



**CALL NO. 119**

**CONTRACT ID. 141065**

**MAGOFFIN COUNTY**

**FED/STATE PROJECT NUMBER STP 0061 (061)**

**DESCRIPTION BERT T. COMBS MOUNTAIN PARKWAY (9009)**

**WORK TYPE GRADE & DRAIN WITH ASPHALT SURFACE**

**PRIMARY COMPLETION DATE 480 WORKING DAYS**

**LETTING DATE: October 24,2014**

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME October 24,2014. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

**PLANS AVAILABLE FOR THIS PROJECT.**

**DBE CERTIFICATION REQUIRED - 4%**

**REQUIRED BID PROPOSAL GUARANTY:** Not less than 5% of the total bid.

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**PART I**  
**SCOPE OF WORK**

## ADMINISTRATIVE DISTRICT - 10

**CONTRACT ID - 141065**

**STP 0061 (061)**

**COUNTY - MAGOFFIN**

**PCN - DE07790091465**

**STP 0061 (061)**

BERT T. COMBS MOUNTAIN PARKWAY (9009) MOUNTAIN PARKWAY (KY-9009) MOUNTAIN PARKWAY  
WIDENING AND SAFETY IMPROVEMENTS FROM MP 73.4, 1.1 MILE WEST OF LICKING RIVER BRIDGE TO MP  
75.3 BURNING FORK BRIDGE. GRADE & DRAIN WITH ASPHALT SURFACE SYP NO. 10-00140.

GEOGRAPHIC COORDINATES LATITUDE 37:44:07.00 LONGITUDE 83:03:46.00

**COMPLETION DATE(S):**

480 WORKING DAYS

APPLIES TO ENTIRE CONTRACT



## **CONTRACT NOTES**

### **PROPOSAL ADDENDA**

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

### **BID SUBMITTAL**

Bidder must use the Department's Expedite Bidding Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. ([www.transportation.ky.gov/construction-procurement](http://www.transportation.ky.gov/construction-procurement))

The Bidder must download the bid file located on the Bid Express website ([www.bidx.com](http://www.bidx.com)) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

### **JOINT VENTURE BIDDING**

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

### **UNDERGROUND FACILITY DAMAGE PROTECTION**

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

### **SPECIAL NOTE FOR PIPE INSPECTION**

Contrary to Section 701.03.08 of the 2012 Standard Specifications for Road and Bridge Construction and Kentucky Method 64-114, certification by the Kentucky Transportation Center for prequalified Contractors to perform laser/video inspection is not required on this contract. It will continue to be a requirement for the Contractor performing any laser/video pipe inspection to be prequalified for this specialized item with the Kentucky Transportation Cabinet-Division of Construction Procurement.

### **SPECIAL NOTE FOR COMPOSITE OFFSET BLOCKS**

Contrary to the Standard Drawings (2012 edition) the Cabinet will allow 6” composite offset blocks in lieu of wooden offset blocks, except as specified on proprietary end treatments and crash cushions. The composite blocks shall be selected from the Cabinet’s List of Approved Materials.

### **REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY**

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by [KRS 14A.9-010](#) to obtain a certificate of authority to transact business in the Commonwealth (“certificate”) from the Secretary of State under [KRS 14A.9-030](#) unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in [KRS 14A.9-010](#), the foreign entity should identify the applicable exception. Foreign entity is defined within [KRS 14A.1-070](#).

**For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity’s solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.**

Businesses can register with the Secretary of State at <https://secure.kentucky.gov/sos/ftbr/welcome.aspx>.

### **SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT**

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to [kytc.projectquestions@ky.gov](mailto:kytc.projectquestions@ky.gov). The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading “Questions & Answers” on the Construction Procurement website ([www.transportation.ky.gov/contract](http://www.transportation.ky.gov/contract)). The answers provided shall be considered part of

this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

### **HARDWOOD REMOVAL RESTRICTIONS**

The US Department of Agriculture has imposed a quarantine in Kentucky and several surrounding states, to prevent the spread of an invasive insect, the emerald ash borer. Hardwood cut in conjunction with the project may not be removed from the state. Chipping or burning on site is the preferred method of disposal.

### **INSTRUCTIONS FOR EXCESS MATERIAL SITES AND BORROW SITES**

Identification of excess material sites and borrow sites shall be the responsibility of the Contractor. The Contractor shall be responsible for compliance with all applicable state and federal laws and may wish to consult with the US Fish and Wildlife Service to seek protection under Section 10 of the Endangered Species Act for these activities.

### **ACCESS TO RECORDS**

The contractor, as defined in KRS 45A.030 (9) agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this contract for the purpose of financial audit or program review. Records and other prequalification information confidentially disclosed as part of the bid process shall not be deemed as directly pertinent to the contract and shall be exempt from disclosure as provided in KRS 61.878(1)(c). The contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884.

In the event of a dispute between the contractor and the contracting agency, Attorney General, or the Auditor of Public Accounts over documents that are eligible for production and review, the Finance and Administration Cabinet shall review the dispute and issue a determination, in accordance with Secretary's Order 11-004. (See attachment)

10/29/12



Steven L. Beshear  
Governor

Commonwealth of Kentucky  
Finance and Administration Cabinet  
**OFFICE OF THE SECRETARY**  
Room 383, Capitol Annex  
702 Capital Avenue  
Frankfort, KY 40601-3462  
(502) 564-4240  
Fax (502) 564-6785

Lori H. Flanery  
Secretary

## SECRETARY'S ORDER 11-004

### FINANCE AND ADMINISTRATION CABINET

#### Vendor Document Disclosure

**WHEREAS**, in order to promote accountability and transparency in governmental operations, the Finance and Administration Cabinet believes that a mechanism should be created which would provide for review and assistance to an Executive Branch agency if said agency cannot obtain access to documents that it deems necessary to conduct a review of the records of a private vendor that holds a contract to provide goods and/or services to the Commonwealth; and

**WHEREAS**, in order to promote accountability and transparency in governmental operations, the Finance and Administration Cabinet believes that a mechanism should be created which would provide for review and assistance to an Executive Branch agency if said agency cannot obtain access to documents that it deems necessary during the course of an audit, investigation or any other inquiry by an Executive Branch agency that involves the review of documents; and

**WHEREAS**, KRS 42.014 and KRS 12.270 authorizes the Secretary of the Finance and Administration Cabinet to establish the internal organization and assignment of functions which are not established by statute relating to the Finance and Administration Cabinet; further, KRS Chapter 45A.050 and 45A.230 authorizes the Secretary of the Finance and Administration Cabinet to procure, manage and control all supplies and services that are procured by the Commonwealth and to intervene in controversies among vendors and state agencies; and

**NOW, THEREFORE**, pursuant to the authority vested in me by KRS 42.014, KRS 12.270, KRS 45A.050, and 45A.230, I, Lori H. Flanery, Secretary of the Finance and Administration Cabinet, do hereby order and direct the following:

- I. Upon the request of an Executive Branch agency, the Finance and Administration Cabinet ("FAC") shall formally review any dispute arising where the agency has requested documents from a private vendor that holds a state contract and the vendor has refused access to said documents under a claim that said documents are not directly pertinent or relevant to the agency's inquiry upon which the document request was predicated.
- II. Upon the request of an Executive Branch agency, the FAC shall formally review any situation where the agency has requested documents that the agency deems necessary to

conduct audits, investigations or any other formal inquiry where a dispute has arisen as to what documents are necessary to conclude the inquiry.

- III. Upon receipt of a request by a state agency pursuant to Sections I & II, the FAC shall consider the request from the Executive Branch agency and the position of the vendor or party opposing the disclosure of the documents, applying any and all relevant law to the facts and circumstances of the matter in controversy. After FAC's review is complete, FAC shall issue a Determination which sets out FAC's position as to what documents and/or records, if any, should be disclosed to the requesting agency. The Determination shall be issued within 30 days of receipt of the request from the agency. This time period may be extended for good cause.
- IV. If the Determination concludes that documents are being wrongfully withheld by the private vendor or other party opposing the disclosure from the state agency, the private vendor shall immediately comply with the FAC's Determination. Should the private vendor or other party refuse to comply with FAC's Determination, then the FAC, in concert with the requesting agency, shall effectuate any and all options that it possesses to obtain the documents in question, including, but not limited to, jointly initiating an action in the appropriate court for relief.
- V. Any provisions of any prior Order that conflicts with the provisions of this Order shall be deemed null and void.

### **FEDERAL CONTRACT NOTES**

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

102.02 Current Capacity Rating 102.10 Delivery of Proposals  
102.08 Irregular Proposals 102.14 Disqualification of Bidders  
102.09 Proposal Guaranty

### **CIVIL RIGHTS ACT OF 1964**

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

### **NOTICE TO ALL BIDDERS**

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

### **SECOND TIER SUBCONTRACTS**

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE's, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

### **DISADVANTAGED BUSINESS ENTERPRISE PROGRAM**

It is the policy of the Kentucky Transportation Cabinet (“the Cabinet”) that Disadvantaged Business Enterprises (“DBE”) shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

### **DBE GOAL**

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

### **OBLIGATION OF CONTRACTORS**

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

### **CERTIFICATION OF CONTRACT GOAL**

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of \_\_\_\_ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

**The certification statement is located in the electronic bid file. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.**

### **DBE PARTICIPATION PLAN**

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 63-35 DBE, within 10 days of the letting. This is necessary before the Awards Committee will review and make a recommendation. **The project will not be considered for award prior to submission and approval of the apparent low bidder’s DBE Plan/Subcontractor Request.**

The DBE Participation Plan shall include the following:

- 1 Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
- 2 Description of the work each is to perform including the work item , unit, quantity, unit price and total amount of the work to be performed by the individual DBE. The Project Code Number (PCN), Category Number, and the Project Line Number can be found in the “material listing” on the Construction Procurement website under the specific letting;
- 3 The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows; a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
  - The entire expenditure paid to a DBE manufacturer;
  - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to



- the public, maintain an inventory and own and operate distribution equipment;  
and
- The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
- c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4 Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
- 5 Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

#### **UPON AWARD AND BEFORE A WORK ORDER WILL BE ISSUED**

Contractors must submit the signed subcontract between the contractor and the DBE contractor, the DBE's certificate of insurance, and an affidavit for bidders, offerors, and contractors from the DBE to the Division of Construction Procurement. The affidavit can be found on the Construction Procurement website. If the DBE is a supplier of materials for the project, a signed purchase order and an affidavit for bidders, offerors, and contractors must be submitted to the Division of Construction Procurement.

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

#### **CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS**

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set and nine (9) copies of this information must be received in the

office of the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

- 1 Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
- 2 Whether the bidder provided solicitations through all reasonable and available means;
- 3 Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
- 4 Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the DBE Liaison in the Office of Minority Affairs to give notification of the bidder's inability to get DBE quotes;
- 5 Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
- 6 Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
- 7 Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
- 8 Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
- 9 Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
- 10 Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the

work requirements of the bid proposal; and

11 Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

### **FAILURE TO MEET GOOD FAITH REQUIREMENT**

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

### **SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT**

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

### **PROMPT PAYMENT**

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

### **CONTRACTOR REPORTING**

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal. **These reports must be submitted within 14 days of payment made to the DBE contractor.**

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

The Prime Contractor should supply the payment information at the time the DBE is compensated for their work. Form to use is located at:

<http://transportation.ky.gov/Construction/Pages/Subcontracts.aspx>

**The prime contractor should notify the KYTC Office of Civil Rights and Small Business Development seven (7) days prior to DBE contractors commencing work on the project. The contact is Melvin Bynes and the telephone number is (502) 564-3601.**

Photocopied payments and completed form to be submitted to: Office of Civil Rights and Small Business Development 6<sup>th</sup> Floor West 200 Mero Street Frankfort, KY 40622

### **DEFAULT OR DECERTIFICATION OF THE DBE**

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

06/20/2014

### **TRAINEES**

In Compliance with the "TRAINING SPECIAL PROVISION" included in Part III of the Proposal, the Contractor will be required to employ a trainee(s) for this contract.

### **PROJECT TRAFFIC COORDINATOR (PTC)**

Be advised this project is a significant project pursuant to section 112.03.12.

### **ASPHALT MIXTURE**

Unless otherwise noted, the Department estimates the rate of application for all asphalt mixtures to be 110 lbs/sy per inch of depth.

### **INCIDENTAL SURFACING**

The Department has included in the quantities of asphalt mixtures established in the proposal estimated quantities required for resurfacing or surfacing mailbox turnouts, farm field entrances, residential and commercial entrances, curve widening, ramp gores and tapers, and road and street approaches, as applicable. Pave these areas to the limits as shown on Standard Drawing RPM-110-06 or as directed by the Engineer. In the event signal detectors are present in the intersecting streets or roads, pave the crossroads to the right of way limit or back of the signal detector, whichever is the farthest back of the mainline. Surface or resurface these areas as directed by the Engineer. The Department will not measure placing and compacting for separate payment but shall be incidental to the Contract unit price for the asphalt mixtures.

### **ASPHALT PAVEMENT RIDE QUALITY CATEGORY B**

The Department will apply Pavement Rideability Requirements on this project in accordance with Section 410, Category B.

### **FUEL AND ASPHALT PAY ADJUSTMENT**

The Department has included the Contract items Asphalt Adjustment and Fuel Adjustment for possible future payments at an established Contract unit price of \$1.00. The Department will calculate actual adjustment quantities after work is completed. If existing Contract amount is insufficient to pay all items on the contract with the adjustments, the Department will establish additional monies with a change order.

### **OPTION A**

Be advised that the Department will accept compaction of asphalt mixtures furnished for driving lanes and ramps, at 1 inch (25mm) or greater, on this project according to OPTION A in accordance with Section 402 and Section 403 of the current Standard Specifications. The Department will require joint cores as described in Section 402.03.02 for surface mixtures only. The Department will accept compaction of all other asphalt mixtures according to OPTION B.

## SPECIAL NOTE FOR AWARD OF CONTRACT

Due to pending permit from USACE and pending Water Quality Certification, contrary to section 103.02 of the Standard Specifications for Road and Bridge Construction, the Department may hold and not award the contract for a period not to exceed (90) calendar days from the date of letting.

**SPECIAL NOTE CONCERNING  
WATER QUALITY CERTIFICATION (WQC)**

**&**

**CORPS OF ENGINEERS LETTER OF PERMISSION PERMIT (LOP)**

**MAGOFFIN COUNTY**

**KY 9009 Mountain Parkway Widening**

**ITEM NO. 10-0140.00**

**THIS SHALL SERVE AS A NOTICE TO THE CONTRACTOR THAT THE  
CORPS OF ENGINEERS LOP PERMIT & KENTUCKY DIVISION OF WATER  
WQC IS PENDING APPROVAL ON THIS PROJECT.**

**THE CONTRACTOR WILL NOT BE ABLE TO BEGIN WORK UNTIL THE  
KENTUCKY TRANSPORTATION CABINET HAS SECURED THE  
APPROPRIATE APPROVALS AND HAS PROVIDED COPIES OF THESE  
APPROVALS TO THE CONTRACTOR.**

**SPECIAL NOTE CONCERNING**

**TREE CUTTING RESTRICTIONS**

**MAGOFFIN COUNTY**  
**KY 9009 Mountain Parkway Widening**  
**ITEM NO. 10-0140.00**

**To the extent practicable, all trees to be removed during construction shall be fallen between October 15, 2014 and March 31, 2015, inclusive. The Contractor shall be responsible for providing KYTC Division of Environmental Analysis (200 Mero Street, Frankfort, KY 40622), an accounting for any trees that will be affected by the project after March 31, 2015. This record shall be provided by no later than April 15, 2015. Throughout the remainder of the contract, the Contractor should assume that tree removal shall be restricted to the winter months beginning October 15 and ending March 31, inclusive.**

**Alternatively, the Contractor may avoid the restrictions by making payment for the additional tree loss at a cost of up to \$6,300.00 per acre to be removed.**



SPECIAL NOTE FOR  
EXCESS MATERIAL SITES

MAGOFFIN COUNTY  
KY 9009 – MOUNTAIN PARKWAY WIDENING  
ITEM 10-0140.00

The construction activities of this project may result in a considerable amount of excess material. It is the contractor's responsibility to dispose of material in compliance with the United States Army Corps of Engineers (USACE) and Kentucky Division of Water (DOW) rules and regulations pertaining to discharges into U.S. Waters. The Kentucky Transportation Cabinet (KYTC) has PENDING Section 404 & 401 permits for three excess material sites along the project corridor. The location of the excess material sites are identified in the accompanying map.

The contractor shall plan work in a manner that maximizes, to the most practical extent, the secured sites first. Mitigation requirements resulting from the use of these excess material sites will be in the form of in-lieu fees and will be paid by the KYTC prior to stream impacts occurring in the excess material sites.

The contractor is responsible for negotiations/agreements with the property owner of the non-secured site as the KYTC has not acquired the rights to the non-secured excess material site. The following is the property owner contact information:

H.C. Prater  
PH # 606-349-5548

Mitigation requirements resulting from the use of the non- secured excess material site will be in the form of in-lieu fees and shall be paid by the contractor prior to stream impacts occurring in the non- designated excess material site.

Any work associated with the excess material sites will be incidental to the excavation cost including but not limited to the following items: Erosion Control Devices, Clearing and Grubbing, Seeding and Protection, Temporary and Permanent Drainage Ditches and Structures.

It is the contractor's responsibility to review the Sections 404 & 401 permits and maintain compliance with the 401 & 404 permits throughout the duration of the project.

If the contractor chooses to use other excess material site(s) (rather than or in addition to) the KYTC's designated excess material sites, or modify the designated excess material sites, it will be the responsibility of the contractor to acquire the necessary permits and certifications. When applying for new or modified permits obtain approval from the KYTC and obtain the new permit in the Contractor's name from the USACE. No additional contract time will be allowed for this process.

Questions concerning any potential impacts to "Waters of the United States" should be brought to the attention of the appropriate District Office for the Corps of Engineers for determination, prior to disturbance. Any fees associated with obtaining new or modified permit approvals for the disposal of excess material from the USACE or other appropriate regulatory agencies are the responsibility of the contractor.



Source: Esri, DeLorme, USGS, AEX, ©  
User Community

**Waste Area Locations  
Mountain Parkway, Magoffin Co.  
Item No. 10-140**



### **Special Note for Bridge Demolition, Renovation and Asbestos Abatement**

**If the project includes any bridge demolition or renovation, the successful bidder is required to notify Kentucky Division for Air Quality (KDAQ) via filing of form (DEP 7036) a minimum of 10 days prior to commencement of any bridge demolition or renovation work.**

**Any available information regarding possible asbestos containing materials (ACM) on or within bridges to be affected by the project has been included in the bid documents. These are to be included with the Contractor's notification filed with the KDAQ. If not included in the bid documents, the Department will provide that information to the successful bidder for inclusion in the KDAQ notice as soon as possible. If there are no documents stating otherwise, the bidders should assume there are no asbestos containing materials that will in any way affect the work.**



## TRANSPORTATION CABINET

Frankfort, Kentucky 40622  
www.transportation.ky.gov/

**Steven L. Beshear**  
Governor

**Michael W. Hancock, P.E.**  
Secretary

# Memorandum

**To:** Brandon Baker  
**CC:** Tony Vinegar  
**From:** O'Dail Lawson  
Environmental Scientist II  
Division of Environmental Analysis  
**Date:** 7/14/2014  
**Re:** Asbestos Inspection Report for Magoffin 10-140.00

---

**This report is prepared to accompany the 10-Day NOI for Demolition to the Division of Air Quality. Please include all pages with submittal.**

### **Project and Structure Information**

**Project # 10-140.00**

**Bridge # 077B00040N**

**Description:** The concrete samples collected were negative for asbestos. Single samples of Joint Compound, Guard Rail Mastic, and Joint Cushion were collected and required a point-count. These samples were all negative for asbestos. No abatement necessary.

**Inspection Date:** July 1<sup>st</sup>, 2014

### **Results**

The results show no ACM abatement is required.





***MRS, INC.*** *MRS, Inc. Analytical Laboratory Division*

332 West Broadway, Suite 613  
Louisville, Kentucky 40202

(502) 495-1212  
Fax: (502) 491-7111

<b>Client:</b>	<u>KY Transportation Cabinet</u>	<b>Project No:</b>	<u>2107124 B</u>
<b>Address:</b>	<u>200 Mero Street</u>	<b>Sample ID:</b>	<u>M40 - 1</u>
	<u>Frankfort, KY</u>	<b>Sampled:</b>	<u>1-Jul-14</u>
	<u>40601</u>	<b>Received:</b>	<u>10-Jul-14</u>
	<u>Attention O'Dail Lawson</u>	<b>Analyzed:</b>	<u>12-Jul-14 - Point Count -</u>

**Bulk Sample Analysis**

**Sampled by:** O'Dail Lawson

**Facility/Location:** Magoffin County / Bridge # 077B00040N

**Field Description:** Guard Rail Mastic Southeast Side Of The Structure

**Laboratory Description:**  
Gray Material

**Asbestos Materials:**  
Chrysotile = 2/400 = 0.50 % ( < 1 % ) Sample Is Negative

**Non-asbestos Fibrous Materials & Matrix Materials:**

<u>Cellulose</u>	<u>0.25 %</u>
<u>Binders</u>	<u>99.25 %</u>

**Remarks:** The sample was analyzed for asbestos content following the EPA Methodology (600/R-93/116). The test relates only to the items tested. This report does not represent endorsement by NVLAP or any agency of the U.S. Government.

**Analyst:** Winterford Mensah      **Reviewed By:** *Winterford Mensah*  
Signature

***MRS, INC.*** *MRS, Inc. Analytical Laboratory Division*

332 West Broadway, Suite 613  
Louisville, Kentucky 40202

(502) 495-1212  
Fax: (502) 491-7111

<b>Client:</b>	<u>KY Transportation Cabinet</u>	<b>Project No:</b>	<u>2107124 B</u>
<b>Address:</b>	<u>200 Mero Street</u>	<b>Sample ID:</b>	<u>M40-2</u>
	<u>Frankfort, KY</u>	<b>Sampled:</b>	<u>1-Jul-14</u>
	<u>40601</u>	<b>Received:</b>	<u>10-Jul-14</u>
	<u>Attention O'Dail Lawson</u>	<b>Analyzed:</b>	<u>12-Jul-14 - Point Count -</u>

**Bulk Sample Analysis**

**Sampled by:** O'Dail Lawson

**Facility/Location:** Magoffin County / Bridge # 077B00040N

**Field Description:** Joint Compound - Southeast Side Of The Structure

**Laboratory Description:**

Black Material


**Asbestos Materials:**

Chrysotile = 2/400 = 0.50 % ( < 1 % ) Sample Is Negative

**Non-asbestos Fibrous Materials & Matrix Materials:**

<u>Cellulose</u>	<u>0.25 %</u>
<u>Binders</u>	<u>99.25 %</u>

**Remarks:** The sample was analyzed for asbestos content following the EPA Methodology (600/R-93/116). The test relates only to the items tested. This report does not represent endorsement by NVLAP or any agency of the U.S. Government.

**Analyst:** Winterford Mensah      **Reviewed By:**   
Signature

***MRS, INC.*** MRS, Inc. Analytical Laboratory Division

332 West Broadway, Suite 613  
Louisville, Kentucky 40202

(502) 495-1212  
Fax: (502) 491-7111

<b>Client:</b> <u>KY Transportation Cabinet</u>	<b>Project No:</b> <u>2107124 B</u>
<b>Address:</b> <u>200 Mero Street</u>	<b>Sample ID:</b> <u>M40-3</u>
<u>Frankfort, KY</u>	<b>Sampled:</b> <u>1-Jul-14</u>
<u>40601</u>	<b>Received:</b> <u>10-Jul-14</u>
<u>Attention O'Dail Lawson</u>	<b>Analyzed:</b> <u>12-Jul-14 - Point Count -</u>

**Bulk Sample Analysis**

**Sampled by:** O'Dail Lawson

**Facility/Location:** Magoffin County / Bridge # 077B00040N

**Field Description:** Joint Paper - Southeast Side Of The Structure

**Laboratory Description:**

Black Fibrous Material

**Asbestos Materials:**

Chrysotile = 2/400 = 0.50 % ( < 1 % ) Sample Is Negative

**Non-asbestos Fibrous Materials & Matrix Materials:**

<u>Cellulose</u>	<u>33.25 %</u>
<u>Binders</u>	<u>66.25 %</u>

**Remarks:** The sample was analyzed for asbestos content following the EPA Methodology (600/R-93/116). The test relates only to the items tested. This report does not represent endorsement by NVLAP or any agency of the U.S. Government.

**Analyst:** Winterford Mensah      **Reviewed By:** 





# Chain of Custody Record

## Kentucky Transportation Cabinet

200 Mero Street, 5th Floor West  
Frankfort, Kentucky 40622  
(502) 564-7250 fax (502) 564-5655

### Client Information KY TRANSPORTATION CABINET

Results Code: 077 B00040N

ND = None Detected  
FTD = Filler Tampering or Damaged  
N/A = Not Applicable

Samplers signature: *[Signature]*

O'Daill Lawson [odaill.lawson@ky.gov](mailto:odaill.lawson@ky.gov)

KYTC

Address: 200 Mero Street  
Frankfort KY

Phone: 502-782-5020 Fax: 502-564-5655

PO#: \_\_\_\_\_

Project or Subject Reference: 10-140 B00040N

*MAGOFFIN*

Sample ID	Sample Description	Collected		Analysis Requested	Matrix	Color	Cont. Type	Preservative
		Date	Time					
M40-1	Guard Rail Mastic	7-1-14	12:25	Southeast side of structure	Mastic	Grey		N/A
M40-2	Joint Compound				Compound	Black		
M40-3	Joint Paper				Paper	Black		
M40-4	Paint Chip				Paint	Yellow		
M40-5	Concrete Spun				Concrete	Grey		
M40-6	Concrete Piece				Concrete	Grey		
M40-7	Concrete Wing Wall				Concrete	Grey		

Relinquished By: \_\_\_\_\_ Date/Time: \_\_\_\_\_  
 Received By: *Mirijana Mendez* Date/Time: *07/10/14*  
 Relinquished By: \_\_\_\_\_ Date/Time: \_\_\_\_\_  
 Received at Lab By: \_\_\_\_\_ Date/Time: \_\_\_\_\_

# *The EI Group, Inc.*

This certifies that

## *Tilmon O'Dail Lawson*

Student Address: 132 Old Fort Drive, Georgetown, KY 40324

Has attended and satisfactorily passed an examination covering the contents of an EPA/AHERA approved course entitled

### *Asbestos Inspector Refresher (4-Hour) Training Course*

7213080011

Certificate Number

7910

Social Security Number

August 23, 2013

Course Dates

August 23, 2013

Exam Date

August 23, 2014

Expiration Date



Louisville,, KY

Location

*Darryl A. Maxwell*  
Darryl Maxwell, Training Manager

*Kerri Boddy*  
Kerri Boddy, Principal Instructor

*Kerri Boddy*  
Kerri Boddy, Exam Administrator

3240 Office Point Place, Suite 200  
Louisville, KY 40220  
888-372-5859

Approved by:  
Indiana Department of Environmental Management



## TRANSPORTATION CABINET

Frankfort, Kentucky 40622  
www.transportation.ky.gov/

**Steven L. Beshear**  
Governor

**Michael W. Hancock, P.E.**  
Secretary

# Memorandum

**To:** Brandon Baker  
**CC:** Tony Vinegar  
**From:** O'Dail Lawson  
Environmental Scientist II  
Division of Environmental Analysis  
**Date:** 7/14/2014  
**Re:** Asbestos Inspection Report for Magoffin 10-140.00

---

**This report is prepared to accompany the 10-Day NOI for Demolition to the Division of Air Quality. Please include all pages with submittal.**

### **Project and Structure Information**

**Project # 10-140.00**

**Bridge # 077B00041N**

**Description:** The concrete samples collected were negative for asbestos. Single samples of Joint Compound and Guard Rail Mastic were collected and required a point-count. These samples were both negative for asbestos. No abatement necessary.

**Inspection Date:** July 1<sup>st</sup>, 2014

### **Results**

The results show no ACM abatement is required.





***MRS, INC.*** *MRS, Inc. Analytical Laboratory Division*

332 West Broadway, Suite 613  
Louisville, Kentucky 40202

(502) 495-1212  
Fax: (502) 491-7111

<b>Client:</b>	<u>KY Transportation Cabinet</u>	<b>Project No:</b>	<u>2107125 B</u>
<b>Address:</b>	<u>200 Mero Street</u>	<b>Sample ID:</b>	<u>M41-2</u>
	<u>Frankfort, KY</u>	<b>Sampled:</b>	<u>1-Jul-14</u>
	<u>40601</u>	<b>Received:</b>	<u>10-Jul-14</u>
	<u>Attention O'Dail Lawson</u>	<b>Analyzed:</b>	<u>12-Jul-14 - Point Count -</u>

**Bulk Sample Analysis**

**Sampled by:** O'Dail Lawson

**Facility/Location:** Magoffin County / Bridge # 077B00041N

**Field Description:** Joint Compound East End Of The Structure

**Laboratory Description:**  
Black Material

**Asbestos Materials:**  
Chrysotile = 2/400 = 0.50 % ( < 1 % ) Sample Is Negative

**Non-asbestos Fibrous Materials & Matrix Materials:**

<u>Cellulose</u>	<u>0.25 %</u>
<u>Binders</u>	<u>99.25 %</u>

**Remarks:** The sample was analyzed for asbestos content following the EPA Methodology (600/R-93/116). The test relates only to the items tested. This report does not represent endorsement by NVLAP or any agency of the U.S. Government.

**Analyst:** Winterford Mensah      **Reviewed By:** *Winterford Mensah*  
Signature



***MRS, INC.*** *MRS, Inc. Analytical Laboratory Division*

332 West Broadway, Suite 613  
Louisville, Kentucky 40202

(502) 495-1212  
Fax: (502) 491-7111

<b>Client:</b>	<u>KY Transportation Cabinet</u>	<b>Project No:</b>	<u>2107125 B</u>
<b>Address:</b>	<u>200 Mero Street</u>	<b>Sample ID:</b>	<u>M41-3</u>
	<u>Frankfort, KY</u>	<b>Sampled:</b>	<u>1-Jul-14</u>
	<u>40601</u>	<b>Received:</b>	<u>10-Jul-14</u>
	<u>Attention O'Dail Lawson</u>	<b>Analyzed:</b>	<u>12-Jul-14 - Point Count -</u>

**Bulk Sample Analysis**

**Sampled by:** O'Dail Lawson

**Facility/Location:** Magoffin County / Bridge # 077B00041N

**Field Description:** Guard Rail Mastic


**Laboratory Description:**  
Gray Material

**Asbestos Materials:**  
Chrysotile = 2/400 = 0.50 % ( < 1 % ) Sample Is Negative

**Non-asbestos Fibrous Materials & Matrix Materials:**

<u>Cellulose</u>	<u>0.25 %</u>
<u>Binders</u>	<u>99.25 %</u>

**Remarks:** The sample was analyzed for asbestos content following the EPA Methodology (600/R-93/116). The test relates only to the items tested. This report does not represent endorsement by NVLAP or any agency of the U.S. Government.

**Analyst:** Winterford Mensah      **Reviewed By:**   
Signature

# Chain of Custody Record

Kentucky Transportation Cabinet

200 Metro Street, 5th Floor West  
Frankfort, Kentucky 40622  
(502) 564-7250 fax (502) 564-5655



**KENTUCKY  
TRANSPORTATION  
CABINET**

O'Dail Lawson <a href="mailto:odail.lawson@ky.gov">odail.lawson@ky.gov</a> KYTC 200 Metro Street Frankfort KY Phone: 502-782-5020 Fax: 502-564-5655 PO#:		Client Information KY TRANSPORTATION CABINET Results Code: 077 B00041N 10-140.00 ND = None Detected FTD = Filter Tampering or Damaged N/A = Not Applicable						
Project or Subject Reference M-08 off: 10-140 B00041N		Samplers (signature): <i>[Signature]</i>						
Sample ID	Sample Description	Collected		Analysis Requested	Matrix	Color	Cont. Type	Preservative
		Date	Time					
M41-1	Concrete Abutment	7-1-14	12:35 pm	East end of structure	Concrete	Grey		N/A
M41-2	Joint Compound				Compound	Black		
M41-3	Grade Rail Mastic				Mastic	Grey		
M41-4	Paint Chip				Paint	Yellow		
M41-5	Concrete Curb				Concrete	Grey		
M41-6	Concrete Wing Wall				Concrete	Grey		
Relinquished By:		Date/Time:						
Received By: <i>[Signature]</i>		Date/Time: 07/12/14						
Relinquished By:		Date/Time:						
Received at Lab By:		Date/Time:						

# *The EI Group, Inc.*

This certifies that

## *Tilmon O'Dail Lawson*

Student Address: 132 Old Fort Drive, Georgetown, KY 40324

Has attended and satisfactorily passed an examination covering the contents of an EPA/AHERA approved course entitled

### *Asbestos Inspector Refresher (4-Hour) Training Course*

7213080011  
Certificate Number

7910  
Social Security Number

August 23, 2013  
Course Dates

August 23, 2013  
Exam Date

August 23, 2014  
Expiration Date



Louisville, KY  
Location

*Barry A. Maxwell*  
Barry Maxwell, Training Manager

*Kerri Boddy*  
Kerri Boddy, Principal Instructor

*Kerri Boddy*  
Kerri Boddy, Exam Administrator

3240 Office Point Place, Suite 200  
Louisville, KY 40220  
888-372-5859

Approved by:  
Indiana Department of Environmental Management



## Right-of-Way Certification Form

Revised 2/22/11

Federal Funded

Original

State Funded

Re-Certification

This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded Interstate, Appalachia, and Major projects. This form shall also be submitted to FHWA for all federal-aid projects that fall under Conditions No. 2 or 3 outlined elsewhere in this form. When Condition No. 2 or 3 apply, KYTC shall resubmit this ROW Certification prior to construction contract Award. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.

Date: 25 September 2014

Project Name: Mountain Parkway

Letting Date: 26 September 2014

Project #: 1100 FD04 077 8063801R

County: Magoffin

Item #: 10-140.00

Federal #: None

Description of Project: Mountain Parkway Extension

### Projects that require **NO** new or additional right-of-way acquisitions and/or relocations

- The proposed transportation improvement will be built within the existing rights-of-way and there are no properties to be acquired, individuals, families, and businesses ("relocatees") to be relocated, or improvements to be removed as a part of this project.

### Projects that require new or additional right-of-way acquisitions and/or relocations

- Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program and that at least one of the following three conditions has been met. (Check those that apply.)

- Condition 1. All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Fair market value has been paid or deposited with the court.

- Condition 2. Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract. (See note 1 below.)

**Note 1:** The KYTC shall re-submit a right-of-way certification form for this project prior to AWARD of all Federal-Aid construction contracts. Award must not to be made until after KYTC has obtained full legal possession and fair market value for all parcels has been paid or deposited with the court and FHWA has concurred in the re-submitted right-of-way certification.

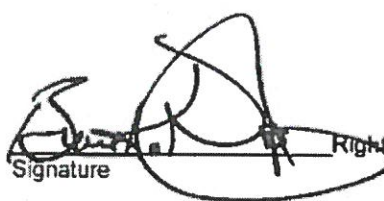
# Right-of-Way Certification Form

Revised 2/22/11

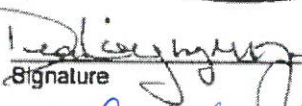
**Condition 3.** The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

**Note 2:** The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

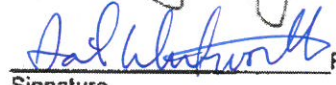
Approved: Bruce K. Napier  
Printed Name

  
Signature Right-of-Way Supervisor

Approved: Dean M. Kay  
Printed Name

  
Signature KYTC, Director of ROW & Utilities

Approved: David Whitworth  
Printed Name

 10/3/14  
Signature FHWA, ROW Officer (when applicable)

## Right-of-Way Certification Form

Revised 2/22/11

Date: 25 September 2014

Project Name: Mountain Parkway  
 Project #: 1100 FD04 077 8063801R  
 Item #: 10-140.00  
 Letting Date: 26 September 2014

County: Magoffin  
 Federal #: None

This project has 19 total number of parcels to be acquired, and 1 total number of individuals or families to be relocated, as well as 1 total number of businesses to be relocated.

- 6 Parcels where acquired by a signed fee simple deed and fair market value has been paid
- 11 Parcels have been acquired by IOJ through condemnation and fair market value has been deposited with the court
- 2 Parcels have not been acquired at this time (explain below for each parcel)
- Parcels have been acquired or have a "right of entry" but fair market value has not been paid or has not been deposited with the court (explain below for each parcel)
- 1 Relocatees have not been relocated from parcels 18,         ,         ,         ,         , and          (explain below for each parcel)

Parcel #	Name/Station	Explanation for delayed acquisition, delayed relocation, or delayed payment of fair market value	Proposed date of payment or of relocation
9	Charles Stephens et ux	Agreed ROE signed and mailed to Court.	Clear
13	Paul Stephens et ux	Order signed and award posted..	Clear
6	H.C. Prater	Agreed ROE signed and mailed to Court.	Clear
18	Wireman	Order signed and award posted. Only some personal property remains to be moved	Clear
21	Donald Bailey	Parties have agreed to ROE Agreement which was to be signed 9/24/14	3 October 2014
24	Jayne Farms	Owner has agreed to sign ROE next week. Owner refusing to be contacted at present time	10 October 2014

There are          billboards and/or          cemeteries involved on this project.

There are          water or monitoring wells on parcels         ,         ,         , and         . All have been acquired and are the responsibility of the project contractor to close/cap.

Form Effective Date: April 1, 2006  
 Last Revised: February 22, 2011

## SPECIAL NOTES FOR UTILITY CLEARANCE

### IMPACT ON CONSTRUCTION

**MAGOFFIN COUNTY**  
**Mountain Parkway Corridor MP 73.4-75.331**  
**10-140.00**

**GENERAL PROJECT NOTE ON UTILITY PROTECTION**

**No Utility Conflict on Above Subject Project**

**NOTE: DO NOT DISTURB THE FOLLOWING UTILITIES LOCATED WITHIN THE PROJECT DISTURB LIMITS**

**AT&T Kentucky will be removing the following facilities within the Disturb limits:**  
140' LFT CL. Sta. 3851 + 00 to 120' LFT CL. Sta. 3860+00, to 120' LFT CL. Sta. 3863 + 00 to 100'  
LFT CL. Sta. 3864+00 to 75' LFT 3866 + 00 to 40' LFT CL Sta. 3868 + 50 to 100' RT CL. Sta. 3872  
+ 70, to 50' LFT CL. Sta. 3875 + 00 to 60' LFT CL. Sta. 3877 + 00 to 120' LFT CL. Sta. 3879 + 00 to  
140' LFT CL. Sta. 3889 + 70 to 80' LFT CL. Sta. 3891 +50 to 50' RT CL. Sta. 3898 + 50 to 150' LFT  
CL Sta. 3906 + 00 to 200' LFT CL. Sta. 3908 + 00

50' LFT CL. Sta. 19 + 75 to 50' RT CL Sta. 19 +70 to 200' LFT CL Sta. 21 +00 to 100' RT CL Sta. 21 +90  
150' LFT CL Sta. 3922 +50 to 90" LFT CL. Sta. 3924 +00 to RT CL Sta. 3926 +70.

**AT & T Kentucky projected facilities to be installed outside Disturb Limits:**  
140' LFT CL Sta. 3850 +00 to 140' LFT CL Sta. 3857 +00 to 250' LFT CL Sta. 3859 + 50 to 125'  
LFT CL Sta. 3861 + 50 to 150' LFT CL Sta. 3866 + 00 to 140 LFT CL Sta. 3868 +00 to 185' LFT CL  
Sta. 3872 + 00 to 200' LFT CL. Sta. 3875 + 50 to 260' LFT CL Sta. 3880 + 00 to 190' LFT CL Sta.  
3885+00 to 270' LFT CL Sta. 3890 +00 to 150' LFT CL Sta. 3895 + 00 to 150 LFT CL Sta. 3900+00  
to 200' LFT CL Sta. 3903 +00 to 200" LFT CL Sta. 3915 +00 to 200' LFT CL Sta. 3920 + 50 to 125'  
LFT CL Sta. 3925 + 00 to 200' 3929 + 00 to 160' LFT CL Sta. 3930 +00 to 100' LFT CL Sta.  
3934+00.

**AT&T Removal and Installation project relocation 8/30/2015)**

**LVRECC will be removing the following facilities within the Disturb limits:**

150' RT CL Sta. 391 +00 to 60' RT CL Sta. 27 + 00 to 150' RT CL Sta. 26 + 00 to 80 ' RT CL Sta. 21  
+ 00 to 95' RT CL Sta. 21 + 50 to 95' RT CL Sta. 19 + 50.

**LVRECC projected facilities to be installed outside Disturb Limits.**  
60' LFT CL Sta. 19 + 00 to 60 ' LFT CL. Sta. 20 + 80 to 123' RT CL Ramp C Sta. 47 + 20 to 68 ' RT  
CL. Ramp C Sta. 47 + 03 to 50 ' RT CL Ramp C Sta. 46 + 00 to 60 ' RT CL Ramp C Sta. 46+00 to  
60' RT CL Ramp C Sta. 44 + 50 to 59' RT CL Ramp C Sta. 43 + 63 to 60 ' RT CL Ramp C Sta. 40  
+00 to 58' RT CL Ramp C Sta. 38 + 80 to 100' RT CL Ramp C Sta. 38 + 00 to 100 ' RT CL Ramp C  
Sta. 37 + 00 to 100' RT & LFT CL Sta. 3896 + 50 to 58' RT CL Ramp B Sta. 54 + 58 to 60' RT CL  
Ramp B Sta. 51 + 50 to 76' RT CL Ramp B Sta. 50 + 80 to 48' LFT CL. Sta. 30+ 22.

**LVRECC Removal and Installation project relocation 1/30/2015)**

## SPECIAL NOTES FOR UTILITY CLEARANCE

### IMPACT ON CONSTRUCTION

**MAGOFFIN COUNTY**  
**Mountain Parkway Corridor MP 73.4-75.331**  
**10-140.00**

**Foothills Telephone will be removing the following facilities within the Disturb limits:**

**150' RT CL Sta. 391 +00 to 60' RT CL Sta. 27 + 00 to 150' RT CL Sta. 26 + 00 to 80 ' RT CL Sta. 21 + 00 to 95' RT CL Sta. 21 + 50 to 95' RT CL Sta. 19 + 50.**

**Foothills Telephone projected facilities to be installed outside Disturb Limits.**

**60' LFT CL Sta. 19 + 00 to 60 ' LFT CL. Sta. 20 + 80 to 123' RT CL Ramp C Sta. 47 + 20 to 68 ' RT CL. Ramp C Sta. 47 + 03 to 50 ' RT CL Ramp C Sta. 46 + 00 to 60 ' RT CL Ramp C Sta. 46+00 to 60' RT CL Ramp C Sta. 44 + 50 to 59' RT CL Ramp C Sta. 43 + 63 to 60 ' RT CL Ramp C Sta. 40 +00 to 58' RT CL Ramp C Sta. 38 + 80 to 100' RT CL Ramp C Sta. 38 + 00 to 100 ' RT CL Ramp C Sta. 37 + 00 to 100' RT & LFT CL Sta. 3896 + 50 to 58' RT CL Ramp B Sta. 54 + 58 to 60' RT CL Ramp B Sta. 51 + 50 to 76' RT CL Ramp B Sta. 50 + 80 to 48' LFT CL. Sta. 30+ 22.**

**Foothills Telephone Removal and Installation project relocation 2/30/2015**

**Howard TV will be removing the following facilities within the Disturb limits:**

**150' RT CL Sta. 391 +00 to 60' RT CL Sta. 27 + 00 to 150' RT CL Sta. 26 + 00 to 80 ' RT CL Sta. 21 + 00 to 95' RT CL Sta. 21 + 50 to 95' RT CL Sta. 19 + 50.**

**Howard TV projected facilities to be installed outside Disturb Limits.**

**60' LFT CL Sta. 19 + 00 to 60 ' LFT CL. Sta. 20 + 80 to 123' RT CL Ramp C Sta. 47 + 20 to 68 ' RT CL. Ramp C Sta. 47 + 03 to 50 ' RT CL Ramp C Sta. 46 + 00 to 60 ' RT CL Ramp C Sta. 46+00 to 60' RT CL Ramp C Sta. 44 + 50 to 59' RT CL Ramp C Sta. 43 + 63 to 60 ' RT CL Ramp C Sta. 40 +00 to 58' RT CL Ramp C Sta. 38 + 80 to 100' RT CL Ramp C Sta. 38 + 00 to 100 ' RT CL Ramp C Sta. 37 + 00 to 100' RT & LFT CL Sta. 3896 + 50 to 58' RT CL Ramp B Sta. 54 + 58 to 60' RT CL Ramp B Sta. 51 + 50 to 76' RT CL Ramp B Sta. 50 + 80 to 48' LFT CL. Sta. 30+ 22.**

**Howard TV Removal and Installation project relocation 3/30/2015**

**AEP will be removing the following facilities within the Disturb limits:**

**80' LFT CL Sta. 3882 + 15 to 20' RT CL Sta. 3882 + 10**

**AEP projected facilities to be installed outside Disturb Limits**

**50' RT CL Sta. 3882+10 to 50 ' RT CL Sta. 3883+ 00**

**To 100' LFT CL Sta. 3882+10**

**AEP Removal and Installation project relocation 1/30/2015**

**\*The Contractor is fully responsible for protection of all utilities listed above\***



## SPECIAL NOTES FOR UTILITY CLEARANCE IMPACT ON CONSTRUCTION

**MAGOFFIN COUNTY**  
**Mountain Parkway Corridor MP 73.4-75.331**  
**10-140.00**

**THE FOLLOWING COMPANIES ARE RELOCATING/ADJUSTING THEIR UTILITIES WITHIN THE PROJECT LIMITS AND WILL BE COMPLETE PRIOR TO CONSTRUCTION**

**Resolution Gas will be relocating facilities prior to Construction :**

**Cut and Cap existing Gas line 15' LFT CL Ramp C Sta. 19+00 to 15' LFT CL Sta. Ramp B Sta. 30 + 00. Estimated relocation by October 31, 2014**

**Frontier Gas will be relocating facilities prior to Construction :**

**Cut and Cap existing Gas line 15' LFT CL Ramp C Sta. 19+00 to 15' LFT CL Sta. Ramp B Sta. 30 + 00. Estimated relocation by October 31, 2014**

**O&G will be relocating facilities prior to Construction :**

**Cut and Cap existing Gas line 15' LFT CL Ramp C Sta. 19+00 to 15' LFT CL Sta. Ramp B Sta. 30 + 00.**

**O & G will be removing/adjusting/Capting Existing Gas Line 70 ' LFT CL Sta. 364 + 10 & 100 ' RT CL Sta. 364 +10 .**

**O&G proposed installation of Gas line at the following Station:**

**70' LFT CL. Sta. 364 + 10 to 268' LFT CL Sta. 3880 + 00 to 255' LFT CL Sta. 3885 + 00 to 257' LFT CL Sta. 3890 + 00 to 150' LFT CL Sta. 3895 + 00 to 200' LFT CL Sta. 3900 + 00 (70' RT CL Ramp B Sta. 54 + 00) to RT' CL Ramp B Sta. 50 + 80 to 55' LT & 200' LFT CL Sta. 30 + 00**

**O&G Estimated relocation by November 31, 2014**

**\*The Contractor is fully responsible for protection of all utilities listed above\***

## **SPECIAL NOTES FOR UTILITY CLEARANCE**

### **IMPACT ON CONSTRUCTION**

**MAGOFFIN COUNTY**  
**Mountain Parkway Corridor MP 73.4-75.331**  
**10-140.00**

**THE FOLLOWING COMPANIES HAVE FACILITIES TO BE RELOCATED/ADJUSTED BY THE COMPANY OR THE COMPANY'S SUBCONTRACTOR AND IS TO BE COORDINATED WITH THE ROAD CONTRACT**

**Utility Company Not Associated on Above Subject Project**

**THE FOLLOWING COMPANIES HAVE FACILITIES TO BE RELOCATED/ADJUSTED BY THE ROAD CONTRACTOR AS INCLUDED IN THIS CONTRACT**

[Magoffin County Water District will Implement Water Line Relocation in the Road Way Contract. Plans/Specifications/Bid item and Estimate uploaded on Project Wise](#)

[Salyersville Water Works will Implement Sewer Line Relocation in the Road Way Contract. Plans/Specifications/Bid item and Estimate uploaded on Project Wise](#)

**\*The Contractor is fully responsible for protection of all utilities listed above\***

### **SPECIAL CAUTION NOTE – PROTECTION OF UTILITIES**

The contractor will be responsible for contacting all utility facility owners on the subject project to coordinate his activities. The contractor will coordinate his activities to minimize and, where possible, avoid conflicts with utility facilities. Due to the nature of the work proposed, it is unlikely to conflict with the existing utilities beyond minor facility adjustments. Where conflicts with utility facilities are unavoidable, the contractor will coordinate any necessary relocation work with the facility owner and Resident Engineer. The Kentucky Transportation Cabinet maintains the right to remove or alter portions of this contract if a utility conflict occurs.

