-01 SOLD 10-21-96 ACQUIRED	17,742.41	8,472.42	9,269.99	0.00
SHARES	06-26-01 SOLD 10-28-98 ACQUIRED	13,849.54	11,197.15	2,652.39	0.00

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD 10-28-98 ACQUIRED	30,468.98	25,897.63	4,571.35	0.00	SHARES. 1,100.00 VARCO INTL INC DEL COM
06-26-01 SOLD 09-11-98 ACQUIRED	22,329.25	13,130.70	9,198.55	0.00	SHARES 1,100.00 MALLACE COMPUTER SVCS INC COM
06-26-01 SOLD 04-26-99 ACQUIRED	8,119.73	4,541.08	3,578.65	0.00	SHARES. 400.00 MALLACE COMPUTER SVCS INC COM
06-26-01 SOLD 10-27-98 ACQUIRED	12,485.26	17,339.36	4,854.10-	0.00	SHARES; 800.00 SHARES; 500.00
06-26-01 SOLD 10-28-98 ACQUIRED	7,803.29	10,834.55	3,031.26-	0.00	SHARES; 500.00 SHARES 700.00
06-26-01 SOLD 10-29-98 ACQUIRED	10,924.60	15,399.44	4,474.84-	0.00	SHARES 500.00 SHARES. 900.00
06-26-01 SOLD 10-30-98 ACQUIRED	7,803.29	10,987.00	3,185.71-	0.00	SHARES. 900.00 SHARES. 900.00
06-26-01 SOLD 11-20-98 ACQUIRED	14,045.92	18,947.52	4,901.60-	0.00	WEBSTER FINANCIAL CORP, WATERBURY CONN COMMON STOCK

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 1,200.00	SOLD 06-26-01 ACQUIRED 01-02-97	37,726.74	21,900.00	15,826.74	0.00
WHOLE FOODS MKT INC COM					
SHARES: 300.00	SOLD 06-26-01 ACQUIRED 01-03-97	9,431.68	5,475.00	3,956.68	0.00
SHARES: 300.00	SOLD 06-26-01 ACQUIRED 10-04-99	7,868.73	9,347.28	1,478.55-	0.00
SHARES: 200.00	SOLD 06-26-01 ACQUIRED 11-15-99	5,245.83	6,670.10	1,424.27-	0.00
SHARES: 100.00	SOLD 06-26-01 ACQUIRED 04-05-00	2,622.91	4,365.31	1,742.40-	0.00
SHARES: 100.00	SOLD 06-26-01 ACQUIRED 04-06-00	2,622.91	4,381.85	1,758.94-	0.00
WOODHEAD INDS INC COM					
SHARES: 1,000.00	SOLD 06-26-01 ACQUIRED 10-28-93	17,049.43	10,250.00	6,799.43	0.00
XTO ENERGY INC COM					
SHARES: 3,200.00	SOLD 06-26-01 ACQUIRED 01-05-95	46,270.45	8,887.31	37,383.14	0.00
ZEBRA TECHNOLOGIES CORP CL A					

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED SALES PROCEEDS BASIS COST 28% RATE GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	BASIS COST	28% RATE GAIN/LOSS
SHARES: 700 00	SOLD 06-26-01 ACQUIRED 07-22-97	29,672 01	23,100.00	0.00
SHARES: 100 00	SOLD 06-26-01 ACQUIRED 08-12-97	4,238.86	2,714 06	0 00
SHARES: 500 00	SOLD 06-26-01 ACQUIRED 09-04-97	21,194 29	14,812 50	0 00
FBL FINL GROUP INC CL A				
SHARES: 900 00	SOLD 06-26-01 ACQUIRED 02-05-97	14,909.53	10,713 60	0.00
AAR CORP COM				
SHARES: 500 00	SOLD 06-27-01 ACQUIRED 09-16-96	7,698 74	7,534 17	0 00
ALBERTO CULVER CO CL A				
SHARES: 300.00	SOLD 06-27-01 ACQUIRED 04-12-00	10,754.64	6,765 00	0 00
ALLIANT TECHSYSTEMS INC COM				
SHARES: 200.00	SOLD 06-27-01 ACQUIRED 05-26-98	17,087 43	8,534.85	0 00
ANALYSTS INTL CORP COM				
SHARES: 8,552.58				0 00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

ALREF COM STK	SHARES:	200 00	SOLD	06-27-01	893 97	3,075.00	2,181 03-	0 00
			ACQUIRED	10-21-98				
APARGROUP INC COMMON STOCK #0.01 PAR	SHARES	200 00	SOLD	06-27-01	6,515.78	4,000 00	2,515 78	0 00
			ACQUIRED	10-06-98				
	SHARES	100 00	SOLD	06-27-01	3,257 89	1,992.98	1,264 91	0 00
			ACQUIRED	10-07-98				
	SHARES.	400 00	SOLD	06-27-01	13,043.56	6,716 98	6,326 58	0 00
			ACQUIRED	09-17-96				
AVIALL INC NEW COM	SHARES	300 00	SOLD	06-27-01	9,782 67	5,258.70	4,523 97	0 00
			ACQUIRED	01-02-97				
BANKNORTH GROUP INC NEW COM	SHARES:	300.00	SOLD	06-27-01	3,209.89	2,942 40	267.49	0 00
			ACQUIRED	11-08-96				
BENCHMARK ELECTRS INC COM	SHARES:	500.00	SOLD	06-27-01	11,344 62	9,781.25	1,563 37	0 00
			ACQUIRED	07-08-97				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-27-01 ACQUIRED 06-15-99	2,014 93	3,107.34	1,092 41-	0 00
BERKLEY W R CORP COM					
SHARES	SOLD 06-27-01 ACQUIRED 02-17-99	8,013 73	5,320 66	2,693 07	0 00
BLACK BOX CORP DEL COM					
SHARES.	SOLD 06-27-01 ACQUIRED 02-20-97	13,779.54	5,220 68	8,558 86	0 00
BRADY CORP					
SHARES	SOLD 06-27-01 ACQUIRED 06-14-94	9,824 67	4,487.50	5,337 17	0 00
BROWN & BROWN INC COM					
SHARES	SOLD 06-27-01 ACQUIRED 11-24-99	8,253 72	3,805 00	4,448.72	0 00
CACI INTL INC CL A					
SHARES	SOLD 06-27-01 ACQUIRED 10-24-96	4,379.85	1,800 00	2,579 85	0 00
CHURCH & DWIGHT INC COM					

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01249 ACCOUNT NO. 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES:	SOLD 06-27-01 ACQUIRED 10-22-98	7,289.76	4,358.59	2,931.17	0.00
SHARES:	SOLD 06-27-01 ACQUIRED 04-27-99	4,859.83	3,949.57	910.26	0.00
SHARES:	SOLD 06-27-01 ACQUIRED 04-29-99	2,429.92	2,094.14	335.78	0.00
CIBER INC COM					
SHARES:	SOLD 06-27-01 ACQUIRED 08-17-99	784.97	1,980.50	1,195.53	0.00
SHARES:	SOLD 06-27-01 ACQUIRED 08-18-99	784.98	1,961.75	1,176.77	0.00
SHARES:	SOLD 06-27-01 ACQUIRED 08-19-99	784.97	1,936.75	1,151.78	0.00
SHARES:	SOLD 06-27-01 ACQUIRED 09-15-99	2,354.92	5,155.56	2,800.64	0.00
CLAIRES STORES INC					
SHARES:	SOLD 06-27-01 ACQUIRED 11-05-98	1,834.94	1,886.16	51.22	0.00
SHARES:	SOLD 06-27-01 ACQUIRED 12-02-98	7,339.75	7,001.20	338.55	0.00
CULLEN / FROST BANKERS INC COM					



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01269 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 200 00	SOLD 06-27-01 ACQUIRED 11-21-96	6,923 76	3,200.00	3,723.76	0 00
DATASCOPE CORP COM					
SHARES: 100.00	SOLD 06-27-01 ACQUIRED 06-08-99	4,195 86	2,525 00	1,670.86	0.00
DAVOX CORP COM					
SHARES: 300.00	SOLD 06-27-01 ACQUIRED 02-08-99	2,450.91	2,682 81	231 90-	0.00
DELTA & PINE LD CO COM					
SHARES: 200 00	SOLD 06-27-01 ACQUIRED 02-28-00	3,619 87	3,630.30	10.43-	0 00
DIONEX CORP COM					
SHARES: 300 00	SOLD 06-27-01 ACQUIRED 10-20-93	8,909 70	2,400 00	6,509 70	0 00
DONALDSON INC COM					
SHARES: 200.00	SOLD 06-27-01 ACQUIRED 12-13-93	5,971 80	2,051.44	3,920 36	0 00
FBL FINL GROUP INC CL A					

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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC. - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 200.00	SOLD 06-27-01 ACQUIRED 02-05-97	3,411.88	2,380.80	1,031.08	0.00
FAIR ISAAC & CO INC COM					
SHARES: 300.00	SOLD 06-27-01 ACQUIRED 01-25-00	16,982.43	10,235.00	6,747.43	0.00
FIRST YRS INC COM					
SHARES: 400.00	SOLD 06-27-01 ACQUIRED 04-22-98	4,467.85	6,400.00	1,932.15-	0.00
EGL INC COM					
SHARES: 100.00	SOLD 06-27-01 ACQUIRED 06-19-00	1,485.79	1,887.50	401.71-	0.00
SHARES: 100.00	SOLD 06-27-01 ACQUIRED 06-20-00	1,485.79	1,928.57	442.78-	0.00
GLOBAL INDS LTD COMMON STOCK					
SHARES: 300.00	SOLD 06-27-01 ACQUIRED 09-16-96	4,136.86	2,418.75	1,718.11	0.00
HAEMONETICS CORP MASS COM					
SHARES: 400.00	SOLD 06-27-01 ACQUIRED 12-04-97	11,407.61	5,734.84	5,672.77	0.00
HANOVER COMPRESSOR CO COM (HOLDING COMPANY) COM					

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SURDNA FOUNDATION, INC. - NEUBERGER &  
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01249 ACCOUNT NO. 26-69329  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 SALES PROCEEDS  
 COST BASIS  
 GAIN/LOSS  
 28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-27-01	1,008 28	2,498 60	0 00
IHOP CORP NEW COM	ACQUIRED 02-26-99			
SHARES:	SOLD 06-27-01	2,585 91	2,300 00	0.00
INDEX CORP COM	ACQUIRED 08-05-99			
SHARES:	SOLD 06-27-01	6,429 78	3,166 44	0.00
INDEPENDENT BK CORP MASS COM	ACQUIRED 12-21-93			
SHARES:	SOLD 06-27-01	5,804.80	3,787.50	0.00
KAYDON CORP COM	ACQUIRED 06-01-00			
SHARES:	SOLD 06-27-01	9,939 66	3,775 00	0.00
KRONOS INC COM	ACQUIRED 11-29-93			
SHARES:	SOLD 06-27-01	3,497.88	4,501.56	0.00
LINCOLN ELEC HLDGS INC COM	ACQUIRED 03-23-00			
SHARES:	SOLD 06-27-01			
ACQUIRED			1,003.68-	0.00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO. 26-69329  
 SS870 AAB

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 04-15-99 ACQUIRED	2,499 91	2,077 13	422 78	0 00	SHARES: 100 00 LINENS N THINGS INC COM
06-27-01 SOLD 03-16-00 ACQUIRED	5,377.82	5,668.46	290.64-	0 00	SHARES: 200.00 MATHEWS INTL CORP CL A
06-27-01 SOLD 08-06-98 ACQUIRED	8,335 72	4,400.00	3,935 72	0.00	SHARES: 200 00 MENTOR CORP., COMMON STOCK, \$.10 PAR
06-27-01 SOLD 12-10-98 ACQUIRED	13,649.54	10,061 70	3,587.84	0.00	SHARES 500.00 MICROS SYS INC COM
06-27-01 SOLD 05-30-00 ACQUIRED	2,001 93	3,350 00	1,348 07-	0 00	SHARES: 100.00 NATIONAL-OILWELL INC COM
06-27-01 SOLD 06-06-00 ACQUIRED	2,001 93	3,143.06	1,141 13-	0 00	SHARES: 100 00 NORTHEAST PA FINL CORP COM
06-27-01 SOLD 11-19-96 ACQUIRED	15,089 49	9,860.52	5,228 97	0 00	SHARES: 500 00

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01249 ACCOUNT NO. 26-69329  
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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIPTION OF PROPERTY	SHARES	28% RATE	GAIN/LOSS
0.00	557.83	4,422.00	4,979.83	06-27-01 SOLD 06-22-99 ACQUIRED	SHARES.	400.00	0.00	557.83
0.00	116.96	1,128.00	1,244.96	06-27-01 SOLD 06-23-99 ACQUIRED	SHARES;	100.00	0.00	116.96
0.00	1,570.44	6,711.28	8,281.72	06-27-01 SOLD 09-17-96 ACQUIRED	SHARES;	400.00	0.00	1,570.44
0.00	3,639.48	4,256.25	7,895.73	06-27-01 SOLD 01-23-97 ACQUIRED	SHARES;	300.00	0.00	3,639.48
0.00	1,780.91	3,843.90	5,624.81	06-27-01 SOLD 12-22-93 ACQUIRED	SHARES	300.00	0.00	1,780.91
0.00	8,690.23	4,333.33	13,023.56	06-27-01 SOLD 02-21-97 ACQUIRED	SHARES;	400.00	0.00	8,690.23
0.00	1,611.37	4,864.41	6,475.78	06-27-01 SOLD 03-16-00 ACQUIRED	SHARES	100.00	0.00	1,611.37

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PRIDE INTL INC COM SHARES	SOLD 06-27-01 ACQUIRED 09-23-96	4,571.84	6,912.00	2,340.16-	0.00
PULITZER INC COM SHARES	SOLD 06-27-01 ACQUIRED 09-17-96	3,949.86	2,975.00	974.86	0.00
RESPIRONICS INC COM SHARES	SOLD 06-27-01 ACQUIRED 10-15-93	4,983.83	278.23	4,705.60	0.00
ROPER INDS INC NEW COM SHARES	SOLD 06-27-01 ACQUIRED 12-04-98	5,631.81	3,883.04	1,748.77	0.00
RUDDICK CORP COM SHARES	SOLD 06-27-01 ACQUIRED 01-26-94	8,237.72	2,303.60	5,934.12	0.00
SIMPSON MFG INC COMMON STOCK SHARES	SOLD 06-27-01 ACQUIRED 08-07-98	6,511.78	6,822.00	310.22-	0.00

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 07-01-00 THROUGH 06-30-01

ACCOUNT NO	AAB	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
01249	SS870	06-27-01 SOLD	5,804.80	4,295.53	1,509.27	0.00	STERLING BANCSHARES INC COM SHARES: 100 00
		11-24-99 ACQUIRED					
		06-27-01 SOLD	3,583.88	1,816.67	1,767.21	0.00	SWIFT ENERGY CO COM SHARES: 200 00
		04-07-97 ACQUIRED					
		06-27-01 SOLD	9,224.69	3,661.59	5,563.10	0.00	TEXAS REGI BANCSHARES INC CL A VTG SHARES: 300.00
		05-17-99 ACQUIRED					
		06-27-01 SOLD	7,781.74	4,045.46	3,736.28	0.00	TRIGON HEALTHCARE INC CL A COMMON STOCK SHARES: 200 00
		02-05-97 ACQUIRED					
		06-27-01 SOLD	6,187.79	2,145.78	4,042.01	0.00	UNIT CORP COM SHARES: 100 00
		08-12-97 ACQUIRED					
		06-27-01 SOLD	6,187.79	2,168.00	4,019.79	0.00	SHARES: 100 00
		08-13-97 ACQUIRED					
		06-27-01 SOLD	4,670.84	2,310.66	2,360.18	0.00	SHARES: 300 00
		10-21-96 ACQUIRED					

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 400 00	SOLD 06-27-01 ACQUIRED 10-22-96	6,227 79	2,978 24	3,249 55	0 00
UNITED STATIONERS INC COM					
SHARES: 400.00	SOLD 06-27-01 ACQUIRED 10-28-98	11,579 61	9,417.32	2,162.29	0.00
VARCO INTL INC DEL COM					
SHARES: 300 00	SOLD 06-27-01 ACQUIRED 04-26-99	5,930 80	3,405 81	2,524 99	0 00
WALLACE COMPUTER SVCS INC COM					
SHARES. 700 00	SOLD 06-27-01 ACQUIRED 11-23-98	11,248 62	14,751 80	3,503 18-	0.00
WEBSTER FINANCIAL CORP WATERBURY CONN COMMON STOCK					
SHARES 100.00	SOLD 06-27-01 ACQUIRED 12-10-98	1,606.95	2,426 92	819 97-	0.00
WHOLE FOODS MKT INC COM					
SHARES 300.00	SOLD 06-27-01 ACQUIRED 01-03-97	9,719 67	5,475.00	4,244.67	0 00



**The Northern Trust Company**  
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01249 ACCOUNT NO 26-69329  
 SS870 AAB  
 SURDNA FOUNDATION, INC - NEUBERGER &  
 BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
WOODHEAD INDS INC COM	06-27-01 SOLD 10-28-93 ACQUIRED	3,413.88	2,050.00	1,363.88	0.00
XTO ENERGY INC COM	06-27-01 SOLD 01-05-95 ACQUIRED	10,338.65	1,944.10	8,394.55	0.00
ZEBRA TECHNOLOGIES CORP CL A	06-27-01 SOLD 09-04-97 ACQUIRED	13,091.56	8,887.50	4,204.06	0.00
<b>SUB-TOTAL</b>		6,743,039.79	4,563,701.38	2,179,338.41	0.00
<b>TOTAL LONG TERM CAPITAL GAIN/LOSS-</b>		6,743,039.79	4,563,701.38	2,179,338.41	0.00

END OF SALES SCHEDULE

**The Northern Trust Company**  
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01249 ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 461,038.28

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 461,038.28

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 X 2,179,338.41

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 X 0 00

SUB TOTAL LONG TERM GAINS AND LOSSES 2,179,338.41

TOTAL LONG TERM CAPITAL GAINS AND LOSSES

Total Capital Gains (Losses)

2,179,338.41  
2,640,376.69

**The Northern Trust Company**  
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SURDNA FOUNDATION, INC. -  
 MORGAN STANLEY ASSET MANAGEMENT

01282 ACCOUNT NO. 26-69321  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 1

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
LONG TERM CAPITAL GAINS AND LOSSES				
MFO MORGAN STANLEY INSTL FD INTL EQTY PORT CL A				
UNITS	06-29-01 SOLD	6,400,000 00	5,319,781 88	
	10-15-93 ACQUIRED		1,080,218 12	0 00
CAPITAL GAIN DIVIDENDS				
MFO MORGAN STANLEY INSTL FD INTL EQTY PORT CL A				
20%		3,167,644 61	3,167,644 61	
JOTAL LONG TERM CAPITAL GAIN/LOSS-		9,567,644 61	5,319,781 88	0 00
			4,247,862 73	

END OF SALES SCHEDULE

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
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01247 ACCOUNT NO 26-69322  
 SS870 AAB

SURDMA FOUNDATION, INC -  
 MORGAN STANLEY ASSET MANAGEMENT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 1

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
<u>LONG TERM CAPITAL GAINS AND LOSSES</u>				
CAPITAL GAIN DIVIDENDS				
MFO MORGAN STANLEY INSTL FD INC EMERGING MARKETS PORT CL A	20%	742,069 31	742,069 31	0 00
<u>TOTAL LONG TERM CAPITAL GAIN/LOSS-</u>				
		742,069 31	742,069 31	0 00
<u>END OF SALES SCHEDULE</u>				

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630 6000

01278 ACCOUNT NO 26-69328  
 SS870 AAB  
 SURDNA FOUNDATION - GRANHAM MAYO VAN  
 OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

MFO GNO GLOBAL HEDGED EQUITY FD III

SHORT TERM CAPITAL GAINS AND LOSSES

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
-------------------------	------------------------	-------------------	---------------	-----------

UNITS	SOLD 01-31-01	87,505 03	82,525.93	4,979.10
	ACQUIRED 07-10-00			
UNITS:	SOLD 01-31-01	494,382.49	467,438.65	26,943.84
	ACQUIRED 07-17-00			
UNITS:	SOLD 07-31-01	189,599.88	188,681.74	918.14
	ACQUIRED 12-28-00			

MFO GNO TR CURRENCY HEDGED INTL BD FD CL III

UNITS	SOLD 01-31-01	47,034.28	45,567.38	1,466.90
	ACQUIRED 07-05-00			
UNITS.	SOLD 01-31-01	74,624.57	74,697.38	1,927.19
	ACQUIRED 12-26-00			

SUB-TOTAL

TOTAL SHORT TERM CAPITAL GAIN/LOSS-		895,146.25	858,911.08	36,235.17
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LONG TERM CAPITAL GAINS AND LOSSES

MFO GNO TR EMERGING COUNTRY DEBT FD CL III

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	20% RATE
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**The Northern Trust Company**  
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01278 ACCOUNT NO 26-69328

SURDNA FOUNDATION - GRANTHAM MAYO VAN  
 OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 2

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
UNITS: 22,826.82	SOLD 07-17-00 ACQUIRED 12-21-95	201,741 27	298,355 79	46,614 52-	0 00
UNITS: 9,954 18	SOLD 07-17-00 ACQUIRED 07-01-96	87,974 09	132,788.78	44,814.69-	0.00
UNITS: 20,109 21	SOLD 07-17-00 ACQUIRED 12-23-96	177,723.29	255,588 10	77,864.81-	0 00
MFO GNO TR EMERGING COUNTRY DEBT FD CL III					
UNITS: 21,738.44	SOLD 07-17-00 ACQUIRED 12-23-96	192,122.27	276,295 61	84,173.34-	0 00
UNITS: 19,515.00	SOLD 07-17-00 ACQUIRED 07-01-97	172,471.71	240,229 65	67,757 94-	0.00
UNITS: 11,636.77	SOLD 07-17-00 ACQUIRED 10-31-97	102,844.67	143,252.38	40,407.71-	0 00
MFO GNO INTL INSTRINSIC VALUE III FD					
UNITS: 21,619.00	SOLD 01-31-01 ACQUIRED 10-18-93	446,000 00	509,559 83	63,559.83-	0.00
MFO GNO TR SMALL CAP VALUE FD CL III					
UNITS: 13,166.13	SOLD 01-31-01 ACQUIRED 12-06-96	180,264.61	202,435 52	22,170.91-	0.00

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01278 ACCOUNT NO 26-69328 SURONA FOUNDATION - GRANTHAM MAYO VAN  
 SS870 AAB OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 12-09-96	309,735 39	352,213.42	42,478 03-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 05-26-95	1,355,409 11	1,681,954.38	326,545 27-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 07-03-95	7,554.52	9,475 15	1,920.63-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 12-21-95	64,019 29	81,302 98	17,283.69-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 07-01-96	3,590 79	4,621.11	1,030.32-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 12-23-96	47,354.91	61,228.88	13,873 97-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 07-01-97	19,270.17	24,472.63	5,202.46-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 12-22-97	528,446.56	558,515.53	30,068 97-	0 00
UNITS, MFO GMO GLOBAL HEDGED EQUITY FO 111	SOLD 01-31-01 ACQUIRED 06-01-98	144,547 52	151,232 00	6,684 48-	0 00

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SURDNA FOUNDATION - GRANITHAM MAYO VAN  
 OTTERLOO & COMPANY - GLOBAL FUND

01278 ACCOUNT NO 26-69328  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 4

28% RATE GAIN/LOSS	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
0.00	01-31-01 SOLD	120,282.62	111,399.73	8,882.89	0.00	MFO GMD EMERGING MARKETS FUND III
0.00	12-29-98 ACQUIRED				0.00	UNITS: 14,562.06
0.00	01-31-01 SOLD	21,975.07	21,629.19	345.88	0.00	UNITS: 2,660.42
0.00	07-08-99 ACQUIRED				0.00	UNITS: 2,660.42
0.00	01-31-01 SOLD	46,248.98	42,553.57	3,695.41	0.00	UNITS: 5,599.15
0.00	12-29-99 ACQUIRED				0.00	UNITS: 5,599.15
0.00	01-31-01 SOLD	446,000.00	480,086.10	34,086.10	0.00	MFO GMD TR EMERGING COUNTRY DEBT FD CL III
0.00	12-09-95 ACQUIRED				0.00	UNITS: 48,008.61
0.00	01-31-01 SOLD	276,605.93	377,195.62	100,589.69	0.00	MFO GMD TR CURRENCY HEDGED INTL BD FD CL III
0.00	01-31-01 SOLD	169,394.07	231,395.80	62,001.73	0.00	UNITS: 18,764.37
0.00	11-19-97 ACQUIRED				0.00	UNITS: 18,764.37
0.00	01-31-01 SOLD	1,146,682.70	1,245,952.29	99,269.59	0.00	MFO GMD INFLATION INDEX BD III
0.00	12-22-99 ACQUIRED				0.00	UNITS: 115,360.45



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SURDMA FOUNDATION - GRANHAM MAYO VAN  
 OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01278 ACCOUNT NO 26-69328  
 S5070 AAB

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
01-31-01 SOLD	446,000 00	433,629 82	12,370 18	0 00
06-09-98 ACQUIRED				
MFO GMO TR US BD/GLOBAL ALPHA A FD CL III				
01-31-01 SOLD	2,229,000.00	2,379,560.44	150,560.44-	0 00
06-09-98 ACQUIRED				
MFO GMO TR EMERGING COUNTRY DEBT FD CL III				
04-04-01 SOLD	500,000 00	694,540.09-	194,540.09-	0 00
11-19-97 ACQUIRED				
MFO GMO INFLATION INDEX BD III				
04-04-01 SOLD	500,000.00	474,330.50	25,669.50	0.00
06-09-98 ACQUIRED				
MFO GMO TR US BD/GLOBAL ALPHA A FD CL III				
04-04-01 SOLD	500,000.00	530,120.75	30,120 75-	0 00
06-09-98 ACQUIRED				
SUB-TOTAL				
DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
04-04-01 SOLD	10,443,259 54	11,955,915 64	1,512,656 10-	0 00
06-09-98 ACQUIRED				
MFO GMO TR SMALL CAP VALUE FD CL III				
06-09-98 ACQUIRED	268,737 57		268,737.57	20%
MFO GMO GROWTH FUND III				

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01278 ACCOUNT NO 26-69328  
 AAB

SURONA FOUNDATION - GRANTHAN MAYO VAN  
 OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/  
 ACQUIRED

DESCRIPTION OF PROPERTY

SALES  
 PROCEEDS

COST  
 BASIS

GAIN/LOSS

28% RATE  
 GAIN/LOSS

20% 42,363 81 42,363 81

MFO GMD VALUE FUND III

20% 65,692.66 65,692.66

MFO GMD TR SMALL CAP GROWTH FD CL III

20% 292,757.97 292,757.97

MFO GMD TR CURRENCY HEDGED INTL BD FD CL III

20% 7,267.71 7,267.71

MFO GMD INTL INSTRINSIC VALUE III FD

20% 500,149.87 500,149.87

MFO GMD INTERNATIONAL SMALL CO III

20% 77,388.94 77,388.94

MFO GMD CORE III

20% 382,577.14 382,577.14

MFO GMD CORE III

20% 305,254.62 305,254.62

MFO GMD TR SMALL CAP GROWTH FD CL III

20% 490,030.12 490,030.12

MFO GMD INTL INSTRINSIC VALUE III FD

20% 2,128,938.93 2,128,938.93

MFO GMD TR SMALL CAP VALUE FD CL III

20% 119,068.86 119,068.86

MFO GMD INTERNATIONAL SMALL CO III

20% 34,443.66 34,443.66

MFO GMD GROWTH FUND III

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
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01278 ACCOUNT NO. 26-69328

SURDNA FOUNDATION - GRANTHAM MAYO VAN  
 OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	20% RATE GAIN/LOSS
	188,487 10		188,487.10	
20%				
	41,497 49		41,497.49	
20%				
	4,944,606 45	0.00	4,944,606.45	0.00
	15,387,865.99	11,955,915.64	3,431,950.35	0.00
			<u>SUB-TOTAL</u>	
			TOTAL LONG TERM CAPITAL GAIN/LOSS-	

END OF SALES SCHEDULE

**The Northern Trust Company**  
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01278 ACCOUNT NO 26-69328  
 AAB SURDNA FOUNDATION - GRANTHAM MAYO VAN  
 SS870 OTTERLOO & COMPANY - GLOBAL FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 36,235.17

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 36,235.17

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 3,431,950.35

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0.00

SUB TOTAL LONG TERM GAINS AND LOSSES 3,431,950.35

TOTAL LONG TERM CAPITAL GAINS AND LOSSES  
 (RNDNG DIFF) 3,431,950.35

Total June 30, 2001 3,468,186.52

**The Northern Trust Company**  
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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO, INC.

01242 ACCOUNT NO. 26-33705  
 55870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
AMEREN CORP COMMON STOCK	09-12-00 SOLD 04-20-00 ACQUIRED	55,995 24	43,517 29	12,477.95
AMEREN CORP COMMON STOCK	09-13-00 SOLD 04-20-00 ACQUIRED	116,684 97	90,382.06	26,302.91
AMEREN CORP COMMON STOCK	09-15-00 SOLD 04-20-00 ACQUIRED	80,623.80	63,602 19	17,021.61
AMEREN CORP COMMON STOCK	09-18-00 SOLD 04-20-00 ACQUIRED	42,433 58	33,474.83	8,958.75
AMEREN CORP COMMON STOCK	09-21-00 SOLD 04-20-00 ACQUIRED	38,808 72	33,474 84	5,333.88
<del>SHORT TERM CAPITAL GAINS AND LOSSES</del>				

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01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO, INC SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
AMEREN CORP COMMON STOCK	SOLD 09-22-00 ACQUIRED 04-20-00	33,570 78	29,290 48	4,280 30
XCEL ENERGY INC COM	SOLD 09-22-00 ACQUIRED 04-20-00	430,602.89	340,379.71	90,223.18
#REORG FT JAMES CORP CSH & STK MRGR TO GA PAC CORP (GA PAC GRP COM 2347112 a 2644 CASH 029 EFF 11/27/00	SOLD 11-29-00 ACQUIRED 03-13-00	86,765 19	44,274 90	42,490 29
SHARES:	SOLD 11-29-00 ACQUIRED 03-14-00	130,147.78	66,730 38	63,417 40
SHARES:	SOLD 11-29-00 ACQUIRED 04-20-00	54,228 24	32,894.76	21,333 48
SHARES:	SOLD 11-29-00 ACQUIRED 04-27-00	101,226 06	66,309 69	34,916 37

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES;	SOLD 11-29-00	462,747.68	311,188.66	151,559.02
SHARES;	SOLD 11-29-00	72,304.32	47,866.48	24,437.84
SHARES;	SOLD 11-29-00	93,995.62	62,596.06	31,399.56
SHARES	SOLD 11-29-00	137,378.22	91,398.73	45,979.49
SHARES	SOLD 11-29-00	36,152.16	24,058.30	12,093.86
SHARES;	SOLD 11-29-00	54,228.25	36,087.15	18,141.10
SHARES;	SOLD 11-29-00	36,152.16	24,058.30	12,093.86
SHARES;	SOLD 11-29-00	4,519.02	3,004.50	1,514.52
SHARES;	SOLD 11-29-00	36,152.16	24,219.47	11,932.69
SHARES;	SOLD 11-29-00	54,228.24	36,540.35	17,687.89

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC

01242 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 1,400 00	SOLD 11-29-00 ACQUIRED 06-16-00	50,613 03	33,518 87	17,094 16
SHARES: 1,000 00	SOLD 11-29-00 ACQUIRED 06-19-00	36,152.16	23,854 51	12,297 65
SHARES: 2,100.00	SOLD 11-29-00 ACQUIRED 06-20-00	75,919 54	49,137 49	26,782 05
SHARES: 1,100 00	SOLD 11-29-00 ACQUIRED 06-21-00	39,767.38	25,392 91	14,374 47
SHARES: 1,000.00	SOLD 11-29-00 ACQUIRED 06-22-00	36,152 16	22,951.90	13,200 26
SHARES: 275 00	SOLD 11-29-00 ACQUIRED 07-06-00	9,941 86	6,502.93	3,438 93
GEORGIA PAC CORP COM-GEORGIA PAC GROUP				
SHARES 0.12	SOLD 12-01-00 ACQUIRED 07-26-00	3 13	2.97	0.16
NEWELL RUBBERMAID INC COM				
SHARES 2,900 00	SOLD 05-17-01 ACQUIRED 06-14-00	74,326.65	75,334 06	1,007.41-
NEWELL RUBBERMAID INC COM				



**The Northern Trust Company**  
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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01262 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
NEWELL RUBBERMAID INC COM				
SHARES, 1,600 00	SOLD 05-31-01 ACQUIRED 06-14-00	40,912.18	41,563.62	651.44-
SHARES, 3,900 00	SOLD 06-04-01 ACQUIRED 06-14-00	100,970.83	101,311.33	340 50-
SHARES, 4,600 00	SOLD 06-04-01 ACQUIRED 06-15-00	119,093.81	119,249.20	155 39-
SHARES, 1,400 00	SOLD 06-04-01 ACQUIRED 10-16-00	36,245.94	31,985.32	4,260.62
SHARES, 2,000 00	SOLD 06-04-01 ACQUIRED 10-17-00	51,779.91	45,781.15	5,998.76
SHARES, 5,300 00	SOLD 06-04-01 ACQUIRED 10-18-00	137,216.77	120,284.49	16,932.28
SHARES, 7,500 00	SOLD 06-04-01 ACQUIRED 10-19-00	194,174.68	168,435.60	25,739.08
SHARES, 6,400.00	SOLD 06-04-01 ACQUIRED 10-20-00	165,695.73	143,636.32	22,059.41
SHARES, 2,000 00	SOLD 06-04-01 ACQUIRED 10-20-00	51,779.92	44,914.95	6,864.97

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES,	SOLD 06-04-01	64,724.89	55,660.73	9,064.16
SHARES,	ACQUIRED 10-23-00			
SHARES,	SOLD 06-04-01	124,271.79	103,726.55	20,545.24
SHARES,	ACQUIRED 10-24-00			
SHARES,	SOLD 06-04-01	33,656.95	24,121.66	9,535.29
SHARES,	ACQUIRED 10-26-00			
SHARES,	SOLD 06-04-01	186,407.69	135,385.46	51,022.23
SHARES,	ACQUIRED 10-27-00			
SHARES,	SOLD 06-04-01	82,847.87	60,849.70	21,998.17
SHARES,	ACQUIRED 10-31-00			
BURLINGTON NORTHN SANTA FE CORP COM				
SHARES,	SOLD 06-26-01	167,200.87	125,503.37	41,697.50
SHARES,	ACQUIRED 09-18-00			
SHARES,	SOLD 06-26-01	553,492.55	415,945.40	137,547.15
SHARES,	ACQUIRED 09-19-00			
GEORGIA PAC CORP COM-GEORGIA PAC GROUP				
SHARES,	SOLD 06-26-01	260,149.22	190,827.87	69,321.35
SHARES,	ACQUIRED 07-26-00			
SHARES,	SOLD 06-26-01	199,338.21	145,490.29	53,847.92
SHARES,	ACQUIRED 07-27-00			

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01242 ACCOUNT NO 26-33705  
 SS870 AAB

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	33,786 14	24,558.53	9,227 61	SHARES; 1,000.00
06-26-01 SOLD	40,543.37	30,440.03	10,103.34	SHARES; 1,200 00
06-26-01 SOLD	67,572 28	51,294 63	16,277.65	SHARES; 2,000 00
06-26-01 SOLD	6,761 28	5,553 76	1,207 52	SHARES; 200 12
06-26-01 SOLD	553,457 01	500,120 00	53,337.01	WASHINGTON MUT INC COM SHARES; 15,000.00
06-26-01 SOLD	251,876 39	212,324 48	39,551.91	XL CAPITAL LTD-CL A SHARES; 3,200 00
06-27-01 SOLD	798,473.56	663,514 00	134,959 36	XL CAPITAL LTD-CL A SHARES; 10,000 00
SUB-TOTAL				
TOTAL SHORT TERM CAPITAL GAIN/LOSS-				
	6,809,073 00	5,308,707 56	1,500,365.44	
	6,809,073.00	5,308,707 56	1,500,365 44	
DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
LONG TERM CAPITAL GAINS AND LOSSES				
				28% RATE GAIN/LOSS

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01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 SS870 AAB & CO., INC.

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
#REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00	SOLD 07-07-00 ACQUIRED 09-16-98	65,337.61	42,599.43	22,738.18	0.00
#REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00	SOLD 07-11-00 ACQUIRED 09-16-98	42,315.30	27,564.34	14,750.96	0.00
#REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00	SOLD 07-11-00 ACQUIRED 09-16-98	42,400.22	27,564.34	14,835.88	0.00
#REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00	SOLD 07-13-00 ACQUIRED 09-16-98	55,557.14	35,081.89	20,475.25	0.00

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
& CO, INC

01242 ACCOUNT NO 26-33705  
SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
07-01-00 THROUGH 06-30-01

PAGE 9

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-14-00 ACQUIRED 09-16-98	7,986.73	5,011.70	2,975.03	0.00	SHARES; SOLD 200.00 #REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00
07-14-00 ACQUIRED 09-16-98	40,014.76	25,058.49	14,956.27	0.00	SHARES; SOLD 1,000.00 #REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00
07-18-00 ACQUIRED 09-16-98	39,933.66	25,058.49	14,875.17	0.00	SHARES; SOLD 1,000.00 #REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00
07-19-00 ACQUIRED 09-16-98	39,933.66	25,058.49	14,875.17	0.00	SHARES; SOLD 1,000.00 #REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00
07-20-00 ACQUIRED 09-16-98	11,980.10	7,517.55	4,462.55	0.00	SHARES; SOLD 300.00 #REGORG CONSOLIDATED PAPERS INC COM STK MERGER TO STORA ENSO OYJ #2-848344 03.621 EFF 08-31-00

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO, INC.

