#### Form 990-PF

# **Return of Private Foundati**

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

Department of the Tressury Internal Revenue Service

Note The organization may be able to use a copy of this return to satisfy state reporting requirements

				<u>.</u>			,	reperting requirements	
	For	calenda	r year 2000, or tax yea	ir beginning JU	L 1, 2000	, and	ending	JUN 30, 200	1
<b>ZQQZ</b>	G (	heck all	l that apply 🔲 Ir	nitial return	Final return	Amended re	tum [	Address change	Name change
2	Hea	the IRS	Name of organization	П				A Employer identification	
20		abel	SURDNA FOU	JNDATION, I	INC.				
	011	erwise,	ATT: MARC	DE VENOGE				13-6108163	3
FE8		print	Number and street (or P	O box number if mail is no	t delivered to street address)		Room/suita	B Telephone number	
		r type	330 MADISC	ON AVENUE,	30TH FLOOR		11	212-557-0	010
Щ	See	Specifi ructions	City or town, state, a	nd ZIP code			•	C If exemption application is	pending, check here
μŠ	ınsı	ructions	NEW YORK,		-5001			D 1 Foreign organization	
⋾⋇	нα	heck ty	ne of organization	X Section 501(c)(3)	exempt private foundation			Organizations meeting to check here and attach of	the 85% test,
ENVELUPE Staar d		Section	on 4947(a)(1) nonexem	pt chantable trust	Other taxable private fou	ndation		1	
ᇎ	l Fa	ur marke	et value of all assets at	J Accou	nting method Cas	h X Acc	rual	E If private foundation st under section 507(b)(1	atus was terminated
2	er	nd of yea	on 4947(a)(1) nonexement et value of all assets at ar (from Part II, col. (c	c).	Other (specify)			F If the foundation is in a	., .
					olumn (d) must be on cas	h basis )		under section 507(b)(1	
	Pa	rt I	Analysis of Revenue an	d Expenses	(a) Revenue and				(d) Disbursements
			The total of amounts 'c), and (d) may not n		expenses per		nvestment :ome	(c) Adjusted net income	for charitable purposes
			he amounts in colum		books	""		N/A	(cash basis only)
		1 C	ontributions grits grants et	tc received	1,595,930				STATEMENT 2
		2 Di	stributions from split-in	nterest trusts					
		3 Int	terest on savings and temporals investments	orary	148,200	. 14	18,200.	,	STATEMENT 3
		4 Di	ividends and interest fro	om securities	19,372,587	37,26	0,745.	,	STATEMENT 4
		5a Gi	ross rents						
			et rental income or (loss)		)				
		Qa <sub>lin</sub>	et gain or (loss) from sale of ie 10		64,290,011	•			STATEMENT 1
	Ē	Di Gr as	ross sales price for all 34	<u> 15,007,535.</u>					
	Revenue	7 Ca	spital gain net income (from	Part IV line 2)		57,82	7,651.		
	ш	8 Ne	et short-term capital gai	ın	<del></del>		<del>.</del>		<u> </u>
			come modifications	•	***************************************				
		10a Gr and	ross sales less returns d allowances						
		b Le	ss Cost of goods sold						
		c Gr	ross profit or (loss)		.4 000 177	<u> </u>	4 0.60		
			ther income		<4,828,177		4,269.		STATEMENT 5
			otal Add lines 1 through		80,578,551				1 150 061
		_	ompensation of officers dire	•	1,158,861		0.		1,158,861.
		•	her employee salaries a		506,715		0.		506,715.
	S		ension plans, employee		405,561		0.		405,561.
	nses	1	egal fees	STMT 6	74,568		0.		74,568.
	ф	i	counting fees	STMT 7	1,364,148		0. 5,092.		44,500.
	ÐE		her professional fees	STMT 8	1,304,140	•	5,032.		409,056.
	ativ		terest	FD STNT 9	701,057	+	0.		105,057.
	stra	1	Xes RECEIV		111,940		0.		103,037.
	ב		preciation and depletion	"  8	329,314		0.	<del></del>	329,314.
Ŋ	뒫	20 O	csupancy avel, con English and	2002. 9	488,806		0.		488,806.
3.0	Þ			TOSO SA	50,098		0.		50,098.
	9 9	22 0	inting and publications her expenses ) E.M.				0,273.		554,857.
MR 13'02	Operating and Administrative Expen		tal operating and adm		313,231		0,213.		334,037.
Œ	era		penses Add lines 13 ti		5,814,859	2.34	5,365.		4,127,393.
	õ		ontributions, gifts, grant		39,187,716		3,303.		39,531,216.
Ω			onthousions, gins, grant Ital expenses and disbi		05/10//10	-		<u> </u>	37,331,210.
SCANNED			id lines 24 and 25		45,002,575	2.34	5,365.		43,658,609.
Ž			ibtract line 26 from line	12			-,		1.0,000,000.
S			cess of revenue over e		1				
Š			sbursements		35,575,976				
	1		et investment income (	if negative enter 0-1			5,500.		
			ljusted net income (it a					N/A	

=		Attached schedules and amounts in the description	Beginning of year	Fnd	of year
F	art	Balance Sheets Column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
_	1	Cash - non-interest-bearing	17,	(5) 55511 5551	(c) reministrates
		Savings and temporary cash investments	471,331.	1,104,466.	1,104,466.
		Accounts receivable			
		Less allowance for doubtful accounts		ľ	1, 0,00
	4	Pledges receivable	,, <u>\</u>		
		Less allowance for doubtful accounts	]		) × 0.00 c 0.000
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			, no
		Less allowance for doubtful accounts			
LA	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
AS	10a	Investments - U.S. and state government obligations $$ STMT $$ $$ $$ $$ $$ $$ $$ $$ $$ $$	46,146,654.	41,759,010.	41,759,010.
	t	investments - corporate stock STMT 12	404,701,132.	359,906,191.	359,906,191.
	C	Investments - corporate bonds STMT 13	133,585,375.	146,071,094.	146,071,094.
	11	Investments land, buildings, and equipment basis SEE SCH. 19 0.	, ,	,	, , ,
		Less: accumulated depreciation	0.	0.	0.
	12	Investments - mortgage loans	00 010 600		
	13	Investments - other STMT 14	92,010,638.	88,461,435.	88,461,435.
	14		240,070	, , , , , , ,	315,504.
		Less: accumulated depreciation > 519,066.		315,504.	315,504.
	15	Other assets (describe STATEMENT 15)	2,129,878.	2,344,316.	2,344,316.
		<b>-</b>	670 204 990	620 062 016	620 062 016
_		Total assets (to be completed by all filers)	150,922.	134,087.	639,962,016.
	17	Accounts payable and accrued expenses		15,071,500.	<b>,</b>
40	18 19	Grants payable Deferred revenue	13,413,000.	15,071,500.	
Liabilities	20				
ğ	21	Loans from officers directors trustees and other disqualified persons  Mortgages and other notes payable	·	<del></del> -	· · · · ·
ڐ	22	• •		<u></u> .	
	23	Total Habilities (add lines 17 through 22)	15,565,922.	15,205,587.	
		Organizations that follow SFAS 117, check here			
		and complete lines 24 through 26 and ilnes 30 and 31			`(
Ces	24	Unrestricted	663,828,958.	624,756,429.	
뗥	25	Temporarily restricted			
Ba	26	Permanently restricted			
or Fund Balance		Organizations that do not follow SFAS 117, check here			
Ē		and complete lines 27 through 31			
	27	Capital stock, trust principal or current funds			
Assets	28	Paid-in or capital surplus, or land, bldg , and equipment fund			, , , ,
Ä	29	Retained earnings, accumulated income, endowment, or other funds			, , , , , , , , , , , , , , , , , , ,
ž	30	Total net assets or fund balances	663,828,958.	624,756,429.	
			5		."
	31	Total liabilities and net assets/fund balances	679,394,880.	639,962,016.	
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
		······································	<u> </u>	<del></del>	
		I net assets or fund balances at beginning of year - Part II, column (a), line	30		662 020 0F0
		st agree with end-of-year figure reported on prior year's return)		1	663,828,958.
		r amount from Part I line 27a		2	35,575,976.
		r increases not included in line 2 (itemize)	<del>- ·         - ·   - · · · · · · · · · · </del>		0. 699,404,934.
		lines 1, 2, and 3 eases not included in line 2 (iternize) ► NET UNREALIZED	LOSS ON THUR	STMENTS 5	74,648,505.
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		6	624,756,429.
<del>-</del>	, 5,0		10/ 11/00		From 990-PF (2000)

Form 990-PF (2000) ATT: MAR DE VENOGE

4			1	3	_
	_				

Part IV Capital Gains and	Losses for	Taxon Investment Income		_						
(a) List and di 2-story brick	escribe the l warehouse	and(s) of property sold (e.g., or common stock, 200 shs	, real estate, MLC Co )		P	How ac - Purc - Dona	nase		acquired lay, yr )	(d) Date sold (mo , day, yr )
1a SEE SCHEDULE	ATTAC	HED						VARI	OUS	VARIOUS
<u>b</u>										
<u>t</u>										ļ. <u> </u>
<u>d</u>	<del></del>		<del></del>		<u> </u>				<del></del>	
<u> </u>	1 0	Depresation allowed	(n) Co.	rt or other back	L.,	_		(b) G	arn or /loce	1
(e) Gross sales price		Or allowable)	plus e	st or other basis expense of sale					iam or (loss s (f) minus	(0)
<u>a</u> 345,007,535	• -		28	7,179,88	4.				5	7,827,651.
<u>b</u>										
d d				<del></del>		<u>.                                    </u>				
e			<del></del> -			<del></del>				
Complete only for assets sho	wing gain is	column (h) and owned by t	the foundation	1 ол 12/31/69				Gams (0	Col (h) gain	minus
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Ex	cess of col (i)				(k), but	not less tha (from col-(	n -0-) or
a				0,,	$\dashv$	_	<del></del>		5	7,827,651.
b		<del>-</del>			$\dashv$	_				., 02., 700.11
C					$\neg$					
d				_						<u> </u>
е										
2 Capital gain net income or (ne	t capital loss	(If gain, also enter If (loss), enter -0-	in Part I, line - in Part I, line	<sup>7</sup> <sub>7</sub> }		2			5	7,827,651.
3 Net short-term capital gain or if gain, also enter in Part I, line if (loss), enter -0- in Part I line	8 column		d (6)						N/A	
<del></del>		940(e) for Reduced Tax on	Not Invoctmo	nt Income	7.1	_3			N/A	
If section 4940(d)(2) applies, leav Was the organization liable for the If "Yes," the organization does not 1. Enter the appropriate amount	section 49-	42 tax on the distributable a er section 4940(e). Do not c	omplete this	part		? 				Yes X No
(a) Base penod years	•	(b) Adjusted qualifying dist		Net value of no	(c)		use assets		Distrit	(d) outlon ratio rided by col (c))
Calendar year (or tax year begr 1999	innig in)		1,309.	!_			7,843		(cor (b) div	.0496873
1998	_		3,262.				8,419			.0539292
1997			9,745.				6,802			.0415916
1996			2,665.				4,695			.0484980
1995		21,21	7,691.	4	<u>53</u>	<u>,94</u> :	2 <b>,</b> 908			.0467409
2 Total of line 1, column (d)								2		.2404470
3 Average distribution ratio for t the foundation has been in exi			on line 2 by 5,	or by the number	of ye	ars		3_		.0480894
4 Enter the net value of nonchar	table-use as	ssets for 2000 from Part X, I	ine 5					4_	64	8,609,835.
5 Multiply line 4 by line 3								5	3	1,191,258.
6 Enter 1% of net investment inc	come (1% o	f Part I, line 27b)						6		930,455.
7 Add lines 5 and 6								7_	3	2,121,713.
8 Enter qualifying distributions f	rom Part XII	, line 4						8	4	3,736,181.
If line 8 is equal to or greater t See the Part VI instructions	han line 7, c	heck the box in Part VI, line	1b, and comp	lete that part using	g a 19	% tax r	ate 			<del> </del>
										Form 990-PF 2000s

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Require	ed -				
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies				Yes	No
1a During the year did the organization (either directly or indirectly)				:	
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	T Yes	X No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)					
a disqualified person?	Yes Yes	X No			
(3) Furnish goods, services or facilities to (or accept them from) a disqualified person?	Yes	X No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X Yes	No			
(5) Transfer any income or assets to a disqualified person (or make any of either available					
for the benefit or use of a disqualified person)?	Yes	X No			
(6) Agree to pay money or property to a government official? (Exception Check "No"					
if the organization agreed to make a grant to or to employ the official for a period after					
termination of government service, if terminating within 90 days )	Yes	X No			
b If the answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations					
section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?			1b		X
Organizations relying on a current notice regarding disaster assistance check here		ightharpoons			
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not c	orrected				
before the first day of the tax year beginning in 2000?			1c		<u> X</u>
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating	foundation				
defined in section 4942(j)(3) or 4942(j)(5))					
a At the end of tax year 2000, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year	r(s) beginning				
before 2000?	Yes	X No		1	
If "Yes," list the years 🚩					
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating	g to incorrect				
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No"	and attach	_		١.	
statement - see instructions )		N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here					
<b>&gt;</b>					
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time					
dunng the year?	Yes	X No			
b If "Yes" did it have excess business holdings in 2000 as a result of (1) any purchase by the organization or disqualified	l persons atte	Г			
May 26 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943		ose			
of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use S	chedule C,				
Form 4720, to determine if the organization had excess business holdings in 2000)		N/A	3b		
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?			4a		X
b Did the organization make any investment in a prior year (but after December 31 1969) that could jeopardize its charit	able purpose	that			
had not been removed from jeopardy before the first day of the tax year beginning in 2000?			4b		X
5a During the year did the organization pay or incur any amount to					
(1) Carry on propaganda or otherwise attempt to influence legislation (section 4945(e))?	Yes	X No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly,					
any voter registration drive?		X No			
(3) Provide a grant to an individual for travel, study or other similar purposes?	Yes	X No		·	
(4) Provide a grant to an organization, other than a charitable letcl, organization described in section					
509(a)(1), (2), or (3), or section 4940(d)(2)?	Yes	X No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for		<del></del> -			
the prevention of cruelty to children or animals?		X No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulating	ons	/-			
section 53 4945, or in a current notice regarding disaster assistance (see instructions)?		N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here					
c If the answer is "Yes" to question 5a(4) does the organization claim exemption from the tax because it maintained					
expenditure responsibility for the grant? N/A	Yes	סא 📖			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)					
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on					
a personal benefit contract?	Yes	X No			
b Did the organization during the year, pay premiums, directly or indirectly on a personal benefit contract?			6b		<u>X</u>
If you answered "Yes" to 6b, also file Form 8870			<u> </u>		
		F	om 99	U-PF	(2000)

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Part VIII Information About Onicers, Directors, Trust and Contractors	ees, Foundati	on Ma	nagers, Highl	y Paid Emp	loyees,
1 List all officers, directors, trustees, foundation managers and their	compensation				<u> </u>
(a) Name and address	(b) Title, and ave hours per week d to position	evoled	(c) Compensation (If not paid, enter -0-)	(d) Conjubitions to employee benefit plans and deferred compensation	(e) Expense account other allowances
SEE STATEMENT 16			1158861.	129,008	0.
	_				
	1				<u></u>
2 Compensation of five highest- paid employees (other than those in			enter "NONE "	(at) s	T
(a) Name and address of each employee paid more than \$50 000	(b) Title and ave hours per we devoted to posi	ek tion	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DARA MAJOR	SPECIAL A		1	1	
330 MADISON AVE, NY, NY 10017	FULL-TIME		71,321.	12,080	0.
JONATHAN GOLDBERG 330 MADISON AVE, NY, NY 10017	GRANTS AD FULL-TIME			0 002	
CAMILLA SETH	ASS PGM O		78,375.	9,883	0.
330 MADISON AVE, NY, NY 10017	FULL-TIME		72,419.	9,276	. 0.
SHABA HOLLEY-KEYS	ASS PGM O			7,210	
330 MADISON AVE, NY, NY 10017	FULL-TIME		70,606.	8,344	. 0.
	11112		, , , , , , , ,	3,311	· · · · · · · · · · · · · · · · · · ·
T. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	<u> </u>			<u> </u>	1
Total number of other employees paid over \$50 000				<b>•</b>	0
3 Five highest- paid independent contractors for professional service (a) Name and address of each person paid more than \$50,000		NONE '	(b) Type of serv	rica I	(c) Compensation
ALLIANCE BERNSTEIN		INVE	STMENT	100	(e) compensation
I NORTH LEXINGTON AVE., WHITE PLAINS,					371,522.
STANDISH MELLON			STMENT		<u> </u>
1 FINANCIAL CENTER, BOSTON, MA 02111			GEMENT		215,303.
NORTHERN TRUST COMPANY			STMENT		•
50 SOUTH LASALLE ST, CHICAGO, IL 6067	5	MANA	GEMENT	•	140,572.
NEUBERGER & BERMAN		•	STMENT		
605 THIRD AVE, NEW YORK, NY 10158			GEMENT		177,515.
CAMBRIDGE ASSOCIATES, LLP			STMENT		100 005
WINTHROP SQUARE, SUITE 500, BOSTON,	MA 02110	MANA	GEMENT		103,807.
Part IX-A Summary of Direct Charitable Activities			<del></del>	<u> </u>	4
			francisco de la dec		<del></del>
List the foundation's four largest direct charitable activities during the tax year linclinumber of organizations and other beneficianes served, conferences convened, res	ude relevant statistica search papers produc	u imorina ed. etc	non such as the	!	Expenses
N/A		•		_	
		•			
2					
3				$\longrightarrow$	

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. <u>.</u>		13-610

	Part IX-B Summary of Program-Related investments			
_	escribe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2			Amount
1_	_N/A			
_				
_				
2 _				
_				
_				
ΑI	Il other program-related investments. See instructions		İ	
3 _				
_				
_				<del>_</del>
P	Part X. Minimum Investment Return (All domestic foundations must complete this part. For	reign foundati	ions, s	see instructions )
<del>-</del> 1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
	Average monthly fair market value of securities		1a	564,956,410.
	Average of monthly cash balances		1b	564,956,410. 4,540,241.
	Fair market value of all other assets		10	88,990,491.
d	Total (add lines 1a b, and c)		1d	658,487,142.
	Reduction claimed for blockage or other factors reported on lines 1a and		.,	
	1c (attach detailed explanation)	0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	658,487,142.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	9,877,307.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4		5	648,609,835.
6	Minimum investment return Enter 5% of line 5		6	32,430,492.
P	Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating found	dations and cei	taın	
_	ioreign organizations check nere	1		22 420 402
1	Minimum investment return from Part X, line 6	. 455	1	32,430,492.
2a		0,455.		
þ	, , , , , , , , , , , , , , , , , , , ,		^	020 455
		}	2c_	930,455. 31,500,037.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	31,500,037.
	Recoveries of amounts treated as qualifying distributions 4a	0.		
b	Income distributions from section 4947(a)(2) trusts			^
_ C _		-	4c	<u>0.</u> 31,500,037.
5	Add lines 3 and 4c	ŀ	5	31,300,037.
5	Deduction from distributable amount (see instructions)	-	6	31,500,037.
<u>_</u>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.		7	31,500,037.
P	art XII Qualifying Distributions (see instructions)			
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes			
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	Į.	1a	43,658,609.
b	Program-related investments - total of lines 1-3 of Part IX-B	L	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		2	77,572.
3	Amounts set aside for specific charitable projects that satisfy the	ŀ		
a	Suitability test (prior IRS approval required)	Ĺ	3a	
b	Cash distribution test (attach the required schedule)	į	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4		4	43,736,181.
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment		Ī	_
	income Enter 1% of Part I, line 27b		5	930,455.
6	Adjusted qualifying distributions Subtract line 5 from line 4	Ŀ	6	42,805,726.
	Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating who	ether the four	ndatio	n qualifies for the section
	4940/o) metrotion of tax in those years			

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Part XIII Undistributed Income (see Instructions)

	(a) Corpus	(b) Years prior to 1999	(c) 1999			(d) 2000
1 Distributable amount for 2000 from Part XI, line 7				<del></del>	31.5	500,037.
2 Undistributed income, if any, as of the end of 1999		, )				
a Enter amount for 1999 only	· · · · · · · · · · · · · · · · · · ·			0.		<del></del>
D Total for prior years 19,19,19		0.	3, *	·	^ ^^	
3 Excess distributions carryover If any to 2000-	[			, ,		` .
a From 1995			`	." .		2 /
b From 1996	,			ŕ		
¢ From 1997		j) ,	,	-	7 /	
d From 1998 1,372,635.			ĺ			,
e From 1999 693, 233.	2 2000			`	1	. ~
f Total of lines 3a through e	2,065,868.					
4 Qualifying distributions for 2000 from		,				. *
Part XII, line 4 ▶\$ 43,736,181.	` ``	`		•	, ,	_
a Applied to 1999, but not more than line 2a				0.	<b></b>	
b Applied to undistributed income of prior	Í	•			· `	
years (Election required - see instructions)		0.		·	ļ	<del></del>
c Treated as distributions out of corpus			``	-	1	
(Election required - see instructions)	0.					
d Applied to 2000 distributable amount	10 000 144		<del></del>		$\frac{31,5}{}$	00,037.
e Remaining amount distributed out of corpus	12,236,144.				<b></b>	
5 Excess distributions carryover applied to 2000 (if an amount appears in column (d) the same amount	0.			<del></del>		0.
must be shown in column (a) )  S. Enter the part total of each column as			,			
6 Enter the net total of each column as Indicated below					Ť	٠
	14,302,012.					
Corpus Add lines 3f 4c and 4e Subtract line 5     Peror years, undertributed years. Subtract	14,302,012.		······································	<del></del>		
b Prior years undistributed income Subtract line 4b from line 2b		0.		,		
c Enter the amount of prior years'			· · · · · · · · · · · · · · · · · · ·			·····
undistributed income for which a notice of deficiency has been issued or on which the section 4942(a) tax has been previously			•	_		*
assessed		0.			``	
d Subtract line 6c from line 6b. Taxable			·			
amount - see instructions		0.				<u> </u>
e Undistributed income for 1999. Subtract line 4a from line 2a. Taxable amount - see instr	•			0.		N.
f Undistributed income for 2000 Subtract	, ,					<del></del>
lines 4d and 5 from line 1. This amount must	,					
be distributed in 2001	<u></u>		,	^		0.
7 Amounts treated as distributions out of	<u> </u>					
corpus to satisfy requirements imposed by		. "		[	7,2	
section 170(b)(1)(E) or 4942(g)(3)	0.		``		^	` `
8 Excess distributions carryover from 1995						<del></del>
not applied on line 5 or line 7	0.					
9 Excess distributions carryover to 2001			<del></del>			<del></del>
Subtract lines 7 and 8 from line 6a	14,302,012.			: 1		
10 Analysis of line 9	11,002,012.		<del></del>			
a Excess from 1996	1			` [		
b Excess from 1997		1	•			
				·	· ,	
t Excess from 1998 1,372,635.  d Excess from 1999 693,233.				-	,	Ć.
Excess from 2000 12, 236, 144.					`	
# # 1 1 2 1 2 3 0 1 1 4 4 •		<u></u>			<u>`</u>	

Form 990-PF (2000)

	RC E VENOG				.08163 Page 9
Part XIV Private Operating Foundation	ns (see instructions and F	Part VII-A, question 9)		N/A	
1 a If the foundation has received a ruling of		-			
foundation, and the ruling is effective fo			▶ └		
b Check box to indicate whether the organ		ing foundation describe		<u> </u>	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Pnor 3 years	<del></del>	
income from Part I or the minimum	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
investment return from Part X for				İ	
each year listed		_	<u></u>		
b 85% of line 2a		·			
<ul> <li>Qualifying distributions from Part XII,</li> </ul>					
line 4 for each year listed				<u> </u>	
d Amounts included in line 2c not	}			}	
used directly for active conduct of			1		
exempt activities			<u> </u>	<u>_</u>	
e Qualifying distributions made directly					
for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
atternative test relied upon					
a "Assets" alternative test - enter					1
(1) Value of all assets	l				!
(2) Value of assets qualifying		•			
under section 4942(j)(3)(B)(i)				i	
b "Endowment" alternative test -					
Enter 2/3 of minimum investment					
return shown in Part X, line 6 for					į
each year listed					-
c "Support" alternative test - enter					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					•
securities loans (section 512(a)(5)) or royalties)	j				
(2) Support from general public		-	<del> </del>	<del></del>	-
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from			<del> </del>		
an exempt organization			İ		
(4) Gross investment income			<del> </del>		
Part XV Supplementary Information	Complete this part only i	t the organization had :	55.000 or more in asset	s at any time during the v	ear-see page 26 of
the Instructions )	,,			, <b>,,,,</b>	
1 Information Regarding Foundation Ma	219060	<del></del>			
a List any managers of the foundation wh	•	than 2% of the total con	tributions received by the	a foundation before the clo	se of any fax
year (but only if they have contributed n	nore than \$5,000) (See se	ection 507(d)(2) )	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		50 01 any tan
NONE					
b List any managers of the foundation wh	o own 10% or more of the	e stock of a corporation	(or an equally large node	on of the ownership of a o	artnership or
other entity) of which the foundation ha	s a 10% or greater interes	t	(o. a oquan) iaigo poili	on or the entropying or a p	antinoromp of
NONE					
2 Information Regarding Contribution, G	rant, Gift, Loan, Scholar	shlo, etc., Programs			
Check here - I if the organization		•	e organizations and does	s not accept unsolicited re-	quests for funds If
the organization makes gifts, grants, etc	, to individuals or organiz	rations under other cond	litions, complete items 2	a, b, c, and đ	•
a The name, address, and telephone num	ber of the person to whom	n applications should be	addressed		
SEE SCHEDULE ATTACHE	D				
	<u>-</u>				
b The form in which applications should b		ion and materials they s	hould include		
SEE SCHEDULE ATTACHE	<u>u</u>				<del></del>
c Any submission deadlines NONE					
d Any restrictions or limitations on awards	s, such as by geographica	l areas, charitable fields,	kinds of institutions or	other factors	
SEE SCHEDULE ATTACHE	D				

SURDNA FOUNDATION, INC.

ATT: MAR DE VENOGE

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Supplementary Information (conunued) Part XV 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Purpose of grant or contribution show any relationship to any foundation manager Foundation Amount status of Name and address (home or business) or substantial contributor recipient a Paid during the year SEE SCH. 15, 23 AND 24 PUBLIC 39531216. **▶** 3a 39531216. Total b Approved for future payment SEE SCH. 15, 23 AND 24 15071500. PUBLIC Total 15071500. **▶** 3b

Form 990-PF (2000)

SURDNA FOUNDATION, INC. ATT: MAR DE VENOGE

4	

ter gross amounts unless otherwise indicated	Unrelated b	usiness income		ed by section 512, 513, or 514	(e)
	(a) Business	(b) Amount	(c) Exclu- sion	(d) Amount	Related or exempt function income
Program service revenue	code		code	Amount	tuncuon income
a			$\perp \perp$		<del>-</del>
b			<del> </del>		
c			<del>                                     </del>		
d		· · · · · · · · · · · · · · · · · · ·	<del> </del> .		
8			<del> </del>		
<u> </u>			<del>                                     </del>		<u> </u>
Fees and contracts from government agencies			$\perp \perp$		<u> </u>
Membership dues and assessments					
interest on savings and temporary cash					
investments			14	148,200. 19,372,587.	
Dividends and interest from securities			14	19,372,587.	
Net rental income or (loss) from real estate	<u> </u>	^ / /			77
a Debt-financed property					
b Not debt-financed property			1		
Net rental income or (loss) from personal					
property					
Other investment income		_			
Gain or (loss) from sales of assets other			14/		
than inventory	L		18	64,290,011.	
Net income or (loss) from special events					
Gross profit or (loss) from sales of inventory					
Other revenue		· -			
a PARTNERSHIP INCOME(LOSS)	525990	<22,896.	<u>&gt;18</u>	<4,805,281.>	
b					
·					
d					
6					
Subtotal Add columns (b) (d), and (e)		<22,896.		79,005,517.	
Total Add line 12, columns (b) (d), and (e)				<b>▶</b> 13	78,982,62
e worksheet in line 13 instructions to verify calculations )					
art XVI-B Relationship of Activities to the Accom	allebrant of Evor	nt Purnoene		<u></u>	
METALED NEGROUSING OF METALOGS TO THE METALOGS		hr Lashazez		<del></del> _	
ne No Explain below how each activity for which inco	me is reported in co	olumn (e) of Part XVI-A	contribu	ted importantly to the accompl	ishment of
the organization's exempt purposes (other than	by providing fund	s for such purposes)			

Part XV	I-B Relationship of Activities to the Accomplishment of Exempt Purposes
Lina No	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
-	

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Part XVII Information Regarding Transiers To and Transactions and Relationships With Noncharitable **Exempt Organizations** 

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of								Yes	No
	the C	ode (other than section 501(c)	(3) organizat	ons) or in section 527, rela	iting to political o	organizations?			;
	Trans	sfers from the reporting organi	zation to a no	ncharitable exempt organiz	ation of		1	₹,	
	(1)	Cash					1a(1)		X.
	(2)	Other assets					1a(2)		_X_
ı	Othe	r Transactions					,	, ,	,
	(1)	Sales of assets to a nonchanta	ble exempt or	ganization			1b(1)		X
	(2)	Purchases of assets from a no	nchantable ex	empt organization			1b(2)	<u> </u>	X
	(3)	Rental of facilities, equipment,	or other asset	S			1b(3).	<u> </u>	X
	(4)	Reimbursement arrangements					16(4)	<u></u>	X
	(5)	Loans or loan guarantees					16(5)		X
	(6)	Performance of services or me	mbership or f	undraising solicitations			16(6)		Х
(	: Shan	ing of facilities, equipment ma	iling lists, oth	er assets, or paid employee	is		10		<u>X</u>
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other								ets	
	92 10	rvices given by the reporting o	rganization li	the organization received i	less than fair mai	rket value in any transaction or sharing arrangement	, show i	in	
	<u>cotur</u>	nn (d) the value of the goods,	other assets,	or services received					
(a)	Line no	(b) Amount involved	(c) Name	of noncharitable exempt of	organization	(d) Description of transfers, transactions, and sha	ring ari	angem	ents
_				N/A					
	_				<del></del>				
			_						
					·				
				·····					
				<del></del>					
2:	ls the	organization directly or indire	ctly affiliated i	with or related to one or m	ore tax-exempt of	organizations described			
_		ction 501(c) of the Code (other	-		-	.,	Yes	X	No
		s,* complete the following schi			•				
		(a) Name of organization		(b) Type of org		(c) Description of relationship			
	_	(a) tourne or organization		N/A					
						<del></del>		-	
	-		7	<del> </del>		<del></del>			
_				/ /					
	Under	penalties of perjury I deplay that I h	ave example of the	ils return including accompanyli	ng schedules and si	tatements and to the best of my knowledge and belief it is tr	ue, corre		
		mplete. Declaration of preparer (other							
				W	1				
인	$\overline{s}$	ignature of officer or trustee			<u>'</u>				
Sign Here	<u> </u>	Prenarer's	<del></del> _						
6	ນັ≱	signature   Carles	ı Sleli	Yon					
S	Preparer's Use Only	Firms name (or years BDO		N, LLP					
	g 6 8	firms name (or yours BDO if self-employed). 330		N AVENUE					
	دے	actoriess, and ZIP code NEW		NY 10017-500					
		1	Z OINI	1,1 10017 500					

# SURDNA FOUNDATION, INC. EIN: 13-6108163

FORM 990-PF JUNE 30, 2001

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Gain or Loss from Sale of Assets	Stmt 1
Contributions Received	Stmt 2
Interest on Savings & Temporary Cash Investments	Stmt 3
Dividends & Interest from Securities	Stmt 4
Other Income	Stmt 5
Legal Fees	Stmt 6
Accounting Fees	Stmt 7
Other Professional Fees	Stmt 8
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Other Expenses	Stmt 10
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Corporate Stock	Stmt 12
Corporate Bonds	Stmt 13
Other Investments	Stmt 14
Other Assets	Stmt 15
List of Officers and Directors	Stmt 16
Interest on Savings & Temporary Cash Investments	Sch 1

# SURDNA FOUNDATION, INC. EIN: 13-6108163 FORM 990-PF JUNE 30, 2001

# **TABLE OF CONTENTS (2 OF 3)**

	Schedule/Statement
Dividends & Interest from Securities	Sch 1
Other Income	Sch 2
Capital Gains and Losses Summary	Sch 3
Capital Gains and Losses Sections	Sections 1 - 13
K-1 Summary	Sch 4
Form K-1's	Sch 5 - 13
Depreciation	Sch 14
Grants Paid	Sch 15
Investments	Sch 16 & 17
2000 Estimated Tax Payments & 1999 Overpayment Credited to 2000	Sch 18
FMV of Real Property	Sch 19
Minimum Investment Return Information	Sch 20
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#### SURDNA FOUNDATION, INC. EIN: 13-6108163 FORM 990-PF

JUNE 30, 2001

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	Schedule/Statement
Form 8868 - Extension to 2/15/02	Sch 25
Footnote to Schedules of Capital Gains and Losses	Sch 26
Schedules of Capital Gains and Losses:	
Standish Ayer & Wood	151 pages
Grantham Mayo Van Otterloo Growth	3 pages
MFS Assets Management Group	4 pages
Neuberger & Berman	132 pages
Morgan Stanley - International	1 page
Morgan Stanley - Emerging Markets	1 page
Grantham Mayo Van Otterloo Global	8 pages
Sanford C Bernstein	58 pages
TNT Equity Index	190 pages
Rockefeller	1 page
PIMCO	3 pages
Main Cash	1 page
Miscellaneous	1 page

	GAI	N OR (LOSS) FRO	OM SALE OF ASS	ETS		STATEMENT
•	A) I OF PROPERTY			NER IRED	DATE ACQUIF	
SEE SCHEDUL	E ATTACHED		PURCH	ASED	VARIOU	JS VARIOUS
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE		(E)	(F) GAIN OR LOS
	345,007,535.	280,717,524.	0.		0.	64,290,01
	NS DIVIDENDS F					64,290,01
			<u></u>		<del></del>	
		H CONTRIBUTIONS INCLUDED ON PA	ART I, LINE 1A		DATE OF	
CONTRIBUTOR		CONTRIBUTOR'S	ART I, LINE 1A  S ADDRESS  TRUST CO, 45		DATE OF GIFT VARIOUS	AMOUNT
CONTRIBUTOR TRUST U/A J RESIDUARY	R'S NAME	CONTRIBUTOR'S TRUSTEE: U.S. WALL STREET, 10005	ART I, LINE 1A  S ADDRESS  TRUST CO, 45		GIFT	AMOUNT
CONTRIBUTOR TRUST U/A J RESIDUARY TOTAL INCLU	O'S NAME OHN E. ANDRUS ODED ON LINE 1A	CONTRIBUTOR'S TRUSTEE: U.S. WALL STREET, 10005	ART I, LINE 1A  S ADDRESS  TRUST CO, 45 NEW YORK, NY		GIFT VARIOUS	AMOUNT 3 1,595,936
CONTRIBUTOR TRUST U/A J RESIDUARY TOTAL INCLU FORM 990-PF	O'S NAME OHN E. ANDRUS ODED ON LINE 1A	CONTRIBUTOR'S TRUSTEE: U.S. WALL STREET, 10005	ART I, LINE 1A  S ADDRESS  TRUST CO, 45 NEW YORK, NY		GIFT VARIOUS	AMOUNT  1,595,930  1,595,930
RESIDUARY	OHN E. ANDRUS  UDED ON LINE 1A	CONTRIBUTOR'S TRUSTEE: U.S. WALL STREET, 10005	ART I, LINE 1A  S ADDRESS  TRUST CO, 45 NEW YORK, NY		GIFT VARIOUS	AMOUNT  1,595,930  1,595,930  STATEMENT

44,500.

				_			
FORM 990-PF DIVIDE	NDS AND I	NTERES	T FROM	SECUI	RITIES	STATEMENT	4
SOURCE	G	ROSS A	MOUNT		ITAL GAINS IVIDENDS	COLUMN (A	<b>L</b> )
MISCELLANEOUS		19,37	2,587.		0.	19,372,5	87.
TOTAL TO FM 990-PF, PART I,	LN 4	19,37	2,587.		0.	19,372,5	87.
FORM 990-PF	ОТН	ER INC	OME			STATEMENT	5
DESCRIPTION						AMOUNT	
PARTNERSHIP INCOME(LOSS)					_	<4,828,1	77.
TOTAL TO FORM 990-PF, PART	I, LINE 1	1, COL	umn a		=	<4,828,1	77.3
FORM 990-PF	L	EGAL F	EES			STATEMENT	6
DESCRIPTION	(A) EXPENS PER BO	ES N	(B) ET INV ENT INC		(C) ADJUSTED NET INCOME	(D) CHARITA E PURPOS	
VARIOUS LEGAL	74,	568.		0.	<u> </u>	74,5	68.
TO FM 990-PF, PG 1, LN 16A	74,	568.		0.		74,5	68.
FORM 990-PF	ACCO	UNTING	FEES			STATEMENT	7
DESCRIPTION	(A) EXPENS PER BO	ES N	(B) ET INV ENT INC		(C) ADJUSTED NET INCOME	(D) CHARITA E PURPOS	
AUDIT & TAX PREP	44,	500.		0.		44,5	00.
					<del></del>	<del></del>	

44,500.

0.

TO FORM 990-PF, PG 1, LN 16B



OTHER PROFES	SIONAL FEES	S	TATEMENT 8
(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
9,305.	0.		399,751. 9,305. 0.
1,364,148.	955,092.		409,056.
TAX	ES	S	TATEMENT 9
(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
105,057.	0.		105,057.
596,000.	0.		0.
701,057.	0.		105,057.
OTHER E	XPENSES	S'	PATEMENT 10
(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
83,517. 68,485. 22,007. 30,651. 20,346. 17,272.	0. 0. 0. 0.		81,040. 83,517. 68,485. 22,007. 30,651. 20,346. 17,272. 24,204. 1,925. 36,172. 1,002. 89,600. 56,799. 46,271.
	(A) EXPENSES PER BOOKS  399,751. 9,305. 955,092.  1,364,148.  TAX  (A) EXPENSES PER BOOKS  105,057. 596,000.  701,057.  OTHER E  (A) EXPENSES PER BOOKS  81,040. 83,517. 68,485. 22,007. 30,651. 20,346. 17,272. 24,204. 1,925. 36,172. 1,002. 89,600. 56,799.	EXPENSES PER BOOKS MENT INCOME  399,751. 0. 9,305. 0. 955,092.  1,364,148. 955,092.  TAXES  (A) (B) NET INVEST-PER BOOKS MENT INCOME  105,057. 0. 596,000. 0. 701,057. 0.  OTHER EXPENSES PER BOOKS MENT INCOME  (A) (B) NET INVEST-PER BOOKS MENT INCOME  81,040. 0. 83,517. 0. 68,485. 0. 22,007. 0. 30,651. 0. 22,007. 30,651. 0. 20,346. 0. 17,272. 24,204. 0. 1,925. 36,172. 0. 24,204. 0. 1,925. 36,172. 0. 1,002. 89,600. 56,799. 0.	(A) (B) (C) ADJUSTED NET INVEST-PER BOOKS MENT INCOME  399,751. 0. 9,305. 0. 955,092. 955,092. 955,092. 955,092.   1,364,148. 955,092.   TAXES S'  (A) (B) (C) ADJUSTED NET INVEST-PER BOOKS MENT INCOME NET INCOME  105,057. 0. 596,000. 0. 701,057. 0.   OTHER EXPENSES NET INVEST-PER BOOKS MENT INCOME NET INCOME  81,040. 0. ADJUSTED NET INVEST-PER BOOKS MENT INCOME NET INCOME  81,040. 0. ADJUSTED NET INVEST-PER BOOKS MENT INCOME NET INCOME  81,040. 0. ADJUSTED NET INCOME NET INCOME  81,040. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0

CASH BASIS EXPENSE				
ADJUSTMENT PER REG. 53.4942(A)-3	0.		0.	<24,434.>
TO FORM 990-PF, PG 1, LN 23	579,291.	1,390,		554,857.
10 1010. 330 11, 10 1, 20 2	=======================================	1/350/		=======================================
FORM 990-PF U.S. AND S	PATE/CITY GO	VERNMENT	OBLIGATIONS	STATEMENT 11
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	x	<del></del> ·	41,759,010.	41,759,010.
TOTAL U.S. GOVERNMENT OBLIGAT	IONS	•	41,759,010.	41,759,010.
TOTAL STATE AND MUNICIPAL GOV	ERNMENT OBLI	GATIONS		
TOTAL TO FORM 990-PF, PART II	, LINE 10A	:	41,759,010.	41,759,010.
FORM 990-PF	CORPORATE	STOCK		STATEMENT 12
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED		•	359,906,191.	359,906,191.
SEE SCHEDOLE ATTACHED				
TOTAL TO FORM 990-PF, PART II	, LINE 10B	:	359,906,191.	359,906,191.
	, LINE 10B	BONDS	359,906,191.	359,906,191. STATEMENT 13
TOTAL TO FORM 990-PF, PART II		BONDS	359,906,191.	
TOTAL TO FORM 990-PF, PART II		BONDS		STATEMENT 13 FAIR MARKET

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 14
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	•	88,461,435.	88,461,435.
TOTAL TO FORM 990-PF, PART II,	LINE 13	88,461,435.	88,461,435.
FORM 990-PF	OTHER ASSETS	<del> </del>	STATEMENT 15
			STATEMENT 15
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
DESCRIPTION  INTEREST RECEIVABLE DIVIDENDS RECEIVABLE PREPAID EXCISE TAXES DUE TO/FROM FLEX SPENDING	-	1,783,250. 224,286. 340,000. <3,220.>	FAIR MARKET

FORM 990-PF	LIST OF OFFICERS, DAND FOUNDATION MANAGE		STATI	EMENT 16
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HOOPER BROOKS 330 MADISON AVE, 3 NEW YORK, NY 10017	SR. PROGRAM OF		17,227.	0.
MARC DE VENOGE 330 MADISON AVE, 3 NEW YORK, NY 10017	CFO/ASSISTANT (1)		20,368.	0.
ELLEN RUDOLPH 330 MADISON AVE, 3 NEW YORK, NY 10017	PROGRAM OFFICE FULL-TIME		13,652.	0.
ROBERT SHERMAN 330 MADISON AVE, 3 NEW YORK, NY 10017	PROGRAM OFFICE FULL-TIME		14,139.	0.
EDWARD SKLOOT 330 MADISON AVE, 3 NEW YORK, NY 10017	EXECUTIVE DIRECT	CTOR 298,027.	21,000.	
CAREY SHEA 330 MADISON AVE, 3 NEW YORK, NY 10017	PROGRAM OFFICER FULL-TIME		13,676.	0.
VINCENT STEHLE 330 MADISON AVE, 3 NEW YORK, NY 10017	PROGRAM OFFICER FULL-TIME		13,676.	0.
STEVEN KELBAN 330 MADISON AVE, 3 NEW YORK, NY 10017	DIR OF ANDRUS I FULL-TIME			0.
JOHN E. ANDRUS III	CHAIRMAN EMERIT		0	

VERO BEACH, FL 32963

(1) Includes one-time bonus paid for extraordinary service

PART-TIME

CHAIRMAN

DIRECTOR

PART-TIME

PART-TIME

0.

0.

0.

0.

0.

0.

0.

0.

0.

603 EAST LAKE STREET

ELIZABETH H. ANDRUS

15317 HOLDRIDGE ROAD EAST

WAYZATA, MN 55391

WAYZATA, MN 55391

PETER B. BENEDICT

607 LANTANA LANE

SURDNA FOUNDATION, INC. ATT: MAR	C DE VEN				13-61	08163
CHRISTOPHER F. DAVENPORT 3100 WALEAUNEE ROAD TALLAHASSEE, FL 32308	DIRECTOR PART-TIME			0.	0.	0.
LAWRENCE S.C. GRIFFITH, M.D. 802 ST. GEORGE'S ROAD BALTIMORE, MD 21210	DIRECTOR PART-TIME			0.	0.	0.
JOHN F. HAWKINS 142 ALGER BROOK ROAD SOUTH STRAFFORD, VT 05070	DIRECTOR PART-TIME			0.	0.	0.
SANDRA T. KAUPE 1185 NORTH LAKEWAY PALM BEACH, FL 33480	DIRECTOR PART-TIME			0.	0.	0.
JOHN J. LYNAGH 101 PARK AVENUE, 3RD FLOOR NEW YORK, NY 10178	SECRETARY PART-TIME	AND	DIRECTOR	0.	0.	0.
FREDERICK F. MOON III 3701 SACRAMENTO STREET, #371 SAN FRANCISCO, CA 94118	TREASURER PART-TIME	AND	DIRECTOR	0.	0.	0.
EDITH D. THORPE 2505 CEDAR POINT DRIVE WAYZATA, MN 55391	DIRECTOR PART-TIME			0.	0.	0.
SAMUEL S. THORPE, III 5713 SCHAEFER ROAD EDINA, MN 55436	DIRECTOR PART-TIME			0.	0.	0.
NOTE: LEGAL FEES OF \$74,568 WERE PAID TO KELLEY, DRYE & WARREN (OF WHICH JOHN J. LYNAGH IS A PARTNER)						
TOTALS INCLUDED ON 990-PF, PAGE 6,	PART VIII		1,158,80	61.	129,008.	0.

Part I, Lines 3 & 4 Interest on Savings & Temp. Cash, Dividends, & Interest from Securities	Line 3(a) Per Books	Line 3(b) Net Investment Income	Line 4(a) Per Books	Line 4(b) Net Investment Income
Income Per Books	148,200	148,200	19,372,587	19,372,587
Add Short-term Capital Gain Dividends From Mutual Funds included in Capit Gains per Books	tal			14,418,734
Add Dividends accrued at 6/30/00	-	-	-	272,343
Less Dividends accrued at 6/30/01	-	-	-	(224,286)
Add Partnerships - Sch 4				3,421,368
	148,200	148,200	19,372,587	37,260,745

-1-

Part I, Lines 5 & 11	Rev & Exp Per Books	Net Investment Income	Adjusted Net Income	Disbursed for Charitable
Other Income	Col. (a)	Col. (b)	Col. (c )	Purposed Col. (d)
Part I, Line 11, Other Income				
Partnership Income(Loss)	(4,828,177)	154,269	(Sch 4)	0
Total Other Income	(4,828,177)	154,269		0_

Part I, Line 6 & 7 Capital Gains & Losses Summary	Sales Schedule Reference	Book Gains(Losses) Col. (a)	Tax Gains(Losses) Col. (b)
Standish Ayer & Wood	Section 1	1,916,261	(556,072)
GMO Growth	Section 2	29,525,245	23,580,157
MFS Asset Management Group	Section 3	8,192,748	2,938,563
Neuberger & Berman	Section 4	2,640,516	2,640,377
Morgan Stanley - International	Section 5	4,898,531	4,247,863
Morgan Stanley - Emerging	Section 6	742,069	742,069
GMO - Global	Section 7	6,036,873	3,468,187
Sanford C Bernstein	Section 8	7,162,172	7,165,918
TNT Equity Index	Section 9	2,612,356	2,610,456
Rockefeller	Section 10	2,253	2,253
PIMCO	Section 11	553,675	553,676
Main Cash	Section 12	4,548	4,548
Miscellaneous	Section 13	2,763	2,763
Partnerships	Schedule 4		10,426,893

64,290,010	57,827,651
To Part I	To Part I
Line 6 Col. (a)	Line 7 Col. (b)
	To Part IV

#### Standish Ayer & Wood

Standish Ayer & Wood	Dage	
Totals per Tax Return/Custodian Schedules:	Page <u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		959,473
Long-Term Capital Gains (Losses)		(1,515,545)
Total Capital Gains (Losses) per Tax Return		(556,072) (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		(556,072)
Loss on Canceled Pending Sale at 6/30/00 which was not deducted on return FYE 6/30/00, it was deducted on book FYE 6/30/00 and included in income on books FYE 6/30/0		2,430,055
Loss on Late Settling Sale		44,509
Late Posting Accretion Adjustments		(18,333)
Unrecaptured Gain		2,033
Adjustment to Fed Home Loan Bank Notes Gains		11,004
Miscellaneous		3,065
Total Capital Gains (Losses) per Books		1,916,261
		(To Schedule 3)

Grantham Mayo Van Otterloo (Growth Account)		
	Page <u>Number</u>	<u>Amount</u>
Totals per Tax Return/Custodian Schedules:		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		23,580,157
Total Capital Gains (Losses) per Tax Return		23,580,157 (To Schedule 3)
		(10 Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		23,580,157
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		5,945,088
Other Rounding Difference		0
Total Capital Gains (Losses) per Books		29,525,245
		(To Schedule 3)

Mass Financial Services Asset Management		
	Page Number	<u>Amount</u>
Totals per Tax Return/Custodian Schedules:		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		2,938,563
Total Capital Gains (Losses) per Tax Return		2,938,563
		(To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		2,938,563
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		5,254,291
Other Miscellaneous adjustments		(106)
Total Capital Gains (Losses) per Books		8,192,748
		(To Schedule 3)

#### Neuberger & Berman

Totals per Tax Return/Custodian	Page <u>Number</u> Schedules:	<u>Amount</u>
Short-Term Capital Gains (Losses)	)	461,038
Long-Term Capital Gains (Losses)	•	2,179,339
Total Capital Gains (Losses) per T	ax Return	2,640,377 (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Retu	rn above	2,640,377
Other Miscellaneous adjustments		139
Total Capital Gains (Losses) per B	Books	= 2,640,516 (To Schedule 3)

Morgan Stanley - International		
	Page <u>Number</u>	<u>Amount</u>
Totals per Tax Return/Custodian Schedules:		
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		4,247,863
Total Capital Gains (Losses) per Tax Return		4,247,863
		(To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		4,247,863
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		650,668
Other Rounding Difference		0
Total Capital Gains (Losses) per Books		4,898,531
		(To Schedule 3)

Morgan Stanley - Emerging Markets Fund		
	Page	
Totals per Tax Return/Custodian Schedules:	<u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		742,069
Total Capital Gains (Losses) per Tax Return		742,069
		(To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		742,069
Other Rounding Difference		0
Total Capital Gains (Losses) per Books		742,069
		(To Schedule 3)

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u			$\sim$	·		41

Totals per Tax Return/Custodian Schedules:	Page <u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		36,235
Long-Term Capital Gains (Losses)		3,431,951
Other Rounding Difference		1
Total Capital Gains (Losses) per Tax Return		3,468,187 (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		3,468,187
Short-term Capital Gain Dividends From Mutual Funds included in Capital Gains per Books		2,568,686
Total Capital Gains (Losses) per Books		6,036,873 (To Schedule 3)

San	fore	10	Rorr	ıstein
Jali	IIVIL	JU.	Dell	1216111

Samord C. Bernstein	Page <u>Number</u>	<u>Amount</u>
Totals per Tax Return/Custodian Schedules:	<u>Itaniber</u>	Amount
Short-Term Capital Gains (Losses)		1,500,365
Long-Term Capital Gains (Losses)		5,665,553
Total Capital Gains (Losses) per Tax Return		7,165,918 (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		7,165,918
Other: Miscellaneous Difference		(3,746)
Total Capital Gains (Losses) per Books		7,162,172 (To Schedule 3)

Totals per Tax Return/Custodian Schedules:	Page <u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		(62,315)
Long-Term Capital Gains (Losses)		2,672,771
Total Capital Gains (Losses) per Tax Return		2,610,456 (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		2,610,456
Other Miscellaneous Difference		1,900
Total Capital Gains (Losses) per Books		2,612,356

Rockefeller & Company		
Totals per Tax Return/Custodian Schedules:	Page <u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		2,253
Total Capital Gains (Losses) per Tax Return		2,253
		(To Schedule 3)
Total per Books:		

Total Gains (Losses) per Tax Return above	2,253
Other Rounding Difference	0
Total Capital Gains (Losses) per Books	2,253
	(To Schedule 3)

Pimco Total Return I
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Pinco Total Neturi Fund	Page	Amarina
Totals per Tax Return/Custodian Schedules:	<u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		295,298
Long-Term Capital Gains (Losses)		258,377
Other. Rounding Difference		1
Total Capital Gains (Losses) per Tax Return	ı	553,676 (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		553,676
Other Rounding Difference		(1)
Total Capital Gains (Losses) per Books		553,675 (To Schedule 3)

## Surdna Foundation, Inc. EIN: 13-6108163 Form 990-PF June 30, 2001

	Page	Amount
Totals per Tax Return/Custodian Schedules:	<u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		4,548
Total Capital Gains (Losses) per Tax Return		4,548
		(To Schedule 3)

### Total per Books:

**Main Cash Custody Account** 

Total Gains (Losses) per Tax Return above	4,548
Other Rounding Difference	0
Total Capital Gains (Losses) per Books	4,548
	(To Schedule 3)

## Surdna Foundation, Inc. EIN: 13-6108163 Form 990-PF June 30, 2001

Totals per Tax Return/Custodian Schedules:	Page <u>Number</u>	<u>Amount</u>
Short-Term Capital Gains (Losses)		0
Long-Term Capital Gains (Losses)		2,763
Total Capital Gains (Losses) per Tax Return		2,763 (To Schedule 3)
Total per Books:		
Total Gains (Losses) per Tax Return above		2,763
Other. Rounding Difference		0
Total Capital Gains (Losses) per Books		2,763 (To Schedule 3)

#### Surdna Foundation, Inc. EIN 13-6108163 Form K-1 Summary Form 990-PF June 30, 2001

Part I, Line 4(b), 7(b) & 23(b)

Year End	Form K-1's Attached Partnership Name/EIN	Dividends & Interest Income Part I 4(b)	Capital Gains & Losses Part I 7(b)	Other Portfolio Income Part   11(b)	Portfolio Expenses Part I 23(b)	Schedule Ref
12/00	Discovery II '90- EIN 13-3549525 (Series I)	11,807	(80,706)	0	2,104	5
12/00	Discovery II '90- EIN 13-3549525 (Series II)	1,324	(829)	0	10,106	6
12/00	Farallon Capital Institutional Partners L P EIN 94-3106323	2,850,717	4,720,612	319	726,168	7
12/00	Vega Partners III, L P EIN 13-3839561	315,513	(56,457)	10,713	81,587	8
12/00	TIFF Partners I, LLC EIN 54-1831047	159,432	5,727,676	144,243	201,457	9
12/00	TIFF Partners III, LLC EIN 58-2426874	43,716	116,908	137	205,203	10
06/01	Endowment Private Equity Partners IV LP EIN 06-1563330	10,929	0	0	51,591	11
06/01	Endowment Venture Partners V LP EIN 06-1563332	27,878	(311)	(1,143)	109,721	12
06/01	Commonfund Capital International IV LP EIN 06-1605324	52	0	0	2,337	13
	Tax Return Totals	3,421,368	10,426,893	154,269	1,390,273	
		To Part I Line 4(b) Schedule 1	To Part I Line 7(b) Schedule 3	To Part I Line 11(b) Schedule 2	To Part I Line 23(b) Statement 10	

SCHEDULE K-1 (Form 1065)

OMB NO 1545-0099

2000

Pa	r's Share of Income,	Credits,	Deductio	etc.
	Can canarate	Instruction		

For calendar year 2000 or t	tax year	begining	1/1/2000 and ending	12/31/2000

Partner's I D # 13-6108163 Partnership I D # 13-3549525 9830 8231 Discovery Fund II-90 LP (Series 1) Surdna Foundation Rockefeller & Co Inc Rockefeller & Co. Inc. 30 Rockefeller Plaza 55th Floor 30 Rockefeller Plaza, 55th Floor New York, NY 10112 New York, NY 10112 la this partner a general partner? [ ] Yes [x] No What type of entity is this partner? Foundation C Is this partner a [x] domestic or [] foreign partner? Enter partner's percentage of (i) Before change (ii) End of Year or termination Profit Sharing 9 662% 9 662% 9 662% 9 662% Loss Sharing 9 662% Ownership of Capital 9 662% IRS center where partnership filed return Holtsville NY 00501 E Partners share of habilities (see instructions) NONE Nonrecourse NONE Qualified nonrecourse financing \$0.00 Other Not Applicable G Tax shelter registration number Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) 11 Check applicable boxes (1) [ ] Final K 1 (2) [ ] Amended K 1 Analysis of partner's capital account (a) Capital account at beginning of year 203 877 45 (b) Capital contributed during the year 0.00 (c) Partner's share of lines 3 4 and 7 Form 1065 Schedule M 2 8 844 80 (d) Withdrawals and distributions 0 00 (e) Capital account at end of year (combine columns (a) through (d)) 212 722 25 (b) Amount (a) Distributive share item (c) 1040 filers enter the amount in column (b) on Income (Loss) Ordinary income (loss) from trade or business activities See Page 6 of 2 Net income (loss) from rental real estate activities Partner's Instructions for Schedule K-1 (Form 1065) Net income (loss) from other rental activities Portfolio income (loss) LINE 11 806 66 Sch B Part I line 1 Interest 0 00 Sch B Part II line 5 ь Ordinary Dividends Sch E Part I line 4 c Royalties Net short term capital gain (loss) 0.00 Sch D line 5 col (f) (1) 28% rate gain Sch D ime 12 col (g) Net long term capital gain (loss) (2) Total for year TO LINE 7(6) (80 705 94) Sch D line 12 col (f) Other portfolio income (loss) (attach schedule) 0.00 (Enter on appl line on your ret) See Page 6 of Partner's Instructions Guarantee payments to partner Net gain (loss) under section 1231 (other than due to casualty or theft) for Schedule K-1 (Form 1065) 0 00 (Enter on applitue on your ret) Other income (loss) (attach schedule) Deductions Charitable contributions Sch A line 15 or 16 Section 179 expense deduction See Pages 7 & 8 of Partner's Instruct Deductions related to portfolio income (attach schedule) to UNE 236 10 2 103 71 for Schedule K-1 (Form 1065) Other deductions (attach schedule) 11 Credita 12 a Low income housing credit (1) From section 42(j)(5)partnerships for property placed in service before 1990 Form 8586 line 5 Form 8586, line 5 (2) Other than line 12a(1) for property placed in service before 1990 Form 8586 line 5 (3) From section 42(j)(5)partnerships for property placed in service after 1989 Form 8586 line 5 (4)Other than line 12a(3) for property placed in service after 1989 b Qualified rehabilitation expenditures related to rental real estate See Page 8 of Partner's Instructions activities (see instructions) for Schedule K-1 (Form 1065)

- c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities (see instructions)
- Credits related to other rental activities (see instructions)
- 13 Other credits (see instructions)

**SCHEDULE K-1** 

## r's Share of Income, Credits, Deduction

OMB NO 1545-0099

2000

#### See separate instructions

(Form 1065)

For calendar year 2000 or tax year begining 1/1/2000 and ending 12/31/2000

9830	Partner's I D # 13-6108163	B231	Partnership I D # 13-3549525
Surdna F	oundation	Discovery	Fund II-90 LP ( Series 1)
Rockefel	ler & Co Inc	Rockefelle	er&Co Inc
30 Rocke	efeller Plaza 55th Floor	30 Rockef	eller Plaza 55th Floor
New Yor	k, NY 10112	New York	NY 10112
		1	

	(a) Distributive share item	(b) Amount	(c) 1040 filers enter the amount in column (b) on
	ment Interest		N
	Interest expense on investment debts		Form 4952 lane 1
ь	(1) Investment income included on lines 4a 4b 4c, and 4f above	11 806 66	See Page 9 of Partner's Instructions
	(2) Investment expenses included on line 10 above	2 103 71	for Schedule K 1 (Form 1065)
Self- E	mployment		
15 a	Net earnings (loss) from self-employment		Sch SE Section A or B
ь	Gross farming or fishing income		(See Partner's Instruction
C	Gross non farm income		for Schedule K 1(Form 1065))
Adjust	ments and Tax Preference Items		
16 a	Depreciation adjustment on property placed in service after 1986		See Page 9 of
b	Adjusted gain or (loss)		Partner's Instructions for
c	Depletion (other than oil and gas)		Schedule K-1 (Form 1065)
d	(1) Gross income from oil, gas and geothermal properties		and Instructions for Form 6251
	(2) Deductions allocable to oil gas and geothermal properties		
•	Other adjustments and tax preference items (attach schedule)		
Forela	n Taxes	•	
17 a	Name of foreign country or U.S. Possession		
ь	Gross income sourced at the partner level		Form 1116 Part I
c	Foreign gross income sourced at partnership level.		
	(1) Passive	0.00	Form 1116 Part I
	(2) Listed categories (attach schedules)	<u> </u>	Form 1116 Part I
	(3) General limitation		Form 1116 Part I
đ	Deductions allocated and apportioned at partner level.		
	(1) Interest expense		Form 1116 Part I
	(2) Other		Form 1116 Part I
•	Deductions allocated and apportioned at partnership level to		
	foreign source income		
	(1) Passive		Form 1116 Part I
	(2) Listed categories (attach schedules)		Form 1116 Part I
	(3) General limitation		Form 1116 Part I
f	Total foreign taxes [ ] Paid [ ] Accrued		Form 1116 Part II
9	Reduction in taxes available for credit (attach schedule)		See Instructions for Form 1116
Other			
19	Tax-exempt interest income		Form 1040 line 8b
20	Nondeductible expenses		See Pages 9 & 10 of Partners Inst.
22	Distributions of money (cash and marketable securities)	0 00	for Schedule K 1 (Form 1065)

<sup>25</sup> Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)

SEE ATTACHED SCHEDULES

9830

**SCHEDULE K-1** (Form 1065)

New York, NY 10112

#### 's Share of Income, Credits, Deduction

OMB NO 1545-0099

2000

See separate instructions

For calendar year 2000 or tax year begining 1/1/2000 and ending 12/31/2000 8231

Surdna Foundation Rockefeller & Co Inc 30 Rockefeller Plaza 55th Floor

Partnership I D # 13-3549525 Discovery Fund II-90 LP (Series 1)

Rockefeller & Co Inc. 30 Rockefeller Plaza 55th Floor New York, NY 10112

#### Supplemental Information Provided by Partnership

Statement for Form 1065, Schedule K-1, line 7

Partner's I D # 13-6108163

Income from duscharge of indebtedness from pass through K 1

The amount on line (c) Section J. Analysis of partner's capital account of your k. I represents

Ordinary income (loss) from trade or business activities	
Net income (loss) from rental real estate activities	
Interest income	11 806 66
Dividend income	0 00
Royalties income	
Short term capital gain (loss) income	0.00
Long term capital gain (loss) income	(80 705 94)
Passive foreign investment company income	
Other portfolio income	0 00
Other Income	0.00
Non taxable income	
Less Deductions related to portfolio income	2 103 71
Investment interest expense	0 00
Foreign taxes paid	
Contributions	
Other deductions (nondeductable)	
Deductions related to non-taxable income	
Net realized income	(71 002 99)
Unrealized appreciation (depreciation)	
and book to tax timing differences	79 847 79
Total of line (c) Section J	\$8 844 80
Lines 4s and f - Interest income and other portfolio income (loss) include net	
U.S. interest income of	\$0.00
Tax basis of your partnership interest on the records	
of the partnership at December 31 2000 is	\$349 190 71
Your capital gain on withdrawal or partial withdrawal is as follows	
Proceeds	\$0.00
Cost	0 00
Gan	\$0.00

**SCHEDULE K-1** 

### er's Share of Income, Credits, Deducti

OMB NO 1545-0099

See separate instructions

\* Passive Activity Income - New Jersey

(Form 1065)

2000

	For calendar year 20	000 or tax year	pegining	1/1/200	O and ending 1	2/31/2000	<u> </u>	
9830	Partner's I D # 13-6108163		8357	Partner	ship I D # 13-3	549525	·	
Rocket 30 Roc	Foundation effer & Co Inc kefeller Plaza 55th Floor ork, NY 10112			eller Plaza	) LP (Senes 2) 55th floor 2			
A B C	Is this partner a general partner? What type of entity is this partner? Is this partner a [x] domestic or [] foreign pa	rtner?					[ ] Yes   Foundate	
D	Enter partner's percentage of	(1) Defeat these			=			
		(i) Before change or termination			(II) End of Year			
	Profit Sharing	3 197%			3 197%			
	Lose Sharing	3 197%			3 197%			
E	Ownership of Capital IRS center where partnership filed return	3 197%			3 197%		Holtsville	NV nosos
F	Partner's share of liabilities (see instructions)						POR SANG	N1 00301
	Nonrecourse Qualified nonrecourse financing						\$ ~ \$	NONE
_	Other							\$0.00
G	Tax shelter registration number						Not A	pplicable
н	Check here if this partnership is a publicly trade as defined in section 469(k)(2)	led partnership					11	
ı	Check applicable boxes (1) [ Final K 1 (2) ]	Amended K 1					1 1	
J /->	Analysis of partner's capital account.				224 096 99	l		
	Capital account at beginning of year Capital contributed during the year				0 00			
	Partner's share of lines 3 4 and 7, Form 1065	Schedule M 2		ľ	(58 122 38)			
(d)	Withdrawals and distributions			l	0.00			
(e)	Capital account at end of year (combine column	na (a) through (d))	•		165 974 61			
	(a) Distributive share item				(b) Amount	(c) 1040 filers ent		
Income	(Loss)	-				amount in co	anns (b) oit	
1	Ordinary income (loss) from trade or business a	ctivities		[		See Page 6 of		
2	Net moome (loss) from rental real estate activit	le <b>s</b>		[		Partner's Instruction		
3	Net income (loss) from other rental activities					Schedule K 1 (For	n 1065)	
٠.	Portfolio income (loss) Interest	TO LINE	= 4(6)	)	1 324 20	Sch & Part I line	1	
- -	Ordinary Dividends	, o Milit	/	′ ¦	0 00	Sch B Part II line		
c	Royalties			ì		Sch E Part I line		
d	Net short term capital gain (loss)			Ì	000	Sch D line 5 col	<b>(f)</b>	
•	Net long term capital gain (loss) (1) 2	8% rate gain	7	65		Sch D line 12 col		
_	(2) T	otal for year To	LINE !	ا را	(828 63)		• •	
_ 1	Other portions moome (1068) (attach schedule)				0 00	(Enter on appl line See Page 6 of Par		
5 6	Guarantee payments to partner Net gain (loss) under section 1231 (other than d	no to casualty or	rhoft)	ŀ		for Schedule K-1 (		KIN 13
7	Other income (loss) (attach schedule)	de to casually of	(iicit)	ŀ		(Enter on appl line	•	
	, , , , , , , , , , , , , , , , , , , ,			1		<b>,</b>	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Deduct					<del></del>	D-L & 6		
8 9	Charitable contributions Section 179 expense deduction			<u>~</u>		Sch A fine 15 or 1 See Pages 7 & 8 o		eto ici
10	Section 179 expense deduction  Deductions related to portfolio income (attach a	chedule)To LI	IE 23(	( <b>b</b> )	10 105 51	for Schedule K-1 (I		*****
11	Other deductions (attach schedule)			ĺ		• •	•	
C 414-								
Credits 12 a	Low income housing credit.							
	(1) From section 42(j)(5)partnerships for proper	ty placed in service	e before 199	90 f		Form 8586 line 5		
	(2) Other than line 12a(1) for property placed in			ľ		Form 8586 kne 5		
	(3) From section 42(j)(5)partnerships for proper			9		Form 8586 line 5		
	(4)Other than line 12a(3) for property placed in					Form 8586 line 5		
ь	Qualified rehabilitation expenditures related to	rental real estate	!	Ţ	7	Can Dona 4 10 1		
_	activities (see instructions) Credits (other than credits shown on lines 12a s	nd 19h)		- 1		See Page 8 of Part for Schedule K-1 (6		ons
C	to rental real state activities (see instructions)	maiso)remed			ľ	for Schedule K-1 (F	om 1005)	
d	Credita related to other rental activities			ŀ	<del></del>		•	
	(see matructions)				i			
13	Other credits (see instructions)						-	

**SCHEDULE K-1** (Form 1065)

### er's Share of Income, Credits, Deducti

OMB NO 1545-0099

See separate instructions

For calendar year 2000 or tax year begining 1/1/2000 and ending 12/31/2000

2000

9830	Partner's I D # 13-6108163	8357	Partners	ship I D # 13-35	<del>149525</del>
				4040	
	a Foundation			LP (Senes 2)	
	feller & Co. Inc.			55th floor	
	ckefeller Plaza 55th Floor	New York,	NY 10112	2	
NEW Y	ork, NY 10112	İ			
	(a) Distributive share item			(b) Amount	(c) 1040 filers enter the amount in column (b) on
	ment Interest		_		-
	Interest expense on investment debts				Form 4952 line 1
ь	(1) Investment income included on lines 4a 4b 4c, and 4f above		L	1 324 20	See Page 9 of Partner's Instructions
	(2) Investment expenses included on line 10 above		L	10 105 51	for Schedule K 1 (Form 1065)
Self- E	Employment		_	_	
15 a	Net earnings (loss) from self-employment				Sch SE Section A or B
b	Gross farming or fishing income				(See Partner's Instruction
c	Gross пол farm income				for Schedule K 1(Form 1065))
Adjust	tments and Tax Preference Items				
16 a	Depreciation adjustment on property placed in service after 1986	;	Γ		See Page 9 of
ь	Adjusted gain or (loss)		ī		Partner's Instructions for
c	Depletion (other than oil and gas)		Γ		Schedule K-1 (Form 1065)
d	(1) Gross income from oil, gas, and geothermal properties		Γ		and Instructions for Form 6251
	(2) Deductions allocable to oil gas and geothermal properties		- [	]	
•	Other adjustments and tax preference items (attach schedule)		[		
Foreig	n Taxes				
17 a	Name of foreign country or U.S. Possession				
ь	Gross income sourced at the partner level.		F		Form 1116 Part I
¢	Foreign gross income sourced at partnership level		ľ		
	(1) Passive		L	0 00	Form 1116 Part I .
	(2) Lasted categories (attach schedules)				Form 1116 Part I
	(3) General limitation				Form 1116 Part I
d	Deductions allocated and apportioned at partner level.				
	(1) Interest expense		L		Form 1116 Parti
	(2) Other		L		Form 1116 Part I
•	Deductions allocated and apportioned at partnership level to		J		
	foreign source income (1) Passive		1		
	(2) Listed categories (attach schedules)		L		Form 1116 Part I
	(2) Listed categories (attach schedules) (3) General limitation		-		Form 1116 Part I
			-		Form 1116 Part I
	Total foreign taxes   ] Paid   ] Accrued		ļ.		Form 1116 Part II
9	Reduction in taxes available for credit (attach schedule)		L		See Instructions for Form 1116
Other					
19	Tax-exempt interest income		Г	<del></del> 1	Form 1040 line 8b
20	Nondeductible expenses		⊢		See Pages 9 & 10 of Partners Inst
22	Distributions of money (cash and marketable securities)		-	0 00	for Schedule K-1 (Form 1065)
	,				

25 Supplemental information required to be reported separately to each partner (attach additional schedules if more space is needed)

SEE ATTACHED SCHEDULES

**SCHEDULE K-1** 

#### er's Share of Income, Credits, Deducti

OMB NO 1545-0099

2000

#### See separate instructions

(Form 1065)

For calendar year 2000 or tax year begining 1/1/2000 and ending 12/31/2000

9830 Partner's I D # 13-6108163

Partnership I D # 13-3549525

Surdna Foundation Rockefeller & Co Inc. 30 Rockefeller Plaza 55th Floor New York, NY 10112

Discovery Fund II 90 LP (Senes 2) 30 Rockefeller Plaza 55th floor New York NY 10112

#### Supplemental Information Provided by Partnership

The amount on line (c) Section J. Analysis of partner's capital account, of your K.1 represents

Ordinary income (loss) from trade or business activities Net income (loss) from rental real estate activities Interest income 1 324 20 Dividend income 0 00 Royalties income Short term capital gain (loss) income 0 00 (828 63) Long term capital gain (loss) income Passive foreign investment company income Other portfolio income 0.00 Non-taxable income

10 105 51 Less Deductions related to portfolio income Investment interest expense 0.00 Foreign taxes paid Contributions Other deductions (nondeductible) Deductions related to non taxable income Net realized income (9.609.94)

Unrealized appreciation (depreciation) (48 512 44) and book to tax timing differences Total of line (c) Section J (\$58 122 38)

Lines 4a and f Interest income and other portfolio income (loss) include net US interest income of \$0.00

Tax basis of your partnership interest on the records of the partnership at December 31 2000 is \$109 397 03

Your capital gain on withdrawal or partial withdrawal is as follows

Proceeds \$0.00 Cost 0 00 Gain \$0.00

# **SCHEDULE K-1**

### Partner's Share of Income, Credits, Deductions, etc.

OMB No 1545-0099

(Form 1065) See separate instructions

	nt of the Treasury	For calendar yea	r 2000 or tax year beginning		2000		and ending	20	00	[	5000
	er's identifying numb	er 🕨	13-6108	1163	Partner	ship's i	dentifying num	ber ▶		94-3	106323
Partne	er's name address an	d ZIP code	+	98	Partners	hip's na	ime address an	d ZIP code			
Su	rdna Foundation	, Inc			Fa	rallo	n Capital I:	nstitutio	nal Pa	rtners, L	P
c/	o Mr Marc de V	/enoge			On	e Mar	itime Plaza	, Suite 1	350		
Su	rdna Foundation	, Inc			Sa	n Fra	ncisco, CA	94111			
33	10 Madison Avenu	e, 30th Floo	r		ŀ		_				
Ne	w York, NY 100	17									
A Th	is partner is a	general partn	er X limited pa	artner	F Par	rtner's s	hare of habilities	(see instruct	ions)		
Г	limited liability com	pany member			No	nrecour	se -			\$	NON
a W	ם hat type of entity is this	partner?	► EXEMPT		Qu	alified n	onrecourse finan	cing		\$	иои
C Is 1	this partner a	X domestic or a	foreign partne	er?	Oth	ner					
		J		End al						<b>-</b>	MONI
_	iter partner's percentag	je or		year.			r registration nun		44.4	····	
	ofit sharing		2 491	2 861			is partnership is	-			_
	ss sharing		2 491	2 86%	pai	mersmp	as defined in se	schon 405(K)(	2)		L
	vnership of capital		3 661	3 19%				(a) D =			
E IR	S Center where partne	rship tiled return	Fresi	no, CA	I Ch	eck app	licable boxes	(1) Fi	nal K-1	(2)	mended K-1
J	Analysis of partner's	capital account									
	(a) Capital account at	ĺ	(b) Capital contributed		er's share of I		(d) Withdraw	eals and	1	(e) Capital accoun	it at end of
	beginning of year		during year		d 7 Form 10 hedule M-2	65	distribut			year (combine col through (c	• •
			<del></del>	<del> </del>			<del> </del>				··.
	54,3	46,568		<del></del>	6,556	5,970			-	-\ 4040 FI	60,903,538
		(a) Distribut	live share item		I		(b) Am	ount		c) 1040 filers ( nount in colui	
	1 Ordinary incom	e (loss) from trade or	business admities SEE	De 1	3/01	-	<del> </del>	770 500	\		
	1	s) from rental real es		79, (	70)	1 2		,738,509		page 6 of Partner's actions for Schedul	
	1	s) from other rental a					<del>                                      </del>			1065)	
	4 Portfolio income	-		6.					V		
	a Interest		TO LINE TO LINE	4 (6)		42		12,013	Sch	B Part I line	a 1
(3)	<b>b</b> Ordinary divides	nds	TO LINE	4 6		4b	<del> </del> -	24		B Part II lin	
်	c Royalties		• •	1 (7)		4c	<del> </del>			E Part I, line	
1)	d Net short term of	capital dain (loss)	TO LINE	7(6)		40	<del> </del>	1,166		D line 5 co	
ncome (Loss)	Net long term c			/				1,100	50.1	5 5	, 117
ည	(1) 28% rate			_		•(1)	1		Sch	D line 12 c	rol (a)
=	(2) Total for y	•	TO LINE	7(b).		0(2)	··-	119,461	_	D, line 12 c	
	f Other portfolio	ncome (loss) (attach	schedule) TO LINE	11(6)		41		319		on applicable line of yo	
						5	<del></del>			age 6 of Partner's	
	6 Net section 123	1 gain (loss) (other t	han due to casualty or theft)	٤,			<del> </del>	(5)	h .	ctions for Schedul 1 1055)	le K-1
	7 Other income (i	oss) (altach scheduk	han due to casualty or thet)	· (3/8 /	'	7		,785,451		on applicable line of yo	tur return
	<del>                                     </del>	·						, , , , , , ,			
ů	Chantable contr	ibutions (see instruc	tions) (attach schedule)		İ	8	1		Sch	A line 15 or	r 16
Deduc- tlons	Section 179 exp	ense deduction			24.	9			)		
≃ ۵	10 Deductions rela	ted to portfolio incom	ne (atlach schedule) To	MHE 3	-3(b)	10		8,832		Pages 7 and 8 of er's instructions fo	×
	11 Other deduction	s (atlach schedule)	To	MULE 9:	<b>3</b> (b)	11		4,925	Sched	dule K 1 (Form 10	65)
	12a Low-Income hou	ising credit							•		
	(1) From sect	tion 42(j)(5) partnersi	hips for property placed in				`				
	service before 1	990				a(1)	]		\		
	(2) Other than	n on line 12a(1) for p	roperty placed in service befor	e 1990		a(2)			}		
	(3) From sect	ion 42(j)(5) partnersi	hips for property placed in						Forn	n 8586 kne 5	5
S	service after 19	39				a(3)	1		[		
Credits	(4) Other than	on line 12a(3) for p	roperty placed in service after	1989		a(4)			}		
ō			related to rental real estate						-		
	activities	•				12b	1		١		
	c Credits (other th	an credas shown on	lines 12a and 12b) related						_	<b></b>	
	to rental real est		,		l	12c				age 8 of Partner's Ctions for Schedul	
,		o other rental activity	<b>5</b>		ì	12d				1065)	-
	13 Other credits				ľ	13			J		

Schedule K-1 (Form 1065) 2000

Page 2

	(a) Distributive share item		(b) Amount	(c) 1040 filers enter the amount in column (b) on
Investment	14a Interest expense on investment debts b (1) Investment income included on lines 4a 4b 4c and 4f (2) Investment expenses included on line 10	14a b(1) b(2)	12,356 8,832	Form 4952 line 1 See page 8 of Parover's instructions for Schedule K-1 (Form 1005)
Seif em ployment	15a Net earnings (loss) from self employment  b Gross farming or fishing income  c Gross nonfarm income	15a 15b 15c		Sch SE Section A or B See page 8 of Partner's Instructions for Schedule 8. 1 (Form 1005).
Adjustments and Tax Preference Nems	16. Depreciation adjustment on property placed in service after 1986  b. Adjusted gain or loss  c. Depletion (other than oil and gas)  d. (1). Gross income from oil, gas, and geothermal properties  (2). Deductions allocable to oil, gas, and geothermal properties  o. Other adjustments and tax preference items (attach schedule)	16a 16b 16c d(1) d(2)		See page 9 of Partner's Instructions tor Schedule K 1 (Form 1065) and Instructions for Form 6251
Foreign Taxes	b Gross income sourced at partner level c Foreign gross income sourced at partnership level (1) Passive (2) Listed categories (attach schedule) (3) General limitation d Deductions allocated and apportioned at partner level (1) Interest expense (2) Other • Deductions allocated and apportioned at partnership level to foreign source income (1) Passive (2) Listed categories (attach schedule) (3) General limitation f Total foreign taxes (check one) ■ Paid Accrued g Reduction in taxes available for credit and gross income from all sources (attach schedule)	17b 17c(1) 17c(2) 17c(3) 17d(1) 17d(2) 17o(1) 17o(1) 17o(2) 17o(3) 17f		Form 1116 Part I  Form 1116 Part II  See Instructions for Form 1116
Other	16 Section 59(e)(2) expenditures a Type ▶ b Amount 19 Tax-exempt interest income 20 Other tax-exempt income 21 Nondeductible expenses 22 Distributions of money (cash and marketable securities) 23 Distributions of property other than money 24 Recapture of low income housing credit a From section 42(j)(5) partnerships b Other than on line 24a 25 Supplemental information required to be reported separately to each partner (attach additional content of the content and the content additional content and content and content additional content and content additional content and content and content additional content and content additional content and co	18b 18 20 21 22 23 24a 24b mai schedu	ies if more space is needed)	See page 8 of Partner's instructions for Schedule K-1 (Form 1040 line 8b)  See pages 9 and 10 of Partner's instructions for Schedule K-1 (Form 1065)  Form 8611, line 8
Supplemental Information				

#### Farallon Capital Institutional Partners, L.P ATTACHMENT TO SCHEDULE K-1

Partner # 88

- THE PARTNERSHIP EARNS A PORTION OF ITS INCOME FROM THE TRADE OR BUSINESS OF ACTIVELY TRADING PERSONAL PROPERTY FOR ITS OWN ACCOUNT. IN ACCORDANCE WITH INTERNAL REVENUE CODE SEC. 469 AND REGULATION SEC. 1 469 IT(e)(6) THE INCOME EARNED ON SUCH ACTIVITIES IS "NON-PASSIVE" TO ANY PARTNER. WHERE APPROPRIATE, WE HAVE INDICATED IN THE ACCOMPANYING FOOTNOTES ANY PORTION OF INCOME WHICH IS "NON-PASSIVE". CONSULT YOUR TAX ADVISOR WITH REGARD TO THIS ISSUE.
- THE AMOUNTS REPORTED ON LINES 4(a) THROUGH 4(f) AND LINE 10 ARE FROM INVESTMENT ACTIVITIES NOT RISING TO THE LEVEL OF A TRADE OR BUSINESS AND THEREFORE, THESE INCOME AND DEDUCTION ITEMS ARE PORTFOLIO INDIVIDUAL TAXPAYERS SHOULD FOLLOW THE INSTRUCTIONS ON SCHEDULE K-1 FOR THESE AMOUNTS
- 3 ALL OF THE AMOUNTS COMPRISING LINE 1 OF YOUR SCHEDULE K-1 ARE FROM THE FOLLOWING "NON-PASSIVE" TRADE OR BUSINESS SOURCES

INTEREST
DIVIDENDS
INCOME FROM NOTIONAL PRINCIPAL CONTRACTS
TRADE OR BUSINESS EXPENSES
TRADE OR BUSINESS INVESTMENT INTEREST EXPENSE (DIVIDEND CHARGE)
IRC SECTION 988 GAIN (LOSS)

To Line 46)

\$2,472,586 366,094 367,914

To LINE 23(6)

(605,419) (106,992) 244,326

TOTAL LINE 1

\$2,738,509

TRADE OR BUSINESS INVESTMENT INTEREST EXPENSE MAY BE SUBJECT TO THE INVESTMENT INTEREST EXPENSE DEDUCTION LIMITATIONS FOR PARTNERS WHO DO NOT MATERIALLY PARTICIPATE IN THE ACTIVITY SEE INTERNAL REVENUE CODE SECTION 163(d) THIS INTEREST EXPENSE REPRESENTS INTEREST INCURRED IN CONNECTION WITH A TRADE OR BUSINESS THAT IS DEEMED "NON-PASSIVE" UNDER REG SEC 1 469-IT (e)(6) AS DISCUSSED ABOVE.

- 4 THE AMOUNT ON LINE 4(f) CONSISTS OF OTHER PORTFOLIO INCOME FROM INVESTMENTS
- 5 AMOUNTS COMPRISING LINE 7 OF YOUR SCHEDULE K-1 ARE FROM THE FOLLOWING "NON-PASSIVE" TRADE OR BUSINESS SOURCES

SHORT-TERM CAPITAL GAIN (LOSS) LONG-TERM CAPITAL GAIN (LOSS) IRC SECTION 1256 CONTRACTS

To Like 7(6)

4,599,985

\$4,077,282 522,703 185,466

TOTAL LINE 7

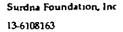
**\$**4,785,451

INDIVIDUAL TAXPAYERS SHOULD ENTER THE CAPITAL GAINS OR LOSSES ON SCHEDULE D

6 INTEREST INCOME FROM U.S. GOVERNMENT OBLIGATIONS INCLUDED IN LINES 1 AND 4(a) OF SCHEDULE K-1 IS

\$7,944

7 THE PARTNERSHIP GENERATED NO UNRELATED BUSINESS TAXABLE INCOME ("UBTI") DURING 2000



# Farallon Capital Institutional Partners, L P ATTACHMENT TO SCHEDULE K-1

THE PARTNFRSHIP OWNS INTERESTS IN PASSIVE FOREIGN INVESTMENT COMPANIES

(PFICs) WHICH ARE TREATED AS QUALIFIED ELECTING FUNDS (QEFs) YOUR

ALLOCABLE SHARE OF INCOME ITEMS FROM THESE ENTITIES WHICH HAS BEEN

INCLUDED IN LINES 1 AND 4 IS

	NOMURA CBO 1997-1	WESTERN OIL SANDS	PHARMA MARKETING	PHARMA OPERATING	UNITED CAPITAL
ORDINARY INCOME	NONE	NONE	NONE	NONE	NONE
L/T CAPITAL GAIN	NONE	NONE	NONE	NONE	NONE
	ULM ENTERPRISES	BONARCO LTD	CALVISSON ENTERPRISES	CALVISSON FINANCE	INDUSTRIAL CAPITAL
ORDINARY INCOME	NONE	NONE	NONE	NONE	NONE
L/T CAPITAL GAIN	<b>\$</b> 4,425	\$805	\$0	NONE	NONE
	NEURALAB				
ORDINARY INCOME	NONE				
L/T CAPITAL GAIN	NONE		<del>-</del>		

THE ABOVE PFIC'S HAVE CALENDAR TAX YEAR, EXCEPT FOR NEURALAB THE ADDRESSES FOR THE ABOVE PFIC'S ARE.

NOMURA CBO 1997-1 LIMITED

C/O MAPLES AND CALDER, UGLAND HOUSE

SOUTH CHURCH, CAYMAN ISLANDS

NOMURA IS INCORPORATED IN GRAND CAYMAN, CAYMAN ISLANDS, B V I

WESTERN OIL SANDS INC. 440 SECOND AVENUES W, SUITE 2400 CALGARY, ALBERTA T2P 5E9

PHARMA MARKETING LTD & PHARMA OPERATING LTD
PO BOX HM 2257, PAR LA VILLE PLACE,
14 PAR-LA-VILLE ROAD
HAMILTON HM JX BERMUDA

UNITED CAPITAL CORP PALM CHAMBERS #3 ROAD TOWN TORTOLA, B V I

#### Farallon Capital Institutional Partners, L.P <u>ATTACHMENT TO SCHEDULE K.1</u>

Partner # 88

ULM ENTERPRISES LIMITED & BONARCO LIMITED &
CALVISSON ENTERPRISES LTD & CALVISSON FINANCE CORP
2-4 ARCH, MAKARIOS III AVENUE
CAPITAL CENTER, 9TH FLOOR
NICOSIA CYPRUS

INDUSTRIAL CAPITAL CORP WICKHAMS CAY, PO BOX 662 ROAD TOWN TORTOLA, B V 1

NEURALAB (FYE 9/30/2000) 102 ST JAMES COURT FLATTS SMITHS FL-04 BERMUDA

9 RECONCILIATION FROM BOOK INCOME TO TAXABLE INCOME

BOOK INCOME
NET UNREALIZED INCOME FROM INVESTMENTS

\$6,556,970

1,086,211

NET TAXABLE INCOME ON THIS K-1

\$7,643,181

THE PARTNERSHIP IS A QUALIFIED INVESTMENT PARTNERSHIP UNDER CALIFORNIA REVENUE &
TAXATION CODE SEC. 17955, THEREFORE, NONE OF THE PARTNERSHIP'S INCOME FROM QUALIFYING
INVESTMENT SECURITIES IS SOURCED TO CALIFORNIA FOR THE NON-RESIDENT PARTNERS

2000

## Partner's Share of Income, **Deductions, Credits, etc.**

CALIFORNIA SCHEDULE

K-1 (565)

i Oi Cali	endar year 2000 or fiscal inco	ome year beginning month		day	year 2000 a	and ending n	nont	day	y year	
Partner's	s identifying number	13-61081	63_		Partnership's FEII	N	94-310632	3		
Partner	's name address state and	ZIP Code			Secretary of State	file number				_
		-		#88	Partnership's na	rne, address	state and ZIP Co	ode		
Su	ırdna Foundation	, Inc			Farallon	. Capita	al Inst F	artn	ers, L P	
c/	o Mr. Marc de V	enoge			One Marı	time P	laza, Suıt	e 13:	125	
Su	rdna Foundation	, Inc.			San Fran	Clsco,	CA 94111			
33	0 Madison Avenu	e, 30th Floor			[					
Ne	w York, NY 1001	7			1			-		
A is th	nis partner a (1)	general partner or (2)	ΧI	mited partner?	E Partner's sha	are of liabilitie			<del></del>	
B Wh	at type of entity is this partner	7 •	_		Nonrecourse	,		• 5		
(1)	Individual (5)	General Partnership (8)		ıc	Qualified not	nrecourse fin	ancing	• 5	_	
(2)	S Corporation (8)	Limited Partnership (9)	ΠF	WKeogh/SEP	Other			• 5		
(3)	Estate/Ind (7)	LLP (10)	×Ε	xempi Organization	F Tax shelter r	egistration ni	umber	_		
(4)	C Corporation	,	_	•			<del></del> ,,			
	ns partner a foreign partner?	•	Γ	res X No	G (1) Check I	nere if this is	a publicly traded (	partners	hip as defined	
D Ente	er partner's percentage (i)	Before decrease (ii)	End	of year	in IRC S	Section 469(1	t)(2)			
•		termination		,	(Z) Check t	nere if this is	an investment par	tnership	(R&TC Section	<u> </u>
	cations) of	4005070			17955 a	and 23040 1)		•	-	×
		.492607% ● "492607% ●		3649218 3649218	H Check here		(1/666) (3)		and and Cabodida II	
		656961%		189773¥		rai Schedule ) er a nooreside	(1 (565) (2) ent of California?		amended Schedule F	No 1
	coup or copies.				- IS BIIS POINT			•	AK) 163 - [	140
J Ana	alysis of partner's capital acco	ount							_	
	(a) Capital account at	(b) Capital contributed			ner's share of er 4 and line 7	,	ndrawals and		Capital account at end of y combine course (a) strong	
	beginning of year	during year			Schedule M-2	dis	tributions	`	column (d))	<u> </u>
_	_			١_		1	•	_		
	54,346,568			<del></del>	6,970				60,903,538	_
Cautio	on: Refer to Partner's Instru		· -	ore entering info (b) Amounts from			your California re (d) Total amount		4-) C-14	
	(a) District	tive share item		deral Schedule K-1 (1065)	(c) Caldornia	aujusunents	California law (Co	ombine	(e) California source amount and credits	s
	• Ordinani in anna dana									
1	i Ordinary income (loss	s) from trade or						1-11		
	business activities	s) from trade or		2,738,509		23	• 2,738,			
						23			<b>•</b>	
	business activities					23			<b>&gt;</b>	
	business activities 2 Net income (loss) from	n rental real				23	• 2,738,		<b>&gt;</b>	
	business activities  2 Net income (loss) from estate activities	n rental real				23	• 2,738,	532	<b>&gt;</b>	
	business activities  2 Net income (loss) froi estate activities  3 Net income (loss) from	n rental real				23	• 2,738,	532		
Income	business activities  2 Net income (loss) froi estate activities  3 Net income (loss) from  4 Portfolio income (loss)	n rental real		2,738,509	<del></del>		• 2,738,	532	7	
Income (Loss)	business activities  2 Net income (loss) froi estate activities  3 Net income (loss) froi 4 Portfolio income (loss a Interest	n rental real		2,738,509 12,013 24	<del></del>		• 2,738,! • 4,0	532 069 24	7	
	business activities  2 Net income (loss) froi estate activities  3 Net income (loss) froi 4 Portfolio income (loss a Interest b Dividends c Royalties d Net capital gain (lo	n rental real n other rental activities )		2,738,509 	<del></del>		• 2,738,5 • 4,0	532 069 24	7	
	business activities  2 Net income (loss) froi estate activities  3 Net income (loss) froi 4 Portfolio income (loss a Interest b Dividends c Royalties d Net capital gain (lo e Other portfolio income	n rental real n other rental activities )		2,738,509 12,013 24 120,573	<del></del>		• 2,738,: • 4,0 • 120,:	532 069 24	<b>&gt;</b>	
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule	n rental real n other rental activities ) ss) sme (loss)		2,738,509 12,013 24	<del></del>		2,738,:  4,0  120,:	532 069 24		
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments	n rental real n other rental activities ) ss) me (loss) s to partners		2,738,509 12,013 24 120,573	<del></del>		• 2,738,: • 4,0 • 120,:	532 069 24	<b>&gt;</b>	
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments Net gain (loss) under	n rental real n other rental activities ) ss) me (loss) s to partners IRC Section 1231		2,738,509 12,013 24 120,573 319			2,738,! 4,0 120,!	532 069 24 573 319		
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments 6 Net gain (loss) under (other than due to cass)	n rental real n other rental activities ) ss) ss) sme (loss) s to partners IRC Section 1231 suality or theft)		2,738,509 12,013 24 120,573			2,738,:  4,0  120,:	532 069 24		
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments 6 Net gain (loss) under (other than due to cas 7 Other income (loss) //	n rental real n other rental activities ) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule		2,738,509 12,013 24 120,573 319	)		2,738,! 4,0 120,!	532 069 24 573 319		
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments 6 Net gain (loss) under (other than due to cass)	n rental real n other rental activities ) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule		2,738,509 12,013 24 120,573 319	)		2,738,! 4,0 120,!	532 069 24 573 319	<b>&gt;</b>	
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments (Net gain (loss) under (other than due to case 7 Other income (loss) // 8 Charitable Contribution 9 Expense deduction for	n rental real n other rental activities n other rental activities ) ss) ss) ome (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule ons r recovery property		2,738,509 12,013 24 120,573 319	)		2,738,! 4,0 120,!	532 069 24 573 319	<b>&gt;</b>	
	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments (Net gain (loss) under (other than due to case 7 Other income (loss) // 8 Charitable Contribution Expense deduction for (R&TC Sections 1726)	n rental real n other rental activities n other rental activities ) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule ons r recovery property 57 2 17267 6, 17268		2,738,509 12,013 24 120,573 319	)		2,738,! 4,0 120,!	532 069 24 573 319	<b>&gt;</b>	
(Loss)	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio income Attach schedule  5 Guaranteed payments (other than due to case 7 Other income (loss) // 8 Charitable Contribution Expense deduction for (R&TC Sections 172) and IRC Section 179)	n rental real n other rental activities n other rental activities ) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule ons r recovery property 57 2 17267 6, 17268		2,738,509 12,013 24 120,573 319	)		2,738,! 4,0 120,!	532 069 24 573 319	<b>&gt;</b>	
(Loss)	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio incomentation and estate the folial part of the gain (loss) under (other than due to case 7 Other income (loss) incomentation for (R&TC Sections 172) and IRC Section 179) Attach Schedule	n rental real n other rental activities ) ss) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule ris r recovery property 57 2 17267 6, 17268		2,738,509 12,013 24 120,573 319	)		2,738,! 4,0 120,!	532 069 24 573 319	<b>&gt;</b>	
(Loss)	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio incomentation and incomentation (loss) under (other than due to case 7 Other income (loss) // 8 Charitable Contribution (R&TC Sections 172) and IRC Section 179 Attach Schedule  10 Deductions related to	n rental real n other rental activities ) ss) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule ris r recovery property 57 2 17267 6, 17268		2,738,509 12,013 24 120,573 319 (5 4,785,451	)		2,738,! 4,0 120,!	532 069 24 573 319	<b>&gt;</b>	
(Loss)	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio incomentation and estate the folial part of the gain (loss) under (other than due to case 7 Other income (loss) incomentation for (R&TC Sections 172) and IRC Section 179) Attach Schedule	n rental real n other rental activities ) ss) ss) sme (loss) s to partners IRC Section 1231 sualty or theft) Attach schedule ris r recovery property 57 2 17267 6, 17268		2,738,509 12,013 24 120,573 319	)		• 2,738,! • 4,0 • 120,! • 4,785,4	532 069 24 573 319	<b>&gt;</b>	
(Loss)	business activities  2 Net income (loss) from estate activities  3 Net income (loss) from 4 Portfolio income (loss) a Interest b Dividends c Royalties d Net capital gain (lose Other portfolio incomentation and incomentation (loss) under (other than due to case 7 Other income (loss) // 8 Charitable Contribution (R&TC Sections 172) and IRC Section 179 Attach Schedule  10 Deductions related to	n rental real n other rental activities n other rental activities nother rental activities nother rental activities sets) sets partners IRC Section 1231 sualty or theft) Attach schedule nos r recovery property 67 2 17267 6, 17268 portfolio income Attach		2,738,509 12,013 24 120,573 319 (5 4,785,451	)		• 2,738,! • 4,0 • 120,! • 4,785,4	532 069 24 573 319 (5.)	<b>&gt;</b>	

	(a) Distributive share item		(b) Amounts from federal Schedule K 1 (1065)	(C) Adjust	ments	(d) Total amounts using California law (Combine col. (b) and col. (c))	(e) Caldornia source amounts and credits -
	12 a Interest expense on investmen	nt debts					
Inves			<del></del>				· <del>†</del>
men		3 011	12 256	,,	,944.)	4,412	
Intere	St		12,356		, 344.)	<del></del>	<del></del>
	(2) Investment expenses included		8,832			8,832	
	13 a (1) Withholding on partnership all to all partners	ocated					
	(2) Partnership withholding on nonresident partners						
	(3) Total withholding (equals ar Form 592-B if calendar yea partnership)					•	<b>•</b>
Credit	b Low income housing credit						
	c Credits other than line 13b related						
	real estate activities. Attach sched d. Credits related to other rental activ						<del>                                     </del>
	See instructions. Attach schedule						
	14 Other credits. Attach required sch	edules					
	or statements						
	15 a Depreciation adjustment on pro	operty				<u></u>	
Adjus	placed in service after 1986  t b Adjusted gain or loss						<del></del>
ment	, ,	ae)				————-·	<del> </del>
and	d (1) Gross income from oil, gas,						<del>                                     </del>
Tax	geothermal properties	and					1
Prefer nce	e (2) Deductions allocable to oil,	gas.					
Items							
	Other adjustments and tax prefittens. Attach schedule	erence					
	16 a Total expenditures to which an						
	IRC Section 59(e) election may	у арріу					
	b Type of expenditures						
	17 Tax-exempt interest income				,944	7,944	
Other	18 Other tax-exempt income					· · · · · · · · · · · · · · · · · · ·	<u> </u>
Ouici	19 Nondeductible expenses				23	23	
	20 Distributions of money (cash and						
	marketable secunties)					· · · · · · · · · · · · · · · · · · ·	
	21 Distributions of property other than						
Table	22 Supplemental information required to 1 — Partner's share of nonbusiness incor						\$ le of the partner)
Interes			1 Gains/Losses \$	e is dependent ( ( 5		rtal Gains/Losses \$	120,573
Divides		Royalties		(5)	Oth	-	319
	JSE BY APPORTIONING UNITARY PA		<del></del> _	ons	_		
Table	2 - Partners share of distributive item	ns					
A I	Partner's share of the partnership's business	income \$	See instructions \$				
В	Partner's share of nonbusiness income from	real and t	angible personal property s	ourced or alloca	sbie to Cali	fornia	
	Capital Gains/Losses \$		Rents/Royalties \$_				
	1231 Gains/Losses \$		Other \$_				
СІ	Partner's distributive share of the partnership	<del>-                                    </del>		<del></del> -			
	Factors Property Beginning	Tota \$	I within and outside Calif	ornia S	То	tal within California	—-
<b>}</b>	Ending	\$	·	5			
ŀ	Annual Rent Expense	\$		5	<del></del> -		
<u> </u>	Раутої	\$		\$			
	Sales	\$		\$			
_				<del>_</del>			

Partner Name Surdna Foundation, Inc.

13-6108163 Partner ID #

Partner #88

All of the following amounts comprising Line 1 of your Schedule K-1 are from the following "non-passive" trade or business sources

Line 1, Ord Inc	(b) Fed	(c) Adj	(d) CA Law	(e) CA Source
Interest	\$2,472,586		\$2,472,586	
Dividends	366,094		366,094	-
IRC Sec 988 Income/(Loss)	244,326		244,326	-
Income from Notional Principal Contracts	367,914		367,914	
Trade/Bus Inv Int Exp	(106,992)		(106,992)	-
Trade/Bus Deductions	(605,419)	23	(605,396)	-
Total Line 1	\$2,738,509	23	\$2,738,532	-
Line 4a, Interest Income			-	_

2

The Federal/California adjustment in column (c) is attributable to Interest Income from U.S. Government obligations included in the federal amount on Line 4(a)

3 Line 7, Other Income

Short-term Capital Gain	\$4,077,282	\$4,077,282	-
Long-term Capital Gain	522,703	522,703	-
IRC Sec 1256 Contracts	185,466	185,466	-

Total Line 7 \$4,785,45<u>1</u>

The partnership is a qualified investment partnership under California Revenue & Taxation Code Sec 4 17955 Therefore, none of the partnership's income from qualifying investment securities is sourced to California for the non-resident partners

# SCHEDULE K-1 (Form 1065)

# Partner's Share of Income, Credits, Deductions, etc. > See separate instructions.

A Analysis of partner's capital account  (a) Capital account at beginning of year during year and during year and during year and during year and during year and an analysis of partner's share of lines beginning of year and an analysis of partner's share of lines and during year and an analysis of partner's share of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and an analysis of lines and during year and an analysis of lines and during year and an analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and analysis of lines and	)epartm ntemal F	
Pather's name, address, and ZIP code PARTNER # 8 SURDNA FOUNDATION, INC.  ATTN: MARC DEVENOGE 330 MADISON AVENUE - 30TH FL.  NEW YORK, NY 10017-5001  A This partner is ageneral partner   X   limited partner	artne	3839561
SURDNA FOUNDATION, INC. ATTN: MARC DEVENOGE 330 MADISON AVENUE - 30TH PL.  NEW YORK, NY 10017-5001  A This partner is ageneral partner   X   Imited partner		
A This partner is a general partner   X   limited partner   white Plains, NY 10606   white State partner   X   limited partner   white Plains, NY 10606   white State partner   X   limited partner   white Relative company member   State Plains   white Plains, NY 10606   white State partner   X   domestic or a foreign partner?   Other		
A This partner is a general partner limited partner limited lability company member limited lability company member limited lability company member limited lability company member Nonrecourse		T FILOOP
A This partner is a general partner X limited partner Imited liability company member    Imited liability company member   Monrecourse   Monre		LIOOK
A This partner is a general partner   X limited partner   F Partner's share of liabilities (see instructions)   Nonrecourse   Ministry of entity is this partner?   EXEMPT ORG.   Qualified nonrecourse financing   Other   C Is this partner?   EXEMPT ORG.   Qualified nonrecourse financing   Other   C Is this partner at   X domestic or a   foreign partner?   G Tax shelter registration number   C   Tax shelter registration number   T   Other partnership filed return   ROOKHAVEN   C   C   Tax shelter registration number   T   C   T   T   T   T   T   T   T   T		
Ilmited liability company member	MEN	
Ilmited liability company member		
What type of entity is this partner?      EXEMPT ORG.   Other	, This	ns)
C is this partner a X domestic or a D Enter partner's percentage of (0)Before charge (1) Enter partner's percentage of (0)Before charge (1) Enter partner's percentage of (1)Before charge (1)Bef	L	<del></del>
D Enter partner's percentage or Operation of Version 2 (a) Profit sharing (b) End of Version 2 (b) End of Version 2 (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Profit sharing (c) Partner's that selfence in section 469(k)(2)  I Check here if this partnership is a publicly traded partnership of capital account (a) Capital account (b) Capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (b) Capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1 (2) D. Analysis of partner's capital account (c) Check applicable boxes (1) Final K-1	. Wh	<del></del>
Profit sharing  Loss sharing  Ownership of capital  E IRS Center where partnership filed return BROOKHAVEN  I Check applicable boxes (1)  I Check applicable boxes (1)  I Check applicable boxes (1)  I Final K-1 (2)  J Analysis of partner's capital account  (a) Capital account (b) Capital contributed during year  (a) Capital account (b) Capital contributed during year  (a) Distributive share item  (b) Amount  (c) Down Income (loss) from trade or business activities  1 Ordinary income (loss) from other rental real estate activities  2 Net income (loss) from other rental activities  3 Net income (loss) from other rental activities  4 Portfolio income (loss)  5 Croyalles  4 Net short-term capital gain (loss)  (1) 28% rate gain (loss)  (1) 28% rate gain (loss)  (2) Total for year  7 Other portfolio income (loss) (attach schedule)  5 Guaranteed payments to partner  6 Net section 1231 gain (loss) (other than due to casualty or theft)  7 Other income (loss) (attach schedule)  8 Charitable contributions (see instructions) (attach schedule)  8 Charitable contributions (see instructions) (attach schedule)  10 Deductions related to portfolio income (attach schedule)  11 Other deductions (attach schedule)  12 Low-income housing credit  (1) From section 420(5) partnerships for property placed in service betwe 1990  (2) Other than on ine 128(1) for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (4) From section 420(5) partnerships for property placed in service betwe 1990  (5) From 8580	; is th	
Profit sharing  Loss sharing  Ownership of capital  E IRS Center where partnership filed return BROOKHAVEN  I Check applicable boxes (1)  I Check applicable boxes (1)  I Check applicable boxes (1)  I Final K-1 (2)  J Analysis of partner's capital account  (a) Capital account (b) Capital contributed during year  (a) Capital account (b) Capital contributed during year  (a) Distributive share item  (b) Amount  (c) Down Income (loss) from trade or business activities  1 Ordinary income (loss) from other rental real estate activities  2 Net income (loss) from other rental activities  3 Net income (loss) from other rental activities  4 Portfolio income (loss)  5 Croyalles  4 Net short-term capital gain (loss)  (1) 28% rate gain (loss)  (1) 28% rate gain (loss)  (2) Total for year  7 Other portfolio income (loss) (attach schedule)  5 Guaranteed payments to partner  6 Net section 1231 gain (loss) (other than due to casualty or theft)  7 Other income (loss) (attach schedule)  8 Charitable contributions (see instructions) (attach schedule)  8 Charitable contributions (see instructions) (attach schedule)  10 Deductions related to portfolio income (attach schedule)  11 Other deductions (attach schedule)  12 Low-income housing credit  (1) From section 420(5) partnerships for property placed in service betwe 1990  (2) Other than on ine 128(1) for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (3) From section 420(5) partnerships for property placed in service betwe 1990  (4) From section 420(5) partnerships for property placed in service betwe 1990  (5) From 8580	) Ente	
Ownership of capital  E IRS Center where partnership filed return BROOKHAVEN  I Check applicable boxes (1)  J Analysis of partner's capital account  (a) Capital account at beginning of year (combined during year at a during year (combined distributions where (combined during year (combined year (combined year))  4	Pro	/ traded
RS Center where partnership filed return BROOKHAVEN   Check applicable boxes (1)   Final K-1 (2)     Janalysis of partner's capital account     (a) Capital account   (b) Capital contributed   (c) Partner's share of lines   (d) Withdrawa's and distributions   (e) Capital account     4, 836, 243   -760, 328   ( )   4,     (a) Distributive share item   (b) Amount     1 Ordinary income (loss) from trade or business activities   1       2 Net income (loss) from tental real estate activities   2       3 Net income (loss) from other rental activities   3       4 Portfolio income (loss)   10       5 Rep age 6   Institutions   10       6 Net short-term capital gain (loss)   70       6 Net short-term capital gain (loss)   (1) 28% rate gain (loss)   (2) Total for year   70       7 Other portfolio income (loss) (atlach schedule)   10       8 Charitable contributions (see instructions) (atlach schedule)   10       9 Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   10   Chief (1)   (1)	Los	)
RS Center where partnership filed return BROOKHAVEN   Check applicable boxes (1)   Final K-1 (2)     Janalysis of partner's capital account     (a) Capital account   (b) Capital contributed   (c) Partner's share of lines   (d) Withdrawa's and distributions   (e) Capital account     4, 836, 243   -760, 328   ( )   4,     (a) Distributive share item   (b) Amount     1 Ordinary income (loss) from trade or business activities   1       2 Net income (loss) from tental real estate activities   2       3 Net income (loss) from other rental activities   3       4 Portfolio income (loss)   10       5 Rep age 6   Institutions   10       6 Net short-term capital gain (loss)   70       6 Net short-term capital gain (loss)   (1) 28% rate gain (loss)   (2) Total for year   70       7 Other portfolio income (loss) (atlach schedule)   10       8 Charitable contributions (see instructions) (atlach schedule)   10       9 Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   9   Section 179 expense deduction   10   Chief (1)   (1)	Owi	
Analysis of partner's capital account  (a) Capital account (b) Capital contributed (b) Capital contributed (c) Partner's share of lines 3 4 and 7 Form 1055 3 short-lines 4,836,243.  4,836,243.  (a) Distributive share item  (b) Amount  (b) Amount  (c) 1040 fr amount in amount in amount in amount in amount in amount in stributions  (b) Amount  (c) 1040 fr amount in		2) Amended K-1
(a) Capital account at beginning of year (b) Capital contributed during year (a) Tom 1055 (schedule M-2) (e) Capital account at beginning of year (b) Capital account at beginning of year (b) Capital account at beginning of year (b) Capital accounts and distributions (e) Capital accounts (b) Amount (b) Amount (c) 1040 ff amount in thio.  (a) Distributive share item (b) Amount (c) 1040 ff amount in thio.  (b) Amount (c) 1040 ff amount (c) 1040 ff amount in thio.  (c) Todal for rental real estate activities (c) Amount (c) 1040 ff amount in thio.  (d) Amount (c) 1040 ff amount (c) 1040 ff amount in thio.  (e) Capital accounts (e) Capital accounts (c) Amount (c) 1040 ff amount in thio.  (e) Capital accounts (c) Amount (c) 1040 ff amount in thio.  (f) Amount (c) 1040 ff amount in thio.  (g) Todal for rental real estate activities (c) Amount (c) 1040 ff amount in thio.  (g) Todal for rental activities (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for year (c) Amount (c) 1040 ff amount in thio.  (g) Todal for read (c) 1040 ff amount in thio.  (g) Todal for year (c) 1040 ff amount in thio.  (g) Todal for year (c) 1040 ff amount in thio.  (g) Todal for year (c) 1040 ff amount in thio.  (e) Capital account (c) 1040 ff amount in thio.  (e) Capital account (c) 1040 ff amount in thio.  (f) Amount in thio.  (e) Capital account (c) 1040 ff amount in thio.  (f) Amount in thio.  (h) Add (c) 289, 015.  Sch B, Pathal account in thio.  (g) Amount in thio.  (h) Add (c) 289, 015.  Sch B, Pathal account in thio.  (e) Capital account in thio.  (f) Amount in thio.  (g)		<u> </u>
Schedule M-2   Sche		Capital account at end of
(a) Distributive share item  (b) Amount  (c) 1040 ff amount item (b) Amount  (c) 1040 ff amount item (c) 1040 ff amount item (c) 1040 ff amount item (d) Amoun		ar (combine columns (a) through (d))
(a) Distributive share item  (b) Amount  (c) 1040 ff amount in the factor of the facto		4.075.915.
1 Ordinary income (loss) from trade or business activities 2 Net income (loss) from rental real estate activities 3 Net income (loss) from other rental activities 4 Portfolio income (loss) 4 Portfolio income (loss) 5 Interest 5 Ordinary dividends 6 Net short-term capital gain (loss) 7 Other portfolio income (loss) 7 Other portfolio income (loss) 7 Other income (loss) (attach schedule) 7 Other income (loss) (attach schedule) 8 Charitable contributions (see instructions) (altach schedule) 9 Section 179 expense deduction 10 Deductions related to portfolio income (attach schedule) 10 Deductions related to portfolio income (attach schedule) 11 Low-income housing credit (1) From section 42(j)(5) partnerships for property placed in service before 1990 (2) Other than on line 123(1) for property placed in service before 1990 (2) Other than on line 123(1) for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service before 1990 (3) From 8586		
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Solution   Solution		ırm 1055)
a Interest  Define To Line 4(b)  Bordinary dividends  C Royalties  Sch B, Pai  Sch B, Pai  Sch E, Pai  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  To Other portfolio income (loss) (attach schedule)  To Other Income (loss) (attach schedule)  To Line T(b)  Re[10]  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  To Other portfolio income (loss) (attach schedule)  To Other Income (loss) (attach schedule)  To Line T(b)  To 10,713  Sch D, line  Sch D, line  Sch D, line  Sch D, line  To Other Income (loss) (attach schedule)  To Line T(b)  To 10,713  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  To 10, 713  To 10, 713  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  Sch D, line  To 10, 713  To 10, 713  To 10, 713  Sch D, line  To 10, 713		
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(2) Total for year To Link 7(b) 4e(2) 345, 472. Sch D, link 1 Other portfolio income (loss) (attach schedule) 41 See page 6 instructions (Form 1065) 7 Other income (loss) (attach schedule) 10, 713. See page 6 instructions (Form 1065) 10, 713. Schedule) 8 Charitable contributions (see instructions) (attach schedule) 8 Section 179 expense deduction 9 Section 179 expense deduction 9 Section 179 expense deduction 9 See pages 7 Partner's in Schedule K 11 Other deductions (attach schedule) 11 Other deductions (attach schedule) 11 Low-income housing credit 12a(1) 12a(2) 12a(2) 12a(3) From section 42(j)(5) partnerships for property placed in service before 1990 12a(3) 12a(3)	<u> </u>	
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See page 6 instructions (Form 1065)  Net section 1231 gain (loss) (other than due to casualty or theft)  Other income (loss) (attach schedule) 10 LINE 11 6)  Charitable contributions (see instructions) (attach schedule)  Sch A, lin  See page 6 instructions (Form 1065)  Enter on appl  Charitable contributions (see instructions) (attach schedule)  Sch A, lin  See page 7  Other income (loss) (attach schedule)  Deductions related to portfolio income (attach schedule)  Deductions related to portfolio income (attach schedule)  10 Other deductions (attach schedule)  11 Control (1) From section 42(j)(5) partnerships for property placed in service before 1990  (2) Other than on line 12a(1) for property placed in service before 1990  (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(2)  Form 8586		h D, line 12, col (f)
Net section 1231 gain (loss) (other than due to casualty or theft)  Other income (loss) (attach schedule)  Charitable contributions (see instructions) (attach schedule)  Sch A, lin  See pages 7  Deductions related to portfolio income (attach schedule)  Deductions related to portfolio income (attach schedule)  Other deductions (attach schedule)  Low-income housing credit  (1) From section 42(j)(5) partnerships for property placed in service before 1990  (2) Other than on line 12a(1) for property placed in service before 1990  (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(3)		er on applicable lind of your return
Net section 1231 gain (loss) (other than due to casualty or theft)  Other income (loss) (attach schedule) 10 LINE II 6 7 10,713.  Charitable contributions (see instructions) (attach schedule) 8 Section 179 expense deduction  Deductions related to portfolio income (attach schedule) 9 Section 179 expense deduction  Other deductions (attach schedule) 10 LINE 10 23 6 81,587.  Other deductions (attach schedule) 11 1 Schedule K  Charitable contributions (see instructions) (attach schedule) 9 Section 179 expense deduction  Other deductions (attach schedule) 10 LINE II 0 23 6 81,587.  Schedule K  Charitable contributions (see instructions) (attach schedule) 10 LINE II 0 23 6 81,587.  Schedule K  Charitable contributions (see instructions) (attach schedule) 10 LINE II 0 23 6 81,587.  Sch A, Impart of the schedule in service before 1990 11 11 11 11 11 11 11 11 11 11 11 11 11	L.	e page 6 of Partner's tructions for Schedule K-1
8 Charitable contributions (see instructions) (attach schedule) 9 Section 179 expense deduction 10 Deductions related to portfolio income (attach schedule) 11 Other deductions (attach schedule) 12 Low-income housing credit (1) From section 42(j)(5) partnerships for property placed in service before 1990 (2) Other than on line 12a(1) for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service after 1989  Sch A, lin See pages 7 Partner's int Schedule K  11  12a(2) Form 8586		rm 1065)
9 Section 179 expense deduction 10 Deductions related to portfolio income (attach schedule) 70 Live 10 23 6 81,587.  Other deductions (attach schedule)  12a Low-income housing credit (1) From section 42(j)(5) partnerships for property placed in service before 1990 (2) Other than on line 12a(1) for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(2)  Form 8586	$\bot$	ter on applicable line of your return
9 Section 179 expense deduction 10 Deductions related to portfolio income (attach schedule) 70 Live 10 23 6 81,587.  Other deductions (attach schedule)  12a Low-income housing credit (1) From section 42(j)(5) partnerships for property placed in service before 1990 (2) Other than on line 12a(1) for property placed in service before 1990 (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(2)  Form 8586	-	
11 Other deductions (attach schedule)  12a Low-income housing credit  (1) From section 42(j)(5) partnerships for property placed in service before 1990  (2) Other than on line 12a(1) for property placed in service before 1990  (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(2)  Form 8586	, Ι	h A, line 15 or 16
11 Other deductions (attach schedule)  12a Low-income housing credit  (1) From section 42(j)(5) partnerships for property placed in service before 1990  (2) Other than on line 12a(1) for property placed in service before 1990  (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(2)  Form 8586	3 2	e pages 7 and 8 of
11 Other deductions (attach schedule)  12a Low-income housing credit  (1) From section 42(j)(5) partnerships for property placed in service before 1990  (2) Other than on line 12a(1) for property placed in service before 1990  (3) From section 42(j)(5) partnerships for property placed in service after 1989  12a(2)  Form 8586	<b>∮</b> \$  1	rtner's Instructions for hedule K 1 (Form 1065)
(1) From section 42(j)(5) partnerships for property placed in service before 1990		
(2) Other than on line 12a(1) for property placed in service before 1990	1	
(2) Other than on line 12a(1) for property placed in service before 1990		
(3) From section 42(j)(5) partnerships for property placed in service after 1989		em DEOC line E
	- 1	in obou, iine b
b Qualified rehabilitation expenditures related to rental real estate	y,	
E   actuation	픁	
7 activities 1	뽔ㅣ	
a Cradite (ather than gradite chaum on lines 12s and 12h) related	۱ ۲	anna 0 of Dage -d-
to rental real estate activities 12c Instructions		e page 6 of Partner's tructions for Schedule K-1
d Credits related to other rental activities [12d] (Form 1065)		
13 Other credits	ļ.,	

VEGA PARTNERS III, L.P. SCH K-1 SUPPORTING SCHEDULES PARTNER # 8 SURDNA POUNDATION,	13-3839561 INC.
LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	
MANAGEMENT FEES PROFESSIONAL FEES AMORTIZATION OF ORGANIZATION EXPENSES MISCELLANEOUS EXPENSES FROM PASS-THROUGH ENTITIES	72,846 5,866 1,208 1,512 155
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	81,587

LINE 17A - NAME OF FOREIGN COUNTRY OR U.S. POSSESSION VARIOUS

#### PARTNER FOOTNOTES

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NOTE TO CORPORATE ENTITIES:

100% OF THE DIVIDENDS REPORTED TO YOU ON LINE 4B QUALIFY FOR THE DIVIDENDS RECEIVED DEDUCTION AVAILABLE TO CORPORATE PARTNERS UNDER INTERNAL REVENUE CODE SECTION 243.

STATEMENT #1

SCHEDULE K-1	
(Form 1065)	

# Partner Share of Income, Credits, De tions, etc. > See separate Instructions

Depar Intern	tment d al Reven	f the Treasury rue Service   For calend	dar year 2000 or tax year be	dinning		2000.	and ending		<b>ZUUU</b>
Part	ner's lo	dentifying number >			Partners	hip's	s identifying number 🕨	54-1	831047
			code PARTNER #	25			name, address, and ZIP		<del></del>
SU	JRDN.	A FOUNDATION	N, INC.		TIFF	PA	RTNERS I, LLC	2	
33	0 M	ADISON AVENU	JE, 30TH FLOOR		2405	IV	Y ROAD _	_	
NE	W Y	ORK, NY 1001	L7-5001		CHAR	LOT	TESVILLE, VA	2290	3
		-					-		
									-
					]				
				i					-
A T	his pai	rtner is a gene	eral partner   limited p	partner	F Partne	er's s	hare of liabilities (see in	structions	)
· [	X im	nited liability company			Nonre		•		125,896
•			rtner? ► EXEMPT OF	RG.			nrecourse financing		2,965,751
	-	artner a X domesti			Other		_		<u> </u>
		(1) 6	Before change (ii)	End of			· · · · · — registration number ▶		<u> </u>
	rofit sl		or termination % 18.32	year 20611 %			e if this partnership is a	publicly tr	aded
	oss sh	•	<u> </u>				as defined in section 4	•	
		hip of capital	% 18.32					.00(,(2)	
		· · ·	ip filed return PHILADI			anniu	cable boxes (1) Fina	IK-1 (2)	Amended K-1
		s of partner's capital		<u> </u>	· Oncon	<del>срр,,,</del>	odane poxes (1)[		17 and 1 ded 11-1
		Capital account at	(b) Capital contributed		's share of lin		(d) Withdrawals and		ital account at end of
	be	eginning of year	during year		7 Form 106 edule M-2	5	distributions	year (	combine columns (a) (hrough (d))
_		27,617,649.	750,000.	<del></del>	834,98	3.6	(7,447,652.)	<del>                                     </del>	14,085,011.
	-	<u> </u>		<u> </u>	<u> </u>		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
		(a) Di	istributive share item				(b) Amount	amo	040 filers enter the int in column (b) on
	1	Ordinary income (for	oss) from trade or busines	s activities	SEE	16	9(5/12) 7,450.	7	ace 6 of Partners
	2	•	rom rental real estate acti		<u>-</u>			Instru	age 6 of Partner's ctions for Schedule K-1 . 1065)
	3		rom other rental activities			3	4.1(4.2)	) (FOIIII	1000)
	4	Portfolio income (los		_					
ŝ	a	Interest	To LINE	H6)		4a	55,044.	Sch	B, Part I, line 1
S	b	Ordinary dividends	TO. LINE 4	Kb	• • •	4ь	104,388.		B, Part II, line 5
<u></u>	С	Royalties			·	4c	10175001		E, Part I, line 4
Ĕ	d	Net short-term capit	tal gain (loss) To Lin	ve 76)	T	4d	632,820.		D, line 5, col (f)
Income (Loss)		Net long-term capita		,	F		0,52,020.	0011	5, mic 0, 00/ (1)
드	1	(1) 28% rate gain (lo	- ' '		4 آ	e(1)		Sch /	D, line 12, col (g)
		(2) Total for year	TO LINE ?	7(6)		e(2)	5,094,856.		D, line 12, col (f)
	,		me (loss) (attach schedule		r 160	6000	(b/2) 4,779.		n applicable line of your return
	5		nts to partner To L		(6)	5	186,992.	1	age 6 of Partner's
	6		(loss) (other than due to cas		\ \ \	6	20,657.	> Instruc	tions for Schedule K 1
	7	Other income (loss)		STM	, ;·	7		(Form	n applicable line of your return
	<del> </del>	Ottici income fio22)	lauraci scriedule)	<u>, , , , , , , , , , , , , , , , , , , </u>	<del>*                                    </del>				··
	8	Chantable contributi	ions (see instructions)(att	ach schedul	ا و	8		Sch .	A, line 15 or 16
Deduc- tions	9	Section 179 expense	' ''	our suitaull	~ }	9		)	•
Jeduc trons	10	•	to portfolio income <i>(attach</i>	· · cobadulo) -	TO 1.1		23(b) 201, 457.		ages 7 and 8 of rs Instructions for
בֿבֿ	11	Other deductions (at		i scriedule) .	·		030/201,43/.		ule K 1 (Farm 1065)
	12a	Low-income housing		<del> </del>		11	<del></del>		<del> ·</del>
	'*"	-	•		- 1000			`	
			partnerships for property placed			2a(1)	<del></del>	l	
	ĺ		a(1) for property placed in service		· .	22(2)		Form	8586, line 5
			partnerships for property placed		· -	2a(3)		]	
	<b>5</b>		a(3) for property placed in service on expenditures related to	_		2#(4)		)	
Credits	6		on expenditures related t	o remairear		3.		`	
ပ	_	activities Credits (other than a	cradite chave an imas 40	a and 40LV		2b		1	
	С		credits shown on lines 12	a and 120) f	J			See pa	ge 8 of Partner's tions for Schedule K 1
		to rental real estate :		• • • • •		2c		(Form	
		Credits related to oth	nei rentai activities	• • • • •	· · · ·	2d		]	
	13	Other credits				13	I	/	

For Paperwork Reduction Act Notice, see Instructions for Form 1065

Schedule K-1 (Form 1065) 2000

			OF ACTIVALE	ა		183104	7
	RDNA FOUNDAT	ION, INC.		Disposed	Qualified Low Income	Publicity Traded	Pass-through
Activity Name(s) and Type		<del></del>		Activity	Housing	Pertnership	Activity
A TIFF PARTNERS I,		<del></del>		NO	NO	NO	NO
B TIFF PARTNERS I,				NO NO	МО	NO	NO
C FROM BROOKDALE IN D FROM CMD REALTY I				NO	NO -	NO	YES
E FROM DOUGLAS EMME				ио -	-NO	МО	YES
L FROM DOUGHAS BINAS	Activity A	Activity B	Activity C		rity D		uty E
Schedule K-1 Line References		<del></del>	P\T RENTAL:		<del></del>	<del></del>	ENTAL
1 Ordinary income	I OXII ODIO	GDA, L	22.71				
2 Rental real estate income			-24,377.	-6	3,385.		4,631
3 Other rental income	<u></u>	<del> </del>			<u> </u>		<u> </u>
4a. Interest income	55,044.						
4b Ordinary dividends	104,388.						
4c Royalties							
4d Short-term capital gain/loss	4,776.			_			·
4e (1)28% Rate Long-term capital gain/loss			_ <del></del>				•
4e (2)Total Long-term capital gain/loss	5,722,903.						_
4f Other portfolio income/loss	4,779.						
5 Guaranteed payments		186,992.					
6 Net Section 1231 gain/loss		20,657.					
7 Other income		-7,069.	<u> </u>				
8 Charitable contributions							
9 Section 179 expense	<del></del>					<u> </u>	
10 Deductions for portfolio income	201,452.	<u> </u>					
11 Other deductions	·	<u> </u>					
12a (1) Elec pre-90 low-inc housing cr	<del></del>	<u>-</u>				<u> </u>	
12a (2) Other pre-90 low-inc housing cr	<del></del>				-		<u> </u>
12a (3) Elec post-89 low-inc housing cr.							
12a (4)Other post-89 low-inc housing cr						<u> </u>	
12b Qualified rehab expenditures	<del></del> -						<del>-</del>
12c Rental real estate credits	- <del></del>	<del></del>	<del> </del>	<u> </u>			<del></del>
40		<del></del> ,					
13 Other credits							
14b (1) Investment income	164,211.	<del></del> -	···				
14b (2) Investment expense	201,452.						
16a Depr adj on post-86 property		137.		<del></del>			
16b Adjusted gain/loss							
16c Depletion							
16d (1) Gross income oil/gas/geoth							
16d (2) Deductions alloc to oil/gas prop							
16e Other tax preference							
17b Total gross income (ptr level)				- <u></u> -			
17c (1)Passive (ptrshp level)		11,794.					
17c (2)Listed categories (ptrshp level)						_	<del></del>
17c (3) General limitation (ptrshp level)	- <del></del>	17.					
17d (1) Interest exp alto & appt (ptrievel)							_
17d (2) Other deductions allo. & appt. (ptr level)	- <del></del>						
17e (1)Passive deductions (ptrshp level)		1,735.	_ <del></del>			<del></del>	
17e (2)Listed categories (ptrshp level).		220	<del></del>			<del></del>	<del>-</del>
17e (3) General limitation (ptrshp level)		230. 291.					
17f Total foreign taxes		291.		<del></del>	<del> </del>	<u>_</u>	
17g Reduction in taxes avail for credit . 18b Section 59(e) expenditures	<del>-</del>	·		-		<del></del>	
18b Section 59(e) expenditures		<del></del>		_			
20 Other tax-exempt income		<del></del>					
21 Nondeductible expenses							_
24a Low-inc housing credit recap .			<del></del>		<del>`  </del>		
24b Other than Sec 42(j)(5)							
25 Supplemental Information .						CIB	
JSA 0P9010 2 000 6 CQ42P 1585						70	727

PETNER'S SCHEDULE OF ACTION 154-1831047							
PARTNER # 25 SU		Disposed	Qualified Low-income	Publicity Traded	Pass-through		
Activity Name(s) and Type of		Activity NO	NO NO	Pertnership NO	Activity YES		
	A FROM DOUGLAS EMMETT-FOX BUILDING B FROM DOUGLAS EMMETT-GALLERIA PARK						
C FROM DOUGLAS EMME				NO NO	ИО	ИО 	YES_
D FROM DOUGLAS EMME				NO	NO	NO	YES
E FROM DOUGLAS EMME				NO	NO	NO	YES
	Activity A	Activity B	Activity C		∕ity D		ity E
Schedule K-1 Line References	P\T RENTAL	P\T RENTAL	P\T RENTAL	°P\T <sup>®</sup> R	BNTAL	PATE	ENTAL
1 Ordinary income ,	4 544	2.066	270		2 126	<u></u>	7 515
2 Rental real estate income	1,514.	-2,866.	378.		3,126.		<u>7,515.</u>
3 Other rental income	<del> </del>	<u> </u>					
4b Ordinary dividends			-				
4c Royalties							
4d Short-term capital gain/loss							
4e (1)28% Rate Long-term capital gain/loss	<u> </u>			i	<del></del>		
4e (2)Tolal Long-term capital gain/loss				<del></del>			
4f Other portfolio income/loss	. <u>.</u>						
5 Guaranteed payments	<u> </u>						
6 Net Section 1231 gain/loss 7 Other income			<del>-</del> -				
8 Charitable contributions							
9 Section 179 expense							
10 Deductions for portfolio income							
11 Other deductions			<del> </del>			<u></u> -	
12a(1)Elec pre-90 low-inc housing cr					_ <del></del>	<u> </u>	_
12a (2) Other pre-90 low-rnc housing cr				·	<del>_</del>	<u> </u>	
12a (3) Elec post-89 low-inc housing cr. 12a (4) Other post-89 low-inc housing cr			<del></del>			<u> </u>	
12b Qualified rehab expenditures				 I	<del></del>		
12c Rental real estate credits							
12d Other rental credits						<u> </u>	
13 Other credits .	<u></u>	<u> </u>				<u>-</u> -	
14a Interest expense on invidebts				···			
14b (1) investment income						<del> </del>	<del>-</del>
14b (2) Investment expense  16a Depr adj on post-86 property							
16b Adjusted gain/loss							
16c Depletion							
16d (1) Gross income oil/gas/geoth							
16d (2) Deductions alloc to oil/gas prop				-	<del></del>	 	
16e Other tax preference				<u> </u>			
17b Total gross income (ptr level)					<del>-</del>	<u> </u>	
17c (1) Passive (ptrshp level)	<del></del>				<del></del> _		
17c (2)Listed categories (ptrshp level) 17c (3)General limitation (ptrshp level)							
17d (1) Interest exp allo & appt (ptr level).							
17d (2)Other deductions allo. & appt. (ptr level)						ļ	
17e (1)Passive deductions (ptrshplevel)							
17e (2)Listed categories (ptrshp level).							-
17e (3) General limitation (ptrshp level)		<u> </u>			<u> </u>		
17f Total foreign taxes	<u> </u>						
17g Reduction in taxes avail for credit .  18b Section 59(e) expenditures	<u></u>			- <del></del>			
19 Tax-exempt interest income.						<u> </u>	
20 Other tax-exempt income							
21 Nondeductible expenses							
24a Low-inc housing credit recap		<u> </u>		<del> </del>		~ f,	,
24b Other than Sec 42(j)(5)				<u> </u>		4	117
25 Supplemental Information		<u> </u>				<del>'                                    </del>	+++
JSA 0P9010 2 000 6 CO 4 2 P 1585						•	,

FERTNER'S SCHEDULE OF ACTION IES 54-1								7
	ARTNER # 25 SU	RDNA FOUNDAT	ION, INC.		Disposed	Qualified Low-Income	Publicity Traded	Page-through
Act	ivity Name(s) and Type	of Income:			Activity	Housing	Partnership	Activity
	FROM DOUGLAS EMME		BRONA		NO	NO	NO	YES
	FROM DOUGLAS EMME				МО	МО	ИО	YES
<u>C</u>	FROM DOUGLAS EMME	T-10990 WILS	HIRE		ЙO	МО	ИО	YES
	FROM YORKTOWN ENE		III, L.P.	<del></del>	ทัด	NO	NO	YES
<u>E</u>	FROM NEW CENTURY		···· <del>····</del>		NO	ио	NO	YES
		Activity A	Activity B	Activity C	Activ		Activ	
<u> Scl</u>	nedule K-1 Line References	P\T RENTAL	PAT RENTAL	P\T: RENTAL3	<u>°P\T^G</u>		PASS-	
1	Ordinary income		2 224	140		7,651.		-201
2	Rental real estate income	1,644.	3,234.	147.			745	•
3	Other rental income	<del></del>				<del></del>		
4a_	Interest income							
4b	Ordinary dividends			<u> </u>				
4c	Royalties							
4d	Short-term capital gain/loss			<u> </u>				
	1)28% Rate Long-term capital gain/loss		·		_			
	2)Total Long-term capital gain/loss							
4f	Other portfolio income/loss							
5	Guaranteed payments							
6 7	Other income							
8	Charitable contributions	-	-					
9	Section 179 expense							
10	Deductions for portfolio income				_			
11	Other deductions							
-	i)Elec pre-90 low-inc housing cr				-			
-	2)Other pre-90 low-inc housing cr							
-	3) Elec post-89 low-inc housing cr.		-					
-	1)Other post-89 low-inc thousing or							
12b	Qualified rehab expenditures .							
12c	Rental real estate credits							
12d	Other rental credits							
13	Other credits			<u> </u>				
14a	Interest expense on invidebts						-	
14b (	1) investment income							
14b (	?)Investment expense					<del></del> -		
16a	Depr adj on post-86 property							
16b	Adjusted gain/loss				_			
16c	Deptetion			<del></del>				
16d.(	1)Gross income oil/gas/geoth				<u>-</u>		<u> </u>	_
16d (	2)Deductions alloc to oil/gas prop	-	<del>-</del>	<u> </u>				
16e	Other tax preference	<u> </u>		<u></u>				
17b	Total gross income (ptr level)		<u> </u>					
-	1)Passive (pt/shp level)							
-	2)Listed categories (ptrshp level)							<del></del>
	3) General limitation (ptrshp level)							
	1) Interesi exp allo & appt (ptrievel).			<del></del> -				
-	2)Other deductions allo. & appt. (ptr level)	<del></del>						
	Passive deductions (ptrshp level)	<u> </u>	<del>-</del>					
-	2) Listed categories (ptrshp level).							
_	3) General limitation (ptrshp level)	-						
17f	Total foreign taxes and for credit							
17g 18b	Reduction in taxes avail for credit .  Section 59(e) expenditures			- · <del>-</del>				
19	Tax-exempt interest income							
20	Other tax-exempt income							
21	Nondeductible expenses							
24a	Low-inc housing credit recap .		<del> </del>				0	[]
24b	Other than Sec 42(j)(5)							7/12]
25	Supplemental Information							7127

TIFF PARTNERS I, LLC SCH K-1 SUPPORTING SCHEDLES PARTNER # 25 SURDNA ENDATION,	
ITEM J, COLUMN(C) - RECONCILIATION OF INCOME	
INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7 LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	6,031,478. 201,748.
TOTAL INCOME PER SCHEDULE K-1	5,829,730.
LESS: EXPENSES RECORDED ON BOOKS, NOT INCLUDED ON SCH. K-1: NET BOOK/TAX DIFFERENCES FROM	12 664 716
PASS-THROUGH ENTITIES	12,664,716.
TOTAL INCOME PER ITEM J, COLUMN(C)	-6,834,986.
LINE 2 - NET INCOME FROM RENTAL REAL ESTATE ACTIVITIES	-
FROM PASS-THROUGH ENTITIES	-68,439.
TOTAL NET INCOME FROM RENTAL REAL ESTATE ACTIVITIES	-68,439.
<del>-</del>	
LINE 4F - OTHER PORTFOLIO INCOME	
OTHER PORTFOLIO INCOME FROM PASS-THROUGH ENTITIES  TO LINE	//G) 4,814.
OTHER PORTFOLIO INCOME FROM PASS-THROUGH ENTITIES: SECTION 988 INCOME	-35.
TOTAL OTHER PORTFOLIO INCOME	4,779.
LINE 7 - OTHER INCOME	
OTHER INCOME INCLUDED IN ITEM J, COLUMN(C): REALIZED LOSS ON FOREIGN CURRENCY TRANSACTIONS	-7,069.
TOTAL OTHER INCOME	-7,069.
LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	
PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES	134,836.
PROFESSIONAL FEES ADVISORY FEES	6,502. 54,092.
INSURANCE EXPENSE MISCELLANEOUS EXPENSES	4,270. 1,757.
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	201,457.
0P90001000 6CQ42P 1585	STATEMENT #1

SCH K-1 SUPPORTING SCHEDULES PARTNER # 25 SURDNA FOUNDATION, INC.

LINE 17A - NAME OF FOREIGN COUNTRY OR U.S. POSSESSION

**VARIOUS** 

PARTNER FOOTNOTES NOT INCLUDED IN ITEM J \*\*\*\*\*\*\*\*\*\*\*\*\*\*

FOR TAX EXEMPT ENTITIES ONLY:

YOUR SHARE OF UNRELATED BUSINESS TAXABLE INCOME/ (LOSS) GENERATED DURING 2000 IS REPORTED BELOW:

FEDERAL UBTI INFORMATION\*:

NET INCOME/(LOSS) FROM RENTAL REAL ESTATE ACTIVITIES FROM BROOKDALE INVESTORS II, L.P. NET INCOME/(LOSS) FROM RENTAL REAL ESTATE ACTIVITIES FROM DOUGLAS EMMETT REALTY FUND 1996

NET INCOME/(LOSS) FROM RENTAL REAL ESTATE

ACTIVITIES FROM CMD REALTY

STATE UBTI INFORMATION:

NET INCOME/(LOSS) FROM RENTAL REAL ESTATE ACTIVITIES FROM DOUGLAS EMMETT REALTY FUND: CALIFORNIA:

\*IF YOU ARE A QUALIFIED ORGANIZATION UNDER IRC SECTION 514(C)(9), YOUR PERCENTAGE OF UNRELATED BUSINESS TAXABLE INCOME FROM THESE ITEMS IS 0%.

UNRECAPTURED SECTION 1250 GAIN INCLUDED ON LINE 6 IS

CAL UBTI 12,879.

3,638.

PG Q(1/12) LINE 2: (68, 439)

TO LINE 11(b)

20,876

(47,563)

# TIFF PARTNERS I, LLC EIN 54-1831047 For the year ended December 31, 2000 SCHEDULE K-1 SUPPLEMENTAL INFORMATION

#### Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

TIFF Partners I LLC has indirectly invested in the following Passive Foreign Investment Companies ("PFICs") which may require special reporting by U S persons. Tiff Partners I LLC's share of the income and distributions are shown below. A partner in Tiff Partners I LLC may compute their pro-rate share of the amounts shown below by multiplying the amounts below by the percentage of their partnership interest in Tiff Partners I, LLC.

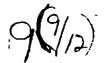
	Ordinary Earnings	Net Capital Gain Earnings		FMV of Property Distributions
Acton Participacoes S A Av Brigadeiro Fana Lima 3729 7 Andar Sao Paulo SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	O	0	0
Albae Participacoes S A Av Brigadeiro Fana Lima 3729 7 Andar Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	o	o	O
Asseca Participacoes S A Av Brigadeiro Faria Lima 3729, 7 Andar Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Atque Participacoes Ltda Av Brigadeiro Faria Lima 3729 Sao Paulo SP, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0
Barton Parlicipacoes Ltda Av Brigadeiro Faria Lima 3729 Sao Paulo Brazil Tax ID N/A For the penod 01/01/2000 through 12/31/2000	0	o	0	0
Convexx Communications Do Brazil S A Av Dr Cardoso De Mello 1450 / Cjs 401 e 401 Sao Paolo Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	O	0
Draco Producao E Programacao S A Av Bngadeiro Fana Lima 3729, 7 Andar Sao Paulo SP Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000	0	0	0	0

#### TIFF PARTNERS I, LLC EIN 54-1831047

# For the year ended December 31, 2000 SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

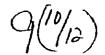
Supplementarini	UIIII AUUT WILLI TE	galu ju Cassive FV	eiðit maestile	FMV of	LICS_1
	Ordinary	Net Capital Gair	Cach	Property	
	Earnings	Earnings		Distributions	
Elefante Com Ltd	20			210010-00110	
Ugland House P O Box 309, South Church Street				-	-
Grand Cayman Cayman Islands	0	0	0	0	
Tax ID N/A		-			
For the period 01/01/2000 through 12/31/2000				-	
E-Pedreiro Ltd					
Ugland House, P O Box 309 South Church St					
Grand Cayman Cayman Islands	0	0	0	O	_
Tax ID N/A					
For the period 01/01/2000 through 12/31/2000					
Evora Participações S A					-
Av Bngadeiro Fana Lima 3729 7 Andar					
Sao Paulo SP, Brazil	0	0	0	0	
Tax ID N/A					<b></b>
For the period 05/26/2000 through 12/31/2000					
Febraio Participacoes S A					
Av Brigadeiro Faria Lima 3729, 7 Andar					
Sao Paulo SP, Brazil	0	0	0	0	-
Tax ID N/A					
For the period 01/01/2000 through 12/31/2000					
Ibest S A					
Rua Goethe Casa 6					
Botafogo Brazil	0	0	0	0	
Tax ID N/A					
For the period 01/01/2000 through 12/31/2000					
Logistica Com Ltd					
Ugland House P O Box 309 South Church Street	•	•	•	•	
Grand Cayman Cayman Islands	0	0	0	0	
Tax ID N/A For the period 04/18/2000 (brough 12/31/2000)					
For the period 04/18/2000 through 12/31/2000					
Logistica Com do Brasil S A					
Rua do Rocio 351					
Sao Paulo SP Brazil					
Tax ID N/A	0	0	0	0	
For the period 01/01/2000 through 12/31/2000					
Lokau Limited					
Ugland House P O Box 309 South Church St					
Grand Cayman Islands					
Tax ID N/A	^	•		ā	
For the period 01/01/2000 through 12/31/2000	0	0	0	Ō	



# TIFF PARTNERS I, LLC EIN 54-1831047 For the year ended December 31, 2000 SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

Supplementarin	IOTHIADOT WILLTE	dain in Lassine Loi	eiðit atásants	FMV of	רפייו
	Ordinary Earnings	Net Capital Galm Earnings		Property Distributions	
New Brazil Development Equity Investments					
Ugland House, P.O. Box 309, South Church St		_		_	
Grand Cayman Islands	95,131	0	155,375	0	
Tax ID N/A					
For the period 04/11/2000 through 12/31/2000					
New Emerging Markets Capital Investments					
Ugland House, P.O. Box 309 South Church St					
Grand Cayman Cayman Islands					-
Tax ID N/A  For the pured 01/01/2000 through 13/21/2000	0	O	0	0	
For the period 01/01/2000 through 12/31/2000	U	U	U	<b>.</b>	
Pocone Participacoes S.A					
Av Brigadeiro Faria Lima 3729, 7 Andar					
Sao Paulo SP, Brazil				-	
Tax ld N/A	0	0	•	0	
For the period 01/01/2000 through 12/31/2000	U	U	0	U	
SVM Participações e Empreendimentos Ltda					
Av Brigadeiro Faria Lima, 3729 7 Andar					
Sao Paulo, SP Brazil					
Tax Id N/A	•		•		
For the period 01/01/2000 through 12/31/2000	0	0	0	0	
Talb Participações S.A					
Av Bngadeiro Fana Lima 3729					
Sao Paulo SP, Brazil					
Tax ID N/A	•	0	0	0	
For the period 01/01/2000 through 12/31/2000	0	U	0	<u>0</u> -	
Telemar Participacoes S A					
Av Brigadeiro Faria Lima 3729 7 Andar					
Sao Paulo, SP Brazil					
Tax ID N/A	•	0	0	•	
For the period 01/01/2000 through 12/31/2000	0	U	U	0	
Webmotors Com Ltd					
Ugland House PO Box 309 South Church Street					
Grand Cayman Cayman Islands		_			
Tax ID N/A	0	0	0	0	
For the period 01/01/2000 through 12/31/2000					
WRSPC Empreendimentos E Participacoes Ltda					
Av Brigadeiro Faria Lima 3729 7 Andar					
Sao Paulo SP Brazil	4 000	•	•	•	
Tax ID N/A  For the pared 01/01/2000 through 13/21/2000	1 060	0	0	0	
For the period 01/01/2000 through 12/31/2000					



# TIFF PARTNERS I, LLC EIN 54-1831047 For the year ended December 31, 2000 SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

Additionally, the corporations listed below were treated as PFICs in '99, however, they don't qualify as PFICs in 2000. As certain aspects of US tax law are unclear you are encouraged to consult with your tax advisor to consider whether you may be eligible to file a "Protective Statement" regarding a reasonable belief that the corporation did not qualify as PFICs for the year 2000.

Aprile Participacoes Ltda Av Brigadeiro Fana Lima, 3729 Sao Paulo, Brazil Tax ID N/A For the period 01/01/00 through 12/31/00

Balaki Participacoes Ltda Av Brigadeiro Fana Lima, 3729 Sao Paulo, Brazil Tax ID N/A For the penod 01/01/2000 through 12/31/2000

Maggio Participacoes Ltda Av Brigadelro Fana Lima, 3729 Sao Paulo, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000

T P S P V Empreendimentos e Participacoes Ltda Av Bigadeiro Fana Lima 3729 Sao Paulo Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000

Submanno Ltd
Ugland House P O Box 309
South Church Street Grand Cayman Cayman Islands
Tax ID N/A
For the period 01/01/2000 through 12/31/2000

The tax rules relating to PFICs are complex. Please consult your tax adviser. If you are required to report this information, a copy of Form 8621 should be attached to your tax return.

9(1/12)

#### TIFF PARTNERS I, LLC EIN 54-1831047

#### For the year ended December 31, 2000 SCHEDULE K-1 SUPPLEMENTAL INFORMATION STATEMENT 4

#### Supplemental information with regard to Passive Foreign Investment Companies ("PFICs")

TIFF Partners I, LLC, an indirect U S shareholder, has made an election under Section 1295 of the Internal Revenue Code to treat the following PFIC as a Qualified Electing Fund ("QEF") since the direct interest is held by a foreign entity

S P E L Empreendimentos e Participacoes S A Av Brigadeiro Faria Lima, 3729, 7 Andar Sao Paulo, Brazil Tax ID N/A For the period 01/01/2000 through 12/31/2000

A separate form 8621, "Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund' must be completed by each U.S. person (whether a direct or indirect shareholder) for this PFIC

The tax rules relating to PFICs are complex. Please consult your tax adviser. If required to file, a copy of each Form 8621 should be attached your tax return.

SCHEDU	ILE K-1
[Form 1	065)

# Partne Share of Income, Credits, De ► See separate instructions.

Octi	ons,	etc.	

	OMB No	1545	-0099
ı	<u>න</u>	ര	

Interna	inerau IReven	ue Service   For calend:	ar year 2000 or tax year be	ginnıng	, 2000	, and ending	_
		dentifying number 🕨				s Identifying number >	58-2426874
Partr SU	ner's na IRDN	ame, address, and ZIP A FOUNDATION ADISON AVENU	code PARTNER # , INC.	37	Partnership's	name, address, and ZIF ARTNERS III, I	code code
NE	W Y	ORK, NY 1001	7-5001			TTESVILLE, VA	22903
141		OM, 111 1001	. 5002		0.111111	, , , , , , , , , , , , , , , , , ,	_
			•			<del></del> -	-
						-	
	h.a	rtner is a gener	al partner   limited p	adnor	E Partner s	share of liabilities (see if	octrustions)
	— '	nited liability company	• —	, DI (1) (C)	Nonrecou	•	32,403.
_			tner? ► EXEMPT OR	e C		onrecourse financing	32,403.
	_	eartner a X domestic			Other	on ecourse mancing _	
				End of	_	registration number	<del></del>
	-			/ear		e if this partnership is a	nublich traded
	oss sh	harmg	<del></del>	6074 %		p as defined in section	
		- · · · ·	<del></del>	6074 %	partitersiii	p as defined in section -	+U3(K)(Z)
		hip of capital	fled return PHILADE		I Chack and	nakia kawas (4) 🗀 Ema	K-1 (2) Amended K-1
				TENTA	т Спескаррі	icable boxes (1) Fina	TR-1 (2)    Amended R-1
<u> </u>		s of partner's capital	(b) Capital contributed	(c) Partner	's share of lines	(d) Withdrawals and	(e) Capital account at end of
		ginning of year	during year	3 4 and	7 Form 1065 ctule M 2	distributions	year (combine columns (a) through (d))
		1,159,579.	2,100,000		306,157	( 131,960)	3,433,776.
		1,100,0,0,0		<u> </u>			
		(a) Dis	stributive share Item			(b) Amount	(c) 1040 filers enter the amount in column (b) on
	1	Ordinary income (los	ss) from trade or business	s activities	SEE DE	(5/6) -2,042.	See page 6 of Partner's
	2	•	om rental real estate activ		2	(10)	See page 6 of Partner's Instructions for Schedule K 1 (Form 1065)
	3	•	om other rental activities		3		1 ) (1 5 1 1 1 1 5 2 5 )
	4	Portfolio income (los	s)	_			1
(\$	а	Interest	To LINE	4(6)	4a	25,843.	Sch B, Part I, line 1
0.0	ь	Ordinary dividends	TO LINE	: 4 (b)	) 4b	17,873.	Sch B, Part II, line 5
=	c	Royalties			4 c		Sch E. Part I, line 4
Ĕ	d	Net short-term capita	al gain (loss) TO LIN	E 7B)	4d	28,864.	Sch D, line 5, col (f)
Income (Loss)	e	Net long-term capital	•	• • • • • • • • • • • • • • • • • • • •			1
=	1	(1) 28% rate gain (for	ss)		4e(1)	}	Sch D, line 12, col (g)
		(2) Total for year		HE 7/1	4e(2)	88,044.	Sch D, line 12, col (f)
	f		ne (loss) (attach schedule)		T 170 41	WHE 1/1/b) 137.	Enter on applicable line of your return
	5	Guaranteed paymen			5		See page 6 of Partner's
	6		(loss) (other than due to casi	ualty or theft)	6		Instructions for Schedule K-1 (Form 1065)
	7		attach schedule)			10(4/6) - 3.000	Enter on applicable line of your return
						, , , , , , , , , , , , , , , , , , ,	
	8	Chantable contribute	ons (see instructions)(atta	ach schedule	e)		Sch A, line 15 or 16
Deduc- tions	9	Section 179 expense	e deduction		. 9_		See pages 7 and 8 of
Deduc tions	10	Deductions related to	portfolio income (attach	schedule) 7	D LINEO	23/6)204,124	Partner's Instructions for Schedule K-1 (Form 1065)
	11	Other deductions (att			11		J schedule X-1 (Falli 1005)
	12a	Low-income housing	credit				
j		(1) From section 42(j)(5) p	partnerships for property placed	in service before	e 1990 <u>. 12a(1)</u>		)
		(2) Other than on line 12a	(1) for property placed in service	before 1990	12a(2)		Form 8586, line 5
			partnerships for property placed	=			( 5300, MIE 3
ង	l !	(4) Other than on line 12a	(3) for property placed in service	after 1989	. 12a(4)	-	ノ
Credits	ъ	Qualified rehabilitation	n expenditures related to	rental real	estate		_
ا ق		activities			12b		)
-	c	Credits (other than c	redits shown on lines 12a	a and 12b) r	elated		See page 8 of Partner's
Į		•	activities	•			Instructions for Schedule K-1 (Form 1065)
1	d		ner rental activities				(com 1000)
ļ	13	Other credits			13		J

Schedule K-1 (Form 1065) 2000

Sche	ייטונ		(Form 1003) 2000 PARTNER # 37 SURDNA	<u>: UUN</u>	DATION, INC.	Page /
- !			(a) Distribute share item		Amount	(c) 1040 filers enter the amount in column (b) on
Investment	14	, a b	Interest expense on investment debts To Line 23 (1) Investment income included on lines 4a, 4b, 4c, and 4f . (2) Investment expenses included on line 10	b(1) b(2)	43,853.	Form 4952, line 1 See page 9 of Partner's Instructions for Schedule K- (Form 1065).
Self-em- ployment	15	b	Net earnings (loss) from self-employment			Sch SE, Section A or B See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
Adjustments and Tax Preference Items	16	a b c	Depreciation adjustment on property placed in service after 1986  Adjusted gain or loss  Depletion (other than oil and gas)  (1) Gross income from oil, gas, and geothermal properties  (2) Deductions allocable to oil, gas, and geothermal properties	16a 16b 16c d(1) d(2)	216.	See page 9 of Partner's instructions for Schedule K-1 (Form 1065) and instruc-
Adju	17	a b	Other adjustments and tax preference items (attach schedule)  Name of foreign country or U S possession   STMT 2  Gross income sourced at partner level	16e	10,520.	tions for Form 6251
S.			(1) Passive	17c(1) 17c(2) 17c(3)	15,872.	~
Foreign Taxes			Deductions allocated and apportioned at partner level.  (1) Interest expense	17d(2)	972.	Form 1116, Part I
		ſ	(1) Passive	17e(1) 17e(2) 17e(3) 17f	5,220.	Form 1116, Part II
	10	9	Reduction in taxes available for credit and gross income from all sources (attach schedule)  Section 59(e)(2) expenditures a Type	17g		See Instructions for Form 1116
	18	Þ	Amount Tax-exempt interest income	18b		See page 9 of Partner's Instructions for Schedule K-1 (Form 1065)
ō	20 21 22 23		Other tax-exempt income Nondeductible expenses. Distributions of money (cash and marketable securities) Distributions of property other than money	20 21	131,960.	See pages 9 and 10 of Partner's Instructions for Schedule K-1 (Form 1065)
	24	а	Recapture of low-income housing credit From section 42(j)(5) partnerships Other than on line 24a Supplemental information required to be reported separately to	24a 24b		Form 8611, line 8
	25	-	needed)			
ormation		-				
Supplemental Information		-		- <b></b>		
Suppler		-				
		-				

PARTNER'S SCHEDULE OF ACTIVITIES 58-2426874 SURDI FOUNDATION, INC. Qualified Publicly Traded PARTNER # Low-Incom Housing .ivity Name(s) and Type of Income: Pertnership Activity NO NO TIFF PARTNERS III, NO NO B TIFF PARTNERS III, LLC NO NO NO NO D Ε Activity B **Activity C Activity D** Activity E **Activity A** GEN. T/B Schedule K-1 Line References PORTFOLIO -2,042Ordinary income 1 Rental real estate income 2 3 Other rental moome Interest income 25,843 4a 17,873. Ordinary dividends . . . . . . 4b Royaties . . . . 4c 28,864 Short-term capital gain/loss 4đ 4e.(1)28% Rate Long-term capital gain/loss 88,044 4e.(2)Total Long-term capital gain/loss \_ 137 Other portfolio income/loss Guaranteed payments . . . . . Net Section 1231 gain/loss . . 6 -3,000 7 Charitable contributions . . . . 8 Section 179 expense . . . . . 9 204,124 10 Deductions for portfolio income Other deductions . . . . . . . 11 12a (1) Elec pre-90 low-inc housing cr 12a (2) Other pre-90 low-inc housing cr 12a (3) Elec post-89 low-inc housing cr 12a (4) Other post-89 low-inc. housing cr Qualified rehab expenditures 12c Rental real estate credits 12d. Other rental credits . . . . . 13 Other credits . . . . . . 1,079 14a. Interest expense on invidebts. 43,853 14b (1) Investment income 14b (2) Investment expense 204,124 216 Depr adj on post-86 property 16b Adjusted gain/loss Depletion . . . . . . . . 16d (1) Gross income oil/gas/geoth 16d (2) Deductions alloc to oil/gas prop 16e. Other tax preference . . . . . 10,520 17b Total gross income (ptr level). . 15,872 17c (1) Passive (ptrshp level) . . 17c (2) Listed categories (ptrshp level). 17c (3) General limitation (ptrshp level) 972 17d.(1) Interest exp allo & appt (ptr level). 17d.(2) Other deductions also. & appt. (ptr level) . 5,220 17e (1) Passive deductions (ptrshp level) 17e (2) Listed categories (ptrshp level). 17e (3) General limitation (ptrshp level) 173 171 Total foreign taxes . . . . . . Reduction in taxes avail for credit . 17a 18b Section 59(e) expenditures . .

