



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022
OF THE CONDITION AND AFFAIRS OF THE

Genworth Life and Annuity Insurance Company

NAIC Group Code 4011 4011 NAIC Company Code 65536 Employer's ID Number 54-0283385
(Current) (Prior)

Organized under the Laws of Virginia, State of Domicile or Port of Entry VA

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 03/21/1871 Commenced Business 04/01/1871

Statutory Home Office 6610 West Broad Street, Richmond, VA, US 23230
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 6610 West Broad Street
(Street and Number)
Richmond, VA, US 23230 804-662-2400
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 6604 West Broad Street, Richmond, VA, US 23230
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6604 West Broad Street
(Street and Number)
Richmond, VA, US 23230 804-662-2400
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.genworth.com

Statutory Statement Contact Kathryn Howard, 804-662-2722
(Name) (Area Code) (Telephone Number)
ComplianceARCoegenworth@genworth.com 804-922-5547
(E-mail Address) (FAX Number)

OFFICERS

President & CEO Brian Keith Haendiges Treasurer Lisa Jones Baldyga
Secretary Vidal Joaquin Torres Jr Controller Keith Allen Willingham

OTHER

Daniel Joseph Sheehan IV, SVP & Chief Investment Officer Vidal Joaquin Torres Jr, SVP & General Counsel Thomas Joseph McInerney, Sr Vice President
Angela Rene Simmons, SVP & Chief Financial Officer Michael Powers, SVP & Chief Information Officer Gregory Scott Karawan, Sr Vice President

DIRECTORS OR TRUSTEES

Gregory Scott Karawan Daniel Joseph Sheehan IV Thomas Joseph McInerney
Brian Keith Haendiges Eleanor Lynne Kitzman # James Joseph Buddle #
Jose Daniel Saenz #

State of Virginia SS:
County of Powhatan

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Brian Haendiges
Signed on 2022/11/03 11:36:53 -8:00

Vidal J. Torres Jr.
Signed on 2022/11/03 11:36:53 -8:00

Keith Willingham
Signed on 2022/11/03 11:36:53 -8:00

Brian Keith Haendiges
President & CEO

Vidal Joaquin Torres Jr.
SVP & Secretary

Keith Allen Willingham
Vice President & Controller

Subscribed and sworn to before me this 3d November 2022
Kathryn R Howard
Kathryn Howard
Notary Stamp 2022/11/03 11:36:53 -8:00

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

April 30, 2025

Kathryn Ruth Howard
Registration # 7564584
Electronic Notary Public
Commonwealth of Virginia
My commission expires the 30 day of Apr 2025
Notary Stamp 2022/11/03 11:36:53 PST 79F3EE71AAB6

Notarial act performed by audio-visual communication



STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	9,947,239,474		9,947,239,474	10,346,752,640
2. Stocks:				
2.1 Preferred stocks	15,738,320	500,000	15,238,320	17,822,416
2.2 Common stocks	190,949,383	4,764,764	186,184,619	167,893,401
3. Mortgage loans on real estate:				
3.1 First liens	1,735,231,195		1,735,231,195	1,753,138,113
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	11,911,867		11,911,867	12,894,642
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$(77,980,694)), cash equivalents (\$225,816,251) and short-term investments (\$)	147,835,557		147,835,557	169,308,612
6. Contract loans (including \$ premium notes)	448,527,161	5,019,846	443,507,315	461,840,593
7. Derivatives	42,031,744		42,031,744	44,593,497
8. Other invested assets	132,948,719	25,161	132,923,558	123,378,487
9. Receivables for securities	3,330,328	487,229	2,843,099	4,563,605
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	12,675,743,748	10,797,000	12,664,946,748	13,102,186,006
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	125,124,417		125,124,417	122,319,934
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	66,863,691		66,863,691	59,550,620
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	340,774,228		340,774,228	302,438,670
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	94,651,764	26,230,898	68,420,866	73,250,408
16.2 Funds held by or deposited with reinsured companies	367,162,626		367,162,626	367,162,626
16.3 Other amounts receivable under reinsurance contracts	15,838,304		15,838,304	16,544,475
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	14,385,399		14,385,399	0
18.2 Net deferred tax asset	498,025,500	422,486,991	75,538,509	112,771,257
19. Guaranty funds receivable or on deposit	5,733,231		5,733,231	6,109,862
20. Electronic data processing equipment and software	1,874,449	1,874,449	0	0
21. Furniture and equipment, including health care delivery assets (\$)	83,174	83,174	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	647,145		647,145	342,330
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other than invested assets	21,269,406	10,708,639	10,560,767	11,647,895
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	14,228,177,082	472,181,151	13,755,995,931	14,174,324,083
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	4,022,536,329		4,022,536,329	5,669,286,559
28. Total (Lines 26 and 27)	18,250,713,411	472,181,151	17,778,532,260	19,843,610,642
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Miscellaneous receivables	6,926,624	7,884	6,918,740	7,160,832
2502. Premium tax refunds receivable	1,754,161	0	1,754,161	2,515,035
2503. Business services agreement receivable	1,887,866	0	1,887,866	1,972,028
2598. Summary of remaining write-ins for Line 25 from overflow page	10,700,755	10,700,755	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	21,269,406	10,708,639	10,560,767	11,647,895

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$10,466,485,487 less \$ included in Line 6.3 (including \$730,808,719 Modco Reserve).....	10,466,485,487	10,533,331,435
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve).....	551,188	620,102
3. Liability for deposit-type contracts (including \$ Modco Reserve).....	579,386,678	607,796,599
4. Contract claims:		
4.1 Life	97,601,016	121,861,293
4.2 Accident and health	106	106
5. Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid	282,839	284,339
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco).....		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco).....		
6.3 Coupons and similar benefits (including \$ Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$2,271 accident and health premiums	5,824,205	6,074,067
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$3,456,910 assumed and \$140,634,761 ceded	144,091,671	155,218,732
9.4 Interest Maintenance Reserve	44,637,806	47,613,687
10. Commissions to agents due or accrued-life and annuity contracts \$, accident and health \$166 and deposit-type contract funds \$	166	517
11. Commissions and expense allowances payable on reinsurance assumed	111,132	187,701
12. General expenses due or accrued	1,035,711	2,319,580
13. Transfers to Separate Accounts due or accrued (net) (including \$276,761 accrued for expense allowances recognized in reserves, net of reinsured allowances)	(7,108,972)	(7,903,203)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	5,786,516	7,620,033
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)		9,153,398
15.2 Net deferred tax liability		
16. Unearned investment income	5,418,287	5,544,760
17. Amounts withheld or retained by reporting entity as agent or trustee	19,130,142	16,679,700
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	12,625,455	16,979,106
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	109,216,493	114,591,953
24.02 Reinsurance in unauthorized and certified (\$) companies	13,853,786	4,740
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers	1,176,276,824	1,217,564,296
24.04 Payable to parent, subsidiaries and affiliates	10,328,177	9,800,203
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance	463,249,304	422,074,275
24.08 Derivatives	0	553,500
24.09 Payable for securities	(8,826)	8,550
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	22,855,000	21,765,000
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	13,171,630,191	13,309,744,469
27. From Separate Accounts Statement	4,022,536,329	5,669,286,559
28. Total liabilities (Lines 26 and 27)	17,194,166,520	18,979,031,028
29. Common capital stock	25,651,000	25,651,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes		
33. Gross paid in and contributed surplus	1,456,618,456	1,456,618,456
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	(897,903,716)	(617,689,842)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	558,714,740	838,928,614
38. Totals of Lines 29, 30 and 37	584,365,740	864,579,614
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	17,778,532,260	19,843,610,642
DETAILS OF WRITE-INS		
2501. Derivatives collateral	22,855,000	21,765,000
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	22,855,000	21,765,000
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	152,149,079	62,796,973	(1,249,120,122)
2. Considerations for supplementary contracts with life contingencies	22,281,843	19,306,942	25,027,758
3. Net investment income	430,934,080	475,826,576	630,538,747
4. Amortization of Interest Maintenance Reserve (IMR)	3,426,367	2,266,897	4,295,253
5. Separate Accounts net gain from operations excluding unrealized gains or losses			0
6. Commissions and expense allowances on reinsurance ceded	95,946,961	112,550,934	1,517,004,727
7. Reserve adjustments on reinsurance ceded	(66,966,418)	(70,372,468)	(92,309,413)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	65,490,507	75,336,475	100,165,870
8.2 Charges and fees for deposit-type contracts	3,047	3,270	10,284
8.3 Aggregate write-ins for miscellaneous income	17,353,079	15,297,753	20,113,071
9. Totals (Lines 1 to 8.3)	720,618,545	693,013,352	955,726,175
10. Death benefits	290,272,815	289,695,226	437,949,307
11. Matured endowments (excluding guaranteed annual pure endowments)	1,470,734	1,782,913	2,044,334
12. Annuity benefits	252,598,326	285,414,419	378,372,747
13. Disability benefits and benefits under accident and health contracts	3,408,713	3,526,342	4,687,469
14. Coupons, guaranteed annual pure endowments and similar benefits			0
15. Surrender benefits and withdrawals for life contracts	445,025,143	734,527,907	939,615,627
16. Group conversions			0
17. Interest and adjustments on contract or deposit-type contract funds	12,747,627	16,677,213	21,737,311
18. Payments on supplementary contracts with life contingencies	13,905,086	12,575,706	17,140,857
19. Increase in aggregate reserves for life and accident and health contracts	(66,914,862)	(628,388,320)	(622,302,212)
20. Totals (Lines 10 to 19)	952,513,582	715,811,406	1,179,245,440
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	32,997,345	38,804,879	51,175,042
22. Commissions and expense allowances on reinsurance assumed	36,324,767	38,914,892	51,224,237
23. General insurance expenses and fraternal expenses	133,211,464	110,489,418	145,972,016
24. Insurance taxes, licenses and fees, excluding federal income taxes	16,159,693	19,116,783	25,316,596
25. Increase in loading on deferred and uncollected premiums	(33,972,339)	8,613,041	32,442,493
26. Net transfers to or (from) Separate Accounts net of reinsurance	(255,571,317)	(355,070,887)	(460,679,076)
27. Aggregate write-ins for deductions	35,778,934	52,396,553	45,670,629
28. Totals (Lines 20 to 27)	917,442,129	629,076,085	1,070,367,377
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(196,823,584)	63,937,267	(114,641,202)
30. Dividends to policyholders and refunds to members			0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(196,823,584)	63,937,267	(114,641,202)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(52,434,286)	675,712	(52,302,037)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(144,389,298)	63,261,555	(62,339,165)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$901,024 (excluding taxes of \$119,749 transferred to the IMR)	(56,813,327)	(81,785,243)	(116,960,366)
35. Net income (Line 33 plus Line 34)	(201,202,625)	(18,523,688)	(179,299,531)
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	864,579,614	991,753,530	991,753,530
37. Net income (Line 35)	(201,202,625)	(18,523,688)	(179,299,531)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$(1,357,973)	137,997,052	30,239,658	308,670,584
39. Change in net unrealized foreign exchange capital gain (loss)	(3,495,500)	(303,750)	(213,000)
40. Change in net deferred income tax	9,267,405	20,446,319	62,835,511
41. Change in nonadmitted assets	(49,548,762)	(20,601,399)	(74,587,374)
42. Change in liability for reinsurance in unauthorized and certified companies	(13,849,046)	133,695	536,643
43. Change in reserve on account of change in valuation basis, (increase) or decrease			0
44. Change in asset valuation reserve	5,375,460	1,630,369	(5,052,315)
45. Change in treasury stock			0
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in		0	0
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance	(35,334,230)	(20,665,281)	(15,128,227)
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	(129,423,628)	(11,300,380)	(224,936,207)
54. Net change in capital and surplus for the year (Lines 37 through 53)	(280,213,874)	(18,944,457)	(127,173,916)
55. Capital and surplus, as of statement date (Lines 36 + 54)	584,365,740	972,809,073	864,579,614
DETAILS OF WRITE-INS			
08.301. Fund manager rebates	8,847,847	10,284,225	13,842,676
08.302. Interest income on funds withheld	8,307,054	4,099,839	5,641,922
08.303. Miscellaneous income (expense)	198,178	913,689	628,473
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	17,353,079	15,297,753	20,113,071
2701. Interest expense on funds withheld	35,722,522	47,665,148	62,099,851
2702. IMR transfer		4,701,245	(16,459,382)
2703. Modco adjustment on reinsurance assumed	56,412	30,160	30,160
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	35,778,934	52,396,553	45,670,629
5301. Special tax allocation agreement with Genworth Financial	(129,423,628)	(11,300,380)	(224,936,207)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(129,423,628)	(11,300,380)	(224,936,207)

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	163,197,916	78,105,730	(1,216,577,544)
2. Net investment income	417,397,967	460,872,414	621,443,002
3. Miscellaneous income	76,492,946	114,268,057	1,160,725,274
4. Total (Lines 1 to 3)	657,088,829	653,246,201	565,590,732
5. Benefit and loss related payments	981,136,562	1,363,960,883	1,763,425,518
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(256,365,548)	(354,301,546)	(458,905,393)
7. Commissions, expenses paid and aggregate write-ins for deductions	217,866,042	203,929,090	267,246,177
8. Dividends paid to policyholders	1,500	2,060	5,791
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(11,827,834)	18,879,981	6,547,579
10. Total (Lines 5 through 9)	930,810,722	1,232,470,468	1,578,319,672
11. Net cash from operations (Line 4 minus Line 10)	(273,721,893)	(579,224,267)	(1,012,728,940)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	671,773,881	1,173,824,045	1,737,481,831
12.2 Stocks	1,261,836	34,522,524	104,927,422
12.3 Mortgage loans	186,198,655	195,304,543	264,956,125
12.4 Real estate	2,247,715	0	0
12.5 Other invested assets	601,178	1,544,087	1,583,116
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	6,103,843	87,398,786	68,405,351
12.8 Total investment proceeds (Lines 12.1 to 12.7)	868,187,108	1,492,593,985	2,177,353,845
13. Cost of investments acquired (long-term only):			
13.1 Bonds	264,191,850	423,973,207	525,055,164
13.2 Stocks	446,809	19,576,799	19,576,800
13.3 Mortgage loans	168,292,505	170,364,608	300,768,505
13.4 Real estate	0	441,103	499,304
13.5 Other invested assets	11,262,408	8,950	11,861
13.6 Miscellaneous applications	54,594,806	88,379,052	110,906,277
13.7 Total investments acquired (Lines 13.1 to 13.6)	498,788,378	702,743,719	956,817,911
14. Net increase (or decrease) in contract loans and premium notes	(20,498,238)	(12,973,051)	(11,803,466)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	389,896,968	802,823,317	1,232,339,400
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(100,049,068)	(189,266,309)	(247,121,479)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(37,599,062)	(66,397,301)	(46,091,367)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(137,648,130)	(255,663,610)	(293,212,846)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(21,473,055)	(32,064,560)	(73,602,386)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	169,308,612	242,910,998	242,910,998
19.2 End of period (Line 18 plus Line 19.1)	147,835,557	210,846,438	169,308,612

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Securities exchanges bond proceeds (Line 12.1)	(91,554,500)	(84,469,358)	(108,855,023)
20.0002. Securities exchanges bonds acquired (Line 13.1)	(91,554,500)	(79,955,186)	(104,340,851)
20.0003. Securities exchanges surplus note acquired (13.5)		(4,514,172)	(4,514,172)
20.0004. Tax sharing agreement transfer of taxes payable (Line 9)	(16,046,882)	(7,793,100)	(9,295,121)
20.0005. Tax sharing agreement transfer of taxes payable (Line 12.2)	(215,725,823)	(13,865,362)	(230,429,686)
20.0006. Tax sharing agreement transfer of taxes payable (Line 13.2)	(102,349,077)	(10,358,082)	(14,788,600)
20.0007. Tax sharing agreement transfer of taxes payable (Line 16.6)	(129,423,628)	(11,300,380)	(224,936,207)
20.0008. Interest capitalization (Line 2)	(6,123,718)	(6,295,641)	(8,352,002)
20.0009. Interest capitalization (Line 13.1)	(6,123,718)	(6,295,641)	(8,352,002)
20.0010. Transfer of securities from affiliate as return of capital (Line 12.2)			(82,536,053)

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0011. Transfer of securities from affiliate as return of capital (Line 13.1)			(81,827,823)
20.0012. Transfer of securities from affiliate as return of capital (Line 2)			(708,230)
20.0013. Jamestown recapture payment - accrued investment income (Line 2)			(163,465)
20.0014. Jamestown recapture payment - recapture fee (Line 3)			(19,882,626)
20.0015. Jamestown recapture payment - transfer bonds (Line 13.1)			(18,793,600)
20.0016. Jamestown recapture payment - transfer surplus note (Line 13.5)			(925,561)
20.0017. Transfer to surplus notes (Line 12.1)		(54,217,052)	(54,217,052)
20.0018. Transfer from bonds (Line 13.5)		(54,217,052)	(54,217,052)
20.0019. River Lake Insurance Company VIII terminal reserve adjustment (3.3)			(300,744,867)
20.0020. River Lake Insurance Company VIII terminal reserve adjustment (16.6)			(300,744,867)
20.0021. River Lake Insurance Company VII terminal reserve adjustment (3.3)			(70,503,545)
20.0022. River Lake Insurance Company VII terminal reserve adjustment (16.6)			(70,503,545)
20.0023. Transfer of securities from affiliate (Line 12.2)		(81,827,823)	
20.0024. Transfer of securities from affiliate (Line 12.2)		(708,230)	
20.0025. Transfer of securities from affiliate (Line 13.1)		(100,621,423)	
20.0026. Transfer of securities from affiliate (Line 13.5)		(925,561)	
20.0027. Transfer of securities from affiliate (Line 2)		(708,230)	
20.0028. Transfer of securities from affiliate (Line 2)		(163,465)	
20.0029. Transfer of securities from affiliate (Line 3)		(19,719,161)	
20.0030. Transfer of securities from affiliate (Line 3)		(163,465)	

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	31,678	34,022	45,249
2. Ordinary life insurance	733,313,911	774,095,260	1,012,667,949
3. Ordinary individual annuities	11,104,812	12,889,299	17,816,650
4. Credit life (group and individual)			0
5. Group life insurance	1,559,275	1,633,932	2,132,197
6. Group annuities	294,413	685,413	835,503
7. A & H - group	1,395	1,386	1,724
8. A & H - credit (group and individual)			0
9. A & H - other	23,292,174	27,316,995	35,614,743
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	769,597,658	816,656,307	1,069,114,015
12. Fraternal (Fraternal Benefit Societies Only)			0
13. Subtotal (Lines 11 through 12)	769,597,658	816,656,307	1,069,114,015
14. Deposit-type contracts	0	0	0
15. Total (Lines 13 and 14)	769,597,658	816,656,307	1,069,114,015
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO THE FINANCIAL STATEMENTS

Note#	Description	Page #
1	Summary of Significant Accounting Policies and Going Concern	7. 1
2	Accounting Changes and Corrections of Errors	7. 1
3	Business Combinations and Goodwill	7. 1
4	Discontinued Operations	7. 1
5	Investments	7. 1
6	Joint Ventures, Partnerships and Limited Liability Companies	7. 2
7	Investment Income	7. 2
8	Derivative Instruments	7. 2
9	Income Taxes	7. 3
10	Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties	7. 3
11	Debt	7. 4
12	Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans	7. 6
13	Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations	7. 6
14	Liabilities, Contingencies and Assessments	7. 6
15	Leases	7. 7
16	Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk	7. 7
17	Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities	7. 7
18	Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans	7. 7
19	Direct Premium Written/Produced by Managing General Agents/Third Party Administrators	7. 7
20	Fair Value Measurements	7. 7
21	Other Items	7. 11
22	Events Subsequent	7. 11
23	Reinsurance	7. 11
24	Retrospectively Rated Contracts & Contracts Subject to Redetermination	7. 11
25	Change in Incurred Losses and Loss Adjustment Expenses	7. 11
26	Intercompany Pooling Arrangements	7. 11
27	Structured Settlements	7. 11
28	Health Care Receivables	7. 11
29	Participating Policies	7. 11
30	Premium Deficiency Reserves	7. 11
31	Reserves for Life Contracts and Annuity Contracts	7. 11
32	Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics	7. 11
33	Analysis of Life Actuarial Reserves by Withdrawal Characteristics	7. 11
34	Premiums and Annuity Considerations Deferred and Uncollected	7. 11
35	Separate Accounts	7. 11
36	Loss/Claim Adjustment Expenses	7. 12

NOTES TO THE FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying statutory financial statements of Genworth Life and Annuity Insurance Company (the "Company") have been prepared on the basis of accounting practices prescribed or permitted by the Commonwealth of Virginia State Corporation Commission Bureau of Insurance (the "Virginia Bureau").

The Commonwealth of Virginia requires insurance companies domiciled in the state to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures Manual ("NAIC SAP") subject to any deviations prescribed or permitted by the Virginia Bureau.

A reconciliation of the Company's net loss and capital and surplus between NAIC SAP and practices prescribed or permitted by the Virginia Bureau is shown below:

	SSAP #	F/S Page	F/S Line #	September 30, 2022	December 31, 2021
NET LOSS					
(1) Company state basis (Page 4, Line 35, Columns 1&2)	XXX	XXX	XXX	\$ (201,202,625)	\$ (179,299,531)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
(3) State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ (201,202,625)</u>	<u>\$ (179,299,531)</u>
SURPLUS					
(5) Company state basis (Page 3, Line 38, Columns 1&2)	XXX	XXX	XXX	\$ 584,365,740	\$ 864,579,614
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
(7) State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 584,365,740</u>	<u>\$ 864,579,614</u>

C. Accounting Policy

(6) Loan-backed bonds and structured securities ("LBaSS") other than non-agency residential mortgage-backed securities are stated at amortized cost using the scientific method except where the NAIC designation has fallen to 6 and the fair value has fallen below amortized cost, in which case they are carried at fair value. Amortization of LBaSS is based on prepayment assumptions that are updated at least annually. Significant changes of estimated cash flows from original purchase assumptions are accounted for using the retrospective adjustment method for all such securities except for securities for which the Company recorded other-than-temporary impairment ("OTTI") charges. For impaired securities, the Company stops amortization until the security begins performing as anticipated, at which time the Company applies the prospective methodology for amortization.

D. Going Concern

The Company's management does not have any doubts about the Company's ability to continue as a going concern within one year from the date the statutory financial statements were issued.

Note 2 - Accounting Changes and Corrections of Errors

None

Note 3 - Business Combinations and Goodwill

None

Note 4 - Discontinued Operations

None

Note 5 - Investments

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/asset-backed structured securities were obtained from third-party providers, broker dealer research reports or internal estimates.

(2) The Company had no loaned-backed securities with recognized OTTI where the Company had the intent to sell or does not have the intent and ability to retain the investment for a period of time sufficient to recover the amortized cost basis as of September 30, 2022.

NOTES TO THE FINANCIAL STATEMENTS

- (3) The Company had no loan-backed securities which recognized OTTI as of September 30, 2022.
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains) as of September 30, 2022:

a. The aggregate amount of unrealized losses:

1. Less than 12 months	\$ 102,827,838
2. 12 months or longer	6,162,057

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 months	\$1,011,676,667
2. 12 months or longer	29,870,724

- (5) The Company regularly evaluates securities in an unrealized loss position for OTTI. For debt securities, the Company considers all available information relevant to the collectability of the security, including information about past events, current conditions, and reasonable and supportable forecasts, when developing the estimate of cash flows expected to be collected. More specifically for mortgage-backed and asset-backed securities, the Company also utilizes performance indicators of the underlying assets including defaults or delinquency rates, loans to collateral value ratio, third-party credit enhancements, current levels of subordination, vintage and other relevant characteristics of the security or underlying assets to develop the Company's estimate of cash flows. Estimating the cash flows expected to be collected is a quantitative and qualitative process that incorporates information received from third-party sources along with certain internal assumptions and judgments regarding the future performance of the underlying collateral. Where possible, this data is benchmarked against third-party sources.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

None

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

H. Repurchase Agreements Transactions Accounted for as a Sale

None

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

None

J. Real Estate

- (2) On September 16, 2022, the Company sold land located in Lynchburg, Virginia to the City of Lynchburg for a purchase price of \$2,355,831. As a result of the sale, the Company recorded a pre-tax gain of \$1,782,441.

M. Working Capital Finance Investments

None

N. Offsetting and Netting of Assets and Liabilities

None

R. Reporting Entity's Share of Cash Pool by Asset Type

None

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

NOTES TO THE FINANCIAL STATEMENTS

Note 9 - Income Taxes

The Company has special tax sharing agreements with River Lake Insurance Company VI ("RLIC VI"), River Lake Insurance Company VII ("RLIC VII"), River Lake Insurance Company VIII ("RLIC VIII") and River Lake Insurance Company X ("RLIC X"). Under these special tax sharing agreements, the Company is obligated to receive or make payments on behalf of these companies for Federal income tax amounts receivable or payable by those companies pursuant to the Tax Allocation Agreement. The tax payments made by the Company on behalf of these companies are accounted for as deemed capital contributions. The tax payments received by the Company on behalf of these companies are accounted for as deemed dividends. As of September 30, 2022, the Company recorded the following related to the these agreements:

	Tax receivable (payable)	Common stock increase (decrease)
RLIC VI ¹	\$ 64,981,894	\$ (64,981,894)
RLIC VII ²	665,208	(665,208)
RLIC VIII ²	156,749	(156,749)
RLIC X ¹	47,572,897	(47,572,897)

¹The Company carries RLIC VI and RLIC X at zero; therefore, the change in common stock of affiliates ultimately impacts unassigned surplus.

²Effective March 17, 2022, RLIC VII and RLIC VIII were dissolved.

The Company also has a Special Tax Allocation Agreement with Genworth Financial, Inc. ("Genworth") whereby the Company agreed to settle intercompany taxes under the terms of the overall Tax Allocation Agreement of the Genworth Consolidated Group as if the Company and RLIC VI and RLIC X continued to calculate tax reserves under Model Regulation 830 for U.S. federal income tax purposes, notwithstanding that the consolidated group filed its U.S. federal income tax return limiting the tax reserve based upon the Net GAAP Liability shown on the statutory annual statements of RLIC VI and RLIC X. The purpose of the Special Tax Allocation Agreement between the Company and Genworth is to defer the recognition of tax expense and related intercompany tax settlements by the Company until the time at which the Company would have recognized the expense absent the change in the Permitted Practices for RLIC VI and RLIC X. As of September 30, 2022, the Company recorded an increase to current tax receivable and an decrease to unassigned surplus of \$129,423,628 related to this agreement.

In August 2022, the Inflation Reduction Act of 2022 ("Act") was passed by the U.S. Congress and signed into law by President Biden. The Act includes a new Federal alternative minimum tax ("AMT"), effective in 2023, that is based on the adjusted financial statement income ("AFSI") set forth on the applicable financial statement ("AFS") of an applicable corporation. A corporation is an applicable corporation if its rolling average pre-tax AFSI over three prior years (starting with 2020-2022) is greater than \$1.0 billion. For a group of related entities, the \$1.0 billion threshold is determined on a group basis, and the group's AFS is generally treated as the AFS for all separate taxpayers in the group. Except under limited circumstances, once a corporation is an applicable corporation, it is an applicable corporation in all future years.

An applicable corporation is not automatically subject to an AMT liability. The corporation's tentative AMT liability is equal to 15% of its adjusted AFSI, and AMT is payable to the extent the tentative AMT liability exceeds regular corporate income tax. However, any AMT paid would be indefinitely available as a credit carryover that could reduce future regular tax in excess of AMT.

The controlled group of corporations of which the Company is a member has determined that it likely will not be an applicable corporation in 2023. In making such determination, the group has made certain interpretations of, and assumptions regarding, the AMT provisions of the Act. The U.S. Treasury Department is expected to issue guidance throughout 2023 that may differ from the group's interpretations and assumptions and that could alter the group's determination.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

Effective March 17, 2022, RLIC VII and RLIC VIII were dissolved and returned contributed surplus to the Company in the amounts of \$275,979 and \$304,133, respectively, in cash on March 28, 2022.

NOTES TO THE FINANCIAL STATEMENTS

Note 11 - Debt

B. Federal Home Loan Bank ("FHLB") Agreements

- (1) The Company is a member of Federal Home Loan Bank of Atlanta ("FHLB Atlanta"). Through its membership, the Company has periodically issued funding agreements to FHLB Atlanta. As of September 30, 2022, the amount of funding agreements outstanding with FHLB Atlanta was \$150,000,000 which related to the total liabilities of \$150,284,328, of which \$284,328 was accrued interest. As of December 31, 2021, the amount of funding agreements outstanding with FHLB Atlanta was \$150,000,000 which related to total liabilities of \$150,286,590, of which \$286,590 was accrued interest. The Company uses these funds for liquidity management and asset-liability management in an investment spread strategy, consistent with its other investment spread programs. The Company records the funds under SSAP No. 52, *Deposit Type Contracts*, consistent with its accounting for other deposit type contracts. It is not part of the Company's strategy to utilize these funds for operations, and any funds obtained from the FHLB Atlanta for use in general operations would be accounted for under SSAP No. 15, *Debt and Holding Company Obligations*, as borrowed money. The tables below indicate the amount of FHLB Atlanta stock purchased, collateral pledged, assets and liabilities related to the agreement with FHLB Atlanta as of September 30, 2022 and December 31, 2021. The Company has determined the actual or estimated maximum borrowing capacity in accordance with FHLB Atlanta regulatory and or specific borrowing limits.
- (2) The tables below indicate the amount of FHLB Atlanta stock purchased, collateral pledged, assets and liabilities related to the agreement with FHLB Atlanta as of September 30, 2022 and December 31, 2021.

FHLB Capital Stock

a. Aggregate totals

1. As of September 30, 2022:

	1 Total (2+3)	2 General account	3 Separate account
(a) Membership stock – Class A	\$ —	\$ —	\$ —
(b) Membership stock – Class B	9,921,900	9,921,900	—
(c) Activity stock	5,625,000	5,625,000	—
(d) Excess stock	—	—	—
(e) Aggregate total (a+b+c+d)	15,546,900	15,546,900	—
(f) Actual or estimated borrowing capacity as determined by the insurer	750,000,000	XXX	XXX

2. As of December 31, 2021:

	1 Total (2+3)	2 General account	3 Separate account
(a) Membership stock – Class A	\$ —	\$ —	\$ —
(b) Membership stock – Class B	10,568,300	10,568,300	—
(c) Activity stock	5,625,000	5,625,000	—
(d) Excess stock	—	—	—
(e) Aggregate total (a+b+c+d)	16,193,300	16,193,300	—
(f) Actual or estimated borrowing capacity as determined by the insurer	750,000,000	XXX	XXX

b. Membership stock (Class A and B) eligible and not eligible for redemption as of September 30, 2022:

Membership stock	1 Current year total (2+3+4+5+6)	2 Not eligible for redemption	Eligible for redemption			
			3 Less than 6 months	4 6 months to less than 1 year	5 1 to less than 3 years	6 3 to 5 years
1. Class A	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. Class B	9,921,900	9,921,900	—	—	—	—

NOTES TO THE FINANCIAL STATEMENTS

(3) Collateral Pledged to FHLB

a. Amount pledged as of September 30, 2022 and December 31, 2021:

	Fair value	Carrying value	Aggregate total borrowing
1. Current year total general and separate accounts total collateral pledged (Lines 2+3)	\$ 285,299,167	\$ 288,641,803	\$ 150,000,000
2. Current year general account total collateral pledged	285,299,167	288,641,803	150,000,000
3. Current year separate accounts total collateral pledged	—	—	—
4. Prior year-end total general and separate accounts total collateral pledged	519,867,249	410,175,440	150,000,000

b. Maximum amount pledged during reporting period ending September 30, 2022 and December 31, 2021:

	Fair value	Carrying value	Amount borrowed at time of maximum collateral
1. Current year total general and separate accounts maximum collateral pledged (Lines 2+3)	\$ 510,230,158	\$ 404,235,991	\$ 150,000,000
2. Current year general account maximum collateral pledged	510,230,158	404,235,991	150,000,000
3. Current year separate accounts maximum collateral pledged	—	—	—
4. Prior year-end total general and separate accounts maximum collateral pledged	586,252,025	438,784,358	270,000,000

(4) Borrowing from FHLB

a. Amount as of the reporting date

1. As of September 30, 2022:

	1 Total (2+3)	2 General account	3 Separate accounts	4 Funding agreements reserves established
(a) Debt	\$ —	\$ —	\$ —	XXX
(b) Funding agreements	150,000,000	150,000,000	—	\$ 150,284,328
(c) Other	—	—	—	XXX
(d) Aggregate total (a+b+c)	\$ 150,000,000	\$ 150,000,000	\$ —	\$ 150,284,328

2. As of December 31, 2021:

	1 Total (2+3)	2 General account	3 Separate accounts	4 Funding agreements reserves established
(a) Debt	\$ —	\$ —	\$ —	XXX
(b) Funding agreements	150,000,000	150,000,000	—	\$ 150,286,590
(c) Other	—	—	—	XXX
(d) Aggregate total (a+b+c)	\$ 150,000,000	\$ 150,000,000	\$ —	\$ 150,286,590

NOTES TO THE FINANCIAL STATEMENTS

b. Maximum amount during reporting period ending September 30, 2022:

	1 Total (2+3)	2 General account	3 Separate accounts
1. Debt	\$ —	\$ —	\$ —
2. Funding agreements	150,000,000	150,000,000	—
3. Other	—	—	—
4. Aggregate total (Lines 1+2+3)	\$ 150,000,000	\$ 150,000,000	\$ —

c. FHLB – Prepayment obligations

	Does the company have prepayment obligations under the following arrangements (YES/NO)?
1. Debt	NO
2. Funding agreements	NO
3. Other	NO

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

The Company has no employees; however, it is allocated costs for services provided by employees of affiliated companies.

