

# **Hewlett-Packard Enterprise**

TPC Benchmark™ H
Full Disclosure Report
for
HPE ProLiant DL380 Gen10 Plus
using
Microsoft® SQL Server 2019 Enterprise Edition
and
Windows Server 2022

First Edition May 2021

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Benchmark results are highly dependent upon workload, specific application requirements, and system design and implementation. Relative system performance will vary as a result of these and other factors. Therefore, the TPC Benchmark™ H should not be used as a substitute for a specific customer application benchmark when critical capacity planning and/or product evaluation decisions are contemplated.

All performance data contained in this report was obtained in a rigorously controlled environment. Results obtained in other operating environments may vary significantly. No warranty of system performance or price/performance is expressed or implied in this report.

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## **Abstract**

#### Overview

This report documents the methodology and results of the TPC Benchmark™ H test conducted on the HPE ProLiant DL380 Gen10 Plus using Microsoft SQL Server 2019 Enterprise Edition in conformance with the requirements of the TPC Benchmark™ H Standard Specification, Revision 3.0. The operating system used for the benchmark was Windows Server 2022.

The TPC Benchmark™ H was developed by the Transaction Processing Performance Council (TPC). The TPC was founded to define transaction processing benchmarks and to disseminate objective, verifiable performance data to the industry.

TPC Benchmark™ H Full Disclosure Report and other information can be downloaded from the Transaction Processing Performance Council web site at www.tpc.org.

## **Standard and Executive Summary Statements**

Pages iv - viii contain the Executive Summary and Numerical Quantities Summary of the benchmark results for the HPE ProLiant DL380 Gen10 Plus.

#### **Auditor**

The benchmark configuration, environment and methodology used to produce and validate the test results, and the pricing model used to calculate the cost per QppH and QthH were audited by Doug Johnson of InfoSizing to verify compliance with the relevant TPC specifications.

The auditor's letter of attestation is attached in Section 9.1 "Auditors' Report."

# **Hewlett Packard** Enterprise

# HPE ProLiant DL380 Gen10 Plus

TPC-H Rev. 3.0
TPC Pricing Rev. 2.7.0
Report Date:
May 29, 2021

Total System Cost

Composite Query per Hour Rating

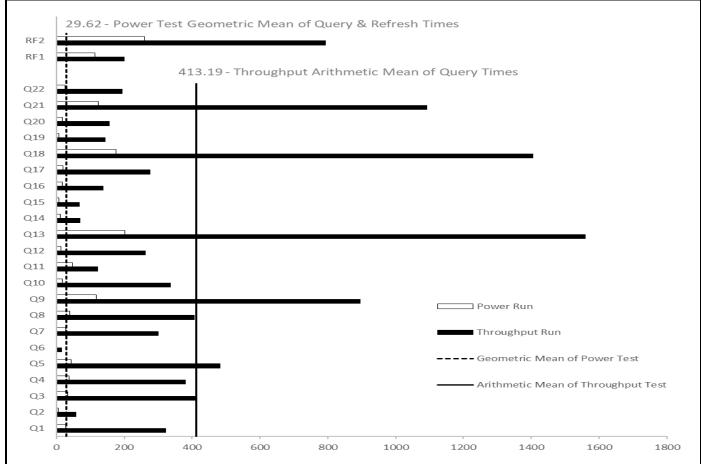
Price/Performance

\$419,139 USD

**956,701.4** QphH @ 10,000GB

\$438.11 USD \$ / kQphH @ 10,000GB

Database Size	Database Manager	Operating System	Other Software	Availability Date
10,000GB	Microsoft® SQL Server 2019 Enterprise Edition	Windows Server 2022	None	August 31, 2021



Database Load Time = 00d 17h 31m 45s	Storage Redundancy Level			
Load included backup: Y	Base Tables and Auxiliary Data Structures	No RAID		
Total Data Storage / Database Size = <b>3.13</b>	DBMS Temporary Space	No RAID		
Memory/Database Size = 41.0%	OS, DBMS Software and Transaction Log	RAID 1		

#### System Components System Total

Nodes: 1

Intel Xeon-Gold 6346 @ 3.1GHz: 2

Cores/Threads: 30/64

Memory: 4,096 GiB

1.6TB NVMe Drives 12

Disk Drives (800GB SAS SSD)

Disk Drives (3.2TB SAS SSD)

2p 10/25 Gbps Nwtwork Interface Card 1

P408i-a SR SAS COntroller

Total Storage: 31,292.50 GiB



# HPE ProLiant DL380 Gen10 Plus

TPC-H Rev. 3.0 TPC Pricing Rev. 2.7.0

Report Date: May 29, 2021

Description	Price Key	Part Number	Unit Price	Qty	Extended Price	3 Yr Maint Price
Server Hardware						
HPE ProLiant DL380 Gen10 Plus 8LFF (CTO)	1	P05175-B21	\$2,519	1	\$2,519	
Intel Xeon-Gold 6346 3.1GHz 16-core 205W Processor for HPE	1	P36934-B21	\$4,311	2	\$8,622	
HPE 128GB Quad Rank x4 DDR4-3200 CAS-22-22 Load Reduced Smart	1	P06037-B21	\$6,942	32	\$222,144	
HPE ProLiant DL380 Gen10 Plus High Performance Heat Sink Kit	1	P27095-B21	\$95	2	\$190	
HPE 1600W Flex Slot Platinum Hot Plug Low Halogen Power Supply Kit	1	P38997-B21	\$495	1	\$495	
Mellanox MCX562A-ACAI Ethernet 10/25Gb 2-p SFP28 OCP3 Adapter for HPE	1	P10112-B21	\$725	1	\$725	
HPE 3 Year Foundation Care 24x7 DL380 Gen10 Service	1	H8QP7E	\$2,719	1		\$2,719
HPE USB US Keyboard/Mouse Kit	1	631341-B21	\$32	3	\$96	. ,
HPE 42U 600x1075mm Advanced Pallet Rack	1	H6J67A	\$1,325	1	\$1,325	
HPE Basic 3Ph 11kVA/60309 16A/230V Outlets C13 C19/Vertical INTL PDU	1	H5M72A	\$329	1	\$329	
THE BUSICSTITE LERVING 00303 10/1/2504 OUTICES 615/1/4/1007 INTERPO	-	113,417,274	Subtotal		\$236,445	\$2,719
Storage						
HPE Smart Array P408i-a SR Gen10 12G SAS Modular Controller	1	804331-B21	\$699	1	\$699	
HPE 800GB SAS 12G Mixed Use SFF BC SS540 SSD	1	P40573-B21	\$1,697		\$3,394	
	_		\$4,907	4	\$19,628	
HPE 3.2TB SAS 12G Mixed Use SFF BC PM1645a SSD	1	P40562-B21				
HPE 1.6TB NVMe Gen4 High Performance Mixed Use SFF BC U.3 CM6 SSD	1	P40493-B21		12	\$36,192	
HPE DL38X Gen10 Plus 8NVMe CPU1/2 Cable Kit	1	P22829-B21	\$74		\$148	
HPE ProLiant DL380 Gen10 Plus 4-port 8NVMe SlimSAS Secondary Riser Kit		P35417-B21	\$535		\$535	
HPE DL38X Gen10 Plus Maximum Performance Fan Kit	1	P14608-B21	\$274	1	\$274	
HPE ProLiant DL300 Gen10 Plus 2U 8SFF x4 Tri-Mode 24G U.3 BC Drive Cage	1	P26931-B21	\$425	2.	\$850	
			Subtotal	-	\$61,720	\$0
Other						
HP 21.5-Inch Screen LED-Lit Monitor Black	3	V9E67AA#AB	\$152	3	\$456	
			Subtotal		\$456	\$0
Server Software						
SQL Server 2019 Enterprise Edition (2 core license)	2	NA	\$13,748.00	16	\$219,968	Included
Microsoft Problem Resolution Services	2	NA	\$259.00	1		\$259.00
Windows Server 2022 Standard Edition	2	NA	\$180	16	\$2,880	
			Subtotal	-	\$222,848	\$259
		Total Extende	d Price		\$521,013	\$2,978
		Total Discoun	ts		\$104,357	\$951
Price Key: 1 - HPE , 2 - Microsoft. 3 - Hewlett Packard Inc.		Grand Total			\$417,112	\$2,027
Audited by Doug Johnson of InfoSizing (www.sizing.com)					941/,112	32,U2 <i>1</i>
All discounts are based on US list prices and for similar quantities and	ownership U	SD:		\$419,139		
configurations. A 35% discount was based on the overall specific components pricing from vendor 1 in this single quotation. Discounts						
for similarly sized configurations will be similar to those quoted here,		QphH @ 3000GB:				956,701.40
but may vary based on the components in the configuration.		\$ USD/kQphH	@ 10000GB			\$438.11