Tax-exempt Interest income . .

Other tax-exempt income . . .

Nondeductible expenses. . . . 24a. Low-inc housing credit recap Other than Sec 42(j)(5) . . . .

19

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21

TIFF PARTNERS III, LLC SC" K-1 SUPPORTING SCHIPLES PARTNER # 37 SURDNA UNDATION,	58-2426874 INC.
ITEM J, COLUMN (C) - RECONCILIATION OF INCOME	<del></del>
INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7 LESS: DEDUCTIONS FROM SCH K-1, LINES 8 - 11, 14A, 17F & 18B	155,719. 205,376.
TOTAL INCOME PER SCHEDULE K-1	-49,657.
PLUS: INCOME RECORDED ON BOOKS, NOT INCL. ON SCH. K-1: NET BOOK/TAX DIFFERENCES FROM PASS-THROUGH ENTITIES	355,537.
PLUS: DEDUCTIONS ON SCH. K-1, NOT CHARGED AGAINST BOOKS: AMORTIZATION OF ORGANIZATION COSTS	277.
TOTAL INCOME PER ITEM J, COLUMN(C)	306,157.
LINE 4F - OTHER PORTFOLIO INCOME	
OTHER PORTFOLIO INCOME FROM PASS-THROUGH ENTITIES	137.
TOTAL OTHER PORTFOLIO INCOME	137.
LINE 7 - OTHER INCOME	
OTHER INCOME INCLUDED IN ITEM J, COLUMN(C): FOREIGN CURRENCY EXCHANGE LOSS	-3,000.
TOTAL OTHER INCOME	-3,000.
LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	`
PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES PROFESSIONAL FEES AMORTIZATION OF ORGANIZATION COSTS ADVISORY FEES INSURANCE EXPENSE MISCELLANEOUS EXPENSES	111,549. 3,025. 277. 84,267. 852. 4,154.
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	204,124.

STATEMENT #1

TIFF PARTNERS III, LLC 58-2426874 SCH K-1 SUPPORTING SCHOOLES PARTNER # 37 SURDNA UNDATION, INC.

LINE 17A - NAME OF FOREIGN COUNTRY OR U.S. POSSESSION

**VARIOUS** 

PARTNER FOOTNOTES NOT INCLUDED IN ITEM J

FOR TAX EXEMPT ORGANIZATION ONLY:
YOUR SHARE OF UNRELATED BUSINESS TAXABLE INCOME/
(LOSS) GENERATED DURING 2000 IS\*:

\* IF YOU ARE A QUALIFIED ORGANIZATION UNDER IRC SECTION 514(C)(9), YOUR PERCENTAGE OF UNRELATED BUSINESS TAXABLE INCOME FROM THESE ITEMS IS 0%.

To 990-1 -2,020

STATEMENT #2

#### TIFF PARTNERS III, LLC SCHEDULE K-1 SUPPORTING SCHEDULES 12/31/00

**STATEMENT 3** 

#### Additional Tax Information with Respect to QSB Stock

During 2000, the partnership acquired an indirect interest in stock of the following QSB eligible companies

Company	Purchase Date	Total Purchase Amount
Collabrys, Inc	09/25/00	64,428
Confirma	11/13/00	59,715
EhealthContracts	04/07/00	91,958
EhealthContracts	11/10/00	82,298
ESIN Technologies Corp	09/01/00	66,649
MitraLife	08/09/00	26,195
Mycometrix Corp	07/05/00	68,933
Pharmion Corporation	01/05/00	32,550
Pharmion Corporation	12/26/00	21,467
Spiration, Inc	11/01/00	129,882
St Francis Medical Technologies, Inc.	10/06/00	72,549
Syrrx, Inc	02/23/00	22,649
Syrrx, Inc	09/06/00	37,676
Tensys Medical, Inc	02/18/00	79,418

The above Qualified Small Business("QSB") Stock information is provided in the case of a taxpayer other than a corporation, with respect to the rollover treatment pursuant to Internal Revenue Code Section 1045. Please consult your tax advisor

The amounts indicated above represent Tiff Partners III, LLC share of the purchase price. A partner in Tiff Partners III, LLC may compute their pro-rata share of these amounts by multiplying the amounts by the percentage of their partnership interest in Tiff Partners III, LLC.

10 (6/6)

SCHEDULE K-1 (Form 1065)

#### Partner's hare of Income, Credits, Dedictions, etc.

See separate instructions.

1	CupEC 2 1 2001
	2000

Department of the Treasury Internal Revenue Service For calendar year 2000 or tax year beginning 07/01 2000, and and ang 06/30/2001 Partner's identifying number ► 13-6108163 Partnership's identifying number ▶ 06~1563330 Partner's name, address, and ZIP code PARTNER # Partnership's name, address, and ZIP code SURDNA FOUNDATION ENDOWMENT PRIVATE EQUITY PARTNERS 330 MADISON AVENUE, 30TH FLOOR IV, LP NEW YORK, NY 10017-5001 15 OLD DANBURY ROAD P.O. BOX 812 WILTON, CT 06897-0812 general partner | X | limited partner F Partner's share of liabilities (see instructions) This partner is a Ilmited liability company member Nonrecourse What type of entity is this partner? ► EXEMPT ORG Qualified nonrecourse financing Is this partner a X comestic or a Other .... foreign parmer? (I) Before change of termination (II) End of G Tax shelter registration number > Enter partners percentage of **VARIOUS %VARIOUS** H Check here if this partnership is a publicly traded Profit sharing partnership as defined in section 469(k)(2) VARIOUS %VARIOUS Loss sharing % % Ownership of capital VARIOUS **%VARIOUS** Check applicable boxes (1) Final K-1 (2) Amended K-1 IRS Center where partnership filed returnANDOVER Analysis of partner's capital account (b) Capital contributed (a) Capital account at end of (d) Withdrawa's and (a) Capital account et (c) Pertner's share of lines year (combine columns (a) 4 and 7, Form 1066 Schedule M-2 beginning of year during year distributions through (d)) 640,000 -25,508 7.841 962,459 355,808 (c) 1040 filers enter the amount in column (b) on (b) Amount (a) Distributive share item See page 8 of Parmer's Institutions for Schedule K-1 (Form 1065) Ordinary income (loss) from trade or business activities. -14 2 Net income (loss) from rental real estate activities 2 Net income (loss) from other rental activities 3 Portfelio income (loss) 'ncome (Loss) Interest 10.814 Sch, B, Part I, line 1 Δa 115 Ordinary dividends 4Ъ Sch B, Part II, line 5 Royalties 4c Sch E Part I, fine 4 Net short-term capital gain (loss) 4d Sch D, line S, cal (f) Net long-term capital gain (loss) (1) 26% rate gain (loss) 4e(1 Sch D Ilna 12, cot (g) (2) Total for year 4a(2 Sch D, fine 12, col (f) Enter on applicable line of your record Other portfolio income (loss)(attach schedule) 41 See page 8 of Partners Guaranteed payments to partner 5 Instructions for Schedule K-1 (Form 1085) Not section 1231 gain (loss) (other than due to casualty or that) 6 Enter on applicable and of your ration. Other income (loss) (ettach schedule) Sch A, line 15 or 18 Charitable contributions (see Instructions Yattach schedule) 8 Deduc-fions Section 179 expense deduction, . . . . 9 See pages 7 and S of Deductions related to portfolio income/altach schedule) 23 Partner's instructions for 51,363 10 10 Schedulo K-1 (Form 1065) 11 Other deductions (ettech schedule) Low-income housing credit (1) From aemion 42(j)(5) partnerships for property placed in service before 1990. 124[1 (2) Other than on line 129(1) for property placed in service before 1890 124(2) Form 8586, line 5 (3) From section 42(I)(5) partnerships for property placed in service after 1989. 723(3) (4) Other than on line 12a(3) for property placed in earlice after 1989 124(4) Qualified rehabilitation expenditures related to rental real estate Ł 12b Credits (other than credits shown on lines 12a and 12b) related Sea page 8 of Partner's Instructions for Schedule K 1 (Form 1065) to rental real estate activities 12c Credits related to other rental activities 12d

For Paperwork Reduction Act Notice, see Instructions for Form 1086

Schedule K-1 (Form 1985) 2000

Other credits

3010			PARTNER # 63 SURDNA F	OUN	IDATION			7	(c) 1040 filers enter the
			(a) Distributive share item		(b)	nount	t 		mount in column (b) on:
	Т			<b>XX</b>		(1)		T	
Investment Interest	14	, a	Interest expense on investment debts	14a	TO LINE			.]_	Form 4952, the 1
		Ь	(1) Investment income included on lines 4a, 4b, 4c, and 4f	b(1)		10	,929	ر[	See page 3 of Partner e Instructions for Schedule K-1
₹ =	1		(2) Investment expenses included on line 10	b(2)			,363	.] ,	(Form 1085)
7 E	1 5	; a	Net earnings (loss) from self-employment. ,	15a				$\top$	Sch. SE, Section A or B
Sellem- ployment	1		Gross farming or fishing income	156				フ	See page 9 of Permer's
Sellem- ploymen	1	c	Gross nonfarm Income	15c				17	Instructions for Schedule IC1 (Form 1065)
	16		Depreciation adjustment on property placed in service after 1986	16a				۲	
and Ti	١.,		Adjusted gain or loss	16b				i (	Con sees 0 of Dortson's
25	1	-	Desiration (ather than all and eac)	160				┨╏	See page 9 of Partner's Instructions
	1	٦	(1) Gross income from oil, gas, and goothermal properties	d(1)	<del> </del>			1 /	tor Schedule K-1
Adjustments and Tax Preference flams		u						┨	(Form 1065) and Instruc-
름분	ŀ	_	(2) Deductions allocable to oil, gas, and geothermal properties					<b>┤</b> ┃	tions for Form 6251
<u>«</u>	<del>  -</del> -		Other adjustments and tax preference demolettach schedule)	16e		<del></del>		12	<del></del>
	17			44.5				_	
			Gross income sourced at partner level	17b				4 }	
		C						Н	
				17c(1)				<b>↓</b>	
	ł		(2) Listed categories (attach schedule)	17=(2)	<u> </u>			} }	
us				17c(3)				] [	
		d	Deductions allocated and apportioned at partner level	罗河				Ìĺ	Form 1116 Part I
F				170(1)				17	, rotal crio recr
뗤				17d(2)				11	
Foreign Taxes	1	e	Deductions allocated and apportioned at partnership level to	***				11	
F.	İ		foreign source income	<b>冷</b> 性。					
	ļ		Ass. The state of the state of	170(1)				П	
	ĺ			170(2)				11	
				170(3)				リ	
		ı	· · ·	171				1	Form 1116, Part il
			Reduction in taxes available for credit and gross income from	-'''				ł	-
		8	all and an Anthony and adula)	4					See Instructions for Form 1116
—	-			179				$\overline{}$	
	18		Section 59(e)(2) expenditures a Type ▶					IJ	See page 9 of Partners instructions for Schedule
			A					1	K-1 (Form 1085)
		Þ	****	18b				7	
ther	19		Tax-exempt interest income	19				_	Form 1040, line 8b
- E	20		Other tax-exempt income	20				ĺ	See pages 9 and 10 of
•	21			21				\ \	Partner's instructions for
	22		Distributions of money (cosh and marketable securities) ,	22		<u> </u>	<u>841.</u>		Schedule K-1 (Form 1065)
[	23		Distributions of property other than money	23				ر	• •
	24		Recapture of low-income housing credit	6.4			į	_	
ľ		a		24a				ſ	Form 8811 line 8
		ь	Other than on line 24a	24Ь				_	
	25		Supplemental information required to be reported separately to	asch	parmejaite	ich eddi	nonal sc	hec	dules if more space is
			needed)		•				•
			,						
- 1		•	,						·
동			SEE PARTNER FOOTNOTES SCHEDULE						
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Supplemental Information									
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SCH K-1 SUPPORTING SCHEDU S PARTNER # 63 SURDNA FOR PATION	6-15ご3330
ITEM J, COLUMN(C) - RECONCILIATION OF INCOME	
INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7 LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	10,915. 51,591.
TOTAL INCOME PER SCHEDULE K-1	-40,676.
LESS: INCOME INCLUDED ON SCH. K-1, NOT RECORDED ON BOOKS: BOOK/TAX DIFFERENCE FROM PASS-THROUGH ENTITIES	37,313.
PLUS: INCOME RECORDED ON BOOKS, NOT INCL. ON SCH K-1: NET CHANGE IN UNREALIZED APPRECIATION ON INVESTMENTS	48,141.
PLUS: DEDUCTIONS ON SCH. K-1, NOT CHARGED AGAINST BOOKS: AMORTIZATION OF ORGANIZATION EXPENSES	4,302
PLUS: OTHER INCREASES TO PARTNER'S CAPITAL OTHER INCREASES	38.
TOTAL INCOME PER ITEM J, COLUMN(C)	-25,508.
LINE 4B - DIVIDENDS  ===================================	115. 115.
LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	
PROFESSIONAL FEES MANAGEMENT FEES GENERAL AND ADMINISTRATIVE EXPENSES ORGANIZATION COSTS PORTFOLIO DEDUCTIONS FROM PASS-THROUGH	1,177. 25,670. 545. 4,302.
ENTITIES	19,669.
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME ==	51,363.
LINE 14B(1) - INVESTMENT INCOME INCLUDED ON LINES 4A, 4B, 4C, AND 4	F
FROM PASS-THROUGH ENTITIES	= 9,568. 1,361.
TOTAL INVESTMENT INCOME INCLUDED ON LINES 4A,B,C,F	10,929.

SCH K-1 SUPPORTING SCHEDU PARTNER # 63 SURDNA FOR DATION

#### PARTNER FOOTNOTES \_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_

TAX EXEMPT INVESTORS:

THE PARTNERSHIP IS NOT ENGAGED IN A TRADE OR BUSINESS AS DEFINED IN IRC SECTION 513. THE PARTNERSHIP DOES NOT HOLD ANY DEBT-FINANCED PROPERTY AS DEFINED IN IRC SECTION 514 (B). THERFORE, ABSENT AQUISITION INDEBTEDNESS TO ACQUIRE YOUR PARTNERSHIP INTEREST, YOUR SHARE OF PARTNERSHIP INCOME AND DEDUCTIONS IS NOT CONSIDERED UNRELATED BUSINESS TAXABLE INCOME.

THE PARTNERSHIP HAS FILED A CONNECTICUT PARTNERSHIP INCOME TAX RETURN FOR THE FISCAL YEAR ENDED JUNE 30, 2001. ALL INCOME AND DEDUCTIONS HAVE BEEN REPORTED AS CONNECTICUT-SOURCED. HOWEVER, EACH PARTNER SHOULD CONSULT THEIR TAX ADVISER REGARDING THEIR OWN RESPECTIVE FILING REQUIREMENTS.

STATEMENT

	lin.	nited liability company	y member	Nor	recoul	rse , , ,	•			
B What type of entity is this partner? ► EXEMPT ORG.						onrecourse (mancing				
	_	partner a 🔀 domest			Other					
	-				G Tax shelter registration number >					
		haring	WARIOU	S % H Che		re If this partnership is	a publicly traded			
ı	Loss sh	nanng	%VARIOU			p as defined in section				
(	Owners	ship of capital	%VARIOU		- 1	•				
			lo filed returnANDOVER		ek appli	cable boxes (1) Fina	al K-1 (2) Amended K-1			
J /	Analysi	s of partner's capital	account		_					
		Capital account at eginning of year	(b) Capital contributed during year	(c) Partner's share of 3 4, and 7, Form 1 Schodule M-2		(d)Withdrawels and distributions	(e) Capital account at end of year (combine columns (a) through (o))			
		559,966	1,200,000	-163,	329.	( 9,770)	1,586,867.			
		(a) Oi:	stributive share item			(b) Amount	(c) 1040 filers enter the amount in column (b) on			
	1	Ordinary Income (lo	ss) from trade or busines	s activities	1		Sea page 6 of Parmeria			
	2	•	om rental real estate acti		2		See page 5 of Parmer's instructions for Schoolie K-1 (Form 1055)			
	] 3	Nat income (loss) fr	om other rental activities		3		J " " " "			
	4	Portfolia income (los	ss),		11,					
SS)	3	Interest	i là l'ine	., .4(Ь)	4 a	27,729.	Sch. B. Part I, line 1			
ë	b	Ordinary dividends,	To LINE	4(b) TMT 1.	4 b	149.	Sch. B, Part II, line 5			
) a	c	Royalties ,		4c		Sch E, Part I, line 4				
Ē	d	Net short-term capit	al gain (loss) To LINE	762 TMT 1	4d	<u>-</u> 311.	Sch D, line 5, coi (f)			
Income (Loss)	e	Net long-term capita		-,	Š		1			
_		(1) 28% rate geln (to	ss), , ,		4 e(1)		Sch D line 12, col (g)			
	1	(2) Total for year		TO GENET 14(6)	44(2)	<u></u>	Sch D, line 12, col (f)			
	1	Other portfolio incon	ne (loss)(attach schedule)	STAT 19	47	-1,143.	Enter on applicable line of your return			
	5	Guaranteed paymer	nts to partner , _ ,		5		See page 6 of Partner's Instructions for Schedule K-1			
	6	Net section 1231 gain (	(losa) (ether than due to cast	ualty or theft)	6		(Form 1065)			
	17_	Other income (loss)/	attach schedule)		7		Enter on applicable the of your read			
					} }					
٠. ٺ	8		ons (see instructionsYaffa	ich schedule)	-		Sch A, line 15 or 16			
Deduc- tions	9	Section 179 expens	e deduction o portfolio incom <i>elattach</i>	TO LINE :	<b>, 3</b> −		See pages 7 and 8 of Partner's instructions for			
చ్లి≅	1			schedule) 13		109,021	Schedule K-1 (Form 1965)			
	11_	Other deductions (at			11		<del></del>			
	12a	Low-income housing			15.0					
	ļ		partnerships for property placed in	•	123(1)		<b>!                                    </b>			
	1		(1) for property placed in service t	• •	123(2)		> Form 8586, Ime 5			
_	}		partnerships for properly places in	•	124 3)		1 ]			
ij			(3) for property placed in service e	•	12:(4)		٠,			
Credits	j B		on expenditures related to	o rental real estate	- }		<b>1</b> 5			
O	_	activities	worder observe on these 42.	n and 40h) militar	12b					
	C		redits shown on lines 12:	a and 120) related	12c		See page 8 of Partner's Instructions for Schoole K-1			
	1					<del></del>	(Form 1095)			
	13	Other credits .	In Leural Broat/(C2	•	12d	- <del></del>	)			
		A Princi alcodim				ľ				

_ TON:		20	302""12 08PM' FARINER # 108 SURDNA F	ouv	DATION, INC NO 3	345 P.3 Page 2
JAN	۷	٤.	(a) Distriby share Item		Amount	(c) 1040 filers enter the
	_		(a) Distrib	rrage		amount in column (b) on
<b>5</b>	}			<b>小学</b>	1 40 (1)	
Investment Interest	114		Interest expense on investment debts STMT 2		TO LINE 23 (b) 700	Form 4952, line 1 Soo page 9 of Parinor's
2 5	]	Þ	(1) Investment income included on lines 4a, 4b, 4c, and 4f	<u>b(1)</u>	26,735	Instructions for Schedule K 1
	1.		(2) Investment expenses included on line 10 STMT 2.  Net earnings (loss) from self-employment	b(2)	109,021.	/ (Form 1069)
Ė	'3		Gross farming or fishing income	<u>15а</u> 15Ъ	<u> </u>	Sch SE, Section A or B 8a9 page 9 of Partners
Self-em- Noyment			Gross nonfarm income	15c	<del> </del>	Instructions for Schoolule K 1 (Form 1085)
	16		Depreciation adjustment on property placed in service after 1986	16a		1
3 5	'"		Adjusted gain or loss	16b		See page 9 of Portger's
N S	j		Depletion (other than oil and gas)	16c		Instructions
5 5	1		(1) Gross Income from oil, gas, and geothermal properties	d(1)	<del></del>	for Schedule K-1
Adjustments and Tax Preference items	{		(2) Deductions allocable to oil, gas, and geothermal properties			(Form 1065) and Instruc-
A P	l	6	•	16e		tions for Form 6251
	17		Name of foreign country or U.S. possession	ĽĽ		
	1	ъ	Gross income sourced at partner level	17b		D
		c	Foreign gross income sourced at parmership level			1 (
	ĺ		4.5 %	17c(1)		] [
			(2) Listed categories (altach schedule)	17c(2)		} {
19	ļ		(3) General limitation	17c(3)		] {
oreign Taxes		đ	Deductions allocated and apportuned at partner level	10		Form 1116, Part I
Ë	j			17d(1)		
5	ļ			174(2)		<b>!</b>
5		e	Deductions allocated and apportioned at partnership level to	多島		[ ]
ш.	]		totaldy soutce ilicoille	交配		] ]
	}		· · ·	170(1)		
			, ,	17e[2]		IJ
				17e(3)		Form 1118, Part II
	1	T -	Total foreign taxes (check one) Paid Accrued	179	<del></del>	
		9	Reduction in taxes available for credit and gross income from all sources (attach schedule)	47-	J	See Instructions for Form 1116
	18			17g		See page 9 of Partner's
	)'"					Instructions for Schedule
		ь	Amount	18b		∫ K-1 (Form 1065)
_	19	_	Tax-exempt interest income	19		Form 1040, line 85
Iber	20		Other tax-exempt income	20		See pages 9 and 10 of
<u></u>	21		Nondeductible expenses	21		Partner's Instructions for
	22		Distributions of money (cash and marketable securities)	22	9,770.	Schedule K-1 (Form 1065)
	23		Distributions of property other than money	23		J,
	24			上文	ţ	
				248		Form 8611, line 8
		ь_		24b		<i></i>
	25		Supplemental information required to be reported separately to	each	i partnefattach additional sc	chedules if more space is
			needed)			
1		-		<b>-</b>		
_						
3		-	SEE PARTNER FOOTNOTES SCHEDULE			
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Supplemental Information						
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					· <del></del>	

NO SCH K-1 SUPPORTING SCHEWILES PARTNER # 108 SURDNA WINDATION,	345 06-1563332 INC
ITEM J, COLUMN(C) - RECONCILIATION OF INCOME	
INCOME (LOSS) FROM SCH. K-1, LINES 1 - 7 LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	26,424. 109,721.
TOTAL INCOME PER SCHEDULE K-1	-83,297.
LESS: EXPENSES RECORDED ON BOOKS, NOT INCLUDED ON SCH. K-1: BOOK-TAX DIFFERENCE IN ORDINARY INCOME ON INVESTMENT IN UNDERLYING PASS THROUGH ENTITIES	90,894.
PLUS: INCOME RECORDED ON BOOKS, NOT INCL. ON SCH. K-1 CHANGE IN UNREALIZED APPRECIATION ON INVESTMENT IN PASS THROUGH ENTITIES	3,601.
PLUS DEDUCTIONS ON SCH. K-1, NOT CHARGED AGAINST BOOKS: AMORTIZATION EXPENSE	6,907.
PLUS: OTHER INCREASES TO PARTNER'S CAPITAL ORGANIZATIONAL ADJUSTMENT	354.
TOTAL INCOME PER ITEM J, COLUMN(C)	-163,329.
LINE 4B - DIVIDENDS	
FROM PASS-THROUGH ENTITIES	149.
TOTAL DIVIDENDS	149.
LINE 4D - NET SHORT-TERM CAPITAL GAIN (LOSS)	
FROM PASS-THROUGH ENTITIES	-311.
TOTAL NET SHORT-TERM CAPITAL GAIN (LOSS)	-311.
LINE 4F - OTHER PORTFOLIO INCOME	
FROM PASS-THROUGH ENTITIES	-1,143.
TOTAL OTHER PORTFOLIO INCOME	-1,143.
LINE 10 ~ OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	
GENERAL AND ADMINISTRATIVE EXPENSES PROFESSIONAL FEES MANAGEMENT FEE AMORTIZATION EXPENSE	1,049. 700. 48,035. 7,238.
CONTINUED ON NEXT PAGE	STATEMENT #1

12 (3/4) 431

NU. 345 06 13 63332 LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME (CONT'D) FROM PASS-THROUGH ENTITIES 51,999. TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME 109,021. LINE 14A - INTEREST EXPENSE ON INVESTMENT DEBTS FROM PASS-THROUGH ENTITIES 700. \_\_\_\_\_ TOTAL INTEREST EXPENSE ON INVESTMENT DEBTS 700. LINE 14B(1) - INVESTMENT INCOME INCLUDED ON LINES 4A, 4B, 4C, AND 4F FROM PARTNERSHIP 22,822. FROM PASS-THROUGH ENTITIES TOTAL INVESTMENT INCOME INCLUDED ON LINES 4A,B,C,F 26,735. 

#### LINE 14B(2) - INVESTMENT EXPENSES INCLUDED ON LINE 10

FROM PARTNERSHIP

FROM PASS-THROUGH ENTITIES

57,022. 51,999.

TOTAL INVESTMENT EXPENSES INCLUDED ON LINE 10

109,021.

\_\_\_\_\_\_

#### PARTNER FOOTNOTES

FOOTNOTE #1 - TAX EXEMPT INVESTORS. THE PARTNERSHIP IS NOT ENGAGED IN A TRADE OR BUSINESS AS DEFINED IN IRC SECTION 513 THE PARTNERSHIP DOES NOT HOLD ANY DEBT-FINANCED PROPERTY AS DEFINED IN IRC SECTION 514 (B). THEREFORE, ABSENT ACQUISITION INDEBTEDNESS TO ACQUIRE YOUR PARTNERSHIP INTEREST, YOUR SHARE OF PARTNERSHIP INCOME AND DEDUCTIONS IS NOT CONSIDERED UNRELATED BUSINESS TAXABLE INCOME.

FOOTNOTE #2: THE PARTNERSHIP HAS FILED A CONNECTICUT PARTNERSHIP INCOME TAX RETURN FOR THE TAX YEAR ENDED JUNE 30, 2001. ALL INCOME AND DEDUCTIONS HAVE BEEN REPORTED AS CONNECTICUT SOURCE. HOWEVER, EACH PARTNER SHOULD CONSULT THEIR TAX ADVISOR REGARDING THEIR OWN RESPECTIVE FILING REQUIREMENTS.

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124(3)

1 25(1)

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12b

13.0

12c

1 2d

13

Credits activities c Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities Credits related to other rental activities

(2) Other than on line 12a(1) for property piscond in service before 1990

(4) Other than on line 12a(3) for property placed in service after 1989

(3) From section 42()(6) partnerships for properly placed in service after 1989.

Qualified renabilitation expenditures related to rental real estate

See page & of Partners Instructions for Schesule K 1 (Form 1055)

Form 9586, line 5

For Paperwork Reduction Act Notice, see Instructions for Form 1065

Schedule K 1 (Form 1065) 2000

Other credits

NO 312

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CONFIC. 24 CONTACT SOUTH INTERNATIONAL	P 4
SCH K-1 SUPPORTING SCHEELES PARTNER # 53 SURDNA UNDATION, IN	06-1605324 NC.
ITEM J, COLUMN(C) - RECONCILIATION OF INCOME	
INCOME (LOSS) FROM SCH K-1, LINES 1 - 7 LESS: DEDUCTIONS FROM SCH. K-1, LINES 8 - 11, 14A, 17F & 18B	52. 2,337.
TOTAL INCOME PER SCHEDULE K-1	-2,285
LESS: EXPENSES RECORDED ON BOOKS, NOT INCLUDED ON SCH. K-1: BOOK-TAX DIFFERENTIAL ON INVESTMENT IN UNDERLYING PASS THROUGH ENTITIES NET CHANGE IN UNREALIZED APPRECIATION ON	79,
INVESTMENT IN UNDERLYING PASS THROUGH ENTITIES	154.
LESS: OTHER DECREASES TO PARTNER'S CAPITAL AMORTIZATION ADJUSTMENT	1,051
TOTAL INCOME PER ITEM J, COLUMN(C)	-3,569.
LINE 10 - OTHER DEDUCTIONS RELATED TO PORTFOLIO INCOME	
GENERAL AND ADMINISTRATIVE PROFESSIONAL FEES MANAGEMENT FEE	10. 102. 2,225.
TOTAL DEDUCTIONS RELATED TO PORTFOLIO INCOME	2,337.

#### PARTNER FOOTNOTES

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FOOTNOTE #1 (TAX EXEMPT INVESTORS): THE PARTNERSHIP IS NOT ENGAGED IN A TRADE OR BUSINESS AS DEFINED IN IRC SECTION 513. THE PARTNERSHIP DOES NOT HOLD ANY DEBT-FINANCED PROPERTY AS DEFINED IN IRC SECTION 514(B). THEREFORE, ABSENT ANY ACQUISITION INDEBTEDNESS TO ACQUIRE YOUR PARTNERSHIP INTEREST, YOUR SHARE OF PARTNERSHIP INCOME AND DEDUCTIONS IS NOT CONSIDERED UNRELATED BUSINESS TAXABLE INCOME.

#### FOOTNOTE #2:

THE PARTNERSHIP HAS FILED A CONNECTICUT PARTNERSHIP INCOME TAX RETURN FOR THE YEAR ENDED JUNE 30, 2001. ALL INCOME AND DEDUCTIONS HAVE BEEN REPORTED AS CONNECTICUT-SOURCED. HOWEVER, EACH PARTNER SHOULD CONSULT THEIR TAX ADVISOR REGARDING THEIR OWN RESPECTIVE FILING REQUIREMENTS.

2,337.

#### Surdna Foundation, Inc. EIN: 13-3108163 Form 990-PF June 30, 2001

Contributi Date	on Description	Asset Cost	Life Method	Dep'n/Dep'l Expense	Accumulated Dep'n/Dep'l	Fair Market Value
	Part II, Line 14, Leaseho	ld Improvemer	nts & Equipm	<u>ent</u>		
6/91	Furn & Fixtures	15,945	5Yrs S/L	0	15,945	0
6/92	Furn & Fixtures	7,762	5Yrs S/L	0	7,762	0
6/93	Furn & Fixtures	2,715	5Yrs S/L	0	2,715	0
6/94	Furn & Fixtures	1,977	5Yrs S/L	0	1,977	0
6/95	Furn & Fixtures	1,234	5Yrs S/L	0	1,234	0
6/96	Furn & Fixtures	51,660	5Yrs S/L	5,166	51,660	0
6/97	Furn & Fixtures	192	5Yrs S/L	38	172	20
6/98	Furn & Fixtures	3,580	5Yrs S/L	716	2,506	1,074
6/00	Furn & Fixtures	45,573	5Yrs S/L	9,117	13,676	31,897
6/01	Furn & Fixtures	1,987	5Yrs S/L	199	199	1,788
6/95	Leasehold Improv	70,634	5Yrs S/L	10,593	70,634	0
6/96	Leasehold Improv	17,453	5Yrs S/L	1,940	10,670	6,783
6/00	Leasehold Improv	180,695	5Yrs S/L	36,140	54,210	126,485
6/01	Leasehold Improv	50,259	5Yrs S/L	5,276	5,276	44,983
6/93	Office Equip		5Yrs S/L	. 0	876	0
6/91	Computer Equipment		5Yrs S/L	0	21,296	Ō
6/92	Computer Equipment	•	5Yrs S/L	0	9,091	0
6/95	Computer Equipment	•	5Yrs S/L	0	90,082	0
6/96	Computer Equipment	•	5Yrs S/L	4,398	43,974	(1)
6/97	Computer Equipment		5Yrs S/L	1,404	6,318	703
6/98	Computer Equipment		5Yrs S/L	7,944	27,804	11,916
6/99	Computer Equipment	•	5Yrs S/L	19,429	48,573	48,572
6/00	Computer Equipment		5Yrs S/L	5,167	7,751	18,084
6/01	Computer Equipment	•	5Yrs S/L	2,533	2,533	22,793
6/95	Art Work	•	5Yrs S/L	0	4,837	0
6/96	Art Work	,	5Yrs S/L	1,660	16,605	Ö
6/97	Art Work	·	5Yrs S/L	70	315	35
6/99	Art Work		5Yrs S/L	150	375	372
Total Part	II, Line 14c	834,570		111,940	519,066	315,504

Total Dep'n & Dep'l to Part I, Line 19a

111,940

Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
1000 Friends of Florida	01 4	\$ -	\$ 1,000	\$ 1,000	\$ -
1000 Friends of New Mexico	00 4	330,000	\$ 1,000	330,000	.j -
1000 Friends of New Mexico	01 4	5,50,000	330,000	330,000	-
52nd Street Project	00 3	40,000	330,000	40,000	-
	00 3	40,000	-	40,000	-
79th Street Corridor Neighborhood	00 4	160 000		160,000	
Initiative, Inc	00 4		-	160,000 100,000	-
Acre Family Day Care		100,000	100.000	•	-
Acre Family Day Care	01 4	-	100,000	100,000	•
Addison Gallery of American Art-	00.4	155.000		155 000	
Phillips Academy	00 4	155 000	-	155 000	-
Alaska Conservation Foundation	00 I	50 000	-	50,000	
Alaska Conservation Foundation	01 3	-	300,000	100,000	200,000
Alliance For Justice	01.1	-	100,000	100,000	-
Alliance of Redding Museums	013	-	50,000	50,000	=
Alternatives for Community &					
Environment	00 1	50,000	-	50,000	-
Alternatives In Action	01 1	-	400,000	200 000	200,000
Alvin Ailey Dance Theater					
Foundation	01 3	-	225,000	75,000	150,000
American Farmland Trust	00 3	200,000	-	100,000	100,000
American Farmland Trust	01 3	-	15,000	15,000	-
American Farmland Trust	01 4	-	1,000	1,000	-
American Forum	01 4	-	50,000	50,000	-
American Friends of the Royal					
Court Theatre	01 3	-	250	250	-
American Museum of Natural					
History	00 1	50,000	-	50,000	-
American Oceans Campaign	01 1	· <u>-</u>	75,000	75,000	_
American Rivers	01 1	_	200,000	100,000	100,000
Americans for Equitable Climate			•	,	,
Solutions	013	_	100,000	100,000	_
Appalachian Mountain Club	01 3	_	150,000	75,000	75,000
Aquinas Housing Corporation	00 4	100,000	-	100,000	-
Aquinas Housing Corporation	01 4	100,000	100,000	100,000	_
Armory Center for the Arts	00 4	170,000	.00,000	85,000	85,000
Artists for Humanity	00 4	150,000	_	75,000	75,000
Arts Teachers Fellowship Program	01 4	-	134,000	102,500	31,500
Aspen Music Festival and School	01 3	_	225,000	75,000	150,000
Association For Neighborhood &	01.5	_	223,000	13,000	130,000
Housing Development, Inc	01 3		50,000	50,000	
Audubon Society of	01.3	-	20,000	20,000	-
New Hampshire	01.4		200,000	100.000	100 000
	01 4	95.000	200,000	100,000	100,000
Ballet Hispanico of New York, Inc	00 4	85,000		85,000	

15(1 of 15)

**Grants** 

Approval	Balance	Authorized	Paid Thru	Balance
				6/30/01
				\$ -
	-			300,000
	_			•
				_
	_			_
				_
				_
014		100,000	100,000	
01.3	_	33,000	33,000	_
01.5		000,00	33 000	•
01.4		300 000	150,000	150,000
	-			000,001
				•
	-			-
014	-	20 000	20,000	•
01.4		10,000	10.000	
014	-	10,000	10,000	-
00.2	75 000		75 000	
	73,000	45,000		-
				100 000
	200.000	130,000	· ·	100,000
00 1	300,000	-	150,000	150,000
01.0		(5,000	(5.000	
01.3	=	65,000	65,000	-
0.0		15.000	15.000	
01 3	-	15,000	15,000	-
		-00.000		
		200,000		100,000
	175,000	-		-
	•			-
	=			-
	-			-
	-			50,000
013	-	15,000	15,000	-
014	-	10,000	10,000	-
013	-	375,000	125,000	250,000
01 4	_	120,000	120,000	_
013	_	10,000	10,000	_
00 3	40,000	-	-	40 000
	,			<del>-</del>
01 1	<u>-</u>	45 000	45,000	
	Year/Qtr 99 4 01 3 01 1 01 4 01 1 01 4 01 3 01 4 01 3 01 4 01 3 01 4 01 3 01 4 01 3 01 4 01 1 01 1 01 1 01 1 01 1 01 1 01 1	Year/Qtr 6/30/00  99 4 \$ 75,000  01 3	Year/Qtr         6/30/00         (Rescinded)           99 4         \$ 75,000         \$ -           01 3         -         375,000           01 1         -         2,000           01 4         1,000         1           01 1         -         80,000           01 1         -         2,075,000           01 2,075,000         -         100,000           01 3         -         330,000           01 4         -         300,000           01 3         -         2,500           01 4         -         20,000           01 4         -         10,000           09 2         75,000         -           01 1         -         150,000           01 1         -         150,000           01 3         -         15,000           01 3         -         200,000           01 4         -         200,000           01 3         -         15,000           01 4         -         200,000           01 3         -         10,000           01 3         -         10,000           01 3         -         10,000	Year/Qtr         6/30/00         (Rescinded)         6/30/01           99 4         \$ 75,000         \$ -         \$ 75,000           01 3         -         375,000         75,000           01 1         -         2,000         2,000           01 4         1,000         1,000         1,000           01 1         -         80,000         80,000           01 1         -         2,075,000         2,075,000           01 4         -         100,000         100,000           01 3         -         33,000         33 000           01 3         -         30,000         150 000           01 3         -         30,000         75,000           01 3         -         2,500         2,500           01 4         -         10,000         10,000           01 4         -         10,000         10,000           01 4         -         10,000         10,000           01 1         -         150,000         65,000           01 1         -         150,000         65,000           01 3         -         15,000         15,000           01 4         -         200,00

15 (2 OF 15)

#### **Grants**

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01	
Cape Cod Commercial Hook	Tean Qu	0/30/00	(Nesembea)	0/20/01	0/30/01	
Fishermen's Association	01 3	\$ -	\$ 15,000	\$ 15,000	\$ -	
Carnegie Foundation For The	0.5	Ψ	Ψ 15,000	Ψ 15,000	Ψ -	
Advancement Of Teaching	01 4	_	75,000	75,000	_	
Catholic Charities-Brooklyn and	01,		75,000	75,000		
Queens	01 3	-	80,000	80,000	_	
Catholic Charities-Brooklyn and	013		00,000	00,000		
Queens	01 4	_	10,000	10,000	_	
Center for a New American Dream	01 4	_	5,000	5 000	_	
Center for Clean Air Policy	01 1	_	75 000	75 000		
Center for Community Self Help	00 4	90 000	-	90 000	_	
Center for Community Self Help	014	-	100,000	100 000	_	
Center for Constitutional Rights	01 4	_	10,000	10,000	_	
Center for Cultural Exchange	01 4	_	70,000	70,000	_	
Center for Effective Philanthropy	01 4	_	100,000	100,000	_	
Center for Marine Conservation	99 4	150,000	-	150,000	_	
Center for Media Education	01 3	.50,000	75,000	75,000	_	
Center for Neighborhood	0.0		75,000	75,000		
Technology - Institute for						
Location Efficiency	00 4	170,000	_	70,000	100,000	
Center for Public Interest Research	01 4		100,000	100,000	100,000	
Center for Resource Solutions	01 1	_	100,000	100,000	_	
Center for Teen Empowerment	01 1	_	175,000	175,000	_	
Center for Victims of Torture	01 4	_	5,000	5,000	_	
Center for Youth as Resources	01 1	_	15,000	15,000	_	
Center of Contemporary Arts	99 4	80,000	15,000	80,000	_	
Center of Contemporary Arts	01 4	-	15,000	15,000	_	
Central Synagogue	01 3	_	1,600	1,600	_	
Certified Forest Products Council	00 4	750,000	1,000	275,000	475,000	
Changemakers	01 4	-	2,500	2,500	475,000	
Chesapeake Bay Foundation	01 4	_	295,000	295,000	_	
Chicago Children's Choir	01 3	_	200,000	80,000	120,000	
Child Care Council of Westchester	00 4	100,000	200,000	100,000	120,000	
Child Care Council of Westchester	01 4	100,000	100,000	100,000	_	
Child Care, Inc	00 4	100 000	100,000	100,000	_	
Child Care, Inc	01 4	100 000	120,000	120,000	•	
Children's Defense Fund	01 3	_	100,000	100,000	•	
Citizen Schools	00 4	200,000	100,000	200,000	-	
Citizens Planning and Housing	00 4	200,000	_	200,000	-	
Association	01 4		15,000	15,000		
City Cares	01 1	•	125 000	125,000	-	
City Year	99 4	125,000	123 000	125,000	-	
City real Citykids Foundation	01 1	123,000	100,000	100,000	-	
City Aids a Outildation	. 011		100,000	100,000		

Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
<del> </del>					
Clark Atlanta University	00 3	\$ 85,000	Ψ		\$ -
Clean Energy Group	01 1	-	100,000	100,000	-
Cleveland Housing Network, Inc	00 1	80,000	275.000	80,000	200.000
Cleveland School of the Arts	01 3	-	375,000	75,000	300,000
Coalition for Utah's Future	01 4	-	200,000	200,000	-
Coastal Conservation Association	01.1		00.000	00.000	
of Florida	01 1		80,000	80,000	=
Coastal Enterprises, Inc	00 4	250,000	-	250 000	-
Collegiate School	014	-	35,000	35,000	-
Colorado Open Lands	013	-	80 000	80 000	-
Colorado Public Interest Research					
Foundation	01 1	-	100 000	100,000	-
Common Ground	01 4	-	80 000	80,000	-
Community Builders	00 4	125,000	-	125,000	-
Community Catalyst	01 3	-	150,000	150,000	-
Community Foundation of Napa					
Valley	014	-	16,500	16,500	-
Community IMPACT <sup>1</sup>	01 1	=	150,000	150,000	-
Community IMPACT <sup>1</sup>	01 3	-	12,000	12,000	-
Community IMPACT!	01 4	-	15,000	15,000	-
Community Law Center	01 4	-	115,000	60,000	55,000
Community Rights Counsel	01 3	-	75,000	75,000	-
Community Training And					
Resource Center	01 1	-	50,000	50,000	-
Compumentor	01 1	-	200,000	200,000	-
Concert Competition and Musical					
Development - Sphinx	01 3	-	60,000	20,000	40,000
Congress for the New Urbanism	01 3	-	15,000	15,000	-
Congress for the New Urbanism	01 4	-	100,000	100,000	-
Connecticut Fund for the					
Environment	014	-	15,000	15,000	-
Conservation Fund	011	-	100,000	100,000	-
Conservation Fund	014	-	1,000	1,000	-
Conservation Law Foundation	013	-	450,000	150,000	300,000
Constitutional Rights Foundation	01 1	-	300,000	150,000	150,000
Consumer's Choice Council	00 4	100,000	-	100,000	
Consumers Union	01 4	· <u>-</u>	100,000	100,000	-
Cooper Union for the Advancement			•	,	
of Science & Art	013	_	150,000	50,000	100,000
Corcoran Gallery of Art	01 4	_	45,000	45,000	
Corporation for Supportive	<b>-</b>		,	,	
Housing	00 1	100,000	-	100,000	-
		100,000			

15 (4 05 15)

Grants

Grantee	Approval				
	Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Council of Better Business			(21000111202)	5.00.00	0.00.0
Bureaus' Foundation	01 3	\$ -	\$ 75,000	\$ 75,000	\$ -
Council on the Environment of			, , , , , , , , , , , , , , , , , , , ,	,	-
New York City	01 3	•	25,000	25,000	_
Creative Capital	01 I	-	100,000	50,000	50,000
Dance Institute of Washington	01 1	_	60,000	30,000	30,000
DataCenter	014	_	90,000	90,000	
Defenders of Wildlife	014	_	125,000	125,000	_
Development Corporation of			,	·	
Columbia Heights	00 4	100 000	=	100 000	_
Development Training Institute	014	-	15 000	15,000	_
Developmental Studies Center	00 1	200,000	-	200,000	
Dorchester Bay Economic					
Development Corp	01 4	-	90,000	90,000	-
Dover Sherborn Regional Schools	01 4	_	15,000	15,000	-
Down East Partnership for Children	00 4	000,000	-	100,000	-
Down East Partnership for Children	014	-	100,000	100,000	-
Duke University-Nicholas School					
for the Environment	01 4	-	25,000	25,000	_
East Bay Asian Youth Center -					
Kids First!	01 4	-	75,000	75,000	-
East Bay Center for the Performing					
Arts	00 3	75,000	-	75,000	-
East Bay Community Foundation	01 4	-	200,000	100,000	100,000
East Bay Conservation Corps	01 1	-	125,000	125,000	_
East Harlem School at Exodus					
House	01 4	-	10,000	10,000	-
Eastman School of Music	01 3	-	100,000	50,000	50,000
Educational Video Center	01 4	-	75,000	75,000	-
Educators for Social Responsibility	01 3	-	150,000	75,000	75,000
Egrants org	01 4	-	100,000	100,000	-
Elaine Kaufman Cultural Center	01 4	-	50,000	25,000	25,000
Ella Baker Center For Human					
Rights	01 1	_	100,000	100,000	-
Enterprise Child Care	00 4	100,000	_	100,000	-
Enterprise Child Care	01 4	-	100,000	100,000	-
Enterprise Foundation	01 1	-	75,000	75,000	-
Enterprise Foundation (NCDI)	97 4	250,000	-	250,000	-
Enterprise Foundation (NCDI)	01 I	-	1,000,000	-	1,000,000
Environmental Defense	00 4	250,000	-	250,000	-
Environmental Law & Policy					
Center	01 1	-	15,000	15,000	-
Environmental Law Institute	011	_	15,000	15,000	-

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Grants

	Approval	Balance	Authorized	Paid Thru	Balance
Grantee	Year/Qtr	6/30/00	(Rescinded)	6/30/01	6/30/01
Environmental Leadership Program	01 3	\$ -	\$ 110,000	\$ 110,000	\$ -
Environmental Media Services	01 3	-	15,000	15,000	-
Environmental Support Center	01 1	-	150,000	150,000	-
Esperanza Center	01 3	•	50,000	50,000	_
Essential Information, Inc	01.1	-	30,000	30,000	-
Ethical Culture Fieldston School	013	-	60,000	20,000	40,000
Family Services Woodfield	00 4	90,000	-	90,000	-
Fenway Community Development					
Corporation	01 1	-	80,000	80 000	-
Fifth Avenue Committee, Inc	014	-	5 000	5 000	-
Florida State University - Center					
for Aquatic Research and					
Resource Management	01 4	-	2,500	2,500	-
Forest Stewardship Council - U S	00 3	530,000	-	530,000	_
Forest Trends	00 4	325,000	-	-	325 000
Forest Trust	00 1	100,000	-	100,000	-
Foundation Center	011	-	20,000	20,000	_
Friends of Acadia	013	-	5,000	5,000	-
Fund for Independent Publishing -					
The New Press	00 3	75 000	-	75,000	-
Fund for the City of New York	014	-	100,000	100,000	-
Funders' Collaborative On Youth					
Organizing	1 10	-	400,000	200,000	200,000
Funders' Network for Smart			•		
Growth and Livable					
Communities	01 1	-	30,000	30,000	-
Gay, Lesbian & Straight Education					
Network	01 3	-	200,000	100,000	100,000
Gay, Lesbian & Straight Education					·
Network	01 4	-	75,000	75,000	-
Genesis Jobs, Inc	01 4	-	60,000	30,000	30,000
George D Aiken Resource and					
Conservation Development					
Council	01 4	_	5,000	5,000	-
Global Action Project, Inc. (GAP)	01 1	_	100,000	50,000	50,000
Global Forest Foundation	01 1	-	850,000	300,000	550,000
Governor's School for the Arts	01 4	_	80,000	40,000	40,000
Graduate School & University			ŕ	·	•
Center/CUNY	01 3	-	100,000	100,000	=
Grand Canyon Trust	01 3	-	15,000	15,000	_
Grantmaker Forum on Community			•		
& National Service	01 3	_	75,000	75,000	_
Great American Station Foundation	01 1	-	200,000	100,000	100,000

Grants

Greater Hartford Arts Council 00 Greater Minneapolis Council of Churches - Division of Indian	r/Qtr 3	6/30/00	(Rescinded)	C 12 A 1A 1	
Greater Minneapolis Council of	3		. (****************************	6/30/01	6/30/01
•		\$ 100,000	\$ -	\$ 50,000	\$ 50,000
Churches - Division of Indian					
Work 01	4	-	5,000	5,000	-
Greater Philadelphia Urban Affairs					
Coalition - Mural Arts Program 99	4	80,000	-	80,000	-
Guadalupe Cultural Arts Center 01	4	-	50,000	50,000	-
Guthrie Theater 00	-	80,000	-	80,000	-
H T Dance Company 01:	3	-	55,000	25 000	30 000
Habith for Humanity - New York					
City 01	i	-	60 000	60,000	-
Hartford Neighborhood					
Development Support					
Collaborative 01	1	-	100,000	50,000	50,000
Haystack Mountain School of					
Crafts 01		-	120,000	40,000	000,08
Headlands Center for the Arts 99	4	50,000	-	50,000	-
Health Education Resource					
Organization 01		-	3,000	3,000	-
Highbridge Unity Center 01	4	-	30,000	30,000	-
Highlander Research And					
Education Center 01	4	-	100,000	100,000	-
Historic District Development					
Corporation 01		-	80,000	80,000	-
Hope Community 01		-	200 000	100,000	100,000
Housing Partnership Network 01.3		-	150,000	75,000	75,000
ICA Group 00		60,000	-	60,000	-
Illinois Facilities Fund 01.3		-	75,000	75,000	-
ImpactOnline 01 4		-	600,000	300,000	300,000
Independent Media Institute 01 4		-	75,000	75,000	-
Independent Sector 00 3		125,000	-	125,000	-
Independent Sector 01 3		-	50,000	50,000	-
Independent Sector 01 4		-	15,000	15,000	-
Indian River Land Trust 01 3	3	-	25,000	25,000	-
Institute for Agriculture & Trade					
Policy 00 3	3	100,000	-	000,001	-
Institute for Education and					
Advocacy 014		-	5,000	5,000	-
Institute of Contemporary Art 013	3	-	75,000	75,000	-
International Center of					
Photography 01 3	3	-	225,000	75,000	150,000
International Game Fish					
Association 01.2	2		5,000	5,000	

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Grants

	Approval	Balance	Authorized	Paid Thru	Balance
Grantee	Year/Qtr	6/30/00	(Rescinded)	6/30/01	6/30/01
Island Institute	01.3	\$ -	\$ 75,000	\$ 75,000	\$ -
Island Institute	01 4	•	15,000	15,000	•
Isles	01 4	-	200,000	100,000	100,000
Jacob's Pillow Dance Festival and			,		
School	01 4	-	85,000	85,000	-
Jamaica Plain Neighborhood			,	,	
Development Corp	00 4	80,000	=	80,000	_
Jamaica Plain Neighborhood					
Development Corp	01.4	•	80,000	80 000	_
Jane Addams Resource			•		
Corporation	01.3	=	90,000	90,000	-
Jane Goodall Institute	014	-	75,000	75,000	_
Jazz at Lincoln Center	00 1	200,000	-	100,000	100,000
Jewish Family and Children's					
Services	01 1	-	80,000	80,000	_
Jones Harrison Home	01 2	-	5,000	5,000	_
Julliard School	99 1	75,000	-	75,000	-
Julia Dyckman Andrus Memorial	01 1	_	500,000	500,000	-
Julia Dyckman Andrus Memorial	01 3	-	2,500	2,500	_
Julia Dyckman Andrus Memorial	01 3	-	320,000	160,000	160,000
Julia Dyckman Andrus Memorial	01 4	-	15,000	15,000	-
Juma Ventures	01 1	-	160,000	160,000	_
Kinhaven Music School	99 2	25,000	-	25,000	-
Labor/Community Strategy Center	00 3	300,000	-	150,000	150,000
LaGuardia High School of Music					
and Arts	01 3	-	375,000	75,000	300,000
Land and Water Fund	01 3	•	15,000	15,000	-
Land Trust Alliance	01 3	-	200,000	100,000	100,000
Lawyers Committee for Human					
Rights	01 4	-	77,000	77,000	_
Lead Partnership Group	1 10	-	150,000	75,000	75,000
League of Conservation Voters					
Education Fund	00 3	100,000	-	100,000	_
Leukemia & Lymphoma Society	01 3	-	250	250	-
Levine School of Music	01 4	-	50,000	50,000	_
Listen, Inc	01 1	-	100,000	100,000	_
Little Tokyo Service Center CDC	00 4	100,000	-	100,000	_
Little Tokyo Service Center CDC	01 4	-	110,000	110,000	_
Lobster Conservatory	013	-	1,000	1,000	-
Local Initiatives Support Corp -					
CEO's For Cities	01 1	-	50,000	50,000	

Grants

Grantee	Approval Year/Qtr		alance /30/00	Authorized (Rescinded)		nd Thru /30/01		alance /30/01
Local Initiatives Support				(110001IIIdod)				7.50701
Corporation - New Communities								
Initiative	00 1	\$	90,000	\$ -	\$	90,000	\$	_
Local Initiatives Support		,		•	-	,	•	
Corporation (NCDI)	97 4		250,000	-		250,000		_
Local Initiatives Support			,			,		
Corporation (NCDI)	01.1		_	000,000,1		_	1	,000,000
Los Angeles County High School				, , , ,				, ,
For The Arts	013		_	375,000		75,000		300,000
Maclay School	014		_	2,500		2,500		-
Manhattan School of Music	00 1		75 000	· -		75 000		
Marine Fish Conservation Network	01.1		-	135,000		135,000		-
Marwen Foundation	01 1		-	180,000		50,000		130,000
Maryland Association of Nonprofit								,
Organizations	014		_	70,000		70,000		_
Maryland Institute College of Art	014		_	150,000		75,000		75,000
Massachusetts Association of								
Community Development Corp	01 1		-	120,000		120,000		_
MBD Community Housing								
Corporation	00 4		75,000	_		75,000		_
McAuley Institute	00 4		125,000	_		125,000		-
Miami City Ballet	00 3		50 000	_		50,000		_
Miami-Dade Community College								
Foundation	013		-	375,000		75,000		300,000
Minneapolis Institute of Arts	012		-	10,000		10,000		-
Minnesota Landscape Arboretum								
Foundation	01 3		-	100,000		100,000		-
Minnesota Opera	013		-	2,500		2,500		-
Minnesota Project	01 1		-	260,000		130,000		130,000
Montshire Museum of Science	01 4		-	5,000		5,000		-
Mountain Association For								
Community Economic								
Development	014		-	110,000		110,000		-
Music Institute of Chicago	01 4		-	60,000		30,000		30,000
National Center for Family								
Philanthropy	01 3		-	75,000		75,000		-
National Center for Victims of								
Crime	01.1		-	250		250		-
National Center on Philanthropy								
and the Law	00 3		75,000	-		75,000		-
National Civic League	01 3		-	15,000		15,000		-
National Committee for								
Responsive Philanthropy	01.1		<u>-</u>	75,000		75,000		

Grants

Country	Approval	Balance	Authorized	Paid Thru	Balance
Grantee	Year/Qtr	6/30/00	(Rescinded)	6/30/01	6/30/01
National Council for Science and	0.1.0	•	A (0.000	* (0.000	
the Environment	013	\$ -	\$ 60,000	\$ 60,000	\$ -
National Council of Nonprofit					
Associations	01 1	-	75,000	75,000	-
National Dance Institute of					
New Mexico	014	-	50,000	25,000	25,000
National Helpers Network, Inc	01 3	-	15,000	15,000	-
National Housing Development					
Corporation	013	-	160,000	80,000	80,000
National Housing Law Project	01 1	-	50,000	50,000	-
National Low Income Housing					
Coalition	014	-	75,000	75,000	-
National Museum of Wildlife Art	014	-	1,000	1,000	_
National Neighborhood Coalition	014	-	15,000	15,000	-
National Network of Forest				,	
Practitioners	013	_	40,000	40,000	_
National Organization for Victim			10,000	.5,555	
Assistance	014	<u>-</u>	110,000	55,000	55,000
National Technology Enterprise	<b>.</b> .		110,000	55,000	33,000
Network	01 1	_	100,000	100,000	_
National Youth Leadership Council	01 3	_	15,000	15,000	_
Natural Heritage Institute	014	_	75,000	75,000	<u>-</u>
Natural Resources Council of	014	_	75,000	75,000	-
Maine	00 4	50,000		50,000	
Natural Resources Defense Council	00 1	125,000	-	125,000	-
	01 4	123,000	200.000		200.000
Natural Resources Defense Council	01 4	-	300,000	100,000	200,000
Nature Conservancy - Minnesota	01.2		5 000	5 000	
Chapter	01 2	-	5,000	5,000	-
Neighborhood Funders Group	01 1	-	15,000	15,000	-
Neighborhood Funders Group	014	-	15,000	15,000	-
Neighborhood Partnership Fund	01 4	-	200,000	100,000	100,000
New England Aquarium	013	-	50,000	25,000	25,000
New England Conservatory of					
Music	00 1	75,000	-	75,000	-
New Jersey Future	01 4	-	150,000	150,000	-
New Prospect Development					
Corporation	01 4	-	100,000	50,000	50,000
New Song Urban Ministries	01 4	-	200,000	100,000	100,000
New World Symphony	00 1	100,000	-	50,000	50,000
New York Cares	01 4	-	75,000	75,000	· -
New York University	01 3	_	15,000	15,000	_
New York University - Institute for			•••	•	
Education and Social Policy	014	-	15,000	15,000	_
			- 1,		

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Grants

	Approval	Balance	Authorized	Paid Thru	Balance
Grantee	Year/Qtr _	6/30/00	(Rescinded)	6/30/01	6/30/01
New York Urban League	014	\$ -	\$ 15,000	\$ 15,000	\$ -
New York Youth Symphony	00 4	120,000	-	60,000	60,000
Noise Poliution Clearinghouse	01 4	-	15,000	15,000	-
North Carolina Center for					
Nonprofits	01 3	-	75,000	75,000	-
North Carolina School of the Arts	01.3	-	375,000	125,000	250,000
Northeast Community					
Development Corporation	00 4	50,000	(50,000)	-	_
Northern Stage	013	-	5,000	5,000	-
Northwest Atlantic Marine					
Alliance	۶ 00	45,000	-	45 000	_
Northwest Side Community					
Development Corporation	00 3	120,000	-	120,000	_
NPower	01 i	-	100,000	100,000	-
NPowerNY	01 3	-	100,000	100,000	-
OMB Watch/Focus Project, Inc	01.3	-	15,000	15,000	-
Organizing Project	01.3	-	60,000	60,000	_
Pace University Land Use Law					
Center	01 4	-	200,000	100,000	100,000
Pace University School of Law	01 4	-	50,000	50,000	-
Pacific Forest Trust	00 4	4,000,000	_	3,000,000	1,000,000
Pacific News Service-Bay Area					
Institute	01 1	-	250,000	125,000	125,000
Pacific Rivers Council	01 1	-	100,000	100,000	_
Park Slope Christian Help	01 3	-	250	250	-
Partners for Democratic Change	01 3	-	75,000	75,000	-
Partnership for the Homeless	01 4	-	40,000	40,000	-
Partnership Project	01 1	-	50,000	50,000	-
Peace Games	01 3	-	15,000	15,000	-
People for Open Space	01 4	-	15,000	15,000	-
People's Light and Theatre					
Company	00 3	170,000	-	85,000	85,000
Perry School Community Services					
Center, Inc	01.1	_	85,000	85,000	-
PFLAG - DC National Office	01 1	_	1,000	1,000	-
PFLAG - DC National Office	01 4	_	1,000	1,000	-
Philadelphia Youth Network Inc	01 3	_	125,000	125,000	-
Pinchot Institute for Conservation	01 3	_	250,000	250,000	-
Pittsburgh Ballet Theatre	01 4	-	150,000	75,000	75,000
Planned Parenthood of Minnesota	014	-	5,000	5,000	-
Planned Parenthood of Northern					
New England	01 3	-	5,000	5,000	-

Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Planning and Conservation League	rearga	0/30/00	(Resemeet)	0/30/01	0/30/01
Foundation	01 1	\$ -	\$ 50,000	\$ 50,000	\$ -
Plymouth Congregational Church	01 3	Φ -	5,000	5,000	•
Pratt Area Community Council	01 1	_	75,000	75,000	_
President's Committee on the Arts	01 1	-	73,000	75,000	-
and the Humanities	01.4	_	15,000	15,000	_
Princeion Project 55	014	_	50 000	50,000	_
Project Row Houses	99 4	80,000	30 000	80,000	_
Project Row Houses	01 4	-	85,000	85,000	_
Project Now Houses	99 2	25,000	65,000	25,000	_
Public Allies	01 1	25,000	125 000	125 000	_
Public Citizen Foundation	013	_	50 000	50,000	_
Public Radio Capitol	01 4	_	200,000	200,000	
Public/Private Ventures	01 3	_	100,000	100,000	_
Public/Private Ventures	013	_	20,000	20,000	_
Public/Private Ventures	014	_	125,000	125,000	_
Rainforest Alliance	01 3	_	500,000	250,000	250,000
Rebuild Resources, Inc	01 2	_	5,000	5,000	230,000
Redefining Progress	01 1	_	150,000	150,000	_
Reinvestment Fund	00 3	50,000	150,000	50,000	_
Renewable Energy Policy Project	01 1	50,000	50,000	50,000	_
Resource Renewal Institute	01 I	_	50,000	50,000	_
Resources For Child Caring	01 3	_	100,000	100,000	_
Responsive Community	01 3	_	15,000	15,000	_
ROCA Inc	01 1	_	250,000	125,000	125,000
Rock School of Pennsylvania	0.1		250,000	125,000	125,000
Ballet	014	_	50,000	50,000	_
Rockefeller Family Fund -	0		50,000	50,000	
Environmental Grantmakers					
Assoc	01 1	_	5,000	5,000	_
Rockefeller Family Fund -	011	_	5,000	5,000	_
Environmental Grantmakers					
Assoc	01 4	_	5,000	5,000	_
Rockefeller Family Fund -	014	_	5,000	5,000	_
TechRocks	014	_	10,000	10,000	_
Rollins College	01 3	_	250,000	100,000	150,000
Rollins College/Rollins Fund	01 4	_	5,000	5,000	130,000
ROSE Community Development	013		50,000	50,000	_
Rubicon Programs, Inc	01 1	-	140,000	140,000	<u>-</u>
Saint Edward's School	01 3	_	5,000	5,000	-
Saint Loward's School Saint Joseph Ballet	01 3	-	110,000	55,000	55,000
Saint Stephens Episcopal Church	01 2	-	5,000	5,000	23,000
outh Stephens Episcopai Charell	OLE		3,000		

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Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
San Francisco Community Music					···
Center	01.1	\$ -	\$ 75,000	\$ 25,000	\$ 50,000
San Francisco Foundation		·	, -,		, ,,,,,,,
Community Initiative Funds	01 4	-	15,000	15,000	-
Save the Children/Youth Noise	01 3	_	150,000	150,000	-
Save the Children/Youth Noise	01.3	_	150 000	150 000	_
Scenic America	01.1	_	85,000	85,000	_
Scenic America	01.3	_	5,000	5,000	_
Scholarship Fund - American	0.0		5,000	3,000	
University	01 1	_	22,000	22,000	_
Seattle Foundation - Social Venture	•••		22,000	22,000	
Partners	01.4	_	100 000	50,000	50,000
Sherwood Conservatory of Music	01 1	_	30,000	30,000	30,000
Sierra Business Council	01.3	_	300,000	100,000	200,000
Society for Art Publications	00 4	40,000	500,000	40,000	200,000
Somerville Community	00 4	40,000		40,000	
Corporation	01-1	_	120,000	60,000	60,000
South Carolina Coastal	0.1		120,000	00,000	00,000
Conservation League	01.1	_	50,000	50 000	
Southern Appalachian Forest	011		50,000	50 000	_
Coalition	01 1	_	100,000	000,001	
Southern Environmental Law	011	_	100,000	100,000	-
Center	01 3	_	250,000	125,000	125,000
Southern Environmental Law	01.5	-	250,000	125,000	123,000
Center	014	_	15,000	15,000	
St Joseph Hope Community	01 2	_	10,000	10,000	-
St Mark the Evangelist School	01 4	_	5,000	5,000	-
St Mary's PTO	01 4	-	2,000	2,000	-
St Paul's Episcopal Church	01 2	-	5,000	5,000	-
Stanford Jazz Workshop	01 2	•			-
Stanford University School of	014	-	35,000	35,000	-
Education	01 3		146 000	145 000	
Street Level Youth Media	01 3	•	145,000	145,000	-
	01.3	-	50,000	50,000	-
STRIVE-East Harlem Employment Service Inc	00.4	00.000		00.000	
	00 4	90,000	-	90,000	-
Surface Transportation Policy	01.2		150,000	150 000	
Project P. I.	01 3	-	150,000	150,000	-
Surface Transportation Policy	01.4		100.000	100.000	
Project	01 4	-	100,000	100,000	-
Sustainability Institute	01 1	-	100 000	100,000	-
Sustainable Northwest	01 4	-	100,000	100,000	-
Tall Timbers Research, Inc	01 4	-	2,500	2,500	•
Taxpayers for Common Sense	01 I		100,000	100,000	

Grants

Grantee	Approval Year/Qtr	Balance 6/30/00	Authorized (Rescinded)	Paid Thru 6/30/01	Balance 6/30/01
Tellus Institute	01 3	\$ -	\$ 15,000	\$ 15,000	\$ -
Temple University - Tyler School			,		
of Art	00 I	55,000	_	55,000	_
Tennessee Valley Energy				,	
Education Foundation	01.3	=	40,000	40,000	_
Texas Citizen Fund	014	•	100,000	50,000	50,000
The Door	014	-	150 000	90,000	60,000
The Natural Step	013	-	675,000	225,000	450 000
The Point	013	-	85,000	85,000	
The Winsor School	014	_	2 000	2,000	-
Tides Center Green Media				•	
Toolshed	013	<u>-</u>	100 000	000,001	
Tides Center - International Center				,	
for Transitional Justice	01.3	_	100,000	100,000	_
Tides Center - National 4-H			, .	•	
Council	00 4	100 000		000,001	_
Tides Center - Youth United For					
Community Action	00 3	75,000	-	75,000	_
Time Dollar Institute	014	•	100,000	100,000	_
Trevor Day School	01 3	-	400	400	_
Trust for Public Land	99 2	150,000	-	150,000	-
Tufts University - Global				·	
Development and Environment					
Inst	011	-	30,000	30,000	_
Tufts University - Lincoln Filene			,,,,,	• • •	
Center for Citizenship & Public					
Affairs	1 00	50,000	-	50,000	_
United Neighborhood Houses of				,	
New York, Inc	00 4	100,000		100,000	_
United Neighborhood Houses of				,	
New York, Inc	014	-	100,000	100,000	_
United Way of Minneapolis Area	01 2	-	10,000	10,000	_
University of California, San Diego			- 0,000	20,000	
- Scripps Institution	00 3	40,000	_	40,000	_
University of Chicago - Chapin				,	
Hall Center for Children	01 1	_	75,000	75,000	_
University of Illinois - C A S E L	00 1	200,000	75,000	200,000	_
University of Minnesota - Center		200,000		200,000	
for Democracy & Citizenship	01 1		100 000	100,000	_
University of North Carolina-	<b></b>		100 000	. 50,000	
Greensboro - Elizabeth Langford					
Davenport Scholarship Fund	013	-	2,500	2,500	_
			2,500	2,500	

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Grants

	Approval	Balance	Authorized	Paid Thru	Balance
Grantee	Year/Qtr	6/30/00	(Rescinded)	6/30/01	6/30/01
University of Pennsylvania - Center					
for Greater Philadelphia	01 4	\$ -	\$ 15,000	\$ 15,000	\$ -
University of Washington - Public	0.0				
Policy Mgmt	01 3	-	14,500	14,500	-
Upper Valley Land Trust	01 1	-	5,000	5,000	-
Urban Ecology/Bay Aren Transportation and Land Use					
Coalition	1 00	100,000	-	100,000	-
Urban Edge Housing Corporation	00 1	100,000	-	100 000	-
Urban Institute	01 3	-	70,000	70,000	-
Urban Retrievers - Philadelphia					
Student Union	011		15 000	15 000	-
Urban Retrievers - Philadelphia					
Student Union	01 3	-	75,000	75,000	-
Urban Strategies	014	-	75 000	75,000	-
Urban Ventures Leadership					
Foundation	014	-	65,000	65 000	-
Vanderbilt University	01 4	-	2,500	2,500	•
Venture Philanthropy Partners	014	-	500,000	500,000	-
Walker Art Center	00 3	85,000	-	85,000	-
Walker Art Center	01 3	-	15,000	15,000	-
We Interrupt This Message	013	-	75,000	75,000	-
Welfare to Work Partnership	013	-	100,000	100,000	-
Wesleyan University	012	-	5,000	5,000	-
Wesleyan University	01 3	-	15,000	15,000	-
Western Environmental Law					
Center	01 1	-	100,000	50,000	50,000
Westminster Presbyterian Church	014	-	10,000	10,000	-
WFSU-FM/FSU Foundation	014	-	1,000	1,000	-
Wilderness Society	01 1	-	200,000	100,000	100,000
Women's Community					
Revitalization Project	01 1	-	80,000	80,000	-
Women's Housing And Economic					
Development Corporation	01.1	-	15,000	15,000	-
World Wildlife Fund	01 1	_	250,000	125,000	125,000
Yerba Buena Center for the Arts	01 1	-	50,000	50,000	· -
YMCA of Albuquerque	014	-	15,000	15,000	-
Youth Empowerment Center	01 1	_	75,000	75,000	-
Youth Law Center	01 4	-	185,000	90,000	95,000
Youth Radio	01 4	-	200,000	100,000	100,000
Youth Service California	013	•	15,000	15,000	=,====
Youth United For Change	01 3	-	75,000	75,000	-
Zaccho Dance Theatre	01 3	_	20,000	20,000	_
		\$15,415,000	\$39,187,716	\$39,531,216	\$15,071,500
		425,120,000	70,720,7120		720,012,000

15 (15 of 15)

#### Surdna Foundation, Inc. EIN: 13-6108163 Form 990-PF June 30, 2001

#### <u>Investments</u>

		End of Year
Investments - Part II	Reference	Book Value/ Market Value
Savings and Temporary Cash Inves	stments:	
Total Line 2		1,104,466
Government Obligations:		
Total Line 10a	Sch 17	41,759,010
Corporate Stock:		
Money Market Funds	Sch 17	43,334,673
Common Stock	Sch 17	314,113,425
Preferred Stock	Sch 17	2,458,093
Total Line 10b		359,906,191
Corporate Bonds: Total Line 10c	Cob 47	140 071 004
Total Line Toc	Sch 17	146,071,094
Investments Other		
Investments - Other: Total Line 13	Sch 17	88,461,435
Total Investments Lines 10 & 13		636,197,730

June 30, 2001		
Face value or	<del></del>	
shares	Description	Market value
	Money Market Funds:	
<u>43,334,673                                    </u>	Diversified Asset Portfolio	\$ 43,334,673
	Common Stocks:	
4,730	1ST UN CORP COM	165,266
9,200	1ST YRS INC COM	103,040
2,793	5TH 3RD BANCORP COM	167,720
10,750	AAR CORP COM	183,825
7,400	ABBOTT LAB COM	355,274
2,600	ABM INDS INC COM	96,850
7,600	ACTEL CORP COM	186,580
4,000	ADC TELECOMMUNICATIONS INC COM	26,400
1,100	ADOBE SYS INC COM	51,700
2,728	ADR UNILEVER N V NY SHS NEW	162,507
1,700	ADVANCED MICRO DEVICES INC COM	49,096
2,600	AES CORP COM	111,930
22,500	AETNA INC	582,075
4,800	AFC ENTERPRISES INC COM	91,920
2,500	AFLAC INC COM	78,725
2,235	AGILENT TECHNOLOGIES INC COM	72,638
1,100	AIR PROD & CHEM INC COM	50,325
6,500	ALBERTO CULVER CO CL A	230,100
300	ALBERTO-CULVER CO CL B COM	12,612
1,897	ALBERTSON S INC COM	56,891
1,500	ALCAN INC	63,030
4,148	ALCOA INC COM STK	163,431
600	ALLEGHENY ENERGY INC COM	28,950
400	ALLEGY TECHNOLOGIES INC COM	7,236
600	ALLERGAN INC COM	51,300
3,150	ALLIANT TECHSYSTEMS INC COM	283,185
1,000	ALLIED WASTE INDS INC COM	18,680
3,500	ALLSTATE CORP COM	153,965
1,500	ALLTEL CORP COM	91,890
7,500	ALREF COM STK	268,125
1,900	ALTERA CORP COM	55,100
500	AMBAC FNCL GROUP COM STK	29,100
400	AMER ARCH CO INC COM	1
1,520	AMER ELEC PWR CO INC COM	2,060,105
6,400	AMER EXPRESS CO COM	248,320
2,400	AMER GEN CORP COM	111,480
300	AMER GREETINGS CORP CL A COM	3,300
6,300	AMER HOME PROD CORP COM	368,172
11,162	AMER INTL GROUP INC COM	959,932
15,500	AMERADA HESS CORP COM	1,252,400
700	AMEREN CORP COM	29,890
1,000	AMERN PWR CONVERSION CORP COM	15,750

ce value or		· · · · · · · · · · · · · · · · · · ·
shares	Description	Market value
	Common Stocks (continued).	·
5,000	AMGEN INC COM	\$ 303,400
700	AMR CORP COM	25,291
1 800	AMSOUTH BANCORPORATION COM	33,282
1,237	ANADARKO PETRO CORP COM	66,835
1,700	ANALOG DEVICES INC COM	73,525
5,100	ANALYSTS INTL CORP COM	22,746
400	ANDREW CORP COM	7,380
4,300	ANHEUSER BUSCH COS INC COM	177,160
21,300	AOL TIME WARNER INC COM	1,128,900
1 300	AON CORP COM	45,500
600	APACHE CORP COM	30,450
1,700	APPLE COMPUTER INC COM	39,525
1,000	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	26,750
3,900	APPLIED MATERIALS INC COM	191,490
1,500	APPLIED MICRO CIRCUITS CORP CDT-COM	25,800
14,500	APTARGROUP INC COM	470,235
3,075	ARCHER-DANIELS-MIDLAND CO COM	39,975
18,600	ARROW ELECTR INC COM	451,794
300	ASHLAND INC COM	12,030
16,585	AT & T CORP COM STK	364,870
3,000	AUTO DATA PROCESSING INC COM	149,100
300	AUTODESK INC COM	11,190
500	AUTOZONE INC COM	18,750
1,442	AVAYA INC COM	19,755
500	AVERY DENNISON CORP COM	25,525
6,500	AVIALL INC NEW COM	71,305
23,600	AVNET INC COM	529,112
1,100	AVON PROD INC COM	50,908
1,580	BAKER HUGHES INC COM	52,930
100	BALL CORP COM	4,756
3,500	BANK N Y CO INC COM	168,000
47,800	BANK ONE CORP COM	1,711,240
10,500	BANKNORTH GROUP INC NEW COM	237,825
200	BARD C R INC CAP	11,390
2,000	BARRICK GOLD CORP	30,300
300	BAUSCH & LOMB INC COM	10,872
2,800	BAXTER INTL INC COM	137,200
2,000	BB&T CORP COM	73,400
477	BEAR STEARNS COS INC COM	28,129
1 200	BECTON DICKINSON & CO COM	42,948
1,400	BED BATH BEYOND INC COM	43,680
9,000	BELLSOUTH CORP COM STK	362,430
300	BEMIS CO INC COM	12,051
2,100	BENCHMARK ELECTRS INC COM	51,156

Face value or			
shares	Description	Market value	
	Common Stocks (continued)		
5,000	BERKLEY W R CORP COM	\$ 207,100	
1,000	BEST BUY INC COM STK	63,520	
600	BIG LOTS INC COM	8,208	
700	BIOGEN INC COM	38,052	
900	BIOMET INC COM	43,254	
47,532	BK AMER CORP COM	2,853,346	
400	BLACK & DECKER CORP COM	15,784	
3 500	BLACK BOX CORP DEL COM	235,760	
400	BLOCK H & R INC COM	25,820	
1,200	BMC SOFTWARE INC COM STK	27,048	
4,200	BOEING CO CAP	233,520	
300	BOISE CASCADE CORP COM	10,551	
5,400	BRADY CORP	195,102	
9,300	BRISTOL MYERS SQUIBB CO COM	486,390	
1,300	BROADCOM CORP CL A	55,588	
1,400	BROADVISION INC COM	7,000	
4.500	BROWN & BROWN INC COM	188,955	
300	BROWN-FORMAN INC CL B NON-VTG COM	19,182	
400	BRUNSWICK CORP COM	9,612	
1.900	BSTN SCIENTIFIC CORP COM	32,300	
24,000	BURL NORTHN SANTA FE CORP COM	724,080	
1,000	BURL RES INC COM	39,950	
1,000	CABLETRON SYS INC	22,850	
2,500	CACI INTL INC CL A	117,500	
1,400	CALPINE CORP COM	52,920	
2,000	CAMPBELL SOUP CO COM	51,500	
1,000	CAP I FNCL COM	60,000	
2,175	CARDINAL HLTH INC	150,075	
2,782	CARNIVAL CORP COM	85,407	
1,600	CATERPILLAR INC COM	80,080	
5,400	CEC ENTMT INC COM	266,490	
4,100	CENDANT CORP COM STK	79,950	
300	CENTEX CORP COM	12,225	
650	CENTURYTEL INC COM	19,695	
11,700	CH & DWIGHT INC COM	297,765	
955	CHARTER 1 FINCL INC COM	30,465	
3,100	CHEVRON CORP COM	280,550	
900	CHIRON CORP COM	45,900	
9,500	CHUBB CORP COM	735,585	
13,300	CIBER INC COM	126,350	
16,700	CIGNA CORP COM	1,600,194	
800	CIN FNCL CORP COM	31,600	
800	CINERGY CORP COM	27,960	
800	CINTAS CORP COM	37,000	

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Face value or	December	Market value
shares	Description	Market value
1 100	Common Stocks (continued). CIRCUIT CY STORES INC COM	\$ 19,800
1,100	CISCO SYS INC COM	638,820
35,100	CITIGROUP INC COM	1,275,346
24,136		16,842
1,400	CITIZENS COMMUNICATIONS CO COM CITRIX SYS INC COM	31,410
900	CLAIRES STORES INC	189,728
9,800	CLEAR CHANNEL COMMUNICATIONS INC COM	175,560
2,800		37,235
1,100	CLOROX CO COM	16,710
600	CMS ENERGY CORP COM	535,500
11,900	COCA COLA CO COM COCA COLA ENTERPRISES INC COM	32,700
2,000		159,095
4,700	COGNEX CORP COM	159,273
2,700	COLGATE-PALMOLIVE CO COM	115,040
8,000	COLONIAL BANCGROUP INC COM COMCAST CORP CL A SPL	195,300
4,500	COMERICA INC COM	51,840
900	COMPAQ COMPUTER CORP COM	126,073
8,139	COMPUTER ASSOC INTL INC COM	100,800
2,800 90	COMPUTER ASSOCIATE INC. COM  COMPUTER LEARNING CTRS INC. COM	1
800	COMPUTER SCI CORP COM	27,680
1,900	COMPUTER SCI CORI COM COMPUWARE CORP COM	26,581
800	COMPOWARE CORE COM COMVERSE TECH INC	45,680
86,400	CONAGRA FOODS INC	1,711,584
1 200	CONCORD EFS INC COM	62,412
1,200	CONEXANT SYS INC COM	10,740
23,200	CONOCO INC CL A COM STK	654,240
3,016	CONOCO INC CL B COM STK	87,162
22,300	CONS EDISON INC COM	887,540
1,716	CONSECO INC COM	23,423
800	CONSTELLATION ENERGY GROUP INC COM	34,080
800	CONVERGYS CORP COM	24,200
21,825	COOPER IND INC COM	864,052
400	COOPER TIRE & RUBBER CO COM, NO PAR	5,680
200	COORS ADOLPH CO CL B	10,036
4 500	CORNING INC COM	75,195
2,200	COSTCO WHSL CORP NEW COM	90,376
600	COUNTRYWIDE CR IND INC COM	27,528
250	CRANE CO COM	7,750
21,400	CROWN CORK & SEAL CO INC COM	80,250
48,100	CSX CORP COM	1,743,144
5,100	CULLEN / FROST BANKERS INC COM	172,635
200	CUMMINS INC	7,740
1,900	CVS CORP COM STK	73,340
3,700	DAL-TILE INTL INC COM	68,635

Face value or		
shares	Description	Market value
	Common Stocks (continued)	
50,864	DANA CORP COM	<b>\$</b> 1,187,166
700	DANAHER CORP COM	39,200
600	DARDEN RESTAURANTS INC COM	16,740
2,900	DATASCOPE CORP COM	133,661
6,100	DAVOX CORP COM	50,630
1,100	DEERE & CO COM	41,635
12,500	DELL COMPUTER CORP COM	326,875
2,645	DELPHI AUTOMOTIVE SYS CORP COM	42,135
5,200	DELTA \$ PINE LD CO COM	102,180
600	DELTA AIR LINES INC COM	26,448
400	DELUXE CORP COM	11,560
2,400	DENTSPLY INTL INC NEW COM	106,440
600	DEVON ENERGY CORP NEW COM	31,500
500	DILLARDS INC CL A COM	7,635
5,800	DIONEX CORP COM	192,850
10,000	DISNEY WALT CO COM	288,900
1,588	DOLLAR GEN CORP COM	30,966
1,200	DOMINION RES INC VA NEW COM	72,156
5,200	DONALDSON INC COM	161,980
600	DONNELLEY R R & SONS CO COM	17,820
1,000	DOVER CORP COM	37,650
81,189	DOW CHEM CO COM	2,699,534
400	DOW JONES & CO INC COM	23,884
4,100	DRESS BARN INC COM	93,275
800	DTE ENERGY CO COM	37,152
5,020	DU PONT E I DE NEMOURS & CO COM STK	242,165
3,700	DUKE ENERGY CORP COM STK	144,337
1,600	DYNEGY INC NEW CL A COM	74,400
18,805	EASTMAN CHEM CO COM	895,682
1,400	EASTMAN KODAK CO COM	65,352
300	EATON CORP COM	21,030
600	ECOLAB INC COM	24,582
1,700	EDISON INTL COM	18,955
100	EGL INC COM	1,746
2,453	EL PASO CORP COM	128,881
2,200	ELECTR DATA SYS CORP NEW COM	137,500
2,200	ELECTRO SCIENTIFIC INDS INC COM	83,820
11,200	ELECTRONICS FOR IMAGING INC COM	330,400
5,400	ELI LILLY & CO COM	399,600
10,586	EMC CORP COM COM	307,523
2,100	EMERSON ELEC CO COM	127,050
197	EMP SOLUTIONS INC DESP	1
700	ENGELHARD CORP COM	18,053
3,600	ENRON CORP COM	176,400

June 30, 2001		
Face value or	Decomplian	Market value
shares	Description (continued):	Market value
1 100	Common Stocks (continued):	\$ 42,229
1,100	ENTERGY CORP NEW COM	·
600 700	EOG RESOURCES INC COM	21,330
700 1,587	EQUIFAX INC COM	25,676 101,758
16,564	EXELON CORP COM	101,758
	EXXON MOBIL CORP COM	1,446,865
800 5,500	FPL GROUP INC COM	48,168 340,010
4,434	FAIR ISAAC & CO INC COM	340,010
	FBL FINL GROUP INC CL A	79,812
1,480 36,500	FEDEX CORP COM	59,496
	FEDT DEPT STORES INC DEL COM	1,551,250
3,300 1,900	FHLMC VTG COM	231,000
29,800	FIRST DATA CORP COM	122,075 958,368
600	FIRSTENERGY CORP COM	38,388
5,186	FISERV INC COM	204,588
400	FLEETBOSTON FINL CORP COM STK FLUOR CORP NEW COM	18,060
9,950		682,172
4,800	FMC CORP COM FNMA COM STK	408,720
8,804		216,138
ደሰብ	FORD MTR CO DEL COM PAR \$0 01 FOREST LAB INC COM	56,800
700	FORTUNE BRANDS INC COM STK	26,852
800	FREEPORT MCMORAN C & G CL B COM STK	8,840
1,300	FRKLN RES INC COM	59,501
5,700	FURNITURE BRANDS INTL INC COM	159,600
17,296	GA PAC CORP COM-GEORGIA PAC GROUP	585,470
1,300	GANNETT INC COM	85,670
4,100	GAP INC COM	118,900
1,600	GATEWAY INC COM	26,320
1,000	GEN DYNAMICS CORP COM	77,810
47,700	GEN ELEC CO COM	2,325,375
1,400	GEN MILLS INC COM	61,292
2,600	GENERAL MOTORS CORP COMMON STOCK	167,310
3,000	GENTEX CORP COM	83,610
32,400	GENUINE PARTS CO COM	1,020,600
5,100	GILLETTE CO COM	147,849
4,260	GLOBAL CROSSING LTD COM	36,806
7,100	GLOBAL INDS LTD COM	88,537
800	GOLDEN W FNCL CORP COM	51,392
500	GOODRICH CORPORATION	18,990
51,468	GOODYEAR TIRE & RUBBER CO COM	1,441,104
600	GPU INC COM	21,090
500	GRAINGER W W INC COM	20,580
300	GREAT LAKES CHEM CORP COM	9,255
7,265	GUANGDONG ALLIANCE LTD ORDINARY SHARES	1

June 30, 2001			
Face value or	D	Market value	
shares	Description	Market value	
	Common Stocks (continued).	<b>* * * * * * * * * *</b>	
1,500	GUIDANT CORP COM STK	\$ 54,000	
8,300	HAEMONETICS CORP MASS COM	253,150	
2,100	HALLIBURTON CO COM	74,760	
1,500	HANCOCK JOHN FINL SVCS INC COM ISIN	60,390	
1,700	US41014S1069 HANOVER COMPRESSOR CO COM (HOLDING	00,090	
1,700	COMPANY)	56,253	
1,500	HARLEY DAVIDSON INC COM	70,620	
600	HARRAH S ENTMT INC COM STK	21,180	
1,100	HARTFORD FINL SVCS GROUP INC COM	75,240	
850	HASBRO INC COM	12,283	
2,600	HCA INC COM	117,494	
8,000	HCC INS HLDGS INC COM	196,000	
1,900	HEALTHSOUTH CORP COM STK	30,343	
1,700	HEINZ H J CO COM	69,513	
2,900	HELIX TECH CORP COM	88,392	
600	HERCULES INC VTG COM	6,780	
700	HERSHEY FOODS CORP COM	43,197	
9,300	HEWLETT-PACKARD CO COM	265,980	
6,200	HILB ROGAL & HAMILTON CO COM	271,250	
1,900	HILTON HOTELS CORP COM	22,040	
11,200	HOME DEPOT INC COM	521,360	
1,400	HOMESTAKE MNG CO CAP	10,850	
3,900	HONEY WELL INTL INC COM STK	136,461	
7,265	HONG KONG PROPERTY CO LTD ORDINARY SHARES	1	
2,226	HSEHD INTL INC COM	148,474	
900	HUMANA INC COM	8,865	
1,302	HUNTINGTON BANCSHARES INC COM	21,288	
5,1 <i>5</i> 0	IDEX CORP COM	175,100	
3,200	IDEXX LABS INC	100,000	
2,800	THOP CORP NEW COM	75,180	
1,500	ILL TOOL WKS INC COM	94,950	
1,400	IMS HLTH INC COM STK	39,900	
900	INCO LTD COM	15,534	
6,100	INDPT BK CORP MASS COM	120,414	
800	INGERSOLL-RAND CO COM	32,960	
32,300	INTEL CORP CAP	944,775	
1,800	INTERPUBLIC GROUP COMPANIES INC COM	52,830	
8,300	INTL BUSINESS MACHS CORP COM	937,900	
500	INTL FLAVORS & FRAGRANCES INC COM	12,565	
42,995	INTL PAPER CO COM	1,534,922	
1,000	INTUIT COM	39,990	
400	ITT INDS INC COM	17,700	
9,560	J P MORGAN CHASE & CO COM	<u>426,376</u>	

June	30	2001
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Face value or			
shares	Description	Market value	
	Common Stocks (continued):		
900	JABIL CIRCUIT INC COM	\$ 27,774	
6,300	JDS UNIPHASE CORP COM ISIN US46612J1016	78,750	
725	JEFFERSON-PILOT CORP COM	35,032	
14,576	JOHNSON & JOHNSON COM	728,800	
400	JOHNSON CTL INC COM	28,988	
2 500	K MART CORP COM	28,675	
9,600	KAYDON CORP COM	246,240	
200	KB HOME COM	6,034	
<b>7</b> ,3 <b>00</b>	KFANE INC COM	160,600	
1,900	KELLOGG CO COM	55,100	
458	KERR MCGEF CORP COM	30,352	
2,000	KEYCORP NEW COM	52,100	
700	KEYSPAN CORP COM	25,536	
2,600	KIMBERLY-CLARK CORP COM	145,340	
500	KINDER MORGAN INC COM STK	25,125	
800	KING PHARMACEUTICALS INC COM	43,000	
900	KLA-TENCOR CORP	52,623	
400	KNIGHT-RIDDER INC COM STK	23,720	
1,600	KOHLS CORP COM	100,368	
3,900	KROGER CO COM	97,500	
3,100	KRONOS INC COM	126,945	
4,200	LEAR CORP COM STK PAR \$0 01	146,580	
1,000	LEGGETT & PLATT INC COM	22,030	
1,200	LEHMAN BROS HLDGS INC COM	93,300	
600	LEXMARK INTL INC NEW CL A	40,350	
3,200	LINCOLN ELEC HLDGS INC COM	81,600	
900	LINCOLN NATL CORP COM	46,575	
1,500	LINEAR TECH CORP DEL	66,330	
4,700	LINENS N THINGS INC COM	128,404	
300	LIZ CLAIBORNE INC COM	15,135	
2,100	LOCKHEED MARTIN CORP COM	77,805	
900	LOEWS CORP COM	57,987	
500	LOUISIANA-PACIFIC CORP COM	5,865	
1,800	LOWES COS INC COM	130,590	
1,800	LSI LOGIC CORP COM	33,840	
2,000	LTD INC COM	33,040	
15,400	LUBRIZOL CORP COM	478,170	
16,310	LUCENT TECHNOLOGIES INC COM STK	101,122	
11,200	LYONDELL CHEMICAL CO COM STK	172,256	
500	MANOR CARE INC NEW COM STK	15,875	
1,200	MARRIOTT INTL INC NEW COM STK CL A	56,808	
1,350	MARSH & MC LENNAN CO'S INC	136,350	
2,200	MASCO CORP COM	54,912	
2,100	MATTEL INC COM	39,732	

ace value or shares	Description	Market value
	Common Stocks (continued):	141401 AUTHO
3,600	MATTHEWS INTL CORP CL A	\$ 158,283
1,600	MAXIM INTEGRATED PRODS INC COM	70,736
47,400	MAY DEPT STORES CO COM	1,623,924
400	MAYTAG CORP COM	11,704
7,750	MBIA INC COM	431,520
4,050	MBNA CORP COM	133,448
300	MC DERMOTT INTL INC COM \$1 PAR	3,495
6,200	MC DONALDS CORP COM	167,772
900	MCGRAW HILL COMPANIES INC COM	59,535
1,410	MCKESSON CORP	52,339
12,600		· · · · · · · · · · · · · · · · · · ·
1,000	MEAD CORP COM	341,964 47,200
5,800	MEDIMMUNE INC COM	47,200 266 858
2,300	MEDTRONIC INC COM MELLON FINL CORP COM	266,858 105,800
10,600		302,100
11,000	MENTOR CORP COM	· · · · · · · · · · · · · · · · · · ·
400	MERCK & CO INC COM	703,010
200	MERCURY INTERACTIVE CORP	23,960
4,000	MEREDITH CORP COM MERRILL LYNCH & CO INC COM	7,162
3,600	METLIFE INC COM ISIN US59156R1086	237,000
445,388	•	111,528
889,289	MFO GMO CORE III	6,213,161
2,232,709	MFO GMO EMERGING MKT FD III	8,048,064
1,584,785	MFO GMO GROWTH FD III	46,150,101
320,215	MFO GMO INTL INSTRINSIC VALUE III FD	31,077,635
548,168	MFO GMO INTL SMALL CO III	3,711,286
348,108 84,179	MFO GMO REIT FD III	6,303,929
880,403	MFO GMO TR SMALL CAP GROWTH FD CL III	1,717,260
259,860	MFO GMO TR SMALL CAP VALUE FD CL III	13,021,165
799,514	MFO GMO VALUE FD III	2,520,640
1,468,510	MFO MFS INSTL TR EMERGING EQUITIES FD MFO MORGAN STANLEY DEAN WITTER INSTL FD	17,229,537
1,400,510	EMERGING MARKETS PORTFOLIO CL A	16,006,757
963,304	MFO MORGAN STANLEY DEAN WITTER INSTL FD INC	10,000,757
, 00,00	INTL EQTY PORTFOLIO CL A	15,923,418
500	MGIC INVT CORP WIS COM	36,320
2 700	MICHAELS STORES INC COM	110,700
2,900	MICRON TECH INC COM	119,190
3,600	MICROS SYS INC COM	79,200
25,800	MICROSOFT CORP COM	1,883,400
9,600	MILLENNIUM CHEMICALS INC COM STK	144,480
200	MILLIPORE CORP COM	12,396
1,900	MINN MNG & MFG CO COM	216,790
1,591	MIRANT CORP COM	54,730
950	MOLEX INC COM	34,704

shares	Description	Maı	rket value
	Common Stocks (continued):		
800	MOODYS CORP COM	\$	26,800
5,300	MORGAN STANLEY DEAN WITTER & CO COM NEW		
	ISIN #6174464486		340,419
10,496	MOTOROLA INC COM		173,814
2,700	MUELLER INDS INC COM		88,857
10,200	N E PA FINL CORP COM		129,030
700	NABORS IND INC COM		26,040
6,000	NANOMETRICS INC COM		164,922
10,434	NATIONAL-OILWELL INC COM		279,631
45,900	NATL CY CORP COM		1,412,802
900	NATL SEMICONDUCTOR CORP COM		26,208
200	NATL SERVICE IND INC COM		4,514
300	NAVISTAR INTL CORP NEW COM		8,439
500	NCR CORP COM		23,500
1,600	NETWORK APPLIANCE INC COM		21,920
800	NEW YORK TIMES CO CL A ISIN #US6501111073		33,600
1,267	NEWELL RUBBERMAID INC COM		31,802
900	NEWMONT MINING CORP NEW COM		16,749
2,500	NEWPORT NEWS SHIPBUILDING INC COM STK		153,125
3,700	NEXTEL COMMUNICATIONS INC CL A COM STK		64,750
800	NIAGARA MOHAWK HLDGS INC COM ISIN		14.50
200	#US6535201064		14,152
200	NICOR INC COM		7,796
1,300	NIKE INC CL B COM		54,587
1,000	NISOURCE INC COM		27,330
600	NOBLE DRILLING CORP COM		19,650
700	NORDSTROM INC COM		12,985
104,100	NORFOLK SOUTHN CORP COM		2,154,870
15,300	NORTEL NETWORKS CORP NEW COM		139,077
1,100	NORTHN TR CORP COM		68,750
400	NORTHROP GRUMMAN CORP (HLDG CO)		32,040
1,700	NOVELL INC COM		9,673
700	NOVELLUS SYS INC COM		39,753
400	NUCOR CORP COM		19,556
5,250	NY CMNTY BANCORP INC COM		197,663
89,093	OCCIDENTAL PETE CORP COM		2,368,983
7,500	OCEANEERING INTL INC COM		155,625
5,600	OCEANFIRST FINL CORP COM		145,264
1,600	OFFICE DEPOT INC COM		16,608
7,000	OFFSHORE LOGISTICS INC COM		133,000
900	OMNICOM GROUP INC COM		77,400
200	ONEOK INC COM STK		3,940
27,000	ORACLE CORP COM		513,000
10,400	OWENS CORNING COM		20,904

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600 QUINTILES TR 7,980 QWEST COMM 900 RADIOSHACK 1,500 RALSTON-RAI 1,700 RAYTHEON CO 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMM 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COM 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COM 1,600 SANMINA COM 1,600 SAPIENT CORM 3,800 SARA LEE COM 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR CO 50,000 SEARS ROEBU	NC COM ORP DSSG COM ORP DSSG COM ORP DSSG COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM	\$	210,528 449,005 15,150 254,323 27,450
3,600 QUALCOMM I 44,500 QUANTUM CC 600 QUINTILES TR 7,980 QWEST COMM 900 RADIOSHACK 1,500 RALSTON-RAI 1,700 RAYTHEON CC 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMM 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COM 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COM 1,600 SANMINA COM 1,600 SAPIENT CORM 3,800 SARA LEE COM 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR CO 50,000 SEARS ROEBU	NC COM ORP DSSG COM ORP DSSG COM ORP DSSG COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM ORP COM	\$	449,005 15,150 254,323 27,450
44,500 QUANTUM CC 600 QUINTILES TR 7,980 QWEST COMIN 900 RADIOSHACK 1,500 RALSTON-RAI 1,700 RAYTHEON CC 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMIN 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COMIN 3,625 SW AIRL CO 300 RYDER SYS IN 3,625 SW AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COI 1,600 SANMINA COI 1,600 SANMINA COI 1,600 SARA LEE CO 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 50,000 SEARS ROEBU	ORP DSSG COM  ANSNATIONAL CORP COM  IUNICATIONS INTL INC COM  CORP COM  LSTON PURINA GROUP  COM NEW  COM  L CORP COM	3	449,005 15,150 254,323 27,450
600 QUINTILES TR 7,980 QWEST COMM 900 RADIOSHACK 1,500 RALSTON-RAI 1,700 RAYTHEON CO 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMM 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COM 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORM 2,400 SAFEWAY INC 1,600 SANMINA COM 1,600 SANMINA COM 1,600 SANMINA COM 1,600 SAPIENT CORM 3,800 SARA LEE COM 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR CO 50,000 SEARS ROEBU	ANSNATIONAL CORP COM IUNICATIONS INTL INC COM CORP COM LSTON PURINA GROUP D COM NEW COM L CORP COM		15,150 254,323 27,450
7,980 QWEST COMM 900 RADIOSHACK 1,500 RALSTON-RAI 1,700 RAYTHEON COMM 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMM 10,300 ROYAL DUTCY GLDR 9,100 RUDDICK COMM 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COMM 1,600 SANMINA COMM 1,600 SAPIENT CORM 3,800 SARA LEE COMM 1,500 SCHEIN HENR 15,800 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR COMM 500 SEARS ROEBU	IUNICATIONS INTL INC COM CORP COM LSTON PURINA GROUP D COM NEW COM L CORP COM		254,323 27,450
900 RADIOSHACK 1,500 RALSTON-RAI 1,700 RAYTHEON C 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COMI 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SAPIENT CORMI 3,800 SARA LEE COMI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR C 50,000 SEARS ROEBU	CORP COM LSTON PURINA GROUP O COM NEW COM L CORP COM		27,450
1,500 RALSTON-RAI 1,700 RAYTHEON C 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COMI 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SAPIENT CORMI 3,800 SARA LEE COMI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR C 50,000 SEARS ROEBU	LSTON PURINA GROUP O COM NEW COM L CORP COM		
1,700 RAYTHEON CO 300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COMI 3,625 SW AIRL CO 622 SABRE HLDGS 600 SAFECO CORMI 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SAPIENT CORMI 3,800 SARA LEE COMI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR CO 50,000 SEARS ROEBU	O COM NEW COM L CORP COM		
300 REEBOK INTL 16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTC: GLDR 9,100 RUDDICK COMI 3,625 SW AIRL CO 622 SABRE HLDGS 600 SAFECO CORP 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA CO	COM L CORP COM		45,030 45,135
16,400 REGIONS FNC 1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTC: GLDR 9,100 RUDDICK COMI 3,625 SW AIRL CO 622 SABRE HLDGS 600 SAFECO CORP 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SARA LEE COMI 1,600 SCHEIN HENR 1,800 SCHEING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR COMI 50,000 SEARS ROEBU	L CORP COM		45,135
1,400 RELIANT ENE 3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IV 1,095 ROHM & HAA 4,900 ROPER INDS IV 500 ROWAN COMI 10,300 ROYAL DUTC GLDR 9,100 RUDDICK COM 300 RYDER SYS IV 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORM 2,400 SAFECO CORM 1,600 SANMINA COM 1,600 SANMINA COM 600 SAPIENT COM 3,800 SARA LEE COM 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR CO 50,000 SEARS ROEBU			9,585
3,300 RENAL CARE 4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTCH GLDR 9,100 RUDDICK COMI 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORMI 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SAPIENT COMI 3,800 SARA LEE COMI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-AMI 421 SEALED AIR COMI 50,000 SEARS ROEBU	RGY INC COM		524,800
4,700 RESPIRONICS 900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTCH GLDR 9,100 RUDDICK COMI 300 RYDER SYS IN 3,625 SW AIRL CO 622 SABRE HLDGS 600 SAFECO CORMI 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SARA LEE COMI 1,600 SARA LEE COMI 1,600 SARA LEE COMI 1,600 SCHEIN HENR 1,500 S			45,094
900 ROBERT HALI 900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMI 10,300 ROYAL DUTC: GLDR 9,100 RUDDICK COMI 300 RYDER SYS IN 3,625 SW AIRL COMI 622 SABRE HLDGS 600 SAFECO CORMI 2,400 SAFEWAY INC 1,600 SANMINA COMI 1,600 SANMINA COMI 1,600 SAPIENT COMI 3,800 SARA LEE COMI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR COMI 50,000 SEARS ROEBU			108,537
900 ROCKWELL IN 1,095 ROHM & HAA 4,900 ROPER INDS IN 500 ROWAN COMINO 10,300 ROYAL DUTCH GLDR 9,100 RUDDICK COMINO 300 RYDER SYS IN 3,625 SW AIRL COMINO 622 SABRE HLDGS 600 SAFECO CORMINA 1,600 SANMINA COMINO 1,600 SAPIENT COMINO 3,800 SARA LEE COMINO 1,600 SAPIENT COMINO 1,600 SAPIENT COMINO 1,600 SAPIENT COMINO 1,600 SAPIENT COMINO 1,600 SAPIENT COMINO 1,600 SAPIENT COMINO 1,600 SCHEIN HENR 1,500 SCHEIN HENR 1,500 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR G 50,000 SEARS ROEBU			139,872
1,095 ROHM & HAA 4,900 ROPER INDS II 500 ROWAN COMI 10,300 ROYAL DUTC: GLDR 9,100 RUDDICK COR 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORP 2,400 SAFEWAY INC 1,600 SANMINA COR 600 SAPIENT COR 3,800 SARA LEE COR 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR G 50,000 SEARS ROEBU			22,401
4,900 ROPER INDS II 500 ROWAN COMI 10,300 ROYAL DUTC' GLDR 9,100 RUDDICK COR 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORR 2,400 SAFEWAY INC 1,600 SANMINA COR 600 SAPIENT COR 3,800 SARA LEE COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C 50,000 SEARS ROEBU	· · <del>-</del> · · · · · ·		34,308
500 ROWAN COMI 10,300 ROYAL DUTC' GLDR 9,100 RUDDICK COR 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORR 2,400 SAFEWAY INC 1,600 SANMINA COR 600 SAPIENT CORR 3,800 SARA LEE COR 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERC 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C			36,026
10,300 ROYAL DUTC' GLDR  9,100 RUDDICK COR 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COR 600 SAPIENT COR 3,800 SARA LEE COR 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERC 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C			204,575
GLDR 9,100 RUDDICK COR 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COR 600 SAPIENT COR 3,800 SARA LEE COR 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR C 50,000 SEARS ROEBU	'ANIES INC COM		11,050
9,100 RUDDICK COR 300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COR 600 SAPIENT COR 3,800 SARA LEE COR 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERC 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR C 50,000 SEARS ROEBU	H PETRO N Y REGISTRY SH PAR N 1 25		600,181
300 RYDER SYS IN 3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COI 600 SAPIENT CORI 3,800 SARA LEE COI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHEING-PL 2,800 SCHLUMBERC 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C 50,000 SEARS ROEBU	OR COM		154,245
3,625 S W AIRL CO 622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COI 600 SAPIENT CORI 3,800 SARA LEE COI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERC 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C 50,000 SEARS ROEBU			5,880
622 SABRE HLDGS 600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COI 600 SAPIENT CORI 3,800 SARA LEE COI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C 50,000 SEARS ROEBU			67,026
600 SAFECO CORF 2,400 SAFEWAY INC 1,600 SANMINA COI 600 SAPIENT CORI 3,800 SARA LEE COI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C 50,000 SEARS ROEBU			31,100
2,400 SAFEWAY INC 1,600 SANMINA COI 600 SAPIENT CORI 3,800 SARA LEE COI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A' 421 SEALED AIR C			17,700
1,600 SANMINA COI 600 SAPIENT CORI 3,800 SARA LEE COI 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-AI 421 SEALED AIR C 50,000 SEARS ROEBU			115,200
600 SAPIENT CORI 3,800 SARA LEE CO: 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR O 50,000 SEARS ROEBU			37,456
3,800 SARA LEE CO: 16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR O 50,000 SEARS ROEBU			5,850
16,206 SBC COMMUN 2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR O 50,000 SEARS ROEBU			71,972
2,700 SCHEIN HENR 15,800 SCHERING-PL 2,800 SCHLUMBERG 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR G 50,000 SEARS ROEBU			649,212
15,800 SCHERING-PL 2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR O 50,000 SEARS ROEBU			
2,800 SCHLUMBERO 6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR O 50,000 SEARS ROEBU			103,275 572,592
6,650 SCHWAB CHA 800 SCIENTIFIC-A 421 SEALED AIR C 50,000 SEARS ROEBU	GER LTD COM ISIN AN8068571086		147,420
800 SCIENTIFIC-A 421 SEALED AIR C 50,000 SEARS ROEBU			101,745
421 SEALED AIR C 50,000 SEARS ROEBU			32,480
50,000 SEARS ROEBU			15,682
			2,115,500
			6,751,322
	UP INC CL A COM		
414,563 SEC CAP GRO 1,023 SEMPRA ENER			8,871,648 27,969
	RGY INC COM STK		17,760
	LIAMS CO COM		103,180
·	C COM		15,448
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2 500 SIMPSON MFC 37,900 SMURFIT-STO	CH CORP COM		151,250 613,980

June 30, 2001 Face value or		
shares	Description	Market value
	Common Stocks (continued)	
300	SNAP-ON INC COM	\$ 7,248
3,100	SOLECTRON CORP COM	56,730
3,300	SOUTHERN CO COM STK	76,725
1,600	SOUTHTRUST CORP COM	41,600
4,300	SPRINT CORP COM	91,848
4,500	SPRINT CORP PCS COM SER 1	108,675
400	ST JUDE MED INC COM	24,000
10,906	ST PAUL COS INC COM	552,825
1,600	ST STR CORP COM	79,184
400	STANLEY WKS COM	16,752
2,300	STAPLES INC (RETAIL & DELIVERY)	36,777
1,800	STARBUCKS CORP COM	41,400
1,000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	
4 000	PAIRED CTF I CL B SH BEN INT STARWOOD	37,280
4,000	STERLING BANCSHARES INC COM	76,720
1,100	STILWELL FINL INC COM	36,916
900	STRYKER CORP COM	49,365
15,600	SUN MICROSYSTEMS INC COM STK \$ 00067 PAR	245,232
1,400	SUN TR BANKS INC COM	90,692
400	SUNOCO INC COM	14,652
23,900	SUPER VALU INC COM	419,445
7,100	SWIFT ENERGY CO COM	213,923
1,100	SYMBOL TECHNOLOGIES INC COM	24,420
1,450	SYNOVUS FINL CORP COM	45,501
3,200	SYSCO CORP COM	86,880
4,300	FARGET CORP COM	148,780
10,200	TECH DATA CORP COM	340,272
500	TEKTRONIX INC COM	13,575
2,000	TELLABS INC COM	38,760
5,700	TEMPLE INLAND INC COM	303,753
1,600	TENET HEALTHCARE CORP COM	82,544
800	TERADYNE INC COM	26,480
2,600	TEXACO INC COM	173,160
700	TEXTRON INC COM	38,528
900	THERMO ELECTRON CORP COM	19,818
12,600	THOMAS & BETTS CORP COM	278,082
700	TIFFANY & CO COM	25,354
300	TIMKEN CO COM	5,082
1,400	TJX COS INC COM NEW	44,618
500	TMP WORLDWIDE INC COM	30,000
600	TORCHMARK CORP COM	24,126
700	TOSCO CORP COM NEW	30,835
1,000	TOYS R US INC (HOLDING COMPANY)	24,750
1,496	TRANSOCEAN SEDCO FOREX INC COM	61,710

Face value or		
shares	Description	Market value
	Common Stocks (continued):	
1,400	TRIBUNE CO COM	\$ 56,014
700	TRICON GLOBAL RESTAURANT INC	30,730
4,000	TRIGON HEALTHCARE INC CL A COM	259,400
600	TRW INC COM	24,600
300	TUPPERWARE CORP COM	7,029
8,300	TX INSTRS INC COM	261,450
3,160	TX REGL BANCSHARES INC CL A VTG	127,316
1,200	TXU CORP COM	57,828
9 327	TYCO INTL LTD NEW COM	508,322
15,800	TYSON FOODS INC CL A COM (DELAWARE)	145,518
300	U S AIR GROUP COM	7,290
6,500	UCBH HLDGS INC COM	197,275
11,600	UN PAC CORP COM	636,956
700	UN PLANTERS CORP COM	30,520
1,600	UNISYS CORP COM	23,536
15,500	UNIT CORP COM	245,675
1,500	UNITEDHEALTH GROUP INC COM	92,625
1,000	UNIVISION COMMUNICATIONS INC CL A	42,780
1,200	UNOCAL CORP COM	40,980
1,157	UNUMPROVIDENT CORP COM	37,163
9,133	US BANCORP	208,141
800	USA EDUCATION INC COM	58,400
800	UST INC COM	23,088
1,500	USX - MARATHON GROUP COM NEW	44,265
500	USX-US STEEL GROUP COM	10,075
7,600	UTD STATIONERS INC COM	239,856
2,300	UTD TECHNOLOGIES CORP COM	168,498
25,500	V F CORP COM	927,690
4,800	VALASSIS COMMUNICATIONS INC COM	171,840
7,100	VARCO INTL INC DEL COM	132,131
1,900	VERITAS SOFTWARE CORP COM	126,407
12,966	VERIZON COMMUNICATIONS COM	693,681
8,562	VIACOM COM CL B	443,084
664	VISTEON CORP COM	12,204
900	VITESSE SEMICONDUCTOR CORP COM	18,936
500	VULCAN MATERIALS CO COM	26,875
48,943	WA MUT INC COM	1,837,808
1,000	WACHOVIA CORP COM NEW	71,150
4,900	WALGREEN CO COM	167,335
16,800	WALLACE COMPUTER SVCS INC COM	277,872
21,500	WAL-MART S FORES INC COM	1,049,200
2,965	WASTE MGMT INC DEL COM STK	91,381
500	WATSON PHARMACEUTICALS INC COM	30,820
7,200	WEBSTER FNCL CORP WATERBURY CONN COM	236,016

June 30, 2001		
Face value or	ъ.	
shares	Description	Market value
	Common Stocks (continued):	
300	WELLPOINT HLTH NETWORKS INC CL A (NEW)	\$ 28,272
8,200	DELAW EFF 8-4-97	
600	WELLS FARGO & CO NEW COM STK WENDY S INTL INC COM	380,726
15 700	WESTVACO CORP COM	15,324
1,000	WEST VACO CORP COM WEYERHAEUSER CO COM	381,353 54,970
8,800	WHIRLPOOL CORP COM	550,000
3,200	WHIRLFOOL CORP COM WHOLE FOODS MKT INC COM	86,720
500	WILLAMETTE IND INC COM	•
2,300		24,750 35 385
2,500 I	WILLIAMS CO INC COM WILLIAMS COMMUNICATIONS GROUP INC COM	75,785
700		3
4,700	WINN-DIXIE STORES INC COM	18,291
13,828	WOODHEAD INDS INC COM	79,900
400	WORLDCOM INC/WORLDCO	196,358
1,100	WORTHINGTON INDS INC COM	5,440 51,535
1 630	WRIGLEY WM JR CO CAP	51,535
3,500	XCEL ENERGY INC COM	46,374
1,600	XEROX CORP COM	33,495
3,400	XILINX INC COM	65,984
15,730	XL CAP LTD-CL A	279,140
2,700	XTO ENERGY INC COM	225,726
6 500	YAHOO INC COM	53,973
400	ZEBRA TECHNOLOGIES CORP CL A	319,280
	ZIONS BANCORP COM	23,600
418,500	JEA PLYMOUTH CORP DEB A RST *	418,500
24,040	GENERAL MOTORS CORP CONT NOTE	74,283
45 000	JEA CHITTITU CREEK RST COM ★	-
45,000	JEA PLYMOUTH CORP RST COM ★	816,037
425,000	SECURITY CAP EUROPEAN RLTY ADR SHARE CERTIFICATE	8,500,000
<del>_</del>		
	Total Common Stocks	314,113,425
	Preferred Stock:	1 013 800
40,100	CA FED PFD CAP CORP EXCHANGEABLE	1,013,728
9,750	EQTY OFFICE PPTYS TR PFD CONV SER B 5 25	472,875
819	PAXSON COMMUNICATIONS CORP EXCHANGEABLE	786,240
190 000	FRESENIUS MED CARE CAP TR 11 GTD TR PFD SECS 7 875	105 35A
<del></del>	. —— <del></del>	185,250
-	Total Preferred Stock	2,458,093
		359,906,191

Face value or	ъ.			
shares	Description	Mar	rket value	
	Coporate Bonds:			
225,000	1ST UN CORP NT 7 55 DUE 08-18-2005 BEO	\$	237,598	
350,000	IST UN CORP NT DTD 11/05/1999 6 95 DUE 11-01-2004 BEO		362,254	
395,000	ABITIBI-CONSOLIDATED INC DEB 8 85 DUE 08-01-2030 BEO		404,946	
150,000	ADELPHIA COMMUNICATIONS CORP 10 875 DUE 10-01- 2010 BEO		151,125	
175,000	ADELPHIA COMMUNICA FIONS CORP SR NT 8 125DUE 07-15-2003 BEO		168,875	
410,000	AES CORP 8 875 DUE 02-15-2011 BEO		399,750	
200,000	AHOLD FIN U S A INC GTD NT DTD 07/20/2000 8 25 DUE 07-15 2010 BEO		215,298	
250,000	AHOLD FIN U S A INC NT 6 25 DUE 05-01-2009 BEO		237,963	
325,000	AHOLD FIN U S A INC NT 6 875 DUE 05-01-2029 BEO		293,992	
200,000	ALBERTSONS INC ALBERTSON'S INC 7 5 DUE 02-15- 2011 BEO		202,718	
150,000	ALBERTSONS INC ALBERTSON'S INC 8 DUE 05-01-2031		1.40 0.70	
275 000	BEO ALLIED WASTE N AMER INC SR NT 7 375 DUE 01-01- 2004 BEO		148,938 270,875	
400,000	ALTA ENERGY LTD NT 8 125 DUE 09-15-2030 BEO		433,840	
375,000	AMRESCO INC 10 DUE 03-15-2004 REG		210,000	
200,000	AMRESCO INC 9 875 DUE 03-15-2005/03-15-2002 REG		114,000	
275,000	AMVESCO INC 9 873 DOE 03-13-2003/03-13-2002 REG AMVESCAP PLC SR NT 6 6 DUE 05 15-2005 BEO		•	
225 000	ANCHOR GAMING SR SUB NT 9 875 DUE 10-15-2008/10- 15-2004 BEO		276,771 240,750	
50,000	ANHEUSER BUSCH COS INC 7 55 DUE 10-01-2030 BEO		54,312	
375,000	ANTEOSER BOSCH COS INC 7 33 DUE 10-01-2030 BEO AOL TIME WARNER INC 6 75 DUE 04-15-2011 BEO		368,681	
400,000	ARAMARK SVCS INC 7 0 BD DUE 07-15-2006 BEO		388,520	
210,000	ARAMARK SVCS INC 70 BD DUE 07-13-2006 BEO ARAMARK SVCS INC ARAMARK CORP 6 75 DUE 08-01- 2004 BEO		,	
25,000	AVALON BAY CMNTYS INC 6 5 SR NT DUE 07-15-2003		207,514	
,-	BEO		25,408	
350 000	AVALON PPTYS INC 6 625 NTS DUE 01-15-2005 BEO		352,272	
475,000	BECKMAN INSTRS INC NEW SR NT 7 1 DUE 03-04-2003 BEO		478,454	
550 000	BRASCAN LTD 7 375 DUE 10-01-2002 REG		552,585	
410,000	BRIT SKY BROADCASTING GROUP PLC NT 8 2 DUE 07-		·	
400,000	15-2009 BEO BRIT TELECOMMUNICATIONS P L C NT 8 375 DUE 12-		405,392	
175 000	15-2010 BEO BRIT TELECOMMUNICATIONS P.L.C.NT 8 625 DUE 12-		424,248	
	15-2030 BEO		190,927	
125 000 90,000	BROWNING FERRIS INDS INC 6 1 DUE 01-15-2003 REG BUCKEYE CELLULOSE CORP 8 5 SR SUB NT DUE12-15-		121,875	
2 3,000	2005/00		89,100	

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Face value or	n	
shares	Description	Market value
	Coporate Bonds (continued):	
125,000	BUCKEYE TECHNOLOGIES INC SR SUB NT 8 DUE10-15-	
200.000	2010/10-15-2003 BEO	\$ 118,125
300,000	CALPINE CDA ENERGY FIN ULC GTD SR NT 8 5DUE 05-	202.400
50,000	01-2008 BEO	292,488
50,000	CALPINE CORP 8 5 DUE 02-15-2011 BEO	48,021
375,000	CBS CORP SR NT 7 15 DUE 05-20-2005 BEO CHARTER COMM HLDGS LLC/CHARTER COMM	390,420
200,000	HLDGS CAP CORP SRNT 8 25 DUE 4-1-07 BEO	197.000
225 000	CHARTER COMM HLDGS LLC/CHARTER COMM	187,000
223 000	HLDGCAP CORP SR DISC NT STEP 1-15-10/1-15-05	150,750
25,000	CK WITCO CORP SR NT 8 5 DUE 03-15-2005 BEO	25,352
350,000	CLEAR CHANNEL COMMUNICATIONS INC 7 875 DUE	23232
330,000	06-15-2005 BEO	367,196
225,000	CLEV ELEC ILLUM CO 7 43 DUE 11-01-2009 REG	223,394
50,000	CMS ENERGY CORP 8 125 SR NT DUE 05-15-2002	50,490
950,000	COASTAL CORP NT DTD 06/16/2000 7 75 DUE 06-15-2010	20,120
	BEO	962,227
400,000	COCA COLA ENTERPRISES INC 6 75 DUE 01-15-2038 REG	376,308
175,000	COLT TELECOM GRP PLC SR DISC NT STEP UP 12-15-06	,
	REG 0 TIL 12-15-01 12 AFTER	157,500
625,000	COMINCO LTD 6 875 NT DUE 02-15-2006	589,094
300,000	COMPAQ COMPUTER CORP NT 7 65 DUE 08-01-2005 BEO	306,831
100,000	CONCENTRIC NETWORK CORP DEL 12 75 DUE 12-15-	
	2007/12-15-2002 REG	30,500
150,000	CONMED CORP 9 DUE 03-15-2008/03-15-2003 REG	145,500
250,000	CONSECO INC 9 DUE 10-15-2006 BEO	231,250
125 000	CONSECO INC MEDIUM TERM SR NTS BOOK ENTRY 6 8	
	DUE 06-15-2005	108,750
75,000	CONSECO INC NT 8 75 DUE 02-09-2004/02-08-2004 BEO	70,875
125,000	COX COMMUNICATIONS INC NT 7 75 DUE 08-15-2006	
222.222	BEO	132,668
300,000	COX RADIO INC COX RADIO SRNT 6 6250000 20060215	200 004
225,000	20010215 6 625 2-15-06 BEO CRESCENT REAL ESTATE QUITIES LTD PARTNERSHIP 7	300,894
225,000	NT DUE 09-15-2002 BEO	223,335
300,000	CSX CORP 6 25 DUE 10-15-2008 BEO	288,627
125,000	CSX CORP 7 95 E-DOLLR DEB DUE 05-01-2027BEQ	129,443
575,000	DAIMLER CHRYSLER N AMER HLDG CORP GTD NT7 4	129,443
373,000	DUE 01-20-2005 BEO	595,257
450,000	DELTA AIR LINES INC DEL NT 8 3 DUE 12-15-2029 BEO	394,758
150,000	DEVON ENERGY CORP NEW 4 95 DUE 08-15-2008/08-15-	374,130
130,000	2000 BEO	150,180
250,000	DIME BANCORP INC NEW NT 9 DUE 12-19-2002BEO	259,930
400,000	DOMTAR INC 8 75 NT DUE 08-01-2006 BEO	413,180
175,000	DONOHUE FST PRODS INC 7 625 DUE 05-15-2007 REG	174,946
400,000	DTE ENERGY CO DTE ENERGY CO NTS NCL 7 05DUE 06-	טדענדוג
.55,556	01-2011 BEO	400,000

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Face value or				
shares	Description	Mar	ket value	
<del></del> _	Coporate Bonds (continued):			
475,000	DUKE-WEEKS RLTY LTD PARTNERSHIP NT 6 95 DUE 03-			
	15-2011 BEO	\$	466,764	
75,000	DUKE-WEEKS RLTY LTD PARTNERSHIP NT DTD			
200.000	03/20/1998 6 875 DUE 03-15-2005 BEO		75,986	
200,000	EOP OPER LTD PARTNERSHIP 6 5 DUE 01-15-2004 BEO		200,470	
575,000	ERP OPER LTD PARTNERSHIP 6 95 DUE 03-02-2011 BEO		569,009	
275,000	EXTENDICARE HLTH SVCS INC 9 35 DUE 12-15-2007/12- 15-2002 REG		227 075	
500,000	FIRSTAR BK 7 125 DUE 12-01-2009 BEO		237,875 516,745	
375,000	FLEETBOSTON FINL CORP 7 25 DUE 09-15-2005 BEO		392,621	
220,000	FORD MTR CO DEL GLOBAL LANDMARK SECS-GLOBLS		392,021	
220,000	7 45 DUE 07-16-2031 BEO		211,317	
225,000	FORD MTR CR CO GLOBAL LANDMARK SECS-GLOBLS			
	6 7 DUE 07-16-2004 BEO		229,833	
150,000	FORD MTR CR CO GLOBAL LANDMARK SECS-GLOBLS			
	7 375 DUE 02-01-2011 BEO		151,382	
50,000	FORD MTR CR CO NT 5 8 DUE 01-12-2009 BEO		46,371	
500,000	FORD MTR CR CO NT 7 5 DUE 03-15-2005 BEO		519,875	
450,000	GS ESCR CORP SR NT 7 DUE 08-01-2003 BEO		449,658	
562,000	GT GROUP TELECOM INC SR DISC EXCH NT STEP UP 2-		4 = = 0 < 0	
25.110	1-10/05 BEO 0 TTL 2-1-05 13 25		157,360	
35,110	GUANGDONG ASSETS MGMT LTD GTD NT 144A 5 DUE 01-01-2005 BEO		11,235	
175,000	GULF CANADA RES 7 125% NTS 15 01 2011		179,198	
100,000	HCA-HEALTHCARE CO HCA HEALTHCARE 7 875 DUE		177,170	
100,000	02-01-2011 BEO		100,875	
125,000	HCA-HEALTHCARE CO NT 8 75 DUE 09-01-2010BEO		132,813	
400,000	HEALTHCARE RLTY TR INC HEALTHCARE RLTY 8 125		,	
,	DUE 05-01-2011 BEO		399,328	
400,000	HORSESHOE GAMING LLC SR SUB NT 8 625 DUE05-15-			
	2009/05-15-2004 BEO		404,000	
300,000	HUNTSMAN INTL HLDGS LLC SR DISC NT 0 DUE12-31-			
250.000	2009/07-01-2004 BEO PUT		90,750	
250,000	INTERPOOL CAP TR 9 875 DUE 02-15-2027/11-26-1997 REG		182,500	
250,000	ISLE CAPRI CASINOS INC SR SUB NT 8 75 DUE 04-15-		102,500	
250,000	2009/04-15-2004 BEO PUT		226,875	
250,000	KEYSPAN CORP NT 7 625 DUE 11-15-2010 BEO		263,585	
350,000	KEYSPAN GAS E CORP TR 00001 7 875 DUE 02-01-2010		200,000	
,	BEO		374,630	
150,000	KPNQWEST N V SR NT DTD 06/02/1999 8 125 DUE 06-01-			
	2009/06-01-2004 BEO PUT		85,500	
300,000	KROGER CO 8 05 DUE 02-01-2010/01-31-2010BEO		320,862	
200,000	KROGER CO DEB 8 DUE 09-15-2029 BEO		208,932	
100,000	KROGER CO SR NT 7 625 DUE 09-15-2006 BEO		105,352	
375,000	LEHMAN BROS HLDGS INC NT DTD 03/26/1999 6 625		202 22 -	
425 000	DUE 04-01-2004 BEO		383,224	
425 000	LIBERTY MEDIA CORP 8 25 DUE 02-01-2030 BEO		368,900	

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Face value or	_	
shares	Description	Market value
	Coporate Bonds (continued):	_
25,000	LUCENT TECHNOLOGIES INC DEB 6 45 DUE 03-15-2029	
	BEO	\$ 14,426
200,000	MCLEODUSA INC SR NT 9 5 DUE 11-01-2008/03 BEO	112,000
150,000	METROMEDIA FIBER NETWORK INC SR NT 10 DUE 11-	
	15-2008/11-15-2003 BEO PUT	61,500
177,856	MFO GMO INFLATION INDEX BD III	1,940,412
301,041	MFO GMO TR EMERGING CTRY DEBT FD CL III	2,808,709
7,496,807	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	
	FD INSTL CL	84,218,024
91,153	MFO STANDISH AYER & WOOD INVT TR INTL FXD INC	
	FD	1,751,959
200 000	MOHEGAN TRIBAL GAMING AUTH SR NT 8 125 DUE 01-	
	01-2006 BEO PUT	202,500
725,000	MORGAN STANLEY GLOBAL NTS 7 75 DUE 06-15-2005	
	BEO	771,763
225,000	NATL CY CORP SUB DEB DTD 05/04/1999 6 875 DUE 05-	214.400
225 000	15-2019 BEO	214,189
225 000	NEWS AMER INC 6 625 DUE 01-09-2008 REG	218,822
200,000	NEWS AMER INC GTD SR DEB DTD 04/30/1998 7 3 DUE	100 220
405.000	04-30-2028 BEO	180,330
425,000	NEXTEL COMMUNICATIONS INC SR SER NT DTD	222.000
50.000	01/26/2001 9 5 DUE 02-01-2011 BEO	332,988
50,000	NEXTLINK COMMUNICATIONS INC SR DISC NT 10 75	16 500
775,000	DUE 11-15-2008/11-15-2003 BEO NEXTLINK COMMUNICATIONS INC SR DISC NT STEP	16,500
773,000	UP 6-1 09 0 TIL 6-1-04 12 25 AFTER	116 250
200,000	NIAGARA MOHAWK PWR CORP 7 75 DUE 05-15-2006	116,250
200,000	REG	209,530
100,000	NIAGARA MOHAWK PWR CORP SER E 7 375 SR NT DUE	207,230
100,000	07-01-2003	103,062
400,000	NISOURCE FIN CORP GTD NT DTD 11/14/2000 7 875 DUE	103,002
400,000	11-15-2010 BEO	422,340
175,000	NORFOLK SOUTHN CORP 7 8 DUE 05-15-2027 REG	179,687
350,000	NORFOLK SOUTHN CORP NT DTD 02/06/2001 6 75 DUE	177,007
330,000	02-15-2011 BEQ	345,135
250,000	NORTEL NETWORKS LTD FORMERLY NORTEL	545,155
250,000	NETWORKS CORP 03/06/2000 6 125 02-15-06	216,250
425,000	PANAMSAT CORP NEW 6 125 NT DUE 01-15-2005B BEO	405,888
50,000	PPN EL PASO NRG PRTNRS L P/EL PASO NRG PRTNRS	405,000
50,000	FIN CO SR SUB NT 144A 8 56-1-11BE	50,271
125,000	PREMIER PKS INC SR DISC NT STEP UP 4-1-08 RT=0 TIL	w-y
, , , , ,	4-1-03 IO THEREAFTER	101,250
125,000	PREMIER PKS INC SR NT 9 25 DUE 04-01-2006/04-01-2002	<b></b>
,	REG	124,375
200,000	PREMIER PKS INC SR NT 9 75 DUE 06-15-2007/06-15-2003	,
• • • •	BEO PUT	204,000
225,000	PROGRESS ENERGY INC SR NT 7 1 DUE 03-01-2011 BEO	227,016
50,000	PULTE HOMES INC 7 DUE 12 15-2003 REG	49,847

June 30, 2001			·	
Face value or				
<u>shares</u>	Description	Mar	ket value	
	Coporate Bonds (continued)			
100,000	PULTE HOMES INC 7 625 DUE 10-15-2017 REG	\$	90,218	
175 000	PVTPL AMERISTAR CASINOS INC SR SUB NT 144A 10 75			
	DUE 02-15-2009/02-15-2006 BEO		182,875	
100,000	PVTPL ARGOSY GAMING CO SR SUB NT DTD 02/08/2001			
****	144A 10 75 DUE		107,500	
525,000	PVTPL AT&T WIRELESS SVCS INC SR NT 144A 7 875		505 005	
160,000	DUE 03-01-2011 BEO PVTPL CHOCTAW RESORT DEV ENTERPRISE SR NT		525,835	
150,000	144A 9 25 DUE 04-01-2009/04-01-2005		153,750	
25 000	PVTPL DAVITA INC SR SUB NT 144A 9 25 DUE04-15-		132,730	
23 000	2011/04-15-2006 BEO		25,625	
625,000	PVTPL ERAC USA FIN CO NT 144A 8 25 DUE 05-01-2005		,	
,	BEO		654,000	
500,000	PVTPL EXIDE CORP SR SUB NT CONV 144A 2 9 DUE 12-		,	
	15-2005/12-15-1998 REG		207,950	
40,000	PVTPL EXTD STAY AMER INC SR SUB NT 144A 9 875			
	DUE 06-15-2011 BEO		39,700	
150,000	PVTPL FL WINDSTORM UNDERWRITING ASSN 144A 67		151 003	
400,000	DUE 08-25-2004 BEO PVTPL GLOBAL CROSSING HLDGS LTD 144A 8 7 DUE		151,982	
400,000	08-01-2007 BEO		304,000	
300,000	PVTPL GOLDMAN SACHS GROUP L P 6 625 DUE 12-01-		304,000	
500,000	2004 REG		309,075	
150 000	PVTPL HARRAHS OPER INC 7 125 DUE 06-01-2007 BEO		148,379	
395,000	PVTPL INTL FLAVORS & FRAGRANCES INC NT 144A		<b>-,</b>	
	6 45 DUE 05-15-2006 BEO		393,377	
75,000	PVTPL MERISTAR HOSPITALITY CORP SR NT 144A 9			
	DUE 01-15-2008 BEO		75,563	
675 000	PVTPL NORDBANKEN AB CAP CONTRIBUTION SECS		#00.0 <b>#</b> 0	
475 000	144A STEP 11-29-49 8 95 TO 11/09		709,979	
475,000	PVTPL NORTHROP GRUMMAN CORP DEB 144A 7 75 DUE 02-15-2031 BEO		466,222	
400,000	PVTPL PINNACLE I PARTNERS L P /PINNACLEI INC SR		400,222	
400,000	NT 144A 8 83 08-15-2004		409,408	
150,000	PVTPL PRIMEDIA INC SR NT 144A 8 875 DUE 05-15-2011		,	
•	BEO		139,500	
600,000	PVTPL QWEST CAP FDG INC NT 144A 7 25 DUE 02-15-			
	2011 BEO		593,856	
225,000	PVTPL SHOPPING CTR ASSOCS 7 625 BD DUE 05-15-2005		404404	
450,000	REG		226,181	
450,000	PVTPL ST GEORGE BK LTD SUB NT 144A 7 15 DUE 10-15- 2005 REG		460 104	
400,000	PVTPL WORLDCOM INC GA REMARKETABLE SECS-		460,184	
400,000	DRS 144A 7 375 01-15-2011 BEO		407,024	
775,000	PX ESCROW CORP SR SUB DISC NT STEP UP 2-1-06 0 TIL		207902	
. , , , , , , , ,	2-1-02 9 625 AFTER		286,750	
150,000	QUEST DIAGNOSTICS INC SR NT 6 75 DUE 07-12-2006		•	
	ВЕО		147,549	
150,000	RAYTHEON CO NT 8 2 DUE 03-01-2006 BEO		155,553	

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Face value or		
shares	Description	Market value
	Coporate Bonds (continued)	
50,000	RAYTHEON CO NT 8 3 DUE 03-01-2010 BEO	\$ 52,027
300,000	REP SVCS INC NT 7 125 DUE 05-15-2009 BEO	295,128
675,000	ROYAL BK SCOTLAND GROUP PLC 9 11 DUE 03-31-2049	
,-	BEO	746,078
195,000	ROYAL CARIBBEAN CRUISES LTD 8 125 DUE 07-28-2004	,
	REG	196,240
200,000	ROYAL CARIBBEAN CRUISES LTD SR NT 8 75 DUE 02-	
	02-2011 BEO	189,484
50.000	DOUGLOG DEDOCAN CONTROL TO OR AT CONTRETEDO	
50,000	ROYAL CARIBBEAN CRUISES LTD SR NT CONV ZERO	30.300
245.000	CPN DTD 5/18/01 0 05-18-21/05-18-06	20,300
245,000	ROYAL CARIBBEAN CRUISES LTD 7 0 SR NT DUE 10-15-	210.959
400,000	2007 BEO ROYAL KPN NV FORMERLY ROYAL PTT NEDERLAND	219,858
400,000	NV TO 07/06/1998 8 375 10-1-30	369,960
575,000	SAFEWAY INC 6 15 DUE 03-01-2006 BEO	· · · · · · · · · · · · · · · · · · ·
125,000	SALEM COMMUNICATIONS CORP 9 5 DUE 10-01-2007/10-	573,643
123,000	01-2002 REG	126,250
000,001	SCOTTS CO SR SUB NT 8 625 DUE 01-15-2009/01-15-2004	120,230
100,000	BEO	101,875
5,000,000	SEC CAP CORP 6 5 CONV DEB DUE 03-29-2016	6,414,460
425,000	SIMON PPTY GROUP LP NT DTD 01/18/2001 7 375 DUE 01-	0,717,700
.25,000	20-2006 BEO	431,154
450 000	SOUTHLAND CORP 1ST PRTY SR SUB 5 00 DEB DUE	101,10
	12-15 2003 REG	421,101
50,000	STA CASINOS INC 9 75 DUE 04-15-2007/	•
	04-15-2002 REG	51,500
50,000	STA CASINOS INC SR SUB NT 9 875 DUE 07-01-2010/	,
	07-01-2005 BEO	52,125
205,000	STAGECOACH HLDGS PLC STAGECOACH	
	HOLDINGSPLC CORP NT 8 625 DUE 11-15-2009 BEO	187,081
400,000	STORA ENSO CORP NT 7 375 DUE 05-15-2011 BEO	405,168
375,000	SUNTRUST BKS INC 7 75 DUE05-01-2010 BEO	399,626
350,000	TELE COMMUNICATIONS INC 9 80 BD DUE 2-1-2012 REG	410,330
150,000	TELEFONICA EUROPE B V U S NT 8 25 DUE 09-15-2030	
	BEO	157,494
375,000	TELUS CORP 8 00000 05/30/01 06/01/11 8 DUE 06-01-2011	
	BEO	382,695
80,000	TEMBEC INDS INC GTD SR NT DTD 01/19/20018 5 DUE	01 200
125 000	02-01-2011 BEO	81,200
125,000	TENET HEALTHCARE CORP 7 875 DUE 01-15-2003 REG	126,875
300,000	TENET HEALTHCARE CORP 8 DUE 01-15-2005 REG	307,875
125,000	TENET HEALTHCARE CORP 8 625 SR NT DUE 12-01-2003	128,826
125,000	TOTAL RENAL CARE HLDGS INC SUB NT CONV 7 DUE	440.000
250.000	05-15-2009/11-15-2001 BEO	119,825
350,000	TRENWICK CAP TR 1 8 82 DUE 02-01-2037/08-08-1997 REG	280,000
200 000	TRICON GLOBAL RESTAURANTS INC 7 45 DUE 05-15-	107.000
	2005 REG	197,000

June 30, 2001				
Face value or	_			
shares	Description	<u>Mar</u>	ket value	
	Coporate Bonds (continued)			
75,000	TRICON GLOBAL RESTAURANTS INC 8 875 DUE 04-15- 2011 BEO	\$	76,688	
700,000	TRW INC NT 6 625 DUE 06-01-2004 BEO		706,888	
250,000	TYCO INTL GROUP S A 6 75 DUE 02-15-2011 BEO		247,738	
625,000	TYCO INTL GROUP S A GTD NT 6 125 DUE 01-15-2009		,	
,	BEO		600,456	
75,000	U S BANCORP MEDIUM TERM NTS- BOOK ENTRY TR 00125 6 875 DUE 12-01-2004		77,516	
225,000	UN PAC RES GROUP INC DEB 7 95 DUE 04-15-2029 BEO		240,536	
225,000	UN PLANTERS CORP SUB NT 7 75 DUE 03-01-2011 BEO		231,604	
375,000	VIACOM INC NT 7 7 DUE 07-30 2010 BEO		396,810	
150,000	VIACOM INC SR NT 6 4 DUE 01-30-2006 BEO PUT		152,381	
550,000	WASTE MGMT INC DEL SR NT 6 875 DUE 05-15-2009 BEO		535,530	
350,000	WASTE MGMT INC DEL WMI 7 375 DUE 08-01-2010 BEO		350,525	
225,000	WELLS FARGO BK NA SAN FRAN CAL WELLS FARGO		,	
•	BK N A 7 55 DUE 06-21-2010 BEO		238,066	
325,000	WELLS FARGO BK NA SAN FRAN CAL WELLS FARGO			
	BK NA 6 45 DUE 02-01-2011 BEO		319,777	
200,000	WILLIAMS COMMUNICATIONS GROUP INC US\$ SRNT		<b>73</b> 000	
200.000	11 7 DUE 08-01-2008 BEO		82,000	
200 000	WMX TECHNOLOGIES INC 7 1 SR NTS DUE 08-01-2026 PUTTABLE 8-1-2003 @ PAR **PUT		203,522	
425,000	WORLDCOM INC GA NEW 7 50000 05/16/01 05/15/11 7 5		203,322	
425,000	DUE 05-15-2011 BEO		413,474	
400,000	WORLDCOM INC GA NEW 8 25000 05/16/01 05/15/31 8 25		,	
	DUE 05-15-2031 BEO		393,252	
	Total Corporate Bonds	14	6,071,094	
	Government Obligations:			
1,100,000 000	ADVANTA MTG LN TR 1999-2 AST BKD CTF CL A-3 6 44		0 - 0 -	
250 200 200	DUE 05-25-2029 BEO		1,110,505	
950,000 000	CAP AUTO RECEIVABLES AST TR 2001-2 AST BACKED		047 770	
850,000 000	NT CL A-3 4 6 DUE 09-15-2005 BEO DAIMLERCHRYSLER AUTO TR 2001-A AST BKD NT CL		947,328	
830,000 000	A-3 5 16 DUE 01-06-2005 BEO		855,695	
423,060 120	FDIC REMIC TR SER 1994-C1 CL II-C COML MTG		055,075	
423,000 120	PASSTHRU 8 45 CTF DUE 09-25-2025 REG		425,852	
425,000 000	GREEN TREE FINL CORP 98-8 MFD SR/SUB PASSTHRU		·- ,	
	CTF M-1 6 98 DUE 09-01-2029 BEO		394,319	
1,066,000 000	MTG CAP FDG INC SER 1996-MC-1 CL C 7 8 MTG			
	PASSTHRU CTF DUE 04-15-2006 BEO		1,127,956	
61,137 960	MFO GMO DOMESTIC BD FD III		607,100	
144,656 270	MFO GMO TR US BD/GLOBAL ALPHA A FD CL III		1,485,620	

June 30 2001		
Face value or		
shares	Description	Market value
	Government Obligations (continued).	
8,100,000 000	US TREAS BDS DTD 02/15/2000 6 25 DUE 05-15-2030 BEO	8,593,614
1,025,000 000	US TREAS NTS 6 75 DUE 05-15-2005 REG	1,091,287
4,300,000 000	US TREAS NTS DTD 00880 5 125 DUE 12-31-2002 REG	4,360,888
720,000 000		736,762
75,000 000	UTD MEXICAN STATES BD 10 375 DUE 02-17-2009 BEO	04 174
648,756 030	ISIN #US593048BG58	84,174 652,992
3,000,300 000		3,028,428
417,508 500		441,904
	FNMA POOL #323348 8 5 DUE 06-01-2012 REG	· · · · · · · · · · · · · · · · · · ·
1,688,902 300	FNMA POOL #535533 8 DUE 10-01-2030 REG	1,743,353
120,629 800	FNMA POOL #563869 8 DUE 01-01-2031 REG	124,519
275,027 000 276,415 100		277,605
270,413 100	8% COML PASS THRU CTF 4-25-25	274,367
500,000 000		
,	BEO	510,575
2,300,000 000	FNMA DISC NT 07-18-2001	2,295,561
100,000 000	FNMA DISC NT 09-13-2001	99,255
3,557,000	GMO MARKET NEUTRAL FUND ONSHORE, LP	3,866,478
5,346,528	GMO US AGGR LONG/SHORT FD ONSHORE, LP	6,622,873
	Total Government Obligations	41,759,010
	Other Investments:	
	BRAZIL C SER 20 YR 8 DUE 04-15-2014/04-15-1999 REG	92,368
105,000 000	BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM	
	005147271	82,950
185,000 000	MEXICO-UNITED MEXICAN STS GLOBAL BD 8 125 DUE	
	12-30-2019 BEO	174,381
75,000 000	PHILIPPINES REP GLOBAL BD DTD 03/16/2000 10 625 DUE	
	03-16-2025 BEO	68,250
113,157 000	REP PANAMA PDI FLTG RT DEB DUE 07-17-2016 S/F ISIN	
	#XS0067928712	94,062
225,000 000	RUSSIAN FEDN EURO BD 5 DUE 03-31-2030 BEO	
	#XS0114288789 512473U	106,875
166	DISCOVERY II 90 SER 1 CORP CL B 🗶	14,497
165	DISCOVERY II 90 SER 2 CORP CL B 🛨	1,672
18	LP DISCOVERY II 90 SER 1 ★	218,304
301,851	LP DISCOVERY II 90 SER 2 ★	127,923
1,720,000	ENDOWMENT PRIVATE EQUITY PARTNERS IV *	962,459
2,100,000	ENDOWMENT VENTURE PARTNERS V <b>≭</b>	1,586,867
60,000	COMMONFUND CAPITAL INTERNATIONAL PARTNERS	
	IV 🛪	16,431
37,500,000	FARAI LON CAPITAL INSTITUTIONAL PARTNERS, L P 🤻	64,250,363
3,870,747	TIFF PARTNERS III *	3,625,854
11,041,957	TIFF PARTNERS I *	12,737,289
4.499.264	VEGA PARTNERS III 🖈	4,215,074

ce value or shares	Description	Market value
544	Rights and Warrants: PVTPL GT GROUP TELECOM IN WTS 144AISIN US	
	3623591019	10,880
	Total Other Investments:	88,461,435
	Grand Total	\$636,197,730

### 2000 Summary of Estimated Tax Payments and Overpayments

Part VI, Line 6(a)	Tax Payments & Overpayments_
1999 Overpayment	465,265
11/15/00	1,000
12/15/00	200,000
03/15/01	400,000
06/15/0 <b>1</b>	50,000
Total Tax Payments and Overpayments	1,116,265 To Part VI Line 6(a)

#### Fair Market Value of Real Property-Part II, Line 11

Asset	Basis	Fair Market Value
Mineral Rights and/or Rights of Repurchase n Minnesota Lands	0	0
45% Undivided Interest in the following per deeds dated 10/14/77	0	0
Parcel #12 On lands in Cook County Minnesota	0	0
Parcel #13 On lands in St Louis County, Minnesota	0	0
Parcel #14 On lands in Itasca County, Minnesota	0	0
Parcel #15 On lands in Cass County, Minnesota	0	0
Parcel #20 On lands in Crow Wing County, Minnesota	0	0
Parcel #21 On lands in Cass County, Minnesota	0	0
Parcel #22 On lands in Cook County, Minnesota	0	0

### Fair Market Value of Real Property-Part II, Line 11

Parcel #23 On lands in St Louis County, Minnesota	0	0
Parcel #24 On lands in Lake County,		
Minnesota	0	0
Parcel #28 On lands in Aitken County, Minnesota, known as		
Troy O Shawmut Property containing 3,264.77 acres	0	0
Parcel #29 On lands in Crown Wing County, Minnesota	0	0
Parcel #30 On lands in Crown Wing County, Minnesota	0	0
Parcel #31 On lans in Cass County, Minnesota	0	0
Total	0	0

#### Fair Market Value of Other Assets Part X, Line 1c

Description	FMV Value at
Description	End of Yr
Real Property	
Mineral Rights and/or Rights of	
Repurchase in Minnesota Lands	0
nopulonado in ministrola Lando	•
Rights_and Warrants	
PVTPL GT Group Telecom in WTS	
144AISIN 3623591019	10,880
	. 5,555
Common Stock of	
JEA Chittitu Creek Corp (*)	0
JEA Plymouth Corp (*)	816,037
,	2.5,52
Bonds of	
JEA Plymouth Corp (*)	418,500
Partnership Interests	
Commonfund Capital Inter'l Partners IV	4,772 **
Discovery Fund II '90 Series 1 (*)	232,801
Discovery Fund II '90 Series 2 (*)	129,595
Endowment Private Equity Partners IV (*)	962,459
Endowment Venture Partners V (*)	1,586,867
Vega Partners III LP (*)	4,215,074
Farallon Capital Institutional Partners, L.P. (*)	64,250,363
TIFF Partners I, LLC (*)	12,737,289
TIFF Partners III, LLC (*)	3,625,854_
T-4-1-	00 000 404
Totals	88,990,491

<sup>(\*)</sup> Not publicly traded

<sup>(\*\*)</sup> Reflect \$16,431 FMV Commonfund Capital International Partners IV x 106 days held / 365

Periodic Report by Julia Dyckman Andrus Memorial, Inc.

Surdna Foundation, Inc.

EIN: 13-6108163

Form 990-PF

June 30, 2001

(Pursuant to expenditure responsibility requirement of IRC Section 4942(g)(3)(B))

- Julia Dyckman Andrus Memorial, Inc ("JDAM"), 1156 North Broadway, Yonkers, NY 10701, operates a children's home and is classified by the Internal Revenue Service as a public charity
- BDO Seidman, LLP, Certified Public Accountants, rendered an unqualified opinion on the financial statements of JDAM for the year ended June 30, 2000
- 3. Form 990-PF filed by JDAM for the year ended June 30, 2000 constitutes a full and detailed report to the Internal Revenue Service with respects to its expenditures
- During the year ended June 30, 2000 JDAM received grants from Surdna aggregating \$500,000 for general support purposes and \$6,000 for restricted support purposes
- JDAM submitted to Surdna an expenditure responsibility statement under IRC Section 4942 (g)(3)(B) for the year ended June 30, 2000, prepared by BDO Seidman, LLP, certifying that JDAM made qualifying distributions equal to the \$506,000 contributed by Surdna to JDAM and that such qualifying distributions would be treated as distributions out of corpus under IRC Section 4942(h) if JDAM were a private non-operating foundation

JULIA DYCKMAN ANDRUS MEMORIA

By.

Title

Date

2/14/02

Rider to Form 990-PF Surdna Foundation, Inc. EIN: 13-6108163 Form 990-PF June 30, 2001

(Pursuant to expenditure responsibility requirement of IRC Section 4942(g)(3)(B))

- To the knowledge of the foundation managers for Surdna Foundation, Inc, no portion of the funds granted to Julia Dyckman Andrus Memorial, Inc, a public charity, has been diverted by Julia Dyckman Andrus Memorial, Inc, from the purpose of the grant
- Some of the Directors of Surdna Foundation, Inc. are also Directors of Julia Dyckman Andrus Memorial, Inc.
- The periodic report on expenditures of grant funds submitted by Julia Dyckman Andrus Memorial, Inc., is attached hereto and incorporated herein

SURDNA FOUNDATION/INC

By.

Title

Date

2/14/02

# OUR APPROACH TO GRANTMAKING

We are interested in fostering catalytic, entrepreneurial programs that offer viable solutions to difficult systemic problems

We seek high-quality, direct-service programs that advance our philanthropic goals

We enjoy collaboration with our grantees and seek to work with them in supportive, collegial relationships

We recognize the importance of collaborating with other foundations to build joint, diverse programs and to leverage our funding with that of other foundations, corporations, and governmental bodies

We make both project and general support grants We do not generally fund individuals, capital campaigns, building construction, or projects that are internationally based or focused

#### We are committed

- To respecting those seeking grants by demonstrating promptness, courtesy, responsiveness, and objectivity in assessing how their grant requests meet our philanthropic goals
- To communicating honestly and directly with those seeking support.
- To demonstrating both accountability to and support for our grantees after a grant is made

#### SURDNA FOUNDATION, INC 330 MADISON AVENUE, 30th FLOOR HEW YORK, NEW YORK 10017-5001 FORM 940 PF - June 30, 2001

FD科 13-6108163

# GRANT APPLICATION PROCEDURES

We strongly recommend that prospective applicants submit the following in the form of a letter of inquiry before a full proposal is sent

- Information about the organization's purposes and specific activities
- A brief description of the program for which funds are being sought, including the time period to be covered
- The principal outcome(s) expected.
- Budgets for the program for which funds are sought and for the organization
- The amount being requested of the Foundation
- Funding received to date for the program, as well as sources from which funding is being sought
- The names and qualifications of the key personnel who will be responsible for the program
- The most recent audited financial statements of the organization and its operating subsidiaries, if any
- IRS certification of 501(c)(3) status of the organization
- E-mail address for contact person at the organization

We do not generally fund individuals, capital campaigns, building construction, or projects that are internationally based or focused

Upon receipt of the letter of inquiry, we will issue a notification of our 90-day consideration period. During that time we will make every effort to respond promptly and cordially to the request. We will work in collaboration with applicants and will move as expeditiously as possible to achieve our mutual goals.