01242 ACCOUNT NO. 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-20-00 SOLD	56,034.66	35,081.88	20,952.78	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-16-98 ACQUIRED					
08-23-00 SOLD	10,699.05	8,994.81	1,704.24	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-09-97 ACQUIRED					
08-23-00 SOLD	42,266.55	35,386.04	6,880.51	0.00	SHARES; ALLEGHENY ENERGY INC COM
08-25-00 SOLD					
09-10-97 ACQUIRED					
08-28-00 SOLD	17,778.12	14,869.83	2,908.29	0.00	SHARES; ALLEGHENY ENERGY INC COM
08-28-00 SOLD	42,413.03	34,805.33	7,607.70	0.00	SHARES; ALLEGHENY ENERGY INC COM
08-28-00 SOLD	56,444.66	46,408.94	10,035.72	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-12-97 ACQUIRED					
08-30-00 SOLD	92,616.91	50,435.17	42,181.74	0.00	SHARES; AMERICAN STD COS INC DEL COM
08-30-00 SOLD					
09-21-98 ACQUIRED					
08-30-00 SOLD	44,456.11	25,890.04	18,566.07	0.00	SHARES;
08-30-00 SOLD					
09-23-98 ACQUIRED					

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01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C. BERNSTEIN & CO., INC

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
ALLEGHENY ENERGY INC COM	08-31-00 SOLD	106.97	87.18	19.79	0.00
SHARES:	09-12-97 ACQUIRED				
3.00					
1,500.00	08-31-00 SOLD	46,352.71	38,917.58	7,435.13	0.00
SHARES:	09-18-97 ACQUIRED				
1,500.00					
AMERICAN STD COS INC DEL COM	08-31-00 SOLD	24,852.18	20,909.40	3,942.78	0.00
SHARES:	09-19-97 ACQUIRED				
697.00					
AMERICAN STD COS INC DEL COM	08-31-00 SOLD	51,155.55	29,807.46	21,348.09	0.00
SHARES:	09-23-98 ACQUIRED				
1,100.00					
AMERICAN STD COS INC DEL COM	08-31-00 SOLD	69,650.17	40,646.53	29,003.64	0.00
SHARES:	09-23-98 ACQUIRED				
1,500.00					
AMERICAN STD COS INC DEL COM	09-01-00 SOLD	68,114.46	39,562.62	28,551.84	0.00
SHARES:	09-23-98 ACQUIRED				
1,460.00					

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 & CO., INC

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 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-01-00 SOLD	1,866 15	1,063 02	803 13	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-05-00 SOLD	21,739.26	18,089.48	3,649.78	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-19-97 ACQUIRED					SHARES; ALLEGHENY ENERGY INC COM
09-05-00 SOLD	36,051.84	30,298.82	5,753.02	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-24-97 ACQUIRED					SHARES; ALLEGHENY ENERGY INC COM
09-05-00 SOLD	36,051.85	30,149.63	5,902.22	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-25-97 ACQUIRED					SHARES; ALLEGHENY ENERGY INC COM
09-05-00 SOLD	39,548.87	33,152.85	6,396.02	0.00	SHARES; ALLEGHENY ENERGY INC COM
09-30-97 ACQUIRED					SHARES; ALLEGHENY ENERGY INC COM
09-06-00 SOLD	40,049.84	33,334 17	6,715 67	0.00	SHARES; XCEL ENERGY INC COM
09-30-97 ACQUIRED					SHARES; XCEL ENERGY INC COM
09-15-00 SOLD	34,777.65	29,587.77	5,189 88	0.00	SHARES; XCEL ENERGY INC COM
08-02-99 ACQUIRED					SHARES; XCEL ENERGY INC COM
09-15-00 SOLD	181,913.88	155,258.34	26,655.54	0.00	SHARES; XCEL ENERGY INC COM
08-03-99 ACQUIRED					SHARES; XCEL ENERGY INC COM



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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-15-00 SOLD 08-04-99 ACQUIRED	16,051.23	13,684.14	2,367.09	0.00	SHARES: XCEL ENERGY INC COM 600.00
09-15-00 SOLD 08-02-99 ACQUIRED	21,372.59	18,207.86	3,164.73	0.00	SHARES: XCEL ENERGY INC COM 800.00
09-19-00 SOLD 08-04-99 ACQUIRED	47,591.44	41,052.40	6,539.04	0.00	SHARES: XCEL ENERGY INC COM 1,800.00
09-19-00 SOLD 08-06-99 ACQUIRED	10,575.87	9,122.76	1,453.11	0.00	SHARES: XCEL ENERGY INC COM 400.00
09-22-00 SOLD 08-06-99 ACQUIRED	77,819.80	68,420.68	9,399.12	0.00	SHARES: XCEL ENERGY INC COM 3,000.00
11-29-00 SOLD 11-17-99 ACQUIRED	101,226.06	78,321.21	22,904.85	0.00	SHARES: CIGNA CORP, COMMON STOCK, 2,800.00
					#REORG FT JAMES CORP CSH & STK MRGR TO GA PAC CORP (GA PAC GRP COM 2347112 a 2644 CASH 329 EFF 11/27/00

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01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO, INC.

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

SHARES: 2,600.00 SOLD 11-29-00 323,300.51 99,138.87 224,161.64 0.00

#REORG AETNA INC CASH MERGER 35,332247  
 EFF 12/13/00

SHARES: 18,561.00 SOLD 12-19-00 1,300,796.58 1,134,437.08 166,359.50 0.00

SHARES: 3,259.00 SOLD 12-19-00 226,996.40 197,853.00 29,143.40 0.00

#REORG LITTON INDS INC CASH MERGER EFF  
 5/30/01

SHARES: 3,100.00 SOLD 01-16-01 244,690.02 179,352.98 65,337.04 0.00

SHARES: 2,200.00 SOLD 01-16-01 173,650.99 127,614.30 46,036.69 0.00

DILLARDS INC CL A COMMON STOCK

SHARES: 1,000.00 SOLD 01-23-01 14,945.25 34,814.15 19,868.90 0.00

BERGEN BRUNSWIG CORP, CLASS A COMMON  
 STOCK #1 50 PAR

0.00

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01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C. BERNSTEIN & CO, INC

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01242 SS870 AAB

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
01-31-01 SOLD 06-28-99 ACQUIRED	32,388 79	30,894.80	1,493.99	0 00	DILLARDS INC CL A COMMON STOCK SHARES, 1,800 00
01-31-01 SOLD 01-05-98 ACQUIRED	21,313 19	48,739 80	27,426 61-	0 00	DILLARDS INC CL A COMMON STOCK SHARES, 800 00
02-01-01 SOLD 01-05-98 ACQUIRED	12,222 71	27,851 32	15,628 61-	0 00	DILLARDS INC CL A COMMON STOCK SHARES, 2,000 00
02-01-01 SOLD 03-27-98 ACQUIRED	30,556 77	73,493.21	42,936.44-	0.00	DILLARDS INC CL A COMMON STOCK SHARES, 2,100 00
02-05-01 SOLD 03-27-98 ACQUIRED	37,517.41	77,167 87	39,650 46-	0 00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1 50 PAR SHARES, 1,100 00
02-06-01 SOLD 06-28-99 ACQUIRED	21,305 35	18,880 15	2,425.20	0.00	DILLARDS INC CL A COMMON STOCK SHARES, 1,100 00

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN & CO., INC.

01242 ACCOUNT NO. 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY      DATE SOLD/ACQUIRED      SALES PROCEEDS      COST BASIS      GAIN/LOSS      28% RATE GAIN/LOSS

BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1.50 PAR  
 SHARES: 1,600.00      SOLD 02-06-01      28,799.79      58,794.56      29,994.77-      0.00  
 ACQUIRED 03-27-98

DILLARDS INC CL A COMMON STOCK  
 SHARES: 2,400.00      SOLD 02-07-01      47,551.91      41,193.06      6,358.85      0.00  
 ACQUIRED 06-28-99

DILLARDS INC CL A COMMON STOCK  
 SHARES: 1,000.00      SOLD 02-07-01      18,118.71      36,746.60      18,627.89-      0.00  
 ACQUIRED 03-27-98

DILLARDS INC CL A COMMON STOCK  
 SHARES: 125.00      SOLD 02-08-01      2,274.21      4,593.33      2,319.12-      0.00  
 ACQUIRED 03-27-98  
 SHARES: 875.00      SOLD 02-08-01      15,919.47      32,541.57      16,622.10-      0.00  
 ACQUIRED 03-30-98

DOW CHEMICAL CO., COMMON STOCK, \$2.50 PAR  
 SHARES: 0.10      SOLD 02-13-01      3.34      2.79      0.55      0.00  
 ACQUIRED 12-10-96  
 DILLARDS INC CL A COMMON STOCK

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01242 ACCOUNT NO. 26-33705  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
02-15-01 SOLD	15,012.15	29,752.29	14,740.14-	0.00	AMERICAN STD COS INC DEL COM SHARES; 800.00
03-30-98 ACQUIRED					
02-15-01 SOLD	179,850.96	99,798.81	80,052.15	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 3,200.00
12-11-98 ACQUIRED					
02-15-01 SOLD	123,647.53	70,514.92	53,132.61	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 2,200.00
12-16-98 ACQUIRED					
02-15-01 SOLD	61,823.77	35,274.41	26,549.36	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 1,100.00
12-17-98 ACQUIRED					
02-15-01 SOLD	56,203.42	32,422.15	23,781.27	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 1,000.00
12-18-98 ACQUIRED					
02-15-01 SOLD	67,444.11	39,167.37	28,276.74	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 1,200.00
12-21-98 ACQUIRED					
02-15-01 SOLD	67,444.11	38,474.81	28,969.30	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 1,200.00
12-23-98 ACQUIRED					
02-22-01 SOLD	32,368.82	30,675.79	1,693.03	0.00	BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR SHARES; 1,800.00
06-29-99 ACQUIRED					

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO., INC

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

01242 ACCOUNT NO 26-33705

SS870 AAB

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1.50 PAR  
 SHARES: 1,600.00 SOLD 02-28-01 28,803.15 27,267.37 1,535.78 0.00

SHARES: 700.00 SOLD 03-05-01 12,664.42 11,929.47 734.95 0.00

SHARES: 500.00 SOLD 03-05-01 9,046.01 8,472.07 573.94 0.00

GOODRICH CORPORATION  
 SHARES: 1,000.00 SOLD 03-06-01 41,462.11 33,568.42 7,893.69 0.00

SHARES: 100.00 SOLD 03-06-01 4,146.21 3,431.45 714.76 0.00

CANADIAN PAC LTD NEW COM STK  
 SHARES: 5,100.00 SOLD 03-08-01 192,903.35 92,737.07 100,166.28 0.00

GOODRICH CORPORATION  
 SHARES: 5,400.00 SOLD 03-08-01 204,198.24 98,192.20 106,006.04 0.00

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO., INC

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1 50 PAR	03-09-01 12-30-98	54,426 32	44,608.79	9,817 53	0.00
BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1 50 PAR	03-13-01 07-01-99	28,002 08	28,805 05	802.97-	0 00
BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1 50 PAR	03-15-01 07-01-99	16,165 55	16,944.15	778.60-	0 00
GOODRICH CORPORATION	03-16-01 12-30-98	27,483 18	24,020.12	3,463.06	0.00
BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR	03-16-01 01-04-99	11,778 50	10,819 40	959 10	0 00
BERGEN BRUNSWIG CORP, CLASS A COMMON STOCK #1.50 PAR	03-20-01 07-01-99	16,994 24	18,638 56	1,644 32-	0.00

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO, INC

01242 ACCOUNT NO. 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-20-01 SOLD	30,898 61	32,951.96	2,053 35-	0 00	SHARES: 2,000.00
07-07-99 ACQUIRED				0 00	BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
03-20-01 SOLD	13,904 37	13,835 65	68 72	0 00	SHARES: 900 00
03-20-01 SOLD				0 00	BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
07-08-99 ACQUIRED				0 00	SHARES: 1,900.00
03-21-01 SOLD	29,753.24	29,208.58	544 66	0 00	SHARES: 200.00
07-08-99 ACQUIRED				0 00	BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
03-21-01 SOLD	3,131.92	3,081.39	50.53	0 00	SHARES: 800 00
07-09-99 ACQUIRED				0 00	BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
03-23-01 SOLD	12,053 59	12,325 57	271 98-	0 00	SHARES: 1,000 00
07-19-99 ACQUIRED				0 00	SHARES: 100 00
03-23-01 SOLD	15,066 99	15,549.24	482 25-	0 00	SHARES: 1,506 70
07-13-99 ACQUIRED				0 00	PUGET ENERGY INC NEW COM
03-23-01 SOLD	1,506 70	1,520 67	13 97-	0 00	SHARES: 13 97-



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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
03-26-01 SOLD	21,620.61	25,296.36	3,675.75-	0.00	SHARES: 1,000.00 BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
01-30-97 ACQUIRED					
03-27-01 SOLD	13,921.73	13,686.00	235.73	0.00	SHARES: 900.00 BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
08-13-99 ACQUIRED					
03-27-01 SOLD	1,546.86	1,517.75	29.11	0.00	SHARES: 100.00 BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1 50 PAR
08-17-99 ACQUIRED					
03-28-01 SOLD	14,056.76	13,659.70	397.06	0.00	SHARES: 900.00 CANADIAN PAC LTD NEW COM STK
08-17-99 ACQUIRED					
03-28-01 SOLD	10,933.03	11,338.00	404.97-	0.00	SHARES: 700.00 CANADIAN PAC LTD NEW COM STK
08-26-99 ACQUIRED					
03-30-01 SOLD	123,273.95	61,824.71	61,449.24	0.00	SHARES: 3,400.00 CANADIAN PAC LTD NEW COM STK
01-12-96 ACQUIRED					
04-02-01 SOLD	51,361.01	25,457.24	25,903.77	0.00	SHARES: 1,400.00 CANADIAN PAC LTD NEW COM STK
01-12-96 ACQUIRED					

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO, INC

01242 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

SHARES: 3,500.00 SOLD 04-02-01 121,065 24 59,800 29 61,264.95 0.00  
 ACQUIRED 01-15-96  
 GOODRICH CORPORATION  
 SHARES: 1,500.00 SOLD 04-04-01 55,655.45 54,097.02 1,558.43 0.00  
 ACQUIRED 01-04-99  
 GOODRICH CORPORATION

SHARES: 2,900.00 SOLD 04-02-01 106,390.66 54,183.75 52,206.91 0.00  
 ACQUIRED 01-17-96  
 PG& E CORP COMMON STOCK  
 SHARES: 1,200.00 SOLD 04-05-01 45,324.25 43,277.61 2,046.64 0.00  
 ACQUIRED 01-04-99

SHARES: 462.00 SOLD 04-06-01 4,743.50 11,210.92 6,467.42 0.00  
 ACQUIRED 08-07-97  
 SHARES: 2,500.00 SOLD 04-06-01 25,668.26 60,081.30 34,413.04 0.00  
 ACQUIRED 08-08-97  
 SHARES: 1,038.00 SOLD 04-06-01 10,657.47 24,978.58 14,321.11 0.00  
 ACQUIRED 08-11-97  
 PG& E CORP COMMON STOCK

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB SSB70

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 23

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
04-06-01 SOLD	33,910 31	110,695.05	76,784.74-	0.00	SHARES; 4,600.00 PUGET ENERGY INC NEW COM
08-11-97 ACQUIRED					
04-17-01 SOLD	24,215 47	25,296 36	1,080.89-	0.00	SHARES; 1,000.00 GOODRICH CORPORATION
01-30-97 ACQUIRED					
04-18-01 SOLD	43,355 71	39,671 15	3,684.56	0.00	SHARES; 1,100.00 PG& E CORP COMMON STOCK
01-04-99 ACQUIRED					
04-18-01 SOLD	3,256.81	8,711.22	5,454 41-	0.00	SHARES; 362.00 ALCAN INC
08-11-97 ACQUIRED					
04-18-01 SOLD	17,993 45	47,872 32	29,878 87-	0.00	SHARES; 2,000.00 CANADIAN PAC LTD NEW COM STK
08-14-97 ACQUIRED					
04-18-01 SOLD	1,241 55	3,285 49	2,043.94-	0.00	SHARES; 138.00 ALCAN INC
08-18-97 ACQUIRED					
04-19-01 SOLD	116,150 12	85,384.35	30,765.77	0.00	SHARES; 2,700.00 CANADIAN PAC LTD NEW COM STK
09-17-96 ACQUIRED					

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
04-19-01 SOLD	211,648.43	98,089.44	113,558.99	0.00	SHARES: 5,300.00
01-18-96 ACQUIRED					CANADIAN PAC LTD NEW COM STK
04-19-01 SOLD	244,992.22	112,895.39	132,096.83	0.00	SHARES: 6,100.00
01-18-96 ACQUIRED					INTERNATIONAL PAPER CO COMMON STOCK #1 PAR
04-19-01 SOLD	100,581.70	137,125.30	36,543.60	0.00	SHARES: 2,600.00
09-11-97 ACQUIRED					ALCAN INC
04-20-01 SOLD	17,297.86	12,649.53	4,648.33	0.00	SHARES: 400.00
09-17-96 ACQUIRED					SHARES: 5,200.00
04-20-01 SOLD	224,872.21	162,795.55	62,076.66	0.00	SHARES: 400.00
09-18-96 ACQUIRED					SHARES: 400.00
04-20-01 SOLD	17,297.86	12,400.27	4,897.59	0.00	SHARES: 1,400.00
09-19-96 ACQUIRED					CANADIAN PAC LTD NEW COM STK
04-20-01 SOLD	56,117.12	25,910.42	30,206.70	0.00	SHARES:
01-18-96 ACQUIRED					

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 ACQUIRED  
 SALES  
 PROCEEDS  
 COST  
 BASIS  
 GAIN/LOSS  
 28% RATE  
 GAIN/LOSS

SHARES:	2,000.00	80,167.32	36,867.79	43,299.53	0.00
ACQUIRED	04-20-01	01-24-96			
SOLD	04-20-01				
SHARES:	2,800.00	112,059.53	51,820.83	60,238.70	0.00
ACQUIRED	04-20-01	01-18-96			
SOLD	04-20-01				
INTERNATIONAL PAPER CO COMMON STOCK \$1 PAR					
SHARES:	4,100.00	157,881.79	216,236.05	58,354.26-	0.00
ACQUIRED	04-20-01	09-11-97			
SOLD	04-20-01				
ALCAN INC					
SHARES:	1,014.00	44,532.28	31,434.69	13,097.59	0.00
ACQUIRED	04-23-01	09-19-96			
SOLD	04-23-01				
INTERNATIONAL PAPER CO COMMON STOCK \$1 PAR					
SHARES:	886.00	38,910.84	27,525.07	11,385.77	0.00
ACQUIRED	04-23-01	09-19-96			
SOLD	04-23-01				
SHARES:	4,599.24	174,277.86	242,566.22	68,288.36-	0.00
ACQUIRED	04-23-01	09-11-97			
SOLD	04-23-01				
ALCAN INC					
SHARES:	4,700.76	178,124.74	183,142.58	5,017.84-	0.00
ACQUIRED	04-23-01	09-11-97			
SOLD	04-23-01				

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/  
 ACQUIRED

SALES PROCEEDS

COST BASIS

GAIN/LOSS

28% RATE

GAIN/LOSS

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE
ALCAN INC	04-26-01 SOLD	18,425.45	12,861.60	5,563.85	0.00
SHARES:	09-19-96 ACQUIRED				
	04-26-01 SOLD	26,080.46	18,200.98	7,879.48	0.00
ALCAN INC	04-26-01 SOLD	31,242.13	21,741.78	9,500.35	0.00
SHARES:	09-20-96 ACQUIRED				
	04-26-01 SOLD	44,631.60	26,743.33	17,888.27	0.00
SHARES:	12-12-97 ACQUIRED				
	04-26-01 SOLD	26,778.97	16,080.64	10,698.33	0.00
CANADIAN PAC LTD NEW COM STK	04-26-01 SOLD	78,988.16	36,867.79	42,120.37	0.00
SHARES:	01-24-96 ACQUIRED				
INTERNATIONAL PAPER CO. COMMON STOCK #1 PAR					

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
04-30-01 SOLD	93,626.63	93,504 50	122 13	0.00	ALCAN INC SHARES: 2,400.00
09-11-97 ACQUIRED					
05-01-01 SOLD	31,109 62	18,760 75	12,348.87	0.00	ALCAN INC SHARES: 700 00
12-15-97 ACQUIRED					
05-01-01 SOLD	13,332 69	8,097.86	5,234.83	0.00	INTERNATIONAL PAPER CO. COMMON STOCK #1 PAR
12-16-97 ACQUIRED					
05-01-01 SOLD	24,477 44	24,244 16	233.28	0.00	SHARES: 622.28
09-11-97 ACQUIRED					
05-01-01 SOLD	58,420.46	55,255 50	3,164.96	0.00	SHARES: 1,485 20
05-01-01 SOLD	81,788.66	77,944.16	3,844 50	0.00	SHARES: 2,079 28
01-21-98 ACQUIRED					
05-01-01 SOLD	75,257.46	70,940.08	4,317.38	0.00	SHARES: 1,913 24
05-01-01 SOLD	8,965.53	23,807.87	14,842.34-	0.00	PG& E CORP COMMON STOCK SHARES: 1,000 00
05-01-01 SOLD					
08-18-97 ACQUIRED					

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO, INC

01242 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-02-01 SOLD 12-16-97 ACQUIRED	44,503 51	26,992 88	17,510.63	0.00	SHARES: 1,000 00 PG& E CORP COMMON STOCK
05-02-01 SOLD 08-18-97 ACQUIRED	7,713 31	20,522.38	12,809.07-	0.00	SHARES: 862.00 PG& E CORP COMMON STOCK
05-02-01 SOLD 08-19-97 ACQUIRED	11,972 62	31,911.02	19,938.40-	0.00	SHARES: 1,338.00 PRAXAIR INC COMMON STOCK
05-02-01 SOLD 08-26-97 ACQUIRED	3,579 26	9,399 84	5,820 58-	0.00	SHARES: 400.00 PRAXAIR INC COMMON STOCK
05-03-01 SOLD 09-30-98 ACQUIRED	4,745 66	3,299 87	1,445.79	0.00	SHARES: 100.00 PRAXAIR INC COMMON STOCK
05-03-01 SOLD 10-01-98 ACQUIRED	118,641 54	80,777.98	37,863.56	0.00	SHARES: 2,500.00 PRAXAIR INC COMMON STOCK
05-03-01 SOLD 10-02-98 ACQUIRED	147,115.51	99,834 69	47,280 82	0.00	SHARES: 3,100.00 PRAXAIR INC COMMON STOCK



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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO 26-33705  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-03-01 SOLD	104,404 55	70,302.30	34,102 25	0.00	SHARES: 2,200 00
10-05-98 ACQUIRED					
05-03-01 SOLD	56,947 94	43,127.55	13,820.39	0 00	SHARES: 1,200 00
01-13-99 ACQUIRED					
05-03-01 SOLD	75,930 58	57,351 31	18,579 27	0.00	SHARES: 1,600 00
01-14-99 ACQUIRED					
05-03-01 SOLD	47,456.62	34,012.20	13,444 42	0 00	SHARES: 1,000.00
01-25-99 ACQUIRED					
05-04-01 SOLD	4,441 04	2,699 29	1,741 75	0 00	SHARES: 100 00
12-16-97 ACQUIRED					
05-04-01 SOLD	39,969.37	24,346 45	15,622 92	0 00	SHARES: 900 00
12-19-97 ACQUIRED					
05-04-01 SOLD	12,034.81	11,663 42	371 39	0 00	SHARES: 314.56
05-04-01 SOLD	56,822.52	56,168 40	654.12	0.00	SHARES: 1,485 20
01-22-98 ACQUIRED					
01-26-98 ACQUIRED					

INTERNATIONAL PAPER CO. COMMON  
 STOCK #1 PAR

ALCAN INC

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO, INC.

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 30

28% RATE  
 GAIN/LOSS

0.00

75 07-

56,897.60

56,822 53

SOLD 05-04-01  
 ACQUIRED 01-28-98

1,485 20

SHARES;

DESCRIPTION OF PROPERTY

0.00

1,710.87-

58,533 40

56,822.53

SOLD 05-04-01  
 ACQUIRED 01-29-98

1,485.20

SHARES;

0.00

2,871 97-

59,694.50

56,822.53

SOLD 05-04-01  
 ACQUIRED 02-03-98

1,485.20

SHARES;

0.00

84.33-

1,792 22

1,707.89

SOLD 05-04-01  
 ACQUIRED 02-04-98

44 64

SHARES;

PG&E CORP COMMON STOCK

0.00

33,468 35-

54,049 09

20,580 74

SOLD 05-04-01  
 ACQUIRED 08-26-97

2,300 00

SHARES;

PRAXAIR INC COMMON STOCK

0.00

41,087.76

98,635.37

139,723.13

SOLD 05-04-01  
 ACQUIRED 01-25-99

2,900 00

SHARES;

PRAXAIR INC COMMON STOCK

0.00

18,980 33

48,137.90

67,118 23

SOLD 05-08-01  
 ACQUIRED 01-26-99

1,400 00

SHARES;

PRAXAIR INC COMMON STOCK

0.00

12,389 04

30,758.40

43,147 44

SOLD 05-08-01  
 ACQUIRED 01-27-99

900.00

SHARES;

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 31

DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE	GAIN/LOSS
PG&E CORP COMMON STOCK						
SHARES:						
SOLD 05-08-01	300 00	14,333 19	10,203.66	4,129 53	0.00	
ACQUIRED 01-25-99						
SOLD 05-08-01	1,200 00	57,332.76	41,261.06	16,071 70	0.00	
ACQUIRED 01-26-99						

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE	GAIN/LOSS
INTERNATIONAL PAPER CO. COMMON STOCK #1 PAR						
SHARES:						
SOLD 05-09-01	21,800.00	195,069 67	512,291 34	317,221 67-	0 00	
ACQUIRED 08-26-97						

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE	GAIN/LOSS
INTERNATIONAL PAPER CO. COMMON STOCK #1 PAR						
SHARES:						
SOLD 05-09-01	995.00	37,569.13	39,947.59	2,378.46-	0.00	
ACQUIRED 02-04-98						
SOLD 05-09-01	1,105 00	41,722 49	56,512.47	14,789 98-	0.00	
ACQUIRED 03-11-98						
SOLD 05-09-01	2,100 00	79,291 63	108,950.31	29,658.68-	0.00	
ACQUIRED 03-12-98						
SOLD 05-09-01	1,900 00	71,740 04	97,506.86	25,766.82-	0.00	
ACQUIRED 03-13-98						

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO, INC.

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-10-01 SOLD 03-13-98 ACQUIRED	88,287.37	118,034.62	29,747.25-	0.00	SHARES
05-10-01 SOLD 03-17-98 ACQUIRED	34,547.23	46,354.86	11,807.63-	0.00	SHARES
PRAXAIR INC COMMON STOCK					
05-10-01 SOLD 01-27-99 ACQUIRED	29,161.78	20,505.59	8,656.19	0.00	SHARES
05-10-01 SOLD 01-28-99 ACQUIRED	38,882.38	26,623.10	12,259.28	0.00	SHARES
PRAXAIR INC COMMON STOCK					
05-10-01 SOLD 01-27-99 ACQUIRED	24,591.12	17,088.00	7,503.12	0.00	SHARES
PUGET ENERGY INC NEW COM					
05-11-01 SOLD 01-30-97 ACQUIRED	16,235.56	17,707.46	1,471.90-	0.00	SHARES
05-11-01 SOLD 02-03-97 ACQUIRED	23,193.67	25,438.05	2,244.38-	0.00	SHARES
05-11-01 SOLD 02-04-97 ACQUIRED	23,193.66	25,556.56	2,362.90-	0.00	SHARES

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN & CO., INC.

01242 ACCOUNT NO 26-33705 AAB

SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES: SOLD 05-11-01 4,638 73 5,135 71 496.98- 0.00  
 ACQUIRED 02-05-97

#REORG AMERICAN GENERAL CORP STK MERGER  
 TO AMERN INTL GRP INC 2016430 EFF  
 8/29/01

SHARES: SOLD 05-14-01 63,256 88 24,429 10 38,827 78 0.00  
 ACQUIRED 07-23-96

INTERNATIONAL PAPER CO. COMMON  
 STOCK #1 PAR

SHARES: SOLD 05-14-01 3,714 32 5,150 54 1,436 22- 0.00  
 ACQUIRED 03-17-98

SHARES: SOLD 05-14-01 14,671.57 20,107.56 5,435 99- 0.00  
 ACQUIRED 03-18-98

SHARES: SOLD 05-14-01 29,900 28 35,469.75 5,569.47- 0.00  
 ACQUIRED 09-16-98

PUGET ENERGY INC NEW COM

SHARES: SOLD 05-14-01 18,624 97 20,542.82 1,917.85- 0.00  
 ACQUIRED 02-05-97

ALCAN INC

0.00

0.00

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01242 ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO, INC.

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
ALCAN INC					
SHARES: 1,900 00	SOLD 05-15-01	84,344.07	52,594.93	31,749.14	0.00
	ACQUIRED 12-22-97				
SHARES: 600 00	SOLD 05-15-01	26,634.97	16,204.85	10,430.12	0.00
	ACQUIRED 12-22-97				
#REGORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 400.00	SOLD 05-15-01	17,753.40	10,820.65	6,932.75	0.00
	ACQUIRED 12-19-97				
SHARES: 600.00	SOLD 05-15-01	26,630.11	16,204.86	10,425.25	0.00
	ACQUIRED 12-22-97				
#REGORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 1,100 00	SOLD 05-15-01	49,646.84	19,194.29	30,452.55	0.00
	ACQUIRED 07-23-96				
#REGORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 662 00	SOLD 05-15-01	29,953.04	11,551.48	18,401.56	0.00
	ACQUIRED 07-23-96				
#REGORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 2,538.00	SOLD 05-15-01	114,835.08	51,487.40	63,347.68	0.00
	ACQUIRED 12-09-96				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 3,000.00	SOLD 05-16-01	138,042.79	60,859.81	77,182.98	0.00
REGORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01	ACQUIRED 12-09-96				
SHARES: 1,000.00	SOLD 05-16-01	45,983.46	20,286.60	25,696.86	0.00
INTERNATIONAL PAPER CO. COMMON STOCK #1 PAR	ACQUIRED 12-09-96				
SHARES: 1,141.00	SOLD 05-16-01	43,853.06	50,274.51	6,421.45-	0.00
CANADIAN PAC LTD NEW COM STK	ACQUIRED 09-16-98				
SHARES: 1,300.00	SOLD 05-17-01	56,432.67	23,964.06	32,468.61	0.00
GOODRICH CORPORATION	ACQUIRED 01-24-96				
SHARES: 400.00	SOLD 05-21-01	17,288.62	14,425.87	2,862.75	0.00
SHARES: 1,500.00	SOLD 05-21-01	64,832.33	53,699.61	11,132.72	0.00
ACQUIRED 01-05-99					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28X RATE GAIN/LOSS
SHARES: 200.00	SOLD 05-21-01 ACQUIRED 01-06-99	8,644 31	7,192 49	1,451.82	0.00
GOODRICH CORPORATION					
SHARES: 2,200 00	SOLD 05-22-01 ACQUIRED 01-06-99	97,053 28	79,117.36	17,935.92	0.00
#REORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 500 00	SOLD 05-29-01 ACQUIRED 12-09-96	22,460.00	10,143 30	12,316.70	0.00
#REORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 1,000.00	SOLD 05-29-01 ACQUIRED 12-09-96	44,983 49	20,286 60	24,696.89	0.00
#REORG AMERICAN GENERAL CORP STK MERGER TO AMERN INTL GRP INC 2016430 EFF 8/29/01					
SHARES: 562.00	SOLD 05-30-01 ACQUIRED 12-09-96	25,353.78	11,401 07	13,952.71	0.00



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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LQSS	28% RATE GAIN/LQSS
AMERICAN ELECTRIC POWER CO, INC, COMMON STOCK, \$6.50 PAR	SOLD 05-30-01 ACQUIRED 12-10-96	114,498.05	51,716.85	62,781.20	0.00
SHARES:					
2,538.00					
SHARES:					
400.00	SOLD 06-04-01 ACQUIRED 12-10-96	19,615.39	16,170.61	3,444.78	0.00
SHARES:					
1,500.00	SOLD 06-04-01 ACQUIRED 06-25-97	73,557.69	62,301.65	11,256.04	0.00
SHARES:					
1,000.00	SOLD 06-04-01 ACQUIRED 06-26-97	49,038.46	41,322.51	7,715.95	0.00
SHARES:					
1,000.00	SOLD 06-04-01 ACQUIRED 06-30-97	49,038.46	42,072.96	6,965.50	0.00
SHARES:					
3,700.00	SOLD 06-04-01 ACQUIRED 07-07-97	181,442.31	157,058.35	24,383.96	0.00
SHARES:					
6,400.00	SOLD 06-04-01 ACQUIRED 07-09-97	313,846.17	275,574.87	38,271.30	0.00
SHARES:					
1,000.00	SOLD 06-04-01 ACQUIRED 07-14-97	49,038.46	43,947.20	5,091.26	0.00
SHARES:					

#REORG AMERICAN GENERAL CORP STK MERGER  
 TO AMERN INTL GRP INC 2016430 EFF  
 8/29/01

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-04-01 SOLD	56,425 66	25,715 78	30,709 88	0.00	SHARES; 1,262 00 CIGNA CORP, COMMON STOCK,
06-04-01 SOLD	557,591.90	228,782 00	328,809 90	0.00	SHARES; 6,000 00 CANADIAN PAC LTD NEW COM STK
06-04-01 SOLD	553,537 79	291,489 03	312,053 71	0.00	SHARES; 13,100 00 DOW CHEMICAL CO., COMMON STOCK, 42 50 PAR
06-04-01 SOLD	659,179 71	302,519 72	356,659 99	0.00	SHARES; 15,600.00 DOW CHEMICAL CO., COMMON STOCK, 42 50 PAR
06-04-01 SOLD	220,544 31	163,569.61	56,979 70	0.00	SHARES; 6,121.80 DOW CHEMICAL CO., COMMON STOCK, 42 50 PAR
06-04-01 SOLD	220,544 31	152,805 16	67,739 15	0.00	SHARES; 6,121.80 DOW CHEMICAL CO., COMMON STOCK, 42 50 PAR
06-04-01 SOLD	92,860 76	65,163.68	27,697.08	0.00	SHARES; 2,577 60 DOW CHEMICAL CO., COMMON STOCK, 42 50 PAR

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 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
FEDERATED DEPT STORES INC DEL COMMON STOCK					
SHARES: 10,800.00	SOLD 06-04-01 ACQUIRED 09-30-98	469,384 73	395,823 97	73,560 76	0 00
SHARES: 8,200 00	SOLD 06-04-01 ACQUIRED 10-01-98	356,384.70	286,232 48	70,152.22	0 00
GOODRICH CORPORATION					
SHARES: 1,400.00	SOLD 06-04-01 ACQUIRED 01-06-99	59,470.15	50,347.41	9,122.74	0 00
SHARES: 1,500 00	SOLD 06-04-01 ACQUIRED 10-07-99	63,718 03	41,561 76	22,156.27	0 00
SHARES: 1,300 00	SOLD 06-04-01 ACQUIRED 10-08-99	55,222.28	36,315 56	18,906.72	0.00
SHARES: 1,400.00	SOLD 06-04-01 ACQUIRED 10-12-99	59,470.15	38,805 96	20,664 19	0.00
SHARES: 2,200 00	SOLD 06-04-01 ACQUIRED 10-13-99	93,453 10	60,002 90	33,450 20	0 00

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DESCRIPTION OF PROPERTY  
 DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

SHARES:	1,100 00	SOLD 06-04-01	46,726 55	28,964 89	17,761.66	0 00
SHARES:	1,500 00	SOLD 06-04-01	63,718 02	39,044 83	24,673.19	0.00
SHARES:	1,500 00	ACQUIRED 10-21-99				
SHARES:	1,500 00	SOLD 06-04-01	63,718 03	39,174.79	24,543.24	0.00
SHARES:	1,400.00	SOLD 06-04-01	59,470 15	36,270.96	23,199 19	0 00
SHARES:	1,000 00	ACQUIRED 10-26-99				
SHARES:	1,000 00	SOLD 06-04-01	42,478 68	23,146.89	19,331.79	0.00
SHARES:	1,000 00	ACQUIRED 11-09-99				
SHARES:	1,000 00	SOLD 06-04-01	42,478.68	22,489.19	19,989.49	0.00
SHARES:	1,000 00	ACQUIRED 11-23-99				
SHARES:	1,000 00	SOLD 06-04-01	42,478 68	21,105 27	21,373.41	0 00
SHARES:	400 00	ACQUIRED 12-21-99				
SHARES:	400 00	SOLD 06-04-01	16,991.48	9,848 42	7,143 06	0.00
GOODYEAR TIRE & RUBBER CO, COMMON STOCK, NO PAR	1,000 00	SOLD 06-04-01	28,328.58	69,468 70	41,140.12-	0 00
SHARES:		ACQUIRED 05-12-98				

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-04-01 SOLD 05-13-98 ACQUIRED	31,161 43	75,357 15	44,195 72-	0.00	SHARES; SOLD 1,100.00 ACQUIRED
06-04-01 SOLD 09-15-98 ACQUIRED	28,528 58	49,289 60	20,961 02-	0.00	SHARES; SOLD 1,000.00 ACQUIRED
06-04-01 SOLD 09-16-98 ACQUIRED	50,991 44	92,032 38	41,040.94-	0.00	SHARES; SOLD 1,800 00 ACQUIRED
06-04-01 SOLD 09-22-98 ACQUIRED	28,328.58	53,130.40	24,801.82-	0.00	SHARES; SOLD 1,000 00 ACQUIRED
06-04-01 SOLD 09-23-98 ACQUIRED	33,994 30	65,003 28	31,008 98-	0.00	SHARES SOLD 1,200 00 ACQUIRED
06-04-01 SOLD 09-24-98 ACQUIRED	99,150 02	191,140 60	91,990 58-	0.00	SHARES; SOLD 3,500.00 ACQUIRED
06-04-01 SOLD 11-16-98 ACQUIRED	39,660 01	75,523.84	35,863.83-	0.00	SHARES; SOLD 1,400 00 ACQUIRED
MAY DEPARTMENT STORES CO., COMMON STOCK, \$ 50 PAR					
06-04-01 SOLD 11-15-99 ACQUIRED	220,570.18	221,002 70	432 52-	0.00	SHARES; SOLD 6,800.00 ACQUIRED
06-04-01 SOLD 11-16-99 ACQUIRED	61,629 90	61,618 65	11 25	0.00	SHARES; SOLD 1,900.00 ACQUIRED

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-04-01 SOLD	64,873 58	64,742 48	131 10	0 00	SHARES; 2,000 00
06-04-01 ACQUIRED					HEAD CORP COMMON STOCK, NO PAR
06-04-01 SOLD	28,998 45	30,311 49	1,313.04-	0 00	SHARES; 1,000 00
06-04-01 ACQUIRED					SHARES; 1,000 00
06-04-01 SOLD	28,998 46	30,999 20	2,000 74-	0 00	SHARES; 1,000 00
06-04-01 ACQUIRED					SHARES; 3,500.00
06-04-01 SOLD	101,494.59	123,597 48	22,102 89-	0.00	SHARES; 3,600 00
06-04-01 ACQUIRED					SHARES; 2,900 00
06-04-01 SOLD	84,095 52	106,846.61	22,751.09-	0 00	SHARES; 3,000 00
06-04-01 ACQUIRED					OCCIDENTAL PETE CORP COM
06-04-01 SOLD	89,544 43	47,166.27	42,378 16	0.00	SHARES; 3,000 00
02-10-99 ACQUIRED					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-04-01 SOLD	71,635.54	37,521.45	34,114.09	0.00	SHARES; 2,400 00
02-11-99 ACQUIRED					
06-04-01 SOLD	107,244.38	53,124.46	54,119.92	0.00	SHARES; 3,593 00
02-17-99 ACQUIRED					
06-04-01 SOLD	56,711.47	28,124.65	28,586.82	0.00	SHARES; 1,900 00
02-17-99 ACQUIRED					
06-04-01 SOLD	122,586.33	60,198.27	62,388.06	0.00	SHARES; 4,107 00
03-03-99 ACQUIRED					
06-04-01 SOLD	440,995.63	302,717.84	138,277.79	0.00	SHARES; 15,700 00
01-25-99 ACQUIRED					
06-04-01 SOLD	582,284.21	344,041.66	238,242.55	0.00	SHARES; 11,500 00
01-08-96 ACQUIRED					
06-04-01 SOLD	101,266.82	58,879.67	42,387.15	0.00	SHARES; 2,000 00
01-11-96 ACQUIRED					
06-04-01 SOLD	1,035,186.33	607,406.25	427,780.08	0.00	SHARES; 20,900.00
01-08-96 ACQUIRED					

SUNOCO INC COM

ST PAUL COS INC COM

PHILIP MORRIS COS INC COM

OLD REPUBLIC INTERNATIONAL CORP.,  
 COMMON STOCK, #1 PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; 12,100.00	SOLD 06-04-01 ACQUIRED 08-02-99	492,304 73	369,507 82	122,796.91	0 00
BANK ONE CORP COM					
SHARES; 17,000.00	SOLD 06-04-01 ACQUIRED 10-06-98	662,790.86	652,639 81	10,151 05	0.00
AMERADA HESS CORP., COMMON STOCK, \$1 PAR					
SHARES; 5,000 00	SOLD 06-26-01 ACQUIRED 04-14-00	414,216.18	319,531.00	94,685 18	0 00
AMERICAN ELECTRIC POWER CO, INC, COMMON STOCK, \$6 50 PAR					
SHARES; 700 00	SOLD 06-26-01 ACQUIRED 07-14-97	32,013 50	30,763 04	1,250.46	0 00
SHARES; 1,800 00	SOLD 06-26-01 ACQUIRED 07-15-97	82,320 43	79,275 06	3,045 37	0.00
SHARES; 2,800 00	SOLD 06-26-01 ACQUIRED 07-16-97	128,054 00	123,393.20	4,660 80	0 00
SHARES; 5,200 00	SOLD 06-26-01 ACQUIRED 07-17-97	237,814.58	229,144 24	8,670.34	0.00
SHARES; 1,000.00	SOLD 06-26-01 ACQUIRED 07-18-97	45,733 57	43,610 70	2,122.87	0 00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD 07-23-97 ACQUIRED	45,733.58	43,671.60	2,061.98	0.00	SHARES: 1,000.00
06-26-01 SOLD 07-24-97 ACQUIRED	18,293.43	17,432.48	860.95	0.00	SHARES: 400.00
06-26-01 SOLD 12-10-99 ACQUIRED	50,506.93	35,199.31	15,107.62	0.00	SHARES: 1,100.00
06-26-01 SOLD 12-13-99 ACQUIRED	118,907.28	82,247.38	36,659.90	0.00	SHARES: 2,600.00
06-26-01 SOLD 01-14-00 ACQUIRED	50,306.93	37,262.74	13,044.19	0.00	SHARES: 1,100.00
06-26-01 SOLD 01-25-00 ACQUIRED	150,920.80	111,772.36	39,148.44	0.00	SHARES: 3,300.00
BANK AMER CORP COM					
06-26-01 SOLD 09-28-98 ACQUIRED	138,949.86	132,725.18	6,224.68	0.00	SHARES: 2,300.00
06-26-01 SOLD 09-28-98 ACQUIRED	646,418.93	612,901.35	33,517.58	0.00	SHARES: 10,700.00
CIGNA CORP, COMMON STOCK,					
06-26-01 SOLD 01-17-96 ACQUIRED	566,922.88	228,782.00	338,140.88	0.00	SHARES: 6,000.00
CHUBB CORP, COMMON STOCK, #1 PAR					

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 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	565,454.73	323,660.08	241,794.65	0.00	SHARES; CINERGY CORP COMMON STOCK
09-16-96 ACQUIRED					
06-26-01 SOLD	109,581.52	80,700.77	28,880.75	0.00	SHARES; CONAGRA FOODS INC
12-08-99 ACQUIRED					
06-26-01 SOLD	22,414.40	16,205.39	6,209.01	0.00	SHARES; COOPER INDUSTRIES, INC., COMMON STOCK
12-09-99 ACQUIRED					
06-26-01 SOLD	108,272.42	104,742.75	3,529.67	0.00	SHARES; DOW CHEMICAL CO , COMMON STOCK,
04-20-00 ACQUIRED					
06-26-01 SOLD	206,235.72	229,054.22	22,818.50	0.00	SHARES; DOW CHEMICAL CO , COMMON STOCK,
01-25-99 ACQUIRED					
06-26-01 SOLD	140,084.64	154,659.70	14,575.06	0.00	SHARES; DOW CHEMICAL CO , COMMON STOCK,
06-26-01 SOLD	42,803.64	46,970.86	4,167.22	0.00	SHARES; DOW CHEMICAL CO , COMMON STOCK,
06-26-01 SOLD					
01-27-99 ACQUIRED					
01-28-99 ACQUIRED					

**The Northern Trust Company**

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
& CO., INC.