# HPE ProLiant DL380 Gen10 Plus

TPC-H Rev. 3.0 TPC Pricing Rev. 2.7.0

Report Date: May 29, 2021

## **Numerical Quantities**

#### **Measurement Results**

Database Scale Factor 10,000
Total Data Storage / Database Size 3.13
Percentage Memory / Database Size 41.0%

 Start of Database Load
 2021-04-26 11:10:51

 End of Database Load
 2021-04-27 06:14:43

 Database Load Time
 00d 17h 31m 45s

Query Streams for Throughput Test 9

 TPC-H Power
 1,215,599.7

 TPC-H Throughput
 752,943.2

 TPC-H Composite Query-per-Hour (QphH@10,000GB)
 956,701.4

 Total System Price over 3 Years (\$ USD)
 \$419,139

 TPC-H Price/Performance Metric (\$ USD / kQphH@10,000GB)
 \$438.11

#### **Measurement Interval**

Measurement Interval in Throughput Test

9,466.85 seconds

#### **Duration of Stream Execution**

Seed		<b>Query Start Time</b>	<b>Total Time</b>	RF1 Start Time	RF2 Start Time
Power Run	Jeeu	<b>Query End Time</b>	(hh:mm:ss)	RF1 End Time	RF2 End Time
Power Ruii	427061443	2021-04-27 13:21:23	00:16:57	2021-04-27 13:19:29	2021-04-27 13:38:20
	427001443	2021-04-27 13:38:20	00.10.57	2021-04-27 13:21:23	2021-04-27 13:42:40
Throughput	Seed	Query Start Time	<b>Total Time</b>	RF1 Start Time	RF2 Start Time
Stream	Jeeu	<b>Query End Time</b>	(hh:mm:ss)	RF1 End Time	RF2 End Time
1	427061444	2021-04-27 13:42:39	02:29:34	2021-04-27 13:42:39	2021-04-27 13:45:56
1	427001444	2021-04-27 16:12:13	02.23.34	2021-04-27 13:45:56	2021-04-27 13:57:22
2	427061445	2021-04-27 13:42:39	02:35:54	2021-04-27 13:57:22	2021-04-27 14:00:50
2	427001443	2021-04-27 16:18:33	02.33.34	2021-04-27 14:00:50	2021-04-27 14:14:48
3	427061446	2021-04-27 13:42:40	02:37:47	2021-04-27 14:14:48	2021-04-27 14:18:00
3	427001440	2021-04-27 16:20:27	02.37.47	2021-04-27 14:18:00	2021-04-27 14:30:42
4	427061447	2021-04-27 13:42:40	02:20:00	2021-04-27 14:30:42	2021-04-27 14:33:50
4	42/00144/	2021-04-27 16:02:40	02.20.00	2021-04-27 14:33:50	2021-04-27 14:46:23
5	427061448	2021-04-27 13:42:40	02:36:51	2021-04-27 14:46:24	2021-04-27 14:49:36
5	427001446	2021-04-27 16:19:31	02.30.31	2021-04-27 14:49:36	2021-04-27 15:04:25
6	427061449	2021-04-27 13:42:40	02:21:51	2021-04-27 15:04:25	2021-04-27 15:07:41
O	427001449	2021-04-27 16:04:31	02.21.31	2021-04-27 15:07:41	2021-04-27 15:20:30
7	427061450	2021-04-27 13:42:40	02:36:02	2021-04-27 15:20:30	2021-04-27 15:23:52
,	427001430	2021-04-27 16:18:42	02.30.02	2021-04-27 15:23:52	2021-04-27 15:37:59
8	427061451	2021-04-27 13:42:40	02:36:54	2021-04-27 15:37:59	2021-04-27 15:41:42
0	42/001431	2021-04-27 16:19:34	02.30.34	2021-04-27 15:41:42	2021-04-27 15:54:51
9	427061452	2021-04-27 13:42:40	02.20.45	2021-04-27 15:54:51	2021-04-27 15:58:10
9	427061452	2021-04-27 16:11:25	02:28:45	2021-04-27 15:58:10	2021-04-27 16:11:30



