Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The organization may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2001

For calendar year 2001 or tax year beginning 10/1/2001 and ending 9/30/2002

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise print or type	Name of organization Carnegie Corporation of New York		A Employer identification number 13-1628151
	Number and street (or P O box number if mail is not delivered to street address) 437 Madison Avenue	Room/suite	B Telephone number (see page 10 of the instr) (212)371-3200
	City or town New York	State NY	ZIP + 4 10022

C If exemption application is pending check here ☐

D 1 Foreign organizations check here ☐
2 Foreign organizations meeting the 85% test check ☐

E If private foundation status was terminated under section 507(b)(1)(A) check here ☐

F If the foundation is in a 60 month termination under section 507(b)(1)(B) check here ☐

H Check organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II column (c) line 16)
1 627 733 524

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify)
(Part I, col (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions gifts grants etc received	35 000 000			
		Check ☐ if the foundation is not required to attach Sch B				
	2	Distributions from split-interest trusts				
	3	Interest on savings and temporary cash investments	1 912 613	1 878 841		
	4	Dividends and interest from securities	26 674,904	27,733 797		
	5a	Gross rents				
	b	(Net rental income or (loss) _____)				
	6a	Net gain or (loss) from sale of assets not on line 10	12 006,350			
	b	G S P for assets on 6a 1 249 517 370				
	7	Capital gain net income (from Part IV line 2)		5 500 991		
	8	Net short term capital gain				
	9	Income modifications				
	10a	Gross sales less returns				
	b	Less C O G S				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Schedule 1	3 267 733	18 505 630		
	12	Total Add lines 1 through 11	78 861 600	53 619 259		
Expenses	13	Compensation of officers directors trustees etc	1 664 775	428 125		1,236 650
	14	Other employee salaries and wages	5 261 729	317 462		4 943 966
	15	Pension plans employee benefits	2 730 717	153 121		2 571,757
	16a	Legal fees (attach schedule) Schedule 2	436 182	233 501		214 371
	b	Accounting fees (attach schedule Schedule 2	118 775	76 245		39 030
	c	Other professional fees Schedule 2	4 145 372	4 099 166		
	17	Interest				
	18	Taxes (attach schedule) Schedule 3	607 427			
	19	Depreciation and depletion Schedule 4	155 793			
	20	Occupancy	1 467 636	169 684		1 298 195
	21	Travel conferences and meetings	1 191 593	64,724		1 088 191
	22	Printing and publications	583,929			424 081
	23	Other expenses (attach schedule Schedule 5	2 113 873	164 052		1 915 826
	24	Total operating and administrative expenses Add lines 13 through 23	20 477,801	5 706 080		13 732 067
	25	Contributions gifts grants paid	150 091 501			76 301 020
	26	Total expenses and disbursements Add lines 24 and 25	170 569 302	5 706 080		90 033 087
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	91 707 702			
	b	Net investment income (if negative enter 0)		47 913 179		
	c	Adjusted net income (if negative enter 0)				

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Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest bearing	5 458	7 782	7 782
	2	Savings and temporary cash investments	10 501	11 574	11 574
	3	Accounts receivable			
		Less allowance for doubtful accounts	2,886,953	1 861,831	1 861 831
	4	Pledges receivable			
		Less allowance for doubtful accounts	0		
	5	Grants receivable	0	15 636 000	15 636 000
	6	Receivables due from officers directors, trustees and other disqualified persons (attach schedule) (see page 15 of the instructions)	0		
A	7	Other notes and loans receivable			
s		Less allowance for doubtful accounts	0	0	
s	8	Inventories for sale or use	0		
e	9	Prepaid expenses and deferred charges	522 308	1 007 030	1 007 030
t	10a	Investments - U S and state government obligations Schedule 7	89 050 562	71 983 548	79 392 605
s	b	Investments - corporate stock (attach schedule) Schedule 7	784 183 693	542 815 756	431 911 622
	c	Investments - corporate bonds (attach schedule) Schedule 7	208 768,701	175 026 562	183 028 465
	11	Investments land buildings and equipment basis			
		Less accumulated depreciation	0	0	
	12	Investments - mortgage loans	0		
	13	Investments - other (attach schedule) Schedule 8	669 122 992	912 492 437	914 474 217
	14	Land buildings and equipment basis Schedule 4			
		Less accumulated depreciation	425 029	402 398	402 398
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers-see page 16 of the instructions Also see page 1, item I)	1 754 976 197	1 721 244 918	1 627,733 524
	17	Accounts payable and accrued expenses	1 450 930	4 275 045	
	18	Grants payable	52 979 869	108 132 177	
Lia-	19	Deferred revenue	0		
bili-	20	Loans om officers di ec ors trustees and other disqualified persons	0		
ties	21	Mortgages and other notes payable (attach schedule)	0		
	22	Other liabilities (describe)	0		
	23	Total liabilities (add lines 17 through 22)	54 430 799	112 407 222	
		Organizations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 [X]			
N	24	Unrestricted	1 565,208 530	1 457 864 828	
e	25	Temporarily restricted		15 636 000	
t	26	Permanently restricted	135 336,868	135 336 868	
A		**Organizations that do not follow SFAS 117, check here and complete lines 27 through 31** [ ]			
s	27	Capital stock trust principal or current funds			
e	28	Paid in or capi al surplus or land bldg and equipmen fund			
t	29	Retained earnings accumula ed income endowment or other funds			
s	30	Total net assets or fund balances (see page 17 of the instructions)	1 700 545 398	1 608 837 696	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1 754 976 197	1 721 244 918	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year Part II column (a) line 30 (must agree with end-of-year figure reported on prior year s return)	1	1 700 545 398
2	Enter amount from Part I, line 27a	2	91 707 702
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1 2 and 3	4	1 608 837 696
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) Part II column (b) line 30	6	1 608 837 696

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g real estate 2 story brick warehouse or common stock 200 shs MLC Co)	(b) How acquired P Purchase D - Donation	(c) Date acquired (mo , day yr)	(d) Date sold (mo day yr)
1a	See Schedule 6			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				0
b				0
c				0
d				0
e				0

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	
a			0	0
b			0	0
c			0	0
d			0	0
e			0	0

2	Capital gain net income or (net capital loss) If gain also enter in Part I line 7 If (loss) enter 0 in Part I line 7	2	5 500 991
3	Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain also enter in Part I line 8 column (c) (see pages 13 and 17 of the instructions) If (loss) enter -0- in Part I line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If Yes the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Ne alue of nonchari able use asse s	(d) Distribution ratio (col (b) divided by col (c))
2000	69 020,818	1,843 777 116	0 0374
1999	73 457,872	1 840 557,521	0 0399
1998	61 268 493	1 549,237 949	0 0395
1997	47 542 821	1 521 584 879	0 0312
1996	79 001 979	1 382 106 023	0 0572

2	Total of line 1 column (d)	2	0 2052
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 0410
4	Enter the net value of noncharitable-use assets for 2001 from Part X line 5	4	1 737 042 151
5	Multiply line 4 by line 3	5	71 218 728
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	479 132
7	Add lines 5 and 6	7	71,697 860
8	Enter qualifying distributions from Part XII line 4	8	90 166 249

If line 8 is equal to or greater than line 7 check the box in Part VI, line 1b and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18)

1a	Exempt operating foundations described in section 4940(d)(2) check here and ☐ enter N/A on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary)			
b	Domestic organizations that meet the section 4940(e) requirements in Part V check here ☒ and enter 1% of Part I line 27b		1	479 132
c	All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I line 12 col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter 0)		2	
3	Add lines 1 and 2		3	479 132
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter 0-)		4	
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	479,132
6	Credits/Payments			
a	2001 estimated tax payments and 2000 overpayment credited to 2001	6a 1 250 000		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1 250 000
8	Enter any PENALTY for underpayment of estimated tax Check here if Form 2220 ☐ is attached		8	0
9	TAX DUE If the total of lines 5 and 8 is more than line 7 enter AMOUNT OWED		9	0
10	OVERPAYMENT If line 7 is more than the total of lines 5 and 8 enter the AMOUNT OVERPAID		10	770,868
11	Enter the amount of line 10 to be Credited to 2002 estimated tax 0 Refunded		11	770 868

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year did the organization attempt to influence any national state or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is Yes to 1a or 1b attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities			
c	Did the organization file Form 1120 POL for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization $ 0 (2) On organization managers $ 0			
e	Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers $ 0			
2	Has the organization engaged in any activities that have not previously been reported to the IRS? If Yes attach a detailed description of the activities	2		X
3	Has the organization made any changes not previously reported to the IRS, in its governing instrument articles of incorporation or bylaws or other similar instruments? If Yes attach a conformed copy of the changes	3		X
4a	Did the organization have unrelated business gross income of $1 000 or more during the year?	4a	X	
b	If Yes has it filed a tax return on Form 990-T for this year?	4b	X	
5	Was there a liquidation termination dissolution or substantial contraction during the year? If Yes, attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either * By language in the governing instrument or * By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the organization have at least $5 000 in assets at any time during the year? If Yes complete Part II column (c) and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) New York and Washington DC			
b	If the answer is Yes to line 7 has the organization furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by General Instruction G? If No attach explanation	8b	X	
9	Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV on page 25)? If Yes complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If Yes attach a schedule listing their names and addre See Schedule B	10	X	
11	Did the organization comply with the public inspection requirements for its annual returns and exemption application?	11	X	

Web site address www carnegie org

12 The books are in care of Robert J Seman Director of Finance Telephone no (212)371-3200

Located at 437 Madison Avenue, New York NY ZIP+4 10022

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 13 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column unless an exception applies

		Yes	No
1a	During the year did the organization (either directly or indirectly)		
	(1) Engage in the sale or exchange or leasing of property with a disqualified person?		X
	(2) Borrow money from lend money to or otherwise extend credit to (or accept it from) a disqualified person?		X
	(3) Furnish goods services or facilities to (or accept them from) a disqualified person?		X
	(4) Pay compensation to or pay or reimburse the expenses of a disqualified person?	X	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		X
	(6) Agree to pay money or property to a government official? (Exception Check No if the organization agreed to make a grant to or to employ the official for a period after termination of government service if terminating within 90 days)		X
b	If any answer is Yes to 1a(1) - (6) did ANY of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d) 3 or in a current notice regarding disaster assistance (see page 19 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		X
c	Did the organization engage in a prior year in any of the acts described in 1a other than excepted acts that were not corrected before the first day of the tax year beginning in 2001?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2001 did the organization have any undistributed income (lines 6d and 6e Part XIII) for tax year(s) beginning before 2001? If Yes list the years _____ _____ _____ _____		X
b	Are there any years listed in 2a for which the organization is NOT applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year s undistributed income? (If applying section 4942(a)(2) to all years listed answer No and attach statement-see page 19 of the instructions) N/A		
c	If the provisions of section 4942(a)(2) are being applied to ANY of the years listed in 2a list the years here _____ _____ _____ _____		
3a	Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		X
b	If Yes did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26 1969 (2) the lapse of the 5 year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest or (3) the lapse of the 10 15- or 20-year first phase holding period? (Use Schedule C, Form 4720 to determine if the organization had excess business holdings in 2001)		X
4a	Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the organization make any investment in a prior year (but after December 31 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?		X
5a	During the year did the organ zation pay or incur any amount to		
	(1) Carry on propaganda or otherwise attempt to influence legislation (section 4945(e))?		X
	(2) Influence the outcome of any specific public election (see section 4955) or to carry on, directly or indirectly any voter registration drive?		X
	(3) Provide a grant to an individual for travel study, or other similar purposes?	X	
	(4) Provide a grant to an organization other than a charitable etc organization described in section 509(a)(1) (2) or (3) or section 4940(d)(2)?	X	
	(5) Provide for any purpose other than religious charitable scientific literary or educational purposes or for the prevention of cruelty to children or animals?		X
b	If any answer is Yes to 5a(1) - (5) did ANY of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		X
c	If the answer is Yes to question 5a(4) does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? If Yes attach the statement required by Regulations section 53 4945 5(d) **See attachment #2**	X	
6a	Did the organization during the year receive any funds directly or indirectly to pay premiums on a personal benefit contract?		X
b	Did the organization during the year pay premiums directly or indirectly on a personal benefit contract? If you answered Yes to 6b also file Form 8870		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid enter -0)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account other allowances
See Schedule 9		1 664 775	324 209	201 700

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions) If none, enter "NONE "

(a) Name and address of each employee paid more than $50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account other allowances
Daniel Fallon Carnegie Corporation of NY 437 Madison Avenue NY NY 10022	Program Chair 100%	206,900	54 888	6 000
David Speedie Carnegie Corporation of NY 437 Madison Avenue NY NY 10022	Program Chair 100%	183 550	51 474	1 278
Patricia Rosenfield Carnegie Corporation of NY 437 Madison Avenue NY NY 10022	Program Chair 100%	174 100	49 780	820
Meredith Jenkins Carnegie Corporation of NY 437 Madison Avenue NY NY 10022	Sr Investment Assoc 100%	147 500	44 040	0
Narciso Matos Carnegie Corporation of NY 437 Madison Avenue NY NY 10022	Program Chair 100%	133 250	34 305	25 000
Total number of other employees paid over $50 000				45

3 Five highest-paid independent contractors for professional services - (see page 21 of the instructions) If none, enter 'NONE "

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Westport Asset Management Inc 253 Riverside Avenue Westport CT 06880	Investment Advisory	969 525
Capital Guardian Trust Company P O Box 2227 Brea CA 92621	Investment Advisory	738 038
Southeastern Asset Management Inc 6410 Poplar Ave Suite 900 Memphis TN 38119	Investment Advisory	488 592
Dewey Ballantine LLP 1775 Pennsylvania Avenue Washington DC 20006	Legal	441 830
Ell Realty Securities Inc 717 Fifth Avenue New York NY 10022	Investment Advisory	290 519
Total number of others receiving over $50 000 for professional services		11

Part IX-A Summary of Direct Charitable Activities

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served conferences convened research papers produced etc	Expenses
1 See Schedule 10	2 527 500
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

	Describe the two largest program related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	None	0
2		
3	All other program related investments See page 22 of the instructions	
Total	Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations see page 22 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable etc purposes			
a	Average monthly fair market value of securities		1a	1 764 890 188
b	Average of monthly cash balances		1b	-1 395 618
c	Fair market value of all other assets (see page 22 of the instructions)		1c	
d	Total (add lines 1a b, and c)		1d	1 763,494 570
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	1 763 494 570
4	Cash deemed held for charitable activities Enter 1 1 /2% of line 3 (for greater amount see page 23 of the instructions)		4	26 452 419
5	Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V line 4		5	1 737,042 151
6	Minimum investment return Enter 5% of line 5		6	86 852 108

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X line 6				1	86 852 108
2 a	Tax on investment income for 2001 from Part VI line 5	2a	479 132			
b	Income tax for 2001 (This does not include the tax from Part VI)	2b	100 133			
c	Add lines 2a and 2b				2c	579 265
3	Distributable amount before adjustments Subtract line 2c from line 1				3	86 272 843
4 a	Recoveries of amounts treated as qualifying distributions	4a	255 122			
b	Income distributions from section 4947(a)(2) trusts	4b				
c	Add lines 4a and 4b				4c	255 122
5	Add lines 3 and 4c				5	86 527 965
6	Deduction from distributable amount (see page 23 of the instructions)				6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII line 1				7	86,527 965

Part XII Qualifying Distributions (see page 23 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable etc purposes		
a	Expenses contributions gifts, etc - total from Part I column (d), line 26	1a	90 033 087
b	Program-related investments - Total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc purposes	2	133 162
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V line 8 and Part XIII line 4	4	90 166 249
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I line 27b (see page 24 of the instructions)	5	479 132
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	89 687 117

NOTE The amount on line 6 will be used in Part V, column (b) in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 24 of the instructions)

		(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1	Distributable amount for 2001 from Part XI line 7				86,527 965
2	Undistributed income if any as of the end of 2000				
a	Enter amount for 2000 only			21 387 389	
b	Total for prior years 19___ 19___ 19___				
3	Excess distributions carryover if any, to 2001				
a	From 1996 0				
b	From 1997 0				
c	From 1998 0				
d	From 1999 0				
e	From 2000 0				
f	Total of lines 3a through e	0			
4	Qualifying distributions for 2001 from Part XII line 4 $ 90 166 249				
a	Applied to 2000 but not more than line 2a			21 387 389	
b	Applied to undistributed income of prior years (Election required see instructions)				
c	Treated as distributions out of corpus (Election required see instructions)				
d	Applied to 2001 distributable amount				68 778 860
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2001 (If an amount appears in column (d) the same amount must be shown in column (a))	0			
6	**Enter the net total of each column as indicated below**				
a	Corpus Add 3f 4c and 4e Subtract line 5	0			
b	Prior years undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years undistributed income for which a notice of deficiency has been issued or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount see instructions		0		
e	Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount			0	
f	Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				17 749 105
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)				
8	Excess distributions carryover from 1996 not applied on line 5 or line 7 (see page 25 of the instructions)				
9	Excess distributions carryover to 2002 Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9				
a	Excess from 1997				
b	Excess from 1998				
c	Excess from 1999				
d	Excess from 2000				
e	Excess from 2001				

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII A, question 9) Not Applicable

1a If the foundation has received a ruling or determination letter that it is a private operating foundation and the ruling is effective for 2001 enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax Year	Prior 3 years			
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b or c for the alternative test relied upon					
a Assets alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b Endowment alternative test Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c Support alternative test - enter					
(1) Total support other than gross investment income (interest dividends rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year - see page 26 of the instructions)

1 **Information Regarding Foundation Managers**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant Gift, Loan, Scholarship, etc , Programs**

Check here if the ☐ organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the organization makes gifts grants etc (see page 26 of the instructions) to individuals or organizations under other conditions complete items 2a b c and d

a The name address, and telephone number of the person to whom applications should be addressed
See **Schedule 11**

b The form in which applications should be submitted and information and materials they should include
See **Schedule 11**

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas charitable fields kinds of institutions, or other factors
See **Schedule 11**

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Attachment #1				76 301 020
Total			**3a**	76 301 020
b Approved for future payment				
See Attachment #1				108 132 177
Total			**3b**	108 132 177

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by sec 512 513 or 514		(e)
	(a)	(b)	(c)	(d)	Related or exempt
	Business code	Amount	Exclusion code	Amount	function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporar cash inves ments			14	1 912 613	
4 Dividends and interest from securities			14	26 674 904	
5 Net rental income or (loss) from real estate					
a Debt financed property					
b Not debt financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inven ory			18	12 006 350	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue (a)					
b Income from partnerships	525990	348 584	18	2 894 284	
c Miscellaneous			14	24 865	
d					
e					
12 Subtotal Add cols (b) (d) and (e)		348 584		43 513 016	0
13 Total Add line 12 columns (b) (d) and (e)				13	43 861 600

(See worksheet in line 13 instructions on page 26 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization s exempt purposes (other than by providing funds for such purposes) (See page 27 of the instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527 relating to political organizations?		
a	Transfers from the reporting organization to a noncharitable exempt organization of		
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other Transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities equipment or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities equipment mailing lists other assets or paid employees	1c		X

d If the answer to any of the above is 'Yes ' complete the following schedule Column (b) should always show the fair market value of the goods other assets or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement show in column (d) the value of the goods other assets or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions and sharing arrangements

2a Is the organization directly or indirectly affiliated with or related to one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If Yes ' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer or fiduciary)

Sign Here

Signature of officer or trustee

Paid Preparer's Use Only

Preparer's signature

Firm's name (or yours if self employed) address and ZIP code

[redacted]

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for line 1 of Form 990, 990 EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization	Employer identification number
Carnegie Corporation of New York	13-1628151

Organization type (check one)

Filers of	Section
Form 990 or 990 EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General rule or a Special rule (Note Only a section 501(c)(7), (8) or (10) organization can check box(es) for both the General rule and a Special rule see instructions)

General Rule -

☒ For organizations filing Form 990, 990 EZ or 990-PF that received during the year $5 000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules -

☐ For a section 501(c)(3) organization filing Form 990 or Form 990-EZ that met the 331/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor during the year a contribution of the greater of $5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a section 501(c)(7) (8), or (10) organization filing Form 990 or Form 990-EZ that received from any one contributor, during the year aggregate contributions or bequests of more than $1 000 for use exclusively for religious, charitable, scientific, literary or educational purposes or the prevention of cruelty to children or animals (Complete Parts I II and III)

☐ For a section 501(c)(7), (8) or (10) organization filing Form 990 or Form 990-EZ that received from any one contributor, during the year some contributions for use exclusively for religious charitable etc purposes but these contributions did not aggregate to more than $1 000 (If this box is checked enter here the total contributions that were received during the year for an exclusively religious, charitable, etc purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable etc , contributions of $5,000 or more during the year) $ _____

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990 990-EZ or 990-PF) but they must check the box in the heading of their Form 990, Form 990-EZ or on line 1 of their Form 990 PF, to certify that they do not meet the filing requirements of Schedule B (Form 990 990-EZ or 990-PF)

Name of organization	Employer identification number
Carnegie Corporation of New York	13-1628151

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	The Bill and Melinda Gates Foundation PO Box 23350 Seattle Washington 98102	$ 25,000 000	Person [X] Payroll [ ] Noncash [ ] Complete Part II if there is a noncash contribution)
2	Anonymous/2002*	$ 10 000 000	Person [X] Payroll [ ] Noncash [ ] Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] Complete Part II if there is a noncash contribution)

Schedule B (Form 990 990 EZ or 990 PF) (2001)

The donor is a well known public figure who has requested that this gift remain anonymous to avoid the potential political ramifications associated with the selection of this charitable endeavor over others For this reason all contributions by such donor will be reported under the name Anonymous/2002 As a result of the $10 million contribution in 2002 Anonymous/2002 became a substantial contributor and therefore a disqualified person with respect to Carnegie Corporation of New York Carnegie has not engaged in and does not anticipate engaging in any prohibited self dealing transactions with Anonymous/2002

Name of organization	Employer identification number
Carnegie Corporation of New York	13 1628151

Part II Noncash Property (See Specific Instructions)

(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	/ /
(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	/ /
(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	/ /
(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	/ /
(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	/ /
(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	/ /

Name of organization	Employer identification number
Carnegie Corporation of New York	13-1628151

Part III Exclusively religious, charitable, etc , individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year

(Complete columns (a) through (e) and the following line entry)

For organizations completing Part III enter the total of exclusively religious charitable etc contributions of $1 000 or less for the year (Enter this information once-see instructions) $

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee s name address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee s name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee s name address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee s name address and ZIP + 4	Relationship of transferor to transferee

CARNEGIE CORPORATION OF NEW YORK
Federal ID#13-1628151

Part I Line 11
OTHER INCOME

	Column (a)	Column (b)
Income from Limited Partnerships	$3 242 868 *	$18 480 765 **
Royalty Income	22 641	22 641
Interest on Tax Refund	1 931	1,931
Dividends on Annuities	293	293
TOTAL	$3 267 733	$18 505 630

*Includes capital gains
**Net investment income per K-1s Capital gains are reclassed to line 7 column (b)

SCHEDULE OF LEGAL, ACCOUNTING AND OTHER PROFESSIONAL FEES

Part I Line 16a b c

	Column (a)	Column (b)	Column (d)	
Legal Fees	$436,182	$233,501	214,371	LINE 16(a)
Accounting Fees				
Regular annual audit	43,000	30,100	12,900	
Pension plan audit	9,000	-	9,000	
Tax & Other Services	66,775	46,145	17,130	
Total - Accounting Fees	118,775	76,245	39,030	LINE 16(b)
Other Professional Fees				
Investment Management and Custody	4,145,372	4,099,166	--	LINE 16(c)

CARNEGIE CORPORATION OF NEW YORK
Federal ID#13-1628151

Part I, Line 18, column a	TAXES
Federal Excise Taxes	$479,134
Federal Unrelated Business Income Tax	78,149
States Unrelated Business Income Tax	50,144
Deferred Federal Excise Taxes	0
Total Taxes	$607,427
Total per FY02 Financial Statements	$607,427

LAND, BUILDINGS AND EQUIPMENT

Part I Line 19		Beginning Balance 10/1/2001	Activity 10/1/01 - 9/30/02	Ending Balance 9/30/2002
Leasehold Improvements		3,880,126	13,690	3,893,816
Furniture and Equipment		3,134,293	119,472	3,253,765
TOTAL - Fixed Assets		7,014,419	133,162	7,147,581
Less: Accumulated Amortization		(3,859,746)	(9,640)	(3,869,386)
Less: Accumulated Depreciation		(2,729,644)		
	FY92		(2,641))	
	FY93		(11,546))	
	FY94		(9,334))	
	FY95		(9,330))	
	FY96		(1,702))	
	FY97		(13,134))	(2,875,797)
	FY98		(18,141))	
	FY99		(20,841))	
	FY00		(24,756))	
	FY01		(20,994)	
	FY02		(10,731)	
TOTAL - Amort. & Depr.		(6,589,390)	(155,793)	(6,745,183)
	TOTAL	425,029	(22,631)	402,398

LEASEHOLD IMPROVEMENTS AND ASSETS ACQUIRED PRIOR TO FY90

20th and 22nd Floors

YEAR	DESCRIPTION	LIFE OF LEASE	START DATE	AMOUNT	BOOK VALUE AS OF 9/30/89	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99	9/30/00	9/30/01	9/30/02
FY88	LEASEHOLD IMPROVEMENT	9.50	1/1/89	1,107,047.69	1,020,445.90	903,821.51	787,201.12	670,576.73	553,956.35	437,331.96	320,711.57	204,059.18	87,466.79	0.00	0.00	0.00	0.00	0.00
FY89	LEASEHOLD IMPROVEMENT	9.25	4/1/89	2,578,513.37	2,419,136.91	2,160,396.14	1,901,655.35	1,642,914.56	1,384,173.77	1,125,432.98	866,692.19	607,951.39	349,210.60	0.00	0.00	0.00	0.00	0.00
FY90	LEASEHOLD IMPROVEMENT	8.50	1/1/90	10,817.00		9,862.56	8,908.12	7,953.68	6,999.24	6,044.79	5,090.35	4,135.91	3,181.47	2,227.03	1,272.59	318.14	0.00	0.00
FY91	LEASEHOLD IMPROVEMENT	7.50	1/1/91	47,728.00			42,955.20	38,182.40	33,409.60	28,636.80	23,864.00	19,091.20	14,318.40	9,545.60	4,772.80	0.00	0.00	0.00
FY92	LEASEHOLD IMPROVEMENT	6.25	4/1/92	16,048.00				14,764.16	13,480.32	12,196.48	10,912.64	9,628.80	8,344.96	7,061.12	5,777.28	4,493.44	3,209.60	1,925.76
FY93	LEASEHOLD IMPROVEMENT	4.50	4/1/93	51,210.00					45,608.59	39,206.67	33,804.74	28,402.82	23,000.90	17,598.98	12,197.06	6,795.14	1,393.22	0.00
FY94*	LEASEHOLD IMPROVEMENT	9.75	4/1/94	35,697.00						33,866.38	30,205.15	26,543.92	22,882.69	19,221.46	15,560.23	11,899.00	8,237.77	4,576.54
FY95	LEASEHOLD IMPROVEMENT	8.75	4/1/95	20,250.00							19,097.86	16,778.57	14,464.29	12,150.00	9,835.71	7,521.43	5,207.14	2,892.86
FY01	LEASEHOLD IMPROVEMENT	7.75	4/1/01	8,476.00													6,934.91	4,857.71
FY02*	LEASEHOLD IMPROVEMENT	11.75	4/1/01	13,690.00														13,107.45
					3,890,466.01	3,439,607.81	3,074,083.21	2,720,381.64	2,337,126.30	1,972,148.01	1,589,024.99	1,187,467.71	764,502.79	341,537.37	31,274.46	35,995.95	19,420.4	20,379.82	24,429.57
	TOTAL AMORTIZATION PER YEAR				420,046.15	396,317.67	401,428.57	404,054.54	411,288.29	418,820.02	421,807.78	422,964.92	422,964.92	310,163.91	5,979.52	3,975.52	7,516.61	9,640.25

In FY94 the Corporation entered into a separate agreement with the building landlord to continue to rent our existing space through 2007.
*In FY02 the Corporation entered into a separate agreement with the building landlord to continue to rent our existing space through 2013.

23rd Floor

FY95	LEASEHOLD IMPROVEMENT	5.00	10/1/94	3,350.00							2,015.00	1,345.00	1,675.00	0.00				
				3,350.00							2,015.00	1,345.00	1,675.00	0.00				
	TOTAL AMORTIZATION PER YEAR										335.00	670.00	670.00	1,675.00				

Landlord exercised option to early terminate lease effective February 1998

YEAR	DESCRIPTION	LIFE	START DATE	AMOUNT	BOOK VALUE AS OF 9/30/89	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99
FY88	FURNITURE MOVE RELATED	10.00	1/1/89	278,537.03	257,646.75	229,791.05	201,935.35	174,079.64	146,223.94	118,368.24	90,512.53	62,656.83	34,801.13	6,945.43	0.00
FY89	FURNITURE MOVE RELATED	10.00	4/1/89	481,545.61	457,468.33	409,313.77	361,159.21	313,004.65	264,850.09	216,695.53	168,540.96	120,386.40	72,231.84	24,077.28	0.00
	TOTAL MOVE RELATED			760,082.64	715,115.08	639,106.82	563,098.55	487,090.29	411,082.03	335,073.76	259,065.50	183,057.2	107,048.97	31,040.71	0.00
	TOTAL MOVE RELATED DEPRECIATION PER YEAR				44,967.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	31,040.71

YEAR	DESCRIPTION	LIFE	START DATE	AMOUNT	BOOK VALUE AS OF 9/30/88	9/30/89	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99
FY88	FURNITURE GENERAL ADMIN	10.00	4/1/88	94,954.37	90,187.53	80,694.38	71,200.92	61,707.46	52,214.00	42,720.54	33,227.08	23,733.62	14,240.17	4,746.71	0.00	0.00
FY89	FURNITURE GENERAL ADMIN	10.00	4/1/89	162,520.40	162,520.40	154,394.38	146,268.36	138,142.34	130,016.32	121,890.30	113,764.28	105,638.26	97,512.24	89,386.22	81,260.20	73,134.18	65,008.16	56,882.14	48,756.12	40,630.10	32,504.08	24,378.06	8,126.02	0.00
	TOTAL GENERAL ADMIN			257,474.77	252,707.93	235,088.76	217,469.28	199,849.80	182,230.32	164,610.84	146,991.36	129,371.88	111,752.41	94,132.93	81,260.20	73,134.18	65,008.16	56,882.14	48,756.12	40,630.10	32,504.08	24,378.06	8,126.02	0.00
	TOTAL GENERAL ADMIN DEPRECIATION PER YEAR				4,746.7	17,619.48	17,619.48	17,619.48	17,619.48	17,619.48	17,619.48	17,619.48	17,619.48	17,619.48	12,872.78	8,126.02	8,126.02	8,126.02	8,126.02	8,126.02	8,126.02	8,126.02	16,252.04	8,126.02	0.00

FY02-LEASEHOLD IMPROVEMENTS

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/02
Quadrant Construction	Cabinets & Countertops- 26th floor	102416	1/3/02	2205	SL	11 75	5,445 00	5,213 30
Quadrant Construction	Library Lighting- 26th floor	102416	1/3/02	2205	SL	11 75	4,250 00	4,069 15
Quadrant Construction	Computer Room Lighting- 26th floor	102512	2/20/02	2220	SI	11 75	3,995 00	3 825 00
TOTALS							13 690 00	13 107 45
CURRENT YEAR AMORTIZATION								582 55

Note New Lease signed in August 2002 for an additional 10 years ending December 31 2013

FY01-LEASEHOLD IMPROVEMENTS										
PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Clestra Hauserman	Computer training room - 26th floor	101603 &	9/13/00	2205	SL	2 75	8,476 00	6,934 91	3,852 73
		129 &	2/14/01	2205					
		101923	4/18/01	2205					
TOTALS							8,476 00	6,934 91	3,852 73
CURRENT YEAR AMORTIZATION								1 541 09	3,082 18

FY95 LEASEHOLD IMPROVEMENTS 26th & 27th Floors

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SI CODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Municipal Electric	Wiring for electric meters	3335	1/25/95	2220	SL	8.75	12,720.00	11,993.14	10,539.43	9,086.71	7,632.00	6,178.29	4,724.57	3,270.86	1,817.14
Quadrant Construction	Installation of projection screen	4098	10/18/95	2220	SL	8.75	7,530.00	7,099.71	6,239.14	5,378.57	4,518.00	3,657.43	2,796.86	1,936.29	1,075.71
TOTALS							20,250.00	19,092.86	16,778.57	14,464.29	12,150.00	9,835.71	7,521.43	5,207.14	2,892.86
CURRENT YEAR AMORTIZATION								1,157.14	2,314.29	2,314.29	2,314.29	2,314.29	2,314.29	2,314.29	2,314.29

FY94 LEASEHOLD IMPROVEMENTS

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/94	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Quadrant Construction	Work on 27th floor	28654	8/2/94	2221	SL	9.75	16,311.00	15,474.54	13,801.62	12,128.69	10,455.77	8,782.85	7,109.92	5,437.00	3,764.08	2,091.15
Quadrant Construction	Work on computer area	ACC4		2221	SL	9.75	19,386.00	18,391.85	16,403.54	14,415.23	12,426.92	10,438.62	8,450.31	6,462.00	4,473.69	2,485.38
TOTALS							35,697.00	33,866.38	30,205.15	26,543.92	22,882.69	19,221.46	15,560.23	11,899.00	8,237.77	4,576.54
CURRENT YEAR AMORTIZATION								1,830.62	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23

NOTE: During FY94 the Corporation entered into a seperate agreement with the Landlord to continue to rent our space through 2003

FY02-FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/02
Chairstrom	Conference Table	2198	12/5/01	2205	SL	10	1,026 77	975 43
Altel Systems	Audio Equipment for Board Room	102294	11/7/01	2210	SL	10	17,097 00	16,242 15
Fax-Express	Fax - Samsung 6500	2551	1/23/02	2210	SL	10	1,495 00	1,420 25
Fax Express	Fax - Samsung 6500	102965	7/24/02	2210	SL	10	1,895 00	1,800 25
Global Computer	Projector	102725	4/17/02	2210	SL	10	2,812 83	2,672 19
AMC Computer Corp	Tape backup drive	102299	11/14/01	2505	SL	5	4 205 00	3,784 50
Castelle	Fax Server	AMEX	1/10/02	2505	SL	5	2,906 00	2 615 40
WorldCom	Firewall Hardware	102805	5/22/02	2505	SL	5	12 405 64	11 165 08
Dell Marketing	PC - 1500 GX400	2154	11/28/01	2510	SL	5	1,941 00	1 746 90
Troy Group	Printer Sharespools - 2	2182	11/28/01	2510	SL	5	2,190 00	1,971 00
Dell Marketing	10 - PCs - 1500 GX400	102377	12/19/01	2510	SL	5	13,530 00	12,177 00
Dell Marketing	30 - PCs - 1500 GX400	102408	1/3/02	2510	SL	5	39,960 00	35,964 00
Dell Marketing	9 - PCs - 1500 GX400	102500	2/12/02	2510	SL	5	11,628 00	10,465 20
Global Computer	Printer HP2200DN	102501	2/12/02	2510	SL	5	1,152 69	1,037 42
Hewlett Packard	Laserjet Printer 4100	Amex	8/21/02	2510	SL	5	1 099 00	989 10
Dell Marketing	Laptop (NMA)	103018	8/28/02	2510	SL	5	2,941 00	2,646 90
Dell Marketing	PC - GX2601	103018	8/28/02	2510	SL	5	1,187 00	1,068 30
TOTALS							119,471 93	108,741 07
CURRENT YEAR DEPRECIATION								10,730 86

RECAP FIXED ASSETS	SLCODE	AMOUNT
	2205	1,026 77
	2210	23,299 83
	2505	19,516 64
	2510	75,628 69
	TOTAL	119,471 93

FY01-FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Dancer Sellew & Douglas	Bookcase	28130	11/15/00	2205	SL	10	1 045 00	992 75	888 25
Dancer Sellew & Douglas	Workstations - 1	102013	5/23/01	2205	SL	10	1 873 00	1 779 35	1 592 05
Dancer Sellew & Douglas	Workstations - 1 & Files	102013	5/23/01	2205	SL	10	5 959 00	5 661 05	5 065 15
Fax Express	Fax Samsung 6500	70	2/7/01	2210	SL	10	1 695 00	1 610 25	1 440 75
Fax-Express	Fax - Samsung 6500	521	4/18/01	2210	SL	10	1 795 00	1 705 25	1 525 75
AMC Computer Corp	Monitor - Flat Panel	28231	12/6/00	2505	SL	5	1 705 00	1 534 50	1 193 50
IKM Serve - **See Note Below***	Lan Wiring	101727	12/6/00	2505	SL	5	(7 677 35)	(5 374 15)	(5 374 15)
AMC Computer Corp	Compaq rack	101679	11/8/00	2505	SL	5	2 820 00	2 538 00	1 974 00
AMC Computer Corp	Server exchange server	101750	1/3/01	2505	SL	5	14 089 00	12 680 10	9 862 30
AMC Computer Corp	Server tape backup server	101750	1/3/01	2505	SL	5	3 902 00	3 511 80	2 731 40
AMC Computer Corp	Tape backup drives	101750	1/3/01	2505	SL	5	10 750 00	9 675 00	7 525 00
AMC Computer Corp	Tape backup drives	101750	1/3/01	2505	SL	5	4 385 00	3,946 50	3 069 50
AMC Computer Corp	Server Email	101868	3/14/01	2505	SL	5	3 204 00	2 883 60	2,242 80
AMC Computer Corp	Server Secure Messaging	101868	3/14/01	2505	SL	5	2 934 00	2 640 60	2 053 80
AMC Computer Corp	Snap Server	102160	8/22/01	2505	SL	5	2 465 00	2 218 50	1 725 50
AMC Computer Corp	Server	102266	10/24/01	2505	SL	5	4 210 00	3 789 00	2 947 00
Dell Marketing	4 - PC Pentium III	101665	10/25/00	2510	SL	5	5 608 00	5 047 20	3 925 60
Global Computer	Lap top - 1	101720	12/6/00	2510	SL	5	4 292 14	3 862 93	3 004 50
Dell Marketing	1 PC - Pentium III	28388	1/3/01	2510	SL	5	1 191 00	1,071 90	833 70
Dell Marketing	1 - PC - Pentium III	28388	1/3/01	2510	SL	5	1 286 00	1 157 40	900 20
Dell Marketing	1 - PC - Pentium III	101834	2/14/01	2510	SL	5	2 529 00	2 276 10	1 770 30
Global Computer	Laser Printer	1088	6/20/01	2510	SL	5	1 345 90	1 211 31	942 13
Global Computer	Laser Printer	102103	7/5/01	2510	SL	5	1 089 00	980 10	762 30
Global Computer	Laser Printer	102103	7/5/01	2510	SL	5	1 204 50	1,084 05	843 15
Dell Marketing	2 - PC - Pentium III	102101	7/5/01	2510	SL	5	2 678 00	2,410 20	1 874 60
PC Connections	Toshiba laptops - 2	AMEX	3/16/01	2510	SL	5	4 860 03	4 374 03	3 402 02
Global Computer	Laser Printers -2	102135	8/2/01	2510	SL	5	3 299 00	2 969 10	2 309 30
Global Computer	Laser Printer	102135	8/2/01	2510	SL	5	1 749 00	1 574 10	1 224 30
Dell Marketing	1 PC - Pentium III	102141	8/8/01	2510	SL	5	1 916 00	1 724 40	1 341 20
Dell Marketing	1 - PC Pentium III	102141	8/8/01	2510	SL	5	2 065 00	1 858 50	1 445 50
Trov Group	External Sharespools - 3	AMEX	7/3/01	2510	SL	5	3 336 57	3 002 91	2 335 60
Dell Marketing	2 - PC Pentium III	102229	10/3/01	2510	SL	5	2 754 00	2 478 60	1 927 80
Dell Marketing	1 PC Pentium III	102229	10/3/01	2510	SL	5	1 572 00	1 414 80	1 100 40
Global Computer	Projector and screen for training room	102271	10/24/01	2510	SL	5	5 549 40	4 994 46	3 884 58
TOTALS							103 478 19	95 284 19	74 289 78
CURRENT YEAR DEPRECIATION								8 194 00	20 994 41

FY00 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SECODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Chester Hauserman	Dividing wall and door computer room	24646&	6/30/99							
		25572	11/17/99	2205	SL	10	3 900 00	3 705 00	3 315 00	2 925 00
Dancer Sellew & Douglas	Workstations 3	101169	12/8/99	2205	SL	10	15 301 00	14 535 95	13 005 85	11 475 75
Dancer Sellew & Douglas	Workstations 1	27491	8/2/00	2205	SL	10	1 899 00	1 804 05	1 611 15	1 424 25
Fax Express	Fax Samsung 5700I 2	101544	8/2/00	2210	SL	10	3 790 00	3 600 50	3 221 50	2 842 50
Fax Express	Fax Samsung 5700E	101611	9/20/00	2210	SL	10	1 895 00	1 800 25	1 610 75	1 421 25
Fax-Express	Fax Samsung 5700I	25434	11/3/99	2235	SL	10	1 895 00	1 800 25	1 610 75	1 421 25
AMC Computer Corp	Network switch	101443	5/31/00	2505	SL	5	33 933 00	30 539 70	23 753 10	16 966 50
IKM Serve	Lan Wiring	101531 &	7/18/00	2505	SL	5	32 214 85	28 993 37	22 550 40	16 107 43
		Manual Accrual	9/30/00							
Dell Marketing	1 PC Pentium III	25487	11/10/99	2510	SL	5	1 386 00	1 247 40	970 20	693 00
Dell Marketing	3 PC Pentium III	101168	12/8/99	2510	SL	5	4 530 00	4 077 00	3 171 00	2 265 00
Dell Marketing	2 PC Pentium III	101211	12/22/99	2510	SL	5	3 182 00	2 863 80	2 227 40	1 591 00
Dell Marketing	2 - PC Pentium III	101275	2/2/00	2510	SL	5	3 852 00	3 466 80	2 696 40	1 926 00
Dell Marketing	4 PC Pentium III	101445	5/31/00	2510	SL	5	6 628 00	5 965 20	4 639 60	3 314 00
AMC Computer Corp	Monitor	25920	1/5/00	2510	SL	5	1 200 00	1 080 00	840 00	600 00
Dell Marketing	4 PC - Pentium III	101494	6/28/00	2510	SL	5	6 628 00	5 965 20	4 639 60	3 314 00
Dell Marketing	3 PC Pentium III	101570	8/23/00	2510	SL	5	4 836 00	4 352 40	3 385 20	2 418 00
Dell Marketing	Lap top	101602	9/13/00	2510	SL	5	3 315 00	2 983 50	2 320 50	1 657 50
Global Computer	Lap top 3	101213	12/22/99	2510	SL	5	5 160 09	4 644 08	3 612 06	2 580 05
Zones	Lap top 1	101448	5/31/00	2510	SL	5	2 573 00	2 315 70	1 801 10	1 286 50
TOTALS							138 117 94	125 740 15	100 984 56	76 228 97
CURRENT YEAR DEPRECIATION								12 377 79	24 755 59	24 755 59

FY99 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SECODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
AMC Computer Corp	Tape Backup for LAN	100756	2/10/99	2505	SL	5	6 955 00	6 259 50	4 868 50	3 477 50	2 086 50
AMC Computer Corp	Tape Backup#2 for LAN	100776	3/10/99	2505	SL	5	6 450 00	5 805 00	4 515 00	3 225 00	1 935 00
AMC Computer Corp	Network software	100785	3/17/99	2505	SL	5	9 245 00	4 720 50	3 671 50	2 622 50	1 573 50
AMC Computer Corp	Computer storage unit	100957	7/21/99	2505	SL	5	5 450 00	4 905 00	3 815 00	2 725 00	1 635 00
AMC Computer Corp	Modem/communications server	101028	9/29/99	2505	SL	5	2 275 00	2 047 50	1 592 50	1 137 50	682 50
American Power Conversion	UPS upgrade server	AMEX bills	7/30/99	2505	SL	5	1 125 30	1 012 77	787 71	562 65	337 59
Dell Direct	1 PCs XPS R450	AMEX Oct	10/31/99	2510	SL	5	3 043 89	2 730 50	2 123 72	1 516 95	910 17
Dell Direct	2 PCs P6450	100739	2/10/99	2510	SL	5	3 788 00	3 409 20	2 651 60	1 894 00	1 136 40
Dell Direct	1 PCs P6000	24007	3/17/99	2510	SL	5	1 733 00	1 559 70	1 213 10	866 50	519 90
Dell Direct	1 PCs PIII 450K	24342	5/12/99	2510	SL	5	2 074 00	1 866 60	1 451 80	1 037 00	622 20
Dell Direct	1 PCs PIII 450k	24428	5/26/99	2510	SL	5	1 702 00	1 531 80	1 191 40	851 00	510 60
Dell Direct	2 PCs PIII 450K	100945	7/7/99	2510	SL	5	3 004 00	2 703 60	2 102 80	1 502 00	901 20
Zones	Laptop ThinkPad	100965	7/21/99	2510	SL	5	4 297 00	3,867 30	3 007 90	2 148 50	1 289 10
AMC Computer Corp	Internet access box	24825	8/4/99	2510	SL	5	1 960 00	1 764 00	1 372 00	980 00	588 00
Dell Direct	1 PCs PIII 450K	100984	8/11/99	2510	SL	5	1 616 00	1 454 40	1 131 20	808 00	484 80
Dell Direct	6 Laptops	100984	8/11/99	2510	SL	5	16 044 00	14 439 60	11 230 80	8 022 00	4 813 20
Zones	HP Laserjet printer & sharespool	25158	9/22/99	2510	SL	5	2 200 00	1 980 00	1 540 00	1 100 00	660 00
Dell Direct	1 PCs PIII 500K	25189	9/29/99	2510	SL	5	1 386 00	1 247 40	970 20	693 00	415 80
AMC Computer Corp	File server for grant mgmt system	100995	8/18/99	2555	SL	5	15 175 00	13 657 50	10 622 50	7 587 50	4 552 50
AMC Computer Corp	File server for grant mgmt system #2	100995	8/18/99	2555	SL	5	6 930 00	6 237 00	4 851 00	3 465 00	2 079 00
Quadrant Construction	Video cabinets in central files	100701	1/6/99	2205	SL	10	5 950 00	5 355 50	4 165 50	2 975 50	1 785 50
Duncer Sellew & Douglas	Workstations 2	101017	9/15/99	2205	SL	10	17 644 00	16 761 80	14 997 40	13 233 00	11 468 60
Fax Express	Fax Samsung 5700E	101010	9/8/99	2210	SL	10	1 895 00	1 800 25	1 610 75	1 421 25	1 231 75
TOTALS							115 952 19	105 531 42	84 689 88	63 848 35	43 006 81
CURRENT YEAR DEPRECIATION								10 420 77	20 841 54	20 841 54	20 841 54

FY98 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SECOBJ	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Fax Express	Okidata fax machine	22368	6/10/98	7210	SL	5	1 795 00	1 615 50	1 256 50	897 50	538 50	179 50
AMC Computer Corp	File Server	100567	3/11/98	2505	SL	5	15 175 00	13 657 50	10 622 50	7 587 50	4 552 50	1 517 50
AMC Computer Corp	Network software	100513	2/29/98	2505	SL	5	2 350 00	2 115 00	1 645 00	1 175 00	705 00	235 00
Dell Direct	15 PCs P677	100193	11/5/97	2510	SL	5	50 660 00	27 594 00	21 462 00	15 330 00	9 198 00	3 066 00
Dell Direct	7 PCs P6233	100225	11/26/97	2510	SL	5	15 348 16	12 013 54	9 343 71	6 674 08	4 004 45	1 334 82
Dell Direct	3 PCs P6233	100225	11/26/97	2510	SL	5	6 723 76	5 261 58	4 093 63	2 925 88	1 757 33	585 78
PC Zone	Toshiba laptop	Amex 100265	11/25/97	2510	SL	5	3 619 76	2 833 78	2 203 83	1 574 88	944 93	314 98
INMAC	Toshiba laptop	21868	3/25/98	2510	SL	5	1 799 00	1 619 10	1 259 30	899 50	539 70	179 90
Dell Direct	5 PCs XPS	100416	4/22/98	2510	SL	5	4 254 00	3 828 60	2 977 80	2 127 00	1 276 20	425 40
PC Zone	Toshiba laptop	Amex 100546	1/15/98	2510	SL	5	2 419 80	2 177 82	1 693 86	1 209 90	725 94	241 98
Global Computer Supplies	Overhead Projector	100491	7/8/98	2510	SL	5	4 957 62	4 461 86	3 470 33	2 478 81	1 487 29	495 76
AMC Computer Corp	Laserjet Printer	100557	9/9/98	2510	SL	5	1 095 00	985 50	766 50	547 50	328 50	109 50
Dell Direct	2 PCs P6233	100582	9/30/98	2510	SL	5	3 814 00	3 432 60	2 669 80	1 907 00	1 144 20	381 40
Dell Direct	1 PCs PsMMX233	23098	10/21/98 A	2510	SL	5	1 708 00	1 537 20	1 195 60	854 00	512 40	170 90
TOTALS							90 719 10	81 647 19	63 503 37	45 359 55	27 215 73	9 071 91
CURRENT YEAR DEPRECIATION								9 071 91	18 143 82	18 143 82	18 143 82	18 143 82

FY97 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Tobron Office Furniture	Glass Tables (3)	3207	10/16/96	2205	SL	10	965.00	772.00	772.00	579.00	386.00	193.00	0.00
Tobron Office Furniture	Workstation and wall unit - SVS	3017 & 3463	9/13/96 1/8/97	2205	SL	10	6,201.00	4,960.80	4,960.80	3,720.60	2,480.40	1,240.20	0.00
Tobron Office Furniture	Workstation in Secretary's Office	3018 & 3455	9/13/96 1/2/97	2205	SL	10	6,048.00	4,838.40	4,838.40	3,628.80	2,419.20	1,209.60	0.00
Danker Sellew & Douglas	2 Drawer Lateral files (2)	15786 16169	3/25/97 3/27/97	2205	SL	10	2,257.00	1,805.60	1,805.60	1,354.20	902.80	451.40	0.00
Tobron Office Furniture	Workstation and wall unit - EL	3622	6/2/97	2205	SL	10	6,255.00	5,004.00	5,004.00	3,753.00	2,502.00	1,251.00	0.00
Tobron Office Furniture	2 Drawer Lateral files (2)	3745	4/16/97	2205	SL	10	1,026.00	820.80	820.80	615.60	410.40	205.20	0.00
Danker Sellew & Douglas	File Cabinets	3757	4/23/97	2205	SL	10	4,938.00	3,950.40	3,950.40	2,962.80	1,975.20	987.60	0.00
Tobron Office Furniture	Credenza (2)	3840	5/28/97	2205	SL	10	2,980.00	2,384.00	2,384.00	1,788.00	1,192.00	596.00	0.00
Castle Air Conditioning Corp	Air Conditioner for new phone system	3255	10/24/96	2210	SL	5	7,734.00	6,960.60	6,960.60	5,187.00	3,413.40	1,639.80	0.00
Fax Express	Okidata fax machine	3321	1/29/97	2210	SL	5	1,995.00	1,596.00	1,596.00	997.50	598.50	199.50	0.00
Specialty Business Machines	2 Lexmark wheelwriters	15766	2/5/97	2210	SL	5	2,113.24	1,690.60	1,690.60	1,056.62	633.97	211.32	0.00
Fax Express	Okidata fax machine	20554	9/18/97	2210	SL	5	1,395.00	1,116.00	1,116.00	697.50	418.50	139.50	0.00
Lande Group/Micro Computer	Internet Box	3458	12/11/96	2305	SL	5	2,530.00	2,277.00	2,277.00	1,265.00	759.00	253.00	0.00
AMC Computer Corp	Server card	100150	9/24/97	2305	SL	5	1,150.00	1,035.00	1,035.00	575.00	345.00	115.00	0.00
Castelle	Fax Server	20757	10/22/97	2305	SL	5	1,514.00	1,362.60	1,362.60	757.00	454.20	151.40	0.00
Dell Direct	1 PC's 6200	3370	2/12/97	2310	SL	5	2,661.00	2,396.70	2,396.70	1,331.50	798.90	266.30	0.00
Dell Direct	1 PC's P5	16222	4/9/97	2310	SL	5	2,298.00	2,068.20	2,068.20	1,149.00	689.40	229.80	0.00
Dell Direct	2 PC's P5	100008	7/7/97	2310	SL	5	4,598.00	3,922.20	3,922.20	2,179.00	1,307.40	435.80	0.00
Dell Direct	50 PC's P5	100151	10/8/97	2310	SL	5	61,920.00	55,728.00	55,728.00	30,960.00	18,576.00	6,192.00	0.00
PC Zone	Toshiba Laptop	Amex 10015[illegible]	9/30/97	2310	SL	5	4,037.66	3,633.89	3,633.89	2,018.83	1,211.30	403.77	0.00
Dell Direct	1 PC's	20761	10/22/97	2310	SL	5	1,910.00	1,719.00	1,719.00	955.00	573.00	191.00	0.00
TOTALS							151,357.90	119,990.11	119,990.11	71,598.95	51,900.37	29,207.79	16,074.00
CURRENT YEAR DEPRECIATION								11,347.79	0.00	43,391.16	22,698.58	22,698.58	13,133.79

FY96 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SL CODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
The Future Now	Hard drives for LAN	4238	12/13/95	2505	SL	5	3,555.79	3,181.76	2,474.70	1,767.63	1,060.59	353.53	0.00	0.00
The Future Now	Expansion of concentrator module	4497	2/28/96	2505	SL	5	4,335.03	3,807.55	2,957.24	2,112.53	1,267.52	422.51	0.00	0.00
The Future Now	Network software	1317	3/15/96	2505	SL	5	1,925.03	1,737.52	1,347.51	962.51	577.51	192.50	0.00	0.00
The Future Now	Communications module for LAN	13531	4/25/96	2505	SL	5	2,055.00	1,849.50	1,438.50	1,027.50	616.50	205.50	0.00	0.00
Dell Direct	7 PC's	4496	2/28/96	2510	SL	5	15,643.00	12,778.70	9,550.10	6,821.50	4,092.90	1,364.30	0.00	0.00
Dell Direct	2 PC's	4673	4/25/96	2510	SL	5	3,924.00	3,531.60	2,746.80	1,962.00	1,177.20	392.40	0.00	0.00
Dell Direct	1 PC's	13776	5/22/96	2510	SL	5	2,061.00	1,856.70	1,444.10	1,031.50	618.90	206.30	0.00	0.00
Word Pros	4 Laserjet printers	5084	9/11/96	2510	SL	5	7,300.00	6,570.00	5,110.00	3,650.00	2,190.00	730.00	0.00	0.00
Dell Direct	6 PC's	5087	9/13/96	2510	SL	5	17,192.00	10,972.80	8,534.40	6,096.00	3,657.60	1,219.20	0.00	0.00
DZT Systems	Voice recognition system	13775	5/22/96	2510	SL	5	1,570.00	1,413.00	1,099.00	785.00	471.00	157.00	0.00	0.00
Dell Direct	Base server - DC Lan	4257	12/15/95	2565	SL	5	12,071.00	10,865.90	8,449.70	6,035.50	3,621.30	1,207.10	0.00	0.00
Dell Direct	2 PC's	4752	5/29/96	2565	SL	5	6,979.00	6,281.10	4,885.30	3,489.50	2,093.70	697.90	0.00	0.00
Tobron Office Furniture	Credenza	4531	3/13/96	2205	SL	10	1,820.00	1,729.00	1,547.00	1,365.00	1,183.00	1,001.00	819.00	637.00
Tobron Office Furniture	Wall unit file cabinets	4624	4/17/96	2205	SL	10	5,710.00	5,424.50	4,853.50	4,282.50	3,711.50	3,140.50	2,569.50	1,998.50
Tobron Office Furniture	Credenza	4927	7/2/96	2205	SL	10	1,967.00	1,868.65	1,671.95	1,475.25	1,278.55	1,081.85	885.15	688.45
Tobron Office Furniture	File Cabinet	4927	7/2/96	2205	SL	10	1,546.00	1,278.70	1,144.10	1,009.50	874.90	740.30	605.70	471.10
Texstyle	Textroom Carpet	12267 & 12786 & 12872	11/22/95 1/31/96 2/14/96	2205	SL	10	5,962.25	5,584.14	5,027.91	3,671.69	2,543.46	1,959.24	1,605.01	1,346.79
Barnicles	Workstation	4783	5/8/96	2205	SL	10	2,611.00	2,480.45	2,219.33	1,958.23	1,697.13	1,436.03	1,174.93	913.83
Fax Express	Okidata Fax machine	12893	2/14/96	2205	SL	5	1,595.00	1,235.50	976.50	697.50	418.50	139.50	0.00	0.00
Fax Express	Dex Fax machine	4479	2/21/96	2205	SL	5	1,995.00	1,795.50	1,496.50	997.50	598.50	199.50	0.00	0.00
Fax Express	Konica Fax machine	14273	7/31/96	2205	SL	5	1,495.00	1,345.50	1,046.50	747.50	448.50	149.50	0.00	0.00
Williams Telecommunications	Telephone system	5117 5190 ACCT	9/27/96 10/9/96	2210	SL	5	120,919.00	108,827.10	84,645.50	60,459.50	36,275.70	12,091.90	0.00	0.00
TOTALS							214,502.61	193,725.16	152,564.26	111,403.57	70,246.47	29,087.57	7,657.51	5,933.69
CURRENT YEAR DEPRECIATION								20,579.43	41,158.90	41,158.90	41,158.90	41,158.90	21,430.26	1,701.63

FY95 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SL CODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Furniture Consultants	Desk Chair	8883 & 9466	8/19/94 11/9/94	2205	SL	10	1 [illegible] 00	1 561 35	1 218 05	1 074 75	931 45	788 15	644 85	501 55	358 25
Furniture Consultants	Conference Table	7855 & 9132	7/19/94 11/23/94	2205	SL	10	1 194 00	1 134 30	1 014 90	895 50	776 10	656 70	537 0	417 90	298 50
Furniture Consultants	Workstation	2855 & 9132 & 9719	7/19/94 11/23/94 12/14/94	2205	SL	10	6455 65	5 463 35	5 098 79	5 774 22	2 569 66	3 005 10	1 640 54	1 275 97	911 41
Furniture Consultants	Conference Table	10570	8/15/95	2205	SL	10	1 519 00	1 367 05	1 215 15	989 25	847 45	725 45	593 55	461 65	329 75
Furniture Consultants	Conference Table	9915	8/16/95	2205	SL	10	1 395 00	1 255 25	1 185 75	1 046 25	906 75	767 25	627 75	488 25	48 75
Tobron Office Furniture	Workstation	9636 & 4145	8/17/95 10/23/95	2205	SL	10	15 044 00	13 591 80	11 087 40	9 783 00	8 478 60	7 174 20	5 869 80	4 565 40	3 261 00
Tobron Office Furniture	Workstation	9667 & 4145	8/24/95 10/23/95	2205	SL	10	6 022 00	5 720 90	5 118 70	4 516 50	3 914 30	3312 10	2 709 90	2 107 70	1 505 50
Tobron Office Furniture	Bookcases	9646 & 4176	8/11/95 11/1/95	2205	SL	10	5 963 00	5 664 85	5 068 55	4 472 25	3 875 95	3 279 65	2 683 35	2 087 05	1 490 75
Manhattan Woodworking	Serving Table	11011	6/1/95	2205	SL	10	1 765 00	1 676 75	1 500 25	1 323 75	1 147 25	970 75	794 25	617 75	441 25
Tobron Office Furniture	Table	11164 & 4014	6/21/95 9/27/95	2205/2260	SL	10	1 022 00	970 90	868 70	766 50	664 30	562 10	459 90	357 70	255 50
Tobron Office Furniture	Workstation(4)	9646 & 4014	8/11/95 9/27/95	2280	SL	10	56 502 50	53 677 38	48 077 63	42 476 88	36 776 63	31 076 38	25 476 13	19 775 88	14 175 63
Fax Express	4 fax machines	9150	11/23/94	2210	SL	5	7 080 00	6 372 00	4 956 00	3 540 00	2 124 00	708 00	0 00	0 00	0 00
Fax Express	Fax machine	10577	5/15/95	2210	SL	5	1 593 00	1 233 50	976 50	697 50	418 50	139 50	0 00	0 00	0 00
Fax Express	Fax machine	11868	9/27/95	2210	SL	5	1 170 00	1 053 00	936 00	683 00	351 00	117 00	0 00	0 00	0 00
Altel System	Projection Screen	454	1/5/95	2210	SL	5	1938 00	1 362 20	1 770 60	1 979 00	1 187 40	595 80	0 00	0 00	0 00
Fax Express	Fax machine	11920	10/11/95	2210	SL	5	1 545 00	1 390 50	1 081 50	772 50	463 50	154 50	0 00	0 00	0 00
Fax Express	Fax machine	3657	5/10 9	2210	SL	5	1 [illegible] 00	1 867 50	1 452 50	1 037 50	622 50	207 50	0 00	0 00	0 00
The Future Now	Network software	9982	9/20/95	2505	SL	5	1 875 00	1 687 50	1 [illegible] 50	937 50	562 50	187 50	0 00	0 00	0 00
The Future Now	Jukebox (CD Rom player)	9987	9/20/95	2505	SL	5	8 899 13	7 999 22	6 879 69	4 199 57	2 519 74	839 91	0 00	0 00	0 00
The Future Now	13 PCs and network boards	4069	10/11/95	2505	SL	5	19 774 69	17 797 22	15 847 28	9 887 33	5 937 41	1 977 47	0 00	0 00	0 00
The Future Now	Laserjet 4 printer 10	5155	11/23/94	2510	SL	5	14 774 55	12 847 08	9 997 17	7 147 27	4 782 36	1 477 45	0 00	0 00	0 00
The Future Now	HP 600c laptops 13	9445	5/15/95	2510	SL	5	54 715 50	51 245 93	44 560 65	37 937 75	30 414 63	23 171 55	0 00	0 00	0 00
The Future Now	Laserjet 4P printer	9445	5/15/95	2510	SL	5	1 461 60	1 315 44	1 023 12	730 80	438 48	146 16	0 00	0 00	0 00
The Future Now	Laptop P2400cs	9445	5/15/95	2510	SL	5	5 578 50	5 220 47	4 504 81	3 789 15	3 073 49	2357 83	0 00	0 00	0 00
The Future Now	HP 600c laptops 2	9445	5/15/95	2510	SL	5	6 478 58	5 830 54	4 534 87	3 239 19	1 943 51	647 84	0 00	0 00	0 00
The Future Now	Laptop and printer	9938	9/6/95	2510	SL	5	5 298 51	4 768 48	3 708 87	2 649 16	1 589 49	529 83	0 00	0 00	0 00
The Future Now	Laserjet printers 6	9036	10/18/94	2510	SL	5	11 547 30	10 212 75	7 943 25	5 673 75	3 404 25	1 134 75	0 00	0 00	0 00
Dell Direct	7 PCs	9455	5/15/95	2510	SL	5	17 055 [illegible]	15 349 80	11 938 73	8 527 67	5 116 60	1 705 53	0 00	0 00	0 00
Dell Direct	1 PCs	9914	8/16/95	2510	SL	5	3 938 00	3 544 20	2 756 60	1 969 00	1 181 40	393 80	0 00	0 00	0 00
Dell Direct	8 PCs	4070	10/11/95	2510	SL	5	14 396 00	12 956 40	10 077 20	7 198 00	4 318 80	1 439 60	0 00	0 00	0 00
Dell Direct	1 PCs	7555	12/2/94	2505	SL	5	2 475 84	2 228 26	1 732 09	1 237 92	742 75	247 58	0 00	0 00	0 00
Dell Direct	2 PCs	7554/7277	11/15/12/9/1994	2505	SL	5	5 086 54	4 577 71	3 560 44	2 543 17	1 525 90	508 63	0 00	0 00	0 00
BSO Associates	LAN setup in DC office	4061	10/4/95	2505	SL	5	17 343 50	15 610 95	12 141 85	8 672 75	5 203 65	1 734 55	0 00	0 00	0 00
TOTALS							378 [illegible] 08	355 070 53	308 755 45	162 440 32	116 [illegible] 22	69 810 12	41 987 [illegible]	2 636 80	2 326 28
CURRENT YEAR DEPRECIATION								35 157 53	46 315 10	46 315 10	46 315 10	46 315 10	27 877 81	9 350 51	9 350 51

FY94 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SECOND	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/94	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Executive Consultant	Desk Unit	6[illegible]6	10/17/93	2705	SL	10	92.00	83.90	75.70	67.50	59.30	51.10	42.90	34.70	26.50	18.30
		7255	10/15/93													
Executive Consultants	Chairs (2)	1710 &	2/1/93	2705	SL	10	1,749.00	1,606.03	1,463.05	1,320.13	1,177.15	1,034.23	891.25	748.33	605.35	462.43
		7255	1/15/93													
Executive Consultant	Bookcase, library	6438 &	9/27/93	2705	SL	10	2,470.00	2,346.50	2,099.50	1,852.50	1,605.50	1,358.50	1,111.50	864.50	617.50	370.50
		7513	2/22/94													
Executive Consultants	Credenza, Tibl	2346 &	1/29/93	2705	SL	10	1,486.00	1,411.70	1,267.10	1,114.50	965.90	817.30	668.70	520.10	371.50	222.90
		7791	3/29/93													
L & Building Products	Acoustical panels & tack panels	2300 &	1/11/93	2705	SL	10	5,785.00	5,496.75	4,917.25	4,337.75	3,758.25	3,178.75	2,599.25	2,019.75	1,440.25	860.75
		2527	4/5/94													
Executive Consultant	Chairs (3)	2330 &	1/25/93	2705	SL	10	3,735.00	3,548.25	3,174.75	2,801.25	2,427.75	2,054.25	1,680.75	1,307.25	933.75	560.25
		7949	4/26/93													
Executive Consultants	Desk Chair	2330 &	1/25/93	2705	SL	10	853.50	810.83	725.48	640.13	554.78	469.43	384.08	298.73	213.38	128.03
		6044	5/3/93													
Executive Consultant	Credenza bookcases	7540 &	3/1/93	2705	SL	10	2,000.00	1,900.00	1,700.00	1,500.00	1,300.00	1,100.00	900.00	700.00	500.00	300.00
		7681	6/14/93													
Executive Consultant	Credenza, Tibl	5013 &	3/17/93	2705	SL	10	1,486.00	1,411.70	1,263.10	1,114.50	965.90	817.30	668.70	520.10	371.50	222.90
		7681	6/14/93													
D F B Sales	Desk unit, DAH office	8477 &	6/1/93	2705	SL	10	1,998.00	1,898.10	1,698.30	1,498.50	1,298.70	1,098.90	899.10	699.30	499.50	299.70
		9043	9/6/93													
Executive Consultant	Credenza with Tibl	7757 &	3/29/93	2705	SL	10	1,985.00	1,885.75	1,687.25	1,488.75	1,290.25	1,091.75	893.25	694.75	496.25	297.75
		8583	8/16/93													
Executive Consultant	Workstation, 72Hx8Wx	2336	1/25/93	2705	SL	10	61,355.75	58,287.97	52,122.40	45,956.87	39,791.34	33,625.81	27,460.28	21,294.75	15,129.22	8,963.69
		7651	5/21/93													
		8381	8/2/93													
		7651 &	6/14/93													
		2390	5/21/93													
Executive	Computer Stand	8808	5/13/93	2705	SL	10	1,085.00	1,000.75	1,432.75	1,267.75	1,035.25	920.75	755.25	589.75	424.25	257.75
The Future Now	Backup system	2219	12/21/93	2505	SL	5	2,590.00	2,331.00	1,813.00	1,295.00	777.00	259.00	0.00	0.00	0.00	0.00
The Future Now	Memory	2231	12/28/93	2505	SL	5	5,470.00	4,876.00	3,794.00	2,710.00	1,626.00	542.00	0.00	0.00	0.00	0.00
The Future Now	Board	7527	7/22/94	2505	SL	5	573.00	515.70	401.10	286.50	171.90	57.30	0.00	0.00	0.00	0.00
The Future Now	Backup Unit w/ tape	2008	9/30/93	2505	SL	5	6,400.00	5,760.00	4,480.00	3,200.00	1,920.00	640.00	0.00	0.00	0.00	0.00
The Future Now	Backup communications device	2070	5/17/93	2505	SL	5	2,034.00	1,830.60	1,423.80	1,017.00	610.20	203.40	0.00	0.00	0.00	0.00
The Future Now	RAM Card, 8 MB	7610	7/9/93	2505	SL	5	2,195.00	1,975.50	1,536.50	1,097.50	658.50	219.50	0.00	0.00	0.00	0.00
The Future Now	Fax Modem	8003	8/10/93	2505	SL	5	5,054.00	4,548.60	3,536.80	2,525.00	1,513.20	501.40	0.00	0.00	0.00	0.00
The Future Now	Backup system	8130	11/16/93	2505	SL	5	3,135.00	2,821.50	2,194.50	1,567.50	940.50	313.50	0.00	0.00	0.00	0.00
The Future Now	8 Channel Data Acquisition	8130	11/16/93	2505	SL	5	1,795.00	1,615.50	1,256.50	897.50	538.50	179.50	0.00	0.00	0.00	0.00
The Future Now	Data Card	8130	11/16/93	2505	SL	5	1,070.00	963.00	749.00	535.00	321.00	107.00	0.00	0.00	0.00	0.00
The Future Now	Communications/diagnostic software	8050a	10/18/93	2505	SL	5	10,475.00	9,427.50	7,297.50	5,212.50	3,127.50	1,042.50	0.00	0.00	0.00	0.00
Manhattan Office	Scanner	8054	11/2/93	2510	SL	5	1,315.00	1,183.50	920.50	657.50	394.50	131.50	0.00	0.00	0.00	0.00
D E Direct	6 PCs, Bus & accessories	2141&2130	11/2&11/23/93	2510	SL	5	23,037.00	20,733.30	16,125.90	11,518.50	6,911.10	2,303.70	0.00	0.00	0.00	0.00
Ac Audio Visual	Overhead Projector	8080	11/30/93	2510	SL	5	805.00	724.50	563.50	402.50	241.50	80.50	0.00	0.00	0.00	0.00
D E Direct	5 PCs, Bus & accessories	2140&2174	11/23&11/30/93	2510	SL	5	11,400.00	10,260.00	7,980.00	5,700.00	3,420.00	1,140.00	0.00	0.00	0.00	0.00
D E Direct	4 PCs, Bus & accessories	7358	12/21/93	2510	SL	5	11,521.00	10,368.90	8,064.70	5,760.50	3,456.30	1,152.10	0.00	0.00	0.00	0.00
Manhattan Office	17" HP TV, printer	2303	1/11/94	2510	SL	5	3,988.00	3,589.20	2,791.60	1,994.00	1,196.40	398.80	0.00	0.00	0.00	0.00
Manhattan Office	Desk, table, chair, lamp	7553	2/22/94	2510	SL	5	611.00	549.90	427.70	305.50	183.30	61.10	0.00	0.00	0.00	0.00
D E Direct	Desk, Bus, Computer	2571	4/26/94	2510	SL	5	2,574.00	2,316.60	1,801.80	1,287.00	772.20	257.40	0.00	0.00	0.00	0.00
The Future Now	3 computers	7607	4/26/94	2510	SL	5	1,970.00	1,773.00	1,379.00	985.00	591.00	197.00	0.00	0.00	0.00	0.00
The Future Now	CD ROM drive	2020	9/17/93	2510	SL	5	1,795.00	1,615.50	1,256.50	897.50	538.50	179.50	0.00	0.00	0.00	0.00
The Future Now	Hard drive for laptop	8365	9/29/93	2510	SL	5	545.00	490.50	381.50	272.50	163.50	54.50	0.00	0.00	0.00	0.00
The Future Now	2 computers	8210	9/29/93	2510	SL	5	5,971.50	5,374.35	4,180.05	2,985.75	1,791.45	597.15	0.00	0.00	0.00	0.00
Ingres	Upgrade	8970	8/13/93	2510	SL	5	734.63	661.17	514.25	367.42	220.45	73.48	0.00	0.00	0.00	0.00
The Future Now	51 Z printer	8430	9/27/93	2510	SL	5	5,430.20	4,887.18	3,801.14	2,715.10	1,629.06	543.02	0.00	0.00	0.00	0.00
Cable Input Supplies	Network Card	9201	9/10/93	2510	SL	5	1,275.00	1,147.50	892.50	637.50	382.50	127.50	0.00	0.00	0.00	0.00
Office Automation Systems	Fax/Back System	8000	9/10/93	2510	SL	5	5,410.00	4,869.00	3,787.00	2,705.00	1,623.00	541.00	0.00	0.00	0.00	0.00
Ingres	Memory for laptop	10353	10/13/93	2510	SL	5	884.39	795.95	619.07	442.20	265.32	88.44	0.00	0.00	0.00	0.00
Ingres	Cable, Tape	10353	10/13/93	2510	SL	5	2,101.00	1,890.90	1,470.70	1,050.50	630.30	210.10	0.00	0.00	0.00	0.00
Ingres	Memory and HP printer	10353	10/13/93	2510	SL	5	1,732.00	1,558.80	1,212.40	866.00	519.60	173.20	0.00	0.00	0.00	0.00
D E Direct	2 PCs	9334	10/18/93	2510	SL	5	7,158.00	6,442.20	5,011.60	3,579.00	2,147.40	715.80	0.00	0.00	0.00	0.00
Ac Audio Visual Inc	Printer for VCR	9350	10/15/93	2510	SL	5	960.00	864.00	672.00	480.00	288.00	96.00	0.00	0.00	0.00	0.00
The Future Now	12 Printers	8130	11/16/93	2510	SL	5	11,345.00	10,210.50	7,942.50	5,672.50	3,402.50	1,132.50	0.00	0.00	0.00	0.00
Industrial Acoustics	Fab, View, Accessories, Inst	9173	11/30/93	2510	SL	5	3,541.00	3,186.90	2,478.70	1,770.50	1,062.30	354.10	0.00	0.00	0.00	0.00