The utility facilities as noted in the previous section(s) have been determined using data garnered by varied means and with varying degrees of accuracy: from the facility owners, a result of S.U.E., field inspections, and/or reviews of record drawings. The facilities defined may not be inclusive of all utilities in the project scope and are not Level A quality, unless specified as such. It is the contractor's responsibility to verify all utilities and their respective locations

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**SPECIAL NOTES FOR UTILITY CLEARANCE**  
**IMPACT ON CONSTRUCTION**

**MAGOFFIN COUNTY**  
**Mountain Parkway Corridor MP 73.4-75.331**  
**10-140.00**

before excavating.

**BEFORE YOU DIG**

The contractor is instructed to call 1-800-752-6007 to reach KY 811, the one-call system for information on the location of existing underground utilities. The call is to be placed a minimum of two (2) and no more than ten (10) business days prior to excavation. The contractor should be aware that owners of underground facilities are not required to be members of the KY 811 one-call Before-U-Dig (BUD) service. The contractor must coordinate excavation with the utility owners, including those whom do not subscribe to KY 811. It may be necessary for the contractor to contact the County Court Clerk to determine what utility companies have facilities in the area.

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***Please Note: The information presented in this Utility Note is informational in nature and the information contained herein is not guaranteed.***



**SPECIAL NOTES FOR UTILITY CLEARANCE**  
**IMPACT ON CONSTRUCTION**

**MAGOFFIN COUNTY**  
**Mountain Parkway Corridor MP 73.4-75.331**  
**10-140.00**

**AREA UTILITIES CONTACT LIST**

<u>Utility Company/Agency</u>	<u>Contact Name</u>	<u>Contact Information</u>
<u>LVRECC</u>	<u>Wes McKinney</u>	<u>(606) 791-0082</u>
<u>FootHills Telephone</u>	<u>John Blair</u>	<u>(606) 297-3501</u>
<u>Howard TV</u>	<u>Rick Howard</u>	<u>(606) 434-0222</u>
<u>AEP</u>	<u>Bill Johnson</u>	<u>(606) 437-3823</u>
<u>AT &amp; T Telephone</u>	<u>Jackie Slayers, Jr.</u>	<u>(606) 874-2715</u>
<u>Resolution Gas</u>	<u>Mike Bradey</u>	<u>(304) 844-1887</u>
<u>Frontier Gas</u>	<u>Mike Harris</u>	<u>(606) 886-2431</u>
<u>O&amp;G GAS</u>	<u>Chris Slone</u>	<u>(606) 225-2206</u>
<u>Magoffin County Water District</u>	<u>Alan McCarty</u>	<u>(606) 367-9816</u>
<u>Salyersville Water Works</u>	<u>Shawn Rowe</u>	<u>(606) 367-4716</u>



MAY 2014

PROJECT NO. 2014036

KENVIRONS, INC.  
452 VERSAILLES ROAD  
FRANKFORT, KY 40601

PREPARED BY:

MAGOFFIN COUNTY WATER DISTRICT  
MAGOFFIN COUNTY, KENTUCKY  
UTILITY RELOCATION – WATER  
BERT T. COMBS MOUNTAIN PARKWAY-KY 7 INTERCHANGE

TECHNICAL SPECIFICATIONS

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## SECTION 15100

### WATERLINES

#### 1.0 GENERAL

The Contractor shall furnish all labor, materials and equipment to install the water lines as shown on the plans and as specified herein.

The water lines may either be pressure-rated plastic pipe (PVC), municipal plastic pipe (MPVC) or ductile iron (DI), all as specified hereinafter. The bid documents and plans shall show the amounts of each type and class of pipe to be provided by the Contractor.

The Owner will obtain all rights-of-way for operations through private property. It will also secure building permits and the permits for all pipe laid in highway rights- of-way. Any charges for inspections or other fees required will be the responsibility of the Contractor since the amounts of these are dependent upon the operation of the Contractor.

#### 1.1 TRANSPORTATION CABINET BONDING

The Kentucky Transportation Cabinet will require that the Owner post a bond for all work accomplished on their right-of-way. Each contract on which work is to be performed will be a separate application and will require a separate bond. Each permit will have conditions attached and these conditions will vary depending on the area where work is to be performed. In areas where traffic control may pose a problem, working hours may be limited. A copy of the encroachment permit will be provided to the Contractor. The Contractor will be responsible for knowledge of the permit's content and conditions in order that the construction may be accomplished in accordance with the specified requirements.

Should any additional bonds or requirements be imposed by the Kentucky Transportation Cabinet, the Owner shall also be responsible for the bonding of the additional requirements.

#### 2.0 PIPE AND FITTINGS

#### 2.1 POLYVINYL CHLORIDE RIGID PIPE AND FITTINGS

This specification covers rigid, pressure-rated, polyvinyl chloride pipe and fittings, hereinafter called PVC pipe and PVC fittings, for sizes 1/2 inch through

15100-1

12-inch. Pipe shall be as manufactured by North American, Diamond, J-M or approved equal.

2.1.1 PVC Pipe. PVC pipe shall be extruded from Type 1, Grade 1, polyvinyl chloride material with a hydrostatic design stress of 2,000 psi for water at 73.4°F, designated as PVC 1120, meeting ASTM Specifications D-1784 for material and D- 2241 for pipe, latest revisions. Pipe shall also meet all applicable provisions of the Product Standards and shall bear the National Sanitation Foundation (NSF) seal of approval in compliance with NSF Standard No. 14. PVC pipe having a maximum hydrostatic working pressure of 160 psi (SDR26), 200 psi (SDR21), 250 psi (SDR17), or 315 psi (SDR13.5) shall be used as shown in the Bid Documents and Plans.

Samples of pipe and physical and chemical data sheets shall be submitted to the Engineer for review and determination of compliance with these specifications before pipe is delivered to job. The pipe shall be homogeneous throughout and free from cracks, holes, foreign inclusions or other defects.

The workmanship, pipe dimensions and tolerances, outside diameters, wall thickness, eccentricity, sustained pressures (ASTM D-1598), burst pressures (ASTM D-1599), flattening, extrusion quality (ASTM D-2152), marking and all other requirements of the Product Standard PS 22-70 shall be with in all respects. No pipe, 2 inches in diameter or larger, with a wall thickness less than 0.090 inches may be used.

Pipe shall be furnished in 20 feet or 40 feet lengths. The pipe shall be bell on one end. Male ends of pipe must be beveled on the outside. Pipe shall have a ring painted around the male end or ends in such a manner as to allow field checking of setting depth of pipe in the socket. This requirement is made to assist construction superintendents and inspectors in visual inspection of pipe installation.

Pipe must be delivered to job site by means which will adequately support it, and not subject it to undue stresses. In particular, the load shall be so supported that the bottom rows of pipe are not damaged by crushing. Pipe shall be unloaded carefully and strung or stored as close to the final point of placement as is practical. Pipe must not be exposed to the direct rays of the sun for an extended period of time. If pipe is not to be installed shortly after delivery to the job site, it must be stored in a shaded location and strung as needed.

2.1.2 PVC Pipe Jointing. Pipe shall be joined with slip-type joints with rubber gaskets. Pipes with bells shall have all parts of the bell, including the gasket groove, made from the same extruded piece, integral with the pipe, and shall be thickened to meet standard dimension ratios of wall thickness to outside diameter. This manufacturing procedure shall be the normal practice of the pipe

manufacturer and proven by past performance of pipe in service. The gasket groove shall be constructed such that gasket rollout will not occur. Rubber gasketing shall conform to ASTM 3139.

The pipe manufacturer shall have an experienced representative on the job for a minimum of one day at the commencement of joining and laying operations. Joint lubricant shall be of a type recommended by the manufacturer for their pipe subject to the Engineer's approval. Lubricant shall be water soluble, non-toxic and have no objectionable properties.

2.1.3 PVC Couplings. Where PVC couplings are used, they shall be of the same material as the pipe and may be of the molded, or extruded type. PVC couplings shall have a minimum rating of 200 psi for continuous operation at 73.4 degrees F.

2.1.4 Fittings Ductile iron mechanical joint type fittings with appropriate adaptors as manufactured by Romac or approved equal, shall be used with PVC pipe. All such fittings shall be approved by the pipe manufacturer, and complete data sent to the Engineer, including the manufacturer's approval, for review. Fittings shall comply with AWWA C-110 or C-153 and shall be manufactured for the size and pressure class of the line on which they are used. Use of transition gaskets will not be allowed unless specifically approved by the pipe manufacturer. Coatings and lining shall be in accordance with 2.3.7.F of this section of the Specifications.

2.1.5 Service Connections. All service connections on PVC lines shall be made by means of tees, factory tapped couplings, or bronze service clamps manufactured specifically for use with PVC pipe as manufactured by Ford or approved equal. Whenever possible, corporation stops shall be installed in plastic lines before conducting hydrostatic tests.

## 2.2 MUNICIPAL POLYVINYL CHLORIDE (MPVC) PRESSURE PIPE

This specification covers the requirements for AWWA approved Polyvinyl Chloride Pressure Pipe for water supply and distribution systems.

2.2.1 MPVC Pipe. MPVC pipe shall meet the requirements of AWWA C900, latest revision, "Standard for Polyvinyl Chloride (PVC) Pressure Pipe, 4" through 12" for water" and shall be furnished in cast-iron pipe equivalent outside diameters with rubber-gasketed separate couplings. Pipe shall be as manufactured by Certainteed or approved equal.

MPVC pipe and couplings shall be made from Class 12454-A or Class 12454-B virgin compounds as defined in ASTM D-1784. The standard code designation shall be PVC 1120. The PVC compounds shall be tested and certified as

suitable for potable water products by the NSF Testing Laboratory and shall carry the NSF approval marking.

Solvent-cement couplings or joints shall not be used. PVC joints using elastomeric gaskets shall be tested as assembled joints and shall meet the laboratory performance requirements specified in ASTM D-3139.

Pipe and couplings shall be pressure Class 100, DR 25 (Dimension Ratio), pressure Class 150, DR 18, or pressure Class 200, DR 14 as shown on the plans or the bid form.

Pipe and couplings shall be marked as follows:

- a. Nominal size and OD base.
- b. Material code designation (PVC 1120).
- c. Dimension ratio number.
- d. AWWA pressure class.
- e. AWWA designation number (AWWA C900).
- f. Manufacturers name or trade-mark and production record code.
- g. Seal of the NSF Laboratory.

Pipe and couplings shall meet or exceed the following test requirements:

<u>Sustained Pressure</u>	:	<u>ASTM D-1598 (1000 Hrs.)</u>
<u>DR</u>		<u>Sustained Pressure</u>
14		650 psi
18		500
25		350

<u>Burst Pressure</u>	:	<u>ASTM D-1599 (60-70 seconds)</u>
<u>DR</u>		<u>Minimum Burst Pressure</u>
14		985
18		755
25		535

Hydrostatic Integrity - Each standard and random length of pipe shall be proof-tested at four times its rated class pressure for a minimum of 5 seconds. Bells or couplings shall be tested with pipe.

Flattening - The pipe shall not split, crack, or break when tested by the parallel-plato method as specified by ASTM D- 2241.

Extrusion quality - The pipe shall not flake or disintegrate when tested by the acetone-immersion method as specified in ASTM D-2241.

Standard length - Pipe shall be furnished in standard laying lengths of 20 ft.  $\pm$  1 in. A maximum of 15 percent of each pipe size may be furnished in random lengths of not less than 10 ft. each.

2.2.2 MPVC Pipe Jointing. Pipe shall be joined with slip-type joints with rubber gaskets. Manufacturing and installation procedures shall be as recommended by the manufacturer and as described for PVC pipe in Section 2.1.2 of this specification.

2.2.3 Fittings. Fittings for municipal PVC shall be ductile iron only. Fittings shall be mechanical joint. Fittings shall be manufactured for the size and pressure class of the line on which they are used and shall comply with AWWA C-110 or C-153. Coatings and lining shall be in accordance with subsection 2.3.7.F of this section of the Specifications. Fittings shall be as manufactured by Tyler, Clow, U.S. Pipe, Union Foundry or approved equal.

2.2.4 Service Connections. Service connections shall be made by means of bronze service clamps manufactured specifically for use with municipal PVC pipe. Clamps shall be Mueller Catalog No. H-161 or approved equal.

2.2.5 Underground Marking for PVC Pipe. Underground marking for PVC pipe shall be both of the following types. The type required for this project is specified in the notes on the Drawings.

2.2.5.1 Underground Marking Wire. At all locations where PVC pipe is utilized, a detectable underground marking wire shall be placed in the trench as shown on the miscellaneous drawings. The wire used shall be No. 12 insulated copper wire. Copper split bolt screw connectors shall be used for splice connections, see miscellaneous drawings. Extreme care shall be exercised in connecting and taping splices and joints to assure continuity. At each valve box the wire shall be looped to the surface extending 12-inches above the concrete valve box pad (see Std. Dwg. for valve). When the entire project or pipeline segment is complete, including meter installation and leak repairs, the locating wire system shall be checked for continuity.

2.2.5.2 Underground Marking Tape. At all locations where PVC pipe is utilized, a detectable underground marking tape shall be placed in the trench approximately twelve inches below the finished grade. The tape used shall be mylar encased aluminum foil with the printing %CAUTION - Buried Water Line Below+. Printing shall be readable through the clear mylar and surface printing is not acceptable. Tape size shall be 2 inch width as provided by Lifeguard, Inc. or approved equal. Color of the tape shall be blue.



## 2.3 DUCTILE IRON PIPE

These specifications cover ductile iron pipe (3-inch diameter and greater) to be used in water transmission systems with mechanical joints, rubber ring slip type joints or flanged joints.

2.3.1 General. Ductile iron pipe shall be designed in accordance with AWWA H3 (ASA A21.50) and for pressures and conditions as stated in these specifications or called for on the plans. Ductile iron pipe shall conform to AWWA C-151 (ASA A21.51.).

2.3.2 Minimum Nominal Thickness. The specified thickness will be determined for the given internal and external loading requirements in accordance with ASA A21.50. The class of pipe, wall thickness, and coatings required will be shown on the plans or the bid form for all ductile iron pipe installation.

2.3.3 River Crossing Pipe. River crossing pipe shall be ductile iron, Flex-Lok as manufactured by the American Cast Iron Pipe company or equal conforming to the appropriate requirements of ANSI/AWWA C150/A21.50 and ANSI/AWWA C151/A21.5 with a thickness class of 54.

2.3.4 Lengths. Pipe may be furnished in 12, 16, 16 1/2, 18 or 20 feet nominal laying lengths.

2.3.5 Tests. Hydrostatic and acceptance tests shall be in accordance with AWWA Specification C-106 for "Cast Iron Pipe Centrifugally Cast In Metal Molds" or C-108 for sand molds. The Engineer shall be provided with five (5) copies of each of the following tests for each contract involved:

- a. Talbot strip test.
- b. Ring and full length bursting tests.
- c. Chemical analysis of pipe.
- d. Certification that pipe was hydrostatically tested.

Any pipe not meeting the AWWA Specifications quoted above shall be rejected in accordance with the procedure outlined in the particular specifications.

2.3.6 Marking. The net weight, class or nominal thickness and sampling period shall be marked on each pipe.

2.3.7 Pipe Joints for Ductile Iron Pipe. Pipe joints shall be mechanical joint, rubber ring slip joint, flanged, or locked mechanical joint as shown on the plans.

### A. Mechanical Joint

Mechanical joints are to be furnished according to AWWA Specifications C-111. All pipe joints must be furnished complete with all accessories. Mechanical joint bolts and nuts shall be of alloy cast iron or alloy steel (Corten type such as U.S. Alloy) or approved equal. Rubber gaskets shall be made of plain first grade rubber, free of imperfections and porosity. Hardness shall be 70 to 75 durometer.

B. Rubber Ring Slip Joint

Rubber ring slip joint shall be equal to AWWA C-111 or latest revision. The joints shall be of the following materials:

- a. Rubber ring gasket compressed in groove in bell of pipe.
- b. Beveled spigot end of pipe for initial centering into rubber gasket in bell.

C. Locked Mechanical Joint

Locked mechanical joints shall be equal to Clow Corporation's "Locked Mechanical Joint".

D. Ductile Iron Flanged Pipe and Special Coupling

a. Flanged Pipe. All ductile iron flanged pipe shall have flanges faced and drilled, 125 pound in accordance with ASA A21.10 (AWWA C-110) unless otherwise specified on the Drawings. Flanges may be cast integrally with the pipe or they may be screwed on specially designed long hub flanges, refaced across both face of flange and end of pipe. Flanged pipe shall be in accordance with ASA A21.6 (AWWA C-106) Specifications, latest revision, and be the class called for on the plans or bid forms. Where plain ends of flanged and plain end pipe fit into mechanical joint bells, centrifugally cast pipe shall be used. Flanged pipe for water service shall be cement lined and bituminous coated the same as written herein for bell-joint pipe.

b. Special Coupling. Flexible couplings for flanged pipe shall be a mechanical joint cast to a special flanged joint using a neoprene O-ring in place of the usual 1/16 inch rubber ring gasket. The mechanical bell and special flanged joint piece shall be of high grade gray cast iron (ASTM A48-56, AWWA C-100) with bolt circle, bolt size and spacing according to ASA Specifications. Mechanical joint

follower flange shall be of ductile iron ASTM A399 or malleable iron ASTM A47, Grade 35018 or 32510, latest revision with high strength/weight ratio design.

Bolts shall be fine grained high tensile malleable iron with malleable iron hexagon nut. Stainless steel nuts shall be used in vaults and wet wells. Where pressures may exceed 20 pounds, anchor studs shall be included with spigots of pipes connected drilled to receive ends of studs.

- E. All items used for jointing pipe shall be furnished with the pipe and tested before shipment. The joints shall be made with tools and lubricant in strict conformity with the manufacturer's instructions. Three (3) copies of such instruction shall be delivered to the Engineer at start of construction.
- F. Coatings and Lining. All buried ductile iron pipe shall have manufacturers outside coal tar or asphaltic base coating and a cement lining and bituminous seal coat on the inside. Cement mortar lining and a bituminous seal coat inside shall conform to ANSI A21.4 (AWWA C-104) latest revision.

All pipe and fittings housed and in vaults shall be lined and coated on the inside as specified herein for buried ductile iron pipe and fittings, but shall be left uncoated on the outside so that it may be painted without the use of tar stop.

- G. Fittings for Ductile Iron Pipe. Ductile iron mechanical, rubber ring slip and flanged joints shall conform to ASA Specifications A21.10 (AWWA C-110) for centrifugally cast iron water pipe. Mechanical joints shall also conform in all respects to ASA 21.11 (AWWA C-111). All fittings shall be manufactured for the size and pressure class of the pipeline in which they are to be used. Mechanical joint type fittings with appropriate adaptors as manufactured by Megalug or approved equal, shall be used. All fittings shall be furnished complete with all joint accessories. All ductile iron pipe fittings for water, sewer, air, gas and force main service shall be bituminous coated outside and lined on the inside same as the line on which they are installed.
- H. Underground Marking Tape. At all locations where Ductile Iron pipe is utilized, a detectable underground marking tape shall be placed in the trench approximately twelve inches below the finished grade. The tape used shall be mylar encased aluminum foil with the printing %CAUTION - Buried Water Line Below+. Printing shall be readable through the clear mylar and surface printing is not acceptable. Tape

size shall be 2 inch width as provided by Lifeguard, Inc. or approved equal. Color of the tape shall be blue.

## 2.4 POLYETHYLENE PIPE

This pipe is used primarily for stream crossings and other special applications in locations indicated on the Drawings. The required pressure class shall be as shown on the Drawings.

The pipe shall be PE 3408 high density, high molecular weight polyethylene pipe equal to DRISCOPIPE 1000 as manufactured by Phillips Driscopipe, Inc. The pipe shall meet or exceed the following specifications:

- a. ASTM 3350 having a cell classification of PE34534C
- b. ASTM F714 - Dimensions and Workmanship
- c. AWWA C901 - Potable Water Pipe
- d. ASTM D1248 - Type III, Class C, Category 5, Grade P34
- e. ASTM D3261 - Fittings Standard
- f. NSF - Listed, Standard #14

The pipe shall be joined by the butt fusion technique utilizing controlled temperatures and pressures to produce a fused, leak-free joint that has equal or greater strength than the pipe itself in both tension and hydrostatic loading. The joining system shall be equal to Phillips butt fusion joint system.

Transitions to the continuing pipeline shall be made with the appropriate fittings to maintain the integrity of the piping system as recommended by the pipe manufacturer.

Drawings showing details of the installation shall be submitted to the Engineer for approval prior to installation.

## 3.0 **HAULING AND STORAGE**

The Contractor shall notify the Engineer when pipe will be received on the job so that proper arrangements may be made for inspecting the unloading and stringing, as well as inspecting and examining the pipe materials.

All pipes shall be covered with tarpaulin during hauling from the manufacturer to the job site. It is acceptable for the front end only to be covered. The intent is to prevent diesel exhaust residue from coating the pipe and/or contaminating the gaskets.

The Contractor will be required to deliver all equipment and other materials and place same as and where required for installation. Care must be exercised in

the handling of all materials and equipment and the Contractor will be held responsible for all breakage or damage to same caused by his workmen, agents, or appliances for handling or moving. Pipes and other castings shall in no case be thrown or dropped from cars, trucks, or wagons to the ground, but same shall be lowered gently and not allowed to roll against or strike other castings and unyielding objects violently. Pipe and other castings may be distributed at places that will not interfere with other building operations and unloaded, or yarded and distributed as required, as the Contractor may elect.

Valves, castings, fabricated metal, reinforcing steel, etc. shall be yarded or housed in some convenient location by the Contractor and delivered on the ground as required. All equipment and materials subject to damage from the weather, dampness, changes in temperature, or exposure shall be protected by a dry, weatherproof enclosure until ready for installation or use. The cost of all hauling, handling, and storage shall be included in the prices bid for equipment and materials in place. The Owner takes no risk or responsibility for fire, flood, theft, or damage until after the final acceptance of the work.

#### **4.0 LINES AND GRADES**

The Contractor will be required to accomplish any detailed layout, including that required for establishing the grade of the pipe line.

#### **5.0 TRENCH EXCAVATION**

##### **5.1 GENERAL**

This section describes the acceptable methods of trenching for the installation of pressure pipe and casing pipe in an open trench.

Trenching may be accomplished by means of a backhoe, trenching machine or by hand depending on the construction area.

At the Contractor's option, trenching, by a trenching machine or by backhoe is acceptable except as noted below:

Where the pipe line is being constructed close to other utilities, structures, building, or large trees, and it is reasonable to anticipate possible damage from the use of a backhoe, then trenching shall be made by hand methods.

The Contractor shall include in his unit price bid, all trenching necessary for installation of all pipelines as planned and specified. Trenching shall include all clearing and grubbing, including all weeds, briars, small trees, stumps, etc. encountered in the trenching. The Contractor shall dispose of any such material by burning, burial, or hauling away (or as noted on the drawings), at no extra cost to the Owner. It shall be the Contractor's responsibility to notify the

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appropriate State and local Air Pollution Control agencies when he conducts open burning of refuse. Ornamental shrubs shall be removed, protected, and replanted. Trenching also includes such items as minor street, road, sidewalk, pipe and small creek crossings; cutting, moving or repairing damage to fences, poles, or gates and other surface structures regardless of whether shown on the plans.

The Contractor shall protect existing facilities against danger or damage while pipeline is being constructed and backfilled, or from damage due to settlement of this backfill. In case of damage to any existing structures, repair and restoration shall be made at once and backfill shall not be replaced until this is done. In all cases, restoration and repair shall be such that the damaged structures will be in as good condition and serve its purpose as completely as before and such restoration and repair shall be done without extra cost to the Owner. The use of trench- digging machinery will be permitted except where its operations will cause damage to trees, buildings or existing structures above or below the ground. At such locations hand methods shall be employed to avoid such damage. All excavated material shall be piled in a manner that will not endanger the work and will avoid obstructing sidewalks and driveways. Gutters shall be kept clear or other satisfactory provisions made for street drainage.

All excavation shall be open trenches, except where the drawings call for tunneling, boring, or jacking under structures, railroads, sidewalks and roads. The construction procedure for these types of excavation is described elsewhere in these specifications.

All trench excavation shall be termed unclassified and costs shall be included in the unit price bid for the pipe.

## 5.2 CLEARING

The Contractor shall accomplish all clearing and/or grubbing as required for the construction under this contract. Clearing and grubbing shall include the cutting and removal of trees, stumps, brush, roots, logs, fences and other loose or projecting material and natural obstructions which, in the opinion of the Engineer, must be removed to properly prosecute the construction and operate the facilities upon completion of construction. Trees, unless designated otherwise on the plans, shall remain and be properly protected. Ornamental shrubs, plantings, fences, walls, etc. shall be removed and replanted or replaced or protected from the construction activity. Clearing and/or grubbing shall be incidental to the various bid items and no additional compensation will be paid for same.

## 5.3 TRENCH DEPTH

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Trenches shall be excavated to the line and grade required for the installation of pipe at the elevations indicated on the plans. The minimum depth of cover shall be 30 inches above the top of the pipe, unless shown otherwise on the plans or on the Standard Details. When the pipe is laying in or on solid rock, the minimum depth of cover shall also be 30 inches above the top of the pipe. No additional compensation will be made for extra depth where required by the plans or due to Contractor error. Excavation, except as required for exploration, shall not begin until the proposed work has been staked out. Materials which are not required for backfill and site grading shall be removed and disposed of as directed by the Engineer. Hauling, bedding, and backfilling shall be considered incidental to the various bid items and will not be paid for directly. Excavation shall be of sufficient depth to allow the piping to be laid on the standard pipe bedding in accordance with the Section 6 of this section. The trenches shall be excavated to a minimum of six inches (6") below the bottom of the pipe barrel in rock. In all cases where lines are under traffic a minimum cover of forty-two (42") inches shall be provided. Should it be necessary to avoid existing utilities, culverts, outlets, or other structures, the water line shall be carried deeper at no additional expense to the Owner.

Where the plans call for extra trench depth, this extra depth shall be provided at no extra cost.

#### 5.4 TRENCH WIDTH

Trench widths shall exceed the minimum width that will provide free working space on each side of the pipe and to permit proper backfilling around the pipe as shown in the accompanying table and unless specifically authorized by the Engineer, shall not be excavated to wider than two feet (2') plus the nominal diameter of the pipe at the top of the trench. Before laying the pipe, the trench shall be opened far enough ahead to reveal any obstruction that may necessitate changing the line and grade of the pipe. Should the Contractor fail to accomplish this, and changes are required, they shall be at his sole expense. In rock, all ledge rocks, boulders and large stones shall be removed to provide six inches (6") of clearance on each side and below all pipe and fittings.

#### MINIMUM TRENCH WIDTH

<u>Size</u>	<u>Width</u>	<u>Size</u>	<u>Width</u>
Up to 4" Pipe	2'-0"	15" Pipe	2'-8"
6" Pipe	2'-0"	16" Pipe	2'-8"
8" Pipe	2'-0"	18" Pipe	3'-0"
10" Pipe	2'-4"	20" Pipe	3'-2"
12" Pipe	2'-6"	21" Pipe	3'-4"

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14" Pipe

2'-6"

24" Pipe

3'-8"

#### 5.5 SHORING, SHEETING AND BRACING OF EXCAVATION

Where unstable material is encountered, or where the depth of the excavation in earth exceeds five feet (5q), the sides of the trench or excavation shall be supported by substantial sheeting, bracing, or shoring. The design and installation of all sheeting, sheet piling, bracing or shoring shall be based on computations of pressure exerted by the materials to be retained under retaining conditions. Adequate and proper shoring of all excavations will be the entire responsibility of the Contractor. The Standards of the Federal Occupational Safety and Health Act and the Kentucky Department of Labor shall be followed.

The Engineer will not be responsible for determining requirements for bracing or sheeting.

#### 5.6 REMOVAL OF WATER

The Contractor shall provide for adequate removal of all water and the prevention of surface water from entering the excavation. The Contractor shall maintain dry conditions within the excavations until the backfill is placed. No additional compensation will be paid for replacement and/or stabilization of prepared excavations due to flooding and/or deterioration from extended exposure. All water pumped or drained from the excavation shall be disposed of in a suitable manner without damage to adjacent property or to other work under construction.

#### 5.7 PAVEMENT REMOVAL

Pavement removal shall be as indicated on the plans or directed by the Engineer. When so required, or when directed by the Engineer, only one-half (1/2) of the street crossings or road crossings shall be excavated before placing temporary bridges over the side excavated, for the convenience of the traveling public. All backfilled ditches shall be maintained in such a manner that they will offer no hazard to the passage of traffic. The convenience of the traveling public and the property Owners abutting the improvements shall be taken into consideration. All public or private drives shall be promptly backfilled or bridged at the direction of the Engineer. Pavement replacement shall be in accordance with Section 15102 of these specifications. Excavated materials shall be disposed of so as to cause the least interference and in every case the disposition of excavated materials shall be satisfactory to the Engineer.

#### 5.8 TRAFFIC MAINTENANCE

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The Contractor must "red light" and guard all open trenches or obstructions placed on the streets or sidewalks. The lights must be burning from sunset to sunrise in order to effectually warn and safeguard the public against dangers connected with open trenches, excavations and other obstructions. The Contractor shall be held responsible for any damage that may occur to persons or property by reason of the failure of the Contractor to properly "red light" and guard all open trenches or obstructions along the routes of the water lines. This Contractor at his own expense shall also maintain warning signs, barricades and a watchmen or flagmen to control traffic at such times as his work would interfere with the flow of traffic. No excavation shall begin that may present a safety hazard unless the signs, barricades, lights, etc. are available to protect the open excavation at the conclusion of the day. The Contractor will comply with all Federal and State Occupational Safety and Health requirements for this type of construction. The Contractor shall also comply with all local and Kentucky Department of Highways requirements for signing and traffic control.

#### 5.9 LINE LOCATION

The location of pipelines and their appurtenances as shown are those intended for the final construction. However, conditions may present themselves before construction on any line is started that would indicate desirable changes in location. In such cases, the Owner reserves the right to make reasonable changes in line and structure locations without extra cost, except as may be determined by extra units of materials and construction actually involved. The Owner is under no obligation to locate pipelines so they can be excavated by machine.

#### 6.0 **BEDDING OF PIPELINE**

In all cases the foundation for pipe shall be prepared so that the entire load of the backfill on top of the pipe will be carried uniformly on the barrel of the pipe. The bells of the pipe shall not carry any of the loads of the backfill. The Contractor should refer to the Standard Details for pipe bedding shown in the plans. The bedding specifications shall govern the backfill from the bottom of the trench up to the centerline or spring line of the pipe.

#### 6.1 STABLE EARTH FOUNDATION

On all PVC pipelines, the trench bottoms shall be smooth and free of frozen material, clodded dirt and stones over 1/2" diameter. Bottom dirt left by trenching equipment will usually provide adequate material to level the trench bottom and provide bedding support for the pipe barrel. If the trench bottom is free of dirt, soft material may be shoveled off the side walls or shoveled under the pipe to insure proper pipe barrel bedding. In areas where the trench bottom

is hard, a layer of soft backfill must be provided to insure the pipe barrel is properly cushioned. See the plans for proper bedding material depth.

If the foundation is good firm earth the pipe may be laid directly on the undisturbed earth provided the pipe barrel is supported for its full length.

Bedding of No. 9 stone, fine gravel, sand or compacted finely graded select earth shall be used to correct irregularities in the subgrade. Where bell and spigot is involved, bell holes shall be excavated to prevent the bells from being supported on undisturbed earth.

As an alternative to the above method, excavation in earth may be undercut to a depth below the required invert elevation that will permit laying the pipe on a bed of granular material or finely graded select earth to provide continuous support for the pipe barrel. Bedding depth shall be as shown on the plans.

The bedding is not a separate pay item and shall be included as incidental expense in the unit price for the pipe bid per foot of pipe.

## 6.2 TRENCHES IN ROCK

All installation in rock will utilize the undercutting method. Bedding will be with 6 inches crushed stone as shown in the Standard Details.

## 6.3 UNSTABLE TRENCHES

If unstable material is encountered which may not provide a suitable foundation for the pipe, the unstable material will be removed and an adequate layer of encasement concrete or other special bedding shall be placed for the pipe foundation in accordance with the Standard Details in the plans. Such "special pipe foundation" shall only be installed if directed by the Engineer in writing or on the plans.

All ductile iron pipes shall be installed in accordance with Standard ANSI/AWWA C150/A21.50 Laying Condition Type 3 unless otherwise noted.

## 7.0 **PIPE LAYING**

### 7.1 GENERAL

Proper instruments, tools and facilities satisfactory to the Engineer shall be provided and used by the Contractor for the safe and convenient prosecution of the work. Each pipe manufacturer shall have an experienced representative on the job for at least one day at the commencement of jointing and laying operations.

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Before any length of pipe is placed in the trench, a careful inspection shall be made of the interior of the pipe to see that no foreign material is in the pipe. In order to properly remove any foreign materials, a swab of necessary length is to be available at all times.

All pipes shall be lowered carefully into the trench, properly aligned and properly jointed by use of suitable tools and equipment, in such a manner as to prevent damage to water line materials and protective coatings and linings. Excessive scratching of the exterior surface of the pipe will be cause for rejection of the pipe.

Under no circumstances shall pipeline materials be dropped or dumped into the trench. The pipe and fittings shall also be inspected for the purpose of determining if they are sound and free from cracks. Laying of pipe shall be commenced immediately after excavation is started. Pipe shall be laid with bell ends facing in the direction of laying.

When pipe laying is not in progress, the open ends of pipe shall be closed by approved means to prevent entrance of trench water into the line. Whenever water is excluded from the interior of the pipe, adequate backfill shall be deposited on the pipe to prevent floating. Any pipe which has floated shall be removed from the trench and relaid as directed by the Engineer. No pipe shall be laid in water or on frozen trench bottom, or whenever the trench conditions or the weather are unsuitable for such work.

If any defective pipe and fittings shall be discovered after the pipeline is laid, they shall be removed and replaced with a satisfactory pipe or fitting without additional charge to the Owner. Open ends of unfinished pipe lines shall be securely plugged or closed at the end of each day's work or when the line is left temporarily at any other time.

## 7.2 LAYING DUCTILE IRON PIPE

Ductile iron bolted joint, rubber ring slip joint, and ball and socket river crossing pipe shall first be thoroughly cleaned at joints, then joined according to instructions and with tools recommended by the manufacturer. Three (3) copies of instructions shall be furnished the Engineer and one (1) copy shall be available at all times at the site of the work. The lining inside ductile iron pipe must not be damaged by handling.

All pipes must be forced and held together, or "homed" at the joints, before sealing or bolting. Pipe must be aligned as each joint is placed, so as to present as nearly true, straight lines and grades as is practical, and all curves and

changes in grades must be laid in such a manner that the manufacturer's recommended maximum deflection is not exceeded at any joint.

Cutting of pipe may be done by wheeled pipe cutters or saws, or by hammer and chisel, as the Contractor may elect, but the Contractor will be held responsible for breakage or damage caused by careless cutting or handling.

All ductile iron pipes shall be installed with Standard ANSI/AWWA C150/A21.50 Laying Condition Type 3 unless otherwise noted, six inches (6") crushed stone bedding shall be used in rock. Sufficient space (limited to 2 feet longitudinally) shall be left out of 4 or 6 inch cushion for tightening of bolts where bolted joints are used. No pipe shall be laid resting on rock, blocking, or other unyielding objects. Jointing before placing in trench, and subsequent lowering of more than one section jointed together may be allowed, subject to the Engineer's approval and direction.

When using pipe with push-on joints care must be exercised to make certain that the correct gasket is being used for the type of joint installed and that the gasket faces the proper direction. Before inserting the gasket, the groove and bell socket should be carefully cleaned of all dirt. If sand or dirt is permitted to remain in the groove, leaks may occur. Lubricant must be applied to bell socket, gasket and plain- end of pipe as required by manufacturer. Plain-end must be beveled before joint is made. Deflection required at the joint shall be obtained after the joint is made.

Cut pieces of ductile iron pipe 18 inches or more in length, shall be used in fitting to special conditions, and valves and fitting changes in grade and alignment, provided cutting is even enough to make first class joints and no cracks are evident.

### 7.3 LAYING PLASTIC PIPE

The trench bottom must be smooth and uniform and the alignment must conform to the plans. Bedding and cover as specified herein and shown in the Standard Details is required.

To make a clean and unobstructed joint, it is necessary to wipe the ring, groove and pipe spigot free from all foreign materials at the time of assembly (welded joints will be allowed only in special cases and will be required as shown on the plans). The ring must be positioned properly in the fitting to receive the pipe by a worker who is not in contact with the lubricant. In general, the lubricant is applied to the spigot (not the ring or groove). However, the manufacturer's instructions are to be followed in all cases. Only an approved lubricant may be used in accordance with the manufacturer's recommendations. All plastic pipes shall be joined by hand.

Where good bedding conditions are attained PVC pipe smaller than 4 inches may be assembled outside the trench in longer sections (as conditions allow) and then lowered into the trench. At any time when improper bedding is discovered or the pipe is severely deflected the pipe will be removed from the trench and the condition corrected. Pipe in sizes 4 inch and above may be assembled outside the trench but must be lowered into the trench as each joint is assembled. Regardless of installation methods all couplings must be inspected after laying in trench for proper insertion and alignment. Field cuts and bevels will be allowed in accordance with the manufacturer's recommendations for these operations. A new reference mark shall be installed before joining any field cut pipe. The same requirements for clearance from rock or other objects, thrust blocking and deflections shall apply to PVC pipe as for other pipe materials.

Municipal PVC pipe of all sizes must be assembled in the trench in strict accordance with the manufacturer's requirements.

#### 7.4 INSTALLATION OF RIVER CROSSING PIPE

The ball joint pipe shall be assembled and installed in accordance with manufacturer's recommendations. Installation shall be made at time of low flow, using cofferdams as necessary to divert stream flow. The ball joint pipe shall be laid and allowed to settle before joining to the pipe on each side of the stream. The ball and joint pipes shall be tested separately once in place to detect any leaks or bad joints. After connecting to the land pipe, it shall be tested the same as specified for the other water mains. See the Drawings for additional installation requirements.

#### 8.0 **BACKFILLING**

Backfilling must be started as soon as practicable after pipe has been laid and joints hardened sufficiently, and jointing and alignment approved. Spading of crushed rock, sand, or mechanical tamping of earth, around pipe (as specifically required) between joints shall be the usual procedure as the laying progresses. This is in order to avoid danger or misalignment from slides, flooding or other causes. The Engineer shall be given a minimum of 24 hours for inspection before backfilling. The backfill shall be crushed rock, sand, or finely divided earth free from debris, organic material and stones, placed simultaneously on both sides of pipe to the same level by hand.

In backfilling of the lower part of the trench beginning at the top of the bedding, the backfill material shall be carefully and solidly tamped by hand or approved mechanical methods in 6" layers around the pipe and up to a point 8 inches higher than the top of the pipe. For PVC only the backfill shall be select material and may be walked-in. Walking or working on the completed pipe line, except

as necessary in tamping or backfilling, shall not be permitted until the trench has been backfilled to a point one diameter higher than the top of the pipe. The filling of the trench and the tamping of the backfill shall be carried on simultaneously on both sides of the pipe in such a manner that the completed pipe line will not be disturbed and injurious side pressures do not occur.

After the above specified backfill is hand placed, rock may be used in the backfill in pieces no larger than 18 inches in any dimension and to an extent not greater than one-half (1/2) the backfill materials used. If additional earth is required, it must be obtained and placed by the Contractor. Filling with rock and earth shall proceed simultaneously, in order that all voids between rocks may be filled with earth. Above the hand placed backfill, machine backfilling may be employed without tamping, (if not contrary to specified conditions for the location) provided caution is used in quantity per dump and uniformity of level of backfilling. Backfill material must be uniformly ridged over trench and excess hauled away, with no excavated rock over 1-1/2 inch in diameter or pockets of crushed rock or gravel in top 6 inches of backfill. Ridged backfill shall be confined to the width of the trench and not allowed to overlap onto firm original earth and its height shall not be in excess of needs for replacement of settlement of backfill. All rock, including crushed rock or gravel from construction, must be removed from yards and fields. Streets, roadways and walks shall be swept to remove all earth and loose rock immediately following backfilling.

In the case of street, highway, railroad, sidewalk and driveway crossings or within any roadway paving or about manholes, valve and meter boxes, the backfill must be machine tamped in not over 4-inch layers, measured loose in accordance with the standard details. Where backfill is under paved driveways, streets, highways, railroads, sidewalks, paved parking areas and other areas where settlement is not allowed, crushed stone or coarse sand backfill only shall be used up to the paving surface. Crushed stone shall be Kentucky Department of Highways Standard Specification No. 78 or finer. Coarse sand backfill shall be spread in layers not over 4 inches thick and thoroughly compacted. Sand may be moistened to aide compaction. Tunnels shall be backfilled in not over 3-inch layers, measured loose, with selected material suitable for mechanically tamping. If material suitable for tamping cannot be obtained, sand, gravel or crushed rock (No. 78) shall be blown, packed or sluiced to complete fill all void spaces.

Where local conditions permit, pavement shall not be placed until 30 days have passed since placing backfill. Crushed stone is specified for roads and parking areas and sidewalks or their bases, shall be placed and compacted to the top of trench. Backfills shall be maintained easily passable to traffic at original ground level, until acceptance of project or replacement of paving or sidewalks.

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Where the final surfacing is to be crushed stone, compacted earth backfill may be used in the trench to within 6 inches of the top as shown in the Standard Details.

Railroad Company and Highway Department requirements in regard to backfilling will take precedence over the above general specification where they are involved.

Excavated materials from trenches and tunnels in excess of quantity required for trench backfill shall be disposed as shown on the plans or as directed by the Engineer.

The Contractor shall protect all sewer, gas, electric, telephone, water and drain pipes or conduits, power and telephone poles and guy wires from danger of damage while pipelines are being constructed and backfilled, or from danger due to settlement of his backfill.

In case of damage to any such existing structures, repair and restoration shall be made at once and backfill shall not be replaced until this is done. In all cases, restoration and repair shall be such that the damaged structure will be in as good condition and serve its purpose as completely as before uncovering and such restoration and repair shall be done without extra charge.

No extra charge shall be made for backfilling of any kind, except as provided in the Bid. Backfilling shall be included as a part of the unit price bid for which it is subsidiary. No extra charge shall be made for supplying outside materials for backfill.

Before completion of contract, all backfills shall be reshaped, holes filled and surplus material hauled away, and all permanent walks, street, driveway and highway paving, and sod, replaced (if such surface replacement items are included in the contract) and reseeding performed.

The line Contractor shall be responsible for clean-up, grading, seeding, sodding or otherwise restoring all areas that he disturbs within the work limits of other Contractors on this project.

Any deficiency in the quantity of material for backfilling the trenches or for filling depressions caused by settlement, shall be supplied by the Contractor.

## **9.0 TIE-INS TO EXISTING PIPELINES**

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This work shall consist of connecting new water pipes to the existing system where shown on the plans and shall include the necessary fittings, tapping sleeves, valves and necessary equipment and material required to complete the connection.

Knowledge of pipe sizes in the existing system may not be accurate; therefore, it is recommended that the Contractor check outside diameters of existing pipe and types of pipe prior to ordering the required accessories. No additional payment will be allowed for matching pipe and/or accessories when the proper size is not ordered.

Neither the Owner nor the Engineer can guarantee the location of the existing lines. The Contractor shall verify the location of all existing water mains and valves pertaining to the proposed improvements before excavation is started.

The necessary regulation or operation of the valves on existing mains, to allow for the connections being made, shall be supervised by the Engineer. Before shutting down an existing water main or branch main for a proposed connection, prior approval for a specific time interval shall be obtained from a representative of the Owner. At no time shall an existing main be shut down without the Owner's knowledge and permission.

Excavation to existing water mains shall be carefully made, care being exercised not to damage the pipe. The excavation shall not be of excessive size or depth beneath the pipe. The sides of the excavation shall be as nearly vertical as possible.

The Contractor shall be responsible for any damage to the existing system and any such damage shall be repaired to the satisfaction of the Engineer at the Contractor's expense.

The Contractor shall verify, by field inspection, the necessary sizes, lengths and the types of fittings needed for each inter-connection. Typical connections are shown on the plans and any modifications or changes shall be subject to the approval of the Engineer. The exact length of the proposed water main needed for this work shall also be determined by field measurement as required.

The probing required to locate existing mains is not a separate pay item.

## **10.0 PIPE ENTERING STRUCTURES**

Ductile iron, steel or PVC pressure pipe, 4-inch diameter or larger, entering structure below original earth level, unsupported by original earth for a distance of more than six feet (6'), shall be supported by Class B concrete, where depth of such support does not exceed three feet (3'), and by Class B Concrete piers

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where depth exceeds three feet (3') in accordance with the Standard Details. All other pressure pipe entering buildings or basins below original earth level, which have more than 3 feet span between wall and original earth and having a cover of more than 24 inches of earth, or under roadway, shall be supported as shown on Standard Detail drawings, in order to prevent breakage from settlement of backfill about the structure. Concrete and reinforcing steel for such supports are to be included in the unit price of work to which it is subsidiary, and not as extra concrete, in order to discourage excessive excavation outside the limits of structures. Pipe entering structures shall have flexible joint within 16 inches of exterior of structure.

## **11.0 OWNERSHIP OF OLD MATERIALS**

Pipe - Unless otherwise indicated, all existing pipe that is to be abandoned that interferes with construction or is easily removed shall become the property of the Contractor. All pipe that is not easily removed or not required to be removed as a result of the new construction, shall be abandoned in place by this Contractor.

Pipe Line Fittings and Appurtenances - All pipe line fittings, valves, hydrants and other like appurtenances that are removed as a result of new construction shall be removed by this Contractor but shall become the property of the Owner. All such fittings and appurtenances shall be delivered to a point by the Contractor. Said point shall be on the Owner's property and shall be designated by the Engineer.

Other Materials - All other materials or items that are to be removed, demolished, or abandoned as a part of this contract shall become the property of the Contractor and shall be disposed of by him.

## **12.0 THRUST BLOCKS AND ANCHORAGE**

Thrust blocks shall be installed whenever the pipe line changes direction, as at tees, bends, crosses, stops, as at a dead end; or at valves. The locations of thrust blocks depend on the direction of thrust and type of fitting. Their size and type depends on pressure, pipe size, kind of soil, and the type of fitting. Where thrusts act upward (as at vertical curves) the weight of the pipe, the water in the pipe and the weight of the soil over the pipe should be determined to make certain that the total weight is sufficient to resist upward movement. If there is not enough soil or if it will not compact over the pipe or it is too soft and mushy to resist movement, then ballast or concrete may be placed around the pipe in sufficient weight and volume to counteract the thrust. Where a fitting is used to make a vertical bend, the fitting may be anchored to a concrete thrust block designed to key in to undisturbed soil and to have enough weight to resist upward and outward thrust, since the newplaced backfill may not have sufficient holding power.