A. Defined Benefit Plan

The Company does not have any employees.

Note 13 - Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Liabilities, Contingencies and Assessments

In January 2021, the Company was named as a defendant in a putative class action lawsuit pending in the United States District Court for the District of Oregon captioned *Patsy H. McMillan, Individually and On Behalf Of All Others Similarly Situated, v. Genworth Life and Annuity Insurance Company*. Plaintiff seeks to represent life insurance policyholders, alleging that the Company impermissibly calculated cost of insurance rates to be higher than that permitted by her policy. The complaint asserts claims for breach of contract, conversion, and declaratory and injunctive relief, and seeks damages in excess of \$5,000,000. The Company intends to continue to vigorously defend this action.

On April 6, 2020, the Company was named as a defendant in a putative class action lawsuit filed in the United States District Court for the Eastern District of Virginia, captioned *Brighton Trustees, LLC, on behalf of and as trustee for Diamond LS Trust; and Bank of Utah, solely as securities intermediary for Diamond LS Trust; on behalf of themselves and all others similarly situated v. Genworth Life and Annuity Insurance Company*. On May 13, 2020, the Company was also named as a defendant in a putative class action lawsuit filed in the United States District Court for the Eastern District of Virginia, captioned *Ronald L. Daubenmier, individually and on behalf of himself and all others similarly situated v. Genworth Life and Annuity Insurance Company*. On June 26, 2020, plaintiffs filed a consent motion to consolidate the two cases. On June 30, 2020, the United States District Court for the Eastern District of Virginia issued an order consolidating the Brighton Trustees and Daubenmier cases. On July 17, 2020, the Brighton Trustees and Daubenmier plaintiffs filed a consolidated complaint, alleging that the Company subjected policyholders to unlawful and excessive increases to cost of insurance charges. The consolidated complaint asserts claims for breach of contract and injunctive relief, and seeks damages in excess of \$5,000,000. The parties participated in a mediation on November 18, 2021. On March 25, 2022, the parties reached an agreement in principle to settle the action for \$25,000,000, subject to Court approval. The Court gave final approval to the settlement on October 17, 2022. The Company accrued \$25,000,000 for this litigation as of March 31, 2022. In the second quarter of 2022, the Company paid the accrued balance in full, and accordingly, has no remaining amounts outstanding related to the settlement.

In September 2018, the Company was named as a defendant in a putative class action lawsuit pending in the United States District Court for the Eastern District of Virginia captioned *TVPX ARX INC., as Securities Intermediary for Consolidated Wealth Management, LTD. on behalf of itself and all others similarly situated v. Genworth Life and Annuity Insurance Company*. Plaintiff alleges unlawful and excessive cost of insurance charges were imposed on policyholders. The complaint asserts claims for breach of contract, alleging that the Company improperly considered non-mortality factors when calculating cost of insurance rates and failed to decrease cost of insurance charges in light of improved expectations of future mortality, and seeks unspecified compensatory damages, costs, and equitable relief. On October 29, 2018, the Company filed a motion to enjoin the case in the Middle District of Georgia, and a motion to dismiss and motion to stay in the Eastern District of Virginia. The Company moved to enjoin the prosecution of the Eastern District of Virginia action on the basis that it involves claims released in a prior nationwide class action settlement (the “McBride settlement”) that was approved by the Middle District of Georgia. Plaintiff filed an amended complaint on November 13, 2018. On December 6, 2018, the Company moved the Middle District of Georgia for leave to file its counterclaim, which alleges that plaintiff breached the covenant not to sue contained in the prior settlement agreement by filing its current action. On March 15, 2019, the Middle District of Georgia granted the Company’s motion to enjoin and denied its motion for leave to file its counterclaim. As such, plaintiff is enjoined from pursuing its class action in the Eastern District of Virginia. On March 29, 2019, plaintiff filed a notice of appeal in the Middle District of Georgia, notifying the Court of its appeal to the United States Court of Appeals for the Eleventh Circuit from the order granting the Company’s motion to enjoin. On March 29, 2019, the Company filed its notice of cross-appeal in the Middle District of Georgia, notifying the Court of its cross-appeal to the Eleventh Circuit from the portion of the order denying its motion for leave to file the Company’s counterclaim. On April 8, 2019, the Eastern District of Virginia dismissed the case without prejudice, with leave for plaintiff to refile an amended complaint only if a final appellate Court decision vacates the injunction and reverses the Middle District of Georgia’s opinion. On May 21, 2019, plaintiff filed its appeal and memorandum in support in the

NOTES TO THE FINANCIAL STATEMENTS

Eleventh Circuit. The Company filed its response to plaintiff's appeal memorandum on July 3, 2019. The Eleventh Circuit Court of Appeals heard oral argument on plaintiff's appeal and the Company's cross-appeal on April 21, 2020. On May 26, 2020, the Eleventh Circuit Court of Appeals vacated the Middle District of Georgia's order enjoining Plaintiff's class action and remanded the case back to the Middle District of Georgia for further factual development as to whether the Company has altered how it calculates or charges cost of insurance since the McBride settlement. The Eleventh Circuit Court of Appeals did not reach a decision on the Company's counterclaim. On June 30, 2021, the Company filed in the Middle District of Georgia its renewed motion to enforce the class action settlement and release, and renewed its motion for leave to file a counterclaim. The briefing on both motions concluded in October 2021. On March 24, 2022, the Court denied the Company's motions. On April 11, 2022, the Company filed an appeal of the Court's denial to the United States Court of Appeals for the Eleventh Circuit. On June 22, 2022, the Company filed its opening brief in support of the appeal. Plaintiff filed its respondent's brief on September 20, 2022, and the Company's reply brief is due on November 10, 2022. The Company intends to continue to vigorously defend this action.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. Transfer and Servicing of Financial Assets

None

C. Wash Sales

None

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

None

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

None

Note 20 - Fair Value Measurements

All assets and liabilities carried at fair value are classified and disclosed in one of the following three categories:

- Level 1—Quoted prices for identical instruments in active markets.
- Level 2—Quoted prices for similar instruments in active markets; quoted prices for identical or similar instruments in markets that are not active; and model-derived valuations whose inputs are observable or whose significant value drivers are observable.
- Level 3—Instruments whose significant value drivers are unobservable.

Refer to No. 4 below for discussion of valuation techniques.

NOTES TO THE FINANCIAL STATEMENTS

A. Fair Value Classifications

(1) The following table sets forth the Company's assets and liabilities that were measured at fair value as of September 30, 2022:

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net asset value (NAV)	Total
a. Assets at fair value					
Bonds					
Commercial mortgage backed	\$ —	\$ 145,360	\$ —	\$ —	\$ 145,360
Total bonds	—	145,360	—	—	145,360
Perpetual Preferred Stock					
Industrial and miscellaneous	—	13,943,080	1,295,240	—	15,238,320
Total preferred stock	—	13,943,080	1,295,240	—	15,238,320
Common stocks					
Industrial and miscellaneous	25,550,277	—	15,546,900	—	41,097,177
Total common stock	25,550,277	—	15,546,900	—	41,097,177
Cash equivalents					
Money market mutual funds	225,816,251	—	—	—	225,816,251
Total cash equivalents	225,816,251	—	—	—	225,816,251
Derivative assets					
Equity index options	—	—	38,070,712	—	38,070,712
Total derivatives	—	—	38,070,712	—	38,070,712
Separate account assets	4,002,259,840	12,583,192	1,000,150	—	4,015,843,182
Total assets at fair value/NAV	\$ 4,253,626,368	\$ 26,671,632	\$ 55,913,002	\$ —	\$ 4,336,211,002

The following table sets forth the Company's assets and liabilities that were measured at fair value as of December 31, 2021:

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net asset value (NAV)	Total
a. Assets at fair value					
Perpetual Preferred Stock					
Industrial and miscellaneous	\$ —	\$ 16,125,420	\$ 1,696,996	\$ —	\$ 17,822,416
Total preferred stock	—	16,125,420	1,696,996	—	17,822,416
Common stocks					
Industrial and miscellaneous	29,842,863	—	16,193,300	—	46,036,163
Total common stock	29,842,863	—	16,193,300	—	46,036,163
Cash equivalents					
Money market mutual funds	255,266,818	—	—	—	255,266,818
Total cash equivalents	255,266,818	—	—	—	255,266,818
Derivative assets					
Equity index options	—	—	41,863,747	—	41,863,747
Total derivatives	—	—	41,863,747	—	41,863,747
Separate account assets	5,597,076,000	16,046,908	1,041,760	—	5,614,164,668
Total assets at fair value/NAV	\$ 5,882,185,681	\$ 32,172,328	\$ 60,795,803	\$ —	\$ 5,975,153,812

(2) Level 3 Classifications

The following table presents additional information about assets and liabilities measured at fair value for which the Company has utilized significant unobservable (Level 3) inputs to determine fair value as of September 30, 2022:

Description	Beginning balance as of July 1, 2022	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in net loss	Total gains and (losses) included in surplus	Purchases	Issuances	Sales	Settlements	Ending balance as of September 30, 2022
a. Assets:										
Preferred and Common stock	\$ 16,893,056	\$ —	\$ —	\$ —	\$ (50,916)	\$ —	\$ —	\$ —	\$ —	\$ 16,842,140
Derivatives	30,208,524	—	—	(5,078,704)	10,291,866	2,696,161	—	(47,135)	—	38,070,712
Separate account assets	1,008,470	—	—	—	(8,320)	—	—	—	—	1,000,150
Total Assets	\$ 48,110,050	\$ —	\$ —	\$ (5,078,704)	\$ 10,232,630	\$ 2,696,161	\$ —	\$ (47,135)	\$ —	\$ 55,913,002

NOTES TO THE FINANCIAL STATEMENTS

The following table presents additional information about assets and liabilities measured at fair value for which the Company has utilized significant unobservable (Level 3) inputs to determine fair value as of December 31, 2021:

Description	Beginning balance as of January 1, 2021	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in net loss	Total gains and (losses) included in surplus	Purchases	Issuances	Sales	Settlements	Ending balance as of December 31, 2021
a. Assets:										
Preferred and common stocks	\$ 26,475,000	\$ 1,648,195	\$ —	\$ —	\$ 48,801	\$ —	\$ —	\$ (10,281,700)	\$ —	\$ 17,890,296
Derivatives	62,725,830	—	—	13,014,183	4,714,829	31,840,957	—	(70,432,052)	—	41,863,747
Separate account assets	1,062,520	—	—	—	(20,760)	—	—	—	—	1,041,760
Total Assets	\$ 90,263,350	\$ 1,648,195	\$ —	\$ 13,014,183	\$ 4,742,870	\$ 31,840,957	\$ —	\$ (80,713,752)	\$ —	\$ 60,795,803

Realized and unrealized gains (losses) on Level 3 assets and liabilities are primarily reported in either net loss or change in net unrealized capital gains (losses) based on the appropriate accounting treatment for the instrument.

Purchases, sales, issuances and settlements represent the activity that occurred during the period that results in a change of the asset or liability but does not represent changes in fair value for the instruments held at the beginning of the period. Such activity primarily consists of purchases and settlements of derivative instruments.

There were no gains or losses for the year included in net loss attributable to unrealized gains (losses) related to assets still held as of the reporting date.

(3) Transfers Between Levels

The Company reviews the fair value hierarchy classifications each reporting period. Changes in the observability of the valuation attributes may result in a reclassification of certain financial assets or liabilities. Such reclassifications are reported as transfers in and out of Level 3 at the beginning fair value for the reporting period.

(4) Valuation Techniques and Inputs

The vast majority of long-term bonds use Level 2 inputs for the determination of fair value. These fair values are obtained primarily from industry-standard pricing methodologies based on market observable information. Certain structured securities valued using industry-standard pricing methodologies utilize significant unobservable inputs to estimate fair value, resulting in the fair value measurements being classified as Level 3. The Company also utilizes internally developed pricing models to produce estimates of fair value primarily utilizing Level 2 inputs along with certain Level 3 inputs. The internally developed models include matrix pricing where the Company discounts expected cash flows utilizing market interest rates obtained from market sources based on the credit quality and duration of the instrument to determine fair value. For securities that may not be reliably priced using internally developed pricing models, fair value is estimated using indicative market prices. These prices are indicative of an exit price, but the assumptions used to establish the fair value may not be observable, or corroborated by market observable information, and represent Level 3 inputs.

The valuation of cross currency swaps is determined using an income approach. The primary inputs into the valuation represent the forward interest rate swap curve and foreign currency exchange rates, both of which are considered an observable input, and results in the derivative being classified as Level 2.

The valuation of equity index options is determined using an income approach. The primary inputs into the valuation represent forward interest rate volatility and time value component associated with the optionality in the derivative, which are considered significant unobservable inputs in most instances. The equity index volatility surface is determined based on market information that is not readily observable and is developed based upon inputs received from several third-party sources. Accordingly, these options are classified as Level 3.

The fair value of financial futures is based on the closing exchange prices. Accordingly, these financial futures are classified as Level 1.

The fair value of the majority of separate account assets is based on the quoted prices of the underlying fund investments and, therefore represents Level 1 pricing. The remaining separate account assets represent Level 2 and 3 pricing, as defined above.

NOTES TO THE FINANCIAL STATEMENTS

C. Aggregate Fair Value of All Financial Instruments

The following table sets forth the Company's financial instruments fair values, admitted amounts and level of fair value amounts as of September 30, 2022:

Type of financial instrument	Aggregate fair value	Admitted assets	Level 1	Level 2	Level 3	Net asset value (NAV)	Not practicable (Carrying value)
Bonds	\$ 8,893,777,227	\$ 9,947,239,474	\$ —	\$ 8,136,232,699	\$ 757,544,528	\$ —	\$ —
Preferred and common stocks	56,335,497	56,335,497	25,550,277	13,943,080	16,842,140	—	—
Separate account assets	4,015,843,182	4,015,843,182	4,002,259,840	12,583,192	1,000,150	—	—
Mortgage loans	1,592,767,497	1,735,231,195	—	—	1,592,767,497	—	—
Cash equivalents	225,816,251	225,816,251	225,816,251	—	—	—	—
Other invested assets	111,129,082	126,586,206	—	111,129,082	—	—	—
Derivative assets	44,520,232	41,417,712	—	6,449,520	38,070,712	—	—
Derivative liabilities	—	—	—	—	—	—	—

The following table sets forth the Company's financial instruments fair values, admitted amounts and level of fair value amounts as of December 31, 2021:

Type of financial instrument	Aggregate fair value	Admitted assets	Level 1	Level 2	Level 3	Net asset value (NAV)	Not practicable (Carrying value)
Bonds	\$11,976,060,471	\$ 10,346,752,640	\$ —	\$ 11,017,914,687	\$ 958,145,784	\$ —	\$ —
Preferred and common stocks	63,858,579	63,858,579	29,842,863	16,125,420	17,890,296	—	—
Separate account assets	5,614,164,668	5,614,164,668	5,597,076,000	16,046,908	1,041,760	—	—
Mortgage loans	1,840,018,486	1,753,138,113	—	—	1,840,018,486	—	—
Cash equivalents	255,266,818	255,266,818	255,266,818	—	—	—	—
Other invested assets	142,957,529	115,866,596	—	142,957,529	—	—	—
Derivative assets	42,929,347	41,863,747	—	1,065,600	41,863,747	—	—

D. None

E. None

NOTES TO THE FINANCIAL STATEMENTS

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

There were no material events that occurred subsequent to September 30, 2022. Subsequent events have been considered through November 10, 2022, the date on which the statutory financial statements were issued.

Note 23 - Reinsurance

On March 6, 2019, Scottish Re US Inc. ("Scottish Re"), a reinsurance company domiciled in Delaware, was ordered into receivership for the purposes of rehabilitation by the Court of Chancery of the State of Delaware. Total ceded reserves to Scottish Re were \$13,629,329 as of September 30, 2022. As of September 30, 2022, reinsurance recoverable included \$28,005,051 related to Scottish Re, but the Company nonadmitted \$26,135,161 for amounts over 90 days past due and recorded an unauthorized reinsurance liability of \$13,837,667. The Company will continue to monitor the developments related to the rehabilitation.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

- E. Risk Sharing Provisions of the Affordable Care Act

None

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

- A. Reserves as of December 31, 2021 were \$413,439 for the Company's accident and health line of business. As of September 30, 2022, \$106,484 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$380,491 as of September 30, 2022. Therefore, there has been \$73,536 of unfavorable prior year development from December 31, 2021 to September 30, 2022. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

As of September 30, 2022, the Company did not have any retrospectively rated policies.

- B. The Company did not have any significant changes in methodologies or assumptions used to calculate the liability for unpaid claims and claim adjustment expenses.

Note 26 - Intercompany Pooling Arrangements

None

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

None

Note 29 - Participating Policies

None

Note 30 - Premium Deficiency Reserves

None

Note 31 - Reserves for Life Contracts and Annuity Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

Note 34 - Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

Note 35 - Separate Accounts

No significant change.

NOTES TO THE FINANCIAL STATEMENTS

Note 36 - Loss/Claim Adjustment Expenses

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001276520
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
N/A		

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A [X]
 If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/04/2020
- 6.4 By what department or departments?
 Virginia
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Capital Brokerage Corporation	Richmond, VA				YES

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
N/A
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
N/A
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).
N/A

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 647,142

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 5,438,938
13. Amount of real estate and mortgages held in short-term investments: \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$ 0 | \$ |
| 14.22 Preferred Stock | \$ 500,000 | \$ 500,000 |
| 14.23 Common Stock | \$ 124,198,955 | \$ 149,852,206 |
| 14.24 Short-Term Investments | \$ 0 | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ 0 | \$ |
| 14.26 All Other | \$ 25,733 | \$ 25,161 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 124,724,688 | \$ 150,377,367 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
- If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$ 0
- 16.3 Total payable for securities lending reported on the liability page. \$ 0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Bank of New York Mellon	One Wall Street New York, NY 10286
Federal Home Loan Bank of Atlanta	1475 Peachtree Street, N.E. Atlanta, GA 30309

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
N/A

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
N/A

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Western Asset Management Company, LLC	U.....
Managed Internally	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No [X]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
110441	Western Asset Management Company, LLC	549300C5A561UXU1CN46	SEC	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No [X]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [X] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

General Interrogatories 18.2 Attachment

CUSIP	Description	Sec Type	Coupon Rate	Maturity Date	Book Value	NAIC	Acquired Date
718549AB4	PHILLIPS 66 PARTNERS LP	CORP	3.61	2/15/2025	\$ 733,259	2.A	5/25/2022

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY
GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages \$
- 1.12 Residential Mortgages \$
- 1.13 Commercial Mortgages \$ 1,735,231,195
- 1.14 Total Mortgages in Good Standing \$ 1,735,231,195
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms \$
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages \$
- 1.32 Residential Mortgages \$
- 1.33 Commercial Mortgages \$
- 1.34 Total Mortgages with Interest Overdue more than Three Months \$ 0
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages \$
- 1.42 Residential Mortgages \$
- 1.43 Commercial Mortgages \$
- 1.44 Total Mortgages in Process of Foreclosure \$ 0
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) \$ 1,735,231,195
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages \$
- 1.62 Residential Mortgages \$
- 1.63 Commercial Mortgages \$
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate \$ 0
2. Operating Percentages:
- 2.1 A&H loss percent 132.900 %
- 2.2 A&H cost containment percent 21.200 %
- 2.3 A&H expense percent excluding cost containment expenses 5,390.100 %
- 3.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date \$
- 3.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date \$
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A []
- 5.2 If no, explain:

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
.00000	AA-1127200	.04/01/2022	Lloyds Syndicate 1200	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1120055	.04/01/2022	Lloyds Syndicate 3623	GBR	CAT/G; CAT/I	.OL	Authorized		
.16535	36-4233459	.04/01/2022	Zurich American insurance	NY	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1126006	.04/01/2022	Lloyds Syndicate 4472	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	98-0481736	.04/01/2022	Allied World Assurance Company Ltd	BMU	CAT/G; CAT/I	.OL	Unauthorized		
.00000	AA-1126004	.04/01/2022	Lloyds Syndicate 4444	GBR	CAT/G; CAT/I	.OL	Authorized		
.21113	13-5459190	.04/01/2022	U.S. Fire Insurance Company	DE	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1128987	.04/01/2022	Lloyds Syndicate 2987	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1120198	.04/01/2022	Lloyds Syndicate 1618	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1120179	.04/01/2022	Lloyds Syndicate 2988	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1126510	.04/01/2022	Lloyds Syndicate 0510	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1120096	.04/01/2022	Lloyds Syndicate 1880	GBR	CAT/G; CAT/I	.OL	Authorized		
.00000	AA-1120064	.04/01/2022	Lloyds Syndicate 1919	GBR	CAT/G; CAT/I	.OL	Authorized		
.97071	13-3126819	.07/01/2022	SCOR GLOBAL LIFE USA REINS CO	DE	.CO/I; YRT/I	XXXL	Authorized		
.97071	13-3126819	.07/01/2022	SCOR GLOBAL LIFE USA REINS CO	DE	.CO/I; YRT/I	.OL	Authorized		
.97071	13-3126819	.07/01/2022	SCOR GLOBAL LIFE USA REINS CO	DE	YRT/I	.OL	Authorized		

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
States, Etc.	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	9,699,548	68,515	16,467	0	9,784,530	0
2. Alaska	AK	L	727,936	0	4,480	0	732,416	0
3. Arizona	AZ	L	12,239,750	525,511	89,155	0	12,854,416	0
4. Arkansas	AR	L	4,212,322	36,523	17,330	0	4,266,175	0
5. California	CA	L	83,387,810	736,802	464,910	0	84,589,522	0
6. Colorado	CO	L	14,261,915	47,415	49,688	0	14,359,018	0
7. Connecticut	CT	L	14,533,673	167,155	71,434	0	14,772,262	0
8. Delaware	DE	L	2,294,872	94,251	64,733	0	2,453,856	0
9. District of Columbia	DC	L	1,252,844	7,950	0	0	1,260,794	0
10. Florida	FL	L	43,892,992	625,758	2,174,585	0	46,693,335	0
11. Georgia	GA	L	24,380,471	1,634,796	84,067	0	26,099,334	0
12. Hawaii	HI	L	3,960,037	49,374	3,596	0	4,013,007	0
13. Idaho	ID	L	4,316,690	9,128	1,412	0	4,327,230	0
14. Illinois	IL	L	25,957,676	255,950	108,744	0	26,322,370	0
15. Indiana	IN	L	10,322,723	683,121	179,497	0	11,185,341	0
16. Iowa	IA	L	8,572,035	2,250	53,119	0	8,627,404	0
17. Kansas	KS	L	10,131,230	17,822	59,496	0	10,208,548	0
18. Kentucky	KY	L	6,816,028	31,150	15,240	0	6,862,418	0
19. Louisiana	LA	L	8,831,900	243,597	25,149	0	9,100,646	0
20. Maine	ME	L	4,850,484	12,002	40,919	0	4,903,405	0
21. Maryland	MD	L	16,488,862	136,746	113,592	0	16,739,200	0
22. Massachusetts	MA	L	21,280,001	152,714	66,947	0	21,499,662	0
23. Michigan	MI	L	20,997,046	446,093	144,917	0	21,588,056	0
24. Minnesota	MN	L	26,941,233	21,452	53,946	0	27,016,631	0
25. Mississippi	MS	L	6,308,919	5,469	16,792	0	6,331,180	0
26. Missouri	MO	L	14,842,276	1,594,512	144,485	0	16,581,273	0
27. Montana	MT	L	2,627,659	1,785	249,381	0	2,878,825	0
28. Nebraska	NE	L	7,836,098	69,964	203,054	0	8,109,116	0
29. Nevada	NV	L	5,076,397	11,755	35,936	0	5,124,088	0
30. New Hampshire	NH	L	5,092,148	76,500	365,266	0	5,533,914	0
31. New Jersey	NJ	L	24,764,120	497,989	14,628,448	0	39,890,557	0
32. New Mexico	NM	L	3,074,622	14,090	2,563	0	3,091,275	0
33. New York	NY	N	6,421,740	78,472	197,652	0	6,697,864	0
34. North Carolina	NC	L	28,541,578	464,112	173,391	0	29,179,081	0
35. North Dakota	ND	L	3,176,979	52,000	63,492	0	3,292,471	0
36. Ohio	OH	L	29,736,969	194,082	302,172	0	30,233,223	0
37. Oklahoma	OK	L	5,699,313	43,298	(5,051)	0	5,737,560	0
38. Oregon	OR	L	8,131,937	32,481	55,101	0	8,219,519	0
39. Pennsylvania	PA	L	35,296,583	334,647	660,713	0	36,291,943	0
40. Rhode Island	RI	L	2,754,986	38,249	30,222	0	2,823,457	0
41. South Carolina	SC	L	16,175,080	261,704	133,037	0	16,569,821	0
42. South Dakota	SD	L	2,858,777	0	106,708	0	2,965,485	0
43. Tennessee	TN	L	16,521,193	314,848	33,526	0	16,869,567	0
44. Texas	TX	L	46,252,868	403,271	205,037	0	46,861,176	0
45. Utah	UT	L	7,586,589	176,026	283,249	0	8,045,864	0
46. Vermont	VT	L	2,323,489	0	2,637,261	0	4,960,750	0
47. Virginia	VA	L	32,472,450	357,505	98,807	0	32,928,762	0
48. Washington	WA	L	17,793,894	408,700	74,338	0	18,276,932	0
49. West Virginia	WV	L	2,757,790	1,940	1,238	0	2,760,968	0
50. Wisconsin	WI	L	12,035,965	(72,535)	41,611	0	12,005,041	0
51. Wyoming	WY	L	1,191,726	32,287	108,919	0	1,332,932	0
52. American Samoa	AS	N	3,928	0	0	0	3,928	0
53. Guam	GU	N	34,370	0	0	0	34,370	0
54. Puerto Rico	PR	N	37,766	0	0	0	37,766	0
55. U.S. Virgin Islands	VI	N	32,526	0	0	0	32,526	0
56. Northern Mariana Islands	MP	N	7,974	0	0	0	7,974	0
57. Canada	CAN	N	18,714	0	0	0	18,714	0
58. Aggregate Other Aliens	OT	XXX	43,380	0	2,463	0	45,843	0
59. Subtotal	XXX		727,880,881	11,399,226	24,753,234	0	764,033,341	0
90. Reporting entity contributions for employee benefits plans	XXX		0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		3,202,864	0	246,362	0	3,449,226	0
94. Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95. Totals (Direct Business)	XXX		731,083,745	11,399,226	24,999,596	0	767,482,567	0
96. Plus Reinsurance Assumed	XXX		182,282,281	6,483,000	196,559	0	188,961,840	0
97. Totals (All Business)	XXX		913,366,026	17,882,226	25,196,155	0	956,444,407	0
98. Less Reinsurance Ceded	XXX		789,617,023	1,413,868	25,153,798	0	816,184,689	0
99. Totals (All Business) less Reinsurance Ceded	XXX		123,749,003	16,468,358	42,357	0	140,259,718	0
DETAILS OF WRITE-INS								
58001. ZZZ-Other Alien	XXX		43,380	0	2,463	0	45,843	0
58002.	XXX		0	0	0	0	0	0
58003.	XXX		0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		43,380	0	2,463	0	45,843	0
9401.	XXX		0	0	0	0	0	0
9402.	XXX		0	0	0	0	0	0
9403.	XXX		0	0	0	0	0	0
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

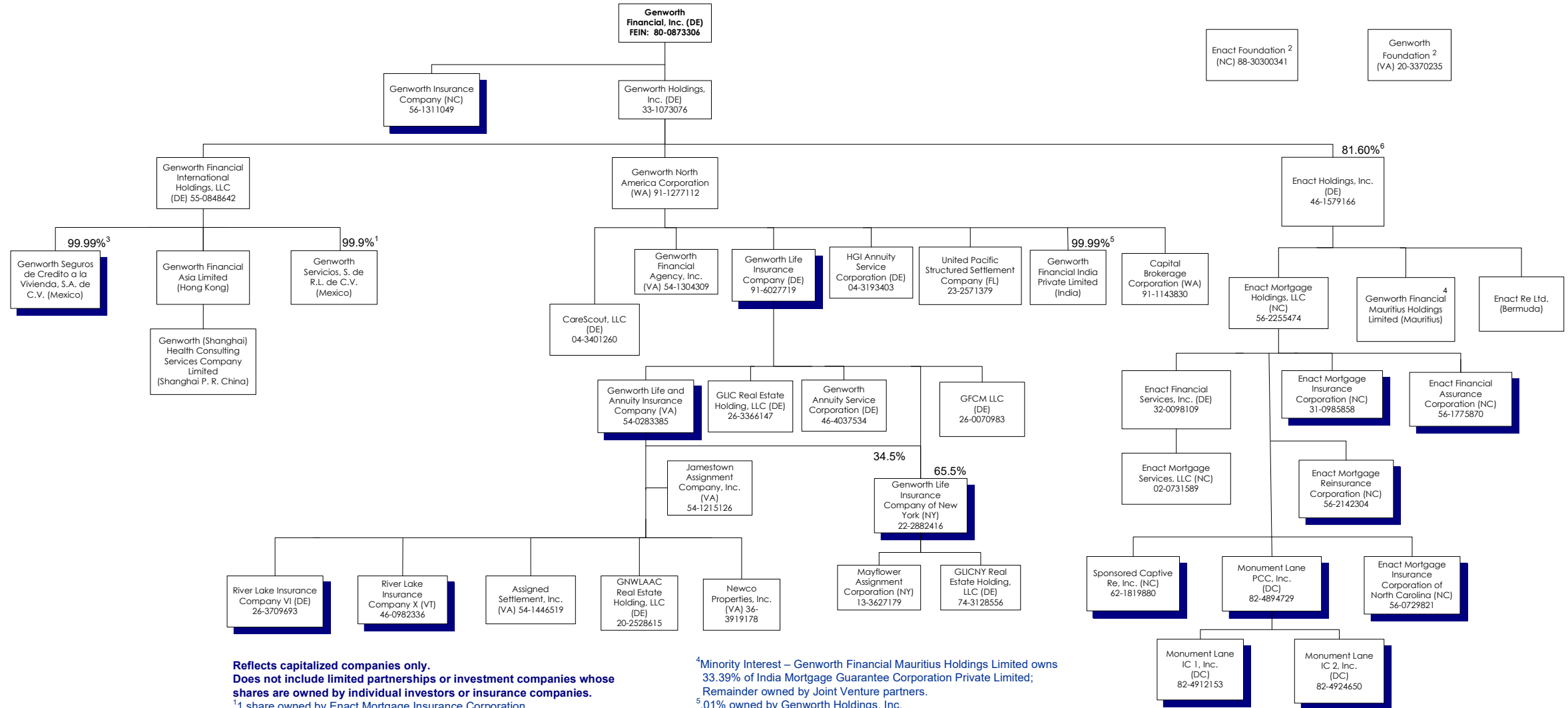
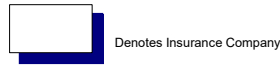
(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 50 R - Registered - Non-domiciled RRGs..... 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
N - None of the above - Not allowed to write business in the state..... 7

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Genworth Financial, Inc.
Global Organizational Chart
As of September 30, 2022
Common Stock Ownership Only - 100% unless otherwise indicated



Reflects capitalized companies only.
Does not include limited partnerships or investment companies whose shares are owned by individual investors or insurance companies.

¹ 1 share owned by Enact Mortgage Insurance Corporation.

² No shareholders.

³ .01% owned by Enact Mortgage Insurance Corporation.

⁴ Minority Interest – Genworth Financial Mauritius Holdings Limited owns 33.39% of India Mortgage Guarantee Corporation Private Limited; Remainder owned by Joint Venture partners.

⁵ .01% owned by Genworth Holdings, Inc.

