

Transportation of Lithium Batteries - 2017 Training Presentation

Paul Quickert Services Sustainability Manager Effective January 1, 2017

Technical Services, Global Service Logistics and Operations (GSLO) – Global Service Delivery Excellence (GSDE)

Transportation of Lithium Batteries - 2017

Agenda:

- Regulatory Overview
- Training requirements
- Dangerous Goods Classification and Labeling
- Lithium Battery Safety Issues and Packaging
- Cisco Products that use Lithium Batteries
- Classification, Identification and Links to Files
- Mode Specific Limitations, Marking, Labeling and Documentation for Packing Instructions 965, 966, 967, 968, 969 and 970
- Example of Shipping Document
- Ground Transportation
- Example of Material Safety Data Sheet (MSDS)



Dangerous Goods Regulations

- United Nations Standards are the basis for Dangerous Goods Classification by all modes of transportation
 - The UN International Civil Aviation Organization, Technical Instructions (TI's) cover air transport
 - ★ The International Air Transport Association (IATA),
 Dangerous Goods Regulations, 58th Edition-2017 Developed by carriers as a more user friendly version
 of the TI"s, and are used as the day to day working
 document by shippers, forwarders and carriers
 - The International Maritime Dangerous Goods (IMDG)
 Code covers transport by ocean freight
 - The UN Standards are adopted as law and enforced by each country
 - In the US, the Department of Transportation regulates Dangerous Goods (Hazardous Materials) for all modes of transportation









Dangerous Goods Training

- ★ Training is not optional It is required for each individual with responsibility for the preparation and shipment of Lithium Batteries (Dangerous Goods)
- Training must cover each of the duties for which the individual is responsible
- Training must remain current based on changes in the requirements
- At a minimum, training must be repeated every 24 months



Dangerous Goods Labels - Air Transport

- Lithium (Li) and Lithium Batteries are regulated as Dangerous Goods
 - Lithium Class 4,
 Flammable Solid,
 Dangerous When Wet
 - Lithium Batteries Class 9, Miscellaneous Dangerous Goods



Current Lithium Battery Labels - Cisco Part Numbers

120 mm CAUTION AVERTISSEMENT! Lithium Ion Battery Pile Lithium-Ion DO NOT LOAD OR TRANSPORT PACKAGE IF DAMAGED NE PAS CHARGER OU TRANSPORTER UN COLIS ENDOMMAGÉ For information, call (408) 853-0255 (USA)

PN 47-22218-01





PN 47-101436-02

PN 47-101435-01

Lithium Battery Labeling

- Lithium Batteries contain small quantities of lithium and are "excepted" from certain requirements
 - Lithium Metal (button cell) Batteries are also referred to as primary (nonrechargeable) batteries
 - Lithium Ion Batteries are rechargeable batteries
- For Services, the number of Lithium Batteries per package and the number of packages per consignment are strictly controlled

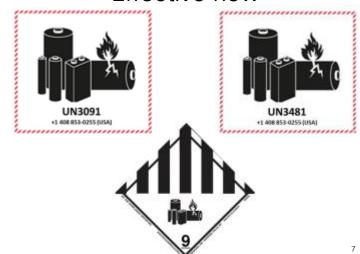
Authorized through 2018







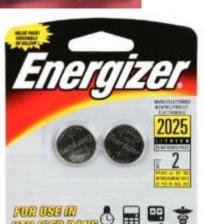
Effective now



Lithium Battery Safety Concerns

- Recent air transport incidents have heightened safety concerns over defective and improperly packaged Lithium Batteries shipped as cargo
- The Lithium Ion and Lithium Metal batteries used by Cisco are safe in normal use and may be transported safely when properly packaged
- All batteries shipped by Cisco are installed in equipment or are individually packaged to prevent short circuit









Lithium Batteries and Battery Packs

Lithium Ion Batteries are found in:

Wireless Handsets for IP Phones

RAID Controllers (battery back-up)

Cisco Wireless Access Point products (LAN/WAN)

Lithium Metal (button cell) Batteries are

found in:

PC boards





Lithium Battery Classification

- Lithium Ion Batteries
 - UN 3480, ICAO/IATA Packing Instruction 965, Section II
 - 30% State of Charge, Forbidden on all Aircraft Surface Transport Only
 - 2 batteries per box, and for surface transport, no limit on packages per consignment
 - </= 30% State of Charge. Forbidden on Passenger Aircraft
 - 2 batteries per box, one package per consignment, must be offered separately from other cargo
- Lithium Ion Batteries packed with equipment
 - UN 3481, ICAO/IATA Packing Instruction 966, Section II)
 - No State of Charge limitation, 2 batteries per box, no limit on packages per consignment
- Lithium Ion Batteries contained in equipment
 - UN 3481, ICAO/IATA Packing Instruction 967, Section II
 - No State of Charge limitation, 2 batteries per box, no limit on packages per consignment
- Lithium Metal Batteries
 - UN 3090, ICAO/IATA Packing Instruction 968, Section II
 - Forbidden on Passenger Aircraft
 - 2 batteries per box, one package per consignment, must be offered separately from other cargo
- Lithium Metal Batteries packed with equipment
 - UN 3091, ICAO/IATA Packing Instruction 969, Section II
 - No State of Charge limitation, 2 batteries per box, no limit on packages per consignment
- · Lithium Metal Batteries contained in equipment
 - UN 3091, ICAO/IATA Packing Instruction 970, Section II
 - No State of Charge limitation, 2 batteries per box, no limit on packages per consignment

© 2013-2014 Cisco and/or its affiliates. All rights reserved.