Organizations that have received funding from Surdna may apply for continued support. If you intend to apply for additional support, you must inform us in writing within 8 months of the receipt of your grant. Please note that organizations are eligible for a maximum of three consecutive years of funding

### Correspondence should be addressed to:

Edward Skloot, Executive Director Surdna Foundation, Inc 330 Madison Avenue, 30th Floor New York, New York 10017-5001 E-mail request@surdna org

#### Surdna Foundation, Inc. Form 990PF ID#: 13-6108163 Grantee Addresses

July 1, 2000 through June 30,2001

1000 Friends of Florida Mr Charles Pattison P O Box 5948 Tallahassee, FL 32314-5948

52nd Street Project Ms Carol Ochs 500 West 52nd Street, 2nd Floor New York, NY 10019

Acre Family Day Care Ms Kristen Wesloh 14 Kirk Street Lowell, MA 08154

Alaska Conservation Foundation Ms. Deborah L Williams 441 West 5th Avenue, Suite 402 Anchorage, AK 99501-2340

Alliance of Redding Museums Ms Judith Lalouche 800 Auditorium Drive Redding, CA 96001

Alternatives In Action Ms Leslie Medine 2750 Todd Street Alameda, CA 94501

American Farmland Trust Mr. Ralph Grossi 1200 18th Street, N W Suite 800 Washington, DC 20036 1000 Friends of New Mexico Mr Ned Farquhar 1001 Marquette Ave, NW Albuquerque, NM 87102-1937

79th Street Corridor Neighborhood Initiative, Inc. Mr. Oliver Gross c/o John Little, Esquire Legal Services of Greater Miami 3000 Biscayne Boulevard, Suite 500 Miami, FL 33137

Addison Gallery of American Art-Phillips Academy Mr Adam Weinberg Phillips Academy Andover, MA 01810

Alliance For Justice Nan Aron 2000 P Street, N W, Suite 712 Washington, DC 20036

Alternatives for Community & Environment Penn Loh Offices at Boston College Law School 885 Centre Street Newton, MA 02159

Alvin Ailey Dance Theater Foundation Ms Sharon Luckman Dance Theatre Foundation 211 West 61st Street New York, NY 10023

American Forum Ms Denice Zeck National Press Building, Suite 840 529 14th Street NW Washington, DC 20045

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American Friends of the Royal Court Theatre Mr Harry Brown P O Box 5042 New York, NY 10185

American Oceans Campaign Ms Barbara Jeanne Polo 600 Pennsylvania Avenue SE, Suite 210 Washington, DC 20003

Americans for Equitable Climate Solutions Mr Rafe Pomerance 1828 L Street, N W, Suite 1000 Washington, DC 20036

Aquinas Housing Corporation Mr Michael Brennan 1912 Crontona Parkway Bronx, NY 10460

Artists for Humanity Ms Susan Rodgerson 288-300 A Street Boston, MA 02210

Aspen Music Festival and School Mr Robert Harth 2 Music School Road Aspen, CO 81611

Audubon Society of New Hampshire Mr. Richard Cook 3 Silk Farm Road Concord, NH 03301 American Museum of Natural History Ms Trudy Hayden Central Park West at 79th Street New York, NY 10024-5192

American Rivers Mr Kevin Coyle 1025 Vermont Avenue, NW Suite 720 Washington, DC 20005

Appalachian Mountain Club Mr Andrew J Falender 5 Joy Street Boston, MA 02108

Armory Center for the Arts Ms Elisa Greben Crystal 145 North Raymond Avenue Pasadena, CA 91103

Arts Teachers Fellowship Program\*
\*Fellows listed at bottom

Association For Neighborhood & Housing Development, Inc
Ms Irene M Baldwin
305 Seventh Avenue, Suite 2001
New York, NY 10001-6008

Ballet Hispanico of New York, Inc Ms Jill Hellman 167 West 89th Street New York, NY 10024 Ballet Tech Mr Eliot Feld Lawrence A Wien Center for Dance & Theater 890 Broadway New York, NY 10003

Baltimore Symphony Orchestra Ms Sarah Angerer Josephy Meyerhoff Symphony Hall 1212 Cathedral Street Baltimore, MD 21201-5545

Beaverkill Conservancy Mr. Christopher Elliman 666 Broadway, 9th Floor New York, NY 10012-2317

Berkshire Farm Center & Services For Youth Mrs Rose Washington 13640 Route 22 Canaan, NY 12029-9711

Biodiversity Project Ms. Cindy Coffin 214 N Henry Street Suite 201 Madison, WI 53703

Bloomingdale School of Music Mr Lawrence Davis 323 West 108th St New York, NY 10025

Boston University Tanglewood Institute Mr Raymond Jalette 19 Deerfield Street Boston, MA 02215 Baltimore School for the Arts M Leslie Shepard 712 Cathedral Street Baltimore, MD 21201

Bay Area Video Coalition Ms Sally Jo Fifer 2727 Manposa St, 2nd Floor San Francisco, CA 94110

Benton Foundation Ms Karen Menichelli 950 18th Street, NW Washington, DC 20006

Berkshire Farm Center & Services For Youth Mrs Rose Washington 13640 Route 22 Canaan, NY 12029-9711

Blake School Mr Tyler C Tingley Northrop Campus 511 Kenwood Parkway Minneapolis, MN 55403

Boston Aging Concerns-Young and Old United Ms Ann Silverman 67 Newberry Street Boston, MA 02116

Boys Choir of Tallahassee Ms Dianne Montgomery 225 University Center Building C Suite 3100 Tallahassee, FL 32306

23 (3 of 28)

Brevard Music Center Gary Himes P O Box 312 Brevard, NC 28712

Bridge Street Development Corporation Mr. Colvin W Grannum 266 Stuyvesant Avenue Brooklyn, NY 11221

Broad-Park Development Corporation Mr Romulo Samaniego 617 Park Street Hartford, CT 06106

Brooklyn Academy of Music Ms Karen Brooks Hopkins 30 Lafayetta Avenue Brooklyn, NY 11217

Brooks School
Ms Katherine B Hardy
1160 Great Pond Road
North Andover, MA 01845-1298

C A S E L - University of Illinois f Department of Psychology (MC 285), 1009 Behavioral Sciences Building, 10007 East Harrison Street Chicago, IL 60607-7137

California Institute of Integral Studies Robert McDermott 1453 Mission Street San Francisco, CA 94103 Bridge Group, The Mr. Jeffrey L Bradach c/o Bain & Company Two Copley Place Boston, MA 02116

Broad-Park Development Corporation Mr Romulo Samaniego 617 Park Street Hartford, CT 06106

Brookings Institution, The Mr Bruce Katz 1775 Massachusetts Avenue N W Washington, DC 20036

Brooklyn Youth Chorus Ms Ann Scott Kone 138A Court Street Brooklyn, NY 11201-6209

Brown University Ms Elizabeth Hollander 164 Angell St Providence, RI 02912

C A S E L - University of Illinois Roger P. Weissberg, Ph D Department of Psychology (MC 285), 1009 Behavioral Sciences Building, 10007 East Harrison Street Chicago, IL 60607-7137

California Institute of the Arts (CalArts) Mr Jeffrey H James 24700 McBean Parkway Valencia, CA 91355-2397 California State University Monterey Bay Ms Kristine Edmunds Service Learning Institute Administrative Offices 100 Campus Center, Bldg 46A Seaside, CA 93955

Campaign For Fiscal Equity, Inc Mr Michael A Rebell 6 East 43rd Street New York, NY 10017

Cape Cod Commercial Hook Fishermen's Association Mr Paul Parker 210 Orleans Road North Chatham, MA 02650 Cape Cod Commercial Hook Fishermen's Association Mr Paul Parker 210 Orleans Road North Chatham, MA 02650

Carnegie Foundation For The Advancement Of Teaching Ms Ann Fitzgerald 555 Middle Road Menlo Park, CA 94025

Catholic Charities-Brooklyn and Queens Mr Thomas A DeStefano 191 Joralemon Street Brooklyn, NY 11201

Catholic Charities-Brooklyn and Queens Ms Joan M Murphy 191 Joralemon Street Brooklyn, NY 11201 Center for a New American Dream Ms Betsy Taylor 6930 Carroll Avenue, Suite 900 Takoma Park, MD 20912

Center for Clean Air Policy Mr Ned Helme 750 First Street, NE, Suite 1140 Washington, DC 20002

Center for Community Self-Help Mr Dan Broun 301 W Main Street Durham, NC 27701

Center for Constitutional Rights Mr Ron Daniels 666 Broadway New York, NY 10012 Center for Cultural Exchange Ms Phyllis O'Neill One Longfellow Square Portland, ME 04101

Center for Effective Philanthropy Mr Mark Kramer 20 Park Plaza Suite 1125 Boston, MA 02116 Center for Marine Conservation Roger E McManus 1725 DeSales Street, NW Suite 500 Washington, DC 20036

23 (5 of 28)

Center for Media Education Dr Kathryn Montgomery, Ph D 2120 L St, NW, Suite 200 Washington, DC 20037

Center for Public Interest Research M Margie Alt 29 Temple Place Boston, MA 02111

Center for Teen Empowerment Stanley Pollack 48 Rutland Street Boston, MA 02118

Center for Youth as Resources Marilyn Bassett 1000 Connecticut Avenue, NW, 12th Floor Washington, DC 20036

Central Synagogue Livia Thompson 122 East 55th Street New York, NY 10022

Changemakers
John L. Levy
Presidio Building 1009
San Francisco, CA 94129-0150

Chicago Children's Choir Ms Nancy Carstedt Chicago Cultural Center 78 East Washington Street Chicago, IL 60602 Center for Neighborhood Technology-Institution for Location Efficiency Rev Joan M Dehzad 1730 Clifotn Place, Suite A-1 Minneapolis, MN 55403-3242

Center for Resource Solutions
Mr Jan Hamrin
P O Box 29512, Presidio Building 49
San Francisco, CA 94129

Center for Victims of Torture Mr Douglas A Johnson 717 East River Road Minneapolis, MN 55455

Center of Contemporary Arts Ms Stephanie Riven 524 Trinity Ave University City, MO 63130

Certified Forest Products Council Mr David Ford 14780 S W Osprey Drive Suite 285 Beaverton, OR 97007-8424

Chesapeake Bay Foundation Mr William C. Baker 6 Herndon Avenue Annapolis, MD 21403

Child Care Council of Westchester Ms Lottie Harris 470 Mamaroneck Avenue White Plains, NY 10605 Child Care, Inc Ms Nancy Kolben 275 Seventh Avenue New York, NY 10001

Citizen Schools Mr Eric Schwarz 308 Congress Street 5th Floor Boston, MA 02210-1027

City Cares Ms Michelle Nunn 1605 Peachtree Street Suite 100 Atlanta, GA 30309

Citykids Foundation Ms Elizabeth Sak 57 Leonard Street New York, NY 10013

Clean Energy Group Mr Lewis Milford 50 State Street Montpelier, VT 05602

Cleveland School of the Arts Mr Michael Starinsky 2064 Streans Road Cleveland, OH 44106

Coastal Conservation Association of Florida Ted Forsgren 905 East Park Ave Talahassee, FL 32301 Children's Defense Fund Ms Susanne Martinez 25 E Street, N W Washington, DC 20001

Citizens Planning and Housing Association Ms Terri L Turner 218 West Saratoga Street Fourth Floor Baltimore, MD 21201

City Year Michael Brown 285 Columbus Avenue Boston, MA 02116

Clark Atlanta University Dr Robert Bullard Environmental Justice Center 223 James P Brawley Drive, SW Atlanta, GA 30314-4391

Cleveland Housing Network, Inc Mr Robert S Curry 2999 Payne Avenue Suite 306 Cleveland, OH 44114

Coalition for Utah's Future Mr Stephen Holbrook 2185 South 3600 West Salt Lake City, UT 84119

Coastal Enterprises, Inc Ronald L Phillips 36 Water Street, P O Box 268 Wiscasset, ME 04578 Collegiate School Ms Jill Lloyd 370 West End Avenue New York, NY 10024

Colorado Public Interest Research Foundation Rich McClintock 1724 Gilpin Street Denver, CO 80218

Community Builders Mr Patrick M Costigan The Sunderland Building 1320 19th Street, NW, Suite 420 Washington, DC 20036

Community Foundation of Napa Valley Ms Nicole Suard, Esq. 433 Soscol Avenue Napa, CA 94559

Community Law Center Ms Lauren Abramson Johns Hopkins University 624 N Broadway, 8th Floor Baltimore, MD 21205

Community Training And Resource Center Mr Kevin Ryan 90 William Street New York, NY 10038

Concert Competition and Musical Development, Inc Mr Aaron Dworkin 3319 Greenfield Rd, #705 Dearborn, MI 48120-1212 Colorado Open Lands Mr Daniel Pike 274 Union Blvd Suite 320 Lakewood, CO 80228

Common Ground Ms Rosanne Haggerty 255 W 43rd Street New York, NY 10036-3917

Community Catalyst Mr Robert Restuccia 30 Winter Street Boston, MA 02108

Community IMPACT! Mr Greg Taylor 1815 H Street Suite 700 Washington, DC 20006

Community Rights Counsel Mr Doug Kendall 1726 M St NW Suite 703 Washington, DC 20036

Compumentor
Mr Daniel Ben-Horin
487 Third Street
San Francisco, CA 94107

Congress for the New Urbanism Ms Shelley R. Poticha The Hearst Building 5 Third Street, Suite 725 San Francisco, CA 94103 Connecticut Fund for the Environment Donald S Strait 205 Whitney Avenue, 1st Floor New Haven, CT 06511

Conservation Law Foundation Mr Douglas I Foy 62 Summer Street Boston, MA 02110

Consumer's Choice Council Mr Chad Dobson 2000 P Street NW, Suite 540 Washington, DC 20036

Cooper Union for the Advancement of Science & Art Ms Ronni Denes Cooper Square New York, NY 10003-7120

Corporation for Supportive Housing Ms Carla Javits 50 Broadway 17th Floor New York, NY 10004

Council on the Environment of New York City Ms Lys McLaughlin 51 Chambers Street Room 228 New York, NY 10007

Dance Institute of Washington Fabian Barnes 128 M Street, NW Washington, DC 20001 Conservation Fund Mr Edward T McMahon 1800 North Kent Street Suite 1120 Arlington, VA 22209-2156

Constitutional Rights Foundation Mr Todd Clark 601 South Kingsley Drive Los Angeles, CA 90005

Consumers Union Ms Elizabeth Imholz 1535 Mission Street San Francisco, CA 94103

Corcoran Gallery of Art Mr. David Levy 500 17th Street, N.W. Washington, DC 20006-4804

Council of Better Business Bureaus' Foundation Ms Candace McIlhenny 4200 Wilson Boulevard Arlington, VA 22203-1804

Creative Capital Ms. Nancy Scerbo 65 Bleeker Street, 7th Floor New York, NY 10012

DataCenter
Ms Catherine Powell
1904 Franklin Street, Suite 900
Oakland, CA 94612

Defenders of Wildlife Mr Rodger Schlickeisen 1101 Fourteenth Street, NW Ste 1400 Washington, DC 20005

Development Training Institute Ms Carol Gallant 2510 St Paul Street Baltimore, MD 21218

Dorchester Bay Economic Development Corp Ms Jeanne DuBois 594 Columbia Road, Suite 302 Dorchester, MA 02125

Down East Partnership for Children Ms Hennetta Zalkind P O Box 1245 215 Lexington Avenue Rocky Mount, NC 27802

East Bay Asian Youth Center Mr David Kak-shiba 2025 East 12th Street Oaland, CA 94606

East Bay Community Foundation Mr Edward Church 200 Frank Ogawa Plaza Oakland, CA 94612

East Harlem School at Exodus House Mr Hans Hageman 309 East 103rd Street New York, NY 10029 Development Corporation of Columbia Heights Mr Robert L Moore 3419 14th Street, Northwest Washington, DC 20010

Developmental Studies Center Eric Schaps, Ph D 2000 Embarcadero Suite 305 Oakland, CA 94606

Dover Sherborn Regional Schools Mr Sheldon H Berman, Ed D 137 Farm Street Dover, MA 02030

Duke University-Nicholas School for the Environment Ms Peggy Glen P O Box 90328 Durham, NC 27708-0328

East Bay Center for the Performing Arts Mr Jordan Simmons 339 Eleventh STreet Richmond, CA 94801

East Bay Conservation Corps Joanna L Lennon 1021 Third Street Oakland, CA 94607

Eastman School of Music Mr James Undercofler University of Rochester 26 Gibbs St Rochester, NY 14604

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Educational Video Center Mr Steve Goodman 120 West 30th Street 7th Floor New York, NY 10001

Egrants org
Mr Dan Geiger
P O Box 29256
Presidio Building 1014
San Francisco, CA 94129

Ella Baker Center For Human Rights Mr Anthony K 'Van' Jones, Esq. 1230 Market Street PMB409 San Francisco, CA 94102

Enterprise Foundation Mr Bart Harvey 10227 Wincopin Circle Suite 500 Columbia, MD 21044

Environmental Law & Policy Center Howard A Learner of the Midwest 203 North LaSalle Street Suite 1390 Chicago, IL 60601

Environmental Leadership Program Mr Paul Sabin 649 Massachusetts Avenue Suite 8 Cambridge, MA 02139

Environmental Support Center Jim Abernathy 1500 Massachusetts Ave, NW Suite 25 Washington, DC 20005-1808 Educators for Social Responsibility Ms Elaine Lamy 23 Garden St Cambridge, MA 02138

Elaine Kaufman Cultural Center Ms Lydia Kontos Abraham Goodman House 129 West 67th Street New York, NY 10023

Enterprise Child Care Ms Becky Shine 1020 SW Taylor Street, Suite 800 Portland, OR 97205

Environmental Defense Mr Fred Krupp 257 Park Avenue South New York, NY 10010

Environmental Law Institute Jessica Bennett 1616 P Street N.W. Washington, DC 20036

Environmental Media Services Mr Chris DeCardy 1320 18th St., NW, Suite 500 Washington, DC 20036

Esperanza Center
Ms Graciela Sanchez
922 San Pedro
San Antonio, TX 78212

Essential Information, Inc Ms Beverly Orr P O Box 19405 Washington, DC 20036

Family Services Woodfield Mr Brian J Langdon 475 Clinton Avenue Bridgeport, CT 06605

Fifth Avenue Committee, Inc Mr Glenn Rubenstein 141 Fifth Avenue Brooklyn, NY 11217

Forest Stewardship Council - US Jamison Ervin 1155 30th St, Suite 300 Washington, DC 20007

Forest Trust Mr Rob Dryden P O Box 519 Santa Fe, NM 87504

Friends of Acadia Mr W Kent Olson 45 Cottage Street P O Box 45 Bar Harbor, ME 04609

Fund for the City of New York Mr John Feinblatt c/o Center for Court Innovation 520 Eighth Ave, 18th Floor New York, NY 10018 Ethical Culture Fieldston School Ms Claudette Donlon 33 Central Park West New York, NY 10023

Fenway Community Development Corporation Carl Koechlin 73 Hemenway Street Boston, MA 02115

Florida State University - Center for Aquatic Research and Resource Management Mr Robert J. Livingston 136-B Conradi Building Florida State University Tallahassee, FL 32306

Forest Stewardship Council - US Mr Henry A Cauley 1155 30th St, Suite 300 Washington, DC 20007

Foundation Center Ms Sara Engelhardt 79 Fifth Avenue New York, NY 10003-3076

Fund for Independent Publishing-The New Press Mr Andre Schiffin 450 West 41st Street 6th Floor New York, NY 10036

Funders' Collaborative On Youth Organizing Ms Vera Miao 260 Fifth Avenue, Suite 701 New York, NY 10001

23 (12 of 28)

Funders' Network for Smart Growth and Livable Communities Mr L Benjamin Starrett Collins Center for Public Policy, Inc 150 S E 2nd Avenue, Suite 709 Miami, FL 33131

Genesis Jobs, Inc Ms Emily C Thayer 2629 Huntingdon Avenue Baltimore, MD 21211

Global Action Project, Inc (GAP)
Ms Diana Coryat
4 West 37th Street
2nd Floor
New York, NY 10018

Governor's School for the Arts Ms Virginia Thumm Old Dominin University Norfolk, VA 23529

Grand Canyon Trust Mr Geoffrey S Barnard 'The Homestead' 2601 N Fort Valley Road Flagstaff, AZ 86001

Great American Station Foundation Mr Hank Dittmar 615 East Lincoln Avenue Las Vegas, NM 87701

Greater Minneapolis Council of Churches - Division of Indian Work Ms Noya Woodrich 1001 East Lake Street PO Box 7509 Minneapolis, MN 55407 Gay, Lesbian & Straight Education Network Mr Kevin Jennings 121 West 27th Street, Suite 804 New York, NY 10001

George D Aiken Resource and Conservation Development Council Mr Dennis Borchardt HC 67, Box 17A Randolph, VT 05060

Global Forest Foundation Mr Dirk Bryant c/o WRI 10 G Street, NW, Suite 800 Washington, DC 20002

Graduate School & University Center/CUNY Ms Holly Fisher 365 Fifth Avenue New York, NY 10016-4309

Grantmaker Forum on Community & National Service Jill Blair 2550 9th Street, Suite 113 Berkeley, CA 94710

Greater Hartford Arts Council Kenneth Kahn 45 Pratt Street, Second Floor PO Box 231436 Hartford, CT 06123

Greater Philadelphia Urban Affairs Coalition Jane Golden c/o Jane Golden PDR Mural Arts Program 1515 Arch St, 10th Floor Philadelphia, PA 19102

23 (13 of 28)

Guadalupe Cultural Arts Center Ms Maria Elena Torralva-Alonso 1300 Guadalupe Street San Antonio, TX 78207

H T Dance Company Mr H.T. Chen 70 Mulberry Street 2nd Floor New York, NY 10013

Hartford Neighborhood Development Support Collaborative Jack Kadzik C/o Local Initiatives Support Corporation 81 Wethersfield Avenue Hartford, CT 06114

Headlands Center for the Arts Ms Kathryn Reasoner 944 Fort Barry Sausalito, CA 94965

Highbridge Unity Center Mr Jorge Batista 1465 Nelson Avenue Bronx, NY 10452

Historic District Development Corporation M Mtamanika Youngblood 522 Auburn Avenue NE Atlanta, GA 30312

Housing Partnership Network Mr Thomas A Bledsoe 160 State Street, 5th Floor Boston, MA 02109 Guthrie Theater Mr Joe Dowling Vineland Place Minneapolis, MN 55403

Habitat for Humanity - New York City Mr Roland Lewis 334 Furman Street Brooklyn, NY 11201

Haystack Mountain School of Crafts Stuart Kestenbaum P O Box 518 Deer Isle, ME 04627

Health Education Resource Organization Andrew A Barasda, Jr 1734 Maryland Avenye Baltimore, MD 21201

Highlander Research And Education Center Ms. Tami Newman 1959 Highlander Way New Market, TN 37820

Hope Community Mr Mark Alexander 174 E 104th Street New York, NY 10029

ICA Group Mr James D Megson 1 Harvard Street Suite 200 Brookline, MA 02445

23 (140 28)

Illinois Facilities Fund Ms Trinita Logue 300 West Adams Street Chicago, IL 60606

Independent Media Institute Mr Don Hazen 77 Federal Street San Francisco, CA 94107

Independent Sector Mr Tim Abercrombie 1200 18th Street, N W Suite 200 Washington, DC 20036

Institute for Agriculture & Trade Policy Mr Stephen Light 2105 First Ave South Minneapolis, MN 55404

Institute of Contemporary Art Ms Jill Medvedow 955 Boylston Street Boston, MA 02115-3194

International Game Fish Association Mike Leech 300 Gulf Stream Way Dania Beach, FL 33004

Isles Mr Martin Johnson 10 Wood Street Trenton, NJ 08618 ImpactOnline
Mr Jay Backstrand
385 Grove Street
San Francisco, CA 94102

Independent Sector Ms Sara E. Melendez 1200 18th Street, N W Suite 200 Washington, DC 20036

Indian River Land Trust Ms Janet Alfrod 350 U S Highway I Vero Beach, FL 32962-2906

Institute for Location Efficiency Mr Scott Bernstein 2125 West North Avenue Chicago, IL 60647

International Center of Photography Mr Phillip Block 1114 Avenue of the Americas at 43rd Street New York, NY 10036

Island Institute Mr Philip W. Conkling 386 Main Street PO Box 648 Rockland, ME 04841

Jacob's Pillow Dance Festival and School Ms Ella Baff P O Box 287 Lee, MA 01238

23 (15 of 28)

Jamaica Plain Neighborhood Development Corp. Ms Sarah Griffen 31 Germainia Street Jamaica Plain, MA 02130 Jane Addams Resource Corporation Mr Michael Buccitelli 4432 N. Ravenswood Avenue Chicago, IL 60640-5803

Jane Goodall Institute
Ms Jeanne McCarty
P O Box 14890
Silver Spring, MD 20911

Jazz at Lincoln Center Ms Laura Johnson 33 West 60th Street New York, NY 10023-7999

Jewish Family and Children's Services Amy Rassen The Minam Schultz Grunfeld Office Building 2150 Post Street San Francisco, CA 94115

Jones Harrison Home

Juilliard School Keith L Wiggs ex 610 60 Lincoln Center New York, NY 10023-6588 Julia Dyckman Andrus Memorial Mr Gary O Carman 1156 North Broadway Yonkers, NY 10701

Juma Ventures
Ms Kriss Deiglmeier
116 New Montomery Street, Suite 600
San Francisco, CA 94105

Kinhaven Music School Linda Yang 1704 Sycamore Street Bethlehem, PA 18017

Labor/Community Strategy Center Mr Eric Mann The Wiltern Building 3780 Wilshire Boulevard Suite 1200 Los Angeles, CA 90010

LaGuardia High School of Music and Arts Dr Paul Saronson 100 Amsterdam Avenue New York, NY 10023

Land and Water Fund of the Rockies Frances M Green 2260 Baseline Road Suite 200 Boulder, CO 80302

Land Trust Alliance Ms Jean Hocker 1331 H Street, NW Suite 400 Washington, DC 20005

23 (16 of 28)

Lawyers Committee for Human Rights Mr Michael Posner 333 7th Avenue, 13th Floor New York, NY 10001

League of Conservation Voters Education Fund Deb Callahan 1920 L Street, NW Suite 800 Washington, DC 20036

Levine School of Music Mr Kenneth Hopper 2801 Upton St, NW Washington, DC 20008

Little Tokyo Service Center CDC Y Bill Watanabe, Mr 231 E Third Street Suite G106 Los Angeles, CA 90013

Lobster Conservatory Ms Sara Ellis P O Box 298 Friendship, ME 04547

Los Angeles County High School For The Arts Ms. Kathleen Ulinski Library North, Room 1034 5151 State University Drive Los Angeles, CA 90032

Manhattan School of Music Ms Joanne Polk 120 Claremont Ave New York, NY 10027 Lead Partnership Group Jonathan Kusel P.O Box 11 Taylorsville, CA 95983

Leukemia & Lymphoma Society Jairo A Vedra Long Island Chapter 555 Broad Hollow Rd Melville, NY 11747

Listen, Inc. Ms Lisa Sullivan 1436 U Street, N W, Suite 201 Washington, DC 20009

Little Tokyo Service Center CDC Mr Erich Nakano 231 E Third Street Suite G106 Los Angeles, CA 90013

Local Initiatives Support Corporation Mr Michael Rubinger 733 Thrd Avenue, 8th Floor New York, NY 10017-3204

Maclay School Ms Cathy Benton 3737 North Meridian Road Tallahassee, FL 32312

Marine Fish Conservation Network Roger E McManus 660 Pennsylvania Ave SE Suite 302B Washington, DC 20003

23 (16 4 28)

Marwen Foundation Ms Antonia Contro 833 North Orleans Street Chicago, IL 60610

Maryland Institute College of Art Mr Fred Lazarus 1300 Mount Royal Avenue Baltimore, MD 21217

MBD Community Housing Corporation Mr Ralph Porter 1762 Boston Road Bronx, NY 10460

Miami City Ballet Ms Pat Jones 2200 Liberty Ave Miami Beach, FL 33139

Minneapolis Institute of Arts Frances Nelson 2400 Third Avenue South Minneapolis, MN 55404

Minnesota Opera Mr Bill Vene 620 North First Street Minneapolis, MN 55401

Montshire Museum of Science Ms Allyson Goodwin P O Box 770 Montshire Road Norwich, VT 05055 Maryland Association of Nonprofit Organizations Mr Peter Berns 190 W. Ostend Street Suite 201 Baltimore, MD 21230

Massachusetts Association of Community Development Corp Marc D Draisen 99 Chauncy Street Boston, MA 02111

McAuley Institute
Ms Josephine Ann Kane
8300 Colesville Road, Ste 310
Silver Springs, MD 20910

Miami-Dade Community College Foundation Mr Eduardo Cadenas 300 N E 2ne Avenue, #4102 Miami, FL 33132

Minnesota Landscape Arboretum Foundation Mr Peter J Olin P O Box 39 3675 Arboretum Drive Chanhassen, MN 55317

Minnesota Project - SEED Ms. Diane Jansen 1885 University Ave W Suite 315 St Paul, MN 55104

Mountain Association For Community Economic Development Mr Don Harker 433 Chestnut Street Berea, KY 40403

23(17 0 28)

Music Institute of Chicago Mr Frank Little 300 Green Bay Road Winnetka, IL 60093-4088

National Center for Victims of Crime Candace Whyte-Halverson 2111 Wilson Boulevard, Suite 300 Alrlington, VA 22201

National Civic League Christopher Gates 1445 Market Street Suite 300 Denver, CO 80202

National Council for Science and the Environment Dr Peter D Saundry 1725 K Street, NW Suite 212 Washington, DC 20006-1401

National Dance Institute of New Mexico Ms Heather C Liston PO Box 22988 Santa Fe, NM 87502

National Housing Development Corporation Mr Jeffrey S Burum 10621 Civic Center Drive, 1st Floor Rancho Cucamonga, CA 91730

National Low Income Housing Coalition Ms Sheila Crowley 1012 14th Street, NW, Suite 610 Washington, DC 20005 National Center for Family Philanthropy Ms Virginia Esposito 1220 Nineteenth St NW Suite 804 Washington, DC 20036

National Center on Philanthropy and the Law Mr Harvey Dale 110 West 3rd Street, 2nd floor New York, NY 10012-1074

National Committee for Responsive Philanthropy Rick Cohen 2001 S Street NW Suite 620 Washington, DC 20009

National Council of Nonprofit Associations Audrey Alvarado, Ph D 1900 L Street NW Suite 605 Washington, DC 20036

National Helpers Network, Inc Deidre C Meyerson 875 Sixth Avenue, Suite 206 New York, NY 10001

National Housing Law Project Mr Gideon Anders 614 Grand Ave, Suite 320 Oakland, CA 94610

National Museum of Wildlife Art Mr Craig Durr PO Box 6825 Jackson, WY 83002

23 (18 0 = 28)

National Neighborhood Coalition Ms Betty Weiss 1030 15th Street, NW, #325 Washington, DC 20005

National Organization for Victim Assistance Mark Umbreit, Ph D University of Minnesota 1757 Park Road, N W Washington, DC 20010

National Youth Leadership Council James C Keilsmeier 1667 Snelling Avenue North Suite D300 St Paul, MN 55108

Natural Resources Council of Maine Mr Everett B Carson 3 Wade Street Augusta, ME 04330-6351

Natural Resources Defense Council Mr John Adams 40 West 20th Street New York, NY 10011

Neighborhood Funders Group Spence Limbocker 6862 Elm Street McLean, VA 22101

New Communities Initiative (LISC)
Ms Amanda Carney
547 West Jackson Boulevard, Suite 601
Chicago, 1L 60661

National Network of Forest Practitioners 29 Temple Place Second Floor Boston, MA 02111

National Technology Enterprise Network (N-TEN)
Ms. Joan Fanning
1080 W Ewing Place
Suite 300
Seattle, WA 98119

Natural Hentage Institute Mr Gregory A Thomas 2140 Shattuck Avenue Berkeley, CA 94704

Natural Resources Defense Council Ms Frances Beinecke 40 West 20th Street New York, NY 10011

Nature Conservancy - Minnesota Chapter Nelson T French Box 110 1313 SE 5th Street Minneapolis, MN 55414

Neighborhood Partnership Fund Mr Don Neureuther 1020 SW Taylor, Sutie 680 Portland, OR 97205

New England Aquarium Mr Greg Stone Central Wharf Boston, MA 02110 New England Conservatory of Music Julianne Larsen 290 Huntington Avenue Boston, MA 02115

New Prospect Development Corporation Reverend Damon Lynch, III 1823 Elm Street Cincinnati, OH 45210

New World Symphony Michael Tilson Thomas 541 Lincoln Rd Miami Beach, FL 33139

New York University Ms Elisa M Cohen Treasurer's Office 726 Broadway, 2nd Floor New York, NY 10003

New York Urban League Dennis M Walcott 204 West 136th Street New York, NY 10030

Noise Pollution Clearinghouse Mr Les Blomberg PO Box 1137 Montpelier, VT 05601-1137

North Carolina School of the Arts Mr William Porter 1533 South Main Street Winston Salem, NC 27127 New Jersey Future Ms Barbara Lawrence 114 West State Street Trenton, NJ 08608

New Song Urban Ministries Mr Allan M Tibbels 1300 North Fulton Avenue Baltimore, MD 21217

New York Cares
Ms Ariel Zwang
116 East 16th Street
6th Floor
New York, NY 10003-2112

New York University - Institute for Education and Social Policy Mr Norm Fruchter 726 Broadway, 5th Floor New York, NY 10003-9580

New York Youth Symphony Mr Barry Goldberg 850 Seventh Avenue Suite 505 New York, NY 10019

North Carolina Center for Nonprofits Ms Jane Kendall 1110 Navaho Drive, Suite 200 Raleigh, NC 27609-7322

Northern Stage
Ms Jodi G Beznoska
P O Box 4287
White River Junction, VT 05001

Northwest Atlantic Marine Alliance (NAMA) Mr Craig A Pendleton 200 Main Street, Suite A Saco, ME 04072

NPower Ms Joan Fanning The Nickerson Marina Building 1080 West Ewing Place, Suite 300 Seattle, WA 98119

OMB Watch/Focus Project, Inc. Mr Gary Bass Jewett House 1742 Connecticut Avenue, N W Washington, DC 20009-1171

Pace University Land Use Law Center Mr John Nolon 78 North Broadway White Plains, NY 10603-3796

Pacific Forest Trust Constance Best P O Box 858 Boonville, CA 95415

Pacific Rivers Council Mr Trygve B Sletteland PO Box 10798 Eugene, OR 97440

Partners for Democratic Change 823 Ulloa Street San Francisco, CA 94127 Northwest Side Community Development Corporation Mr. Howard Snyder 3718 West Lancaster Avenue Milwaukee, WI 53209

NPowerNY Ms Barbara Chang 257 Park Avenue 12th Floor New York, NY 10010

Organizing Project Mr Richard Harmon 1244 N E 39th Portland, OR 97232

Pace University School of Law Mr Edward Smeloff Center for Environmental and Legal Studies 78 North Broadway White Plains, NY 10603

Pacific News Service-Bay Area Institute Ms Sandy Close 660 Market Street #210 San Francisco, CA 94194

Park Slope Christian Help Sr Mary Maloney 200 4th Avenue Brooklyn, NY 11217

Partnership for the Homeless Mr Arnold S Cohen 305 Seventh Ave, 13th Floor New York, NY 10001-6008 Partnership Project Mr Rodger Schlickeisen 1011 14th Street NW, 14th Floor Washington, DC 20005 Peace Games Eric Dawson 249 Elm Street Somerville, MA 02144

People for Open Space Mr Stuart Cohen 530 Bush St, #303 San Francisco, CA 94108 People's Light and Theatre Company Ms Abigail Adams 39 Conestoga Road Malvern, PA 19355

Perry School Community Services Center, Inc Mr Paul McEllegatt 128 M Street, N W, Suite 100 Washington, DC 20001 PFLAG - DC National Office Mr Jay Heavner 1726 M Street, NW Suite 400 Washington, DC 20036

Philadelphia Youth Network Inc Ms Mary Jane Clancy J F K Center, Rm 681, 734 Schuylkill Avenue Philadelphia, PA 19146 Pinchot Institute for Conservation Al Sample 1616 P Street NW Washington, DC 20036

Pittsburgh Ballet Theatre Mr Steven B Libman 2900 Liberty Avenue Pittsburgh, PA 15201-1500 Planned Parenthood of Minnesota Ms Mary Planten-Krell 1965 Ford Parkway Saint Paul, MN 55116

Planned Parenthood of Northern New England Ms Allie Stickney 183 Talcott Road, Suite 101 Williston, VT 05495-2075

Planning and Conservation League Foundation Gerald H Meral

Plymouth Congregational Church Ms Susan Thornton 1900 Nicollet Ave Minneapolis, MN 55403 Pratt Area Community Council Ms Vivian Becker 201 Dekalb Avenue Brooklyn, NY 11205

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President's Committee on the Arts and the Humanities Ms Judith H Weitz 1100 Pennsylvania Avenue, N W Suite 526 Washington, DC 20506

Project Row Houses
Mr Rick Lowe
2500 Holman
P O Box 1011
Houston, TX 77251-1011

Public Allies
Paul Smithz
633 West Wisconsin Avenue Suite 610
Milwaukee, WI 53203

Public Radio Capital Ms Susan Harmon 6935 Laurel Avenue Suite 202 Takoma Park, MD 20912

Rainforest Alliance Ms Tensie Whelan 65 Bleeker Street New York, NY 10012

Redefining Progress Ms Joanne C May Kliejunas 1904 Franklin Street, 6th Floor Oakland, CA 94612

Resource Renewal Institute Mr Eric Siy Fort Mason Center, Peir One San Francisco, CA 94123 Princeton Project 55 Mr A Chester Safian Center for Civic Leadership 32 Nassau Street Princeton, NJ 08542-4503

Project STEP, Inc Ms Rachel Moore Symphony Hall 301 Massachusetts Avenue Boston, MA 02115

Public Citizen Foundation Ms Joan Claybrook 1600 20th Street, NW Washington, DC 20009

Public/Private Ventures Mr Gary Walker One Commerce Square 2005 Market Street Suite 900 Philadelphia, PA 19103

Rebuild Resources, Inc 602 Prior Ave North St Paul, MN 55104-1740

Renewable Energy Policy Project (REPP)
Roby Roberts
1612 K Street
Suite 202
Washington, DC 20006

Resources For Child Caring Ms Terry Ann Stuhr 450 North Syndicate Suite 5 St Paul, MN 55104-4125 Responsive Community Amitai Etzioni The George Washington Univ 714 Gelman Library Washington, DC 20052

Rock School of Pennsylvania Ballet Mr Bojan Spassoff 1101 South Broad Street Philadelphia, PA 19147-4410

Rollins College Mr Roger N Casey, Ph D Dean of the Faculty 1000 Holt Avenue - 2754 Winter Park, FL 32789-4499

ROSE Community Development Mr Nicolas Sauvie 5215 SE Duke Street Portland, OR 97206-6839

Saint Edward's School Mr Frank M Spitzmiller Saint Edward's Drive Vero Beach, FL 32963

Saint Stephens Episcopal Church Sherm Hoyte 4439 West 50th Street Edina, MN 55424

San Francisco Foundation Community Initiative Funds Mr David Barlow 225 Bush Street, Suite 500 San Francisco, CA 94104 ROCA Inc Ms Molly Baldwin 101 Park Street Chelsea, MA 02150

Rockefeller Family Fund Ms Leah A D'Angelo 437 Madison Ave 37th Floor New York, NY 10022

Rollins College/Rollins Fund M Lee Mayhall 1000 Holt Ave, Box 2750 Winter Park, FL 32789-4499

Rubicon Programs, Inc Mr Rick Aubry 2500 Bissell Avenue Richmond, CA 94804-1815

Saint Joseph Ballet Ms Beth Burns 1810 North Main Street Santa Ana, CA 92706-2727

San Francisco Community Music Center Mr Stephen R. Shapiro 544 Capp Street San Francisco, CA 94110

Save the Children/Youth Noise Ms Rosemary Smith 1101 14th Street, NW - 3rd Floor Washington, DC 20005

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Scenic America Ms Meg Maguire 21 Dupont Circle, N W Washington, DC 20036

Seattle Foundation
Ms Anne V Farrell
425 Pike Street, Suite 510
Seattle, WA 98101-2334

Sierra Business Council Ms Lucy Blake P O Box 2428 Truckee, CA 96160

Somerville Community Corporation Meg Mainzer One Summer Street Somerville, MA 02143

Southern Appalachian Forest Coalition Tom Hatley 46 Haywood Street Suite 323 Asheville, NC 28801

Southern Environmental Law Center Mr Frederick S Middleton, III 201 West Main Street, Ste 14 Charlottesville, VA 22902-5065

St Mark the Evangelist School Ms Margaret Crotty 55 West 138th St New York, NY 10037 Scholarship Fund
Lewis W Goodman
School of International Service
American University
4400 Massachusetts Avenue, NW
Washington, DC 20016

Sherwood Conservatory of Music Ms. Alexandra Nelson 1312 South Michigan Ave Chicago, IL 60605

Society for Art Publications Ms Anne Trueblood Brodzky 545 Sutter Street, #201 San Francisco, CA 94102

South Carolina Coastal Conservation League Ms Dana Beach P O Box 1765 Charleston, SC 29402-1765

Southern Environmental Law Center Mr Frederick S Middleton, III 201 West Main Street, Ste 14 Charlottesville, VA 22902-5065

St Joseph Hope Community 2101 Portland Ave Sout Minneapolis, MN 55404

St Mary's PTO
Joe Mahon
St Mary's Development Office
51 Clapham Avenue
Manhasset, NY 11030

St Paul's Episcopal Church PO Box 770722 Steamboat Spring, CO 80477

Stanford University School of Education Ms Nancy Heiman 520 Galvez Mall Stanford, CA 94305-3084

STRIVE-East Harlem Employment Service Inc Mr Robert Carmona 1820 Lexington Ave New York, NY 10029

Surface Transportation Policy Project Mr David Burwell 1100 17th Street, N W Washington, DC 20036

Sustainable Northwest Mr J Martin Goebel 620 Southwest Main Street Suite 112 Portland, OR 97205

Taxpayers for Common Sense John Bowman 651 Pennsylvania Avenue, SE Second Floor Washington, DC 20003

Tellus Institute Dr. Nevin Cohen 11 Arlington Street Boston, MA 02116-3411 Stanford Jazz Workshop Mr Jim Nadel P O Box 20454 Stanford, CA 94309

Street Level Youth Media Mr Tony Streit PO Box 578336 1856 West Chicago Ave, 1st Floor Chicago, IL 60622

Surface Transportation Policy Project Mr Roy Kinetz 1100 17th Street, N W Washington, DC 20036

Sustainability Institute P O Box 342 Hartland, VT 05048

Tall Timbers Research, Inc Mr Lane Green Route 1, Box 678 Tallahassee, FL 32312

Taxpayers for Common Sense John Bowman 651 Pennsylvania Avenue, SE Second Floor Washington, DC 20003

Temple University - Tyler School of Art Mary Griffin 7725 Penrose Avenue Elkins Park, PA 19027 Tennesee Valley Energy Education Foundation Mr Stephen A Smith P O Box 1842 Knoxville, TN 37901 Texas Citizen Fund Ms Sheila Holbrook-White P O Box 10472 Austin, TX 78702

The Door Ms Liza Brown 121 Avenue of the Americas New York, NY 10013 The Natural Step Ms Catherine Gray PO Box 29372 San Francisco, CA 94129-0372

The Point Mr Paul Lipson 940 Garrison Ave Bronx, NY 10474 The Reinvestment Fund Mr Jeremy Nowak Cast Iron Building Suite 300 North 718 Arch Street Philadelphia, PA 19106-1591

The Tides Center Mr Jim Duffy P O Box 29907 San Francisco, CA 94129-0907 The Winsor School Ms Beth Griffin Pilgrim Road Boston, MA 02215

Time Dollar Institute Mr Edgar S Cahn 5500 39th Street, NW Washington, DC 20015-2904 Trevor Day School Amy Reinharz Annual Appeal 11 East 89th Street New York, NY 10128

Trust for Public Land Lisa Cashdan 33 Union Street Boston, MA 02108 Tufts University - Global Development and Environment Inst Neva Goodwin Cabot Intercultural Center Tufts University Medford, MA 02155

Tufts University-Lincoln Filene Center for Citizenship & Public Affairs
David Arons
Tufts University
Medford, MA 02155

United Neighborhood Houses of New York, Inc. Ms Emily Menlo Marks 70 West 36th St, 5th Floor New York, NY 10018 United Neighborhood Houses of New York, Inc Ms Emily Menlo Marks 70 West 36th St., 5th Floor New York, NY 10018

University of California, San Diego Ms Lisa Schaffer Scripps Institution of Oceanography La Jolla, CA 92093-0210

University of Minnesota-Center for Democracy & Citizenship
Harry Boyte
Hubert H Humphrey Institute of Public Affairs
301 19th Avenue South
Minneapolis, MN 55455

University of Pennsylvania-Center for Greater Philadelphia Ted Hershberg University of Pennsylvania 3701 Chestnut Street 6th Floor East Philadelphia, PA 19104-3199

Upper Valley Land Trust Karen Barr 10 Buck Road Hanover, NH 03755

Urban Edge Housing Corporation Mr Mossik Hacobian 2010 Columbus Avenue Roxbury, MA 02119

Urban Retrievers-Philadelphia Student Union Mr Eric Braxton 1315 Spruce Street Philadelphia, PA 19107 United Way of Minneapolis Area James C Colville 404 South Eighth Street Minneapolis, MN 55404

University of Chicago-Chapin Hall Center for Children Harold Richman 1313 East 60th Street Chicago, IL 60637

University of North Carolina-Greensboro-Elizabeth
Langford Davenport Scholarship Fund
Mr Ray Covington
Development Office
University of North Carolina
1100 West Market Street
Greensboro, NC 27412-5001
University of Washington - Public Policy Mgmt
Mr. Daniel Carlson
324 Parrington Hall
Mail Stop DC-14
University of Washington
Seattle, WA 98195

Urban Ecology Ms Rachael Peterson 405 14th St, Suite 900 Oakland, CA 94612

Urban Institute
Ms Linda Lampkin
2100 M Street NW
Washington, DC 20037

Urban Strategies
Ms Sandra M Moore
Hadley Square
1101 Lucas Avenue, 6th Floor
St Louis, MO 63101-1179

Urban Ventures Leadership Foundation Mr Art Erickson 3041 Fourth Avenue South Minneapolis, MN 55408

Venture Philanthropy Partners Ms Eleanor L Rutland 11600 Sunrise Valley Drive, Suite 300 Reston, VA 20191

We Interrupt This Message Mr Hunter Cutting 160 - 14th Street San Francisco, CA 94103

Wesleyan University Dr Peter Patton 237 High Street, North College Middletown, CT 06459

Westminster Presbyterian Church Rev Timothy Hart-Anderson 12th Street & Nicollet Avenue Minneapolis, MN 55402

Wilderness Society William Meadows 1615 M Street, NW Washington, DC 20036

Women's Housing And Economic Development Corporation Ms Nancy Biberman 70 West 36th Street Suite 702 New York, NY 10018 Vanderbilt University Mr John Beasley 205 Kirkland Hall Nashville, TN 37240

Walker Art Center
Ms Kathy Halbreich
Vineland Place
Minneapolis, MN 55403

Welfare to Work Partnership Ms Elisa Johnson 1250 Connecticut Avenue, NW Suite 610 Washington, DC 20036-2603

Western Environmental Law Center Mr. David Gomez P O Box 1507 Taos, NM 87571

WFSU-FM/FSU Foundation Mr Charles M Allen, Jr 1600 Red Barbor Plaza Tallahassee, FL 32310-6068

Women's Community Revitalization Project Nora Lichtash 407-11 Fairmount Avenue Philadelphia, PA 19123

World Wildlife Fund Mr Nick Brown 1250 24th Street, NW Washington, DC 20037

<u>Organization</u>	For:
1000 Friends of Florida	General support
1000 Friends of New Mexico	For 1000 Friends of New Mexico (1000 Friends), working
1000   Helius of New Mexico	with partner organizations, to expand a statewide 'smart
	growth' coalition and to mount a campaign to change the
	direction of growth management in New Mexico
1000 Friends of New Mexico	Continued support for 1000 Friends of New Mexico (1000
Todo i fichas of fiew mexico	Friends) working with its partner organizations to expand a
	statewide 'smart growth' coalition and to implement a
	campaign to change the direction of growth management in
	New Mexico
52nd Street Project	To further develop the Teen Program In a two-year Teen
Ozna olicetti tojett	Program, in weekly classes, teens work with established
	texts ranging from the classics to modern pieces, with a
	particular emphasis on Shakespeare
79th Street Corridor Neighborhood	To plan and implement the transit-oriented redevelopment of
Initiative, Inc	a distressed Miami neighborhood served by a major
Integrated the second	transportation hub
Acre Family Day Care	Support for Surdna's Family Child Care Initiative
Acre Family Day Care	To continue support of the Massachusetts Institute on Family
Note Falling Bay Gare	Child Care, Acre's vehicle to share its family child care work
	throughout the U S
Addison Gallery of American Art-	To help support Site Lines-a program in which internationally
Phillips Academy	recognized visual artists will work intensively with students
1 milpo / toducity	from Lawrence and Andover High Schools, and Phillips
	Academy, on the production of temporary outdoor artworks
	Addenty, on the production of temporary outdoor ditworks
Alaska Conservation Foundation	To launch a new network of conservation groups, fishing
	groups, professional observers and Native organizations who
	will collaboratively work together to restore and maintain a
	healthy marine ecosystem in Alaska, in particular the North
	Pacific Ocean
Alaska Conservation Foundation	A one time only transition grant to enhance its capacity
	educate and fundraise at a time when it has acquired several
	new assets-a new executive director, an energized and
	expanded board, a new blue print for development and new
	program directions
Alliance For Justice	To continue building a national network of well trained, youth-
	led efforts to combat gun violence
Alliance of Redding Museums	A planning grant to develop a mobile forestry education
	project that will take science-based lessons on forestry into
	rural communities of the Pacific Northwest
Alternatives for Community &	Two years of support for the creation of an environmentally
Environment	sustainable transportation and urban development strategy
	for Roxbury, Massachusetts
Alternatives In Action	Support for a 'Youth Zone' designed by high school students
	from across the city The Zone will house education,
	recreation and business opportunities for the youth of
	Alameda
Alvin Ailey Dance Theater	To increase access to dance training to boys, strengthen the
Foundation	visiting Artist-In-Residence program, and enhance
	professional preparation

American Farmland Trust	General support
American Farmland Trust	Support to implement 'outcome-based funding' throughout
	the organization
American Farmland Trust	For three initiatives that are part of American Farmland Trust
	(AFT) continued work on smart growth
American Forum	Support for a 21st Century Task Force that will help the
	organization adapt its editorial services to a wide range of
	new technologies
American Friends of the Royal Court	General support
Theatre	
American Museum of Natural History	For a comprehensive assessment of the status of New York
	biodiversity and related policy, the establishment of a
	centralized database and establishment (using the
	assessment and database) of priorities and strategies for
	biodiversity conservation
American Oceans Campaign	For an initiative to promote fish habitat protection in New
	England
American Rivers	For an integrated set of strategies to strengthen the collective
	voice of river conservation, restoration and more effective
	riverfront revitalization
Americans for Equitable Climate	Continued support for a 'common assets' policy proposal that
Solutions	would reduce U.S. greenhouse gas emissions (which
	contribute to global warming) and do so without diminishing
	economic growth or violating basic principles of fairness
Appalachian Mountain Club	Two years of support for a project that will protect ecologically
••	significant working forestlands across the East
Aquinas Housing Corporation	For capacity building support of the AHC Home-Based Child
	Care Resource Center
Aquinas Housing Corporation	Support for Surdna's Family Child Care Initiative
Armory Center for the Arts	To help support the development and retention of a
	consistent faculty of highly trained and experienced
	artist/teachers
Artists for Humanity	To help support the City Teens Design Company, a youth-run
	micro-enterprise Paintings and other artworks conceived
	and produced by teens are commissioned by corporations
	and community organizations
Arts Teachers Fellowship Program*	Grant descriptions at bottom of list
Aspen Music Festival and School	To expand the mentoring dynamic for musicians expressing
	an interest in becoming teachers by awarding ten fellowships
	to musicians who will be named apprentice fellows
Association For Neighborhood &	Support for an advocacy initiative aimed at obtaining a
Housing Development, Inc	commitment from the upcoming mayoral administration to
	expand multi-year capital investment in affordable housing
Audubon Society of New Hampshire	Continued support for an initiative designed to ensure that
•	biodiversity conservation in New Hampshire is included in the
	decisions that affect its environment, particularly in its rapidly
	urbanizing areas

	<del> </del>
Ballet Hispanico of New York, Inc	To strengthen the curriculum and performance opportunities for its School's upper level students (high school age)
Ballet Tech	To further strengthen the Upper School's dance and
Denot room	academic programs at The New York City Public School for
	Dance Eliot Feld directs this small NYC Board of Education
	alternative school for pre-professional ballet students, grades
	6-12
Baltimore School for the Arts	To establish an annual guest artist dance residency, create a
	mentor program of theater professionals, establish a Theater
	Technology Fund, develop a pedagogical approach for the
	music department, and enhance a summer study scholarship
	fund
Baltimore Symphony Orchestra	Support for the Arts Excel Program
Baltimore Symphony Orchestra	Support for the Arts Excel Program
Bay Area Video Coalition	For an additional year of funding to help this new media
	organization leverage the success of JobLink and refine the
	program as a model that can be developed for replication on
	a national level
Beaverkill Conservancy	A grant to a regional investment fund whose monies will be
	used to protect ecologically sensitive land in the Northern
	Forest The grant also contains a component for the salary
	of the fund project coordinator
Benton Foundation	For the Communications Capacity Building Program, which
	will deliver Benton's extensive knowledge concerning
	strategic communications to a broad audience of nonprofits
Berkshire Farm Center & Services	To fund the planning stage for a potential collaboration
For Youth	between AFF and Bershire Farm Center
Berkshire Farm Center & Services	To underwrite the Andrus Enrichment Program - which will
For Youth	provide young men participating in Berkshire's
	Educational/Vocational Academy for Preparation to Adult
	Living (the Academy) access to various enrichment activities
Biodiversity Project	Continued support for work to enhance the communication
	strategies and skills of national, regional, state and local
	groups working to educate the public on smart growth and
L	land conservation issues
Blake School	General support
Bloomingdale School of Music	To support the Music Access Project for advanced music
	students, ages 14-18, and to build a restricted Faculty
	Resource Fund
Boston Aging Concerns-Young and Old United	For a series of planning and assessment activities
Boston University Tanglewood	To further develop the string chamber music component of
Institute	Boston University Tanglewood Institute's (BUTI) Young
mound.c	Artists Orchestra Funding will help support faculty positions
	and provide full scholarships for talented student string
	players
	(big) or a

Davis Charact Tallahasasa	To further implement the extintion devalorment of the above
Boys Choir of Tallahassee	To further implement the artistic development of the choir,
	and to support professional development for its directors
	The Choir is a program of Florida State University's School of
	Social Work
Brevard Music Center	To help its Young Artist Division Financial needs include
	salary support, guest artist fees for established/emerging
	artists, increased scholarship aid, targeted recruitment
	beyond the Southeast, instrument rentals and computers to
	aid student composers
Bridge Group, The	To create a new nonprofit management consulting
	organization The organization will be affiliated with Bain &
	Company, one of the world's leading management
	consultants
Bridge Street Development	To assist BSDC in hiring more staff to strengthen the
Corporation	capacity of its housing development group
Broad-Park Development	To enhance the capacity of staff to undertake the
Corporation	development of a mixed-use, mixed income artists housing
	complex that is a vital part of the revitalization of Hartford's
	famous Park Street
Broad-Park Development	To hire Artspace to perform a feasibility study for the
Corporation	transormation of six historic buildings in the heart of
	Hartford's Latino Community into artist housing
Brookings Institution, The	To devise and promote ten strategies that cities can
	implement to enhance their competitiveness as economically
	viable places to live and work
Brooklyn Academy of Music	To help support the expansion of DanceAfrica's education
, ,	program, a joint project of BAM and the Bedford Stuyvesant
	Restoration Corporation (BSRC)
Brooklyn Youth Chorus	General support
Brooks School	For the senior campaign
Brown University	Support for two programs of the Compact its website, which
,	will be upgraded in order to provide easier access and
	deeper content, and student involvement in the governance
	and planning of the organization
CASEL - University of Illinois	To open a satellite office in New York City
California Institute of Integral Studies	General support
Joanson a mostato or magnet occurrent	os.io.s. ospport
California Institute of the Arts	To support faculty initiated projects, increase student
(CalArts)	scholarships, and enhance the Community Arts Partnership
1.07	(CAP), a teen arts program
California State University Monterey	Third year support for the University's core service-learning
Bay	program, as well as new initiative to encourage wider
100,	institutionalization of service-learning curricula within
	students' majors
Campaign For Fiscal Equity, Inc -	Planning to futher develop ideas and preparation to launch a
Campaign For Fiscal Equity, inc	dynamic initiative involving students across New York City
	dynamic initiative involving students across New TOR City
Cana Cod Commercial Hook	For membership training and board development
Cape Cod Commercial Hook	r or membership training and board development
Fishermen's Association	

	<del></del>
Cape Cod Commercial Hook	A second year of support for a campaign by commercial
Fishermen's Association	fishermen and other concerned coastal residents for reform
	of New England ground fisheries management to make it
	environmentally sustainable
Carnegie Foundation For The	Third year support of scholarly investigation into strategies
Advancement Of Teaching	through which colleges and universities successfully foster
	the development of civic responsibility, engagement through
	service and moral development
Catholic Charities-Brooklyn and	For Project Bridge, Builders for the Family and Youth of the
Queens	Diocese of Brooklyn
Catholic Charities-Brooklyn and	Support for Catholic Charities' citywide, housing development
Queens	work
Center for a New American Dream	For a conference on sustanable consumption and production
	·
Center for Clean Air Policy	Tie-off support to conclude a multi-disciplinary initiative that is
Í	identifying and addressing barriers to the greater use of mass
	transit and other alternatives to private autos and develope
	marketing concepts to make transit services more attractive
Center for Community Self-Help	For the continued development of the Business Assistance
ĺ ,	Network, a statewide collection of services targeted toward
	the development of business skills among child care
	providers
Center for Community Self-Help	Support for Surdna's Family Child Care Initiative
Center for Constitutional Rights	For its Pro-Democracy Convention
Center for Cultural Exchange	To help support production expenses, artists' fees and
	documentation for the Youth Intensive Project
Center for Effective Philanthropy	For a study that will help to define and measure foundation
	effectiveness
Center for Marine Conservation	To support key components of its new Campaign for the
	Oceans that involve improvement in the management of the
	US marine environment
Center for Media Education	For an exploration of how to develop a noncommercial space
	for youth on the internet, and a map of noncommercial
	options as they exist now
Center for Neighborhood Technology	General support for the Institute for Location Efficiency to
Institute for Location Efficiency	promote the expanded use of location efficient mortgages
	and of the concept location efficiency
Center for Public Interest Research	Continue support for the activities of state public interest
	research group activists in New Mexico and Maryland to craft
	meaningful policy solutions to the sprawl problem and build
	grassroots support for reform at the state level
Center for Resource Solutions	A tie-off year of support to a 'green' power certification and
Table 10. , 10000100 Galations	verification initiative
Center for Teen Empowerment	Support for expansion of a multi-site, youth organizing
Como for Four Emporronnent	program through which teenagers work to reduce violence
	and improve their schools and communities
Center for Victims of Torture	General support
Center for Youth as Resources	To convene a design team for a 2-day facilitated retreat
Center of Contemporary Arts	To upgrade COCA's Web site
Center of Contemporary Arts	To appliant Cooks were site

Center of Contemporary Arts	To strengthen its pre-professional dance training program
	(COCA Dance)
Central Synagogue	General support
Certified Forest Products Council	For a program to increase the number of companies
	committed to buying forest products from sustainably
	managed sources
Changemakers	General support
Chesapeake Bay Foundation	A proposal from the Chesapeake Bay Foundation and the
	Baltimore Regional Partnership for integrating activities at the
	state, regional and local levels of government on the
	development of a Baltimore region land use and
Chianga Children's Chair	transportation plan To support the artistic growth of its advanced Concert Choir,
Chicago Children's Choir	and to support the artistic growth of its advanced concert choir,
	choral repertory and performance styles
Child Care Council of Westchester	Support for Surdna's Family Child Care Initiative
Child Care Council of Westchester	To support the development of the Council's family child care
	provider network
Child Care, Inc	To continue support of the Family Child Care Business
	Resource Center and its expanded National Association for
	Family Child Care Accreditation Pilot Initiative
Child Care, Inc	Support for Surdna's Family Child Care Initiative
Children's Defense Fund	For support of a program helping young adults take
	leadership roles on policy-making bodies concerned with
	access to employment opportunities for young people in their
	communities
Citizen Schools	To help the organization grow its innovative after school and
	community-building program into more sites in Boston, and
	become a training resource for afterschool initiatives across the country through its Citizen Schools University
	line country through its Citizen Schools University
Citizens Planning and Housing	To develop marketing materials
Association	
City Cares	For piloting & replication of Citizen Academies It will offer
	opportunities for formal learning about social problems and
	the process of policy change within already established, large-
	scale volunteer programs in several cities across the country
<u> </u>	
City Year	Support for the Service Department to develop formal service
	learning curricula for the growing number of teams that serve
Otherson Company	in elementary schools
Citykids Foundation	Continued support for youth organizing efforts in New York
	City and New Haven, CT through which youth become agents for concrete community change in their neighborhoods and
	become advisors to political leaders
	become advisors to political leaders
Clark Atlanta University	A proposal by the Environmental Justice Resource Center
	(EJRC) at Clark Atlanta University for a transportation and
	urban sprawl initiative in partnership with the Southern
	Organizing Committee for Economic and Social Justice

Clean Energy Group	For the creation of a national network of state-based clean
	energy funds. The network will provide information and
	brokering assistance to facilitate the most high leverage use
	of state monies to promote clean energy technologies,
	companies and markets
Cleveland Housing Network, Inc	Support for three staff positions that will help the network
	expand its real estate development activities and increase its
	in-house operations
Cleveland School of the Arts	To expand its summer scholarship and intership program
	To expand no danimo: danisaromp and investmp program
Coalition for Utah's Future	Continued support for Envision Utah (EU) to help it
	implement the growth strategy that it has developed for the
	Greater Wasatch Area surrounding Salt Lake City, Utah
	Greater Wasaich Area surrounding Sait Lake City, Otah
Coastal Conservation Association of	For an initiative to document problems with the federal
Flonda	management of fisheries in the Southeast and financial
i ioriua	conflicts of interest on the federal fishery management
	, ,
	councils to then develop and advocate management
	approaches that address these problems
Coastal Enterprises, Inc	For the continued expansion of the role and impact of
	Coastal Enterprises Inc (CEI) as a model for sustainable
	economic development and the creation of social and
	economic opportunities that are in balance with the natural
	environment
Collegiate School	General support
Colorado Open Lands	To assist communities along the front range of Colorado,
·	where growth is occurring at a rapid pace, with the
	development of innovative land protection programs and
	partnerships
Colorado Public Interest Research	Tie-off support for a transportation campaign that is focused
Foundation	on promoting alternative transportation and on fighting sprawl
Carraction	induced highway projects in the Denver region
	lindaced riighway projects in the Denver region
Common Ground	To expand the Employer Partnership Initiative of the
	Common Ground Jobs Training Corporation
Community Builders	To support the design and implementation of a management
Danaero	system for Community Builders' new social and workforce
	programs To measure outcomes/benchmarking, and
	improve reporting, knowledge management and financial
	management
Community Catalyst	For continued support of the organization's Community
	Benefits Initiative, an effort to press health care institutions to
	meet their obligations in serving disadvantaged populations
<u> </u>	
Community Foundation of Napa	General support
Valley	<u></u>
Community IMPACTI	To improve develompent capacity by helping staff learn how
	to pursue federal funding prospects
Community IMPACTI	Support for new ways to prepare neighborhood leaders to
	join with youth as they tackle significant neighborhood
	problems such as poor local schools and lack of economic
	opportunities in certain communities
	tak barraman ut an unu

Community IMPACTI	To develop a Web site for DC youth
Community Law Center	To fund the first two years of a three-year project to provide a
	series of community conferences to address the seriously
	eroded relationships between residents and police in the
	Greenmount West neighborhood in Baltimore
Community Rights Counsel	A second year of support for a public interest law firm that
	helps communities defend critical health and environmental
	protections, particularly in the area of land use
Community Training And Resource	To increase its programmatic capacity and staff support as
Center	the organization undergoes the transition to a new executive director
Compumentor	For continued support of TechSoup, a nonprofit technology
	portal that provides information on where nonprofits can find
	free or cheap hardware and software and guidance on a
	range of 'humanware' issues, such as training, consulting, and technical support
Concert Competition and Musical	To help support its Junior Division-serving youths under 18
Development, Inc	years old Sphinx will expand opportunities for Black and
	Latino string music students to perform with experienced
	music professionals, and train through master classes and
	seminars
Congress for the New Urbanism	To launch an initiative to educate town and city planners
	about the use of zoning in creating sustainable, pedestrian-
	friendly communities
Congress for the New Urbanism	To support a strategic planning retreat for its Board of
Connecticut Fund for the	Directors  A feasibility study to assess the achievability of a fund raising
Environment	campaign to fulfill the goals of its new strategic plan
ZIIVII OI III CII	ouripoign to running the goals of its flew strategic plan
Conservation Fund	General support
Conservation Fund	For a program that will use development as a tool for
	conservation through partnerships with the private sector,
	especially the real estate development industry and advance
	the concept of green infrastructure as a strategic approach to
Conservation Law Foundation	land conservation  For a program of transportation and land use reform in New
	England
Constitutional Rights Foundation	For expansion of its CityWorks program to scores of high
	schools throughout Los Angeles CityWorks helps students
	understand how local government functions and the role of
	direct, personal involvement in community improvement
Consumer's Choice Council	For support for work to encourage state, municipal and
	university procurement policies to incorporate environmental
	sustainability criteria
Consumers Union	Continued support for the Educational Assets Project of
· · · · · · · · · · · · · · · · · · ·	Consumers Union's Western Regional Office

Cooper Union for the Advancement	To sustain and enhance the quality of its Saturday Outreach
of Science & Art	Program for talented visual art students The Program
	provides free Saturday and Summer visual arts classes, at
	Cooper Union, for public high school students
Corcoran Gallery of Art	To continue support of the Corcoran Art Mentorship Program
Corcoran Gallery of Air	The program matches talented art students and practicing
	artists for long-term individual and group art instruction, and
	for counseling regarding future education and careers
	los comocining regarding rataro codecition and careers
Corporation for Supportive Housing	Support for a national demonstration program to test the
	effectiveness of supportive housing in moving chronically
	unemployed and formerly homeless adults from welfare
	dependency into the world of work
Council of Better Business Bureaus'	In support of a study intended to lead to new standards for
Foundation	charities, to be used by the Wise Giving Alliance - a merger
	of the BBB Philanthropic Advisory Service and the National
	Charities Information Bureau
Council on the Environment of New	Continued support for the Council on the Environment of New
York City	York City (CENYC) to provide input and oversight for a
	(Surdna supported) faculty and student enrichment program
	at the New York High School for Environmental Studies
Creative Capital	(HSES)  To help create a web-based communications and
Creative Capital	management system that will help deliver information to
	Creative Capital's portfolio of grant recipients and to the wider
	world
Dance Institute of Washington	To help stabilize support for its year-round classes in ballet,
	modern, jazz, tap, and African dance
DataCenter	Support for a program that trains youth activists and
	organizers in research skills which can provide critical
	information for their social justice campaigns
Defenders of Wildlife	Continued support for projects in Oregon and Florida that are
	building a cohesive decision making process to reconcile the
	demands of growth and transportation development with the
	need to conserve species and habitat to protect biodiversity
Development Corporation of	To increase this comprehensive community development
Columbia Heights	corporation's leadership capacity in developing key economic
· · · · · · · · · · · · · · · · · · ·	development opportunities in the Columbia Heights
	neighborhood of Washington, DC
Development Training Institute	To build a development department
Developmental Studies Center	Support for continued growth of the country's best
	researched social and emotional learning program
Dorchester Bay Economic	For general operating support to assist the CDC create and
Development Corp	rehabilitate rental and homeownership housing, attract new
	businesses, and engage in resident-driven community
	building activities
Dover Sherborn Regional Schools	To expand the number of tranings and staff involvement in
<u></u>	this student activism program
Down East Partnership for Children	For continued support and capacity building of the Child Care
<u>-</u> .	Resource and Referral Program

Down East Partnership for Children	Support for Surdna's Family Child Care Initiative
Duke University-Nicholas School for the Environment	General support
East Bay Asian Youth Center	Continued support as this vibrant youth organizing program involving teenagers from a broad range of youth-serving agencies. With funding it will solidify its organization, and expand its Youth Leadership Institute from a summer to a yearlong program.
East Bay Center for the Performing Arts	To help support its five-week Intensive Summer Institute (ISI) for 16-19 year olds, plus a similar Institute for younger teens, ages 12-15
East Bay Community Foundation	For an initiative on smart growth and livable communities in Contra Costa and Alameda Counties, California that is being led by the East Bay Community Foundation
East Bay Conservation Corps	Continued funding for a charter school whose curriculum focuses on democratic participation, service-learning and community improvement
East Harlem School at Exodus House	General support
Eastman School of Music	To help support a component of Eastman Pathways, a program offering a continuum of quality music education to Rochester's teens
Educational Video Center	To refine, implement and assess an expanded series of sequential documentary workshops for high school youth
Educators for Social Responsibility	Support as this social & emotional learning program, which bases its work in conflict resolution, rolls out a curriculum for high schools
Egrants org	Support for development and marketing of an online giving service, especially designed for use by small and medium-sized nonprofit organizations
Elaine Kaufman Cultural Center	To help support music training for students, ages 11 to 18, in its Advanced Music Study program
Ella Baker Center For Human Rights	To help it support youth organizing efforts in the Bay Area with a range of technical assistance, particularly around issues of police/community relations and human rights
Enterprise Child Care	Support for Surdna's Family Child Care Initiative
Enterprise Child Care	To support its collaborative family child care network in Portland
Enterprise Foundation	To engage in a new and critically important strategic review and planning process
Enterprise Foundation	For the National Community Development Initiative (NCDI)
Environmental Defense	To greatly expand a pathbreaking Internet service, making it a powerful tool to encourage enlightened consumer behavior as well as channel new energy into environmental activism and advocacy

Environmental Law & Policy Center	Support to overhaul its web-site and integrate improvements
Environmental Law & Policy Center	
†	in online advocacy, online donations, search engine indexing,
	online advertising, communications and marketing
Environmental Law Institute	To design and administer a symposium aimed at identifying
	the legal, policy and scientific needs of biodiversity initiatives
	at the state level
Environmental Leadership Program	Continued support for a leadership development program
	that is training and supporting a new generation of
	environmental leaders, characterized by diversity, innovative
	thinking, coalition building and effective communication
	difficulty, document balleting and encourse commenced.
Environmental Media Services	Support to begin preparatory work for a long-term public
	education campaign to protect America's private forests
Environmental Support Center	Renewed support for the ESC for its work as an intermediary
	to enhance the organizational effectiveness of grassroots
	environmental advocacy organizations Also included is
	support to strengthen a new program called the State
	Leadership Program
Esperanza Center	To help support Artescuela, a year-round, youth community
	arts school
Essential Information, Inc	Continued support for a web-based clearinghouse on smart
,	growth related information, targeted in particular towards
	grassroots activists
Ethical Culture Fieldston School	To continue support for its free summer youth dance program
	for dedicated modern dance students, ages 14 to 19, from
	New York City public high schools
Family Services Woodfield	To help this community-centered, social service organization
	create a community development financial institution in
	Bridgeport
Fenway Community Development	To ensure that residents concerns are addressed as new
Corporation	housing and the new Fenway Park are built in Boston's
Corporation	Fenway neighborhood And, to support the Walk to Work job
	link program
Fifth Avenue Committee, Inc	For a conference on appropriate strategies to slow
	displacement and gentrification in New York
Florida State University - Center for	General support
Aquatic Research and Resource	
Management	
Forest Stewardship Council - US	Support for the standards setting body for independent, third-
Forest Stewardship Council - 03	1
	party verified sustainable forestry in British Columbia
Forest Trust	General support for an organization dedicated to the creation
	of a cadre of foresters who are trained and committed to
	ecologically sustainable forest management
Foundation Center	Congress cupport for the organization, which conver on the
Foundation Center	General support for the organization, which serves as the
	central repository of financial and governance data on
France of Acadia	foundations
Friends of Acadia	General support

Fund for Independent Publishing-The	To support communications technology infrastructure at the
New Press	nonprofit public interest publishing house
Fund for the City of New York	Third year support for its Youth Court in Red Hook, Brooklyn
	The Center also plans a systematic investigation of the
	national youth court movement the current state of practice,
	its promises and pitfalls
Funders' Collaborative On Youth	Support for a new grantmakers group which provides funding
Organizing	and helps strengthen youth organizing efforts across the
For dead National Section County	country
Funders' Network for Smart Growth and Livable Communities	Continued support for an organization that is a resource and
and Livable Communities	focal point for foundations, nonprofit organizations and other partners working to solve the problems created by suburban
	sprawl and urban disinvestment
Gay, Lesbian & Straight Education	GLSEN Accountability Program
Network	Secret Accountability Frogram
Gay, Lesbian & Straight Education	Support for this important national organization's plans to
Network	build a regional support structure for gay/straight alliances
	which battle homophobia in schools and their communities
Genesis Jobs, Inc	Support that will enable this employment training and
Genesis Jobs, inc	placement organization to expand its services and deliver
1	them in a variety of neighborhood locations
George D Aiken Resource and	General support
Conservation Development Council	Ocheral sapport
Conscivation Bevelopment Sounds	
Global Action Project, Inc. (GAP)	To support the expansion of its core media arts training
	program, Urban Voices
Global Forest Foundation	To create the tools and processes needed to translate
	corporate forest policy commitments into reality in North
Governor's School for the Arts	America To help support the Visiting and Regident Curet Adult Fund
Governor's School for the Arts	To help support the Visiting and Resident Guest Artist Fund
Graduate School & University	A collaborative effort to promote philanthropy among
Center/CUNY	professionals and entrepreneurs within the African American,
	Asian-American and Hispanic communities of the New York
	metropolitan region
Grand Canyon Trust	Support for the organization's growth management related
<u> </u>	projects
Grantmaker Forum on Community &	General support for a grantmaker's affinity group which plans
National Service	to focus intellectual attention and substantial convening time
	to an exploration of the relationship between service and
Great American Station Foundation	Support to launch a demonstration project in five cities that
Croat American Station i Sundation	will use the renovation and expansion of intermodal transit
	stations as catalysts for community revitalization
Greater Hartford Arts Council	To expand the Textile Studio, a component of its summer
2.00.0, 7,0.00.0 72.0 000.10	youth arts apprenticeship program, Neighborhood Studios
	Ed Johnetta Miller, master textile artist, created the Textile
	1
	better serve teens
	Studio, and now has designed a year-round extension to
	1001101 301 10 100113

Greater Minneapolis Council of	General support
Churches - Division of Indian Work	Contral support
Charactes Division of Malan Work	
Greater Philadelphia Urban Affairs	To expand 'The Big Picture,' a mural art series offering mural
Coalition	instruction to young people, ages 10-18, in five
Coamicit	neighborhoods
Guadalupe Cultural Arts Center	To help support Juntos en Arte, its arts education and
Odadajupe Oditural Arts Center	mentoring program for youth, ages 11 to 18 Young people
	participate in theatre, dance, media arts, visual arts, literature
	and music programs
Guthrie Theater	To strengthen the training of the resident artists who will
	participate in an expanded Schools on Stage program
H T Dance Company	To begin a new cycle of collaboration with dance students at
,	New York City's La Guardia High School of Music, Art and
	Performing Arts
Habitat for Humanity - New York City	General operating support for this organization to build
,	housing on hard-to-develop sites through out New York City
	in cooperation with CDCs and small, faith-based
	organizations
Hartford Neighborhood Development	To provide operating support for the most productive
Support Collaborative	community development corporations in Hartford in
. ,	cooperation with other local and national funders
Haystack Mountain School of Crafts	To strengthen three programs for teens Studio Based
•	Learning, Haystack Mentor Program and Haystack Artist's
	Residency In addition, funding will cover Fellowships for
	several Maine Art Teachers and graduating high school
	students
Headlands Center for the Arts	To strengthen and extend the Bridge Project invitational
	residencies for artists who use the creative process as a
	catalyst for change in communities and social institutions
Health Education Resource	Conoral current
Organization	General support
Highbridge Unity Center	General support
Highlander Research And Education	Second year support for growing an extensive network of
Center	youth organizing programs working for democratic
	participation and economic justice in Appalachia and the
	South
Historic District Development	To support the redevelopment of the Sweet Auburn
Corporation	neighborhood and the Martin Luther King, Jr Historic District
•	as a mixed-income, mixed-use, pedestrian friendly
	community
Hope Community	To enable this mature and highly productive organization
	expand its internal capacity so it may engage in a wider
	variety of housing and community development activities
Hausing Dadacahia Nahuada	To complete on the state of the
Housing Partnership Network	To implement an initiative to rehabilitate FHA foreclosed
	homes in cities across the country and advocate for policy
	changes to make these properties available to community-
	based organizations

ICA Group	To enable The ICA Group to provide technical assistance to
	three nonprofits that are operating temp-to-perm employment businesses
Illinois Facilities Fund	To support the IFF's public policy staff to create and launch a
initions i domines i dire	coalition of child care providers and parents to communicate
	directly with the U.S. Congress during the reauthorization of
	Head Start
ImpactOnline	Continued support for the organization's VolunteerMatch
	website, the leading Internet service connecting individuals
	with organizations that need volunteer assistance
Independent Media Institute	Support for an online information source written by and for
	socially-conscious youth. Wiretap creates an online civic
	community that nurtures awareness, critical thinking and a
	sense of personal investment that lead to young people
	taking direct action
Independent Sector	Support for a newly -independent organization devoted to
	educating nonprofit organizations in their rights and
	responsibilities to shape legislation and regulation at all levels
	of government
Independent Sector	To support the establishment of an Internet-based
	communications system to be used in conjunction with the
	Building Capacity for Public Policy project, a collaborative
	effort being undertaken with the National Council of Nonprofit Associations
Independent Sector	For research and writing activities by Mark Rosenman
Indian River Land Trust	For McKee Botanical Gardens
Institute for Agriculture & Trade	Continued support for a process of scientifically informed
Policy	involvement in resource managament through citizen led
•	partnerships in the Bluffland-Coulee drainage basins of the
	Minnesota, Wisconsin and Iowa rivers
Institute for Education and Advocacy	General support
Institute of Contemporary Art	To help the ICA's collaborating artists work with visual art
	students at Boston's arts magnet public high school
International Center of Photography	To support its expanded Community Programs for Teens
	Program components include Community Record, The
	Internship Program, Re-Visions of El Barrio (a collaboration
	with El Museo del Barrio), Summer Teen Workshops, and
International Court Field Assessment	The Portable Digital Darkroom
International Game Fish Association	General support
Island Institute	A third year of support for a program that promotes the
	restoration and wise management of Gulf of Maine fisheries
	based on the concept of co-management
Island Institute	To hire a consultant to help the organization with strategic
	planning and restructuring
Isles	Continued support for a community development corporation,
	Isles, to implement a process that is promoting smart growth
	by integrating urban and suburban organizing and
	development strategies

Jacob's Pillow Dance Festival and	To help support its School's pre-professional dance training
	1 · · · · · · · · · · · · · · · · · · ·
School	and mentorship for 16 to 18 year-olds Support for Surdna's Family Child Care Initiative
Jamaica Plain Neighborhood	Support for Surdia's Family Child Care Initiative
Development Corp  Jamaica Plain Neighborhood	For training, attracting and retaining family shild care
	For training, attracting and retaining family child care
Development Corp	providers in its system
Jane Addams Resource Corporation	Continued funding for the Transitional Services Program - a
	model, comprehensive education and employment training
Lana Candall Institute	and placement program
Jane Goodall Institute	Roots & Shoots
Jazz at Lincoln Center	Jazz at Lincoln Center requests funding for continued support
	of the annual Essentially Ellington High School Jazz Band
	Competition and Festival
Jewish Family and Children's	Support to expand the Healthy Family/Healthy Child Project
Services	which trains child care providers to recognize the signs of
	abuse, neglect or inadequate parenting that will retard a
<del> </del>	child's development
Jones Harrison Home	General support
Juilliard School	To strengthen its Music Advancement Program (MAP)
	through the support of distinguished faculty and visiting
<u> </u>	artists
Julia Dyckman Andrus Memorial	General support
Julia Dyckman Andrus Memorial	General and capital funding
Julia Dyckman Andrus Memorial	For on-going support of the Yonkers Early Childhood
	Initiative
Julia Dyckman Andrus Memorial	To create a new Web site
Juma Ventures	For the development of a new generation of information
	management, called an Ongoing Assessment of Social
	Impact
Kınhaven Music School	To increase tutition assistance and improve faculty salaries
Labor/Community Strategy Center	Three years of support for a multi-racial regional campaign
Labor Community Strategy Center	that is mobilizing public transportation users, community
	groups and environmentalists to build and maintain a
	transportation system in Los Angeles that is environmentally
	sound
LaGuardia High School of Music and	To support student internships and apprenticeships, provide
Arts	student scholarships for summer and afterschool programs,
	engage guest artists/master class teachers, and foster
•	professional development programs for faculty
Land and Water Fund of the Rockies	Organizational capacity support to increase and diversity the
	Board of Directors and to develop targeted communications
	tools for outreach and fundraising
Land Trust Alliance	To support the launch of a comprehensive initiative to
	improve and sustain the quality of land trusts that will help
	insure the consistency of private land conservation across the
	nation
Lawyers Committee for Human	To support the first phase in a process to improve police and
Rights	community relations in New York City
i agaio	Community relations in Figure 1018 Oily

Load Dortnorohin Croup	For the engage development and implementation of a
Lead Partnership Group	For the ongoing development and implementation of a
	collaborative process that will lead to improved national forest
	management practices that also promote community well-
	being based on the involvement of all interested parties, both
	local and national
League of Conservation Voters	A second and third year of support for a project to increase
Education Fund	the effectiveness of national, state and local conservation
	organizations through list enhancement, and technology and
	leadership training
Leukemia & Lymphoma Society	General support
Levine School of Music	To strengthen its music curriculum for teens. In addition to
	ongoing private lessons, theory classes and ensembles, the
	curricular additions include musical theatre, jazz, summer
	music camps and new technology
Listen, Inc	To work with 10 youth-led community improvement and
	organizing efforts in the Mid-Atlantic region
Little Tokyo Service Center CDC	Support for Surdna's Family Child Care Initiative
Little Tokyo Service Center CDC	To continue the development of its family child care provider
	network
Lobster Conservatory	General support
Local Initiatives Support Corporation	For the National Community Development Initiative (NCDI)
Local Initiatives Support Corporation-	To enable this new national forum prepare and present a
CEO's For Cities	competitive cities agenda to the new presidential
	administration
Local Initiatives Support Corporation-	Support for three community development corporations to
New Communities Initiative	engage in comprehensive community development efforts
	that address the dearth of services and quality of life issues in
	three Chicago neighborhoods
Los Angeles County High School For	To develop an intensive four-week summer program,
The Arts	enhance the visiting artist series, support student
	scholarships to attend performances, underwrite five major
	theater productions, and increase the school's inventory of
	instruments
Maclay School	General support
Manhattan School of Music	Manhattan School of Music requests funding to support
	tuition-free training for talented students from the NYC public
	schools, grades 5-8 (middle school), through a Summer
	Music Camp and year-round study in the Preparatory Division
	of the School
Marine Fish Conservation Network	For the ongoing work of the MFCN to stop the erosion of the
	conservation provisions added to the Magnuson-Stevens Act
	of 1996, ensure their effective implementation and develop
	and advocate strong conservation provisions when the Act is
	reauthorized
Marwen Foundation	To strengthen its pre-college program Marwen will help
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	talented art students prepare and compete for advanced
	education in the arts Advanced studio courses will be
	integrated with college planning programs
	and and conda housing brodicing

Maryland Association of Nonprofit	Continued support for Standards for Excellence, a project to
Organizations	promote and instruct ethical practices and policies among
Organizations	nonprofits
Maryland Institute College of Art	To provide scholarships for talented, visual art high school
i i i i i i i i i i i i i i i i i i i	students to attend its Pre-College Studio Residency Program,
	and to provide financial aid to outstanding middle and high
	1 · ·
	school visual art teachers attending the MFA in Studio
	program
Massachusetts Association of	Additional funding for the Ricanne Hadrian Initiative for
Community Development Corp	Community Organizing and support for this trade
	association's public policy work
MBD Community Housing	Support for a fundraiser/grantwriter who will secure funding
Corporation	for MBD's new ventures including service and housing
	maintenance companies, and internal capacity building
McAuley Institute	To support the Women's Homeownership Program which will
•	increase homeownership opportunities for women in Kansas
	City, Houston, Washington, DC and the State of New Jersey
Minmy City Pollot	To strongth on its School's are a referenced training
Mıamı Cıty Ballet	To strengthen its School's pre-professional training,
	especially for boys and advanced students, and to make the
	training available to an increased number of low income students
Miami-Dade Community College	To develop an internship and Master Artist-in-Residence
Foundation	program to serve as advanced placement courses for
	seniors, and enhance a Senior Mentoring Program enabling
	performing arts seniors to tutor underclassmen and serve as
	peer role models
Minneapolis Institute of Arts	General support
Minnesota Landscape Arboretum	For the remodeling of the Marion Andrus Learning Center
Foundation	i di dio fomodolling of the Wallett Add as Eschaling Soliton
Minnesota Opera	General support
Minnesota Project	For a campaign to promote renewable energy development in
Trininesota i roject	Minnesota, a state whose energy advocacy strategies and
	successes have been influential across the Midwest
	Successes have been inhacitial across the Midwest
Montshire Museum of Science	General support
Mountain Association For	General operating support for MACED's innovative
Community Economic Development	sustainable community development and economic
	development programs, Communities By Choice, and the
	Appalachian Resource and Design Center
Music Institute of Chicago	To help provide advanced music training for musically gifted
	teens unable to afford this level of music education, and to
	help support master classes and performances by guest
	artists
National Center for Family	For Leadership and Continuity, a research and education
Philanthropy	project that will reveal how wealthy families develop
,	philanthropic goals and create vehicles implement them
National Center for Victims of Crime	General support

the Law organize a comprehensive index of research materials, including books, articles and other documents, concerning nonprofit legal issues  National Civic League Support for their annual conference  National Committee for Responsive Philanthropy progressive philanthropy watchdog organization  National Council for Science and the Environment Support for the planning stage of a project designed to strengthen the scientific basis for discussions, comparisons and evaluations of forest management practices and their impact on biodiversity  National Council of Nonprofit NCNA serves a network of state nonprofit associations, and our support will help the organization build a range of programs designed to improve the management of member associations and to enhance the capacity of their public policy and advocacy efforts  National Dance Institute of New Mexico To expand programming for the Celebration Team — a dance scholarship program for advanced teen dancers  National Helpers Network, Inc To underwrite the first phase of its initiative, in order to increase awareness of the Network's services and programs  National Housing Development Seed funding for NHDC's program to acquire and preserve a risk (expiring Section 8) affordable housing throughout the country and eventually transfer ownership/management to local non-profits	National Center on Philanthropy and	For the Nonprofit Legal Bibliography Project, an effort to
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and support nonprofit toornology expertise nationwide	INGIMOIR (IN-1 EIN)	and support nonprofit technology expertise nationwide
National Youth Leadership Council For their annual service-learning conference	National Youth Leadership Council	For their annual service-learning conference
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Natural Heritage Institute	A final year of support for the Natural Heritage Institute (NHI)
	program to research, develop and foster improvement in the
	process of negotiating, approving and implementing multi-
	species habitat conservation plans (HCP)
Natural Resources Council of Maine	For a project to protect the biological diversity of Maine's
	North Woods by protecting the land from development,
	establishing a system of ecological reserves, and ensuring
	sustainable forest practices
Natural Resources Defense Council	Support for NRDC's forest initiative which works to shift wood
	production and consumption away from threatened forests,
	promotes Forest Stewardship Council certification and wood
	use efficiency
Natural Resources Defense Council	To bring together its expertise on green buildings, sprawl and
	tax incentives in the creation of an integrated financial
	incentive for smart growth in New Jersey
Nature Conservancy - Minnesota	General support
Chapter	Constant support
Neighborhood Funders Group	For program expansion and capacity building
Neighborhood Funders Group	For increased outreach to the field of philantrhopy
Neighborhood Partnership Fund	To enable a year-long process, facilitated by NPF, to merge
Treignsenreed Farmership Fand	three CDCs in northeast Portland into an entity that will
	represent community interests in the public debate, sponsor
	large-scale housing and economic development initiatives
	hasge-scale flousing and economic development initiatives
New England Aquarium	To support the work of an individual to take advantage of his
Lingrand / Aparlam	position on the New England Fisheries Management Council
	to promote strong conservation measures around themes
	that reflect the vision of the fishing community
	and tonot the violett of the norming community
New England Conservatory of Music	New England Conservatory (NEC) requests funding to
Them angland democratery or model	support its new Music in Education core curriculum for
	undergraduates
New Jersey Future	For an education campaign for candidates and voters in the
The serves of a large	November 2001 New Jersey gubernatorial and legislative
	election to ensure that smart growth and related issues are
	among the major issues of the 2001 campaign
	lamong the major issues of the 2001 our pulgit
New Prospect Development	Police & Community Relations
Corporation	- 0.00 a community reducions
New Song Urban Ministries	Capacity building assistance for the enhancement of the
Tron Jong Orbert Millionics	umbrella organization that supports the five affiliates
	delivering housing, employment, education, arts and health
	services to the Sandtown-Winchester neighborhood of
	Baltimore
Now Modd Comphania	
New World Symphony New York Cares	To mentor students from three middle and high schools
INEW TORK Cares	Ongoing support for the Partners in Technology project,
	which connects individuals with technology know-how and
	nonprofit organizations that need assistance

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New York University	To enable the Center for Real Estate and Urban Policy to create an up-to-date source of data and information about housing and neighbhorhood conditions in NYC that can be used by practitioners, government and advocates
New York University - Institute for Education and Social Policy	For the Insitute's study of Organizing For School Reform
New York Urban League	To create an Urban Youth Platform
New York Youth Symphony	To strengthen the Orchestral Training Program by supporting conducting and coaching staff, equipment rental, rehearsal costs and transportation, and expanding its base of support
Noise Pollution Clearinghouse	For development and refinement of the Washington Watchdog online research tool
North Carolina Center for Nonprofits	In support of the organization's public policy activities
North Carolina School of the Arts	To support student scholarships, enhance the performance training and guest artist program, and provide individual and group career advancement opportunities
Northern Stage	General support
Northwest Atlantic Marine Alliance	General support for an organization of fishermen, community organizers, scientists, conservationists, educators and others that seeks to restore and enhance marine ecosystems in New England
Northwest Side Community Development Corporation	to help this highly successful training and placement organization upgrade its technological capacity, develop a tracking system, and plan a post-welfare reform strategy
NPower	For support of a pilot program to develop ChangeFrame, a suite of software to be delivered by an application service provider (ASP) The software package will be specifically tailored to the data needs of a broad array of nonprofit organizations
NPowerNY	For the creation of a nonprofit technology technical assistance provider Part of a planned nationwide expansion of the Seattle-based technical assistance provider, NpowerNY will bring together products and services of other existing technology resources
OMB Watch/Focus Project, Inc	To help launch the long-term efforts of the Invest in America initiative
Organizing Project	For a process of education for leaders of institutions (schools, congregations, unions and civic groups) and families about the potential of environmentally centered economic development to create living wage jobs for families in the Pacific Northwest
Pace University Land Use Law Center	Continued support for a training program for local leaders in the New York tri-state metropolitan area on local land use strategies

Pace University School of Law	Support for outreach and marketing of the Power Scorecard, a tool to help consumers compare the environmental profile of all the power products available to them
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Pacific Forest Trust	The anchor investment in an innovative conservation forestry investment fund targeted to preserve forest lands in the Pacific Northwest
Pacific News Service-Bay Area Institute	Support for Youth Outlook! and The Beat Within, youth media efforts which help young people become journalists, find strong voices and report on matters of crucial importance to
	youth
Pacific Rivers Council	Continued support of an initiative to develop and implement a comprehensive watershed restoration and management plan in several of the federally administered Southern Appalachian National Forests
Park Slope Christian Help	General support
Partners for Democratic Change	- For a program to provide technical assistance and training to 8 youth-serving agencies seeking to involve young people in meaningful decision-making roles within these agencies and in their broader communities
Partnership for the Homeless	General support
Partnership Project	For a broad-based collaboration among leading environmental groups to build and enhance collective membership lists, to facilitate coordinated actions on shared campaigns, and to make use of the internet as an organizing and communications medium
Peace Games	To implement a set of systems to maintain chherence and quality control during a national expansion of this program
People for Open Space	To implement and communicate a strategic reststructuring plan
People's Light and Theatre Company	To support the further development of its New Voices Ensemblea ten-year collaboration between the Theatre and young people from Chester, PA, and from suburban communities near the Theatre
Perry School Community Services Center, Inc	To implement an intensive job training/career development effort serving both the unemployed and underemployed
PFLAG - DC National Office	General support
PFLAG - DC National Office	General support
Philadelphia Youth Network Inc	To help build service-learning programs for high school students around youth philanthropy and youth-produced media, both intended to build the power of student voices within the school district
Pinchot Institute for Conservation	Support for a nationwide pilot project to demonstrate the applicability of forest certification on tribal lands
Pittsburgh Ballet Theatre	To help support tuition scholarships, student housing stipends, guest faculty fees for The Schenley Program-PBT's School's pre-professional division, and for its Intensive Summer Program (the recruitment vehicle for the Schenley Program)

Planned Parenthood of Minnesota	General support
Planned Parenthood of Northern New England	General support
Planning and Conservation League Foundation	A joint proposal from several California conservation and environmental justice groups for a campaign to build a statewide coalition to change transportation funding policy in California
Plymouth Congregational Church	General support
Pratt Area Community Council	Support a neighborhood-based economic development initiative to recruit & retain local businesses, provide residents access to job readiness & placement services,& strengthen a blighted commercial corridor in the Clinton Hill/Bedford Stuyvesant, Brooklyn
President's Committee on the Arts and the Humanities	Design and publication of the Coming Up Taller Awards booklet and communication efforts
Princeton Project 55	Continued support of The Alumni Network, an effort to establish alumni-based public interest programs at colleges and universities nationwide
Project Row Houses	To help a group of teens design, build and develop 'Community Studio', a house devoted to teen artmaking projects, and to help teens work with an emerging national network of community-based artists projects
Project Row Houses	A final year of support for the housing and development arm of this highly successful community arts organization
Project STEP, Inc	To enhance pre-professional training for intermediate and advanced strings music students, ages 12-18
Public Allies	For continued improvement of its formal learning program, and to help the organization pilot a franchise model as part of its ongoing national expansion
Public Citizen Foundation	A final year of support for a national campaign to promote the benefits of community choice
Public Radio Capital	To establish a financial institution that will help nonprofit public broadcasting organizations secure financing for large capital projects
Public/Private Ventures	To fund the administrative and program costs of the Andrus Youth Service Program
Public/Private Ventures	To support Working Ventures, a comprehensive workforce development curriculum for practitioners, and its advocacy component
Public/Private Ventures	The grant will underwrite the cost of the first annual William Bridges Seminar on Transitions for new Andrus Family Fund (AFF) grantees
Rainforest Alliance	Two years of support for a 'SmartWood' program that will ensure equitable access to forestry certification, special attention to certifications of forests with high conservation or community values and development of innovative new certification models
Rebuild Resources, Inc	General support

Redefining Progress	For a project that will further refine the concept of common
	assets and develop a set of tools that will increase
	communities' capacity to value and improve the management
	of these assets
Reinvestment Fund	to enable TRF to work with financial service consultants to
	review the organization's loan marketing, origination, and
	service functions and apply cost-efficiency recommendations
	to the lending and investment processes
Renewable Energy Policy Project	For development and implementation of an outreach program
	to support the preparation and release of a major new report
	on opportunities for renewable energy and energy efficiency
	in the southern U S
Resource Renewal Institute	For a campaign to advance the concept and policy
	implementation of green planning an approach to
	environmental planning and recovery that is comprehensive,
	integrated, and long-term
Resources For Child Caring	For additional support of its web site development to increase
	outreach to child care providers and parents
Responsive Community	Support for authors' fees, marketing and consulting for this
	quarterly publication focusing on the communitarian
	movement
ROCA Inc	Support for the refinement of an evaluation tool to help it
	measure progress towards its core program goals of helping
	young people be direct agents of change in Chelsea and
	Revere
Rock School of Pennsylvania Ballet	To augment the scholarship support it provides for talented
	dance students-covering tuition, housing, transportation and
	stipends
Rockefeller Family Fund-	General support
Environmental Grantmakeers	
Association	
Rockefeller Family Fund	Support for the EGA's annual retreat
Rockefeller Family Fund-TechRocks	The the annual Circuit Rider Roundup
Rollins College	For a broad, cross-disciplinary service-learning & community
	improvement initiative aimed at building civic involvement for
	its students while building respectful & beneficial relationships
	with service agencies in the surrounding community
Rollins College/Rollins Fund	General support
ROSE Community Development	To assist Rose in its efforts to develop housing units to meet
•	the needs of family childcare providers
Rubicon Programs, Inc	For the development of a new generation of information
, <u> </u>	management, called an Ongoing Assessment of Social
	Impact
Saint Edward's School	General support
Saint Joseph Ballet	To create a new student dance work in 2001, repeat its
	performance in 2002, and help advanced teen dance
	students progress artistically through year-round training
Saint Stephens Episcopal Church	General support
Contractions Episcopai Onulott	rochoral support

San Francisco Community Music	To support its Comprehensive Musicianship Program/Inner
Center	City Young Musicians Program Middle and high school
Cerker	students will receive 36 weeks of tuition-free music training
	astudents will receive so weeks of talker free music duffilling
San Francisco Foundation	For the merger of GenerationNet org and MoveOn org
Community Initiative Funds	or and morger or constant more of and more on ong
Save the Children/Youth Noise	Support for development and launch of Web site to serve
	teenagers in their searches for information and opportunities
	around policy development, youth service and youth
	philanthropy
Save the Children/Youth Noise	Support for development and launch of a Web site to serve
	teenagers in their searches for information and opportunities
	around policy development, youth service and youth
	philanthropy
Scenic America	For an independent consultant to review the American
	Planning Association Legislative Handbook to insure that the
	final draft incorprates the perspectives of several major
	environmental and quality of life groups
Scenic America	A tie-off year of support for a campaign to promote highway
	design that is sensitive to the environment, to aesthetics, to
	the character of place and which fully involves citizens that
	are affected by it
Scholarship Fund	General support
Seattle Foundation	To support a growing network of projects that seek to
	introduce wealthy young individuals into the art of
	philanthropy
Sherwood Conservatory of Music	To strengthen the quality of the Chicago Teen Musicians
•	Program
Sierra Business Council	General support for an association of more than 580 member
	businesses to secure the economic and environmental health
	of the Sierra Nevada
Society for Art Publications	To help support the Meridian Interns Program, a training
-	program that integrates artmaking workshops for teens with
	their management of an art gallery
Somerville Community Corporation	For its comprehensive aproach to community development in
<u></u>	Sommerville
South Carolina Coastal Conservation	A tie-off year of support to enable the executive director of
League	the South Carolina Coastal Conservation League (SCCCL) to
	build the smart growth field and advance the smart growth
	agenda throughout the South and nationally
Southern Appalachian Forest	A tie-off year of support for a campaign to permanently
Coalition	protect Southern Appalachian public forest lands and critical
	private land holdings
Southern Environmental Law Center	For a strategic planning process
Southern Environmental Law Center	Support for a southeast regional program of transportation
	reform and related land use reform issues
St Joseph Hope Community	General support
St Mark the Evangelist School	General support
St Mary's PTO	General support

St Paul's Episcopal Church	General support
Stanford Jazz Workshop	To help support its Jazz Camp, a summer residential jazz
Otalijoja da <u>zz</u> Womenop	education program for youth
Stanford University School of	For an initiative linking extensive understanding of youth
Education	development and deep research capacities of a major
200041011	university with a community-building program in two Bay Area
	municipalities that puts young people major leadership roles
	para year g people major reducing relies
Street Level Youth Media	To increase the Special Projects division's capacity to
	produce high quality advanced work
STRIVE-East Harlem Employment	To continue and enhance STRIVE's Career Advancement
Service Inc	program aimed at low-wage workers moving up the career
	ladder into living wage jobs
Surface Transportation Policy Project	A third year of support for a coalition of the nation's leading
	advocates for smart growth
Surface Transportation Policy Project	To help expand the national coalition of transportation reform
	advocates and to develop the policy framework for a major
	new transportation reform campaign
Sustainability Institute	To build the capacity of the Sustainability Institute, so that it
	can educate and communicate to a broad audience its two
	powerful sets of concepts systems thinking and
	sustainability
Sustainable Northwest	A second year of support for a project that seeks to make
	forest restoration more viable for rural Northwest
	communities by better commercializing the by-products of
	forest ecosystem management
Tall Timbers Research, Inc	General support
Taxpayers for Common Sense	A tie-off year of support for a campaign to reform the U S
	Forest Service budget by eliminating timber sale subsidies
	and improving fiscal and environmental accountability of the
	Forest Service timber management program
T (	A 4.0 of 6.00
Taxpayers for Common Sense	A tie-off year of support for a campaign to reform the US
	Forest Service budget by eliminating timber sale subsidies
	and improving fiscal and environmental accountability of the
	Forest Service timber management program
Tellus Institute	For a symposium to explore the environmental and land use
renos institute	impacts of e-commerce
Temple University - Tyler School of	To strengthen partnerships between Art Works in Different
Art	Places (AWDP) and three community centers
Tennesee Valley Energy Education	Continued support for a campaign to promote the use of
Foundation	clean energy in the Tennessee Valley through consumer
	education about renewable energy, energy efficiency and
	Tennessee Valley Authority's green power program □
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Texas Citizen Fund	Continued support for the Texas Citizen Fund's Just
	Transportation Alliance to build, train and support local citizen
	alliances in 32 Texas cities to increase informed citizen
	involvement in transportation planning and implementation

The Dane	ITA madamenta a ballatia annualisa and amanad ana constituti
The Door	To underwrite a holistic counseling and support program for young people aging-out of the foster care system that combines expressive therapies (e.g., art, music, drama) with mentoring
The Natural Step	For support of the expanded dissemination of a science-
The Natural Step	based framework on ecological and economic sustainability
	to the business community
The Point	To help strengthen its collaboration with its major arts
I THE FOILE	partners, Arthur Aviles Typical Theater, and the International
	Center of Photography Both arts programs engage young
	people, ages 12 to 18
Tides Center-Green Media Toolshed	Continued support for a cooperatively run national
The Society Steel Media 700ished	clearinghouse of media related tools and information to be
	used by member local, regional and national non-profit
	environment, conservation and preservation groups
	groups and process ration groups
Tides Center-International Center for	To fund the salary and related expenses for an AFF Fellow
Transitional Justice	
Tides Center-National 4-H Council	Support for the a website which will link the many national
	efforts focused on youth govenance of community-based
	institutions
Tides Center-Youth United For	Continued funding for a two-site program involving young
Community Action	people in community improvement. In East Palo Alto, youth
	are challenging local polluters In South Central, LA,
	students have focused efforts to improve a disasterously
	inadequate high schools
The Winsor School	General support
Time Dollar Institute	Third year support for the D C Youth Court The court
	enables youth to have a voice and role with the juvenile
	justice system, and creates a visible peer culture of civic
	responsibility with support from key judicial and community stakeholders
Trevor Day School	General support
Trust for Public Land	To help expand its capacity at the national, regional and state
Trust for a done cand	level to conduct a program that will increase state and local
	public finance for open space protection
Tufts University - Global	This is a proposal to cover partial salaries of researchers on
Development and Environment Inst	the most comprehensive text available on sustainable
	development
Tufts University-Lincoln Filene	To support a research project that seeks to determine how
Center for Citizenship & Public	nonprofit groups decide whether or not to engage in
Affairs	advocacy efforts The project will also develop new
	approaches to convince nonprofit leaders of the legitamacy of
	advocacy activities
United Neighborhood Houses of New	For continued development of its Neighborhood Networks for
York, Inc	Family Child Care
•	Support for Surdna's Family Child Care Initiative
York, Inc	
United Way of Minneapolis Area	General support

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University of California San Diago	For the establishment of a new secretarist that will around a
University of California, San Diego	For the establishment of a new secretariat that will provide a forum for the directors and leaders of major oceanographic institutions around the world to promote global (and
	domestic) oceanography
University of Chicago-Chapin Hall Center for Children	For a study concerning the various ways nonprofits can pursue strategic restructuring. The study will outline the benefits and challenges of going through mergers, entering joint ventures or pursuing a range of other changes in corporate structure.
University of Illinois - C A S E L	Continued support of this national network of educators, researcher and policy makers who promote the creation, dissemination and institutionalization of high-quality social and emotional learning programs in schools
University of Minnesota-Center for	Continued support for refinement & field testing of an
Democracy & Citizenship	evaluation tool for Project Public Achievement It promotes direct civic involvement for middle school & college students. The evaluation takes into account individual growth & clear
Linuarath, of North Carolina	community change For the Elizabeth Langford Davenport Scholarship Fund
University of North Carolina- Greensboro	To the Enzapeth Earlyfold Davenport Scholarship Fund
<del></del>	Planning funds for the Greater Philaselphia High School
Greater Philadelphia	Partnership
University of Washington - Public	For the preparation of information on tools and approaches
Policy Mgmt	that metropolitan regions are employing to implement smart growth
Upper Valley Land Trust	General support
Urban Ecology	To build the organizational capacity of six lead organizations in a coalition of fifty groups that is seeking to reform transportation investment and land use planning in the Bay Area
Urban Edge Housing Corporation	To help this mature and complex community development corporation expand its organizational capacity and improve its infrastructure
Urban Institute	Continued support of a research and seminar series devoted to issues in the regulation of nonprofit advocacy
Urban Retrievers-Philadelphia	General support for a youth organizing group that carries out
Student Union	campaigns to improve specific schools and also pushes for district-wide education reforms
Urban Retrievers-Philadelphia Student Union	For meetings, staffing, and a special consultant for finance
Urban Strategies	For a complete assessment of the strengths, weaknesses, and interests of the new and returning residents of a HOPE VI project on the Near Northside of Minneapolis
Urban Ventures Leadership Foundation	A one-year grant to support a computer learning lab, business assistance, job training and a jobs linkage program with neighboring businesses in one of Minneapolis's most under-served neighborhoods
Vanderbilt University	General support

Venture Philanthropy Partners	For support of a new fund that intends to provide cash
	donations, management assistance and information
	technology solutions to child-serving charities in the
i	Washington, DC - metropolitan area
Walker Art Center	For the annual fund and the renovation campaign
Walker Art Center	To support its Teen Programs, and share what it has learned
	regarding teen involvement through a new dissemination
	project Working with a consortium of colleagues from eight
	museums and art organizations, it will share best practices
	nationally
We Interrupt This Message	To develop a Youth Council with members drawn from a wide
	range of Bay Area youth-serving agencies It will monitor
	coverage of youth and youth issues in mainstream
	newspapers & television, & work with these media to
	coucounteract biased stereotype
Welfare to Work Partnership	Continued support for the Partnership's Technology Initiative
l	and funds to build the internal technology capacity of the
	organization
  Wesleyan University	To hire a consultant to construct a prelimitary plan and
Viesicyan Oniversity	architectural study for a community arts center and art
Modern Hawarash	studios for Wesleyan students  General support
Wesleyan University Western Environmental Law Center	
western Environmental Law Center	To help the WELC to provide legal counsel and technical
	assistance to local governments in New Mexico in the
	adoption, implementation and enforcement of land use
	subdivision regulations and ordinances regulating mining,
	logging and growth and development
Westminster Presbyterian Church	For Completing the Celebration Campaign
WFSU-FM/FSU Foundation	General support
Wilderness Society	For a project to reestablish a network of wildlands and
 	thereby create a healthy wilderness system in the Northern
	Rockies
Women's Community Revitalization	To enable WCRP to continue its Community Based
Project	Employment Initiative aimed at meeting the employment
7.10,000	needs of community members affected by welfare reform
	The cas of commany manuals and colea by we have felom
Women's Housing And Economic	For strategic communications activities
Development Corporation	or strategie sommenestions delivines
World Wildlife Fund	For a key environmental stakeholder to enhance Forest
Trong Triidine i did	Stewardship Council certification efforts and improve forest
į	management practices across the United States
Yerba Buena Center for the Arts	To strengthen the year-round Young Artists at Work arts
reiba Buella Center for the Arts	
VMCA of Albumuses	employment and training program
YMCA of Albuquerque	For a youth media program
Youth Empowerment Center	To train organizers and build strong youth-led organizations
	prepared to tackle important policy concerns in the Bay Area
Youth Law Center	To fund the Transitions to Independence nortion of the Verith
roun Law Center	To fund the Transitions to Independence portion of the Youth
	Law Center's project on Faith Communities for Families and
	Children (FCFC)

Youth Radio	First year support for its core youth development and media
TOULT NAUIO	program Also, funding for a national network formed with
	eight other youth media outlets in geographically dispersed
	communities
Youth Service California	To help the organization move through Phase II of a merger
Today of the orange in a control of the control of	To holp the organization more throught had a morge.
Youth United For Change	Support to develop an additional school-based chapter of a
	youth organizing initiative which trains and supports students
	as they directly improve their schools, and work on general
	education improvement city-wide
Zaccho Dance Theatre	To support teen participation in the final year of projects in
1	the Bayview/Hunter's Point neighborhood in San Francisco,
	and the Red Hook neighborhood, in Brooklyn, New York
*Arts Teachers Fellowship	
Program	
Anne Averre	To enter into a formal mentorship with IMAGO THEATER,
	resulting in the production of an original interdisciplinary work
	that will premier in a prominent Portland theater
	' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '
Gregory Baber	To further enhance Classical acting skills by attending a
	master class in Shakespearean Acting with the British-
	American Drama Academy at Balliol College, Oxford,
	England
Beth Balliro	To travel for three weeks through the island of Kyushu, Japan
	to observe the creation of traditional pottery, and study with a
	ceramic mentor, Ben Ryterband, upon return
Maurice Byers	To compose a ballet score for the October Ballet Co in Ho
	Chi Minh City, Vietnam at their invitation, and follow up with
	performances and exchanges with the dance division of
	LaGuardia High School
Fernadına Chan	To explore choreography & dance technology by participating
	in 3 summer workshops at Arizona State University, and
	studying at Concord Academy's Summer Stages Dance
Angela Ellsworth	To create an autobiographical performance piece through
7 Igola Elisworth	research in Utah, and residency in one of three artists'
	colonies to develop the work
Luis Flores	To produce new print work and to work at Goya Girl Press to
23.0 1 10.00	produce a limited edition print, and to learn new printmaking
	techniques through a month long residency at The Virginia
	Center for the Creative Arts
Jennifer Gifford	To study and work with figure drawing teachers at the School
	of Visual Arts in NYC, or at Haystack School of the Arts in
	Maine
Rona Glasser	To improve artistic skills, try new materials, and invigorate
	artistic vision through workshops at the Fine Arts Work
	Center in Provincetown and classes at the Ringling School of
	Art, Sarasota
Jarvis Grant	To explore & receive training in digital photographic
	printmaking & electronic media delivery methods
	printing a ciceronic media derivery methods

Jonathan Holt Howard	To compose and record a set of musical pieces that explore
jonatian Hoit Howard	the relationship between text & music & utilizes advanced
	electronic techniques, through collaboration with a writer,
	choreographer, & several musicians based in the
	Minneapolis area
William Jung	To take a class and learn about lithography at the Manhattan
william Jung	Graphics Art Center and to hire a Master Printmaker, Ms
	Judy Mensch, to assist in making experimental lithographic
Thomas Kanthak	prints To result the and expend a musical callaboration, develop
rnomas Kantnak	To revitalize and expand a musical collaboration, develop
	new group improvisational curriculum, and create a
	workshop, seminar, and performance with Canadian flutist,
	Dr Jonathan Bayley, at Banff Center for the Arts, Summer
Charlest Lucas	2001
Elizabeth Lyons	To study sculpture and glass with Jaminx and Enar de la
Neney Norwood	Torre at Haystack Mountain School
Nancy Norwood	To create a series of short, thematic video poems to expand
	knowledge about digital cinema through experimentation and
	critiques by Kevin Smith and Nathan Lyons in Rochester, NY
Carol Panaro-Smith	To learn the use of photo polymer plates for printing letter
	press and photogravure with James Hajicek, also learn new
	bookmaking techniques at the Columbia College Chicago
	Center for Book and Paper Arts
Mary Parker	To attend 'A Print Odyssey 2001,' a print symposium in
	Cortona, Italy, to research and create work through travel in
	Italy, participating in a color woodcut course at Santa
	Reparata International School for Art
Michelle Tillander	To take a workshop with Elizabeth Opelenick 'The Romantic
	Image' and Katrın Eısman, 'The Complex Image,' at the
	Maine Photographic Workshops, expanding methods of
	using digital and photographic media
Richard Titone	To attend an Artist-in-Residence program with the Gramercy
	Brass Orchestra, with activities including performance with
	the ensemble, writing an original work for the group and
	receiving mentoring in performance and Brass Band
	conducting
Lily Weiss	To expand knowledge of modern dance repertory through an
•	internship with The American Dance Legacy Institute
Susan Willis	To attend a three-week, technical and design workshop led
	by Manfred Bischoff at Alchimia, Contemporary Jewelry
	School in Florence, Italy

Form **8868** (December 2000)

### Apple ion for Extension of Time File an Exempt Organization Return

Department of the Treasury Internal Revenue Service

File a separate application for each return

OMB No 1545-1709

• If you	are filing for an Automatic 3-Month Extension, complete only Part I and check this box are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this not complete Part II unless you have already been granted an automatic 3-month extension on a pi	·
Part I	Automatic 3-Month Extension of Time - Only submit original (no copies needed)	
Note Fo	rm 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file incor	ne tax
Type or print	Name of Exempt Organization SURDNA FOUNDATION, INC. ATT: MARC DE VENOGE	Employer identification number
File by the due date for filing your return. See	Number, street, and room or suite no If a PO box, see instructions 330 MADISON AVENUE, 30TH FLOOR	13-0100103
Instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10017-5001	
Check ty	pe of return to be filed (file a separate application for each return)	
For	m 990	227 69
● If this is box ▶ 1	rganization does not have an office or place of business in the United States, check this box is for a Group Return enter the organization's four digit Group Exemption Number (GEN) If this is for part of the group, check this box	members the extension will cover
<b>▶</b> (	calendar year or and ending JUL 1, 2000 and ending JUN 30, 2001	
2 If th	ns tax year is for less than 12 months, check reason  Initial return  Final return	Change in accounting period
	is application is for Form 990 BL, 990 PF, 990-T, 4720, or 6069, enter the tentative tax, less any inefundable credits. See instructions	<u>\$ 960,000.</u>
	ns application is for Form 990-PF or 990-T, enter any refundable credits and estimated payments made. Include any prior year overpayment allowed as a credit.	s 1,116,265.
	ance Due Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with pon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	FTD <b>\$</b> 0.
	Signature and Verification	
	ulties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the prrect, and complete, and that I am authorized to prepare this form	best of my knowledge and belief,
Signature	<b>▶</b>	Date
	or Paperwork Reduction Act Notice, see instruction	Form 8868 (12-2000)

SURNA FOUNDATION, INC. EIN: 13-6108163 FORM 990-PF JUNE 30, 2001

#### Footnote to Schedules of Capital Gains and Losses

Method of acquisition was by purchase unless donation, by John E. Andrus Trusts #1 and #2 U/A dated 12/30/21 and 12/29/22, respectively, is indicated. Date acquired by the Trusts and tax cost of the Trust are shown. Property acquired by the Trusts prior to 1970 is considered held by Surdna on 12/31/69 under Revenue Ruling 76-424, since John E. Andrus, the Trust Grantor, died prior to 1970.

## The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GHA SURDNA FOUNDATION - STANDISH, AYER

82838 ACCOUNT NO 26-00351 86210

9 0% DNE 08-12-5006 KEC

UNITED STATES TREAS HTS DTD 08/15/1999

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19'102'\$	59 00£'66	105,602 25	PAR VALUE 111,600.00 SOLD 07-07-00 ACQUIRED 02-25-00
			94-15-06 REG 121N#X20049993636 4-15-06 REG 121N#X20049993636
25.86p,8	ST 302,379,1	00 000'086'I	PAR VALUE: 2,000,000 00 SOLD 07-07-00 ACQUIRED 06-13-00
			UNITED STATES TREAS UTS 5 5% DUE 08-31-2001 REG
-61 912,5	<b>61 184,1</b> 5	00 ZY8,2 <u>S</u>	PAR VALUE: 50,000 00 SOLD 07-06-00 ACQUIRED 12-15-99
-26 185'5	26 122'55	00 051,62	PAR VALUE; 100,000 00 SOLD 07-06-00 ACQUIRED 11-18-99
			05-01-5008\05-01-5002 KEC Kearon Conzinee Brods cokb 8 952% die
-05 715,8	05 618,02	00 005'25	PAR VALUE: 75,000 00 SOLD 07-05-00 ACQUIRED 11-18-99
			05-01-5008\05-01-5002 KEC KEATON CONZNWEK LKODZ COKL 8 952% DNE
			SHORT TERM CAPITAL GAINS AND LOSSES
CAIN/LOSS	STŠVE CO21	SALES	DATE SOLD SESCRIPTION OF PROPERTY ACQUIRED

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA OYBSS ACCOUNT NO Z6-00351 86210

EHFWC COLD POOL C38552 7 0 05-01-2030

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9£ 9 <i>LL</i>	६९ डाड'६८।	154'531 66	6 0% DUE 08-15-2009 REG SOLD 07-13-00 PAR VALUE 125,000 00 SOLD 07-13-00 ACQUIRED 06-13-00
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11 3// 1	23 702 102	72 132 011	UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
Lb 509	ZL 115'59Z	61 111,262	PAR VALUE 250,000 00 SOLD 07-13-00 ACQUIRED 06-20-00
			ACQUIRED O4-05-00  NUITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
-12.072,2	60 952'205	87 276,792	6 25% DUE 05-15-2030 BEQ PAR VALUE, 375,000 00 SOLD 07-13-00
			ACQUIRED 06-13-00 UNITED STATES TREAS BDS DTD 02/15/2000
64 147 64 645 64 646	COST 212A4 197,625,00	SALES PROCEEDS 198,148 44	DESCRIPTION OF PROPERTY  PAR VALUE 200,000 00 SOLD 07-11-00

## The Northern rust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

**GOOW GMA** BAA 07822 ACCOUNT NO Z6-00351 SURDNA FOUNDATION - STANDISH, AYER 86210

02-01-5002 KEC

ICI COMMUNICATIONS INC 6 375% DUE

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GAIN/LOSS	7200 212 <u>A4</u>	<u> SVOCEEDS</u> 24 E2	DATE SOLD\ ACQUIRED	DESCRIPTION OF PROPERTY
S0 68 T	99.872,2	17 568,2	00-71-70 00-81-90	PAR VALUE 5,562 71 SOLD ACQUIRED
				UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG
-95'965	69 508'501	SI 015'501	00-0Z-90 00-18-20	PAR VALUE: 100,000,00 SOLD ACQUIRED
				UNITED STATES TREAS WTS 7 875% DUE 11-15-2004 REG
-22,603	£0 L01,821	17 760,821	00-61-40 00-02-90	PAR VALUE 150,000 00 SOLD ACQUIRED
			02	BEO PARK PL ENTMT CORP 7 95% DUE 08-01-200
-27 270,1	05 Z98'£LT	27 687,271	00-6I-L0	PAR VALUE: 175,000 00 ASOLD SOLD ACQUIRED
				CCI COMMUNICATIONS INC 6 375% DUE
-26 555'9	71.20S,0Sp	5Z 8b9'£lb	00-61-20 13-08-86	PAR VALUE: 425,000 00 SOLD ACQUIRED

### The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

DOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

SEE THT# 4-880412

05-01-2010 BEO 02910860ADO7 DO NOT USE ##UNITED MEXICAN STS MTM 9 875% DUE

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69,721	54,705 15	28 058'5Z	PAR VALUE: 25,000 00 SOLD 07-21-00 ACQUIRED 06-13-00
			6 0% DUE 08-15-2009 REG
-98 885	76 000,625	11 219,855	PAR VALUE: 225,000 00 SOLD 07-21-00 ACQUIRED 07-07-00
£9 06£	17 112,662	<b>9£ 206,</b> 982	PAR VALUE: 250,000 00 SOLD 07-21-00 ACQUIRED 06-20-00
			UNITED STATES TREAS NTS 7 875% DUE 11-2004 REG
199.22	69 <b>508</b> '501	16 200'901	PAR VALUE 100,000.00 SOLD 07-21-00 ACQUIRED 06-20-00
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ጀፍ <b>9</b> ጀሬ'፣	57 248,242	80 085,725	PAR VALUE 350,000 00 SOLD 07-20-00 ACQUIRED 06-13-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG
-26 952'5	LI 968'ILZ	SS 649,78S	PAR VALUE 275,000 00 SOLD 07-19-00 ACQUIRED 12-08-99
SENTINITOSS	COST SISA&	SWOCEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern \_trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 **BAA** ACCOUNT NO. 26-88551 86210

11-12-2004 KEC

UNITED STATES TREAS NTS 7 875% DUE

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05 7 <i>6</i> 2	00'529'92	05 S90 <i>,</i> 7S	PAR VALUE: 25,000 00 SOLD 07-28-00 ACQUIRED 06-02-00
			BRAZIL FEDERATIVE REP GLOBAL BD 14.5% DUE 10-15-2009 BEO 151N US105756AJ95 SOTS10U
-96 58	66 999'61	80 S82,97	PAR VALUE: 75,000 00 SOLD 07-27-00 ACQUIRED 07-07-00
			UNITED STATES TREAS NTS 7.875% DUE
122 00	05 611,62	05 286'65	PAR VALUE: 50,000 00 SOLD 07-26-00 ACQUIRED 03-02-00
			CK WITCO CORP SR NT 8 5% DUE 03-15-2005
56 B£I	05 8Z['/[	59,785,71	PAR VALUE 17,267.45 SOLD 07-25-00 ACQUIRED 04-05-00
			KEC ŁNW¥ 600F # 225125 1 2% DNE 05-01-5020
00 895	00 02,78	806'58	PAR VALUE 80,000 00 SOLD 07-25-00
€¥IN√F022	COST BASIS	SACEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

OVBSS ACCOUNT NO 26-00351 86210

02-12-5016 REG

UNITED STATES TREAS BDS 9 25% DUE

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69 <i>L</i> 89'Z	[p. <b>2</b> 97, Sp	00 OS+'S+	PAR VALUE 60,000 00 SOLD 08-02-00 ACQUIRED 06-06-00
			BULGARIA BULGARIA GOVT DUE 07-28-2012/07-28-1995 REG
05 ZT\$'T	S7 815,841	52 [55,64]	PAR VALUE: 150,000 00 SOLD 08-02-00 ACQUIRED 06-13-00
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22'655	SZ 905'65	7p 228,6p	PAR VALUE 50,000 00 SOLD 08-02-00 ACQUIRED 06-13-00
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95E 00	Z9 0b['bZb	Z9 <b>59</b> 1'5Z5	PAR VALUE: 400,000 00 SOLD 08-02-00 ACQUIRED 07-13-00
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-15 SOT	99 222'901	61 211'901	PAR VALUE 100,000 00 SOLD 08-01-00 ACQUIRED 07-07-00
<u>CAIN/LOSS</u>	COST BASIS	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

**BAA** OVBSS ACCOUNT NO 26-00351 01598

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES**

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72 <b>6</b> 22	5£ 108,791	Z9 051'861		00 00 ACQUIRE	000'002	PAR VALUE
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10 1% DUE 10-01-2007 BEO MILLIAMS COMMUNICATIONS GROUP INC SR NT

## The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO. 26-00351 B62[0

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-00 551'62	00 559'05	00 005'91	PAR VALUE: 50,000 00 SOLD 08-04-00
			11-01-2008/11-01-2003 BEO
-18 275,02	18 925'59	00 052'\$2	PAR VALUE. 75,000 00 SOLD 08-04-00 00-12-20 ACQUIRED 05-21-00
			DUE 11-15-2009/05-15-2004 BEO PUT RSL COMMUNICATIONS PLC GTD SR NT 9 875%
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CAIN/LOSS	7202 <u>212≜4</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

OLBSS ACCOUNT NO 26-00351 86210

07-28-2012/07-28-1995 REG BULGARIA BULGARIA GOVT DUE

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			6661/51/8	6 0% DUE 08-15-2009 REG
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17 027	129,052 73	<b>ρρ Σ//, 651</b>	CGUIRED 07-13-00 SOLD 08-08-00	PAR VALUE 150,000 00 A
			ana %	UNITED STATES TREAS NTS 7.875: 11-15-2004 REG
89.208,2	88 955'012	95 152'515	CONIKED 00-12-00 20FD 08-01-00	PAR VALUE: 375,000 00 A
CAIN/LOSS	SISA4	SWOCEEDS SALES	DATE SOLD/ ACQUIRED	DESCRIBITON OF PROPERTY

# The Northern rust Company 50 South LaSalle Street Chicago, Illinois 60675 (312) 630-6000

DOOM GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	95 [[L'9[5'Z	<b>55 111'515'2</b>	FEDERAL HOME LN MTG CORP DISC NT DUE 08-16-2000 PAR VALUE 2,550,000 00 MATURED 08-16-00 ACQUIRED 05-30-00
281.16	56 096'S0T	01 242'901	PAR VALUE 100,000,00 SOLD 08-15-00 ACQUIRED 07-25-00
72, <b>7</b> 28	£5 >6£'50{	01 252,801	PAR VALUE 100,000 00 SOLD 08-15-00 ACQUIRED 07-19-00
57.259	£8 850'6L	85 189'6 <i>L</i>	PAR VALUE 75,000 00 SOLD 08-15-00 ACQUIRED 07-19-00
			UNITED STATES TREAS אדב BYEX DUE 11-13-2004 REG
<b>19 10</b> T	16 550,5	85.581.5	PAR VALUE 3,162 38 SOLD 08-15-00 ACQUIRED 04-18-00
			EHTWC COFD 600 C28225 1 0 02-01-5020
67 205,2	12 787, 24	00 260'95	PAR VALUE 60,000 00 SOLD 08-14-00
CAIN/LOSS	COST	PROCEEDS SALES	DESCRIPTION OF PROPERTY DATE SOLD

01-58-5015/01-58-1995 REC BULGARIA BULGARIA GOVT DUE

## The Northern rust Company 50 South Lasalle street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA OYBZS ACCOUNT NO 26-00351 86210

DOE 02-01-5009 BEO 121M 02855060AF95 SPRINT CAP CORP NT DTD 05/06/1999 6 575%

π PAGE

02 898'\$	96 085'611	91 655'581	PAR VALUE 257,925 00 SCQUIRED 08-50-00
			RUSSIAN FEDERATION 8 25% DUE 03-10-2031 BEO
6£ 6L	05'981'6	61 <b>5</b> 98'6	PAR VALUE 9,865 79 SOLD 08-25-00 ACQUIRED 04-05-00
			KEC ENWY BOOF # 225125 1 2% DNE 05-01-5020
12,072,2	00.253,791	18 291,105	PAR VALUE 200,000.00 SOLD 08-23-00 ACQUIRED 06-13-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
29,720,1	78 901, pT	66'991'5 <i>L</i>	PAR VALUE 75,000 00 SOLD 08-18-00 ACQUIRED 06-13-00
			0011ED STATES TREAS UTS DTD 08/15/1999 6 0% DUE 08-15-2009 REC
21 976,5	<b>18</b> 556'25	00 026'55	PAR VALUE 60,000 00 SOLD 08-17-00 ACQUIRED 06-06-00
CAIN/LOSS	COST <u>BAS1S</u>	SWOCEEDS SVIES	DATE SOLDY OF PROPERTY ACQUIRED

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

PAGE

8AA 07822 ACCOUNT NO 26-00351 01598

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

82 228,11	21.266,272,1	Ib 918'98£'[	PAR VALUE: 1,375,000 00 SOLD 09-07-00
			DAIMLERCHRYSLER AUTO TR DAIMLER CHRYSLERAUTO TR 2000-1 A4 7 25% DUE 01-06-2005 BEO
LL SLT	11 185,21	88 295'51	PAR VALUE 75,000 00 SOLD 09-07-00
22.23	70 SS1,2S	25,154 30	PAR VALUE: 25,000 00 SOLD 09-07-00 ACQUIRED 07-05-00
			UNITED STATES TREAS NTS & 625% DUE 04-30-2002 REG
56.978,5	S1 812,821	07 260,121	PAR VALUE 150,000 00 SOLD 09-13-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
00 0	00 508'961	00 508'96[	PAR VALUE: 200,000.00 SOLD 08-29-00
			11-09-2000 FEDERAL HOME LN MTG CORP DISC NT DUE
-01 506	09 685'502	05,286,505	PAR VALUE 225,000 00 SOLD 08-50-00 ACQUIRED 07-11-00
CAINZLOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY DATE SOLD

DAE 00-12-2002 BEO CLEAR CHANNEL COMMUNICATIONS INC 7 875%

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO. 26-00351 86210

9 0% DNE 08-12-5006 KEC

UNITED STATES TREAS NTS DTD 08/15/1999

12 PAGE

69,726	21,828,031	29 594'191	PAR VALUE 150,000 00 SOLD 09-08-00 ACQUIRED 08-03-00
85 551	92 918'92	<b>56 096'9</b> Z	PAR VALUE: 25,000 00 SOLD 09-08-00 ACQUIRED 04-05-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
፤ረ ሪቃቃ'ፍ	5\$ 121, p8p, 1	90 591'885'[	PAR VALUE 1,500,000 00 SOLD 09-07-00 ACQUIRED 06-13-00
			UNITED STATES TREAS NTS 5 5% DUE 08-51-2001 REG
<b>16 128</b>	£0 826,98£	00 051,005	PAR VALUE 220,000 00 SOLD 09-07-00 ACQUIRED 08-25-00
			RUSSIAN FEDERATION EURO 12 75% DUE 06-24-2028 BEO
02.752,8	00'556'652	05,582,525	PAR VALUE: 250,000 00 SOLD 09-07-00 ACQUIRED 07-13-00
			08-11-2005 BEO COLDMAN SACHS GROUP INC NT 7 625% DUE
00 Sb6'b	00 558'652	00 00 <b>8</b> '52Z	PAR VALUE 250,000 00 SOLD 09-07-00 ACQUIRED 06-14-00
CAIN/LOSS	COST BASIS	PROCEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

# The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

02888 ACCOUNT NO 26-00351 86210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

4,030 50	00.067,27	05 028'81	PAR VALUE: 75,000 00 SOLD 09-11-00 ACQUIRED 05-18-00
			PEO DHIFFIES BELE CO MI 8 2% DNE 02-52-5002
ZT 186'T	28 012,081	00 262'28[	PAR VALUE 200,000,00 SOLD 09-11-00 ACQUIRED 01-85-00
			COMCAST CABLE COMMUNICATIONS INC 6 2%
-02 725,5	0£ 266'ILT	00 851,631	PAR VALUE 200,000 00 SOLD 06-28-00
			SPRINT CAP CORP SPRINT CAPITAL CORP
90 021'51	<b>55 851'26</b> 5	05 875,802	PAR VALUE 525,000 00 SOLD 09-08-00
11 261'9	68 612,887	00 272,177	PAR VALUE 800,000 00 SOLD 01-03-00
			NMA NT 5 625% DUE 05-14-2004 BEO
69 619'5	00 529'161	501,304 69	PAR VALUE 200,000 00 SOLD 09-08-00 ACQUIRED 06-13-00
CAIN/LOSS	T200 <u>\$1\$A4</u>	PROCEEDS SALES	DATE SOLDV SCRIPTION OF PROPERTY ACQUIRED

TRANCHE # TR 00119 6 0% DUE 05-15-2004 U S BANCORP MEDIUM TERM UTS- BOOK ENTRY

# The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

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0 **Z 8 S S BAA** ACCOUNT NO 26-00351 86210

N2D1000 9 12% DNE 02-12-5002 KEC UNITED STATES TREAS NTS 6 75% 15/5/2005

05 781,5	00 529'46T	05 218'002	PAR VALUE: 200,000,00 SOLD 09-12-00 ACQUIRED 06-13-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6.0% DUE 08-15-2009 REG
68.515,1	82.601,27	75.555.27	PAR VALUE 75,000 00 SOLD 09-12-00 ACQUIRED 06-13-00
			6 0% DUE 08-15-2009 REG NAITED STATES TREAS HTS DTD 08/15/1999
-16'195	95'158'562	59 685'562	PAR VALUE, 275,000.00 SOLD 09-12-00 ACQUIRED 08-03-00
			UNITED STATES TREAS BDS DTD OS/15/2000 6 25% DUE 05-15-2030 BEO
88 793	173,262 28	91 099'£11	PAR VALUE, 175,000 00 SOLD 09-12-00 ACQUIRED 06-13-00
			UNITED STATES TREAS NTS 5 5% DUE 08-31-2001 REG
- <b>59</b> 689'£	51 ZII' <b>£9£</b>	08 SSP, 688	PAR VALUE 375,000 00 SOLD 09-11-00 ACQUIRED 12-13-99
CAINZLOSS	C021	SALES PROCEEDS	DESCRIPTION OF PROPERTY  DESCRIPTION OF PROPERTY  DESCRIPTION OF PROPERTY

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 01598

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#### 10-02-90 HDUORH 00-10-70 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **FEDERAL SCHEDULE OF GAINS AND LOSSES**

<u>eain/loss</u>	212A4 212A4	SALES	SCRIPTION OF PROPERTY ACQUIRED
- <b>5</b> 2 251	£0 \02,2££	69 <b>550</b> '555	PAR VALUE: 525,000 00 SOLD 09-11-00 ACQUIRED 09-11-00
			ALBERTA ENERGY LTD NT 8 125% DUE 09-15-2050 BEO
00 158'Z	298,197 00	00 850,105	PAR VALUE: 500,000 00 SOLD 09-12-00 ACQUIRED 09-12-00
			DNE09-12-5016 BEO WEXICO-NMILED WEXICAN STS BD 11 375%
-00 092'2	00 000'96	00 059'26	PAR VALUE: 80,000 00 SOLD 09-15-00 ACQUIRED 05-16-00
-00 006	00 051,78	00 058'98	PAR VALUE 75,000 00 SOLD 09-13-00 ACQUIRED 07-25-00
			6 0% DUE 08-15-2009 REC
01 572'6	242,083 02	SI 8S&, IES	PAR VALUE 250,000 00 SOLD 09-14-00 ACQUIRED 06-13-00
21 112,1	ZT 888,2T	pp.862,27	PAR VALUE: 75,000 00 SOLD 09-14-00 ACQUIRED 06-27-00

PHILLIPS PETE CO NT 8 5% DUE 05-25-2005

BEO

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA ACCOUNT NO 26-00351 07822

86210

8 0% DUE 08-15-2009 REG

UNITED STATES TREAS NTS DTD 08/15/1999

30**∆**9 71

-2 <i>L</i>	00'002'087	82 <i>1</i> 25'89ī	SHARES 1,700 00 SOLD 09-15-00 ACQUIRED 04-04-00
			EXCHANGEABLE SER B 13% PYTPL ADELPHIA COMMUNICATIONS CORP PFD
52 28	<b>59</b> .599	20 889	PAR VALUE 688,02 SOLD 09-15-00 Acquired 04-18-00
			EHFWC COFD 600 C28225 1 0 02-01-5020
69 508	56 096'S0T	£9,897,801	PAR VALUE: 100,000 00 SOLD 09-14-00 ACQUIRED 07-25-00
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
-22 655'[	18.525,781	65 281'981	PAR VALUE: 175,000,00 SOLD 09-14-00 ACQUIRED 08-05-00
			VNITED STATES TREAS BDS DTD OS/15/2000 6 25% DUE 05-15-2030 BEO
05,197,5	00 098'65	05 109'25	PAR VALUE: 50,000 00 SOLD 09-14-00
CVINTOSS	T202 ZIZAĄ	BROCEEDS S∀res	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern rust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 86210

30A9 8 [

				00-11-20	VCONIBED		
25 795	26	<b>192'92</b>	27 26,544 75	00-50-00	гос	00 000,25	PAR VALUE
				00-51-70	ACQUIRED		
<b>585 20</b>	52	155,441	122,723 75	00-02-60	פסרם	155,000 00	PAR VALUE
							BEO
				6008	2-51-20 3nc	3 %2YB Y 9900	LIBERTY MEDIA
				10-01-66	ACQUIRED		
15 161'	٥٥ ل	005'902	15 169'212	00-50-60	20רם	00 000'511	PAR VALUE
					3NO %521		OR-12-2021 REC
				00-12-90	ACQUIRED		
26 615	2 05	192,937,	26 788,891 42	00-61-60	070S	00 000'051	BUJAV AA9
					3na %52		UNITED STATES 02-15-2016 REG
				00-91-90	ACQUIRED		
210 00	0 0	0 <i>5</i> 7,85	00 056'82	00-81-60	20רם	00 000'02	PAR VALUE,
				03			PANAMA REP PAN 9 SPARESPEKOT 9 1 TUG
				00-12-90	ACQUIRED		
95 101'	15 7	988'21	82,889,27	00-51-60	פסרם	00 000,27	PAR VALUE
THALOSS	12 CA		PROCEEDS SALES	DATE SOLDV ACQUIRED		ROPERTY	DESCRIPTION OF P

## The Vorthern rust Company 50 south Lasalle Street, Chicago, Illinous 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

**BAA** 07822 ACCOUNT NO 26-00351 86210

6 I PAGE

£0 70	28 20	5 985 92	169'92		VCONIKED 20FD	00.0	S2'00(	PAR VALUE
02 80	99 ) ا	เร <b>ะวร</b> 81	58 628'98I		ACQUIRED SOLD	00 (	000'541	BUAN YAS
					875% DUE	. Z S		HTAT2 G3TINU P 2005-21-11
6 <b>5</b> 51	l <i>&gt;</i> 09	195,75	66.2 <i>TT,</i> TS		ZOCD ZOCD	- 0£ 2	26695	BULAV AA9
-11 50	06 00	059'£	£8 9£ <i>L</i> 'Z		VCONIKED 20FD	00 (	159'\$	PAR VALUE
18.88	0 S	. 552, 0S	£8 605'0Z		VCONIBED 20rd	5Z 9	i <b>b!</b> ' <i>L</i> Z	PAR VALUE
					E			04-12-5014/0 BKAZIL C SER
<b>ረድ</b>	7 <i>2</i> 58	899'\$Z	05'296'22		SOLD SOLD	00 (	20'00	BUJAV RA9
				<b>!</b>	102-71-70	эna	TR RAV RYB	PANAMA IRB 1
ST 96	6 00	855'52	SL	00-51-00	VCONIKED 20FD	00.0	000'SZ	BUJAV AA9
SS017				DATE SOLDV ACQUIRED			F PROPERTY	SESCRIBITON OF

### The Vorthern \_ rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

DOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

REC

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			FMMA POOL # 528561 8 5% DUE 05-01-2030
-29 I	329 49	78 72£	PAR VALUE. 357 87 SOLD 09-25-00
			KEC EMWA 6000 # 528188 8 5% DNE 07-01-2050
- 52 \$	52 617	66 SIL	PAR VALUE 715 99 SOLD 09-25-00 ACQUIRED 05-25-00
			KEC EMMA POOL # 547078 8 5% DUE 07-01-2030
£9.17S	22,484 50	\$1.827.88	PAR VALUE 53,756 13 SOLD 09-25-00 ACQUIRED 04-05-00
			ENWA POOL # 532152 7 5% DUE 02-01-2050
28.02 <b>6.</b> 1	60 291'565	16 205'965	PAR VALUE: 500,000 00 SOLD 09-25-00 ACQUIRED 06-13-00
			UNITED STATES TREAS NTS 5 5% DUE 08-31-2001 REG
-90 625	27 EPE,8SS,1	69 508,755,1	PAR VALUE: 1,150,000.00 SOLD 09-25-00 ACQUIRED 09-07-00
95 158	88 964'651	55 851,031	PAR VALUE 150,000 00 SOLD 09-25-00 ACQUIRED 08-25-00
CAINZLOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

08-12-500¢ KEC

ICI COMMUNICATIONS INC 8 65% DUE

SJ B**V**CE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF CAINS AND LOSSES**

. VALUE: 150,000 00 SOLD 10-04-00 150,041 01 152,916 27 2,875 26- ACQUIRED 06-27-00 . IPS PETE CO NT 8 5% DUE 05-25-2005	4A9
	BEO BEO
	1 <b>A</b> 4
ED STATES TREAS HIS DID OB/15/1999 ED STATES TREAS HIS DID OB/15/1999	
- PO 21 SOLBO 725,000,000 SOLD 09-27-00 TB 700,807 BI SOLD 00,000,627 BS SSOLD 00.000,627 BS SSOLD 00.000,627	1 <b>A</b> 9
TBA POOL 7 0% GOLD 30YR NOV	EHFW
\$\text{VALUE} \text{714,295 00 \text{SOLD 09-27-00} \text{698,251 27 \text{690,020 14} \text{8,231 13}	i <b>A</b> 9
COLD POOL C38552 7 0 05-01-2030	EHFWC
YCONIKED 08-52-00 14 20 14 20 0 09-52-00 14 20 14 20 19-00 14 20 19-00 14 20 19-00 14 20 19-00 14 20 19-00 14 20 19-00 1	i <b>A</b> q
0007-10-50 B 02-10-5020	ENWA Keg
-05 S 88.272 87 S72 SOLD 09-25-00 AY S78 SOLD 09-25-00 AY S78 SOLD 09-25-200	1A9
DATE SOLD SALES COST PROPERTY BASIS GAIN/LOSS PROCEEDS BASIS GAIN/LOSS	DESCRI

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

04888 86210

9 0% DOE 08-12-5006 KEC

UNITED STATES TREAS NTS DTD 08/15/1999

ZZ PAGE

10-02-90 HANDUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 SZO'ī	00 529'92	00 059'LZ	PAR VALUE 25,000 00 SOLD 10-11-00 ACQUIRED 06-02-00
			BRAZIL FEDERATIVE REP GLOBAL BD 14 5% Due 10-15-2009 BEO 151N US105756A193 507510U
27.858,2	pp &11,7p1	91 015'0SI	90-72-90 SOLD 10-06-00 PAR VALUE 150,000 00 000.021 3UJAY RAG
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
S9 Z59	58 515'12	05 <i>L</i> S1,27	PAR VALUE 75,000 00 SOLD 10-06-00
			FORT JAMES CORP & 625% DUE 09-15-2004
05.218,6	290'565	00 878,002	00-01
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
SZ 560'Z	DS 165'055	27,568,5pp	PAR VALUE 425,000 00 SOLD 10-04-00 ACQUIRED 07-19-00
S1 855,1	05'568'582	52 855'982	PAR VALUE 275,000 00 SOLD 10-04-00 ACQUIRED 07-19-00
<u>GAIN/LOSS</u>	COST SISAR	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 86210

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-06 SZZ'\$I	06 058'812	00 529'502	PAR VALUE: 350,000 00 SOLD 10-11-00 ACQUIRED 01-06-00
			CHARTER COMMUNICATIONS HLDGS CAP CORP CHARTER COMMUNICATIONS HLDGS CAP CORP SRDISC NT DTD 01/12/2000 STEP UP DUE 01-15-2010 01-15-2005 BEO
			ACQUIRED 07-07-00
77 S42	61 788,2S	96 602'52	PAR VALUE: 25,000.00 SOLD 10-11-00
			UNITED STATES TREAS NTS DTD 08/15/1999
			00-Y0-T0 GBRIUDDA
59 Lbs	61 788, ps	98 P[2,25	PAR VALUE∙ 25,000 00 SOLD 10-11-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG .
			ACQUIRED 07-000
59 TPE	61 T88,25	58 ptz'5Z	PAR VALUE: 25,000 00 SOLD 10-11-00
			VCGNIKED 06-27-00
29 515'2	99.277,791	70 e85,121	PAR VALUE: 150,000 00 SOLD 10-11-00
CAIN/LOSS	COST EASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

9 0% DNE 08-12-5006 KEC UNITED STATES TREAS NTS DTD 08/15/1999

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

AAB 07822 01598

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

CAIN/LOSS	T203 SISA4	SALES	DATE SOLD\ DESCRIPTION OF PROPERTY ACQUIRED
91 016'\$	22 275,252	65 281,722	PAR VALUE: 325,000 00 SOLD 10-12-00 ACQUIRED 07-07-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
00 005'\$	02.756,891	02 782,505	PAR VALUE: 200,000 00 SOLD 10-12-00 ACQUIRED 07-00
17 185,8	27 185,851	156,553.45	PAR VALUE· 125,000 00 SOLD 10-12-00 ACQUIRED 07-18-00
LS 9Lb	18 251,02	88 609'05	PAR VALUE 50,000 00 SOLD 10-12-00 ACQUIRED 08-04-00
			UNITED STATES TREAS UTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
20 TOS	15 990'SZ	pp &12,85	PAR VALUE: 25,000 00 SOLD 10-12-00 ACQUIRED 08-04-00
95 924	27 £60,27	75,820 31	PAR VALUE 75,000 00 SOLD 10-12-00 ACQUIRED 08-30-00
			OZ-15-2016 REG OZ-15-2016 REG
05	251,562 50	229,360 00	PAR VALUE: 250,000,000 SOLD 10-13-00 ACQUIRED 06-72-00

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GOOM GNA SURBNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

AAB

OYBSS 01598

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF CAINS AND LOSSES

CAIN/LOSS	COST <b>BASIS</b>	SOCEEDS SVIES	DATE SOLD			ROPERTY	DESCRIPTION OF PI
78.022,S	21 202,651	00 >>1,181	10-12-00	anos	0 0	'000'00T	PAR VALUE
			00-82-90	VCONIKED			
				ex DNE	5 S	208 2A381	UNITED STATES
							0S-12-5010 REC
82.207,51	29 510'959	00 027,828	10-13-00	SOLD	00	000'005	PAR VALUE
			00-82-90	ACQUIRED		000/005	70784 881
68 655	11 619 39	00 628 37	00-81-01	0 103	30	000 03	- III IVA GVG
(0 (Ch	11 215'59	00 218,23	01-52-00 10-13-00	SOLD	0.0	000'05	PAR VALUE.
JL 100 1	00 1/2 2/1					·	
-27,180,1	27 187,281	00 089'591	10-12-00	0102	0.0	000'521	PAR VALUE:
			00-80-60	ACQUIRED			
- 98,007	165,580 86	00 089'591	10-12-00	פסרם	00	152'000	PAR VALUE·
			00-12-00	ACQUIRED			
- 22 OTS, I	25 269,765	00 757,865	10-13-00	anos	0 0	252,000	PAR VALUE:
			00-12-60	ACQUIRED			
				SEK DILE	8	208 2ABST	UNITED STATES
				200 863			02-12-5051 KEC
12,000.94	267,539 06	270 660 00	00-21-01	4 103	00	000 366	- 341 IVA 640
L(1000(37	00 (05)(07	00 055,672	11-02-66 10-12-00	SOLD SOLD	0.0	000'522	PAR VALUE:
10 119 19	00 792 728	00 007 070					2
16 225'25	60'952'928	00 089'698	66-20-21 10-12-00	0305	0.0	000'002	PAR VALUE
			15-01-66	ACQUIRED			

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 86210

02-21-5010 BEO

PYTPL RUSSIAN FEDN US¢ BD 144A 8 25% DUE

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 9	ā6 <b>9</b>	00 585'211	00 080'£II	00-50-20 00-91-01	VCONIBED SOLD	00	000'011	PAR VALUE:
							9002-51-90	##BRAZIL FED 11 625% DUE SEE SECURITY
-LL 1	155'2	20 685'05	52 [50'85	00-05-00 10-19-00	VCONIBED 20FD		000'05	BUJAV AA9
					DNE	-		BULGARIA SER C S S S S S S S S S S S S S S S S S S S
-82 5	>52 <b>'</b> I	82.484,251	154,240 00	00-01-00 10-12-00	VCONIKED 20FD	00	000'001	PAR VALUE
O T S	92619£	06 257,528	00 089'698	10-12-00 10-13-00	SOLD SOLD	00	000,007	PAR VALUE
<b>60</b> 1	125'22	16 8/2'255	00 006'595	10-12-00 10-12-00	VCONIBED 20FD	00	000'512	PAR VALUE.
51 <i>1</i>	125'0£	92 265'/65	00 020'825	05-11-00 10-12-00	ACQUIRED SOLD	00	000'525	PAR VALUE:
					IS2% DNE	8		UNITED STATE: 05-15-2021 R
52.2	41,302	27 770,878	00 085,217	05-11-00 10-12-00	VCONIKED 20FD	00	000'515	PAR VALUE
<u>\$80</u>	17N1 <u>49</u>	C001	PROCEEDS PROCEEDS	DATE SOLDV ACQUIRED			PROPERLY	DEZCRIBLION OF

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

AAB 0 L 8 S S ACCOUNT NO 26-00351 86210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

99A9 7S

\$2 054	05 290'05	57 508,02	00-81-01 GOC 00 000,02 3UJAV AA9 00-02-80 GEQUIRED 08-00-02-05-80
			NAITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REC
59 681'£	09 160'125	52 [82'055	00-71-01 SOLD 000,000 :3UJAV AA9 00-70-60 GENURCA
			UNITED STATES TREAS NTS 7 875% DUE
-69 bs	52,353,178	95 109'148	PAR VALUE 350,000 00 SOLD 10-16-00 ACQUIRED 10-15-00
-90 680'ZI	£9 St0'££2't	15 916'02Z'I	PAR VALUE 1,150,000 00 SOLD 10-16-00 ACQUIRED 08-03-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
21 12	<b>58 077</b>	þ6 L6L	PAR VALUE 797 94 SOLD 10-16-00 ACQUIRED 04-18-00
			EHFWC COFD BOOF C28225 \ 0 02-01-5020
-85.560,5	86 266,05	00 006'8T	PAR VALUE 30,000 00 SOLD 10-16-00
CVINTOZZ	COST SISAA	SALES PROCEEDS	DESCRIPTION OF PROPERTY  ACQUIRED  ACQUIRED

9 0% DOE 08-12-5006 KEC UNITED STATES TREAS NTS DTD 08/15/1999

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

OYBSS ACCOUNT NO 26-00351 01568

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-58 \$29	p8 860,8	00 S/b,7	PAR VALUE 10,000 00 SOLD 10-19-00 ACQUIRED 08-02-00
			BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM OOS147271
05 O <i>5</i> Z	00 269'15	05 556,72	PAR VALUE, 50,000 00 SOLD 10-19-00 ACQUIRED 07-18-00
			REG LOKT JAMES CORP 6 625% DUE 09-15-2004
-902	25,188,21	00 STI, PI	PAR VALUE: 15,000 00 SOLD 10-18-00 ACQUIRED 06-16-00
-00'059	00 006'25	00 OSZ'/b	PAR VALUE 50,000 00 SOLD 10-18-00 ACQUIRED 06-16-00
			DANAMA REP PANAMA GLOBAL 2029 BEO Panama Rep Panama Global 2029 ISIN Put
-91.152,01	91 145'0B	00 051,07	PAR VALUE: 115,000.00 SOLD 10-18-00 ACQUIRED 09-07-00
			D3-31-2010 BEO NS¢ BD 1448 8 25% DNE
91.795	52 (£0.25	55,598 44	PAR VALUE 25,000 00 SOLD 10-18-00 ACQUIRED 08-30-00
CVINCEOSS	COST BASIS	SACEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

**BAA** 07822 ACCOUNT NO 26-00351 01598

SEC

ENWY BOOF # 258291 8 2% DOE 02-01-5020

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

-01.8	SB 989	51 889	CONIKED 02-52-00 20FD 10-52-00	₹ 589 A	PAR VALUE:
			7-01-2030	228188 8 2% DNE 0	ENMA POOL # :
-15 2	09 LLL	60 511	CONIKED 02-52-00 20FD 10-52-00	60 þ{{	BUJAV AA9
			7-01-5020	0 3NO %S 8 8LOL59	KEC LNW∀ boor # 3
0Z S8T	22,850 55	52,015 55	CÓNIKED 04-02-00 20FD 10-52-00	\$2,810,8S A	<b>3</b> UJ <b>AV</b> %A¶
			5-01-5020	o 3na %2 7 S21S&3	ENMA POOL # 5
£1 212,65	51 119'659'2	88 928'819'2	CONIKED 04-02-00 20/D 10-52-00	£7 201,178,S	PAR VALUE
			5-01-5020	932152 7 5% DUE 0	FNMA POOL # 5
-22 185'Z	28 £ <del>5</del> 9'8Z	05 291'92	CONIKED 08-11-00 20FD 10-13-00	00 000,2 <b>&amp;</b> A	BAS VALUE
- <b>58                                    </b>	<b>58 ሬ</b> ኒፎ'65	00 D <b>58</b> '55	CONIKED 08-14-00 20FD 10-18-00	00 000,03 A	PAR VALUE
<u>evin</u> vross	7802 212A4	<u> PROCEEDS</u> SALES	DATE SOLD/ ACQUIRED	<u>РКОРЕКТҮ</u>	DESCRIPTION OF