⁶ ~9% investment vehicles managed by Bayview Asset Management, LLC; Remainder publicly owned.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
		.0000	54-1446519				Assigned Settlement, Inc.	VA	DS	Genworth Life and Annuity Insurance Company	Ownership	100.000	Genworth Financial, Inc.	YES	
		.0000	91-1143830				Capital Brokerage Corporation	WA	NIA	Genworth North America Corporation	Ownership	100.000	Genworth Financial, Inc.	NO	
		.0000	04-3401260				CareScout, LLC	DE	NIA	Genworth North America Corporation	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.37095	56-1775870				Enact Financial Assurance Corporation	NC	IA	Enact Mortgage Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	32-0098109				Enact Financial Services, Inc.	DE	NIA	Enact Mortgage Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	46-1579166		0001823529	NASDAQ	Enact Holdings, Inc.	DE	NIA	Genworth Holdings, Inc.1	Ownership	81.600	Genworth Financial, Inc.	NO	1
		.00000	56-2255474				Enact Mortgage Holdings, LLC	NC	NIA	Enact Holdings, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.38458	31-0985858				Enact Mortgage Insurance Corporation	NC	IA	Enact Mortgage Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	
							Enact Mortgage Insurance Corporation of North Carolina	NC	IA	Enact Mortgage Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.16675	56-0729821				Enact Mortgage Reinsurance Corporation	NC	IA	Enact Mortgage Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.11049	56-2142304				Enact Mortgage Services, LLC	NC	NIA	Enact Financial Services, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	02-0731589				Enact Re Ltd.	BMU	NIA	Enact Holdings, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	46-4037534				Genworth Annuity Service Corporation	DE	NIA	Genworth Life Insurance Company	Ownership	100.000	Genworth Financial, Inc.	YES	
		.00000	54-1304309				Genworth Financial Agency, Inc.	VA	NIA	Genworth North America Corporation	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000					Genworth Financial Asia Limited	HKG	NIA	Genworth Financial International Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	80-0873306		0001276520	NYSE	Genworth Financial, Inc.	DE	UIP	Remainder publicly owned	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000					Genworth Financial India Private Limited	IND	NIA	Genworth North America Corporation	Ownership	99.990	Genworth Financial, Inc.	NO	
		.00000					Genworth Financial India Private Limited	IND	NIA	Genworth Holdings, Inc.	Ownership	0.010	Genworth Financial, Inc.	NO	
		.00000					Genworth Financial International Holdings, LLC								
		.00000	55-0848642				Genworth Financial Mauritius Holdings Limited	DE	NIA	Genworth Holdings, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000						MUS	NIA	Enact Holdings, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	33-1073076				Genworth Holdings, Inc.	DE	UIP	Genworth Financial, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.94072	56-1311049				Genworth Insurance Company	NC	IA	Genworth Financial, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.65536	54-0283385				Genworth Life and Annuity Insurance Company	VA	RE	Genworth Life Insurance Company	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.70025	91-6027719				Genworth Life Insurance Company	DE	UDP	Genworth North America Corporation	Ownership	100.000	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.72990	22-2882416				Genworth Life Insurance Company of New York	NY	DS	Genworth Life Insurance Company	Ownership	65.500	Genworth Financial, Inc.	NO	
										Genworth Life and Annuity Insurance Company	Ownership	34.500	Genworth Financial, Inc.	NO	
.4011	Genworth Financial, Inc.	.72990	22-2882416				Genworth Life Insurance Company of New York	NY	DS	Genworth Life and Annuity Insurance Company	Ownership	34.500	Genworth Financial, Inc.	NO	
		.00000	91-1277112				Genworth North America Corporation	VA	UIP	Genworth Holdings, Inc.	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000					Genworth Seguros de Credito a la Vivienda, S.A. de C.V.	MEX	IA	Genworth Financial International Holdings, LLC	Ownership	99.990	Genworth Financial, Inc.	NO	
		.00000					Genworth Seguros de Credito a la Vivienda, S.A. de C.V.	MEX	IA	Enact Mortgage Insurance Corporation	Ownership	0.010	Genworth Financial, Inc.	YES	
		.00000								Genworth Financial International Holdings, LLC	Ownership	99.900	Genworth Financial, Inc.	NO	
		.00000					Genworth Servicios, S. de R.L. de C.V.	MEX	NIA	Enact Mortgage Insurance Corporation	Ownership	0.100	Genworth Financial, Inc.	YES	
		.00000					Genworth Servicios, S. de R.L. de C.V.	MEX	NIA	Enact Mortgage Insurance Corporation	Ownership	0.100	Genworth Financial, Inc.	YES	
		.00000					Genworth (Shanghai) Health Consulting Services Company Limited	CHN	NIA	Genworth Financial Asia Limited	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	26-0070983				GFCM LLC	DE	NIA	Genworth Life Insurance Company	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	26-3366147				GLIC Real Estate Holding, LLC	DE	NIA	Genworth Life Insurance Company	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	74-3128556				GLICNY Real Estate Holding, LLC	DE	NIA	Genworth Life Insurance Company of New York	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000								Genworth Life and Annuity Insurance Company	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	20-2528615				GNILAAC Real Estate Holding, LLC	DE	DS	Genworth Life and Annuity Insurance Company	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	04-3193403				HGI Annuity Service Corporation	DE	NIA	Genworth North America Corporation	Ownership	100.000	Genworth Financial, Inc.	NO	
		.97144	54-1215126				Jamestown Assignment Company, Inc.	VA	DS	Genworth Life and Annuity Insurance Company	Ownership	100.000	Genworth Financial, Inc.	NO	
		.00000	13-3627179				Mayflower Assignment Corporation	NY	NIA	Genworth Life Insurance Company of New York	Ownership	100.000	Genworth Financial, Inc.	YES	
.4011	Genworth Financial, Inc.	.00000	82-4894729				Monument Lane PCC, Inc.	DC	IA	Enact Mortgage Holdings, LLC	Ownership	100.000	Genworth Financial, Inc.	NO	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
..4011	Genworth Financial, Inc.	..00000	82-4912153				Monument Lane IC 1, Inc.	..DC	..IA	Monument Lane PCC, Inc.	Ownership	..100.000	Genworth Financial, Inc.	..NO	
..4011	Genworth Financial, Inc.	..00000	82-4924650				Monument Lane IC 2, Inc.	..DC	..IA	Monument Lane PCC, Inc.	Ownership	..100.000	Genworth Financial, Inc.	..NO	
		..00000	36-3919178				Newco Properties, Inc.	..VA	..DS	Genworth Life and Annuity Insurance Company	Ownership	..100.000	Genworth Financial, Inc.	..YES	
..4011	Genworth Financial, Inc.	..13569	26-3709693				River Lake Insurance Company VI	..DE	..DS	Genworth Life and Annuity Insurance Company	Ownership	..100.000	Genworth Financial, Inc.	..NO	
..4011	Genworth Financial, Inc.	..15139	46-0982336				River Lake Insurance Company X	..VT	..DS	Genworth Life and Annuity Insurance Company	Ownership	..100.000	Genworth Financial, Inc.	..NO	
..4011	Genworth Financial, Inc.	..11365	62-1819880				Sponsored Captive Re, Inc.	..NC	..IA	Enact Mortgage Holdings, LLC	Ownership	..100.000	Genworth Financial, Inc.	..NO	
		..00000	23-2571379				United Pacific Structured Settlement Company	..FL	..NIA	Genworth North America Corporation	Ownership	..100.000	Genworth Financial, Inc.	..NO	

Asterisk	Explanation
	1-9% investment vehicles managed by Bayview Asset Management, LLC; Remainder publicly owned

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY
SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

AUGUST FILING

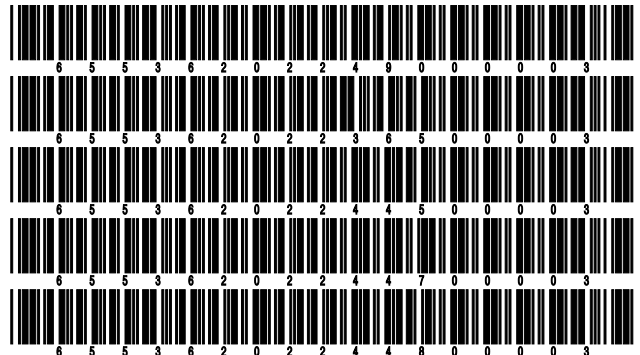
9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
--	-----

Explanation:

1. The company does not transact this type of business.
2. The company does not transact this type of business.
3. The company does not transact this type of business.
5. The company does not transact this type of business.
6. The company does not transact this type of business.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY
OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid expenses	10,700,755	10,700,755	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	10,700,755	10,700,755	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	12,894,642	13,074,708
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		499,304
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals	1,782,441	
5. Deduct amounts received on disposals	2,247,715	
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		0
8. Deduct current year's depreciation	517,501	679,370
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	11,911,867	12,894,642
10. Deduct total nonadmitted amounts		0
11. Statement value at end of current period (Line 9 minus Line 10)	11,911,867	12,894,642

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	1,753,138,113	1,717,326,951
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	167,792,505	300,768,505
2.2 Additional investment made after acquisition	500,000	0
3. Capitalized deferred interest and other		0
4. Accrual of discount		131
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals	186,198,655	264,956,125
8. Deduct amortization of premium and mortgage interest points and commitment fees	768	1,349
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other than temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,735,231,195	1,753,138,113
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	1,735,231,195	1,753,138,113
14. Deduct total nonadmitted amounts		0
15. Statement value at end of current period (Line 13 minus Line 14)	1,735,231,195	1,753,138,113

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	123,404,220	69,817,941
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	500,000	58,731,233
2.2 Additional investment made after acquisition	10,762,408	937,413
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	26,176	26,137
5. Unrealized valuation increase (decrease)	(1,011,946)	(2,391,535)
6. Total gain (loss) on disposals	0	58,924
7. Deduct amounts received on disposals	601,178	1,583,116
8. Deduct amortization of premium and depreciation	130,961	176,202
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other than temporary impairment recognized		2,016,575
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	132,948,719	123,404,220
12. Deduct total nonadmitted amounts	25,161	25,733
13. Statement value at end of current period (Line 11 minus Line 12)	132,923,558	123,378,487

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	10,535,310,174	11,729,114,474
2. Cost of bonds and stocks acquired	464,665,954	772,734,840
3. Accrual of discount	14,526,782	22,894,859
4. Unrealized valuation increase (decrease)	132,298,638	300,018,960
5. Total gain (loss) on disposals	359,458	40,750,384
6. Deduct consideration for bonds and stocks disposed of	983,401,897	2,329,436,558
7. Deduct amortization of premium	9,422,289	11,543,276
8. Total foreign exchange change in book/adjusted carrying value	(3,495,500)	(213,000)
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	3,085,857	10,989,491
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	10,153,927,177	10,535,310,174
12. Deduct total nonadmitted amounts	5,264,764	2,841,717
13. Statement value at end of current period (Line 11 minus Line 12)	10,148,662,413	10,532,468,457

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	4,982,796,617	100,022,811	84,027,885	3,138,777	5,048,423,297	4,982,796,617	5,001,930,320	5,120,869,111
2. NAIC 2 (a)	4,563,125,441	65,050,498	81,252,043	5,113,988	4,746,208,166	4,563,125,441	4,552,037,884	4,744,738,386
3. NAIC 3 (a)	379,462,596	2,498,957	6,748,015	(1,480,691)	424,070,718	379,462,596	373,732,847	462,558,877
4. NAIC 4 (a)	25,399,262	0	0	(6,006,199)	18,403,006	25,399,262	19,393,063	18,404,571
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	165,414	0	15,578	(4,476)	176,265	165,414	145,360	181,695
7. Total Bonds	9,950,949,330	167,572,266	172,043,521	761,399	10,237,281,452	9,950,949,330	9,947,239,474	10,346,752,640
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	33,514	0	0	35,002
9. NAIC 2	6,001,296	0	0	(74,976)	6,648,837	6,001,296	5,926,320	7,012,415
10. NAIC 3	9,500,000	0	0	(188,000)	10,575,000	9,500,000	9,312,000	10,775,000
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	15,501,296	0	0	(262,976)	17,257,350	15,501,296	15,238,320	17,822,416
15. Total Bonds and Preferred Stock	9,966,450,626	167,572,266	172,043,521	498,423	10,254,538,802	9,966,450,626	9,962,477,794	10,364,575,056

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 ; NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Premium	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
7709999999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	4,351,177
2. Cost of short-term investments acquired	0	
3. Accrual of discount	0	13,823
4. Unrealized valuation increase (decrease)	0	
5. Total gain (loss) on disposals	0	
6. Deduct consideration received on disposals	0	4,365,000
7. Deduct amortization of premium	0	
8. Total foreign exchange change in book/adjusted carrying value	0	
9. Deduct current year's other than temporary impairment recognized	0	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	41,310,247
2. Cost Paid/(Consideration Received) on additions	10,276,203
3. Unrealized Valuation increase/(decrease)	1,388,447
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	(2,843,099)
6. Considerations received/(paid) on terminations	12,614,585
7. Amortization	
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	3,900,500
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	41,417,712
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	41,417,712

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	2,729,750
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	(2,115,718)
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	0
3.12 Section 1, Column 15, prior year	0
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	(6,413,885)
3.14 Section 1, Column 18, prior year	(6,477,324) 63,439 63,439
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	0
3.22 Section 1, Column 17, prior year	0
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	(6,413,885)
3.24 Section 1, Column 19, prior year plus	(6,477,324)
3.25 SSAP No. 108 adjustments	63,439 63,439
3.3 Subtotal (Line 3.1 minus Line 3.2)	0
4.1 Cumulative variation margin on terminated contracts during the year	(48,163,544)
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	6,477,326
4.22 Amount recognized	(54,640,870)
4.23 SSAP No. 108 adjustments	(48,163,544)
4.3 Subtotal (Line 4.1 minus Line 4.2)	0
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	614,032
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	614,032

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

	Book/Adjusted Carrying Value Check
1. Part A, Section 1, Column 14.....	41,417,712
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	614,032
3. Total (Line 1 plus Line 2).....	42,031,744
4. Part D, Section 1, Column 6.....	42,031,744
5. Part D, Section 1, Column 7.....	0
6. Total (Line 3 minus Line 4 minus Line 5).....	0
	Fair Value Check
7. Part A, Section 1, Column 16.....	44,520,231
8. Part B, Section 1, Column 13.....	614,032
9. Total (Line 7 plus Line 8).....	45,134,263
10. Part D, Section 1, Column 9.....	45,134,263
11. Part D, Section 1, Column 10.....	0
12. Total (Line 9 minus Line 10 minus Line 11).....	0
	Potential Exposure Check
13. Part A, Section 1, Column 21.....	4,173,155
14. Part B, Section 1, Column 20.....	31,481,504
15. Part D, Section 1, Column 12.....	35,654,659
16. Total (Line 13 plus Line 14 minus Line 15).....	0

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	255,266,818	303,565,852
2. Cost of cash equivalents acquired	1,169,040,210	2,099,261,005
3. Accrual of discount	0	4,303
4. Unrealized valuation increase (decrease)	0	
5. Total gain (loss) on disposals	0	
6. Deduct consideration received on disposals	1,198,490,777	2,147,564,342
7. Deduct amortization of premium	0	
8. Total foreign exchange change in book/adjusted carrying value	0	
9. Deduct current year's other than temporary impairment recognized	0	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	225,816,251	255,266,818
11. Deduct total nonadmitted amounts	0	
12. Statement value at end of current period (Line 10 minus Line 11)	225,816,251	255,266,818

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
NONE								
0399999 - Totals								

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in Book/Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/Adjusted Carrying Value							
Albert Lankford Lane	Lynchburg	VA	09/16/2022	English Construction Company, Inc	465,274		465,274	0	0	0	0	0	2,247,715	0	1,782,441	1,782,441	0	0	
0199999. Property Disposed					465,274	0	465,274	0	0	0	0	0	2,247,715	0	1,782,441	1,782,441	0	0	
0399999 - Totals					465,274	0	465,274	0	0	0	0	0	2,247,715	0	1,782,441	1,782,441	0	0	

E01

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	3 City	3 State						
901002143	Bay Shore	NY		07/15/2022	3.560	2,100,000	0	8,200,000
901002144	Deer Park	NY		07/15/2022	3.560	3,400,000	0	9,600,000
901002199	Lakewood	OH		07/13/2022	4.020	1,421,381	0	8,500,000
901002200	Lakewood	OH		07/13/2022	5.160	2,500,000	0	8,500,000
901002201	Cleveland Heights	OH		07/13/2022	4.020	1,177,715	0	5,000,000
901002202	Cleveland Heights	OH		07/13/2022	5.120	1,500,000	0	5,000,000
901002208	Oklahoma City	OK		07/18/2022	5.250	7,500,000	0	12,690,000
901002222	Las Vegas	NV		08/29/2022	5.500	12,000,000	0	24,200,000
0599999. Mortgages in good standing - Commercial mortgages-all other						31,599,096	0	81,690,000
0899999. Total Mortgages in good standing						31,599,096	0	81,690,000
1699999. Total - Restructured Mortgages						0	0	0
2499999. Total - Mortgages with overdue interest over 90 days						0	0	0
3299999. Total - Mortgages in the process of foreclosure						0	0	0
3399999 - Totals						31,599,096	0	81,690,000

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment						14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	3 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
000005083	MEMPHIS	TN		02/26/2003	09/02/2022	3,877,739	0	0	0	0	0	0	3,877,739	3,877,739	0	0	0
000005628	CHATSWORTH	CA		01/01/2007	09/01/2022	206,300	0	0	0	0	0	0	206,300	206,300	0	0	0
110001228	MEMPHIS	TN		04/12/2017	09/26/2022	172,444	0	0	0	0	0	0	172,444	172,444	0	0	0
901000450	MURRIETTA	CA		11/14/2012	09/02/2022	2,188,546	0	0	0	0	0	0	2,188,546	2,188,546	0	0	0
901000488	VIRGINIA BEACH	VA		02/11/2013	09/08/2022	1,459,757	0	0	0	0	0	0	1,459,757	1,459,757	0	0	0
901000650	LIBERTYVILLE	IL		11/18/2013	09/06/2022	8,001,216	0	0	0	0	0	0	8,001,216	8,001,216	0	0	0
901000692	OKLAHOMA CITY	OK		12/10/2013	07/19/2022	3,822,746	0	0	0	0	0	0	3,822,746	3,822,746	0	0	0
901000786	Timberville	VA		07/16/2014	09/15/2022	2,414,055	0	0	0	0	0	0	2,414,055	2,414,055	0	0	0
901000819	Taylorsville	UT		09/02/2014	08/24/2022	1,292,784	0	0	0	0	0	0	1,292,784	1,292,784	0	0	0
901000830	Burnsville	MN		09/10/2014	08/01/2022	4,781,017	0	0	0	0	0	0	4,781,017	4,781,017	0	0	0
901000837	Phoenix	AZ		10/14/2014	08/02/2022	5,445,673	0	0	0	0	0	0	5,445,673	5,445,673	0	0	0
901000978	Cleveland Heights	OH		06/16/2015	07/14/2022	1,181,427	0	0	0	0	0	0	1,181,427	1,181,427	0	0	0
901000979	Lakewood	OH		06/16/2015	07/14/2022	1,425,861	0	0	0	0	0	0	1,425,861	1,425,861	0	0	0
0199999. Mortgages closed by repayment						36,269,567	0	0	0	0	0	0	36,269,567	36,269,567	0	0	0
000002953	HOUSTON	TX		01/15/1998		35,818	0	0	0	0	0	0	35,818	35,818	0	0	0
000002966	BAKERSFIELD	CA		02/17/1998		27,422	0	0	0	0	0	0	27,422	27,422	0	0	0
000003423	ATLANTA	GA		06/07/1999		54,910	0	0	0	0	0	0	54,910	54,910	0	0	0
000003798	CHARLOTTE	NC		06/29/2000		57,625	0	0	0	0	0	0	57,625	57,625	0	0	0
000003799	CHARLOTTE	NC		06/29/2000		83,666	0	0	0	0	0	0	83,666	83,666	0	0	0
000003800	GREENSBORO	NC		06/29/2000		43,984	0	0	0	0	0	0	43,984	43,984	0	0	0
000003801	GREENSBORO	NC		06/29/2000		89,621	0	0	0	0	0	0	89,621	89,621	0	0	0
000004847	SEATTLE	WA		11/12/2002		79,360	0	0	0	0	0	0	79,360	79,360	0	0	0
000004898	SEATTLE	WA		07/25/2019		3,967	0	(253)	0	0	(253)	0	3,713	3,713	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
000004898	SEATTLE	WA		12/02/2002		107,688	0	0	0	0	0	0	107,688	107,688	0	0	0
000005305	HOLBROOK	NY		11/12/2003		99,587	0	0	0	0	0	0	99,587	99,587	0	0	0
000005349	CITY OF INDUSTRY	CA		08/05/2003		19,105	0	0	0	0	0	0	19,105	19,105	0	0	0
000005441	INWOOD	NY		01/01/2007		65,645	0	0	0	0	0	0	65,645	65,645	0	0	0
000005450	SEATTLE	WA		10/15/2003		59,703	0	0	0	0	0	0	59,703	59,703	0	0	0
000005494	HOUSTON	TX		10/20/2003		97,861	0	0	0	0	0	0	97,861	97,861	0	0	0
000005528	VIRGINIA BEACH	VA		01/07/2004		70,442	0	0	0	0	0	0	70,442	70,442	0	0	0
000005621	ROSWELL	GA		02/13/2004		34,313	0	0	0	0	0	0	34,313	34,313	0	0	0
000005704	WOODLAND HILLS	CA		06/08/2004		27,792	0	0	0	0	0	0	27,792	27,792	0	0	0
000005753	LOGANVILLE	GA		05/27/2004		51,617	0	0	0	0	0	0	51,617	51,617	0	0	0
000005912	ANAHEIM	CA		11/02/2004		8,701	0	0	0	0	0	0	8,701	8,701	0	0	0
000005936	LYNNWOOD	WA		11/15/2004		40,486	0	0	0	0	0	0	40,486	40,486	0	0	0
000006024	LOUISVILLE	KY		02/15/2005		83,990	0	0	0	0	0	0	83,990	83,990	0	0	0
000006025	LOUISVILLE	KY		02/15/2005		72,408	0	0	0	0	0	0	72,408	72,408	0	0	0
000006032	OMAHA	NE		02/22/2005		165,314	0	0	0	0	0	0	165,314	165,314	0	0	0
000006058	KNOXVILLE	TN		05/16/2005		59,661	0	0	0	0	0	0	59,661	59,661	0	0	0
000006124	ALBUQUERQUE	NM		03/29/2005		19,954	0	0	0	0	0	0	19,954	19,954	0	0	0
000006146	CLERMONT	FL		08/01/2005		46,454	0	0	0	0	0	0	46,454	46,454	0	0	0
000006204	BROOKLYN	NY		06/13/2005		77,019	0	0	0	0	0	0	77,019	77,019	0	0	0
000006245	OAKTON	VA		07/05/2005		81,881	0	0	0	0	0	0	81,881	81,881	0	0	0
000006256	SALT LAKE CITY	UT		01/01/2007		20,055	0	0	0	0	0	0	20,055	20,055	0	0	0
000006259	LAS CRUCES	NM		08/03/2005		34,851	0	0	0	0	0	0	34,851	34,851	0	0	0
000006291	LOS ANGELES	CA		08/24/2005		60,772	0	0	0	0	0	0	60,772	60,772	0	0	0
000006292	LOS ANGELES	CA		08/11/2005		95,494	0	0	0	0	0	0	95,494	95,494	0	0	0
000006306	MERIDIAN	ID		08/22/2005		72,061	0	0	0	0	0	0	72,061	72,061	0	0	0
000006307	MERIDIAN	ID		08/22/2005		52,963	0	0	0	0	0	0	52,963	52,963	0	0	0
000006308	MERIDIAN	ID		08/22/2005		31,258	0	0	0	0	0	0	31,258	31,258	0	0	0
000006369	RIVERSIDE	CA		10/07/2005		74,839	0	0	0	0	0	0	74,839	74,839	0	0	0
000006391	CHARLOTTE	NC		09/20/2005		85,605	0	0	0	0	0	0	85,605	85,605	0	0	0
000006403	GLEN ALLEN	VA		11/18/2005		52,238	0	0	0	0	0	0	52,238	52,238	0	0	0
000006452	Cleveland	TN		01/11/2006		18,113	0	0	0	0	0	0	18,113	18,113	0	0	0
000006492	SOUTHAVEN	MS		11/29/2005		82,595	0	0	0	0	0	0	82,595	82,595	0	0	0
100000009	YUCAIPA	CA		03/08/2006		52,066	0	0	0	0	0	0	52,066	52,066	0	0	0
100000096	OWINGS MILLS	MD		02/15/2006		33,638	0	0	0	0	0	0	33,638	33,638	0	0	0
100000204	SEATTLE	WA		02/15/2006		37,616	0	0	0	0	0	0	37,616	37,616	0	0	0
100000261	SAN BERNARDINO	CA		01/01/2007		35,301	0	0	0	0	0	0	35,301	35,301	0	0	0
100000334	OREGON	OR		07/26/2006		8,181	0	0	0	0	0	0	8,181	8,181	0	0	0
100000477	HOUSTON	TX		08/02/2006		22,748	0	0	0	0	0	0	22,748	22,748	0	0	0
100000832	MANCHESTER	NH		01/18/2007		86,074	0	0	0	0	0	0	86,074	86,074	0	0	0
100000880	PERRIS	CA		04/12/2007		32,792	0	0	0	0	0	0	32,792	32,792	0	0	0
100000881	SAN JACINTO	CA		04/12/2007		30,017	0	0	0	0	0	0	30,017	30,017	0	0	0
100001109	LITCHFIELD	CT		07/23/2007		9,828	0	0	0	0	0	0	9,828	9,828	0	0	0
100001136	SAN DIEGO	CA		07/19/2007		34,751	0	0	0	0	0	0	34,751	34,751	0	0	0
100001194	SUN VALLEY	CA		09/06/2007		65,647	0	0	0	0	0	0	65,647	65,647	0	0	0
100001324	SALINAS	CA		12/17/2007		96,996	0	0	0	0	0	0	96,996	96,996	0	0	0
901000201	ROCKY MOUNT	VA		04/11/2017		82,413	0	0	0	0	0	0	82,413	82,413	0	0	0
901000234	WOODBIDGE	VA		04/11/2017		21,874	0	0	0	0	0	0	21,874	21,874	0	0	0
901000250	BROOKLYN	NY		07/19/2011		71,593	0	0	0	0	0	0	71,593	71,593	0	0	0
901000265	CAMBRIDGE	MA		06/29/2011		72,007	0	0	0	0	0	0	72,007	72,007	0	0	0
901000268	MIDDLESEX	NJ		04/12/2017		48,462	0	0	0	0	0	0	48,462	48,462	0	0	0
901000274	DEL MAR	CA		07/15/2011		77,879	0	0	0	0	0	0	77,879	77,879	0	0	0
901000298	GULF BREEZE	FL		10/20/2011		40,006	0	0	0	0	0	0	40,006	40,006	0	0	0
901000301	ATLANTA	GA		10/20/2011		29,135	0	0	0	0	0	0	29,135	29,135	0	0	0
901000304	CHINO	CA		11/14/2011		23,971	0	0	0	0	0	0	23,971	23,971	0	0	0
901000315	MINNEAPOLIS	MINN		12/28/2011		28,014	0	0	0	0	0	0	28,014	28,014	0	0	0
901000340	ATLANTA	GA		04/05/2012		45,078	0	0	0	0	0	0	45,078	45,078	0	0	0
901000347	CARY	NC		05/24/2012		24,023	0	0	0	0	0	0	24,023	24,023	0	0	0
901000376	ORTING	WA		10/11/2012		107,389	0	0	0	0	0	0	107,389	107,389	0	0	0
901000378	SAN FRANCISCO	CA		08/02/2012		135,360	0	0	0	0	0	0	135,360	135,360	0	0	0
901000401	LA PALMA	CA		08/01/2012		93,404	0	0	0	0	0	0	93,404	93,404	0	0	0