Services PID List of Batteries

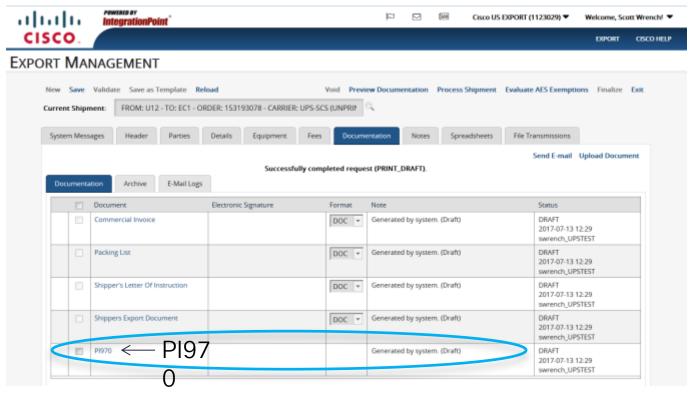
4	D. N. FDC 407805F								
	Doc No: EDCS-10790655 Last Rev.: 21-June-2017		Servi	Services Battery Master List					
	Procedure Owner: Paul Quic	l.c.ut			•				
4	Next Review Date: Nov-201								
_			Authorized for		Packing Instruction			Battery Energy	1
5	Battery Shipping PID	Prodcut Description	shipment by air 💌	UN Code 💌	(see below)	Battery Configuration	Battery Chemistry *	Density WHr	Battery Recha
			Forbidden		PI 965 Section II				
14	AIR-1520-BATT-6AH=	1520 Series Battery Backup - NOT SUPPORTED	State of Change > 30%	UN 3480	State of Change > 30%	Standalone/loose	Lithium-ion (Li-ion)	86.58	Rechargeable
15	B440-BASE-M2	UCS B440 M2 Blade Server w/o CPU; memory; HDD; mezz	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	4.995	Rechargeable
16	B440-BASE-M2D	UCS B440 M2 Blade Server w/o CPU; memory; HDD; mezz	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	4.995	Rechargeable
			Forbidden		PI 965 Section II				
20	CCS-BAT-RAID-710=	Content Security Raid Battery for x70 Model	State of Change > 30%	UN 3480	State of Change > 30%	Standalone/loose	Lithium-ion (Li-ion)	8.88	Rechargeable
21	CDE3-HBA-G2MR-BBU=	BTR-0023L-LSI00264; LSI 9261 Gen2/2108 M-RAID BBU (Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	5.55	Rechargeable
22	CGR-BATT-4AH=	4 AH Battery Backup for CGR1200	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	9.80025	Rechargeable
29	CPAR-RAID-9266	MegaRAID 9266-8i + battery backup for C240 and C220	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	4.995	Rechargeable
30	CP-BATT-7921G-EXT=	Cisco 7921G Battery; Extended - NOT SUPPORTED	Forbidden	UN 3480	PI 965 Section II	Standalone/loose	Lithium-ion (Li-ion)	3.441	Rechargeable
31	CP-BATT-7921G-STD=	Cisco 7921G Battery; Standard - NOT SUPPORTED	Forbidden	UN 3480	PI 965 Section II	Standalone/loose	Lithium-ion (Li-ion)	5.88	Rechargeable
32	CP-BATT-7925G-EXT=	Cisco 7925G Battery; Extended - NOT SUPPORTED	Yes	UN 3480	PI 965 Section II	Standalone/loose	Lithium-ion (Li-ion)	2.6825	Rechargeable
33	CP-BATT-7925G-STD=	Cisco 7925G Battery; Standard - NOT SUPPORTED	Forbidden	UN 3480	PI 965 Section II	Standalone/loose	Lithium-ion (Li-ion)	4.62	Rechargeable
34	C880-BAT-CR2032=	Cisco C880 M4 Battery CR2032 Spare - NOT SUPPORTED	Yes	UN 3090	PI 968 Section II	Standalone/loose	Lithium Metal	N/A	Non-Rechargat
35	C880-BAT-CR2450=	Cisco C880 M4 Battery CR2450 Spare - NOT SUPPORTED	Yes	UN 3090	PI 968 Section II	Standalone/loose	Lithium Metal	N/A	Non-Rechargat
		Cisco Wireless IP Phone 8821 World mode							
36	CP-8821-K9=	Device ONLY - NO BATTERY	NOT RESTRICTED	N/A	N/A	N/A	N/A	N/A	N/A
		Spare Batteries for Wireless Satellite Microphones							
37	CP-8831-MIC-BATT=	- NOT SUPPORTED	Yes	UN 3480	PI 965 Section II	Contained in equipment	Lithium-ion (Li-ion)	3.48	Rechargeable
		Wireless Microphone Kit for Cisco IP							
38	CP-8831-MIC-WRLS=	Conference Phone 8831	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	3.48	Rechargeable
39	CP-BATT-8821=	Cisco 8821 Battery, Extended - NOT SUPPORTED	Yes	UN 3480	PI 965 Section II	Contained in equipment	Lithium-ion (Li-ion)	8.70	Rechargeable
40	CP-MIC-WRLS-S-AP=	Spare 8831 Wireless Microphone	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	3.48	Rechargeable
41	CP-MIC-WRLS-S-EU=	Spare 8831 Wireless Microphone	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	3.48	Rechargeable
42	CP-MIC-WRLS-S-JP=	Spare 8831 Wireless Microphone	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	3.48	Rechargeable
43	CP-MIC-WRLS-S-US=	Spare 8831 Wireless Microphone	Yes	UN 3481	PI 967 Section II	Contained in equipment	Lithium-ion (Li-ion)	3.48	Rechargeable



The Services Battery Master List should be used as the primary reference to determine the shipping requirements for each PID

© 2013-2014 Cisco and/or its affiliates. All rights reserved.

CICE system generated documentation



- Battery documentation is now available to be printed from CICE
 - The document is generated by the system based on PID

© 2013-2014 Cisco and/or its affiliates. All rights reserved.

12

Lithium Ion Batteries - Uninstalled, spares

- Lithium Ion Batteries
 - UN 3480, ICAO/IATA Packing Instruction 965, Section II
 - </= 30% State of Charge. Forbidden on Passenger Aircraft</p>
 - 2 batteries per box, one package per consignment, must be offered separately from other cargo

FedEx **Section II -** Not accepted per IATA operator variation FX-05A; must be offered as Section IA or IB.