01292 ACCOUNT NO. 26-33705  
SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	345,825.03	264,259.75	81,565.28	0.00	SHARES: 10,381.00
12-24-96 ACQUIRED					
06-26-01 SOLD	53,667.67	45,200.06	8,467.61	0.00	SHARES: 1,611.00
02-06-97 ACQUIRED					
06-26-01 SOLD	53,667.68	45,453.81	8,213.87	0.00	SHARES: 1,611.00
02-11-97 ACQUIRED					
06-26-01 SOLD	53,667.67	46,539.58	7,128.09	0.00	SHARES: 1,611.00
02-18-97 ACQUIRED					
06-26-01 SOLD	53,667.67	46,325.75	7,341.92	0.00	SHARES: 1,611.00
02-21-97 ACQUIRED					
06-26-01 SOLD	53,667.67	47,568.24	6,099.43	0.00	SHARES: 1,611.00
03-04-97 ACQUIRED					
06-26-01 SOLD	52,101.96	46,773.80	5,328.16	0.00	SHARES: 1,564.00
03-13-97 ACQUIRED					
06-26-01 SOLD	437,115.01	264,345.07	172,769.94	0.00	SHARES: 8,000.00
10-07-98 ACQUIRED					
MAY DEPARTMENT STORES CO, COMMON STOCK, \$.50 PAR					
MBIA INC COM					

**The Northern Trust Company**  
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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC.

01242 ACCOUNT NO. 26-33705  
 AAB SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD 11-22-99 ACQUIRED	62,959.26	61,888.19	1,071.07	0.00	SHARES:
06-26-01 SOLD 12-01-99 ACQUIRED	46,391.03	47,042.37	651.34-	0.00	SHARES:
06-26-01 SOLD 12-02-99 ACQUIRED	62,959.26	63,478.25	518.99-	0.00	SHARES:
06-26-01 SOLD 12-03-99 ACQUIRED	40,592.16	40,807.77	215.61-	0.00	SHARES:
06-26-01 SOLD 12-07-99 ACQUIRED	48,876.27	46,791.20	2,085.07	0.00	SHARES:
06-26-01 SOLD 04-20-00 ACQUIRED	69,586.55	58,335.01	11,251.54	0.00	SHARES:
NORFOLK SOUTH CORP COM					
06-26-01 SOLD 07-14-99 ACQUIRED	28,939.72	43,211.75	14,272.03-	0.00	SHARES:
06-26-01 SOLD 07-15-99 ACQUIRED	44,374.24	66,586.19	22,211.95-	0.00	SHARES:
06-26-01 SOLD 07-16-99 ACQUIRED	25,081.09	38,388.42	13,307.33-	0.00	SHARES:

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO, INC

01262 ACCOUNT NO 26-33705  
 AAB SSB70

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD 07-19-99 ACQUIRED	21,222.46	33,211.13	11,988.67-	0 00	SHARES: 1,100 00
06-26-01 SOLD 08-23-99 ACQUIRED	25,081.09	37,327.72	12,246.63-	0.00	SHARES: 1,300 00
06-26-01 SOLD 08-24-99 ACQUIRED	25,081.09	37,432.95	12,351.86-	0 00	SHARES: 1,300 00
06-26-01 SOLD 08-25-99 ACQUIRED	27,010.41	40,209.03	13,198.62-	0.00	SHARES: 1,400 00
06-26-01 SOLD 09-09-99 ACQUIRED	28,939.72	40,026.32	11,086.60-	0 00	SHARES: 1,500 00
06-26-01 SOLD 09-16-99 ACQUIRED	23,151.77	32,436.74	9,284.97-	0 00	SHARES: 1,200 00
06-26-01 SOLD 09-17-99 ACQUIRED	27,010.41	37,770.72	10,760.31-	0.00	SHARES: 1,400 00
06-26-01 SOLD 09-21-99 ACQUIRED	19,293.15	26,288.90	6,995.75-	0 00	SHARES: 1,000 00
06-26-01 SOLD 09-27-99 ACQUIRED	38,586.29	50,786.20	12,199.91-	0.00	SHARES: 2,000 00
06-26-01 SOLD 12-08-99 ACQUIRED	84,889.85	93,392.31	8,502.46-	0 00	SHARES: 4,400 00

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN & CO, INC

01292 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 50

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
OCCIDENTAL PETE CORP COM					
SHARES:	SOLD 06-26-01	63,667.39	69,487.94	5,820.55-	0 00
	ACQUIRED 12-09-99				
OCCIDENTAL PETE CORP COM					
SHARES:	SOLD 06-26-01	71,310.96	58,011.68	33,299.28	0 00
	ACQUIRED 03-05-99				
SHARES:	SOLD 06-26-01	49,984.31	29,778.19	20,206.12	0 00
	ACQUIRED 03-15-99				
SHARES:	SOLD 06-26-01	30,545.97	18,071.10	12,474.87	0 00
	ACQUIRED 03-16-99				
SHARES:	SOLD 06-26-01	33,322.88	20,393.17	12,929.71	0.00
	ACQUIRED 03-18-99				
SHARES:	SOLD 06-26-01	122,183.88	80,091.07	42,092.81	0 00
	ACQUIRED 03-30-99				
SHARES:	SOLD 06-26-01	86,278.49	56,506.71	29,771.78	0 00
	ACQUIRED 03-31-99				
OCCIDENTAL PETE CORP COM					
SHARES:	SOLD 06-26-01	21,910.88	11,623.38	10,287.50	0.00
	ACQUIRED 03-03-99				
SHARES:	SOLD 06-26-01	111,405.64	59,681.88	51,723.76	0.00
	ACQUIRED 03-05-99				
PACIFICARE HEALTH SYS INC DEL COM					

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC

01242 ACCOUNT NO. 26-33705  
 AAB SSB70

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	78,974 19	268,734 00	189,759.81-	0 00	SHARES, PHILLIPS PETROLEUM CO COMMON STOCK, #1 25 PAR
06-26-01 SOLD	16,505.60	56,729 28	40,218.68-	0 00	SHARES,
06-26-01 SOLD	15,794 84	54,465 70	38,670 86-	0 00	SHARES,
06-26-01 SOLD	15,794 84	53,519 90	37,725 06-	0 00	SHARES,
06-26-01 SOLD	57,721.27	46,540.30	11,180.97	0.00	SHARES,
06-26-01 SOLD	57,721.28	46,083 50	11,637.78	0.00	SHARES,
06-26-01 SOLD	75,037.65	61,872.59	13,165.06	0 00	SHARES,
06-26-01 SOLD	75,037 65	61,066.85	13,970 80	0.00	SHARES,
06-26-01 SOLD	63,493 40	47,497.45	15,995.95	0 00	SHARES,
06-26-01 SOLD	1,100 00				SHARES,
06-26-01 SOLD	1,000 00				SHARES,
06-26-01 SOLD	1,000 00				SHARES,
06-26-01 SOLD	1,300 00				SHARES,
06-26-01 SOLD	1,300.00				SHARES,

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SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO, INC.

01242 ACCOUNT NO. 26-33705 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 04-25-00	126,986 80	109,009 12	17,977.68	0.00	SHARES
06-26-01 04-27-00	121,219 68	103,121 13	18,093.55	0.00	SHARES; ST PAUL COS INC COM
06-26-01 01-08-96	305,816.29	171,468.75	134,347.54	0.00	SHARES; 5,900.00
06-26-01 06-14-96	103,666.54	52,774 25	50,892.29	0.00	SHARES; 2,000 00
06-26-01 06-19-96	57,016.60	29,056 55	27,960 05	0.00	SHARES; 1,100 00
SEARS, ROEBUCK AND CO. COMMON STOCK, # 75 PAR					
06-26-01 10-08-98	109,170 85	109,370 62	199.77-	0.00	SHARES; 2,700 00
06-26-01 10-09-98	40,433 65	41,180 14	746 49-	0.00	SHARES; 1,000.00
06-26-01 10-13-98	234,515 17	238,635.86	4,120.69-	0.00	SHARES; 5,800.00



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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO, INC

01242 ACCOUNT NO 26-33705  
 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 53

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	44,477 01	43,707.71	769.30	0.00	SHARES, 1,100.00
10-14-98 ACQUIRED					
06-26-01 SOLD	101,084 13	104,659 17	3,575 04-	0 00	SHARES, 2,500 00
10-19-98 ACQUIRED					
06-26-01 SOLD	44,679 18	47,522 19	2,843.01-	0.00	SHARES, 1,105 00
10-20-98 ACQUIRED					
06-26-01 SOLD	72,780 57	76,472 65	3,692 08-	0 00	SHARES, 1,800 00
10-21-98 ACQUIRED					
06-26-01 SOLD	124,616 51	137,746.91	13,130.40-	0.00	SHARES, 3,082.00
10-23-98 ACQUIRED					
UNION PAC CORP COM					
06-26-01 SOLD	20,630.67	23,472 88	2,842.21-	0.00	SHARES, 400.00
07-06-99 ACQUIRED					
06-26-01 SOLD	154,730.04	175,320.00	20,589 96-	0.00	SHARES, 3,000 00
07-07-99 ACQUIRED					
06-26-01 SOLD	113,468 69	130,564 94	17,096.25-	0 00	SHARES, 2,200 00
07-12-99 ACQUIRED					
06-26-01 SOLD	149,572 36	173,652.29	24,079.93-	0.00	SHARES, 2,900.00
07-13-99 ACQUIRED					

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SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO, INC

01242 ACCOUNT NO 26-33705

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 4,400.00	SOLD 06-26-01 ACQUIRED 07-13-99	226,937.39	263,736 00	36,798.61-	0 00
SHARES: 3,900.00	SOLD 06-26-01 ACQUIRED 07-14-99	201,149.04	231,315 24	30,166 20-	0 00
SHARES: 167 00	SOLD 06-26-01 ACQUIRED 07-14-99	8,613 31	9,869 08	1,255 77-	0.00
UNION PAC CORP COM					
SHARES: 3,900.00	SOLD 06-26-01 ACQUIRED 06-28-99	201,213 78	221,578 50	20,364.72-	0 00
SHARES: 1,900 00	SOLD 06-26-01 ACQUIRED 06-28-99	98,027 23	108,109.43	10,082 20-	0.00
SHARES: 1,400 00	SOLD 06-26-01 ACQUIRED 06-30-99	72,230.59	81,632.60	9,402 01-	0.00
SHARES: 5,200 00	SOLD 06-26-01 ACQUIRED 07-01-99	268,285 04	305,834 88	37,549.84-	0 00
SHARES: 1,100 00	SOLD 06-26-01 ACQUIRED 07-06-99	56,752.61	64,550.42	7,797.81-	0 00
WHIRLPOOL CORP COMMON STOCK, \$1 PAR					
SHARES: 2,115 00	SOLD 06-26-01 ACQUIRED 12-20-96	130,628.83	97,449 94	33,178 89	0.00

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SURDNA FOUNDATION - SANFORD C BERNSTEIN & CO, INC.

01242 ACCOUNT NO 26-33705 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 55

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-26-01 SOLD	178,186 36	132,576 19	45,610.17	0.00	SHARES: BANK ONE CORP COM
06-26-01 SOLD	140,071.77	153,562.31	13,490.54-	0.00	SHARES: ENERGY CORP COMMON STOCK
06-27-01 SOLD	172,093 97	125,441.68	46,651.79	0.00	SHARES: SHARES:
06-27-01 SOLD	49,404.83	35,940 98	13,463.85	0.00	SHARES: SHARES:
06-27-01 SOLD	46,111.17	33,272.36	12,838 81	0.00	SHARES: SHARES:
06-27-01 SOLD	26,349 24	19,346 69	7,002.55	0.00	SHARES: CONAGRA FOODS INC
06-27-01 SOLD	499,968 05	487,855.87	12,112 18	0.00	SHARES: SHARES:
06-27-01 SOLD	56,089.26	55,828 88	260 38	0.00	SHARES: SHARES:

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SURDNA FOUNDATION - SANFORD C. BERNSTEIN  
 & CO., INC

01242 ACCOUNT NO 26-33705  
 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
COOPER INDUSTRIES, INC, COMMON STOCK \$5 PAR					
SHARES:	SOLD 06-27-01	13,538.79	13,758.65	219.86-	0 00
	ACQUIRED 04-25-00				
SHARES:	SOLD 06-27-01	11,425.22	12,810.23	1,385.01-	0 00
	ACQUIRED 01-28-99				
SHARES:	SOLD 06-27-01	121,526.23	133,801.36	12,275.13-	0 00
	ACQUIRED 01-29-99				
SHARES:	SOLD 06-27-01	57,468.85	63,480.84	6,011.99-	0 00
	ACQUIRED 01-29-99				
UNION PAC CORP COM					
SHARES:	SOLD 06-27-01	1,697.62	1,950.18	252.56-	0 00
	ACQUIRED 07-14-99				
BANK ONE CORP COM					
SHARES:	SOLD 06-27-01	21,141.26	23,034.35	1,893.09-	0 00
	ACQUIRED 10-06-98				
SHARES:	SOLD 06-27-01	38,758.99	42,365.91	3,606.92-	0 00
	ACQUIRED 10-07-98				
SHARES:	SOLD 06-27-01	151,512.38	163,197.30	11,684.92-	0 00
	ACQUIRED 10-07-98				
SUB-TOTAL		33,303,317.72	27,637,764.79	5,665,552.93	0 00
TOTAL LONG TERM CAPITAL GAIN/LOSS-		33,303,317.72	27,637,764.79	5,665,552.93	0 00

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
& CO , INC

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
07-01-00 THROUGH 06-30-01

END OF SALES SCHEDULE

01242  
SS870  
ACCOUNT NO 26-33705  
AAB

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SURDNA FOUNDATION - SANFORD C BERNSTEIN  
 & CO., INC.

ACCOUNT NO 26-33705  
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01242  
 SS870

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 1,500,365 44

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 1,500,365.44

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 5,665,552 93

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0 00

SUB TOTAL LONG TERM GAINS AND LOSSES 5,665,552 93

TOTAL LONG TERM CAPITAL GAINS AND LOSSES 5,665,552 93

*to the gains*

7,165,918.37

**The Northern Trust Company**  
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01249 ACCOUNT NO 26-81381  
 SS870 AAB

SURDNA FOUNDATION  
 EQUITY INDEX

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 1

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
QUALCOMM INC COM	SOLD 07-05-00 ACQUIRED 07-21-99	5,843 55	3,915 63	1,927.92
KANSAS CITY SOUTH INDS INC COM NEW	SOLD 07-13-00 ACQUIRED 12-17-99	896 15	587 29	308 86
NETWORK APPLIANCE INC COMMON STOCK	SOLD 07-26-00 ACQUIRED 09-21-99	10,193 41	1,898 96	8,294 45
XILINX INC COMMON STOCK	SOLD 07-26-00 ACQUIRED 11-05-99	7,574.74	4,409 37	3,165 37
YAHOO INC COMMON STOCK	SOLD 07-26-00 ACQUIRED 12-07-99	13,593.29	17,337.50	3,744 21-
INTERNATIONAL PAPER CO. COMMON STOCK \$1 PAR	SOLD 07-26-00 ACQUIRED 12-07-99	13,593.29	17,337.50	3,744 21-

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SURDNA FOUNDATION  
 EQUITY INDEX

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 0.65	SOLD 07-27-00 ACQUIRED 06-20-00	22 97	20 50	2 47
#REORG FORD MTR CO DEL COM CASH & STK MERGER TO FORD MOTOR COMPANY SEC #2-326542 a 1.0 & CASH a 20 EFF 08-02-00				
SHARES: 100 00	SOLD 08-02-00 ACQUIRED 04-26-00	2,788.73	5,219.72	2,430.99-
ALTERA CORP COM				
SHARES: 100 00	SOLD 08-31-00 ACQUIRED 04-17-00	6,481 03	4,221 87	2,259 16
AMERICAN PWR CONVERSION CORP COM				
SHARES: 100 00	SOLD 08-31-00 ACQUIRED 05-31-00	2,381 17	3,537.50	1,156 33-
BED BATH BEYOND INC COM				
SHARES: 100.00	SOLD 08-31-00 ACQUIRED 09-30-99	1,756.19	1,746 88	9 31
HARLEY DAVIDSON INC COM				
SHARES: 100.00	SOLD 08-31-00 ACQUIRED 01-28-00	4,978 08	3,552 00	1,426 08
ADAPTEC INC., COMMON STOCK				



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SURDNA FOUNDATION  
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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 3

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
ANALOG DEVICES, INC, COMMON STOCK, \$ 16 2/3 PAR	SOLD 09-22-00 ACQUIRED 09-30-99	2,062.43	3,968 75	1,906 32-
CHARTER ONE FINCL INC COMMON STOCK	SOLD 09-22-00 ACQUIRED 10-11-99	8,834.20	2,883 25	5,950 95
GLOBAL CROSSING LTD COM	SOLD 09-22-00 ACQUIRED 06-16-00	2,290.67	2,453 00	162 33-
FORD MTR CO DEL COM PAR \$0 01	SOLD 09-22-00 ACQUIRED 01-16-00	3,538 94	1,548 48	1,990 46
JDS UNIPHASE CORP COM ISIN US46612J1016	SOLD 09-22-00 ACQUIRED 08-02-00	7,640 74	4,382 98	3,257.76
LEGGIT & PLATT INC COMMON STOCK \$1 PAR	SOLD 09-22-00 ACQUIRED 07-26-00	10,699 64	13,593 75	2,894 11-

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DESCRIPTION OF PROPERTY		DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES	100 00	SOLD 09-22-00 ACQUIRED 12-17-99	1,471 95	2,152.00	680.05-
LINEAR TECH CORP DEL					
SHARES.	100.00	SOLD 09-22-00 ACQUIRED 03-31-00	6,362 28	5,496 87	865 41
PINNACLE WEST CAPITAL CORP COMMON STOCK					
SHARES:	100.00	SOLD 09-22-00 ACQUIRED 10-01-99	4,609 34	3,741 50	867 84
#REORG T ROWE PRICE ASSOCIATES INC COM N/C TO T ROWE PRICE GROUP INC 2746924 01 EFF 12/28/00					
SHARES:	100.00	SOLD 09-22-00 ACQUIRED 10-12-99	4,743 59	3,187 50	1,556.09
VERITAS SOFTWARE CORP COM					
SHARES.	100.00	SOLD 09-22-00 ACQUIRED 03-31-00	14,377 65	13,096 88	1,280.77
XILINX INC COMMON STOCK					
SHARES.	100 00	SOLD 09-22-00 ACQUIRED 11-05-99	8,455.96	4,409 37	4,046.59
YAHOO INC COMMON STOCK					

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES, #REGORG YOUNG & RUBICAM INC DEFAULT MERGER TO WPP GROUP PLC ADR NEW SEC #2893521 a 835 EFF 10/3/00	SOLD 10-02-00 ACQUIRED 01-05-00	19,469.35	25,216 00	5,746.65-
FORD MTR CO DEL COM PAR #0 01	SOLD 10-26-00 ACQUIRED 08-02-00	21 73	12.42	9 31
SHARES, #REGORG EASTERN ENTERPRISES COM CASH MERGER #643558 EFF 11/8/00	SOLD 11-01-00 ACQUIRED 03-17-00	6,434 28	5,853 00	581.28
ADR YIVENDI UNIVERSAL SPONSORED ADR NEW	SOLD 12-08-00 ACQUIRED 12-17-99	20,229 33	18,233.00	1,996.33
SHARES, BROADCOM CORP CL A	SOLD 12-08-00 ACQUIRED 06-30-00	12,818.32	21,845 74	9,027 42-
SHARES, JDS UNIPHASE CORP COM ISIN US46612J1016				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
TIFFANY & CO COMMON STOCK	12-11-00 SOLD 06-20-00 ACQUIRED	3,385 38	3,226.50	158.88
METLIFE INC COM ISIN US59156R1086	12-11-00 SOLD 12-08-00 ACQUIRED	3,522 88	3,628 00	105.12-
AMBAC FINANCIAL GROUP COMMON STK	12-15-00 SOLD 12-08-00 ACQUIRED	5,222 82	5,620.33	397 51-
FORD MTR CO DEL COM PAR #0 01	12-15-00 SOLD 08-02-00 ACQUIRED	2,247 92	1,460 99	786 93
VERITAS SOFTWARE CORP COM	12-15-00 SOLD 03-31-00 ACQUIRED	9,487 18	13,096 88	3,609.70-
FOREST LABORATORIES, INC, COMMON STOCK				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 100 00	SOLD 02-06-01 ACQUIRED 11-21-00	6,980 26	6,692.13	288 13
CALPINE CORP COM				
SHARES: 100.00	SOLD 02-28-01 ACQUIRED 11-30-00	4,445.85	3,543.75	902 10
FORD MTR CO DEL COM PAR #0 01				
SHARES: 100 00	SOLD 02-28-01 ACQUIRED 08-02-00	2,777 90	1,460.99	1,316 91
TIFFANY & CO COMMON STOCK				
SHARES: 100.00	SOLD 02-28-01 ACQUIRED 06-20-00	3,107 89	3,226.50	118.61-
AREORG CERIDIAN CORP REV SPLIT & N/C TO ARBITRON INC 2023796 EFF 3/30/01				
SHARES: 100 00	SOLD 03-30-01 ACQUIRED 12-15-00	1,848 94	2,152 00	303 06-
FORD MTR CO DEL COM PAR #0 01				
SHARES 100.00	SOLD 03-30-01 ACQUIRED 08-02-00	2,810 40	1,460.99	1,349 41
NISOURCE INC COM				

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES; WILLIAMS COMMUNICATIONS GROUP INC COM	SOLD 03-30-01 ACQUIRED 11-01-00	3,110.39	2,487.50	622.89
SHARES; FORD MTR CO DEL COM PAR \$0.01	SOLD 04-30-01 ACQUIRED 03-16-01	734.00	688.20	45.80
SHARES; CALPINE CORP COM	SOLD 06-15-01 ACQUIRED 08-02-00	2,409.97	1,460.99	948.98
SHARES; CHIRON CORP., COMMON STOCK	SOLD 06-27-01 ACQUIRED 11-30-00	3,803.87	3,543.75	260.12
SHARES; CINTAS CORP COM	SOLD 06-27-01 ACQUIRED 11-22-00	5,186.82	4,381.25	805.57
SHARES; DEVON ENERGY CORP NEW COM	SOLD 06-27-01 ACQUIRED 02-28-01	4,575.84	3,592.00	983.84

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES: 100.00 DYNEGY INC NEW CL A COM	SOLD 06-27-01 ACQUIRED 08-29-00	5,123 82	6,075.00	951 18-
SHARES: 100 00 FORD MTR CO DEL COM PAR \$0.01	SOLD 06-27-01 ACQUIRED 10-02-00	4,335.85	5,301 00	965.15-
SHARES: 600.00 FOREST LABORATORIES, INC., COMMON STOCK \$ 10 PAR	SOLD 06-27-01 ACQUIRED 08-02-00	14,543 51	8,765 97	5,777.54
SHARES: 100 00 INTUIT COM	SOLD 06-27-01 ACQUIRED 11-21-00	7,129 76	6,692.12	437.64
SHARES: 100.00 JDS UNIPHASE CORP COM ISIN US46612J1016	SOLD 06-27-01 ACQUIRED 12-08-00	3,666.87	4,906 25	1,239 38-
SHARES: 400.00 JABIL CIRCUIT INC COM	SOLD 06-27-01 ACQUIRED 07-26-00	4,851.83	54,375.00	49,523.17-

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES, 100.00	SOLD 06-27-01 ACQUIRED 01-29-01	2,641 91	3,620.75	978 84-
KINDER MORGAN INC COM STK				
SHARES, 100 00	SOLD 06-27-01 ACQUIRED 12-11-00	5,381 82	4,757 50	624 32
KING PHARMACEUTICALS INC COM				
SHARES, 100.00	SOLD 06-27-01 ACQUIRED 10-02-00	5,345 82	3,612 50	1,733.32
METLIFE INC COM ISIN US59156R1086				
SHARES, 300 00	SOLD 06-27-01 ACQUIRED 12-08-00	9,185.69	10,884 00	1,698 31-
NABORS INDUSTRIES INC, COMMON STOCK				
SHARES, 100.00	SOLD 06-27-01 ACQUIRED 10-17-00	4,093 86	5,328 00	1,234 14-
NOBLE DRILLING CORP, COMMON STOCK				
SHARES, 100 00	SOLD 06-27-01 ACQUIRED 01-12-01	3,384 88	4,181.25	796 37-
QLOGIC CORP COM				



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 ACQUIRED 12-11-00	5,501 81	5,220 00	281 81	STRYKER CORP COM SHARES: 100.00 SOLD 06-27-01 ACQUIRED 12-11-00
06-27-01 ACQUIRED 12-11-00	5,769 80	11,987.50	6,217.70-	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 12-11-00
06-27-01 ACQUIRED 12-08-00	2,176 92	2,431 33	254 41-	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 12-08-00
06-27-01 ACQUIRED 02-06-01	4,126 86	4,270 00	143 14-	UNIVISION COMMUNICATIONS INC CL A SHARES: 100 00 SOLD 06-27-01 ACQUIRED 02-06-01
06-27-01 ACQUIRED 12-11-00	1,740 94	6,418 75	4,677 81-	VITESSE SEMICONDUCTOR CORP COMMON SHARES 100 00 SOLD 06-27-01 ACQUIRED 12-11-00
06-27-01 ACQUIRED 06-22-01	5,806 80	5,755.00	51.80	ZIONS BANCORP COMMON STOCK NO PAR SHARES: 100 00 SOLD 06-27-01 ACQUIRED 06-22-01
<b>SUB-TOTAL</b>				
<b>TOTAL SHORT TERM CAPITAL GAIN/LOSS-</b>				
	380,779.52	443,095.13	62,315 61-	
	380,779 52	443,095.13	62,315 61-	
				LONG TERM CAPITAL GAINS AND LOSSES
				28% RATE GAIN/LOSS

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28% RATE  
 GAIN/LOSS

0.00

0.00

0.00

0.00

0.00

DESCRIPTION OF PROPERTY

DATE SOLD/  
 ACQUIRED

SALES  
 PROCEEDS

COST  
 BASIS

GAIN/LOSS

HCA INC COM

SHARES:

100 00

SOLD

07-05-00

3,321 88

3,255 48

66 40

AFLAC INC COMMON STOCK

SHARES:

100 00

SOLD

07-05-00

4,503 09

5,204.00

700 91-

#REGORG AMERICA ONLINE STK MERGER TO AOL  
 TIME WARNER 2157776 a1 EFF 1/11/01

SHARES:

100 00

SOLD

07-05-00

5,471 81

4,000.00

1,471 81

AMEREN CORP COMMON STOCK

SHARES

100.00

SOLD

07-05-00

3,478 13

4,052.00

573 87-

BOEING CO , CAPITAL STOCK, 45 PAR

SHARES:

100 00

SOLD

07-05-00

4,290 60

4,370 75

80.15-

#REGORG CHASE MANHATTAN CORP NEW N/C TO  
 JP MORGAN CHASE & CO 2427148 a1 EFF  
 1/2/01

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DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-05-00 SOLD 01-16-98 ACQUIRED	4,771 84	3,517 33	1,254.51	0.00	SHARES: 100 00 CISCO SYS INC COMMON STOCK
07-05-00 SOLD 01-16-98 ACQUIRED	12,362 08	1,062 02	11,300 06	0.00	SHARES: 200.00 CLEAR CHANNEL COMMUNICATIONS INC COM
07-05-00 SOLD 10-14-97 ACQUIRED	7,240 50	3,203 00	4,037 50	0.00	SHARES: 100 00 COLGATE-PALMOLIVE CO, COMMON STOCK, \$1 PAR
07-05-00 SOLD 01-16-98 ACQUIRED	5,928 05	3,541 62	2,386 43	0.00	SHARES: 100 00 COMPAQ COMPUTER CORP, COMMON STOCK
07-05-00 SOLD 01-16-98 ACQUIRED	2,490.66	2,944 75	454.09-	0.00	SHARES: 100.00 DELL COMPUTER CORP COMMON STOCK
07-05-00 SOLD 01-16-98 ACQUIRED	4,737 34	1,158 84	3,578.50	0.00	SHARES: 100 00 DISNEY WALT CO COM

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 07-05-00 ACQUIRED 01-16-98	3,803 12	3,213.17	589 95	0.00
EXXON MOBIL CORP COM					
SHARES	SOLD 07-05-00 ACQUIRED 01-16-98	7,690 49	6,133 25	1,557 24	0.00
FIRST DATA CORP COMMON STOCK					
SHARES	SOLD 07-05-00 ACQUIRED 01-16-98	4,990 58	2,627.00	2,363 58	0.00
FLEETBOSTON FINL CORP COM STOCK					
SHARES	SOLD 07-05-00 ACQUIRED 07-23-97	3,640 62	3,288 32	352.30	0.00
GAP INC COM					
SHARES	SOLD 07-05-00 ACQUIRED 01-16-98	2,996 90	1,725.89	1,271 01	0.00
GENERAL ELEC CO COM					
SHARES	SOLD 07-05-00 ACQUIRED 01-16-98	4,978 08	2,463 17	2,514 91	0.00
HERSHEY FOODS CORP , COMMON STOCK, \$1 PAR					

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
HOME DEPOT INC, COMMON STOCK, \$ .05 PAR	SOLD 07-05-00 ACQUIRED 01-16-98	4,815 58	6,189 50	1,373 92-	0 00
SHARES:					
100.00					
ILLINOIS TOOL WKS INC COM	SOLD 07-05-00 ACQUIRED 02-19-97	5,015 58	1,133 74	3,881 84	0.00
SHARES:					
100 00					
INTERPUBLIC GROUP OF COMPANIES INC COMMON STOCK \$ .10 PAR	SOLD 07-05-00 ACQUIRED 01-16-98	5,759 30	5,652.00	107 30	0 00
SHARES:					
100 00					
LIMITED INC, COMMON STK, NO PAR	SOLD 07-05-00 ACQUIRED 01-16-98	4,359.35	2,444.75	1,914 60	0 00
SHARES:					
100 00					
MASCO CORP COMMON STOCK, \$1 PAR	SOLD 07-05-00 ACQUIRED 01-22-98	2,165.67	1,133 43	1,032.24	0.00
SHARES:					
100 00					
MEDTRONIC, INC, COMMON STOCK, \$ 10 PAR	SOLD 07-05-00 ACQUIRED 01-16-98	1,934 43	2,541.63	607 20-	0.00
SHARES:					
100 00					

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MERCK & CO., INC., COMMON STOCK, \$ 02 7/9 PAR	SOLD 07-05-00 ACQUIRED 01-16-98	4,796 84	2,407 25	2,389 59	0.00
SHARES:					
100.00					
MICROSOFT CORP COM	SOLD 07-05-00 ACQUIRED 09-30-96	7,715 49	3,511 20	4,204 29	0.00
SHARES:					
100.00					
MOTOROLA INC, COMMON STOCK, \$3 PAR	SOLD 07-05-00 ACQUIRED 08-12-96	7,849.73	1,562 95	6,286 78	0.00
SHARES:					
100.00					
NEXTEL COMMUNICATIONS INC CL A COM STK	SOLD 07-05-00 ACQUIRED 01-16-98	3,009 39	1,854 83	1,154 56	0.00
SHARES:					
100.00					
PPG INDUSTRIES INC, COMMON STOCK, \$1.66 2/3 PAR	SOLD 07-05-00 ACQUIRED 03-31-98	5,712 30	1,681.25	4,031 05	0.00
SHARES:					
100.00					
PFIZER INC, COMMON STOCK, \$ 11 1/9 PAR	SOLD 07-05-00 ACQUIRED 01-16-98	4,484 35	5,608 25	1,123 90-	0.00
SHARES:					
100.00					

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PROCTER & GAMBLE CO, COMMON STOCK, NO PAR	SOLD 07-05-00 ACQUIRED 01-16-98	4,740 59	2,559 00	2,181.59	0 00
SBC COMMUNICATIONS INC COM	SOLD 07-05-00 ACQUIRED 01-16-98	5,559.31	7,895 75	2,336 44-	0.00
SARA LEE CORP., COMMON STOCK	SOLD 07-05-00 ACQUIRED 08-19-96	4,571 84	2,471 75	2,100 09	0.00
SUN TRUST BANKS, INC., COMMON STOCK \$2 50 PAR	SOLD 07-05-00 ACQUIRED 01-16-98	1,915 68	2,988.50	1,072 82-	0 00
TEXAS INSTRS INC COM	SOLD 07-05-00 ACQUIRED 01-22-98	4,821 83	6,836 38	2,014 55-	0 00
TYCO INTL LTD NEW COM	SOLD 07-05-00 ACQUIRED 01-16-98	6,384 28	1,131 75	5,252.53	0.00

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0 00	2,564 59	2,188 50	4,753 09	07-05-00 SOLD 01-16-98 ACQUIRED	VERIZON COMMUNICATIONS COM SHARES 100.00
0.00	955 18	4,485.38	5,440 56	07-05-00 SOLD 01-16-98 ACQUIRED	VULCAN MATERIALS CO , COMMON STOCK, \$1 PAR SHARES 100.00
0 00	338 40-	4,829 00	4,490 60	07-05-00 SOLD 06-30-99 ACQUIRED	WAL-MART STORES, INC COMMON STOCK, \$ 10 PAR SHARES: 100 00
0.00	3,683 31	2,013 50	5,696 81	07-05-00 SOLD 01-16-98 ACQUIRED	WESTVACO CORP COMMON STOCK, \$5 PAR SHARES: 100.00
0.00	536 34-	3,108 25	2,571.91	07-05-00 SOLD 01-16-98 ACQUIRED	VISTEON CORP COM SHARES 100 00
0 00	0 99	1 04	2.03	07-07-00 SOLD 04-16-97 ACQUIRED	KANSAS CITY SOUTH INDS INC COM NEW SHARES: 0 15



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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS  
 363 69

COST  
 BASIS

552 47

SALES  
 PROCEEDS

896 16

DATE SOLD/  
 ACQUIRED

07-13-00 SOLD  
 04-01-99 ACQUIRED

SHARES

150.00

CITIGROUP INC COM

SHARES

100 00

EMC CORP COM COM

SHARES:

100 00

07-14-00 SOLD  
 01-16-98 ACQUIRED

NORTEL NETWORKS CORP NEW COM

SHARES:

100 00

07-14-00 SOLD  
 01-16-98 ACQUIRED

SUN MICROSYSTEMS INC, COMMON STOCK,

\$ 00067 PAR

SHARES:

100.00

07-14-00 SOLD  
 01-16-98 ACQUIRED

QWEST COMMUNICATIONS INTL INC COM

SHARES:

0 14

07-18-00 SOLD  
 01-16-98 ACQUIRED

#REORG CHAMPION INTERNATIONAL CORP COM

STK CASH & STK MERGER TO INTERNATIONAL  
 PAPER CO #2-423444 a 7073 / CASH EFF

06-27-00

0.00

3 75

3 85

7 60

07-18-00 SOLD  
 01-16-98 ACQUIRED

0.00

8,369 49

1,123 94

9,493 43

07-14-00 SOLD  
 01-16-98 ACQUIRED

0.00

6,732 11

1,066 13

7,798 24

07-14-00 SOLD  
 01-16-98 ACQUIRED

0.00

7,343.04

730.19

8,073 23

07-14-00 SOLD  
 01-16-98 ACQUIRED

0.00

3,973 57

2,818 45

6,792 02

07-14-00 SOLD  
 04-11-97 ACQUIRED

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-24-00 SOLD	36,151 04	25,217 50	10,933 54	0 00	SHARES
12-09-97 ACQUIRED					#REORG MEDAONE GROUP INC MERGER TO CASH a 30 85 & AT&T CORP #2-000836 a 95 EFF 07-20-00 THX TSBS
07-25-00 SOLD	45,047 30	20,059 62	24,987 68	0 00	SHARES
01-16-98 ACQUIRED					
07-25-00 SOLD	173,753 88	75,423 56	98,330 32	0 00	SHARES,
07-25-00 SOLD					ABBOTT LABORATORIES, COMMON STOCK, NO PAR
01-22-98 ACQUIRED					
07-26-00 SOLD	3,985 36	3,526 00	459 36	0 00	SHARES
07-26-00 SOLD					CITIGROUP INC COM
04-11-97 ACQUIRED					
07-26-00 SOLD	6,960 26	2,818 45	4,141.81	0 00	SHARES.
07-26-00 SOLD					AT & T CORP COM STK
01-16-98 ACQUIRED					
07-26-00 SOLD	6,420.78	8,719 33	2,298 55-	0 00	SHARES, COMMON STOCK
07-26-00 SOLD					ADobe SYSTEMS INC., COMMON STOCK

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

10,461.08

COST  
 BASIS

1,932.25

SALES  
 PROCEEDS

12,393.33

DATE SOLD/  
 ACQUIRED

SOLD 07-26-00  
 ACQUIRED 01-16-98

100 00 SHARES

#REORG AMERICA ONLINE STK MERGER TO AOL  
 TIME WARNER 2157776 01 EFF 1/11/01

SOLD 07-26-00  
 ACQUIRED 12-31-98

100 00 SHARES

AMERICAN EXPRESS CO., COMMON STOCK, \$ 60  
 PAR

SOLD 07-26-00  
 ACQUIRED 11-11-96

100 00 SHARES

#REORG AMERICAN GENERAL CORP STK MERGER  
 TO AMERN INTL GRP INC 2016430 EFF  
 8/29/01

SOLD 07-26-00  
 ACQUIRED 01-16-98

100 00 SHARES

AMERICAN INTERNATIONAL GROUP, INC  
 COMMON STOCK, \$2.50 PAR

SOLD 07-26-00  
 ACQUIRED 01-16-98

100 00 SHARES

CARDINAL HEALTH INC

12,485.08

5,421.07

7,064.01

0.00

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28X RATE  
 GAIN/LOSS

0 00

GAIN/LOSS

2,379 76

COST  
 BASIS

4,730 50

SALES  
 PROCEEDS

7,110 26

DATE SOLD/  
 ACQUIRED

07-26-00 SOLD  
 01-16-98 ACQUIRED

100 00 SHARES:

AMGEN INC COMMON STOCK

SHARES:

ANHEUSER BUSCH COS INC COM

SHARES

BANK AMER CORP COM

SHARES

BELLSOUTH CORP., COMMON STOCK

SHARES:

BRISTOL MYERS SQUIBB CO COMMON STOCK

SHARES

CHEVRON CORP., COMMON STOCK

0 00

6,192.18

3,353 50

9,545 68

07-26-00 SOLD  
 10-27-92 ACQUIRED

200 00

0 00

2,099 98

5,820 75

7,920 73

07-26-00 SOLD  
 01-16-98 ACQUIRED

200.00

0 00

1,406 29-

6,110 38

4,704 09

07-26-00 SOLD  
 01-16-98 ACQUIRED

100 00

0 00

3,445 73

4,439 50

7,885 23

07-26-00 SOLD  
 01-16-98 ACQUIRED

100 00

0 00

5,710 20

1,227.06

6,937.26

07-26-00 SOLD  
 01-16-98 ACQUIRED

100.00

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES:	SOLD 07-26-00	7,728 99	7,695 75	33.24	0 00
CHUBB CORP, COMMON STOCK, \$1 PAR	ACQUIRED 01-16-98				
SHARES:	SOLD 07-26-00	7,447 75	7,552 00	104 25-	0 00
CISCO SYS INC COMMON STOCK	ACQUIRED 01-16-98				
SHARES:	SOLD 07-26-00	27,424 08	2,124 04	25,300.04	0 00
ACQUIRED 04-21-97					
COCA COLA CO COM					
SHARES:	SOLD 07-26-00	11,758.10	13,004 00	1,245.90-	0 00
ACQUIRED 01-16-98					
DELL COMPUTER CORP COMMON STOCK					
SHARES:	SOLD 07-26-00	4,687 34	1,158.84	3,528 50	0 00
ACQUIRED 01-16-98					
EMC CORP COM COM					
SHARES:	SOLD 07-26-00	8,597 71	730 19	7,867 52	0 00
ACQUIRED 01-16-98					
ENRON CORP COM					

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DESCRIPTION OF PROPERTY		DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES:	100 00	SOLD 07-26-00 ACQUIRED 01-16-98	7,229.00	2,032.25	5,196.75	0 00
EXXON MOBIL CORP COM						
SHARES:	200 00	SOLD 07-26-00 ACQUIRED 01-16-98	15,170.49	12,266.50	2,903.99	0 00
#REORG FORD MTR CO DEL COM CASH & STK MERGER TO FORD MOTOR COMPANY SEC #2-326542 @ 1 0 & CASH @ 20 EFF 08-02-00						
SHARES:	100 00	SOLD 07-26-00 ACQUIRED 04-16-97	4,672.84	2,216.68	2,456.16	0 00
GENERAL ELEC CO COM						
SHARES:	600.00	SOLD 07-26-00 ACQUIRED 01-16-98	31,299.45	14,779.00	16,520.45	0 00
HEWLETT-PACKARD CO , COMMON STOCK, #1 PAR						
SHARES:	100 00	SOLD 07-26-00 ACQUIRED 01-16-98	10,997.63	5,015.63	5,982.00	0.00
HOME DEPOT INC., COMMON STOCK, \$ 05 PAR						

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28% RATE	GAIN/LOSS	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0 00	9,220 33	1,133 74	5,354 07	100.00	07-26-00 SOLD 02-19-97 ACQUIRED	INTEL CORP CAPITAL STOCK \$ 001 PAR SHARES;
0 00	10,170.70	3,728 84	13,899.54	100 00	07-26-00 SOLD 01-15-97 ACQUIRED	INTERNATIONAL BUSINESS MACHS CORP COM SHARES;
0 00	10,212.03	3,687 50	13,899 53	100 00	07-26-00 SOLD 05-14-97 ACQUIRED	JOHNSON & JOHNSON COMMON STOCK \$1 PAR SHARES;
0 00	5,740.38	5,244 75	10,985 13	100.00	07-26-00 SOLD 01-16-98 ACQUIRED	ELI LILLY & CO COM SHARES;
0 00	2,239.44	6,783.25	9,022.69	100 00	07-26-00 SOLD 01-16-98 ACQUIRED	LUCENT TECHNOLOGIES INC COM STOCK SHARES;
0 00	3,176.92	6,708 25	9,885 17	200 00	07-26-00 SOLD 01-16-98 ACQUIRED	MERCK & CO INC , COMMON STOCK, \$ 02 7/9 SHARES
0 00	5,857 17	3,776.00	9,633 17			

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-26-00 SOLD 09-30-96 ACQUIRED	6,997.76	3,511.20	3,486.56	0.00	SHARES: 100.00 MICROSOFT CORP COM
07-26-00 SOLD 08-12-96 ACQUIRED	20,343.07	4,688.85	15,654.22	0.00	SHARES: 300.00 MINNESOTA MINING AND MANUFACTURING CO., COMMON STOCK, NO PAR
07-26-00 SOLD 01-16-98 ACQUIRED	8,853.95	8,364.50	489.45	0.00	SHARES: 100.00 MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174444486
07-26-00 SOLD 01-16-98 ACQUIRED	9,297.69	2,810.37	6,487.32	0.00	SHARES: 100.00 NORTEL NETWORKS CORP NEW COM
07-26-00 SOLD 01-16-98 ACQUIRED	8,385.22	1,066.12	7,319.10	0.00	SHARES: 100.00 ORACLE CORPORATION COM
07-26-00 SOLD 01-16-98 ACQUIRED	15,349.48	1,305.50	14,043.98	0.00	SHARES: 200.00 PEPSICO INC COM



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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PHARMACIA CORP COM SHARES	SOLD 07-26-00 ACQUIRED 01-16-98	4,379.10	3,695.75	683.35	0.00
PFIZER INC., COMMON STOCK, \$11 1/9 PAR SHARES	SOLD 07-26-00 ACQUIRED 01-16-98	5,585.31	4,139.50	1,445.81	0.00
PHILIP MORRIS COS INC COM SHARES	SOLD 07-26-00 ACQUIRED 01-16-98	17,216.42	10,236.00	6,980.42	0.00
WEST COMMUNICATIONS INTL INC COM SHARES	SOLD 07-26-00 ACQUIRED 06-16-97	5,033.33	9,333.46	4,300.13-	0.00
ROYAL DUTCH PETROLEUM N V REGISTRAR SH PAR M 1 25 GLDR SHARES	SOLD 07-26-00 ACQUIRED 01-16-98	4,754.09	2,751.51	2,002.58	0.00
SBC COMMUNICATIONS INC COM SHARES	SOLD 07-26-00 ACQUIRED 01-16-98	5,747.80	5,214.50	533.30	0.00

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DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE
SHARES: SCHERING-PLOUGH CORP COMMON STOCK, #1 PAR	07-26-00 SOLD 08-19-96 ACQUIRED	8,620.71	4,943.50	3,677.21	0.00
SHARES: SCHWAB CHARLES CORP COMMON STOCK NEW	07-26-00 SOLD 01-16-98 ACQUIRED	4,304.10	3,466.62	837.48	0.00
SHARES: SPRINT CORP PCS COM SER 1	07-26-00 SOLD 01-16-98 ACQUIRED	3,641.62	808.78	2,832.84	0.00
SHARES: TEXAS INSTRS INC COM	07-26-00 SOLD 07-03-96 ACQUIRED	5,522.81	448.59	5,074.22	0.00
SHARES: #REORG TIME WARNER STK MERGER TO AOL TIME WARNER INC 2157776 @ 5 EFF 1/11/01	07-26-00 SOLD 01-16-98 ACQUIRED	6,397.78	1,131.75	5,266.03	0.00
SHARES: TYCO INTL LTD NEW COM	07-26-00 SOLD 01-16-98 ACQUIRED	7,710.24	3,007.25	4,702.99	0.00

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIPTION OF PROPERTY
0.00	3,315.57	2,188.49	5,504.06	07-26-00 SOLD 01-16-98 ACQUIRED	SHARES 100 00 VERIZON COMMUNICATIONS COM
0.00	424.93	8,970.75	9,395.68	07-26-00 SOLD 01-16-98 ACQUIRED	SHARES 200 00 VIACOM COMMON STOCK CL B
0.00	4,471.77	2,188.50	6,660.27	07-26-00 SOLD 01-16-98 ACQUIRED	SHARES 100.00 MAL-MART STORES, INC COMMON STOCK, \$.10 PAR
0.00	11,577.91	6,040.50	17,618.41	07-26-00 SOLD 01-16-98 ACQUIRED	SHARES 300.00 WELLS FARGO & CO NEW COM STK
0.00	1,532.08	2,559.53	4,091.61	07-26-00 SOLD 08-27-97 ACQUIRED	SHARES 100.00 WORLD COM INC/WORLDCO
0.00	6,440.05	2,509.65	8,949.70	07-26-00 SOLD 09-09-96 ACQUIRED	SHARES 200 00 BANK ONE CORP COM

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
07-26-00 ACQUIRED	3,197 89	4,808 33	1,610 44-	0.00	SHARES RITE AID CORP, COMMON STOCK, \$1 PAR
07-26-00 SOLD	200 00	6,070.75	5,214 28-	0.00	SHARES; RITE AID CORP, COMMON STOCK, \$1 PAR
07-26-00 ACQUIRED	856 47	6,070.75	5,214 28-	0.00	SHARES; RITE AID CORP, COMMON STOCK, \$1 PAR
07-26-00 SOLD	1,200.00	37,118 28	31,979.46-	0.00	SHARES 3COM CORP COMMON STOCK
07-28-00 ACQUIRED	29,369 39	11,119 77	13,249 62	0.00	SHARES, 3COM CORP COMMON STOCK
07-28-00 SOLD	1,000.00	735 70	618 15	0.00	SHARES; 3COM CORP COMMON STOCK
07-28-00 ACQUIRED	1,353 85	735 70	618 15	0.00	SHARES; 3COM CORP COMMON STOCK
08-02-00 SOLD	80,873.13	64,283.61	16,589.52	0.00	SHARES; #2-326542 a 1 0 & CASH a 20 EFF 08-02-00
08-02-00 ACQUIRED	94,816.77	64,283.61	16,589.52	0.00	SHARES; #2-326542 a 1 0 & CASH a 20 EFF 08-02-00
08-02-00 SOLD	3,400 00	75,509 95	19,306.82	0.00	SHARES MERGER TO FORD MOTOR COMPANY SEC
08-02-00 ACQUIRED	3,400 00	75,509 95	19,306.82	0.00	SHARES MERGER TO FORD MOTOR COMPANY SEC

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: PALM INC COM STK ISIN# US6966421074	08-07-00 SOLD 11-13-97 ACQUIRED	3.48	1.44	2.04	0.00
HUNTINGTON BANCSHARES INC COM SHARES	08-14-00 SOLD 01-16-98 ACQUIRED	6.48	10.49	4.01-	0.00
GANNETT INC COM SHARES:	08-29-00 SOLD 01-16-98 ACQUIRED	5,598.31	5,858.25	259.94-	0.00
GREAT ATLANTIC & PACIFIC TEA CO., INC., COMMON STOCK, \$1 PAR SHARES	08-29-00 SOLD 01-22-98 ACQUIRED	2,724.90	5,735.26	3,010.36-	0.00
TRANSOCEAN SEDCO FOREX INC COM SHARES	08-29-00 SOLD 01-16-98 ACQUIRED	1,916.34	1,320.55	595.79	0.00

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28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS  
 1,485 69

COST  
 BASIS  
 2,708 76

SALES  
 PROCEEDS  
 4,194.45

DATE SOLD/  
 ACQUIRED  
 08-29-00  
 01-22-98

SOLD  
 ACQUIRED

68.64  
 SHARES

SOLETRON CORP COMMON STOCK

SHARES,

100 00

08-29-00  
 ACQUIRED

01-12-99

UNITED TECHNOLOGIES CORP COM

SHARES,

100.00

08-29-00  
 SOLD

12-23-97  
 ACQUIRED

CITIGROUP INC COM

SHARES

100 00

08-31-00  
 SOLD

04-11-97  
 ACQUIRED

AIR PRODUCTS AND CHEMICALS, INC.,  
 COMMON STOCK, \$1 PAR

SHARES

100 00

08-31-00  
 SOLD

01-16-98  
 ACQUIRED

ALCOA INC COM STK

SHARES

100.00

08-31-00  
 SOLD

01-16-98  
 ACQUIRED

ALLEGHENY TECHNOLOGIES INC COM

0 00

1,649 51

1,672 37

3,321 88

08-31-00  
 SOLD

01-16-98  
 ACQUIRED

0.00

316.63-

3,944.75

3,628 12

08-31-00  
 SOLD

01-16-98  
 ACQUIRED

0 00

3,015 85

2,818 45

5,834 30

08-31-00  
 SOLD

04-11-97  
 ACQUIRED

0 00

2,783 54

3,496 00

6,279 54

08-29-00  
 SOLD

12-23-97  
 ACQUIRED

0 00

2,373 74

2,224.60

4,598 34

08-29-00  
 SOLD

01-12-99  
 ACQUIRED

0 00

1,485 69

2,708 76

4,194.45

08-29-00  
 SOLD

01-22-98  
 ACQUIRED

68.64  
 SHARES

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DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

ALLSTATE CORP COMMON STOCK	SHARES,	100.00	SOLD	08-31-00	2,171 92	4,321 59	2,149 67-	0 00
	ACQUIRED			01-16-98				
AMERICAN GREETINGS CORP, CLASS A, COMMON STOCK, \$1 PAR	SHARES:	100 00	SOLD	08-31-00	2,903 15	1,945 15	958 00	0 00
	ACQUIRED			05-09-96				
AMERICAN HOME PRODUCTS CORP, COMMON STOCK, \$ 33 1/3 PAR	SHARES:	100 00	SOLD	08-31-00	1,859 43	4,089.50	2,230 07-	0 00
	ACQUIRED			01-16-98				
ARCHER-DANIELS-MIDLAND CO, COMMON STOCK NO PAR	SHARES:	100 00	SOLD	08-31-00	878 22	1,991 61	1,113 39-	0 00
	ACQUIRED			01-16-98				
BARRICK GOLD CORP	SHARES:	100.00	SOLD	08-31-00	1,590.69	1,870.75	280 06-	0 00
	ACQUIRED			01-16-98				
CISCO SYS INC COMMON STOCK	SHARES:	100.00	SOLD	08-31-00				
	ACQUIRED			01-16-98				

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
08-31-00 SOLD 04-21-97 ACQUIRED	6,862.27	531 01	6,331 26	0.00	SHARES: COMCAST CORP CLASS A SPECIAL
08-31-00 SOLD 01-16-98 ACQUIRED	3,724 87	1,651.00	2,073 87	0.00	SHARES: COMERICA, INC, COMMON STOCK, \$5 PAR
08-31-00 SOLD 01-16-98 ACQUIRED	5,628.06	5,901 33	273.27-	0 00	SHARES: COMPAQ COMPUTER CORP, COMMON STOCK
08-31-00 SOLD 01-16-98 ACQUIRED	3,403 13	2,944 75	458 38	0 00	SHARES: COMPUWARE CORP COMMON
08-31-00 SOLD 12-31-98 ACQUIRED	1,056 21	3,906.25	2,850 04-	0 00	SHARES: CONSECO INC, COMMON STOCK
08-31-00 SOLD 01-16-98 ACQUIRED	836 52	4,125.02	3,288 50-	0.00	SHARES: CONSOLIDATED EDISON INC COMMON STOCK
08-31-00 SOLD 01-16-98 ACQUIRED	4.20	13 07	8.87-	0.00	SHARES: CONSOLIDATED EDISON INC COMMON STOCK



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08-31-00 SOLD 01-16-98 ACQUIRED	3,128 14	3,989 50	861 36-	0 00	SHARES, DISNEY WALT CO COM
08-31-00 SOLD 01-16-98 ACQUIRED	3,890.62	3,213.17	677.45	0.00	SHARES; DU PONT E I DE NEMOURS & CO COM STK
08-31-00 SOLD 04-05-95 ACQUIRED	4,484 35	1,957 24	2,527 11	0.00	SHARES #REORG EL PASO ENERGY CORP N/C TO EL PASO CORP 2301049 ai EFF 2/7/01
08-31-00 SOLD 01-22-98 ACQUIRED	5,821 80	4,370.75	1,451.05	0.00	SHARES; ENERGY CORP NEW COMMON STOCK
08-31-00 SOLD 01-16-98 ACQUIRED	3,040.64	2,820 75	219 89	0.00	SHARES EXXON MOBIL CORP COM
08-31-00 SOLD 01-16-98 ACQUIRED	8,159.22	6,133.25	2,025.97	0 00	SHARES FNMA COM STOCK

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08-31-00 SOLD 01-16-98 ACQUIRED	5,371.82	6,089.50	717.68-	0 00	SHARES; GLOBAL CROSSING LTD COM
08-31-00 SOLD 01-16-98 ACQUIRED	3,006.14	1,238.78	1,767.36	0.00	SHARES; #REORG FIRSTAR CORP NEW WIS STK MERGER TO US BANCORP DEL 2952468 EFF 2/27/01
08-31-00 SOLD 03-13-98 ACQUIRED	2,384.42	2,545.07	160.65-	0 00	SHARES; FIRSTENERGY CORP COM
08-31-00 SOLD 01-16-98 ACQUIRED	2,471.91	2,830.13	358.22-	0 00	SHARES; GENERAL ELEC CO COM
08-31-00 SOLD 01-16-98 ACQUIRED	5,865.55	2,463.17	3,402.38	0.00	SHARES; GILLETTE CO., COMMON STOCK, \$1 PAR
08-31-00 SOLD 01-16-98 ACQUIRED	2,996.90	5,116.62	2,119.72-	0 00	SHARES GOODRICH CORPORATION

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SHARES . GOODYEAR TIRE & RUBBER CO , COMMON STOCK, NO PAR	SOLD 08-31-00 ACQUIRED 01-22-98	9,078 11	4,261 38	183 27-	0 00
SHARES HONEYWELL INTL INC COM STK	SOLD 08-31-00 ACQUIRED 09-08-94	2,334 42	3,509, 90	1,175, 48-	0 00
SHARES . HOUSEHOLD INTERNATIONAL INC , COMMON STOCK, \$1 PAR	SOLD 08-31-00 ACQUIRED 01-16-98	3,853 12	3,670, 75	182 37	0 00
SHARES INTEL CORP CAPITAL STOCK \$ 001 PAR	SOLD 08-31-00 ACQUIRED 01-16-98	4,796, 84	4,213 17	583 67	0 00
SHARES . KEYCORP NEW COMMON STOCK	SOLD 08-31-00 ACQUIRED 05-14-97	7,487, 25	1,843 75	5,643 50	0 00
SHARES! KROGER CO, COMMON STOCK, \$1 PAR	SOLD 08-31-00 ACQUIRED 01-16-98	2,015 68	3,294 75	1,279, 07-	0 00

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MBNA CORP COMMON STOCK	08-31-00 SOLD 01-16-98 ACQUIRED	3,528 13	1,959.67	1,568 46	0.00
MC DONALDS CORP COMMON STOCK, NO PAR	08-31-00 SOLD 01-16-98 ACQUIRED	2,984 40	2,372.87	611 53	0.00
MCGRAW HILL COMPANIES INC COM	08-31-00 SOLD 01-16-98 ACQUIRED	6,190 54	3,682.25	2,508 29	0.00
MILLIPORE CORP COM	08-31-00 SOLD 01-16-98 ACQUIRED	6,084 29	3,233 25	2,851 04	0.00
MOTOROLA INC , COMMON STOCK, 43 PAR	08-31-00 SOLD 01-16-98 ACQUIRED	3,603.12	1,854 83	1,748.29	0.00

#REGORG ASSOC FIRST CORP CL A STK MERGER  
 CITIGROUP 2000136 8.7334 EFF 11/30/00

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SHARES:	08-31-00 SOLD	146 65	111.43	35 22	0.00
	04-15-97 ACQUIRED				
SHARES	08-31-00 SOLD	2,662 75	2,110 26	552 49	0.00
	04-16-97 ACQUIRED				
##RAYTHEON CO CL B COM STK	08-31-00 SOLD	2,778 15	5,014.50	2,236.35-	0.00
	01-16-98 ACQUIRED				
ST PAUL COS INC COM	08-31-00 SOLD	1,139 86	914 26	225 60	0.00
	01-16-98 ACQUIRED				
SHARES	08-31-00 SOLD	3,619.48	3,256.65	362 83	0.00
	01-22-98 ACQUIRED				
SOUTHWEST AIRLINES CO , COMMON STOCK, \$1 PAR	08-31-00 SOLD	2,259.42	1,139.78	1,119 64	0.00
	01-16-98 ACQUIRED				
SHARES	08-31-00 SOLD	3,346.88	1,875 95	1,470 93	0.00
	07-02-96 ACQUIRED				
SHARES	08-31-00 SOLD				
	07-02-96 ACQUIRED				
SPRINT CORP COM	08-31-00 SOLD				
	07-02-96 ACQUIRED				
REGORG SUMMIT BANCORP STK MERGER TO FLEETBOSTON FINL CORP 2323734 EFF 3/1/01	08-31-00 SOLD				
	07-02-96 ACQUIRED				

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08-31-00 SOLD 01-27-98 ACQUIRED	2,765 65	5,001 00	2,235 35-	0.00	SHARES, TARGET CORP COM
08-31-00 SOLD 01-16-98 ACQUIRED	2,321 92	1,761 44	560.48	0.00	SHARES USA EDUCATION INC COM
08-31-00 SOLD 09-21-98 ACQUIRED	3,915 61	3,094 75	820 86	0.00	SHARES UNION PAC CORP COM
08-31-00 SOLD 01-16-98 ACQUIRED	3,971 86	5,995 75	2,023 89-	0.00	SHARES; UNIMPROVIDENT CORP COM
08-31-00 SOLD 01-16-98 ACQUIRED	2,165 67	5,077.00	2,911 33-	0.00	SHARES WALGREEN CO COMMON STOCK, \$1 25 PAR
08-31-00 SOLD 01-16-98 ACQUIRED	3,284 39	1,622 88	1,661 51	0.00	SHARES BANK AMER CORP COM

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28% RATE	GAIN/LOSS	COST	SALES	DATE SOLD/	PROCEEDS	BASIS	GAIN/LOSS	DESCRIPTION OF PROPERTY
0.00	642.81-	6,110.37	5,467.56	09-15-00 ACQUIRED 01-16-98				SHARES. BELLSOUTH CORP , COMMON STOCK
0.00	813.50	2,910.37	3,723.87	09-15-00 ACQUIRED 01-16-98				SHARES BOEING CO , CAPITAL STOCK, \$5 PAR
0.00	1,315.56	4,370.75	5,686.31	09-15-00 ACQUIRED 01-16-98				SHARES; BURLINGTON NORTHN SANTA FE CORP COM
0.00	739.25-	2,888.17	2,148.92	09-15-00 ACQUIRED 01-16-98				SHARES; CIGNA CORP, COMMON STOCK,
0.00	3,212.61	5,886.08	9,098.69	09-15-00 ACQUIRED 01-22-98				SHARES COCA COLA CO COM
0.00	1,434.42-	6,502.00	5,067.58	09-15-00 ACQUIRED 01-16-98				SHARES. FHLMC VOTING COMMON STOCK

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09-15-00 SOLD 01-16-98 ACQUIRED	4,948 83	4,583.25	365 58	0.00	SHARES; FEDERATED DEPT STORES INC DEL COMMON STOCK
09-15-00 SOLD 07-01-97 ACQUIRED	2,817 65	3,506.00	688 35-	0 00	SHARES; INTERNATIONAL BUSINESS MACHS CORP COM
09-15-00 SOLD 01-16-98 ACQUIRED	12,479 83	5,244 75	7,235 08	0 00	SHARES; KERR MCGEE CORP COM
09-15-00 SOLD 01-16-98 ACQUIRED	6,555 03	6,252 00	303 03	0 00	SHARES KIMBERLY-CLARK CORP COMMON STOCK \$5 PAR
09-15-00 SOLD 01-16-98 ACQUIRED	5,098 83	5,145.75	46.92-	0 00	SHARES MC DONALDS CORP COMMON STOCK, NO PAR
09-15-00 SOLD 01-16-98 ACQUIRED	2,773.90	2,372 88	401 02	0 00	SHARES MELLON FINL CORP COM



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SHARES.	SOLD 09-15-00 ACQUIRED 01-16-98	4,461.35	3,019.75	1,441.60	0 00
NUCOR CORP COMMON STOCK, \$ 40 PAR					
SHARES:	SOLD 09-15-00 ACQUIRED 01-16-98	3,442.63	4,652.00	1,209.37-	0 00
ORACLE CORPORATION COM					
SHARES:	SOLD 09-15-00 ACQUIRED 01-16-98	7,830 98	652.75	7,178.23	0 00
PHILIP MORRIS COS INC COM					
SHARES:	SOLD 09-15-00 ACQUIRED 06-16-97	5,422.81	9,333.46	3,910.65-	0 00
PROCTER & GAMBLE CO , COMMON STOCK, NO PAR					
SHARES	SOLD 09-15-00 ACQUIRED 01-16-98	6,192 54	7,895.75	1,703 21-	0.00
SBC COMMUNICATIONS INC COM					
SHARES	SOLD 09-15-00 ACQUIRED 08-19-96	4,442 60	2,471 75	1,970 85	0.00
STAPLES INC (RETAIL & DELIVERY)					

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DESCRIPTION OF PROPERTY DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES: 200 00 SOLD 09-15-00 ACQUIRED 10-06-98  
 SUN MICROSYSTEMS INC., COMMON STOCK, \$ .00067 PAR

SHARES: 100.00 SOLD 09-15-00 ACQUIRED 01-16-98  
 SYNAVANT INC COM

SHARES: 10 00 SOLD 09-15-00 ACQUIRED 01-16-98  
 SHARES: 70 00 SOLD 09-15-00 ACQUIRED 01-22-98  
 #REORG UNICOM CORP CASH & STK MERGER TO EXELON CORP 2313162 @ 875 CASH RATE 3 0 EFF 10/20/00

SHARES: 100 00 SOLD 09-15-00 ACQUIRED 01-16-98  
 VIACOM COMMON STOCK CL B

SHARES: 100 00 SOLD 09-15-00 ACQUIRED 01-16-98  
 WASHINGTON MUT INC COM

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
WEYERHAEUSER CO , COMMON STOCK, \$1.875 PAR	SOLD 09-15-00 ACQUIRED 01-16-98	3,630.12	3,449.85	180.27	0.00
SHARES					
100.00					
SHARES					
100.00					
CITIGROUP INC COM					
SHARES					
100.00					
SOLD 09-15-00					
ACQUIRED 09-30-97					
4,061.36					
5,962.29					
1,900.93-					
0.00					
ADC TELECOMMUNICATIONS INC COM					
SHARES					
100.00					
SOLD 09-22-00					
ACQUIRED 07-30-99					
3,249.89					
1,112.50					
2,137.39					
0.00					
ABBOTT LABORATORIES, COMMON STOCK, NO PAR					
SHARES:					
400.00					
SOLD 09-22-00					
ACQUIRED 01-16-98					
19,387.35					
14,104.00					
5,283.35					
0.00					
AES CORP COMMON STOCK					
SHARES					
100.00					
SOLD 09-22-00					
ACQUIRED 10-01-98					
6,509.28					
1,840.21					
4,669.07					
0.00					
CITIGROUP INC COM					

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES:	SOLD 09-22-00	47,446.41	18,571.44	28,874.97	0.00
AT & T CORP COM STR	ACQUIRED 04-11-97				
SHARES:	SOLD 09-22-00	23,625.21	34,877.33	11,252.12-	0.00
ADVANCED MICRO DEVICES, INC., COMMON STOCK, \$ 01 PAR	ACQUIRED 01-16-98				
SHARES:	SOLD 09-22-00	2,496.91	885.38	1,611.53	0.00
#REORG AETNA INC CASH MERGER 255.332247 EFF 12/13/00	ACQUIRED 01-16-98				
SHARES:	SOLD 09-22-00	5,796.80	9,088.68	3,291.88-	0.00
AGILENT TECHNOLOGIES INC COM	ACQUIRED 09-25-97				
SHARES:	SOLD 09-22-00	4,909.33	3,700.49	1,208.84	0.00
ALBERTSON'S, INC , COMMON STOCK, \$1 PAR	ACQUIRED 01-16-98				
SHARES:	SOLD 09-22-00	2,078.18	4,170.40	2,092.22-	0.00
ALCAN INC	ACQUIRED 01-16-98				

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28% RATE GAIN/LOSS	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIPTION OF PROPERTY
0.00	169.90	2,720.75	2,890.65	09-22-00 01-16-98 SOLD ACQUIRED	ALCOA INC COM STK SHARES 100.00
0.00	1,386.59	3,344.75	4,731.34	09-22-00 01-16-98 SOLD ACQUIRED	ALLSTATE CORP COMMON STOCK SHARES 200.00
0.00	2,778.47	3,890.30	6,668.77	09-22-00 05-09-96 SOLD ACQUIRED	ALLTEL CORP COM ISIN #US0200391037 SHARES 200.00
0.00	794.83	4,177.00	4,971.83	09-22-00 01-16-98 SOLD ACQUIRED	*REORG AMERICA ONLINE STK MERGER TO AOL TIME WARNER 215776 @ EFF 1/11/01 SHARES 100.00
0.00	5,912.26	16,000.00	21,912.26	09-22-00 12-31-98 SOLD ACQUIRED	AMERICAN ELECTRIC POWER CO., INC., COMMON STOCK, \$6.50 PAR SHARES 400.00
0.00	1,423.87	5,014.50	3,590.63	09-22-00 01-16-98 SOLD ACQUIRED	AMERICAN EXPRESS CO, COMMON STOCK, \$.60 PAR SHARES 100.00

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28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS

12,647.93

COST  
 BASIS

4,967.48

SALES  
 PROCEEDS

17,615.41

DATE SOLD/  
 ACQUIRED

09-22-00 SOLD  
 11-11-96 ACQUIRED

300 00 SHARES:

#REORG AMERICAN GENERAL CORP STK MERGER  
 TO AMERN INTL GRP INC 2016430 EFF  
 8/29/01

SHARES:

100 00

09-22-00 SOLD  
 01-16-98 ACQUIRED

AMERICAN HOME PRODUCTS CORP,  
 COMMON STOCK, \$ 33 1/3 PAR

SHARES:

200 00

09-22-00 SOLD  
 01-16-98 ACQUIRED

AMERICAN INTERNATIONAL GROUP, INC.  
 COMMON STOCK, \$2 50 PAR

SHARES:

500 00

09-22-00 SOLD  
 01-16-98 ACQUIRED

AMGEN INC COMMON STOCK

SHARES:

200 00

09-22-00 SOLD  
 01-16-98 ACQUIRED

AMSOOUTH BANCORPORATION, COMMON STOCK,  
 \$1 PAR

0 00

11,957.88

2,454.13

14,412.01

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SHARES	SOLD 09-22-00	1,340.70	3,276.33	1,935.63-	0.00
ANADARKO PETROLEUM CORP, COMMON STOCK	ACQUIRED 03-09-99				
SHARES:	SOLD 09-22-00	6,156.79	2,976.00	3,180.79	0.00
ANHEUSER BUSCH COS INC COM	ACQUIRED 01-16-98				
SHARES	SOLD 09-22-00	4,428.10	2,219.75	2,208.35	0.00
APACHE CORP, COMMON STOCK, #1 25 PAR	ACQUIRED 01-16-98				
SHARES:	SOLD 09-22-00	5,909.30	3,495.75	2,413.55	0.00
APPLE COMPUTER, INC., COMMON STOCK	ACQUIRED 01-16-98				
SHARES	SOLD 09-22-00	5,218.57	957.25	4,261.32	0.00
APPLIED MATERIALS, INC., COMMON STOCK, # 01 PAR	ACQUIRED 01-16-98				
SHARES:	SOLD 09-22-00	13,987.03	2,995.75	10,991.28	0.00
AON CORP COMMON STOCK	ACQUIRED 01-16-98				

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0.00	10 71-	3,738 83	3,728 12	09-22-00 SOLD 01-16-98 ACQUIRED	ARCHER-DANIELS-MIDLAND CO., COMMON STOCK NO PAR
0.00	1,144.64-	1,991.61	846 97	09-22-00 SOLD 01-16-98 ACQUIRED	BANK AMER CORP COM
0.00	1,840 68-	18,331 13	16,490 45	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES. AUTOMATIC DATA PROCESSING INC COM
0.00	3,302 04	2,982 25	6,284.29	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: AVON PRODUCTS, INC, COMMON STOCK, \$0.50 PAR
0.00	1,323.99	2,866 62	4,190.61	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: BAKER HUGHES INC, COMMON STOCK
0.00	459 07	3,125.31	3,584 38	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: BANK OF NEW YORK CO., INC., COMMON STOCK, \$7.50 PAR



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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, BAXTER INTL INC COMMON STOCK	SOLD 09-22-00 ACQUIRED 01-16-98	10,293.65	5,664.50	4,629.15	0 00
SHARES, BECTON, DICKINSON AND CO., COMMON STOCK, \$1 PAR	SOLD 09-22-00 ACQUIRED 11-10-93	8,209.22	2,142.72	6,066.50	0 00
SHARES, BELLSOUTH CORP, COMMON STOCK	SOLD 09-22-00 ACQUIRED 01-16-98	2,540.66	2,754.13	213.47-	0 00
SHARES, REORG/BESTFOODS COM CASH MERGER a 73.0 EFF 10-04-00	SOLD 09-22-00 ACQUIRED 01-16-98	15,312.48	11,641.50	3,670.98	0 00
SHARES, BIOMET INC., COMMON STOCK	SOLD 09-22-00 ACQUIRED 01-16-98	7,165.51	5,041.62	2,123.89	0.00
SHARES, BLACK & DECKER CORP., COMMON STOCK, \$.50 PAR	SOLD 09-22-00 ACQUIRED 01-16-98	3,206.14	1,788.83	1,417.31	0 00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00	09-22-00 SOLD 01-16-98 ACQUIRED	3,428 13	4,464 50	1,036 37-	0 00
BOEING CO , CAPITAL STOCK, \$5 PAR					
SHARES 100.00	09-22-00 SOLD 01-16-98 ACQUIRED	6,396 78	4,370 75	2,026 03	0.00
BOSTON SCIENTIFIC CORP COM					
SHARES: 100 00	09-22-00 SOLD 01-16-98 ACQUIRED	1,796 94	2,344 75	547 81-	0.00
BRISTOL MYERS SQUIBB CO COMMON STOCK					
SHARES 400.00	09-22-00 SOLD 10-27-92 ACQUIRED	23,212 22	6,707 00	16,505 22	0 00
BURLINGTON NORTHN SANTA FE CORP COM					
SHARES. 100 00	09-22-00 SOLD 01-16-98 ACQUIRED	2,090.68	2,888 16	797 48-	0 00
BURLINGTON RESOURCES INC COMMON STOCK					
SHARES 100 00	09-22-00 SOLD 01-16-98 ACQUIRED	3,490 63	4,327.00	836 37-	0 00
#REORG CP & L ENERGY INC N/C TO PROGRESS ENERGY INC 2748007 21.0 EFF 11/30/00					