E02.1

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
901000402	CERRITOS	CA		09/04/2012		25,923	0	0	0	0	0	0	25,923	25,923	0	0	0
901000433	ENGLEWOOD	CO		10/01/2012		58,300	0	0	0	0	0	0	58,300	58,300	0	0	0
901000460	FLUSHING	NY		04/09/2013		102,290	0	0	0	0	0	0	102,290	102,290	0	0	0
901000474	RANCHO DOMINGUEZ	CA		12/14/2012		22,053	0	0	0	0	0	0	22,053	22,053	0	0	0
901000484	ASTORIA	NY		02/27/2013		84,619	0	0	0	0	0	0	84,619	84,619	0	0	0
901000493	WALNUT	CA		01/31/2013		21,204	0	0	0	0	0	0	21,204	21,204	0	0	0
901000495	SHAKOPEE	MN		03/18/2013		40,149	0	0	0	0	0	0	40,149	40,149	0	0	0
901000506	PEMBROKE PINES	FL		03/01/2013		31,659	0	0	0	0	0	0	31,659	31,659	0	0	0
901000508	FAYETTEVILLE	NC		03/20/2013		109,048	0	0	0	0	0	0	109,048	109,048	0	0	0
901000550	QUEENS	NY		04/25/2013		52,587	0	0	0	0	0	0	52,587	52,587	0	0	0
901000552	SANTEE	CA		05/30/2013		31,963	0	0	0	0	0	0	31,963	31,963	0	0	0
901000560	MIDDLEBURG HEIGHTS	OH		04/30/2013		37,359	0	0	0	0	0	0	37,359	37,359	0	0	0
901000562	GERMANTOWN	MD		07/01/2013		142,255	0	0	0	0	0	0	142,255	142,255	0	0	0
901000563	SAN DIEGO	CA		05/23/2013		30,340	0	0	0	0	0	0	30,340	30,340	0	0	0
901000566	QUINCY	MA		06/03/2013		109,840	0	0	0	0	0	0	109,840	109,840	0	0	0
901000567	CENTENNIAL	CO		05/31/2013		20,746	0	0	0	0	0	0	20,746	20,746	0	0	0
901000582	ESCONDIDO	CA		06/26/2013		103,030	0	0	0	0	0	0	103,030	103,030	0	0	0
901000585	STERLING	VA		07/31/2013		31,762	0	0	0	0	0	0	31,762	31,762	0	0	0
901000607	GARDEN GROVE	CA		08/30/2013		49,577	0	0	0	0	0	0	49,577	49,577	0	0	0
901000618	MEMPHIS	TN		07/31/2013		32,871	0	0	0	0	0	0	32,871	32,871	0	0	0
901000626	TORRANCE	CA		09/26/2013		25,347	0	0	0	0	0	0	25,347	25,347	0	0	0
901000627	New Port Richey	FL		02/05/2015		36,404	0	0	0	0	0	0	36,404	36,404	0	0	0
901000628	PINELLAS PARK	FL		10/07/2013		24,120	0	0	0	0	0	0	24,120	24,120	0	0	0
901000632	WASHINGTON	DC		09/18/2013		38,991	0	0	0	0	0	0	38,991	38,991	0	0	0
901000653	HANOVER	MD		12/06/2013		40,611	0	0	0	0	0	0	40,611	40,611	0	0	0
901000654	OMAHA	NE		12/18/2013		86,009	0	0	0	0	0	0	86,009	86,009	0	0	0
901000657	WOOLWICH	NJ		11/27/2013		27,113	0	0	0	0	0	0	27,113	27,113	0	0	0
901000666	AVON	CT		12/12/2013		33,718	0	0	0	0	0	0	33,718	33,718	0	0	0
901000670	BURNSVILLE	MN		12/04/2013		32,350	0	0	0	0	0	0	32,350	32,350	0	0	0
901000672	HOUSTON	TX		11/26/2013		99,807	0	0	0	0	0	0	99,807	99,807	0	0	0
901000673	Beltsville	MD		04/01/2014		45,896	0	0	0	0	0	0	45,896	45,896	0	0	0
901000674	Gaithersburg	MD		04/09/2014		22,018	0	0	0	0	0	0	22,018	22,018	0	0	0
901000684	VIRGINIA BEACH	VA		12/12/2013		27,727	0	0	0	0	0	0	27,727	27,727	0	0	0
901000700	ST. LOUIS	MO		02/14/2014		44,815	0	0	0	0	0	0	44,815	44,815	0	0	0
901000707	PARKER	CO		02/19/2014		32,224	0	0	0	0	0	0	32,224	32,224	0	0	0
901000718	LOS ANGELES	CA		03/07/2014		26,808	0	0	0	0	0	0	26,808	26,808	0	0	0
901000720	CORONA	CA		02/27/2014		101,440	0	0	0	0	0	0	101,440	101,440	0	0	0
901000725	Falmouth	MA		04/29/2014		46,936	0	0	0	0	0	0	46,936	46,936	0	0	0
901000732	Las Vegas	NV		04/11/2014		69,385	0	0	0	0	0	0	69,385	69,385	0	0	0
901000734	Germantown	MD		05/22/2014		79,681	0	0	0	0	0	0	79,681	79,681	0	0	0
901000735	Plantation	FL		04/09/2014		59,464	0	0	0	0	0	0	59,464	59,464	0	0	0
901000740	Puyallup	WA		04/24/2014		17,179	0	0	0	0	0	0	17,179	17,179	0	0	0
901000745	Newark	CA		03/28/2014		38,565	0	0	0	0	0	0	38,565	38,565	0	0	0
901000747	Berea	OH		08/18/2014		28,225	0	0	0	0	0	0	28,225	28,225	0	0	0
901000748	Cedar Park	TX		06/18/2014		13,770	0	0	0	0	0	0	13,770	13,770	0	0	0
901000755	Woodbury	MN		07/03/2014		86,077	0	0	0	0	0	0	86,077	86,077	0	0	0
901000756	Vestavia Hills	AL		08/06/2014		36,199	0	0	0	0	0	0	36,199	36,199	0	0	0
901000769	Las Vegas	NV		06/13/2014		53,175	0	0	0	0	0	0	53,175	53,175	0	0	0
901000772	Atlanta	GA		07/25/2014		23,082	0	0	0	0	0	0	23,082	23,082	0	0	0
901000780	Los Alamitos	CA		07/01/2014		17,000	0	0	0	0	0	0	17,000	17,000	0	0	0
901000797	Seattle	WA		07/16/2014		52,363	0	0	0	0	0	0	52,363	52,363	0	0	0
901000802	McLean	VA		09/11/2014		189,237	0	0	0	0	0	0	189,237	189,237	0	0	0
901000824	La Quinta	CA		09/11/2014		83,048	0	0	0	0	0	0	83,048	83,048	0	0	0
901000829	Winston-Salem	NC		11/25/2014		213,393	0	0	0	0	0	0	213,393	213,393	0	0	0
901000833	Woodbury	MN		09/05/2014		37,413	0	0	0	0	0	0	37,413	37,413	0	0	0
901000834	Woodbury	MN		09/05/2014		46,217	0	0	0	0	0	0	46,217	46,217	0	0	0
901000839	St. Charles	IL		11/07/2014		100,601	0	0	0	0	0	0	100,601	100,601	0	0	0
901000859	Kirkland	WA		11/20/2014		28,543	0	0	0	0	0	0	28,543	28,543	0	0	0
901000867	Foley	AL		11/12/2014		75,757	0	0	0	0	0	0	75,757	75,757	0	0	0
901000873	Cincinnati	OH		12/02/2014		36,999	0	0	0	0	0	0	36,999	36,999	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
90100875	Newport	RI		11/25/2014		19,066	0	0	0	0	0	0	19,066	19,066	0	0	0
90100876	Chicago	IL		12/17/2014		29,155	0	0	0	0	0	0	29,155	29,155	0	0	0
90100880	WESTMINSTER	CA		01/16/2015		27,925	0	0	0	0	0	0	27,925	27,925	0	0	0
90100889	Seattle	WA		12/19/2014		31,206	0	0	0	0	0	0	31,206	31,206	0	0	0
90100907	Clackamas	OR		02/02/2015		82,104	0	0	0	0	0	0	82,104	82,104	0	0	0
90100908	TULATIN	OR		02/04/2015		49,262	0	0	0	0	0	0	49,262	49,262	0	0	0
90100912	Birmingham	AL		03/24/2015		141,231	0	0	0	0	0	0	141,231	141,231	0	0	0
90100916	Madison	AL		03/17/2015		43,318	0	0	0	0	0	0	43,318	43,318	0	0	0
90100917	West Chester	PA		03/31/2015		33,948	0	0	0	0	0	0	33,948	33,948	0	0	0
90100918	Twinsburg	OH		05/29/2015		30,453	0	0	0	0	0	0	30,453	30,453	0	0	0
90100921	Chandler	AZ		04/17/2015		10,532	0	0	0	0	0	0	10,532	10,532	0	0	0
90100925	Westminister	CO		03/12/2015		37,413	0	0	0	0	0	0	37,413	37,413	0	0	0
90100938	Richmond	VA		08/10/2015		50,540	0	0	0	0	0	0	50,540	50,540	0	0	0
90100947	Little Rock	AR		07/31/2015		14,058	0	0	0	0	0	0	14,058	14,058	0	0	0
90100948	Inglewood	CA		06/01/2015		47,168	0	0	0	0	0	0	47,168	47,168	0	0	0
90100955	Vernon	CA		06/01/2015		15,571	0	0	0	0	0	0	15,571	15,571	0	0	0
90100958	Rochester Hills	MI		08/21/2015		35,918	0	0	0	0	0	0	35,918	35,918	0	0	0
90100963	Washington	DC		04/10/2015		8,414	0	0	0	0	0	0	8,414	8,414	0	0	0
90100967	Melrose Park	IL		07/30/2015		31,452	0	0	0	0	0	0	31,452	31,452	0	0	0
90100968	JACKSONVILLE	FL		05/15/2015		55,744	0	0	0	0	0	0	55,744	55,744	0	0	0
90100980	Largo	FL		05/27/2015		8,052	0	0	0	0	0	0	8,052	8,052	0	0	0
90100983	Northville	MI		06/30/2015		23,595	0	0	0	0	0	0	23,595	23,595	0	0	0
90100987	Corona	CA		07/01/2015		16,017	0	0	0	0	0	0	16,017	16,017	0	0	0
90100989	Miami	FL		11/02/2015		60,750	0	0	0	0	0	0	60,750	60,750	0	0	0
90100991	Arlington	TX		06/18/2015		21,723	0	0	0	0	0	0	21,723	21,723	0	0	0
90100997	Creve Couer	MO		07/15/2015		30,029	0	0	0	0	0	0	30,029	30,029	0	0	0
90100100	Ridley Township	PA		07/31/2015		25,480	0	0	0	0	0	0	25,480	25,480	0	0	0
901001005	Chicago	IL		06/30/2015		49,183	0	0	0	0	0	0	49,183	49,183	0	0	0
901001006	Chicago	IL		08/11/2015		22,519	0	0	0	0	0	0	22,519	22,519	0	0	0
901001010	NASHVILLE	TN		04/11/2017		68,852	0	0	0	0	0	0	68,852	68,852	0	0	0
901001011	Oak Park	IL		09/30/2015		18,471	0	0	0	0	0	0	18,471	18,471	0	0	0
901001012	Hendersonville	TN		09/08/2015		40,405	0	0	0	0	0	0	40,405	40,405	0	0	0
901001016	Chantilly	VA		08/31/2015		21,232	0	0	0	0	0	0	21,232	21,232	0	0	0
901001022	Des Moines	IA		11/30/2015		28,794	0	0	0	0	0	0	28,794	28,794	0	0	0
901001024	Santa Monica	CA		10/14/2015		20,264	0	0	0	0	0	0	20,264	20,264	0	0	0
901001026	LOUISVILLE	KY		09/16/2015		25,115	0	0	0	0	0	0	25,115	25,115	0	0	0
901001034	San Marcos	TX		12/04/2015		16,902	0	0	0	0	0	0	16,902	16,902	0	0	0
901001044	Tigard	OR		12/29/2015		79,874	0	0	0	0	0	0	79,874	79,874	0	0	0
901001046	Houston	TX		10/30/2015		17,891	0	0	0	0	0	0	17,891	17,891	0	0	0
901001048	Harrisburg	NC		01/29/2016		48,644	0	0	0	0	0	0	48,644	48,644	0	0	0
901001050	Indio	CA		10/30/2015		57,897	0	0	0	0	0	0	57,897	57,897	0	0	0
901001052	Scottsdale	AZ		11/04/2015		25,551	0	0	0	0	0	0	25,551	25,551	0	0	0
901001071	Englewood	CO		11/20/2015		30,999	0	0	0	0	0	0	30,999	30,999	0	0	0
901001076	Wyomissing	PA		04/08/2016		32,239	0	0	0	0	0	0	32,239	32,239	0	0	0
901001087	Berwyn	IL		12/11/2015		11,578	0	0	0	0	0	0	11,578	11,578	0	0	0
901001090	Pleasanton	CA		01/29/2016		89,359	0	0	0	0	0	0	89,359	89,359	0	0	0
901001105	Wyomissing	PA		04/15/2016		99,370	0	0	0	0	0	0	99,370	99,370	0	0	0
901001106	Shoreline	WA		05/02/2016		102,238	0	0	0	0	0	0	102,238	102,238	0	0	0
901001113	West Chester	OH		04/11/2016		41,427	0	0	0	0	0	0	41,427	41,427	0	0	0
901001114	Saint Paul	MN		03/01/2016		367,676	0	0	0	0	0	0	367,676	367,676	0	0	0
901001118	Charlotte	NC		04/26/2016		51,291	0	0	0	0	0	0	51,291	51,291	0	0	0
901001123	San Jose	CA		04/18/2016		26,751	0	0	0	0	0	0	26,751	26,751	0	0	0
901001128	Vestavia Hills	AL		10/06/2016		60,788	0	0	0	0	0	0	60,788	60,788	0	0	0
901001136	Burbank	CA		06/01/2016		35,650	0	0	0	0	0	0	35,650	35,650	0	0	0
901001142	Deerfield Beach	FL		01/11/2017		89,037	0	0	0	0	0	0	89,037	89,037	0	0	0
901001145	Santa Monica	CA		07/28/2016		30,414	0	0	0	0	0	0	30,414	30,414	0	0	0
901001147	University Park	IL		07/29/2016		29,309	0	0	0	0	0	0	29,309	29,309	0	0	0
901001149	Newington	VA		11/01/2016		49,069	0	0	0	0	0	0	49,069	49,069	0	0	0
901001152	Exton	PA		08/10/2016		82,209	0	0	0	0	0	0	82,209	82,209	0	0	0
901001154	North Aurora	IL		08/18/2016		38,336	0	0	0	0	0	0	38,336	38,336	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
901001158	Northlake	IL		08/23/2016		18,986	0	0	0	0	0	0	18,986	18,986	0	0	0
901001170	Kent	WA		10/07/2016		32,535	0	0	0	0	0	0	32,535	32,535	0	0	0
901001189	Chicago	IL		11/23/2016		24,173	0	0	0	0	0	0	24,173	24,173	0	0	0
901001208	Draper	UT		01/25/2017		23,395	0	0	0	0	0	0	23,395	23,395	0	0	0
901001222	New Castle	DE		01/31/2017		17,252	0	0	0	0	0	0	17,252	17,252	0	0	0
901001225	Cincinnati	OH		12/30/2016		21,112	0	0	0	0	0	0	21,112	21,112	0	0	0
901001226	Las Vegas	NV		02/01/2017		29,902	0	0	0	0	0	0	29,902	29,902	0	0	0
901001230	Centreville	VA		03/17/2017		87,753	0	0	0	0	0	0	87,753	87,753	0	0	0
901001245	Portland	OR		03/06/2017		36,336	0	0	0	0	0	0	36,336	36,336	0	0	0
901001250	Belleville	MI		03/30/2017		21,908	0	0	0	0	0	0	21,908	21,908	0	0	0
901001255	Greenville	SC		02/28/2017		50,395	0	0	0	0	0	0	50,395	50,395	0	0	0
901001257	Florence	KY		03/16/2017		12,118	0	0	0	0	0	0	12,118	12,118	0	0	0
901001261	Upper Arlington	OH		07/26/2017		36,902	0	0	0	0	0	0	36,902	36,902	0	0	0
901001276	Pensacola	FL		04/27/2017		69,075	0	0	0	0	0	0	69,075	69,075	0	0	0
901001282	Marlton	NJ		05/24/2017		24,882	0	0	0	0	0	0	24,882	24,882	0	0	0
901001283	Rohnert Park	CA		06/15/2017		22,750	0	0	0	0	0	0	22,750	22,750	0	0	0
901001291	Alpharetta	GA		05/18/2017		22,824	0	0	0	0	0	0	22,824	22,824	0	0	0
901001293	Plano	TX		06/07/2017		65,542	0	0	0	0	0	0	65,542	65,542	0	0	0
901001297	Orlando	FL		07/10/2017		51,102	0	0	0	0	0	0	51,102	51,102	0	0	0
901001303	Snellville	GA		10/11/2017		46,136	0	0	0	0	0	0	46,136	46,136	0	0	0
901001307	Gaithersburg	MD		05/23/2017		57,355	0	0	0	0	0	0	57,355	57,355	0	0	0
901001308	Lodi	CA		05/31/2017		63,577	0	0	0	0	0	0	63,577	63,577	0	0	0
901001311	Farmingdale	NY		05/24/2017		20,647	0	0	0	0	0	0	20,647	20,647	0	0	0
901001314	Phoenixville	PA		06/09/2017		18,403	0	0	0	0	0	0	18,403	18,403	0	0	0
901001318	West Jordan	UT		06/02/2017		20,871	0	0	0	0	0	0	20,871	20,871	0	0	0
901001321	Shoreview	MIN		06/05/2017		84,035	0	0	0	0	0	0	84,035	84,035	0	0	0
901001323	Highlands	CO		06/20/2017		13,520	0	0	0	0	0	0	13,520	13,520	0	0	0
901001324	Odenton	MD		05/19/2017		29,881	0	0	0	0	0	0	29,881	29,881	0	0	0
901001330	Carlsbad	CA		06/29/2017		7,703	0	0	0	0	0	0	7,703	7,703	0	0	0
901001338	Oakdale	MIN		08/10/2017		25,953	0	0	0	0	0	0	25,953	25,953	0	0	0
901001385	Rosedale	MD		12/14/2017		27,701	0	0	0	0	0	0	27,701	27,701	0	0	0
901001392	Creve Coeur	MO		11/13/2017		51,853	0	0	0	0	0	0	51,853	51,853	0	0	0
901001407	Denver	CO		11/22/2017		16,552	0	0	0	0	0	0	16,552	16,552	0	0	0
901001408	Villa Park	IL		12/20/2017		33,503	0	0	0	0	0	0	33,503	33,503	0	0	0
901001411	Chaska	MIN		12/19/2017		52,731	0	0	0	0	0	0	52,731	52,731	0	0	0
901001414	Austin	TX		11/28/2017		19,357	0	0	0	0	0	0	19,357	19,357	0	0	0
901001429	Dallas	TX		04/10/2018		38,951	0	0	0	0	0	0	38,951	38,951	0	0	0
901001437	Denver	CO		02/23/2018		54,888	0	0	0	0	0	0	54,888	54,888	0	0	0
901001451	Hillsboro	OR		01/26/2018		65,020	0	0	0	0	0	0	65,020	65,020	0	0	0
901001453	Thousand Oaks	CA		02/27/2018		8,941	0	0	0	0	0	0	8,941	8,941	0	0	0
901001455	Minneapolis	MIN		04/19/2018		68,218	0	0	0	0	0	0	68,218	68,218	0	0	0
901001459	Farmers Branch	TX		03/29/2018		37,408	0	0	0	0	0	0	37,408	37,408	0	0	0
901001466	Denver	CO		03/15/2018		32,586	0	0	0	0	0	0	32,586	32,586	0	0	0
901001469	Varico	FL		04/09/2018		15,199	0	0	0	0	0	0	15,199	15,199	0	0	0
901001473	Cary	NC		04/12/2018		98,622	0	0	0	0	0	0	98,622	98,622	0	0	0
901001474	Cary	NC		04/12/2018		41,825	0	0	0	0	0	0	41,825	41,825	0	0	0
901001475	Bethesda	MD		04/19/2018		25,518	0	0	0	0	0	0	25,518	25,518	0	0	0
901001477	Chesterfield	MI		04/09/2018		30,318	0	0	0	0	0	0	30,318	30,318	0	0	0
901001480	Duluth	GA		03/29/2018		13,103	0	0	0	0	0	0	13,103	13,103	0	0	0
901001482	Washington	DC		04/12/2018		30,091	0	0	0	0	0	0	30,091	30,091	0	0	0
901001483	Wheeling	IL		06/06/2018		28,781	0	0	0	0	0	0	28,781	28,781	0	0	0
901001486	St. Louis	MO		04/12/2018		9,172	0	0	0	0	0	0	9,172	9,172	0	0	0
901001488	Indianola	IA		07/31/2018		20,896	0	0	0	0	0	0	20,896	20,896	0	0	0
901001493	Farmingdale	NY		04/11/2018		65,226	0	0	0	0	0	0	65,226	65,226	0	0	0
901001495	Shokopee	MIN		04/06/2018		51,476	0	0	0	0	0	0	51,476	51,476	0	0	0
901001498	Thornton	CO		05/18/2018		35,970	0	0	0	0	0	0	35,970	35,970	0	0	0
901001516	Memphis	TN		05/11/2018		18,248	0	0	0	0	0	0	18,248	18,248	0	0	0
901001517	Glasgow	DE		05/25/2018		76,338	0	0	0	0	0	0	76,338	76,338	0	0	0
901001521	Farmers Branch	TX		05/09/2018		58,943	0	0	0	0	0	0	58,943	58,943	0	0	0
901001523	Lone Tree	CO		05/30/2018		20,277	0	0	0	0	0	0	20,277	20,277	0	0	0

E02.4

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
901001524	Williamsburg	VA		08/06/2018		17,182	0	0	0	0	0	0	17,182	17,182	0	0	0
901001526	Woods Cross	UT		06/04/2018		15,333	0	0	0	0	0	0	15,333	15,333	0	0	0
901001531	Winchester	CA		06/12/2018		12,545	0	0	0	0	0	0	12,545	12,545	0	0	0
901001532	League City	TX		06/28/2018		20,789	0	0	0	0	0	0	20,789	20,789	0	0	0
901001535	Jacksonville	FL		06/22/2018		96,798	0	0	0	0	0	0	96,798	96,798	0	0	0
901001540	Silverdale	WA		06/14/2018		51,788	0	0	0	0	0	0	51,788	51,788	0	0	0
901001542	Seattle	WA		06/14/2018		26,138	0	0	0	0	0	0	26,138	26,138	0	0	0
901001543	Cypress	TX		06/18/2018		19,360	0	0	0	0	0	0	19,360	19,360	0	0	0
901001551	New York	NY		07/03/2018		226,396	0	0	0	0	0	0	226,396	226,396	0	0	0
901001569	Chapel Hill	NC		09/11/2018		30,434	0	0	0	0	0	0	30,434	30,434	0	0	0
901001573	PORTLAND	OR		10/31/2018		84,233	0	0	0	0	0	0	84,233	84,233	0	0	0
901001575	Portland	OR		10/31/2018		62,833	0	0	0	0	0	0	62,833	62,833	0	0	0
901001588	Amityville	NY		10/23/2018		19,138	0	0	0	0	0	0	19,138	19,138	0	0	0
901001592	Berwyn	IL		11/21/2018		33,864	0	0	0	0	0	0	33,864	33,864	0	0	0
901001593	Berwyn	IL		11/21/2018		3,192	0	0	0	0	0	0	3,192	3,192	0	0	0
901001594	Berwyn	IL		11/21/2018		23,906	0	0	0	0	0	0	23,906	23,906	0	0	0
901001612	Cambridge	MA		10/10/2018		30,298	0	0	0	0	0	0	30,298	30,298	0	0	0
901001616	San Carlos	CA		10/26/2018		13,374	0	0	0	0	0	0	13,374	13,374	0	0	0
901001631	Richmond	VA		01/17/2019		25,049	0	0	0	0	0	0	25,049	25,049	0	0	0
901001717	Arvada	CO		01/30/2019		16,486	0	0	0	0	0	0	16,486	16,486	0	0	0
901001721	Mesa	AZ		01/16/2019		10,631	0	0	0	0	0	0	10,631	10,631	0	0	0
901001732	Reno	NV		02/22/2019		54,355	0	0	0	0	0	0	54,355	54,355	0	0	0
901001795	VALLEY VIEW	OH		06/27/2019		63,498	0	0	0	0	0	0	63,498	63,498	0	0	0
901001801	Bellaire	TX		07/22/2019		13,606	0	0	0	0	0	0	13,606	13,606	0	0	0
901001802	Jacksonville	FL		07/02/2019		16,273	0	0	0	0	0	0	16,273	16,273	0	0	0
901001805	Flower Mound	TX		08/08/2019		31,455	0	0	0	0	0	0	31,455	31,455	0	0	0
901001808	Vadnais Heights	MN		08/01/2019		20,191	0	0	0	0	0	0	20,191	20,191	0	0	0
901001809	Jacksonville	FL		07/16/2019		10,696	0	0	0	0	0	0	10,696	10,696	0	0	0
901001810	Bronx	NY		12/31/2019		42,047	0	0	0	0	0	0	42,047	42,047	0	0	0
901001816	Virginia Beach	VA		10/29/2019		26,907	0	0	0	0	0	0	26,907	26,907	0	0	0
901001817	Chesapeake	VA		10/29/2019		6,115	0	0	0	0	0	0	6,115	6,115	0	0	0
901001818	Novato	CA		08/22/2019		24,085	0	0	0	0	0	0	24,085	24,085	0	0	0
901001819	Walla Walla	WA		08/09/2019		17,101	0	0	0	0	0	0	17,101	17,101	0	0	0
901001825	Dallas	TX		09/19/2019		34,349	0	0	0	0	0	0	34,349	34,349	0	0	0
901001829	Issaquah	WA		11/14/2019		14,978	0	0	0	0	0	0	14,978	14,978	0	0	0
901001832	Colorado Springs	CO		11/07/2019		10,483	0	0	0	0	0	0	10,483	10,483	0	0	0
901001845	Chicago	IL		12/16/2019		19,010	0	0	0	0	0	0	19,010	19,010	0	0	0
901001866	Westminster	CO		02/13/2020		28,407	0	0	0	0	0	0	28,407	28,407	0	0	0
901001875	Austin	TX		03/04/2020		48,681	0	0	0	0	0	0	48,681	48,681	0	0	0
901001885	Algona	WA		05/29/2020		12,765	0	0	0	0	0	0	12,765	12,765	0	0	0
901001895	Marion	OH		06/30/2020		31,008	0	0	0	0	0	0	31,008	31,008	0	0	0
901001897	Orlando	FL		06/12/2020		34,552	0	0	0	0	0	0	34,552	34,552	0	0	0
901001905	Owings Mills	MD		05/01/2020		36,904	0	0	0	0	0	0	36,904	36,904	0	0	0
901001907	Chattanooga	TN		05/27/2020		14,212	0	0	0	0	0	0	14,212	14,212	0	0	0
901001908	Jacksonville Beach	FL		05/27/2020		21,963	0	0	0	0	0	0	21,963	21,963	0	0	0
901001910	Jacksonville Beach	FL		05/27/2020		16,796	0	0	0	0	0	0	16,796	16,796	0	0	0
901001911	Jacksonville Beach	FL		05/27/2020		9,690	0	0	0	0	0	0	9,690	9,690	0	0	0
901001912	Huntington	NY		06/19/2020		7,908	0	0	0	0	0	0	7,908	7,908	0	0	0
901001917	Chicago	IL		05/19/2020		46,093	0	0	0	0	0	0	46,093	46,093	0	0	0
901001921	Edina	MN		07/23/2020		163,207	0	0	0	0	0	0	163,207	163,207	0	0	0
901001932	Apple Valley	MN		08/27/2020		58,633	0	0	0	0	0	0	58,633	58,633	0	0	0
901001958	Zionsville/Whitestown	IN		01/28/2021		32,056	0	0	0	0	0	0	32,056	32,056	0	0	0
901001963	Golden	CO		02/11/2021		16,149	0	0	0	0	0	0	16,149	16,149	0	0	0
901001976	Clinton Township	MI		03/19/2021		17,115	0	0	0	0	0	0	17,115	17,115	0	0	0
901001982	Annapolis	MD		04/01/2021		33,533	0	0	0	0	0	0	33,533	33,533	0	0	0
901001984	Philadelphia	PA		12/17/2021		26,137	0	0	0	0	0	0	26,137	26,137	0	0	0
901001987	Elmhurst	IL		05/05/2021		17,991	0	0	0	0	0	0	17,991	17,991	0	0	0
901001989	Skokie	IL		05/06/2021		33,456	0	0	0	0	0	0	33,456	33,456	0	0	0
901002000	Gardena	CA		04/21/2021		20,769	0	0	0	0	0	0	20,769	20,769	0	0	0
901002005	King of Prussia	PA		05/24/2021		22,929	0	0	0	0	0	0	22,929	22,929	0	0	0

E02.5

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal		
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value	
901002012	Lakewood	CO		05/19/2021		18,210	0	0	0	0	0	0	18,210	18,210	0	0	0	
901002014	Windsor Mill	MD		06/15/2021		53,306	0	0	0	0	0	0	53,306	53,306	0	0	0	
901002016	Ashburn	VA		05/17/2021		56,507	0	0	0	0	0	0	56,507	56,507	0	0	0	
901002020	Austin	TX		05/14/2021		53,459	0	0	0	0	0	0	53,459	53,459	0	0	0	
901002025	Northglenn	CO		06/14/2021		8,617	0	0	0	0	0	0	8,617	8,617	0	0	0	
901002029	Arlington Heights	IL		06/22/2021		9,891	0	0	0	0	0	0	9,891	9,891	0	0	0	
901002032	Everett	WA		06/24/2021		32,803	0	0	0	0	0	0	32,803	32,803	0	0	0	
901002033	Baltimore	MD		06/17/2021		57,764	0	0	0	0	0	0	57,764	57,764	0	0	0	
901002042	Richmond	VA		10/05/2021		16,992	0	0	0	0	0	0	16,992	16,992	0	0	0	
901002046	Millburn	NJ		09/30/2021		40,618	0	0	0	0	0	0	40,618	40,618	0	0	0	
901002047	Millburn	NJ		09/30/2021		15,448	0	0	0	0	0	0	15,448	15,448	0	0	0	
901002049	Lancaster	PA		08/20/2021		16,271	0	0	0	0	0	0	16,271	16,271	0	0	0	
901002062	Sun City	AZ		07/26/2021		19,640	0	0	0	0	0	0	19,640	19,640	0	0	0	
901002063	Dallas	TX		07/30/2021		102,878	0	0	0	0	0	0	102,878	102,878	0	0	0	
901002065	Evans	GA		08/31/2021		79,566	0	0	0	0	0	0	79,566	79,566	0	0	0	
901002068	Melvindale	MI		09/30/2021		28,464	0	0	0	0	0	0	28,464	28,464	0	0	0	
901002071	Baltimore	MD		08/16/2021		17,046	0	0	0	0	0	0	17,046	17,046	0	0	0	
901002076	Denver	CO		08/18/2021		6,526	0	0	0	0	0	0	6,526	6,526	0	0	0	
901002077	Shrewsbury	MA		09/30/2021		23,515	0	0	0	0	0	0	23,515	23,515	0	0	0	
901002078	Shrewsbury	MA		09/30/2021		6,741	0	0	0	0	0	0	6,741	6,741	0	0	0	
901002082	Hudson	FL		12/03/2021		17,808	0	0	0	0	0	0	17,808	17,808	0	0	0	
901002084	Plano	TX		10/05/2021		42,044	0	0	0	0	0	0	42,044	42,044	0	0	0	
901002086	Memphis	TN		10/29/2021		160,412	0	0	0	0	0	0	160,412	160,412	0	0	0	
901002089	Baltimore	MD		10/22/2021		35,043	0	0	0	0	0	0	35,043	35,043	0	0	0	
901002090	Las Vegas	NV		10/01/2021		39,782	0	0	0	0	0	0	39,782	39,782	0	0	0	
901002091	Shoreline	WA		01/10/2022		0	0	0	0	0	0	0	28,175	28,175	0	0	0	
901002094	Rutherford	NJ		12/22/2021		21,571	0	0	0	0	0	0	21,571	21,571	0	0	0	
901002095	Richmond	VA		11/23/2021		23,327	0	0	0	0	0	0	23,327	23,327	0	0	0	
901002096	East Hempfield	PA		11/19/2021		12,280	0	0	0	0	0	0	12,280	12,280	0	0	0	
901002097	Salt Lake City	UT		12/22/2021		11,710	0	0	0	0	0	0	11,710	11,710	0	0	0	
901002099	Roseland	NJ		12/15/2021		84,492	0	0	0	0	0	0	84,492	84,492	0	0	0	
901002101	Aurora	CO		11/18/2021		69,378	0	0	0	0	0	0	69,378	69,378	0	0	0	
901002103	Lincoln	NE		03/08/2022		0	0	0	0	0	0	0	84,856	84,856	0	0	0	
901002108	Jacksonville	FL		02/25/2022		0	0	0	0	0	0	0	37,362	37,362	0	0	0	
901002117	Ocala	FL		02/22/2022		0	0	0	0	0	0	0	13,974	13,974	0	0	0	
901002122	Broomfield	CO		01/27/2022		0	0	0	0	0	0	0	8,253	8,253	0	0	0	
901002123	Broomfield	CO		01/27/2022		0	0	0	0	0	0	0	14,171	14,171	0	0	0	
901002130	Tucson	AZ		01/14/2022		0	0	0	0	0	0	0	48,559	48,559	0	0	0	
901002140	Pasadena	CA		04/18/2022		0	0	0	0	0	0	0	36,316	36,316	0	0	0	
901002142	East Meadow	NY		04/14/2022		0	0	0	0	0	0	0	12,219	12,219	0	0	0	
901002143	Bay Shore	NY		07/15/2022		0	0	0	0	0	0	0	3,270	3,270	0	0	0	
901002144	Deer Park	NY		07/15/2022		0	0	0	0	0	0	0	5,295	5,295	0	0	0	
901002145	Yaphank	NY		04/14/2022		0	0	0	0	0	0	0	10,810	10,810	0	0	0	
901002152	Sheridan	CO		05/11/2022		0	0	0	0	0	0	0	85,710	85,710	0	0	0	
901002169	North Salt Lake	UT		05/25/2022		0	0	0	0	0	0	0	18,290	18,290	0	0	0	
901002178	Fort Collins	CO		06/01/2022		0	0	0	0	0	0	0	35,556	35,556	0	0	0	
901002180	Jacksonville	FL		05/18/2022		0	0	0	0	0	0	0	57,626	57,626	0	0	0	
901002194	Apex	NC		06/30/2022		0	0	0	0	0	0	0	35,114	35,114	0	0	0	
901002199	Lakewood	OH		07/13/2022		0	0	0	0	0	0	0	2,757	2,757	0	0	0	
901002200	Lakewood	OH		07/13/2022		0	0	0	0	0	0	0	4,099	4,099	0	0	0	
901002201	Cleveland Heights	OH		07/13/2022		0	0	0	0	0	0	0	2,284	2,284	0	0	0	
901002202	Cleveland Heights	OH		07/13/2022		0	0	0	0	0	0	0	2,474	2,474	0	0	0	
0299999	Mortgages with partial repayments						15,380,552	0	(253)	0	0	(253)	0	15,927,468	15,927,468	0	0	0
0599999	- Totals						51,650,119	0	(253)	0	0	(253)	0	52,197,035	52,197,035	0	0	0