Lithium Ion Batteries – Packing Instruction 965 (PID's for batteries with less than or equal to 30% State of Charge, shipping uninstalled – apart from the product they power)

Dangerous Goods Classification						
UN ID Number	Proper Shipping Name	Hazard Class	Packing Group	Packing Instruction		
UN3480	Lithium Ion Batteries	9	II	PI965, Section II		
Batteries less than or equal to 30% State of Charge (SoC)						

	Quantity Li	mitation		
Transport Method	Per Package	Boxes per Consignment		
Truck	2	No Limit		
Air - Cargo Aircraft Only	2	1		
Ocean - Special Provision 188	2	No Limit		
	Shipment Lead-Times			
Transport Method	Days	Weeks		
Truck	5-7	-		
Air - Cargo Aircraft Only	2-4 (limited availability)	-		
Ocean	-	5-8 (may be longer)		

Packing Instruction 965 - Marking, Labeling and Documentation

Marking

Lithium Ion Batteries, UN3480

 Forbidden for transport aboard Passenger Carrying Aircraft

110 mm

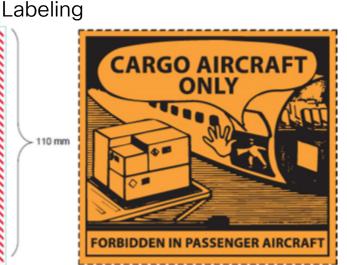
Special Provision 188

CAUTION! AVERTISSEMENT! Lithium Ion Battery Pile Lithium-Ion DO NOT LOAD OR TRANSPORT PACKAGE IF DAMAGED

NE PAS CHARGER OU TRANSPORTER

UN COLIS ENDOMMAGÉ For information, call (408) 853-0255 (USA)

120 mm

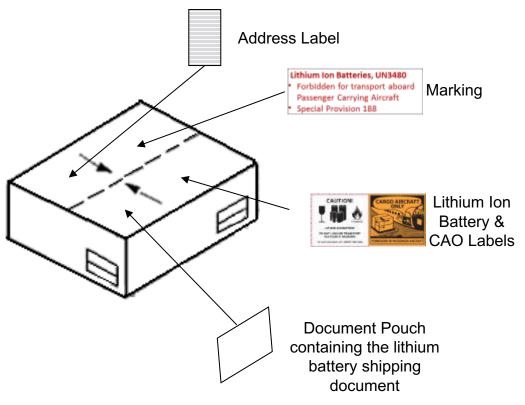


Document/Statemen



Air Waybill: "Lithium ion batteries in compliance with Section II of PI 965, Cargo Aircraft Only"

Pl 965 - Placement of Marking, Labeling and Document



- Place the appropriate battery statement in a document pouch
- Mark by hand or place the marking
- ★ Affix the labels DO NOT FOLD LABELS OVER EDGE
 - If space in available on the box it is preferable to place the marking, labeling and documentation on the same surface of the package, near the address label

© 2013-2014 Cisco and/or its affiliates. All rights reserved.

Lithium Ion Batteries - Installed in or shipped with product

- Lithium Ion Batteries packed with equipment
 - UN 3481, ICAO/IATA Packing Instruction 966, Section II)
 - No State of Charge limitation, 2 batteries per box, no limit on packages per consignment
- Lithium Ion Batteries contained in equipment
 - UN 3481, ICAO/IATA Packing Instruction 967, Section II
 - No State of Charge limitation, 2 batteries per box, no limit on packages per consignment
 - Marking, labeling, documentation and air waybill notations are not required by the regulations, but may be required by State/carrier acceptance criteria

Packing Instruction 966/967 - Marking and Labeling for Truck and Ocean Transport

Battery PID's listed on the Master List under

	Quantity Limitation				
Transport Method	Per Package	Boxes per Consignment			
Truck	2	No Limit			
Air	2	No Limit			
Ocean - Special Provision 188	2	No Limit			

