

## Cross-Chapter Paper 3: Deserts, Semi-Arid Areas and Desertification

**Cross-Chapter Paper Leads:** Alisher Mirzabaev (Uzbekistan), Lindsay C. Stringer (United Kingdom)

**Cross-Chapter Paper Authors:** Tor A. Benjaminsen (Norway), Patrick Gonzalez (USA), Rebecca Harris (Australia), Mostafa Jafari (Iran), Nicola Stevens (South Africa), Cristina Maria Tirado (Spain/USA), Sumaya Zakieldean (Sudan)

**Cross-Chapter Paper Contributing Authors:** Elena Abraham (Argentina), Dulce Flores-Renteria (Mexico), Houda Ghazi (Morocco), Pierre Hiernaux (France), Margot Hurlbert (Canada), Oksana Lipka (Russia), Nausheen Mazhar (Pakistan), Nicholas Middleton (United Kingdom), Uriel Safriel (Israel), Fei Wang (China)

**Cross-Chapter Paper Review Editor:** Taha Zatari (Saudi Arabia)

**Cross-Chapter Paper Scientist:** Asmita Bhardwaj (India)

**Date of Draft:** 4 December 2020

**Notes:** TSU Compiled Version

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## 1 **Executive Summary**

### 3 **Introduction**

5 This Cross-Chapter Paper on “Deserts, semi-arid areas and desertification” provides an update and extension  
6 to Chapter 3 on “Desertification” in the IPCC Special Report on Climate Change and Land (SRCCL). It  
7 assesses new information and links it to the findings across the chapters of Working Group II’s contribution  
8 as well as relevant chapters of Working Group I’s contribution to the IPCC Sixth Assessment Report (AR6),  
9 with a more focused treatment of deserts than was within the scope of the SRCCL.

### 11 **Where are we now: Observed impacts and adaptation responses**

13 **Deserts and semi-arid areas have already been affected by climate change and desertification, with  
14 some areas becoming more arid and other areas becoming greener. Mixed trends of decreases and  
15 increases in vegetation and biodiversity have been observed, depending on the time period, geographic  
16 region, detection methods used and vegetation type under consideration. Warming rates have been  
17 two times higher in tropical drylands compared to humid regions (*high confidence*). There is no  
18 evidence, however, of a global trend in dryland expansion based on analyses of vegetation patterns,  
19 precipitation and soil moisture (*medium confidence*).** Deserts and semi-arid areas host unique biodiversity,  
20 rich cultural heritage and provide globally valuable ecosystem services. They are also highly vulnerable to  
21 climate change. The vitality of natural ecosystems in arid and semi-arid regions greatly depends on water  
22 availability, as they are highly sensitive to changes in precipitation and potential evapotranspiration. From  
23 1920 to 2015, surface warming of 1.2°C to 1.3°C over global drylands exceeded the 0.8°C to 1.0°C warming  
24 over humid lands. From 1982 to 2015, the combination of unsustainable land use and anthropogenic climate  
25 change caused desertification of 6% of the global dryland area, while 41% showed significant greening and  
26 53% of the area had no notable change. Observed trends in deserts and semi-arid areas have led to varying  
27 impacts on flora, fauna, soil, and water resources. Ecological changes in dryland ecosystems detected and  
28 attributed primarily to climate change include tree mortality and loss of mesic tree species at specific sites in  
29 the African Sahel from the 1940s to the 2000s; and in North Africa from 1970 to 2007; and losses of bird  
30 species in the Mojave Desert of North America from 1908 to 2016. In contrast, the growth in herbaceous  
31 vegetation production has increased in some dryland areas since the 1980s. Widespread woody  
32 encroachment has occurred in many savannahs in Africa, Australia and South America, due to a combination  
33 of land use change, changes in rainfall, fire suppression, and CO<sub>2</sub> fertilization. {CCP3.1.2, CCP3.2.1,  
34 CCP3.2.2}

36 **The impacts of climate change have affected the ecosystem services that humans can harness from  
37 drylands, with important implications for livelihoods, human health and wellbeing, particularly in  
38 deserts and semi-arid areas with lower adaptive capacities (*high confidence*).** Dryland populations are  
39 showing a steady increase, accompanied with rapid urbanisation, growing incomes and consumption. The  
40 pressures that these trends place on ecosystems often lead to their degradation and desertification, which can  
41 exacerbate poverty, water insecurity, hunger, poor health, and marginalisation. Ecosystem degradation and  
42 desertification threaten the abilities of both natural and human systems to adapt to climate change. What  
43 happens in the world’s deserts and semi-arid areas has substantial implications for the rest of the world, e.g.,  
44 through sand and dust storms, economic and social teleconnections (for example through health, trade,  
45 potential implications for conflicts and migration). These changes most acutely affect human populations  
46 that are directly dependent on natural resources, who also often have lower capacities to adapt to climate  
47 change, particularly given structural limitations of dryland areas where e.g., health care, sanitation,  
48 infrastructure and markets are often lacking (*high confidence*). {CCP3.1.1, CCP3.2.1, CCP3.2.2}

### 50 **Where are we going? Risks and adaptation under warming pathways**

52 **Some drylands will expand by 2100, while others will shrink (*high confidence*).** Climate change affects  
53 drylands through increased temperatures and more irregular rainfall, with important differences  
54 between bimodal and unimodal rainfall areas. Projections are nevertheless uncertain and not well  
55 supported by observed trends, while different methodological approaches and indices exhibit different  
56 strengths and weaknesses (*medium confidence*). A fundamental methodological challenge is how to  
57 attribute projected impacts to climate change when background climate variability in drylands is so high.

1 Some projections show aridity (as measured by the aridity index) to expand substantially on all continents  
2 except Antarctica. Expansion of arid regions is likely in southwest North America, the northern fringe of  
3 Africa, the far west Sahel, southern Africa and Australia. The main areas of semiarid expansion are likely to  
4 occur in the north side of the Mediterranean, southern Africa and North and South America. In contrast,  
5 India, northern China, eastern equatorial Africa and the southern Saharan regions are projected to have  
6 shrinking drylands. Under the Representative Concentration Pathway 8.5, aridity zones could expand by one-  
7 quarter of the 1990 area by 2100, increasing to over half the global terrestrial area. Lower greenhouse gas  
8 emissions, under Representative Concentration Pathway 4.5, could limit expansion to one-tenth of the 1990  
9 area by 2100. Nevertheless, the utility of the aridity index in delineating dryland biomes is limited under an  
10 increasing CO<sub>2</sub> environment (*medium confidence*). The impacts of climate change, and dust and sand storm  
11 activity are projected to be substantial, however, there is large regional variability in terms of rainfall  
12 seasonality, land management practices, as well as differences in rates of change and the scales at which the  
13 projections are undertaken. The characteristics and speed of human responses and adaptations also affect  
14 future risks and impacts (*high confidence*). Increased variability of precipitation would generally contribute  
15 to increased vulnerability for people in drylands, intensifying the challenges that populations residing in  
16 deserts and semi-arid areas will face for their sustainable development (*medium confidence*). {CCP3.3.1,  
17 CCP3.3.2}

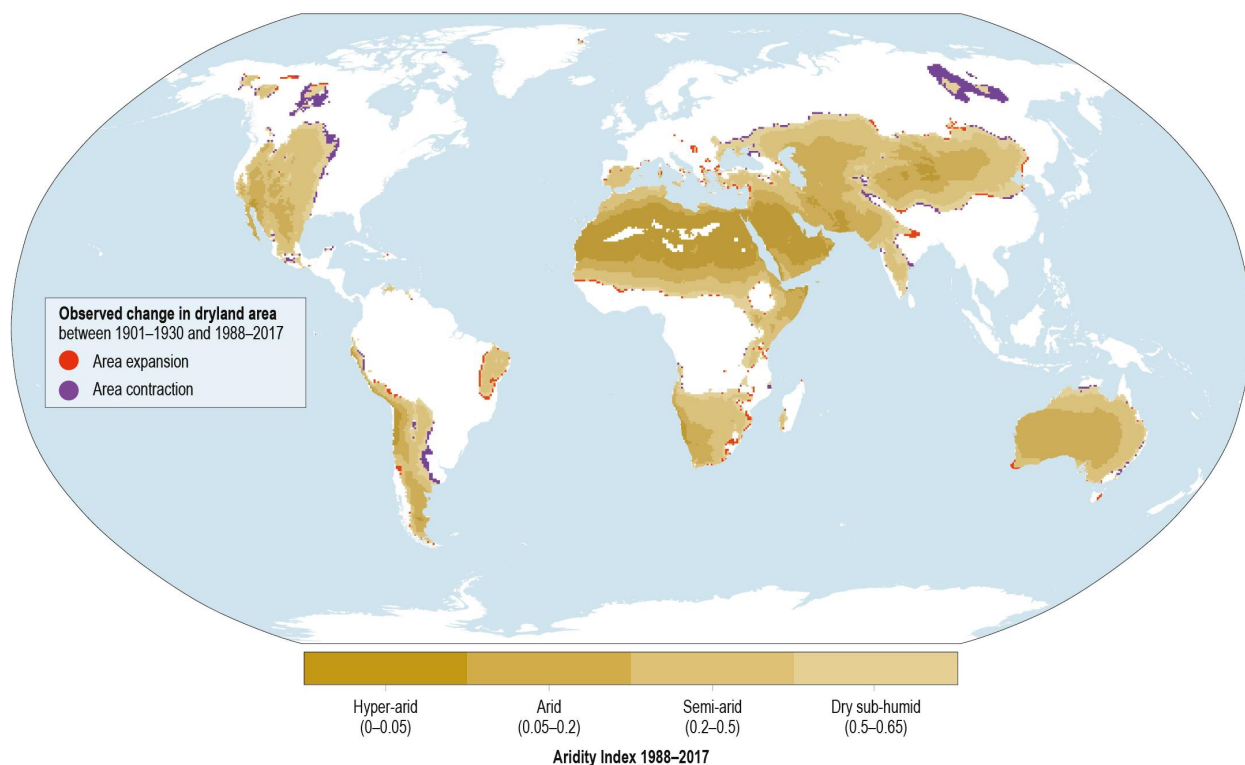
### 18 19 **Contributions of adaptation measures to climate resilient development**

20  
21 **Drivers of dryland dynamics such as desert expansion and greening are numerous, and differ across**  
22 **different types of drylands, yet a suite of successful adaptations can address human drivers of change,**  
23 **support resilience and build the adaptive capacity of dryland inhabitants (*medium confidence*).** Deserts  
24 and semi-arid areas have a rich cultural heritage, indigenous knowledge, and local knowledge which enrich  
25 and influence sustainability and land use globally. Growing evidence and experience, including that  
26 grounded in indigenous knowledge and local knowledge, highlight the necessary features of an enabling  
27 environment for dryland adaptation. Key aspects include supportive policies, institutions and governance  
28 approaches that strengthen the adaptive capacities of dryland farmers, pastoralists and other resource users  
29 (*high confidence*). There is a persistent gap in terms of scaling-up already known good practices, combining  
30 nature based, land based, and ecosystem-based approaches that facilitate sustainable land management  
31 together with contextually appropriate governance solutions (e.g., those supporting land tenure security)  
32 (*medium confidence*). Land based adaptations can help manage dryland changes including dust and sand  
33 storms and desertification, while technological options linked to water management draw from both  
34 traditional practices and new innovations. Adequate financing and investment is required to harness multiple  
35 benefits for managing the impacts of climate change and desertification whilst accelerating progress towards  
36 sustainable development in desert and semi-arid areas. {CCP3.4}

## CCP3.1 Introduction

### CCP3.1.1 Concepts, Definitions and Scope

Deserts and semi-arid areas come under the overarching term ‘drylands’, which comprise hyper-arid, arid, semi-arid and dry sub-humid areas (Figure CCP3.1). Drylands cover about 45-47% of the global land area (Právělie, 2016; Koutroulis, 2019) and are home to about 3 billion people (van der Esch et al., 2017). Drylands host a unique and often rich biodiversity (Maestre et al., 2015) and collectively provide important ecosystem services to a largely rural population (Bidak et al., 2015; Lu et al., 2018). In addition, in the last few decades, 6% of the global “big cities” were established in arid areas and 2% in hyper-arid desert areas, slightly reducing the total area of desert natural ecosystems (Cherlet et al., 2018). As highlighted in the Intergovernmental Panel on Climate Change (IPCC) Special Report on Climate Change and Land (SRCCL), dryland populations have a rich cultural and historical heritage, but in many places in developing countries, they are experiencing poverty, hunger, poor health, economic and political marginalisation and, in some places, growing extent and severity of land degradation (Mbow et al., 2019; Mirzabaev et al., 2019). Such challenges threaten their abilities to adapt to climate change. Often these degradation processes are caused by land use and cover changes driven by rapid human population growth (Tappan, 2016; Tong et al., 2020). As rural human populations steadily increase in tropical drylands and some of the Mediterranean drylands, accompanied with rapid urbanisation, pressure is placed on natural dryland ecosystems, often contributing to degradation (Guengant Jean-Pierre, 2003; Tabutin and Schoumaker, 2004; Denis and Moriconi-Ebrard, 2009).



**Figure CCP3.1:** Aridity zones and observed changes in dryland areas. Aridity zones, according to the (United Nations Educational and Organization, 1979) and (UNEP, 1992) classification, defined by the aridity index (AI), the ratio of average annual precipitation to potential evapotranspiration: (i) dry sub-humid ( $0.5 \leq AI < 0.65$ ), (ii) semi-arid ( $0.2 \leq AI < 0.5$ ), (iii) arid ( $0.05 \leq AI < 0.2$ ) and (iv) hyper-arid ( $AI < 0.05$ ). Drylands include land with  $AI < 0.65$  (UNEP, 1992) and potential evapotranspiration  $\leq 400 \text{ mm y}^{-1}$  (Spinoni et al., 2015). Deserts represent a major part of the hyper-arid and arid zones. The aridity zones are shown for climate in the period 1988–2017 and changes in dryland area (combined area of four aridity zones) are shown between the periods 1901–1930 and 1988–2017, based on climate time series at 50 km spatial resolution (Harris et al., 2020). It should be noted that the aridity index has various limitations in assessing dryland expansion (see SRCCL section 3.2.1) so different methods may highlight different areas of change.