E02.6

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
649526-AQ-1	NEW YORK LIFE INS CO			AMHERST	1.C FE	04/30/2019			4,469,450			
878091-BF-3	TEACHERS INS & ANN ASSOC OF AM			AMHERST	1.D FE	05/03/2017			6,287,360			
2799999. Surplus Debentures, etc - Unaffiliated								0	10,756,810	0	0	XXX
4899999. Total - Unaffiliated								0	10,756,810	0	0	XXX
4999999. Total - Affiliated								0	0	0	0	XXX
5099999 - Totals								0	10,756,810	0	0	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value					15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income		
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Temporary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)							14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	
	Blackstone Life Sciences Yield L.P.	Wilmington	DE	RETURN OF CAPITAL	03/28/2022	08/31/2022							102,238	102,238	0	0	0	68,930		
1999999. Joint Venture Interests - Common Stock - Unaffiliated								0	0	0	0	0	0	102,238	102,238	0	0	0	68,930	
	Boston Capital Affordable Housing Mortgage Fund LLC	Boston	MA	RETURN OF CAPITAL	02/28/2006	09/30/2022	424,312						424,312	424,312	0	0	0	250,284		
2399999. Joint Venture Interests - Mortgage Loans - Unaffiliated								424,312	0	0	0	0	0	424,312	424,312	0	0	0	250,284	
4899999. Total - Unaffiliated								424,312	0	0	0	0	0	526,550	526,550	0	0	0	319,214	
4999999. Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	0	0
5099999 - Totals								424,312	0	0	0	0	0	526,550	526,550	0	0	0	319,214	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
38376G-WS-5	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.628% 04/16/53		.09/01/2022	Interest Capitalization		47,790	47,790	.0	1.A
38376G-XX-3	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.980% 09/16/50		.09/01/2022	Interest Capitalization		39,322	39,322	.0	1.A
38378B-3Z-0	GOVERNMENT NATIONAL MORTGAGE A GNMA_13-2 2.839% 05/16/54		.09/01/2022	Interest Capitalization		46,565	46,565	.0	1.A
38378K-20-1	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.251% 01/16/55		.09/01/2022	Interest Capitalization		90,892	90,892	.0	1.A
38378K-3G-2	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 2.958% 06/16/55		.09/01/2022	Interest Capitalization		35,890	35,890	.0	1.A
38378K-5Z-8	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.092% 04/16/55		.09/01/2022	Interest Capitalization		31,828	31,828	.0	1.A
38378K-JN-0	GNMA_13-50 2.162% 03/16/53		.09/01/2022	Interest Capitalization		16,783	16,783	.0	1.A
38378N-BU-6	GNMA_13-155 GOVERNMENT NATIONAL MORTGAGE A 3.063% 08/16/54		.09/01/2022	Interest Capitalization		56,789	56,789	.0	1.A
38378N-FW-8	GNMA_13-146 GOVERNMENT NATIONAL MORTGAGE A 3.392% 02/16/55		.09/01/2022	Interest Capitalization		137,838	137,838	.0	1.A
38378N-KX-0	GNMA_13-176 GOVERNMENT NATIONAL MORTGAGE A 3.195% 01/16/55		.09/01/2022	Interest Capitalization		26,459	26,459	.0	1.A
38378X-CD-1	GNMA_14-82 GOVERNMENT NATIONAL MORTGAGE A 3.250% 10/16/54		.09/01/2022	Interest Capitalization		22,848	22,848	.0	1.A
38378X-DO-1	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.507% 05/16/55		.09/01/2022	Interest Capitalization		12,824	12,824	.0	1.A
38379K-CS-5	GNMA_15-22 3.300% 03/16/55		.09/01/2022	Interest Capitalization		80,198	80,198	.0	1.A
38379K-PB-8	GOVERNMENT NATIONAL MORTGAGE A GNMA_15-68 2.834% 07/16/57		.09/01/2022	Interest Capitalization		79,360	79,360	.0	1.A
38379R-4B-6	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-135 3.000% 10/16/58		.09/01/2022	Interest Capitalization		34,247	34,247	.0	1.A
38379R-6V-0	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-138 2.500% 10/16/59		.09/01/2022	Interest Capitalization		23,282	23,282	.0	1.A
38379R-DE-0	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.365% 03/16/57		.09/01/2022	Interest Capitalization		20,931	20,931	.0	1.A
38379R-NT-6	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-29 3.227% 09/16/58		.09/01/2022	Interest Capitalization		161,898	161,898	.0	1.A
38379R-PJ-6	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-41 3.000% 07/16/58		.09/01/2022	Interest Capitalization		167,192	167,192	.0	1.A
38379R-ZW-6	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-90 2.750% 01/16/59		.09/01/2022	Interest Capitalization		45,370	45,370	.0	1.A
38379U-2X-3	GOVERNMENT NATIONAL MORTGAGE A GNMA_16-178 2.940% 09/16/58		.09/01/2022	Interest Capitalization		39,179	39,179	.0	1.A
38379U-FU-5	GOVERNMENT NATIONAL MORTGAGE A GNMA_16-24 3.352% 09/16/57		.09/01/2022	Interest Capitalization		16,954	16,954	.0	1.A
38379U-JB-0	GOVERNMENT NATIONAL MORTGAGE A GNMA_16-127 2.868% 05/16/58		.09/01/2022	Interest Capitalization		63,198	63,198	.0	1.A
38379U-M5-2	GOVERNMENT NATIONAL MORTGAGE A GNMA_16-119 3.000% 04/16/58		.09/01/2022	Interest Capitalization		188,977	188,977	.0	1.A
38379U-MY-9	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 2.955% 07/16/57		.09/01/2022	Interest Capitalization		54,559	54,559	.0	1.A
38380J-6M-5	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.000% 06/16/60		.09/01/2022	Interest Capitalization		57,428	57,428	.0	1.A
38380J-80-4	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 2.500% 01/16/60		.09/01/2022	Interest Capitalization		25,900	25,900	.0	1.A
38380J-BC-1	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-148 2.500% 07/16/59		.09/01/2022	Interest Capitalization		30,260	30,260	.0	1.A
38380J-CS-5	GOVERNMENT NATIONAL MORTGAGE A GNMA_17-154 3.113% 12/16/58		.09/01/2022	Interest Capitalization		118,329	118,329	.0	1.A
38380J-TD-0	GOVERNMENT NATIONAL MORTGAGE A GNMA_18-28 2.711% 01/16/55		.09/01/2022	Interest Capitalization		46,793	46,793	.0	1.A
38380M-JG-7	GOVERNMENT NATIONAL MORTGAGE A GNMA_18-117 2.750% 06/16/60		.09/01/2022	Interest Capitalization		45,935	45,935	.0	1.A
38380N-KH-1	GOVERNMENT NATIONAL MORTGAGE A GNMA_19-102 2.800% 03/16/60		.09/01/2022	Interest Capitalization		11,438	11,438	.0	1.A
38380N-NB-1	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 2.750% 02/16/61		.09/01/2022	Interest Capitalization		5,373	5,373	.0	1.A
38380N-WA-3	GOVERNMENT NATIONAL MORTGAGE A GNMA_19-149 2.984% 02/16/61		.09/01/2022	Interest Capitalization		24,758	24,758	.0	1.A
38380N-ZN-2	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 2.800% 09/16/61		.09/01/2022	Interest Capitalization		24,837	24,837	.0	1.A
0109999999. Subtotal - Bonds - U.S. Governments						1,932,224	1,932,224	.0	XXX
3136A4-6B-0	FANNIE MAE FNMA 12-37 FANNIE MAE FNMA 12-37 4.000% 04/25/42		.09/01/2022	Interest Capitalization		10,092	10,091	.0	1.A
3136AN-CW-5	FANNIE MAE FNMA 15-17 SERIES 201517 CLASS AZ 3.500% 04/25/45		.09/01/2022	Interest Capitalization		56,531	56,531	.0	1.A
3137AE-Q2-4	FREDDIE MAC FHLIC 11-3905 FREDDIE MAC FHLIC 11-3905 3.000% 08/15/41		.09/01/2022	Interest Capitalization		9,676	9,676	.0	1.A
3137AK-AR-2	FREDDIE MAC FHLIC 3982 FREDDIE MAC FHLIC 3982 4.000% 01/15/42		.09/01/2022	Interest Capitalization		7,605	7,605	.0	1.A
3137AY-D4-0	FREDDIE MAC FHLIC 13-4166 FREDDIE MAC FHLIC 13-4166 3.250% 02/15/43		.09/01/2022	Interest Capitalization		21,221	21,221	.0	1.D FE
95101V-AB-5	WESLEYAN UNIVERSITY 3.369% 07/01/50		.09/08/2022	Suntrust Banks Inc		3,009,360	4,000,000	26,578	1.A
0909999999. Subtotal - Bonds - U.S. Special Revenues						3,114,485	4,105,124	26,578	XXX
05348E-AZ-2	AVALONBAY COMMUNITIES INC 3.900% 10/15/46		.09/08/2022	WELLS FARGO BANK		840,330	1,000,000	15,925	1.G FE
05377R-FR-0	AVIS BUDGET RENTAL CAR FUNDING Series 144A 6.680% 02/20/29		.07/12/2022	J.P. MORGAN SECURITIES INC		4,999,489	5,000,000	.0	2.C FE
12527G-AD-5	CF INDUSTRIES INC OF INDUSTRIES INC 4.950% 06/01/43		.08/31/2022	Suntrust Banks Inc		1,347,225	1,500,000	18,769	2.C FE
12527G-AE-3	CF INDUSTRIES INC 5.375% 03/15/44		.09/08/2022	GOLDMAN SACHS & CO		1,093,173	1,175,000	31,052	2.C FE
153609-K4-8	CENTRAL HUDSON GAS & ELEC CENTRAL HUDSON GAS & ELECTRIC 5.420% 09/28/52		.09/28/2022	KEYBANC CAPITAL MARKET		5,000,000	5,000,000	.0	2.A Z
164110-AN-1	CHENIERE ENERGY PARTNERS LP 3.250% 01/31/32		.07/07/2022	Tax Free Exchange		1,000,000	1,000,000	25,368	3.A FE
200339-EX-3	COMERICA BANK 5.332% 08/25/33		.08/18/2022	Various		5,004,800	5,000,000	.0	2.A FE
233577-AU-5	MICHIGAN CONS GAS DTE GAS COMPANY 5.050% 10/01/52		.09/29/2022	KEYBANC CAPITAL MARKET		8,000,000	8,000,000	.0	1.F Z
256677-AM-7	DOLLAR GENERAL CORP DOLLAR GENERAL CORPORATION 5.500% 11/01/52		.09/06/2022	Various		4,000,910	4,000,000	.0	2.B FE
26884A-BB-8	ERP OPER LP ERP OPERATING LIMITED PARTNERS 4.500% 07/01/44		.08/29/2022	WELLS FARGO BANK		968,205	1,025,000	7,688	1.G FE
29364W-BL-1	ENTERGY LOUISIANA LLC 4.750% 09/15/52		.08/18/2022	BARCLAYS CAPITAL INC		4,972,850	5,000,000	.0	1.F FE
29717P-AS-6	ESSEX PORTFOLIO LP 4.500% 03/15/48		.09/01/2022	WELLS FARGO BANK		3,038,261	3,466,000	74,086	2.A FE
380130-AB-0	GM FINANCIAL AUTOMOBILE LEASIN GM FINANCIAL AUTOMOBILE LEASIN 4.010% 10/21/24		.08/09/2022	WELLS FARGO BANK		4,999,629	5,000,000	.0	1.A FE

E04

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
380130-AD-6	GM FINANCIAL AUTOMOBILE LEASIN		08/09/2022	WELLS FARGO BANK		3,699,658	3,700,000	.0	1.A FE
42225U-AM-6	HEALTHCARE TRUST OF AMERICA IN		07/22/2022	Tax Free Exchange		6,244,437	6,300,000	45,561	2.B FE
459200-KV-2	INTERNATIONAL BUSINESS MACHINE		07/20/2022	J.P. MORGAN SECURITIES INC		13,805,120	14,000,000	.0	1.G FE
49446R-AT-6	KIMCO REALTY CORP KIMCO REALTY CORPORATION		08/15/2022	WELLS FARGO BANK		9,058,800	10,000,000	205,194	2.A FE
548661-EM-5	LOWES COMPANIES INC		09/06/2022	GOLDMAN SACHS & CO		2,491,075	2,500,000	.0	2.A FE
548661-EN-3	LOWES COMPANIES INC		09/06/2022	GOLDMAN SACHS & CO		2,481,100	2,500,000	.0	2.A FE
59523U-AU-1	MID-AMERICA APARTMENTS LP		09/08/2022	WELLS FARGO BANK		3,438,050	5,000,000	70,677	1.G FE
65480J-AC-4	NISSAN AUTO RECEIVABLES OWNER		09/20/2022	WELLS FARGO BANK		7,998,345	8,000,000	.0	1.A FE
694476-AF-9	PACIFIC LIFE CORP Series 144A		09/08/2022	GOLDMAN SACHS & CO		4,992,600	5,000,000	.0	1.G FE
87264A-CT-0	T-MOBILE USA INC		07/05/2022	Tax Free Exchange		3,996,244	4,000,000	30,222	2.C FE
89231C-AD-9	TOYOTA AUTO RECEIVABLES OWNER		08/08/2022	CITIGROUP GLOBAL MARKETS		5,998,997	6,000,000	.0	1.A FE
907818-GD-4	UNION PACIFIC CORPORATION		09/06/2022	Various		4,964,150	5,000,000	.0	1.G FE
92348K-AV-5	VERIZON MASTER TRUST VZMT_22-5		08/02/2022	BANC OF AMERICA SECURITIES LLC		6,649,707	6,650,000	.0	1.A FE
92348K-AZ-6	VERIZON MASTER TRUST VZMT_22-		08/02/2022	BANC OF AMERICA SECURITIES LLC		4,149,785	4,150,000	.0	1.A FE
92936U-A@-8	WP CAREY INC		09/28/2022	J.P. MORGAN SECURITIES INC		9,729,000	10,000,000	.0	2.B Z
92936U-AF-6	WP CAREY INC		09/01/2022	Suntrust Banks Inc		5,551,800	6,000,000	32,725	2.B FE
98163T-AB-9	WORLD OMNI AUTO RECEIVABLE TRU		08/02/2022	WELLS FARGO BANK		7,999,164	8,000,000	.0	1.A FE
98163T-AD-5	WORLD OMNI AUTO RECEIVABLE TRU		08/02/2022	WELLS FARGO BANK		6,499,512	6,500,000	.0	1.A FE
404280-DK-2	HSBC HOLDINGS PLC	C.	09/16/2022	Tax Free Exchange		1,014,184	900,000	22,684	2.B FE
60687Y-CM-9	MIZUHO FINANCIAL GROUP INC	C.	09/07/2022	MIZUHO SECURITIES		5,000,000	5,000,000	.0	1.G FE
80007R-AS-4	SANDS CHINA LTD	C.	08/15/2022	Tax Free Exchange		1,498,957	1,500,000	948	3.A FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						162,525,557	166,866,000	580,899	XXX
2509999997. Total - Bonds - Part 3						167,572,266	172,903,348	607,477	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
2509999999. Total - Bonds						167,572,266	172,903,348	607,477	XXX
4509999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	XXX
46138E-35-4	POWERSHARES S&P 500 LOW VOLATI		07/06/2022	J.P. MORGAN SECURITIES INC	2,380,000	147,441	.0	.0	
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						147,441	XXX	0	XXX
76828F-10-6	River Lake Insurance Company VI		07/01/2022	Tax Agreement Settlement		34,513,466			
76829@-10-7	River Lake Insurance Company X		07/01/2022	Tax Agreement Settlement		26,759,698			
5929999999. Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates Other						61,273,164	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						61,420,605	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						61,420,605	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						61,420,605	XXX	0	XXX
6009999999 - Totals						228,992,871	XXX	607,477	XXX

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
36225B-ZA-9	GINNIE MAE I POOL #781637 4.500% 07/15/33		09/01/2022	Paydown		14,628	14,628	13,615	13,873	0	754	0	754	0	14,628	0	0	0	426	07/15/2033	1.A
38373M-BG-8	GNMA 09-63 GNMA 09-63 5.500% 03/16/51		09/01/2022	Paydown		3,692	3,692	3,565	3,592	0	101	0	101	0	3,692	0	0	0	135	03/16/2051	1.A
38376G-AZ-3	GOVERNMENT NATIONAL MORTGAGE A GNMA_09-90 5.600% 04/16/51		09/01/2022	Paydown		201,144	201,144	196,371	197,145	0	3,999	0	3,999	0	201,144	0	0	0	6,585	04/16/2051	1.A
38376K-FA-4	GOVERNMENT NATIONAL MORTGAGE A GNMA_09-93 5.000% 10/16/39		09/01/2022	Paydown		68,657	68,657	68,915	68,686	0	(29)	0	(29)	0	68,657	0	0	0	2,257	10/16/2039	1.A
38376P-TX-8	GOVERNMENT NATIONAL MORTGAGE A GNMA_09-125 5.000% 10/20/39		09/01/2022	Paydown		185,770	185,770	182,033	183,639	0	2,131	0	2,131	0	185,770	0	0	0	6,133	10/20/2039	1.A
38376T-HN-5	GOVERNMENT NATIONAL MORTGAGE A GNMA_10-3 4.500% 01/20/40		09/01/2022	Paydown		192,744	192,744	177,058	184,831	0	7,913	0	7,913	0	192,744	0	0	0	5,743	01/20/2040	1.A
38377T-Y2-6	GOVERNMENT NATIONAL MORTGAGE A GINNIE MAE GNMA_11-28 4.000% 01/20/41		09/01/2022	Paydown		73,796	73,796	62,778	69,487	0	4,309	0	4,309	0	73,796	0	0	0	1,334	01/20/2041	1.A
38378B-EQ-8	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 3.250% 01/16/52		09/01/2022	Paydown		52,499	52,499	49,956	50,554	0	1,945	0	1,945	0	52,499	0	0	0	569	01/16/2052	1.A
38378X-P6-2	GOVERNMENT NATIONAL MORTGAGE A GOVERNMENT NATIONAL MORTGAGE A 2.500% 05/16/55		09/01/2022	Paydown		16,322	16,322	16,296	16,300	0	22	0	22	0	16,322	0	0	0	272	05/16/2055	1.A
0109999999. Subtotal - Bonds - U.S. Governments						809,252	809,252	770,587	788,107	0	21,145	0	21,145	0	809,252	0	0	0	23,454	XXX	XXX
085209-AC-8	BERMUDA BERMUDA (GOVERNMENT) 4.854% 02/06/24	C	09/22/2022	Call Redemption 100.5754 100.0000		3,017,262	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	181,489	02/06/2024	1.F FE
718286-BC-0	PHILIPPINE REPUBLIC OF 7.500% 09/25/24	D	09/26/2022			154,293	154,293	149,295	153,421	0	872	0	872	0	154,293	0	0	0	11,604	09/25/2024	2.B FE
0309999999. Subtotal - Bonds - All Other Governments						3,171,555	3,154,293	3,149,295	3,153,421	0	872	0	872	0	3,154,293	0	0	0	193,093	XXX	XXX
880541-QU-4	TENNESSEE ST 3.728% 08/01/24		09/01/2022	Suntrust Banks Inc		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	40,904	08/01/2024	1.A FE
0509999999. Subtotal - Bonds - U.S. States, Territories and Possessions						1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	40,904	XXX	XXX
14069B-AA-2	CAPMARK MILITARY HOUSING TRUST 2007-AETC A1 144A 5.746% 02/10/52		07/10/2022	Redemption 100.0000		1,000	1,000	1,003	1,002	0	(2)	0	(2)	0	1,000	0	0	0	34	02/10/2052	2.A
14069B-AA-2	CAPMARK MILITARY HOUSING TRUST 2007-AETC A1 144A 5.746% 02/10/52		09/10/2022	Redemption 100.0000		2,015	2,015	2,021	2,020	0	(5)	0	(5)	0	2,015	0	0	0	82	02/10/2052	2.C
312911-GX-2	FHLMC 1343 1343 LB 7.500% 08/15/22		08/01/2022	Paydown		33	33	33	33	0	0	0	0	0	33	0	0	0	1	08/15/2022	1.A
312911-SG-6	FHLMC 1349 1349 PS 7.500% 08/15/22		07/01/2022	Paydown		28	28	26	28	0	0	0	0	0	28	0	0	0	1	08/15/2022	1.A
312913-X5-0	FHLMC 1443 1443 I 7.500% 12/15/22		09/01/2022	Paydown		1,354	1,354	1,347	1,350	0	4	0	4	0	1,354	0	0	0	66	12/15/2022	1.A
31292G-PX-8	FREDDIE MAC GOLD POOL #C00438 7.000% 12/01/25		09/01/2022	Paydown		1,795	1,795	1,930	1,848	0	(53)	0	(53)	0	1,795	0	0	0	90	12/01/2025	1.A
31335G-PJ-8	FREDDIE MAC GOLD POOL #C80425 8.000% 08/01/26		09/01/2022	Paydown		2,046	2,046	2,056	2,047	0	(1)	0	(1)	0	2,046	0	0	0	120	08/01/2026	1.A
313375-QF-3	FHLMC 1735 1735 I 6.500% 06/15/24		09/01/2022	Paydown		6,281	6,281	5,022	6,128	0	153	0	153	0	6,281	0	0	0	271	06/15/2024	1.A
313376-YS-4	FHLMC 651 51 KB 6.750% 05/17/26		09/01/2022	Paydown		1,440	1,440	1,350	1,423	0	17	0	17	0	1,440	0	0	0	65	05/17/2026	1.A
313378-3C-9	FHLMC 1885 1885 E 7.500% 09/15/26		09/01/2022	Paydown		1,591	1,591	1,558	1,580	0	11	0	11	0	1,591	0	0	0	80	09/15/2026	1.A
31358Q-BR-5	FNMA 692-53 692-53 J 7.000% 09/25/22		07/01/2022	Paydown		55	55	55	55	0	0	0	0	0	55	0	0	0	2	09/25/2022	1.A
31358R-KL-6	FNMA 92-201 1992-201 D 7.000% 11/25/22		09/01/2022	Paydown		2,403	2,403	2,125	2,379	0	24	0	24	0	2,403	0	0	0	111	11/25/2022	1.A
31359B-OP-5	FNMA 93-113 1993-113 PK 6.500% 07/25/23		09/01/2022	Paydown		2,808	2,808	2,632	2,785	0	23	0	23	0	2,808	0	0	0	122	07/25/2023	1.A
313641-WA-9	FANNIE MAE FNMA 11-100 ZG 4.000% 10/25/41		09/01/2022	Paydown		20,312	20,312	20,195	20,224	0	88	0	88	0	20,312	0	0	0	542	10/25/2041	1.A
313642-5P-4	FANNIE MAE FNMA_11-141 FANNIE MAE FNMA_11-141 4.500% 01/25/42		09/01/2022	Paydown		232,911	232,911	238,727	235,054	0	(2,143)	0	(2,143)	0	232,911	0	0	0	7,475	01/25/2042	1.A
313643-5D-9	FANNIE MAE FNMA_12-28 FANNIE MAE FNMA_12-28 3.500% 03/25/42		09/01/2022	Paydown		23,001	23,001	21,973	22,521	0	481	0	481	0	23,001	0	0	0	537	03/25/2042	1.A
313644-GW-3	FANNIE MAE FNMA_12-20 FANNIE MAE FNMA_12-20 3.500% 03/25/42		09/01/2022	Paydown		418,040	418,040	398,876	408,775	0	9,265	0	9,265	0	418,040	0	0	0	9,885	03/25/2042	1.A
313644-JE-0	FANNIE MAE FNMA_12-20 FANNIE MAE FNMA_12-20 3.000% 02/25/32		09/01/2022	Paydown		24,229	24,229	24,645	24,476	0	(247)	0	(247)	0	24,229	0	0	0	482	02/25/2032	1.A
313644-SC-4	FANNIE MAE FNMA_12-16 FANNIE MAE FNMA_12-16 4.000% 03/25/42		09/01/2022	Paydown		191,748	191,748	192,826	191,804	0	(57)	0	(57)	0	191,748	0	0	0	4,525	03/25/2042	1.A

E05

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
3136A5-TC-0	FANNIE MAE FNMA_12-33 FANNIE MAE FNMA_12-33 3.500% 04/25/42		09/01/2022	Paydown		97,293	97,293	91,372	94,299	0	2,994	0	2,994	0	97,293	0	0	0	2,273	04/25/2042	1.A
3136A5-YT-7	FANNIE MAE FNMA_12-43 FANNIE MAE FNMA_12-43 3.500% 04/25/42		09/01/2022	Paydown		121,758	121,758	118,097	120,002	0	1,756	0	1,756	0	121,758	0	0	0	2,601	04/25/2042	1.A
3136A6-UZ-5	FANNIE MAE FNMA_12-63 FANNIE MAE FNMA_12-63 3.500% 06/25/42		09/01/2022	Paydown		24,610	24,610	23,328	23,992	0	618	0	618	0	24,610	0	0	0	575	06/25/2042	1.A
3136A7-VU-3	FANNIE MAE FNMA_12-80 FANNIE MAE FNMA_12-80K 3.000% 08/25/42		09/01/2022	Paydown		39,698	39,698	37,991	38,874	0	824	0	824	0	39,698	0	0	0	515	08/25/2042	1.A
3136AA-TD-7	FANNIE MAE FNMA_12-134 FANNIE MAE FNMA_12-134 3.000% 12/25/42		09/01/2022	Paydown		176,054	176,054	171,433	173,898	0	2,156	0	2,156	0	176,054	0	0	0	3,788	12/25/2042	1.A
3136AF-JS-4	FNMA_13-68 FANNIE MAE FNMA_13-68 3.500% 10/25/42		09/01/2022	Paydown		2,498	2,498	2,594	2,557	0	(59)	0	(59)	0	2,498	0	0	0	58	10/25/2042	1.A
3136AH-UP-3	FANNIE MAE FNMA_13-138 3.000% 08/25/33 FNR_14-79 FANNIE MAE FNR_14-79 3.000%		09/01/2022	Paydown		130,808	130,808	131,462	131,051	0	(243)	0	(243)	0	130,808	0	0	0	2,726	08/25/2033	1.A
3136AL-H3-8	09/25/44		09/01/2022	Paydown		37,095	37,095	36,556	36,829	0	266	0	266	0	37,095	0	0	0	736	09/25/2044	1.A
3137A3-C5-6	FREDDIE MAC FHLIC 3758 4.000% 11/15/40		09/01/2022	Paydown		240,737	240,737	216,663	231,324	0	9,413	0	9,413	0	240,737	0	0	0	5,825	11/15/2040	1.A
3137A5-EH-3	FREDDIE MAC FHLIC 3739 4.000% 01/15/41		09/01/2022	Paydown		9,004	9,004	8,121	8,621	0	383	0	383	0	9,004	0	0	0	242	01/15/2041	1.A
3137A7-Z3-7	FREDDIE MAC FHLIC 3827 4.000% 03/15/41		09/01/2022	Paydown		49,415	49,415	40,652	45,654	0	3,761	0	3,761	0	49,415	0	0	0	1,318	03/15/2041	1.A
3137A8-QC-5	FREDDIE MAC FHLIC 3841 4.000% 04/15/41		09/01/2022	Paydown		86,215	86,215	78,123	82,367	0	3,848	0	3,848	0	86,215	0	0	0	2,254	04/15/2041	1.A
3137A9-OP-4	FREDDIE MAC FHLIC 3842 4.000% 04/15/41		09/01/2022	Paydown		59,919	59,919	62,165	61,257	0	(1,338)	0	(1,338)	0	59,919	0	0	0	1,578	04/15/2041	1.A
3137A9-ZN-9	FREDDIE MAC FHLIC 3838 4.000% 04/15/41		09/01/2022	Paydown		59,534	59,534	59,534	59,534	0	2,795	0	2,795	0	59,534	0	0	0	1,610	04/15/2041	1.A
3137AC-6X-2	FREDDIE MAC FHLIC 3875 4.000% 06/15/41		09/01/2022	Paydown		514,918	514,918	482,674	498,983	0	15,934	0	15,934	0	514,918	0	0	0	13,691	06/15/2041	1.A
3137AL-HU-6	FREDDIE MAC FHLIC 3998 FREDDIE MAC FHLIC 3998 4.000% 02/15/42		09/01/2022	Paydown		336,259	336,259	349,604	341,707	0	(5,448)	0	(5,448)	0	336,259	0	0	0	9,015	02/15/2042	1.A
3137AN-BF-1	FREDDIE MAC FHLIC 4016 FREDDIE MAC FHLIC 4016 3.500% 03/15/42		09/01/2022	Paydown		166,440	166,440	155,517	161,528	0	4,912	0	4,912	0	166,440	0	0	0	3,567	03/15/2042	1.A
3137AQ-PA-0	FREDDIE MAC FHLIC 12-4039 FREDDIE MAC FHLIC 12-4039 3.000% 05/15/42		09/01/2022	Paydown		409,675	409,675	417,740	412,698	0	(3,023)	0	(3,023)	0	409,675	0	0	0	8,031	05/15/2042	1.A
3137AR-H3-3	FREDDIE MAC FHLIC 4057 FREDDIE MAC FHLIC 4057 3.500% 06/15/42		09/01/2022	Paydown		9,704	9,704	9,777	9,722	0	(17)	0	(17)	0	9,704	0	0	0	228	06/15/2042	1.A
31392B-6U-5	FNGT 02-T4 2002-T4 A2 7.000% 12/25/41		09/01/2022	Paydown		10,781	10,781	11,060	10,885	0	(104)	0	(104)	0	10,781	0	0	0	520	12/25/2041	1.A
31392G-FQ-3	FNGT 02-T18 2002-T18 A3 7.000% 08/25/42		09/01/2022	Paydown		7,166	7,166	7,648	7,395	0	(229)	0	(229)	0	7,166	0	0	0	338	08/25/2042	1.A
31392J-YQ-6	FNI 03-W3 WHOLE CMO 03-3 5.356% 06/25/42		09/01/2022	Paydown		19,710	19,710	20,797	20,297	0	(587)	0	(587)	0	19,710	0	0	0	703	06/25/2042	1.A
31393B-U5-2	FNI 03-6 2003-W6 2A4 5.204% 09/25/42		09/01/2022	Paydown		29,268	29,268	28,962	29,078	0	191	0	191	0	29,268	0	0	0	1,015	09/25/2042	1.A
31393C-FD-0	FANNIE MAE FNMA 03-34 2.275% 01/25/48		09/01/2022	Paydown		15,628	15,628	15,237	15,245	0	383	0	383	0	15,628	0	0	0	231	01/25/2048	1.A
31393E-LF-4	FNI 03-12 2003-W12 1A8 4.550% 06/25/43		09/01/2022	Paydown		3,613	3,613	3,463	3,539	0	74	0	74	0	3,613	0	0	0	107	06/25/2043	1.A
31393N-TE-9	FHLIC T-55 T-55 1A1C 6.500% 03/25/43 FREDDIE MAC FHLIC 7 T-56 A5 5.231% 05/25/43		09/01/2022	Paydown		74,095	74,095	76,317	75,821	0	(1,726)	0	(1,726)	0	74,095	0	0	0	3,323	03/25/2043	1.A
31393R-GG-9	09/01/2022		09/01/2022	Paydown		5,338	5,338	5,338	5,331	0	7	0	7	0	5,338	0	0	0	189	05/25/2043	1.A
31393W-K4-0	FREDDIE MAC 2643 OH 5.000% 07/15/33		09/01/2022	Paydown		55,012	55,012	50,941	52,621	0	2,392	0	2,392	0	55,012	0	0	0	1,900	07/15/2033	1.A
31394A-YY-6	FNMA 04-68 2004-68 CB 4.500% 09/25/24		09/01/2022	Paydown		30,904	30,904	28,799	30,561	0	343	0	343	0	30,904	0	0	0	917	09/25/2024	1.A
31394D-JJ-0	FANNIE MAE 2005 29 QE 5.000% 04/25/35		09/01/2022	Paydown		54,708	54,708	50,613	52,333	0	2,375	0	2,375	0	54,708	0	0	0	1,794	04/25/2035	1.A
31394D-QL-7	FNMA 05-40 2005-40 ZII 5.000% 05/25/35		09/01/2022	Paydown		7,223	7,223	6,566	6,884	0	339	0	339	0	7,223	0	0	0	240	05/25/2035	1.A
31394J-MS-3	FHLIC 2676 2676 KY 5.000% 09/15/23		09/01/2022	Paydown		40,617	40,617	39,607	40,458	0	159	0	159	0	40,617	0	0	0	1,349	09/15/2023	1.A
31394N-UA-4	FHLIC 2733 FHLIC 2733 5.000% 01/15/34		09/01/2022	Paydown		19,360	19,360	19,614	19,455	0	(95)	0	(95)	0	19,360	0	0	0	621	01/15/2034	1.A
31394P-DZ-3	FHLIC 2738 FHLIC 2738 5.000% 01/15/34		09/01/2022	Paydown		2,575	2,575	2,633	2,600	0	(25)	0	(25)	0	2,575	0	0	0	86	01/15/2034	1.A
31394U-W7-4	FANNIE MAE FNMA 05-106 4.500% 12/25/35		09/01/2022	Paydown		7,184	7,184	7,021	7,086	0	97	0	97	0	7,184	0	0	0	215	12/25/2035	1.A
31394V-ZM-5	FANNIE MAE FNMA 06-4 6.000% 02/25/26		09/01/2022	Paydown		72,523	72,523	72,953	72,399	0	123	0	123	0	72,523	0	0	0	2,809	02/25/2026	1.A
31395H-ER-7	FHLIC 2875 5.000% 10/15/34		09/01/2022	Paydown		26,746	26,746	27,405	27,034	0	(288)	0	(288)	0	26,746	0	0	0	894	10/15/2034	1.A
31395H-NI-0	FHR 2893 2893 PE 5.000% 11/15/34		09/01/2022	Paydown		32,348	32,348	31,214	31,544	0	804	0	804	0	32,348	0	0	0	1,047	11/15/2034	1.A
31396F-G4-9	FHLIC 3068 4.500% 11/15/35		09/01/2022	Paydown		10,283	10,283	9,681	9,924	0	359	0	359	0	10,283	0	0	0	313	11/15/2035	1.A
31396G-SP-7	FREDDIE MAC FHLIC 3098 5.000% 01/15/36		09/01/2022	Paydown		28,146	28,146	27,319	27,603	0	543	0	543	0	28,146	0	0	0	935	01/15/2036	1.A
31396K-RX-2	FANNIE MAE FNMA 06-75 5.000% 08/25/36		09/01/2022	Paydown		5,459	5,459	5,287	5,341	0	118	0	118	0	5,459	0	0	0	180	08/25/2036	1.A
31396N-GS-9	FHLIC 3136 3.118% 04/15/36		09/15/2022	Paydown		22,333	22,333	22,368	22,356	0	(23)	0	(23)	0	22,333	0	0	0	150	04/15/2036	1.A