Marking

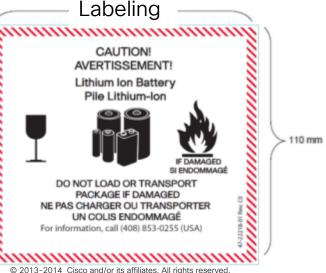
Lithium Ion Batteries packed with equipment, UN3481 (PI 966, Section II)

Special Provision 188

Lithium Ion Batteries contained in equipment, UN3481 (PI 967, Section II)

Special Provision 188

Document/Statemen



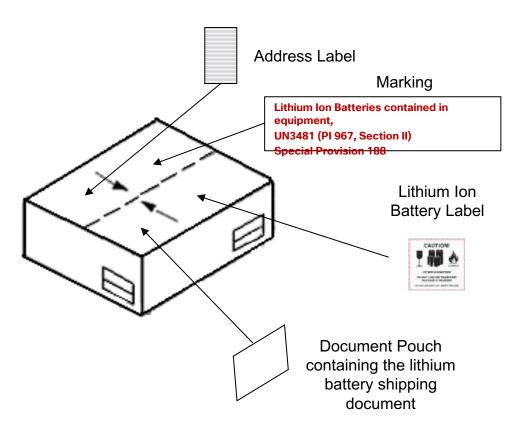


Air Waybill: "Lithium ion batteries in compliance with Section II of PI966"



Air Waybill: "Lithium ion batteries in compliance with Section II of PI967"

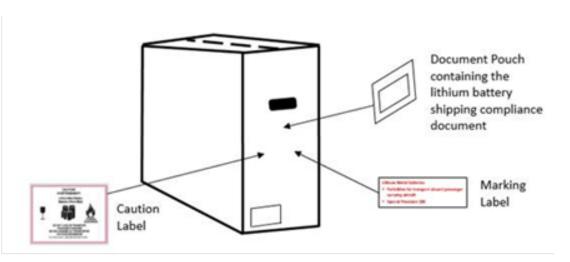
PI 966/967 - Placement of Marking, Labeling and Document



- Place the appropriate battery statement in a document pouch
- Mark by hand or place the marking
- Affix the labels DO NOT FOLD LABELS OVER EDGE
- If space in available on the box it is preferable to place the marking, labeling and documentation on the same surface of the package, near the address label

© 2013-2014 Cisco and/or its affiliates. All rights reserved

Pl 966/967 - Optional Placement of Marking, Labeling and Document



- Place the appropriate battery statement in a document pouch
- Mark by hand or place the marking
- Affix the labels DO NOT FOLD LABELS OVER EDGE
- If space in available on the box it is preferable to place the marking, labeling and documentation on the same surface of the package, near the address label

© 2013-2014 Cisco and/or its affiliates. All rights reserved

Restrictions on Lithium Metal Batteries

- Lithium Metal Batteries
 - UN 3090, ICAO/IATA Packing Instruction 968, Section II
 - Forbidden on Passenger Aircraft
 - 2 batteries per box, one package per consignment, must be offered separately from other cargo

FedEx **Section II -** Not accepted per IATA operator variation FX-05A; must be offered as Section IA or IB.

Packing Instruction 968 - Marking and Labeling for all modes of Transport

	Quantity Limitation				
Transport Method	Per Package	Boxes per Consignment			
Truck	2	No Limit			
Air - Cargo Aircraft Only	2	1			
Ocean - Special	2	No Limit			

Marking

Lithium metal batteries, UN3090 (PI 968, Section II) Forbidden for transport aboard Passenger Carrying

Aircraft Special Brovision 188



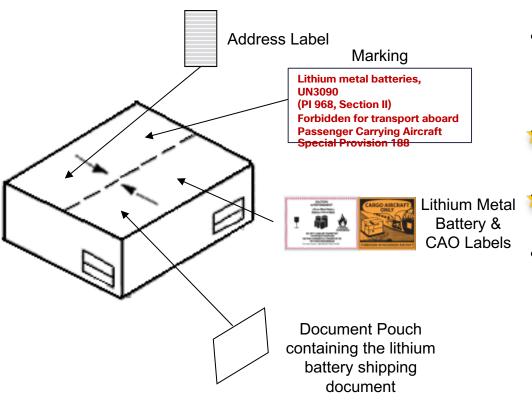


Document/Statemen



Air Waybill: "Lithium metal batteries in compliance with Section II of PI 968, Cargo Aircraft Only"