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

80 SO <i>T</i>	05 290'05	85 292'05	PAR VALUE 50,000 00 SOLD 10-26-00 ACQUIRED 08-50-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REG
12 569'2	51 895'592	90 591'892	PAR VALUE 250,000 00 SOLD 10-26-00 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD OS/15/2000 6 S5% DUE 05-15-2030 BEO
3,244 14	ST 112,782	98 551'065	PAR VALUE 550,000 00 SOLD 10-26-00 ACQUIRED 00-70-00
1,933 39	21.500,278	PS 886,878	PAR VALUE 550,000 00 SOLD 10-26-00 ACQUIRED 09-07-00
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
- 40 0	99.9 <u>[</u>	ረዩ ፇ፤	PAR VALUE, 14.57 SOLD 10-25-00 ACQUIRED 05-25-00
			БЕС БИМА РООL # 537997 8 5% DUE 05-01-2030
- b6 Z	Ib 259	L5 659	PAR VALUE 649 47 SOLD 10-25-00 ACQUIRED 05-25-00
<u>GAIN/LOSS</u>	C0ST <u>8AS1S</u>	SACEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

ENKON COKE 6 95% DUE 07-15-2028 BEO

PAGE 11

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

£9 <b>5</b> 92'Z	54 895'592	82 257,725	PAR VALUE: 250,000 00 SOLD 10-27-00 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD OS/15/2000 6 25% DUE 05-15-2030 BEO
72 210,SZ	£9 £25,228	00 722,778	PAR VALUE· 900,000 00 SOLD 10-26-00 ACQUIRED 05-12-00
			FNMA NT 5 625% DUE 05-14-2004 BEO
-19 201	60 178,02	85 191,02	PAR VALUE: 50,000 00 SOLD 10-26-00 ACQUIRED 10-19-00
-11 91	57,848,02	85 757,02	PAR VALUE: 50,000 00 SOLD 10-18-00
61 266'1	65 \$89'505	87 278,702	PAR VALUE: 500,000.00 SOLD 10-26-00 ACQUIRED 10-16-00
1,753 32	05 958'952	Z9 /09'8£Z	PAR VALUE 235,000 00 SOLD 10-26-00 ACQUIRED 10-11-00
75 827	60 &16,27	98 131,35	PAR VALUE. 75,000 00 SOLD 10-26-00 ACQUIRED 10-06-00
50 £29	£5 991'05	85 191'05	PR VALUE: 50,000.00 SOLD 10-26-00 ACQUIRED 10-05-00
SENTLOSS.	COST SISAS	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

25 bvce

10-05-90	07-01-00 THROUGH
	ACCOUNT TRANSACTIONS TAX
	TEDERAL SCHEDULE UT

NVF022				DATE SOLD/			YTABGOAG :	O NOTIA	DEZCRT
29 251	.'9 z	1,257,691	SL 995'90Z	00-01-60 10-20-00	VCGUIRED SOLD	00	000'522	R VALUE	Āq
				,	500Z-SI-60	3na	%9Z9 9 d8O:	D SEMAL	FORT REG
-26.788	2	6 510'85	00 889'Lb	08-5d-00 10-20-00	SOLD SOLD	0 0	000'05	BUJAV A	Aq
				<b>%0</b> (			18EK NELMOK		_
-05 058	l'b 0:	S 009'65	00.027,22	11-15-66 10-21-00	SOLD	0 0	000'05	צ אשרחבי	Aq
				0 9	202-01-202	ΒNG	%5 L ZS1Z\$5	# JOOG #	FNMA Rec
90 0	2	0 0	60 0	00-50-50 00-31-01	VCGUIRED SOLD	60	0	AV ALUE	۷d
					TM GTD V 4		12-S010 BEC EKOM INTE E		
05 990	· ' T 0 !	0.103,521	05	00-11-60 00-10-11	SOLD	00	000'051	א אארטב	Āq
420 00	0 9	S 289'SS2 (	0S ZTT'9SZ	10-15-00 11-01-00	SOLD ACQUIRED	00	000'052 '	א אארטב.	Āq

EHFWC DER 2 12% DNE 02-12-5008 REO

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

OZBSS ACCOUNT NO 26-00351 01598

DUE 12-01-2006 BEO BOND IN DEFAULT

PSINET INC SR NT 10 5%

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

-95 828'9	<b>95 202'5</b> 1	00 272,8	PAR VALUE 25,000 00 SOLD 11-03-00 ACQUIRED 10-11-00
			PSINET INC SR NT 10 5% DUE 12-01-2006 BEO BOND IN DEFAULT
-09 169'8	09 150'61	00 05\$'0[	PAR VALUE 30,000 00 SOLD 11-02-00 ACQUIRED 10-05-00
0, 10, 0	<b>0,</b> 1,,, 0,		PSINET INC SR NT 10 5% DUE 12-01-2006 BEO BOND IN DEFAULT
-81 255'5	81,588,528	00 628'158	PAR VALUE 825,000 00 SOLD 11-01-00 ACQUIRED 10-26-00
-51 172,8	S1 522,018,S	5,505,861 00	PAR VALUE 2,425,000 00 SOLD 11-01-00 ACQUIRED 10-26-00
			DNE 06-15-2010 REG EMMA 7 13% BDS 15/6/2010 USD1000 7 13%
16 009'51	PE 162,612	SZ 861'565	PAR VALUE 525,000 00 SOLD 11-01-00 ACQUIRED 03-22-00
17 628,8	90 910'0SZ	S/ S98'85Z	PAR VALUE 275,000 00 SOLD 11-01-00 ACQUIRED 02-24-00
<u>epinzloss</u>	7200 212A&	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

BAA 07822 01568

PAGE P& 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

CVIN/FO22	C057 <u>212A4</u>	<u>bkoceeds</u> 2vee2	DATE SOLD/	DESCRIPTION OF PROPERTY
- 42.870,7	P2 805,21	00 SZ1'8		PAR VALUE SS,000 00 SOLD ACQUIRED
			_	SEE SECURITY #4-008844 ##BRAZIL FEDERATIVE REP US HOT USE ##BRAZIL FEDERATIVE REP US# GLOBAL BD
-02.784	05 185'52	00 051'52		PAR VALUE: 25,000 00 SOLD SOLD
-00 Sb0'T	00 512,82	00 055,82		PAR VALUE 55,000 00 SOLD ACQUIRED
-00 056'T	00 055'201	00.008,001		PAR VALUE 100,000 00 SOLD ACQUIRED
				PYTPL FLEXTRONICS INTL LTD SR SUB NT 144A 9 875% DUE 07-01-2010/07-01-2005 8E0
05.510,6	00 050,8 <del>2</del> 5	05 290'552		PAR VALUE 250,000 00 SOLD ACQUIRED
				10-12-2009 BEO CILLON INDS INC SK NI 8 0% DNE
ו'ללל 00	00 901,005	202/120		PAR VALUE: 200,000 00 SOLD ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

DYBZZ ACCOUNT NO 26-00351 86210

08-12-5010 BEO

TELEFONICA EUROPE B V 7 75% DUE

30A9 25

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

242 75	05 <i>5</i> £7, <i>5</i> 7	2S 770,87	PAR VALUE 75,000 00 SOLD 11-07-00 ACQUIRED 09-14-00
			16-18-2010 BEO TERELEMENTS ENE
00 265'Z	05 926'541	05 815'441	PAR VALUE 175,000 00 SOLD 11-07-00 ACQUIRED 09-14-00
			TELEFONICA EUROPE 8 V U S NT 8 25% DUE 09-15-2030 BEO
-15.758,1	91 875,0S1	52 156'811	PAR VALUE 125,000 00 SOLD 11-07-00 ACQUIRED 08-24-00
			FORT JAMES CORP 6 625% DUE 09-15-2004 Reg
-00.122,51	00'102'66	00.027,88	PAR VALUE 100,000.00 SOLD 11-12-99 ACQUIRED 11-12-99
			DNE 12-12-2009/12-12-2004 BEO Welkowedia Fiber Network inc sk nt 10 0%
00 069	00 582'001	00 510,101	PAR VALUE 100,000 00 SOLD 11-06-00 ACQUIRED 09-12-00
©¥IN\rozz	C0ST <u>SISA8</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

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**BAGE** 

BAA 07822 VCCONNI NO SC-00221 96210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

CAIN/LOSS	BASIS BASIS	PROCEEDS SALES	DATE SOLD. ACQUIRED			ТИВОРЕКТУ	DEZCKIBLION OF
52 619	DS 987, 27	27 821,27	00-71-60 00-20-11	VCGUIRED SOLD	0 0	000'54	PAR VALUE
				* DNE	45 <i>L</i>	COROPE B V 7	TELEFONICA E
289 00	00 959'66	00 520'001	00-11-60 11-01-00	VCONIKED 20FD	00	000'001	PAR VALUE
				ane %	45 <i>L</i>	ОКОРЕ В V 7	TELEFONICA E
51 80Þ	ዐይ ቅይፕ,ቅፕ	25 <b>6</b> 21,27	00-51-60 11-80-11	VCONIBED 20FD	00	000,27	PAR VALUE
			6	661/S1/80 C	110	S TREAS NTS	3TAT2 G3TINU -80 3UG %0 8
152 04	61 265'051	£2 519'051	00-90-11 00-80-11	ACQUIRED SOLD	0 0	000'0ST	PAR VALUE:
96.1	Z1 £0Z'05	80 502'05	00-90-11 00-80-11	VCONIBED ZOFD	00	000'05	PAR VALUE
			6	661/S1/80 0		S TREAS WTS	
10 521	100,528 12	£1 £55'001	00-90-TT 00-80-TT	VCGNIKED ZOFD	0 0	000'001	PAR VALUE

DUE 03-15-2010/03-15-2005 BEO PUT **LEVEL 3 COMMUNICATIONS INC SR NT 11 25%** 

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 86210

07-15-2001 BEO

NOW MASTE SVCS INC 6 125% NIS DUE

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD EEDERAL SCHEDULE OF GAINS AND LOSSES

87 252	09 \$81'05	82 609'05	PAR VALUE 50,000 00 SOLD 11-14-00 ACQUIRED 11-07-00
			UNITED STATES TREAS HTS DTD OB/15/1999 6 0% DUE OB-15-2009 REG
-99'08[	86 \$80'921	Z\$ \$06'5ZI	PAR VALUE 125,000 00 50LD 11-14-00 ACQUIRED 10-25-00
			UNITED STATES TREAS NTS 6.625% DUE
80 085	65 212,21	15 558'SL	PAR VALUE 75,000 00 SOLD 11-13-00 ACQUIRED 11-07-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6 0% DUE 08-15-2009 REC
351.56	8£ 215,21	56 929'51	PAR VALUE: 75,000 00 SOLD 11-09-00 ACQUIRED 11-07-00
25.270,1	29 SI0'ISZ	S8 680'2SZ	PAR VALUE: 250,000.00 SOLD 11-09-00 ACQUIRED 11-06-00
			UNITED STATES TREAS NTS DTD 08/15/1999 6,0% DUE 08-15-2009 REG
-54 665'OT	00 000'51	92 005'59	PAR VALUE 75,080 00 SOLD 11-08-00 ACQUIRED 02-24-00
<u>e</u> ¥in <loss< td=""><td>7803 21844</td><td>SALES PROCEEDS</td><td>DESCRIPTION OF PROPERTY ACQUIRED</td></loss<>	7803 21844	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 0782**S** ACCOUNT NO 26-00351 01598

U822202 26LAZS10062U

TURKEY REP NT 12 375% DUE 06-15-2009 8E0

PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

80 886'Z	25 726,PST	12 Sp6'LZL	PAR VALUE 725,000 00 SOLD 11-15-88
			DAIMLERCHRYSLER AUTO TR 2000-C ASSET BKD NT CL A-3 6 82% DUE 09-06-2004 BED
1,555 01	82 886,82	62 ISE'8Þ£	PAR VALUE. 325,000 00 SOLD 11-15-00 ACQUIRED 09-08-00
21 772,S	92	[	PAR VALUE 725,000.00 SOLD 11-15-00 ACQUIRED 09-07-00
			UNITED STATES TREAS NTS 7 875% DUE
48,85	11 787,125	96 888,12S	PAR VALUE: 250,000 00 SOLD 11-15-00 ACQUIRED 10-27-00
S92 - 22-	82 ቅድረ' 102	27,832,105	PAR VALUE 200,000 00 SOLD 11-15-00 ACQUIRED 10-23-00
			UNITED STATES TREAS NTS 6 625% DUE 04-30-2002 REG
99 225'I	98 £27,72	05 941'65	PAR VALUE: 50,000 00 SOLD 11-14-00 ACQUIRED 04-14-00
01.837,2	S9 65£'L9T	27 TI1,571	PAR VALUE: 175,000 00 SOLD 11-14-00 ACQUIRED 01-05-00
<u>CAIN/LOSS</u>	T200 SI2A4	PROCEEDS PROCEEDS	DESCRIPTION OF PROPERTY  ACQUIRED  DATE SOLD

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

SAA 07822 ACCOUNT NO 26-00351 01598

10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

CVINCEOSS	7803 <u>212</u> 44	SALES PROCEEDS	AIPTION OF PROPERTY ACQUIRED
-05 489	05.783,02	00 000'05	PAR VALUE, 50,000,00 SOLD 11-15-00 ACQUIRED 10-11-00
-00.027	00 051'05	00 000'05	PAR VALUE 50,000 00 SOLD 11-15-00 ACQUIRED 10-12-00
			-12-2029 BEO Bebly Media Corp SR Deb 8 5% Due
-00 515,5	165,940 00	00 925'061	00-01-11 SOL,000 00 000,002 BUJAV AA9
			ITED STATES TREAS HTS 7.875% DUE -15-2004 REG
70 S72	SS 270,08	62 955'08	PAR VALUE 75,000 00 SOLD 11-17-00 ACQUIRED 09-08-00
			EEMPA INC 0 02% DNE 11-12-5002 BEO
-27 226	27.29.1.685	00 605,882	PR VALUE 400,000,000 SOLD 11-21-00 PCQUIRED 12-09-99
78 PIO.T	284,158 55	291,155 00	PAR VALUE: 500,000 00 SOLD 11-21-00 ACQUIRED 05-08-00

05-01-5008 BEO AMERICAN STD INC 7 375% SR NT DUE

**2** 6 **PAGE** 

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

2 15% DUE 08-15-2010 REG

UNITED STATES TREAS NTS DTD 08/15/2000

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

- 70 . 0	SS bI	85 5I	PAR VALUE 14 48 SOLD 11-27-00 ACQUIRED 05-25-00
			KEC EMMA POOL # 537997 8 5% DUE 05-01-2050
-98 2	06 229	b0 I£9	PAR VALUE: 631 04 SOLD 11-27-00 ACQUIRED 05-25-00
			KEC EMWA POOL # 528561 8 5% DUE 05-01-2050
-20.711	۲0 6۶6,25	20 2£8,22	PAR VALUE 25,832,02 SOLD 11-27-00 ACQUIRED 05-25-00
			FUMA POOL # 539188 8 5% DUE 07-01-2050
-55 <b>દ</b>	OS 98 <i>L</i>	26.587	PAR VALUE, 782.95 SOLD 11-27-00 ACQUIRED 05-25-00
			REG Fuma Pool # 547078 8 5% due 07-01-2030
5'526 11	68.837,582	235,000 00	PAR VALUE: 250,000 00 SOLD 11-27-00 ACQUIRED 10-05-00
SSOTANIOS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

034 S002-80-Z0

ENTRY TRANCHE # TR 00033 7 3% DUE DISNEY WALT CO NEW MEDIUM TERM NTS BOOK

PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

SL 258'6	59'065'005	85 25,012	PAR VALUE 500,000 00 SOLD 12-01-00 ACQUIRED 11-15-00
			UNITED STATES TREAS NTS DTD 08/15/2000 5.75% DUE 08-15-2010 REG
29 289'\$	87 271,252	05 858'8ZZ	PAR VALUE 225,000 00 SOLD 12-01-00 ACQUIRED 11-15-00
			UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG
00 000'11	80 051,652	00 051,284	PAR VALUE· 400,000.00 SOLD 12-01-00 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
51 þ6ľ'þ	57,682,181	185,734 50	PAR VALUE 175,000 00 SOLD 11-29-00
			LOCKHEED MARTIN CORP NT 8 2% DUE 12-01-2009 BEO
Z£ 569	90.620,02	88 287,02	PAR VALUE 50,000 00 SOLD 11-29-00 ACQUIRED 11-15-00
CAIN/LOSS	T202 <u>212A4</u>	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA OLBSS ACCOUNT NO 26-00351 01598

9 0% DOE 08-12-5006 KEC

UNITED STATES TREAS NTS DTD 08/15/1999

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

2,132 00	00 581'515	00 916'215	PAR VALUE 400,000 00 SOLD 12-01-00
			BEO MORLDCOM INC GA NT 8 25% DUE 05-15-2010
-95 lbb'I	90 951'£2	05 212'12	PAR VALUE: 50,000 00 SOLD 12-05-00 ACQUIRED 09-21-00
-81 216'1	81 882'91	00 515,21	PAR VALUE: 20,000 00 SOLD 12-05-00 ACQUIRED 08-17-00
			BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM OOS147271
58 266	SI 708,08	00 008'IÇ	PAR VALUE. 40,000.00 SOLD 12-05-00
			PANAMA IRB 187R VAR RT DUE 07-17-2014 Reg
7,403 32	57.821,702	<b>50 055'5</b> 15	PAR VALUE: 475,000 00 SOLD 12-05-00 ACQUIRED 09-08-00
			UNITED STATES TREAS WIS 7.875% DUE
SZ 951'61	00 88I,ASY	55 776,827	PAR VALUE: 725,000 00 SOLD 12-01-00 ACQUIRED 02-03-00
CVIN/F022	C0ST <b>BASI</b> S	SACEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 0 L 8 S S ACCOUNT NO 26-00351 86210

REG

PANAMA IRB 18YR VAR RT DUE 07-17-2014

63 3049

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

-£Þ ZST'Z	£6 6£5,52	05 182,02	PAR VALUE: 55,000 00 SOLD 12-06-00
			BULGARIA SER PDI VAR RT BD DUE 07-28-2011 COM 005147271
-96 919'2	96 911'86	00 005'06	PAR VALUE: 100,000 00 SOLD 12-06-00 ACQUIRED 10-05-00
			AMERICAN STD INC 7 375% SR NT DUE 02-01-2008 BEO
80.812,8	15 565,175	65 808'£8Z	PAR VALUE 275,000 00 SOLD 12-06-00
92 [87,85	£5 999'528	61 525,128	PAR VALUE 825,000 00 SOLD 12-06-00
			UNITED STATES TREAS WTS DTD 08/15/2000 S 75% DUE 08-15-2010 REG
0 £ 7 ₹ \$ , \$	78 220,751	130,292 97	PAR VALUE 125,000 00 SOLD 12-21-00 ACQUIRED 11-21-00
2,955 12	99 222'51	87 271,87	PAR VALUE: 75,000 00 SOLD 12-06-00 ACQUIRED 11-08-00
D9.226.1	65 £81'05	61 711,52	PAR VALUE; 50,000 00 SOLD 12-06-00 ACQUIRED 11-07-00
<u>CAIN</u> LLOSS	COST SISA4	SACEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86ZI0

03-15-2005 12 875% THEREAFTER

SIEP UP DUE 03-15-2010 BEO RT = 0% TIL **LEVEL 3 COMMUNICATIONS INC SR DISC NT** 

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES**

-£b bZ5'ZZ	£p 6p9'01	00 SZI'85	PAR VALUE 125,000 00 SOLD 12-07-00 ACQUIRED 08-02-00
			02-12-5002 IS 875% THEREAFTER CLEVEL 3 COMMUNICATIONS INC SR DISC NT LEVEL 3 COMMUNICATIONS INC SR DISC NT
6p.6Lp.1	28.829,27	18.52.177	PAR VALUE 75,000 00 SOLD 12-07-00 ACQUIRED 11-27-00
			UNITED STATES TREAS NTS DTD 08/15/2000 S 7% DUE 08-15-2010 REG
2) 862,5	£9 059'6L	90 650,58	PAR VALUE: 75,000 00 SOLD 12-07-00 ACQUIRED 10-15-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
£7.112, p	95 S09'£6Z	61 711,865	PAR VALUE: 275,000 00 SOLD 12-07-00 ACQUIRED 09-08-00
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
£0'555	72 72,91	19,812 50	PAR VALUE 25,000 00 SOLD 12-07-00 ACQUIRED 10-18-00
<u>GAIN/LOSS</u>	COST EASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED

**GOOM GNA** SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 B6ZI0

9 SEX DOE 02-12-5020 BEO

UNITED STATES TREAS BDS DTD 02/15/2000

39A9 2à

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

S <i>L</i> 955	00 IS8'5Z	57 702,2S	PAR VALUE 25,000 00 SOLD 12-12-00 ACQUIRED 09-12-00
			MGM MIRAGE FORMERLY MGM GRAND INC TO MG/01/2000 SR NT 8 5% DUE 09-15-2010 BEO
87 005,1	\$\$ 0\$6'SL	12.125,77	PAR VALUE. 75,000 00 SOLD 12-12-00
			UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG
2Z. 6pp	SI 099'9Z	75 901,75	PAR VALUE 25,000 00 SOLD 12-12-00 ACQUIRED 09-11-00
<b>16 11</b> 5	15 169'92	82 601,75	PAR VALUE 25,000 00 SOLD 12-12-00 ACQUIRED 09-08-00
			UNITED STATES TREAS NTS 7 875% DUE
00 <b>/90'</b> ľ	00 201,62	00 692,02	PAR VALUE 50,000 00 SOLD 12-11-00 ACQUIRED 09-12-00
			OB/OI/2000 SK NT 8 5% DUE 09-15-2010 BEO
-55 615'11	55 615'95	00 000'6 <b>s</b>	PAR VALUE 100,000 00 SOLD 12-07-00 ACQUIRED 08-02-00
<u>evintross</u>	COST	SALES PROCEEUS	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

8AA ACCOUNT NO 26-00351

07822

01598

08/01/2000 2K NT 8 5% DNE 09-15-2010 BEO MCM MIRAGE FORMERLY MCM GRAND INC TO

32A9 84

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

25.695,S1	88,129,882	19 161'152	PAR VALUE 225,000 00 SOLD 12-14-00 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6.25% DUE 05-15-2030 BEO
9L.60E	95.269,65	S1 202,72	PAR VALUE. 25,000 00 SOLD 12-14-00 ACQUIRED 09-14-00
L6 775	91 099'92	21,205,75	PAR VALUE 25,000 00 SOLD 12-14-00 ACQUIRED 09-11-00
			UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG
b8 b9b'9	132,754 58	22 661'6\$1	PAR VALUE 125,000 00 SOLD 12-13-00 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
15 156'5	09 281'901	16 821'111	PAR VALUE: 100,000 00 SOLD 12-13-00 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD O2/15/2000 6 25% DUE 05-15-2030 BEO
78.178,11	88 126,885	27 262,025	PAR VALUE, 225,000 00 SOLD 12-13-00 ACQUIRED 10-13-00
SS01XKT082	C0ST 212A4	SALES PROCEEDS	DESCRIPTION OF PROPERTY DATE SOLD

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 01598

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

			ACQUIRED 12-06-00
01 129	22 615'LL	<b>Σ9</b> 051'8 <i>L</i>	PAR VALUE: 75,000.00 SOLD 12-19-00
			ACQUIRED 11-29-00
2,933 59	101'522 01	08 78C, 20C	00-61-51 GOO,000 00 SOLD 12-19-00
			2 15% DNE 08-12-5010 KEC
			UNITED STATES TREAS NTS DTD 08/15/2000
			ACQUIRED 11-21-00
65 052,6	151,055 66	131,406 25	PAR VALUE 125,000 00 SOLD 12-19-00
			9 0% DNE 08-12-5006 KEC
			6661/21/80 DTD STW 2A38T 23TAT2 D3TINU
			ACQUIRED 09-27-00
II SI	Sb 629	99 559	PAR VALUE 644 56 SOLD 12-15-00
			FHLMC GOLD C43700 7 0 10-01-2030
			ACQUIRED 09-12-00
822 00	00.128,25	00 201,25	PAR VALUE 25,000 00 SOLD 12-14-00
			08/01/2000 28 NT 8 5% DNE 09-15-2010 BEO
			MEM MIRAGE FORMERLY MGM GRAND INC TO
			ACQUIRED 09-12-00
00 <b>9</b> 0%'T	00 201,62	00 805,12	PAR VALUE 50,000 00 SOLD 12-14-00
<u>evin/rozz</u>	SISA8	<b>SKOCEEDS</b>	DESCRIPTION OF PROPERTY ACQUIRED
	1202	SALES	DATE SOLD/

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

32A9 84

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 058	00 IS8'bZ	00 101,25	PAR VALUE 25,000 00 SOLD 12-20-00 ACQUIRED 09-12-00
			MGM MIRRGE FORMERLY MGM GRAND INC TO 08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO
00 052'I	69 625'55	69 619'95	PAR VALUE: 50,000 00 SOLD 12-20-00 ACQUIRED 10-04-00
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
21 191	£5 615'SST	SZ 182'951	PAR VALUE 150,000 00 SOLD 12-19-00 00-21-51 DCQUIRED 12-13-00
T8 66Z	70 TAT. 25	88 950'92	PAR VALUE· 25,000 00 SOLD 12-19-00 00-51-51 ACQUIRED 12-12-00
2S1 S8	65 521,25	<b>18 9</b> 50'9Z	PAR VALUE 25,000 00 SOLD 12-19-00 ACQUIRED 12-11-00
29 205	25,739,26	88 950'92	PAR VALUE 25,000 00 SOLD 12-19-00 ACQUIRED 12-07-00
20 TOS	p8.628,2S	18 9 <b>50</b> '9Z	PAR VALUE 25,000 00 SOLD 12-07-00
CAINZLOSS	TSOO SISAA	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

11-12-2004 REC UNITED STATES TREAS NTS 7 875% DUE

#### The Northern . rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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PAGE

SURDNA FOUNDATION - STANDISH, AYER
AND WOOD

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 0.10.01-01-00 THROUGH 06-30-01

ENWY POOL # 528561 8 5% DUE 05-01-2030 ACQUIRED 05-25-00 85 558'525 91 278,788 20CD 12-22-00 56 782,558 12,817 28 PAR VALUE KEC FUMA POOL # 559188 8 5% DUE 07-01-2050 ACQUIRED 05-25-00 LI 529'686 12 267,810,1 20FD IS-55-00 91 191'586 PS 781,PS **BUJAY RA9 BEC** FNMA POOL # 547078 8 5% DUE 07-01-2030 ACQUIRED 12-20-00 127 93 PI PPZ'9Z 70 572,82 20CD 12-22-00 00 000'52 PAR VALUE 15-1d-00 ACQUIRED 00 000'05 25,000 00 PI PPL'29 15-55-00 anos PAR YALUE, 766.16 ACQUIRED 12-13-00 81.718,8 82 652'202 95 926'012 20CD 12-22-00 200,000 00 :3UJAV AA9 2 Y5% DUE 08-15-2010 REG UNITED STATES TREAS NTS DTD 08/15/2000 ACQUIRED 10-04-00 57 595,8 213,718 75 SJ6'215 20 20CD 12-21-00 200,000 00 PAR VALUE: PROCEEDS **VCGUIRED** DESCRIPTION OF PROPERTY **CAIN/LOSS** SISAB COST SALES DATE SOLD

REC

8AA

ACCOUNT NO 26-00351

07822

86210

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 86210

**BEC** 

ENWY POOL # 537997 8 5% DUE 05-01-2030

05 PAGE

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

2 08-	682 16	11,088	PAR VALUE, 680 II SOLD 12-26-00 ACQUIRED 05-25-00
			KEC EMWY 500F # 258297 8 2% DNE 02-01-5020
-£þ' [	SE LIE	Z6 51\$	PCGNIKED 02-52-00 SOLD 12-26-00
			KEC LAWA LOOF # 228188 8 2% DNE 01-01-5020
-81 £5	72 687, II	62 981'11	PAR VALUE; 11,736 29 SOLD 12-26-00 ACQUIRED 05-25-00
			KEC EAWA POOL # 547078 8,5% DUE 07-01-2030
LS 619	26.136,25	62.186.25	PAR VALUE: 25,247 53 SOLD 12-22-00 ACQUIRED 05-25-00
			KEC EAWA 600 # 237997 8 5% DUE 05-01-2030
<b>96 528,8</b> 5	60 650'916	20 568'666	PAR VALUE 971,656 27 SOLD 12-22-00 ACQUIRED 05-25-00
<u>GAINZLOSS</u>	7202 <u>212A8</u>	SALES PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 8AA ACCOUNT NO 26-00351 86210

03-15-2005 12 875% THEREAFTER

21EP UP DUE 03-15-2010 BEO RT = 0% TIL FEAST 3 COMMUNICATIONS INC SR DISC NT

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#### 01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 SIS'l	00 Z01,92	00 TIS,12	PAR VALUE 50,000 00 SOLD 01-04-01 ACQUIRED 09-12-00
			MGM MIRAGE FORMERLY MGM GRAND INC TO 08/01/2000 SR NT 8 5% DUE 09-15-2010 BEO
85 968'S	22 <i>912,221</i>	07 072,781	PAR VALUE 125,000 00 SOLD 01-04-01 ACQUIRED 10-04-00
			UNITED STATES TREAS NTS 7 875% DUE
00 005'0Z	00 092'662	00 092'615	PAR VALUE 400,000 00 SOLD 11-01-00 ACQUIRED 11-01-00
			FNMA 6 625% DUE 11-15-2010 REG
00 592,78	00 050,762,1	00 50£'589'l	PAR VALUE, 1,600,000 00 SOLD 01-03-01 ACQUIRED 11-01-00
			ENWY 6 625% DNE 11-12-2010 KEG
207	02,671,62	00 780,02	PAR VALUE 50,000 00 SOLD 01-03-01 ACQUIRED 03-02-00
			BEO CK MILCO COKЬ 2K NI 8 2% DNE 02-12-5002
-10 0	99'5[	6 <b>5</b> ÞĪ	PAR VALUE: 14 59 SOLD 12-26-00 ACQUIRED 05-25-00
SSOJNIAĐ	T203 21244	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

0 L 8 S S ACCOUNT NO 26-00351 86210

30**A**9 S2

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

<u>CAIN/LOSS</u>	7200 21244	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DEZCEIBLION OF PROPERTY
-85 582'\$	58,022,12	28,156 25	00-20-80 10-50-10	PAR VALUE 75,000 00 SOLD ACQUIRED
				CLOBAL NOTE 7 5% DUE 09-15-2029 BEO
00 S&6,1S	00 867,005	00 027,555	00-£1-40 10-50-10	PAR VALUE 200,000 00 SOLD ACQUIRED
			3	SEE TUT# 4-880412 02-01-2010 BEO US91086QADO7 DO NOT USI ##UNITED MEXICAN STS MTW
02,756	05.578,68	00 008,72	00-90-21 10-90-10	PAR VALUE 35,000 00 SOLD ACQUIRED
				UNITED STATES TREAS NTS 7 875% DUE
£D 707,9	<b>55 851,762</b>	72 S28, 87S	10-04-00 01-08-01	PAR VALUE 250,000 00 SOLD ACQUIRED
				UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REC
<b>ታዩ 986'</b> ፤	89 625'95	20 915'55	10-00-01 01-08-01	PAR VALUE 50,000 00 SOLD ACQUIRED
76,56T, &	30 <b>6</b> 50,701	20 528,011	00-90-01 10-80-10	PAR VALUE 100,000 00 SOLD ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8 A A 07822 ACCOUNT NO 26-00351 86210

ao∧q S2

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

0S /£5'8	52'182'651	51 811'L91	PAR VALUE: 150,000 00 SOLD 01-09-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
88 962'9	21 828'512	00 521'122	PAR VALUE: 200,000 00 SOLD 01-09-01 ACQUIRED 10-16-00
			UNITED STATES TREAS HTS 7875% DUE 11-15-2004 REC
00 809'11		00 809'11	DEFAULT SRNT 144A 8 875% DUE 05-22-2007 BEO DEFAULT
09 T	00 811'51	05 611'51	PAR VALUE 15,000 00 SOLD 01-08-01 ACQUIRED 01-08-01
			##UNITED MEXICAN STS MEDIUM TERM NTS BOOK ENTRY TR 00006 8 375% DUE 01-14-2011 DO NOT USE SEE TNT 4871335
2> 069'L	<b>59 [89'[5</b> Z	Z49,572 07	PAR VALUE 225,000 00 SOLD 10-16-00
CAINZLOSS	COST EASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

2 75% DUE 08-15-2010 REG UNITED STATES TREAS HTS DTD 08/15/2000

## The Northern rust Company 50 South LaSaile Street, Chicago, Illinois 60675

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

9 S2% DNE 02-51-50 BEO

UNITED STATES TREAS BDS DTD 02/15/2000

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

16·199 <sup>,</sup> 6	27 S08,S0A	07 072,SIA	PAR VALUE 375,000 00 SOLD 01-11-01 ACQUIRED 10-16-00
			UNITED STATES TREAS NTS 7 875% DUE
00 591	00 926'66	00 169'00[	PAR VALUE: 100,000 00 SOLD 01-10-01 ACQUIRED 01-12-00
			DAIMLER CHRYSLER NORTH AMER HLDG CORP GTD NT 7 4% DUE 01-20-2005 BEO
56 555,I	£0 707,22	16 Zb0'SS	PAR VALUE 50,000 00 SOLD 01-10-01 ACQUIRED 10-16-00
			UNITED STATES TREAS NTS 7 875% DUE
TO T62'Z	SS 09S'08	95 158'28	PAR VALUE 75,000 00 SOLD 01-09-01
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
bB 9	56,433 59	<b>2</b> 7 077'97	PAR VALUE 25,000 00 SOLD 01-09-01
15¢ 05	15 918'9Z	<b>\$</b> 5 055'92	PAR VALUE 25,000 00 SOLD 01-09-01 ACQUIRED 01-04-01
CVINCEDEE	C0ST <u>BASIS</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

**BAA** OYBSS ACCOUNT NO 26-00351 01568

04-12-5002 BEO

E & B FALCON CORP SR NT SER B 6 5% DUE

55 ₽AGE

03-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

<b>585</b> 585 2	95 050'95	00 SZ9'8Þ	PAR VALUE 50,000 00 SOLD 01-12-01 ACQUIRED 12-12-00
02 282 <i>.</i> 7	128,492 80	00 S18'SÞI	PAR VALUE 150,000 00 SOLD 01-12-01 ACQUIRED 12-12-00
			04-12-2008 BEO K & B FALCON CORP SR NT SER & 6 95% DUE
97 p <b>5</b> 9,1	55 095'08	12 961'28	PAR VALUE 75,000 00 SOLD 01-16-00 ACQUIRED 10-16-00
			UNITED STATES TREAS NTS 7.875% DUE
\$0,275 07	51 526'66B	930,199 22	PAR VALUE: 900,000 00 SOLD 01-11-01 ACQUIRED 03-15-00
			BEO BACKED NT CL A-5 7 19% DUE 03-15-2004 PORD CR AUTO OWNER TR 2000-A ASSET
25 187,7	00 212,515	220,156 25	PAR VALUE 200,000 00 SOLD 01-11-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
25 258,7	52 182'651	05 909'991	PAR VALUE, 150,000 00 SOLD 01-11-01 ACQUIRED 10-13-00
CVINTIOZZ	COST <u>BASIS</u>	PROCEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

**GDOW GNA** SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

32A9 32

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

92.89	SS 999'181	68 SIT, IBI	PAR VALUE 175,000 00 SOLD 01-16-01
			UNITED STATES TREAS NTS DTD 08/15/2000 S 75% DUE 08-15-2010 REG
28 725,11	65 808'165	[5 <b>990'£09</b>	10-51-00 SOLD 01-16-01 00-52-01 GBRIUDDA
£5 610'S	95 101'692	60 121'542	PAR VALUE 250,000 00 SOLD 01-16-01 ACQUIRED 10-20-00
91 520'1	90 681,52	ZZ 9Z8'95	PAR VALUE 50,000 00 SOLD 01-16-01 ACQUIRED 10-19-00
			UNITED STATES TREAS WIS V 875% DUE
66 585'Z	10 591'85	00 051'05	PAR VALUE: 50,000 00 SOLD 01-12-01 ACQUIRED 10-11-00
1,242 84	91,705,65	00'055'0£	PAR VALUE· 30,000 00 SOLD 01-12-01 ACQUIRED 07-12-00
			06-30-2009/06-30-2009 BEO Lembec inds inc Gtd Sk nt 8 625% due
<i>ካ</i> ሬ <b>ኗ</b> ክ6' ፤	97.811,57	05 Z9O'bL	PAR VALUE: 75,000 00 SOLD 01-12-01 ACQUIRED 12-12-00
<u>e</u> ¥IN∕L0SS	COST BASIS	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

EHTWC COTD C42100 1 0 10-01-5020

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

OYBSS VCCONNI NO SE-00221 86210

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

88 149'11	78 IS6,82S	51 265'DSZ	PAR VALUE: 225,000 00 SOLD 01-18-01 ACQUIRED 10-13-00
			ONITED STATES TREAS BDS DTD O2/15/2000
	LO 3014707	Th. Th/(lint	PAR VALUE: 150,000.00 ACQUIRED 10-23-00
20 625'5	<b>ታ</b> ዩ 205'191	16 166,981	PAR VALUE. 150,000.00 SOLD 01-18-01
			UNITED STATES TREAS NTS 7.875% DUE 11-15-2004 REG
			VCGN18ED 10-52-00
15 918'1	87 008,22	61 211'55	PAR VALUE 50,000,00 SOLD 01-18-01
			UNITED STATES TREAS NTS 7 875% DUE
			VCG01KED 09-07-00
-26 862,5	<b>5</b> 055,52	00 276,92	PAR VALUE: 60,000.00 SOLD 01-17-01
			D3-31-S010 BEO 03-31-S010 BEO
			ACQUIRED 09-27-00
6£ Þ1	04 665	60 519	PAR VALUE 614 09 SOLD 01-16-01
<u>eain/loss</u>	SISA4	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

11-12-5004 KEC UNITED STATES TREAS NTS 7 875% DUE

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

0782S ACCOUNT NO. 26-00351 86210

8.5% DUE 01-18-2031 BEO

DAIMLER CHRYSLER NORTH AMER HLDG CORP

30A9 82

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

- <i>LL</i> 605	50 852,255	82 827,255	PAR VALUE 225,000 00 SOLD 01-19-01
			UNITED STATES TREAS WIS DID OB/15/2000 5.75% DUE 08-15-2010 REG
-08 912	20 915,87	22 661'84	PAR VALUE 75,000 00 SOLD 01-19-01 ACQUIRED 01-19-01
			UNITED STATES TREAS WIS DID 08/15/2000 5 75% DUE 08-15-2010 REG
de2 15-	72,901,905	SZ 9S9'80Z	PAR VALUE 200,000,00 SOLD 01-19-01 ACQUIRED 01-19-01
			UNITED STATES TREAS WIS DID OBVIS\2000 S 75% DUE OB-15-2010 REC
05 /25'25	00 SLB'190'T	1,105,312 50	PAR VALUE: 1,000,000 00 SOLD 01-19-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD OS/15/2000 6 25% DUE 05-15-2030 BEO
<b>55 559'£</b>	<b>98 209'19</b> 1	88 950'S9T	PAR VALUE. 150,000 00 SOLD 01-19-01 ACQUIRED 10-23-00
SSOJNIA2	TSOS SISA4	EKOCEEDZ 2VFEZ	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 8AA ACCOUNT NO 26-80351 96210

65 39A9

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

<b>27 የ</b> ኦፎኒፎ፤	00 978,658	21 251,122	PAR VALUE 325,000 00 SOLD 01-24-01 ACQUIRED 12-07-00
			PVTPL VERIZON GLOBAL FDG CORP
25 IYI, &	00.278,251	52 9 <b>55</b> '27T	PAR VALUE. 125,000 00 SOLD 01-23-01 ACQUIRED 08-17-00
			DNE 02-12-5008/02-12-5002 BEO CFOBAL CROSSING HLDGS LID SK NI 9 625%
91 525'1	71 107,08	\$2.855,28	PAR VALUE: 75,000 00 SOLD 01-23-01 ACQUIRED 10-23-00
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
00 0	02 505'555'2	02.402,242,2	PAR VALUE: 2,550,000 00 MATURED 01-22-01 ACQUIRED 01-10-01
			PEDERAL HOME LN MTG CORP DISC NT DUE
05.882	05'809'65	00 560'05	PAR VALUE 50,000.00 SOLD 01-19-01 ACQUIRED 01-11-01
CVINCEOSS	C027	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

DOE 10-12-5010/10-12-5002 REO BUCKEYE TECHNOLOGIES INC SR SUB NT 8 0%

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

0 L 8 S S ACCOUNT NO, 26-00351 01598

BEC

ENWY BOOF # 221881 8 2% DOE 02-01-5020

09 30A9

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

			2100 10 20 211d 102 0 200212 1 100d 71113
-99.5	£9 069	16 LBS	PAR VALUE 587 97 SOLD 01-25-01 00-25-00
			KEG EMWA 600L # 528561 8 5% DNE 05-01-2030
-95 I	252 87	225 ¢1	PAR VALUE 322 41 SOLD 05-25-00
			EC B 228188 8 5% DAE 07-01-2050
-18 8	72 <b>22</b> 7	120 26	PAR VALUE 730 26 SOLD 01-25-01 ACQUIRED 05-25-01
			FUMA POOL # 547078 8 5% DUE 07-01-2030
87 271	£0 Z80'6ZI	18 725,651	PAR VALUE 125,000 00 SOLD 01-25-01 ACQUIRED 01-24-01
			UNITED STATES TREAS WIS DTD 08/15/2000 5 75% DUE 08-15-2010 REG
18 855,1	61'115'22	00 051,85	PAR VALUE· 25,000 00 SOLD 01-24-01 ACQUIRED 01-05-01
€¥TN<682	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 VCCOUNT NO 26-00351 86210

DNE 15-21-5005 KEC

UNITED STATES TREAS NTS DTD 00880 5.125%

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDERAL SCHEDULE OF GAINS AND LOSSES**

00 0	2, 538, 667 <i>T6</i>	9L L99'85£'Z		Z,350,000 00 AETU ACQUI	PAR VALUE:
				LN BK DISC NT DUE	01-59-5001 Ledekal Home
51.639,15	58 090'£/[	00 005'511	18ED 01-19-01 20FD 01-52-01	VCONI IBO'000 00 VCONI	BUJAV AA9
			£	H NI IZIN N2040114BE9	
98 S96	58 ÞIL'08I	61 718,181	KED 01-54-01 20/0 01-52-01	715,000 00. ACQUI	PAR VALUE
SP 701	68 788,25	IS 296,2S	KED 01-54-01 OFD 01-52-01	S 00.000,25 ACQUI	PAR VALUE,
			0002/9	S TREAS UTS DTD 08/15 S TREAS UTS DTD 08/15	
85.255.58	<b>PE 50P, [B</b> [	ZL 989'59[	KED 10-52-00 2010 01-52-01	120,000 00 ACQUI	PAR VALUE:
			31	S TREAS NTS 7 875% DU EG	UNITED STATE: 11-15-2004 RI
- TO O	SL ÞI	89 51	KED 02-52-00 20rd 01-52-01	Id 88 S	PAR VALUE
CAIN/LOSS	7203 212A4	PROCEEDS SALES	DATE SOLD/	<u> УТЯЗЧОЯЧ.</u>	DESCRIBLION OF

GOOW GNA SURDNA FOUNDATION - STANDÍSH, AYER

07822 ACCOUNT NO 26-00351 86210

11-12-500¢ 8EC

UNITED STATES TREAS NTS 7.875% DUE

**Z9** PAGE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

£9 708,S	56 T05'5\$T	85 602,721	PRR VALUE 125,000 00 SOLD 01-30-01 ACQUIRED 10-23-00
			UNITED STATES TREAS NTS 7 875% DUE
05 502'[	<b>05 616'6</b> 7	00 522,12	PAR VALUE: 50,000 00 SOLD 01-29-01 ACQUIRED 12-05-00
			BRITISH TELECOMMUNICATIONS P L C NT 7 625% DUE 12-15-2005 BEO
-10 68Z	99 265'\$01	65 802'201	PAR VALUE 100,000 00 SOLD 01-29-01
			UNITED STATES TREAS WIS DTD 08/15/2000 5 75% DUE 08-15-2010 REG
00 512'6	09 925,628	05 TTZ'85\$	PAR VALUE 350,000 00 SOLD 01-26-01 ACQUIRED 12-05-00
			BRITISH TELECOMMUNICATIONS P L C NT 7 625% DUE 12-15-2005 BEO
-52,47	\$1 \$0L'00I	16 829'001	PAR VALUE: 100,000 00 SOLD 01-26-01 ACQUIRED 01-11-01
CAIN/LOSS	T203 <u>812A8</u>	SALES	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA OLBSS ACCOUNT NO 26-00351 96210

11-12-2004 KEC

UNITED STATES TREAS NTS 7 875% DUE

29 39A9

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

ţ	5 TTS, 8	<b>26 291'201</b>	IS 595'0II	10-20-00 05-01-01	PAR VALUE 100,000 00 SOLD ACQUIRED
0	7,820 3	12.406,295	19.457,808	10-52-00 05-01-01	PAR VALUE: 275,000.00 SOLD ACQUIRED
					UNITED STATES TREAS NTS 7.875% DUE
5	0 515'8	69'508'225	27 815,188	10-52-00 05-01-01	PAR VALUE: 500,000 00 SOLD SOLD ACQUIRED
					UNITED STATES TREAS NTS 7 875% DUE
C	0 0	0S S>9'Z9£	05 559'295	01-59-01 01-21-01	PAR VALUE: 350,000 00 SOLD SOLD
					BRITISH TELECOMMUNICATIONS P L C NT 8 375% DUE 12-15-2010 BEO
1	16'821'1	87 008,52	69 626'59	10-52-00 01-20-01	PAR VALUE: 50,000 00 SOLD
					UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
1	.2 228 'Z	26.102,251	בב אבניענו	10-52-00 01-20-01	PAR VALUE 125,000 00 SOLD ACQUIRED
3	CVINTFOE	COST BASIS	PROCEEDS SALES	DATE SOLD/	DESCRIPTION OF PROPERTY

PAGE

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#### GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

AAA 07822 ACCOUNT NO. 26-00351 86210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-69 029'11	69 505'78	00 518'5	PAR VALUE 100,000 00 SOLD 02-01 ACQUIRED 02-24-00
			04-11-5005 BEO 121M #X2001223104-11-5005 BEO 121M #X2001223100E
			ACQUIRED 06-08-00
-01 659,8	01,995,87	00 051,27	PAR VALUE 100,000,00 SOLD 02-021
			144¥ 0 01% DNE 01-01-5008 8EO
			PYTPL GH WIR SUPPLY HLDGS LTD GTD NT
			ACQUIRED 02-23-00
87 858,88	98 921'968	929,955 13	PAR VALUE 900,000 00 SOLD 02-02-01
			CAPITAL ONE MASTER TR SER 2000-1 CL A7 1% DUE 04-17-2006 BEO
			VCGUIRED 05-25-00
00 0	20 0	0 05	PAR VALUE 0 02 SOLD 02-01-01
			КЕС В В В В В В В В В В В В В В В В В В В
			ACQUIRED 10-30-00
bb 868'b	S6 152'091	62 059'591	PAR VALUE 150,000 00 SOLD 02-01-01
E¥IN<\r022	7203 212 <b>44</b>	SALES	DATE SOLDV DESCRIPTION OF PROPERTY ACQUIRED

144A 0 01% DUE 01-01-2008 BEO PYTPL CH WTR SUPPLY HLDGS LTD GTD NT

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

11-12-500¢ BEC

UNITED STATES TREAS NTS 7.875% DUE

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

25 <i>2</i> 72,5	SÞ 886'86	00 £95'101	PAR VALUE 100,000 00 SOLD 02-07-01 00-12-70 ACQUIRED 07-21-00
			11-01-2004 BEO O S WEST COMMUNICATIONS INC NT 7 2% DUE
00 LLZ'ST	00 222,272	00 019'065	PAR VALUE, 500,000 00 SOLD 02-07-01 00-12-70 DEQUIRED 07-12-00
			07-15-2005 REG 07-15-2005 REG
2,825 00	00 000'00τ	00 258,801	PAR VALUE 100,000 00 SOLD 02-01 ACQUIRED 09-07-00
			VES CORP SR NT 9 375% DUE 09-15-2010 BEO
-22 551	82 732,08	18 551,08	PAR VALUE, 75,000 00 SOLD 02-06-01 ACQUIRED 02-02-01
			UNITED STATES TREAS NTS & 75% 15/5/2005 DE OS-21-20 DU %ET & 000102U
-50.782,S	51 968,82	07 608,22	PAR VALUE 57,073 60 SOLD 02-05-01 ACQUIRED 06-09-00
-88.201,2	99 185'001	81 815,86	PAR VALUE: 128,294 40 SOLD 02-05-01
SSOTZNIVO	TSOO Sisaa	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

8AA OYBSS 01598

11-12-2004 REG

UNITED STATES TREAS NTS 7 875% DUE

30A9 86

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

82 292'L	26 616,785	02 285,275	PAR VALUE 250,000 00 SOLD 02-12-01 ACQUIRED 10-30-00
			UNITED STATES TREAS WIS 7 875% DUE 11-15-2004 REG
86'198'LI	ZS Z88'66L	00 027,718	PAR VALUE 800,000 00 SOLD 02-09-01 ACQUIRED 11-15-00
			FORD CR AUTO OWNER TR 2000-G NT CL A-4 6 62% due 07-15-2004 BEO
51 845,T	ST 821,991	05 189, 505	PAR VALUE 200,000 00 SOLD 02-09-01 ACQUIRED 02-23-00
			CAPITAL ONE MASTER TR SER 2000-1 CL A7.1% DUE 04-17-2006 BEO
-25 61	55 644,82	69 625'\$5	PAR VALUE 50,000 00 SOLD 02-08-01 ACQUIRED 02-05-01
191 12-	פנ פני, ננו	22 <b>272,22</b> 1	PAR VALUE. 125,000 00 50LD 02-08-01 ACQUIRED 02-05-01
			USD1000 6 75% DUE 05-15-2005 REG
12 156'6	88 628'105	60 129'115	PAR VALUE 375,000 00 SOLD 02-08-01 ACQUIRED 10-50-00
<u>eatnzloss</u>	C0ST <u>812A8</u>	<u>PROCEEDS</u>	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 86210

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10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

<u>evin/rozz</u>	7202 212A4	SALES PROCEEDS	Vale Sold Acquired	DESCRIPTION OF PROPERTY
T9 660'8	16.117,265	25 118'20g	10-20-00 05-15-01	PAR VALUE 275,000 00 SOLD ACQUIRED
				DS-01-2008 BEO OS-01-2008 BEO
65 229'2	15 429'95	00 052'65	10-02-00 05-15-01	PAR VALUE 50,000.00 SOLD ACQUIRED
				UNITED STATES TREAS NTS 7 875% DUE
<b>59 90£'</b> 5	56 151,031	69.820,231	10-20-00 10-20-00	PAR VALUE 150,000 00 SOLD ACQUIRED
				UNITED STATES TREAS NTS 7 875% DUE 11-15-2009 REG
65 170,5	96 656'\$\$[	52 [\$0, <b>7</b> 8]	10-20-00 05-14-01	PAR VALUE 125,000 00 SOLD ACQUIRED
20 529	26,782 25	52'905'LZ	10-20-00 05-14-01	PAR VALUE 25,000.00 SOLD ACQUIRED
50 90 <i>L</i>	02 001,85	52 905'LZ	11-90-00 05-14-01	PAR VALUE 25,000 00 SOLD SOLD ACQUIRED

2 Y5% DUE 08-15-2010 REG UNITED STATES TREAS NTS DID 08/15/2000

DOW GNA SURDNA FOUNDATION - STANDISH, AYER

02855 **AAA** ACCOUNT NO 26-00351 86210

90**Y**4

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

05 205	05,782,6	00 060'01	PAR VALUE 10,000.00 SOLD 02-15-01 ACQUIRED 06-16-00
			PUT US698299AKO7 9 375% DUE 04-01-2029 BEO
			PANAMA REP PANAMA GLOBAL 2029 ISIN
			ACQUIRED 01-16-01
01 991	02 202,82	00 070,62	PAR VALUE 60,000 00 SOLD 02-15-01
			BOOK ENTRY TR 00006 8 375% DUE #WUNITED MEXICAN STS MEDIUM TERM NTS ##UNITED MEXICAN STS SEE TNT 4871335
			ACQUIRED 10-50-00
07 <b>78</b> 2,2	26 751,125	£9 515'9bZ	PAR VALUE 225,000 00 SOLD 02-15-01
			UNITED STATES TREAS NTS 7 875% DUE
			ACQUIRED 09-07-00
-88 578	88 05 <b>£'6</b> ♭	00 572,82	PAR VALUE: 70,000 00 SOLD 02-14-01
			PVTPL RUSSIAN FEDN US¢ BD 144A 8 25% DUE 03-31-2010 BEO
			ACQUIRED 02-12-01
-62 551	07 260,85	[b [b6'5Z	PAR VALUE: 25,000 00 SOLD 02-14-01
CAIN/LOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

AAB 0782**S** ACCOUNT NO 26-00351 86210

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

2p.087,8	55 12 <b>5</b> '591	00 202'54[	02-11-00 05-50-01	VCONIKED 20FD	00 000,271	BUJAV AA9
				and	INC 8 5% NT	USA WASTE SVCS
			10-12-00	<b>VCONIKED</b>		
52 S98	<b>78 3</b> 62,35	11.512,75	05-18-01	гос	00 000'52	PAR VALUE
			a	002/51/20		T S∃TATE GTTEC T S1-20 BUE 05-15
			00-12-60	ACQUIRED		
99 bī	<b>19 0 19</b>	<b>952 22</b>	05-12-01	SOLD	625 33	PAR VALUE:
				-5020	10-01 0 4 00	ЕНГИС СОГВ С423
			12-01-00	ACQUIRED		
00 088	18'200 00	20,180 00	10-51-20	aor	00 000'02	PAR VALUE:
			15-02-00	<b>Р</b> СФОТКЕD		
00'019'1	00 20T, &&	00 SIE'SE	10-51-20	anos	22,000 00	PAR VALUE
			00-12-60	VCONIKED		
00 02£,1	00 056'82	30,270 00	10-12-00	GOOS	20,000 00	PAR VALUE
CAIN/LOSS	COST	EKOCEEDS SVIES	DATE SOLD/		OPERIX	DESCRIPTION OF PR

038 TRANCHE # TR 00006 8 375% DUE 01-14-2011 UNITED MEXICAN STS MEDIUM TERM NTS BOOK

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

**BAA** 07822 86210

3249 07

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

€¥IN\ro22	C0ST	SKOCEEDS 2VIES	ACQUIRED  ACQUIRED	DEZC
90 95S	66 757,111	50 65,511	PAR VALUE 115,000 00 SOLD 02-20-01 ACQUIRED 01-16-01	
			ITED STATES TREAS NTS 7 875% DUE -15-2004 REG	
5,828 15	76.002,221	01 652,721	PAR VALUE 125,000 00 SOLD 02-21-01 ACQUIRED 11-06-00	
5,082 03	SZ 181'901	82 £98'60ī	PAR VALUE 100,000 00 SOLD 02-21-01 ACQUIRED 11-07-00	
29 2£5'£	85 968'££[	01 625'151	PAR VALUE 125,000 00 SOLD 02-21-01 ACQUIRED 11-14-00	
			ITED STATES TREAS WTS 6 75% IS/S/2005 D1000 6 75% DUE 05-15-2005 REG	
82 66-	SS 699,88	\$2 59\$'\$5	PAR VALUE 50,000 00 SOLD 02-21-01 ACQUIRED 02-21-01	
-61 54	18 727,35	29 289'92	PAR VALUE: 25,000.00 SOLD 02-21-01 ACQUIRED 02-05-01	
			A WASTE SVCS INC 6 5% NT DUE -15-2002 BEO	
15 165,1	p1 5p3,85	52.488.45	PAR VALUE 25,000 00 SOLD 02-21-01 ACQUIRED 05-17-00	

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

04888 ACCOUNT NO 26-00351 01598

2 32% DRE 08-12-5010 KEC

UNITED STATES TREAS NTS DTD 08/15/2000

BAGE IY

10-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-90 625	26 699'09[	98 051'091	15-22
-89 821	£9 515'£5	56 9L£'£5	PAR VALUE· 50,000 00 SOLD 02-22-01 ACQUIRED 02-05-01
			USD1000 6 75% DUE 05-15-2005 REG
20 591'5	<b>የይ </b> ቅይ <mark>የ</mark> , ኗይ፤	<b>ხხ 868</b> '5 <b>૧</b> ፒ	PAR VALUE 125,000 00 SOLD 02-22-01 00-21-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD O2/15/2000 6 25% DUE 05-15-2030 BEO
00 550'T	00 <b>581'6</b> 5Z	00 022'052	PAR VALUE S50,000 00 SOLD 02-21-01 10-21-50 G201RED 02-15-01
			D2-12-2006 BEO A CB 6 375% DUE
9L LZL'\$	<b>52</b> ,60 <b>8</b> ,29	00 782,66	PAR VALUE 100,000 00 SOLD 02-21-01 ACQUIRED 06-50-00
			USA WASTE SVCS INC 6 5% NT DUE 12-15-2002 BEO
58 788,1	SI I£b'8b	0S 89L'6b	PAR VALUE 50,000 00 SOLD 02-21-01 ACQUIRED 06-30-00
<u>CAIN/LOSS</u>	COST SIZA4	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

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#### GOOM GMA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 B6ZIO

REC

ENWA POOL # 560387 7 0% DUE 01-01-2016

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

12 Ib9'E	<b>99 512,128</b>	10 412'198	PAR VALUE 847,446 01 SOLD 02-26-01
			EC
72 ZZ8	18 955'9Z	ÞI 69£'LZ	VCGNIKED 10-12-00 BVB AVENE S2'000 00 SOFD 05-52-01
		UNITED STATES TREAS BDS DTD OS/15/2000 6 25% DUE 05-15-2030 BEO	
-52 121'1	52 958'551	125,725 00	PAR VALUE 125,000 00 SOLD 02-22-01 ACQUIRED 02-22-01
			AIACOM INC NOTE 7 7% DUE 07-30-2010 BEO
-00 809'6	00 251'902	00 pp2,591	PAR VALUE 200,000 00 SOLD 02-22-01 ACQUIRED 09-08-00
			8 S2% DNE 00-12-5020 BEO DENIZCHE LEFEKOW 1NIF EIN B A CID NI
51.131	SÞ 185'44	82 Sp9,77	PAR VALUE 75,000 00 SOLD 02-22-01 ACQUIRED 02-15-01
<u>calnzloss</u>	EASIS COST	SACCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO. 26-00351 01588

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

1,328 13	41 156'£5	0° 675,22	15-01-00 05-54-01	VCONIKED 20FD	00 000'05	BAR VALUE
51'099'5	82 718,781	25 772,291	11-21-01 02-21-01	VCONIKED 20FD	00 000'5/1	BUJAV AA9
				316% DUE	S TREAS HTS 7 8 9	UNITED STATES 11-15-2004 RE
<b>ትድ ዐ</b> ድሂ'ዓ	99 629'8\$2	00 09\$'552	00-21-01 10-92-20	VCONIBED 20rd	00 000'052	BUJAV AA9
			Ξn	INC 1 45% DI	RESTAURANTS 3	TRICON GLOBAL
96 018'22	<b>ÞS 251,00</b> A	05 £95'£25	00-12-90 10-52-20	SOLD ACQUIRED	00 000'525	PAR VALUE:
05'455'5	05,685,595	348,817 00	00-52-50 10-52-20	SOLD ACQUIRED	00 000'05\$	∃UJAV ЯA9
				ти элч чиоя	ROADCASTING GR	BKITISH SKY B
-85 T6	<b>55 Σ5</b> 2'ζ	96 199'L	12-22-00 12-28-01	SOLD ACQUIRED	96 199'L	PAR VALUE:
<u>SSOT/NIV5</u>	SISA4	SALES PROCEEDS	DATE SOLDV ACQUIRED		утяачоре.	DESCRIBLION OF

11-12-2004 REC UNITED STATES TREAS NTS 7 875% DUE

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

AAA DYBSS ACCOUNT NO 26-00351 86210

11-12-2004 REG

UNITED STATES TREAS NTS 7.875% DUE

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

88 171	S04,312 50	204'484 28	PAR VALUE 200,000 00 SOLD 02-28-01 ACQUIRED 02-02-01
			UNITED STATES TREAS NTS 6 625% DUE
02.171,22	05 286,822	00 551,582	PAR VALUE 550,000 00 SOLD 02-27-01 ACQUIRED 11-01-00
			FUMA 6.625% DUE 11-15-2010 REG
56 01L'SZ	00 SSI,72à	<b>96 288,</b> 588	PAR VALUE 600,000 00 SOLD 02-27-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BOS DTD OS/15/2000 6 S5% DUE 05-15-2030 BEO
0.0.0.1	(( 05/1705	68 722,762	PAR VALUE 450,000 00 ACQUIRED 11-21-00
06 878, 21	66 856'285	08 777,702	
5,103.52	87 273,031	0£ 677,231	PAR VALUE 150,000 00 SOLD 02-27-01 ACQUIRED 11-14-00
			UNITED STATES TREAS NTS 7 875% DUE
<b>55 018'8</b>	Z9 Z89'05£	91 865,628	PAR VALUE, 325,000 00 SOLD 02-27-01 ACQUIRED 12-01-00
<u>CATUZLOSS</u>	COST SISAB	EKOCEEDS 24res	ESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDMA FOUNDATION - STANDISH, AYER

**BAA** 07822 ACCOUNT NO 26-00351 86210

30A9 27

#### 10-02-90 HOUGHH 00-10-70 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES**

27 &78,S	27 872,97 <i>5</i>	281,952 50	PAR VALUE 375,000 00 SOLD 02-28-01 ACQUIRED 02-08-01
			ENBON COSE & 625% DUE 11-15-2005 REG
00 £99'5	00 £1£'001	00 926'501	PAR VALUE 100,000 00 SOLD 09-14-00
00 975,81	00.525,665	00 826,718	PAR VALUE 500,000 00 SOLD 02-28-01 ACQUIRED 09-12-00
			09-12-2005 BEO D9-12-2005 BEO
08 160'1	91 811'852	249,209 96	PAR VALUE 325,000 00 SOLD 02-28-01 ACQUIRED 02-09-01
			USD1000 6 75% DUE 05-15-2005 REC
[['Z99'b	78.810,981	87 278,29£	PAR VALUE: 175,000 00 SOLD 02-28-01 ACQUIRED 12-01-00
09.802,8	£0.707, £5.	£9 510,52£	PAR VALUE 300,000 00 SOLD 02-28-01 ACQUIRED 12-01-00
CAINZLOSS	SISA4 COST	SALES	DESCRIPTION OF PROPERTY ACQUIRED

04-01-5014 REG ##FNMA T&A POOL #999999 7 0% DUE

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

OYBSS ACCOUNT NO 26-00351 01598

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10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

<u>Č</u> VINTOZŹ	COST	SALES	DATE SOLD/	DESCRIPTION OF PROPERTY
00 0	82.925,810,1	85 625,810,1	10-10-20 10-10-20	PAR VALUE· 1,000,000.00 SOLD ACQUIRED
				04-01-501¢ KE¢ ##EMW¥ 18¥ 600F #8888888
00 0	<b>99 955'585</b>	b9 955'58 <u>5</u>	02-01-01 02-01-01	OLOS OO OOO,272 BULAV RAG GENIUDDA
				UNITED STATES TREAS NTS 6.625% DUE 04-30-2002 REC
820 25	27 890,217	70 PI6,217	05-05-01 02-01-01	PAR VALUE 700,000 00 SOLD SOLD ACQUIRED
				JUD XETB T ZTH ZABY STATE DUILUU POOS-21-11
<b>56 095</b> '8	<b>524,025</b> 44	8ሪ ዖ8ዖ,ኗሪሪ	00-10-21 10-10-20	PAR VALUE 300,000 00 SOLD ACQUIRED
				UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REC
52,182,01	52 150,522	05 295'255	15-01-00 02-01-01	PAR VALUE 400,000 00 SOLD ACQUIRED
89.455	<b>56 580'0</b> [[	29 059'011	01-10-01 02-01-01	PAR VALUE· 100,000 00 SOLD ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

N2D1000 9 12% DNE 02-12-5002 KEC UNITED STATES TREAS HIS 6,75% 15/5/2005

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

00 278,81	318,562,50	05 \£6'Z££	PAR VALUE: 500,000 00 SOLD 05-05-01 ACQUIRED 10-15-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6.25% DUE 05-15-2030 BEO
SL 189'L	182,279 25	00 116'061	PAR VALUE 175,000 00 SOLD 03-02-01 ACQUIRED 01-09-01
			PYTPL VIACOM INC SR DEB 144A 7.875% DUE 07-50-2030 BEO
56 095'E	82.886,722	ZZ 655'6Z5	PAR VALUE 425,000 00 SOLD 03-01-01 ACQUIRED 01-11-01
			UNITED STATES TREAS NTS DTD 00880 5 125% DUE 12-31-2002 REG
96.855 <b>,</b> 1	>6 888'0££	88,159,125	PAR VALUE 500,000 00 SOLD 05-01-01 ACQUIRED 01-19-01
66'66b'I	5L £b£'Z99	<b>ካሬ ድካፄ'ድ</b> 99	PAR VALUE 600,000,00 SOLD 05-01-01 ACQUIRED 01-18-01
29 915	69'508'55	S\$ 0S\$'55	PAR VALUE: 50,000 00 SOLD 03-01-01 ACQUIRED 01-12-01
CAIN/LOSS	T202 ZIZA4	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

0782**S** ACCOUNT NO 26-00351 01568

2 815% DUE 11-01-2003 BEO

FIRST SEC CORP DEL FIRST SECURITY CORP

8 Z ₽AGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

82 526	12 291,195 31	60 121'525	PAR VALUE 300,000 00 SOLD 03-08-01 ACQUIRED 03-06-01
			UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG
21 192	pp 862,701	91 099'201	PAR VALUE 100,000 00 SOLD 03-07-01 ACQUIRED 03-06-01
			USD1000 6 75% DUE 05-15-2005 REG
248 . 0 d	99.760,82	01 245,22	PAR VALUE 50,000 00 SOLD 03-07-01 ACQUIRED 01-19-01
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
12 551,71	00 005'15	IS Z£9'bL	SHARES 2,300 00 SOLD 03-07-01 ACQUIRED 01-17-01
			PIERS SIX FLAGS INC PFD INCOME EQUITY SHS
921	28 855'25	81 519,52	PAR VALUE· 50,000 00 SOLD 03-05-01
51.952	68 p00,1p2	20 [62,162	PAR VALUE 225,000.00 SOLD 03-05-01 ACQUIRED 02-09-01
CAIN/LOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

PAGE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 SIS	00 092'15	00'510'25	PAR VALUE 45,000 00 SOLD 03-14-01 ACQUIRED 01-16-01
10,832 26	<b>77 730,051</b>	00 006'021	PAR VALUE, 140,000.00 SOLD 03-14-01 ACQUIRED 11-15-00
			D3-D6-2030 BEO US105756AL40 509787U
SZ 115	SZ [58/]ZI	158,252 50	PAR VALUE. 125,000 00 SOLD 03-14-01 ACQUIRED 10-12-00
			8 0% DUE 06-15-2010 BEO Deutsche Telekom intl fin <b>b v</b> gtd nt
00 515'Z	00 589'821	00 001'121	PAR VALUE: 115,000.00 SOLD 05-09-01 ACQUIRED 01-16-01
			BRRZIL FEDERATIVE REP GLOBAL BD 14 5% Due 10-15-2009 beo 151n us105756aj93 507310u
228 12	99'460'191	87 256,181	PAR VALUE: 150,000 00 SOLD 03-08-01 ACQUIRED 03-06-01
			USDIDDO 6 75% DUE 05-15-2005 REG
95.678,21	62 720,818	27	PAR VALUE 325,000 00 SOLD 03-08-01 ACQUIRED 04-20-00
CAINZLOSS	COST BASIS	SECCEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

**BAA** 02888 ACCOUNT NO 26-00351 86210

IWC CLOBAL INC 7 625% DUE 11-01-2005 BEO

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01-07-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

91.219	78.878	£0 565'[	PAR VALUE: 1,494 03 SOLD 05-21-01 ACQUIRED 06-08-00
			GUANGDONG ASSETS MGMT LTD GTD NT 144A 5 0% DUE 01-01-2005 BEO
-00 050'T	00 526'88	82,875 00	PAR VALUE: 75,000 00 SOLD 03-16-01 - ACQUIRED 01-16-01
			BRAZIL FEDERATIVE REP GLOBAL BD 14 5% Due 10-15-2009 beo 151n usiosyséal93 507510u
<b>59</b> 51	TT DT9	51 23	PAR VALUE: 624 75 SOLD 03-15-01 ACQUIRED 09-27-00
			FHLMC GOLD C43700 7,0 10-01-2030
07.0S7,S	52 968,275	278,615 23	PAR VALUE 550,000 00 SOLD 03-05-01 ACQUIRED 03-06-01
			USDIOOO 6 75% DUE 05-15-2005 REG
-00 515	00 SZZ'/Þ	00 051'95	PAR VALUE: 50,000 00 SOLD 03-14-01 ACQUIRED 03-09-01
SSOT/NIVO	212 <u>A4</u>	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

**GOOW GNA** SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

8AA 07822 86210

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

-05 256'2	05.505,24	00.027,52	02-03-01 02-52-01	VCONIBED 20FD	00 000'55	<b>BUJAV AA</b> 9
-00 565'L	DO:568'89I	00'005'191	01-19-01 02-52-01	SOLD SOLD	00 000'01	PAR VALUE
				רספער פט	1-11-5009 BEO 711AE KEB N2¢ C	_
-£1 920'5T	£1,37č,08	00 002'99	01-52-01 02-52-01	VCONIBED 20rd	00 000'58	BAR VALUE
			BEO	2-12-5010	0 3UG %878.II 4	ARGENTINA REF
-St 095'£t	5I 095'b6	00 000'18	01-52-01 02-52-01	SOLD SOLD	00.000,001	PAR VALUE
			BE0	2-12-5010	11 215% DUE 0	ARGENTINA REF
00 >>9'5	00 909'66	103,250 00	09-58-00 02-55-01	VCGUIRED SOLD	00 000'001	PAR VALUE.
			DNE	%518 6 IN	1-01-5002 BED 102 INC 2K 2NB	
58.105,2	51 862'25	00 000'95	01-16-01 02-22-01	SOLD ACQUIRED	00 000'05	BUJAV AA9
06 565'9	01 505'58	00 000'26	01-08-01 02-55-01	SOLD SOLD	00 000'001	BAR VALUE
SVINTE STATES	T203 <u>212A</u> 4	SALES PROCEEDS	DATE SOLD/ ACQUIRED		PROPERTY	DESCRIPTION OF

02-21-5020BEO X20114588789 512473U RUSSIA FEDN EURO BD 5 0% DUE

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO Z6-00351 01588

03-01-2008 BEO

AMERICAN STD INC 7 375% SR NT DUE

30A9 S8

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDERAL SCHEDULE OF GAINS AND LOSSES** 

96 Zb6'I	50 /02'15	00 052,25	PAR VALUE 50,000 00 SOLD 03-26-01 ACQUIRED 01-19-01
			REO IWC CCOBVE INC DEB & 812% DNE 01-12-5001
-82 626'I	88 921'55	0 <b>5 781,</b> 82	10-55-00 SOLD 05-25-01 10-91-50 GSRUDAA
			RUSSIAN FEDERATION EURO 12 75% DUE 06-24-2028 BEO
-22 689'51	22.28.122	206,150 00	PAR VALUE 310,000 00 SOLD 03-23-01 ACQUIRED 03-14-01
			#BRAZIL FEDERATIVE REP US\$ DENOMINATED ##BRAZIL FEDERATIVE REP US\$ DENOMINATED ##BRAZIL FEDERATIVE REP US\$ DENOMINATED
28 205,1	SI 591,651	120'056	PAR VALUE 135,000 00 SOLD 03-23-01 ACQUIRED 01-16-01
			AZATECH INC MINISTRY FIN RUSSIA EUROCLEAR ISIN # USX74344CZ79 11 75% DUE 06-10-2003 BEO
- <b>56 965</b> '[	46.846,78	00 052'98	PAR VALUE, 220,000.00 SOLD 03-23-01 ACQUIRED 01-16-01
<u>evintross</u>	COST <u>8AS1S</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

AAA OVBSS ¥CCON1 NO Se-00221 86210

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 02	00 508'5	00 528'5	PAR VALUE 5,000 00 SOLD 04-02-01 ACQUIRED 01-16-01
-00 558	00 562,28	00 056'b\$	PAR VALUE 30,000.00 SOLD 04-02-01 ACQUIRED 01-05-01
			DUE09-15-2016 BEO Mexico-united mexican STS BD 11 375%
27.730,2	52 285,47	00 005'61	PAR VALUE 75,000 00 SOLD 03-29-01 ACQUIRED 09-15-00
			ADELPHIA COMMUNICATIONS CORP 10 875% DUE
5/ <b>9</b> £5'5	52	00 £59'675	PAR VALUE 275,000 00 SOLD 05-28-01 ACQUIRED 02-22-01
			PYTPL NORTHROP GRUMMAN CORP NT 144A 7 125% Due 02-15-2011 Beo
18 112,5	6 l 880 'ZZ	00 005'52	PAR VALUE, 25,000 00 SOLD 03-28-01 ACQUIRED 12-06-00
61 <b>9</b> 51'T	IZ £5£'£Z	80 00S'bZ	PAR VALUE 25,000 00 SOLD 03-28-01 ACQUIRED 10-05-00
CVINCEOSS	C0ST <u>818</u> 4	SALES SALES	DESCRIPTION OF PROPERTY  DATE SOLD  ACQUIRED

1 22% DRE 02-01-5000 BEO PYTPL AT&T WIRELESS SVCS INC SR NT 144A

MOOD WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

1,558 08-	122,002 95	58'595'[[]	PAR VALUE: 125,000.00 SOLD 04-05-01 ACQUIRED 03-23-01
-25 851'5	90 599'6[2	<b>99 SIS'SI</b> \$	PAR VALUE 300,000 00 SOLD 04-05-01 ACQUIRED 05-23-01
			UNITED STATES TREAS WIS DID 08/15/2000 5 75% DUE 08-15-2010 REG
28 192	19 657,82	<b>56 580'</b> 55	PAR VALUE: 50,000 00 SOLD 04-05-01 ACQUIRED 03-07-01
			UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REC
1,382.82	96 262'591	84 549,331	PAR VALUE, 150,000 00 SOLD 04-05-01 ACQUIRED 01-19-01
			UNITED STATES TREAS NTS 7 875% DUE 11-15-2004 REG
00 <b>59</b> £'I	00 270,78	00 055'88	PAR VALUE 75,000 00 SOLD 04-04-01 ACQUIRED 01-16-01
			DUE09-15-2016 BEO MEXICO-UNITED MEXICAN STS BD 11 375%
00 255'5	299,885 00	00 SZÞ'Þ0£	PAR VALUE: 300,000 00 SOLD 04-03-01
GAINKLOSS	T202 <u>818</u> 48	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

**GOOM GNA** SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

DY822 86210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

<i>TT</i> , 625	87 271,25	55 586,25	PAR VALUE 25,000 00 SOLD 04-06-01 ACQUIRED 01-11-01
			UNITED STATES TREAS HTS DTD 00880 5 125% Due 12-31-2002 Reg
-61 765	S1 265,801	95 101'801	PAR VALUE: 100,000 00 SOLD 04-05-01 ACQUIRED 05-22-01
-9£ 106	252,231 66	80 055,125	PAR VALUE 325,000 00 SOLD 04-05-01 ACQUIRED 03-16-01
\$6 615	86 228'692	16 252,072	PAR VALUE 250,000 00 SOLD 04-05-01 ACQUIRED 05-14-01
60 <b>29</b> I	26,862 50	57,025 39	PAR VALUE· 25,000 00 SOLD 04-05-01 ACQUIRED 03-07-01
			UNITED STATES TREAS NTS 6 75% IS/S/2005 UNITED STATES TREAS NTS 6 15/S/2005
79 66	02 611,81	18 878,87	PAR VALUE 15,000 00 SOLD 04-05-01 10-8-20 DS-20-01
88 95	<b>56 011'15</b> 1	28 T2T, T21	PAR VALUE 150,000 00 SOLD 04-05-01 ACQUIRED 03-28-01
CAINZLOSS	COST SISA4	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

05-11-5000 REO 121M #N2202048RC28 UNITED MEXICAN STATES BD 10 375% DUE

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

SAA ACCOUNT NO 26-00351 07822

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-05 290'8	00 000'51	05 759,50	PAR VALUE: 75,000 00 SOLD 04-11-01 ACQUIRED 01-04-01
			01-01-5008 BEO WCFEODNZW INC 2K NI 11 212% DNE
-00 275,62	00 000'052	80 529'022	PAR VALUE 250,000 00 SOLD 04-10-01 ACQUIRED 01-04-01
			01-01-5008 BEO WCFEODNZV INC 28 NT 11 212% DNE
-29 810,8	59 525,62	42,250 00	PAR VALUE, 50,000 00 SOLD 04-10-01 ACQUIRED 06-29-00
			INNOVA S DE R L SR EXCHANGE NT 12 875%
69 762,5	[8,572,78	00 078,92	PAR VALUE: 45,000 00 SOLD 04-09-01 ACQUIRED 12-04-00
			PANAMA REP 8 875% GLOBAL BD DUE 09-30-2027 BEO
58 182	51 855191	00 521,61	PAR VALUE 15,000 00 SOLD 04-09-01
00 021	00 081'99	00 006'99	PAR VALUE: 60,000 00 SOLD 04-09-01 ACQUIRED 02-15-01
SSOTANIAS	C021	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

01822 8AA ACCOUNT NO 26-00351 86210

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

00 0	1,095,725.59	1,093,725 59	PAR VALUE 1,100,000.00 MATURED 04-16-01 ACQUIRED 03-01-01
			FEDERAL NATL MTG ASSN DISC NT 04-16-2001
00 565	00 S£9'0b	00.025,12	10-31-00 SOLD 00 000,25 BULAN RAP 10-31-10 DECQUIRED 01-16-01
			DUE09-15-2016 BEO MEXICO-UNITED MEXICAN STS BD 11 375%
-ZZ [9 <i>L'</i> [[	ZZ 110'65	00 0SZ' <i>L</i> ♭	PAR VALUE· 75,000.00 SOLD 04-12-01 ACQUIRED 11-08-00
			DOE DE-DI-SDOB/02-01-SDO2 BEO FEAEF 2 COMMONICVLIONS INC 28 NL 8 ISE%
-15 BIZ'5	15 895,55	00 052'81	PAR VALUE: 25,000.00 SOLD 04-11-01 ACQUIRED 10-31-00
-06 102'21	06 102'85	00 009'9£	PAR VALUE 50,000 00 SOLD 04-11-01 ACQUIRED 08-04-00
			METROMEDIA FIBER NETWORK INC SR NT 10 0% Due 11-15-2008/11-15-2003 BEO Put
-SZ [£0'5	57 £95,85	05 212,52	PAR VALUE 25,000 00 SOLD 04-11-01 ACQUIRED 01-30-01
<u>GAIN/LOSS</u>	TZOO ZIZAB	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

EHRMC CORD C02100 1 0 10-01-5020

GOOW GNA 01888 SURDNA FOUNDATION - STANDISH, AYER ACCOUNT NO 26-00351 86210

10-12-S007 BEO

CMS ENERGY CORP SR NT 9,875% DUE

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

pZ'6Z6'\$	94 040,86	00 000'ZOT	PAR VALUE: 100,000,00 SOLD 04-19-01 ACQUIRED 07-28-00
			01-12-5009/01-12-5004 BEO 2coll2 co 2k 2nb Ml 8 952% dne
-00 bl9'ī	05 722,6AI	02 <b>288</b> ,721	10-61-90 SOLD 000,001 19-01 10-70-20 ACQUIRED 03-01
			02-12-5011 BEO KINDEBWOBCRN ENEBCA H12 NCF & 15% DNE 102-13-13-13-13-13-13-13-13-13-13-13-13-13-
-20, IBA	£9 521,02	85 <b>ÞÞZ'</b> 0Þ	PAR VALUE: 40,244 58 SOLD 04-19-01 ACQUIRED 12-22-00
			KEC EMWA 600L # 560387 7 0% DUE 01-01-2016
00 0	79 202,082,£	19 ZOZ'065'I	PAR VALUE 1,600,000 00 MATURED 04-19-01 ACQUIRED 03-01-01
			FEDERAL WATL MTG ASSN DISC NT 04-19-2001
72	22,386 36	22,923 63	PAR VALUE 22,925 65 SOLD 04-16-01 ACQUIRED 09-27-00
CAIN/LOSS	TZOO ZIZAĄ	SACEEDS PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 VCCOUNT NO 26-00351 86210

1 92% DIE 08-12-5010 BEO

CLEAR CHANNEL COMMUNICATIONS INC SR NT

30A¶ 89

#### 01-01-00 1HKONCH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

95 101	\$0 <b>28</b> 5'IS	65 \$89'15	PAR VALUE: 50,000.00 SOLD 04-24-01 ACQUIRED 04-19-01
-89 50\$	82.886,12	09 £89'15	PAR VALUE: 50,000 00 SOLD 04-24-01 ACQUIRED 04-11-01
			UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG
-01 855'91	67 052,025	69 296'\$22	PAR VALUE: 307,852 50 SOLD 04-23-01 ACQUIRED 04-09-01
			04-12-5014/04-12-1666 BEC BBKZIF C 2EK 50 AK 8 0% DNE
00 027,£	SZ 905'10Z	52 951'£02	PAR VALUE: 200,000 00 SOLD 04-23-01 ACQUIRED 01-11-01
			UNITED STATES TREAS NTS DTD 00880 5.125% DUE 12-31-2002 REG
00 458,01	57,100,155	27 828,12S	PAR VALUE 225,000 00 SOLD 04-20-01 ACQUIRED 10-04-00
			CLEAR CHANNEL COMMUNICATIONS INC SR NT 7 65% DUE 09-15-2010 BEO
00 855'6	00.750,921	00 529'85[	PAR VALUE 150,000 00 SOLD 04-20-01 ACQUIRED 10-06-00
CPINTFOZZ	7203 212A4	SALES 20333089	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA OVBSS VCCCONT NO S6-00351 86210

NT 8 25% DUE 08-01-2008 BEO

MITFIAMS COMMUNICATIONS GROUP INC US\$ SR

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-12 809'92	۲2 852'69	00 051,52	PAR VALUE 75,000 00 SOLD 04-26-01
			12 5% DUE 04-15-2006/04-15-2001 REG
65 ££p	52'182'651	<b>98 PIT, 921</b>	PAR VALUE 150,000 00 SOLD 04-26-01
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
- 55 258	5 <b>£ 09£'</b> [8	18 205'08	PAR VALUE 75,000 00 SOLD 04-25-01 ACQUIRED 03-25-01
			UNITED STATES TREAS WIS 6 75% IS/S/2005 USD1000 6 75% DUE 05-15-2005 REG
-88 895	۲0 569'6 <u>۶</u>	61 922'69	PAR VALUE: 39,226 19 SOLD 04-25-01
			KEC EMW¥ BOOF # 200381 1 0% DNE 01-01-5010
00'929'01	00 955'96[	00 270,702	PAR VALUE 200,000 00 SOLD 04-24-01 ACQUIRED 10-04-00
CAIN/LOSS	COST Sisaa	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

8 0% DNE 01-08-5002 BEO

INTERNATIONAL PAPER CO NT DTD 06/07/2000

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00 551'5	226,701.00	00 525'12Z	PAR VALUE 225,000 00 SOLD 04-30-01
			CO 7 67% DUE 07-01-2004 REG CLEVELAND ELEC ILLUM CO / TOLEDO EDISON
00 SÞZ'Z[	00 06£,8pS	00 529'192	PAR VALUE: 250,000 00 SOLD 04-27-01 ACQUIRED 06-07-00
			INTERNATIONAL PAPER CO NT DTD 06/07/2000 8 0% DUE 07-08-2003 BEO
TB L	28 276,25	69 TB9'SZ	PAR VALUE: 25,000 00 SOLD 04-27-01 ACQUIRED 04-19-01
-57 815	£0 285'15	82 £9£'[5	PAR VALUE 50,000 00 SOLD 04-27-01
			UNITED STATES TREAS WIS DID 08/15/2000 5 75% DUE 08-15-2010 REG
82 011'5	76 020'TOI	אר ופויצוו	PAR VALUE 125,000 00 SOLD 04-27-01 ACQUIRED 04-06-01
			1444 9 87% DUE 12-31-2049/03-16-1998 BEO
-00 051'75	00 000,2T	\$2,250 00	PAR VALUE 75,000 00 SOLD 04-26-01
<u>ERIN/LOSS</u>	C0ST <u>BASIS</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 86210

8 2% DOE 01-18-5021 BEO

DAIMLER CHRYSLER NORTH AMER HLDG CORP

PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-01 555'Z	Z8 0>8'68I	27 385,781	PAR VALUE 175,000.00 SOLD 05-01-01 ACQUIRED 03-23-01
			USD1000 6 75% DUE 05-15-2005 REC
-00 T	00 206'101	00 [06'[0]	PAR VALUE 100,000 00 SOLD 04-30-01 ACQUIRED 03-02-01
78 688,SI	£9 £1£'£6Z	00 £01,205	PAR VALUE: 500,000 00 SOLD 04-30-01
			SECS-GLOBLS 6 7% DUE 07-16-2004 BEO
-00 051,75	00.000,02	00 052'22	PAR VALUE: 50,000.00 SOLD 04-50-01 ACQUIRED 08-03-00
			NT 8 25% DUE 08-01-2008 BEO
0S S <b>Þ</b> T	0S £95'£5	00 601,22	10-02-90 SOLD 00-00.02 BUJAY AA9 00-02-21 0381UPA
			CORP MCL 8 375% DUE 05-15-2005 BEO MORFOLK SOUTHM CORP MORFOLK SOUTHERN
05 658,01	256,451 00	222,300 50	PAR VALUE 225,000 00 SOLD 04-50-01 ACQUIRED 06-50-00
CAINZLOSS	COST EASLS	SGEECORG SGEECORG	DATE SOLD A SESSITION OF PROPERTY ACQUIRED

### The Northern rust Company 50 South LaSaile Street, Chicago, Illinois 60675

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 01568

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### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

CVINTFOZZ	COST 212A8	SALES PROCEEDS	DESCRIBITION OF PROPERTY  DATE SOLD
00 685'5	00.715,66	00 901,40[	PAR VALUE: 100,000 00 SOLD 05-11-01
			MILLIAMS COMMUNICATIONS GROUP INC US¢ SR NT 8 25% DUE 08-01-2008 BEO
-00 578,01	00 000'52	00 SZ['b[	PAR VALUE 25,000 00 SOLD 05-02-01 ACQUIRED 08-03-00
			FEDERAL HOME LN BK DISC NT DUE
00 0	ZZ 959'68L	ZZ 959'68L	PAR VALUE: 800,000 00 MATURED 05-02-01 ACQUIRED 01-31-01
			UNITED STATES TREAS WIS DID OB/IS/2000 S 75% DUE OB-15-2010 REG
95 924	IS 569'ZOI	78 ISP, 201	PAR VALUE: 100,000 00 SOLD 05-03-01 ACQUIRED 04-19-01
154.30	71 107,2S	16 228,2S	PAR VALUE 25,000 00 SOLD 05-03-01 ACQUIRED 04-20-01
			UNITED STATES TREAS WIS DID 08/15/2000 5 75% DUE 08-15-2010 REC
78 ISP, I	82 609'502	25 [£0,70S	PAR VALUE 200,000 00 SOLD 05-03-01 ACQUIRED 04-20-01

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 86210

30**A**9

### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

-05 /25	05 111,651	00 081,651	PAR VALUE 125,000 00 SOLD 05-08-01 ACQUIRED 01-08-01
			GULF CDA RES LTD NT 7 125% DUE
05 201'2	05 869'65[	00 208'251	PAR VALUE 150,000 00 SOLD 05-07-01 ACQUIRED 03-08-01
			NASCO CORP MASCO CORP NOTES 6 75% MAR 15
85.827	\$1 807,00£	וסו'לפו פו	PAR VAŁUE 100,000 00 SOLD 05-01 10-11-10 ACQUIRED 01-11-01
			UNITED STATES TREAS UTS DTD 00880 5 125% DUE 12-51-2002 REG
05 486	£9 0>9'6L	£1 872,08	PAR VALUE: 75,000 00 SOLD 05-03-01
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
82 03	69 555'11	21.989,11	PAR VALUE· 75,000 00 SOLD 05-03-01 ACQUIRED 04-24-01
Z9 SI	£9 SIO'LOZ	22 120,705	PAR VALUE 200,000 00 SOLD 05-03-01 ACQUIRED 04-23-01
CAIN/LOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

N2D1000 ¢ 12% DNE 02-12-5002 KEC UNITED STATES TREAS UTS 6 75% 15/5/2005

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO, 26-00351 86210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-81 S19'T	86 807,765	02 220'962	PAR VALUE 275,000 00 SOLD 05-09-01 ACQUIRED 04-03-01
			UNITED STATES TREAS NTS 6 75% IS/S/2005 USD1000 6 75% DUE 05-15-2005 REC
£9 59Z'I	05 295'815	51 828,915	PAR VALUE 300,000 00 SOLD 05-09-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD O2/15/2000 6 25% DUE 05-15-2030 BEO
do 29	06'555'52	62'956'52	PAR VALUE: 25,000 00 SOLD 05-09-01 Acquired 04-30-01
Z9 <b>S</b> 9Z'Z	69 502'502	18 072,70S	PAR VALUE 200,000,000 SOLD 05-09-01 ACQUIRED 04-27-01
99.ETP	18.725,621	55 181,651	PAR VALUE, 125,000.00 SOLD 05-09-01
			UNITED STATES TREAS WIS DID 08/15/2000 5 YS% DUE 08-15-2010 REG
195 22-	21 021,72	08.26.32	PAR VALUE 25,000 00 SOLD 05-09-01 ACQUIRED 05-25-01
<u>evin/ross</u>	SISA4	STEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

2 15% DUE 08-15-2010 REC UNITED STATES TREAS NTS DTD 08/15/2000

### The Northern rust Company 50 south Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-80351 01598

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### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

-00.025,5	00.278,725	00.256,24	PAR VALUE: 800,000 00 SOLD 05-15-01
			UNITED STATES TREAS BDS WI TREASURY SEC GOVT NATIONAL 5 375% DUE 02-15-2031 BEO
-26'195	SI.0SI,7S	02 859'92	PAR VALUE: 25,000 00 50LD 05-11-01 ACQUIRED 05-25-01
			UNITED STATES TREAS NTS 6 75% 15/5/2005
-52 959	52 182,621	00 529'851	PAR VALUE 150,000 00 SOLD 05-10-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
212 25	25,188,12	05 \$78'[5	PAR VALUE: 50,000 00 SOLD 05-09-01 ACQUIRED 06-05-01
68 <b>59</b> I	98 SSL'SZ	SL 126'52	PAR VALUE 25,000 00 SOLD 05-09-01
0 <b>5</b> 051	25,781 25	S1 126,25	PAR VALUE 25,000.00 SOLD 05-09-01
<u>GAIN/LOSS</u>	T202 <u>212A4</u>	<u> BROCEEDS</u> 2∀ΓES	DESCRIPTION OF PROPERTY  DATE SOLD  ACQUIRED

EHFWC COFD C42100 1 0 10-01-5020

### The Northern rust Company 50 South LaSalle Street Chicago, Illinois 60675 (312) 630-6000

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### **GOOW GNA** SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO. 26-00351 07598

### 10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES**

-62.250,I	65 959'091	01 129'651	10-12-50 10-23-50	FOE FO'000 00 CONIKED	AV AA9
			10-50-50	ACQUIRED	
-22.777,1	15 161,801	90'515'901	10-71-80	ברחב זוסטיםםם פסר פסרם	AV AA9
			SO	TATES TREAS UTS 6 75% 15/5/20 TATES TRE 05-15-2005 REC	
			00-10-11	ACQUIRED	
00 067, ZS	05 161,822	05 782,578	10-91-90	Q10S 00 000'059 301	AV AAG
				52% DNE 11-12-5010 KEC	ENWA 6 6
			10-22-20	<b>VCONIKED</b>	
51,728,1	58 028'0>	00 871,52	10-91-50	רחב 20'000 00 פסרם	AV AA9
				DE 11-12-2028 BEO	
			05-25-01	ACQUIRED	
1,226.65	58 0Z8'0b	05 TAO,SA	10-91-50	TOE: 20,000,00 SOLD	AV SAS
				AP CORP SPRINT CAPITAL CORP	
			00-12-60	ACQUIRED	
Z6 ZI	85 883	05 ISS	10-51-50		AV AAG
CAIN/LOSS	C027 212A4	SALES PROCEEDS	DATE SOLD/	N OF PROPERTY	резситьсто

098 8202-52-90 RUSSIAN FEDERATION EURO 12 75% DUE

### The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

DOOM GNA SURDNA FOUNDATION - STANDISH, AYER

AAA 07822 ACCOUNT NO 26-00351 86210

NT 8 25% DUE 08-01-2008 BEO

984 86

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

			WILLIAMS COMMUNICATIONS GROUP INC US\$ SR
			ACQUIRED 03-01-01
<b>ረዐ ኦ68'</b> ፘ	67 327,566	98 059'566	PAR VALUE 974,849 16 SOLD 05-22-01
			KEC LAMA POOL # 558065 7 0% DUE 01-01-2016
			ACQUIRED 03-01-01
1,700 63	97 365,582	62 760,282	PAR VALUE 572,849 59 SOLD 05-22-01
			вес
			FNMA POOL # 567512 7 0% DUE 01-01-2016
			ACQUIRED 12-22-00
51 65p,p[	55 608'095'I	62 692'515'1	PAR VALUE 1,542,575 37 SOLD 05-22-01
			REG REG REG
			ACQUIRED 11-08-00
00.214.01	00 199'86	00 5/8,801	PAR VALUE: 100,000,00 SOLD 05-18-01
			NATPL RESOLUTION PERFORMANCE PRODS LLC
<b>5€ 188</b> ′Z	<b>ታ፤ ዐታ</b> ድ'85	88 155,16	PAR VALUE: 65,000 00 SOLD 05-17-01
SSOT/NIVS	COST SISA8	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 01598

15 2% DNE 04-12-5009\04-12-5001 KEC NEXTLINK COMMUNICATIONS L L C SR NT

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

			ACQUIRED 05-17-01	
91 564	<b>95 712,86</b>	05 212'29	PAR VALUE: 75,000.00 SOLD 05-23-01	
,, 202	, , , , , , , , , , , , , , , , , , , ,	73 711 27	(* 10 3	
			X200893720638.75% DUE 07-24-2005 BEO	
			RUSSIAN FEDN EUROCLEAR ISIN	
			•	
			VCGUIRED 05-16-01	
ST 29	80 SSÞ'SZ	08 659'52	PAR VALUE 25,000 00 SOLD 05-23-01	
			ACQUIRED 05-08-01	
-15 161'1	£9 06£'£0ĭ	22 661,501	PAR VALUE: 100,000 00 SOLD 05-23-01	
			2 75% DUE 08-15-2010 REG	
			UNITED STATES TREES WIS DID OBVIS/2000	
			0006/31/80 did 314 34361 331413 d311(4))	
			ACQUIRED 05-03-01	
04 656	52.723,22	25,607 25	PAR VALUE 35,000 00 50LD 05-22-01	
			038	
			GEORGIA PAC CORP 8 875% DUE 05-15-2031	
			ACQUIRED 05-03-01	
00 0Z\$'b	248,610 00	S25,930 00	PAR VALUE 250,000 00 SOLD 05-22-01	
		22 222 222	11 00 21 0 100	
			<b>PEO</b>	
			GEORGIA PAC CORP 8 125% DUE 05-15-2011	
			44 55 54 65Wtobbu	
00.005107	001000105	00 005(07	ACQUIRED 08-03-00	
-00 005,85	00'000'09	00 005'92	PAR VALUE: 50,000.00 SOLD 05-22-01	
CVINTOZZ	SISA4	PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED	m
330 17 (4143	2134	2ALES	\\ \Q102 31AQ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\	, ,
	1000	03 (10	74 100 3144	

### The Vorthern rust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00351

BAA 0 7 8 S S 86210

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10-02-90 HOUDAHT 00-10-70 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-82 03-	102,222,66	105'140 22	PAR VALUE: 100,000 00 SOLD 05-23-01 ACQUIRED 05-18-01
			UNITED STATES TREAS NTS DTD 08/15/2000 5 75% DUE 08-15-2010 REG
68 7B	80,222,25	76 Sp2,2S	PAR VALUE 25,000 00 SOLD 05-23-01 ACQUIRED 05-16-01
			UNITED STATES TREAS WIS DID 08/15/2000 5 75% due 08-15-2010 REG
-68 485	82 211,781	6\$ 525'981	PAR VALUE. 175,000.00 SOLD 05-23-01 ACQUIRED 04-30-01
			UNITED STATES TREAS WTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG
-11,752	98 SE9'881	22 511,551	PAR VALUE 125,000 00 SOLD 05-23-01 10-02-00 ACQUIRED 04-50-01
-01 555	PZ.222,08	51 698'6L	PAR VALUE 75,000 00 SOLD 05-23-01 ACQUIRED 04-27-01
			USDIOOO 6 75% DUE 05-15-2005 REG
-12 287,61	18.582,62	00 005'92	PAR VALUE: 50,000 00 SOLD 05-23-01 ACQUIRED 11-08-00
<u>eain/loss</u>	COST PASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

**BAA** 07822 ACCOUNT NO 26-00351 86Z T O

KEC

ENWY BOOF # 200287 7 0% DUE 01-2016

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

-99	SIS	00 115,11	pg:191'11	10-10-20 10-52 <b>-</b> 50	CQUIRED ACQUIRED	24	191'11	BUJAV AA9
		•		ī	01-01-502	∋na	%0 8 698 <b>£</b> 95	FNMA P001 #
9 [	601'1	b8 068'9T	00 000'81	10-91-20 10-52-50	SOLD ACQUIRED	0 0	000'52	PAR VALUE.
					3Na %56 9	83Q		LUCENT TECHN 03-15-2029 8
29	<b>99</b> <i>L</i>	69 619'001	15 5>>'(0)	05-08-01 02-5d-01	SOLD SOLD	0 0	000'001	PAR VALUE:
0 1	178	95.128,02	99'221'05	01-11-01 02-54-01	SOLD SOLD	00	000'05	PAR VALUE
				<b>%</b> 92	00880 2 J	ата		UNITED STATE Due 12-51-20
<b>L9</b>	982'5	66.210,608	99'202'018	10-10-20 10-52-50	SOLD ACQUIRED	61	798, 887	PAR VALUE:
				o	02-01-502	BUE	%0 B 90B12S	<b>FNMA POOL #</b> REG
-90	29	98 91L'LZI	87 278,751	10-22-90 10-22-90	ACQUIRED SOLD	00	000'SZT	BAR VALUE
<u>\$\$0</u>	C∀IN\r	COST SISAB	SALES SALES	DATE SOLD. ACQUIRED			PROPERTY	DESCRIBITON OF

### The Northern rust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

MOOD MAOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO. 26-00551 86210

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### 10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDERAL SCHEDULE OF GAINS AND LOSSES**

00 011'1	15¢'202 00	00 515'521	PAR VALUE: 125,000 00 SOLD 05-29-01 ACQUIRED 05-03-01
			PEO CEOKCIV LVC COKL 8 152% DNE 02-12-5011
-92 252'2	66 825'58	59 971,88	PAR VALUE 83,176 65 SOLD 05-25-01 ACQUIRED 03-01-01
			KEC ENWY 600C # 231806 8 0% DNE 05-01-5020
- 72, 834	95 817,25	66 652,25	PAR VALUE 25,249 99 SOLD 05-25-01 ACQUIRED 03-01-01
			KEC EMWA 600L # 558065 7 0% DUE 01-01-2016
-25 05	5,248 31	87 T05,5	PAR VALUE 2,207 78 SOLD 05-25-01 10-10-50 ACQUIRED 05-01-01
			KEC EMWA BOOL # 567512 7 0% DUE 01-01-2016
-51 278	₽0 [ <b>5</b> 6, <b>2</b> 7	68 TÞ0,8T	PAR VALUE, 73,047 89 SOLD 05-25-01 ACQUIRED 12-22-00
<u>CVINTOSS</u>	T202 <u>212A4</u>	PROCEEDS SALES	DATE SOLDV DESCRIPTION OF PROPERTY ACQUIRED

2 0% DNE 01-01-5002 BEO CUANCDONG ASSETS MGMT LTD GTD NT 144A

## The Vorthern rust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

**ZECZ 1 815%** 

FRESENIUS MED CARE CAP TR 11 GTD TR PFD

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

27 SS3	52 8 <i>5</i> 1, <i>5</i> 5	00 178,25	PAR VALUE: 25,000 00 SOLD 05-50-01 ACQUIRED 05-03-01
			BEO CEOKCIV LVC COKL 8 815% DAE 05-12-5021
			1705-31-30 312 % BZ8 % DGO 340 4134033
			ACQUIRED 05-03-01
25.192,1	154,305 00	SS 998'SSI	PAR VALUE 125,000 00 SOLD 05-30-01
			PEO
			GEORGIA PAC CORP 8.125% DUE 05-15-2011
			ACQUIRED 02-16-01
52.848	Sb'95L'9	00 002,7	PAR VALUE 10,000 00 SOLD 05-29-01
			03-15-2029 BEO •
			CUCENT TECHNOLOGIES INC DEB 6 45% DUE
			ACQUIRED 05-03-01
00 285	25 BP7, PS	25,230 25	PAR VALUE 25,000 00 SOLD 05-29-01
			PEO .
			GEORGIA PAC CORP 8 875% DUE 05-15-2031
			ACQUIRED 06-08-00
LL'69 I	25 172	SO 727	PAR VALUE 747 02 SOLD 05-29-01
CVINCTOSS	EISVE	PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED
	TZOO	SALES	DATE SOLD/

### The Northern rust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA ACCOUNT NO 26-80351 07822 01598

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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-15 SSE	82 &11,781	18 727,381	PAR VALUE 175,000 00 SOLD 06-01-01 10-02-04 ACQUIRED 04-30-01
			USD1000 6 75% DUE 05-15-2005 REC
-52	00 277,225	57 242,28S	PAR VALUE 225,000 00 SOLD 05-31-01 ACQUIRED 05-16-01
			INTERNATIONAL PAPER CO NT DTD 06/07/2000 8 0% DUE 07-08-2003 BEO
-bb 86£	29 059'64	61 292'61	PAR VALUE 75,000 00 SOLD 05-51-01 00-51-01
			UNITED STATES TREAS BDS DTD O2/15/2000 6.25% DUE 05-15-2030 BEO
- (9 994	82 ቅደረ'ፘደ፤	11 196'121	PAR VALUE: 125,000 00 SOLD 05-31-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
I\$ 099'I	69.496,75	00 529'62	SHARES: 50,00 SOLD 05-31-01 ACQUIRED 08-25-00
CVINTOZZ	T202 <u>212<b>ag</b></u>	SGEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

CYBLE COMM 6 75% DUE 01-30-2011 BEO CONCAST CABLE COMMUNICATIONS INC CONCAST

### The Northern rust Company 50 South Lasaile Street, Chicago, Illimots 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

04888 ACCOUNT NO 26-00351 96210

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### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES**

ä	21 828	82 651'18	0S 786,18	10-02-50 10-60-90	ZOFD ZOFD	00 000'08	<b>BUJAV RA</b> 9
5	£9 051'I	27 898,101	85 585'20[	10-62-50 10-60-90	VCONIBED 20FD	00 000'001	BULAV RA9
•	215 48	88 171,501	78 p8p,501	10-22-90 10-90-90	VCONIBED 20FD	00 000'001	BULAV AA9
				0	08/37/500	S TREAS NTS DTD 1-15-2010 REG	
- 9	£Z.202,8	27 598,15	05 <b>/89</b> '£I	00-90-11 10-10-90	VCONIKED 20FD	00 000'52	PAR VALUE
- 1	DI 608'8	09 965'ZZ	05 <b>289</b> '£[	10-21-00 00-01-01	VCON1KED ZOFD	00 000'92	BUJAV AA9
				<b>%</b> 0		IOB\II-I2-5002 B Ibek nelmokk in	
(	00 88	27 272,25	SL 299'5Z	01-15-01 0 <b>9-</b> 01-01	VCONIKED 20FD	00 000'52	PAR VALUE
				TSA		E COMMUNICATION	
•	27.07	27 472,45	05 559'52	10 <b>-</b> 21-10 10 <b>-</b> 10-90	VCONIKED 20FD	00 000'57	PAR VALUE:
3	CAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLDV ACQUIRED		утязчояч :	DESCRIPTION OF

034 1102-51-10 CULF CDA RES LTD NT 7 125% DUE

### The Northern rust Company 50 50uth Lasalle street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

BAA 02855 ACCOUNT NO 26-00351 86210

90 T **PAGE** 

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

Se <i>1 aa</i>	27 p12,ps	21 228,45	PAR VALUE 25,000 00 SOLD 06-05-01 ACQUIRED 01-12-01
			CONCEST CABLE COMMUNICATIONS INC CONCEST
05.536,4	57 816,811	152'281 52	PAR VALUE 125,000 00 SOLD 06-05-01 ACQUIRED 12-13-00
			SECS-CLOBLS 7 45% DUE 07-16-2031 BEO
SZ 9 <b>S</b> 9	00 005'92	52 951'12	PAR VALUE 25,000 00 SOLD 06-05-01 ACQUIRED 02-01-01
			DVTPL ARGOSY GAMING CO SR SUB NT DTD 02/08/2001 144A 10 75% DUE 06-01-2009/06-01-2004 BEO
ZS £9Z'S	85 659'18	00 £16'98	PAR VALUE, 100,000 00 SOLD 06-04-01 ACQUIRED 02-22-01
			SPRINT CAP CORP SPRINT CAPITAL CORP 6.875% DUE 11-15-2028 BEO
00 [75,5	00 <b>&gt;</b> 75,101	00 5>9'£01	PAR VALUE 100,000 00 SOLD 06-04-01 ACQUIRED 01-08-01
SS07/NIV5	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

PATPL VERIZON GLOBAL FDG CORP

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675

GOOW GMA SURDNA FOUNDATION - STANDISH, AYER

BAA 07822 ACCOUNT NO 26-00351 01598

10 L PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

-08 581	27,634 80	00 055,72	PAR VALUE 30,000 00 SOLD 06-07-01 ACQUIRED 06-30-00
Z0 bī	86.017,81	00 257,81	PAR VALUE: 15,000 00 SOLD 06-07-01 ACQUIRED 06-30-00
			DUE 05-15-2009/05-15-2004 BEO
92 812'1	£S 610'TST	68 <b>/</b> ££'ZSI	PAR VALUE· 150,000 00 SOLD 06-07-01
			UNITED STATES TREAS NTS DTD 00880 S 125% DUE 12-51-2002 REG
-22 209'52	££ £5£'L9	00 051,52	PAR VALUE 75,000 00 SOLD 06-06-01
			DOE 11-15-2008/11-15-2003 BEO PUT METROMEDIA FIBER NETWORK INC SR NT 10 0%
25 108	£7 920,711	52 [98'Z]]	PAR VALUE 125,000 00 SOLD 06-05-01 ACQUIRED 04-30-01
			REO LOWD WIK CK CO NI 8 8% DNE 01-15-5009
05 S80,&	00.328,27	02 826.TT	PAR VALUE: 75,000 00 SOLD 06-05-01 ACQUIRED 12-07-00
<u>GAIN/LOSS</u>	COST BASIS	<b>SKOCEEDS</b> 2 <b>v</b> ee2	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

17 058,46

82 929'26

2,429 29

5,502,72

**GOOW GNA** SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

07-01-00 THROUGH 06-30-01

00'051'15

96,129 00

**AAA** 07822 ACCOUNT NO. 26-00351 86210

80 L PAGE

21,603 32	£6 989'56I	52 062'912	PAR VALUE 225,000 00 SOLD 06-13-01 ACQUIRED 09-20-00
			CENADIAN NATL RY CO 6 9% DUE 07-15-2028 Beo
5,402 34	25 669,125	95 101'552	PAR VALUE 250,000 00 SOLD 06-12-01 ACQUIRED 02-09-01
			UNITED STATES TREES HTS DTD 00880 5 125% DUE 12-31-2002 REG
85.852,8	<b>19 905'50</b> Z	00 229'212	PAR VALUE 225,000 00 SOLD 06-07-01 ACQUIRED 10-30-00
			ENKON COKE 6 95% DUE 07-15-2028 REG
-96 DSI	26,207,A	00 ST2, p	PAR VALUE 5,000 00 SOLD 06-07-01 ACQUIRED 07-05-00
CVINVEOSS	C0ST <u>BASIS</u>	<u>SVOCEEDS</u> 2 <b>V</b> FE2	DESCRIPTION OF PROPERTY ACQUIRED

9 S2X DNE 02-12-5020 8E0 UNITED STATES TREAS BDS DTD 02/15/2000

PAR VALUE

PAR VALUE

05-09-2004/05-08-2004 BEO CONSECO INC NI 8 75% DUE

00 000'05

100,000 00

ACQUIRED 01-24-01

ACQUIRED 12-07-00

10-11-90 GTOS

10-11-90 GTOS

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO. 26-00351

07822 B6210

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### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES**

05	787	00 515,16	05 290'29	10-52-50 10-51-90	VCONIKED 20FD	00 000'09	BUJAV RA9
				DNE		JEO Sin # Usx74344CZ Ministry Fin Ru	
-05	P88,S	00 758,27	02 Spp,ST	10-81-10 10-91-90	VCONIKED 20FD	00 000,27	BUAV MA9
						V FORMERLY ROYAL V TO 07/06/1998 DEO	
īs	281'9	<b>18 111'909</b>	82 652'019	0 - 60 - 20 0 - 1 d - 0 1	VCONIKED 20FD	00 000'009	BULAV AA9
29	3,140	202,039 06	69 621'502	10-60-20 10-51-90	VCONIKED 20rd	00 000'002	PAR VALUE
				<b>%</b> 521	( S 08800 C	S TREAS NTS DTC	UNITED STATE
90	599	57 260,22	18,727,82	10-12-00 00-14-01	VCGNIKED 20FD	00 000'05	PAR VALUE
325	OT/NIVO	COST	PROCEEDS SALES	DATE SOLDV ACQUIRED		YTABGORG:	DESCRIBITON OF

9 S2% DNE 02-72-5020 BEO UNITED STATES TREAS BDS DTD 02/15/2000

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

AAA 07822 ACCOUNT NO 26-00351 86210

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### 01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

567 01	9 <i>L</i> 9 <i>L</i> 9'SZ	LL L96'5Z	NIKED 00-04-01 20FD 00-12-01	PAR VALUE 25,000 00 ACQ
CO 01-C	64 070467	87 786,25	10-10-90	PAR VALUE 25,000 00 ACQ
28 072	96 929'92	87 730 30		2
28 05£	S6 929'SZ	77 786,8S	NIKED 00-01-01 20FD 00-12-01	PAR VALUE 25,000 00 ACQ
65 IZS	<b>58 6Σ9'0Σ</b>	££ 191'1£	NIKED 02-21-01 20FD 09-12-01	PAR VALUE 30,000 00 ACQ
69 123	98 017 U1	21 171 12		
86 584	<b>58 682,0</b> 5	22 PTT,05	01460 08-30-01 2060 08-12-01	PAR VALUE 20,000 00
			0002/51	UNITED STATES TREAS NTS DTD 08/
40 40041		C3 005/010	NIKED 02-00-01	
72 632, p	88 950,808	52 905'019	10-51-90 Q7OS	PAR VALUE 600,000.00
88 955'51	<b>ፕ</b> ድ ቅድኒ, የዐቅ, ፲	52 [82,454,1	NIKED 05-08-01 20FD 09-12-01	PAR VALUE: 1,400,000 00 ACQ
			%5ZI S 08	UNITED STATES TREAS NTS DTD 008
	<u>.</u>		NIKED 10-12-00	
78 36S,S	185,828 13	00 SZI'88I	10-51-90 0708	PAR VALUE 175,000.00
CVINTO22	7203 212 <u>A</u> 8	SALES PROCEEDS	NDATE SOLDV ACQUIRED	DESCRIPTION OF PROPERTY

DOE 02-12-5003/02-12-5004 BEO ALESTRA S DE R L DE C V SR NT 12 625%

### The Vorthern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 8AA ACCOUNT NO 26-00351 86210

EHFWC COFD C42100 1 0 10-01-5020

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### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	\$1 82\$'96Z'Z	£1 8Z£'96Z'Z	PAR VALUE 2,275,000 00 SOLD 06-15-01 ACQUIRED 06-15-01
			YEARS SETTLES JULY FUMA SINGLE FAMILY MORTGAGE 6 5% 15
00.056 ,	00 956'861	00 918'661	PAR VALUE 200,000 00 SOLD 06-15-01 ACQUIRED 02-22-01
			LIBERTY MEDIA CORP 7.875% DUE 07-15-2009
-85 (11	86 555,85	05 /\$5'\$2	PAR VALUE 25,000 00 SOLD 06-15-01 ACQUIRED 06-30-00
85 <b>2</b> 9 [	20 075,85	08 /ጀኦ,ጀኗ	PAR VALUE: 25,000 00 SOLD 06-15-01 ACQUIRED 06-50-00
32 48	SS S48,6	00 275,6	PAR VALUE 10,000 00 SOLD 06-15-01 ACQUIRED 06-29-00
			DNE 04-01-5001/04-01-5005 KEC Innoav S de k [ 2k exchvace nl 15 815%
-22 614	57.300,88	05,785,58	PAR VALUE: 35,000 00 SOLD 06-15-01 ACQUIRED 07-05-00
-05 695	06 [85,85	05 290'\$2	PAR VALUE 25,000 00 SOLD 06-15-01 ACQUIRED 07-05-00
GAIN/LOSS	COST BASIS	EROCEEDS 2¥fes	DESCRIPTION OF PROPERTY DATE SOLD

### The Northern \_trust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

01-01-5008 BEO

WCLEODUSA INC SR NT 11 375% DUE

DAGE

### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

-57 245,11	27 <i>8</i> 48,85	00 000'51	PAR VALUE 25,000 00 SOLD 06-18-01 ACQUIRED 01-30-01
			OI-01-2009 BEO
-52'6\$2'5	52 598'91	00 529'[[	PAR VALUE, 25,000.00 SOLD 06-18-01 ACQUIRED 04-12-01
			DNE 03-15-2008 BEO PUT FEVEL 3 COMMUNICATIONS INC SR NT 11 0%
-00 000'65	00 000'007	00 000'ts	PAR VALUE 100,000 00 SOLD 06-18-01 00-82-00 ACQUIRED 06-28-00
			07-15-2010 BEO Exodns communications inc 11 625% due
15 252'1	\$1 828'58I	b9 181'48T	PAR VALUE: 175,000 00 SOLD 06-18-01 ACQUIRED 10-13-00
			6 25% DUE 05-15-2030 BEO 6 25% DUE 05-15-2030 BEO
96 221'1	28.180,62	87 92,02	PAR VALUE 50,259 78 SOLD 06-15-01 ACQUIRED 09-27-00
CAINZLOSS	TSOS BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

**GOOW GNA** SURDNA FOUNDATION - STANDISH, AYER

8AA OZBSS ACCOUNT NO 26-00351 01598

112 **3949** 

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

			IO-81-90 VCCOIKED 09-18-01
241 d0	21 561,86	8925,89	PAR VALUE 95,000 00 SOLD 06-20-01
			2 15% DUE 08-15-2010 REG
			UNITED STATES TREAS NTS DTD 08/15/2000
			ACQUIRED 01-11-01
-b1 56£'b	<b>99 781,185</b>	05 241,822	PAR VALUE. 250,000 00 SOLD 06-19-01
			POOK EN1KL 0 32% DNE 01-12-5058 COX COMWNNICATIONS INC WEDINM LEKW N12
			ACQUIRED 01-10-01
09 [85'2	28'162 dO	00 519'09	PAR VALUE 60,000 00 SOLD 06-19-01
			ACQUIRED 12-14-00
69 095'S	<b>27.071,5</b>	25 187,23	PAR VALUE 65,000 00 SOLD 06-19-01
			DNE 15-12-5002/00 BNCKELE CEFFNFOZE COKŁ 8 2% 26 2NB M1
			ACQUIRED 03-06-01
615 23	98 557,27	60 175,67	PAR VALUE 75,000 00 SOLD 06-19-01
			DNE 12-31-2002 REG NAITED STATES TREAS WIS DID 00880 5 125%
-05 218,55	05 /89'25	00 <b>518,</b> 62	PAR VALUE 50,000 00 50LD 06-18-01 ACQUIRED 01-30-01
CVINCTOSS	BVZTZ CO21	SALES	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - STANDISH, AYER AND WOOD

01298 ACCOUNT NO. 26-00351 SS870 AAB

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### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

LO 599	58 596'8ZI	[6 8Z9'6Z]	10-20-90 SOLD 00-20-01 10-50-90 SOLD 06-20-01
LO 191	75 080,17	ps TTT, TT	PAR VALUE 75,000 00 SOLD 06-20-01
			UNITED STATES TREAS NTS DTD 08/15/2000 5,75% DUE 08-15-2010 REG
16 848	18 282'091	27 185,181	PAR VALUE 150,000 00 SOLD 06-20-01
			UNITED STATES TREAS WIS 6 75% IS/S/2005 USD1000 6 75% DUE 05-15-2005 REC
10 915	Ib 161'08	25 T02,08	PAR VALUE 75,000 00 SOLD 06-20-01
			UNITED STATES TREAS NTS 6 75% 15/5/2005 USD1000 6 75% DUE 05-15-2005 REG
11,58	69 550'15	08 911'15	PAR VALUE 30,000 00 SOLD 06-20-01 ACQUIRED 06-18-01
51 15	16 878,25	99 0 <b>26</b> 'SZ	PAR VALUE: 25,000 00 SOLD 06-18-01
CVINCEOSS	BASIS COST	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

8 5% DNE 03-12-5000 BEO BEILIZH ZKA BEOPCEZLING CEOND DEC NI

### The Northern rust Company 50 south LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

**BAA** 07822 ACCOUNT NO 26-00351 86210

2 15% DUE 08-15-2010 REG

UNITED STATES TREAS NTS DTD 08/15/2000

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### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

-98 512'[		-98 272,1		SEP 01 10 YR T-NOTES
-00 502		-00 502		SEP 01 10 YR T-NOTES
-00 66		-00 66		ZEL 01 N 2 1-BOND2
00,828		00 SZB		SEP 01 U.S T-BONDS
00 300		00 308		
-95 559'6	95 959'81	00 000'6	10-12-21 10-02-90	PAR VALUE: 20,000 00 SOLD ACQUIRED
				11-01-5008/11-01-5002 PEO WCFEODNZ¥ INC 2K N1 8 2% DNE
-22 229,72	22,529,47	00 000,78	15-51-00 09-50-01	PAR VALUE: 80,000 00 SOLD ACQUIRED
				II-0I-5008/II-0I-5003 BEO WCFEODN2V INC 28 N1 8 2% DNE
1			10-10-90	ACQUIRED
00.871,1	205,132 00	00 802'902	10-02-90	PAR VALUE: 200,000 00 SOLD
ST 20T	1,155,988 28	00 569'581'1	05-58 <b>-</b> 01	PAR VALUE: 1,100,000 00 ACQUIRED
				FHMA 6.0% DUE 12-15-2005BEO
56'505'5T	24 STS,02S	0 <i>5                                    </i>	00-12-90 10-02-90	PAR VALUE: 265,000 00 SOLD
CVINCEOSS	1200 212A8	SALES PROCEEDS	DATE SOLD/ ACQUIRED	DESCRIBITOR OF PROPERTY

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

**8AA** OLBSS ACCOUNT NO 26-00351 01598

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### 01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

55 012'2	25,185,921	08.162,531	PAR VALUE 150,000 00 SOLD 06-22-01 ACQUIRED 10-13-00
			UNITED STATES TREAS BDS DTD 02/15/2000 6 25% DUE 05-15-2030 BEO
- 91 575'1		-61 542'1	SEP 01 10 YR T-NOTES
-00.12		- 00 - 2	SEP DI U S T-BONDS
02.783,8		05 782,£	SEP 01 U S T-BONDS
T8 9Z	91 891'5	76 261,2	PAR VALUE: 5,000 00 SOLD 06-21-01 ACQUIRED 06-18-01
-98 5	65 006'11	52 268,77	PAR VALUE 75,000 00 SOLD 06-15-01 ACQUIRED 06-15-01
29 402	62 955'181	16 527,181	PAR VALUE: 175,000.00 SOLD 06-21-01 ACQUIRED 06-15-01
18.702	27 869,ST	95'101'21	PAR VALUE: 70,000 00 SOLD 06-21-01 ACQUIRED 06-14-01
21 192	\$1.807,25	58 596'52	PAR VALUE 25,000 00 SOLD 06-21-01 ACQUIRED 06-07-01
12 661	£9	58'596'SZ	PAR VALUE: 25,000.00 SOLD 06-21-01 ACQUIRED 06-05-01
GAIN/LOSS	COST BASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED

DNE 15-21-5005 KEC UNITED STATES TREAS HTS DTD 00880 5 125%

### The Northern rust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-80351

OYBSS 01598

PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

			FEDERAL HOME LN MTG CORP DISC NT DUE
-00.000,5		-00 000'£	SEP 01 U S, T-BONDS
00 099'Z		00 059'2	SEP 01 10 YR T-NOTES
-01.853,10-	60 616'56	66 082,26	PAR VALUE. 93,280 99 SOLD 06-25-01 ACQUIRED 05-01-01
			KEC EMWA POOL # 537806 8 0% DUE 05-01-2030
-05 \$22	bI 92I'8	59 206'L	PAR VALUE: 7,902 64 SOLD 06-25-01 ACQUIRED 05-01-01
			KEC LNWA 600C # 202809 8 0% DNE 01-01-5021
-98 bZO'bI		-98 bZ0'bl	SEP 01 10 YR T-NOTES
00 000'51		14,999.00	SEP 01 U S T-BONDS
58 36	[	27 EIB, OIS	PAR VALUE 225,000 00 SOLD 06-22-01 ACQUIRED 04-30-01
			BEO Lord WTR CR CO NT 5 8% DUE 01-12-2009
11 216'Z	91 266'125	\Z 506'5 <u>\$</u> S	PAR VALUE S25,000 00 SOLD 06-22-01 ACQUIRED 05-22-01
55 958'Z	62,275,855	98 IZI'IZZ	PAR VALUE 325,000 00 SOLD 06-22-01 ACQUIRED 03-06-01
<u>eain/loss</u>	COST SISAS	SALES SALES	DESCRIPTION OF PROPERTY DATE SOLD

# The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 07598

**BIEKS** 

21X ELAGS INC PFD INCOME EQUITY SHS

811 PAGE

### 10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

-00 000	15	-00 000'21			BONDS	2Eb 01 0 2 1-
98 548	<b>५</b> १	98 528'51			-NOTES	SEP OI 10 YR T
- 59 012	71 pa.0ap.pē	00 052,71	10-50-90 10-92-90	VCONIKED 20FD	00 000'05	PAR VALUE:
				11 <b>625%</b> DUE		01-12-5010 BEO Exodnz Commnni
-15 110	£5 16 855'98	05 ረፍቃ'ፍ♭		SOLD ACQUIRED	00 000'521	PAR VALUE.
				300 %5 <b>29</b> '11		01-12-5010 BEO Exodna Communi
00.240	5p 05 \Z5'8\8	05 225'168	00-10-11 10 <b>-9</b> 2-90	SOLD SOLD	00 000'098	PAR VALUE:
				KEC	0102-51-11 3	FNMA 6 625% DU
-05 984	b 00.525.691	05 <b>598'</b> ♭♭[	10-80-20 10-92-90	SOLD SOLD	00 000'0ST	PAR VALUE:
				2-S011 BEO	1-20 3NG %S	CALPINE CORP 8
-22.925	pp ZS 658'99	25,500 00	15-12-00 00-51-21	VCONIKED 20FD	00 000'51	<b>JUJAV AA</b> 9
			3	1NG %51 ZT 7		CONCENTRIC NET
00 0	87 522, 889	27 SS. 668	10-51-90 10-92 <b>-</b> 90	MATURED ACQUIRED	00 000'006	3UJAV 8A9
SSOTZN	COST SISAS	<u>SWOCEEDS</u> S∀FES	DATE SOLD/		КОРЕКТУ	DESCRIPTION OF P

### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - STANDISH, AYER AND WOOD

22870 AAB ACCOUNT NO 26-00351

02-12-5052 KEC

TIME WARNER ENTMY CO L P 8 375% DUE

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### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-50-01

51.668,61	ST TTP,8T1	05 <i>11</i> 2,591	PAR VALUE, 175,000.00 SOLD 06-28-01 ACQUIRED 10-05-00
			10-01-5020 BEO Whhensek Brigh Cos Inc 1 22% due
00 00 <b>L'</b> T	00 0	00 007,1	RICHTS: 68 00 SOLD 06-28-01
			PVTPL GT GROUP TELECOM IN WTS 144AISIN US3623591019
- ድቃ ድቃድ ' ፤ ፤	<b>ጀ</b> Þ <b>B</b> ጀኒኒጀጀ	22,575 00	PAR VALUE: 50,000 00 SOLD 06-27-01 ACQUIRED 04-12-01
			DNE 03-12-5008 REO BRI Feaer 2 Cowwnnications inc Sk nt 11 0%
-80 09£'69	80 098'901	00 005,78	PAR VALUE: 125,000 00 SOLD 06-27-01 ACQUIRED 01-03-01
-80 <b>292'</b> 55	80.238,33	00 005'22	PAR VALUE: 75,000 00 SOLD 06-27-01
			IS-12-2007/12-15-2002 REG 12-12-2007/12-15-2002 REG
16 557,61	00 005'LII	16 255,751	SHARES: 4,700 00 SOLD 06-27-01
<u>CAIN/LOSS</u>	COST EASIS	<u>broceeds</u> Sales	DESCRIPTION OF PROPERTY ACQUIRED

## The Vorthern rust Company 50 South Easaile Street, Chicago, Illinois 60675 (312) 630-6000

MAD WOOD SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO. 26-00351 01598

9 SEX DAE 02-12-5020 BEO

UNITED STATES TREAS BDS DTD 02/15/2000

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

- 0 0	005'£1		-00 DOS'\$T				ZUND8-T	s n to	SEP (
0.0	009151		00 009'EI				23T0N-T 9	1	SEP (
-00	005'Z[		-00 00S'Z{				T-BONDS	S n to	SEP (
98	751'61		98 521'61				S 1-NOTES	1 10 A	SEP (
- 05	152'\$	00 261,281	09 056'181	15-01-00 0 <b>9-</b> 58-01	VCGNIKED 20FD	00 000	0'941	∃UJAV S	<b>4</b> 4
0 0	918	00 911,705	00 286,705	00-15-60 09-58-01	VCONIKED ZOFD	00 000	0'00Z	BUJAV 8	A4
				0	-01- <b>5</b> 010 BE	ONE 02	1 %8,8 TN	еои со	TYAR
0.0	י אַנּי וֹ	00 5\$5'66	00 699'001	02-53-01 02-53-01	VCONIKED 20FD	00.000	3'001 ;	S VALUE	1 <b>4</b> 9
					J S% DNE	EX INC	BEO Sedco Fore	2-5021 20CE <b>v</b> n	
ÞĪ	928'S		91 SZ8'\$				S 1-NOTES	1 10 AL	3Eb 0
0 0	000'9		00 000'\$				T-BONDS	s n to	SEP C
-52	TLL	05 286,851	126,211 25	10-21-10 10-82-90	SOLD SOLD	00 000	1521	3UJAV S	AA9
SS	<u>ČPIN/FC</u>	T202 212A4	SALES PROCEEDS	DATE SOLD/		7	E PROPERTY	IO NOTIA	DEZCKIE

### The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

VCCONNI NO S9-00221

8AA OLBSS 01598

121 ₽AGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

28% RATE CAIN/LOSS	€¥IN\F022	COST	SALES PROCEEDS	DATE SOLDV ACQUIRED	DESCRIPTION OF PROPERTY
	28 STP, 629	<b>ÞΙ 161, Þ</b> δ0, ερΙ	96 692'520'55[	-ssolvaia	TOTAL SHORT TERM CAPITAL G
	28 272,626	<b>₽1,797,</b> 680,8 <b>₽1</b>	96 692'520'55[		JATOT-8U2
	-68 78	72 P81,255	89 960'522	VCGNIKED 02-21-01 20FD 09-29-01	PAR VALUE 225,000 00
				%9Z 5 58800	DUE 05-51-2005 BEO
	LS 916	15 055,510,1	88 962'510'1	VCØNIKED 02-55-01 20FD 09-53-01	
				%521 S 08800	UNITED STATES TREAS NTS DTD DUE 12-31-2002 REG
	8£ 65£	212,575.00	82 257,515	SOLD 10-13-00	00 000,00S :3UJAV AA9
	<b>CAIN/LOSS</b>	202 2021	PROCEEDS SALES	DATE SOLD\ ACQUIRED	DESCRIPTION OF PROPERTY

FONC LERM CAPITAL GAINS AND LOSSES

PARTNERSHIP NT 7 5% DUE 09-15-2007 BEO CRESCENT REAL ESTATE EQUITIES LTD

### The Northern - rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

AAB 04855 ACCOUNT NO 26-00351 86210

05-12-2027/11-26-1997 REG INTERPOOL CAP TR 9 875% DUE

155 90A9

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDERAL SCHEDULE OF GAINS AND LOSSES** 

00 0	25 111	68 98I'I	1,298 51	PAR VALUE 1,298 51 SOLD 07-26-00 ACQUIRED 10-17-96
)				RESOLUTION TR CORP MTG SECS INC STR DUE 94-C2 CL G 8 D% COML PASS THRU CTF DUE 4-25-2025 REG
00'0	-11 555	5£ 96£'bĭ	<b>59 258'£</b> [	PAR VALUE: 13,842 64 SOLD 07-25-00 ACQUIRED 11-12-98
				KEC EMWW 600F # 252248 8 E% DNE 06-01-5015
00 0	-00 805,75	00 212,865	00 591,175	PAR VALUE 300,000,000 SOLD 07-25-00 ACQUIRED 08-10-98
00 0	-05 258'£1	05 <b>525</b> '651	00 285'5\$[	PAR VALUE: 150,000 00 SOLD 07-25-00 ACQUIRED 07-50-98
				08-01-5005 BEO C2 E2CKOM COKP SR NT 7 125% DUE
00.0	-00 000'55	00 000'002	00'000 <b>'9</b> 5[	PAR VALUE: 200,000 00 SOLD 07-18-00 ACQUIRED 01-22-97
				02-15-2027/11-26-1997 REG
00.0	-05 221'54	05 99 <b>2</b> '692	275,233 00	PAR VALUE. 350,000 00 SOLD 07-13-00 76-61-60 ACQUIRED 09-19-97
SB% RATE GEIN/LOSS	SS017N(∀9	C0ST <b>PASIS</b>	SALES	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD 07822 SURDNA FOUNDATION - STANDISH, AYER ACCOUNT NO 26-00351 86210

ENWY BOOF # 252248 8 8% DOE 00-01-5015

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

152

**BVGE** 

SBX RATE SZOJNIAĐ	CAIN/LOSS	C0ST <u>8ASIS</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY  DESCRIPTION OF PROPERTY  DESCRIPTION OF PROPERTY
00.0	-00 05Z'9£	00 000'SZ[	00 051,88	PAR VALUE, 125,000.00 SOLD 07-26-00 ACQUIRED 01-22-97
_				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 Reg
00.0	- <b>59 89</b> ['Z	TS 089'6S	19 II5'1£	PAR VALUE 57,511,67 SOLD 08-01-00 ACQUIRED 11-15-95
				DUE01-25-2024 REG PASSTHRU CTF SER 94-1 144A CLB3 6 5% PUE01-25-2024 REG
00 0	15 <b>9</b> 54	58.522,4	98.802.2	PAR VALUE: 5,308 86 SOLD 08-16-00
				BEAR STEARNS COS INC BEAR STEARNS CO INC 6.15% Due 03-02-2004 Beo
00'0	-5L'86L'9	00 852' <i>5</i> LI	SS 655'19I	PAR VALUE· 175,000 00 SOLD 08-23-00 ACQUIRED 02-24-99
				FORT JAMES CORP 6.875% DUE 09-15-2007
00.0	-81 810, 21	00.812,871	35 755, 25	00-24-80 GLUS 00 000,271 :3U_AV AA9 90-12-30 OS-12-99

### The Northern \_rust Company 50 south LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

**AND WOOD** SURDNA FOUNDATION - STANDISH, AYER

OVBSS ACCOUNT NO 26-00351 01598

02-01-5002 BEO

DIZC DER ZIEB NB DNE 02-01-5000

CROVE HLDGS LLC / GROVE HLDGS CAP INC SR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

52 T

PAGE

00 0	- 05 ' 2 6 6 ' 9 T	OS 162,235	00.258,845	66-01-20 00-20-60	ACQUIRED SOLD	00 000'052	PAR VALUE.
					Appl IN 9	-01-2005 REG -01-2005 REG	
00 0	85 00S	99 110'\$	PI 215'\$	15-11-21 00-01-00	VCGNIKED 20rd	או צובינ	PAR VALUE
						d BEC Seb 94-1 1444 Mic Svcs inc R	
00 0	29 811	85 292'1	1,382 20	96-LI-0I 00-82-80	20LD ACQUIRED	1,582 20	PAR VALUE
				3		R CORP MTG SECS 0% COML PASS T 6	
a o a	-91.891,5	95 502'62	05 72I,7&	11-12-62 08-52-00	ACQUIRED Sold	0 <i>5</i> 721,72	PAR VALUE.
						8 42% CLE DNE 8 2EK 1994-CI C	
00 0	-59 105	0£ 865'01	<b>19</b> 061'01	11-15-68 08-52-00	VCONIKED 20rd	<b>19 061'01</b>	PAR VALUE
28% RATE SAIN/LOSS	CVINCEOSS	COST BASIS		DATE SOLDV		PROPERTY	<u> БЕЗСКІБІІОН ОБ</u>

### The Vorthern rust Company 50 south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

OVBSS ACCOUNT NO 26-00351 86218

02-12-S0S1 KEC

UNITED STATES TREAS BDS 8.125% DUE

3 Z Z PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	ST PIZ	SS 760,8	2,612 30	PAR VALUE, 3,612 30 SOLD 09-29-00 ACQUIRED 12-17-97
)				DUE01-25-2024 REG PASSTHRU CTF SER 94-1 144A CLB3 6 5% PATPL GE CAP MTG SVCS INC REMIC MC
000	77,911	98 275,I	19 968'1	PAR VALUE, 1,395 61 SOLD 09-26-00 ACQUIRED 10-17-96
				RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG
00 0	-55 822'1	<b>56 80</b> 2'52	62 086,55	PAR VALUE: 22,980 39 SOLD 09-25-00
				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 Reg
00 0	-51 595	96'L90'ZI	67 803,11	PAR VALUE 11,603 79 SOLD 09-25-00 ACQUIRED 11-12-98
				KEC ENW¥ boor # 323348 8 5% DNE 06-01-2012
00 0	-19 266'922	98 £25'122	221 52	PAR VALUE 425,000 00 SOLD 09-18-00 ACQUIRED 12-04-98
S8% RATE GAIN/LOSS	CVINCFORE	COST	PROCEEDS SALES	DATE SOLD/

## The Northern rust Company 50 south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER 05-12-5012 8EC

07822

01598

UNITED STATES TREAS BDS 11 25% DUE

ACCOUNT NO, 26-00551

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

1 S R

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S8% RATE Gain/Loss	CVINTOSS	T203 SISAA	<b>EKOCEEDS</b> 2 <b>V</b> FES	DESCRIPTION OF PROPERTY ACQUIRED
00 0	£7 462,7	00.002,205	£7,990,21S	PAR VALUE: 175,000.00 SOLD 10-03-00
				AMERICAN FINL GROUP INC OHIO 7.125% DUE
00 0	-07.762,22	OS 850'292	08 095'222	PAR VALUE: 265,000 00 SOLD 10-04-00 ACQUIRED 04-15-99
				FORT JAMES CORP & 875% DUE 09-15-2007
00.0	-05 60 <b>1</b> ′♭	00.976,02	05 998'9 <del>5</del>	PAR VALUE: 50,000 00 SOLD 10-05-00 ACQUIRED 05-12-99
				FORT JAMES CORP 6 875% DUE 09-15-2007
00.0	-00 585'5	00 976,02	00 265'95	PAR VALUE 50,000 00 SOLD 10-06-00 90-51-20 ACQUIRED 05-12-99
				UNITED STATES TREAS BDS 8.125% DUE
00 0	00.082,705	00 005'526'5	00 086'051'5	PAR VALUE: 3,325,000,00 SOLD 10-13-00 ACQUIRED 10-01-99

# The Northern rust Company 50 south Lassile street, Chicago, Illinois 60675 (312) 630-6000

0 Z 8 S S 01568

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

)	00.0	-95 8Z <b>C</b> 'b	SS 160'115	69 291'995	5 02-50-66 10-50-00	VCGUIRED	00,000,272 BUG %2 8 82252	PAR VALUE: FUMA POOL # REG
	00 0	-15 095	££ \$72,21	99 IIO'bI	11-12-08 10-52-00	VCONIKED 20rd	99 [[0'5]	rea PAR VALUE:
	00 0	- L5 ' 999 ' T	07 292,02	\$1 <b>428,8</b> 2			8 45% CTF DUE (	
					56-51-II	ACQUIRED INC SER	R CORP MTG SECS IN COML PASS TI	RESOLUTION T
	00.0	152 84	95'805'T	ዐ <b>๖ ፤</b> ፍϧʹ፤	96-71-01	SOLD SOLD	05 I£5'I	PAR VALUE
						VT TR INTL	AYER & WOOD IN	MFO STANDISH
	00 0	-80 252'51	89 892'221	09 980'611	10-22-00 10-22-00	VCGNIKED 20FD	68 255'5	STINU

# The Northern \_ rust Company 50 south Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

00 0	-22 228,7	144,633 56	126,800 55	00-12-01	anos	29 992'9	STINU
00 0	-96 225'59	ZB \$\$1'185'T	۲0 012'915'T	15-52-21 10-22-00	VCGUIRED SOLD	85 5/8'59	STINU
SSOTZNIVO	2801/N1A2	ZIZA&	PROCEEDS	ACQUIRED		TTVS	DESCRIBLION OF PROP
SBX RATE	330 17 14 1 4 3	1500	SALES	NOJOS STAG		XIBIC	OLEC DI NOTTOTO DE
128			00 THROUGH 06-30-01	-10-20			
PAGE	FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD						
		23201 11	CCHEDINE DE CVINZ VA	IVaduda			
		AYER	1	(9200-9Z C	22870 ACCOUNT NO		
				· · · · · · <del>·</del>	•		

00.0	-00 /65'1	00 509'662	00 701,865	PAR VALUE 500,000 00 SOLD 11-14-00 ACQUIRED 11-04-99
				DNE II-I2-2004 BEO NNILED LECHNOFOCIES COKЬ NOLES 6 625%
00 0	-81.985,99	51 591'991	00 005'221	PAR VALUE 250,000 00 SOLD 11-08-00 ACQUIRED 05-25-99
				06-01-2004 IS 25% THEREAFTER STEP UP DUE 06-01-2009 BEO 0% TIL NEXTLINK COMMUNICATIONS INC SR DISC NT
00 0	<b>90 40</b> 5	71 120.2	12 855'\$	PAR VALUE 3,558 ZI SOLD 10-51-00 ACQUIRED 12-17-97
				DUE01-25-2024 REG PASSTHRU CTF SER 94-1 144A CLB3 6 5% DUE01-25-2024 REG
00 0	- 22 228, T	95 559'551	££ 008'9£1	08-72-80 SOLD 10-27-90 ACQUIRED 03-27-98
00 0	-96 225'59	SD 881,182,1	<b>40 012,612,</b> 1	UNITS 64,874 48 SOLD 10-27-00 ACQUIRED 12-29-97
28% RATE <u>Gain/Loss</u>	ĕ¥IN\rozz	COST SASIS	SALES	DESCRIPTION OF PROPERTY DATE SOLDS

09-05-2002 BEO TACO INTL CROUP S A GID NT 6 875% DUE

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA		8AA	02888
SURDNA FOUNDATION - STANDISH, AYER	56-00351	ON THUODDA	01598

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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0 (	0 0	J 5¢ ¢¢	צא אנינ	96 695'[		VCONIKED ZOFD	96 655'[	BUJAV RAG
,					NE		R CORP MTG SECS 0% COML PASS 1 0	
0 (	0 0	-50:191'1	98 \$52'12	28 280'02		SOLD SOLD	Z8.580,0S	BULAV AA9
							R SER 1994-C1 ( 8.45% CTF DUE	
0	0 0	-92 225	87 872,81	25 950'51		VCONIKED 20rd	25 950'\$1	PAR VALUE
					1 2		252248 8 2% DNE	<b>FNMA РОО</b> L # REG
0	0 0	00 0	27 823,275	27 823,275		VCGNIKED 20FD	00,000,872	PAR VALUE
						SOOJ KEC	.er-11 3ug %ep.	ЕИВОИ СОВЬ Ф
0	0 0	DS 282'I	05 196'L>S	00 pp2'6p2		VCONIKED 20FD	00 000'052	BUJAV AA9
	TAR %8S GALU/LOS	CVINCECE	TSOS SISAS	SALES	DATE SOLD/		YIAAGOAG	DEZCKIBLION OF

10-04-5001 BEO APP FIN IX LTD GTD SR NT FLTG RATE DUE

#### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

				J		NICATIONS INC		
00 0	20.127	þ6 <b>8</b> ⊊£'Þ	66 650'5	11-20-00 11-20-00	VCONIKED 20FD	66 650'5	AR VALUE:	d
						KEC 'EK 64-1 144 <b>4</b> ILC 2acz inc K		2A9
00 0	-2\$ 255,02	S£ 2>0,09	00 005'65	0d-56-99 11-30-00	SOLD SOLD	00 000'001	AR VALUE	а
28% RATE GAIN/LOSS	<u>ĕ</u> VIN√F022	COST	SALES	DATE SOLDV ACQUIRED		ROPERTY	A HOLIGN OF F	DESCK
120 120			HEDULE OF GAINS AND TIONS TAXABLE DURIN TUC-02-30 HOUGHT (	ACCOUNT TRANSAC				
		ЯЗХА	.H2IGNAT2 - M0ITAGI G00W GNA	SURDNA FOUN	τ	5200-9Z ON .		86210 01822

05 501'15

206,033 00

156,187 50

00 491

-05'222'25

-05 218'92

00 0

00.0

00 0

05 885'45

05.992,695

00 000'051

05-12-2009/05-12-2004 BEO PUT MCLEODUSA 1NC SR NT B 125% DUE

CRESCENT REAL ESTATE QUITIES LTD

PAR VALUE

PAR VALUE

PAR VALUE

00 000'05

220,000 000

00 000'051

PARTNERSHIP 7 00% NT DUE 09-15-2002 BEO

CRESCENT REAL ESTATE EQUITIES LTD PARTNERSHIP NT 7.5% DUE 09-15-2007 BEO

ACQUIRED 12-15-98

VCGUIRED 09-19-97

ACQUIRED 11-04-98

20CD 15-12-00

20FD 15-50-00

### The Northern rust Company 50 South LaSale Street, Chicago, Illinois 60675 (312) 630-6000

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	DOW GNA			
AYER	, HZIOMATZ .	-	FOUNDATION	SURDHA

07822 AAA ACCOUNT NO 26-88351 86Z T 0

10.75% DUE 11-15-2008/11-15-2003 BEO NEXILLINK COMMUNICATIONS INC SR DISC NT

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	125 16	25 555, I	Ib 85b'l	PAR VALUE· 1,458.41 SOLD 01-03-01 ACQUIRED 10-17-96
				RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG
00 0	-00.000,21	00 000'801	00 000'98	PAR VALUE 100,000 00 SOLD 12-27-00 ACQUIRED 02-12-99
				MCLEODUSA INC SR NT 8 125% DUE
00 0	-ST 025,I	65 かししり	78 29 <b>2,</b> 25	PAR VALUE 23,363 87 SOLD 12-26-95 ACQUIRED 11-15-95
				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8.45% CTF DUE 09-25-2025 REG
00.0	-12 722	80 077,8	<i>የ</i> ፐ ኗሪዖ,8	PAR VALUE 8,432 77 SOLD 12-26-00
_				KEC Enw∀ 600f # 252248 8 5% DNE 00-01-5015
00.0	-00 005'12	200,000,005	00 005'21	PAR VALUE: 200,000 00 SOLD 12-21-00 ACQUIRED 02-12-99
28% RATE CAINLOSS	CVIN/F022	COST PASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 86210

AIVCOW INC 1 15% DUE 06-01-2005 REG

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

00 0	-00.228,72	00 855'91	00 529'85	PAR VALUE 75,000 00 SOLD 01-10-01 ACQUIRED 09-01-98
00.0	-51.292,51	27.885,25	00 278,51	PAR VALUE: 25,000 00 SOLD 01-10-01 ACQUIRED 12-19-96
				REG CONSECO FING TR 11 8 7% DUE 11-15-2026
00 0	-00 005'ST	100,000,000	00 005'58	PAR VALUE 100,000 00 SOLD 01-05-01 ACQUIRED 11-04-98
				10 75% DUE 11-15-2008/11-15-2003 BEO
00 0	256 30	10 491'\$	IE 269'S	PAR VALUE. 3,695 31 SOLD 01-05-01 ACQUIRED 12-17-97
				DUE01-25-2024 REG PASSTHRU CTF SER 94-1 144A CLB3 6 5% PUE01-25-2024 REG
000	-00 £12,22	00 000,271	00 <i>12</i> 5'65I	PAR VALUE 175,000.00 SOLD 01-04-01 ACQUIRED 02-12-99
_				02-12-2009/02-12-2004 BEO PUT WCLEODUSA INC SR NT 8 125% DUE
00 0	-00 000'52	152,000 00	00 000'001	125,000 00 SOLD 01-03-01 86-90-11 ACQUIRED 11-04-98
Z8% RATE GAIN/LOSS	SSOT/NIVO	TZOO ZIZAB	SALES	DESCRIPTION OF PROPERTY ACQUIRED

#### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - STANDISH, AYER AND WOOD

01298 ACCOUNT NO 26-00351

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
07-01-00 THROUGH 06-30-01

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00 O	-02.270,07	02 272,ST	00 005'Z	PAR VALUE: 125,000.00 SOLD 01-17-01 ACQUIRED 10-14-99
00 0	-21 291,18	ST. 23T, 63	00 000'Z	PAR VALUE 100,000 00 SOLD 01-17-01 ACQUIRED 10-07-99
				02-01-5002 KEG NA CPN TO 10 125% STEP UP DUE 03-01-2008 03-01-2003 REG
00 0	-00 561'85	101'8ee 00	00 051,88	PAR VALUE 100,000 00 SOLD 01-17-01 ACQUIRED 09-01-98
				CONSECO FING TR II 8 7% DUE 11-15-2026
00 0	56 00b'£	SS 969'DIT	05 260'511	PAR VALUE 125,000.00 SOLD 01-12-01 ACQUIRED 12-10-99
				TIME WARNER INC DEB DTD 11/23/1998 6.625% DUE 05-15-2029 BEO
000	-00 951'9	97 996'Z¢Z	226,810 25	PAR VALUE 225,000 00 SOLD 01-11-01 ACQUIRED 02-19-99
28% RATE	CVINCEOSS	T200 BISA4	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

PYTPL ARG FDG CORP 1999-1 RENTAL CAR ASSET BKD NT 144A CL 6.02% DUE 05-20-2006 BEO

### The Northern rust Company 50 south Lasaile Street, Chicago, Illinous 60675 (312) 630-6000

	GOOW GNA		
AYER	. HZIGNATZ -	FOUNDATION	SURDNA

8AA 07822 ACCOUNT NO. 26-00351 86210

**BEC** 

ENWY BOOF # 252248 8 2% DOE 06-01-2015

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

J 2¢ PAGE

00 0	-05 266'\$5	00 082,751	02 72A,2T	PAR VALUE. 125,000 00 SOLD 01-24-01 ACQUIRED 09-01-98
				REC CONSECO FING TR II 8 7% DUE 11-15-2026
00 0	05 09L'L	22,198,575	27,103,085	PAR VALUE 275,000 00 SOLD 01-23-01 ACQUIRED 05-13-98
				DNE 02-12-5008\02-12-5002 BEO Crobyr ckozzing hrdez fid 28 mi 8 952%
00 0	87 877,2	ZS 669'011	52 925'911	PAR VALUE; 125,000.00 SOLD 01-19-01 ACQUIRED 12-10-99
				9 PSS% DNE 02-12-5059 BEO LIWE MARKER INC DEB D1D 11/53/1998
00 0	-02.876,07	00 916'251	05 756,18	PAR VALUE: 150,000,00 SOLD 01-19-01 ACQUIRED 09-01-98
				REG CONSECO FING TR II 8 7% DUE 11-15-2026
00 0	72 896,8	22 208'5L9	05 OLL'819	PAR VALUE, 675,000 00 SOLD 01-18-01 96-91-SO GENIRED 02-99
28% RATE GAIN/LOSS	<u>e</u> viantoss	TZOO ZIZAG	<b>SWOCEEDS</b> 2 <b>V</b> FE2	DESCRIPTION OF PROPERTY ACQUIRED

### The Northern rust Company 50 south Lasaile street, Chreago, Illimois 60675 (312) 630-6000

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01			
SURDNA FOUNDATION - STANDISH, AYER AND WOOD	56-00351	ACCOUNT NO	86210 86210

	- <del>-</del>			
				15-21-5056/08-01-1997 REG 18-31-2026/08-01-1997 REG
00 0	120 S0	86 388, I	£1.712,1	PAR VALUE: 1,517.13 SOLD 01-26-01 ACQUIRED 10-17-96
				RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G B O% COML PASS THRU CTF DUE 4-25-2025 REG
00.0	05 SOT' <i>L</i>	ST PTT, SSS	22.088,622	PAR VALUE: 225,000 00 SOLD 01-25-01 ACQUIRED 12-08-99
				MELLS FARGO & CO NEW NT 6 625% DUE
00.0	1,955.23-	88.857,25	59 581,55	PAR VALUE 33,785 65 SOLD 01-25-01 ACQUIRED 11-15-95
				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 Reg
00 0	- \$ > 80 >	SZ 619'01	Z8 01Z'01	PAR VALUE; 10,210 82 SOLD 01-25-01
28% RATE <u>Gain/Loss</u>	<u>e</u> vin/loss	C0ST	SALES	DESCRIPTION OF PROPERTY ACQUIRED

158'606 52

54 284'9

00 0

122 ₽AGE 155'155 20

05-12-2009/05-12-2004 BEO PUT WCLEODUSA INC SR NT B 125% DUE

PAR VALUE

152'000 00

ACQUIRED 04-18-97

10-92-10 GTOS

# The Northern rust Company 50 south Lasaile Street Chicago, Illinois 60675 (312) 630-6000

PACE	FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD				
	SURDNA FOUNDATION - STANDISH, AYER GOOM DNA	19200-92	ACCOUNT NO &AA	86210 07822	

	28% RATE GAIN/LOSS	<u>SSDJ/NJA</u> 5	T202 Z12A8	SWOCEEDS SWIES	DATE SOLDV ACQUIRED		<u> ҮТЯЗЧОЯ</u>	DESCRIPTION OF F
)	00 0	-00'000'8	00 000'00T	00 000'26	05-15-66 01-20-01	SOLD	οο οοο'οοτ	<b>BULAV AA</b> 9
							EE 84-1 1444	PVTPL GE CAP P PASSTHRU CTF 9 DUE01-25-2024
	00.0	90'955	<b>ጛ</b> ኒ ያ <b>ጛ</b> ድ'ድ	3,902 20	12-11-21 12-01-01	SOLD SOLD	2,902 20	BULAV AA9
						SOO2 KEC	-10-90 BNG %5	Y T SUI MODAIV
	00.0	-05 ZZ9'Z	52'[86'bs[	125,358 75	05-16-66 05-05-01	VCONIBED 20FD	00 000'521	PAR VALUE
						3na %5\$ 6 :		EXTENDICARE HE
	00 0	-51 265'82	57 <b>2</b> 28,651	00 052'101	02-11-60 05-02-01	SOLD	155,000 00	PAR VALUE:
					Е	UG BTAR ƏT.		APP FIN IX LTI 10-04-2001 BEC
ı	00 0	-88 229'05	88.800,32	00.278,2	66-92-50 10-90-20	VCGNIKED 20FD	00 000'05	PAR VALUE.
	00.0	162,328 81-	18.828,881	00 005'IZ	66-12-50 10-90-20	ZOLD SOLD	200,000 00	PAR VALUE

DNE01-52-505¢ KEC

PASSTHRU CTF SER 94-1 144A CLB3 6 5% PYTPL GE CAP HTG SVCS INC REHIC HC

### The Northern \_ rust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

121 121

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

AAA 07822 ACCOUNT NO 26-00351 86210

**KE**€

MTG PASSTHRU 8,45% CTF DUE 09-25-2025 FDIC REMIC IR SER 1994-CI CL II-C COML

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00'0	-22,722	11.801,11	88.089,01	PAR VALUE, 10,680.88 SOLD 02-26-01 ACQUIRED 11-12-98
)				KEC EMWY 600F # 252248 8 2% DNE 09-01-5015
				VCONIKED 10-26-99
00 0	01 617,8	06,072,725	00 061'952	PAR VALUE 275,000 00 SOLD 02-23-01
				03-15-2018/08
				UNION PLANTERS 6 5% SUB NT DUE
				VCGUIKED 11-15-88
00 0	-05 058'Z	05 009'65	00 051,82	PAR VALUE 50,000 00 SOLD 02-21-01
				DOE 12-15-2009/12-15-2004 BED Wetkomedia Fiber Network inc Sr nt 10 0%
				ACQUIRED 01-24-97
00,0	-02.581,27	177,432,50	102'S20 00	PAR VALUE 175,000 00 SOLD 02-15-01
				ADVANTA CAP TR I CAP SECS SER B 8 99% DUE 12-17-2026/08-01-1997 REC
				ACQUIRED 12-17-97
00 0	95.011	2,582,53	5,495.09	PAR VALUE 3,916 57 SOLD 02-09-01
				ACQUIRED 12-17-97
00 0	52,229 89	02 286,277	60 267,767	PAR VALUE 894,513 34 SOLD 02-09-01
S8X RATE	SSOTATION	T203 <u>212A@</u>	<b>EKOCEEDS</b> <b>2V</b> FE2	DESCRIPTION OF PROPERTY ACQUIRED

#### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDETION - STANDISH, AYER AND WOOD

22870 AAB ACCOUNT NO 26-00351

128 128 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD O7-01-00 THROUCH 06-50-01

SB% RATE	CAIN/LOSS	818 <b>48</b> C021	SALES PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED
00 0	-99 D89'Z	18 850'65	51 892'95	PAR VALUE 46,368 15 SOLD 02-26-01 ACQUIRED 11-15-95
				PUEDI-25-2024 REG PASSTHRU CTF SER 94-1 1448 CLB3 6 5% PUEDI-25-2024 REG
00.0	10 0	60 D	01 0	PAR VALUE 0 10 SOLD 02-17-97 ACQUIRED 12-17-97
				CALIFORNIA FED PFD CAP CORP EXCHANGEABLE
00 0	-08.605,55	00'005'915	02.066,862	SHARES. 12,000 00 SOLD 02-25-97
				ADVANTA CAP TR I CAP SECS SER B 8 99% DUE 12-17-2026/08-01-1997 REG
00 0	-00 095'29	00 S80'ZSI	00 529'68	PAR VALUE: 150,000 00 SOLD 02-26-01 ACQUIRED 01-24-97
				B 2% DNE 07-15-2009 BEO BKILICH 2KK BKOVDCVZLING CKONЬ ЬГС ИТ
00 0	52.25	52 £98'52	05 516'52	PAR VALUE* 25,000 00 SOLD 02-26-01 ACQUIRED 07-01-99

TO 12% DOE 11-12-5008/11-12-5002 BEO MEXICINK COMMONICATIONS INC SK DISC NI

#### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - STANDISH, AYER AND WOOD

22870 AAB ACCOUNT NO 26-00351

12-29-2049/09-11-1997 REG

PVTPL MERITA BK LTD CAP SECS STEP-UP

129 129 FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

S8% RATE	<u>eain/loss</u>	C02T <u>Basis</u>	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED
00 0	-00 000'LZ	00 000'00Z	00 000,271	PAR VALUE 200,000 00 SOLD 02-26-01 ACQUIRED 11-04-98
				RESOLUTION TR CORP HTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG
00.0	121 82	09 S05'I	55 7 <b>5</b> 2,[	PAR VALUE 1,537 55 SOLD 02-27-01 ACQUIRED 10-11-96
				07-15-2004 BEO Merrs Fargo & Co nem nt 6 625% due
00.0	72,222 00	00 250,762	203,255 00	PAR VALUE 300,000 00 SOLD 02-28-01 ACQUIRED 12-08-99
				PVTPL GE CAP MTG SVCS INC REMIC MC PASSTHRU CTF SER 94-1 144A CLB3 6.5% DUE01-25-2024 REG
00 0	11.278	25 T25,2	92 229'Z	PAR VALUE: 2,632 36 SOLD 03-08-01 ACQUIRED 12-17-97
				KEC CONSECO EING IK II 8 7% DNE 11-12-2026
00.0	-02.011,22	00 855'92	0S 189'0b	PAR VALUE: 75,000 00 SOLD 03-13-01 ACQUIRED 09-01-98

## The Northern rust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

01-01-00 THROUGH 06-50-01

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

LEDEKAL SCHEDULE OF GAINS AND LOSSES			
SURDNA FOUNDATION - STANDISH, AYER	15800-92	ON THUODDA	86210
AND WOOD		AAA	86210

ACQUIRED 11-12-99

20CD 02-56-01

4-25-2025 REG

PAR VALUE:

94-C2 CT C 8 0% COME PASS THRU CTF DUE RESOLUTION TR CORP MTG SECS INC SER

00 000'00T

				DUE 12-15-2009/12-15-2004 BEO
00 0	-80 899'I	82 125'02	28'82 50	PAR VALUE 28,853 20 50-26-01 ACQUIRED 11-15-95
				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8.45% CTF DUE 09-25-2025 REG
00.0	-65 168	78.181,01	87.687,6	PAR VALUE: 9,789.78 SOLD 05-26-01 ACQUIRED 11-12-98
				BEC EMWV 600F # 252248 8 2% DNE 08-01-5015
00 0	00 ZZS'[[	05.868.668	05 891'19\$	PAR VALUE 350,000 00 SOLD 03-16-01 ACQUIRED 10-06-99
				ELECTRONIC DATA SYS CORP NT 6.85% DUE 10-15-2004 BEO
00.0	00.215.2	SE0'092 E0	05 70 <i>4,</i> 82S	PAR VALUE: 250,000 00 SOLD 03-14-01 ACQUIRED 09-09-97
SBX RATE SZOJNIAD	©¥IN\F022	TSOS SISAA	SALES	DESCRIPTION OF PROPERTY ACQUIRED

00 000'£8

00'10Z'66

-00 102191

00 0

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#### The Northern \_tust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

GOOM GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 07822 ACCOUNT NO 26-00351 86210

07-01-00 THROUGH 86-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **EEDERAL SCHEDULE OF GAINS AND LOSSES** 

しゃし PAGE

00 0	-86.682,7	86 SOS,2SI	OS SIB'LII	PAR VALUE 125,000 00 SOLD 04-05-01 86-50-50 ACQUIRED 12-05-98
				COLT TELECOM GROUP PLC SR DISC NT STEP UP DUE 12-15-2006 REG RT=0% TIL 12-15-2001 12% THEREAFTER
00 0	-81 961,75	51'561'25	00 000'51	PAR VALUE: 50,000 00 SOLD 03-30-01 ACQUIRED 05-28-98
				DUE OS-01-2006 BEO O% TIL OS-01-2002 DUE OS-01-2006 BEO O% TIL OS-01-2002 PX ESCROW CORP SR SUB DISC NT STEP UP
80.0	-00 012,2	00 065'65	00 021,29	PAR VALUE: 50,000 00 SOLD 03-28-01 ACQUIRED 02-18-00
00.0	-00 T8T,T1	162,147.00	00.092,221	PAR VALUE 150,000 00 SOLD 03-28-01 ACQUIRED 11-19-99
				STAGECOACH HLDGS PLC STAGECOACH HOLDINGS PLC CORP NT 8 625% DUE 11-15-2009 BEO
00 0	12.951	16 978,1	Z9 505'[	PAR VALUE 1,505 62 SOLD 03-27-01 ACQUIRED 10-17-96
S8% RATE	€¥IN\F022	COST EASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED

DOE 15-12-2009/15-12-2004 8EO METROMEDIA FIBER NETWORK INC SR NT 10 0%

#### The Northern rust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

07822 GOOW GNA SURDNA FOUNDATION - STANDISH, AYER ACCOUNT NO 26-00351 86210

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

745

PAGE

00 0	132,61	99.519.1	52.242, I	PAR VALUE: 1,545 25 SOLD 04-26-01 ACQUIRED 10-17-96
				RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G 8 0% COML PASS THRU CTF DUE 4-25-2025 REG
00 0	-21 225'1	78 280,8S	<b>51 [02,8</b> 2	PAR VALUE: 26,501.74 SOLD 04-25-01 ACQUIRED 11-15-95
				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 REG
ឧប <b>០</b>	-26.199	56 60Z'LT	£0 872,51	PAR VALUE, 16,548.03 SOLD 04-25-01 ACQUIRED 11-12-98
				KEC EMWY DOOF # 252248 8 E% DNE 00-01-5015
00 0	00 281'6	229,412 00	00 S65'882	PAR VALUE: 500,000 00 SOLD 04-06-01 PAR VALUE: 500,000 00 SOLD 04-08-01
				DOE 05-01-2017 REG
00 0	-05 009'51	05 009'65	00.000,65	PAR VALUE 50,000 00 SOLD 04-06-01 ACQUIRED 11-12-99
28% RATE CAIN/LOSS	<u>GAIN/LOSS</u>	T203 SISAB	SALES	DESCRIPTION OF PROPERTY ACQUIRED

144V 6 81% DNE 15-21-2046\02-16-1668 BEO PYTPL FULL JGB INVT L L C PFD SEC SER A

### The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER ACCOUNT NO 26-00351

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

Iez PAGE

)	00	0	00.828,5	00 000'05	00 529'25		SOLD SOLD	00 000'09	PAR VALUE
								DOE 08-20-50	
	00	a	-00 Þ78,11	00 622'10\$	00 506'682		SOLD SOLD	00 000'512	PAR VALUE:
						REG	9002-91-90	NC 7 75% DUE (	TIME WARNER I Tuq
	00	0	00.227,81	57 025,230 75	27 286,122		VCONIBED 20rd	00 000'525	PAR VALUE:
							s one	O EZ INC NI 1 E	COX ENTERPRIS
	00	0	90 TIT,SS	>6 £00'L9	00 IZL'68		VCGNIKED 20FD	00 000'001	PAR VALUE:
								E 15-21-5049/0	
•	0 0	0	92 619'5	66 051'91	22 026,SS		VCGUIRED 20rd	00'000'52	PAR VALUE.
		CVINCE S8% B'	CAIN/LOSS	COST SISAS		DATE SOLD		PROPERTY	DESCRIPTION OF

BROMNING LEBBIZ INDZ INC 9 1% DNE

01-12-5002 KEC

07822

86210

8AA

#### The Northern rust Company 50 South Lasalle Street, Chicago, Illinous 60675 (312)

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

07822 ACCOUNT NO 26-00351 86210

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	122 SS	05 615'1	59 ZSS'I	PAR VALUE. 1,552 65 50LD 05-29-01 ACQUIRED 10-17-96
				RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL G B D% COML PASS THRU CTF DUE 4-25-2025 REG
00 0	-99 ድታድ'ድ	06 641'19	þZ 9£8'LS	PAR VALUE 57,836 24 SOLD 05-25-01 ACQUIRED 11-15-95
				FDIC REMIC TR SER 1994-C1 CL II-C COML MTG PASSTHRU 8 45% CTF DUE 09-25-2025 REG
00 0	- 90 90 90 90 -	16 595'0[	72 821,01	PAR VALUE· 10,158 57 SOLD 05-25-01 ACQUIRED 11-12-98
				KEC Enmy boof # 252248 8 2% dne 00-01-5015
00.0	-51.559,8	74,235 00	SZ 685'L9	PAR VALUE. 75,000.00 SOLD 05-16-01 ACQUIRED 02-18-00
_				STAGECOACH HLDGS PLC STAGECOACH HOLDINGS PLC CORP NT 8 625% DUE 11-15-2009 BEO
00 0	לי נאניב	90 189'691	02 518,571	PAR VALUE 175,000 00 SOLD 05-04-01 ACQUIRED 03-10-99
S8% RATE Gain/Loss	CVINCLOSS	SISA4	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

CK MILCO COBE SE NT 8 5% DUE 03-15-2005

BEO

#### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312)

00 0	00.585,8	00 000'001	105,252 00	10-50-90	@70S 00	000'00T	PAR VALUE
							FIRST PALM BE. 10.35% SR DEB
				15-05-66	ACQUIRED		
00.0	-00'511'65	00 000,271	00 588'511	10-50-90	anos oo	000'521	PAR VALUE:
				*	NC SR NT 10 75 104 BEO PUT		DNE 15-12-500 EXODN2 COWWNN
				02-05-00	ACQUIRED		
00 0	05 825'4	146'228 20	00 198'951	10-50-90	anos oo	000'051	PAR VALUE
28% RATE Gain/Loss	CVINCEOSS	T2O3 Şira	SALES	DATE SOLD		YIMBGORE	DESCRIBITON OF
39 <b>¥</b> d 34€	FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01						
			GOOW GNA				8AA 07822
		АУЕК	HSIGNATE - NOITAGN	SURDNA FOU	19200	-9Z ON 1	01298 ACCOUN

00'295'01

05 569'51

00.0

00 0

OT 905

59 094

06 956'6

58 \$\$6'51

PLC CORP NT 8 625% DUE 11-15-2009 BEO STREECOACH HOLDINGS

CK MILCO COKE 28 NT 8 5% DUE 03-15-2005

CK MILCO COBE SE NT 8 5% DUE 03-15-2005

PAR VALUE:

PAR VALUE

BEO

BEO

00 000'01

00'000'51

ACQUIRED 03-02-00

ACQUIRED 03-02-00

ACQUIRED 06-26-97

10-11-90 0705

10-11-90 GTOS

### The Northern rust Company 50 South Lasaile Street, Chicago, Illimois 60675 (312) 630-6000

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

AAA 02855 ACCOUNT NO 26-00351 86210

991 ₽AGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	-02.516,1		1,912.30-	SEP 01 10 YR T-NOTES
	-00 902		-00 90\$	SEP 01 10 YR T-NOTES
	-05 Bbl		-0S 8 <b>5</b> I	SEE 01 N 2 1-BOND2
	05.785,1		1,237 50	SEE 01 R 2 L-BONDS
88 0	-00.251.02	00 000,27	00 218,22	PAR VALUE 75,000 00 SOLD 06-18-01 ACQUIRED 02-24-00
				DNE 03-12-2008 BEO 6N1 FEAET 2 COMMUNICATIONS INC 2K NT 11 0%
00 0	-00 000'Zb	00 000,87	00 000'55	PAR VALUE 75,000 00 SOLD 06-18-01 ACQUIRED 02-24-00
				DNE 03-15-2008 BEO PUT LEVEL 3 COMMUNICATIONS INC SR NT 11 0%
00 0	-Sb bb6'[	00 [95'99	55 965'25	PAR VALUE. 45,000.00 SOLD 06-13-01 ACQUIRED 02-18-00
Z8% RATE	CAIN/LOSS	TSO3	BROCEEDS 2¥FES	DESCRIPTION OF PROPERTY ACQUIRED

12-15-2001 12% THEREAFTER UP DUE 12-15-2006 REG RT=0% TIL COLT TELECOM GROUP PLC SR DISC NT STEP

## The Northern rust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

01888 ACCOUNT NO. 26-8851 01588

**BEC** 

**1**52 07-01-00 THROUGH 06-30-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

				ENW∀ BOOF # 252248 8 E% DAE 06-01-2012
	-02 \20,1S		-02 \20'IS	SEP 01 10 YR T-NOTES
	21,000 00		00 000'12	SEP 01 U S T-BONDS
00.0	-73.187,5	00 051,52	55 810,02	SHARES, 2,000,00 SOLD 06-22-01 ACQUIRED 09-25-01
				CALIFORNIA FED PFD CAP CORP EXCHANGEABLE
	-07.516,1		-01 S16,1	SEP 01 10 YR T-NOTES
	-02,15		-0S I£	SEP 01 U.S T-BONDS
	52 I <b>£</b> 5'5		SZ 1 <b>£</b> 5'S	SEP 01 U S T-BONDS
00 0	<b>99 ድ</b> ድ৮'ፀ፤	<b>5Σ 8</b> 56'Ι5Ι	152,382 00	PAR VALUE 150,000 00 SOLD 06-24-00
				SECD NT 144A 7 125% DUE 02-25-2019 BEO
				PYTPL FLORIDA WINDSTORM AASH SER 1999A SR
00 0	-97.271,8	<i>5L</i> 090'88	00 978,28	PAR VALUE: 100,000 00 SOLD 06-11-00 ACQUIRED 04-11-00
S8% RATE	SS07/N1¥5	COST BASIS	EKOCEEDS 2∀re2	DESCRIPTION OF PROPERTY  ACQUIRED

#### The Northern rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

00 0	05 116'5	05 260'052	00.070,825	46-60-60 10-92-90	SOLD SOLD	00 000'052	PAR VALUE:	
					qu-qats s	3 12% DNE	PVTPL MERITA B PERPETUAL 144A 12-29-2049/09-	
00 0	-18 112'2	£1.792,0à	22.285.72	56-51-11 10-52-90	SOLD ACQUIRED	S&.28S,72	PAR VALUE	
							FDIC REMIC TR MTG PASSTHRU 8 REG	
00.0	-S0 LOS	12,185.20	51 919'21	11-15-68 09-52-01	SOLD SOLD	51.978,51	PAR VALUE.	
28% RATE CAIN/LOSS	GELINCLOSS	T203 Sisaa	EROCEEDS SALES	DATE SOLD/		<u> ХТЯЗЧОЯ</u>	ESCRIBITON OF P	ā
PAGE 148			CHEDULE OF GAINS AND CTIONS TAXABLE DUKIN O THROUGH 06-30-01	ACCOUNT TRANSA				
		АУЕК	.H2IDNAT2 - NOITADN GOOW GNA	SURDNA FOUL	1	NO 59-002E	1298 ACCOUNT 1298 AAA 0782	

-00 000'BI

22,512 50

66 Z55'I

-00.002,4

3,825 00

-00 000'8T

22,512 50

15 015'T

125.45

-00 005'b

3,825 00

00 0

SECD NI 144A 7 125% DUE 02-25-2019 BEO PRINCE NI 144A 7 125% DUE 02-25-2019 BEO PRINCE NI 144A 7 125% DUE 02-25-2019 BEO

1'245 66

RESOLUTION TR CORP MTG SECS INC SER 94-C2 CL C 8,0% COML PASS THRU CTF DUE

SEP 01 U S T-BONDS

SEL OI IO AK L-MOLES

SEL OI 0 2 L-BONDS

SEP 01 10 YR T-NOTES

PAR VALUE

4-52-5052 BEC

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**%0Z** 

20FD 09-59-03

%0Z

%0Z

VC001RED 10-17-96

### The Northern \_tust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

149 BVCE

GOOW GNA SURDNA FOUNDATION - STANDISH, AYER

8AA 018SS ACCOUNT NO. 26-00351 86210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

	-00 051,81		-00.027,81	<b>%0</b> Z	-PONDS	SEP 01 U S, T
	02,786,85		02 788,85	202	г-иотег	SEE OT TO AK .
	07.757,2		07 T&T,2	×0Z	T-NOTES	ZEB OJ JO AK .
	00 005'5		00 005' <del>)</del>	%0Z	- BONDS	2 EP 01 U S T
00 0	66 076,61	10 216'852	268,884,00		SOO,OOO OO SOL	PAR VALUE:
00.0	00.312	00 B6Z'55	00 518'55		SO,000.00 SOL	BULAV AA9
					-12-5056 BEO NC DEB D1D 11\52\1668	6 625% DUE 05
00 0	-90'115'11	90 191'02	00 051,51		50,000.00 SOL	PAR VALUE
				BEO	01-5002 12 SEX THEREAF COM INC SR DISC EXCHAN	ATSTEP UP DUE
00.0	82 218,72	ST T&2,87&	00 252'905		400,000 00 ACQUIRE	BUJAV AA9
28% RATE CAIN/LOSS	CAINZLOSS	TCOS SISAR	SALES PROCEEDS	DATE SOLDV	БКОБЕКІХ	DESCRIPTION OF 1

2 0% DNE 01-01-5002 8E0 CUANGDONG ASSETS MGMT LTD GTD NT 144A

# The Vorthern rust Company 50 South LaSalle Street Chicago, Illimots 60675 (312) 630-6000

PAGE 150	ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  07-01-00 THROUGH 06-30-01			
3349	FEDERAL SCHEDULE OF CAINS AND LOSSES			
	03000 / div 04170 10 1 //d2011			
	GOOM GNA		8AA	07822
	SURDNA FOUNDATION - STANDISH, AYER	19200-92	DM THUODDA	01598

00 0	-65 555.515.1	52 [25.773.15	26 969,191,0S		-220J\NIA	ERM CAPITAL GA	TONO JATOT
00 0	-65 bb5'515'I	25 [52,776,15	50,161,696 93				ALOI-8U2
	-00 052'02		-00 052'02	<b>%0Z</b>		Sanos	3-1 S N 10 d3\$
	00 005'02		00 005'02	<b>x</b> 0z		-NOTES	SEP 01 10 YR T-
00.0	ps 622	05.421,1	50 565'I	00-80-90 10-62-90	ACQUIRED SOLD	<b>50 565'</b> [	BULAV AA9
28% RATE GAIN/LOSS	€¥1M\F022	T200 <u>S.I2A</u> 8	EKOCEEDS 2Vfe2	DATE SOLD/		SOPERTY	DESCRIBLION OF BE

END OF SALES SCHEDULE

#### The Northern - rust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

AND WOOD SURDNA FOUNDATION - STANDISH, AYER

ACCOUNT NO 26-00551

OLBSS 86210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

\*\*\* SUMMARY REPORT \*\*\*

-65 665'515'1	SUB TOTAL LONG TERM GAINS AND LOSSES
00 0	WET LONG TERM CAPITAL GAINS AND LOSSES - 28 %
-69 665'515'1	NET LONG TERM CAPITAL GAINS AND LOSSES - 20 %
	TONG LERM CAINS AND LOSSES
28.572,929	S32201 GM CAPITAL GAINS AND LOSSES
Z8 ZLb'656	NET SHORT TERM CAPITAL GAINS AND LOSSES
	SHORT TERM CAINS AND LOSSES

(LL'1L0'955)

151

**PAGE** 

-65 bbs'StS't

(23520) SMIAH HATIGA) JAPOT

TOTAL LONG TERM CAPITAL GAINS AND LOSSES

### The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

00 0	25,137,202 76	00 0	202,721,22				adlol-aus
	<b>989'827'8</b> 1		90 9£9'8ZZ'8I	<b>%0</b> 2		ENMD III	ИЕО СИО СВОМІН
	ST 332,800,A		27 332,809,2	20%		ENND III	нто смо скомтн
						TOENDS	CAPLIAL GAIN DIY
00 0	12 556'255	67 240,726,51	13,400,000 00				AAIQI-BUZ
00 0	29,920,669	<b>55 890'956'</b> Σ	96 ZOT'Sbb'b	76-10-70 76-25-60	VCGUIRED SOLD	58 751,259	STINU
00 0	-87 211,2	55 175,651	LL LST'981	76-10-20 00-22-60	VCGUIRED 20LD	28,507,25	SIINN
00 0	-65 [51/25	97 082,178,S	75 <b>657,818,</b> 5	15-52-69 06-52-00	SOLD SOLD	85 910'985	SIING
						ENMD III	нто смо скомтн
00 0	72 225.BI	£Þ ÞÞĽ'186'Z	00 000,000,8	15-52-60 01-14-00	SOLD ACQUIRED	ZZ 615'809	STINU
						FUND III	нто смо скомтн
00 0	-15 08v'8l	15 085,810,8	2,000,000	75-52-60 01-12-00	SOLD ACQUIRED	25 910'919	: STINU
						FUND III	нго смо скомтн
					SEES	L GAINS AND LO	LONG_TERM_CAPLTA
28% RATE <u>Gaimaloss</u>	COLINCLOSS	T203 <u>212A</u> 4	SALES PROCEEDS	DATE SOLD		<u> КОРЕҢТҮ</u>	DEZCETELLON OF P
PAGE 1			SCHEDULE OF GAINS AN BCTIONS TAXABLE DURI OO THROUGH 06-30-01	ACCOUNT TRANS			
			АТІОИ - СКАИТНАМ МАУ ССОМРАИУ - СКОМТН FU		S	NO 56-0035	01282 ACCOUNT 52870 AAB

26,557,202 76 12,957,045 79

73,580,156 97

00 0

TOTAL LONG LERM CAPITAL CALUKLOSS-

#### The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

PAGE

OTTERLOO & COMPANY - GROWTH FUND NAV OYAM MAHTWARD - WOITAGNWOT ANGRUZ

ACCOUNT NO 26-00353

07822 01585

FEDERAL SCHEDULE OF GAINS AND LOSSES

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

CAIN/LOSS 28% RATE

CVINCEOSS

SISAB COZI

PROCEEDS SALES

ACQUIRED **DATE SOLD** 

DESCRIPTION OF PROPERTY

BAA

END OF SALES SCHEDULE

#### The Northern Trust Company

50 South LaSalle Street Chicago, Illinois 60675
(312) 630 6000

**SURDNA FOUNDATION - СКРИТНАМ МАYO VAN**OTTERLOO & COMPANY - СКОШТН FUND

ACCOUNT NO. 26-00353

01282 01282

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD O7-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

TONG LERW CAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 23,580,156 97

SUB TOTAL LONG TERM GAINS AND LOSSES 23,580,156 97

TOTAL LONG TERM CAPITAL GAINS AND LOSSES 23,580,156 97

**39**¥4

#### The Northern Trust Company 50 south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

MFS ASSET MANAGEMENT GROUP - SURDNA FOUNDATION, INC -

ACCOUNT NO. 26-69319

07822

89210

MED MES INSIF IN EMERCING EQUILIES FD

BDA9 I

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

16 968'1		16 96£'T	%0Z	10/62/5
				#REORG USA DETERGENTS CASH MERGER EFF
62.885,5		62 992'2	<b>%0Z</b>	EMPLOYEE SOLUTIONS INC DESPERATE
2,963 20		02 \$96'Z	<b>%02</b>	SYMANTEC CORP COM STOCK
43.34		43.34	X0Z	EMPLOYEE SOLUTIONS INC DESPERATE
75 220,852	£1 116,128	00 000'006	86-10-40 00-52-60	UNITS: 27,985 08 SOLD ACQUIRED
				MFO MFS INSTL TR EMERGING EQUITIES FD
1,919 13		\$1 616'T	50% K	CLASS ACTION PROCEEDS FOR COMMON STOCI
16 951		१६ ५६१	20%	SSEC LECHNOLOGIES INC COM
26 122		26.122	%0Z	COMPUTER LEARNING CTRS INC COM
88 19		88 19	20%	COMPUTER LEARNING CTRS INC COM
				LONG TERM CAPITAL GAINS AND LOSSES
CVINCLOSS	COST <u>818A</u> 8	SACEEDS SACEEDS	VOJOS JAGO ACQUIRED	DESCRIBITON OF PROPERTY

### The Northern Trust Company 50 south LaSaile Street, Chicago, Illimots 60675 (312) 630-6000

HES ASSET MANAGEMENT GROUP SURDNA FOUNDATION, INC -

BAA 07822 ACCOUNT NO 26-69319 89210

39A9 S

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	2,938,562,74	20 Ter, 5es, 61	19,251,559 79	TOTAL LONG TERM CAPITAL GAIN/LOSS-
	ZS 210,018,E		£5 270,018,8	MFG MFS INSTL TR EMERGING EQUITIES FD
				APITAL GAIN DIVIDENDS
00 0	-65 215'119	50 767,565,81	95'582'129'51	AATOT-EUZ
00 0	-56 \$10'544	\$6.810,PTT,S1	15,000,000	UNITS 556,844.55 SOLD 06-29-01 ACQUIRED 07-01-98
,				MFO MFS INSTL TR EMERGING EQUITIES FD
	246 89		2¢¢ 86	ANADIGICS INC COM
	61 b05'£		2,504 19	SOX WOZZIWO INC COW
	26.702		£6 LOZ	2-22-99 SEE 2320158 #FINOVA GROUP INC & 1634 STK MGR EFF #REORG SIRROW CAP CORP COMMON STOCK EXCH
00 0	-01 822,52	07 652,553	00 000'009	UNITS: 27,137.04 SOLD 02-16-01 ACQUIRED 07-01-98
				MFO MFS INSTL TR EMERGING EQUITIES FD
	16 TIS, B		16.715.8	NASDAG-100 INDEX CL ACTION SETTLEMENT PROCEEDS 20%
00 0	-89 [82'55]	89.185,725.5	00 000'001'Z	UNITS: 98,268.60 SOLD 01-12-01 ACQUIRED 07-01-98
Z8% RATE Gain/Loss	CVINVLOSS	212 <u>A</u> §	SALES PROCEEDS	DATE SOLD ACQUIRED ACQUIRED

#### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

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MES ASSET MANAGEMENT GROUP - SURDNA FOUNDATION, INC -

07822 ACCOUNT NO. 26-69519 89210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

END OF SALES SCHEDULE

#### The Northern Trust Company

50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - MFS ASSET MANAGEMENT GROUP

ACCOUNT NO. 26-69319

07822

89210

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD ACCOUNT TRANSACTIONS THROUGH 06-30-01

PAGE

\*\*\* SUMMARY REPORT \*\*\*

TONG LEKW CVINS VND FOZZEZ

PT 582,826,5	TOTAL LONG TERM CAPITAL GAINS AND LOSSES
pr 5828,562	SUB TOTAL LONG TERM GAINS AND LOSSES
00 0	NET LONG TERM CAPITAL GAINS AND LOSSES - 28 %
<i>51</i> 295'826'Z	NET LONG TERM CAPITAL GAINS AND LOSSES - 20 %

#### The Northern Trust Company 50 South LaSalle Street, Chicago, illinous 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 VCCONNI NO S9-63258 015¢6

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

PAGE

29.05	þZ:691'b	98 681'5	SHARES: 200 00 SOLD 07-07-00 ACQUIRED 12-23-99
			JEFFERIES GROUP INC NEW COM
58 402, <b>č</b>	ST 0£9'T	86 528'5	SHARES: 100.00 SOLD 07-00 ACQUIRED 09-22-99
56 285,6	02 YB&,&	96 699'6	SHARES 200 00 SOLD 07-07-99 ACQUIRED 09-21-99
2,101, <b>2</b>	£L 727,1	86'528'5	SHARES, 100 00 SOLD 07-00-99
			HENBA TACK # ASSOC INC
£6 958'T	20,610,S	56 556'\$	SHARES: 100.00 SOLD 07-06-00 ACQUIRED 11-03-99
			99 CENTS ONLY STORES COM
99.810,5	PL 757,1	<b>ዕ</b> ታ <b>ደ</b> ታፈ'ታ	SHARES: 100 00 SOLD 07-06-00 ACQUIRED 09-20-99
			HENKA JACK & ASSOC INC
			HORT TERM CAPITAL CAINS AND LOSSES
GAIN/LOSS	COS SISA4	SALES PROCEEDS	DATE SOLDV  ACQUIRED  ACQUIRED

99 CENTS ONLY STORES COM

### The Northern Trust Company 50 South Lasale Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

VCCOUNT NO 26-69529

07822 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

PAGE S

9Z 18 <i>L</i> 'Z	95'661'5	Z8 085'L	00-90-10 00-11-40	VCONIKED 20FD	00.005	SHARES.
					сокь сом	ANNTAYLOR STORES
01.768,2	90 656'I	91 915'5	66-50-11 00-01-20	SOLD SOLD	00 001	SHARES
					ORES COM	99 CENTS ONLY ST
50 051'Z	08.688,5	58 <b>69</b> 5′5	75-76-86 01-70-00	VCONIBED 20rd	aa aat	SHARES
			но	INC NEM C	огось свопь	INVESTMENT TECHN
55 882'S	۶۲ ۵ <b>۲</b> ۶٬۲	85.816,4	04-18-60	VCGUIRED SOLD	00'001	SHARES
					OC INC	HENEL TACK & VZZ
£p 765,8	06 866'11	18,296 33	00-90-10 00-01-20	VCONIBED 20FD	00 005	SHARES
					сокь сом	ANNTAYLOR STORES
£5.999.2	08.735.8	LT Z\$6'9	66-50-TT 00-20-20	VCONIKED SOFD	99 <i>L</i> 9I	SHARES
pp.021,5	72 121,5	18 17>,2	66-20-TT 00-20-00	VCGUIRED SOLD	125.54	SHARES
<u>eain/loss</u>	T203 SIZAB	SGEEDS BROCEEDS	DATE SOLD		PERTY	DESCRIPTION OF PRO

HENKA TACK & ASSOC INC

### The Northern Trust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69529

65210

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8AA

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

BAGE \$

			MO 23012 ONLY 21012
Sp 875	58.870,S	5,358.04	SHARES: 100.00 SOLD 07-12-00 ACQUIRED 12-23-99
			JEFFERIES GROUP INC NEW COM
05.255,5	5,563 64	bI.618.p	SHARES: 100 00 SOLD 07-17-99
			INVESTMENT TECHNOLOGY GROUP INC NEW COM
בו פופיו	87 995,S	56.218,5	SHARES 100 00 SOLD 01-06-00 OC-12-00
			ANNTAYLOR STORES CORP COM
02.501,5	59.535,5	96.333,A	SHARES: 100 00 SOLD 07-11-00 ACQUIRED 12-17-99
P1 755,5	D8 655,S	56'9 <b>99'</b> 5	SHARES: 100 00 SOLD 07-11-00 ACQUIRED 12-16-99
			INVESTMENT TECHNOLOGY GROUP INC NEW COM
97.6Ep.E	ZI 629'I	88 870,2	SHARES: 100.00 SOLD 07-11-00 ACQUIRED 09-23-99
L5 L68'9	65.035,8	92'251'01	SHARES: 200 00 SOLD 07-11-00 ACQUIRED 09-22-99
<u>evin/ross</u>	COST PASIS	SACEEDS PROCEEDS	DESCRIPTION OF PROPERTY DATE SOLD/

**66 CENTS ONLY STORES COM** 

### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO 26-69329 65210

HENKA JACK & ASSOC INC

PAGE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

CAINZLOSS	C0ST BASIS	EBOČEEDS S∀res	DESCRIPTION OF PROPERTY ACQUIRED
91.609.E1	18.247.4	70.355,25	SHARES 500.00 SOLD 07-12-00 ACQUIRED 11-05-99
			INVESTMENT TECHNOLOGY GROUP INC NEW COM
<b>99                                   </b>	<b>59 £95</b> 'Z	82 [58'5	SHARES. 100.00 SOLD 07-15-00 ACQUIRED 12-17-99
			ANNTAYLOR STORES CORP COM
P8.885,1	87 995,5	29 884'\$	SHARES: 100 00 SOLD 07-14-00 ACQUIRED 01-06-00
			INVESTMENT TECHNOLOGY GROUP ING NEW COM
26 911'2	59.265.5	95 089'5	SHARES 100,00 SOLD 07-14-00
			VECEEBIES CROWD INC NEW COM
91 923	)	70 110 7	TEELERIES CROUP INC NEW COM
81 545	98 882'9	50 £[8'9	SHARES: 500.00 SOLD 07-10-00 ACQUIRED 12-25-99
			99 CENTS ONLY STORES COM
82.768	920 22	<i>11.1</i> 52, <i>1</i>	SHARES: 52.34 SOLD 07-14-00
00 200 1			ACQUIRED 11-05-99
62 S88'I	16 015'l	2'166 20	SHARES 67.66 SOLD 07-14-00 ACQUIRED 11-12-99

## The Vorthern Trust Company 50 south LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERMENT PROBLEMENT

02855 ACCOUNT NO 26-69329 65210

**TELLERIES GROUP INC NEM COM** 

07-01-00 THROUGH 06-30-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

5

65 08 <i>L</i>	<b>52 65</b> 1'5	58.959,4	SHARES: 200 00 SOLD 07-26-00 ACQUIRED 12-25-99
			TEELERIES CROUP INC NEW COM
12 565'\$	18 728,1	25 15 <b>2'</b> 5	SHARES 100 00 SOLD 07-20-00 ACQUIRED 10-20-99
			HENKA TACK & ASZOC INC
2'541 18	28.72B,1	05 860'9	SHARES: 100 00 SOLD 07-19-00 ACQUIRED 10-20-99
			HENKA TYCK & ASSOC INC
22.723,5	65 TE6,1	<b>58 56</b> 9'5	SHARES: 100 00 SOLD 07-18-00 ACQUIRED 11-12-99
			99 CENTS ONLY STORES COM
09.052	<b>52 651'</b> 5	<b>98 685'</b> 5	PCG01RED 12-23-99
			JEFFERIES GROUP INC NEW COM
<b>ታዩ ዐ</b> ታ <mark>ኗ</mark> 'ዩ	1,639 12	95.671,2	SHARES: 100.00 SOLD 07-18-00 ACQUIRED 09-25-99
SS07VNT9	T200 ZIZAG	SACCEEDS PROCEEDS	DATE SOLD/ DESCRIPTION OF PROPERTY ACQUIRED

### The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC. - NEUBERGER &

BAA DYBSS ACCOUNT NO. 26-69329 65210

PAGE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

SSOTANTE	SISA4 COST	SASSEEDS PROCEEDS	DATE SOLDV TION OF PROPERTY ACQUIRED	DESCRIE
6£ 200'9	S8 278,SS	IZ 878,82	SHARES. 1,100.00 SOLD 07-27-00 ACQUIRED 12-23-99	<b>;</b>
			KIEZ CKONЬ INC NEM COW	JEFFEI
1,330 57	bZ 651'b	18 685'9	SHARES: 200.00 SOLD 08-04-00 ACQUIRED 12-23-99	;
			NIS ONLY STORES COM	130 66
pt.189,p	86 278,5	Z£.328,8	SHARES. 200 00 SOLD 08-04-00 ACQUIRED 11-12-99	;
			ES RIV LABORATORIES INTL INC COM	CHARLI
25 BO7,1	00 009'ī	ZS 80£'£	SHARES 100 00 SOLD 08-08-00	;
			THENT TECHNOLOGY GROUP INC NEW COM	INAEZ.
<b>5,550 44</b>	P9 £98'Z	80 516'5	SHARES 100 00 SOLD 08-08-00 ACQUIRED 12-17-99	:
			NTS ONLY STORES COM	66 CEI
02 928	85.58	87.522,1	SHARES 32.34 SOLD 08-08-00 ACQUIRED 11-12-99	;

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 BAA ACCOUNT NO. 26-69329 01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

30A9 Y

IO 695'Z	₽ <b>\$.8</b> 87, I	£2.785,4	10-51-80 08-12-00	VCGNIKED 20FD	00.001	SHARES
					220C INC	HENKY JACK & AS
96 585'Z	01 606'T	90 565'5	66-51-11 00-51-80	VCGUIRED SOLD	00.001	SHARES
					STORES COM	66 CEMIS ONLY S
£7.217	Z9 610'Z	25 267,S	66- <b>22-21</b> 00-14-00	VCGUIRED SOLD	00.001	SHARES
					INC NEM COM	JEFFERIES GROUP
80 522,5	DT 606'T	81 I\$b'b	11-12-66 08-10-00	VCGUIRED SOLD	100 00	SHARES.
					TORES COM	99 CENTS ONLY S
P5.251,1	bZ 651'b	85 762'5	15-52-88 08-08-00	ACQUIRED SOLD	200.00	2H <b>v</b> ke2:
					INC NEM COM	JEFFERIES GROUP
£L LbL'T	69.165,1	5,.620,2	66-SI-II 00-80-80	VCONIKED 20rd	99 19	SHARES
<u>CVINCEOSS</u>	COST BASIS		DATE SOLD ACQUIRE		COPERIX	DESCRIPTION OF PR

TELLERIEZ CKOND INC NEM COM

### The Northern Trust Company of South Lassalle Street, Chicago, Illinois 60673

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERMEN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-69329 SS870 AAB

HENKA TYCK & YZZOC INC

BAGE

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD OF 50-01

ንድ ድኦይ'ፘ	οτ 606'τ	95 ZS5'5		VCONIKEI 20FI	700 00	SHARES
					ORES COM	99 CENTS ONLY ST
6£ 950'I	90'95\$'5	SÞ 215'S		VCGNIKET 20F1	00.00S	SHARES.
					INC NEM COL	JEFFERIES GROUP
19.751,1	40.12 <b>5</b> .4	55'585'5		VCGUIREI Soli	200.00	SHARES
				,	INC NEM CON	JEFFERIES GROUP
02,152,5	PE.897.1	95'68Z'9		PCONIKEI 20FI	00 00T	SHARES
					OC INC	HENKY JACK & ASS
299'Z	OI 606'T	£9.195,p		SOLE SOLE	100 00	SHARES 1
					OKES COM	99 CENTS ONLY ST
78.102,1	pp 5/2'p	1\$ <i>LL</i> 5'5		VCONIKED 20FD	200.00	2H <b>P</b> KE21
CVINCEOSS	TSO3 Sizaa	SWOCEEDS 2VFE2	DATE SOLD		PERIX	DESCRIBLION OF PRO

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER #

8AA OLBSS ACCOUNT NO 26-69329 67210

99 CENTS ONLY STORES COM

PAGE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

25 B72	02	871,5	95 951'2	15-58-68 08-54-00	VCGNI BED 20FD	0.0	001	SHARES
						сом	INC NEM	JEFFERIES GROU
OI 501	°2 00	001'1	O['S0b'b	10-52-66 00-52-80	SOLD SOLD	0 0	7 O O	SHARES
							7220C INC	HENKA TACK # 1
99'955	የር <b>ታ</b> ር	661'4	00.327,01	0 0 - 9 0 - 1 0 0 8 - 5 2 - 0 0	SOLD ACQUIRED	00.	200	. SAMARES.
						MO	SES CORP C	ANNTAYLOR STOR
263 53	ʻ T 82	. 495, 2	IS 59L'S	01-09-00 08-52-00	SOLD ACQUIRED	0 0	7 O O	SHARES,
						MO	SES CORP C	ANNTAYLOR STOR
92.085,	'Z 59	57262	£0 558'5	15-11-99 08-22-00	SOLD SOLD	00	0 0 1	SHARES.
				MOS	INC NEM C	чиоя	эниогось с	INVESTMENT TEC
S8 665°	'Z 00	001,1	58 66Z'b	10-58-99 08-52-00	SOLD SOLD	00,	7 O O	SHARES
RVLLOSS	T2 <u>21</u>		SALES	DATE SOLD			ROPERIX	DESCRIBITOR OF L

### The Northern Trust Company of South Lasalle Street, Chicago, Illinois 60673

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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#### SURDNA FOUNDATION, INC - NEUBERGER &

22870 AACCOUNT NO 26-69329

ANNTAYLOR STORES CORP COM

### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

52.1 <i>4</i> 7,5	60 606'T	[9 059'b		PCGUIRED SOLD	100.00	SERAHS
					TORES COM	66 CENTS ONLY S
<b>52 869</b> 'Z	01 606'1	<b>98 188</b> 19		SOLD SOLD	100 00 100 00	99 CENTS ONLY S
					HU5 23601	3 X 1110 311133 00
T8 085	00'551'2	18 257,5	<del></del>	ZOFD ZOFD	00.001	SHARES.
D\$ 97 <i>p</i>	05.625,5	08.35.5		VCONIKED 20FD	100 00	2H <b>y</b> ke2;
DO 105	S/ 861'Z	18 857,5		ZOFD ZOFD	100.00	2H <b>V</b> KE2 <sup>1</sup>
90 125	27 801 C	2 716 8)	00-36-00			JEFFERIES GROUP
85 715,1	87 862,5	90.718,8		SOLD SOLD	00.001	SHARES,
					в сокь сом	ANNTAYLOR STORE
[\$'5\$9'Z	DI 606'I	[b'bb5'b		ACQUIRED	100 00	2H <b>∀</b> KE2·
12 327 2				u 103		
CVINCEOSS	7200 212≜4	SALES	DATE SOLD		ZIBBAO	DESCRIPTION OF PR

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#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA OVBSS ACCOUNT NO 26-69329 01546

01-01-00 LHKONCH 00-20-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **EEDERAL SCHEDULE OF GAINS AND LOSSES** 

			HENBA TACK # AZZOC INC	
82.821,5	22 <i>11</i> 2,2	19 S19'5	SHARES 99 00 SOLD 09-06-00 8-06-00	
			99 CENTS ONLY STORES COM	
T8 959'T	87 665,5	6S 9b0'b	SHARES 100 00 SOLD 09-06-00 00-90-18ED 01-06-00	
			ANNTAYLOR STORES CORP COM	
202 02	20'658	S9 Z95'T	SHARES 34 33 SOLD 09-05-00 ACQUIRED 12-17-99	
69.257,1	07.835,1	61.686,5	SHARES: 65.67 SOLD 09-05-00 ACQUIRED 11-15-99	
			99 CENTS ONLY STORES COM	
88,542,5	01.909,1	86 IS5'5	SHARES: 100 00 SOLD 09-01-00 ACQUIRED 11-15-99	
			99 CENTS ONLY STORES COM	
02,722,5	<b>59 £95'</b> Z	91 120'S	SHARES· 100.00 SOLD 08-30-00 ACQUIRED 12-17-99	
			INVESTMENT TECHNOLOGY GROUP INC NEW COM	
76 BII.I	87.662,5	SL BIS'S	SHARES 100 00 SOLD 08-29-00 ACQUIRED 01-06-00	
<u>GAINZLOSS</u>	COST PASIS	SALES PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED	ì

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

8AA 07822 ACCOUNT NO 26-69329 01546

03-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEWAL SCHEDULE OF GAINS AND LOSSES** 

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PAGE

DESCRIPTION OF PROPERTY SHARES: 100.00	06 Z£6'ī	PS 818,S	b[ 80£'b	00-81-60	SOLD SOLD	100.00	SHARES
SHARES						DRES CORP COM	ЭТ2 ЯОЛХАТИМА
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         GAINALOSS           SHARES:         100.00         SOLD         09-07-00         4,331.10         0.655.91         0.655.91         0.655.91         0.655.91         0.655.91         0.655.91         0.655.75         0.655.75         0.655.75         0.655.75         0.655.75         0.655.75         0.655.75         0.655.75         0.655.75         0.655.76	05.206,5	95.26 <b>9</b> ,1	<b>98 665</b> , p			00 001	2HARES:
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         PROCED						ASSOC INC	HENKA TACK &
DESCRIPTION OF PROPERTY  SHARES: 100.00 SOLD 09-07-00 A,531.10 ACQUIRED 10-27-99  SHARES: 200 00 SOLD 09-11-00 B,656.91 A,235.24 I,861.46  SHARES: 200 00 SOLD 09-13-00 A,236.70 S,375.24 I,861.46  SHARES: 100 00 SOLD 09-13-00 A,236.70 S,375.24 I,861.46	90 586'\$	87.027,2	P2.P21,8			00.002	SHARES:
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         GAIN/LOSS           21 25.35. STARES:         100.00         SOLD 09-07-00         09-07-00         09-07-00         00.00						овег совь сом	TS ROJYATNWA
DESCRIPTION OF PROPERTY         DESCRIPTION OF PROPERTY         PROCEEDS         PROCEEDS         GAIN/LOSS           24 25.5 72 31.10         2.655.21         2.656.91	95.198.1	PS.818,S	۵۲.236.70			00 001	2HARES:
DESCRIPTION OF PROPERTY ACQUIRED PROCEEDS BASIS GAINLOSS  SHARES: 100.00 SOLD 09-07-00 A,331.10 1,695.35 35  ACQUIRED 10-27-99  ACQUIRED 10-27-99  ACQUIRED 10-27-99  ACQUIRED 10-27-99  ACQUIRED 10-27-99  ACQUIRED 10-27-99  ACQUIRED 10-27-99  ACQUIRED 10-11-00 8,656.91  ACGUIRES: SOU DO SOLD 09-11-00 8,656.91						DRES CORP COM	ANNTAYLOR STO
DESCRIPTION OF PROPERTY ACQUIRED PROCEEDS BASIS CAIN/LOSS SHARES: 100.00 SOLD 09-07-00 4,331.10 1,695.35 25 ACQUIRED 10-27-99	55 728,5	99 661'5	16'959'8	• • • • •		200 00	SHARES
DESCRIPTION OF PROPERTY ACQUIRED PROCEEDS BASIS GAINLOSS SHARES: 100.00 SOLD 09-07-00 4,331.10 1,695.35 S.						DEES CORP COM	ANNTAYLOR STO
	51 589'2	S\$ 569'T	۵۲،125,4			00.001	SHARES:
	CAIN/L052					PROPERTY	DESCRIBLION OF

10/2/00 MERGER TO EGL INC 2348587 BI D EFF \*REORG CIRCLE INTL GROUP INC COM STOCK

J 2 PAGE

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

0**28**SS ACCOUNT NO. 26-69329 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

61 595'I	00 S00'Z	61 695°S	SHARES 100.00 SOLD 09-20-00 ACQUIRED 01-05-00
			HETHERICH & PANE INC COM
LL L88'9	96 005'6	27.882,21	SHARES: 400 00 SOLD 69-20-00 ACQUIRED 01-07-00
			ANNTAYLOR STORES CORP COM
69 ደ৮৮'ድ	82 721,2	76.072,8	SHARES: 200,000 SOLD 09-18-00 ACQUIRED 12-17-99
			INVESTMENT TECHNOLOGY GROUP INC NEW COM
75.0SI,8	57 261,2	26.918,81	SHARES. 300 00 SOLD 09-18-00 ACQUIRED 11-01-99
			HENKA TACK & ASSOC INC
68;921,8	00'520'01	68 191'81	SHARES: 500,00 \$0LD 09-18-00 Acquired 01-05-00
			HETHERICH & PAYNE INC COM
88.667	05 219'Z	215'\$	SHARES: 100.00 SOLD 09-18-00 Acquired 05-03-00
©VINCE 022	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

ANNITATIOR STORES CORP COM

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#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69329

07822 65210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

91 615'Z	pZ.098,1	05 678,p	11-02-60 00-58-00	SOLD SOLD	00 001	SHARES
					FREDC INC	HENKA TACK & Y
21 225'2	92.098,1	75,285,2	66-50-11 00-52-60	VCGUIRED SOLD	100.00	HENKY JACK & A
T6 085'Z	25.1 <b>27,</b> 1	9፤ 2፤ኗ'ቱ	66-10-11 00-22-60	SOLD SOLD	100 00	HENRY JACK & A
09.120,5	00 SSZ'Z	09.87S,A	00-92-50 00-22-60	SOLD SOLD	300 00	SHARES
<b>99 S0Z'9</b>	81 529'9	78 628'21	00-52-50 00-22-60	VCONIKED 20FD	00.005	2HARES:
					SES CORP COM	ANNTAYLOR STOR
51 261,01	21.698,6	98 190'21	05-02-00 00-51-00	ACQUIRED SOLD	00.002	SHARES
1,890.25	pS 818,S	<b>1</b> 5 592'5	01-01-00 08-51-00	ZOLD ACQUIRED	700 00	SHARES
CVINTOZZ	COST	SWOCEEDS SYCE	DATE SOLD/ ACQUIRED		PROPERIY	DESCRIBLION OF 1

### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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#### SURDHA FOUNDATION, INC - NEUBERGER & BERNAR PENSION MANAGEMENT

22870 ACCOUNT NO 26-69329

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD OF 30-01

TORES INC COM. RES 500 00 50LD 09-28-00 5,202.82 11,664 90 6,462 08- RIV LABORATORIES INTL INC COM	RAHZ
-80 295'9 06 599'II 28'ZOZ'S 00-8Z-60 QTOS 00 005 S38'	
LOKES INC COM	SHOPKO ST
VCGUIRED 11-16-99 RES 100.00 SOLD 09-28-00 4,342 59 1,859.37 2,483.22	AAHS
CK & ASSOC INC	HENRY JAC
ACQUIRED 11-16-99	
	SHAR
RES 100 00 SOLD 09-27-00 4,408.15 1,776 42 2,651,71 ACQUIRED 11-12-99	AAHS
CK & ASSOC INC	HENKA 1AC
ACQUIRED 11-12-99	
RES: 100.00 SOLD 09-26-00 4,379.40 1,776.42 2,602 98	SHAR
DATE SOLDY SALES GOST GAINLOSS GAINLOSS GAINLOSS	OESCRIPTION

SHOPKO STORES INC COM

PAGE 36

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA ACCOUNT NO 26-69329 07822

65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

-78 Sp&,1	\$5.991,5	807 26	CONIKED 11-52-88 2010 10-06-00	00 00I A	SHARES
-\$9'528'7	9ዩ 8ዩታ'ታ	1,602 73	CONIKED 11-10-09 2010 10-09-00	200 00 A	SHARES
-91 721,8	95 092'5	27 508,1	CGNIKED 11-15-88 20FD 10-08-00	00.00S A	2HARES:
				NC COM	SHOPKO STORES I
-26 655'12	b9 £66'Ib	<b>፤ፈ ድድ</b> ታ'ታ፤	CONIKED 11-10-33 20FD 10-02-00	00 008,I A	2HARE2:
				NC CON	SHOPKO STORES I
-02.332,5	96 599'b	99 660'Z	CORIKED 11-10-33 20FD 10-04-00	00 00S A	SHARES
				NC COW	SHOPKO STORES I
<b>5, 645, 5</b>	00.022,01	75 669'£[	CGNIKED 02-02-00 20FD 10-04-00	00.00P A	: Z3XAHZ
					ЕСГ ІИС СОМ
-20.972,5	96 599'b	Z6 680'Z	CONIKED 11-10-00 20fD 00-50-00	7 0 0 0 0 D	2HARES:
CAIN/LOSS	7803 <u>212A4</u>	SALES PROCEEDS	DATE SOLD	COPERTY	DESCRIBITON OF PR

30A9 71

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA ACCOUNT NO 26-69329 OYBSS

65210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

			15-51-66	ACQUIRED		
-58 L59'Z	9L LLO'5	16'615'1		SOLD	200 00	SHARES.
				ACQUIRED		
-99.052,1	2,030.95	96 60L	10-11-00	SOLD	00.001	SHARES
					MOD DI	SHOPKO STORES IN
			66-12-21	<b>VCONIKED</b>		
-05.222, £	2,030 95	SS 469		SOLD	100 00	SHARES
			15-52-66	ACQUIRED		
-85.265,2	97.281,8	81 067,S		SOLD	00.002	SHARES
			15-50-66	ACQUIRED		
1,308 71-	2,000,25	<b>95 169</b>	<del>-</del>	SOLD	00.00£	<b>SHARES</b> •
					HOO O	SHOPKO STORES IN
			15-50-66	ACQUIRED		
-1£ 505'Z	4,012 50	61 L05'T		ขาดร	00.005	SHARES
			15-11-66	ACQUIRED		
-85 95Z'I	TI OIO,S	62.827		20רם	J 0 0 0 0	SHARES:
					C COM	SHOPKO STORES IN
			12-11-86	<b>V</b> CGNIKED		
-18.805,1	71 010,S	95,108		COLLECT	100 00	2HARES.
<u>evintoss</u>	SISVE	PROCEEDS	GENINED		<b>SERIX</b>	DESCRIPTION OF PRO
	COST	SALES	DATE SOLD			

SURDNA FOUNDATION, INC - NEUBERGER & ВЕКМАН РЕИЗІОМ МАНАСЕМЕНТ

ACCOUNT NO 26-69329

65210

07822

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

8 T PAGE

-22'920'I	SI 797,1	\$8 0 L T	SHARES. 100 00 SOLD 10-12-00
			SHOPKO STORES INC COM
-9 <b>2</b> 166	55 <i>4</i> 57,1	88 S&Y	SHARES. 100.00 SOLD 10-12-00 ACQUIRED 03-03-00
			SHOPKO STORES INC COM
91'551'5	00 STO'9	91'691'11	SHARES, 500.00 SOLD 10-12-00 ACQUIRED 01-05-00
			HELMERICH & PAYNE INC COM
			ACQUIRED 07-12-00
SS 62	127 13	99 951	SHARES. 5.00 SOLD 10-12-00
61 SEO,1	02 68 <b>1</b> '5	66 122'5	SHARES: 100 00 SOLD 10-12-00 ACQUIRED 07-11-00
			I/IB/0J #Wedke brock dkne co cyzh wekeek 922 ele
-27.712,1	69 <i>L</i> ZZ'Z	96 60L	SHARES 100 00 SOLD 10-100 00-20-10 GENIUPSA
-61.199,1	<b>91 121,</b> 5	26.607	SHARES 100.00 SOLD 10-11-00 ACQUIRED 12-29-99
<u>CAIN/LOSS</u>	COST EASIS	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

6 T PAGE

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

**BAA** ACCOUNT NO 26-69329

07822

67210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

69	22	123.38	<b>10 15</b> T	00-11-01 00-20-80	VCGNIKED 20rd	2 00	SHARES
28	896	78 011, p	27.870,2	00-21-00 10-11-00	VCGNIKED 20rd	00,79	SHARES
				773	WERGER 952	DKNC CO CVZH I	1\18\01 #BEOBE Brock
-59	198'5	18 696'S	ZZ £01'Z	00-91-£0 00-91-01	VCGNIKED 20FD	00.002	SHARES.
						е тис сом	<b>ЗНОЬКО ЗТОВЕ</b>
- 59	282'1	6Z 886'T	S1	00-91-00 00-21-01	VCGNIKED 20rd	00.001	SHARES.
						S INC COM	<b>ЗНОРКО STORE</b>
zz	27943	05 217,51	27 228,21	10-12-00 10-13-00	VCONIKED 20rd	200 00	SHARES.
				<b>443</b>	WERGER 053	<b>DKNE CO CV</b> ZH I	I\18\01 #Keoke brock
-15.	112,1	6Z 886'I	<b>58.017</b>	02-19-00 10-15-00	VCONIKED 20rd	100 00	SHARES.
SSO	ē <b>V</b> IŅ∕LĘ	T200 ZI2A4		DATE SOLD\		<u> Үтяэчояч</u>	DESCRIBLION OF

SHOPKO STORES INC COM

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

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**PAGE** 

BAA ACCOUNT NO 26-69329 07822

01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEWAL SCHEDULE OF GAINS AND LOSSES** 

96 840'I	90.286,2	20.520.2	SHARES 97 00 SOLD 10-19-00 ACQUIRED 08-08-00
			J/18/07 #Weoke block drug co cash merger 353 eff
-20 822'I	00 <b>506'</b> T	86 919	SHARES: 100 00 SOLD 10-18-00 ACQUIRED 03-20-00
			SHOPKO STORES INC COM
-62. 226, 2	70 <i>211</i> ,2	87 958,1	SHARES: 300 00 SOLD 10-18-00 ACQUIRED 03-21-00
			SHOPKO STORES INC COM
			an an an anytomov
£9 951'l	<b>bb 622'b</b>	10 98£'S	SHARES: 103 00 SOLD 10-18-00 Acquired 08-08-00
1,083 20	51.989,2	55.570,2	PCG018ED 08-02-00 2HVBE2. 61 00 20FD 10-18-00
			J/18/01 #Beoke brock dung co cysh wekeek 922 ele
-90'912'1	62.868.1	£2 589	SHARES. 100 00 SOLD 10-17-00
			ACQUIRED 03-16-00
-20.302,1	62.886,I	42.284	SHAKES 100.00 SOLD 10-17-00
ĕ <b>VIN</b> \F022	1202 212A <b>4</b>	SGEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC. – NEUBERGER & Векмам реизіом маласенент

S7 SEE

22870 ACCOUNT NO 26-69329

### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

91'025'\$	56.947.2	60.865.09		VCONIKED 20rd	00 001	SHARES
			7/5	.OCK' # 15	INC , COMMON ST	TECHNITROL,
27.715,1	4,000 83	85.815.2		VCGUIRED 20rd	00 001	SHARES
26'521'[	59'260'5	15.815.2		SOLD SOLD	00 001	SHARES
ST 260,1	02.736.2	20 290'5		ZOLD SOLD	00.76	2H <b>V</b> KE2:
			333	EKCEK 922	DENC CO CYZH W	IVISVOI #KEOKC Brock
55.517,5	26 551'5	51 712,8		ZOLD SOLD	00.001	SHARES
			Z/T :	.ock) +:15	THC , COMMON ST	TECHNITROL, PAR
22 86	07.551	95 9SI		VCONIKED 20FD	00.2	SHARES
91,211,2	8,325 00	ÞI 185'0I		SOLD SOLD	200 00	SHARES
<b>EAINZLOSS</b>	COST Elegan	<b>SKOCEED2</b> 2 <b>V</b> FE2	DATE SOLDV		PROPERTY	DESCRIPTION OF

FBL FINE GROUP INC CL A

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#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 07822 VCCOUNT NO 26-69529 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

65 <b>8</b> 25'5	£6 ppL'5	<b>ሪ</b> ቃ <b>ድረ</b> ፒ'ዐፒ	SHARES 200,00 SOLD 12-12-00 ACQUIRED 03-23-00
			TECHNITROL, INC., COMMON STOCK, 4.12 1/2
pZ.308,2	6£ £19'8	£9 £Z5'b[	SHARES: 500 00 SOLD 12-08-00 ACQUIRED 05-25-00
			TECHNITROL, INC., COMMON STOCK, 4.12 1/2
TO 990'T	p2.829,p	S£ 500'9	SHARES: 200 00 SOLD 11-15-00
			FINENS N THINGS INC COM
85 257,5	14,086 32	07.128.71	SHARES: 600 00 SOLD 11-14-00 ACQUIRED 03-15-00
			FINENS N THINGS INC COM
bl 898'II	92'155'11	29,320.00	SHARES, 1,466 00 SOLD 11-03-00 ACQUIRED 02-05-00
€¥IN\ro2\$	TSOS SISAB	SKÖCEEDS SVIES	DESCRIPTION OF PROPERTY ACQUIRED

EEE 8/1/01 WERCER TO NY CMNTY BANCORP INC 2600009 \*KEOKG KICHWOND CNIX FINE CORP STK

PAGE

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69329

07822

61546

TECHNITROL, INC., COMMON STOCK, \$.12 1/2

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

CVINCTOSS	COST SISAN	SGEEDS S⊌LES	DATE SOLDV ACQUIRED	KIK	DESCRIPTION OF PROPE
25 <b>589</b> 46£	59 116,88	123,662 17	· - · -	A,500 00 SCQUIRED	SHARES
				EZ COM	99 CENTS ONLY STOR
672 35	00 020'Z	22.507,5	70-51-00 01-70-01	JOO OO SOFD	SHARES.
			1/5	COMMON STOCK, 4.12	TECHNITROL, INC ,
52 271, 6	05.712.8	\$8 687,P1	01-22-01 02-22-01	200'00 SOLD VCQUIRED	SHARES.
					KEONOZ INC COM
- 26. 708	95 TOS'5	29.269.2	02-52-00 01-52-01		·SBRAHZ
			1/5	сонном зтоск, • 12	ТЕСНИІТВОГ, ІИС , РАВ
66 657,81	<b>67.255.7</b> 1	87 266,05	02-52-00 01-52-01	COO OO SOLD	SHARES:
96	16,931 03	66 828'52	10-52-10	SOO.OO SOLD ACQUIRED	SHARES:

#### The Northern Trust Company

50 South Lasalle Street, Chicago, Illinous 60675 (312) 630-6000

SURDNA FOUNDATION, INC. - NEUBERGER & BERMAN PENSION MANAGEMENT

ACCOUNT NO. 26-69529

OVBSS

67210

PAGE 24 FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

			ACQUIRED 04-25-00
2,393 52	TI 588,2	69 27S, 6	SHARES 200 00 SOLD 02-08-01
			ACQUIRED 03-24-00
2,503 28	19 ST6.2	69 575'6	SHARES: 200 00 SOLD 02-01
			ЯАЧ
			TECHNITROL, INC., COMMON STOCK, ♦ 12 1/2
			ACQUIRED 05-22-00
00.02 <i>1</i> ,5	00.007,&	00 025,8	SHARES: 200 00 SOLD 01-29-01
			ACQUIRED 03-28-00
05 TPO.1	05 291'2	2,210 00	2HARES. 100 00 SOLD 01-29-01
			WERGER 932 IO EFF 1/26/01 #REORG PRIMEX TECHNOLOGIES INC COM CASH
			ACQUIRED 03-24-00
95'612'2	02 986'2	91 502'5	2HARES: 100 00 SOLD 01-25-01
			TECHNITROL, INC., COMMON STOCK, 4.12 1/2
			ACQUIRED 03-24-00
0b 55b'b	12 578,2	18 925'01	SHARES: 200 00 SOLD 01-24-01
SSOT/NIVO	COST BASIS	SALES PROCEEDS	DATE SOLD DATE SOLD ACQUIRED

META GROUP INC COM

SZ PAGE

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

**BAA** ACCOUNT NO 26-69329

OLBSS

67210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

95.920,01	51 189,84	62 SI7,82	SHARES: 2,500.00 SOLD 04-10-01 ACQUIRED 04-23-00
65 B5L	00 009'T	65 855,S	SHARES: 100.00 SOLD 04-10-01 ACQUIRED 06-25-00
			CHARLES RIV LABORATORIES INTL INC COM
52.826.2	90'ESS'ST	85.672,15	SHARES: 800 00 SOLD 04-06-01 ACQUIRED 06-01-00
			HCC INZ HFDEZ INC COM
-22'165'1	92.986,1	59, 985	SHARES: 200 00 SOLD 05-29-01 ACQUIRED 11-09-00
-25.085,5	26.278,2	P 265 26	SHARES: 300.00 SOLD 03-29-01 ACQUIRED 10-13-00
-89 292'\$	00 0SZ' <del>)</del>	52 786	SHARES 500 00 SOLD 05-29-01 ACQUIRED 10-12-00
-61.818,8	5> 002'9	15.786	SHARES: 500.00 SOLD 05-29-01 ACQUIRED 09-29-00
-61.152,4	90.111.2	58 684	SHARES: 400 00 SOLD 03-29-01 ACQUIRED 09-28-00
CVINCLOSS	C05T	SALES	DESCRIPTION OF PROPERTY DATE SOLD

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

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> 844 02888 ACCOUNT NO 26-69329 65210

#### 01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKYT SCHEDNTE OF GAINS AND LOSSES

-99 LL8'Z	85.203,2	27 257	CONIKED 08-00-00 207D 04-18-01	00 00S ; 23AAH2 <b>A</b>
-65'692't	26.525.1	98 292	00-20-80 03-01 20-10 04-18-01	SHARES, 100 00
-91 221'6	00 050'Zĭ	98 906'Z	CONIKED 01-15-00 20FD 04-18-01	SHARES: 800.008
				MUTUAL RISK HGHT LTD COM
75.228	£9 156'I	06.277,5	CONIKED 00-01-00 20FD 04-15-01	00 00I .23%AH2 A
				HCC INZ HFDEZ INC COM
18,828	£9 156'I	pp 067,S	CGNIKED 06-01-00 20CD 04-11-01	DO DOI SARAHS A
				HCC INZ HFDEZ INC COM
96 219'81	98 SIL'Z <del>b</del>	28 685,15	CONIKED 06-01-00 SOCD 04-11-01	SHARES: 2,200.00
				HCC INZ HFDEZ INC COM
10.252	85 518'1	5,348 49	CONIKED 15-11-00 20FD 04-10-01	SHARES: 100 00 A
CVINCOSS	COST BASIS	SACEEDS	DATE SOLD. ACQUIRED	DESCRIPTION OF PROPERTY

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

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**PAGE** 

BAA 0 Z 8 S S ACCOUNT NO 26-69329 01546

POWER INTEGRATIONS INC COM

07-01-00 THROUGH 06-10-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-21 601'1	00 S08'T	78,269	00-01-10 04-100 20FD 04-18-01	100.00	2HVKE2:
-65.855,5	55 066,E	27 198,1	00-80-11 03-00 20-01 04-13-01	200 00 AD	2HARES:
-26.822,5	89 059'5	1,591 75	00-20-11 03-00 20-00 04-10-01	00 00S D <b>A</b>	2H <b>V</b> KE2;
-\$I >80'I	00.08T.1	<b>78.26</b> 9	00-12-01 00-100 2000 00-10-01	00 00! DA	SHARES.
-85 251'1	1,828,44	98.569	ОЛІКЕВ 10-54-00 20ГВ 04-18-01	00 001 DA	SHARES
-10 651'1	88 558'[	18.269	QUIRED 10-23-00	00 001 A	SHARES
				. FID COM	MUTUAL RISK MGMT
-15'185'1	88 bb8'l	ረድ ድፃዩ	d∩IKED 10-52-00 20ΓD 04-18-01	100.001 A	2HARES ·
-27.218,8	91 192'1	£5 £55'[	00-02-01 03400 2000 04-18-01	00 00A DA	SHARES.
-27 760,2	08	70.090.1	00-81-01 GENIND 20-18-01 04-18-01	00 00č DA	SHARES
CAIN/LOSS	COST <u>BASIŞ</u>	SALES	DATE SOLD\ ACQUIRED	) PERIX	DESCRIPTION OF PRO

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#### SURDNA FOUNDATION, INC - NEUBERGER & BERNAR PENSION MANAGEMENT

ACCOUNT NO. 26-69329

07822 65210

ABM INDS INC COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

SSOTZNIVO	COST	PROCEEDS SALES	DATE SOLDV		ERIX	DESCRIPTION OF PROPE
£p, 70p	οο οοι'τ	2> 101'Z	00-21-00 0d-20-01	SOLD SOLD	00.001	SHARES,
242.05	72.297,1	5p.701,5	00-01-00 04-50-01	VCONIBED 20FD	700 00	SHARES:
					INC COM	POWER INTEGRATIONS
£p 785	05 ZIL'I	£6 666'I	00-50-60 10-82-90	VCGNIKED 20rd	00 001	SHARES
					NOO ONI S	POWER INTEGRATIONS
69 905	00 052'2	69.958.5	00-90-60 10-92-50	SOLD SOLD	200.00	SHARES
61 569	291'\$	69 958'\$	00-80-60 10-92-60	VCGNIKED 20FD	00.005	SHARES
					NOO ONI S	POWER INTEGRATIONS
79.982	92 915'1	£7 000,1	00-11-60	VCONIKED 20rd	00 00I	SHARES:
258 52	69 272,1	54 006'T	06-27-00	SOLD ACQUIRED	00 00T	: Sarahs
52.25	85 525'1	1,900.73	10-75-20 00-21-60	VCONIBED ROLD	00 001	SHARES.

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69329

DL8SS 01546

BAA

COCNEX CORP COM

PAGE 29

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

GAINALOSS	7803 <u>212a</u>	<u> SKOCEEDS</u> 2 <b>V</b> E2	DATE SOLDV ACQUIRED		ERIX	DESCRIPTION OF PROP
19 SOL'I	00 SLL'SI	19.089,71	10-12-50 10-92-90	VCGUIRED SOLD	00 005	SHARES
						ACTEL CORP COM
-90 092'Z	28.117.8	61 115.3	10-52-01 10-92-90	SOLD SOLD	00.002	SHARES
-67 SS2.S	72.262.8	87.175,2	00-92-01 10-92-90	SOLD SOLD	200 00	SHARES
-81 821'Z	90.878,8	98 152'5	00-LZ-01 10-92-90	VCGNIKED 20rd	00.005	2HARES:
-21 800'Z	86 552'9	98 252'5	00-02-01 10-92-90	ACQUIRED SOLD	200 00	2HARES:
-19'508	6£ 826'Z	26 £21,5	00-60-11 10-92-90	VCONIBED 2010	DD DDI	SHARES
2,070 00-	21 252,11	21 26p,8	00-01-11 10-92-90	VCONIKED 20rd	00 005	SHARES:
- <i>L</i> 0 156	00 8 <b>/</b> 0'£	\$6 \$21'Z	00-12-11 10-92-90	VCGNIKED 20rd	00 00T	SHARES:
99.291.11	95 SIS'S\$	SS 875,82	00-12-60 10-92-90	VCONIKED 20FD	00 00Z'T	CEC ENTHI INC COM

20 **PA**GE

#### BERMAN PENSION MANAGEMENT

07822 SURDNA FOUNDATION, INC - NEUBERGER & ACCOUNT NO. 26-69329 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

1,293 41	58.206,71	92 661'22	SHARES: 500.00 SOLD 06-28-01 Acquired 06-27-00
			DENTSPLY INTL INC NEW COMMON STOCK
2,511 33	00.002,81	19,811 33	SHARES: 1,200.00 SOLD 06-26-01 Acquired 05-16-01
			DAL-TILE INTL INC COM
££ 959'5	58 018'81	71 <i>1</i> 29,85	SHARES 1,700.00 SOLD 06-28-00 ACQUIRED 12-28-00
			COLONIAL BANGGROUP INC COMMON
72.081,1	95 628'2	\$8 610'S	SHARES. 200 00 SOLD 06-26-00 Acquired 12-05-00
1,393 55	02.858,2	28 610'S	SHARES: 200.00 SOLD 12-04-00
20 229'Z	<b>99                                   </b>	70'028'99	SHARES 400.00 SOLD 06-26-01 ACQUIRED 12-01-00
26.92	00 OSL'T	26.602,2	SHARES: 100 00 SOLD 06-26-01 Acquired 11-30-00
EAIN/LOSS	T2OO RISA4	SALES	DESCRIPTION OF PROPERTY ACQUIRED

ELECTRO SCIENTIFIC INDS INC COM

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

07-01-00 THROUGH 06-50-01

DYBSS ACCOUNT NO. 26-69329 65210

3.1 PAGE 29 087,S

69.855,71

98 999	08.872,11	51.920,21	00-12-01 10-92-90	VCGNIKED 20FD	200.00	SHARES
9£ 110,₹	08 610,78	91 260'05	10-15-00 10-58-01	VCONIKED 20FD	00.000,1	SHARES:
				ŀ	HAMILTON CO COP	HIFB BOCAL & P
<b>59'510'</b> Z	87.388,21	Z> 19£'LI	10-11-50 10-92-90	VCGNIKED SOLD	00.009	SHARES:
					мор чор сом	НЕГІХ ІЕСНИОГО
9T 25S	07 Sp2,p	b8 669'b	00-81-80 10-92-90	VCON1KED ZOLD	200 00	SHARES
					INC COM	нсс има нгрег
p1,7p2,2	82.066,82	20.825.93	00-12-90 10-92-90	<b>Р</b> СФЛ1КЕD <b>2</b> 0ГD	5,500 00	SHARES:
				COM	B IMAGING INC	ELECTRONICS FC
226 20	12'18¢ 0¢	\$2. <b>8</b> 27 <b>.</b> 81	10-11-90 10-92-90	ACQUIRED SOLD	00.002	SHARES
CVIN/F022	T200 212A4	SALES	DATE SOLD/		KOPERIX	DESCRIBLION OF F

28 **284** 32

ACQUIRED 04-18-01

10-92-90 GTOS

FURNITURE BRANDS INTL INC COM

SHARESI IDEXX LABS INC

00.007

25 35A9

#### SURDNA FOUNDATION, INC - NEUBERGER &

0**28**SS ACCOUNT NO 26-69329 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

			00-12-60	ACQUIRED		
05 280'9	10,392 50	00 SLb'91	10-92-90	anos	00 005	2H <b>y</b> ke2.
			00-51-00	<b>VCGUIRED</b>		
65 409'\$	05 222'9	66 588'6	10-92-90	SOLD	300.00	SHARES.
			00-02-60	ACQUIRED		
05 ZOZ'I	05 260'Z	3,295.00	[0-52-90	aorb	00,001	SHARES
					TK PAR #0 01	LEAR CORP COM ST
			00-81-60	VCGUIRED		
14 010/7	1510/5/11	55:4:467		GIOS	00 002	SHARES
50 928'T	12.862,11	22.979,81	10-92-90	u ius	00 002	SIGAUS
			00-51-60	<b>V</b> CG01KED		
98 8ZI'I	68.07S.PI	85 66£'51	10-92-90	ขาดร	800 00	2HVKEZ.
28 BC ( 1	99 020 91	89 001 31	10 /6 /6	4 100	00 000	2231110
						KENNE INC COM
			10-05-00	ACQUIRED		
29 906'Z	21.748,4	<b>ቅ</b> ሬ 828,7	[0-92-90	SOLD	200 00	SHARES
			00-62-60	<b>VCGUIRED</b>		
	47 67147	16 219'Z	10-92-90	0705	100 00	SHARES
PT 768	TI OST, 1	16 217 6	10-72-70	u 103	00 VU L	3384113
			00-62-60	ACQUIRED		
2,728 45	02 851.6	59 17P,01	10-92-90	anos	00 005	SHARES
37 862 1	00 1/2 /	27 (27 01	,. ,.			
			00-82-60	ACQUIRED		
25 507,2	21.991,8	59.172,01	10-92-90	anos	00 005	SHARES.
		<u> </u>				
CAINLUSS	SISA8	<b>EROCEEDS</b>	ACQUIRED		XIV3330	<u>DESCRIPTION OF PRO</u>
	C021	SALES	NOJOS STAG			

MICHAELS STORES INC COM

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#### BERMAN PENSION MANAGEMENT ACCOUNT NO. 26-69329 SURDNA FOUNDATION, INC - NEUBERGER &

02855

65210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

-55 LSI	05 <b>18</b> 5'T	1,329 95		VCONIKED 20FD	00'00T	SHARES.
-25.291	89.272, I	96.628,1		VCONIKED 20rd	100 00	SHARES
					ATTONS INC COM	ьомек інтеск
11 9/5,02	19,787,50	19 591'65		VCØNIKED 20FD	00.001,1	SHARES.
				сом	LA BANCORP INC	NEM LOKK CHAI
92.120,51	08.706,21	90 656'LZ		PCGUIRED Sold	00 002'1	SHARES
					INC COM	NANOMETRICS
61 920'1	14,250 50	69 952'SI		VCONIKED ROFD	00 009	SHARES
					INC COM	MUELLER INDS
09 099'\$	21 787,7	29.782,11		VCONIKED SOLD	300 00	SHARES
98'925'Z	88 550'5	<i>5L</i> 165'L		VCGNIKED Zord	200.00	SHARES
SSOT/NIVO	C0ST EA21.5	SALES SALES	DATE SOLDV ACQUIRED		PROPERTY	DESCRIBLION OF

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANACEMENT

01249 ACCOUNT NO 26-69329

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### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-01 THROUGH 06-30-01

1'940 62	18'266 60	19,985 33	11KED 02-09-01 20FD 09-59-01	60.009 ACQI	SHARES
				ноэ э	2CHEIN HENKA IN
			NIKED 02-50-01	PCO	
10 SO6'I	25.172,81	22 978,0S	10-92-90 G70S	00 007	SHARES
				HINC COM	KENAL CARE GROUI
			11KED 10-19-00	PCG	
56'502	00.251,1	1,529 95	20FD 06-26-01	100 00	SHARES
			NIKED 10-05-00	PCO	
18.83-	PT.873,5	16 659'Z	20FD 08-26-01	00.00S	SHARES
			11KED 08-58-00	אכסו	
120 88-	<b>56 095'</b> [	1,529 96	10-92-90 Q70S	100 00	SHARES:
			11KED 08-55-00	אראו	
-05'59	55 56£'[	1,329 95	20-92-90 Q70S	00.001	SHARES
			NIKED 09-21-00	אכתו	
-18 928	87 386,S	16'659'Z	20-92-90 Q70S	00,005	SHARES
			11KED 00-18-00	אכתו	
104 12-	1,434.09	1,529,96	10-92-90 Q7OS	00,001	SHARES
<b>EVINCIOSS</b>	TCOC ZIZAB	SALES PROCEEDS	DATE SOLD A ACQUIRED	प्राप्तवस्य	DESCRIPTION OF PRO

**NCBH HEDGS INC COM** 

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

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**BAA** 07822 ACCOUNT NO 26-69329 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

CVINTORS	SISA4		DATE SOLD		PERTY	DESCRIPTION OF PROF
15.671,21	52.828,15	9L 550'L5		SOLD ACQUIRED	00.002,1	SHARES
				сом	ONI SNOITA	VALASSIS COMMUNIC
£8.582,7	00.882,75	28 898'52		VCGNIKED 20FD	00.000,t	SHARES
						ABM INDS INC COM
204 88	2,155 00	88.622,8		VCGNIKED 20FD	00 00T	SHARES
						ABM INDS INC COM
88.795	00 551'\$	88 256,8		VCGUIRED SOLD	100.00	SHARES
						ACTEL CORP COM
-52.84	2,281 25	26 S22'Z		VCONIKED 20rd	00,001	SHARES
-22.072	52 905 <b>'</b> Z	26.885,2		VCONIKED 20FD	00.001	SHARES,
-52.275	SZ. 609, S	2,235.93		ZOFD ZOFD	00 001	SHARES:

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#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 BAA ACCOUNT NO 26-69329 65210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

1,138.73	80.852,4	18 b95'S	SHARES 400.00 SOLD 06-27-01 Acquired 12-28-00	
			COLONIAL BANCGROUP INC COMMON	)
5p.86T	65'858'1	16 9b9'Z	SHARES: 100 00 SOLD 06-27-01	
\$1.157	87.919,1	16.868,5	SHARES: 100 00 SOLD 06-27-01	
			соемех сом	)
1,458 33	25.990,5	58.425,4	SHARES: 100 00 SOLD 09-28-00	
2) 120 42	92 616'5	69 650'6	SHARES: 200 00 SOLD 06-27-01 ACQUIRED 09-27-00	
			SEC ENTMT INC COM	)
98 651	5,800.00	38.626, Z	SHARES, 200 00 SOLD 06-27-01 ACQUIRED 06-06-01	
			FC ENTERPRISES INC COM	,
-12 21-	£2 644,5	26'5\$2'2	SHARES: 100.00 SOLD 06-27-01 ACQUIRED 12-13-00	
CVINCLOSS	COST EASIS	SALES	DATE SOLD/ SCRIPTION OF PROPERTY ACQUIRED	DE

DAL-TILE INTL INC COM

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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67210

BAA

VCCCOUNT NO 26-69329

-82,816	75 pop, S	67.28p, <u>[</u>		VCONIKED OD ZOFD	001	SHARES
						ECT INC COM
21 772	61.152,5	16.808,5		VCONIKED 00 ZOFD	001	SHARES
81'865'I	pp TET, 6	Z9 5¢Z'II		VCGNIKED 00 ZOFD	005	SHARES
				INC COM	FOR IMAGING	ELECTRONICS
<b>78.</b> 01S	10.865,8	88 905'\$		VCONIKED OO	001	SHAHS
				тис сом	NTIFIC INDS	ELECTRO SCIE
-92.798	OT'656'5	\$8.II.8,		VCONIKED OO SOFD	200	2H <b>V</b> KE2:
					ис сом	DEE22 BARN I
89.255,1	71 186,2	58.956,2		VCONIKED OO SOFD	0 O T	2H <b>V</b> KE2:
				ниои гтоск	r inc nem co	DENTSPLY INT
28 <i>LL</i> 6	00.251,4	28.201,2		VCONIKED OB ZOFD	008	SHARES
<u>evintoss</u>	C057	SALES PROCEEDS	DATE SOLD/ ACQUIRED		PROPERTY	DESCRIBITOR OF

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#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69329

BAA DLBSS 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

58 [90'[	90.699,1	06 O£L'Z	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 10-50-00	
78 180,1	90 <b>6</b> 99'I	16 0£7,S	SHARES: 100.00 SOLD 06-27-01 ACQUIRED 10-02-00	
			RNITURE BRANDS INTL INC COM	LΉ
265 52	73 TOZ.S	06 668'2	SHARES, 100.00 SOLD 06-27-01 Acquired 04-18-01	
			EXX LABS INC	σı
87 028	11,475 80	12,314.58	SHARES: 500 00 SOLD 06-27-01 ACQUIRED 10-13-00	
			LB ROGAL & HAMILTON CO COM	ĮΗ
LL 955	21 999'Z	06'266'2	SHARES: 100.00 SOLD 06-27-01 Acquired 04-11-01	
			ГІХ ТЕСНИОГОСУ СОВР СОМ	3H
8Z 568	05.285.8	89.672,6	SHARES: 400 00 SOLD 06-27-01 ACQUIRED 08-18-00	
			C INZ HEDEZ INC COM	ЭН
-89'£14'L	00 009'61	52.388,11	SHARES: 800 00 SOLD 12-29-00	
<u>eain/loss</u>	COST BASIS	<b>SKOCEEDS</b> 24 CES	RIPTION OF PROPERTY ACQUIRED	DEZC

PAGE

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 07822 ACCOUNT NO 26-69329 67210

NEM YORK CMNTY BANCORP INC COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

18.815.	£ 56.916,£	9L 061'L	15-53-00 09-51-01	VCGNIKED 20FD	00.005	SHARES.
					MO	NAMOMETRICS INC C
218 82	90'958'Z	68 591'\$	10-61-20 10-61-20	SOLD SOLD	00 00 T	SHARES:
				gay to bold	сом	MNEFFEE INDS INC
58.815,	71 50.672,S	78 267, E	00-10-21 10-22-90	VCGNIKED 20rd	00.001	2HVKE2:
					ИС СОМ	MICHAELS STORES I
LL 965'	Z 00.721, p	LL \$59'9	00-12-60 10- <i>1</i> 2-90	SOLD SOLD	200.00	2HARES.
					10.04 AA9	LEAR CORP COM STK
<b>1</b> 5 195	95.802,8	78.636,Z	00-61-60 10-22-90	VCGNIKED 20rd	00.002	2HPKE2:
528.00	£6 959'I	£6 586'I	00-81-60 10- <i>1</i> 2-90	VCGUIRED SOLD	00 00T	SHARES,
						KEANE INC COM
82 001°	1,630.63	Z,730 91	10-75-60 00-80-01	SOLD SOLD	100 00	SHARES
INVLOSS	COST GAI	SALES EROCEEDS	DATE SOLD/		ERTY	DESCRIPTION OF PROP

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#### LEDEKAL SCHEDULE OF GAINS AND LOSSES

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

BERMAN PENSION MANAGEMENT

SURDNA FOUNDATION, INC - NEUBERGER &

95·095'ī	02,762,2	91'120'L	SOLD 06-27-01	200 00	SHVKES
			сом	SATIONS INC	ANTERESTE COMMONIC
96 518'S	27.840,2	O7 828,8	VCGU1RED 10-25-00 SOLD 06-27-01	200.00	SHARES
				нс	NCBH HEDEZ INC CO
85 165	02.720,8	88 555' <b>£</b>	2071 V 02-07-01	00 001	SHARES
				COM	SCHEIN HENKA INC
02'919	02.775,2	08.268,2	VCGUIRED 03-20-01	00.00S	SHARES.
				INC COM	RENAL CARE GROUP
06'6 <b>20'</b> ĭ	00.526,1	2,991 90	EOUTRED 10-17-00	00.005	SHARES.
			•	NO INC COM	POWER INTEGRATION
57 018, <b>č</b>	2,525 00	27 288,7	VCGUIRED 09-07-00 20LD 06-27-01	200 00	2H <b>V</b> KE2·
CVINKTO22	COST EASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	SERIX	DESCRIPTION OF PROF

ECT INC COM

BAA

ACCOUNT NO 26-69329

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BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

8AA DVBSS ACCOUNT NO 26-69329 65210

ELL 15/18/00

#KEOKC VDVC TVB2 INC CVZH WEKCEK 918 2

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00.0	6L 812,1	98.027,£	<b>59 996'</b> 5	SHARES: 200 00 SOLD 07-05-00 TC-PS-TO DATES
				#REORG ADAC LABS INC CASH MERGER 218.5
				TONG TERM CAPITAL GAINS AND LOSSES
28% RATE 2201\nia2	SS01/NIV5	7203 212 <u>8</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
	82 850,166	91 558'IS9'I	50 568'ZII'Z	TOTAL SHORT TERM CAPITAL GAIN/LOSS-
	85.850,132	91.558,128,1	P0 P68,511,5	SUB-TOTAL
	-11 155,2	00 05Z'Zī	22.22.23	PCGUIKED 15-59-00 PCGUIKED 15-59-00 PCGUIKED 15-59-00
				ECT INC COM
	- 59 ' 298 ' \$	00.008,6	92 126'5	SHARES, 400 00 SOLD 06-28-01 Acquired 12-29-00
	SSOT/NIVO	T203 212Ag	SALES PROCEEDS	VESCRIPTION OF PROPERTY ACCOUNTS

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Ilhnois 60675 (312) 630-6000

#### SURDNA FOUNDATION, INC - NEUBERGER & BERNAN PENSION MANACEMENT

ACCOUNT NO 26-69329

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65210

PAGE Sp FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD OF-50-01

00.0	-21 052'0\$	96,621, <b>3</b> 8	28.668,2	SHARES. 1,800 00 SOLD 07-07-98 ACQUIRED 04-27-98
				SOS STAFFING SVCS INC COM
00.0	78 ZII.S	b[.pap.2	10.872,7	SHARES: 500,00 SOLD 07-07-97 ACQUIRED 07-25-97
				EEE 12/18/00 #Reorg adac labs inc cash merger 318.5
				ACQUIRED 09-16-96
00 0	5,044 14	621 66	51.976,2	2HARES: 100 00 SOLD 07-06-00
				#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01
				ACQUIRED 07-25-97
00.0	87,608	1,821 58	2,651,16	SHARES, 100.00 SOLD 07-06-00
				EFF 12/18/00 #Reorg adac labs inc cash merger 318 5
				ACQUIRED 07-25-97
00 0	0Z 508	1,821 58	85 929'Z	SHARES: 100 00 SOLD 07-05-00
				ACQUIRED 07-24-97
00.0	1,502.30	38.027,Z	91 \$52'\$	SHARES: 200.00 SOLD 07-05-00
28% RATE SZOJNIAO	SAIN/LOSS	TSO3 SISAB	SALES	DESCRIPTION OF PROPERTY ACQUIRED

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO. 26-69329 65210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

{	00.0	-08 555'2	00.000,2	02 <del>5</del> 55'I		ZOLD SOLD	00 009	SHARES
(	00.0	- [ 5 5 5 0 4 [	1,334.25	p8.09 <u>S</u>		VCGNIKED 20FD	00 001	SHARES
(	00 0	-08'550'9	00 005'L	02 <del>9</del> 59'I		SOLD SOLD	00 009	SHARES
(	0°0	-91'65Z'I	00'055'I	<b>98.06</b> 2		SOLD ACQUIRED	100 00	2H <b>P</b> KE2 :
ı	00.0	-91 60£'I	00 009'T	58 06Z		ACQUIRED	00 00 <b>t</b>	SHARES
ĺ	00.0	-9L 008'8I	00.000,52	2,199 24		VCGUIRED SOLD	00.001,1	2H <b>P</b> KE2 <sup>1</sup>
		72 77 77					SACS INC COM	202 STAFFING
1	00 0	18.827	1,821 38	61.872,S		VCGNIKED 20FD	100.00	2HARES:
					s,	KEKCEK 918	LABS INC CASH P	#REORG ADAC
	00.0	-II 002'8I	00.000,SS	68 66Z'£		VCONIBED 20rd	00 001'1	2HARES:
	Z8% RATE GAIN/LOSS	SZOJYNIAS	COST SIZAB		VCONIBED		<b>Р</b> ВОРЕВТУ	DESCRIBLION OF
			<del></del>	- <del>-</del>	<b>-</b>			

#### The Northern Trust Company

50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

#### SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO 26-69529

EEE 15/18/00

#KEORG ADAC LABS INC CASH MERGER 318.5

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

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PAGE

00.0	28 617,S1	00 008'9ไ	S8 615'6Z	SHARES: 600 00 SOLD 07-17-00	
				PENCHWARK ELECTRS INC COM	8
00.0	78 885,T	86. <b>5</b> 38,£	59.521,6	SHARES: 200 00 SOLD 07-16-96	
				PREGRE DALLAS SEMICONDUCTOR CORP COM STK IERGER TO MAXIM INTEGRATED PRODUCTS INC 1942331 EFF 4/11/01	M
00.0	50'956'02	00.085,55	50 552'55	SHARES: 1,000.00 SOLD 07-14-00 ACQUIRED 09-17-96	
				IEBCEEB 948 2 ELL IIV9V00 Beobe bich bobke bilen inc com cash	
00.0	£1 27p	82 128'I	15 262'2	SHARES. 100 00 SOLD 07-13-00 ACQUIRED 07-25-97	
				EE 15/18/00 BEORG ADAC LABS INC CASH MERGER 318 5	_
00.0	- 95 [92'9	95.852,25	Z6 981'L1	SHARES 1,100 00 SOLD 07-12-00 ACQUIRED 11-19-97	
Z8% RATE	SSOT/NIVO	TSOS SISAB	EKOCEEDZ 2¥re2	DATE SOLDV	DEZ

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO 26-69329 65210

AVNET INC 2042752 EFF 6/8/01

#REORG KENT ELECTRONICS STK MERGER TO

95 PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00.0	Zp.p2Z,Z	88 L65'Z	02,587,8	18ED 07-18-97 SOLD 08-02-00		2H <b>V</b> KE2:
				и гтоск	ARE INC CL A COMMO	TRIGON HEALTHC
00 0	IS 39	629.5¢	28 272,8	IKED 01-20-61		SHARES
				918 2	B2 INC CV2H WEBCEB	#KEOKG ADAC LA
00 0	2 26	25 b	88 L	18ED 11-18-96 20CD 07-18-00		2H <b>P</b> KE2 1
					רר ואכ כסא	NATIONAL-OILWE
00 0	76 768,2	00'005'8	76.765,21	1KED 02-51-88		SHARES.
					TRS INC COM	BENCHWYKK EFEC
00.0	58.085	IS 666.2	98 ÞZL'S	SOLD 07-18-00		SHARES
00 0	<b>19</b> 155	27.686,8	25 155'6	18ED 07-18-00		SHARES
00 0	16 9B	1,821 58	1,908 29	IKED 07-25-97 20LD 07-18-00		SHARES
SECTIVITAD	CAIN/LOSS	COST SISA4	SALES PROCEEDS	DATE SOLDV ACQUIRED	ROPERTY	DESCRIPTION OF P

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illmoss 60675 (312) 630-6000

ACCOUNT NO. 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & BARMAN PENSION MANAGEMENT

07822

65210

PECCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

O7-01-00 THROUGH 06-30-01

00 0	62 605'5	05°556 <b>'</b> I	67.292,3		S00.00 SOLD ACQUIRED	SARAHS
					о сом (ногріме	HANOVER COMPRESSOR C
00.0	17 856,21	00 272,55	1 <b>1 86</b> ₽,88		SOD OO SOFD	SHARES S,
					ОИ 210СК	CLOBAL INDS LTD COMM
00 0	5, 202 19	00'008'Z	61,700,8		VCCOLLED JOO OO SOLD	SHARES
					C COM	BENCHWARK ELECTRS IN
00 0	LS.622,2	81 550'9	50 SI9'II		VCONIKED SOFD	SHARES:
					сом	MHOFE ECODS WKT INC
00.0	S& 100,&	00 008,5	2\$ 108'S		100.00 SOLD ACQUIRED	SHARES
					с сон	BENCHWARK ELECTRS IN
00.0	28.878,2	61 029'\$	10 665'8		VCOURED SOLD	SHARES
28% RATE SZOJNIAĐ	CVINCTOSS	COST BASIS	<b>SWOCEEDS</b>	DATE SOLD/ ACQUIRED	χ	DESCRIPTION OF PROPERT

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 07822 ACCOUNT NO 26-69329 015¢6

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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C	00.0	12.919,8	00 005'01	12.916,81		ZOLD SOLD	00.007	SHARES
							сом	PRIDE INTL INC
C	10 0	27 25.01	00.529,11	27 182,25		SOLD SOLD	00 000'T	SHARES
							DEF COM	VARCO INTL INC
C	0 0	826 32	75 <b>20</b> 7	0 <i>L</i> \$95'T	96-81-01 00-81-60	VCGUIRED SOLD	100.00	SHARES
C	00,0	וצ פאפיפו	SI 516'6	95.889,85		VCGUIKED 20FD	00 005'T	SHARES
								UNIT CORP COM
(	00.0	16.526,8	Z6 9Z8'b	68.695,11		VCONIKED 20FD	00.002	SHARES
					ď	-		#REORG KENT EL
C	00.0	85.552,8	\$8 \$20'\$	8T L55'6	05-52-20 06-18-00	SOLD SOLD	200 00	2H <b>¥</b> KE2;
C	ם ם כ	95 955'5	Zp.8Z0,2	87 266 78	05-52-20 06-18-00	VCONIKED 20FD	200.00	SHARES
_	SB% RATI GEINZLOSS	CAIN/LOSS	ZISA4		DATE SOLD		ROPERTY	DESCRIBLION OF B

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

85 PAGE

				<b>46-52-20</b>	ACQUIRED		
00.0	<b>922'</b> 2	0£ 818'Z	98. pp7. p	00-52-60	0305	00.001	SHARES
				44	gavrabau		
00.0	<b>95 922'</b> 2	2,518 30	58 55L'5	05-52-00	VCONIKED 20rd	300 00	SHARES
	73 702 0	01 012 -	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				-2
	00 006/6	00.0016	89'685'6	08-52-00 08-52-00	VCONIKED 20FD	200 00	2HARES:
00 0	80 255'5	09 950'5	87 989 0	00-26-00	u 103	00 002	. 22aAU2
				96-11-60	<b>ACQUIRED</b>		
00.0	12 982,51	00 051'11	15.25,724.21	00-52-60	anos	00 005	SHARES
						FF 11/9/00	MERCER 348 S E
					COM CASH	AKE ALLEN INC	*KEOKC BUSH BO
				66-87-50	ACQUIRED		
00 0	27111 22	80 626'Z	6,040 30	09-22-00	гос	00 001	SHARES
				66-12-50	ACQUIRED		
00.0	02.045,5	2,800 00	5,040 30	09-22-00	aors	100 00	SHARES
						TRS INC COM	BENCHWARK ELEC
				96-11-60	ACQUIRED		. ==
00.0	17 200,71	20,825 00	19,828,78	00-02-60	SOLD	00.00P,I	SHARES
SS07/NYS	SS01/NIV9	SISVA	<b>EKOCEEDS</b>	-ACQUIRED		КОРЕКІХ	DESCRIBITOR OF P
28% RATE	000 17 11140	1302	SALES	DATE SOLD		7,20,000	2 20

O ENERGY INC 2946745 EFF 5/16/01 \*\*\*KEORG CROSS TIMBERS NAME CHANGE TO XT

BAA

ACCOUNT NO 26-69529

07822

65210

							NN INC COM
00 0	5,359 96	2,027 89	58 788,2	66-11-20 10-04-00	ACQUIRED SOLD	00 00I	SHARES
						INC COM	MHOLE FOODS MKT
00.0	18 62b'S	06 852,5	17.828,51	15-20-61 10-04-00	VCONIKED SOLD	200 00	2HVKE2 ·
					NC COM STK	IPBUILDING I	NEMBORT NEMS SH
00 0	<b>56 285'9</b>	25 909'6	95 681'91	16-82 <b>-</b> 50 10-04-00		200.00	SHARES
						TEMS INC COM	ALLIANT TECHSYS
00.0	81 251,5	01 130,E	88 602'5	66-10-90 00-62-60	SOLD SOLD	00 00I	SHARES
						RS INC COM	BENCHWARK ELECT
00 0	bb 9	80.5	25 8	56-50-10 00-92-60	ACQUIRED SOLD	05.0	SHARES
28% RATE CAIN/LOSS	<b>EVINCEOSS</b>	TOOD Sisaa	SALES PROCEEDS	DATE SOLD/		YTREGO	DESCRIPTION OF PR
9A49 62			HEDULE OF CAINS AND THEOUS TAXABLE DURING THEOUS THEOURS THEOUR THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE THEOURS THE TH	ACCOUNT TRANSAC			
		# H3	TON, INC - NEUBERGE HENSION MANAGEMENT	TAGNUOT ANGRUS T NAMRBA	6	12563-35 ,ON	01249 ACCOUNT

10,724 64

11.137,01

00.0

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NEMPORT NEWS SHIPBUILDING INC COM STK

SHARES

1,300.00

VCGUIRED 12-19-94

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 02888 ACCOUNT NO 26-69329 652[0

10/81/1

\*KEOKC BLOCK DRUG CO CASH MERGER 353 EFF

05 01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE LEDEBAL SCHEDULE OF GAINS AND LOSSES

00 0	5,622.36	IT 062,0S	70.811,82	SHARES 500.00 SOLD 10-09-00 ACQUIRED 10-18-96
				J\JB\DJ #Wedke brock dene co cash wercer 353 eff
00 0	-18 028	<b>55 551'8</b> 2	TO 228, TS	SHARES: 3,400,00 SOLD 12-19-94
				NN INC COM
00 0	<b>ኗ</b> ያ ታኗረ'ታ	87.220,3	בט מנפימנ	SHARES, SOD DO SOLD 10-05-00 ACQUIRED 03-11-99
				MHOFE EDODS WKL INC COM
00.0	<b>20.511,</b> 8	9L <b>566</b> 'b	08.707,11	SHARES 200 00 SOLD 10-05-00 ACQUIRED 07-15-97
				TRICON HEALTHCARE INC CL A COMMON STOCK
00 0	67 TO8,1	25 986,S	2£ 96Z'5	SHARES 100.00 SOLD 10-05-00 ACQUIRED 01-02-98
00.0	20 929'2	09 ZS6'b	£9.882.8	SHARES: 200 00 SOLD 10-05-00 TO-05-00
SEX RATE	<u>@WIN</u> \rozz	1203 <u>212Aq</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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S8% RATE Gain/Loss	ē¥IN√F022	T203 <u>212A8</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
00.0	72.082,2	12,294.43	0	SHARES: 300 00 SOLD 10-18-96
				NN INC COM
00 0	-59.55	412 <b>8</b> 8	581 24	SHARES· 50.00 SOLD 10-09-00 Acquired 12-19-94
00 D	-99'586'I	OS 295'DI	P8.772,8	SHARES: 1,125 00 SOLD 10-09-00
			72 212 2	ACQUIRED 01-31-95
00 0	-96'595'2	05'290'8	95 814'5	SHARES: 750.00 SOLD 10-03-95 ACQUIRED 10-03-95
				1\18\0] #Keoke brock dkne co cvzh wekeek 922 ell
00.0	92 892'9	58.882,22	וו עפניונ	SHARES. 600.00 SOLD 10-18-96
				HANOVER COMPRESSOR CO COM (HOLDING
00.0	27 958, E	55'910'Z	82 95815	SHARES. 200 00 SOLD 10-10-00 ACQUIRED 02-26-99
				#REORG BLOCK DRUG CO CASH MERGER 353 EFF

10/81/1

**BAA** 

ACCOUNT NO 26-69329

07822

65210

BERMAN PENSION MANACEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

07-01-00 THROUGH 06-50-01

07822 ACCOUNT NO 26-69529 65210

25 PAGE

00.0	15.513,1	4,396.24	55.800,5	05-52-66 10-11-00	VCGNIKED 20FD	00 STT	SHARES.
00.0	72.125,1	00.020,6	72.182,2	05-16-99 10-11-00	VCGNIKED 20FD	102.00	SHARES
00 0	12 452'\$	05	[/ pp['9]	05-15-66 10-11-00	VCGNIKED 20FD	209 00	SBRAHS
00.0	Z\$:05\$'I	52.120.p	25 185'S	01-58-66 10-11-00	ACQUIRED SOLD	00.501	SHARES
				01-27-99	<b>VCONIRED</b>		
00.0	70.625, I	0S 210'b	72 IBE,2	86-12-70 00-11-01	ACQUIRED	102 00	SHARES
00.0	60.028,8	00.278,81	90 ZIT, TS	10-11-00 15-56-96	20FD VCGUIRED	S5 02S	SHARES
00 0	58 286'5	05 295'\$2	58,546,35	10-11-00	гос	98 995	SHARES
00'0	\$0 820'\$	00 001'51	20 821,71	96-11-21 10-11-00	SOLD	58.755	SHARES
00.0	17 207	TO 955'Z	27.825,8	96-81-01 00-11-01	ZOFD ZOFD	LE 29	SHARES
S8% RATE Gain/Loss	CAINZLOSS	COST PASIS	PROCEEDS SALES	DATE SOLDV		PROPERTY	DESCRIBLION OF

TRIGON HEALTHCARE INC CL A COMMON STOCK

			UNDDATION, INC NE MAN PENSION MANAGEM		6	Z£69-9Z ON	THEOLOGICAL ARB STATE ST
39 <b>A</b> 9 82		DURING THE PERIOD	ARL SCHEDULE OF GAIN SANSACTIONS TAXABLE -01-00 THROUGH 00-10-	ACCOUNT TE			
X RATE Naloss	<u>ESTAZLOSS</u>	TSOS SISAR		DATE SOLD.		OPERTY	DESCRIBITON OF PR
00 0	2'816 65	pZ.678,S	91.662,8		VCONIKED 20FD	100.00	SHARES
				443	EKCEK 922	M HSAD OD BUI	I\18\0] #KEOKe Brock DK
00 0	<b>45'551'</b> 5	97.888,11	££.802,21	05-52-88 10-15-00		00.795	2HARES:
					ногріме	208 со сом (	COMPANY) COMPRES
00 0	22,278,8	3,024 82	50 469'6	05-56-99 10-15-00		300,00	SHARES
00 0	27 312 0		21 132 01	00 21 01	3 103		PRIDE INTL INC
00 0	۷9 855'6	05 215'01	LT 152'6T	96-21-60 00-21-01		700 00	SHARES:
00 0	60 911'\$	08.277,2	68.068,T	10-15-00	SOLD	00 00 DEF COW	VARCO INTL INC
				86-11-60	ACQUIRED		ALLIANT TECHSYS
•			/				

27 261,8

95 162'5 97 208'5

00.0

ALLIANT TECHSYSTEMS INC COM

SHARES

100.00

VCGNIKED 02-58-64 20FD 10-12-00

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 04855 ACCOUNT NO. 26-69329 65210

NEMBORT NEWS SHIPBUILDING INC COM STK

55 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

0	10 0	Z9 68£'Z	8\$ SIO'\$	06'505'5		VCONIKED SOFD	00 01	J T	SHAHS
							M	<b>WKT INC CC</b>	MHOFE LOODS
) ,	0 0	St 982'Z	£5'985'Z	89 277,2		SOLD SOLD	00 0	ıτ	SHARES.
					>	INC COM STI	INC !	SHIPBUILE	NEMPORT NEWS
0	10 0	66 >56'5	92.208,2	2S 887,8		SOLD SOLD	00 0	) t	SHARES
						k	اد دوا	SASTEMS IN	ALLIANT TECH
0	0 0	L1 255'Z	88 750,5	90 185'S	02-11-88 10-50-00	VCONIBED 20FD	00.0	O T	SHARES
							H	<b>HKT INC CC</b>	MHOFE EOODS
O	00'0	B[ 972,S	8£ 510'£	95 165'S	02-15-66 10-50-00	VCONIKED 20FD	00 0	) (	SHARES
O	00'0	89'295'Z	88 750,E	LS 166'S		SOLD ACQUIRED	00.0	Σ	2H <b>V</b> KE2·
							M	MKT INC CC	MHOFE EDODZ
) (	0 0	TZ 960'b	92 £08'5	72,668,8	46-82-50 00-02-01	VCONIKED 20FD	00 0	o t	SHARES
	S8% RATE SALVALOSS	<u> </u>	T202		DATE SOLDV			PROPERTY	DESCRIBLION OF

BERMAN PENSION MANACEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07822 ACCOUNT NO 26-69329 01548

NEMBORT NEWS SHIPBUILDING INC COM STK

30**A**9 22

1203	SALES	DATE SOLD
	THROUGH 06-30-01	00-10-20
	IONZ LEXEBÉE DOBING L LEDOLE OE GEINZ AND CO	
3,300		

	00.0	3,323 38	<b>37.050,</b> 8	bt 952'6		VCGNIKED 20FD	200.00	SHARES
							HKT INC COM	MHOFE EOODS
)	00 0	61.128,4	86.715,5	LL 698'9	00-92-01		TOO OOT	SERAHS
							A 12 2MI 34A2W	TINTH HEALT
	00.0	52 955'L	05 166'9	51 199'91		VCONIKED 20FD	200 00	SHARES
					ж.	тис сон эт	2HIBBNIFDING	NEMBORT NEWS
	00.0	<b>29.072,2</b>	85 210'S	00.985,2		VCONIKED 20rd	00 001	SHARES
							HKI INC COM	MHOLE FOODS
	00.0	82.020,2	pZ 619'Z	ZS 601'9		SOLD ACQUIRED	J 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	SHARES:
					.0CK	соммои ат	HCARE INC CL A	TRIGON HEALT
	00 0	59.318,5	IS. 77P, S	<b>59.897,</b> 2		VCGNIKED 20FD	100 00	SHARES
)	00.0	9722,6	21 956'6	95 5/1'61		VCGN1KED 20rd	00 005	SHARES
	S8% RATE Gain/Loss	SS07/NIV9	TSOS		DATE SOLDA ACQUIRED		ТТЯЭНОЯН	DESCRIBITON OF

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

028\$\$ 8AA VCCORNI NO S6-69329 65210

MHORE ECODS WKT INC COM

95 PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	£2.862, £	90 856'Z	62.322,4	SHARES 100 00 SOLD 10-30-00
				MHOFE FOODS MKT INC COM
00.0	92°[\$5'5]	b6 £59'9	81,281,55	SHARES: 500 00 SOLD 10-50-00
				TRIGON HEALTHCARE INC CL A COMMON STOCK
00.0	06 855'9	77.228,11	787,184	SHARES: 400 00 SOLD 10-27-00
00.0	16'555'1	82 510'2	65 OT2, p	SHARES: 100 00 SOLD 10-27-00 ACQUIRED 03-12-99
				MHOFE LOODS WKL INC COW
00 0	87.088,A	86.715,5	91 860,T	SHARES 100.00 SOLD 10-27-00 Acquired 08-07-97
				TRIGON HEALTHCARE INC CL A COMMON STOCK
00 0	99:592:01	96.085,6	29.929.61	SHARES: 400 00 SOLD 10-27-98
00 0	91 912,5	2,330.50	99 906'5	SHARES: 100 00 SOLD 10-09-98
28% RATE GAIN/LOSS	CAIN/LOSS	COST SASES	SUSCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

BERMAN PENSION MANAGEMENT 07822 ACCOUNT NO. 26-69329 SURDNA FOUNDATION, INC - NEUBERGER & 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

PAGE 57

)	00 0	TS 551'6	27.295,51	92 6 <b>50</b> 'ZZ	05-04-08 11-08-00	VCONIKED 20rd	00.007	SHARES
					нѕ	INC COM CA	C EEF 1/26/01	
	00.0	1,212.33	05.78 <b>3</b> ,č	28 668, p	08-52-88 11-02-00	VCONIKED 20rd	100 00	SHARES
							KT INC COM	MHOFE LOODS 1
	00 0	55 92 <b>£</b> 'ĭ	5Z:1\$5'\$	08 128'b	06-1Z-60 11-0Z-00	SOLD SOLD	700 GO	SHARES
							HKT INC COM	MHOFE EOOD2 1
	00 D	07 8PI,1	פא ונפינ	56.678,2	00-51-60 11-01-00	VCONIKED 20FD	100 00	SHARES
	00 0	68 IST, I	90 856'Z	96 619'b	66-51-50 00-10-11	VCONIKED 20FD	00 00τ	SHARES
							HKT INC COM	MHOFE EOODZ 1
)	00.0	11,7524,1	90 896'7	LT 585'5	02-12-66 10-21-00	VCONIKED SOFD	00 001	SHARES
	28% RATE GAIN/LOSS	SSOTANTOS	T203 ZIZAE		DATE SOLD		PROPERTY	DESCRIBLION OF

TRICON HEALTHCARE INC CL A COMMON STOCK

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERMENT BERMENT BERMENT

01249 ACCOUNT NO. 26-69329 SS870 AAB

NEMBORT NEWS SHIPBUILDING INC COM STK

PAGE 58 FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

00 0	<b>ታዩ 898</b> ′ፘ	26.798,S	62 912'5	SHARES 100.00 SOLD 11-21-00 Acquired 01-14-98
				NEMBORT NEMS SHIPBUILDING INC COM STK
00 0	16.826,5	<b>የ</b> ኔ ይቀይ, ያ	81.075,2	SHARES: 100.00 SOLD 11-21-00 ACQUIRED 01-15-98
00 0	25 556,5	56 255'2	81.075.2	SHARES: 100 00 SOLD 11-21-00 Acquired 01-14-98
				NEMPORT NEWS SHIPBUILDING INC COM STK
00 0	OL 995'8	28.8p0,7	55'015'S1	SHARES: 500.00 SOLD 11-17-00 ACQUIRED 01-14-98
00.0	56 658,5	PS 058,S	61 0/1/5	SHARES: 100.00 SOLD 11-17-00 ACQUIRED 01-12-98
				NEMPORT NEWS SHIPBUILDING INC COM STK
00 0	[b 9[b'Z	00'520'02	[9'[55'ZZ	SHARES 600 00 SOLD 11-17-00 ACQUIRED 11-06-98
				BEBKFEA M K COKE COM
00.0	51 626,8	86 TIZ.S	£7 pp8,7	SHARES: 100.00 SOLD 11-15-00 ACQUIRED 08-07-97
28% RATE GAIN/LOSS	SSOT/NIV5	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

SURDHA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO. 26-69329 65210

TRICON HEALTHCARE INC CL A COMMON STOCK

30**A**9 68

07-01-00 THROUGH 06-30-01
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	55 556,2	09.209,5	56 125'5	SHARES: 100 00 SOLD 12-11-00 ACQUIRED 01-29-98
				NEMPORT NEWS SHIPBUILDING INC COM STK
00 0	<b>55 531.</b> 2	B6 715,5	24 085,7	SHARES: 100 00 SOLD 12-05-00 ACQUIRED 08-07-97
				TRIGON HEALTHCARE INC CL A COMMON STOCK
00.0	70 <b>፦</b> 80.8	09 509'2	79.883.2	SHARES 100 00 SOLD 12-05-98
				NEMBORT NEMS SHIPBUILDING INC COM STK
00 0	[[ 058'Z	09 b09'Z	17 ppp,2	PCGUIRED 01-29-98 SOLD 12-04-00
00 0	<b>78 202,</b> 8	<b>55 989'</b> 5	15 688'01	PCGUIRED 01-15-98 SHARES 200 00 SOLD 12-04-00
				NEMBORT NEMS SHIPBUILDING INC COM STK
00 0	61 T21.2	2,343 27	90'105'5	SHARES. 100.00 SOLD 11-50-98
				NEMBORT NEMS SHIPBUILDING INC COM STK
00 0	55.946,5	72 242.2	Z9	2HARES 100.00 SOLD 11-22-00
28% RATE GAIN/LOSS	CAINZLOSS	T202 212A8	SACCEEDS SACEEDS	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern Trust Company 50 south Lasaile Street, Chicago, illimois 60675

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07822 ACCOUNT NO 26-69329 65210

09 PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	00 0	58'68I'S	bb <b>b8</b> 1'2	82 272,7		SOLD SOLD	00.001	SHARES
					оск	соннои атс	HCARE INC CL A	TRICON HEALT
)	00 0	69.625,5	09 509'Z	65.981,8		VCONIKED 20FD	700 00	SHARES
					<b>y</b>	14C COM 21	SHIPBUILDING 1	NEMPORT NEWS
	00.0	16 501'\$	71.505,2	80 802'9		VCONIKED 20FD	00 00T	2H <b>v</b> ke2 :
						i	SYSTEMS INC COP	ALLIANT TECH
	00.0	5/ £05'5	55,184,44	81.882, <i>t</i>		VCONIKED 20FD	J D D D D D	2H <b>V</b> KE21
					ОСК	соннои гл	HCARE INC CL A	TRICON HEALT
	00 0	9 L . 8 6 0 , 8	ZS 909'6	85.207,71		VCONIKED 20FD	200 00	SHARES
						ı	SYSTEMS INC COP	ALLIANT TECH
	00 0	\$1.917,6	88 892'5	IO £80'5I		ACQUIRED SOLD	200.00	2H <b>P</b> KE2:
	00 0	52.858, p	86.715,5	05 160,7		VCONIKED 20rd	100 00	2H <b>y</b> ke2:
	S8% RATE Cain/Loss	<u> CPINTROS</u>	TSOS SISAA		DATE SOLDA		PROPERTY	DESCRIPTION OF

BEKKLEY W R CORP COM

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

BAA 02855 ACCOUNT NO 26-69329 67210

STERLING BANCSHARES INC COM

τ9 PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

	00 0	58 669'Z	09 509'Z	56.902,2	01-58-08 15-58-00	VCONIKED 20FD	00'001	SHARES.
						THE COM 21K	SHIPBUILDING 1	NEMPORT NEWS
	00.0	01.052,8	\$1.202.£	12 S21, à	16-82-50 15-58-00	VCGNIKED ZOFD	<b>-</b>	SHARES
					-/ <b>-</b> 0 -7		2A21EW2 INC COP	ALLIANT TECH
	00 0	PS 785,1	05.735,50	p8.p27.p	12-27-00 86-90-11	SOLD	00 00τ	SHARES
							сокь сом	BEKKTEL M K
	00.0	01'55 <i>1'</i> Z	95.925,5	99 182'S	12-27-00 12-27-00	VCGNIKED 20FD	00 00T	SHARES
	00.0	90.778,2	09'509'Z	99'18Z'5	01-29-98 12-27-00	VCONIKED 20FD	00 001	SHARES
						INC COM STK	SHIBBNIFDING 1	NEMPORT NEWS
	00.0	pg 859'Z	09 509'Z	b6.585,8	01-59-98 15-56-00	SOLD SOLD	100 00	SHARES.
						1NC COM STK	SHIPBUILDING I	NEMBOKI NEMS
١	00.0	05 95L'Z	00.273,3	05.152,6	86-90-11 00-92-21	PCGUIRED 20FD	200.00	SHARES
	S8% RATE GAIN/LOSS	SSOTZNIVS	COST BASIS	PROCEEDS SALES	DATE SOLD/		PROPERTY	DESCRIBITON OF

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

018SS 8AA ACCOUNT NO 26-69329 652 t 0

ALLIANT TECHSYSTEMS INC COM

29 PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

	00 0	99 I18'S	L1 202'S	18.272,2		PCGUIRED 20LD	00 001	SHARES.
l						ı	SYSTEMS INC COM	ALLIANT TECH
	00 0	09 281,5	09 509'Z	0 <b>2.787</b> ,2		SOLD ACQUIRED	00 001	2H <b>¥</b> KE2⋅
					K	TS HOD DN	SHIPBUILDING I	NEMBOKI NEMS
	00 0	62 689' I	0S 025,1	68 611,5		VCONIKED 20FD	00 001	2H <b>y</b> ke2'
							CORP MASS COM	HAEMONETICS
	00.0	18.981,7	55 505'9	9 T 685'S T		VCGNIKED 20FD	00.00S	2H <b>¥</b> KE2∙
						ı	SYSTEMS INC COM	ALLIANT TECH
	00 0	89 695'5	5) <b>58</b> ['Z	SI 981,1		VCGNIBED 20FD	00.001	2H <b>V</b> KE2:
					ОСК	соннои ат	HCARE INC CL A	TRICON HEALT
ı	00 0	95 851'09	L9: [b['8b	108,290.23		PCQUIRED SOLD	00'002'5	SHARES
	28% RATE CAIN/LOSS	CAINALOSS	EASISA EASIS		DATE SOLD. ACQUIRED		PROPERTY	DESCRIPTION OF

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

04855 ACCOUNT NO. 26-69529 01548

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

29 PAGE

00 0	8S 200,7	12,818 20	85 ZZ8,61	SHARES. 500.00 SOLD 01-10-01 ACQUIRED 12-17-99	
				ИМЕНТ ТЕСНИОГОСУ СВОИР ТИС ИЕМ СОМ	INAE
00 0	05.252.1	05 055'[	06.966,5	SHARES: 100.00 SOLD 01-12-97	
00.0	87.892,1	05 025'I	82 6/6'Z	SHARES CORP MASS COM ACQUIRED 11-12-97	ЭМЭАН
				MOD SZAM GOORP MASS COM	HAEMO
00 0	06 009	05 755,2	2,958 40	SHARES: 100 00 SOLD 01-10-01	
				EY W R CORP COM	BEKKI
00 0	<b>15.221,5</b>	\$1.202.18	55 152,8	SHARES 100 00 SOLD 01-10-01 ACQUIRED 05-28-97	
				NT TECHSYSTEMS INC COM	∀ורו∀
00.0	2,136 96	3,202 17	6,339 13	SHARES: 100 00 SOLD 01-10-01 ACQUIRED 05-28-97	
SSA X8S SSOLVILOS	<u>GRIN/LOSS</u>	T203 212A4	SALES	DATE SOLD A SOLD	DEZCETE

NEMPORT NEWS SHIPBUILDING INC COM STK

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO. 26-69329 01546

INVESTMENT TECHNOLOGY GROUP INC NEW COM

79 PACE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

SB% RATE Gain/Loss	<b>EPIN/LOSS</b>	7203 212 <u>a</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
00.0	<b>7</b> 9.715.2	21.620.12	65.015,6	SHARES: 200 00 SOLD 01-10-01 ACQUIRED 02-02-98
				INVESTMENT TECHNOLOGY GROUP INC NEW COM
00.0	26.165,2	85.751,2	12 616'8	SHARES: 200.00 50LD 01-11-01 ACQUIRED 12-17-99
				МОО ЧЯОО НОВОО ООВИТИ
00 0	10 276,65	05.016.8	Ib 288'85	SHARES: 2,700 00 SOLD 01-11-01 ACQUIRED 10-21-95
00.0	09.583.65	00 002'6	05 S88,82	SHARES. 2,700 00 SOLD 01-11-01 ACQUIRED 10-22-93
				FAIR ISAAC & CO INC COM
00 0	59 602,5	Z9'98Z' <i>L</i>	75,162,6	SHARES 200 00 SOLD 01-12-01 Acquired 07-27-99
				INVESTMENT TECHNOLOGY GROUP INC NEW COM
00.0	77 28 <b>2</b> ,2	09 852'5	8,532 <i>37</i>	SHARES: 200 00 SOLD 01-12-01 ACQUIRED 12-17-99
				FAIR ISAAC & CO INC COM
00.0	89'6\$['[	[2.2p3,2	66 287,2	SHARES 100.00 SOLD 01-16-01 ACQUIRED 07-27-99

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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PAGE

28% RATE GAIN/LOSS	CVINCESS	COST SISAS	SALES PROCEEDS	DATE SOLD		PROPERTY	DESCRIPTION OF
00 0	65'1£5'1	Ip 277,5	06.205,2	15-50-66 01-16-01	ACQUIRED SOLD	100 00	SHARES.
	09 985'9		09.284.60	<b>50%</b>	SETTLEMENT	NDEX CL ACTION	PROCEEDS
						CO INC COM	FAIR ISAAC &
00 0	05 221'2	29 982' <i>L</i>	ZI 605'6		VCGUIRED SOLD	200.00	SHARES
						CO INC COM	FAIR ISAAC &
00 0	08.P20,1	[£ £59'£	II 869'b	01-51-66 01-52-01	VCONIKED 20FD	00.001	SHARES
						со іис сом	FAIR ISAAC E
00.0	94.215.2	Z9'98Z'L	82.002,9		VCONIKED 20FD	00.005	2HARES:
						Z INC COM	СОРСНИЕЙ ТИВ
00.0	SI.726,2	20,322 00	SI 672, 65		VCGUIRED SOLD	2,400.00	SHARES
00.0	95'\$\$0'\$	00.580,01	95 651'51	10-52-01 01-54-01	VCONIBED 20FD	00.005,1	2HVKE2.

FAIR ISAAC & CO INC COM

02888

01546

VCCONMI NO S9-69259

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

04855 VCCONNI NO SE-69259 01548

FAIR ISAAC & CO INC COM

99 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

Z8% RATE GAIN/LOSS	CAIN/LOSS	T200 ZIZA4	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
00.0	£2.455.8	10,929.95	95 581'91	SHARES. 300 00 SOLD 01-26-01 ACQUIRED 07-27-99
				WERGER 332 10 EFF 1/26/01
00 0	27 276,72	SS 956'L5	00 0\$6'501	SHARES: 5,500 00 SOLD 01-29-01 ACQUIRED 02-04-98
00.0	00 518,8	00.250,6	10 028,51	SHARES: 400 00 SOLD 01-29-01
00.0	00.052,5	00.005,2	00 BSb,8	SHARES 200.00 SOLD 01-29-01 ACQUIRED 03-16-99
00 0	22.885.5	89'021'5	90.052,8	SHARES 200.000 SOLD 01-29-01 ACQUIRED 03-11-99
00.0	5Z 169'TT	27.817,25	00'012'52	SHARES 1,100 00 SOLD 01-29-01 ACQUIRED 04-26-99
00 0	05 Z01'01	02.787,81	00 068'82	SHARES. 900 00 SOLD 01-29-01 ACQUIRED 05-06-99
				#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01
00 0	87.215,2	86 298'I	91.611,7	SHARES 200 00 SOLD 02-01-96

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 07822 ACCOUNT NO 26-69329 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

**L9** 

39A9

	SBX RATE SELINCLOSS	<u>eatnalas</u>	7203 <u>212A4</u>	SALES SALES	DATE SOLD/		PROPERTY	DESCRIPTION OF
	00'0	61.272,2	29 982' <i>L</i>	[6 <b>297,</b> S[		VCONIKED 20FD	200 00	2H <b>y</b> ke2:
							delien Kim inteckated S semiconductok	
	00.0	<b>50 585'0</b> [	96 727,8	00 212'51		VCONIKED 20FD	00 005	SHARES.
							S SEMICONDUCTOR SIM INTEGRATED O	
	00 0	Z9 989'Z	627 66	19.819,8		VCGUIRED SOLD	00.001	SHARES
							моэ атт тмөм	MUTUAL RISK
	00 0	-89 559	95'088'Z	88.425,5		SOLD SOLD	00.00S	2HARES.
)							S SEMICONDUCTOR XIM INTEGRATED   4/11/01	
	00 0	94 165'11	56 659'b	12 151'91		SOLD SOLD	00 005	SHARES

MUTUAL RISK MGHT LTD COM

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER & ACCOUNT NO 26-69329

ALLIANT TECHSYSTEMS INC COM

OYBZZ 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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	28% RATE Gain/Loss	EVINTEDES	7202 212A8		DATE SOLD.		YIA39089.	DESCRIBITON OF
	00.0	-95 995	82.0 <i>p</i> 4.1	1,075 82		SOLD ACQUIRED	100.00	2HARES.
							S SEMICONDUCTOR XIM INTEGRATED 4/11/01	<del>-</del>
	00 0	02,079,S1	<b>56 165'</b> 5	p2.5 <b>9</b> 5,81		VCONIKED 20FD	00.009	SHARES
							/Z ZEMICOMDUCTOR /XIM INTEGRATED 4/11/01	
	00 0	21 SSS.A	86 £98'I	01 915'9		SOLD ACQUIRED	200 00	SHARES
	00.0	-12 752	82.024,I	76 S10,1		VCONIKED 20rd	MGMT LTD COM 100 00	MUTUAL RISK SHARES
•							нент гтв сом	MUTUAL RISK
	0 D	-61 865'\$	92 225'II	S0 20'8		SOLD ACQUIRED	00 008	SHARES

#### The Northern Trust Company

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PAGE

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERNAN PENSION MANACEMENT

07-01-00 THROUGH 06-50-01

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

BERKLEY W R CORP COM

**07822** 

65210

ACCOUNT NO 26-69329

00.0	88.867,11	81.116,9	90 805'12	SHARES 250.00 SOLD 03-07-01 ACQUIRED 08-14-97
00 0	29 50P, E1	<b>500'8</b>	90.802,15	SHARES: 250 00 SOLD 03-07-01 ACQUIRED 05-28-97
				ALLIANT TECHSYSTEMS INC COM
00 0	-50 [22,5	02 IOZ'L	82.086,2	SHARES: 500.00 SOLD 03-06-01 ACQUIRED 11-18-99
				MUTUAL RISK MEMT LTD COM
00 0	<b>96 6</b> 51'5	86.538,1	₽6 £10'L	SHARES: 200 00 SOLD 03-16-96
				SERSZZI EEE KIIVOI WEKCEK TO MAXIM INTEGRATED PRODUCTS INC *KEORG DALLAS SEMICONDUCTOR COM STK
00 0	58 5/Z'I	05 725,2	<b>95 519</b> , <b>9</b>	PCGNIKED 11-09-38 2HPKE2: 100 00 20FD 02-08-01
				BERKLEY W R CORP COM
00 0	P2 S78,8	71.502,8	17.472,8	SHARES: 100.00 SOLD 05-08-97 ACQUIRED 05-28-97
28% RATE GEIN/LOSS	<b>EVINCTOSS</b>	COST	SALES	DESCRIPTION OF PROPERTY ACQUIRED

0 4

PAGE

BERMAN PENSION MANAGEMENT 07822 SURDNA FOUNDATION, INC - NEUBERGER & ACCOUNT NO. 26-69329 65210

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

10-01-00 THROUGH 06-30-01

00.0	89.257,5	00 519'9	89'662'6	SHARES 200 00 SOLD 03-08-01 ACQUIRED 11-06-98
				ВЕВКГЕА М В СОВЬ СОМ
00'0	95.507,5	00 519'9	95 LLE'6	SHARES: 200 00 SOLD 03-08 ACQUIRED 11-06-98
				BERKLEY W R CORP COM
00.0	07.115,6	56.88 <b>3</b> ,7	S9 006'91	SHARES. 200 00 SOLD 03-08-01 ACQUIRED 08-14-97
			,	ALLIANT TECHSYSTEMS INC COM
00.0	19:520'5	86 £98'1	69 688'9	SHARES: 200 00 SOLD 05-16-96
				#REORG DALLAS SEMICONDUCTOR CORP COM STK #REORG DALLAS SEMICONDUCTOR COM STK
00.0	89 669'Z	00 519'9	89 575,6	SHARES: 200.00 SOLD 03-07-01 ACQUIRED 11-06-98
28% RATE SZOJ\NIAQ	<u>ĕ¥IN√F022</u>	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

S245221 ELE 4/11/01 MERGER TO MAXIM INTEGRATED PRODUCTS INC #REORG DALLAS SEMICONDUCTOR CORP COM STK

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC. - NEUBERGER & BERNAL MANAGEMENT

07822

67210

ACCOUNT NO 26-69329

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
O7-01-00 THROUGH 06-30-01

00.0	09 68 <b>5</b> 'ī	05 7 <i>2</i> 5,5	01 <i>1</i> 21'5	SHEKKLEY W R CORP COM SHERKLEY W R CORP COM
00.0	<b>98.519,1</b>	02 722,5	p8.6p1,p	SHARES: 100 00 SOLD 01-06-98
				BERKLEY W R CORP COM
00.0	pZ.282,p	<b>ፈ</b> ካ ካካፄ'ዩ	17 654,8	SHARES: 100 00 SOLD 03-14-97 Acquired 08-14-97
				ALLIANT TECHSYSTEMS INC COM
00.0	90'140'5	86 298'[	50.856,8	SHARES: 200 00 SOLD 03-08-01 ACQUIRED 09-16-96
S8% RATE	CAIN/LOSS	COST BASIS	EKOCEEDS 2VIES	DATE SOLDV  ESCRIPTION OF PROPERTY  ACQUIRED

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 07822 ACCOUNT NO 26-69329 01546

75 PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

Z8% RATE GAINZLOSS	CAINCLOSS	COST BASIS	<u> 680CEEDS</u> 2 <b>V</b> LES	SCRIPTION OF PROPERTY ACQUIRED
00 0	-02 585'9	00.860,81	OB OTL'9	SHARES: 900 00 SOLD 03-99
				ALLIANT TECHSYSTEMS INC COM
00 0	21 562'Z	PS 226'[	4,216.36	SHARES 50 00 SOLD 03-12-01 ACQUIRED 08-14-97
00 0	61 568'9	06 951,2	60.699,51	2HVKE2 120 00 20-15-01
				ACQUIRED 08-15-97
00.0	92 782,8	57 135,8	01.648,21	SHARES 150,00 SOLD 03-12-01 ACQUIRED 09-29-97
uu u	2 062 01	11 971 6	71 716 9	
00 0	Z'025 02	5,164 33	92'912'5	SHARES SO OO SOCD 03-12-01
				SS42331 EFF 4/11/01 *REGRE TO MAXIM INTEGRATED PRODUCTS INC *S42331 EFF 4/11/01
00 0	29.271,81	26 SSb'L	52'621 2d	SHARES: 800.00 SOLD 03-12-01
				VCONIKED 08-16-96
				MUTUAL RISK MEMT LTD COM
00 0	·68 £9L'b	00 SB1'01	11 125'5	SHARES 700 00 55-12-01
				VCONIKED 11-18-33

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERNARY PENSION MANAGEMENT

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

07-01-00 THROUGH 06-50-01

01249 ACCOUNT NO. 26-69329 52870 AAB

BDA9 E₹

00 0	55 255'21	96 16S'S	18,134 59	10-12-01	ZOFE	00.009	SHARES
						INTEGRATED	#REORG DALLAS SE MERGER TO MAXIM S542331 EFF 4/11
00 0	ZS 99£'ī	02 722,2	50 pOT, p	11-06-98 03-13-01	VCGNIKED 20FD	100.00	SHARES
						COW	BERKLEY W R CORF
00.0	Z5.0Z9'b	00.787,8	S2 702,8	10-21-20 11-18-97	VCONIKED 20FD	100 00	SHARES
00 0	98 840'5	73.8Sč.p	£2.702,8	10-01-01 02-12-01	VCONIKED 20rd	100 00	SHARES:
						LEWS INC COM	ALLIANT TECHSYST
00 0	- 55.911	88.022,1	99 911	11-52-66 02-15-01	CONIKED COLD	00.001	ZHVEZ
00.0	- 42 742,2	73.073, <i>p</i>	2,323.33	11-55-88 02-15-01	VCONIKED 20rd	200 00	SHARES ·
00.0	-16.832,5	PS 185'P	25.25.2	11-18-88 02-15-01	ZOLD SOLD	200.00	SHARES.
28% RATE CAIN/LOSS	SSOJVIA2	COST BASIS	SAGCEEDS SALES	DATE SOLD A ACQUIRED		DPERIY	DESCRIBITION OF PRO

MODULE RISK MEMT LTD COM

ACQUIRED 09-16-96

SURDNA FOUNDATION, INC - NEUBERGER & BERNAR FENSION MANAGEMENT

AAB 07822 ACCOUNT NO 26-69329 65210

META GROUP INC COM

b٤ PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

	00.0	77.888 <sub>1</sub> 9	76 267,S	<b>54 621'6</b>	06-91-60 02-12-01	SOLD SOLD	00 0	20	SHARES:
								KIN INLECS	#REORG DALLA Werger to Ma S42331 EFF
	00.0	-25 656'T	[6 222'b	88 292'Z		VCONIKED 20FD	00 0	20	SHARES
	00.0	-65 258	88.792,1	62 551		VCONIKED ZOFD	00.0	0 I	SH <b>Y</b> KE2:
							MO:	о атл тнэм	MUTUAL RISK
	00.0	86 910'9	76.26T,S	56 578,8	08-18-88 02-14-01	VCGUIRED SOLD	00.0	20	2H <b>V</b> KE2'
					· ·	_		XIM INTEGR	#REORG DALLA AERGER TO MA Seassal Eff
	00 0	-00 628	88.792,£	88.827	11-58-88 02-12-01	VCGUIRED SOLD	00.0	0 T	2H <b>V</b> KE2:
	00.0	-11 955,3	98. <b>78</b> 9,51	£0 151'9	11-54-66 02-12-01	VCONIKED 20rd	00 0	08	2H <b>y</b> ke2.
)	00.0	-66 £95'I	97.101.5	11 TEB, 1	11-52-66 02-12-01	SOLD	00 0	02	2HVKE2:
	SBX RATE GAIN/LOSS	CVINTOZZ	SISA4	SALES	DATE SOLD. ACQUIRED			- PROPERIY	DESCRIPTION OF

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO. 26-69329 65210

SŁ PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

28% RATE <u>Grin/Loss</u>	CAIN/LOSS	7200 212 <u>4</u> 4	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
00 0	-20 >11'1	98 00 <b>5</b> ′ī	64 982	SHARES: 100.00 SOLD 03-15-01 ACQUIRED 12-03-99
				MUTUAL RISK MGMT LTD COM
00.0	-52 : 589	77.702,1	22 221	SHARES 100 00 SOLD 03-15-01 ACQUIRED 12-06-99
00.0	-85 T69'Z	8b 085'5	06 888'Z	SHARES 400.00 SOLD 03-15-01 ACQUIRED 12-07-99
00 0	-59'501	88 925'[	722,23	SHARES: 100.00 SOLD 03-15-01 ACQUIRED 12-09-99
				#REORG DALLAS SEMICONDUCTOR CORP COM STK MERGER TO MAXIM INTEGRATED PRODUCTS INC 2542331 EFF 4/11/01
00 0	<b>18 284,11</b>	96°165'5	12.750,71	SHARES 600.00 SOLD 03-16-01 ACQUIRED 09-16-96
				MUTUAL RISK MEMT LTD COM
00.8	-75.294,5	<b>99 08</b> 2'5	72.880,S	SHARES, 300 00 SOLD 03-16-01
00.0	-25'901'9	PS 888'S	S8 [87,S	SHARES: 400 00 SOLD 03-16-01 ACQUIRED 12-10-99

S245223 ELE 4\11\01 MERCER TO MAXIM INTEGRATED PRODUCTS INC \*KEOKG DALLAS SEMICONDUCTOR CORP COM STK

#### SURDNA FOUNDATION, INC - NEUBERGER & BERNAN PENSION MANAGEMENT

07822 BAA ACCOUNT NO 26-69329 65210

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

9 L

PAGE

	00 0	88 548'5	16 56L'Z	28.073,8		PCGUIRED SOLD	200 00	2HPKE21
							77 ZEWICONDUCTOR 17 SEWICONDUCTOR	
	00.0	p6.9pI,S	06 690'Z	b8'9{Z'b		VCONIKED 20FD	00 05	ZHVKEZ
	00.0	P2.858,S	05.568.1	b8'91Z'b		VCGNIKED 20rd	00.02	SHARES,
						i	ISYSTEMS INC COM	ALLIANT TECH
	00 0	-22 511	91 165'1	<del>2</del> 2.55 <i>1</i>		VCGN1KED 20FD	00 001	· SARHS
							моэ аті тмәм	MUTUAL RISK
	00 0	-62.68p, I	28 206'Z	<b>5</b> 9 919'1		SOLD SOLD	200 00	2H <b>v</b> ke2·
	88.8	-82.172,S	82.862,2	2,121 80		VCONIKED 20rd	20 005	SHARES
							ноэ атл тнэн	MUTUAL RISK
)	00.0	89 064'41	16 782,8	65 871, 65		VCGNIKED 20FD	00 006	SHARES
	28% RATE GAIN/LOSS	SSOT/NIVO	T202 212A4		DATE SOLDV		XINBGORG:	DESCRIBLION OF

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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#### SURDNA FOUNDATION, INC. - NEUBERGER & BERMAN PENSION MANAGEMENT

01249 ACCOUNT NO. 26-69329 SS870 AAB

CCONNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

	28% RATE GAIN/LOSS	<u>eatn∠loss</u>	TSOO EISA4	SALES	DATE SOLD/		PROPERTY	DESCRIBLION OF
)	00 0	16.118,6	05 659'b	[9' [55'5 <u>[</u>		SOLD ACQUIRED		SHARES
	00 0	-91 276,5	<b>99.708,2</b>	05 858,5		VCONIKED 20rd		MUTUAL RISK SHARES:
							S SEMICONDUCTOR XIM INTEGRATED 4/II/01	
	00 0	80 851,2	07.887,S	87 [53,8		VCONIKED 20FD	00.000	: 238AH2
	0 0 σ	05 I87.7	2,594.30	07 275,11		SOLD SOLD	00 005	SHARES
	00 0	89.269.2	2,838 29	8'22J 11		VCONIKED 20FD	300 00	SHARES
							мемт гтр сом	MUTUAL RISK
	00 0	->1 292'Z	72.10p,p	2,058 13		VCONIKED 20FD	200 00	SHARES.
	00.0	-69.918	90 565'[	<b>12 619</b>		SOLD SOLD	100 00	2HARES:

S245221 EEE 4/11/01 #EEGEE TO MAXIM INTEGRATED PRODUCTS INC #REORG DALLAS SEMICONDUCTOR CORP COM STK

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07822 VCCONNI NO Se-68258 01546

MUTUAL RISK MEMT LTD COM

07-01-00 THROUGH 06-30-01 ΒL ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **PAGE LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	•22 SS•	19 115'I	656.45		PCGUIRED SOLD	SHARES
					MOD QT.	MUTUAL RISK MGMT L
00.0	<i>11</i> 102,5	00 060'9	LL 165'8		SOO.OO SOLD Acquired	SHARES
00.0	50 061'81	IO 659'55	90.628,29		3,700 00 ACQUIRED	SHARES
				0.	EEE 6/8/01 Conics Sik Werger I	#REORG KENT ELECTR
00.0	- 50 . 326	78 IT2, I	£9.219		100.00 SOLD Acquired	2H <b>P</b> KE2 1
00 0	-29 194'Z	15.803,2	88 958'l		SOCO SOLD ACQUIRED	SHARES
					MOD GT.	MUTUAL RISK MGMT L
00 0	89.116,8	02'896'1	84 648'5		SOO OO SOFD	SHAKES
00.0	81.446,71	58. Þ[5.8	50 659'98		OOO.OO SOLD Acquired	2HARE2 ·
28% RATE <u>2201\NIAÐ</u>	<b>EAIN</b> ∠LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	ERIX	DESCRIBLION OF PROPE

#### The Northern Trust Company 50 south Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

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#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERCER &

BAA 02855 ACCOUNT NO 26-69329 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

	0 O O	-70.082,S	00 510,8	26 962	75-08-66 02-56-01	SOLD SOLD	00.00S	SHARES
)	00.0	-26 985'25	05.152,75	82.826,4	15-02-65 02-56-01	SOLD SOLD	00'009'Z	SHARES
							ис сом	META GROUP I
	00.0	-18,489,81	95.602,81	88 920'\$	15-02-89 02-58-01	SOLD	00.001,1	SHARES
							ис сом	META GROUP I
	00.0	۲۶:۶۶۶, E	59.526,5	21 185'8	10-21-86 02-58-01	VCONIKED 20FD	200 00	SHARES
							Z ZEMICOMDUCTOR Kim integrated Galicom	
	00 0	₽8 <b>206</b> , ¶	2,936 60	<b>99 298</b> 'II	10-21-01 10-21-01	SOLD	00.00>	2H <b>V</b> KE2 1
							ל∖זז∖oז XIW INLECKATED SEWICONDUCTOR	
	00.0	-07 226	L9 1L5'T	L6 LS9	15-50-66 02-52-01	SOLD ACQUIRED	00 001	SHARES
	28% RATE GAIN/LOSS	CVIN/F022	C057		DATE SOLDV ACQUIRED		EROPERIX	DESCRIPTION OF

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA ACCOUNT NO 26-69329 02888

01546

ALLIANT TECHSYSTEMS INC COM

08 PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00.0	09 950'[	998'S	58.562,4	ED 11-52-68 10-11-01	S 00 001 AIUDDA	SHARES:
					H	BEKKTEA M K COKB CO
00 0	\$0 959'b	67.681,2	Z8 561'8	ED 04-12-08 PD 04-11-01		ALLIANT TECHSYSTEMS
00 0	50.168,2	61 6£1'b	98 OZO'6	86-17-50 Q3 70-07-07		SHARES
					INC COM	ALLIANT TECHSYSTEMS
00 0	Z0 965'S	56,528,5	72.322.8	ED 10-21-89 ICD 02-58-01	200 00 ACQUIR	SHARES.
					EGRATED PRODUCT	#REORG DALLAS SEMIC Merger to maxim int S542531 eff 4/11/01
00 0	- <b>£</b> 0'51Z <b>'</b> I	05.SIP,!	15 L6I	ED 15-08-88 FD 02-58-01	TOO OO VCONIN	SHARES:
00 0	-99.962,5	85 168'Z	26 65	ED 15-08-88	SOO.005 ATUQDA	SHARES
00.0	-9 <b>2 IZZ'</b> SI	26.062,71	95 692'Z	ED 15-01-88	, 200 00 ACQUIR	SHARES: 1
SEX RATE	CAIN/LOSS	T203 <u>21244</u>	PROCEEDS SALES	DATE SOLD	π	DESCRIBLION OF PROPER

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illimois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69329

07822 65210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	S8% RATE CAIN/LOSS	<u>eain/loss</u>	C057	SWOCEEDS SWIES	DATE SOLD/		PROPERTY	DESCRIPTION OF
)	00 0	59 ST9'5	67 <b>6</b> 21,2	pp.227,8	04-12-68 04-12-01	VCONIKED 20FD	JOO OO	SHARES:
	00 0	-85 155,1	<b>59.</b> 519 <b>.</b> 1	263 26	00-11-10	SOLD ACQUIRED	00.001	SHARES
	00.0	-06 122'T	52'109'I	SS 898	00-15-00 00-15-00	SOLD SOLD	100.00	SHARES.
	00 D	- 91. 622, 1	05 Z65'T	263.26	00-£1-10 10-81-60	SOLD SOLD	00 001	2HARES;
	00 0	-18 0ZZ'I	∠1 >85'1	263 36	00-51-10 10-81-50	SOLD SOLD	00.001	2H <b>V</b> KE2·
	00 0	-55.788,2	92 792,2	17.857	00-81-10 10-81-50	SOLD SOLD	200 00	2HARES:
	00.0	-11 912,1	£1 059'I	36.235	00-61-10 10-81-50	ZOFD ZOFD	100 00	2H <b>P</b> KE2·
	00 0	-90.552,1	25 SI9'I	263 36	00-02-10 10-81-50	ZOCD ZOCD	100 00	2HARES:
	00'0	1,291 03-	8 <b>£</b> 559'[	263 535	00-12-10 10-81-50	VCONIKED 20FD	00 001	SHARES

#### The Northern Trust Company

50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

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#### ЗИВВИА РОИНВАТІОН, ІИС. - ИЕИВЕКСЕЯ & ВЕКМАН РЕИЗІОН МАНАСЕМЕНТ

01269 ACCOUNT NO. 26-69529

FEDERAL SCHEDULE OF GRING AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD ACCOUNT TRANSACTIONS 36-30-01

STAN XBS SECULLIAD	SECIALLOSS.	TZCC ZIZAA	SALES PROCEEDS	VOJOS STAU QBAIUQDA		PROPERTY	DESCRIBITOR OF
00.0	2,929 91-	66.818,2	B0 060'I	00-52-10 10-81-50	SOLD ACQUIRED	00.002	SHARES
00 0	-29 505'1	L6:999't	52'292	00-52-10 10-81-40	VCONIKED 20FD	00.001	: SBRAKS
00 0	-96'925'9	27.292.8	67 318,1	10-81-PD 00-70-50	SOLO GBRIUGDA	00.002	SHARES
88.8	-95 011'1	86 574,1	95'595	05-16-00 04-18-01	ACQUIRED Sold	aa aat	SHARES
00.0	-62.652.2	00.381.2	17 257	00-11-20 00-11-20	SOLD SOLD	200 00	SHARES
00 0	-62.251,1	57 892,1	265 36	00-81-20 10-81-50	SOLD SOLD	00 001	SHARES
סם ס	-85 B80'1	<b>58.129,1</b>	35.232	06-18-01	SOLD SOLD	100.00	SHARES
a a · a	-67 a81'Z	02	126,71	05-22-00 04-18-01	SOLD SOLD	00 002	SHARES
00.0	-29:160'2	5, 818,5	726 72	00-52-20 10-81-50	SOLD SOLD	200 00	SHARES
00 0	-62 880,S	00 518'2	17.857	00-82-20 04-18-01	ACQUIRED SOLD	500 00	: ZBRAHZ

#### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERNER FOUNDATION MANAGEMENT

ACCOUNT NO 26-69329

07822 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

82

	28% RATE <u>Cain/Loss</u>	SS07/NIV5	COST EASIS	SALES PROCEEDS	DATE SOLD		утязаояа	DESCRIBLION OF
	00'0	-52 S50'T	1,395.89	263 36	00-62 <b>-</b> 20 10-18-01	VCONIKED SOFD	00 00T	SHARES
	00.0	->6 666'I	99 921'2	ST. 8ST	00-10-£0 10-81-50	ACQUIRED SOLD	00.005	SHARES
	00.0	-15 656,81	00 065'\$Z	£p.025,8	00-18-01 00-18-01	VCONIKED 20FD	00 008'T	2H <b>y</b> ke2:
							ноэ атл тмэн	MUTUAL RISK M
	00.0	-89 212.1	۲9°1/5′1	66.722	10-18-01 10-18-01	SOLD SOLD	00.001	2HARES:
	00.0	-21.238,2	80.262,8	96 I£b'I	12-21-90 14-18-01	SOLD SOLD	00.002	2HARES:
	00 0	-05.626,5	92.210,2	96 £70,1	75-53-99 04-18-01	SOLD SOLD	300.00	SHARES
	00 0	-09 288'2	95 156'5	96 £10'T	10-81-50 12-57-99	ACQUIRED Sold	00.002	SHARES
)	00.0	-65.065,I	85 8 <b>59</b> 'ī	66 192	66-62-21 10-81-50	ZOFD ZOFD	00.001	SHARES
	00.0	-07.226,2	99.700,2	96 270'I	15-21-66 04-18-01	VCONIKED 20rd	200 00	SHARES

## The Northern Trust Company 50 south Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

90**A**9 94

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

ACCOUNT NO 26-69329

DZ8SS 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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FAIR ISAAC & CO INC COM

# The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 07822 ACCOUNT NO 26-69329 01546

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

28% RATE GAIN/LOSS	SS07/NIV5	C057		DATE SOLDV ACQUIRED		PROPERTY	DESCRIBLION OF
00.0	85'[59']	Z9 98Z'L	01.856,21	01-21-66 02-03-01	SOLD SOLD	00 002	SHARES
00 0	09 TOL'E	12.299,2	16 555'L	10-20-20 66-72-70	SOLD ACQUIRED	00 001	FAIR ISARC &
00 0	pp 181,8	<b>፲</b> ድ ፍቃ <b>9</b> 'ፍ	27 262,7	06-09-01	VCGUIRED SOLD	T00'00	FAIR ISAAC &
00 0	99'09L'S	15.593,5	76 £02,7	66-12-10 10-01-50	VCONIBED 20rd	T00.00	FAIR ISAAC &
00.0	81 188.2	2,645 31	69.925.7	06-11-60	VCCONIKED SOFD	700 00 CO INC COW	FAIR ISAAC &
00.0	55.753,8	Z> 165'9	76 820,21	66-01-80 10-11-90	ACQUIRED SOLD	00 002	SHARES
00.0	<b>ይ፤ ጀዐ</b> ዖ,ፕ	99 918,2	18.777,51	86-02-20 10-11-50	VCGNIKED ZOFD NC COM ZIK	SHIPBULLDING I	SHARES:

#### The Northern Trust Company 50 South LeSalle Street, Chicago, Illinois 60675 (312) 630-6000

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07822 8AA VCCONNI NO Se-69259 01546

NEMBORT NEMS SHIPBUILDING INC COM STK

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

98

HARES: 100 00 SOLD 05-11-01 6,388 90 2,657.05 5,731.85 0 00 00 00 00-11-01 4,388 90 2,657.05 5,731.85 0 00 00 00 00-11-01 4,388 90 2,657.05 5,057.05 0 00 00 00 00-12-01 4,365 59 2,505 50 3,731.18 0.00 0 00 00-12-01 6,365 59 2,505 50 3,731.18 0.00 0 00 00-12-01 6,365 59 2,305 50 3,711.18 0.00 0 00 00 00-12-01 6,365 59 2,305 50 3,711.18 0.00 0 00 00 00-12-01 6,365 59 2,305 50 3,710.58 0 0.00 0 00 00-12-01 6,365 59 2,305 50 3,710.58 0 0.00 0 00 00 00-12-01 6,364 83 2,305 50 3,710.58 0 0.00 0 00 00 00-12-01 6,364 83 2,374 25 3,710.58 0 0.00 0 00 00 00 00 00 00 00 00 00 00	NEMBOK.
ACQUIRED ACQUIRED 04-25-98  T NEWS SHIPBUILDING INC COM STK  THERS: 500.00 SOLD 05-15-01  HARES: 500.00 SOLD 05-16-01  ACQUIRED	
HARES. 500 00 SOLD 05-11-01 19,166 72 7,888 80 11,277 92 0 000	s
ACQUIRED 04-23-98  T MEMS SHIPBUILDING INC COM STR.  ACQUIRED 05-14-01  ACQUIRED 04-24-98  T NEWS SHIPBUILDING INC COM STR.  ACQUIRED 05-14-01  AC	S
ACQUIRED 04-23-98  T NEWS SHIPBUILDING INC COM STK  T NEWS SHIPBUILDING INC COM STK  ACQUIRED 04-24-01  B 0 - 11-01  ACQUIRED 04-24-02  B 0 - 26-14-01  ACQUIRED 04-24-02  B 0 - 26-14-01  ACQUIRED 04-24-08  B 0 - 28-14-01	
HARES. 500.00 SOLD 05-11-01 19,166 72 7,888 80 11,277 92 0.00  T NEWS SHIPBUILDING INC COM STK  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98	FAIR I
HARES. 500.00 SOLD 05-11-01 19,166 72 7,888 80 11,277 92 0.00  T NEWS SHIPBUILDING INC COM STK  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98  ACQUIRED 04-24-98	
ARRES. 500 00 SOLD 05-14-01 19,166 72 7,888 80 11,277 92 0 00 00 THRES. 500 00 SOLD 05-11-01 19,166 72 7,888 80 11,277 92 0 00 00 THRES. 500 00 SOLD 05-14-01 6,363 39 2,629 60 3,733.79 0.00	S
ARRES. 500 00 SOLD 04-24-98 T NEWS SHIPBUILDING INC COM STK  19,166 72 7,888 80 11,277 92 0 00  T NEWS SHIPBUILDING INC COM STK	
ACQUIRED 04-23-98 HARES. 500 00 SOLD 05-11-01 19,166 72 7,888 80 11,277 92 0 00 ACQUIRED 04-24-98	S
HARES. 500 00 SOLD 05-11-01 19,166 72 7,888 80 11,277 92 0 00	ИЕМЬОК
ACQUIRED 04-23-98	
	s
	S
ACQUIRED 04-22-98	
HARES: 100 00 SOLD 05-11-01 6,388 91 2,695.00 5,695.91 0.00	
TON OF PROPERTY ACCULATED PARTS GAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS	S

#### The Northern Trust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA ACCOUNT NO 26-69329

NEMBORT NEWS SHIPBUILDING INC COM STK

07822 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

00'0	92.813,4	56 999'Z	12 882,7	66-20-80 10-81-50	PCGUIRED SOLD	00.001	SHARES
						CO INC COM	FAIR ISAAC &
00.0	20.875,2	26 986'Z	76 535,T	66-75-80 10-71-80	VCONIKED 20rd	00.001	SHARES
						CO INC COM	FAIR ISAAC &
00 0	25.528,5	52.472,2	87.812,8	86-22-90 10-91-90	SOLD ACQUIRED	00.001	SHARES
					NC COM STK	антрвитсртие т	NEMPORT NEWS
00 0	69 989'L	80 /51'5	72 167,51	86-01-40 10-91-50	SOLD	200.00	SHARES
					ИС СОМ ЗТК	SHIBBNIFDING I	NEMBORT NEMS
00 0	82.072,11	OS 916'6	81 986, IS	66-11-80 10-91-50	VCONIBED 20rd	200.00	SHARES.
						CO 1NC COM	FAIR ISAAC &
00.0	19 962'11	27 227,7	92 610'61	86-22-90 10-51-50	ZOLD SOLD	200 00	SHARES
28% RATE GAIN/LOSS	<u>CAIN/LOSS</u>	T203 <u>212Aq</u>	SALES	DATE SOLDV		PROPERTY	DESCRIBLION OF

#### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

88 PAGE

00.0	75.188,7	07.170,2	70.887,S1	SHARES: 200.00 SOL. 01.88 ACQUIRED 08-70-98
				NEMBORT NEWS SHIPBUILDING INC COM STK
00 0	62.458,4	51.191,5	ÞĨ 98£'∠	SHARES: 100.00 SOLD 05-23-01 ACQUIRED 09-03-99
				FAIR ISAAC & CO INC COM
00.0	LL:8LL'9	00 OSS'S	77.85č,S1	SHARES: 500 00 SOLD 05-23-01 ACQUIRED 10-21-96
				CACI INTL INC CL A
00.0	ZL 585'5	00 00Y,&	27.285,8	SHARES 200 00 SOLD 05-23-01 ACQUIRED 10-21-96
				CACI INTL INC CL A
00.0	6Z'Z89' <i>L</i>	80.721,2	72.628,51	SHARES: 200.00 SOLD 05-22-01 ACQUIRED 07-10-98
				NEMPORT NEWS SHIPBUILDING INC COM STK
00.0	Zþ. 628, ž	P2.872,S	96 105'9	SHARES: 100.00 SOLD 05-22-01 ACQUIRED 07-10-98
SBX RATE	CAIN/LOSS	C0ST <b>BASIS</b>	SWOCEEDS 2VCES	ESCRIPTION OF PROPERTY ACQUIRED

CACI INTL INC CL A

OVBSS

67210

ACCOUNT NO. 26-69329

#### The Vorthern Trust Company 50 South LaSaile Street, Chicago, Illimois 60675 (312) 630-6000

PACE 89		BERMAN PENSION MANAGEMENT PEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD O7-01-00 THROUGH 06-30-01					8AA 01822
28% RATE GAIN/LOSS	ēvintrozē	T200 212A&	SALES PROCEEDS	NATE SOLD		<b>ХТЯЭ</b> ЧОЯ	DESCRIPTION OF P
00 0	69 520'21	54 860'6	55.611,15	96-12-01 10-52-50	VCONIKED 20rd	60.002	SHARES:
00 0	91 652'L	18.519,2	79.179,SI	96-22-01 10-52-50	VCONIKED 20FD	300.00	SHARES
00 0	18 05L'b	11 257,5	85 265'L	10-52-50	COCD	100 00 O INC COM	FAIR ISAAC & C
				66-51-60	ACQUIRED		FAIR ISAAC & C
00'0	בו ובל,ף	22 T88,S	82 819'L	06-11-60 02-52-01	VCGUIRED SOLD	00 001	SHARES.
00 0	78 SI8.P	05 S08'Z	72.812,T	66-10-01 02-52-50	VCONIKED ZOLD	00.001	SHARES
					ис сом атк	HIPBUILDING I	NEMPORT NEWS S
00 0	92	58:555'Z	6,585 II	86-70-80	VCGUIRED SOLD	00 00T	2H <b>A</b> KE2:

25.285,8

81.777, 22 92 803,8

00 0

EVIR ISAAC & CO INC COM

SHARES CACI INTL INC CL A

200 00

ACQUIRED 10-22-96

ZOCD 02-50-01

#### The Northern Trust Company 50 south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

		SURDMA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT					DIZ49 ACCOUNT NO
35 <b>∧</b> 9 90		THE PERIOD LOSSES					
28% RATE Gainzloss	CAINZLOSS	DATE SOLD SALES COST ACQUIRED PROCEEDS BASIS				SERIX	DESCRIPTION OF PROB
00.0	Z9 9ZL'S	25.976,8	<b>78 SOT, T</b>	10-58-88 02-58-01	VCONIKED 20rd	00 00T	SHARES
00 0	05 IIE,S	00.008,I	05 111'5	96-52-01 10-02-50	VCONIKED 20FD	00 001	CACI INTL INC CL
						INC COM	FAIR ISAAC & CO
00 0	85 558'\$	T8 £98'£	62 60 <i>L</i> 'L	10-58-68 10-02-50	ACQUIRED SOLD	00 001	2H <b>y</b> ke2.

