

1 **A Mix of Chlorogenic and Caffeic Acid Reduces C/EBP β and PPAR- γ 1 levels and Counteracts Lipid**
2 **Accumulation in Macrophages**

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4 Mirko Marino¹, Cristian Del Bo'^{1,*}, Massimiliano Tucci¹, Samuele Venturi¹, Giacomo Mantegazza¹, Valentina
5 Taverniti¹, Peter Møller², Patrizia Riso¹, Marisa Porrini¹

6

7 Affiliation

8 ¹Università degli Studi di Milano, Department of Food, Environmental and Nutritional Sciences (DeFENS),
9 20133 Milan, Italy.

10 ²Department of Public Health, Section of Environmental Health, University of Copenhagen, DK-1014
11 Copenhagen K, Denmark

12

13 *Correspondence: Dr Cristian Del Bo', PhD, Università degli Studi di Milano, Department of Food,
14 Environmental and Nutritional Sciences - Division of Human Nutrition, Milan, Italy Fax,+39 0250316721;
15 Phone, +39 0250316730; email: cristian.delbo@unimi.it

16

17 **Abstract**

18 **Purpose:** Chlorogenic acid (CGA) and caffeic acid (CA) are bioactive compounds in whole grains, berries,
19 apples, some citrus fruits and coffee, which are hypothesized to promote health-beneficial effects on the
20 cardiovascular system. This study aimed to evaluate the capacity of CGA and CA to reduce lipid accumulation
21 in macrophages, recognized as a critical stage in the progression of atherosclerosis. Furtherly, the modulation
22 of CCAAT/enhancer-binding protein β (C/EBP β) and peroxisome proliferator-activated receptor- γ 1 (PPAR-
23 γ 1), as transcription factors involved in lipid metabolism, was evaluated.

24 **Methods:** THP-1 derived macrophages were treated for 24 h with 0.03, 0.3, 3 and 30 μ M of CGA and CA,
25 tested alone or in combination, and a solution of oleic/palmitic acid (500 μ M, 2:1 ratio). Lipid storage was
26 assessed spectrophotometrically through fluorescent staining of cells with Nile red. C/EBP β and PPAR- γ 1
27 mRNA and protein levels were evaluated by RT-PCR and enzyme-linked immunosorbent assay, respectively.

28 **Results:** The mix of CGA+CA (1:1 ratio) reduced lipid accumulation at all concentrations tested, except for the
29 highest one. The greatest effect (-65%; $p < 0.01$) was observed at the concentration of 0.3 μ M for each compound.
30 The same concentration significantly ($p < 0.01$) downregulated C/EBP β and PPAR- γ 1 gene expression and
31 reduced their protein levels at 2 h and 24 h, respectively.

32 **Conclusion:** The results indicate that the capacity of CGA + CA mix to reduce lipid storage in macrophages is
33 reduced by transcription factors C/EBP β and PPAR- γ 1.

34

35 **Keywords:** polyphenols, THP-1 derived macrophages, lipid accumulation, PPAR- γ 1, C/EBP β , atherosclerosis

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37

38 Introduction

39 In the last years, there has been increasing attention on the potential role of dietary polyphenols in the
40 modulation of lipid metabolism and prevention of cardiovascular events [1-8]. Polyphenols are a
41 heterogeneous class of more than 8000 bioactive compounds in plants. The main food sources are vegetables,
42 fruits, whole grains, legumes and chocolate, but they are also abundant in beverages such as tea, coffee, and
43 red wine [9]. Polyphenols are most commonly classified into flavonoids and nonflavonoids. Flavonoids are
44 characterized by a basic structure of diphenyl propane containing several hydroxyl groups on the aromatic
45 rings; while, nonflavonoids share a single aromatic ring as basic structure [10]. Flavanols, anthocyanins,
46 isoflavones, flavones, flavonols and flavanones belong to the group of flavonoids, while phenolic acids,
47 stilbenes and lignans belong to the group of nonflavonoids. Phenolic acids (hydroxycinnamic and
48 hydroxybenzoic acids) such as ferulic, chlorogenic acid (CGA), and its metabolite caffeic acid (CA), are widely
49 distributed in whole grains, apples, some citrus fruits, coffee and berries generally esterified with other
50 molecules such as carbohydrates and organic acids [10]. As reported on Phenol-Explorer, the first and the most
51 exhaustive database on polyphenol content in foods, the concentration of these compounds is variable [11,12];
52 for example, different types of berries contain from 1 to 200 mg/100g CGA and CA [13], while the total CGA
53 concentration in coffee ranges from 30 to 1000 mg/100 ml of product [14,15].