PI 968 - Placement of Marking, Labeling and Document



- Place the appropriate battery statement in a document pouch
- Mark by hand or place the marking
- ★ Affix the labels DO NOT FOLD LABELS OVER EDGE
 - If space in available on the box it is preferable to place the marking, labeling and documentation on the same surface of the package, near the address label

© 2013-2014 Cisco and/or its affiliates. All rights reserved

Lithium Metal Batteries - Installed in or shipped with product

- Lithium Metal Batteries packed with equipment
 - UN 3091, ICAO/IATA Packing Instruction 969, Section II
- Lithium Metal Batteries contained in equipment
 - UN 3091, ICAO/IATA Packing Instruction 970, Section II

 Marking, labeling, documentation and air waybill notations are not required by the regulations, but may be required by State/carrier acceptance criteria

Packing Instruction 969 and 970 - Marking and Labeling for all modes of Transport

	Quantity Limitation				
Transport Method	Per Package	Boxes per Consignment			
Truck	2	No Limit			
Air	2	No Limit			
Ocean - Special Provision 188	2	No Limit			

Marking

Lithium metal batteries packed with equipment, UN3091

- Special Provision 188
 Lithium metal batteries
 contained in equipment,
 UN3091
- Special Provision 188 Labeling

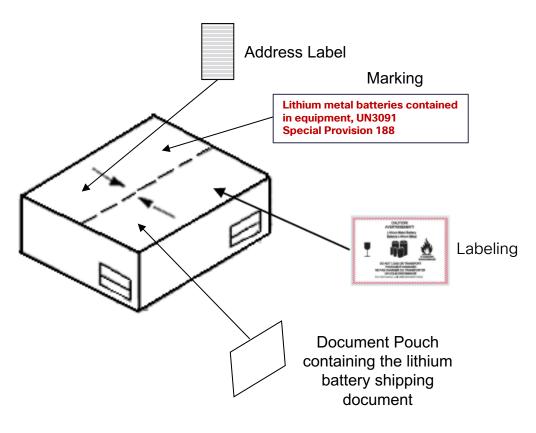


Document/Statemen



Air Waybill: Per carrier requirements - "Lithium metal batteries in compliance with Section II of PI969 or PI970

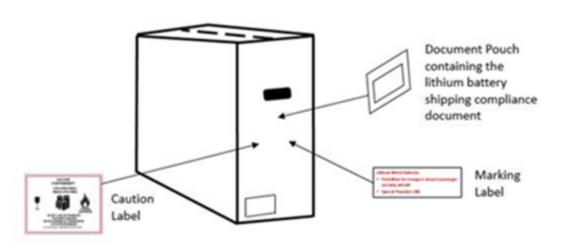
PI969/PI970 - Placement of Marking, Labeling and Document



- Place the appropriate battery statement in a document pouch
- Mark by hand or place the marking
- ★ Affix the labels DO NOT FOLD LABELS OVER EDGE
- If space in available on the box it is preferable to place the marking, labeling and documentation on the same surface of the package, near the address label

© 2013-2014 Cisco and/or its affiliates. All rights reserved

Pl969/Pl970 - Optional Placement of Marking, Labeling and Document



- Place the appropriate battery statement in a document pouch
- Mark by hand or place the marking
- ★ Affix the labels DO NOT FOLD LABELS OVER EDGE
- If space in available on the box it is preferable to place the marking, labeling and documentation on the same surface of the package, near the address label

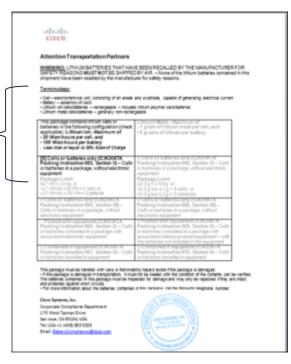
© 2013-2014 Cisco and/or its affiliates. All rights reserved