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Thrust blocks shall be constructed of not less than Class B concrete conforming to KTC Specification 601 and placed between the fitting and the trench wall. It is important to place the concrete so it extends to undisturbed (freshly cut) trench wall.

### **13.0 MAINTENANCE OF FLOW OF DRAINS AND SEWERS**

Adequate provision shall be made for the flow of sewers, drains and water courses encountered during construction. Any structures which are disturbed shall be satisfactorily restored by the Contractor.

### **14.0 INTERRUPTION OF UTILITY SERVICES**

No valve, switch or other control on any existing utility system shall be operated for any purpose by the Contractor without approval of the Engineer and the Utility. All consumers affected by such operations shall be notified by the Contractor as directed by the Engineer and utility before the operation and advised of the probable time when service will be restored.

### **15.0 FENCING**

Where water supply line is being constructed in fields where stock is being grazed, Contractor shall provide temporary fence as approved by the Engineer around open trenches to prevent stock from falling in trenches. Where trenching operations should isolate grazing stock from their source of water, Contractor will either provide temporary bridging over trench or else provide water for such stock.

Where trench crosses near sound existing corner posts and existing fence is in good condition, fence may be taken loose, rolled back and stored until pipe line is completed at this point, then replaced by stretching tightly and thoroughly stapling. Additional posts will be provided and additional new fence shall be provided when it is necessary to place the fence crossed by the water line in a condition equal to existing fence before water line was constructed.

Where it is necessary to cut existing fence, new end posts shall be installed on each side of the water line and the old fence thoroughly stapled to these new posts before cutting. After pipe line is completed at this point, a new fence of galvanized wire (No. 9 gauge with No. 11 filler wires) shall be stretched between these new end posts and thoroughly stapled to existing posts and any new intermediate posts necessary to provide a good fence. Replacement of fences shall be on a replacement in-kind basis, and shall be considered incidental to laying of the lines and any additional cost shall be included in the unit price bid

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per lineal foot of pipe. Contractor shall notify property Owner prior to cutting fence.

## **16.0 PROTECTION OF ADJACENT LANDSCAPE**

Reasonable care shall be taken during construction of the water lines to avoid damage to vegetation. Ornamental shrubbery and tree branches shall be temporarily tied back, where appropriate, to minimize damage. Trees which receive damage to branches shall be trimmed of those branches to improve the appearance of the tree. Tree trunks receiving damage from equipment shall be treated with a tree dressing.

In the course of construction, the Contractor may deflect horizontal alignment of the water line to avoid trees and to keep from damaging their roots. The Contractor shall be fully responsible for settling all claims by private property Owners concerning damage to trees and shrubs.

## **17.0 COORDINATION WITH UTILITIES**

The Plans show the general location of existing utilities, such information having been determined from the utilities. However, such information shall be considered general and is not guaranteed by Owner, Engineer or the Utility.

Prior to construction, the Contractor shall arrange to meet with representatives of all utilities, and provide them with his anticipated work schedule. The Contractor shall have the utilities make their best determination of utility locations in the areas in which he is working. Throughout the progress of the work, such field markings of utilities shall be kept current.

Repairs to any utilities damaged by the Contractor shall normally be performed by the utility at the Contractor's expense, unless the Contractor and the utility negotiate other understandings and/or procedures.

## **18.0 BLASTING AND ROCK EXCAVATION**

The Contractor shall make his own investigation as he deems necessary to ascertain the sub-surface conditions to be encountered in the work.

All blasting operations shall be conducted in accordance with municipal ordinances, state and federal laws and Section 9, Explosives, of the "Manual of Accident Prevention in Construction", published by the Associated General Contractors of America, Inc. Soil particle velocity shall not exceed limit set by Kentucky law. All explosives shall be stored in conformity with said ordinances, laws and safety regulations. No blasting shall be done within five feet of any water mains, sewer lines, natural or manufactured gas lines, liquid petroleum

product lines or other utilities. Any damage done by blasting is the responsibility of the Contractor and shall be promptly and satisfactorily repaired by him.

The Contractor shall use delay caps or other approved methods to reduce earth vibrations and noise. Mud capping, as defined in the above manual, will not be permitted as a method of breaking boulders. No blasting shall be permitted on Sundays or after dark.

Prior to commencing with the work, the Contractor shall, during a preconstruction conference with the Owner and Engineer, state clearly his approach to performing the excavations on the project. He shall be familiar with the laws and ordinances covering blasting and shall also give consideration to the use of hydraulically operated rock breaking devices in lieu of blasting where considered necessary. If blasting is not handled in an expert manner at all times, the Engineer reserves the right to suspend blasting and require the work to proceed without it.

Prior to blasting, the Contractor shall make his own detailed preblast survey of adjacent walks, curbs, retaining walls, house foundations, etc. to determine conditions prior to the work. Such a file of information, including photographs, may be certified in such a manner as the Contractor believes necessary since this information that may stand in his defense.

## **19.0 MEASUREMENT AND PAYMENT**

Payment for supplying, transporting and storing pipe, trenching, standard bedding, pipe installation, fittings, thrust- blocking, pipe locating wire or tape, testing, backfilling, disinfection, seeding, crop damage, regular stream crossings, tie-ins to other structures and other incidental items in this section shall be made on the basis of the unit price per lineal foot for the type and size of pipe installed. Payment will include all those items not specifically covered by another proposal. Pipe will be measured along the centerline of the pipe as installed with no deduction for valves and fittings. **Final-Cleanup is a separate pay item.**

## SECTION 15101

### WATERLINE ACCESSORIES

#### 1.0 GENERAL

The Contractor is to supply and install all valves, hydrants, blowoffs and other equipment at the locations shown on the plans in complete accordance with these specifications.

#### 2.0 GATE VALVES

All gate valves shall be the resilient seat-type, iron body, non-rising stem, fully bronze mounted, and suitable for working water pressures of not less than 200 psi for installations on PVC pipe and not less than 250 psi for installations on DI pipe. Valves shall be of standard manufacture and of the highest quality both of materials and workmanship and shall conform to the latest revision of AWWA C-509 Standard. Valves shall be furnished with flanged connections for exposed piping and push-on or mechanical joint connections for buried service. Gate valves shall have a clear water way equal to the nominal diameter, and shall be opened by turning counter-clockwise. The operating nut or wheel shall have an arrow cast in the middle, indicating the direction of opening. Each valve shall have the maker's initials, pressure rating and the year in which manufactured, cast on the body. Prior to shipment from the factory each valve shall be tested by hydraulic pressure of at least 300 pounds per square inch.

Underground valves shall be nut operated, unless otherwise shown on the plans. Valve supplier shall furnish two standard stem iron wrenches for turning nut operated valves. All underground valves which have nuts deeper than thirty inches (30") below the top of valve box shall have extended stems with nuts located within two feet (2') of valve box cap. Buried service valves shall have either epoxy-coated or tar-coated exteriors.

The valve maker is to supply the Engineer, through the bidder, within one week after award is made, complete catalogs or other material giving complete details and dimensions of valves and accessories.

Gate valves installed in underground piping systems may be installed in the vertical position for sizes to 12-inch. Gate valves 14-inch and larger shall be installed in the horizontal position with bevel gear operators unless otherwise noted on the drawings. Gear operators shall be the totally enclosed type, oil filled and designed for buried and submerged service. Gear housing shall be ductile iron. Gears shall be steel. Pinion shafts shall be stainless steel. Shaft bearings shall be Teflon with  $\frac{3}{4}$ " Ring bearings.

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### **3.0 FIRE HYDRANTS**

#### **3.1 WORK INCLUDED**

Under this Item, the Contractor shall provide all labor, tools, equipment and materials to furnish and install hydrants with gate valves as shown on the drawing and as directed by the Engineer.

#### **3.2 MATERIALS**

All fire hydrants shall have a six inch bell connection, shall have two hose outlets and one pumper connection, shall be designed for 250 pounds working pressure or 300 pounds hydrostatic pressure and shall conform to the latest specifications of the AWWA C502. All working parts shall be bronze. Both hose outlets shall be 2 1/2 inch with NST threads and the pumper outlet shall be 4 1/2 inch with NST thread. Hydrants shall be designed so that no water will be lost when they are broken off and so they can be repaired with a repair kit. Design, materials, and workmanship shall be similar and equal to the latest stock pattern ordinarily produced by the manufacturer. Length of barrel shall be such to provide a 3 1/2 foot bury depth. Working drawings and full description of hydrants shall be submitted to the Engineer before ordering. All hydrants shall have a 5 1/4 inch valve opening against pressure. The hydrants shall be Mueller or Kennedy brand or approved equal. All hydrant extensions will be the responsibility of the Contractor.

#### **3.3 PAINT**

Hydrants shall be painted one coat of red paint and two finish coats of approved paint of color directed by the Engineer. All hydrants are to receive the final coat of paint after field installation.

#### **3.4 INSTALLATION**

Hydrants shall be set at such elevations that the connecting pipe will have the same depth of cover as the distribution main. The back of the hydrant opposite the pipe connection shall be firmly wedged against one and one-half square feet or enough of the vertical face of the trench with concrete to prevent the hydrants from blowing off the line. In addition, all fittings, valves and hydrants shall be joined by the use of all-thread rods, nuts and "DUC-LUG" offsets as shown on the attached drawing to prevent movement of the hydrant. If the character of the soil is such, in the opinion of the Engineer, that the hydrant cannot be securely wedged, bridle rod collars shall be used which shall be not less than three-fourths inch stock and shall be protected by a coat of acid resistant paint.

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Not less than seven cubic feet of No. 9 stone shall be placed around the base of the hydrant to insure drainage. Before the No. 9 stone is placed and before it is backfilled the drain hole shall be inspected and thoroughly cleaned if necessary. The backfill around the hydrant shall be thoroughly compacted to the grade line in a manner satisfactory to the Engineer. Hydrants shall have the interior cleaned of all foreign matter before installation.

All hydrants will be installed with the pumper connection facing the main access road or as directed by the Engineer.

Stuffing boxes shall be tightened and the hydrants shall be inspected in open and closed position to see that all parts are in working condition.

#### **4.0 AIR VALVES**

##### **4.1 AIR RELEASE VALVES**

A valve designed to allow exhaust of small pockets of air from the water main while in use shall be installed where shown on the plans or where directed by the Engineer. The air release valve shall have a 3/4" iron pipe thread inlet, cast iron body construction, bronze trim, with all internal parts of stainless steel. The valve shall have a minimum orifice size of 3/32". Valves shall be suitable for a working water pressure of 150 PSIG. The air release valve shall be mounted on 3/4" bronze riser pipe. The riser pipe shall be connected to the water main by use of a service clamp and a corporation stop. The riser shall also have a 3/4" bronze ball valve with stainless steel handle and be suitable for a 150 PSIG working water pressure. Air release valves shall be as manufactured by APCO Models 65 or 50, or approved equal.

Air release valves will be installed in the same type of box used for meter installation. The box must allow for adequate cover over the pipe at the installation.

In locations where the air release valve can not be placed directly above the water main, such as roadway drainage ditches, then a section of service tubing shall be used to locate the valve as directed by the Engineer. The service tubing shall be installed with a continuous upward slope to eliminate air pockets. Additional payment for the tubing shall be made based on the linear foot bid for service tubing. Tubing shall also be rodded through the box to support the valve. No additional payment will be made for the tubing supports.

#### **5.0 VALVE BOXES**

All valves (gate, air release, check, etc.) installed underground shall be installed in an approved valve box. Each gate valve shall be installed in a

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vertical position with a valve box. Valve boxes shall be of a cast iron, two or three-piece, slip-type consisting of a base, a center section and a top section with a cover marked "water". Where valve box is constructed in a paved area the box shall be a screw type box. The entire assembly shall be adjustable for elevation and shall be set vertically and be properly adjusted so that the cover will be in the same plane as the finished street surface (no more than 1/2" above ground in yards or pastures or 2" in unsodded areas). The assembly must provide for the required cover over the pipe at the installation site and shall rest on concrete pads as shown in the Standard Details. The Contractor shall furnish two valve wrenches for the project.

## **6.0 BLOW-OFF ASSEMBLY**

Blow-off assembly shall be installed in accordance with the details and the specifications at locations shown on the plans and in other locations as directed by the Engineer. The gate valve is included in the unit bid price for blow-off assembly. The Contractor should refer to the Standard Details for blow-off installation.

The blowoff pipe from the main to the flush valve shall be connected to the main by means of a tee. Do not use a corporation stop for this connection. The gate valve included in the blow-off connection shall be a resilient seat gate valves in conformance with AWWA C509.

## **7.0 TAPPING SLEEVE AND VALVE**

Tapping sleeves shall be as manufactured by Mueller or approved equal, and shall be rated for a minimum working water pressure of 150 psi. Contractor shall ascertain the type and size of pipe to which the connection is to be made prior to selection. The valve shall be as specified under section 2.0 of this specification.

## **8.0 TIE IN CONNECTIONS**

All tie in connections shall include any fittings suitable to make the required connection. The fittings shall be mechanical joint, ductile iron type as specified in other sections.

## **9.0 STUB-OUT**

A stub-out shall consist of a gate valve restrained with all-thread to the main line. The valve shall be the same size as the main line and be as close to the main line as practical. The valve shall be as specified under section 2.0 of this specification. A minimum of one joint of pipe shall be laid past the valve with

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the bell end away from the valve. A cap matching the material and size of the pipe shall be placed at the end of the line.

## **10.0 MEASUREMENT AND PAYMENT**

Payment for gate valves, check valves and other special valves installed underground shall include all work necessary for a complete installation and shall include all valve stem boxes or other valve boxes and box covers. Payment will be made at the unit price bid for the type and size of valve installation. The unit price bid for blow-off assemblies shall constitute full compensation for the furnishing and installation of the complete blow-off assembly which includes the gate valve. Tapping sleeve and valve tie-in connections shall be paid as indicated in the bid schedule. Stub-outs shall be paid as indicated in the bid schedule.

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## SECTION 15102

### SPECIAL ITEMS OF CONSTRUCTION

#### 1.0 GENERAL

These specifications govern special crossings, installations and construction procedures required to deal with unusual construction items or special requirements of governing agencies.

#### 2.0 STATE HIGHWAY CROSSINGS

In all cases, these crossings will be made in compliance with the requirements of the State Highway Department. Such requirements will normally be described by the appropriate District Highway Office. In general, unless otherwise shown on the plans or directed otherwise by the ENGINEER, the crossing of all State Highways shall be accomplished by boring under the roadway. In addition, the crossing of service lines 1-1/2 inches and greater under rigid and flexible surfaced paved roads shall be accomplished by boring and jacking a casing pipe under said roadway. In certain cases, as shown on the plans, service lines of all sizes will require casing pipe installed with the crossing.

##### 2.1 OPEN TRENCH CROSSINGS

The trench shall be excavated to a minimum width that will allow the pipe installation. The trench walls shall be kept as nearly vertical as possible. The minimum specified cover above the pipe shall be maintained. The Miscellaneous Detail Drawings show the requirements for open trench crossings.

The backfill in the trench under any roads, driveways, or parking areas where the open trench method is used shall be of the type shown in the Miscellaneous Details and shall be deposited and compacted in uniform layers not to exceed the depth shown in the Miscellaneous Details.

The surface of the road, driveway, or parking area shall be replaced with the same type of material as specified under pavement replacement.

##### 2.2 BORING AND JACKING

The work is herein defined as the operations in which both the boring by auger and the jacking of the casing pipe are done mechanically and in which the diameter of the casing pipe is too small to permit hand working at the heading of

the casing pipe. Two basic methods are; (1) pushing the casing pipe into the fill or earth simultaneously as the boring auger drills out the ground; and (2) drilling the hole through the fill or earth and pushing the casing or carrying pipe into the hole after the drill auger has completed the bore.

A suitable approach trench shall be opened adjacent to the slope of the embankment, or adjacent to point of bored and jacked section as shown on the plans. The approach trench shall be long enough to accommodate the selected working room. Guide timbers or rails for keeping the casing pipe on line and grade shall be accurately set and maintained in the bottom of the approach trench and with heavy timber back-stop supports installed at the rear of the approach trench to adequately take thrust of the jacks without any movement or distortion. It is paramount to the securing of acceptable tolerance limits of workmanship in the boring and jacking operation that extreme care be taken in the setting of all guides, rails and jacks to the end that the casing pipe in final position be within the limits of acceptability for the placing and laying of the carrier pipe. The minimum cover of forty-two inches (42") under the roadway must be maintained. Additional depth may be required as shown on the plans.

In general, the diameter, thickness, style, joints and materials selected for casing pipe shall be as shown on the plans and shall be considered as "minimum" requirements, all subject to prior approval of the Engineer. In all cases, the approval for construction by agreement with the private company and/or construction permit issued by the State, County, or Municipal agency will be required before construction starts.

Steel casing pipe for road and railroad crossings using the boring and jacking method shall be steel, plain end, uncoated and unwrapped, and shall be furnished in at least 18-foot lengths. Steel pipe shall meet the requirements of ASTM Specification A-120 and AWWA C200. Pipes up to and including 4 inches in diameter shall be Schedule 40. Pipe larger than 4 inches shall have a wall thickness equal to or greater than 0.312 inches under railroads and 0.250 for all other uses. The inside diameter of all casing pipes shall be a minimum of four (4") inches greater than the largest outside diameter of the carrier pipe, joint or coupling.

The steel casing pipe shall be bored and/or jacked in place at the locations as shown on the plans or as directed by the Engineer. All joints between lengths shall be solidly welded with a smooth nonobstructing joint inside. Any field welding shall be performed by a certified welder and shall be in accordance with AWWA C206. The casing pipe may be extended beyond the boring limits by open trenching as shown in the Standard Details. This would apply when the casing is required from right-of-way to right-of-way or ditch line to ditch line. Open trenching at jacked or bored locations will be allowed no closer than 3 feet from edge of pavement.

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Positioning guides (insulators) shall be utilized on all carrier pipe which is within the casing pipe. Positioning shall be accomplished by the use of prebuilt spacers such as those manufactured by CALPICO or an approved equal. The Contractor shall submit the type of position guide proposed for use for the approval of the Engineer. Spacing of the positioning guides shall be in accordance with the Standard Drawings.

The ends of the casing pipe shall be plugged and made watertight in a manner acceptable to the Engineer prior to backfilling. Casing seals as manufactured by Pipeline Seal & Insulator, Inc. (PSI), Advance Products & Systems, Inc. (APS) or equal shall be used.

Where road crossings are made using plastic pipe or copper, the location of joints under the roadway should be avoided by using lengths of adequate dimension for the crossing. This principle also applies to other types of pipe where sufficiently long lengths are available.

### **3.0 RAILROAD CROSSINGS**

At all railroad crossings, cover pipe (casing) for water lines (carrier pipe) shall be jacked or pushed beneath tracks and the carrier pipe jointed and pushed through the cover pipe. Detailed drawings of railroad crossings including the length of casing and depth below track are shown in the plans. Contractor shall obtain and pay for services of a representative of the railroad to direct the Contractor's operations while on the railroad property when required by the railroad.

### **4.0 STREAM CROSSINGS**

#### **4.1 NO-FLOW CONDITION**

Where required on the plans or instructed by the Engineer, the Contractor shall construct a special creek crossing as shown in the Miscellaneous Detail Drawings. Crossings shall be scheduled for construction in times of no flow or very low flow, if practicable, otherwise the stream shall be directional bored. Concrete shall not be placed under water and Contractor shall provide suitable pumps to keep water out of trench excavation during stream crossing construction. Special creek crossings shall be designated as Type A or Type B as contained in the Miscellaneous Detail Drawings.

#### **4.2 NORMAL EARTHEN STREAM CROSSING**

Where the stream crossing is made in earth or other beds which are stable (no casing or anchorage required), then the pipe will be laid in a narrow trench at the depth specified in the Miscellaneous Details to maintain the required cover

between pipe and stream bed. Initial backfill will be mechanically compacted. Trench backfill in any stream crossing area from one foot (1q) above the top of the pipe shall consist of trench excavated rock, if available. No extra payment will be made above normal construction for this type of creek crossing.

#### **4.3 BLUE LINE STREAM CROSSINGS**

All crossing of streams that appear as a blue line on a USGS 7.5 minute topographical map shall be accomplished in accordance with:

#### **GENERAL CERTIFICATION NATIONWIDE PERMIT #12 UTILITY LINE BACKFILL AND BEDDING**

This document is bound in front of the specifications. The Contractor shall read, understand and comply with the requirements and procedures.

Stream size, for purposes of this specification, is differentiated as large or small. A stream is classified as small when the distance across the stream channel at top of banks is 15 L.F. or less. A stream is classified as large when this measurement is greater than 15 L.F.

It is the intent of the plans to identify a stream crossing at each blue line stream. Small stream crossings may frequently be accomplished by trenching when the stream is in a no-flow condition. If the stream is in a flow condition, irregardless of the size classification, the crossing shall be accomplished by directional boring or other method that complies with the General Certification and is approved by the Engineer. Specific details for stream crossings are contained in the Miscellaneous Detail Drawings.

See Section 15 for Basis of Payment.

#### **4.4 BYPASS TEST METER**

At locations as indicated on the plans, where a new creek crossing is installed, a bypass test meter shall be installed. The meter shall be installed as a normal water meter with taps on each side of a valve, as shown in the Miscellaneous Detail Drawings.

#### **5.0 RIVER OR LAKE CROSSINGS**

Crossings in rivers or lakes where the pipe cannot be laid in a trench shall normally be made with ductile iron pipe having ball and socket joints or polyethylene pipe or directional bored as indicated on the Drawings. Details for any required installations of this type including pipe required; number, size and location of anchors; and, installation technique are shown in the plans and

Miscellaneous Detail Drawings. See Section 15100 for installation requirements.

## **6.0 BRIDGE CROSSINGS**

Wherever possible bridges will not be utilized for stream crossings. However, where it is necessary for the water line to be attached to bridges, the pipe shall be securely fastened to bridge stringers or beams using supports as dimensioned and located in the plans. The carrier pipe shall be insulated with Vermiculite or other approved material to prevent freezing. Expansion joints to allow for movement of the bridge will be required as shown on the plans.

## **7.0 FREE BORE**

### **7.1 WORK INCLUDED**

Under this item, the Contractor shall provide all labor, tools, equipment and materials to install the free bore at all bituminous and concrete driveways and/or county road unless otherwise directed by the Engineer.

### **7.2 INSTALLATION**

The Contractor shall provide a jacking pit and bore through the earth at the proper line and grade. The augured hole shall be as small as practical to allow the carrier pipe to pass through.

This bid item does not apply to service tubing.

### **7.3 MEASUREMENT AND PAYMENT**

The unit price bid per linear foot for free boring, as measured from edge of pavement to edge of pavement, regardless of size of bore, shall constitute full compensation for the work specified.

## **8.0 WATER LINE AND SEWER LINE SEPARATION**

### **8.1 GENERAL**

Wherever sewer lines cross, or are adjacent to, each other, special precautions shall be taken.

### **8.2 PARALLEL WATER AND SEWER LINES**

Water lines must, if possible, be located a minimum lateral distance of 10 feet from any existing or future sewer lines measured from outside diameters. Where

water lines and sewer lines must be placed in the same trench, the water line must be located on a shelf, 2 feet above and 2 feet to the side of the sewer line. Whenever this condition cannot be met, and upon direction from the Engineer, the water line shall be uncovered and encased with concrete per the standard encasement detail.

### **8.3 CROSSING WATER AND SEWER LINES**

Wherever sewer lines and water lines cross, it is desirable, if practical, that the sewer line be at least 24 inches below the water line.

Where it is not practical to provide such a separation, care shall be taken to ascertain that the existing water line or existing sewer line is in good sound condition and that no evidence of joint leakage is known in that vicinity. If any such evidence does exist, the existing line shall be exposed by the Contractor at least 10 feet each side of the new pipe crossing, carefully examined and any defects positively corrected. The Owner will arrange for examining and correcting any defects in the existing lines, but the Contractor shall cooperate in every way possible.

When the water line must be below or less than 2 feet above the sewer line, the Contractor shall encase the water line 5 feet in each direction from the crossing as directed by the Engineer. This encasement should only be accomplished when directed by the Engineer and shall be accomplished in accordance with the details shown on the drawings. The encasement is a separate pay item.

## **9.0 CLEANUP, SEEDING AND SODDING**

### **9.1 GENERAL**

Upon completion of the installation of the work, the Contractor shall remove all debris and surplus construction materials resulting from the work. The Contractor shall fine grade all the disturbed surfaces around the area of the work in a uniform and neat manner leaving the construction area in a condition as near as possible to the original ground line or to the lines as directed by the Engineer. The Contractor shall provide effective cleanup of the work as it progresses. Procrastination of cleanup will not be tolerated.

### **9.2 ROUGH GRADE WORK AND CLEANUP**

Rough Grade Work and Cleanup (Rough Cleanup) shall be defined to include the final backfill and windrowing of the ditch line, disposal of excess excavated material, level grading of the disturbed areas adjacent to the ditch line, filling and

leveling street and driveway cuts, cleaning up and removal of rubbish, repair of fences and structures, and any other such work that may be required to result in a neat, orderly project area. Rough Cleanup shall be performed as other construction progresses and must be completed within **one week** of the adjacent pipeline construction.

Rough Cleanup is not a separate pay item. The cost for this work shall be included in the unit bid price for water lines. If Rough Cleanup is not performed as specified, the Owner, after notification to the Contractor, will refuse payment for additional pipeline installation until the Rough Cleanup is accomplished.

### 9.3 FINAL CLEANUP

Final cleanup, grade work and seeding shall be performed on each line when backfilled trenches have had adequate time to settle, but at least within **30 days** from the date each line is constructed. Final grade work and seeding on Kentucky Transportation Cabinet rights-of-way shall be done in accordance with said Cabinet's specifications and the permit granted to the Owner specifically for this project.

Where work was performed on private property in lawns, earth of good quality, free from rock shall be spread over the disturbed area and graded and compacted to match adjacent ground contours. The graded and seed bed area shall be prepared with a power landscape rake and further hand raked if necessary, until smooth and free from rock, potholes, and bumps. The disturbed area shall then be seeded with the seed variety used on the original lawn (e.g., a bluegrass lawn shall be reseeded with bluegrass seed). In the case of no preference by the Owner, the mixture of grasses shall consist of one-third (1/3) Rye grass, one-third (1/3) Kentucky Fescue and one-third (1/3) Kentucky Bluegrass by weight and shall be applied in accordance with the supplier's recommendations. The area shall be fertilized with 12-12-12 fertilizer applied at a rate of 6 pounds per 1,000 square feet of area. After the seed and fertilizer have been applied, the Contractor shall then lightly cover the seed by use of a drag or other approved device. The seeded area shall then be covered with clean straw to a depth of approximately one (1) inch.

Where work was performed on private property and not in lawns the trench line shall be graded and filled if necessary to match adjacent contours. All rock larger than 1-1/2+ in diameter shall be removed from the disturbed area. In general, pasture and fallow land shall be fertilized and seeded with Kentucky 31 Fescue and plowed fields shall be left unseeded, however, the desire of each property owner shall govern regarding seeding. The entire pipeline length that is seeded shall be strawed.



In all cases on private property the rate of seed and fertilizer application shall be that recommended by the material supplier or the University of Kentucky Cooperative Extension Service for new plantings of the variety of grass seed used.

If the trench line settles following final grade work or if grass seed fails to germinate within a reasonable time, the Contractor shall regrade or reseed the area in question as specified above and as directed by the Engineer.

Final cleanup will not constitute a separate pay item and shall be included in the unit bid price for pipe.

## **10.0 PAVEMENT AND OTHER STRUCTURE REPLACEMENT**

The Contractor shall replace all pavement cut or disturbed, with pavement similar in all respects to existing pavement in accordance with the Standard Details and at those locations approved by the Engineer. Every effort shall be made to avoid cutting the pavement. In restoring pavement, new pavement is required, except that granite paving blocks, sound brick or sound asphalt paving blocks may be reused. No permanent paving shall be placed within thirty (30) days after the backfilling has been completed. All concrete and asphalt paving materials shall be in conformance with the Miscellaneous Details shown in the plans. The pipeline trench through all paved areas (parking lots, driveways, roads, etc.) shall be fully backfilled with crushed stone.

### **10.1 CLASSIFICATIONS OF PAYMENTS**

- A. Concrete Pavement Replacement - This pavement replacement shall be Portland cement concrete construction in accordance with the requirements shown in the Standard Details. It shall include all pavement replacement on concrete surfaced roads, concrete driveways, concrete sidewalks and concrete parking areas, both public and private.
- B. Heavy-Duty Bituminous Pavement Replacement - This type of asphalt pavement replacement shall be bituminous concrete surface over concrete base in accordance with the details. This type of pavement replacement shall be used on all heavily trafficked roads having an existing pavement greater than 2", whether public or private, or in other locations as directed by the Engineer.
- C. Light-Duty Bituminous Pavement Replacement - This type of pavement replacement shall be bituminous concrete constructed in accordance with the details. This item shall include all light-duty

bituminous concrete roadways, bituminous driveways and bituminous parking lots, both public and private.

- D. Crushed Stone Surface Replacement - This type of surface replacement shall include all graveled roadways, driveways, parking areas, or other gravel surfaced areas, both private and public. This type of surfacing may also be required as a base course for other pavement replacement.

## 10.2 MATERIALS

The crushed stone backfill as noted on the drawings shall be dense graded aggregate per Kentucky Department of Highways Specifications or as noted on the Drawings. The Contractor shall continuously be responsible for the maintenance of the aggregate and the surface of the trenches until the pavement replacement is completed.

Portland cement concrete for pavement replacement shall contain a minimum of 6 sacks of cement per cubic yard, the maximum free water content shall be 6 gallons per sack of cement, the slump shall be between 2 and 4 inches, and the concrete shall have minimum 28-day compression strength of at least 3,500 PSI. Cement, aggregate and water shall be described in these specifications for Class "A" concrete. A set of cylinders shall be made and tested for each 25 cubic yards of concrete placed, or fraction thereof, to supply representative sampling and testing of the concrete, upon the direction of the Engineer. The Contractor shall produce a broomed, or burlaped uniformly smooth and nonskid surface, consistent with the existing pavement.

Bituminous materials and mixes shall be consistent with the recommended practice of the asphalt institute and it shall conform to the requirements of the Kentucky Department of Highways for prime coat and Class 1 bituminous concrete. The bituminous concrete shall consist of a binder or base course and a surface course.

## 10.3 INSTALLATION OF PAVEMENT REPLACEMENT

The Contractor shall cut back the surfacing adjacent to the trench for 12 inches on both sides of the trench and shall cut down the dense graded aggregate he has placed to a depth required for either type of pavement replacement. The resulting surface shall be rolled to yield a smooth, dense surface and a uniform depth.

The concrete shall be placed in accordance with standard practice, with the welded wire mesh if required in proper position and thoroughly vibrated into place. The Contractor shall produce a surface consistent with the existing pavement. The Contractor shall apply a liquid curing component, sprayed on the surface of the concrete, and shall provide adequate protection to the pavement until it has set.

For bituminous concrete, the Contractor shall clean and broom the prepared surface, then apply the prime coat at the rate of 0.20 to 0.25 gallons per square yard, with a pressure distributor or approved pressure spray method. When the prime coat has become tacky but not dry and hard, the bituminous binder course, or base course, whichever applies, shall be placed and compacted. The Contractor shall then apply the surface course. It is recommended, but not required, that the base course remain in place for approximately one week before placing the surface course. The finished course shall be compacted and the completed surface shall match the grades and slopes of the adjacent existing surfacing and be free of offsets, depressions, raised places and all other irregular surfaces.

#### 10.4 SEASONAL AND WEATHER LIMITATIONS FOR PAVEMENT REPLACEMENT

In the event the progress and scheduling of the work is such that the bituminous pavement replacement would occur in the winter months, during adverse cold weather and/or during such times the asphalt plants are not in operation, then the final pavement replacement shall be postponed until favorable weather occurs in the spring and the asphalt plants resume normal operations. No bituminous concrete shall be laid when the temperature is below 40°F. except by written permission of the Engineer.

Concrete pavement shall not be placed when the temperature is such that the pavement placed will freeze before it has had adequate time to set and shall be placed in conformance with the temperature conditions approved by the Engineer.

The Contractor shall be responsible for replacement of pavement which he has placed which has been damaged by cold weather or freezing without additional compensation.

In the meantime, the Contractor will be required to maintain the temporary surfacing until the permanent pavement is placed. Such labor, materials and equipment as is required for temporary maintenance of the streets, roadways and driveways shall be provided at the Contractor's expense and is not a pay item. The Contractor will be required to use a cold mix asphaltic concrete as a temporary surface for trenches under heavy traffic use.

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## 10.5 GUARANTEE

The one year guarantee as specified in the contract documents is also applicable to trench settlement and pavement replacement.

## 11.0 **SIDEWALK AND DRIVEWAY REPLACEMENT**

Sidewalks and driveways will be replaced if damaged by the Contractor in any way. Payment will be made for those pavements necessarily damaged by the line installation in accordance with the Standard Details. No pavements are to be replaced over a backfilled trench for at least 30 days after filling. Pavements damaged otherwise are to be replaced immediately at the Contractor's expense.

Materials and dimensions are to be at least equal to existing pavement and are to conform with the Standard Details.

## 12.0 **PAYMENT FOR WATER**

All water used from the Utility shall be metered with meters supplied by the Contractor. The Contractor shall pay for such water monthly at the rates published by the water utility. Unmetered water lost through water line breakage shall also be paid at the rates published by the water utility. The quantity lost shall be computed on the basis of a discharge velocity of 7 feet/second, the diameter of the line, and the estimate duration of free uncontrolled discharge.

## 13.0 **FINAL CLEAN-UP**

The Contractor shall provide effective cleanup of the work as it progresses. Procrastination of cleanup will not be tolerated. At the time of final inspection, no trenches shall show any undue evidence of the previous construction. All areas shall be left free of ruts due to construction equipment and shall have a clean and neat appearance without rubble or debris. The areas shall not be mounded up and shall be completely restored, and all yards and fields shall be reseeded so land may be cultivated, mowed, etc. Straw and fertilizing shall accompany the seeding in accordance with Item 9 - Cleanup, Seeding and Sodding of this section. If necessary to hasten proper restoration of terraces, principally along ditch lines, the Contractor shall sod such areas at the Engineer's direction. For all line segments, final cleanup shall be performed within 30 days from day of installation. **Final Clean-Up is a separate pay item.**

## 14.0 **PROTECTION OF ADJACENT LANDSCAPE**

Reasonable care shall be taken during construction of the water lines to avoid damage to vegetation. Ornamental shrubbery and tree branches shall be

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temporarily tied back, where appropriate, to minimize damage. Trees which receive damage to branches shall be trimmed of those branches to improve the appearance of the tree. Tree trunks receiving damage from equipment shall be treated with a tree dressing.

In the course of construction, the Contractor may deflect horizontal alignment of the water line to avoid trees and to keep from damaging their roots. The Contractor shall be fully responsible for settling all claims by private property owners concerning damage to trees and shrubs.

## **15.0 MEASUREMENT AND PAYMENT**

15.1 Payment for crushed stone, black top and concrete pavement replacement will not be based on the quantities purchased by the Contractor. Payment for surfacing will be paid on the basis of linear feet installed in accordance with the Standard Drawings with a maximum width of pipe diameter plus 24 inches. Crushed stone or concrete sub-grade under paving and crushed stone trench backfill shall be included in paving price and not paid for separately. Any additional cost estimated by the Contractor must be included in the cost of pipe in place.

### **15.2 STREAM CROSSINGS**

15.2.1 No-Flow Crossings. Payment for no-flow stream crossings delineated on the plans (excluding directional bores) will be at the unit price bid per lineal foot for that item and shall include encasement pipe, crushed stone, concrete, solid rock excavation and all other work necessary for a satisfactory installation. The carrier pipe installed in the casing shall be paid separately under the unit price bid for pipe installed.

15.2.2 Directional Bores. Payment shall be %Lump Sum+ for specific individual Bid Items for Directional Bores of large stream crossings and/or some streams classified as small where the physical crossing characteristics differ significantly from the other small streams in the project. Determination of the required length to accomplish the bore is the responsibility of the Contractor.

Payment shall be %Each+ for directional bores of small stream crossings with the exception of individual small streams covered in a specific bid item. All small stream crossings in the project shall be considered the same for payment regardless of width (up to 15 L.F.) or depth. It is the responsibility of the Contractor to determine an average unit price that will be used for payment in each instance a blue line stream is crossed. Small stream crossings may be added, for extended lines beyond those shown on the plans, at the same unit price providing the crossings are reasonably similar to those in the initial project.

Stream crossings may be deleted, without affecting the unit price, if a line is deleted or shortened.

Payment shall include the directional bore, encasement pipe if specified on the plans, the carrier pipe as specified on the plans and the transition fittings. Payment limits are shown on the Miscellaneous Drawing for Directional Bore for Stream Crossings.

Payment for Bypass Test Meter or Leak Detection Test Meter shall include a meter setting (5/8" x 3/4") and taps on both sides of a gate valve. The gate valve, sized for the line, is a separate pay item, covered in Section 15101.

15.3 Additional costs for normal earth creek crossings shall be included in the unit price bid for pipe installation and no special payment will be made for these crossings.

15.4 Casing pipe unit price bids shall include the cost of boring or jacking under railroads and highways and shall include the cost of steel casing pipe. Carrier pipe will be paid for under the unit price bid for installing lines as described in Article 2.2 of this section.

15.5 Sidewalk /driveway crossings when included as a bid item shall include the extra cost of free-boring or the removal and disposal of existing pavement and replacement with new construction. Payment for pavement replacement will be on the basis of linear feet installed. Width for payment for a standard trench crossing is shown in the Standard Details. When sidewalk/driveway crossings or replacement are not included as a bid item, their costs shall be considered subsidiary to the bid for pipe installation.

## SECTION 15103

### PRESSURE TESTING AND STERILIZATION

#### 1.0 TESTING

1.1 After the pipe has been laid, all newly laid pipe or any valved section thereof shall be subjected to a hydrostatic pressure test of at least 1.5 times the working pressure at the point of testing, but in no case less than that required by other sections herein. In addition, a leakage test shall be conducted concurrently with the pressure test.

#### 1.2 PRESSURE TEST

1.2.1 Test pressure shall:

1.2.1.1 Not be less than 1.25 times the working pressure at the highest point along the test section.

1.2.1.2 Not exceed pipe or thrust restraint design pressures at the lowest point along the test section.

1.2.1.3 Be of at least six (6) hour duration unless otherwise stipulated by owner.

1.2.1.4 Not vary by more than plus or minus 5 psi.

1.2.1.5 Not exceed twice the rated pressure of the valves or hydrants when the pressure of the test section includes closed gate valves or hydrants.

1.2.1.6 Not exceed the rated pressure of resilient seat butterfly valves when used.

1.2.2 Each valved section of pipe shall be filled with water slowly and the specified test pressure, based on the elevation of the lowest point of the line or section under test and corrected to the elevation of the test gauge, shall be applied by means of a pump connected to the pipe in a manner satisfactory to the Engineer.

1.2.3 Before applying the specified test pressure, air shall be expelled completely from the pipe, valves, and hydrants. If permanent air vents are not located at all high points, the contractor shall install corporation cocks at such points so that the air can be expelled as the line is filled with water. After all the air has been expelled, the corporation cocks shall be closed and the test

pressure applied. At the conclusion of the pressure test, the corporation cocks shall be removed and plugged, or left in place at the discretion of the Engineer.

1.2.4. All exposed pipe, fittings, valves, hydrants, and joints shall be examined carefully during the test. Any damage or defective pipe, fittings, valves or hydrants that are discovered following the pressure test shall be repaired or replaced with sound material and the test shall be repeated until it is satisfactory to the Engineer.

### 1.3 LEAKAGE TESTING

1.3.1 Leakage shall be defined as the quantity of water that must be supplied into the newly laid pipe, or any valved section thereof, to maintain pressure within 5 psi of the specified test pressure after the air in the pipeline has been expelled and the pipe has been filled with water.

1.3.2 No pipe installation will be accepted if the leakage is greater than that determined by the following formula:

$$L = ND(P \text{ exp } 1/2)/7400$$

in which L is the allowable leakage, in gallons per hour; N is the number of joints in the length of pipeline tested; D is the nominal diameter of the pipe, in inches; and P is the average test pressure during the leakage test, in pounds per square inch gauge.

1.3.2.1 Allowable leakage at various pressures is shown in TABLE K-1.

1.3.2.2 When testing against closed metal-seated valves, an additional leakage per closed valve of 0.0078 gal/hr/in of nominal valve size shall be allowed.

1.3.2.3 When hydrants are in the test section, the test shall be made against the closed hydrant.

1.3.3 Acceptance shall be determined on the basis of allowable leakage. If any test of pipe laid discloses leakage greater than that specified in Section 2.3.2 the contractor shall, at his own expense, locate and repair the defective material until the leakage is within the specified allowance.

1.3.3.1 All visible leaks are to be repaired regardless of the amount of leakage.



**TABLE K-1  
 ALLOWABLE LEAKAGE PER 1,000 FT. OF PIPELINE (gph)**

Avg. Test Pressure psi	Nominal Pipe Diameter (Inches)								
	2	3	4	6	8	10	12	14	16
450	0.32	0.48	0.64	0.95	1.27	1.59	1.91	2.23	2.55
400	0.30	0.45	0.60	0.90	1.20	1.50	1.80	2.10	2.40
350	0.28	0.42	0.56	0.84	1.12	1.40	1.69	1.97	2.25
300	0.26	0.39	0.52	0.78	1.04	1.30	1.56	1.82	2.08
275	0.25	0.37	0.50	0.75	1.00	1.24	1.49	1.74	1.99
250	0.24	0.36	0.47	0.71	0.95	1.19	1.42	1.66	1.90
225	0.23	0.34	0.45	0.68	0.90	1.13	1.35	1.58	1.80
200	0.21	0.32	0.43	0.64	0.85	1.06	1.28	1.48	1.70
175	0.20	0.30	0.40	0.59	0.80	0.99	1.19	1.39	1.59
150	0.19	0.28	0.37	0.55	0.74	0.92	1.10	1.29	1.47
125	0.17	0.25	0.34	0.50	0.67	0.84	0.91	1.18	1.34
100	0.15	0.23	0.30	0.45	0.60	0.75	0.90	1.05	1.20

Avg. Test Pressure psi	Nominal Pipe Diameter (Inches)							
	18	20	24	30	36	42	48	54
450	2.87	3.18	3.82	4.78	5.73	6.69	7.64	8.60
400	2.70	3.00	3.60	4.50	5.41	6.31	7.21	8.11
350	2.53	2.81	3.37	4.21	5.06	5.90	6.74	7.58
300	2.34	2.60	3.12	3.90	4.68	5.46	6.24	7.02
275	2.24	2.49	2.99	3.73	4.48	5.23	5.98	6.72
250	2.14	2.37	2.85	3.56	4.27	4.99	5.70	6.41
225	2.03	2.35	2.70	3.38	4.05	4.73	5.41	6.03
200	1.91	2.12	2.55	3.19	3.82	4.46	5.09	5.73
175	1.79	1.98	2.38	2.98	3.58	4.17	4.77	5.36
150	1.66	1.84	2.21	2.76	3.31	3.86	4.41	4.97
125	1.51	1.68	2.01	2.52	3.02	3.53	4.03	4.53
100	1.35	1.50	1.80	2.25	2.70	3.15	3.60	4.05

## **2.0 STERILIZATION**

### **2.1 GENERAL**

It is the intent of this section to present essential procedures for disinfecting new and repaired water mains. The section is patterned after AWWA C651. The basic procedure comprises:

2.1.1 Preventing contaminating materials from entering the water mains during construction or repair and removing by flushing materials that may have entered the water main.

2.1.2 Disinfecting any residual contamination that may remain.

2.1.3 Determining the bacteriologic quality by laboratory test after disinfection.

### **2.2 PREVENTIVE MEASURES DURING CONSTRUCTION**

2.2.1 Precautions shall be taken to protect pipe interiors, fittings, and valves against contamination. Pipe delivered for construction shall be strung so as to minimize entrance of foreign material. When pipe laying is not in progress, as, for example, at the close of the day's work, all openings in the pipe line shall be closed by water tight plugs. Joints of all pipe in the trench shall be completed before work is stopped. If water accumulates in the trench, the plugs shall remain in place until the trench is dry.

If dirt, that, in the opinion of the Engineer, will not be removed by the flushing operation (Article 3.3) enters the pipe, the interior of the pipe shall be cleaned and swabbed as necessary, with a five (5%) percent hypochlorite disinfecting solution.

2.2.2 Gaskets and Joints - No contaminated material or any material capable of supporting prolific growth of micro-organisms shall be used for sealing joints. Gaskets shall be handled in such a manner as to avoid contamination. Gasket packing materials must conform to AWWA standards. The lubricant used in the installation of sealing gaskets shall be suitable for use in potable water. It shall be delivered to the job in enclosed containers and shall be kept clean.

### **2.3 PRELIMINARY FLUSHING**

The main shall be flushed prior to disinfection. It is recommended that the flushing velocity be not less than 2.5 ft/sec. The rate of flow required to produce

this velocity in various diameters is shown in Table K-2. No site for flushing should be chosen unless it has been determined that drainage is adequate at the site.

**TABLE K-2**  
**REQUIRED OPENINGS TO FLUSH PIPELINES**  
(40-psi Residual Pressure)

Pipe Size (in)	Flow Required to Produce	Orifice Size (in)	Number	Hydrant Outlet Nozzles
	2.5 fps Velocity (gpm)			Size (in)
4	100	15/16	1	2 1/2
6	220	1 3/8	1	2 1/2
8	390	1 7/8	1	2 1/2
10	610	2 5/16	1	2 1/2
12	880	2 13/16	1	2 1/2
14	1,200	3 1/4	2	2 1/2
16	1,565	3 5/8	2	2 1/2
18	1,980	4 3/16	2	2 1/2

## 2.4 FORM OF CHLORINE FOR DISINFECTION

The most common forms of chlorine used in the disinfecting solutions are liquid chlorine (gas at atmospheric pressure), calcium hypochlorite granules, sodium hypochlorite solutions.

### 2.4.1 Liquid Chlorine

2.4.1.1 Use: Liquid chlorine shall be used only when suitable equipment is available and only under the direct supervision of a person familiar with the physiological, chemical, and physical properties of this element and who is properly trained and equipped to handle any emergency that may arise. Introduction of chlorine-gas directly from the supply cylinder is unsafe and shall not be permitted.

NOTE: The preferred equipment consists of a solution fed chlorinator in combination with a booster pump for injecting the chlorine-gas water mixture into the main to be disinfected. Direct feed chlorinators are not recommended because their use is limited to situations where the water pressure is lower than the chlorine cylinder pressure.

### 2.4.2 Hypochlorites

2.4.2.1 Calcium Hypochlorite: Calcium hypochlorite contains seventy (70%) percent available chlorine by weight. It is either granular or tabular in form. The tablets, 6-8 to the ounce, are designed to dissolve slowly in water. Calcium hypochlorite is packaged in containers of various types and sizes ranging from small plastic bottles to one hundred (100) pound drums.

A chlorine-water solution is prepared by dissolving the granules in water in the proportion requisite for the desired concentration.

2.4.2.2 Sodium Hypochlorite: Sodium hypochlorite is supplied in strengths from five and one-quarter (5.25%) to sixteen (16%) percent available chlorine. It is packaged in liquid form in glass, rubber, or plastic containers ranging in size from one (1) quart bottles to five (5) gallon carboys. It may also be purchased in bulk for delivery by tank truck.

The chlorine-water solution is prepared by adding hypochlorite to water. Product deterioration must be reckoned with in computing the quantity of sodium hypochlorite required for the desired concentration.

2.4.2.3 Application: The hypochlorite solutions shall be applied to the water main with a gasoline or electrically powered chemical feed pump designed for feeding chlorine solutions. For small applications, the solutions may be fed with a hand pump, for example, a hydraulic test pump. Feed lines shall be of such material and strength as to withstand safely the maximum pressures that may be created by the pumps. All connections shall be checked for tightness before the hypochlorite solution is applied to the main.