E05.1

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
31396Q-NB-1	FANNIE MAE FNMA 09-55 5.000% 07/25/39		09/01/2022	Paydown		16,337	16,337	15,969	16,157	0	180	0	180	0	16,337	0	0	0	538	07/25/2039	1.A
31396Q-PZ-6	FANNIE MAE FNMA 09-74 5.000% 09/25/39		09/01/2022	Paydown		20,806	20,806	21,073	20,898	0	(92)	0	(92)	0	20,806	0	0	0	693	09/25/2039	1.A
31396X-3J-1	FANNIE MAE FNMA 07-109 4.500% 12/25/37		09/01/2022	Paydown		1,961	1,961	2,005	1,961	0	(44)	0	(44)	0	1,961	0	0	0	59	12/25/2037	1.A
31397C-NS-4	FHLMC 3245 3.118% 11/15/36		09/15/2022	Paydown		6,266	6,266	6,272	6,270	0	(4)	0	(4)	0	6,266	0	0	0	40	11/15/2036	1.A
31397Q-3D-8	FANNIE MAE FNMA 10-149 4.000% 01/25/41		09/01/2022	Paydown		338,052	338,052	276,989	309,853	0	28,200	0	28,200	0	338,052	0	0	0	10,033	01/25/2041	1.A
31397Q-6Z-6	FANNIE MAE FNMA 11-23 FANNIE MAE FNMA 11-23 4.000% 03/25/41		09/01/2022	Paydown		316,070	316,070	305,971	311,274	0	4,796	0	4,796	0	316,070	0	0	0	8,323	03/25/2041	1.A
31397Q-DT-2	FANNIE MAE FNMA 10-150 4.000% 01/25/41		09/01/2022	Paydown		415,818	415,818	348,010	386,814	0	29,004	0	29,004	0	415,818	0	0	0	10,549	01/25/2041	1.A
31397Q-JX-7	FANNIE MAE FNMA 11-8 4.000% 02/25/41		09/01/2022	Paydown		195,759	195,759	170,188	185,324	0	10,434	0	10,434	0	195,759	0	0	0	5,360	02/25/2041	1.A
31397Q-PL-6	FANNIE MAE FNMA 11-12 4.000% 02/25/41		09/01/2022	Paydown		69,192	69,192	60,415	64,790	0	4,403	0	4,403	0	69,192	0	0	0	1,777	02/25/2041	1.A
31397Q-TJ-7	FANNIE MAE FNMA 11-1 4.000% 02/25/41		09/01/2022	Paydown		16,384	16,384	13,719	15,195	0	1,189	0	1,189	0	16,384	0	0	0	432	02/25/2041	1.A
31397Q-TU-2	FANNIE MAE FNMA 11-1 4.000% 02/25/41		09/01/2022	Paydown		54,054	54,054	49,186	51,791	0	2,263	0	2,263	0	54,054	0	0	0	1,425	02/25/2041	1.A
31397Q-VR-6	FANNIE MAE FNMA 11-21 4.500% 03/25/41		07/01/2022	Paydown		402,945	402,945	371,534	386,815	0	16,130	0	16,130	0	402,945	0	0	0	10,577	03/25/2041	1.A
31397Q-Z5-0	FANNIE MAE FNMA 10-147 4.000% 01/25/41		09/01/2022	Paydown		31,808	31,808	26,204	29,201	0	2,607	0	2,607	0	31,808	0	0	0	846	01/25/2041	1.A
31397S-5C-4	FANNIE MAE FNMA 11-43 4.000% 05/25/41		09/01/2022	Paydown		108,991	108,991	94,331	102,686	0	6,306	0	6,306	0	108,991	0	0	0	2,673	05/25/2041	1.A
31397S-CP-7	FANNIE MAE FNMA 11-22 4.500% 03/25/41		09/01/2022	Paydown		92,636	92,636	83,262	88,035	0	4,601	0	4,601	0	92,636	0	0	0	2,779	03/25/2041	1.A
31397T-2F-8	FREDDIE MAC FHLMC 3438 AGENCY CMO 5.000% 04/15/38		09/01/2022	Paydown		3,152	3,152	3,108	3,127	0	25	0	25	0	3,152	0	0	0	107	04/15/2038	1.A
31397U-HJ-1	FANNIE MAE FNMA 11-49 4.000% 10/25/40		09/01/2022	Paydown		313,746	313,746	288,830	302,505	0	11,241	0	11,241	0	313,746	0	0	0	8,380	10/25/2040	1.A
31397U-K6-5	FANNIE MAE FNMA 11-65 4.000% 07/25/41		09/01/2022	Paydown		39,561	39,561	37,000	38,241	0	1,319	0	1,319	0	39,561	0	0	0	960	07/25/2041	1.A
31397U-PZ-6	FANNIE MAE FNMA 11-56 5.000% 09/25/40		09/01/2022	Paydown		71,746	71,746	71,084	71,264	0	483	0	483	0	71,746	0	0	0	2,401	09/25/2040	1.A
31398E-QH-0	FREDDIE MAC FHLMC 3552 FHLMC_3552 5.000% 07/15/39		09/01/2022	Paydown		21,116	21,116	21,294	21,185	0	(69)	0	(69)	0	21,116	0	0	0	703	07/15/2039	1.A
31398G-GP-8	FANNIE MAE FNMA 09-98 4.500% 12/25/39		09/01/2022	Paydown		99,162	99,162	91,667	96,000	0	3,162	0	3,162	0	99,162	0	0	0	2,917	12/25/2039	1.A
31398G-PX-1	FANNIE MAE FNMA 09-108 5.000% 01/25/40		09/01/2022	Paydown		174,120	174,120	167,593	170,402	0	3,718	0	3,718	0	174,120	0	0	0	6,406	01/25/2040	1.A
31398G-UA-5	FANNIE MAE FNMA 09-109 4.500% 01/25/40		09/01/2022	Paydown		404,839	404,839	365,636	385,754	0	19,085	0	19,085	0	404,839	0	0	0	12,029	01/25/2040	1.A
31398K-YD-6	FREDDIE MAC FHLMC 3598 5.000% 11/15/39		09/01/2022	Paydown		65,081	65,081	65,521	65,245	0	(163)	0	(163)	0	65,081	0	0	0	1,898	11/15/2039	1.A
31398L-PM-4	FREDDIE MAC FHLMC 3606 5.000% 04/15/36		09/01/2022	Paydown		10,173	10,173	10,043	10,090	0	83	0	83	0	10,173	0	0	0	333	04/15/2036	1.A
31398M-F4-3	FANNIE MAE FNMA 10-28 5.000% 04/25/40		09/01/2022	Paydown		365,347	365,347	364,662	364,333	0	1,014	0	1,014	0	365,347	0	0	0	12,691	04/25/2040	1.A
31398M-JX-5	FANNIE MAE FNMA 10-18 4.500% 03/25/40		09/01/2022	Paydown		480,019	480,019	446,069	463,599	0	16,420	0	16,420	0	480,019	0	0	0	14,374	03/25/2040	1.A
31398M-LM-6	FANNIE MAE FNMA 10-24 5.000% 03/25/40		09/01/2022	Paydown		194,971	194,971	195,126	194,680	0	292	0	292	0	194,971	0	0	0	6,546	03/25/2040	1.A
31398M-U3-8	FANNIE MAE FNMA 10-29 4.500% 04/25/40		09/01/2022	Paydown		281,612	281,612	270,700	276,498	0	5,114	0	5,114	0	281,612	0	0	0	8,328	04/25/2040	1.A
31398M-UA-2	FANNIE MAE FNMA 10-21 4.500% 03/25/40		09/01/2022	Paydown		169,177	169,177	156,716	163,171	0	6,006	0	6,006	0	169,177	0	0	0	5,075	03/25/2040	1.A
31398P-CF-4	FANNIE MAE FNMA 10-33 4.500% 04/25/40		09/01/2022	Paydown		58,669	58,669	55,955	57,519	0	1,150	0	1,150	0	58,669	0	0	0	1,771	04/25/2040	1.A
31398P-G2-9	FANNIE MAE FNMA 10-48 4.500% 05/25/40		09/01/2022	Paydown		57,533	57,533	55,699	56,743	0	790	0	790	0	57,533	0	0	0	1,741	05/25/2040	1.A
31398P-HA-0	FANNIE MAE FNMA 10-35 4.500% 04/25/40		09/01/2022	Paydown		310,726	310,726	285,125	297,979	0	12,747	0	12,747	0	310,726	0	0	0	9,045	04/25/2040	1.A
31398P-JB-6	FANNIE MAE FNMA 10-35 4.500% 04/25/40		09/01/2022	Paydown		118,488	118,488	109,218	113,751	0	4,737	0	4,737	0	118,488	0	0	0	3,482	04/25/2040	1.A
31398P-V4-8	FANNIE MAE FNMA 10-39 4.500% 05/25/40		09/01/2022	Paydown		68,903	68,903	65,242	67,022	0	1,881	0	1,881	0	68,903	0	0	0	1,981	05/25/2040	1.A
31398P-W9-6	FANNIE MAE FNMA 10-39 4.500% 05/25/40		09/01/2022	Paydown		5,114	5,114	4,841	4,980	0	134	0	134	0	5,114	0	0	0	161	05/25/2040	1.A
31398P-WT-2	FNMA 10-44 4.500% 05/25/40		09/01/2022	Paydown		54,385	54,385	51,980	53,029	0	1,356	0	1,356	0	54,385	0	0	0	1,886	05/25/2040	1.A
31398R-T0-8	FANNIE MAE FNMA 10-54 4.500% 06/25/40		09/01/2022	Paydown		19,199	19,199	18,575	18,955	0	244	0	244	0	19,199	0	0	0	577	06/25/2040	1.A
31398S-AM-2	FANNIE MAE FNMA 10-156 4.000% 01/25/41		09/01/2022	Paydown		43,451	43,451	36,060	39,874	0	3,577	0	3,577	0	43,451	0	0	0	1,197	01/25/2041	1.A
31398S-5H-2	FANNIE MAE FNMA 10-148 FNMA_10-148 4.000% 01/25/41		09/01/2022	Paydown		1,462	1,462	1,421	1,441	0	21	0	21	0	1,462	0	0	0	39	01/25/2041	1.A
31398S-7A-5	FANNIE MAE FNMA 11-7 4.500% 02/25/41		09/01/2022	Paydown		26,436	26,436	24,899	25,881	0	555	0	555	0	26,436	0	0	0	828	02/25/2041	1.A
31398S-LT-8	FANNIE MAE FNMA 10-134 4.000% 12/25/40		09/01/2022	Paydown		140,738	140,738	138,545	139,848	0	890	0	890	0	140,738	0	0	0	3,857	12/25/2040	1.A
31398S-SD-6	FANNIE MAE FNMA 10-136 4.000% 12/25/40		09/01/2022	Paydown		152,766	152,766	149,181	151,071	0	1,695	0	1,695	0	152,766	0	0	0	4,077	12/25/2040	1.A
31398S-XH-1	FANNIE MAE FNMA 10-141 4.000% 12/25/40		09/01/2022	Paydown		72,293	72,293	65,334	69,457	0	2,836	0	2,836	0	72,293	0	0	0	1,927	12/25/2040	1.A
31398W-SJ-9	FREDDIE MAC FHLMC 3626 5.000% 01/15/40		09/01/2022	Paydown		410,781	410,781	413,348	411,597	0	(816)	0	(816)	0	410,781	0	0	0	13,481	01/15/2040	1.A
31398W-HJ-1	FREDDIE MAC FHLMC 3635 5.000% 02/15/40		09/01/2022	Paydown		145,672	145,672	146,258	145,807	0	(134)	0	(134)	0	145,672	0	0	0	4,902	02/15/2040	1.A
31398W-PF-5	FREDDIE MAC FHLMC 3633 4.500% 02/15/40		09/01/2022	Paydown		119,283															

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..590545-TJ-8	MESA ARIZ UTIL SYS REV MESA ARIZ UTIL SYS REV 5.048% 07/01/35		07/01/2022	Call	100.0000	20,000	20,000	20,000	20,000	0	0	0	0	0	20,000	0	0	0	1,010	07/01/2035	1.D FE
..875301-FG-3	TAMPA-HILLSBOROUGH CNTY FLA EX 3.590% 07/01/24		07/20/2022	Call	100.0000	1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	37,795	07/01/2024	1.F FE
..875301-FJ-7	TAMPA-HILLSBOROUGH CNTY FLA EX 3.840% 07/01/26		07/20/2022	Call	100.0000	3,200,000	3,200,000	3,200,000	3,200,000	0	0	0	0	0	3,200,000	0	0	0	129,365	07/01/2026	1.F FE
0909999999 Subtotal - Bonds - U.S. Special Revenues						15,069,414	15,069,414	14,506,255	14,803,132	0	266,288	0	266,288	0	15,069,414	0	0	0	472,950	XXX	XXX
..001910-AA-5	AMAZON CTL AMAZON CTL - OKLAHOMA CITY 4.233% 09/30/39		09/30/2022	Redemption	100.0000	9,525	9,525	9,525	9,525	0	0	0	0	0	9,525	0	0	0	269	09/30/2039	1.E
..00193-AA-5	AMAZON CTL AMAZON CTL - TULSA 4.233% 09/30/39		09/30/2022	Redemption	100.0000	9,959	9,959	9,959	9,959	0	0	0	0	0	9,959	0	0	0	281	09/30/2039	1.E
..02377L-AA-2	AMERICAN AIRLINES 2019-1 CLASS AMERICAN AIRLINES 2019-1 CLASS 3.150% 02/15/32		08/15/2022	Redemption	100.0000	217,149	217,149	217,149	217,149	0	0	0	0	0	217,149	0	0	0	6,840	02/15/2032	2.A FE
..04685A-2E-0	ATHENE GLOBAL FUNDING Series 144A 3.000% 07/01/22		07/01/2022	Maturity	100.0000	5,000,000	5,000,000	4,986,200	4,998,533	0	1,467	0	1,467	0	5,000,000	0	0	0	149,167	07/01/2022	1.E FE
..05377R-CS-1	AVIS BUDGET RENTAL CAR FUNDING AVIS BUDGET RENTAL CAR FUNDING 4.150% 09/20/23		09/20/2022	Paydown	100.0000	5,000,000	5,000,000	4,998,370	4,999,648	0	352	0	352	0	5,000,000	0	0	0	172,917	09/20/2023	2.B FE
..05606X-AA-2	BXG RECEIVABLES NOTE TRUST BXG Series 144A 2.880% 05/02/30		09/02/2022	Paydown	100.0000	65,619	65,619	65,613	65,615	0	4	0	4	0	65,619	0	0	0	1,254	05/02/2030	1.F FE
..05607U-AA-7	BXG RECEIVABLES NOTE TRUST BXG Series 144A 3.770% 02/02/34		09/02/2022	Paydown	100.0000	169,439	169,439	169,397	169,408	0	31	0	31	0	169,439	0	0	0	4,255	02/02/2034	1.A FE
..05607U-AB-5	BXG RECEIVABLES NOTE TRUST BXG Series 144A 3.950% 02/02/34		09/02/2022	Paydown	100.0000	162,653	162,653	162,626	162,632	0	20	0	20	0	162,653	0	0	0	4,280	02/02/2034	1.F FE
..05607U-AC-3	BXG RECEIVABLES NOTE TRUST BXG Series 144A 4.440% 02/02/34		09/02/2022	Paydown	100.0000	152,452	152,452	152,421	152,428	0	23	0	23	0	152,452	0	0	0	4,509	02/02/2034	2.C FE
..08879*-AA-9	BFC HON FEDERAL RECEIVABLES TR BFC HON FEDERAL RECEIVABLES TR 6.139% 12/01/25		09/01/2022	Redemption	100.0000	148,382	148,382	148,382	148,382	0	0	0	0	0	148,382	0	0	0	6,075	12/01/2025	1.D
..12554C-CB-2	CIM TRUST CIM_18-J1 Series 144A 3.678% 03/25/48		09/01/2022	Paydown	100.0000	29,557	29,557	28,572	28,967	0	590	0	590	0	29,557	0	0	0	726	03/25/2048	1.A
..12624K-AG-1	COMMERCIAL MORTGAGE PASS-THRU COMMERCIAL MORTGAGE PASS-THRU 4.393% 08/15/45		09/01/2022	Paydown	100.0000	405,549	405,549	415,661	405,613	0	(65)	0	(65)	0	405,549	0	0	0	12,008	08/15/2045	1.A FM
..126650-AY-6	CVS PASS-THROUGH TRUST 5.361% 10/10/27		09/10/2022	Various	100.0000	129,399	129,399	129,399	129,399	0	0	0	0	0	129,399	0	0	0	4,540	10/10/2027	2.B
..12702*-AA-4	CVS HEALTH CORP 3.901% 10/10/39		09/10/2022	Redemption	100.0000	59,235	59,235	59,235	59,235	0	0	0	0	0	59,235	0	0	0	1,541	10/10/2039	2.B
..127097-AB-9	COTERRA ENERGY INC Series 144A 4.375% 06/01/24		07/14/2022	BARCLAYS CAPITAL INC	100.0000	993,280	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	(6,721)	(6,721)	27,587	06/01/2024	2.B FE
..16411Q-AL-5	CHENIERE ENERGY PARTNERS LP Series 144A 3.250% 01/31/32		07/07/2022	Tax Free Exchange	100.0000	1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	25,368	01/31/2032	3.A FE
..17323E-AM-5	CMLTI_14-J2 CITIGROUP MORTGAGE LOAN TRUST 3.791% 11/25/44		09/01/2022	Paydown	100.0000	39,833	39,833	40,144	39,848	0	(15)	0	(15)	0	39,833	0	0	0	1,013	11/25/2044	1.A
..178180-GD-1	CITY NTL BK CITY NATIONAL BANK 5.375% 07/15/22		07/15/2022	Maturity	100.0000	1,000,000	1,000,000	1,085,660	1,006,625	0	(6,625)	0	(6,625)	0	1,000,000	0	0	0	53,750	07/15/2022	1.F FE
..212168-AA-6	CONTINENTAL WIND LLC SERIES 144A 6.000% 02/28/33		08/31/2022	Redemption	100.0000	135,813	135,813	146,989	143,102	0	(7,290)	0	(7,290)	0	135,813	0	0	0	8,149	02/28/2033	2.B FE
..233046-AS-0	DB MASTER FINANCE LLC DNKN_21- Series 144A 2.791% 11/20/51		08/22/2022	Paydown	100.0000	12,500	12,500	12,500	12,500	0	0	0	0	0	12,500	0	0	0	290	11/20/2051	2.B FE
..25755T-AE-0	DPABS 15-1A DOMINOS PIZZA MASTER ISSUER LL 4.474% 10/25/45		07/25/2022	Paydown	100.0000	7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	252	10/25/2045	2.A FE
..25755T-AH-3	DOMINOS PIZZA MASTER ISSUER LL Series 144A 4.118% 07/25/47		07/25/2022	Paydown	100.0000	19,500	19,500	19,494	19,496	0	4	0	4	0	19,500	0	0	0	602	07/25/2047	2.A FE
..25755T-AK-6	DOMINOS PIZZA MASTER ISSUER LL Series 144A 4.328% 07/25/48		07/25/2022	Paydown	100.0000	10,000	10,000	10,000	10,000	0	0	0	0	0	10,000	0	0	0	325	07/25/2048	2.A FE
..26208L-AC-2	DRIVEN BRANDS FUNDING LLC HONK Series 144A 4.739% 04/20/48		07/20/2022	Paydown	100.0000	7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	267	04/20/2048	2.C FE

E05.3

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..30288*-AB-1	FEDEX CORP FEDEX CORP 4.060% 12/31/32		08/31/2022	Redemption 100.0000		8,771	8,771	8,771	8,771	0	0	0	0	0	8,771	0	0	0	223	12/31/2032	2.B
..30288*-AA-8	FLNG LIQUEFACTION 2 LLC 4.540% 03/31/38		09/30/2022	Redemption 100.0000		211,000	211,000	211,000	211,000	0	0	0	0	0	211,000	0	0	0	9,579	03/31/2038	2.B FE
..30288*-AB-6	FREEPORT LNG INVESTMENTSLLP 4.790% 03/31/38		09/30/2022	Redemption 100.0000		274,300	274,300	274,300	274,300	0	0	0	0	0	274,300	0	0	0	13,139	03/31/2038	2.B FE
..33632*-UQ-8	WELLS FARGO BK NORTHWEST 7.280% 01/10/24		09/10/2022	Redemption 100.0000		142,342	142,342	142,342	142,342	0	0	0	0	0	142,342	0	0	0	6,912	01/10/2024	2.B
..33767C-AU-1	FIRSTKEY MORTGAGE TRUST SERIES 15-1 CLASS B1 3.843% 03/25/45		09/01/2022	Paydown		136,235	136,235	138,289	137,404	0	(1,169)	0	(1,169)	0	136,235	0	0	0	3,297	03/25/2045	1.A
..33849N-AN-5	FLAGSTAR MORTGAGE TRUST FSMT_1 Series 144A 4.536% 09/25/48		09/01/2022	Paydown		16,803	16,803	17,227	17,015	0	(212)	0	(212)	0	16,803	0	0	0	503	09/25/2048	1.A
..34107B-AA-7	FLORIDA PIPELINE HOLDINGS LLC 2.920%		08/15/2022	Redemption 100.0000		335,163	335,163	335,163	335,163	0	0	0	0	0	335,163	0	0	0	10,602	08/15/2038	2.B PL
..38608B-AF-5	DIAGEO INVESTMENT CORP 8.000% 09/15/22		09/15/2022	Various		10,748,000	10,748,000	13,002,270	10,896,559	0	(148,559)	0	(148,559)	0	10,748,000	0	0	0	859,840	09/15/2022	1.G FE
..40438D-AE-9	HPEFS EQUIPMENT TRUST HPEFS_19 Series 144A 2.490% 09/20/29		08/20/2022	Paydown		1,017,164	1,017,164	1,017,006	1,017,112	0	52	0	52	0	1,017,164	0	0	0	15,632	09/20/2029	1.A FE
..40438D-AF-6	HPEFS EQUIPMENT TRUST HPEFS_19 Series 144A 2.720% 09/20/29		09/20/2022	Paydown		3,250,000	3,250,000	3,249,499	3,249,781	0	219	0	219	0	3,250,000	0	0	0	65,884	09/20/2029	1.A FE
..40480*-AA-3	HS WILDCAT LLC 3.830% 12/31/50		07/25/2022	Redemption 100.0000		1,933	1,933	1,933	1,933	0	0	0	0	0	1,933	0	0	0	57	12/31/2050	2.A PL
..40480*-AB-1	HS WILDCAT LLC 4.390% 12/31/60		07/25/2022	Redemption 100.0000		340	340	340	340	0	0	0	0	0	340	0	0	0	11	12/31/2060	2.A PL
..40621B-BD-2	HALLIBURTON COMPANY HALLIBURTON COMPANY 3.500% 08/01/23		09/26/2022	Call 100.0000		500,000	500,000	499,546	499,788	0	97	0	97	0	499,885	0	115	115	20,125	08/01/2023	2.A FE
..421946-AM-6	HEALTHCARE REALTY TRUST INC 2.050% 03/15/31		07/22/2022	Various		6,244,437	6,300,000	6,234,417	6,241,512	0	2,926	0	2,926	0	6,244,437	0	0	0	103,111	03/15/2031	2.B FE
..42211#-AA-1	HOME DEPOT USA INC HOME DEPOT USA INC 3.370% 01/15/33		09/15/2022	Redemption 100.0000		120,169	120,169	120,169	120,169	0	0	0	0	0	120,169	0	0	0	2,700	01/15/2033	1.F
..43284B-AB-8	HILTON GRAND VACATIONS TRUST H Series 144A 3.700% 02/25/32		09/25/2022	Paydown		125,202	125,202	125,172	125,181	0	21	0	21	0	125,202	0	0	0	3,077	02/25/2032	1.F FE
..43284B-AC-6	HILTON GRAND VACATIONS TRUST H Series 144A 4.000% 02/25/32		09/25/2022	Paydown		117,377	117,377	117,365	117,367	0	10	0	10	0	117,377	0	0	0	3,119	02/25/2032	2.B FE
..43284H-AC-3	HGV1_19-AA Series 144A 2.840% 07/25/33		09/25/2022	Paydown		236,429	236,429	236,428	236,425	0	4	0	4	0	236,429	0	0	0	4,444	07/25/2033	2.B FE
..45256H-AF-5	IF 01-A 4.996% 07/25/33		09/23/2022	Paydown		14,259	15,704	13,838	14,337	0	1,241	0	1,241	0	15,578	0	(1,319)	(1,319)	158	07/25/2033	6.*
..46592W-BY-1	JP MORGAN MORTGAGE TRUST JPMIT Series 144A 3.171% 02/25/52		09/01/2022	Paydown		7,835	7,835	8,135	8,121	0	(286)	0	(286)	0	7,835	0	0	0	166	02/25/2052	1.D FE
..466365-AC-7	JACK IN THE BOX FUNDING LLC JA Series 144A 4.970% 08/25/49		08/25/2022	Paydown		35,000	35,000	35,000	35,000	0	0	0	0	0	35,000	0	0	0	1,305	08/25/2049	2.B FE
..466365-AE-3	JACK IN THE BOX FUNDING LLC JA Series 144A 4.136% 02/26/52		08/25/2022	Paydown		15,000	15,000	15,000	0	0	0	0	0	15,000	0	0	0	0	334	02/26/2052	2.B FE
..46645G-AG-3	JP MORGAN MORTGAGE TRUST JPMIT_15-6 3.500% 10/25/45		09/01/2022	Paydown		103,002	103,002	100,669	102,125	0	877	0	877	0	103,002	0	0	0	2,559	10/25/2045	1.A
..46647S-CS-7	JP MORGAN MORTGAGE TRUST JPMIT Series 144A 3.774% 08/25/47		09/01/2022	Paydown		93,594	93,594	94,472	94,085	0	(491)	0	(491)	0	93,594	0	0	0	2,394	08/25/2047	1.A
..46648C-AW-4	JP MORGAN MORTGAGE TRUST JPMIT JP MORGAN MORTGAGE TRUST JPMIT 3.450% 01/25/47		09/01/2022	Paydown		72,055	72,055	70,220	70,996	0	1,059	0	1,059	0	72,055	0	0	0	1,621	01/25/2047	1.A
..46648H-AZ-6	JP MORGAN MORTGAGE TRUST JPMIT Series 144A 3.652% 05/25/47		09/01/2022	Paydown		21,140	21,140	21,239	21,182	0	(42)	0	(42)	0	21,140	0	0	0	515	05/25/2047	1.A
..46649C-BE-2	JP MORGAN MORTGAGE TRUST JPMIT Series 144A 3.715% 10/25/48		09/01/2022	Paydown		34,131	34,131	32,904	33,291	0	840	0	840	0	34,131	0	0	0	847	10/25/2048	1.A
..46649T-AZ-9	JP MORGAN MORTGAGE TRUST JPMIT Series 144A 3.715% 09/25/48		09/01/2022	Paydown		30,947	30,947	30,105	30,361	0	586	0	586	0	30,947	0	0	0	768	09/25/2048	1.A

E05.4

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
477600-AB-9	JIMMY JOHNS FUNDING LLC JIMMY_Series 144A 4.846% 07/30/47		07/30/2022	Paydown		12,500	12,500	12,500	12,500	0	0	0	0	0	12,500	0	0	0	454	07/30/2047	2.B FE
480200-AA-5	JONES LANG LASALLE INC JONES LANG LASALLE INCORPORATE 4.400% 11/15/22		09/30/2022	Call 100.0000		5,000,000	5,000,000	5,044,650	5,003,229	0	(3,364)	0	(3,364)	0	4,999,864	0	136	136	192,500	11/15/2022	2.A FE
514666-AJ-5	LAND OLAKES, INC. LAND OLAKES INC 6.000% 11/15/22		08/15/2022	Various		8,500,000	8,500,000	8,825,000	8,529,962	0	(29,962)	0	(29,962)	0	8,500,000	0	0	0	382,500	11/15/2022	2.C FE
52204*-AA-3	WALGREEN CO 5.540% 04/15/36		09/15/2022	Various		206,618	206,618	206,618	206,618	0	0	0	0	0	206,618	0	0	0	7,634	04/15/2036	2.B
52465*-BQ-7	LOWES CTL 5.182% 03/10/26		09/10/2022	Various		51,772	51,772	51,772	51,340	0	432	0	432	0	51,772	0	0	0	1,796	03/10/2026	2.A
527298-BM-4	LEVEL 3 FINANCING INC 5.250% 03/15/26		08/01/2022	CITIGROUP GLOBAL MARKETS		2,970,000	3,000,000	3,030,000	3,017,326	0	(17,241)	0	(17,241)	0	3,000,085	0	(30,085)	(30,085)	125,563	03/15/2026	3.B FE
55389P-AC-3	MVI OWNER TRUST MVIOT_19-1A Series 144A 3.330% 11/20/36		09/20/2022	Paydown		195,514	195,514	195,488	195,494	0	20	0	20	0	195,514	0	0	0	4,308	11/20/2036	2.B FE
55400D-AC-5	MVI OWNER TRUST MVIOT_19-2A Series 144A 2.680% 10/20/38		09/20/2022	Paydown		218,388	218,388	218,317	218,332	0	56	0	56	0	218,388	0	0	0	3,913	10/20/2038	2.B FE
55400U-AC-7	MVI OWNER TRUST MVIOT_22-1 Series 144A 5.230% 11/21/39		09/20/2022	Paydown		359,608	359,608	359,533	0	0	75	0	75	0	359,608	0	0	0	4,952	11/21/2039	2.B FE
58549B-BG-2	MELLO MORTGAGE CAPITAL ACCEPTA Series 144A 3.743% 03/25/48		09/01/2022	Paydown		18,540	18,540	18,260	18,381	0	159	0	159	0	18,540	0	0	0	465	03/25/2048	1.A
59748T-AA-7	Midland Cogener Series 144A 6.000% 03/15/25		09/15/2022	Redemption 100.0000		353,600	353,600	360,333	359,567	0	(5,967)	0	(5,967)	0	353,600	0	0	0	21,216	03/15/2025	3.B FE
61761A-BB-3	MORGAN STANLEY BAML TRUST MSBAM_12 4.443% 08/15/45		07/01/2022	Paydown		2,228,315	2,228,315	2,272,833	2,227,624	0	691	0	691	0	2,228,315	0	0	0	57,752	08/15/2045	1.A FM
61761A-BD-9	MORGAN STANLEY BAML TRUST MSBAM_12 4.791% 08/15/45		09/01/2022	Paydown		1,419,157	1,419,157	1,356,652	1,412,503	0	6,654	0	6,654	0	1,419,157	0	0	0	41,166	08/15/2045	1.A FM
61980A-AD-5	MOTIVA ENTERPRISES LLC 6.850% 01/15/40		09/14/2022	TENDER		1,012,560	1,000,000	1,050,850	1,040,757	0	(13,429)	0	(13,429)	0	1,039,887	0	(27,327)	(27,327)	92,286	01/15/2040	2.B FE
62848B-AC-5	MVI OWNER TRUST MVIOT_18-1A Series 144A 3.900% 01/21/36		09/20/2022	Paydown		180,757	180,757	180,755	180,753	0	4	0	4	0	180,757	0	0	0	4,681	01/21/2036	2.B FE
653240-AA-9	NEWTOWN CREDIT LEASE 6.082% 12/15/23		09/15/2022	Redemption 100.0000		135,420	135,420	135,415	135,408	0	12	0	12	0	135,420	0	0	0	5,493	12/15/2023	1.D
68504L-AB-7	ORANGE LAKE TIMESHARE TRUST ON Series 144A 2.910% 03/08/29		09/08/2022	Paydown		65,471	65,471	65,471	65,469	0	2	0	2	0	65,471	0	0	0	1,267	03/08/2029	2.B FE
68504U-AC-5	ORANGE LAKE TIMESHARE TRUST ON Series 144A 3.610% 04/09/38		09/09/2022	Paydown		215,521	215,521	215,516	215,514	0	7	0	7	0	215,521	0	0	0	5,169	04/09/2038	2.B FE
68504U-AD-3	ORANGE LAKE TIMESHARE TRUST ON Series 144A 4.930% 04/09/38		09/09/2022	Paydown		310,354	310,354	310,290	310,300	0	54	0	54	0	310,354	0	0	0	10,166	04/09/2038	3.C FE
68504W-AC-1	ORANGE LAKE TIMESHARE TRUST ON Series 144A 3.740% 11/08/30		09/08/2022	Paydown		197,438	197,438	196,959	197,164	0	274	0	274	0	197,438	0	0	0	4,941	11/08/2030	2.C FE
71839#-AA-2	PHILLIES FUNDING LP 6.210% 07/10/30		07/10/2022	Various		118,840	118,840	118,840	118,840	0	0	0	0	0	118,840	0	0	0	5,535	07/10/2030	2.A PL
723787-AK-3	PIONEER NAT RES CO PIONEER NATURAL RESOURCES CO 3.950% 07/15/22		07/15/2022	Maturity		3,100,000	3,100,000	3,082,640	3,098,890	0	1,110	0	1,110	0	3,100,000	0	0	0	122,450	07/15/2022	2.A FE
73020#-AA-9	PACIFIC NORTHWEST NATIONAL LAB SECURED CORP BND 6.500% 11/15/34		09/15/2022	Redemption 100.0000		91,365	91,365	91,365	91,365	0	0	0	0	0	91,365	0	0	0	3,961	11/15/2034	1.D FE
73557*-AA-2	PORT WASHINGTON GENERATING STA 4.910% 07/15/30		09/15/2022	Redemption 100.0000		78,455	78,455	78,455	78,455	0	0	0	0	0	78,455	0	0	0	2,729	07/15/2030	1.F
73557*-AA-2	PORT WASHINGTON GENERATING STA 4.910% 07/15/30		07/15/2022	Redemption 100.0000		38,988	38,988	38,988	38,988	0	0	0	0	0	38,988	0	0	0	1,117	07/15/2030	2.A
743753-D#-9	NARRAGANSETT ELECTRIC COMPANY 8.090% 09/30/22		09/30/2022	Redemption 100.0000		625,000	625,000	625,000	625,000	0	0	0	0	0	625,000	0	0	0	50,563	09/30/2022	1.F FE
74986#-AP-6	RREEF AMERICA REIT II INC 2.950% 07/01/22		07/01/2022	Maturity		8,000,000	8,000,000	8,000,000	8,000,000	0	0	0	0	0	8,000,000	0	0	0	233,378	07/01/2022	1.G
750731-AA-9	AUTUMN WIND HQ LLC 3.744% 02/10/49		09/10/2022	Redemption 100.0000		5,704	5,704	5,704	5,704	0	0	0	0	0	5,704	0	0	0	142	02/10/2049	2.A
76169#-AG-8	REYES HOLDINGS LLC REYES HOLDINGS LLC 5.130% 07/31/22		07/31/2022	Various		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	153,900	07/31/2022	1.G PL