1994 FURNITURE & EQUIPMENT

ASSET	DESCRIPTION	CHECK #	CHECK DATE	SECODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/93	BOOK VALUE 9/30/94	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Campb U S [illegible]	On Furn for Windows	3005	2/30/94	2520	SL	5	3,300.00	3,300.00	2,772.00	1,980.00	1,188.00	396.00	0.00	0.00	0.00	0.00	0.00
Campbell S [illegible]	On Furn for Windows	3052a	10/18/94	2520	SL	5	4,720.00	4,248.00	3,304.00	2,360.00	1,416.00	472.00	0.00	0.00	0.00	0.00	0.00
TOTALS							254,095.24	233,692.05	199,287.48	150,983.31	109,077.14	67,471.97	42,002.44	32,668.57	23,333.00	13,999.81	
CURRENT YEAR DEPRECIATION								20,807.59	41,005.17	41,005.17	41,005.17	41,005.17	41,005.17	23,409.57	9,333.43	9,333.88	9,333.86

FY93 FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/93	BOOK VALUE 9/30/94	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Furniture Consultants	Chairs AR	618 C	7/27/93	20S	SL	10	981.75	932.66	834.49	736.31	638.14	539.96	441.79	343.61	245.44	147.26	49.09
		416	10/26/93														
Furniture Consultants	Workstation MHH	6308	7/1/93	20S	SL	10	1,721.00	1,533.49	1,166.85	1,030.07	893.86	757.65	621.44	485.23	349.01	212.80	86.60
		4030	11/9/93														
Furniture Consultants	Chairs LC	65 C	5/3/93	20S	SL	10	904.00	805.80	765.40	678.00	587.60	497.20	406.80	316.40	226.00	135.60	45.20
		4030	11/9/93														
Furniture Consultants	Chairs LG	667 C	8/10/93	20S	SL	10	4,065.00	3,624.23	3,447.18	3,041.03	2,636.08	2,230.93	1,824.98	1,419.83	1,014.88	608.93	202.98
		4311	11/16/93														
Furniture Consultants	Desk	4385	11/30/93	20S	SL	10	750.00	712.50	637.50	562.50	487.50	412.50	337.50	262.50	187.50	112.50	37.50
Furniture Consultants	Chairs SS	786 &	9/14/93	20S	SL	10	981.75	932.66	834.43	736.31	638.14	539.96	441.79	343.61	245.44	147.26	49.09
		4198	12/30/93														
Furniture Consultants	Tackboard	786 &	9/14/93	20S	SL	10	965.50	917.23	820.68	724.13	627.58	531.03	434.48	337.93	241.38	144.83	48.28
		4581	1/4/93														
Furniture Consultants	Bookcases (3)	1705 &	1/26/93	20S	SL	10	6,047.00	5,745.90	5,135.70	4,531.50	3,927.30	3,323.10	2,718.90	2,114.70	1,510.50	906.30	302.10
		4593	6/14/93														
Brodart Co	Display Shelf Libraria	4701	7/1/93	20S	SL	10	687.00	647.90	539.70	511.50	443.30	375.10	306.90	238.70	170.50	102.30	34.10
Furniture Consultants	Workstation JW	1740 C	7/1/93	20S	SL	10	13,635.71	12,957.9	11,607.27	10,341.75	8,876.1	7,410.61	6,114.07	4,779.50	3,444.93	2,045.36	681.79
		4579	12/30/93														
Furniture Consultants	Workstation CS area	1317 C	7/15/93	20S	SL	10	24,189.39	22,979.93	20,560.98	18,142.04	15,723.10	13,304.16	10,885.3	8,466.39	6,047.35	3,628.41	1,209.47
		4529	9/21/93														
Furniture Consultants	Bookcases glass	618 630	6/30/93	20S	SL	10	48,554.00	46,126.30	41,270.90	36,415.50	31,560.10	26,704.70	21,849.30	16,993.90	12,138.50	7,283.10	2,427.70
		4447	8/9														
Furniture Consultants	Bookcases	65?	5/3/92	20S	SL	10	989.00	939.55	840.65	741.75	642.85	543.95	445.0	346.15	247.25	148.35	49.45
		4990	10/29/92														
Furniture Consultants	Workstation EEI	65?	5/3/9	0	SL	10	10,136.6	9,629.2	8,615.8	7,602.3	6,588.9	5,575.4	4,562.0	3,548.50	2,535.10	1,521.6	508.2
		4439	4/1/93														
Furniture Consultants	Tables	1,104 C	10/93	20S	SL	10	1,939.0	1,842.05	1,647.13	1,452.15	1,257.18	1,062.20	867.23	672.25	477.28	282.30	87.33
		6175	8/16/93														
		1976	9/30/93														
Furniture Consultants	Bookcases	1504	5/10/93	20S	SL	10	3,940.00	3,743.00	3,345.00	2,947.00	2,549.00	2,151.00	1,753.00	1,355.00	957.00	559.00	161.00
Furniture Consultants	File Cabinet	1515 C	6/1/93	20S	SL	10	4,737.50	4,500.63	4,025.88	3,551.13	3,076.38	2,601.63	2,126.88	1,652.13	1,177.38	702.63	227.88
		1893	5/30/93														
Furniture Consultants	Credenza & Bookcase	1745 C	6/1/93	20S	SL	10	6,591.00	6,261.45	5,602.35	4,943.25	4,284.15	3,625.05	2,965.95	2,306.85	1,647.75	988.65	329.55
		1976	9/30/93														
Furniture Consultants	Chairs (3)	1545 &	6/1/93	20S	SL	10	1,537.00	1,460.15	1,306.45	1,152.75	999.05	845.35	691.65	537.95	384.25	230.55	76.85
		6196	8/23/93														
Bi_ Apple Business	Typewriter Canon sp810	4290	11/16/93	20S	SL	5	1,050.00	945.00	735.00	525.00	315.00	105.00	0.00	0.00	0.00	0.00	0.00
Neo Systems	Dictating Machine	5315	3/3/93	2710	SL	10	531.70	504.64	451.5	398.40	345.28	292.16	239.04	185.92	132.80	79.68	26.56
The Entire No	Network File Server	1461	1/13/93	2505	SL	5	5,345.00	4,810.50	3,741.50	2,672.50	1,603.50	534.50	0.00	0.00	0.00	0.00	0.00
Microsystems	Communications device	6114	8/16/93	2505	SL	5	1,175.00	1,057.50	822.50	587.50	352.50	117.50	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	1 PC 486D 5 SPC 486D 33	4031	11/9/93	2510	SL	5	9,033.00	10,779.70	16,133.10	11,516.50	6,909.90	3,303.30	0.00	0.00	0.00	0.00	0.00
Manhattan Office	HP Laserjet IV (3)	1052	11/16/93	2510	SL	5	9,090.00	2,781.00	1,635.00	1,554.00	937.00	309.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Laptops (3)	1093	12/31/92	2510	SL	5	8,973.00	8,075.70	6,731.10	4,486.50	2,691.90	897.30	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	45 PC 486DX 33	1167	1/11/93	2510	SL	5	11,363.00	10,678.50	8,305.0	5,931.50	3,559.50	1,186.50	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	1 PC 486DX 33	1101	1/26/93	2510	SL	5	11,363.00	10,678.50	8,305.0	5,931.50	3,559.50	1,186.50	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba T1200,T1 0	4541	3/29/93	2510	SL	5	3,345.00	101.50	1,614.0	1,167.50	700.50	233.50	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	1 PC 486DX2	13 5	3/31/93	2510	SL	5	11,363.00	10,678.0	8,305.50	933.0	3,559.50	1,186.50	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	Ultra Scan Monitor	240	4/19/93	2510	SL	5	529.00	476.10	370.30	264.50	158.70	52.90	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Data Fax Modem	438	5/1/93	2510	SL	5	571.00	513.90	399.70	285.50	171.30	57.10	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba Desk Station	37	6/07/93	2510	SL		736.00	662.40	515.20	368.00	220.80	73.60	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	1 PC 486	1781	7/7/93	2510	SL		98.00	3,683.80	2,867.40	1,491.00	894.60	298.20	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	11 PC 486	1957	9/1/93	2510	SL	5	18,799.00	14,919.10	27,159.30	19,399.50	11,639.70	3,879.90	0.00	0.00	0.00	0.00	0.00
Dell Direct Sales	5 PC 486	0?4	3/30/93	2510	SL	5	5,968.00	5,371.20	4,177.60	2,984.00	1,790.40	596.80	0.00	0.00	0.00	0.00	0.00
Video Visual	Projector Sharp QA 16 0	20??	9/30/93	2510	SL	5	5,370.00	5,101.50	4,026.00	2,910.00	1,716.00	582.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba T3400 100	6484	10/29/93 ACC	2510	SL	5	2,145.00	2,101.50	1,631.70	1,167.50	700.50	233.50	0.00	0.00	0.00	0.00	0.00
TOTALS							5,139.56	20,688.79	20,475.66	178,206.5	136,049.58	9,836.7	63,436.71	50,910.78	36,644.84	1,818.90	2,727.97
CURRENT YEAR DEPRECIATION								31,106.7	43,1.11	4,21.14	4,21,.11	42,13.14	5,379.1	14,4.91	14,4.91	14,4.91	14,4.91

1992 FURNITURE & EQUIPMENT

ASSET	DESCRIPTION	CHECK #	CHECK DATE	SECODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/92	BOOK VALUE 9/30/93	BOOK VALUE 9/30/94	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Furniture Consultants	Chairs ( ) LCF1HAC	69698& 27103	10/07/91 2/1/92	2705	SL	10	1 918 00	1 823 10	1 630 10	1 438 20	1 246 30	1 054 40	862 50	671 60	479 70	287 80	95 90	0 00
Furniture Consultants	Chairs (4)	70969& 27205	10/07/91 1/6/92	2705	SL	10	2 568 00	2 439 60	2 311 20	2 182 80	2 054 40	1 926 00	1 797 60	1 669 20	1 540 80	1 412 40	1 284 00	0 00
Furniture Consultants	Coffee Table	6969& 27185	10/07/91 1/29/91	2705	SL	10	1 677 00	1 593 15	1 509 30	1 425 45	1 341 60	1 257 75	1 173 90	1 090 05	1 006 20	922 35	838 50	0 00
Furniture Consultants	Bookcases ( )	70685& 27403	10/15/91 2/5/92	2205	SL	10	5 370 00	5 101 50	4 833 00	4 564 50	4 296 00	4 027 50	3 759 00	3 490 50	3 222 00	2 953 50	2 685 00	0 00
Furniture Consultants	Bookcase	71768& 27403	2/27/91& 2/5/92	2705	SL	10	1 540 00	1 463 00	1 386 00	1 309 00	1 232 00	1 155 00	1 078 00	1 001 00	924 00	847 00	770 00	0 00
Furniture Consultants	Chairs ( )	71198& 284	11/18/91 4/27/92	2705	SL	10	1 877 00	1 783 15	1 689 30	1 595 45	1 501 60	1 407 75	1 313 90	1 220 05	1 126 20	1 032 35	938 50	0 00
Furniture Consultants	Chairs (4)	72158& 299	1/21/92 6/1/92	2205	SL	10	5 739 00	5 452 05	5 165 10	4 878 15	4 591 20	4 304 25	4 017 30	3 730 35	3 443 40	3 156 45	2 869 50	0 00
Furniture Consultants	Chairs (2)	7234 99	2/18/92 6/1/92	2205	SL	10	866 00	822 70	779 40	736 10	692 80	649 50	606 20	562 90	519 60	476 30	433 00	0 00
Midtown Woodworkers	Conference tables (2)	7274 1	2/25/92	2205	SL	10	4 500 00	4 275 00	4 050 00	3 825 00	3 600 00	3 375 00	3 150 00	2 925 00	2 700 00	2 475 00	2 250 00	0 00
Furniture Consultants	Desk table & credenza	7276 & 6 0	4/20/92 7/29/92	2205	SL	10	10 599 00	9 879 05	9 159 10	8 439 15	7 719 20	6 999 25	6 279 30	5 559 35	4 839 40	4 119 45	3 399 50	0 00
Furniture Consultants	Desk	7284 & 882	7/13/92 9/ 0/92	2705	SL	10	2 586 00	2 456 70	2 198 10	1 939 50	1 680 90	1 422 30	1 163 70	905 10	646 50	387 90	129 30	0 00
Furniture Consultants	Desk	7298 & 95	6 /9/92 9 0 9_	2205	SL	10	3 775 00	3 586 10	3 397 20	3 208 30	3 019 40	2 830 50	2 641 60	2 452 70	2 263 80	2 074 90	1 886 00	0 00
Furniture Consultants	Desk	7305 & 4974	6 /9/9 9/30/92	2705	SL	10	9517	9 041	8 565	8 089	7 613	7 137	6 661	6 185	5 709	5 233	4 757	0 00
Midtown Woodworkers	Conference tables ( )	5	9/25/9	2705	SL	10	6 750 00	6 412 50	6 075 00	5 737 50	5 400 00	5 062 50	4 725 00	4 387 50	4 050 00	3 712 50	3 375 00	0 00
Vero System	Dictation Machine	7177	10/16/91	2210	SL	10	629 10	597 65	566 24	534 83	503 42	472 01	440 60	409 19	377 78	346 37	314 96	0 00
Vero System	Dictation Machine	2458	1/21/92	2210	SL	10	671 00	637 45	603 90	570 35	536 80	503 25	469 70	436 15	402 60	369 05	335 50	0 00
Vero System	Dictation Machine (2)	711	5/14/92	2210	SL	10	1 230 10	1 168 60	1 107 09	1 045 58	984 07	922 56	861 05	799 54	738 03	676 52	615 01	0 00
Ace Audio Visual Co	Podium	737	/16/92	2210	SL	10	2 057 40	1 954 53	1 851 66	1 748 79	1 645 92	1 543 05	1 440 18	1 337 31	1 234 44	1 131 57	1 028 70	0 00
Hello Direct	Teleconferencing Unit	7433	5/11/92	2210	SL	5	1 774 82	1 597 34	1 419 87	1 242 39	1 064 91	887 43	709 96	532 48	355 00	177 48	0 00	0 00
Hello Direct	Teleconferencing Unit	7414	6/5/92	2210	SL	5	1 299 00	1 169 10	1 039 20	909 30	779 40	649 50	519 60	389 70	259 80	129 90	0 00	0 00
Fax Express	Fax machine	7522	8/24/92	2210	SL	5	3 666 00	3 299 40	2 932 80	2 566 20	2 199 60	1 833 00	1 466 40	1 099 80	733 20	366 60	0 00	0 00
Big Apple Business	Typewriters (4)	681	9/10/92	2210	SL	5	1 810 00	1 629 00	1 448 00	1 267 00	1 086 00	905 00	724 00	543 00	362 00	181 00	0 00	0 00
Altel Systems	Microphones for board room	680	9/30/92	2210	SL	5	19 931 20	17 938 08	15 944 96	13 951 84	11 958 72	9 965 60	7 972 48	5 979 36	3 986 24	1 993 12	0 00	0 00
Municipal Electric	Wiring for microphones	679	9/30/92	2210	SL	5	8 612 50	7 751 25	6 890 00	6 028 75	5 167 50	4 306 25	3 445 00	2 583 75	1 722 50	861 25	0 00	0 00
RCA Business Telephone	Telephone system	5 4	9/28/92	2211	SL	5	20 000 00	18 000 00	16 000 00	14 000 00	12 000 00	10 000 00	8 000 00	6 000 00	4 000 00	2 000 00	0 00	0 00
Network Management	Backup system & fax expansion	72866	12/2/92	2305	SL	5	10 010 95	9 009 86	8 008 76	7 007 67	6 006 57	5 005 48	4 004 38	3 003 29	2 002 19	1 001 10	0 00	0 00
Manhattan Office	Power supply & board	72488	/2/92	2350	SL	5	1 064 00	957 60	851 20	744 80	638 40	532 00	425 60	319 20	212 80	106 40	0 00	0 00
Network Management	Hard Disk for network	116	/29/92	2305	SL	5	16 901 00	15 210 90	13 520 80	11 830 70	10 140 60	8 450 50	6 760 40	5 070 30	3 380 20	1 690 10	0 00	0 00
Innac	Computer cabinet	119	/23/92	2305	SL	5	831 65	748 57	665 49	582 41	499 33	416 25	333 16	250 08	167 00	83 92	0 00	0 00
Manhattan Office	Toshiba PC T 3300sx	70552	10/28/91	2310	SL		11 247 69	10 122 92	8 998 15	7 873 38	6 748 61	5 623 84	4 499 07	3 374 31	2 249 54	1 124 77	0 00	0 00
Zeos	PC 386	72 54	1/17/92	2310	SL	5	2 340 00	2 106 00	1 872 00	1 638 00	1 404 00	1 170 00	936 00	702 00	468 00	234 00	0 00	0 00
Manhattan Office	HP laserjet III	7190	1/17/92	2310	SL		2 051 00	1 845 90	1 640 80	1 435 70	1 230 60	1 025 50	820 40	615 30	410 20	205 10	0 00	0 00
Computerland	Deskpro 386s	7241 1	2/19/92	2310	SL		1 071 00	1 063 90	856 80	749 70	642 60	535 50	428 40	321 30	214 20	107 10	0 00	0 00
Manhattan Office	HP laserjet III	72467	/24/92	2310	SL		1 026 00	1 541 40	1 232 70	1 027 00	821 30	615 60	410 90	205 20	0 00	0 00	0 00	0 00
Zeos	PC 486DX (4)	7 6	4/13/92	2310	SL	5	9 760 00	8 784 00	7 808 00	6 832 00	5 856 00	4 880 00	3 904 00	2 928 00	1 952 00	976 00	0 00	0 00
Zeos	PC 486DX (4)	00	4/27/92	2310	SL	5	9 760 00	8 784 00	7 808 00	6 832 00	5 856 00	4 880 00	3 904 00	2 928 00	1 952 00	976 00	0 00	0 00
Zeos	PC 486DX (6)	360	5/18/92	2310	SL	5	11 748 00	10 573 20	9 398 40	8 223 60	7 048 80	5 874 00	4 699 20	3 524 40	2 349 60	1 174 80	0 00	0 00
Manhattan Office	HP printer 4L00	57	9 /0 92	2310	SL		6 850	6 165 00	5 480 00	4 795 00	4 110 00	3 425 00	2 740 00	2 055 00	1 370 00	685 00	0 00	0 00
Lockhead Software	Network software	4 7	6 15/92	2370	SL	5	1 902 51	1 712 26	1 522 01	1 331 76	1 141 51	951 26	761 01	570 76	380 51	190 25	0 00	0 00
TOTALS							196 304 67	179 349 27	163 318 63	111 487 92	77 4 7 22	4 426 2	2 770 06	18 487 82	1 705 59	7 923	641 17	0 00
CURRENT YEAR DEPRECIATION								17 01 35	34 0 0 70	34 0 0 70	34 0 0 70	19 636 47	5 282 74	5 282 74	5 282 24	5 7 24	2 641 12	

Part I, Line 23
OTHER EXPENSES

	Column (a)	Column (b)	Column (d)
Trustees Expenses	$80 370	--	$80 970
Consultants	589 611	--	515 793
Postage Telephone & Messenger	180 331	20 871	160 504
Office Equipment Services and Supplies	280 680	33 838	298 538
Computer Equipment and Service	228 200	28,983	320 528
Copying & Duplicating	62 813	7 334	56 181
Books & Periodicals	93 417	11 588	83 771
Insurance	63 249	--	59 070
Membership Fees	16 362	1 475	14 887
Real Estate Broker's Commission	499 160	57 703	441 457
Miscellaneous	19 680	2 260	17 289
Subtotal	$2 113 873	$164 052	$2 048 988
Less Fixed Assets Capitalized	--	--	(133 162)
TOTAL - Line 23	$2,113 873	$164 052	$1 915 826

Manager	A Book Cost 9/30/001	Investments Acquired B Cash Paid	C Purchase	D Free Receipt	E Capital Change	F Total Gain (Loss)	Investments Disposed G Cash Proceeds	H Cost of Sales	I Free Delivery	J Capital Change Adjustment	K Realized Total Gain (Loss)	L Lines C, D, E+(H, I, J) Cost of Investments 9/30/002	M Market Value of Investments 9/30/002
Capital Guardian Trust Company Client Fund													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	1000000.00	[illegible]	0.00	0.00	[illegible]		
Payment Matured	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
Sub-total	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]
Capital Guardian Trust Domestic Equity													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	[illegible]	[illegible]		
Payment Matured	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
Sub-total	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	[illegible]	[illegible]	[illegible]	[illegible]
Internally Managed Fixed Income													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
Payment Matured	0.00	0.00	0.00	0.00	0.00	0.00	4000000.00	4014[illegible]	0.00	0.00	[illegible]		
Sub-total	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]
Morgan Stanley Dean Witter Fixed Income													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
Margin Accounts		0.00	0.00	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
Payment Matured			0.00	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	(778.0)		
Sub-total	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]
Morgan Stanley Dean Witter Equity													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
Payment Matured	0.00	0.00	0.00	0.00	0.00	0.00	1085[illegible]	0.00	0.00	0.00	1085.46.49		
Sub-total	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	0.00	0.00
Bankers Reserve Account	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	0.00	0.00	[illegible]	[illegible]
State Street	[illegible]	16631.96	16631.96	0.00	0.00	0.00	[illegible]	[illegible]	0.00	00	(13604001.8)	0.00	0.00
EII Real Securities													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
Payment Matured		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
Sub-total	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]
Westpeak Asset Management													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
Payment Matured		0.00	0.00	0.00	0.00	0.00			0.00	0.00	0.00		
	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]
Edison Asset Management													
Investment Accounts	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]		
		0.00	[illegible]	0.00	0.00	0.00	0.00	[illegible]	0.00	0.00	0.00		
	[illegible]	[illegible]	[illegible]	0.00	0.00	0.00	[illegible]	[illegible]	0.00	0.00	[illegible]	0.00	0.00

Manager	A Book Cost 9:30 (BC)	Investment Acquired B Cash Paid	C Purchases	D Free Receipt	E Capital Change	F Total Capital	Investment Disposed G Cash Proceeds	H Cost of Sales	I Fees Deferrals	J Capital Change Adjustment	K Net(G-H) Total Gain/Loss	L Book Cost Ending Cost of Investments 9:30 2BC	M Market Value of Investments 9:30 MV
Southeastern Asset Management													
Investment Activity	$ 351 226	199 15	4 597 15 4	0.00	0.00	0.00	01 024 75	604 540 15	0.00	0.00	3 469 [illegible]		
		0.00	0.00	0.00	0.00	0.00	0.00	0 [illegible]	0.00	0.00	0.00		
	8 351 7 267	89 151	89 151 4	0.00	0.00	0.00	0 014 [illegible]	9 [illegible]	0.00	0.00	3 469 [illegible]	0 [illegible]	[illegible]
Southeastern International													
Investment Activity	0.00	119 05 49 49	119 05 49 49	0.00	0.00	0.00	8 5 [illegible]	8 870 [illegible]	0.00	0.00	0.00		
Currency Gain/Loss	0.00	0.00	0.00	0.00	0.00	0.00	[illegible]	0.00	0.00	0.00	[illegible]		
	0.00	119 05 49 49	119 05 49 49	0.00	0.00	0.00	5 54 86 1	8 [illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]
T. Rowe Price													
Investment Activity	9 9 1 86 1	871 856 01	1 856 01	[illegible]	0.00	0.00	9 [illegible]	16 1 080		0.00	666 500 50		
	0.00	0.00	0.00	0.00	0.00	0.00	0 [illegible]	0.00	0.00	0.00	0.00		
	9 9 1 86 1	1 856 01	1 856 01	[illegible]	0	0.00	[illegible]	16 1 080	0.00	0.00	666 500 50	[illegible]	[illegible]
Due to Broker on Purchase of Investments	(20 [illegible] 01						0.00	0.00				(4 41 68)	(330 719 80)
Accrued Investment Income	886 [illegible]	1 861 [illegible]	1 861 [illegible]	0.00	0.00	0.00	886 [illegible]	3 886 [illegible]	0.00	0.00	0.00	1 861 [illegible]	1 861 [illegible]
Sub Cayman Holdings Overnight Bank	0 000 100 00	0.00	0.00	[illegible]	0.00	0.00	[illegible]	0 000 000 00	0.00	0.00	0.00	[illegible]	[illegible]
CGT Global Currency Loss	0.00	0	0.00	0.00	0.00	0.00	(6 [illegible]	0.00	0.00	0.00	(6 [illegible]	0.00	0.00
IPSI Global Ltd	0.00	0	0.00	0.00	0.00	0.00	[illegible]	0.00	0.00	0.00	[illegible]	0.00	0.00
TIAA CREF Short Term Fund	276 [illegible]	1 9 861 90	1 9 861 9	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	[illegible]	[illegible]
Taxes	0.00	0.00	0.00	40 40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	37 40	11 80
Summary of Investment	[illegible]	[illegible]	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	0.00	[illegible]	[illegible]	[illegible]	[illegible]
Cash Received from Other Investments											[illegible]		
Total Investments	[illegible]	[illegible]	[illegible]	[illegible]	0.00	0.00	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
											0.00		
											[illegible]		

	BOOK VALUE	BOOK %	MARKET VALUE	MARKET %
ASSETS				
SECURITIES				
FIXED INCOME	254 22	00	254 22	00
EQUITIES	203,039,010 00	100 00	149,346,860 69	100 00
*TOTAL SECURITIES	203,039,264 22	100 00	149,347,114 91	100 00
CASH	00	00	00	00
RECEIVABLES	79,921 35	04	79,921 35	05
**TOTAL ASSETS	203,119,185 57	100 04	149,427,036 26	100 05
LIABILITIES				
PAYABLES	79,921 05	04	79,921 05	05
*TOTAL LIABILITIES	79,921 05	04	79,921 05	05
NET ASSETS	203,039,264 52	100 00	149,347,115 21	100 00

SCHEDULE A

SCHEDULE 6

FED ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101361

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CARNEGIE CORPORATION OF NEW YORK
CAPITAL GUARDIAN TAX EXEMPT
FUNDS

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP MGW PAGE 1

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	1,445 08	1,445 06	100 00	1,190 84	1,190 84	1,190 84	100 00	00
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
INVESTMENT COMPANIES								
CAPITAL GUARDIAN								
NON US EQUITY FUND								
INVESTMENT ACTIVITY	89,015 45	1,716,874 58	19 29	00	5,465,605 08	00	00	5,465,605 08-
POOLED COMMON STOCK								
EMERGING MARKETS GROWTH FUND								
INVESTMENT ACTIVITY	168,264 74	6,369,114 49	37 85	104,909 77	4,325,429 65	5,000,000 00	47 68	674,570 35
MISCELLANEOUS	00	00	00	00	00	00	00	00
**SECURITY TOTAL*	168,264 74	6,369,114 49	*******	104,909 77	4,325,429 65	5,000,000 00	*******	674,570 35
**TOTAL COMMON STOCK								
INVESTMENT ACTIVITY	********	8,085,989 07	********	********	9 791,034 73	5,000,000 00	*****	4,791,034 73-
MISCELLANEOUS	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	8,085,989 07	********	********	9,791,034 73	5,000,000 00	*****	4,791,034 73-
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	8,085,989 07	********	********	9,791,034 73	5,000,000 00	*****	4,791,034 73-
MISCELLANEOUS	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	8,085,989 07	********	********	9,791,034 73	5,000,000 00	*****	4,791,034 73-
ACCOUNT PERIOD TOTALS								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
**TOTAL								
INVESTMENT ACTIVITY	********	8,087,434 13	********	********	9,792,225 57	5,001,190 84	*****	4,791,034 73-
MISCELLANEOUS	********	00	********	********	00	00	*****	00
ACCOUNT GRAND TOTAL	********	8,087,434 13	********	********	9,792,225 57	5,001,190 84	*****	4,791,034 73-

	--------B O O K--------- VALUE	%	-------M A R K E T------- VALUE	%
A S S E T S				
SECURITIES				
FIXED INCOME	2,355,919 51	1 88	2,383,712 69	2 66
EQUITIES	122,653,290 00	98 01	87,058,828 26	97 19
*TOTAL SECURITIES	125,009,209 51	99 89	89,442,540 95	99 85
CASH	00	00	00	00
RECEIVABLES	231,557 60	19	231,557 60	26
**TOTAL ASSETS	125,240,767 11	100 08	89,674,098 55	100 11
L I A B I L I T I E S				
PAYABLES	94,898 02	08	94,898 02	11
*TOTAL LIABILITIES	94,898 02	08	94,898 02	11
NET ASSETS	125,145,869 09	100 00	89,579 200 53	100 00

SCHEDULE A

SCHEDULE C
FED ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

CARNEGIE CORP OF NEW YORK
CAPITAL GUARDIAN TRUST
US EQUITY ACCOUNT

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	36,965,574 94	36,965,574 94	100 00	38,559,127 24	38,559,127 24	38,559,127 24	100 00	00
CORPORATE OBLIGATIONS								
DOMESTIC CONVERTIBLE OBLIGATIONS								
NON-OIL INDUSTRIALS								
FREEPORT-MCMORAN COPPER & GOLD INC CONV BOND 8 250% DTD 07AUG2001 DUE 31JAN2006								
INVESTMENT ACTIVITY	00	00	00	620,000 00	623,778 00	880 893 96	142 08	257 115 96
FINANCIAL OBLIGATIONS								
NEXTEL COMMUNICATIONS INC 4 75% DTD 16JUN1999 DUE 01JUL2007								
INVESTMENT ACTIVITY	451,000 00	273,626 00	60 67	00	00	00	00	00
FOREIGN CONVERTIBLE OBLIGATIONS								
NORTEL NETWORKS CORP SERIES 144A 4 250% DTD 15AUG2001 DUE 01SEP2008								
INVESTMENT ACTIVITY	00	00	00	514,000 00	526,099 80	153,728 15	29 91	372,371 65-
**TOTAL CORPORATE OBLIGATIONS INVESTMENT ACTIVITY	********	273,626 00	********	********	1,149,877 80	1,034,622 11	*****	115,255 69-
**TOTAL FIXED INCOME INVESTMENT ACTIVITY	********	37,239,200 94	********	********	39,709,005 04	39,593,749 35	*****	115,255 69-

	ACQUIRED			DISPOSED			
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
EQUITIES								
PREFERRED STOCK								
DOMESTIC CONVERTIBLE PREFERRED STOCK								
FORD CAPITAL TRUST								
6 500% CNV PREFERRED STOCK								
INVESTMENT ACTIVITY	17,300 00	882,255 07	51 00	3,400 00	170,000 00	156,489 46	46 03	13,510 54-
COMMON STOCK								
DOMESTIC COMMON STOCK								
AEROSPACE								
UNITED TECHNOLOGIES CORP COM								
INVESTMENT ACTIVITY	3,100 00	160,436 74	51 75	17,900 00	918,414 33	1,187,629 83	66 35	269,215 50
BANKS								
BANK OF AMERICA CORP								
COM STK USD0 01								
(FRMLY BANKAMERICA CORP)								
INVESTMENT ACTIVITY	00	00	00	27,300 00	1,709,127 03	1,815,598 38	66 51	106,471 35
BANC ONE CORP COM NPV								
INVESTMENT ACTIVITY	00	00	00	8,700 00	330,961 29	338,418 70	38 90	7,457 41
CITIGROUP INC								
COM								
INVESTMENT ACTIVITY	00	00	00	18,600 00	545,316 02	807,023 10	43 39	261,707 08
ADJUSTMENT	00	00	00	00	19,347 65	00	00	00
**SECURITY TOTAL*	00	00	*******	18,600 00	564,663 67	807,023 10	*******	261,707 08

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
JP MORGAN CHASE & CO COMMON STOCK (FORMERLY CHASE MAHATTAN CORP)								
INVESTMENT ACTIVITY	56,500 00	1,864,936 26	33 01	00	00	00	00	00
WASHINGTON MUT INC COM								
INVESTMENT ACTIVITY	33,400 00	1,043,811 42	31 25	18,400 00	475,333 34	574,903 13	31 24	99,569 79
WELLS FARGO & CO NEW COM								
INVESTMENT ACTIVITY	3,500 00	141,615 05	40 46	28,400 00	1,076,441 29	1,294,119 51	49 02	217,678 22
**TOTAL BANKS								
INVESTMENT ACTIVITY	********	3,050,362 73	********	********	4,137,178 97	4,830,062 82	*****	692,883 85
ADJUSTMENT	********	00	********	********	19,347 65	00	*****	00
**TOTALS FOR CATEGORY	********	3,050,362 73	********	********	4,158,526 62	4,830,062 82	*****	692,883 85
BEVERAGE								
ANHEUSER BUSCH COS INC COM								
INVESTMENT ACTIVITY	00	00	00	25,200 00	868,941 09	1,160,200 86	46 04	291,259 77
PEPSICO INC COM								
INVESTMENT ACTIVITY	00	00	00	8,500 00	336,175 00	427,920 78	50 34	91,745 78
**TOTAL BEVERAGE								
INVESTMENT ACTIVITY	********	00	********	********	1,205,116 09	1 588,121 64	*****	383,005 55
BUILDING - FOREST PRODUCTS								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
KIMBERLY CLARK CORP COM								
INVESTMENT ACTIVITY	00	00	00	8,200 00	424,469 41	457,544 73	55 80	33,075 32
CHEMICAL								
AIR PRODS & CHEMS INC COM								
INVESTMENT ACTIVITY	4,100 00	193,570 72	47 21	00	00	00	00	00
DOW CHEM CO COM								
INVESTMENT ACTIVITY	00	00	00	27,600 00	953,322 37	951,581 80	34 48	1,740 57-
DU- PONT DE NEMOURS COM USDO 60								
INVESTMENT ACTIVITY	9,000 00	400,671 18	44 52	00	00	00	00	00
ECOLAB INC COM								
INVESTMENT ACTIVITY	00	00	00	12,300 00	397,467 12	460,752 46	37 46	63,285 34
**TOTAL CHEMICAL INVESTMENT ACTIVITY	********	594 241 90	********	********	1,350,789 49	1,412,334 26	*****	61,544 77
COSMETIC								
AVON PRODS INC COM								
INVESTMENT ACTIVITY	6,700 00	351,667 51	52 49	00	00	00	00	00
ESTEE LAUDER CO COM								
INVESTMENT ACTIVITY	10,200 00	330,644 31	32 42	00	00	00	00	00
**TOTAL COSMETIC INVESTMENT ACTIVITY	********	682,311 82	********	********	00	00	*****	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
DRUG								
ADVANCED MEDICAL OPTICS INC COM USDO 01								
INVESTMENT ACTIVITY	00	00	00	6,244 38	63,186 93	57,571 80	9 22	5,615 13-
CAPITAL CHANGE	6,244 38	63,186 93	10 12	00	00	00	00	00
**SECURITY TOTAL*	6,244 38	63,186 93	*******	6,244 38	63,186 93	57,571 80	*******	5,615 13-
ALLERGAN INC COM USDO 01								
INVESTMENT ACTIVITY	30,900 00	1,974,389 57	63 90	00	00	00	00	00
ADJUSTMENT	00	00	00	00	63,186 93	00	00	00
**SECURITY TOTAL*	30,900 00	1,974 389 57	*******	00	63,186 93	00	*******	00
LILLY ELI & CO COM								
INVESTMENT ACTIVITY	10,900 00	860,000 22	78 90	00	00	00	00	00
PFIZER INC COM								
INVESTMENT ACTIVITY	23,900 00	707,603 63	29 61	20,100 00	749,981 25	842,167 14	41 90	92,185 89
**TOTAL DRUG								
INVESTMENT ACTIVITY	********	3,541,993 42	********	********	813,168 18	899,738 94	*****	86,570 76
CAPITAL CHANGE	********	63,186 93	********	********	00	00	*****	00
ADJUSTMENT	********	00	********	********	63,186 93	00	*****	00
**TOTALS FOR CATEGORY	********	3,605,180 35	********	********	876,355 11	899,738 94	*****	86,570 76
ELECTRICAL EQUIPMENT								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
EMERSON ELEC CO COM								
INVESTMENT ACTIVITY	00	00	00	18,000 00	1,082,565 00	995,129 98	55 28	87,435 02-
GENERAL ELECTRIC CO (U S)								
INVESTMENT ACTIVITY	46,900 00	1,706,738 33	36 39	00	00	00	00	00
MIPS TECHNOLOGIES INC CL B								
INVESTMENT ACTIVITY	00	00	00	10,500 00	453,263 27	80,427 31	7 66	372,835 96-
TYCO INTL LTD NEW COM								
INVESTMENT ACTIVITY	74,800 00	1,987,905 70	26 58	71,900 00	2,749,564 91	987,312 07	13 73	1,762,252 84-
**TOTAL ELECTRICAL EQUIPMENT INVESTMENT ACTIVITY	********	3,694,644 03	********	********	4,285,393 18	2,062,869 36	*****	2,222,523 82-
ELECTRONICS								
AGILENT TECHNOLOGIES INC COMMON STOCK USD0 01								
INVESTMENT ACTIVITY	17,900 00	381,743 81	21 33	17,500 00	1,046,795 00	504,509 62	28 83	542,285 38-
ALTERA COM NPV								
INVESTMENT ACTIVITY	65,400 00	951,631 22	14 55	9,100 00	331,333 99	208,809 80	22 95	122,524 19-
AMERICAN STANDARD COMPANIES INC COM STK USD0 01								
INVESTMENT ACTIVITY	9,200 00	651,742 18	70 84	00	00	00	00	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
APPLIED MICRO CIRCUITS CORP CDT-COM								
INVESTMENT ACTIVITY	41,600 00	291,304 99	7 00	25,000 00	353,837 48	314 967 98	12 60	38,869 50-
APPLIED MATLS INC COM								
INVESTMENT ACTIVITY	57,000 00	925,001 23	16 23	14,400 00	316,484 64	608,589 70	42 26	292,105 06
CAPITAL CHANGE	74,600 00	00	00	00	00	00	00	00
**SECURITY TOTAL*	131,600 00	925,001 23	*******	14,400 00	316,484 64	608,589 70	*******	292,105 06
BROADCOM CORP CL A								
INVESTMENT ACTIVITY	9,100 00	206,196 44	22 66	12,700 00	875,903 52	469,147 39	36 94	406,756 13-
GENERAL MTRS CORP CL H NEW								
INVESTMENT ACTIVITY	00	00	00	29,200 00	551,802 00	415,420 95	14 23	136,381 05-
GLOBESPAN VIRATA INC COMMON STOCK (FORMERLY GLOBESPAN INC)								
INVESTMENT ACTIVITY	00	00	00	204 00	10,780 08	2,520 91	12 36	8,259 17-
CAPITAL CHANGE	204 00	10,780 08	52 84	00	00	00	00	00
**SECURITY TOTAL*	204 00	10,780 08	*******	204 00	10,780 08	2,520 91	*******	8,259 17-
HEWLETT PACKARD CO COM								
INVESTMENT ACTIVITY	00	00	00	52,900 75	1,617,454 35	931,944 47	17 62	685,509 88-
CAPITAL CHANGE	31,581 75	531,588 60	16 84	00	00	00	00	00
**SECURITY TOTAL*	31,581 75	531,588 60	*******	52,900 75	1,617,454 35	931,944 47	*******	685,509 88-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
KLA-TENCOR CORPORATION COM STK USD0 001 (FORMERLY KLA INSTRUMENTS)								
INVESTMENT ACTIVITY	28,900 00	1,238,571 66	42 86	11,900 00	256,056 05	664 850 49	55 87	408,794 44
LINEAR TECHNOLOGY CORP COM								
INVESTMENT ACTIVITY	13,400 00	440,368 44	32 86	12,000 00	614,130 00	525,282 49	43 77	88,847 51-
MIPS TECHNOLOGIES INC COM								
INVESTMENT ACTIVITY	00	00	00	5,000 00	261,253 70	41,048 63	8 21	220,205 07-
NRG ENERGY INC COM								
INVESTMENT ACTIVITY	00	00	00	19,600 00	636,899 49	235,855 79	12 03	401,043 70-
CAPITAL CHANGE	00	00	00	20,300 00	448,857 40	448,857 40	22 11	00
**SECURITY TOTAL*	00	00	*******	39,900 00	1,085,756 89	684,713 19	*******	401,043 70-
NETWORKS ASSOCS INC COM								
INVESTMENT ACTIVITY	14,000 00	397,805 79	28 41	00	00	00	00	00
NOVELLUS SYS INC COM								
INVESTMENT ACTIVITY	5,000 00	128,809 40	25 76	7,800 00	358,201 34	342,559 56	43 92	15,641 78-
PMC-SIERRA INC COM STK NPV (FRMLY SIERRA SEMICONDUCTOR)								
INVESTMENT ACTIVITY	24,000 00	289,011 86	12 04	24,700 00	920,655 28	474,298 36	19 20	446,356 92-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
QUALCOMM INC COM STK USD0 0001								
INVESTMENT ACTIVITY	37,400 00	1,272,385 73	34 02	00	00	00	00	00
RADIO SHACK								
INVESTMENT ACTIVITY	18,500 00	511,748 86	27 68	00	00	00	00	00
TERADYNE,INC COM STK USD0 125								
INVESTMENT ACTIVITY	18,700 00	429,359 31	22 96	19,600 00	375,777 08	770,760 46	39 32	394 983 38
TEXAS INSTRS INC COM								
INVESTMENT ACTIVITY	00	00	00	33,960 00	1,773,645 36	1,048,506 18	30 87	725,139 18-
VERISIGN INC COM								
INVESTMENT ACTIVITY	29,800 00	366,720 01	12 39	00	00	00	00	00
XILINX INC COMMON STOCK								
INVESTMENT ACTIVITY	34,600 00	770,029 49	22 26	2,500 00	117,681 00	103,101 60	41 24	14,579 40-
YAHOO INC COM STK USD0 01								
INVESTMENT ACTIVITY	5,100 00	60,227 94	11 81	00	00	00	00	00
**TOTAL ELECTRONICS								
INVESTMENT ACTIVITY	********	9,312,656 38	********	********	10,418,690 36	7,662,174 38	*****	2,756,515 98-
CAPITAL CHANGE	********	542,368 68	********	********	448,857 40	448,857 40	*****	00
**TOTALS FOR CATEGORY	********	9,855,025 04	********	********	10,867,547 76	8,111,031 78	*****	2,756,515 98-

FINANCE

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
AMERICREDIT CORP COM								
INVESTMENT ACTIVITY	13,000 00	302,521 70	23 27	00	00	00	00	00
CINCINNATI FIN CORP COM USD2								
INVESTMENT ACTIVITY	00	00	00	16,700 00	634,671 46	691,576 69	41 41	56,905 23
CONCORD EFS COM STK USD0 33 1/3								
INVESTMENT ACTIVITY	33,200 00	559,619 11	16 86	00	00	00	00	00
EQUITY SECS TR I EQ TR SECS CVC								
INVESTMENT ACTIVITY	9 700 00	351,602 31	36 25	00	00	00	00	00
FEDERAL NATIONAL MORTGAGE ASSOC COM NPV								
INVESTMENT ACTIVITY	8,200 00	611,286 57	74 55	00	00	00	00	00
INDYMAC BANCORP INC								
INVESTMENT ACTIVITY	00	00	00	15,500 00	149,237 18	355,553 11	22 94	206,315 93
PMI GROUP INC COM STK NPV								
INVESTMENT ACTIVITY	00	00	00	3,200 00	116,666 67	198,233 38	61 95	81,568 71
CAPITAL CHANGE	11,700 00	00	00	00	00	00	00	00
**SECURITY TOTAL*	11,700 00	00	*******	3,200 00	116,666 67	198,233 38	*******	81,568 71

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
SLM CORP COM STK (FORMERLY USA EDUCATION INC)								
INVESTMENT ACTIVITY	00	00	00	11,900 00	527,739 52	1,060,800 03	89 14	533,060 51
CAPITAL CHANGE	42,500 00	1,896,445 96	44 62	00	00	00	00	00
**SECURITY TOTAL*	42,500 00	1,896,445 96	*******	11,900 00	527,739 52	1,060,800 03	*******	533,060 51
USA EDUCATION INC COM (REREF FROM FROM 2857118)								
INVESTMENT ACTIVITY	00	00	00	33,800 00	1,487,200 00	3,012,176 76	89 12	1,524,976 76
CAPITAL CHANGE	00	00	00	42,500 00	1,896,445 96	1,896,445 96	44 62	00
**SECURITY TOTAL*	00	00	*******	76,300 00	3,383,645 96	4,908,622 72	*******	1,524,976 76
**TOTAL FINANCE								
INVESTMENT ACTIVITY	********	1,825,029 69	********	********	2,915,514 83	5,318,339 97	*****	2,402,825 14
CAPITAL CHANGE	********	1,896,445 96	********	********	1,896,445 96	1,896,445 96	*****	00
**TOTALS FOR CATEGORY	********	3,721,475 65	********	********	4,811,960 79	7,214,785 93	*****	2,402,825 14
FOOD								
CAMPBELL SOUP CO COM								
INVESTMENT ACTIVITY	00	00	00	39,000 00	1,426,023 37	1,002,040 90	25 69	423,982 47-
GENERAL MILLS INC COM								
INVESTMENT ACTIVITY	00	00	00	31,200 00	1,096,553 69	1,415,149 57	45 36	318,595 88
HEINZ H J CO COM								
INVESTMENT ACTIVITY	9,200 00	365,603 76	39 74	1,100 00	35,631 04	37,513 65	34 10	1,882 61

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
KELLOGG CO COM								
INVESTMENT ACTIVITY	00	00	00	102,300 00	2,990,544 53	3,219,452 78	31 47	228,908 25
KRAFT FOODS INC CLASS "A" COMMON STOCK NPV								
INVESTMENT ACTIVITY	00	00	00	16,200 00	499,853 04	586,714 61	36 22	86,861 57
**TOTAL FOOD INVESTMENT ACTIVITY	********	385,603 76	********	********	6,048,805 67	6,260,871 51	*****	212,265 84
HOME FURNISHINGS								
WILLIAMS-SONOMA INC COM STK NPV								
INVESTMENT ACTIVITY	8,800 00	193,724 30	22 01	00	00	00	00	00
HOTEL - RESTAURANT								
MCDONALDS CORP COM								
INVESTMENT ACTIVITY	00	00	00	13,900 00	477,844 60	355,832 33	25 60	122,012 27-
STARWOOD HOTELS & RESORTS WORLDWIDE INC COM								
INVESTMENT ACTIVITY	11,500 00	364,430 18	31 69	00	00	00	00	00
**TOTAL HOTEL - RESTAURANT INVESTMENT ACTIVITY	********	364,430 18	********	********	477,844 60	355,832 33	*****	122,012 27-
HEALTH AND PERSONAL CARE								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ANTHEM INC COM STK USD0 01								
INVESTMENT ACTIVITY	1,000 00	36,000 00	36 00	1,000 00	36,000 00	41,948 60	41 95	5,948 60
INSURANCE ----------								
ALLSTATE CORP COM STK USD0 01								
INVESTMENT ACTIVITY	00	00	00	29,900 00	956,812 59	1,156,977 49	38 69	200,164 90
BERKSHIRE HATHAWAY INC DEL COM								
INVESTMENT ACTIVITY	00	00	00	4 00	268,764 04	275,990 60	68998	9,226 56
HARTFORD FINANCIAL SERVICES GRP INC COMMON STOCK USD0 01 FORMERLY ITT HARTFORD GROUP INC)								
INVESTMENT ACTIVITY	00	00	00	1,300 00	45,076 59	85,780 72	65 99	40,704 13
PRINCIPAL FINANCIAL GROUP COM STK USD0 01								
INVESTMENT ACTIVITY	8,000 00	148,000 00	18 50	8,000 00	148,000 00	231,067 43	28 88	83,067 43
TRAVELERS PPTY CAS COM STK USD0 01 CL 'A'								
INVESTMENT ACTIVITY	1,800 00	33,300 00	18 50	2,247 34	39,308 65	38,582 82	17 17	725 83-
CAPITAL CHANGE	447 34	8,008 65	13 43	00	00	00	00	00
**SECURITY TOTAL*	2,247 34	39,308 65	*******	2,247 34	39,308 65	38,582 82	*******	725 83-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TRAVELERS PPTY CAS CORP COMMON STOCK CL B								
INVESTMENT ACTIVITY	00	00	00	919 08	13,339 00	13,134 93	14 29	204 07-
CAPITAL CHANGE	919 08	13,339 00	14 51	00	00	00	00	00
**SECURITY TOTAL*	919 08	13,339 00	*******	919 08	13,339 00	13,134 93	*******	204 07-
**TOTAL INSURANCE								
INVESTMENT ACTIVITY	********	181,300 00	********	********	1,469,300 87	1,801,533 99	*****	332,233 12
CAPITAL CHANGE	********	19,347 65	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	200,647 65	********	********	1,469,300 87	1,801,533 99	*****	332,233 12
LEISURE AND RECREATION								
CARNIVAL CORP CL A COM								
INVESTMENT ACTIVITY	00	00	00	16,300 00	651,034 61	457,284 08	28 05	193,750 53-
DISNEY WALT CO DISNEY COM								
INVESTMENT ACTIVITY	11,000 00	160,014 02	14 55	13,000 00	357,912 59	290,000 52	22 31	67,912 07-
HASBRO INC COM								
INVESTMENT ACTIVITY	00	00	00	56,600 00	1,343,718 71	833,786 92	14 73	509,931 79-
**TOTAL LEISURE AND RECREATION								
INVESTMENT ACTIVITY	********	160,014 02	********	********	2,352,665 91	1,581,071 52	*****	771,594 39-
MACHINERY - TOOLS								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
INGERSOLL RAND CO CL 'A' COM STK USD2								
INVESTMENT ACTIVITY	9,600 00	362,887 22	37 80	00	00	00	00	00
ILLINOIS TOOL WKS INC COM								
INVESTMENT ACTIVITY	00	00	00	28,700 00	1,738,640 75	1,836,144 11	63 98	97,503 36
**TOTAL MACHINERY - TOOLS INVESTMENT ACTIVITY	********	362,887 22	********	********	1,738,640 75	1,836,144 11	*****	97,503 36
MACHINERY - OTHER								
APPLERA CORP-APPLIED BIOSYST COM								
INVESTMENT ACTIVITY	44,200 00	975,491 41	22 07	25,100 00	590,821 46	931,663 45	37 12	340,841 99
DOVER CORP COM								
INVESTMENT ACTIVITY	00	00	00	20,900 00	740,241 74	698,099 84	33 31	44,141 90-
**TOTAL MACHINERY - OTHER INVESTMENT ACTIVITY	********	975,491 41	********	********	1,331,083 20	1,627,763 29	*****	296,700 09
MEDICAL SUPPLY AND SERVICE								
BECTON DICKINSON & CO COM								
INVESTMENT ACTIVITY	00	00	00	7,500 00	212,564 88	247,552 93	33 01	34,988 05
FOREST LABS INC COM								
INVESTMENT ACTIVITY	3,700 00	296,686 41	80 19	10,400 00	242,450 00	753,394 80	72 44	510,944 80

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
GUIDANT CORP COM								
INVESTMENT ACTIVITY	5,600 00	225,484 60	40 27	7,000 00	387,502 99	341,378 60	48 77	46,124 39-
LINCARE HOLDINGS INC COM								
INVESTMENT ACTIVITY	12,000 00	380,398 71	31 70	00	00	00	00	00
MEDTRONIC INC COM								
INVESTMENT ACTIVITY	00	00	00	9,900 00	334,743 75	439,559 90	44 40	104,816 15
MILLENNIUM PHARMACEUTICALS INC COM								
INVESTMENT ACTIVITY	7,000 00	99,125 90	14 16	00	00	00	00	00
PACIFICARE HEALTH SYSTEMS INC COM STK								
INVESTMENT ACTIVITY	00	00	00	13,500 00	851,601 82	357,548 68	26 49	494,053 14-
QUINTILES TRANSNATIONAL CORP COM								
INVESTMENT ACTIVITY	00	00	00	20,300 00	382,378 85	341,766 96	16 84	40,611 89-
**TOTAL MEDICAL SUPPLY AND SERVICE INVESTMENT ACTIVITY	********	1,001,695 62	********	********	2,411,242 29	2,481,201 87	*****	69 959 58
METALS - ALUMINUM								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ALCOA INC COM								
INVESTMENT ACTIVITY	00	00	00	43,000 00	1,487,784 56	1,384,029 37	32 19	103,755 19-
METALS - OTHER								
NEWMONT MINING CORP HLDG CO COM USD1 60 (FORMERLY NEWMONT MINING CORP)								
INVESTMENT ACTIVITY	20,000 00	422,389 14	21 12	20,000 00	422,389 14	569,335 68	28 47	146,946 54
OFFICE EQUIPMENT								
CADENCE DESIGN SYSTEMS INC COM STK USDO 01								
INVESTMENT ACTIVITY	26,600 00	519,899 66	19 55	00	00	00	00	00
CISCO SYSTEMS COMMON STOCK NPV								
INVESTMENT ACTIVITY	41,700 00	603,088 52	14 46	41,200 00	1,149,601 34	831,744 27	20 19	317,857 07-
COMPAQ COMPUTER CORP								
INVESTMENT ACTIVITY	39,000 00	313,353 30	8 03	00	00	00	00	00
CAPITAL CHANGE	00	00	00	49,900 00	531,588 60	531,588 60	10 65	00
**SECURITY TOTAL*	39,000 00	313,353 30	*******	49,900 00	531,588 60	531,588 60	*******	00
INTERNATIONAL BUSINESS MACHS CORP COM								
INVESTMENT ACTIVITY	3,100 00	233,428 84	75 30	2,900 00	319,303 16	293,773 60	101 30	25,529 56-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
MICROSOFT CORP COMMON STOCK								
INVESTMENT ACTIVITY	7,000 00	358,850 63	51 26	3,500 00	211,160 60	238,692 04	68 20	27,531 44
PEOPLESOFT INC COM								
INVESTMENT ACTIVITY	00	00	00	20,500 00	373,176 03	811,762 98	39 60	438,586 95
VERITAS SOFTWARE CO COM	-							
INVESTMENT ACTIVITY	20,000 00	383,899 89	19 19	6,500 00	275,674 62	207,203 34	31 88	68,471 28-
**TOTAL OFFICE EQUIPMENT								
INVESTMENT ACTIVITY	********	2,412,520 84	********	********	2,328,915 75	2,383,176 23	*****	54,260 48
CAPITAL CHANGE	********	00	********	********	531,588 60	531,588 60	*****	00
**TOTALS FOR CATEGORY	********	2,412,520 84	********	********	2,860,504 35	2,914,764 83	*****	54,260 48
OIL - DOMESTIC ----------								
WEATHERFORD INTL LTD COM USD1 (FORMERLY WEATHERFORD INTERNATIONAL INC)								
CAPITAL CHANGE	19,100 00	343,374 87	17 98	00	00	00	00	00
ASHLAND INC COM								
INVESTMENT ACTIVITY	9,300 00	361,488 66	38 87	00	00	00	00	00
BAKER HUGHES COM US								
INVESTMENT ACTIVITY	00	00	00	15,900 00	291,168 75	559,878 05	35 21	268,709 30

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
UNOCAL CORP COM								
INVESTMENT ACTIVITY	18,800 00	578,232 00	30 76	00	00	00	00	00
WILLIAMS COS COM								
INVESTMENT ACTIVITY	28,100 00	345,857 55	12 31	8,000 00	211,599 00	158,550 48	19 82	53,048 52-
**TOTAL OIL - DOMESTIC								
INVESTMENT ACTIVITY	********	1,285,578 21	********	********	502,767 75	718,428 53	*****	215,660 78
CAPITAL CHANGE	********	343,374 87	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	1,628,953 08	********	********	502,767 75	718,428 53	*****	215,660 78
OIL - INTERNATIONAL								
EXXON MOBIL CORP COMMON STOCK								
INVESTMENT ACTIVITY	8,500 00	315,858 44	37 16	00	00	00	00	00
SCHLUMBERGER LTD COM								
INVESTMENT ACTIVITY	2,700 00	149,939 25	55 53	00	00	00	00	00
**TOTAL OIL - INTERNATIONAL								
INVESTMENT ACTIVITY	********	465,797 69	********	********	00	00	*****	00
OIL - SERVICE								
B J SERVICES								
INVESTMENT ACTIVITY	11,400 00	281,384 23	24 68	00	00	00	00	00

	ACQUIRED			DISPOSED			
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
WEATHERFORD INTL INC NEW COM								
CAPITAL CHANGE	00	00	00	19,100 00	343,374 87	343,374 87	17 98	00
**TOTAL OIL - SERVICE								
INVESTMENT ACTIVITY	********	281,384 23	********	********	00	00	*****	00
CAPITAL CHANGE	********	00	********	********	343,374 87	343,374 87	*****	00
**TOTALS FOR CATEGORY	********	281,384 23	********	********	343,374 87	343,374 87	*****	00
PUBLISHING								
GANNETT INC COM								
INVESTMENT ACTIVITY	00	00	00	8,200 00	519,546 56	613,224 21	74 78	93,677 65
RETAILING								
CIRCUIT CITY STORES INC-CIRCUIT CITY GRP COM								
INVESTMENT ACTIVITY	00	00	00	38,500 00	423,096 21	890,191 81	23 12	467,095 60
DOLLAR GENERAL CORP COM STK USD0 50								
INVESTMENT ACTIVITY	00	00	00	26,900 00	536,644 29	402,148 94	14 95	134,495 35-
EBAY INC COM STK USD0 01								
INVESTMENT ACTIVITY	14,200 00	784,511 26	55 25	00	00	00	00	00
LOWES COS INC COM								
INVESTMENT ACTIVITY	00	00	00	45,400 00	1,033,139 00	1,982,807 11	43 67	949,668 11

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TMP WORLDWIDE INC COM								
INVESTMENT ACTIVITY	26,800 00	701,090 13	26 16	3,200 00	239,563 60	150,554 98	47 05	89,008 62-
WAL MART STORES INC COM								
INVESTMENT ACTIVITY	00	00	00	2,500 00	125,725 50	137,545 41	55 02	11,819 91
**TOTAL RETAILING INVESTMENT ACTIVITY	********	1,485,601 39	********	********	2,358,168 60	3,563,248 25	*****	1,205,079 65
SERVICE								
BROCADE COMMUNICATIONS SYS INC COMMON STOCK								
INVESTMENT ACTIVITY	1,500 00	19,466 85	12 98	41,000 00	824,093 68	1,240,172 76	30 25	416,079 08
CHECKFREE CORP COM STK USD0 01								
INVESTMENT ACTIVITY	00	00	00	7,000 00	410,305 12	145,105 16	20 73	265,199 96-
CLOROX CO COM								
INVESTMENT ACTIVITY	00	00	00	42,700 00	1,705,486 67	1,706,635 16	39 97	1,148 49
DOUBLECLICK INC COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	47,400 00	980,605 77	368,097 88	7 77	612,507 89-
FLUOR CORP COM								
INVESTMENT ACTIVITY	00	00	00	4,500 00	101,197 13	182,243 91	40 50	81,046 78

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
INTERPUBLIC COM USD0 10								
INVESTMENT ACTIVITY	12,700 00	423,042 08	33 31	00	00	00	00	00
ROBERT HALF INTL INC COM								
INVESTMENT ACTIVITY	9,600 00	221,515 37	23 07	6 500 00	173,746 94	155,084 16	23 86	18,662 78-
VIRATA CORP COM								
CAPITAL CHANGE	00	00	00	200 00	10,780 08	10,780 08	53 90	00
**TOTAL SERVICE								
INVESTMENT ACTIVITY	********	664,024 30	********	********	4,195,435 31	3,797,339 03	*****	398,096 28-
CAPITAL CHANGE	********	00	********	********	10,780 08	10,780 08	*****	00
**TOTALS FOR CATEGORY	********	664,024 30	********	********	4,206,215 39	3,808,119 11	*****	398,096 28-
TEXTILE AND APPAREL								
VF CORP COM NPV								
INVESTMENT ACTIVITY	00	00	00	33,700 00	1,105,875 14	1,380,888 95	40 98	275,013 81
TRANSPORTATION - OTHER								
NAVISTAR INTL CORP NEW COM								
INVESTMENT ACTIVITY	00	00	00	5,000 00	140,215 66	175,978 12	35 20	35,762 46
SABRE HLDGS CORP CL A								
INVESTMENT ACTIVITY	13,600 00	403,157 38	29 64	00	00	00	00	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
UNION PAC CORP COM								
INVESTMENT ACTIVITY	00	00	00	5,300 00	208,254 37	240,355 54	45 35	32,101 17
**TOTAL TRANSPORTATION - OTHER INVESTMENT ACTIVITY	********	403,157 38	********	********	348,470 03	416,333 66	*****	67,863 63
TV AND RADIO BROADCASTERS								
CABLEVISION NY GROUP CLASS 'A' COMMON STOCK USD0 01 (FORMERLY CABLEVISION SYSTEMS CORP)								
INVESTMENT ACTIVITY	8,200 00	315,320 16	38 45	84	8 16	5 32	6 33	2 84-
CAPITAL CHANGE	42,894 84	658,811 80	15 43	00	00	00	00	00
**SECURITY TOTAL*	50,894 84	974,131 96	*******	84	8 16	5 32	*******	2 84-
COX COMMUNICATIONS INC CLASS'A'COM STK USD0 01								
INVESTMENT ACTIVITY	3,200 00	98,475 52	30 15	5,000 00	202,093 12	197,464 40	39 49	4,628 72-
ENTERCOM COMMUNICATIONS CORP CL A								
INVESTMENT ACTIVITY	4,300 00	219,732 84	51 10	00	00	00	00	00
JDS UNIPHASE CORP COM								
INVESTMENT ACTIVITY	113,500 00	283,441 61	2 50	00	00	00	00	00
LIBERTY MEDIA CORP COMMON SERIES A								
INVESTMENT ACTIVITY	00	00	00	33,200 00	344,766 07	437,214 89	13 17	92,448 82

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
USA INTERACTIVE COM								
INVESTMENT ACTIVITY	17,100 00	354,694 02	20 74	26,200 00	516,247 99	736,817 38	28 12	220,569 39
VIACOM INC CLASS B COMMON								
INVESTMENT ACTIVITY	00	00	00	29,800 00	1,250,402 09	1,190,877 57	39 96	59,524 52-
**TOTAL TV AND RADIO BROADCASTERS								
INVESTMENT ACTIVITY	********	1,269,664 15	********	********	2,313,517 43	2,562,379 56	*****	248,862 13
CAPITAL CHANGE	********	658,811 80	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	1,928,475 95	********	********	2,313,517 43	2,562,379 56	*****	248,862 13
UTILITY - ELECTRIC								
AES CORP COM STK USD0 01								
INVESTMENT ACTIVITY	73,900 00	718,052 42	9 72	00	00	00	00	00
CINERGY CORP COM								
INVESTMENT ACTIVITY	00	00	00	27,100 00	724,777 08	840,542 63	31 02	115,765 55
EDISON INTL COM								
INVESTMENT ACTIVITY	00	00	00	108,500 00	1,355,065 22	1,697,228 65	15 64	342,163 43
NISOURCE INC COM								
INVESTMENT ACTIVITY	20,100 00	424,417 06	21 12	00	00	00	00	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
NORTHEAST UTILITIES COM USD5								
INVESTMENT ACTIVITY	00	00	00	32,300 00	645,831 36	572,918 47	17 74	72,912 89-
XCEL ENERGY INC COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	10,150 00	448,857 40	204,469 56	20 14	244,387 84-
CAPITAL CHANGE	10,150 00	448,857 40	44 22	00	00	00	00	00
**SECURITY TOTAL*	10,150 00	448,857 40	*******	10,150 00	448,857 40	204,469 56	*******	244,387 84-
**TOTAL UTILITY - ELECTRIC								
INVESTMENT ACTIVITY	********	1,142,469 48	********	********	3,174,531 06	3,315,159 31	*****	140,628 25
CAPITAL CHANGE	********	448,857 40	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	1,591,326 88	********	********	3,174,531 06	3,315,159 31	*****	140,628 25
UTILITY - NATURAL GAS								
EL PASO CORPORATION COMMON STOCK USD3 (FORMERLY EL PASO ENERGY CORP)								
INVESTMENT ACTIVITY	31,600 00	577,654 93	18 28	00	00	00	00	00
UTILITY - TELEPHONE								
AOL TIME WARNER COMMON STOCK								
INVESTMENT ACTIVITY	58,600 00	926,941 71	15 82	10,000 00	535,755 34	345,048 48	34 50	190,706 86-
AT & T CORP COM								
INVESTMENT ACTIVITY	53,800 00	607,573 34	11 29	46,200 00	709,298 01	825,775 65	17 87	116,477 64

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CABLEVISION SYSTEMS CORP CL A RAINB MED								
INVESTMENT ACTIVITY	2,800 00	59,776 47	21 35	00	00	00	00	00
CAPITAL CHANGE	00	00	00	35,850 00	658,811 80	658,811 80	18 38	00
**SECURITY TOTAL*	2,800 00	59,776 47	*******	35,850 00	658,811 80	658,811 80	*******	00
RADIO ONE INC CL D NON VTG COMMON STOCK								
INVESTMENT ACTIVITY	5,000 00	101,771 86	20 35	00	00	00	00	00
SBC COMMUNICATIONS INC COM								
INVESTMENT ACTIVITY	800 00	31,318 64	39 15	8,000 00	353,756 80	265,758 34	33 22	87,998 46-
SPRINT CORP COM								
INVESTMENT ACTIVITY	159,500 00	2,086,854 80	13 08	00	00	00	00	00
SPRINT CORP PCS COM SER 1								
INVESTMENT ACTIVITY	201,300 00	1,518,222 06	7 54	00	00	00	00	00
WORLDCOM INC - MCI GROUP COMMON STOCK USD 01								
INVESTMENT ACTIVITY	00	00	00	1,112 00	29,642 76	13,921 77	12 52	15,720 99-
**TOTAL UTILITY - TELEPHONE								
INVESTMENT ACTIVITY	********	5,332 458 88	********	********	1,628,452 91	1,450,504 24	*****	177 948 67-
CAPITAL CHANGE	********	00	********	********	658,811 80	658,811 80	*****	00
**TOTALS FOR CATEGORY	********	5,332,458 88	********	********	2,287,264 71	2,109,316 04	*****	177,948 67-
FOREIGN COMMON STOCK CHEMICAL								