## 2.5 METHODS OF CHLORINE APPLICATION

2.5.1 Continuous Feed Method: This method is suitable for general application.

2.5.1.1 Water from the existing distribution system or other approved sources of supply shall be made to flow at a constant, measured rate into the newly-laid pipe line. The water shall receive a dose of chlorine, also fed at a constant, measured rate. The two rates shall be proportioned so that the chlorine concentration in the water in the pipe is maintained at a minimum of 50 mg/l available chlorine. To assure that this concentration is maintained, the chlorine residual should be measured at regular intervals in accordance with the procedures described in the current edition of Standard Methods and AWWA M12--Simplified Procedures for Water Examination.

NOTE: In the absence of a meter, the rate may be determined either by placing a pitot gauge at the discharge or by measuring the time to fill a container of known volume.

TABLE K-3 gives the amount of chlorine residual required for each one hundred (100) feet of pipe of various diameters. Solutions of one (1%) percent chlorine may be prepared with sodium hypochlorite or calcium hypochlorite. The latter solution requires approximately one (1) pound of calcium hypochlorite in eight and five tenths (8.5) gallons of water.

**TABLE K-3  
 CHLORINE REQUIRED TO PRODUCT 50 Mg/l CONCENTRATION  
 IN 100 FT. OF PIPE (BY DIAMETER)**

Pipe Size (in)	100 Percent Chlorine (lb)	1 Percent Chlorine Solutions (gal)
4	0.027	0.33
6	0.061	0.73
8	0.108	1.30
10	0.170	2.04
12	0.240	2.88

2.5.1.2 During the application of the chlorine, valves shall be manipulated to prevent the treatment dosage from flowing back into the line supplying the water. Chlorine application shall not cease until the entire main is filled with the chlorine solution. The chlorinated water shall be retained in the main for at least twenty-four (24) hours during which time all valves and hydrants in the section treated shall be operated in order to disinfect the appurtenances. At the end of this twenty-four (24) hour period, the treated water shall contain no less than 25 mg/l chlorine throughout the length of the main.

2.5.2 Slug Method: This method is suitable for use with mains of large diameter for which, because of the volumes of water involved, the continuous feed method is not practical.

2.5.2.1 Water from the existing distribution system or other approved source of supply shall be made to flow at a constant, measured rate (see Article 2.5.1.1) into the newly laid pipe line. The water shall receive a dose of chlorine also fed at a constant, measured rate. The two rates shall be proportioned so that the concentration in the water entering the pipe line is maintained at no less than 300 mg/l. The chlorine shall be applied continuously and for a sufficient period to develop a solid column or "slug" of chlorinated water that will, as it passes along the line, expose all interior surfaces to a concentration of at least 300 mg/l for at least three (3) hours. The application shall be checked at a tap near the upstream end of the line by chlorine residual measurements.

2.5.2.2 As the chlorinated water flows past tees and crosses, related valves and hydrants shall be operated as to disinfect appurtenances.

## 2.6 FINAL FLUSHING

2.6.1 Clearing the Main of Heavily Chlorinated Water. After the applicable retention period, the heavily chlorinated water shall not remain in prolonged contact with the pipe. This water shall be flushed from the main until the chlorine concentration in the water leaving the main is no higher than that generally prevailing in the system, or less than 1 mg/l. Chlorine residual determination shall be made to ascertain that the heavily chlorinated water has been removed from the pipe line.

2.6.2 Disposing of Heavily Chlorinated Water. The environment into which the chlorinated water is to be discharged shall be inspected. If there is any possibility that the chlorinated discharge will cause damage to the environment, then a neutralizing chemical shall be applied to the water to be wasted to neutralize thoroughly the chlorine residual remaining in the water. (See Appendix B of ANSI/AWWA C651 for neutralizing chemicals.) Federal, state, provincial, and local regulatory agencies should be contacted to determine special provisions for the disposal of heavily chlorinated water.

## 2.7 BACTERIOLOGIC TESTS

2.7.1 After final flushing, and before the water main is placed in service, a sample or samples shall be collected from the end of the line and tested for bacteriologic quality and shall show the absence of coliform organisms. If the number and frequency of samples is not prescribed by the public health authority having jurisdiction, at least one sample shall be collected from chlorinated supplies where a chlorine residual is maintained throughout the new main. From unchlorinated supplies at least two samples shall be collected at least twenty-four (24) hours apart.

2.7.2 Samples for bacteriologic analysis shall be collected in sterile bottles treated with sodium thiosulphate. No hose or fire hydrant shall be used in collection of samples. A suggested sampling tap consists of a standard corporation cock installed in the main with a copper tube gooseneck assembly. After samples have been collected, the gooseneck assembly may be removed, and retained for future use.

## 2.8 REPETITION OF PROCEDURE

If the initial disinfection fails to produce satisfactory samples, disinfection shall be repeated until satisfactory samples have been obtained. The tablet method cannot be used in these subsequent disinfections. When the sample tests indicate that disinfection has been effective, the main may be placed in service.

## 2.9 PROCEDURE AFTER CUTTING INTO OR REPAIRING EXISTING MAINS

The procedures outlined in this Article apply primarily when mains are wholly or partially dewatered. Leaks or breaks that are repaired with clamping devices while the mains remain full of water under pressure present little danger of contamination and require no disinfection.

2.9.1 Trench "Treatment": When an old line is opened, either by accident or by design, the excavation will likely be wet and may be badly contaminated from nearby sewers. Liberal quantities of hypochlorite applied to open trench areas will lessen the danger from such pollution. Tablets have the advantage in such a situation because they dissolve slowly and continue to release hypochlorite as water is pumped from the excavation.

2.9.2 Main Disinfection: The following procedure is considered as a minimum that may be used.

2.9.2.1 Swabbing With Hypochlorite Solution: The interior of all pipe and fittings used in making the repair (particularly couplings and tapping sleeves) shall be swabbed with a five (5%) percent hypochlorite solution before they are installed.

2.9.2.2 Flushing: Thorough flushing is the most practical means of removing contamination introduced during repairs. If valving and hydrant locations permit, flushing from both directions is recommended. Flushing shall be started as soon as the repairs are completed and continued until discolored water is eliminated.

2.9.2.3 Slug Method: Where practicable, in addition to the procedures of Article 3.9.2.1, a section of main in which the break is located shall be isolated, all service connections shut off, and the section flushed and chlorinated as described in Article 3.5.2, except that the dose may be increased to as much as 500 mg/l, and the contact time reduced to as little as one-half (1/2) hour. After chlorination, flushing shall be resumed and continued until discolored water is eliminated.

2.9.3 Sampling: Bacteriologic samples shall be taken after repairs to provide a record by which the effectiveness of the procedures used can be determined. If

the direction of flow is unknown, samples shall be taken on each side of the main break.

### **3.0 PAYMENT**

Payment for pressure testing and sterilization of pipelines shall be included in the unit price for pipeline installation unless otherwise itemized on the Bid Schedule.



## **SECTION 15104**

### **DIRECTIONAL DRILLING**

#### **1.0 GENERAL**

Directional drilling construction methods shall be used as shown in the plans and as directed by the Engineer.

#### **2.0 MATERIALS**

##### **2.1 POLYETHYLENE PIPE**

The polyethylene base resin shall meet all requirements of ASTM D-1248 for type III, Class B or C, Grade P34, Category 5 and has a PPI rating of PE3408 by the Plastic Pipe Institute.

Polyethylene pipe shall conform with ASTM D-3350 "Polyethylene Plastic Pipe and Fitting Materials" for high density pressure pipe manufactured of grade P34 resin material with a hydrostatic-design basis (HDB) rating of 1,600 psi at 73.4 degrees F (23 degrees C).

High-density polyethylene pipe shall be manufactured and tested in conformance to the requirements of the latest revision of the American Society for Testing and Materials designation ASTM D-3350, "Polyethylene Plastic Pipe and Fitting Materials". High-density polyethylene pipe shall have a grade designation of PE 3408 and a cell classification designation of PE 345434C. No material shall be used in the pipe or fittings, which has been demonstrated to be detrimental to water quality.

All pipe shall meet AWWA C906 standards and shall be clearly marked on each joint of pipe.

High density polyethylene pipe shall be joined by means of butt fusion as per manufacturer's recommendations.

The high-density polyethylene pipe used as a carrier pipe shall be SDR-7 (or rated for higher pressures). Polyethylene pipe shall be as manufactured by Phillips Driscopipe, Inc. or approved equal.

#### **3.0 EXECUTION**

The directional drilling shall use a bentonite type drilling fluid to act as a lubricant and to fill the void between the polyethylene casing pipe and the bore hole. The Contractor shall be careful in the depth and direction of the bore to not disturb the creek or river bottom or banks. Casing pipe will be required for all creek crossings. The casing pipe

shall be pulled through the bore hole and the polyethylene carrier pipe will be pulled through the casing pipe.

#### **4.0 PAYMENT**

The unit price bid for directional drilling shall be full compensation for supplying the carrier pipe, and all material, labor, equipment, and tools for the construction of the waterline by directional drilling. Payment will be made by the unit price bid for each Directional Bore Crossing entered on the Bid Schedule.



**SUMMIT ENGINEERING, INC.**  
CIVIL ENGINEERING

## FORCE MAIN RELOCATION PROJECT

(7516.001/14-409)

**Salyersville, Kentucky**

### Technical Specifications

May 2014

#### Owner:

**Salyersville Water and Sewer**

401 College Street  
Salyersville, KY 41465

#### Prepared By:

**Summit Engineering, Inc.**

3205 Summit Square Place  
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**TECHNICAL SPECIFICATIONS**

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## SECTION I

### TECHNICAL SPECIFICATIONS

#### SPECIAL PROVISIONS

##### 1.1 SCOPE

This specification sets forth OWNER'S special project requirements which are UNIQUE to this project. All requirements of this section shall be considered as integral parts of the successful completion of the Project. All items discussed herein are considered incidental to the overall accomplishment of the Project and no separate payment shall be made for these items.

##### 1.2 CONFLICTING ELEMENTS

In the event of a conflict between the elements of the Contract Documents, the MORE STRINGENT REQUIREMENT ON THE CONTRACTOR SHALL GOVERN.

##### 1.3 EXISTING OPERATIONS / SEQUENCE OF WORK

1.3.1 The CONTRACTOR shall coordinate all work through the ENGINEER. The CONTRACTOR shall notify OWNER and ENGINEER at least fourteen calendar days in advance of any shutdown of any wastewater process necessary to perform the work required by the Contract. In no event, shall the CONTRACTOR cause a discharge of raw wastewater into the waters of the Commonwealth.

1.3.2 The CONTRACTOR shall notify the OWNER and ENGINEER at least 10 calendar days prior to any construction activity at the site.

##### 1.4 WORKING HOURS

Paragraph 6.3 of the General Conditions is supplemented as follows:

1.4.1 Regular working hours are defined as up to 8 hours per day, Monday through Friday, beginning no earlier than 7:00 a.m. and ending no later than 7:00 p.m., excluding holidays. Whenever the CONTRACTOR is performing any part of the work, with the exception of equipment maintenance and clean-up, OWNER'S representation and/or inspection will be required.

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1.4.2 Requests to work other than regular working hours must be submitted to the OWNER'S designated representative, at least 48 hours prior to any proposed weekend work or scheduled extended work weeks, to give the OWNER ample time to arrange for representation and/or inspection during those periods. Periodic unscheduled overtime on weekdays will be permitted provided that two hours notice is provided to OWNER'S designated representative. Maintenance and clean-up may be performed during hours other than regular working hours.

1.4.3 The OWNER incurs additional expense when the CONTRACTOR exceeds regular working hours. Consequently, CONTRACTOR shall reimburse the OWNER for additional engineering and/or inspection costs incurred as a result of overtime work and in excess of the regular working hours stipulated herein. These costs shall be a line item deduction from the CONTRACTOR'S monthly payment request. Overtime costs for OWNER'S personnel shall be based on the individual's current overtime wage rate. Overtime costs for personnel employed by the ENGINEER shall be calculated in accordance with the terms of the ENGINEER'S contract with the OWNER.

-- THE END --

## SECTION II

### TECHNICAL SPECIFICATIONS

#### GENERAL PROVISIONS

##### 2.1 SCOPE

This section of the technical specifications is prepared to establish general requirements applicable to the entire Project. All items discussed herein are considered incidental to the overall accomplishment of the Project and no separate payment shall be made for these items.

##### 2.2 IDENTIFICATION OF PARTIES

OWNER - Salyersville Water and Sewer  
The OWNER owns and is responsible for the completed wastewater facilities.

ENGINEER - Registered professional engineer designated by OWNER to provide design, construction inspection, and certification services.

CONTRACTOR- The entity(s) responsible under contract to OWNER to furnish labor, equipment, etc. to complete the work specified herein.

##### 2.3 RECORD DRAWINGS

The CONTRACTOR shall furnish record drawings in accordance with the requirements of the 'Submittals' section of these specifications.

##### 2.4 EXISTING UTILITIES AND UNDERGROUND FACILITIES

Attention is called to the presence of existing utilities and underground facilities. The CONTRACTOR is solely responsible to accurately locate, and avoid damage to, all existing utilities and underground facilities. See "Existing Utilities" herein.

##### 2.5 SCHEDULES

2.5.1 Progress and Payment Schedules. Within 10 calendar days of Notice of Award, prepare and submit to the ENGINEER a proposed construction progress schedule. The schedule shall be in the form of a bar chart addressing the major project activities. The bar chart shall provide for a comparison of the proposed schedule to actual completion.

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2.5.2 Submittal Schedules. Within 10 calendar days of Notice of Award, prepare and submit to the ENGINEER a proposed submittal schedule (See paragraph 26 of General Conditions).

2.5.3 Schedule Updates. All project schedules shall be updated for each CONTRACTOR pay request.

2.5.4 WARNING: NO CONTRACTOR PAYMENTS SHALL BE APPROVED BY THE ENGINEER UNTIL ACCEPTABLE PROJECT SCHEDULES HAVE BEEN PROVIDED BY THE CONTRACTOR. CONTRACTOR PAY REQUEST APPLICATIONS WILL BE IMMEDIATELY RETURNED IF THEY ARE NOT ACCOMPANIED BY THE REQUIRED SCHEDULE UPDATES.

## 2.6 STAKING AND MARKING

The ENGINEER will be responsible for providing the survey reference monuments and benchmarks. Construction stakeout and "as built" surveys shall be the responsibility of the CONTRACTOR.

## 2.7 CONSTRUCTION PHOTOGRAPHS

2.7.1 The term "photograph" as used herein refers to a photographic view, including similar exposures taken to assure the usefulness of the photographic record. All photographs shall be taken in color, not black and white.

2.7.2 The CONTRACTOR shall photograph the project limits prior to construction. The same views shall be re-photographed upon completion of all construction activities. In lieu of photography, CONTRACTOR may opt to video the project limits. The CONTRACTOR shall furnish the ENGINEER two copies of this video cassette for a completeness review. NO WORK CAN BE PERFORMED UNTIL THE ENGINEER HAS REVIEWED, AND ACCEPTED, THE PRE-CONSTRUCTION PHOTOGRAPHS AND/OR VIDEOS.

2.7.3 The CONTRACTOR shall have an average of ten (10) photographs per month made of the work during its progress and twenty (20) photographs of the completed facilities, in addition to those required above in paragraph 2.7.2.

2.7.4 All photographic work shall be done by a qualified, established photographer acceptable to the ENGINEER. Two prints of each photograph shall be provided.

2.7.5 The film negatives shall be retained in the files of the photographer until the completion of the project and shall then be turned over to the ENGINEER. The photographer shall release all copyrights, or other restrictions, on the use of the photographic prints and film negatives.



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2.7.6 Each photograph shall have an identification label which provides:

1. Contractor's name
2. Short Description of View
3. Photo No. and Date Taken
4. Photographer's Firm Name

## **2.8 TESTING**

The cost of all testing shall be borne by the CONTRACTOR unless directed otherwise.

## **2.9 INSTALLATION REQUIREMENTS**

Manufactured articles, materials and equipment shall be applied, installed, connected, erected, used, cleaned, and conditioned as suggested by the respective manufacturers, unless otherwise specified herein.

## **2.10 PROOF OF COMPLIANCE**

See Quality Control - Section IV

## **2.11 MAINTAINING DRAINAGE**

At no time shall the flow of any existing streams or gullies be blocked. Ditches or culverts which become inoperable during the work effort shall be promptly cleaned out.

## **2.12 DUST AND LITTER CONTROL**

All access roads, excavations, embankments, waste areas, etc. within the project boundaries shall be maintained free of dust and litter which could cause a nuisance to others. Dust control shall be performed as the work proceeds and whenever a dust nuisance occurs. From time to time, as the need arises, the construction area shall be policed to collect all scattered litter and debris.

## **2.13 CLEAN UP**

After all construction work is complete, and prior to final inspection, all disturbed areas shall be cleaned and left in a sightly condition. All unused material shall be removed and disposed of properly.

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#### **2.14 REPAIR OF DAMAGE**

Any damage done to structures, fills, roadways, or other areas shall be repaired at the CONTRACTOR'S expense before final payment is made.

#### **2.15 PROJECT LIMITS**

The CONTRACTOR shall be responsible for satisfying himself as to the construction limits for the project. The CONTRACTOR shall not establish work, storage, or staging areas outside the project limits, unless otherwise directed or approved by the ENGINEER.

#### **2.16 BURNING**

There shall be no burning on this Project.

#### **2.17 MATERIALS SUITABLY STORED**

Request for payment for stored materials MUST be prepared in compliance with Paragraph 14.2 of the General Conditions.

#### **2.18 EXPLANATION OF MEASUREMENT AND PAYMENT TERMINOLOGY**

The various items of work will be measured and paid for as "Lump Sum," "Each," or by "Unit Prices" as established in these specifications. These methods of payment are defined as follows:

- a) Lump Sum: When this term is used as an item of payment, it shall be inferred that the complete structure, structural unit or element of work is specified as the unit measurement. As such, it will be construed to include all necessary materials and accessories required for installation. No final measurements will be made.
- b) Each: The definition for Lump Sum applies to the term "each" except more than one may be included in the Project and the actual number installed will be the final measurement.

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- c) Unit Price Quantities: When unit price quantities for a specific portion of the project are designated in the Contract Documents as the pay quantity, actual quantities for such specified portion serve as the basis for payment. Actual quantities shall be determined by the differences in measurements taken before and after construction.
  
- d) Plan Quantities: When the specifications indicate that 'Plan Quantities' are the basis of payment, the design quantities enumerated on the bid schedule shall be the final pay quantity unless the related dimensions in the Drawings are revised by the Engineer.

-- THE END --

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## SECTION III

### TECHNICAL SPECIFICATIONS

#### SUBMITTALS

##### PART 1 GENERAL

##### 1.1 SCOPE

This specification sets forth the procedure to be employed in submitting and processing all SUPPLIER submittals.

##### 1.2 SHOP DRAWINGS

3.2.1 The SUPPLIER shall submit for the review of the ENGINEER Shop Drawings for all fabricated work and for all manufactured items required to be furnished in the Contract in accordance with the General Conditions and as specified herein. Shop Drawings shall be submitted in sufficient time to allow at least twenty-one (21) calendar days after receipt of the Shop Drawings from the SUPPLIER for checking and processing by the ENGINEER.

3.2.2 ENGINEER's review of the SUPPLIER's drawings shall be considered as a gratuitous service, given as assistance to the SUPPLIER in interpreting the requirements of the Contract, and in no way shall it relieve the SUPPLIER of any of his responsibilities under the Contract. Any fabrication, erection, setting or other Work done in advance of the receipt of Shop Drawings returned by the ENGINEER and noted as "Approved" or "Approved as Noted" shall be entirely at the SUPPLIER 's risk. The ENGINEER's review will be confined to general arrangement and compliance with the design concept and Specifications only, and will not be for the purpose of checking dimensions, weights, clearances, fitting, tolerances, interferences, coordination of trades, etc.

3.2.3 Unless otherwise stated elsewhere in the Contract Drawings, a total of six (6) copies of all reviewed Shop Drawings shall be furnished to the ENGINEER for his use in accordance with the following sequence of operations:

- A) Initially six copies and one (1) reproducible copy shall be submitted to the Engineer for review. The ENGINEER will return one (1) copy and the reproducible copy to the SUPPLIER after review.
- B) When Shop Drawings are returned for correction, they shall be immediately corrected and resubmitted

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for review as described above, and such procedures will not be considered as grounds for delay in completing the Work.

- C) Shop Drawings submitted by subcontractors shall be sent directly to the SUPPLIER for preliminary checking. The SUPPLIER shall be responsible for their submission to the ENGINEER at the proper time so as to prevent delays in delivery of materials.
- D) The SUPPLIER shall thoroughly check all Shop Drawings as regards to measurements, sizes of members, materials and details to satisfy himself that they conform to the intent of the Specifications. Drawings found to be inaccurate or otherwise in error shall be returned to the subcontractors by the SUPPLIER for correction before submitting them to the ENGINEER. Before submission, the SUPPLIER shall mark (stamp) the drawings as being checked and approved by him, dated and signed. The SUPPLIER 's approval (stamp) shall constitute a representation that all quantities, dimensions, field construction criteria, materials, catalog numbers, performance criteria and similar data have been verified and that, in his opinion, the submittal fully meets the requirements of the Contract Documents and the scope of work involved. Shop Drawings that are not stamped will not be reviewed.
- E) All details on Shop Drawings submitted for review shall clearly show the relation of the various parts and where the Work depends upon field measurements, such measurements shall be obtained by the SUPPLIER and noted on the Shop Drawings before being submitted to the ENGINEER for review.
- F) All submissions shall be properly referenced to indicate clearly the specification section, location, service and function of each particular item. All submissions for one item or group of related items shall be complete. The ENGINEER reserves the right to reject manufacturer's publications in the form of catalogues, pamphlets, or other data sheets when they are submitted in lieu of prepared Shop Drawings. Such submissions shall specifically indicate the item for which approval is requested. Identification of items shall be made in ink, and submissions showing only general information are not acceptable.

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- G) If the Shop Drawings contain any departures from the Contract requirements, specific mention thereof shall be made in the SUPPLIER 's letter of transmittal. Where such departures require revisions to layouts or structural changes to the Work, the SUPPLIER shall, at his own expense, prepare and submit for approval revised layout and structural drawings. Such drawings shall be of the size approved by the ENGINEER.
- H) All shop drawings shall be in English.

3.2.4 The ENGINEER will review the first and second shop drawing submittals at no cost to the SUPPLIER. Review of the third submittal and any subsequent submittal will be at the SUPPLIER's expense. Payment will be deducted from the Contract amount at a rate of 3 times direct labor cost plus expense.

-- THE END --

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## SECTION IV

### TECHNICAL SPECIFICATIONS

#### QUALITY CONTROL

##### 4.1 CODES, STANDARDS AND INDUSTRY SPECIFICATIONS

A) Material or operations specified by reference to published specifications of a manufacturer, testing agency, society, association or other published standards shall comply with requirements in latest revisions thereof and amendments or supplements thereto in effect on date of Advertisement for Bidders.

B) Discrepancies between referenced codes, standards, specifications and Contract Documents shall be governed by the latter unless written interpretation is obtained from ENGINEER.

C) Material or work specified by reference to conform to a standard, code, law, or regulation shall be governed by Contract Document when they exceed requirements of such references; referenced standards shall govern when they exceed Contract Documents.

D) Proof of Compliance:

Whenever Contract Documents require that a product be in accordance with Federal Specification, ASTM designation, ANSI specification, or other association standard, at ENGINEER'S request, CONTRACTOR shall present an affidavit from manufacturer certifying that product complies therewith. Where requested or specified, submit supporting test data to substantiate.

##### 4.2 MANUFACTURER'S DIRECTIONS

Utilize manufactured articles, materials and equipment as directed by manufacturers unless herein specified to contrary. Discrepancy between an installation required by Contract Documents and manufacturer's instructions and recommendations shall be resolved by ENGINEER before work may proceed. In all cases, the more stringent requirements shall govern.

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#### 4.3 TESTING

- A) All testing (when required) will be in accordance with the pertinent codes and regulations and with selected standards of the American Society for Testing and Materials.
- B) The OWNER will select the testing laboratories.
- C) The CONTRACTOR will bear the cost of all testing unless directed otherwise.

-- THE END --



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## SECTION VIII

### TECHNICAL SPECIFICATIONS

#### CONSTRUCTION STAKING

##### 8.1 SCOPE

The CONTRACTOR shall furnish all necessary personnel and equipment to provide all customary construction surveys including, but not limited to, the following:

- a) Establish right-of-way and construction easement limits.
- b) Establish the project construction centerlines
- c) Provide adequate reference points to permit prompt re-establishment of the construction centerline throughout the construction.
- d) Grade staking
- e) Structure staking
- f) Establish final "as-built" plan and profile location of all completed facilities and depict same on record drawings.

The CONTRACTOR's staking (survey) party shall be under the general supervision of an ENGINEER registered in the State of Kentucky. IT SHALL BE UNDERSTOOD THAT SUPERVISION OF THE CONSTRUCTION STAKING PARTY IS SOLELY THE RESPONSIBILITY OF THE CONTRACTOR AND ANY ERRORS AND INACCURACIES RESULTING FROM THE OPERATIONS OF THE CONSTRUCTION STAKING PARTY SHALL BE CORRECTED AT **NO** COST TO THE OWNER, OR SUMMIT ENGINEERING, INC., IF SUMMIT ENGINEERING, INC. IS NOT THE ONE DOING THE SUPERVISING.

##### 8.2 SUBMITTALS

Upon completion of the project, the CONTRACTOR shall submit the following to the ENGINEER:

- a) the field notes,
- b) 'as built' plans in PDF file format, of no less scale than the design drawings depicting the "as built" plan and profile location of all constructed facilities.

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### 8.3 MEASUREMENT AND PAYMENT

"Construction Staking" shall be considered a necessary and integral part of the Work and shall not be measured for separate payment. "Construction Staking" shall be incidental to "Pipe Installation"

-- THE END --

## SECTION IX

### TECHNICAL SPECIFICATIONS

#### SILT CONTROL STRUCTURES

##### 9.1 SCOPE

This work shall consist of furnishing all materials, equipment, labor, and incidentals necessary for the installation, maintenance, and removal of silt control facilities as directed by the ENGINEER.

##### 9.2 GENERAL

The exact locations, configuration, and dimensions of the various types of silt control shall be directed by the ENGINEER at the time of construction. These structures shall be installed prior to any surface disturbance on the area for which they are necessary to control silt.

The CONTRACTOR shall schedule construction activities so that the amount of exposed soil is minimized. This is to be accomplished by disturbing only those areas which are to be worked immediately and by revegetating each area as soon as practical.

##### 9.3 MATERIALS

9.3.1 Silt Control Hay Bales: Silt Control Bales shall consist of either straw or hay bales. All bales are to be firmly bound by twine, and are to be installed using wooden stakes or steel bars.

9.3.2 Silt Fence: Silt Fence filter fabric shall be specifically designed for this purpose by the manufacturer and shall meet or exceed the following specifications:

Bursting Strength	(ASTM D751)	150 psi
Grab Strength	(ASTM D1682)	100 psi
Permeability		0.02 to 0.03 cm/sec

Silt fence posts shall be either timber stakes (2" x 2" min) or pressed steel stakes set plumb and to sufficient depth to provide a sound anchor for the supporting wire fence and/or filter fabric.

9.3.3 Gabion Wire: The wire incorporated in the lid and body of gabion units shall be constructed of galvanized steel. The mesh shall be constructed by double twisting the adjoining wire, i.e., both wires must be twisted in an interlocking, nonraveling fashion. All wire for corners, edges, selvages, and binding in both types of units shall be heavily galvanized with a minimum zinc coating of 0.80 ounces per square foot of uncoated wire surface, as determined by tests conducted in accordance with ASTM A90. The tensile strength of the wire shall be at least 60,000

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pounds per square inch, and the mesh must have sufficient elasticity to permit 10 percent elongation diameter of the individual wires. The following minimum wire diameters are required for non-PVC coated units only.

<u>Type /Use of Wire</u>	--Minimum Diameters--
	<u>Gabion</u>
Mesh wire	0.118
Selvedge/corner wire	0.150
Lacing/connecting wire	0.0866

9.3.4 Gabion Rock Fill: The baskets shall be filled with clean, hard, durable limestone from a source approved by the ENGINEER. The stone shall be well-graded, with sizes ranging from a minimum of 5 inches to a maximum of 8 inches for gabion baskets, as measured in the greatest dimension; and shall otherwise comply with the requirements of these Technical Specifications.

9.3.5 Gabion Anchors: Steel anchors shall be standard deformed type bars conforming to ASTM A-615. The bars shall be manufactured from new billet steel of American manufacture, and shall have a minimum yield strength of 60,000 psi (Grade 60).

#### **9.4 FABRICATION OF GABIONS**

9.4.1 General: The gabion units shall be fabricated in such a manner that the base, sides, ends, and lids can be assembled at the construction site into a rectangular unit of the specified sizes. The body of the units shall be of single unit construction, the base, ends, sides, and lids formed of a single woven mesh unit.

All perimeter edges of the mesh forming the unit shall be securely selvedged so that the joints formed by tying the selvedges have at least the same strength as the body of the mesh.

Lacing wire shall be supplied in sufficient quantity to permit all sides, ends, and diaphragms of the body to be securely fastened, as well as to fasten the top to all sides, ends, and diaphragms of the body.

Dimensions for height, length, and width are subject to a tolerance limit of +3% of the manufacturer's stated sizes.

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9.4.2 Gabions: The gabions shall be constructed with a hexagonal weave having an opening of approximately 3 1/4 inches by 4 1/2 inches. When the gabion length exceeds its width, it shall be supplied with diaphragms to form individual cells of equal length and width. The gabion unit shall be furnished with the necessary diaphragms secured in proper position on the base in such a manner that no additional tying at this juncture will be necessary. The diaphragms shall be of the same material composition as the gabion.

9.4.3 Certification: Each shipment of gabions to a job site shall be accompanied by a certification from the manufacturer, which states that the material conforms to the requirements of this Specification. The certification shall be on the manufacturer's letterhead and shall be signed by an officer of that company.

## 9.5 INSTALLATION

9.5.1 Silt Control Bales: The general locations and typical configurations of the type of silt control is subject to adjustments based on individual site conditions. Installation is labor intensive in order to assure stable and durable usage; additional hand labor may be required to provide adequate footing for the bales.

9.5.2 Silt Fences: Silt fences shall be supported with vertical wood posts which are protected by means of a metal cap or other device to prevent damage when hammers are used to drive the posts into the ground.

9.5.3 Gabions: The foundation shall be accurately prepared to accept the gabions. The foundation shall be inspected and approved by the ENGINEER prior to placement of the units.

Empty units shall be assembled individually on a hard, flat surface -- generally at the installation site. Care must be exercised to assure that each basket is stretched or manipulated as necessary to achieve the proper rectangular shape. Sides, ends, and diaphragms must be erected (and laced) to ensure the correct orientation of all seams and creases. Once assembled, empty units shall be set to the lines and grades directed by the ENGINEER.

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All units shall be connected to the adjoining units, while empty, by lacing wire along the perimeters of their contact surfaces. Securing diaphragms, ends and sides, closure of units, and connecting adjoining units shall be accomplished by continuous stitching with alternating single and double loops at 4-inch intervals. All ends of lacing wire are to be securely fastened and not protruding.

Empty units are to be stretched, after being properly laced and connected to the adjoining unit(s), to obtain uniform alignment and to remove kinks. A standard fence stretcher, "come-along" or other means of tensioning the unit may be used. Adjacent rows of gabion units are to be placed such that the seams are offset.

The units shall be carefully filled with stone by hand and/or machine to maintain alignment; to avoid bulges, damage to coating, and/or separation of units; and to minimize voids. The maximum height from which stone may be dropped into gabion units shall not exceed 36 inches. In gabions over 2-foot high, the stone is to be placed in 12-inch lifts; adjusted by hand, if necessary, to form a reasonable smooth surface, and cross-ties (or bracing wires) installed. Cross-ties are to be looped through the mesh on opposing sides of the basket, and the wire tightened by twisting.

The ENGINEER may require the CONTRACTOR to use hand labor to selectively place the layers of stone along exposed surfaces (i.e., top, front, and ends) to provide a uniform surface and an overall appearance suitable to the site-specific situation at each installation. After each unit has been filled, the lid shall be leveled as necessary and secured to the sides, ends, and diaphragms using the previously described lacing (or stitching) technique.

## **9.6 MAINTENANCE**

During the course of the project, silt control structures shall be maintained in sound condition and accumulations of silt which may threaten their effectiveness shall be removed. Silt removed from silt control structures shall be spread in the general vicinity of the individual structures, except when such practices may be a detriment to the environment and/or the project.

Upon completion of the project, the ENGINEER may direct the CONTRACTOR to remove, clean, or replace silt control structures and revegetate such disturbances in accordance with the seeding section of these Technical Specifications.

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### **9.7 MEASUREMENT AND PAYMENT**

Provision of all silt control structures shall be a part of CONTRACTOR'S Lump Sum bid for "Mobilization/DeMobilization" and shall not be measured for separate payment.

**-- THE END --**

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## SECTION X

### TECHNICAL SPECIFICATIONS

#### EXISTING UTILITIES

##### 10.1 SCOPE

It shall be the CONTRACTOR's sole responsibility to locate existing utilities, make appropriate arrangements regarding relocation of existing utilities, either temporary or permanent, maintain the utility service throughout the construction period, and have final relocations performed at the end of the construction period. The CONTRACTOR shall notify affected utility owners, record locations of utilities on record drawings, hire specialty contractors, etc. as necessary.

All utility relocation work shall be conducted with the full knowledge and written consent of the ENGINEER and the utility owners involved. The CONTRACTOR shall comply with all applicable Federal, State and Local utility ordinances.

The CONTRACTOR shall bear sole, and full, responsibility for loss of project time arising from poor relocation coordination and from claims of damage relating to disruption of utility service. **The OWNER will not extend the Contract time for delays resulting from utility relocations.**

The utility owners affected by this project are as follows:

Water	Morgan County Water District 408 Prestonsburg Street West Liberty, KY 41472
Phone	Bell South
Power	Licking Valley RECC 271 Main Street West Liberty, KY 41472 Wes McKinney

##### 10.2 AGREEMENTS

In general, when relocation of a utility is required, the relocation must be performed by the Utility Company or licensed agent of the utility company. Contractor shall secure written



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relocation agreements with each utility documenting the scope of the relocation activities and the responsibilities of the Utility Company and the Contractor with respect to the work and payment therefore.

### **10.3 SPECIAL REQUIREMENTS**

The relocation agreements are subject to special requirements. These include:

-- NONE --

### **10.4 MEASUREMENT AND PAYMENT**

CONTRACTOR'S protection and relocation of existing utilities as described in this section shall be considered a part of CONTRACTOR's Lump Sum bid for "Mobilization/DeMobilization" and shall not be measured for separate payment.

-- THE END --

**SECTION XII**

**TECHNICAL SPECIFICATIONS**

**SANITARY SEWER FORCE MAINS**

**12.1 PURPOSE**

The purpose of this section is to outline the requirements for the proper construction of sanitary sewer force mains and siphons.

**12.2 GENERAL REQUIREMENTS**

The sanitary sewer force mains and siphons shall be laid in reasonable conformance to the lines and grades shown on the Design Drawings. In no event shall any section of force main be constructed at an elevation higher than that specified for the air release valve. No reach of force main shall be laid on a 0% slope. A #10 copper trace wire shall be laid with all non-metallic force mains and siphons.

**12.3 QUALITY CONTROL**

Submit five copies of the following:

- A) Documentation to substantiate pipe material's compliance with these specifications.
- B) Documentation to substantiate that pipe bedding materials will conform to requirements of these specifications.
- C) Documentation of pressure and leak testing.

**12.4 PIPE MATERIALS**

PIPE:

12.4.1 HIGH DENSITY POLYETHYLENE PIPE: The pipe shall be Green Stripe Pipe, or equal. The Pipe shall be equal to Chevron Phillips Performance Pipe 4200 Series and shall be supplied in the following classes:

Nominal Size
1 ¼" DR 11
2" DR 11
3" DR 11
4" DR 11
5" DR 11
6" DR 11
8" DR 11

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Pipe shall be manufactured from a PE 3408 resin listed with the Plastic Pipe Institute (PPI) as TR-4. The resin material shall meet the specifications of ASTM D3350-99 with a minimum cell classification of PE345464C. Pipe shall have a manufacturing standard of ASTM D3035 and be manufactured by an ISO 9001 certified manufacturer. The pipe shall contain no recycled compounds except that generated in the manufacturer's own plant from resin of the same specification from the same raw material. The pipe shall be homogeneous throughout and free of visible cracks, holes, foreign inclusions, voids, or other injurious defects.

12.4.2 ASTM 2241 POLYVINYL CHLORIDE PRESSURE PIPE, FITTINGS AND JOINTS - ASTM 2241 PVC shall not be used on this project

FITTINGS:

12.4.3 BUTT FUSION FITTINGS: Butt fusion fittings shall be in accordance with ASTM D3261 and shall be manufactured by injection molding, a combination of extrusion and machining, or fabricated from HDPE pipe conforming to this specification. All fittings shall be pressure rated to provide a working pressure rating no less than that of the pipe. Fabricated fittings shall be manufactured using a McElroy Datalogger, or equal, to record fusion pressure and temperature. A graphic representation of the temperature and pressure data for all fusion joints made producing fittings shall be maintained as part of the quality control. The fitting shall be homogeneous throughout and free of visible cracks, holes, foreign inclusions, voids, or other injurious defects.

12.4.4 ELECTROFUSION FITTINGS: Electrofusion Fittings shall be PE3408 HDPE, Cell Classification of 345464C as determined by ASTM D3350-99 and be the same base resin as the pipe. Electrofusion Fittings shall have a manufacturing standard of ASTM F1055.

12.4.5 FLANGED AND MECHANICAL JOINT ADAPTERS: Flanged and Mechanical Joint Adapters shall be PE 3408 HDPE, Cell Classification of 345464C as determined by ASTM D3350-99 and be the same base resin as the pipe. Flanged and mechanical joint adapters shall have a manufacturing standard of ASTM D3216. All adapters shall be pressure rated to provide a working pressure rating no less than that of the pipe.

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12.4.6 MECHANICAL RESTRAINT: Mechanical restraint for HDPE may be provided by mechanical means separate from the mechanical joint gasket sealing gland. The restrainer shall provide wide, supportive contact around the full circumference of the pipe and be equal to the listed widths. Means of restraint shall be machined serrations on the inside surface of the restrainer equal to or greater than the listed serrations per inch and width. Loading of the restrainer shall be by a ductile iron follower that provides even circumferential loading over the entire restrainer. Design shall be such that restraint shall be increased with increases in line pressure.

Serrated restrainer shall be ductile iron ASTM A536-80 with a ductile iron follower; bolts and nuts shall be corrosive resistant, high strength alloy steel.

The restrainer shall have a pressure rating of, or equal to that of the pipe on which it is used or 150 PSI which ever is lesser. Restrainers shall be JCM Industries, Sur-Grip or pre-approved equal.

Nominal Size	Restraint Width	Serrations per inch
4", 6"	1-1/2"	8
8" 10 & 12"	1-3/4"	8

Pipe stiffeners shall be used in conjunction with restrainers. The pipe stiffeners shall be designed to support the interior wall of the HDPE. The stiffeners shall support the pipe's end and control the "necking down" reaction to the pressure applied during normal installation. The pipe stiffeners shall be formed of 304 or 316 stainless steel to the HDPE manufacturers published average inside diameter of the specific size and DR of the HDPE. Stiffeners shall be by JCM Industries or pre-approved equal.

**12.5 INSTALLATION**

GENERAL:

12.5.1 PIPE & FITTINGS: Size as indicated on the plans. Install as shown in accordance with manufacturer's recommendations.

JOINING:

12.5.2 BUTT FUSION: Sections of polyethylene pipe should be joined into continuous lengths on the jobsite above ground. The joining method shall be the butt fusion method and shall be performed in strict accordance with the

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pipe manufacturer's recommendations. The butt fusion equipment used in the joining procedures should be capable of meeting all conditions recommended by the pipe manufacturer, including, but not limited to, temperature requirements of 400 degrees Fahrenheit, alignment, and an interfacial fusion pressure of 75 PSI. The butt fusion joining will produce a joint weld strength equal to or greater than the tensile strength of the pipe itself.

12.5.3 SIDEWALL FUSION: Sidewall fusions for connections to outlet piping shall be performed in accordance with HDPE pipe and fitting manufacturer's specifications. The heating irons used for sidewall fusion shall have an inside diameter equal to the outside diameter of the HDPE pipe being fused. The size of the heating iron shall be  $\frac{1}{4}$  inch larger than the size of the outlet branch being fused.

12.5.4 MECHANICAL: Bolted joining may be used where the butt fusion method cannot be used. Flange joining will be accomplished by using a HDPE flange adapter with a ductile iron back-up ring. Mechanical joint joining will be accomplished using either a molded mechanical joint adapter or the combination of a Sur-Grip Restrainer and Pipe Stiffener as manufactured by JCM Industries, Inc, or equal. Either mechanical joint joining method will have a ductile iron mechanical joint gland.

12.5.5 OTHER: Socket fusion, hot gas fusion, threading, solvents, and epoxies may not be used to join HDPE pipe.

~~12.5.5.1 The CONTRACTOR shall furnish a fusing apparatus and generator to the Owner once construction is complete. The fusing apparatus shall be Central Plastic 2A Electric Heater and Bag with Dyes for 2", 1  $\frac{1}{2}$ ", and 1  $\frac{1}{4}$ " HDPE pipe. The generator shall be a North Star portable generator 15,000 surge watts, 13,500 running watts having two 120 volt 20 amp duplex outlets, one 120/240 volt 60 amp outlet, one 120 volt 30 amp locking plug outlet, one 120/240 30 amp locking plug outlet, and a 20 hp electric start Kohler engine.~~

12.5.6 QUALITY AND WORKMANSHIP: The pipe and/or fitting manufacturer's production facility shall be open for inspection by the owner or his designated agents with a reasonable advance notice. During inspection, the manufacturer shall demonstrate that it has facilities capable of manufacturing and testing the pipe and/or fittings to the standards required by this specification.

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12.5.7 PACKAGING, HANDLING & STORAGE: The manufacturer shall package the pipe in a manner designed to deliver the pipe to the project neatly, intact and without physical damage. The transportation carriers shall use appropriate methods and intermittent checks to insure the pipe is properly supported, stacked and restrained during transportation such that the pipe is not nicked, gouged, or physically damaged.

Pipe shall be stored on clean, level ground to prevent undue scratching or gouging. If the pipe must be stacked for storage, such stacking shall be done in accordance with the pipe manufacturer's recommendations. The pipe shall be handled in such a manner that it is not pulled over sharp objects or cut by chokers or lifting equipment. Sections of pipe having been discovered with cuts or gouges in excess of 10% of the pipe wall thickness shall be cut out and removed. The undamaged portions of the pipe shall be rejoined using the heat fusion joining method.

Fused segments of the pipe shall be handled so as to avoid damage to the pipe. Chains or cable type chokers must be avoided when lifting fused sections of pipe. Nylon slings are preferred. Spreader bars are recommended when lifting long fused sections.

## 12.6 CONSTRUCTION PRACTICE

12.6.1 TRENCH CONSTRUCTION: Trenching shall be done in accordance with ASTM D 2321, Section 6 and/or ASTM D2774.

12.6.2 EMBEDMENT MATERIAL: Embedment materials shall be Class I, Class II, or Class III materials as defined by ASTM D 2321, Section 5. The use of Class IV and Class V materials for embedment is not recommended and should be done only with the approval of the engineer. Class I crushed stone and Class II well-graded gravels are preferred. The embedment material shall have an installed density of at least 85% Standard Proctor Density through compaction or consolidation.

12.6.3 BEDDING: The pipe bedding shall be constructed in accordance with ASTM D2321, Section 5, Table 2.

12.6.4 HAUNCHING AND INITIAL BACKFILL: Haunching and initial backfill shall be as specified in ASTM D2774 and/or ASTM D2321, Section 5, Table 2 using Class I, Class II or Class III materials. Materials and compaction shall be specified by the engineer.

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## 12.7 TESTING

The completed force main and siphon shall be subjected to a combined pressure and leakage test as described in Section 4 of AWWA C600. The testing shall be subject to the following:

- A) All tests shall be conducted in the presence of the ENGINEER.
- B) The CONTRACTOR shall furnish a recording pressure device to be used for the pressure and leak test. The device shall be a Dickson PR300 Pressure Logger with all appropriate cables and software. The pressure charts from the test shall be retained by the OWNER as evidence of the testing.
- C) All test waters shall be potable water from the OWNER's water distribution system. When connecting to the existing Potable Water System the CONTRACTOR shall utilize a Reduced Pressure Zone Backflow Prevention device. The device shall be a Zurn / Wilkins RPZ Backflow Preventer Model Number 975XL, or equal. Withdrawals of water from the OWNER's system **must be both authorized and metered**. The OWNER will bill the contractor for all waters used in accordance with its current rate schedule.
- D) The test pressure shall be 100 psi or the maximum operating pressure of the lift station, whichever is greater.
- E) Duration of test shall be no less than two hours.
- F) Where leaks are evident on the surface where joints are covered, the joints shall be recaulked, repoured, bolts retightened or relaid, and leakage minimized regardless of total leakage as shown by test.
- G) All pipe fittings and other materials found to be defective under test shall be removed and replaced.
- H) Lines which fail to meet test requirements shall be repaired and retested as necessary until test requirements are complied with at no additional cost to OWNER.

## 12.8 CONNECTING FORCE MAIN TO MANHOLE

All sanitary sewer force mains to be connected to manholes must connect at the elevation indicated on the design

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drawings.

## 12.9 CONCRETE THRUST BLOCKS

Concrete thrust blocks shall be provided at all bends in the force main as shown on the Detail Sheets of the Design Drawings.

## 12.10 MEASUREMENT AND PAYMENT

12.10.1 Measurement: Pipe for force mains and siphons in place, complete, successfully pressure tested shall be measured in linear feet along the pipe centerline. Pipe bends will not be measured for separate payment. Bends shall be measured in linear feet. No allowance shall be made for laps or drops at connections.

12.10.2 Payment: Payment for force mains and siphons will be made at the contract unit price for the applicable diameter as set forth in the Bid Schedule. Such payment shall constitute full compensation for all materials, labor, equipment, and incidentals necessary for the completion of the work.

-- THE END --



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## SECTION XIV

### TECHNICAL SPECIFICATIONS

#### PRESSURE SEWER LATERAL ASSEMBLY

##### 14.1 SCOPE

Furnish all labor, material, equipment and incidentals required to install, complete and ready for operation, a connection of a 1.25" force main from a residential grinder station to a force main or gravity sewer main as shown on the Drawings and as specified herein.

##### 14.2 QUALITY ASSURANCE/SUBMITTALS

14.2.1 Submit five copies of itemized summary of source of manufacture of each item in pressure connection. Provide manufacturer's certification of compliance with specification for each item.

##### 14.3 MATERIALS

14.3.1 Pressure Pipe: The HDPE 1.25" force main is covered under the force main section of these specifications.

14.3.2 Pressure Sewer Lateral Assembly: The pressure sewer lateral assembly shall be made of brass. The assembly shall include:

- 1) a brass male 1.25" x PVC pack joint coupling as manufactured by Ford Meter Box Company, Inc., or equal;
- 2) two brass male 1.5" x CTS pack joint couplings as manufactured by Ford Meter Box Company, Inc., or equal;
- 3) a female 1.5" brass swing check valve, Legend Valve model T-451, or equal;
- 4) a 1.5" heavy duty forged brass full port ball valve, Legend Valve model T- 1001, or equal;
- 5) a 1.5" brass threaded close nipple as manufactured by BMI Canada, or equal.

All valves shall be rated for 200 psi service. Valves shall have the appropriate compression end fittings for the specified service tubing. The brass swing check valve shall

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be rated for 125 psi service. Check valves shall have the appropriate compression end fittings for the specified service tubing. Entire assembly shall be suitable for housing in a 17"x30"x18" meter box.

