



**INSPECTOR GENERAL**  
**DEPARTMENT OF DEFENSE**  
4800 MARK CENTER DRIVE  
ALEXANDRIA, VIRGINIA 22350-1500

September 16, 2021  
Ref: DODOIG-2021-001399

**SENT VIA EMAIL TO: [john@greenewald.com](mailto:john@greenewald.com)**

Mr. John Greenewald  
The Black Vault  
27305 W. Live Oak Road, Suite 1203  
Castaic, CA 91384

Dear Mr. Greenewald:

While processing your June 28, 2021, Freedom of Information Act (FOIA) request for all communications between DARPA and the UAP Task Force and communications between DARPA and the ODNI pertaining to the UAP report, the Office of the Secretary of Defense and Joint Staff (OSD/JS) referred records to this office for processing and direct response to you. We received this referral on September 10, 2021, and assigned it case number DODOIG-2021-001399. For your reference, the OSD/JS tracking number associated with your request is 21-F-1200.

Upon review of the referred records, we determined that the attached sixteen pages are appropriate for release in part pursuant to FOIA (5 U.S.C. § 552) exemptions (b)(5), which pertains to certain inter-and intra-agency communications protected by the deliberative process privilege; and (b)(6), which pertains to information, the release of which would constitute a clearly unwarranted invasion of personal privacy.

If you consider this an adverse determination, you may submit an appeal. Your appeal, if any, must be postmarked within 90 days of the date of this letter, clearly identify the determination that you would like to appeal, and reference to the FOIA case number above. Send your appeal to the Department of Defense, Office of Inspector General, ATTN: FOIA Appellate Authority, Suite 10B24, 4800 Mark Center Drive, Alexandria, VA 22350-1500, or via facsimile to 571-372-7498. For more information on appellate matters and administrative appeal procedures, please refer to 32 C.F.R. Sec. 286.9(e) and 286.11(a).

You may contact our FOIA Public Liaison at [FOIAPublicLiaison@dodig.mil](mailto:FOIAPublicLiaison@dodig.mil), or by calling 703-604-9785, for any further assistance with your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, MD 20740-6001, email at [ogis@nara.gov](mailto:ogis@nara.gov); telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769. However, OGIS does not have the authority to mediate requests made under the Privacy Act of 1974 (request to access one's own records).

September 16, 2021  
Ref: DODOIG-2021-001399

If you have any questions regarding this matter, please contact Mr. Joseph Kasper at 703-604-9775 or via email at [foiarequests@dodig.mil](mailto:foiarequests@dodig.mil).

Sincerely,


A handwritten signature in black ink, appearing to read 'Searle Slutzkin'.

Searle Slutzkin  
Division Chief  
FOIA, Privacy and Civil Liberties Office

Attachment(s):  
As stated

This document is made available through the declassification efforts  
and research of John Greenewald, Jr., creator of:

# The Black Vault



The Black Vault is the largest online Freedom of Information Act (FOIA)  
document clearinghouse in the world. The research efforts here are  
responsible for the declassification of hundreds of thousands of pages  
released by the U.S. Government & Military.

**Discover the Truth** at: <http://www.theblackvault.com>

(b) (6)

**Subject:** FW: Management Entrance Conference (Alternate): Evaluation of the DoD's Actions Regarding the Unidentified Aerial Phenomena  
**Location:** Unclassified Teleconference  
**Start:** Wed 6/30/2021 10:30 AM  
**End:** Wed 6/30/2021 11:30 AM  
**Show Time As:** Tentative  
**Recurrence:** (none)  
**Meeting Status:** Not yet responded  
**Organizer:** (b) (6), OIG DoD

All, FYI.

Thanks.