1 Neither “desert” nor “desertification” are strictly defined terms; each is subject to various interpretations due  
2 to the diverse components, processes and states they may denote. While recognizing that “land degradation”  
3 is a contested and perceptual term reflecting the fact that different actors value landscapes (Blaikie and  
4 Brookfield, 1987; Behnke and Mortimore, 2016; Robbins, 2020), this Cross-Chapter Paper, for practical  
5 purposes, follows the definition provided by the SRCCL where land degradation is defined as “a negative  
6 trend in land condition, caused by direct or indirect human-induced processes including anthropogenic  
7 climate change, expressed as long-term reduction or loss of at least one of the following: biological  
8 productivity, ecological integrity or value to humans” (Olsson et al., 2019). Desertification is land  
9 degradation in arid, semi-arid, and dry sub-humid areas (UNCCD, 1994). Desertification is more common in  
10 desert lands located in arid and semi-arid climates than in the larger areas of hyper-arid climates, and occurs  
11 mostly in oases and irrigated cultivated desert lands in both arid and hyper-arid deserts (Ezcurra, 2006;  
12 Dilshat et al., 2015). Hyper-arid areas, except wetlands such as oases, wadis and riverbanks, are not  
13 included in the United Nations Convention to Combat Desertification (UNCCD) definition of desertification  
14 used in this Cross-Chapter Paper, yet many of the world’s deserts are found in hyper-arid areas. For this  
15 reason, hyper-arid areas are included when discussing deserts but not when discussing desertification. The  
16 assessment of desertification also includes wetland areas which are facing significant challenges due to both  
17 desertification and climate change. Deserts are not the end point in a desertification process (Ezcurra, 2006),  
18 and there is robust evidence of desertification in deserts, mostly driven by human activities and climate  
19 variability, expressed as land productivity reductions to below natural levels (Moridnejad et al., 2015). A  
20 study of prehistory and contemporary history of desert land use provides evidence of persistent expressions  
21 of degradation in a hyper-arid Negev Desert area (Stavi et al. 2017), suggesting that dryland dynamics and  
22 desertification are not confined to arid, semi-arid and dry sub-humid areas but can affect all desert types.  
23

24 Climate variability and change are important determinants of agricultural production, rangeland productivity  
25 and soil moisture regimes and soil nutrients in arid and semi-arid areas (De Vries and Djitéye, 1982;  
26 Rockström and De Rouw, 1997; de Ridder et al., 2004; Sivakumar, 2005; Akponikpe, 2008; Hiernaux et al.,  
27 2009; Mganga et al., 2015; Omoyo et al., 2015; Gaur and Squires, 2017; Zhang et al., 2018). Changes to  
28 these aspects linked to climate change can have negative impacts on human health, livelihoods and  
29 wellbeing, as well as the land-based options available to support climate change adaptation and mitigation  
30 (Reed and Stringer, 2016). At the same time, non-climate change factors are important. Dryland livelihoods  
31 that are heavily reliant on natural ecosystems face pressures caused by human factors such as high  
32 population growth rates, weak or bad governance, low levels of investment, unemployment and poverty,  
33 market distortions and misconceived perceptions of the value of drylands (Stringer et al., 2017; Bawden,  
34 2018) on top of growing climate change challenges. These pressures intersect with broader societal  
35 challenges such as conflict and civil unrest (Okpara et al., 2015), which together, can contribute to human  
36 migration in some drylands. Nevertheless evidence linking conflict with climate change and desertification is  
37 weak (Benjaminsen et al., 2012).  
38

39 While much of the literature focuses on the problems in dryland systems, these areas also offer opportunities  
40 for adapting to and mitigating climate change. They host valuable natural assets including abundant solar  
41 energy, opportunities for cultural and nature-based tourism, rich plant biodiversity in some areas (e.g.,  
42 Namibia) extensive traditional knowledge and experience of adapting to dynamic dryland climates (Christie  
43 et al., 2014; Stringer et al., 2017), e.g., across West Asia and North Africa (Louhaichi and Tastad, 2010;  
44 Hussein, 2011). Improved understanding of challenges and opportunities in drylands can be achieved by  
45 transdisciplinary, multi-scale and inter-sectoral approaches encompassing links between physical, biological  
46 and socioeconomic, and institutional systems (Reynolds et al., 2007; Stringer et al., 2017).  
47

48 This cross-chapter paper on “Deserts, semi-arid areas and desertification” provides an update and extension  
49 to the SRCCL, specifically its Chapter 3 on Desertification. It assesses new information and links it to  
50 findings from across the chapters of Working Group II (as well as relevant chapters of Working Group I)  
51 contribution to the Sixth Assessment cycle (AR6), with a more focused treatment of deserts than was within  
52 the scope of the SRCCL. The SRCCL highlighted that the increasing number and frequency of extreme  
53 climatic events projected under climate change increase the risks from desertification in arid, semi-arid and  
54 dry sub-humid areas. Climate change is strongly affecting many desert and semi-arid areas, together with  
55 desertification, lowering their agricultural productivity and eroding biodiversity, including unique desert  
56 fauna and flora, and in some locations, contributing to lower surface- and groundwater. The IPCC Special  
57 Report on Global Warming of 1.5 °C (IPCC, 2018) noted that, in general, deserts are expected to become

1 drier and warmer at a faster rate than other terrestrial areas, and that little is known about the effects of  
2 higher aridity on future increases in dust storms. Overall, more unpredictability, fluctuations and irregularity  
3 of rainfall and extreme weather events are expected.

4  
5 Beyond these IPCC special reports, the links between climate change and deserts, desertification and semi-  
6 arid areas have not been extensively considered in previous IPCC assessment cycles. The fifth assessment  
7 cycle AR5 noted that desertification contributes to the production of atmospheric dust, identifying  
8 desertification as one of several challenges needing consideration within climate change mitigation and  
9 adaptation governance and decision making (Boucher et al., 2013; Myhre et al., 2013). AR5 further stated  
10 that desertification can reduce crop yields and livelihood resilience, which has also been observed by others  
11 (Boucher et al., 2013; Myhre et al., 2013; Field et al., 2014; Fleurbaey et al., 2014; Klein et al., 2014).  
12 Indeed, the interactions between climate change and desertification in drylands create challenges for both  
13 ecosystems and humans, affecting ecosystem services, biodiversity, food security, human health and  
14 wellbeing (Reed and Stringer, 2016). While desertification of desert ecosystems reduces the flow of desert  
15 provisioning and regulating ecosystem services, flows of cultural services, significant to desert dwellers and  
16 others living off deserts, have not yet been documented to be affected, although cultivation and urbanization,  
17 alongside climate change, may put them at risk (Safriel et al., 2005; Ezcurra, 2006).

18  
19 This Cross-Chapter-Paper focuses on both environmental and human aspects of deserts and semi-arid areas,  
20 finding that climate change impacts will intensify the challenges that populations residing in these  
21 ecosystems face in terms of their sustainable development. However, viable options exist for adapting to  
22 climate change, reducing desertification and moving towards sustainable development and the Sustainable  
23 Development Goals (SDGs) in these systems, particularly through the combined use of modern science,  
24 traditional knowledge, and local knowledge, as well as livelihood and land management strategies that  
25 support land-based adaptation and nature based solutions to climate change.

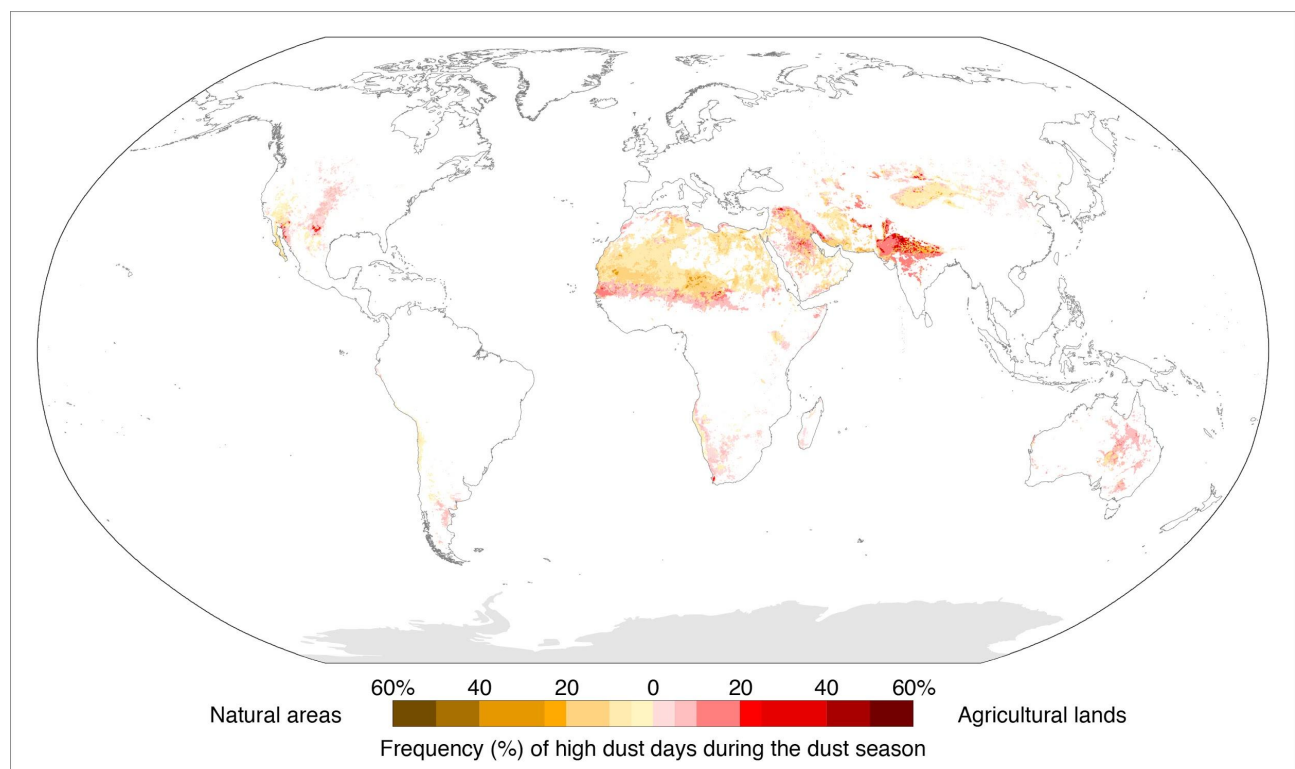
### 26 27 ***CCP3.1.2 Dryland Dynamics and Key Areas of Emphasis***

28  
29 From 1920 to 2015, surface warming of 1.2°C to 1.3°C over global drylands exceeded the 0.8°C to 1.0°C  
30 warming over humid lands (Huang et al., 2017) These increasing temperatures due to climate change have  
31 increased aridity (Dai and Zhao, 2017; Huang et al., 2017), expanding the area of drylands, as measured by  
32 the aridity index, by ~4% from 1948 to 2004 (Ji et al., 2015; Spinoni et al., 2015; Huang et al., 2016) (Figure  
33 CCP 3.1). Within drylands, the semi-arid zone ( $0.2 \leq AI < 0.5$ ) was estimated to have expanded at the  
34 greatest rate (~7%) (Huang et al., 2016). Observations from the Sahel demonstrated that temperature  
35 seasonality changes differ from rainfall seasonality changes (Guichard et al., 2015). Studies from the Middle  
36 East focusing on 20 countries showed highest and lowest aridity trends in their sampled countries to be in  
37 north Sudan (96%) and eastern Arabia (61%) over the period 1948-2018 (Sahour et al., 2020). In some  
38 drylands with winter-rain pulses, climate change is leading to wetter conditions (e.g., Gobi Desert in China).  
39 Models project higher total rainfall in the Sahel, but with fewer rainfall events, later onset and increased  
40 unpredictability (Biasutti, 2013). In the Sahel, there has been an increase in surface water and groundwater  
41 recharge since the 1980s. This is referred to as “the Sahel paradox” (Favreau et al., 2009; Gardelle et al.,  
42 2010; Descroix et al., 2013; Gal et al., 2017; Wendling et al., 2019). Along with total rainfall amounts,  
43 changes in rainfall patterns also have major impacts on desert and semi-arid areas. Carbon-fertilization is  
44 facilitating vegetation/lichen growth (e.g., in Central Asia) (Lioubimtseva, 2007), while aridity trend  
45 relationships with vegetation growth in drylands is complicated by irrigation practices and precipitation  
46 increases in some areas (He et al., 2019).

47  
48 From 1982 to 2015, the combination of unsustainable land use and anthropogenic climate change caused  
49 desertification of 6% of the global dryland area, while 41% showed significant greening and 53% of the area  
50 had no notable change (Burrell et al., 2020). In contrast, (Yuan et al., 2019) conclude that for the period  
51 1999-2015, trends of vegetation production reversed globally and in drylands, showing extensive declines.  
52 Analyses of vegetation, soil, and physical characteristics of over 50 000 sample points in drylands around the  
53 world indicate that aridification causes ecological degradation at three successive thresholds: vegetation  
54 decline at aridity index = 0.56, soil disruption at aridity index = 0.3, and loss of plant cover at aridity index =  
55 0.2 (Berdugo et al., 2020) However, as shown in the SRCLL Chapter 3 on Desertification, the aridity index,  
56 already an imperfect proxy for drylands, will be of limited use under a changing CO<sub>2</sub> environment due to  
57 higher water use efficiency by some plants. Although some dryland areas will expand due to climate change

(Figure CCP 3.1), there is no evidence of a global trend in dryland expansion based on vegetation patterns, precipitation and soil moisture (*medium confidence*). The eastern Nama-Karoo (southern Africa) has experienced increased herbaceous vegetation production. Vegetation production showed declines in Burkina Faso (Zida et al., 2020). The Sahara Desert was suggested to have expanded 10% from 1902 to 2013 (Thomas and Nigam, 2018), although herbaceous vegetation production has increased in general in the Sahel, demonstrating a trend of “re-greening” since the dry 1980s (Eklundh and Olsson, 2003; Anyamba and Tucker, 2005; Herrmann et al., 2005; Hutchinson et al., 2005; Olsson et al., 2005; Fensholt et al., 2006; Dardel et al., 2014; Hiernaux et al., 2016; Stith et al., 2016; Benjaminsen and Hiernaux, 2019; Hiernaux and Assouma, 2020). Re-greening can mean a variety of things, so it is important to account for encroachment of unpalatable plant species to rangeland areas (e.g., in East Africa and southern Africa’s Kalahari), the loss of open ecosystems through woody plant invasion, and intensive use of chemical fertilizers in croplands (e.g., in Asia) through ground-truthing of remotely sensed trends. What may appear as “greening” may be masking underlying land degradation processes and losses of ecosystem services, livelihood and adaptation options (e.g., (Reed et al., 2015; Le et al., 2016; Chen et al., 2019). Ultimately, the vulnerability of an area to shrub encroachment depends on a combination of the land use and the functional traits of plants that shape how they respond to all drivers, human and climatic (Bond, 2008; Stevens et al., 2017; Thomas et al., 2018).

Despite global greening trends, dust and sandstorms have been highlighted as a particular concern for desert areas under conditions of climate change and desertification (Middleton, 2017). Although there is *high confidence* that desertification contributes to dust and sand storm activity, currently, there is limited knowledge on the role of climate change in dust and sand storms (Mirzabaev et al., 2019), even though those effects can be substantial. In West Africa, the frequency and intensity of dust storms increased during droughts of the 1970s-1980s then decreased afterwards with increased rainfall (Kergoat et al., 2017). Only about 20% of deserts are covered by sand, but desert dust and sandstorms provide an important feedback mechanism to climate (Pu and Ginoux, 2017), with the literature showing that some areas have very high numbers of dust days (Figure CCP 3.2) (Ginoux et al., 2012). Deserts and other natural dryland surfaces produced 75% to 90% of dust in the air globally in the early 21st century, with the remaining fraction coming from agricultural and other land dominated by human land use (Ginoux et al., 2012; Stanelle et al., 2014).



**Figure CCP3.2:** Frequency of high dust days (dust optical depth >0.2) during the dust season, based on 2003-2009 remote sensing, the most recent data analysed, and divided into areas primarily in agriculture and areas dominated by natural land cover (Ginoux et al., 2012). Dust seasons: Africa (North), January-December; Africa (South), September-

1 February; America (North), March-May; America (South), December-February), Asia, March-May; Australia,  
2 September-February.