90 818'L

09'555'L

£8.820,2

00.0

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15.810.4

3,633 56

28 852'2

2,801 75

\$0'II6'£

00 008'T

WICKOZ ZAZ INC COM

FAIR ISAAC & CO INC COM

FAIR ISAAC & CO INC COM

SHARES

SHARES.

SHARES

CACI INTL INC CL A

100 00

100 00

100 00T

ACQUIRED 11-11-99

ACQUIRED 11-05-99

ACQUIRED 10-25-96

10-90-90 GTOS

10-10-90 GTOS

10-10-90 GTOS

## The Northern Trust Company 50 South Lassale Street, Chicago, Illimois 60675 (312) 630-6000

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07-01-00 THROUGH 06-50-01

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

LEDEKAL SCHEDULE OF GAINS AND LOSSES BERMAN PENSION MANAGEMENT BAA 04888 SURDNA FOUNDATION, INC. - NEUBERGER & ACCOUNT NO. 26-69529 65210

							EEF INC COM
00 0	-21'059'1	00 052'5	88 669'£	00-20-50 10-80-90	VCONIKED 20FD	00 002	SHARES:
							EEF INC COM
00 0	-27.108	00 929'2	75 258,1	00-20-90 10-20-90	SOLD	00 001	SHARES
00.0	-SS.887	2,612 50	82 228'I	00-£0-50 10-20-90	SOLD SOLD	100 00	SHARES
							EEF INC COM
00 0	-89.055,7	00 SZT'9Z	75. p03,81	00-20-50 10-90-90	SOLD SOLD	00 000'T	SHARES
							ECT INC COM
00 0	-51 568'I	£0 Z00'b	82 90T'Z	00-21-50 10-50-90	SOLD SOLD	00.001	SHARES:
						MO	MICKOS SAS INC CO
00 0	-62 9\$2'9	00.278,21	17.066,11	00-£0-50 T0-50-90	SOLD SOLD	00 009	SHARES
							EEF INC COM
00 0	-86.802,21	42.810 <b>,</b> 58	9L L0S'9I	00-21 <b>-</b> 50 10-50-90	VCONIKED SOLD	00 008	2H <b>V</b> KE2·
28% RATE	SENTRES	SISA4 COST	SALES	DATE SOLD/ ACQUIRED		<b>SERIX</b>	DESCRILITON OF PRO

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illmous 60675 (312) 630-6000

בבבביי בהוקבווו ב כב ליווט וויים ויים			
SURDNA FOUNDATION, INC - NEUBERGER & BERNAMENTION MANAGEMENT	62569-92	ON THUODDA AAA	01249 01885

**WICKOZ 2A2 INC COM** 

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 01-01-01

00 0	-66.728	02 212.4	12.732,2	00-91-90 10-21-90	VCONIKED 20FD	200 00	SHARES
00.0	-21.815,1	96.100,21	SS.287,11	00-12-00 10-21-90	VCGNIKED ZOFD	00.007	SHARES
							ECT INC COM
00 0	۲0.686, <i>p</i>	00.009,5	ZO 685'8	96-52-01 10-21-90	VCGNIKED 20FD	200 00	SARAHS
							כשכו וחדר ואכ כר א
00 0	-89 011	25 T28,1	5L 989'T	00-51-50 10-11-90	VCONIBED 20rd	00.001	SHARES.
00.0	-86 256	55.830,11	95'021'01	00-51-50 10-11-90	VCGNIKED 20rd	00 009	SHARES
							ECT INC COM
00 a	-58.119,1	4,002.03	0Z 060'Z	00-21-50 10-80-90	VCGNIKED ZOFD	00.00f	SHARES
							MICKOZ ZAZ INC COM
00 0	-17.295,8	08'005'01	25 205,7	00-20-50 10-80-90	VCGUIRED SOLD	00 005	SARARS
28% RATE Grin/Loss	GAIN/LOSS	T203 212 <b>A£</b>	SALES PROCEEDS	DATE SOLD/ ACQUIRED		XIX	DESCRIBITION OF PROPE

# The Northern Trust Company 50 south Lassile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER & ACCOUNT NO. 26-69529

07822

01546

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	28% RATE GAIN/LOSS	CVINCLOSS	212 <u>A4</u>	<u>SGEEDS</u> 2 <b>V</b> LES	DATE SOLD		SERIX	DESCRIPTION OF PROP
	00 0	-01.500,5	£0 S00,2	1,999 93	02-15-00 10-15-01	VCGUIRED SOLD	00 00T	SHARES ;
								EEF INC COM
	00 0	-80,290,S	10,538.00	26 \$55'8	02-18-00 12-01	VCONIKED 20FD	00 005	SHARES
	00 0	-22 115	5,100 00	87.883,1	00-11-90 10-11-90	VCONIKED 20FD	00 00T	SHARES:
							н	WICKOZ ZAZ INC CO
	00.0	-5£ 866'T	£0 200'b	89.800,S	00-21-90 10-21-90	VCONIKED ZOFD	00.001	SHARES
								ECT INC COM
	00 0	-16 206	05 202'5	29 862'2	00-81-50 10-51-90	VCGUIRED 20rd	00.00S	SHARES:
	00.0	-60 558	Z9 \$51'b	£2 865,£	00-22-90 10-51-90	VCONIBED 20FD	00.002	SHARES
)								ECF INC COM
	00 0	-28.872	18 9/0'2	66 665'T	00-ZZ-50 10-SI-90	VCONIBED 20rd	00.001	SHARES

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

8AA OVBSS ACCOUNT NO 26-69329 65210

56 ₽AGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

								ECT INC COM
	00.0	-09.672	6Z.8Z0,Z	69 B>5'T	00-02-50 10-61-90	SOLD SOLD	00 001	SHARES
								ECT INC COM
,	00.0	-19 IB1	8> 078,8	I8 880'S	00-12-50 10-61-90	SOLD SOLD	200 00	SHARESI
								ECF INC COM
	00 0	-29 196	85 <b>9</b> 50'5	56 ÞII'\$	00-02-50 10-81-90	VCGUIRED SOLD	00.002	SHARES
								ECT INC COM
	00.0	<i>Γρ. Γ</i>	1.39	98 8	56-50-10 10-51-90	VCGNIKED 20FD	05.0	SHARES
								XTO ENERGY INC COM
	00'0	-01 521152 10-	£0 Z00'b	£6.878,1	00-21-50 10-51-90	SOLD ACQUIRED	100 00	SHARES,
								WICEOS SAS INC COM
	00 0	-25 295	05.290,2	86 665'1	00-52-50 10-51-90	SOLD	00 001	SHARES:
)	00 0	-20 006	80.601,2	76 991, E	00-\$2-90 10-91-90	VCGNIKED 20fd	00.002	2HPKE2·
	SSX RATE CAINLOSS	CAIN/LOSS	COST BASIS	PROCEEDS PROCEEDS	DATE SOLD/ ACQUIRED		XI.	DESCRIPTION OF PROPER

#### The Northern Trust Company

(315) 630-6000 50 South LaSalle Street, Chicago, Illinois 60675

BIO TECHNOLOGY GEN CORP COM 00-10-90 ACQUIRED 00.0 1,255.53-7,565,52 66 121'9 10-22-90 anos 00.002 SHARES 00-12-50 **VCGUIRED** 00.0 -62 205 1,935.24 1'225 00 10-22-91 anos 00 00T SHARES ECT INC COM ACQUIRED 05-12-00 00.0 -58 961'2 4,002 03 81 708,1 10-12-90 anos 100 00 T SHARES WICKOZ ZAZ INC COW 00-11-50 ACQUIRED 00.0 -25.28£ 1,935.24 ZT. 622, I 10-12-90 อาดร 00 00 T SHARES ECT INC COM 02-15-00 ACQUIRED -60'522'2 00 0 £0.500,p 56 99L'I IO-0Z-90 SOLD 100.00 SHARES. WICKOZ ZAZ INC COW 00-12-50 ACQUIRED 55.560,5 00 0 -26.917 82 DY8, & 10-02-90 2010 200 00 SHARES **CAIN/LOSS** CAIN/LOSS SISA PROCEEDS **VCOUIRED** DESCRIPTION OF PROPERTY 28% RATE COST SALES DATE SOLD/ 56 07-01-00 THROUGH 06-30-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES BERMAN PENSION MANAGEMENT 8AA 07822 ACCOUNT NO SURDNA FOUNDATION, INC - NEUBERGER & 56-69529 67210

80 955'18

08 982'15

00 0

82 652'95

\*\*SCIFE COW SIK DO NOI DSE SEE

00 004'4

ACQUIRED 02-64-99

10-52-90 G70S

2EC#5521210

SHARES

# The Northern Trust Company 50 south Lasale sucet, Chicago, Illinois 60675 (312) 530-6000

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

SZB10 ACCOUNT NO. 26-69529 65210

01-01-00 THROUGH 06-10-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDENAL SCHEDULE OF GAINS AND LOSSES

96

SSCALNIAD GAINLLOSS	EVINTEDEE	COST BASIS	SALES PROCEEDS	DATE SOLD		OPERIX	DESCRIBLION OF PR
0 ° 0	65 (69'5	05'Z99'S	60.095,01	02-15-66 06-25-01	G SOLD ACQUIRED	00 009	2HARES ;
00.0	£6.808.7	06 099'6	28.832.71	66-51-90 10-52-90	ACQUIRED SOLD	00 000'T	SHARES
00 0	1,528 36	1,925.00	92125512	66-91-£0 10-52-90	VCGUIRED SOLD	200 00	SHARES
00 0	22,295 00	Þ£ 256'0Þ	48.748,47	02-11-88 09-52-01	SOLD ACQUIRED	00 00215	2HARES:
00 0	11 762,1	92 9541	<b>ና</b> ይ.ይ፭ቅ,ይ	01-14-00 06-25-01	SOLD ACQUIRED	200.00	SHARES
00 D	Zb 199'[	<b>96 581,1</b>	92 255'2	00-81-10 10-52-90	VCONTRED 20FD	500 00	SHARES
ס ס ס	£> 5>8	SZ 188	89 971'[	01-18-00 10-52-01	ACQUIRED SOLD	00.001	; 238 <b>A</b> H2
00.0	£p.733,1	56.287.1	<b>18 884,8</b>	01-50-00 00-52-01	ACQUIRED SOLD	200.00	SHARES
00.0	98.049,1	02 518,1	98 855'8	01-54-00 0 <b>9-</b> 52-01	G 20LD SOLD	20.002	SHARES
00.0	09.510,2	05 f85,2	01'096'01	01-52-00 09-52-01	SOLD ACQUIRED	00 009	SHARES

DUCOMMUN INC DEL COM

## The Vorthern Trust Company 50 south Lasalle street, Chicago, Illimote 60675 (312) 630-6000

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#### BERMAN PENSION MANACEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA 01888 ACCOUNT NO 26-69329 65210

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

	CPINTFOZZ SBX KPIE	CAIN/LOSS	TSOS SIZA4		DATE SOLD.		. РЯОРЕЯТУ.	DESCRIBLION OF
)	00.0	06 902	08 852'9	07.884,8	96-80-II 10-52-90	VCONIKED ZOLD	00,00>	ZHARES
	00.0	P2 ' 0 1 P	0S.277,7	<b>55 281'8</b>	96-11-11 10-52-90	VCONIKED 20rd	00.009	SHARES
	00 O	65 TS!	05 B16'T	68.220,5	11-12-86 06-25-01	VCONIKED SOLD	00 0ST	238AH2
	00 0	89 405	59 <b>198'</b> II	22 275,21	96-61-11 10-52-90	VCGUIRED SOLD	00 006	SHARES
	00.0	-92 152	<b>51.765,</b> 5	88 3 <b>50</b> 'Z	96-90-21 10-52-90	VCGUIRED SOLD	00.021	SHARES.
	00.0	-16 651	08 502'2	68 S>0'Z	96-LT-ZT 10-SZ-90	SOLD SOLD	00 OST	2H <b>V</b> KE2 <sup>1</sup>
	00.0	-19.131	06 966'01	\$9.6S\$,0I	15-52-69 09-52-01	VCONIKED 20rd	00 054	SHARES:
•	a a a	-27 88	19 501'2	68 550'Z	01-02-01 09-52-01	VCONIKED 20rd	00 051	SHARES:
<b>i</b>	00.0	-29 0	50 0£2'0T	10,229 ¢3	26-90-10 10-52-90	SOLD SOLD	00 052	SHARES
	00.0	-25 04	0Z 980'Z	88.220,5	16-10-10 10-52-90	VCGUIRED SOLD	00 OST	SHARES

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER & ACCOUNT NO. 26-69329

07822

67210

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07-01-00 THROUGH 06-50-61 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	28% RATE GAIN/LOSS	SSOT/NIVO	C05T		DATE SOLD/ ACQUIRED		YIABGORG	DESCRIBITOR OF
	00 0	-85 TOT	<b>79.791,</b> S	68 970'7	16-80-10 10-52-90	SOLD	00 0ST	SHARES.
	00 0	-92.599	06 619'9	99 151'9	∠6-60-₹0 ₹0- <b>5</b> 2-90	VCGUIRED SOLD	00 055	SHARES.
	00 0	-6 <b>2</b> 695'I	09 06 <i>L</i> 'SI	וא נועניטן	26-91-10 10-52-01	ZOLD ZOLD	00 050'T	SHARES.
							E INC COM	CARDNER DENVE
	00 0	76 2 <i>21</i> ,2	10,926 50	7S 688,81	10-52-01	VCGNIKED ROLD	00.007	2H <b>P</b> KE2 ·
	00 0	86 210'2	59.297,7	£9 95L'6	10-52-01 00-52-01	VCGUIRED SOLD	00 005	2HARES:
	00 0	62 272	26,772,1	1,951.32	10-52-01 09-52-01	SOLD SOLD	100 00	SHARES
	00.0	70.012,5	55 952'L	29.951,6	10-53-68 09-52-01	VCONIKED 20rd	00 005	SHARES.
<b>)</b>	00 0	TO 671,2	05'58 <b>2'</b> 9ĭ	15 pap, 15	11-11-88 10-52-90	VCGUIRED SOLD	00 001'1	SHARES
	00 0	21,988,5	05.502,7	£9 95L'6	11-12-68 09-52-01	VCONIKED 20rd	00.002	SHARES

# The Northern Trust Company 50 south LaSalle Street, Chicago, Illimois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

8AA 0 L 8 S S VCCOUNT NO 26-69329 65210

66 **PAGE** 

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	S8% RATE Cain/Loss	<b>CVINCLOSS</b>	BVZIZ COZL	PROCEEDS SALES	DATE SOLD		SERIX.	DESCRIBITOR OF PROP
)	00 0	58.722	65.E02,I	25.129,1	86-91-11 10-52-90	ACQUIRED SOLD	00.001	SHARES
	00 0	TT.83P	55'285'I	Z\$ 156'I	86-21-11 10-52-90	VCGNIKED 20FD	00.001	SHARES
	00 0	56 528'Z	8,885 00	56'404'11	86-81-11 10-52-90	VCONIBED 20FD	00 009	SHARES
	00 0	20 8IS	56 588'5	76 <b>2</b> 28,2	66-11-50 10-52-90	VCONIKED 20FD	200 00	SHARES
	00 0	12 802	bs p65's	59 Z06'\$	02-18-68 09-52-01	VCONIBED 20FD	200 00	2HARES:
	00.0	59 9TS	00.382,8	59.206,8	66-02-50 10-52-90	VCGNIKED 20rd	500 00	2H <b>v</b> ke2•
	00'0	90	LZ 5999'T	££ 156'I	09-53-66 09-52-01	VCGNIKED 20FD	00 00T	SHARES:
	00'0	Z8 S5T	05 <b>508</b> 'T	2£ 156'1	66-90-80 10-52-90	VCGNIKED 20rd	100.00	SHARES
	00.0	Z8 0Zb	05.052,I	1961	66-ZZ-60 10-SZ-90	VCONIKED 20rd	00.001	2H <b>P</b> KE2
	00 0	<b>55 888</b>	SI 210'£	99 206'\$	66-82-60 10-52-90	VCGNIKED 20rd	00.002	SHARES
								ECT INC COM

#### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERNAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

8AA 02888 ACCOUNT NO 26-69329 65210

KOBBINZ & WAEKS INC COM

0 O T PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00.0	2dd 28	05 550'\$	68 662'2	15-16-98 06-25-01	SOLD ACQUIRED	00 001	SHARES
00 0	18 751,8	£6 09Z'>£	₽₹ 8 <b>6</b> ₹,₹₹	86-50-21 10-52-90	SOLD	00 001'1	2HVKE2:
						•	WOOG INC CF
00 0	88 929'52	00.260,85	88 617,53	00-50-10 00-52-90	VCGNIKED ZOFD	00.006,1	SHARES
						WANE INC COM	HETWEBICH & P
00.0	-29 958	00.277,8	82 826'2	00-61-90 10-52-90	SOLD	200 00	SHARES
				00-51-90	ACQUIRED		
00 0	-12.225	05 708,1	61'595'I	10-52-90	SOLD	100 00	SHARES:
00 0	-21 196	I£ 09£'S	88 262,2	00-51-90 10-52-90	VCONIKED ZOFD	00 002	2H <b>v</b> kes:
				00-40-90	ACQUIRED		
00.0	-69 222	88 969'I	61.999,1	10-52-90	SOLD	100.00	2HARES:
00 0	-61.0SS	82 <b>589</b> 'T	61'595'1	00-90-90 10-52-90	SOLD	00 00I	SHARES:
				00-10-90	ACQUIRED		
00.0	- 59 . 9 . 5	1,840 85	61 b9b'I	06-25-01	2010	00 001	SHARES:
S8X RATE GAIN/LOSS	SSOT/NIVO	BASIS COST		DATE SOLDV ACQUIRED		РКОРЕКТУ	DESCRIPTION OF

## The Vorthern Trust Company 50 South LaSaile Street, Chicago, Illimois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 ACCOUNT NO 26-69329 01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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PAGE

	00 0	-15.201	<b>55.021,55</b>	78 pp0,22		SOLD SOLD	2,200 00	SHARES
								MOD 9800 8AA
	00 0	72 SS. 8	os:soo's	76 7SZ, ZI		VCGUIRED Sold	00 055	SHARES
	00 0	ÞI £85'55	00.075,88	88,853 14		VCONIKED 20FD	00 000'\$	SHARES,
	00.0	12 229'5	00 292'\$	I£'588'8		VCONIKED 20FD	200 00	SHARES
	00.0	pz.878, <u>1</u>	25 282'I	77.136,5		VCGNIKED 20FD	00 00τ	SHARES
							COM STK	THOR INDS INC
	00.0	6,511 89	08 550'81	69.728,72		VCONIKED 20FD	00 000'1	2HARES 1
	00.0	-82.722,9	01 589'05	52.850,19		VCONIKED 20FD	00 005'[	SHARES
)	00 0	-16'655'9	09.718,22	69 TZZ, TS		VCONIKED 20rd	1,000.00	SHARES:
	S8% RATE CAIN/LOSS	SSOT/NIVO	C05T		DATE SOLD.		PROPERTY	DESCRIBLION OF

ALBERTO CULVER CO CL A

#### The Northern Trust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERMENT

BAA 048SS ACCOUNT NO 26-69329 01546

APTARGROUP INC COMMON STOCK #0 01 PAR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

ZOI PAGE

	00 0	£p 696'9	00 000'01	£5 656'91		VCGNIKED 20rd	00.002	SHARES
	00.0	PD 832.8	82 186'11	26.625,02		ZOLD SOLD	00'009	SHARES:
	00 0	SS 60S'S	00 050'8	SS 699'\$1		VCGUIRED SOLD	00 005	SHARES
								ALREF COM STK
	00.0	-91.255.11	00 518,21	98 651'5		VCONIKED 20rd	00.000,1	2HARE2:
							CORP COM	ANALYSTS INTL
	00.0	98 922'5	£p.735,p	8,503,29		SOLD SOLD	00 001	SHARES
	00 0	19 292'9	12 262'9	26 987,51		VCONIKED SOLD	00 OST	: S38AH2
	00 0	17 253,91	80 629'81	87 785,864 79		VCGNIKED 20FD	00 055	SHARES
						ı	YSTEMS INC COP	ALLIANT TECHS
)	00 0	72.802,21	00.212,65	Lb 228,2p		VCONIKED 20FD	00 00£'T	SHARES:
	28% RATE CELMILOSS	SECTALLOSS	COST PASIS		DATE SOLD.		PROPERTY	DESCRIBITION OF

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

04888 ACCOUNT NO 26-69329 61546

103 103

03-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	00 0	-SZ 580'T	61.676,5	96'96B'I		VCGUIRED SOLD	100.00	SHARES
	00 0	-12.021,1	05.20,8	1,894 95		VCGNIKED ZOFD	00.001	SHARES
	00.0	-21'541'1	90.690,5	76.768,I		VCONIKED SOLD	00 000	. SHARES.
							ECTRS INC COM	BENCHWARK EL
	00 0	£p 788,5	27.812,12	81.388,28		VCONIKED 20rd	00.001,1	SHARES
	0 a a	95 898'Z	08 002'61	92 691'22		VCGNIKED 20FD	00.000,1	SHARES
						ı	оль тис ием сом	ВРИКИОКІН СК
	00 0	01 155'1	05 05L'ZI	14,201 50		VCONIBED 20FD	00 002'1	SHARES
							EM COM	AVIALL INC N
	00 0	29.812,85	19.825.08	<b>58.552,82</b>		VCONIKED ZOFD	00 008'T	SHARES
)	00 0	Z9'558'81	85 <i>P</i> 71,05	06 620'69		VCONIKED 20FD	00.005,1	SHARES.
	28% RATE GAIN/LOSS	SSOT/NIVS	T200 SIZAE		DATE SOLDV		PROPERTY	DESCRIBLION OF

## The Northern Trust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

AAA OVBSS ACCOUNT NO. 26-69529 61546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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PAGE

	00.0	59 994'81	11 555'91	28 025,28	56-51-90 10-92-90	20LD ACQUIRED	00.001,1	SHARES.
								BEADY CORP
	00 0	TO &TO,8S	18,272,38	56 565,84	16-02-20 10-92-90	VCGNIKED 20rd	00.007	SHARES.
							RP DEL COM	BFVCK BOX COL
	00 0	ST 290'S	25 169'01	19.207,21	66-11-20 10-92-90	VCONIBED 20rd	00.00>	SHARES
	00.0	עב בוביו	05.518,5	78 826'S	66-10-20 10-92-90	VCGUIRED 20rd	00.001	SHARES
	00 0	T8 275, £	00.059,5	78 256,5	66-62-10 10-92-90	ACQUIRED SOLD	100 00	SHARES
	00.0	72.875.5	00 525'\$[	72 507,21	11-52-68 00-50-01	SOLD SOLD	00.002	2H <b>V</b> KE2 <sup>1</sup>
							сокь сом	BEKKTEL M K C
ļ	00 0	-18 455,1	08,625.8	\$6.48 <b>4</b> I	66-11-90 10-92-90	VCGNIKED 20FD	00 001	SHARES
	SSOJNIVO Geinzloss	SSOT/NIVS	T203 ZIZAB	SALES	DATE SOLDV		PROPERTY	DESCRIBITON OF

BROMN & BROMN INC COM

#### The Vorthern Trust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERNAN PENSION MANAGEMENT

07822 ACCOUNT NO. 26-69529 61546

50 T PAGE

10-01-00 HBNUSHT 00-10-70 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKYT SCHEDNTE OF GAINS AND LOSSES

	28% RATE GAIN/LOSS	€¥IN\F0\$2	COST EASIS	PROCEEDS SALES	DATE SOLDV ACQUIRED		ERIX	DESCRIBITON OF PROP
)	00.0	82 [6], ef	17,122.50	87.212,32	66-52-11 10-92-90	SOLD	00 006	SHARES,
							A	כשכו וחור וחכ כר
	00.0	65.557,6	00 005'5	12,122 59	10-52-69 00-50-01	VCONIKED 20rd	200 00	SHARES
	00 0	\$£ 185'5	00 009'\$	21 180,8	96-52-01 10-92-90	VCONIKED 20rd	00 00Z	SHARES
							ис сом	CHURCH & DWIGHT I
	00 0	28.279,2	11,381 58	£9.858,61	86-02-10 86-02-10	SOLD SOLD	00.007	1 SARAH2
	00 0	95,20 <i>1,1</i>	08.722,11	9£ £9Z'6ĭ	86-91-01 10-92-90	VCONIKED 20FD	00.008	SHARES
	00.0	92,256,1	02.088,5	98 SI8'5	86-12-01 10-92-90	VCGNIKED 20FD	00.005	SHARES
1	00.0	72 283,3	<b>30 071,01</b>	£p 558,51	86-22-01 10-92-90	VCGUIRED SOLD	00 007	SHARES
								CIBER INC COM
	00.0	- 27.510,5	97.STE, 2	Z0 09£'Z	66-20-80 10-92-90	VCGNIKED 20FD	00.008	SHARES

## The Northern Trust Company 50 south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

07822 **8AA** ACCOUNT NO. 26-69329 01546

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90 T 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE FEDERAL SCHEDULE OF GAINS AND LOSSES

	00.0	14,103 10	00'008'Z1	01.506,85		VCGNIKED ROFD	00 008	2HARES 1
	aa a	17.112,8	30 912.2	TT 25T, à		VCGNIKED ZOFD	00.002	SHARES:
						сон	L BANKERS INC	COLLEN / FROS
	00 0	-Zb b9Z'I	37,725 20	87.822,458		VCONIBED ZOFD	00 000'Z	2H <b>v</b> ke2:
							S INC	CLAIRES STORE
	00 0	-86.272,2	00.958,8	2,360 02		SOLD SOLD	30.00	1 SBRAH2
	00 D	-19.550,1	1,839 29	89 984		VCONIKED ZOFD	100 00	SHARES
	00.0	-12.270,2	7,222 00	69.341,2		SOLD ACQUIRED	00.002	2HARES:
	00.0	-25 570,2	po 055,7	69 951'\$		VCGNIKED ROFD	00.002	SHARES.
	00.0	-£9 906'b	00.028,8	72 226,2		SOLD ACQUIRED	00 005	2HARES;
ı	00 0	-68.958,5	12,353.60	17.302,2		SOLD ACQUIRED	00.007	SHARES
	28% RATE CAIN/LOSS	SSO TYNIA9	COST BASIS	<u>PROCEEDS</u>	DATE SOLDV ACQUIRED		РВОРЕКТҮ	DESCRIBITON OF

#### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT 8AA SURDNA FOUNDATION, INC - NEUBERGER & ACCOUNT NO 26-69329

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

LOT PAGE

00 0	78.0%0,PS	00 009'6	78.078,22	26-02-01 10-92-90	SOLD SOLD	00.005,1	SHARES
							ріомех совь сом
				00-82-20	ACQUIRED		
00.0	62.732,2	59.996,91	24,434.14	10-92-90	гогр	00 001'1	2HVKE2:
						CO COW	DELTA • PINE LD
				05-08-66	VCGUIRED		
00 0	-68 252	18 Z89'Z	26 6Zb'Z	10-92-90	anos	00.002	SHARES
				05-05-66	ACQUIRED		-=
00.0	-90 SST	00 SZL'T	96 619'T	10-92-90	פסרם	00.005	SHARE
				05-04-66	ACQUIRED		
00 0	-92 ZOT	6,372 17	T8 699'S	10-92-90	20רם	00 007	SHARES
							DAVOX CORP COM
				66-20-90	ACQUIRED		
00.0	01.782,2	05.516,7	D9 666'TT	10-92-90	SOLD	200 00	SHARES
				66-50-90	ACQUIRED		
00.0	09.662,2	00 005'L	09'666'II	10-92-90	anos	300.00	SHARES
SSX RATE SZOJNIAĐ	€¥IN\T022	COST BASIS	PROCEEDS.	DATE SOLD		OPERIY	DESCRIPTION OF PR

DONALDSON INC COM

DZ8SS

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## The Northern Trust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

BAA **OLBSS** VCCOUNT NO 26-69329 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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PAGE

00 0	-IL 961'6	00 005'05	62 202,15	86-22-90 10-92-90	SOLD SOLD	00 006'1	2H <b>V</b> KE2·
						₩O:	FIRST YRS INC C
00.0	80 Þ£0'I	£8 207,1	16 627,2	00-52-10 10-92-90	VCONIKED 20rd	00 05	SHARES
00.0	92.266,5	9p TSS,2	27.915.8	00-52-10 10-92-90	VCONIKED 20FD	00 051	2HVKEZ ·
00.0	58.151,6	29 T8S,01	55 685'91	00-12-10 10-92-90	VCONIKED 20rd	300 00	2H <b>V</b> KE2
00 0	77.291,7	89 \$72'6	5b 6\$b'9[	66-51-21 10-92-90	VCOUIRED SOLD	200 00	SHARES:
00 0	95 SII'9	65.201,2	8,219,75	11-17-66 10-92-90	SOLD SOLD	00.021	SHARES:
00.0	£5.915, <i>9</i>	61.200,p	27.915,8	66-51-11 10-92-90	VCGUIRED SOLD	00'051	SHARES.
						INC COM	FAIR ISAAC & CO
00.0	Z8'150'61	02 725,01	20 602'62	15-12-62 09-59-01	ACQUIRED SOLD	00 000'T	2HVBE2:
S8% RATE	<b>SELINZLOSS</b>	C057	PROCEEDS.	DATE SOLD/		COPERIX	DESCRIBITON OF PR

CLOBAL INDS LTD COMMON STOCK

#### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT **BAA** 07822 ACCOUNT NO. 26-69529 SURDNA FOUNDATION, INC - NEUBERGER & 65210

IDEX CORP COM

03-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

60 T

28% RATE <u>Cain/Loss</u>	€¥IN\ró??	COST 8A21S	SALES <u>20333089</u>	VOLOS STAG <u>GBRIUDDA</u>		OPERTY.	DESCRIBLION OF PR
00.0	98'506'1	02.785,11	92'261'61	96-91-60 10-92-90	VCONIKED ZOFD	00.002,1	SHARES.
						NC COM	HCC INS HFDEZ I
00.0	LE PS1.8	59.251,65	28.845.25	00-10-90 10-92-90	VCONIKED 20rd	00 005'T	SHARES
						b WP22 COM	HAEMONETICS COR
00.0	72 725,8£	09 965'81	11.528,65	11-12-61 10-28-01	VCGNIBED 2010	1,500 00	SHARES
00.0	Z,808,81	00.198.2	18 699'S	10-92-90	ขางร	00.002	SHARES
				26-£1-11	ACQUIRED		
00.0	2,802.59	Z5	18'699'5	26-50-21 10-92-90	VCONIKED 20rd	00.005	SHARES
						ZOK CO COM CE	COMPANY) COMPRES
00.0	52.687.6	01,880,2	12,822.55	66-92-20 10-92-90	VCGUIRED SOLD	00.00>	SHARES
						MO	IHOL COKE NEM C
00.0	72.915,1	00 005'TT	72 918,51	66-50-80 [0-92-90	SOLD SOLD	00 005	SHARES.

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION, INC - NEUBERGER & BERNEW PENSION MANAGEMENT

22870 AAB
01249 ACCOUNT NO 26-69329

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD ACCOUNT TRANSACTIONS THROUGH 06-30-01

PAGE

	00 0	51,582,1	87.532,51	£8.840,41	66-51-50 10-92-90	VCONIKED 20FD	00 009	SHARES.
)	00.0	92,265	99.110,5	26 052'Z	06-51-50 06-56-01	VCGUIRED SOLD	00.001	SHARES:
							HEDES INC COM	LINCOLN ELEC
	00 0	-50 265'9	9£ 600,7S	12 712,0S	00-52-60 10-92-90	ACQUIRED SOLD	00 009	SHARES
							H	KEONOZ ING CO
	00 0	[b &Z\$'8Z	00.278,81	Ib 862'Lb	26-62-11 10-92-90	ACQUIRED SOLD	2,000 00	SHARES:
							но:	катрой совр
	00 0	92.626.8	00 OSI'SI	92 610,25	00-10-90 10-92-90	SOLD	00 002'1	SHARES
						н	IK COBE HESS CO	INDEPENDENT :
	00.0	50 08Z'6	££ 665'6	72.677,81	15-51-62 09-59-01	SOLD SOLD	00 009	2HVKE21
)	00.0	82 272,3	00 656'5	82.912,51	26-12-01 10-92-90	SOLD ACQUIRED	00 005	SHARES:
	28% RATE Gain/Loss	CAINZLOSS	<u>84515</u> C051		DATE SOLDV		PROPERTY	DESCRIPTION OF

FINENZ N LHINCZ INC COM

# The Vorthern Trust Company 50 south Lassile street, Chicago, Illinous 60675 (312) 630-6000

THEMAN HENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

OTBZZ ACCOUNT NO. 26-69329 61246

07-01-00 THROUGH 06-30-01 111 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

				12-10-98	VCGUIRED		
00 0	17 TO1,S	20,780,8	8/166 12	10-92-90	פסרם	300 00	SHARES
				86-80-21	ACQUIRED		
00.0	29.999,8	12,825.00	56 685,61	10-92-90	פסרם	00 009	SHARES:
				12-07-98	ACQUIRED		
00 0	L8 959	40.830,S	16 517,5	10-92-90	SOLD	700 00	SHARES
	AC1807/-	CA 1714	55 515/01	15-05-88	ACQUIRED		27,000
00.0	05.031,4	50 515'6	55 275,81	10-92-90	SOLD	00 005	· SBRAHZ
00 Q	[5.778,7	11'156 85	9£ 500'6I	11-50-68 0-58-01	ZOLD SOLD	00 004	2HVKE2:
00 0	(3 220 2	38 761 11	71 900 01	10-36-30			
					8A9 01 \$	HMON STOCK,	меитоя сояр., со
				86-90-80	ACQUIRED		
00 0	15,214 90	00.008,71	32,814,90	10-92-90	SOLD	00.008	SHARES
						RP CL A	MATTHEWS INTL CO
				00-91-20	VCGUIRED		
00.0	-27 771	2,834 23	08 959'Z	10-92-90	פסרם	00.001	SHARES
				00-51-20	ACQUIRED		
00.0	50 tos't	9£ £51,61	19.952,12	10-52-90	гос	00 008	SHARES:
SSOJNIVO	CAIN/LOSS	SISVE	<u> EKOCEED2</u>	VCGUIRED		<b>PERIX</b>	DESCRIPTION OF PRO
S8% RATE		C021	SALES	DATE SOLD			

WICKOZ ZAZ INC COM

# The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

#### SURDNA FOUNDATION, INC - NEUBERGER & BERMAN PENSION MANAGEMENT

BAA OTBSS ACCOUNT NO 26-69329 65210

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

115

						сокь сом	OCEANFIRST FINE
00.0	£9 901'9	25,167 30	56 278,12		SOLD SOLD	00'005'T	SHARES:
						LL INC COM	OCEANEERING INJ
00 0	19 855'Z	05 512'52	11 211,25		VCGUIRED SOLD	00 001'Z	SHARES
						INL CORP COM	NORTHEAST PA F.
00 0	01 066'SI	96 172,65	99 292'25		SOLD SOLD	25.725,1	SHARES
00 0	8 t T	11 82	TO 61		VCONIKED 20FD	09.0	SHARES
00.0	16 192'01	61 587,81	01 551'52		ACQUIRED SOLD	51 291	2H <b>V</b> KE2:
						T INC COM	NATIONAL-OILWE
00'0	-89.220,2	95 569'9	88 178,8		VCGUIRED SOLD	00.005	SHARES
00.0	-50 \$25'8	08 998'ST	27.292,7	00-51-50 10-92-90	VCONIKED 20FD	00 005	SHARES
00.0	-60'991'Z	6,500,6	56 S\$8'T		VCONIKED 20FD	00 001	SHARES
28% RATE CAIN/LOSS	<b>CVIN</b> VEOSS	T203 SISAA	SALES PROCEEDS	DATE SOLD/		SOPERIX	DESCRIBITON OF BE

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

#### SURDNA FOUNDATION, INC - NEUBERGER &

8AA 0.4855 ACCOUNT NO. 26-69329 65210

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

112

	Z8% RATE	€¥IN\F022	C05T		DATE SOLD		PROPERTY	DESCRIBLION OF
)	00.0	21 788, p	05 299'5	59 66b'0ĭ		VCONIKED POLD	00 005	SHARES:
	00.0	22.512,5	02.758,5	ZB 652'5		VCGNIKED 20FD	200.002	SHARES
	00.0	59 528'5	00.878,8	59'665'0I		VCGNIKED 20FD	00 00>	SHARES
	00 0	91 902'1	S7 819,1	16.626,5		VCONIKED 20FD	100 00	SHARES:
							STICS INC COM	OFFSHORE LOGI
	00.0	81.070,2	05.602,8	89 615'6		VCONIKED 20FD	00 005	SHARES
	00 0	£7 [52,2	01 IZZ, II	£5.£90,71	15-55-62 00-50-01	VCONIKED 20FD	00 006	SHARES:
							MOD DD JATA	PATTERSON DE
}	00.0	10.526,8	95.200,4	72.750,51		VCGNIKED 20FD	00 005	SHARES
	00 0	69 529'21	99 994'9	35 195,91		VCONIBED 20rd	00.009	SHARES
	00 0	32,766 35	00 529'9	S\$ 16\$'61	76-02-20 76-02-01	VCGNIKED 20FD	00.009	SHARES

# The Northern Trust Company 50 South LaSalle Street, Chicago, Illimois 60675 (312) 630-6000

#### SURDNA FOUNDATION, INC. - NEUBERGER &

07822 ACCOUNT NO 26-69329 01546

ROPER INDS INC NEW COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

भार

00.0	8p 259,7	89.272,71	91 LZ1'SZ		ACQUIRED SOLD	00.006	SHARES
						INC COM	KEZBIKONICZ I
00.0	18.401,4	69 ' 588	05.626,PL		VCONIKED ROLD	00.008	SHARES
						сон	PULITZER INC
00.0	16.995.91	03.782,51	19.787,81		SOLD	00.006	SHARES
						ис сом	PRIDE INTL IN
00 0	- <b>50 299</b>	00 821,1	96 <b>590</b> 'I		SOLD SOLD	00.001	SHARES
00 0	-66 LSS'01	56 762,85	95 686'51		VCONIBED 20rd	00 005'1	SHARES:
						ALITY CORP COM	PRIME HOSPITA
00 0	52 226'6	95.981,65	17,803,83		SOLD SOLD	00 009	SHARES
					!	SHONKCE INC COM	PAYLESS SHOE
00.0	99.861,2	1,1885.35	68 122'2		VCON1KED 20rd	00.001	SHARES
SZOJNIAĐ	CAIN/LOSS	1203 212A4		DATE SOLD		<u> РКОРЕКТҮ</u>	DESCRIBLION OF

### The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

8AA ACCOUNT NO 26-69329 07822

65210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

	00 0	05 225, <i>T</i>	80 208'5	8Z 850'ZI		VCONIKED SOLD	00 005	SHARES:
}							COM	SMILL ENERGY CO
•	00 0	98 218'9	19.885.T	£2.670,P1		VCONIKED 20FD	00 008	SHARES
	00.0	6E. 8PS, T	59 <i>11</i> 9,1 <u>5</u>	90 921,85		VCGNIKED ZOFD	00.002	SHARES STERLING BANCSH
						K	COMMON STOC	SIMPSON MFG INC
	00 0	-55 08	0S <b>S0</b> L'T	56.429,1		SOLD SOLD	00 001	SHARES
	00'0	-95'60T	29 22 <i>1</i> ,7 <u>5</u>	<b>70.25,7</b> 2		VCONIKED 20rd	00 004'T	SHARES
							М	кпрртск сокь со
	00.0	56 £60'0Z	09 290'8	55.521,85		VCGUIRED SOLD	00.007	SHARES:
	00 0	DZ 969' <i>L</i>	06 178,2	0 I 7 5 0 , S I		SOLD SOLD	200 00	SHARES
	S8% RATE CEIN/LOSS	SSOTATIVE	COST SISAB		DATE SOLDV ACQUIRED		VIRERIX	DESCRIBITON OF ER

# The Vorthern Trust Company 50 South Lasale street, Chicago, Illinois 60675 (312) 630-6000

						SZ INC COM	NITED STATIONE	n
00.0	66.692,6	Zp ZLp'8	[b SpL'L[	96-12-0 t 10-92-90	ACQUIRED SOLD	00.001,1	SHARES	
00 0	60.080.61	77.197,P1	38,178, <b>2</b> 2	96-81-01 10-92-90	VCGUIRED SOLD	2,100.00	SHARES	
							MOD 9800 TIN	n
00.0	09 259'£Z	89 278,51	82 225.28	08-15-61 08-58-01	SOLD SOLD	00 009	SHARES.	
00'0	15 208.7	88 895'5	60 >८१'टा	16-80-80 10-92-90	SOLD ACQUIRED	00 002	SHARES	
				ж	OOTS NOMMOS	SE INC CF V	RIGON HEALTHCA	ı
00.0	38.571,11	12,136 36	22 '20'22	16-50-20 10-92-90	VCGUIRED SOLD	00 009	SHARES.	
					STV A 1	SHARES INC CI	EXAS REGL BANC	1
00 0	24.421,91	12,425.83	22,160.26	66-11-50 10-92-90	SOLD SOLD	00.001,1	SHARES	
28% RATE GELUXLOSS	CAIN/LOSS	COST BASIS	SALES	DATE SOLD		DEERIX	CRIPTION OF PRO	DEZ
9849 116			HEDULE OF CAINS AND TIONS TAXABLE DURING THROUGH 06-30-01	ACCOUNT TRANSAC				
		* B	ТОИ, ТИС - ИЕИВЕКСІ ТИЗІОМ МАМАСЕМЕНТ	SURDNA FOUNDS TAMBER	6	10 Se+692Si		228. 015

55 658'SI

62 559,5 21.791,11

00.0

VCGUIRED 10-28-98

00.002

SHARES

### The Northern Trust Company 50 South LaSalle Sureet, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGENENT ACCOUNT NO 26-69329 SURDNA FOUNDATION, INC - HEUBERGER &

411 3944

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	-09'106'5	SS 7>9,81	26 Sp0'b1	86-02-11 10-92-90	ACQUIRED SOLD	00 006	2HVBE2
				86-05-01	ACQUIRED		
00 0	2,185 71-	00.786,01	7,803 29	10-92-90	070S	00 005	SHARES
				86-62-01	ACQUIRED		
00.0	-98 5/5'5	bb 66£'51	D9 756'01	10-52-01	20FD	00.007	SARAHS
				I 0 - 58 - 68	ACQUIRED		
00 0	3,031 26-	55 528'01	62 208'L	10-52-90	aras	00.002	SHARES
				86-LZ-QT	VCG018ED		
00.0	-01 558'5	9£ 6££,51	92 585'21	10-92-90	อาอร	00.008	SHARES
					นเ	K ZACZ ING CO	MALLACE COMPUTE
					, , , , , , , , , , , , , , , , , , ,	DU DINI 30N3 B	7110MOJ 254 114M
				66-92-90	ACQUIRED		
000	23 812,2	90 155'5	ET. 911,8	10-52-01	פסרט	00.002	SHARES
				86-11-60	ACQUIRED		
00 0	55 861'6	13,130 70	22,828,22	10-92-90	อาดร	00.001,1	SHARES
						DEF COM	VARCO INTL INC
				10-58-98	ACQUIRED		
00 0	55'7/5'5	£9 L68'5Z	86.832,02	06-26-01	อาดร	00.001,1	SHARES.
SECTINITYS	EPINTIDEE	SISA	<b>EROCEEDS</b>	ACQUIRED		סגבערג	DESCRIBITOR OF PR
STAR X8S	000 // // <b>10</b>	1200	SALES	Valos 3TAG		X44200	0.00101010000

COMMON STOCK MEBSIER FINANCIAL CORP, WATERBURY CONN

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## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERNAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER &

DZ8SS **BAA** ACCOUNT NO. 26-69329 67210

ZEBRA TECHNOLOGIES CORP CL A

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

118

PAGE

00 D	<b>ቅ፤ </b> ጀፄ፩'ሂ፬	15 788,8	56.075,86	SORIKED 01-02-62 2010 09-59-01	SHARES. 3,200 00 A
					XIO ENEBOA INC COM
00 0	£p 66L'9	00.025,01	ያን 6 <b>ን</b> 0′//፤	26/11/KED 10-58-92 20/D 06-26-01	MOODHEED INDS INC COM
00 0	-96 BSL'T	58' [85'5	16 ZZ9'Z	CONIKED 04-09-00 20FD 08-58-01	SHARES, 100 00 AU
00.0	-05.527,1	12'592'5	16.525,5	26/1KED 04-02-00 20/D 04-58-01	200.001 SARRES
00.0	-75 454,1	01 019'9	£8 562'5	CONTRED 11-15-99 2010 06-26-01	SHARES: 200 00
00.0	-55 825'[	85 726.6	££ 898'£	CONTRED 10-04-99 SOLD 06-26-01	00 005 Z39AH2 Ja
					MHOFE EOOD2 WKL INC COM
00.0	89:956'£	00 516'5	89 125'6	2011KED 01-02-61 201D 09-59-01	SHARES 300 00 DA
00 0	9L'9Z8'5T	00.006,15	PT.85T.TE	2011RED 01-02-97	00.005,1 ;238AH2
S8X RATE	e¥IN\rozz	T203 212A4	SALES	DATE SOLDV ACQUIRED	DESCRIBLION OF PROPERTY

### The Northern Trust Company 50 south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

611

PAGE

BERMAN PENSION MANAGEMENT AAA 07822 VCCONNI NO S9-68258 SURDNA FOUNDATION, INC - NEUBERGER & 65210

ANALYSTS INTL CORP COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	85.525,8	58.952,8	25 Y80, TI	86-92-50 86-92-50	VCONIKED 20FD	200.00	2HARES:
						ENZ INC COM	ALLIANT TECHSYST
00 0	59 686' <b>Σ</b>	00 591'9	99'95L'0I	00-21-50 10-21-90	SOLD SOLD	30.00	SHARES
						0 CF &	ALBERTO CULVER CO
00 0	LS 991	11 p88,7	bL 869'L	96-91-60 10-12-90	SOLD SOLD	00 005	+ Z3AAHS
							MOD 98AA
00.0	\$6 S61'b	10,713 60	\$5`606' <b>&gt;</b> T	26-90-20 10-92-90	VCONIKED 20FD	00 006	SHARES:
						אכ כר ע	FBL FINL GROUP IN
00 0	61 188'9	0S 218'bI	62 961,15	76-50-60 10-52-50	VCGNIKED 20rd	00 009	SHARES
00 0	08 <del>5</del> 25'l	90 bl1,5	98.852.4	26-21-80 10-92-90	VCONIKED 20FD	7 0 0 0 0	SHARES
00.0	10.572,8	00.001,85	10 278,65	10-92-20 10-92-01	SOLD SOLD	00 002	SHARES
28% RATE GAIN/LOSS	SS01\NIA2	7203 212 <u>A</u> 8	PROCEEDS SALES	DATE SOLD		<b>SERIX</b>	DESCRIPTION OF PROP

### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinous 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT 07822 ACCOUNT NO 26-69329 65210 SURDNA FOUNDATION, INC - NEUBERGER &

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

7 S O

PAGE

	00 0	15 595'T	25.187,6	71 244 62	10-72-80 10-72-80	VCONIKED 20FD	00.00	95	2H <b>v</b> ke2:
i							M COM	INC NE	Въикиовтн скои
	00 0	6p. 73 <u>S</u>	05 S56'S	5,209.89	96-80-11 10-22-90	SOLD SOLD	00.00	20	: SHARES :
								MOD	AVIALL INC NEW
	00.0	<b>46 823'</b> 9	07.825.2	L9 28L'6	10-20-10 10-53-01	ACQUIRED SOLD	00 00	20	SHAHS
	00 0	85 922'9	86 912'9	95.590,51	10-75-80 86-71-60	SOLD ACQUIRED	00 00	05	SHARES.
						AA9 ID.04	STOCK	сомнои	оит чиояэяатча
	00 0	16 592'1	86.566,1	68 725,8	86-70-01 86-70-01	ACQUIRED SOLD	00 00	o t	SHARES
	D	87 212,5	00 000'5	87.212,8	86-90-D1 86-90-D1	VCONIKED 20rd	00 00	S 0	SHARES
									ALREF COM STK
)	00 0	-\$0 181 02-	00.270,&	76 <b>5</b> 68	86-12-01 10-22-90	VCONIBED 20FD	00 00	0 2	SHARES
	28% RATE SECULLOSS	SEOJNIES	T200 SIZAE	SALES PROCEEDS	DATE SOLDV			YIBBGBR	A HO NOTTATADEAG

BENCHWARK ELECTRS INC COM

### The Northern Trust Company 50 south Lasale Sueet, Chicago, Illinois 60675 (312) 630-6000

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BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC - NEUBERGER #

ACCOUNT NO. 26-69329

8AA 07822 01546

CHURCH & DWIGHT INC COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00.0	28 672,5	00 008,1	28.672,2		PCGNIKED 20FD	00 001	SHARES
						A -	כשכו ואדר ואכ כו
00 0	Z1.844,4	00 508,5	ZT &25,8		VCONIKED 20FD	200 00	SHARES
						MOD SI	BROWN & BROWN IN
00 0	71 722,2	05.782,2	19 528'6		SOLD	200 00	SHARES
							BRADY CORP
00.0	98 855'8	89 022'5	P2.677,51		VCONIKED 20FD	200 00	SHARES.
						DEF COM	BLACK BOX CORP I
00 0	70 269'Z	99 025'5	8,013 73		SOLI SOLI	200 00	SHARES
						жоо с	BERKLEY W R CORF
00 0	-Ib S60'T	<b>2.101,</b> 5	Z) 014 93		SOLI RED	00.001	SHARES
S8X RATE	CAIN/LOSS	C0ST <u>812A4</u>	SALES SALES	DATE SOLD.		PPERIX	DESCRIBITION OF PRO

## The Northern Trust Company 50 South Lassile Street, Chicago, Illmois 60675 (312) 630-6000

#### BERMAN PENSION MANAGEMENT ACCOUNT NO. 26-69329 SURDNA FOUNDATION, INC - NEUBERGER &

1 S S 07-01-00 THROUGH 06-50-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	SS 822	02 100'4	27 688,7	15-05-98 06-27-01	VCGUIRED SOLD	00.002	SHARES
00.0	-52.12	91 988'1	56 528'I	86-50-11 10-22-90	VCGNIKED 20FD	00 001	SHARES
						:	CLATRES STORES INC
00.0	- 59'008'Z	95.551,8	26.928,5	66-91-60 10-22-90	VCGUIRED SOLD	200 00	SBRAHZ
00.0	-81.121,1	51,886,1	76.487	10-12-90 10-53-01	VCONIKED ZOLD	00 001	SHARES:
00 0	-11 9111	SL 196'I	86 987	10-72-80 10-72-80	VCONIKED SOLD	00.001	SHARES
00 0	-£5 561'T	05 086'T	76. P8T	66-LI-80 10-LZ-90	VCONIKED 20FD	00 00T	SHARES
							CIBER INC COM
00 0	87,255	PI 960'Z	26 625'2	66-62-50 10-22-90	SOLD SOLD	00 001	2HARES ·
00.0	92.016	72 626,č	£8 658'5	66-LZ-90 10-LZ-90	SOLD SOLD	200 00	SHARES
00 0	71 126,5	65.825,4	97.685.T	86-22-01 10- <i>1</i> 2-90	VCGUIRED SOLD	200 00	SHARES
28% RATE CAIN/LOSS	GAIN/LOSS	COST FASTS		DATE SOLDV ACQUIRED		KIK	DESCRIETION OF PROPE

CULLEN / FROST BANKERS INC COM

BAA

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### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

00.0	527 80-	Z89'Z	[6.02p,S	10-72-50 99-80-50	VCONIKED 20FD	500.00	SHARES:
							DAVOX CORP COM
00.0	98.078,£	00 SZS'Z	98 561'5	66-80-90 TO-ZZ-90	VCONIKED ZOFD	00.001	DATASCOPE CORP COI
00 0	97.827,8	00.005,5	91 826.8	96-12-11 10-22-90	SOLD ACQUIRED	200 00	SHARES:
28% RATE SZOJNIAĐ	CVINCTOZZ	TSOO Sisaa	SALES PROCEEDS	DATE SOLD/		EKIK	DESCRIPTION OF PROP
JS2 JS2			TIONS TAXABLE DURING Tions taxable during Through 06-30-01	ACCOUNT TRANSAC			
		R &	ION, INC - NEUBERGE TON MANAGEMENT	SURDNA FOUNDAT BERMAN P	6	Z\$69-9Z	01249 ACCOUNT NO
			0000-050 (715)				

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07 606,8

£8 619'€

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FBL FINL GROUP INC CL A

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SOO OO

SHARES:

DONALDSON INC COM

**SHARES:** 

SHARESI

DELTA # PINE LD CO COM

DIONEX CORP COM

VCGUIRED 12-13-93

VCGNIKED 10-S0-82

ACQUIRED 02-28-00

10-12-90 GTOS

ZOLD 06-27-01

20LD 06-27-01

## The Northern Trust Company 50 south LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

					К	соннои гтос	GLOBAL INDS LTD
00'0	-87 544	72 826,1	6 <i>L</i> 585'[	00-02-90 10-22-90	ACQUIRED SOLD	100 00	SHARES:
00 0	-11 105	DS 188'T	6 <i>L</i> '585' [	00-61-90 10-22-90	SOLD ACQUIRED	00.001	SHARES
							EEF INC COM
00 0	-51 286'1	00 005'9	58 <b>/</b> 95'5	86-22-90 10-22-90	ACQUIRED SOLD	00.002	SH <b>A</b> RE <b>S</b> :
						W	FIRST YRS INC COI
00.0	£p.7p7,3	00 552'01	16,982 43	00-52-10 10-22-90	VCONIKED 20rd	300 00	SHARES
						INC COM	FAIR ISAAC & CO
00 0	80.150,1	2,580,80	88 115'5	10-72-80 79-80-50	ZOLD SOLD	200.00	SHARES
28% RATE GAIN/LOSS	€¥IN\L055	C021	SALES PROCEEDS	DATE SOLD/		YINA	DESCRIBITION OF PROP
PAGE 124			HEDULE OF GAINS AND THROUCH 06-50-01	ACCOUNT TRANSAC			
		<b>3</b> 8 343	ION, INC NEUBERGI ENSION MANAGEMENT		6	Z\$69-9Z C	01249 ACCOUNT NO

[9 L05'[[

98'951'5

58 PEL'S

27,812,5

17 278,2

11 817,1

00 0

00 0

НАИОУЕЯ СОМРЯЕЅЅОЯ СО СОМ (НОГДІИС

00 005

00,005

ACQUIRED 12-04-97

VCGUIRED 09-16-96

20FD 08-27-01

20CD 06-27-01

COMPANY) COM

SHARES

SHARES

HAEMONETICS CORP MASS COM

### The Northern Trust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

8AA OYBSS ACCOUNT NO. 26-69529 652 L D

LINCOLN ELEC HLDGS INC COM

3 S 2 PAGE

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

S8X RATE	CVINCEOSS	COST BASIS	EKOCEEDS 2VFE2	DATE SOLD/	X	DESCRIPTION OF PROPERT
00 0	09 865'Z	82 800'l	88 905'£	66-92-20 10-22-90	YOO OO SOFD	SHARES
						ІНОЬ СОВЬ ИЕМ СОМ
00.0	16 582	00 00£,S	16 585'2	66-50-80 10-22 <b>-</b> 90	100 00 SOLD ACQUIRED	2HARES:
						IDEX CORP COM
00.0	<b>95 595,</b> 5	<b>59 99 1 ' Σ</b>	87 654,8	10-75-80	200 00 20FD	SHARES
				12-21-62	ACQUIRED ACQUIRED	ІИДЕЬЕИДЕИТ ВК СОВР
00.0	02,710,5	02.787,&	08.408,2	10-12-90	200 00 20FD	SHARES
	20117217	05110110	A41-E00/5	00-10-90	ACQUIRED	CONVIC
						КАУДОН СОВР СОМ
00.0	99 591'9	3,775 00	99 626'6	10-72-80 26-92-11	400.00 SOLD ACQUIRED	SHARES:
						KEOHOZ INC COM
00 0	-89'200'1	95'105'9	88.792,2	06-27-01 05-23-00	100.00 SOLD ACQUIRED	SHARES:

### The Northern Trust Company 50 south Lasalle street, Chicago, Illinous 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT 02828 AAA ACCOUNT NO. 26-69529 SURDNA FOUNDATION, INC - NEUBERGER # 01546

MORTHEAST PA FINL CORP COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

156

PAGE

S8% RATE SAIN/LOSS	SSOT/NIVO	COST SISAE		DATE SOLDY ACQUIRED		PROPERTY	DESCRIBLION OF
00 0	87 522	\$1 \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	16 665'Z	66-51-50 10-12-90	ACQUIRED SOLD	00 001	SHARES
						MEZ INC COM	LINENS N THIN
00 0	- 79 . 065	95.899.2	58.772.8	00-91-£0	VCGNIKED 20FD	00.005	SHARES
						- сокь сг м	MATTHEWS INTI
00.0	S1 826,2	00.002,2	27 355,8	86-90-80 10-42-90	SOLD SOLD	200 00	2H <b>V</b> KE2:
					<b>AA</b> 9 01.4	соммом зтоск,	МЕИТОВ СОВР.
00.0	98.782, <i>č</i>	07 160,01	pg.6p <b>9</b> ,81	10-75-80 10-75-80	VCONIBED 20FD	00.002	SHARES
						AC COM	MICKOZ ZAZ IN
00 0	-70 898,1	00 052'2	26 100'Z	10-72-80 00-02-80	SOLD SOLD	00.001	SHARES
00 0	1,141 13-	90.591,5	2,001 93	10-72-80	гос	100 00	SHARES
				00-90-90	ACQUIRED	100 00H	i (10 i i i i i i i i i i i i i i i i i i i
				11 10 70			NATIONAL-OILAN
00 0	<b>16 822'5</b>	ZS:098'6	65 680'SI	96-61-11 10-23-01	VCONIKED 20rd	00 005	2HVKE2:

### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

07822 ACCOUNT NO. 26-69329 65210

PRIME HOSPITALITY CORP COM

157 PAGE

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	28% RATE Gain/Loss	SEVINCES	T200 <u>812A</u>		DATE SOLD. ACQUIRED		PROPERTY	DESCRIBLION OF
	00.0	88.722	00 225'5	28.676,2		VCONIKED 20rd	00'005	гникег.
	00.0	96 911	00.851,1	96 552'[		VCONIKED 20rd	00 001	SHARES
							INTL INC COM	OCEANEERING
	00 0	99 015'I	82 II <i>L'</i> 9	27.182,8		VCGUIRED SOLD	00.002	SHARES
							иор совь сом	OCEANFIRST F
	00 0	89.659,5	52.82.9	£7.268,7		VCONIKED 20FD	200 00	2H <b>V</b> KE2
							STATES INC CON	OFFSHORE LOG
	00 0	16 087,1	2,845 90	18 529'5		VCONIKED 20rd	200 00	SHVKES
							HOD OD TATH	BO HOSRBITAR
)	00 0	22.09,8	55.555,4	99 \$20'\$1		VCONIKED 20rd	00.002	SHARES
						ı	ZHONKCE INC COM	PAYLESS SHOE
	00.0	<b>15 119,1</b>	[b.p8,p	87 272,3		VCONIKED 20rd	00 00T	SHARES

### The Northern Trust Company

50 South Lasaile Street, Chicago, Illimois 60675 (312) 630-6000

KOBEK INDZ INC NEM COM ACQUIRED 12-04-98 00 0 LL BPL'I 3,885 04 18 129'5 20LD 06-27-01 200.00 SHARES: KESLIKONICS INC COM ACQUIRED 10-15-93 ZZ B7S 58 586, 2 10-12-90 2010 aa aat SHARES 09 501'5 00.0 PULITZER INC COM 96-LI-60 ACQUIRED 98 656'\$ 10-22-90 gnos 200.002 SHARES: 00 D 98,276 00 516'2 PRIDE INTL INC COM 96-52-60 **VCGUIRED** 00 0 -91.095,5 00 21619 P8.172, P 10-22-90 **070S** 00 005 2HARES. CAIN/LOSS SISVE ACQUIRED DESCRIPTION OF PROPERTY CAIN/LOSS PROCEEDS TZOO DATE SOLD 28% RATE SALES 07-01-00 THROUGH 06-30-01 158 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **PAGE** FEDERAL SCHEDULE OF GAINS AND LOSSES BERMAN PENSION MANAGEMENT OLBSS 56-69256 ACCOUNT NO SURDNA FOUNDATION, INC - NEUBERGER & 8 J S C 6

84 115'9

8,237 72

210 52-

21 926'5

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00.528,8

2,503 60

SIMPSON MFG INC COMMON STOCK

SHARES

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**SHARES:** 

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200.00

**VCONIKED** 

ACQUIRED

alos

a708

86-70-80

10-22-90

96-92-IO

10-12-90

## The Northern Trust Company 50 South Lessile Street, Chicago, Illinois 60675 (312) 630-6000

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30**A**9

#### BERMAN PENSION MANAGEMENT SURDNA FOUNDATION, INC. - NEUBERGER &

8AA ACCOUNT NO 26-69329 07822

01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

	28% RATE Gain/Loss	GAIN/LOSS	COST <u>Basis</u>	EKOCEEDS 24res	DATE SOLD/ ACQUIRED	ERIX	DESCRIPTION OF PROP
)	00.0	75.602 <b>,</b> 1	£8 56Z'5	08.408,2	66-52-11 10-22-90	VCGUIRED 100 00 SOLD	SHARES
						EZ INC COM	STERLING BANCSHAR
	00.0	12.737,1	<b>19 918'</b> 1	88 285'2	10-75-20 10-75-20	SOO OO CONIKED	SHARES
						но	SMIET ENERGY CO C
	00 0	01 \$95'5	65 T99'S	69'522'6	09-11-90 10-12-90	SOD.DD SOLD ACQUIRED	: 238AH2
						ARES INC CL A VTG	TEXAS REGL BANCSH
	00'0	82.357,5	95 S50'5	PT 187,7	10-12-90 10-23-90	SOR OB ZOFD	2HARES ·
					ск	INC CL A COMMON STOR	TRIGON HEALTHCARE
	00.0	10.520,2	87 221,S	6L LST'9	10-72-80 79-51-80	TOO OO ZOCD	SHARES
	00.0	64.610,2	00 891'Z	67 781, 6	10-72-80 76-21-80	TOO OO SOLD VCGUIRED	SH <b>Y</b> KE2:
							UNIT CORP COM
	00 0	81 09£'Z	99 012'Z	p8.073,p	96-12-01 10-22-90	SOO OO SOLD ACQUIRED	SHARES

### The Northern Trust Company 50 South Lasaile Street, Chicago, Illimois 60675 (312) 630-6000

	00.0	-81 202'2	08 15L'bl	29 862,II	11-52-68 09-51-01	ACQUIRED SOLD	00 002	SHARES.
						но	LER SACS INC C	WALLACE COMPU
	00 0	66 bZS'Z	18 505'\$	08 026'5	06-92-90 10-23-90	VCGUIRED SOLD	200 00	2HARES 1
							C DEF COM	VARCO INTL IN
	00.0	62.291,5	52.712,9	19 625'11	86-82-01 10-22-90	GOOLD ACQUIRED	00.002	2HVKE2:
							NEES INC COM	UNITED STATIO
)	00 0	55 652'\$	PZ 816,S	6L LZZ'9	96-22-01 09-51-01	VCONIBED 20rd	00 005	2HARES:
	28% RATE <u>Calualos</u>	€¥IN\rosz	TSOS SISA4		DATE SOLD/ ACQUIRED		PROPERIX	DESCRIBLION OF
	PAGE 130		DURING THE PERIOD	AL SCHEDULE OF GAIN ANSACTIONS TAXABLE 01-00 THROUGH 06-50	ACCOUNT TRA			
				HAN PENSION MANAGEM 	BEKI	6	Z£69-9Z ON 1	22870 AAB

L9 61L'6

56'909'I

19.995,9

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Z6 9Zb'Z

MHOLE FOODS MKT INC COM

SHARES

SHARES

COMMON 210CK

300.00

100.00

WEBSTER FINANCIAL CORP WATERBURY CONN

ACQUIRED 01-03-97

ACQUIRED 12-10-98

10-12-90 Q1OS

10-12-90 GTOS

### The Northern Trust Company 50 south Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

00,0	55.265,8	01.944.1	59.855,01	10-75-80	SOLD	00.007	SHARES
						HO	XIO ENERGY INC C
00.0	1,565 88	00 050'Z	88.812,8	10-21-01 06-21-01	SOLD SOLD	200 00	SHARES
						Н00 0	MOODHEAD INDS IN
00.0	J, 585 34-	25.180, <i>p</i>	16 269'Z	00-20-90 10-20-90	VCGUIRED SOLD	00.001	SHARES
28% RATE GAIN/LOSS	GETN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLDV		PERIX	DESCRIBITON OF PRO
PAGE 181	FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01						
		e e	EHZION HANAGEMENT ION, INC - NEUBERGE	TADNUOT ANDRUS T NAMREK	6	32 <b>569-9</b> 2 0	01249 ACCOUNT N

67,620,227,6

67 920, 2277, 8

95.160,81

179,558 41

15 828'671'5

90 502'5

00.0

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00.0

82 101,232,2

82.107,232,4

02.788,8

END OF SALES SCHEDULE

ACQUIRED 09-04-97

ACQUIRED 01-05-95

20FD 09-51-01

TOTAL LONG TERM CAPITAL GAIN/LOSS-

300 00

JATOT-8UZ

SHARES

ZEBKA TECHNOLOGIES CORP CL A

### The Northern trust Company of South Lassile Street, Chicago, Illinois 60675

50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDETION, INC - NEUBERGER R. BERMAN PENSION MANAGEMENT

07-01-00 THROUGH 06-50-01

ACCOUNT NO 26-69329

OYBSS

67210

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

\*\*\* SUMMARY REPORT \*\*\*

SHOKI TERM CAINS AND LOSSES

NET SHORT TERM CAPITAL GAINS AND LOSSES 461,038.28

TOTAL SHORT TERM CAPITAL GAINS AND LOSSES 461,038.28

TONG LERW CAINS AND LOSSES

MET LONG TERM CAPITAL GAINS AND LOSSES - 20 % S,179,338.41

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 % 0 00

SUB TOTAL LONG TERM GAINS AND LOSSES

TOTAL LONG TERM CAPITAL GAINS AND LOSSES

TOTAL CAPITAL BAINS (105185)

LONG CAPITAL BAINS (105185)

3049 S & £

1

# The Northern Trust Company 50 South Laskile Street, Chicago, Illinois 60675 (312) 630-6000

₽AGE

### SURDNA FOUNDATION, INC. - MORGAN STANLEY ASSET MANAGEMENT

07822 ACCOUNT NO. 26-69321 28210

03-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	£7 548,745,4	88 187,918.2	19 559'295'6	<del>_</del>	JOIAL LONG IERM CAPITAL GAUNLOSS
	19 pp9'L9I'S		<b>19 ρρ3,731,</b> ε	X1 , %0S	MFO MOKGAN STANLEY INSTL FD INTL EQ PORT CL A
					CVETTALEGALUE
00 0	1,080,218	88 187,912,2	00 00 <b>0'005'9</b>		UNITS 387,174.85 SOUIRE
				YT	MFU MORGAN STANLEY INSIL FD INTL EQ PORT CL A
					TONG LEKW CAPITAL GALUS AND LOSSES
	SSOTZNIVO	C021 8 <u>461</u> 5	PROLEEDS SALES	VATE SOLDV ACQUIRED	DESCRIBLYON OF PROPERTY

END OF SALES SCHEDULE

#### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

MORGAN STANLEY ASSET MANAGEMENT SURDMA FOUNDATION, INC -

ACCOUNT NO 26-69322

07822 74510

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

CVINCEOSS

COZL

SALES

ACQUIRED DATE SOLD

CAPITAL GAIN DIVIDENDS

DESCRIPTION OF PROPERTY

BAA

MFO MORGAN STANLEY INSTL FD INC EMERGING

TOTAL LONG TERM CAPITAL GAIN/LOSS-

END OF SALES SCHEDULE

Ţ PAGE

SISAB

PROCEEDS

TONG LERM CAPITAL CAINS AND LOSSES

XDZ MARKETS PORT CL A

00 0

142,069 51

16.960,527

00 0

16 90,527

15 690,527

### The Northern Trust Company or South Labelle Street, Chicago, Illimon 60675 (1112) 630 6000

T203

PAGE

### OTTERIOD & COMPANY - GLOBAL FUND

SURDNA FOUNDATION - CRANTHAM MAYO VAN

NO JOS 3140

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

SALES

SEALANIAD	รรถาวหาชอ	212A8 7203	SALES PROCEEDS	VGLOS STAG G38JUQDA	DESCRIPTION OF PROPERTY
	11.255,62	80.116,828	45, 1468	-55	TOTAL SHORT TERM CATITAL GAIN/LO
	<b>41 522'9</b> £	80'116'85B	52 951'560		JATGT- <u>Au</u> z
	61.756,1	82.763,27	L5. 929'9L		IV BOT, T. SOINU SOUIR
	06 <sup>-</sup> 99b'l	BZ 782,8P	85 20,72		UNITS 4,731 B2 SDI ACQUIRI
				רם כר	HFO CHO TR CURRENCY HEDGED INTL BD
	Þ1.819	b4 189'88t	88 665'68[	<del>-</del>	NH112: 22,955,98 SOI
	58 256'97	59.8 <i>5</i> 9,73p	65'285'565		UNITS: 59,852.60 SOI
	01-626'5	£6-525,28	£0 202,78		UNITS 10,593.83 SOULR! ACQUIR!
				1	NEO CHO CLOBAL HEOCED EQUITY FD 11:
					SHORT TERM CAPITAL CAINS AND LOSSES
	CAIN/LOSS	<u>21348</u>	PROCEEDS	TECONI RED	DESCRIPTION OF PROPERTY

111 HEO CHO IN EMERCING COUNTRY DEBT FD CL TONG LERW CAPITAL CAINS AND LOSSES

ACCOUNT NO 26-69328

DLBSS

BLZID

## The Northern Trust Company to south Lasale street, Chicago, Illinois 60675 (212) 630-6000

OTTERLOD & COMPANY - CLOBAL FUND SURDIA FOUNDATION - GRANTHAM MAYO VAN

BAA OLBSS ACCOUNT NO 26-69328 01578

Z 33**7**4

10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF CAINS AND LOSSES

	0 a . C	-16,071,55	ZS 529'702	19.26.081	96-90-21 10-19-10	20FD 80FD	12,166,15	:STINU
						FD CC 111	HALL CAP VALUE	NEO ENO 18 21
	00.0	-28.633,53	£8 655'6D5	000'9 <del>5</del> 5	10-18-01 10-12-01	SOLD ACQUIRED	00.913,15	STINU
		ı				OS III ED	INSTRINSIC VAL	MFO CHO 1NTL
	00 0	-1/-602,02	82,255,221	78.84501	76-12-01	VCON18ED 20FD	TF. 858, 11	'STINU
	00.0	- 56 151'19	59 625,0AS	17.172,571	26-10-20 00-21-20	SOLD ACQUIRED	00.212,91	. STINU
	aa o	- 42. 271, 48	19 562'922	75.251,291	96-82-21 96-82-21	ACQUIRED SOLD	<b>ቅ</b> ቅ. 8 ዲ ፕ , Հ ኗ	-SIINN
					ר	DEBT FD C	MERCING COUNTRY	HFO CNO TR EP
	00 Q	-18.638,77	01 885'552	65.257,771	00-71-7D 89-25-51	SOLD ACQUIRED	15 601,05	1STINU
	n o - g	-69 <sup>-</sup> 518'55	87,885,S&£	40 PTQ.T8	00-71-70 6-10-70	VCONIBED ZOFD	8l 556'6	STINU
)	00 0	-Z5 519 <sup>4</sup> 95	848,86 <u>5</u>	LZ 19L'10Z	86-12-21 90-21-20	SOLD SOLD	58.958,55	:SIINN
	28% RATE GAIU <u>(Loss</u>	<u>₹</u> ₹₹₽	CO51 212A8		DATE SOLOV		YIBBGOPERIY	DESCRIBITOR OF

### The Northern Trast Company or south Lasale suver, Chicago, Illimuis 60675 (212) 630-6000

OTTERLOO & COMPANY - GLOBAL FUND SURDNA FOUNDATION - CRANTHAM MAYO VAN

07822 ACCOUNT NO 26-69328 84Z10

PAGE 5

07-01-00 THXOUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEGERAL SCHEDULE OF CAINS AND LOSSES** 

SBX RA GAIN/LO	SSOTANIAS	7200 212 <b>41</b>	SOZEEDS SVIES	DATE SOLDV		DERIK	DESCRIPTION OF PR
0	-20 815,52	25 <sup>-</sup> £[2'25£	6£ 5£1,60£	75-08-86 01-31-01	VCGUIRED SOLD	9£.SS8,SS	, STINU
					111 O J J	ттира озоазн	MED CHO CFORME
0	-12 5951925	85.P28,1B2,I	11 605'55£'I	56-92-5D [0-11-10	VCONIKED ZOFD	11'260'591	ISTINU
.0	- £9 . 05 6 , L	SI 5/5'6	25'955' <i>L</i>	10-12-10	2002	65 416	STINU
,0	-69,285,71	81,502 98	62 610'59	56-50-40 56-50-40	ACQUIRED SOLD	SS 05/./	· 211NU
•	-40'007447	04 306110	42 4501kg	96-12-2t	ACQUIRED	76 no.111	. 517110
0	-52.020.1	11.156,6	67 062,Z	10-12-10	201024	57.484	ISTINU
_		45 45 ,,	14 /44 %/	96-10-40	ACQUIRED	, - 111, 5	
- a	-26 528'Sl	88'822'19	[6'55 <b>\$</b> *	15-52-69 01-21-01	VCONIBED 20FD	50.827.2	'STINU
'0	-95 <sup>-</sup> 202'5	£9'ZL5'5Z	19,270.17	[0-15-10 79-10-70	ACQUIRED SOLD	56 222'3	STINU
'0	-78 820,02	<b>?</b> 5'5!\$'899	95-955-825	03-21-01	COCO	85 9/6'59	iSTINU
				18-52-81	ACQUIRED		
٠.0	-Bo 589'9	161,232 00	ZS <i>L</i> 95'99T	09-01-68 03-21-01	ACQUIRED SOLD	04'665'41	121INU
				86-10-90	ACQUIRED		

### The Northern Triist Company or Such Escal Chicago Illmou 60675 (212) 630-6000

PACE

OLLERIOD & COMPANY - CLOBAL FUND NAV DYAM MAHTHARA - MOITAGHUOT ANGRUZ

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

00.0	-65 692'66	62 296'592'1	07 <b>58</b> 8,86f,1	UNITS, 115,360.45 SOLO 01-31-01 ACQUIRED 12-22-99
				MFO GMO TR CURRENCY HEDGED INTL BO FD CL
00 0	-21 (00,59	221,395 80	T0.982, <b>9</b> 81	10-12-10 G2 CE.P37,81 ;211NU 76-91-11 G3R1UP3A
00-0	-69'685'001	29.291,772	£6.203,37 <u>5</u>	UNITS: 30,640 60 SOLD 01-31-01 ACQUIRED 10-51-97
				HFO CHO TR EMERGING COUNTRY DEBT FO CL
00.0	-01.380,22	01'980'085	00,000,322	10-11-10 G20 15.800,8P 211UU ACQUIRED 12-09-95
				MFO GHO EMERGING MARKETS FUND III
00.0	19.269,8	72, 822, SA	86 852'95	UNITS- 5,599.15 SOLD 01-21-01 ACQUIRED 12-29-99
00-0	88 SÞS	61 629'12	10.279,1S	UNITS: 2,660,42 SOLD 01-51-01 Acquired 07-08-99
00-0	48.568,8	£7.895,111	29.285,021	UNITS: 14,562.06 SOLD 01-51-01 Acquired 12-29-98
S8% RATE <u>Calmiloss</u>	CALNYLOSS	T203 RIZAB	231A2 <u>2</u> 033 <u>0089</u>	VG_SCRIPIIQN_OF PROPERIY AC_MDITSI222

MED GNO INFLATION INDEX 8D III

ACCOUNT NO 26-69328

D7822

87510

### The Northern Trust Company of States Sucra, Chusgo illmois 60675 (212) 630-6000

OTTERLOO & COMPANY - CLOBAL FUND SURDNA FOUNDATION - CRANTHAM MAYO VAN

10-01-00 HONORHI 00-10-20 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

	23 216 876		23 222 872	HEG GHO TR SHALL CAP VALUE FD CL III
				CAPLTAL GAIN DIVIDENDS
28% RATE Galykloss	<u>รรถา</u> วทุ≀ษฐ	C057 212A8	SALES <u>PROCEEDS</u>	DESCRIPTION OF PROPERTY DESCRIPTION OF PROPERTY
00 0	-01 959'215'1	<b>59 516'556'11</b>	<b>55 652'555'01</b>	ำ♥ <u>101- व</u> กร
00 0	-21 051,02	57.051,052	00'000'005	04175; 48,872.86 04-04-01 ACQUIRED 04-09-98
				HFO EMO TR US BD/GLOBAL ALPHA A FD CL
00,0	05'699'52	02.022,274	00,000,002	UNITS, 96,685 36 SOLD 04-00-01 Acquired 06-09-98
				HEO CHO INFLATION INDEX BD 111
00 D	-60'095'961	6D <sup>-</sup> Q75'769	00 000'009	UNITS 17,158,32 O4-04-01 ACQUIRED 11-19-97
				HED CHO TR EHERGING COUNTRY DEBT FD CL
00 0	- 55 095 051	pp.092,972,5	00.000.652,5	UNITS: 219,589 76 SOLD 01-31-01 ACQUIRED 06-09-98
				HEG CHO TR US BD/CLOBAL ALPHA A FD CL
00 8	81 072,51	28 629'555	DO 000'955	UNITS: 42,679,43 SOLD 01-51-01 ACQUIRED 06-09-98
28% RATE <u>Ca</u> inalos <u>s</u>	CAIN/LOSS	COST Sizas	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

L5 LSL'89Z

15,757,835

39A9 2

**X0Z** 

HEO CHO CROWTH FUND III

BAA

ACCOUNT NO 26-69328

04858

87510

### The Northern Trust Company or south Labelle street, Chicago Illmous 60675 (312) 630-6000

**BYCE** 

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

OTTERLOO & COMPANY - GLOBAL FUND

NAV OYAM MARTHARA - MOITAGNUOT ANDRE

	99.290,92		53.8pp, p2	<b>≈</b> 92	HPO CHO INTERNATIONAL SMALL CO III
	98.830,611		98.890.911	<b>%02</b>	HEO GHO TR SHALL CAP VALUE FD CL III
	2,128,938.93		26 826'821'Z	20%	MEG EMO INTL INSTRINSIC VALUE III FD
	490,050,12		d90,050 12	<b>%02</b>	MFO BMO TR SMALL CAP GROWTH FD CL III
	29 952'50£		29 552'505	<b>%</b> 0Z	MED CORE III
	\$1.772,58 <b>č</b>		PI 172,582	*02	MFO GMO CORE 111
	P9,882,57		<b>96,888,</b> የፕ	202	HED GHO INTERNATIONAL SHALL CO III
	78.9Pf.002		78 6PI,002	202	MED GMD INTL INSTRINSIC VALUE III FD
	17.782,7		17,785,7	<b>%02</b>	
	ì			ו כר	MFO GMO TR CURRENCY HEDGED INTL BD FD
	16 121,262		79,72T,29 <u>S</u>	<b>X</b> 02	MFO SMO TR SHALL CAP GROWTH FD CL III
	99 269'59		99`269'59	<b>X</b> 0 <b>Z</b>	MFO CHO VALUE FUND III
	T8 595'Zb		18 292'25	<b>x0</b> z	
Z8% RATE CALUNLOSS	\$\$07/HTØ5	1202 2.1 <u>2</u> A <u>B</u>	29.142 203330119	DATE SOLD/	DESCRIPTION OF ERQPERIX

MED SHO CROWTH FUND 111

OTB22

81218

ACCOUNT NO 26-69528

### The Northern Trust Company 50 South Lassille Street, Chicago, Illinois 60675 (312) 630-6000

DAL FUND	16ANY - CLOB	צרסס ע כסו	3110
NAV OYAH HA	AHTWARƏ - MC	FOUNDATIO	SURDNA

03-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDERAL SCHEDULE OF GAINS AND LOSSES** 

30A9 T

00-0	3,431,950 35	99.216,220,11	66~598'182'51	-	TOTAL LONG TERM CARLIAL GALAKLOSS
00.0	15.808,ppe,p	00.0	55 909'956'b		JATOT-84Z
	69-299-19		65 <i>15</i> 5'lb	202	HEO CHO AVENE ENAD III
	01,782,881		01 485'881	<b>x</b> 02	
20x RATE <u>6</u> 21\/1 <u>655</u>	CVINTOSS	T203 <u>212</u> 4 <u>8</u>	20333 <u>0019</u>	VATE SOLD	DESCRIPTION OF PROPERTY

END OF SALES SCHEDULE

DLBSS

87510

ACCOUNT NO. 26-69528

### The Northern Trust Company

27 503 sionall tassas Chasso, Ilhaes St. 6700 8

OTTERLOO & COMPANY - CLOBAL FUND NAV OYAM MAHTMARD - WOITAONUOR ANGRUZ

ACCOUNT NO 26-69328

07822 872 I O

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

71.255,35 NET SHORT TERM CAPITAL CAINS AND LOSSES

71.255,35 TOTAL SHORT TERM CAPITAL GAINS AND LOSSES

TONG LEGH CAINS AND LOSSES

2'421'620 22 NET LONG TERM CAPITAL CAINS AND LOSSES - 20 %

00.0 MET LONG TERM CAPITAL CAINS AND LOSSES - 28 X

2,431,950.55 SAB TOTAL LONG TERM CAINS AND LOSSES

TOTAL LONG TERM CAPITAL CAINS AND LOSSES (ATTE DAINE NO LOSSES)

75.981/89 + 8 hor' of mes potal

55 056'156'S

PACE

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

T CO ' INC' SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-33705

AMEREN CORP COMMON STOCK

0.4822

01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

PAGE I

88.255,2	p8 p7p,22	27 808,88	00-50-00 06-51-00	VCGNIKED 20rd	SHARES: 1,000 00
					AMEREN CORP COMMON STOCK
57.826.8	28.972,22	85 ££5'Z5	00-02-50 00-18-60	VCONIBED SOLD	SHARES: 1,000.00
					AMEREN CORP COMMON STOCK
19:120:11	61 209'99	80,623.80	00-50-00 06-12-00	VCGNIKED 20rd	2HARES: 1,900.00
					AMEREN CORP COMMON STOCK
16.502.91	90,582,09	26 589'911	0¢-50-00 08-12-00	VCONIBED 20FD	SHARES: 2,700.00
					AMEREN CORP COMMON STOCK
26.772,51	62 712,8p	ps 866,88	00-50-00 06-15-00	VCONIKED 20rd	SHARES. 1,500.00
					<b>Р</b> МЕКЕИ СОКЬ СОНМОИ 210CK
				FOZZEZ	SHORT TERM CAPITAL GAINS AND
CVINCEOSS	SYSV <b>a</b>	SALES	DATE SOLD\		DESCRIBITION OF PROPERTY

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

T CO ' INC BAA 07822 SURDIA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-55705 SPSIO

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

72 319, pE	69 602'99	90 922'101	SHARES 2,800.00 SOLD 11-29-00 ACQUIRED 04-27-00
8255,15	97. p68, SZ	92 822 <b>.</b> 92	SHARES: 1,500.00 SOLD 11-29-00 ACQUIRED 04-20-00
05 712,23	82 027,88	87.721,081	SHARES: 5,600.00 SOLD 11-29-00
62 065'25	06 562,25	61 59 <i>L</i> '98	SHARES: 2,400 00 SOLD 11-29-00
			#WEORG FT JAMES CORP CSH & STK MRGR TO GA PAC CORP (GA PAC GRP COM 2347112 G 2644 CASH 329 EFF 11/27/00
81'922'06	17.678,028	68.508,052	SHARES: 16,600 00 SOLD 09-22-00 Acquired 04-20-00
			XCEF ENERGY INC COM
4,280 50	85 062,65	87 072,88	SHARES: 875 00 SOLD 09-22-00
			AMEREN CORP COMMON STOCK
20.829	52.981,4	78 558,2	SHARES: 125.00 SOLD 09-21-00 ACQUIRED 04-20-00
SSOT/NIVS	COST BASIS	EKOCEEDS 2Vre2	DESCRIPTION OF PROPERTY ACQUIRED

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# The Northern Trust Company 50 South LeSalle Street, Chicago, Illinois 60675 (312) 630-6000

& CO., INC. SURDNA FOUNDATION - SANFORD C BERNSTEIN

ACCOUNT NO. 26-33705

OVBSS 25210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

PAGE

GAIN/LOSS	COST EASIS	EKOCEEDS 24 FE 2	DATE SOLD.		KOPERIX	SCRIPTION OF PE	DE
20 655'151	99.881,115	89 454'295	11-29-00	COC	12,800 00	SHARES	
			00-82-50	ACQUIRED			
<b>98.72</b> P, <b>9</b> S	89.998,79	72,506,57	02-01-00 11-58-00	VCONIBED 20rd	2,000 00	SHARES	
						222311	
95.665,15	90 965'29	29 566'86	02-02-00 11-58-00	VCGUIRED SOLD	00 009'Z	2H <b>Y</b> KE21	
65 676,22	27 B62,19	22 872,721	11-58-00	SOLD	00.008,5	SHARES	
			00-50-50	ACQUIRED			
98 £60'ZI	24,058 30	26,152 16	11-29-00	2001	00 000'1	SHARES	
•• ••	2. 200 /2	30 300 71	00-01-90	ACQUIRED	00 003 (	334113	
01 151'81	51 480'95	52.852,92	00-50-90 11-56-60	VCONIKED 20rd	00 005'T	2H <b>V</b> KE2 <sup>1</sup>	
98.260,51	0\$ 880,PS	36,152,16	11-58-00	aoro	00.000,1	SHARES	
			00-80-90	VCGUIRED			
22.912.1	05 500'\$	20.912.2	11-29-00	SOLD	155.00	SHARES	
0, 010 11	27 3.3 72	7. 22. 7.	00-80-90	VCGUIRED		3364113	
69:256'11	72,915,PS	91 251'99	00-51-90 00-51-90	VCONIKED 20FD	00 000'I	SHARES	
68.788,71	52.022,35	<b>52 822,42</b>	00-51-90 00-62-11	SOLD SOLD	00 005'I	SHARES	

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

\$ CO'' INC SURDNA FOUNDATION - SANFORD C. BERNSTEIN

BAA 07822 ACCOUNT NO. 26-33705 25210

NEMERE KABBERMAID INC COM

FEDERAL SCHEDULE OF GAINS AND LOSSES

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

PAGE

- 19.700, I	90 255,27	59'925'54	SHARES. 2,900 00 SOLD 05-17-01 ACQUIRED 06-14-00
			NEMELL RUBBERMAID INC COM
91.0	76.S	sı s	SHARES 0.12 SOLD 12-01-00 ACQUIRED 07-26-00
7	20 2	21 1	CEOKCIA PAC CORP COM-GEORGIA PAC GROUP
			00-90-L0 D34100A
2,438 95	26.502.9	98 156'6	21E 00 20 11-29-00
			VCONIKED 00-22-00
12,200 26	06.126,55	91 251'98	SHARES: 1,000.00 SOLD 11-29-00
			VCGNIKED 00-SI-00
72 278,21	25'265 61	82.737,92	SHARES: 1,100 00 SOLD 11-29-00
			VCGUIRED 06-20-00
20 S87,8S	65 121 65	48 616,27	SHARES: 2,100.00 SOLD 11-29-00
			VCGUIRED 06-19-00
59 162'21	IS 958'SZ	26,152.16	SHARES: 1,000 00 SOLD 11-29-00
			ACQUIRED 06-16-00
91 560'11	78 BIS. 85	\$0 \$19'05	SHARES: 1,400 00 SOLD 11-29-00
	**************************************	*******	######################################
SSOTZNIVŠ	ZIZAĄ	<u>BROCEEDS</u> 2 <b>V</b> CE2	DESCRIPTION OF PROPERTY ACQUIRED ACQUIRED
	1803	93 IA9	AN DATE OU DATE

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illinous 60675 (312) 630-6000

\* CO ' INC' SURDNA FOUNDATION - SANFORD C. BERNSTEIN

ACCOUNT NO. 26-33705

07822 2 p Z I 0

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

			00-02-01	ACQUIRED			
16 598'9	56 516'55	56 611,12	10-50-90	อาจร	2,000 00	SHARES	
			10-52-00	VCONIBED			
15,620,55	75 959 551	£7 266,281	10-50-90	alos	00.002,8	SHARES.	
			00-61-01	VCONIKED			
80.687,2S	198'422 00	89 511'561	TO-50-90	SOLD	00 002,7	SHARES	
			00-81-01	ACQUIRED			
16,952,28	65 582'021	17 215,781	10-50-90	алоѕ	00 002'5	SHARES	
			00-11-01	<b>VCONIKED</b>			
91 866'5	SI 187,22	16 677,12	10-50-90	ZOLD	00 000'Z	SHARES:	
			00-91-01	ACQUIRED			
29.092,4	52.289,15	96 572'98	10-50-90	aocs	00 005'T	SHARES	
			00-51-90	<b>VCONIKED</b>			
-62 SSI	02 652'611	119,095 81	てロータロータロ	гос	00 009'5	SHARES	
			00-51-90	<b>VCONIKED</b>			
-05 098	101,511 55	28.079,001	10-90-90	anos	2,900 00	SHARES	
					ID INC COM	NEMETT KUBBERNA	
			00-51-90	VCGNIKED			
-99.129	29.292,19	81.519,02	10-12-50	SOLD	00 009'T	SHARES.	
GAINZLOSS	TSA4 ZIZA4	PROCEEDS SALES	DATE SOLD/ ACQUIRED		YIBBGO	DESCRIBLION OF PR	J

## The Northern Trust Company 50 South Lasaile Street, Chicago, Illinous 60675 (312) 630-6000

30A9 8

# CO'' INC' SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705

07822

01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBYL SCHEDULE OF GAINS AND LOSSES** 

			00-72-70	ACQUIRED		
56 798,82	142'480 58	199,558.21	10-92-90	SOLD	00 006'5	SHARES
			00-92-10	ACQUIRED		
69,321 35	18.758,091	25 621,035	10-92-90	anos	88 669'L	SHARES
			di	NOSS SAS A	сокь сом-сеокет	CEORGIA PAC
			00-61-60	ACQUIRED		
21.722,781	05'556'515	55'265'255	10-92-90	2סרם	00.005.61	SHARES
			00-81-60	<b>VCGNIKED</b>		
05 L69'Ib	12 202,551	18 005,781	10-92-90	SOLD	00 008'5	SHARES
				моэ чяоэ	34 ATMAS WHTR	BURLINGTON NO
			10-21-00	VCGNIKED		
71,890,17	07.628,03	78.728,S8	10-50-90	SOLD	2,200 00	SHARES
			00-12-01	VCGNIKED		
51,022 23	122,585,46	69'405'981	10-50-90	SOLD	00 002,7	2HARES:
			10-56-00	ACQUIRED		
62 525'6	99 121'52	56.353,88	10-50-90	SOLD	00.002,1	SHARES
52.292,0S	103,726 55	64 142'521	10-5d-00 00-0d-01	VCGUIRED SOLD	00 008'5	2HPKE21
9E 393 0E	33 762 201	UL 126 761	10 70 70	u 103	00 008 9	. 220402
			10-52-00	<b>VCONIKED</b>		
91 590'6	£7.038,22	68.PST,Pb	10-50-90	20רם	00.002,S	SHARES
SSOTZNIYO	SISVE	PROCEEDS	ACQUIRED		ъкобекти	DESCRIBLION OF
	1200	SYLES	DATE SOLD			

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

1 CO., INC. SURDNA FOUNDATION - SANFORD C. BERNSTEIN

02855 ACCOUNT NO 26-33705 25210

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

28% RATE GAIN/LOSS	SEDINITOS	T200 ZIZAB	SALES	DATE SOLD		YIABGORG	DESCRIBITON OF
	<b>&gt;&gt; 59</b> \$'005'I	95 LOL'802'S	00.270,608,6	-5	CAIN/LOSS	TERM CAPITAL	TOTAL SHORT
	55.238,002,1	95.707,808,2	00 210'608'9				ALOI-4UR
	9\$ 656'5\$[	00 515'599	92,272,867		VCGUIRED SOLD	00 000'01	SHARES
						¥ 75-g.	XL CAPITAL LT
	16'155'65	87 22,326 48	62 928'152		VCONIKED 20FD	2,200 00	SHARES
						ם-כר ∀	XL CAPITAL LT
	10.722,23	00 021'005	10 722,822		SOLD SOLD	00.000,21	2HVKE2:
						T INC COM	WASHINGTON MU
	S2 705,1	94 255'5	82 194'9		VCONIKED 20rd	200 75	2H <b>P</b> KE21
	59. <i>LL</i> Z'91	£9 962'IS	82 215,13		PCGUIRED SOLD	00 000'Z	SHARES
	P\$'\$01'01	£0.044,0£	72.242,04		PCGNIKED 20rd	00 00Z'T	1 ZBRAHZ
	19 TSS., 9	52,855,42	91 98L'EE		VCGNIKED 20FD	00.000,1	SHARES
	SS07/NI¥5	SISA4	SACEEDS PROCEEDS	DATE SOLD. ACQUIRED		хтизаоиа	DESCRIBITON OF

FONC LERM CAPITAL CAINS AND LOSSES

### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

\* CO'' INC' SURDNA FOUNDATION - SANFORD C BERNSTEIN

BAA 07822 ACCOUNT NO 26-53705 29210

92 951 ELL 08-21-00

MERGER TO STORA ENSO OYJ #2-848344 #REORG CONSOLIDATED PAPERS INC COM STK

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

**PAGE** 

00 0	25.272,05	68 180'55	þī.722,22	SHARES: 1,400 00 SOLD 07-15-00 ACQUIRED 09-16-98
				92 621 EFF 08-31-00 Wercer to Store Enso OyJ #2-848344 8xeorg Consolidated Papers Inc Com Stk
00.0	88 S28'5I	ps pas,7s	22 DOP'29	SHARES: 1,100.00 SOLD 07-14-98
				#REORG CONZOLIDATED PAPERS INC COM STK WERGER TO STORA ENSO OYJ #2-848344 93,621 EFF 08-31-00
00.0	96 051'51	pg p99'12	0£ 51£'Zb	SHARES: 1,100 00 SOLD 07-11-00 ACQUIRED 09-16-98
				92 951 ELE 08-21-00 Wercek to 210ka enso oal #2-848344 92 951 Ele 08-21-00
00.0	81.857,55	\$5.665,52	19.788,28	SHARES: 1,700.00 SOLD 07-07-00 ACQUIRED 09-16-98
				#REORG CONSOLIDATED PAPERS INC COM STK Merger to Stora enso oyj #2-848344 33.621 eff 08-31-00
28% RATE 2201/NIA2	SAIN/LOSS	T203 212 <u>A</u> 9	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

T CO ' INC 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705 01545

92 951 ELL 08-21-00

MERGER TO STORA ENSO OYJ #2-848544 \*REORG CONSOLIDATED PAPERS INC COM STK

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00.0	55.595,6	S5 712,7	01 086'11	SHARES: 500 00 SOLD 07-20-00 86-31-90 GANINED 09-16-98
				## PERCER TO STORE ENSO OYJ #2-848344 #REGER TO STORE ENSO OYJ #2-848344 ## AFEORG CONSOLIDATED PRPERS INC COM STK
00.0	₹1 <b>5</b> 78'91	65 830,25	99 226'62	SHARES: 1,000.00 SOLD 07-19-00 ACQUIRED 09-16-98
				92 621 EFF 08-51-00 Herger to Store Enso Oyl #2-848344 #Reorg Consolidated Papers inc Com Stk
00.0	11.278,21	65.820,25	29,955 66	SHARES: 1,000.00 SOLD 07-18-00
				#REGRG CONSOLIDATED PAPERS INC COM STK Herger to Stora enso Oyl #2-848344 33 621 eff 08-31-00
00 0	LS 956'bl	65 850'52	91 510'05	SHARES: 1,000.00 SOLD 07-14-00 BE-91-90
				93.621 EFF 08-31-00 Mercer to Store Enso OyJ #2-848344 93.621 EFF 08-31-00
00.0	\$0 816,S	07 IIO.2	27.386,7	SHARES: 200.00 SOLD 07-14-00 ACQUIRED 09-16-98
28% RATE GAIN/LOSS	SSOT/NIVO	T203 212A8	SALES	DESCRIPTION OF PROPERTY ACQUIRED

# CO ' INC' 8AA 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-33705 26210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

SHARES: 1,400.00 \$6.00 \$0.00 \$
SHARES: 1,197 00 SOLD 08-23-00 42,616.11 25,890.04 18,566.07 0.00  SHARES: 1,197 00 SOLD 08-23-00 44,456 11 25,890.04 18,566.07 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,716.01 50,499.05 17,607.01 42,809.85 17,607.01 0.00  SHARES: 1,597 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,597 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,597 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.35 7,607.01 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 08-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 09-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 09-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 09-28-00 42,413.02 34,805.85 7,908.29 0.00  SHARES: 1,197 00 SOLD 09-28-00 42,413.02 4,909.85 7,909
SHARES: 1, 197 00 500 0
ACQUIRED 09-10-97  SHARES: 1,597 00 SOLD 08-28-00
SHARES: 1,200 00 SOLD SOLD 08-28-00 00 00 00 00 00 00 00 00 00 00 00 00
O0.0 07 703,7 25 208,95 20.519,59 00.85.80 00.00
SHARES: 1,597 00 SOLD 08-28-00 56,464.66 46,408.94 10,035 72 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
ACQUIRED 09-12-97  AMERICAN STD COS INC DEL COM  SHARES: 2,000.00 SOLD 08-30-00  ACQUIRED 09-21-98  CO.0 To.068,22 Pt.66 11 S2,890.04 18,566.00  CO.0 To.068,25 Tt.69,496 11 S2,890.06 18,566.00
00 0 PT 181,52 T1.25,02 16.31,52 00.000 00.000,5 181,82 PC 00.000 00.000,5 181,82 PC 00.000 00.000,5 181,82 PC 00.000 00.000,5 181,82 PC 00.000 00.000,5 181,82 PC 00
86-12-60 D3/10/18/ED 09-21-98 00.0 d2-30-00 096 13/8/ES 11 96/456

T CO'' INC ACCOUNT NO 26-53705 SURDNA FOUNDATION - SANFORD C. BERNSTEIN

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PAGE

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

28% RATE Gain/Loss	CAIN/LOSS	COST EASIS	SALES PROCEEDS	DATE SOLDV ACQUIRED	DEERTY	DESCRIPTION OF PRO
00.0	ZI:850'81	\$8.17p,2S	56.655,84	08-52-88 08-20-00	940.00 SOLD ACQUIRED	SHARES:
					А ІИС СОМ	VITECHENA ENERC
00 0	64 6I	81 78	76.80I	08-21-60 08-21-00	2.00 SOLD ACQUIRED	SHARES
00.0	81 889'L	88 719,88	11 252'95	00-12-80	1,300 00 SOLD	SHARES
00.0	87,526,5	02.606,05	81.528,45	06-16-00 08-21-00	ACQUIRED CLOS 00.798 GLOS 00.798	SHARES:
	30 371 13	., <b></b>			г инс рег сон	AMERICAN STD CO
00.0	60.848,IS	95.708,62	55:551:15	06-52-68 08-21-00	1,100.00 ACQUIRED	2H <b>V</b> KE2†
00 0	59'200'6Z	£5,343,04	£1 059'69	00-12-80		OD GTS NADIREMA
		051010101	17.050149	08-52-88 08-21-00	T'200 00 VCONIKED	2HAKE2:
00 0	<b>70</b> 133 00	C, C, Z			Z INC DEF COM	AMERICAN STD CO
00.0	<b>∀8 ISS'8</b> Z	Z9 Z95'6£	95 5II'89	86-52-60 06-01-00	I,460.00 ACQUIRED	SHARES:

# CO'' INC SURDNA FOUNDATION - SAMFORD C. BERNSTEIN

**BAA** 07822 ACCOUNT NO 26-33705 01545

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10-02-30 HDUORHT 00-10-70 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF CAINS AND LOSSES

00 0	95.259,85	9£'85Z'55I	88.519,181		VCONIKED VOLD	00 008'9	SHARES:
00 0	88 681'S	LL'L85'6Z	59.777,28	<del>-</del>	SOLD SOLD	00.002,1	SHARES
						сон :	XCEF ENERGA INC
00.0	19 511'9	ነ፤ ቅደፍ የዩ	<b>58.6</b> 20,02		VCONIKED 20rd	00.801,1	2HARES
						A INC COM	ALLEGHENY ENERG
00.0	20.962,8	58.521,88	78.822,62		PCONIKED 20rd	DD 790,1	SHARES
00.0	22.206,2	£9:65['0£	58.f20,85		VCGUIRED SOLD	00 000'1	SHARES
00 0	20.227,2	28.862.0 <i>5</i>	₽8.120, <b>3</b> ₹		VCGNIKED 20FD	00.000,τ	SHARES
00.0	87.623,8	85.680,81	92.927,12		VCGUIRED SOLD	603.00	SHARES
						A INC COM	УГГЕСНЕИХ ЕИЕВС
00.0	802 12	Z0 £90'I	51 <b>998</b> '1		ACQUIRED SOLD	00 05	SHARES:
S8% RATE GAIN/LOSS	CAIN/LOSS	T203 SISAS	SALES	DATE SOLD/		OPERTY	DESCRIBLION OF ER

# CO., INC. SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705

CIENA CORP , COMMON STOCK,

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01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

I 2

00.0	58.206,55	12,125,87	90'922'101	SHARES: 2,800 00 SOLD 11-29-00 ACQUIRED 11-19-99
				3 2644 CASH 329 EFF 11/27/00 GA PAC CORP (GA PAC GRP COM 2347112 AREORG FT JAMES CORP CSP STK MRGR TO
00 0	6)286 15	89.025,83	08.618,77	SHARES: 3,000.00 SOLD 09-22-00 ACQUIRED 08-06-99
				XCEF ENERGY INC COM ACQUIRED 08-06-99
00.0	11 \$55'1	97 551,6	78 272,01	SHARES: 400 00 SOLD 09-19-00
00 0	<b>90.65</b> 2,6	05 SSO,12	<b>bb [65'L</b> b	SHARES: 1,800.00 SOLD 09-19-00 ACQUIRED 08-04-99
				XCEF ENERGY INC COM
00.0	57.981.2	98.70 <b>S</b> ,81	65 STE, 1S	SHARES: 800 00 SOLD 09-15-00 ACQUIRED 08-02-99
				XCEF ENERGY INC COM
00 0	90.7 <b>3</b> 5,5	12'984 14	16,051.25	SHARES: 600.00 SOLD 09-15-00 ACQUIRED 08-04-99
S8% RATE GAIN/LOSS	CAIN/LOSS	COST BASIS	SALES PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED

T CO ' INC' 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705 01565

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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00.0	-06 898'61	SI 518'55	5Z'S56'5T	01-02-01 01-22-01	VCGUIRED SOLD	00.000,I	SHARES
					оск	т в соммом вто	DIFFEEDS INC C
00.0	69.920,82	02.918,751	66 059'\$41		VCGUIRED SOLD	00.005,5	SHARES
00.0	<b>50 155,2</b> 5	86.525,971	20.065, 225	86-10-90 10-91-10	VCONIBED ZOFD	00.001,5	SHARES
				da	MEKCEK EL	INDZ INC CASH	S/30/01 #REORG LITTON
00.0	D> £51'6Z	00.528,791	05.866,855	01-22-60 15-16-00	ZOLD ACQUIRED	2,239 00	SHARES.
00 0	05 652'991	80 722,221,1	85 961'00£'l	96-22-40 15-16-00	SOLD SOLD	00.195,81	2HARES:
				<b>L</b> 50	8 922'22S	NC CYZH NEKCEI	#REORG AETNA I EFF 12/13/00
00.0	<b>99 [9]'9</b> ZZ	78.82I,99	12.005,555	96-11-10 00-62-11	VCGUIRED SOLD	00.008,5	2H <b>V</b> BE2‡
BTAR %8S SEOJNIAD	CAIN/LOSS	1200 <u>212A8</u>	SALES	DATE SOLD/ ACQUIRED		ROPERTY	DESCRIBITION OF P

BERGEN BRUNSMIG CORP , CLASS A COMMON

STOCK 01 50 PAR

T CO ' INC 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705 01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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PAGE

00.0	02.25,2	SI 088'81	S\$ 50\$'IZ	ACQUIRED 06-28-99 SOLD 05-01	SHARES
				CLASS A COMMON	STOCK #1 50 PAR
00 0	-95 059'68	LB L91'LL	19.712,78	VCGUIKED 02-21-98	2HVEZ: S'IC
				ном этоск	DIFFERDS INC OF A COMP
00.0	- 44.926,54	12,862,87	LL 955'0\$	VCONIKED 02-51-88	SHARES, S,00
00 0	-19 8Z9'SI	SE 128,72	17 525,51	V 00 CONIKED 01-02-88	SHARES: 80
00 0	17 867 31	52 135 2C	11 000 01	ION STOCK	
00 0	-19 925'12	08 657,84	61 212'13	VCONIKED 01-02-38	SHARES, 1,40
				ION STOCK	DIFFERDS INC OF A COMP
00 0	66.862, I	08.268.02	52,588 79	COURED 06-21-01 ACQUIRED 06-21-01	ZHVKEZ! I'80
28% RATE CAIN/LOSS	CVINTED22	SIZA4	SALES PROCEEDS	DATE SOLD. ACQUIRED	DESCRIPTION OF PROPERTY

DIFFERDS INC OF A COMMON STOCK

# CO ' INC 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-33705 22510

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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PAGE

00.0 S2 0 67 S 52.5 10-23-01 S2 0 97 S	
CHEMICAL CO., COMMON STOCK,	DOM (
OD 0 -01 52,152,52 52,192,67 52,192,10 00 00 00 00 00 00 00 00 00 00 00 00 0	
OO O SOLD 02-08-01 2,274.21 4,593.33 2,319.12- 0.00 ACQUIRED 03-27-98	
ARDS INC CL A COMMON STOCK	77710
00 0 -08 753,81 03 367,35 17,811,81 02-07-01 02-07-09 00 00 1, 128,627 89- 89-72-50 89-72-88	
ARDS INC CL A COMMON STOCK	מורו
00.0 28.822, 2,400.00 SOLD 02-07-01 (9,152,17) (9,152) 00.00,000,000,000,000,000,000,000,000,0	
EN BRUNSWIG CORP , CLASS A COMMON	
00.0 -17.966,65 32.967,82 67.967,85 20.000.00 00.000,1 :23AARE 86-75-20 BC-01RED 05-27-38	
DATE SOLDV SALES COST COST SALES CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS CAIN/LOSS	DESCRII

DIFLARDS INC CL A COMMON STOCK

T CO ' INC' BAA SURDIA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-33705

STOCK #1 50 PAR

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BERGEN BRUNSWIG CORP., CLASS A COMMON

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	£0 £69'I	61 273,0 <i>&amp;</i>	Z8'89£'Z£	SHARES: 1,800.00 SOLD 02-29-99 ACQUIRED 06-29-99		s S A A R E S s
				STOCK #1.50 PAR Stock #1.50 PAR	г соннои	
00 0	02.696,85	18.676,88	II 555'19	SHARES: 1,200 00 SOLD 02-15-01 ACQUIRED 12-23-98		2HARES 1
00 0	pr	<b>72.731,92</b>	II 555'L9	SHARES: 1,200 00 SOLD 02-15-01 ACQUIRED 12-21-98		SHARES
00.0	75.187,25	\$2,422 15	Sp 205,82	SHARES: 1,000.00 SOLD 02-15-01 ACQUIRED 12-18-98		SHARES
00.0	9\$ 655'92	[p p12,22	<i>LL</i> '\$28'19	SHARES, 1,100.00 SOLD 02-15-01 86-71-SI GENIRED 12-17-98		SHARES
00'0	19'125'61	26 pis'01	22 723,851	SHARES: 2,200 00 SOLD 02-16-01 ACQUIRED 12-16-98		SHARES
00 0	ST 250'08	18.867,66	96.058,671	SHARES, 3,200 00 SOLD 02-15-01 ACQUIRED 12-11-98		SHARES
				MERICAN STD COS INC DEL COM	a/ to oa gaytob	AMERICAN STD C
00 0	- 51 051, 21	62.527,65	51,510,21	SHARES: 800.00 SOLD 02-13-01 ACQUIRED 03-50-98		SHARES
28% RATE	€¥IN\F022	T203 21244	PROCEEDS SALES	DATE SOLD ACQUIRED ACQUIRED		DESCRIBITOR OF EN

# CO., INC. 02855 BAA SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705 25210

GOODRICH CORPORATION

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

8 I

28% RATE SZUZLUSS	SEQUALOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
00 0	81 585'1	TE. T35, TS	21.808,85	SHARES: 1,600.00 SOLD 02-28-01 ACQUIRED 06-29-99
				BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1.50 PAR
00 0	56 <i>9</i> 87	Lb 626'TT	26,438,51	SHARES: 700.00 SOLD 03-05-01 ACQUIRED 06-29-99
00 0	54 215	10 215'B	10 950'6	SHARES: 500 00 50LD 03-05-01 96-10-70 ACQUIRED 03-09-99
				COODRICH CORPORATION
00.0	69.268,7	Zþ.892,čč	11.532,12	SHARES: 1,000 00 SOLD 03-06-01
00'0	91 911	55 155'5	12 951'5	SHARES: 100,000 SOLD 03-06-01 ACQUIRED 12-30-98
				CANADIAN PAC LTD NEW COM STK
00 0	82 991'00T	TO TET, Se	26 £09,591	SHARES: 5,100.00 SOLD 03-08-01 Acquired 01-12-96
				CANADIAN PAC LTD NEW COM STK
00.0	50 900'90 <b>t</b>	02 261'86	52 <b>861'</b> 502	SHARES: 5,400.00 SOLD 05-08-01 ACQUIRED 01-12-96

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01			
SURDNA FOUNDATION - SANFORD C BERNSTEIN	50755-95	ON TNUCCOA	2 p Z t 0
\$ CO., INC		844	0 1 9 S S

00.0	-2 <b>\$</b> \$\$9'{	95 859'81	<b>52 566'9</b> 1	SHARES: 1,100 00 SOLD 05-20-01
				BERGEN BRUNSWIG CORP., CLASS A COMMON STOCK #1.50 PAR
00 0	0 T 6 S 6	0> 618'01	05 877,11	SHARES: 300 00 SOLD 03-16-99
00.0	90.292,2	Z['0Z0'bZ	81 285,72	SHARES: 700 00 SOLD 03-16-01
				GOODRICH CORPORATION
00 0	-09.8 <i>TT</i>	51'556'91	SS <b>S91</b> '91	SHARES: 1,000 00 SOLD 03-15-01 ACQUIRED 07-01-99
				SERCEN BRUNSWIG CORP , CLASS A COMMON STOCK #1 50 PAR
00 0	-79,508	S0 S08'8Z	80 Z00'8Z	SHARES: 1,700 00 SOLD 03-13-01
				STOCK #1 50 PAR
00,0	\$5 L18'6	64.803,22	28 <b>9</b> 25'55	SHARES: 1,300,00 SOLD 03-09-01
28% RATE CAIN/LOSS	CAINZLOSS	7203 21244	SALES	DESCRIPTION OF PROPERTY ACQUIRED

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T CO ' INC 8AA 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO. 26-33705 25210

PUGET ENERGY INC NEW COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	-16 £1	76 ose, I	0 <i>L</i> 905'T	ONIKED 08-12-66 20FD 02-52-01	100 00 ACC	2H <b>V</b> KE2·
00 0	-52 285	<b>52.6</b> 52,21	66 990'ST	SOLD 03-23-01	1,000 00 ACC	SBAHS
00.0	-86 175	12,325 57	65 £50'ZI	NIKED 01-08-88	800 00 ACC	2HARES:
				соннои	A 22A) , CLASS A	ZIOCK ¢I 20 BVK Bekcen bknamic (
				NIKED 01-08-88		
00.0	£2.02	85,180,8	59.151.5	20CD 02-51-01	00.005	SHARES
00.0	99 555	82.805,65	PS, 221, 6S	ONIKED 01-08-33	1,900.00 ACQ	SHARES
				соннои	A 22AJD (.9802	ZIOCK ∲1 ZO ÞÆ Bebeen bbunsmic (
				NIKED 07-08-99	PCC	
00 0	ZL 89	59 528'21	12,906,21	20CD 02-50-01	00 006	SHARES
				(VIRED 07-07-99	אכר	
00 0	-52 £50'Z	96.126,52	19 868'02	10-02-20 Q70S	00.000,5	SHARES
28% RATE GEIN/LOSS	GE IN COSS	7802 212∆4	SALES	DATE SOLD/ ACQUIRED	SERIX	DESCRIBITON OF PROP

# CO'' INC' OLBSS SURDNA FOUNDATION - SANFORD C, BERNSTEIN ACCOUNT NO 26-33705 75210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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28% RATE GAIN/LOSS	CAINZLOSS	COST	PROCEEDS PROCEEDS	DATE SOLD/		<u>operiy</u>	DESCRIPTION OF PR
00 0	-27 273,2	98 962'52	19.029,12	10-92-20	aros	00 000'T	SHARES
				76-02-10	ACQUIRED		
					иомнои В		BERGEN BRUNSWIG Stock #1 50 PAR
00.0	27 22S	12'989 00	27,129,21	10-12-20	SOLD	00 006	SHARES
				08-12-66	<b>VCGUIRED</b>		
00 0	11 6Z	27 TI2,1	98 955'T	10-75-20	COLD	700 00	2HVKE2:
				66-11-80	<b>VCGUIRED</b>		
					S & COMMON		RERGEN BRUNSWIG
00.0	90,79 <del>2</del>	07 923,81	94 950'51	02-58-01	20רם	00 006	SHARES:
				66-11-8D			
00.0	- 79, 202	11,558,00	10,933.05	02-28-01	anos	00.007	SHARES:
				66-92-80	VCGNIKED		
					X	Д ИЕМ СОН 21	CANADIAN PAC LT
00.0	52.656,18	17,28,13	123,273.95	10-02-20	SOLD	00.002,2	SHARES
				96-21-10	ACQUIRED		
					<b>)</b>	D NEM COW 21	CANADIAN PAC LT
00 0	11 206,2S	PS 124,2S	10 198'15	10-20-60	alos	00.002, I	2HARES:
				01-15-69	<b>VCONIKED</b>		

\$ CO ' INC 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705 01545

PG& E CORP COMMON STOCK

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

				26-II-80 (	ACQUIRE	
00 0	-11,155,21	85 876, pS	<i>15</i> 459'01	10-90-50 (	370S 00 820'	SHARES: 1
00.0	- 50 . 2 [ 5 , 5 &	02.180,06	92 899'52		.500 00 ACQUIRE	SHARES; S
00 0	-29,732,3	56.015,11	08 \$91,8	<del>-</del>	VCGUIREI VCS 00 SOLI	2HARES 1
					TOCK	ber E COKH COMMON 2
00 0	59.320,5	19 442,82	52 428,84	•	SOO.OO SOLE ACQUIREE	SHARES: 1
					N	GOODRICH CORPORATIO
00 0	£5 855'[	Z0 160,42	56,258,22		SOO OO SOCI VEGO OO VEGO	ZHARES: 1
						GOODRICH CORPORATIO
00 0	16.805,52	24 <b>28</b> 1,42	99 065'90[		SOCOURED POCONIKED	SHARES: S
00 0	56'592'19	62 008'65	PS 590'121		.300 00 SOLD ACQUIRED	SHARES: 2
SBX RATE	CAINLLOSS	7203 212 <u>4</u> 8	SALES	DATE SOLD/ ACQUIRED	ת	DESCRIBITION OF PROPER

# CO'' INC' 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-33705 25210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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00 0	<i>TT.</i> 23 <i>T</i> ,08	52.285,28	21 051'911	96-21-60 10-61-50	VCOUIRED SOLD	00 00 <b>1,</b> S	SHARES
							ALCAN INC
00 0	-96'990'2	65 285.t	55 1 <i>5</i> 2'1	10-81-90 10-81-90	VCONIKED 20rd	138.00	2HARES:
00.0	-78 878,62	55 518,72	59 266'11	16-91-80 10-81-90	SOLD ACQUIRED	00 000'Z	SHARES
00 0	-16 656'5	SS.117.8	18.855.81	76-11-80 76-11-80	VCGNIKED 20rd	00.588	SHARES
						нои этоск	PGE E CORP COMP
00 0	95'589'2	SI 149'6\$	17 225,8A	66-50-10 10-81-50	SOLD ACQUIRED	00 001'1	SHARES
						NO I TA	вооркісн сокров
00 0	-68.080,1	9\$ 962'52	7p 215,ps	10-71-40 10-71-40	ZOLD ACQUIRED	00 000'T	2HARES 1
						IC NEM COM	PUGET ENERGY IN
00.0	-91.281,81	50°569°011	22,910 51	₹6-11-80 10-90-50	SOLD SOLD	00.008,2	SHARES:
28% RATE <u>Grinzloss</u>	SSOT/NI¥5	COST BASIS	<b>SKOCEEDS</b> 2 <b>V</b> FE2	DATE SOLDV		YIBAGO	DESCRIPTION OF PR

CANADIAN PAC LTD NEW COM STK

						D ИЕМ СОМ 21К	TA DAY NAIDAMAD
00 0	65 <b>/68</b> '5	\\$.00>,\\	98 162,11	96-11-60 10-02-50	VCONIKED 2010	00 00>	SHARES
00.0	99.910,58	SS 561,581	12 278, 252	96-81-60 10-02-50	VCONIKED 20FD	00.005,2	SHARES:
00.0	££ 859'5	£2 649,51	98 262'21	96-11-60 10-02-50	VCONIBED ZOFD	00 005	SHARES
							ALCAN INC
00 0	-09 £95'9£	05 2SI,78I	07 182,001	26-11-60 10-61-90	VCGUIRED SOLD	00.008,5	SHARES
					NC	имор ор я <del>в</del> та	INTERNATIONAL P. Stock #1 Par
00.0	132,096.83	6£ 268,211	22 <b>266</b> '992	96-81-10 10-61-90	VCGNIKED ROFD	00 001'9	123AAH2
						D NEM COW 21K	CANADIAN PAC LT
00.0	112,558.99	<b>55 680'86</b>	211,648.45	96-81-10 10-61-50	YCONIKED ZOFD	00.002,2	SHARES
Z8X RATE GAIN/LOSS	SSOT/NIVS	7203 212 <b>4</b>	SALES	DATE SOLD		ОБЕКІХ	DESCRIBITION OF PR
PAGE 24			CHEDULE OF GAINS AND THEOUGH 06-30-01	ACCOUNT TRANSAC			
		STEIN ,	F CO'' INC DM - S <del>v</del> meokd C bekn	SITAGNUOT ANGRUS B	5	NO. 26-33705	DIS42 ACCOUNT

21 111'95

25 016'9Z

07.805,02

00.0

VCGUIRED 01-18-96

00 005'I

SHARES

20CD 04-50-01

# CO'' INC' 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO. 26-53705 ZbZIO

ALCAN INC

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

SZ

Z8% RATE GAIN/LOSS	SECTIVITES	COST BASISA		DATE SOLD/	ROPERIX	DESCRIBITION OF 6
00.0	42'556 62	64 498'92	22.721.08	96-52-10 10-02 <b>-</b> 50	2,000.00 SOLD ACQUIRED TD NEW COM STK	SHARES:
00 0	07 885,09	£8.058,12	\$5 650'ZII	96-81-10 04-50-01	2,800 00 SOLD ACQUIRED	SHARES:
					иоммор ор жена	INTERNATIONAL STOCK #1 PAR
00.0	-92 558'85	50 922'912	61.188,721	16-11-60 10-50-01	4,100 00 ACQUIRED	SHARES
						ALCAN INC
00.0	62 760,21	69 555' [ 5	82 225,44	06-16-60 0d-52-01	1,014.00 SOLD ACQUIRED	SHARES:
00 0	11.285.11	TO 828, TS	p8.016,88	96-61-60 10-52-50	R86 00 SCOUIRED	SHARES
					иоммор ор язача	INTERNATIONAL STOCK & 1 PAR
90 0	-9 <b>£ 88</b> Z'89	22 995'252	98 775,271	10-52-50 10-52-50	4,599 24 SOLD	2HARES:
00 0	- P8 710,2	182,142 58	PT PS1,871	04-23-01 10-23-01	4,700 76 SOLD ACQUIRED	SHARES

56

PAGE

T CO ' INC' 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-55705 01545

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

SB% RATE <u>Grinzloss</u>	CAIN/LOSS	C021	SALES PROCEEDS	DATE SOLD/ ACQUIRED		OPERIX	DESCRIPTION OF PR
00.0	59 <sup>.</sup> 68 <i>L</i> 'St	10 082,72	99.690,52	96-61-60 10-52-50	SOLD SOLD	1,200.00	2HPKE2:
							ALCAN INC
00.0	58'\$95'5	09:198:21	59'529'81	10-92-50	SOLD	00 515	SHARES
				96-61-60	ACQUIRED		
00 0	85 678,T	86 002'81	95 080,85	10-92-90	anos	00'985	SHARES
				96-02-60	ACQUIRED		
							ALCAN INC
00 0	82.002,6	87 127,15	31,242,13	10-92-50	SOLD	00.007	SHARES
				96-02-60	ACQUIRED		
00 0	72.88B,TI	28,297,85	44,631,60	10-92-50	SOLD	1,000 00	SHARES
				12-12-97	ACQUIRED		
00 0	22 869,01	<b>59 080'9</b> 1	79 877, 3S	10-92-50	ROLD	00 009	SHARES
				15-12-61	ACQUIRED		
					Х	D NEW COM STI	CANADIAN PAC LT
00 U	21 001 09	UL 176 71	71 880 82	10 /6 /0			
00 0	72.051,52	64 498'95	91'886'84	96-52-10 10-92-50	SOLD SOLD	00.000,5	SHARES.
				0/ 17 70	42011ABAY		

STOCK #1 PAR INTERNATIONAL PAPER CO. COMMON

# CO., INC. 07822 SURDNA FOUNDATION - SANFORD C, BERNSTEIN ACCOUNT NO. 26-33705 01545

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

PAGE 7S

00.0	-95.568,61	<b>18.108,</b> 25	\$5.286,8	26-81-80 10-10-90	SOLD ACQUIRED	00 000'I	2H <b>P</b> KE2:
						ION STOCK	РСЕ Е СОКР СОМР
00 0	85.712,2	80.026,0T	8p.72S.2T	86-22-10 10-10-50	ACQUIRED SOLD	PS &16'1	SHARES
00 0	05 pp8,8	91.996,77	99.887,18	86-12-10 10-10-50	SOLD SOLD	82 670,S	SHARES
00.0	96.981,8	05 552'55	95.052,82	01-50-68 02-01-01	ZOFD SOFD	02 <b>58</b> 5'I	SHARES
00.0	85.25	91 992,65	pp {{p,p}}	26-11-60 10-10-50	SOLD ACQUIRED	82.228	SHARES:
					нон	APER CO. COMI	INTERNATIONAL P Stock #1 Par
00.0	58.955.2	98.790.8	12,552 69	46-91-21 10-10-50	SOLD ACQUIRED	00.002	SHARES
00.0	78.825.S1	St 091,81	Z9 601'15	16-51-21 10-10-50	SOLD SOLD	00 001	SHARES
							ALCAN INC
00.0	155 12	05 505'\$6	59.959,59	76-11-60 10-02-50	SOLD SOLD	00.002,S	SHARES
S8% RATE GRIN/LOSS	CAIN/LOSS	COST BASIS	SALES	DATE SOLD\ ACQUIRED		OPERIX	DESCRIPTION OF PR

ALCAN INC

T CO ' INC 0 L 8 S S SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-55785 27210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

SEX RATE	<u>evintoss</u>	T200 SIZA4	PROCEEDS SALES	DATE SOLD/		YIRE	DESCRIPTION OF PR	
00.0	£9'015'LI	88 566,85	15 £05'bb	75-76-91 10-20-90	VCGUIRED SOLD	00 000'T	SHARES:	
						ОИ 210СК	MMOD 4800 E 4894	
00.0	-10.608,51	82.552,05	18 817,7	46-81-80 10-20-90	VCGNIKED ZOFD	00'298	SHARES	
00.0	-05'856'61	20.116,15	29 276,11	08-18-61 02-05-01	SOLD ACQUIRED	1,558.00	SHARES	
00 0	-85 0Z8 <b>'</b> S	98 66 <b>2</b> '6	92 612,E	10-20-90 10-20-90	VCONIKED 20rd	00.002	2HARES 1	
						HOH STOCK	PRAXAIR INC COM	
00 0	65.816,05	81'861'95	LL 919'99	06-20-68 02-02-01	VCGNIKED 20rd	00 005'I	SHARES	
						нон гтоск	PREXEIR INC COM	
00.0	61.2pp,1	78 66Z,Z	99 551'5	08-20-88 02-02-01	VCONIKED 20FD	00.001	2H <b>P</b> KE21	
00.0	95. <b>5</b> 38,7 <i>5</i>	86.777,08	<b>95 199'81</b> 1	86-10-01 10-02-50	VCGUIRED SOLD	00'005'Z	: 238AH2	
00 0	S8 08S,72	69 588666	15.211,771	86-20-01 10-02-61	VCONIKED 20FD	00.001,5	SHARES	

# CO., INC. SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

62

			04-07-10	ACQUIRED		
00.0	05 891'95	25,558,62	86-92-10 02-04-01	2000	02 S85'T	SHARES
217 26 0 00	25 <b>59</b> 9'll	18.20,51	86-22-10 02-04-01	VCONIKED 20FD	32, 218	SHARES
00 0 61 121	69 199 11	(8 910 21	(0-90-30	Q 103	73 711	. 338413
				NOI	АРЕК СО, СОММ	INTERNATIONAL F STOCK #1 PAR
			16-61-21	ACQUIRED		
00 0 76 229'51	56,346 45	72.696,62	10-50-50	SOLD	00 006	SHARES
			16-91-21	ACQUIRED		
00 D 51 lb1'l	62 669'Z	<b>50 155'5</b>	[0-50-50	alos	100 00	SHARES
						ALCAN INC
			66-52-10	ACQUIRED		
12'666 62 0 00	24,012.20	29.954,74	10-20-50	SOLD	00.000,1	SHARES
			66-51-10	ACQUIRED		
00.0 72 972,81	12 152'15	82 026,27	10-20-50	20FD	00 009'Ι	SHARES
			01-12-66	ACQUIRED		
15,820.59 0 00	52.721,52	b6 Lb6'95	10-20-50	SOLD	1,200 00	SHARES
			86-50-01	ACQUIRED		
00.0 2S SOI,22	70,502,50	98 pop,po1	10-20-50	SOLD	2,200 00	SHARES:
CAIN/LOSS CAIN/LOSS	SISVE	PROCEEDS	ACQUIRED		XTA3900	DESCRIBLION OF PR
SAX RATE	COST	SALES	DATE SOLD/			

\* CO ' INC' SURDNA FOUNDATION - SANFORD C. BERNSTEIN

07822 ACCOUNT NO. 26-33705 25210

PREXAIR INC COMMON STOCK

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

28% RATE Gain/Loss	SS07/NI¥5	TSOD Basis	SALES PROCEEDS	DATE SOLDV ACQUIRED		XINEG	DESCRIBLION OF PRO
00.0	-10 ST	09.768,32	\$5 ZZ8'95	86-82-10 10-50-50	VCONIKED 20FD	02 585'1	SHARES
00.0	-78.017,1	0% ££5'85	25.558,62	86-62-10 10-90-50	SOLD SOLD	1,485.20	SHARES:
00 0	-79 IT8,S	05.263,62	£5.52 <b>8,</b> 52	86-60-50 02-04-01	ACQUIRED SOLD	1,485.20	2HARES:
00 0	84.55-	22 261,1	68.707, <u>f</u>	86-50-20 10-50-90	VCGUIRED SOLD	<b>59</b> 55	SHARES
00 0	31 0// 11	22 272 72				ом глоск	PGE E CORP COMM
00.0	-52 895122	60 650'55	b/ 085,0 <u>5</u>	16-92-80 10-50-50	VCONIKED 20FD	5,500 00	SHARES
•	72 233 17		<b>2</b> === -= <b>7</b>			нои зтоск	PREXEIR INC COM
00'0	9L'180'Tb	/5:559 <b>:</b> 86	129,725.13	66-52-10 10-50-50	VCONIBED 20rd	00 006'Z	SHARES:
00 0	18,980 33	06.751,82	67,118 25	10-80-50	SOLD	00 005'I	PREXEIR INC COM
			07.071/10	66-92-10	ACQUIRED	00 005(1	LETYMUE
00.0	50 685'ZI	0 <i>5</i> .82 <b>7</b> ,02	<b>&gt;&gt; L&gt;!'\$&gt;</b>	66-22-10 10-80-50	VCONIBED 20rd	00.006	SHARES
				66-17-10	שרחחזונה		

# CO., INC. 01888 8AA SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-33705 25210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

2 J

PAGE

00.0	01 110,81	90'192'15	97,588,72	66-92-10 10-80-50	VCGUIRED SOLD	00 00Z'T	SHARES:
00 0	-19 152,718	PE 162'ZIS	19 690'56T	16-92-80 10-60-50	SOLD SOLD	00.008,IS	SHARES:
					NO	мет со. сомм	INTERNATIONAL P. STOCK #1 PAR
00.0	- 95.872,5	65.726,6 <i>2</i>	\$1.652,78	86-50-20 10-60-50	SOLD SOLD	00.266	SHARES
00.0	-86 68L'5I	19.512,32	65 221'15	86-11-90 10-60-50	SOLD SOLD	00 501'1	SHARES
00.0	-89.859.62	12.029,801	29 162'61	86-21-20 10-60-50	SOLD SOLD	00 001'Z	SHARES
00,0	-28.997,22	98.905,76	<b>90 051,1</b> 1	02-12-68 02-00-01	SOLD SOLD	00 006'T	SHARES

INTERNATIONAL PAPER CO COMMON

STOCK #1 PAR

\* CO ' INC' BAA OVBSS SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705 01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

25

00.0	-06'29\$'Z	95 955'52	99 \$61'\$2	16-50-20 Q32 07-11-01	1,000,00 ACQUIR	SHARES
00 0	-82 55252	50.822,438	79.291,55	SED 05-02-61	2,000 00 t ACQUIR	SHVKES:
00 0	-06 149'1	95 LOL'LT	95 552'91	26-02-10 Q32 10-11-50 Q70	700.007 Acquir	SHARES
					INC NEM COM	PUGET ENERGY
00.0	Z1 205'L	00 880'LT	21 169'52	SED 01-51-66 OFD 02-10-01	500,00 ACQUIR	SHARES
					соннои гтоск	PREXEIR INC
00 0	82 6 <b>5</b> 2'21	01.853,10	58,882 38	1ED 01-58-66	BDD DD VCGUIR	2H <b>V</b> KE21
00 0	61 959'8	65'505'02	81 191,65	SED 01-51-66	VCONIK POD OD VCONIK	SHARES
					сомном втоск	PRAXAIR INC C
00.0	-29 708,11	98 555'95	25 792,92	1ED 02-11-68 02-10-01	VCGNIK OD 00 VCGNIK	2HARES.
00.0	-25.727,65	29 <i>5</i> 50'811	78. T85,88	(ED 02-12-68 ) CD 02-10-01	2,300 00 ACQUIR	SHARES
S201/NIA2	CAIN/LOSS	TSOS SISA4	SALES PROCEEDS	DATE SOLD/ ACQUIRED	ZINGERIX	DESCRIBLION OF

# CO., INC. BAA 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705 01545

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

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PAGE

28% RATE GRIN/LOSS	<u>GAIN/LOSS</u>	7203 <u>212A4</u>	SALES	DESCRIPTION OF PROPERTY ACQUIRED
00.0	-86'965	17 251,2	£7 823, p	SHARES: 200.00 SOLD 05-11-01 Acquired 02-05-97
				#REORG AMERICAN GENERAL CORP STK MERGER To ameru intl grp inc 2016430 eff 8/29/01
0 D	87 728,88	01 625'52	92'529	SHARES. 1,400 00 SOLD 05-14-01 ACQUIRED 07-23-96
				INTERNATIONAL PAPER CO. COMMON Stock +1 par
00 0	-22 955'[	95 OSI'S	<b>ደ</b> ዩ ኦ[ኒ'ዩ	SHARES: 100.00 SOLD 05-17-98 Acquired 03-17-98
00 0	-66 555'5	95.701,05	Z2.178,21	PCGNIKED 02-18-08 200 20-14-01
00 0	-19.692,2	27.639,25	82 006'62	PCGNIKED 00-16-98 SHARES: 805 00 SOLD 05-14-01
				PUGET ENERGY INC NEW COM
00.0	-58.716,1	28.542.0S	76 ps9,81	SHARES: 800.00 SOLD 05-14-01 ACQUIRED 02-05-97

ALCAN INC

1 CO 1 INC' 0 Z 8 S S SURDNA FOUNDATION - SAMFORD C BERNSTEIN ACCOUNT NO 26-35705 てりてしり

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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**PAGE** 

00 0	89.725,53	05 782,12	80.258, PII	SHARES: 2,538.00 SOLD 05-15-01 ACQUIRED 12-09-96
00.0	95.109,81	85 [SS'[[	<b>90 £</b> 56'6Z	SHARES: 662 00 SOLD 05-15-01 ACQUIRED 07-25-96
				#REORG AMERICAN GENERAL CORP STK MERGER To ameri intl grp inc 2016430 eff 8/29/01
00.0	55 S26,08	62.261,61	58.32 <b>3.</b> 62	SHARES: 1,100 00 SOLD 05-15-01 ACQUIRED 07-25-96
				#REORG AMERICAN GENERAL CORP STK MERGER To amern intl Grp inc 2016430 eff 8/29/01
00.0	30,425.25	98.205,81	11 029'9Z	SHARES: 600.00 SOLD 05-15-01 ACQUIRED 12-22-97
00 0	51 256'9	59 028'01	02.827,71	SHARES: 400.00 SOLD 05-15-01 ACQUIRED 12-19-97
				ALCAN INC
00.0	pt'69L'ts	£6 þ65'ZS	T0,948,P8	SHARES 1,900 00 SOLD 05-15-01 ACQUIRED 01-21-98
00.0	ZI 0£5'0I	58 b0Z'9I	76 26,636	SHARES: 600 00 SOLD 05-15-01
S8% RATE GAIN/LOSS	<u>EATH/LOSS</u>	C021	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

10/62/8 TO AMERN INTL CRP INC 2016430 EFF \*REORG AMERICAN GENERAL CORP STK MERGER

						D NEW COM STK	CANADIAN PAC LTI
00 0	-84,154,8	12.975,02	90 258125	86-91-60 10-91-50		00 151'1	SHARES
					NO	АРЕR СО. СОММ	INTERNATIONAL P. Stock #1 PAR
00 0	98 969'57	09 982'02	95 <b>£86'</b> 55	96-60-21 10-91-50	VCONIKED 20rd	, 00 000,1	SHARES:
				ER			#REORG AMERICAN To Amern Intl Gi B/29/01
00.0	86.581,77	18'658'09	138,042.79	96-60-21 10-91-50	VCONIKED 20rd	00.000.5	2HARES:
SBX RATE GAIN/LOSS	GRIN/LOSS	COST SIZAA	SALES	DATE SOLD		DPERTY	DESCRIBITON OF PRO
32A9 23			H CO., INC CHEDULE OF GAINS AND THEOUS TAXABLE DURING THROUGH 06-50-01	FEDERAL SECOUNT TRANSAC		50/55-97 ON	1 TACS ACCOUNT I
		STEIN	ON . SANFORD C. REWN	SUBDIA FOILNDATI	<b>S</b>	20755-35 OV	01242 ACCOUNT I

64,832.55

17,288 62

19.522,62

00.0

00.0

00 0

11,132.72

ST 588,5

19'895'25

19 669'55

18.25,01

90.996,85

ACQUIRED 01-05-99

ACQUIRED 01-04-99

VC401RED 01-24-96 SOLD 05-17-01

00 005'T

00 005

1,300 00

SHARES:

SHARES

SHARES

COODRICH CORPORATION

20CD 02-51-01

20FD 02-51-01

T CO ' INC 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-55705 25210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

SSX RATE SAIN/LOSS	GAIN/LOSS	T2O3 <u>212Aa</u>	SALES SALES	DATE SOLDV DESCRIPTION OF PROPERTY ACQUIRED
00.0	1,461.82	65 561,7	IS 999'8	SHARES: Z00,00 SOLD 05-21-01 ACQUIRED 01-06-99
				HOITARORROD HOIRDOD
00 0	17,925.92	98.711,97	82 250'16	SHARES: 2,200 00 SCLD 01-06-99
				#REORG AMERICAN GENERAL CORP STK MERGER To Amery Intl Grp inc 2016430 EFF 8/29/01
00.0	07.818,51	10,143 50	00.032,55	ZHVKE2: 200 00 20FD 02-50-07
				#REORG AMERICAN GENERAL CORP STK MERGER To Amern Intl Grp Inc 2016430 EFF 8/29/01
00.0	68.969,2	09 982'02	65 286, 22	SHARES· 1,000.00 SOLD 05-29-01 ACQUIRED 12-09-96
				#REORG PHERICAN GENERAL CORP STK MERGER To Amern Intl GRP Inc 2016430 EFF 8/29/01
00.0	17.526,81	TO 102,11	87.555,25	SHARES: 562.00 SOLD 05-30-01 ACQUIRED 12-09-96

\$ CO ' INC 22810 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705 25210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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**394**9

00.0	92.160.2	02.726,82	95.820,65	16-51-10 10-50-90	SOLD SOLD	00 000'T	SHARES
00.0	02 175,82	18 412,215	71.848, <b>2</b> 15	16-60-10 10-50-90	SOLD ACQUIRED	00'005'9	SHARES
00 0	96'282'52	S£ 850'15T	181'645'21	10-50-90 16-10-10	ACQUIRED SOLD	00,007,8	2H <b>P</b> KE2;
00 0	05.596,9	96 STO,SA	95,820,62	16-02-90 10-90-90	SOLD ACQUIRED	00 000'T	SHARES
00 0	56 SIL'L	[S <b>222</b> '[b	95.880,65	16-92-90 10-50-90	VCGUIRED SOLD	00 000'1	SHARES
00.0	b0 95Z'II	99 102'29	69.722,87	16-52-90 10-50-90	SOLD SOLD	00 009'T	SHARES
00.0	87.444.2	19:021'91	62.219,61	96-01-21 10-50-90	SOLD ACQUIRED	00 005	SHARES
					' лиг '		COMMON STOCK,
00 0	OZ 187,53	58 911'15	50'86b'bl[	15-10-96 02-20-01	SOLD SOLD	2,538.00	SHARES
Z8% RATE GAIN/LOSS	CAINZLOSS	C057 212≜8	SALES PROCEEDS	DATE SOLD/		XIBBEORE	DESCRIBITION OF B

10/62/8 TO AMERN INTL GRP INC 2016430 EFF \*REORG AMERICAN GENERAL CORP STK MERGER

# CO., INC. 8AA 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-55705 25210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDERAL SCHEDULE OF GAINS AND LOSSES

28

S8% RATE	GAIN/LOSS	<u> PV212</u> C021	<u>SWOCEEDS</u> SWIES	DATE SOLD/ ACQUIRED		ROPERIY	DESCRIPTION OF PR	
00.0	88 607,05	87 217,25	99 525'99	96-01-21 10-50-90	20LD SOLD	00 292'[	SHARES	
						оннон гтоск,	CIGNA CORP , CC	
00.0	258,809 40	00 281,855	06,192,722	96-11-10 10-50-90	SOLD SOLD	00 000'9	SHARES	
						ІД ИЕМ СОН 21К	CANADIAN PAC L1	
00 0	212,053 71	20 782,125	PL 185,888	10-50-90	anos	00 001'\$1	SHARES:	
00.0	66 559'955	27 912,502	12 521'659	10-50-90 96-52-10	20FD VCdn1kED	00.009,21	SHARES	
		7. (15.1740	11 517/460	96-20-20	ACQUIRED	00:000/61	· saykuo	
					'CK'	<b>СОММОМ STO</b>	\$5 PO BVB DOM CHEWICVE CO	
00 0	12,016 23	<b>51 810,2</b> 2	18 980'85	96-01-21 10-50-90	VCONIBED ZOFD	06.019,1	SHARES	
00,0	OT 616,82	19'595'891	15 555,055	96-11-21 10-50-90	VCONIBED ZOLD	08.121,8	SHARES	
00.0	51 657,73	91 S08'ZSI	12.942,055	96-02-21 10-50-90	VCONIKED 20rd	08.121,8	SHARES:	
00 0	80.768,75	89' £91'59	91 098'26	96-52-21 96-52-90	SOLD SOLD	09 112,5	2H <b>∀</b> KE2≀	

# CO ' INC 01822 8AA SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705 01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

26

₽AGE

00 0	02 054,22	06 200'0 <del>9</del>	92,453 10	10-12-66 10-90-90	VCGUIRED Sold	00 002'2	SHARES
00.0	61 599'02	96 508'85	21.072, <del>0</del> 2	66-21-01 10-50-90	VCONIKED 20rd	00.002,£	SHARES:
00,0	27.806,81	95 SIC'98	85.222,28	66-80-01 10-50-90	VCGUIRED SOLD	00 00£'I	SHARES
00 0	75.321,55	91 195'15	20 B17,23	66-40-01 10-50-90	SOLD	00 005'T	SHARES
00 0	P7.551,6	19,728,02	81.072,98	66-90-10 10-50-90	SOLD SOLD	00.002,1	SHARES
						HOITA	еоорится сокьок
00 0	SS.521,07	85 222,385	07.286,328	86-10-01 10-50-90	VCGUIRED SOLD	00 002,8	SHARES
00 0	91 095'81	<b>16 228'56</b> 2	£7 488, 944	86-22-60 10-50-90	SOLD SOLD	00.008,01	SHARES
					ЕГ СОММОИ	STORES INC DI	FEDERATED DEPT
00.0	85 258,85	141,737 00	85 685'002	96- <i>52-</i> 21 10-50-90	VCGUIRED SOLD	06.732,2	SHARES
28% RATE 2201\NIA2	€¥IN\F022	T200 SIZA4	SALES	DATE SOLD/ ACQUIRED		OPERIX	DESCRIETION OF PR

T CO ' INC OYBSS ACCOUNT NO 26-33705 SURDNA FOUNDATION - SANFORD C BERNSTEIN 01545

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 D	-21.001,12	01 895'69	82.852,85	86-21-90 10-90-90	SOLD SOLD	00 000'T	SHARES:
					СОИНОИ	KUBBER CO ,	GOODYEAR TIRE &
00.0	90 \$91'4	Z> 8>8'6	85'166'91	66-12-21 10-50-90	ACQUIRED SOLD	00 005	2HPKE2:
00 0	19.272,12	75 201,12	89 815'25	66-51-21 10-50-90	SOLD ACQUIRED	00 000'τ	SHARES:
00.0	65'686'61	61,689,52	89'815'25	11-52-66 10-50-90	VCGUIRED SOLD	οο οοο'τ	SHARES
00'0	64.155,61	53,146.89	89 815,52	66-60-11 10-50-90	SOLD SOLD	00 000'	SHARES
00 0	61 661,25	96.075,85	SI 015'65	10-92-01 10-90-90	SOLD ACQUIRED	80'005'T	SHARES
00.0	pZ.2p2,pZ	67.271,65	£0 817, <b>2</b> 5	66-92-01 10-50-90	SOLD ACQUIRED	00 005'l	SHARES
00.0	61.878,pS	29,044 83	20 811.59	66-12-01 10-50-90	SOLD SOLD	00 DOS'T	SHARES:
00 0	99.194,71	68 596'82	55 921,82	66-02-01 10-50-90	SOLD	00 001'1	SHARES
S8% RATE	<b>EAIN/LOSS</b>	C037	PROCEEDS PROCEEDS	DATE SOLD/		DEERIK	DESCRIPTION OF PR

07822 \$ CO ' INC SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO. 26-33705 25210

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

SHARES: 1,000.00 SOLD 06-04-01 S0,528 58 49,589 60 20,961 75 75.05 75 75 85 85 85 85 85 85 85 85 85 85 85 85 85		S8% RA	CVINVEOSS	COST PASIS	SALES PROCEEDS	DATE SOLDV		PROPERTY	DESCRIPTION OF
SHARES: 1,800 00 SOLD 06-04-01 SO,991 44 92,022 58 41,040.94-0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	00	. 0	-21 561'55	51 728,27	21'191 42			00.001,1	SHARES
90.00 -SRIOR, S. 00.00 0 -SOLD 06-04-01	00	• 0	-20 196'02	09 682'65	85 822'82			00.000.1	SHARES
SHARES: 1,200 00 SOLD 06-04-01 53,994 30 65,003 28 51,008 96- 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0	0	- 56 . 0 50 , 1 5	82 250,29	<b>bb 166'05</b>			00 008'T	SHARES
SHRRES: 5,500.00 ACQUIRED 09-25-98 SHRRES: 5,500.00 SOLD 06-04-01 59,150 02 191,140 60 91,990 58- 0 00 SHRRES: 1,400 00 SOLD 06-04-01 59,660 01 75,523.84 35,863.83- 0 0.00 SHRRES: 1,400 00 SOLD 06-04-01 220,570.18 S21,002 70 432.52- 0 0.00 SHRRES: 6,800.00 SOLD 06-04-01 220,570.18 S21,002 70 432.52- 0 0.00 SHRRES: 6,800.00 SOLD 06-04-01 61,629.90 61,618.65 11.25 0.00	00	٠ ٥	-28'108'52	05.021,52	85.855.85			00 000'T	SHARES;
SHARES: 1,400 00 -24-98  SHARES: 1,400 00 SOLD 06-04-01  MAY DEPARTMENT STORES CO., COMMON  SALO CO., COMMON  SALO CO. COMMON  SALO CO. COMMON  SALO CO. CO. COMMON  SALO CO. CO. CO. CO. CO. CO. CO. CO. CO. CO	0 0	0	-86 800,12	82 £00'59	02 966,22			00 00Z'T	SHARES
MAY DEPARTMENT STORES CO., COMMON STOCK, • 50 PAR STOCK, • 50	0 0	0	-85 066'16	09 051'161	20 051'66			00.002,5	SHARES
STOCK, # 50 PAR SHARES, 6,800.00 SOLD 06-04-01 220,570.18 S2,002 70 432 S2- 0 0 00 SHARES, 1,900.00 SOLD 06-04-01 61,629 90 61,618 65 11 25 0.00	00.	· 0	- 28 ' 298 ' 52	98.252,2T	10 099'69			00 00b'I	SHARES
SHARES 1,900.00 SOLD 06-04-01 61,629 90 61,618 65 11 25 0.00							соннои		
SHWEET TANDERS SOLD OF THE STATE OF THE STAT	۵۵	0	-25 225	07 S00,1SS	81.072,055			00.008,8	SHARES
	00.	D	SZ IT	≤9 819'19	06 629'19			00.006,1	SHARES

3**24**9 54

T CO ' INC' OYBSS SURDNA FOUNDATION . SANFORD C BERNSTEIN ACCOUNT NO. 26-53705 25210

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

S8% RATE SEUNLOSS	<b>EAIN/LOSS</b>	COST <u>212A8</u>	EKOCEEDS SVIES	DATE SOLDV		OPERTY	DESCRIPTION OF PR
00 0	121 10	89 251,23	85 218,23	66-21-11 10-50-90	VCONIKED SOLD	00 000'Z	SHARES
00 0	29 655	22 PT2,82	51 926,88	66-81-11 10-90-90	SOLD SOLD	00.005,1	1238AH2
00.0	-25.651	00.058,25	<b>የ</b> ኦ.082,22	66-22-11 10-50-90	SOLD SOLD	00 001'1	SHARES
					яАч	ОИ 210СК' ИО	<b>МЕ</b> ¥D СОКЬ СОММ
00 0	-20.212,1	65 IIE.0E	55 866'82	86-12-10 10-00-90	ACQUIRED SOLD	00 000'1	SHARES
00 0	->T 000,S	92 666'09	95 866'82	86-52-10 10-90-90	ACQUIRED SOLD	00 000'τ	SHARES
00.0	-68 201'22	86 762,851	65'565'101	86-60-90 10-90-90	SOLD SOLD	00.002,5	SHARES
00 0	-02.722,22	28,127,751	104,394 45	86-01-90 10-90-90	VCGUIRED SOLD	00 009'\$	SHARES
00 0	-60:151:22	19.358,201	25 560'58	86-21-20 09-04-01	ACQUIRED Sold	00 006'Z	SHARES
						моэ чяоэ	OCCIDENTAL PETE
00.0	91 872,52	LZ:991'Lb	£5 555'68	66-01-20 10-50-90	VCONIKED 201D	3,000 00	SHARES

# CO., INC. SURDNA FOUNDATION - SANFORD C BERNSTEIN

8AA 07822 ACCOUNT NO 26-33705 22210

20MOCO INC COM

PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	80.087,752	25.202,703	££ 98['5£0'[	ST PAUL COS INC COM SHARES: ZO,900.00 SOLD 06-04-01 Acquired 01-08-96
00 0	51 782,Sp	<b>7</b> 9 6 <b>78</b> ,82	28 992'101	SHARES 2,000 00 SOLD 06-04-01 ACQUIRED 01-11-96
00.0	528'545 22	99 lbû'bb <b>s</b>	12 982'285	SHARES: 11,500 00 SOLD 06-04-01
00 0	6L TTS,881	28.717,S08	£9 566'0 <del>5</del> 5	COMMON STOCK, #1 PAR SHARES: 15,700 00 SOLD 06-04-01 ACQUIRED 01-25-99 PHILIP MORRIS COS INC COM
				ACQUIRED 03-05-99 ACQUIRED 03-05-99 CORP.,
00 0	90 885'29	72,891,03 72,891,03	72.117,32 88 382,551	SHARES: 1,900 00 SOLD 06-04-01 ACQUIRED 02-17-99 SHARES: 4,107 00 SOLD 06-04-01
00.0	26'611'95	95 521'55	85.245,701	SHARES: 3,593 00 SOLD 06-04-01 ACQUIRED 02-17-99
00.0	60.211,25	56,152,78	P8.8 <b>5</b> 8,17	SHARES: 2,400 00 SOLD 06-04-01 ACQUIRED 02-11-99
S8% RATE	CVINTOSS	TZOO ZIZAB	PROCEEDS PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED

\$ CO., INC. 8AA OLBSS SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO. 26-33705 SPZID

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

bb

S8x RATE CAIN/LOSS	SSOT/NIV5	7202 212A4	SWOCEEDS 2VIES	DATE SOLD/		COPERTY	DESCRIBITON DE BE
00 0	16.867,551	Z8	£7 40₹,594		VCONIKED SOLD	00.001,51	2HARES :
						<b>МО</b> :	ВВИК ОИЕ СОВЬ С
00.0	50 [S['0]	18 6291259	98.067,599		VCGNIKED 20FD	00.000,71	SHARES
				ЯАЧ	STOCK, #1	кв., сомнои	AMERADA HESS CC
00 0	8T 589'56	219,531,00	81.312.414		ZOLD SOLD	00 000'9	SHARES
					' INC '		COMMON STOCK, 4
80 0	95.025.1	<b>20 537,05</b>	32,013 50	· · ·	anos	00 002	2HARES 1
				46-51 <b>-</b> 40	VCONIKED		
00.0	78 220.8	90 275,8T	85,520 45		ACQUIRED SOLD	00 008'[	1 SHAH2
00 0	08 099'5	02.868.851	00 PEO.8SI		20LD SOLD	00 008'Z	2HARES 1
00.0	ρε. 073,8	529,144 24	85.28,725		SOLD SOLD	00 002'S	SHARES
00 0	78.551,5	01 DI3,82	72 &&T,2p		SOLD ACQUIRED	00.000,1	SHARES

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E CO ' INC 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705 25210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

00.0	88 021,888	00 S87,8SS	88'226'995		VCGNIKED 20FD	6,000.00	CIGNA CORP , CC
00.0	82.712,88	95,901 55	£6 815'959		SOLD ACQUIRED	00 002,01	2H <b>y</b> kez i
00.0	89 522'9	81.257,551	98.999,861		SOLD SOLD	2,300 00	2H <b>P</b> KE21
						сои	BANK AMER CORP
00.0	55 851'6S	95 211'111	150,920.80		SOLD SOLD	00'005'5	SHARES
00 0	61 950'81	p1.585,18	\$6 90\$'05		SOLD ACQUIRED	00 001'1	SHARES
00 0	06'659'95	82.725,58	82 706,811		ACQUIRED SOLD	00.009,5	2HVKE2:
00 0	29.701,21	रह ६६१,दह	26'902'05		VCGNIKED 20rd	00'001'1	SHARES
00 0	56,058	89.552,71	18,295 45		VC6NIKED 20ГD	00'005	2HARES:
00.0	86.130,5	09.178,89	82,887,89		VCONIKED 20FD	00.000,τ	2HARES:
S8X RATE GAIN/LOSS	CVINCEOSS	T200 SIZAA	SALES PROCEEDS	DATE SOLD/ ACQUIRED		ZIBBOO	DESCRIBLION OF PR

CHUBB CORP, COMMON STOCK, \$1 PAR

# CO'' INC' 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO. 26-55705 29210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

95

PAGE

				// 07 70	VCGUIRED		
00.0	-22.781,4	98 016,32	<b>99 208'Z</b> 5	66-82-10 10-92-90	0705	00 001'1	SHARES
00 0	CC Lyt y	70 020 77	,, 100 0,	12 /0 /0	0.00		0307110
				01-54-66	ACQUIRED		
00 0	-90'SLS'9T	07,623,421	59 580'05I	10-92-90	aors	3,600 00	SHARES
				66-52-10	<b>VCGUIRED</b>		
00.0	-05'818'22	22,650,655	21 255,202	10-92-90	อาดร	00 002'5	SHARES:
							VIV. 1. 54
					HON STOCK	E2' INC'' COL	‡E PAR COOPER INDUSTRI
					ACCIO NON	100 0111 0,1	1015/10/11 135500
				00-02-90	ACQUIRED		
00 0	78.9S2.E	27 SPT, PO!	22,272,801	06-26-01	SOLD	00'055'5	SHARES
						NC	CONVERS FOODS I
				(/ /4 7*	any to box		
	10 (0710	6£ 50Z'9I	09.916,55	15-08-88 09-58-01	ACQUIRED SOLD	00'519	SHARES
00 0	to 602'9	95 305 31	09 919 22	10-72-70	u 105	00 327	, 23gAu2
				15-08-66	ACQUIRED		
00 0	27 088,8S	TT 00T,08	ZS'[8S'60[	10-92-90	гогр	3,300,00	SHARES
						HHON STOCK	CINERGY CORP CO
				04 57 45	471114bay		
	CD 5444757	00 000/070	27.424,232	96-91-60 10-92-90	ACQUIRED SOLD	00.00S.T	SHARES
00.0	59 967,195	80 099'525	17 226.223	(4-76-74	u 103	00 0DC Z	- 224AU2
CAIN/LOSS	SSOT/NIVO	SISAS	<b>PROCEEDS</b>	ACQUIRED		OPERIX	DESCRIPTION OF PR
STAN XBS	222 2 772	1202	SALES	DATE SOLD		·	

**AA9 02.5**0 DOW CHEMICAL CO , COMMON STOCK,

SURDMA FOUNDATION - SANFORD C. BERNSTEIN

# CO'' INC'

07822 ACCOUNT NO. 26-53705 01545

07-01-00 THROUGH 06-30-01 LÞ ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **₽AGE** LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	96'69L'ZLI	<b>10 592'992</b>	10 SII'459	96-20-DI 10-92-90	VCONIKED 201D	00 000'8	SHARES
							HBIV INC COM
00.0	91 822'5	08 277,32	96 101'25	16-51-50 10-92-90	SOLD SOLD	00 595'[	SHARES
00.0	£p 660'9	ps 895,7p	19.199,82	16-50-50 10-92-90	SOLD SOLD	00 119'1	SHARES
0 0 0	26.195,7	57.858,89	19'199'\$5	16-12-20 10-92-90	SOLD ACQUIRED	00'119'1	SHARES
00.0	60.851,7	85'625'95	79,798,82	16-81-20 10-92-90	SOLD SOLD	00'119'1	SHARES
00 0	78. EIS. 8	18.524,24	89 199'25	16-11-20 10-92-90	ZOFD ZOFD	00'119'1	1238AH2
00 0	19.732,8	90.005,22	L9'L99'\$S	16-90-20 10-92-90	SOLD SOLD	00.115,1	SHARES
00.0	82'595'18	51 655, 269	245,825.03	96-52-21 10-92-90	SOLD ACQUIRED	00 182'01	SHARES
S8% RATE GAIN/LOSS	SSOTZNIAS	COST BASIS		DATE SOLD		PROPERTY	DESCRIBITOR OF

STOCK, 4.50 PAR MAY DEPARTMENT STORES CO , COMMON

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - SAMFORD C. BERNSTEIN

E CO., INC.