(b) (6)

-----Original Appointment-----

From: (b) (6), OIG DoD <(b) (6)>

Sent: Tuesday, June 15, 2021 6:12 PM

To: (b) (6), OIG DoD; (b) (6)

[Redacted]

Randolph R., SES, OIG DoD; (b) (6)

**Subject:** Management Entrance Conference (Alternate): Evaluation of the DoD's Actions Regarding the Unidentified Aerial Phenomena  
**When:** Wednesday, June 30, 2021 10:30 AM-11:30 AM (UTC-05:00) Eastern Time (US & Canada).  
**Where:** Unclassified Teleconference

Good evening Ladies and Gentlemen,

We are scheduling a primary Management Entrance Conference for June 22, 2021 at 1030. We are also scheduling a backup Management Entrance Conference for June 30, 2021 at 1030. There is only a need to participate in one of the two sessions. I will send out the invite for June 30 shortly. Please note the meetings will have different dial-in information.

Please feel free to contact me if you have any questions.

Warm regards,

(b) (6)

(b) (6)

Space, Missile, and Nuclear Team  
DoD Office of the Inspector General

(b) (6)

Wednesday, June 30, 2021, 10:30 AM | 1 hr  
(UTC-05:00) Eastern Time (US & Canada)

Join using Jabber / Desk Phone / VTC Endpoint Dial Call ID: (b) (6) Meeting PIN: (b) (6)

EXTERNAL CALLERS

COM: (b) (6)

DSN: (b) (6)

Call ID: (b) (6)

Meeting PIN: (b) (6)

Having trouble joining a Meeting? Please Contact the Helpdesk @ 703-604-9865 or Email: [helpdesk@DODIG.MIL](mailto:helpdesk@DODIG.MIL).

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**INSPECTOR GENERAL**  
**DEPARTMENT OF DEFENSE**  
4800 MARK CENTER DRIVE  
ALEXANDRIA, VIRGINIA 22350-1500

May 3, 2021

MEMORANDUM FOR DISTRIBUTION

SUBJECT: Evaluation of the DoD's Actions Regarding the Unidentified Aerial Phenomena  
(Project No. D2021-DEV0SN-0116.000)

We plan to begin the subject evaluation in May 2021. The objective of this evaluation is to determine the extent to which the DoD has taken actions regarding Unidentified Aerial Phenomena (UAP). We may revise the objective as the evaluation proceeds, and we will consider suggestions from management for additional or revised objectives.

We will perform the evaluation at the Offices of the Secretary of Defense, Military Services, Combatant Commands, Combat Support Agencies, Defense Agencies, and the Military Criminal Investigative Organizations. We may identify additional locations during the evaluation.

Please provide us with a point of contact for the evaluation within **5 days** of the date of this memorandum. The point of contact should be a Government employee or Military Service Member—a GS-15, pay band equivalent, or the military equivalent. Send the contact's name, title, grade/pay band, phone number, and e-mail address to (b) (6).

You can obtain information about the Department of Defense Office of Inspector General from DoD Directive 5106.01, "Inspector General of the Department of Defense (IG DoD)," April 20, 2012, as amended; and DoD Instruction 7050.03, "Office of the Inspector General of the Department of Defense Access to Records and Information," March 22, 2013. Our website is [www.dodig.mil](http://www.dodig.mil).

If you have any questions, please contact (b) (6) at (b) (6), or (b) (6) at (b) (6).

Randolph R. Stone  
Assistant Inspector General for Evaluations  
Space, Intelligence, Engineering, and Oversight

## DISTRIBUTION:

SECRETARIES OF THE MILITARY DEPARTMENTS  
CHAIRMAN OF THE JOINT CHIEFS OF STAFF  
UNDER SECRETARY OF DEFENSE FOR RESEARCH AND ENGINEERING  
UNDER SECRETARY OF DEFENSE FOR INTELLIGENCE AND SECURITY  
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COMMANDER, U.S. NORTHERN COMMAND  
COMMANDER, U.S. SPECIAL OPERATIONS COMMAND  
DIRECTOR, DEFENSE ADVANCED RESEARCH PROJECTS AGENCY  
DIRECTOR, DEFENSE INTELLIGENCE AGENCY  
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AUDITOR GENERAL, DEPARTMENT OF THE ARMY  
AUDITOR GENERAL, DEPARTMENT OF THE AIR FORCE