## 5 **CCP3.2 Observed Impacts of Climate Change Across Sectors and Regions**

### 7 ***CCP3.2.1 Observed Impacts on Natural Systems in Desert and Semi-arid Areas***

9 Dryland ecosystems have shown mixed trends of decreases and increases in vegetation and biodiversity,  
10 depending on the time period, geographic region, and vegetation type assessed. Increases in shrub and tree  
11 cover in arid areas have been recorded in the North American drylands (Caracciolo et al., 2016; Archer et al.,  
12 2017; Chambers et al., 2019), Namib desert (Rohde et al., 2019), the Karoo (Ward et al., 2014; Masubelele et  
13 al., 2015b), north and central Mexico (Pérez-Sánchez et al., 2011; Báez et al., 2013; Castellón et al., 2015;  
14 Sosa et al., 2019), in large parts of the West African Sahel with some local exceptions (Brandt et al., 2016),  
15 and in Central Asia (Jia et al., 2015; Li et al., 2015; Deng et al., 2016; Wang et al., 2016; Jiao et al., 2016).  
16 Increasing woodiness in the Namib is consistent with an increase in rainfall extremes and westward  
17 expansion of convective rainfall (Haensler et al., 2010; Rohde et al., 2019) whilst woody increase in other  
18 drylands is often a product of interactions between land use and climate change (Archer et al., 2017).  
19 Particularly in arid areas, rising concentrations of CO<sub>2</sub> improve water use efficiency, which can benefit  
20 shrubs (Polley et al., 1997; Morgan et al., 2004; Donohue et al., 2013) and is a cause of woody encroachment  
21 in these regions, alongside changes in land use (Hoffman et al., 2018), while greening can relate to both  
22 rainfall increases, improved local land management (Reij et al., 2005), and improved irrigation (He et al.,  
23 2019).

25 Because of a rainfall increase in the Sahel since the 1980s, the remote sensing-derived normalized difference  
26 vegetation index (NDVI) (Tucker, 1979) found an increase in herbaceous cover (Eklundh and Olsson, 2003;  
27 Olsson et al., 2005; Fensholt et al., 2006; Dardel et al., 2014). NDVI remote sensing, calibrated by field  
28 measurements, also found increases of woody foliage biomass across the Sahel from 1992 to 2012 (Brandt  
29 et al., 2019) and increases in woody cover from 1992 to 2011 (Brandt et al., 2016; Brandt et al., 2017).  
30 NDVI is not without its challenges, however. See SRCCCL section 3.2.1.1 for an evaluation of NDVI and  
31 remote sensing approaches. Furthermore, farmers in many parts of the Sahel engage in natural tree  
32 regeneration, so these activities played a role in increasing tree cover, particularly next to villages (Gonzalez,  
33 2001; Reij et al., 2005; Gonzalez et al., 2012; Reij and Garrity, 2016; Brandt et al., 2018) (*high confidence*).  
34 One particular limitation of NDVI is that the AVHRR sensor of the main NDVI time series only came into  
35 service in 1981 (Tucker et al., 1983). Drought in the Sahel in the periods 1968-1973 and 1982-1984 resulted  
36 in low herbaceous cover at the start of the NDVI time series in 1982, explaining much of the subsequent  
37 increase in herbaceous cover (Anyamba and Tucker, 2005; Ahmed et al., 2017; Kusserow, 2017). To  
38 illustrate, Dardel et al. (2014) and Brandt et al. (2019) found increased NDVI across the West African Sahel  
39 for the period 1981-2011, while Yuan et al. (2019) and Zida et al. (2020a) found that NDVI time series  
40 increased in the first part of the period, from 1982 to 1998, then decreased from 1999 to 2015, when an  
41 increase in aridity constrained net primary productivity.

43 Contrasting with the above findings, field measurements have also detected tree mortality and loss of mesic  
44 tree species at some sites across the Sahel (Gonzalez et al., 2012; Kusserow, 2017; Brandt et al., 2018;  
45 Ibrahim et al., 2018; Trichon et al., 2018; Zwartz et al., 2018; Bernardino et al., 2020; Zida et al., 2020) and a  
46 reduction of mesic species in favour of drought-tolerant species (Hänke et al., 2016; Kusserow, 2017;  
47 Ibrahim et al., 2018; Trichon et al., 2018; Zida et al., 2020b) (*high confidence*). This follows earlier research  
48 finding extensive tree mortality across the drier parts of the Sahel resulting from the droughts of the 1970s  
49 and 80s (Benjaminsen, 1996; Gonzalez, 2001; Wezel and Lykke, 2006; Maranz, 2009; Vincke et al., 2010;  
50 Gonzalez et al., 2012). Climate research associates the Sahelian droughts of the 1970s and 80s with increases  
51 in sea surface temperature (Folland et al., 1986; Giannini et al., 2003; Biasutti and Giannini, 2006; Zhang et  
52 al., 2007; Suárez-Moreno et al., 2018b) due to anthropogenic climate change (IPCC, 2013; IPCC, 2019) and  
53 anthropogenic aerosols (Ackerley et al., 2011) (*high confidence*). The impact of drought in the southern  
54 Sahel and sub-humid regions has been exacerbated by land clearance for farming, further decreasing woody  
55 population density and cover in some places (Mahamane et al., 2007; Rischkowsky et al., 2008), but also  
56 with large temporal and spatial variations (Brandt et al. 2016).



1 Other site-specific impacts include tree mortality in south-western Morocco (Le Polain de Waroux and  
2 Lambin, 2012), mortality of *Austrocedrus* and *Nothofagus* forests in the dry Patagonia forest-steppe  
3 (Rodríguez-Catón et al., 2019), and a tree range contraction of *Aloidendron dichotmum* of South (Foden et  
4 al., 2007b). In Morocco, tree mortality was most highly correlated to an increase in aridity, measured by the  
5 Palmer Drought Severity Index (PDSI), which showed a statistically significant increase since 1900 due to  
6 anthropogenic climate change (Dai et al., 2004; Esper et al., 2007; Dai, 2011). Since the IPCC's Fifth  
7 Assessment Report, a loss of bird biodiversity in the Mojave Desert in the United States has also been  
8 detected and attributed to increased aridity caused by the increasing temperatures of anthropogenic climate  
9 change (Iknayan and Beissinger, 2018; Riddell et al., 2019). Experimental studies indicate that under  
10 warming scenarios some succulent plants experience reduced physiological performance, loss of seed banks,  
11 lower germination rates and, in some instances, increased mortality (Musil et al., 2005; Aragón-Gastélum et  
12 al., 2014; Shryock et al., 2014; Martorell et al., 2015; Carrillo-Angeles et al., 2016; Aragón-Gastélum et al.,  
13 2017; Aragón-Gastélum et al., 2018; Koźmińska et al., 2019).

14  
15 In the Mojave and Sonoran deserts of the south-western United States, a drought since 2000, mainly due to  
16 anthropogenic climate change (Williams et al., 2020), together with land use change, invasive plant species,  
17 and an increase in wildfire (Syphard et al., 2017), has led to reductions in individual desert plant species  
18 (DeFalco et al., 2010; Conner et al., 2017) and perennial vegetation cover (Munson et al., 2016). An increase  
19 in invasive exotic grasses has amplified wildfires in these desert ecosystems in which fire had been rare,  
20 while burning reduces native vegetation cover in favour of invasive exotic grasses (Brooks and Matchett,  
21 2006; Abatzoglou and Kolden, 2011; Hegeman et al., 2014; Horn and St. Clair, 2017).

22  
23 At numerous sites in Africa, Australia, and South America, woody encroachment into savannahs has  
24 occurred due to a combination of land use change, changes in rainfall, fire suppression and CO<sub>2</sub> fertilization  
25 (Stevens et al., 2017) (*high confidence*). Widespread encroachment has occurred in African savannahs  
26 (O'Connor et al., 2014; Stevens et al., 2016; Skowno et al., 2017; Venter et al., 2018; Zhang et al., 2019).  
27 The encroachment has been attributed to increased rainfall (Venter et al., 2018; Zhang et al., 2019), warming  
28 (Venter et al., 2018) and CO<sub>2</sub> fertilisation (Kgope et al., 2010; Bond and Midgley, 2012; Buitenwerf et al.,  
29 2012; Stevens et al., 2016; Quirk et al., 2019) but it is probable that these impacts also interacted with land  
30 use (Archer et al., 2017; Venter et al., 2018) including grazing intensity by settled pastoralists (e.g., in Kenya  
31 and Botswana). In some cases, it has been balanced locally either by changes in the run-off system (Trichon  
32 et al., 2018) or by human clearing or cutting practices for fuel wood, as in western Niger, northern Nigeria,  
33 and at the periphery of major towns (Montagné et al., 2016). Lower rates of savannah encroachment are  
34 occurring in Australian savannahs (Stevens et al., 2017). Extremely high rates of both woody encroachment  
35 and forest expansion into savannahs are occurring in South America (Stevens et al., 2017; Rosan et al., 2019)  
36 with the causes being most strongly attributed to land-use abandonment and fire suppression (Durigan and  
37 Ratter, 2016; Eloy et al., 2019; Rosan et al., 2019). Land use may also interact with changing CO<sub>2</sub> which  
38 enhances tree growth in these regions (Quirk et al., 2019). Detection and attribution of this and other  
39 ecological changes in drylands remains a research gap.

40  
41 Changes in aridity (Rudgers et al., 2018) have caused the expansion of dominant grasses into desert  
42 shrublands in the Chihuahuan desert (Collins and Xia, 2015; Rudgers et al., 2018) (*low confidence*). Arid  
43 grassland has expanded (between 10-100km) into the eastern Karoo, South Africa, due to shift in rainfall  
44 seasonality (du Toit et al., 2015; Masubelele et al., 2015a; Masubelele et al., 2015b) (*high confidence*).  
45 Observations from 100-year-old grazing trials demonstrate that the increase in grassiness is a product of shift  
46 in rainfall seasonality and an increase in rainfall (Du Toit and O'Connor, 2014; du Toit et al., 2015;  
47 Masubelele et al., 2015a; Masubelele et al., 2015b; du Toit et al., 2018).

48  
49 Zhang et al. (2020) noted that the degree of desertification had decreased in north-eastern and north-western  
50 regions of China during 1975 to 1990, mainly owing to shifting climatic trends. Similar trends of land  
51 degradation and desertification as an impact of changing climatic trends have been reported by Savage et al.  
52 (2009) for Afghanistan, by Mahmoudi et al. (2011) for Iran, by Barbosa et al. (2015) for Argentina, while  
53 Kamali et al. (2017) reported the frequency of droughts to increase in future, owing to changing climate in  
54 the semi-arid Karkheh River Basin of Iran. Climate change was also flagged as being responsible for land  
55 degradation in Jaggur watershed area, in Rajasthan, India (Javed et al., 2012).

1 Changes in vegetation and exposure of soil to wind and water erosion can have important impacts on soil  
2 dust emissions. Soil dust emissions are highly sensitive to changing climate conditions but also to changing  
3 land use and management practices (*high confidence*). Distinguishing between the effects of these two sets of  
4 drivers is not however straightforward, even in well-documented locations (Middleton, 2019). Assessing  
5 anthropogenic dust loads remains highly uncertain at all scales (Webb and Pierre, 2018). In dryland regions,  
6 sand and dust storms are common (Ahmed et al., 2016). Currently, there is *limited evidence and low*  
7 *agreement* about the impacts of climate change on sand and dust storms (SDS), with available studies  
8 pointing to either substantial increases (+300%) or decreases (-60%) (Boucher et al., 2013). At present,  
9 climate models cannot adequately model the impact of climate change on dust and sand storm activity  
10 (Mirzabaev et al., 2019). However, there is *high confidence* that land degradation, loss of vegetative cover,  
11 and drying of water bodies in semi-arid and arid areas will contribute to dust and sand activity (Mirzabaev et  
12 al., 2019). This will impact ecosystems and human systems, affecting health, water, food, livelihoods, and  
13 socio-economic structures and cultural practices.

14  
15 Recent changes in dust emissions and their attributions vary geographically. Warming in Iran over the period  
16 1951–2013 has been associated with an increase in the frequency of dust events (Alizadeh-Choobari and  
17 Najafi, 2018) and a trend (2000–2014) towards increased fine atmospheric mineral dust concentrations in the  
18 US southwest has been linked to increasing aridity (Hand et al., 2017). Conversely, increases in rainfall, soil  
19 moisture, and vegetation linked to changes in circulation strength of the Indian summer monsoon since 2002  
20 have led to a substantial reduction of dust in the Thar Desert and surrounding region showing agreement  
21 with findings from the Sahel and the West African Monsoon (Kergoat et al., 2017). A decreasing trend in the  
22 number and intensity of sand and dust storms in spring (2007–2016) in East Asia has also responded to  
23 higher precipitation and soil moisture, related to a decrease in the intensity of the polar vortex, favouring  
24 better vegetation cover during the period studied (An et al. 2018). Dust effects can range over long distances.  
25 For example, dust from the Gobi Desert traverses the Pacific Ocean and increases dust aerosols in western  
26 North America (Liu et al., 2019b). Global climate change, transboundary movement of aeolian material by  
27 atmospheric flows from Central Asia, dynamics of the Caspian Sea regime, processes of erosion, salinization  
28 of soils, as well as the loss of land as a result of the placement of industrial facilities have contributed to the  
29 expansion of land prone to desertification in Russia. Currently, desertification has been observed to some  
30 extent in 27 sub-regions of the Russian Federation on the territory of more than 100 million hectares  
31 (Edelgeriev, 2019). Eastern and south-eastern regions of Kalmykia, in Russia, serve as dust storm sources,  
32 while dust and sand masses from the areas of the Black Land sometimes move far beyond to parts of Rostov,  
33 Astrakhan, Volgograd, and Stavropol regions. Agricultural land in these areas can become covered with a  
34 layer of dust and sand up to 10 centimetres or more thick, with important negative impacts on yields  
35 (Edelgeriev, 2019).

36  
37 Water bodies have also been affected by dust and sand storms, while climate change and desertification have  
38 been linked to water loss and a negative effect on water (Bayram and Öztürk, 2014; Schwilch et al., 2014;  
39 Mohamed et al., 2016), through decreases in water quantity for irrigation and contamination of surface water  
40 bodies (Middleton, 2017). Increased runoff in areas in the Sahel with shallow soils increased water flows to  
41 lakes and the recharge of water tables (Favreau et al., 2009; Gardelle et al., 2010; Descroix et al., 2013;  
42 Amogu et al., 2015; Kaptué et al., 2015; Gal et al., 2017). Water scarcity was among the first impacts of  
43 climate change recognized in North African countries such as Morocco which have extensive dryland areas.  
44 The decrease in water availability in Morocco was substantial in terms of both surface water supply  
45 (Rochdane et al., 2012; Choukri et al., 2020) and groundwater (Bahir et al., 2020), threatening agricultural  
46 production, the backbone of the country's economy.

47  
48 Table CCP3.A.1 in Appendix CCP3.A provides examples of observed ecological changes in drylands and  
49 highlights the role of anthropogenic climate change and non-climatic factors in causing these changes.