## HPE ProLiant DL380 Gen10 Plus

TPC-H Rev. 3.0 TPC Pricing Rev. 2.7.0 Report Date: May 29, 2021

## **TPC-H Timing Intervals (in seconds):**

Query	Stream 0	Stream 1	Stream 2	Stream 3	Stream 4	Stream 5	Stream 6	Stream 7	Stream 8	Stream 9	QI Min	QI Avg	QI Max
Q1	27.19	287.5	278.97	281.63	429.71	268.91	544.58	314.86	220.77	277.94	27.19	293.21	544.58
Q2	5.13	56.13	53.83	54.33	24.99	46.55	55.08	91.55	83.53	49.17	5.13	52.03	91.55
Q3	32.99	662.1	511.83	37.42	464.3	449.49	250.74	739.38	374.39	192.72	32.99	371.54	739.38
Q4	37.03	248.85	368.16	399.18	278.28	600.04	270.36	408.52	254.88	602.3	37.03	346.76	602.3
Q5	44.39	483.11	856.07	438.36	364.33	382.27	621.07	521.85	310.99	360.1	44.39	438.25	856.07
Q6	1.7	14.89	7.39	18.69	9.02	15.52	9.86	5.92	32.83	22.86	1.7	13.87	32.83
Q7	27.61	193.77	357.83	372.74	293.36	299.03	329.24	202.89	329.94	319.55	27.61	272.6	372.74
Q8	38.55	391.33	398.53	354.85	490.83	398.94	383.61	383.03	502.18	354.36	38.55	369.62	502.18
Q9	118.41	836.27	811.49	1,365.86	617.55	506.05	643.38	1,492.01	995.21	799.96	118.41	818.62	1,492.01
Q10	17.45	379.52	467	187.88	285.25	134.02	162.49	97.02	848.96	460.33	17.45	303.99	848.96
Q11	47.78	117.95	102.61	129.63	114.14	119.36	125.33	150	64.75	176.3	47.78	114.79	176.3
Q12	13.16	178.08	295.31	16.19	124.19	480.29	467.24	81.53	583.19	137.7	13.16	237.69	583.19
Q13	201	1,939.95	1,236.28	1,331.96	1,620.19	1,926.16	1,467.84	1,615.79	1,365.45	1,523.83	201	1,422.85	1,939.95
Q14	10.47	74.16	72.17	63.27	46.52	77.85	94.56	45.03	89.67	55.8	10.47	62.95	94.56
Q15	7.28	61.02	75.64	74.81	70.13	66.06	81.86	38.63	58.08	79.49	7.28	61.3	81.86
Q16	17.86	100.75	177.13	34.78	146.6	158.19	257.67	99.13	133.38	131.97	17.86	125.75	257.67
Q17	18.91	285.31	238.55	550.05	186.13	200.3	250.1	303.49	246.85	220.27	18.91	250	550.05
Q18	175.63	1,057.91	1,767.73	1,804.06	1,205.48	1,658.58	1,161.06	1,532.26	1,373.65	1,083.01	175.63	1,281.94	1,804.06
Q19	7.83	93.78	117.16	233.49	178.47	87.91	91.74	113.16	82	289.27	7.83	129.48	289.27
Q20	17.94	125.92	143.03	186.3	152.78	137.2	165	182.33	141.69	170.13	17.94	142.23	186.3
Q21	122.95	1,186.37	777.58	1,373.81	1,067.89	1,186.04	919.57	732.77	1,141.38	1,447.54	122.95	995.59	1,447.54
Q22	25.09	197.99	238.63	157.5	229.75	211.41	157.94	210.66	179.42	169.81	25.09	177.82	238.63
RF1	113.74	195.83	207.88	191.02	188.19	192.02	195.42	202.16	222.42	199.35	113.74	190.8	222.42
RF2	260.1	685.41	838.56	762.23	753.27	889.43	769.04	846.65	788.94	799.98	260.1	739.36	889.43

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## 0.1 Test Sponsor

A statement identifying the benchmark sponsor(s) and other participating companies must be provided.

This benchmark was sponsored by Hewlett Packard Enterprise. The benchmark was developed and engineered by Hewlett Packard Enterprise. Testing took place at HPE facilities in Houston, TX.

## 0.2 Parameter Settings

Settings must be provided for all customer-tunable parameters and options which have been changed from the defaults found in actual products, including but not limited to:

- Database Tuning Options
- Optimizer/Query execution options
- Query processing tool/language configuration parameters
- Recovery/commit options
- Consistency/locking options
- Operating system and configuration parameters
- Configuration parameters and options for any other software component incorporated into the pricing structure
- Compiler optimization options

This requirement can be satisfied by providing a full list of all parameters and options, as long as all those which have been modified from their default values have been clearly identified and these parameters and options are only set once.

The supporting files archive contains a list of all database parameters and operating system parameters.

## 0.3 Configuration Items

Diagrams of both measured and priced configurations must be provided, accompanied by a description of the differences. This includes, but is not limited to:

- Number and type of processors
- Size of allocated memory, and any specific mapping/partitioning of memory unique to the test.
- Number and type of disk units (and controllers, if applicable).
- Number of channels or bus connections to disk units, including their protocol type.
- Number of LAN (e.g. Ethernet) Connections, including routers, workstations, terminals, etc., that were physically used in the test or are incorporated into the pricing structure.
- Type and the run-time execution location of software components (e.g., DBMS, query processing tools //languages, middle-ware components, software drivers, etc.).

#### The System Under Test (SUT), an HPE ProLiant DL380 Gen10 Plus, depicted in Fig. 0.1, consisted of :

#### **HPE ProLiant DL380 Gen10 Plus**

- 02 Intel Xeon 6346 (3.1GHz/16-cores)
- 32 HPE 128 GB LDIMM (DDR4)

#### Storage adapters

01 E408i-a SR Gen10 8 Internal Lanes/No Cache 12G SAS Controller

#### **Disk Drives**

02 800GB SAS SSD

12 1.6 TB Mixed Use NVMe

04 3.2TB SAS SSD

Note: This system is the same system used for both the measured and priced configurations.

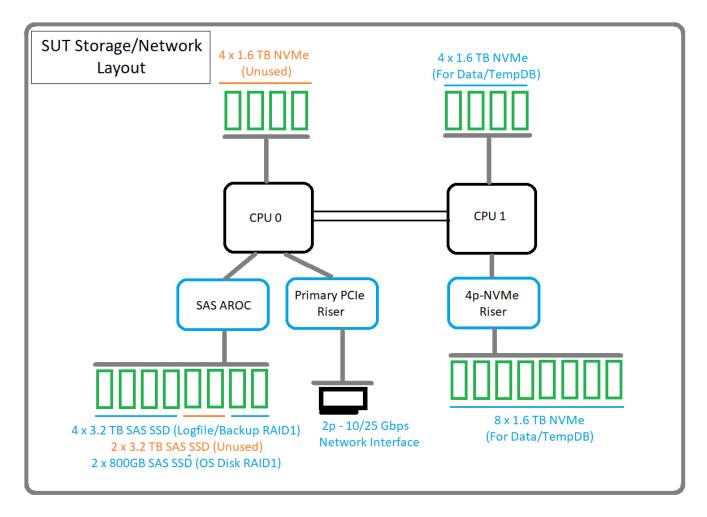


Figure 0.1 Benchmark and priced configuration for HPE ProLiant DL380 Gen10 Plus

# 1.0 Clause 1: Logical Database Design

#### 1.1 Table Definitions

Listings must be provided for all table definition statements and all other statements used to set up the test and qualification databases.