E05.5

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
81744Y-AG-1	SEMT_13-4 SEQUOIA MORTGAGE TRUST SEMT_13 3.442% 04/25/43		09/01/2022	Paydown		5,647	5,647	5,627	5,632	0	16	0	16	0	5,647	0	0	0	128	04/25/2043	1.A
81745M-AA-9	SEQUOIA MORTGAGE TRUST SEMT_13-2 1.874% 02/25/43		09/01/2022	Paydown		14,657	14,657	14,656	14,648	0	9	0	9	0	14,657	0	0	0	174	02/25/2043	1.A
81745Q-CA-8	SEQUOIA MORTGAGE TRUST SEMT_15 3.917% 01/25/45		09/01/2022	Paydown		5,511	5,511	5,628	5,540	0	(29)	0	(29)	0	5,511	0	0	0	143	01/25/2045	1.A
81746N-AN-8	SEQUOIA MORTGAGE TRUST SEMT_16 3.500% 11/25/46		09/01/2022	Paydown		246,961	246,961	258,209	249,141	0	(2,180)	0	(2,180)	0	246,961	0	0	0	5,775	11/25/2046	1.A
81746X-AG-1	SEQUOIA MORTGAGE TRUST SEMT_17 SEMT_17-3 3.500% 04/25/47		09/01/2022	Paydown		712,749	712,749	681,688	703,992	0	8,757	0	8,757	0	712,749	0	0	0	17,171	04/25/2047	1.A
82280Q-AC-5	SHELLPOINT CO-ORIGINATOR TRUST SHELLPOINT CO-ORIGINATOR TRUST 3.500% 08/25/45		09/01/2022	Paydown		78,314	78,314	78,608	78,414	0	(100)	0	(100)	0	78,314	0	0	0	1,684	08/25/2045	1.A
82280R-AG-4	SHELLPOINT CO-ORIGINATOR TRUST SHELLPOINT CO-ORIGINATOR TRUST 3.500% 04/25/47		09/01/2022	Paydown		107,867	107,867	103,264	106,477	0	1,390	0	1,390	0	107,867	0	0	0	2,734	04/25/2047	1.A
82280R-CB-3	SHELLPOINT CO-ORIGINATOR TRUST SHELLPOINT CO-ORIGINATOR TRUST 3.700% 04/25/47		09/01/2022	Paydown		51,580	51,580	50,073	50,660	0	920	0	920	0	51,580	0	0	0	1,297	04/25/2047	1.A
82280R-CC-1	SHELLPOINT CO-ORIGINATOR TRUST SHELLPOINT CO-ORIGINATOR TRUST 3.700% 04/25/47		09/01/2022	Paydown		45,856	45,856	43,844	44,648	0	1,208	0	1,208	0	45,856	0	0	0	1,153	04/25/2047	1.A
82652S-AC-1	SIERRA RECEIVABLES FUNDING COM Series 144A 3.510% 07/20/37		09/20/2022	Paydown		192,465	192,465	192,934	192,845	0	(380)	0	(380)	0	192,465	0	0	0	4,479	07/20/2037	2.B FE
82652S-AD-9	SIERRA RECEIVABLES FUNDING COM Series 144A 6.590% 07/20/37		09/20/2022	Paydown		56,607	56,607	56,594	56,595	0	12	0	12	0	56,607	0	0	0	2,473	07/20/2037	3.B FE
82652M-AC-4	SIERRA RECEIVABLES FUNDING COM Series 144A 3.120% 05/20/36		09/20/2022	Paydown		131,771	131,771	131,761	131,762	0	9	0	9	0	131,771	0	0	0	2,719	05/20/2036	2.B FE
82652M-AD-2	SIERRA RECEIVABLES FUNDING COM Series 144A 4.540% 05/20/36		09/20/2022	Paydown		131,771	131,771	131,734	131,742	0	29	0	29	0	131,771	0	0	0	3,957	05/20/2036	3.B FE
82652N-AB-4	SIERRA RECEIVABLES FUNDING COM Series 144A 2.750% 08/20/36		09/20/2022	Paydown		161,518	161,518	161,487	161,494	0	24	0	24	0	161,518	0	0	0	2,956	08/20/2036	1.F FE
82652N-AD-0	SIERRA RECEIVABLES FUNDING COM SIERRA RECEIVABLES FUNDING COM 4.180% 08/20/36		09/20/2022	Paydown		64,607	64,607	64,597	64,598	0	9	0	9	0	64,607	0	0	0	1,797	08/20/2036	3.B FE
82653D-AC-3	SIERRA RECEIVABLES FUNDING COM Series 144A 3.940% 06/20/35		09/20/2022	Paydown		176,610	176,610	176,561	176,578	0	33	0	33	0	176,610	0	0	0	4,657	06/20/2035	2.B FE
82653E-AC-1	SIERRA RECEIVABLES FUNDING COM Series 144A 3.770% 01/20/36		09/20/2022	Paydown		162,423	162,424	162,414	162,415	0	9	0	9	0	162,423	0	0	0	4,101	01/20/2036	2.B FE
82653E-AD-9	SIERRA RECEIVABLES FUNDING COM Series 144A 4.750% 01/20/36		09/20/2022	Paydown		232,033	232,034	232,004	232,008	0	26	0	26	0	232,033	0	0	0	7,381	01/20/2036	3.B FE
82653G-AB-8	SIERRA RECEIVABLES FUNDING COM Series 144A 3.870% 09/20/35		09/20/2022	Paydown		81,454	81,454	81,440	81,444	0	10	0	10	0	81,454	0	0	0	2,101	09/20/2035	1.F FE
82653G-AC-6	SIERRA RECEIVABLES FUNDING COM Series 144A 4.170% 09/20/35		09/20/2022	Paydown		93,061	93,061	93,060	93,058	0	3	0	3	0	93,061	0	0	0	2,586	09/20/2035	2.B FE
83546D-AQ-1	SONIC CAPITAL LLC SONIC_21-1A Series 144A 2.636% 08/20/51		09/20/2022	Paydown		7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	132	08/20/2051	2.B FE
848609-AA-1	SPIRITS OF ST LOUIS BASKETBALL SPIRITS NEWCO LLC 5.300% 06/30/36		09/30/2022	Redemption	100.0000	55,737	55,737	55,737	55,737	0	0	0	0	0	55,737	0	0	0	2,216	06/30/2036	2.C PL
86192*-AA-5	STONEHENGE CAPITAL FUND CONNEC 8.124% 12/15/23		09/15/2022	Redemption	100.0000	34,697	34,697	34,697	34,697	0	0	0	0	0	34,697	0	0	0	2,114	12/15/2023	1.A FE
872480-AB-4	TIF FUNDING II LLC TIF_20-1A Series 144A 3.820% 08/20/45		09/20/2022	Paydown		50,000	50,000	50,036	50,029	0	(29)	0	(29)	0	50,000	0	0	0	1,273	08/20/2045	2.B FE
87264A-CM-5	T-MOBILE USA INC Series 144A 3.400% 10/15/52		07/05/2022	Tax Free Exchange		3,996,244	4,000,000	3,995,920	3,996,052	0	192	0	192	0	3,996,244	0	0	0	121,644	10/15/2052	2.C FE
87342R-AE-4	TACO BELL FUNDING LLC BELL_18- Series 144A 4.940% 11/25/48		08/25/2022	Paydown		12,500	12,500	12,500	12,500	0	0	0	0	0	12,500	0	0	0	463	11/25/2048	2.B FE
88315L-AF-5	TEXTAINER MARINE CONTAINERS LT Series 144A 4.940% 08/21/45		09/20/2022	Paydown		371,351	371,351	371,233	371,253	0	98	0	98	0	371,351	0	0	0	5,942	08/21/2045	2.B FE

E05.6

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
88632A-CB-2	TIAA BANK MORTGAGE LOAN TRUST Series 144A 4.176% 11/25/48		09/01/2022	Paydown		29,593	29,593	29,018	29,300	0	293	0	293	0	29,593	0	0	0	825	11/25/2048	1.A
90363B-AA-8	USTA NATIONAL TENNIS CENTER IN 3.110% 09/08/24		07/08/2022	Redemption	100.0000	533,836	533,836	533,836	533,836	0	0	0	0	0	533,836	0	0	0	16,602	09/08/2024	1.G FE
910637-N#-0	UNITED ILLUMINATING CO CORP BND 6.260% 09/05/22		09/05/2022	Various		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	125,200	09/05/2022	1.G
92783#-AA-4	VIRGINIA INTERNATIONAL GATEWAY VIRGINIA INTERNATIONAL GATEWAY 3.930% 06/30/30		09/30/2022	Redemption	100.0000	82,826	82,826	82,826	82,826	0	0	0	0	0	82,826	0	0	0	2,441	06/30/2030	1.F PL
92930R-AD-4	WF-RBS COMMERCIAL MORTGAGE TRUST 3.840% 11/15/45		09/01/2022	Paydown		642,636	642,636	658,651	643,247	0	(612)	0	(612)	0	642,636	0	0	0	18,508	11/15/2045	1.A FM
94988H-AE-1	WELLS FARGO COMMERCIAL MORTGAGE TRUST 3.539% 10/15/45		07/01/2022	Paydown		4,190,000	4,190,000	4,353,836	4,198,097	0	(8,097)	0	(8,097)	0	4,190,000	0	0	0	86,499	10/15/2045	1.A FM
94988H-AF-8	WELLS FARGO COMMERCIAL MORTGAGE TRUST 4.142% 10/15/45		07/01/2022	Paydown		6,100,000	6,100,000	6,252,476	6,103,797	0	(3,797)	0	(3,797)	0	6,100,000	0	0	0	147,366	10/15/2045	1.A FM
94988Q-AN-1	WFCM_13-LC12 WELLS FARGO COMMERCIAL MORTGAG 4.432% 07/15/46		07/27/2022	GOLDMAN SACHS & CO		0	0	0	0	0	0	0	0	0	0	0	0	0	90,174	07/15/2046	1.A
94989U-BH-3	WELLS FARGO MORTGAGE BACKED SE Series 144A 3.668% 07/25/47		09/01/2022	Paydown		32,438	32,438	30,763	31,465	0	972	0	972	0	32,438	0	0	0	795	07/25/2047	1.A
95058X-AE-8	WENDYS FUNDING LLC WEN_18-1A Series 144A 3.884% 03/15/48		09/15/2022	Paydown		12,500	12,500	12,500	12,500	0	0	0	0	0	12,500	0	0	0	364	03/15/2048	2.B FE
95058X-AH-1	WENDYS FUNDING LLC WEN_19-1A Series 144A 4.080% 06/15/49		09/15/2022	Paydown		23,750	23,750	23,750	23,750	0	0	0	0	0	23,750	0	0	0	727	06/15/2049	2.B FE
960386-AN-0	WESTINGHOUSE AIR BRAKE WABTEC CORPORATION 4.400% 03/15/24		07/12/2022	BARCLAYS CAPITAL INC		3,980,520	4,000,000	3,975,240	3,989,317	0	2,480	0	2,480	0	3,991,797	0	(11,277)	(11,277)	146,178	03/15/2024	2.C FE
009090-AA-9	AIR CANADA 2015-1 CLASS A PASS SERIES 144A 3.600% 03/15/27		09/15/2022	Redemption	100.0000	144,747	144,747	144,747	144,747	0	0	0	0	0	144,747	0	0	0	5,211	03/15/2027	1.F FE
001201-A*-3	AGL ENERGY LTD AGL ENERGY LTD 5.080% 09/08/22	D	09/08/2022	Maturity		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	105,600	09/08/2022	2.B FE
08866T-AA-0	BIB MERCHANT VOUCHER RECEIVABL Series 2017-1 144A 4.080% 04/07/27	D	07/07/2022	Redemption	100.0000	359,022	359,022	359,022	359,022	0	0	0	0	0	359,022	0	0	0	10,966	04/07/2027	2.A FE
08866T-AB-8	BIB MERCHANT VOUCHER RECEIVABL BIB MERCHANT VOUCHER RECEIVABL 4.180% 04/07/28	C	07/07/2022	Redemption	100.0000	171,898	171,898	171,898	171,898	0	0	0	0	0	171,898	0	0	0	5,389	04/07/2028	2.A FE
21987B-AQ-1	CODELCO INC CORPORACION NACIONAL DEL COBRE 3.000% 07/17/22	D	07/17/2022	Maturity		670,000	670,000	667,560	669,441	0	559	0	559	0	670,000	0	0	0	20,100	07/17/2022	1.G FE
36190C-AA-5	GNL Quintero GNL QUINTERO SA 4.634% 07/31/29	C	07/31/2022	Redemption	100.0000	588,000	588,000	591,068	589,183	0	(1,183)	0	(1,183)	0	588,000	0	0	0	27,248	07/31/2029	2.B FE
404280-AF-6	HSBC HOLDING PLC 7.625% 05/17/32	D	09/16/2022	Tax Free Exchange		1,014,184	900,000	1,105,425	1,020,290	0	(6,105)	0	(6,105)	0	1,014,184	0	0	0	56,997	05/17/2032	2.A FE
45605P-AM-0	INDUSTRIAL DPR FUNDING LTD BIN INDUSTRIAL DPR FUNDING LTD BIN 5.235% 04/15/26	C	07/15/2022	Paydown		174,160	174,160	174,160	174,160	0	0	0	0	0	174,160	0	0	0	4,559	04/15/2026	2.B FE
65557F-AD-8	NORDEA BANK AB 4.250% 09/21/22	D	09/21/2022	Various		7,000,000	7,000,000	6,955,480	6,996,136	0	3,864	0	3,864	0	7,000,000	0	0	0	297,500	09/21/2022	2.A FE
80007R-AR-6	SANDS CHINA LTD Series 144A 3.250% 08/08/31	C	08/15/2022	Tax Free Exchange		1,498,957	1,500,000	1,498,905	1,498,905	0	52	0	52	0	1,498,957	0	0	0	43,604	08/08/2031	3.A FE
61257*-AE-1	BOREALIS FUNDING COMPANY LTD BOREALIS FUNDING CO LTD 4.460% 07/10/22	D	07/10/2022	Maturity		4,200,000	4,200,000	4,167,980	4,197,873	0	2,127	0	2,127	0	4,200,000	0	0	0	187,840	07/10/2022	2.A
62003*-AA-4	CAMPO PALOMAS FINANCE LTD 5.330% 11/15/36	C	07/29/2022	Various		0	1,893	1,893	1,893	0	(1,893)	0	(1,893)	0	0	0	0	0	3,221	11/15/2036	2.C FE
62613#-AL-8	DS SMITH PLC DS SMITH PLC 4.650% 08/06/22	D	08/06/2022	Maturity		9,000,000	9,000,000	9,000,000	9,000,000	0	0	0	0	0	9,000,000	0	0	0	418,500	08/06/2022	2.C
66515#-AH-7	JOHN WOOD GROUP PLC 4.870% 07/02/22	C	07/02/2022	Maturity		1,500,000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	78,300	07/02/2022	2.C
18038*-AA-4	SBM BALEIA AZUL S.A.R.L. 5.500% 09/15/27	D	09/15/2022	Redemption	100.0000	100,000	100,000	100,000	100,000	0	0	0	0	0	100,000	0	0	0	4,125	09/15/2027	3.C
03393*-AK-7	ELECTRANET 3.110% 08/18/22	C	08/18/2022	Maturity		10,000,000	10,000,000	10,000,000	10,000,000	0	0	0	0	0	10,000,000	0	0	0	311,000	08/18/2022	2.B
08277*-AM-3	SANTOS FINANCE LTD. 6.450% 08/02/22	C	08/02/2022	Maturity		1,250,000	1,250,000	1,323,725	1,263,729	0	(13,729)	0	(13,729)	0	1,250,000	0	0	0	80,625	08/02/2022	2.C
025593-73-1	FOXCONN FAR EAST LTD 3.000% 09/23/26	C	09/01/2022	ANZ Nominees Limited		9,444,950	10,000,000	9,415,853	9,715,857	0	11,461	0	11,461	0	9,727,318	0	(282,368)	(282,368)	130,000	09/23/2026	1.G FE
R6236#-AA-0	NORSPAN LNG III AS 4.870% 07/27/29	C	08/31/2022	Redemption	100.0000	218,852	218,852	218,852	218,852	0	0	0	0	0	218,852	0	0	0	10,747	07/27/2029	2.C PL

E05.7

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
1109999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					151,651,717	152,199,925	154,786,589	151,841,787	0	(218,316)	0	(218,316)	0	152,010,562	0	(358,846)	(358,846)	5,801,685	XXX	XXX	
2509999997	Total - Bonds - Part 4					171,701,938	172,232,884	174,212,726	171,586,447	0	69,989	0	69,989	0	172,043,521	0	(358,846)	(358,846)	6,532,086	XXX	XXX	
2509999998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999	Total - Bonds					171,701,938	172,232,884	174,212,726	171,586,447	0	69,989	0	69,989	0	172,043,521	0	(358,846)	(358,846)	6,532,086	XXX	XXX	
4509999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
4509999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
..76828#-10-7	River Lake Insurance Company X		09/30/2022	Tax Agreement Settlement		45,414,722		45,414,722					0					0				
..76828#-10-6	River Lake Insurance Company VI		09/30/2022	Tax Agreement Settlement		61,546,584		61,546,584					0					0				
5929999999	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates Other					106,961,306	XXX	106,961,306	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999997	Total - Common Stocks - Part 4					106,961,306	XXX	106,961,306	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999	Total - Common Stocks					106,961,306	XXX	106,961,306	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5999999999	Total - Preferred and Common Stocks					106,961,306	XXX	106,961,306	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
6009999999	Totals					278,663,244	XXX	281,174,032	171,586,447	0	69,989	0	69,989	0	172,043,521	0	(358,846)	(358,846)	6,532,086	XXX	XXX	

E05.8

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
007999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX		
014999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	0	0	XXX	XXX
Options--123636--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	GS1	10/15/2020	10/14/2022	100,007	100,007	3,483 / NA	11,280			4,214		4,214	(33,800)			0	98		003		
Options--123964--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	BOA	11/13/2020	11/15/2022	99,990	99,990	3,585 / NA	10,930			4,041		4,041	(30,577)			0	177		003		
Options--124869--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	GS1	12/15/2020	12/15/2022	1,149,987	1,149,987	3,694 / NA	124,545			39,386		39,386	(319,754)			0	2,624		003		
Options--125150--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	01/15/2021	01/13/2023	150,014	150,014	3,768 / NA	16,560			4,892		4,892	(38,888)			0	402		003		
Options--125392--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	BARC	02/12/2021	02/15/2023	149,996	149,996	3,934 / NA	17,343			3,386		3,386	(33,703)			0	461		003		
Options--125710--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	03/15/2021	03/15/2023	100,000	100,000	3,968 / NA	11,000			2,480		2,480	(21,580)			0	337		003		
Options--126085--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	04/15/2021	04/14/2023	100,007	100,007	4,170 / NA	10,040			1,582		1,582	(17,813)			0	366		003		
Options--126291--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	BOA	05/14/2021	05/15/2023	100,005	100,005	4,173 / NA	9,900			1,924		1,924	(17,654)			0	394		003		
Options--126613--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	BARC	06/15/2021	06/15/2023	150,000	150,000	4,246 / NA	14,550			2,881		2,881	(24,462)			0	631		003		
Options--127095--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	07/15/2021	07/14/2023	149,985	149,985	4,360 / NA	14,880			2,400		2,400	(21,797)			0	665		003		
Options--127216--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	08/13/2021	08/15/2023	149,991	149,991	4,468 / NA	14,745			1,896		1,896	(19,637)			0	701		003		
Options--127426--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	BARC	09/15/2021	09/15/2023	100,009	100,009	4,480 / NA	10,650			1,430		1,430	(12,960)			0	490		003		
Options--127562--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	10/08/2021	10/07/2022	4,000,000	4,000,000	4,391 / NA	66,400			0		0	(54,632)			0	2,770		003		
Options--127573--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	10/12/2021	10/05/2022	8,238,400	8,238,400	4,336 / (4,424)	103,683			0		0	(126,916)			0	4,821		003		
Options--127574--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	10/12/2021	10/06/2022	4,344,000	4,344,000	4,344 / (4,441)	59,370			0		0	(73,259)			0	2,785		003		
Options--127575--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	10/12/2021	10/07/2022	10,970,000	10,970,000	4,388 / (4,486)	143,650			0		0	(181,774)			0	7,596		003		
Options--127607--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	10/15/2021	10/14/2022	4,000,000	4,000,000	4,471 / NA	62,000			0		0	(60,543)			0	3,917		003		
Options--127608--129 NQ OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	BOA	10/15/2021	10/14/2022	3,399,985	3,399,985	4,471 / (4,901)	159,800			1		1	(209,434)			0	3,329		003		

E06

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Options--127609--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	10/15/2021	10/13/2023	99,980	99,980	4,471 / NA	10,830			1,691		1,691	(13,091)			0	509		003
Options--127625--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	10/19/2021	10/12/2022	4,342,000	4,342,000	4,342 / (4,519)	115,390			0		0	(131,356)			0	3,936		003
Options--127626--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	10/19/2021	10/13/2022	5,234,400	5,234,400	4,362 / (4,441)	63,708			0		0	(71,168)			0	4,939		003
Options--127627--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	10/19/2021	10/14/2022	12,474,000	12,474,000	4,455 / (4,536)	143,584			2		2	(163,715)			0	12,215		003
Options--127635--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	10/22/2021	10/21/2022	4,000,000	4,000,000	4,545 / NA	68,800			0		0	(57,195)			0	4,797		003
Options--127642--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	10/26/2021	10/19/2022	5,868,200	5,868,200	4,514 / (4,628)	94,718			8		8	(102,968)			0	6,694		003
Options--127643--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	10/26/2021	10/20/2022	9,046,000	9,046,000	4,523 / (4,618)	122,140			14		14	(132,019)			0	10,588		003
Options--127644--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	10/26/2021	10/21/2022	10,898,400	10,898,400	4,541 / (4,635)	143,328			19		19	(155,284)			0	13,071		003
Options--127674--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	10/29/2021	10/28/2022	4,000,000	4,000,000	4,605 / NA	66,000			0		0	(60,672)			0	5,539		003
Options--127680--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	11/02/2021	10/25/2022	5,013,800	5,013,800	4,558 / (4,736)	121,374			33		33	(130,144)			0	6,561		003
Options--127681--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	11/02/2021	10/26/2022	5,028,100	5,028,100	4,571 / (4,696)	87,054			29		29	(92,134)			0	6,710		003
Options--127682--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	11/02/2021	10/27/2022	5,005,000	5,005,000	4,550 / (4,652)	73,139			33		33	(76,536)			0	6,806		003
Options--127683--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	11/02/2021	10/28/2022	9,660,000	9,660,000	4,600 / (4,683)	111,657			50		50	(116,218)			0	13,378		003
Options--127709--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	11/05/2021	11/04/2022	4,000,000	4,000,000	4,698 / NA	66,800			0		0	(10,658)			0	6,193		003
Options--127722--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	11/09/2021	11/03/2022	11,176,800	11,176,800	4,657 / (4,736)	119,520			104		104	(122,039)			0	17,056		003
Options--127723--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	11/09/2021	11/04/2022	7,974,700	7,974,700	4,691 / (4,800)	111,112			86		86	(114,497)			0	12,347		003
Options--127733--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	11/12/2021	11/11/2022	4,000,000	4,000,000	4,683 / NA	70,000			0		0	(42,236)			0	6,784		003
Options--127737--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	11/15/2021	11/15/2022	3,900,023	3,900,023	4,682 / (5,132)	184,080			236		236	(198,208)			0	6,923		003
Options--127738--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	11/15/2021	11/15/2022	349,992	349,992	4,682 / (5,020)	10,990			0		0	(11,675)			0	621		003

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Options--127739--129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	11/15/2021	11/15/2023	200,002	200,002	4,682 / NA	21,820			2,155		2,155	(20,933)			0	1,061		003
Options--127742--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	11/16/2021	11/09/2022	10,282,800	10,282,800	4,674 / (4,804)	172,876			227		227	(177,378)			0	17,020		003
Options--127743--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	11/16/2021	11/11/2022	11,647,500	11,647,500	4,659 / (4,771)	172,650			273		273	(177,500)			0	19,755		003
Options--127753--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	11/19/2021	11/18/2022	6,000,000	6,000,000	4,698 / NA	91,800			0		0	(26,788)			0	10,992		003
Options--127804--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	11/23/2021	11/16/2022	7,044,000	7,044,000	4,696 / (4,833)	120,375			235		235	(124,392)			0	12,638		003
Options--127805--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	11/23/2021	11/17/2022	7,017,000	7,017,000	4,678 / (4,790)	101,325			237		237	(104,537)			0	12,723		003
Options--127806--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	11/23/2021	11/18/2022	11,750,000	11,750,000	4,700 / (4,813)	167,525			375		375	(172,242)			0	21,526		003
Options--127836--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	11/30/2021	11/23/2022	11,256,000	11,256,000	4,690 / (4,766)	106,464			355		355	(114,322)			0	21,647		003
Options--127837--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	11/30/2021	11/28/2022	5,574,000	5,574,000	4,645 / (4,761)	81,684			368		368	(88,432)			0	11,205		003
Options--127849--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	12/03/2021	12/02/2022	6,000,000	6,000,000	4,538 / NA	90,600			0		0	(60,577)			0	12,464		003
Options--127860--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/07/2021	11/30/2022	5,480,400	5,480,400	4,567 / (4,672)	82,908			504		504	(83,785)			0	11,202		003
Options--127861--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/07/2021	12/01/2022	4,947,800	4,947,800	4,498 / (4,597)	74,195			631		631	(74,861)			0	10,196		003
Options--127862--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/07/2021	12/02/2022	10,460,400	10,460,400	4,548 / (4,655)	163,047			1,143		1,143	(164,939)			0	21,729		003
Options--127869--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	12/10/2021	12/09/2022	4,000,000	4,000,000	4,712 / NA	62,000			0		0	(29,683)			0	8,759		003
Options--127878--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/14/2021	12/08/2022	7,950,900	7,950,900	4,677 / (4,797)	119,332			619		619	(125,761)			0	17,285		003
Options--127879--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/14/2021	12/09/2022	13,129,200	13,129,200	4,689 / (4,798)	178,157			917		917	(187,193)			0	28,748		003
Options--127898--129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	12/15/2021	12/15/2022	3,499,984	3,499,984	4,709 / (5,157)	172,550			563		563	(172,356)			0	7,985		003
Options--127899--129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	12/15/2021	12/15/2023	550,016	550,016	4,709 / (6,443)	61,655			6,280		6,280	(52,796)			0	3,023		003
Options--127906--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	12/17/2021	12/16/2022	8,000,000	8,000,000	4,621 / NA	115,200			0		0	(69,763)			0	18,372		003

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Options—127939—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	12/21/2021	12/15/2022	7,516,800	7,516,800	4,698 / (4,814)	107,040			592		592	(112,448)			0	17,150		003
Options—127940—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	12/21/2021	12/16/2022	9,716,700	9,716,700	4,627 / (4,727)	129,318			986		986	(134,463)			0	22,315		003
Options—127975—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/28/2021	12/21/2022	7,424,000	7,424,000	4,640 / (4,756)	123,452			851		851	(117,223)			0	17,594		003
Options—127976—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	12/28/2021	12/23/2022	9,448,000	9,448,000	4,724 / (4,825)	129,780			660		660	(120,287)			0	22,662		003
Options—128004—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	01/05/2022	12/27/2022	5,249,200	5,249,200	4,772 / (4,943)		112,101		446		446	(111,655)			0	12,887		003
Options—128005—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	01/05/2022	12/30/2022	7,627,200	7,627,200	4,767 / (4,859)		91,952		441		441	(91,511)			0	19,042		003
Options—128006—137 NQ FIA Barclays Options	FIA Product Liability	Exh 5	Equity market	BARC	01/06/2022	12/22/2023	9,765,800	9,765,800	2,530 / NA		383,298		81,007		81,007	(302,291)			0	54,097		003
Options—128007—137 NQ FIA Barclays Options	FIA Product Liability	Exh 5	Equity market	BARC	01/06/2022	12/22/2023	1,773,200	1,773,200	3,410 / NA		87,100		16,200		16,200	(70,900)			0	9,822		003
Options—128012—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	01/07/2022	01/06/2023	8,000,000	8,000,000	4,677 / NA		123,200		0		0	(123,200)			0	20,727		003
Options—128020—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	01/11/2022	01/04/2023	5,267,900	5,267,900	4,789 / (4,873)		53,119		302		302	(52,817)			0	13,508		003
Options—128021—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	01/11/2022	01/06/2023	5,614,800	5,614,800	4,679 / (4,771)		68,652		612		612	(68,040)			0	14,547		003
Options—128030—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	01/14/2022	01/13/2023	4,000,000	4,000,000	4,663 / NA		74,000		0		0	(74,000)			0	10,727		003
Options—128031—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	01/14/2022	01/13/2023	4,799,984	4,799,984	4,662 / (5,083)		225,120		1,757		1,757	(223,363)			0	12,872		003
Options—128033—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	01/14/2022	01/12/2024	100,018	100,018	4,662 / (6,416)		11,000		1,436		1,436	(9,564)			0	567		003
Options—128038—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	01/19/2022	01/12/2023	7,068,000	7,068,000	4,712 / (4,859)		118,005		1,128		1,128	(116,877)			0	18,864		003
Options—128039—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	01/19/2022	01/13/2023	6,514,200	6,514,200	4,653 / (4,755)		83,258		1,067		1,067	(82,191)			0	17,469		003
Options—128044—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	01/21/2022	01/20/2023	4,000,000	4,000,000	4,398 / NA		57,200		0		0	(57,200)			0	11,079		003
Options—128059—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	01/25/2022	01/19/2023	7,248,000	7,248,000	4,530 / (4,617)		74,336		2,390		2,390	(71,946)			0	19,985		003
Options—128060—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	01/25/2022	01/20/2023	13,760,900	13,760,900	4,439 / (4,515)		135,594		7,556		7,556	(128,038)			0	38,114		003