14.3.3 Meter Box and Lid: The pressure sewer lateral assembly shall be housed in a 17"x30"x18" rectangular structural foam material meter box as manufactured by Pentek, or equal. The meter box shall be equipped with a green T-Cover equal to Carson Industries, LLC model 1419-4.

14.3.4 HDPE Tapping Saddle Assembly: If the 1.25" HDPE force main is to be connected to another HDPE force main, the CONTRACTOR shall attach to the main a double strap iron service saddle with a 1.5" branch equal to model F202 as manufactured by Ford Meter Box Company. The branch length shall be suitable for connection to the corporation stop of the pressure sewer lateral assembly. The ballcorp corporation stop shall be 1.5" x CTS brass with a fluorocarbon-coated brass ball valve as manufactured by Ford Meter Box Company, or equal.

14.3.5 Gravity Main Tapping Saddle: If the 1.25" HDPE force main is to be connected to a PVC gravity main, a tapping saddle will be required. Tapping saddles shall be Smith Blair 313-872-10 suitable for connection to PVC pipe with OD between 7.69 and 8.72 inches. Each saddle shall be furnished with a C8655 "compression couple by male" fitting to allow an 1.25" compression connection to the 1.25" HDPE force main.

#### 14.4 INSTALLATION

14.4.1 Taps: Taps (where required) shall be made in accordance with the manufacturer's directions. The tap shall be protected by 6" of fine sand or gravel as indicated in the detail drawings.

14.4.2 Meter Box Setting: The meter boxes shall be set in a neat and workmanlike manner. The lid of the meter box shall be set:

- 1) Away from paved surfaces.
- 2) 0.5" above grade in improved lawns, and
- 3) 2" above grade in unimproved areas.

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#### 14.5 MEASUREMENT AND PAYMENT

14.5.1 Measurement: "Pressure Sewer Lateral Assembly" shall be measured 'Each.' For purposes of measurement and payment, no distinction shall be made between connections to HDPE force mains or connections to gravity sewer mains. The "Pressure Sewer Lateral Assembly" is defined to include the pressure sewer lateral assembly, meter box and lid, and HDPE tapping saddle assembly (or brass tapping saddle, as applicable).

14.5.2. Payment: Payment for "Pressure Sewer Lateral Assembly" will be made at the Contract Unit Price 'each' as set forth in the Bid Schedule for the actual quantity measured. Payment 'each' shall be considered full compensation for all materials, labor, equipment and incidentals necessary for the completion of the work.

-- THE END --

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## SECTION XV

### TECHNICAL SPECIFICATIONS

#### VALVES

##### 15.1 SCOPE

This work shall consist of furnishing and installing Valves on 6", 4", 3" and 2" High Density Polyethylene pipe.

##### 15.1.A QUALITY ASSURANCE/SUBMITTALS

15.1.A.1 Submit five copies of manufacturer's certification of compliance with applicable AWWA specifications. Certificate to be signed by corporate officer having authority to legally bind the company.

##### 15.2 MATERIALS

15.2.1 General: Valves 3" and larger shall be gate valves. Valves less than 3" shall be thermoplastic ball valves (Nordstrom or equal).

15.2.2 Gate Valves: All gate valves shall be iron body, nonrising stem, fully bronze mounted (Mueller or approved equal). VALVES SHALL BE RATED FOR WORKING WATER PRESSURES OF 150 PSI. Valves shall be of standard manufacture and of the highest quality both as to materials and workmanship.

All gate valves for "below ground" service shall be furnished with mechanical joint end connections. Gate valves for "above ground" (or pit) installations shall be furnished with flanged end connections.

All gate valves shall have the name or monogram of the manufacturer, the year the valve casting was made, the size of the valve, and the working water pressure cast on the body of the valve.

Each gate valve for "below ground" service shall be installed in a vertical position with a valve box, as shown in the Design Drawings. Gate valves set with boxes shall be provided with a two inch square operating nut and shall be opened by turning to the left (counterclockwise). Each gate valve for "above ground" (or pit) installations shall be furnished with a hand wheel operator.

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15.2.3 Ball Valves: Two inch valves shall be thermoplastic ball valves manufactured from glass reinforced nylon materials (Nordstrom or equal).

15.2.4 Valve Box and Cover: The valve box and cover shall be of cast iron construction (Clow F-2450, or equal) and shall be engraved with the word "water".

15.2.5 Valve Marker: Each valve assembly shall be delineated by a valve marker as detailed in the Drawings. The marker shall consist of a 3" yellow PE pipe embedded vertically adjacent to the valve. The marker shall include a weatherproof label identifying the valve owner and provide an emergency phone number for the owner.

15.2.6 Plug: If the gate valve is to be installed at the end of a line the CONTRACTOR shall provide one full joint of ductile iron pipe with cap beyond the valve.

### 15.3 INSTALLATION

Trenching, bedding, and backfilling requirements for gate valves shall conform to the installation requirements for water lines and fittings. The base of the valve shall be anchored in concrete as shown in the Design Drawings. The valve box shall be installed vertically, centered over the stem of the operating nut. The valve box base shall be placed at least two inches above the flanged joint of the valve cover. The top of the operating nut should be no higher than the hub or upper part of the valve box base where it connects to the center section.

### 15.4 MEASUREMENT AND PAYMENT

15.4.1 Measurement: Valves for buried service in-place, tested, and accepted shall be measured each. Valves installed in vaults, pits, and pumping stations shall be considered incidental to the complete price for the vault, pit or pumping station and shall not be measured for separate payment.

15.4.2 Payment: Valves measured for payment shall be paid for at the contract price "each" as set forth in the Bid Schedule. Payment as specified shall be considered as full compensation for all labor, materials, equipment, and incidentals necessary to perform the work as required. The valve box and cover shall be considered incidental to the installation and shall not be measured for separate payment.

-- THE END --

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## SECTION XVIII

### TECHNICAL SPECIFICATIONS

#### BORE AND/OR ENCASE

##### 18.1 SCOPE

This work shall consist of furnishing and installing steel encasement pipes for sanitary sewer lines and force mains by boring, jacking, or open cut methods.

##### 18.1.A Quality Assurance/Submittals

18.1.A.1 Submit five copies of certified mill test report on steel encasement pipe.

##### 18.2 GENERAL

The CONTRACTOR shall comply with the previously obtained permits and approvals for completion of this work. Copies of the permits and/or approvals are reproduced in the Permits section of this document.

##### 18.3 MATERIALS

18.3.1 Encasement Pipe: Encasement pipe shall be steel, plain end, uncoated, unwrapped, have continuously welded joints and have a yield point strength of 35,000 psi and conform to AWWA Specifications C200. The minimum wall thickness of the pipe shall be as indicated in the Detail Drawings.

In general, the inside diameter of the encasement pipe shall be 4 inches greater than the largest outside diameter of the carrier pipe. The Detail Drawings provide a table from which required encasement pipe diameters may be derived.

Field welding of encasement pipe shall be performed by a certified welder in accordance with the requirements of AWWA Specification C206-82.

18.3.2 Seals: A removable watertight rubber seal shall be used to seal the annulus between the excavation and the encasement pipe.

##### 18.4 INSTALLATION - BORE AND JACK

No distinction shall be made between boring through earth or boring through rock. The CONTRACTOR shall conduct his own investigation of subsurface conditions and shall base his bid on his own findings.

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The jacking will be allowed in one direction only. The installation procedure must provide for the placement of the encasement pipe concurrently with the removal of the soil.

Grouting between the excavation and the encasement pipe will be required if ordered by the ENGINEER or if, for any reason, the excavation exceeds one (1) inch larger than the outside diameter of the liner. Grout holes shall be provided in the tunnel lining with a spacing not to exceed four and one-half (4.5) feet measured longitudinally. The location of the holes shall be varied around the periphery of the encasement pipe to suit field conditions which will permit the proper grouting sequence to insure complete filling of void spaces outside the encasement pipe. The CONTRACTOR shall fill all the void space outside the encasement pipe with Portland Cement grout. The machine used for grouting shall permit the application of a pressure up to seventy-five (75) pounds per square inch in excess of any external water pressure. A gage shall be provided which will accurately indicate working pressure and this gage shall be carefully watched during grouting operations. The pressure shall at no time be allowed to exceed that considered safe or which would distort the encasement pipe. Grout pipes shall be one and one-half (1½) inches inside diameter.

The carrier pipe shall be installed after the encasement pipe is in place. The installation of the carrier pipe shall be in accordance with the manufacturer's specifications using casing skids as shown in the Detail Sheets of the Design Drawings. After the carrier pipe has been installed, inspected, and tested as specified, both ends of the encasement pipe shall be closed with a removable, water-tight "boot" in a manner acceptable to the OWNER.

#### **18.5 INSTALLATION - OPEN CUT**

Where the encasement pipe is placed in open cut, the encasement pipe trenching, bedding, laying, and backfilling shall conform to the requirements of the applicable sections of these Specifications. The carrier pipe shall be installed after the encasement pipe is in place. The installation of the carrier pipe shall be in accordance with the manufacturer's specification using casing skids as shown in the Detail Sheets of the Design Drawings. After the carrier pipe has been installed, inspected, and tested as specified, both ends of the cover pipe shall be closed with a removable, watertight "boot" in a manner acceptable to the OWNER.

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## 18.6 MEASUREMENT AND PAYMENT

18.6.1 Measurement: "Bore and Encase for 'X' inch Pipe" of the applicable diameter will be measured by the linear foot of steel encasement pipe furnished, installed, inspected and accepted. "Open Cut Encase for 'X' inch Pipe" of the applicable diameter will be measured by the linear foot of steel encasement pipe furnished, installed, inspected and accepted.

18.6.2 Payment: Payment for "Bore and Encase for 'X' inch Pipe" of the applicable diameter will be made at the contract unit price per linear foot as set forth in the Bid Schedule for the number of feet of encasement pipe measured. Payment for "Open Cut Encase for 'X' inch Pipe" of the applicable diameter will be made at the contract unit price per linear foot as set forth in the Bid Schedule for the number of feet of encasement pipe measured. Such payment shall constitute full compensation for all materials, labor, equipment and incidentals necessary for the completion of the work. Carrier pipe installed in the encasement pipe will be measured and paid for as indicated in the applicable sections of these Specifications.

-- THE END --



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## SECTION XIX

### TECHNICAL SPECIFICATIONS

#### RESIDENTIAL WASTEWATER PUMPING STATIONS

##### 19.1 SCOPE

Provide all labor, materials, and equipment necessary for furnishing and installing underground residential submersible sewage pumping station(s) complete and in proper operating condition. The work includes all work shown or implied on the Drawings. The work includes (but is not limited to):

1. Coordination of final station location and controls location with resident and OWNER.
2. Furnishing, installation and start-up of factory built simplex submersible grinder pump station complete with enclosure, plumbing and controls.
3. All related electrical work required to place the pumping unit in service including connection of the station's power supply to the property owner supplied junction box.
4. **Connection of the resident's sewer lateral to the completed pump station.**
5. All related site work including clearing, grading, trenching, backfilling, surface restoration, clean-up, etc.

Installation of the Pumping Station force main is covered under a separate section of these specifications and is not a part of this specification.

##### 19.2 QUALITY CONTROL

19.2.1 Base Bidding: These specifications and the Drawings are based on provision of an Environmental One submersible pumping unit. To simplify repairs and inventory of spare parts, the OWNER has mandated that The SUCCESSFUL BIDDER (CONTRACTOR) may not submit an equal submittal.

19.2.2 Guarantee: The manufacturer of the submersible pumping station shall guarantee all equipment supplied against defects in workmanship and material for a period of sixty (60) months after notice of OWNER's acceptance, but no

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greater than sixty-five (65) months after receipt of shipment. The OWNER will report any defects found during the warranty period to the MANUFACTURER.

In the event a component fails to perform as specified or is proven defective in service during the warrents period, the Manufacturer shall repair or replace such defective part without cost to the OWNER. He shall further provide, without cost, such labor as may be required to replace, repair, or modify major equipment components.

19.2.3 Start-Up: The manufacturer shall provide the services of a factory-trained representative to perform initial start-up of the pumping units and to instruct the OWNER's operating personnel in the operation and maintenance of the equipment.

### 19.3 SUBMITTALS

19.3.1 Submit five (5) bound copies of the following:

1. Manufacturer's warranty/guarantee.
2. Pump station Shop Drawings complete with station drawing, electrical schematics, and accessory components.
3. Pump station O & M Manuals. Manuals are to provide basic instructions for preventative and cyclic maintenance, sources of spare parts, etc.

### 19.4 MATERIALS - WET WELL

19.4.1 TANK: Fiberglass Construction. The tank shall consist of a single wall, laminated fiberglass construction. The resin used shall be of a commercial grade suitable for the environment. The reinforcing material shall be a commercial grade of glass fiber capable of bonding with the selected resin. The inner surface shall have a smooth finish and be free of cracks and crazing. The exterior tank surface shall be relatively smooth with no exposed fiber or sharp projections present.

The tank wall and bottom shall be of sufficient thickness and construction to withstand the imposed loading due to saturated soil at the specified burial depth for each available tank height. All station components must function normally when exposed to the external soil and hydrostatic pressures developed at the specified burial depth. The tank

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bottom shall be reinforced with a fiberglass plate extending beyond the tank walls to support concrete anchoring, as required, to prevent flotation. The tank shall include a solid fiberglass cover, secured with threaded stainless steel fasteners, providing low profile mounting.

The pump discharge piping components shall be 1-1/4" IPS and consist of PVC pipe fittings, a PVC ball valve, rated at 200 psi WOG, with integral union to facilitate piping disconnect. Installation of the pump discharge piping shall require field assembly by the installing party. The tank shall have a discharge bulkhead, which terminates outside the tank wall with a 1-1/4" female pipe thread. The discharge bulkhead shall be factory installed and warranted by the manufacturer to be watertight. The tank shall be furnished with an EPDM grommet to accept a 4.50" OD (4" DWV or SCHD 40) inlet pipe. The power and control cable shall connect to the pump by means of the provided NEMA 6P electrical quick disconnect (EQD) and shall enter the tank through a watertight strain relief connector supplied by the manufacturer. Installation of the inlet grommet and cable strain relief shall require field penetration of the tank wall by the installing party. Provision shall be made for tank venting in the 4" inlet line in accordance with national and local plumbing code requirements.

#### 19.5 MATERIALS - PUMPS

Pump(s) shall be of the progressive cavity or centrifugal design. The pumps must be capable of operating at negative total dynamic head without overloading the motor. Under no conditions shall in-line piping or valving be allowed to create a false apparent head.

The pump(s) operations curve shall be as follows:

Ops Point 1	6.0 GPM	@ 230Ft
Ops Point 2	7.8 GPM	@ 185 Ft
Ops Point 3	10 GPM	@ 120 Ft
Ops Point 4	12 GPM	@ 70 Ft
Ops Point 5	15 GPM	@ 0 Ft

The pump(s) must be capable of delivering cleansing velocities as dictated by Division of Water of 2 feet/second against a continuous total dynamic head of up to 230 feet.

16a.5.1 PROGRESSIVE CAVITY PUMP: Each pump shall be a custom designed, integral, vertical rotor, motor driven, solids handling pump of the progressing cavity type with mechanical seal. The rotor shall be constructed of stainless steel. The stator shall be of a specifically compounded

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ethylene propylene synthetic elastomer. Buna-N is not acceptable as a stator material. The material shall be suited for domestic wastewater service. Its physical properties shall include high tear and abrasion resistance, grease resistance, water and detergent resistance, temperature stability, good aging properties, and outstanding wear resistance.

16a.5.2 MECHANICAL SEAL: Each pump shall be provided with a mechanical shaft seal to prevent leakage between the motor and pump. The seal shall have a stationary ceramic seat and carbon rotating surface with faces precision lapped and held in position by a stainless steel spring.

16a.5.3 GRINDER: Each grinder shall be placed immediately below the pumping elements and shall be direct-driven by a single, one-piece stainless steel motor shaft. The grinder impeller assembly shall be securely fastened to the pump motor shaft. The grinder will be of the rotating type with a stamped, stainless steel shredder ring assembly spaced in accurate, close annular alignment with the driven impeller assembly, which shall carry hardened, stainless steel cutter bars.

This assembly shall be dynamically balanced and operate without vibration over the entire range of specified operating pressures. The grinder shall be constructed so as to eliminate clogging and jamming under all normal operating conditions including pump starting. Sufficient vortex action shall be created by the grinder pump, to scour the tank free of deposits or sludge banks, which would impair the operation of the pump. These requirements shall be accomplished by the following, in conjunction with the pump:

- 1. The grinder shall be positioned in such a way that solids are fed in an upward flow direction.*
- 2. The grinder inlet shroud shall have a diameter no less than 5 inches.*

The grinder shall be capable of reducing all components in normal domestic sewage, including a reasonable amount of "foreign objects," such as paper, wood, plastic, glass, rubber and the like, to finely divided particles that will pass freely through the passages of the pump and the 1-1/4" diameter discharge piping.

## **19.6 MATERIALS - PUMP MOTORS**

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The motor shall be a 1 HP, 1725 RPM, 240 Volt 60 Hertz, 1 Phase, capacitor start, ball bearing, squirrel cage induction type with a low starting current not to exceed 30 amperes and high starting torque of 8.4 foot pounds. Inherent protection against running overloads or locked rotor conditions for the pump motor shall be provided by the use of an automatic-reset, integral thermal overload protector incorporated into the motor.

#### **19.7 MATERIALS - CHECK VALVE**

The pump discharge shall be equipped with a factory installed, gravity operated, flapper-type integral check valve secured to the stainless steel pump discharge elbow. The check valve will provide a full-ported passageway when open, and shall introduce a friction loss of less than 6 inches of water at maximum rated flow. Working parts will be made of a 300 series stainless steel and fabric reinforced synthetic elastomer to ensure corrosion resistance, dimensional stability, and fatigue strength. A non-metallic hinge shall be an integral part of the flapper assembly providing a maximum degree of freedom to assure seating even at a very low backpressure. The valve body shall be injection-molded parts made of glass filled thermoplastic.

Provision by the installing party shall be made for the supply and installation of a separate check valve in the 1 1/4" service lateral between the grinder pump station and the sewer main, preferably next to the curb stop.

#### **19.8 MATERIALS - CONTROLS**

CONTROLS: All necessary controls shall be located in the control cover of the core unit. The control cover will be attached with stainless steel fasteners. The grinder pump will be furnished with a length of 6 conductor 14 gauge, type SJOW cable, pre-wired and watertight to meet UL requirements. Non-fouling waste water level detection for controlling pump operation shall be accomplished by monitoring the pressure changes in an integral air-bell level sensor connected to a pressure switch. The level detection device shall have no moving parts in direct contact with the wastewater. High-level sensing will be accomplished in the manner detailed above by a second, independent, air-bell sensor and pressure switch of the same type.

To assure reliable operation of the pressure sensitive switches, each core shall be equipped with a breather

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assembly, complete with a suitable means to prevent entry of water into the motor compartment.

**ALARM/DISCONNECT PANEL:** Each Grinder Pump Station shall include a NEMA 3R, Alarm/Disconnect Panel suitable for wall or pole mounting. The NEMA 3R enclosure shall be manufactured of corrosion resistant thermoplastic and be furnished with a hinged cover and pad lock.

For each core, the panel shall contain one (1) - 15 amp, double pole circuit breaker for the power circuit and one (1) 15 amp single pole circuit breaker for the alarm circuit. The Alarm/Disconnect Panel shall include a visual high-level alarm indicator. The visual alarm lamp shall be inside a red fluted lens mounted to the top of the enclosure in such a manner as to maintain NEMA 3R rating. The alarm sequence is to be as follows:

- 1. When liquid level in the tank rises above the alarm level, the contacts on the alarm pressure switch will close and the visual alarm will illuminate on the control panel.*
- 2. The visual alarm will remain illuminated until the sewage level in the tank drops below the "off" setting of the alarm pressure switch.*

#### **19.9 MATERIALS - CORE UNIT**

Pump units with slide away couplings shall be provided. The slide away coupling shall allow the pump to be installed or removed without requiring personnel to enter the wet well. The Grinder Pump Station shall have an easily removable core assembly consisting of the pump, motor, grinder, all motor controls, check valve, anti-siphon valve, EQD and wiring. The grinder pump core unit shall be furnished with polypropylene lifting harness connected to the pump body to facilitate easy removal when necessary. All mechanical and electrical connections must provide easy disconnect accessibility for core unit removal and installation.

#### **19.10 MATERIALS - ACCESSORIES**

- A. All materials exposed to wastewater shall have inherent corrosion protection. Acceptable corrosion protection includes epoxy powder-coated cast iron, fiberglass, stainless steel, polyethylene, nylon, and PVC.

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- B. **Spare core assemblies shall be included with the total order for the residential grinder pump package as indicated on the bid schedule.**
- C. Electrical Systems & Components - All electrical systems and components shall be in full accordance with the current edition of the National Electrical Code. All power supply lines and control lines to the pump station shall be fully encased in rigid conduit meeting NEC requirements. All electrical systems and components in wet wells and enclosed spaces shall comply with National Electrical Code requirements for Class I, Group D, Division 1 locations and shall be suitable for use in corrosive environments. All conduits extending from the wet well to the control panel shall be sealed at the entrance to the control panel to prevent the intrusion of corrosive gases! The control circuitry shall be provided with "Ground Fault" interruption protection, which will de-energize the circuit in the event of any failure in the electrical integrity of the pump power cable.

#### 19.11 INSTALLATION

19.11.1 Maintenance of Service: Wastewater service shall be maintained throughout the construction activity. No discharge to surface waters shall be allowed.

19.11.2 Installation shall be in accordance with the Manufacturer's requirements and the referenced codes and specifications.

19.11.3 Excavation: CONTRACTOR shall select means, methods, sequences and techniques of construction to both protect adjacent properties and to provide a stable, safe working environment. Decision as to whether to use sheet piles with wales and struts, manhole trench box, piles and lagging, or other methods of excavation support shall be the CONTRACTOR'S.

19.11.4 Backfilling: Before backfilling is started, the excavated pit shall be cleared of all rubbish and debris and shall be de-watered. The backfill material shall be free of frozen lumps, vegetation and debris. Backfill material shall be placed in uniform horizontal layers not exceeding 6 inches in thickness (loose measure). As a precaution against the development of unbalanced stresses, the backfill shall be placed and compacted symmetrically about the excavation to 95% of Standard Proctor Density.

TS-XIX-8

The grading shall be brought to the level of the existing topography or to the elevations established by the ENGINEER. Final dressing shall be accomplished by such methods as may be necessary to produce a uniform and smooth finish to all parts of the regrade. The surface shall be free from clods greater than two inches in diameter.

19.11.5 Electrical: The electrical connection of the residential grinder pump station shall be in accordance to all state and federal electrical codes and shall be connected separately from all other electrical devices (i.e. no piggy-backing).

## 19.12 TESTING

19.12.1 Electrical: All electrical work shall be inspected and approved by an electrical inspector. Two copies of the Certificate of Approval shall be provided to the ENGINEER before final acceptance.

19.12.2 Pump Test: The completed installation shall be given a running test of all equipment. While the pump(s) is/are running, all piping and seals shall be checked to insure that no leaks occur. All controls and warning indicators shall be checked for proper operation.

19.12.3 Smoke Test: The CONTRACTOR shall smoke test the resident's incoming sewer system (in the presence of the ENGINEER) to verify that roof leaders are not connected to the grinder system. A written report of all smoke testing, with emphasis on non-complying homeowners, shall be furnished to the ENGINEER at the completion of the project.

19.12.4 Repair: Any defects or failure to meet the requirements of these specifications shall be promptly corrected by the CONTRACTOR by replacement. The decision of the OWNER as to whether or not the CONTRACTOR has fulfilled his obligation shall be final and binding on all parties.

## 19.13 MEASUREMENT AND PAYMENT

19.13.1 Measurement: The payment categories for Residential Grinder Stations are as follows:

- Item 5a - Install Residential Grinder Pump Station, Complete, In-Place
- Item 5b - Pressure Sewer Lateral Assembly
- Item 5c - Extra Vertical Height for Grinder Pump Stations - Materials



TS-XIX-9

Please Note: The work of Items 5a, 5b, and 5c shall include (but not be limited to):

1. Coordination of final station location and controls location with resident and OWNER.
2. Furnishing, installation and start-up of factory built simplex submersible grinder pump station complete with enclosure, plumbing and controls.
3. All related electrical work required to place the pumping unit in service including connection of the station's power supply to the resident's power center (light panel). At a minimum this work will include coordination with the resident, a small light panel (where fuse boxes are present); a 30 amp circuit breaker; a NEMA 3R/4 (breaker type) disconnect of the appropriate amperage, wiring, conduit, and related electrical accessories.
4. Connection of the resident's sewer lateral to the completed pump station.
5. Acceptance testing of the completed grinder pump station installation including electrical inspection of wiring and smoke test of resident's plumbing.
6. All related site work including clearing, grading, trenching, backfilling, surface restoration, clean-up, etc.

19.13.2 Payment: Payment shall be made at the unit price ('each', 'VF' or 'LF' as applicable) for the actual number of units furnished / installed (as applicable) as set forth in the Contract. Payment as specified for Items '5a' through '5e' shall constitute full compensation for all labor, materials, equipment and incidentals necessary to complete the work specified herein and no other separate payment shall be made. It is noted that the force main from the grinder station is a separate pay item and is not included as a part of this section.

-- THE END --

# *N O T I C E*

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DEPARTMENT OF THE ARMY  
CORPS OF ENGINEERS

## PENDING SECTION 404 PERMIT

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**PROJECT:** Magoffin County, KY 9009, Item No. 10-0140.00  
Mountain Parkway Widening MP 73.4 to MP 75.3

---

THIS SHALL SERVE AS A NOTICE TO THE CONTRACTOR THAT A DEPARTMENT OF THE ARMY SECTION 404 PERMIT IS REQUIRED AND IS PENDING APPROVAL FOR PORTIONS OF THIS PROJECT. THIS NOTICE IS FOR INFORMATIONAL PURPOSES ONLY AND SHALL BE SUPERSEDED UPON RECEIPT OF THE APPROVED CORPS OF ENGINEERS PERMIT.

THE DEPARTMENT OF THE ARMY PERMITS ARE AUTHORIZED AND ISSUED UNDER AUTHORITY OF SECTION 10 OF THE RIVERS AND HARBOR ACT AND SECTION 404 OF THE CLEAN WATER ACT. IN COMPLIANCE WITH THE U.S. ARMY CORPS OF ENGINEERS' SECTION 404 REGULATIONS AND PROCEDURES, THE CONTRACTOR **SHALL NOT** PERFORM THE PROPOSED WORK, WHICH INVOLVES IMPACTS TO WATERS OF THE UNITED STATES, UNTIL THE KENTUCKY TRANSPORTATION CABINET HAS SECURED THE APPROPRIATE APPROVALS AND HAS PROVIDED COPIES OF THESE APPROVALS TO THE CONTRACTOR.

# *N O T I C E*

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## **KENTUCKY DIVISION OF WATER**

# **PENDING SECTION 401 PERMIT**

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**PROJECT:** Magoffin County, KY 9009, Item No. 10-0140.00  
Mountain Parkway Widening MP 73.4 to MP 75.3

---

THIS SHALL SERVE AS A NOTICE TO THE CONTRACTOR THAT A WATER QUALITY CERTIFICATION (WQC) IS REQUIRED AND PENDING APPROVAL FOR PORTIONS OF THIS PROJECT. THIS NOTICE IS FOR INFORMATIONAL PURPOSES ONLY AND SHALL BE SUPERSEDED UPON RECEIPT OF THE APPROVED WQC FROM THE KENTUCKY DIVISION OF WATER.

THE CONTRACTOR **SHALL NOT** PERFORM THE PROPOSED WORK, WHICH INVOLVES IMPACTS TO WATER OF THE COMMONWEALTH, UNTIL THE KENTUCKY TRANSPORTATION CABINET HAS SECURED THE APPROPRIATE APPROVALS AND HAS PROVIDED COPIES OF THESE APPROVALS TO THE CONTRACTOR.

KyTC BMP Plan for Project PCN ## - #####



Kentucky Transportation Cabinet

Highway District 10

And

\_\_\_\_\_ (2), Construction

Kentucky Pollutant Discharge Elimination System  
Permit KYR10  
Best Management Practices (BMP) plan

Groundwater protection plan

For Highway Construction Activities

For

[Widening of the Mountain Parkway](1)

Project: 10 – 0140.00

## KyTC BMP Plan for Project PCN ## - ####

### Project information

Note – (1) = Design (2) = Construction (3) = Contractor

1. Owner – Kentucky Transportation Cabinet, District \_10\_ (1)
2. Resident Engineer: (2)
3. Contractor name: (2)  
Address: (2)  
  
Phone number: (2)  
Contact: (2)  
Contractors agent responsible for compliance with the KPDES permit requirements (3):
4. Project Control Number (2)
5. Route (Address) Mountain Parkway (KY 9009) (1)
6. Latitude/Longitude (project mid-point) 37°44'11", 83°4'6" (1)
7. County (project mid-point) (1) MAGOFFIN COUNTY
8. Project start date (date work will begin): (2)
9. Projected completion date: (2)

### A. Site description:

1. Nature of Construction Activity (from letting project description) (1)  
MAJOR WIDENING/RECONSTRUCTION
2. Order of major soil disturbing activities (2) and (3)
3. Projected volume of material to be moved (1)  
1,312,763 CU.YDS.
4. Estimate of total project area (acres) (1)  
57 ACRES

## KyTC BMP Plan for Project PCN ## - #####

5. Estimate of area to be disturbed (acres) (1)  
53 ACRES
6. Persons needing information pertaining to the runoff coefficient will contact the resident engineer to request this information.
7. Data describing existing soil condition (1) & (2)
8. Data describing existing discharge water quality (if any) (1) & (2)
9. Receiving water name (1)  
LICKING RIVER
10. TMDLs and Pollutants of Concern in Receiving Waters: (1 DEA)
11. Site map – Project layout sheet plus the erosion control sheets in the project plans that depict Disturbed Drainage Areas (DDAs) and related information. These sheets depict the existing project conditions with areas delineated by DDA (drainage area bounded by watershed breaks and right of way limits), the storm water discharge locations (either as a point discharge or as overland flow) and the areas that drain to each discharge point. These plans define the limits of areas to be disturbed and the location of control measures. Controls will be either site specific as designated by the designer or will be annotated by the contractor and resident engineer before disturbance commences. The project layout sheet shows the surface waters and wetlands.
12. Potential sources of pollutants:  
  
The primary source of pollutants is solids that are mobilized during storm events. Other sources of pollutants include oil/fuel/grease from servicing and operating construction equipment, concrete washout water, sanitary wastes and trash/debris. (3)

### B. Sediment and Erosion Control Measures:

1. Plans for highway construction projects will include erosion control sheets that depict Disturbed Drainage Areas (DDAs) and related information. These plan sheets will show the existing project conditions with areas delineated by DDA within the right of way limits, the discharge points and the areas that drain to each discharge point. Project managers and designers will analyze the DDAs and identify Best Management Practices (BMPs) that are site specific. The balance of the BMPs for the project will

## KyTC BMP Plan for Project PCN ## - #####

be listed in the bid documents for selection and use by the contractor on the project with approval by the resident engineer.

Projects that do not have DDAs annotated on the erosion control sheets will employ the same concepts for development and managing BMP plans.

2. Following award of the contract, the contractor and resident engineer will annotate the erosion control sheets showing location and type of BMPs for each of the DDAs that will be disturbed at the outset of the project. This annotation will be accompanied by an order of work that reflects the order or sequence of major soil moving activities. The remaining DDAs are to be designated as "Do Not Disturb" until the contractor and resident engineer prepare the plan for BMPs to be employed. The initial BMP's shall be for the first phase (generally Clearing and Grubbing) and shall be modified as needed as the project changes phases. The BMP Plan will be modified to reflect disturbance in additional DDA's as the work progresses. All DDA's will have adequate BMP's in place before being disturbed.
  
3. As DDAs are prepared for construction, the following will be addressed for the project as a whole or for each DDA as appropriate:
  - Construction Access – This is the first land-disturbing activity. As soon as construction begins, bare areas will be stabilized with gravel and temporary mulch and/or vegetation.
  - At the beginning of the project, all DDAs for the project will be inspected for areas that are a source of storm water pollutants. Areas that are a source of pollutants will receive appropriate cover or BMPs to arrest the introduction of pollutants into storm water. Areas that have not been opened by the contractor will be inspected periodically (once per month) to determine if there is a need to employ BMPs to keep pollutants from entering storm water.
  - Clearing and Grubbing – The following BMP's will be considered and used where appropriate.
    - Leaving areas undisturbed when possible.
    - Silt basins to provide silt volume for large areas.
    - Silt Traps Type A for small areas.
    - Silt Traps Type C in front of existing and drop inlets which are to be saved
    - Diversion ditches to catch sheet runoff and carry it to basins or traps or to divert it around areas to be disturbed.
    - Brush and/or other barriers to slow and/or divert runoff.

## KyTC BMP Plan for Project PCN ## - #####

- Silt fences to catch sheet runoff on short slopes. For longer slopes, multiple rows of silt fence may be considered.
- Temporary Mulch for areas which are not feasible for the fore mentioned types of protections.
- Non-standard or innovative methods.
- Cut & Fill and placement of drainage structures - The BMP Plan will be modified to show additional BMP's such as:
  - Silt Traps Type B in ditches and/or drainways as they are completed
  - Silt Traps Type C in front of pipes after they are placed
  - Channel Lining
  - Erosion Control Blanket
  - Temporary mulch and/or seeding for areas where construction activities will be ceased for 21 days or more.
  - Non-standard or innovative methods
- Profile and X-Section in place – The BMP Plan will be modified to show elimination of BMP's which had to be removed and the addition of new BMP's as the roadway was shaped. Probably changes include:
  - Silt Trap Type A, Brush and/or other barriers, Temporary Mulch, and any other BMP which had to be removed for final grading to take place.
  - Additional Silt Traps Type B and Type C to be placed as final drainage patterns are put in place.
  - Additional Channel Lining and/or Erosion Control Blanket.
  - Temporary Mulch for areas where Permanent Seeding and Protection cannot be done within 21 days.
  - Special BMP's such as Karst Policy
- Finish Work (Paving, Seeding, Protect, etc.) – A final BMP Plan will result from modifications during this phase of construction. Probably changes include:
  - Removal of Silt Traps Type B from ditches and drainways if they are protected with other BMP's which are sufficient to control erosion, i.e. Erosion Control Blanket or Permanent Seeding and Protection on moderate grades.
  - Permanent Seeding and Protection
  - Placing Sod
  - Planting trees and/or shrubs where they are included in the project
- BMP's including Storm Water Management Devices such as velocity dissipation devices and Karst policy BMP's to be installed during construction to control the pollutants in storm water discharges that will occur after construction has been completed are : (1)



## KyTC BMP Plan for Project PCN ## - #####

### C. Other Control Measures

1. No solid materials, including building materials, shall be discharged to waters of the commonwealth, except as authorized by a Section 404 permit.
2. Waste Materials

All waste materials that may leach pollutants (paint and paint containers, caulk tubes, oil/grease containers, liquids of any kind, soluble materials, etc.) will be collected and stored in appropriate covered waste containers. Waste containers shall be removed from the project site on a sufficiently frequent basis as to not allow wastes to become a source of pollution. All personnel will be instructed regarding the correct procedure for waste disposal. Wastes will be disposed in accordance with appropriate regulations. Notices stating these practices will be posted in the office.

3. Hazardous Waste

All hazardous waste materials will be managed and disposed of in the manner specified by local or state regulation. The contractor shall notify the Resident Engineer if there any hazardous wastes being generated at the project site and how these wastes are being managed. Site personnel will be instructed with regard to proper storage and handling of hazardous wastes when required. The Transportation Cabinet will file for generator, registration when appropriate, with the Division of Waste Management and advise the contractor regarding waste management requirements.

4. Spill Prevention

The following material management practices will be used to reduce the risk of spills or other exposure of materials and substances to the weather and/or runoff.

➤ Good Housekeeping:

The following good housekeeping practices will be followed onsite during the construction project.

- An effort will be made to store only enough product required to do the job
- All materials stored onsite will be stored in a neat, orderly manner in their appropriate containers and, if possible, under a roof or other enclosure
- Products will be kept in their original containers with the original manufacturer's label

## KyTC BMP Plan for Project PCN ## - #####

- Substances will not be mixed with one another unless recommended by the manufacturer
  - Whenever possible, all of the product will be used up before disposing of the container
  - Manufacturers' recommendations for proper use and disposal will be followed
  - The site contractor will inspect daily to ensure proper use and disposal of materials onsite
- Hazardous Products:

These practices will be used to reduce the risks associated with any and all hazardous materials.

- Products will be kept in original containers unless they are not resealable
- Original labels and material safety data sheets (MSDS) will be reviewed and retained
- Contractor will follow procedures recommended by the manufacturer when handling hazardous materials
- If surplus product must be disposed of, manufacturers' or state/local recommended methods for proper disposal will be followed

The following product-specific practices will be followed onsite:

➤ Petroleum Products:

Vehicles and equipment that are fueled and maintained on site will be monitored for leaks, and receive regular preventative maintenance to reduce the chance of leakage. Petroleum products onsite will be stored in tightly sealed containers, which are clearly labeled and will be protected from exposure to weather.

The contractor shall prepare an Oil Pollution Spill Prevention Control and Countermeasure plan when the project that involves the storage of petroleum products in 55 gallon or larger containers with a total combined storage capacity of 1,320 gallons. This is a requirement of 40 CFR 112.

This project (will / will not) (3) have over 1,320 gallons of petroleum products with a total capacity, sum of all containers 55 gallon capacity and larger.

➤ Fertilizers:

Fertilizers will be applied at rates prescribed by the contract, standard specifications or as directed by the resident engineer. Once applied, fertilizer will be covered with mulch or blankets or worked into the soil to limit exposure to

## KyTC BMP Plan for Project PCN ## - #####

storm water. Storage will be in a covered shed. The contents of any partially used bags of fertilizer will be transferred to a sealable plastic bin to avoid spills.

### ➤ Paints:

All containers will be tightly sealed and stored indoors or under roof when not being used. Excess paint or paint wash water will not be discharged to the drainage or storm sewer system but will be properly disposed of according to manufacturers' instructions or state and local regulations.

### ➤ Concrete Truck Washout:

Concrete truck mixers and chutes will not be washed on pavement, near storm drain inlets, or within 75 feet of any ditch, stream, wetland, lake, or sinkhole. Where possible, excess concrete and wash water will be discharged to areas prepared for pouring new concrete, flat areas to be paved that are away from ditches or drainage system features, or other locations that will not drain off site. Where this approach is not possible, a shallow earthen wash basin will be excavated away from ditches to receive the wash water

### ➤ Spill Control Practices

In addition to the good housekeeping and material management practices discussed in the previous sections of this plan, the following practices will be followed for spill prevention and cleanup:

- Manufacturers' recommended methods for spill cleanup will be clearly posted. All personnel will be made aware of procedures and the location of the information and cleanup supplies.
- Materials and equipment necessary for spill cleanup will be kept in the material storage area. Equipment and materials will include as appropriate, brooms, dust pans, mops, rags, gloves, oil absorbents, sand, sawdust, and plastic and metal trash containers.
- All spills will be cleaned up immediately after discovery.
- The spill area will be kept well ventilated and personnel will wear appropriate protective clothing to prevent injury from contact with a hazardous substance.
- Spills of toxic or hazardous material will be reported to the appropriate state/local agency as required by KRS 224 and applicable federal law.
- The spill prevention plan will be adjusted as needed to prevent spills from reoccurring and improve spill response and cleanup.
- Spills of products will be cleaned up promptly. Wastes from spill clean up will be disposed in accordance with appropriate regulations.

## KyTC BMP Plan for Project PCN ## - #####

### D. Other State and Local Plans

This BMP plan shall include any requirements specified in sediment and erosion control plans, storm water management plans or permits that have been approved by other state or local officials. Upon submittal of the NOI, other requirements for surface water protection are incorporated by reference into and are enforceable under this permit (even if they are not specifically included in this BMP plan). This provision does not apply to master or comprehensive plans, non-enforceable guidelines or technical guidance documents that are not identified in a specific plan or permit issued for the construction site by state or local officials. (1)

### E. Maintenance

1. The BMP plan shall include a clear description of the maintenance procedures necessary to keep the control measures in good and effective operating condition.
- Maintenance of BMPs during construction shall be a result of weekly and post rain event inspections with action being taken by the contractor to correct deficiencies.
  - Post Construction maintenance will be a function of normal highway maintenance operations. Following final project acceptance by the cabinet, district highway crews will be responsible for identification and correction of deficiencies regarding ground cover and cleaning of storm water BMPs. The project manager shall identify any BMPs that will be for the purpose of post construction storm water management with specific guidance for any non-routine maintenance. (1)

### F. Inspections

Inspection and maintenance practices that will be used to maintain erosion and sediment controls:

- All erosion prevention and sediment control measures will be inspected at least once each week and following any rain of one-half inch or more.
- Inspections will be conducted by individuals that have received KyTC Grade Level II training or other qualification as prescribed by the cabinet that includes instruction concerning sediment and erosion control.
- Inspection reports will be written, signed, dated, and kept on file.

## KyTC BMP Plan for Project PCN ## - #####

- Areas at final grade will be seeded and mulched within 14 days.
- Areas that are not at final grade where construction has ceased for a period of 21 days or longer and soil stock piles shall receive temporary mulch no later than 14 days from the last construction activity in that area.
- All measures will be maintained in good working order; if a repair is necessary, it will be initiated within 24 hours of being reported.
- Built-up sediment will be removed from behind the silt fence before it has reached halfway up the height of the fence.
- Silt fences will be inspected for bypassing, overtopping, undercutting, depth of sediment, tears, and to ensure attachment to secure posts.
- Sediment basins will be inspected for depth of sediment, and built-up sediment will be removed when it reaches 70 percent of the design capacity and at the end of the job.
- Diversion dikes and berms will be inspected and any breaches promptly repaired. Areas that are eroding or scouring will be repaired and re-seeded / mulched as needed.
- Temporary and permanent seeding and mulching will be inspected for bare spots, washouts, and healthy growth. Bare or eroded areas will be repaired as needed.
- All material storage and equipment servicing areas that involve the management of bulk liquids, fuels, and bulk solids will be inspected weekly for conditions that represent a release or possible release of pollutants to the environment.

## G. Non – Storm Water discharges

It is expected that non-storm water discharges may occur from the site during the construction period. Examples of non-storm water discharges include:

- Water from water line flushings.
- Water from cleaning concrete trucks and equipment.
- Pavement wash waters (where no spills or leaks of toxic or hazardous materials have occurred).
- Uncontaminated groundwater and rain water (from dewatering during excavation).

All non-storm water discharges will be directed to the sediment basin or to a filter fence enclosure in a flat vegetated infiltration area or be filtered via another approved commercial product.

## KyTC BMP Plan for Project PCN ## - #####

### H. Groundwater Protection Plan (3)

This plan serves as the groundwater protection plan as required by 401 KAR 5:037.

➤ Contractors statement: (3)

The following activities, as enumerated by 401 KAR 5:037 Section 2 that require the preparation and implementation of a groundwater protection plan, will or may be conducted as part of this construction project:

\_\_\_\_\_ 2. (e) land treatment or land disposal of a pollutant;

\_\_\_\_\_ 2. (f) Storing, ..., or related handling of hazardous waste, solid waste or special waste, ..., in tanks, drums, or other containers, or in piles, (This does not include wastes managed in a container placed for collection and removal of municipal solid waste for disposal off site);

\_\_\_\_\_ 2. (g) .... Handling of materials in bulk quantities (equal or greater than 55 gallons or 100 pounds net dry weight transported held in an individual container) that, if released to the environment, would be a pollutant;

\_\_\_\_\_ 2. (j) Storing or related handling of road oils, dust suppressants, ....., at a central location;

\_\_\_\_\_ 2. (k) Application or related handling of road oils, dust suppressants or deicing materials, (does not include use of chloride-based deicing materials applied to roads or parking lots);

\_\_\_\_\_ 2. (m) Installation, construction, operation, or abandonment of wells, bore holes, or core holes, (this does not include bore holes for the purpose of explosive demolition);

Or, check the following only if there are no qualifying activities

\_\_\_\_\_ There are no activities for this project as listed in 401 KAR 5:037 Section 2 that require the preparation and implementation of a groundwater protection plan.

The contractor is responsible for the preparation of a plan that addresses the

401 KAR 5:037 Section 3. (3) Elements of site specific groundwater protection plan:

(a) General information about this project is covered in the Project information;

### KyTC BMP Plan for Project PCN ## - #####

- (b) Activities that require a groundwater protection plan have been identified above;
- (c) Practices that will protect groundwater from pollution are addressed in section C. Other control measures.
- (d) Implementation schedule – all practices required to prevent pollution of groundwater are to be in place prior to conducting the activity;
- (e) Training is required as a part of the ground water protection plan. All employees of the contractor, sub-contractor and resident engineer personnel will be trained to understand the nature and requirements of this plan as they pertain to their job function(s). Training will be accomplished within one week of employment and annually thereafter. A record of training will be maintained by the contractor with a copy provide to the resident engineer.
- (f) Areas of the project and groundwater plan activities will be inspected as part of the weekly sediment and erosion control inspections
- (g) Certification (see signature page.)







**EXHIBIT #2**

**PCN #**

**SYP Item #10-0140.00 Mountain Parkway Widening**

**KPDES NOI for Stormwater Discharges Associated with  
Construction Activity Under the KPDES General Permit**

**Transaction ID:**

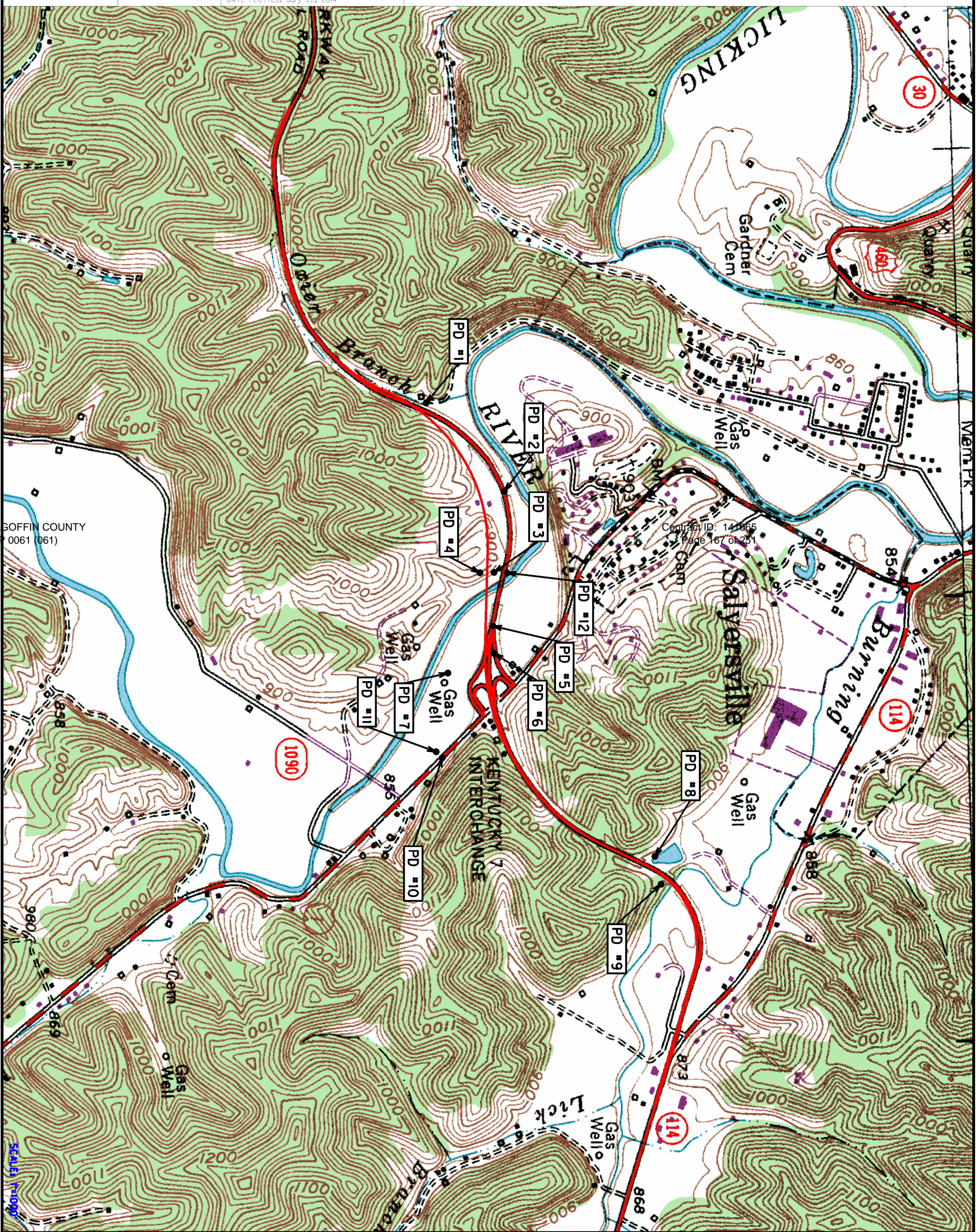
a0bc0852-fd4f-4b30-a67a-0328a9eb0e06

This NOI was submitted to the DOW on 07/25/2014 under the following ID number.