The Supporting Files Archive contains the table definitions and the program used to load the database.

## 1.2 Physical Organization of Database

The physical organization of tables and indices, within the test and qualification databases, must be disclosed. If the column ordering of any table is different from that specified in Clause 1.4, it must be noted.

No column reordering was used.

The Supporting Files Archive contains the DDL for the index definitions.

## 1.3 Horizontal Partitioning

Horizontal partitioning of tables and rows in the test and qualification databases (see Clause 1.5.4) must be disclosed.

Horizontal partitioning is used on LINEITEM and ORDERS tables and the partitioning columns are L\_SHIPDATE and O ORDERDATE. The partition granularity is by week.

## 1.4 Replication

Any replication of physical objects must be disclosed and must conform to the requirements of Clause 1.5.6.

No replication was used.

## 2.0 Clause 2: Queries and Refresh Functions - Related Items

## 2.1 Query Language

The query language used to implement the queries must be identified. T-SQL was the query language used.

#### 2.2 Random Number Generation

The method of verification for the random number generation must be described unless the supplied DBGEN and QGEN were used.

DBGEN version 3.0 and QGEN version 3.0 were used to generate all database populations.

#### 2.3 Substitution Parameters Generation

The method used to generate values for substitution parameters must be disclosed. If QGEN is not used for this purpose, then the source code of any non-commercial tool used must be disclosed. If QGEN is used, the version number, release number, modification number and patch level of QGEN must be disclosed.

The TPC source based QGEN version 3.0 was used to generate the substitution parameters.

## 2.4 Query Text and Output Data from Database

The executable query text used for query validation must be disclosed along with the corresponding output data generated during the execution of the query text against the qualification database. If minor modifications (see Clause 2.2.3) have been applied to any functional query definitions or approved variants in order to obtain executable query text, these modifications must be disclosed and justified. The justification for a particular minor query modification can apply collectively to all queries for which it has been used. The output data for the power and throughput tests must be made available electronically upon request..

The Supporting Files Archive contains the query text and guery output. The following modifications were used:

- o The "dateadd" function is used to perform date arithmetic in Q1, Q4, Q5, Q6, Q10, Q12, Q14, Q15 and Q20.
- o The "datepart" function is used to extract part of a date ("YY") in Q7, Q8 and Q9.
- The "top" function is used to restrict the number of output rows in Q2, Q3, Q10, Q18 and Q21
- The "count big" function is used in place of "count" in Q1

## 2.5 Query Substitution Parameters and Seeds Used

All the query substitution parameters used during the performance test must be disclosed in tabular format, along with the seeds used to generate these parameters.

The Supporting Files Archive contains the seed and query substitution parameters used.

#### 2.6 Isolation Level

The isolation level used to run the queries must be disclosed. If the isolation level does not map closely to one of the isolation levels defined in Clause 3.4, additional descriptive detail must be provided.

The gueries and transactions were run with isolation level Read Committed.

#### 2.7 Refresh Functions

The details of how the refresh functions were implemented must be disclosed

The Supporting Files Archive contains the source code for the refresh functions.

# 3.0 Clause 3: Database System Properties

## 3.1 Atomicity Requirements

The results of the ACID tests must be disclosed along with a description of how the ACID requirements were met. This includes disclosing the code written to implement the ACID Transaction and Query.

All ACID tests were conducted according to specification. The steps performed are outlined below.

## 3.1.1 Atomicity of the Completed Transactions

Perform the ACID Transaction for a randomly selected set of input data and verify that the appropriate rows have been changed in the ORDER, LINEITEM, and HISTORY tables.

The following steps were performed to verify the Atomicity of completed transactions:

- 1. The total price from the ORDER table and the extended price from the LINEITEM table were retrieved for a randomly selected order key.
- 2. The ACID Transaction was performed using the order key from step 1.
- 3. The ACID Transaction committed.
- 4. The total price from the ORDER table and the extended price from the LINEITEM table were retrieved for the same order key. It was verified that the appropriate rows had been changed.

## 3.1.2 Atomicity of Aborted Transactions

Perform the ACID transaction for a randomly selected set of input data, submitting a ROLLBACK of the transaction for the COMMIT of the transaction. Verify that the appropriate rows have not been changed in the ORDER, LINEITEM, and HISTORY tables.

The following steps were performed to verify the Atomicity of the aborted ACID transaction:

- 1. The total price from the ORDER table and the extended price from the LINEITEM table were retrieved for a randomly selected order key.
- 2. The ACID Transaction was performed using the order key from step 1. The transaction was stopped prior to the commit.
- 3. The ACID Transaction was ROLLED BACK. .
- 4. The total price from the ORDER table and the extended price from the LINEITEM table were retrieved for the same order key used in steps 1 and 2. It was verified that the appropriate rows had not been changed.

## 3.2 Consistency Requirements

Consistency is the property of the application that requires any execution of transactions to take the database from one consistent state to another. A consistent state for the TPC-H database is defined to exist when:

 $O\_TOTALPRICE = SUM(trunc(trunc((L\_EXTENDEDPRICE - L\_DISCOUNT) * (1 + L\_TAX)))$ 

for each ORDER and LINEITEM defined by (O\_ORDERKEY) = L\_ORDERKEY)

#### 3.2.1 Consistency Tests

Verify that ORDER and LINEITEM tables are initially consistent as defined in Clause 3.3.2.1, based upon a random sample of at least 10 distinct values of O\_ORDERKEY.

Consistency was tested as part of the durability tests.

## 3.3 Isolation Requirements

Operations of concurrent transactions must yield results which are indistinguishable from the results which would be obtained by forcing each transaction to be serially executed to completion in some order.

#### 3.3.1 Isolation Test 1 - Read-Write Conflict with Commit

Demonstrate isolation for the read-write conflict of a read-write transaction and a read-only transaction when the read-write transaction is committed)

The following steps were performed to satisfy the test of isolation for a read-only and a read-write committed transaction:

- An ACID Transaction was started for a randomly selected O\_KEY, L\_KEY and DELTA. The ACID Transaction was suspended prior to Commit.
- 2. An ACID query was started for the same O\_KEY used in step 1 and did not see any uncommitted changes made by the ACID Transaction.
- 3. The ACID Transaction was resumed and committed. The ACID query completed. It returned the data as committed by the ACID Transaction.

## 3.3.2 Isolation Test 2 - Read-Write Conflict with Rollback

Demonstrate isolation for the read-write conflict of a read-write transaction and a read-only transaction when the read-write transaction is rolled back.