	ACQUIRED			DISPOSED			
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
NOVA CHEMICALS CORP COM								
INVESTMENT ACTIVITY	19,300 00	465,703 35	24 13	00	00	00	00	00
ELECTRICAL HOUSEHOLD APPLIANCES								
SONY CORP ADR NEW 50 YEN SH								
INVESTMENT ACTIVITY	00	00	00	8,400 00	314,498 18	396,305 54	47 18	81,807 36
FINANCE								
XL CAPITAL LTD COM STK ORD VTG								
INVESTMENT ACTIVITY	00	00	00	6,900 00	494,041 55	616,755 12	89 38	122,713 57
INSURANCE								
ACE LTD COM								
INVESTMENT ACTIVITY	2,600 00	91,000 00	35 00	2 600 00	91,000 00	110,750 07	42 60	19,750 07
INVESTMENT COMPANIES								
KONINKLIJKE (ROYAL) PHILIPS ELECTRONICS NY REG SHARES								
INVESTMENT ACTIVITY	00	00	00	26 000 00	870,838 19	579,352 69	22 28	291,485 50-
MISCELLANEOUS								
ASTRAZENECA PLC SPONSORED ADR								
INVESTMENT ACTIVITY	7,700 00	287,020 47	37 28	23,100 00	830,801 79	1,073,015 18	46 45	242,213 39

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CANADIAN TAX REFUND (USD$) ACCRUAL FOR TAX RECLAIMS								
FREE RECEIPTS/DELIVERIES	530 00	00	00	1,007 00	00	00	00	00
**TOTAL MISCELLANEOUS INVESTMENT ACTIVITY	********	287,020 47	********	********	830,801 79	1,073,015 18	*****	242,213 39
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	287,020 47	********	********	830,801 79	1,073,015 18	*****	242,213 39
OIL - INTERNATIONAL								
ROYAL DUTCH PETE CO N Y REGISTRY SH PAR N CLDR 1 25								
INVESTMENT ACTIVITY	41,400 00	2,039,877 81	49 27	14,500 00	728,789 53	752,894 28	51 92	24,104 75
SHELL TRANSPORT & TRADING CO ADR-EACH CNV INTO 6 ORD 25P(ITC)								
INVESTMENT ACTIVITY	00	00	00	43,700 00	1,963,185 34	1,799,943 32	41 19	163,242 02-
**TOTAL OIL - INTERNATIONAL INVESTMENT ACTIVITY	********	2,039,877 81	********	********	2,891,974 87	2,552,837 60	*****	139,137 27-
PUBLISHING								
THOMSON CORP COM								
INVESTMENT ACTIVITY	7,000 00	218,400 00	31 20	00	00	00	00	00
UTILITY - TELEPHONE								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
AMDOCS LTD COM STOCK								
INVESTMENT ACTIVITY	20,000 00	184,274 00	9 21	00	00	00	00	00
TYCOM LTD COMMON STOCK USD0 25								
INVESTMENT ACTIVITY	00	00	00	20,600 00	409,595 78	298,355 49	14 48	111,240 29-
ERICSSON L M TEL CO ADR CL B SEK 10								
INVESTMENT ACTIVITY	00	00	00	95,400 00	1,010,461 70	581,920 60	6 10	428,541 10-
NOKIA CORP ADR								
INVESTMENT ACTIVITY	16,300 00	290,716 93	17 84	124,300 00	3,231,552 73	1,940,463 38	15 61	1,291,089 35-
NORTEL NETWORKS CORP NEW COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	124,500 00	936,612 99	570,559 84	4 58	366 053 15-
**TOTAL UTILITY - TELEPHONE								
INVESTMENT ACTIVITY	********	474,990 93	********	********	5,588,223 20	3,391,299 31	*****	2,196,923 89-
**TOTAL COMMON STOCK								
INVESTMENT ACTIVITY	********	45,822,516 38	********	********	73,601,330 15	72,279,545 68	*****	1,321,784 47-
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	3,972,393 29	********	********	3,889,858 71	3,889,858 71	*****	00
ADJUSTMENT	********	00	********	********	82,534 58	00	*****	00
**TOTALS FOR CATEGORY	********	49,794,909 67	********	********	77,573,723 44	76,169,404 39	*****	1,321,784 47-
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	46,704,771 45	********	********	73,771,330 15	72,436,035 14	*****	1,335,295 01-
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	3,972,393 29	********	********	3,889,858 71	3,889,858 71	*****	00
ADJUSTMENT	********	00	********	********	82,534 58	00	*****	00
**TOTALS FOR CATEGORY	********	50,677,164 74	********	********	77,743,723 44	76,325,893 85	*****	1,335,295 01-

ACCOUNT PERIOD TOTALS

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
**TOTAL								
INVESTMENT ACTIVITY	********	83,943,972 39	********	********	113,480,335 19	112,029,784 49	*****	1,450,550 70-
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	3,972,393 29	********	********	3,889,858 71	3,889,858 71	*****	00
ADJUSTMENT	********	00	********	********	82,534 58	00	*****	00
ACCOUNT GRAND TOTAL	********	87,916,365 68	********	********	117,452,728 48	115,919,643 20	*****	1,450,550 70-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 176865

SUMMARY OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2002

CARNEGIE CORP OF NEW YORK
INTERNALLY MANAGED FIXED INCOME
ACCOUNT

GLOBAL ASSETS - EB

T15A AP
PREPARED 10/18/02

A S S E T S	---------B O O K--------- VALUE	%	-------M A R K E T------- VALUE	%
SECURITIES FIXED INCOME	67,494,463 10	98 87	74,613,637 51	98 98
CASH	00	00	00	00
RECEIVABLES	770,585 94	1 13	770,585 94	1 02
**TOTAL ASSETS	68,265,049 04	100 00	75,384,223 45	100 00
L I A B I L I T I E S				
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	00	00	00	00
NET ASSETS	68,265,049 04	100 00	75 384 223 45	100 00

SCHEDULE A

Schedule 6
Fed ID # 13-1628151

CARNEGIE CORP OF NEW YORK
INTERNALLY MANAGED FIXED INCOME
ACCOUNT

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME TEMPORARY INVESTMENTS INSTITUTIONAL LIQUID ASSETS FUND ----------								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	6,180,030 63	6,180,030 63	100 00	6,180,030 62	6,180,030 62	6,180,030 62	100 00	00
GOVERNMENTAL OBLIGATIONS US GOVERNMENT SECURITIES TREASURY NOTES ----------								
UNITED STATES TREAS NOTES DTD 10/15/1996 6 500% 10/15/2006								
INVESTMENT ACTIVITY	00	00	00	5,500,000 00	5,679,609 38	6,099,843 75	110 91	420,234 37
UNITED STATES TREAS NOTES DTD 09/02/1997 6 250% 08/31/2002								
INVESTMENT ACTIVITY	00	00	00	4,000,000 00	3,968,046 88	4,035,000 00	100 88	66,953 12
PAYMENT/MATURITY	00	00	00	4,000,000 00	4,015,625 00	4,000,000 00	100 00	15,625 00-
**SECURITY TOTAL*	00	00	*******	8,000,000 00	7,983,671 88	8,035,000 00	*******	51,328 12
UNITED STATES TREAS NTS DTD 08/16/1999 6 00% MAT 08/15/2009								
INVESTMENT ACTIVITY	9,500,000 00	10,047,734 38	105 77	9,500,000 00	10,047,734 38	10,298,593 75	108 41	250,859 37

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
UNITED STATES TREASURY NOTE 6 5% DTD 15FEB2000 DUE 15FEB2010								
INVESTMENT ACTIVITY	4,000,000 00	4,401,875 00	110 05	4,000,000 00	4 401,875 00	4,716,875 00	117 92	315,000 00
UNITED STATES TREASURY NOTE 5 750% DTD 15NOV2000 15NOV2005								
INVESTMENT ACTIVITY	9,500,000 00	10,292,656 25	108 34	00	00	00	00	00
U S GOVERNMENT TREASURY NOTES 5 00% DTD 15FEB2001 DUE 15FEB2011								
INVESTMENT ACTIVITY	10,000,000 00	9,768,750 00	97 69	10,000,000 00	9,768,750 00	10,627,343 75	106 27	858,593 75
**TOTAL TREASURY NOTES								
INVESTMENT ACTIVITY	********	34,511,015 63	********	********	33,888,015 64	35,777,656 25	*****	1,911,640 61
PAYMENT/MATURITY	********	00	********	********	4,015,625 00	4,000,000 00	*****	15,625 00-
**TOTALS FOR CATEGORY	********	34,511,015 63	********	********	37,881,640 64	39,777,656 25	*****	1,896,015 61
TREASURY BONDS								
UNITED STATES TREAS BDS DTD 11/15/1986 7 500% 11/15/2016								
INVESTMENT ACTIVITY	00	00	00	8,000 000 00	8 735,000 00	10,122,500 00	126 53	1,387 500 00
UNITED STATES TREAS BDS DTD 02/15/1989 8 875% 02/15/2019								
INVESTMENT ACTIVITY	3,000,000 00	4,067,343 75	135 58	3,000 000 00	4,067,343 75	4,110,937 50	137 03	43,593 75
UNITED STATES TREASURY BOND 6 25% DTD 16AUG1993 DUE 15AUG2023 (REFER FROM 3914119)								
INVESTMENT ACTIVITY	00	00	00	2,000,000 00	1,947,187 50	2,361,875 00	118 09	414,687 50
**TOTAL TREASURY BONDS								
INVESTMENT ACTIVITY	********	4,067,343 75	********	********	14,749,531 25	16,595,312 50	*****	1,845,781 25

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FEDERAL AGENCY OBLIGATIONS								
OTHER FEDERAL AGENCIES								
FEDERAL NATL MTG ASSN								
DTD 05/10/1999 5 625% 05/14/2004								
INVESTMENT ACTIVITY	00		00	5,000,000 00	4,710,350 00	5,274,500 00	105 49	564,150 00
**TOTAL GOVERNMENTAL OBLIGATIONS								
INVESTMENT ACTIVITY	********	38,578,359 38	********	********	53,325,896 89	57,647,488 75	*****	4 321,571 86
PAYMENT/MATURITY	********		00 ********	********	4,015,625 00	4,000,000 00	*****	15,625 00-
**TOTALS FOR CATEGORY	********	38,578,359 38	********	********	57,341,521 89	61,647,468 75	*****	4,305,946 86
**TOTAL FIXED INCOME								
INVESTMENT ACTIVITY	********	44,758,390 01	********	********	59,505,927 51	63,827,499 37	*****	4,321,571 86
PAYMENT/MATURITY	********		00 ********	********	4,015,625 00	4,000,000 00	*****	15,625 00-
**TOTALS FOR CATEGORY	********	44,758,390 01	********	********	63,521,552 51	67,827,499 37	*****	4,305,946 86
LIABILITIES								
OPTIONS OUTSTANDING								
MISCELLANEOUS LIABILITIES								
REVERSE AGREEMENT 3 150% 29DEC2001								
INVESTMENT ACTIVITY	8,000,000 00-	8,970,000 00-	1 12	16,000,000 00-	17,670,000 00-	17,679,689 17-	1 10	9,689 17-
REVERSE AGREEMENT 3 150% 29DEC2001								
INVESTMENT ACTIVITY	30 000,000 00-	39,025,000 00-	1 30	40,000,000 00-	51,712,500 00-	51,739,594 62-	1 29	27,094 62-
REVERSE AGREEMENT 2 200% 12NOV2001								
INVESTMENT ACTIVITY	10,000,000 00-	13,512,500 00-	1 35	10,000,000 00-	13,512,500 00-	13 519 106 11-	1 35	6,606 11-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
REVERSE AGREEMENT 1 950% 12DEC2001								
INVESTMENT ACTIVITY	10,000,000 00-	12,787,500 00-	1 28	10,000,000 00-	12 787,500 00-	12,792,348 59-	1 28	4,848 59-
**TOTAL OPTIONS OUTSTANDING INVESTMENT ACTIVITY	********	74,295,000 00-	********	********	95,682,500 00-	95,730,738 49-	*****	48,238 49-
**TOTAL LIABILITIES INVESTMENT ACTIVITY	********	74,295,000 00-	********	********	95,682,500 00-	95,730,738 49-	*****	48,238 49-
ACCOUNT PERIOD TOTALS								
**TOTAL INVESTMENT ACTIVITY	********	29,536,609 98-	********	********	36,176,572 49-	31,903,239 12-	*****	4,273,333 37
PAYMENT/MATURITY	********	00	********	********	4,015,625 00	4,000,000 00	*****	15,625 00-
ACCOUNT GRAND TOTAL	********	29,536,609 98-	********	********	32,160,947 49-	27,903,239 12-	*****	4,257,708 37

A S S E T S	BOOK VALUE	BOOK %	MARKET VALUE	MARKET %
SECURITIES				
FIXED INCOME	36,674,744 60	25 51	39,917,562 03	26 25
EQUITIES	106,423,004 90	74 03	111,481,134 84	73 31
*TOTAL SECURITIES	143,097,749 50	99 54	151,398,696 87	99 56
CASH	00	00	00	00
RECEIVABLES	704,971 15	49	704,971 15	46
**TOTAL ASSETS	143,802,720 65	100 03	152,103,668 02	100 03
L I A B I L I T I E S				
PAYABLES	42,918 19	03	42,918 19	03
*TOTAL LIABILITIES	42,918 19	03	42,918 19	03
NET ASSETS	143,759,802 46	100 00	152,060,749 83	100 00

SCHEDULE A

SCHEDULE 6
FED ID# 13-1628151

CARNEGIE CORP OF NEW YORK
MORGAN STANLEY DEAN WITTER
FIXED INCOME

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
GOVERNMENT DISCOUNT SECURITIES								
U S GOVERNMENT TREASURY BILL DISCOUNT DTD 17MAY2001 DUE 15NOV2001								
INVESTMENT ACTIVITY	350,000 00	349,101 18	99 74	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	350,000 00	349,101 18	349,101 18	99 74	00
FREE RECEIPTS/DELIVERIES	350,000 00	349,101 18	99 74	350,000 00	349,101 18	00	00	00
**SECURITY TOTAL*	700,000 00	698 202 36	*******	700,000 00	698,202 36	349,101 18	*******	00
U S GOVERNMENT TREASURY BILL DISC DTD 18OCT2001 DUE 18APR2002								
INVESTMENT ACTIVITY	350,000 00	347,290 03	99 23	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	350,000 00	347,290 03	347,290 03	99 23	00
FREE RECEIPTS/DELIVERIES	350,000 00	347,290 03	99 23	350,000 00	347,290 03	00	00	00
**SECURITY TOTAL*	700,000 00	694,580 06	*******	700,000 00	694,580 08	347,290 03	*******	00
U S GOVERNMENT TREASURY BILL DISC DTD 18APR2002 DUE 17OCT2002								
INVESTMENT ACTIVITY	350,000 00	346,673 44	99 05	00	00	00	00	00
FREE RECEIPTS/DELIVERIES	350,000 00	346,673 45	99 05	350,000 00	346,673 45	00	00	00
**SECURITY TOTAL*	700,000 00	693,346 89	*******	350,000 00	346,673 45	00	*******	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
U S GOVERNMENT TREASURY BILL DISCOUNT DTD 18JUL2002 DUE 16JAN2003								
INVESTMENT ACTIVITY	550,000 00	546,200 20	99 31	00	00	00	00	00
FREE RECEIPTS/DELIVERIES	550,000 00	546,200 20	99 31	550,000 00	546,200 20	00	00	00
**SECURITY TOTAL*	1,100,000 00	1,092,400 40	*******	550,000 00	546,200 20	00	*******	00
INSTITUTIONAL LIQUID ASSETS FUND --- DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	63,763,270 05	63,763,270 05	100 00	63,436,483 59	63,436,483 59	63,436,483 59	100 00	00
**TOTAL TEMPORARY INVESTMENTS								
INVESTMENT ACTIVITY	********	65,352,534 90	********	********	63,436,483 59	63,436,483 59	*****	00
PAYMENT/MATURITY	********	00	********	********	696,391 21	696,391 21	*****	00
FREE RECEIPTS/DELIVERIES	********	1,589,264 86	********	********	1,589,264 86	00	*****	00
**TOTALS FOR CATEGORY	********	66,941,799 76	********	********	65,722,139 66	64,132,874 80	*****	00
GOVERNMENTAL OBLIGATIONS US GOVERNMENT SECURITIES TREASURY NOTES --- UNITED STATES TREASURY NOTE 6 5% DTD 15FEB2000 DUE 15FEB2010								
INVESTMENT ACTIVITY	1,500,000 00	1,704,079 50	113 61	1,500,000 00	1,704,079 50	1,768,652 34	117 91	64,572 84
TREASURY BONDS --- UNITED STATES TREAS BDS DTD 08/15/1989 8 125% 08/15/2019								
INVESTMENT ACTIVITY	5,250,000 00	6,834,882 82	130 19	2,500,000 00	3,238,671 88	3 449,804 69	137 99	211,132 81
FEDERAL AGENCY OBLIGATIONS NATIONAL MORTGAGE ASSOCIATIONS ---								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FANNIE MAE DISC DTD 16SEP2001 DUE 11SEP2002								
INVESTMENT ACTIVITY	3,000,000 00	2,988,805 00	99 58	3,000,000 00	2,988,805 00	2,994,722 64	99 82	7 917 64
FEDERAL NATIONAL MORTGAGE ASSOCIATION DISC NT TD 17JUN2001 DUE 12JUN2002								
INVESTMENT ACTIVITY	2 000,000 00	1,990,803 33	99 54	400,000 00	398,160 67	389,552 78	99 89	1,392 11
PAYMENT/MATURITY	00	00	00	1,600,000 00	1,592,642 66	1,592,642 66	99 54	00
**SECURITY TOTAL*	2,000,000 00	1,990,803 33	*******	2,000,000 00	1,990,803 33	1,992,195 44	*******	1,392 11
FEDERAL NATL MTG ASSN 7 125% DTD 15JAN2000 DUE 15JAN2030								
INVESTMENT ACTIVITY	00	00	00	1,475,000 00	1,675,219 72	1,666,289 80	112 97	8,929 92-
FREE RECEIPTS/DELIVERIES	350,000 00	397,509 05	113 57	350,000 00	397,509 05	00	00	00
**SECURITY TOTAL*	350,000 00	397,509 05	*******	1,825,000 00	2,072,728 77	1,666,289 80	*******	8,929 92-
**TOTAL NATIONAL MORTGAGE ASSOCIATIONS								
INVESTMENT ACTIVITY	********	4,977,608 33	********	********	5,060,185 39	5,060,565 22	*****	379 83
PAYMENT/MATURITY	********	00	********	********	1,592,642 66	1,592,642 66	*****	00
FREE RECEIPTS/DELIVERIES	********	397,509 05	********	********	397,509 05	00	*****	00
**TOTALS FOR CATEGORY	********	5,375,117 38	********	********	7,050,337 10	6,653,207 88	*****	379 83
OTHER FEDERAL AGENCIES ----------								
FEDL HOME LOAN BANK DISC DTD 25FEB2001 DUE 20FEB2002								
INVESTMENT ACTIVITY	5,000,000 00	4,993,520 56	99 87	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	5,000,000 00	4,993,520 56	4,993,520 56	99 87	00
**SECURITY TOTAL*	5,000,000 00	4,993,520 56	*******	5,000,000 00	4,993,520 56	4,993,520 56	*******	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FEDL HOME LOAN BANK DISC NT DUE 24APR2002								
INVESTMENT ACTIVITY	5,000,000 00	4,984,775 00	99 70	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	5,000,000 00	4,984,775 00	4,984,775 00	99 70	00
**SECURITY TOTAL*	5,000,000 00	4,984,775 00	*******	5,000,000 00	4,984,775 00	4,984,775 00	*******	00
FHLB DISC CORP DISC DTD 01JUL2001 DUE 26JUN2002								
INVESTMENT ACTIVITY	6,000,000 00	5,982,360 00	99 71	6,000,000 00	5,982,360 00	5,988,333 34	99 81	5,973 34
FEDL HOME LOAN BANK DISC DTD 22JUL2001 DUE 17JUL2002								
INVESTMENT ACTIVITY	3,500,000 00	3,495,372 23	99 87	3,500,000 00	3,495,372 23	3,496,616 67	99 90	1,244 44
FEDERAL HOME LN MTG CORP DISC DTD 09JAN2001 DUE 09JAN2002								
INVESTMENT ACTIVITY	5,000,000 00	4,995,000 00	99 90	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	5,000,000 00	4,995,000 00	4,995,000 00	99 90	00
**SECURITY TOTAL*	5,000,000 00	4,995,000 00	*******	5,000,000 00	4,995,000 00	4,995,000 00	*******	00
FEDERAL HOME LOAN MTG CORP DISC DTD 05FEB2001 DUE 05FEB2002								
INVESTMENT ACTIVITY	5,000,000 00	4,993,700 00	99 87	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	5 000,000 00	4,993,700 00	4,993 700 00	99 87	00
**SECURITY TOTAL*	5,000,000 00	4,993,700 00	*******	5,000,000 00	4,993,700 00	4,993,700 00	*******	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FEDERAL HOME LN MTG CORP DISC NT 03/15/2002								
INVESTMENT ACTIVITY	2,000,000 00	1,998,525 00	99 93	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	2,000,000 00	1 998,525 00	1,998,525 00	99 93	00
**SECURITY TOTAL*	2,000,000 00	1,998,525 00	*******	2,000,000 00	1,998,525 00	1,998,525 00	*******	00
FEDERAL HOME LOAN MORTGAGE CORPORATION DISC NT DUE 21MAR2002								
INVESTMENT ACTIVITY	7,000,000 00	6,985,198 89	99 79	00	00	00	00	00
PAYMENT/MATURITY	00	00	00	7,000,000 00	6,985,198 89	6,985,198 89	99 79	00
**SECURITY TOTAL*	7,000,000 00	6,985,198 89	*******	7,000,000 00	6,985,198 89	6,985,198 89	*******	00
FEDERAL HOME LOAN MORTGAGE CORPORATION DISC NT DUE 20JUN2002								
INVESTMENT ACTIVITY	7,000,000 00	6,966,911 39	99 53	7,000,000 00	6,966,911 39	6,988,430 56	99 83	21,519 17
FEDERAL NATIONAL MORTGAGE ASSOCIATION 7 250% DTD 05MAY2000 DUE 15MAY2030								
INVESTMENT ACTIVITY	00	00	00	1,000,000 00	1,117,717 00	1,131,004 62	113 10	13,287 62
**TOTAL OTHER FEDERAL AGENCIES								
INVESTMENT ACTIVITY	********	45,395,363 07	********	********	17,562,360 62	17,604,385 19	*****	42,024 57
PAYMENT/MATURITY	********	00	********	********	28,950,719 45	28,950,719 45	*****	00
**TOTALS FOR CATEGORY	********	45,395,363 07	********	********	46,513,080 07	46,555,104 64	*****	42,024 57
**TOTAL GOVERNMENTAL OBLIGATIONS								
INVESTMENT ACTIVITY	********	58,911,933 72	********	********	27,565,287 39	27,883,407 44	*****	318,110 05
PAYMENT/MATURITY	********	00	********	********	30,543,362 11	30,543,362 11	*****	00
FREE RECEIPTS/DELIVERIES	********	397,509 05	********	********	397,509 05	00	*****	00
**TOTALS FOR CATEGORY	********	59,309,442 77	********	********	58,506,168 55	58,426,769 55	*****	318,110 05
CORPORATE OBLIGATIONS								
DOMESTIC CORPORATE OBLIGATIONS								
AT&T AND SUBSIDIARIES OBLIGATIONS								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
AT&T CORP DTD 03/26/1999 6 500% 03/15/2029								
INVESTMENT ACTIVITY	00	00	00	410,000 00	383,403 35	343,077 85	83 68	20 325 50-
NON-AT&T TELEPHONE OBLIGATIONS								
BELLSOUTH TELEC DTD 06/04/1998 6 375% 06/01/2028								
INVESTMENT ACTIVITY	00	00	00	755,000 00	632,163 35	762,182 45	100 95	130,019 10
NON-OIL INDUSTRIALS								
BANK OF BOSTON CORP 6 625% DTD 22NOV1993 DUE 01DEC2005								
INVESTMENT ACTIVITY	00	00	00	855,000 00	853,905 15	907,958 70	106 19	54,053 55
BOEING CAP CORP 6 100% DTD 01MAR2001 DUE 01MAR2011								
INVESTMENT ACTIVITY	155,000 00	159,158 55	102 68	00	00	00	00	00
BOEING CAP CORP 6 500% DTD 09NOV2001 DUE 15FEB2012								
INVESTMENT ACTIVITY	895,000 00	891,939 10	99 66	895,000 00	891,939 10	895,721 30	100 08	3,782 20
BRISTOL-MEYERS SQUIBB 5 750% DTD 09/28/2001 MAT 10/01/2011								
INVESTMENT ACTIVITY	00	00	00	1,240,000 00	1,235,238 40	1,233,638 80	99 49	1,599 60-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CVS CORP NT DTD 08/15/1999 5 500% 02/15/2004								
INVESTMENT ACTIVITY	800,000 00	821,808 00	102 73	00	00	00	00	00
CONOCO INC NT DTD 04/20/1999 6 950% 04/15/2029								
INVESTMENT ACTIVITY	270,000 00	305,094 60	113 00	00	00	00	00	00
CONTINENTAL AIRLINES CORP 6 648% DTD 20FEB1998 DUE 15SEP2017								
INVESTMENT ACTIVITY	00	00	00	769,702 15	780,809 69	718,855 63	93 39	61,954 06-
PAYMENT/MATURITY	00	00	00	01	01	01	100 00	00
**SECURITY TOTAL*	00	00	*******	769,702 16	780,809 70	718,855 64	*******	61,954 06-
COOPER INDUSTRIES LTD 5 250% DTD 06/21/2002 MAT 07/01/2007								
INVESTMENT ACTIVITY	340,000 00	339,626 00	99 89	00	00	00	00	00
DAIMLER-BENZ NORTH AMERICA CORP 8 000% DTD 08JUN2000 DUE 15JUN2010								
INVESTMENT ACTIVITY	00	00	00	350,000 00	349,513 50	367,328 50	104 95	17,815 00
FARMERS EXCHANGE CAP TRUST SURPLUS NT SECS 144A 7 050% 07/15/2028								
INVESTMENT ACTIVITY	00	00	00	1,340,000 00	1,298,181 35	1,081,822 20	80 73	216,359 15-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FORD MTR CO DEL DEB DTD 09/30/1998 6 625% 10/01/2008								
INVESTMENT ACTIVITY	00		00	940,000 00	900,827 15	797,120 00	84 80	103,707 15-
HERTZ CORP DTD 08/19/1999 7 625% 08/15/2007								
INVESTMENT ACTIVITY	00		00	190,000 00	188,375 50	196,999 60	103 68	8,624 10
HONEYWELL INTERNATIONAL 6 125% DTD 30OCT2001 DUE 01NOV2011								
INVESTMENT ACTIVITY	705,000 00	704,191 95	99 89	85,000 00	84,886 95	90,606 60	106 60	5,719 65
LOWES COS INC DEB DTD 02/23/1999 6 500% 03/15/2029								
INVESTMENT ACTIVITY	00		00	370,000 00	368,263 25	365,685 00	98 83	2,578 25-
PHARMACIA CORP 6 600% DTD 09DEC1998 DUE 01DEC2028								
INVESTMENT ACTIVITY	00		00	1,000,000 00	953,164 50	1,029,049 20	102 90	75,884 70
REED ELSEVIER CAPITAL 6 125% DTD 07/31/2001 MAT 08/01/2006								
INVESTMENT ACTIVITY	00		00	280,000 00	279,879 60	287,532 00	102 69	7,652 40
SECURITIZED MULTIPLE ASSET RATED TR 1997-6 ASSET BACKED CL A-1 144A 7 710% 06/15/2006 (SMART)								
INVESTMENT ACTIVITY	00		00	392,303 06	392,303 06	11,857 05	3 02	380,446 01-
PAYMENT/MATURITY	00		00	18,001 31	18,001 31	18,001 31	100 00	00
**SECURITY TOTAL*	00		00 *******	410,304 37	410,304 37	29,858 36	*******	380,446 01-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
SOUTHWEST AIRLINES CO 5 496% DTD 30OCT2001 DUE 01NOV2006								
INVESTMENT ACTIVITY	160,000 00	160,000 00	100 00	00	00	00	00	00
TARGET CORP 7 50% DTD 11FEB2000 DUE 15FEB2005								
INVESTMENT ACTIVITY	00	00	00	850,000 00	848,274 50	924,468 50	108 76	76,194 00
US AIRWAYS PT TRUST SERIES 00-1 8 110% DTD 03MAR2000 DUE 20FEB2017								
INVESTMENT ACTIVITY	00	00	00	459,258 73	464,196 38	467,869 83	101 87	3,673 45
US AIRWAYS 8 020% DTD 02AUG2000 05FEB2019								
INVESTMENT ACTIVITY	00	00	00	860,000 00	937,488 80	879,848 80	102 31	57,640 00-
U S AIR,INC 7 076% DTD 26JAN2001 DUE 20MAR2021								
INVESTMENT ACTIVITY	00	00	00	384,332 16	384,332 16	386,703 49	100 62	2 371 33
UNITED TECHNOLOGIES CORP 6 100% DTD 29APR2002 DUE 15MAY2012								
INVESTMENT ACTIVITY	535,000 00	535,614 75	100 11	00	00	00	00	00
WAL-MART STORES,INC 7 550% DTD 15AUG2000 DUE 15FEB2030								
INVESTMENT ACTIVITY	00	00	00	1,200,000 00	1,261,751 00	1,348,898 00	112 41	87,145 00
**TOTAL NON-OIL INDUSTRIALS								
INVESTMENT ACTIVITY	********	3,917,432 95	********	********	12,473,330 04	11,991,961 20	*****	481,368 84-
PAYMENT/MATURITY	********	00	********	********	18,001 32	18,001 32	*****	00
**TOTALS FOR CATEGORY	********	3,917,432 95	********	********	12,491,331 36	12,009,962 52	*****	481,368 84-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
BANKING OBLIGATIONS								
BANK OF AMERICA CORP 7 400% DTD 23JAN2001 DUE 15JAN2011								
INVESTMENT ACTIVITY	00	00	00	1,030,000 00	1,067,948 50	1,123,358 10	109 06	55,409 60
BANK ONE CORP MEDIUM TERM NTS TRANCHE # SB 00001 6 000% 02/17/2009								
INVESTMENT ACTIVITY	00	00	00	150,000 00	132,339 00	161,133 00	107 42	28,794 00
CHASE MANHATTAN CORP NEW 11/22/1999 7 000% 11/15/2009								
INVESTMENT ACTIVITY	00	00	00	170,000 00	167,470 40	178,083 50	104 76	10,613 10
JP MORGAN CHASE 5 350% DTD 06MAR2002 DUE 01MAR2007								
INVESTMENT ACTIVITY	405,000 00	404,514 00	99 88	00	00	00	00	00
STATE STREET CORP 7 650% DTD 20JUN2000 15JUN2010								
INVESTMENT ACTIVITY	00	00	00	430,000 00	429,148 60	482,154 70	112 13	53,006 10
U S BANCORP MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR 00125 6 875% 12/01/2004								
INVESTMENT ACTIVITY	00	00	00	1,600,000 00	1,558,078 00	1,714,320 00	107 15	156,242 00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
WELLS FARGO & CO 5 900% DTD 05/23/2001 05/21/2006								
INVESTMENT ACTIVITY	00	00	00	1,235,000 00	1,233,480 95	1,294,851 55	104 85	61,370 60
**TOTAL BANKING OBLIGATIONS INVESTMENT ACTIVITY	********	404,514 00	********	********	4,588,465 45	4,953,900 85	*****	365,435 40
FINANCIAL OBLIGATIONS ----------								
AMERICA WEST AIRLS PASS THRU TR 2001-1 PASSTHRU CTF CL G 144A 7 100% 04/02/2021								
INVESTMENT ACTIVITY	00	00	00	651,238 75	651,238 75	654,280 01	100 47	3,041 26
PAYMENT/MATURITY	00	00	00	3,761 25	3,761 25	3,761 25	100 00	00
**SECURITY TOTAL*	00	00	*******	655,000 00	655,000 00	658,041 26	*******	3,041 26
AMERICAN GENERAL FINANCE 5 875% DTD 16JUL2001 DUE 14JUL2006								
INVESTMENT ACTIVITY	00	00	00	425,000 00	424,171 25	438,532 00	103 18	14,360 75
AMERICAN GEN CORP SR NT DTD 02/17/1999 6 625% 02/15/2029								
INVESTMENT ACTIVITY	00	00	00	780,000 00	666,872 70	762,715 20	97 78	95,842 50
BANK OF BOSTON CORP SUB DTD 01/12/1994 6 625% 02/01/2004								
INVESTMENT ACTIVITY	00	00	00	280,000 00	270,144 00	296,466 80	105 88	26,322 80

	ACQUIRED				DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CIGNA CORP NOTES 7 000% DTD 08JAN2001 DUE 15JAN2011								
INVESTMENT ACTIVITY	315,000 00	326,897 55	103 78	00	00	00	00	00
CIGNA CORP 6 375% DTD 10/19/2001 MAT 10/15/2011								
INVESTMENT ACTIVITY	155,000 00	154,054 50	99 39	155,000 00	154,054 50	155,043 40	100 03	988 90
CIT GROUP INC 5 625% DTD 16MAY2001 DUE 17MAY2004								
INVESTMENT ACTIVITY	1,025,000 00	1,045,274 50	101 98	1,025,000 00	1,045,274 50	940,354 75	91 74	104,919 75-
CHASE COMMERCIAL MTG SECS 99-2 TRANCHE A-2 7 198% DTD 01NOV1999 DUE 15NOV2009								
INVESTMENT ACTIVITY	00	00	00	1,400,000 00	1,349,632 80	1,519,328 13	108 52	169,695 33
CITIGROUP INC 5 750% DTD 05/10/2001 05/10/2006								
INVESTMENT ACTIVITY	00	00	00	1,350,000 00	1,347,394 50	1,404,665 00	104 05	57,270 50
CITIGROUP INC 5 625% DTD 26AUG2002 DUE 27AUG2012								
INVESTMENT ACTIVITY	85,000 00	86,051 45	101 24	00	00	00	00	00
CITICORP MED TERM NT TRANCHE# SB 00195 DTD 11/06/1998 6 375% 11/15/2008								
INVESTMENT ACTIVITY	00	00	00	540,000 00	493,819 40	561,345 80	103 95	67,726 20

	ACQUIRED			DISPOSED			
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CONNECTICUT RRB SPECIAL PURPOSE TRUST CL SERIES 2001-1 CLASS A5 6 2100% DTD 30MAR2001 DUE 30DEC2011								
INVESTMENT ACTIVITY	00	00	00	900,000 00	899,802 00	935,062 50	103 90	35,260 50
CONTINENTAL AIRLINES 1999-1 SERIES A 6 545% DTD 08FEB1999 DUE 02FEB2019								
INVESTMENT ACTIVITY	00	00	00	360,112 74	350,958 50	332,564 12	92 35	18,394 38-
DETROIT EDISON SECURITIZATION FUNDING LL SERIES 2001-1 CL A3 5 875% DTD 09MAR2001 DUE 01MAR2010								
INVESTMENT ACTIVITY	00	00	00	500,000 00	499,694 90	520,742 19	104 15	21,047 29
DETROIT EDISON CO 2001-1 SERIES A-5 6 420% DTD 09MAR2001 DUE 01MAR2015								
INVESTMENT ACTIVITY	00	00	00	580,000 00	579,828 38	598,957 81	103 27	19,129 43
EQUITABLE COS INC SR NT 6 500% 04/01/2008								
INVESTMENT ACTIVITY	440,000 00	448,979 30	102 04	00	00	00	00	00
FIRST UNION-CHASE 1999-C2 TRANCHE A-2 6 645% DTD 01MAY1999 DUE 15APR2009								
INVESTMENT ACTIVITY	00	00	00	1,125,000 00	1,047,392 57	1,198,125 00	106 50	150 732 43

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FLORIDA RESIDENTIAL PPTY & CAS JT UNDERWRITING ASSN SER 144A 7 375% 07/01/2003 DIRECT PLACEMENT								
INVESTMENT ACTIVITY	00	00	00	300,000 00	309,482 00	314,358 00	104 79	4,896 00
FLORIDA WINDSTORM UNDERWRITING ASSN SER 1999A SR SECD NT 144A DTD 03/31/1999 7 125% 02/25/2019 DIRECT PLACEMENT								
INVESTMENT ACTIVITY	00	00	00	880,000 00	855,147 10	947,420 65	107 66	92,273 55
FORD MTR CR CO NT DTD 01/12/1999 5 800% 01/12/2009								
INVESTMENT ACTIVITY	00	00	00	515,000 00	481,558 45	470,050 80	91 27	8,492 35
FORD MOTOR CR CO 10/28/1999 7 375% 10/28/2009								
INVESTMENT ACTIVITY	00	00	00	1,930,000 00	1,942,149 05	1,913,833 60	99 15	28,515 45-
GMAC COMM MTGE SECS 98-C2 TRANCHE A-2 6 42% DTD 01AUG1998 DUE 15MAY2035								
INVESTMENT ACTIVITY	00	00	00	1,350,000 00	1,240,312 50	1,429,101 56	105 86	188,789 06
GENERAL ELEC CAP CORP MED TERM NTS TRANCHE # TR 00443 01/13/2000 7 375% 01/19/2010								
INVESTMENT ACTIVITY	00	00	00	995,000 00	994,904 05	1,131,812 50	113 75	136,908 45

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
GENERAL ELEC CAP CORP MEDIUM TERM NTS 6 750% DTD 03/20/2002 MAT 03/15/2032								
INVESTMENT ACTIVITY	1,160,000 00	1,142,122 70	98 46	185,000 00	182,800 35	182,757 20	98 79	43 15-
GOLDMAN SACHS GROUP 6 875% DTD 16JAN2001 DUE 15JAN2011								
INVESTMENT ACTIVITY	00	00	00	510,000 00	513,378 90	560,801 10	109 96	47,422 20
GOLDMAN SACHS GROUP INC 6 600% DTD 10JAN2002 DUE 15JAN2012								
INVESTMENT ACTIVITY	330,000 00	331 567 50	100 48	00	00	00	00	00
HANCOCK JOHN MUT LIFE INS CO SURPLUS NT 7 375% 02/15/2024								
INVESTMENT ACTIVITY	00	00	00	640,000 00	590,758 40	650,496 00	101 64	59,737 60
HARTFORD LIFE INC DEB 7 650% 06/15/2027								
INVESTMENT ACTIVITY	00	00	00	300,000 00	284,421 00	314,637 00	104 88	30,216 00
HARTFORD LIFE 7 375% DTD 01MAR2001 DUE 01MAR2031								
INVESTMENT ACTIVITY	200,000 00	210,878 00	105 44	380,000 00	377,188 00	392,046 00	103 17	14,858 00
HOUSEHOLD FIN CORP DTD 02/05/1999 5 875% 02/01/2009								
INVESTMENT ACTIVITY	00	00	00	220,000 00	201,274 70	207,779 70	94 45	6,505 00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
HOUSEHOLD FINANCE CORP 8 000% DTD 18JUL2000 DUE 15JUL2010								
INVESTMENT ACTIVITY	00	00	00	335,000 00	357,482 60	343,720 05	102 60	13,742 55-
MELLON FUNDING CORP 7 500% DTD 23JUN2000 15JUN2005								
INVESTMENT ACTIVITY	00	00	00	975,000 00	973,557 00	1,065,811 50	109 31	92,254 50
METROPOLITAN LIFE INS CO SURPLUS NT 144A 7 800% 11/01/2025								
INVESTMENT ACTIVITY	00	00	00	250,000 00	285,560 00	271,002 50	108 40	14,557 50-
NATIONWIDE FINANCIAL SERVICES 6 250% DTD 19NOV2001 DUE 15NOV2011								
INVESTMENT ACTIVITY	570,000 00	567,292 50	99 53	00	00	00	00	00
NATIONWIDE MUTUAL INS CO 8 250% DTD 30NOV2001 DUE 01DEC2031								
INVESTMENT ACTIVITY	100,000 00	100,432 00	100 43	00	00	00	00	00
PSE&G TRANSITION FUNDING LLC SERIES 2001-1 CLASS A6 6 610% DTD 31JAN2001 DUE 15JUN2015								
INVESTMENT ACTIVITY	00	00	00	1,020,000 00	1,024,433 21	1,061,900 39	104 11	37,467 18
PECO ENERGY TRANSITION TRUST SERIES 001A 6 520% DTD 01MAR2001 DUE 31DEC2010								
INVESTMENT ACTIVITY	00	00	00	1,650,000 00	1,650,000 00	1,721,556 65	104 34	71,556 65

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
PRUDENTIAL INS CO AMER 8 300% 07/01/2025								
INVESTMENT ACTIVITY	00	00	00	1,540,000 00	1,672,360 40	1,595,207 00	103 58	77,153 40-
PRUDENTIAL HLDGS LLC SERIES 144A 7 245% DTD 12/18/2001 MAT 12/18/2023								
INVESTMENT ACTIVITY	1,470,000 00	1,485,060 90	101 02	260,000 00	260,000 00	272,824 60	104 93	12,824 60
PRUDENTIAL HOLDINGS LLC SERIES 144A 8 695% DTD 18DEC2002 DUE 18DEC2023								
INVESTMENT ACTIVITY	770,000 00	782,325 00	101 60	100,000 00	100,000 00	110,655 00	110 66	10,655 00
SWP DEPOSITOR SERIES 1993-1 6 54% DTD 22DEC1993 DTD 15DEC2002								
PAYMENT/MATURITY	00	00	00	4,551 99	4,529 77	4,551 99	100 00	22 22
BAE SYSTEMS ASSET TR SYS 2001 ASSET TR SER 144 STEP UP DTD 19JUN2001 DUE 15SEP2013								
PAYMENT/MATURITY	00	00	00	168,013 73	169,024 23	168,013 73	100 00	1,010 50-
WORLD FINL PPTYS TOWER B FIN CORP SER 1996 WFP-B PASS THRU CTF 144A 6 910% 09/01/2013								
PAYMENT/MATURITY	00	00	00	59,932 51	59,722 93	59,932 51	100 00	209 58
**TOTAL FINANCIAL OBLIGATIONS								
INVESTMENT ACTIVITY	********	6,680,935 90	********	********	24,056,806 46	25,275,754 31	*****	1,218,947 85
PAYMENT/MATURITY	********	00	********	********	237,038 18	236,259 48	*****	778 70-
**TOTALS FOR CATEGORY	********	6,680,935 90	********	********	24,293,844 64	25,512,013 79	*****	1,218,169 15

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
MISCELLANEOUS OBLIGATIONS								
AMERICAN HOME PROD 6 700% DTD 30MAR2001 DUE 15MAR2011								
INVESTMENT ACTIVITY	00		00	485,000 00	484,151 25	506,686 85	104 47	22,535 60
WALT DISNEY 5 500% DTD 28JUN2001 DUE 29DEC2008								
INVESTMENT ACTIVITY	00		00	90,000 00	89,793 00	90,531 90	100 59	738 90
**TOTAL MISCELLANEOUS OBLIGATIONS INVESTMENT ACTIVITY	********		00 ********	********	573,944 25	597,218 75	*****	23,274 50
FOREIGN CORPORATE OBLIGATIONS								
DEUTSCHE TELEKOM INT FIN 8 000% DTD 06JUL2000 DUE 15JUN2010								
INVESTMENT ACTIVITY	00		00	60,000 00	59,794 20	66,038 40	110 06	6,244 20
DEUTSCHE TELEKOM INT FIN 8 25% DTD 06JUL00 DUE 15JUN2030								
INVESTMENT ACTIVITY	00		00	980,000 00	1,034,802 30	1,083,531 90	110 56	48,729 60
POOLED CORPORATE OBLIGATIONS								
MAS FUNDS ADVISORY MTG PORTFOLIO 617440763								
FREE RECEIPTS/DELIVERIES	00		00	8,907,944 60	90,755,117 76	00	00	00
**TOTAL CORPORATE OBLIGATIONS INVESTMENT ACTIVITY	********	11,002,882	85 ********	********	43,782,709 40	45,073,665 71	*****	1,290,956 31
PAYMENT/MATURITY	********		00 ********	********	255,039 50	254,260 80	*****	778 70-
FREE RECEIPTS/DELIVERIES	********		00 ********	********	90,755,117 76	00	*****	00
**TOTALS FOR CATEGORY	********	11,002,882	85 ********	********	134,792,866 66	45,327,926 51	*****	1,290,177 61
**TOTAL FIXED INCOME INVESTMENT ACTIVITY	********	135,267,351	47 ********	********	134,784,490 38	136,393,558 74	*****	1,609,066 36
PAYMENT/MATURITY	********		00 ********	********	31,494,792 82	31,494,014 12	*****	778 70-
FREE RECEIPTS/DELIVERIES	********	1,986,773	91 ********	********	92,741,891 67	00	*****	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
**TOTALS FOR CATEGORY	********	137,254,125 38	********	********	259,021,174 87	167,887,570 86	*****	1,608,287 66
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
INVESTMENT COMPANIES								
MORGAN STANLEY INSTITUTIONAL FUND TRUST								
ADVISORY MORTGAGE PORTFOLIO								
INVESTMENT ACTIVITY	2,119,597 89	22,506,000 00	10 62	647,548 56	6,838,112 86	7,000,000 00	10 81	161,887 14
FREE RECEIPTS/DELIVERIES	8,907,944 60	90,755,117 76	10 19		00	00	00	00
**SECURITY TOTAL*	11,027,542 49	113,261,117 76	*******	647,548 56	6,838,112 86	7,000,000 00	*******	161,887 14
MISCELLANEOUS								
OTHER MISCELLANEOUS								
OTHER INVESTMENTS								
US 5 YR NOTE CBT MAR 2002								
EXPIRES 19MAR2002								
CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	193,695 35	00	193,695 35
FREE RECEIPTS/DELIVERIES	11,900,000 00	00	00	11,900,000 00	00	00	00	00
**SECURITY TOTAL*	11,900,000 00	00	*******	11,900,000 00	00	193,695 35	*******	193,695 35
US 5YR NOTE SEP02								
EXPIRES 19SEP2002								
CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	57,196 69	00	57,196 69
FREE RECEIPTS/DELIVERIES	4,500,000 00	00	00	4,500,000 00	00	00	00	00
**SECURITY TOTAL*	4,500,000 00	00	*******	4,500,000 00	00	57,196 69	*******	57,196 69

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
US 10YR NOTE FUTR SEP02 EXPIRES 19SEP2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	1,090,894 26	00	1,090,894 26
FREE RECEIPTS/DELIVERIES	22,800,000 00	00	00	22,800,000 00	00	00	00	00
**SECURITY TOTAL*	22,800,000 00	00	*******	22,800,000 00	00	1,090,894 26	*******	1,090,894 26
US 10YR NOTE FUT JUN02 EXPIRES 19JUN2002 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	143,481 55-	00	143,481 55-
FREE RECEIPTS/DELIVERIES	15,100,000 00	00	00	15,100,000 00	00	00	00	00
**SECURITY TOTAL*	15,100,000 00	00	*******	15,100,000 00	00	143,481 55-	*******	143,481 55-
US 5YR NOTE DEC02 FUTURE EXPIRES 19DEC2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	1 37	00	1 37
US LONG BOND SEP02 FUTURE EXPIRES 19SEP2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	917,766 51	00	917,766 51
FREE RECEIPTS/DELIVERIES	14,600,000 00	00	00	14,600,000 00	00	00	00	00
**SECURITY TOTAL*	14,600,000 00	00	*******	14,600,000 00	00	917,766 51	*******	917,766 51
US T BOND DEC02 FUTURE EXPIRES 19DEC2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	1,156,211 02	00	1,156,211 02
FREE RECEIPTS/DELIVERIES	25,200,000 00	00	00	1,200,000 00	00	00	00	00
**SECURITY TOTAL*	25,200,000 00	00	*******	1,200,000 00	00	1,156,211 02	*******	1,156,211 02

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
US LONG BOND FUTURE DEC01 EXPIRES 31DEC2001 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	9 66-	00	9 66-
US 5YR NOTE FUTURE DEC 01 EXPIRES 24NOV2001 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	288,181 45-	00	288,181 45-
FREE RECEIPTS/DELIVERIES	10,700,000 00	00	00	21,900,000 00	00	00	00	00
**SECURITY TOTAL*	10,700,000 00	00	*******	21,900,000 00	00	288,181 45-	*******	288,181 45-
US 10YR T NOTE FUTURE DEC01 EXPIRES 19DEC2001 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	549,400 66-	00	549,400 66-
FREE RECEIPTS/DELIVERIES	00	00	00	31,200,000 00	00	00	00	00
**SECURITY TOTAL*	00	00	*******	31,200,000 00	00	549,400 66-	*******	549,400 66-
US LONG BOND (CBT) JUN02 EXPIRES 19JUN2002 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	207,805 24-	00	207,805 24-
FREE RECEIPTS/DELIVERIES	14,600,000 00	00	00	14,600,000 00	00	00	00	00
**SECURITY TOTAL*	14,600,000 00	00	*******	14,600,000 00	00	207,805 24-	*******	207,805 24-
US 5YR NOTE (CBT) JUN02 EXPIRES 19JUN2002 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	3,875 51-	00	3,875 51-
FREE RECEIPTS/DELIVERIES	11,900,000 00	00	00	11,900,000 00	00	00	00	00
**SECURITY TOTAL*	11,900,000 00	00	*******	11,900,000 00	00	3,875 51-	*******	3,875 51-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
US 10YR NOTE FUTURE MAR02 EXPIRES 28MAR2002 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	17,062 14	00	17,062 14
FREE RECEIPTS/DELIVERIES	27,100,000 00	00	00	27,100,000 00	00	00	00	00
**SECURITY TOTAL*	27,100,000 00	00	*******	27,100,000 00	00	17,062 14	*******	17,062 14
US 10YR NOTE FUT DEC02 EXPIRES 19DEC2002 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	839,628 82	00	839,628 82
FREE RECEIPTS/DELIVERIES	24,300,000 00	00	00	2,200,000 00	00	00	00	00
**SECURITY TOTAL*	24,300,000 00	00	*******	2,200,000 00	00	839,628 82	*******	839,628 82
US LONG BOND MAR 02 EXPIRES 19MAR2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	78,340 43	00	78,340 43
FREE RECEIPTS/DELIVERIES	9,900,000 00	00	00	9,900,000 00	00	00	00	00
**SECURITY TOTAL*	9,900,000 00	00	*******	9,900,000 00	00	78,340 43	*******	78,340 43
**TOTAL OTHER MISCELLANEOUS								
MARGIN ACTIVITY	********	00	********	********	00	3,158,042 52	*****	3,158,042 52
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	00	********	********	00	3,158,042 52	*****	3,158,042 52
**TOTAL MISCELLANEOUS								
MARGIN ACTIVITY	********	00	********	********	00	3,158,042 52	*****	3,158,042 52
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	00	********	********	00	3,158,042 52	*****	3,158,042 52
LIABILITIES OPTIONS OUTSTANDING MISCELLANEOUS LIABILITIES ----------								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
US 5YR NOTE SEP02 EXPIRES 19SEP2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	55,994 37-	00	55 994 37-
FREE RECEIPTS/DELIVERIES	8,300,000 00-	00	00	8,300,000 00-	00	00	00	00
**SECURITY TOTAL*	8,300,000 00-	00	*******	8,300,000 00-	00	55,994 37-	*******	55,994 37-
5YR US T NOTE (CBT) DEC 02 EXPIRES 19DEC2002 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	204,369 11-	00	204,369 11-
FREE RECEIPTS/DELIVERIES	6,700,000 00-	00	00	00	00	00	00	00
**SECURITY TOTAL*	6,700,000 00-	00	*******	00	00	204,369 11-	*******	204,369 11-
US 2YR NOTE (CBT) FUTURES DEC 01 EXPIRES 27DEC2001 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	12,354 00-	00	12,354 00-
FREE RECEIPTS/DELIVERIES	00	00	00	20,000,000 00-	00	00	00	00
**SECURITY TOTAL*	00	00	*******	20,000,000 00-	00	12,354 00-	*******	12,354 00-
US 5YR NOTE FUTURE DEC 01 EXPIRES 24NOV2001 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00	00	00	00	00	62,496 00	00	62,496 00
FREE RECEIPTS/DELIVERIES	00	00	00	4,000,000 00-	00	00	00	00
**SECURITY TOTAL*	00	00	*******	4,000,000 00-	00	62,496 00	*******	62,496 00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
US 5 YR NOTE CBT MAR 2002 EXPIRES 19MAR2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00		00 00	00	00	1,046 50	00	1,046 50
FREE RECEIPTS/DELIVERIES	200,000 00-		00 00	200,000 00-	00	00	00	00
**SECURITY TOTAL*	200,000 00-		00 *******	200,000 00-	00	1,046 50	*******	1,046 50
US LONG BOND MAR 02 EXPIRES 19MAR2002 CHICAGO BOARD OF TRADE								
MARGIN ACTIVITY	00		00 00	00	00	62,105 56	00	62,105 56
FREE RECEIPTS/DELIVERIES	5,400,000 00-		00 00	5,400,000 00-	00	00	00	00
**SECURITY TOTAL*	5,400,000 00-		00 *******	5,400,000 00-	00	62,105 56	*******	62,105 56
US LONG BOND FUTURE DEC01 EXPIRES 31DEC2001 CHICAGO BRD OF TRADE								
MARGIN ACTIVITY	00		00 00	00	00	42,470 45-	00	42,470 45-
FREE RECEIPTS/DELIVERIES	00		00 00	3,900,000 00-	00	00	00	00
**SECURITY TOTAL*	00		00 *******	3,900,000 00-	00	42,470 45-	*******	42,470 45-
**TOTAL OPTIONS OUTSTANDING								
MARGIN ACTIVITY	********		00 ********	********	00	189,539 87-	*****	189,539 87-
FREE RECEIPTS/DELIVERIES	********		00 ********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********		00 ********	********	00	189,539 87-	*****	189,539 87-
**TOTAL LIABILITIES								
MARGIN ACTIVITY	********		00 ********	********	00	189,539 87-	*****	189,539 87-
FREE RECEIPTS/DELIVERIES	********		00 ********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********		00 ********	********	00	189,539 87-	*****	189,539 87-
ACCOUNT PERIOD TOTALS								
**TOTAL								
INVESTMENT ACTIVITY	********	157,773,351 47	********	********	141,622,603 24	143,393,556 74	*****	1,770,953 50
MARGIN ACTIVITY	********		00 ********	********	00	2,968,502 65	*****	2,968,502 65
PAYMENT/MATURITY	********		00 ********	********	31,494,792 82	31,494,014 12	*****	778 70-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FREE RECEIPTS/DELIVERIES	********	92,741,891 67	********	********	92,741,891 67	00	*****	00
ACCOUNT GRAND TOTAL	********	250,515,243 14	********	********	285,859,287 73	177,856,073 51	*****	4,738,677 45

A S S E T S	BOOK VALUE	BOOK %	MARKET VALUE	MARKET %
SECURITIES				
NO SECURITIES HELD IN THIS ACCOUNT				
CASH	00	00	00	00
RECEIVABLES	00	00	00	00
**TOTAL ASSETS	00	00	00	00
L I A B I L I T I E S				
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	00	00	00	00
NET ASSETS	00	100 00	00	100 00

SCHEDULE A

SCHEDULE 6
FED ID # 13-1628151

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
INVESTMENT COMPANIES								
MORGAN STANLEY INSTL FD INC INTL EQUITY PORTFOLIO CL A (INST (61744J400)	INTL							
INVESTMENT ACTIVITY	121,827 84	1,861,529 53	15 28	3,589,008 93	63,278,667 31	58,343,166 55	16 26	4,935,500 76-
PAYMENT/MATURITY	00	00	00	00	00	692,742 78	00	692,742 78
**SECURITY TOTAL*	121,827 84	1,861,529 53	*******	3,589,008 93	63,278,667 31	59,035,909 33	*******	4,242,757 98-
MORGAN STANLEY INSTL FD INC INTL SMALL CAP PORTFOLIO CL A								
INVESTMENT ACTIVITY	56,794 66	838,991 08	14 77	2,006,167 61	35,298,330 49	33,356,333 74	16 63	1,941,996 75-
PAYMENT/MATURITY	00	00	00	00	00	392,603 71	00	392,603 71
**SECURITY TOTAL*	56,794 66	838,991 08	*******	2,006,167 61	35,298,330 49	33,748,937 45	*******	1,549,393 04-
**TOTAL INVESTMENT COMPANIES								
INVESTMENT ACTIVITY	********	2,700,520 61	********	********	98,576,997 80	91,699,500 29	*****	6,877,497 51-
PAYMENT/MATURITY	********	00	********	********	00	1,085,346 49	*****	1,085,346 49
**TOTALS FOR CATEGORY	********	2,700,520 61	********	********	98,576,997 80	92,784,846 78	*****	5,792,151 02-
**TOTAL COMMON STOCK								
INVESTMENT ACTIVITY	********	2,700,520 61	********	********	98,576,997 80	91,699,500 29	*****	6,877,497 51-
PAYMENT/MATURITY	********	00	********	********	00	1,085,346 49	*****	1,085,346 49
**TOTALS FOR CATEGORY	********	2,700,520 61	********	********	98,576,997 80	92,784,846 78	*****	5,792,151 02-
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	2,700,520 61	********	********	98,576,997 80	91,699,500 29	*****	6,877,497 51-
PAYMENT/MATURITY	********	00	********	********	00	1,085,346 49	*****	1,085,346 49
**TOTALS FOR CATEGORY	********	2,700,520 61	********	********	98,576,997 80	92,784,846 78	*****	5,792,151 02-
ACCOUNT PERIOD TOTALS								
**TOTAL								

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)

ACCOUNT 173843

10/18/02 AP PAGE 2

CCNY - MSDW - IE

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
INVESTMENT ACTIVITY	********	2,700,520 61	********	********	98,576,997 80	91,699,500 29	*****	6,877,497 51-
PAYMENT/MATURITY	********	00	********	********	00	1,085,346 49	*****	1,085,346 49
ACCOUNT GRAND TOTAL	********	2,700,520 61	********	********	98,576,997 80	92,784,846 78	*****	5,792,151 02-

ADJUSTMENT
(RECLASS FROM INCOME)

+ 19,172.65
92,804,019.43

+ 19,172.65
(5,772,978.37)

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SUMMARY OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2002

GLOBAL ASSETS - EB

ACCOUNT 101746

CARNEGIE CORP OF NEW YORK
CASH RESERVE/MISCELLANEOUS

T15A AP
PREPARED 10/18/02 PAGE 2

A S S E T S	--------B O O K--------- VALUE	%	-------M A R K E T------- VALUE	%
SECURITIES				
FIXED INCOME	29,314 302 65	88 30	29,314,302 65	83 20
EQUITIES REPORTED ELSEWHERE?	~~4,842,512 28~~	14 89	~~6,975,745 00~~	19 80
*TOTAL SECURITIES	34,256,814 93	103 18	36,290,047 65	103 00
CASH	00	00	00	00
RECEIVABLES	167,150 29	50	167,150 29	47
**TOTAL ASSETS	34,423,965 22	103 69	36,457,197 94	103 47
L I A B I L I T I E S				
PAYABLES	1,223,935 82	3 69	1,223,935 82	3 47
*TOTAL LIABILITIES	1,223,935 82	3 69	1,223,935 82	3 47
NET ASSETS	33,200,029 40	100 00	35,233 262 12	100 00

SCHEDULE A

SCHEDULE 6

FED ID # 13-1628151

ACCOUNT 176866

CARNEGIE CORP OF NEW YORK
STATE STREET BANK

A S S E T S	---------B O O K--------- VALUE	%	-------M A R K E T------- VALUE	%
SECURITIES				
NO SECURITIES HELD IN THIS ACCOUNT				
CASH	00	00	00	00
RECEIVABLES	00	00	00	00
**TOTAL ASSETS	00	00	00	00
L I A B I L I T I E S				
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	00	00	00	00
NET ASSETS	00	100 00	00	100 00

SCHEDULE A

SCHEDULE 6
FED ID # 13-1628151

CARNEGIE CORP OF NEW YORK
STATE STREET BANK

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
EQUITIES								
COMMON STOCK								
POOLED COMMON STOCK								
STATE STREET S & P 500 INDEX CTF								
INVESTMENT ACTIVITY	3,919 03	195,309 31	49 84	1,166,390 28	64,850,355 21	57,903,931 47	49 64	6,946,423 74-
MISCELLANEOUS								
OTHER MISCELLANEOUS								
OTHER INVESTMENTS								
STATE STREET CTF								
EAFE UMBRELLA								
INVESTMENT ACTIVITY	2,138 75	21,342 65	9 98	2,476,380 25	32,383,999 87	23,726,421 85	9 58	8,657,578 02-
ACCOUNT PERIOD TOTALS								
**TOTAL INVESTMENT ACTIVITY	********	216,651 96	********	********	97,234,355 08	81,630,353 32	*****	15,604,001 76-

A S S E T S	BOOK VALUE	BOOK %	MARKET VALUE	MARKET %
SECURITIES				
FIXED INCOME	(A) 1,776,044 82	3 55	(B) 1,776,044 82	3 50
EQUITIES	(A) 40,569,987 74	81 04	(B) 41,549,976 04	81 80
MISCELLANEOUS REPORTED ELSEWHERE →	~~8,500,000 00~~	16 98	~~8,253,500 00~~	16 25
*TOTAL SECURITIES	50,846,032 56	101 57	51,579,520 86	101 55
CASH	00	00	00	00
RECEIVABLES	375,730 43	75	375,730 43	74
**TOTAL ASSETS	51 221,762 99	102 32	51,955,251 29	102 29
L I A B I L I T I E S				
PAYABLES	1,162,478 70	2 32	1,162,478 70	2 29
*TOTAL LIABILITIES	1,162,478 70	2 32	1,162,478 70	2 29
NET ASSETS	50,059,284 29	100 00	50,792,772 59	100 00

Σ (A) = 42,346,032^{56} Σ (B) = 43,326,020^{86}

SCHEDULE A

SCHEDULE G
FED ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 172240

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CARNEGIE CORPORATION OF NEW YORK
EII REALTY - TAX LOT

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	28,554,466 08	28,554,466 08	100 00	27,967,213 94	27,967,213 94	27,967,213 94	100 00	00
EQUITIES								
PREFERRED STOCK								
DOMESTIC CONVERTIBLE PREFERRED STOCK								
VORNADO RLTY TR								
PFD CONV SER A %								
INVESTMENT ACTIVITY	100 00	6,342 00	63 42	2,000 00	121,710 91	124,801 33	62 40	3,090 42
FREE RECEIPTS/DELIVERIES	7,300 00	364,416 00	49 92	7,300 00	364,416 00	00	00	00
**SECURITY TOTAL*	7,400 00	370,758 00	*******	9,300 00	486,126 91	124,801 33	*******	3,090 42
COMMON STOCK								
DOMESTIC COMMON STOCK								
CONTAINER								
STORAGE USA								
COM STK USDO 01								
INVESTMENT ACTIVITY	3,000 00	123,630 60	41 21	9,800 00	324,094 85	419,330 94	42 79	95,236 09
FINANCE								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ARCHSTONE SMITH TR COM								
INVESTMENT ACTIVITY	47,600 00	1,272,358 80	26 73	39,400 50	649,646 15	1,016,239 33	25 79	366,593 18
CAPITAL CHANGE	72,727 50	1,465,528 80	20 15	00	00	00	00	00
**SECURITY TOTAL*	120,327 50	2,737,887 60	*******	39,400 50	649,646 15	1,016,239 33	*******	366,593 18
LIBERTY PPTY TR SH BEN INT								
INVESTMENT ACTIVITY	5,100 00	141,619 90	27 77	20,300 00	431,422 77	647,775 73	31 91	216,352 96
**TOTAL FINANCE								
INVESTMENT ACTIVITY	********	1,413,978 70	********	********	1,081,068 92	1,664,015 06	*****	582,946 14
CAPITAL CHANGE	********	1,465,528 80	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	2,879,507 50	********	********	1,081,068 92	1,664,015 06	*****	582,946 14
HOTEL - MOTEL								
HOST MARRIOT CORP NEW COM								
INVESTMENT ACTIVITY	111,100 00	1,216,961 25	10 95	00	00	00	00	00
HOTEL - RESTAURANT								
FELCOR LODGING TR INC COM								
INVESTMENT ACTIVITY	19,400 00	300,320 89	15 48	31,800 00	714,726 17	591,403 81	18 60	123,322 36-
MERISTAR HOSPITALITY CORP COM								
INVESTMENT ACTIVITY	63,300 00	860,187 20	13 59	56,900 00	839,827 89	794,563 65	13 96	45,264 24-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
STARWOOD HOTELS & RESORTS WORLDWIDE INC COM								
INVESTMENT ACTIVITY	53,200 00	1,451,671 41	27 29	91,400 00	2,438,636 54	2,444,281 60	26 74	5,645 06
**TOTAL HOTEL - RESTAURANT INVESTMENT ACTIVITY	********	2,612,179 50	********	********	3,993,190 60	3 830,249 08	*****	162,941 54-
INVESTMENT COMPANIES								
APARTMENT INVT & MGMT CO CL A								
INVESTMENT ACTIVITY	8,700 00	406,902 26	46 77	12,800 00	460,586 75	634,511 91	49 57	173,925 16
LEISURE AND TOURISM								
LA QUINTA CORP COM NEW PAIRED CTF 1 COM B LA QUINTA PPTYS								
INVESTMENT ACTIVITY	137,800 00	803,302 66	5 83	37,400 00	154,162 59	289,874 86	7 75	135,712 27
CAPITAL CHANGE	146,200 00	709,864 31	4 86	00	00	00	00	00
**SECURITY TOTAL*	284,000 00	1,513,166 97	*******	37,400 00	154,162 59	289,874 86	*******	135,712 27
MEDICAL SUPPLY AND SERVICE								
NATIONWIDE HEALTH PPTYS INC COM								
INVESTMENT ACTIVITY	3,900 00	67,548 14	17 32	23,900 00	306,146 07	462,210 24	19 34	156,064 17
REGENCEY CENTERS CORP COMMON STOCK (FORMERLY REGENCY REALTY CORP)								
INVESTMENT ACTIVITY	31,200 00	886,506 20	28 41	1,900 00	52,628 69	55,080 17	28 99	2 451 48
**TOTAL MEDICAL SUPPLY AND SERVICE INVESTMENT ACTIVITY	********	954,054 34	********	********	358,774 76	517,290 41	*****	158 515 65

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
REAL ESTATE								
AMB PROPERTIES CORP COM STK NPV								
INVESTMENT ACTIVITY	19,800 00	574,042 40	28 99	55,500 00	1,337,080 92	1 397,359 74	25 18	60,278 82
AVALONBAY CMNTYS INC COM								
INVESTMENT ACTIVITY	47,800 00	2,377,807 98	49 74	8,200 00	296,096 80	372,104 03	45 38	76,007 23
BRE PPTYS INC CL A								
INVESTMENT ACTIVITY	32,400 00	1,051,900 58	32 47	16,600 00	401,445 62	526,818 10	31 74	125 372 48
BRANDYWINE REALTY TRUST SHS BEN INT US$0 01 (FORMERLY LINPRO SPECIFIED PROPERTIES)								
INVESTMENT ACTIVITY	200 00	5,102 00	25 51	14,600 00	255,590 82	329,486 05	22 57	73,895 23
CAMDEN PPTY TR SH BEN INT COM								
INVESTMENT ACTIVITY	20,300 00	728,528 46	35 79	29,900 00	1,078,043 66	1,131,842 19	37 85	55,798 53
CARRAMERICA RLTY CORP COM								
INVESTMENT ACTIVITY	19,800 00	590,539 90	29 83	38,500 00	839,447 58	1,181,469 32	30 69	342,021 74
CENTERPOINT PPTYS TR COM								
INVESTMENT ACTIVITY	7,400 00	369,651 47	49 95	2,900 00	137,668 46	146,146 96	50 40	8,478 50

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CHATEAU CMNTYS INC COM								
INVESTMENT ACTIVITY	00	00	00	29,900 00	819,287 26	866,403 93	28 98	47,116 67
CRESCENT REAL ESTATE EQUITIES INC COM								
INVESTMENT ACTIVITY	53,500 00	946,726 56	17 70	53,500 00	946,726 56	991,941 91	18 54	45,215 35
EQUITY OFFICE PROPERTIES TRUST COMMON STOCK USD0 01								
INVESTMENT ACTIVITY	32,300 00	936,866 58	29 01	113,000 00	2,796,854 11	3,322,735 21	29 40	525,881 10
EQUITY RESIDENTIAL COM SHS OF BENEFICIAL								
INVESTMENT ACTIVITY	8,300 00	238,618 19	28 75	64,800 00	1,328,271 25	1,835,508 64	28 33	507,237 39
CAPITAL CHANGE	41,100 00	00	00	00	00	00	00	00
**SECURITY TOTAL*	49,400 00	238,618 19	*******	64,800 00	1,328,271 25	1,835,508 64	*******	507,237 39
GABLES RESIDENTIAL TR SH BEN INT								
INVESTMENT ACTIVITY	00	00	00	3,100 00	91,648 33	87,519 46	28 23	4,128 87-
GENERAL GROWTH PROPERTIES COM STK USD0 10								
INVESTMENT ACTIVITY	35,900 00	1,393,587 73	38 82	16,100 00	557,737 42	747,813 45	46 45	190,076 03
HEALTH CARE PPTY INVS INC COM								
INVESTMENT ACTIVITY	6,000 00	256,871 72	42 81	00	00	00	00	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
HIGHWOODS PPTYS INC COM								
INVESTMENT ACTIVITY	26,200 00	656,928 48	25 07	31,000 00	758,135 92	835,486 40	26 95	77,350 48
KILROY REALITY CORP								
INVESTMENT ACTIVITY	2,500 00	60,950 00	24 38	22,800 00	474,232 84	574,317 60	25 19	100,084 76
KIMCO RLTY CORP COM								
INVESTMENT ACTIVITY	8,100 00	248,362 62	30 66	68,000 00	1,750,024 91	2,260,122 31	33 24	510,097 40
CAPITAL CHANGE	18,600 00	00	00	00	00	00	00	00
**SECURITY TOTAL*	26,700 00	248,362 62	*******	68,000 00	1,750,024 91	2,260,122 31	*******	510,097 40
LA QUINTA PPTYS INC COM STK USD1								
INVESTMENT ACTIVITY	82,700 00	447,313 92	5 41	00	00	00	00	00
CAPITAL CHANGE	00	00	00	146,200 00	709,864 31	709,864 31	4 86	00
**SECURITY TOTAL*	82,700 00	447,313 92	*******	146,200 00	709,864 31	709,864 31	*******	00
MACK CALI RLTY CORP COM								
INVESTMENT ACTIVITY	5,900 00	183,710 25	31 14	34,400 00	1,039,707 60	1,116,787 86	32 46	77,080 26
MANUFACTURED HOME CMNTYS INC COM								
INVESTMENT ACTIVITY	00	00	00	16,100 00	397,542 90	532,871 84	33 10	135,328 94