54
55 The absorption of CGA occurs mostly in the intestine by passive diffusion. However, some ex vivo absorption
56 experiments with pig jejunal mucosa showed a role of active efflux in CGA bioavailability and, further, that
57 the mechanism of absorption in the jejunum is governed by physicochemical properties of specific transporters
58 [16]. After absorption, CGA undergoes mainly sulfation and glucuronidation reactions, while the unabsorbed
59 portion is extensively hydrolyzed by gut bacteria [15]. The colonic metabolites can be absorbed and excreted
60 in the urine. For example, after coffee consumption the main metabolites found in urine over a period of 24
61 hours were: ferulic acid-4-O-sulfate, caffeic acid-3-O-sulfate, isoferulic acid-3-O-glucuronide and
62 dihydrocaffeic acid-3-O-sulfate [17-18]. Due to this extensive metabolism, the in vivo concentrations of CGA
63 and CA are widely variable; the maximum plasma concentration (C_{max}) of CGA and metabolites (including
64 CA) ranges from ~2 nM to ~6 μM levels in humans [18-20], depending on the type and magnitude of food
65 administered, individual metabolic and xenobiotic response [21], but also analytical method used for their
66 detection. The role of phenolic acids in the modulation of cardiovascular risk has not been fully investigated.
67 Some studies seem to support their cardiovascular protection by counteracting oxidative stress and
68 inflammation, and by regulating cell adhesion process, migration and lipid accumulation [22-28]. The
69 entrapment of monocytes in the intimal area and their subsequent transformation to macrophage-like foam
70 cells is a pivotal step in atherosclerosis, with a significant impact on plaque inflammation, progression and
71 stability. In particular, lipid accumulation in macrophages determines cell dysfunction and a dysregulation of
72 several transcriptional factors involved in the atherosclerotic process [29]. Lipid overload at the level of arterial
73 tunica intima triggers foam cells development, which is the first event in atherosclerosis that can be noticed
74 [30]. Particularly, the uptake of free fatty acids determines an increase in triglycerides accumulation in
75 macrophages by reducing the beta-oxidation process and by increasing the expression of lipogenic enzymes
76 [31].

77
78 Influx, esterification and efflux of lipids are critical stages involved in intracellular lipid droplets generation.
79 Indeed, an alteration in the processes involved in lipid metabolism represents one of the major determinants
80 of the macrophage transformation into a foam cell [32]. Excessive lipid deposition in foam cells leads to
81 persistent endoplasmic reticulum stress which in turn induces apoptosis [33]. The increasing number of dead
82 cells, further worsened by decreased clearance via impaired autophagy, promotes an exacerbation of the
83 inflammatory response and a subsequent establishment of the necrotic core that leads to plaque progression
84 [34]. There are several transcription factors associated with lipid metabolism in foam cells, including
85 peroxisome proliferator-activated family of receptors (PPARs), CCAAT enhancer binding proteins (C/EBPs),

86 Liver-X family of receptors (LXRs), and sterol regulatory element binding proteins (SREBPs). In addition,
87 although not considered a transcription factor, micro-RNA 33 (miR-33) has also been implicated in regulating
88 lipid metabolism [35]. The peroxisome proliferator-activated receptor- γ (PPAR- γ) and the proliferator-
89 activated receptor-coactivator 1 β play a crucial role in the conveyance of lipid metabolism and in the
90 polarization of metabolic profile of macrophages. In particular, PPAR- γ has been described as a sensor of fatty
91 acids for its high responsiveness to these molecules [36]. CCAAT enhancer-binding proteins (C/EBP) are a
92 family of transcription factors involved in adipocyte differentiation with key roles during macrophage
93 polarization [36]. Recent evidence connects C/EBP β with atherosclerosis since its expression seems to be
94 stimulated by oxidized low-density lipoprotein (oxLDL) in primary human macrophages, suggesting that
95 C/EBP β may be involved in inflammatory processes triggered by oxLDL during foam cell development [37].
96 The LXRs belong to the nuclear receptor superfamily of ligand activated transcription factors of which there
97 exist two isoforms, LXR α and LXR β . These transcription factors are strongly connected to atheroprotection
98 since they promote cholesterol efflux from intimal macrophages, thus reducing atherosclerotic plaques
99 through numerous mechanisms involving ATP-binding cassette transporter A1 (ABCA1) and G1 (ABCG1)
100 [38]. Furthermore, there is evidence that LXRs regulate multiple key metabolic pathways, such as cholesterol
101 homeostasis, inflammation and lipogenesis [38]. The sterol regulatory element binding proteins (SREBPs) are
102 transcription factors that regulate gene expressions of several enzymes involved in lipid and glucose
103 metabolism, known for fostering cholesterol biosynthesis in macrophages through the induction of HMG-CoA
104 reductase [39].

105 We have previously reported the capacity of anthocyanin- and phenolic acid-rich fractions, from a wild
106 blueberry powder, to reduce lipid accumulation in human THP-1 derived macrophages [27]. We documented
107 that the effects for anthocyanin-rich fraction, the single anthocyanins and their derivatives were compound-
108 dependent. Regarding the phenolic acid-rich fraction, rich mainly in CGA, we have shown that the lipid-
109 lowering effect was most effective at low-medium concentrations, which are physiologically relevant. Based
110 on these results, the aim of this study was to evaluate the effect of CGA and its metabolite (i.e. CA) on the
111 reduction of fatty acids accumulation in monocyte-derived macrophages. The compounds were tested alone
112 and in combination both at physiological and supraphysiological concentrations. Moreover, the impact of
113 CGA and CA on the expression of PPAR- γ 1 and C/EBP β has been investigated. In particular, PPAR- γ
114 represents an interesting molecular target for atherosclerosis treatment, as pivotal transcription factor
115 involved in lipid metabolism of macrophages and atherosclerotic plaque development, while C/EBP β is a key
116 regulator of metabolism, adipocyte differentiation, and macrophage activation [40-43].