The following steps were performed to satisfy the test of isolation for read-only and a rolled back read-write transaction:

- 1. An ACID transaction T1 was started for a randomly selected O\_KEY, L\_KEY and DELTA. The ACID Transaction was suspended prior to Rollback.
- 2. An ACID query T2 was started for the same O\_KEY used in step 1. The ACID query did not see any uncommitted changes made by the ACID Transaction.
- 3. The ACID Transaction T1 was ROLLED BACK.
- 4. The ACID query T2 completed.

#### 3.3.3 Isolation Test 3 - Write-Write Conflict with Commit

Demonstrate isolation for the write-write conflict of two update transactions when the first transaction is committed.

The following steps were performed to verify isolation of two update transactions:

- 1. An ACID Transaction T1 was started for a randomly selected O\_KEY, L\_KEY and DELTA. The ACID transaction T1 was suspended prior to Commit.
- 2. Another ACID Transaction T2 was started using the same O\_KEY and L\_KEY and a randomly selected DELTA.
- 3. T2 waited.
- 4. The ACID transaction T1 was allowed to Commit and T2 completed.
- 5. It was verified that: T2.L\_EXTENDEDPRICE = T1.L\_EXTENDEDPRICE +(DELTA1\*(T1.L\_EXTENDEDPRICE/T1.L\_QUANTITY))

#### 3.3.4 Isolation Test 4 - Write-Write Conflict with Rollback

Demonstrate isolation for the write-write conflict of two update transactions when the first transaction is rolled back.

The following steps were performed to verify the isolation of two update transactions after the first one is rolled back:

- 1. An ACID Transaction T1 was started for a randomly selected O\_KEY, L\_KEY and DELTA. The ACID Transaction T1 was suspended prior to Rollback.
- 2. Another ACID Transaction T2 was started using the same O\_KEY and L\_KEY used in step 1 and a randomly selected DELTA.
- 3. T2 waited.
- 4. T1 was allowed to ROLLBACK and T2 completed.
- 5. It was verified that T2.L EXTENDEDPRICE = T1.L EXTENDEDPRICE.

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#### 3.3.5 Isolation Test 5 - Concurrent Read and Write Transactions on Different Tables

Demonstrate the ability of read and write transactions affecting different database tables to make progress concurrently.

The following steps were performed:

- An ACID Transaction T1 was started for a randomly selected O\_KEY, L\_KEY and DELTA. The ACID Transaction T1 was suspended prior to Commit.
- 2. Another ACID Transaction T2 was started using random values for PS PARTKEY and PS SUPPKEY.
- 3. T2 completed.
- 4. T1 completed and the appropriate rows in the ORDER, LINEITEM and HISTORY tables were changed.

#### 3.3.6 Isolation Test 6 - Update Transactions During Continuous Read-Only Query Stream

Demonstrate the continuous submission of arbitrary (read-only) queries against one or more tables of the database does not indefinitely delay update transactions affecting those tables from making progress.

The following steps were performed:

- 1. An ACID Transaction T1 was started, executing a modified Q1 against the qualification database.
- Before T1 completed, an ACID Transaction T2 was started using randomly selected values of O\_KEY, L\_KEY and DELTA.
- 3. Third transaction T3 was started before T1 completed, which executes a modified Q1 against the qualification database.
- 4. Fourth transaction T4 was started before T3 completed, which executes a modified Q1 against the qualification database.
- 5. Fifth transaction T5 was started before T4 completed, which executes a modified Q1 against the qualification database.
- 6. Sixth transaction T6 was started before T5 completed, which executes a modified Q1 against the qualification database.
- 7. Seventh transaction T7 was started before T6 completed, which executes a modified Q1 against the qualification database.
- 8. Eighth transaction T8 was started before T7 completed, which executes a modified Q1 against the qualification database.
- 9. Ninth transaction T9 was started before T8 completed, which executes a modified Q1 against the qualification database.
- 10. T2 completed before T9 completed.
- 11. It was verified that the appropriate rows in the ORDER, LINEITEM and HISTORY tables were changed.

## 3.4 Durability Requirements

The tested system must guarantee durability: the ability to preserve the effects of committed transactions and insure database consistency after recovery from any one of the failures listed in Clause 3.5.2.

#### 3.4.1 Permanent Unrecoverable Failure of Any Durable Medium and Loss of System Power

Guarantee the database and committed updates are preserved across a permanent irrecoverable failure of any single durable medium containing TPC-H database tables or recovery log tables

Three tests were completed in this section.

- 1st test was the removal of a data disk
- 2<sup>nd</sup> test was the removal of a disk from RAID1 volume hosting transaction mlog
- 3<sup>rd</sup> test was the the power Loss test

Each of these tests were performed against the qualification database. The qualification database is identical to the test database in virtually every regard except size.

#### Data Disk Removal Test

- 1. Ten streams of ACID transactions were started. Each stream executed a minimum of 100 transactions.
- 2. Benchmark Client was still running and transaction count was within range, allowing for the immediate testing of data disk removal.
- 3. While the test was running, one of the data disks (Non RAID) was removed.
- 4. A checkpoint was issued to force a failure.
- 5. Database errorlog recorded the checkpoint.
- 6. The Ten streams of ACID transactions failed and recorded their number of committed transactions in success files.
- 7. The Database log was backed up and the Database was dropped.
- 8. The pulled disk was replaced with a new one.
- 9. The database was restored.
- 10. When database restore completed, issued a command to apply the backed up log file.
- 11. The counts in the history table and success files were compared and verified, and the consistency of the ORDERS and LINEITEM tables was verified.

#### Log Disk Removal Test.

- 1. Ten streams of ACID transactions were started. Each stream executed a minimum of 100 transactions.
- 2. While the test was running, one of the disks from the database/log RAID10 array was removed.
- 3. It was determined that the test kept on running. SQL Server did not error.
- 4. All the 10 streams of ACID tyransactions were terminated gracefully and a database checkpoint was issues.
- 5. The pulled disk was replaced with a new disk. Log disk eventually completed it's RAID rebuild process without any issues.
- 6. The counts in the history table and success files were compared and verified, and the consistency of the ORDERS and LINEITEM tables was verified

#### System Crash test.

- 1. Ten streams of ACID transactions were started. Each stream executed a minimum of 100 transactions.
- 2. While the streams of ACID transactions were running the System was powered off by pulling power plugs.
- 3. When power was restored the system booted and the Database engine was restarted.
- 4. The database went through a recovery period.
- 5. Count of Rolled-forward/Rolled-back transactions were captured by DB ERRORLOG file.
- 6. Reovery complete.
- 7. The counts in the history table and success files were compared and verified, and the consistency of the ORDERS and LINEITEM tables was verified.