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28% RATE GAIN/LOSS COST BASIS SALES PROCEEDS DATE SOLD/ACQUIRED DESCRIPTION OF PROPERTY

28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0.00	405.13-	4,177.00	3,771.87	09-22-00 SOLD 01-16-98 ACQUIRED	CSX CORP, COMMON STOCK, \$1 PAR SHARES 100 00
0.00	3,105.08-	5,289.50	2,184.42	09-22-00 SOLD 01-16-98 ACQUIRED	CVS CORP COM STK SHARES: 100.00
0.00	1,896.84	2,700.00	4,596.84	09-22-00 SOLD 07-23-97 ACQUIRED	CAMPBELL SOUP CO COM SHARES 100 00
0.00	2,706.48-	5,253.39	2,546.91	09-22-00 SOLD 01-16-98 ACQUIRED	CAPITAL ONE FINANCIAL COMMON STOCK SHARES: 100.00
0.00	3,044.03	3,502.75	6,546.78	09-22-00 SOLD 09-18-98 ACQUIRED	CARNIVAL CORP COM SHARES: 100 00
0.00	3,431.18-	8,575.00	5,143.82	09-22-00 SOLD 12-21-98 ACQUIRED	CATERPILLAR INC, COMMON STOCK, NO PAR SHARES. 200 00

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
CENDANT CORP COM STK	09-22-00 SOLD 01-16-98 ACQUIRED	3,509.38	4,577.00	1,067.62-	0.00
SHARES: 100 00					
#REORG CHASE MANHATTAN CORP NEW N/C TO JP MORGAN CHASE & CO 2427148 21 EFF 1/2/01	09-22-00 SOLD 10-20-97 ACQUIRED	1,071.96	2,853.04	1,781.08-	0.00
SHARES: 100 00					
CHEVRON CORP, COMMON STOCK	09-22-00 SOLD 01-16-98 ACQUIRED	9,456.18	7,034.67	2,421.51	0.00
SHARES: 200 00					
CINCINNATI FINANCIAL CORP., COMMON STOCK, 1/2 PAR	09-22-00 SOLD 01-16-98 ACQUIRED	8,396.72	7,695.75	700.97	0.00
SHARES: 100.00					
CINERGY CORP COMMON STOCK	09-22-00 SOLD 01-16-98 ACQUIRED	3,790.62	4,434.00	643.38-	0.00
SHARES: 100.00					
CISCO SYS INC COMMON STOCK	09-22-00 SOLD 01-16-98 ACQUIRED	3,040.64	3,689.50	648.86-	0.00
SHARES: 100.00					

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DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 04-21-97 ACQUIRED	84,434 68	7,434 16	77,000 52	0.00	SHARES CLEAR CHANNEL COMMUNICATIONS INC COM
09-22-00 SOLD 10-14-97 ACQUIRED	11,643 61	6,406 00	5,237 61	0.00	SHARES, CLOROX CO , COMMON STOCK, \$1 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	3,796 87	3,891.63	94 76-	0.00	SHARES, #REGOR COASTAL CORP STK MERGER TO EL PASO ENERGY CORP 2301024 a1.23 EFF 1/29/01
09-22-00 SOLD 10-05-95 ACQUIRED	6,896 77	1,646 80	5,249.97	0.00	SHARES, COCA COLA CO COM
09-22-00 SOLD 01-16-98 ACQUIRED	26,359 12	32,510 00	6,150 88-	0.00	SHARES: COCA COLA ENTERPRISES INC COM
09-22-00 SOLD 10-27-98 ACQUIRED	1,621 94	3,664.00	2,042 06-	0.00	SHARES, COLGATE-PALMOLIVE CO , COMMON STOCK, \$1 PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
CONCAST CORP CLASS A SPECIAL SHARES: 100 00	SOLD 09-22-00 ACQUIRED 01-16-98	4,503 09	3,541.63	961 46	0 00
COMCAST CORP CLASS A SPECIAL SHARES 100 00	SOLD 09-22-00 ACQUIRED 01-16-98	3,649 87	1,651 00	1,998 87	0 00
COMPAQ COMPUTER CORP, COMMON STOCK SHARES, 300.00	SOLD 09-22-00 ACQUIRED 01-16-98	8,915 70	8,834 25	81.45	0 00
COMPUTER ASSOCIATES INTERNATIONAL, INC, COMMON STOCK, \$ 10 PAR SHARES: 100 00	SOLD 09-22-00 ACQUIRED 01-16-98	2,659.41	5,020 75	2,361.34-	0 00
CONAGRA FOODS INC SHARES 100.00	SOLD 09-22-00 ACQUIRED 01-16-98	1,909.43	3,202 00	1,292.57-	0 00
CONOCO INC CL B COM STK SHARES: 200 00	SOLD 09-22-00 ACQUIRED 04-05-95	5,056 33	11,741 18	6,684.85-	0 00
CORNING INC COMMON STOCK					

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY	28% RATE	GAIN/LOSS
0.00	27,681.45	3,770.75	31,452.20	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: 100.00 COSTCO WHSL CORP NEW COM	0.00	27,681.45
0.00	1,286.38	2,207.25	3,493.63	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: 100.00 DTE ENERGY CO COMMON STOCK	0.00	1,286.38
0.00	188.62	3,483.25	3,671.87	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: 100.00 DANA CORP., COMMON STOCK \$1 PAR	0.00	188.62
0.00	1,728.64	3,869.31	2,140.67	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES: 100.00 DANAHER CORP COMMON STOCK \$ 01 PAR	0.00	1,728.64
0.00	394.83	4,408.25	4,803.08	09-22-00 SOLD 11-17-98 ACQUIRED	SHARES: 100.00 DARDEN RESTAURANTS INC COM	0.00	394.83
0.00	763.68	1,264.50	2,028.18	09-22-00 SOLD 01-22-98 ACQUIRED	SHARES: 100.00 DEERE & CO, COMMON STOCK, \$1 PAR	0.00	763.68

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

2,111.36-

COST  
 BASIS

5,252.00

SALES  
 PROCEEDS

3,140.64

DATE SOLD/  
 ACQUIRED

09-22-00  
 01-16-98

SOLD 100.00  
 ACQUIRED

SHARES: 100.00

DELL COMPUTER CORP COMMON STOCK

DELPHI AUTOMOTIVE SYS CORP COM

SHARES: 38.54

09-22-00  
 11-18-96

SOLD 61.46  
 ACQUIRED

SHARES: 61.46

DELTA AIR LINES, INC, COMMON STOCK,  
 \$3 PAR

SHARES: 100.00

09-22-00  
 01-16-98

SOLD 100.00  
 ACQUIRED

SHARES: 100.00

DISNEY WALT CO COM

SHARES: 400.00

09-22-00  
 01-16-98

SOLD 400.00  
 ACQUIRED

SHARES: 400.00

DOLLAR GENERAL CORP., COMMON STOCK,  
 \$ 50 PAR

15,037.49

12,852.67

2,184.82

0.00

4,578.09

893.14

560.06

17,968.15

3,140.64

5,894.75

801.09

482.72

5,794.22

5,252.00

1,316.66-

92.05

77.34

12,173.93

2,111.36-

0.00

0.00

0.00

0.00

0.00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 07-15-98 ACQUIRED	2,084.43	2,337.02	252.59-	0.00	SHARES DOMINION RES INC VA NEW COM
09-22-00 SOLD 01-16-98 ACQUIRED	5,496.81	4,068.67	1,428.14	0.00	SHARES DONNELLEY, R R, & SONS CO, COMMON STOCK, #1.25 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	2,490.66	3,639.50	1,148.84-	0.00	SHARES; DOM CHEMICAL CO, COMMON STOCK, #2.50 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	2,484.41	3,067.33	582.92-	0.00	SHARES. DU PONT E I DE NEMOURS & CO COM STK
09-22-00 SOLD 04-05-95 ACQUIRED	7,968.73	3,914.47	4,054.26	0.00	SHARES DUKE ENERGY CORP COMMON STK
09-22-00 SOLD 01-16-98 ACQUIRED	7,571.74	5,420.75	2,150.99	0.00	SHARES #REORG DUN & BRADSTREET CORP DEL COM STK N/C TO MOODYS CORPORATION #2-588464 2 1.0 EFF 10-02-00

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 01-16-98 ACQUIRED	3,146.89	2,912.61	234.28	0.00	SHARES, 100 00 EMC CORP COM COM
09-22-00 SOLD 01-16-98 ACQUIRED	50,702 05	3,650.93	47,051.12	0.00	SHARES, 500.00 EASTMAN KODAK CO , COMMON STOCK, \$2.50 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	5,821 80	5,914.50	92 70-	0 00	SHARES 100.00 EDISON INTL COM
09-22-00 SOLD 01-16-98 ACQUIRED	2,265.67	2,670.75	405 08-	0 00	SHARES: 100 00 ELECTRONIC DATA SYS CORP NEW COM
09-22-00 SOLD 08-10-98 ACQUIRED	4,409 35	4,139.50	269 85	0 00	SHARES. 100 00 EMERSON ELECTRIC CO , COMMON STOCK, \$1 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	6,096.79	6,170.75	73 96-	0 00	SHARES: 100 00 ENRON CORP COM

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0.00	12,503.94	4,064.50	16,568.44	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES. EQUIFAX INC, COMMON STOCK, \$2.50 PAR
0.00	850.09	3,397.00	2,546.91	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES. EXXON MOBIL CORP COM
0.00	17,375.48	42,932.75	60,308.23	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES F P L GROUP INC, COMMON STOCK
0.00	419.79	5,677.00	6,096.79	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES FHLMC VOTING COMMON STOCK
0.00	413.58	4,583.25	4,996.83	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES; FNMA COM STOCK
0.00	1,364.54	12,179.00	13,543.54	09-22-00 SOLD 01-16-98 ACQUIRED	SHARES; FEDEX CORP COM

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28% RATE GAIN/LOSS	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIPTION OF PROPERTY
0.00	750.86	3,301.00	4,051.86	09-22-00 01-16-98 SOLD ACQUIRED	SHARES; FIFTH THIRD BANCORP, COMMON STOCK, \$6.66 PAR
0.00	1,437.14	3,406.44	4,843.58	09-22-00 01-16-98 SOLD ACQUIRED	SHARES; FIRST DATA CORP COMMON STOCK
0.00	1,426.11	2,627.00	4,053.11	09-22-00 01-16-98 SOLD ACQUIRED	SHARES; GLOBAL CROSSING LTD COM
0.00	1,173.71	949.66	2,123.37	09-22-00 01-22-98 SOLD ACQUIRED	SHARES; #REGORG FIRST UNION NAME CHANGE TO MACHOVIA CORP 2893758 EFF 7/27/01
0.00	890.79	5,178.00	6,068.79	09-22-00 10-12-95 SOLD ACQUIRED	SHARES; #REGORG FIRSTAR CORP NEW MIS STK MERGER TO US BANCORP DEL 2952468 EFF 2/27/01
0.00	671.30	5,090.15	4,418.85	09-22-00 03-13-98 SOLD ACQUIRED	SHARES; FLEETBOSTON FINL CORP COM STOCK

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28% RATE  
 GAIN/LOSS

0 00

0.00

0 00

0 00

0 00

0 00

DESCRIPTION OF PROPERTY

DATE SOLD/  
 ACQUIRED

SALES  
 PROCEEDS

COST  
 BASIS

GAIN/LOSS

SHARES:

120.48

SOLD

09-22-00

ACQUIRED

07-23-97

4,785.30

3,961.76

823.54

SHARES:

79.52

SOLD

09-22-00

ACQUIRED

10-27-97

3,158.43

2,799.77

358.66

FORTUNE BRANDS INC COM STK

SHARES:

100.00

SOLD

09-22-00

ACQUIRED

01-16-98

2,421.91

3,795.75

1,373.84-

FREEPORT MCMORAN C & G CL B COM STK

SHARES:

100.00

SOLD

09-22-00

ACQUIRED

01-16-98

896.97

1,427.00

530.03-

GPU INC COMMON STOCK

SHARES:

100.00

SOLD

09-22-00

ACQUIRED

01-16-98

3,171.89

4,014.50

842.61-

SHARES:

200.00

SOLD

09-22-00

ACQUIRED

01-16-98

4,631.34

3,451.78

1,179.56

GENERAL DYNAMICS CORP. COMMON STOCK, #1  
 PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00	SOLD 09-22-00 ACQUIRED 01-16-98	6,378 03	4,276 00	2,102 03	0 00
GENERAL ELEC CO COM					
SHARES: 2,000 00	SOLD 09-22-00 ACQUIRED 01-16-98	114,561.17	49,263.33	65,297 84	0 00
GENERAL MILLS, INC., COMMON STOCK, \$ 75 PAR					
SHARES: 100.00	SOLD 09-22-00 ACQUIRED 01-16-98	3,440 63	3,637 25	196.62-	0.00
GENERAL MOTORS CORP, COMMON STOCK, \$1 2/3 PAR					
SHARES: 100.00	SOLD 09-22-00 ACQUIRED 11-26-96	6,821 77	4,603 89	2,217.88	0.00
GENUINE PARTS CO COM					
SHARES 100.00	SOLD 09-22-00 ACQUIRED 01-16-98	1,890 68	3,383 25	1,492 57-	0 00
GILLETTE CO., COMMON STOCK, \$1 PAR					
SHARES: 100.00	SOLD 09-22-00 ACQUIRED 01-16-98	3,034 39	5,116 62	2,082.23-	0 00
GOLDEN WEST FINANCIAL CORP, COMMON STOCK, \$1 PAR					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 01-16-98 ACQUIRED	4,746 84	2,936.08	1,810.76	0 00	SHARES GRACE W R & CO DEL NEW COM STK
09-22-00 SOLD 10-28-97 ACQUIRED	696 97	1,466 76	769 79-	0.00	SHARES: GUIDANT CORP COM STK NO PAR
09-22-00 SOLD 01-16-98 ACQUIRED	6,771.77	2,901 00	3,870.77	0.00	SHARES: HCA INC COM
09-22-00 SOLD 07-30-97 ACQUIRED	3,696 87	3,255 48	441 39	0.00	SHARES: HALLIBURTON CO COM
09-22-00 SOLD 01-16-98 ACQUIRED	4,703 09	4,272.84	430.25	0.00	SHARES. HARRAH'S ENMT INC COM STK
09-22-00 SOLD 01-16-98 ACQUIRED	2,746 90	2,089 50	657 40	0 00	SHARES: HASBRO INC , COMMON STOCK, \$.50 PAR

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28% RATE  
 GAIN/LOSS

0.00

0.00

0.00

0.00

0.00

0.00

DESCRIPTION OF PROPERTY

SHARES · 50.00

SHARES: 50.00

HEINZ H J CO COMMON STOCK

09-22-00 SOLD 100 00  
 01-16-98 ACQUIRED

HEWLETT-PACKARD CO, COMMON STOCK, #1 PAR

09-22-00 SOLD 200 00  
 01-16-98 ACQUIRED

HILTON HOTELS CORP., COMMON STOCK, #2 50 PAR

09-22-00 SOLD 100 00  
 01-16-98 ACQUIRED

HOME DEPOT INC, COMMON STOCK, # 05 PAR

09-22-00 SOLD 500.00  
 02-19-97 ACQUIRED

HONEYWELL INTL INC COM STK

COST BASIS PROCEEDS

1,121 50 545 36

1,127 75 545.35

5,502 00 3,684 37

10,031.26 20,730 80

2,065 43 1,059.46

5,668 70 27,827 82

22,159 12



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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	3,628 12	3,670.75	42.63-	0 00
HOUSEHOLD INTERNATIONAL INC, COMMON STOCK, \$1 PAR					
SHARES:	09-22-00 SOLD 01-16-98 ACQUIRED	5,163 56	4,186 20	977 36	0.00
HUTTING BLDG PRDGS INC COM					
SHARES:	09-22-00 SOLD 01-16-98 ACQUIRED	33 26	16.77	16.49	0 00
IWS HEALTH INC COM STK					
SHARES	09-22-00 SOLD 01-22-98 ACQUIRED	4 52	6.20	1 68-	0 00
INCO LTD COMMON STOCK NO PAR					
SHARES:	09-22-00 SOLD 01-16-98 ACQUIRED	1,890.68	1,876.36	14.32	0.00
INGERSOLL-RAND CO COMMON STOCK \$2 PAR					
SHARES:	09-22-00 SOLD 01-16-98 ACQUIRED	1,703 19	1,595 75	107 44	0 00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 01-16-98 ACQUIRED	3,421.88	3,933.25	511.37-	0.00	INTEL CORP CAPITAL STOCK \$.001 PAR SHARES: 100 00
09-22-00 SOLD 09-09-97 ACQUIRED	23,967.95	9,218.75	14,749.20	0.00	SHARES: 500 00 INTERNATIONAL BUSINESS MACHS CORP COM
09-22-00 SOLD 09-22-00 ACQUIRED	43,142.31	21,833.28	21,309.03	0.00	SHARES: 900.00 INTERNATIONAL FLAVORS & FRAGRANCES INC
09-22-00 SOLD 01-16-98 ACQUIRED	49,586.34	20,979.00	28,607.34	0.00	SHARES: 400 00 COMMON STOCK # 12 1/2 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	2,353.17	4,570.75	2,217.58-	0.00	SHARES: 100 00 INTERNATIONAL PAPER CO, COMMON
09-22-00 SOLD 01-16-98 ACQUIRED	2,910.58	4,288.61	1,378.03-	0.00	SHARES: 99 40 JOHNSON CONTROLS INC COMMON STOCK
09-22-00 SOLD 01-16-98 ACQUIRED	17.57	22.18	4.61-	0.00	SHARES: 0.60 #2.50 PAR

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09-22-00 SOLD 01-16-98 ACQUIRED	4,784 34	4,764 50	19 84	0.00	SHARES JOHNSON & JOHNSON COMMON STOCK \$1 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	28,790 04	20,349.75	8,440 29	0.00	SHARES: K MART CORP COMMON STOCK \$1 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	571 98	1,102 00	530.02-	0.00	SHARES #REORG KAUFMAN & BROAD HOME CORP COM N/C TO KB HOME EFF 1-17-2001 SEE SECURITY #2-447812
09-22-00 SOLD 01-16-98 ACQUIRED	2,553 16	2,527.00	26.16	0.00	SHARES KELLOGG CO. COMMON STOCK \$.50 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	2,415 66	4,906.46	2,490 80-	0.00	SHARES: KEYCORP NEW COMMON STOCK
09-22-00 SOLD 01-16-98 ACQUIRED	2,346 92	3,294.75	947 83-	0.00	SHARES: KIMBERLY-CLARK CORP COMMON STOCK \$5 PAR

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SHARES:	SOLD	09-22-00	5,428.06	5,145.75	282.31	0.00
	ACQUIRED	01-16-98				
KROGER CO , COMMON STOCK, \$1 PAR						
SHARES:	SOLD	09-22-00	2,228.18	1,813.50	414.68	0.00
	ACQUIRED	01-16-98				
KOHLS CORP COMMON STOCK						
SHARES:	SOLD	09-22-00	6,053.04	2,379.12	3,673.92	0.00
	ACQUIRED	09-21-98				
LSI LOGIC CORP , COMMON STOCK						
SHARES:	SOLD	09-22-00	3,346.88	1,094.75	2,252.13	0.00
	ACQUIRED	01-16-98				
LEHMAN BROTHERS HLDGS INC COM						
SHARES:	SOLD	09-22-00	14,121.52	2,918.50	11,203.02	0.00
	ACQUIRED	12-06-96				
ELI LILLY & CO COM						
SHARES:	SOLD	09-22-00	16,293.45	13,416.50	2,876.95	0.00
	ACQUIRED	01-16-98				
LIMITED INC , COMMON STK, NO PAR						

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
LOCKHEED MARTIN CORP COM	09-22-80 SOLD 01-22-98 ACQUIRED	2,359 42	1,133 43	1,225 99	0 00
LOUISIANA-PACIFIC CORP COMMON STOCK, \$1 PAR	09-22-80 SOLD 11-05-97 ACQUIRED	3,034 39	4,938 82	1,904 43-	0 00
LOWES COS INC COMMON STOCK \$ 50 PAR	09-22-80 SOLD 01-16-98 ACQUIRED	871 97	1,870.75	998 78-	0 00
MBNA CORP COMMON STOCK	09-22-80 SOLD 01-16-98 ACQUIRED	22,247 00	13,216.00	9,031 00	0 00
LUCENT TECHNOLOGIES INC COMMON STOCK	09-22-80 SOLD 01-16-98 ACQUIRED	5,071 83	2,326 00	2,745.83	0 00
#REGOR MALLINCKRODT INC NEW COM STK MERGER TO TYCO INTERNATIONAL LTD SEC #2-878569 a 93840 EFF 10-17-80	09-22-80 SOLD 01-16-98 ACQUIRED	3,740.62	1,959 67	1,780.95	0.00

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DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 ACQUIRED  
 SALES  
 PROCEEDS  
 COST  
 BASIS  
 GAIN/LOSS  
 28% RATE  
 GAIN/LOSS

MANOR CARE INC NEW COM STOCK	SHARES	100.00	SOLD	09-22-00	4,509.34	3,539.50	969.84	0.00
			ACQUIRED	01-16-98				
MARRIOTT INTL INC NEW COM STK CL A	SHARES	100.00	SOLD	09-22-00	1,584.44	3,414.50	1,830.06	0.00
			ACQUIRED	01-22-98				
MATTEL INC COM STOCK	SHARES	100.00	SOLD	09-22-00	3,878.12	3,145.06	733.06	0.00
			ACQUIRED	01-22-98				
MAY DEPARTMENT STORES CO, COMMON STOCK, \$ 50 PAR	SHARES	100.00	SOLD	09-22-00	1,053.21	3,945.75	2,892.54	0.00
			ACQUIRED	01-16-98				
MC DONALDS CORP COMMON STOCK, NO PAR	SHARES	200.00	SOLD	09-22-00	4,218.85	6,869.33	2,650.48	0.00
			ACQUIRED	01-16-98				
HEAD CORP COMMON STOCK, NO PAR	SHARES	300.00	SOLD	09-22-00	8,653.21	7,118.63	1,534.58	0.00
			ACQUIRED	01-16-98				

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS  
 661 33-

COST  
 BASIS

2,969 50

SALES  
 PROCEEDS

2,303 17

DATE SOLD/  
 ACQUIRED

SOLD 09-22-00 100 00  
 ACQUIRED 01-16-98

SHARES:

MEDTRONIC, INC, COMMON STOCK,  
 \$ .10 PAR

0.00

7,656 25

7,221.75

14,878 00

SOLD 09-22-00 300 00  
 ACQUIRED 01-16-98

SHARES

MELTON FINL CORP COM

0.00

1,452 10

3,019 75

4,471.85

SOLD 09-22-00 100.00  
 ACQUIRED 01-16-98

SHARES:

MERCK & CO INC, COMMON STOCK, \$ 02 7/9  
 PAR

0.00

19,021 53

17,556 00

36,577 53

SOLD 09-22-00 500.00  
 ACQUIRED 09-30-96

SHARES:

MERRILL LYNCH & CO., INC., COMMON  
 STOCK, \$1 33 1/3 PAR

0.00

2,998 90

3,341.63

6,340 53

SOLD 09-22-00 100.00  
 ACQUIRED 01-16-98

SHARES:

MICROSOFT CORP COM

0.00

52,311.48

17,192 45

69,503.93

SOLD 09-22-00 1,100 00  
 ACQUIRED 08-12-96

SHARES:

MICRON TECHNOLOGY, INC., COMMON STOCK,  
 \$ 10 PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MINNESOTA MINING AND MANUFACTURING CO, COMMON STOCK, NO PAR	SOLD 09-22-00 ACQUIRED 01-16-98	5,159 32	1,479 12	3,680 20	0 00
MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486	SOLD 09-22-00 ACQUIRED 01-16-98	8,821.70	8,364.50	457 20	0.00
MORGAN MORGAN JPS CO INC STK MERGER TO JP MORGAN CHASE & CO 2427148 23 7 EFF 12/31/00	SOLD 09-22-00 ACQUIRED 01-16-98	27,158 84	8,431 13	18,727.71	0.00
MOTOROLA INC, COMMON STOCK, \$3 PAR	SOLD 09-22-00 ACQUIRED 01-16-98	16,640 19	10,737 42	5,902 77	0 00
#REORG NABISCO GROUP HLDG CORP COM STK CASH MERGER 2 30 0 EFF 12-12-00	SOLD 09-22-00 ACQUIRED 01-16-98	15,890.71	9,274.17	6,616 54	0.00



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DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD	2,840 65	1,780 32	1,060 33	0.00	SHARES: 100.00 NATIONAL CITY CORP COM
09-15-98 ACQUIRED					
09-22-00 SOLD	4,456 35	5,948 88	1,492 53-	0.00	SHARES 200 00 NATIONAL SEMICONDUCTOR CORP, COMMON STOCK, \$ 50 PAR
01-22-98 ACQUIRED					
09-22-00 SOLD	4,146 86	2,483 25	1,663 61	0.00	SHARES 100.00 #REORG ASSOC FIRST CORP CL A STK MERGER CITIGROUP 2000136 a 7534 EFF 11/30/00
01-16-98 ACQUIRED					
09-22-00 SOLD	3,696.87	2,226.48	1,470.39	0.00	SHARES: 100 00 NETWORK APPLIANCE INC COMMON STOCK
09-22-00 SOLD	14,737 00	1,898 96	12,838 04	0.00	SHARES 100 00 NEWELL RUBBERMAID INC COM
09-21-99 ACQUIRED					
09-22-00 SOLD	2,271.92	4,143.67	1,871 75-	0.00	SHARES: 100.00 NEXTEL COMMUNICATIONS INC CL A COM STK
01-16-98 ACQUIRED					

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0.00	6,224.68	3,362.50	9,587.18	09-22-00 ACQUIRED 03-31-98	SHARES NICARA MOHAWK HLDGS INC COM ISIN# US655201064
0.00	463.69	1,095.75	1,559.44	09-22-00 ACQUIRED 01-16-98	SHARES NICOR, INC, COMMON STOCK, \$5 PAR
0.00	523.87	4,108.25	3,584.38	09-22-00 ACQUIRED 01-16-98	SHARES NIKE INC, CLASS B COMMON STOCK,
0.00	155.14	4,108.25	3,953.11	09-22-00 ACQUIRED 01-16-98	SHARES NORFOLK SOUTHN CORP COM
0.00	1,511.31	3,039.50	1,528.19	09-22-00 ACQUIRED 01-16-98	SHARES NORTEL NETWORKS CORP NEW COM
0.00	33,708.91	6,396.75	40,105.66	09-22-00 ACQUIRED 01-16-98	SHARES NOVELL INC COM

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SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	959 34	739.50	219 84	0 00
OCCIDENTAL PETE CORP COM					
SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	2,228 17	2,620 50	392 33-	0 00
OFFICE DEPOT INC COMMON STOCK					
SHARES	09-22-00 SOLD 06-23-99 ACQUIRED	771 97	2,254 00	1,482 03-	0 00
OMNICOM GROUP INC , COMMON STOCK \$ 50 PAR					
SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	7,696 74	3,958 25	3,738 49	0 00
ORACLE CORPORATION COM					
SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	48,410.88	3,916.50	44,494 38	0 00
PGA E CORP COMMON STOCK					
SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	2,596 91	2,970 75	373.84-	0.00
PNC FINANCIAL SERVICES GROUP COM STK					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 01-16-98 ACQUIRED	5,871.80	5,227.00	644.80	0.00	SHARES: PALM INC COM STK ISIN# US6966421074
09-22-00 SOLD 11-13-97 ACQUIRED	5,181.07	1,564.97	3,616.10	0.00	SHARES: PALL CORP COMMON STOCK, \$ 25 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	1,990.68	2,158.25	167.57-	0.00	SHARES: PARAMETRIC TECHNOLOGY CORP COMMON STOCK
09-22-00 SOLD 01-16-98 ACQUIRED	1,149.96	2,372.88	1,222.92-	0.00	SHARES: PAYCHEX INC, COMMON STOCK
09-22-00 SOLD 09-30-98 ACQUIRED	4,699.84	2,291.67	2,408.17	0.00	SHARES: PEOPLESOFT, INC, COM
09-22-00 SOLD 10-01-98 ACQUIRED	2,749.90	3,250.00	500.10-	0.00	SHARES: PEPISCO INC COM

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28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS  
 2,515 79

COST  
 BASIS  
 11,087 25

SALES  
 PROCEEDS  
 13,603.04

DATE SOLD/  
 ACQUIRED  
 SOLD 09-22-00  
 ACQUIRED 01-16-98

300.00 SHARES

PHARMACIA CORP COM

0.00

5,253.16

12,418 50

17,671 66

SOLD 09-22-00  
 ACQUIRED 01-16-98

300.00 SHARES

PFIZER INC, COMMON STOCK, \$ 11 1/9 PAR

0 00

22,579 22

30,708 00

53,287 22

SOLD 09-22-00  
 ACQUIRED 01-16-98

1,200.00 SHARES

PHILIP MORRIS COS INC COM

0.00

7,604.29-

18,666.92

11,062.63

SOLD 09-22-00  
 ACQUIRED 06-16-97

400.00 SHARES

PHILIPS PETROLEUM CO COMMON STOCK,  
 #1 25 PAR

0.00

1,653.29

4,493.50

6,146 79

SOLD 09-22-00  
 ACQUIRED 07-02-97

100.00 SHARES

REGIONS FINANCIAL CORP COM

0 00

1,234 33-

3,450 00

2,215 67

SOLD 09-22-00  
 ACQUIRED 08-27-98

100 00 SHARES

PITNEY BOWES INC, COMMON STOCK, \$2 PAR

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09-22-00 SOLD 01-16-98 ACQUIRED	3,815.62	4,516.62	701.00-	0 00	PLACER DOME INC, COMMON STOCK SHARES, 100 00
09-22-00 SOLD 01-16-98 ACQUIRED	915 71	1,283.25	367 54-	0 00	POTLATCH CORP COMMON STOCK, \$1 PAR SHARES, 100 00
09-22-00 SOLD 01-16-98 ACQUIRED	3,065 64	4,308 25	1,242 61-	0 00	PRAXAIR INC COMMON STOCK SHARES, 100 00
09-22-00 SOLD 01-16-98 ACQUIRED	3,659 37	4,333.25	673.88-	0.00	PROCTER & GAMBLE CO., COMMON STOCK, NO SHARES 100 00
09-22-00 SOLD 01-16-98 ACQUIRED	12,768.57	15,791 50	3,022.93-	0 00	PUBLIC SERVICE ENTERPRISE GROUP INC, COMMON STOCK SHARES 200 00
09-22-00 SOLD 01-16-98 ACQUIRED	4,121 86	3,145 75	976 11	0.00	QUALCOMM INC COM SHARES 100 00

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WEST COMMUNICATIONS INTL INC COM SHARES	09-22-00 SOLD 07-21-99 ACQUIRED	7,299 75	3,915 62	3,384 13	0.00
RALSTON-RALSTON PURINA GROUP SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	19,212 35	11,006 02	8,206 33	0.00
RELiant ENERGY INC COM SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	2,240.67	2,116 45	124 22	0.00
ROHM & HAAS CO , COMMON STOCK, \$2.50 PAR SHARES	09-22-00 SOLD 01-16-98 ACQUIRED	3,940 61	2,570 75	1,369.86	0.00
ROYAL DUTCH PETROLEUM N Y REGISTRY SH PAR N 1.25 GLDR SHARES:	09-22-00 SOLD 01-22-98 ACQUIRED	2,746 90	2,785 04	38 14-	0.00
SBC COMMUNICATIONS INC COM SHARES:	09-22-00 SOLD 01-16-98 ACQUIRED	30,421.48	26,072 50	4,348 98	0.00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 08-19-96 ACQUIRED	33,490.38	17,302.25	16,188.13	0.00	SHARES, SAFEMAY INC COMMON STOCK NEW
09-22-00 SOLD 11-12-98 ACQUIRED	5,171.82	5,500.00	328.18-	0.00	SHARES, SABRE HLDS CORP
09-22-00 SOLD 02-15-96 ACQUIRED	3,003.14	3,197.24	194.10-	0.00	SHARES ST JUDE MED INC COM
09-22-00 SOLD 01-16-98 ACQUIRED	5,053.08	3,108.25	1,944.83	0.00	SHARES SARA LEE CORP, COMMON STOCK
09-22-00 SOLD 01-16-98 ACQUIRED	4,043.86	5,977.00	1,933.14-	0.00	SHARES; SCHERING-PLOUGH CORP, COMMON STOCK, #1 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	13,471.80	10,399.88	3,071.92	0.00	SHARES SCHLUMBERGER LTD COM ISIN AN8068571086



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 AAB SS870

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28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS

972.47

COST  
 BASIS

6,943.01

SALES  
 PROCEEDS

7,915 48

DATE SOLD/  
 ACQUIRED

09-22-00  
 01-16-98

SOLD 100 00

SHARES:

ACQUIRED

SCHWAB CHARLES CORP COMMON STOCK NEW

0.00

5,163 71

1,617 56

6,781 27

09-22-00  
 01-16-98

SOLD 200.00

SHARES:

ACQUIRED

SCIENTIFIC-ATLANTA, INC, COMMON STOCK,

0.00

5,014 55

851 00

5,865.55

09-22-00  
 01-16-98

SOLD 100 00

SHARES

ACQUIRED

#REORG SEARAM CO LTD DEFAULT MERGER TO  
 VIENDI SPONS ADR #2-892145 @ 80  
 EFF12/08/00

0 00

2,132 31

3,420 75

5,553 06

09-22-00  
 01-16-98

SOLD 100.00

SHARES:

ACQUIRED

SEARS, ROEBUCK AND CO COMMON STOCK,

0 00

758 81-

4,199 44

3,440.63

09-22-00  
 10-29-97

SOLD 100 00

SHARES

ACQUIRED

SEMPRA ENERGY INC COM STK

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHERWIN-WILLIAMS CO , COMMON STOCK, \$6 25 PAR	SOLD 09-22-00 ACQUIRED 01-22-98	1,890 68	2,474.23	583.55-	0.00
SHARMA-ALDRICH, CORP., COMMON STOCK, \$1 PAR	SOLD 09-22-00 ACQUIRED 01-16-98	2,090 68	2,770 75	680 07-	0 00
SIGMA-ALDRICH, CORP., COMMON STOCK, \$1 PAR	SOLD 09-22-00 ACQUIRED 01-22-98	2,990 65	4,000 00	1,009 35-	0 00
SOLETRON CORP COMMON STOCK	SOLD 09-22-00 ACQUIRED 01-12-99	4,565 59	2,224.59	2,341 00	0 00
SOUTHERN CO , COMMON STOCK, \$5 PAR	SOLD 09-22-00 ACQUIRED 01-16-98	3,065 64	2,514 50	551 14	0.00
SOUTHWEST AIRLINES CO , COMMON STOCK, \$1 PAR	SOLD 09-22-00 ACQUIRED 01-16-98	2,334 42	1,139.78	1,194.64	0.00
SPRINT CORP COM	SOLD 09-22-00 ACQUIRED 01-16-98				

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 07-02-96 ACQUIRED	2,471 91	1,875 95	595 96	0 00	SHARES SPRINT CORP PCS COM SER 1
09-22-00 SOLD 07-03-96 ACQUIRED	5,593 81	897 18	4,696 63	0 00	SHARES STANLEY WKS COM
09-22-00 SOLD 01-16-98 ACQUIRED	2,309 42	4,433 25	2,123 83-	0 00	SHARES STATE STR CORP COM
09-22-00 SOLD 01-16-98 ACQUIRED	11,871 60	5,527.00	6,344 60	0 00	SHARES; SUN MICROSYSTEMS INC, COMMON STOCK, \$ 00067 PAR
09-22-00 SOLD 01-16-98 ACQUIRED	35,398.82	3,371 81	32,027 01	0 00	SHARES SUN TRUST BANKS, INC, COMMON STOCK \$2 50 PAR
09-22-00 SOLD 01-22-98 ACQUIRED	4,928 08	6,836 38	1,908 30-	0 00	SHARES SYSCO CORP, COMMON STOCK, \$1 PAR

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DESCRIPTION OF PROPERTY			DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	100 00	SOLD	09-22-00	4,559 34	2,269.75	2,289 59	0.00
		ACQUIRED	01-16-98				
SYNOVUS FINL CORP COMMON STOCK							
SHARES.	100 00	SOLD	09-22-00	2,046.93	2,105 50	58 57-	0.00
		ACQUIRED	01-16-98				
TJX COS INC COMMON NEW							
SHARES	100.00	SOLD	09-22-00	2,209.42	1,779 13	430 29	0 00
		ACQUIRED	01-16-98				
TRW INC COM							
SHARES.	100.00	SOLD	09-22-00	4,265 60	5,242.63	977 03-	0.00
		ACQUIRED	01-16-98				
TARGET CORP COM							
SHARES	200 00	SOLD	09-22-00	5,281.32	3,522 87	1,758 45	0.00
		ACQUIRED	01-16-98				
TELEDYNE TECHNOLOGIES INC COM							
SHARES:	1.00	SOLD	09-22-00	25 52	14.36	11.16	0 00
		ACQUIRED	01-22-98				
TEKTRONIX, INC COMMON STOCK, NO PAR							

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100.00	09-22-00 SOLD 01-16-98 ACQUIRED	7,359.25	3,989.50	3,369.75	0.00
TELLABS, INC, COMMON STOCK, NO PAR					
SHARES 100 00	09-22-00 SOLD 01-16-98 ACQUIRED	4,893.58	2,485.37	2,408.21	0.00
TENET HEALTHCARE CORP COM					
SHARES: 100 00	09-22-00 SOLD 12-22-97 ACQUIRED	3,496.88	3,182.14	314.74	0.00
TEXACO, INC COMMON STOCK, \$6 25 PAR					
SHARES: 100 00	09-22-00 SOLD 05-20-96 ACQUIRED	5,090.58	4,344.85	745.73	0.00
TEXAS INSTRS INC COM					
SHARES: 300 00	09-22-00 SOLD 01-16-98 ACQUIRED	16,790.44	3,395.25	13,395.19	0.00
TEXTRON, INC, COMMON STOCK, \$ 25 PAR					
SHARES: 100 00	09-22-00 SOLD 07-17-97 ACQUIRED	4,653.09	6,707.14	2,054.05-	0.00

#REORG TIME WARNER STK MERGER TO AOL  
 TIME WARNER INC 2157776 21 5 EFF 1/11/01

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 ACQUIRED	15,743 47	6,014 50	9,728.97	0 00	SHARES; SOLD 200.00 ACQUIRED 01-16-98 TOSCO CORPORATION COMMON STOCK NEW
09-22-00 ACQUIRED	3,003.14	2,660.25	342 89	0 00	SHARES; SOLD 100.00 ACQUIRED 09-17-99 TOYS "R" US, INC, (HOLDING COMPANY) \$ 10 PAR
09-22-00 ACQUIRED	1,721 94	2,692 63	970.69-	0 00	SHARES; SOLD 100 00 ACQUIRED 01-22-98 TRIBUNE CO, COMMON STOCK, NO PAR
09-22-00 ACQUIRED	3,921 86	3,085.37	836 49	0.00	SHARES; SOLD 100 00 ACQUIRED 01-22-98 TYCO INTL LTD NEW COM
09-22-00 ACQUIRED	15,196 74	6,565 49	8,631.25	0 00	SHARES. SOLD 300 00 ACQUIRED 01-16-98 U.S AIR GROUP, COMMON STOCK, \$1 PAR
09-22-00 ACQUIRED	3,228 14	6,236 38	3,008 24-	0 00	SHARES; SOLD 100 00 ACQUIRED 01-22-98 UST INC COMMON STOCK