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
PRENTISS PPTYS TR SH BEN INT								
INVESTMENT ACTIVITY	18,500 00	507,624 40	27 44	18,600 00	500,209 85	576,975 40	31 02	76,765 55
RECKSON ASSOCS RLTY CORP COM								
INVESTMENT ACTIVITY	44,800 00	1,018,062 17	22 72	20,600 00	468,848 10	522,817 00	25 38	53,968 90
ROUSE COM USD 0 01								
INVESTMENT ACTIVITY	17,400 00	548,332 71	31 51	28,200 00	650,292 00	824,800 29	29 25	174,508 29
SL GREEN REALTY CORP COM STK USD0 01								
INVESTMENT ACTIVITY	200 00	7,012 00	35 06	12,900 00	233,367 75	413,521 09	32 06	180,153 34
SIMON PPTY GROUP INC NEW COM								
INVESTMENT ACTIVITY	56,200 00	1,752,221 24	31 18	30,200 00	835,705 30	929,516 21	30 78	93,810 91
SMITH(CHARLES E)RESIDENTIAL REALTY COM USD0 01 (REREF FROM 3817434)								
CAPITAL CHANGE	00	00	00	18,900 00	597,439 51	597,439 51	31 61	00
SUMMIT PPTY INC COM								
INVESTMENT ACTIVITY	18,200 00	458,194 16	25 18	18,200 00	458,194 16	384,673 49	21 14	73,520 67-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TAUBMAN CENTRES INC COM STK USD0 01								
INVESTMENT ACTIVITY	54,200 00	760,121 82	14 02	48,100 00	582,164 70	726,337 15	15 10	134,172 45
UNITED DOMINION RLTY TR INC COM								
INVESTMENT ACTIVITY	41,400 00	601,647 76	14 53	41,400 00	601,647 76	652,156 90	15 75	50 509 14
VENTAS INC								
INVESTMENT ACTIVITY	7,900 00	104,985 63	13 29	00	00	00	00	00
VORNADO RLTY TR COM								
INVESTMENT ACTIVITY	38,000 00	1,626,257 03	42 80	20,200 00	721,290 93	864,475 82	42 80	143,184 89
**TOTAL REAL ESTATE								
INVESTMENT ACTIVITY	********	18,449,967 76	********	********	20,365,263 51	24,152,008 35	*****	3,786,744 84
CAPITAL CHANGE	********	00	********	********	1,307,303 82	1,307,303 82	*****	00
**TOTALS FOR CATEGORY	********	18,449,967 76	********	********	21,672,567 33	25,459,312 17	*****	3,786,744 84
RETAILING								
ARCHSTONE CMNTYS TR SH BEN INT								
INVESTMENT ACTIVITY	00	00	00	9,400 00	234,895 84	233,180 31	24 81	1,715 53-
CAPITAL CHANGE	00	00	00	35,400 00	868,089 29	868,089 29	24 52	00
**SECURITY TOTAL*	00	00	*******	44,800 00	1,102,985 13	1,101,269 60	*******	1,715 53-
BOSTON PROPERTIES COMMON STOCK								
INVESTMENT ACTIVITY	39,700 00	1,526,638 23	38 45	5,700 00	177,779 58	229,589 48	40 28	51,809 90

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ESSEX PPTY TR INC COM								
INVESTMENT ACTIVITY	22,900 00	1,162,404 94	50 76	3,000 00	146,076 94	160,886 55	53 63	14,809 61
MACERICH CO COM STK USDO 01								
INVESTMENT ACTIVITY	44,600 00	1,274,780 64	28 58	14,500 00	302,301 18	439,962 57	30 34	137,661 39
POST PPTYS INC COM								
INVESTMENT ACTIVITY	13,600 00	459,967 52	33 82	40,600 00	1,487,750 09	1,412,150 50	34 78	75,599 59-
PUBLIC STORAGE INC COM								
INVESTMENT ACTIVITY	5,200 00	167,494 24	32 21	29,700 00	771,692 90	1,063,053 02	35 79	291,360 12
SUN COMMUNITIES INC COM								
INVESTMENT ACTIVITY	00	00	00	27,600 00	888,181 19	1,085,801 67	39 34	197,620 48
**TOTAL RETAILING								
INVESTMENT ACTIVITY	********	4,591,285 57	********	********	4,008,677 72	4,624,624 10	*****	615,946 38
CAPITAL CHANGE	********	00	********	********	868,089 29	868,089 29	*****	00
**TOTALS FOR CATEGORY	********	4,591,285 57	********	********	4,876,767 01	5,492,713 39	*****	615,946 38
SERVICE								
ARDEN REALTY GROUP INC COM STK USDO 01								
INVESTMENT ACTIVITY	00	00	00	41,700 00	981,097 37	1,073,942 26	25 75	92,844 89

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
PROLOGIS SH BEN INT								
INVESTMENT ACTIVITY	41,300 00	957,786 87	23 19	20,600 00	401,215 20	497,304 25	24 14	96,089 05
**TOTAL SERVICE INVESTMENT ACTIVITY	********	957,786 87	********	********	1,382,312 57	1 571,246 51	*****	188,933 94
TV AND RADIO BROADCASTERS								
ENTERTAINMENT PPTYS TR COM SH BEN INT								
INVESTMENT ACTIVITY	39,000 00	905,930 91	23 23	00	00	00	00	00
FOREIGN COMMON STOCK MISCELLANEOUS								
CANADIAN TAX REFUND (USD$) ACCRUAL FOR TAX RECLAIMS								
FREE RECEIPTS/DELIVERIES	00	00	00	1,339 00	00	00	00	00
REAL ESTATE								
BROOKFIELD PPTYS CORP COM								
INVESTMENT ACTIVITY	68,100 00	1,301,434 94	19 11	11,800 00	171,100 00	233,251 13	19 77	62,151 13
PAN PAC RETAIL PPTYS INC COM								
INVESTMENT ACTIVITY	200 00	6,656 00	33 28	7,200 00	171,072 00	217,924 27	30 27	46,852 27
**TOTAL REAL ESTATE INVESTMENT ACTIVITY	********	1,308,090 94	********	********	342,172 00	451,175 40	*****	109,003 40
**TOTAL COMMON STOCK INVESTMENT ACTIVITY	********	33,744,071 36	********	********	32,470,304 27	38,154,326 60	*****	5,684,022 33
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	2,175,393 11	********	********	2,175,393 11	2,175,393 11	*****	00
**TOTALS FOR CATEGORY	********	35,919,464 47	********	********	34,645,697 38	40,329,719 71	*****	5,684,022 33

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	33,750,413 36	********	********	32,592,015 18	38,279,127 93	*****	5,687,112 75
FREE RECEIPTS/DELIVERIES	********	384,416 00	********	********	384,416 00	00	*****	00
CAPITAL CHANGE	********	2,175,393 11	********	********	2,175,393 11	2,175,393 11	*****	00
**TOTALS FOR CATEGORY	********	36,290,222 47	********	********	35,131,824 29	40,454,521 04	*****	5,687,112 75
ACCOUNT PERIOD TOTALS								
**TOTAL								
INVESTMENT ACTIVITY	********	62,304,879 44	********	********	60,559,229 12	66,246,341 87	*****	5,687,112 75
FREE RECEIPTS/DELIVERIES	********	384,416 00	********	********	384,416 00	00	*****	00
CAPITAL CHANGE	********	2,175,393 11	********	********	2,175,393 11	2,175,393 11	*****	00
ACCOUNT GRAND TOTAL	********	64,844,688 55	********	********	63,099,038 23	68,421,734 98	*****	5,687,112 75

	---------B O O K--------- VALUE	%	-------M A R K E T------- VALUE	%
A S S E T S				
SECURITIES				
FIXED INCOME	915,840 81	2 03	915,840 81	1 82
EQUITIES	44,221,934 43	97 95	49,305,461 36	98 18
*TOTAL SECURITIES	45,137,775 24	99 98	50,221,302 17	99 98
CASH	00	00	00	00
RECEIVABLES	9,600 76	02	9,600 76	02
**TOTAL ASSETS	45,147,376 00	100 00	50,230,902 93	100 00
L I A B I L I T I E S				
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	00	00	00	00
NET ASSETS	45,147,376 00	100 00	50,230,902 93	100 00

SCHEDULE A

SCHEDULE C
FED ID # 13-1628151

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	12,311,448 38	12 311,448 38	100 00	13,669,490 23	13,669,490 23	13,669,490 23	100 00	00
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
BANKS								
HUDSON UNITED BANCORP								
COM STK USD								
FRMLY(HUBCO INC)								
INVESTMENT ACTIVITY	00	00	00	10,000 00	262,183 58	314,530 51	31 45	52,346 93
COAL								
PITTSTON COM USD1								
COMMON STOCK								
(FORMELY PITTSON SERVICES GRP)								
INVESTMENT ACTIVITY	00	00	00	24,000 00	747,199 98	646,586 49	26 94	100,613 49-
ELECTRONICS								
C & D TECHNOLOGIES INC								
COM								
INVESTMENT ACTIVITY	00	00	00	30,000 00	336,298 50	601,761 83	20 06	265,463 33

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CHECKPOINT SYS INC COM								
INVESTMENT ACTIVITY	150,000 00	1,575,000 00	10 50	00	00	00	00	00
CONEXANT SYSTEMS INC COM STK USD0 01								
INVESTMENT ACTIVITY	00	00	00	50,100 00	161,439 73	80,453 15	1 61	80,986 58-
ADJUSTMENT	00	00	00	00	264,410 27	00	00	00
**SECURITY TOTAL*	00	00	*******	50,100 00	425,850 00	80,453 15	*******	80,986 58-
SKYWORKS SOLUTIONS INC COM STK USD0 25 (FORMERLY ALPHA INDUSTRIES,INC)								
INVESTMENT ACTIVITY	00	00	00	10	1 50	55	5 50	95-
CAPITAL CHANGE	77,585 10	586,680 77	7 56	00	00	00	00	00
**SECURITY TOTAL*	77,585 10	586,680 77	*******	10	1 50	55	*******	95-
ULTRAK INC COMMON STOCK NPV								
INVESTMENT ACTIVITY	00	00	00	76,500 00	641,452 50	88,114 98	1 15	553,337 54-
**TOTAL ELECTRONICS								
INVESTMENT ACTIVITY	********	1,575,000 00	********	********	1,139,192 23	770 330 49	*****	368,861 74-
CAPITAL CHANGE	********	586,680 77	********	********	00	00	*****	00
ADJUSTMENT	********	00	********	********	264,410 27	00	*****	00
**TOTALS FOR CATEGORY	********	2,161,680 77	********	********	1,403,602 50	770,330 49	*****	368,861 74-

HOTEL - RESTAURANT

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
APPLEBEE'S INTERNATIONAL INC COM STK USD0 01								
CAPITAL CHANGE	41,250 00	00	00	00	00	00	00	00
INSURANCE								
BROWN & BROWN INC COM								
INVESTMENT ACTIVITY	49,900 00	1,412,425 54	28 31	00	00	00	00	00
HILB ROGAL & HAMILTON COM STK NPV								
INVESTMENT ACTIVITY	32,000 00	1,212,148 00	37 88	00	00	00	00	00
**TOTAL INSURANCE INVESTMENT ACTIVITY	********	2,624,573 54	********	********	00	00	*****	00
INVESTMENT COMPANIES								
ISHARES TR RUSSELL2000INX								
INVESTMENT ACTIVITY	00	00	00	15,000 00	1,435,678 50	1,230,833 44	82 06	204,845 06-
STERLING FINANCIAL CORP(WASH) COM STK USD1								
INVESTMENT ACTIVITY	00	00	00	50	8 08	10 89	21 78	2 81
CAPITAL CHANGE	4,968 50	00	00	00	00	00	00	00
**SECURITY TOTAL*	4,968 50	00	*******	50	8 08	10 89	*******	2 81
**TOTAL INVESTMENT COMPANIES								
INVESTMENT ACTIVITY	********	00	********	********	1,435,686 58	1,230,844 33	*****	204,842 25-
CAPITAL CHANGE	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	00	********	********	1,435,686 58	1,230,844 33	*****	204,842 25-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
MEDICAL SUPPLY AND SERVICE								
ADVANCEPCS COM STK								
INVESTMENT ACTIVITY	50,000 00	1,074,890 00	21 50	00	00	00	00	00
METALS - ALUMINUM								
ALPHA INDUSTRIES,INC COM STK USD0 25								
CAPITAL CHANGE	00	00	00	60,000 00	322,270 50	322,270 50	5 37	00
RETAILING								
SAKS INCORPORATED COMMON STOCK (FORMERLY PROFFITT'S INC)								
INVESTMENT ACTIVITY	00	00	00	50,000 00	499,375 00	766 476 89	15 33	267,101 89
SERVICE								
ITT EDL SVCS INC COM								
INVESTMENT ACTIVITY	00	00	00	34,000 00	528,380 00	1,693,657 13	49 81	1,165,297 13
CAPITAL CHANGE	100,000 00	00	00	00	00	00	00	00
**SECURITY TOTAL*	100,000 00	00	*******	34,000 00	528,380 00	1,693,657 13	*******	1,165,297 13
UNIGRAPHICS SOLUTIONS INC CL A								
INVESTMENT ACTIVITY	00	00	00	50,900 00	1,304,346 00	1,654,250 00	32 50	349,904 00
**TOTAL SERVICE								
INVESTMENT ACTIVITY	********	00	********	********	1,832,706 00	3,347,907 13	*****	1,515,201 13
CAPITAL CHANGE	********	00	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	00	********	********	1,832,706 00	3,347,907 13	*****	1,515,201 13

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TV AND RADIO BROADCASTERS								
GENERAL COMMUNICATIONS INC CL A								
INVESTMENT ACTIVITY	124,500 00	782,796 95	6 29	00	00	00	00	00
UTILITY - ELECTRIC								
DEVON ENERGY CORPORATION NEW COM								
INVESTMENT ACTIVITY	00	00	00	10,000 00	311,539 66	496,082 05	49 61	184,542 39
**TOTAL COMMON STOCK								
INVESTMENT ACTIVITY	********	6,057,260 49	********	********	6,227,883 03	7,572,757 89	*****	1,344,874 86
CAPITAL CHANGE	********	586,680 77	********	********	322,270 50	322,270 50	*****	00
ADJUSTMENT	********	00	********	********	264,410 27	00	*****	00
**TOTALS FOR CATEGORY	********	6,643,941 26	********	********	6,814,563 80	7,895,028 39	*****	1,344,874 86
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	6,057,260 49	********	********	6,227,883 03	7,572,757 89	*****	1,344,874 86
CAPITAL CHANGE	********	586,680 77	********	********	322,270 50	322,270 50	*****	00
ADJUSTMENT	********	00	********	********	264,410 27	00	*****	00
**TOTALS FOR CATEGORY	********	6,643,941 26	********	********	6,814,563 80	7,895,028 39	*****	1,344,874 86
ACCOUNT PERIOD TOTALS								
**TOTAL								
INVESTMENT ACTIVITY	********	18,368,708 87	********	********	19,897,373 26	21,242,248 12	*****	1,344 874 86
CAPITAL CHANGE	********	586,680 77	********	********	322,270 50	322,270 50	*****	00
ADJUSTMENT	********	00	********	********	264,410 27	00	*****	00
ACCOUNT GRAND TOTAL	********	18,955,389 64	********	********	20,484,054 03	21,564,518 62	*****	1,344,874 86

	BOOK VALUE	BOOK %	MARKET VALUE	MARKET %
ASSETS				
SECURITIES				
NO SECURITIES HELD IN THIS ACCOUNT				
CASH	00	00	00	00
RECEIVABLES	00	00	00	00
**TOTAL ASSETS	00	00	00	00
LIABILITIES				
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	00	00	00	00
NET ASSETS	00	100 00	00	100 00

SCHEDULE A

SCHEDULE 6
FED ID # 13-1628151

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	3,966,347 98	3,966,347 98	1 00	7,071,528 73	7,071,528 73	7,071,528 73	1 00	00
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
AEROSPACE								
GENERAL DYNANICS CORP COM USD1								
INVESTMENT ACTIVITY	1,800 00	147,799 45	82 11	17,800 00	1,046,004 04	1,514,899 39	85 11	468,895 35
ROCKWELL COLLINS INC COM STK USD0 01								
INVESTMENT ACTIVITY	00	00	00	4,400 00	86,753 89	67,339 53	15 30	19,414 36-
**TOTAL AEROSPACE INVESTMENT ACTIVITY	********	147,799 45	********	********	1,132,757 93	1,582,238 92	*****	449,480 99
BANKS								
MELLON FINL CORP COM								
INVESTMENT ACTIVITY	800 00	25,264 64	31 58	29,800 00	1,032,350 33	1,123,895 33	37 71	91,545 00
BEVERAGE								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
COORS ADOLPH CO CL B								
INVESTMENT ACTIVITY	6,300 00	329,869 87	52 36	6,300 00	329,869 87	335,907 44	53 32	6,037 57
BUILDING - FOREST PRODUCTS								
POTLATCH CORP COM STK USD1								
INVESTMENT ACTIVITY	2,900 00	75,304 52	25 97	17,400 00	524,575 42	503,279 40	28 92	21,296 02-
CHEMICAL								
CABOT COM USD1								
INVESTMENT ACTIVITY	00	00	00	11,800 00	220,879 48	396,329 63	33 59	175,450 15
CELGENE CORP COM STK USDO 01								
INVESTMENT ACTIVITY	00	00	00	20,600 00	571,927 62	579,717 38	28 14	7,789 74
EASTMAN CHEMICAL CO COM STK USDO 01								
INVESTMENT ACTIVITY	9,300 00	361,152 64	38 83	9,300 00	361,152 64	368,674 36	39 64	7,521 72
MONSANTO CO NEW COMMON STOCK								
INVESTMENT ACTIVITY	19,000 00	622,507 33	32 76	19,000 00	622,507 33	630,277 54	33 17	7,770 21
**TOTAL CHEMICAL INVESTMENT ACTIVITY	********	983,659 97	********	********	1,776,467 07	1,974,998 89	*****	198,531 82
CONTAINER								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
BALL CORP COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	11,600 00	539,214 29	874,160 20	75 36	334,945 91
DRUG								
GILEAD SCIENCES INC COM STK USD0 001								
INVESTMENT ACTIVITY	2,000 00	132,945 39	66 47	2,000 00	132,945 39	133,983 99	66 99	1,038 60
ICN PHARAMCEUTICAL INC NEW COM								
INVESTMENT ACTIVITY	2,300 00	62,049 11	26 98	28,600 00	879,298 48	893,458 62	31 24	14,160 14
**TOTAL DRUG INVESTMENT ACTIVITY	********	194,994 50	********	********	1,012,243 87	1,027,442 61	*****	15,198 74
ELECTRONICS								
AMERICAN STANDARD COMPANIES INC COM STK USD0 01								
INVESTMENT ACTIVITY	00	00	00	20,700 00	919,080 73	1,355,261 13	65 47	436,180 40
HARRIS CORP DEL COM								
INVESTMENT ACTIVITY	00	00	00	22,400 00	620,104 46	753,475 33	33 64	133,370 87
MOTOROLA INC M COM USD3 00								
INVESTMENT ACTIVITY	18,600 00	253,860 24	13 65	18,600 00	253,860 24	249,106 06	13 39	4,754 18-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
NCR CORP NEW COM								
INVESTMENT ACTIVITY	00	00	00	5,200 00	193 302 29	150,387 52	28 92	42,914 77-
RADIO SHACK								
INVESTMENT ACTIVITY	14,700 00	415,146 30	28 24	14,700 00	415,146 30	459,265 20	31 24	44,118 90
TEKTRONIX INC COM								
INVESTMENT ACTIVITY	900 00	15,363 66	17 07	23,700 00	572,600 62	577,585 29	24 37	4,964 67
**TOTAL ELECTRONICS INVESTMENT ACTIVITY	********	684,370 20	********	********	2,974,094 64	3,545,060 53	*****	570,965 89
FINANCE								
DUN & BRADSTREET CORP DEL NEW COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	36,550 00	743,076 00	1,252,752 12	34 28	509,676 12
METRIS COS INC COM								
INVESTMENT ACTIVITY	00	00	00	31,900 00	861,019 59	525,092 37	16 46	335,927 22-
USA EDUCATION INC COM (REREF FROM FROM 2857118)								
INVESTMENT ACTIVITY	3,100 00	254,486 80	82 09	13,400 00	957,412 15	1,203,286 88	89 80	245,874 73
**TOTAL FINANCE INVESTMENT ACTIVITY	********	254,486 80	********	********	2,561,507 74	2,981 131 37	*****	419,623 63
FOOD								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TRICON GLOBAL RESTAURANTS INC COM								
INVESTMENT ACTIVITY	400 00	15,262 68	38 16	26,200 00	821,686 86	1,408,679 32	53 77	586,992 46
HOTEL - RESTAURANT								
DARDEN RESTAURANTS INC COM								
INVESTMENT ACTIVITY	1,100 00	28,218 60	25 65	38,000 00	1,013,475 49	1,449,445 37	38 14	435,969 88
PARK PL ENTMT CORP COM								
INVESTMENT ACTIVITY	00	00	00	71,500 00	780,933 83	585,885 27	8 19	195,048 56-
**TOTAL HOTEL - RESTAURANT INVESTMENT ACTIVITY	********	28,218 60	********	********	1,794,409 32	2,035,330 64	*****	240,921 32
HEALTH AND PERSONAL CARE								
VIASYS HEALTHCARE INC COM USDO 01								
INVESTMENT ACTIVITY	9,200 00	166,435 50	18 09	19,324 73	319,124 86	430,941 30	22 30	111,816 44
CAPITAL CHANGE	10,124 73	152,689 36	15 08	00	00	00	00	00
**SECURITY TOTAL*	19,324 73	319,124 86	*******	19,324 73	319,124 86	430,941 30	*******	111,816 44
INSURANCE								
AON CORP COM								
INVESTMENT ACTIVITY	8,600 00	311,386 35	36 21	43,700 00	1,471,029 26	1,500,511 53	34 34	29,482 27

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CIGNA CORP COM								
INVESTMENT ACTIVITY	700 00	57,342 15	81 92	17,100 00	1,722,196 66	1,528,383 17	89 38	193,813 49-
CHUBB CORP COM								
INVESTMENT ACTIVITY	900 00	59,850 00	66 50	12,700 00	916,069 58	844,224 61	66 47	71,844 97-
FRANKLIN RESOURCES INC COM								
INVESTMENT ACTIVITY	3,400 00	110,276 48	32 43	23,500 00	984,299 30	857,853 82	36 50	126,445 48-
HARTFORD FINANCIAL SERVICES GRP INC COMMON STOCK USDO 01 FORMERLY ITT HARTFORD GROUP INC)								
INVESTMENT ACTIVITY	500 00	28,892 75	57 79	22,400 00	1,363,837 97	1,403,426 86	62 65	39,588 89
LINCOLN NATIONAL CORP COM USD1 25								
INVESTMENT ACTIVITY	8,300 00	354,306 49	42 69	30,700 00	1,468,873 08	1,525,978 14	49 71	57,105 06
PRINCIPAL FINANCIAL GROUP COM STK USDO 01								
INVESTMENT ACTIVITY	23,500 00	523,070 15	22 26	23,500 00	523,070 15	599,076 51	25 49	76,006 36
ST PAUL COS INC COM								
INVESTMENT ACTIVITY	15,700 00	718,893 50	45 79	15,700 00	718,893 50	685,800 93	43 68	33,092 57-
**TOTAL INSURANCE INVESTMENT ACTIVITY	********	2,164,017 87	********	********	9,168,269 50	8,945,255 57	*****	223,013 93-
LEISURE AND RECREATION								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
HARRAH'S ENTERTAINMENT INC (FORMERLY PROMUS COS INC COM)								
INVESTMENT ACTIVITY	19,700 00	540,023 62	27 41	19,700 00	540,023 62	703,001 56	35 69	162 977 94
INTL GAME TECH COM USDO 01								
INVESTMENT ACTIVITY	400 00	17,040 76	42 60	15,600 00	818,527 14	1,031,890 44	66 15	213,363 30
MATTEL COM USD1								
INVESTMENT ACTIVITY	2,500 00	43,218 13	17 29	34,000 00	404,210 51	633,142 31	18 62	228,931 80
**TOTAL LEISURE AND RECREATION INVESTMENT ACTIVITY	********	600,282 51	********	********	1,762,761 27	2,368,034 31	*****	605,273 04
MACHINERY - TOOLS								
KADANT INC COM STK								
INVESTMENT ACTIVITY	00	00	00	4,002 00	54,479 16	54,259 08	13 56	220 08-
MACHINERY - OTHER								
MILLIPORE CORP COM								
INVESTMENT ACTIVITY	00	00	00	15,600 00	756,131 38	845,437 66	54 19	89,306 28
THERMO ELECTRON CORP COM								
INVESTMENT ACTIVITY	3,900 00	70,080 21	17 97	69,300 00	1,294,603 19	1,508,268 07	21 76	213,664 88
ADJUSTMENT	00	00	00	00	152,689 36	00	00	00
**SECURITY TOTAL*	3,900 00	70,080 21	*******	69,300 00	1,447,292 55	1,508,268 07	*******	213,664 88
**TOTAL MACHINERY - OTHER								
INVESTMENT ACTIVITY	********	70,080 21	********	********	2,050,734 57	2,353,705 73	*****	302,971 16
ADJUSTMENT	********	00	********	********	152,689 36	00	*****	00
**TOTALS FOR CATEGORY	********	70,080 21	********	********	2,203,423 93	2,353,705 73	*****	302,971 16

MEDICAL SUPPLY AND SERVICE	ACQUIRED NUMBER OF SHRS OR $ PAR	ACQUIRED COST	ACQUIRED UNIT COST	DISPOSED NUMBER OF SHRS OR $ PAR	DISPOSED BOOK VALUE	DISPOSED PROCEEDS	DISPOSED UNIT PROC	DISPOSED GAIN OR LOSS-
AMERISOURCEBERGEN CORP COM								
INVESTMENT ACTIVITY	7,600 00	462,755 89	60 89	7,600 00	462,755 89	474,179 68	62 39	11,423 79
BAUSCH & LOMB INC COM								
INVESTMENT ACTIVITY	00	00	00	14,700 00	937,460 28	487,230 66	33 14	450,229 62-
BIOGEN INC COM NA								
INVESTMENT ACTIVITY	2,300 00	124,496 32	54 13	26,700 00	1,486,327 40	1,441,992 81	54 01	44,334 59-
BOSTON SCIENTIFIC CORP COM								
INVESTMENT ACTIVITY	00	00	00	33,500 00	574,919 89	755,193 26	22 54	180,273 37
CEPHALON INC COM STK USDO 01								
INVESTMENT ACTIVITY	200 00	9,895 90	49 48	14,800 00	825,190 92	987,983 73	66 76	162,792 81
OXFORD HEALTH PLANS INC COM STK USDO 01								
INVESTMENT ACTIVITY	12,400 00	453,986 90	36 61	12,400 00	453,986 90	450,274 44	36 31	3,712 46-
ST JUDE MEDICAL INC COM STK USDO 10								
INVESTMENT ACTIVITY	00	00	00	10,100 00	285,823 12	789,177 21	78 14	503,354 09

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TENET HEALTHCARE CORP COM								
INVESTMENT ACTIVITY	8,100 00	476,589 18	58 84	23,400 00	914,305 41	1,461,250 21	62 45	546,944 80
**TOTAL MEDICAL SUPPLY AND SERVICE INVESTMENT ACTIVITY	********	1,527,724 19	********	********	5,940,769 81	6,847,282 00	*****	906,512 19
OFFICE EQUIPMENT								
CADENCE DESIGN SYSTEMS INC COM STK USD0 01								
INVESTMENT ACTIVITY	4,400 00	74,614 22	16 96	37,900 00	717,063 97	893,621 55	23 58	176,557 58
COMPUTER SCIENCES COM $US1								
INVESTMENT ACTIVITY	14,700 00	688,456 27	46 83	14,700 00	688,456 27	618,804 81	42 10	69,551 46-
IMAGISTICS INTERNATIONAL INC COM								
INVESTMENT ACTIVITY	00	00	00	1,408 00	16,841 61	16,874 00	11 98	32 39
CAPITAL CHANGE	1,408 00	16,841 61	11 96	00	00	00	00	00
**SECURITY TOTAL*	1,408 00	16,841 61	*******	1,408 00	16,841 61	16,874 00	*******	32 39
PITNEY BOWES INC COM								
INVESTMENT ACTIVITY	1,100 00	39,781 06	36 16	17,600 00	731,674 28	708,143 67	40 12	25,530 61-
ADJUSTMENT	00	00	00	00	16,841 61	00	00	00
**SECURITY TOTAL*	1,100 00	39 781 06	*******	17,600 00	748,515 89	708,143 67	*******	25,530 61-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
STORAGE TECHNOLOGY COM USD 10								
INVESTMENT ACTIVITY	00	00	00	46,700 00	1,141,652 77	1,072,234 69	22 96	69,418 08-
SYNOPSYS INC COM STK USD0 01								
INVESTMENT ACTIVITY	2,800 00	124,741 56	44 55	2,800 00	124,741 56	143,995 86	51 43	19,254 30
**TOTAL OFFICE EQUIPMENT								
INVESTMENT ACTIVITY	********	927,593 11	********	********	3,420,430 46	3,451,774 58	*****	31,344 12
CAPITAL CHANGE	********	16,841 61	********	********	00	00	*****	00
ADJUSTMENT	********	00	********	********	16,841 61	00	*****	00
**TOTALS FOR CATEGORY	********	944,434 72	********	********	3,437,272 07	3,451,774 58	*****	31,344 12
OIL - DOMESTIC								
PHILIPS PETROLEUM COM $US1 25								
INVESTMENT ACTIVITY	800 00	44,554 64	55 69	11,400 00	641,275 63	683,607 39	58 21	22,331 76
WILLIAMS COS COM								
INVESTMENT ACTIVITY	900 00	23,472 18	26 08	31,500 00	950,349 45	711,527 62	22 59	238,821 83-
**TOTAL OIL - DOMESTIC								
INVESTMENT ACTIVITY	********	68,026 82	********	********	1,591,625 08	1,375,135 01	*****	216,490 07-
PAPER								
BOWATER INC COM								
INVESTMENT ACTIVITY	00	00	00	13,600 00	688,910 00	643,919 22	47 35	44,990 78-
PUBLISHING								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
READERS DIGEST ASSN INC CLASS"A" NV COMMON								
INVESTMENT ACTIVITY	00	00	00	37,300 00	1,177,636 35	693,493 49	18 59	484,142 86-
RETAILING								
FLEMING COS INC COM								
INVESTMENT ACTIVITY	10,800 00	257,242 18	23 82	10,800 00	257,242 18	218,207 64	20 20	39,034 54-
FORTUNE BRANDS INC COM								
INVESTMENT ACTIVITY	00	00	00	22,100 00	728,542 86	887,338 26	40 15	158,795 40
RITE AID CORP COM								
INVESTMENT ACTIVITY	16,700 00	93,298 76	5 59	79,200 00	559,902 28	236,523 86	2 99	323,378 42-
TJX COS INC NEW COM								
INVESTMENT ACTIVITY	00	00	00	24,700 00	589,372 38	981,098 99	39 72	391,726 61
**TOTAL RETAILING INVESTMENT ACTIVITY	********	350,540 94	********	********	2,135,059 70	2,323,168 75	*****	188,109 05
SERVICE								
CENDANT CORPORATION COMMON STOCK (REREF TO 2214638)								
INVESTMENT ACTIVITY	9,700 00	135,396 04	13 96	51,500 00	739,395 75	840,943 49	16 33	101,547 74

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CERIDIAN CORP COM STK USD 5								
INVESTMENT ACTIVITY	00	00	00	32,550 00	472,482 75	584,155 32	17 95	111,672 57
CERTEGY INC COM STK USDO 01								
INVESTMENT ACTIVITY	00	00	00	18,150 00	489,715 28	615,431 82	33 91	125,716 54
CLOROX CO COM								
INVESTMENT ACTIVITY	00	00	00	23,300 00	825,450 55	935,812 72	40 16	110,362 17
EQUIFAX INC								
INVESTMENT ACTIVITY	4,700 00	108,130 33	23 01	49,100 00	975,795 70	1,195,630 06	24 35	219,834 36
MANDALAY RESORT GROUP COMMON STOCK FRMLY(CIRCUS CIRCUS ENRTERPRIESES INC)								
INVESTMENT ACTIVITY	00	00	00	42,600 00	849,278 52	1,108,822 77	26 03	259,544 25
NETSCREEN TECHNOLOGIES INC COM USDO 001								
INVESTMENT ACTIVITY	7,500 00	120,000 00	16 00	7,500 00	120,000 00	172,119 26	22 95	52,119 26
PACKAGING CORP AMER COM								
INVESTMENT ACTIVITY	3,900 00	60,802 68	15 59	3,900 00	60,802 68	69,309 65	17 77	8,506 97

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
WASTE MGMT INC DEL COM								
INVESTMENT ACTIVITY	4,800 00	129,879 89	27 08	37,100 00	820,252 98	1,075,949 60	29 00	255,696 62
**TOTAL SERVICE INVESTMENT ACTIVITY	********	554,208 94	********	********	5,353,174 21	6,598,174 69	*****	1,245,000 48
TRANSPORTATION - OTHER								
RYDER SYS INC COM								
INVESTMENT ACTIVITY	1,300 00	27,681 64	21 29	1,300 00	27,681 64	31,749 42	24 42	4,067 78
UTILITY - ELECTRIC								
CONSTELLATION ENERGY GROUP NPV FRMLY(BALTIMORE GAS & ELECTRIC COM)								
INVESTMENT ACTIVITY	18,800 00	472,932 06	25 16	18,800 00	472,932 06	509,804 74	27 12	36,872 68
UTILITY - NATURAL GAS								
ANADARKO PETE CORP COM								
INVESTMENT ACTIVITY	12,500 00	691,883 16	55 35	12,500 00	691,883 16	597,320 92	47 79	94,562 24-
UTILITY - TELEPHONE								
LORAL SPACE & COMMUNICATIONS CORP COM STK USD0 01								
INVESTMENT ACTIVITY	00	00	00	81,300 00	1,047,779 74	131,729 62	1 62	916,050 12-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ALLTEL COM USD1								
INVESTMENT ACTIVITY	11,200 00	664,449 96	59 33	11,200 00	664,449 96	619,041 70	55 27	45,408 26-
**TOTAL UTILITY - TELEPHONE								
INVESTMENT ACTIVITY	********	664,449 96	********	********	1,712,229 70	750,771 32	*****	961,458 38-
**TOTAL COMMON STOCK								
INVESTMENT ACTIVITY	********	11,025,088 14	********	********	51,066,878 87	55,367,014 78	*****	4,300,135 91
CAPITAL CHANGE	********	169,530 97	********	********	00	00	*****	00
ADJUSTMENT	********	00	********	********	169,530 97	00	*****	00
**TOTALS FOR CATEGORY	********	11,194,619 11	********	********	51,236,409 84	55,367,014 78	*****	4,300,135 91
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	11,025,088 14	********	********	51,066,878 87	55 367,014 78	*****	4,300,135 91
CAPITAL CHANGE	********	169,530 97	********	********	00	00	*****	00
ADJUSTMENT	********	00	********	********	169,530 97	00	*****	00
**TOTALS FOR CATEGORY	********	11,194,619 11	********	********	51,236,409 84	55,367,014 78	*****	4,300,135 91
ACCOUNT PERIOD TOTALS								
**TOTAL								
INVESTMENT ACTIVITY	********	14,991,436 12	********	********	58,138,407 60	62,438,543 51	*****	4,300,135 91
CAPITAL CHANGE	********	169,530 97	********	********	00	00	*****	00
ADJUSTMENT	********	00	********	********	169,530 97	00	*****	00
ACCOUNT GRAND TOTAL	********	15,160,967 09	********	********	58,307,938 57	62,438,543 51	*****	4,300,135 91

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SUMMARY OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2002

GLOBAL ASSETS - EB

ACCOUNT 175656

CARNEGIE CORP OF NEW YORK
SOUTHEASTERN ASSET MANAGEMENT

T15A AP
PREPARED 10/18/02 PAGE 2

A S S E T S	BOOK VALUE	BOOK %	MARKET VALUE	MARKET %
SECURITIES				
FIXED INCOME	38 807 07	06	38,807 07	07
EQUITIES	64,264,623 84	99 90	57,368,348 22	100 10
*TOTAL SECURITIES	64,303,430 91	99 96	57 407,155 29	100 17
CASH	00	00	00	00
RECEIVABLES	1,229,326 76	1 91	1,229,326 76	2 15
**TOTAL ASSETS	65,532,757 67	101 87	58,636,482 05	102 31
L I A B I L I T I E S				
OPTIONS OUTSTANDING	1,201,843 50	1 87	1,325,467 00	2 31
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	1,201,843 50	1 87	1,325,467 00	2 31
NET ASSETS	64,330,914 17	100 00	57,311,015 05	100 00

SCHEDULE A

Schedule 6
FED ID # 13-1628151

	ACQUIRED				DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	23,668,510 24	23,668,510 24	100 00	24,013 583 19	24,013,583 19	24,013,583 19	100 00	00
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
BEVERAGE								
PEPSI BOTTLING GROUP INC COM								
INVESTMENT ACTIVITY	00	00	00	70,000 00	1,314,238 00	3,396,103 92	48 52	2,081,865 92
BUILDING - FOREST PRODUCTS								
GEORGIA PAC CORP COM-TIMBER GROUP								
CAPITAL CHANGE	00	00	00	107,000 00	2,393,315 40	2,393,315 40	22 37	00
ELECTRONICS								
GENERAL MTRS CORP CL H NEW								
INVESTMENT ACTIVITY	104,000 00	1,195,572 40	11 50	00	00	00	00	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
NCR CORP NEW COM								
INVESTMENT ACTIVITY	103,000 00	2,675,342 60	25 97	00	00	00	00	00
**TOTAL ELECTRONICS INVESTMENT ACTIVITY	********	3,870 915 00	********	********	00	00	*****	00
FOOD								
TRICON GLOBAL RESTAURANTS INC COM								
INVESTMENT ACTIVITY	00	00	00	28,000 00	1,009,980 00	1,789,828 33	63 92	779,868 33
CAPITAL CHANGE	00	00	00	70,000 00	2,288,587 50	2,286,587 50	32 67	00
**SECURITY TOTAL*	00	00	*******	98,000 00	3,296,547 50	4,076,415 83	*******	779,868 33
HOTEL - MOTEL								
HILTON HOTELS CORP COM USD2 50								
INVESTMENT ACTIVITY	00	00	00	157,000 00	2,204,531 20	2,126,832 54	13 55	77,698 66-
HOST MARRIOT CORP NEW COM								
INVESTMENT ACTIVITY	00	00	00	215,100 00	2,336,184 79	2,169,667 25	10 09	166,517 54-
**TOTAL HOTEL - MOTEL INVESTMENT ACTIVITY	********	00	********	********	4,540,715 99	4,296,499 79	*****	244,216 20-
HOTEL - RESTAURANT								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
MARRIOTT INTERNATIONAL INC COMMON STOCK USD1 CLASS 'A'								
INVESTMENT ACTIVITY	13,000 00	443,615 50	34 12	14,000 00	412,580 00	633,483 08	45 25	220,903 08
INSURANCE								
AETNA INC COM STK USD0 001								
INVESTMENT ACTIVITY	00	00	00	52,000 00	1,807,260 00	1,701,903 14	32 73	105,356 86-
AON CORP COM								
INVESTMENT ACTIVITY	66,000 00	1,560,314 40	23 64	00	00	00	00	00
**TOTAL INSURANCE INVESTMENT ACTIVITY	********	1,560,314 40	********	********	1,807,260 00	1,701,903 14	*****	105 356 86-
LEISURE AND RECREATION								
DISNEY WALT CO DISNEY COM								
INVESTMENT ACTIVITY	51,000 00	886,213 40	17 38	00	00	00	00	00
LEISURE AND TOURISM								
YUM BRANDS INC COMMON STOCK (FORMERLY TRICON GLOBAL RESTAURANTS INC)								
CAPITAL CHANGE	140,000 00	2,286,587 50	16 33	00	00	00	00	00
REAL ESTATE								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
PLUM CREEK TIMBER CO INC COM								
INVESTMENT ACTIVITY	00	00	00	146,590 00	2,393,315 40	4,193,290 51	28 61	1,799,975 11
CAPITAL CHANGE	146,590 00	2,393,315 40	16 33	00	00	00	00	00
**SECURITY TOTAL*	146,590 00	2,393,315 40	*******	146,590 00	2,393,315 40	4,193,290 51	*******	1,799 975 11
TRIZEC PROPERTIES INC COM NPV								
CAPITAL CHANGE	142,000 00	2,619,654 03	18 45	00	00	00	00	00
**TOTAL REAL ESTATE								
INVESTMENT ACTIVITY	********	00	********	********	2,393,315 40	4,193,290 51	*****	1,799,975 11
CAPITAL CHANGE	********	5,012,969 43	********	********	00	00	*****	00
**TOTALS FOR CATEGORY	********	5,012,969 43	********	********	2,393,315 40	4,193,290 51	*****	1,799,975 11
SERVICE								
WASTE MGMT INC DEL COM								
INVESTMENT ACTIVITY	20,000 00	456,200 00	22 81	00	00	00	00	00
TV AND RADIO BROADCASTERS								
COMCAST CORP CL A SPL								
INVESTMENT ACTIVITY	98,000 00	2,566,482 60	26 19	00	00	00	00	00
UTILITY - TELEPHONE								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
AT & T CORP COM								
INVESTMENT ACTIVITY	147,700 00	1,913,682 26	12 96	00	00	00	00	00
AT&T WIRELESS SVCS INC COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	55,671 00	749,016 11	860,043 62	15 45	111,027 51
CENTURYTEL INC COM								
INVESTMENT ACTIVITY	00	00	00	93,000 00	3,204,826 50	3,185,660 17	34 25	19,166 33-
TELEPHONE & DATA SYSTEMS INC COMMON STOCK USD1								
INVESTMENT ACTIVITY	56,000 00	4,454,189 19	79 54	00	00	00	00	00
**TOTAL UTILITY - TELEPHONE INVESTMENT ACTIVITY	********	6,367,871 45	********	********	3,953,842 61	4,045,703 79	*****	91,861 18
FOREIGN COMMON STOCK MISCELLANEOUS ----------								
CANADIAN TAX REFUND (USD$) ACCRUAL FOR TAX RECLAIMS								
FREE RECEIPTS/DELIVERIES	00	00	00	3,728 00	00	00	00	00
REAL ESTATE ----------								
TRIZEC HAHN CORPORATION SUB VTG NPV (FRMLY HORSHAM CORP)								
CAPITAL CHANGE	00	00	00	142,000 00	2,619,654 03	2,619,654 03	18 45	00
TRANSPORTATION - OTHER ----------								

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIAT S P A SPONSORED ADR REPSTG ORD NEW								
INVESTMENT ACTIVITY	93,000 00	1,045,102 44	11 24	00	00	00	00	00
UTILITY - TELEPHONE								
VIVENDI UNIVERSAL SPON ADR NEW								
INVESTMENT ACTIVITY	278,000 00	4,031,956 40	14 50	00	00	00	00	00
**TOTAL COMMON STOCK								
INVESTMENT ACTIVITY	********	21,228,671 19	********	********	15,431,912 00	20,056,812 56	*****	4,624,900 56
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	7,299,556 93	********	********	7,299,556 93	7,299,556 93	*****	00
**TOTALS FOR CATEGORY	********	28,528,228 12	********	********	22,731,468 93	27,356,369 49	*****	4,624,900 56
**TOTAL EQUITIES								
INVESTMENT ACTIVITY	********	21,228,671 19	********	********	15,431,912 00	20,056,812 56	*****	4,624,900 56
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	7,299,556 93	********	********	7,299,556 93	7,299,556 93	*****	00
**TOTALS FOR CATEGORY	********	28,528,228 12	********	********	22,731,468 93	27,356,369 49	*****	4,624,900 56
LIABILITIES OPTIONS OUTSTANDING MISCELLANEOUS LIABILITIES								
SELL NZD BUY USD AT 1 00 SETTLE DATE 28DEC2001								
INVESTMENT ACTIVITY	00	00	00	7,000,000 00-	2,852,850 00-	2,908,200 00-	42	55,350 00-

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
SELL GBP BUY USD AT 1 00 SETTLE DATE 20DEC2002								
INVESTMENT ACTIVITY	845,000 00-	1,201,843 50-	1 42	00	00	00	00	00
**TOTAL OPTIONS OUTSTANDING INVESTMENT ACTIVITY	********	1,201,843 50-	********	********	2,852,850 00-	2,908,200 00-	*****	55,350 00-
**TOTAL LIABILITIES INVESTMENT ACTIVITY	********	1,201,843 50-	********	********	2,852,850 00-	2,908,200 00-	*****	55,350 00-
ACCOUNT PERIOD TOTALS								
**TOTAL INVESTMENT ACTIVITY	********	43,695 337 93	********	********	36,592,645 19	41,162,195 75	*****	4,569,550 56
FREE RECEIPTS/DELIVERIES	********	00	********	********	00	00	*****	00
CAPITAL CHANGE	********	7,299,556 93	********	********	7,299,556 93	7,299,556 93	*****	00
ACCOUNT GRAND TOTAL	********	50,994,894 86	********	********	43,892,202 12	48,461,752 68	*****	4,569,550 56

ASSETS AND LIABILITIES SUMMARY

IN US DOLLAR

	COST	% OF COST	MARKET VALUE	% OF MARKET
ASSETS				
CASH AND EQUIVALENTS	(A) 2,438 307 34	4 04%	(B) 2,438,307 34	5 11%
SECURITIES	(A) 57,743,598 33	95 66%	(B) 45,136,107 88	94 52%
INCOME RECEIVABLES	180,243 29	0 30%	180,522 78	0 38%
TOTAL ASSETS	**60,362,148 96**	**100 00%**	**47,754,938 00**	**100 00%**
LIABILITIES				
SECURITIES	0 00	0 00%	0 00	0 00%
TOTAL LIABILITIES	**0 00**	**0 00%**	**0 00**	**0 00%**
NET ASSETS	**60,362,148 96**	**100 00%**	**47,754,938 00**	**100 00%**

Σ(A) = 60,181,905 67

Σ(B) = 47,574,415 22

SCHEDULE 6
FED ID # 13-1628151

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS		GAIN/LOSS ON CURRENCY CONVERSION	
		SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/ RECEIVABLE	CASH
BRITISH POUNDS					
PURCHASES	32,200 78			32,200 78	
SETTLEMENT CONTRACTS	(43,018 07)			(43,588 79)	570 72
DIVIDEND INCOME COLLECTED	(682 03)			(682 03)	
TOTAL BRITISH POUNDS	**(11,499 32)**	**0 00**	**0 00**	**(12 070 04)**	**570 72**
EURO					
EMU IN-COUNTRIES					
ECONOMIC AND MONETARY UNION (EMU)					
SETTLEMENT CONTRACTS	37,980 67			37,980 67	
CASH AND EQUIVALENTS INCOME COLLECTED	0 42			0 42	
TOTAL ECONOMIC AND MONETARY UNION (EMU)	**37,981 09**	**0 00**	**0 00**	**37,981 09**	**0 00**
FRANCE					
PURCHASES	(27,373 05)			(27,373 05)	
TOTAL FRANCE	**(27,373 05)**	**0 00**	**0 00**	**(27,373 05)**	**0 00**
ITALY					
PURCHASES	(19,053 51)			(15,746 80)	(3,306 71)
DIVIDEND INCOME COLLECTED	323 85			323 85	
TAX RECLAIMS COLLECTED	73 87			73 87	
TOTAL ITALY	**(18,655 79)**	**0 00**	**0 00**	**(15 349 08)**	**(3,306 71)**
TOTAL EMU IN-COUNTRIES	**(8,047 75)**	**0 00**	**0 00**	**(4,741 04)**	**(3,306 71)**

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS		GAIN/LOSS ON CURRENCY CONVERSION	
		SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/ RECEIVABLE	CASH
EMU OUT-COUNTRIES					
UNITED STATES					
SETTLEMENT CONTRACTS	(1,026 61)			(1,034 44)	7 83
TOTAL UNITED STATES	**(1,026 61)**	**0 00**	**0 00**	**(1,034 44)**	**7 83**
TOTAL EMU OUT-COUNTRIES	**(1,026 61)**	**0 00**	**0 00**	**(1,034 44)**	**7 83**
TOTAL EURO	**(9,074 36)**	**0 00**	**0 00**	**(5,775 48)**	**(3,298 88)**
JAPANESE YEN					
PURCHASES	32,034 65			32,034 65	
SETTLEMENT CONTRACTS	(34,518 56)			(34,518 56)	
TOTAL JAPANESE YEN	**(2,483 91)**	**0 00**	**0 00**	**(2,483 91)**	**0 00**
ACCOUNT TOTALS					
PURCHASES	17,808 87			21,115 58	(3,306 71)
SETTLEMENT CONTRACTS	(40,582 57)			(41,161 12)	578 55
CASH AND EQUIVALENTS INCOME COLLECTED	0 42			0 42	
DIVIDEND INCOME COLLECTED	(358 18)			(358 18)	
TAX RECLAIMS COLLECTED	73 87			73 87	
ACCOUNT TOTALS	**(23,057 59)**	**0 00**	**0 00**	**(20,329 43)**	**(2,728 16)**

ACTIVITY REPORT SUMMARY

		COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
BRITISH POUNDS						
PURCHASES	GBP	4,345,101 48				
	USD	6,547,391 83				
SETTLEMENT CONTRACTS	GBP	4,303 801 47				
	USD	6,494,168 02				
DIVIDEND INCOME COLLECTED	GBP				41,300 01	
	USD				64,021 10	
TOTAL BRITISH POUNDS	**GBP**	**8,648,902 95**			**41,300 01**	
	USD	**13,041,579 85**			**64,021 10**	
CANADIAN DOLLARS						
PURCHASES	CAD	12,760,794 96				
	USD	8,215,996 71				
TOTAL CANADIAN DOLLARS	**CAD**	**12,760,794 96**				
	USD	**8,215,996 71**				
EURO						
EMU IN-COUNTRIES						
ECONOMIC AND MONETARY UNION (EMU)						
SETTLEMENT CONTRACTS	EUR	7,084,108 67				
	USD	6,740,457 78				
CASH AND EQUIVALENTS INCOME COLLECTED	EUR				46 37	
	USD				43 85	
TOTAL ECONOMIC AND MONETARY UNION (EMU)	EUR	7,084,108 67			46 37	
	USD	6,740,457 78			43 85	

ACTIVITY REPORT SUMMARY

		COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
FRANCE						
PURCHASES	EUR	3,666,431 18				
	USD	3,594,826 64				
TOTAL FRANCE	EUR	3,666,431 18				
	USD	3,594,826 64				
ITALY						
PURCHASES	EUR	3,417,677 49				
	USD	3,137,185 25				
DIVIDEND INCOME COLLECTED	EUR				54,312 00	
	USD				53,425 14	
TAX RECLAIMS COLLECTED	EUR				8,902 18	
	USD				8,777 54	
TOTAL ITALY	EUR	3,417,677 49			63 214 18	
	USD	3,137,185 25			62,202 68	
TOTAL EMU IN-COUNTRIES	EUR	14,168,217 34			63,260 55	
	USD	13,472,469 67			62,246 53	
EMU OUT-COUNTRIES						
UNITED STATES						
SETTLEMENT CONTRACTS	EUR	(63,260 55)				
	USD	(61,219 92)				
TOTAL UNITED STATES	EUR	(63,260 55)				
	USD	(61,219 92)				
TOTAL EMU OUT-COUNTRIES	EUR	(63,260 55)				
	USD	(61,219 92)				
TOTAL EURO	**EUR**	**14,104,956 79**			**63,260 55**	
	USD	**13,411,248 75**			**62,246 53**	

ACTIVITY REPORT SUMMARY

		COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
JAPANESE YEN						
PURCHASES	JPY	2,266,694,899				
	USD	18,228,491 92				
SETTLEMENT CONTRACTS	JPY	2,266,694,899				
	USD	18,230,975 83				
TOTAL JAPANESE YEN	**JPY**	**4,533,389,798**				
	USD	**36,459,467 75**				
SWISS FRANCS						
FORWARD CONTRACTS	CHF					
	USD					
TOTAL SWISS FRANCS	**CHF**					
	USD					
US DOLLARS						
TRANSFERS FROM PARTICIPATING ACCOUNTS	USD			60,000,000 00		
OPENINGS	USD					
PURCHASES	USD	79,328,357 04				
SALES	USD	(58,870,343 72)	58,870,343 72			
SETTLEMENT CONTRACTS	USD	(31,404,401 71)				
FORWARD CONTRACTS	USD					
CASH AND EQUIVALENTS INCOME COLLECTED	USD				773 12	
DIVIDEND INCOME COLLECTED	USD				77,370 14	

ACTIVITY REPORT SUMMARY

		COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
INTEREST INCOME COLLECTED	USD				268 48	
TOTAL US DOLLARS	**USD**	**(10,946,388 39)**	**58,870,343 72**	**60,000,000 00**	**78,411 74**	
ACTIVITY TOTALS						
TRANSFERS FROM PARTICIPATING ACCOUNTS	USD			60,000,000 00		
OPENINGS	USD					
PURCHASES	USD	119,052,249 39				
SALES	USD	(58,870,343 72)	58,870,343 72			
SETTLEMENT CONTRACTS	USD					
FORWARD CONTRACTS	USD					
CASH AND EQUIVALENTS INCOME COLLECTED	USD				816 97	
DIVIDEND INCOME COLLECTED	USD				194 816 38	
TAX RECLAIMS COLLECTED	USD				8,777 54	
INTEREST INCOME COLLECTED	USD				268 48	
NET ACTIVITY	**USD**	**60,181,905 67**	**58,870,343 72**	**60,000,000 00**	**204,679 37**	

A S S E T S	---------B O O K--------- VALUE	%	-------M A R K E T------- VALUE	%
SECURITIES				
FIXED INCOME	7 46	00	7 46	00
EQUITIES	9,916,804 12	99 99	1,930,230 99	99 94
*TOTAL SECURITIES	9,916,811 58	99 99	1,930,238 45	99 94
CASH	00	00	00	00
RECEIVABLES	1,089 84	01	1,089 84	06
**TOTAL ASSETS	9,917,901 42	100 00	1,931,328 29	100 00
L I A B I L I T I E S				
PAYABLES	00	00	00	00
*TOTAL LIABILITIES	00	00	00	00
NET ASSETS	9,917,901 42	100 00	1,931,328 29	100 00

SCHEDULE A

SCHEDULE 6
FED ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 177789

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CARNEGIE CORP OF NEW YORK
T ROWE PRICE VENTURE DISTRIBUTIONS

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								
DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	821,886 01	821,886 01	1 00	821,878 55	821,878 55	821,878 55	1 00	00
EQUITIES								
COMMON STOCK								
DOMESTIC COMMON STOCK								
ELECTRICAL EQUIPMENT								
TRANSMETA CORP DEL								
COM								
FREE RECEIPTS/DELIVERIES	130,000 00	1,894,100 45	14 57	130,000 00	1,894,100 45	00	00	00
ELECTRONICS								
MOTOROLA INC M								
COM USD3 00								
INVESTMENT ACTIVITY	00	00	00	00	00	00	00	00
FREE RECEIPTS/DELIVERIES	54,492 00	939,442 08	17 24	27,246 00	469,721 04	00	00	00
**SECURITY TOTAL*	54,492 00	939,442 08	*******	27,246 00	469,721 04	00	*******	00
MEDICAL SUPPLY AND SERVICE								
SOLA INTL INC								
COM								
INVESTMENT ACTIVITY	00	00	00	70,000 00	638,483 06	1,272,738 94	18 18	634,255 88
OFFICE EQUIPMENT								

	ACQUIRED				DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
CISCO SYSTEMS COMMON STOCK NPV								
FREE RECEIPTS/DELIVERIES	47,588 00	664,328 48	13 96	23,794 00	332,164 24	00	00	00
SERVICE								
ALLIED RISER COMMUNICATIONS CORP COM								
CAPITAL CHANGE	00	00	00	4,518 00	3,704 76	3,704 76	82	00
FOUNDRY NETWORKS INC COM								
FREE RECEIPTS/DELIVERIES	110,000 00	2,250,429 06	20 46	110,000 00	2,250,429 08	00	00	00
INTERGRATED CIRCUIT SYSTEMS COMMON STOCK								
INVESTMENT ACTIVITY	00	00	00	8,292 00	160,718 62	196 263 54	23 67	35,544 92
FREE RECEIPTS/DELIVERIES	39,800 00	868,983 04	21 83	17,614 00	374,825 73	00	00	00
**SECURITY TOTAL*	39,800 00	868,983 04	*******	25,906 00	535,544 35	196,263 54	*******	35,544 92
**TOTAL SERVICE								
INVESTMENT ACTIVITY	********		00 ********	********	160,718 62	196,263 54	*****	35 544 92
FREE RECEIPTS/DELIVERIES	********	3,119,412 10	********	********	2,625,254 79	00	*****	00
CAPITAL CHANGE	********		00 ********	********	3,704 76	3,704 76	*****	00
**TOTALS FOR CATEGORY	********	3,119,412 10	********	********	2,789,678 17	199,968 30	*****	35,544 92
TV AND RADIO BROADCASTERS								
SYMYX TECHNOLOGIES INC COM								
FREE RECEIPTS/DELIVERIES	42,354 00	956,354 00	22 58	21,177 00	478,177 00	00	00	00

	ACQUIRED			DISPOSED				
	NUMBER OF SHRS OR $ PAR	COST	UNIT COST	NUMBER OF SHRS OR $ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TIVO INC COM								
FREE RECEIPTS/DELIVERIES	25,000 00	227,249 62	9 09	25,000 00	227,249 62	00	00	00
**TOTAL TV AND RADIO BROADCASTERS FREE RECEIPTS/DELIVERIES	********	1,183,603 62	********	********	705,426 62	00	*****	00
UTILITY - TELEPHONE ----------								
COGENT COMM GROUP INC COM								
CAPITAL CHANGE	146 00	3,704 76	25 38	00	00	00	00	00
**TOTAL COMMON STOCK INVESTMENT ACTIVITY	********	00	********	********	799,201 68	1,469,002 48	*****	669,800 80
FREE RECEIPTS/DELIVERIES	********	7 800,886 73	********	********	6,026,667 14	00	*****	00
CAPITAL CHANGE	********	3,704 76	********	********	3,704 76	3,704 76	*****	00
**TOTALS FOR CATEGORY	********	7,804,591 49	********	********	6,829,573 58	1,472,707 24	*****	669,800 80
**TOTAL EQUITIES INVESTMENT ACTIVITY	********	00	********	********	799,201 68	1,469,002 48	*****	669,800 80
FREE RECEIPTS/DELIVERIES	********	7,800,886 73	********	********	6,026,667 14	00	*****	00
CAPITAL CHANGE	********	3,704 76	********	********	3,704 76	3,704 76	*****	00
**TOTALS FOR CATEGORY	********	7,804,591 49	********	********	6,829,573 58	1,472,707 24	*****	669,800 80
ACCOUNT PERIOD TOTALS								
**TOTAL INVESTMENT ACTIVITY	********	821,886 01	********	********	1,621,080 23	2,290,881 03	*****	669,800 80
FREE RECEIPTS/DELIVERIES	********	7,800,886 73	********	********	6,026,667 14	00	*****	00
CAPITAL CHANGE	********	3,704 76	********	********	3,704 76	3,704 76	*****	00
ACCOUNT GRAND TOTAL	********	8,626,477 50	********	********	7,651,452 13	2,294,585 79	*****	669,800 80

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS		GAIN/LOSS ON CURRENCY CONVERSION	
		SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/ RECEIVABLE	CASH
EURO					
EMU IN-COUNTRIES					
ECONOMIC AND MONETARY UNION (EMU)					
CASH AND EQUIVALENTS INCOME COLLECTED	0 03			0 03	
TOTAL ECONOMIC AND MONETARY UNION (EMU)	**0 03**	**0 00**	**0 00**	**0 03**	**0 00**
FRANCE					
TAX RECLAIMS COLLECTED	(606 63)			(606 63)	
TOTAL FRANCE	**(606 63)**	**0 00**	**0 00**	**(606 63)**	**0 00**
TOTAL EMU IN-COUNTRIES	**(606 60)**	**0 00**	**0 00**	**(606 60)**	**0 00**
EMU OUT-COUNTRIES					
UNITED STATES					
SETTLEMENT CONTRACTS	(0 02)			0 01	(0 03)
FORWARD CONTRACTS	(31 91)			47 28	(79 19)
TOTAL UNITED STATES	**(31 93)**	**0 00**	**0 00**	**47 29**	**(79 22)**
TOTAL EMU OUT-COUNTRIES	**(31 93)**	**0 00**	**0 00**	**47 29**	**(79 22)**
TOTAL EURO	**(638 53)**	**0 00**	**0 00**	**(559 31)**	**(79 22)**
ACCOUNT TOTALS					
SETTLEMENT CONTRACTS	(0 02)			0 01	(0 03)
FORWARD CONTRACTS	(31 91)			47 28	(79 19)
CASH AND EQUIVALENTS INCOME COLLECTED	0 03			0 03	
TAX RECLAIMS COLLECTED	(606 63)			(606 63)	
ACCOUNT TOTALS	**(638 53)**	**0 00**	**0 00**	**(559 31)**	**(79 22)**

SCHEDULE 6
FED ID # 13-1628151

SCHEDULE 6
FED ID# 13-1628151

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS		GAIN/LOSS ON CURRENCY CONVERSION	
		SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/ RECEIVABLE	CASH
AUSTRALIAN DOLLARS					
PURCHASES					
TOTAL AUSTRALIAN DOLLARS	**0 00**	**0 00**	**0 00**	**0 00**	**0 00**
DANISH KRONES					
SETTLEMENT CONTRACTS	(1 15)			(0 09)	(1 06)
TAX RECLAIMS COLLECTED	(65 74)			(65 74)	
TOTAL DANISH KRONES	**(66 89)**	**0 00**	**0 00**	**(65 83)**	**(1 06)**
DEUTSCHE MARKS					
MARGIN PAYMENTS	211 75				211 75
PURCHASES	1 480 21			61 14	1,419 07
SALES	169 80	(72 18)	(271 76)	758 80	(245 06)
SETTLEMENT CONTRACTS	5 50				5 50
FORWARD CONTRACTS	216 87				216 87
CASH BALANCE CONVERSIONS	(2,045 45)				(2,045 45)
TRADE SETTLEMENT CONVERSIONS	(3 38)				(3 38)
TOTAL DEUTSCHE MARKS	**35 30**	**(72 18)**	**(271 76)**	**819 94**	**(440 70)**
EURO					
EMU IN-COUNTRIES					
ECONOMIC AND MONETARY UNION (EMU)					
CASH AND EQUIVALENTS INCOME COLLECTED	0 49			0 49	
CASH BALANCE CONVERSIONS	2,045 45				2,045 45

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS		GAIN/LOSS ON CURRENCY CONVERSION	
		SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/ RECEIVABLE	CASH
TRADE SETTLEMENT CONVERSIONS	3 38				3 38
TOTAL ECONOMIC AND MONETARY UNION (EMU)	**2,049 32**	**0 00**	**0 00**	**0 49**	**2,048 83**
FRANCE					
TAX RECLAIMS COLLECTED	(6,772 30)			(6 772 30)	
TOTAL FRANCE	**(6,772 30)**	**0 00**	**0 00**	**(6,772 30)**	**0 00**
GERMANY					
SALES	(35 30)	(0 01)	(35 29)		
TOTAL GERMANY	**(35 30)**	**(0 01)**	**(35 29)**	**0 00**	**0 00**
ITALY					
TAX RECLAIMS COLLECTED	(1,025 77)				(1,025 77)
TOTAL ITALY	**(1,025 77)**	**0 00**	**0 00**	**0 00**	**(1,025 77)**
NETHERLANDS					
TAX RECLAIMS COLLECTED	(2,510 96)			(2,510 96)	
TOTAL NETHERLANDS	**(2,510 96)**	**0 00**	**0 00**	**(2,510 96)**	**0 00**
TOTAL EMU IN-COUNTRIES	**(8,295 01)**	**(0 01)**	**(35 29)**	**(9,282 77)**	**1,023 06**
EMU OUT-COUNTRIES					
UNITED STATES					
SETTLEMENT CONTRACTS	(1,078 34)			38 33	(1,116 67)

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS		GAIN/LOSS ON CURRENCY CONVERSION	
		SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/ RECEIVABLE	CASH
FORWARD CONTRACTS	(525 70)			875 97	(1,401 67)
TOTAL UNITED STATES	**(1,604 04)**	**0 00**	**0 00**	**914 30**	**(2,518 34)**
TOTAL EMU OUT-COUNTRIES	**(1,604 04)**	**0 00**	**0 00**	**914 30**	**(2,518 34)**
TOTAL EURO	**(9,899 05)**	**(0 01)**	**(35 29)**	**(8,368 47)**	**(1,495 28)**
ACCOUNT TOTALS					
MARGIN PAYMENTS	211 75				211 75
PURCHASES	1,480 21			61 14	1,419 07
SALES	134 50	(72 19)	(307 05)	758 80	(245 06)
SETTLEMENT CONTRACTS	(1,073 99)			38 24	(1 112 23)
FORWARD CONTRACTS	(308 83)			875 97	(1,184 80)
CASH AND EQUIVALENTS INCOME COLLECTED	0 49			0 49	
TAX RECLAIMS COLLECTED	(10,374 77)			(9,349 00)	(1 025 77)
CASH BALANCE CONVERSIONS					
TRADE SETTLEMENT CONVERSIONS					
ACCOUNT TOTALS	**(9,830 64)**	**(72 19)**	**(307 05)**	**(7,614 36)**	**(1,937 04)**

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Summary of Assets	*Book Value 2001*	*% of Total*	*Book Value 2002*	*% of Total*	*Market Value 2002*	*% of Total*
Total US Government Obligations - Line 10A	$ 89 050,562	8 23%	$ 71,983,548	9 11%	$ 79,392 605	11 43%
Total Corporate Stock Obligations - Line 10B	784,183,693	72 48%	542,815,756	68 73%	431,911,622	62 21%
Total Corporate Bond Obligations - Line 10C	208,768 701	19 29%	175,026,562	22 16%	183,028 465	26 36%
Total Assets - Line 10A, Line 10B, Line 10C	**$ 1,082,002,956**	**100 00%**	**$ 789,825,866**	**100 00%**	**$ 694,332,691**	**100 00%**