#### 3.4.2 System Crash

Guarantee the database and committed updates are preserved across an instantaneous interruption (system crash/system hang) in processing which requires the system to reboot to recover. See section 3.4.1

#### 3.4.3 Memory Failure

Guarantee the database and committed updates are preserved across failure of all or part of memory (loss of contents).

See section 3.4.1

# 4.0 Clause 4: Scaling and Database Population

## 4.1 Initial Cardinality of Tables

The cardinality (i.e., the number of rows) of each table of the test database, as it existed at the completion of the database load (see clause 4.2.5) must be disclosed.

Table 4.1 lists the TPC-H Benchmark defined tables and the row count for each table as they existed upon completion of the build.

Table	# of Rows
Lineitem	59,999,994,267
Orders	15,000,000,000
Partsupp	8,000,000,000
Part	2,000,000,000
Customer	1,500,000,000
Supplier	100,000,000
Nation	25
Region	5

Table 4.1 Initial Number of Rows

## 4.2 Distribution of Tables and Logs Across Media

The distribution of tables and logs across all media must be explicitly described for the tested and priced systems.

Microsoft SQL Server was configured on an HPE ProLiant DL380 Gen10 Plus with the following configuration:

NVMe Storage Configuration:									
Physical Device	OS Device	Mount	Physical Size In TB/GB	Available Size in TiB	Usage Comments				
Box 2 Bay 1	Disk 0	c:\data\disk1	1.6 TB	1.45 TiB					
Box 2 Bay 2	Disk 1	c:\data\disk2	1.6 TB	1.45 TiB					
Box 2 Bay 3	Disk 2	c:\data\disk3	1.6 TB	1.45 TiB					
Box 2 Bay 4	Disk 3	c:\data\disk4	1.6 TB	1.45 TiB					
Box 1 Bay 4	Disk 4	c:\data\disk5	1.6 TB	1.45 TiB	64 x data files				
Box 1 Bay 3	Disk 5	c:\data\disk6	1.6 TB	1.45 TiB	64 x tempdb files				
Box 1 Bay 2	Disk 5	c:\data\disk7	1.6 TB	1.45 TiB					
Box 1 Bay 1	Disk 7	c:\data\disk8	1.6 TB	1.45 TiB					
Box 1 Bay 8	Disk 8	c:\data\disk9	1.6 TB	1.45 TiB					
Box 1 Bay 7	Disk 9	c:\data\disk10	1.6 TB	1.45 TiB					
Box 1 Bay 6	Disk 10	c:\data\disk11	1.6 TB	1.45 TiB					
Box 1 Bay 5	Disk 11	c:\data\disk12	1.6 TB	1.45 TiB					

Traditional SAS SSD Configuration								
Controller	Device Location	Logical Drive	RAID Level	Usage Comments	Physical Size	Available Size		
	Port 1I Box 3 Bay 1		l localefia.			NA		
	Port 1I Box 3 Bay 2	Unconfigured Drives			3.2 TB	NA		
P408i-a SR Gen10 array	Port 1I Box 3 Bay 3		RAID1	1 x Transaction Log 1 x TempLog 4 x DB Backup	3.2 TB	5.82 TiB		
	Port 1I Box 3 Bay 4	Logical Volume 01			3.2 TB			
Controller	Port 2I Box 3 Bay 5				3.2 TB			
	Port 2I Box 3 Bay 6				3.2 TB			
	Port 2I Box 3 Bay 7	Logical		OS Drivo	800 GB	745 06 CiD		
	Port 2I Box 3 Bay 8	Volume 03		OS Drive	800 GB	745.06 GiB		

## 4.3 Mapping of Database Partitions/Replications

The mapping of database partitions/replications must be explicitly described.

Horizontal partitioning is used on LINEITEM and ORDERS tables and the partitioning columns are L\_SHIPDATE and O\_ORDERDATE. The partition granularity is by week.

The database partitions are evenly distributed across 12 drives.

## 4.4 Implementation of RAID

Implementations may use some form of RAID to ensure high availability. If used for data, auxiliary storage (e.g. indexes) or temporary space, the level of RAID used must be disclosed for each device.

RAID 0 was used for database file groups and TempDB. RAID 10 for the database recovery logs, DB root file and tempDB recovery logs. RAID10 for the Backup drives.

#### 4.5 DBGEN Modifications

The version number, release number, modification number, and patch level of DBGEN must be disclosed. Any modifications to the DBGEN (see Clause 4.2.1) source code must be disclosed. In the event that a program other than DBGEN was used to populate the database, it must be disclosed in its entirety.

DBGEN version 3.0 was used, no modifications were made.

#### 4.6 Database Load time

The database load time for the test database (see clause 4.3) must be disclosed.

The database load time was 00d 17h 31m 45s

#### **Load Test Times**

 Load Start
 2021-04-26 11:10:51

 Load End
 2021-04-27 06:14:43

 Load Delay
 00d 01h 32m 07s

 Load Time
 00d 17h 31m 45s

## 4.7 Data Storage Ratio

The data storage ratio must be disclosed. It is computed by dividing the total data storage of the priced configuration (expressed in GB) by the size chosen for the test database as defined in 4.1.3.1. The ratio must be reported to the nearest 1/100th, rounded up.

Disk Type	# of Disks	RAW SIZE/Disk	Total Size
1.6TB NVMe	12	1490.11 GiB	
3.2TB SAS SSD	4	2980.23 GiB	31,292.50 GiB
800GB SATA SSD	2	745.06 GiB	

Size of test database: 10,000GiB Data Storage Ratio: 3.13

#### 4.8 Database Load Mechanism Details and Illustration

The details of the database load must be disclosed, including a block diagram illustrating the overall process. Disclosure of the load procedure includes all steps, scripts, input and configuration files required to completely reproduce the test and qualification databases.

Flat files for each of the tables were created using DBGEN. The tables were loaded as depicted in Figure 4.8. All steps, scripts and configuration files are included in the Supporting Files.

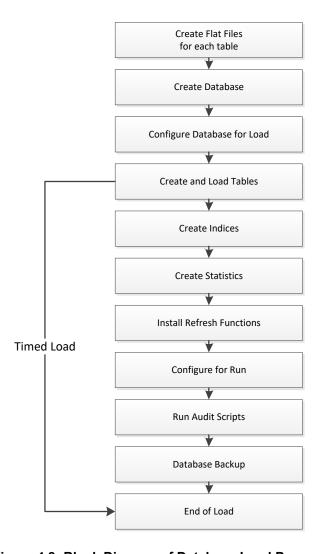


Figure 4.8: Block Diagram of Database Load Process

## 4.9 Qualification Database Configuration

The details of the database load must be disclosed, including a block diagram illustrating the overall process. Disclosure of the load procedure includes all steps, scripts, input and configuration files required to completely reproduce the test and qualification databases.