Shipping Document

Terminology:

- Cell electrochemical unit, consisting of an anode and a cathode, capable of generating electrical current
- · Battery assembly of cells
- Lithium ion cells/batteries rechargeable includes lithium polymer cells/batteries
- Lithium metal cells/batteries generally non-rechargeable

This package contains lithium cells or batteries in the following configuration (check applicable): Lithium Ion - Maximum of • 20 Watt-hours per cell; and • 100 Watt-hours per battery • Less than or equal to 30% State of Charge	Lithium Metal – Maximum of • 1 gram of lithium metal per cell; and • 2 grams of lithium per battery
[X] Cells or batteries only (ICAO/IATA Packing Instruction 965, Section II) – Cells or batteries in a package, without electronic equipment Package Limit: ≤2.7 Wh = 2.5 kg; or >2.7 Wh but ≤ 20 Wh = 8 cells; or >2.7 Wh but ≤ 100 Wh = 2 batteries	□ Cells or batteries only (ICAO/IATA Packing Instruction 968, Section II) – Cells or batteries in a package, without electronic equipment Package Limit: ≤0.3 g = 2.5 kg; or >0.3 g but ≤ 1 g = 8 cells; or



Highway and Ocean Transport

 Transport by Highway or Ocean Freight – Use the above packaging, marking, labeling and documentation instructions and prepare a waybill or ocean freight manifest, as appropriate.





MSDS



MATERIAL SAFETY DATA SHEET

1. CHEMICAL PRODUCT AND COMPANY IDENTIFICATION

PRODUCT IDENTIFICATION / DESCRIPTION UCSC-LBBU02, UCSC-LBBU02=

OEM MANUFACTURER LSI Logic

- 2. COMPOSITION INFORMATION
- A. Cisco product identification matrix *

Battery ID	Cisco P/N	Lithium Weight(g)	Watt Hr	UN Classification	Packing Instruction *
UCSC-LBBU02	74-10370-01	0.45 g	5.6 WHr	UN 3481	PI 967 Section II
UCSC-LBBU02=	74-10370-01	0.45g	5.6 WHr	UN 3480	PI 965 Section II

B. OEM Lithium-Ion Battery Matrix

Manufacture Coll	Cell P/N Cell Type		Capacity	Lithium	No. of Cell/	
manufacture Cell	F/N C	enrype	Capacity	Woight(g)/Coll	hatton	ĺ

alada CISCO MATERIAL SAFETY DATA SHEET 1. CHEMICAL PRODUCT AND COMPANY IDENTIFICATION PRODUCT IDENTIFICATION / DESCRIPTION UCSC-LBBU02, UCSC-LBBU02= OEM MANUFACTURER LSI Logic 2. COMPOSITION INFORMATION A. Cisco product identification matrix * Cisco P/N Battery ID UCSC-LBBU02 74-10370-01 5.6 WHr PI 967 Section I UCSC-LBBU02* 74-10370-01 0.450 B. OEM Lithium-Ion Battery Matrix Manufacture | Cell P/N | Cell Type Capacity Weight(g)/Cell battery Palladium L5-25343-12 Li-lon B. Lithium-Ion Single Cell Matrix Manufacture Cell P/N Capacity 1500mAh @ 3.7V Note: UN classification and Packing Instruction is determined by the specification of the finished battery. Cisco's original factory packaging format and the mode of shipping specified by Cisco per IATA DG regulation 56th edition, 2015. This information will supersede other transportation information in the MSDS for battery cell only. 3. MSDS FOR CELL See attached Palladium and Maxell MSDS.

Cisco Systems, Inc.



A MSDS (Material Safety Data Sheet) is not required by the regulations, but may be demanded by parties who handle, inspect, transport or receive lithium battery shipments. Request copies at:



Certificate of Completion

Employee Name

has

Transportation of Lithium Batteries

December 15, 2017

Paul Quickert

Services Sustainability Manager

Thank you.