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28% RATE GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD	2,003 18	3,495.75	1,492 57-	0 00	SHARES ADR UNILEVER N V NEW YORK SHS NEW
01-16-98 ACQUIRED					
09-22-00 SOLD	9,306.18	13,318 49	4,012.31-	0.00	SHARES: UNISYS CORP., COMMON STOCK
01-16-98 ACQUIRED					
09-22-00 SOLD	1,084 46	1,595.75	511 29-	0.00	SHARES: UNITED TECHNOLOGIES CORP COM
01-16-98 ACQUIRED					
09-22-00 SOLD	6,553 03	3,496.00	3,057 03	0.00	SHARES: UNITEDHEALTH GROUP INC COM
12-23-97 ACQUIRED					
09-22-00 SOLD	9,777 92	4,852.00	4,925 92	0.00	SHARES VERIZON COMMUNICATIONS COM
01-16-98 ACQUIRED					
09-22-00 SOLD	26,006 13	26,912 25	906 12-	0.00	SHARES: VIACOM COMMON STOCK CL B
01-16-98 ACQUIRED					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 01-16-98 ACQUIRED	17,141 39	6,556 31	10,585 08	0 00	SHARES 299 58
09-22-00 SOLD 01-16-98 ACQUIRED	24 03	11.60	12.43	0 00	SHARES; 0.42
09-22-00 SOLD 01-16-98 ACQUIRED	45,421 48	18,121 50	27,299 98	0.00	SHARES 900 00
09-22-00 SOLD 01-16-98 ACQUIRED	7,906 23	3,245.75	4,660 48	0 00	SHARES 200 00
09-22-00 SOLD 01-16-98 ACQUIRED	3,646.87	3,449.85	197 02	0 00	SHARES 100.00
09-22-00 SOLD 10-30-97 ACQUIRED	1,809.43	3,042.76	1,233 33-	0.00	SHARES; 100.00
					WAL-MART STORES, INC. COMMON STOCK, \$ 10 PAR
					WALGREEN CO. COMMON STOCK, \$1 25 PAR
					WASHINGTON MUT INC COM
					WASTE MGMT INC DEL COM STK
					WELLS FARGO & CO NEW COM STK



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DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, WEYERHAEUSER CO , COMMON STOCK, #1 875 PAR	09-22-00 SOLD 300 00	13,565 54	7,678.57	5,886 97	0 00
SHARES; ACQUIRED 08-27-97	09-22-00 SOLD 100 00	4,521 85	3,727.00	794 85	0 00
WEYERHAEUSER CO , COMMON STOCK, #1 875 PAR	09-22-00 SOLD 100 00	3,946 86	5,776.32	1,829.46-	0 00
SHARES; ACQUIRED 10-14-97	09-22-00 SOLD 100 00	3,996 86	2,695 75	1,301.11	0 00
WILLIAMS COMPANY INC COMMON STOCK #1 PAR	09-22-00 SOLD 100.00	1,415 70	4,577.00	3,161 30-	0 00
SHARES ACQUIRED 01-16-98	09-22-00 SOLD 500 00	13,218.30	6,274 13	6,944 17	0 00
WORLD COM INC/WORLDCO	09-22-00 SOLD 500 00	13,218.30	6,274 13	6,944 17	0 00
SHARES; ACQUIRED 09-09-96	09-22-00 SOLD 500 00	13,218.30	6,274 13	6,944 17	0 00
WORTHINGTON INDS INC COM	09-22-00 SOLD 500 00	13,218.30	6,274 13	6,944 17	0 00
SHARES; ACQUIRED 09-09-96	09-22-00 SOLD 500 00	13,218.30	6,274 13	6,944 17	0 00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
XEROX CORP COMMON STOCK, \$1 PAR	09-22-00 SOLD 01-22-98 ACQUIRED	934 46	1,715.63	781 17-	0 00
XCEL ENERGY INC COM	09-22-00 SOLD 07-24-97 ACQUIRED	1,653 19	3,940.50	2,287 31-	0 00
#REORG US BANCORP DEL INC STK MERGER TO US BANCORP DEL 2952468 EFF 2/27/01	09-22-00 SOLD 01-16-98 ACQUIRED	2,609 41	2,757 25	147 84-	0 00
USX - MARATHON GROUP COMMON STOCK NEW	09-22-00 SOLD 01-16-98 ACQUIRED	4,218 85	7,343 00	3,124.15-	0.00
HEALTHSOUTH CORP COM STK	09-22-00 SOLD 01-16-98 ACQUIRED	2,709 40	3,283 25	573.85-	0.00
BANK ONE CORP COM	09-22-00 SOLD 12-19-97 ACQUIRED	746 97	2,690 48	1,943 51-	0.00

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DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
09-22-00 SOLD 01-16-98 ACQUIRED	7,193 76	9,616 67	2,422 91-	0 00	OWENS CORNING COMMON STOCK SHARES, 200 00
09-29-00 SOLD 01-16-98 ACQUIRED	261.49	2,789.50	2,528.01-	0 00	OWENS CORNING COMMON STOCK SHARES, 100 00
09-29-00 SOLD 01-22-98 ACQUIRED	522 98	5,616 50	5,093 52-	0 00	ARCHER-DANIELS-MIDLAND CO , COMMON STOCK NO PAR SHARES: 200.00
09-29-00 SOLD 01-16-98 ACQUIRED	4 37	9.24	4.87-	0 00	SHARES: 0 50 #REORG/BESTFOODS COM CASH MERGER a 73.0 EFF 10-04-00
10-02-00 SOLD 01-16-98 ACQUIRED	14,560.01	10,083.25	4,476 76	0 00	SHARES: 200 00 DUN & BRADSTREET CORP DEL NEW COM
10-02-00 SOLD 01-22-98 ACQUIRED	87,360 09	60,480.78	26,879 31	0 00	SHARES 1,200 00
10-03-00 SOLD 01-16-98 ACQUIRED	908 31	689 12	219 19	0 00	SHARES. 50 00

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

1,153 23

COST  
 BASIS

3,388 34

SALES  
 PROCEEDS

4,541 57

DATE SOLD/  
 ACQUIRED

SOLD 10-03-00  
 ACQUIRED 01-22-98

DESCRIPTION OF PROPERTY

SHARES

250 00

TYCO INTL LTD NEW COM

SHARES

253.70

SOLD 10-19-00  
 ACQUIRED 01-16-98

SHARES

46 30

TYCO INTL LTD NEW COM

SHARES

0 52

SOLD 10-23-00  
 ACQUIRED 01-16-98

#REGORG UNICOM CORP CASH & STK MERGER TO  
 EXELON CORP 2313162 @ 875 CASH RATE 3.0  
 EFF 10/20/00

SHARES

900 00

SOLD 10-24-00  
 ACQUIRED 01-22-98

AVAYA INC COM

SHARES

0 49

SOLD 10-30-00  
 ACQUIRED 01-16-98

#REGORG COLUMBIA ENERGY GROUP COM  
 NISOURCE INC CASH & STK MERGER 2654631  
 STOCK @ 0 CASH RATE 70 @ EFF 11/1/00

0 00

1 54

6.08

7 62

0.00

2,700 00

28,030 50

30,730.50

0 00

12 61

11.38

23.99

0.00

961 39

1,158 96

2,120.35

0.00

6,066 23

5,552.21

11,618.44

0.00

1,153 23

3,388 34

4,541 57

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SHARES: 400 00	SOLD 11-01-00 ACQUIRED 06-30-97	28,737 04	17,816 00	10,921.04	0 00
#REORG/UBS AG COM MANDATORY EXCHANGE TO UBS AG NEW TNT 2477344 EFF 7/13/01					
SHARES: 396 00	SOLD 11-03-00 ACQUIRED 06-03-99	55,974 13	34,346 25	21,627 88	0 00
EXELON CORP COM					
SHARES: 0 50	SOLD 11-03-00 ACQUIRED 01-22-98	29 94	11 67	18 27	0.00
CITIGROUP INC COM					
SHARES: 100 00	SOLD 11-16-00 ACQUIRED 04-11-97	5,167 07	2,063 49	3,103 58	0 00
DUN & BRADSTREET CORP DEL NEW COM					
SHARES: 100 00	SOLD 11-16-00 ACQUIRED 01-22-98	2,435.91	1,355 34	1,080.57	0.00
INTERNATIONAL BUSINESS MACHS CORP COM					
SHARES: 100.00	SOLD 11-16-00 ACQUIRED 01-16-98	9,823.17	5,244.75	4,578.42	0 00
MAY DEPARTMENT STORES CO , COMMON STOCK, \$ 50 PAR					

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 11-16-00 ACQUIRED 01-16-98	2,898 40	3,434 67	536 27-	0 00
SHARES	SOLD 11-16-00 ACQUIRED 01-22-98	2,898 40	3,524 25	625 85-	0 00
MICROSOFT CORP COM SHARES:	SOLD 11-16-00 ACQUIRED 08-12-96	6,893 52	1,562 95	5,330 57	0 00
WACHOVIA CORP NEW COM #REORG WACHOVIA CORP STK MERGER TO WACHOVIA CORP NEW COM 2-893758 & WACHOVIA CORP 2-893760 9-1-01	SOLD 11-16-00 ACQUIRED 01-16-98	5,017 08	7,789 50	2,772 42-	0 00
ARMSTRONG HLDS INC COM ISIN US0423841073	SOLD 11-16-00 ACQUIRED 01-22-98	237 49	14,479 00	14,241 51-	0 00
#REORG SEAGATE TECH CASH & STK MERGER TO ESC 2814333 01 EFF 11/22/00	SOLD 11-21-00 ACQUIRED 05-28-97	59,398 02	53,376 00	6,022 02	0 00
ABBOTT LABORATORIES, COMMON STOCK, NO PAR					

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DESCRIPTION OF PROPERTY  
 DATE SOLD/  
 ACQUIRED  
 SALES  
 PROCEEDS  
 COST  
 BASIS  
 GAIN/LOSS  
 28% RATE  
 GAIN/LOSS

ALCOA INC COM STK	SHARES	100 00	11-22-00	01-16-98	5,354 57	3,526 00	1,828 57	0 00
GENERAL ELEC CO COM	SHARES	100.00	11-22-00	01-16-98	2,610.91	1,672 38	938 53	0 00
MARSH & MC LENNAN CO'S INC , COMMON STOCK, #1 PAR	SHARES	100 00	11-22-00	01-16-98	4,854 58	2,463 17	2,391 41	0 00
ORACLE CORPORATION COM	SHARES	100 00	11-22-00	01-16-98	11,135 62	4,880 50	6,255 12	0 00
QUEST COMMUNICATIONS INTL INC COM	SHARES	100.00	11-22-00	01-16-98	2,231 17	326 38	1,904 79	0.00
VERIZON COMMUNICATIONS COM	SHARES	100 00	11-22-00	01-16-98	3,898 37	2,751.51	1,146.86	0 00

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DESCRIPTION OF PROPERTY  
 DATE SOLD/ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

SHARES	SOLD 11-22-00	5,342 07	4,485.37	856 70	0.00	
WALGREEN CO, COMMON STOCK, \$1.25 PAR	ACQUIRED 01-16-98					
SHARES	SOLD 11-22-00	4,385 85	1,622 88	2,762 97	0.00	
WELLS FARGO & CO NEW COM STK	ACQUIRED 01-16-98					
SHARES	SOLD 11-22-00	4,323 35	3,727 00	596 35	0.00	
AMERICAN INTERNATIONAL GROUP, INC COMMON STOCK, \$2 50 PAR	ACQUIRED 01-16-98					
SHARES	SOLD 11-30-00	9,690 42	3,614 06	6,076 36	0.00	
CISCO SYS INC COMMON STOCK	ACQUIRED 01-16-98					
SHARES	SOLD 11-30-00	4,781 09	531.01	4,250 08	0.00	
#REG FLORIDA PROGRESS CORP CASH MERGER \$4.0 EFF 11/30/00	ACQUIRED 04-21-97					
SHARES	SOLD 11-30-00	27,400 33	21,176.25	6,224 08	0.00	
INTEL CORP CAPITAL STOCK \$ 001 PAR	ACQUIRED 06-21-99					



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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00	SOLD 11-30-00 ACQUIRED 09-09-97	3,799 87	2,425 92	1,373 95	0 00
MERCK & CO INC., COMMON STOCK, \$.02 7/9 PAR					
SHARES: 100 00	SOLD 11-30-00 ACQUIRED 09-30-96	9,265 44	3,511 20	5,754 24	0 00
#REORG/UBS AG COM MANDATORY EXCHANGE TO UBS AG NEW TNT 2477344 EFF 7/13/01					
SHARES: 0.32	SOLD 12-04-00 ACQUIRED 06-03-99	45 69	27.75	17 94	0.00
CITIGROUP INC COM					
SHARES: 0.05	SOLD 12-06-00 ACQUIRED 04-11-97	2 49	1 03	1.46	0 00
ADR VIVENDI UNIVERSAL SPONSORED ADR NEW					
SHARES: 240 00	SOLD 12-08-00 ACQUIRED 01-16-98	15,171 99	10,262 25	4,909 74	0 00
SHARES: 1,280.00	SOLD 12-08-00 ACQUIRED 01-22-98	80,917 30	54,882.08	26,035 22	0 00
GRACE W R & CO DEL NEW COM STK					

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
12-08-00 SOLD 10-28-97 ACQUIRED	491 98	4,400 29	3,908 31-	0.00	SHARES; 300.00 CITIGROUP INC COM
12-08-00 SOLD 04-11-97 ACQUIRED	5,147 82	2,063.50	3,084 32	0.00	SHARES. 100 00 AMERICAN ELECTRIC POWER CO , INC , COMMON STOCK, \$6.50 PAR
12-08-00 SOLD 01-16-98 ACQUIRED	4,516.59	5,014 50	497 91-	0.00	SHARES. 100 00 AUTOMATIC DATA PROCESSING INC COM
12-08-00 SOLD 01-16-98 ACQUIRED	6,297 79	2,982 25	3,315 54	0.00	SHARES; 100 00 BETHLEHEM STEEL CORP , COMMON STOCK, \$8 PAR
12-08-00 SOLD 01-16-98 ACQUIRED	203 24	877 00	673.76-	0.00	SHARES; 100 00 BOEING CO , CAPITAL STOCK, \$5 PAR
12-08-00 SOLD 01-22-98 ACQUIRED	1,016.22	4,197 50	3,181 28-	0.00	SHARES. 500 00
12-08-00 SOLD 09-30-98 ACQUIRED	203 24	828 00	624 76-	0.00	SHARES; 100 00

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 07-01-00 THROUGH 06-30-01

DESCRIPTION OF PROPERTY DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

SHARES; 100.00 SOLD 12-08-00 ACQUIRED 01-16-98 6,991 51 4,570 75 2,620 76 0.00

CISCO SYS INC COMMON STOCK SHARES; 100.00 SOLD 12-08-00 ACQUIRED 04-21-97 5,237 32 531 01 4,706 31 0.00

COCA COLA CO COM SHARES; 100.00 SOLD 12-08-00 ACQUIRED 01-16-98 6,085.29 6,502 00 416 71- 0.00

CROWN CORK & SEAL CO, INC, COMMON STOCK, \$5 PAR SHARES; 100 00 SOLD 12-08-00 ACQUIRED 01-16-98 313 99 4,945 75 4,631.76- 0.00

GENERAL ELEC CO COM SHARES; 600 00 SOLD 12-08-00 ACQUIRED 01-22-98 1,883.93 29,280 78 27,396 85- 0.00

HEWLETT-PACKARD CO, COMMON STOCK, \$1 PAR SHARES; 100.00 SOLD 12-08-00 ACQUIRED 01-16-98 5,516 56 2,463 17 3,053.39 0.00

28% RATE GAIN/LOSS

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: HONEYWELL INTL INC COM STK	SOLD 12-08-00 ACQUIRED 01-16-98	3,535 38	2,507.82	827.56	0.00
SHARES: #REORG KAUFMAN & BROAD HOME CORP COM N/C TO KB HOME EFF 1-17-2001 SEE SECURITY #2-447812	SOLD 12-08-00 ACQUIRED 01-16-98	5,447.81	3,670 75	1,777 06	0 00
SHARES: ELI LILLY & CO COM	SOLD 12-08-00 ACQUIRED 01-22-98	3,447 88	2,573 88	874.00	0 00
SHARES: MC DONALDS CORP. COMMON STOCK, NO PAR	SOLD 12-08-00 ACQUIRED 01-16-98	9,253.94	6,708 25	2,545 69	0.00
SHARES: MICROSOFT CORP COM	SOLD 12-08-00 ACQUIRED 01-16-98	2,897 90	2,372 87	525 03	0 00
SHARES: MINNESOTA MINING AND MANUFACTURING CO , COMMON STOCK, NO PAR	SOLD 12-08-00 ACQUIRED 08-12-96	5,443 56	1,562 95	3,880 61	0 00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
12-08-00 SOLD 01-16-98 ACQUIRED	11,691 36	8,364 50	3,326 86	0 00	SHARES, NATIONAL CITY CORP COM
12-08-00 SOLD 01-22-98 ACQUIRED	2,635 41	2,974 44	339 03-	0 00	SHARES NEXTEL COMMUNICATIONS INC CL A COM STK
12-08-00 SOLD 03-31-98 ACQUIRED	3,243 64	1,681 25	1,562 39	0 00	SHARES, NORTHERN TR CORP COM
12-08-00 SOLD 05-12-98 ACQUIRED	8,618.46	3,550 00	5,068 46	0.00	SHARES, OWENS ILL INC COMMON STOCK NEW
12-08-00 SOLD 01-16-98 ACQUIRED	677.98	7,041.50	6,363 52-	0 00	SHARES; PEOPLESFT, INC, COM
12-08-00 SOLD 01-22-98 ACQUIRED	2,033.92	21,480 78	19,446 86-	0 00	SHARES PFIZER INC, COMMON STOCK, \$ 11 1/9 PAR
12-08-00 SOLD 10-01-98 ACQUIRED	4,418 60	3,250 00	1,168 60	0 00	SHARES

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SBC COMMUNICATIONS INC COM	SOLD 12-08-00	5,166 57	2,471 75	2,694.82	0 00
SHARES:	ACQUIRED 08-19-96				
TOSCO CORPORATION COMMON STOCK NEW	SOLD 12-08-00	2,947 90	2,660 25	287 65	0 00
SHARES:	ACQUIRED 09-17-99				
WAL-MART STORES, INC COMMON STOCK, \$ 10 PAR	SOLD 12-08-00	5,441 56	2,013 50	3,428.06	0 00
SHARES:	ACQUIRED 01-16-98				
YAHOO INC COMMON STOCK	SOLD 12-08-00	3,537.38	17,337 50	13,800.12-	0.00
SHARES:	ACQUIRED 12-07-99				
AT & T CORP COM STK	SOLD 12-11-00	2,047.93	9,359 67	2,311.74-	0 00
SHARES	ACQUIRED 01-16-98				

#REGORG AMERICA ONLINE STK MERGER TO AOL  
 TIME WARNER 2157776 21 EFF 1/11/01

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
AMERICAN HOME PRODUCTS CORP., COMMON STOCK, # 33 1/3 PAR	SOLD 12-11-00 ACQUIRED 12-31-98	4,846.83	4,000 00	846.83	0.00
SHARES, 100 00					
AMERICAN HOME PRODUCTS CORP., COMMON STOCK, # 33 1/3 PAR	SOLD 12-11-00 ACQUIRED 01-16-98	5,479 81	4,051 00	1,428 81	0.00
SHARES, 100 00					
AMSOUTH BANCFORPORATION, COMMON STOCK, # 1 PAR	SOLD 12-11-00 ACQUIRED 10-26-99	1,541 69	2,390 50	848.81-	0 00
SHARES, 100 00					
BANK AMER CORP COM	SOLD 12-11-00 ACQUIRED 01-16-98	4,341 60	6,110 37	1,768 77-	0 00
SHARES, 100 00					
BARBICK GOLD CORP	SOLD 12-11-00 ACQUIRED 01-16-98	1,577 94	1,870 75	292.81-	0 00
SHARES, 100 00					
BRISTOL MYERS SQUIBB CO COMMON STOCK	SOLD 12-11-00 ACQUIRED 10-27-92	6,654 02	1,676.75	4,977.27	0.00
SHARES, 100 00					
CENDANT CORP COM STK					

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SHARES	SOLD 12-11-00 ACQUIRED 10-20-97	1,004 21	2,853 04	1,848 83-	0 00
#REORG CERIDIAN CORP REV SPLIT & N/C TO ARBITRON INC 2023796 EFF 3/30/01	SOLD 12-11-00 ACQUIRED 01-16-98	2,291 67	2,501.00	9.33-	0 00
CISCO SYS INC COMMON STOCK	SOLD 12-11-00 ACQUIRED 04-21-97	5,481.06	531 01	4,950 05	0 00
COMPAQ COMPUTER CORP, COMMON STOCK	SOLD 12-11-00 ACQUIRED 01-16-98	2,021 93	2,944 75	922 82-	0 00
DELPHI AUTOMOTIVE SYS CORP COM	SOLD 12-11-00 ACQUIRED 11-26-96	1,172 96	1,303.43	130 47-	0.00
DOVER CORP, COMMON STOCK #1 PAR	SOLD 12-11-00 ACQUIRED 01-16-98	4,035 36	3,495 75	539 61	0 00
EXXON MOBIL CORP COM					



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
12-11-00 SOLD 01-16-98 ACQUIRED	8,572 71	6,133 25	2,439.46	0.00	SHARES; #REORG FIRSTAR CORP NEW WIS STK MERGER TO US BANCORP DEL 2952468 EFF 2/27/01
12-11-00 SOLD 03-13-98 ACQUIRED	2,172 92	2,545.08	372.16-	0 00	SHARES; GENERAL ELEC CO COM
12-11-00 SOLD 01-16-98 ACQUIRED	11,058 13	4,926.33	6,131 80	0 00	SHARES; GILLETTE CO, COMMON STOCK, \$1 PAR
12-11-00 SOLD 01-16-98 ACQUIRED	3,197 89	5,116 63	1,918 74-	0 00	SHARES; INTEL CORP CAPITAL STOCK \$ .001 PAR
12-11-00 SOLD 09-09-97 ACQUIRED	3,743 62	2,425 92	1,317 70	0 00	SHARES; LUCENT TECHNOLOGIES INC COM STOCK
12-11-00 SOLD 01-16-98 ACQUIRED	1,666.69	1,784.61	117 92-	0 00	SHARES; MBNA CORP COMMON STOCK

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

1,706 96

COST  
 BASIS

1,959 66

SALES  
 PROCEEDS

3,666 62

DATE SOLD/  
 ACQUIRED

SOLD 12-11-00  
 ACQUIRED 01-16-98

SHARES: 100 00

MGIC INVT CORP WIS COM

SHARES:

100.00

SOLD 12-11-00  
 ACQUIRED 01-16-98

MERRILL LYNCH & CO., INC., COMMON  
 STOCK, #1 3/3 1/3 PAR

SHARES:

100.00

SOLD 12-11-00  
 ACQUIRED 01-16-98

#REORG NABISCO GROUP HLDG CORP COM STK  
 CASH MERGER @ 30.0 EFF 12-12-00

SHARES:

1,600.00

SOLD 12-11-00  
 ACQUIRED 09-15-98

NORTEL NETWORKS CORP NEW COM

SHARES:

100.00

SOLD 12-11-00  
 ACQUIRED 09-30-98

ORACLE CORPORATION COM

SHARES:

100 00

SOLD 12-11-00  
 ACQUIRED 01-16-98

1,066.13

4,297.85

3,231.72

0 00

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

2,867.27

COST  
 BASIS

326 37

SALES  
 PROCEEDS

3,193.64

DATE SOLD/  
 ACQUIRED

12-11-00 SOLD  
 01-16-98 ACQUIRED

100 00

SHARES,

PFIZER INC., COMMON STOCK, 1/9 PAR

12-11-00 SOLD  
 01-16-98 ACQUIRED

100 00

SHARES

PHILIP MORRIS COS INC COM

12-11-00 SOLD  
 06-16-97 ACQUIRED

100 00

SHARES,

POLAROID CORP, COMMON STOCK, 1/9 PAR

12-11-00 SOLD  
 01-22-98 ACQUIRED

200.00

SHARES,

QUALCOMM INC COM

12-11-00 SOLD  
 07-21-99 ACQUIRED

100 00

SHARES,

RUSSELL CORP COM

12-11-00 SOLD  
 01-22-98 ACQUIRED

200.00

SHARES,

ST PAUL COS INC COM

0.00

1,935 10-

4,904.00

2,968 90

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, SCHLUMBERGER LTD COM ISIN AN8068571086	SOLD 12-11-00 ACQUIRED 01-22-98	5,516.56	4,282.25	1,234.31	0.00
SHARES, SOUTHERN CO, COMMON STOCK, \$5 PAR	SOLD 12-11-00 ACQUIRED 01-16-98	7,229.00	6,943.01	285.99	0.00
SHARES, SPRINGS INDUSTRIES, INC, CLASS A COMMON STOCK	SOLD 12-11-00 ACQUIRED 01-16-98	2,879.15	2,514.50	364.65	0.00
SHARES, STAPLES INC (RETAIL & DELIVERY)	SOLD 12-11-00 ACQUIRED 02-09-98	2,698.41	5,484.25	2,785.84	0.00
SHARES, SUNOCO INC COM	SOLD 12-11-00 ACQUIRED 10-06-98	1,287.45	1,825.00	537.55	0.00
SHARES, TEXACO, INC COMMON STOCK, \$6.25 PAR	SOLD 12-11-00 ACQUIRED 01-22-98	2,979.15	3,970.75	991.60	0.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	12-11-00 SOLD 100.00	5,885.30	4,344.85	1,540.45	0.00
TEXAS INSTRS INC COM	12-11-00 SOLD 100.00	5,185.32	1,131.75	4,053.57	0.00
#REORG TIME WARNER STK MERGER TO AOL	12-11-00 SOLD 100.00	7,172.76	3,007.25	4,165.51	0.00
TYCO INTL LTD NEW COM	12-11-00 SOLD 100.00	5,785.30	2,503.16	3,282.14	0.00
SHARES	12-11-00 SOLD 100.00	2,522.91	3,042.76	519.85-	0.00
WASTE MGMT INC DEL COM STK	12-11-00 SOLD 100.00	1,637.44	1,254.83	382.61	0.00
WORLD COM INC/WORLDCO	12-11-00 SOLD 100.00	1,637.44	1,254.83	382.61	0.00
SHARES	12-11-00 SOLD 100.00	1,637.44	1,254.83	382.61	0.00
#REORG FT JAMES CORP CSH & STK MRGR TO GA PAC CORP (GA PAC GRP COM 2347112	12-11-00 SOLD 100.00	1,637.44	1,254.83	382.61	0.00
GA PAC CORP (GA PAC GRP COM 2347112	12-11-00 SOLD 100.00	1,637.44	1,254.83	382.61	0.00
#REORG CASH 029 EFF 11/27/00	12-11-00 SOLD 100.00	1,637.44	1,254.83	382.61	0.00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
12-13-00 ACQUIRED 01-16-98	3,615 22	3,995 75	380 53-	0 00	SHARES, ANHEUSER BUSCH COS INC COM
12-13-00 SOLD 01-22-98	32,536 94	38,493 00	5,956 06-	0 00	SHARES, CITIGROUP INC COM
12-13-00 SOLD 09-30-98	3,615 22	3,284 25	330 97	0 00	SHARES, ANHEUSER BUSCH COS INC COM
12-15-00 SOLD 04-11-97	4,804 08	2,063.49	2,740.59	0.00	SHARES, BELLSOUTH CORP, COMMON STOCK
12-15-00 SOLD 01-16-98	4,885 33	2,219 75	2,665 58	0 00	SHARES, CHEVRON CORP, COMMON STOCK
12-15-00 SOLD 01-16-98	4,397 85	2,910 38	1,487 47	0.00	SHARES, COLGATE-PALMOLIVE CO, COMMON STOCK, #1 PAR
12-15-00 SOLD 01-16-98	7,822 73	7,695 75	126 98	0 00	

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28% RATE  
 GAIN/LOSS

0.00

0.00

0.00

0.00

0.00

GAIN/LOSS

652 57

2,333 33

1,993.37

2,591 59-

2,172.17

COST  
 BASIS

2,507 82

2,627 00

3,406 45

5,020.75

3,541.63

SALES  
 PROCEEDS

3,160 39

4,960 33

5,399 82

2,429 16

5,713 80

DATE SOLD/  
 ACQUIRED

SOLD 12-15-00  
 ACQUIRED 01-16-98

SOLD 12-15-00  
 ACQUIRED 01-16-98

SOLD 12-15-00  
 ACQUIRED 01-16-98

SOLD 12-15-00  
 ACQUIRED 01-16-98

SOLD 12-15-00  
 ACQUIRED 01-16-98

100 00

100 00

100 00

100.00

100 00

SHARES:

SHARES:

SHARES:

SHARES:

SHARES:

DESCRIPTION OF PROPERTY

COMPUTER ASSOCIATES INTERNATIONAL, INC ,  
 COMMON STOCK, \$.10 PAR

FIFTH THIRD BANCORP , COMMON STOCK,  
 \$.66 PAR

FIRST DATA CORP COMMON STOCK

HEWLETT-PACKARD CO , COMMON STOCK, \$1  
 PAR

HOME DEPOT INC , COMMON STOCK,  
 \$.05 PAR

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28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS

3,057 87

INTERNATIONAL BUSINESS MACHS CORP COM

SHARES.

100 00

SOLD  
 ACQUIRED

12-15-00  
 02-19-97

SALES  
 PROCEEDS

4,191 61

COST  
 BASIS

1,133 74

3,534 20

LEHMAN BROTHERS HLDGS INC COM

SHARES.

100.00

SOLD  
 ACQUIRED

12-15-00  
 01-16-98

8,778.95

5,244 75

4,676 04

NEW YORK TIMES CO CL A ISIN  
 #US6501111073

SHARES.

100.00

SOLD  
 ACQUIRED

12-15-00  
 12-06-96

6,135 29

1,459 25

773 42

ORACLE CORPORATION COM

SHARES.

100.00

SOLD  
 ACQUIRED

12-15-00  
 01-22-98

4,054 11

3,280 69

5,122.05

PEPSICO INC COM

SHARES.

200 00

SOLD  
 ACQUIRED

12-15-00  
 01-16-98

5,774 80

652.75

1,183 33

PHARMACIA CORP COM

SHARES

100.00

SOLD  
 ACQUIRED

12-15-00  
 01-16-98

4,879 08

3,695.75

0 00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
12-15-00 SOLD 01-16-98 ACQUIRED	5,960 30	2,963 87	2,996 43	0 00	SHARES · PFIZER INC., COMMON STOCK, \$ 11 1/9 PAR
12-15-00 SOLD 01-16-98 ACQUIRED	4,541 59	2,559 00	1,982 59	0.00	SHARES · PHILIP MORRIS COS INC COM
12-15-00 SOLD 06-16-97 ACQUIRED	4,004 11	4,666 73	662 62-	0 00	SHARES; PROCTER & GAMBLE CO , COMMON STOCK, NO PAR
12-15-00 SOLD 01-16-98 ACQUIRED	7,135 26	7,895 75	760 49-	0 00	SHARES; SBC COMMUNICATIONS INC COM
12-15-00 SOLD 08-19-96 ACQUIRED	5,366 57	2,471 75	2,894.82	0 00	SHARES SARA LEE CORP , COMMON STOCK
12-15-00 SOLD 01-16-98 ACQUIRED	4,620.84	5,977 00	1,356.16-	0.00	SHARES; SCHERING-PLOUGH CORP COMMON STOCK, \$1 PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SEARS, ROEBUCK AND CO COMMON STOCK, \$ 75 PAR	SOLD 12-15-00 ACQUIRED 01-16-98	5,791.55	3,466.63	2,324.92	0.00
SHARES					
TXU CORP COM	SOLD 12-15-00 ACQUIRED 01-16-98	4,004.11	4,208.25	204.14	0.00
SHARES					
TARGET CORP COM	SOLD 12-15-00 ACQUIRED 01-16-98	3,122.89	1,761.44	1,361.45	0.00
SHARES					
VERIZON COMMUNICATIONS COM	SOLD 12-15-00 ACQUIRED 01-16-98	11,058.13	8,970.75	2,087.38	0.00
SHARES					
VIACOM COMMON STOCK CL B	SOLD 12-15-00 ACQUIRED 01-16-98	5,147.82	2,761.06	2,386.76	0.00
SHARES					
WAL-MART STORES, INC COMMON STOCK, \$ 10 PAR	SOLD 12-15-00 ACQUIRED 01-16-98				
SHARES					

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

2,971 83

GEORGIA PAC CORP COM-GEORGIA PAC GROUP

SHARES:

SOLD 12-15-00 0.84  
 ACQUIRED 01-16-98

SALES PROCEEDS 21 93  
 COST BASIS 23 69

1.76-

0.00

REGORG AETNA INC CASH MERGER 255 332247  
 EFF 12/13/00

SHARES:

SOLD 12-19-00 700.00  
 ACQUIRED 09-25-97

SALES PROCEEDS 49,057 57  
 COST BASIS 63,620 76

14,563 19-

0.00

MASSEY ENERGY CORP COM

SHARES:

SOLD 12-22-00 400 00  
 ACQUIRED 01-22-98

SALES PROCEEDS 4,064 14  
 COST BASIS 3,750 30

313 84

0.00

B&B T CORP COM

SHARES:

SOLD 12-29-00 100.00  
 ACQUIRED 01-16-98

SALES PROCEEDS 3,729 62  
 COST BASIS 2,982.25

747 37

0.00

BALL CORP., COMMON STOCK, \$2 50 PAR

SHARES:

SOLD 12-29-00 100 00  
 ACQUIRED 01-16-98

SALES PROCEEDS 4,604 59  
 COST BASIS 3,189 50

1,415 09

0.00

EXXON MOBIL CORP COM

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00 #REORG FIRST UNION NAME CHANGE TO WACHOVIA CORP 2893758 EFF 7/27/01	SOLD 12-29-00 ACQUIRED 01-16-98	8,691.96	6,133.25	2,558.71	0.00
SHARES: 100 00 HCA INC COM	SOLD 12-29-00 ACQUIRED 10-12-95	2,779.65	2,589.00	190.65	0.00
SHARES: 100.00 MASCO CORP COMMON STOCK, \$1 PAR	SOLD 12-29-00 ACQUIRED 08-01-97	4,599.35	3,037.00	1,562.35	0.00
SHARES: 100 00 PHELPS DODGE CORP, CAPITAL STOCK, \$6 25 PAR	SOLD 12-29-00 ACQUIRED 01-16-98	2,567.16	2,541.62	25.54	0.00
SHARES: 5 00 ROCKWELL INTL CORP NEW COM	SOLD 12-29-00 ACQUIRED 01-16-98	278.98	216.36	62.62	0.00
SHARES: 95 00 ROCKWELL INTL CORP NEW COM	SOLD 12-29-00 ACQUIRED 01-22-98	5,300.58	5,874.09	573.51-	0.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100.00 ROYAL DUTCH PETROLEUM N.Y. REGISTRY SH PAR N 1 25 GLDR	SOLD 12-29-00 ACQUIRED 01-16-98	4,760 84	4,261.48	499 36	0 00
SHARES: 100 00 SCHLUMBERGER LTD COM ISIN AN8068571086	SOLD 12-29-00 ACQUIRED 01-16-98	6,054 54	5,214 50	840 04	0.00
SHARES: 100 00 WHIRLPOOL CORP. COMMON STOCK, \$1 PAR	SOLD 12-29-00 ACQUIRED 01-16-98	7,991 98	6,943 02	1,048 96	0 00
SHARES: 100 00 EFUNDS CORP COM COM STK ISIN# US28224R1014	SOLD 12-29-00 ACQUIRED 01-16-98	9,767 09	5,458 25	691 16-	0 00
SHARES: 0 56 AXCELIS TECHNOLOGIES INC COM STK ISIN# US0545401095	SOLD 01-09-01 ACQUIRED 01-16-98	5.70	6 89	1 19-	0 00
SHARES: 0 60 AXCELIS TECHNOLOGIES INC COM STK ISIN# US0545401095	SOLD 01-11-01 ACQUIRED 10-06-95	4 89	3 49	1.40	0 00

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; AXCELIS TECHNOLOGIES INC COM STK ISIN# US0545401095	SOLD 01-12-01 ACQUIRED 10-06-95	0 08	0 06	0 02	0.00
SHARES EFUNDS CORP COM STK ISIN# US28224R1014	SOLD 01-12-01 ACQUIRED 10-06-95	5,298 57	2,743 10	2,555 47	0 00
SHARES GENERAL ELEC CO COM	SOLD 01-12-01 ACQUIRED 01-22-98	1,726 51	2,036 64	310 13-	0.00
SHARES KIMBERLY-CLARK CORP COMMON STOCK \$5 PAR	SOLD 02-06-01 ACQUIRED 01-16-98	4,758 34	2,463.17	2,295.17	0.00
SHARES TYCO INTL LTD NEW COM	SOLD 02-06-01 ACQUIRED 01-16-98	6,652 27	5,145 75	1,506 52	0.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MCDATA CORP CL A SHARES; 100.00	SOLD 02-06-01 ACQUIRED 01-16-98	6,093 29	2,503.16	3,590.13	0.00
DOW CHEMICAL CO, COMMON STOCK, \$2.50 PAR SHARES; 300.00	SOLD 02-07-01 ACQUIRED 01-16-98	10,312 15	2,391 33	7,920 82	0.00
CITIGROUP INC COM SHARES; 0.70	SOLD 02-13-01 ACQUIRED 01-16-98	23 37	21 47	1 90	0.00
AMERICAN EXPRESS CO, COMMON STOCK, \$60 SHARES 100.00	SOLD 02-14-01 ACQUIRED 04-11-97	5,361 82	2,063 50	3,298 32	0.00
AMERICAN HOME PRODUCTS CORP., COMMON STOCK, \$ 33 1/3 PAR SHARES 100.00	SOLD 02-14-01 ACQUIRED 11-11-96	4,660 84	1,655 83	3,005.01	0.00
BANK AMER CORP COM SHARES; 100.00	SOLD 02-14-01 ACQUIRED 01-16-98	5,846 80	4,051 00	1,795 80	0.00