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

SALES

**VCGUIRED** 66-51-20 00.0 51 112'55 24 626 82 00 005'1 SHARES 14,272.03-10-92-90 anos **ИОКЕОГК ЗОИТНИ СОКР СОМ** 00-02-50 ACQUIRED 95 ISZ'II 10'522'85 991989169 10-92-90 anos 00'001'2 SHARESI 00.0 15-01-66 ACQUIRED 70.280,5 02 161,35 72.978,82 10-92-90 anos 00.272,1 SHARES 00 0 VCGNIKED IS-02-88 91 765'05 anos 1,225.00 SHARES 00 0 -19 SIZ 77.708,02 10-92-90 ACQUIRED 12-02-99 22 872,83 92 656'29 10-92-90 anos 00 006'T SHARES 00 0 -66 BIS 15-01-66 ACQUIRED \$0 [6\$'95 10-92-98 SOLD 00 005'I SHARES 00.0 -92.139 78 SPO, TA ACQUIRED 11-22-99 LO ILO'I 00 006'1 SHARES 61.888,19 92'656'29 10-92-90 anos 00 0 **DERIVEDA** SISVE PROCEEDS DESCRIPTION OF PROPERTY CAINZLOSS CVINTOSS

52'081 00

75 PTE 26

28,388 42

61 985'99

1200

12,307 55-

-56'[17'27

00.0

00.0

28% RATE

PAGE

ACQUIRED 07-16-99

ฉาดร

agor

**VCGUIRED** 

1,300 00

2,300 00

SHARES

SHARES

AAA

ACCOUNT NO. 26-33705

07822

01545

10-92-90

66-51-40

10-92-90

DATE SOLD!

E CO ' INC OLBSS SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-53705 01565

65 39**4**9

#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

28% RATE Gain/Loss	CAIN/LOSS	ELEAS ELEAS	SALES PROCEEDS	DATE SOLD		DEERIK	DESCRIPTION OF PRO	
00 0	-19.886,11	22,211 15	99'222'12	66-61-10 10-92-90	VCGNIKED 20rd	00 001'1	SHARES	
00.0	-59 952'21	57.758,78	60 T80'SZ	66- <b>22-</b> 80 10-92-90	ZOLD SOLD	00 002'I	SHARES	
00 0	-98 152'71	26.552,75	60 180'52	66-52-80 10-92-90	VCONIBED 20rd	00 00£'[	SHARES	
00.0	12,198.62-	\$0 602'05	12 010,75	08-52-80 10-92-90	VCONIBED 20rd	1,400 00	SHARES	
00 0	-09.980,11	52.850,02	ST. 656,8S	66-60-60 10-92-90	VCGUIRED SOLD	00.002,1	SHARES	
00 0	-16.285,6	p1.38p,58	TT. 121, 25	66-91-60 10-92-90	VCGNIKED 20rd	οο οο <b>ς</b> 'τ	SHARES	
00.0	-1\$ 092'01	ST.077,72	12 010.7S	66-21-60 10-92-90	VCGUIRED SOLD	00.002,1	2H <b>∀</b> KE2∶	
00 0	-51.866,8	06 882'92	51'262'61	06-57-60 08-58-01	VCONIBED 20FD	00 000'1	SHARES.	
00.0	-16 661'21	02.887,02	62.382,88	66-22-60 10-92-90	SOLD SOLD	00.000,S	SHARES:	
00 0	-9b ZOS'8	92,592,51	58 688'58	75-08-66 09-56-01	VCONIKED 20FD	00.005,2	SHARES	

T CO ' INC 07822 SURDNA FOUNDATION - SANFORD C. BERNSTEIN ACCOUNT NO 26-33705 01545

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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PAGE

DESCRIPTION OF PROPERTY  SHARES: 3,500.00 SOLD 06-26-01  SHARES: 3,500.00 SOLD 06-26-01  SHARES: 3,500.00 SOLD 06-26-01  SHARES: 3,500.00 SOLD 06-26-01  SHARES: 3,500.00 SOLD 06-26-01  SHARES: 1,800.00 SOLD 06-26-01  SHARES: 1,800.00 SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01  SOLD 06-26-01	00 0	78. p7p, S1 17. 959, S1	01.170,81 TI 898,02	76.242,02 88 SSE,28	66-91-£0 10-92-90	ZOFD ZOFD ZOFD VCGUIKED	00 001'1	SHARES
DESCRIPTION OF PROPERTY					10-92-90 66-50-£0	ZOFD VCGNIKED		
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         BASIS         GAIN/LOSS         GAIN/LOSS           SHARES:         3,300.00         SOLD 06-26-01         63,667.39         69,487.94         5,820.55-         0.00					66-60-21	VCGOIKED	HOD HWOD BIE	OCCIDENTAL PI
	CAINLLOSS		SISVE	PROCEEDS	00-50-01			

PACIFICARE HEALTH SYS INC DEL COM

\$ CO., INC SURDIA FOUNDATION - SAMFORD C. BERNSTEIN

8AA ACCOUNT NO. 26-55705

07822 01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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PAGE

00.0	08 076,81	58.990,19	59 TEO.2T	00-81-50 10-92-90	VCONIKED 20rd	00.002,1	2HARES:
00 0	90'591'£1	62.578,16	29.720,27	10-92-90 10-41-90	VCONIKED 20FD	00 002'1	2HPKE21
00.0	87.728,11	05 280'95	82.127,72	00-20-90 10-92-90	VCONIKED 20rd	00 000'T	2H <b>V</b> KE21
00.0	76.081,11	05.022,22	75. IST, 72	00-20-90 10-92-90	VCONIBED 20FD	00 000'T	2HARES:
00 0	56.266,21	59.762,72	05 265,28	02-58-00 08-58-01	VCONIKED 20rd	00 001'1	SHARES
					ом зтоск,	ЕЛИ СО СОИИ	PHILLIPS PETROL
00 0	-90 857,72	06 619'25	<b>58 562'S</b> [	75-75-61 06-26-01	VCONIKED 20rd	00 000'T	SHARES
00 0	-98 075,85	0 <i>L</i> 595'55	<b>58</b> 562'51	26-60-21 10-92-90	VCONIKED Sord	00 000'T	SHARES
00 0	-89'812'05	82 <i>521</i> '95	09'505'91	26-50-21 10-92-90	VCONIBED 20rd	00 550'T	2HARES 1
00 0	-18:651,681	00 <i>þ</i> £ <i>L</i> '89Z	61 976,87	26-50-21 10-92-90	VCONIKED 20FD	00'000'5	SHARES
S8X RATE Gain/Loss	CVINCEOSS	7203 212Aq	PROCEEDS SALES	DATE SOLDV		DPERIX	DESCRIBITON OF PR

	& CO ' INC'		<b>EAA</b>	02888
BERNSTEIN	SURDMA FOUNDATION - SAMFORD C	20722-32	ACCOUNT NO.	25210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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PAGE

0	0.0	-69.021,2	98.859,885	71 212,925	10-12-68 09-59-01	VCGNIKED 20FD	00.008,2	SHARES
0	0.0	-65 954	<b>91 081'1</b> 9	59 889'09	86-60-01 09-52-01	VCONIBED 20FD	00.000,1	SHARES
0	0′0	-11'66I	<b>59 072,901</b>	28 071,601	86-80-01 10-92-90	VCGUIRED SOLD	00 007,S	SHARES
						ION STOCK,	СК АИВ СО, СОМН	SEARS, ROEBUG
					96-61-90	ACQUIRED		
0	0,0	20 096'LS	95 950'6Z	09.810,72	10-92-90	гос	00 001'1	SHARES
					04 17 00	an vehov		
_			62 144576	LC10004007	96-51-90	ACQUIRED	00 000/3	LODNING
0	0,0	62,568,02	25 277,52	75,666.54	10-92-90	SOLD	00 000'S	SHARES
					96-80-10	<b>VCGUIRED</b>		
a	0.0	P2.7P8,P81	51.832,171	202'818'50	10-92-90	anos	00.006,8	1 SBAAHS
٠		,3 1,1 ,1,	22 0,, 121					
							INC COM	ST PAUL COS
					00 17 50	GTATOBOM		
_			0	00 117/171	00-72-60	ACQUIRED	00.001(3	LCTARIC
0	0 . 0	55.860,81	21 151,201	89 912,151	10-92-90	30rb	00.001,5	SHARES
					00-52-50	ACQUIRED		
Ô	0 · D	89.779,71	21 600'601	08 986'921	10-92-90	מסכם	00,005,5	SHARES
•		<del></del>			_			
	ZBX RAT <u>Cain/Los</u>	CAINZLOSS	BAS1 <u>S</u> COS1	EROCEEDS	DATE SOLD		PROPERTY	DESCRIBITON OF
	140 400		2000	-4.175	,			

T CO ' INC SURDNA FOUNDATION - SANFORD C. BERNSTEIN

ACCOUNT NO 26-55705

07822 01545

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

S8% RATE GAIN/LOSS	ë¥IN\F022	C027	EKOCEEDS 2VIES	DATE SOLD/ ACQUIRED		DEERIX	DESCRIPTION OF PRO
00.0	02.697	17.707,22	10 772,pp	86-51-01 10-92-90	SOLD	00.001,1	SHARES:
00 0	->0 S18'S	LI 659'50I	\$1 980,101	86-61-01 10-92-90	SOLD SOLD	00 005'2	2HARES
00.0	- 10.628,5	61 225'15	81 678,22	86-02-01 10-92-90	VCONIKED 20rd	00 S01'l	SHARES
00 0	-BD 269'\$	59 215'91	72 087,ST	86-12-01 10-92-90	ACQUIRED SOLD	00 008'T	SHARES
00.0	-05'051'51	16.827,781	IS 919'bZI	86-52-01 10-92-90	VCGUIRED SOLD	00,580,8	SHARES
						моз	UNION PAC CORP
00.0	- [\$.598,5	88 572,25	£9.0£9,02	66-90-10 10-92-90	VCONIKED 20rd	00.00>	SHARES
00.0	-96 685'02	00.028,271	90'0 <b>\$1'</b> 95[	66-20-20 10-92-90	VCONIKED SOLD	3,000 00	SHARES
00 0	-52.860,71	56 595'OSI	69 895'511	66-21- <i>L</i> 0 10-92-90	VCONIBED 20rd	2,200 00	SHARES
00.0	-26.610, 25	62.528,871	98 272,891	66-51-10 10-92-90	VCONIKED 20rd	00.006.5	SHARES

75

**39**₽4

T CO ' INC 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-33705 22510

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

00.0	68 871,22	b6 6bb'L6	120,628.83	15-50-69 06-58-01	VCGNIKED 20FD	00 Stt'2	2HARES:
					K, ∳1 PAR	COMMON STOC	MHIBERDOOF COBE
00 0	-18.797,7	Sp.022,pb	19.527,62	04-56-01 10-52-00	ACQUIRED Sold	οο οοτ'τ	SHARES
00 0	-98.692,72	88 928'502	<b>582'892</b>	04-50-01 04-58-01	VCONIKED 20FD	00 002'S	SHARES
00.0	-10 205'6	09.553,18	12,230,59	06-20-90 06-56-01	ACQUIRED Sold	00 005'[	SHARES
00.0	-02 280'01	£p.901,801	25 750,89	06-58-90 06-58-01	ZOLD SOLD	7'800 00	SHARES
00 0	-21.432,05	05 872,155	84 212,105	06-88-90 10-98-90	VCONIKED 20FD	00'006'£	SHARES
						ноэ с	UNION PAC CORF
00.0	-11 SSZ'I	80 698'6	I £ £ I 9 ' 8	01-14-99 06-26-01	VCONIKED 20FD	00 291	SHARES
00 0	-02 991'05	2 212,12S	<b>50.621,105</b>	10-92-90 09-56-01	VCONIKED 20FD	00.006,2	SHARES
00 0	-19.867,35	00 951,535	62.726,825	04-12-66 09-58-01	VCONIBED 20FD	00.002,2	2H <b>Y</b> KE21
28% RATE GAIN/LOSS	CVINCEOSS	SISA <u>6</u>	SALES SALES	DATE SOLD.		YTABBOA	DESCRIBLION OF E

8 CO ' 1NC' BAA 07822 SURDNA FOUNDATION - SANFORD C BERNSTEIN ACCOUNT NO 26-53705 25210

10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

30A9 22

00 0	8\$ 092	88 828'55	92.680,82	00-72-80 00-24-01	VCONIKED 20rd	00.006,2	SHARES
00.0	81 211,21	78.228,782	SO 896'665	00-02-90	VCONIBED 20rd	00 058'52	SHARES
						INC	CONAGRA FOODS
00.0	22.500,T	16,346 69	55 655,8S	10-72-21 10-71-51	VCGNIKED ZOFD	00.008	2HARES:
00 0	18 828'21	35,272,25	LI:III'95	10-75-80 10-75-81	SOLD SOLD	00 005'1	SHARES
00.0	58'595'51	86 056'55	£8.404,64		VCGNIKED 20FD	00 005'T	SHARES
00.0	61.128,32	89'155'5Z[	72 260,571	66-60-21 10-22-90	VCONIKED 20FD	00.255.0	2H <b>V</b> KE2:
						соннои этоск	СІИЕВСА СОВЬ
00 0	- 55 . 06 5 , 5 [	12.582,521	77.170,021	86-90-01 10-92-90	VCGUIRED SOLD	00.000,2	SHARES
						COM	BANK ONE CORP
00.0	LI.018,2p	61 972,521	92 981'841	96-02-21 10-92-90	VCGUIRED 20LD	00 588'Z	SHARES
ZBX RATE GAIN/LOSS	SS017NIV5	SISA8		DATE SOLD. ACQUIRED		PROPERTY	DESCRIBLION OF

2 CO., INC. 8AA 07822 SURDNA FOUNDATION - SAMFORD C. BERNSTEIN ACCOUNT NO 26-53705 25210

95 01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

•• •	0/ 300/000/0	// F0///00//7	74440400400				
00 0	\$6 ZSS'S99'S	67 221,123,12	27.712,505,55		-220 IVN1 A	ATIMAD MW	TOTAL LONG TE
00.0	5,665,522.93	<b>67, P87, T88, TS</b>	55,505,517 72				JATOI-802
00 0	-26 <b>589</b> 'II	05 761,281	88.512,121	86-70-01 10-72-01	VCONIKED 20FD	00.002,2	SHARES
00 0	-Z6 909'£	16.232,52	66 827,8 <b>2</b>	10-75-80 86-70-01	SOLD SOLD	00 001'1	2HARES.
00 0	-60 £68'T	55 950,55	92 151'12	86-90-01 10-22-90	VCGUIRED SOLD	00 009	2HARES:
						МО	BANK ONE CORP C
00.0	-95 252	81 056'1	29 /69'T	66-51-10 10-12-90	SOLD ACQUIRED	00.55	SHARES
						сом	UNION PAC CORP
00.0	-66 110'9	p8.08p,Eb	28.839,72	66-62-10 10-22-90	SOLD ACQUIRED	00.602.1	: S3AH2
00.0	- 21 542 121	122,801 56	121,526 25	06-27-01 06-27-01	SOLD ACQUIRED	00.191.8	SHARES
00 0	-10.285,1	\$5.018,51	25.25,11	66-82-10 10-22-90	SOLD ACQUIRED	00.002	SHARES
					HWON STOCK	E2' INC ' CO	¢£ PAR COOPER INDUSTRI
00 0	- 98 . 612	S9 851'SI	67.852,21	00-52-50 10-22-90	SOLD ACQUIRED	00 002	CHARES
S8X RATE Gain/Loss	CAINCLOSS	TSOD BASIS	SWOCEEDS SWIES	DATE SOLD		OPERIY	DESCRIPTION OF PR

30A9 TR

# CO ' INC SURDNA FOUNDATION - SANFORD C BERNSTEIN

ACCOUNT NO 26-53705

OYBSS 01545

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

END OF SALES SCHEDULE

### The Northern Trust Company

SO South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

ЗИКДИА ГОИИДАТІОМ - SANFORD C ВЕRИSTEIN & CO., INC.

ACCOUNT NO 26-53705

25210 07822

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-01-00 THROUGH 06-30-01

\*\*\* SUMMARY REPORT \*\*\*

LOSSES	ALIU	CUYVA	11431	יין עאט די
333301	UINV	2MIAS	маэт	Tanus

79.598,002,1	TOTAL SHORT TERM CAPITAL GAINS AND LOSSES
55 59£'005'I	NET SHORT TERM CAPITAL GAINS AND LOSSES

TONE LERM CAINS AND LOSSES

SUB TOTAL LONG TERM GAINS AND LOSSES

00	0	×	82	-	COSSES	GNA	CAINS	JATIGAD	МЯЭТ	ГОИС	TBN

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 % 5,665,552 93

TOTAL LONG TERM CAPITAL GAINS AND LOSSES 55

15.818,231 2 20 Dat at

26 255'599'5

30**4**9 82

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

BAA 07822 VCCONNI NO S9-81281 01546

DESCRIBLION OF PROPERTY

EQUITY INDEX

**PROCEEDS** 

SALES

07-01-00 THROUGH 06-50-01 **3049** ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

SISAS

COST

CAIN/LOSS

-12 997.8	02.722,71	13,593.29		VCONIKED 20FD	00 0	SHARES: 10
					;	ARHOD INC COMMON STOCK
<b>LS 591'S</b>	<b>ረ</b> ድ 605'ታ	bL'bLS'L	66-90-11 00-92-40	VCONIKED 20FD	00 0	SHARES 10
					<b>X</b>	XILINX INC COMMON STOC
S> >6Z'8	96 B6B'l	15 261'01	00-52-70 09-21-99	SOLD SOLD	00.0	2HARES: 10
				и гтоск	сонно	NETWORK APPLIANCE INC
98 80£	6Z	SI 968	15-11-88 01-12-00	VCGUIRED SOLD	00 0	SHARES: 15
				СОМ ИЕМ	2 INC	KANSAS CITY SOUTHN IND
26.75e, <u>t</u>	£9 516'£	55 <b>\$</b> 58'5	00-80-70 66-12-70	SOLD SOLD	00 0	ZH♥KEZ: 10
						фиягсомы тис сом
				TOSSES	QNA :	SHORT TERM CAPITAL GALUS

ACQUIRED

**DATE SOLD** 

STOCK #1 PAR INTERNATIONAL PAPER CO. COMMON

EQUITY INDEX SURDNA FOUNDATION

AAA 04855 ACCOUNT NO 26-81381 67210

30A9 S

#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEUAL SCHEDULE OF GAINS AND LOSSES**

			ADAPTEC INC., COMMON STOCK	
80 9 <b>2</b> 5'I	00 ZSS'£	80 876, 2	SHARES: 100.00 SOLD 08-31-00 ACQUIRED 01-28-00	
			HEREEY DAVIDSON INC COM	
12 6	88 95L'I	61'95L'I	SHARES: 100.00 SOLD 08-31-00 ACQUIRED 09-50-99	
			BED BATH BEYOND INC COM	
-22 951'1	02.722,2	71 18£'Z	SHARES: 100 00 SOLD 08-31-00 ACQUIRED 05-31-00	
			AMERICAN PWR CONVERSION CORP COM	
91 652'2	78 ISS., p	£0 [85'9	SHARES. 100 00 SOLD 08-31-00 ACQUIRED 04-17-00	
			ALTERA CORP COM	
-66'025'Z	57.915,2	£7,887,2	SHARES: 100 00 SOLD 08-02-00 ACQUIRED 04-26-00	
			#REORG FORD MTR CO DEL COM CASH & STK Merger to ford motor company sec #2-326542 a 1,0 & Cash a 20 eff 08-02-00	
7 p S	S0 20	25 21	SHARES: 0.65 SOLD 07-27-00 ACQUIRED 06-20-00	
CAIN/LOSS	COST BASIS	<b>SWOCEEDS</b> 2ALES	DATE SOLD/ DESCRIPTION OF PROPERTY ACQUIRED	Ī

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDEX
EQUITY INDEX

22870 AAB 01249 ACCOUNT NO 26-81381

#I PAR

LEGGETT & PLATT INC COMMON STOCK

PAGE

### PEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

-11 568'Z	27 262,21	<b>59 669'0</b> [	SHARES, 100 00 SOLD 09-22-00 ACQUIRED 07-26-00
			JDS UNIPHASE CORP COM ISIN US46612J1016
97.725.5	86 285'5	bL 0b9'L	POKD MIK CD DEE COM PAK #0 01  SHARES: 300 00 SOLD 09-22-00  ACQUIRED 08-02-00
95 066'I	85 855'T	<b>96 825'</b> £	SHARES 125 00 SOLD 09-22-00 ACQUIRED 01-16-00
			GLOBAL CROSSING LTD COM
- <b>22 Z9</b> I	00 £55'Z	79.065,5	SHARES 100 00 SOLD 09-22-00 ACQUIRED 06-16-00
			CHARTER ONE FINCL INC COMMON STOCK
S6 0S6'S	57 888'Z	02.254.8	SHARES 100 00 SOLD 09-22-00 ACQUIRED 10-11-99
			ANALOG DEVICES, INC , COMMON STOCK,
7'806 25-	5 <i>L</i> 896'£	27.290,5	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 09-30-99
SS07/NI45	COST BASIS	SALES	DESCRIPTION OF PROPERTY DATE SOLD

01249 ACCOUNT NO. 26-81381 SS870 AAB

#### SURDNA FOUNDATION EQUITY INDEX

#### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

PAGE

DESCRIPTION OF PROP	PERTY		DATE SOLD/ ACQUIRED	SALES <u>Proceeds</u>	COST Basis	GAIN/LOSS
SHARES	100 00	SOLD ACQUIRED	09-22-00 12-17-99	1,471 95	2,152.00	680.05-
LINEAR TECH CORP	DEL					
SHARES.	100.00	SOLD ACQUIRED	09-22-00 03-31-00	6,362 28	5,496 87	865 41
PINNACLE WEST CAR	PITAL CORP	COMMON STO	CK			
SHARES:	100.00	SOLD ACQUIRED	09-22-00 10-01-99	4,609 34	3,741 50	867 84
#REORG T ROWE PR N/C TO T ROWE PR EFF 12/28/00						
SHARES:	100.00	SOLD ACQUIRED	09-22-00 10-12-99	4,743 59	3,187 50	1,556.09
VERITAS SOFTWARE	CORP COM					
SHARES.	100.00	SQLD ACQUIRED	09-22-00 03-31-00	14,377 65	13,096 88	1,280.77
XILINX INC COMMO	N STOCK					
SHARES	100 00	SOLD ACQUIRED	09-22-00 11-05-99	8,455.96	4,409 37	4,046.59
VAUGO THE COMMON	CTOCK					

YAHOO INC COMMON STOCK

### The Northern Trust Company of South Lasaile Street, Chicago, Illinois 60673

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

01249 ACCOUNT NO 26-81381

1DS UNIPHASE CORP COM ISIN US4661211016

39A9

### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

-Zb /Z0,6	<b>54 558</b> 4 [2	12,818,52	15-08-00 15-08-00	ACQUIRED SOLD	100 00	SHARES
					₩ -	вковосом сокь сг
££.399,1	00'555'81	55 622,05	15-08-00 15-08-00	ACQUIRED SOLD	250 00	SHARES
			EM	ORED ADR N	PERSAL SPONS	ADR VIVENDI UNIN
85.182	00 £58'5	82 929'9	00-11-50 00-71-50	ACQUIRED SOLD	100 00	SHARES
				COM CASH		#REORG EASTERN E
			00-20-80	ACQUIRED		
1£ 6	52,51	21 73	10-56-00	anos	28.0	SHARES.
				10	COM PAR 40	FORD MTR CO DEL
			00-50-10	ACQUIRED		
-59.971.5	00 912,25	52.639,61	10-05-00	гогр	00,000	SHARES
					ROUP PLC ADR	#ERCER TO WPP GR #ERCER TO WPP GR #2893521 3 835 E
			15-01-86	ACQUIRED		
12,388 25-	00 ST8, A&	27 385,25	00-22-60	aros	200 00	SHARES,
SSOTANIOS	COST <u>PASIS</u>	SALES SQEEDS	DATE SOLD/ ACQUIRED		DEERTX	DESCRIBLION OF BRO

EQUITY INDEX NOITAGNUOT ANGRUZ

07822 ACCOUNT NO. 26-81381 81548

PAGE

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

-07,609,8	88 960'SI	81 485'6	SHARES: 100 00 SOLD 12-15-00 ACQUIRED 03-31-00
			VERITAS SOFTWARE CORP COM
£6 98 <i>L</i>	66 09b'I	Z6	SHARES: 100.00 SOLD 12-15-00 ACQUIRED 08-02-00
			FORD MTR CO DEL COM PAR 60 01
-15 762	55.056,2	28 222'5	SHARES· 100,00 SOLD 12-08-00
			AMBAC FINANCIAL GROUP COMMON STK
-21.201	2,628 00	88 SSS.8	SHARES: 100.00 SOLD 12-08-00
			METLIFE INC COM ISIN USS9156R1086
88.821	05.852,8	82 582'2	2HARES. 100.00 SOLD 12-11-00
			TIFFANY & CO COMMON STOCK
-51,751,6	57.892.81	00 9Sb'L	SHARES: 100.00 SOLD 12-08-00 ACQUIRED 07-26-00
CAIN/LOSS	T203 212Ag	SALES PROCEEDS	DATE SOLDY  DATE SOLDY  ACQUIRED

4 10 PAR FOREST LABORATORIES, INC , COMMON STOCK

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BAA 028SS VCCORNI NO SE-81281 61546

NIZONKCE INC COM

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#### 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

15 656,1	66'095'1	0> 018'Z	FORD MTR CO DEL COM PAR \$0 01 Shares 100.00 50LD 03-30-01 Acquired 08-02-00
-90 £0£	00 ZST'Z	56 858'T	ARBITRON INC 2023796 EFF 3/30/01 SHARES: 100 00 SOLD 03-30-01
			#REORG CERIDIAN CORP REV SPLT & N/C TO
-19.811	05'922'\$	5,107 89	SHARES: 100.00 SOLD 02-28-01
			TIEFRNY & CO COMMON STOCK
16 915'1	66'095'I	06 777,5	SHARES: 100 00 SOLD 02-28-01 ACQUIRED 08-02-00
			FORD MIR CO DEL COM PAR 46 01
01 206	27.842.8	58,255,2	SHARES: 100.00 SOLD 02-28-01 Acquired 11-30-00
			CALPINE CORP COM
288 12	6,692,13	92 086'9	SHARES: 100 00 SOLD 02-001 ACQUIRED 11-21-00
SSOT/NIV5	COST SISAB	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

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07822 ACCOUNT NO 26-81381 65210

DEADN ENERGY CORP NEW COM

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

CAINZLOSS	EASIS BASIS		DATE SOLD		YIBHORE	DESCRIPTION OF
68.529	02 78P,S	62.011,2	11-01-00 02-20-01	PCONIKED 20FD	00.001	SHARES
			1	оль тис сон	INNICATIONS GRO	MILLIAMS COMP
08 55	02 889	00 957	10-91-£0 04-20-01	VCGUIRED SOLD	89 291	SHARES.
				10.	1EL COM PAR \$0.	ЕОКО МІК СО Б
86 856	66'095'T	76 602,S	00-20-80 10-51-90	ACQUIRED SOLD	00 001	SHARES
					сом	CALPINE CORP
ZI 09Z	27 842,8	78 208,2	00-02-11 00-22-01	SOLD	00 00T	SHARES
					COMMON STOCK	СНІВОМ СОВЬ'
72,208	4,381 25	28'981'5	10-72-80 00-22-11	SOLD ACQUIRED	00 001	SHARES
					но	CINTAS CORP C
58 286	00 265'\$	p8.272,p	10-82-20 10-22-90	VCGNIKED 20FD	00.001	SHARES

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07822 ACCOUNT NO 26-81381 65210

JABIL CIRCUIT INC COM

PAGE

#### 10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

DESCRIPTION OF PROPERTY   CORD   CORD   COST   CO	-71.852,64	00.272,22	28.128,4	SHARES: 400.00 SOLD 06-27-01 Acquired 07-26-00
SHARES				JDS UNIPHASE CORP COM ISIN US46612J1016
SHARES	1,239 58-	SZ 906'b	78.333.E	
SHARES				ININII COM
SHARES	99. FEA	21.568,8	91 621'1	
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         BASIS         GAIN/LOSS           SHARES:         100.00         50LD 06-27-01         4,335.82         6,075.00         951 18-           DYNECY INC NEW CL A COM         ACQUIRED 06-27-01         4,335.85         5,301 00         965.15-           FORD MTR CO DEL COM PAR #0.01         ACQUIRED 10-02-00         10-02-00         965.15-           FORD MTR CO DEL COM PAR #0.01         ACQUIRED 06-27-01         14,543.51         8,765.97				
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         BASIS         GAIN/LOSS           SHARES:         100.00         50LD         06-27-01         5,125 82         6,075.00         951 18-           DYNECY INC NEW CL A COM           SHARES:         100 00         50LD         06-27-01         4,355.85         5,301 00         965.15-	PS.111,2	<i>L</i> 6 59 <i>L</i> ′8	ול פלפ פו	
DANECY INC NEW CL A COM         SOLD 06-27-01         COUNTRED         C				FORD MTR CO DEL COM PAR \$0.01
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         BASIS         GAINKLOSS           SHARES:         100.00         50.123 82         6,075.00         951 18-	-51.396	00 102'9	58.255,2	
DESCRIPTION OF PROPERTY         ACQUIRED         PROCEEDS         BASIS         GAIN/LOSS           SHARES:         100.00         50LD 06-27-01         5,123 82         6,075.00         951 18-				DAMECA INC NEM CF & COM
000 1/ 11/10	-81 156	00.270,8	28 \$21'5	
	<b>EVINTEDSS</b>			

0 T PAGE

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ACCOUNT NO. 26-81381

07822

67210

GLOGIC CORP COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

-12 96L	52.181.2	2,584 88	ZHARES. 100 00 SOLD 01-12-01
			NOBLE DRILLING CORP , COMMON STOCK
ישל זלים ול-	00 BS&.2	98 £60'5	SHARES: 100.00 SOLD 06-27-01 ACQUIRED 10-17-00
			NABORS INDUSTRIES INC , COMMON STOCK
-IS 869'I	00 588,01	69.281,6	SHARES: 300 00 SOLD 06-27-01 ACQUIRED 12-08-00
			WETLIFE INC COM ISIN USS9156R1086
22.227,1	05 219'\$	Z8 55£'S	SHARES: 100.00 SOLD 06-27-01 ACQUIRED 10-02-00
			KING PHARMACEUTICALS INC COM
25 929	0S <i>151'</i> 5	28 185,2	SHARES: 100 00 SOLD 06-27-01
			KINDEK WOKCAN INC COM STK
-58 876	27.029.8	16 [59']	SHARES: 100.00 SOLD 06-27-01 ACQUIRED 01-29-01
<u>evintross</u>	T203 ZIZAS	SWOCEEDS SWIES	DESCRIPTION OF PROPERTY ACQUIRED

EQUITY INDEX SURDNA FOUNDATION

D7822 ACCOUNT NO 26-81581 65210

ΙI PAGE

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

28% RATE GENNLOSS	SEO TANTAO	7202 212A4	SALES	DATE SOLD\ ACQUIRED	ROPERTY	DESCRIBLION OF R
	-19 512'29	£[.860,8pp	25 <i>611</i> ,088	-SSOT/NI	AS JATISAS MAST	TOTAL SHORT
	-19 512'29	<b>ና፤ 560'</b> ዩኦኦ	Z2.677,085			JATOT- BUZ
	08'15	00.827,2	08 908'5	CONIKED 00-55-01 20rd 00-51-01	00 00I	SHARES
				яда	соннои зтоск ио	ZIONS BANCORP
	-18 229'5	S1 812,8	96 05L'I	CONIKED 15-11-00 20FD 08-51-01	00 00I A	SHARES
				нон	оэ чяоэ хотэпам	AILESSE SEWICO
	-51 \$51	00 075,2	98 921'5	CONIKED 05-00-01 20FD 06-27-01	00 00! A	SHARES.
				כר ∀	UNICATIONS INC	NNIAIZION COWN
	-lb <del>b</del> SZ	55 156,5	Z6 9L1'Z	CONIKED 15-08-00 20FD 06-57-01	00 00I A	SHARES:
					OCIEZ INC COM	SAMBOL TECHNOL
	281 81	5,220 00	18 TOS'S	CONIKED 15-11-00 20FD 09-51-01	00.001 A	2H <b>P</b> KE2:
					жо:	<b>ЗТКҮКЕК СОКР С</b>
	-07.715.3	02.789,11	OB 692'5	CGNIKED 15-11-00 20/D 06-27-01	00 00I	2H <b>V</b> KE2:
	SS0J\NIA2	T203 SISA4	BROCEEDS SVIES	VOLD STAD ACQUIRED	ROPERTY	DESCRIPTION OF P

LONG TERM CAPITAL GAINS AND LOSSES

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81381 65210

1/5/03

15 MORGAN CHASE & CO 2427148 31 EFF #REORG CHASE MANHATTAN CORP NEW N/C TO

07-01-00 THROUGH 06-50-01 7 5 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-SI.08	27 078,2	09 06Z'b		VCONIKED 20FD	00 001	SHARES
					8A9 2#	CAPITAL STOCK,	POEING CO '
00 0	-18 212	00.520, p	5, 872, 5		VCGNIKED 20rd	00'00 t	SHARES
						соммои зтоск	РМЕКЕН СОКР
00.0	18 145'1	00.000,2	18 174,2		VCGNIKED 20rd	00 00I	SHARES
				708		SIZYYYE SIK M	
					ACQUIRED		
00.0	-16 002	00.205,2	60 205'5	00-50-10	SOLD	00 001	SHARES
						MMON STOCK	AFLAC INC CO
00.0	05 99	89 552'\$	88 125,5		VCONIKED 20FD	00 00T	: S38AHS
							HCM INC COM
S8% RATE CAIN/LOSS	CELUZLOSS	COST SISAS	PROCEEDS SALES	DATE SOLDV ACQUIRED		РВОРЕВІХ	DESCRIBITON OF

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81581 65210

DIZNEY WALT CO COM

10-01-00 THROUGH 06-50-01 Σľ PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	02.872, &	58 851'T	pe 127,p	86-91-10 00-50-40	VCGUIRED SOLD	00 001	SHARES
					ТОСК	сокь соннои г	регг сомритек
00 0	-60'555	S1 996'Z	99.062,5	86-91-10 00-50-20	VCONIKED ROFD	00.001	SHARES:
					ON STOCK	ЕК СОКР , СОММ	тичкор рачнор
00 0	5,586 45	29 [55'\$	50 826'5	86-91 <b>-</b> 10 00-50-20	VCONIBED 20rd	00 001	SHARES
					0М STOCK,	LIVE CO , COMMI	COLGATE-PALMO
00.0	05 120'5	2,203 00	0S 072'L	26-51-01 00-50-20	VCGNIKED 20FD	00 001	SHARES
					2 INC COM	COMMUNICATIONS	CLEAR CHANNEL
00 0	90 00£'II	7,062 02	15,362 08	26-12-50 00-50-20	VCGUIRED SOLD	00.005	SHARES
						СОНИОИ 210СК	CIZCO ZAZ INC
00.0	15.455,1	\$\$ \15'\$	98 ILL'9	86-91-10 00-50-20	VCONIKED SOLD	00 001	2H <b>V</b> KE2:
SZOJNIAD	CAINZLOSS	C0ST 8ASTS	SALES PROCEEDS	DATE SOLD\ ACQUIRED		РКОРЕКТҮ	DESCRIBITON OF

EQUITY INDEX SURDNA FOUNDATION

OZBSS ACCOUNT NO, 26-81581 65210

07-01-00 THROUGH 06-50-01 Þ١ PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	16 915'2	L1 295'Z	80 816,2	OIKED 01-10-88	100 00 VCD	SHARES
					Ю СОМ	CENERAL ELEC C
00 0	10 175,1	68.25 <i>1</i> ,1	06 966'Z	OIKED 01-10-88 20rd 01-02-00	00 001 PCQ	SHARES:
						GAP INC COM
00 0	02.52	2,288 52	29 059'\$	01-50-00 01-00-00 01-00-00	00 001 poa	SHARES
					ИГ СОВЬ СОМ ЗТОСК	FLEETBOSTON FI
00 0	Z'262 E8	00.758,5	85 066'b	01-16-98 SOLD 07-05-00	700 00	SHARES
					ь соммои втоск	FIRST DATA COR
00.0	9S 788,1	97 22 22	65 069'L	86-91-10 034IN 2000 01-02-00	100.00 ACQ	SHARES
					вь сом	EXXON WOBIF CO
00.0	56 685	71.815.8	2,805 12	86-91-10 D381N 20FD 01-02-00	700 00	SHARES
28% RATE CAIN/LOSS	<u>eain/loss</u>	C0ST	SALES PROCEEDS	DATE SOLD/	<u> ХТЯЭЧОЯ</u>	DESCRIBITON OF B

HERSHEY FOODS CORP , COMMON STOCK,

AA9 I4

EDNILK INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81381 01546

4 10 PAR

MEDIRONIC, INC , COMMON STOCK,

S١ 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE FEDERAL SCHEDULE OF GAINS AND LOSSES

00.0	-02 209	£9.[48,5	ድ <b>ቃ </b>	86-91-10 Q3 00-50-40 Q1	VCONIE 100 00 VCONIE	SHARES,
					N STOCK, #1 PAR	MASCO CORP COMMO
00.0	PZ.Z80,1	11122 42	79.291,5	ED 01-55-88 ΓD 01-02-00	IOO OO VCONIK	SHARES.
					MAN STK, NO PAR	LIMITED INC , CO
00 0	09 b16'T	27.2245	52.625,4	ED 01-19-88 ΓD 01-02-00	100 00 ACQUIR	SHARES
					D PAR P OF COMPANIES INC	INTERPUBLIC GROUI
00 0	0£	00.559,2	02 651,2	86-91-10	TOD OD VCONIK	SHARES
					Z INC COM	IFFINOIS 100F MK
00'0	98 [88,5	<b>ን</b> ላ ድድ፤ '፤	85 STO'S	ED 01-08-00	100 00 VCGNIK	SHARES
					соннои зтоск,	HOME DEPOT INC ,
00 0	-26 £1£'I	05 681'9	85 SI8'5	86-91-10 Q3 00-50-10 Q7	OS 00.001 Atudoa	SHARES
28% RATE GAIN/LOSS	<b>SVIN√F022</b>	T202 Sisaa	SALES	DATE SOLDV	SERIX	DESCRIPTION OF PROP

EQUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-30-01

04855 ACCOUNT NO, 26-81381 67210

PFIZER INC , COMMON STOCK, #.11 1/9 PAR

PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

9 T

00 0	-06 \$21'1	SZ 809'S	5£ 585'5	SHARES: 100.00 SOLD 07-05-00 ACQUIRED 01-16-98
				PPG INDUSTRIES INC , COMMON STOCK, 01.66 2/5 PAR
00 0	50 I£0'b	5Z:189 <b>'</b> 1	05 517,2	SHARES: 100 00 SOLD 07-05-00 ACQUIRED 03-51-98
				NEXTEL COMMUNICATIONS INC CL A COM STK
00 0	9 <b>5</b> 551'I	\$8 958'T	62 600'5	SHARES: 100.00 SOLD 07-16-98 ACQUIRED 01-16-98
				MOTOROLA INC , COMMON STOCK, 43 PAR
00 0	81 982'9	56 295'T	27, 628, T	SHARES: 100 00 SOLD 08-12-96
				MICROSOFT CORP COM
00 0	62 502'5	02 115'\$	65 SIL'L	SHARES: 100.00 SOLD 07-05-00 ACQUIRED 09-50-96
				PAR MERCK & CO. INC., COMMON STOCK, # 02 7/9
00'0	5,389 59	25 T02,S	p8 961, p	SHARES: 100.00 SOLD 07-16-98 ACQUIRED 01-16-98
28% RATE Gain/Loss	CAINLLOSS	7200 212 <u>a</u>	EKOCEEDS 2 <del>V</del> FE2	ESCRIPTION OF PROPERTY ACQUIRED

### The Northern Trust Company

SO South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381

TYCO INTL LTD NEW COM

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 10-02-00 THROUGH 06-50-01

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00.0	55.55.63	בו ופויו	82 582'9	SHARES: 100 00 SOLD 07-05-00 ACQUIRED 01-16-98
				TEXAS INSTRS INC COM
00 0	-55 >10'Z	82 928'9	£8 IZ8'5	SHARES: 100 00 SOLD 01-22-98
				SON TRUST BANKS, INC., COMMON STOCK
00 0	-58 570,1	02.886,5	89 SI6'I	SHARES: 100.00 SOLD 07-05-00 ACQUIRED 01-16-98
				SARA LEE CORP., COMMON STOCK
00.0	60 001'Z	27 172,S	<b>58 [72,</b> 2]	SHARES: 100 00 SOLD 07-09-96
				SEC COMMUNICATIONS INC COM
00'0	- 55 955'Z	S1 868'1	12:655'5	SHARES: 100 00 SOLD 01-16-98
				PROCTER & GAMBLE CO , COMMON STOCK, NO
00 0	65.181,5	00 655'Z	6 <b>5 0</b> 52'5	SHARES: 100 00 SOLD 07-05-00 ACQUIRED 01-16-98
SBX RATE SELIN/LOSS	GAIN/LOSS	T203 212≜€	SALES	DESCRIPTION OF PROPERTY ACQUIRED

#### EGULTY INDEX SURDNA FOUNDATION

BAA 02855 ACCOUNT NO 26-81581 65210

KANSAS CITY SOUTHN INDS INC COM NEW

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

ВŢ PAGE

00 0	66 O	) O¢	2.03	26-91-50 00-20-20	VCGNIKED 2 20FD	τ ο	2H <b>y</b> ke2:
						ŀ	AIZLEON COKE COL
00.0	-92 925	52 801'£	16.172,5	86-91-10 86-91-10	VCGNIKED 20FD	0 001	SHARES
					CK, ¢5 PAR	OTZ NOMMOS	WESTVACO CORP (
00.0	2,685 51	2,013 50	18 969'5	86-91-TO 00-50-20	ACQUIRED SOLD	0.00I	SHARES
					HON STOCK,	1 INC COM	WAL-MART STORES, \$ 10 PAR
00 0	-05 822	00 6Z8'b	09 065'5	66-02-90 66-02-90	VCGNIKED 0 ZOFD	100 0	SHARES
					WON STOCK	о ' сом	VULCAN MATERIALS 41 PAR
00'0	81 556	82.282,2	9 <b>5 0</b> 55'S	86-91-10 86-91-10	VCGNIKED O ZOFD	0 . 0 0 I	SHARES
					ы	OD SHOITAC	AEBIZON COMWNNIC
00 0	65 <del>5</del> 95'Z	OS 881'Z	60 \$51'5	86-91-10 00-50-40	O SOLD	0 · 0 0 I	SHARES
28% RATE Gain/Loss	SSOJZNIVO	C05T 212A8	SALES	DATE SOLDV ACQUIRED		YTABAG	DESCRIBITON OF ERC

#### EQUITY INDEX SURBNA FOUNDATION

02888 ACCOUNT NO. 26-81581 67210

00-12-90

PAPER CO #2-423444 3 7073 / CASH EFF SIK CASH & SIK MERGER TO INTERNATIONAL \*REORG CHAMPION INTERNATIONAL CORP COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

6 T

PAGE

00 0	27 E	58 £	09 L	86-91-10 86-91-10	VCGNIKED 20FD	<b>5</b>	SHARES:
					ис сом	ONS INTL II	QWEST COMMUNICATI
00 0	65 69£'8	1,125 94	£5 £65'6	86-91-10 00-51-20		00.001	SHARES:
					0И ЗТОСК,	INC ' COWN	SUN MICROSYSTEMS
00.0	11 527,8	£1 990't	PS 867,7	86-91-10 00-51-40	SOLD ACQUIRED	100 00	: Z3%AHZ
					W	ОКЬ ИЕМ СОІ	NOKIEL NETWORKS C
00.0	<b>ት</b> ዐ. ጀ <b>ት</b> ጀ. ፕ	61,027	8,073 23	86-91-10 00-51-20	SOLD SOLD	300 00	SHARES:
							ЕМС СОВЬ СОМ СОМ
00.0	72 £76,£	56 818,5	20 261,8	76-11-40 76-11-40		00 00l	SHARES
							CITICROUP INC COM
00.0	69 292	TP 522	91 968	04-01-66 04-12-00	VCGNIKED 20FD	120.00	SHARES
28% RATE GALUALLOSS	€¥IN\F022	T203 Z12A4	SALES	DATE SOLD/		ERTY	DESCRIPTION OF PROP

SURDNA FOUNDATION EQUITY INDEX

02888 ACCOUNT NO. 26-81581 65210

	10-02-90	оо тнвооен (	- [0-20
THE PERIOD	ABLE DURING	ACTIONS TAX	ACCOUNT TRANS
OSSES	CAINS AND L	SCHEDNIE OF	FEDERAL

PAGE 20

00 0	-SS 86Z'Z	22 617,B	87.0Sp, à	SHARES, 200 00 SOLD 07-26-00 ACQUIRED 01-16-98
				AT & T CORP COM STK
				//-II-ka
00.0	18'151'5	55 818'2	92 096'9	SHARES· 100.000 SOLD GA-10-07
				CITICROUP INC COM
				VCG01KED 01-19-88
00 0	9 <b>\$</b> 655	00 925'£	98 586'\$	SHARES 100 00 SOLD 07-26-00
				ABBOTT LABORATORIES, COMMON STOCK, No par
				ACQUIRED 01-22-98
00,0	98,330 32	95 £SP,2T	88 227,271	SHARES. 2,700 00 SOLD 07-25-00
				ACQUIRED 01-16-98
00 0	89 786,25	29 650'02	0£ 740,8A	SHAKES 700.00 SOLD 07-25-00
				8 30 85 & ATRT CORP #2-000836 8 95 EFF 07-20-00 THX TSB3 07-20-00 THX TSB3
				VCGNIKED IS-08-81
00 0	92 226,01	05 715,25	90 ISI'98	SHARES 500 00 SOLD 07-24-00
Z8X RATE ZZQJ\NIAĐ	SSOT/NIVO	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

ADOBE SYSTEMS INC., COMMON STOCK

EQUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-30-01

OLBSS ACCOUNT NO 26-81581 01546

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

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				ACQUIRED 01-16-98
00 0	10 pb0,7	T0.152,2	12,485 08	00-35-T0 GJOS 00 001 23AAH2
				AMERICAN INTERNATIONAL GROUP, INC COMMON STOCK, #2.50 PAR
00.0	9L Sbb'[	00.152,2	91 216,8	SHARES: 100 00 SOLD 07-26-00 ACQUIRED 01-16-98
				#REORG AMERICAN GENERAL CORP STK MERGER To amern intl GRP inc 2016450 eff 8/29/01
00 0	84,406,8	£8:559' [	T\$ 095'5	SHARES 100 00 SOLD 07-26-00 ACQUIRED 11-11-96
				AMERICAN EXPRESS CO., COMMON STOCK, ¢ 60 Par
00.0	28 728.1	00 000'5	S8 798.2	SHARES 100 00 SOLD 07-26-00 ACQUIRED 12-31-98
				#REORG AMERICA ONLINE STK MERGER TO AOL Time Warner 2157776 31 eff 1/11/01
00.0	80 195'01	1,932.25	12,393.53	VCGUIRED 01-16-98 SHARES 100 00 SOLD 07-26-00
SSX RATE	SS077NIV5	COST	SALES	DESCRIPTION OF PROPERTY ACQUIRED

CARDINAL HEALTH INC

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07822 ACCOUNT NO 26-81581 01546

SS 07-01-00 THROUGH 06-30-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00'0	81.591,8	05 252'2	89 555'6	ONIWED 10-57-92	20 00 DA	SHARES		
				STOCK	MIRE CO COMMON	BRIZTOL MYERS SC		
00 0	86 660'Z	51 058,2	£7 050,7	86-91-10 G3W100 80-92-00 G70S	20.00S	SHARES.		
					BELLSOUTH CORP., COMMON STOCK			
00.0	-62 90b'ī	82 011'9	60 501,2	86-91-10 01-18-88 2000 01-58-00	100 00 A	SHARES		
					но:	BANK AMER CORP (		
00.0	\$L 5>>'\$	05 625'5	22 588'1	001-16-98 20∟0 07-26-00	100 00 AC	SHARES		
					OZ INC COM	WHENZEK BOZCH		
00 0	0Z 014'5	90.755,£	6,937.26	GUIRED 01-16-98	00.001 DA	2HARES.		
					AMEEN INC COMMON STOCK			
00 0	72 625'7	02 021,2	92 011'L	DOING 01-16-98	00 001 DA	2HARES:		
28% RATE GAIN/LOSS	EVIN/FO22	COST BASIS	SACEEDS SALES	VOLDE SOLDV GGNIRED	PERIX	DESCRIBLION OF PRO		

CHEARON CORP , COMMON STOCK

EQUITY INDEX SURDNA FOUNDATION

AAA OLBSS ACCOUNT NO 26-81381 01546

ЕИКОИ СОКЬ СОМ

52 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-50-01 **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

28% RATE Gain/Loss	EVINTOSS	COST EASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED	IX	DESCRIBITION OF PROPER
00 0	55.26	SL 569'L	66 82 <i>L</i> 'L	86-91-10 86-91-10	100.00 SOLD ACQUIRED	SHARES:
					STOCK, #1 PAR	снивв сокъ, сомном
00 0	10d SE-	00 S22,7	51 TAP, T	86-91-10 91-58-00	100 00 SOLD ACQUIRED	SHARES
					и этоск	CIRCO RAR INC COMMO
00 0	>0.00 <i>2</i> ,22	90 921'Z	80 ÞZÞ'LZ	26-12-50 00-92-20	VCONIKED dog og sord	SHARES:
						COCA COLA CO COM
00 0	-06.8pZ,I	12,004 00	01.827,11	00-52-70	Soo oo sorb	SHARES
				86-91-ID	COMMON STOCK	DELL COMPUTER CORP
00 0	2,528 50	98'85I'I	ps 788,p	00-92-10	100 00 SOLD	SHARES
				86-91-10	ACQUIRED	EMC CORP COM COM
00 0	£3 £78 £	01 022	12 203 B	00 70 20	4 103	ЕМС СОКР СОМ СОМ
00 0	25 L9B'L	120 16	IL L65'B	86-91-10 00-92 <b>-</b> 10	100.00 SOLD ACQUIRED	SHARES

ACCOUNT NO. 26-81381 01249 SS870 AAB

#### SURDNA FOUNDATION **EQUITY INDEX**

#### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

PAGE 24

DESCRIPTION OF PRO	PERTY		DATE SOLD/ ACQUIRED	SALES <u>Proceeds</u>	COST Basis	GAIN/LOSS	28% RATE <u>Gain/Loss</u>
SHARES:	100 00	SOLD ACQUIRED	07-26-00 01-16-98	7,229.00	2,032.25	5,196 75	0 00
EXXON MOBIL CORP	COM						
SHARES	200 00	SOLD ACQUIRED	07-26-00 01-16-98	15,170 49	12,266 50	2,903.99	0 00
#REORG FORD MTR MERGER TO FORD M #2-326542 @ 1 0	OTOR COMPAN	IY SEC					
SHARES	100 00	SOLD ACQUIRED	07-26-00 04-16-97	4,672 84	2,216 68	2,456.16	0 00
GENERAL ELEC CO	СОМ						
SHARES	600.00	SOLD ACQUIRED	07-26-00 01-16-98	31,299 45	14,779 00	16,520 45	0 00
HEWLETT-PACKARD Par	CO , COMMON	STOCK, #1					
SHARES:	100 00	SOLD ACQUIRED	07-26-00 01-16-98	10,997.63	5,015 63	5,982 00	0.00

HOME DEPOT INC., COMMON STOCK, # 05 PAR

SURDNA FOUNDATION

BAA 01888 ACCOUNT NO. 26-81381 01546

**PAR** 

### EDNIIA INDEX

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

52

				STOCK, ¢ 02 7/9	MERCK & CO INC , COMMON
00.0	LT 158'S	00.971,2	71 889'6	VCGNIKED 01-19-88	SHARES 200
				сом глоск	LUCENT TECHNOLOGIES INC
00 0	26.871,8	SZ 80 <i>L</i> '9	71 288, <b>9</b>	VCGNIKED 01-19-38 00 20FD 01-59-00	SHARES: 100
					ETI TITTA # CO COM
00 0	pp.685,S	SZ. 28 <i>1</i> , 8	69.520,6	VCGNIKED 01-19-38	SHARES: 100
				STOCK #1 PAR	тонигои ж тонигои соммои
90 9	85.047,2	SL ppZ'S	\$1 \$86'0I	VCGNIKED 01-19-38 00 гогр 01-19-00	SHARES 100.
				ACHS CORP COM	INTERNATIONAL BUSINESS M
00 0	10,212,05	05 <b>/89'</b> £	£5 668,£1	VCG∩1КED 02-14-61 00 20ГD 01-50-00	SHARES. 100
00.0	07.071,01	28 827,8	95.998,81	VCGUIRED 01-15-97	SHARES: 100
				3A9 100 \$	INTEL CORP CAPITAL STOCK
0 O	d,220 55	PL 221'I	70 4 <b>2</b> 5,2	00 20LD 07-26-00 ACQUIRED 02-19-97	SHARES: 100.
28% RATE <u>Grin/Loss</u>	SS07/NIV5	COST PASIS	SALES	VAJOS 3TAG G38I400A.	DESCRIBITION OF PROPERTY

EQUITY INDEX NOITAGNUOA ANGRUZ

07-01-00 THROUGH 06-30-01

AAA 01888 VCCORNI NO SE-81281 01546

**BEBZICO INC COM** 

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKYF SCHEDNIE OF GAINS AND LOSSES

9 Z

00.0	86 £>0'5l	05.202,1	89.695,21	VCGNIKED 01-16-98	200 00	SHARES:
					10N CON	ORACLE CORPORAT
00.0	DI 615,7	21.880,1	22.282,8	ACQUIRED 01-16-98	, 00 00 t	SHARES
					CORP NEW COM	NORTEL NETWORKS
00.0	Z£ 185'9	78 018,S	69 162'6	ACQUIRED 01-16-98 SOLD 07-26-00	, 00 001	SHARES
				СО СОМ ИЕМ		MORGAN STANLEY ISIN #617446448
00 0	<b>5</b> 5 685	05 592'8	56 \$58'8	ACQUIRED 01-16-98	, 00 00 I	SHARES
				TURING CO.,		COWWON STOCK, W
00 0	22 <del>5</del> 99'51	58 889'b	70 242,0S	ACQUIRED 08-12-96	00.008	SH <b>ARES</b> :
					сом	MICROSOFT CORP
00.0	99.989.5	02 115'\$	94.766,8	ACQUIRED 09-30-96	00.001	SHARES
28% RATE SZOJNIAĐ	SS07/NI¥9	T203 212Ag	SOCEEDS SVIES	DATE SOLDV	OPERIX	DESCRIPTION OF PR

EQUITY INDEX SURDNA FOUNDATION

AAA OLBSS VCCOUNT NO 26-81381 65210

SBC COMMUNICATIONS INC COM

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	02,252	05.214.50	08 727,2	SHARES. 100 00 SOLD 07-26-00 ACQUIRED 01-16-98
				ROYAL DUTCH PETROLEUM N Y REGISTRY SH Par n 1 25 gldr
00.0	85 Z00'Z	15 157,5	60 951,4	SHARES 100 00 SOLD 01-26-00 86-61-10 GENIURCH 01-16-98
				QWEST COMMUNICATIONS INTL INC COM
00 0	-\$I 00\$'b	9, 555, 46	\$\$ \$\$0'S	SHARES 200.00 SOLD 06-16-97
				PHILIP MORRIS COS INC COM
00 0	Zb 086'9	10,236.00	Zb 912'L1	ACQUIRED 01-16-98 ACQUIRED 01-16-98
				PFIZER INC., COMMON STOCK, 4.11 1/9 PAR
00 0	18·5bb'I	<b>4،139 50</b>	12:585'5	VCGUIRED 01-16-98 SHARES: 100 00 SOLD 07-26-00
				PHERMECIA CORP COM
00 0	98 28	27.269,2	01.672,2	SHARES. 100.00 SOLD 07-26-00 ACQUIRED 01-16-98
S8% RATE GAIN/LOSS	GAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLD/RED ACQUIRED

EQUITY INDEX SURDNA FOUNDATION

OYBSS ACCOUNT NO. 26-81581 01546

TYCO INTL LTD NEW COM

10-02-90	07-01-00 THROUGH
•	AT SUCCIONS TRANSACTIONS TAX
	· · · · · · · · · · · · · · · · · · ·
CATUS AND LOSSES	FEDERAL SCHEDULE OF

00.0	66.507,2	25.700,5	PS 017,7	SHARES: 100.00 SOLD 07-26-00 ACQUIRED 01-16-98
				TIME WARNER INC 2157776 BI S EFF 1/11/01
00 0	\$0.885.8	27 IZI,1	87 762,6	SHARES 100 00 SOLD 07-26-00 86-61-10 GENURED 01-16-98
				TEXAS INSTRS INC COM
00.0	55 2018	6S 8bb	18 225'5	SHARES: 100 00 SOLD 07-26-00 ACQUIRED 07-26-00
				SPRINT CORP PCS COM SER 1
00 0	2,832 84	87.808	Z9 ፒ <b></b> 99'ዩ	SCHMAB CHARLES CORP COMMON STOCK NEW
	25. 122	77 221/2	2711.2011	ACQUIRED 01-16-98
00.0	82 728	Z9 99b'S	01'204'10	9A9 SHARES: 100.00 SOLD 07-26-00
				SCHERING-PLOUGH CORP COMMON STOCK, #1
00.0	12 <i>LL</i> 9'\$	02.294,4	17,028,8	SHARES: 200 00 SOLD 07-26-00
S8% RATE Gain/Loss	CAIN/LOSS	C05T	SALES	DESCRIPTION OF PROPERTY ACQUIRED

EQUITY INDEX SURDNA FOUNDATION

01-01-00 THROUGH 06-50-01

BAA 02828 ACCOUNT NO 26-81381 67210

BANK ONE CORP COM

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

6Z

00.0	S0 055'9	59.602,2	07 626,B	96-60-60 00-92-20	ZOLD SOLD	200 00	SHARES
						грсо	MOKEDCOM INC/MOR
00 0	80.552,1	\$5 659'Z	19:160'5	76-75-80	SOLD SOLD	700.00	SHARES
					:	HEM COM SIK	METES FARGO & CC
00.0	16 445'11	05 050'9	15 819'LI	86-91-10 86-97-20	VCONIKED 20FD	00.002	SHARES,
					1 STOCK,	тис соннои	WAL-MART STORES,
00 0	<b>11 115'5</b>	05.881,5	TS 099,8	01-52-70 86-51-10	VCON1KED 20FD	00.001	SAAHS
						מסכא כך פ	VIACOM COMMON ST
00 0	£6 525	27 076,8	89.265,6	86-91-10 00-92-20	VCOUIRED SOLD	200 00	SHARES
						MOD SHOITA	AEKIZON COHHUNIC
00.0	72.218,8	65.88[,5	90'505'5	01-16-98 07-26-00	VCONIKED 20FD	00 001	SHARES
S8X RATE	SEDINITOSS	T202 ZIZAĄ	SALES PROCEEDS	VOLOS 3TAG QARIVODA		PERTY	DESCRIPTION OF PRO

SURDNA FOUNDATION

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

07-01-00 THROUGH 06-30-01

OZBSS ACCOUNT NO. 26-81381 65210

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** EGULTY INDEX

00 0	28,308,91	56 605'SL	<i>11</i> .318,29	SHARES 3,400 00 SOLD 08-02-00 ACQUIRED 04-30-97
00 0	CB 70% Of	30 003 32	EE 7(8 70	
				FCQUIRED 04-16-97
00.0	Z5'685'9I	19.285,49	21.278,08	SHARES: 2,900 00 SOLD 08-02-00
				#5-256545 9 7 0 # CV2H 9 S0 ELE 08-05-00
				#REORG FORD MTR CO DEL COM CASH & STK Merger to ford motor company sec
				ACQUIRED 03-13-98
00.0	ST 819	07 28T	28 222,1	SHARES: 100.00 SOLD 07-28-00
				ACQUIRED 11-15-97
00 0	29 652,81	LL 6TT'TT	62 692,25	SHARES. 1,800 00 SOLD 07-28-00
				2СОМ СОВЬ СОММОМ 21ОСК
				ACQUIRED 01-22-98
00.0	- 9 2 6 7 6 , 1 5	82 811,78	28 821,2	SHARES 1,200.00 SOLD 07-26-00
				VCONIKED 01-19-88
00 0	-82 512'5	27.070,8	Lb 958	2HARES: 200 00 SOLD 07-26-00
				RITE AID CORP , COMMON STOCK, \$1 PAR
				ACQUIRED 01-16-98
00.0	- 99 019'1	ያያ 808' <b>ታ</b>	5,197 89	SHARES 100 00 SOLD 07-26-00
CAINZLOSS	CAINZLOSS	SISVE	PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED
S8% RATE		T203	<b>2∀</b> ΓE <b>2</b>	VOJOS 3TAO

EQUITY INDEX SURDNA FOUNDATION

OZBSS ACCOUNT NO 26-81581 01546

	TD-02-9	о напоянт оо	-10-20
THE PERIOD	BLE DURING	ACTIONS TAXA	SNAST TRANS
<b>COSSES</b>	CAINS AND	SCHEDNCE OF	FEDERAL

28% RATE Gain/Loss	CAIN/LOSS	512 <u>44</u>	<u>PROCEEDS</u> SALES	DATE SOLD/		DEERIK	DESCRIPTION OF PRO
00 0	- ቃረ ዓቃዩ	<b>የ</b> ቅ, <b>የ</b> ጀኒ , ይ	57,887, <u>5</u>	01-25-68 08-05-00	VCONIBED 20FD	00'001	2H <b>P</b> KE2:
					5L012599	269SN #NISI :	PALM INC COM STI
00 0	2°,04	bb [	89.2	76-51-11 76-51-11	20LD ACQUIRED	60 0	SHARES.
					MC	HARES INC CC	ноиттистои ваиса
00 0	-10 6	65'01	85 9	86-91-10 00-51-80	SOLD	0 0	SHARES
				a., a.,	azurahau		GANNETT INC COM
00 0	526 64-	SS 858'S	IS 865'S	86-91-10 00-62-80	SOLD SOLD	00'00T	2HPKE2 1
					"co"		GREAT ATLANTIC STANDING STORMON STORMO
00 0	-92 010'5	92 557,2	06.427,5	01-22-00 08-28-00	VCONIKED 20rd	00 002	SHARES
					нос	LOSEX INC	TRANSOCEAN SEDCO
00.0	62 569	1,320 55	9£ 916'I	01-16-98 08-29-00	SOLD ACQUIRED	21,36	SHARES

EQUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

0 L 8 S S VCCONNI NO S9-81281 01546

ALLEGHENY TECHNOLOGIES INC COM

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

00 0	15 6 <b>59'</b> 1	72 ST8,1	88 125,5	01-19-68 08-21-00	VCONIKED 20FD	00.001	SARAHS
						K	ALCOA INC COM ST
00.0	-23.6.65-	51.446,8	21 829'£	01-19-00 08-21-00	SOLD	00 001	SHARES
					(''ЭИІ		AIR PRODUCTS AND COMMON STOCK, #1
00 0	S8 STO'R	55 818,5	0£ 9£8'S	76-11-50 00-11-80	VCONIKED 20FD	00 00I	SHARES
						н	сттекоир тис со
00 0	PE 887,S	00 965'£	<b>95 675,</b> 8	15-52-67 08-59-00	VCONIKED SOLD	00.00t	SHARES
					H	IEZ COKH CO	питтер тесниогос
00 0	PT ETE,S	09.25.5	<b>ታ</b> ድ 865'ታ	01-15-66 08-56-00	VCGUIRED SOLD	300 00	SHARES 1
						ОМНОИ ЗТОСК	<b>ЗОГЕСТКОМ СОВР С</b>
00 0	69 585'I	9% 80%,S	55.261,2	01-55-68 08-56-00	VCGNIKED 20FD	<b>99.8</b> 9	SERAHS
28% RATE SALUZLOSS	CVINTOZZ	C0S1	EROCEEDS 2 <b>V</b> FE2	NATE SOLD. ACQUIRED		PERIY	DESCRIBLION OF PRO

SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

OLBSS VCCOUNT NO 26-81581 01546

CIRCO RAR INC COMMON RIOCK

VCGUIRED 01-16-98

EGULTY INDEX

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-90 082	27.078,1	69'065'T	00-IE-80	GJOS	100.00	SHARES:
						d	BYKKICK COFD COK
00 0	-62 211'1	19 166'1	878 22	86-91-10 00-12-80	VCGNIKED 20FD	, 00 001	SBAHS
				оск	та иомноз	трганр со , (	ARCHER-DANIELS-M.
00 0	95. <b>6</b> 98,£	00 ISO'5	<b>9</b> 9 515'5	86 • 91 - 10 00 - 12 - 80	VCONIKED ROLD	, ου . ου τ	2HARES:
					•		AMERICAN HOME PRO
00 0	-70 025,2	05.680,2	25 658'T	86-91-10 00-12-80	VCGNIKED 20rd	, 00 00T	SHARES
					'A SSA		COMMON STOCK, #1
00 0	00 856	ST 556'T	51 206'Z	96-60-90 00-19-80	VCONIKED 20FD	, 00 001	SHARES:
						ниои зтоск	ALLSTATE CORP COR
00 0	-49 651'Z	69 IZ£'\$	26 171,5	01-19-88 08-21-00	VCONIKED 201D	, 00.001	SHARES,
S8% RATE	<b>CAIN/LOSS</b>	C0ST	PROCEEDS SALES	DATE SOLD/ ACQUIRED		SERIX	DESCRIBITON OF PRO

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81581 01546

CONSOLIDATED EDISON INC COMMON STOCK

ÞΣ PAGE

01-01-00 1HKONCH 06-20-01
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
PEDEKAT SCHEDOLE OF GAINS AND COSSES

00.0	- 7 B . B	<b>70 21</b>	٥٢.٤	SHARES: 0 50 20-16-98 ACQUIRED 01-16-98
00.0	-05 882'\$	50.251,6	826 52	PCG01KED 01-19-98 SOFD 08-21-00
				CONSECO INC., COMMON STOCK
00 0	- <b>50 058</b> 'Z	52.806,8	12 950'1	SHARES, 100.00 SOLD 08-31-98
				сомьлими сокь сомнои
00 0	82 855	S1	21 402 12	VCGUIRED 01-16-98 SHARES 100 00 10-16-98
				СОМРАФ СОМРИТЕR СОКР , СОММОМ STOCK
00 0	-75,275	22 106'5	90'829'5	VCGN1KED 01-19-38 2HVKE2: 100 00 20FD 08-21-00
				COMERICA, INC , COMMON STOCK, 45 PAR
00.0	78 270,S	00'159'1	2,724 87	SHARES 100.00 SOLD 08-31-00 ACQUIRED 01-16-98
				COMCAST CORP CLASS A SPECIAL
00.0	92 [55,6	221 01	LZ.Z98,	SHARES: 100 00 SOLD 08-31-00 AC-21-90
28% RATE GAIN/LOSS	CVINTOSS	C057	PROCEEDS SALES	SCRIPTION OF PROPERTY ACQUIRED

EDNILL INDEX SURDNA FOUNDATION

8AA 02888 ACCOUNT NO 26-81381 65210

FNMA COM STOCK

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDESOF** SCHEDNIE OF GAINS AND LOSSES

32

00 0	76.250,S	52.251,6	ZZ:65I'8	01-19-68 08-21-00	VCGUIKED SOLD	100 00	SHARES
						сон	EXXON WOBIF COKE
00.0	SI 9 89	27 028,S	99.090,E	86-91-10 00-12-80	VCGUIRED SOLD	100 00	238AH2
					ОСК	соммои ат	еитексу сокр ием
00.0	50'155'1	27.078,A	08 IZ8'S	01-22-68 08-21-00	VCONIKED 20FD	00 00 t	: S3#AHS
							#REORG EL PASO EI
00.0	II TSS,S	ps 186'ī	<b>፭</b> ዩ	56-50-50 00-12-80	VCONIBED 20rd	00 001	SARARS
					0 COM 21K	EWONKZ # C	DU PONT E I DE NI
00.0	<b>2</b> 9.119	71.212,2	Z9.068,&	86-91-10 00-12-80	VCGUIRED SOLD	00.001	SHARES
						MC	DIZNEK MALT CO C
00 0	-95 198	2,989 50	१ १ १ १ १ १ १	01-16-98 08-31-00	VCGNIKED 20FD	00.001	SHARES.
S8% RATE	CAIN/LOSS	COST BASIS	PROCEEDS SALES	DATE SOLD/		SERIX	DESCRIPTION OF PRO

#### EGULTY INDEX SURDNA FOUNDATION

**8AA** 07822 ACCOUNT NO 26-81381 01546

9£ 01-01-00 THROUGH 06-50-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-27.611,5	29 911'5	06 966'Z	SHARES 100.00 SOLD 08-51-00 ACQUIRED 01-16-98
				GILLETTE CO., COMMON STOCK, \$1 PAR
00.0	82.502,58	\[`£95'Z	55'598'5	SHARES 100.00 SOLD 08-51-00 ACQUIRED 01-16-98
				CENERAL ELEC CO COM
00 0	-25 858	2,830 13	16,172,5	SHARES: 100 00 SOLD 08-31-00 ACQUIRED 01-16-98
				FIRSTENERGY CORP COM
00 0	-59.091	10 S95,S	ZÞ'58£'Z	SHARES: 100 00 SOLD 08-31-00 ACQUIRED 03-13-98
				#REORG FIRSTAR CORP NEW WIS STK MERGER
00.0	95.737,I	87.885.I	Þī 900'£	SHARES, 100.00 SOLD 08-31-00 ACQUIRED 01-16-98
				GLOBAL CROSSING LTD COM
00 0	-89.717	05.680,6	28 ITE,2	SHARES: 100 00 SOLD 08-31-00 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	<u>GAINZLOSS</u>	COST	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

GOODRICH CORPORATION

## The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381

KROCER CO., COMMON STOCK, \$1 PAR

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

BDA9 78

00 0	-70.675,1	51 265,8	89 STO'Z	86-91-10 00-12-80	VCONIKED 180 00 20FD	SHARES
					STOCK	KEACOKE NEM COWNON
				76-PI-20	ACQUIRED	
00 0	05 279'5	27 278,1	25.782,7	08-21-00	100'00 20FD	SHARES.
					STOCK # 001 PAR	INTEL CORP CAPITAL
				86-91-10	ACQUIRED	
00 0	L9 885	4,213 17	98.96L'9	08-21-00	100.00 SOLD	SHARES
					IONAL INC , COMMON	НОИЗЕНОГО ІИТЕКИВІ ЗТОСК, ¢1 РАК
				86-91-10	ACQUIRED	
00 0	185 21	27.078,8	2,855 12	08-21-00	100 00 20FD	2HARES.
					COM STX	HONEXMELL INTL INC
				56-80-60	VCGUIRED	
00 0	-84,271,1	06'605'\$	2,334 42	08-21-00	00 00 T	SHARES
					ввек со ' соммои	GOODYEAR TIRE & RU Stock, no par
				86-22-10	VCGUIRED	
00 0	-72 281	4,261 58	11 870,p	08-31-00	100.00 SOLD	SHARES
SBX RATE GAIN/LOSS	SEDINTEDS	7203 212 <b>4</b> 8	SALES PROCEEDS	NATE SOLDV ACQUIRED	RIX	DESCRIBLION OF PROPE

EQUITY INDEX SURDNA FOUNDATION

07822 VCCOUNT NO 26-81381 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

85

PAGE

00 0	62.847,1	1,854 83	21.803.8	SHARES: 100.00 SOLD 08-31-00 ACQUIRED 01-16-98
				MOTOROLA INC , COMMON STOCK, \$3 PAR
00 0	40 TS8'Z	52 885,8	62 580'9	2HARES: 100.00 SOLD 08-31-00
				WIFFIBORE CORP COM
00 0	62 805'Z	25.283,8	<b>95 061'9</b>	SHARES 100 00 SOLD 08-31-00
				MCGRAW HILL COMPANIES INC COM
00,0	<b>25 119</b>	<b>78.578,5</b>	05 586,S	SHARES 100 00 SOLD 08-31-00 ACQUIRED 01-16-98
				МС DONALDS CORP СОММОИ STOCK, ИО PAR
00.0	95 895'ī	٤9.656,1	21825'\$	SHARES: 100.00 SOLD 01-16-98
				МВИ <b>ў</b> СОКЬ СОММОИ ЗІОСК
00 0	<b>11 55</b> 5	02.218,1	73.235,S	SHARES: 100 00 SOLD 08-31-00
28% RATE SAIN/LOSS	CAIN/LOSS	COST SISAA	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

CITICROUP 2000136 8.7334 EFF 11/30/00 #REORG ASSOC FIRST CORP CL A STK MERGER

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDEX EQUITY INDEX

07-01-00 THROUGH 06-30-01

01249 ACCOUNT NO 26-81381

FLEETBOSTON FINL CORP 2323734 EFF 3/1/01 #REORG SUMMIT BANCORP STK MERGER TO

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
PAGE

26

SEAN X8S SEQUINIAD	CVINCEDSS	COST SISAS		DATE SOLDA		PROPERTY	DESCRIBLION OF
00.0	22 55	29.111	59 <b>9</b> 51	76-21-50 76-31-60	VCONIKED 20rd	22'5	SHARES
00.0	65 255	92 011'Z	ST 588,5	16-91-50 00-12-80	VCONIKED 20FD	84 56	SHARES
						O CT B COM 21K	##KAYTHEON C
00.0	-52'526'22-	05.910,2	21 877,S	01-16-98 01-16-98	VCGNIKED 20FD	00 061	SHARES
						INC COM	ST PAUL COS
00.0	SSS 60	97 916	98 621'1	08-21-00	anos	26.25	2HVKE2·
				86-91-10	ACQUIRED		
00 D	262 83	59.955,8	86.918,8	01-22-68 08-21-00	SOLD SOLD	50 94	SHARES
					HON ZIOCK'	BLINES CO , COM	IA T23WHTUO2 RA9
00 0	<b>59 611'1</b>	87.651,1	Zp.652,5	08-21-00	ZOFD	00.00 t	SHARES
				86-91-10	ACQUIRED		
						сом	SPRINT CORP
00 0	£6 072,1	56 518'T	88.945,5	08-21-00 01-05-80	VCGNIKED 20FD	00 001	SHARES

#### EDNILL INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-30-01

AAA ACCOUNT NO 26-81381 0**28**SS

65210

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

05

PAGE

00 0	IS 199'I	88 ZZ9'I	6\$ 982,5		VCONIKED 20rd	100 00	SHARES
				;	AA9 25 f#	оммои зтоск,	MALGREEN CO C
00 0	-22 116'Z	00.170,2	£9 591'Z		ZOCD SOCD	00 001	SHARES
						нор чяор	NNNWPROVIDENT
00.0	-68 £20'Z	S1 866,8	98 176,8		ZOFD ZOFD	00 001	2HARES:
						сом	UNION PAC CORP
00 0	98 028	51 60,8	19 S16'S		ZOFD SOFD	00 001	SHAKES
						INC COM	NOITADUG3 AZU
00 0	89,032	<b>bb 19</b> L'I	2,321 92		SOLD SOLD	00.001	ZH <b>Y</b> KEZ
						н	таксет сокр со
00.0	-52 5272	00 100'5	59 <b>59</b> 1'Z		VCONIKED ZOFD	00 001	SHARES.
S8X RATE	GAIN/LOSS	TSOO SIZAG	PROCEEDS SALES	DATE SOLD		ROPERTY	DESCRIBLION OF P

BANK AMER CORP COM

.A INDEX	Ednij
FOUNDATION	SURDNA

SAA DY822 VCCOUNT NO 26-81581 65210

**EHLMC VOTING COMMON STOCK** 

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

I۶

00 0	-25 555'[	00 205'9	85.750,2		TOO OO SOFD	SHARES.
						COCA COLA CO COM
00 D	19.515.5	80:988'5	69 860'6		FCGOIRED 100 00 SOFD SOFD	стемь сокр., соммо
60.0	-52 S2.	71 888,5	Z6 851'Z		JUU 00 SOUD SOUD SOUD SOUD SOUD SOUD SOUD SOUD	ВИКГІИСТОИ ИОКТНИ
00 0	95 SIC'T	27 072, <i>p</i>	TS 989'S		ACQUIRED ACQUIRED ACQUIRED	BOEING CO , CAPITA Shares:
00.0	02.218	72 016,S	78 257,E		100 00 SOLD ACQUIRED ACQUIRED	SHARES
00.0	- 18.526	72.011,6	95.732,2		100 00 SOLD	2HARES.
S8% RATE SELIVILOSS	CVINCEOSS	BASIS COST	SALES PROCEEDS	DATE SOLDV	KIX	DESCRIBITON OF PROPE

EGULTY INDEX SURDNA FOUNDATION

BAA 02855 ACCOUNT NO. 26-81381 65210

WELLON FINE CORP COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

Z۶

28% RATE CAIN/LOSS	CVINCEOSS	T203 Sisaa	PROCEEDS SALES	DESCRIPTION_OF_PROPERTY DESCRIPTION_OF_PROPERTY DESCRIPTION_OF_PROPERTY
00.0	85 592	4,583.25	£8 856'5	SHARES: 100.00 SOLD 09-15-00 ACQUIRED 01-16-98
				FEDERATED DEPT STORES INC DEL COMMON
00 0	-52 889	00'905'\$	59 /[8'2	SHARES 100.00 SOLD 09-15-00 ACQUIRED 07-01-97
00 0	80 SES.T	S1 244 15	58 672,51	INTERNATIONAL BUSINESS MACHS CORP COM SHARES. 100.00 SOLD 09-15-00 ACQUIRED 01-16-98
				KEKK WCCEE COKE COM
00 0	202 03	00 252'9	£0 555'9	YCGUIKED 01-16-98 ACGUIKED 01-16-98
				KIMBERLY-CLARK CORP COMMON STOCK \$5 PAR
00 0	- 26 . 9 p	57.291.2	\$8 860'5	SHARES 100,00 SOLD 09-15-00 ACQUIRED 01-16-98
00 0	20 109	88 221 6	00 122 2	MC DONALDS CORP COMMON STOCK, NO PAR
00 0	Z0 [05	88 212,2	06.277,S	YCGNIKED 01-10-88 20-00 00 20-12-00

EDNITY INDEX SURDNA FOUNDATION

8AA 07822 ACCOUNT NO 26-81381 67210

STAPLES INC (RETAIL & DELIVERY)

62 07-01-00 THROUGH 06-30-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	58 076,£	57 172,S	09 255'5	/СФЛІКЕД 08-13-30 20ГД 03-12-00	# 00 00 T	SHARES
					NZ INC COM	SBC COMMUNICATIO
00.0	-12 CO7,1	27.268,T	<b>65 261'9</b>	CGNIKED 01-19-88 201D 08-12-00	<i>1</i> 00 00T	SHARES
				глоск, но	со сомнои	PROCTER & GAMBLE
00 0	-59'016'9	95.222.46	18.552,2	CONIBED 00-10-01 20FD 00-12-00	00.00Z	SHARES:
					2 INC COM	PHILIP MORRIS CO
00 0	85.871.7	27,528	86 028,7	CONIKED 01-19-88 2070 08-12-00	7 00 00 I	2HARES:
					ОИ СОМ	ORACLE CORPORATI
00 0	-72.90S.1	00'259'5	29.544.8	CONIKED 01-19-38 20FD 03-12-00	00.001	SHARES
				9 <b>4</b> 9 0	ОМ 210CK' ¢ <	илсов совь сони
00 0	09'155'1	27.610,5	55.139,2	CONIKED 01-19-88	00.001	SHARES.
SSX RATE	SEALUZIOSS	C0ST <u>84S1S</u>	SALES PROCEEDS	DATE SOLD. ACQUIRED	SERIX	DESCRIPTION OF PRO

EGUITY INDEX NOITAGNUOT ANGRUS

BAA 07822 ACCOUNT NO 26-81381 61549

MASHINGTON MUT INC COM

クク PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00.0	£5 991'b	0S 881'Z	£0 55£'9		VCONIKED 100 00 ZOFD	SHARES
					OCK CF 8	VIACOM COMMON ST
00.0	70 871,S	00 ZSI'S	TO 025,2		TOO OO CONIKED	: S3XAHS
					RP CASH & STK MERGER.	
				86-22-10	ACQUIRED	
00 0	-12 25	72.809	01 273	00-51-60	70 00 SOLD	SHARES
				86-91-10	<b>V</b> CGN1RED	
00 0	-15 5	85.38	78 <u>1</u> 8	00-51-60	00 OT 20FD	SHARES
					1	SYNAVANT INC COM
				86-91-10	VCGUIRED ACGUIRED	
00.0	10,181 95	1,123 94	78.205,11	00-51-60	100.00 SOLD	SHARES:
					тис., сомном зтоск,	SUN MICROSYSTEMS \$.00067 PAR
				86-90-DT	ACQUIRED	
00.0	-11 529	2,650 00	3,024 89	00-51-60	200 00 <b>20</b> CD	SHARES
28% RATE <u>CELUNIOS</u>	CAIN/L055	COST BASIS	SACEEDS PROCEEDS	DATE SOLD/ ACQUIRED	<b>SERIX</b>	DESCRIPTION OF PRO

Ednity index NOITAGNUOT ANGRUS

**8AA** 07822 ACCOUNT NO. 26-81381 65210

CITIGROUP INC COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

95

**30A**9

00 0	۲۵.699,۶	12 058'1	82.602,8	SHARES 100,00 SOLD 09-22-00 ACQUIRED 10-01-98
				<b>РЕЗ СОКР СОММОМ \$10СК</b>
00 0	55.285,2	00.201,21	2£ 782,91	NO PAR SHARES: 400 00 SOLD 09-22-00 ACQUIRED 01-16-98
				ABBOTT LABORATORIES, COMMON STOCK,
00 0	<b>62.721,</b> S	05 211'1	68.6ÞS.£	SHARES 100,00 SOLD 09-22-00 ACQUIRED 07-50-99
				ADC TELECOMMUNICATIONS INC COM
00.0	LZ II	86 9	18 SE	SHARES: 0.33 SOLD 09-11-97
				CILICEROUP INC COM
00 0	-£6 006'T	62.296,2	98.180,4	VCGUIRED 09-30-97
				PAR PAR
00.0	180 27	28.644,E	51.059.5	SHARES 100,000 SOLD 09-15-00 ACQUIRED 01-16-98
28% RATE Gain/Loss	CAINZLOSS	7203 21288	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

EQUITY INDEX SURDNA FOUNDATION

OYBSS ACCOUNT NO 26-81381 01546

ALCAN INC

95 PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-22 260'2	0% 071,4	81 870,S	0 20FD 01-16-98	0 · 0 0 T	SHARES.
				STOCK, #1 PAR	номмор ' з	ALBERTSON'S, IN
00 0	98.80 <b>2</b> ,1	6> 007,8	££ 606'b	0 SOLD 09-22-00 ACQUIRED 01-16-98	0 · 0 0 T	SHARES,
				СОМ	Deles inc	AGILENT TECHNOL
00 0	-88 162'£	89 880'6	08.867,2	0 SOLD 09-22-00 ACQUIRED 09-25-97	0 00 T	SHARES
				GER 935.332247	с сузн мек	EFF 12/13/00
00.0	\$5 II9'I	88 28	16.862,5	0 20LD 09-22-00 ACQUIRED 01-16-98	a aat	2HARES ·
				ис., сомнои		STOCK, ¢ 01 PAR
00 0	-21 ב22,11	<b>ጀ</b> ጀ 178, <mark>ን</mark> ጀ	1Z 5Z9'£Z	0 20FD 03-18-98	0.008	SHARES:
					STK	AT & T CORP COM
00 0	76 PTB,85	pp:172,81	[6.866,76	0 20LD 09-22-00 ACQUIRED 04-11-97	0'006	SHARES
S8X RATE GAINKLOSS	CAIN/LOSS	COST BASIS	EKOCEEDS S¥res	DATE SOLDV ACQUIRED	DEERTY	DESCRIBLION OF PRO

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BAA OLBSS ACCOUNT NO. 26-81581 01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

				WHERICAN EXPRESS CO , COMMON STOCK, 4.60	į
00.0	-18 525'[	05 510'5	£9 065'£	SHARES 100 00 SOLD 09-22-00	
				AMERICAN ELECTRIC POWER CO., INC., Sommon Stock, ¢6 50 par	
00.0	92.219,2	00'000'91	92.516,15	SHARES: 400.00 SOLD 09-22-00 ACQUIRED 12-31-98	
				FIME WARNER SISTITS OF EFF 1/11/01	
00.0	28, 267	00.771,2	58.179,2	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98	
				ALLTEL CORP COM 151N #US0200391037	1
00.0	<i>Tp.</i> 877,5	02.068.2	LL 899'9	SHARES, 200 00 SOLD 09-22-00 ACQUIRED 05-09-96	
				ALLSTATE CORP COMMON STOCK	1
00.0	65.388,1	<b>27 </b>	<b>52.127.</b> 2	SHARES: 200.00 SOLD 09-22-00 ACQUIRED 01-16-98	
				SECOR INC COM STK	1
00.0	06 69 T	27 027,S	59.068,5	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98	
28% RATE <u>SZOJNIAĐ</u>	SSOT/NI¥5	T203 <u>212A4</u>	<b>SKOCEEDS</b> SALES	DATE SOLD/ SCRIPTION OF PROPERTY ACQUIRED	S उत

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EQUITY INDEX SURDNA FOUNDATION

04888 ACCOUNT NO 26-81381 65210

AA4 I+

AMSOUTH BANCORPORATION, COMMON STOCK,

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

28% RATE GAIN/LOSS	SS077NIV5	COST BASIS	SALES	DATE SOLD/ ACQUIRED		PERIX	DESCRIPTION OF PRO
00 0	26.726,51	85 L96'5	Ib 519'LI		VCONIKED 20FD	200 00	SHARES
				2EK		· · · · · · · · ·	TO PMERN INTL GR #REORG PMERICAN #REORG PMERICAN
00 0	57.52.24	00.752,2	ps 659'L		SOLD SOLD	00 001	2HARES:
					•		AMERICAN HOME PR
00 0	II 645'£	00.501.8	11.189,11		VCONIKED 20FD	00 002	SHARES
					. тис.		AMERICAN INTERNA COMMON STOCK, \$2
00.0	26 951,15	D& 070,81	SS 7S8,2P		SOLD SOLD	00 005	; S3RAH2
						STOCK	РИСЕИ ІИС СОМИОИ
, 00 0	88 456'11	5'424 12	ום לוליטו		SOLD SOLD	00 00Z	SHARES

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

22870 ACCOUNT NO 26-81381

AON CORP COMMON STOCK

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
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ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

				VCGNIKED 01-16-98
00.0	82.199,01	27,299,2	13,987.05	SHARES: 200.00 SOLD 09-22-00
				APPLIED MATERIALS, INC., COMMON STOCK,
00 0	58,185,2	SS 729	72 815.2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				APPLE COMPUTER, INC., COMMON STOCK
00.0	55.519,5	21.262,8	02.606,2	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				APACHE CORP , COMMON STOCK, #1 25 PAR
00 0	2,208 35	27 915,5	0 T 82 b' b	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				ANHENSER BUSCH COS INC COM
00 0	67 OBI, &	00 916,S	62 951'9	SHARES: 100 00 SOLD 09-22-00
				АМАДАККО РЕТКОГЕИМ СОКР, СОММОМ STOCK
00,0	-29'526'1	22.272,2	07.026.1	SHARES 100 00 SOLD 03-09-99
S8% RATE GAIN/LOSS	CAIN/LOSS	TSOS BASIS	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

SURDNA FOUNDETION EQUITY INDEX

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

07-01-00 THROUGH 06-30-01

07822 ACCOUNT NO. 26-81581 652[0

\$10CK, \$7.50 PAR

BANK OF NEW YORK CO., INC., COMMON

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

05

00.0 TO 922 35.155.31, 85 A82,5 00-55-90 GJOS 00 DOI	SHARES
ІИС ' СОМНОМ ЗІОСК	BYKEK HOCHEZ
100.0 SOLD 09-22-00 4,190.61 2,866 62 1,525.99 0.000 ACQUIRED 01-16-98	SHARES:
' INC ' COMMON SLOCK'	AVON PRODUCTS \$0.50 PAR
700'00 20F0 01-14-98 6,284.29 2,982 25 5,502 04 0.00	2HARES:
W PROCESSING INC COM	TAG DITAMOTUA
300 00 SOLD 09-22-00 16,490 45 18,331 13 1,840 68- 0 000	2HARES.
COM	BANK AMER COR
ACQUIRED 01-16-98 ACQUIRED 01-16-98 1,194.64- 0.00	SHARES
S-MIDLAND CO., COMMON STOCK	ARCHER-DANIELS
ACQUIRED 01-16-98	
100 00 SOLD 09-22-00 3,728 12 3,738 85 10 00 00 00 00 00 00 00 00 00 00 00 00	SHARES
PROPERTY DATE SOLDV SALES COST GAIN/LOSS GAIN/LOSS GAIN/LOSS GAIN/LOSS GAIN/LOSS	DESCRIBLION OF

EQUITY INDEX SURDNA FOUNDATION

8AA **D7822** ACCOUNT NO 26-81581 01546

**AA9 02.** 

BLACK & DECKER CORP., COMMON STOCK,

10-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

เร PAGE

00 0	1£ 115'1	18 887,1	<b>902'</b> Σ	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				BIOMET INC., COMMON STOCK
00.0	2,123 89	Z9 [b0'S	15 S91'L	SHARES 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				ELE 10-04-00 #Weoke/besifoods com cash merger 3 73.0
00 0	86 078,5	05.199,11	85 SIE, 21	SHARES 400.00 SOLD 09-22-00 ACQUIRED 01-16-98
				BELLSOUTH CORP , COMMON STOCK
00 0	- 17 212	21.457,5	99 055'2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				ØJ PAR BECTON, DICKINSON AND CO., COMMON STOCK,
00 0	05.990,8	27 521,5	22 602,8	SHARES 100.00 SOLD 09-22-00 ACQUIRED 11-10-95
				BAXTER INTL INC COMMON STOCK
00 0	51.629.4	05.499,2	59.262.01	SHARES, 200,00 SOLD 09-22-00 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	SS01/NIA9	T203 212Ag	EKOCEEDS 24res	ESCRIPTION OF PROPERTY ACQUIRED

### EDNILL INDEX SURDNA FOUNDATION

BAA 01822 ACCOUNT NO 26-81381 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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00 0	-12 928	00.755,2	ኗ <b>9 06</b> ៦'ኗ	01-16-98 09-22-00	VCONIBED SOLD	100 00	SHARES
				;	чмои втоск	ONECEZ INC CON	BURLINGTON RES
00 0	-85 161	91 888'Z	89.090,5	01-19-60 00-55-00	ACQUIRED SOLD	00 00T	SHARES.
				Z6-1Z-0I		THM SANTA EE (	BURLINGTON NOR
00 0	ZZ 505'9[	00 L0L'9	22 212'22	09-22-00	ACQUIRED SOLD	00.00>	SHARES
					40H 210CK	SONIBB CO COM	BRISTOL MYERS
00.0	- 18 459	57 pp&,S	56 96L'I	01-16-98 09-22-00	ACQUIRED SOLD	00 001	2H <b>V</b> KE2:
						FIC CORP COM	BOSTON SCIENTI
00.0	2,026 03	27 078,p	87 365,3	01-16-98 09-22-00	ACQUIRED SOLD	00.001	SHARES
					8A9 24	PITAL STOCK,	BOEING CO ' CV
00 0	-1£ 9£0'l	0S <b>595</b> '5	51 825'\$	01-16-98 09-22-00	SOLD SOLD	00 00I	1 Z3RAH2
28% RATE <u>Gain/Loss</u>	COTANTOS	C0ST <u>212A8</u>	SALES PROCEEDS	NATE SOLD√ ACQUIRED		<u> Үтдачоя</u>	DESCRIBLION OF B

ENERGY INC 2748007 21.0 EFF 11/30/00 #REORG CP & L ENERGY INC N/C TO PROGRESS

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDEX EQUITY INDEX 22870 AAB 01249 ACCOUNT NO 26-81381

CATERPILLAR INC., COMMON STOCK, NO PAR

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 05-30-01

30A9 52

00,0	-81.122,2	00.272,8	58.821,2	15-51-68 06-55-00	SOLD ACQUIRED	200 00	SHARES.
						W	CARNIVAL CORP CO
00.0	£0.440.E	27.502.Z	81 956'9	08-18-88 08-25-00	VCONIKED 20FD	00 00 I	2H <b>v</b> ke2:
					A ZLOCK	NCIAL COMMON	CAPITAL ONE FINA
00.0	-85 90 <i>L'Z</i>	62.525,2	16'955'Z	86-91-10 00-22-60	ZOLD ACQUIRED	00.001	SHARES
						СОМ	CAMPBELL SOUP CO
00 0	58.368.1	00 007,5	<b>58 96</b> 5'5	09-22-00 76-82-70	SOLD ACQUIRED	00 00 t	SHARES
							CVS CORP COM STK
00 0	-80 SOT'E	09 682'S	25 p81,5	01-16-98 09-22-00	SOLD SOLD	00.00I	SHARES
					ЯАЧ	N STOCK, ¢1	сех совь , соммо
00 0	-21,204	00.771,2	TB 177,2	01-16-98 09-22-00	ACQUIRED SOLD	100 00	SHARES
28% RATE SAIN/LOSS	©♥IA⁄T088	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUIRED		PERTY	DESCRIBITON OF BRO

NOITAGNUD ANGRUS

07-01-00 THROUGH 06-50-01

AAB 02888 ACCOUNT NO. 26-81381 65210

CIRCO RAR INC COMMON RIOCK

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** EQUITY INDEX

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

95

00'0	-98:859	0S 689'£	59 <b>050</b> '£	SHARES: 100.00 SOLD 09-22-00 Acquired 01-16-98
				CINERGY CORP COMMON STOCK
00 0	-82 28-	00 ኦዬኦ'ኦ	Z9'06L'\$	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				CINCINDATI FINANCIAL CORP., COMMON STOCK, \$2 PAR
00 0	76.00T	51'569'L	27.362.8	SHARES: 100,00 SOLD 09-22-00 ACQUIRED 01-16-98
				CHEAKON COKP , COMMON STOCK
00.0	15 126'2	73.980.7	8T 955'6	SHARES 200 00 SOLD 09-22-00 ACQUIRED 01-16-98
				IVS/01 THEORG CHASE & CO 2427148 B1 EFF IVS/01
00 0	-80 I87,I	<b>258,</b> 2	96 IZO'I	SHARES 100 00 SOLD 10-20-97
				СЕИВРИТ СОКР СОМ 51К
00.0	-29.730,1	00.112,4	82.602,5	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
S8% RATE GAIN/LOSS	<u>CAIN/LOSS</u>	T202 BASIS	PROCEEDS SALES	DATE SOLD A SOLD

EQUITY INDEX NOITAGNUOT ANGRUS

07822 ACCOUNT NO, 26-81381 01546

#1 PAR

COLGATE-PALMOLIVE CO , COMMON STOCK,

07-01-00 THROUGH 06-50-01 55 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00'0	-90 Z50'Z	00.499,5	96 IZ9'I	10-22-60 00-22-00	TOO OO SOFD	SHARES.	
					SIZEZ INC COM	COCA COLA ENTERPI	
00 0	-88 051'9	00 OTS'Z£	Z ( 65£'9Z	01-16-98 09-22-00	VCGNIKED 20FD 20FD	SHARES:	
						COCA COLA CO COM	
00.0	76.64S.8	08 959'I	LL 968'9	76-50-01 00-55-00	100.00 SOLD Acquired	SHARES	
					DRP STK MERGER TO EL 2501024 01.25 EFF		
00 0	-92 56	£9'168'£	78 967, č	01-19-68 00-22-00	VCONIKED IDD 00 SOFD	, SARAHS	
					CLOROX CO , COMMON STOCK, \$1 PAR		
00 0	19 122,2	00 905'9	19 259'11	10-15-00 10-25-00	SUU OU SOFD	SHARES.	
					MUNICATIONS INC COM	CLEAR CHANNEL CO	
00,0	2S 000' <i>LL</i>	9፤ ታዩታ'	89 555,28	26-12-50 00-22-60	1,400.00 SOLD ACQUIRED	SHARES	
Z8% RATE GAIN/LOSS	<u>GRIN/LOSS</u>	TOOD Sisaa	SALES PROCEEDS	DATE SOLDV	PERTY	DESCRIBLION OF PROP	

### The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381

CORNING INC COMMON STOCK

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

95

00 0	-28.489.4	81 152'11	££ 950'S	018ED 04-02-82	00 00S ACQ	SHARES
					COM STK	כסאסכס ואכ כד פ
00 0	- 12.292, 1	202'\$	£p. 606, 1	01-16-98 20LD 09-22-00	100.00 ACQ	SHARES
					AC	CONVER EOODS I
00 0	-92,192,2	ST 020,2	[b.628,2	NIKED 01-19-68 20FD 08-55-00	100 00 ACQ	SHARES
				PF' INC'	ATES INTERNATION 10 PAR	COMMON STOCK, ¢
00 0	2 <b>þ. 18</b>	52 <i>4</i> 28,8	07 216,8	NIKED 01-19-38 20FD 03-55-00	30.00 ACQ	2H <b>y</b> ke2·
				тоск	совь , соммои s	сомраф сомритея
00 0	78 866, I	00 159'1	78 64 <b>9</b> , č	NIKED 01-19-68 20FD 08-55-00	100 00 ACQ	SHARES
					ASS A SPECIAL	COMCAST CORP CL
00 0	95 196	£9:155'£	60 £05'b	OIKED 01-19-38	700 00 ACC	SHARES
28% RATE Gain/Loss	SSOTANIVO	COST BASIS	SALES	DATE SOLD/ ACQUIRED	DPERTY	DESCRIBITON OF PR

EQUITY INDEX SURDNA FOUNDATION

AAA 07822 ACCOUNT NO 26-81381 01548

DEERE # CO , COMMON STOCK, #1 PAR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

**L**S PAGE

00.0	89 £91	05.685,1	81 820'2	KED 01-55-88 OFD 08-55-00	2 00,001 Iuqja	2H <b>PK</b> E2·
					ILS INC COM	DARDEN RESTAURAN
00.0	28 562	52 80b'b	80 208,2	ИЕD 11-11-08 ОГФ 00-55-00	100 00 <b>VC</b> GNI	SHARES
				ЯА	HWON STOCK ¢ 01 P	ОРИРНЕВ СОВР СС
00.0	-b9'8ZL'I	12.658,5	78 0P1,S	KED 01-19-88 OFD 08-55-00	IOO.OO S Acqui	2HARES:
					AA4 14 XOOTE NON	имор (.чяор диад
00'0	788 62	3,483 25	<b>TB 1T3.</b> 8	WED 01-19-38 OFD 03-55-00	S 00 00! Iudja	SHARES
					имои зтоск	DIE ENERGY CO CC
00.0	85.885,I	25 705,S	£9 £65'£	КЕD 01-19-68 ОГD 06-55-00	S 00 001 Iupoa	SHARES
					NEM COM	COSICO MHSE CORE
00.0	55 [89' <i>L</i> Z	27 077,8	02 535,18	КЕD 01-10-68 ОГD 00-55-00	100 00 ACQUI	2HARES:
28% RATE GAIN/LOSS	CAIN/LOSS	T203 <u>212aa</u>	PROCEEDS SALES	DATE SOLD/	DEERIK	DESCRIPTION OF PRO

SURDNA FOUNDATION EQUITY INDEX

07822 ACCOUNT NO. 26-81581 65210

8A9 D2 \$

DOLLAR GENERAL CORP., COMMON STOCK,

01-01-00 LHKONCH 00-20-01
CCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
FEDERAL SCHEDULE OF CAINS AND LOSSES

00 0	28.481,5	19 258'21	65 780,21	01-19-68 06-55-00	doo.oo SOLD Acquired	SHARES	
					•	DIZNEY WALT CO COM	
00 0	-99 912'1	51.468,2	60 8/S'b	86-91-10 00-22-60	VCONIBED 100 00 SOLD	2HARES:	
					63 PAR 65 PAR		
00 0	S0,S6	60.IB8	ÞĬ.898	96-92-11 00-22-60	VCONIKED VJ de ZOFD	SHARES	
00 0	<b>77 3</b> 6	27.582	90 095	71-18-60 06-55-00	VCONIBED VCONIBED 28 20FD	SHARES	
					SAS COKE COM	DELPHI AUTOMOTIVE	
00 0	26 ETI,SI	22 <b>961</b> ,2	SI 896'LI	01-19-08 00-55-00	VCGUIKED 200D 200D	SHARES	
					COMMON STOCK	DELL COMPUTER CORF	
00'0	-95.111,5	00 252'5	<b>59 B51'</b> £	01-19-68 00-55-00	VCONIKED SOFD SOFD	SHARES	
28% RATE GAIN/LOSS	C¥IN <pre>Topic</pre>	COST BASIS	SOCEEDS SALES	DATE SOLD/ ACQUIRED	ERIX	DESCRIBITOR OF PROPE	

# The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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PAGE

### SURDNA FOUNDATION EQUITY INDEX

BAA DZ8SS ACCOUNT NO 26-81581 01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	66 051'Z	87 0SP,8	PL 172,7	SHARES 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				<b>DNKE ENERGY CORP COMMON STK</b>
00.0	92.420,4	7p p16,8	£1 896'L	SHARES 200 00 SOLD 09-22-00 ACQUIRED 04-05-95
				DU PONT E I DE NEMOURS & CO COM STK
00 0	-26 285	25 740,5	[b b8b'Z	SHARES. 100.00 SOLD 01-16-98
				#2 50 PAR
00.0	-58 851'1	2,659 50	99 065'Z	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				DONNELLEY, R R., & SONS CO , COMMON STOCK, #1 25 PAR
00 0	<b>Þ</b> [ 82 <b>þ</b> '[	۲۶ 890' <del>۶</del>	IB 965'S	SHARES. 100 00 SOLD 01-16-98
				DOWINION KES INC AV NEW COM
00 0	- 65 . 552	20 <i>18</i> 8,S	£Þ.p80,5	SHARES 100 00 SOLD 09-22-00 ACQUIRED 07-15-98
28% RATE S20J\NIA2	CVINCEOSS	COST EASIS	PROCEEDS SALES	DATE SOLD/ ESCRIPTION OF PROPERTY ACQUIRED

1.0 EFF 10-02-00 N/C TO MOODYS CORPORATION #2-588464 3 \*KEORG DUN & BRADSTREET CORP DEL COM STK

# The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81381 65210

ЕИКОИ СОКЬ СОМ

09 PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	-96 £L	27.071,8	61.360.3	86-91-10 00-22-60	JOO OO SOFD	SHARES
				τ	' СОММОМ STOCK, ¢	PAR EMERSON ELECTRIC CO
00 0	S8 69Z	05.651,A	<b>ዓ</b> ያ 60ን'ን	08-10-68 08-55-00	700 00 CONIKED 700 00 ZOFD	·SBRAHS
					совь ием сом	ELECTRONIC DATA SYS
00 0	-BO 20 <i>&gt;</i>	27.078,5	۲۶،265,5	01-16-98 09-22-00	TOO OO SOCD	2H <b>v</b> ke2:
						EDIZON INTL COM
00 0	-07 26	08.916.2	08 128'S	86-91-10 00-22-60	100.00 SOLD	ZHVKEZ
					ОММОМ ЗТОСК,	EASTMAN KODAK CO , C \$2.50 PAR
00.0	51,120,72	26.025,2	20 S07,02	86-91-10 00-22-60	S00.00 SOLD ACQUIRED	SHARES.
						ЕМС СОВЬ СОМ СОМ
00.0	234.28	19.519,5	68.371,5	01-16-98 09-22-00	700 00 CCONIRED SOLD	2H <b>y</b> ke2'
28% RATE GAIN/LOSS	<b>CAIN/LOSS</b>	EVZIZ COZI	SGEEDS SVCEEDS	DATE SOLD/ ACQUIRED	π.	DESCRIPTION OF PROPERT

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

### SURDNA FOUNDATION EQUITY INDEX

01249 ACCOUNT NO. 26-81381 SSB70 AAB

**LEDEX CORP COM** 

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
07-01-00 THROUGH 06-30-01

99**4**9

00 0	95 99 <b>5</b> 'ไ	00 621'21	५५ ६५६,६१	86-91-10 00-55-60	ACQUIRED ACQUIRED	2H <b>y</b> ke2: Soo
						ENWA COM STOCK
00.0	85 £15	SZ <b>28</b> 5'9	£8.866,p	01-16-98 09-22-00	VCGUIRED SOLD	SHARES, 100
					оск	FHLMC VOTING COMMON STC
00.0	62 615	00 LL9'S	64 960'9	01-18-68 08-52-60	ACQUIRED SOLD	SHARES 100
					ун этоск	F P L GROUP INC , COMMO
00 0	82.272,71	27 526,52	22.802,03	01-19-68 00-55-00	VCGUIRED SOLD	SHARES 700
						EXXON WOBIF CORP COM
00.0	-60 058	00.762,2	[6.8p2,S	01-16-98 09-22-00	SOLD SOLD ACQUIRED	2HARES. 100
				1	TOCK, \$2.50 PAR	EGUIFAX INC , COMMON ST
00.0	66.802,S1	05 990'9	<b>₽₽.8</b> 8€,81	86-91-10 00-22-60	ACQUIRED SOLD	SHARES. ZOC
S8% RATE S201\NIA2	CAIN/LOSS	COST BASIS	PROCEEDS SALES	DATE SOLD/ ACQUIRED		DESCRIPTION OF PROPERTY

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

EQUITY INDEX

01-01-00 THROUGH 06-30-01

01249 ACCOUNT NO. 26-81381

LEDEKAL SCHEDULE OF GAINS AND LOSSES
EQUITY INDEX

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

TO US BANCORP DEL 2952468 EFF 2/27/01 \*KEOKG FIRSTAR CORP NEW WIS STK MERGER ACQUIRED 10-12-95 890 Y9 00 841'5 67 830,3 20CD 09-22-00 200.00 SHARES DD D MACHOVIA CORP 2893758 EFF 7/27/01 #KEOKC LIKZI NNION NAME CHANCE 10 ACQUIRED 01-22-98 00 0 17.871,1 99 656 2,125 37 20rD 00-55-00 00 SL SHARES CLOBAL CROSSING LTD COM ACQUIRED 01-16-98 11.626.11 2,627 00 II \$50'b 20FD 00-55-00 100 00 **SHARES**; 00 0 FIRST DATA CORP COMMON STOCK ACQUIRED 01-16-98 91 LE9'I 99.909.8 82.548,4 20FD 08-55-00 00 00I SHARES 00 0 46 66 PAR FIETH THIRD BANCORP, COMMON STOCK, VCONIKED 01-16-98 00-22-60 SOLD 00 0BT SHARES 00.0 98 054 3,301.00 98 TSO'5 ACQUIRED SISVE DESCRIPTION OF PROPERTY SSOT/NIVS CAINZLOSS **PROCEEDS** COST SALES DATE SOLD/ **SBX RATE** 

58 815'5

-02 129

00 0

Z9

PACE

ST 060'S

FLEETBOSTON FINL CORP COM STOCK

SHARES:

00.00S

VCGUIRED 03-13-98

20FD 08-55-00

# The Northern Trust Company 50 South LaSaile Street, Chicago, Illimois 60675 (312) 630-6000

EQUITY INDEX NOITAGNUOT ANGRUS

8AA 07822 VCCCOUNT NO 26-81581 67210

GENERAL DYNAMICS CORP. COMMON STOCK, \$1

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

62

PAGE

a a c	9S 6LT'T	87 (25, 2	<b>95 [59</b> , p	01-16-98 09-22-00	ACQUIRED Sold	S00 00	GAP INC COM
00 0	- 19 Zb8	05 510'5	68 ILI'S	01-16-98 09-22-00	VCONIKED 20FD	700 00 1.ОСК	CPU INC COMMON S
00 0	-20,052	00 /Zb'[	L6 968	86-91-10 00-22-60	COM STK	100 00 C # C CF B	FREEPORT MCMORAN SHARES:
00 0	- 98 272,1	27.267,8	16.159,5	86-91-10 00-22-60	SOLD SOLD	100 00 100 00	FORTUNE BRANDS II
00.0	99'85\$	11.991,S	£4.821,8	10-22-00 10-22-00	VCGUIRED SOLD	SS 61	SHARES
00 0	95 <b>2</b> 28	9% 196'S	02 581,4	09-22-00	VCONIKED SOLD	89,021	SHARES
S8% RATE SAIN/LOSS	<u>evinkloss</u>	7200 212AA	SALES PROCEEDS	DATE SOLDV ACQUIRED		PERTY	DESCRIPTION OF PRO

# The Northern Trust Company 50 south Lasalle Street, Chicago, Illimois 60675 (312) 630-6000

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> EQUITY INDEX SURDNA FOUNDATION

01-01-00 THROUGH 06-50-01

8AA 04888 ACCOUNT NO 26-81381 65210

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

				STOCK, \$1 PAR STOCK, \$1 PAR
00 0	-22.280,2	29 911'5	62 950,5	SHARES. 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				GILLETTE CO., COMMON STOCK, \$1 PAR
00 0	-12 S64,1	52 282'2	89 068'I	SHARES 100.00 SOLD 09-22-00
				GENDINE PARTS CO COM
00.0	88.715,5	68 209'5	<i>LL</i> [28'9	SHARES; 100.00 SOLD 09-22-00 ACQUIRED 11-26-96
				GENERAL MOTORS CORP. COMMON STOCK,
00.0	-29'961	25 723,2	£9 055'£	SHARES, 100.00 SOLD 01-16-98
				GENERAL MILLS, INC., COMMON STOCK, \$ 75
00 0	98 765,2à	55.535,62	71.1 <b>52,</b> 211	SHARES: 2,000 00 SOLD 01-16-98
				CENERAL ELEC CO COM
00 0	£0 201'Z	00 975, <i>p</i>	£0 875,à	PCGNIKED 01-19-38 2HPKE2: 100 00 20FD 03-55-00
S8% RATE	E <b>∀</b> IH\rozz	COST	SOCEEDS SALES	DATE SOLDV  DESCRIPTION OF PROPERTY  ACQUIRED

# The Northern Trust Company 50 South Lasaile Street, Chicago, Illimois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

BAA OVBSS ACCOUNT NO 26-81381 65210

HASBRO INC , COMMON STOCK, \$.50 PAR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

9 PAGE

S8X RATE S201\NIA3	SECT_NIES	T202 SISA8	<b>SECCEDS</b> 28 FES	DATE SOLD\ ACQUIRED	DEERIK	DESCRIETION OF PR
00 0	<b>27.018,1</b>	2,936.08	58 <b>9</b> 52'5	CONIKED 01-10-88 2010 08-55-00	00.00 <i>f</i>	SHARES
				K.	DEL NEW COM ST	CEACE W R & CO I
00.0	-61 691	9L 995'l	16 969	CGNIKED 10-58-97 20LD 09-22-00	7 00 00 T	SHARES
					MASTK NO PAR	GUIDANT CORP COI
00.0	77.078,2	00 I06'Z	LL. 111, 2	CONTRED 01-16-98	00.001	SHARES
						HCA INC COM
00.0	ddl 26	86 255.2	∠8 969'S	CGUIRED 07-30-97	00,001 A	SHARES
					МОС	HALLIBURTON CO
00.0	420.25	P8.575,P	60 201'5	СФЛІКЕВ 01-10-88 20ГВ 08-55-00	7 00 00 T	SHARES
					INC COW SIK	THTHE S'HARRAH
00 0	0 <i>5 1</i> 59	05 680'Z	06 9 <i>5</i> L'Z	CONIKED 01-16-98	00.00I	2H <b>V</b> KE2:

SO South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

BAA 02855 ACCOUNT NO. 26-81581 65210

HONEYWELL INTL INC COM STK

EQUITY INDEX

07-01-00 THROUGH 06-50-01 99 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	21 651,55	01 899'5	28 728,72	SHARES: 500.00 SOLD 09-22-00 ACQUIRED 02-19-97
				HOME DEPOT INC , COMMON STOCK,
00.0	-16.200,1	£5 590'Z	95.650,1	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				HILTON HOTELS CORP., COMMON STOCK, 42 50
00.0	<b>55 669'0</b> 1	10,051.26	08 021,05	PRES SOO OO SOFD 03-16-98
				HEWLETT-PACKARD CO , COMMON STOCK, #1 Par
00.0	-29.718,1	00 205'5	<i>ኒ</i> ፍ <b>ታ</b> 89'ፍ	SHARES. 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				HEINZ H 7 CO COMMON STOCK
00 0	-07,582	27 751,1	55,242	SHARES: 50.00 SOLD 09-22-00 ACQUIRED 01-22-98
00.0	- 51 , 372	05 121'1	9ዩ 5৮5	SHARES 50.00 SOLD 09-22-00 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	SSOT/NI/S	COST BASIS	SOCEEDS SVEEDS	DESCRIBLION OF PROPERTY ACQUIRED DATE SOLD

# The Northern Trust Company 50 south Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX NOITAGNUOR ANGRUS

0 7 8 S S ACCOUNT NO. 26-81581 65210

INCERSOLL-RAND CO COMMON STOCK \$2 PAR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

۷9 PAGE

00 0	<b>55 701</b>	51 565'T	61 E07,1	01-16-98 09-22-00	OO OO SOFD	2H <b>¥</b> KE2∙ J
					AAG ON	ІИСО ГІВ СОННОИ ЗІОСК
00.0	28.21	92.978,1	89'068'T	86-91-10 00-22-60	00.00 SOLD ACQUIRED	SHARES. I
					K	IMS HEALTH INC COM ST
00 0	-89 ĭ	02.8	ZS 9	01-55-68 00-55-00	YCONIKED I OO ZOFD	SHARES
					КОЭ	HUTTIG BLDG PRODS INC
00 0	65'9I	77.21	22 26	01-19-68 00-55-00	0.64 SOLD ACQUIRED	SHARES
00.0	95 776	0Z 981'b	99 891'5	86-91-10 00-22-60	99.36 SOLD ACQUIRED	SHARES:
					AL INC , COMMON	HOUSEHOLD INTERNATION STOCK, \$1 PAR
00 0	- 59.59	27,078,8	21 829'£	01-19-68 08-55-00	VCGNIKED 00 00 ZOFD	SHARES J
ZBX RATE GAIN/LOSS	<u>GRINZLOSS</u>	C0ST <b>BASIS</b>	SACEEDS SALES	DATE SOLD/		DESCRIBLION OF PROPERTY

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDEX
EQUITY INDEX

01249 ACCOUNT NO. 26-81381 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

89

PAGE

00.0	- 19'5	8	72 TI	SHARES: 0.60 SOLD 09-22-00 ACQUIRED 01-16-98
aa a	-20.872,£	19 88Z'b	85.016,5	SHARES: 99 90 SOLD 09-22-00 RC-01-10 GSUIRED 01-16-98
				INTERNATIONAL PAPER CO. COMMON Stock &1 Par
00,0	-82 715,5	27.072, <i>p</i>	71 252,S	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				INTERNATIONAL FLAVORS & FRAGRANCES INC COMMON STOCK # 12 1/2 PAR
00 0	P&. 102,82	00 616'DZ	b\$`985'6b	SHARES: 400 00 SOLD 09-22-00
				INTERNATIONAL BUSINESS MACHS CORP COM
00 0	20 602,15	82.258,12	12.541,54	SHARES: 900.00 SOLD 09-09-07
00.0	02 65L'5T	27.81S, 9	56 786,85	SHARES: 500 00 SOLD 09-22-00 Te-97
				INTEL CORP CAPITAL STOCK #.001 PAR
00 0	-78 118	52 226'2	3,421,88	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
S8% RATE S201\NIA2	<b>GAIN/LOSS</b>	COST	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED
				•

45 ED PAR JOHNSON CONTROLS INC COMMON STOCK

# The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

BAA 0 7 B 2 2 ACCOUNT NO 26-81381 65210

AA9 8+

KIMBERLY-CLARK CORP COMMON STOCK

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#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	-58 726	27.265,8	Z6 9b£'Z	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				KEYCORP NEW COMMON STOCK
00 0	-08 06b'Z	95.806,2	99 SIb'Z	SHARES: 100 00 SOLD 01-16-98
				KELLOGG CO. COMMON STOCK 4.50 PAR
00 0	91,85	00.152,5	71 255'2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				#2-447812 #Seorg Kaufman & Broad See Security #Reorg Kaufman & Broad Home Corp Com U/C
00.0	-50.025	00 201'1	86 172	SHARES 100 00 SOLD 01-16-98
				K MART CORP COMMON STOCK #1 PAR
00 0	6Z 055'8	27.622,05	po 067,85	SHARES: 300.00 SOLD 09-22-00 ACQUIRED 01-16-98
				JOHNSON & JOHNSON COMMON STOCK & PPR
00.0	<b>58</b> 6 I	05 592'5	<b>55 587,</b> 2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
S8% RATE GAIN/LOSS	<u>evin</u> toss	T200 ZIZAB	SALES	DESCRIPTION OF PROPERTY ACQUIRED

# The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

### EQUITY INDEX NOITAGNUOT ANGRUS

8AA 0**28**SS ACCOUNT NO 26-81381 65210

FIMILED INC ' COMMON SIK' NO PAR

01-01-00 THROUGH 06-50-01 0 4 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	56 918'Z	05 915'£1	99 <b>262</b> '91	01-16-98 09-22-00	VCGNIKED S00 00 20FD	SHARES
					•	EFI FIFFA & CO COL
00 0	20 £02'11	05.816,5	25.121,91	96-90-21 00-22-60	100.00 SOLD	SHARES:
					MCS THE COM	LEHMAN BROTHERS HE
00.0	21 252'2	57.260,1	88 952.5	01-16-98 09-22-00	JOO OO ZOCD	SHARES.
					ОММОМ ЗТОСК	rei roeic cosh ' c
00.0	26 273,2	ZI 6/2,S	90 £50′ <del>9</del>	08-57-88	100,00 SOLD ACQUIRED	2HARES.
					<b>ЗТОСК</b>	КОНГЕ СОКЬ СОММОМ
00'0	86 522	61 268'1	71 822 <b>,</b> 2	01-25-98 09-25-00	VCONIKED 100 00 ZOFD	2H <b>P</b> KE2
00 0	89 515	05 £18'1	81 822,2	01-16-98 09-22-00	VCONIBED 700 00 ZOFD	SHARES
					STOCK, #1 PAR	ккоеек со ' соммом
00 0	<b>585</b> 21	51 841,8	90.854.2	01-16-98 09-22-00	100.00 SOLD ACQUIRED	SHARES
28% RATE <u>Grin/Loss</u>	CVINCEOSS	7202 <u>8128</u>	SALES PROCEEDS	VATE SOLDV	XIX	DESCRIBITON OF PROPE

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> 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81581

#5-818269 9 82840 EEE 10-11-00 #EEGEE 10 11CO INTERNATIONAL LTD SEC #EEGEE WALLINCKRODT 1NC NEW COM STK

FEDERAL SCHEDULE OF CAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

00.0	56.087,I	19 656'T	29.0 <i>P</i> 7.8	01-19-68 00-55-00	100,00 SC Acquir	SHARES.
					STOCK	МВИА СОКР СОММОИ
00 0	00 I£0'6	00.215,21	00 72,55	16D 01-16-98 01D 09-22-00	YOO OO SCOOLE Yoo oo sc	SHARES:
00 0	\$8.2 <i>4</i> 7,5	00 925'Z	88 170,2	SED 01-16-98 OLD 09-22-00	IINDOA	SHARES
				7К	HWON STOCK # 50 PA	FOMES COS INC CO
00 0	-87 866	27.078,1	76 I7B	SED 01-19-88 OFD 08-55-00	100,00 SC ACQUIN	SHARES;
				эск '	сокь соммои атс	#1 PAR
00 0	-£p p06'[	Z8 826'b	6ድ ቅድዐ'ድ	SED 11-02-61 OFD 08-55-00	JOD 00 PCONIE	SHARES
					совь сом	LOCKHEED MARTIN
00 0	1,225 99	1,133 43	Z> 65£'Z	SED 01-55-88	100.00 SC ACQUIN	SHARES
28% RATE GAIN/LOSS	CAIN/LOSS	COST	SALES PROCEEDS	DATE SOLD/ ACQUIRED	БЕВТХ	DESCRIPTION OF PRO

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EDNILL INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81581 015¢6

MEAD CORP COMMON STOCK, NO PAR

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

ZZ

39A9

00 0	82.952,1	29 BII'L	12 259'8	01-16-98 09-22-00	VCONIKED 200 00 20FD	SHARES:
					COMMON STOCK, NO PAR	MC DONALDS CORP
00 0	-85.023,S	SS 698'9	58 8IZ.A	01-16-98 09-22-00	VCGNIKED S00 00 20FD	SHARES
					овег со ' соннои	MAY DEPARTMENT ST STOCK, \$ 50 PAR
00 0	-95 268'Z	27.229, <i>2</i>	12 250'1	86-91-10 00-22-60	TOO OO SOFD	SHARES
					оск	MATTEL INC COM ST #1 00 PAR
00 0	722 06	90 Sbl'S	S1 878,8	01-55-68 06-55-00	TOO OO ZOFD	SHARES
					NEM COM 21K CF &	MARRIOTT INTL INC
00'0	-90 058'1	05 ÞIÞ' <b>S</b>	<b>585</b> 'I	01-22-60	VCONIKED 100 00 SOFD	SHARES.
					M COM SLOCK	MANOR CARE INC NE
00.0	58 696	05 685'\$	<b>ታ</b> ዩ ' 605 ' ታ	01-16-98 09-22-00	JOD'OO SOFD	SHARES
S8% RATE GAIN/LOSS	<u>ē</u> ¥IN\ro22	C021	PROCEEDS	DATE SOLD/ ACQUIRED	EKIX	DESCRIBLION OF PROP

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

**EQUITY INDEX** SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 67210

**3A9 0[** 

MICRON TECHNOLOGY, INC., COMMON STOCK,

PAGE 27 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

28% RATE GAIN/LOSS	SS07/NI¥9	003 212Ag	SALES	ESCRIPTION OF PROPERTY ACQUIRED
00.0	-22 199	0S <b>596</b> 'Z	Z'202 IJ	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				#.10 PAR #.10 PAR
00 0	5Z 959' <i>L</i>	81.1SS,7	00 8/8'b[	SHARES 500 00 SOLD 09-22-00 ACQUIRED 01-16-98
00 0	01 255'1	ST 610,8	58.172,2	SHARES: 100,00 SOLD 09-22-00 ACLION FINL CORP COM ACQUIRED 01-16-98
				MERCK & CO INC , COMMON STOCK, ¢ 02 7/9
00 0	25 120'61	00 955'LT	£2 112,8£	SHARES: 500.00 50-22-00 ACQUIRED 09-30-96
				STOCK, \$1 33 1/3 PAR STOCK, \$1 33 1/3 PAR
00.0	06 866'Z	59.195,5	<b>ያ</b> ያ ዐታ <mark>ዩ</mark> 'ዓ	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				MICROSOFT CORP COM
00 0	52,311.48	SP 561,71	26.202,63	SHAKES: 1,100 00 SOLD 09-22-00

# The Northern Trust Company 50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

8AA 0 L B S S ACCOUNT NO 26-81381 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

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PAGE

00.0	<del>9</del> 5 919'9	11.212,6	17,068,21	PCG01KED 01-16-98 SHARES: 500 00 SOLD 09-22-00
				MOTOROLA INC , COMMON STOCK, \$5 PAR
0 D D	<i>11</i> 206,2	50 787,01	61 059'91	SHARES. 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				JS/21/00 ID MORGAN CHASE & CO 2427148 33 7 EFF #REORG MORGAN JP& CO 1NC STK MERGER TO
00.0	17.727,81	हा १६५'8	P8 821,75	SHARES 300 00 SOLD 09-22-00 ACQUIRED 01-16-98
				ISIN #6174464486
00.0	0Z <i>1</i> 59	05.932,8	07.128.8	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				MINNESOTA MINING AND MANUFACTURING CO, COMMON STOCK, NO PAR
00 0	05 089,8	SI 672, I	Z\$ 651'5	SHARES 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	SS077NT9	COST SISA4	EKOCEEDS 2 <b>V</b> FES	DESCRIPTION OF PROPERTY ACQUIRED

CASH MERGER 3 30 0 EFF 12-12-00 #REORG NABISCO GROUP HLDG CORP COM STK

# The Northern Trust Company 50 south Lasalle street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

0 L 8 S S ACCOUNT NO 26-81381 01546

MEXTEL COMMUNICATIONS INC CL A COM STK

S٤	10-02-90 HAROUGH 06-30-01
PAGE	ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
	LEDEKAT SCHEDNTE OF GAINS AND LOSSES

00 0	-51 118'1	78.8p1,p	26.172,5	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				NEMELL RUBBERMAID INC COM
00.0	P0 828'ZI	96 868'I	00 727,21	SHARES 100 00 SOLD 09-22-00 ACQUIRED 09-22-00
				NETWORK APPLIANCE INC COMMON STOCK
00 0	62.072,1	84.855,5	78.363.E	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 04-16-97
				CITICROUP 2000136 0 7334 EFF 11/30/00
00 0	T9 £99'I	52 285'Z	98 951'5	SHARES 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				NATIONAL SEMICONDUCTOR CORP , COMMON STOCK, \$ 50 PAR
00'0	- 25 265'[	88 856'5	58 955'5	SHARES 200 00 SOLD 09-22-98
				MATIONAL CITY CORP COM
00.0	££ 090'[	Z\$ 087,£	59 058'Z	SHARES: 100.00 SOLD 09-15-98
28% RATE GAIN/LOSS	SS07/NIV5	COST BASIS	SALES PROCEEDS	DATE SOLD/ DESCRIPTION OF PROPERTY  DATE SOLD/ DESCRIPTION OF PROPERTY

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

BY8SS ACCOUNT NO. 26-81381 65210

MOAETE INC COM

9 L PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00 0	16 807,22	54 962'9	99'501'05	86-91-10 00-22-60	ZOLD SOLD	00'009	SH <b>y</b> kez.
					М	KKZ COKŁ NEM CO	NORTEL NETWO
00.0	-15 115'1	05 650'5	61 825'1	01-16-98 09-22-00	VCGNIKED 20FD	700 00	SHARES
						ни совь сом	NOKEOFK RONI
00 0	-bī SSī	5Z.801, <i>p</i>	11 256'2	86-91-10 00-22-60	VCONIKED 20FD	00 001	SHARES
					тоск,	CASS B COMMON S	NIKE INC ' C
00 0	-78 823	SZ 801'5	82 585'2	01-19-68 00-25-60	VCONIKED SOFD	00 00T	SHARES
					8 <b>A</b> 9 2\$	соммои зтоск,	NICOR, INC.
00 0	69 <b>£</b> 95	51 560'T	bb <b>699'</b> [	86-91-10 00-22-60	VCONIBED 20FD	00.001	SHARES
					#NISI W	MK HFDEZ INC CO	NIAGARA MOHA
0 D D	89 522'9	08.585,8	8I <b>/8</b> 5'6	09-21-98	VCONIKED 20FD	200 00	SHARES
S8% RATE GAIN/LOSS	CALNZLOSS	COST SISAS	PROCEEDS SALES	DATE SOLD/ ACQUIRED		YTABGORE	DESCRIPTION OF

# The Northern Trust Company 50 south Lassile Street, Chicago, Illinois 60675 (312) 630-6000

EGNITY INDEX SURDNA FOUNDATION

0 L 8 S S ACCOUNT NO. 26-81581 65210

PHC FINANCIAL SERVICES GROUP COM STK

PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	- 48 . 27 2	21 010,5	T6 965'Z	01-19-88 08-55-00	SOLD ACQUIRED	100 00	2H <b>v</b> ke2.
						ОИ 210СК	PG# E CORP COMM
<b>a</b> a a	8ና 565'55	05'916'£	88.012,82	01-16-98 09-22-00	SOLD ACQUIRED	00 009	2HARES 1
						ION COM	ORACLE CORPORAT
00 0	65 BEL'E	52 856,8	bL 969'L	01-16-98 09-22-00	VCONIKED SOLD	00 00T	SHARES
					STOCK \$ 50	ис , соммои з	омитсом скоир т Вад
00 0	- 20 S8 p , [	00 952,5	<b>26 122</b>	66-\$2-90 00-22-60	SOLD SOLD	J 0 0 0 0	2H <b>V</b> RE2.
					ЭК	ота моммоэ э	OFFICE DEPOT IN
00 0	292 22-	05 029'Z	71 822,2	86-91-10 00-22-60	SOLD ACQUIRED	00.001	SHARES:
						кор чяор	OCCIDENTAL PETE
00 0	58 61Z	02.687	<b>ታዩ 6</b> 96	01-16-98 09-22-00	ZOLD ACQUIRED	700 00	SHARES
Z8X RATE	CAIN/LOSS	COST BASIS	SALES PROCEEDS	VDATE SOLD\ ACQUIRED		OPERIY	DESCRIPTION OF PR

# The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

### EGUITY INDEX SURDNA FOUNDATION

ACCOUNT NO 26-81581

07822

01546

30**4**9 87 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	-01.002	00'052'\$	06.627,5		ACQUIRED SOLD	100 00	SHARES:
						INC' COM	PEOPLESOFT,
00 0	71.802,S	L9 162'Z	<b>58 669'</b> 5		VCONIKED 20FD	100 00 1 COWWON 210CK	PAYCHEX INC
00 0	-S6 SSZ,I	88 Z7Z, S	96.691,1	09-22-00	ACQUIRED SOLD	100 00 ЕСНИОГОЕЛ СОВЬ	SHARES
				86-91-10	ACQUIRED		
00 0	-2 <b>9</b>	SZ 851'Z	89 066'ī	09-22-00	SOLD Se PAR	TOO OO TOO OO	PALL CORP CO
00.0	01 919'\$	16 pag.t	70.181,2		SOLD SOLD	00 001	SHARES
						STK ISIN# US69	PALM INC COM
00 0	08.22	00,752,8	08 178,2		SOLD	100 00	SHARES:
28% RATE CAIN/LOSS	SSO7/NIV9	7802 212 <b>44</b>	PROCEEDS SALES	DATE SOLD/ ACQUIRED		PROPERTY	DESCRIPTION OF

**BEBZICO INC COM** 

50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

07-01-00 THROUGH 06-50-01

BAA OZBSS ACCOUNT NO 26-81381 01546

PITHEY BOWES INC , COMMON STOCK, \$2 PAR

EGNILA INDEX

6 L PAGE

00 0	1,234 35-	00 05 <b>5</b> '\$	L9 SIZ'Z	SHARES 100 00 SOLD 09-22-00 ACQUIRED 08-27-98	
				GIONS FINANCIAL CORP COM	RE
00.0	6Z.8Z9,1	05.562,2	61 951'9	SHARES, 100.00 09-22-00 ACQUIRED 07-02-97	
				ILLIPS PETROLEUM CO COMMON STOCK, 25 PAR	
00.0	-6Z'5091L	26·999'8ī	11,062,63	SHARES 400.00 SOLD 09-22-00 ACQUIRED 06-16-97	
				IFIL WORRIS COS INC COM	ld.
00 0	ZZ 612,SZ	00 807,02	52 785,28	SHARES 1,200.00 SOLD 09-22-00 ACQUIRED 01-16-98	
				IZER INC , COMMON STOCK, # 11 1/9 PAR	19
00.0	91'892'9	15,418 50	99 129'21	SHARES 300.00 SOLD 09-22-00 ACQUIRED 01-16-98	
				MOD GORP COM	ld
00 0	61 SIE.2	25 <b>780,</b> 11	40.204,21	SHARES 300.00 SOLD 09-22-00 ACQUIRED 01-16-98	
28% RATE GAIN/LOSS	e¥In√ro22	T203 212A4	SWOCEEDS SWIES	BIPTION OF PROPERTY DATE SOLD/ RIPTION OF PROPERTY	<u>DE2C</u>

# The Northern Trust Company 50 South Lessile street, Chicago, Illinois 60675 (312) 630-6000

EGULTY INDEX SURDNA FOUNDATION

AAA 02888 VCCONNI NO SC-81281 01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

08

PAGE

00.0	11 946	51 <b>5</b> 51'\$	98 [2['5	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				COMMON STOCK
00 0	.26.520,5	0S 162'ST	72,887,51	SHARES 200 00 SOLD 09-22-00 ACQUIRED 01-16-98
				PROCTER & GAMBLE CO., COMMON STOCK, NO
00.0	-88'\$79	4,333,25	75 639,£	SHARES 100 00 SOLD 09-22-00
				PREXEIR INC COMMON STOCK
00 0	-19 252'1	52 80£'b	99 590' <b>£</b>	24ARES: 100 00 SOLD 01-16-98
				POTLATCH CORP COMMON STOCK, #1 PAR
00 0	-95 198	1,285.25	TZ 516	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				PLACER DOME INC , COMMON STOCK
00 0	-00.107	Z9 915'b	29.218.2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
S8% RATE GAIN/LOSS	SSOT/NIVE	COST EASIS	SALES	DATE SOLD DATE SOLD

**GUALCOMM INC COM** 

# The Vorthern Trust Company 50 south Lasalle street, Chicago, Illinous 60675 (312) 630-6000

**EDNILL INDEX** NOITAGNUOT ANGRUS

07-01-00 THROUGH 06-50-01

BAA 82838 ACCOUNT NO. 26-81381 65210

SBC COMMUNICATIONS INC COM

## LEDEKAL SCHEDULE OF GAINS AND LOSSES

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

18

**PAGE** 

00 0	86 8515	05 210,85	89,159,08		POD DO PCONIBEE	SH <b>Y</b> KES:
				н	ROLEUM N Y REGISTRY S	ROYAL DUTCH PET
00 0	28 14-	<b>287,</b> 2	06 9 <i>5L</i> 'Z		JOO.OO SOFE ACQUIREE	2H <b>y</b> ke2:
					, соммом зтоск,	ROHM & HAAS CO
00.0	1,369.86	27 072,S	19 056'5		JOO.OO VCONIKEL	ZH¥KEZ
					INC COM	RELIANT ENERGY
00.0	154 25	55 911'2	78.0PS,S		YCONIKED IOD OO ZOCE	SHARES
					PURINA GROUP	RALSTON-RALSTON
00 0	8,206 33	Z0 900'II	98 S1S,91		CONIKEI VOO OO PCONIKEI	SHARES
					TIONS INTL INC COM	DMEST COMMUNICA
00.0	2,384 13	29 S16'\$	27 <b>6</b> 65,7		VCÓRIKEI 100 00	SHARES
28% RATE CAINLOSS	CAIN/LOSS	T202 Sisaa	SALES SALES	DATE SOLD/ ACQUIRED	DEERIK	DEZCKIBLION OF BK

# The Northern Trust Company 50 south Lassile street, Chicago, Illinois 60675 (312) 630-6000

### EQUITY INDEX SURDNA FOUNDATION

OYBSS ACCOUNT NO. 26-81581 61218

SCHLUMBERGER LTD COM ISIN ANSO68571086

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

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00.0	26 I/O'E	88 662.01	08 172,81	86-91-10 00-22-60	200 00 CONIKED	SHARES
				Ţ	Р. соннои STOCK, Ф	БРК ВСНЕКІМС-РІОИСН СОК
00 0	-91 556,1	00 776,2	98 £50'5	86-91-10 00-22-60	SOO OO SOFD	SHARES:
					INON STOCK	SARA LEE CORP , COM
00 0	£8 pp6'[	55.801.8	80.520.2	86-91-10 00-22-60	TOO OO SOLD	SHARES
					1	ZI TODE WED INC COM
00'0	-01 >61	PS.791.8	\$1.000	96-51-20 00-22-60	VCONIKED 200D ZOFD	SHARES
						SABRE HLDGS CORP
00.0	258 18-	00 005'5	28 I/I,2	11-15-88 00-55-00	100.00 SOLD ACQUIRED	SH <b>P</b> KE2:
					SLOCK NEM	SAFEWAY INC COMMON
00.0	£1.881,21	17,502 25	82,992,38	96-61-80 00-22-60	YOU OO SOFD	SHARES.
S8% RATE GAIN/LOSS	<u>GAIN/LOSS</u>	T202 SISAB	SALES PROCEEDS	DATE SOLD/	λI	DESCRIBLION OF PROPER

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

01822 ACCOUNT NO 26-81581 65210

SEMPRA ENERGY INC COM STK

EGULTY INDEX

82 07-01-00 THROUGH 06-50-01 **PAGE** ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-18 857	<b>55 661'5</b>	£9`055'£	SHARES 100 00 SOLD 10-29-97
				\$ 75 PAR
00 0	12 221,5	27 0S2,&	90 £95'S	SHARES; 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				ELEIS\08\00 AIAENDI ZLONZ ADK #S-83SI42 9 80 #KEOKG ZEFGKFW CO FID DELFNFI WEKGEK 10
00.0	SS 910'S	00 TS8	55.238,2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				SCIENTIFIC-ATLANTA, INC , COMMON STOCK, \$ 50 PAR
				VCGUIRED 01-16-98
00.0	17 831,2	95 L19'1	72 187, <b>3</b>	SHARES 200.00 SOLD 09-22-00
				SCHMAB CHARLES CORP COMMON STOCK NEW
				ACQUIRED 01-16-98
00 0	72.576	10.829,3	BP 216,7	SHARES, 100 00 SOLD 09-22-00
28% RATE CAIN/LOSS	SSOTZNIVO	SISVE CO21	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

# The Northern Trust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

**EGNILA INDEX** SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81581 01546

SPRINT CORP COM

01-01-00 THROUGH 06-50-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

58

S8% RATE GAIN/LOSS	€¥IN\F022	T203 212A4	SALES	DESCRIPTION OF PROPERTY ACQUIRED
00.0	-55.885	25.472,S	89 068'T	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-22-00
				SHERWIN-WILLIAMS CO , COMMON STOCK,
00 0	-10 089	St Dff,S	89 060'7	SHARES 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
				SIGMA-ALDRICH, CORP., COMMON STOCK, #1
00 0	-9 <b>£ 600'</b> ľ	00 000'5	59 066'Z	SHARES. 100 00 SOLD 09-22-00 ACQUIRED 01-22-98
				<b>ЗОГЕСТКОИ СОКЬ СОММОИ ЗТОСК</b>
00 0	00 Ib£'Z	65.255.5	65 595'5	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-12-99
				SOUTHERN CO , COMMON STOCK, &S PAR
00.0	<b>51 199</b>	05 915'2	<b>59 590'S</b>	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				SOUTHWEST AIRLINES CO , COMMON STOCK, \$1
00.0	<b>59</b> '56['[	87.921,1	Z> 22¢ d2	SHARES· 100 00 SOLD 01-16-98

# The Vorthern Trust Company 50 South LaSalle Street, Chreago, Illimots 60675 (312) 630-6000

### EDNITY INDEX SURDNA FOUNDATION

8AA 0.2888 ACCOUNT NO 26-81381 67210

SYSCO CORP , COMMON STOCK, \$1 PAR

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

58

₽AGE

00.0	-0£ 806'T	85 958'9	80 826'5	01-22-68 00-22-00	100 00 SOLD ACQUIRED	SHARES
					INC , COMMON STOCK	SUN TRUST BANKS,
00.0	10 750,5 <b>5</b>	18 175,5	<b>58,89</b> 5,25	01-16-98 09-22-00	200 00 SOLD ACQUIRED	SHARES
					INC , COMMON STOCK,	# 00067 PAR SUM MICROSYSTEMS
00.0	<b>በ</b> ዓ	00.752,2	09 148'11	01-19-68 06-55-00	100 00 SOLD ACQUIRED	SHARES:
					н	STATE STR CORP CO
00.0	-\$8 \$21'Z	4 <sup>1</sup> 432 SE	Z> 60£'Z	86-91-10 00-22-60	FCGUIRED ACQUIRED	SHARES
						STANLEY WKS COM
00.0	£9 969'b	81 768	18 865'5	00-22-60 01-02-00	S00.00 SOLD ACQUIRED	SHARES
					OM REK I	<b>ЗРКІИТ СОКР РСЅ С</b>
00 0	96 565	56 <b>5</b> 28'I	16 125'2	96-20-40 00-22-60	JOO OO SOLD ACQUIRED	SHARES
S8% RATE GAIN/LOSS	SS01/NIV5	T203 <u>212<b>A</b>9</u>	SALES	DATE SOLD/	EBIX	DESCRIPTION OF PROP

# The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

ACCOUNT NO 26-81381 01249 SS870 AAB

TEKTRONIX, INC COMMON STOCK, NO PAR

#### SURDNA FOUNDATION **EQUITY INDEX**

#### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

PAGE 86

DESCRIPTION OF PRO	PERTY		DATE SOLD/ ACQUIRED	SALES <u>Proceeds</u>	COST Basis	GAIN/LOSS	28% RATE <u>Gain/Loss</u>
SHARES	100 00	SOLD ACQUIRED	09-22-00 01-16-98	4,559 34	2,269.75	2,289 59	0.00
SYNOVUS FINL COR	P COMMON ST	OCK					
SHARES.	100 00	SOLD ACQUIRED	09-22-08 01-16-98	2,046.93	2,105 50	58 57-	0.00
TJX COS INC COMM	ION NEW						
SHARES	100.00	SOLD ACQUIRED	09-22-00 01-16-98	2,209.42	1,779 13	430 29	0 00
TRW INC COM							
SHARES.	100.00	SOLD ACQUIRED	09-22-00 01-16-98	4,265 60	5,242.63	977 03-	0.00
TARGET CORP COM							
SHARES	200 00	SOLD ACQUIRED	09-22-00 01-16-98	5,281.32	3,522 87	1,758 45	0.00
TELEDYNE TECHNOL	OGIES INC	OM					
SHARES	1.00	SOLD ACQUIRED	09-22-00 01-22-98	25 52	14.36	11.16	0 00

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

OVBSS AAA ACCOUNT NO 26-81381 65210

TIME WARNER INC 2157776 31 5 EFF 1/11/01 \*REORG TIME WARNER STK MERGER TO AOL

EQUITY INDEX

07-01-00 THROUGH 06-10-01 78 **DVCE** ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF CAINS AND LOSSES** 

00 0	-S0 ÞS0'Z	PI 707,8	60.823,2	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 07-17-97
				TEXTRON, INC , COMMON STOCK, * 25 PAR
00 0	61 568'81	52.29 <i>2</i> ,2	99'06L'9I	TEXAS INC COM SHARES· 500 00 SOLD 09-22-00 ACQUIRED 01-16-98
00 0	£7 247	58 555'5	85.060,2	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 05-20-96
				TEXACO, INC COMMON STOCK, \$6 25 PAR
00 0	५८'५१६	PI.581,5	88 965'\$	SHARES: 100 00 SOLD 09-22-00 ACQUIRED 12-22-97
				TENET HEALTHCARE CORP COM
00 0	[S 802,S	72 28p,S	82.598,2	SHARES 100 00 SOLD 09-22-00 ACQUIRED 01-16-98
				TELLABS, INC , COMMON STOCK, NO PAR
00.0	27 632,2	05 686'£	52.935,7	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 01-16-98
S8% RATE	SECTIVITAD	C0ST <u>BASIS</u>	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

### SURDNA FOUNDATION EQUITY INDEX

22870 AAB 01249 ACCOUNT NO 26-81381

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

99A9

00 0	-52 800'5	82 922'9	\$1 822, <b>č</b>	SHARES: 100 00 SOLD 09-22-00
				U.S AIR GROUP, COMMON STOCK, ¢1 PAR
00 0	8,631.25	65 S9S'9	<i>bL</i> 961'51	SHARES. 300 00 SOLD 09-22-00
				TYCO INTL LTD NEW COM
00.0	65 928	72.280,Z	98 126'\$	PCGNIKED 01-SS-88 2HPKE2: 100 00 20FD 08-SS-00
				TRIBUNE CO., COMMON STOCK, NO PAR
00 0	-69.076	29 269'Z	96 IST, I	SHAKES: 100 00 SOLD 01-22-98
				TOYS "R" US, INC , (HOLDING COMPANY)
00 0	245 89	52.099,5	PI.500,5	SHARES: 100.00 SOLD 09-22-00 ACQUIRED 09-17-99
				TOSCO CORPORATION COMMON STOCK NEW
00 0	76.827, <b>e</b>	05 510'9	7p 8p7,21	SHARES: 200.00 SOLD 09-22-00 ACQUIRED 01-16-98
S8X RATE S20J\NIA2	CAINZLOSS	0021 212Aq	SALES PROCEEDS	DATE SOLDV OF PROPERTY ACQUIRED

N21 INC COMMON 210CK

# The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

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### EGUITY INDEX SURDIA FOUNDATION

BAA 048\$\$ VCCOUNT NO 26-81381 65210

AIVCOM COMMON 210CK CF &

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKYT SCHEDNTE OF GAINS AND LOSSES

SKARE
AEKIZON CO
3AAH2
JA3HG3TIND
SHARE
UNITED TEC
SHARE
NNIZAZ COE
38AH2
ADR UNILEY
SHARE
DESCRIBLION
33:33:33:33:33:33:33:33:33:33:33:33:33:

## The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

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EQUITY INDEX SURDNA FOUNDATION

02888 ACCOUNT NO. 26-81381 01546

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

28% RATE S201/NIA2	SSOTZNIVO	T200 <u>212A4</u>	SALES PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED
00 0	80 S85'0T	IS 955'9	65 [61,71	SHARES 299 58 SOLD 09-22-00 ACQUIRED 01-16-98
00 0	15.43	09.11	5d D2	SHARES: 0.42 SOLD 09-22-00 ACQUIRED 01-16-98
				WAL-MART STORES, INC. COMMON STOCK, † 10 PAR
00.0	86 662,72	0S 121'81	85 TZ5'S5	SHARES 900 00 SOLD 09-16-98 ACQUIRED 01-16-98
00 0	69 077 9	32 390 1	16 700 2	WALGREEN CO. COMMON STOCK, \$1 25 PAR
00 0	85 099'5	51.24S,&	£Z 906'L	SHARES 200 00 -16-98 ACQUIRED 01-16-98
00 0	Z0 791	28.6pp,č	78.342,E	SHERES 100,00 SOLD 09-22-00
				MASTE MEMT INC DEL COM STK
00.0	1,255 55-	37.220,8	£p.908,1	SHARES: 100.00 SOLD 09-22-00 Te-02-01 ACQUIRED 10-02-01

METER FARGO & CO NEW COM STK

# The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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#### EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 65210

WORTHINGTON INDS INC COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	LI 556'9	£1 515,8	02.815.21	SHARES; 500 00 SOLD 09-09-96
				MORLDCOM INC/WORLDCO
00 0	-02 191'2	00.772,2	01 ZIÞ'I	SHARES 100.00 SOLD 01-16-98
				WINN-DIXIE STORES, INC., COMMON STOCK,
00 0	11.102.1	21 269'Z	98 966'\$	SHARES: 100 00 SOLD 09-16-98
				WILLIAMS COMPANY INC COMMON STOCK #1 PAR
00 0	-95.628, I	<b>58.971,</b> 2	2 3 9 9 9 9 9 9 9 9 9	PCGUIRED 10-14-97
				WEYERHAEUSER CO , COMMON STOCK, #1 875 Par
00 0	S8 461	00.121.8	58 [ZS'b	SHARES: 100 00 SOLD 09-22-00
00 0	16 988'S	72.873.T	95 595'£[	SHARES. 300 00 SOLD 09-22-00 ACQUIRED 08-27-97
28% RATE GAIN/LOSS	<u>eath/loss</u>	TSOS SISA8	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

22870 ACCOUNT NO 26-81381

LEDEKAL SCHEDULE OF GAINS AND LOSSES
EQUITY INDEX

85

PAGE

H20N1H COKP COM STK ACQUIRED 01-16-98 SHARES, 100 00 SOLD 09-22-00 2,783 25 55 57.85- 0.00	
	;
MARATHON GROUP COMMON STOCK NEW	- xsu
00.0 CO.00 201.16-98 AS 7,345 00 5,124.15- 0.00 SOCUIRED 01-16-98	;
NCOBL DEF 5025488 ELE 5/53/01 C nr byncobl def inc 21k webceb 10	
SHARES: 100 00 SOLD 09-22-00 S.609 41 S.757 25 147 84- 0 00 00 ARC 16-98	;
ENEKGA INC COW	XCEL E
SHARES: 100.00 SOLD 09-22-00 1,653 19 3,940.50 2,287 31- 0 00	<b>.</b>
CORP COMMON STOCK, \$1 PAR	XEBOX
SHARES: 100.00 SOLD 09-22-00 934 46 1,715.63 781 17- 0 00	\$
TION OF PROPERTY SOLD SALES COST GAINLOSS GAINLOSS GAINLOSS GAINLOSS	DEZCK16.