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Face Amount or Shares	Security Title	Account Manager	Country	Unit Value-Cost	Book Value	Unit Value-Market	Market Value
US GOVERNMENT OBLIGATIONS							
350,000 000	U S GOVERNMENT TREASURY BILL DUE 17OCT2002	Morgan Stanley	UNITED STATES	$ 99 05	$ 346,673 44	$ 99 05	$ 346 673 44
550 000 000	U S GOVERNMENT TREASURY BILL DUE 16JAN2003	Morgan Stanley	UNITED STATES	99 309	546 200 20	99 309	546 200 20
2 750 000 000	UNITED STATES TREAS BDS DUE 08/15/2019	Morgan Stanley	UNITED STATES	130 771	3 596 210 94	141 313	3 886 093 75
					4 489 084 58		4,778 967 19
6,000 000 000	FEDERAL HOME LN MTG CORP DEB DUE 01/15/2005	Internally Managed Fixed Income	UNITED STATES	98 508	5 910 480 00	110 344	6 620 640 00
4,500 000 000	FEDERAL HOME LN MTG CORP DUE03/15/2009	Internally Managed Fixed Income	UNITED STATES	99 703	4,486,640 63	111 781	5 030 145 00
6,000,000 000	FEDERAL NATL MTG ASSN DUE 05/14/2004	Internally Managed Fixed Income	UNITED STATES	94 430	5,665 780 00	105 969	6 358 140 00
10 000 000 000	UNITED STATES TREAS BDS	Internally Managed Fixed Income	UNITED STATES	115 397	11 539 687 50	139 844	13 884 400 00
5 500 000 000	UNITED STATES TREAS NOTES	Internally Managed Fixed Income	UNITED STATES	110 047	6 052 578 13	113 125	6 221 875 00
9 000,000 000	UNITED STATES TRLAS NTS DUE 02/15/2003	Internally Managed Fixed Income	UNITED STATES	98 789	8,891,015 58	101 750	9 157 500 00
4 000,000 000	UNITED STATES TREAS NTS	Internally Managed Fixed Income	UNITED STATES	97 266	3 890 625 00	105 875	4 235 000 00
5,000 000 000	UNITED STATES TREAS NTS SER DUE 08/15/2004	Internally Managed Fixed Income	UNITED STATES	98 469	4 923,437 50	108 000	5 400 000 00
6 000 000 000	UNITED STATES TREASURY BOND DUE 15AUG2023	Internally Managed Fixed Income	UNITED STATES	97 359	5,841 562 50	119 250	7 155 000 00
9 500 000 000	UNITED STATES TRLASURY NOTE DUE 15NOV2005	Internally Managed Fixed Income	UNITED STATES	108 344	10 292 656 25	111 063	10 550 937 50
					67,494,463 09		74,613,637 50
TOTAL US GOVERNMENT OBLIGATIONS					71,983,547 67		79,392,604 89
CORPORATE STOCK							
1 909 799 853	EMERGING MARKETS GROWTH FUND	Capital Guardian Trust	UNITED STATES	50 549	96 539 308 00	37 330	71 292 828 51
5 009,886 533	CAPITAL GUARDIAN NON US EQUITY FUND	Capital Guardian Trust	UNITED STATES	21 258	106,499 702 00	15 580	78,054 032 18
					203,039,010 00		149,346,860 69
19 800 000	AMB PROPERTIES CORP COM STK NPV	European Investors Inc	UNITED STATES	28 992	574,042 40	28 900	572 220 00
46 823 000	APARTMENT INVT & MGMT CO CL A	European Investors Inc	UNITED STATES	40 831	1,911 821 51	38 850	1 819 073 55
80,927 000	ARCHSTONE SMITH TR COM	European Investors Inc	UNITED STATES	25 804	2 088 241 45	23 880	1 932 536 76
50 668 000	AVALONBAY CMNTYS INC COM	European Investors Inc	UNITED STATES	49 029	2 484 204 41	41 800	2 117 922 40
59,600 000	BOSTON PROPERTIES COMMON STOCK	European Investors Inc	UNITED STATES	38 014	2 265 634 90	37 200	2 217 120 00
16 000 000	BRANDYWINE REALTY TRUST SHS BEN INT US$0 01	European Investors Inc	UNITED STATES	16 494	263 901 84	22 550	360 800 00
39 900 000	BRE PPTYS INC CL A	European Investors Inc	UNITED STATES	31 490	1,256 451 55	30 750	1 226 925 00
87 000 000	BROOKFIELD PPTYS CORP COM	European Investors Inc	CANADA	18 205	1 583 836 40	19 250	1 674 750 00
20 000 000	CARRAMERICA RLTY CORP COM	European Investors Inc	UNITED STATES	29 739	594 789 40	25 170	503 400 00

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Face Amount or Shares	Security Title	Account Manager	Country	Unit Value Cost	Book Value	Unit Value-Market	Market Value
13 800 000	CENTERPOINT PPTYS TR COM	European Investors Inc	UNITED STATES	48 613	670 858 23	55 500	765,900 00
39,000 000	ENTERTAINMENT PPTYS TR COM SH BEN INT	European Investors Inc	UNITED STATES	23 229	905 930 91	22 100	861,900 00
60 609 000	EQUITY OFFICE PROPERTIES TRUST COMMON	European Investors Inc	UNITED STATES	29 430	1 783 730 61	25 820	1 564 924 38
25 700 000	EQUITY RESIDENTIAL COM SHS OF BENEFICIAL	European Investors Inc	UNITED STATES	23 991	616 569 88	23 940	615 258 00
19 900 000	ESSEX PPTY TR INC COM	European Investors Inc	UNITED STATES	51 072	1 016 328 00	49 440	983 856 00
28 800 000	FELCOR LODGING TR INC COM	European Investors Inc	UNITED STATES	17 274	497 485 29	12 830	369 504 00
37,000 000	GENERAL GROWTH PROPERTIES COM STK USD0 10	European Investors Inc	UNITED STATES	38 776	1 434 724 65	51 500	1 905,500 00
6 000 000	HEALTHCARE PPTY INVS INC COM	European Investors Inc	UNITED STATES	42 812	256 871 72	42 600	255 600 00
22 200 000	HIGHWOODS PPTYS INC COM	European Investors Inc	UNITED STATES	25 058	556 277 83	23 400	519 480 00
111 100 000	HOST MARRIOT CORP NEW COM	European Investors Inc	UNITED STATES	10 954	1 216 961 25	9 280	1 031,008 00
246 600 000	LA QUINTA CORP COM NEW	European Investors Inc	UNITED STATES	5 511	1 359 004 38	4 800	1 183 680 00
38 900 000	LIBERTY PPTY TR SH BEN INT	European Investors Inc	UNITED STATES	25 879	1 006 682 82	31 000	1 205 900 00
68 800 000	MACERICH CO COM STK USD0 01	European Investors Inc	UNITED STATES	25 780	1 773 656 55	30 980	2 131 424 00
26 600 000	MERISTAR HOSPITALITY CORP COM	European Investors Inc	UNITED STATES	15 613	415 292 54	8 590	228 494 00
37 600 000	NATIONWIDE HEALTH PPTYS INC COM	European Investors Inc	UNITED STATES	14 131	531,311 60	17 050	641 080 00
11 700 000	PAN PAC RETAIL PPTYS INC COM	European Investors Inc	CANADA	23 923	279,896 00	34 480	403 416 00
15 600 000	PRENTISS PPTYS TR SH BEN INT	European Investors Inc	UNITED STATES	27 397	427 390 40	28 940	451 464 00
118 245 000	PROLOGIS SH BEN INT	European Investors Inc	UNITED STATES	21 901	2 589,700 83	24 910	2 945 482 95
31 840 000	PUBLIC STORAGE INC COM	European Investors Inc	UNITED STATES	26 332	838,410 88	31 900	1 015 696 00
53 500 000	RECKSON ASSOCS RLTY CORP COM	European Investors Inc	UNITED STATES	22 761	1 217 727 17	22 770	1 218 195 00
29 300 000	REGENCY CENTERS CORP COMMON STOCK	European Investors Inc	UNITED STATES	28 460	833,877 51	31 000	908,300 00
29 900 000	ROUSE COM USD 0 01	European Investors Inc	UNITED STATES	28 025	837 932 68	31 950	955 305 00
73 200 000	SIMON PPTY GROUP INC NEW COM	European Investors Inc	UNITED STATES	30 023	2 197 660 42	35 730	2 615 436 00
9 900 000	SL GREEN REALTY CORP COM STK USD0 01	European Investors Inc	UNITED STATES	20 713	205 058 92	30 740	304 326 00
31 080 000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	European Investors Inc	UNITED STATES	24 550	762,999 57	22 300	693 084 00
48 200 000	TAUBMAN CENTRES INC COM STK USD0 01	European Investors Inc	UNITED STATES	14 184	683 654 82	14 230	685 886 00
7 900 000	VENTAS INC	European Investors Inc	UNITED STATES	13 289	104 985 63	13 410	105 939 00
6 800 000	VORNADO RLTY TR PFD CONV SER A %	European Investors Inc	UNITED STATES	49 358	335 631 50	54 950	373,660 00
55,400 000	VORNADO RLTY TR COM	European Investors Inc	UNITED STATES	39 539	2 190 451 29	39 450	2 185 530 00
					40,569,987 74		41,549,976 04
20 000 000	AAR CORP COM	Westport Asset Management	UNITED STATES	22 175	443 500 00	4 750	95 000 00
50 000 000	ADVANCEPCS COM STK	Westport Asset Management	UNITED STATES	21 498	1 074 890 00	22 530	1,126 500 00
30 000 000	AIRBORNE INC COMMON STOCK US$1	Westport Asset Management	UNITED STATES	26 879	806 364 00	11 340	340 200 00
76 100 000	AMERICAN MANAGEMENT SYSTEMS INC COM STK	Westport Asset Management	UNITED STATES	17 702	1 347 125 73	12 730	968 753 00

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Face Amount or Shares	Security Title	Account Manager	Country	Unit Value Cost	Book Value	Unit Value-Market	Market Value
123 750 000	APPLEBEE S INTERNATIONAL INC COM STK	Westport Asset Management	UNITED STATES	10 580	1 309 307 50	21 920	2,712 600 00
50 000 000	BARRETT BUSINESS SERVICES COM STK USD0 01	Westport Asset Management	UNITED STATES	9 625	481,250 00	3 450	172 500 00
155 000 000	BEASLEY BROADCAST GROUP INC COMMON STOCK	Westport Asset Management	UNITED STATES	10 125	1 569 375 00	12 560	1,946 800 00
49 900 000	BROWN & BROWN INC COM	Westport Asset Management	UNITED STATES	28 305	1 412 425 54	30 000	1 497 000 00
150 000 000	CHECKPOINT SYS INC COM	Westport Asset Management	UNITED STATES	10 500	1 575 000 00	12 350	1 852,500 00
101 200 000	COX RADIO INC COM	Westport Asset Management	UNITED STATES	13 211	1 336 921 16	26 160	2,647,392 00
34 347 000	DEVON ENERGY CORPORATION NEW COM	Westport Asset Management	UNITED STATES	33 496	1 150 475 29	48 250	1 657 242 75
30 000 000	DOWNEY FINL CORP COM	Westport Asset Management	UNITED STATES	32 760	982 800 00	34 250	1 027 500 00
50,000 000	DUANE READE INC	Westport Asset Management	UNITED STATES	31 569	1 578 471 50	16 000	800 000 00
34 700 000	EGL INC COMMON STOCK USD0 001	Westport Asset Management	UNITED STATES	24 993	867 239 82	11 010	382 047 00
200 000 000	EL PASO ELEC CO COM NEW	Westport Asset Management	UNITED STATES	8 561	1 712,125 00	11 880	2 376 000 00
72 000 000	EMMIS BROADCASTING CORP CL A COM	Westport Asset Management	UNITED STATES	19 189	1 381,636 60	19 000	1 368,000 00
124 500 000	GENERAL COMMUNICATIONS INC CL A	Westport Asset Management	UNITED STATES	6 288	782 796 95	3 760	468 120 00
69 000 000	HARBOR FLA BANCSHARES INC COM	Westport Asset Management	UNITED STATES	11 446	789 750 00	20 450	1 411 050 00
200 000 000	HEALTHSOUTH CORP COM	Westport Asset Management	UNITED STATES	6 371	1 274 120 00	4 150	830 000 00
32 000 000	HILB ROGAL & HAMILTON COM STK NPV	Westport Asset Management	UNITED STATES	37 880	1 212 148 00	41 250	1 320 000 00
84 655 000	HUDSON UNITED BANCORP COM STK USD	Westport Asset Management	UNITED STATES	24 017	2 033,131 92	26 600	2 251,823 00
117 800 000	INSIGHT COMMUNICATIONS INC CL A	Westport Asset Management	UNITED STATES	25 814	3,040 895 00	9 230	1,087 294 00
200 000 000	ITT EDL SVCS INC COM	Westport Asset Management	UNITED STATES	6 524	1 304 795 00	18 770	3 754 000 00
41 500 000	JLG INDS INC COM	Westport Asset Management	UNITED STATES	18 848	782,206 41	8 050	334 075 00
67 678 000	PITTSTON COM USD1 COMMON STOCK	Westport Asset Management	UNITED STATES	27 494	1,860 744 40	22 400	1 515 987 20
47 500 000	POGO PRODUCING CO COM STK USD1	Westport Asset Management	UNITED STATES	26 974	1 281 281 25	34 060	1,617 850 00
35 000 000	REYNOLDS & REYNOLDS CO COM STK CLASS A'	Westport Asset Management	UNITED STATES	17 526	613,412 50	22 440	785 400 00
65 000 000	RIVERVIEW BANCORP INC COM	Westport Asset Management	UNITED STATES	16 635	1 081 275 00	15 150	984 750 00
42 400 000	ROGERS CORP CAP STK USD1	Westport Asset Management	UNITED STATES	14 488	614,285 28	23 350	990 040 00
215 800 000	SAKS INCORPORATED COMMON STOCK	Westport Asset Management	UNITED STATES	9 540	2,058,669 50	10 530	2 272,374 00
77 585 000	SKYWORKS SOLUTIONS INC COM STK USD0 25	Westport Asset Management	UNITED STATES	7 562	586 679 27	4 530	351 460 05
28 616 000	STERLING FINANCIAL CORP(WASH) COM STK USD1	Westport Asset Management	UNITED STATES	15 791	451 879 42	18 130	518 808 08
45 654 000	TEXAS INSTRS INC COM	Westport Asset Management	UNITED STATES	16 566	756 293 23	14 770	674 309 58
43 246 000	TRIAD HOSPS INC COMMON STOCK	Westport Asset Management	UNITED STATES	20 035	866 443 91	37 950	1,641 185 70
60 000 000	UNIVERSAL HEALTH SERVICES,INC CLASS B COM	Westport Asset Management	UNITED STATES	27 582	1 654 939 00	51 150	3,069 000 00
45 000 000	WEBSTER FINL CORP WATERBURY CONN COM	Westport Asset Management	UNITED STATES	27 384	1 232 281 25	33 580	1,511 100 00
90 000 000	WHITEHALL JEWELLERS INC COM	Westport Asset Management	UNITED STATES	10 167	915 000 00	10 520	946,800 00
					44,221,934 43		49,305 461 36

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Face Amount or Shares	Security Title	Account Manager	Country	Unit Value Cost	Book Value	Unit Value-Market	Market Value
175 500 000	ALS CORP COM STK USD0 01	Capital Guardian Trust	UNITED STATES	16 423	2 882 318 16	2 510	440 505 00
55 651 000	AGILENT TECHNOLOGIES INC COMMON STOCK	Capital Guardian Trust	UNITED STATES	39 772	2 213 358 28	13 060	726 802 06
19 100 000	AIR PRODS & CHEMS INC COM	Capital Guardian Trust	UNITED STATES	46 156	881 587 97	42 010	802 391 00
30 900 000	ALLERGAN INC COM USD0 01	Capital Guardian Trust	UNITED STATES	61 851	1 911 202 64	54 400	1 680 960 00
83 200 000	ALTERA COM NPV	Capital Guardian Trust	UNITED STATES	17 922	1 491 077 94	8 670	721 344 00
20,000 000	AMDOCS LTD COM STOCK	Capital Guardian Trust	UNITED STATES	9 214	184 274 00	6 400	128 000 00
9 200 000	AMERICAN STANDARD COMPANIES INC COM STK	Capital Guardian Trust	UNITED STATES	70 842	651 742 18	63 620	585 304 00
22 000 000	AMERICREDIT CORP COM	Capital Guardian Trust	UNITED STATES	37 486	824,702 31	8 070	177 540 00
9 800 000	ANHEUSER BUSCH COS INC COM	Capital Guardian Trust	UNITED STATES	43 448	425,785 83	50 600	495 880 00
101 850 000	AOL TIME WARNER COMMON STOCK	Capital Guardian Trust	UNITED STATES	29 931	3 048 458 47	11 700	1,191 645 00
83 200 000	APPLERA CORP APPLIED BIOSYST COM	Capital Guardian Trust	UNITED STATES	24 334	2 024 600 09	18 300	1 522 560 00
206 200 000	APPLIED MATLS INC COM	Capital Guardian Trust	UNITED STATES	17 356	3 578 739 59	11 550	2,381 610 00
72 300 000	APPLIED MICRO CIRCUITS CORP CDT COM	Capital Guardian Trust	UNITED STATES	8 514	615,588 26	2 860	206 778 00
9 300 000	ASHLAND INC COM	Capital Guardian Trust	UNITED STATES	38 870	361,488 66	26 790	249 147 00
28 500 000	ASML HOLDING N V ISIN #USN070591110	Capital Guardian Trust	NETHERLANDS	13 931	397 030 08	6 190	176 415 00
97,837 000	ASTRAZENECA PLC SPONSORED ADR	Capital Guardian Trust	UNITED KINGDOM	40 421	3,954 708 21	30 570	2 990 877 09
67 285 000	AT & T CORP COM	Capital Guardian Trust	UNITED STATES	12 373	832,526 49	12 010	808 092 85
6 700 000	AVON PRODS INC COM	Capital Guardian Trust	UNITED STATES	52 488	351,667 51	46 100	308 870 00
11,400 000	BJ SERVICES	Capital Guardian Trust	UNITED STATES	24 683	281 384 23	26 000	296 400 00
44 200 000	BAKER HUGHES COM	Capital Guardian Trust	UNITED STATES	19 380	856,583 32	29 030	1 283,126 00
72 400 000	BANC ONE CORP COM NPV	Capital Guardian Trust	UNITED STATES	35 478	2,568,600 85	37 400	2,707 760 00
4,000 000	BANK OF AMERICA CORP COM STK USD0 01	Capital Guardian Trust	UNITED STATES	45 464	181,854 00	63 800	255,200 00
20 900 000	BECTON DICKINSON & CO COM	Capital Guardian Trust	UNITED STATES	27 150	567,439 84	28 400	593,560 00
17 000	BERKSHIRE HATHAWAY INC DEL COM	Capital Guardian Trust	UNITED STATES	57495 970	977 431 49	73900 000	1 256 300 00
18 000 000	BROADCOM CORP CL A	Capital Guardian Trust	UNITED STATES	32 046	576 836 77	10 680	192 240 00
75,994 000	CABLEVISION NY GROUP CLASS A COMMON STOCK	Capital Guardian Trust	UNITED STATES	28 628	2 175 565 57	9 060	688 505 64
26,600 000	CADENCE DESIGN SYSTEMS INC COM STK USD0 01	Capital Guardian Trust	UNITED STATES	19 545	519 899 66	10 170	270 522 00
30 900 000	CAMPBELL SOUP CO COM	Capital Guardian Trust	UNITED STATES	29 179	901 640 27	22 080	682 272 00
20 200 000	CARNIVAL CORP CL A COM	Capital Guardian Trust	UNITED STATES	30 723	620 604 13	25 100	507 020 00
28,000 000	CHARTER COMMUNICATIONS INC CL A COM	Capital Guardian Trust	UNITED STATES	19 576	548 134 28	1 860	52 080 00
36,000 000	CHECKFREE CORP COM STK USD0 01	Capital Guardian Trust	UNITED STATES	42 551	1,531 836 34	11 380	409 680 00
7 600 000	CINCINNATI FIN CORP COM USD2	Capital Guardian Trust	UNITED STATES	37 330	283 710 95	35 580	270 408 00
136 100 000	CISCO SYSTEMS COMMON STOCK NPV	Capital Guardian Trust	UNITED STATES	16 215	2 206 851 51	10 480	1,426 328 00
10 354 000	CITIGROUP INC COM	Capital Guardian Trust	UNITED STATES	27 449	284,211 58	29 650	306 996 10
33 200 000	CONCORD EFS COM STK USD0 33 1/3	Capital Guardian Trust	UNITED STATES	16 856	559 619 11	15 880	527 216 00

Carnegie Corporation of New York
Summary of Assets as of September 30 2002

Face Amount or Shares	Security Title	Account Manager	Country	Unit Value Cost	Book Value	Unit Value-Market	Market Value
51 800 000	CORNING INC COM	Capital Guardian Trust	UNITED STATI S	13 351	691 602 48	1 600	82 880 00
25 500 000	COX COMMUNICATIONS INC CI ASS'A COM STK	Capital Guardian Trust	UNITED STATES	41 023	1 046 094 54	24 590	627 045 00
18 500 000	CRLDI NCI SYSTEMS CORP COM STK NPV	Capital Guardian Trust	UNITED STATES	42 715	790 232 85	8 660	160 210 00
30 700 000	DISNEY WALT CO DISNLY COM	Capital Guardian Trust	UNITED STATLS	18 240	559 979 58	15 140	464 798 00
10 100 000	DOVER CORP C OM	Capital Guardian Trust	UNITED STATES	35 229	355,808 86	25 380	256 338 00
9 000 000	DU PONT DE NLMOURS COM USD0 60	Capital Guardian Trust	UNITED STATES	44 519	400 671 18	36 070	324 630 00
14,200 000	LBAY INC COM STK USD0 01	Capital Guardian Trust	UNITED STATES	55 247	784,511 26	52 810	749 902 00
31 600 000	FL PASO CORPORATION COMMON STOCK USD3	Capital Guardian Trust	UNITED STATES	18 280	577 654 93	8 270	261 332 00
11,900 000	EMERSON ELEC CO COM	Capital Guardian Trust	UNITED STATES	62 956	749,174 32	43 940	522 886 00
4 300 000	ENTERCOM COMMUNICATIONS CORP CL A	Capital Guardian Trust	UNITI D STATES	51 101	219,732 84	47 370	203 691 00
9 700 000	EQUITY SECS TR I FQ TR SFCS CVC	Capital Guardian Trust	UNITED STATES	36 248	351 602 31	13 450	130 465 00
10 200 000	ESTEE LAUDER CO COM	Capital Guardian Trust	UNITED STATES	32 416	330,644 31	28 740	293 148 00
59 118 000	EXXON MOBIL CORP COMMON STOCK	Capital Guardian Trust	UNITED STATES	35 424	2 094 204 70	31 900	1 885 864 20
8 200 000	FEDERAL NATIONAL MORTGAGE ASSOC COM NPV	Capital Guardian Trust	UNITED STATES	74 547	611,286 57	59 540	488,228 00
35 500 000	FLUOR CORP COM	Capital Guardian Trust	UNITED STATES	29 379	1 042 941 24	24 440	867,620 00
13 900 000	FORD CAPITAL TRUST 6 500% CNV PREFERRED STOCK	Capital Guardian Trust	UNITED STATES	51 241	712,255 07	40 290	560,031 00
28,800 000	FOREST LABS INC COM	Capital Guardian Trust	UNITED STATES	30 535	879,420 19	82 010	2 361,888 00
67 100 000	GENERAL ELECTRIC CO (U S)	Capital Guardian Trust	UNITED STATES	37 588	2 522 149 79	24 650	1 654 015 00
20 100 000	GENERAL MTRS CORP CL H NEW	Capital Guardian Trust	UNITED STATES	19 163	385 174 75	9 150	183 915 00
54 329 000	GUIDANT CORP COM	Capital Guardian Trust	UNITED STATES	42 160	2 290,537 74	32 310	1 755 369 99
8 500 000	HARTFORD FINANCIAL SERVICES GRP INC COMMON	Capital Guardian Trust	UNITED STATES	30 951	263 081 53	41 000	348 500 00
19 150 000	HASBRO INC COM	Capital Guardian Trust	UNITED STATES	23 892	457 532 13	11 130	213 139 50
29 300 000	HEINZ H J CO COM	Capital Guardian Trust	UNITED STATES	37 535	1,099,780 39	33 370	977 741 00
20 261 000	HEWLETT PACKARD CO COM	Capital Guardian Trust	UNITED STATES	12 703	257 377 17	11 670	236 445 87
18 300 000	HOUSEHOLD INTL CORP COM	Capital Guardian Trust	UNITED STATES	39 134	716 150 10	28 310	518,073 00
8,100 000	ILLINOIS TOOL WKS INC COM	Capital Guardian Trust	UNITED STATES	57 217	463 461 70	58 330	472 473 00
60 400 000	INDYMAC BANCORP INC	Capital Guardian Trust	UNITED STATES	9 636	582,002 32	19 270	1 163,908 00
9 600 000	INGERSOLL RAND CO CL 'A COM STK USD2	Capital Guardian Trust	UNITED STATES	37 801	362 887 22	34 440	330 624 00
26 700 000	INTEL CORP COMMON STOCK USD0 001	Capital Guardian Trust	UNITED STATI S	27 296	728 806 56	13 890	370,863 00
6 700 000	INTERNATIONAL BUSINLSS MACHS CORP COM	Capital Guardian Trust	UNITED STATLS	86 748	581 210 42	58 310	390,677 00
12 700 000	INTERPUBLIC COM USD0 10	Capital Guardian Trust	UNITED STATI S	33 310	423,042 08	15 850	201 295 00
141 500 000	JDS UNIPHASE CORP COM	Capital Guardian Trust	UNITED STATES	5 565	787,469 88	1 948	275,642 00
68 500 000	JP MORGAN CHASE & CO COMMON STOCK	Capital Guardian Trust	UNITED STATI S	34 789	2 383 019 32	18 990	1 300 815 00
52 300 000	KLA-TENCOR CORPORATION COM STK USD0 001	Capital Guardian Trust	UNITED STATES	37 584	1 965 625 85	27 940	1 461 262 00
117 132 000	LIBERTY MEDIA CORP COMMON SERIES A	Capital Guardian Trust	UNITED STATI S	10 762	1 260 609 72	7 180	841 007 76

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Face Amount or Shares	Security Title	Account Manager	Country	Unit Value Cost	Book Value	Unit Value-Market	Market Value
10 900 000	LILLY ELI & CO COM	Capital Guardian Trust	UNITED STATES	78 899	860,000 22	55 340	603,206 00
12 000 000	LINCARE HOLDINGS INC COM	Capital Guardian Trust	UNITED STATES	31 700	380 398 71	31 040	372 480 00
13 400 000	LINEAR TECHNOLOGY CORP COM	Capital Guardian Trust	UNITED STATES	32 863	440,368 44	20 720	277 648 00
48,700 000	LOWES COS INC COM	Capital Guardian Trust	UNITED STATES	20 302	988 713 98	41 400	2 016 180 00
28 000 000	MACROMEDIA INC COM STK USD0 001	Capital Guardian Trust	UNITED STATES	30 482	853 495 07	7 730	216 440 00
12 100 000	MCDONALDS CORP COM	Capital Guardian Trust	UNITED STATES	30 735	371 887 92	17 660	213 686 00
24 700 000	MEDTRONIC INC COM	Capital Guardian Trust	UNITED STATES	34 363	848,772 25	42 120	1 040 364 00
30 600 000	MICROSOFT CORP COMMON STOCK	Capital Guardian Trust	UNITED STATES	57 550	1,761,040 25	43 740	1 338 444 00
21 500 000	MILLENNIUM PHARMACEUTICALS INC COM	Capital Guardian Trust	UNITED STATES	33 290	715,743 85	9 320	200 380 00
16,500 000	NAVISTAR INTL CORP NEW COM	Capital Guardian Trust	UNITED STATES	28 840	475 856 47	21 680	357 720 00
14 000 000	NETWORKS ASSOCS INC COM	Capital Guardian Trust	UNITED STATES	28 415	397 805 79	10 630	148 820 00
20,100 000	NISOURCE INC COM	Capital Guardian Trust	UNITED STATES	21 115	424,417 06	17 230	346,323 00
30 600 000	NOKIA CORP ADR	Capital Guardian Trust	FINLAND	17 590	538 257 98	13 250	405 450 00
19 300 000	NOVA CHEMICALS CORP COM	Capital Guardian Trust	CANADA	24 130	465 703 35	20 750	400 475 00
15 200 000	NOVELLUS SYS INC COM	Capital Guardian Trust	UNITED STATES	27 421	416 799 37	20 810	316 312 00
17 200 000	PEPSICO INC COM	Capital Guardian Trust	UNITED STATES	39 550	680 260 00	36 950	635,540 00
124,000 000	PFIZER INC COM	Capital Guardian Trust	UNITED STATES	36 612	4 539 867 17	29 020	3 598 480 00
52 900 000	PMC SIERRA INC COM STK NPV	Capital Guardian Trust	UNITED STATES	15 966	844 593 60	3 880	205,252 00
23 400 000	PMI GROUP INC COM STK NPV	Capital Guardian Trust	UNITED STATES	18 026	421 800 01	27 210	636 714 00
37 400 000	QUALCOMM INC COM STK USD0 0001	Capital Guardian Trust	UNITED STATES	34 021	1 272 385 73	27 620	1 032 988 00
28,700 000	QUINTILES TRANSNATIONAL CORP COM	Capital Guardian Trust	UNITED STATES	19 596	562 394 84	9 510	272,937 00
5 000 000	RADIO ONE INC CL D NON VTG COMMON STOCK	Capital Guardian Trust	UNITED STATES	20 354	101 771 86	16 490	82 450 00
18 500 000	RADIO SHACK	Capital Guardian Trust	UNITED STATES	27 662	511 746 86	20 060	371 110 00
29,900 000	ROBERT HALF INTL INC COM	Capital Guardian Trust	UNITED STATES	24 862	743,385 06	15 870	474 513 00
26 900 000	ROYAL DUTCH PETE CO N Y REGISTRY SH PAR	Capital Guardian Trust	NETHERLANDS	48 739	1 311,088 28	40 170	1 080 573 00
13,600 000	SABRE HLDGS CORP CL A	Capital Guardian Trust	UNITED STATES	29 644	403 157 38	19 350	263 160 00
9,200 000	SBC COMMUNICATIONS INC COM	Capital Guardian Trust	UNITED STATES	42 340	389,523 80	20 100	184 920 00
10,600 000	SCHLUMBERGER LTD COM	Capital Guardian Trust	UNITED STATES	45 980	487 384 19	38 460	407 676 00
30 600 000	SLM CORP COM STK	Capital Guardian Trust	UNITED STATES	44 729	1 368 706 44	93 140	2 850 084 00
4,700 000	SONY CORP ADR NLW 50 YEN SH	Capital Guardian Trust	JAPAN	43 887	206 267 43	41 100	193 170 00
159 500 000	SPRINT CORP COM	Capital Guardian Trust	UNITED STATES	13 084	2,086 854 80	9 120	1 454 640 00
260,600 000	SPRINT CORP PCS COM SER 1	Capital Guardian Trust	UNITED STATES	13 091	3 411 404 60	1 960	510 776 00
11 500 000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	Capital Guardian Trust	UNITED STATES	31 690	364,430 18	22 300	256 450 00
58 100 000	TERADYNE INC COM STK USD0 125	Capital Guardian Trust	UNITED STATES	30 900	1 795 285 65	9 600	557 760 00
7 000 000	THOMSON CORP COM	Capital Guardian Trust	CANADA	31 200	218 400 00	24 870	174 090 00

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40 700 000	EMP WORLDWIDE INC COM	Capital Guardian Trust	UNITED STATES	33 026	1 344,143 00	9 000	366 300 00
14 587 000	TRANSOCEAN INC COM USD0 01	Capital Guardian Trust	UNITED STATES	33 923	494 838 42	20 800	303 409 60
49 300 000	TYCO INTL LTD NEW COM	Capital Guardian Trust	UNITED STATES	24 016	1 183 965 90	14 100	695,130 00
19 000 000	UNITED TECHNOLOGIES CORP COM	Capital Guardian Trust	UNITED STATES	49 301	936 713 47	56 490	1 073 310 00
45 200 000	UNOCAL CORP COM	Capital Guardian Trust	UNITED STATES	33 158	1 498 762 97	31 390	1 418,828 00
50 900 000	USA INTERACTIVE COM	Capital Guardian Trust	UNITED STATES	21 149	1 076,458 94	19 380	986,442 00
61,800 000	VERISIGN INC COM	Capital Guardian Trust	UNITED STATES	30 513	1 885 694 73	5 050	312 090 00
20 000 000	VERITAS SOFTWARE CO COM	Capital Guardian Trust	UNITED STATES	19 195	383 899 89	14 670	293,400 00
300 000	VF CORP COM NPV	Capital Guardian Trust	UNITED STATES	22 975	6 892 47	35 980	10,794 00
9 200 000	VIACOM INC CLASS B COMMON	Capital Guardian Trust	UNITED STATES	42 013	386,519 65	40 550	373,060 00
4 500 000	VIVENDI UNIVERSAL SPON ADR NEW	Capital Guardian Trust	UNITED STATES	44 699	201,145 20	11 390	51 255 00
13 500 000	WAL MART STORES INC COM	Capital Guardian Trust	UNITED STATES	50 503	681 790 23	49 240	664,740 00
94 050 000	WASHINGTON MUT INC COM	Capital Guardian Trust	UNITED STATES	27 427	2,579,535 27	31 470	2 959,753 50
19 100 000	WEATHERFORD INTL LTD COM USD1	Capital Guardian Trust	UNITED STATES	17 978	343 374 87	37 140	709 374 00
6 800 000	WELLS FARGO & CO NEW COM	Capital Guardian Trust	UNITED STATES	43 738	297 418 16	48 160	327 488 00
93 200 000	WILLIAMS COS COM	Capital Guardian Trust	UNITED STATES	25 223	2 350 752 34	2 260	210 632 00
8 800 000	WILLIAMS-SONOMA INC COM STK NPV	Capital Guardian Trust	UNITED STATES	22 014	193,724 30	23 630	207 944 00
45 200 000	XILINX INC COMMON STOCK	Capital Guardian Trust	UNITED STATES	28 378	1 282 674 71	15 838	715 877 60
18 785 000	XL CAPITAL LTD COM STK ORD VTG	Capital Guardian Trust	BERMUDA	59 303	1 114 016 19	73 500	1,380 697 50
25 500 000	YAHOO INC COM STK USD0 01	Capital Guardian Trust	UNITED STATES	29 420	750 204 23	9 570	244 035 00
					122,653 290 00		87,058,828 26
140 000 000	AON CORP COM	Southeastern Asset Management	UNITED STATES	22 967	3 215 412 40	20 490	2,868 600 00
320 700 000	AT & T CORP COM	Southeastern Asset Management	UNITED STATES	14 086	4 517 488 07	12 010	3 851 607 00
9 980 000 000	BRILLEY INVESTMENTS LTD COMMON STOCK	Southeastern Asset Management	UNITED STATES	0 342	3 415 211 76	0 249	2 484 431 18
98 000 000	COMCAST CORP CL A SPL	Southeastern Asset Management	UNITED STATES	26 189	2,566,482 60	20 860	2 044 280 00
206,000 000	DISNEY WALT CO DISNEY COM	Southeastern Asset Management	UNITED STATES	17 244	3 552 182 40	15 140	3 118 840 00
86 000 000	FEDEX CORPORATION COM STK USD0 10	Southeastern Asset Management	UNITED STATES	41 015	3,527 303 00	50 070	4 306 020 00
272 000 000	FIAT S P A SPONSORED ADR REPSTG ORD NEW	Southeastern Asset Management	UNITED STATES	17 722	4 820 487 34	9 790	2 662 880 00
71 000 000	GENERAL MOTORS CORP COM	Southeastern Asset Management	UNITED STATES	58 491	4 152 841 20	38 900	2 761 900 00
330 000 000	GENERAL MTRS CORP CL H NEW	Southeastern Asset Management	UNITED STATES	15 957	5 265,739 60	9 150	3 019,500 00
289 558 000	HILTON HOTELS CORP COM USD2 50	Southeastern Asset Management	UNITED STATES	8 158	2 362 107 12	11 380	3 295 170 04
93 000 000	MARRIOTT INTERNATIONAL INC COMMON STOCK	Southeastern Asset Management	UNITED STATES	30 121	2 801 215 50	28 990	2,696 070 00
103 000 000	NCR CORP NEW COM	Southeastern Asset Management	UNITED STATES	25 974	2 675 342 60	19 800	2 039 400 00
230 000 000	PIONEER NATURAL RESOURCES CO COM STK	Southeastern Asset Management	UNITED STATES	9 560	2 198 800 00	24 250	5 577 500 00

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Face Amount or Shares	Security Title	Account Manager	Country	Unit Value-Cost	Book Value	Unit Value-Market	Market Value
191 000 000	SAKS INCORPORATED COMMON STOCK	Southeastern Asset Management	UNITED STATES	14 736	2 814,538 85	10 530	2 011 230 00
56 000 000	TELEPHONE & DATA SYSTEMS INC COMMON	Southeastern Asset Management	UNITED STATES	79 539	4 454 189 19	50 450	2,825 200 00
142 000 000	TRIZEC PROPERTIES INC COM NPV	Southeastern Asset Management	UNITED STATES	18 448	2 619 654 03	11 350	1,611 700 00
278 000 000	VIVENDI UNIVERSAL SPON ADR NEW	Southeastern Asset Management	UNITED STATES	14 503	4,031 956 40	11 390	3 166 420 00
135 000 000	WASTE MGMT INC DEL COM	Southeastern Asset Management	UNITED STATES	22 127	2 987 084 28	23 320	3 148 200 00
140 000 000	YUM BRANDS INC COMMON STOCK	Southeastern Asset Management	UNITED STATES	16 333	2 286,587 50	27 710	3,879,400 00
					64,264 623 84		57,368 348 22
368 000 000	AMDOCS COMMON STOCK	Southeastern International	GUERNSEY C I	13 228	4 868 017 20	6 400	2,355 200 00
1 180 000 000	CABLE & WIRELESS ORD 25P	Southeastern International	UNITED KINGDOM	2 795	3 298 182 20	1 816	2,143 261 51
260 400 000	CHECKPOINT SYSTEMS INC COM STK USD0 10	Southeastern International	UNITED STATES	11 584	3 016 395 48	12 350	3 215 940 00
510 000 000	EZAKI GLICO CO Y50	Southeastern International	JAPAN	5 535	2 823 015 37	4 978	2 538 680 65
33 400 000	FAIRFAX FINANCIAL HLDGS SUB VTG COM NPV	Southeastern International	CANADA	116 234	3 882 201 33	74 707	2 495 208 58
255 000 000	FIAT SPA EUR5	Southeastern International	ITALY	12 303	3 137,185 25	9 686	2,469,855 66
181 000 000	KONINKLIJKE (ROYAL) PHILIPS ELECTRONICS NY REG	Southeastern International	NETHERLANDS	17 288	3 129,057 90	14 530	2 629 930 00
139 000 000	NEWS CORPORATION LIMITED ADR EACH REPR 4 ORD	Southeastern International	AUSTRALIA	21 773	3 026 491 70	19 250	2 675 750 00
87,000 000	NIPPON BROADCASTING SYSTEM COMMON STOCK	Southeastern International	JAPAN	36 015	3 133 342 16	29 571	2 572 687 44
776 000	NIPPON TEL & TEL ORD JPY 50000	Southeastern International	JAPAN	3869 622	3 002 826 73	3326 751	2 581 558 78
770 000 000	NIPPONKOA INSURANCE JPY50	Southeastern International	JAPAN	3 910	3 010,762 72	3 655	2 814,595 63
920,000 000	SAFEWAY PLC ORD 25P (FORMERLY ARGYLL GROUP)	Southeastern International	UNITED KINGDOM	3 532	3,249 209 63	3 279	3 016,512 02
313,000 000	SHAW COMMUNICATIONS CLASS B CNV NPV	Southeastern International	CANADA	13 846	4 333 795 38	8 215	2 571 170 00
3,127 000	SKY PERFECT COMMUNICATIONS COMMON JPY	Southeastern International	JAPAN	963 923	3 014 187 38	903 562	2 825 438 37
515 000 000	SOMPO JAPAN INSURANCE INC JPY50	Southeastern International	JAPAN	6 300	3 244,357 56	5 898	3 037 364 73
222,000 000	TRIZEC CDA INC SUB VTG SHS	Southeastern International	CANADA	15 745	3 495 492 50	10 284	2,283 048 00
205 000 000	VIVENDI UNIVERSAL SA COMMON STOCK EUR5 5	Southeastern International	FRANCE	17 536	3 594 826 64	11 218	2,299 614 51
46 000 000	VIVENDI UNIVERSAL SA REPRS 1 ORDINARY SHARE	Southeastern International	UNITED STATES	10 527	484 251 20	11 390	523 940 00
					57,743,598 33		45,049,755 88
23 794 000	CISCO SYSTEMS COMMON STOCK NPV	T Rowe Price	UNITED STATES	13 960	332 164 24	10 480	249 361 12
146 000	COGENT COMM GROUP INC COM	T Rowe Price	UNITED STATES	25 375	3 704 76	0 950	138 70
110 000 000	FOUNDRY NETWORKS INC COM	T Rowe Price	UNITED STATES	20 458	2 250 429 06	5 480	602,800 00
100 000 000	HEARME COMMON STOCK	T Rowe Price	UNITED STATES	37 500	3 750 000 00	0 010	1 000 00
23 041 000	INTEGRATED CIRCUIT SYSTEMS COMMON STOCK	T Rowe Price	UNITED STATES	22 189	511 257 95	15 700	361 743 70
27 246 000	MOTOROLA INC M COM USD3 00	T Rowe Price	UNITED STATES	17 240	469,721 04	10 180	277 364 28
21 177 000	SYMYX TECHNOLOGIES INC COM	T Rowe Price	UNITED STATES	22 580	478 177 00	10 470	221 723 19

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25 000 000	LIVO INC COM	T Rowe Price	UNITED STATES	9 090	227 249 62	3 600	90 000 00
130 000 000	TRANSMETA CORP DEL COM	T Rowe Price	UNITED STATES	14 570	1,894 100 45	0 970	126 100 00
					9 916 804 12		1 930 230 99
Other Corporate Stock							
8 000	TYCO INTL LTD NEW COM		UNITED STATES	30 050	240 40	14 100	112 80
	HAA CREI MUTUAL FUNDS		UNITED STATES		406 267 24		302 047 35
TOTAL CORPORATE STOCK					542,815,756 10		431,911,621 59
CORPORATE BOND OBLIGATIONS							
1 407 000 000	AMAZON COM INC 4 750% DTD 03FEB1999 DUE 01FEB2009	Capital Guardian Trust	UNITED STATES	70 976	998,635 27	66 250	932 137 50
212 000 000	FREEPORT-MCMORAN COPPER & GOLD INC CONV BOND	Capital Guardian Trust	UNITED STATES	102 282	216 837 15	119 190	252 682 80
451 000 000	NEXTEL COMMUNICATIONS INC 4 75% DUE 01JUL2007	Capital Guardian Trust	UNITED STATES	60 671	273 626 00	73 630	332 071 30
					1,489,098 42		1,516,891 60
1 595 000 000	AIG SUNAMERICA GLOBAL FING VI SERIES 144A	Morgan Stanley	UNITED STATES	99 853	1 592 655 35	111 661	1 780,992 95
420 000 000	AMERICAN GENERAL FINANCE 5 875% DUE 14JUL2006	Morgan Stanley	UNITED STATES	99 805	419 181 00	107 139	449 983 80
1 411 679 840	BAE SYSTEMS ASSET TR SYS 2001 ASSET TR SER 144	Morgan Stanley	UNITED STATES	100 601	1 420 170 09	108 384	1 530 035 08
650 000 000	BANK ONE CORP MEDIUM TERM NTS TRANCHE	Morgan Stanley	UNITED STATES	88 226	573 469 00	107 897	701 330 50
155,000 000	BOEING CAP CORP 6 100% DUE 01MAR2011	Morgan Stanley	UNITED STATES	102 683	159 158 55	104 521	162 007 55
725 000 000	CHASE MANHATTAN CORP NEW SUB NT DTD	Morgan Stanley	UNITED STATES	94 699	686 570 35	103 695	751 788 75
530 000 000	CIGNA CORP NOTES	Morgan Stanley	UNITED STATES	102 071	540 977 35	113 702	602 620 60
1 955,000 000	CITIBANK CREDIT CARD ISSUANCE TRUST SERIES 2000	Morgan Stanley	UNITED STATES	102 477	2 003 416 80	115 781	2,263,518 55
860 000 000	CITICORP MED TERM NT TRANCHE# SB 00195	Morgan Stanley	UNITED STATES	91 411	786 134 60	110 367	949 156 20
85 000 000	CITIGROUP INC 5 625% DTD 26AUG2002 DUE 27AUG2012	Morgan Stanley	UNITED STATES	101 237	86 051 45	103 587	88 048 95
270 000 000	CONOCO INC NT DTD 04/20/1999 6 950% 04/15/2029	Morgan Stanley	UNITED STATES	112 998	305 094 60	113 622	306 779 40
340 000 000	COOPER INDUSTRIES LTD 5 250% MAT 07/01/2007	Morgan Stanley	UNITED STATES	99 890	339,626 00	105 463	358 574 20
815,000 000	CREDIT SUISSE FIRST BOSTON 5 875% DUE 01AUG2006	Morgan Stanley	UNITED STATES	99 828	813 598 20	106 478	867 795 70
800,000 000	CVS CORP NT DTD 08/15/1999 5 500% 02/15/2004	Morgan Stanley	UNITED STATES	102 726	821 808 00	103 714	829 712 00
440 000 000	EQUITABLE COS INC SR NT 6 500% 04/01/2008	Morgan Stanley	UNITED STATES	102 041	448 979 30	108 060	475 464 00
620 000 000	FLORIDA WINDSTORM UNDERWRITING ASSN	Morgan Stanley	UNITED STATES	96 412	597 755 95	113 227	702 007 40
850 000 000	GE GLOBAL INS HLDG CORP 7 000% 02/15/2026	Morgan Stanley	UNITED STATES	100 458	853 893 00	103 326	878 271 00
975 000 000	GENERAL ELEC CAP CORP MEDIUM TERM NTS	Morgan Stanley	UNITED STATES	98 392	959 322 35	105 877	1 032 300 75
680 000 000	GOLDMAN SACHS GROUP 6 875% DUE 15JAN2011	Morgan Stanley	UNITED STATES	100 917	686 236 00	111 039	755 065 20

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330 000 000	GOLDMAN SACHS GROUP INC 6 600% DUE 15JAN2012	Morgan Stanley	UNITED STATES	100 475	331 567 50	109 538	361 475 40
750 000 000	GTE CORP DEB 6 940% 04/15/2028	Morgan Stanley	UNITED STATES	92 496	693 720 00	92 447	693 352 50
745 000 000	HANCOCK JOHN MUT LIFE INS CO SURPLUS NT 02/15/2024	Morgan Stanley	UNITED STATES	94 637	705 047 20	109 675	817,078 75
745 000 000	HARTFORD LIFE 7 375% DTD 01MAR2001 DUE 01MAR2031	Morgan Stanley	UNITED STATES	103 966	774 546 00	106 984	797 030 80
620 000 000	HONEYWELL INTERNATIONAL 6 125% DUE 01NOV2011	Morgan Stanley	UNITED STATES	99 888	619 305 00	109 641	679 774 20
915 000 000	HOUSEHOLD FIN CORP DTD 02/05/1999 5 875% 02/01/2009	Morgan Stanley	UNITED STATES	90 027	823 748 05	91 885	840 747 75
240 000 000	JOHN HANCOCK GLOB FDG II SERIES 144A	Morgan Stanley	UNITED STATES	108 409	260 181 60	117 015	280 836 00
405,000 000	JP MORGAN CHASE 5 350% DUE 01MAR2007	Morgan Stanley	UNITED STATES	99 880	404 514 00	105 613	427 732 65
405 000 000	LOWES COS INC DEB DTD 02/09/1998	Morgan Stanley	UNITED STATES	88 199	357 203 95	112 521	455 710 05
640 000 000	LOWES COS INC DEB DTD 02/23/1999 6 500% 03/15/2029	Morgan Stanley	UNITED STATES	91 911	588 233 30	107 769	689 721 60
10 379 993 931	MORGAN STANLEY INSTL FND ADVISORY MTGE PORTFOLIO	Morgan Stanley	UNITED STATES	10 253	106 423 004 90	10 740	111,481 134 84
460 000 000	MAY DEPARTMENT STORES CO 7 875% DUE 01MAR2030	Morgan Stanley	UNITED STATES	99 485	457 632 35	120 547	554 516 20
300 000 000	MAY DEPT STORES CO DEB 6 700% 09/15/2028	Morgan Stanley	UNITED STATES	85 867	257 599 70	104 481	313,443 00
315 000 000	MAY DEPT STORES CO NT DTD 10/30/1998 5 950% 11/01/2008	Morgan Stanley	UNITED STATES	90 812	286 057 80	108 521	341 841 15
900,000 000	MBNA MASTLR CRFDIT CARD TRUST SERIES 2000 F	Morgan Stanley	UNITED STATES	113 141	1 018 265 63	123 687	1 113 183 00
980,000 000	METROPOLITAN LIFE INS CO SURPLUS NT 144A	Morgan Stanley	UNITED STATES	97 915	959 569 10	112 084	1 098 423 20
570 000 000	NATIONWIDE FINANCIAL SVCS 6 250% DUE 15NOV2011	Morgan Stanley	UNITED STATES	99 525	567 292 50	107 112	610,538 40
100 000 000	NATIONWIDE MUTUAL INS CO 8 250% DUE 01DEC2031	Morgan Stanley	UNITED STATES	100 432	100 432 00	108 087	108,087 00
750,000 000	PRIME PPTY FDG II INC NT 144A	Morgan Stanley	UNITED STATES	99 780	748 350 00	106 235	796,762 50
1 210 000 000	PRUDENTIAL HLDGS LLC SERIES 144A	Morgan Stanley	UNITED STATES	101 245	1 225 060 90	114 569	1 386,284 90
670 000 000	PRUDENTIAL HOLDINGS LLC SERILS 144A	Morgan Stanley	UNITED STATES	101 840	682 325 00	114 043	764 088 10
160 000 000	SOUTHWEST AIRL INTS CO 5 496% DUE 01NOV2006	Morgan Stanley	UNITED STATES	100 000	160 000 00	104 312	166 899 20
535 000 000	UNITED TECHNOLOGIES CORP 6 100% DUE 15MAY2012	Morgan Stanley	UNITED STATES	100 115	535 614 75	112 068	599,563 80
645 000 000	VERIZON NEW ENGLAND INC 6 500% DUE 15SLP2011	Morgan Stanley	UNITED STATES	99 774	643 542 30	104 426	673 547 70
1 140 000 000	VODAFONE GROUP PI C NOTES	Morgan Stanley	UNITED KINGDOM	100 561	1 146,395 40	112 457	1,282,009 80
1 232 184 600	WORLD FINL PPTYS TOWER B FIN CORP SER 1996	Morgan Stanley	UNITED STATES	99 650	1,227 875 85	104 125	1 283 012 21
					136,091 182 72		144,102,247 28
29 314,302 650	DB INSTITUTIONAL LIQUID ASSETS FUND	Deutsche Bank Reserve	UNITED STATES		29 314 302 65	100 000	29 314,302 65
254 220	DB INSTITUTIONAL LIQUID ASSETS FUND	Capital Guardian Trust	UNITED STATES		254 22	100 000	254 22
2,517 482 200	DB INSTITUTIONAL LIQUID ASSETS FUND	Morgan Stanley	UNITED STATES		2 517 482 20	100 000	2 517 482 20
1 776 044 820	DB INSTITUTIONAL LIQUID ASSETS FUND	European Investors Inc	UNITED STATES		1 776 044 82	100 000	1 776 044 82

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Face Amount or Shares	Security Title	Account Manager	Country	Unit Value-Cost	Book Value	Unit Value Market	Market Value
915 840 810	DB INSTITUTIONAL LIQUID ASSETS FUND	Westport Asset Management	UNITED STATES		915 840 81	100 000	915 840 81
866 821 090	DB INSTITUTIONAL LIQUID ASSETS FUND	Capital Guardian Trust	UNITED STATES		866 821 09	100 000	866 821 09
38 807 070	DB INSTITUTIONAL LIQUID ASSETS FUND	Southeastern	UNITED STATES		38 807 07	100 000	38 807 07
2 438 307 340	DEUTSCHE BANK INSTITUTIONAL LIQUID ASSET FUND	Southeastern International	UNITED STATES		2 438 307 34	1 000	2,524 659 34
0 010	DB INSTITUTIONAL LIQUID ASSETS FUND	Internally Managed Fixed Income	UNITED STATES		0 01	100 000	0 01
7 460	DB INSTITUTIONAL LIQUID ASSETS FUND	T Rowe Price	UNITED STATES		7 46	100 000	7 46
5 825 960	CASH ON DEPOSIT				5 825 96		5,825 96
DUE TO BROKER ON REPURCHASE OF INVESTMENTS					**(427,412 68)**		**(550,719 80)**
TOTAL CORPORATE BOND OBLIGATIONS					**175,026,562 09**		**183 028,464 71**
TOTAL ASSETS					**$ 789,825,865 86**		**$ 694,332,691.19**

OTHER INVESTMENTS	Column a	Column b	Column c
OTHER EQUITY FUNDS			
ADAGE CAPITAL PARTNERS	0	61 709 269	55 879 984
ARISAIG ASIA FUND	0	20 000 000	27 926 825
BLAKENEY	12 000 000	12 000 000	12 744 000
SILCHESTER INTL VALUE EQUTY TRUST	0	57 093 869	54 554 542
K CAPITAL EUROPEAN VALUE FUND	0	10 000 000	5 313 542
INSTITUTIONAL PARTNERS	682 337	682 337	655 251
CUMBERLAND BENCHMARKED PARTNERSHIPS	51 940 316	48 881 900	45 389 800
BROOKSIDE	55 902 830	59 283 278	57 754 696
TOTAL OTHER EQUITY FUNDS	120 525 483	269 650 653	261 223 940
PRIVATE EQUITY			
VENTURE CAPITAL			
AUGUST CAPITAL III	4,223 796	4 347 753	4 335 433
BAIN CAPITAL VENTURE FUND	653 222	1 118 632	1 012 169
CHARLES RIVER X	2 905 157	2 548 541	1 944 140
CHARLES RIVER XI	722 557	1 005 709	874 545
GOLDA THOMA	22 397	0	0
HALPERN DENNY FUND III	2 401 980	2 591 218	2 520 913
IVP IV	259 599	158 170	552 841
IVP V	277 433	267 292	50 822
IVP VI	3 692 802	2 270 796	1 898 817
IVP VII	10 111 227	7 737 511	9 978 182
IVP VIII	14 701 570	14 383 011	11 973 538
RCS II	52 966	52 118	13 433
VERSANT VENTURES I	4 566 875	7 347 457	7 722 840
VERSANT VENTURES II	0	1 200 000	1 024 321
WESTON PRESIDIO III	5 310 229	5 583 984	7 390 137
WESTON PRESIDIO IV	806 552	1 655 418	1 629 323
REDPOINT VENTURES I	6 215 883	5 525 674	5 257 892
REDPOINT VENTURES II	1 065 658	1 045 592	834 596
WHITNEY V	773 543	3 320 260	3 293 307
SCP IV PARTNERS	2 820 791	2 724 365	3 722 943
TH WHITNEY IV	3 652 320	3 137 889	1 626 883
TOTAL VENTURE CAPITAL	65 236 557	68 021 390	65 687 078
LEVERAGED BUYOUTS			
AEA INVESTORS INC			
AEA INVESTORS	1 339 412	1 339 412	1 552 600
BDS INVESTORS	1,096 003	1 096 003	0
NATS INVESTORS	1 012 247	1 012 247	0
NBX INVESTORS	0	328 125	328 125
NORTH AMERICAN TRAINING	941 900	941 900	0
LEINER HEALTH/PLI INVESTORS	351 245	351 245	313 800
PMD INVESTORS	2 725 995	2 725 995	4 672 800
VNI INVESTORS	0	234 018	234 018
TRN INVESTORS II	0	92 007	92 007
ION INVESTORS	800 957	800 957	200 225
TPH INVESTORS	521 940	938 272	938 272
TTC INVESTORS	293 615	293 615	44 294
RMC INVESTORS	3 667 354	3 667 354	0
LFD INVESTORS	408 627	276 168	375 077
SPG INVESTORS	983 067	983 067	294 900
MBI INVESTORS	1 071 574	1 071 574	1 707 972
SSCI INVESTORS	3 154 020	3 154 020	3 154 020
BDC INVESTORS	142 311	142 311	0
RDC INVESTORS	185 614	185,614	0
VDC INVESTORS	223 001	209 216	98 475
XM INVESTORS	1 132 881	1 132 881	849 600
TOTAL AEA INVESTORS	20 051 763	20,976 001	14 796 186
AEA SCANDINAVIA LP			
AEA SCANDINAVIA	63,486	63 486	63 486
AEA SCAND STENQVIST HOLDING AB	454 067	454 067	655 322
AEA SCAND EKCG GROUP	538 203	125 787	275 105
AEA SCAND SCIENTIC PRODUCTS/STRUERS	876 043	175,208	331 675
AEA SCAND TAC HOLDING AB	438 447	438 447	2 305 189
AEA SCAND DUNI AB	2 252 058	2 252 058	2 035 526
AEA SCAND FLEXLINK SYSTEMS	709 013	709 013	999 962
TOTAL AEA SCANDINAVIA	5 331 317	4 216 066	6 666 265

OTHER INVESTMENTS	Column a	Column b	Column c
MADISON DEARBORN			
MADISON DEARBORN II	4 206 904	2 674 568	3 783 993
MADISON DEARBORN III	8 968 068	9 882 326	9 198 273
MADISON DEARBORN IV	635 443	1 979 589	1 614 447
TOTAL MADISON DEARBORN	13 810 416	14 536 482	14 596 713
OTHER LEVERAGE BUYOUTS			
GTCR FUND VI	14 969 890	14 702,481	9 190 831
BAIN CAPITAL VI	3 041 426	3 216 371	2 541 253
AMERICAN SECURITIES II	14,686 953	12 929,756	12 929 756
DIMELING SCHREIBER & PARK	10 918 885	10 818 597	5 100 848
VESTAR CAPITAL PARTNERS IV	972 957	1 631 647	1 611 049
GTCR FUND VII	6 571 900	9 314 162	8 364 176
CCG INVESTMENT FUND	1 122 812	3 142 053	3 192 582
BAIN CAPITAL VII	1 190 299	1 153 896	1 016 251
ABRY PARTNERS IV	664 709	2 165 994	2 165 994
WILLIS STEIN III	1 509 759	3 672 906	2 521 026
AMERICAN SECURITIES III	90 943	286 348	286 348
DUKE STREET CAPITAL V	0	2 247 045	2 282 646
BAIN CAPITAL FUND VII E	0	292 906	220 530
CANDOVER 2001 FUND	0	723 011	721 994
BERKSHIRE FUND VI	0	563 723	510 724
TOTAL OTHER LEVERAGED BUYOUTS	55 740 533	66 890 896	52 959 308
TOTAL LEVERAGED BUYOUTS	94 934 029	106 619 446	89 018 472
TOTAL PRIVATE EQUITY	160,170 586	174 640 837	154 705 550
REAL ESTATE			
IMB II	2 331	2 342	2 342
IMB III	995 030	993 721	488 039
DB SHORENSTEIN SWAP COLLATERAL	5 083 256	7 431 855	7 560 512
DB M&H SWAP COLLATERAL	2 030 904	4 953 187	4 953 187
DB DOUG EMMETT SWAP COLLATERAL	632 193	3 987 600	3 987 600
BROOKDALE INVESTORS II	3 209 658	3 640 760	3 574 715
BROOKDALE INVESTORS III	3 750 683	3 981 419	4 693 775
BROOKDALE INVESTORS IV	4 766 411	4 317 000	4 458 920
CMD REALTY INVESTORS III	7 788 315	7 845 788	8 496 973
CMD REALTY INVESTORS IV	6 838 809	6 858 837	8 044 156
DOUGLAS EMMETT REALTY 1996	12 081 000	12 711 868	14 009 000
DOUGLAS EMMETT REALTY 1997	10 690 000	10 948 480	13 147 000
DOUGLAS EMMETT REALTY 1998	10 004 000	10 126 786	11 748 000
TALCOTT REALTY III	0	80 906	13 491
OAKTREE - OCM REAL ESTATE OPP FUND LP	10 495 310	10 042 389	10 052 200
(TA) REALTY ASSOCIATES FUND IV	20 812 731	17 638 086	21 796 905
REALTY FINANCIAL PARTNERS IIA	2 114 698	1 904 351	1 727 953
REALTY FINANCIAL PARTNERS IV	12 471 820	10 726 209	10 146 980
SHORENSTEIN REALTY THREE	6 759 788	4 015 196	7 516 007
SHORENSTEIN REALTY FOUR	6 940 192	6 940 192	9 859 854
SHORENSTEIN REALTY VI (RLH)	0	4 351 759	4 282 441
ASLAN REALTY II (TRANSWESTERN)	0	1 208 404	1 208 404
(M & H IV)/CHR INVESTORS	9 891 863	9 207 303	13 563 079
LBA INDUSTRIAL FUND	0	115 050	78 556
AVANTE INVESTORS III	6 647 079	6 603 422	6 603 422
AVANTE INVESTORS IV	178 480	1 571 469	1 571 469
ACADIA REALTY TRUST (RD)	4 942 272	4 942 272	6 975 632
ACADIA INVESTMENTS INC	55 556	1 364 244	1 364 244
SC EUROPEAN REALTY	8 500 000	8 500 000	7 964 500
TOTAL REAL ESTATE (does not include LH)	157 682 378	166 611 095	190 520 587

OTHER INVESTMENTS	Column a	Column b	Column c
ABSOLUTE RETURN			
MILLER ANDERSON PARTNERSHIP	13 898 378	0	0
LANSDOWNE EUROPEAN EQUITY FUND	0	26 220 973	25 709 578
OCM EMERGING MKTS FUND	0	15 000 000	16 089 025
REALTY FINANCIAL PARTNERS V	2 938 358	7 164 609	7 726 617
BRAHMAN INSTITUTIONAL PARTNERS	19 555 427	21 104 179	22 459 771
BAUPOST VALUE PARTNERS	25 000 000	27 566 435	29 045 195
CATALYST PARTNERS	15 000 000	13 601 539	11 487 171
TIR TRLE	31 088 455	24 088 455	25 944 758
HARVEST CAPITAL	30 826 718	0	0
K CAPITAL PARTNERS	15 000 000	15 000 000	15 544 624
LONE PINON (LONE KAURI)	0	9 975 536	10 716 400
TYNDALL	21 036 338	26 149 571	21 886 196
WATERSHED CAPITAL	0	15 000 000	14 996 269
SATELLITE ASSET MANAGEMENT	25 000 000	25 000 000	26 576 767
STANDARD PACIFIC (SKIBO)	0	30 000 000	29 425 345
VARDE	39 450 837	45 718 555	40 418 526
TOTAL ABSOLUTE RETURN	238 784 511	301 589 852	308 023 841
	677 162 958	912 492 437	914 471 217
Reclass to Equities (Line 10b)			
DII INVESTORS	0		
DB SHORENSTEIN SWAP COLLATERAL	(5 083 256)		
DB M&H SWAP COLLATERAL	(2 030 904)		
DB DOUG EMMETT SWAP COLLATERAL	(632 193)		
ITC INVESTORS	(293 615)		
TOTAL PARTNERSHIPS	669 122 992		

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

ATTACHMENTS TO 2001 990-PF PART VIII - LINE 1

FOUNDATION MANAGERS AND THEIR COMPENSATION

OFFICERS DIRECTORS AND TRUSTEES

FISCAL YEAR ENDED 9/30/2002

Foundation Managers	Title and Time Devoted to Position	Compensation Column c	Contributions to Employee Benefits Plans and Deferred Compensation Column d	Other Benefits and Allowances Column e
Vartan Gregorian Carnegie Corporation of New York 437 Madison Avenue New York NY 10022	President Full Time	$527 500	$68 451	50 000 * 67 375 **
D Ellen Shuman Carnegie Corporation of New York 437 Madison Avenue New York NY 10022	Vice President & Chief Investment Officer Full Time	401 750	59 133	26 000 **
Neil Grabois Carnegie Corporation of New York 437 Madison Avenue New York NY 10022	Vice President & Director for Strategic Planning and Program Coordination Full Time	213 125	56 921	18 750 * 6 875 **
Edward Seymour Carnegie Corporation of New York 437 Madison Avenue New York NY 10022	Vice President & Chief Administrative Officer and Corporate Secretary Full Time	211 000	54 814	6 550 **
Susan King Carnegie Corporation of New York 437 Madison Avenue New York NY 10022	Vice President Public Affairs Full Time	190 000	57 504	25 000 * 1 150 **
Armanda Famiglietti Carnegie Corporation of New York 437 Madison Avenue New York NY 10022	Associate Corporate Secretary Full Time	77 000	27 386	
Total - Foundation Managers		$1 620 375	$324 209	$201 700
Trustees Total Honoraria (details on pp 2-4)		44 400		
Total Foundation Managers and Trustees		$1 664 775	$324 209	$201 700

*Housing allowance received

**Represents the value of options granted under the Corporation's Flex-Op plan

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

2001 990-PF
FISCAL YEAR ENDED SEPTEMBER 30 2002
PART VIII LINE 1

BOARD OF TRUSTEES

Name and Address	Honoraria
Vartan Gregorian Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	None
Bruce M Alberts National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418	$11,100
Geoffrey T Boisi J P Morgan Chase 1211 Avenue of the Americas New York, NY 10036	Declines
James B Hunt, Jr Womble, Carlyle Sandridge & Rice 150 Favetteville Street Mall Raleigh, NC 27602	9,900
Helene Kaplan Skadden, Arps, Slate, Meagher & Flom Four Times Square New York, NY 10036	Declines
Thomas H Kean* Drew University Madison, NJ 07940	Declines
Martin L Leibowitz TIAA-CREF 730 Third Avenue New York, NY 10017	Declines
Vincent A Mai* AEA Investors Inc 65 East 55 Street New York, NY 10022	Declines

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

2001 990-PF
FISCAL YEAR ENDED SEPTEMBER 30 2002
PART VIII LINE 1

BOARD OF TRUSTEES

Name and Address	Honoraria
William J McDonough** Federal Reserve Bank of New York 33 Liberty Street New York, NY 10045	Declines
Sam Nunn King & Spalding 1730 Pennsylvania Avenue, NW Washington DC 20006	Declines
Olara Otunnu United Nations 1 United Nations Plaza New York NY 10017	Declines
William A Owens Teledesic LLC 3740 Carillon Point Kirkland, WA 98033	11,100
Ruth J Simmons Brown University 1 Prospect Street Providence RI 02912	Declines
Raymond Smith Arlington Capital Partners 600 New Hampshire Avenue Washington, DC 20037	Declines
Marta Tienda Princeton University 21 Prospect Road Princeton NJ 08544	12,300

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

2001 990-PF
FISCAL YEAR ENDED SEPTEMBER 30, 2002
PART VIII LINE 1

BOARD OF TRUSTEES

Name and Address	Honoraria
Judy Woodruff Cable News Network 820 First Street, NE Washington, DC 20036	Declines
Total - Trustees	$44,400

* Thomas H Kean and Vincent A Mai completed their terms of service at the February 2002 board meeting
**William J McDonough began his term of service at the February 2002 board meeting

CARNEGIE CORPORATION OF NEW YORK

2001 990-PF 13-1628151

ATTACHMENT PART IX-A

Carnegie Corporation of New York (CC) was founded in 1911 for the advancement and diffusion of knowledge and understanding CC fulfills its charter primarily by giving grants to organizations in the program areas selected by its President and Board of Trustees (See Schedule 11 for identification of the current areas of concentration) In addition to making grants CC encourages staff members to give freely of their time to assist other non-profit organizations and governmental agencies involved in public service This is accomplished through service on boards and advisory committees of other institutions, technical assistance research, publication and dissemination of educational materials and the conduct of educational conferences, meetings and seminars

Technical Assistance to Grantees and Other Charitable Organizations $436,751

This amount represents salary and overhead allocations of program staff for time spent advising grantees and other charitable organizations on a variety of subjects including, but not limited to obtaining additional funding from other sources, reducing operating expenses complying with government regulation and maintaining accurate financial records

Conducting Conferences and Meetings $22,242

CC conducts several meetings per year in each of its program areas that bring together participants from various academic disciplines, and the public and private sectors to exchange ideas and formulate solutions or methods of arriving at solutions to problems in their area of expertise The meetings benefit these individuals and ultimately the communities they serve

Publication and Dissemination of Educational Materials $1,755,601

Articles, books and other reading material, generated from the meetings mentioned above from Carnegie-supported grantees and Carnegie staff, are regularly produced and distributed by CC to the general public and to those individuals most directly interested in their contents The Corporation maintains a file of names and addresses to facilitate the dissemination program Also included in this category is staff time and allocation of expenses for the preparation of the annual report

Other $85,935

The majority of this category represents the allocation of direct salaries benefits, and overhead for staff involved on a full time basis and for staff contributing portions of their time to the administration of four Corporation-sponsored activities Technical assistance associated with the Teachers for a New Era Initiative and technical assistance and management services for the Schools for a New Society Initiative

Service on Boards or Advisory Committees $226,971

The amount represents the cost to support the service of the Corporation's staff on boards or advisory committees of other charitable organizations

TOTAL Direct Charitable Activities $2,527,500

CARNEGIE CORPORATION OF NEW YORK

2001 990-PF #13-1628151

PART XVI - Supplementary Information, Lines 2a, b, d

The charter of the Corporation provides that all funds are to be used to promote "the advancement and diffusion of knowledge and understanding " Grants must be broadly educational in nature but they need not be limited to the formal educational system or to educational institutions The foundation makes it a policy to select a few areas in which to concentrate its grants over a period of years

The Corporation currently operates grant programs in four broad areas The four programs are called the Education Division, International Peace and Security, International Development, and Strengthening U S Democracy

There are no application forms Grantseekers are asked to present a statement containing a description of the project s aims amount of support required duration, methods personnel, and budget The statement should be sent to Edward Sermier, Vice President and Chief Administrative Officer and Corporate Secretary, Carnegie Corporation of New York 437 Madison Avenue, New York NY 10022 His telephone number is (212) 371-3200

Officers will review the proposal in light of their knowledge of the field and in relation to the current program priorities If they wish to pursue matters further, they may request a more developed proposal Additional materials may be required, including a formal request from the head of the organization and a more precise budget

There are no deadlines The Corporation reviews requests at all times of the year The staff tries to respond within four months of the receipt of a proposal

The Corporation does not make grants for basic operating expenses endowments, or facilities of individual schools or school districts, colleges universities, or human service organizations It does not make program-related investments

The Corporation does not generally make grants to individuals On occasion, it will make a grant to a highly qualified individual for a project that is central to its stated program interests It does not have a program of scholarships or travel grants

The Corporation does not, as a matter of policy provide to prospective grantseekers copies of successful proposals