#### 50 *CCP3.2.1.1 Teleconnections*

51  
52  
53 Vegetation-atmosphere feedbacks connect drylands and the rest of the world, affecting the severity of  
54 climate change in drylands and globally. Deforestation in one region can reduce evapotranspiration inputs to  
55 the atmosphere, leading to drier conditions elsewhere, often in drylands (Avissar and Werth, 2005; Devaraju  
56 et al., 2015; Wang et al., 2016; Swann et al., 2018). In areas of increased herbaceous cover, slight cooling  
57 due to increased evapotranspiration and latent heat flux can occur (Yu et al., 2017). In the West African

1 Sahel, climate research has, however, demonstrated that rainfall is driven more by changes in sea surface  
2 temperature of the global oceans, rather than the albedo effect (Folland et al., 1986; Stith et al., 2016).  
3 Hence, periodic drying in the Sahel in the 20th century, including the anomalously wet decades of the 1950s  
4 and 1960s, were suggested to have been initiated by warmer sea surface temperatures due to anthropogenic  
5 climate change (Giannini et al., 2003; Shanahan et al., 2009; Giannini, 2016; Stith et al., 2016; Suárez-  
6 Moreno et al., 2018a; Villamayor et al., 2018). Reduced vegetation cover in Guinean forests and Sudanian  
7 woodlands may, however, have amplified periodic drying via reduced evapotranspiration (Zeng et al., 1999;  
8 Liu et al., 2019a).

### 10 **CCP3.2.2 Observed Impacts of Climate Change on Human Systems in Desert and Semi-arid Areas**

12 The SRCCL found that interaction of climate change and desertification, including alongside other drivers of  
13 degradation, reduces dryland ecosystem services, including losses of biodiversity, water, food, and impacts  
14 human health and well-being (*high confidence*) (Mirzabaev et al., 2019) resulting in the disruption of the  
15 economic structures and cultural practices of affected communities (Elhadary, 2014; Middleton, 2017).  
16 Desertification and sand and dust storms (SDSs) can cause substantial socioeconomic damage in drylands  
17 (UNEP, 2016). SDS result in both short- and long-term economic impacts. Short-term costs include impacts  
18 on health, food production systems, infrastructural damage (e.g., to buildings, energy generating plants, and  
19 communications), interruption of transport and related economic productivity, air and road traffic accidents,  
20 and costs of clearing sand and dust from affected deposition areas) (Mirzabaev et al., 2019). Longer-term  
21 costs include loss of ecosystem services, biodiversity and habitat, chronic health problems, soil erosion and  
22 reduced soil quality (particularly through nutrient losses), soil pollution through deposition of pollutants, and  
23 disruption of global climate regulation (UNEP, 2016; Middleton, 2018; Allahbakhshi et al., 2019). Dust  
24 deposition nevertheless can offer some environmental and economic benefits, bringing e.g., important  
25 nutrients that improve and sustain soil fertility (Marticorena et al., 2017). Preventing and reducing SDS  
26 entails upfront investment costs but full cost-benefit analyses of different measures compared to the costs of  
27 inaction are scarce and would also need to consider the likely frequency and magnitude of SDS events  
28 (Tozer and Leys, 2013).

#### 30 **CCP3.2.2.1 Human Health**

32 The potential impacts of climate change, recurrent droughts and desertification on human health in drylands  
33 include: higher risks from water scarcity (linked to deteriorating water quality and water-borne diseases),  
34 food insecurity and malnutrition; respiratory, cardiovascular and infectious diseases caused by dust and sand  
35 storms (Mirzabaev et al., 2019), potential displacement and migration and mental health consequences  
36 (Chapter 7). SDS can have negative impacts on human health through various pathways causing respiratory,  
37 cardiovascular diseases and facilitating infections (Díaz et al., 2017; Goudarzi et al., 2017; Allahbakhshi et  
38 al., 2019; Münzel et al., 2019) (*high confidence*). Inhalation of fine dust particles (PM 10 and PM 2.5) can  
39 cause or aggravate diseases such as chronic obstructive pulmonary disease, asthma, bronchitis, emphysema  
40 and lung fibrosis (Gross et al., 2018). Chronic exposure to fine dust is associated with premature death due to  
41 cardio-respiratory diseases (Münzel et al., 2019). More than 400,000 cardiopulmonary deaths and 3.47  
42 million of years of life lost were estimated in 2005 globally (Giannadaki et al., 2014). Fine dust carries a  
43 range of pollutants, spores, bacteria, virus and fungi, and can cause or facilitate infections such as influenza  
44 A virus, pulmonary coccidioidomycosis, bacterial pneumonia, and meningococcal meningitis (Achakulwisut  
45 et al., 2018). In the Sahel, there is a strong correlation between dust loads from the Sahara and meningitis,  
46 with 350 million people at risk across 21 Sahel countries (Jusot et al., 2017). SDS can cause mortality and  
47 injuries related to transport accidents (Goudie, 2014). Recent studies in China suggest that prenatal exposure  
48 to SDS can affect children's cognitive function (Li et al., 2018). The exact nature of the pollutants that are  
49 entrained and ingested or inhaled closely links back to the land management strategies in the source areas.

51 Droughts are the climate shocks that have produced the greatest adverse impact on human populations out of  
52 any natural hazard during the 20th century (Mishra and Singh, 2010). Although droughts just represented  
53 11% events, their impacts amounted to 80% of affected people (270 million) (CRED, 2019). Drought  
54 exposure has been associated with a higher risk of undernutrition, not only during the drought, but also  
55 several years after the event (Kumar, 2016). These impacts can be lifelong for children affected by  
56 undernutrition during their first 1,000 days of life (IFPRI, 2016.) leading to stunted growth, which is  
57 associated with impaired cognitive ability and reduced school and work performance in the future

(UNICEF/WHO/WBG, 2019). The corresponding costs of children stunting in terms of lost economic growth can be of the order of 10% of GDP per year in Africa (Wagstaff, 2016). Drought has been linked to excess mortality through inducing undernutrition, micronutrient deficiencies, food- and water-borne diseases; aggravating chronic diseases; declining crop and livestock production; and triggering drought-induced migration (Delbiso et al., 2017). In Ethiopia in 2015–2016, following the devastating impact of El Niño weather events, Ethiopia experienced one of the worst droughts in the last five decades. Consequently, more than 10 million people (over 10% of the total population) were in need of urgent food assistance.

### CCP3.2.2.2 *Agro-ecological food systems, livelihoods and food security*

Climate change, rising temperatures, variation in rainfall patterns and frequent extreme weather events have made the agricultural systems vulnerable in some dryland regions (Zhu et al., 2013; Amin et al., 2018), especially in developing countries (Haider and Adnan, 2014; Ahmed et al., 2016; ur Rahman et al., 2018). At the same time, climate changes have created environmental and economic opportunities in some other agro-ecological systems (Bonnet, 2013). Higher frequency and intensity of dust storms also impact agro-ecological food systems and landscapes, reduce crop and livestock productivity, affecting food security and human wellbeing. SDS can adversely impact agro-ecological landscapes and food systems by directly damaging crops and other vegetation by sandblasting (resulting in loss of plant tissue and reduced photosynthetic activity), and burial of seedlings under sand and dust deposits, delaying plant development and increasing end of season drought risks (Stefanski and Sivakumar, 2009).

Recurrent droughts in recent decades, coupled with wind erosion, endangered vast areas in Argentina, and led to land abandonment and agricultural fields being covered by sand and invasive plants (Abraham et al., 2016). Soil productivity losses are encountered as fertile top soils are removed (Sivakumar, 2005; Bayram and Öztürk, 2014; Omoyo et al., 2015; Al-Hemoud et al., 2017; Middleton and Kang, 2017), while contamination can occur by deposition of saline or toxic dusts on soils, for example, in the former Aral Sea area (Issanova et al., 2015). Temperature increases have contributed to reduced yields of wheat in arid, semi-arid and dry sub-humid zones of Pakistan (Sultana et al., 2009). For example, agricultural production in the drylands of South Punjab is experiencing irreversible impacts since the grain formulation phase has become swifter with a warmer climate, leading to improper grain formulation and reduced yields (Rasul et al., 2011) while Aslam et al. (2018) regard the impacts of climate change to be particularly threatening to the livestock sectors, water resources and food security and the economy beyond agriculture in South Punjab. In the livestock sector, observed impacts include reduction of plant cover in rangelands, reduced livestock and crop yields, loss of biodiversity and increased land degradation and soil nutrient loss (Mganga et al., 2015; Ahmed et al., 2016; Mohamed et al., 2016; Eldridge and Beecham, 2018), as well as injury and livestock death due to SDS. This is particularly worrisome for traditional pastoralists who have few safety nets and limited adaptive capacities. Together, these observed impacts can result in increased costs of food production and threaten sustainability more generally (Middleton, 2017) (also see Box CCP3.1 on Pastoralism and Climate change below).

[START BOX CCP3.1 HERE]

#### **Box CCP3.1: Pastoralism and Climate Change**

Pastoralism is a livestock keeping system based on the herding of animals. Migrations often take place over long distances to track variable and unpredictable plant growth that tends to be patchy in time and space (Homewood, 2018). This means that pastoralism should be distinguished from stall-feeding and ranching – two other common livestock keeping systems, but which do not involve herding (Hiernaux and Assouma, 2020). Pastoralism has a considerably lower carbon budget than other livestock-keeping systems. Research on pastoralism in the Sahel concluded that this system may in fact have a neutral carbon balance (Assouma et al., 2019). Grazing livestock do, however, contribute directly to greenhouse gas emissions by methane enteric emissions and indirectly through faeces-driven CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O emissions during mineralisation (Assouma et al., 2017).

1 Pastoralists migrate with their animals in some of the most remote and marginal environments on the globe  
2 such as the dry tropics, the Arctic and high mountain areas. Globally, mobile pastoralists number about 200  
3 million households and use about 25% of the Earth's landmass (Dong, 2016).

4  
5 Many pastoralists operate in environments that can be characterised as non-equilibrial, which means that  
6 these environments are unstable, fluctuating and generally uncertain, and driven more by climatic variation  
7 than livestock numbers and grazing pressure (Behnke et al., 1993). Typical examples of such non-equilibrial  
8 systems are grazing areas in the dry tropics (Sandford, 1983; Ellis and D.M, 1988; Turner, 1993; Sullivan  
9 and Rohde, 2002; Benjaminsen et al., 2006; Hiernaux et al., 2016), but also, rangelands in the Arctic may  
10 exhibit non-equilibrial properties (Behnke, 2000; Tyler et al., 2008; Benjaminsen et al., 2015; Marin et al.,  
11 2020).

12  
13 Through time and over many generations, pastoralists have accumulated practical experience and knowledge  
14 about how to cope with uncertainty, mainly through a mobile and flexible approach, which means that they  
15 may be better suited than other land users to adapt to a changing climate (Davies and Nori, 2008; Krätli and  
16 Schareika, 2010; Jones and Gutzler, 2016). But pastoralists are also at risk from climate change, since their  
17 livelihoods are based on the use of renewable resources in these variable and uncertain environments, which  
18 are exposed to rising temperatures and increasing rainfall variability.

19  
20 While pastoralists possess great adaptive capacity as a result of their indigenous knowledge and local  
21 knowledge, this capacity has been under pressure during the last few decades through continued loss of  
22 livestock corridors (essential to mobility) and pastures in general due to competing land-uses such as  
23 farming, mining, crop expansion and the establishment or extension of protected areas (Thébaud and  
24 Batterbury, 2001; Brockington, 2002; Benjaminsen and Ba, 2008; Upton, 2014; Johnsen, 2016; Tappan,  
25 2016; Homewood, 2018; Weldemichel and Lein, 2019; Bergius et al., 2020). Many of these competing land  
26 uses erect fences and exclude other uses, depending on the property rights the often privilege sedentary  
27 farming populations. The remoteness of many pastoral communities also restricts the availability of social  
28 safety nets and the ease with which disaster aid can reach vulnerable populations during times of crisis.

29  
30 Modern states have typically tried to settle pastoralists and confine their movements within clearly defined  
31 boundaries, justifying such actions through claims that pastoral land-use is neither ecologically sustainable  
32 nor economically productive. Based on such negative and often flawed views, stall-feeding and ranching are  
33 often presented by policy-makers as successful models of livestock keeping in contrast to the pastoral way of  
34 life (Steinfeld et al., 2006; Chatty, 2007). Sedentarization and villagization projects have been common  
35 results of how policy-makers tend to see pastoral systems. However, these practices can lead to higher  
36 overall emissions from the sector.

37  
38 Current pressures and processes of pastoral change are not uniform, but spatially variable and complex, and  
39 in general tend to result in further economic and political marginalization of pastoralists, with adverse effects  
40 on livelihoods and landscapes. With climate change, which is projected to lead to higher temperatures and  
41 more frequent fluctuations in precipitation, maintaining flexibility and resilience in pastoral land use is  
42 essential. However, current processes of marginalization make pastoralists more vulnerable and constrain  
43 them from fully employing their adaptive capacities (Davies and Nori, 2008). The skills and capacities held  
44 by pastoralists may, however, also provide lessons for society at large in its struggle to adapt to climate  
45 change and deal with increased uncertainty (Davies and Nori, 2008; Scoones, 2009).

46  
47 [END BOX CCP3.1 HERE]

#### 48 49 50 *CCP3.2.2.3 Gender differentiated impacts*

51  
52 Desertification, climate change, and environmental degradation impacts, vulnerability, and capacity to adapt  
53 have gendered differences. These differences are determined by socially structured gender-specific roles and  
54 responsibilities, access and control over natural resources and technology, decision making, and capacity to  
55 cope and adapt to long-term changes (Mirzabaev et al., 2019). Assessments of the gender dimension of  
56 desertification and climate change impacts and responses are very scarce, since they are highly context  
57 specific. For example, in many lower income countries, rural women often produce most of the food

1 consumed at the household and are responsible for preparing the food, collecting fuelwood and fetching  
2 water from increasingly remote areas due to desertification and water scarcity (Mekonnen et al., 2017).  
3 Droughts and water scarcity particularly affect women and girls in drylands because they need to spend more  
4 time and energy collecting water and fuelwood, have less time for education or engaging in income  
5 generating activities, and they may be more exposed to physical and sexual violence (Sommer et al., 2014).  
6 Women are also commonly excluded from family and community decision making on actions to address  
7 desertification and climate change, yet their engagement in climate adaptation is critical. International policy  
8 efforts are currently seeking to better recognise and address this challenge (Okpara et al., 2019).

#### 9 10 *CCP3.2.2.4 Climate change, migration and conflict*

11  
12 Dryland populations are generally mobile due to a highly fluctuating resource base. To track environmental  
13 variation, pastoralists migrate every year, often over several hundred kilometres. Many rural dwellers in  
14 drylands also move to urban areas for seasonal work when there is less need for farm labour. In Africa, there  
15 is also a long tradition of legal as well as illegal labour migration to Europe (Tiemoko, 2004). Lack of  
16 livelihood opportunities and food insecurity are the main reasons given for migration by the migrants  
17 themselves. For instance, in a survey in Libya in 2016, 80% of migrants interviewed said they had left home  
18 because of economic hardship (Tiemoko, 2004; Hochleithner and Exner, 2018).