**Submittal ID:**

40969





Contract ID: 141065  
Page 167 of 231

MAGOFFIN COUNTY  
STP 0061 (061)

SCALE: 1"=1000'

Point Discharge	Latitude (N)	Longitude (W)
PD #1	37.734308	83.074299
PD #2	37.736549	83.070918
PD #3	37.736173	83.067901
PD #4	37.735752	83.067623
PD #5	37.736089	83.065883
PD #6	37.736104	83.064863
PD #7	37.734714	83.064178
PD #8	37.740698	83.057128
PD #9	37.740857	83.056084
PD #10	37.734362	83.060957
PD #11	37.734304	83.061260
PD #12	37.736557	83.067871

COUNTY OF	ITEM NO.	SHEET NO.
MAGOFFIN	10-0410	NOI

USGS quadrangle map: Salversville South

**NOI POINT DISCHARGES**



## KENTUCKY TRANSPORTATION CABINET COMMUNICATION ALL PROMISES (CAP)

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<b>Item Number</b> <i>10-0140.00</i>	<b>County</b> MAGOFFIN	<b>Route</b> KY 9009	<b>Project Manager</b> KYTC\MARSHALL.CARRIER
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<b>CAP #</b>	<b>Date of Promise</b>	<b>Requestor</b>	<b>ocation of Promise:</b>	<b>CAP Description</b>
1	8/26/14	DEA	WestBound Off Ramp	KYTC archaeologists must be present when performing excavation work along and adjoining the West Bound Off Ramp due to potential presence of an unmarked cemetery.

**PART II**  
**SPECIFICATIONS AND STANDARD DRAWINGS**

### **SPECIFICATIONS REFERENCE**

Any reference in the plans or proposal to previous editions of the *Standard Specifications for Road and Bridge Construction* and *Standard Drawings* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2012* and *Standard Drawings, Edition of 2012 with the 2012 Revision*.

**Supplemental Specifications to the  
Standard Specifications for Road and Bridge Construction, 2012 Edition  
Effective with the August 22, 2014 Letting**

<b>Subsection:</b>	102.15 Process Agent.
<b>Revision:</b>	Replace the 1st paragraph with the following: Every corporation doing business with the Department shall submit evidence of compliance with KRS Sections 14A.4-010, 271B.11-010, 271B.11-070, 271B.11-080, 271B.5-010 and 271B.16-220, and file with the Department the name and address of the process agent upon whom process may be served.
<b>Subsection:</b>	105.13 Claims Resolution Process.
<b>Revision:</b>	Delete all references to TC 63-34 and TC 63-44 from the subsection as these forms are no longer available through the forms library and are forms generated within the AASHTO SiteManager software.
<b>Subsection:</b>	108.03 Preconstruction Conference.
<b>Revision:</b>	Replace 8) Staking with the following: 8) Staking (designated by a Professional Engineer or Land Surveyor licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	109.07.02 Fuel.
<b>Revision:</b>	Revise item Crushed Aggregate Used for Embankment Stabilization to the following: Crushed Aggregate Used for Stabilization of Unsuitable Materials Used for Embankment Stabilization
	Delete the following item from the table. <del>Crushed Sandstone Base (Cement Treated)</del>
<b>Subsection:</b>	110.02 Demobilization.
<b>Revision:</b>	Replace the first part of the first sentence of the second paragraph with the following: Perform all work and operations necessary to accomplish final clean-up as specified in the first paragraph of Subsection 105.12;
<b>Subsection:</b>	112.03.12 Project Traffic Coordinator (PTC).
<b>Revision:</b>	Replace the last paragraph of this subsection with the following: Ensure the designated PTC has sufficient skill and experience to properly perform the task assigned and has successfully completed the qualification courses.
<b>Subsection:</b>	112.04.18 Diversions (By-Pass Detours).
<b>Revision:</b>	Insert the following sentence after the 2nd sentence of this subsection. The Department will not measure temporary drainage structures for payment when the contract documents provide the required drainage opening that must be maintained with the diversion. The temporary drainage structures shall be incidental to the construction of the diversion. If the contract documents fail to provide the required drainage opening needed for the diversion, the cost of the temporary drainage structure will be handled as extra work in accordance with section 109.04.
<b>Subsection:</b>	201.03.01 Contractor Staking.
<b>Revision:</b>	Replace the first paragraph with the following: Perform all necessary surveying under the general supervision of a Professional Engineer or Land Surveyor licensed in the Commonwealth of Kentucky.

**Supplemental Specifications to the  
Standard Specifications for Road and Bridge Construction, 2012 Edition  
Effective with the August 22, 2014 Letting**

<b>Subsection:</b>	201.04.01 Contractor Staking.
<b>Revision:</b>	Replace the last sentence of the paragraph with the following: Complete the general layout of the project under the supervision of a Professional Engineer or Land Surveyor licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	206.04.01 Embankment-in-Place.
<b>Revision:</b>	Replace the fourth paragraph with the following: The Department will not measure <b>suitable</b> excavation included in the original plans that is disposed of for payment and will consider it incidental to Embankment-in-Place.
<b>Subsection:</b>	208.02.01 Cement.
<b>Revision:</b>	Replace paragraph with the following: Select Type I or Type II cement conforming to Section 801. Use the same type cement throughout the work.
<b>Subsection:</b>	208.03.06 Curing and Protection.
<b>Revision:</b>	Replace the fourth paragraph with the following: Do not allow traffic or equipment on the finished surface until the stabilized subgrade has cured for a total of 7-days with an ambient air temperature above 40 degrees Fahrenheit. A curing day consists of a continuous 24-hour period in which the ambient air temperature does not fall below 40 degrees Fahrenheit. Curing days will not be calculated consecutively, but must total seven (7) , 24-hour days with the ambient air temperature remaining at or above 40 degrees Fahrenheit before traffic or equipment will be allowed to traverse the stabilized subgrade. The Department may allow a shortened curing period when the Contractor requests. The Contractor shall give the Department at least 3 day notice of the request for a shortened curing period. The Department will require a minimum of 3 curing days after final compaction. The Contractor shall furnish cores to the treated depth of the roadbed at 500 feet intervals for each lane when a shortened curing time is requested. The Department will test cores using an unconfined compression test. Roadbed cores must achieve a minimum strength requirement of 80 psi.
<b>Subsection:</b>	208.03.06 Curing and Protection.
<b>Revision:</b>	Replace paragraph eight with the following: At no expense to the Department, repair any damage to the subgrade caused by freezing.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	A) Seed Mixtures for Permanent Seeding.
<b>Revision:</b>	Revise <b>Seed Mix Type I</b> to the mixture shown below: 50% Kentucky 31 Tall Fescue (Festuca arundinacea) 35% Hard Fescue (Festuca (Festuca longifolia) 10% Ryegrass, Perennial (Lolium perenne) 5% White Dutch Clover (Trifolium repens)
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	A) Seed Mixtures for Permanent Seeding.
<b>Number:</b>	2)
<b>Revision:</b>	Replace the paragraph with the following: Permanent Seeding on Slopes Greater than 3:1 in Highway Districts 4, 5, 6, and 7. Apply seed mix Type II at a minimum application rate of 100 pounds per acre. If adjacent to a golf course replace the crown vetch with Kentucky 31 Tall Fescue.



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<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	A) Seed Mixtures for Permanent Seeding.
<b>Number:</b>	3)
<b>Revision:</b>	Replace the paragraph with the following: Permanent Seeding on Slopes Greater than 3:1 in Highway Districts 1, 2, 3, 8, 9, 10, 11, and 12. Apply seed mix Type III at a minimum application rate of 100 pounds per acre. If adjacent to crop land or golf course, replace the Sericea Lespedeza with Kentucky 31 Fescue.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	B) Procedures for Permanent Seeding.
<b>Revision:</b>	Delete the first sentence of the section.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	B) Procedures for Permanent Seeding.
<b>Revision:</b>	Replace the second and third sentence of the section with the following: Prepare a seedbed and apply an initial fertilizer that contains a minimum of 100 pounds of nitrogen, 100 pounds of phosphate, and 100 pounds of potash per acre. Apply agricultural limestone to the seedbed when the Engineer determines it is needed. When required, place agricultural limestone at a rate of 3 tons per acre.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	D) Top Dressing.
<b>Revision:</b>	Change the title of part to D) Fertilizer.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	D) Fertilizer.
<b>Revision:</b>	Replace the first paragraph with the following: Apply fertilizer at the beginning of the seeding operation and after vegetation is established. Use fertilizer delivered to the project in bags or bulk. Apply initial fertilizer to all areas prior to the seeding or sodding operation at the application rate specified in 212.03.03 B). Apply 20-10-10 fertilizer to the areas after vegetation has been established at a rate of 11.5 pounds per 1,000 square feet. Obtain approval from the Engineer prior to the 2nd fertilizer application. Reapply fertilizer to any area that has a streaked appearance. The reapplication shall be at no additional cost to the Department. Re-establish any vegetation severely damaged or destroyed because of an excessive application of fertilizer at no cost to the Department.
<b>Subsection:</b>	212.03.03 Permanent Seeding and Protection.
<b>Part:</b>	D) Fertilizer.
<b>Revision:</b>	Delete the second paragraph.
<b>Subsection:</b>	212.04.04 Agricultural Limestone.
<b>Revision:</b>	Replace the entire section with the following: The Department will measure the quantity of agricultural limestone in tons.
<b>Subsection:</b>	212.04.05 Fertilizer.
<b>Revision:</b>	Replace the entire section with the following: The Department will measure fertilizer used in the seeding or sodding operations for payment. The Department will measure the quantity by tons.

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<b>Subsection:</b>	212.05 PAYMENT.												
<b>Revision:</b>	Delete the following item code:												
	<table border="1"> <thead> <tr> <th><u>Code</u></th> <th><u>Pay Item</u></th> <th><u>Pay Unit</u></th> </tr> </thead> <tbody> <tr> <td>05966</td> <td>Topdressing Fertilizer</td> <td>Ton</td> </tr> </tbody> </table>	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>	05966	Topdressing Fertilizer	Ton						
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05966	Topdressing Fertilizer	Ton											
<b>Subsection:</b>	212.05 PAYMENT.												
<b>Revision:</b>	Add the following pay items:												
	<table border="1"> <thead> <tr> <th><u>Code</u></th> <th><u>Pay Item</u></th> <th><u>Pay Unit</u></th> </tr> </thead> <tbody> <tr> <td>05963</td> <td>Initial Fertilizer</td> <td>Ton</td> </tr> <tr> <td>05964</td> <td>20-10-10 Fertilizer</td> <td>Ton</td> </tr> <tr> <td>05992</td> <td>Agricultural Limestone</td> <td>Ton</td> </tr> </tbody> </table>	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>	05963	Initial Fertilizer	Ton	05964	20-10-10 Fertilizer	Ton	05992	Agricultural Limestone	Ton
<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>											
05963	Initial Fertilizer	Ton											
05964	20-10-10 Fertilizer	Ton											
05992	Agricultural Limestone	Ton											
<b>Subsection:</b>	213.03.02 Progress Requirements.												
<b>Revision:</b>	Replace the last sentence of the third paragraph with the following: Additionally, the Department will apply a penalty equal to the liquidated damages when all aspects of the work are not coordinated in an acceptable manner within 7 calendar days after written notification.												
<b>Subsection:</b>	213.03.05 Temporary Control Measures.												
<b>Part:</b>	E) Temporary Seeding and Protection.												
<b>Revision:</b>	Delete the second sentence of the first paragraph.												
<b>Subsection:</b>	304.02.01 Physical Properties.												
<b>Table:</b>	Required Geogrid Properties												
<b>Revision:</b>	Replace all references to Test Method "GRI-GG2-87" with ASTM D 7737.												
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.												
<b>Part:</b>	B) Sampling.												
<b>Revision:</b>	Replace the second sentence with the following: The Department will determine when to obtain the quality control samples using the random-number feature of the mix design submittal and approval spreadsheet. The Department will randomly determine when to obtain the verification samples required in Subsections 402.03.03 and 402.03.04 using the Asphalt Mixture Sample Random Tonnage Generator.												
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.												
<b>Part:</b>	D) Testing Responsibilities.												
<b>Number:</b>	3) VMA.												
<b>Revision:</b>	Add the following paragraph below Number 3) VMA: Retain the AV/VMA specimens and one additional corresponding $G_{mm}$ sample for 5 working days for mixture verification testing by the Department. For Specialty Mixtures, retain a mixture sample for 5 working days for mixture verification testing by the Department. When the Department's test results do not verify that the Contractor's quality control test results are within the acceptable tolerances according to Subsection 402.03.03, retain the samples and specimens from the affected subplot(s) for the duration of the project.												
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.												
<b>Part:</b>	D) Testing Responsibilities.												
<b>Number:</b>	4) Density.												
<b>Revision:</b>	Replace the second sentence of the Option A paragraph with the following: Perform coring by the end of the following work day.												

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<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.
<b>Part:</b>	D) Testing Responsibilities.
<b>Number:</b>	5) Gradation.
<b>Revision:</b>	Delete the second paragraph.
<b>Subsection:</b>	402.03.02 Contractor Quality Control and Department Acceptance.
<b>Part:</b>	H) Unsatisfactory Work.
<b>Number:</b>	1) Based on Lab Data.
<b>Revision:</b>	Replace the second paragraph with the following: When the Engineer determines that safety concerns or other considerations prohibit an immediate shutdown, continue work and the Department will make an evaluation of acceptability according to Subsection 402.03.05.
<b>Subsection:</b>	402.03.03 Verification.
<b>Revision:</b>	Replace the first paragraph with the following: <b>402.03.03 Mixture Verification.</b> For volumetric properties, the Department will perform a minimum of one verification test for AC, AV, and VMA according to the corresponding procedures as given in Subsection 402.03.02. The Department will randomly determine when to obtain the verification sample using the Asphalt Mixture Sample Random Tonnage Generator. For specialty mixtures, the Department will perform one AC and one gradation determination per lot according to the corresponding procedures as given in Subsection 402.03.02. However, Department personnel will not perform AC determinations according to KM 64-405. The Contractor will obtain a quality control sample at the same time the Department obtains the mixture verification sample and perform testing according to the procedures given in Subsection 402.03.02. If the Contractor's quality control sample is verified by the Department's test results within the tolerances provided below, the Contractor's sample will serve as the quality control sample for the affected subplot. The Department may perform the mixture verification test on the Contractor's equipment or on the Department's equipment.
<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	A) Evaluation of Subplot(s) Verified by Department.
<b>Revision:</b>	Replace the third sentence of the second paragraph with the following: When the paired <i>t</i> -test indicates that the Contractor's data and Department's data are possibly not from the same population, the Department will investigate the cause for the difference according to Subsection 402.03.05 and implement corrective measures as the Engineer deems appropriate.
<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	B) Evaluation of Subplots Not Verified by Department.
<b>Revision:</b>	Replace the third sentence of the first paragraph with the following: When differences between test results are not within the tolerances listed below, the Department will resolve the discrepancy according to Subsection 402.03.05.

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<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	B) Evaluation of Sublots Not Verified by Department.
<b>Revision:</b>	Replace the third sentence of the second paragraph with the following: When the <i>F</i> -test or <i>t</i> -test indicates that the Contractor's data and Department's data are possibly not from the same population, the Department will investigate the cause for the difference according to Subsection 402.03.05 and implement corrective measures as the Engineer deems appropriate.
<b>Subsection:</b>	402.03.03 Verification.
<b>Part:</b>	C) Test Data Patterns.
<b>Revision:</b>	Replace the second sentence with the following: When patterns indicate substantial differences between the verified and non-verified sublots, the Department will perform further comparative testing according to subsection 402.03.05.
<b>Subsection:</b>	402.03 CONSTRUCTION.
<b>Revision:</b>	Add the following subsection: <b>402.03.04 Testing Equipment and Technician Verification.</b> For mixtures with a minimum quantity of 20,000 tons and for every 20,000 tons thereafter, the Department will obtain an additional verification sample at random using the Asphalt Mixture Sample Random Tonnage Generator in order to verify the integrity of the Contractor's and Department's laboratory testing equipment and technicians. The Department will obtain a mixture sample of at least 150 lb at the asphalt mixing plant according to KM 64-425 and split it according to AASHTO R 47. The Department will retain one split portion of the sample and provide the other portion to the Contractor. At a later time convenient to both parties, the Department and Contractor will simultaneously reheat the sample to the specified compaction temperature and test the mixture for AV and VMA using separate laboratory equipment according to the corresponding procedures given in Subsection 402.03.02. The Department will evaluate the differences in test results between the two laboratories. When the difference between the results for AV or VMA is not within $\pm 2.0$ percent, the Department will investigate and resolve the discrepancy according to Subsection 402.03.05.
<b>Subsection:</b>	402.03.04 Dispute Resolution.
<b>Revision:</b>	Change the subsection number to 402.03.05.
<b>Subsection:</b>	402.05 PAYMENT.
<b>Part:</b>	Lot Pay Adjustment Schedule Compaction Option A Base and Binder Mixtures
<b>Table:</b>	AC
<b>Revision:</b>	Replace the Deviation from JMF(%) that corresponds to a Pay Value of 0.95 to $\pm 0.6$ .
<b>Subsection:</b>	403.02.10 Material Transfer Vehicle (MTV).
<b>Revision:</b>	Replace the first sentence with the following: In addition to the equipment specified above, provide a MTV with the following minimum characteristics:
<b>Subsection:</b>	412.02.09 Material Transfer Vehicle (MTV).
<b>Revision:</b>	Replace the paragraph with the following: Provide and utilize a MTV with the minimum characteristics outlined in section 403.02.10.

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<b>Subsection:</b>	412.03.07 Placement and Compaction.
<b>Revision:</b>	Replace the first paragraph with the following: Use a MTV when placing SMA mixture in the driving lanes. The MTV is not required on ramps and/or shoulders unless specified in the contract. When the Engineer determines the use of the MTV is not practical for a portion of the project, the Engineer may waive its requirement for that portion of pavement by a letter documenting the waiver.
<b>Subsection:</b>	412.04 MEASUREMENT.
<b>Revision:</b>	Add the following subsection: 412.04.03. Material Transfer Vehicle (MTV). The Department will not measure the MTV for payment and will consider its use incidental to the asphalt mixture.
<b>Subsection:</b>	501.03.19 Surface Tolerances and Testing Surface.
<b>Part:</b>	B) Ride Quality.
<b>Revision:</b>	Add the following to the end of the first paragraph: The Department will specify if the ride quality requirements are Category A or Category B when ride quality is specified in the Contract. Category B ride quality requirements shall apply when the Department fails to classify which ride quality requirement will apply to the Contract.
<b>Subsection:</b>	603.03.06 Cofferdams.
<b>Revision:</b>	Replace the seventh sentence of paragraph one with the following: Submit drawings that are stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.
<b>Subsection:</b>	605.03.04 Tack Welding.
<b>Revision:</b>	Insert the subsection and the following: 605.03.04 Tack Welding. The Department does not allow tack welding.
<b>Subsection:</b>	606.03.17 Special Requirements for Latex Concrete Overlays.
<b>Part:</b>	A) Existing Bridges and New Structures.
<b>Number:</b>	1) Prewetting and Grout-Bond Coat.
<b>Revision:</b>	Add the following sentence to the last paragraph: Do not apply a grout-bond coat on bridge decks prepared by hydrodemolition.
<b>Subsection:</b>	609.03 Construction.
<b>Revision:</b>	Replace Subsection 609.03.01 with the following: 609.03.01 A) Swinging the Spans. Before placing concrete slabs on steel spans or precast concrete release the temporary erection supports under the bridge and swing the span free on its supports. 609.03.01 B) Lift Loops. Cut all lift loops flush with the top of the precast beam once the beam is placed in the final location and prior to placing steel reinforcement. At locations where lift loops are cut, paint the top of the beam with galvanized or epoxy paint.
<b>Subsection:</b>	611.03.02 Precast Unit Construction.
<b>Revision:</b>	Replace the first sentence of the subsection with the following: Construct units according to ASTM C1577, <b>replacing Table 1 (Design Requirements for Precast Concrete Box Sections Under Earth, Dead and HL-93 Live Load Conditions) with KY Table 1 (Precast Culvert KYHL-93 Design Table)</b> , and Section 605 with the following exceptions and additions:

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<b>Subsection:</b>	613.03.01 Design.
<b>Number:</b>	2)
<b>Revision:</b>	Replace "AASHTO Standard Specifications for Highway Bridges" with "AASHTO LRFD Bridge Design Specifications"
<b>Subsection:</b>	615.06.02
<b>Revision:</b>	Add the following sentence to the end of the subsection. The ends of units shall be normal to walls and centerline except exposed edges shall be beveled ¾ inch.
<b>Subsection:</b>	615.06.03 Placement of Reinforcement in Precast 3-Sided Units.
<b>Revision:</b>	Replace the reference of 6.6 in the section to 615.06.06.
<b>Subsection:</b>	615.06.04 Placement of Reinforcement for Precast Endwalls.
<b>Revision:</b>	Replace the reference of 6.7 in the section to 615.06.07.
<b>Subsection:</b>	615.06.06 Laps, Welds, and Spacing for Precast 3-Sided Units.
<b>Revision:</b>	Replace the subsection with the following: Tension splices in the circumferential reinforcement shall be made by lapping. Laps may not be tack welded together for assembly purposes. For smooth welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.2 and AASHTO 2012 Bridge Design Guide Section 5.11.6.3. For deformed welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.1 and AASHTO 2012 Bridge Design Guide Section 5.11.6.2. The overlap of welded wire fabric shall be measured between the outer most longitudinal wires of each fabric sheet. For deformed billet-steel bars, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.1. For splices other than tension splices, the overlap shall be a minimum of 12" for welded wire fabric or deformed billet-steel bars. The spacing center to center of the circumferential wires in a wire fabric sheet shall be no less than 2 inches and no more than 4 inches. The spacing center to center of the longitudinal wires shall not be more than 8 inches. The spacing center to center of the longitudinal distribution steel for either line of reinforcing in the top slab shall be not more than 16 inches.
<b>Subsection:</b>	615.06.07 Laps, Welds, and Spacing for Precast Endwalls.
<b>Revision:</b>	Replace the subsection with the following: Splices in the reinforcement shall be made by lapping. Laps may not be tack welded together for assembly purposes. For smooth welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.2 and AASHTO 2012 Bridge Design Guide Section 5.11.6.3. For deformed welded wire fabric, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.5.1 and AASHTO 2012 Bridge Design Guide Section 5.11.6.2. For deformed billet-steel bars, the overlap shall meet the requirements of AASHTO 2012 Bridge Design Guide Section 5.11.2.1. The spacing center-to-center of the wire fabric sheet shall not be less than 2 inches or more than 8 inches.



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<b>Subsection:</b>	615.08.01 Type of Test Specimen.
<b>Revision:</b>	Replace the subsection with the following: Start-up slump, air content, unit weight, and temperature tests will be performed each day on the first batch of concrete. Acceptable start-up results are required for production of the first unit. After the first unit has been established, random acceptance testing is performed daily for each 50 yd <sup>3</sup> (or fraction thereof). In addition to the slump, air content, unit weight, and temperature tests, a minimum of one set of cylinders shall be required each time plastic property testing is performed.
<b>Subsection:</b>	615.08.02 Compression Testing.
<b>Revision:</b>	Delete the second sentence.
<b>Subsection:</b>	615.08.04 Acceptability of Core Tests.
<b>Revision:</b>	Delete the entire subsection.
<b>Subsection:</b>	615.12 Inspection.
<b>Revision:</b>	Add the following sentences to the end of the subsection: Units will arrive at jobsite with the "Kentucky Oval" stamped on the unit which is an indication of acceptable inspection at the production facility. Units shall be inspected upon arrival for any evidence of damage resulting from transport to the jobsite.
<b>Subsection:</b>	716.02.02 Paint.
<b>Revision:</b>	Replace sentence with the following: Conform to Section 821.
<b>Subsection:</b>	716.03 CONSTRUCTION.
<b>Revision:</b>	Replace bullet 5) with the following: 5) AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims,
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Revision:</b>	Replace the second sentence with the following: Regardless of the station and offset noted, locate all poles/bases behind the guardrail a minimum of four feet from the front face of the guardrail to the front face of the pole base.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	A) Conventional Installation.
<b>Revision:</b>	Replace the third sentence with the following: Orient the transformer base so the door is positioned on the side away from on-coming traffic.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	A) Conventional Installation.
<b>Number:</b>	1) Breakaway Installation and Requirements.
<b>Revision:</b>	Replace the first sentence with the following: For breakaway supports, conform to Section 12 of the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	B) High Mast Installation
<b>Revision:</b>	Replace the first sentence with the following: Install each high mast pole as noted on plans.
<b>Subsection:</b>	716.03.02 Lighting Standard Installation.
<b>Part:</b>	B) High Mast Installation
<b>Number:</b>	2) Concrete Base Installation
<b>Revision:</b>	Modification of Chart and succeeding paragraphs within this section:

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Drilled Shaft Depth Data							
Level Ground		3:1 Ground Slope		2:1 Ground Slope		1.5:1 Ground Slope <sup>(2)</sup>	
Soil	Rock	Soil	Rock	Soil	Rock	Soil	Rock
17 ft	7 ft	19 ft	7 ft	20 ft	7 ft	<sup>(1)</sup>	7 ft
Steel Requirements							
Vertical Bars		Ties or Spiral					
Size	Total	Size	Spacing or Pitch				
#10	16	#4	12 inch				

(1): Shaft length is 22' for cohesive soil only. For cohesionless soil, contact geotechnical branch for design.

(2): Do not construct high mast drilled shafts on ground slopes steeper than 1.5:1 without the approval of the Division of Traffic.

If rock is encountered during drilling operations and confirmed by the engineer to be of sound quality, the shaft is only required to be further advanced into the rock by the length of rock socket shown in the table. The total length of the shaft need not be longer than that of soil alone. Both longitudinal rebar length and number of ties or spiral length shall be adjusted accordingly.

If a shorter depth is desired for the drilled shaft, the contractor shall provide, for the state's review and approval, a detailed column design with individual site specific soil and rock analysis performed and approved by a Professional Engineer licensed in the Commonwealth of Kentucky.

Spiral reinforcement may be substituted for ties. If spiral reinforcement is used, one and one-half closed coils shall be provided at the ends of each spiral unit. Subsurface conditions consisting of very soft clay or very loose saturated sand could result in soil parameters weaker than those assumed. Engineer shall consult with the geotechnical branch if such conditions are encountered.

The bottom of the drilled hole shall be firm and thoroughly cleaned so no loose or compressible materials are present at the time of the concrete placement. If the drilled hole contains standing water, the concrete shall be placed using a tremie to displace water. Continuous concrete flow will be required to insure full displacement of any water.

The reinforcement and anchor bolts shall be adequately supported in the proper positions so no movement occurs during concrete placement. Welding of anchor bolts to the reinforcing cage is unacceptable, templates shall be used. Exposed portions of the foundation shall be formed to create a smooth finished surface. All forming shall be removed upon completion of foundation construction.

<b>Subsection:</b>	716.03.03 Trenching.
<b>Part:</b>	A) Trenching of Conduit for Highmast Ducted Cables.
<b>Revision:</b>	Add the following after the first sentence: If depths greater than 24 inches are necessary, obtain the Engineer's approval and maintain the required conduit depths coming into the junction boxes. No payment for additional junction boxes for greater depths will be allowed.



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<b>Subsection:</b>	716.03.03 Trenching.
<b>Part:</b>	B) Trenching of Conduit for Non-Highmast Cables.
<b>Revision:</b>	Add the following after the second sentence: If depths greater than 24 inches are necessary for either situation listed previously, obtain the Engineer's approval and maintain the required conduit depths coming into the junction boxes. No payment for additional junction boxes for greater depths will be allowed.
<b>Subsection:</b>	716.03.10 Junction Boxes.
<b>Revision:</b>	Replace subsection title with the following: Electrical Junction Box.
<b>Subsection:</b>	716.04.07 Pole with Secondary Control Equipment.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit furnished and installed. The Department will not measure mounting the cabinet to the pole, backfilling, restoration, any necessary hardware to anchor pole, or electrical inspection fees, and will consider them incidental to this item of work. The Department will also not measure furnishing and installing electrical service conductors, specified conduits, meter base, transformer, service panel, fused cutout, fuses, lighting arrestors, photoelectrical control, circuit breaker, contactor, manual switch, ground rods, and ground wires and will consider them incidental to this item of work.
<b>Subsection:</b>	716.04.08 Lighting Control Equipment.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit furnished and installed. The Department will not measure constructing the concrete base, excavation, backfilling, restoration, any necessary anchors, or electrical inspection fees, and will consider them incidental to this item of work. The Department will also not measure furnishing and installing electrical service conductors, specified conduits, meter base, transformer, service panel, fused cutout, fuses, lighting arrestors, photoelectrical control, circuit breakers, contactor, manual switch, ground rods, and ground wires and will consider them incidental to this item of work.
<b>Subsection:</b>	716.04.09 Luminaire.
<b>Revision:</b>	Replace the first sentence with the following: The Department will measure the quantity as each individual unit furnished and installed.
<b>Subsection:</b>	716.04.10 Fused Connector Kits.
<b>Revision:</b>	Replace the first sentence with the following: The Department will measure the quantity as each individual unit furnished and installed.
<b>Subsection:</b>	716.04.13 Junction Box.
<b>Revision:</b>	Replace the subsection title with the following: Electrical Junction Box Type Various.
<b>Subsection:</b>	716.04.13 Junction Box.
<b>Part:</b>	A) Junction Electrical.
<b>Revision:</b>	Rename A) Junction Electrical to the following: A) Electrical Junction Box.
<b>Subsection:</b>	716.04.14 Trenching and Backfilling.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, backfilling, underground utility warning tape (if required), the restoration of disturbed areas to original condition, and will consider them incidental to this item of work.

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<b>Subsection:</b>	716.04.18 Remove Lighting.															
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as a lump sum for the removal of lighting equipment. The Department will not measure the disposal of all equipment and materials off the project by the contractor. The Department also will not measure the transportation of the materials and will consider them incidental to this item of work.															
<b>Subsection:</b>	716.04.20 Bore and Jack Conduit.															
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity in linear feet. This item shall include all work necessary for boring and installing conduit under an existing roadway. Construction methods shall be in accordance with Sections 706.03.02, paragraphs 1, 2, and 4.															
<b>Subsection:</b>	716.05 PAYMENT.															
<b>Revision:</b>	Replace items 04810-04811, 20391NS835 and, 20392NS835 under <u>Code</u> , <u>Pay Item</u> , and <u>Pay Unit</u> with the following:															
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20392NS835	Electrical Junction Box Type C	Each														
<b>Subsection:</b>	723.02.02 Paint.															
<b>Revision:</b>	Replace sentence with the following: Conform to Section 821.															
<b>Subsection:</b>	723.03 CONSTRUCTION.															
<b>Revision:</b>	Replace bullet 5) with the following: 5) AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims,															
<b>Subsection:</b>	723.03.02 Poles and Bases Installation.															
<b>Revision:</b>	Replace the first sentence with the following: Regardless of the station and offset noted, locate all poles/bases behind the guardrail a minimum of four feet from the front face of the guardrail to the front face of the pole base.															
<b>Subsection:</b>	723.03.02 Poles and Bases Installation.															
<b>Part:</b>	A) Steel Strain and Mastarm Poles Installation															
<b>Revision:</b>	Replace the second paragraph with the following: For concrete base installation, see Section 716.03.02, B), 2), Paragraphs 2-7. Drilled shaft depth shall be based on the soil conditions encountered during drilling and slope condition at the site. Refer to the design chart below:															
<b>Subsection:</b>	723.03.02 Poles and Bases Installation.															
<b>Part:</b>	B) Pedestal or Pedestal Post Installation.															
<b>Revision:</b>	Replace the fourth sentence of the paragraph with the following: For breakaway supports, conform to Section 12 of the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.															

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<b>Subsection:</b>	723.03.03 Trenching.
<b>Part:</b>	A) Under Roadway.
<b>Revision:</b>	Add the following after the second sentence: If depths greater than 24 inches are necessary, obtain the Engineer's approval and maintain either required conduit depths coming into the junction boxes. No payment for additional junction boxes for greater depths will be allowed.
<b>Subsection:</b>	723.03.11 Wiring Installation.
<b>Revision:</b>	Add the following sentence between the fifth and sixth sentences: Provide an extra two feet of loop wire and lead-in past the installed conduit in poles, pedestals, and junction boxes.
<b>Subsection:</b>	723.03.12 Loop Installation.
<b>Revision:</b>	Replace the fourth sentence of the 2nd paragraph with the following: Provide an extra two feet of loop wire and lead-in past the installed conduit in poles, pedestals, and junction boxes.
<b>Subsection:</b>	723.04.02 Junction Box.
<b>Revision:</b>	Replace subsection title with the following: Electrical Junction Box Type Various.
<b>Subsection:</b>	723.04.03 Trenching and Backfilling.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, backfilling, underground utility warning tape (if required), the restoration of disturbed areas to original condition, and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.10 Signal Pedestal.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, specified conduits, fittings, ground rod, ground wire, backfilling, restoring disturbed areas, or other necessary hardware and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.15 Loop Saw Slot and Fill.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure sawing, cleaning and filling induction loop saw slot, loop sealant, backer rod, and grout and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.16 Pedestrian Detector.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit furnished, installed and connected to pole/pedestal. The Department will not measure installing R10-3e (with arrow) sign, furnishing and installing mounting hardware for sign and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.18 Signal Controller- Type 170.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure constructing the concrete base or mounting the cabinet to the pole, connecting the signal and detectors, excavation, backfilling, restoration, any necessary pole mounting hardware, electric service, or electrical inspection fees and will consider them incidental to this item of work. The Department will also not measure furnishing and connecting the induction of loop amplifiers, pedestrian isolators, load switches, model 400 modem card; furnishing and installing electrical service conductors, specified conduits, anchors, meter base, fused cutout, fuses, ground rods, ground wires and will consider them incidental to this item of work.

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<b>Subsection:</b>	723.04.20 Install Signal Controller - Type 170.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit installed. The Department will not measure constructing the concrete base or mounting the cabinet to the pole, connecting the signal and detectors, and excavation, backfilling, restoration, any necessary pole mounting hardware, electric service, or electrical inspection fees and will consider them incidental to this item of work. The Department will also not measure connecting the induction loop amplifiers, pedestrian, isolators, load switches, model 400 modem card; furnishing and installing electrical service conductors, specified conduits, anchors, meter base, fused cutout, fuses, ground rods, ground wires and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.22 Remove Signal Equipment.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as a lump sum removal of signal equipment. The Department will not measure the return of control equipment and signal heads to the Department of Highways as directed by the District Traffic Engineer. The Department also will not measure the transportation of materials of the disposal of all other equipment and materials off the project by the contractor and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.28 Install Pedestrian Detector Audible.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure installing sign R10-3e (with arrow) and will consider it incidental to this item of work.
<b>Subsection:</b>	723.04.29 Audible Pedestrian Detector.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure furnishing and installing the sign R10-3e (with arrow) and will consider it incidental to this item of work.
<b>Subsection:</b>	723.04.30 Bore and Jack Conduit.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity in linear feet. This item shall include all work necessary for boring and installing conduit under an existing roadway. Construction methods shall be in accordance with Sections 706.03.02, paragraphs 1, 2, and 4.
<b>Subsection:</b>	723.04.31 Install Pedestrian Detector.
<b>Revision:</b>	Replace the paragraph with the following: The Department will measure the quantity as each individual unit installed and connected to pole/pedestal. The Department will not measure installing sign R 10-3e (with arrow) and will consider it incidental to this item of work.
<b>Subsection:</b>	723.04.32 Install Mast Arm Pole.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure arms, signal mounting brackets, anchor bolts, or any other necessary hardware and will consider them incidental to this item of work.
<b>Subsection:</b>	723.04.33 Pedestal Post.
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, anchor bolts, conduit, fittings, ground rod, ground wire, backfilling, restoration, or any other necessary hardware and will consider them incidental to this item of work.

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<b>Subsection:</b>	723.04.36 Traffic Signal Pole Base.															
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, reinforcing steel, anchor bolts, specified conduits, ground rods, ground wires, backfilling, or restoration and will consider them incidental to this item of work.															
<b>Subsection:</b>	723.04.37 Install Signal Pedestal.															
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, anchor bolts, specified conduits, fittings, ground rod, ground wire, backfilling, restoration, or any other necessary hardware and will consider them incidental to this item of work.															
<b>Subsection:</b>	723.04.38 Install Pedestal Post.															
<b>Revision:</b>	Replace the second sentence with the following: The Department will not measure excavation, concrete, reinforcing steel, anchor bolts, specified conduits, fittings, ground rod, ground wire, backfilling, restoration, or any other necessary hardware and will consider them incidental to this item of work.															
<b>Subsection:</b>	723.05 PAYMENT.															
<b>Revision:</b>	Replace items 04810-04811, 20391NS835 and, 20392NS835 under <u>Code</u> , <u>Pay Item</u> , and <u>Pay Unit</u> with the following:															
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<b>Subsection:</b>	804.01.02 Crushed Sand.															
<b>Revision:</b>	Delete last sentence of the section.															
<b>Subsection:</b>	804.01.06 Slag.															
<b>Revision:</b>	Add subsection and following sentence. Provide blast furnace slag sand where permitted. The Department will allow steel slag sand only in asphalt surface applications.															
<b>Subsection:</b>	804.04 Asphalt Mixtures.															
<b>Revision:</b>	Replace the subsection with the following: Provide natural, crushed, conglomerate, or blast furnace slag sand, with the addition of filler as necessary, to meet gradation requirements. The Department will allow any combination of natural, crushed, conglomerate or blast furnace slag sand when the combination is achieved using cold feeds at the plant. The Engineer may allow other fine aggregates.															
<b>Subsection:</b>	806.03.01 General Requirements.															
<b>Revision:</b>	Replace the second sentence of the paragraph with the following: Additionally, the material must have a minimum solubility of 99.0 percent when tested according to AASHTO T 44 and PG 76-22 must exhibit a minimum recovery of 60 percent, with a J <sub>NR</sub> (nonrecoverable creep compliance) between 0.1 and 0.5, when tested according to AASHTO TP 70.															

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<b>Subsection:</b>	806.03.01 General Requirements.														
<b>Table:</b>	PG Binder Requirements and Price Adjustment Schedule														
<b>Revision:</b>	Replace the Elastic Recovery, % <sup>(3)</sup> (AASHTO T301) and all corresponding values in the table with the following:														
	<table border="1"> <thead> <tr> <th><u>Test</u></th> <th><u>Specification</u></th> <th><u>100% Pay</u></th> <th><u>90% Pay</u></th> <th><u>80% Pay</u></th> <th><u>70% Pay</u></th> <th><u>50% Pay<sup>(1)</sup></u></th> </tr> </thead> <tbody> <tr> <td>MSCR recovery, % <sup>(3)</sup> (AASHTO TP 70)</td> <td>60 Min.</td> <td>≥58</td> <td>56</td> <td>55</td> <td>54</td> <td>&lt;53</td> </tr> </tbody> </table>	<u>Test</u>	<u>Specification</u>	<u>100% Pay</u>	<u>90% Pay</u>	<u>80% Pay</u>	<u>70% Pay</u>	<u>50% Pay<sup>(1)</sup></u>	MSCR recovery, % <sup>(3)</sup> (AASHTO TP 70)	60 Min.	≥58	56	55	54	<53
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<b>Subsection:</b>	806.03.01 General Requirements.														
<b>Table:</b>	PG Binder Requirements and Price Adjustment Schedule														
<b>Superscript:</b>	(3)														
<b>Revision:</b>	Replace <sup>(3)</sup> with the following: Perform testing at 64°C.														
<b>Subsection:</b>	813.04 Gray Iron Castings.														
<b>Revision:</b>	Replace the reference to "AASHTO M105" with "ASTM A48".														
<b>Subsection:</b>	813.09.02 High Strength Steel Bolts, Nuts, and Washers.														
<b>Number:</b>	A) Bolts.														
<b>Revision:</b>	Delete first paragraph and "Hardness Number" Table. Replace with the following: A) Bolts. Conform to ASTM A325 (AASHTO M164) or ASTM A490 (AASHTO 253) as applicable.														
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.														
<b>Revision:</b>	Third paragraph, replace the reference to "AWPA C14" with "AWPA U1, Section B, Paragraph 4.1".														
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.														
<b>Revision:</b>	Replace the first sentence of the fourth paragraph with the following: Use any of the species of wood for round or square posts covered under AWPA U1.														
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.														
<b>Revision:</b>	Fourth paragraph, replace the reference to "AWPA C2" with "AWPA U1, Section B, Paragraph 4.1".														
<b>Subsection:</b>	814.04.02 Timber Guardrail Posts.														
<b>Revision:</b>	Delete the second sentence of the fourth paragraph.														
<b>Subsection:</b>	814.05.02 Composite Plastic.														
<b>Revision:</b>	1) Add the following to the beginning of the first paragraph: Select composite offset blocks conforming to this section and assure blocks are from a manufacturer included on the Department's List of Approved Materials. 2) Delete the last paragraph of the subsection.														
<b>Subsection:</b>	816.07.02 Wood Posts and Braces.														
<b>Revision:</b>	First paragraph, replace the reference to "AWPA C5" with "AWPA U1, Section B, Paragraph 4.1".														
<b>Subsection:</b>	816.07.02 Wood Posts and Braces.														
<b>Revision:</b>	Delete the second sentence of the first paragraph.														
<b>Subsection:</b>	818.07 Preservative Treatment.														
<b>Revision:</b>	First paragraph, replace all references to "AWPA C14" with "AWPA U1, Section A".														



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<p><b>Subsection:</b> <b>Revision:</b></p>	<p>834.14 Lighting Poles. Replace the first sentence with the following: Lighting pole design shall be in accordance with loading and allowable stress requirements of the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims, with the exception of the following: The Cabinet will waive the requirement stated in the first sentence of Section 5.14.6.2 – Reinforced Holes and Cutouts for high mast poles (only). The minimum diameter at the base of the pole shall be 22 inches for high mast poles (only).</p>
<p><b>Subsection</b> <b>Revision:</b></p>	<p>834.14.03 High Mast Poles. Remove the second and fourth sentence from the first paragraph.</p>
<p><b>Subsection</b> <b>Revision:</b></p>	<p>834.14.03 High Mast Poles. Replace the third paragraph with the following: Provide calculations and drawings that are stamped by a Professional Engineer licensed in the Commonwealth of Kentucky.</p>
<p><b>Subsection:</b> <b>Revision:</b></p>	<p>834.14.03 High Mast Poles. Replace paragraph six with the following: Provide a pole section that conforms to ASTM A 595 grade A with a minimum yield strength of 55 KSI or ASTM A 572 with a minimum yield strength of 55 KSI. Use tubes that are round or 16 sided with a four inch corner radius, have a constant linear taper of .144 in/ft and contain only one longitudinal seam weld. Circumferential welded tube butt splices and laminated tubes are not permitted. Provide pole sections that are telescopically slip fit assembled in the field to facilitate inspection of interior surface welds and the protective coating. The minimum length of the telescopic slip splices shall be 1.5 times the inside diameter of the exposed end of the female section. Use longitudinal seam welds as commended in Section 5.15 of the AASHTO 2013 Specifications. The thickness of the transverse base shall not be less than 2 inches. Plates shall be integrally welded to the tubes with a telescopic welded joint or a full penetration groove weld with backup bar. The handhole cover shall be removable from the handhole frame. One the frame side opposite the hinge, provide a mechanism on the handhole cover/frame to place the Department’s standard padlock as specified in Section 834.25. The handhole frame shall have two stainless studs installed opposite the hinge to secure the handhole cover to the frame which includes providing stainless steel wing nuts and washers. The handhole cover shall be manufactured from 0.25 inch thick galvanized steel (ASTM A 153) and have a neoprene rubber gasket that is permanently secured to the handhole frame to insure weather-tight protection. The hinge shall be manufactured from 7-guage stainless steel to provide adjustability to insure weather-tight fit for the cover. The minimum clear distance between the transverse plate and the bottom opening of the handhole shall not be less than the diameter of the bottom tube of the pole but needs to be at least 15 inches. Provide products that are hot-dip galvanized to the requirements of either ASTM A123 (fabricated products) or ASTM A 153 (hardware items).</p>
<p><b>Subsection:</b> <b>Revision:</b></p>	<p>834.16 ANCHOR BOLTS. Insert the following sentence at the beginning of the paragraph: The anchor bolt design shall follow the NCHRP Report 494 Section 2.4 and NCHRP 469 Appendix A Specifications.</p>

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<b>Subsection:</b>	834.17.01 Conventional.
<b>Revision:</b>	Add the following sentence after the second sentence: Provide a waterproof sticker mounted on the bottom of the housing that is legible from the ground and indicates the wattage of the fixture by providing the first two numbers of the wattage.
<b>Subsection:</b>	834.21.01 Waterproof Enclosures.
<b>Revision:</b>	Replace the last five sentences in the second paragraph with the following sentences: Provide a cabinet door with a louvered air vent, filter-retaining brackets and an easy to clean metal filter. Provide a cabinet door that is keyed with a factory installed standard no. 2 corbin traffic control key. Provide a light fixture with switch and bulb. Use a 120-volt fixture and utilize a L.E.D. bulb (equivalent to 60 watts minimum). Fixture shall be situated at or near the top of the cabinet and illuminate the contents of the cabinet. Provide a 120 VAC GFI duplex receptacle in the enclosure with a separate 20 amp breaker.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	Replace the first sentence of the first paragraph with the following: Pole diameter and wall thickness shall be calculated in accordance with the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	*Replace the first sentence of the fourth paragraph with the following: Ensure transverse plates have a thickness $\geq 2$ inches. *Add the following sentence to the end of the fourth paragraph: The bottom pole diameter shall not be less than 16.25 inches.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	Replace the third sentence of the fifth paragraph with the following: For anchor bolt design, pole forces shall be positioned in such a manner to maximize the force on any individual anchor bolt regardless of the actual anchor bolt orientation with the pole.
<b>Subsection:</b>	835.07 Traffic Poles.
<b>Revision:</b>	Replace the first and second sentence of the sixth paragraph with the following: The pole handhole shall be 25 inches by 6.5 inches. The handhole cover shall be removable from the handhole frame. On the frame side opposite the hinge, provide a mechanism on the handhole cover/frame to place the Department's standard padlock as specified in Section 834.25. The handhole frame shall have two stainless studs installed opposite the hinge to secure the handhole cover to the frame which includes providing stainless steel wing nuts and washers. The handhole cover shall be manufactured from 0.25 inch thick galvanized steel (ASTM 153) and have a neoprene rubber gasket that is permanently secured to the handhole frame to insure weather-tight protection. The hinge shall be manufactured from 7 gauge stainless steel to provide adjustability to insure a weather-tight fit for the cover. The minimum clear distance between the transverse plate and the bottom opening of the handhole shall not be less than the diameter of the bottom tube but needs to be at least 12 inches.