ATTACHMENT #1

APP03 12/19/02 CARNEGIE CORPORATION OF NEW YORK (ID#13-1628151) Page 1
APPROPRIATIONS LIST as of 09/30/2002

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7005 00 Africa Leadership Foundation Inc 1255 Fifth Avenue Apartment 7K New York, NY 10029 Support of the Africa Leadership Forum						
10/14/99	410000 00	0 00	145000 00	0 00	0 00	145000 00
B7217 00 African Women's Development Fund c/o Akina Mama wa Afrika 334-336 Goswell Road London EC1v 7LQ, United Kingdom Establishment and general support						
10/12/00	500000 00	0 00	225000 00	142000 00	0 00	83000 00
B2002 86 Ahmadu Bello University PMB 1045 Zaria, Kaduna State Nigeria Planning institutional development projects						
07/16/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 69 Association of Canadian Universities for Northern Studies 405 17 York Street, Suite 405 Ottawa, ON K1N 9J6 Canada International conference on arctic and circumpolar issues						
06/04/02	0 00	40000 00	0 00	40000 00	0 00	0 00
B7427 00 Botswana National Library Service Private Bag 0036 Gaborone Botswana Strengthening institutional capacity and the development of four model libraries						
04/11/02	0 00	2032300 00	0 00	695913 00	0 00	1336387 00
B2002 04 Centre for Higher Education Transformation Trust The Chet Trust P O Box 29204 Sunnyside 0132 South Africa Study of higher education in the EasternCape, South Africa						
10/03/01	0 00	50000 00	0 00	50000 00	0 00	0 00
B7315 00 City of Johannesburg Library and Information Services PO Box 78001 Sandton, 2146 South Africa Activities to increase literacy and reading enrichment programs for children and adolescents						
06/14/01	500400 00	0 00	331833 00	171000 00	0 00	160833 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7316 00 Council on Higher Education 123 Schoeman Street Pretoria 0001 South Africa Design and implement a national qualityassurance system for South African universities						
06/14/01	400000 00	0 00	400000 00	343463 00	0 00	56537 00
B7318 00 Department of Education, Republic of South Africa 123 Schoeman Street Pretoria 0001 South Africa Study to develop a national higher education information and application service						
06/14/01	283400 00	0 00	283400 00	283400 00	0 00	0 00
B7470 00 Department of Education, Republic of South Africa Sol Plaatje House 123 Schoesman Street Pretoria 0001, South Africa National scholarship program for undergraduate women						
06/13/02	0 00	3146900 00	0 00	81355 00	0 00	3065545 00
B7319 00 Durban Metropolitan Library Services PO Box 917 Durban, 4000 South Africa Activities to increase literacy and access to a community information database						
06/14/01	750000 00	0 00	442516 00	0 00	0 00	442516 00
B7320 00 Free State Provincial Library and Information Services Private Bag X20606 Bloemfontein, 9300 South Africa Activities to expand services, enhance collections for children and adults, anddevelop an electronic infrastructure						
06/14/01	650000 00	0 00	374088 00	0 00	0 00	374088 00
B7472 00 Human Sciences Research Council 134 Pretorius Street Private Bag X41 Pretoria 0001, South Africa Research and studies on higher education partnerships with industry						
06/13/02	0 00	150000 00	0 00	150000 00	0 00	0 00
B7393 00 Institute of Education, University of London 20 Bedford Way London WC1H OAL United Kingdom Research and dissemination on the sustainability of interventions to improve gender equity at Ugandan and Tanzanian univ						
02/07/02	0 00	197700 00	0 00	0 00	0 00	197700 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7428 00 Kenya National Library Service Ngong Road, Community PO Box 30573 Nairobi, Kenya Strengthening institutional capacity and the development of four model libraries						
04/11/02	0 00	2074400 00	0 00	0 00	0 00	2074400 00
B7159 00 Library and Information Association of South Africa Private Bag X1 WITS 2050 SOUTH AFRICA Institutional support						
06/08/00	249400 00	0 00	154000 00	83167 00	0 00	70833 00
B7321 00 Makerere University P O Box 7062 Kampala Uganda Institutional development						
06/14/01	2015000 00	0 00	2015000 00	840667 00	0 00	1174333 00
B7322 00 Makerere University P O Box 7062 Kampala Uganda Scholarship program for undergraduate women						
06/14/01	1000000 00	0 00	765000 00	348000 00	0 00	417000 00
B7429 00 Makerere University PO Box 7062 Kampala Uganda Institutional development						
04/11/02	0 00	1065100 00	0 00	490211 00	0 00	574889 00
B2002 89 Makerere University P O Box 7062 Kampala Uganda Purchase and installation of blackboards						
07/17/02	0 00	10000 00	0 00	10000 00	0 00	0 00
B7323 00 Ministry of Education, Republic of South Africa Sol Plaatje House, 123 Schoeman Street Private Bag X603 Pretoria 0001, South Africa Project to strengthen history pedagogy and enhance the status of history withinthe education system						
06/14/01	500000 00	0 00	275196 00	155278 00	0 00	119918 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7324 00 Mpumalanga Provincial Library and Information Services PO Box 1243 Nelspruit 1200 South Africa Activities to provide electronic networking and internet access to public libraries and bookmobiles						
06/14/01	493900 00	0 00	342717 00	0 00	0 00	342717 00
B2002 18 National Council for Tertiary Education P O Box MB, 28 Accra Ghana Case study on change and transformationin Ghana's universities						
12/27/01	0 00	40000 00	0 00	40000 00	0 00	0 00
B7328 00 Nelson Mandela Metropolitan Municipal Library Services 116 Port Elizabeth, 600 South Africa Activities to increase services for children, expand services in underserved areas, and train staff						
06/14/01	750000 00	0 00	347650 00	0 00	0 00	347650 00
B7395 00 New York University Elmer Holmes Bobst Library 70 Washington Square South New York, NY 10003 Position at the Steinhardt School of Education to facilitate the work of foundation partners to strengthen African univ						
02/07/02	0 00	89900 00	0 00	52442 00	0 00	37458 00
B2002 94 New York University 82 Washington Square East, 6th Floor New York, NY 10003-6680 Publication of monograph series and website						
08/01/02	0 00	17900 00	0 00	0 00	0 00	17900 00
B7329 00 Northern Cape Provincial Library and Information Services P O Box 532 Kimberly, 8300 South Africa Activities to increase literacy, preserve indigenous languages, enhance technology and train staff						
06/14/01	666500 00	0 00	399662 00	0 00	0 00	399662 00
B2002 85 Obafemi Awolowo University Ile-Ife Nigeria Planning institutional development projects						
07/16/02	0 00	49800 00	0 00	49800 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7430 00 Rand Afrikaans University P O Box 524 Aukland Park 2006 Republic of South Africa Establishment of a four year degree in accounting at the University of Fort Hare, in partnership with Rand Afrikaans Uni						
04/11/02	0 00	1000000 00	0 00	259250 00	0 00	740750 00
B7271 00 Rhodes University Grahamstown 6140 South Africa Project to train teachers and strengthen a research center for science, mathematics and technology						
04/12/01	817500 00	0 00	817500 00	215128 00	0 00	602372 00
B7330 00 South African Universities Vice Chancellors Association P O Box 27392 Sunnyside, Pretoria 0132 South Africa Develop the capacity of a quality assurance system for South African universities						
06/14/01	129000 00	0 00	129000 00	129000 00	0 00	0 00
B7327 00 The NBI Foundation, Inc 205 East 42nd Street Suite 1900 New York, NY 10017-5706 Project to improve the quality of SouthAfrican schools by training schools leaders and teachers in evaluation, manageme						
06/14/01	499700 00	0 00	499700 00	499700 00	0 00	0 00
B7474 00 University of Stellenbosch Private Bag X1 Matieland 7602 South Africa Research and dissemination on the transformative factors that affect the production and utilization of scientific knowle						
06/13/02	0 00	150000 00	0 00	93000 00	0 00	57000 00
B7314 00 University of Cape Town Private Bag, Rondebosch 7701 South Africa Development of science and engineering capacity in selected African universities						
06/14/01	705600 00	0 00	705600 00	276738 00	0 00	428862 00
B7431 00 University of Cape Town Private Bag Rondebosch 7701 South Africa Establishment of a merster degree program in structural biology, in partnershipwith the university of the Western Cape						
04/11/02	0 00	1093900 00	0 00	762867 00	0 00	331033 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7214 00 University of Dar es Salaam PO Box 35091 Dar es Salaam Tanzania Implementation of institutional transformation						
10/12/00	3491000 00	0 00	1726583 00	974916 00	0 00	751667 00
B7317 00 University of Dar es Salaam P O Box 35091 Dar es Salaam Tanzania Scholarship program for undergraduate women						
06/14/01	1000000 00	0 00	751090 00	354348 00	0 00	396742 00
B7469 00 University of Dar Es Salaam P O Box 35091 Dar Es Salaam Tanzania Activities to promote the incorporationof gender concerns into the university's curriculum, research and administration						
06/13/02	0 00	199100 00	0 00	78250 00	0 00	120850 00
B7471 00 University of Ghana P O Box LG25 Legon, Accra Ghana Enhancement and consolidation of quality teaching and research						
06/13/02	0 00	677000 00	0 00	554244 00	0 00	122756 00
B2002 87 University of Jos PMB 2084 Bauchi Road, Jos, Plateau State Nigeria Planning institutional development projects						
07/16/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7325 00 University of Natal Durban 232 King George V Avenue Durban 4041 South Africa Establish the KwaZulu Natal Centre for HIV/AIDS Networking						
06/14/01	1120700 00	0 00	816333 00	330733 00	0 00	485600 00
B7326 00 University of Natal-Durban 232 King George V Avenue Durban 4041 South Africa Science and Mathematics teacher development project in the province of KwaZulu Natal						
06/14/01	467100 00	0 00	467100 00	184717 00	0 00	282383 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7473 00 University of Pretoria Pretoria 0002 South Africa Develop and implement advanced trainingprograms in international trade and investment						
06/13/02	0 00	1000000 00	0 00	325375 00	0 00	674625 00
B7273 00 University of South Africa P O Box 392, Unisa 0003 South Africa Establishment of the Centre for the Improvement of Mathematics, Science, and Technology Education						
04/12/01	1086100 00	0 00	796500 00	302358 00	0 00	494142 00
B7432 00 University of Stellenbosch Private Bag X1 Matieland 7602 South Africa Collaborative program with the Medical University of Southern Africa on the Management of HIV/AIDS in the Workplace						
04/11/02	0 00	1000000 00	0 00	446004 00	0 00	553996 00
B7475 00 University of the Western Cape Private Bag X17 Bellville 7535 South Africa Partnership with universities and technical colleges to research and disseminate the application of information and comm						
06/13/02	0 00	1000000 00	0 00	415800 00	0 00	584200 00
B7476 00 University of Western Cape Private Bag X17 Bellville 7535 South Africa Study of the reconfiguration of South Africa's higher education institutions						
06/13/02	0 00	151700 00	0 00	84725 00	0 00	66975 00
B7331 00 University of Witwatersrand Private Bag 3 Wits 2050 South Africa Establish the University of Witswatersrand AIDS Research Institute						
06/14/01	500000 00	0 00	500000 00	367500 00	0 00	132500 00
B7046 00 University of Zimbabwe P O Box MP167 Harare, Zimbabwe Science and technology policy dialogue in Zimbabwe						
02/01/00	150000 00	0 00	30000 00	30000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
GRANT TOTALS FOR IP						
		15335700 00	13740468 00	10811349 00	0 00	18264819 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B6978 02 Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022						
Expenses related to research and writing by David Hamburg in the areas of conflict resolution and education						
10/12/00	177805 26	0 00	0 00	0 00	0 00	0 00
B7187 00 Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022						
Technical assistance and management services for the Schools for a New Society Initiative						
10/12/00	380000 00	0 00	0 00	0 00	0 00	0 00
B7188 00 Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022						
Program dissemination of the Corporation's work						
10/12/00	1011144 08	0 00	0 00	0 00	0 00	0 00
B7299 00 Carnegie Corporation of New York						
Start up costs and technical assistanceassociated with the Teachers for a New Era initiative						
06/14/01	104812 18	0 00	0 00	0 00	0 00	0 00
B7342 00 Carnegie Corporation of New York						
Technical assistance and management services for the Schools for a New Society Initiative						
06/14/01	163966 79	0 00	0 00	0 00	0 00	0 00
B7351 00 Carnegie Corporation of New York						
Program dissemination of the Corporation's work						
10/11/01	0 00	1456276 53	0 00	1456276 53	0 00	0 00
B7349 00 Carnegie Corporation of New York						
Technical assistance, evaluation, and management services for the Schools for aNew Society Initiative						
10/11/01	0 00	1245288 90	0 00	1245288 90	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7350 00 Carnegie Corporation of New York						
Start up costs and technical assistanceassociated with the Teachers for a New Era initiative						
10/11/01	0 00	400000 00	0 00	400000 00	0 00	0 00
B6978 03 Carnegie Corporation of New York						
Expenses related to research and writing by David Hamburg, in the areas of conflict resolution and education						
10/11/01	0 00	98616 16	0 00	98616 16	0 00	0 00
B6978 00 Carnegie Corporation of New York						
Expenses related to research and writing by David Hamburg, in the areas of conflict resolution and education						
10/11/01	0 00	0 00	0 00	0 00	0 00	0 00
B7457 00 Carnegie Corporation of New York						
Start-up costs and technical assistanceassociated with the Teachers for a New Era initiative						
06/13/02	0 00	96746 61	0 00	96746 61	0 00	0 00
GRANT TOTALS FOR UA		3296928 20	0 00	3296928 20	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 00						
10/01/01	0 00	0 00	0 00	0 00	0 00	0 00
B0001 00 52nd Street Project, Inc 500 West 52nd Street, #28 2nd Floor New York, NY 10019 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0002 00 Aaron Davis Hall, Inc West 135th St and Convent Avenue New York, NY 10031 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7458 00 Academy for Educational Development, Inc 1875 Connecticut Avenue, NW Washington, DC 20009-1202 Teachers for a New Era a Corporation initiative to reform and improve the education of teachers						
06/13/02	0 00	6553800 00	0 00	0 00	0 00	6553800 00
B7404 00 Action Without Borders, Inc 350 Fifth Avenue, Suite 6614 New York, NY 10118 Expansion of Idealist org, a career service website for nonprofits and nonprofit job seekers						
02/07/02	0 00	200000 00	0 00	116667 00	0 00	83333 00
B7453 00 Adolph Reed 20 Livingston Street New Haven, CT 06511 Race in American Life What It Is, WhatIt Isn't/How It Works, How It Doesn't						
04/11/02	0 00	96442 00	0 00	53000 00	0 00	43442 00
B0003 00 Alliance for the Arts, Inc 330 West 42nd Street, #1701 New York, NY 100361 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0004 00 Alliance of Resident Theatres/New York 575 Eighth Avenue Suite 17 South New York, NY 10018-3011 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7076 00 American Academy of Arts and Sciences Norton's Woods 136 Irwing Street Cambridge, MA 02138-1996 Project on stability in the post-Sovietterritory						
04/13/00	548400 00	0 00	296951 00	0 00	0 00	296951 00
B2002 27 American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138 1996 Publication and dissemination of a study on the causes and consequences of grade inflation at universities						
01/15/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7396 00 American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138 1996 Research and dissemination on the military and commercial use of space						
02/07/02	0 00	497000 00	0 00	156440 00	0 00	340560 00
B7189 00 American Association of Colleges for Teacher Education 1307 New York Avenue, NW Suite 300 Washington, DC 20007 Accreditation assistance to teacher preparation institutions and strengthening teacher candidate assessment						
10/12/00	520200 00	0 00	234917 00	234917 00	0 00	0 00
B7172 00 American Civil Liberties Union Foundation, Inc 125 Broad Street New York, NY 10004 2400 Support of its voting rights project						
06/08/00	300000 00	0 00	125000 00	125000 00	0 00	0 00
B7198 00 American Council of Learned Societies 228 East 45th Street New York, NY 10017 Fellowships in the humanities for scholars in the former Soviet Union						
10/12/00	1065000 00	0 00	832067 00	444650 00	0 00	387417 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 B3 American Enterprise Institute for Public Policy Research 1150 17th Street, NW Washington, DC 20036 Form a bipartisan commission to consider ways to ensure the continuity of government after a terrorist attack						
09/12/02	0 00	50000 00	0 00	0 00	0 00	50000 00
B0074 00 American Folk Art Museum 1414 Avenue of the Americas 7th Floor New York, NY 10019 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2001 85 American Historical Association 400 A Street, SE Washington, DC 20003 Feasibility study to develop a nationalhistory center						
09/14/01	50000 00	0 00	50000 00	50000 00	0 00	0 00
B7111 00 American Historical Association 400 A Street, SE Washington, DC 20003 Study of graduate training in history						
06/08/00	346300 00	0 00	214900 00	160200 00	0 00	54700 00
B7441 00 American Humanics, Inc 4601 Madison Avenue Kansas City, MO 64112 Strengthening a national network of higher education institutions and nonprofitorganizations to prepare and certify und						
04/11/02	0 00	85000 00	0 00	0 00	0 00	85000 00
B7259 00 American International Health Alliance, Inc 1212 New York Avenue, NW Suite 750 Washington, DC 20005 Study of social cohesion in Russia						
04/12/01	249500 00	0 00	132545 00	132545 00	0 00	0 00
B7477 00 American Library Association 50 East Huron Street Chicago, IL 60611-2795 Model project to strengthen academic libraries in the South Caucasus						
06/13/02	0 00	125000 00	0 00	90250 00	0 00	34750 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0005 00 American Museum of the Moving Image 36 01 35th Avenue Astoria, NY 11106 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0006 00 American Music Center, Inc 30 West 26th Street Suite 1001 New York, NY 10010 2011 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 71 American Physical Society One Physics Ellipse College Park, MD 20740 Production and dissemination of a studyof the science and technology of boost-phase systems for national missile defens						
06/04/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0007 00 American Place Theatre, Inc 111 West 46th Street New York, NY 10036 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7190 00 American Youth Policy Forum 1836 Jefferson Place, NW Washington, DC 200036 Public education activities to improve policies in urban secondary education						
10/12/00	400000 00	0 00	238200 00	132076 00	0 00	106124 00
B0008 00 Amigos Del Museo Del Barrio 1230 Fifth Avenue New York, NY 10029 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7295 00 Amy Stuart Wells 1034 17th Street, No 111 Santa Monica, CA 90403 Research project titled, In Search of Uncommon Schools Charter School Reform in Historical Perspective						
04/12/01	56967 00	0 00	28967 00	28967 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0009 00 Anthology Film Archives 32 Second Avenue New York, NY 10003 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7164 00 Appleseed Foundation, Inc 910 17th Street, NW Suite 315 Washington, DC Appleseed Electoral Reform Projects						
06/08/00	150000 00	0 00	41667 00	0 00	0 00	41667 00
B0011 00 Artists Space, Inc 38 Greene Street 3rd Floor New York, NY 10013 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0012 00 Arts Connection, Inc 120 West 46th Street New York, NY 10036 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0010 00 Arts in General, Inc 79 Walker Street New York, NY 10013 3523 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0013 00 Asian American Arts Alliance 74 Varick Street Suite 302 New York, NY 10013 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7152 00 Aspen Institute Berlin Inselstrasse 10 Berlin D-141 29 GERMANY German-American-Russian policy dialogue						
06/08/00	400700 00	0 00	170670 00	170670 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7139 00 Aspen Institute, Inc One Dupont Circle, NW Suite 700 Washington, DC 20036 Aspen Strategy Group						
06/08/00	300000 00	0 00	125000 00	125000 00	0 00	0 00
B7224 00 Aspen Institute, Inc One Dupont Circle Suite 700 Washington, DC 20036-1193 Support of the urban high school reformproject						
02/01/01	466500 00	0 00	200059 00	0 00	0 00	200059 00
B7352 00 Association of American College and Universities 1818 R Street, NW Washington, DC 20009-1604 Development, dissemination, and institutionalization of practices designed to strengthen liberal arts education						
10/11/01	0 00	726900 00	0 00	726900 00	0 00	0 00
B2002 90 Association of American Colleges and Universities 1818 R Street, NW Washington, DC 20009-1604 Campaign to engage the public in discussion of the goals and practices of liberal education						
07/17/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7416 00 Atlanta Fulton Library System One Margaret Mitchell Square Athens, GA 30303-1089 One time funding to expand the international book collection						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 07 Atlantic Council of the United States, Inc 910 17th Street, NW Suite 1000 Washington, DC 20006 Convening leaders from the US Congress,German Bundestag, and the British Parliament to examine issues related to changi						
10/26/01	0 00	24500 00	0 00	24500 00	0 00	0 00
B2002 09 Atlantic Council of the United States, Inc 910 17 Street, NW Suite 1000 Washington, DC 20006 Project on Russia's integration with the West						
11/07/01	0 00	49900 00	0 00	49900 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0014 00 Atlantic Theater Company 336 West 20th Street New York, NY 10011 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 39 Australian National University Canberra ACT 2601 Australia Research and writing on internal conflict and conflict management in the Asian-Pacific region						
03/08/02	0 00	24900 00	0 00	24900 00	0 00	0 00
B0015 00 Ballet Hispanico of New York 167 West 89th Street New York, NY 10024 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0016 00 Ballet Tech Foundation, Inc 890 Broadway New York, NY 10003 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7235 00 Bard College Annadale Road P O Box 5000 Annadale-on-Hudson, NY 12504 Faculty and curriculum development at Smolny College in Russia						
02/01/01	300000 00	0 00	124583 00	124583 00	0 00	0 00
B7459 00 Bard College P O Box 5000 Annadale on Hudson, NY 12504 Support of the Bard High School Early College model for urban public education in the liberal arts						
06/13/02	0 00	300000 00	0 00	187500 00	0 00	112500 00
B0017 00 Bargemusic Ltd Fulton Ferry Landing Brooklyn, NY 11201 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7285 00 Benjamin Highton One Shields Avenue Davis, CA 95616-8682 Research project titled, African American Representation in the US Congress						
04/12/01	100000 00	0 00	60000 00	60000 00	0 00	0 00
B7104 00 Beverly Mack University of Kansas 104 Lippincott Lawrence, KS 66045 Research project titled Pre-Eighteenth Century Muslim Women's Scholarship and Social Activism in West and North Africa						
04/13/00	98600 00	0 00	98600.00	24650 00	0 00	73950 00
B7298 00 Board of Control for Southern Regional Education 592 Tenth Street, NW Atlanta, GA 30318-5790 Strengthening the implementation of a comprehensive school reform design for high schools in urban districts						
06/14/01	900000 00	0 00	621118 00	316451 00	0 00	304667 00
B0018 00 Borough of Manhattan Community College Performing Arts Center, Inc 199 Chambers Street #S110C New York, NY 10007 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2001 58 Boston University 145 Bay State Road Boston, MA 02215 Conference on the challenges to the existing political structure of the world						
06/27/01	25000 00	0 00	25000 00	25000 00	0 00	0 00
B2002 37 Boston University Boston, MA 02215 WBUR Group radio stations to support programming on Islam and foreign policy						
03/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 42 Boston University Boston, MA 02215 International conference on education in the twenty first century						
03/14/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 A9 Botswana Institute for Development Policy Analysis Private Bag BR 29 Gaborone Botswana Memoir on the contributions of Sir Ketumile Masire to nation-building in Africa						
09/12/02	0 00	50000 00	0 00	0 00	0 00	50000 00
B0019 00 Boys Choir of Harlem, Inc 2005 Madison Avenue New York, NY 10035 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 70 Brandeis University Waltham, MA 02454 Andrei Sakharov Archives and Human Rights Center						
06/04/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7293 00 Brian Taylor 455 W Lindsey Room 205 Norman, OK 73019 2001 Research project titled, State Power and Russia's Regions						
04/12/01	100000 00	0 00	100000 00	50000 00	0 00	50000 00
B7147 00 British American Security Information Council 1900 L Street, NW Suite 401-3 Washington, DC 20036 Advancing the research agenda of the organization						
06/08/00	150000 00	0 00	62500 00	62500 00	0 00	0 00
B0020 00 Bronx Council on the Arts, Inc 1738 Hone Avenue Bronx, NY 10461 1486 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0021 00 Bronx County Historical Society 3309 Bainbridge Avenue Bronx, NY 10467 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0022 00 Bronx Museum of the Arts 1040 Grand Concourse Bronx, NY 10456-3999						
Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7140 00 Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036-2188						
Research and dissemination on U S intervention policy in self-determination disputes						
06/08/00	155000 00	0 00	90800 00	0 00	90800 00	0 00
B7478 00 Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036						
Foreign Policy Studies program						
06/13/02	0 00	800000 00	0 00	498875 00	0 00	301125 00
B0023 00 Brooklyn Academy of Music, Inc 30 Lafayette Avenue Brooklyn, NY 11217						
Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0024 00 Brooklyn Arts Council, Inc 195 Cadman Plaza West Brooklyn, NY 11201						
Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0025 00 Brooklyn Botanic Garden Corp 1000 Washington Avenue Brooklyn, NY 11225 1099						
Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0026 00 Brooklyn Children's Museum 145 Brooklyn Avenue Brooklyn, NY 11213						
Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0027 00 Brooklyn Conservatory of Music 58 Seventh Avenue Brooklyn, NY 11217-3608 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0028 00 Brooklyn Historical Society 45 Main Street Room 617 Brooklyn, NY 11201 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7417 00 Brooklyn Library Foundation, Inc Grand Army Plaza Brooklyn, NY 11238-5619 One time funding to expand the book collections for children and young adults						
02/07/02	0 00	300000 00	0 00	300000 00	0 00	0 00
B0029 00 Brooklyn Philharmonic Symphony Orchestra, Inc One Hanson Place Suite 1806 Brooklyn, NY 11243 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0030 00 Brooklyn Youth Chorus, Inc 138A Court Street Brooklyn, NY 11201-6209 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 12 Brown University Office of the President 1 Prospect Street, Campus Box 1860 Providence, RI 02912 Development and distribution of curriculum resources about the issues surrounding the September 11 attacks for high scho						
11/21/01	0 00	48800 00	0 00	30883 00	0 00	17917 00
B7399 00 Brown University Providence, RI 02912 Joint project with the Russian Institute of Ethnology and Anthropology on Russia's first census						
02/07/02	0 00	343900 00	0 00	205993 00	0 00	137907 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7442 00 Brown University Providence, RI 02912						
Campus Compact's national initiative toencourage higher education institutionsto integrate civic engagement education						
04/11/02	0 00	900000 00	0 00	350000 00	0 00	550000 00
B7254 00 Campaign for Fiscal Equity, Inc 6 East 43rd Street 19th Floor New York, NY 10017						
Project to research and promote strategies that link educational litigation, civic engagement, and high academic standar						
04/12/01	300000 00	0 00	125000 00	125000 00	0 00	0 00
B6875 02 Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022						
Matching gift program						
03/01/02	0 00	312782 00	0 00	312782 00	0 00	0 00
B7237 00 Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, DC 20036						
Project on nonproliferation						
02/01/01	500000 00	0 00	208333 00	208333 00	0 00	0 00
B7238 00 Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, DC 20036						
Carnegie Moscow Center						
02/01/01	900000 00	0 00	375000 00	375000 00	0 00	0 00
B2002 83 Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, DC 20036						
Project to promote positive political change in regions of conflict						
07/12/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7406 00 Carnegie Foundation for the Advancement of Teaching 555 Middlefield Road Menlo Park, CA 94025						
Project ot identify, assess, and distribute exemplary college level courses andprograms that educate undergraduate stud						
02/07/02	0 00	300000 00	0 00	115833 00	0 00	184167 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7448 00 Carol Lancaster Georgetown University School of Foreign Service Washington, DC 20057 Fifty Years of Foreign Aid An Analytical History						
04/11/02	0 00	75750 00	0 00	18937 50	0 00	56812 50
B7094 00 Caroline Hoxby Cambridge, MA 02138 Research project titled Ideal Vouchers,Ideal Charter School Tuition Price Mechanisms That Solve Problems Potentially C						
04/13/00	49300 00	0 00	12325 00	12325 00	0 00	0 00
B0031 00 Castillo Cultural Center, Inc 500 Greenwich Street, Suite 201 New York, NY 10013 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 81 Catholic Legal Immigration Network, Inc McCormick Pavilion 415 Michigan Avenue, NE Washington, DC 20017 Pilot project to improve naturalizationservices and civic integration in immigrant communities on the border between US						
07/12/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 A8 CEC International Partners, Inc 12 West 31st Street Suite 400 New York, NY 10001-4415 Research and a publication on Russia						
09/11/02	0 00	25000 00	0 00	0 00	0 00	25000 00
B0032 00 Center for Arts Education, Inc 225 West 34th Street Suite 701 New York, NY 10122 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7300 00 Center for Collaborative Education, Metro Boston, Inc One Renaissance Park 1135 Tremont Street, Suite 940 Boston, MA 02120 Enhance the effectiveness and sustainability of the "Turning Points" model for comprehensive middle school reform						
06/14/01	900000 00	0 00	550000 00	300000 00	0 00	250000 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7433 00 Center for Cultural and Technical Interchange Between East and West, Inc East West Center 1601 East West Road Honolulu, HI 96848-1601 Research and dissemination on national identity, Islam and internal conflicts in Asia						
04/11/02	0 00	350000 00	0 00	200750 00	0 00	149250 00
B7239 00 Center for Defense Information, Inc 1779 Massachusetts Avenue, NW Suite 615 Washington, DC 20036 Organization's institutional and program development						
02/01/01	500000 00	0 00	208333 00	208333 00	0 00	0 00
B7368 00 Center for Defense Information, Inc 1779 Massachusetts Avenue, NW Suite 615 Washington, DC 20036 Electronic news services on contemporary Russia						
10/11/01	0 00	301900 00	0 00	187567 00	0 00	114333 00
B2002 82 Center for Defense Information, Inc 1779 Massachusetts Avenue, NW Suite 615 Washington, DC 20036 *Electronic news services on contemporary Russia*						
07/12/02	0 00	25000 00	0 00	0 00	0 00	25000 00
B7407 00 Center for Governmental Studies, Inc 10951 West Pico Boulevard Suite 120 Los Angeles, CA 90064 Capaign finance and media reform activities						
02/07/02	0 00	400000 00	0 00	233333 00	0 00	166667 00
B2002 A0 Center for International Policy 1717 Massachusetts Avenue, NW Suite 801 Washington, DC 20036 Joint project with the University of Chicago on U S -Korea policy						
08/29/02	0 00	12000 00	0 00	12000 00	0 00	0 00
B7240 00 Center for Media and Security, LTD 358 Saw Mill River Road Millwood, NY 10546 Forums for journalists on defense and international security						
02/01/01	102200 00	0 00	43125 00	43125 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7009 00 Center for National Independence in Politics (Project Vote Smart) One Common Ground Philipsburg, MT 59858 Support						
10/14/99	300000 00	0 00	50000 00	50000 00	0 00	0 00
B7375 00 Center for National Independence in Politics (Project Vote Smart) One Common Ground Philipsburg, MT 59858 Updating its web site and fundraising technologies						
10/11/01	0 00	150000 00	0 00	150000 00	0 00	0 00
B2001 74 Center for National Policy One Massachusetts Avenue, NW Suite 333 Washington, DC 20001 Dialogue between North Korean ministry officials and researchers and US policy makers on arms control and US-Korean rela						
08/17/01	25000 00	0 00	25000 00	0 00	0 00	25000 00
B7434 00 Center for Policy Studies in Russia 177 Webster Street Suite 263 Monterey, CA 93940 Research, training and dissemination toreduce the threat of nuclear proliferation in Russia						
04/11/02	0 00	220000 00	0 00	128333 00	0 00	91667 00
B7408 00 Center for Public Integrity 910 17th Street, NW 7th Floor Washington, DC 20006 Government accountability activities						
02/07/02	0 00	400000 00	0 00	233333 00	0 00	166667 00
B7047 00 Center for Responsive Politics 1320 Nineteenth Street, NW Washington, DC 20036 Support						
02/01/00	450000 00	0 00	125000 00	125000 00	0 00	0 00
B2001 72 Center for Strategic and International Studies 1800 K Street, NW Washington DC 20006 US Korean project to assess the applicability of new arms control measures to the Korean peninsula						
08/10/01	25000 00	0 00	25000 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7220 00 Center for Strategic and International Studies Inc 1800 K Street, NW Suite 400 Washington, DC 20006 Research and dissemination on the positive example of Moorish Spain to assist the development of Israeli Palestinian com						
10/12/00	239000 00	0 00	65832 00	65832 00	0 00	0 00
B7307 00 Center for Strategic and International Studies, Inc 1800 K Street, NW Washington, DC 20006 Research and dissemination on motives for nuclear weapons acquistions						
06/14/01	350000 00	0 00	152333 00	152333 00	0 00	0 00
B7308 00 Center for Strategic and International Studies 1800 K St , NW Washington, DC 20006 (grant transferred from CFR) Program on new approaches to Russian security						
06/14/01	680000 00	0 00	270067 00	270067 00	0 00	0 00
B2002 46 Center for Strategic and International Studies 1800 K Street, NW Washington, DC 2006 Study of US North Korean relations in the 1990s						
03/27/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7480 00 Center for Strategic and International Studies, Inc 1800 K Street, NW Washington, DC 20006 Russia and Eurasia Program						
06/13/02	0 00	225000 00	0 00	225000 00	0 00	0 00
B2002 A7 Center for the Study of the Presidency 1020 Nineteenth Street, NW Suite 250 Washington, DC 20036 Project to improve global communications about American culture, values, and system of goverance						
09/10/02	0 00	50000 00	0 00	0 00	0 00	50000 00
B0033 00 Center for traditional Music and Dance, Inc 200 Church Street Room 303 New York, NY 10113 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0034 00 Chamber Music America, Inc 305 Seventh Avenue New York, NY 10001-6008 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7357 00 Child Centered Schools Initiative of Houston Houston Annenberg Challenge First City Tower, 1001 Fannin Street Houston, TX 77002-6709 Implementation of district wide reform in secondary school education						
10/11/01	0 00	12000000 00	0 00	3387000 00	0 00	8613000 00
B0035 00 Children's Museum of Manhattan The Tisch Building 212 West 83rd Street New York, NY 10024 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 A4 Chronicles Group, Inc 1874 S Pacific Coast Highway #725 Redondo Beach, CA 90277 Educational video on the global water crisis						
09/06/02	0 00	25000 00	0 00	0 00	0 00	25000 00
B0036 00 Circle in the Square Theatre School, Inc 1633 Broadway New York, NY 10019-6795 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0037 00 City Center 130 West 56th Street New York, NY 10019-3803 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0038 00 City Lore, Inc 72 East 1st Street New York, NY 10003 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 36 City University of New York Graduate School and University Center 30 West Broadway, 11th Floor New York, NY 10007 Planning public education and outreach activities commemorating the accomplishments of Ralph Binche on the 100th anniver						
03/06/02	0 00	40000 00	0 00	40000 00	0 00	0 00
B7481 00 Civic Education Project, Inc 1717 Massachusetts Avenue, NW Suite 506 Washington, DC 20036-2001 Support of the Local Faculty FellowshipProgram in Russia and Ukraine						
06/13/02	0 00	400000 00	0 00	247656 00	0 00	152344 00
B7358 00 Clark University 950 Main Street Worcester, MA 01610-1477 Implementation of district-wide reform in secondary school education						
10/11/01	0 00	8000000 00	0 00	1867000 00	0 00	6133000 00
B7060 00 Classroom, Inc 245 Fifth Avenue 20th Floor New York, NY 10016 Comprehensive approach to improve teaching and learning in eight urban school districts						
04/13/00	650000 00	0 00	200000 00	0 00	0 00	200000 00
B7274 00 Colby College 5307 Mayflower Hill Waterville, ME 04901 Study on the effects of highly competitive congressional races on voter engagement						
04/12/01	250000 00	0 00	106563 00	85000 00	0 00	21563 00
B7142 00 Columbia University 202 Low Library Mail Code 4309, MS 4-2825 New York, NY 10027 Research and dissemination on the effectiveness of peacekeeping interventions in self determination disputes						
06/08/00	171300 00	0 00	104800 00	104800 00	0 00	0 00
B7260 00 Columbia University 116th Street and Broadway New York, NY 10027 Research and writing on Russian foreign policy						
04/12/01	94300 00	0 00	94300 00	18500 00	0 00	75800 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 35 Columbia University 116th Street and Broadway New York, NY 10027 Study of the role of the United Nationsin counterterrorism						
03/06/02	0 00	49300 00	0 00	49300 00	0 00	0 00
B7482 00 Columbia University 116th Street and Broadway New York, NY 10027 Comparative research and analysis and aconference on ethnic regional autonomy						
06/13/02	0 00	350000 00	0 00	263081 00	0 00	86919 00
B7107 00 *Committee for Economic Development* 477 Madison Avenue New York, NY 10022 Research and publications on early childhood education financing						
06/08/00	228000 00	0 00	95000 00	95000 00	0 00	0 00
B7376 00 Committee for Economic Development 477 Madison Avenue New York, NY 10022 Outreach and public education within the business community on campaign financereform, including judicial elections						
10/11/01	0 00	350000 00	0 00	204167 00	0 00	145833 00
B2001 78 Committee for the Study of the AmericanElectorate 421 New Jersey Avenue, SE Washington, DC 20003 Study of the potential Constitutional barriers to regulating political advertising						
08/29/01	13000 00	0 00	13000 00	13000 00	0 00	0 00
B7275 00 Commom Cause Education Fund 1250 Connecticut Avenue, NW Washington, DC 20036 Support						
04/12/01	300000 00	0 00	125000 00	125000 00	0 00	0 00
B2002 40 Communitarian Network 2130 H Street, NW, Suite 703 Washington DC 20052 Project to convene international scholars and policy leaders to discuss how democractic states maintain their identity						
03/08/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 93 Community Resource Exchange, Inc 39 Broadway, 10th Floor New York, NY 10006 Longitudinal study to assess the effectiveness of capacity building nonprofit organizations						
08/01/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0039 00 Concert Artists Guild, Inc 850 Seventh Avenue Suite 1205 New York, NY 10019 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7201 00 Conflict Management Group 9 Waterhouse Street Cambridge, MA 02138 Project on strengthening human capital in the former Soviet Union						
10/12/00	500000 00	0 00	208000 00	208000 00	0 00	0 00
B7483 00 Conflict Management Group The Roger Fisher House 9 Waterhouse Street Cambridge, MA 02138 Project on strengthening human capital in the former Soviet Union						
06/13/02	0 00	500000 00	0 00	0 00	0 00	500000 00
B7202 00 Council for a Livable World Education Fund 110 Maryland Avenue, NE Suite 201 Washington, DC 20002 Research and writing on nonproliferation issues in South and East Asia						
10/12/00	250000 00	0 00	115000 00	115000 00	0 00	0 00
B7301 00 Council for Aid to Education 342 Madison Avenue Suite 1532 New York, NY 10173 Feasibility study on the development ofan assessment system to measure the "value added" of a liberal arts education						
06/14/01	350000.00	0 00	116667 00	116667 00	0 00	0 00
B7066 00 Council for Basic Education 1319 F Street Suite 900 Washington, DC 20004-1152 Support of the Standards-based Teacher Education Project						
04/13/00	450000 00	0 00	129220 00	129220 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7377 00 Council for Excellence in Government 1301 K Street, NW Suite 450 West Washington, DC 20005 Develop a communication strategy to engage citizens, especially young Americans, in government and democracy						
10/11/01	0 00	300000 00	0 00	300000 00	0 00	0 00
B7359 00 Council of Chief State School Officers, Inc One Massachusetts Avenue, NW Suite 700 Washington, DC 20001 1431 Strengthening the capacity of state education agencies and districts to improveeducational services for English-languag						
10/11/01	0 00	398700 00	0 00	289150 00	0 00	109550 00
B7435 00 Council on Foreign Relations, Inc 58 East 68th Street New York, NY 10021 Support of the international security studies program						
04/11/02	0 00	900000 00	0 00	525000 00	0 00	375000 00
B7495 00 Council on Foundation, Inc 1828 L Street, NW Washington, DC 20036-5168 Membership support in 2002, 2003 and 2004						
06/13/02	0 00	133800 00	0 00	52033 00	0 00	81767 00
B0040 00 Council on the Arts & Humanities for Staten Island 1000 Richmond Terrace Staten Island, NY 10301 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0041 00 Creative Time, Inc 307 Seventh Avenue Suite 1904 New York, NY 10001 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7121 00 Cross City Campaign for Urban School Reform 407 Dearborn Street Suite 1500 Chicago, IL 60605 Preparation and dissemination of case studies on school district restructuring in three cities						
06/08/00	375000 00	0 00	104200 00	104200 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0043 00 Dance Theater Workshop, Inc 219 West 19th Street New York, NY 10011-4079 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0042 00 Dance Theatre of Harlem, Inc 466 West 152nd Street New York, NY 10031-1896 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0044 00 Dancing in the Streets, Inc 55 Avenue of the Americas Suite 310 New York, NY 10013 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7290 00 Dani Rodrik 79 John F Kennedy Street Cambridge, MA 02138 Research project titled, Institutions, Integration, and Geography The Analytics and Empirics of Int'l Development						
04/12/01	100000 00	0 00	75000 00	25000 00	0 00	50000 00
B7446 00 David Edwards Williams College Williamstown, MA 01267 Civil Society and Terrorism in Afghanistan						
04/11/02	0 00	98035 00	0 00	0 00	0 00	98035 00
B7249 00 Democracy 21 Education Fund 1825 I Street, NW Suite 400 Washington, DC 20006 Support						
02/01/01	150000 00	0 00	62500 00	62500 00	0 00	0 00
B7444 00 Democracy 21 Education Fund 1825 I Street, NW Suite 400 Washington, DC 20006 Public outreach and dissemination of its study on strengthening the Federal Election Commission						
04/11/02	0 00	150000 00	0 00	150000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7409 00 Democracy South 105 West Main Street Carrboro, NC 27510 Campaign finance and voting reform activities						
02/07/02	0 00	300000 00	0 00	175000 00	0 00	125000 00
B0045 00 Dia Center for the Arts, Inc 542 West 22nd Street New York, NY 10011 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7281 00 Diane Davis 166 Arborway Jamaica Plain, MA 02130 Research project titled, Public vs Private Security Forces and the The Rule ofLaw The Transformation of Policing in S						
04/12/01	99100 00	0 00	49550 00	49550 00	0 00	0 00
B2002 47 Dillard University 2601 Gentilly Boulevard New Orleans, LA 70122 Enhancing liberal arts education acrossdiverse institutions						
03/28/02	0 00	12500 00	0 00	12500 00	0 00	0 00
B7425 00 Dilliard University 2601 Gentilly Boulevard New Orleans, LA 70122 Enhancing liberal arts education acrossdiverse institutions						
04/11/02	0 00	537800 00	0 00	537800 00	0 00	0 00
B7286 00 Donald Horowitz Box 90360 Durham, NC 27705-5829 Research project titled, ConstitutionalDesign for Severely Divided Societies						
04/12/01	100000 00	0 00	50000 00	50000 00	0 00	0 00
B2002 67 Duke University 207 Allen Building Box 90001 Durham, NC 27708-0001 Research and dissemination on managing the policy challenges of U S interventions in foreign countries						
05/31/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 80 Education Commission of the States 700 Broadway, Suite 1200 Denver, CO 80203-3460 Development of an organizational and strategic plan to promote comprehensive approaches to civic education						
07/01/02	0 00	46700 00	0 00	46700 00	0 00	0 00
B7390 00 Education Development Center, Inc 55 Chapel Street Newton, MA 02158 1060 Evaluation of the uses of student assessment and accountability data to improvestudent learning						
02/07/02	0 00	493500 00	0 00	283907 00	0 00	209593 00
B7225 00 Education Trust, Inc 1725 K Street, NW Suite 200 Washington, DC 20006 Research and dissemination on the achievement and opportunity gaps in American education						
02/01/01	1000000 00	0 00	556161 00	376989 00	0 00	179172 00
B2002 43 Educational Broadcasting Corporation Thirteen/WNET New York 450 West 33rd Street New York, NY 10001 Channel Thirteen/WNET New York's expenses related to restoration of transmission and broadcast capabilities, website enh						
03/14/02	0 00	500000 00	0 00	500000 00	0 00	0 00
B2002 59 Educational Leadership Program, Inc 1060 Park Avenue Suite 1C New York, NY 10128 Support for including community collegeleaders in the Educational Leadership Program seminars						
04/12/02	0 00	49700 00	0 00	49700 00	0 00	0 00
B7373 00 Educational Netcasting Foundation, Inc Barker Center 12 Quincy Center Cambridge, MA 02138-3879 Dissemination of the Encarta Africana Encyclopedia to selected rural, public, and university libraries in the US, Botswa						
10/11/01	0 00	165000 00	0 00	165000 00	0 00	0 00
B7378 00 eGrants org P O Box 29256 San Francisco, CA 94129-0256 Development of an online donation processing and training service for nonprofitorganizations						
10/11/01	0 00	175000 00	0 00	175000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7261 00 Emory University 1784 N Decatur Road Suite 510 Atlanta, GA 30322 Research and dissemination on the role and influence of Russia's Federation Council						
04/12/01	200300 00	0 00	90350 00	90350 00	0 00	0 00
B0046 00 Ensemble Studio Theatre, Inc 549 West 52nd Street New York, NY 10019 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7447 00 Erin Jenne Central European University Nador u 9, H 1051 Budapest, Hungary Europe's Long Struggle with Ethnic Conflict From the League of Nations to the European Union						
04/11/02	0 00	81893 00	0 00	10000 00	0 00	71893 00
B7241 00 Eurasia Foundation Inc 1350 Connecticut Avenue, NW Washington, DC 20036 Support for the Economics Education andResearch Consortium						
02/01/01	500000 00	0 00	208333 00	208333 00	0 00	0 00
B7309 00 *Eurasia Foundation, Inc* 1350 Connecticut Avenue, NW Suite 1000 Washington, DC 20036 Interdisciplinary research and trainingcenters in the Caucasus						
06/14/01	560000 00	0 00	560000 00	335709 00	0 00	224291 00
B2001 87 Federation of American Scientists Fund 1717 K Street, NW Suite 209 Washington, DC 20036 Dissemination and promotion of a reporton public interest needs in the age ofdigital communications						
09/14/01	50000 00	0 00	50000 00	50000 00	0 00	0 00
B7400 00 Federation of American Scientists Fund 1717 K Street, NW Suite 209 Washington, DC 20036 Working Group on Biological Weapons Verification treaty negotiation work and Bioscientists Education Project						
02/07/02	0 00	212000 00	0 00	124842 00	0 00	87158 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 66 Federation of American Scientists Fund 1717 K Street, Suite 209 Washington, DC 20036 Public-private consortium to advance research and development in learning and technology at the post-secondary level						
05/31/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 97 Federation of American Scientists Fund 1717 K Street, NW, Suite 209 Washington, DC 20036 Dissemination and public education related to a report on public interest obligations in the digital age						
08/20/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 20 Film Arts Foundation 346 Ninth Street Second Floor San Francisco, CA 94103 Outreach and promotion of the documentary film, Accidental Hero Room 408, about an inspiring public school teacher						
01/08/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0047 00 Film/Video Arts, Inc 462 Broadway Suite 520 New York, NY 10013 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7191 00 Finance Project 1000 Vermont Avenue, NW Suite 600 Washington, DC 20005 Publications and technical assistance materials for policymakers to promote young children's school readiness						
10/12/00	311400 00	0 00	71140 00	0 00	0 00	71140 00
B7262 00 Financial Services Volunteer Corps Inc 10 East 53rd Street 24th Floor New York, NY 10022 Research and dissemination on the international integration of Russia's security, economic and banking institutions						
04/12/01	301400 00	0 00	125580 00	125580 00	0 00	0 00
B7379 00 First Nations Development Institute The Stores Building 11917 Main Street Fredricksburg, VA 22408 Organizational capacity building programs and training that will strengthen thenonprofit sector in rural Native America						
10/11/01	0 00	500000 00	0 00	291667 00	0 00	208333 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0048 00 Flushing Council on Culture and the Arts, Inc 137 35 Northern Blvd Flushing, NY 11354 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7391 00 Forum for Higher Education Futures 238 Main Street, Suite 201 Cambridge, MA 02142 One time funding of research and dissemination on technology and liberal learning						
02/07/02	0 00	160200 00	0 00	45433 00	0 00	114767 00
B7181 00 Foundation Center 79 Fifth Avenue New York, NY 10003-3076 Support						
06/08/00	225000 00	0 00	62500 00	62500 00	0 00	0 00
B2002 15 Foundation of America Youth in Action 43 D Malaga Cove Plaza Palos Verdes Estates, CA 90274 Distribution of a how to guide for youth-led community improvement projects						
12/11/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B0049 00 Four Way Books PO Box 535 Village Station New York, NY 10014 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7418 00 Free Library of Philadelphia Foundation 1901 Vine Street Suite 400 Philadelphia, PA 19103-1189 One time funding to expand the fiction and non-fiction book collections for teens						
02/07/02	0 00	200000 00	0 00	116667 00	0 00	83333 00
B2002 76 Friends of the District of Columbia Youth Orchestra Program, Inc P O Box 52698 Brighton Station Washington, DC 20011 Educational and cultural tour of South Africa						
06/17/02	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7203 00 Fund for PEace, Inc 1701 K Street, NW Washington, DC 20006						
Project to examine the feasibility of regional military responses to self determination disputes from a comparative pers						
10/12/00	440100 00	0 00	188200 00	188200 00	0 00	0 00
B2002 91 Fund for Peace, Inc 1701 K Street, NW Washington, DC 20006						
Convening of Corporation grantees to discuss projects related to self-determination disputes and internal wars						
07/30/02	0 00	8000 00	0 00	0 00	0 00	8000 00
B7344 01 Gates Foundation Funds for Technical Assistance and Evaluation						
To support technical assistance and evaluation for the Schools for a New Society Initiative						
01/01/02	0 00	5000000 00	0 00	710988 06	0 00	4289011 94
B7374 00 George C Marshall Research Foundation P O Box 1600 VMI Parade Lexington, VA 24450 Publication of George C Marshall's paper						
10/11/01	0 00	250000 00	0 00	58333 00	0 00	191667 00
B7263 00 George Washington University 2001 I Street, NW Washington, DC 20052						
Joint study with the EastWest Instituteon state building in Russia						
04/12/01	185000 00	0 00	124008 00	124008 00	0 00	0 00
B2002 11 Georgetown University 204 Healy Hall, Box 571789 Washington, DC 20057-1789						
For use by its Constitution Project, toward a conference on election reform						
11/21/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B7208 00 Georgetown University(was University of Maryland Foundation) Washington, DC 20057						
Symposia in international affairs - Cost Center RX2730672						
10/12/00	225000 00	0 00	138111 00	74954 00	0 00	63157 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7282 00 Georgi Derluguian 1810 Chicago Avenue Evanston, IL 60208 1330 Research project titled, The Globalization of Mafia Enterprise From Diagnosis to Civil Society Counteraction						
04/12/01	89991 00	0 00	69991 00	40000 00	0 00	29991 00
B2002 A2 Georgia Tech Foundation Inc Atlanta, GA 30332-0182 Meeting on security issues in NortheastAsia						
08/30/02	0 00	25000 00	0 00	0 00	0 00	25000 00
B2001 61 Georgia Tech Foundation, Inc Atlanta, Georgia 30332 Forum to strengthen ties between U S and Russian private and public organizations in support of the nonproliferation of						
07/18/01	25000 00	0 00	25000 00	25000 00	0 00	0 00
B7449 00 Glenn Loury Boston University 704 Commonwealth Avenue Boston, MA 02215 Color Blind Affirmative Action Assessing the Trade-off between Efficiency and Representativeness in College Admissions						
04/11/02	0 00	100000 00	0 00	25000 00	0 00	75000 00
B0056 00 H T Dance Company, Inc 70 Mulberry Street 2nd Floor New York, NY 10013 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0050 00 Harlem School of the Arts 645 St Nicholas Avenue New York, NY 10030 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0051 00 Harlem Textile Works 6 Edgecombe Avenue Room 106 New York, NY 10030 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7108 00 Harvard University Cambridge, MA 02138						
Professional development initiative to strengthen the teaching of reading in preschools and elementary schools						
06/08/00	900000 00	0 00	448665 00	448665 00	0 00	0 00
B7148 00 Harvard University Cambridge, MA 02138						
Research and writing on international security by William J Perry and Ashton B Carter						
06/08/00	200000 00	0 00	83000 00	83000 00	0 00	0 00
B7154 00 Harvard University Cambridge, MA 02138						
Black Sea Security Program						
06/08/00	464900 00	0 00	197545 00	197545 00	0 00	0 00
B7155 00 Harvard University Cambridge, MA 02138						
Program with Russian military personneland policymakers on foreign and security policies						
06/08/00	1160000 00	0 00	483000 00	0 00	0 00	483000 00
B7205 00 Harvard University Cambridge, MA 02138						
Project to promote dialogue between themilitary and human rights communities about the means of external intervention i						
10/12/00	334700 00	0 00	175035 00	175035 00	0 00	0 00
B7264 00 Harvard University Cambridge, MA 02138						
Fellowships at the Belfer Center for Science and International Affairs on International Security						
04/12/01	500000 00	0 00	208333 00	208333 00	0 00	0 00
B7401 00 Harvard University Cambridge, MA 02138						
Harvard/Sussex program to develop a newinternational treaty that would criminalize the development, production, or use						
02/07/02	0 00	134000 00	0 00	77333 00	0 00	56667 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 32 Harvard University Cambridge, MA 02138						
Dissemination of a paper on women's participation in post-Taliban Afghanistan based on Afghan culture and the teachings						
03/05/02	0 00	20000 00	0 00	20000 00	0 00	0 00
B7426 00 Harvard University Cambridge, MA 02138						
Joint project with the National Governors' Association to improve the educationof teachers of reading at the state leve						
04/11/02	0 00	567600 00	0 00	389624 00	0 00	177976 00
B7487 00 Harvard University Holyoke Center, Suite 727 1350 Massachusetts Avenue Cambridge, MA 02138						
Executive programs for Russian militaryofficers and policymakers from Russia and the Black Sea region						
06/13/02	0 00	1030000 00	0 00	451471 00	0 00	578529 00
B7461 00 Harvard University Cambridge, MA 02138						
Research and dissemination on the interrelatedness of dropout rates, increased accountability and the demographics of ur						
06/13/02	0 00	421300 00	0 00	255784 00	0 00	165516 00
B7486 00 Harvard University Holyoke Center, Suite 727 1350 Massachusetts Avenue Cambridge, MA 02138						
Research and writing on international security by William J Perry and Ashton B Carter						
06/13/02	0 00	250000 00	0 00	0 00	0 00	250000 00
B7288 00 Heinrich Mintrop 3335 Moore Hall Los Angeles, CA 90095						
Research project titled, School Accountability in the US and Germany Learning from Common Challenges and Different Path						
04/12/01	99845 00	0 00	99845 00	50000 00	0 00	49845 00
B2002 28 Henry L Stimson Center 11 Dupont Circle, NW 9th Floor Washington, DC 20036						
Project to educate policy makers on security issues in the 21st century						
01/24/02	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7402 00 Henry L Stimson Center 11 Dupont Circle, NW 9th Floor Washington, DC 20036 Research, analysis and dissemination onchemical and biological weapons proliferation, regional security in South Asia						
02/07/02	0 00	700000 00	0 00	407490 00	0 00	292510 00
B0052 00 High 5 Tickets to the Arts 1 East 53rd Street 5th Floor New York, NY 10022 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0053 00 Historic House Trust of New York City, Inc The Arsenal, Room 203 Central Park New York, NY 10021 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0054 00 Home for Contemporary Theatre and ArtDBA HERE 145 Avenue of the Americas New York, NY 10013-1548 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0055 00 Hospital Audiences, Inc 548 Broadway 3rd Floor New York, NY 10012-3950 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 75 Human Rights Watch, Inc 350 Fifth Avenue, 34th Floor New York, NY 10118 3299 Project to monitor human rights issues related to post-September 11 antiterrorism campaigns and the war in Afghanistan						
06/12/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7455 00 Ian Roxborough State University of New York, Stony Broo k Stony Brook, NY 11794-4356 Diagnosing New Dangers A Sociology of Military Strategy and Threat Assessment						
04/11/02	0 00	99318 00	0 00	0 00	0 00	99318 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7101 00 Ian Shapiro P O Box 208301 New Haven, CT 06520-8301 Research project titled Democracy and Distribution in the United States						
04/13/00	99900 00	0 00	35000 00	35000 00	0 00	0 00
B7410 00 Independent Production Fund, Inc 45 West 45th Street, Suite 808 New York, NY 10036 Outreach and public education for a public television documentary on Muslims worldwide						
02/07/02	0 00	250000 00	0 00	250000 00	0 00	0 00
B2001 36 Independent Sector 1200 18th Street, NW Suite 200 Washington, DC 20036 Membership support in 2001 and 2002						
03/16/01	20400 00	0 00	10200 00	10200 00	0 00	0 00
B7277 00 Independent Sector 1200 18th Street, NW Suite 200 Washington, DC 20036 Support for the Charity Lobbying in thePublic interest project						
04/12/01	150000 00	0 00	62348 00	0 00	0 00	62348 00
B7496 00 Independent Sector 1200 18th Street, Suite 200 Washington, DC 20036 Project to build the public policy capacity of state nonprofit associations						
06/13/02	0 00	250000 00	0 00	250000 00	0 00	0 00
B7156 00 Institute for EastWest Studies, (Inc)(EastWest Institute) 700 Broadway New York, NY 10003 Project on the security of the Black Sea region						
06/08/00	352400 00	0 00	118833 00	118833 00	0 00	0 00
B7065 00 Institute for Educational Inquiry 124 East Edgar Street Seattle, WA 98102 Project to increase membership in the National Network for Educational Renewal						
04/13/00	486900 00	0 00	236438 00	194772 00	0 00	41666 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7125 00 Institute for Educational Leadership, Inc 1001 Connecticut Avenue, NW Suite 310 Washington, DC 20036 Support of the Coalition for Community Schools						
06/08/00	300000 00	0 00	66668 00	66668 00	0 00	0 00
B7206 00 Institute for Foreign Policy Analysis,Inc 675 Massachusetts Avenue 10th Floor Cambridge, MA 02139 Research and dialogue on weapons on mass destruction on the Korean peninsula						
10/12/00	476000 00	0 00	294654 00	141784 00	0 00	152870 00
B2002 19 Institute for Responsive Education 21 Lake Hall Northeastern University Boston, MA 02115 Documentation of best practices on the role of high school family centers in assisting family members and school staff t						
01/08/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 92 Institute for Science and InternationalSecurity 236 Massachusetts Avenue, NE Suite 500 Washington, DC 20002 Nuclear nonproliferation in Russia						
07/30/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7411 00 Institute of Government Foundation, Inc University of North Carolina-Chapel Hill CB#3330 Knapp Building Chapel Hill, NC 27599-3330 North Carolina Civic Education Consortium						
02/07/02	0 00	400000 00	0 00	233333 00	0 00	166667 00
B2002 A5 Institute of International Education, Inc 809 United Nations Plaza New York, NY 10017 3580 Participation costs of international scholars and professionals in a program onsectarian, ethnic and cultural conflict						
09/10/02	0 00	25000 00	0 00	0 00	0 00	25000 00
B2002 65 Institute of Semitic Studies Inc 9 Grover Avenue Princeton, NJ 08540 Symposium on Jewish, Muslim, and Christian relations in Yemen						
05/30/02	0 00	23600 00	0 00	23600 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7144 00 Interhemispheric Resource Center Box 2178 Silver City, NM 88062 2178 Web-based research and analysis on ethnic self-determination						
06/08/00	200000 00	0 00	19744 00	0 00	0 00	19744 00
B2002 57 International Center for Innovation in Civic Participation 1776 Massachusetts Avenue Suite 201 Washington, DC 20036 National forum to stimulate public debate about the purpose and future of national and community service in the US						
04/09/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7216 00 International Council of Scientific Unions 51, Boulevard de Montmorency 75016 Paris France Public library projects conducted by the International Network for the Availability of Scientific Publications						
10/12/00	1062200 00	0 00	757978 00	403978 00	0 00	354000 00
B7369 00 International Crisis Group 149 Avenue Louise, Level 16 B-1050 Brussels Belgium Field based research and analysis of select self-determination disputes, policyrecommendations, and dissemination						
10/11/01	0 00	400000 00	0 00	233333 00	0 00	166667 00
B7394 00 International Institute for EducationalPlanning 7 9 rue Eugene Delacroix Paris 75116 France Support of the Association for the Development of Education in Africa						
02/07/02	0 00	100000 00	0 00	58333 00	0 00	41667 00
B7265 00 International Peace Academy, Inc 777 United Nations Plaza 4th Floor New York, NY 10017 3521 Research and dissemination on the United Nation' involvement with transitional governments and its impact on the evoluti						
04/12/01	271000 00	0 00	176310 00	176310 00	0 00	0 00
B0058 00 International Print Center New York 526 West 26th Street Room 824 New York, NY 10001 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7370 00 International Research and Exchanges, Inc 1616 H Street, NW 6th Floor Washington, DC 20006 Improving university administration in Russia and other post Soviet states						
10/11/01	0 00	700000 00	0 00	326500 00	0 00	373500 00
B7250 00 Investigative Reporters and Editors, Inc 138 Neff Annex UMC Journalism School Columbia, MO 62511 Training state and local news media on campaign financing issues						
02/01/01	250000 00	0 00	83333 00	83333 00	0 00	0 00
B0059 00 Irish Repertory Theatre Company, Inc 132 West 22nd Street New York, NY 10011 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0060 00 Isamu Noguchi Foundation, Inc 32 37 Vernon Blvd Long Island City, NY 11106 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0061 00 Jamaica Center for Arts and Learning, Inc 161-04 Jamaica Avenue Jamaica, NY 11432 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7287 00 James Jonah 68 10 108th Street Forest Hills, NY 11375 Research project titled, The UN in Conflict Resolution The Role of the International Civil Servant						
04/12/01	78689 00	0 00	63689 00	63689 00	0 00	0 00
B7454 00 James Robinson 2331 Mastlands Drive Oakland, CA 94611 Understanding the Institutional Determinants of Comparative Development						
04/11/02	0 00	100000 00	0 00	25000 00	0 00	75000 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7289 00 Jamie Monson Northfield, MN 55057						
Research project titled, Developing a Database and Mapping Program to Evaluate the Role Played by the Tazara Railroad in						
04/12/01	93220 00	0 00	93220 00	93220 00	0 00	0 00
B7093 00 Jay Heubert Hastings on Husdon, NY 10706						
Research project titled Promotion and Graduation Tests How Do They Affect Student Learning and Progress and How Can Pro						
04/13/00	100000 00	0 00	50000 00	50000 00	0 00	0 00
B7284 00 Jeff Faux 1660 L Street, NW Suite 1200 Washington, DC 20036 Research project titled, Toward a NorthAmerican Social Contract						
04/12/01	100000 00	0 00	100000 00	50000 00	0 00	50000 00
B7462 00 Jobs for the Future Inc 88 Broad Street, 8th Floor Boston, MA 02110						
Assessment and scale up of new models of high school learning						
06/13/02	0 00	500000 00	0 00	340925 00	0 00	159075 00
B7463 00 Jobs for the Future Inc 88 Broad Street, 8th Floor Boston, MA 02110						
Research, advocacy and coordination of the Early College national network						
06/13/02	0 00	500000 00	0 00	375000 00	0 00	125000 00
B0062 00 John A Noble Collection 1000 Richmond Terrace Staten Island, NY 10301						
Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 10 John Fitzgerald Kennedy Library Foundation, Incorporated Columbia Point Boston, MA 02125						
Series of education forums called"Responding to Terrorism"						
11/21/01	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7083 00 Johns Hopkins University 250 Garland Hall 3400 North Charles Street Baltimore, MD 21218 Project with the Global Environment andEnergy in the 21st Century on water andsecurity in South Asia						
04/13/00	400000 00	0 00	202500 00	202500 00	0 00	0 00
B7335 00 Johns Hopkins University 242 Garland Hall 3400 North Charles Street Baltimore, MD 21218 National research project that links nonprofit practitioners and scholars to improve nonprofit practice						
06/14/01	300000 00	0 00	300000 00	300000 00	0 00	0 00
B0063 00 Jose Limon Dance Foundation 611 Broadway Suite 905 New York, NY 10012 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7255 00 Jumpstart for Young Children Inc 93 Summer Street 2nd Floor Boston, MA 02110 Development of training and curriculum materials for and evaluation of a program that trains college students to work wi						
04/12/01	600000 00	0 00	366667 00	200000 00	0 00	166667 00
B0064 00 King Manor Association of Long Island, Inc 90 04 161st Street Suite 704 Jamaica, NY 11432 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0065 00 La Mama Experimental Theatre Club, Inc 74A East 4th Street New York, NY 10003 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7464 00 LaGuardia Education Fund, Incorporated 31 10 Thomson Avenue Long Island City, NY 11101 Redesign and startup of twenty new Middle College High Schools as early collegeschools						
06/13/02	0 00	500000 00	0 00	375000 00	0 00	125000 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7283 00 Laura Donohue 30 George Street Medford, MA 02155 Research project titled, Security and Freedom in the Face of Terrorism						
04/12/01	99440 00	0 00	99440 00	77220 00	0 00	22220 00
B2002 78 Lawyers Alliance for World Security, Inc 1901 Pennsylvania Avenue, NW Suite 201 Washington, DC 20006 Conference to analyze issues related tospace weaponization						
07/01/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 95 Lawyers Alliance for World Security, Inc 1901 Pennsylvania Avenue, NW Suite 201 Washington, DC 20006 Dissemination of a report on constitutionalism in Russia						
08/06/02	0 00	15000 00	0 00	15000 00	0 00	0 00
B7174 00 Lawyers Committee for Civil Rights Under Law 1401 New York Avenue, NW Suite 400 Washington, DC 20005 0400 Support						
06/08/00	500000 00	0 00	125000 00	125000 00	0 00	0 00
B2002 01 Lawyers' Committee for Civil Rights of the San Francisco Bay Area 301 Mission Street Suite 400 San Francisco, CA 94105 Focus group research on campaign finance reform among African American, Latino,and Asian American voters						
10/01/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B7015 00 Leadership Education for Asian Pacifics, Inc 327 East Second Street Suite 226 Los Angeles, CA 90012-4210 Support of the programs of the Asian Pacific American Public Policy Institute						
10/14/99	450000 00	0 00	75000 00	75000 00	0 00	0 00
B2002 53 Link Services PO Box 42-4459 San Francisco, CA 94142-4459 Strengthening a project that connects nonprofits organizations in need of research resources with university-based resea						
04/03/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7360 00 Linking Education and Economic Development in Sacramento 2710 Gateway Oaks Drive South Building, Suite 200 Sacramento, CA 95833 Implementation of district wide reform in secondary school education						
10/11/01	0 00	8000000 00	0 00	1918000 00	0 00	6082000 00
B7057 00 Local Initiatives Support Corporation 733 Third Avenue 8th Floor New York, NY 10017-3204 Early childhood education financing and facilities initiative						
04/13/00	500000 00	0 00	233393 00	233393 00	0 00	0 00
B0067 00 Lower East Side Printshop, Inc 59 61 East 4th Street 6th Floor New York, NY 10003-8963 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0068 00 Lower East Side Tenement Museum 66 Allen Street New York, NY 10002 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0069 00 Lower Manhattan Cultural Council, Inc 145 Hudson Street Suite 801 New York, NY 10013 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0070 00 Mabou Mines Development Foundation, Inc 150 First Avenue New York, NY 10009 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 14 Makerere University P O Box 7062 Kampala UGANDA Incorporating a focus on women and higher education into an international conference on women and gender						
12/11/01	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 55 Manhattanville College 2900 Purchase Street Purchase, NY 10577 Participation by female undergraduate students from Makerere University and theUniversity of Dar es Salaam in a global						
04/04/02	0 00	39500 00	0 00	39500 00	0 00	0 00
B0071 00 Martha Graham Center of Contemporary Dance, Inc 153 East 53rd Street Suite 5101 New York, NY 10022 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7278 00 Maryland Association of Nonprofit Organizations 190 West Ostend Street Suite 201 Baltimore, MD 21230 National replication of its Standards for Excellence program						
04/12/01	900000 00	0 00	266667 00	266667 00	0 00	0 00
B7242 00 Massachusetts Institute of Technology Room 3 208 77 Massachusetts Avenue Cambridge, MA 02139-4307 Support of the Security Studies Program						
02/01/01	900000 00	0 00	375000 00	375000 00	0 00	0 00
B7380 00 Massachusetts Institute of Technology MIT 3-208 77 Massachusetts Avenue Cambridge, MA 02139 Dissemination of the results of a jointproject with the California Institute of Technology to develop model voting syst						
10/11/01	0 00	200500 00	0 00	200500 00	0 00	0 00
B7302 00 Mathematica Policy Research, Inc P O Box 2393 Princeton, NJ 08543-2393 Evaluation of an alternative teacher certification program						
06/14/01	477800 00	0 00	235193 00	0 00	0 00	235193 00
B2002 77 Mathematica Policy Research, Inc 600 Alexander Park P O Box 2393 Princeton, NJ 08543 2393 Support for the evaluation of an alternative teacher certification program						
07/01/02	0 00	34900 00	0 00	27646 00	0 00	7254 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7096 00 Matthew Evangelista McGraw Hall Ithaca, NY 14853						
Research project titled Will Russia Go the Way of the Soviet Union? Lessons of the Chechen Wars						
04/13/00	97700 00	0 00	48850 00	48850 00	0 00	0 00
B2002 51 Media Kidz Research and Consulting, Inc 78 Grayson Place Teaneck, NJ 07666						
Research and writing on the impact of educational television						
03/28/02	0 00	23000 00	0 00	23000 00	0 00	0 00
B7256 00 Middlebury College Old Chapel Middlebury, VT 05753						
Establishment of an urban education network to promote best pracitices in teaching literature and writing						
04/12/01	1206100 00	0 00	845583 00	0 00	0 00	845583 00
B7412 00 Midwest States Center PO Box 104 Prairie Farm, WI 54762						
Campaign Finance and voting reform activities						
02/07/02	0 00	100000 00	0 00	58333 00	0 00	41667 00
B7381 00 Migration Policy Institute 1400 16th Street, NW Washington, DC 20036						
Public policy research and dissemination about US immigrant civic integration, in cooperation with the National Immigrat						
10/11/01	0 00	250000 00	0 00	166667 00	0 00	83333 00
B0072 00 Mind-Builders Creative Arts Co , Inc 3415 Olinville Avenue Bronx, NY 10467						
Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7267 00 Monterey Institute of International Studies 425 Van Buren Street Monterey, CA 93040						
Research and education on the proliferation of weapons of mass destruction in the former Soviet Union						
04/12/01	400000 00	0 00	166667 00	166667 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7243 00 Moscow School of Political Studies Bolshaya Nikitskaya ul 44/2 Office 22, 1-GSP 121069 Moscow, Russia Project ot strengthen democractic institutions and civil society in Russia						
02/01/01	230000 00	0 00	92500 00	92500 00	0 00	0 00
B0073 00 Museum for African Art 593 Broadway New York, NY 10012 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0066 00 Museum of Jewish Heritage - Living Memorial to the Holocaust One Battery Park Plaza New York, NY 10004-1484 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0075 00 Museum of the City of New York 1220 Fifth Avenue New York, NY 10029 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7128 00 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 Forum on Adolescence						
06/08/00	708000 00	0 00	77679 00	0 00	0 00	77679 00
B2002 22 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 Planning a trilateral meeting of the Committee on International Security and Arms Control						
01/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7415 00 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 Research and dissemination on concerns about privacy in the information age andon tools and strategies for responding						
02/07/02	0 00	250000 00	0 00	145833 00	0 00	104167 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7436 00 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 Trilateral and bilateral meetings of the Committee on International Security and Arms Control with its Russian and Chine						
04/11/02	0 00	150000 00	0 00	100000 00	0 00	50000 00
B7437 00 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 Project on Russian-American cooperationin countering terrorism						
04/11/02	0 00	200000 00	0 00	200000 00	0 00	0 00
B7382 00 National Alliance for Nonprofit Management 1899 L Street, NW 6th Floor Washington, DC 20036 Development of a research and learning institute for nonprofit capacity builders						
10/11/01	0 00	300000 00	0 00	175000 00	0 00	125000 00
B2002 52 National Association of State Boards of Education 277 South Washington Street Suite 100 Alexandria, VA 22314 Study group on public policy to supporthigh school reform						
04/03/02	0 00	45500 00	0 00	45500 00	0 00	0 00
B7336 00 National Center for Nonprofit Boards 1828 L Street, NW Suite 900 Washington, DC 20036-5104 Capacity-building programs and tools that will help small- and mid-sized nonprofits strengthen their board of directors						
06/14/01	300000 00	0 00	125000 00	125000 00	0 00	0 00
B2002 A1 National Center on Addiction and Substance Abuse at Columbia University 633 Third Avenue New York, NY 10017 6706 Support of a panel discussion on children, the schools, and substance abuse at a conference on substance abuse in the 21						
08/29/02	0 00	45000 00	0 00	45000 00	0 00	0 00
B0076 00 National Choral Council, Inc 1650 Broadway New York, NY 10019 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7182 00 National Committee for Responsive Philanthropy 2001 S Street, NW Suite 620 Washington, DC 20009 Support						
06/08/00	80000 00	0 00	33333 00	33333 00	0 00	0 00
B7497 00 National Committee for Responsive Philanthropy 2001 S Street, NW Suite 620 Washington, DC 20009 Support						
06/13/02	0 00	100000 00	0 00	62500 00	0 00	37500 00
B2001 82 National Conference of State Legislatures 1560 Broadway, Suite 700 Denver, CO 80202 Identifying, documenting and disseminating best practices for improving civic participation among immigrants						
09/13/01	25000 00	0 00	25000 00	25000 00	0 00	0 00
B7257 00 National Council for Accreditation of Teacher Education 2010 Massachusetts Avenue, NW Suite 500 Washington, DC 20036-1023 Project to develop and implement a performance-based system of accreditation for teacher education institutions						
04/12/01	600000 00	0 00	250500 00	0 00	0 00	250500 00
B7244 00 National Council for Eurasian and East European Research 910 17th Street, NW Suite 300 Washington, DC 20006 Academic fellowships for Russian scholars						
02/01/01	550000 00	0 00	236979 00	236979 00	0 00	0 00
B2001 86 National Council for Research on Women,Inc 11 Hanover Square 20th Floor New York, NY 10005 Support of the magazine We/Myl, The Women's Dialogue						
09/14/01	25000 00	0 00	25000 00	12500 00	0 00	12500 00
B7465 00 National Council of La Raza 1111 19th Street, NW Suite 1000 Washington, DC 20036 Research and dissemination on effectivesystem-wide assessment and accountability programs at the secondary level for En						
06/13/02	0 00	400000 00	0 00	331919 00	0 00	68081 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 99 National Council of La Raza 1111 19th Street, Suite 1000 Washington, DC 20036 Research and dissemination on early literacy and language development in Latinochildren						
08/29/02	0 00	30000 00	0 00	0 00	0 00	30000 00
B0077 00 National Dance Institute, Inc 594 Broadway Room 805 New York, NY 10012 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7193 00 National Governors' Association Center for Best Practices Hall of the States 444 North Capital Street, Suite 267 Washington, DC 20001-1512 Assistance to states in implementing policies that promote young children's healthy development and school readiness						
10/12/00	299900 00	0 00	35233 00	0 00	0 00	35233 00
B7303 00 National Governors' Association for Best Practices 444 North Capitol Street Suite 267 Washington, DC 20001 Provide assistance to state leaders in strengthening teacher education						
06/14/01	505400 00	0 00	505400 00	291230 00	0 00	214170 00
B7304 00 National Head Start Association 1651 Prince Street Alexandria, VA 22314 Early literacy training project utilizing distance learning technology						
06/14/01	500000 00	0 00	208333 00	208333 00	0 00	0 00
B7014 00 National Immigration Forum, Inc 220 I Street, NE Suite 220 Washington, DC 20002-4362 Balanced media coverage of immigration issues						
10/14/99	225000 00	0 00	37500 00	37500 00	0 00	0 00
B7383 00 National Immigration Forum, Inc 220 I Street, NE Suite 220 Washington, DC 20002 Work of its Center for the New AmericanCommunity on integrating immigrants into US civic life						
10/11/01	0 00	750000 00	0 00	437500 00	0 00	312500 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7337 00 National Institute on Money in State Politics 648 North Jackson, No 1 Helena, MT 59601 Evaluation of the institute's work, expanded marketing efforts, and general support						
06/14/01	700000 00	0 00	379167 00	229167 00	0 00	150000 00
B7194 00 National League of Cities Institute Inc 1301 Pennsylvania Avenue, NW Washington, DC 20004 1763 Municipal Leadership in Education						
10/12/00	511100 00	0 00	316466 00	170383 00	0 00	146083 00
B2002 38 National Public Radio, Inc 635 Massachusetts Avenue, NW Washington, DC 20001 3753 Programming on the aftermath of September 11 and the war on terrorism						
03/07/02	0 00	250000 00	0 00	250000 00	0 00	0 00
B2002 03 National Security Archive Fund, Inc The George Washington University Gelman Library, Suite 701, 2130 H St ,NW Washington, DC 20037 Declassification and dissemination of government documents related to nuclear weaponry						
10/01/01	0 00	50000 00	0 00	50000 00	0 00	0 00
B7438 00 National Security Archive Fund, Inc Gelman Library, Suite 701 George Washington Univ , 2130 H St , NW Washington, DC 20037 Support for the Russian and Former Soviet Initiative						
04/11/02	0 00	575000 00	0 00	335417 00	0 00	239583 00
B7052 00 National Urban League Inc 120 Wall Street New York, NY 10005 Support						
02/01/00	750000 00	0 00	75000 00	75000 00	0 00	0 00
B7252 00 National Voting Rights Institute One Bromfield Street 3rd Floor Boston, MA 02108 Support						
02/01/01	400000 00	0 00	166667 00	166667 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7053 00 Native American Rights Fund 1506 Broadway Boulder, CO 80302-6296 Support						
02/01/00	450000 00	0 00	75000 00	75000 00	0 00	0 00
B7209 00 Nautilus of America Inc 125 University Avenue Berkeley, CA 94710-1616 Project on South Asia nuclear policy futures						
10/12/00	385000 00	0 00	238667 00	128667 00	0 00	110000 00
B0078 00 New 42nd Street, Inc /New Victory Theater 229 West 42nd Street 10th Floor New York, NY 10036-7299 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7361 00 New American Schools 1560 Wilson Boulevard Suite 901 Arlington, VA 22209 Development of assessment tools for districts to improve accountability in comprehensive school reform						
10/11/01	0 00	301100 00	0 00	301100 00	0 00	0 00
B0079 00 New Museum of Contemporary Art 583 Broadway New York, NY 10012 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7488 00 New School University 66 West 12th Street New York, NY 10011 Journal Donation Project						
06/13/02	0 00	300000 00	0 00	174833 00	0 00	125167 00
B7494 00 New School University 66 West 12th Street New York, NY 10011 Project to establish meaningful dialogues between Muslims and Westerners at alllevels of society						
06/13/02	0 00	250000 00	0 00	250000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7227 00 New Visions for Public Schools, Inc 96 Morton Street New York, NY 10014 Support of the New Century High SchoolsConsortium for New York City						
02/01/01	10000000 00	0 00	7328900 00	2321700 00	0 00	5007200 00
B7228 00 New York City Partnership Foundation, Inc One Battery Park Plaza New York, NY 10004-1479 Evaluation of an initiative to improve underperforming urban school districts						
02/01/01	900000 00	0 00	550000 00	0 00	550000 00	0 00
B2002 72 New York Fair Elections Project, Inc 250 West 26th Street, 4th Floor New York, NY 10001 Conference on campaign reform in New York State						
06/04/02	0 00	10000 00	0 00	10000 00	0 00	0 00
B0080 00 New York Foundation for the Arts, Inc 155 Avenue of the Americas 14th Floor New York, NY 10013-1507 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0081 00 New York Hall of Science 47 01 111th Street Corona, NY 11368-2950 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0082 00 New York Historical Society 2 West 77th Street New York, NY 10024 5194 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7419 00 New York Public Library Fifth Avenue and 42nd Street New York, NY 10018 2788 One time funding to acquire books for branch libraries and research libraries						
02/07/02	0 00	900000 00	0 00	900000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 34 New York Regional Association of Grantmakers, Inc 505 Eighth Avenue, Suite 1805 New York, NY 10018-6505 Membership support in 2002 and 2003						
03/06/02	0 00	28200 00	0 00	12700 00	0 00	15500 00
B0083 00 New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0084 00 New York Studio School of Drawing Painting & Sculpture, Inc 8 West 8th Street New York, NY 10011 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7292 00 Nina Tannenwald 2 Stimson Avenue, Box 1970 Brown University Providence, RI 02906 Research project titled, The Sociology of Danger Weapons Stigmatization in International Politics						
04/12/01	99600 00	0 00	74700 00	49800 00	0 00	24900 00
B2002 79 Nonprofit Coordinating Committee of NewYork, Inc 1350 Broadway, Suite 1801 New York, NY 10018 Membership support in 2002, 2003, 2004,2005 and 2006						
07/01/02	0 00	4875 00	0 00	975 00	0 00	3900 00
B7195 00 North Carolina Partnership for ChildrenInc 1100 Wake Forest Road Suite 300 Raleigh, NC 27604 Dissemination of a model state comprehensive early childhood education initiative						
10/12/00	521200 00	0 00	250654 00	0 00	0 00	250654 00
B7413 00 Northeast Action, Inc 30 Germania Street Boston, MA 02130 Capaign finance and voting reform activities						
02/07/02	0 00	200000 00	0 00	116667 00	0 00	83333 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80085 00 Nuyorican Poets Cafe, Inc 236 East 3rd Street PO Box 20794 New York, NY 10009 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
80086 00 Ontological-Hysteric Theater, Inc 260 West Broadway New York, NY 10013-2259 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
80087 00 Open Channels NY Inc dba Dixon Place 309 East 26th Street New York, NY 10010 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7268 00 Oregon State University 600 Kerr Administration Building Corvallis, OR 97331 Project on preventing water conflicts						
04/12/01	389600 00	0 00	102667 00	102667 00	0 00	0 00
82002 98 Organisation for Economic and Co operation and Development 2, rue Andre Pascal Cedex 16 Paris 75775 France Symposium on business and economic reform in Russia						
08/23/02	0 00	25000 00	0 00	0 00	0 00	25000 00
80088 00 Orpheon Inc /The Little Orchestra Society 330 West 42nd Street 12th Floor New York, NY 10036 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
80089 00 Orpheus Chamber Orchestra, Inc 490 Riverside Drive New York, NY 10027 5788 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0057 00 P S 1 Contemporary Art Center, Inc 22-25 Jackson Ave at 46th Ave Long Island City, NY 11101 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B6964 00 Pacific Council on International Policy University of Southern California, Los Angeles Los Angeles, CA 90089-0035 Support						
06/10/99	300000 00	0 00	50000 00	50000 00	0 00	0 00
B2002 06 Pacific Council on International Policy University of Southern California Los Angeles, CA 90089-0035 Publication and dissemination of an international study on government use of the Internet to deliver information, provid						
10/11/01	0 00	15000 00	0 00	15000 00	0 00	0 00
B0090 00 Pan Asian Repertory Theatre, Inc 47 Great Jones Street New York, NY 10012-1114 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0091 00 Paper Bag Players, Inc 50 Riverside Drive New York, NY 10024 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0092 00 Paul Taylor Dance Foundation 552 Broadway 2nd Floor New York, NY 10012 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 24 Pennsylvania State University 201 Old Main University Park, PA 16802 Strengthen the linkages between Russianacademia and the private sector						
01/08/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7498 00 People for the American Way Foundation 2000 M Street, NW Suite 400 Washington, DC 20036 Expansion of a project to promote civicparticipation, educate voters about their rights and assist them on Election Day						
06/13/02	0 00	250000 00	0 00	250000 00	0 00	0 00
B0093 00 Performance space 122, Inc 150 First Avenue at E 9th St New York, NY 10009 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B2002 B1 Philanthropy Roundtable 1150 17th Street, NW Suite 503 Washington, DC 20036 Membership support in 2002						
09/12/02	0 00	5000 00	0 00	0 00	0 00	5000 00
B0094 00 Playwrights Horizons, Inc 630 9th Avenue Suite 708 New York, NY 10036 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 21 Ploughshares Fund Fort Mason Center Building B Suite 330 San Francisco, CA 94123 Peace and Security Funders Group						
01/08/02	0 00	50000 00	0 00	29167 00	0 00	20833 00
B2002 58 Ploughshares Fund Fort Mason Center Building B Suite 330 San Francisco, CA 94123 Creation and implementation of an international network to collect information on biological activities						
04/12/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0095 00 Poets & Writers, Inc 72 Spring Street New York, NY 10012 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0096 00 Poets House, Inc 72 Spring Street 2nd Floor New York, NY 10012 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0097 00 Pregones Touring Puerto Rican Theatre Collection, Inc 571-575 Walton Avenue Bronx, NY 10451 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7229 00 Prichard Committee for Academic Excellence P O Box 1658 Lexington, KY 40588 1658 Public engagement campaign to improve teacher quality						
02/01/01	439200 00	0 00	155917 00	155917 00	0 00	0 00
B7146 00 Princeton University 1 Nassau Hall Princeton, NJ 08544-0036 Interdisciplinary examination of the multidimensional causes of self determination crises						
06/08/00	308000 00	0 00	128000 00	128000 00	0 00	0 00
B7372 00 Princeton University 1 Nassau Hall Princeton, NJ 08544-0036 Support of South Asian Scholars in research and training on new policy initiatives						
10/11/01	0 00	170000 00	0 00	170000 00	0 00	0 00
B2002 A6 Princeton University 1 Nassau Hall Princeton, NJ 08544 0036 Convene Corporation grantees to discuss self-determination issues						
09/10/02	0 00	12000 00	0 00	0 00	0 00	12000 00
B7445 00 Project Pericles, Inc 535 Fifth Avenue Suite 906 New York, NY 10017 Pilot project to support ten institutions of higher education to integrate civic engagement education and practices into						
04/11/02	0 00	250000 00	0 00	250000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 05 Proteus Fund, Inc 264 North Pleasant Street 2nd Floor Amherst, MA 01002 Research and dissemination of the StateStrategies Fund's civic engagement assessment and learning projects						
10/11/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B7499 00 Proteus Fund, Inc 264 North Pleasant Street 2nd Floor Amherst, MA 01002 State based campaign finance activitiesand strengthening the institutional capacity of the Piper Fund						
06/13/02	0 00	375000 00	0 00	250000 00	0 00	125000 00
B0099 00 Pubicolor, Inc 114 East 32nd Street Suite 900 New York, NY 10016 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 A3 Public Allies, Inc 633 West Wisconsin Avenue Suite 610 Milwaukee, WI 53203 Dissemination of the results of a national poll on young adults' views about community service, civic engagement and rac						
09/05/02	0 00	10000 00	0 00	0 00	0 00	10000 00
B0098 00 Public Art Fund, Inc 1 East 53rd Street New York, NY 10022 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7385 00 Public Campaign 1320 19th Street, NW Suite M 1 Washington, DC 20036 Outreach and public education on campaign finance reform						
10/11/01	0 00	250000 00	0 00	145833 00	0 00	104167 00
B7362 00 Public Education Network 601 13th Street, NW Suite 900 North Washington, DC 20005 Public engagement campaign to improve teacher quality						
10/11/01	0 00	650000 00	0 00	232456 00	0 00	417544 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7211 00 Public International Law and Policy Group 3600 North Vernon Street Arlington, VA 22208 Project to evaluate the suitability of the concept of intermediate sovereignty as a means of resolving self-determinati						
10/12/00	338000 00	0 00	142750 00	142750 00	0 00	0 00
B0100 00 Queens Botanical Garden Society, Inc 43-50 Main Street Flushing, NY 11355 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0101 00 Queens College Foundation, Inc 65-30 Kissena Blvd Flushing, NY 11367 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0102 00 Queens Council on the Arts One Forest Park at Oak Ridge Woodhaven, NY 11421 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7420 00 Queens Library Foundation, Inc 89-11 Merrick Boulevard Jamaica, NY 11432 One time funding to expand the book collection of the International Resource Center						
02/07/02	0 00	300000 00	0 00	300000 00	0 00	0 00
B0103 00 Queens Museum of Art New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0104 00 Queens Symphony Orchestra, Inc 80 00 Cooper Avenue Building 22 Glendale, NY 11385 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0105 00 Queens Theatre in the Park, Inc PO Box 520069 Flushing, NY 11352 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7451 00 Rajan Menon Lehigh University Maginnes Hall, 9 Bethlehem, PA 18015 Islam and the Politics, Foreign Policy,and the National Security of the Russian Federation						
04/11/02	0 00	100000 00	0 00	0 00	0 00	100000 00
B7230 00 Rand Corporation 1700 Main Street P O Box 2138 Santa Monica, CA 90407-2138 Research and dissemination on the practice and potential of measuring student learning as an indicator of teacher effect						
02/01/01	476500 00	0 00	154587 00	154587 00	0 00	0 00
B2002 54 RAND Corporation 1700 Main Street P O Box 2138 Santa Monica, CA 90407 2138 Support of a joint project with the Institute of USA and Canada Studies in Moscow to form a US-Russian working group on						
04/03/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7363 00 Rhode Island Children's Crusade for Higher Education The 134 Center, Suite 111 134 Thurbers Avenue Providence, RI 02905 Implementation of district-wide reform in secondary education						
10/11/01	0 00	8000000 00	0 00	2267000 00	0 00	5733000 00
B7102 00 Richard Jolly 531 Main Street New York, NY 10044 Research project titled Widening Global Income Gaps Causes, Remedies, and Policy Proposals						
04/13/00	99800 00	0 00	19800 00	19800 00	0 00	0 00
B7103 00 Richard Langhorne Rutgers University Suite 510 Newark, NJ 07102 Research project titled New Emissaries and No Emissaries The Representation ofNew Voices in Global Politics						
04/13/00	100000 00	0 00	30000 00	30000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7456 00 Richard Shweder University of Chicago 5730 South Woodlawn Avenue Chicago, IL 60637 When Cultures Collide The Multicultural Challenge in Liberal Democracies						
04/11/02	0 00	100000 00	0 00	30000 00	0 00	70000 00
B7280 00 Robert Bates Littauer Center, M-29 Cambridge, MA 02138 Research project titled A Study of the Political and Economic Determinants of Africa's Development Experience						
04/12/01	95237 00	0 00	47237 00	47237 00	0 00	0 00
B7291 00 Rogers Smith P O Box 208301 New Haven, CT 06520-8301 Research project titled, Civic Horizons Achieving Democratic Citizenship in Modern America						
04/12/01	99340 00	0 00	74505 00	49670 00	0 00	24835 00
B7439 00 Russian American Nuclear Security Advisory Council 1730 Rhode Island Avenue Suite 307 Washington, DC 20036 Project to develop and implement U S -Russian initiatives to address proliferation threats in Russia's nuclear cities						
04/11/02	0 00	363200 00	0 00	208617 00	0 00	154583 00
B7110 00 Rutgers University 83 Somerset Street New Brunswick, NJ 08901-1281 Study on the financing and impact of universal preschool education						
06/08/00	600000 00	0 00	375100 00	375100 00	0 00	0 00
B7270 00 Rutgers University 83 Somerset Street New Brunswick, NJ 08901 Research and dissemination on the problems, prospects, and policies relating toRussia's intergration into Europe						
04/12/01	399400 00	0 00	167920 00	167920 00	0 00	0 00
B2002 60 Rutgers, The State University of New Jersey 3 Rutgers Plaza New Brunswick, NJ 08901 8559 Summer institute to promote quality in early mathematics education						
04/30/02	0 00	43300 00	0 00	43300 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 84 Saint Anselm College 100 Saint Anselm Drive Manchester, NH 03102-1310 Two convenings of leaders from nationalnonprofit organizations engaged in innovative efforts to improve civic education						
07/15/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0106 00 Saratoga International Theatre Institute, Inc 1922 Old Chelsea Station New York, NY 10011 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7421 00 Seattle Public Library Foundation 800 Pike Street Seattle, WA 98101 One time funding to purchase books in Korean, Chinese, Vietnamese, Japanese andRussian						
02/07/02	0 00	200000 00	0 00	116667 00	0 00	83333 00
B7294 00 Sharon Weiner von Neumann Building, Room H 210 Engineering Quadrangle Princeton, NJ 08544-5263 Research project titled, Our Own Worst Enemy? Bureaucratic Organizational, and Political Constraints on US Efforts to Co						
04/12/01	100000 00	0 00	82867 00	52600 00	0 00	30267 00
B7452 00 Sharyn O'Halloran Columbia University School of Int'l and Public Affairs New York, NY 10027 More than the License Plates Majority-Minority Voting Districts and Representative Democracy						
04/11/02	0 00	100000 00	0 00	50000 00	0 00	50000 00
B0107 00 Signature Theatre Company 534 West 42nd Street New York, NY 10036 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0108 00 Snug Harbor Cultural Center, Inc 1000 Richmond Terrace Staten Island, NY 10301 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7042 00 Social Science Research Council 810 Seventh Avenue New York, NY 10019 Project on cooperative security in Northeast Asia						
02/01/00	300000 00	0 00	100000 00	100000 00	0 00	0 00
B0109 00 Society for the Preservation of Weeksville and Bedford Stuyvesant History P O Box 130120 St John's Station Brooklyn, NY 11213-0002 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0110 00 Socrates Sculpture Park, Inc PO Box 6259 Long Island City, NY 11106 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0111 00 Soho Repertory Theatre, Inc 46 Walker Street New York, NY 10013 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 88 Southern Rural Development Initiative, Inc 128 E Hargett Street, Suite 202 Raleigh, NC 27601 Planning a regional project to meet thecapacity building needs of small or under-capitalized nonprofit organization in						
07/17/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0112 00 Spanish Theatre Repertory LTD 138 East 27th Street New York, NY 10016 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7306 00 SRI International 333 Ravenswood Avenue Menlo Park, CA 94025-3493 National study to identify quality standards for alternative teacher certification programs						
06/14/01	1168800 00	0 00	930483 00	0 00	0 00	930483 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7392 00 SRI International 333 Ravenswood Avenue Menlo Park, CA 94025-3493						
Build the capacity of urban schools districts to improve mathematics teaching and increase mathematical competence						
02/07/02	0 00	194900 00	0 00	194900 00	0 00	0 00
B7212 00 Stanford University 651 Serra Street Suite 260 Stanford, CA 94305-4125						
Research and training in international security						
10/12/00	1890000 00	0 00	806655 00	806655 00	0 00	0 00
B7364 00 Stanford University Stanford, CA 94305						
Joint project with Teachscape to produce web-based professional development materials for teachers of English-language l						
10/11/01	0 00	448500 00	0 00	399433 00	0 00	49067 00
B7440 00 Stanford University Stanford, CA 94305						
Initiative to reinvigorate the study ofsocial sciences through distance learning at Russian universities						
04/11/02	0 00	300000 00	0 00	175000 00	0 00	125000 00
B7466 00 Stanford University Stanford, CA 94305						
Research, analysis and dissemination ofpractices to improve reading comprehension across disciplines in grades four and						
06/13/02	0 00	510900 00	0 00	0 00	0 00	510900 00
B7490 00 Stanford University Stanford, CA 94305						
Research and writing on international security by William J Perry and Ashton B Carter						
06/13/02	0 00	250300 00	0 00	156550 00	0 00	93750 00
B7365 00 State Higher Education Executives Officers Association 707 seventeenth Street Suite 2700 Denver, Co 80202-3427						
Teacher quality initiative						
10/11/01	0 00	663200 00	0 00	381367 00	0 00	281833 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0113 00 Staten Island Botanical Garden, Inc 1000 Richmond Terrace Building H Staten Island, NY 10301 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0114 00 Staten Island Children's Museum 1000 Richmond Terrace Building M Staten Island, NY 10301 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0115 00 Staten Island Historical Society, Inc 441 Clarke Avenue Staten Island, NY 10306-1198 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0116 00 Staten Island Institute of Arts and Sciences 75 Stuyvesant Place Staten Island, NY 10301 1998 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0117 00 Staten Island Zoological Society, Inc 614 Broadway Staten Island, NY 10310-2896 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7099 00 Stephen Ansolabehere 334 Franklin Street Newton, MA 02458 Research project titled The Rise of Money in American Elections						
04/13/00	93100 00	0 00	26000 00	26000 00	0 00	0 00
B7097 00 Steven Rosefielde Chapel Hill, NC 27514 Research project titled Forgotten Superpower The Economic Case for Arms Controland the Russian Federation						
04/13/00	100000 00	0 00	30334 00	30334 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0118 00 Studio in a School Association 410 West 59th Street New York, NY 10019 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0119 00 Studio Museum in Harlem, Inc 144 West 125th Street New York, NY 10027 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0120 00 Symphony Space, Inc 2537 Broadway New York, NY 10025 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B0121 00 TADA! Theater and Dance Alliance 120 West 28th Street New York, NY 10001 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0122 00 Target Margin Theater, Inc 138 South Oxford Street, #5 Brooklyn, NY 11217 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7196 00 Teach for America, Inc 315 West 36th Street 6th Floor New York, NY 10018 Support of expansion activities						
10/12/00	500000 00	0 00	305167 00	166833 00	0 00	138334 00
B0123 00 Teachers and Writers Collaborative 5 Union Square West New York, NY 10003 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7114 00 Teachers College, Columbia University 525 West 120th Street Box 163 New York, NY 10027 Follow up work of a commission on teaching						
06/08/00	628800 00	0 00	242900 00	242900 00	0 00	0 00
B7460 00 Teachers College, Columbia University 525 West 120th Street New York, NY 10027-6696 To develop and refine a financing modelfor universal early care and education for American children						
06/13/02	0 00	500000 00	0 00	312500 00	0 00	187500 00
80124 00 Thalia Spanish Theatre, Inc 41-17 Greenpoint Avenue PO Box 4368 Sunnyside, NY 11104 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7297 00 The After School Corporation 925 Ninth Avenue New York, NY 10019 Evaluation of the New York City in-school after-school initiative by Policy Studies Associates						
06/14/01	500000 00	0 00	208333 00	208333 00	0 00	0 00
B7367 00 The Aspen Institute, Inc One Dupont Circle, NW Suite 700 Washington, DC 20036 Discussion between US and Russian policymakers by the Aspen Strategy Group						
10/11/01	0 00	360000 00	0 00	360000 00	0 00	0 00
B7353 00 The Aspen Institute, Inc One Dupont Circle, NW Suite 700 Washington, DC 20036 Congressional Program on Education						
10/11/01	0 00	446000 00	0 00	446000 00	0 00	0 00
B7397 00 The Aspen Institute, Inc One Dupont Circle, NW Suite 700 Washington, DC 20036 Support of the international activitiesof the Congressional Program						
02/07/02	0 00	631000 00	0 00	631000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7354 00 The Boston Plan for Excellence in the Public Schools 6 Beacon Street, #615 Boston, MA 02108 Implementation of district wide reform in secondary school education						
10/11/01	0 00	8000000 00	0 00	1907000 00	0 00	6093000 00
B7356 00 The Chattanooga-Hamilton County Public Education Fund 100 East Tenth Street, Suite 500 Chattanooga, TN 37402 Implementation of district wide reform in secondary school education						
10/11/01	0 00	8000000 00	0 00	2100000 00	0 00	5900000 00
B2002 26 The Community Foundation for Greater New Haven 70 Audubon Street New Haven, CT 06510 Assess the effectiveness of diliberate polling on civic engagement and public policy						
01/10/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7443 00 The Compumentor Project 435 Brannon Street Suite 100 San Francisco, CA 94107 Toward TechSoup, a technology website for nonprofit organizations						
04/11/02	0 00	300000 00	0 00	175000 00	0 00	125000 00
B7232 00 The Exploratorium 3601 Lyon Street San Francisco, CA 94123-1099 Dissemination of a model teacher induction program						
02/01/01	471400 00	0 00	300956 00	157625 00	0 00	143331 00
B7269 00 The Franklin and Eleanor Roosevelt Institute 4079 Albany Post Road Hyde Park, NY 12538 Research and dissemination on the implication of Franklin D Roosevelt "New Deal" policies for contemporary Russia						
04/12/01	150000 00	0 00	150000 00	139365 00	0 00	10635 00
B2002 62 The Fund for Public Schools, Inc New York City Board of Education 110 Livingston Street, Room 826 Brooklyn, NY 11201 Projects to honor New York City public school teachers in the wake of the terrorist attack on September 11, 2001						
05/16/02	0 00	1850000 00	0 00	1450000 00	400000 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 41 The Greater Washington Educational Telecommunications Associations, Inc 2775 South Quincy Street Arlington, VA 22206 Reporting by The NewsHour from countries involved in different aspects of the war on terrorism						
03/14/02	0 00	250000 00	0 00	250000 00	0 00	0 00
B7207 00 The International Institute for Strategic Studies Arundel House, 13-15 Arundel Street Temple Place, London WC2R 3DX United Kingdom Policy relevant research on external intervention in self determination disputes						
10/12/00	275000 00	0 00	154833 00	84833 00	0 00	70000 00
B7310 00 The International institute for Strategic Studies Arundel House 13-15 Arundel Street, Temple Place London WC2R 3DX, United Kingdom International security forums for Russian regions						
06/14/01	400000 00	0 00	168333 00	168333 00	0 00	0 00
B2002 25 The Leadership Foundation 1621 Connecticut Avenue, NW Suite 300 Washington, DC 20009 Support of an African higher education fellow						
01/10/02	0 00	35000 00	0 00	19600 00	0 00	15400 00
B7213 00 The Research Foundation of State University of New York Binghamton University PO Box 6000 Binghamton, NY 13902-6000 Policy relevant research on external intervention in self determination disputes						
10/12/00	260200 00	0 00	79350 00	79350 00	0 00	0 00
B7489 00 The Richard Nixon Library and Birthplace Foundation 18001 Yorba Linda Boulevard Yorba Linda, CA 92886 U S -Russian dialogue on international security						
06/13/02	0 00	500000 00	0 00	312500 00	0 00	187500 00
B7467 00 The Teachers Education Accreditation Council, Incorporated Suite 101, Williard Hall Education Bldg Newark, DE 19716 Implementation of an evidence-based system of accreditation for teacher education institutions						
06/13/02	0 00	900000 00	0 00	362417 00	0 00	537583 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7339 00 The Urban Institute 2100 M Street, NW Washington, DC 20037 Creation of a standardized template that can be used to collect, synthesize, and disseminate emprical data and informati						
06/14/01	293000 00	0 00	293000 00	223883 00	0 00	69117 00
B2002 56 The Working Group 1611 Telegraph Avenue Suite 1550 Oakland, CA 94612 Reactivating the national "Not In Our Town" network, a national community campaign against hate crimes in the aftermath						
04/04/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0125 00 Theatre for a New Audience, Inc 154 Christopher Street Suite 3D New York, NY 10014-2839 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B0126 00 Theatre for the New City 155 First Avenue New York, NY 10003-2906 Support						
02/07/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7386 00 Third Sector New England, Inc 18 Tremont Street Suite 700 Boston, MA 02108 Development and national expansion of the Nonprofit Quarterly						
10/11/01	0 00	315000 00	0 00	315000 00	0 00	0 00
B0127 00 Third Street Music School Settlement, Inc 235 East 11th Street New York, NY 10003-7398 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B7340 00 Tides Center The Presidio P O Box 29907 San Francisco, CA Establish a center for transitional justice						
06/14/01	250000 00	0 00	41667 00	0 00	0 00	41667 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 13 Tides Center The Presidio P O Box 29907 San Francisco, CA 94129 Evaluation of a pilot national marketing initiative encouraging Americans to donate their Social Security benefits						
12/03/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 17 Tides Center The Presidio P O Box 29907 San Francisco, CA 94129 Strategic planning process by Youth Vote, a nonpartisan coalition that encourages civic participation among young adults						
12/13/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 31 Tides Center The Presidio PO Box 29907 San Francisco, CA 94129 Support for the Africa Grantmakers' Affinity Group						
03/05/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 48 Tides Center The Presidio P O Box 29907 San Francisco, CA 94129 Establishing a national virtual community of voter registration/education groups						
03/28/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B7170 00 Tomas Rivera Policy Institute 1050 N Mills Avenue Claremont, CA 91711 6106 Political participation initiative						
06/08/00	313000 00	0 00	31877 00	31877 00	0 00	0 00
B0128 00 Town Hall Foundation, Inc 123 West 43rd Street New York, NY 10036 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B2001 75 Toynbee Prize Foundation, Inc 11 Lowell Street Cambridge, MA 02138 Conference and dissemination on the effects of globalization on cities and the role cities play in the process of global						
08/23/01	25000 00	0 00	25000 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0129 00 Trisha Brown Dance Company 625 West 55th Street New York, NY 10019 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 08 Tufts University Ballou Hall Medford, MA 02155 Workshop for staff of the University ofDar es Salaam and Makerere University on technology-enhanced curriculum developm						
11/06/01	0 00	15000 00	0 00	15000 00	0 00	0 00
B2002 74 Tufts University Medford, MA 02155 Support for the Lincoln Filene Center for Citizenship and Public Affairs' research and public education						
06/05/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 49 U S Public Interest Research Group Education Fund 218D Street, SE Washington, DC 20003 Research and dissemination on the effectof campaign contributions limits on thecompetitiveness of challengers						
03/28/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7450 00 Uday Mehta Amherst College Box 5000 Amherst, MA 01002 Constitutional Configurations of the Past A Comparative Study of India, Israel, South Africa, and the U S						
04/11/02	0 00	100000 00	0 00	0 00	0 00	100000 00
B2002 16 United Nations Association of the United States of America, Inc 801 Second Avenue New York, NY 10017-4706 U S China dialogue on global security						
12/11/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B7245 00 United States Civilian Research and Development Foundation for the Independent 1800 North Kent Street Suite 1106 Arlington, VA 22209 Support for the Basic Research and Higher Education Program						
02/01/01	1000000 00	0 00	417289 00	0 00	0 00	417289 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2001 83 United States Student Association Foundation 1413 K Street, NW 9th Floor Washington, DC 20005 Publishing and distribution of an anthology of its founding years, 1946 1952						
09/14/01	50000 00	0 00	50000 00	50000 00	0 00	0 00
B7398 00 University of Bradford Richmond Road Bradford BD7 1DP United Kingdom Development of Genomics Gateway, a website to disseminate information on international treaties and agreements on biotec						
02/07/02	0 00	247800 00	0 00	142708 00	0 00	105092 00
B7236 00 University of California, Los Angeles 405 Hilgard Avenue 2147 Murphy Hall Los Angeles, CA 90095-1405 Research and dissemination on the effects of globalization on self-determination						
02/01/01	312000 00	0 00	124543 00	124543 00	0 00	0 00
B7355 00 University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-6523 Implementation of district-wide reform in secondary school education						
10/11/01	0 00	8000000 00	0 00	1918000 00	0 00	6082000 00
B7479 00 University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093 6523 Research, analysis and dissemination onglobalization, territoriality and conflict						
06/13/02	0 00	260000 00	0 00	121525 00	0 00	138475 00
B7141 00 University of California, Santa Cruz 296 McHenry Library Santa Cruz, CA 95064 Research on globalization and Islam						
06/08/00	237300 00	0 00	102850 00	102850 00	0 00	0 00
B7200 00 University of Cambridge 32 Trumpington Street Cambridge CB2 1QY United Kingdom Project to conduct interdisciplinary, comparative case studies of power-sharingarrangements in recent self-determinatio						
10/12/00	379700 00	0 00	172404 00	172404 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7484 00 University of Denver 2199 S University Boulevard Denver, CO 80208						
Dialogue series on U S China relations focusing on globalization, self-determination and international peace and secu						
06/13/02	0 00	247500 00	0 00	145333 00	0 00	102167 00
B7485 00 University of Georgia 204 Baldwin Hall Athens, GA 30602-1615						
Project to develop knowledge and expertise in the Russian Federal Assembly and in the US Congress on export control						
06/13/02	0 00	255000 00	0 00	150000 00	0 00	105000 00
B7204 00 University of Georgia Research Foundation, Inc Administration Building Athens, GA 30602						
Policy research seeking new solutions for controlling proliferation trade						
10/12/00	400000 00	0 00	166670 00	166670 00	0 00	0 00
B2002 23 University of Georgia Research Foundation, Inc 621 Boyd Graduate Studies Research Ctr Athens, GA 30602-7411						
Reports on key principled practices in adolescent literacy instruction at the intermediate, middle and high school level						
01/08/02	0 00	48600 00	0 00	48600 00	0 00	0 00
B2002 45 University of Illnois at Urbana-Champaign 364 Administration Building Urbana, IL 61801						
African participation in a symposium onAfrican Universities in the 21st Century						
03/27/02	0 00	23000 00	0 00	23000 00	0 00	0 00
B2002 96 University of Iowa Department of Political Science Iowa City, IA 52242						
Send representatives from selected Nigerian universities to participate in the Decision Makers "Techno Tour" Workshop						
08/14/02	0 00	24800 00	0 00	24800 00	0 00	0 00
B7371 00 University of Maryland College Park Foundation, Inc 2119 Main Administration Building College Park, MD 20742						
Data gathering, analysis, and dissemination on initiation and settlement of self-determination and autonomy disputes						
10/11/01	0 00	400500 00	0 00	197488 00	0 00	203012 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 33 University of Maryland College Park Foundation, Inc 2119 Main Administration Building College Park, MD 20742 International roundtable on the impact of terrorism on global civil society anddemocracy						
03/05/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7493 00 University of Maryland College Park Foundation, Inc 2119 Main Administration Building College Park, MD 20742 Research, analysis and dissemination onthe impact of transnational media on the concept of identity and sovereignty						
06/13/02	0 00	380600 00	0 00	238741 00	0 00	141859 00
B7311 00 University of Michigan 2074 Fleming Administration Building Ann Arbor, MI 48109 Foster the development of the social sciences in Russia's regional universities						
06/14/01	400000 00	0 00	215517 00	0 00	0 00	215517 00
B7145 00 University of Oxford Wellington Square Oxford OX1 2JD UNITED KINGDOM Research on the impact of external culture and economics on ethnic self-determination						
06/08/00	292200 00	0 00	121700 00	121700 00	0 00	0 00
B2002 80 University of Oxford Wellington Square Oxford OX1 2JD United Kingdom Convening Corporation grantees to discuss self-determination projects						
09/12/02	0 00	8400 00	0 00	0 00	0 00	8400 00
B7081 00 University of Pennsylvania 121 College Hall 3451 Walnut Street Philadelphia, PA 19104 Research and analysis on globalization's effects on ethnopolitics						
04/13/00	248000 00	0 00	99200 00	99200 00	0 00	0 00
B7305 00 University of Pennsylvania 100 College Hall, Levy Park Philadelphia, PA 19104-6380 Implementation of a university school district leadership training center						
06/14/01	500000 00	0 00	250450 00	0 00	0 00	250450 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7384 00 University of Pennsylvania 100 CH/6380 3451 Walnut Street Philadelphia, PA 19104 Research by the Annenberg School for Communication and Public Policy Center on legislative issue advertising						
10/11/01	0 00	316700 00	0 00	247971 00	0 00	68729 00
B2002 29 University of Pennsylvania 121 College Hall 3451 Walnut Street Philadelphia, PA 19104 Development of an index to rank states on the accuracy, accessibility, and fairness of their voting systems						
02/08/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B7403 00 University of Sussex Brighton BN1 9RF United Kingdom Harvard/Sussex program to develop a newinternational treaty that would criminalize the development, production or use						
02/07/02	0 00	144500 00	0 00	82833 00	0 00	61667 00
B7233 00 University of Washington 301 Gerberding Hall Box 351230 Seattle, WA 98195 Support of the Strengthening and Sustaining Teachers project						
02/01/01	490200 00	0 00	303833 00	195316 00	0 00	108517 00
B7246 00 University of Waterloo Ira Needles Hall 200 University Avenue West Waterloo, Ontario N2L 3G1, CANADA Research and dissemination of globalization's role in the peaceful management of self-determination disputes						
02/01/01	177700 00	0 00	89767 00	0 00	0 00	89767 00
B7136 00 University of Wisconsin, Madison Room 1720 Van Hife Hall 1220 Linden Drive Madison, WI 53706 Dissemination activities on new forms of teacher compensation						
06/08/00	450000 00	0 00	275000 00	150000 00	0 00	125000 00
B0130 00 UrbanGLass New York Contemporary Glass Center, Inc 647 Fulton Street Brooklyn, NY 11217-1112 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 64 Vermont Alliance of Nonprofit Organizations P O Box 8345 Burlington, VT 05402 Evaluation of a pilot project to help community-based nonprofit organizations use technology to build their policy advoc						
05/29/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0131 00 Vineyard Theatre and Workshop Center, Inc 108 East 15th Street New York, NY 10003 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B2001 84 Vital Voices Global Partnership, Inc 1301 Connecticut Avenue, NW Suite 500 Washington, DC 20036 Support						
09/14/01	25000 00	0 00	25000 00	25000 00	0 00	0 00
B2002 68 Volunteer Consulting Group, Inc 6 East 39th Street, Suite 602 New York, NY 10016 0112 Assessment of boardnetUSA, an Internet-based project to match trustees and board candidates with nonprofit organizations						
05/31/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B0132 00 Wave Hill, Inc 675 West 252nd Street Bronx, NY 10471-2899 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00
B2002 82 Wei Jingsheng Foundation, Inc 888 16th Street, NW Suite 400 Washington, DC 20006 Writing and research by Wei Jingsheng on human rights in China						
09/12/02	0 00	50000 00	0 00	0 00	0 00	50000 00
B7366 00 WestEd 730 Harrison Street San Francisco, CA 94107-1242 Strengthening a model of adolescent literacy acquistion						
10/11/01	0 00	600000 00	0 00	350000 00	0 00	250000 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7414 00 Western States Center, Inc PO Box 40305 Portland, OR 97240 Campaign finance and voting reform activities						
02/07/02	0 00	200000 00	0 00	116667 00	0 00	83333 00
B7197 00 WGBH Educational Foundation 125 Western Avenue Boston, MA 02134 Production and educational outreach fora public television series to promote young children's literacy skills						
10/12/00	1500000 00	0 00	166667 00	166667 00	0 00	0 00
B2002 73 WGBH Educational Foundation 125 Western Avenue Boston, MA 02134 Evaluation efficacy of the website accompanying the PBS broadcast "Commanding Heights The Battle for the World Economy"						
06/04/02	0 00	50000 00	0 00	50000 00	0 00	0 00
B2002 44 William C Velasquez Institute, Inc 403 E Commerce, Suite 260 San Antonio, TX 78205 Dissemination of research on Latino voting trends in selected states						
03/26/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B2002 02 William J Brennan, Jr Center for Justice, Inc 161 Avenue of the Americas, 12th Floor New York, NY 10013 Research and analysis related to challenging the discriminatory intent of felony disenfranchisement law						
10/01/01	0 00	25000 00	0 00	25000 00	0 00	0 00
B7405 00 William J Brennan, Jr , Center for Justice, Inc 161 Avenue of the Americas New York, NY 10013 Public education, technical assistance and strategic litigation to advance electoral reforms						
02/07/02	0 00	750000 00	0 00	437500 00	0 00	312500 00
B2002 50 Wilton Park Wiston House Steyning WEst Sussex BN44 3DZ United Kingdom Conference to examine chemical and biological weapons terrorism						
03/28/02	0 00	25000 00	0 00	25000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002 30 WNYC Radio One Centre Street New York, NY 1007 Rebuilding organizational cpacity and strengthening programming in the aftermath of the terrorist attacks on 9/11/2001						
03/04/02	0 00	500000 00	0 00	500000 00	0 00	0 00
B0133 00 Women's Project & Production 55 WEst End Avenue New York, NY 10023 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7084 00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 pennsylvania Avenue, NW Washington, DC 20004-3027 Creation of centers for advanced study and education in Russia						
04/13/00	2400000 00	0 00	960000 00	960000 00	0 00	0 00
B7313 00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20523 Creation of centers for advanced study and education in Russia						
06/14/01	1110200 00	0 00	1110200 00	555100 00	0 00	555100 00
B7341 00 Woodrow Wilson International Center for Scholars 1300 Pennsylvania Avenue, NW One Woodrow Wilson Plaza Washington, DC 20007 3027 Program on conflict preventionwas B7247						
02/01/01	460100 00	0 00	291070 00	153612 00	0 00	137458 00
B7492 00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20523 Project on interdisciplinary policy dialogues and publications on critical water issues						
06/13/02	0 00	400000 00	0 00	210157 00	0 00	189843 00
B7491 00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20523 Creation of center for advanced study and education in Russia						
06/13/02	0 00	4300000 00	0 00	0 00	0 00	4300000 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7468 00 Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, CN 5281 Suite 300 Princeton, NJ 08543 5281 Development of ten new early college high schools emphasizing liberal arts education						
06/13/02	0 00	500000 00	0 00	375000 00	0 00	125000 00
B0134 00 Wooster Group, Inc PO Box 654 Canal Street Station New York, NY 10013 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B2002 63 World Affairs Council Of Northern California 312 Sutter Street Suite 200 San Francisco, CA 94108 Conference on Islam						
05/29/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0135 00 World Music Institute, Inc 49 West 27th Street New York, NY 10001 Support						
02/07/02	0 00	75000 00	0 00	75000 00	0 00	0 00
B7248 00 Yale University Woodbridge Hall 105 Wall Street, P O Box 208229 New Haven, CT 06520 Project to explore the nature and scaleof threats to self-determination posed by globalization						
02/01/01	445500 00	0 00	266378 00	154739 00	0 00	111639 00
B2002 61 Yale University P O Box 208301 New Haven, CT 06520-8301 Strategies for sustainable water resource development in Namibia						
05/16/02	0 00	25000 00	0 00	25000 00	0 00	0 00
B0136 00 Young Audiences/New York, Inc One East 53rd Street 8th Floor New York, NY 10022 Support						
02/07/02	0 00	100000 00	0 00	100000 00	0 00	0 00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80137 00 Young Playwights, Inc 306 West 38th Street Suite 300 New York, NY 10018 Support						
02/07/02	0 00	25000 00	0 00	25000 00	0 00	0 00
87068 00 ZWoodrow Wilson National Fellowship Fouation CN 5281 Princeton, NJ 08543-5281 Strengthening historical literacy in grades 11 14						
04/13/00	988800 00	0 00	266083 00	266083 00	0 00	0 00
GRANT TOTALS FOR US	132754795 00	39239401 00	81086037 56	1040800 00	89867358 44	
GRAND TOTALS	151387423 20	52979869 00	95194314 76	1040800 00	108132177 44	