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00 SOLD 02-14-01 ACQUIRED 01-16-98		5,076 83	6,110 38	1,033.55-	0 00
AUTOMATIC DATA PROCESSING INC COM					
SHARES: 100 00 SOLD 02-14-01 ACQUIRED 01-16-98		5,909.80	2,982 25	2,927.55	0.00
AUTOZONE INC COMMON STOCK					
SHARES: 100 00 SOLD 02-14-01 ACQUIRED 01-22-98		2,591.91	2,592 63	0 72-	0 00
CARNIVAL CORP COM					
SHARES: 100 00 SOLD 02-14-01 ACQUIRED 12-21-98		3,116 89	4,287 50	1,170 61-	0 00
COMCAST CORP CLASS A SPECIAL					
SHARES: 100.00 SOLD 02-14-01 ACQUIRED 01-16-98		4,262.35	1,651 00	2,611 35	0 00
DISNEY WALT CO COM					
SHARES: 100 00 SOLD 02-14-01 ACQUIRED 01-16-98		3,111 89	3,213 17	101 28-	0 00
DU PONT E I DE NEMOURS & CO COM STK					



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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
EASTMAN KODAK CO , COMMON STOCK, \$2.50 PAR	SOLD 02-14-01 ACQUIRED 04-05-95	4,245.85	1,957.24	2,288.61	0.00
FEDERATED DEPT STORES INC DEL COMMON STOCK	SOLD 02-14-01 ACQUIRED 01-16-98	4,542.84	5,914.50	1,371.66-	0.00
INTEL CORP CAPITAL STOCK \$ .001 PAR	SOLD 02-14-01 ACQUIRED 07-01-97	4,477.85	3,506.00	971.85	0.00
MGIC INVT CORP MIS COM	SOLD 02-14-01 ACQUIRED 09-09-97	3,418.63	2,425.92	992.71	0.00
MCDATA CORP CL A	SOLD 02-14-01 ACQUIRED 01-22-98	5,996.80	6,780.13	783.33-	0.00
MICROSOFT CORP COM	SOLD 02-14-01 ACQUIRED 01-16-98	3,658.31	916.67	2,741.64	0.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
ORACLE CORPORATION COM	SOLD 02-14-01 ACQUIRED 08-12-96	5,837.30	1,562.95	4,274.35	0.00
PHILIP MORRIS COS INC COM	SOLD 02-14-01 ACQUIRED 06-16-97	4,599.84	4,666.73	66.89-	0.00
QUALCOMM INC COM	SOLD 02-14-01 ACQUIRED 07-21-99	8,537.22	3,915.63	4,421.59	0.00
QUEST COMMUNICATIONS INTL INC COM	SOLD 02-14-01 ACQUIRED 01-16-98	3,904.86	2,751.51	1,153.35	0.00
MCDATA CORP CL A	SOLD 02-16-01 ACQUIRED 01-16-98	15.16	3.19	11.97	0.00
ABBOTT LABORATORIES, COMMON STOCK, NO PAR					

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; 100.00 SOLD 02-21-01	02-21-01	4,998.33	3,526 00	1,472 33	0.00
ACQUIRED 01-16-98					
BRISTOL MYERS SQUIBB CO COMMON STOCK					
SHARES; 100.00 SOLD 02-21-01	02-21-01	6,248 29	1,676 75	4,571 54	0.00
ACQUIRED 10-27-92					
CISCO SYS INC COMMON STOCK					
SHARES; 100.00 SOLD 02-21-01	02-21-01	2,512.41	531.01	1,981.40	0.00
ACQUIRED 04-21-97					
COCA COLA CO COM					
SHARES; 100.00 SOLD 02-21-01	02-21-01	5,490.31	6,502 00	1,011 69-	0.00
ACQUIRED 01-16-98					
DOW JONES & CO INC, COMMON STOCK #1 PAR					
SHARES 100.00 SOLD 02-21-01	02-21-01	6,153 29	5,145 75	1,007 54	0.00
ACQUIRED 01-22-98					
EXELON CORP COM					
SHARES; 100.00 SOLD 02-21-01	02-21-01	6,523 28	2,333 25	4,190.03	0.00
ACQUIRED 01-22-98					
GENERAL ELEC CO COM					

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DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
02-21-01 SOLD	4,728 34	2,463.17	2,265.17	0 00	JOHNSON & JOHNSON COMMON STOCK #1 PAR
01-16-98 ACQUIRED					SHARES: 100 00
02-21-01 SOLD	9,689 17	6,783 25	2,905 92	0 00	BURLINGTON NORTHN SANTA FE CORP COM
01-16-98 ACQUIRED					SHARES: 100.00
02-26-01 SOLD	3,036 39	2,916.29	120.10	0 00	ENERGY CORP NEW COMMON STOCK
01-22-98 ACQUIRED					SHARES: 100 00
02-26-01 SOLD	3,839 37	2,820 75	1,018 62	0 00	STILLWELL FINL INC COM
01-16-98 ACQUIRED					SHARES: 100 00
02-26-01 SOLD	3,472 38	2,734.13	738.25	0 00	AT & T CORP COM STK
04-01-99 ACQUIRED					SHARES: 100 00
02-28-01 SOLD	2,296 92	4,359.67	2,062 75-	0 00	DELL COMPUTER CORP COMMON STOCK
01-16-98 ACQUIRED					SHARES: 100 00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
02-28-01 SOLD 01-16-98 ACQUIRED	2,187 42	1,158 84	1,028.58	0 00	SHARES; KROGER CO , COMMON STOCK, #1 PAR
02-28-01 SOLD 01-16-98 ACQUIRED	2,956 90	2,372.87	564 03	0 00	SHARES; MCKESSON CORP
02-28-01 SOLD 01-22-98 ACQUIRED	2,904 90	6,744 09	3,839 19-	0.00	SHARES; MCKESSON CORP
02-28-01 SOLD 01-16-98 ACQUIRED	3,317 88	5,014 50	1,696 62-	0.00	SHARES TJX COS INC COMMON NEW
02-28-01 SOLD 01-16-98 ACQUIRED	3,054 89	1,779.12	1,275.77	0.00	SHARES TORCHMARK CORP , COMMON STOCK, #2 PAR
02-28-01 SOLD 01-22-98 ACQUIRED	2,420.91	1,893 19	527.72	0.00	SHARES MC DONALDS CORP, COMMON STOCK, NO PAR

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SHARES, UNOCAL CORP. COMMON STOCK, #8 1/3 PAR	SOLD 02-28-01 ACQUIRED 01-16-98	3,474.88	3,589.38	114.50-	0 00
SHARES, BANK ONE CORP COM	SOLD 02-28-01 ACQUIRED 01-16-98	3,522.88	3,695.75	172.87-	0 00
SHARES, US BANCORP	SOLD 03-15-01 ACQUIRED 03-13-98	11.63	25.45	13.82-	0 00
SHARES, CARDINAL HEALTH INC	SOLD 03-16-01 ACQUIRED 01-16-98	4,448.35	2,365.25	2,083.10	0 00
SHARES, BOEING CO., CAPITAL STOCK, #5 PAR	SOLD 03-16-01 ACQUIRED 01-22-98	4,448.35	2,330.88	2,117.47	0 00
SHARES, BOEING CO., CAPITAL STOCK, #5 PAR	SOLD 03-16-01 ACQUIRED 01-16-98	5,371.82	4,370.75	1,001.07	0 00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
GENERAL MOTORS CORP COMMON STOCK, #1 2/3 PAR	SOLD 03-16-01 ACQUIRED 01-22-98	10,743.64	9,060.26	1,683.38	0.00
SHARES: 200 00					
HEWLETT-PACKARD CO, COMMON STOCK, #1 PAR	SOLD 03-16-01 ACQUIRED 11-26-96	5,471.81	4,603.89	867.92	0.00
SHARES: 100 00					
MERCK & CO INC, COMMON STOCK, # 02 7/9 PAR	SOLD 03-16-01 ACQUIRED 01-16-98	8,420.71	7,523.45	897.26	0.00
SHARES: 300.00					
MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486	SOLD 03-16-01 ACQUIRED 09-30-96	7,141.76	3,511.20	3,630.56	0.00
SHARES: 100 00					
PFIZER INC, COMMON STOCK, # 11 1/9 PAR	SOLD 03-16-01 ACQUIRED 01-16-98	5,788.80	2,810.37	2,978.43	0.00
SHARES: 100 00					

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28% RATE  
 GAIN/LOSS

PAGE  
 130

0.00

0.00

0.00

0.00

0.00

0.00

GAIN/LOSS

1,174.87

2,423.61

5.55

990.01-

COST  
 BASIS

2,559.00

2,013.50

2,602.00

2,055.65

11.54

4,170.40

SALES  
 PROCEEDS

3,733.87

4,689.84

2,752.40

4,479.26

17.09

3,180.39

DATE SOLD/  
 ACQUIRED

03-16-01

03-16-01

03-30-01

03-30-01

03-30-01

03-30-01

03-30-01

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

01-16-98

DESCRIPTION OF PROPERTY

SHARES:

100.00

SOLD

ACQUIRED

01-16-98

ACQUIRED

01-16-98

SOLD

03-16-01

ACQUIRED

01-16-98

SOLD

03-30-01

ACQUIRED

05-27-99

SOLD

03-30-01

ACQUIRED

04-11-97

SOLD

03-30-01

ACQUIRED

04-16-97

SOLD

03-30-01

ACQUIRED

01-16-98

SOLD

03-30-01

ACQUIRED

01-16-98

SOLD

03-30-01

ACQUIRED

01-16-98

SOLD

03-30-01

ACQUIRED

01-16-98

SOLD

03-30-01

ACQUIRED

01-16-98

CITIGROUP INC COM

SHARES:

99.62

SHARES:

0.38

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

ALCAN INC

SHARES:

100.00

SHARES:

0.38

SHARES:

99.62

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

WAL-MART STORES, INC COMMON STOCK,  
 \$ .10 PAR

AFLAC INC COMMON STOCK

SHARES:

100.00

SHARES:

100.00

SHARES:

0.38

SHARES:

100.00

ALBERTSON'S, INC., COMMON STOCK, \$1 PAR

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00

SHARES:

100.00



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28% RATE  
 GAIN/LOSS

0 00

GAIN/LOSS

877.63

COST  
 BASIS

2,720.75

SALES  
 PROCEEDS

3,598.38

DATE SOLD/  
 ACQUIRED

SOLD 03-30-01  
 ACQUIRED 01-16-98

SHARES,

100.00

#REORG CERIDIAN CORP REV SPLIT & N/C TO  
 ARBITRON INC 2023796 EFF 3/30/01

SHARES

100 00

SOLD 03-30-01  
 ACQUIRED 01-16-98

SHARES

600 00

SOLD 03-30-01  
 ACQUIRED 01-22-98

EMC CORP COM COM

SHARES,

100 00

SOLD 03-30-01  
 ACQUIRED 01-16-98

GENERAL ELEC CO COM

SHARES,

100 00

SOLD 03-30-01  
 ACQUIRED 01-16-98

INTEL CORP CAPITAL STOCK \$ 001 PAR

SHARES:

100.00

SOLD 03-30-01  
 ACQUIRED 09-09-97

KLA-TENCOR CORP

0 00

205.24

2,425.92

2,631.16

0.00

1,721.19

2,463.17

4,184.36

0 00

2,444.98

493.42

2,938.40

0 00

2,646.77-

13,740.39

11,093.62

0.00

452.06-

2,301.00

1,848.94

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 03-30-01 ACQUIRED 01-16-98	3,931 11	1,907.25	2,023.86	0 00
MERRILL LYNCH & CO, INC, COMMON STOCK, \$1.33 1/3 PAR					
SHARES	SOLD 03-30-01 ACQUIRED 01-16-98	5,538 31	3,341 63	2,196.68	0.00
ONEOK INC COM STK					
SHARES	SOLD 03-30-01 ACQUIRED 01-16-98	4,087 36	3,658 25	429.11	0 00
ORACLE CORPORATION COM					
SHARES	SOLD 03-30-01 ACQUIRED 01-16-98	1,497 95	326 38	1,171 57	0.00
TOYS "R" US, INC, (HOLDING COMPANY) \$ .10 PAR					
SHARES	SOLD 03-30-01 ACQUIRED 01-22-98	2,508 41	2,692 63	184 22-	0.00
BRIGGS & STRATON CORP., CAPITAL STOCK, \$3 PAR					
SHARES	SOLD 04-02-01 ACQUIRED 01-22-98	3,628 87	4,414 50	785.63-	0 00
FIFTH THIRD BANCORP., COMMON STOCK, \$6.66 PAR					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
04-06-01 ACQUIRED 01-16-98	46 90	30.66	16 24	0 00	SHARES MIRANT CORP COM
04-13-01 SOLD 01-16-98	18 98	15 75	3 23	0 00	SHARES; MIRANT CORP COM
04-16-01 SOLD 01-16-98	0 34	0 25	0 09	0 00	SHARES MIRANT CORP COM
WILLIAMS COMMUNICATIONS GROUP INC COM					
04-25-01 SOLD 01-16-98	1,046 82	663 15	383 67	0 00	SHARES, WILLIAMS COMMUNICATIONS GROUP INC COM
04-25-01 SOLD 01-22-98	4,885 17	3,281 27	1,603 90	0 00	SHARES; WILLIAMS COMMUNICATIONS GROUP INC COM
04-25-01 SOLD 05-12-98	856 74	639 83	216 91	0 00	SHARES; WILLIAMS COMMUNICATIONS GROUP INC COM
04-30-01 SOLD 05-12-98	1,308.88	923 75	385.13	0 00	SHARES ADAPTEC INC., COMMON STOCK

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
05-11-01 ACQUIRED	5,289.82	19,843.75	14,553.93-	0.00	SHARES; AT & T CORP COM STK
05-29-01 SOLD	39,423.68	82,833.67	43,409.99-	0.00	SHARES; WORLD COM INC GA NEW MCI GROUP COM
06-08-01 SOLD	1,200.25	804.79	395.46	0.00	SHARES
06-08-01 ACQUIRED	1,310.35	1,120.32	190.03	0.00	SHARES
06-08-01 SOLD	327.59	294.67	32.92	0.00	SHARES;
06-08-01 ACQUIRED	4,482.33	4,939.69	457.36-	0.00	SHARES;
06-08-01 SOLD	1,779.17	1,961.78	182.61-	0.00	SHARES
06-12-01 SOLD	54.88	24.53	30.35	0.00	SHARES; TYCO INTL LTD NEW COM
01-16-98 ACQUIRED					SHARES; CITIGROUP INC COM

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, 100.00	06-15-01 SOLD 04-16-97 ACQUIRED	4,930.89	3,035.83	1,895.06	0.00
ALLSTATE CORP COMMON STOCK					
SHARES, 100.00	06-15-01 SOLD 05-09-96 ACQUIRED	4,379.91	1,945.15	2,434.76	0.00
ALTERA CORP COM					
SHARES, 100.00	06-15-01 SOLD 04-17-00 ACQUIRED	2,543.97	4,221.88	1,677.91-	0.00
AMGEN INC COMMON STOCK					
SHARES, 100.00	06-15-01 SOLD 01-16-98 ACQUIRED	6,706.83	1,227.06	5,479.77	0.00
BANK AMER CORP COM					
SHARES, 100.00	06-15-01 SOLD 01-16-98 ACQUIRED	5,802.86	6,110.37	307.51-	0.00
AUTOMATIC DATA PROCESSING INC COM					
SHARES, 100.00	06-15-01 SOLD 01-16-98 ACQUIRED	5,208.88	2,982.25	2,226.63	0.00
CAMPBELL SOUP CO COM					

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SHARES: 100.00	SOLD 06-15-01 ACQUIRED 01-16-98	2,766 96	5,253 39	2,486 43-	0 00
Coca Cola Co Common					
SHARES: 100 00	SOLD 06-15-01 ACQUIRED 01-16-98	4,426 91	6,502 00	2,075 09-	0.00
EXXON MOBIL CORP COM					
SHARES: 200 00	SOLD 06-15-01 ACQUIRED 01-16-98	17,781.52	12,266 50	5,515.02	0 00
FILMC VOTING COMMON STOCK					
SHARES: 100 00	SOLD 06-15-01 ACQUIRED 01-16-98	6,495 84	4,583 25	1,912 59	0 00
FNMA COM STOCK					
SHARES: 100.00	SOLD 06-15-01 ACQUIRED 01-16-98	8,066 79	6,089.50	1,977 29	0 00
HALLIBURTON CO COM					
SHARES: 100 00	SOLD 06-15-01 ACQUIRED 01-16-98	4,312.91	4,272 83	40 08	0 00
HOUSEHOLD INTERNATIONAL INC, COMMON STOCK, \$1 PAR					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-15-01 SOLD 01-16-98 ACQUIRED	6,580 84	2,619 59	3,761 25	0.00	SHARES INTEL CORP CAPITAL STOCK \$ 001 PAR
06-15-01 SOLD 09-09-97 ACQUIRED	5,537 93	4,851 84	686 09	0 00	SHARES; INTERNATIONAL BUSINESS MACHS CORP COM
06-15-01 SOLD 01-16-98 ACQUIRED	11,360 68	5,244.75	6,115 93	0 00	SHARES MERCK & CO INC., COMMON STOCK, \$.02 7/9
06-15-01 SOLD 09-30-96 ACQUIRED	7,375.81	3,511 20	3,864 61	0 00	SHARES; PERKINELMER INC COM
06-15-01 SOLD 01-16-98 ACQUIRED	3,558 94	1,052 57	2,506 37	0 00	SHARES PHILIP MORRIS COS INC COM
06-15-01 SOLD 06-16-97 ACQUIRED	4,632 90	4,666 73	33.83-	0 00	SHARES; PROCTER & GAMBLE CO., COMMON STOCK, NO PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-15-01 ACQUIRED 01-16-98	6,260.85	7,895.75	1,634.90-	0 00
SBC COMMUNICATIONS INC COM					
SHARES:	SOLD 06-15-01 ACQUIRED 08-19-96	8,063.85	4,943.50	3,120.35	0 00
SARA LEE CORP., COMMON STOCK					
SHARES:	SOLD 06-15-01 ACQUIRED 01-16-98	1,925.99	2,988.50	1,062.51-	0 00
TRIBUNE CO., COMMON STOCK, NO PAR					
SHARES:	SOLD 06-15-01 ACQUIRED 01-22-98	3,851.99	5,630.13	1,778.14-	0 00
VERITAS SOFTWARE CORP COM					
SHARES:	SOLD 06-15-01 ACQUIRED 01-22-98	4,019.92	3,085.38	934.54	0 00
WORLD COM INC GA NEW MCI GROUP COM					
SHARES:	SOLD 06-15-01 ACQUIRED 03-31-00	5,996.86	13,096.87	7,100.01-	0 00
SHARES:	SOLD 06-15-01 ACQUIRED 10-16-97	385.46	432.05	46.59-	0 00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-15-01 SOLD 02-09-98 ACQUIRED	1,074 22	1,410 12	335 90-	0.00	SHARES
06-15-01 SOLD 02-09-98 ACQUIRED	133 56	183 32	49 76-	0.00	SHARES
06-15-01 SOLD 04-26-00 ACQUIRED	71 79	162.61	90 82-	0.00	SHARES
06-18-01 SOLD 10-16-97 ACQUIRED	2 16	2 41	0 25-	0.00	SHARES; AMR CORP, COMMON STOCK, \$1 PAR
06-27-01 SOLD 02-15-96 ACQUIRED	3,423.88	1,869 12	1,554 76	0.00	SHARES AFLAC INC COMMON STOCK
06-27-01 SOLD 05-27-99 ACQUIRED	6,515.78	5,204 00	1,311 78	0.00	SHARES; ABBOTT LABORATORIES, COMMON STOCK, NO PAR
06-27-01 SOLD 01-16-98 ACQUIRED	24,984 16	17,630.00	7,354 16	0.00	SHARES; AES CORP COMMON STOCK

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: 100 00	SOLD 06-27-01 ACQUIRED 10-01-98	4,224 85	1,825 21	2,399 64	0 00
CITIGROUP INC COM					
SHARES: 1,063.82	SOLD 06-27-01 ACQUIRED 04-16-97	54,965.74	32,295 74	22,670 00	0.00
SHARES: 536 18	SOLD 06-27-01 ACQUIRED 04-30-97	27,703.50	16,308 40	11,395 10	0 00
AT & T CORP COM STK					
SHARES 1,100 00	SOLD 06-27-01 ACQUIRED 01-16-98	22,813 23	47,956.33	25,143 10-	0 00
ADOBE SYSTEMS INC , COMMON STOCK					
SHARES: 100 00	SOLD 06-27-01 ACQUIRED 01-22-98	4,434 85	962 50	3,472.35	0.00
ADVANCED MICRO DEVICES, INC , COMMON STOCK, \$ 01 PAR					
SHARES: 100 00	SOLD 06-27-01 ACQUIRED 01-16-98	2,646 91	885 37	1,761.54	0.00
AGILENT TECHNOLOGIES INC COM					

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DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE
SHARES AIR PRODUCTS AND CHEMICALS, INC , COMMON STOCK, \$1 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	2,981 90	3,700 50	718.60-	0.00
SHARES ALBERTSON'S, INC , COMMON STOCK, \$1 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	4,546 84	3,944 75	602 09	0.00
SHARES ALCAN INC	SOLD 06-27-01 ACQUIRED 01-16-98	5,885 80	8,340 80	2,455 00-	0 00
SHARES ALCOA INC COM STK	SOLD 06-27-01 ACQUIRED 01-22-98	4,144 86	2,820 75	1,324 11	0.00
SHARES ALLERGAN INC COM STOCK	SOLD 06-27-01 ACQUIRED 01-16-98	11,528 61	5,017 12	6,511.49	0 00
SHARES ALLSTATE CORP COMMON STOCK	SOLD 06-27-01 ACQUIRED 01-16-98	8,216.72	1,669 75	6,546 97	0 00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 05-09-96 ACQUIRED	4,389.85	1,945.15	2,444.70	0.00	SHARES: 100.00 ALTEL CORP COM ISIN #US0200391037
06-27-01 SOLD 05-10-96 ACQUIRED	4,389.85	1,959.25	2,430.60	0.00	SHARES: 100.00 ALTEL CORP COM ISIN #US0200391037
06-27-01 SOLD 01-22-98 ACQUIRED	5,807.80	4,195.75	1,612.05	0.00	SHARES: 100.00 ALTERA CORP COM
06-27-01 SOLD 04-17-00 ACQUIRED	2,613.91	4,221.88	1,607.97-	0.00	SHARES: 100.00 AMERADA HESS CORP, COMMON STOCK, \$1 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	8,145.72	5,370.75	2,774.97	0.00	SHARES: 100.00 AMERICAN ELECTRIC POWER CO, INC, COMMON STOCK, \$6.50 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	4,627.84	4,440.83	187.01	0.00	SHARES: 100.00 AMERICAN EXPRESS CO, COMMON STOCK, \$1.60 PAR

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DATE SOLD/ ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE

DESCRIPTION OF PROPERTY  
 SHARES, SOLD 06-27-01 ACQUIRED 11-11-96 15,287 49 6,623 30 8,664.19 0.00  
 #REORG AMERICAN GENERAL CORP STK MERGER  
 TO AMERN INTL GRP INC 2016430 EFF  
 8/29/01

SHARES, SOLD 06-27-01 ACQUIRED 01-22-98 9,295 68 5,648 88 3,646.80 0.00  
 AMERICAN HOME PRODUCTS CORP,  
 COMMON STOCK, \$.33 1/3 PAR

SHARES: SOLD 06-27-01 ACQUIRED 01-16-98 24,327 18 16,204 00 8,123 18 0.00  
 AMERICAN INTERNATIONAL GROUP, INC  
 COMMON STOCK, \$2 50 PAR

SHARES: SOLD 06-27-01 ACQUIRED 01-16-98 39,699 61 16,900 07 22,799 54 0.00  
 SHARES: SOLD 06-27-01 ACQUIRED 01-16-98 28,218 12 7,934.58 20,283.54 0.00  
 CARDINAL HEALTH INC

SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-22-98 7,096 76 3,107 84 3,988 92 0.00  
 AMGEN INC COMMON STOCK

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28% RATE  
 GAIN/LOSS

0 00

0 00

0 00

0.00

0 00

0.00

GAIN/LOSS

10,046 89

1,262 69

5,916 33

1,250 61

549 57-

15,251.17

COST  
 BASIS

9,493.62

1,439 21

6,659 25

2,883 25

2,390 50

3,681 19

SALES  
 PROCEEDS

14,540.51

2,701 90

12,575 58

9,133 86

1,840.93

18,932.36

DATE SOLD/  
 ACQUIRED

SOLD 06-27-01  
 ACQUIRED 01-16-98

SOLD 06-27-01  
 ACQUIRED 01-16-98

SOLD 06-27-01  
 ACQUIRED 01-16-98

SOLD 06-27-01  
 ACQUIRED 10-11-99

SOLD 06-27-01  
 ACQUIRED 10-26-99

SOLD 06-27-01  
 ACQUIRED 01-16-98

SHARES 300 00

SHARES 100.00

SHARES 300 00

SHARES 100.00

SHARES 100 00

SHARES 300 00

DESCRIPTION OF PROPERTY

ARCHER-DANIELS-MIDLAND CO , COMMON STOCK  
 NO PAR

APPLIED MATERIALS, INC , COMMON STOCK,  
 \$ 01 PAR

APPLERA CORP - APPLIED BIOSYSTEMS GROUP

ANHEUSER BUSCH COS INC COM

ANALOG DEVICES, INC, COMMON STOCK,  
 \$ 16 2/3 PAR

AMSOUTH BANCFORATION, COMMON STOCK,  
 \$1 PAR

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD	2,753 90	3,694.06	940.16-	0.00	SHARES: BANK AMER CORP COM
01-16-98 ACQUIRED					
06-27-01 SOLD	30,143 99	30,551.88	407.89-	0 00	SHARES ASHLAND INC COM
01-16-98 ACQUIRED					
06-27-01 SOLD	3,796 87	5,133.25	1,336.38-	0 00	SHARES: AUTOMATIC DATA PROCESSING INC COM
01-16-98 ACQUIRED					
06-27-01 SOLD	10,313 65	5,964 50	4,349 15	0.00	SHARES AUTOZONE INC COMMON STOCK
01-16-98 ACQUIRED					
06-27-01 SOLD	3,803 87	2,592.63	1,211 24	0 00	SHARES AVERY DENNISON CORP COMMON STOCK
01-22-98 ACQUIRED					
06-27-01 SOLD	5,162 82	4,320.75	842 07	0 00	SHARES AVON PRODUCTS, INC , COMMON STOCK, #0 50 PAR
01-16-98 ACQUIRED					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	4,524 84	2,866.63	1,658.21	0.00	SHARES, BBAT CORP COM
06-27-01 SOLD 01-16-98 ACQUIRED	3,628 87	2,982 25	646 62	0.00	SHARES; BMC SOFTWARE INC COM STK
06-27-01 SOLD 09-30-98 ACQUIRED	2,551 91	6,006.25	3,454 34-	0.00	SHARES; BAKER HUGHES INC , COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	3,481.88	3,125 30	356 58	0.00	SHARES BANK OF NEW YORK CO , INC , COMMON STOCK, \$7 50 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	14,708.50	8,496 75	6,211 75	0.00	SHARES; BARD, C. R., INC , CAPITAL STOCK, \$ 25 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	5,701 80	3,020 75	2,681 05	0.00	SHARES BAXTER INTL INC COMMON STOCK



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 11-10-93 ACQUIRED	10,485.65	2,142.72	8,342.93	0.00	BEAR STEARNS COS INC COM SHARES; 200.00
06-27-01 SOLD 09-30-98 ACQUIRED	5,671.81	2,808.84	2,862.97	0.00	BECTON, DICKINSON AND CO, COMMON STOCK, \$1 PAR SHARES; 100.00
06-27-01 SOLD 01-16-98 ACQUIRED	3,526.88	2,754.12	772.76	0.00	RED BATH BEYOND INC COM SHARES; 100.00
06-27-01 SOLD 09-30-99 ACQUIRED	2,904.90	1,746.87	1,158.03	0.00	BELLSOUTH CORP, COMMON STOCK SHARES; 100.00
06-27-01 SOLD 01-16-98 ACQUIRED	23,027.23	17,462.25	5,564.98	0.00	BEST BUY, INC., COMMON STOCK SHARES; 600.00
06-27-01 SOLD 06-29-99 ACQUIRED	6,209.79	6,604.00	394.21-	0.00	BIOGEN INC., COMMON STOCK SHARES; 100.00

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DESCRIPTION OF PROPERTY      DATE SOLD/ACQUIRED      SALES PROCEEDS      COST BASIS      GAIN/LOSS      28% RATE GAIN/LOSS

SHARES: 100 00      SOLD 06-27-01      5,198.82      9,215.63      4,016 81-      0 00  
 BLOCK, H. & R, INC, COMMON STOCK, NO PAR

SHARES: 100 00      SOLD 06-27-01      6,354.78      4,283.25      2,071 53      0 00  
 BOEING CO, CAPITAL STOCK, \$5 PAR

SHARES: 300 00      SOLD 06-27-01      17,300 42      13,590.39      3,710 03      0 00  
 BOSTON SCIENTIFIC CORP COM

SHARES: 200 00      SOLD 06-27-01      3,535 88      4,689.50      1,153 62-      0 00  
 BRISTOL MYERS SQUIBB CO COMMON STOCK

SHARES: 700 00      SOLD 06-27-01      36,447 78      11,737 25      24,710 53      0 00  
 BROWN-FORMAN INC, CLASS B, NON-VOTING COMMON STOCK, \$30 PAR

SHARES: 100 00      SOLD 06-27-01      6,621.77      5,520 75      1,101 02      0 00  
 BRUNSWICK CORP., COMMON STOCK NO PAR

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06-27-01 SOLD 01-22-98 ACQUIRED	2,371 92	2,883 25	511 33-	0 00	BURLINGTON NORTHN SANTA FE CORP COM SHARES
06-27-01 SOLD 01-22-98 ACQUIRED	2,927.90	2,916.29	11 61	0 00	BURLINGTON RESOURCES INC COMMON STOCK SHARES
06-27-01 SOLD 01-16-98 ACQUIRED	3,870 87	4,327 00	456.13-	0 00	CIGNA CORP, COMMON STOCK, SHARES
06-27-01 SOLD 01-22-98 ACQUIRED	9,497 68	5,886 08	3,611 60	0 00	CSX CORP, COMMON STOCK, \$1 PAR SHARES
06-27-01 SOLD 01-16-98 ACQUIRED	3,408.88	5,289.50	1,880 62-	0.00	CVS CORP COM STK SHARES
06-27-01 SOLD 07-25-97 ACQUIRED	3,647.87	2,700 00	947.87	0 00	CAMPBELL SOUP CO COM SHARES

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28% RATE	GAIN/LOSS	COST	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY	28% RATE	GAIN/LOSS
0.00	2,550.48-	5,253.38	2,702.90	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES: 100.00 CAPITAL ONE FINANCIAL COMMON STOCK	0.00	2,550.48-
0.00	2,294.05	3,502.75	5,796.80	06-27-01 SOLD 09-18-98 ACQUIRED	SHARES: 100.00 CARNIVAL CORP COM	0.00	2,294.05
0.00	2,392.57-	7,803.25	5,410.68	06-27-01 SOLD 12-21-98 ACQUIRED	SHARES: 182.00 CATERPILLAR INC, COMMON STOCK, NO PAR	0.00	2,392.57-
0.00	238.12-	773.24	535.12	06-27-01 SOLD 12-21-98 ACQUIRED	SHARES: 18.00 CATERPILLAR INC, COMMON STOCK, NO PAR	0.00	238.12-
0.00	1,297.65	9,154.00	10,451.65	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES: 200.00 CENDANT CORP COM STK	0.00	1,297.65
0.00	2,643.34-	8,559.14	5,915.80	06-27-01 SOLD 10-20-97 ACQUIRED	SHARES: 300.00 CENTURYTEL INC COM ISIN US1567001060	0.00	2,643.34-
0.00	1,619.60-	4,555.50	2,935.90	06-27-01 SOLD 03-24-99 ACQUIRED	SHARES: 100.00 CHARTER ONE FINCL INC COMMON STOCK	0.00	1,619.60-

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06-27-01 SOLD 06-16-00 ACQUIRED	3,106 89	2,325 12	781 77	0 00	SHARES, CHEVRON CORP., COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	18,273 39	15,391 50	2,881 89	0 00	SHARES, CHUBB CORP, COMMON STOCK, \$1 PAR
06-27-01 SOLD 06-27-01 SOLD	7,817 73	7,552 00	265 73	0 00	SHARES; AOL TIME WARNER INC COM
06-27-01 SOLD 01-16-98 ACQUIRED	71,075 13	27,065 25	44,009 88	0 00	SHARES; ADL TIME WARNER INC COM
06-27-01 SOLD 06-27-01 SOLD	2,632 41	1,022 21	1,610 20	0 00	SHARES; CISCO SYS INC COMMON STOCK
06-27-01 SOLD 04-21-97 ACQUIRED	43,030 56	12,744 27	30,286 29	0 00	SHARES; CITRIX SYS INC COMMON STOCK
06-27-01 SOLD 12-17-99 ACQUIRED	3,322 88	6,184 38	2,861 50-	0 00	SHARES; CLEAR CHANNEL COMMUNICATIONS INC COM

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06-27-01 SOLD 10-14-97 ACQUIRED	11,995.59	6,406.00	5,589.59	0.00	CLOROX CO, COMMON STOCK, \$1 PAR SHARES: 200.00
06-27-01 SOLD 01-16-98 ACQUIRED	3,435.88	3,891.62	455.74-	0.00	COCA COLA CO COM SHARES 100.00
06-27-01 SOLD 01-16-98 ACQUIRED	35,318.82	52,016.00	16,697.18-	0.00	COCA COLA ENTERPRISES INC COM SHARES: 200.00
06-27-01 SOLD 10-27-98 ACQUIRED	3,237.89	7,328.00	4,090.11-	0.00	COLGATE-PALMOLIVE CO, COMMON STOCK, \$1 PAR SHARES: 200.00
06-27-01 SOLD 01-16-98 ACQUIRED	12,113.59	7,083.25	5,030.34	0.00	COMCAST CORP CLASS A SPECIAL SHARES: 200.00
06-27-01 SOLD 01-16-98 ACQUIRED	12,749.57	4,953.00	7,796.57	0.00	COMPAQ COMPUTER CORP, COMMON STOCK SHARES 300.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES · COMPUTER ASSOCIATES INTERNATIONAL, INC , COMMON STOCK, \$ 10 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	8,309 72	17,668 50	9,358 78-	0.00
SHARES COMPUTER SCIENCES CORP , COMMON STOCK, \$ 1 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	6,641.77	10,041.50	3,399.73-	0.00
SHARES: CONAGRA FOODS INC	SOLD 06-27-01 ACQUIRED 01-16-98	3,446 88	4,351.00	904.12-	0 00
SHARES COMVERSE TECHNOLOGY INC	SOLD 06-27-01 ACQUIRED 01-16-98	3,797.87	6,404 00	2,606 13-	0.00
SHARES CONOCO INC CL B COM STK	SOLD 06-27-01 ACQUIRED 10-26-99	5,621 81	5,428 13	193.68	0.00
SHARES: CONSOLIDATED EDISON INC COMMON STOCK	SOLD 06-27-01 ACQUIRED 04-05-95	5,863 80	11,741.18	5,877 38-	0 00

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28% RATE  
 GAIN/LOSS

0.00

11 64-

3,989.50

3,977 86

DATE SOLD/ ACQUIRED  
 SOLD 06-27-01 100.00  
 ACQUIRED 01-16-98

SHARES:

CONVERGYS CORP COM

SHARES:

100 00

DATE SOLD/ ACQUIRED  
 SOLD 06-27-01 100 00  
 ACQUIRED 06-12-00

COOPER INDUSTRIES, INC, COMMON STOCK #5 PAR

SHARES:

100.00

DATE SOLD/ ACQUIRED  
 SOLD 06-27-01 100.00  
 ACQUIRED 01-22-98

CORNING INC COMMON STOCK

SHARES

200.00

DATE SOLD/ ACQUIRED  
 SOLD 06-27-01 100 00  
 ACQUIRED 01-16-98

COSTCO WHSL CORP NEW COM

SHARES.

100 00

DATE SOLD/ ACQUIRED  
 SOLD 06-27-01 50 00  
 ACQUIRED 01-16-98

CRANE CO, COMMON STOCK, \$6.25 PAR

SHARES.