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<b>Subsection:</b>	835.07 Traffic Poles.									
<b>Revision:</b>	*Replace the first sentence of the last paragraph with the following: Provide calculations and drawings that are stamped by a Professional Engineer licensed in the Commonwealth of Kentucky. *Replace the third sentence of the last paragraph with the following: All tables referenced in 835.07 are found in the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, 2013-6th Edition with current interims.									
<b>Subsection:</b>	835.07.01 Steel Strain Poles.									
<b>Revision:</b>	Replace the second sentence of the second paragraph with the following: The detailed analysis shall be certified by a Professional Engineer licensed in the Commonwealth of Kentucky.									
<b>Subsection:</b>	835.07.01 Steel Strain Poles.									
<b>Revision:</b>	Replace number 7. after the second paragraph with the following: 7. Fatigue calculations should be shown for all fatigue related connections. Provide the corresponding detail, stress category and example from table 11.9.3.1-1.									
<b>Subsection:</b>	835.07.02 Mast Arm Poles.									
<b>Revision:</b>	Replace the second sentence of the fourth paragraph with the following: The detailed analysis shall be certified by a Professional Engineer licensed in the Commonwealth of Kentucky.									
<b>Subsection:</b>	835.07.02 Mast Arm Poles.									
<b>Revision:</b>	Replace number 7) after the fourth paragraph with the following: 7) Fatigue calculations should be shown for all fatigue related connections. Provide the corresponding detail, stress category and example from table 11.9.3.1-1.									
<b>Subsection:</b>	835.07.03 Anchor Bolts.									
<b>Revision:</b>	Add the following to the end of the paragraph: There shall be two steel templates (one can be used for the headed part of the anchor bolt when designed in this manner) provided per pole. Templates shall be contained within a 26.5 inch diameter. All templates shall be fully galvanized (ASTM A 153).									
<b>Subsection:</b>	835.16.05 Optical Units.									
<b>Revision:</b>	Replace the 3rd paragraph with the following: The list of certified products can be found on the following website: <a href="http://www.intertek.com">http://www.intertek.com</a> .									
<b>Subsection:</b>	835.19.01 Pedestrian Detector Body.									
<b>Revision:</b>	Replace the first sentence with the following: Provide a four holed pole mounted aluminum rectangular housing that is compatible with the pedestrian detector.									
<b>Subsection:</b>	843.01.01 Geotextile Fabric.									
<b>Table:</b>	TYPE I FABRIC GEOTEXTILES FOR SLOPE PROTECTION AND CHANNEL LINING									
<b>Revision:</b>	Add the following to the chart:									
	<table border="1"> <thead> <tr> <th><u>Property</u></th> <th><u>Minimum Value<sup>(1)</sup></u></th> <th><u>Test Method</u></th> </tr> </thead> <tbody> <tr> <td>CBR Puncture (lbs)</td> <td>494</td> <td>ASTM D6241</td> </tr> <tr> <td>Permittivity (1/s)</td> <td>0.7</td> <td>ASTM D4491</td> </tr> </tbody> </table>	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>	CBR Puncture (lbs)	494	ASTM D6241	Permittivity (1/s)	0.7	ASTM D4491
<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>								
CBR Puncture (lbs)	494	ASTM D6241								
Permittivity (1/s)	0.7	ASTM D4491								

**Supplemental Specifications to the  
Standard Specifications for Road and Bridge Construction, 2012 Edition  
Effective with the August 22, 2014 Letting**

<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE II FABRIC GEOTEXTILES FOR UNDERDRAINS		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	210	ASTM D6241
	Permittivity (1/s)	0.5	ASTM D4491
<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE III FABRIC GEOTEXTILES FOR SUBGRADE OR EMBANKMENT STABILIZATION		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	370	ASTM D6241
	Permittivity (1/s)	0.05	ASTM D4491
<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE IV FABRIC GEOTEXTILES FOR EMBANKMENT DRAINAGE BLANKETS AND PAVEMENT EDGE DRAINS		
<b>Revision:</b>	Add the following to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	309	ASTM D6241
	Permittivity (1/s)	0.5	ASTM D4491
<b>Subsection:</b>	843.01.01 Geotextile Fabric.		
<b>Table:</b>	TYPE V HIGH STRENGTH GEOTEXTILE FABRIC		
<b>Revision:</b>	Make the following changes to the chart:		
	<u>Property</u>	<u>Minimum Value<sup>(1)</sup></u>	<u>Test Method</u>
	CBR Puncture (lbs)	618	ASTM D6241
	Grab Strength (lbs)	700	ASTM D4632
	Apparent Opening Size	U.S. #40 <sup>(3)</sup>	ASTM D4751
	<sup>(3)</sup> Maximum average roll value.		

## **SPECIAL NOTE FOR PORTABLE CHANGEABLE MESSAGE SIGNS**

This Special Note will apply when indicated on the plans or in the proposal.

**1.0 DESCRIPTION.** Furnish, install, operate, and maintain variable message signs at the locations shown on the plans or designated by the Engineer. Remove and retain possession of variable message signs when they are no longer needed on the project.

### **2.0 MATERIALS.**

**2.1 General.** Use LED Variable Message Signs Class I, II, or III, as appropriate, from the Department's List of Approved Materials.

Unclassified signs may be submitted for approval by the Engineer. The Engineer may require a daytime and nighttime demonstration. The Engineer will make a final decision within 30 days after all required information is received.

**2.2 Sign and Controls.** All signs must:

- 1) Provide 3-line messages with each line being 8 characters long and at least 18 inches tall. Each character comprises 35 pixels.
- 2) Provide at least 40 preprogrammed messages available for use at any time. Provide for quick and easy change of the displayed message; editing of the message; and additions of new messages.
- 3) Provide a controller consisting of:
  - a) Keyboard or keypad.
  - b) Readout that mimics the actual sign display. (When LCD or LCD type readout is used, include backlighting and heating or otherwise arrange for viewing in cold temperatures.)
  - c) Non-volatile memory or suitable memory with battery backup for storing pre-programmed messages.
  - d) Logic circuitry to control the sequence of messages and flash rate.
- 4) Provide a serial interface that is capable of supporting complete remote control ability through land line and cellular telephone operation. Include communication software capable of immediately updating the message, providing complete sign status, and allowing message library queries and updates.
- 5) Allow a single person easily to raise the sign to a satisfactory height above the pavement during use, and lower the sign during travel.
- 6) Be Highway Orange on all exterior surfaces of the trailer, supports, and controller cabinet.
- 7) Provide operation in ambient temperatures from -30 to + 120 degrees Fahrenheit during snow, rain and other inclement weather.
- 8) Provide the driver board as part of a module. All modules are interchangeable, and have plug and socket arrangements for disconnection and reconnection. Printed circuit boards associated with driver boards have a conformable coating to protect against moisture.
- 9) Provide a sign case sealed against rain, snow, dust, insects, etc. The lens is UV stabilized clear plastic (polycarbonate, acrylic, or other approved material) angled to prevent glare.
- 10) Provide a flat black UV protected coating on the sign hardware, character PCB, and appropriate lens areas.
- 11) Provide a photocell control to provide automatic dimming.

- 12) Allow an on-off flashing sequence at an adjustable rate.
- 13) Provide a sight to aim the message.
- 14) Provide a LED display color of approximately 590 nm amber.
- 15) Provide a controller that is password protected.
- 16) Provide a security device that prevents unauthorized individuals from accessing the controller.
- 17) Provide the following 3-line messages preprogrammed and available for use when the sign unit begins operation:

/KEEP/RIGHT/=>=>=>/	/MIN/SPEED/**MPH/
/KEEP/LEFT/←←←/	/ICY/BRIDGE/AHEAD/ /ONE
/LOOSE/GRAVEL/AHEAD/	LANE/BRIDGE/AHEAD/
/RD WORK/NEXT/**MILES/	/ROUGH/ROAD/AHEAD/
/TWO WAY/TRAFFIC/AHEAD/	/MERGING/TRAFFIC/AHEAD/
/PAINT/CREW/AHEAD/	/NEXT/**/MILES/
/REDUCE/SPEED/**MPH/	/HEAVY/TRAFFIC/AHEAD/
/BRIDGE/WORK/**0 FT/	/SPEED/LIMIT/**MPH/
/MAX/SPEED/**MPH/	/BUMP/AHEAD/
/SURVEY/PARTY/AHEAD/	/TWO/WAY/TRAFFIC/

\*Insert numerals as directed by the Engineer.  
Add other messages during the project when required by the Engineer.

**2.3 Power.**

- 1) Design solar panels to yield 10 percent or greater additional charge than sign consumption. Provide direct wiring for operation of the sign or arrow board from an external power source to provide energy backup for 21 days without sunlight and an on-board system charger with the ability to recharge completely discharged batteries in 24 hours.

**3.0 CONSTRUCTION.** Furnish and operate the variable message signs as designated on the plans or by the Engineer. Ensure the bottom of the message panel is a minimum of 7 feet above the roadway in urban areas and 5 feet above in rural areas when operating. Use Class I, II, or III signs on roads with a speed limit less than 55 mph. Use Class I or II signs on roads with speed limits 55 mph or greater.

Maintain the sign in proper working order, including repair of any damage done by others, until completion of the project. When the sign becomes inoperative, immediately repair or replace the sign. Repetitive problems with the same unit will be cause for rejection and replacement.

Use only project related messages and messages directed by the Engineer, unnecessary messages lessen the impact of the sign. Ensure the message is displayed in either one or 2 phases with each phase having no more than 3 lines of text. When no message is needed, but it is necessary to know if the sign is operable, flash only a pixel.

When the sign is not needed, move it outside the clear zone or where the Engineer directs. Variable Message Signs are the property of the Contractor and shall be removed from the project when no longer needed. The Department will not assume ownership of these signs.

**4.0 MEASUREMENT.** The final quantity of Variable Message Sign will be

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the actual number of individual signs acceptably furnished and operated during the project. The Department will not measure signs replaced due to damage or rejection.

**5.0 PAYMENT.** The Department will pay for the Variable Message Signs at the unit price each. The Department will not pay for signs replaced due to damage or rejection. Payment is full compensation for furnishing all materials, labor, equipment, and service necessary to, operate, move, repair, and maintain or replace the variable message signs. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02671	Portable Changeable Message Sign	Each

Effective June 15, 2012

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### **SPECIAL NOTE FOR ROADBED STABILIZATION AT BRIDGE ENDS**

This Special Note will apply where indicated on the plans or in the proposal. Section references herein are to the Department's 2012 Standard Specifications for Road and Bridge Construction.

**1.0 DESCRIPTION.** Due to the wet and yielding embankments commonly encountered at bridge ends, undercut the existing roadbed within the limits the Contract specifies and backfill.

#### **2.0 MATERIALS.**

**2.1 Geotextile Fabric.** Furnish Type III fabric conforming to Section 843.

**3.0 CONSTRUCTION.** After removing the existing pavement and base, undercut the existing roadbed under the traffic lanes and shoulders as the Engineer directs. The minimum undercut shall be one foot, except undercut depth may be reduced where rock embankment constructed principally of limestone is encountered. Place geotextile fabric in the bottom and against the sides and ends of the undercut. The Department will not require a minimum lap between adjacent sheets of geotextile fabric for the longitudinal joint under the pavement centerline. Backfill the undercut with one or more of the following materials;

- 1) Crushed limestone size No. 1, 2, 23, or 57; or
- 2) Layered composition of several limestone sizes, with larger sizes on the bottom.

Use Dense Graded Aggregate (DGA), Crushed Stone Base (CSB), or Stabilized Aggregate Base (SAB) in the top 4 inches, and only in the top 4 inches, of the backfill.

Place geotextile fabric between the coarse backfill material and the 4-inch upper layer.

Compact the backfill material by "walking down" with equipment, or other methods the Engineer approves. See attached drawing for details of backfill placement and drainage.

Waste all removed materials, not used for purposes the Contract or Engineer specifies or permits, off the right-of-way at no expense to the Department.

#### **4.0 MEASUREMENT.**

**4.1 Removing Pavement.** The Department will measure the quantity in square yards. The Department will consider the pavement to include existing pavement, existing asphalt patching, and existing DGA base.

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**4.2 Roadway Excavation.** The Department will measure the quantity in cubic yards.

**4.3 Backfilling Undercut.** The Department will measure the quantity in cubic yards. The Department will not measure coarse aggregate for payment and will consider it incidental to this item of work.

**4.4 Perforated Pipe.** The Department will measure the quantity in linear feet.

**4.5 Non-Perforated Pipe.** The Department will measure the quantity in linear feet.

**4.6 Geotextile Fabric, Type III.** The Department will measure the quantity in square yards.

**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

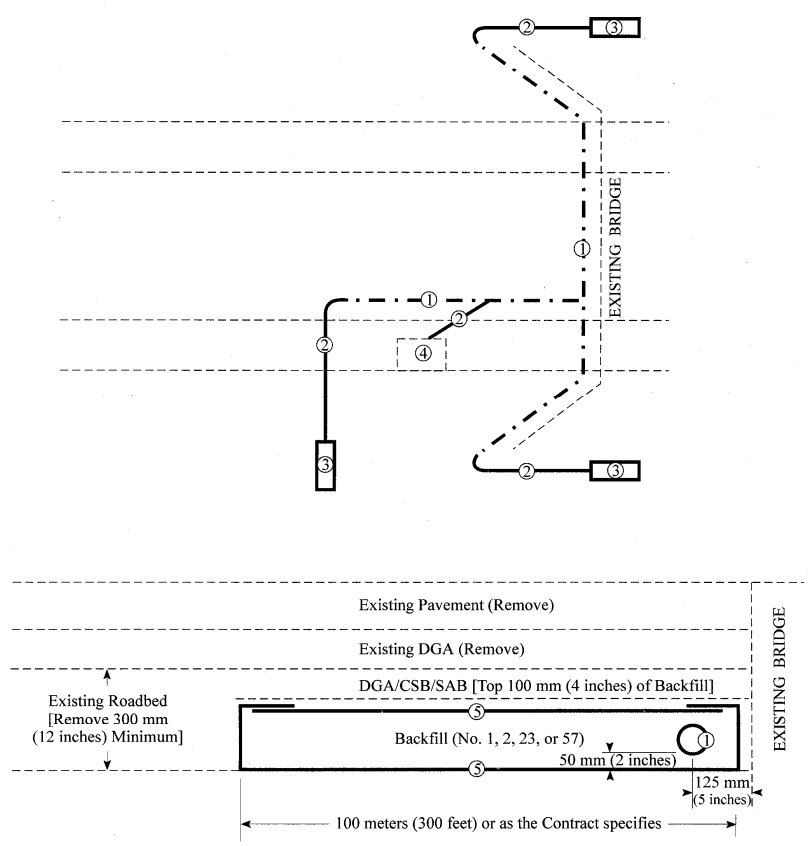
<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02091	Removing Pavement	Square Yard
01000	Perforated Pipe - 4 inches	Linear Foot
01010	Non-Perforated Pipe, 4 inches	Linear Foot
02235	Backfilling Undercut	Cubic Yard
02598	Fabric - Geotextile Type III	Square Yard

The Department will consider payment as full compensation for all work required in this note.

June 15, 2012

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**BRIDGE END DRAINAGE AND STABILIZATION  
(DETAILS)**



**NOTES**

Contrary to Section 705 of the Standard Specifications, use only coarse aggregate for trench backfill.

Slope all pipe to drain to the outside. Provide a 1:24 (1/2":1') or greater slope for the outlet pipe.

The Department may require additional transverse drains within the stabilization area.

- LEGEND**
- ① 100-mm (4-inch) Perforated Pipe
  - ② 100-mm (4-inch) Non-perforated Pipe
  - ③ Perforated Pipe Headwall
  - ④ Existing Box Inlet
  - ⑤ Geotextile Fabric, Type III



## SPECIAL NOTE FOR ROCK BLASTING

This Special Note will apply when indicated on the plans or in the proposal. Section references herein are to the Department's 2012 Standard Specifications for Road and Bridge Construction.

**1.0 DESCRIPTION.** This work consists of fracturing rock and constructing stable final rock cut faces using presplit blasting and production blasting techniques.

**2.0 MATERIALS.** Deliver, store, and use explosives according to the manufacturer's recommendations and applicable laws. Do not use explosives outside their recommended use date. Verify date of manufacture and provide copies of the technical data sheets (TDS) and material safety data sheets (MSDS) to the Engineer. Explosives and initiating devices include, but are not necessarily limited to, dynamite and other high explosives, slurries, water gels, emulsions, blasting agents, initiating explosives, detonators, blasting caps, and detonating cord.

**3.0 CONSTRUCTION.** Furnish copies or other proof of all-applicable permits and licenses. Comply with Federal, State, and local regulations on the purchase, transportation, storage, and use of explosive material. Regulations include but are not limited to the following:

- 1) KRS 351.310 through 351.9901.
- 2) 805 KAR 4:005 through 4:165
- 3) Applicable rules and regulations issued by the Office of Mine Safety and Licensing.
- 4) Safety and health. OSHA, 29 CFR Part 1926, Subpart U.
- 5) Storage, security, and accountability. Bureau of Alcohol, Tobacco, and Firearms (BATF), 27 CFR Part 181.
- 6) Shipment. DOT, 49 CFR Parts 171-179, 390-397.

**3.1 Blaster-in-Charge.** Designate in writing a blaster-in-charge and any proposed alternates for the position. Submit documentation showing the blaster-in-charge, and alternates, have a valid Kentucky blaster's license. Ensure the blaster-in-charge or approved alternate is present at all times during blasting operations.

**3.2 Blasting Plans.** Blasting plans and reports are for quality control and record keeping purposes. Blasting reports are to be signed by the blaster-in-charge or the alternate blaster-in-charge. The general review and acceptance of blasting plans does not relieve the Contractor of the responsibility whatsoever for conformance to regulations or for obtaining the required results. All blasting plans shall be submitted to the Engineer. The Engineer will be responsible for submitting the plan to the Central Office Division of Construction and the Division of Mine Reclamation and Enforcement, Explosives and Blasting Branch at the following address: 2 Hudson Hollow, Frankfort, Kentucky, 40601.

**A) General Blasting Plan.** Submit a general blasting plan for acceptance at least 15 working days before drilling operations begin. Include, as a minimum, the following safety and procedural details:

- 1) Working procedures and safety precautions for storing, transporting, handling, detonating explosives. Include direction on pre and post blast audible procedures, methods of addressing misfires, and methods of addressing inclement weather, including lightning.
  - 2) Proposed product selection for both dry and wet holes. Furnish Manufacturer's TDS and MSDS for all explosives, primers, initiators, and other blasting devices.
  - 3) Proposed initiation and delay methods.
  - 4) Proposed format for providing all the required information for the site specific blasting shot reports.
- B) Preblast Meeting.** Prior to drilling operations, conduct a preblast meeting to discuss safety and traffic control issues and any site specific conditions that will need to be addressed. Ensure, at a minimum, that the Engineer or lead inspector, Superintendent, blaster-in-charge, and all personnel involved in the blasting operation are present. Site specific conditions include blast techniques; communication procedures; contingency plans and equipment for dealing with errant blast material. The conditions of the General Blasting plan will be discussed at this meeting. Record all revisions and additions made to the blasting plan and obtain written concurrence by the blaster-in-charge. Provide a copy of the signed blast plan to the Engineer along with the sign in sheet from the preblast meeting.

**3.3 Preblast Condition Survey and Vibration Monitoring and Control.** Before blasting, arrange for a preblast condition survey of nearby buildings, structures, or utilities, within 500 feet of the blast or that could be at risk from blasting damage. Provide the Engineer a listing of all properties surveyed and any owners denying entry or failing to respond. Notify the Engineer and occupants of buildings at risk at least 24 hours before blasting.

Limit ground vibrations and airblast to levels that will not exceed limits of 805 KAR 4:005 through 4:165. More restrictive levels may be specified in the Contract.

Size all blast designs based on vibration, distance to nearest building or utility, blast site geometry, atmospheric conditions and other factors. Ground vibrations are to be controlled according to the blasting standards and scaled distance formulas in 805 KAR 4:020 or by the use of seismographs as allowed in 805 KAR 4:030. The Department will require seismographs at the nearest allowable location to the protected site when blasting occurs within 500 feet of buildings, structures, or utilities.

**3.4 Blasting.** Drill and blast at the designated slope lines according to the blasting plan. Perform presplitting to obtain smooth faces in the rock and shale formations. Perform the presplitting before blasting and excavating the interior portion of the specified cross section at any location. The Department may allow blasting for fall benches and haul roads prior to presplitting when blasting is a sufficient distance from the final slope and results are satisfactory to the Engineer. Use the types of explosives and blasting accessories necessary to obtain the required results.

Free blast holes of obstructions for their entire depth. Place charges without caving the blast hole walls. Stem the upper portion of all blast holes with dry sand or other granular material passing the 3/8-inch sieve. Dry drill cuttings are acceptable for stemming when blasts are more than 800 feet from the nearest dwelling.

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Stop traffic during blasting operations when blasting near any road and ensure traffic does not pass through the Danger Zone. The blaster-in-charge will define the Danger Zone prior to each blast. Ensure traffic is stopped outside the Danger Zone, and in no case within 800 feet of the blast location.

Following a blast, stop work in the entire blast area, and check for misfires before allowing worker to return to excavate the rock.

Remove or stabilize all cut face rock that is loose, hanging, or potentially dangerous. Leave minor irregularities or surface variations in place if they do not create a hazard. Drill the next lift only after the cleanup work and stabilization work is complete.

When blasting operations cause fracturing of the final rock face, repair or stabilize it in an approved manner at no cost to the Department.

Halt blasting operations in areas where any of the following occur:

- 1) Slopes are unstable;
- 2) Slopes exceed tolerances or overhangs are created;
- 3) Backslope damage occurs;
- 4) Safety of the public is jeopardized;
- 5) Property or natural features are endangered;
- 6) Fly rock is generated; or
- 7) Excessive ground or airblast vibrations occur in an area where damage to buildings, structures, or utilities is possible.
- 8) The Engineer determines that materials have become unsuitable for blasting

Blasting operations may continue at a reasonable distance from the problem area or in areas where the problems do not exist. Make the necessary modifications to the blasting operations and perform a test blast to demonstrate resolution of the problem.

**A) Drill Logs.** Maintain a layout drawing designating hole numbers with corresponding drill logs and provide a copy of this information to the blaster prior to loading the hole. Ensure the individual hole logs completed by the driller(s) show their name; date drilled; total depth drilled; and depths and descriptions of significant conditions encountered during drilling that may affect loading such as water, voids, changes in rock type.

**B) Presplitting.** Conduct presplitting operations in conformance with Subsection 204.03.04 of the Standard Specifications for Road and Bridge Construction.

**3.5 Shot Report.** Maintain all shot reports on site for review by the Department. Within one day after a blast, complete a shot report according to the record keeping requirements of 805 KAR 4:050. Include all results from airblast and seismograph monitoring.

**3.6 Unacceptable Blasting.** When unacceptable blasting occurs, the Department will halt all blasting operations. Blasting will not resume until the Department completes its investigation and all concerns are addressed. A blast is unacceptable when it results in fragmentation beyond the final rock face, fly rock, excessive vibration or airblast, overbreak, damage to the final rock face or overhang. Assume the cost for all resulting damages to private and public property and hold the Department harmless.

11D

When an errant blast or fly rock causes damage to or blocks a road or conveyance adjacent to the roadway, remove all debris from the roadway as quickly as practicable and perform any necessary repairs. Additionally, when specified in the Contract, the Department will apply a penalty.

Report all blasting accidents to the Division of Mine Reclamation and Enforcement, Explosives and Blasting Branch at 502-564-2340.

**4.0 MEASUREMENT AND PAYMENT.** The Department will not measure this work for payment and will consider all items contained in this note to be incidental to either Roadway Excavation or Embankment-in-Place, as applicable. However, if the Engineer directs in writing slope changes, then the Department will pay for the second presplitting operation as Extra Work.

The Department will measure for payment material lying outside the typical section due to seams, broken formations, or earth pockets, including any earth overburden removed with this material, only when the work is performed under authorized adjustments.

The Department will not measure for payment any extra material excavated because of the drill holes being offset outside the designated slope lines.

The Department will not measure for payment any material necessary to be removed due to the inefficient or faulty blasting practices.

June 15, 2012

## SPECIAL NOTE FOR TURF REINFORCING MAT

**1.0 DESCRIPTION.** Install turf reinforcement mat at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's 2008 Standard Specifications for Road and Bridge Construction.

### 2.0 MATERIALS.

**2.1 Turf Reinforcement Mat (TRM).** Use a Turf Reinforcement Mat defined as permanent rolled erosion control product composed of non-degradable synthetic fibers, filaments, nets, wire mesh and/or other elements, processed into a three-dimensional matrix of sufficient thickness and from the Department's List of Approved Materials. Mats must be 100% UV stabilized materials. For TRMs containing degradable components, all physical property values must be obtained on the non-degradable portion of the matting exclusively. Ensure product labels clearly show the manufacturer or supplier name, style name, and roll number. Ensure labeling, shipment and storage follows ASTM D-4873. The Department will require manufacturer to provide TRMs that are machine constructed web of mechanically or melt bonded nondegradable fibers entangled to form a three dimensional matrix. The Department will require all long term performance property values in table below to be based on non degradable portion of the matting alone. Approved methods include polymer welding, thermal or polymer fusion, or placement of fibers between two high strength biaxially oriented nets mechanically bound by parallel stitching with polyolefin thread. Ensure that mats designated in the plans as Type 4 mats, are not to be manufactured from discontinuous or loosely held together by stitching or glued netting or composites. Type 4 mats shall be composed of geosynthetic matrix that exhibits a very high interlock and reinforcement capacities with both soil and root systems and with high tensile modulus. The Department will require manufacturer to use materials chemically and biologically inert to the natural soil environments conditions. Ensure the blanket is smolder resistant without the use of chemical additives. When stored, maintain the protective wrapping and elevate the mats off the ground to protect them from damage. The Department will not specify these materials for use in heavily acidic coal seam areas or other areas with soil problems that would severally limit vegetation growth.

- A) Dimensions. Ensure TRMs are furnished in strips with a minimum width of 4 feet and length of 50 feet.
- B) Weight. Ensure that all mat types have a minimum mass per unit area of 7 ounces per square yard according to ASTM D 6566.
- C) Performance Testing: The Department will require AASHTO's NTPEP index testing. The Department will also require the manufacturer to perform internal MARV testing at a Geosynthetic Accreditation Institute – Laboratory Accreditation Program (GAI-LAP) accredited laboratory for tensile strength, tensile elongation, mass per unit area, and thickness once every 24,000 yds of production or whatever rate is required to ensure 97.7% confidence under ASTM D4439& 4354. The Department will require Full scale testing for slope and channel applications shear stress shall be done under ASTM D 6459, ASTM D 6460-07 procedures.

### 2.2 Classifications

The basis for selection of the type of mat required will be based on the long term shear stress level of the mat of the channel in question or the degree of slope to protect and will be designated in the contract. The Type 4 mats are to be used at structural backfills protecting critical

structures, utility cuts, areas where vehicles may be expected to traverse the mat, channels with large heavy drift, and where higher factors of safety, very steep slopes and/or durability concerns are needed as determined by project team and designer and will be specified in the plans by designer.

Turf Reinforcement Matting					
Properties <sup>1</sup>	Type 1	Type 2	Type 3	Type 4	Test Method
Minimum tensile Strength lbs/ft	125	150	175	3000 by 1500	ASTM D6818 <sup>2</sup>
UV stability (minimum % tensile retention)	80	80	80	90	ASTM D4355 <sup>3</sup> (1000-hr exposure)
Minimum thickness (inches)	0.25	0.25	0.25	0.40	ASTM D6525
Slopes applications	2H:1V or flatter	1.5H:1V or flatter	1H:1V or flatter	1 H: 1V or greater	
Shear stress lbs/ft <sup>2</sup> Channel applications	6.0 <sup>4</sup>	8.0 <sup>4</sup>	10.0 <sup>4</sup>	12.0 <sup>4</sup>	ASTM D6459 ASTM D6460-07

<sup>1</sup> For TRMs containing degradable components, all physical property values must be obtained on the non-degradable portion of the matting alone.

<sup>2</sup>Minimum Average Roll Values for tensile strength of sample material machine direction.

<sup>3</sup>Tensile Strength percentage retained after stated 1000 hr duration of exposure under ASTM D4355 testing. Based on nondegradable components exclusively.

<sup>4</sup>Maximum permissible shear design values based on short-term (0.5 hr) vegetated data obtained by full scale flume testing ASTM D6459, D6460-07. Based on nondegradable components exclusively. Testing will be done at Independent Hydraulics Facility such as Colorado State University hydraulics laboratory, Utah State University hydraulics laboratory, Texas Transportation Institute (TTI) hydraulics and erosion control laboratory.

### 2.3 Quality Assurance Sampling, Testing, and Acceptance

- A) Provide TRM listed on the Department’s List of Approved Materials. Prior to inclusion on the LAM, the manufacturer of TRM must meet the physical and performance criteria as outlined in the specification and submit a Letter Certifying compliance of the product under the above ASTM testing procedures and including a copy of report from Full Scale Independent Hydraulics Facility that Fully Vegetated Shear Stress meets shear stress requirements tested under D6459 and D6460-07.
- B) Contractors will provide a Letter of Certification from Manufacturer stating the product name, manufacturer, and that the product MARV product unit testing results meets Department criteria. Provide Letters once per project and for each product.
- C) Acceptance shall be in accordance with ASTM D-4759 based on testing performed by a Geosynthetic Accreditation Institute – Laboratory Accreditation Program (GAI-LAP) accredited laboratory using Procedure A of ASTM D-4354.

Current mats meeting the above criteria are shown on the Department’s List of Approved Materials.

**2.4 Fasteners.** When the mat manufacturer does not specify a specific fastener, use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch and a minimum length of 12 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils as directed by Engineer or Manufacturer’s Representative. Provide staples with colored tops when requested by the Engineer.

**3.0 CONSTRUCTION.** When requested by the Engineer, provide a Manufacturer’s Representative on-site to oversee and approve the initial installation of the mat. When requested by the Engineer, provide a letter from the Manufacturer approving the installation. When there is a conflict between the Department’s criteria and the Manufacturer’s criteria, construct using the more restrictive. The Engineer and Manufacturer’s Representative must approve all alternate installation methods prior to execution. Construct according to the Manufacturer’s recommendations and the following as minimum installation technique:

**3.1 Site Preparation.** Grade areas to be treated with matting and compact. Remove large rocks, soil clods, vegetation, roots, and other sharp objects that could keep the mat from intimate contact with subgrade. Prepare seedbed by loosening the top 2 to 3 inch of soil.

**3.2 Installation.** Install mats according to Standard Drawing Sepias “Turf Mat Channel Installation” and “Turf Mat Slope Installation.” Install mats at the specified elevation and alignment. Anchor the mats with staples with a minimum length of 12 inches. Use longer anchors for installations in sandy, loose, or wet soils as directed by the Engineer or Manufacturer’s Representative. The mat should be in direct contact with the soil surface.

**4.0 MEASUREMENT.** The Department will measure the quantity of Turf Reinforcement Mat by the square yard of surface covered. The Department will not measure preparation of the bed, providing a Manufacturer’s Representative, topsoil, or seeding for payment and will consider them incidental to the Turf Reinforcement Mat. The Department will not measure any reworking of slopes or channels for payment as it is considered corrective work and incidental to the Turf Reinforcement Mat. Seeding and protection will be an incidental item.

**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23274EN11F	Turf Reinforcement Mat 1	Square Yard
23275EN11F	Turf Reinforcement Mat 2	Square Yard
23276EN11F	Turf Reinforcement Mat 3	Square Yard
23277EN11F	Turf Reinforcement Mat 4	Square Yard

April 18, 2009

### SPECIAL NOTE FOR BARCODE LABEL ON PERMANENT SIGNS

**1.0 DESCRIPTION.** Install barcode label on sign as specified in the Contract. Section references herein are to the Department’s 2012 Standard Specifications for Road and Bridge Construction.

**2.0 MATERIALS.** The Department will provide the Contractor with a 2 inch x 1 inch foil barcode label for each permanent sign. A unique number will be assigned to each barcode label.

The Contractor shall contact the Operations and Pavement Management Branch in the Division of Maintenance at (502) 564-4556 to obtain the barcode labels.

**3.0 CONSTRUCTION.** Apply foil barcode label in the lower right quadrant of the sign back. Signs where the bottom edge is not parallel to the ground, the lowest corner of the sign shall serve as the location to place the barcode label. The barcode label shall be placed no less than one-inch and no more than three inches from any edge of the sign. The barcode must be placed so that the sign post does not cover the barcode label.

Barcodes shall be applied in an indoor setting with a minimum air temperature of 50°F or higher. Prior to application of the barcode label, the back of the sign must be clean and free of dust, oil, etc. If the sign is not clean, an alcohol swab shall be used to clean the area. The area must be allowed to dry prior to placement of the barcode label.

Data for each sign shall include the barcode number, MUTCD reference number, sheeting manufacturer, sheeting type, manufacture date, color of primary reflective surface, installation date, latitude and longitude using the North American Datum of 1983 (NAD83) or the State Plane Coordinates using an x and y ordinate of the installed location.

Data should be provided electronically on the TC 71-229 Sign Details Information and TC 71-230 Sign Assembly Information forms. The Contractor may choose to present the data in a different format provided that the information submitted to the Department is equivalent to the information required on the Department TC forms. The forms must be submitted in electronic format regardless of which type of form is used. The Department will not accept PDF or handwritten forms. These completed forms must be submitted to the Department prior to final inspection of the signs. The Department will not issue formal acceptance for the project until the TC 71-229 and TC-230 electronic forms are completed for all signs and sign assemblies on the project.

**4.0 MEASUREMENT.** The Department will measure all work required for the installation of the barcode label and all work associated with completion and submission of the sign inventory data (TC 71-229 and TC 71-230).

The installation of the permanent sign will be measured in accordance to Section 715.

**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24631EC	Barcode Sign Inventory	Each

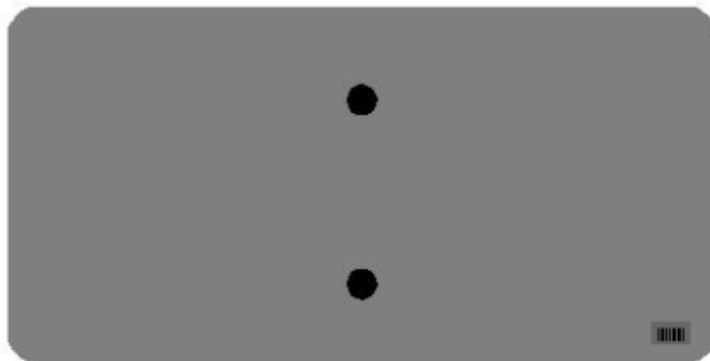
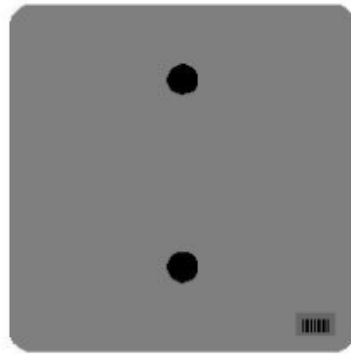
The Department will not make payment for this item until all barcodes are installed and sign inventory is complete on every permanent sign installed on the project. The Department will make payment for installation of the permanent sign in accordance to Section 715. The Department will consider payment as full compensation for all work required under this special note.



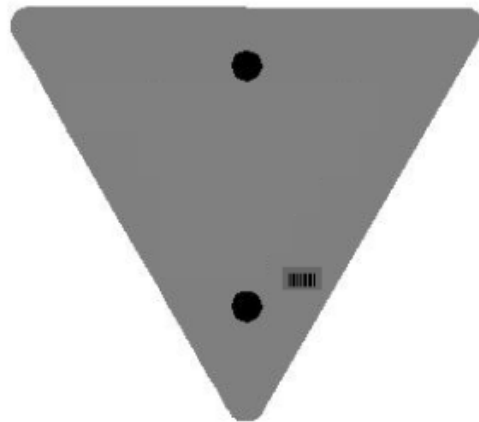
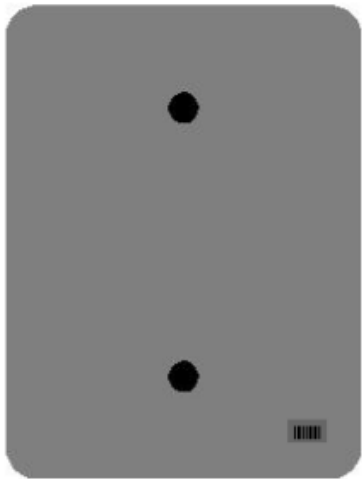
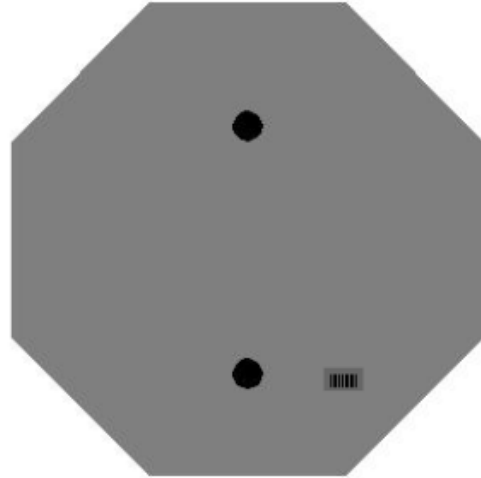
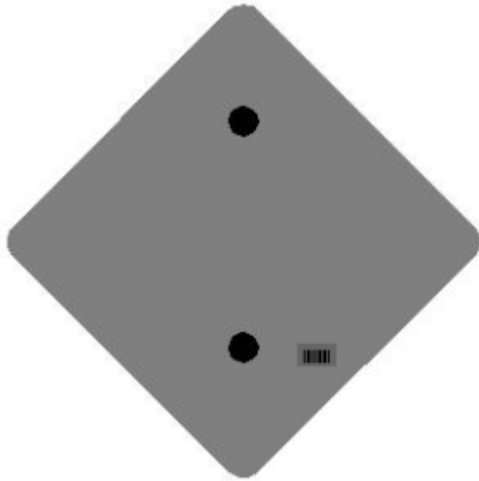
### One Sign Post



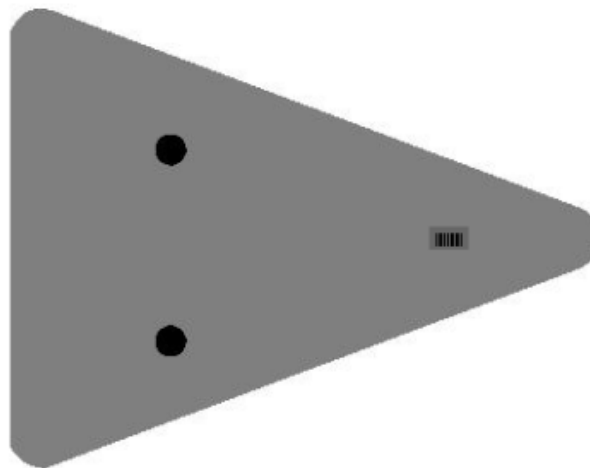
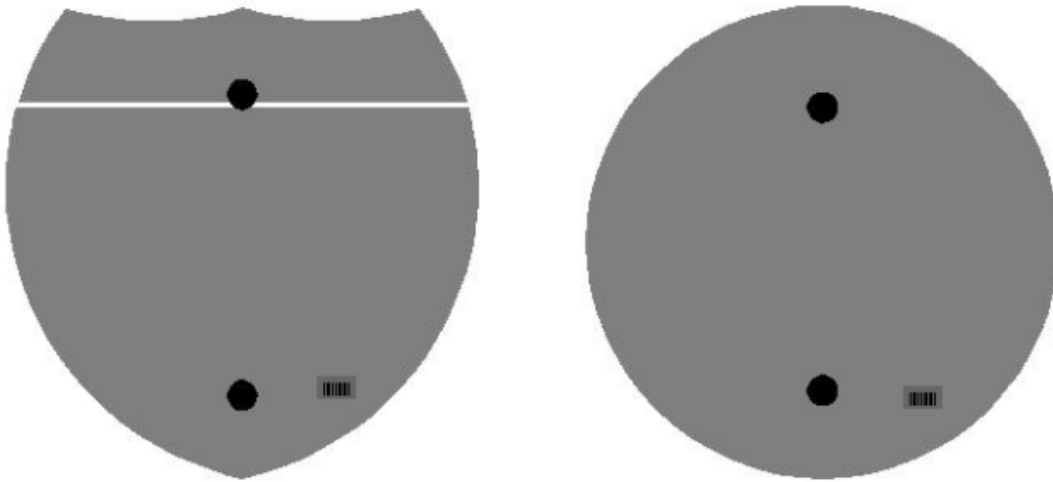
↑  
2" Wide Post



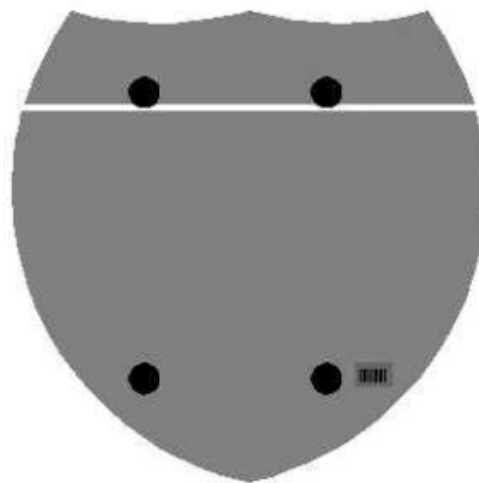
One Sign Post



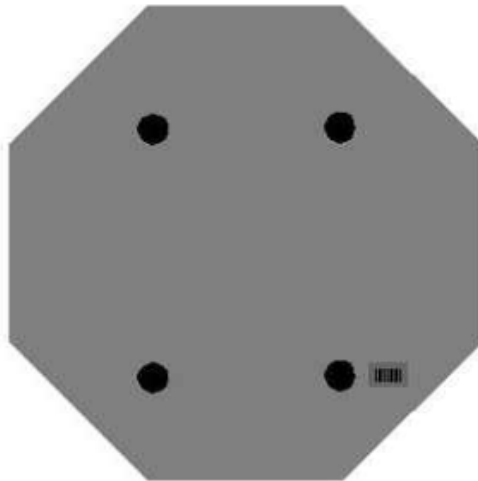
### One Sign Post



### Double Sign Post



Interstate  
Shield

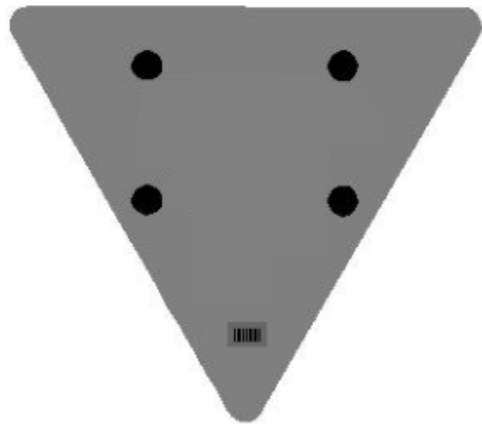


48" Stop

### 2 Post Signs



↑  
2" Wide Post



### **SPECIAL PROVISION FOR EMBANKMENT AT BRIDGE END BENT STRUCTURES**

This Special Provision will apply when indicated on the plans or in the proposal. Section references herein are to the Department's 2012 Standard Specifications for Road and Bridge Construction.

**1.0 DESCRIPTION.** Construct a soil, granular, or rock embankment with granular or cohesive pile core and place structure granular backfill, as the Plans require. Construct the embankment according to the requirements of this Special Provision, the Plans, Standard Drawing RGX 100 and 105, and the 2012 Standard Specifications.

#### **2.0 MATERIALS.**

**2.1 Granular Embankment.** Conform to Subsection 805.10. When Granular Embankment materials are erodible or unstable according to Subsection 805.03.04, use the Special Construction Methods found in 3.2 of the Special Provision.

**2.2 Rock Embankment.** Provide durable rock from roadway excavation that consists principally of Unweathered Limestone, Durable Shale (SDI equal to or greater than 95 according to KM 64-513), or Durable Sandstone.

**2.3 Granular Pile Core.** Select a gradation of durable rock to facilitate pile driving that conforms to Subsection 805.11. If granular pile core material hinders pile driving operations, take appropriate means necessary to reach the required pile tip elevation, at no expense to the Department.

**2.4 Cohesive Pile Core.** Conform to Section 206 of the Standard Specifications and use soil with at least 50 percent passing a No. 4 sieve having a minimum Plasticity Index (PI) of 10. In addition, keep the cohesive pile core free of boulders, larger than 6 inches in any dimension, or any other obstructions, which would interfere with drilling operations. If cohesive pile core material interferes with drilling operations, take appropriate means necessary to maintain excavation stability, at no expense to the Department.

**2.5 Structure Granular Backfill.** Conform to Subsection 805.11

**2.6 Geotextile Fabric.** Conform to Type I or Type IV in Section 214 and 843 as required in the plans.

#### **3.0 CONSTRUCTION.**

**3.1 General.** Construct roadway embankments at end bents according to Section 206 and in accordance with the Special Provision, the Plans, and Standard Drawings for the full embankment section. In some instances, granular or rock embankment will be required for embankment construction for stability purposes, but this special provision does not prevent the use of soil when appropriate. Refer to the plans for specific details regarding material requirements for embankment construction.

Place and compact granular or cohesive pile core, soil, granular or rock embankment, and structure granular backfill according to the applicable density requirements for the project. When constructing granular or rock embankments, use granular pile core for driven pile foundations and use cohesive pile core for pre-drilled pile or drilled shaft foundations. Place geotextile fabric, Type IV between cohesive pile core and structure

granular backfill and granular or rock embankment.

When granular or rock embankment is required for embankment construction, conform to the general requirements of Subsection 206.03.02 B). In addition, place the material in no greater than 2-foot lifts and compact with a vibrating smooth wheel roller capable of producing a minimum centrifugal force of 15 tons. Apply these requirements to the full width of the embankment for a distance of half the embankment height or 50 feet, whichever is greater, as shown on Standard Drawing RGX-105.

When using granular pile core, install 8-inch perforated underdrain pipe at or near the elevation of the original ground in the approximate locations depicted on the standard drawing, and as the Engineer directs, to ensure positive drainage of the embankment. Wrap the perforated pipe with a fabric of a type recommended by the pipe manufacturer.

After constructing the embankment, excavate for the end bent cap, drive piling or install shafts, place the mortar bed, construct the end bent, and complete the embankment to finish grade according to the construction sequence shown on the Plans or Standard Drawings and as specified hereinafter.

Certain projects may require widening of existing embankments and the removal of substructures. Construct embankment according to the plans. Substructure removal shall be completed according to the plans and Section 203. Excavation may be required at the existing embankment in order to place the structure granular backfill as shown in the Standard Drawings.

After piles are driven or shafts installed (see design drawings), slope the bottom of the excavation towards the ends of the trench as noted on the plans for drainage. Using a separate pour, place concrete mortar, or any class concrete, to provide a base for forming and placing the cap. Place side forms for the end bent after the mortar has set sufficiently to support workmen and forms without being disturbed.

Install 4-inch perforated pipe in accordance with the plans and Standard Drawings. In the event slope protection extends above the elevation of the perforated pipe, extend the pipe through the slope protection.

After placing the end bent cap and removing adjacent forms, fill the excavation with structure granular backfill material to the level of the berm prior to placing beams for the bridge. For soil embankments, place Type IV geotextile fabric between embankment material and structure granular backfill. After completing the end bent backwall, or after completing the span end wall, place the structure granular backfill to subgrade elevation. If the original excavation is enlarged, fill the entire volume with compacted structure granular backfill at no expense to the Department. Do not place backfill before removing adjacent form work. Place structure granular backfill material in trench ditches at the ends of the excavation. Place Geotextile Fabric, Type IV over the surface of structure granular backfill prior to placing aggregate base course.