Less Refunds <255,122.37>
Cancellations <1,040,800.00>
150,091,500.83

112,392.14 OFFICER ADMINISTERED GRANTS FY2001 ACCRUALS PAID IN FY2002
<930,698.48> FY2002 ACCRUALS
94,376,008.42
18,074,988.06 less Payments from gift & grants refunded
76,301,020.36 TOTAL GRANT PAYMENTS PART I-LINE 26 - COL D

Attachment # 2

Carnegie Corporation of New York #13-1628151
Attachment for Part VII-B, Question 5 (c), 990-PF
For the Fiscal Year Ended September 30, 2002

Diversion: The following is a report on grants to domestic and foreign organizations and individuals for which Carnegie Corporation of New York is assuming expenditure responsibility To the knowledge of the foundation, and based on the reports furnished by the grantees, no part of these grants was used for other than charitable purposes

DOMESTIC ORGANIZATIONS
Grants to organizations other than a charitable, etc , organization described in IRS Code section 509(a)(1), (2), or (3), or after December 31, 1984, section 4940(d)(2)

Grant No B 6664
Grantee BATTELLE MEMORIAL INSTITUTE
505 King Avenue
Columbus, OH 43201-2693
Grant Date April 10, 1997
Amount $225,000
Purpose toward the State Science and Technology Institute
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $225,000 as of 10/31/01
Reports Received Progress and financial reports were received on 1/30/98, 3/26/99, and 2/1/00, and final financial and progress reports were received on 12/6/02

Grant No B 7017
Grantee BENTON FOUNDATION
1800 K Street, NW
Suite 200
Washington, DC 20006
Grant Date October 14, 1999
Amount $325,000
Purpose toward its telecommunications policy and practices program
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $325 000 as of 9/30/01
Reports Received Progress reports were received on 11/20/00 and 12/7/01 Financial reports were received on 11/20/00, 3/29/01, and 12/7/01

Grant No B 7219
Grantee CAMERA NEWS, INC
545 Eighth Avenue, 10th Floor
New York, NY 10018
Grant Date October 12, 2000
Amount $250,000
Purpose toward educational outreach and dissemination of a documentary on Ralph Bunche
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $165,524 as of 12/31/01
Reports Received Progress report was received on 12/27/01 Financial report was received on 2/25/02 The grant was extended to 6/30/02

Grant No D 01055
Grantee GREATER BIRMINGHAM MINISTRIES, INC
2304 12th Avenue North
Birmingham, AL 35234-3111
Grant Date June 25, 2001
Amount $25,000
Purpose Toward the Fannie Lou Hamer Education Project to educate communities of color about campaign finance reform
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spend by grantee $25,000 as of 11/20/02
Reports Received Progress report received 9/25/01 Final financial and progress reports received 11/20/02

Grant No B 6860
Grantee INSTITUTE OF INTERNATIONAL EDUCATION, INC
809 United Nations Plaza
New York, NY 10017-3580
Grant Date October 8, 1998
Amount $388,600
Purpose as a final grant toward the International Health Policy Program
Payments Made Grant was paid in full prior to this fiscal year $238,064 was carried over from B 6617
Amount of grant spent by grantee $549,528 as of 6/30/02
Reports Received Progress reports were received on 1/21/00 and 12/19/00 Financial reports were received on 1/24/00, 12/28/00, and 11/21/02 The grant was extended to 4/30/03 Annual progress and financial reports were requested 11/14/02

Grant No B 7302
Grantee MATHEMATICA POLICY RESEARCH, INC
P O Box 2393
Princeton, NJ 08543-2393
Grant Date June 14, 2001
Amount $477,800
Purpose Toward evaluation of an alternative teacher certification program
Payments Made 7/18/01 $242,607
10/30/02 $183,595
Amount of grant spent by grantee $48,266 as of 6/30/02
Reports Received Progress and financial reports were received 9/17/02

Grant No D B 7302 R01
Grantee MATHEMATICA POLICY RESEARCH INC
P O Box 2393
Princeton, NJ 08543-2393
Grant Date July 1 2002
Amount $34,900
Purpose Toward additional support for the evaluation of an alternative teacher certification program
Payments Made Payment of $27,646 made on 7/17/02
Reports Received No reports were due as of 9/30/02

Grant No D 02051
Grantee MEDIA KIDZ RESEARCH AND CONSULTING
78 Grayson Place
Teaneck, NJ 07666
Grant Date March 28, 2002
Amount $23,000
Purpose For research and writing on the impact of educational television
Payments Made Payment of $23,000 made 4/9/02
Reports Received No reports were due as of 9/30/02

Grant No B 6996
Grantee NATIONAL GOVERNORS' ASSOCIATION CENTER FOR BEST PRACTICES
Hall of States
444 North Capitol Street
Washington DC 20001-1512
Grant Date October 14, 1999
Amount $600,000
Purpose toward a joint project with the National Conference of State Legislatures to provide assistance to state leaders in strengthening teacher education
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $560,009 as of 12/4/02
Reports Received Progress and financial reports were received 11/6/00 and 5/3/02 The grant was extended to 11/30/01 $34,335 68 was carried over to grant B 7303 on May 6, 2002 $5,655 was carried over to grant B 7303 on October 17, 2002 Final financial report was received 12/4/02

Grant No B 7303
Grantee NATIONAL GOVERNORS' ASSOCIATION CENTER FOR BEST PRACTICES
Hall of States
444 North Capitol Street
Washington, DC 20001-1512
Grant Date June 14, 2001
Amount $505,400
Purpose for a joint project with the National Conference of State Legislatures to provide assistance to state leaders in strengthening teacher education
Payments Made $292 230 was paid 10/1/01
Reports Received No reports were due as of 9/30/02 $34,335 68 was carried over from grant B 6996 on May 6, 2002 $5,655 was carried over from grant B 6996 on October 17, 2002

Grant No B 7013
Grantee DEMOS A NETWORK FOR IDEAS AND ACTION, LTD
(FORMERLY NETWORK FOR AMERICAN RENEWAL)
155 Avenue of the Americas, 4th Floor
New York, NY 10013
Grant Date October 14, 1999 Amount $200,000
Purpose toward support
Payments Made December 8, 1999 $150,000
February 7, 2001 $50,000
Amount of grant spent by grantee $150,000 00 as of January 31, 2001
Reports Received Progress and financial reports were received 2/5/01
Note 501(c)(3) determination received

Grant No D 99044
Grantee PLOUGHSHARES FUND
Fort Mason Center
Building B, Suite 330
San Francisco, CA 94123
Grant Date September 7, 1999
Amount $25,000
Purpose Toward the Peace and Security Funders Group
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 as of 5/31/01
Reports Received Progress and financial reports were received on 7/17/00 and 9/4/01

Grant No D 99044 R01
Grantee PLOUGHSHARES FUND
Fort Mason Center
Building B, Suite 330
San Francisco, CA 94123
Grant Date January 8, 2002
Amount $50,000
Purpose Toward the Peace and Security Funders Group
Payments Made $29,167 was paid 1/30/02
Reports Received No reports were due as of 9/30/02

Grant No B 7467
Grantee THE TEACHER EDUCATION ACCREDITATION COUNCIL, INCORPORATED
Suite 101, Willard Hall Education Building
Newark, DE 19716
Grant Date June 13, 2002
Amount $900,000
Purpose One-time funding toward the implementation of an evidence-based system of accreditation for teacher education institutions
Payments Made $362,417 was paid 7/17/02
Reports Received No reports were due as of 9/30/02

Grant No D 01075
Grantee TOYNBEE PRIZE FOUNDATION, INC
706 BEACON STREET
NEWTON CENTRE, MA 02495
Grant Date August 23, 2001
Amount $25,000
Purpose Toward a conference and dissemination on the effects of globalization on cities and the role cities play in the process of globalization
Payments Made $25,000 was paid 10/1/01
Reports Received No reports were due as of 9/30/02

Grant No D 02112
Grantee WEI JINGSHENG FOUNDATION
888 16th Street, NW, Suite 400
Washington, DC 20006
Grant Date September 12, 2002
Amount $50,000
Purpose For writing and research by Wei Jingsheng on human rights in China
Payments Made No payments made as of 9/30/02
Reports Received No reports were due as of 9/30/02

Grant No D 01060
Grantee WOODROW WILSON NATIONAL FELLOWSHIP FOUNDATION
5 Vaughn Drive
CN 5281
Suite 300
Princeton, NJ 08543
Grant Date July 6, 2001
Amount $41,400
Purpose For a documentary history of liberal arts education
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $41,400 as of 12/31/01
Reports Received Progress and financial reports were received 4/8/02

Grant No B 7171
Grantee YOUTH VOTE 2000
1730 M Street, NW
Suite 1000
Washington, DC 20036
Grant Date June 8, 2000
Amount $200,000
Purpose toward support

Payments Made Grant was paid in full prior to this fiscal year
Amount spent by grantee $200,000 as of 12/19/02
Reports Received Final progress and financial reports received 12/19/02
Note Grant completed as a project of the Tides Center, an organization described in section 509(a)(1)

Foreign Organizations

Grant No B 7217
Grantee AFRICAN WOMEN'S DEVELOPMENT FUND
c/o Akina Mama wa Afrika
334-336 Goswell Road
London EC1V 7LQ
UNITED KINGDOM
Grant Date October 12, 2000
Amount $500,000
Purpose toward establishment and general support
Payments Made 6/6/01 $275,000
1/9/02 $142,000
Amount of grant spent by grantee $272,183 as of 9/30/01
Reports Received Financial and progress reports received 12/6/01

Grant No B 7152
Grantee ASPEN INSTITUTE BERLIN
Inselstrasse 10
Berlin D-141 29
GERMANY
Grant Date June 8, 2000
Amount $400,700
Purpose toward the German-American-Russian policy dialogue
Payments Made $230,030 was paid 7/6/00
Amount of grant spent by grantee $230,030 as of January 2002
Reports Received Progress reports were received 3/5/02, 7/9/02, and 8/8/02 Financial report was received 1/17/02
Note Unpaid balance of grant transferred to Brown University

Grant No D 00058
Grantee ASSOCIATION OF AFRICAN UNIVERSITIES
P O Box 5744
Accra-North
GHANA
Grant Date July 6, 2000
Amount $25,000
Purpose toward a study on variants of university education provision in Africa
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 as of 9/30/02
Reports Received Final financial report was received 11/4/02

Grant No D 01008
Grantee ASSOCIATION OF AFRICAN UNIVERSITIES
African Universities House
Aviation Road Extension, Airport Residential Area
P O Box 5744
Accra-North, GHANA
Grant Date November 6, 2000
Amount $25,000
Purpose toward the 10th AAU General Conference
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 as of 9/30/01
Reports Received Final financial and progress reports were received 1/22/02

Grant No D 01070
Grantee ASSOCIATION OF AFRICAN UNIVERSITIES
Aviation Road Extension, Airport Residential Area
P O Box 5744
Accra-North
GHANA
Grant Date August 9, 2001
Amount $25,000
Purpose toward a conference on the role of African university libraries in the 21st Century
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 as of 12/31/01
Reports Received Final financial and progress reports were received 5/30/02

Grant No D 00033 R01
Grantee ASSOCIATION OF CANADIAN UNIVERSITIES FOR NORTHERN STUDIES
405-17 York Street, Suite 405
Ottowa, Ontario K1N 9J6
CANADA
Grant Date June 4 2002
Amount $40,000
Purpose As a final grant toward an international conference on arctic and circumpolar issues
Payments Made $40,000 was paid 7/10/02
Reports Received No reports were due as of 9/30/02

Grant No D 02039
Grantee AUSTRALIAN NATIONAL UNIVERSITY
Canberra ACT 2601
AUSTRALIA
Grant Date March 8, 2002
Amount $24 900
Purpose For research and writing on internal conflict and conflict management in the Asian-Pacific region
Payments Made $24,900 was paid 6/5/02
Reports Received No reports were due as of 9/30/02

Grant No B 7036
Grantee UNIVERSITY OF BRADFORD
West Yorkshire BD7 1DP
UNITED KINGDOM
Grant Date February 1, 2000
Amount $200,000
Purpose toward a project on preventing the proliferation of biological weapons
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $108,706 00 as of March 31, 2001
Reports Received A financial report was received 5/8/01 A progress report was received 5/22/01 Final financial and progress reports were requested 12/2/02

Grant No B 7036 R01
Grantee UNIVERSITY OF BRADFORD
West Yorkshire BD7 1DP
UNITED KINGDOM
Grant Date February 7, 2002
Amount $247,800
Purpose Toward development of Genomics Gateway, a website to disseminate information on international treaties and agreements on biotechnology
Payments Made $142 708 was paid 4/1/02
Reports Received No reports were due as of 9/30/02

Grant No D 02004
Grantee CENTRE FOR HIGHER EDUCATION TRANSFORMATION TRUST
West Yorkshire BD7 1DP
UNITED KINGDOM
Grant Date October 4, 2001
Amount $50 000
Purpose Toward a study of higher education in the Eastern Cape, South Africa
Payments Made $50 000 was paid 12/12/01
Amount of grant spent by grantee $50,000 as of 8/30/02
Reports Received Final financial and progress reports were received 8/30/02

Grant No B 6709
Grantee CIVIL LIBERTIES ORGANISATION
1A Hussey Street, off Herbert Macauley Way
Jibowu Yaba
Lagos, NIGERIA
Grant Date June 12, 1997
Amount $50,000
Purpose toward education on women's rights
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 00 as of April 30, 1998
Reports Received Progress reports were received 7/30/98 and 11/22/99 A financial report was received 7/30/98 The final financial report was requested on 10/26/99, 10/17/00, and 12/2/02 Note Prohibited from future funding until reporting issues are resolved

Grant No B 6921
Grantee COMMUNITY FOUNDATION FOR THE WESTERN REGION OF ZIMBABWE
P O Box 1799
Bulawayo, ZIMBABWE
Grant Date April 8, 1999
Amount $150 000
Purpose as a final grant toward support
Payments Made Grant was paid in full prior to this fiscal year $1,155 was carried over from grant B 6712
Amount of grant spent by grantee $110,556 89 as of June 30, 2001
Reports Received No reports scheduled 10/1/01-9/30/02, final report due 10/1/02 and requested 12/6/02

Grant No D 00023
Grantee CONSULTATIVE GROUP ON EARLY CHILDHOOD CARE AND DEVELOPMENT
350 Victoria Street
Toronto, Ontario M5B 2K3
CANADA
Grant Date February 11, 2000
Amount $25,000
Purpose toward the dissemination activities of an international network of early childhood organizations
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 as of June 30, 2001
Reports Received Final progress and financial reports were received 10/2/01

Grant No B 6701
Grantee COUNCIL FOR SCIENTIFIC AND INDUSTRIAL RESEARCH
P O Box M 32
Accra, GHANA
Grant Date June 12, 1997
Amount $100,000
Purpose toward the establishment of science and technology policy dialogues in Ghana
Payments Made Grant was paid in full prior to this fiscal year
Reports Received A progress report was received 6/7/99 Final progress and financial reports were requested on 1/13/00, 4/10/01 and 12/6/02

Grant No D 97061
Grantee FAMILY PLANNING ASSOCIATION OF TANZANIA
S L P 1372
Dar es Salaam,
TANZANIA
Grant Date August 7, 1997
Amount $25,000
Purpose for a project to strengthen programs and cooperation on women's health among nongovernmental organizations in Tanzania
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $25,000 00 as of August 31, 1999
Reports Received A progress report was received on 12/30/98 Financial reports were received on 1/4/99 and 2/7/00 The final progress report was requested on 3/27/00, 10/18/00, and 12/6/02 Note Prohibited from future funding until reporting issues are resolved

Grant No B 7215
Grantee FOUNDATION FOR LIBRARY AND INFORMATION SERVICE DEVELOPMENT (PTY) LTD
National Library of South Africa
P O Box 397
Pretoria 0001
SOUTH AFRICA
Grant Date October 12, 2000
Amount $46,000
Purpose for development of an inventory of public and community libraries in South Africa
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $33,740 as of 11/30/01
Reports Received A progress report was received 7/31/01 and 12/31/01 A financial report was received 12/31/01
Grant was extended to 11/30/02

Grant No B 7472
Grantee HUMAN SCIENCE RESEARCH COUNCIL
134 Pretorius Street
Private Bag X41
Pretoria 0001
SOUTH AFRICA
Grant Date June 13, 2002
Amount $150,000
Purpose For research and studies on higher education partnerships with industry
Payments Made Payment of $150,000 made on 9/12/02
Reports Received No reports were due as of 9/30/02

Grant No B 7158
Grantee INTERNATIONAL DEVELOPMENT RESEARCH CENTRE
250 Albert Street
P O Box 8500
Ottawa, ON K1G 3H9
CANADA
Grant Date June 8, 2000
Amount $500,000
Purpose as a final grant toward African Technology Policy Studies Network
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $500,000 as of 5/31/02
Reports Received A progress report was received 6/28/01 A financial report was received 6/25/01 Grant was
transferred to the African Technology Policy Studies Network Final financial and progress reports
were received 8/16/02

Grant No B 6766
Grantee INTERNATIONAL FOUNDATION FOR SOCIO-ECONOMIC AND POLITICAL STUDIES
(GORBACHEV FOUNDATION)
49, Leningradsky Prospekt
Moscow, 125468
RUSSIA
Grant Date January 8, 1998
Amount $200,000
Purpose as a final grant for a research project on Russia in the emerging global system
Payments Made Grant was paid in full prior to this fiscal year $1,220 was carried over from grant B 6286
Amount of grant spent by grantee $200,000 as of 4/30/01
Reports Received A progress report was received 2/11/99 A financial report was received 7/18/00 The grant was
extended to 4/30/01 Final progress and financial reports were received 11/7/01

Grant No B 6886
Grantee INTERNATIONAL FORUM FOR SOCIAL SCIENCES IN HEALTH
c/o Laboratorio de Ciencias Sociales (LACSO)
Apartado Postal 47795
Caracas 1040, VENEZUELA
Grant Date February 2, 1999
Amount $150,000
Purpose as a final grant toward support
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $110,000 00 as of December 31, 2000
Reports Received Progress and financial reports were received 5/18/00 and 7/18/01 Final financial and progress reports were requested 12/27/02

Grant No D 01015
Grantee INTERNATIONAL HELSINKI FEDERATION FOR HUMAN RIGHTS
Wickenburggasse 14/7
A-1080 Vienna
AUSTRIA
Grant Date December 6, 2000
Amount $20,000
Purpose toward research and dissemination of a book on the formation of the legal concept of genocide
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $16,000 00 as of 11/13/02
Reports Received Financial and progress reports was received on 12/30/02

Grant No D 01064
Grantee INTERNATIONAL HELSINKI FEDERATION FOR HUMAN RIGHTS
Wickenburggasse 14/7
A-1080 Vienna
AUSTRIA
Grant Date July 19, 2001
Amount $25,000
Purpose for a conference on trafficking in women in Eastern and Central Europe and the former Soviet Union
Payments Made Grant was paid in full prior to this fiscal year
Amount Spent by Grantee $25,000 as of 2/28/02
Reports Received Grant was extended to 2/28/02 Final financial and progress reports were received 6/4/02

Grant No B 7160
Grantee INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING
7-9 rue Eugene Delacroix
75116 Paris
FRANCE
Grant Date June 8, 2000
Amount $50,000
Purpose toward support of the Association for the Development of Education in Africa
Payments Made Grant was paid in full prior to this fiscal year
Amount Spent by Grantee $50,000 as of 6/30/01
Reports Received Final financial and progress reports were received 10/18/01

Grant No D 00061
Grantee INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING
7-9 rue Eugene-Delacroix
75116 Paris
FRANCE
Grant Date July 19, 2000
Amount $25,000
Purpose toward a study of innovations in African higher education by the Association for the Development of Education in Africa's Working Group on Higher Education
Payments Made Grant was paid in full prior to this fiscal year
Amount Spent by Grantee $25,000 as of 7/31/01
Reports Received Final financial and progress reports were received 1/22/02

Grant No B 7160 R01
Grantee INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING
7-9 rue Eugene Delacroix
75116 Paris
FRANCE
Grant Date February 7, 2002
Amount $150,000
Purpose Toward support of the Association for the Development of Education in Africa
Payments Made $150,000 was paid 3/14/02
Reports Received No reports were due as of 9/30/02

Grant No B 7207
Grantee THE INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES
Arundel House
13-15 Arundel Street
Temple Place, London WC2R 3DX
UNITED KINGDOM
Grant Date October 12, 2000
Amount $275 000
Purpose for policy-relevant research on external intervention in self-determination disputes
Payments Made November 1 2000 $120,167
December 1, 2001 $84,833
Amount Spent by Grantee $80,676 as of 9/30/01
Reports Received Financial and progress reports were received 11/27/01

Grant No B 7310
Grantee THE INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES
Arundel House
13-15 Arundel Street
Temple Place
London WC2R 3DX, UNITED KINGDOM
Grant Date June 14, 2001
Amount $400,000
Purpose Toward international security forums for Russian regions
Payments Made July 5, 2001 $231,667
August 7, 2002 $168,333
Amount Spent by Grantee $204,817 as of 5/31/02
Reports Received Financial and progress reports were received 7/7/02

Grant No B 7159
Grantee LIBRARY AND INFORMATION ASSOCIATION OF SOUTH AFRICA
Private Bag 17
Bellville 7535
SOUTH AFRICA
Grant Date June 8, 2000
Amount $249 400
Purpose for institutional support
Payments Made September 1, 2000 $95,400
November 1 2001 $83,167
Amount of grant spent by grantee $60,535 97 as of August 31, 2001
Reports Received Progress and financial reports were received 9/24/01 and 10/1/02

Grant No B 7393
Grantee INSTITUTE OF EDUCATION, UNIVERSITY OF LONDON
20 Bedford Way
London WC1H OAL
ENGLAND
Grant Date February 7, 2002
Amount $197,700
Purpose Toward research and dissemination on the sustainability of interventions to improve gender equity at Ugandan and Tanzanian universities
Payments Made No payments have been made as of 9/30/02
Reports Received No reports were due as of 9/30/02