The qualification database used identical scripts to create and load the data with changes to adjust for the database scale factor.

## 4.10 Memory to Database Size Percentage

The memory to database size percentage, as defined in clause 8.3.6.10, must be disclosed.

The memory to database size percentage is 41%

Memory/Database Size				
Physical Mem GB	Scale Factor	Percentage		
4096	10,000	41.0%		

# 5.0 Clause 5: Performance Metrics and Execution Rules Related Items

## 5.1 Steps after the Load Test

Any system activity on the SUT that takes place between the conclusion of the load test and the beginning of the performance test must be fully disclosed including listings of scripts or command logs.

The queries were generated using QGen with the seed collected at the end of the load test. The system sat idle, without any interruption until the performance tests were triggered.

## 5.2 Steps in the Power Test

The details of the steps followed to implement the power test (e.g., system boot, database restart, etc.) must be disclosed.

The following steps were used to implement the power test:

- 1. Execute RF1 from the update stream
- 2. Execute Power queries from the query stream
- 3. Execute RF2 from the update stream

## 5.3 Timing Intervals for Each Query and Refresh Function

The timing intervals (see Clause 5.3.6) for each query of the measured set and for both refresh functions must be reported for the power test.

The timing intervals for each query and both refresh functions are given in the Numerical Quantities Summary earlier in this document on page vii.

## 5.4 Number of Streams for The Throughput Test

The number of execution streams used for the throughput test must be disclosed.

9 query streams were used for the Throughput Test. Each stream running all 22 queries. 1 stream was used for RF.

## 5.5 Start and End Date/Times for Each Query Stream

The start time and finish time for each query execution stream must be reported for the throughput test.

The Numerical Quantities Summary on page vii contains the start and stop times for the query execution streams run on the system reported.

## 5.6 Total Elapsed Time for the Measurement Interval

The total elapsed time of the measurement interval(see Clause 5.3.5) must be reported for the throughput test.

The Numerical Quantities Summary on page vii contains the timing intervals for the throughput test run on the system reported.

#### 5.7 Refresh Function Start Date/Time and Finish Date/Time

Start and finish time for each update function in the update stream must be reported for the throughput test.

The Numerical Quantities Summary on page vii contains the start and finish times for the refresh functions of each stream.

## 5.8 Timing Intervals for Each Query and Each Refresh Function for Each Stream

The timing intervals (see Clause 5.3.6) for each query of each stream and for each update function must be reported for the throughput test.

The timing intervals for each query and each update function are given in the Numerical Quantities Summary earlier in this document on page vii.

#### **5.9 Performance Metrics**

The computed performance metrics, related numerical quantities and the price performance metric must be reported.

The Numerical Quantities Summary contains the performance metrics, related numerical quantities, and the price/performance metric for the system reported.

#### 5.10 The Performance Metric and Numerical Quantities from Both Runs

The performance metric (QphH@Size) and the numerical quantities (TPC-H Power@Size and TPC-H Throughput@ Size) from both of the runs must be disclosed

Run ID	QppH@10,000G	QthH@10,000G	QphH@10,000G
Run 1	1,263,340.1	769,802.3	986,165.4
Run 2	1,215,599.7	752,943.2	956,701.4

## 5.11 System Activity Between Tests

Any activity on the SUT that takes place between the conclusion of Run1 and the beginning of Run2 must be disclosed.

There was only a couple minutes between Run1 and Run2. The Database log confirmed that no database activity took place during that time.

## 5.12 Documentation to satisfy Clause 5.2.7

All documentation necessary to satisfy Clause 5.2.7 must be made available upon request

The supporting files archive contains the documentation

## 5.13 Query Validation Output

The output of the Query Output Validation Test must reported in the supporting files archive

The supporting files archive contains the documentation

# 6.0 Clause 6: SUT and Driver Implementation Related Items

#### 6.1 Driver

A detailed description of how the driver performs its functions must be supplied, including any related source code or scripts. This description should allow an independent reconstruction of the driver.

The TPC-H benchmark was implemented using a Microsoft tool called StepMaster. StepMaster is a general purpose test tool which can drive ODBC and shell commands. Within StepMaster, the user designs a workspace corresponding to the sequence of operations (or steps) to be executed. When the workspace is executed, StepMaster records information about the run into a database as well as a log file for later analysis.

StepMaster provides a mechanism for creating parallel streams of execution. This is used in the throughput tests to drive the query and refresh streams. Each step is timed using a millisecond resolution timer. A timestamp T1 is taken before beginning the operation and a timestamp T2 is taken after completing the operation. These times are recorded in a database as well as a log file for later analysis.

Two types of ODBC connections are supported. A dynamic connection is used to execute a single operation and is closed when the operation finishes. A static connection is held open until the run completes and may be used to execute more than one step. A connection (either static or dynamic) can only have one outstanding operation at any time.

In TPC-H, static connections are used for the query streams in the power and throughput tests. StepMaster reads an Access database to determine the sequence of steps to execute. These commands are represented as the Implementation Specific Layer. StepMaster records its execution history, including all timings, in the Access database. Additionally, StepMaster writes a textual log file of execution for each run.

The stream refresh functions were executed using multiple batch scripts. The initial script is invoked by StepMaster, subsequent scripts are called from within the scripts.

The source for StepMaster and the RF Scripts is disclosed in the supported file archive.

## 6.2 Implementation Specific Layer (ISL)

If an implementation-specific layer is used, then a detailed description of how it performs its functions must be supplied, including any related source code or scripts. This description should allow an independent reconstruction of the implementation-specific layer.

See Section 6.1 for details.

## 6.3 Profile-Directed Optimization

If profile-directed optimization as described in Clause 5.2.9 is used, such use must be disclosed.

Profile-directed optimization was not used.

# 7.0 Clause 7: Pricing Related Items

#### 7.1 Hardware and Software Used

A detailed list of hardware and software used in the priced system must be reported. Each item must have a vendor part number, description, and release/revision level, and indicate General Availability status or committed delivery date. If package pricing is used, contents of the package must be disclosed. Pricing source(s) and effective date(s) of price(s) must also be reported.

The pricing summary sheet is given on page *v* in the Executive Summary at the front of this report. The source for all prices is indicated.

Server and all storage components are available on the date specified in Clause 7.3.

The pricing and availability of the Microsoft software used is given in a quote from Microsoft, which is included in this report in Appendix A.

## 7.2 Three-Year Cost of System Configuration

The total 3-year price of the entire configuration must be reported, including: hardware, software, and maintenance charges. Separate component pricing is required.

The pricing summary sheet on page v in the front of this report contains all details.