19  
20 Hence, causes of migration as well as of conflict need to be seen in a wider historical, agrarian, political,  
21 economic and environmental context, and should be understood within a multi-scalar perspective integrating  
22 various levels of analysis from the local to the global (Glick Schiller, 2015). Isolating environmental or  
23 climate factors is, however, complicated. Quantitative studies tend to conclude that climate change has so far  
24 not significantly impacted on migration levels (Owain and Maslin, 2018), although with some disagreement  
25 (Missirian and Schlenker, 2017). In a rare study of the climate change-migration-conflict interface, Abel et  
26 al. (2019) found limited empirical evidence supporting a link between climatic shocks, conflict and asylum  
27 seeking for the period 2006–2015 from 157 countries. The authors found evidence of such a link for the  
28 period 2010–2012 relating to some countries affected by the Arab Spring, and they conclude that the impact  
29 of climate on conflict and migration is limited to specific time periods and contexts.

30  
31 The same lack of general causality is largely concluded on the specific link between climate change and  
32 conflict (Buhaug et al., 2014; Buhaug et al., 2015; von Uexkull et al., 2016; Koubi, 2019), but also here with  
33 a minority among quantitative studies arguing for a stronger causal association (Hsiang et al., 2013). In a  
34 recent expert elicitation study, Mach et al. (2019) found considerable agreement among experts that climate  
35 variability and change have influenced the risk of organized armed conflict within countries. But the experts  
36 also agreed that other factors, such as state capacity and level of socioeconomic development, have played a  
37 much larger role.

38  
39 Qualitative case studies tend to frame conflict and migration within a larger political economic and historical  
40 context. A number of studies find that land dispossession is a key driver of both migration and conflict  
41 resulting from large-scale resource extraction or land encroachment often associated with processes of elite  
42 capture and marginalization (Benjaminsen and Ba, 2009; Benjaminsen et al., 2009; Cross, 2013; Glick  
43 Schiller, 2015; Nyantakyi-Frimpong and Bezner Kerr, 2017; Obeng-Odoom, 2017; Benjaminsen and Ba,  
44 2019; Bergius et al., 2020).

45  
46 By undermining livelihoods, exacerbating poverty, and setting rural population groups adrift, dispossession  
47 may lead to increased migration to urban areas or out of the country. In addition, it may lead to other types of  
48 reactions including violent resistance (Oliver-Smith, 2010; Cavanagh and Benjaminsen, 2015; Hall et al.,  
49 2015). Such resistance may originate in feelings of marginalization and disempowerment resulting from the  
50 loss of access to land or livelihood options, combined with opposition to government corruption,  
51 mismanagement, and policies that do not serve the interests of small-scale producers (Benjaminsen and Ba,  
52 2019).

53  
54 We have already seen increased resistance and migration following a rural crisis in the West African Sahel,  
55 especially since 2012. The main drivers of the current crisis in, for instance, Mali, include decades of  
56 bureaucratic mismanagement and widespread corruption, the spill-over of jihadist groups from Algeria after  
57 the civil war there in the 1990s, and the North American Treaty Organisation (NATO) bombing of Libya in

1 2011. Climate change has played a marginal role as a cause of conflicts in the Sahel (Benjaminsen et al.,  
2 2012; Benjaminsen and Ba, 2019). Within fragile systems, climate change has, however, the potential to  
3 exacerbate the situation in the future with regards to migration and conflict (Owain and Maslin, 2018).

### 6 **CCP3.3 Future Projections**

#### 8 ***CCP3.3.1 Projected Changes and Risks in Natural Systems***

##### 10 *CCP3.3.1.1 Temperature*

12 Warming rates have been twice as high in drylands compared to humid regions, because the sparse  
13 vegetation cover and lower soil moisture of dryland ecosystems amplify temperature and aridity increases  
14 (Huang et al., 2016). This enhanced warming is expected to continue in the future (Huang et al., 2016;  
15 Huang et al., 2017). Surface warming over drylands is projected to reach ~6.5°C (~3.5°C) under the high  
16 RCP8.5 (low-moderate RCP4.5) emissions scenario by the end of this century. While exploring the spatial  
17 variations between the aeolian desertification response in selected climate change scenarios, Wang et al.  
18 (2017) reported that temperature rise could trigger aeolian desertification in West Asia, Central China and  
19 Mongolia.

##### 21 *CCP3.3.1.2 Rainfall, evaporation and drought*

23 Dryland areas are highly sensitive to changes in precipitation and potential evapotranspiration (PET).  
24 Potential evapotranspiration is projected to increase in all regions globally, under all representative  
25 concentration pathways (RCPs) (Mirzabaev et al., 2019). Drought conditions (frequency, severity and  
26 duration) are expected to substantially worsen in many regions of the world, driven by a higher saturation  
27 threshold and more intense and frequent dry spells under rising temperatures (Liu et al., 2019a; Liu et al.,  
28 2019b). In a 1.5°C warmer world, historical 50-year droughts (based on the Standardised Precipitation-  
29 Evapotranspiration Index (SPEI)) could double across 58% of global landmasses, an area that increases to  
30 67% under 2°C of warming (Gu et al., 2020). Multi-year drought events of magnitudes exceeding historical  
31 baselines will increase by 2050 in Australia, Brazil, Spain, Portugal, and the USA (Jenkins and Warren,  
32 2015). The magnitude of drought stress in different regions differs depending on the metric used. Projections  
33 based on the Palmer Drought Severity Index (PDSI) suggest drought stress will increase by more than 70%  
34 globally, while a substantially lower estimate of 37% is found when precipitation minus evapotranspiration  
35 (P-E) is used (Swann et al., 2016). However, the two metrics agree on increasing drought stress in regions  
36 with more robust decreases in precipitation, such as southern North America, north-eastern South America  
37 and southern Europe (Swann et al., 2016).

##### 39 *CCP3.3.1.3 Aridity*

41 Studies based on the aridity index (the ratio of potential evaporation to precipitation), almost always project  
42 conditions of increasing aridity under climate change, leading to projections of widespread expansion of  
43 drylands (Huang et al., 2016). Projections indicate potentially severe aridification in the Amazon, Australia,  
44 Chile, the Mediterranean region, southwest China, northern, southern and west Africa, south-western United  
45 States, and South America (Feng and Fu, 2013; Greve and Seneviratne, 2015; Jones and Gutzler, 2016; Park  
46 et al., 2018) (*medium confidence*). However, limitations in the use of the aridity index for projecting future  
47 conditions have been identified and are described in detail in Chapter 3 of the Special Report on Climate  
48 Change and Land (Mirzabaev et al., 2019). The key concern is that the AI does not incorporate potential  
49 changes to plant transpiration under increasing CO<sub>2</sub> concentration and therefore overestimates drought  
50 conditions and aridity. SRCCL concluded that while aridity will increase in some places (*high confidence*),  
51 there is insufficient evidence to suggest a global change in dryland aridity (*medium confidence*).  
52 Nevertheless, a comparison of several metrics of aridity showed robust aridity increases are projected for  
53 several hotspots such as the Mediterranean region and South Africa (Greve et al., 2019). Under RCP8.5,  
54 aridity zones could expand by one-quarter of the 1990 area by 2100, increasing to over half of the global  
55 terrestrial area (Huang et al., 2016; Lickley and Solomon, 2018). Lower greenhouse gas emissions, under  
56 RCP4.5, could limit the expansion to one-tenth of the 1990 area by 2100 (Huang et al., 2016). Aridity could  
57 expand substantially on all continents except Antarctica (Huang et al., 2016), with expansion first

1 manifesting in the Mediterranean region, southern Africa, southern South America, and western Australia  
2 (Lickley and Solomon, 2018). In the Northern Hemisphere, aridity zones could expand as much as 11  
3 degrees of latitude poleward (Rajaud and Noblet-Ducoudré, 2017). By 2100, the population of dryland areas  
4 could increase by 700 million people and, under RCP8.5, three billion people might live in areas with a 25%  
5 or greater increase in aridity (Lickley and Solomon, 2018). There are many studies pointing at an increasing  
6 dryland area based on the aridity index, but there is low agreement on the actual amount and area of change  
7 (Feng and Fu, 2013; Scheff and Frierson, 2015; Huang et al., 2017). The inconsistency between studies is  
8 largely due to the substantial internal climate variability in regional precipitation. For example, changes in  
9 annual precipitation have been shown to range from -30% to 25% over drylands. Consistent changes in  
10 precipitation are only found at high latitudes, while total potential evapotranspiration is projected to increase  
11 over most land areas. This leads to more consistent, widespread drying in the tropics, subtropics and mid-  
12 latitudes in most models (Feng and Fu, 2013; Cook et al., 2014; Scheff and Frierson, 2015; Zhao and Dai,  
13 2015).

#### 14 *CCP3.3.1.4 Dryland extent*

15  
16  
17 The area of drylands (bases on aridity index) globally is projected to expand by ~10% by 2100 compared to  
18 1961-1990 under a high emission scenario (WGI AR6 Chapter 12). However, there are significant regional  
19 differences in the drivers of dryland expansion and subsequent estimates of change in dryland extent.  
20 Observed and projected warming and drying trends are most severe in transitional climate regions between  
21 dry and wet climates, which are often highly populated agricultural regions with fragile ecosystems (Cheng  
22 and Huang, 2016). In contrast, P-E predicts decreasing drought stress across temperate Asia and central  
23 Africa (Swann et al., 2016). Expansion of arid regions is likely in southwest North America, the northern  
24 fringe of Africa, the far west Sahel, southern Africa and Australia. The main areas of semiarid expansion are  
25 likely to occur in the north side of the Mediterranean, southern Africa and North and South America. In  
26 contrast, India, northern China, eastern equatorial Africa and the southern Saharan regions are projected to  
27 have shrinking drylands (Biasutti and Giannini, 2006; Biasutti, 2013; Feng et al., 2014; Rowell et al., 2016).  
28 It has been suggested that future projections may underestimate dryland expansion, since the Coupled Model  
29 Intercomparison Project (CMIP) 5 models underestimate historical warming (Huang et al., 2016) and  
30 overestimate precipitation over drylands, particularly in the semiarid and dry sub-humid regions (Ji et al.,  
31 2015). However, estimates vary depending on the metric used (Swann et al., 2016; Berg et al., 2017). Studies  
32 based on off-line aridity and drought metrics (calculated from model output of precipitation,  
33 evapotranspiration or temperature) project strong surface drying trends (Cook et al., 2014; Scheff and  
34 Frierson, 2015; Zhao and Dai, 2015), while projections based on total soil water availability from CMIP5  
35 models show weaker and less extensive drying (Berg et al., 2017). In contrast, projections in southern Africa  
36 may overestimate future drying, with systematic rainfall biases being found in the present-day climatology in  
37 models that simulate extreme future drying (Munday and Washington, 2019). Improvements in projections  
38 of future changes in aridity will require better understanding of land hydrology and the feedbacks between  
39 projected soil moisture decrease on land surface temperature, relative humidity and precipitation (Huang et  
40 al., 2016).

41  
42 Higher dust emissions are consistent with climate change projections indicating an expansion in the global  
43 area of drylands (Feng and Fu, 2013; Huang et al., 2016) and an increased risk of drought (Cook et al., 2014;  
44 Xu et al., 2019), but future trends in dust event frequency and intensity as a result of human-induced climate  
45 change are uncertain and will not be the same everywhere (Jia, 2019). The combined effects of climate  
46 change and anthropogenic activities are projected to increase sand encroachment and extreme dust storms  
47 (Omar Asem and Roy, 2010; Sharratt et al., 2015; Pu and Ginoux, 2017) as a result of increased aridity,  
48 accelerating soil erosion (Sharratt et al., 2015) and loss of biomass (Sharratt et al., 2015; Middleton and  
49 Kang, 2017). Shifts in dust storm occurrences to earlier in spring are also projected (Hand et al., 2016).  
50 Dustiness is projected to increase in the southern US Great Plains in the late 21<sup>st</sup> century under the (RCP8.5)  
51 climate change scenario but decrease over the northern Great Plains (Pu and Ginoux, 2017). A declining  
52 trend in dust emission and transport from the Sahara Desert under the Representative Concentration  
53 Pathways(RCP) 8.5 scenario was detected by (Evan et al., 2016) but the results of regional climate model  
54 experiments conducted by (Ji et al., 2018) under the same scenario indicated that overall dust loadings would  
55 increase by the end of the 21st century over West Africa. New dust sources may also emerge with changing  
56 climate conditions, as (Bhattachan et al., 2012) propose for the Kalahari Desert in southern Africa, due to  
57 vegetation loss and dune remobilization.



1  
2 Self-reinforcing feedback loops may occur in the dust aerosol-cloud-precipitation interactions over drylands.  
3 As precipitation declines, more dust storms occur which reduces cloud cover and relative humidity (via the  
4 semi-direct effect), further contributing to decreasing precipitation (Huang et al., 2014). The relative  
5 contribution of albedo and evapotranspiration to regional trends in surface temperature (Charney, 1975).  
6 remains unresolved, and may be determined by different mechanisms in different systems, depending on  
7 site-specific conditions such as snow coverage, vegetation and soil moisture (Yu et al., 2017). For example,  
8 the vegetation-albedo feedback mechanism may dominate in the arctic tundra (Blok et al., 2011; te Beest et  
9 al., 2016), while the vegetation-evaporation feedback may drive change in other regions. Actions that  
10 increase forest cover across Africa could thus moderate projected future temperature increases (Wu et al.,  
11 2016; Diba et al., 2018). Soil drying exacerbates atmospheric aridity, which causes more soil drying in a  
12 self-reinforcing land-atmosphere feedback that could intensify under RCP8.5 (Zhou et al., 2019).

13  
14 There is *low confidence* on future atmospheric dust loads at the global and regional scale. Models of future  
15 dust emissions are limited by the low accuracy of models of present anthropogenic dust emissions, which  
16 range from 10% and 60% of the total atmospheric dust load (Webb and Pierre, 2018). A global compilation  
17 of data from sedimentary archives (e.g., ice cores), remote sensing, airborne sediment sampling and  
18 meteorological station data estimated that anthropogenic dust emissions have at least doubled over the past  
19 250 years (Hooper and Marx, 2018). While future emissions of natural dust sources are projected to decrease  
20 (Mahowald et al., 2006) or remain stable (Ashkenazy et al., 2012), when sources of human emissions are  
21 included, projections of future atmospheric dust loads suggest that emissions may increase (Stanelle et al.,  
22 2014). The cause of observed changes in atmospheric dust loads is uncertain, with climate variability, land  
23 cover change and changes in erodible sediment supply and surface wind speeds all considered possible  
24 mechanisms (Ridley et al., 2014; Webb and Pierre, 2018).

25  
26 Changes to the composition, structure and functioning of natural communities in deserts and dryland  
27 ecosystems are key risks, resulting from water stress, drought intensity and continued habitat degradation,  
28 greater frequency of wildfire, biodiversity loss and the spread of invasive species (Hurlbert et al., 2019). Not  
29 all these stresses occur at the same time in a particular environment, with some areas more exposed to e.g.,  
30 wildfire than others, especially in areas with high amounts of dry herbaceous biomass. Many desert species  
31 have morphological, physiological and/or behavioural adaptations to cope with climatic extremes, including  
32 rapid regeneration following droughts as found in the 1970s and 1980s Sahel droughts (Boudet, 1977;  
33 Hiernaux and Le Houérou, 2006), alongside long histories of adaptation to climate change (Brooks et al.,  
34 2005; Ballouche and Rasse, 2007), while many live near their physiological limits (Vale and Brito, 2015).  
35 Substantial ecological effects may occur when extreme events such as heatwaves or droughts are  
36 superimposed on the warming trend, pushing species beyond their physiological and mortality thresholds  
37 (Hoover et al., 2015). Africa has experienced more frequent and intense climate extremes (including  
38 droughts and heavy rainfalls) in the period 2000-2019 as a result of climate change (CRED, 2019), a trend  
39 that is likely to continue as climate change impacts intensify (Hoegh-Guldberg et al., 2018).