Grant No B 7243
Grantee MOSCOW SCHOOL OF POLITICAL STUDIES
Bolshaya Nikitskaya ul 44/2
Office 22, 1-GSP
121069 Moscow
RUSSIA
Grant Date February 1, 2001
Amount $230,000
Purpose toward a project to strengthen democratic institutions and civil society in Russia
Payments Made March 7, 2001 $137,500
April 24 2002 $92,500
$3,008 11 was carried over from grant B 6879
Amount of grant spent by grantee $137,057 as of 1/31/02
Reports Received Progress report was received 3/18/02 financial report was received 4/18/02

Grant No B 6835
Grantee NIGERIAN INSTITUTE OF SOCIAL AND ECONOMIC RESEARCH
P M B 5, U I Post Office
Oyo Road, Ojoo
Ibadan, NIGERIA
Grant Date June 11, 1998
Amount $75,000
Purpose as a final grant toward promotion of strategic management of science and technology policy in Nigeria
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $37,500 00 as of June 30, 1999
Reports Received Progress and financial reports were received on 6/16/00 The grant was extended to 4/30/01
Progress and financial reports were requested 1/11/01, 2/28/02 and 12/27/02 Note Prohibited from future funding until reporting issues are resolved

Grant No B 7430
Grantee RAND AFRIKAANS UNIVERISITY
P O Box 524
Aukland Park 2006
Republic of South Africa
Grant Date April 11, 2002
Amount $1,000,000
Purpose Toward the establishment of a four-year degree in accounting at the University of Fort Hare, in partnership with Rand Afrikaans University
Payments Made $259,250 was paid 5/1/02
Reports Received No reports were due as of 9/30/02

Grant No B 7272
Grantee SOCIAL SCIENCE ACADEMY OF NIGERIA
Crescent 12, Flat 99
Kado Estate, Wuse
P O Box 8026
Abuja, NIGERIA
Grant Date April 12, 2001
Amount $34,700
Purpose toward a study of the Nigerian university system and selected universities
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $34,700 00 as of 3/31/02
Reports Received Final progress report was received on 9/18/02 Final financial report was received on 10/8/02

Grant No B 7432
Grantee UNIVERSITY OF STELLENBOSCH
Stellenbosch Institute for Advanced Study (STIAS)
Private Bag X1
Stellenbosch 7602
REPUBLIC OF SOUTH AFRICA
Grant Date April 11, 2002
Amount $1,000,000
Purpose Toward a collaborative program with the Medical University of Southern Africa on the Management of HIV/AIDS in the Workplace
Payments Made $446,004 was paid 5/15/02
Reports Received No reports were due as of 9/30/02

Grant No B 7474
Grantee UNIVERSITY OF STELLENBOSCH
Stellenbosch Institute for Advanced Study (STIAS)
Private Bag X1
Stellenbosch 7602
REPUBLIC OF SOUTH AFRICA
Grant Date June 13, 2002
Amount $150,000
Purpose Toward research and dissemination on the transformative factors that affect the production and utilization of scientific knowledge in higher education in South Africa
Payments Made $93,000 was paid 7/9/02
Reports Received No reports were due as of 9/30/02

Grant No B 7038
Grantee UNIVERSITY OF SUSSEX
Brighton BN1 9RF
UNITED KINGDOM
Grant Date February 1, 2000
Amount $129,900
Purpose toward the Harvard Sussex Program on the global elimination of biological and chemical weapons
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $47,142 as of March 31, 2001
Reports Received Progress and financial reports were received 5/1/01 Final financial and progress reports were requested 1/17/03
Note Equivalency determination made 3/8/02

Grant No B 6706
Grantee UGANDA NATIONAL COUNCIL FOR SCIENCE AND TECHNOLOGY
76 Buganda Road
P O Box 6884
Kampala, UGANDA
Grant Date June 12, 1997
Amount $150,000
Purpose as a final grant for research and training in health policy in Uganda
Payments Made Grant was paid in full prior to this fiscal year
$18,424 01 was carried over from grant B 6046
Amount of grant spent by grantee $132,644 69 as of July 31, 1998
Reports Received Progress reports were received on 9/3/98 and 4/25/00 Financial reports were received on 5/12/98 and 9/22/98 The final financial report was requested on 10/31/00, 12/21/00 and 12/27/02 Note Prohibited from future funding until reporting issues are resolved

Grant No B 7475
Grantee UNIVERSITY OF THE WESTERN CAPE
Private Bag X17
Bellville 7535
REPUBLIC OF SOUTH AFRICA
Grant Date June 13, 2002
Amount $1 000,000
Purpose For a partnership with universities and technical colleges to research and disseminate the application of information and communication technologies to achieve quality and equity in higher education in South Africa
Payments Made $415,800 was paid 8/7/02
Reports Received No reports were due as of 9/30/02

Grant No B 7476
Grantee UNIVERSITY OF THE WESTERN CAPE
Private Bag X17
Bellville 7535
REPUBLIC OF SOUTH AFRICA
Grant Date June 13, 2002
Amount $151,700
Purpose For a study of the reconfiguration of South Africa's higher education institutions
Payments Made $84,725 was paid 7/11/02
Reports Received No reports were due as of 9/30/02

Grant No D 02050
Grantee WILTON PARK
Wiston House
Steyning
West Sussex BN44 3DZ
UNITED KINGDOM
Grant Date March 28, 2002
Amount $25,000
Purpose toward a conference to examine chemical and biological weapons terrorism
Payments Made $25,000 was paid 4/17/02
Reports Received No reports were due as of 9/30/02

Grant No B 6861
Grantee WOMEN AND LAW IN EAST AFRICA
P O Box 72321
Nairobi, KENYA
Grant Date October 8, 1998
Amount $150,000
Purpose toward research on women's legal rights in Kenya, Tanzania, and Uganda
Payments Made Grant was paid in full prior to this fiscal year
$32,749 was carried over from grant B 6561
Amount of grant spent by grantee $89,082 00 as of October 31, 1999
Reports Received Progress and financial reports were received 1/23/00 Final progress and financial reports were requested on 5/16/01 and 12/27/02

Grant No B 7088
Grantee WOMEN IN LAW AND DEVELOPMENT IN AFRICA
2nd Floor, Zambia House, Union Avenue
P O Box 4622
Harare
ZIMBABWE
Grant Date April 13, 2000
Amount $250,000
Purpose as a final grant toward training and advocacy on women's rights
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $138,558 00 as of March 31, 2001
Reports Received Progress and financial reports were received 7/24/01 Final financial and progress reports were requested 10/3/02

Grant No B 6976
Grantee WOMEN'S DEVELOPMENT FOUNDATION
P O Box 31028
Braamfontein 2017, Johannesburg
SOUTH AFRICA
Grant Date June 10, 1999
Amount $150,000
Purpose as a final grant for a policy development program for gender equality
Payments Made Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee $63,875 85 as of June 30 2000
Reports Received The first annual progress and financial reports were received 8/4/00 Final financial and progress reports were requested on 12/27/02

GRANTS TO INDIVIDUALS

Grant No	D 01032
Grantee	JOY G DRYFOOS 20 Circle Drive Hastings-on-Hudson, NY 10706
Grant Date	March 9, 2001
Amount	$25,000
Purpose	for research and writing on creating full-service community schools
Payments Made	Grant was paid in full prior to this fiscal year
Amount of grant spent by grantee	$25,000 as of 3/31/02
Reports Received	Final financial and progress reports were received on 4/15/02

345 Park Avenue
New York NY 10154

Independent Auditors' Report

The Board of Trustees
Carnegie Corporation of New York

We have audited the accompanying balance sheets of Carnegie Corporation of New York as of September 30, 2002 and 2001, and the related statements of changes in net assets and cash flows for the years then ended These financial statements are the responsibility of the Corporation's management Our responsibility is to express an opinion on these financial statements based on our audits

We conducted our audits in accordance with auditing standards generally accepted in the United States of America Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation We believe that our audits provide a reasonable basis for our opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Carnegie Corporation of New York as of September 30, 2002 and 2001, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America

KPMG LLP
New York New York

December 12, 2002

KPMG LLP KPMG LLP a US limited liability partnership is a member of KPMG International a Swiss association

Balance Sheets
for the years ended September 30, 2002 and 2001

	2002	2001
Assets		
Cash	$ 19,356	$ 15,959
Investments-note 3	1,610,668,740	1,710,547,344
Refundable taxes-note 5	929,630	293,801
Grants receivable	15,636,000	—
Prepaid expenses and other assets	77,400	228,507
Fixed assets-note 4	402,398	425,029
Total assets	$1,627,733,524	$1,711,510,640
Liabilities and net assets		
Liabilities		
Grants payable	$ 108,132,177	$ 52 979,869
Accounts payable and other liabilities	4,275,045	1,450,930
Total liabilities	112,407,222	54,430,799
Net assets		
Unrestricted	1,364,353,434	1,521,742,973
Temporarily restricted	15 636,000	—
Permanently restricted	135 336,868	135,336,868
Total net assets	1,515,326,302	1,657,079,841
Total liabilities and net assets	$1,627,733,524	$1,711,510,640

See accompanying notes to financial statements

Statements of Changes in Net Assets
for the years ended September 30, 2002 and 2001

	2002	2001
Changes in unrestricted net assets		
Revenues		
Investment income		
Interest and dividends	$ 28,612,381	$ 33,286,554
Income from partnerships, net	3,242,869	26,062,940
Net realized gain on investment transactions	12,006,350	74,500,256
Total realized investment income	43,861,600	133,849,750
Less investment expenses paid	5,737,287	6,083,063
Net realized investment income	38,124 313	127,766,687
Grant income	19,364,000	—
Total unrestricted revenues	57,488,313	127,766,687
Expenses		
Grant appropriations	146,799,656	82,516,315
Appropriations for projects administered by officers	3,291 845	1,837,594
Program management and direct charitable activities	10,830,602	9,658,162
General administration	3,302,484	3 027,768
Provision for taxes, net-note 5	607,427	3,399,242
Total expenses	164,832,014	100,439,081
(Deficiency) excess of unrestricted revenues over expenses	(107,343,701)	27,327,606
Increase in unrealized depreciation of investments, net of related deferred federal excise tax (credit) of $ -0- in 2002 and $(4,598,776) in 2001-note 5	(50,045,838)	(268,805,599)
Decrease in unrestricted net assets	(157,389,539)	(241,477,993)
Changes in temporarily restricted net assets		
Grant income	15,636,000	—
Increase in temporarily restricted net assets	15,636,000	—
Decrease in net assets	(141,753 539)	(241,477,993)
Net assets, beginning of year	1,657,079,841	1,898,557,834
Net assets, end of year	$1,515,326,302	$1,657,079,841

See accompanying notes to financial statements

Statements of Cash Flows
for the years ended September 30, 2002 and 2001

	2002	2001
Cash flows from operating activities		
Decrease in net assets	$(141,753,539)	$ (241,477,993)
Adjustments to reconcile decrease in net assets to net cash used in operating activities		
Increase in unrealized depreciation of investments	50,045,838	273,404,375
Net realized gain on investment transactions	(12,006,350)	(74,500,256)
Depreciation and amortization	155,793	167,224
Change in deferred federal excise tax	—	(4,314,877)
Total adjustments	38,195,281	194,756,466
Change in refundable taxes, grants receivable, prepaid expenses and other assets	(16,120,722)	(371,958)
Change in grants payable and accounts payable and other liabilities	57,976,423	27,704,698
Net cash used in operating activities	(61,702,557)	(19,388,787)
Cash flows from investing activities		
Proceeds from sales or redemptions of investments	1,249,517,370	1,239,726,543
Purchases of investments	(1,187,678,254)	(1,220,793,560)
Purchases of fixed assets	(133,162)	(111,954)
Net cash provided by investing activities	61,705,954	18,821,029
Change in cash	3,397	(567,758)
Cash, beginning of year	15,959	583,717
Cash, end of year	$ 19,356	$ 15,959

See accompanying notes to financial statements

Notes to Financial Statements
September 30, 2002 and 2001

(1) Organization

Carnegie Corporation of New York (the Corporation) is a philanthropic grantmaking foundation that was created by Andrew Carnegie in 1911 to promote the advancement and diffusion of knowledge and understanding The Corporation has a policy of selecting a few areas at a time in which to concentrate its grants The Corporation is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code

(2) Summary of significant accounting policies

The accompanying financial statements have been prepared on the accrual basis of accounting

Fixed assets are stated at cost Depreciation is calculated on a straight-line basis over the estimated lives of the related assets ranging from five to ten years Leasehold improvements are amortized over the remaining life of the lease

Grants receivable at September 30, 2002 total $15,636,000 of which $6,768,000 is expected to be received within one year and $8,868,000 in two to three years

Grant appropriations, including multi-year grants, are recorded as an expense and a payable when grants are approved and communicated to the grantees

For purposes of the statements of cash flows, cash includes all cash held in bank accounts at September 30, 2002 and September 30, 2001

The resources of the Corporation consist of permanently restricted, temporarily restricted and unrestricted net assets Permanently restricted net assets represent the original sums received from Andrew Carnegie who, by the terms of the conveying instrument, stipulated that the principal may never be expended Temporarily restricted net assets are subject to donor-imposed stipulations that will be met either by actions of the Corporation or the passage of time Unrestricted net assets are not subject to donor imposed restrictions

The fair value of investments has been determined as indicated in note 3 The carrying amounts of the Corporation's other financial instruments approximate fair value because of their short maturity

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period Actual results could differ from those estimates

(3) Investments

Readily marketable investments are reported at fair value on the basis of quoted market prices Limited partnerships and similar interests are reported at fair value based on financial statements and other information received from the partnerships The general partner determines the fair value of securities using quoted market prices, if available, or using other valuation methods, including independent appraisals Investments in limited partnerships and similar interests totaled $914 474,217 at September 30, 2002 and $760,336,841 at September 30, 2001

Investments are composed of the following at September 30, 2002 and 2001

	September 30, 2002		September 30, 2001	
	Cost	Fair Value	Cost	Fair Value
Equities	$ 777,336,835	$ 657,164,230	$ 850,324,547	$ 744,874,164
Fixed income	241,882,051	257,278,087	301,804,635	315,943,709
Real estate	209,157,972	234,047,752	198,543,219	230,763,332
Absolute return	301,589,852	308,023,841	263 784,511	275 366 378
Private equity	174,640,837	154,705,550	160,170,586	164,233,624
Due to brokers, net	(427,413)	(550,720)	(20,614,598)	(20,633,863)
Total	$1,704,180,134	$1,610,668,740	$1,754,012,900	$1,710,547,344

Included in the table above is accrued investment income of $1,861,832 and $2,886,954 at September 30, 2002 and 2001, respectively

At September 30, 2002, the Corporation had unfunded commitments of approximately $302 million in various private equity and real estate limited partnership investments

As a result of its investing strategies, the Corporation is a party to index futures contracts The Corporation's fixed income investment manager uses treasury index futures contracts to manage the duration of the fixed income portfolio Changes in the market value of these futures contracts are recognized currently in the statements of changes in net assets, using the marked-to-market method However, index futures contracts involve, to varying degrees, elements of market risk and credit risk in excess of the amounts recorded on the balance sheets Market risk represents the potential loss the Corporation faces due to the decrease in the value of the financial instruments in the table below Credit risk represents the potential loss the Corporation faces due to the inability of counterparties to meet the terms of their contracts

The table below summarizes the long and short exchange-traded financial futures positions at September 30, 2002, and September 30, 2001

	September 30, 2002		September 30, 2001	
Index future contracts	Net number of contracts-long/(short)	Contract Value (in $ millions)	Net number of contracts-long/(short)	Contract Value (in $ millions)
30-year Treasury bond	240	27 4	(39)	(4 1)
10-year Treasury note	221	24 7	312	33 9
5-year Treasury note	(67)	(7 6)	72	7 8
2-year Treasury note	-	-	(100)	(21 0)

The margin requirements on deposit with third-party safekeeping banks for index futures contracts were approximately $ 8 million at September 30, 2002, and $ 6 million at September 30, 2001 The partnerships in which the Corporation invests may also hold index futures and options These positions are not included in the table above

The Corporation permits its investment managers to use forward foreign exchange contracts to manage the currency risk inherent in owning securities denominated in foreign currencies In a forward foreign currency transaction, the Corporation agrees to exchange one currency for another on an agreed-upon date at an agreed-upon exchange rate At September 30, 2002 and 2001, the Corporation held forward currency buy contracts with notional amounts totaling $1 2 million and $2 8 million, respectively Such contracts involve, to varying degrees, risks of loss arising either from the potential change in market prices or from the possible inability of counterparties to meet the terms of their contracts Forward foreign currency contracts are carried in the balance sheets at market value Changes in the value of forward foreign currency contracts are recognized as increases or decreases in unrealized appreciation or depreciation until such contracts are closed

The Corporation's investment advisors monitor the financial condition of the firms used for futures and forward foreign currency trading in order to minimize the risk of loss Exposure limits are placed on firms relative to their credit worthiness Management does not anticipate that losses, if any, resulting from credit or market risk would have a material adverse effect on the financial statements

(4) Fixed assets

Fixed assets are composed of the following at September 30, 2002 and 2001

	2002	2001
Leasehold improvements	$3,893,816	$3,880,126
Furniture and equipment	3,253,765	3,134,293
	7,147,581	7,014,419
Less accumulated depreciation and amortization	(6,745,183)	(6,589,390)
Total	$ 402,398	$ 425,029

(5) Taxes

The Corporation is liable for federal excise taxes of two percent of its net investment income, as defined, which includes realized capital gains, for the year However, this tax is reduced to one percent if certain conditions are met The Corporation met the requirements for the reduced tax in 2002 but not in 2001 Therefore, current taxes are estimated at one percent of net investment income, as defined, for 2002 and at two percent for 2001

Deferred taxes represent two percent of unrealized appreciation (depreciation) of investments at September 30, 2002, as qualification for the one percent tax is not determinable until the fiscal year in which gains are realized Because of unrealized losses incurred in 2002 and 2001, the Corporation has no deferred tax liability

During 2002 and 2001, the Corporation had unrelated business income of $348,600 and $1,060,200, respectively, from certain investment partnership activities Federal and state taxes of $100,700 in 2002 and $462,900 in 2001 on this income are calculated using applicable corporate tax rates and are included in the provision for taxes

The Corporation paid estimated federal excise taxes of $1,250,000 in 2002 and $2,940,000 in 2001 The Corporation also paid estimated federal unrelated business income tax of $255,000 in 2002 and $150,000 in 2001

(6) Benefit plans

The Corporation purchases annuities for qualifying employees under the terms of a noncontributory, defined contribution retirement plan with Teachers Insurance and Annuity Association and College Retirement Equities Fund Retirement plan expense for the years ended September 30, 2002 and 2001, was $947,000 and $859,600, respectively

In addition, the Corporation has a noncontributory defined benefit annuity plan to supplement the basic plan described above This plan is also administered by Teachers Insurance and Annuity Association and College Retirement Equities Fund Contributions to this plan are based on actuarial calculations No contribution was required in 2002 or 2001 At December 31, 2001, the assets of the plan exceeded the actuarial present value of accumulated plan benefits by approximately $1,273,000

In addition, the Corporation provides certain medical benefits to its retirees The cost of providing these benefits was $127,100 in 2002 and $98,800 in 2001, on a pay-as-you-go basis

(7) Leases

The Corporation occupies office space at 437 Madison Avenue under a lease agreement expiring December 31, 2003 and has entered into a lease agreement with the landlord effective January 1, 2004 to continue renting this space through December 31, 2013

The following is a schedule of the future minimum lease payments at September 30, 2002

Fiscal year ending September 30	
2003	$ 1,517 000
2004	1,604 000
2005	1,604,000
2006	1,604,000
2007	1,604 000
2008-2014	10,517,000
	$18,450,000

Rental expense for 2002 and 2001, including escalations, was $1,425,300 and $1,422,600, respectively

Form **8865**

Department of the Treasury
Internal Revenue Service

Return of U.S. Persons With Respect to Certain Foreign Partnerships

▶ Attach to your tax return See separate instructions

Information furnished for the foreign partnership's tax year (see instructions) beginning 7/1, 2001, and ending 12/31, 2001

OMB No 1545-1668

2001

Attachment Sequence No **118**

Important: *All information must be in English All amounts must be in U S dollars unless otherwise indicated*

Name of person filing this return	Filer's identifying number
Carnegie Corporation of New York	13-1628151

Filer's address (if you are not filing this form with your tax return)
437 Madison Avenue
New York, New York 10022

A Category of filer (see **Categories of Filers** in the instructions and check applicable box(es))
1 [ ] 2 [ ] 3 [x] 4 [ ]

B Filer's tax year beginning 10/1 2001, and ending 9/30 2002

C Filer's share of liabilities Nonrecourse $ None Qualified nonrecourse financing $ None Other $ None

D If filer is a member of a consolidated group but not the parent, enter the following information about the parent Not Applicable

Name | EIN

Address

E Information about certain other partners (see instructions) Not Applicable

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es) Category 1	Category 2	Constructive owner

F1 Name and address of foreign partnership
CCG Investment (BVI), LP
c/o Golden Gate Capital, One Embarcadero Center, 33rd Floor
San Francisco, California 94111

2 EIN (if any) 94-3402599

3 Country under whose laws organized British Virgin Islands

4 Date of organization	5 Principal place of business	6 Principal business activity code number	7 Principal business activity	8 Functional currency and exchange rate (see instr)
7/12/2001	U S A	525990	Investment	U S Dollars

G Provide the following information for the foreign partnership's tax year

1 Name, address, and identifying number of agent (if any) in the United States
Golden Gate Capital Management, LLC
One Embarcadero Center, 33rd Floor
San Francisco, CA 94111 (EIN 94-3380896)

2 Check if the foreign partnership must file
[ ] Form 1042 [ ] Form 8804 [x] Form 1065 or 1065-B
Service Center where Form 1065 or 1065-B is filed
Ogden, Utah 84201-0011

3 Name and address of foreign partnership's agent in country of organization, if any
HWR Services Limited
Craigmuir Chambers, P O Box 71
Road Town, Tortola, British Virgin Islands

4 Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different
c/o Golden Gate Capital - Attn Sue Breedlove
One Embarcadero Center, 33rd Floor
San Francisco, California 94111

5 Were any special allocations made by the foreign partnership? ▶ [ ] Yes [x] No

6 Number of foreign disregarded entities owned by the partnership *(attach list)* ▶ None

7 How is this partnership classified under the law of the country in which it is organized? ▶ Limited Partnership

8 Did the partnership own any separate units within the meaning of Regulations section 1 1503-2(c)(3) or (4)? ▶ [ ] Yes [x] No

9 Does this partnership meet **both** of the following requirements?
- The partnership's total receipts for the tax year were less than $250,000 and
- The value of the partnership's total assets at the end of the tax year was less than $600,000

▶ [ ] Yes [x] No

If "Yes," do not complete Schedules L M-1 and M-2

Sign Here Only If You Are Filing This Form Separately and Not With Your Tax Return

Under penalties of perjury I declare that I have examined this return including accompany schedules and statements and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge

▶ Signature of general partner or limited liability company member ▶ Date

Paid Preparer's Use Only

Preparer's signature ▶ [signature] Date 7/24/2002 Check if self-employed ▶ [ ] Preparer's SSN or PTIN

Firm's name (or yours if self-employed), address and ZIP code ▶ KPMG LLP, 345 Park Avenue, New York, NY 10154 EIN ▶ 13-5565207 Phone no (212) 872-7648

Schedule A **Constructive Ownership of Partnership Interest.** Check the boxes that apply to the filer If you check box b, enter the name, address, and U S taxpayer identifying number (if any) of the person(s) whose interest you constructively own See instructions

a [x] Owns a direct interest b [ ] Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner
Not Applicable				

Schedule A-1 **Certain Partners of Foreign Partnership** (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person
None			

Does the partnership have any other foreign person as a direct partner? . . . . [ ] Yes [x] No

Schedule A-2 **Affiliation Schedule.** List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
None				

Schedule B **Income Statement - Trade or Business Income** Not Applicable

Caution. *Include only trade or business income and expenses on lines 1a through 22 below See the instructions for more information*

Income	1a	Gross receipts or sales	1a		
	b	Less returns and allowances	1b		1c
	2	Cost of goods sold			2
	3	Gross profit Subtract line 2 from line 1c			3
	4	Ordinary income (loss) from other partnerships, estates, and trusts *(attach schedule)*			4
	5	Net farm profit (loss) *(attach Schedule F (Form 1040))*			5
	6	Net gain (loss) from Form 4797, Part II, line 18			6
	7	Other income (loss) *(attach schedule)*			7
	8	**Total income (loss)** Combine lines 3 through 7			8
Deductions (see page 8 of the instructions for limitations)	9	Salaries and wages (other than to partners) (less employment credits)			9
	10	Guaranteed payments to partners			10
	11	Repairs and maintenance			11
	12	Bad debts			12
	13	Rent			13
	14	Taxes and licenses			14
	15	Interest			15
	16a	Depreciation (if required, attach Form 4562)	16a		
	b	Less depreciation reported on Schedule A and elsewhere on return	16b		16c
	17	Depletion (**Do not** deduct oil and gas depletion)			17
	18	Retirement plans, etc			18
	19	Employee benefit programs			19
	20	Other deductions *(attach schedule)*			20
	21	**Total deductions.** Add the amounts shown in the far right column for lines 9 through 20			21
	22	**Ordinary income (loss)** from trade or business activities Subtract line 21 from line 8			22

Schedule D Capital Gains and Losses

Not Applicable

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (e g 100 shares of "Z" Co)	(b) Date acquired (month day year)	(c) Date sold (month day year)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) ((d) minus (e))
1						

2	Short-term capital gain from installment sales from Form 6252, line 26 or 37	2	
3	Short-term capital gain (loss) from like-kind exchanges from Form 8824	3	
4	Partnership's share of net short-term capital gain (loss), including specially allocated short-term capital gains (losses), from other partnerships, estates, and trusts	4	
5	**Net short-term capital gain or (loss).** Combine lines 1 through 4 in column (f) Enter here and on Form 8865, Schedule K, line 4d or 7	5	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (e g 100 shares of "Z" Co)	(b) Date acquired (month day year)	(c) Date sold (month day year)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) ((d) minus (e))	(g) 28% rate gain or (loss) *(see instr below)
6							

7	Long-term capital gain from installment sales from Form 6252, line 26 or 37	7		
8	Long-term capital gain (loss) from like-kind exchanges from Form 8824	8		
9	Partnership's share of net long-term capital gain (loss), including specially allocated long-term capital gains (losses), from other partnerships, estates, and trusts	9		
10	Capital gain distributions	10		
11	Combine lines 6 through 10 in column (g) Enter here and on Schedule K, line 4e(2) or 7	11		
12	**Net long-term capital gain or (loss)** Combine lines 6 through 10 in column (f) Enter here and on Form 8865, Schedule K, line 4e(1) or 7	12		

***28% rate gain or (loss)** includes all "collectibles gains and losses" (as defined in the instructions)

Schedule K **Partners' Shares of Income, Credits, Deductions, etc.** Not Applicable

		(a) Distributive share items		(b) Total amount
Income (Loss)	1	Ordinary income (loss) from trade or business activities (enter from Schedule B, line 22)	1	
	2	Net income (loss) from rental real estate activities *(attach Form 8825)*	2	
	3 a	Gross income from other rental activities 3a		
	b	Expenses from other rental activities *(attach schedule)* 3b		
	c	Net income (loss) from other rental activities Subtract line 3b from line 3a	3c	
	4	Portfolio income (loss)		
	a	Interest income	4a	
	b	Ordinary dividends	4b	
	c	Royalty income	4c	
	d	Net short-term capital gain (loss)	4d	
	e	(1) Net long-term capital gain (loss)	4e(1)	
		(2) 28% rate gain (loss) ▶ (3) Qualified 5-year gain ▶		
	f	Other portfolio income (loss) *(attach schedule)*	4f	
	5	Guaranteed payments to partners	5	
	6	Net section 1231 gain (loss) (other than due to casualty or theft) *(attach Form 4797)*	6	
	7	Other income (loss) *(attach schedule)*	7	
Deductions	8	Charitable contributions *(attach schedule)*	8	
	9	Section 179 expense deduction	9	
	10	Deductions related to portfolio income (itemize)	10	
	11	Other deductions *(attach schedule)*	11	
Credits	12 a	Low-income housing credit		
		(1) From partnerships to which section 42(j)(5) applies	12a(1)	
		(2) Other than on line 12a(1)	12a(2)	
	b	Qualified rehabilitation expenditures related to rental real estate activities *(attach Form 3468)*	12b	
	c	Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities	12c	
	d	Credits related to other rental activities	12d	
	13	Other credits	13	
Investment Interest	14 a	Interest expense on investment debts	14a	
	b	(1) Investment income included on lines 4a, 4b, 4c, and 4f above	14b(1)	
		(2) Investment expenses included on line 10 above	14b(2)	
Self-Employment	15 a	Net earnings (loss) from self-employment	15a	
	b	Gross farming or fishing income	15b	
	c	Gross nonfarm income	15c	
Adjustments and Tax Preference Items	16 a	Depreciation adjustment on property placed in service after 1986	16a	
	b	Adjusted gain or loss	16b	
	c	Depletion (other than oil and gas)	16c	
	d	(1) Gross income from oil, gas, and geothermal properties	16d(1)	
		(2) Deductions allocable to oil, gas, and geothermal properties	16d(2)	
	e	Other adjustments and tax preference items *(attach schedule)*	16e	

Schedule K *(continued)*

	(a) Distributive share items		(b) Total amount
Foreign Taxes	17 a Name of foreign country or U S possession ▶		
	b Gross income from all sources	17b	
	c Gross income sourced at partner level	17c	
	d Foreign gross income sourced at partnership level		
	(1) Passive	17d(1)	
	(2) Listed categories *(attach schedule)*	17d(2)	
	(3) General limitation	17d(3)	
	e Deductions allocated and apportioned at partner level		
	(1) Interest expense	17e(1)	
	(2) Other	17e(2)	
	f Deductions allocated and apportioned at partnership level to foreign source income		
	(1) Passive	17f(1)	
	(2) Listed categories *(attach schedule)*	17f(2)	
	(3) General limitation	17f(3)	
	g Total foreign taxes (check one) ▶ Paid ☐ Accrued ☐	17g	
	h Reduction in taxes available for credit *(attach schedule)*	17h	
Other	18 Section 59(e)(2) expenditures a Type ▶ b Amount ▶	18b	
	19 Tax-exempt interest income	19	
	20 Other tax-exempt income	20	
	21 Nondeductible expenses	21	
	22 Distributions of money (cash and marketable securities)	22	
	23 Distributions of property other than money	23	
	24 Other items and amounts required to be reported separately to partners *(attach schedule)*		

Schedule L **Balance Sheets per Books** (Not required if Question G9, page 1, is answered "Yes")

Assets	Beginning of tax year (a)	Beginning of tax year (b)	End of tax year (c)	End of tax year (d)
1 Cash				
2 a Trade notes and accounts receivable				
b Less allowance for bad debts				
3 Inventories				
4 U S government obligations				
5 Tax-exempt securities				
6 Other current assets *(attach schedule)*				
7 Mortgage and real estate loans				
8 Other investments *(attach schedule)*				
9 a Buildings and other depreciable assets				
b Less accumulated depreciation				
10 a Depletable assets				
b Less accumulated depletion				
11 Land(net of any amortization)				
12 a Intangible assets (amortizable only)				
b Less accumulated amortization				
13 Other assets *(attach schedule)*				
14 Total assets				
Liabilities and Capital				
15 Accounts payable				
16 Mortgages notes bonds payable in less than 1 year				
17 Other current liabilities *(attach schedule)*				
18 All nonrecourse loans				
19 Mortgages notes bonds payable in 1 year or more				
20 Other liabilities *(attach schedule)*				
21 Partners' capital accounts				
22 Total liabilities and capital				

Not Applicable

Schedule M Balance Sheets for Interest Allocation

Not Applicable

	(a) Beginning of tax year	(b) End of tax year
1. Total U S assets		
2. Total foreign assets		
a Passive income category		
b Listed categories *(attach schedule)*		
c General limitation income category		

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return (Not required if Question G9, page 1, is answered "Yes ")

Not Applicable

1 Net income (loss) per books		6 Income recorded on books this year not included on Schedule K, lines 1 through 7 (itemize)	
2 Income included on Schedule K, lines 1 through 4, 6, and 7, not recorded on books this year (itemize)		a Tax-exempt interest $	
3 Guaranteed payments (other than health insurance)		7 Deductions included on Schedule K, lines 1 through 11, 14a, 17g, and 18b, not charged against book income this year (itemize)	
4 Expenses recorded on books this year not included on Schedule K, lines 1 through 11, 14a, 17g, and 18b (itemize)		a Depreciation $	
a Depreciation $			
b Travel and entertainment $			
		8 Add lines 6 and 7	
5 Add lines 1 through 4		9 Income (loss) Subtract line 8 from line 5	

Schedule M-2 Analysis of Partners' Capital Accounts (Not required if Question G9, page 1, is answered "Yes ")

1 Balance at beginning of year		6 Distributions a Cash	
2 Capital contributed during year		b Property	
3 Net income (loss) per books		7 Other decreases (itemize)	
4 Other increases (itemize)			
		8 Add lines 6 and 7	
5 Add lines 1 through 4		9 Balance at end of year Subtract line 8 from line 5	

Schedule N Transactions Between Controlled Foreign Partnership and Partners or Other Related Entities

Important: Complete a separate Form 8865 and Schedule N for each controlled foreign partnership Enter the totals for each type of transaction that occurred between the foreign partnership and the persons listed in columns (a) through (d)

Transactions of foreign partnership	(a) U S person filing this return	(b) Any domestic corporation or partnership controlling or controlled by the U S person filing this return	(c) Any other foreign corporation or partnership controlling or controlled by the U S person filing this return	(d) Any U S person with a 10% or more direct interest in the controlled foreign partnership (other than the U S person filing this return)
1 Sales of inventory				
2 Sales of property rights (patents, trademarks, etc)				
3 Compensation received for technical, managerial, engineering, construction, or like services				
4 Commissions received				
5 Rents, royalties, and license fees received				
6 Distributions received				
7 Interest received				
8 Other				
9 Add lines 1 through 8				
10 Purchases of inventory				
11 Purchases of tangible property other than inventory				
12 Purchases of property rights (patents, trademarks, etc)				
13 Compensation paid for technical, managerial, engineering, construction, or like services				
14 Commissions paid				
15 Rents, royalties, and license fees paid				
16 Distributions paid				
17 Interest paid				
18 Other				
19 Add lines 10 through 18				
20 Amounts borrowed (enter the maximum loan balance during the year) - see instructions				
21 Amounts loaned (enter the maximum loan balance during the year) - see instructions				

SCHEDULE O (Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership (under section 6038B)

▶ Attach to Form 8865 See Instructions for Form 8865

OMB No 1545-1668

2001

Name of transferor: Carnegie Corporation of New York

Filer's identifying number: 13-1628151

Name of foreign partnership: CCG Investments (BVI), LP

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer	(g) Percentage interest in partnership after transfer
Cash	2001		1,140,804	-			1 537733
Marketable securities							
Inventory							
Tangible property used in trade or business							
Intangible property							
Other property							

Supplemental Information Required To Be Reported (see instructions)

Not Applicable

Part II Dispositions Reportable Under Section 6038B

Not Applicable

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III

Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ▶ ☐ Yes ☒ No

Form **8621**
(Rev December 2000)
Department of the Treasury
Internal Revenue Service

Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

▶ See separate instructions

OMB No 1545-1002

Attachment Sequence No **69**

Name of shareholder	Identifying number (see page 2 of instructions)
Carnegie Corporation of New York	13-1628151
Number, street, and room or suite no (If a P O box, see page 2 of instructions)	Shareholder tax year calendar year 20 _ _ _ or other tax year beginning 10/1 , 20 01 and ending 9/30 , 2002
437 Madison Avenue	
City or town, state, and ZIP code or country	
New York, NY 10022	

Check type of shareholder filing the return ☐ Individual ☒ Corporation ☐ Partnership ☐ S Corporation ☐ Nongrantor Trust ☐ Estate

Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
Trustor AB (In Liquidation)	N/A
Address (Enter number, street, city or town, and country)	Tax year of company or fund calendar year 2001 _ _ or other tax year beginning _ _ _ _ _ _ _ _ _ _ _ _ 20 _ _ _ and ending , 20
Norrlandsgatan 16	
Stockholm SE-103 96, Sweden	

Part I Elections (See instructions)

A ☒ **Election To Treat the PFIC as a QEF** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF *Complete lines 1a through 2c of Part II*

B ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC *Enter gain or loss on line 10f of Part IV*

C ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution *Enter this amount on line 10e*

D ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated *Complete lines 3a through 4c of Part II to calculate the tax that may be deferred*
Note: *If any portion of line 1a or line 2a of Part II is includible under section 551 or 951, you may not make this election Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election*

E ☐ **Election To Recognize Gain on Deemed Sale of PFIC** I, a shareholder of a former PFIC, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC, or, if I qualify, my share of the PFIC's post-1986 earnings and profits deemed distributed, on the last day of its last tax year as a PFIC under section 1297(a) *Enter gain on line 10f of Part IV*

F ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e) *Complete Part III*

Part II Income From a Qualified Electing Fund (QEF).

All QEF shareholders complete lines 1a through 2c If you are making Election D, also complete lines 3a through 4c (See page 5 of instructions)

1a	Enter your pro rata share of the ordinary earnings of the QEF	1a	0		
b	Enter the portion of line 1a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	1b	0		
c	Subtract line 1b from line 1a Enter this amount on your tax return as dividend income			1c	0
2a	Enter your pro rata share of the total net capital gain of the QEF	2a	79		
b	Enter the portion of line 2a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	2b	0		
c	Subtract line 2b from line 2a This amount is a net long-term capital gain Enter this amount in Part II of the Schedule D used for your income tax return (See instructions)			2c	79
3a	Add lines 1c and 2c			3a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF (See instructions)	3b			
c	Enter the portion of line 3a not already included in line 3b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	3c			
d	Add lines 3b and 3c			3d	
e	Subtract line 3d from line 3a, and enter the difference (if zero or less, enter amount in brackets)			3e	

Important *If line 3e is greater than zero, and no portion of line 1a or 2a is includible in income under section 551 or 951, you may make Election D with respect to the amount on line 3e*

4a	Enter the total tax for the tax year (See instructions)	4a			
b	Enter the total tax for the tax year determined without regard to the amount entered on line 3e	4b			
c	Subtract line 4b from line 4a This is the deferred tax, the time for payment of which is extended by making Election D See instructions			4c	

Part III Gain or (Loss) From Mark-to-Market Election (See page 5 of instructions) Not Applicable

5	Enter the fair market value of your PFIC stock at the end of the tax year	5	
6	Enter your adjusted basis in the stock at the end of the tax year	6	
7	**Excess** Subtract line 6 from line 5 If a gain, **stop here** Include this amount as ordinary income on your tax return If a loss, go to line 8	7	
8	Enter any unreversed inclusions (as defined in section 1296(d)) See instructions	8	
9	Enter the smaller of line 7 or line 8 Include this amount as an ordinary loss on your tax return	9	

Part IV Distributions and Disposition of Stock in a Section 1291 Fund (See page 6 of instructions)
*Complete a **separate** Part IV for each excess distribution* Not Applicable

10a	Enter your total distributions from the PFIC during the current tax year If the holding period of the PFIC stock began in the current tax year, this amount is dividend income to the extent there are accumulated earnings and profits	10a	
b	Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the company for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	10b	
c	Divide line 10b by 3 (See instructions if the number of preceding tax years is less than 3)	10c	
d	Multiply line 10c by 125% Enter the lesser of line 10a or line 10d as a dividend on your income tax return	10d	
e	Subtract line 10d from line 10a This amount, if more than zero, is the total excess distribution If zero or less and you did not dispose of stock during the tax year, **do not** complete the rest of Part IV See instructions if you received more than one distribution during the current tax year	10e	
f	Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund If a gain, complete line 11 If a loss, show it in brackets and **do not** complete line 11	10f	
11a	Attach a statement for each distribution and disposition Show your holding period for each share of stock or block of shares held Allocate the excess distribution to each day in your holding period Add all amounts that are allocated to days in each tax year		
b	Enter the total of the amounts determined in line 11a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC tax years) Enter these amounts on your income tax return as other income	11b	
c	Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years) (See instructions)	11c	
d	Foreign tax credit (See instructions)	11d	
e	Subtract line 11d from line 11c Enter this amount on your income tax return as "additional tax" (See instructions)	11e	
f	Determine interest on each net increase in tax determined on line 11e using the rates and methods of section 6621 Enter the aggregate amount of interest here (See instructions)	11f	

Part V Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections
Complete a separate column for each outstanding election Complete lines 9 and 10 only if there is a partial termination of the section 1294 election Not Applicable

	(i)	(ii)	(iii)	(iv)	(v)	(vi)
1 Tax year of outstanding election						
2 Undistributed earnings to which the election relates						
3 Deferred tax						
4 Interest accrued on deferred tax (line 3) as of the filing date						
5 Event terminating election						
6 Earnings distributed or deemed distributed during the tax year						
7 Deferred tax due with this return						
8 Accrued interest due with this return						
9 Deferred tax outstanding after partial termination of election						
10 Interest accrued after partial termination of election						

Form **8621**
(Rev December 2000)
Department of the Treasury
Internal Revenue Service

Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

▶ See separate instructions.

OMB No 1545-1002

Attachment Sequence No **69**

Name of shareholder	Identifying number (see page 2 of instructions)
Carnegie Corporation of New York	13-1628151
Number, street, and room or suite no (If a P O box, see page 2 of instructions)	Shareholder tax year calendar year 20 _ _ _ or other tax year beginning 10/1 , 20 01 and ending 9/30 , 2002
437 Madison Avenue	
City or town state, and ZIP code or country	
New York, NY 10022	

Check type of shareholder filing the return ☐ Individual ☒ Corporation ☐ Partnership ☐ S Corporation ☐ Nongrantor Trust ☐ Estate

Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
EQT Scandinavia Limited	N/A
Address (Enter number street, city or town and country) National Westminster House P O Box 626, St Peter Port Guernsey, Channel Islands GYI 4PW	Tax year of company or fund calendar year 2001 _ _ or other tax year beginning _____ 20 _ _ _ and ending _____ , 20

Part I Elections (See instructions)

A ☒ **Election To Treat the PFIC as a QEF** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF *Complete lines 1a through 2c of Part II*

B ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC *Enter gain or loss on line 10f of Part IV*

C ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution *Enter this amount on line 10e*

D ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated *Complete lines 3a through 4c of Part II to calculate the tax that may be deferred*
Note: *If any portion of line 1a or line 2a of Part II is includible under section 551 or 951, you may not make this election Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election*

E ☐ **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC, or, if I qualify, my share of the PFIC's post-1986 earnings and profits deemed distributed, on the last day of its last tax year as a PFIC under section 1297(a) *Enter gain on line 10f of Part IV*

F ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e) *Complete Part III*

Part II Income From a Qualified Electing Fund (QEF).

All QEF shareholders complete lines 1a through 2c If you are making Election D, also complete lines 3a through 4c (See page 5 of instructions)

1a	Enter your pro rata share of the ordinary earnings of the QEF	1a	7		
b	Enter the portion of line 1a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	1b	0		
c	Subtract line 1b from line 1a Enter this amount on your tax return as dividend income			1c	7
2a	Enter your pro rata share of the total net capital gain of the QEF	2a	12,859		
b	Enter the portion of line 2a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	2b	0		
c	Subtract line 2b from line 2a This amount is a net long-term capital gain Enter this amount in Part II of the Schedule D used for your income tax return (See instructions)			2c	12,859
3a	Add lines 1c and 2c			3a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF (See instructions)	3b			
c	Enter the portion of line 3a not already included in line 3b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	3c			
d	Add lines 3b and 3c			3d	
e	Subtract line 3d from line 3a, and enter the difference (if zero or less, enter amount in brackets)			3e	
	Important *If line 3e is greater than zero, and no portion of line 1a or 2a is includible in income under section 551 or 951, you may make Election D with respect to the amount on line 3e*				
4a	Enter the total tax for the tax year (See instructions)	4a			
b	Enter the total tax for the tax year determined without regard to the amount entered on line 3e	4b			
c	Subtract line 4b from line 4a **This is the deferred tax, the time for payment of which is extended by making Election D See Instructions**			4c	

Part III Gain or (Loss) From Mark-to-Market Election (See page 5 of instructions.) Not Applicable

5	Enter the fair market value of your PFIC stock at the end of the tax year	5	
6	Enter your adjusted basis in the stock at the end of the tax year	6	
7	**Excess** Subtract line 6 from line 5 If a gain, **stop here.** Include this amount as ordinary income on your tax return If a loss, go to line 8	7	0
8	Enter any unreversed inclusions (as defined in section 1296(d)) See instructions	8	
9	Enter the smaller of line 7 or line 8 Include this amount as an ordinary loss on your tax return	9	0

Part IV Distributions and Disposition of Stock in a Section 1291 Fund (See page 6 of instructions.)
*Complete a **separate** Part IV for each excess distribution* Not Applicable

10a	Enter your total distributions from the PFIC during the current tax year If the holding period of the PFIC stock began in the current tax year, this amount is dividend income to the extent there are accumulated earnings and profits	10a	
b	Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the company for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	10b	
c	Divide line 10b by 3 (See instructions if the number of preceding tax years is less than 3)	10c	0
d	Multiply line 10c by 125% Enter the lesser of line 10a or line 10d as a dividend on your income tax return	10d	0
e	Subtract line 10d from line 10a This amount, if more than zero, is the total excess distribution If zero or less and you did not dispose of stock during the tax year, **do not** complete the rest of Part IV See instructions if you received more than one distribution during the current tax year	10e	0
f	Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund If a gain, complete line 11 If a loss, show it in brackets and **do not** complete line 11	10f	
11a	Attach a statement for each distribution and disposition Show your holding period for each share of stock or block of shares held Allocate the excess distribution to each day in your holding period Add all amounts that are allocated to days in each tax year		[redacted]
b	Enter the total of the amounts determined in line 11a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC tax years) Enter these amounts on your income tax return as other income	11b	
c	Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years) (See instructions)	11c	
d	Foreign tax credit (See instructions)	11d	
e	Subtract line 11d from line 11c Enter this amount on your income tax return as "additional tax." (See instructions)	11e	0
f	Determine interest on each net increase in tax determined on line 11e using the rates and methods of section 6621 Enter the aggregate amount of interest here (See instructions)	11f	

Part V Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections
Complete a separate column for each outstanding election Complete lines 9 and 10 only if there is a partial termination of the section 1294 election Not Applicable

	(i)	(ii)	(iii)	(iv)	(v)	(vi)
1 Tax year of outstanding election						
2 Undistributed earnings to which the election relates						
3 Deferred tax						
4 Interest accrued on deferred tax (line 3) as of the filing date						
5 Event terminating election						
6 Earnings distributed or deemed distributed during the tax year						
7 Deferred tax due with this return						
8 Accrued interest due with this return						
9 Deferred tax outstanding after partial termination of election						
10 Interest accrued after partial termination of election						

Form **8621** (Rev December 2000)
Department of the Treasury
Internal Revenue Service

Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

▶ See separate instructions.

OMB No 1545-1002

Attachment Sequence No 69

Name of shareholder	Identifying number (see page 2 of instructions)
Carnegie Corporation of New York	13-1628151
Number street and room or suite no. (If a P O box, see page 2 of instructions)	Shareholder tax year calendar year 20 _ _ _ or other tax year beginning 10/1 , 20 01 and ending 9/30 , 2002
437 Madison Avenue	
City or town, state, and ZIP code or country	
New York, NY 10022	

Check type of shareholder filing the return ☐ Individual ☒ Corporation ☐ Partnership ☐ S Corporation ☐ Nongrantor Trust ☐ Estate

Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
Lansdowne European Equity Fund Limited USD Class	N/A
Address (Enter number, street, city or town and country)	Tax year of company or fund. calendar year 20 01 _ _ or other tax year beginning _ _ _ _ _ _ _ _ _ _ _ _ 20 _ _ _ and ending , 20
c/o Fortis Fund Services (Ireland) Limited Plaza 2, Custom House Plaza, IFSC, Dublin, Ireland	

Part I Elections (See instructions)

A ☒ **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. *Complete lines 1a through 2c of Part II*

B ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC *Enter gain or loss on line 10f of Part IV*

C ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. *Enter this amount on line 10e*

D ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated *Complete lines 3a through 4c of Part II to calculate the tax that may be deferred*
Note: *If any portion of line 1a or line 2a of Part II is includible under section 551 or 951, you may not make this election Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election*

E ☐ **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC, or, if I qualify, my share of the PFIC's post-1986 earnings and profits deemed distributed, on the last day of its last tax year as a PFIC under section 1297(a) *Enter gain on line 10f of Part IV*

F ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e) *Complete Part III*

Part II Income From a Qualified Electing Fund (QEF).

All QEF shareholders complete lines 1a through 2c If you are making Election D, also complete lines 3a through 4c. (See page 5 of instructions)

1a	Enter your pro rata share of the ordinary earnings of the QEF	1a	1,548,625		
b	Enter the portion of line 1a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	1b	0		
c	Subtract line 1b from line 1a Enter this amount on your tax return as dividend income			1c	1,548,625
2a	Enter your pro rata share of the total net capital gain of the QEF	2a	-327,652		
b	Enter the portion of line 2a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	2b	0		
c	Subtract line 2b from line 2a. This amount is a net long-term capital gain Enter this amount in Part II of the Schedule D used for your income tax return (See instructions)			2c	-327,652
3a	Add lines 1c and 2c			3a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF (See instructions)	3b			
c	Enter the portion of line 3a not already included in line 3b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	3c			
d	Add lines 3b and 3c			3d	
e	Subtract line 3d from line 3a, and enter the difference (if zero or less, enter amount in brackets)			3e	
	Important: *If line 3e is greater than zero, and no portion of line 1a or 2a is includible in income under section 551 or 951, you may make Election D with respect to the amount on line 3e*				
4a	Enter the total tax for the tax year (See instructions)	4a			
b	Enter the total tax for the tax year determined without regard to the amount entered on line 3e	4b			
c	Subtract line 4b from line 4a. This is the deferred tax, the time for payment of which is extended by making Election D. See instructions			4c	

Part III Gain or (Loss) From Mark-to-Market Election (See page 5 of instructions.) Not Applicable

5	Enter the fair market value of your PFIC stock at the end of the tax year	5	
6	Enter your adjusted basis in the stock at the end of the tax year	6	
7	Excess. Subtract line 6 from line 5. If a gain, **stop here.** Include this amount as ordinary income on your tax return. If a loss, go to line 8	7	
8	Enter any unreversed inclusions (as defined in section 1296(d)). See instructions	8	
9	Enter the smaller of line 7 or line 8. Include this amount as an ordinary loss on your tax return	9	

Part IV Distributions and Disposition of Stock in a Section 1291 Fund (See page 6 of instructions.)

Complete a separate Part IV for each excess distribution Not Applicable

10a	Enter your total distributions from the PFIC during the current tax year. If the holding period of the PFIC stock began in the current tax year, this amount is dividend income to the extent there are accumulated earnings and profits	10a	
b	Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the company for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	10b	
c	Divide line 10b by 3. (See instructions if the number of preceding tax years is less than 3.)	10c	
d	Multiply line 10c by 125%. Enter the lesser of line 10a or line 10d as a dividend on your income tax return	10d	
e	Subtract line 10d from line 10a. This amount, if more than zero, is the total excess distribution. If zero or less and you did not dispose of stock during the tax year, **do not** complete the rest of Part IV. See instructions if you received more than one distribution during the current tax year	10e	
f	Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 11. If a loss, show it in brackets and **do not** complete line 11	10f	
11a	Attach a statement for each distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution to each day in your holding period. Add all amounts that are allocated to days in each tax year		
b	Enter the total of the amounts determined in line 11a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC tax years). Enter these amounts on your income tax return as other income	11b	
c	Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	11c	
d	Foreign tax credit. (See instructions.)	11d	
e	Subtract line 11d from line 11c. Enter this amount on your income tax return as "additional tax." (See instructions.)	11e	
f	Determine interest on each net increase in tax determined on line 11e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	11f	

Part V Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

Complete a separate column for each outstanding election. Complete lines 9 and 10 only if there is a partial termination of the section 1294 election Not Applicable

	(i)	(ii)	(iii)	(iv)	(v)	(vi)
1 Tax year of outstanding election						
2 Undistributed earnings to which the election relates						
3 Deferred tax						
4 Interest accrued on deferred tax (line 3) as of the filing date						
5 Event terminating election						
6 Earnings distributed or deemed distributed during the tax year						
7 Deferred tax due with this return						
8 Accrued interest due with this return						
9 Deferred tax outstanding after partial termination of election						
10 Interest accrued after partial termination of election						

Form **5471**
(Rev May 2001)
Department of the Treasury
Internal Revenue Service

Information Return of U.S. Persons With Respect to Certain Foreign Corporations

▶ See separate instructions

Information furnished for the foreign corporation's annual accounting period (tax year required by section 898) (see instructions) beginning 10/01/2001 , and ending 09/30/2002

OMB No 1545-0704

File In Duplicate
(see When and Where To File on page 1 of the Instructions)

Name of person filing this return
CARNEGIE CORPORATION OF NEW YORK

A Identifying number
13-1628151

Number, street and room or suite no (or P O box number if mail is not delivered to street address)
437 MADISON AVENUE

B Category of filer (See page 1 of the instructions Check applicable box(es))
1 [ ] 2 [ ] 3 [ ] 4 [X] 5 [X]

City or town state and ZIP code
NEW YORK NY 10022

C Enter the total percentage of the foreign corporation's voting stock you owned at the end of its annual accounting period
100.0000 %

Filer's tax year beginning 10/01/2001 , and ending 09/30/2002

D Person(s) on whose behalf this information return is filed

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es) Shareholder	Officer	Director
NOT APPLICABLE					

Important: *Fill in all applicable lines and schedules All information **must** be in the English language All amounts **must** be stated in U S dollars unless otherwise indicated*

1a Name and address of foreign corporation
SKIBO CAYMAN HOLDINGS, INC.
C/O MAPLES AND CALDER, ATTORNEYS-AT-LAW
UGLAND HOUSE, P O BOX 309, GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS, BRITISH WEST INDIES

b Employer identification number, if any
NONE

c Country under whose laws incorporated
CAYMAN ISLANDS

d Date of incorporation	e Principal place of business	f Principal business activity code number	g Principal business activity	h Functional currency
03/14/2001	CAYMAN ISLANDS	525990	SEE STATEMENT 1	U.S. DOLLARS

2 *Provide the following information for the foreign corporation's accounting period stated above*

a Name, address, and identifying number of branch office or agent (if any) in the United States
CARNEGIE CORPORATION OF NEW YORK
437 MADISON AVENUE
NEW YORK, NEW YORK 10022
13-1628151

b If a U S income tax return was filed, please show

(i) Taxable income or (loss)	(ii) U S income tax paid (after all credits)
172,791.	NONE

c Name and address of foreign corporation's statutory or resident agent in country of incorporation
MAPLES AND CALDER, ATTORNEYS-AT-LAW
UGLAND HOUSE, P.O. BOX 309
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS, BRITISH WEST INDIES

d Name and address (including corporate department, if applicable) of person (or persons) with custody of the books and records of the foreign corporation, and the location of such books and records, if different
SAME AS 2(C)

Schedule A Stock of the Foreign Corporation

Part I - All Classes of Stock

(a) Description of each class of stock	(b) Number of shares issued and outstanding (i) Beginning of annual accounting period	(ii) End of annual accounting period
ORDINARY SHARES (NO CERTIFICATE)	100.	100.

Part II - Additional Information for Preferred Stock

(To be completed **only** by Category 1 filers for foreign personal holding companies)

(a) Description of each class of Preferred stock (Note *This description should match the corresponding description entered in Part I, column (a))*	(b) Par value in functional currency	(c) Rate of dividend	(d) Indicate whether the stock is cumulative or noncumulative

Schedule B U.S. Shareholders of Foreign Corporation (See page 5 of the instructions)

(a) Name address, and identifying number of shareholder	(b) Description of each class of stock held by shareholder (Note *This description should match the corresponding description entered in Schedule A Part I column (a)*)	(c) Number of shares held at beginning of annual accounting period	(d) Number of shares held at end of annual accounting period	(e) Pro rata share of subpart F income (enter as a percentage)
CARNEGIE CORP OF NEW YORK 437 MADISON AVENUE NEW YORK, NEW YORK 10022 13-1628151	ORDINARY SHARES (NO CERTIFICATE)	100 0000	100 0000	100 00000

Schedule C Income Statement (See page 5 of the instructions)

Important: *Report all information in functional currency in accordance with U S GAAP Also, report each amount in U S dollars translated from functional currency (using GAAP translation rules) However, if the functional currency is the U S dollar, complete only the U S Dollars column See instructions for special rules for DASTM corporations*

				Functional Currency	U S Dollars
Income	1 a	Gross receipts or sales	1a		
	b	Returns and allowances	1b		
	c	Subtract line 1b from line 1a	1c		
	2	Cost of goods sold	2		
	3	Gross profit (subtract line 2 from line 1c)	3		
	4	Dividends	4		
	5	Interest	5		5,726
	6	Gross rents, royalties, and license fees	6		
	7	Net gain or (loss) on sale of capital assets	7		
	8	Other income (attach schedule) SEE STATEMENT 2	8		3,380,448
	9	Total income (add lines 3 through 8)	9		3,386,174.
Deductions	10	Compensation not deducted elsewhere	10		
	11	Rents, royalties, and license fees	11		
	12	Interest	12		
	13	Depreciation not deducted elsewhere	13		
	14	Depletion	14		
	15	Taxes (exclude provision for income, war profits, and excess profits taxes)	15		
	16	Other deductions (attach schedule - exclude provision for income, war profits, and excess profits taxes) SEE STATEMENT 2	16		1,305,490
	17	Total deductions (add lines 10 through 16)	17		1,305,490
Net Income	18	Net income or (loss) before extraordinary items, prior period adjustments, and the provision for income, war profits, and excess profits taxes (subtract line 17 from line 9)	18		2,080,684.
	19	Extraordinary items and prior period adjustments (see instructions)	19		
	20	Provision for income, war profits, and excess profits taxes (see instructions)	20		
	21	Current year net income or (loss) per books (line 18 plus line 19 minus line 20)	21		2,080,684

Schedule E Income, War Profits, and Excess Profits Taxes Paid or Accrued (See page 5 of instructions)

	(a) Name of country or U S possession	Amount of tax (b) In foreign currency	(c) Conversion rate	(d) In U S dollars
1	US			
2	NOT APPLICABLE			
3				
4				
5				
6				
7				
8	Total ▶			

Schedule F Balance Sheet

Important *Report all amounts in U S dollars prepared and translated in accordance with U S GAAP See page 5 of the instructions for an exception for DASTM corporations*

	Assets		(a) Beginning of annual accounting period	(b) End of annual accounting period
1	Cash	1	30,000,100	5,826
2a	Trade notes and accounts receivable	2a		
b	Less allowance for bad debts	2b	( )	( )
3	Inventories	3		
4	Other current assets (attach schedule)	4		
5	Loans to stockholders and other related persons	5		
6	Investment in subsidiaries (attach schedule)	6		
7	Other investments (attach schedule) SEE STATEMENT 3	7	55,105,083	87,180,041
8a	Buildings and other depreciable assets	8a		
b	Less accumulated depreciation	8b	( )	( )
9a	Depletable assets	9a		
b	Less accumulated depletion	9b	( )	( )
10	Land (net of any amortization)	10		
11	Intangible assets			
a	Goodwill	11a		
b	Organization costs	11b		
c	Patents, trademarks, and other intangible assets	11c		
d	Less accumulated amortization for lines 11a, b, and c	11d	( )	( )
12	Other assets (attach schedule)	12		
13	Total assets	13	85,105,183	87,185,867
	Liabilities and Stockholders' Equity			
14	Accounts payable	14		
15	Other current liabilities (attach schedule)	15		
16	Loans from stockholders and other related persons	16		
17	Other liabilities (attach schedule)	17		
18	Capital stock			
a	Preferred stock	18a		
b	Common stock	18b		
19	Paid-in or capital surplus (attach reconciliation)	19		
20	Retained earnings	20	85,105,183	87,185,867
21	Less cost of treasury stock	21	( )	( )
22	Total liabilities and stockholders' equity	22	85,105,183	87,185,867

Schedule G Other Information

		Yes	No
1	During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, in a foreign partnership? . . . . . If "Yes," see page 5 of the instructions for required attachment	☐	☒
2	During the tax year, did the foreign corporation own an interest in any trust?	☐	☒
3	During the tax year, did the foreign corporation own any foreign entities that were disregarded as entities separate from their owners under Regulations sections 301 7701-2 and 301 7701-3? . . . . . If "Yes," attach a statement listing the name, country under whose laws the entity was organized, and EIN (if any) of each entity	☐	☒

Schedule H Current Earnings and Profits (See page 5 of the instructions)

Important: *Enter the amounts on lines 1 through 5c in functional currency*

1	Current year net income or (loss) per foreign books of account			1	2,080,684.
2	Net adjustments made to line 1 to determine current earnings and profits according to U S financial and tax accounting standards (see instructions)	**Net Additions**	**Net Subtractions**		
a	Capital gains or losses				
b	Depreciation and amortization				
c	Depletion				
d	Investment or incentive allowance				
e	Charges to statutory reserves				
f	Inventory adjustments				
g	Taxes				
h	Other (attach schedule)				
3	Total net additions				
4	Total net subtractions				
5 a	Current earnings and profits (line 1 plus line 3 minus line 4)			5a	2,080,684.
b	DASTM gain or (loss) for foreign corporations that use DASTM (see instructions)			5b	
c	Combine lines 5a and 5b			5c	2,080,684.
d	Current earnings and profits in U S dollars (line 5c translated at the appropriate exchange rate as defined in section 989(b) and the related regulations (see instructions)			5d	2,080,684

Enter exchange rate used for line 5d ▶

Schedule I Summary of Shareholder's Income From Foreign Corporation (See page 6 of instructions)

1	Subpart F income (line 40b, Worksheet A in the instructions)	1	
2	Earnings invested in U S property (line 17, Worksheet B in the instructions)	2	
3	Previously excluded subpart F income withdrawn from qualified investments (line 6b, Worksheet C in the instructions)	3	
4	Previously excluded export trade income withdrawn from investment in export trade assets (line 7b, Worksheet D in the instructions)	4	
5	Factoring income	5	
6	Total of lines 1 through 5 Enter here and on your income tax return See page 6 of instructions	6	
7	Dividends received (translated at spot rate on payment date under section 989(b)(1))	7	
8	Exchange gain or (loss) on a distribution of previously taxed income	8	

	Yes	No
• Was any income of the foreign corporation blocked?		X
• Did any such income become unblocked during the tax year (see section 964(b))?		X

If the answer to either question is "Yes," attach an explanation

SCHEDULE J (Form 5471)
(Rev May 2001)
Department of the Treasury
Internal Revenue Service

Accumulated Earnings and Profits (E&P) of Controlled Foreign Corporation

▶ Attach to Form 5471 See Instructions for Form 5471

OMB No 1545-0704

Name of person filing Form 5471
CARNEGIE CORPORATION OF NEW YORK

Identifying number
13-1628151

Name of foreign corporation
SKIBO CAYMAN HOLDINGS, INC

Important. Enter amounts in functional currency	(a) Post-1986 Undistributed Earnings (post-86 section 959(c)(3) balance)	(b) Pre-1987 E&P Not Previously Taxed (pre-87 section 959(c)(3) balance)	(c) Previously Taxed E&P (see instructions) (sections 959(c)(1) and (2) balances)			(d) Total Section 964(a) E&P (combine columns (a), (b), and (c))
			(i) Earnings Invested in U S Property	(ii) Earnings Invested in Excess Passive Assets	(iii) Subpart F Income	
1 Balance at beginning of year	-3,346,795					-3,346,795
2a Current year E&P	2,080,684					
b Current year deficit in E&P	NONE					
3 Total current and accumulated E&P not previously taxed (line 1 plus line 2a, minus line 2b)	-1,266,111					
4 Amounts included under section 951(a) or reclassified under section 959(c) in current year	NONE					
5a Actual distributions or reclassifications of previously taxed E&P						
b Actual distributions of nonpreviously taxed E&P	NONE					
6a Balance of previously taxed E&P at end of year (line 1 plus line 4, minus line 5a)						
b Balance of E&P not previously taxed at end of year (line 3 minus line 4, minus line 5b)	-1,266,111					
7 Balance at end of year (Enter amount from line 6a or line 6b, whichever is applicable.)	-1,266,111					-1,266,111

SCHEDULE M (Form 5471)
(Rev May 2001)
Department of the Treasury
Internal Revenue Service

Transactions Between Controlled Foreign Corporation and Shareholders or Other Related Persons

▶ Attach to Form 5471 See Instructions for Form 5471

OMB No 1545-0704

Name of person filing Form 5471: CARNEGIE CORPORATION OF NEW YORK

Identifying number: 13-1628151

Name of foreign corporation: SKIBO CAYMAN HOLDINGS, INC.

Important: *Complete a* ***separate*** *Schedule M for each controlled foreign corporation Enter the totals for each type of transaction that occurred during the annual accounting period between the foreign corporation and the persons listed in columns (b) through (f) All amounts must be stated in U S dollars translated from functional currency at the average exchange rate for the foreign corporation's tax year See page 12 of the instructions*

Enter the relevant functional currency and the exchange rate used throughout this schedule ▶ U.S. DOLLARS

(a) Transactions of foreign corporation	(b) U S person filing this return	(c) Any domestic corporation or partnership controlled by U S person filing this return	(d) Any other foreign corporation or partnership controlled by U S person filing this return	(e) 10% or more U S shareholder of controlled foreign corporation (other than the U S person filing this return)	(f) 10% or more U S shareholder of any corporation controlling the foreign corporation
1 Sales of stock in trade (inventory)	NONE	NONE	NONE	NONE	NONE
2 Sales of property rights (patents, trademarks, etc)	NONE	NONE	NONE	NONE	NONE
3 Compensation received for technical, managerial, engineering, construction, or like services	NONE	NONE	NONE	NONE	NONE
4 Commissions received	NONE	NONE	NONE	NONE	NONE
5 Rents, royalties, and license fees received	NONE	NONE	NONE	NONE	NONE
6 Dividends received (exclude deemed distributions under subpart F and distributions of previously taxed income)	NONE	NONE	NONE	NONE	NONE
7 Interest received	NONE	NONE	NONE	NONE	NONE
8 Premiums received for insurance or reinsurance	NONE	NONE	NONE	NONE	NONE
9 Add lines 1 through 8	NONE	NONE	NONE	NONE	NONE
10 Purchases of stock in trade (inventory)	NONE	NONE	NONE	NONE	NONE
11 Purchases of tangible property other than stock in trade	NONE	NONE	NONE	NONE	NONE
12 Purchases of property rights (patents, trademarks, etc)	NONE	NONE	NONE	NONE	NONE
13 Compensation paid for technical, managerial, engineering, construction, or like services	NONE	NONE	NONE	NONE	NONE
14 Commissions paid	NONE	NONE	NONE	NONE	NONE
15 Rents, royalties, and license fees paid	NONE	NONE	NONE	NONE	NONE
16 Dividends paid	NONE	NONE	NONE	NONE	NONE
17 Interest paid	NONE	NONE	NONE	NONE	NONE
18 Add lines 10 through 17	NONE	NONE	NONE	NONE	NONE
19 Amounts borrowed (enter the maximum loan balance during the year) - see instructions	NONE	NONE	NONE	NONE	NONE
20 Amounts loaned (enter the maximum loan balance during the year) - see instructions	NONE	NONE	NONE	NONE	NONE

FORM 5471, LINE 1(G)
PRINCIPAL BUSINESS ACTIVITY

TO CARRY ON THE BUSINESS OF AN INVESTMENT COMPANY AND TO ACT AS PROMOTERS AND ENTREPRENEURS AND TO CARRY ON BUSINESS AS FINANCIERS, CAPITALISTS, CONCESSIONAIRES, MERCHANTS, BROKERS, TRADERS, DEALERS, AGENTS, IMPORTERS AND EXPORTERS AND TO UNDERTAKE AND CARRY ON AND EXECUTE ALL KINDS OF INVESTMENT, FINANCIAL, COMMERCIAL, MERCANTILE, TRADING AND OTHER OPERATIONS.

SKIBO CAYMAN HOLDINGS, INC.

FORM 5471, PAGE 2 DETAIL

SCH C, LINE 8 - OTHER INCOME

NET REALIZED INVESTMENT INCOME FROM BROOKSIDE CAPITAL PARTNERS FUND, LP	3,380,448.
TOTAL	3,380,448.

SCH C, LINE 16 - OTHER DEDUCTIONS

(INCREASE)/DECREASE IN UNREALIZED INVESTMENTS	1,305,490.
TOTAL	1,305,490.

SKIBO CAYMAN HOLDINGS, INC.

FORM 5471, PAGE 3 DETAIL

SCH F, LINE 7 - OTHER INVESTMENTS	BEGINNING	ENDING
INVESTMENT IN PARTNERSHIPS:		
BROOKSIDE CAPITAL PARTNERS FUND, LP	55,105,083.	57,754,696.
STANDARD PACIFIC GLOBAL EQUITY PARTNERS	NONE	29,425,345.
TOTALS	55,105,083.	87,180,041.

Form **8868**
(December 2000)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box . . . . . ▶ ☑
- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Note: *Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

Part I **Automatic 3-Month Extension of Time—Only submit original (no copies needed)**

Note: *Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only* . . ▶ ☐

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041.

Type or print	Name of Exempt Organization	Employer identification number
File by the due date for filing your return. See instructions.	CARNEGIE CORPORATION OF NEW YORK	13:1628151
	Number, street, and room or suite no. If a P.O. box, see instructions.	
	437 MADISON AVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK NY 10022	

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☑ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the **whole** group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until MAY 15, 2003 to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ ☐ calendar year 20 or

▶ ☑ tax year beginning OCTOBER 1, 2002, and ending SEPTEMBER 30, 2003

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	$ 500,000
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	$ 1,250,000
c	Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	$ -0-

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Robert J. Skirnick Title ▶ Director of Finance Date ▶ 3/17/03