BYNK ONE COKE COM

# The Northern Trust Company 50 south Lasalle street, Chicago, Illinois 60675 (312) 630-6000

EDNILL INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

8AA 02888 ACCOUNT NO 26-81381 65210

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

PAGE

00'0	516 16	Z1 689	808 21	86-91-10 00-02-00	VCGNIKED 20FD	00 05	2H <b>v</b> ke2 ·
					ЕМ СОМ	. СОВЬ DEГ И	DUN & BRADSTREET
00.0	1£ 678,85	87.082,03	60 092'28	01-55-68 10-05-00	SOLD SOLD	00 002'1	SHARES
00.0	91 915'5	32.280,01	10.032,91	01-16-98 10-02-00	SOLD	200 00	SHARES
				0 '	ERGER 0 73	COM CASH MI	EEE 10-04-00 #KEOKG\BEZIEOODZ
80.0	-78.2	<b>9</b> 5.6	ረዩ ኃ	86-91-10 00-52-60	ACQUIRED SOLD	05 0	2H <b>P</b> KE2:
				оск	COMMON 21	י ובורשתם כס	NO PAR ARCHER-DANIELS-M
00.0	-Z5 £60'S	05 919'5	86 225	01-55-68 06-56-00	VCGNIKED 20rd	20.005	SHARES:
00 0	-10.852,2	05'68 <i>L'</i> Z	69,165	86-91-10 00-52-60	SOLD ACQUIRED	700 00	SHARES
						ниои зтоск	OMENZ COBNING CO
00 0	-16 225,5	L9 919'6	91 261'1	01-19-68 00-22-00	SOLD ACQUIRED	200 00	SHARES.
Z8% RATE GAIN/LOSS	CVINTFORZ	COST BASIS	SALES	DATE SOLD/		PERIX	DESCRIPTION OF PRO

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

#### **EGNILK INDEX** SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

56 PAGE

0 0 0	<del>5</del> 5 (	80.3	Z9 L	SHARES: 0 49 SOLD 10-30-00 ACQUIRED 01-16-98
				AVAYA INC COM
00.0	00 007,S	28,030 50	02.027,02	SHARES. 900 00 SOLD 10-24-00 ACQUIRED 01-22-98
				EFF 10/20/00 #REORG UNICOM CORP CASH RATE 5.0 #REORG UNICOM CORP CASH RATE 5.0
00 0	15 61	11.58	66.22	SHARES, 0 SZ SOLD 10-23-00 ACQUIRED 01-16-98
				TYCO INTL LTD NEW COM
00.0	62 196	96 8ST'T	28.051,5	SHARES 46 30 SOLD 10-19-98
00.0	\$2 990'9	12.558.8	99.819 <b>.</b> 11	SHARES: 253.70 SOLD 10-19-00 ACQUIRED 01-16-98
				TYCO INTL LTD NEW COM
00.0	1,153 23	55 885,E	LS 165'6	SHARES 250 00 SOLD 10-02-98
SBX RATE SELIVILOSS	CAIN/LOSS	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

STOCK 31 0 CASH RATE 70 0 EFF 11/1/00 NIZONBCE INC CASH & STK MERGER 2654631 \*KEORG COLUMBIA ENERGY GROUP COM

### The Vorthern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

BAA 07822 ACCOUNT NO 26-81381 65210

210CK' # 20 PAR

MAY DEPARTMENT STORES CO , COMMON

30**A**9 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	Sp.872,p	51.445.2	71.258,9	SHARES: 100.00 SOLD 11-16-98
				INTERNATIONAL BUSINESS MACHS CORP COM
00.0	72.080,1	98 858'I	16.852,5	SHARES: 100 00 SOLD 11-16-00
				DUN & BRADSTREET CORP DEL NEW COM
00 0	82 201,2	65 290'Z	<b>40 491'S</b>	SHARES. 100 00 SOLD 11-16-00 ACQUIRED 04-11-97
				CITIGROUP INC COM
00.0	18 27	<b>19</b> 11	b6 6Z	SHARES 0 50 SOLD 11-03-00 ACQUIRED 01-22-98
				ЕХЕГОИ СОКЬ СОМ
00 0	88 756,15	52 956,75	£1 <i>51</i> 6'55	SHARES: 396 00 SOLD 11-03-00 ACQUIRED 06-03-99
				#REORG/UBS AG COM MANDATORY Exchange to UBS ag new tht 2477344 eff 7/13/01
00 0	40.1 <b>5</b> 0,01	00 918'/1	28,737 od	SHARES: 400 00 SOLD 11-01-00 ACQUIRED 06-50-97
28% RATE GAIN/LOSS	CVINVLOSS	COST 8	SALES	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDIA FOUNDATION

07822 VCCONNI NO SC-81281 65210

яач ои

ABBOTT LABORATORIES, COMMON STOCK,

96	01-01-00 LHKONCH 00-20-01
PAGE	ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
	LEDEKAT SCHEDNTE OF GAINS AND LOSSES

00.0	20 220'9	00.575,52	20 869'69	SHARES 1,200.00 SOLD 11-21-00 ACQUIRED 05-28-97
	0.000	***************************************	50 501 01	
				ESC S814222 91 EEE 11/SS/00 #Weoke Sepcrie lech cash & 21k mekcek 10
				#95085 5545415 1561 6451 8 51K M50059 10
				ACQUIRED 01-22-98
00 0	-15 192,91	00.972,21	62 785	SHARES 200 00 SOLD 11-16-00
				US0423841073
				ARMSTRONG HLDGS INC COM ISIN
				ACQUIRED 01-16-98
00 0	-52 577,5	05'681'1	80 LIO'S	2HARES 100 00 20LD 11-16-00
				Z-892128 # MACHOVIA CORP Z-893760 9-1-01
				CORP STK MERGER TO WACHOVIA CORP NEW COM
				WACHOVIA CORP NEW COM #REORG WACHOVIA
				VCGNIKED 08-15-36
00 0	5,550 S7	56 Z95'I	ZS £68'9	SHARES: 100.00 SOLD 11-16-00
				MICROSOFT CORP COM
				ACQUIRED 01-22-98
00 0	-58 529	2'25¢ 52	DÞ.898,S	SHARES 100 00 SOLD 11-16-00
				ACQUIRED 01-16-98
00 0	-12 925	19 989'S	05 868'Z	SHARES 100 00 SOLD 11-16-00
AAAT JUTUA	AAA4701466	<b>573</b> 0 =		THE INTERNAL OF THE PROPERTY O
S8% RATE GAIN/LOSS	<u>epinyloss</u>	COST BASIS	<u>SVOCEEDS</u> SVIES	DESCRIPTION OF PROPERTY ACQUIRED
2210 7766		1000	03,40	74 100 3214

## The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-30-01

02888 ACCOUNT NO 26-81381 65210

**VERIZON COMMUNICATIONS COM** 

**LEDERAL SCHEDULE OF GAINS AND LOSSES** 

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

00 0	98'951'I	12.127,5	72 868,Z	01-10-68 11-55-00	VCONIKED 20FD	00 00T	SHARES
					ноэ э	IONS INTL IN	OMEST COMMUNICAT
	/		47.70747	86-91-10	ACQUIRED	001007	0775110
00.0	67 p06,1	256 38	71 185,5	11-22-00	гогр	00.001	SHARES
						ОИ СОН	ORACLE CORPORATI
				86-91-10	ACQUIRED		
00 0	21 552'9	05 088'5	Z9 5\$1'II	11-22-00		00 00 T	SHARES
					СОММОИ	и со.2 INC '	MARSH & MC LENNA Stock, ¢l PAR
				86-91-10	ACQUIRED		
00 0	19 162'2	Z1 £95'Z	85 558'5	00-22-11		300 00	SHARES
						сом	CENERAL ELEC CO
				86-91-10	ACQUIRED		
00 0	928 22	82 272,1	16.018,5	00-22-11		00.001	SHARES
						К	ALCOA INC COM ST
				86-91-10	ACQUIRED		
00 0	72 828, I	2,526 00	15 952,2	11-22-00	-	100 00	SHARES
<u>evinyloss</u>	CVINCEGES	SISA8	<b>EKOCEEDS</b>	<b>VCOUIRED</b>		БЕКІХ	DESCRIBITON OF PRO
S8% RATE	222 .7 11 2 0	COSI	231A2	DATE SOLD/			

## The Northern Trust Company 50 south Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

#### EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81381 67210

INTEL CORP CAPITAL STOCK # 001 PAR

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00 0	80 25.8	22.371,12	ይይ 00 <del></del> 2,75		VCONIKED 200 00 20FD	SHARES
				зеев	OO KOCKE22 COKЬ C¥2H WEB	954.0 EFF 11/30/
00.0	80 052'5	531.01	60 181,6		100.00 SOLD ACQUIRED	SHARES
					ннон глоск	CIECO SAE INC CO
00 0	92 910'9	90 519'8	Z> 069'6	01-19-68 11-20-00	100.00 SOLD ACQUIRED	SHARES
					TIONAL GROUP, INC So par	AMERICAN INTERNA COMMON STOCK, \$2
00.0	SS 96S	3,727 00	ቀን ያሪያ ያያ		100 00 SOLD ACQUIRED	SHARES:
					NEM COM 21K	METES FARGO & CO
00.0	16 <b>29</b> 1'Z	88 ZZ9'ĭ	58 S82'5	86-91-10 00-22-11	JOO.00 SOLD ACQUIRED	SHARES
					MON STOCK, \$1.25 PAR	MALGREEN CO. COM
00.0	0 <i>L</i> 958	۲٤.28۶, <i>۶</i>	5,342 07	01-19-68 11-55-00	FCGNIKED 30FD 20FD	SHARES
28% RATE GAIN/LOSS	EVINCEOSS.	COST BASIS	SALES PROCEEDS	DATE SOLD A	PERIY	DESCRIPTION OF PRO

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

01-01-00 THROUGH 06-50-01

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

FEDERAL SCHEDULE OF GAINS AND LOSSES DYBSS EQUITY INDEX 8AA ACCOUNT NO 26-81581 65210 SURDNA FOUNDATION

ACQUIRED 01-22-98

20FD JS-08-00 ACQUIRED 01-16-98 20FD 15-08-00

CRACE W R & CO DEL NEW COM STK

SHARES:

SHARES

1,280.00

ADR VIVENDI UNIVERSAL SPONSORED ADR NEW

240 00

66 PAGE

00 0

00 0

26,035 22

**5**2 606'5

80.288, 22

10'S62 25

00 0	95' [	7 o 2	65 Z	SHARES: 0.05 SOLD 12-06-00 ACQUIRED 04-11-97
				CITICROUP INC COM
00,0	<b>56 L</b> T	21.1 <u>5</u>	69 Sb	SHARES 0.32 SOLD 12-04-00
				EXCHANCE TO UBS AG NEW THT 2477344 EFF #REORG/UBS AG COM MANDATORY
	h7 h6//6	02 [15' <b>\$</b>	bb <b>59</b> 2'6	SHEKES 100 00 COLD 11-20-00
00 0	ps ps/,2	02 113 £	79 372 G	9A9 00-03-11 0 102 00 001 339442
				MERCK & CO INC., COMMON STOCK, 4.02 7/9
00 0	26 272,1	Z6 SZb'Z	78 <b>667,</b> 2	SHARES: 100 00 SOLD 11-30-00 ACQUIRED 09-09-97
28% RATE <u>Grinzloss</u>	evin/ross	C05T	SAGCEEDS SALES	DATE SOLD ACQUIRED ACQUIRED

80,917 50

66 111'51

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 65210

BOEING CO , CAPITAL STOCK, \$5 PAR

DOT **394**4

10-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-91 529	828 00	50.2		O ZONIKEI	100 001	SHARES
00 0	2'181 S8-	05 T61.2	1,016.22		PCONIKEI PCONIKEI	200 005	SHARES
00.0	-91.873	00 778	502 S¢		VCONIKEI O ZOFI	10 00 t	2HARES:
				8# '	ниом гтоск	100 ' совь	BETHLEHEM STE PAR
00 0	95 SIE'E	SZ 286'Z	61 162,8		ACQUIRE	10 001	2HARES:
					ис сом	V PROCESSING	TAG DITAMOTUA
00 0	-16 469	0S 510'S	65.812,4		VCONIKEI O ZOFI	100 01	SHARES.
					' 1MC '		COMMON STOCK,
00 0	5, 084 52	05.290,5	28 721,2		VCONIKEI SOFI	100 001	2HARES.
						сом	сітіскопь імс
00.0	2,908 51-	6Z 00b'b	86 165		VCGNIKEI O ZOFI	300.00	SHARES
S8% RATE Gain/Loss	<u>GAIN/LOSS</u>	COST SISA4	SALES PROCEEDS	DATE SOLD/ ACQUIRED		YIBBGORE	DESCRIBITON OF

50 South LaSalle Street, Chicago, Illunois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

01249 ACCOUNT NO 26-81381

ЯАЧ

HEWLETT-PACKARD CO , COMMON STOCK, #1

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

00.0	65.520,5	<b>ΔΙ Σ9</b> 5'Ζ	99 919'9		VCONIKED 20FD	00.001	2HARES.
			,,,,,,		- 100		פבאבצער ברבכ
00 0	-98 965,75	87 085,65	1,885.93		SOLD SOLD	00 009	2H <b>∀</b> KE2·
00.0	-97.129,2	51 556'5	66 212		VCONIKED 20FD	00 001	SHARES
					' соннои		STOCK, ¢5 PAR CROWN CORK &
00.0	-12 915	00 205'9	62,280,8		VCONIKED 20FD	3 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	SHARES
						сон	COCA COLA CO
00 0	12 901,2	10 155	55 785,8		VCONIKED 20FD	00.001	SHARES
						соннои зтоск	CIRCO RAR INC
00.0	91 029,5	27 072, <i>p</i>	IS 166'9		ZOFD ZOFD	00.001	SHARES
28% RATE GELUZLOSS	SSOTINTES	COST		DATE SOLD.		YIA390A9	DESCRIPTION OF

### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

EGUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

AAA OYBSS VCCOUNT NO 26-81581 01546

COMMON STOCK, NO PAR

WINNESOTA MINING AND MANUFACTURING CO ,

#### ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES**

7 O S

00 0	[9 088'S	56 295'I	95 £55'5		SOLD SOLD	00 001	SHARES
						M	MICROSOFT CORP CC
00 0	£0 525	78 STE, S	06 <b>768,</b> S		VCGNIKED ZOFD	00.001	SHARES
				В	ск, ио РА	COMMON STO	MC DONALDS CORP.
00.0	69 SÞS'Z	SZ 801'9	96.82S,6	•	VCONIKED 20FD	00 00T	SHARES
						MO	ברו רוררג צ כס ככ
00 0	00.278	2,573 88	88 <u>2</u> 77'\$		SOLD SOLD	00 001	SHARES:
						BROAD HOME	#5-447812 To kb Home EFF 1- #Keokg kaufman &
00 0	90 <i>LLL'</i> I	27 078,E	18.722,2		ACQUIRED Sold	00 001	SHARES
						IC COM STK	HONEYWELL INTL IN
00.0	95,758	28.702,S	82 522'\$		VCONIKED ZOFD	00 00 T	2HARES:
28% RATE GAIN/LOSS	SS07/NIV9	C0ST <u>84≲15</u>	EKOCEEDS 2VIES	DATE SOLD/		YTRA	DESCRIPTION OF PROP

## The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

07822 ACCOUNT NO. 26-81581 65210

PFIZER INC , COMMON STOCK, # 11 1/9 PAR

	10-02-9	о тнкоисн о	0-10-20
<b>GOIR39 BHT</b>	BLE DURING	CTIONS TAXA	ACCOUNT TRANSA
<b>FORZER</b>	CAINS AND	CHEDNIE OF	FEDERAL S

00 0	09 891'1	2,250 00	09 8Tb'b	100 00 SOLD 10-01-98	SHARES
				сом	PEOPLESOFT, INC,
00 0	-98 9bb'6I	87 082,IS	26.880,2	600.00 SOLD 12-08-00 ACQUIRED 01-22-98	SHARES
00 0	-25 £9£'9	05,140,T	86.778	VCGUIRED 01-16-98	SHARES:
				HON STOCK NEW	OMENS IFF INC COM
00.0	95 890'S	00 055'£	95.819.8	VCGNIKED 02-15-88	SHARES
				сом	иоятневи тя совр
00 0	6£ Z95'I	SZ 189'1	<b>99 £9</b> 2'£	100 00	SHARES
				IDNS INC CF & COM SIK	NEXTEL COMMUNICAT
00 0	229 02-	pp p76,S	[ \$ 9£9'Z	100 00 SOLD 12-08-00 ACQUIRED 01-22-98	SHARES
				ноэ а	NATIONAL CITY COR
00 0	3,326 86	05 792,8	98 169'11	100 00 SOLD 12-08-00 ACQUIRED 01-16-98	SHARES.
S8% RATE S201/NIA2	<u>evinyross</u>	COST SISAG	SACEEDS PROCEEDS	ERIX ACQUIRED	DESCRIPTION OF PROP

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

22870 AAB ACCOUNT NO. 26-81381

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

90 T

PAGE

00 0	-91,118,2	<b>ኒ</b> ያ 65ድ'ታ	£6,720,5	01-16-98 12-11-00	SOLD ACQUIRED	100 00	SHARES
						STK	AT & T CORP COM
00.0	-51.008,51	05 755,71	85.752,5	15-08-00	VCONIKED 20rd	00.001	SHARES:
						4 STOCK	YAHOO INC COMMON
00 0	90.852,8	S'012 20	95 1779	01-16-98 12-08-00	VCGUIRED SOLD	00 001	1 S3 NAH2
					I STOCK,	тис соннои	WAL-MART STORES.
00 0	59 /82	52 099'Z	06 7p8,5	66-71-60 00-80-21	ZOLD SOLD	100 00	SHARES
					CK NEM	оте номноэ на	DITAROTROD CORDI
00 0	28'569'Z	ST 172,S	LS 991'S	08-18-80 15-08-00	VCONIKED 20FD	100 00	SHARES
						ONZ INC COM	SBC COMMUNICATIO
00 0	58 88 <i>L'</i> T	00 655'Z	<b>68 ፕ</b> ቀ <mark>ጀ</mark> ኒቅ	01-16-98 12-08-00	VCONIKED 20rd	00 001	SHARES
SBX RATE Gainaloss	CAIN/LOSS	COST	SALES PROCEEUS	DATE SOLD. ACQUIRED		DEERTY	DESCRIBLION OF ERC

TIME WARNER 2157776 31 EFF 1/11/01

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO. 26-81381 SS870 AAB

CENDANT CORP COM STK

FEDERAL SCHEDULE OF CAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-81-00 THROUGH 06-30-01

SOT

Z8% RATE GAIN/LOSS	EVIN/F022	COST BASIS	SALES PROCEEDS	DATE SOLDV LPTION OF PROPERTY ACQUIRED
00.0	28.328	d,000 00	£8:958'5	SHARES: 100 00 SOLD 12-11-00 ACQUIRED 12-51-98
				SICAN HOME PRODUCTS CORP.,
00.0	18 825'1	00 TSO'5	[8 672,2	SHARES 100 00 SOLD 12-11-00 ACQUIRED 01-16-98
				OUTH BANCORPORATION, COMMON STOCK,
00 0	- 18.8>8	05 06£,S	69 [55']	VCONIKED 10-50-00 SHVKES! 100 00 SOFD 15-11-00
				C AMER CORP COM
00 0	-11 891'I	<b>42 011'9</b>	09 lbg'b	SHARES. 100 00 SOLD 12-11-00 ACQUIRED 01-16-98
				ятск еого совь
00 0	- 18 ' Z6Z	27 078,1	96 LLS'I	SHARES. 100 00 ACQUIRED 01-16-98
				STOL MYERS SQUIBE CO COMMON STOCK
00.0	72.77 <b>6</b> , p	51.373, I	Z0 559'9	SHARES: 100 00 SOLD 12-11-00 ACQUIRED 10-27-92

## The Northern Trust Company 50 south LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EDNITY INDEX SURDNA FOUNDATION

01-01-00 THROUGH 06-30-01

8AA 02855 VCCONNI NO SQ-81281 65210

EXXON WORIT COKE COM

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

90 T

00 0	19 625	51 565, £	9£ 5£0'b	SHARES, 100 00 SOLD 12-11-00 ACQUIRED 01-16-98	
				OVER CORP., COMMON STOCK \$1 PAR	α
		0000/7	0/ 747/7	ACQUIRED 11-26-96	
00.0	-72 GZI	1,305.45	96 STI,1	SHARES 100 00 SOLD 12-11-00	
				ELPHI AUTOMOTIVE SYS CORP COM	a
				ACQUIRED 01-16-98	
00.0	-28 226	57 ppe,s	2,021 95	SHARES 100 00 SOLD 12-11-00	
				OMPAQ COMPUTER CORP , COMMON STOCK	ວ
				ACQUIRED 04-21-97	
00 0	SO 056'5	to 125	90.182,2	SHARES: 100 00 SOLD 12-11-00	
				ISCO SAS INC COMMON STOCK	ວ
				ACQUIRED 01-16-98	
00 0	-22.6	2,301.00	7,291 67	2HARES: 100 00 20LD 12-11-00	
				RBITRON INC 2023796 EFF 3/30/01 Reorg Ceridian Corp Rev Splt & N/C to	
				ACQUIRED 10-20-97	
00 0	-28 858' E	2,853 04	15 200,1	SHARES 100 00 SOLD 12-11-00	
COTINCLOSS CAINCLOSS	CAIN/LOSS	C021	PROCEEDS SALES	CRIPITON OF PROPERTY ACQUIRED	DEZ

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO. 26-81381 SS870 AAB

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 10-01-00 THROUGH 06-30-01

2 D T

PAGE

				VCGUIRED 01-16-98
00 0	-26 711	19.487,1	69'999'I	2HARES. 100 00 SOLD 12-11-00
				LUCENT TECHNOLOGIES INC COM STOCK
				ACQUIRED 09-09-97
00 0	07 712,1	26 S24'Z	29 £5L'£	SHARES: 100 00 SOLD 12-11-00
				INTEL CORP CAPITAL STOCK #.001 PAR
				VCGUIRED 01-16-98
00 0	-b1 816'I	29 911'5	2'161 88	SHARES. 100 00 SOLD 12-11-00
				GILLETTE CO., COMMON STOCK, \$1 PAR
				ACQUIRED 01-16-98
00 0	08 121'9	6,926.55	\$1 850'11	SHARES. Z00.00 SOLD 12-11-00
				CENERAL ELEC CO COM
				VCGNIKED 02-12-88
00 0	-91.578	80.245.08	26 271,5	SHARES: 100 00 SOLD 12-11-00
				TO US BANCORP DEL 2952468 EFF 2/27/01
				ACQUIRED 01-16-98
00.0	95.625,5	9 122 52	17 272,8	2HARES: 100 00 SOLD 12-11-00
28% RATE GAIN/LOSS	E¥IN\F022	COST BASIS	<b>SKOCEEDZ</b> 2 <b>V</b> FE2	DESCRIPTION OF PROPERTY ACQUIRED

MBNA CORP COMMON STOCK

### The Northern Trust Company 50 South LaSalle Street, Chicago, Illimois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81581 01546

ORACLE CORPORATION COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

80 T

00 0	27.185,8	£1.330,1	58.765,2	2H4KE2: 100 00 2CONIKED 01-19-88
				NOKIEL NETWORKS CORP NEW COM
				VCG01KED 09-30-98
00.0	59'605'1	00'189'1	S9 066'Z	2HARES: 100,00 SOLD 12-11-00
00 0	52.298,61	51'585'82	05 <b>058</b> 'L5	SHARES: 1,600.00 SOLD 12-11-00 ACQUIRED 09-15-98
				#REDRG WABISCO GROUP HLDG CORP COM STK Cash Merger 3 30.0 Eff 12-12-00
				ACQUIRED 01-16-98
00.0	5,881 13	29.195,8	27 SSS, T	SHARES, 100.00 SOLD 12-11-00
				STOCK, #1 33 1/3 PAR MERRILL LYNCH & CO., INC., COMMON
				ACQUIRED 01-16-98
00 0	-27 162	00 208'9	82 016'9	SHARES: 100.00 SOLD 12-11-00
				WEIC INAL CORP WIS COM
				ACQUIRED 01-16-98
00.0	96 90L'I	99 656'T	29 999,8	2HARES: 100 00 SOLD 12-11-00
SBX RATE <u>Grinzloss</u>	CAIN/LOSS	TSOS SISAB	BROCEEDS 28res	DESCRIPTION OF PROPERTY DATE SOLDY

## The Northern Trust Company 50 South Lassile Street, Chicago, Illinois 60675 (312) 630-6000

EGNITY INDEX SURDNA FOUNDATION

BAA 04855 ACCOUNT NO 26-81381 65210

ST PAUL COS INC COM

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF CAINS AND LOSSES

60 T

**DVCE** 

00.0	-01 526'1	00.206,2	06 896'Z	SHARES 200.00 SOLD 12-11-00 ACQUIRED 01-22-98
				KUSSELL CORP COM
				ACQUIRED 07-21-99
00 0	90 950'9	29.216.5	99'656'6	SHARES: 100 00 SOLD 12-11-00
				<b>ФПУГСОМЫ ІИС СОМ</b>
				ACQUIRED 01-22-98
00.0	-15.856,5	92 015,8	26 178,1	SHARES. 200.00 SOLD 12-11-00
				POLAROID CORP , COMMON STOCK, 41 PAR
				VC401RED 06-16-97
00 0	-98 894	27 333,2	78.798,E	2HVKE2: 100 00 20FD 15-11-00
				PHILIP MORRIS COS INC COM
				ACQUIRED 01-16-98
00 0	1,801 35	00 695'2	52 092'5	2HVKE2 100 00 20FD 15-11-00
				PFIZER INC., COMMON STOCK, # 11 1/9 PAR
				ACQUIRED 01-16-98
00.0	72.738.S	72 32E	99'56I'S	SHARES. 100 00 SOLD 12-11-00
S8% RATE S201/kiaj	<b>GAIN/LOSS</b>	COST	SALES PROCEEDS	DATE SOLD/ DESCRIPTION OF PROPERTY ACQUIRED

# The Northern Trust Company 50 South Lassile Street, Chicago, Illinois 60675 (312)

EQUITY INDEX SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

D1888 ACCOUNT NO 26-81381 65210

TEXACO, INC COMMON STOCK, 46 25 PAR

PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

OTT

00 0	-09 166	27 076,2	51 676,S	SHARES: 100.00 SOLD 12-11-00 ACQUIRED 01-22-98
				SUNDCO INC COM
00.0	-55 /25	00 258'I	Sp. <b>785</b> , I	SHARES: 100.00 SOLD 12-11-00 ACQUIRED 10-06-98
				STAPLES INC (RETAIL & DELIVERY)
00 0	-98 S81,5	52 484'5	I 969'Z	SHARES 100.00 SOLD 12-11-00 ACQUIRED 02-09-98
				CLASS A COMMON STOCK SPRINGS INDUSTRIES, INC ,
00.0	59 598	05 915'2	21.678,5	SHARES: 100 00 SOLD 12-11-00 ACQUIRED 01-16-98
				SOUTHERN CO , COMMON STOCK, \$5 PAR
00 0	66.285	10.526,6	00 622,7	SHARES: 100 00 SOLD 12-11-00 ACQUIRED 01-16-98
				SCHLUMBERGER LTD COM ISIN ANBOGRE71086
00.0	15.254.31	52.282,p	95'915'5	SHARES. 100.00 SOLD 12-11-00 ACQUIRED 01-22-98
28% RATE GAINALOSS	<u>evin/ross</u>	COST EVETE	SALES PROCEEDS	DESCRIPTION OF PROPERTY DATE SOLDV

50 South Lasalle Street, Chicago, Illinois 60675
(312) 630-6000

SURDNA FOUNDATION

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

07-01-00 THROUGH 06-50-01

01249 ACCOUNT NO 26-81381 SS878 ACCOUNT NO 26-81381

3.2644 CASH 329 EFF 11/27/00

CA PAC CORP (GA PAC GRP COM 2547112 \*\* REORG FT JAMES CORP CSH & STK MRGR TO

LEDEKAT SCHEDNTE OE GAINS AND TOSSES
EGNILA INDEX

28% RATE GAIN/LOSS	SS077NIS5	TSOS	SALES PROCEEDS	DATE SOLDV		<b>EROPERTY</b>	DEZCKIBITON DE I
00 0	Sb 055'l	58 555'5	05 588'5		VCONIKED 20FD	100 00	SHVKES
						INC COM	TEXAS INSTRS
00 0	72 £20,4	SL 181'I	Z\$ 581'S		VCGUIRED SOLD	00.001	SHARES
				10/1		ARNER STK MERGI	
00 0	IS 591'b	2, 100, č	91 211,1		VCGUIRED SOLD	00.001	SHARES
						NEM COW	TYCO INTL LTD
00 0	PI.585,8	91 <b>2</b> 05'Z	9,785 30		VCGNIKED ZOFD	00.001	2HARES .
						C DEF COM STK	MASTE HGMT IN
00'0	-S8 61S	91 Sp0'S	16 225'2		VCGNIKED 20FD	00.001	SHARES
						мовгрсо	MOREDCOM INCV
00 0	285 91	\$8.255,1	<b>ታ</b> ቃ <b>ረ</b> ደ9' ፣		ACQUIRED SOLD	00.00I	SHARES

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

03-01-00 THROUGH 06-50-01

01249 ACCOUNT NO 26-81381

AA9 I♦

COLGATE-PALMOLIVE CO , COMMON STOCK,

EEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	86 921	SL 569'L	۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲, ۲	01-19-68 15-12-00	ZOLD SOLD	00 001	SHARES.
						омнои зтоск	СНЕАКОИ СОКЬ ' С
00.0	7p 78p,1	85 016,5	28 762,2	86-91-10 00-51-21	VCONIKED 20FD	100.00 100.00	SHARES:
00 0	85 599'Z	51 <b>612,</b> 5	\$\$ 588'b	01-16-98 12-15-00	SOLD SOLD	00.001	SHARES.
						OZ INC COM	ANHEUSER BUSCH C
00.0	62.027,5	6p.830,S	80 908'9	15-11-60 15-12-00	VCGUIRED SOLD	00.001	SHARES
						W	CITIGROUP INC CO
00 0	76 OSS	52 P85,8	22 S19'£	08-20-88 15-12-00	ACQUIRED SOLD	00.001	2H <b>P</b> KE21
00 0	-90 956'5	26,495	b6 925'Z\$	01-22-98 12-12-00	SOLD ACQUIRED	00 006	SHARES'
00 0	280 22-	27 299, E	22 519'\$	01-16-98 15-12-00	SOLD ACQUIRED	00.001	SHARES :
S8% RATE	CAIN/LOSS	COST EASIS	PROCEEDS SALES	DATE SOLD/		PERIY	DESCRIPTION OF PRO

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

#### EGULTY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 01546

**AA9 20.4** 

07-01-00 THROUGH 86-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

112

				HOME DEPOT INC., COMMON STOCK,
00.0	LS 559	Z8	62 091'2	SHARES. 100 00 SOLD 12-16-98
				HEWLETT-PACKARD CO , COMMON STOCK, #1 Par
00 0	2,555 55	00 129'2	££ 096'5	SHARES; 100 00 SOLD 12-15-00 ACQUIRED 01-16-98
				FIRST DATA CORP COMMON STOCK
00.0	72.299,1	55 905'£	28 662'5	SHARES: 100 00 SOLD 12-15-00 ACQUIRED 01-16-98
				FIETH THIRD BANCORP , COMMON STOCK,
00 0	-65 165'8	27.020,2	91 625'2	SHARES: 100.00 SOLD 12-15-00 ACQUIRED 01-16-98
				COMPUTER ASSOCIATES INTERNATIONAL, INC , COMMON STOCK, \$.10 PAR
00.0	71.571,5	£9.145,E	08 \$11,2	SHARES: 100 00 SOLD 12-15-00 ACQUIRED 01-16-98
28% RATE CAIN/LOSS	SSOTZNIVO	T203 SISAE	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED

# The Northern Trust Company 50 south Lasalle street, Chicago, Illinois 60675 (312) 630-6000

EGNILL INDEX SURDNA FOUNDATION

AAA 0.48\$\$ ACCOUNT NO 26-81381 65210

PHARMACIA CORP COM

07-01-00 THROUGH 06-50-01 गार ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **PAGE LEDERAL SCHEDULE OF GAINS AND LOSSES** 

00 0	1,185 35	27.269.8	80 678,2	SHARES 100.00 SOLD 12-16-00 ACQUIRED 01-16-98
				PEPSICO INC COM
00.0	50'ZZI'S	57.S3	08 277,2	ORACLE CORPORATION COM SHARES: 200 00 SOLD 12-15-00 ACQUIRED 01-16-98
00 6	25 ETT	69 082'\$	[[ 550'5	SHARES. 100.00 SOLD 12-15-00 ACQUIRED 01-22-98
				#N29201111012 Nem losk liwez co cf v izin
00.0	90 9 <b>1</b> 9'9	52 <b>6</b> 5%1	67 321 79	SHARES. 100,00 SOLD 12-06-96
				LEHMAN BROTHERS HLDGS INC COM
00 0	0S 488,8	21 pp2,2	26.8 <i>11</i> ,8	SHARES 100.00 SOLD 12-16-00 ACQUIRED 01-16-98
				INTERNATIONAL BUSINESS MACHS CORP COM
00 0	78 720, <b>2</b>	1,135 74	19 161'5	SHARES. 100 00 SOLD 12-15-00 ACQUIRED 02-19-97
S8% BATE CAIN/LOSS	<u>eainzloss</u>	TOOD SISAB	SALES PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED

## The Northern Trust Company so south Lasaile street, Chicago, Illinois 60675 (312) 630-6000

**EGULTY INDEX** SURDNA FOUNDATION

07822 VCCOUNT NO 26-81581 65210

AAG

ЗСИЕВТИС-БГОЛЕН СОВЬ СОМИОМ 210CK' #J

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

SII PAGE

00.0	-91'95£'1	00 116'5	p8.029,p	SHARES: 200.00 SOLD 12-15-00 ACQUIRED 01-16-98
				SARA LEE CORP , COMMON STOCK
00 0	Z8.268,5	<b>21 112,5</b>	LS 99\$'5	SHARES 100 00 SOLD 12-15-00 ACQUIRED 08-19-96
				SBC COMMUNICATIONS INC COM
00 0	-65 B9L	51 S68'L	92 521,7	SHARES: 100.00 SOLD 12-15-00 ACQUIRED 01-16-98
				PROCTER & GAMBLE CO , COMMON STOCK, NO Par
00 0	-29 299	٤٤ 999'۶	TT 500'5	SHARES: 100 00 SOLD 12-15-00
				PHILIP MORRIS COS INC COM
00.0	69 286'[	00 699'Z	65 [55'5	SHARES. 100 00 SOLD 12-16-98
				PFIZER INC., COMMON STOCK, # 11 1/9 PAR
				VCGNIKED 01-19-88
00 0	£p 966,5	78 296,S	08 096'9	SHARES 100.00 SOLD 12-15-00
28% RATE GAIN/LOSS	CVINTOSS	7203 <u>212A</u> 8	SALES	DESCRIPTION OF PROPERTY DATE SOLD

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

PEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

07-01-00 THROUGH 06-50-01

01249 ACCOUNT NO 26-81381 SS870 AAB

PAGE

00 0

00 0

2,386 76

2,087 58

90 192'Z

54 046'8

					MOD SHOITA	AEKIZON COMWNNIC
00 0	55 19£'I	bb [ <b>9</b> 4'[	3,122 89	:001KED 01-10-88 20FD 15-12-00	00 001 A	SHARES
						TARGET CORP COM
00 0	- 51 502	5Z 80Z'b	II 500'5	:01:16-98 2010 12-15-00	00 00I	SHARES
						ноэ чяоэ ихт
00 0	-95 618	<b>55.881,</b> 2	2,519 88	:6718ED 10-58-81 20FD 15-12-00	00 00I A	SHARES
				зтоск,	ир со сомнои	4 15 PAR Sears, Roebuck A
00.0	26.928,5	29.999,2	55.161,2	:0016ED 01-16-98	100.00 A	SHARES
28% RATE CAIN/LOSS	CAIN/LOSS	COST SISA8	SWOCEEDS SVIES	DATE SOLD/	PERIX	DESCRIPTION OF PRO

5,147 82

£1 850'11

WAL-MART STORES, INC COMMON STOCK,

SHARES

SHARES

AIRCOM COMMON 210CK CF B

100 00

200 00

VCGUIRED 01-16-98

ACQUIRED 01-16-98

20FD 15-12-00

20FD 15-12-00

### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

**8AA** 07822 ACCOUNT NO 26-81581 015¢6

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBYT SCHEDNIE OF GAINS AND LOSSES

211

PAGE

28% RATE CAIN/LOSS	GAIN/LOSS	C0ST <u>8A81S</u>	SALES SALES	DESCRIPTION OF PROPERTY ACQUIRED
00.0	£8 176,S	05 210'2	££ 586'5	SHARES: 100 00 SOLD 12-16-00 ACQUIRED 01-16-98
				GEORGIA PAC CORP COM-GEORGIA PAC GROUP
00.0	-94' (	69 £2	21 82	SHARES 0.84 SOLD 12-15-00 ACQUIRED 01-16-98
				EFF 12/13/00 #REORG AETNA INC CASH MERGER 035 332247
00 0	-61 £95'51	91 029,88	72 720,82	SHARES: 700.00 SOLD 12-19-00 ACQUIRED 09-25-97
				MASSEY ENERGY CORP COM
00 0	212 84	0£ 05L'£	5[ <b>590'</b> 5	SHARES:
				MOD TARE
00 0	12 17L	52.586,5	Z9 6ZL'S	SHARES: 100.00 SOLD 12-29-00 ACQUIRED 01-16-98
				BALL CORP., COMMON STOCK, \$2 50 PAR
00 0	60 SIb'I	2'186 20	65 509'5	2HARES: 100 00 SOLD 12-29-00

EXXON HOBIL CORP COM

### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

DYBSS ACCOUNT NO 26-81581 01546

ROCKWELL INTL CORP NEW COM

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

00 0	-15 \$15	60 718'5	85 002'5	01-55-88 15-58-00	VCGNIKED 20FD	00 56	SHARES
00 0	-13 173	90 ATB 3	83 00% 3	00-02-21	4 103	00 30	- 3204113
				86-91-10	ACQUIRED		
00 0	29,29	98 912	86 BYS	12-29-00	aros	00 S	SHARES
							8A9 2S 84
					STOCK	JATIGAD , GAPITAL	ว รอดดูดู รฐารหฐ
				86-91-10	VCGNIKED		
00 0	95,8S	29.192,5	91 195'2	12-29-00	гого	700 00	SHARES
					<b>84</b> 9 1	инои зтоск, ф	MASCO CORP CO
				76-10-80	ACQUIRED		
00 0	1,562 55	00.720,2	58 668'5	15-58-00	гогр	00.00I	SHARES
							HCÆ INC COM
				56-21-01	ACQUIRED		
00.0	59 06T	00.982,2	23.977,S	15-59-00	SOLD	00 00I	2HARES:
						S893758 EFF V	
				86-91-10	ACQUIRED		
00.0	I/ 822,5	6,133 25	96'169'8	12-29-00	гого	100 00	2HARES (
28% RATE Grinzloss	CVINCTOSS	COST SISAM	SALES	DATE SOLD/		<b>ХТЯЗЧОЯ</b>	DESCRIPTION OF P

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

01249 ACCOUNT NO. 26-81581 SSB70 AAB

560T055550SN

**AXCELIS TECHNOLOGIES INC COM STK ISIN#** 

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 19.01-01-00 THROUGH 06-30-01

611

00 0	0 b . I	65 E	68 5	SHARES 0.60 SOLD 01-11-01 ACQUIRED 10-06-95
				NZOZ4Z4O1095 PXCELIS TECHNOLOGIES INC COM STK ISIN#
00 0	-61 T	68 9	07.2	SHARES: 0 56 SOLD 01-09-01 ACQUIRED 01-09-01
				NZSBSS4K1014 Elnnds cobb com com sik isin#
000	-91 169	52 856'5	60 <i>191'</i> 5	SHARES 100 00 SOLD 12-29-00 86-31-10 GSTUPSA
				WHIRLPOOL CORP. COMMON STOCK, 61 PAR
00 0	96 <b>8</b> 50'l	ZO \$56'9	86 166'L	SHARES: 100 00 SOLD 12-29-00 ACQUIRED 01-16-98
				SCHTUMBERGER LTD COM 1514 ANBOGREVIOR6
00.0	<b>50 058</b>	05 PIZ'S	<b>55 550</b> '9	SHARES: 100 00 SOLD 12-29-00
				ROYAL DUTCH PETROLEUM N.Y REGISTRY SH PAR N 1 25 GLDR
00 0	98 665	85.135,2	<b>58 09</b> 2'5	SHARES: 100.00 SOLD 12-29-00 ACQUIRED 01-16-98
28% RATE GAINLOSS	SSOT/NI¥5	T202 ZIZA&	SALES SALES	DESCRIPTION OF PROPERTY  DESCRIPTION OF PROPERTY  DESCRIPTION OF PROPERTY

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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SURDNA FOUNDATION EQUITY INDEX

07-01-00 THROUGH 06-50-01

01249 ACCOUNT NO 26-81381 SS870 AAB

TYCO INTL LTD NEW COM

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

00.0	ZS 905'T	SL S61'S	LZ Z59'9	SHARES 100 00 SOLD 02-06-01 ACQUIRED 01-16-98
				KINBERLY-CLARK CORP COMMON STOCK
00.0	71,295, <u>5</u>	71.832,5	p& 827,p	SHARES 100.00 SOLD 02-06-01 Acquired 01-16-98
				CENERAL ELEC CO COM
00.0	-21 012	59 950'Z	15 921'1	2HARES 165 42 SOLD 01-22-98
00 0	102,55-	66 119	99'695	PCGUIKED 01-16-98 SHARES∙ 54 58 SOLD 01-12-01
				N25855481014 Elnndz Cobb Cow Cow 21k 121n#
00 0	<i>t</i> > 555'Z	01 251,5	<b>15 862'S</b>	PCGUIKED 10-06-95 SHARES 471 00 SOLD 01-12-01
				AXCELIS TECHNOLOGIES INC COM STK ISIN# US0545401095
00.0	20 0	90 0	80 0	VCONIKED 10-06-95 SHARES: 0 01 COLD 01-12-01
378 %85 2201\NIA0	€¥IN <f088< td=""><td>COST BASIS</td><td>SALES PROCEEDS</td><td>DESCRIPTION OF PROPERTY ACQUIRED</td></f088<>	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

## The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

EGUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 65210

BANK AMER CORP COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

00.0	08 56L'I	00 ISO'5	08 958'5	86-91-10 10-51-20	SOLD SOLD	00 001	SHARES
					.,	PRODUCTS CORP # 35 1/5 PAR	
00 0	10.200,5	£8 559'T	b8 099'b	96-11-11 10-51-20	VCONIKED 20FD	00.001	SHARES
				09	N 210CK, ¢	егг со ' соммо	AMERICAN EXPR Par
00.0	2, 298 52	05 290'Z	28 [92'5	26-11-50 10-51-20	VCONIKED 20rd	00.001	SHARES
						сом	CITICROUP INC
00 0	06 T	79 IS	75 52	01-19-68 05-12-01	VCGNIKED 20rd	02 0	2HARES.
					оск,	12 COHHON 21	\$2 SO PAR
00.0	S8 056,7	2, 195, 5	SI 215,01	05-01-10 05-01-01	VCONIKED 20FD	200 00	SHARES
						₩ 7	о чярь атадэм
00.0	£1.092, E	9 I . E 0 E , S	62 260'9	86-91-10 10-90-20	VCGNIKED 20rd	100.00	SHARES
28% RATE GAIN/LOSS	CVINCEOSS	COST SIZAS	SALES PROCEEDS	DATE SOLD/ ACQUIRED		PROPERTY	DESCRIBLION OF

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 22870 AAB 01249 ACCOUNT NO 26-81381

DO PONT E I DE NEMOURS & CO COM STK

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

1 S S

00 0	101 58-	או פוביפ	68 III £	100 00 SOFD 01-16-98	2HARES.
				нс	DIZNEY WALT CO CO
00 0	55 119'2	00 TS9'T	55.585,4	25 A SPECIAL SOLD 02-14-01 ACQUIRED 01-16-98	COMCAST CORP CLAS
00 0	-19 02['[	0S T8S,A	68 911'£	100 00 CONIKED 12-21-98	CARNIVAL CORP COP
00 0	-S7 D	59 265'2	16·165'Z	YCONIKED 01-55-88 100 00 20FD 05-14-01	SHARES:
00.0	55.7S6,S	52	08.606,2	100 00 PCGUIKED 01-16-98 PCGUIKED 01-16-98	SHARES:
				SOCESSING INC COM	19 ATAU DITAMOTUA
00 0	-55.550,1	82 011'9	5,076 83	YCONIKED 01-10-88 100 00 20FD 05-14-01	SHARES:
28% RATE GAIN/LOSS	<u> GAIN/LOSS</u>	T203 SJ2A4	SALES	VALUE SOLD VIRED ACQUIRED	DESCRIBITION OF PROF

### The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

OZBSS ACCOUNT NO. 26-81381 01546

MICROSOFT CORP COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

153

D 0 D	99.197,5	19.916	I£ 859'£	86-91-10 10-51-20	VCONIKED 112 00 CONIKED	SHARES
						A LO REDETA CORP CL A
00 0	-55 587	£1 087,2	08 966'9	01-22-98 02-14-01	FCGUIRED SOLD	SHARES:
					COM	MEIC INAL CORP MIS
00.0	17 599	26.859,5	29 815'5	10-51-20 10-51-20	100.00 SOLD ACQUIRED	SHARES
					STOCK \$.001 PAR	INTEL CORP CAPITAL
00,0	58 146	00.302,8	S8 772,2	10-71-20 10-71-20	100.00 SOLD Acquired	SHARES
					BEZ INC DEF CONWON	STOCK EDEKATED DEPT STO
00.0	-99 1/2'1	05 516'5	<b>58 256</b> ,2	01-16-98 02-14-01	VCONIKED 100 00 ZOFD	SHARES
					соммом глоск,	#S 20 PAR EASTMAN KODAK CO ,
00 0	19 88Z'Z	PS 126'l	58.845,4	96-90-90 10-14-01	VCGNIKED 100 00 ZOFD	2HARES:
28% RATE GEIN/LOSS	CAIN/LOSS	C05T	SALES PROCEEDS	DATE SOLDV ACQUIRED	KIK	DESCRIPTION OF PROPE

### The Northern Trust Company 50 South LaSaile Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

01888 ACCOUNT NO 26-81381 67210

**NA9 OH** 

ABBOTT LABORATORIES, COMMON STOCK,

03-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

150

00.0	£6 II	6 T £	91.21	07-16-01 02-16-01	ACQUIRED Sold	0 b 0	SHARES
						,	A 10 TROD ATACOM
00.0	5\$ \$51'I	12 157,5	98.206,2	86-91-10 10-51-20	SOLD ACQUIRED	00'001	2HBRE2:
					NC COM	IONS INTL II	GWEST COMMUNICAT
00.0	65.152,2	£9 516'£	8, 557 22	66-12-20 10-51-20	ZOLD ACQUIRED	00 001	SHARES.
							<b>ФИРГСОМИ ІИС СОМ</b>
00 0	-68 99	٤٤ 999'۶	<b>58 665'</b> 5	16-91-90 10-51-20	VCONIKED 20FD	00 001	SHARES:
						2 INC COM	PHILIP MORRIS CO
00.0	95 <b>211,</b> 2	75 328	16 665'Z	86-91-10 10-51-20	SOLD SOLD	00 001	SHARES
						ON COM	ORACLE CORPORATI
00.0	8£ 475,4	56 <b>295'</b> I	02.728,2	96-21-80 10-51-20	VCONIKED 20rd	00 001	SHARES
28% RATE Grin/Loss	CAINZLOSS	COST BASIS	<b>EKOCEEDS</b> 2 <b>V</b> FE2	DATE SOLD/ ACQUIRED		PERIX	DESCRIPTION OF PRO

# The Northern Trust Company 50 South Lasaile Street, Chicago, Illinois 60675 (312) 630-6000

#### EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 65210

CENERAL ELEC CO COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDERAL SCHEDULE OF GAINS AND LOSSES

7 S 2 PAGE

00 0	20.091,4	52 222'2	82 225'9	01-22-68 02-21-01	TOO OO SOFD	2H <b>Y</b> KE2¹
						ЕХЕГОИ СОВЬ СОМ
00.0	95 LOO'T	S1 S51'S	62 251'9	01-22-88 02-21-01	TOD DO CONIKED	SHARES
					ис, соммои зтоск	#1 PAR DOW JONES & CO I
00.0	-69 IIO'I	00 205'9	12'06b'5	01-12-20 02-21-01	100.00 SOLD ACQUIRED	SHARES
						COCA COLA CO COM
00.0	05.186,1	10.152	19.512,5	05-21-01 04-21-01	100,00 SOLD ACQUIRED	SHARES;
					MON STOCK	CIRCO SAR INC COM
00 0	P2 172,p	54 949'I	62 852'9	10-12-01 10-21-01	YCGNIKED 100 00 ZOFD	SHARES,
					IBB CO COMMON ZLOCK	BRISTOL MYERS SQU
00.0	22 STP, 1	00 925'\$	4,998.33	01-1 <b>9-</b> 68 05-51-01	100.00 SOLD ACQUIRED	SHARES:
28% RATE Gain/Loss	G <b>∀</b> IN√F022	COST COST	SVCEEDS SVCEE	DATE SOLD/ ACQUIRED	ERIY	DESCRIBLION OF PROP

## The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

07822 ACCOUNT NO 26-81581 65210

DELL COMPUTER CORP COMMON STOCK

ACQUIRED 01-16-98

PAGE 126			TIONS TAXABLE DURING TIONS TAXABLE DURING Through 06-30-01	ACCOUNT TRANSAC			
28% RATE GRINCLOSS	SSOTANI45	COST Sisas	SALES	DATE SOLDV		DEERIK	DESCRIPTION OF PRO
00 0	71.265.17	41'595'Z	pg 857,p	01-16-98 02-21-01	VCONIKED 20FD	100 00	: 238AH2
					CK 41 PAR	ота моммор ис	овиног ж повиног
00.0	26 906'2	52 287,6	LI 689'6	01-16-98 02-21 <i>-</i> 01	SOLD ACQUIRED	00.001	2H <b>V</b> KE2;
					окь сом	H SANTA FE C	BURLINGTON NORTH
00 0	01.021	62.816,5	62 920'2	86-22-10 10-92-20	ACQUIRED SOLD	00.001	2HARES:
						N COMMON 210C	ЕИТЕКСУ СОКР ИЕУ
00.0	29 810'1	27 028,S	72 <b>628,</b> 2	01-16-98 05-26-01	SOLD SOLD	00 00t	SHARES
						1C CON	STILWELL FINE IN
00.0	22.827	£1.457,5	82 572,2	06-01-66 05-58-01	VCONIBED 20rd	100 00	2H <b>Y</b> KE2:
						STK	MOD 4800 T & TA
00 0	-91 SAO.S	19.622,2	26 962'2	02-28-01	anos	00 001	2HARES:

# The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinous 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81381 65210

TORCHMARK CORP , COMMON STOCK, \$2 PAR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

151

00.0	11.275,1	21.677,1	2 <sup>1</sup> 024 88	SHARES 100.00 S2-28-01 Acquired 01-16-98
				LIX COS INC COMMON NEW
00.0	-Z9 969'I	0S ÞIO'S	2,517 88	##RAYTHEON CO CL B COM STK SHARES 100 00 SOLD 02-28-01 Acquired 01-16-98
00.0	2,839 19-	60 552'9	06 906'Z	SHARES: 100.00 SOLD 02-28-01 ACQUIRED 01-22-98
				HCKESSOM COKE
00 0	£0 595	78.27 <b>2</b> ,5	5,936 90	SHARES: 100.00 SOLD 02-28-01 Acquired 01-16-98
				МС DONALDS CORP, СОММОМ STOCK, МО РАК
00.0	ST. TS2	1,895 19	16.022,5	SHARES 100.00 SOLD 02-28-01 ACQUIRED 01-22-98
				KROCER CO , COMMON STOCK, 41 PAR
00 0	82.820,1	ÞB BST'T	Sp 781,S	SHARES: 100.00 SOLD 02-28-01 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	CAIN/LOSS	C0ST	PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDEX
EQUITY INDEX

22870 AAB 01249 ACCOUNT NO. 26-81381

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD OF-50-01

1 S 8

PACE

00.0	£0 100'T	21 018,2	28 175,2	SHARES: 100.00 SOLD 01-16-98 Acquired 01-16-01
				BOEING CO., CAPITAL STOCK, 45 PAR
00 0	7p	88 022,2	<b>፭</b> ፻ 8♭♭'♭	PCGRIKED         01-55-88           SHARES:         E0 00         SOLD 03-16-01
00 0	01.880,5	52 59\$'Z	52.892,2	SHARES 50.00 SOLD 03-16-01 ACQUIRED 01-16-98
				CARDINAL HEALTH INC
00 0	12 85-	25.45	11 63	SHARES: 0.50 SOLD 03-13-98 Acquired 03-15-01
				US BANCORP
00'0	-9p p85,1	<b>ጀ</b> ጀ 808' ታ	88'\$25'\$	SHARES: 100.00 SOLD 02-28-01 Acquired 01-16-98
				ВРИК ОИЕ СОВЬ СОМ
00 0	-78.STI	27.269,5	88 225'\$	SHARES: 100 00 SOLD 02-28-01
				UNDCAL CORP. COMMON STOCK, #8 1/3 PAR
00 0	-05.411	82 685'5	88.272.2	SHARES, 100,00 SOLD 01-16-98 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	SSOT/NIVO	TCOS Sisar	SALES	DATE SOLD Y SOPERTY ACQUIRED

## The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

07822 VCCCONT NO Z6-81381 01546

PFIZER INC , COMMON STOCK, ¢ 11 1/9 PAR

LEDEKYT SCHEDNTE OL CVINS VND TOZZES EQUITY INDEX

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

156

00.0	£4.876,S	72.018,S	08.887,2	PCGUIKED 01-16-98 248881 100 00 20-16-98
				ISIN #617446486
00.0	2,630.56	02 112,2	91 191'L	SHARES: 100 00 SOLD 03-16-01 ACQUIRED 09-30-96
				PAR Merck & Co inc , common stock, ¢ 02 7/9
00.0	92	54.852,7	16 0SP,8	SHARES: 500.00 SOLD 01-16-98 ACQUIRED 01-16-98
				HEWLETT-PACKARD CO., COMMON STOCK, #1
00.0	Z6	4,603 89	18 176,2	SHARES. 100 00 SOLD 03-16-01 ACQUIRED 11-26-96
				GENERAL MOTORS CORP COMMON STOCK,
00.0	82 289'I	92'090'6	99'S9L'01	SHARES: 200 00 SOLD 03-16-01 ACQUIRED 01-22-98
Z8% RATE GAIN/LOSS	<u>GAIN/LOSS</u>	COST STSA4	SOCEEDS SALES	DESCRIBITION OF PROPERTY ACQUIRED

## The Vorthern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EGULTY INDEX NOITAGNUDA ANGRUS

07822 ACCOUNT NO 26-81381 01546

120 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00'0	-10 066	05 071,2	92.081,Z	SHARES: 100 00 SOLD 03-30-01
				ALBERTSON'S, INC., COMMON STOCK, #1 PAR
00 0	ss·s	<del>9</del> 5 11	60 41	SHARES: 0 38 SOLD 03-30-01 ACQUIRED 04-16-97
00.0	2,423 61	S9 SS0'Z	92 615'5	SHARES: 99.62 SOLD 03-30-01
				CITIGROUP INC COM
00 0	0 <b>%</b> 051	00 209'Z	02,527,5	SHARES: 100 00 SOLD 05-27-99
				AFLAC INC COMMON STOCK
00'0	ps	05 £10'Z	b8 689'b	SHARES: 100.00 SOLD 03-16-01 ACQUIRED 01-16-98
				WAL-MART STORES, INC COMMON STOCK,
00 0	<b>18</b>	00 655'Z	78.227,2	SHARES: 100.00 SOLD 03-16-01 ACQUIRED 01-16-98
S8% RATE GAIN/LOSS	SEDIVLOSS	T203 SIZAA	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

ALCAN INC

## The Northern Trust Company 50 south Lessile suceet, Chicago, Illinous 60675 (312) 630-6000

EQUITY INDEX SURDNA FOUNDATION

0 L 8 S S ACCOUNT NO 26-81581 67210

07-01-00 THROUGH 06-50-01 121 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	<b>52.20</b> 2	26.8SP,S	91 159'Z	SHARES: 100.00 SOLD 05-50-01 76-60-80 ACQUIRED 09-97
				INTEL CORP CAPITAL STOCK \$ 001 PAR
00.0	61.157,1	11 £95'Z	98 581'5	GENERAL ELEC CO COM  SHARES. 100 00 SOLD 03-30-01  ACQUIRED 01-16-98
00 0	86 999'Z	Zþ. £6Þ	05.8 <b>2</b> 6,5	SHARES. 100 00 SOLD 03-30-01 ACQUIRED 01-16-98
				ЕМС СОИЬ СОМ СОМ
00 0	-11 9b9'Z	12,740 39	Z9 £60'II	SHARES 600 00 SOLD 03-30-01 ACQUIRED 01-22-98
00.0	-90 259	2,301 00	56 858'I	SHARES 100 00 SOLD 03-30-01 86-31-10 GRIUDA
				ARBITRON INC 2023796 EFF 5/30/01
00 0	£3.778	27.027,5	82 865'2	SHARES, 100.00 SOLD 03-30-01 ACQUIRED 01-16-98
28% RATE	<u>evintross</u>	COST <u>BASIS</u>	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

KLA-TENCOR CORP

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX

01249 ACCOUNT NO, 26-81381

8A9 88.84

FIETH THIRD BANCORP., COMMON STOCK,

### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 10-01-00 THROUGH 06-30-01

125

00 0	- 29 . 287	05 515'5	78 829.2	86-22-10 04-05-01	VCCOURED 100 00 SOLD	SHARES
					CORP., CAPITAL	BRIGGS & STRATTON STOCK, \$3 PAR
00'0	- ZZ + 8t	£9 Z69'Z	Ib 802,5	02-20-01 02-20-01	100.00 SOLD ACQUIRED	SHARES
					(HOLDING COMPANY)	TOYS "R" US, INC ,
00.0	<b>15 111'1</b>	226 38	56 /65'[	01-19-68 02-20-01	100 00 SOLD	SHARS
					HOD	ORACLE CORPORATION
00 0	11.922	2,658 25	9£ 780,2	01-19-68 02-20-01	VCGUIRED 100 00 SOLD	SHARES
						ONEOK INC COM 21K
00.0	89.361,S	29 192'5	ts 855'S	86-91-10 10-02-20	VCGNIKED	SHARES
						STOCK, #1.55 1/5 P
00 0	2,025.86	25.706,1	2,951 11	01-16-98 03-30-01	100.00 SOLD ACQUIRED	SHARES
28% RATE <u>Gain/Loss</u>	CVINTFORZ	7203 212A4	SALES PROCEEDS	DATE SOLD.	XIX	DESCRIPTION OF PROPE

122

**PAGE** 

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDEX EQUITY INDEX 01249 ACCOUNT NO. 26-81381 55870 AAB

ADAPTEC INC., COMMON STOCK

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OC-30-01

00.0	51.285	51 826	88.802,1	#CONIKED 02-15-88 20FD 04-20-01	29.16S	SHARES
				INC COM	NICATIONS GROUP	MITFIAMS COMME
00.0	16 912	£8 6£9	bL 958	86-21-50 02-03 20fD 04-52-01	26.10 <u>5</u>	SHARES
00.0	06 209'[	75 185, <b>č</b>	11 S88'b	200018ED 01-55-01 2000 04-52-01	9\$ 151'T	SHARES
00.0	<b>19 585</b>	51 299	Z8 950'T	86-91-10 03-188 20FD 04-52-01	1 21 8ps	SHARES.
				NOO ONI a	NICATIONS GROUP	MILLIAMS COMML
00 0	60 D	SZ D	<b>5</b> \$ 0	2001   16-98 200   04-18-01	, 10 0	SHARES
					М	MIRANT CORP CC
00 0	2 22	SL SI	86 81	7CONIKED 01-19-68 20FD 04-12-01	f 59 0	2HARES:
					ы	MIRANT CORP CC
00 0	<b>5</b> Z 91	99.05	06 95	/Cσ01KED 01-16-98 SOLD 04-06-01	06 D	SHARES
28% RATE GAIN/LOSS	GAINZLOSS	T203 21244	SALES	DATE SOLD/	ROPERIX	DESCRIBITOR OF E

SURDNA FOUNDATION EQUITY INDEX

07822 ACCOUNT NO. 26-81381 65210

CITIGROUP INC COM

124	07-01-00 THROUGH 06-30-01
30 <b>4</b> 9	ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
	FEDERAL SCHEDULE OF GAINS AND LOSSES

00 0	30 22	S6 52	88 bS	86-91-10 10-21-90	SOLD SOLD	86 0	SHARES.
						EM COM	TYCO INTL LTD NI
00 0	-19 281	84 196'I	LI 6LL'I	26-91-01 10-80-90	VCONIKED 20FD	91 16	SAAHS
00.0	-82.724	69'626'5	55.582,2	26-91-01 10-80-90	ACQUIRED SOLD	62 952	SHARES:
00 0	25 95	L9 56Z	62.722	16-80-50 10-80-90	SOLD SOLD	00.81	2HARES 1
00.0	190 02	1,120 52	25.015,1	26-10-50 10-80-90	SOLD SOLD	00 ST	SHVKES
00 0	<b>9</b> ♭ <b>56</b> ዩ	67 POB	25 005,1	96-60-60 T0-80-90	SOLD SOLD	S6 S9	SHARES
					ь сом	NEM WCI CKON	MORLDCOM INC GA
00 0	-66 605'\$5	79 258,S8	89 \$25'6\$	86-91-10 10-62-50	SOLD SOLD	00 00611	SHARES.
						STK	MOD 9800 T & TA
00.0	•\$6'\$5 <b>5</b> 'bI	57.298,91	28.685,2	06-20-60 02-11-60	VCONIKED 20rd	00 005	SHARES
S8% RATE	€¥IN\F022	T203 SISAA	SALES	VATE SOLDV ACQUIRED		SEERIK	DESCRIETION OF ERG

EGUITY INDEX SURDNA FOUNDATION

8AA ACCOUNT NO, 26-81381 DYBSS 69Z l B

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

122 **PAGE** 

00.0	2,226 63	2,982 25	88 802'5	86-91-10 10-51-90	VCONIKED 20rd	00.001	SHARES
					ис сом	ROCE22ING I	ATAG SITAMOTUA
00 0	-15 202	42.011.2	98 208'5	86-91-10 10-51-90	VCGN1KED 20rd	00.001	SHARES
						MO	BANK AMER CORP C
00 0	LL 6L7'S	90 <i>L</i> ZZ'ī	28.307,3	86-91-10 10-51-90	VCGNIKED 20FD	00.001	SHARES
						210CK	АМСЕН ІНС СОММОН
00 0	-16'119'1	88 TZZ'5	76 £95,S	00-11-50 10-51-90	VCONIBED 20rd	00 00τ	SHARES
							ALTERA CORP COM
00 0	9८ ኦዩኦ'ፘ	SI S66'I	16 675,2	96-60-90 10-91-90	VCONIKED 20FD	00.001	SHARES
						ниои этоск	ALLSTATE CORP CO
00.0	90:568'T	58.250,5	68.026,2	46-91-50 10-51-90	VCONIKED 20rd	00.00I	SHARES
SECTANTAS	GAIN/LOSS	COST	SALES	DATE SOLD/ ACQUIRED		PERTY	DESCRIPTION OF PRO

CYMPBELL SOUP CO COM

#### EQUITY INDEX SURDNA FOUNDATION

8AA 07822 ACCOUNT NO. 26-81381 65210

STOCK, ¢1 PAR

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

9 £ I

					у сонмои	ONI JANOITA	HONZEHOLD INTERN
00 0	80 05	£8 S7S, p	16.512,4	86-91-10 10-51-90	SOLD SOLD	00 001	2HARES :
						MO	HALLIBURTON CO C
00 0	62 <i>11</i> 6,1	05.680,5	64 990'8	86-91-10 10-91-90	ACQUIRED SOLD	00.001	2H <b>P</b> KE2:
							FNMA COM STOCK
00 0	65 Z16'1	55 <b>282</b> ,4	<b>58 565'9</b>	86-91-10 10-51-90	VCONIKED 20FD	00 00T	2HARES 1
						HON STOCK	EHFWC ADTING COM
00 0	20.212,2	15,266 50	23.187,71	86-91-10 10-51-90	SOLD SOLD	200 00	2H <b>y</b> ke2:
						сом	EXXON WOBIT COBE
00.0	-60 ZY0,S	00 205'9	16 925'5	86-91-10 10-51-90	ACQUIRED SOLD	100 00	2H <b>y</b> ke2·
						1	COCK COLA CO COM
00 0	-£5 985'Z	5,253 39	96 992'Z	86-91-10 10-51-90	SOLD SOLD	100.00	2HARES:
28% RATE <u>Cain/Loss</u>	CAINCLOSS	TZOS BASIS	PROCEEDS SALES	DATE SOLD/		YIRAG	DESCRIBITION OF PRO

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07-01-00 THROUGH 06-50-01

07822 8AA ACCOUNT NO 26-81581 01546

PROCTER & GAMBLE CO., COMMON STOCK, NO

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

28% RATE GAIN/LOSS	GEINZLOSS	C0ST BASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED
00'0	52 19L'S	69 619'2	6,380 84	SHARES. 100 00 SOLD 06-15-01 ACQUIRED 01-16-98
				INTEL CORP CAPITAL STOCK \$ 001 PAR
00 0	60 989	<b>58 [58'</b> 5	£6 7£2,2	SHARES: 200 00 SOLD 06-15-01
				INTERNATIONAL BUSINESS MACHS CORP COM
D	£6 SII'9	57.665.2	89 092'11	SHARES 100 00 SOLD 06-15-01 ACQUIRED 01-16-98
				PAR PERCK & CO INC., COMMON STOCK, #.02 7/9
00 0	19 598'S	02 IIS'\$	18.275,7	SHARES: 100 00 SOLD 06-15-01
				PERKINELMER INC COM
00 D	<b>L£ 905'Z</b>	72 S20,1	<b>96 855'</b> €	SHARES 100.00 SOLD 06-15-01 ACQUIRED 01-16-98
				PHILIP MORRIS COS INC COM
00 0	-28.22	£1 999'b	<b>ر،652</b> 2 90	SHARES: 100 00 SOLD 06-15-01 ACQUIRED 06-15-01

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

#### EQUITY INDEX SURDNA FOUNDATION

ACCOUNT NO 26-81381

65210

8AA DZBSS

10-01-00 THROUGH 06-10-70 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

_				26-91-DI	ACQUIRED		
00 0	-69 95	425 05	99 585	10-51-90	атоѕ	23 52	SHARES
					HOD 40	NEM WCI CEO	MORLDCOM INC GA
				02-21-00	ACQUIRED		
00 0	-10 001'L	78 960,21	98.966,2	10-51-90	SOLD	100 00	SHARES
						MOD 4800	VERITAS SOFTWARE
				01-55-68	ACQUIRED		
00 0	92, 956	85.280,2	26.010,2	10-51-90	สาดร	300 00	2HARES ·
					ЯАЧ ОИ	чаота иом	TRIBUNE CO., COM
				01-55-68	ACQUIRED		
00 0	-pl B44'l	21 029'5	66 ISB'S	10-51-90	2010	200 00	SHARES
				86-91-10	ACQUIRED		
00 0	~ IS Z90'T	05 886'Z	1'652 66	10-51-90	ZOLD	100 00	SHARES
					К	соммои втос	SARA LEE CORP.,
				96-61-80	ACQUIRED		
00 0	2,120 55	05 £>6'5	28.230,8	10-91-90	פסרם	200 00	SHARES
						NZ INC COW	SEC COMMUNICATIO
				86-91-10	паятироч		
00 0	-06 529'I	27 268,7	58'092'9	10-51-90	SOLD	100 00	SHARES
28% RATE Gain/Loss	SSOTZNIVO	COST	SALES PROCEEDS	DATE SOLD.		PERTY	DESCRIPTION OF PRO

EQUITY INDEX SURDNA FOUNDATION

8AA OZBSS ACCOUNT NO 26-81581 65210

126 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE FEDERAL SCHEDULE OF GAINS AND LOSSES

00.0	9፤ ታኗና'ረ	00'059'LI	91 586'52	SHARES: 500 00 SOLD 06-27-01 ACQUIRED 01-16-98
				ABBOTT LABORATORIES, COMMON STOCK, NO PAR
00 0	87 IIZ,I	00 502'5	81.212,3	SHARES. 200 00 SOLD 06-27-01 ACQUIRED 05-27-99
				AFLAC INC COMMON STOCK
0 0 0	91 455'T	ZI 698'I	88.522,5	SHARES 100.00 SOLD 06-27-01 ACQUIRED 02-15-96
				AMR CORP , COMMON STOCK, \$1 PAR
00.0	-SZ 0	th Z	5 16	SHARES, 0 12 SOLD 06-18-01 ACQUIRED 10-16-97
				MORLDCOM INC GA NEW MCI GROUP COM
00.0	-28 06	19.591	61 11	SHARES. 4.01 SOLD 06-26-00
00'0	-94 65	182 25	122 26	SHARES 7 46 SOLD 02-09-98
00.0	-06 522	21 015'1	SS 270,1	SHARES 60 00 SOLD 02-09-98
28% RATE GAIN/LOSS	E¥IN√LOSS	COST	SALES PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED

**VES CORP COMMON STOCK** 

EDNILK INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81581 01546

ACILENT TECHNOLOGIES INC COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

ロケモ

00.0	95° [94' [	7£ 288	16 959'Z	86-91-10 10-12-90	TOD OO SOLD ACQUIRED	SHARES
					ICES, INC , COMMON	ZIOCK' ¢ 01 BVB PDAPHCED WICKO DEA
00.0	35.572,8	05 296	58 þ <b>2</b> 5'þ	86-22-10 10-12-90	SOLD ACQUIRED	SHARES
					• сомнои глоск	ADOBE SYSTEMS INC
00 0	-01 \$51'52	22.329,7A	\$2 \$18422	86-91-10 10-12-90	1,100 00 SOLD	SBRAHZ
					.K	AT & T CORP COM ST
00 0	0T S6£'TT	05 80 <b>2</b> '91	02.201,12	76-57-50 10-72-50	VCGUIRED 526 18 SOLD	SHARES
00.0	00 019,55	PL 262,28	bL'996'bS	16-91-50 10-12-90	1,063.82 SOLD ACQUIRED	SHARES
						CITICROUP INC COM
00 0	<b>99 66</b> £'Z	12 528'1	58 722,4	86-10-01 10-22 <b>-</b> 90	VCGNIKED 100 00 SOFD	SHARES
Z8% RATE GAIN/LOSS	CAIN/LOSS	COST BASIS	SALES PROCEEDS	DATE SOLDV	XIX	DESCRIBLION OF PROPE

SURDNA FOUNDATION EQUITY INDEX

07-01-00 THROUGH 06-30-01

AAA 048SS VCCORNI NO SE-81281 01546

ALLSTATE CORP COMMON STOCK

PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

tet

00 0	L6 955'9	SL 699'I	27.812,8	2601KED 01-16-98 20LD 06-27-01	00.00I	SHARES
					STOCK	ALLERGAN INC COM
00 0	65.112.3	SI 710,2	19 825'11	2011 ED 01-19-88 2010 09-51-01	200 00	SHARES
					K	ALCOM INC COM ST
00,0	11 \$2\$'1	27 058,S	98 551'5	2011 BED 01-55-98	00 001 A	SHARES.
						ALCAN INC
0 a a	-00 SS%'S	8,340 80	08 588'5	86-91-10 03-16-88 20FD 09-51-01	00 00S	SHARES
				CK' ¢I bVB	° соммои гто	ALBERTSON'S, INC
00.0	60 209	51 996,8	58 95 <u>5</u> '5	2601KED 01-19-88 20FD 09-51-01	00 00I	SHARES
				<b>'</b> ၁		AIR PRODUCTS AND COMMON STOCK, ¢l
				:dnikeD 01-10-38		
00.0	-09.817	08 007,8	06 186'Z	10-12-90 070\$	100 00	SHARES
28% RATE Gain/Loss	<u>eath</u> ales	T203 <u>212≜4</u>	83 A & & & & & & & & & & & & & & & & & &	VALE SOLDV ACQUIRED	PERIX	DESCRIPTION OF PRO

Ednilk index NOITAGNUOT ANGRUS

BAA BLBSS ACCOUNT NO 26-81581 67210

AMERICAN EXPRESS CO , COMMON STOCK, \$.60

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

ょりに

00.0	10 781	<b>28 0</b> 55,2	98 LZ9'b	SHARES: 100 00 SOLD 06-27-01 86-31-10 ACQUIRED 01-16-98
				COMMON STOCK, \$6 50 PAR
00.0	16 pLL'Z	27 072,2	ZL 551'8	SHARES 100 00 SOLD 06-27-01 86-31-10 GENURED 01-16-98
				AMERADA HESS CORP , COMMON STOCK, \$1 PAR
00 0	-76.508,1	88 [SZ'b	2,613 91	SHARES: 100 00 SOLD 04-17-00
				ALTERA CORP COM
00.0	50 219'1	SL 561'5	08.708,2	SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-22-98
				ALLTEL CORP COM ISIN #US0200391037
00.0	09 0£5′Z	27.656,1	28.685,A	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 05-10-96
00.0	01 ppp,5	51.246.1	58.685,2	SHARES: 100,000 SOLD 06-27-01 ACQUIRED 05-09-96
28% RATE <u>Grinloss</u>	SSO TANTOS	7200 212A4	SALES PROCEEDS	DATE SOLD/ DESCRIPTION OF PROPERTY ACQUIRED

SURDNA FOUNDATION

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

01-01-00 THROUGH 06-30-01

OLBSS EQUITY INDEX ACCOUNT NO 26-81381 69210

AMCEN INC COMMON STOCK

00,0	26 886'2	P8 701,5	9L 960'L	SHARES 100 00 SOLD 06-27-01
				CARDINAL HEALTH INC
00 0	98.885,02	82.956,7	21 812'82	SHARES; 532.38 SOLD 06-27-01 ACQUIRED 01-16-98
00 0	ps 667,55	ZO 006'91	19 669'65	SHARES: 467 62 SOLD 06-27-01 86-31-10 GRUIRED 01-16-98
				AMERICAN INTERNATIONAL GROUP, INC Common Stock, \$2 50 par
00.0	81 221'8	00 502'91	81 755,25	SHARES: 400 00 SOLD 06-27-01
				AMERICAN HOME PRODUCTS CORP , COMMON STOCK, 4.33 1/3 PAR
00 0	08.343.2	88 859'5	89 562'6	SHARES, 200 00 SOLD 06-27-01 ACQUIRED 01-22-98
				8/29/01 TO AMERN INTL GRP INC 2016430 EFF B/29/01
00.0	61'599'8	08 829'9	65 785,21	SHARES. 400 00 SOLD 06-27-01
S8% RATE SZOJNIAĐ	<u>ERIN/LOSS</u>	T203 21244	EKOCEEDS 2¥res	DATE SOLD/ ACQUIRED ACQUIRED

EGUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81381 65210

яач ои

ARCHER-DANIELS-MIDLAND CO , COMMON STOCK

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

りりて PAGE

00 0	68 9 <b>50'0</b> [	29.269,2	15.042,41	300 00 SOLD 06-27-01	SHARES
				IALS, INC , COMMON STOCK,	APPLIED MATER \$ 01 PAR
00 0	69 292'1	12 655'1	06 101,5	100.00 SOLD 06-27-01 ACQUIRED 01-16-98	SHARES
				- APPLIED BIOSYSTEMS GROUP	APPLERA CORP
00 0	5,916,2	SZ 659'9	82 272,SI	200 00 SOLD 01-16-98	SHARES
				H COZ INC COW	VHENZEK BNZC
00.0	19 052'1	52 £88,5	4,135 86	100.00 SOLD 06-21-99 ACQUIRED 10-11-99	SHARES
				s, INC., СОММОМ STOCK,	# 16 2/3 PAR
00 0	- 25 665	5,390 50	£6.0>8,1	100 00 SOLD 06-27-01	SHARES
				КРОКАТІОИ, СОМНОИ STOCK,	AMSOUTH BANCO \$1 PAR
				ACQUIRED 01-16-98	
00.0	11.125,21	61 189'\$	18,952.56	200 00 COF COFD 00-51-01	SHARES
28% RATE Gain/Loss	<u>GAIN/LOSS</u>	C0ST <u>8ASIS</u>	SALES	PROPERTY ACQUIRED	DESCRIBLION OF

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

EQUITY INDEX SURDIA FOUNDATION

BAA 02888 ACCOUNT NO 26-81381 65210

551 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00 0	70 S28	27.028.2	28 291'5	86-91-10 10-12-90	VCONIKED 20rd	00 001	SHARES
					STOCK	овь соммои	AVERY DENNISON C
00 0	52 IIZ'I	£9.592,5	78 £08, E	86-22-10 10-72-90	SOLD ACQUIRED	00.001	SHARES
						MON STOCK	AUTOZONE INC COM
00.0	SI 65£'5	05 <b>596</b> 'S	59 \$1\$'DI	86-91-10 10-22-90	SOLD SOLD	200 00	SHARES
					4C COM	KOCE22ING IN	9 ATAU DITAMOTUA
00 0	-85.955,1	55.881,8	LB 961'S	86-91-10 10-22-90	VCGNIKED 20FD	00.001	SHARES
							ASHLAND INC COM
00 0	-68.70>	88.125,05	66 £51'0£	86-91-10 10-22-90	SOLD ACQUIRED	00.002	SHARES
						HO	PPNK PWEK COKE C
00.0	-91'056	90.269,E	06 227,5	86-91-10 09-51-01	SOLD SOLD	00'00Z	SHARES
28% RATE SEDIN/LOSS	\$\$6 <b>77</b> N <b>T</b> ♥5	T203 SISA8	EKOCEEDS 2V ES	DATE SOLD/		PERTY	DESCRIPTION OF PRO

AVON PRODUCTS, INC , COMMON STOCK,

**AA9 02 04** 

NOITAGNUOT ANGRUZ

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

> 07822 ACCOUNT NO. 26-81381 65210

FEDERAL SCHEDULE OF GAINS AND LOSSES EQUITY INDEX

PAGE 146

28% RATE Gain/Loss	SS077N1V5	T203 212AB	SALES PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED
00.0	12'859'1	59.99812	<b>58 525</b> '5	SHARES. 100 00 SOLD 06-27-01
				BB&T CORP COM
00 O	Z9 9 <del>5</del> 9	52 286'2	28 BZ9'S	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
				BMC SOFTWARE INC COM STK
00.0	-95 959'5	52.300,3	16 199'Z	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 09-50-98
				BAKER HUGHES INC , COMMON STOCK
00 0	85 950	2,125 50	88'185'5	SHARES 100.00 SOLD 06-27-01 ACQUIRED 01-16-98
				STOCK, 47 50 PAR BANK OF NEW YORK CO , INC , COMMON
00.0	5/ IIS'9	51 965'8	05'801'5T	SHARES: 300 00 SOLD 06-27-01 ACQUIRED 01-16-98
				BARD, C. R., INC , CAPITAL STOCK,
ם ספ	S0 189'Z	51 020,5	08 TOL'S	SHARES 100.00 SOLD 06-27-01 ACQUIRED 01-16-98

BAXTER INTL INC COMMON STOCK

EQUITY INDEX SURDNA FOUNDATION

04888 ACCOUNT NO 26-81381 65210

07-01-00 THROUGH 06-50-01 **レラ**し ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	- 12. 262	00'509'9	64.605,8	SHARES. 100 00 SOLD 06-27-01
				BEST BUY, INC., COMMON STOCK
00.0	86 595'5	55 <b>59</b> 5'LI	25, 750, 25	SHARES: 600 00 SOLD 06-27-01
				BELLSOUTH CORP , COMMON STOCK
00 0	\$0 851'1	78 327.1	06 906'2	SHARES: 100 00 SOLD 06-27-01
				BED BATH BEYOND INC COM
00.0	9 <i>1</i> .511	51.487 <b>,</b> 5	88.352,8	SHARES. 100 00 SOLD 06-27-01
				PECTON, DICKINSON AND CO , COMMON STOCK,
00.0	76 S48,S	98 808'Z	18.178,2	SHARES: 100 00 SOLD 06-20-98
				BEAR STEARNS COS INC COM
00'0	8,542,95	27,521,5	59:585:01	SHARES: 200,00 SOLD 06-27-01 ACQUIRED 11-10-93
28% RATE <u>Cain/Loss</u>	CAIN/LOSS	COST Basis	PROCEEDS SALES	DESCRIPTION OF PROPERTY ACQUIRED

BIOGEN INC'' COMMON STOCK

EQUITY INDEX SURDNA FOUNDATION

0**18**SS ACCOUNT NO 26-81581 65210

MAY ON

BRUNSWICK CORP., COMMON STOCK

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	20 101'1	S1 028'S	LL.129, 8	SHARES: 100.00 SOLD 06-27-01 Acquired 01-16-98
				COMMON STOCK, 4.30 PAR Brown-Forman inc , class B, non-voting
00 0	£5 011'52	25 <i>187,</i> 11	87 777,85	SHARES 700.00 SOLD 06-27-01 ACQUIRED 10-27-01
				BRISTOL MYERS SQUIBB CO COMMON STOCK
00 0	-29 251'1	05.685,2	88 525'\$	SHARES: 200.00 SOLD 06-27-01 ACQUIRED 01-16-98
				BOSTON SCIENTIFIC CORP COM
00 0	£0 017,£	62.062,21	25 00£'L[	SHARES 500.00 SOLD 01-22-98 ACQUIRED 01-22-98
				BOEING CO , CAPITAL STOCK, 45 PAR
00.0	2,071 53	25.885,2	87.425,8	SHARES: 100 00 SOLD 06-27-01
				PAR PLOCK, H. & R , INC , COMMON STOCK, NO
00 0	-18 910'b	6,215.63	28.891,8	SHAKES: 100 00 COLD 07-58-00 VCONIKED 01-58-00
S8% RATE SALVALOSS	SEPTINTEGES	COST EASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED

**EQUITY INDEX** SURDIA FOUNDATION

07822 ACCOUNT NO 26-81581 01546

CAMPBELL SOUP CO COM

07-01-00 THROUGH 06-30-01 6 b I ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE LEDEKAL SCHEDULE OF GAINS AND LOSSES

00 0	T8. T26	00 00 <b>7</b> ,5	78.123,E	06-27-01 76-25-70	VCONIKED 20rd	00.001	'SBAAHS
						STK	CAS COKE COM
00.0	-29 D88'I	05'682'5	88.802,2	86-91-10 10-22-90	VCGUIRED SOLD	100 00	SHARES:
					яач	HMON STOCK, #1	сех сокь , со
00 0	09 11942	80 988'5	89 165'6	86-22-10 86-22-10	SOLD ACQUIRED	100 00	SHARES
						соммои втоск,	CIGNA CORP , C
00 0	456.13-	00 752,2	78 078, <b>č</b>	86-91-10 10-22-90	VCONIBED 20FD	100 00	SHARES
					нои этоск	SOURCES INC COP	BURLINGTON RES
00 0	19 11	62.816,5	06,756,5	86-22-10 86-22-10	VCGNIKED 20FD	00.001	SHARES:
					мор чяо:	THN SENTE FE	BURLINGTON NO
00 0	-55 115	SS 288'Z	26 178,5	86-22-10 10-22-90	VCGUIRED 20LD	00 001	SHARES
28% RATE GAIN/LOSS	<u>GRINZLOSS</u>	T202 212A4	SALES PROCEEDS	DATE SOLD		SKOPERTY	DESCRIPTION OF 1

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381

CHARTER ONE FINCL INC COMMON STOCK

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

0 S T

00 0	-09'619'1	05 SSS'b	06 926'Z	SHARES: 100 00 SOLD 05-27-01 ACQUIRED 03-24-99
				CENTURYTEL INC COM ISIN USIS67001060
00.0	-95.5945	bī 655'8	08'516'5	SHARES 500.00 SOLD 06-27-01 ACQUIRED 10-20-97
				CENDANT CORP COM STK
00.0	59 162'1	00 <del>5</del> 51'6	S9 155'01	SHARES 200,00 SOLD 06-27-01 ACQUIRED 01-16-98
				CATERFILLAR INC , COMMON STOCK, NO PAR
60.0	-21,822	PS 277	ZI 5 <b>2</b> 5	SHARES: 18 00 SOLD 06-27-01 ACQUIRED 12-21-98
00 0	-12 262'2	22	89 015'5	SHARES: 182 00 SOLD 06-27-01 ACQUIRED 12-21-98
				CARNIVAL CORP COM
00.0	20.29C.S	27 S02,8	08 96 <i>L'</i> S	SHARES: 100 00 SOLD 06-27-01 86-81-60 DECQUIRED 09-18-98
				CAPITAL ONE FINANCIAL COMMON STOCK
00 0	-85 055'Z	2,255 38	06.507,5	SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
28% RATE GAIN/LOSS	<u>eain/loss</u>	T203 SISAA	SALES PROCEEDS	SCRIPTION OF PROPERTY ACQUIRED

EDUITY INDEX NOITAGNUOT ANGRUZ

07822 BAA ACCOUNT NO 26-81381 65210

CLEAR CHANNEL COMMUNICATIONS INC COM

03-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

151

00 0	-05 198'Z	82.481,6	3,322 88		O SOLD	0 · 0 0 t	SHARES
					ск	оте номноо	CILKIX 2X2 INC
00.0	6Z 98Z'0\$	75.99 <b>7</b> ,5 <u>1</u>	95 020'25			00T2 WOMMO 0 002,S	CIRCO RAR INC C
				86-22-10	ACQUIRED		
00 0	02 019'1	1,022 21	15 529,5	<del>-</del>		0 05	2H <b>V</b> KE2+
00.0	88 600'55	52 590' <i>L</i> 2	21 STO.17		OLOS OLO ACQUIRED	0'05 <b>\$</b> '[	2H <b>P</b> KE2:
						INC COM	AOL TIME WARNER
00 0	24 265 75	00 Z5S' <i>l</i>	27 T18,7		ACQUIRED	0 001	SHARES
					AA9 14	HON STOCK,	снивв сокъ, сом
00 0	68 I89'Z	05 16 <b>£</b> 'SI	18,275 59		VCGUIRED	0.005	SH <b>A</b> RES.
					ICK	ота иомноэ	снелкои сокр.,
00 0	77 I87	21,325,12	68 901'5		O SOLD	0.001	SHARES.
28% RATE GAIN/LOSS	CAINZLOSS	TZOS ZZZAA	SALES	DATE SOLDV ACQUIRED		OPERIX	DESCRIPTION OF PR

EGNITY INDEX SURDNA FOUNDATION

07822 VCCOUNT NO 26-81381 01546

COMPAG COMPUTER CORP , COMMON STOCK

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

125

00.0	LS 96L'L	00 £56'b	12,749 57	86-91-10 10-12-90	200.00 SOLD ACQUIRED	SHARES
					LASS A SPECIAL	COMCAST CORP C
00 0	5,030 34	25 280,7	15,115 59	86-51-10 86-51-10	VCGNIKED Sod 00 ZOFD	2H <b>P</b> KE2 ·
					INE CO , COMMON STOCK,	COLGATE-PALMOL \$1 PAR
00 0	-II 060'b	00.852,7	<b>68.7</b> 85,8	10-72-01 86-72-01	VCGUIRED SOLD SOLD	2HARES:
					RPRISES INC COM	COCA COLA ENTE
00.0	-81 469'91	00 910'25	22'218 85	86-91-10 10-42-90	VCGNIKED 800 00 ZOFD	SHARES
					MO	COCA COLA CO C
00.0	-91.229	59.168,5	88'525'\$	10-75-80 86-81-10	100.00 SOLD Acquired	SHARES
					MMON STOCK, #1 PAR	сговох со ' со
00.0	65 689'9	00'905'9	65 <b>566</b> 'TT	16-71-01 10-75-80	SD0.00 SOLD ACQUIRED	SHARES 1
28× RATE SZOJNIAĐ	CAIN/LOSS	C0ST 212A4	SALES PROCEEDS	DATE SOLD/ ACQUIRED	ROPERTY	DESCRIPTION OF P

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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01-01-00 THROUGH 06-50-01

01249 ACCOUNT NO 26-81381 55870 AAA

CONZOLIDATED EDISON INC COMMON STOCK

### FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

123

NIA HAA 4 MA 4	соиосо іис
C CI B COM 21K	
00.0 80.291 51 854.2 18 153.2 10-75-01 00 00 00 00 00 00 00 00 00 00 00 00 0	SHARE
LECHNOFOCA INC	COMNEKSE I
00.0 SOLD 06-27-01 3,797.87 6,404 00 2,606 15- ACQUIRED 01-16-98	SHARE
DOD2 INC	CONVERA FO
ES: 100 00 SOLD 06-27-01 3,446 88 4,351.00 904.12- 00 00 12:49	SHARE
SCIENCES CORP , COMMON STOCK,	COMPUTER S
ES 200.00 SOLD 06-27-01 6,641.77 10,041.50 3,599.75- 86-31-10 ACQUIRED 01-16-98	SHARE
ASSOCIATES INTERNATIONAL, INC ,	
ES· 600.00 SOLD 06-27-01 8,509 72 17,668 50 9,558 78- 0.00	SHARE
DATE SOLDV SALES COST COST COST 28% RATE SOLDV SALES GRIN/LOSS GAIN/LOSS GAIN/LOSS	DESCRIBLION

EQUITY INDEX SURDNA FOUNDATION

OYBSS ACCOUNT NO 26-81381 01546

30A9 254

10-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00,0	05 08I	20.282,1	55 <b>£9</b> 5'I	86-91-10 10-12-90	ACQUIRED SOLD	00 05	SHARES.
					AA9 25.	N 210CK' 46	CKANE CO , COMMO
00 0	19 594'1	25 TOS,S	98 S76, E	86-91-10 10-51-01	SOLD SOLD	100 00	SHARES.
						NEM COM	COSTCO WHSL CORP
00.0	40 0 تا	28.512,5	2,925 90	86-91-10 10-12-90	SOLD SOLD	00.005	SHARES
						ON 210CK	совитие тис сомы
00 0	-£9 900'I	0S 688'b	78.588.5	07-22-01 09-21-01	SOLD ACQUIRED	00'001	SHARES
					HON STOCK	2' INC ' CON	COOPER INDUSTRIE
00'0	-58 <i>SLL'</i> I	SL:119'5	06 968'2	00-21-90 10-22-90	VCONIKED 20rd	00 00T	SHARES
						MO	CONVERGYS CORP C
00.0	- <b>59</b> II	05.686,8	98 77 <b>6</b> ,5	86-91-10 86-91-10	SOLD SOLD	100.00	SHARES
Z8X RATE GAIN/LOSS	SS07/NIV5	COST BASIS	SALES PROCEEDS	VATE SOLD\  GENINGSA		ZINJA	DESCRIBITON OF ERO

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

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**LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

07-01-00 THROUGH 06-30-01

07822 ACCOUNT NO 26-81381 61246

SST PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

				ACQUIRED 01-16-98
00 0	-28 808'Z	91 265'22	16,683 34	SHARES 700 00 SOLD 06-27-01
				DIZNEY WALT CO COM
				HOD OD I IAM YZWZIG
				VCONIKED 11-50-96
00.0	20 772	98 909'Z	5,083 89	SHARES: 200 00 SOLD 06-27-01
				U00 N00 C10 7411000104 TH 1770
				DELPHI AUTOMOTIVE SYS CORP COM
				VCGUIRED 01-16-98
00 0	55'0Z£'II	97 075,6	S0'281 21	SHARES: 800,00 SOLD 06-27-01
				DEFF COMPUTER CORP COMMON STOCK
				86-91-10 GENIKED 01-19-68
00 0	-SI 555'I	00 ZSZ'S	78.967,Z	SHARES, 100 00 SOLD 06-27-01
				DEERE & CO., COMMON STOCK, \$1 PAR
				VC001KED 01-16-98
00 0	1,125.59	55 £82, £	58 809' <del>5</del>	2HARES: 100 00 SOLD 06-27-01
				DIE ENERGY CO COMMON STOCK
				ACQUIRED 01-22-98
00 0	99 SSI	67.702,1	59 £95'[	SHARES: 50 00 SOLD 06-27-01
		-		
CAINZLOSS	SS07/NIV9	SISAB	PROCEEDS	ESCRIBLION OF PROPERTY ACQUIRED
STAN %8S		C021	SALES	DATE SOLD

**AA9 02.**♦ DOLLAR GENERAL CORP., COMMON STOCK,

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381 SS870 AAB

**\$2 50 PAR** 

EASTMAN KODAK CO , COMMON STOCK,

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

9**5** t

28% RATE GAIN/LOSS	SSOT/NIVO	003 212 <u>A4</u>	SALES	DATE SOLDV	ERTY	DESCRIBLION OF PROP
00 0	-01,722	20 722,2	1,809.93		TOO OO SOCD	SHARES
					АМ ИЕМ СОН	DOWINION BES INC
00 0	21 250,2	۲۶ 8۶0'b	62 DII'9		JOO OO ZOFD	SHARES
					соннои глоск	#S SO PAR DOW CHEMICAL CO ,
00.0	99 586	00 202'6	99.781,01		SOLD SOLD SOLD	SHARES:
					HOURS & CO COM STK	DU PONT E I DE NE
00 0	18.025,8	17 178,2	25.501,91		200 00 SOLD	2H∀KE2:
					сомнои втк	рике еневех совь
00 D	86 259'Z	27.024,2	\$4 \$50'B		SOO OO SOFD	SHARES:
						ЕМС СОВР СОМ СОМ
00 0	05 622'91	56 £55'£	95 589,91		A00 00 ACQUIRED	, SBRAH2

EGNITY INDEX SURDNA FOUNDATION

01888 ACCOUNT NO. 26-81381 65210

ENBON COBP COM

07-01-00 THROUGH 06-30-01 PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00 0	50 SZ	51 011,8	62 561'9	86-91-10 10-22-90	VCGUIRED 20LD	00 001	2HARES
				τι	1 210CK)	с со ' соннои	EMERSON ELECTRIC
00 0	65.846,5	00 675,8	65 /22,51		VCONIKED SOLD	200 00	SHARES.
					COM	2A2 COBЬ NEM	ELECTRONIC DATA
00 0	26.282.7	ZL LL9'Z	59 <b>192'0</b> 1	96-90-01 10-22-90	VCONIKED 20FD	200 00	SHARES
						h	EL PASO CORP COI
00 0	t9	52'85 <i>L'</i> Z	98 £50,6	86-22-10 10-25-01	VCGNIKED 20FD	00 001	SHARES,
						ом зтоск	ECOLAB INC COMMO
00 0	SS 148,S	<b>75 £05</b>	91 550'1		VCGNIKED 20rd	100 00	2H <b>V</b> KE2·
						чмои зтоск,	EATON CORP , CON
00.0	-99 217.1	05 652'9	58:9Z5'5		VCONIKED 20FD	100.00	SHARES
28% RATE CAIN/LOSS	GAINZLOSS	COST BASIS	<u>SWOCEEDS</u> SWEES	DATE SOLD/ ACQUIRED		SEERTX	DESCRIBLION OF ERC

### SURDNA FOUNDATION EQUITY INDEX

8AA 07822 ACCOUNT NO. 26-81381 01546

85 T PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

00.0	00.70p,p	05'991'6	42.878.84	86-91-10 86-51-01	VCOUIRED SOLD	200 00	SHARES:
						эммом гтоск	FHLMC VOTING CO
00 0	67 262	aa <i>LL</i> 9'S	61 010,8	86-91-10 10-12-90	ZOFD ZOFD	aa aat	238 <b>4</b> K2
					CK	: ' соммом вто	F P L GROUP INC
00.0	76 <b>78</b> 7	08 560'9	TT 288,5	16-50-50 10-12-90	VCONIBED 20rd	00 00t	SHARES
					, ядч	10N STOCK, HO	(NEM) EWC COKE ' COWN
00'0	S0 055'8Z	SL S95'L9	08 506'56	86-91-10 10-22-90	VCGNIKED 20rd	00 001'1	SHARES
						моэ д	EXXON WOBIF COM
00 0	£8 £90'b	2,333 25	87 398,3	86-22-10 10-22-90	VCONIBED 20rd	700 00	SHARES
							ЕХЕГОИ СОВЬ СОЖ
00 0	81 272,2	05.250,2	89 722,6	86-91-10 10-22-90	VCONIKED 20FD	200 00	SHARES
S201\NIA2 GALUKLOSS	<b>EVINTOSS</b>	COST BASIS	SALES PROCEEDS	DATE SOLD/ ACQUÍRED		OPERTY	DESCRIPTION OF PR

**ENMA COM STOCK** 

6**5** T

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07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** EQUITY INDEX 01888 ACCOUNT NO. 26-81381 SURDIA FOUNDATION 01546

00 0	77 ZIO,2	00 756,5	LL 059'9	86-91-10 10-53-01	ACQUIRED SOLD	00 001	SHARES
					<b>X</b>	соннои втось	FIRST DATA CORP
00.0	82.127,2	11'990'9	65 TIB,01	86-22-10 10-22-10	SOLD ACQUIRED	06 STI	SHARES
00.0	SI 199	S50 38	01.582,1	86-91-10 86-91-10	SOLD SOLD	0 T bZ	SHARES.
					A STOCK,	окь , соммоя	FIFTH THIRD BANC
00.0	12 p62	09 Z8Z'S	78 973, E	86-91-10 10-12-90	ACQUIRED SOLD	00.001	SHARES
							<b>LEDEX CORP COM</b>
00.0	98 509	00 905'\$	98 011'5	10-72-80 79-10-70	VCONIBED SOFD	00 001	SHARES
					ег соммои	TORES INC DE	FEDERATED DEPT S
00.0	59.980,7	05 892'81	ST 322° 12	86-91-10 10-22-90	ACQUIRED SOLD	00.005	SHARES
28% RATE GAIN/LOSS	SSOTZNIVO	7203 2124 <u>a</u>	SALES	VATE SOLD/ ACQUIRED		<b>EERIX</b>	DESCRIPTION OF PRO

CLOBAL CROSSING LTD COM

09 T

PAGE

#### EQUITY INDEX SURDIA FOUNDATION

AAA OZBSS ACCOUNT NO 26-81581 01546

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKYT SCHEDNTE OF GAINS AND LOSSES

00 0	-88 55[	27 267,8	₹8 028,&	86-91-10 10-22-90	SOLD SOLD	00.001	SHARES
						NC COM SIK	FORTUNE BRANDS
00 0	11,285,1	ነፍ ድፁዐ ነጋ፤	89,788,21	10-12-90 10-12-90	ZOLD SOLD	00,002	SHARES
					LOCK	сокь сом а	FLEETBOSTON FINE
00 0	91 048	2, 850 15	68 0/I'S	07-18-68 08-51-01	SOLD SOLD	00 001	SHARES.
						коэ (	FIRSTENERGY CORF
00.0	62.383	02 007,S	68 985'5	10-12-90 10-22-90	SOLD ACQUIRED	00.001	SHARES
00 0	11.262, £	00 841'5	LL \$LL'9	56-21-01 10-12-90	SOLD SOLD	200.00	2HPKE2:
							#REORG FIRST UNI
00.0	-St 161,1	99.867.2	16 009'Z	86-ZZ-TD T0-LZ-90	VCGNIKED 20rd	00.002	SHARES.
28% RATE <u>Cain/Loss</u>	CPINTEOSS	COST	SWOCEEDS SVIES	DATE SOLD\ ACQUIRED		PERIX	DESCRIBLION OF BRO

FRANKLIN RES INC COMMON STOCK,

EGNITY INDEX SURDIA FOUNDATION

OLBSS ACCOUNT NO. 26-81581 65210

\$1 2/2 PAR

CENERAL MOTORS CORP COMMON STOCK,

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

191

00.0	09 629	52 788,8	28.875,4		SOLI SOLI	00 001	SARRE
				SL 4	SIOCK' (	тис., соммои	GENERAL MILLS,
00.0	22.602,27	52.158,87	58 D££'55[		SOLI SOLI	00 002'9	2H <b>B</b> KE21
						COM	CENERAL ELEC CO
00 0	-55.056,1	05 526'Z	56 777,1		PCGUIREI SOLI	00 001	SHARES
						1	GATEWAY INC COM
0 D	20.888.8	19'111'5	07.527,8		PCGUIREI 20LI	200 00	SHARES
							GAP INC COM
00.0	£2,722	5Z 858'S	87 212,3		PCGUIREI SOLI	00'001	SHARES:
						1	евииетт іис сом
00 0	-91 986	00 £05'5	<b>58 995'</b> 5		SOLI SOLI	00 001	SARAHS
S8% RATE S201\NIA9	CVINCEOSS	TSOO Basis	SALES PROCEEDS	DATE SOLD/		YINERIX	DESCRIPTION OF PR

# The Northern Trust Company 50 South LaSalle Street, Chicago, Illinois 60675

#### EQUITY INDEX SURDNA FOUNDATION

ACCOUNT NO 26-81381

01822 01546

HALLIBURTON CO COM

29 T PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	T4 689'Z	66.870,8	07 <b>2</b> 37,8	76-10-80 10-72-80	VCGUIRED SOLD	200 00	SHARES
							HCA INC COM
00 0	88.589	00.106,5	2,583 88	86-91-10 10-22-90	SOLD ACQUIRED	100 00	SHARES
						M STK NO PAR	CUIDANT CORP COI
00.0	-11.827,8	88 655,21	17.162,8	86-91 <b>-</b> 10 10-42 <b>-</b> 90	CONIKED COLD	200 00	SHARES
					#1 PAR	оммои зтоск,	GILLETTE CO , C
00 0	85 OT	98.71	<b>55 BZ</b>	86-22-10 10-22-90	SOLD SOLD	<b>&gt;8.</b> 0	SHARES
00.0	85.133	90 961'2	pp 128.8	86-91-10 10-22-90	ZOLD ACQUIRED	91 66	SHARES
				d	PAC GROU	COM-GEORGIA	GEORGIA PAC COR
00.0	-95.195	52 888.8	5,021.89	86-91-10 10-22-90	VCONIKED ZOFD	100 00	. 23AAH2
						о сом	GENUINE PARTS C
00 0	67.602.Z	61. F05, 6	12,517 58	96-92-11 10-12-90	ZOLD ACQUIRED	00,005	SHARES
Z8X RATE <u>Gain/Loss</u>	SSOTZNIVS	SISA8 BASIS	STEEDS SVCEEDS	DATE SOLD/		<u>YTA3990</u>	DESCRIPTION OF PRO

SURDNA FOUNDATION EQUITY INDEX

01249 01888 ACCOUNT NO 26-81581

192	07-01-00 THROUGH 06-50-01
aoag	ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
	FEDERAL SCHEDULE OF GAINS AND LOSSES

28% RATE GAIN/LOSS	CAINZLOSS	COST EASIS	PROCEEDS.	DATE SOLD\ ACQUIRED	<b>SERIX</b>	DESCRIBLION OF PRO
00.0	-76.012	48.S7S.A	78.138,E	86-91-10 GE3110 86-51-01 GP-52-01	00.001 AC	SHARES
				АЗН МЕКСЕК	CENEKGAL INC C	EFF 7/12/01 #REORG HARCOURT (
00 0	22 05S'S	00 659'LT	ZZ 661'£Z	86-22-10 QE-108 20-10 09-51-01	00.00p	SHARES
					INC COM	HARLEY DAVIDSON
00 0	1,247 83	00 SSS'S	58.997,2	00-82-00 20FD 09-51-01	00.00t AC	SHARES
				MO:	са еколь імс с	HARTFORD FINL SV
00 0	91 258,S	00.521,6	91 816'9	ONIKED 10-20-61 20-00-00-01	100.00 AC	SHARES
					мом зтоск	HEINS H 7 CO COM
00 0	-91 292'1	00.502,2	98.951,6	86-91-10 D31100	700 00	SHARES
				OCK 1 01	со'' соннои гі	HEWLETT-PACKARD
00 0	65 019	TO 655,SI	12,209 56	86-91-10 01-186-88 20-00 08-27-01	00.002 AC	SHARES

EQUITY INDEX SURDNA FOUNDATION

8AA 04888 ACCOUNT NO 26-81381 01546

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

99 I

						г ис сом	ILLINOIS TOOL WK
00 0	55 \$56	28.378,1	06 628'2	86-91-10 10-12-90	SOLD ACQUIRED	00 001	SHARES,
						M STK	IMS HEALTH INC C
00 0	01 055'1	57.240, <i>E</i>	58 56b'b	86-22-10 10-12-90	SOLD SOLD	00.001	SBAHS
						MON STOCK	III INDS INC COM
00 0	81.729,5	09.619,5	87.332,3	86-91-10 10-22-90	SOLD ACQUIRED	00.001	SHARES.
					у соммои	ONI JANOITA	HOUSEHOLD INTERN
00.0	52.52	05 145,7	27.262,7	86-91-10 10-12-90	VCGNIKED 20rd	200 00	SHARES
						NC COM STK	HONEYWELL INTL I
00'0	28,384,83	26.650,6	51 p2p,12	10-12-90	ACQUIRED SOLD	00.008	SHARES
					(X)	соннои гтос	HOME DEPOT INC.,
00.0	07 <b>60</b> 5	12 286,5	16 159'Z	86-ZZ-10 10-ZZ-90	VCONIBED 20rd	00.001	SHARES
SBX RATE SZDJNIA2	GAIN/LOSS	C057 212 <u>A</u> 4	20332089	DATE SOLD/		PERTY	DESCRIBLION OF PRO

### The Northern Trust Company of South Lassalle Street, Chicago, Illinois 60673

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381 SS870 ACCOUNT NO 26-81381

FEDERAL SCHEDULE OF GAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD
07-01-00 THROUGH 06-50-01

59 T

PAGE

00.0	-15 852	75.292,T	91 85111	ARRES: 200 00 SOLD 06-27-01 ACQUIRED 01-16-98	łS
				NOMMOD OD RAPER CO COMMON ST PAR IS	INTERNA STOCK (
00.0	PS 751,61	29.816,41	98 ፭ታዐ'ታኗ	ARES 300.00 SOLD 01-22-98	ıs
00.0	19 112'81	15,734 25	98 550'56	ACQUIRED 01-16-98 ACQUIRED 01-16-98	ıs
				MIIONAL BUSINESS MACHS CORP COM	INTERN
00.0	55 058,8	05 958,7	29.654.11	ARES. 400 00 SOLD 06-27-01 ACQUIRED 12-08-97	łS
00 0	57.026,2	SI.824,8S	58 8/2/52	ARES: 1,200 00 SOLD 06-27-01 ACQUIRED 10-08-97	ıs
00.0	26.291,5	09 621'21	25.45£,91	ACQUIKED 06-27-01 ACQUIKED 09-09-97	łs
				SORP CAPITAL STOCK #.001 PAR	INTEL
00 0	87.827	29'9	87.065,6	ACQUIRED 01-16-98 ACQUIRED 01-16-98	ıs
28% RATE Gain/Loss	<u>evin</u> toss	T203 SISA4	SALES PROCEEDS	DATE SOLD/ ON OF PROPERTY ACQUIRED	DESCRIPTI

COMMON SLOCK ¢ 10 PAR INTERPUBLIC GROUP OF COMPANIES INC.

**EQUITY INDEX** SURDNA FOUNDATION

BAA 02855 ACCOUNT NO 26-81381 67210

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

99 I

PAGE

00 0	-89 ££5'[	05 685'9	28'551'5	SHARES 200 00 SOLD 06-27-01 ACQUIRED 01-16-98	
				ОКЬ ИЕМ СОМИОИ 21ОСК	KEAC
00.0	-21 511'5	26 218'6	08 769,2	SHARES: 200.00 SOLD 06-27-01 ACQUIRED 01-16-98	.aaau
				осс со. соммои stock 4.50 РАК	KELL
00.0	98 920'ST	\$9.452,08	72.103.2p	SHARES: 900 00 SOLD 06-27-01 ACQUIRED 01-16-98	
				SON & JOHNSON COMMON STOCK #1 PAR	иног
00 0	17.782,1	90'555'5	28 528,2	SHARES. 100 00 SOLD 01-22-98	
				ERSON-PILOT CORP COMMON STOCK 5 PAR	
00 0	II 682'S	00,201,15	11 262,35	SHARES: 600 00 SOLD 06-27-01 ACQUIRED 01-16-98	
				MOKCAN CHASE & CO COM	чı
00 0	87 502	21.202,S	06'908'Z	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-22-98	
28% RATE Gain/Loss	CAINZLOSS	T203 SI2A4	PROCEEDS SALES	DATE SOLDY  ACQUIRED  ACQUIRED	DEZCKT

KIMBERLY-CLARK CORP COMMON STOCK

8A9 2#

EQUITY INDEX SURDNA FOUNDATION

ACCOUNT NO 26-81581

8AA 07822 65210

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

49 I

PAGE

				B6-91-10	ACQUIRED		
00.0	10.537,5	00.258,85	10.29,62	10-75-80	פסרם	00 005	SHARES
						WOO	פרו רוררג ז כס כ
			44	08-12-99	ACQUIRED		
00.0	-96 <i>LL</i> b	St 169'9	67 915,8	10-42-90	гого	00.001	SHARES
						C NEM CT V	LEXMARK INTL IN
				96-90-21	ACQUIRED		
00 0	65 L90'9	52 65b'l	bL 925'L	10-12-90	аого	00.001	SHARES
					M	HI DGS INC CO	LEHMAN BROTHERS
				86-12-60	ACQUIRED		
00 0	99 692'S	21 672,5	67 8PI,8	10-72-80	alos	00 00 I	SHARES
						V20.10 110	
						ON STOCK	конга совь сомм
				01-22-68	ACQUIRED	•	
00,0	LT 196'T	18 619'S	b1 0 <b>59</b> ,7	10-72-80	anos	200 00	SHARES
							(
					ЯAЧ	MON STOCK, #1	квосев со , сом
				86-91-10	ACQUIRED	•	
00 0	90 925	SL 561'S	18 129'9	10-72-80	SOLD	100 00	SHARES
2 W A W AT VILLY	**********	ATAUX	BASSAVII	44/14VP		7 1 1 7 7 7	NIT JATE I TONASTA
28% RATE Gain/Loss	CAIN/LOSS	COST <u>BASIS</u>	<u>SKOCEEDZ</u> SVFEZ	DATE SOLD/		VIETV	DESCRIPTION OF PRO
DIAG VEC		1500	55, 25	7 5 7 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5			

LIMITED INC., COMMON STK, NO PAR

EQUITY INDEX NOITAGNUOT ANGRUS

07822 ACCOUNT NO 26-81381 65210

07-01-00 THROUGH 06-30-01 89 T PAGE ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00.0	02.230,01	00 259'5	0S S0L'bI	86-91-10 10-22-90	VCONIKED 20FD	00.002	2H <b>P</b> KE2·
					8A9 D2.4	оннои зтоск	COMEZ COZ INC C
00.0	6Z 0S0'l	05 522'5	67.275,3	16-21-21 06-27-01	VCONIKED 20FD	00'001	SHARES
							LOEWS CORP COM
00 0	-26.778,1	28 826'5	88 095'2	16-50-11 10-22-90	SOLD SOLD	00 00τ	SHARES
						MOD 4800	LOCKHEED MARTIN
00.0	-20 621'1	88.966,2	58,728,2	02-21-00 09-21-01	VCGUIRED SOLD	00 001	SHARES
						73Q d	LINEAR TECH COR
00.0	07 Sp8,1	£1 627, E	88.170,2	86-91-10 10-22-90	SOLD SOLD	00.001	SHARES
					ON STOCK	г совь сомис	LINCOLN NATIONAL No Par
00 0	\$0.758	98'992'2	2,095 89	07-22-01 09-21-01	VCONIKED 20FD	200 00	2HVBE21
28% RATE Gain/Loss	<u>eain/loss</u>	T203 <u>SISA6</u>	SALES PROCEEDS	DATE SOLDV		DEERIK	DESCRIPTION OF PR

LUCENT TECHNOLOGIES INC COM STOCK

50 South Lasalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381 SSS70 AAA 07822

STOCK, ¢ 50 PAR

MAY DEPARTMENT STORES CO , COMMON

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

00 0	-12 916'I	90 99219	28.722,2	KED 02-08-00 OCD 02-51-01	100.00 S Acqui	SHARES
				STOCK	ькове інс соммон	MAXIM INTEGRATED
00 0	-28 660'Z	21.229,8	£6 598'I	86-91-10 033 OCD 09-51-01	2 00 001 Iupoa	SHARES
					тоск	MATTEL INC COM S
00 0	-21 31	29:165,5	16 525'2	KED 01-19-88 Ofd 09-51-01	IOO.OO S Acqui	SHARES
					MAS TOCK, \$1 PAR	MASCO CORP COMM
00 0	SI 585'S	05 088'5	59 592'01	86-91-10 G38 10-22-90 G70	YCO OO Z	SHARES
				NO	'N CO'S INC , COMM	STOCK, #1 PAR
00.0	78 878,E	00 678,2	L9:55L'6	86-91-10 C33 Ord 06-27-01	S 00 00£ Iupja	SHARES:
					210CK	МВИА СОКР СОМИОН
00 0	-09 205'5[	51,415 36	94 400,4	ИЕD 01-19-68 ОГD 09-51-01	S 00 005,1 IUDDA	SARAHS
28% RATE GAIN/LOSS	SSOJNITO	COST STSVI	BROCEEDS 24res	DATE SOLD	DERIX	DESCRIBITON OF PRO

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381

WERCK & CO INC , COMMON STOCK, # 02 7/9

FEDERAL SCHEDULE OF GAINS AND LOSSES

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

O7-01-00 THROUGH 06-30-01

00 0	02 <b>518</b> 'Z	05 680'9	07 Z28,8	SHARES: 200.00 50LD 06-27-01 ACQUIRED 01-16-98
				<b>МЕГГОИ ЕІИГ СОВЬ СОМ</b>
00.0	85.017,8	00 629'6	85.925,81	SHARES1 400,000 SOLD 06-27-01 ACQUIRED 01-16-98
				# 10 PAR Medtrohic, Inc., common stock,
00 0	- 15 590'Z	52'185'9	58.3IZ.P	YCGNIKED 00-12-00 2HYKE2: 100 00 20FD 00-51-01
				MEDIWWINE INC COWWON STOCK
00 0	2,732 03	51, pp1, 2	87 372,3	SHARES 100 00 SOLD 06-27-01
				HCGRAW HILL COMPANIES INC COM
00.0	91 SZ8'T	88 598'11	P2.627,21	SHARES 500 00 SOLD 06-27-01
				MC DONALDS CORP COMMON STOCK, NO PAR
00 0	-92 552	92 628,8	68 692'\$	SHARES 100 00 SOLD 06-27-01
28% RATE GAIN/LOSS	CAIN/LOSS	COST BASIS	SALES PROCEEDS	ESCRIPTION OF PROPERTY ACQUIRED

50 South LaSalle Street, Chicago, Illinois 60675 (312)

SURDHA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO. 26-81381 SS870 AAB

MIRANT CORP COM

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 10-01-01 THROUGH 06-50-01

111

28% RATE GAIN/LOSS	<u>e</u> ¥IN∕Loss	COST BASISA	SACCEEDS SALES	DATE SOLD		SEERLY	DESCRIBLION OF PRO
00 0	<b>90                                    </b>	0 <i>p</i> 872,pS	95.226,22	96-02-60 10-22-90	VCGUIRED SOLD	00 00Ł	2HARES:
						H	МЕКЕВІТН СОКР СС
00 0	228 12-	00 178,2	88 85512	01-16-98 06-27-01	VCONIKED 20FD	οο σοτ	SHARES
					сониои		STOCK, ¢1 33 1/3 MERRILL LYNCH &
00 0	55 \$25'L	18 520'0I	54 848,71	86-91-10 10-22-90	SOLD ACQUIRED	200 00	2HVKE2.
				0/ 01 T0	gay tabou	HO:	MICROSOFT CORP C
00 0	£9 516'66	28,133 10	128,047 75	96-21 <b>-</b> 80 10-22 <b>-</b> 90	VCÓNIKED 20FD	00 008'T	, SBRAH2
						'.' INC'' C	MICRON TECHNOLOG
00.0	27 162,5	1,479 15	38,079,č	86-9T-T0 T0-22-90	VCONIKED 20FD	00.00I	SHARES
				4.4	OS SHIRUTSA		MINNESOTA MINING
00 0	11.530,5	05 592'8	19 925'11	10-72-90	VCONIKED SOLD	00 00T	SHARES:

EQUITY INDEX SURDIA FOUNDATION

BAA 07822 ACCOUNT NO 26-81581 81548

NEMELL RUBBERMAID INC COM

PAGE 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	Z6 9Z	88.826.2	08 S16'S	SHARES. 200 00 SOLD 01-22-98
				MOD 9700 YTIO JANOITAN
00 0	-80 667,5	19 828'bl	15,039 59	SHARES. 800 00 SOLD 06-27-01 ACQUIRED 01-16-98
				MOTOROLA INC , COMMON STOCK, #5 PAR
00 0	99 508'ST	05 [52'][	91	SHARES: 400 00 SOLD 06-27-01 ACQUIRED 01-16-98
				ISIN #6174464486 Horgan Stanley Dean Witter & Co Com New
00 0	-11 694	00 050' <del>5</del>	68 DYS, &	PCGUIRED 11-30-99 SHARES 100 00 20LD 06-27-01
				MOLEX INC , COMMON STOCK, 4.05 PAR
00 0	90.977	58 626'ī	16 551,5	SHARES. 81 37 SOLD 06-27-01 Acquired 01-22-98
00.0	89 860'T	81.919,5	98.710, <i>p</i>	SHARES. 118 63 SOLD 06-27-01
28% RATE GAIN/LOSS	EVINTEGES	T202 212 <u>A8</u>	SALES PROCEEDS	DATE SOLD DATE SOLD ACQUIRED

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81381 65210

ORACLE CORPORATION COM

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	05 DII	05 029'2	2,730 90	NES 100 00 SOLD 06-27-01 ACQUIRED 01-16-98	/HS
				AL PETE CORP COM	OCCIDEN
00 0	-DZ 99\$'T	80 SS7,A	88.252,8	NES 400 00 SOLD 01-16-98	#HS
00.0	-26.295,1	27 368,3	£8 ££0'5	NES 600 00 SOLD 01-16-98	#HS
				IELMOBKS COBS NEM COM	NORTEL N
00 0	-\$1 581'Z	00 610'9	78 268,Z	10-75-01 SOLD 06-27-01 ACQUIRED 01-16-98	/HS
				золтни сокь сом	NORFOLK
00 0	09 551	52 801'b	58 292'5	NES: 100 00 SOLD 06-27-01 ACQUIRED 01-16-98	#HS
				, CLASS B COMMON STOCK,	NIKE INC
00.0	- 19.545	2,362 50	88.610,8	NES: 200 00 SOLD 06-21-98 ACQUIRED 03-31-98	#HS
				COMMUNICATIONS INC CL A COM STK	NEXTEL C
00.0	-91 681,1	<b>19 £</b> 51'5	16 £0p,5	VCGUIRED 01-16-98 RES 100 00 SOLD 06-27-01	#HS
ZB% RATE GEIN/LOSS	CAINZLOSS	TSOS BASIS	SALES	DATE SOLD.  ACQUIRED  ACQUIRED	DESCRIPTIO

EGULTY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO 26-81381 01546

PEOPLESOFT, INC, COM

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07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	-60 255'\$	00 206'S	16 655'2	10-72-80 86-81-10	JOO OO SOFD	SHARES
					ис , соммои зтоск,	FEMMEY, J.C., CO., II
00 0	02 215'1	762,S	78 >08.2		J00.00 SOLD ACQUIRED	2HARES.
					4 210CK	PAYCHEX INC , COMMOI
00.0	-12 678,1	91 S0£'£	56 SZb'[		VCCONIKED 20FD	2HARES 1
						PACTIV CORP COM
00 0	1,547 78	00 755,2	87.272,3		YCONIKED 100 00 ZOFD	SHARES.
					CES GROUP COM STK	PHC FINANCIAL SERVI
00.0	- <b>૧</b> ৮ કોઇ	SZ 809'S	28 261'5	<del>-</del>	TOO OO SOFD	SHARES:
					, соммои зтоск,	♦1 ee Z\2 PAR PPG INDUSTRIES INC
				86-91-10	ACQUIRED	
00 0	09 811'52	72.842,2	76 333,02	10-72-80	Q10S 00 00Z'	SHARES I
28% RATE <u>Grin</u> kloss	CAIN/LOSS	T203 <u>212A4</u>	SALES PROCEEDS	DATE SOLD\ ACQUIRED	TI.	DESCRIPTION OF PROPER

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION EQUITY INDEX 01249 ACCOUNT NO 26-81381

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

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PAGE

00 0	-6£ 980'Z	52 281'9	98 960'b	SHARES: 100.00 SOLD 06-27-01 ACQUIRED 01-22-98
				PHELPS DODGE CORP , CAPITAL STOCK,
00 0	57 198,01	07.272,8	55.215,51	SHARES: 400 00 SOLD 06-27-01 86-31-10 ACQUIRED 01-16-98
00.0	99 SII., TS	00 205'25	<b>99 519'0</b> 1	SHARES: 1,700 00 SOLD 06-27-01 ACQUIRED 01-16-98
				PFIZER INC., COMMON STOCK, ♦ 11 1/9 PAR
00 0	16 618'4	99 SS8'II	7. 278,81	SHARES 400 00 SOLD 06-27-01 ACQUIRED 01-16-98
				MOD 9800 AIDAMARHS
00 0	65 061'5	87 872,81	<b>52</b> ' 699 <b>'</b> 22	SHARES 500.00 SOLD 06-27-01 ACQUIRED 01-16-98
				PEPSICO INC COM
00 0	P8.87S,1	00 092'\$	p8 £25'p	SHARES 100 00 SOLD 06-27-01 ACQUIRED 10-01-98
S8% RATE Grin/Loss	<u>eath/loss</u>	COST BASIS	SALES PROCEEDS	DESCRIPTION OF PROPERTY ACQUIRED

PHILIP MORRIS COS INC COM

SURDNA FOUNDATION

AAA 07828 ACCOUNT NO 26-81381 65210

PROGRESS ENERGY INC COM

EGNILA INDEX

9 L T 01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

00.0	-58.855.9	21,583 00	21 798,25	SHARES: 400 00 SOLD 06-27-01 ACQUIRED 01-16-98
				PROCTER & GAMBLE CO., COMMON STOCK, NO
00 0	- <b>&gt;</b> 1 082	00 205'5	98 120'5	SHARES, 100 00 SOLD 06-27-01 ACQUIRED 01-22-98
				PITHEY BOWES INC , COMMON STOCK, #2 PAR
00 0	-11 755	00 0Sb'\$	3,122 89	2HARES: 100 00 SOLD 08-27-98
				REGIONS FINANCIAL CORP COM
00'0	12,890,1	05 £65'5	18.162,3	SHARES: 100 00 SOLD 06-27-01
				PHILLIPS PETROLEUM CO COMMON STOCK,
00 0	81'995'1	5\$ 151,51	22 221'51	SHARES: 500 00 SOLD 06-27-01 ACQUIRED 07-15-97
40 0	B1 771 1	31 232 21	15 161 91	
00.0	25 502	13,818 00	14,125 55	SHARES 500 00 SOLD 06-27-01 Acquired 06-17-97
00.0	נוי וא	£7.333,2	<b>28.</b> 707,2	SHARES; 100 00 SOLD 06-16-97
S8% RATE <u>Cainvias</u>	<u>evinktoss</u>	SISA8 COST	PROCEEDS SALES	SCRIPTION OF PROPERTY ACQUIRED

EGUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81381 01546

LLI PAGE

07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

90 0	02.530,£	16 185'11	12'866 21	SHARES 420 93 SOLD 06-27-01 ACQUIRED 01-16-98	
				EST COMMUNICATIONS INTL INC COM	MD
00 0	95 026'5	88.327,11	<b>55 199'9</b> [	SHARES 300 00 SOLD 06-27-01 ACQUIRED 07-21-99	
				ALCOMM INC COM	UP
00 D	2,882 19	6,239 50	69,121,6	SHARES. 100 00 SOLD 06-27-01 ACQUIRED 01-16-98	
				PSICO INC 2710850 EFF 8/2/01 Eorg durker opis co sik mercer to	
00.0	80 157,1	51 Sp1,8	£8 998'b	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-16-98	
				HMON 210CK Bric Service Enterprise Group inc ,	
00.0	Z£ 998'£	05 125'1	28.78č,2	SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-16-98	
				OAIDIVH EINF CORP COM	Яd
00.0	58 TZT	00.771,2	58 858'5	SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-16-98	
28% RATE Gain/Loss	CAIN/LOSS	SISA4	SALES PROCEEDS	DATE SOLD YER PROPERTY ACQUIRED	DESC

EQUITY INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81381 01546

SBC COMMUNICATIONS INC COM

10-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

8 L T

00.0	£1 926'b	05 t05'9£	£9 \Z6'05	SHARES 00 00T SOLD 06-27-01 ACQUIRED 01-16-98
				ROYAL DUTCH PETROLEUM N Y REGISTRY SH Par n 1 25 gldr
00 0	bī 995	21 012,S	68'9\$1'\$	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
				RELIANT ENERGY INC COM
00 0	-09 082'Z	0S 510'S	2,735 90	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
				KAYTHEON CO COM NEW
00.0	<b>ታ</b> ታ	S6 811,5	68.76 <b>6</b> ,S	SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
				RALSTON-RALSTON PURINA GROUP
00'0	77.720,1	<b>ΣΙ 6</b> Ζ <b>Υ'Ι</b>	06 98 <i>L</i> 'Z	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
				RADIOSHACK CORP COM
00 0	28.722	22 718,1	81 275,5	SHARES: 79.07 SOLD 06-27-01 ACQUIRED 01-22-98
28% RATE SZOJNIA2	<u>eptnyloss</u>	2124 <u>8</u> 2021	SALES	SCRIPTION OF PROPERTY ACREED SOLD

EQUITY INDEX SURDNA FOUNDATION

DYBSS ACCOUNT NO 26-81381 01546

**PAR** 

SCHERING-PLOUGH CORP. COMMON STOCK, \$1

10-01-00 THROUGH 06-50-01 6 L T ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD PAGE LEDEKAL SCHEDULE OF GAINS AND LOSSES

00.0	-92:926' [	£1 0£9'S	<b>78.207,</b> 2	86-22-10 10-12-90	S00.00 SOLD ACQUIRED	SHARES
					COMMON STOCK	SARA LEE CORP , C
00 0	72. <b>7</b> 19	22.282, <i>p</i>	Z8 66T'S	86-22-10 10- <i>1</i> 2-90	ACQUIRED SOLD SOLD	SERANZ COS INC C
00.0	09.788,1	PS.191,8	<b>58 585</b> '5	96-51-20 10-75-80	FOO 00 PCCOLIKED	SHARES
						SABRE HLDGS CORP
00 0	-16 514	51 869,2	p8 877,p	86-21-11 10-42-90	YCONIKED	2HVKE2:
00 0	-91 121	00 005'S	98.877,A	86-ZI-II 10-LZ-90	JOO OO SOLD	SHARES
					N STOCK NEW	SAFEWAY INC COMMO
00 0	· [[ 958'[	00.528,4	88.700,5	86-91-10 10-22-90	100.00 SOLD ACQUIRED	SHARES
					MON STOCK, 45 PAR	SAFECO CORP , COM
00,0	81 159'51	08 TIT, pS	89 895,65	96-61-80 10- <i>L</i> 2-90	1,000 00 SOLD ACQUIRED	SHARES:
S8% RATE	SSD77NI¥9	1202 212 <u>A</u> 4	PROCEEDS SALES	DATE SOLD/	SERTY.	DESCRIPTION OF PROF

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

07-01-00 THROUGH 06-30-01

BAA 07822 ACCOUNT NO 26-81381 69210

**ЗОГЕСТВОИ СОВР СОМИОИ STOCK** 

TRANSOCEAN SEDCO FOREX INC COM

SHARES:

SHARES

00.001

100 00

VCGNIKED 01-55-88

ACQUIRED 05-04-00

10-12-90 d70S

10-42-90 GTOS

EGULTY INDEX

					MC	SIEBEF 212 INC CO
00 0	-85 bZT	bb 661'b	98 510'5	·	VCGUIRED	SHARES
					40 со: соммои зтоск	# 75 PAR Seaks, Roebuck Ai
00.0	28.07 <b>6</b> ,2	68,840,4	8, 210,8		VCONIKED 200 00 COFD	. SHARES.
					ОВЬ СОННОИ ЗІОСК ИЕМ	SCHMAB CHARLES CO
00.0	-61 159'1	10 256'9	S8,198,2		100,00 SOLD Acquired	SHARES
				98	COM ISIN ANBOGREATO	SCHLUMBERGER LTD
00.0	92'151'1	21.888,71	18,474 58		F00.00 SOLD ACQUIRED	SHARES
28% RATE SZOJNIAD	CAIN/LOSS	COST EASTA	SACCEEDS SALES	DATE SOLD/ ACQUIRED	EERIX	DESCRIPTION OF PROP

SB [62'5

58 515'5

242 23

-18 401'2

**966'**Ω

99 22519

00.0

00.0

7 8 O PAGE

EGUITY INDEX SURDNA FOUNDATION

8AA 07822 ACCOUNT NO. 26-81381 65210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEBAL SCHEDULE OF GAINS AND LOSSES

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PAGE

00,0	98 058'5	15 505'I	LL'559'9	SOLD 06-27-01	00 00£	2H <b>V</b> KE2:
					SCZ COW ZEK I	SPRINT CORP
00.0	LL LSS	Z0 509'S	64 191'9	SOLD 06-27-01	200 00	2HARES:
					MOS	SPRINT CORP
00.0	81 826'1	07.912,1	88 732,8	SOLD 01-16-98	00.005	SHARES
				MON STOCK, #1	BLINES CO , COM	SOUTHWEST AIS
	72 1011	25.126.6	70174716	ACQUIRED 02-26-99	441447	LOZNANO
00 0	1,154 32	02.786,8	28.191.2	10-12-90 070S	00.002	SHARES
				OCK' #5 20	та номмоэ , чяс	SOUTHTRUST C
				ACQUIRED 01-16-98		
00.0	£9.299, I	15 STO.2	98.717,A	20rD 09-51-01	200 00	SHARES
				AA9 2♦	' сонжом гтоск,	голтневи со
00.0	-12.206	61 655'5	88 555'\$	VCG01KED 01-15-88 20FD 00-53-01	00.005	SHARES,
SBX RATE GRINKLOSS	GAIN/LOSS	T203 SIZA4	PROCEEDS SALES	DATE SOLDV ACQUIRED	PROPERTY	DESCRIBITON OF

STARBUCKS CORP COM

#### EQUITY INDEX SURDNA FOUNDATION

BAA 07822 ACCOUNT NO. 26-81381 01546

TARGET CORP COM

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD FEDERAL SCHEDULE OF GAINS AND LOSSES

Z 8 T

28% RATE GALIA/LOSS	<u>e</u> e in loss	COST SISAM		DATE SOLDA		PROPERTY	DESCRIPTION OF
00 0	58 526	00 056,8	58.282,4		VCONTRED SOLD	200 00	SHARES
						MOD 48	STATE STR CO
00.0	5,024 54	05 £94'Z	p8.787,p		070S	00.00I	SHARES
				86-91-10	ACQUIRED		
					HON STOCK,	TEMS INC., COMP	A DODET PAR Sun Microsys
00.0	08.565,01	59:181'9	55 555' <b>9</b> [		SOLD ACQUIRED	00 001'1	SHARES
					ION STOCK	ике' імс ' сомы	IA8 TZURT NUZ Ray dz.s#
ט ט ט	-09 618	87 378.3	87 330,3	(0-22-90	u 105	00 001	
0 0 0	-00 (10	85 958'9	87.322,3		ACQUIRED	100 00	2HARES:
					ядч тф	сонном этоск,	SYSCO CORP.,
00 0	50.884.8	51 692'2	08 521,2		VCOUIRED SOLD	200 00	SHARES:
							МОЭ ЧЯОЭ ИХТ
00 D	22.189	05'591'5	£8 558'5		VCONINED SOLD	100 00	SHARES

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EQUITY INDEX SURDNA FOUNDATION

AAA 07822 ACCOUNT NO 26-81381 65210

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

07-01-00 THROUGH 06-50-01

00 0	b8 £88'b	01 689'8	PS 878,81	.2. 200.000 SOLD 06-27-01 ACQUIRED 05-20-96	SHARE
				C COMMON STOCK, \$6 25 PAR	TEXACO, 1N
00 0	-עני נופיו	25.038,P	88.365.5	COURED 11-12-99 ACQUIRED 11-12-99	SHARE
				ис сон	TERADYNE I
00.0	69 506'T	3,182 14	£8.380,2	S: 100.00 SOLD 06-27-01 ACQUIRED 12-22-97	SHARE
				THCARE CORP COM	JASH TSNST
00.0	52 25	05'682'5	28 212'5	S 10.001 SOLD 06.27-01 S. ACQUIRED 01-16-98	SHARE
				AND INC , COMMON STOCK	TEMPLE INL
00 0	- 66, 528	82 586,5	b6 <b>299'</b> [	S: 100.00 SOLD 06-27-01 ACQUIRED 01-16-98	SHARE
				ИС , СОМНОИ STOCK, ИО РАК	TELLABS, I
00.0	5,284 33	12 982'5	59°895'01	S: 300 00 SOLD 01-16-98	SHARE
28% RATE GAIN/LUSS	ē∀IN√F022	COST BASIS	SWOCEEDS SVres	DATE SOLD A PERSONERED	DESCRIBLION

TEXAS INSTRS INC COM

## The Northern Trust Company 50 south Lasaile Street, Chicago, Illinois 60675

EQUITY INDEX SURDNA FOUNDATION

**BAA** 07822 ACCOUNT NO 26-81381 65210

#### 07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

58 T

PAGE

00.0	-68.p3č, <u>t</u>	65 812'21	09 £56'[[	86-91-10 10-22-90	VCGNIKED 20rd	00.00S	SHARES
					HZ NEM	N A NEM LOKK 2	ADR UNILEVER
00 0	26 252,71	66 810'51	26.998,52	07-75-01 10-27-01	SOLD SOLD	00.009	SHARES
						NEM COM	TYCO INTL LTD
00.0	89 586	82.280,2	98 020'5	86-22-10 86-22-90	SOLD ACQUIRED	00 00T	SHVKEZ
					яач ои	соннои зтоск,	TRIBUNE CO ,
00.0	01'159'1	S1 569'Z	58 955'5	86-91-10 10-22-90	VCONIBED 20rd	00 00τ	SHARES.
					3	NE THARUATEBR	TRICON GLOBAL
00 0	09'959'I	52.033,5	58'915'5	66-71-60 10-72-90	VCGUIRED SOLD	00 001	SHARES
					OCK NEM	TION COMMON ST	<b>АЯОЧЯОЭ ОЭЗОТ</b>
00 0	88.927,11	05 061'9	18,527 58	01-16-98 10-77-01	VCONIKED 20FD	00.009	SHARES.
28% RATE <u>Gain/Loss</u>	CVINTOSS	T202 Z12A8	SALES SALES	DATE SOLD		<b>УТЯЗЧОЯ</b> Ч	DESCRIBLION OF

WOO TAC CORP COM

NOITAGNUOS ANGRUS

07-01-00 THROUGH 06-50-01

07822 ACCOUNT NO 26-81581 65210

VERITAS SOFTWARE CORP COM

LEDEKAL SCHEDULE OF GAINS AND LOSSES EDNITY INDEX

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

58 T

00 0	14 161'5	29.526,05	56,134 13	86-91-10 10-22-90	VCONIKED Pord	60.002	SHARES
00.0	D8 596'Z	02 126,TI	DZ 1D6,8S	10-12-9 <i>0</i> 86-91-10	VCGNIKED 20rd	00.00>	SH <b>e</b> kes.
						NOS SHOITA	AEKISON COMMUNIC
00 0	-512 15-	00'255'5	88 825'2	86-22-10 10-22-90	VCONIKED ZOFD	00 001	2H <b>P</b> KE2·
					ядч ои	чом зтоск,	V F. СОКР., СОМ
00.0	-66:06L'I	88.820,2	68 725,8	86-22-10 10-42-90	VCONIKED 20rd	100 00	2HPKE2 1
						кь сом	<b>ПИПИРВОУІВЕИТ СО</b>
00 0	61 288,5	00 T05'Z	61 982'9	86-22-10 10-22-90	ACQUIRED SOLD	00.001	SHARES
						NDS INC COM	ONITEDHEALTH GRO
00.0	27.828,2	00.862,8	21 925,T	15-23-01 09-21-01	SOLD SOLD	00.001	SHARES
					н	IEZ COKE CO	UNITED TECHNOLOG
00.0	-26 404	51'566'5	Z8 785,2	86-91-10 10-22-90	ACQUIRED SOLD	100 00	SHARES
S8X RATE GEIN/LOSS	SSOT/NIV5	COST SISAS	SALES	DATE SOLD/		YTABA	DESCRIBLION OF PRO

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION

07-01-00 THROUGH 06-50-01

01249 ACCOUNT NO. 26-81381 8AA DY822

EEDEKAL SCHEDULE OF GAINS AND LOSSES

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

				MALGREEN CO COMMON STOCK, \$1 25 PAR
00.0	£L 999'6£	00 681'82	\$1'558'19	SHARES: 1,400.00 SOLD 06-27-01 ACQUIRED 01-16-98
				WAL-MART STORES, INC. COMMON STOCK,
00 0	- 22 228	05 687,7	91 95619	SHARES: 100 00 SOLD 06-27-01 86-01-10 GENIRED 01-16-98
				2-893758 & WACHOVIA CORP 2-893760 9-1-01 Wachovia Corp achovia Corp 0-1-01
00.0	65 959'bl	92 995'91	56.555,18	SHARES 600 00 SOLD 06-27-01 86-31-10 GENURSED 01-16-88
				VIACOM COMMON STOCK CL B
00 0	-60 £19'9	78 960.E1	87.282,3	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 05-51-00
Z8% RATE GAIN/LOSS	<b>5</b> 5017N1∀5	EVEZTZ CO21	SEOCEEDS SVCEEDS	DESCRIPTION OF PROPERTY ACQUIRED.

67 757,8

99 ZOS'OT

00.0

00 0

PAGE 186 59 651'Z

5,634.02

28.782,8

Z9.898, P

ACQUIRED 01-16-98

ACQUIRED 01-16-98

anos

00 9ST

300.00

SHARES

SHARES.

WASHINGTON MUT INC COM

20FD 09-51-01

10-72-60

EGUITY INDEX SURDIA FOUNDATION

07822 ACCOUNT NO 26-81381 01546

78 I 07-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **PAGE LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

00 0	82.013,1	04.646,4	84 655'9	SHARES. 200.00 SOLD 06-27-01 ACQUIRED 01-16-98
				WILLIAMS COMPANY INC COMMON STOCK \$1 PAR
00.0	£8 019'1	2,502.00	\$8.519,2	SHARES 100 00 SOLD 06-27-01 ACQUIRED 01-16-98
				WILLAMETTE INDUSTRIES INC , COMMON STOCK 4.50 PAR
00 0	- 15 658	25 941,2	18 IZ+'S	SHARES: 100 00 SOLD 06-27-01 ACQUIRED 10-14-97
				MEYERHAEUSER CO , COMMON STOCK, #1 875
00 0	80 Z80'S	00 292,25	80 699'12	SHARES: 600 00 SOLD 06-27-01 ACQUIRED 01-16-98
				METES FARGO & CO NEW COM STK
00 0	-27 121	25'580'9	6,935 80	SHARES: 200 00 SOLD 06-27-01 ACQUIRED 10-30-97
				WASTE MEMT INC, DEL COM STK
00 0	b0 6Zb	22.681,1	92 819'1	SHARES 44 BO SOLD 06-22-01 ACQUIRED 01-22-98
Z8% RATE GAIN/LOSS	<b>GAIN/LOSS</b>	COST BASIS	SALES	DESCRIPTION OF PROPERTY ACQUIRED

MORLDCOM INC/WORLDCO

SURDNA FOUNDATION

07-01-00 THROUGH 06-30-01

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

**BAA** 07822 ACCOUNT NO 26-81381 67210

NOX - MARATHON GROUP COMMON STOCK NEW

**LEDEKAL SCHEDULE OF GAINS AND LOSSES** EGNILA INDEX

188

00 D	-95.818,8	p6'068'6I	85 5/S'ST	07-17-01 10-22-90	VCONIBED 20FD	00 004	2HARES ·
							US BANCORP
00 0	-\$1 626'0\$	00.273,25	78.227,2	10-75-80 10-75-81	SOLD	200 00	1238AH2
						A STOCK	YAHOO INC COMMOI
00.0	-25 915	82 609'5	98.566,5	11-02-66 11-02-50	VCONIKED SOLD	700 00	SHARES.
						ом гтоск	XIFINX INC COMM
00'0	59'82	SZ TZT,S	06.287,5	86-91-10 10-12-90	VCONIBED 20FD	00 001	SHARES:
						сон	XCEF ENERGY INC
00 0	65 628	2 728,č	58 989 <b>'</b> 5	86-91-10 10- <i>1</i> 2-90	SOLD	00.001	SHARES.
							CAPITAL STOCK, N
00.0	05 611'Z	ZI 090'ZI	<b>25 671,</b> P1	96-60-60 10-22-90	SOLD SOLD	σο σοσ'τ	SHARES
28% RATE Gain/Loss	CAIN/LOSS	COST SISAS	SALES SALES	DATE SOLDV		DEERIK	DESCRIBLION OF PRO

EQUITY INDEX SURDNA FOUNDATION

OYBSS ACCOUNT NO 26-81381 65210

01-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEBAL SCHEDULE OF GAINS AND LOSSES** 

68 I ₽AGE

00 0	2,672,771 34	27 988,782,8	90 195'091'6		FSSOTZNIA	O JATIGAD	TOTAL LONG TERM
00 0	PS. 177, S78, S	ST 688, T82, à	90.195.091.6				JATOT- 8UZ
00.0	-16.843.91	91.126.2	58 202'5	07-55-68 09-58-01	VCGUIRED SOLD	200 00	SHARES
						сокь сом	FONCE DENC STORES
00.0	-08 552'5	55.552,91	£2 786, £1	86-91-10 10-22-90	SOLD	00 005	SHARES.
						ı	BANK ONE CORP COP
00 0	-£5 561'T	85 069 <b>'</b> Z	56 <del>565'</del> I	75-76-61 09-51-01	VCGUIRED SOLD	100 00	SHARES
						COM STK	нелтнаоитн сокр
00 0	-58 948	52 282'5	06 906'Z	86-91-10 10-23-90	ACQUIRED SOLD	100 00	SHARES
S8X RATE GAIN/LOSS	ĕ <b>¥</b> IN\F022	COST BASIS	PROCEEDS SALES	DATE SOLD ACQUIRED		<u> </u>	DESCRIPTION OF PROP

END OF SALES SCHEDULE

06 T PAGE

> EGNILL INDEX SURDNA FOUNDATION

07822 ACCOUNT NO. 26-81581 65210

#### 01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES**

\*\*\* SUMMARY REPORT \*\*\*

£1.824,012,24	Total Guins
P\$ 111,518,5	TOTAL LONG TERM CAPITAL GAINS AND LOSSES
P\$ 111,218,5	SUB TOTAL LONG TERM GAINS AND LOSSES
00 0	NET LONG TERM CAPITAL GAINS AND LOSSES - 28 %
PE 177,578,5	NET LONG TERM CAPITAL GAINS AND LOSSES - 20 %
	TONG LERM GAINS AND LOSSES
-19 516'29	TOTAL SHORT TERM CAPITAL GAINS AND LOSSES
-19'512'29	NET SHORT TERM CAPITAL GAINS AND LOSSES
	SHORT TERM GAINS AND LOSSES

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION -

ACCOUNT NO, 26-00354

A 12510 A 07822

ROCKEFELLER & COMPANY

00.0

2,252 79

61 525.7

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PAGE

ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

07-01-00 THROUGH 06-30-01

					LONG TERM CAPITAL CAINS AND LOSSES
28% RATE GAINZLOSS	<u>GAINZLOSS</u>	COST BASIS	EKOCEEDZ 2VIEZ	VCGUIRED DATE SOLD	DESCRIPTION OF PROPERTY

81 SSS 79

61 SSS.79

NASDAQ-100 INDEX CL ACTION SETTLEMENT
PROCEEDS
20x

TOTAL LONG TERM CAPITAL GAIN/LOSS-

END OF SALES SCHEDULE

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - PIMCO TOTAL RETURN FUND

ACCOUNT NO 26-06784

22810 AAB

DESCRIPTION OF PROPERTY

FEDERAL SCHEDULE OF GAINS AND LOSSES ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD 07-01-00 THROUGH 06-30-01

PROCEEDS

SALES

TOTAL SHORT TER	LATIGAS MAS	-SSOJNIA9		00 000'525'5	62 207,622,2	19 765,265
JATOT-4UZ				00.000,852.2	62.207,655,2	19 765,295
e istinu	502,846.50	VCGUIRED SOLD	09-14-00 05-58-01	00.000,002,2	19.772,210,2	62.554,685
MFO PIMCO FDS PAC RETURN FD INSTL C		SER TOTAL				
STINU	14,425 08	VCONIKED 20rd	09-14-00 15-50-00	00 000'051	11 867,841	10219
MFO PIMCO FDS PAC RETURN FD INSTL C		JATOT R32				
: STINU	65 515,1	VCGNIKED 20FD	09-14-00 15-09-00	00 000'SŁ	19 925'ZL	55,272,5
MFO PIMCO FDS PAC RETURN FD INSTL C		SER TOTAL				
HORT TERM CAPITAL	T CAINS AND	TOZZEZ				

PROCEEDS

SALES

CAINZLOSS

SAX RATE

PAGE

CAINZLOSS

CAIN/LOSS

SISAA

COST

SISAA

COZI

**VCGUIRED** 

DATE SOLD/

ACQUIRED

DATE SOLD!

TONG LERM CAPITAL GAINS AND LOSSES

DESCRIPTION OF PROPERTY

MFO PIMCO FDS PAC INVT MEMT SER TOTAL RETURN FD INSTL CL

- MOITAGNUD ANGRUS

**BAA** 048\$\$ ACCOUNT NO. 26-06784 25210

PINCO TOTAL RETURN FUND

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD **LEDEKAL SCHEDULE OF GAINS AND LOSSES** 

5 PAGE

00 0	258,377 45	<b>15 559,148,8</b>	00 000'001'2	-	RINCLOSS	ERM CAPITAL 6	TOTAL LONG I
00 0	258,377 45	72 553, 1p8, 8	00 000'001'2				ALOI-4UZ
9 O	96 222'55	96.252,170,1	Z6 855'511'l		SOLI Soli	IT 788,701	STINU
00.0	72 £21,21S	19.795.077,2	80 [55'586'5		SOLI SOLI	90 994'845	; STINU
SBX RATE	€¥IN\ross	T200 S12A4	SALES PROCEEDS	DATE SOLD/ ACQUIRED		<u> КОРЕВТУ</u>	DESCRIPTION OF P

END OF SALES SCHEDULE

(315) 630-6000 50 South LaSalle Street, Chicago, Illinois 60675

PIMCO TOTAL RETURN FUND - NOITAGNUOT ANGRUS

ACCOUNT NO 26-06784

07822 115210

07-01-00 THROUGH 06-50-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

\*\*\* SUMMARY REPORT \*\*\*

19'462'562 NET SHORT TERM CAPITAL GAINS AND LOSSES

19'162'562 TOTAL SHORT TERM CAPITAL GAINS AND LOSSES

TONC LEWW CAINS AND LOSSES

00 0

\$5 148'852

258,377 43 SUB TOTAL LONG TERM GAINS AND LOSSES

TOTAL LONG TERM CAPITAL GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 28 %

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 %

SHOKI LEKW CAINS AND LOSSES

40. 912, ESS & (23262) RATHER GATHER) ARTOT MUSC. (ROVINDING BIFF)

Ω PAGE

ACCOUNT		8AA			
A FOUNDATION - MAIN CASH CUSTODY	Se-00256 SURDAY	ACCOUNT NO	16510		

01-01-00 THROUGH 06-30-01 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD LEDEKAL SCHEDULE OF GAINS AND LOSSES

30A9 [

TOTAL LONG TERM CAPITAL GAIN/LOS	-880	08 ZÞS'Þ	00 0	08 LbS'b	000
SUB-TOTAL		08 TP2.P	00.0	08 155'5	00.0
¢ 75 PAR SEARS, ROEBUCK AND CO. COMMON STOCI	50% .оск'	b6 96L		b6 96L	
NASDAG-100 INDEX CL ACTION SETTLEM	SO%	06 S <i>þ</i> ľ'Z		06 351,5	
EFF 3-9-99 2000836 #REORG TELE COMMUNICATIONS INC SER		96 >00'T		96 b00'T	
TONG LERW CAPITAL CALUS AND LOSSES	<b>3</b>				
DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	7203 212A8	CAINCLOSS	28% RATE GAIN/LOSS

END OF SALES SCHEDULE

50 South LaSalle Street, Chicago, Illinois 60675 (312) 630-6000

SURDNA FOUNDATION - MISCELLANEOUS ACCOUNT

ACCOUNT NO. 26-00357

SSSTO ACCOUN

PEDERAL SCHEDULE OF CAINS AND LOSSES
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD

SALES

75 S37,5

75 SAT, S

00 0

TSOO

00 0

**CVINTO22** 

31AR %8S

PAGE

07-01-00 THROUGH 06-30-01

75 SAT,S

15 291'2

 FONE LEGIN CALITAT CALITATION OF TROPES
 PROTECT
 PROTECT
 PROTECT

DATE SOLD

PROCEEDS
PROCEEDS
PROCEEDS
20%

TOTAL LONG LERM CAPITAL GAIN/LOSS-

END OF SALES SCHEDULE