40  
41 Continued climate change increases the risk of continued range retractions of Karoo succulents in South  
42 Africa (Young et al., 2016), dry argan woodlands in Morocco (Alba-Sánchez et al., 2015), and other plant  
43 species exposed to higher aridity. Projected increases in heat could increase mortality of trees and shrubs in  
44 Sonoran Desert ecosystems in the United States (Munson et al., 2012; Munson et al., 2016), reduce  
45 sagebrush shrubland in arid ecosystems of the western United States (Renwick et al., 2018), and contribute  
46 to the replacement of perennial grasses with xeric shrubs in the south-western United States (Bestelmeyer et  
47 al., 2018). CO<sub>2</sub> fertilization and warmer conditions could increase invasive grasses and wildfire in desert  
48 ecosystems of Australia and the south-western United States where wildfire has historically been absent or  
49 infrequent (Abatzoglou and Kolden, 2011; Horn and St. Clair, 2017; Klinger and Brooks, 2017; Syphard et  
50 al., 2017). Trends of woody encroachment may continue in some North American drylands or at least not  
51 reverse (Caracciolo et al., 2016). Impacts of woody encroachment on drylands may show a slight increase in  
52 carbon, but a decline in water and huge negative impacts on biodiversity, with a tendency for open  
53 ecosystem species to be most affected (Archer et al., 2017). Expansion of C4 grasses into these arid  
54 shrublands has the potential to transform them rapidly, especially through the acceleration of the fire cycle  
55 (Bradley et al., 2016). However, the impact of increased aridity may be offset by changing water use  
56 efficiency by plants under high CO<sub>2</sub> concentrations, limiting the expansion of dryland ecosystems (Swann et  
57 al., 2016; Mirzabaev et al., 2019).

### CCP3.3.2 Projected Impacts on Human Systems

The projected impacts of climate change and desertification on human systems in dryland areas were extensively assessed in the SRCCL (Mirzabaev et al., 2019), hence this section largely focuses on the new information available during the preparation period of this Cross-Chapter-Paper. Across many dryland areas, human-induced causes of desertification, sand and dust storms, climate change and unsustainable land use, are projected to become more pronounced over the next several decades with global consequences. Future climate changes with increasing frequency, intensity and scales of droughts and heat waves, are projected to further exacerbate the vulnerability and risk to humans and ecosystems from desertification (Hurlbert et al., 2019).

Sand and dust storms exert a wide range of impacts on human society both within deserts and semi-deserts but also outside dryland environments because of long-range dust transport (Middleton, 2017). For instance, a series of three dust storms in north-western India in early May 2018 resulted in >150 people losing their lives in the very strong winds and associated lightning strikes (Sarkar et al., 2019). Many of the deaths occurred when trees fell onto buildings or as walls and roofs collapsed. Thousands of electricity poles were also blown over causing widespread power cuts. Notable impacts on society occur in diverse sectors, including agriculture (Borrelli et al., 2014), human health, solar power generation (Costa et al. 2016, 2018), and water availability (Painter et al. 2018). An increased incidence of dust storms is associated with an increase in the incidence of respiratory diseases (Schweitzer et al., 2018), cardio-vascular diseases (Achakulwisut et al., 2018), Rift Valley Fever (Tong et al., 2017; Gorris et al., 2018), and mortality of people from dust-associated health problems (Crooks et al., 2016; Achakulwisut et al., 2018; Schweitzer et al., 2018).

There is a notable lack of published research on the economic impacts of sand and dust storms, and studies that have been conducted lack consistency in data collection methods and analysis (Middleton, 2019). An assessment of costs for a single severe dust storm in the Australian state of New South Wales in 2009 was approximately AU\$299 million, most of which was incurred by households for cleaning and associated activities (Tozer and Leys, 2013). The cost of sand, and to a lesser extent dust, to the oil and gas industry in Kuwait was conservatively estimated by Al-Hemoud et al. (2019) to be US\$1.2 million annually. Projections are rarely modelled in the literature. Estimated economic damages of increased dust-related health impacts and mortality under RPC8.5 could total \$47 billion/year additional to the 1986-2005 value of \$13 billion/year in southwest USA (Allahbakhshi et al., 2019).

The projected impacts of climate change on the risk of food insecurity is a particular concern for the dryland areas in the developing world (Chapter 16; Mirzabaev et al., 2019), potentially leading to the breakdown of food production systems, including crops, livestock, and fisheries, as well as disruptions in food supply chains and distribution (Myers et al., 2017). Dryland areas in developing countries are particularly vulnerable to this risk due to higher share of populations with lower income, lower physical access to nutritious food, social discrimination or other factors. For example, countries such as Somalia, Yemen and Sudan faced recent and resurging challenges from an increase in desert locusts, the effects of which in 2020 extended from East Africa through the Arabian Peninsula as far as India and Pakistan. Meynard et al. (2020) note that under climate change, some areas suffering from previous outbreaks may see changes in formation of swarms of *Schistocerca gregaria*. Salih et al. (2020) recognise that attributing the 2020 swarms as a single event to climate change remains challenging, but nevertheless highlight that projected temperature and rainfall increases in deserts and strong tropical cycles can cause the conducive conditions to develop for the development, aggregation, outbreak and survival of locusts, underscoring the importance of this in terms of its impacts on food security under projected climate change. Mandumbu et al. (2017) highlight how crop parasites such as *Striga spp.* may benefit from projected climate changes in southern Africa, with high temperatures and rainfall activating dormant seeds, and high winds aiding their dispersal. Combined with increasing risks of erosion and soil fertility losses (*Striga* is able to tolerate a low nitrogen environment), this can have important impacts on yields of key dryland crops such as maize and millet. Moreover, higher frequency, intensity and scales of dust storms due to climate change-desertification interactions, can impact agro-ecological landscapes and food systems, reduce crop and livestock productivity, adversely affecting food security and human wellbeing (Mirzabaev et al., 2019), though it is not clear if dust storm increases are projected for all drylands, given observed decreases since the mid-1990s in the Sahel (Kergoat et al., 2017).

1 How humans respond to these changes is important too and can exacerbate desertification processes under  
2 climate change conditions, even in deserts. While areas close to cross-desert rivers, dryland groundwater  
3 reserves and desert sites irrigated by the transport of water from other areas can dramatically increase local  
4 desert soil productivity, the large human populations supported through such increased cultivation potential,  
5 can encourage unsustainable land use practices. In turn, this can result in desert desertification, expressed as  
6 soil erosion and salinisation. For example, in India, about 7.0 M ha arable land area is currently salt-  
7 affected (Sharma et al., 2015; Sharma and Singh, 2015). It is projected that unsustainable use of  
8 marginal quality waters in irrigation and neglect of drainage, combined with climate change impacts,  
9 would accelerate land salinization, rendering another 9.0 M ha area salty and less productive by 2050  
10 (ICAR-CSSRI, 2015). This has important cost implications given that annually, 16.84 million tonnes of  
11 farm production valued at INR 230.19 billion is already lost in India due to salinity and associated  
12 problems (Sharma et al., 2015). The literature further shows evidence of desertification of oases and  
13 irrigated lands of northern China's deserts, the Indian subcontinent's deserts, as well as the Mesopotamian  
14 Arabian Desert (Ezcurra 2006; Dilshat et al 2015). More broadly, SRCCL also concluded that the area at risk  
15 of salinisation is projected to increase in the future (Mirzabaev et al., 2019).  
16  
17

#### 18 **CCP3.4 Adaptations and Responses**

19

20 Adaptation to climate change impacts in human systems varies depending on types of responses, who is  
21 responding, the extent of adaptation response and the potential of these responses to reduce risk/vulnerability  
22 (Chapter 16). Different groups require different kinds of supports and levers to enable them to follow  
23 adaptive pathways (Stringer et al., 2020) with spatial patterns of adaptive capacity explained by capital assets  
24 in some dryland areas (Mazhar et al., submitted). Adaptation can be transformational or incremental.  
25 Transformational adaptation alters the fundamental characteristics of the system while responding to climate  
26 change. Large scale water desalination projects in extremely water scarce areas could support  
27 transformational adaptation. Other transformational responses involve relocating the population away from  
28 those areas where incremental adaptation is no longer viable, for example, due to reaching thermal limits of  
29 habitability (Andrews et al., 2018). Incremental adaptation preserves existing systems and practices but  
30 improves their resilience to climate change by smaller modifications (e.g., through use of improved crop  
31 varieties or changing the timing of agricultural activities). What constitutes incremental adaptation in one  
32 location may represent transformation in another.  
33

34 Adaptation to climate change, desertification, drought management and sustainable development activities  
35 largely overlap in dryland areas, pointing at synergies between them (Reichhuber et al., 2019). For example,  
36 support to communal and flexible land tenure could bring about benefits across multiple dimensions.  
37 Currently, more than 100 countries around the world, particularly in drylands, are taking steps to achieve  
38 their land degradation neutrality (LDN) targets. The LDN concept, and its hierarchical response mechanisms  
39 of avoiding, reducing and reversing land degradation, can provide an overarching framework for  
40 implementing adaptation at the national level (Orr et al., 2017; Cowie et al., 2018; Mirzabaev et al., 2019).  
41 However, the LDN approach has also received criticism that it insufficiently considers socio-economic and  
42 human wellbeing aspects in the assessment of progress towards its targets given the indicators agreed at the  
43 international level (Dallimer and Stringer, 2018). Adaptations present synergies and trade-offs along various  
44 dimensions of sustainable development such as poverty reduction, enhancing food security and human health  
45 or providing improved access to clean energy (see Section 8.6). Distributional effects of adaptation options  
46 also may vary between different socio-economic groups within countries or locally among communities,  
47 pushing social justice concerns to the forefront (see Section 8.4). Measures that seek to promote particular  
48 adaptations need to take into account such consequences, yet this is only just beginning to be addressed  
49 within the literature (Dallimer and Stringer, 2018).  
50

51 Natural systems are also able to adapt, be adapted and become more resilient to desertification. For example,  
52 the root network architecture of the hyper-arid Negev Desert acacia trees has enabled them to withstand  
53 intensive cultivation and climate-change driven desertification (Winter et al., 2015) while vegetation-induced  
54 sand mounds ("coppice dunes") in the Arabian Desert have reduced desertification through reducing wind  
55 erosion and enriching sand desert land with water and nutrients (Quets et al., 2017). Research further shows  
56 that vegetation cover of psammophyte shrub species (in the "desert oasis transitional area") surrounding the  
57 Dunhuang Oasis (northwest China) reduces oasis land degradation risk through reducing sand grain size and

1 velocity of wind speed arriving from the surrounding aeolian desert (Zhang et al., 2017); while land use  
2 planning in the Negev Desert of Israel taking a ‘sharing’ approach between cultivation and urbanization has  
3 helped to minimise the external degrading effects of adjacent desert land ecosystems (Portnov and Safriel,  
4 2004). How natural dryland systems are managed following e.g., fire is important too. (van den Elsen et al.,  
5 2020) found that establishing vegetation and mulch cover after a fire in Mediterranean ecosystem reduced  
6 soil erosion, helping to maintain soil fertility and nutrients. However, they also note the importance of the  
7 livelihood system, as different management objectives require different adaptations. Adaptations that  
8 increase resilience to wildfire (e.g., vegetation clearance, firebreaks) can reduce resilience to drought, while  
9 practices that reduce degradation caused by land use (e.g., pine afforestation) can increase vulnerability to  
10 wildfire. Combinations of different land management practices and governance approaches tackling a range  
11 of different stresses appear to best support sustainability over the long term.

12  
13 Table CCP3.A.2 in Appendix CCP3.A provides examples of illustrative adaptation options responding to  
14 major challenges of climate change and desertification in deserts and semi-arid areas. Some adaptations  
15 present no-regrets options while others tackle desertification and/ or climate changes to different extents.

16  
17 Community collective action can facilitate the implementation of adaptation responses and can help to tackle  
18 challenges associated with upscaling of successful land based adaptations (Thomas et al., 2018). However, a  
19 lack of coordination between stakeholders and across sectors can become barriers to effective adaptation  
20 outcomes (Amiraslani et al., 2018), showing the importance of the multi-stakeholder engagement approach  
21 itself (De Vente et al., 2016). Multi-stakeholder engagement is recognized as an essential part of  
22 desertification control, as well as vital in tackling climate change (Reed and Stringer, 2016), with  
23 participation taking place to different extents in different parts of the world according to the prevailing  
24 governance system. For example, in China, the Grain for Green programme (e.g., is an example of a large-  
25 scale ecological restoration programme securing local engagement through payments for ecosystem services.

26  
27 A combination of short-, medium- and long-term approaches have been identified to protect human systems  
28 from the impacts of desertification and climate change. In the short- to medium-term, monitoring, prediction  
29 and early warning can e.g., help reduce negative impacts of SDS on human systems by mobilising  
30 emergency responses. Preparedness and emergency response procedures would benefit from covering  
31 diverse sectors, such as public health surveillance, hospital services, air and ground transportation services,  
32 and public awareness, suggesting the need for a coherent, multi-sector governance approach. Longer-term  
33 actions include prioritizing sustainable land management measures (UNEP, 2016; Middleton and Kang,  
34 2017), based on both indigenous and local knowledge (ILK) and modern science, along with the investment  
35 of financial and human capital in supporting these measures. Devolved adaptation finance in dryland areas of  
36 e.g., Kenya (Nyangena and Roba, 2017) and Mali (Hesse, 2016) have indicated some promising insights,  
37 highlighting the importance of climate information services and local government support for community  
38 prioritisation of adaptation activities. Such actions can enable substantial benefits for poor and marginalised  
39 men and women. Among international institutional measures, a global coalition to combat SDS was  
40 launched at the United Nations Convention to Combat Desertification Conference of Parties (UNCCD  
41 COP14) held in 2019, which could help to better mobilize a global response to SDS. Similarly, there have  
42 been calls for increased investment in regional institutions such as the Desert Locust Control Organisation  
43 for Eastern Africa to support efforts to both pre-empt and tackle locust plagues (Salih et al., 2020), requiring  
44 trans-boundary cooperation.