## 7.3 Availability Dates

The committed delivery date for general availability (availability date) of products used in the priced calculations must be reported. When the priced system includes products with different availability dates, the single availability date reported on the first page of the executive summary must be the date by which all components are committed to being available. The full disclosure report must report availability dates individually for at least each of the categories for which a pricing subtotal must be provided (see Clause 7.3.1.4). All availability dates, whether for individual components or for the SUT as a whole, must be disclosed to a precision of 1 day, but the precise format is left to the test sponsor.

Category	Available
Server Hardware	Now (date of publication)
Storage	Now (date of publication)
Server Software	August 31 2021
SQL Server 2019	Now (date of publication)

# 8.0 Clause 8: Support Files Index Table

## 8.1 Supporting Files Index Table

An index for all files included in the supporting files archive as required by Clauses 8.3.2 must be provided in the report.

Clause	Description	Archive File	Pathname	
Clause 1	DB Creation/Load Scripts- QUAL	Qual_Database.zip	SupportingFiles/Clause1/DB_Creation_QualDB	
	DB Creation/Load Scripts – TEST	Test_Database.zip	SupportingFiles/Clause1/DB_Creation_TestDB	
	OS_DB_Settings	n/a	SupportingFiles/Clause1/OS_DB_Settings	
Clause 2	Query Validation Output	n/a	SupportingFiles/Clause2/QueryValidationOutput	
	Atomicity	ACID-Atomicity.zip	SupportingFiles/Clause3/Atomicity	
	Consistency	n/a	SupportingFiles/Clause3/Consistency	
Clause 3	Isolation	ACID-Isolation.zip	SupportingFiles/Clause3/Isolation	
Clause 3	Durability	Durability-Power_loss.zip Durability-Datafile_loss.zip Durability-Logfile_device_loss.zip	SupportingFiles/Clause3/Durability	
Clause 4	DB Load Scripts	DB_Load_Scripts.zip	SupportingFiles/Clause4/DB_Load_Scripts	
	Query Output Run 1	Run1.zip	SupportingFiles/Clause5/Run1	
Clause 5	Query Output Run 2	Run2.zip	SupportingFiles/Clause5/Run2	
	Queries	Queries.zip	SupportingFiles/Clause5/Queries	
Clause 6	Implementation Specific layer source code and Driver	StepMaster_FDR.zip	SupportingFiles/Clause6/ClientReadme.txt	
Clause 7	There are no files required to be included for Clause 7.	n/a	n/a	
Clause 8	There are no files required to be included for Clause 8.	n/a	n/a	

## 9.0 Clause 9: Audit Related Items

## 9.1 Auditors' Report

The auditor's agency name, address, phone number, and Attestation letter with a brief audit summary report indicating compliance must be included in the full disclosure report. A statement should be included specifying who to contact in order to obtain further information regarding the audit process.

This implementation of the TPC Benchmark™ H was audited by Doug Johnson of InfoSizing, a certified TPC-H auditor. Further information regarding the audit process may be obtained from:

Doug Johnson InfoSizing (<u>www.sizing.com</u>) 63 Lourdes Dr Leominster, MA 01453 (978) 343-6562

TPC Benchmark™ H Full Disclosure Report and other information can be downloaded from the Transaction Processing Performance Council web site at www.tpc.org.





Benchmark sponsor: Hewlett-Packard Enterprise

Rajesh Tadakamadla

Survey 192, Whitefield Road Bangalore, India 560048

May 28, 2021

I verified the TPC Benchmark H (TPC-H<sup>TM</sup> v3.0.0) performance of the following configuration:

Platform: HPE ProLiant DL380 Gen10 Plus

Operating System: Windows Server 2022

Microsoft SQL Server 2019 Enterprise Edition Database Manager:

Other Software: n/a

The results were:

Performance Metric 956,701.4 QphH@10,000GB

TPC-H Power 1,215,599.7 TPC-H Throughput 752,943.2

Database Load Time 00d 17h 31m 45s

#### Server HPE ProLiant DL380 Gen10 Plus, with:

2x Intel® Xeon® Gold 6346 (3.1 GHz, 16-Core, 36 MB Cache) CPUs

4,096 GiB Memory

Disks Qty Size Type 2 800 GB SAS SSD SAS SSD 4 3.2 TB 12 1.6 TB NVMe

In my opinion, these performance results were produced in compliance with the TPC requirements for the benchmark.

The following verification items were given special attention:

- The database records were defined with the proper layout and size
- The database population was generated using DBGen
- The database was properly scaled to 10,000GB and populated accordingly
- The compliance of the database auxiliary data structures was verified
- The database load time was correctly measured and reported

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- The required ACID properties were verified and met
- The query input variables were generated by QGen
- The query text was produced using minor modifications and no query variant
- The execution of the queries against the SF1 database produced compliant answers
- · A compliant implementation specific layer was used to drive the tests
- The throughput tests involved 9 query streams
- The ratio between the longest and the shortest query was such that no query timings were adjusted
- The execution times for queries and refresh functions were correctly measured and reported
- The repeatability of the measured results was verified
- The system pricing was verified for major components and maintenance
- The major pages from the FDR were verified for accuracy

Additional Audit Notes:

None.

Respectfully Yours,

Doug Johnson, TPC Certified Auditor

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# **Appendix A: Price Quotes**

Microsoft Corporation One Microsoft Way Redmond, WA 98052-6399 Tel 425 882 8080 Fax 425 936 7329 http://www.microsoft.com/

**Microsoft** 

May 24, 2021

Rajesh Tadakamadla Hewlett Packard Enterprise Survey 192 Whitefield Road Mahadevpura Post Bangalore, India 560048

Here is the information you requested regarding pricing for several Microsoft products to be used in conjunction with your TPC-H benchmark testing.

All pricing shown is in US Dollars (\$).

Description	Unit Price	Quantity	Price		
Database Management System					
<b>SQL Server 2019 Enterprise Edition</b> 2 Core License Open Program – No Level - ERP	\$13,748.00	16	\$219,968.00		
Database Server Operating System					
Windows Server 2022 Standard Edition 2 Core License Open Program - No Level - ERP	\$180.00	16	\$2,880.00		
Support					
Microsoft Problem Resolution Services Professional Support (1 Incident).	\$259.00	1	\$259.00		

All software components are currently orderable. SQL Server 2019 Enterprise Edition is currently available. Windows Server 2022 Standard Edition will be available on August 31, 2021. A list of Microsoft's resellers can be found in the Microsoft Product Information Center at

http://www.microsoft.com/products/info/render.aspx?view=22&type=how

Defect support is included in the purchase price. Additional support is available from Microsoft PSS on an incident by incident basis at \$259 call.

This quote is valid for the next 120 days.

Reference ID: TPCH\_hsgokstr4472196\_2019