50 00

DATE SOLD/ ACQUIRED  
 SOLD 06-27-01 1,463 45  
 ACQUIRED 01-16-98

1,463 45

1,283.05

180 40

0.00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-22-98 ACQUIRED	1,463 45	1,307.79	155 66	0 00	SHARES; DTE ENERGY CO COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	4,608 84	3,483 25	1,125.59	0 00	SHARES; DEERE & CO, COMMON STOCK, \$1 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	3,796.87	5,252 00	1,455 13-	0 00	SHARES; DELL COMPUTER CORP COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	20,591 31	9,270 76	11,320.55	0 00	SHARES; DELPHI AUTOMOTIVE SYS CORP COM
06-27-01 SOLD 11-26-96 ACQUIRED	3,083 89	2,606 86	477 03	0.00	SHARES; DISNEY WALT CO COM
06-27-01 SOLD 01-16-98 ACQUIRED	19,683 34	22,492 16	2,808 82-	0 00	SHARES DOLLAR GENERAL CORP, COMMON STOCK, \$1.50 PAR

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-27-01 ACQUIRED 07-15-98	1,809.93	2,337.03	527.10-	0 00
DOMINION RES INC VA NEW COM					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	6,110.79	4,068.67	2,042.12	0 00
DOW CHEMICAL CO , COMMON STOCK, \$2.50 PAR					
SHARES:	SOLD 06-27-01 ACQUIRED 01-16-98	10,187.66	9,202.00	985.66	0.00
DU POINT E I DE NEMOURS & CO COM STK					
SHARES:	SOLD 06-27-01 ACQUIRED 04-05-95	14,102.52	5,871.71	8,230.81	0 00
DUKE ENERGY CORP COMMON STK					
SHARES:	SOLD 06-27-01 ACQUIRED 01-16-98	8,053.73	5,420.75	2,632.98	0 00
EMC CORP COM COM					
SHARES:	SOLD 06-27-01 ACQUIRED 01-16-98	19,683.34	3,453.94	16,229.40	0 00
EASTMAN KODAK CO , COMMON STOCK, \$2.50 PAR					

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-27-01 ACQUIRED 01-22-98	4,526.84	6,239.50	1,712.66-	0.00
EATON CORP, COMMON STOCK, \$ 50 PAR					
SHARES	SOLD 06-27-01 ACQUIRED 10-06-95	7,044.76	4,503.54	2,541.22	0.00
ECOLAB INC COMMON STOCK					
SHARES	SOLD 06-27-01 ACQUIRED 01-22-98	4,023.86	2,758.25	1,265.61	0.00
EL PASO CORP COM					
SHARES	SOLD 06-27-01 ACQUIRED 10-05-95	10,261.65	2,677.72	7,583.93	0.00
ELECTRONIC DATA SYS CORP NEW COM					
SHARES	SOLD 06-27-01 ACQUIRED 08-10-98	12,227.59	8,279.00	3,948.59	0.00
EMERSON ELECTRIC CO, COMMON STOCK, \$1 PAR					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	6,195.79	6,170.75	25.04	0.00
ENRON CORP COM					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	9,337 68	9,064.50	5,273 18	0 00	SHARES EXLON CORP COM
06-27-01 SOLD 01-22-98 ACQUIRED	6,396 78	2,333 25	4,063 53	0 00	SHARES EXXON MOBIL CORP COM
06-27-01 SOLD 01-16-98 ACQUIRED	95,905 80	67,465 75	28,440 05	0 00	SHARES; FMC CORP, COMMON STOCK, NO PAR, (NEW)
06-27-01 SOLD 04-04-97 ACQUIRED	6,883 77	6,095 80	787 97	0 00	SHARES F P L GROUP INC, COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	6,070 79	5,677 00	393 79	0 00	SHARES FHLMC VOTING COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	13,573.54	9,166.50	4,407.04	0 00	SHARES; FNMA COM STOCK

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28% RATE  
 GAIN/LOSS

0.00

GAIN/LOSS

7,086.65

COST  
 BASIS

18,268.50

SALES  
 PROCEEDS

25,355.15

DATE SOLD/  
 ACQUIRED

06-27-01  
 01-16-98

FEDERATED DEPT STORES INC DEL COMMON  
 STOCK

SHARES:

300.00

SOLD  
 ACQUIRED

SHARES

100.00

06-27-01  
 07-01-97

FEDERATED DEPT STORES INC DEL COMMON  
 STOCK

SHARES:

100.00

06-27-01  
 01-16-98

FIFTH THIRD BANCFOR , COMMON STOCK,  
 \$6.66 PAR

SHARES:

29.10

06-27-01  
 01-16-98

SHARES

175.90

06-27-01  
 01-22-98

FIRST DATA CORP COMMON STOCK

SHARES

100.00

06-27-01  
 01-16-98

GLOBAL CROSSING LTD COM

0.00

4,013.77

2,627.00

6,640.77

06-27-01  
 01-16-98

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIPTION OF PROPERTY	28% RATE	GAIN/LOSS
0.00	1,197.75-	3,798.66	2,600.91	06-27-01 SOLD 01-22-98 ACQUIRED	SHARES. #REORG FIRST UNION NAME CHANGE TO MACHOVIA CORP 2893758 EFF 7/27/01	0.00	300.00
0.00	1,595.77	5,178.00	6,775.77	06-27-01 SOLD 10-12-95 ACQUIRED	SHARES: FIRSTENERGY CORP COM	0.00	200.00
0.00	686.39	2,700.50	3,386.89	06-27-01 SOLD 10-13-95 ACQUIRED	SHARES. FLEETBOSTON FINL CORP COM STOCK	0.00	100.00
0.00	340.76	2,830.13	3,170.89	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES. FORTUNE BRANDS INC COM STK	0.00	100.00
0.00	1,284.11	14,083.37	15,367.48	06-27-01 SOLD 10-27-97 ACQUIRED	SHARES FRANKLIN RES INC COMMON STOCK,	0.00	400.00
0.00	144.88-	3,795.75	3,650.87	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES	0.00	100.00

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06-27-01 SOLD	4,566 84	5,503 00	936 16-	0 00	SHARES GANNETT INC COM
05-06-98 ACQUIRED					
06-27-01 SOLD	6,315 78	5,858 25	457.53	0.00	SHARES; GAP INC COM
01-16-98 ACQUIRED					
06-27-01 SOLD	8,732.70	5,177.67	3,555.03	0 00	SHARES; GATEWAY INC COM
01-16-98 ACQUIRED					
06-27-01 SOLD	1,474 95	2,925 50	1,450.55-	0 00	SHARES; GENERAL ELEC CO COM
04-24-98 ACQUIRED					
06-27-01 SOLD	154,330 85	78,821.32	75,509.53	0.00	SHARES; GENERAL MILLS, INC., COMMON STOCK, \$ 75 PAR
01-16-98 ACQUIRED					
06-27-01 SOLD	4,276.85	3,637 25	639 60	0.00	SHARES GENERAL MOTORS CORP COMMON STOCK, #1 2/3 PAR
01-16-98 ACQUIRED					

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIPTION OF PROPERTY	GAIN/LOSS
0 00	3,509.79	9,207.79	12,517.58	06-27-01 SOLD 11-26-96 ACQUIRED	SHARES GENUINE PARTS CO COM	0 00
0.00	361.56-	3,383.25	3,021.89	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES, GEORGIA PAC CORP COM-GEORGIA PAC GROUP	0.00
0.00	561.38	2,796.06	3,357.44	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES, GILLETTE CO , COMMON STOCK, \$1 PAR	0.00
0 00	10.58	17.86	28.44	06-27-01 SOLD 01-22-98 ACQUIRED	SHARES	0 00
0.00	6,758.17-	15,349.88	8,591.71	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES GUIDANT CORP COM STK NO PAR	0.00
0 00	682.88	2,901.00	3,583.88	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES, HCA INC COM	0 00
0 00	2,689.71	6,073.99	8,763.70	06-27-01 SOLD 08-01-97 ACQUIRED	SHARES: HALLIBURTON CO COM	0 00



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	3,861.87	4,272.84	410.97-	0.00	SHARES; 100.00 #REORG HARCOURT GENERAL INC CASH MERGER EFF 7/12/01
06-27-01 SOLD 01-22-98 ACQUIRED	23,199.22	17,649.00	5,550.22	0.00	SHARES; 400.00 HARLEY DAVIDSON INC COM
06-27-01 SOLD 01-28-00 ACQUIRED	4,799.83	3,552.00	1,247.83	0.00	SHARES; 100.00 HARTFORD FINL SVCS GROUP INC COM
06-27-01 SOLD 10-30-97 ACQUIRED	6,978.76	4,153.00	2,825.76	0.00	SHARES; 100.00 HEINZ H J CO COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	4,139.86	5,502.00	1,362.14-	0.00	SHARES; 100.00 HEWLETT-PACKARD CO, COMMON STOCK, #1 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	13,209.56	12,539.07	670.49	0.00	SHARES 500.00

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
HOME DEPOT INC., COMMON STOCK, \$.05 PAR	SOLD 06-27-01 ACQUIRED 01-22-98	2,641 91	2,432 21	209 70	0.00
SHARES: 100.00					
HONEYWELL INTL INC COM STK	SOLD 06-27-01 ACQUIRED 02-19-97	37,454 75	9,069.92	28,384.83	0.00
SHARES: 800.00					
HOUSEHOLD INTERNATIONAL INC, COMMON STOCK, \$1 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	7,393.75	7,341 50	52.25	0.00
SHARES: 200 00					
ITT INDS INC COMMON STOCK	SOLD 06-27-01 ACQUIRED 01-16-98	6,566.78	2,619.60	3,947.18	0 00
SHARES: 100.00					
IHS HEALTH INC COM STK	SOLD 06-27-01 ACQUIRED 01-22-98	4,495 85	3,045.75	1,450 10	0 00
SHARES: 100.00					
ILLINOIS TOOL WKS INC COM	SOLD 06-27-01 ACQUIRED 01-16-98	2,829 90	1,876.35	953 55	0 00
SHARES: 100 00					

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INTEL CORP CAPITAL STOCK \$ .001 PAR					
SHARES:	SOLD 06-27-01	6,390.78	5,652.00	738.78	0.00
	ACQUIRED 01-16-98				
100.00					
SHARES:	SOLD 06-27-01	14,324.52	12,129.60	2,194.92	0.00
	ACQUIRED 09-09-97				
500.00					
SHARES:	SOLD 06-27-01	34,378.85	28,458.12	5,920.73	0.00
	ACQUIRED 10-08-97				
1,200.00					
SHARES:	SOLD 06-27-01	11,459.62	7,839.40	3,620.22	0.00
	ACQUIRED 12-08-97				
400.00					
INTERNATIONAL BUSINESS MACHS CORP COM					
SHARES:	SOLD 06-27-01	34,045.86	15,734.25	18,311.61	0.00
	ACQUIRED 01-16-98				
300.00					
SHARES:	SOLD 06-27-01	34,045.86	14,918.62	19,127.24	0.00
	ACQUIRED 01-22-98				
300.00					
INTERNATIONAL PAPER CO COMMON					
STOCK \$1 PAR					
SHARES:	SOLD 06-27-01	7,143.76	7,392.27	248.51-	0.00
	ACQUIRED 01-16-98				
200.00					
INTERPUBLIC GROUP OF COMPANIES INC.					
COMMON STOCK \$ 10 PAR					

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
J P MORGAN CHASE & CO COM	SOLD 06-27-01 ACQUIRED 01-22-98	2,806.90	2,404.12	402.78	0.00
SHARES: 100 00					
J P MORGAN CHASE & CO COM	SOLD 06-27-01 ACQUIRED 01-16-98	26,393.11	21,104.00	5,289.11	0.00
SHARES: 600 00					
JEFFERSON-PILOT CORP COMMON STOCK #1 25 PAR	SOLD 06-27-01 ACQUIRED 01-22-98	4,842.83	3,555.06	1,287.77	0.00
SHARES: 100 00					
JOHNSON & JOHNSON COMMON STOCK #1 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	45,601.47	30,524.63	15,076.84	0.00
SHARES: 900 00					
KELLOGG CO. COMMON STOCK \$.50 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	5,697.80	9,812.92	4,115.12	0.00
SHARES: 200.00					
KEYCORP NEW COMMON STOCK	SOLD 06-27-01 ACQUIRED 01-16-98	5,155.82	6,589.50	1,433.68	0.00
SHARES: 200 00					
KIMBERLY-CLARK CORP COMMON STOCK #5 PAR	SOLD 06-27-01 ACQUIRED 01-16-98				

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06-27-01 SOLD	5,621 81	5,145 75	476 06	0 00	SHARES KROGER CO , COMMON STOCK, #1 PAR
01-16-98 ACQUIRED					
06-27-01 SOLD	7,640 74	5,679 57	1,961 17	0 00	SHARES; KOHLS CORP COMMON STOCK
01-22-98 ACQUIRED					
06-27-01 SOLD	6,148 79	2,379 13	3,769 66	0 00	SHARES LEHMAN BROTHERS HLDGS INC COM
09-21-98 ACQUIRED					
06-27-01 SOLD	7,526 74	1,459 25	6,067 49	0 00	SHARES; LEXMARK INTL INC NEW CL A
12-06-96 ACQUIRED					
06-27-01 SOLD	6,219 79	6,697 75	477 96-	0 00	SHARES; ELI LILLY & CO COM
08-12-99 ACQUIRED					
06-27-01 SOLD	29,595.01	26,833.00	2,762.01	0 00	SHARES LIMITED INC., COMMON STK, NO PAR
06-27-01 SOLD					
01-16-98 ACQUIRED					

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28% RATE  
 GAIN/LOSS

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-22-98 ACQUIRED	3,093 89	2,266.86	827.03	0 00	SHARES; LINCOLN NATIONAL CORP COMMON STOCK NO PAR
06-27-01 SOLD 01-16-98 ACQUIRED	5,071.83	3,729 13	1,342 70	0.00	SHARES LINEAR TECH CORP DEL
06-27-01 SOLD 03-31-00 ACQUIRED	4,357.85	5,496.88	1,139 03-	0.00	SHARES; LOCKHEED MARTIN CORP COM
06-27-01 SOLD 11-05-97 ACQUIRED	3,560 88	4,938 82	1,377.94-	0 00	SHARES; LOEWS CORP COM
06-27-01 SOLD 12-12-97 ACQUIRED	6,274.79	5,224 50	1,050 29	0.00	SHARES; LOWES COS INC COMMON STOCK \$.50 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	14,705 50	4,652 00	10,053.50	0.00	SHARES; LUCENT TECHNOLOGIES INC COM STOCK

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	7,007 76	21,415 36	14,407 60-	0 00	MBNA CORP COMMON STOCK SHARES 1,200 00
06-27-01 SOLD 01-16-98 ACQUIRED	9,755.67	5,879 00	3,876 67	0.00	MARSH & MC LENNAN CO'S INC , COMMON STOCK, #1 PAR SHARES: 300 00
06-27-01 SOLD 01-16-98 ACQUIRED	10,365 65	4,880 50	5,485 15	0 00	MASCO CORP COMMON STOCK, #1 PAR SHARES 100 00
06-27-01 SOLD 01-16-98 ACQUIRED	2,525 91	2,541.63	15 72-	0 00	MATTEL INC COM STOCK #1 00 PAR SHARES 100.00
06-27-01 SOLD 01-16-98 ACQUIRED	1,845 93	3,945.75	2,099 82-	0 00	MAXIM INTEGRATED PRODS INC COMMON STOCK SHARES 100 00
06-27-01 SOLD 05-09-00 ACQUIRED	4,447.85	6,364 06	1,916 21-	0 00	MAY DEPARTMENT STORES CO , COMMON STOCK, # 50 PAR SHARES 100.00

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SHARES	SOLD 06-27-01	500 00	13,739.54	11,864 38	1,875 16	0.00
	ACQUIRED 01-16-98					
MC DONALDS CORP COMMON STOCK, NO PAR						
SHARES	SOLD 06-27-01	100 00	3,269 89	3,524 25	254 36-	0 00
	ACQUIRED 01-22-98					
MCGRAW HILL COMPANIES INC COM						
SHARES	SOLD 06-27-01	100 00	6,476 78	3,744.75	2,732 03	0 00
	ACQUIRED 01-22-98					
MEDIMMUNE INC COMMON STOCK						
SHARES:	SOLD 06-27-01	100 00	4,516.84	6,581.25	2,064 41-	0 00
	ACQUIRED 06-15-00					
MEDTRONIC, INC, COMMON STOCK, \$ 10 PAR						
SHARES:	SOLD 06-27-01	400.00	18,339.38	9,629 00	8,710.38	0.00
	ACQUIRED 01-16-98					
MELTON FINL CORP COM						
SHARES:	SOLD 06-27-01	200.00	8,853 70	6,039 50	2,814 20	0 00
	ACQUIRED 01-16-98					
MERC & CO INC, COMMON STOCK, \$ 02 7/9 PAR						



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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 09-30-96 ACQUIRED	45,925.46	24,578.40	21,347.06	0.00	MEREDITH CORP COM SHARES; 700.00
06-27-01 SOLD 01-16-98 ACQUIRED	3,548.88	3,877.00	328.12	0.00	MERRILL LYNCH & CO., INC., COMMON STOCK, \$1 33 1/3 PAR SHARES; 100.00
06-27-01 SOLD 01-16-98 ACQUIRED	17,348.42	10,024.87	7,323.55	0.00	MICROSOFT CORP COM SHARES; 300.00
06-27-01 SOLD 08-12-96 ACQUIRED	128,047.73	28,133.10	99,914.63	0.00	MICRON TECHNOLOGY, INC., COMMON STOCK, \$ 10 PAR SHARES; 1,800.00
06-27-01 SOLD 01-16-98 ACQUIRED	3,970.86	1,479.13	2,491.73	0.00	MINNESOTA MINING AND MANUFACTURING CO., COMMON STOCK, NO PAR SHARES; 100.00
06-27-01 SOLD 01-16-98 ACQUIRED	11,426.61	8,364.50	3,062.11	0.00	MIRANT CORP COM SHARES; 100.00

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 GAIN/LOSS

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	4,017.86	2,919.18	1,098.68	0.00	SHARES. MOLEX INC, COMMON STOCK, \$.05 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	2,755.91	1,979.85	776.06	0.00	SHARES. MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486
06-27-01 SOLD 01-16-98 ACQUIRED	3,270.89	4,040.00	769.11-	0.00	SHARES. MOTOROLA INC, COMMON STOCK, \$3 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	25,047.16	11,241.50	13,805.66	0.00	SHARES. NATIONAL CITY CORP COM
06-27-01 SOLD 01-16-98 ACQUIRED	12,039.59	14,838.67	2,799.08-	0.00	SHARES. NEWELL RUBBERMAID INC COM
06-27-01 SOLD 01-22-98 ACQUIRED	5,975.80	5,948.88	26.92	0.00	SHARES.

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SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	2,403 91	4,143 67	1,739 76-	0.00
NEXTEL COMMUNICATIONS INC CL A COM STK					
SHARES:	SOLD 06-27-01 ACQUIRED 03-31-98	3,019.89	3,362 50	342.61-	0.00
NIKE INC., CLASS B COMMON STOCK,					
SHARES:	SOLD 06-27-01 ACQUIRED 01-16-98	4,262 85	4,108 25	154 60	0 00
NORFOLK SOUTHN CORP COM					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	3,893 87	6,079 00	2,185 13-	0 00
NORTEL NETWORKS CORP NEW COM					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	5,033 83	6,396 75	1,362.92-	0.00
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	3,355.88	4,722 08	1,366 20-	0 00
OCCIDENTAL PETE CORP COM					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	2,730 90	2,620 50	110 40	0 00
ORACLE CORPORATION COM					

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PPG INDUSTRIES INC, COMMON STOCK, \$1 66 2/3 PAR	SOLD 06-27-01 ACQUIRED 01-16-98	30,666 97	5,548.37	25,118 60	0 00
SHARES 1,700 00					
PNC FINANCIAL SERVICES GROUP COM STK	SOLD 06-27-01 ACQUIRED 01-16-98	5,192 82	5,608 25	415 43-	0.00
SHARES: 100 00					
PAYCHEX INC, COMMON STOCK	SOLD 06-27-01 ACQUIRED 01-16-98	6,574.78	5,227 00	1,347 78	0 00
SHARES: 100 00					
PACTIV CORP COM	SOLD 06-27-01 ACQUIRED 01-16-98	1,425 95	3,305 16	1,879 21-	0.00
SHARES: 100 00					
PENNEY, J C, CO, INC, COMMON STOCK, \$ 50 PAR	SOLD 06-27-01 ACQUIRED 09-30-98	3,804 87	2,291 67	1,513 20	0 00
SHARES: 100.00					
PEOPLESFT, INC, COM	SOLD 06-27-01 ACQUIRED 01-16-98	2,459 91	5,902 00	3,442 09-	0 00
SHARES: 100 00					

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SHARES	SOLD 06-27-01 ACQUIRED 10-01-98	4,523 84	3,250 00	1,273.84	0 00
PEPSICO INC COM					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	22,669.24	18,478 75	4,190 49	0 00
PHARMACIA CORP COM					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	18,875 37	11,855 46	7,019 91	0 00
PFIZER INC, COMMON STOCK, \$ 11 1/9 PAR					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	70,615 64	43,503 00	27,112 64	0 00
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	16,615.45	6,273.70	10,341 75	0 00
PHELPS DODGE CORP, CAPITAL STOCK, \$6.25 PAR					
SHARES	SOLD 06-27-01 ACQUIRED 01-22-98	4,096 86	6,183 25	2,086 39-	0 00
PHILIP MORRIS COS INC COM					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 ACQUIRED 06-16-97	4,707.84	4,666.73	41.11	0.00	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 06-16-97
06-27-01 ACQUIRED 06-17-97	14,123.53	13,818.00	305.53	0.00	SHARES: 300 00 SOLD 06-27-01 ACQUIRED 06-17-97
06-27-01 ACQUIRED 07-15-97	14,123.53	12,757.35	1,366.18	0.00	SHARES: 300 00 SOLD 06-27-01 ACQUIRED 07-15-97
06-27-01 ACQUIRED 07-02-97	5,591.81	4,493.50	1,098.31	0.00	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 07-02-97
06-27-01 ACQUIRED 08-27-98	3,122.89	3,450.00	327.11-	0.00	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 08-27-98
06-27-01 ACQUIRED 01-22-98	4,021.86	4,402.00	380.14-	0.00	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-22-98
06-27-01 ACQUIRED 01-16-98	25,347.15	31,583.00	6,235.85-	0.00	SHARES: 400 00 SOLD 06-27-01 ACQUIRED 01-16-98
PHILIPPS PETROLEUM CO COMMON STOCK, #1 25 PAR REGIONS FINANCIAL CORP COM PITNEY BOWES INC , COMMON STOCK, #2 PAR PROCTER & GAMBLE CO, COMMON STOCK, NO PAR PROGRESS ENERGY INC COM					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	4,348 85	4,177.00	171 85	0.00	SHARES 100 00 PROVIDIAN FINL CORP COM
06-27-01 SOLD 01-16-98 ACQUIRED	5,387.82	1,521 50	3,866 32	0.00	SHARES 100 00 PUBLIC SERVICE ENTERPRISE GROUP INC, COMMON STOCK
06-27-01 SOLD 01-16-98 ACQUIRED	4,866 83	3,145 75	1,721 08	0.00	SHARES 100 00 #REORG QUAKER OATS CO STK MERGER TO PEPSICO INC 2710850 EFF 8/2/01
06-27-01 SOLD 01-16-98 ACQUIRED	9,121.69	5,239 50	3,882 19	0 00	SHARES 100 00 QUALCOMM INC COM
06-27-01 SOLD 07-21-99 ACQUIRED	16,667 44	11,746.88	4,920 56	0 00	SHARES 300 00 QUEST COMMUNICATIONS INTL INC COM
06-27-01 SOLD 01-16-98 ACQUIRED	12,644 31	11,581 91	1,062.40	0 00	SHARES 420 93 ACQUIRED 01-16-98

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DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES: RADIOSHACK CORP COM	SOLD 06-27-01 ACQUIRED 01-22-98	2,375 18	1,817 33	557.85	0 00
SHARES: RALSTON-RALSTON PURINA GROUP	SOLD 06-27-01 ACQUIRED 01-16-98	2,786 90	1,729 13	1,057.77	0.00
SHARES: RAYTHEON CO COM NEW	SOLD 06-27-01 ACQUIRED 01-16-98	2,997.89	2,116 45	881 44	0.00
SHARES: RELIANT ENERGY INC COM	SOLD 06-27-01 ACQUIRED 01-16-98	2,733 90	5,014 50	2,280 60-	0 00
SHARES: ROYAL DUTCH PETROLEUM N Y REGISTRY SH PAR N 1 25 GLDR	SOLD 06-27-01 ACQUIRED 01-16-98	3,136.89	2,570 75	566 14	0 00
SHARES: SBC COMMUNICATIONS INC COM	SOLD 06-27-01 ACQUIRED 01-16-98	40,927 63	36,501 50	4,426 13	0.00



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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY
0.00	19,651.18	24,717.50	39,368.68	06-27-01 SOLD 08-19-96 ACQUIRED	SHARES: 1,000.00 SAFECO CORP, COMMON STOCK, \$5 PAR
0.00	1,844.11	4,852.00	3,007.89	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES: 100.00 SAFEMAY INC COMMON STOCK NEW
0.00	721.16	5,500.00	4,778.84	06-27-01 SOLD 11-12-98 ACQUIRED	SHARES: 100.00 SABRE HLDGS CORP
0.00	714.91	5,493.75	4,778.84	06-27-01 SOLD 11-12-98 ACQUIRED	SHARES: 100.00 ST PAUL COS INC COM
0.00	1,387.60	3,197.24	4,584.84	06-27-01 SOLD 02-15-96 ACQUIRED	SHARES: 100.00 SARA LEE CORP, COMMON STOCK
0.00	917.57	4,282.25	5,199.82	06-27-01 SOLD 01-22-98 ACQUIRED	SHARES: 100.00 SCHERING-PLOUGH CORP, COMMON STOCK, \$1 PAR
0.00	1,926.26	5,630.13	3,703.87	06-27-01 SOLD 01-22-98 ACQUIRED	SHARES: 200.00 SCHERING-PLOUGH CORP, COMMON STOCK, \$1 PAR

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	18,474 38	17,333.12	1,141.26	0.00	SHARES; SCHLUMBERGER LTD COM ISIN AN8068571086
06-27-01 SOLD 01-16-98 ACQUIRED	5,301.82	6,943 01	1,641 19-	0.00	SHARES; SCHWAB CHARLES CORP COMMON STOCK NEW
06-27-01 SOLD 01-16-98 ACQUIRED	8,014 73	4,043.89	3,970.84	0.00	SHARES; SEARS, ROEBUCK AND CO, COMMON STOCK, \$ 75 PAR
06-27-01 SOLD 10-29-97 ACQUIRED	4,074 86	4,199 44	124 58-	0 00	SHARES SIEBEL SYS INC COM
06-27-01 SOLD 05-04-00 ACQUIRED	4,414 85	6,522 66	2,107 81-	0.00	SHARES; TRANSCOAN SEDCO FOREX INC COM
06-27-01 SOLD 01-22-98 ACQUIRED	4,291 85	3,946 34	345 51	0.00	SHARES; SOLECTRON CORP COMMON STOCK

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD	3,545 88	4,449 19	903.31-	0.00	SHARES, SOUTHERN CO , COMMON STOCK, #5 PAR
01-12-99 ACQUIRED					
06-27-01 SOLD	4,717.84	3,072 21	1,645.63	0.00	SHARES SOUTHRUST CORP , COMMON STOCK, #2 50 PAR
01-16-98 ACQUIRED					
06-27-01 SOLD	5,141.82	3,987.50	1,154 32	0 00	SHARES: SOUTHWEST AIRLINES CO , COMMON STOCK, #1 PAR
02-26-99 ACQUIRED					
06-27-01 SOLD	3,457 88	1,519.70	1,938 18	0.00	SHARES SPRINT CORP COM
01-16-98 ACQUIRED					
06-27-01 SOLD	6,161 79	5,604 02	557 77	0.00	SHARES: SPRINT CORP PCS COM SER 1
07-03-96 ACQUIRED					
06-27-01 SOLD	6,644.77	1,304 41	5,340 36	0.00	SHARES: STARBUCKS CORP COM
07-08-96 ACQUIRED					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD	4,385.85	3,450 00	935 85	0 00	SHARES STATE STR CORP COM
06-27-01 ACQUIRED					
06-27-01 SOLD	9,787.84	2,763 50	2,024 34	0.00	SHARES SUN MICROSYSTEMS INC., COMMON STOCK, \$ 00067 PAR
01-16-98 ACQUIRED					
06-27-01 SOLD	16,444 45	6,181.65	10,262.80	0.00	SHARES SUN TRUST BANKS, INC , COMMON STOCK \$2.50 PAR
01-16-98 ACQUIRED					
06-27-01 SOLD	6,456.78	6,836 38	379 60-	0 00	SHARES SYSO CORP., COMMON STOCK, #1 PAR
01-22-98 ACQUIRED					
06-27-01 SOLD	5,725 80	2,269 75	3,456.05	0 00	SHARES TXU CORP COM
01-16-98 ACQUIRED					
06-27-01 SOLD	4,845 83	4,164.50	681.33	0 00	SHARES TARGET CORP COM
01-22-98 ACQUIRED					

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD	10,568.64	5,284.31	5,284.33	0.00	SHARES; TELLABS, INC, COMMON STOCK, NO PAR
01-16-98 ACQUIRED					
06-27-01 SOLD	1,662.94	2,485.38	822.44-	0.00	SHARES; TEMPLE INLAND INC, COMMON STOCK
01-16-98 ACQUIRED					
06-27-01 SOLD	5,312.82	5,289.50	23.32	0.00	SHARES; TENET HEALTHCARE CORP COM
01-16-98 ACQUIRED					
06-27-01 SOLD	5,086.83	3,182.14	1,904.69	0.00	SHARES; TERADYNE INC COM
12-22-97 ACQUIRED					
06-27-01 SOLD	3,346.88	4,860.25	1,513.37-	0.00	SHARES; TEXACO, INC COMMON STOCK, \$6.25 PAR
11-12-99 ACQUIRED					
06-27-01 SOLD	13,573.54	8,689.70	4,883.84	0.00	SHARES; TEXAS INSTRS INC COM
06-27-01 SOLD					
05-20-96 ACQUIRED					

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES, TOSCO CORPORATION COMMON STOCK NEW	SOLD 06-27-01 ACQUIRED 01-16-98	18,527 38	6,790 50	11,736.88	0 00
SHARES, TRICON GLOBAL RESTAURANT INC	SOLD 06-27-01 ACQUIRED 09-17-99	9,316.85	2,660.25	1,656.60	0 00
SHARES, TRIBUNE CO, COMMON STOCK, NO PAR	SOLD 06-27-01 ACQUIRED 01-16-98	9,336 85	2,695 75	1,641.10	0.00
SHARES, TYCO INTL LTD NEW COM	SOLD 06-27-01 ACQUIRED 01-22-98	9,020 86	3,085.38	935 48	0.00
SHARES, ADR UNILEVER N V NEW YORK SHS NEW	SOLD 06-27-01 ACQUIRED 01-16-98	32,344.92	15,018 99	17,325 93	0 00
SHARES, UNION PAC CORP COM	SOLD 06-27-01 ACQUIRED 01-16-98	11,953 60	13,318 49	1,364.89-	0.00

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 01-16-98 ACQUIRED	5,287 82	5,995.75	707 93-	0.00	SHARES UNUNITED TECHNOLOGIES CORP COM
06-27-01 SOLD 12-23-97 ACQUIRED	7,334 75	3,496.00	3,838.75	0.00	SHARES; UNITEDHEALTH GROUP INC COM
06-27-01 SOLD 01-22-98 ACQUIRED	6,286 79	2,401 00	3,885 79	0 00	SHARES UNUMPROVIDENT CORP COM
06-27-01 SOLD 01-22-98 ACQUIRED	3,257 89	5,048.88	1,790.99-	0.00	SHARES; V F. CORP., COMMON STOCK, NO PAR
06-27-01 SOLD 01-22-98 ACQUIRED	3,538 88	4,452.00	913 12-	0 00	SHARES· VERIZON COMMUNICATIONS COM
06-27-01 SOLD 01-16-98 ACQUIRED	20,907 30	17,941 50	2,965 80	0.00	SHARES· VERITAS SOFTWARE CORP COM
06-27-01 SOLD 01-16-98 ACQUIRED	26,134 13	20,942.42	5,191 71	0 00	SHARES· VERITAS SOFTWARE CORP COM

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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS	DESCRIPTION OF PROPERTY
06-27-01 SOLD 03-31-00 ACQUIRED	6,483.78	13,096.87	6,613.09-	0.00	SHARES; 100.00 VIACOM COMMON STOCK CL B
06-27-01 SOLD 01-16-98 ACQUIRED	31,222.95	16,566.36	14,656.59	0.00	SHARES 600.00 WACHOVIA CORP NEW COM #REGORG WACHOVIA CORP STK MERGER TO WACHOVIA CORP NEW COM 2-893758 & WACHOVIA CORP 2-893760 9-1-01
06-27-01 SOLD 01-16-98 ACQUIRED	6,956.76	7,789.50	832.74-	0.00	SHARES; 100.00 WAL-MART STORES, INC. COMMON STOCK, \$ 10 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	67,855.73	28,189.00	39,666.73	0.00	SHARES; 1,400.00 WALGREEN CO COMMON STOCK, \$1.25 PAR
06-27-01 SOLD 01-16-98 ACQUIRED	10,502.64	4,868.62	5,634.02	0.00	SHARES, 300.00 WASHINGTON MUT INC COM
06-27-01 SOLD 01-16-98 ACQUIRED	5,737.49	3,587.85	2,149.64	0.00	SHARES 156.00



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28% RATE  
 GAIN/LOSS

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DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES	SOLD 06-27-01 ACQUIRED 01-22-98	1,618 26	1,189.22	429 04	0 00
WASTE MGMT INC, DEL COM STK					
SHARES:	SOLD 06-27-01 ACQUIRED 10-30-97	5,933 80	6,085.52	151 72-	0 00
WELLS FARGO & CO NEW COM STK					
SHARES:	SOLD 06-27-01 ACQUIRED 01-16-98	27,449 08	22,362 00	5,087 08	0 00
MEYERHAEUSER CO , COMMON STOCK, #1 875					
SHARES:	SOLD 06-27-01 ACQUIRED 10-14-97	5,421 81	5,776 32	354 51-	0 00
WILLAMETTE INDUSTRIES INC , COMMON STOCK \$.50 PAR					
SHARES	SOLD 06-27-01 ACQUIRED 01-16-98	4,912.83	3,302.00	1,610 83	0.00
WILLIAMS COMPANY INC COMMON STOCK #1 PAR					
SHARES:	SOLD 06-27-01 ACQUIRED 01-16-98	6,559 78	4,949.40	1,610.38	0 00
WORLD COM INC/WORLDCO					

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28% RATE  
 GAIN/LOSS

DESCRIPTION OF PROPERTY

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
06-27-01 SOLD 09-09-96 ACQUIRED	14,179 52	12,060 12	2,119 40	0.00
WRIGLEY, WM, JR, CO, CAPITAL STOCK, NO PAR				
06-27-01 SOLD 01-16-98 ACQUIRED	4,686 84	3,857 25	829 59	0 00
XCEL ENERGY INC COM				
06-27-01 SOLD 01-16-98 ACQUIRED	2,785.90	2,757 25	28.65	0.00
SHARES:				
XILINX INC COMMON STOCK				
06-27-01 SOLD 11-05-99 ACQUIRED	3,992.86	4,409 38	416 52-	0.00
SHARES:				
YAHOO INC COMMON STOCK				
06-27-01 SOLD 12-07-99 ACQUIRED	3,745.87	34,675.00	30,929 13-	0 00
SHARES:				
US BANCORP				
06-27-01 SOLD 01-16-98 ACQUIRED	15,574 48	19,890.94	4,316.46-	0 00
SHARES:				
USX - MARATHON GROUP COMMON STOCK NEW				

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28% RATE	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ACQUIRED	DESCRIPTION OF PROPERTY	28% RATE	GAIN/LOSS
0 00	376 35-	3,283 25	2,906 90	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES · HEALTHSOUTH CORP COM STK	0 00	376 35-
0 00	1,195 53-	2,690 48	1,494 95	06-27-01 SOLD 12-19-97 ACQUIRED	SHARES · BANK ONE CORP COM	0 00	1,195 53-
0 00	5,245 80-	19,233.33	13,987 53	06-27-01 SOLD 01-16-98 ACQUIRED	SHARES · LONGS DRUG STORES CORP COM	0 00	5,245 80-
0 00	1,643.91-	5,947.76	4,303 85	06-29-01 SOLD 01-22-98 ACQUIRED	SHARES · LONGS DRUG STORES CORP COM	0 00	1,643.91-
0 00	2,672,771.34	6,487,689 72	9,160,461.06		SHARES · SUB-TOTAL	0 00	2,672,771.34
0 00	2,672,771 34	6,487,689 72	9,160,461 06		SHARES · TOTAL LONG TERM CAPITAL GAIN/LOSS-	0 00	2,672,771 34

END OF SALES SCHEDULE

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FEDERAL SCHEDULE OF GAINS AND LOSSES  
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\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 62,315.61-

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 62,315.61-

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 X 2,672,771.34

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 X 0.00

SUB TOTAL LONG TERM GAINS AND LOSSES 2,672,771.34

TOTAL LONG TERM CAPITAL GAINS AND LOSSES 2,672,771.34

Total Gains

\$2,610,145.73

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01261 ACCOUNT NO. 26-00354

SURDNA FOUNDATION -  
 ROCKEFELLER & COMPANY

FEDERAL SCHEDULE OF GAINS AND LOSSES  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
	2,252 79	0.00	2,252 79	0 00
	2,252 79		2,252 79	
LONG TERM CAPITAL GAINS AND LOSSES				
NASDAQ-100 INDEX CL ACTION SETTLEMENT PROCEEDS				
20%				
TOTAL LONG TERM CAPITAL GAIN/LOSS-				
END OF SALES SCHEDULE				

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SURDNA FOUNDATION -  
 PIMCO TOTAL RETURN FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

LONG TERM CAPITAL GAINS AND LOSSES  
 MFO PIMCO FDS PAC INVT MGMT SER TOTAL  
 RETURN FD INSTL CL

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
<b>SHORT TERM CAPITAL GAINS AND LOSSES</b>					
MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	UNITS: SOLD 12-06-00 ACQUIRED 06-14-00	75,000 00	72,526 67	2,473.33	
MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	UNITS: SOLD 12-20-00 ACQUIRED 06-14-00	150,000 00	143,798 11	6,201.89	
MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	UNITS: SOLD 02-28-01 ACQUIRED 06-14-00	5,300,000.00	5,013,377.61	286,622.39	
<b>SUB-TOTAL</b>					
TOTAL SHORT TERM CAPITAL GAIN/LOSS-					
		5,525,000.00	5,229,702.39	295,297.61	

SHORT TERM CAPITAL GAINS AND LOSSES  
 MFO PIMCO FDS PAC INVT MGMT SER TOTAL  
 RETURN FD INSTL CL

LONG TERM CAPITAL GAINS AND LOSSES  
 MFO PIMCO FDS PAC INVT MGMT SER TOTAL  
 RETURN FD INSTL CL

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SURDNA FOUNDATION -  
 PIMCO TOTAL RETURN FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
06-29-01 SOLD	5,984,441 08	5,770,297.61	214,143 47	0.00
06-29-01 SOLD	1,115,558 92	1,071,324.96	44,233 96	0.00
06-22-00 ACQUIRED				
UNITS: 107,887 71				
06-29-01 SOLD	7,100,000 00	6,841,622 57	258,377 43	0.00
06-22-00 ACQUIRED				
UNITS: 107,887 71				
SUB-TOTAL	7,100,000 00	6,841,622 57	258,377 43	0.00
TOTAL LONG TERM CAPITAL GAIN/LOSS-	7,100,000 00	6,841,622 57	258,377 43	0.00

END OF SALES SCHEDULE

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SURDNA FOUNDATION -  
 PIMCO TOTAL RETURN FUND

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
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\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 295,297.61

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 295,297.61

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 258,377.93

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0.00

SUB TOTAL LONG TERM GAINS AND LOSSES 258,377.93

TOTAL LONG TERM CAPITAL GAINS AND LOSSES

Total JAN 30, 2001  
 Misc. (Rounding Diff)  
 Total Capital Gains (Losses)

258,377.93  
 \$ 553,675.04  
 1

\$ 553,676.04



**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01241 ACCOUNT NO 26-00356 SURDNA FOUNDATION - MAIN CASH CUSTODY  
 AAB ACCOUNT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

PAGE 1

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
LONG TERM CAPITAL GAINS AND LOSSES				
#REORG TELE COMMUNICATIONS INC SER A TCI GROUP COM EXCH AT&T CORP a 757 STK MGR EFF 3-9-99 2000836	1,004 96		1,004 96	
NASDAQ-100 INDEX CL ACTION SETTLEMENT PROCEEDS	2,745 90		2,745 90	
SEARS, ROEBUCK AND CO. COMMON STOCK, \$ 75 PAR	796 94		796 94	
SUB-TOTAL	4,547 80	0.00	4,547 80	0.00
END OF SALES SCHEDULE				
TOTAL LONG TERM CAPITAL GAIN/LOSS-	4,547 80	0 00	4,547 80	0 00

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

01241 ACCOUNT NO. 26-00357  
 SS870 AAB

SURDNA FOUNDATION -  
 MISCELLANEOUS ACCOUNT

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 07-01-00 THROUGH 06-30-01

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
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DESCRIPTION OF PROPERTY

LONG TERM CAPITAL GAINS AND LOSSES  
 NASDAQ-100 INDEX CL ACTION SETTLEMENT  
 PROCEEDS

20%

TOTAL LONG TERM CAPITAL GAIN/LOSS-

2,762 57	2,762 57	0 00	2,762 57	0 00
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END OF SALES SCHEDULE