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Options—128092—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	01/28/2022	01/27/2023	4,000,000	4,000,000	4,432 / NA		60,000		0			(60,000)			0	11,420		003
Options—128154—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	02/01/2022	01/25/2023	6,098,400	6,098,400	4,356 / (4,427)		65,940		5,760		5,760	(60,180)			0	17,264		003
Options—128155—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	02/01/2022	01/26/2023	6,929,600	6,929,600	4,331 / (4,466)		140,800		12,454		12,454	(128,346)			0	19,700		003
Options—128156—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	02/01/2022	01/27/2023	10,533,600	10,533,600	4,389 / (4,477)		136,560		10,556		10,556	(126,004)			0	30,073		003
Options—128157—137 NQ FIA Barclays	FIA Product Liability	Exh 5	Equity market	BARC	02/02/2022	01/05/2024	5,885,300	5,885,300	2,570 / NA		154,804		33,118		33,118	(121,686)			0	33,106		003
Options—128164—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	02/04/2022	02/03/2023	4,000,000	4,000,000	4,501 / NA		61,600		0		0	(61,600)			0	11,751		003
Options—128169—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	02/08/2022	02/01/2023	5,894,200	5,894,200	4,534 / (4,628)		73,047		2,662		2,662	(70,385)			0	17,177		003
Options—128170—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	02/08/2022	02/03/2023	12,112,200	12,112,200	4,486 / (4,602)		191,106		8,287		8,287	(182,819)			0	35,582		003
Options—128180—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	02/11/2022	02/10/2023	4,000,000	4,000,000	4,419 / NA		70,800		0		0	(70,800)			0	12,073		003
Options—128190—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	02/15/2022	02/09/2023	10,547,800	10,547,800	4,586 / (4,648)		82,248		3,085		3,085	(79,163)			0	31,716		003
Options—128191—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	02/15/2022	02/10/2023	4,901,600	4,901,600	4,456 / (4,527)		48,697		3,259		3,259	(45,438)			0	14,794		003
Options—128205—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	02/15/2022	02/15/2023	500,000	500,000	4,471 / (4,744)		15,700		0		0	(15,700)			0	1,537		003
Options—128206—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	02/15/2022	02/15/2023	2,699,990	2,699,990	4,471 / (4,869)		127,440		5,002		5,002	(122,438)			0	8,301		003
Options—128207—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	02/15/2022	02/15/2024	100,018	100,018	4,471 / (6,617)		12,010		2,600		2,600	(9,410)			0	587		003
Options—128234—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	02/18/2022	02/17/2023	4,000,000	4,000,000	4,349 / NA		64,400		0		0	(64,400)			0	12,386		003
Options—128264—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	02/23/2022	02/16/2023	6,256,600	6,256,600	4,469 / (4,567)		71,260		5,265		5,265	(65,995)			0	19,305		003
Options—128265—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	02/23/2022	02/17/2023	10,872,500	10,872,500	4,349 / (4,426)		110,775		16,276		16,276	(94,499)			0	33,668		003
Options—128286—137 NQ FIA Barclays	FIA Product Liability	Exh 5	Equity market	BARC	02/23/2022	02/16/2024	5,017,600	5,017,600	2,560 / NA		112,112		35,319		35,319	(76,793)			0	29,481		003
Options—128295—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	02/25/2022	02/24/2023	4,000,000	4,000,000	4,385 / NA		63,600		0		0	(63,600)			0	12,692		003

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Options—128306—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	03/01/2022	02/22/2023	6,880,000	6,880,000	4,300 / (4,380)		78,128		13,670		13,670	(64,458)			0	21,682		003	
Options—128307—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	03/01/2022	02/24/2023	8,686,000	8,686,000	4,343 / (4,418)		89,700		14,294		14,294	(75,406)			0	27,561		003	
Options—128340—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	03/04/2022	03/03/2023	4,000,000	4,000,000	4,329 / NA		57,600		0		0	(57,600)			0	12,991		003	
Options—128352—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	03/08/2022	03/01/2023	5,583,500	5,583,500	4,295 / (4,384)		65,520		13,228		13,228	(52,292)			0	18,016		003	
Options—128353—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	03/08/2022	03/03/2023	9,977,400	9,977,400	4,338 / (4,415)		97,796		18,678		18,678	(79,118)			0	32,404		003	
Options—128360—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	03/11/2022	03/10/2023	4,000,000	4,000,000	4,204 / NA		56,000		0		0	(56,000)			0	13,283		003	
Options—128383—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	03/15/2022	03/09/2023	5,557,500	5,557,500	4,275 / (4,352)		57,967		12,378		12,378	(45,589)			0	18,398		003	
Options—128384—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	03/15/2022	03/10/2023	5,913,600	5,913,600	4,224 / (4,300)		62,538		12,194		12,194	(50,344)			0	19,638		003	
Options—128386—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	03/15/2022	03/15/2023	4,549,995	4,549,995	4,262 / (4,646)		217,945		34,621		34,621	(183,324)			0	15,342		003	
Options—128387—129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	03/15/2022	03/15/2024	99,997	99,997	4,262 / (6,210)		18,200		4,530		4,530	(13,670)			0	604		003	
Options—128400—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	03/18/2022	03/17/2023	4,000,000	4,000,000	4,463 / NA		61,200		0		0	(61,200)			0	13,569		003	
Options—128420—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	03/22/2022	03/16/2023	6,531,000	6,531,000	4,354 / (4,422)		68,640		11,959		11,959	(56,681)			0	22,088		003	
Options—128421—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	03/22/2022	03/17/2023	8,001,000	8,001,000	4,445 / (4,532)		100,116		10,933		10,933	(89,183)			0	27,141		003	
Options—128444—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	03/25/2022	03/24/2023	4,000,000	4,000,000	4,543 / NA		62,800		0		0	(62,800)			0	13,849		003	
Options—128466—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	03/29/2022	03/23/2023	8,904,000	8,904,000	4,452 / (4,544)		124,480		12,872		12,872	(111,608)			0	30,739		003	
Options—128467—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	03/29/2022	03/24/2023	9,483,600	9,483,600	4,516 / (4,623)		148,260		10,828		10,828	(137,432)			0	32,833		003	
Options—128470—137 NQ FIA Barclays	FIA Product Liability	Exh 5	Equity market	BARC	03/30/2022	03/15/2024	4,716,000	4,716,000	2,620 / NA		105,840		19,179		19,179	(86,661)			0	28,468		003	
Options—128500—135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	04/01/2022	03/31/2023	4,000,000	4,000,000	4,546 / NA		61,200		0		0	(61,200)			0	14,123		003	
Options—128505—136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	04/05/2022	03/29/2023	7,350,400	7,350,400	4,594 / (4,735)		135,184		7,362		7,362	(127,822)			0	25,809		003	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Options--128506--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	04/05/2022	03/31/2023	5,890,300	5,890,300	4,531 / (4,617)		71,994		5,711		5,711	(66,283)			0	20,797		003	
Options--128513--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	04/08/2022	04/06/2023	4,000,000	4,000,000	4,488 / NA		60,000		0		0	(60,000)			0	14,354		003	
Options--128519--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	04/12/2022	04/06/2023	7,629,600	7,629,600	4,488 / (4,566)		79,866		8,510		8,510	(71,356)			0	27,378		003	
Options--128526--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	04/14/2022	04/14/2023	4,000,000	4,000,000	4,393 / NA		57,200		0		0	(57,200)			0	14,656		003	
Options--128695--129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	04/14/2022	04/14/2023	2,800,013	2,800,013	1,798 / (2,014)		139,104		16,424		16,424	(122,680)			0	10,259		003	
Options--128696--129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	04/14/2022	04/15/2024	150,007	150,007	1,798 / (2,014)		17,873		5,333		5,333	(12,539)			0	832		003	
Options--128721--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	04/19/2022	04/13/2023	7,948,800	7,948,800	4,416 / (4,542)		139,266		22,184		22,184	(117,082)			0	29,050		003	
Options--128722--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	04/19/2022	04/14/2023	6,109,600	6,109,600	4,364 / (4,472)		95,886		0		0	(95,886)			0	22,385		003	
Options--128794--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	04/22/2022	04/21/2023	4,000,000	4,000,000	4,272 / NA		57,200		0		0	(57,200)			0	14,915		003	
Options--128812--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	04/26/2022	04/19/2023	5,731,700	5,731,700	4,409 / (4,554)		96,798		19,135		19,135	(77,663)			0	21,267		003	
Options--128813--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	04/26/2022	04/21/2023	9,460,000	9,460,000	4,300 / (4,398)		122,166		37,645		37,645	(84,521)			0	35,275		003	
Options--128840--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BARC	04/29/2022	04/28/2023	4,000,000	4,000,000	4,132 / NA		62,000		0		0	(62,000)			0	15,170		003	
Options--128853--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	05/03/2022	04/28/2023	10,821,200	10,821,200	4,162 / (4,234)		113,724		31,282		31,282	(82,442)			0	41,040		003	
Options--128856--137 NQ FIA Barclays Options	FIA Product Liability	Exh 5	Equity market	BARC	05/04/2022	05/03/2024	2,941,000	2,941,000	3,460 / NA		99,110		28,951		28,951	(70,159)			0	18,553		003	
Options--128863--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	05/06/2022	05/05/2023	3,500,000	3,500,000	4,123 / NA		45,150		0		0	(45,150)			0	13,493		003	
Options--128874--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	05/10/2022	05/04/2023	5,154,000	5,154,000	4,295 / (4,361)		37,044		15,145		15,145	(21,899)			0	19,824		003	
Options--128875--136 NQ FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	05/10/2022	05/05/2023	4,537,500	4,537,500	4,125 / (4,200)		44,869		15,161		15,161	(29,708)			0	17,493		003	
Options--128907--135 NQ FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	05/13/2022	05/12/2023	3,500,000	3,500,000	4,024 / NA		44,800		0		0	(44,800)			0	13,709		003	
Options--128908--129 NQ OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	05/13/2022	05/15/2023	2,099,988	2,099,988	1,798 / (2,014)		99,666		40,072		40,072	(59,594)			0	8,280		003	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Options--128909--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	05/13/2022	05/15/2023	299,981	299,981	1,798 / (2,014)		9,066		1,170		1,170	(7,896)			0	1,183		003	
Options--128910--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	05/13/2022	05/15/2024	150,011	150,011	1,798 / (2,014)		19,746		10,621		10,621	(9,125)			0	956		003	
Options--128919--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	05/17/2022	05/12/2023	9,165,500	9,165,500	3,985 / (4,047)		87,952		45,797		45,797	(42,155)			0	35,901		003	
Options--128947--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BARC	05/20/2022	05/19/2023	3,500,000	3,500,000	3,901 / NA		43,050		0		0	(43,050)			0	13,922		003	
Options--128969--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	05/24/2022	05/19/2023	8,187,900	8,187,900	3,899 / (3,966)		79,632		53,713		53,713	(25,919)			0	32,569		003	
Options--128993--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	05/27/2022	05/26/2023	3,500,000	3,500,000	4,158 / NA		44,800		0		0	(44,800)			0	14,131		003	
Options--129071--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	06/01/2022	05/26/2023	7,432,200	7,432,200	4,129 / (4,200)		74,898		24,726		24,726	(50,172)			0	30,007		003	
Options--129090--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	06/03/2022	06/02/2023	3,500,000	3,500,000	4,109 / NA		46,900		0		0	(46,900)			0	14,338		003	
Options--129099--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	06/07/2022	06/02/2023	9,077,200	9,077,200	4,126 / (4,186)		78,562		26,374		26,374	(52,188)			0	37,184		003	
Options--129109--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	06/10/2022	06/09/2023	3,500,000	3,500,000	3,901 / NA		44,450		106		106	(44,344)			0	14,541		003	
Options--129122--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	06/14/2022	06/09/2023	11,385,400	11,385,400	3,926 / (3,978)		77,662		56,783		56,783	(20,879)			0	47,301		003	
Options--129146--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BARC	06/15/2022	06/15/2023	2,699,989	2,699,989	1,798 / (2,014)		127,440		91,749		91,749	(35,691)			0	11,350		003	
Options--129147--129 NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BARC	06/15/2022	06/14/2024	100,018	100,018	1,798 / (2,014)		12,430		9,450		9,450	(2,980)			0	653		003	
Options--129164--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	06/17/2022	06/16/2023	3,500,000	3,500,000	3,675 / NA		45,150		36		36	(45,114)			0	14,741		003	
Options--129174--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	06/22/2022	06/16/2023	11,737,600	11,737,600	3,668 / (3,746)		149,568		127,591		127,591	(21,977)			0	49,437		003	
Options--129189--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	06/24/2022	06/23/2023	3,500,000	3,500,000	3,912 / NA		43,400		32		32	(43,368)			0	14,939		003	
Options--129218--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	06/28/2022	06/23/2023	9,312,000	9,312,000	3,880 / (3,937)		81,672		56,592		56,592	(25,080)			0	39,747		003	
Options--129261--135 NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	07/01/2022	06/30/2023	3,500,000	3,500,000	3,825 / NA		42,000		0		0	(42,000)			0	15,135		003	
Options--129319--136 NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	07/06/2022	06/30/2023	9,151,200	9,151,200	3,813 / (3,882)		100,056		73,870		73,870	(26,186)			0	39,572		003	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Options--129330--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	07/08/2022	07/07/2023	3,500,000	3,500,000	3,899 / NA		44,100		175		175	(43,925)			0	15,327		003
Options--129338--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	07/12/2022	07/07/2023	12,467,200	12,467,200	3,896 / (3,954)		107,328		75,730		75,730	(31,598)			0	54,597		003
Options--129348--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	07/15/2022	07/14/2023	3,500,000	3,500,000	3,863 / NA		45,500		3		3	(45,497)			0	15,518		003
Options--129349--129 - NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	07/15/2022	07/14/2023	3,499,984	3,499,984	3,863 / (4,172)		149,450		97,166		97,166	(52,284)			0	15,518		003
Options--129350--129 - NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	CSI	07/15/2022	07/15/2024	99,979	99,979	3,863 / (5,513)		13,050		9,467		9,467	(3,583)			0	669		003
Options--129360--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	07/19/2022	07/14/2023	8,813,600	8,813,600	3,832 / (3,900)		99,015		68,956		68,956	(30,059)			0	39,077		003
Options--129367--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	07/22/2022	07/21/2023	3,500,000	3,500,000	3,962 / NA		43,750		84		84	(43,666)			0	15,706		003
Options--129373--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	07/26/2022	07/21/2023	12,704,000	12,704,000	3,970 / (4,039)		125,728		80,364		80,364	(45,364)			0	57,008		003
Options--129406--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BARC	07/29/2022	07/28/2023	3,500,000	3,500,000	4,130 / NA		50,050		0		0	(50,050)			0	15,892		003
Options--129437--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	08/02/2022	07/28/2023	10,680,800	10,680,800	4,108 / (4,199)		140,062		55,969		55,969	(84,093)			0	48,497		003
Options--129444--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	08/05/2022	08/04/2023	3,500,000	3,500,000	4,145 / NA		47,250		41		41	(47,209)			0	16,076		003
Options--129458--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	08/09/2022	08/04/2023	12,435,000	12,435,000	4,145 / (4,231)		150,540		55,202		55,202	(95,338)			0	57,114		003
Options--129499--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	08/12/2022	08/11/2023	3,500,000	3,500,000	4,280 / NA		48,300		55		55	(48,245)			0	16,257		003
Options--129522--129 - NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	08/15/2022	08/15/2024	99,994	99,994	4,297 / (6,282)		13,560		5,546		5,546	(8,014)			0	685		003
Options--129523--129 - NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	08/15/2022	08/15/2023	600,010	600,010	4,297 / (4,525)		16,380		1,469		1,469	(14,911)			0	2,805		003
Options--129524--129 - NO OTC Equity Option (Index UL)	IUL Product Liability	Exh 5	Equity market	BOA	08/15/2022	08/15/2023	2,800,016	2,800,016	4,297 / (4,632)		118,720		43,245		43,245	(75,475)			0	13,088		003
Options--129527--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	08/16/2022	08/11/2023	10,647,500	10,647,500	4,259 / (4,348)		134,425		52,749		52,749	(81,676)			0	49,457		003
Options--129553--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	08/19/2022	08/18/2023	3,500,000	3,500,000	4,228 / NA		51,100		45		45	(51,055)			0	16,437		003
Options--129568--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	08/23/2022	08/18/2023	13,131,600	13,131,600	4,236 / (4,318)		144,770		54,007		54,007	(90,763)			0	61,669		003

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Options--129618--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	08/26/2022	08/25/2023	3,500,000	3,500,000	4,058 / NA		48,650		8		8	(48,642)			0	16,615		003	
Options--129626--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	08/30/2022	08/25/2023	12,713,100	12,713,100	4,101 / (4,167)		112,964		54,596		54,596	(58,368)			0	60,349		003	
Options--129663--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	09/02/2022	09/01/2023	3,500,000	3,500,000	3,924 / NA		45,150		158		158	(44,992)			0	16,790		003	
Options--129668--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BARC	09/07/2022	09/01/2023	9,825,000	9,825,000	3,930 / (3,997)		101,775		66,716		66,716	(35,059)			0	47,133		003	
Options--129682--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BARC	09/09/2022	09/08/2023	3,500,000	3,500,000	4,067 / NA		51,800		441		441	(51,359)			0	16,964		003	
Options--129707--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	09/13/2022	09/08/2023	12,144,000	12,144,000	4,048 / (4,120)		124,470		74,533		74,533	(49,937)			0	58,862		003	
Options--129714--129 - NO OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	09/15/2022	09/15/2023	2,100,000	2,100,000	3,901 / (4,213)		89,670		56,833		56,833	(32,837)			0	10,282		003	
Options--129715--129 - NO OTC Equity Option (Index UL)	JUL Product Liability	Exh 5	Equity market	CSI	09/15/2022	09/13/2024	100,000	100,000	3,901 / (5,665)		14,330		9,542		9,542	(4,788)			0	699		003	
Options--129719--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	09/16/2022	09/15/2023	3,500,000	3,500,000	3,873 / NA		44,450		7,280		7,280	(37,170)			0	17,137		003	
Options--129740--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	CSI	09/20/2022	09/15/2023	13,968,000	13,968,000	3,880 / (3,957)		155,088		119,001		119,001	(36,087)			0	68,390		003	
Options--129802--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	BOA	09/23/2022	09/22/2023	3,500,000	3,500,000	3,693 / NA		41,650		18,900		18,900	(22,750)			0	17,307		003	
Options--129820--136 - NO FIA Equity Options - Call Spreads	FIA Product Liability	Exh 5	Equity market	BOA	09/27/2022	09/22/2023	14,860,000	14,860,000	3,715 / (3,779)		141,480		128,790		128,790	(12,690)			0	73,481		003	
Options--129835--135 - NO FIA Equity Options - Cliquet	FIA Product Liability	Exh 5	Equity market	CSI	09/30/2022	09/29/2023	3,500,000	3,500,000	3,586 / NA		39,550		62,698		62,698	23,148			0	17,476		003	
015999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										5,291,303	10,276,203	0	2,569,435	XXX	2,569,435	(13,411,208)	0	0	0	3,261,062	XXX	XXX	
Options--127751--120 - NO OTC Equity Option	Statutory Capital	Exh 5	Equity market	CSI	11/19/2021	12/30/2022	119,996,875	119,996,875	4,465 / NA	8,563,450			23,078,942		23,078,942	15,264,434			0	299,581		003	
Options--127752--120 - NO OTC Equity Option	Statutory Capital	Exh 5	Equity market	BOA	11/19/2021	12/30/2022	50,021,130	50,021,130	2,230 / NA	3,124,414			12,422,335		12,422,335	9,171,812			0	124,881		003	
016999999. Subtotal - Purchased Options - Hedging Other - Put Options										11,687,864	0	0	35,501,277	XXX	35,501,277	24,436,246	0	0	0	424,462	XXX	XXX	
021999999. Subtotal - Purchased Options - Hedging Other										16,979,167	10,276,203	0	38,070,712	XXX	38,070,712	11,025,038	0	0	0	3,685,525	XXX	XXX	
028999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
035999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
042999999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
043999999. Total Purchased Options - Call Options and Warrants										5,291,303	10,276,203	0	2,569,435	XXX	2,569,435	(13,411,208)	0	0	0	3,261,062	XXX	XXX	
044999999. Total Purchased Options - Put Options										11,687,864	0	0	35,501,277	XXX	35,501,277	24,436,246	0	0	0	424,462	XXX	XXX	
045999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
046999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
047999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX

E06.9

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
0489999999	Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0499999999	Total Purchased Options										16,979,167	10,276,203	0	38,070,712	XXX	38,070,712	11,025,038	0	0	0	3,685,525	XXX	XXX	
0569999999	Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0639999999	Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0709999999	Subtotal - Written Options - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0779999999	Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0849999999	Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0919999999	Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0929999999	Total Written Options - Call Options and Warrants										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0939999999	Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0949999999	Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0959999999	Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0969999999	Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0979999999	Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
0989999999	Total Written Options										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
102694--70P - CF Non USD Private	Anticipatory cash flows	Sched D	Currency	BOA	06/27/2018	09/27/2033		19,741,500	4.3% USD / (2.87% GBP)			271,597	3,015,000		5,863,253		3,568,500			327,376		100% / 100%		
129533--70P - CF Non USD Private	Anticipatory cash flows	Sched D	Currency	DBAG	08/18/2022	09/28/2032		10,134,000	5.11% USD / (3.7% EUR)			(403,704)	332,000		586,266		332,000			160,255		100% / 100%		
1019999999	Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108 - Foreign Exchange										0	0	(132,108)	3,347,000	XXX	6,449,520	0	3,900,500	0	0	0	487,630	XXX	XXX
1049999999	Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	(132,108)	3,347,000	XXX	6,449,520	0	3,900,500	0	0	0	487,630	XXX	XXX
1109999999	Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1169999999	Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1229999999	Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1289999999	Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1349999999	Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1359999999	Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1369999999	Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1379999999	Total Swaps - Foreign Exchange										0	0	(132,108)	3,347,000	XXX	6,449,520	0	3,900,500	0	0	0	487,630	XXX	XXX
1389999999	Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1399999999	Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1409999999	Total Swaps										0	0	(132,108)	3,347,000	XXX	6,449,520	0	3,900,500	0	0	0	487,630	XXX	XXX
1479999999	Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1509999999	Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1689999999	Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	(132,108)	3,347,000	XXX	6,449,520	0	3,900,500	0	0	0	487,630	XXX	XXX
1699999999	Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1709999999	Subtotal - Hedging Other										16,979,167	10,276,203	0	38,070,712	XXX	38,070,712	11,025,038	0	0	0	3,685,525	XXX	XXX	
1719999999	Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1729999999	Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1739999999	Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1749999999	Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1759999999	Totals										16,979,167	10,276,203	(132,108)	41,417,712	XXX	44,520,231	11,025,038	3,900,500	0	0	0	4,173,155	XXX	XXX

(a)	Code	Description of Hedged Risk(s)
	0003	Hedges the equity risk of a liability

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
-----	------	--

E06.10

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	Highly Effective Hedges			18	19	20	21	22													
														15	16	17																		
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Reporting Date Price	Fair Value	Book/ Adjusted Carrying Value	Cumulative Variation Margin	Deferred Variation Margin	Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item	Cumulative Variation Margin for All Other Hedges	Change in Variation Margin Gain (Loss) Recognized in Current Year	Potential Exposure	Hedge Effectiveness at Inception and at Quarter-end (b)	Value of One (1) Point													
TYZ2	2,925	292,500,000	T-Note Future - TYZ2 - US 10 YR NOTE - 86 - NQ IR ET Futures	Variable Annuity GMIB Product Liability	Exh 5	Interest Rate	12/30/2022	CBT - Chicago Board of Trade	08/19/2022	118.9531	112.0625	(1,142,578)				(20,155,078)	(20,155,078)	5,850,000	0005	1,000														
WNZ2	715	71,500,000	T-Note Future - WNZ2 - US ULTRA BOND - 86 - NQ IR ET Futures	Variable Annuity GMIB Product Liability	Exh 5	Interest Rate	12/30/2022	CBT - Chicago Board of Trade	08/19/2022	152.7031	137.0000	(1,161,875)				(11,227,734)	(11,227,734)	4,647,500	0005	1,000														
USZ2	1,070	107,000,000	T-Note Future - USZ2 - US LONG BOND - 86 - NQ IR ET Futures	Variable Annuity GMIB Product Liability	Exh 5	Interest Rate	12/30/2022	CBT - Chicago Board of Trade	08/19/2022	139.8966	126.4063	(702,188)				(14,434,688)	(14,434,688)	4,066,000	0005	1,000														
1569999999. Subtotal - Long Futures - Other																																		
1579999999. Subtotal - Long Futures																																		
ESZ2	1,125	202,584,375	Index Future - ESZ2 - CME EMINI SP 500 - 49 - NQ Equity Futures (GMIB)	Variable Annuity GMIB Product Liability	Exh 5	Equity/Index	12/16/2022	CME - Chicago Mercantile Exchange	09/23/2022	4,048.6080	3,601.5000	2,967,173				25,149,825	25,149,825	11,250,000	0003	50														
FAZ2	125	27,602,500	Index Future - FAZ2 - S&P MID 400 EMINI - 49 - NQ Equity Futures (GMIB)	Variable Annuity GMIB Product Liability	Exh 5	Equity/Index	12/16/2022	CME - Chicago Mercantile Exchange	09/12/2022	2,505.4700	2,208.2000	212,500				3,715,875	3,715,875	1,687,500	0003	100														
RTYZ2	320	26,716,800	Index Future - RTYZ2 - RUSSELL 2000 MINI - 49 - NQ Equity Futures (GMIB)	Variable Annuity GMIB Product Liability	Exh 5	Equity/Index	12/16/2022	NYF - ICE Futures U.S.	09/23/2022	1,862.4575	1,669.8000	196,800				3,082,520	3,082,520	1,760,000	0003	50														
MFSZ2	660	54,799,800	Index Future - MFSZ2 - LIFFE EMINI MSCI EAFE - 49 - NQ Equity Futures (GMIB)	Variable Annuity GMIB Product Liability	Exh 5	Equity/Index	12/16/2022	NVL - NYSE Liffe U.S.	09/12/2022	1,886.5211	1,660.6000	244,200				7,455,395	7,455,395	2,220,504	0003	50														
1639999999. Subtotal - Short Futures - Other																																		
1649999999. Subtotal - Short Futures																																		
1679999999. Subtotal - SSAP No. 108 Adjustments																																		
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108																																		
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108																																		
1709999999. Subtotal - Hedging Other																																		
1719999999. Subtotal - Replication																																		
1729999999. Subtotal - Income Generation																																		
1739999999. Subtotal - Other																																		
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives																																		
1759999999 - Totals																																		

E07

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
Goldman Sachs	2,729,750	(2,115,718)	614,032
Total Net Cash Deposits	2,729,750	(2,115,718)	614,032

(a) Code	Description of Hedged Risk(s)
0003	Hedges the equity risk of a liability
0005	Hedges the interest rate risk of a liability

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

(b) Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0003	Hedges the equity risk of a liability
0005	Hedges the interest rate risk of a liability

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12 Potential Exposure	13 Off-Balance Sheet Exposure
			4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts With Book/Adjusted Carrying Value >0	7 Contracts With Book/Adjusted Carrying Value <0	8 Exposure Net of Collateral	9 Contracts With Fair Value >0	10 Contracts With Fair Value <0	11 Exposure Net of Collateral		
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX		614,032		614,032	614,032		614,032	31,481,504	31,481,504
BANK OF AMERICA N.A.	Y	Y	6,590,369		16,249,564	0	9,659,195	19,097,817	0	12,507,448	1,713,463	1,713,463
GOLDMAN SACHS INTERNATIONAL	Y	Y	110,000		43,600		0	43,600		0	2,722	2,722
BARCLAYS BANK	Y	Y			733,538		733,538	733,538		733,538	962,490	962,490
DEUTSCHE BANK A.G.	Y	Y			332,000		332,000	586,266		586,266	160,255	160,255
0299999999. Total NAIC 1 Designation			6,700,369	0	17,358,702	0	10,724,733	20,461,221	0	13,827,252	2,838,930	2,838,208
CREDIT SUISSE INTERNATIONAL	Y	Y	22,745,000		24,059,010		1,314,010	24,059,010		1,314,010	1,334,225	1,334,225
0399999999. Total NAIC 2 Designation			22,745,000	0	24,059,010	0	1,314,010	24,059,010	0	1,314,010	1,334,225	1,334,225
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)							0			0		
0999999999 - Gross Totals			29,445,369	0	42,031,744	0	12,652,775	45,134,263	0	15,755,294	35,654,659	35,651,937
1. Offset per SSAP No. 64												
2. Net after right of offset per SSAP No. 64					42,031,744	0						

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
CREDIT SUISSE INTERNATIONAL	Treasury	912834-EP-9	S 0 08/15/39	6,490,540	12,861,178	5,626,625	08/15/2039	V
CREDIT SUISSE INTERNATIONAL	Treasury	912803-ER-0	SP 0 02/15/46	14,830,321	38,446,121	20,323,229	02/15/2046	V
DEUTSCHE BANK	Corporate	87612E-AF-3	TGT 7 07/15/31	24,078	21,000	22,599	07/15/2031	V
BANK OF AMERICA	Treasury	912810-PB-6	T 2 7/8 05/15/43	1,015,627	1,218,600	1,112,405	05/15/2043	V
0199999999 - Total				22,360,567	52,546,899	27,084,856	XXX	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
CREDIT SUISSE INTERNATIONAL	Cash		USD	22,745,000	22,745,000	XXX		V
BANK OF AMERICA	Treasury	912828-Z9-4	T 1 1/2 02/15/30	952,435	1,122,000	XXX	02/15/2030	V
BANK OF AMERICA	Treasury	91282C-CB-5	T 1 5/8 05/15/31	3,822,216	4,531,000	XXX	05/14/2031	V
BANK OF AMERICA	Treasury	912828-YB-0	T 1 5/8 08/15/29	1,312,064	1,521,000	XXX	08/15/2029	V
BANK OF AMERICA	Treasury	912828-R3-6	T 1 5/8 05/15/26	503,655	548,000	XXX	05/15/2026	V
GOLDMAN SACHS INTERNATIONAL	Cash		USD	110,000	110,000	XXX		V
0299999999 - Total				29,445,369	30,577,000	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of America, NA New York, New York					(87,586,680)	(82,034,663)	(96,148,722)	.XXX.
Bank of N.T. Butterfield & Son Ltd. Hamilton, Bermuda					2,214,105	2,233,025	2,250,309	.XXX.
Deutsche Bank Trust Company Americas New York, New York					714,578	11,654,942	9,042,778	.XXX.
Federal Home Loan Bank of Atlanta Atlanta, GA					1,569,702	1,732,459	1,735,955	.XXX.
Fifth Third Bank Cincinnati, OH					1,905,325	2,062,470	1,883,379	.XXX.
JP Morgan Chase Bank New York, New York					(9,565,844)	(9,026,241)	(807,521)	.XXX.
The Bank of New York Mellon ... New York, New York					11,854,437	3,420,565	3,070,954	.XXX.
Wells Fargo Bank, NA San Francisco, CA					885,660	997,048	992,174	.XXX.
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						.XXX.
0199999. Totals - Open Depositories	XXX	XXX	0	0	(78,008,717)	(68,960,395)	(77,980,694)	.XXX.
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0399999. Total Cash on Deposit	XXX	XXX	0	0	(78,008,717)	(68,960,395)	(77,980,694)	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				.XXX.
0599999. Total - Cash	XXX	XXX	0	0	(78,008,717)	(68,960,395)	(77,980,694)	.XXX.

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0109999999	Total - U.S. Government Bonds					0	0	0
0309999999	Total - All Other Government Bonds					0	0	0
0509999999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
0709999999	Total - U.S. Political Subdivisions Bonds					0	0	0
0909999999	Total - U.S. Special Revenues Bonds					0	0	0
1109999999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
1309999999	Total - Hybrid Securities					0	0	0
1509999999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
1909999999	Subtotal - Unaffiliated Bank Loans					0	0	0
2419999999	Total - Issuer Obligations					0	0	0
2429999999	Total - Residential Mortgage-Backed Securities					0	0	0
2439999999	Total - Commercial Mortgage-Backed Securities					0	0	0
2449999999	Total - Other Loan-Backed and Structured Securities					0	0	0
2459999999	Total - SVO Identified Funds					0	0	0
2469999999	Total - Affiliated Bank Loans					0	0	0
2479999999	Total - Unaffiliated Bank Loans					0	0	0
2509999999	Total Bonds					0	0	0
261908-10-7	DREYFUS TREAS&AGEN CASH MGMTI		.09/26/2022	0.000		33,019,357	.0	59,464
316175-50-4	FIDELITY INSTIT TREASURY I GLAIC 140135		.09/16/2022	0.000		13,595,000	.0	.0
38141W-32-3	GOLDMAN SACHS FS TREAS OBLIGI		.09/30/2022	0.000		46,620,187	.0	16,997
60934N-50-0	FEDERATEDGOVT OBLIG FUNDINST		.08/03/2022	0.000		1,200,000	.0	2,685
857492-55-7	STATE STREET INSTITUTIONAL TRE		.06/24/2022	0.000		86,601,000	.0	279,146
316175-50-4	FIDELITY FIMM FUNDS - GOVT PORT INSTL CL		.09/30/2022	0.000		20,115,785	.0	130,589
261908-10-7	DREYFUS TRSY OBLIG CASH MGMT CL INS		.09/30/2022	0.000		24,664,922	.0	.0
8209999999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					225,816,251	0	488,881
8609999999	Total Cash Equivalents					225,816,251	0	488,881