~~CUI~~

**INSPECTOR GENERAL**

*U.S. Department of Defense*

PRESENTED BY: SPACE, INTELLIGENCE, ENGINEERING, & OVERSIGHT

JUNE 22, 2021

# EVALUATION OF THE DOD'S ACTIONS REGARDING THE UNIDENTIFIED AERIAL PHENOMENA – ENTRANCE CONFERENCE

<b>Controlled by:</b>	DoD OIG
<b>Controlled by:</b>	Evaluations/Space, Intelligence, Engineering, & Oversight Directorate
<b>CUI Category:</b>	PRIVILEGE/DELIB
<b>Distribution/Dissemination Control:</b>	FEDCON
<b>POC:</b>	(b) (6)

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~~CUI~~



# AGENDA

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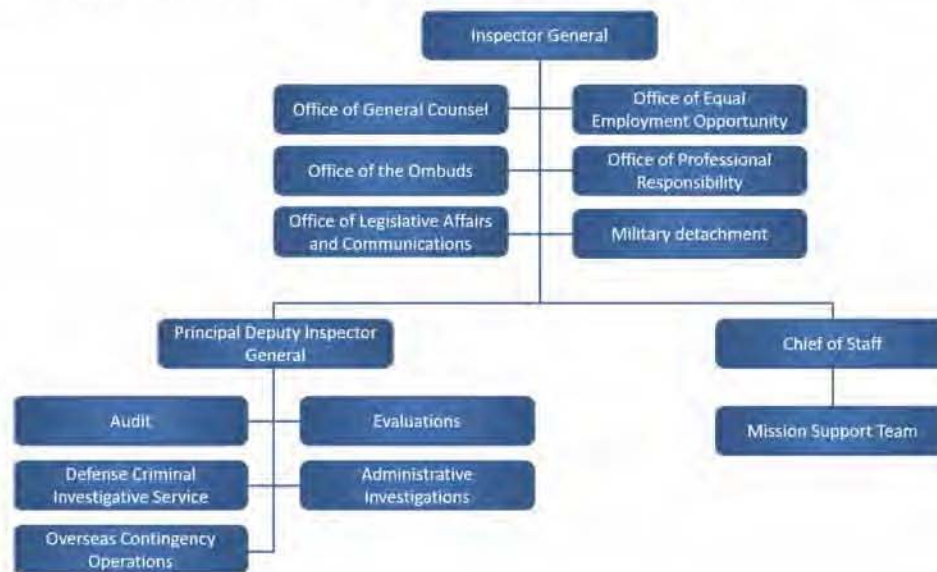
- DoD Office of Inspector General Organization and Authority
- Project Team and Leadership
- Objective of the Evaluation
- Our Evaluation Process
  - Data Requests
  - Interviews
  - Site Visits (as necessary)
  - Documentation Review and Analysis
- Way Ahead



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# DoD OIG ORGANIZATION

- The DoD Office of Inspector General’s Evaluations Component provides independent, relevant, and timely evaluations that promote economy, efficiency, and effectiveness, and include actionable recommendations that, when effectively implemented, help improve DoD programs, operations, and stewardship of its resources.



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# DoD OIG AUTHORITY

- Inspector General Act of 1978, as amended.
- DoD Directive 5106.01, "Inspector General of the Department of Defense (IG DoD)," August 19, 2014.
  - 3. MISSION. The Office of the Inspector General of the Department of Defense (OIG DoD) was established by Congress in section 1117 of Public Law (PL) 97-252, "Department of Defense Authorization Act, 1983," which is codified at Reference (c), as an independent and objective unit within DoD to conduct and supervise audits, investigations, evaluations, and inspections relating to the programs and operations of the DoD. In support of the mission of the DoD, the IG DoD performs the duties, has the responsibilities, and exercises the powers specified in Reference (c).
  - 5. RESPONSIBILITIES AND FUNCTIONS. The IG DoD shall:
    - *5.b. Initiate, conduct, supervise, and coordinate such audits, investigations, evaluations, and inspections within the DoD, including the Military Departments, as the IG DoD considers appropriate.*
- DoD Instruction 7050.03, "Office of the Inspector General of the Department of Defense Access to Records and Information," March 22, 2013.
  - 3. POLICY. In accordance with References (a) and (b), it is DoD policy that:
    - *3.a The OIG DoD must have expeditious and unrestricted access to all records as defined in DoD Directive 5015.2 (Reference (d)), regardless of classification, medium (e.g., paper, electronic) or format (e.g., digitized images, data) and information available to or within any DoD Component, and be able to obtain copies of all records and information as required for its official use once appropriate security clearances and access are substantiated for the OIG DoD personnel involved.*
    - *3.b. No officer, employee, contractor, or Service member of any DoD Component may deny the OIG DoD access to records. Only the Secretary of Defense can deny access to certain types of records or information based on criteria listed in section 8 of Reference (a), and paragraph 6a(1) of Reference (b).*