45  
46 Regarding responses to droughts, (Mirzabaev et al., 2019) recognized three often overlapping policy  
47 approaches including reactive crisis management, proactive drought planning, and lastly proactive drought  
48 risk mitigation (policies aimed at reducing the future impacts of droughts). There is *high agreement and*  
49 *robust evidence* that shifting emphasis to proactive drought risk mitigation, including solutions for wind  
50 erosion and dust management, instead of exclusive focus on disaster management, reduces vulnerability and  
51 improves adaptive capacity (Sivakumar, 2005; Grobicki et al., 2015; Wieriks and Vlaanderen, 2015;  
52 Aguilar-Barajas et al., 2016; Runhaar et al., 2016; Wilhite and Pulwarty, 2018; Wilhite, 2019). Building  
53 capacity by improving the knowledge base and access to information about drought and its indicators,  
54 encourages vulnerable economic sectors and populations to adopt self-reliant measures that promote  
55 integrated drought risk management, and the sustainable use of natural resources (Sivakumar, 2005; Wieriks  
56 and Vlaanderen, 2015; Aguilar-Barajas et al., 2016; Middleton and Kang, 2017; Wilhite, 2019) (*high*  
57 *confidence*). Engaging agricultural producers as active participants in drought planning and technology

1 adoption using extension services, financial grants and services geared to the local area, is helpful for  
2 building drought resilience (Webb and Pierre, 2018). Drought risk mitigation measures that can be taken in  
3 anticipation of future droughts include, *inter alia*: i) Policies, public advocacy, and social media campaigns  
4 that improve water use efficiency, especially in agriculture and industry, which can bring about behaviour  
5 change and reduce water consumption (Yusa et al., 2015; Tsakiris, 2017; Booysen et al., 2019), ii) water  
6 transfers and trade, which can reduce drought costs and provide timely adaptations to droughts (Harou et al.,  
7 2010; Hurlbert, 2018), iii) Restoration, reclamation, and landscape heterogeneity strategies, to promote  
8 ecosystem resilience to wind erosion and provide dust abatement (Duniway et al., 2019), iv) Prevention of  
9 soil erosion and provision of dust abatement, accomplished by changing grazing techniques, post fire  
10 restoration, minimum tillage, sustainable land management, integrated landscape management, planting trees  
11 and other vegetation as long term wind breaks (Middleton and Kang, 2017); and v) The creation of drought  
12 tolerant food crops through participatory plant breeding (Grobicki et al., 2015) and investment in research  
13 and development of drought resistant varieties of crops (Basu et al., 2017; Mottaleb et al., 2017; Dar et al.,  
14 2020). The net economic benefits of ex ante resilient plant development far outweigh the research investment  
15 (Basu et al., 2017; Mottaleb et al., 2017; Dar et al., 2020).

16  
17 Many drought risk management measures can be linked to drought early warning systems. A robust early  
18 warning system that provides information and improves knowledge surrounding drought allows for early  
19 recovery (Wilhite, 2019). Forecasting and predicting drought seasons can provide entry points for drought  
20 adaptation including: using drought tolerant variety crops and adjusting planting periods (Frischen et al.,  
21 2020); abatement of dust and aeolian processes, wind erosion through land use changes such as changing  
22 grazing processes, closing roads, and avoiding dust creating activities (Duniway et al., 2019); integrating  
23 access to insurance, work-for insurance, financial services, savings programs, and cash transfers that can  
24 increase the effectiveness of drought response and result in significant cost savings (Berhane et al., 2014;  
25 Bazza et al., 2018 ; Guimarães Nobre et al., 2019). conclude, however, that preventative drought  
26 management models have been adopted in limited settings; but it has been well recognized that it is  
27 preferable to increase drought preparedness before it happens, provide incentives for adaptation instead of  
28 insurance, provide insurance instead of relief, but provide relief instead of regulation (Sivakumar, 2005). The  
29 absence of proactive drought risk mitigation and resulting crisis management increases vulnerability,  
30 increases government reliance, reduces self-reliance and increases cost (Grobicki et al., 2015; Wilhite, 2019).  
31 Assessing drought and its impacts before it happens allows for an assessment of the appropriate division of  
32 public and private responsibilities for climate adaptation in terms of comprehensiveness, transparency,  
33 legitimacy, and effectiveness (Runhaar et al., 2016).

## Frequently Asked Questions

### ***FAQ CCP3.1: How has climate change already affected drylands and why are they so vulnerable?***

*Human-caused climate change has so far had mixed effects across the drylands, leading to fewer trees and less biodiversity in some areas and increased grass and tree cover in others. In those dryland areas with increasing aridity, millions of people face difficulties for maintaining their livelihoods because of increased water scarcity.*

Drylands are the hottest and most arid areas on Earth. Human-caused climate change has been intensifying this heat and aridity, increasing temperatures more across global drylands than in humid areas. As a result, the area of the world with arid climates has expanded. In addition, the increased aridity has caused e.g., tree death and loss of tree species in the African Sahel and the loss of bird species in the Mojave Desert of North America. Globally, climate change caused increased rainfall across extensive areas. Increased rainfall, combined with the plant-fertilizing effect of more carbon dioxide in the atmosphere, has increased grass and tree foliage production and contributed to increased shrub cover in many dryland areas, particularly southern Africa. Because water is scarce in drylands and aridity limits the productivity of agriculture, millions of people living in drylands have faced severe difficulties in maintaining their livelihoods. This challenge is exacerbated by non-climate change factors, such as low levels of infrastructure, remoteness, and limited livelihood options that are less dependent on scarce natural resources. High temperatures in drylands increase the vulnerability of people to potential heat-related illnesses and deaths from heat under continued climate change.

### ***FAQ CCP3.2: How will climate change impact the world's drylands and their people?***

*Climate change is projected to lead to higher temperatures and more irregular rainfall across the drylands, reducing crop yields, increasing land degradation and increasing water scarcity. These projections have profound implications for both dryland environments and their human inhabitants.*

There is considerable uncertainty about the changes that may occur in drylands in the future and how people and ecosystems will be affected. Projections based on the aridity index suggest aridity could expand substantially on all continents except Antarctica, further reducing the availability of water and productivity of agriculture. However, in contrast, most climate models project increased rainfall in tropical drylands, but also more variability. High natural climatic variability in drylands makes predictions uncertain. Understanding future impacts is further complicated by many interacting factors such as land use change and urbanisation that affect the condition of drylands. Future trends in dust and sandstorm activity are also uncertain and will not be the same everywhere, but there will likely be increases in some regions (e.g., United States) in the long-term. The impacts of climate change in deserts and semi-arid areas have substantial implications globally: for agriculture, biodiversity, health, trade and poverty, as well as potentially, conflicts and migration. Increasing temperatures and more irregular rainfall are expected to affect soil and water resources and contribute to tree mortality and loss of biodiversity. In other places, woody encroachment onto savannas may increase, in response to the combination of land use change, changes in rainfall, fire suppression, and CO<sub>2</sub> fertilization. Crop yields are projected to decline in some areas, with adverse impacts on food security. The likelihood of conflicts and migration is greatest in regions with lower adaptive capacity associated with socioeconomic development and where marginalized groups are dispossessed of access to their land.

### ***FAQ CCP3.3: What can be done to support sustainable development in desert and semi-arid areas, given projected climate changes?***

*Water is a key limiting factor in drylands. Many efforts to support sustainable development aim to improve water availability, access and quality, ranging from large engineering solutions that move or desalinate water; to herders' migrations with their animals to locations that have water; to land management and water harvesting practices that conserve water and support land cover. These solutions draw on both*

1 *traditional knowledge and innovative science and can help to address multiple sustainable development*  
2 *goals.*

3  
4 Different desert and semi-arid areas can benefit from different incremental and transformational solutions to  
5 move toward sustainable development under climate change. In some dryland areas facing critical water  
6 shortages, transformational adaptations may be needed - for example introduction of large-scale water  
7 desalination when they have access to sea water. In dryland agricultural areas across the world, incremental  
8 adaptations include use of improved crop varieties or changing grazing patterns and herd mobility. What  
9 counts as a transformational change in some places may be incremental in others. Often solutions can target  
10 multiple development goals. For example, water harvesting can make water available during drought,  
11 buffering water scarcity impacts, while also supporting food production, agricultural livelihoods and human  
12 health. Land based approaches, e.g., restoration of wetlands or forests, are important for ensuring ecological  
13 integrity, soil protection and preventing livelihoods from being undermined as a result of growing extreme  
14 weather events. It is important that policies, investments and interventions that aim to support sustainable  
15 development take into account which groups are likely to be most affected by climate change. Those people  
16 directly dependent on natural resources for their survival are generally most vulnerable but least able to  
17 adapt. The capacity to translate local and indigenous knowledge and experience into actions can require  
18 external support. Governments and other stakeholders can help by investing in early warning systems,  
19 providing climate information, alongside developing alternative livelihood options that are less exposed and  
20 sensitive to climate change. Involving all relevant stakeholders has been shown to be important. For  
21 example, in China the Grain for Green programme secured local engagement by paying people to manage  
22 the environment more sustainably. At a global level important groups have emerged to cooperate and offer  
23 solutions around issues such as sand and dust storms. Efforts are needed across all scales from local to global  
24 to support sustainable development in desert and semi-arid areas, given projected climate changes.

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## Appendix CCP3.A: Supplementary Material

Table CCP3.A.1: Observed ecological changes in drylands.

Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
<i>Hyper arid</i>						
Asian hyper arid regions (Gobi)	Loss of shallow rooted desert plants	Increase in extreme warm temperatures			<i>Medium</i>	Li et al. (2015)
North America - Mojave Desert	Loss of mesic bird species	Decreased rainfall	Yes. Analyses of causal factors find decreased rainfall more important than non-climate factors.	Livestock, human-ignited fires	<i>Medium</i>	Iknayan and Beissinger (2018); Riddell et al. (2019)
	Decline of desert tortoise ( <i>Gopherus agassizii</i> ) population 90% from 1993 to 2012 at one site in the Mojave	Decreased rainfall				Lovich et al. (2014)
	Reduced perennial vegetation cover, including trees and cacti, in the Mojave and Sonoran deserts of the southwestern United States	Increased temperature, decreased rainfall, wildfire		Land use change, invasive plant species	<i>High</i>	Defalco et al. (2010); Munson et al. (2016); Conver et al. (2017)
<i>Arid</i>						
African Sahel	Woody cover increase in parts of the Sahel	Changes in rainfall and increased CO <sub>2</sub>		Restoration planting	<i>High</i>	Dardel et al. (2014); Brandt et al. (2015); Venter et al. (2018); Zhang et al. (2018); Brandt et al. (2019); Bernardino et al. (2020)
	Increase in grass production across Sahel	Increases in rainfall and increased CO <sub>2</sub>			<i>Medium</i>	Brandt et al. (2019)
	Decline of mesic tree species at field sites across the Sahel	Decreased rainfall, increased temperature	Yes. multivariate statistical analyses find climate factors more important than non-climate factors.		<i>High</i>	Gonzalez (2001); Wezel and Lykke (2006); Maranz (2009); (Gonzalez et al., 2012); Hänke et al. (2016); Kusserow (2017); Ibrahim et al. (2018); Zida et al. (2020b)

Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
	Increased tree mortality at field sites across the Sahel	Decreased rainfall, increased temperature	Yes. multivariate statistical analyses find climate factors more important than non-climate factors.	Agricultural expansion, modified runoff on shallow soils	<i>High</i>	Gonzalez (2001); Wezel and Lykke (2006); Maranz (2009); Vincke et al. (2010); Gonzalez et al. (2012); Hänke et al. (2016); Kusserow (2017); Brandt et al. (2018); Ibrahim et al. (2018); Trichon et al. (2018); Zwarts et al. (2018); Wendling et al. (2019); Bernardino et al. (2020); Zida et al. (2020a)
	Latitudinal biome shift of the Sahel and Sudan	Decreased rainfall, increased temperature	Yes. multivariate statistical analyses find climate factors more important than non-climate factors.		<i>High</i>	Gonzalez (2001); Hiernaux et al. (2009); Maranz (2009); Gonzalez et al. (2012)
Namib desert	Increase in woody plant cover and a shift of mesic species into more arid regions	Increase in amount of fog from westward expansion of convective rainfall and increase in number of extreme rainfall events. Elevated CO <sub>2</sub> and warming effects on the Bengula upwelling system			<i>Medium</i>	Morgan et al. (2004); Haensler et al. (2010); Donohue et al. (2013); Rohde et al. (2019)
Southern Africa - Nama-Karoo		Shifting rainfall seasonality (debate if its cyclical or directional); elevated CO <sub>2</sub>			<i>Medium</i>	Du Toit and O'Connor (2014); du Toit et al. (2015); Masubelele et al. (2015a); Masubelele et al. (2015b)
	Eastern Karoo has experienced a significant increase in the end of the growing season length	Shift in rainfall seasonality and increase in MAP			<i>Low</i>	Davis-Reddy (2018)
	Woody encroachment has been observed throughout the Nama-Karoo in valley bottoms, ephemeral stream banks and the slopes of Karoo hills.	Rising concentration of CO <sub>2</sub>		Changing land use and herbivore management	<i>Medium</i>	Polley et al. (1997); Morgan et al. (2004); Donohue et al. (2013); Ward et al. (2014); Masubelele et al. (2015a); Hoffman et al. (2018)

Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
Southern Africa - Succulent Karoo	<i>Succulent Karoo</i> : Range shift in tree aloe <i>Aloidendron dichotomum</i> with mortality in the warmer and drier range and increase in recruitment in the cooler southern range, populations have positive growth rates, possibly due to anthropogenic warming, although this finding has been challenged	Warming and drying			<i>Medium</i>	Foden et al. (2007a); Jack et al. (2016)
Northern Africa - Morocco	Increased vulnerability of oasis's, and reduced ecosystem service provision	High temperature and reduced precipitation causing soil and water salinization, drying up of surface water. Hot winds and sandstorms.		Agricultural growth, high population growth and unregulated and indiscriminate land development	<i>Medium</i>	Karmaoui et al. (2014); Salem et al. (2019)
	Reduced surface water availability	Increased temperature and reduced precipitation		High demand (population growth) and landuse change	<i>Medium</i>	Rochdane et al. (2012); Choukri et al. (2020)
	Reduction of resilience of <i>Abies pinasapo</i> - <i>Cedrus atlantica</i> forests to subsequent droughts	Successive droughts			<i>Medium</i>	Navarro-Cerrillo et al. (2020)
North American drylands	Dominant grass species of the Chihuahuan desert are expanding into arid grasslands.	Increase in aridity and increased inter-annual variation in climate trends			<i>Medium</i>	Collins and Xia (2015); Rudgers et al. (2018)
	Widespread woody plant encroachment. <i>Prosopis sp</i> encroachment in arid desert regions (Chihuahuan and Sonoran Desert) at a rate of ~3% per decade.	Increasing temperature, elevated CO <sub>2</sub> and changing rainfall		Fire suppression and altered grazing/browsing regimes,	<i>High</i>	Caracciolo et al. (2016); Archer et al. (2017)
	Plant desert community shift changes the albedo through the reduction in dark biocrusts	Warming and drought			<i>Medium</i>	Rutherford et al. (2000)

Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
South Chihuahuan Desert - North and central Mexico	Shrub encroachment of grassland ( <i>Berberis trifoliolata</i> , <i>Ephedra aspera</i> , <i>Larrea tridentata</i> ) changes on dominant species in shrub areas loss of less resistant shrubby species ( <i>Leucophyllum laevigatum</i> , <i>Lindleya mespiloides</i> , <i>Setchellanthus caeruleu</i> ). Shrub encroachment of mesic and temperate areas	decreased rainfall + increase in temperature increase CO <sub>2</sub>		Urban growth, mechanized agriculture, and changes in land use	High	Pérez-Sánchez et al. (2011); Báez et al. (2013); Castellón et al. (2015); Sosa et al. (2019)
	Shifts on soil microbial community to more abundant in fungi (Ascomycota and Pleosporales)	decreased rainfall and increase in temperature		changes in land use	Low	Vargas-Gastélum et al. (2015)
	Limited ecological connectivity of shrubby populations	decreased rainfall + increase in temperature			Medium	Sosa et al. (2019)
	Loss of Cacti species ( <i>Echinocactus platyacanthus</i> , <i>Pediocactus bradyi</i> , <i>Coryphantha werdermannii</i> , <i>Astrophytum</i> ) due to decline in physiological performance, loss of seed banks and lower germination rates	decreased rainfall + increase in temperature		Cattle grazing, looting	High	Aragón-Gastélum et al. (2014); Shryock et al. (2014); Martorell et al. (2015); Carrillo-Angeles et al. (2016); Aragón-Gastélum et al. (2018)
Arid and semiarid territories in Argentina	Decreases in vegetation indexes	Decreased rainfall		human-induced land degradation	Low	(Barbosa et al., 2015)
Argentina Chaco Region	Dryland salinity	changes in rainfall		Land use change Overexploitation of water resources	Medium	Amdan et al. (2013); Marchesini et al. (2017)
South America Arid Diagonal	Marked reduction in streamflow from the Andes mountain “water towers” due to the persistent reduction in precipitation.”	Decrease in precipitation in the upper Andes. The unprecedented 10-year extreme dry period has been called the “Mega-drought			High	Bianchi et al. (2017); Rivera and Penalba (2018); Masiokas et al. (2019); Rodríguez-Morales et al. (2019)

Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
South American Andes	Extensive glacier retreat across the Andes	Increasing sub-continental temperature and regional reduction in snow precipitation			<i>High</i>	Dussailant et al. (2019); Falaschi et al. (2019); Masiokas et al. (2019)
Patagonian Andes	Widespread tree mortality of <i>Austrocedrus</i> and <i>Nothofagus</i> forests in the dry ecotone forest-steppe across Patagonia	Increase in extreme drought events			<i>High</i>	Rodríguez-Catón et al. (2019)
	Increase in elevation of the upper-forest <i>Nothofagus</i> treeline across Patagonia	Increase in temperature and duration of the growing season at high elevation in the Patagonian Andes			<i>High</i>	Srur et al. (2016); Srur et al. (2018)
Central Asian arid lands	Shrub encroachment into arid grasslands within the past 10 years	Temperature of central Asian arid regions experienced a sharp increase since 1997 and has been in a state of high variability since then			<i>Medium</i>	Li et al. (2015)
Loess Plateau, China	Widespread vegetation greening in the Loess Plateau region; soil moisture declining widely, and deficit in forests and orchards. The runoff of the Yellow River is declining	Significant warming, slight increase in precipitation.		The land use and cover change, ecological restoration, mainly induced by Grain for Green Project	<i>High</i>	Jia et al. (2015); Wang et al. (2015); Deng et al. (2016); Jiao et al. (2016)
The Three-River Source Region of the Tibetan Plateau, China	The runoff increases, the total water storage and groundwater increasing. NPP increase	The precipitation increasing and evapotranspiration (ET) slight decreasing		Grassland protection	<i>High</i>	Chen (2014); (Xu et al., 2019)
<i>Semi-arid</i>						
Australian arid lands	Widespread greening	Elevated CO <sub>2</sub>			<i>Medium</i>	Donohue et al. (2013)



Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
African savanna	Doubling of tree cover from 1940 – 2010 in South Africa (changing land use), and 20% increase in spread of woody areas into previously open areas in the last 20 years	Warming, elevated CO <sub>2</sub> , altered rainfall regimes		Removal of mega-herbivores, fire suppression, changed herbivore regime	High	Skowno et al. (2017); Stevens et al. (2017); Venter et al. (2018); García Criado et al. (2020)
African savanna	Widespread increase in tree cover across Africa with only 3 countries across continent experiencing a net decline in tree cover	Warming, changing rainfall, mention of CO <sub>2</sub>		Fire suppression	High	Venter et al. (2018)
African savanna	Biodiversity responses to changes in vegetation structure (woody encroachment) causing declines in functional groups that are open area specialists. Records in birds, rodents, termites, mammals, insects.	Woody encroachment			Medium	Blaum et al. (2007); Blaum et al. (2009); Sirami et al. (2009); Parr et al. (2012); Sirami and Monadjem (2012); Gray and Bond (2013); Péron and Altwegg (2015); Smit and Prins (2015)
African semi-arid regions (savanna)	Reduced tourism experience due to woody encroachment	Woody encroachment			Low	Gray and Bond (2013)
North American drylands – sagebrush steppes	Sagebrush steppes are being invaded by non-native grasses	Increase in temperature and favourable climates			High	Bradley et al. (2016); Hufft and Zelikova (2016)
	Shrub encroachment, ( <i>Prosopis glandulosa</i> , <i>Juniper ashei</i> and <i>Juniper pinchotti</i> ) is occurring in the semi-arid grasslands of the southern great plains at a rate of ~8% per decade	Increasing temperature, elevated CO <sub>2</sub> and changing rainfall		Fire suppression and altered grazing/browsing regimes	High	Caracciolo et al. (2016); Archer et al. (2017)
	Woody encroachment in sagebrush steppes (cold deserts) ( <i>Juniper occidentalis</i> ) at a rate of 2% per decade	a) Warming and associated decline in snowpack b) Less precipitation falling as snow and an			High	Chambers et al. (2014); Mote et al. (2018)

Region	Observed change	Climate change factors	Attribution to anthropogenic climate change	Non-climate change factors	Confidence in observed change	References
		increase in the rain fraction in winter.				
Central Mexico	Desertification (as decreases in vegetation indexes).	decreased rainfall + increase in temperature		Land use change and intensification	<i>Medium</i>	and Becerril-Pina et al. (2015); Noyola-Medrano and Martínez-Sías (2017)
Chinese drylands	Widespread greening trend of vegetation in China over the last three decades; regional difference	Warming, CO <sub>2</sub> increase. 1 Rising atmospheric CO <sub>2</sub> concentration and nitrogen deposition are identified as the most likely causes of the greening trend in China, explaining 85% and 41% of the average growing-season LAI trend. 2 Negative impacts of climate change in north China and Inner Mongolia and the positive impact in the Qinghai-Xizang plateau		Ecological protection	<i>Medium</i>	Piao et al. (2015)
<i>Dry sub-humid</i>						
African mesic savannas	Forest expansion into mesic savannas	Increases rainfall, elevated CO <sub>2</sub>		Fire suppression	<i>Medium</i>	Baccini et al. (2017); Aleman et al. (2018)
South American cerrado	8% rate of woody cover increase	Elevated CO <sub>2</sub>		Fire exclusion	<i>High</i>	Stevens et al. (2017); Rosan et al. (2019)
South American cerrado	Expansion of forest into cerrado	Elevated CO <sub>2</sub>		Fire exclusion	<i>High</i>	Passos et al. (2018); Rosan et al. (2019)
Australian savannas	2% rate of woody cover increase and greening of drylands				<i>High</i>	Donohue et al. (2013); Stevens et al. (2017); Bernardino et al. (2020)

1 **Table CCP3.A.2:** Synthesis of adaptation measures and responses to risks in deserts and semi-arid areas.

Challenge	Adaptation Measures and Responses	References
Soil erosion	Rainwater harvesting and soil conservation, grass reseeding, agroforestry.  Use of different breeds of grazing animals, altered livestock rotation systems, crop desertification, use of new crop varieties, development of management strategies that reduce the risk of wildfire.	Eldridge and Beecham (2018)
Overgrazing	Modification of production and management systems that involve diversification of livestock animals and crops, integration of livestock systems with forestry and crop production, and changing the timing and locations of farm operations.  Improved breeds and feeding strategies and adoption of improved breeds for households without cows (both economic & environmental gain).	Kattumuri et al. (2015)  (Shikuku et al., 2017)
Deforestation	Carbon sequestration through decreasing deforestation rates, reversing of deforestation by replanting, targeting for higher-yielding crops with better climate change adapted varieties, and improvement of land and water management  Agroforestry role in addressing various on-farm adaptation needs besides fulfilling many roles in AFOLU-related mitigation pathways (assets and income from carbon, wood energy, improved soil fertility and enhancement of local climate conditions; it provides ecosystem services and reduces human impacts on natural forests).  Implementation of co-benefits strategies including provision of incentives across multiple scales and time frames, fostering multidimensional communication networks and promoting long-term integrated impact assessment.  Achievement of triple-wins in SSA through provision of development benefits by making payments for forest services to smallholder farmers, mitigation benefits by increasing carbon storage, and adaptation benefits by creating opportunities for livelihood diversification.	Kattumuri et al. (2017)  (Mbow et al., 2014)  Spencer et al., 2017  Suckall et al. (2014)
Woody encroachment	Biomass harvesting and selective clearing; utilising intense fires to manage encroachment, combined browsing and fire management. Rewilding in open ecosystems and reintroduction of mega-herbivores (e.g., in parts of Africa) to counter negative impact of woody encroachment.	Davies and Nori (2008); Stafford et al. (2017); Cromsigt et al. (2018); Ding and Eldridge (2019)
Droughts	Pro-active drought risk mitigation vs reactive crisis management approaches. Promoting collective action in livestock management, optimizing livestock policies and feed subsidies. interventions in livestock markets during drought onset. Expanding sustainable irrigation and shifting to drought-resistant crops and crop varieties. Environmentally sustainable sea water desalination. Promoting behavioural changes for more efficient residential water use. Moving away from water-intensive agricultural practices in arid areas. Harvesting rainwater by local communities; empowering women and engagement in local climate adaptation planning community based early warning systems	Morton and Barton (2002); Abebe et al. (2008); Alary et al. (2014); Catley et al. (2014); Mohamed et al. (2016)
Grassland and savanna restoration	Prescribed fire and tree cutting, invasive plant removal, grazing management, reintroduction of grasses and forbs, restoration of soil disturbance.	(For review see Buisson et al 2020)

Rangeland degradation (decreasing fodder quality or yield, invasion by fodder poor value species/refusals)	Promote herd local and regional mobility during the growing season to avoid intense grazing pressure on growing annual herbaceous vegetation of rangelands near settlements, water points, market. Moderate grazing facilitates grass tillering and herbaceous flora diversity. Ecological restoration of grazing ecosystems by sowing a mixture of zone-typical dominant species and life forms of plants on severely degraded land. Ecological restoration of arid ecosystems by sowing a mixture of zone-typical dominant species and life forms of fodder plants with partial (ribbon) treatment of pasture lands. Ecological restoration of secondary salted irrigated soils using halophytes.	De Vries and Djitéye (1982); (Hiernaux et al., 1994); Hiernaux (1998); Hiernaux and Le Houérou (2006); Rasmussen et al. (2018)
Poor livestock productivity (reproduction/dairy/meat) in relation with poor seasonal nutrition	Promote seasonal-regional herd mobility to optimise the use of complementary fodder resources (rangelands, browses, crop residues). Implies institutionalized communal access, community agreements and infrastructures (water points, livestock path, grazing reserves, access to education, health care, markets for transhumant population). Cross state boundary mobility implies international agreements such as promoted by N'djamena meeting (declaration 2013)	Schlecht et al. 2001; Turner (1993); Schlecht et al. (2004); (Fernández-Rivera et al., 2005); Bonnet and Hérault (2011); Bonnet (2013); Hiernaux et al. (2016)
	Promote strategic supplementation of reproductive and young animals by the end of dry and early wet season. Secondary effect on excretion quantity/ quality to manure croplands.	Many trials in research stations and in farm: example Sangaré et al. (2002a); Sangaré et al. (2002b); (Osbahe et al., 2011); Sanogo (2011)
Decrease trend in cropland soil fertility	Rotational corraling of livestock in field during the dry season (and on cleared fallow the following year in the wet season) to ensure maximum retrieval of organic matter and nutrients from faeces and urine deposited. Application of mineral N and P fertilisers as placed (per poquet) microdoses (50-80 kg/ha) to intensify staple crop production. Impact on soil fertility, rain use efficiency, vegetation cover, organic matter production and recycling. Legume association with cereals (millet-cowpea; Sorghum-groundnut). Adapting cultivars and cropping techniques (calendar, fertilisation)	Pieri (1989); Breman et al. (2001); Gandah et al. (2003); Manlay et al. (2004); (Abdoulaye and Sanders, 2005); Reij et al. (2005); Akponikpe (2008); Bagayoko et al. (2011); Bationo et al. (2011); Sendzimir et al. (2011); Hiernaux and Diawara (2014); (Turner and Hiernaux, 2015); Weston et al. (2015); Reij and Garrity (2016)
Salinisation	Indigenous and scientific adaptive practices to cope with salinity. Farmers in waterlogged saline areas harness sub-surface drainage, salt tolerant crop varieties, land-shaping techniques and agroforestry to adapt to salinity and waterlogging risks. Locally adapted crops and landraces, and the traditional tree- and animal-based means to sustain livelihoods in face of salinisation.	Sengupta (2002); Buechler and Mekala (2005); Singh (2009); Wassmann et al. (2009); Singh (2010); Jnandabhiram and Sailen Prasad (2012); Manga et al. (2015); Sharma et al. (2015); Gupta and Dagar (2016); Nikam et al. (2016); Bundela et al. (2017); Sharma et al. (2017); Singh et al. (2017b); Acharyya and Mishra (2018); Mandal

		et al. (2018); ICAR-CSSRI (2019); Singh (2019a. ); Dutta et al. (2020); Kumar and Sharma (2020); Patel et al. (2020); Singh et al. (2020b); Sharma (2016)
Sand and dust storms	<p>Use of live windbreaks or shelterbelts, protection of the loose soil particles through the use of crop residues or plastic sheets or chemical adhesives, increasing the cohesion of soil particles by mechanical tillage operations or soil mulching.</p> <p>Use of perennial plant species that have the ability to trap sediments (sand and fallen dust) and form sandy mound around it, such as <i>Haloxylon salicornicum</i>, <i>Cyperus conglomerates</i>, <i>Lycium shawii</i>, and <i>Nitraria retusa</i>. In Sahel: promote herbaceous (not woody plants) to trap sand annuals such as <i>Colocynthis vulgaris</i>, <i>Chrozophora senegalensis</i>, <i>Farsetia ramosissima</i>, perennials such as <i>Cyperus conglomeratus</i>, <i>Leptadenia hastate</i>.</p> <p>In Sahel: leaving at least part of the crop residues (stalks) laid down on the soil during the dry season (100kg dry matter per hectare has already significant effect on wind erosion, many trials on Millet in Niger). Trampling by grazing livestock improves the partial burying of the residues.</p> <p>Improve monitoring, prediction and early warning. Monitoring, prediction and early warning to mobilize emergency responses for human systems &amp; prioritize long-term sustainable land management measures. Establishment of a Global Dust-Health Early Warning System (building on the SDS-WAS initiative). Multi-sectoral preparedness and response including public health, hospital services, air and ground transportation and communication services</p>	<p>Ahmed et al. (2016); Al-Hemoud et al. (2017)</p> <p>Sivakumar (2005); Hiernaux et al. (2009); Hiernaux et al. (2016); Pierre et al. (2018)</p> <p>Lamers et al. (1995); Michels et al. (1998); Bielders et al. (2002); Bielders et al. (2004)</p> <p>UNEP (2016)</p>