Tamp the backfill with hand tampers, pneumatic tampers, or other means the Engineer approves. Thoroughly compact the backfill under the overhanging portions of the structure to ensure that the backfill is in intimate contact with the sides of the structure.

Do not apply seeding, sodding, or other vegetation to the exposed granular embankment.

**3.2 Special Construction Methods.** Erodible or unstable materials may erode even when protected by riprap or channel lining; use the special construction method described below when using these materials.

Use fine aggregates or friable sandstone granular embankment at "dry land" structures only. Do not use them at stream crossings or locations subject to flood waters.

For erodible or unstable materials having 50 percent or more passing the No. 4 sieve, protect with geotextile fabric. Extend the fabric from the original ground to the top of the slope over the entire area of the embankment slopes on each side of, and in front of, the

end bent. Cover the fabric with at least 12 inches of non-erodible material.

For erodible or unstable materials having less than 50 percent passing a No. 4 sieve, cover with at least 12 inches of non-erodible material.

Where erodible or unstable granular embankment will be protected by riprap or channel lining, place geotextile fabric between the embankment and the specified slope protection.

#### **4.0 MEASUREMENT.**

**4.1 Granular Embankment.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure for payment any Granular Embankment that is not called for in the plans.

The Department will not measure for payment any special construction caused by using erodible or unstable materials and will consider it incidental to the Granular Embankment regardless of whether the erodible or unstable material was specified or permitted.

**4.2 Rock Embankment.** The Department will not measure for payment any rock embankment and will consider it incidental to roadway excavation or embankment in place, as applicable. Rock embankments will be constructed using granular embankment on projects where there is no available rock present within the excavation limits of the project.

**4.3 Granular Pile Core.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure for payment furnishing and placing 8-inch perforated underdrain pipe and will consider it incidental to the Granular pile core. The Department will not measure for payment any granular pile core that is necessary because the contractor elects to use granular or rock embankment when it is not specified in the plans.

**4.4 Cohesive Pile Core.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204.

**4.5 Structure Granular Backfill.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure any additional material required for backfill outside the limits shown on the Plans and Standard Drawings for payment and will consider it incidental to the work.

The Department will not measure structure excavation at the end bent or an existing embankment for payment and will consider it incidental to Structure Granular Backfill.

The Department will not measure for payment the 4-inch perforated underdrain pipe and will consider it incidental to the Structure Granular Backfill.

**4.6 Geotextile Fabric.** The Department will measure the quantities as specified in Section 214. The Department will not measure the quantity of fabric used for separating granular or rock embankment and cohesive pile core and will consider it incidental to cohesive pile core.

**4.7 End Bent.** The Department will measure the quantities according to the



Contract. The Department will not measure furnishing and placing the 2-inch mortar or concrete bed for payment and will consider it incidental to the end bent construction.

**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02223	Granular Embankment	Cubic Yards
20209EP69	Granular Pile Core	Cubic Yards
20210EP69	Cohesive Pile Core	Cubic Yards
02231	Structure Granular Backfill	Cubic Yards
02596, 02599	Geotextile Fabric, Type	See Section 214

The Department will consider payment as full compensation for all work required in this provision.

June 15, 2012

## **PART III**

### **EMPLOYMENT, WAGE AND RECORD REQUIREMENTS**

FHWA-1273 -- Revised May 1, 2012

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar

with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

**10. Assurance Required by 49 CFR 26.13(b):**

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or

will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## 3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

##### a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

##### b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.



d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

**3. Withholding for unpaid wages and liquidated damages.** The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

## VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

(1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

(2) the prime contractor remains responsible for the quality of the work of the leased employees;

(3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and

(4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

## VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

## VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

#### **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

##### **1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

**KENTUCKY TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS  
RELATING TO  
NONDISCRIMINATION OF EMPLOYEES  
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY  
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344  
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to

provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

REVISED: 12-3-92

## EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.



KENTUCKY TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS  
**TRAINING SPECIAL PROVISIONS**

This Training Special Provision supersedes subparagraph 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities," (Attachment 1), and is in implementation of 23 U.S.C. 140(a).

As part of the contractor's equal employment opportunity affirmative action program training shall be provided as follows:

The contractor shall provide on-the-job training aimed at developing full journeymen in the type of trade or job classification involved.

The number of trainees to be trained under these special provisions and in this contract is shown in "Special Notes Applicable to Project" in the bid proposal.

In the event that a contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided, however, that the contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The contractor shall also insure that this training special provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment. Prior to commencing construction the contractor shall submit to the Kentucky Transportation Cabinet, Department of Highways for its approval, an acceptable training program on forms provided by the Cabinet indicating the number of trainees to be trained in each selected classification. Failure to provide the Cabinet with the proper documentation evidencing an acceptable training program prior to commencing construction shall cause the Cabinet to suspend the operations of the contractor with (if applicable) working days being charged as usual against the contract time or (if applicable), no additional contract time being granted for the suspension period. The Cabinet will not be liable for the payment of any work performed during the suspension period due to the failure of the contractor to provide an acceptable training program. Said suspension period shall be terminated when an acceptable training program is received by the Cabinet. Furthermore, the contractor shall specify the starting time for training in each of the classifications. The contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeymen status is a primary objective of this Training Special Provision. Accordingly, the contractor shall make every effort to enroll minority trainees and women (e.g., by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent that such persons are available within a reasonable area of recruitment. The contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the contractor is in compliance with this Training Special Provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a journeyman. The contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the contractor's records should document the findings in each case. The minimum length and type of training for each classification will be as established in the training program selected by the contractor and approved by the Kentucky Transportation Cabinet, Department of Highways and the Federal Highway Administration shall approve a program if it is reasonably calculated to meet the equal employment opportunity obligations of the contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs

registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved but not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the division office. Some offsite training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the contractor will be reimbursed for each hour of training given an employee on this contract in accordance with an approved training program. As approved by the engineer, reimbursement will be made for training persons in excess of the number specified herein. This reimbursement will be made even though the contractor receives additional training program funds from other sources, provided such other source does not specifically prohibit the contractor from receiving other reimbursement. Reimbursement for offsite training indicated above may only be made to the contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training, provides the instruction to the trainee or pays the trainee's wages during the offsite training period.

No payment shall be made to the contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyman, is caused by the contractor and evidences a lack of good faith on the part of the contractor in meeting the requirements of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program. It is not required that all trainees be on board for the entire length of the contract. A contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the Departments of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provision.

The contractor shall furnish the trainee a copy of the program he will follow in providing the training. The contractor shall provide each trainee with a certification showing the type and length of training satisfactorily completed.

The contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision.



Laborer - Nuclear, Radiation, Toxic and Hazardous Waste - Level D, Flagmen, Grade Checkers, All Hand Digging and Hand Back Filling, Highway Marker Placers, Landscaping Laborers, Mesh Handlers and Placers, Puddler, Railroad Laborers, Rip-rap and Grouters, Right of Way Laborers, Sign, Guard Rail and Fence Installers (All Types), Signalmen, Sound Barrier Installer, Storm and Sanitary Sewer Laborers, Swampers, Truck Spotters and Dumpers, Wrecking of Concrete Forms, General Cleanup

GROUP 2: Batter Board Men (Sanitary and Storm Sewer), Brickmason Tenders, Mortar Mixer Operator, Scaffold Builders, Burner and Welder, Bushammers, Chain Saw Operator, Concrete Saw Operators, Deckhand Scow Man, Dry Cement Handlers, Environmental Laborers - Nuclear, Radiation, Toxic and Hazardous Waste - Level C, Forklift Operators for Masonry, Form Setters, Green Concrete Cutting, Hand Operated Grouter and Grinder Machine Operator, Jack Hammers, Lead Paint Abatement, Pavement Breakers, Paving Joint Machine, Pipe Layers - Laser Operators (Non-metallic), Plastic Pipe Fusion, Power Driven Georgia Buggy and Wheel Barrow, Power Post Hole Diggers, Precast Manhole Setters, Walk-behind Tampers, Walk-behind Trenchers, Sand Blasters, Concrete Chippers, Surface Grinders, Vibrator Operators, Wagon Drillers

GROUP 3: Air Track Driller (All Types), Asphalt Luteman and Rakers, Gunnite Nozzleman, Gunnite Operators and Mixers, Grout Pump Operator, Powderman and Blaster, Side Rail Setters, Rail Paved Ditches, Screw Operators, Tunnel Laborers (Free Air), Water Blasters

GROUP 4: Caisson Workers (Free Air), Cement Finishers, Environmental Laborer - Nuclear, Radiation, Toxic and Hazardous Waste - Level A and B, miners and Drillers (Free Air), Tunnel Blasters, and Tunnel Mockers (Free Air), Directional and Horizontal Boring, Air Track Drillers (All Types), Powder Man and Blasters, Troxler and Concrete Tester if Llaborer is Utilized

PAINTER

All Excluding Bridges.....	\$ 19.92	9.57
Bridges.....	\$ 23.92	10.07

PLUMBER.....\$ 22.52 7.80

POWER EQUIPMENT OPERATOR:

Group 1.....	\$ 27.35	13.40
Group 2.....	\$ 24.87	13.40
Group 3.....	\$ 25.26	13.40
Group 4.....	\$ 24.60	13.40

GROUP 1: Auto Patrol, Batcher Plant, Bituminous Paver, Cable-Way, Clamshell, Concrete Mixer (21 cu ft or over), Concrete Pump, Crane, Crusher Plant, Derrick, Derrick Boat, Ditching and Trenching Machine, Dragline, Dredge Engineer, Elevating Grader and all types of Loaders, Hoe-type Machine, Hoisting Engine, Locomotive, LeTourneau or Carry-all Scoop, Bulldozer, Mechanic, Orangepeel Bucket, Piledriver, Power Blade, Roller (Bituminous), Roller (Earth), Roller (Rock), Scarifier, Shovel, Tractor Shovel, Truck Crane, Well Point, Winch Truck, Push Dozer, Grout Pump, High Lift, Fork Lift (regardless of

lift height), all types of Boom Cats, Multiple Operator, Core Drill, Tow or Push Boat, A-Frame Winch Truck, Concrete Paver, Grade-All, Hoist, Hyster, Material Pump, Pumpcrete, Ross Carrier, Sheepfoot, Sideboom, Throttle-Valve Man, Rotary Drill, Power Generator, Mucking Machine, Rock Spreader attached to Equipment, Scoopmobile, KeCal Loader, Tower Cranes, Hydrocrane, Tugger, Backfiller Gurries, Self-propelled Compactor, Self-Contained Hydraulic Percussion Drill

GROUP 2: All Air Compressors (200 cu ft/min or greater), Bituminous Mixer, Concrete Mixer (under 212 cu ft), Welding Machine, Form Grader, Tractor (50 hp and over), Bull Float, Finish Machine, Outboard Motor Boat, Brakeman, Mechanic Tender, Whirly Oiler, Tract-air, Road Widening Trencher, Articulating Trucks

GROUP 3: Greaser on Grease Facilities servicing Heavy Equipment

GROUP 4: Bituminous Distributor, Cement Gun, Conveyor, Mud Jack, Paving Joint Machine, Pump, Tamping Machine, Tractor (under 50 hp), Vibrator, Oiler, Air Compressor (under 200 cu ft per minute), Concrete Saw, Burlap and Curing Machine, Hydro Seeder, Power Form Handling Equipment, Deckhand Oiler, Hydraulic Post Driver

SHEET METAL WORKER.....\$ 20.40 7.80

TRUCK DRIVER

Driver (3 Tons and Over),		
Driver (Truck Mounted		
Rotary Drill).....\$ 22.99		13.50
Driver (3 Tons and Under),		
Tire Changer and Truck		
Mechanic Tender.....\$ 22.78		13.50
Driver (Semi-Trailer or		
Pole Trailer), Driver		
(Dump Truck, Tandem Axle),		
Driver of Distributor.....\$ 22.65		13.50
Driver on Mixer Trucks		
(All Types).....\$ 22.70		13.50
Driver on Pavement Breakers.\$ 22.80		13.50
Driver, Euclid and Other		
Heavy Earth Moving		
Equipment and Low Boy.....\$ 23.56		13.50
Driver, Winch Truck and A-		
Frame when used in		
Transporting Materials.....\$ 22.55		13.50
Greaser on Greasing		
Facilities.....\$ 23.65		13.50
Truck Mechanic.....\$ 22.75		13.50
Truck Tender and		
Warehouseman.....\$ 22.45		13.50

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is union or non-union.

#### Union Identifiers

An identifier enclosed in dotted lines beginning with characters other than "SU" denotes that the union classification and rate have found to be prevailing for that classification. Example: PLUM0198-005 07/01/2011. The first four letters , PLUM, indicate the international union and the four-digit number, 0198, that follows indicates the local union number or district council number where applicable , i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2011, following these characters is the effective date of the most current negotiated rate/collective bargaining agreement which would be July 1, 2011 in the above example.

Union prevailing wage rates will be updated to reflect any changes in the collective bargaining agreements governing the rates.

0000/9999: weighted union wage rates will be published annually each January.

#### Non-Union Identifiers

Classifications listed under an "SU" identifier were derived from survey data by computing average rates and are not union rates; however, the data used in computing these rates may include both union and non-union data. Example: SULA2004-007 5/13/2010. SU indicates the rates are not union majority rates, LA indicates the State of Louisiana; 2004 is the year of the survey; and 007 is an internal number used in producing the wage determination. A 1993 or later date, 5/13/2010, indicates the classifications and rates under that identifier were issued as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

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WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION

KENTUCKY LABOR CABINET  
PREVAILING WAGE DETERMINATION  
CURRENT REVISION  
HIGHWAY CONSTRUCTION LOCALITY NO. II

Determination No. CR-14-II-HWY

Project No. Highway
------------------------

Date of Determination: July 14, 2014

This schedule of the prevailing rate of wages for Locality No. II including the counties of ADAIR, BARREN, BELL, BREATHITT, CASEY, CLAY, CLINTON, CUMBERLAND, ESTILL, FLOYD, GARRARD, GREEN, HARLAN, HART, JACKSON, JOHNSON, KNOTT, KNOX, LAUREL, LAWRENCE, LEE, LESLIE, LETCHER, LINCOLN, MCCREARY, MAGOFFIN, MARTIN, MENIFEE, METCALFE, MONROE, MORGAN, OWSLEY, PERRY, PIKE, POWELL, PULASKI, ROCKCASTLE, RUSSELL, TAYLOR, WAYNE, WHITLEY, and WOLFE has been determined in accordance with the provisions of KRS 337.505 to 337.550. This determination shall be referred to as Prevailing Wage Determination No. CR-14-II-HWY.

The following schedule of rates is to be used for highway construction projects advertised or awarded by the Kentucky Transportation Cabinet. This includes any contracts for the relocation of any utilities or other incidental construction projects advertised or awarded by public authorities as a result of the highway construction project.

Apprentices or trainees shall be permitted to work in accordance with Administrative Regulations. Copies of these regulations will be furnished upon request to any interested person.

Overtime is to be computed at not less than one and one-half (1 1/2) times the indicated BASE RATE for all hours worked in excess of eight (8) hours per day, or in excess of forty (40) hours per week. However, KRS 337.540 permits an employee and employer to agree, in writing, that the employee will be compensated at a straight time base rate for hours worked in excess of eight (8) hours in any one calendar day, but not more than ten (10) hours worked in any one calendar day, if such written agreement is prior to the over eight (8) hours in a calendar day actually being worked, or where provided for in a collective bargaining agreement. The fringe benefit rate is to be paid for each hour worked at a straight time rate for all hours worked. Fringe benefit amounts are applicable for all hours worked except when otherwise noted. Welders will receive rate for craft in which welding is incidental.

No laborer, workman or mechanic shall be paid at a rate less than that of the General Laborer except those classified as bona fide apprentices registered with the Kentucky State Apprenticeship Supervisor unless otherwise specified in this schedule of wage rates.

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Anthony Russell, Commissioner  
Department of Workplace Standards

**CLASSIFICATIONS** **RATE AND FRINGE BENEFITS**

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**BOILERMAKERS:** BASE RATE \$24.65  
FRINGE BENEFIT 12.94

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**BRICKLAYERS:**  
 Bricklayers: BASE RATE \$22.90  
FRINGE BENEFITS 8.50

Stone Mason: BASE RATE \$21.50  
FRINGE BENEFITS 8.50

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**CARPENTERS:**  
 Carpenters: BASE RATE \$24.90  
FRINGE BENEFITS 14.50

Piledrivers: BASE RATE \$24.55  
FRINGE BENEFITS 14.50

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**CEMENT MASONS:** BASE RATE \$21.25  
FRINGE BENEFITS 8.50

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**ELECTRICIANS:** \*BASE RATE \$29.36  
FRINGE BENEFITS 10.55

\*When workmen are required to work from bosum chairs, trusses, stacks, tanks, scaffolds, catwalks, radio and T.V. towers, structural steel (open, unprotected, unfloored raw steel), and bridges or similar hazardous locations where workmen are subject to a direct fall, except where using JLG's and bucket trucks up to 75 feet: Add 25% to workman's base rate for 50 to 75 feet, and add 50% to workman's base rate for over 75 feet.

**LINEMAN:** \*BASE RATE \$30.09  
FRINGE BENEFITS 10.94

**EQUIPMENT OPERATOR:** \*BASE RATE \$26.90  
FRINGE BENEFITS 10.31

**GROUNDSMAN:** \*BASE RATE \$17.79  
FRINGE BENEFITS 8.51

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**IRONWORKERS:** BASE RATE \$ 26.97  
FRINGE BENEFITS 20.01

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**CLASSIFICATIONS**

**RATE AND FRINGE BENEFITS**

**LABORERS:**

GROUP 1: Aging and curing of concrete (any mode or method), asbestos abatement worker, asphalt plant laborers, asphalt laborers; batch truck dumpers; carpenter tenders, cement mason tenders, cleaning of machines, concrete laborers, demolition laborers, dredging laborers, drill helper, environmental laborer - nuclear, radiation, toxic and hazardous waste – Level D, flagmen, grade checkers, all hand digging and hand back filling, highway marker placers, landscaping laborers, mesh handlers and placers, puddler, railroad laborers, rip-rap and grouters, right of way laborers, sign, guard rail and fence installers (all types), signalmen, sound barrier installer, storm and sanitary sewer laborers, swampers, truck spotters and dumpers, wrecking of concrete forms, general cleanup:

HEAVY & HIGHWAY	BASE RATE	\$21.80
	FRINGE BENEFITS	12.36

GROUP 2: Batter board men (sanitary and storm sewer), brickmason tenders, mortar mixer operator, scaffold builders, burner and welder, bushammers, chain saw operator, concrete saw operators, deckhand scow man, dry cement handlers, environmental laborers – nuclear, radiation, toxic and hazardous waste – Level C, forklift operators for masonry, form setters, green concrete cutting, hand operated grouter and grinder machine operator, jack hammers, lead paint abatement, pavement breakers, paving joint machine, pipe layers – laser operators (non-metallic), plastic pipe fusion, power driven Georgia buggy and wheel barrow, power post hole diggers, precast manhole setters, walk-behind tampers, walk-behind trenchers, sand blasters, concrete chippers, surface grinders, vibrator operators, wagon drillers:

HEAVY & HIGHWAY	BASE RATE	\$22.05
	FRINGE BENEFITS	12.36

GROUP 3: Air track driller (all types), asphalt luteman and rakersm gunnite nozzleman, gunnite operators and mixers, grout pump operator, powderman and blaster, side rail setters, rail paved ditches, screw operators, tunnel laborers (free air), and water blasters:

HEAVY & HIGHWAY	BASE RATE	\$22.10
	FRINGE BENEFITS	12.36

GROUP 4: Caisson workers (free air), cement finishers, environmental laborer – nuclear, radiation, toxic and hazardous waste – Level A and B, miners and drillers (free air), tunnel blasters, and tunnel mockers (free air), directional and horizontal boring, air track drillers (all types), powder man and blasters, troxler and concrete tester if laborer is utilized:

HEAVY & HIGHWAY	BASE RATE	\$22.70
	FRINGE BENEFITS	12.36

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**OPERATING ENGINEERS:**

Group A-1:  
 NCCCO or OECF Certified; Crane, dragline, hoist (1 drum when used for stack or chimney construction or repair), hoisting engineer (2 or more drums), orangepeel, overhead crane, piledriver, truck crane, tower crane, hydraulic crane:

BASE RATE	\$29.95
FRINGE BENEFITS	14.15

**CLASSIFICATIONS**

**RATE AND FRINGE BENEFITS**

**OPERATING ENGINEERS (CONTINUED):**

**Group A:**

Auto patrol, batcher plant, bituminous paver, cable-way, clamshell, concrete mixer (21 cu. ft. or over), concrete pump, crane, crusher plant, derrick, derrick boat, ditching and trenching machine, dragline, dredge engineer, elevator (regardless of ownership when used for hoisting any building material), elevating grader and all types of loaders, hoe-type machine, hoisting engine, locomotive, LeTourneau or carry-all scoop, bulldozer, mechanic, orangepeel bucket, piledriver, power blade, roller (bituminous), roller (earth), roller (rock), scarifier, shovel, tractor shovel, truck crane, well points, winch truck, push dozer, grout pump, high lift, fork lift (regardless of lift height), all types of boom cats, multiple operator, core drill, tow or push boat, A-Frame winch truck, concrete paver, gradeall, hoist, hyster, material pump, pumpcrete, ross carrier, sheepfoot, sideboom, throttle-valve man, rotary drill, power generator, mucking machine, rock spreader attached to equipment, scoopmobile, KeCal loader, tower cranes (French, German and other types), hydrocrane, tugger, backfiller guries, self-propelled compactor, self-contained hydraulic percussion drill:

BASE RATE \$28.85  
 FRINGE BENEFITS 14.15

**Group B:**

All air compressors (200 cu. ft. per min. or greater capacity), bituminous mixer, concrete mixer (under 21 cu. ft.), welding machine, form grader, tractor (50 H.P. and over), bull float, finish machine, outboard motor boat, brakeman, mechanic helper, whirly oiler, tractair and road widening trencher, articulating trucks:

BASE RATE \$26.24  
 FRINGE BENEFITS 14.15

**Group B2:**

Greaser on grease facilities servicing heavy equipment:

BASE RATE \$26.65  
 FRINGE BENEFITS 14.15

**Group C:**

Bituminous distributor, cement gun, conveyor, mud jack, paving joint machine, pump, tamping machine, tractors (under 50 H.P.), vibrator, oiler, air compressors (under 200 cu. ft. per min. capacity), concrete saw, burlap and curing machine, hydro seeder, power form handling equipment, deckhand oiler, hydraulic post driver:

BASE RATE \$25.95  
 FRINGE BENEFITS 14.15

**PAINTERS:**

All Excluding Bridges:

BASE RATE \$19.92  
 FRINGE BENEFITS 9.57

Bridges:

BASE RATE \$23.92  
 FRINGE BENEFITS 10.07

**CLASSIFICATIONS**

**RATE AND FRINGE BENEFITS**

<b>PLUMBERS:</b>	BASE RATE	\$22.52
	FRINGE BENEFITS	7.80

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<b>SHEET METAL:</b>	BASE RATE	\$20.40
	FRINGE BENEFITS	7.80

**TRUCK DRIVERS:**

Truck helper and warehouseman:	BASE RATE	\$23.20
	FRINGE BENEFITS	14.50

Driver, winch truck and A-Frame when used in transporting materials:	BASE RATE	\$23.30
	FRINGE BENEFITS	14.50

Driver, (semi-trailer or pole trailer), driver (dump truck, tandem axle), driver of distributor:	BASE RATE	\$23.40
	FRINGE BENEFITS	14.50

Driver on mixer trucks (all types):	BASE RATE	\$23.45
	FRINGE BENEFITS	14.50

Truck mechanic:	BASE RATE	\$23.50
	FRINGE BENEFITS	14.50

Driver (3 tons and under), tire changer and truck mechanic helper:	BASE RATE	\$23.53
	FRINGE BENEFITS	14.50

Driver on pavement breakers:	BASE RATE	\$23.55
	FRINGE BENEFITS	14.50

Driver (over 3 tons), driver (truck mounted rotary drill):	BASE RATE	\$23.74
	FRINGE BENEFITS	14.50

Driver, Euclid and other heavy earth moving equipment and Low Boy:	BASE RATE	\$24.31
	FRINGE BENEFITS	14.50

Greaser on greasing facilities:	BASE RATE	\$24.40
	FRINGE BENEFITS	14.50

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-14-II- HWY dated July 14, 2014.

**NOTE: Both Kentucky Determination No. CR-14-II-HWY and Federal Decision No. KY140123 apply to this project. Both sets of wage rates are included. If there is a difference in the two wages for the same classification, the Contractor is required to pay the higher of the two listed wages.**

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

**TO: EMPLOYERS/EMPLOYEES**

**PREVAILING WAGE SCHEDULE:**

**The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.**

**OVERTIME:**

**Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.**

Diana Castle Radcliffe, P.E.  
Director, Division of Construction Procurement  
Frankfort, Kentucky 40622

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION  
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY  
(Executive Order 11246)**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

<b>GOALS FOR MINORITY PARTICIPATION IN EACH TRADE</b>	<b>GOALS FOR FEMALE PARTICIPATION IN EACH TRADE</b>
7.0%	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

**Evelyn Teague, Regional Director  
Office of Federal Contract Compliance Programs  
61 Forsyth Street, SW, Suite 7B75  
Atlanta, Georgia 30303-8609**

4. As used in this Notice, and in the contract resulting from this solicitation, the "**covered area**" is Magoffin County.

**PART IV**  
**INSURANCE**

## INSURANCE

The Contractor shall procure and maintain the following insurance in addition to the insurance required by law:

- 1) Commercial General Liability-Occurrence form – not less than \$2,000,000 General aggregate, \$2,000,000 Products & Completed Aggregate, \$1,000,000 Personal & Advertising, \$1,000,000 each occurrence.
- 2) Automobile Liability- \$1,000,000 per accident
- 3) Employers Liability:
  - a) \$100,000 Each Accident Bodily Injury
  - b) \$500,000 Policy limit Bodily Injury by Disease
  - c) \$100,000 Each Employee Bodily Injury by Disease
- 4) The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
  - a) "policy contains no deductible clauses."
  - b) "policy contains \_\_\_\_\_ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
- 5) **KENTUCKY WORKMEN'S COMPENSATION INSURANCE.** The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.

The cost of insurance is incidental to all contract items. All subcontractors must meet the same minimum insurance requirements.

**PART V**  
**BID ITEMS**



**PROPOSAL BID ITEMS**

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**Section: 0001 - PAVING**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0010	00003		CRUSHED STONE BASE	35,149.00	TON		\$	
0020	00020		TRAFFIC BOUND BASE	1,096.00	TON		\$	
0030	00078		CRUSHED AGGREGATE SIZE NO 2	2,017.00	TON		\$	
0040	00100		ASPHALT SEAL AGGREGATE	288.00	TON		\$	
0050	00103		ASPHALT SEAL COAT	50.00	TON		\$	
0060	00190		LEVELING & WEDGING PG64-22	1,424.00	TON		\$	
0070	00212		CL2 ASPH BASE 1.00D PG64-22	10,126.00	TON		\$	
0080	00214		CL3 ASPH BASE 1.00D PG64-22	23,456.00	TON		\$	
0090	00216		CL3 ASPH BASE 1.00D PG76-22	10,254.00	TON		\$	
0100	00301		CL2 ASPH SURF 0.38D PG64-22	3,028.00	TON		\$	
0110	00336		CL3 ASPH SURF 0.38A PG76-22	3,704.00	TON		\$	
0120	01825		ISLAND CURB AND GUTTER	211.30	LF		\$	
0130	01935		MOUNTABLE MEDIAN TYPE 1	280.00	SQYD		\$	
0140	01982		DELINEATOR FOR GUARDRAIL MONO DIRECTIONAL WHITE	122.00	EACH		\$	
0150	01984		DELINEATOR FOR BARRIER - WHITE	11.00	EACH		\$	
0160	01986		DELINEATOR FOR BARRIER WALL-B/Y	71.00	EACH		\$	
0170	02351		GUARDRAIL-STEEL W BEAM-S FACE	6,162.50	LF		\$	
0180	02360		GUARDRAIL TERMINAL SECTION NO 1	2.00	EACH		\$	
0190	02363		GUARDRAIL CONNECTOR TO BRIDGE END TY A	4.00	EACH		\$	
0200	02367		GUARDRAIL END TREATMENT TYPE 1	5.00	EACH		\$	
0210	02369		GUARDRAIL END TREATMENT TYPE 2A	5.00	EACH		\$	
0220	02381		REMOVE GUARDRAIL	3,850.00	LF		\$	
0230	02387		GUARDRAIL CONNECTOR TO BRIDGE END TY A-1	4.00	EACH		\$	
0240	02676		MOBILIZATION FOR MILL & TEXT	1.00	LS		\$	
0250	02677		ASPHALT PAVE MILLING & TEXTURING	2,811.00	TON		\$	
0260	02696		SHOULDER RUMBLE STRIPS-SAWED (12 IN)	40,293.00	LF		\$	
0270	06401		FLEXIBLE DELINEATOR POST-M/W	126.00	EACH		\$	
0280	06404		FLEXIBLE DELINEATOR POST-M/Y	117.00	EACH		\$	
0290	06511		PAVE STRIPING-TEMP PAINT-6 IN	51,726.00	LF		\$	
0300	06550		PAVE STRIPING-TEMP REM TAPE-W	2,040.00	LF		\$	
0310	06551		PAVE STRIPING-TEMP REM TAPE-Y	1,360.00	LF		\$	
0320	06585		PAVEMENT MARKER TY IVA-MW TEMP	113.00	EACH		\$	
0330	06588		PAVEMENT MARKER TY IVA-BY TEMP	235.00	EACH		\$	
0340	08100		CONCRETE-CLASS A	11.18	CUYD		\$	
0350	08150		STEEL REINFORCEMENT	728.00	LB		\$	
0360	08902		CRASH CUSHION TY VI CLASS B TL3	2.00	EACH		\$	
0370	08903		CRASH CUSHION TY VI CLASS BT TL3	2.00	EACH		\$	
0380	20394ES835		PVC CONDUIT-3 IN- IN MEDIAN BARRIER WALL	3,860.00	LF		\$	
0390	23007EN		CONC MEDIAN BARRIER TY 9T	520.00	LF		\$	
0400	23877EC		CONC MEDIAN BARRIER WALL TY 14C	4,350.00	LF		\$	

**Section: 0002 - ROADWAY**

## PROPOSAL BID ITEMS

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LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0520	01000		PERFORATED PIPE-4 IN	367.00	LF		\$	
0530	01010		NON-PERFORATED PIPE-4 IN	166.00	LF		\$	
0540	01020		PERF PIPE HEADWALL TY 1-4 IN	1.00	EACH		\$	
0550	01028		PERF PIPE HEADWALL TY 3-4 IN	1.00	EACH		\$	
0560	01314		PLUG PIPE	8.00	EACH		\$	
0570	01710		FILL AND CAP CATCH BASIN	1.00	EACH		\$	
0580	01984		DELINEATOR FOR BARRIER - WHITE	133.00	EACH		\$	
0590	02003		RELOCATE TEMP CONC BARRIER	6,140.00	LF		\$	
0600	02014		BARRICADE-TYPE III	6.00	EACH		\$	
0610	02091		REMOVE PAVEMENT	767.00	SQYD		\$	
0620	02159		TEMP DITCH	6,275.00	LF		\$	
0630	02200		ROADWAY EXCAVATION	1,312,763.00	CUYD		\$	
0640	02242		WATER	475.00	MGAL		\$	
0650	02262		FENCE-WOVEN WIRE TYPE 1	20,254.00	LF		\$	
0660	02265		REMOVE FENCE	590.00	LF		\$	
0670	02268		REMOVE & REPLACE FENCE	1,668.00	LF		\$	
0680	02397		TEMP GUARDRAIL	1,675.00	LF		\$	
0690	02404		SEPTIC TANK TREATMENT	1.00	EACH		\$	
0700	02429		RIGHT-OF-WAY MONUMENT TYPE 1	94.00	EACH		\$	
0710	02430		RIGHT-OF-WAY MONUMENT TYPE 1A	5.00	EACH		\$	
0720	02432		WITNESS POST	3.00	EACH		\$	
0730	02488		CHANNEL LINING CLASS IV	8,566.00	CUYD		\$	
0740	02545		CLEARING AND GRUBBING (95.59 ACRES)	1.00	LS		\$	
0750	02562		TEMPORARY SIGNS	3,000.00	SQFT		\$	
0760	02565		OBJECT MARKER TYPE 2	10.00	EACH		\$	
0770	02585		EDGE KEY	3,043.00	LF		\$	
0780	02596		FABRIC-GEOTEXTILE TYPE I	2,396.00	SQYD		\$	
0790	02599		FABRIC-GEOTEXTILE TYPE IV	154,309.00	SQYD		\$	
0800	02650		MAINTAIN & CONTROL TRAFFIC	1.00	LS		\$	
0810	02671		PORTABLE CHANGEABLE MESSAGE SIGN	4.00	EACH		\$	
0820	02690		SAFELOADING	257.00	CUYD		\$	
0830	02692		SETTLEMENT PLATFORM	3.00	EACH		\$	
0840	02701		TEMP SILT FENCE	9,360.00	LF		\$	
0850	02703		SILT TRAP TYPE A	94.00	EACH		\$	
0860	02704		SILT TRAP TYPE B	47.00	EACH		\$	
0870	02705		SILT TRAP TYPE C	30.00	EACH		\$	
0880	02706		CLEAN SILT TRAP TYPE A	282.00	EACH		\$	
0890	02707		CLEAN SILT TRAP TYPE B	141.00	EACH		\$	
0900	02708		CLEAN SILT TRAP TYPE C	90.00	EACH		\$	
0910	02709		CLEAN TEMP SILT FENCE	18,720.00	LF		\$	
0920	02726		STAKING	1.00	LS		\$	
0930	02731		REMOVE STRUCTURE (STA. 3894+34.99)	1.00	LS		\$	
0940	02731		REMOVE STRUCTURE (STA. 3905+98.95)	1.00	LS		\$	
0950	02731		REMOVE STRUCTURE (STA. 29+14)	1.00	LS		\$	
0960	02775		ARROW PANEL	2.00	EACH		\$	
0970	02898		RELOCATE CRASH CUSHION	3.00	EACH		\$	
0980	03171		CONCRETE BARRIER WALL TYPE 9T	6,600.00	LF		\$	

**PROPOSAL BID ITEMS**

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LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0990	03340		STEEL PIPE-2 1/2 IN	52.50	LF		\$	
1000	03343		STEEL PIPE-4 IN	52.50	LF		\$	
1010	05950		EROSION CONTROL BLANKET	4,000.00	SQYD		\$	
1020	05952		TEMP MULCH	379,456.00	SQYD		\$	
1030	05953		TEMP SEEDING AND PROTECTION	37,946.00	SQYD		\$	
1040	05963		INITIAL FERTILIZER	20.00	TON		\$	
1050	05964		20-10-10 FERTILIZER	20.00	TON		\$	
1060	05985		SEEDING AND PROTECTION	379,456.00	SQYD		\$	
1070	05992		AGRICULTURAL LIMESTONE	235.00	TON		\$	
1080	08903		CRASH CUSHION TY VI CLASS BT TL3	9.00	EACH		\$	
1090	10020NS		FUEL ADJUSTMENT	320,614.00	DOLL	\$1.00	\$	\$320,614.00
1100	10030NS		ASPHALT ADJUSTMENT	203,268.00	DOLL	\$1.00	\$	\$203,268.00
1110	20166ES810		TEMPORARY PIPE	352.00	LF		\$	
1120	20209EP69		GRANULAR PILE CORE	333.00	CUYD		\$	
1130	20411ED		LAW ENFORCEMENT OFFICER	336.00	HOURL		\$	
1140	20911ED		HIGH SLUMP 3000 PSI GROUT	275.00	CUYD		\$	
1150	23131ER701		PIPELINE VIDEO INSPECTION	3,557.00	LF		\$	
1160	23139EN		STRIPING REMOVAL	2,000.00	LF		\$	
1170	23274EN11F		TURF REINFORCEMENT MAT 1	2,450.00	SQYD		\$	
1180	23276EN11F		TURF REINFORCEMENT MAT 3	212.00	SQYD		\$	

**Section: 0003 - DRAINAGE**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1190	00440		ENTRANCE PIPE-15 IN	150.00	LF		\$	
1200	00462		CULVERT PIPE-18 IN	710.00	LF		\$	
1210	00468		CULVERT PIPE-36 IN	507.00	LF		\$	
1220	00469		CULVERT PIPE-42 IN	377.00	LF		\$	
1230	00470		CULVERT PIPE-48 IN	138.00	LF		\$	
1240	00471		CULVERT PIPE-54 IN	346.00	LF		\$	
1250	00522		STORM SEWER PIPE-18 IN	3,822.00	LF		\$	
1260	01204		PIPE CULVERT HEADWALL-18 IN	6.00	EACH		\$	
1270	01212		PIPE CULVERT HEADWALL-36 IN	3.00	EACH		\$	
1280	01214		PIPE CULVERT HEADWALL-42 IN	2.00	EACH		\$	
1290	01216		PIPE CULVERT HEADWALL-48 IN	2.00	EACH		\$	
1300	01396		METAL END SECTION TY 3-42 IN	2.00	EACH		\$	
1310	01450		S & F BOX INLET-OUTLET-18 IN	1.00	EACH		\$	
1320	01453		S & F BOX INLET-OUTLET-36 IN	2.00	EACH		\$	
1330	01480		CURB BOX INLET TYPE B	4.00	EACH		\$	
1340	01493		DROP BOX INLET TYPE 2	1.00	EACH		\$	
1350	01505		DROP BOX INLET TYPE 5B	4.00	EACH		\$	
1360	01517		DROP BOX INLET TYPE 5F	1.00	EACH		\$	
1370	01544		DROP BOX INLET TYPE 11	1.00	EACH		\$	
1380	01616		CONC MED BARR BOX INLET TY 14B1	15.00	EACH		\$	
1390	01767		MANHOLE TYPE C	1.00	EACH		\$	
1400	02488		CHANNEL LINING CLASS IV	319.00	CUYD		\$	
1410	02600		FABRIC GEOTEXTILE TY IV FOR PIPE	8,367.00	SQYD	\$2.00	\$	\$16,734.00
1420	23042NS710		CONC MED BARRIER INLET TY 14A2-50	1.00	EACH		\$	
1430	23043NS710		CONC MED BARRIER INLET TY 14B2-50	2.00	EACH		\$	

**PROPOSAL BID ITEMS**

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LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1440	24026EC		PIPE CULVERT HEADWALL-54 IN	2.00	EACH		\$	

**Section: 0004 - BRIDGE - 27137**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1450	02231		STRUCTURE GRANULAR BACKFILL	820.90	CUYD		\$	
1460	02998		MASONRY COATING	911.20	SQYD		\$	
1470	03299		ARMORED EDGE FOR CONCRETE	223.20	LF		\$	
1480	08001		STRUCTURE EXCAVATION-COMMON	4,910.00	CUYD		\$	
1490	08002		STRUCTURE EXCAV-SOLID ROCK	34.00	CUYD		\$	
1500	08020		CRUSHED AGGREGATE SLOPE PROT	675.00	TON		\$	
1510	08033		TEST PILES	189.80	LF		\$	
1520	08039		PRE-DRILLING FOR PILES	2,430.90	LF		\$	
1530	08046		PILES-STEEL HP12X53	2,369.10	LF		\$	
1540	08094		PILE POINTS-12 IN	91.00	EACH		\$	
1550	08100		CONCRETE-CLASS A	734.00	CUYD		\$	
1560	08104		CONCRETE-CLASS AA	762.70	CUYD		\$	
1570	08130		MECHANICAL REINF COUPLER #5	14.00	EACH		\$	
1580	08133		MECHANICAL REINF COUPLER #8	16.00	EACH		\$	
1590	08150		STEEL REINFORCEMENT	112,894.00	LB		\$	
1600	08151		STEEL REINFORCEMENT-EPOXY COATED	215,131.00	LB		\$	
1610	08634		PRECAST PC I BEAM TYPE 4	2,662.70	LF		\$	
1620	21532ED		RAIL SYSTEM TYPE III	416.80	LF		\$	

**Section: 0005 - BRIDGE - 27139**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1630	08003		FOUNDATION PREPARATION	1.00	LS		\$	
1640	08100		CONCRETE-CLASS A	162.10	CUYD		\$	
1650	08150		STEEL REINFORCEMENT	18,005.00	LB		\$	

**Section: 0006 - BRIDGE - 27138**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1660	02231		STRUCTURE GRANULAR BACKFILL	691.10	CUYD		\$	
1670	02998		MASONRY COATING	1,872.80	SQYD		\$	
1680	03299		ARMORED EDGE FOR CONCRETE	225.00	LF		\$	
1690	08001		STRUCTURE EXCAVATION-COMMON	3,281.10	CUYD		\$	
1700	08019		CYCLOPEAN STONE RIP RAP	1,046.40	TON		\$	
1710	08033		TEST PILES	215.40	LF		\$	
1720	08039		PRE-DRILLING FOR PILES	200.00	LF		\$	
1730	08046		PILES-STEEL HP12X53	3,857.20	LF		\$	
1740	08094		PILE POINTS-12 IN	202.00	EACH		\$	
1750	08100		CONCRETE-CLASS A	886.70	CUYD		\$	
1760	08104		CONCRETE-CLASS AA	1,812.90	CUYD		\$	
1770	08130		MECHANICAL REINF COUPLER #5	76.00	EACH		\$	

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LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1780	08135		MECHANICAL REINF COUPLER #10	8.00	EACH		\$	
1790	08150		STEEL REINFORCEMENT	218,815.00	LB		\$	
1800	08151		STEEL REINFORCEMENT-EPOXY COATED	720,700.00	LB		\$	
1810	08160		STRUCTURAL STEEL	1.00	LS		\$	
1820	21532ED		RAIL SYSTEM TYPE III	956.40	LF		\$	
1830	24539EC		PPC I-BEAM HN60-49	4,735.00	LF		\$	

## Section: 0007 - SIGNING

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
1840	06401		FLEXIBLE DELINEATOR POST-M/W	100.00	EACH		\$	
1850	06404		FLEXIBLE DELINEATOR POST-M/Y	40.00	EACH		\$	
1860	06405		SBM ALUMINUM PANEL SIGNS	800.00	SQFT		\$	
1870	06406		SBM ALUM SHEET SIGNS .080 IN	475.00	SQFT		\$	
1880	06407		SBM ALUM SHEET SIGNS .125 IN	580.00	SQFT		\$	
1890	06410		STEEL POST TYPE 1	260.00	LF		\$	
1900	06411		STEEL POST TYPE 2	2,100.00	LF		\$	
1910	06412		STEEL POST MILE MARKERS	4.00	EACH		\$	
1920	06441		GMSS GALV STEEL TYPE C	3,300.00	LB		\$	
1930	06490		CLASS A CONCRETE FOR SIGNS	15.00	CUYD		\$	
1940	06491		STEEL REINFORCEMENT FOR SIGNS	750.00	LB		\$	
1950	06514		PAVE STRIPING-PERM PAINT-4 IN	7,443.00	LF		\$	
1960	06515		PAVE STRIPING-PERM PAINT-6 IN	44,431.00	LF		\$	
1970	06516		PAVE STRIPING-PERM PAINT-8 IN	22,292.00	LF		\$	
1980	06517		PAVE STRIPING-PERM PAINT-12 IN	1,510.00	LF		\$	
1990	06568		PAVE MARKING-THERMO STOP BAR-24IN	105.00	LF		\$	
2000	06573		PAVE MARKING-THERMO STR ARROW	6.00	EACH		\$	
2010	06575		PAVE MARKING-THERMO COMB ARROW	10.00	EACH		\$	
2020	06592		PAVEMENT MARKER TYPE V-B W/R	270.00	EACH		\$	
2030	06593		PAVEMENT MARKER TYPE V-B Y/R	300.00	EACH		\$	
2040	20208NC		PAVE MARK-PAINT ARROWS	9.00	EACH		\$	
2050	21596ND		GMSS TYPE D	20.00	EACH		\$	
2060	23607EC		PAVE MARK THERMO-LANE REDUCTION ARROW	7.00	EACH		\$	
2070	24631EC		BARCODE SIGN INVENTORY	130.00	EACH		\$	

## Section: 0008 - LIGHTING

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
2080	04701		POLE 40 FT MTG HT	17.00	EACH		\$	
2090	04710		POLE 80 FT MTG HT HIGH MAST	4.00	EACH		\$	
2100	04730		BRACKET C	17.00	EACH		\$	
2110	04741		POLE BASE IN MEDIAN WALL	17.00	EACH		\$	
2120	04761		LIGHTING CONTROL EQUIPMENT	1.00	EACH		\$	
2130	04773		HPS LUMINAIRE HIGH MAST	39.00	EACH		\$	
2140	04780		FUSED CONNECTOR KIT	34.00	EACH		\$	
2150	04797		CONDUIT-3 IN	570.00	LF		\$	
2160	04800		MARKER	4.00	EACH		\$	

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LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
2170	04810		ELECTRICAL JUNCTION BOX	2.00	EACH		\$	
2180	04820		TRENCHING AND BACKFILLING	1,770.00	LF		\$	
2190	04832		WIRE-NO. 12	1,010.00	LF		\$	
2200	04833		WIRE-NO. 8	13,080.00	LF		\$	
2210	04860		CABLE-NO. 8/3C DUCTED	2,920.00	LF		\$	
2220	20391NS835		ELECTRICAL JUNCTION BOX TYPE A	4.00	EACH		\$	
2230	20392NS835		ELECTRICAL JUNCTION BOX TYPE C	2.00	EACH		\$	
2240	21543EN		BORE AND JACK CONDUIT	410.00	LF		\$	
2250	23161EN		POLE BASE-HIGH MAST	36.00	CUYD		\$	

**Section: 0009 - WATERLINE - COUNTY**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
2260	01315		BLOW-OFF ASSEMBLY	1.00	EACH		\$	
2270	03385		PVC PIPE-6 IN	575.00	LF		\$	
2280	03430		INSTALL WATER METER	5.00	EACH		\$	
2290	03466		TIE-IN 6 IN	2.00	EACH		\$	
2300	03524		GATE VALVE-4 IN	1.00	EACH		\$	
2310	03550		CUT & CAP EXIST WATER MAIN	2.00	EACH		\$	
2320	20825ED		REDUCER 6 IN X 4 IN	1.00	EACH		\$	
2330	21211ND		CUT & CAP-4 IN	1.00	EACH		\$	
2340	22444EN		HDPE-6 IN	930.00	LF		\$	
2350	22668EN		DIRECTIONAL BORE	930.00	LF		\$	
2360	24484ED		PE SERVICE TUBING 3/4 IN	420.00	LF		\$	

**Section: 0010 - WATERLINE - CITY**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0410	21353ND		TIE-IN TO FORCE MAIN	2.00	EACH		\$	
0420	21945EN		GROUT	1,235.00	LF		\$	
0430	23013EN		SANITARY SEWER FORCE MAIN	1,440.00	LF		\$	
0440	23300EC		REINFORCE BRIDGE GUARDRAIL	110.00	LF		\$	
0450	23513EC		CRUSHED STONE PAVEMENT REPLACEMENT	300.00	LF		\$	
0460	23967EC		ASPHALT DRIVEWAY/PARKING LOT REPLACEMENT	300.00	LF		\$	
0470	24077EC		TAPPING SLEEVE AND VALVE-8 IN	2.00	EACH		\$	

**Section: 0011 - TRAINEES**

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0480	02742		TRAINEE PAYMENT REIMBURSEMENT CLASS B OPERATOR	1,400.00	HOUR		\$	
0490	02742		TRAINEE PAYMENT REIMBURSEMENT CLASS B OPERATOR	1,400.00	HOUR		\$	

### PROPOSAL BID ITEMS

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#### Section: 0012 - DEMOBILIZATION &/OR MOBILIZATION

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	FP	AMOUNT
0500	02568		MOBILIZATION	1.00	LS		\$	
0510	02569		DEMOBILIZATION	1.00	LS		\$	