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# PROJECT TEAM AND LEADERSHIP

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- Mr. Michael Roark – Deputy Inspector General for Evaluations
- Mr. Randolph Stone – Assistant Inspector General for Space, Intelligence, Engineering, & Oversight
- (b) (6) – (b) (6) Space, Missile, & Nuclear Division
- (b) (6) – (b) (6) Sensitive Activities Division
- (b) (6) – (b) (6) Intelligence Division
- (b) (6) – (b) (6) Research and Engineering Division
- (b) (6) – Project Manager
- (b) (6) – Project Manager
- (b) (6) – Project Manager
- (b) (6) – Evaluator
- (b) (6) – Evaluator
- (b) (6) – Evaluator
- (b) (6) – Evaluator
- (b) (6) – Auditor
- (b) (6) – Evaluator

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## OBJECTIVE OF THE EVALUATION

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- The objective of this evaluation is to determine the extent to which the DoD has taken actions regarding Unidentified Aerial Phenomena (UAP).
- We may revise the objective as the evaluation proceeds, and we will consider suggestions from management for additional or revised objectives.



## SCOPE AND METHODOLOGY

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- The scope of this evaluation will include Offices of the Secretary of Defense, U.S. Special Operations Command, U.S. Central Command, the Services, Combat Support Agencies, other Defense Agencies, and the MCIOs, as it relates to the actions taken to detect, collect, analyze, exploit, and address UAP.
- We will conduct this evaluation by:
  - Requesting information to understand the history, roles and responsibilities, and any documentation regarding UAP, including policies and procedures for reporting UAP and reports generated by DoD and subordinate organizations regarding UAP;
  - Conducting interviews and site visits, as necessary, to obtain clarification regarding policies, procedures, guidance, and actions taken to detect, collect, analyze, exploit, and address UAP activity; and
  - Analyzing data collected and interviews conducted.



# OUR EVALUATION PROCESS

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- Evaluation Field work
  - Data requests, interviews, site visits, documentation review and analysis
- Discussion Draft Report
  - 5 days to provide clarification and to verify the factual basis
- Draft Report
  - 30 days to provide official management comments
  - Include whether you agree or disagree with the recommendations
    - *If agree – provide an implementation timeline*
    - *If disagree – provide explanation*
  - We will respond to your comments
- Final Report – Your responses to the draft report are included
  - 30 days to provide comments
  - We will respond to your final report comments
  - Final reports are provided to the SECDEF and Congress



# TIMELINE

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- OIG Project Announcement: May 3, 2021
- Entrance Conference: June 22, 2021
- Site Visits: TBD, as necessary
- Exit Conference and Discussion Draft: (b) (5)
- Official Draft Report: (b) (5)
- Management Comments Due: 30 days after release of the draft report
- Final Report: (b) (5)





## WAY AHEAD

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- Data Call
  - Responses to requests for information (Due date included in request)
- Begin teleconferences with the pertinent organizations, including:
  - Office of the Secretary of Defense components,
  - Service components,
  - Combat Support Agencies, and
  - MCIOs



# QUESTIONS?

INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE



(b) (6)

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**From:**

(b) (6)

**Sent:**

Thursday, June 17, 2021 8:27 AM

**To:**

(b) (6), OIG DoD

**Subject:**

Accepted: Management Entrance Conference (Alternate): Evaluation of the DoD's Actions Regarding the Unidentified Aerial Phenomena