117

118 **Materials and methods**

119 **Chemicals and reagents**

120 Standard of CGA (CAS No. 327-97-9), also called 3-O-caffeoylquinic acid, and CA (CAS No. 331-39-5), bovine
121 serum albumin (BSA), palmitic acid, oleic acid, fetal bovine serum (FBS), Hanks balanced salt solution, Trypan
122 Blue, Pluronic F127, Nile Red, phorbol 12-myristate 13-acetate (PMA), DNase I amplification grade kit,
123 hydrochloric acid, methanol and ethanol were purchased from Merck (Darmstadt, Germany). Water was
124 obtained from Milli-Q apparatus (Millipore, Milford, MA). 4-(2-hydroxyethyl)-1-piperazineethanesulfonic
125 acid (HEPES), sodium pyruvate, gentamicin, RPMI-1640 medium, and trypsin-EDTA were obtained from Life
126 Technologies (Monza Brianza, Italy). RNeasy Mini kit was purchased from QIAGEN (Hilden, Germany).
127 iScript cDNA Synthesis Kit and SsoFast EvaGreen Supermix were purchased from BIORAD (Segrate, Milano,
128 Italy). Forward and reverse primers of PPAR- γ 1 and C/EBP β genes were obtained from biomers.net (Ulm,
129 Germany). Forward and reverse primers of 18S were acquired from Primmbiotech (Cambridge, MA, USA).

130

131 **Preparation of chlorogenic and caffeic acid**

132 A stock solution of CGA and CA standards (**Figure 1**) was prepared. These phenolic acids were selected based
133 on our previous publication in which CGA and CA were the most representative compounds found in a
134 phenolic-rich fraction obtained from wild blueberry [27]. Lyophilized standards (50 mg) were dissolved in
135 acidified methanol (5 mL; HCl 0.05 mM). The final concentration of methanol in exposure medium was
136 0.0025%. Aliquots (1 mL) of standard were prepared, quantified spectrophotometrically, and finally stored at
137 $-20\text{ }^{\circ}\text{C}$ until use.

138 **Preparation of fatty acids and control solution**

139 The stock solution of free fatty acids (FFA; 0.2 M oleic/palmitic acid; 2:1 ratio) was prepared in EtOH and
140 stored at $-20\text{ }^{\circ}\text{C}$. On the day of use, 5 mM of FFA water-soluble solution (FFA/BSA solution) was generated
141 by incubating the FFA in Hanks solution containing 10% BSA at $37\text{ }^{\circ}\text{C}$ for about 30 minutes with occasional
142 shaking. The FFA/BSA solution was added to the medium to obtain final 500 μM FFA concentration for the
143 exposure. Equal volumes of the Hanks solution/EtOH/FFA-free BSA were applied to control cells. The final
144 concentrations of EtOH and BSA in exposure media were 0.25 and 0.1%, respectively.

145 **Cell culture**

146 The monocytic THP-1 cell line (human monocytic leukemia) was purchased from American Type Culture
147 Collection (Manassas, VA, USA). THP-1 cells were maintained in culture in RPMI-1640 medium containing
148 10% of heat inactivated FBS and supplemented with 1% HEPES, 1% sodium pyruvate and 0.1% gentamicin.
149 The cells were subcultured every 3 days during cell growth by directly diluting cell culture with fresh medium,
150 or by withdrawing a portion of the cells from the culture flask and diluting the remaining cells to an
151 appropriate seeding density (3×10^5 cells/mL). According to Tsuchiya et al [44], the cells maintain their
152 monocytic characteristics for over 14 months of continuous growth. In our study, cells were used for the
153 experiments up to 2 months of growth which correspond to a passage number between 5 and 15.

154 **Viability assay**

155 The toxicity of the compounds was tested on THP-1 derived macrophages by Trypan blue using a TC20TM
156 automated cell counter and dual-chamber cell counting slides (BIORAD, Segrate, Milano, Italy). THP-1 cells
157 were differentiated to macrophages and treated with CGA, CA and the mix of CGA and CA at the maximum
158 concentration tested (30 μM of each compound) for 24 hours and in presence of FFA/BSA solution (500 μM).
159 Subsequently cells were trypsinized, resuspended and used for the Trypan blue assay. Three independent
160 experiments were performed in which each compound was tested in triplicate.

161 **Lipid accumulation in THP-1 derived macrophages**

162 Cells were cultured in complete RPMI cell medium at $37\text{ }^{\circ}\text{C}$ and 5% CO_2 . After growth, cells were
163 differentiated into macrophages by treatment with 5 ng/mL PMA for 72 hours. Macrophages become adherent
164 to the surface of the culture flasks; thus, cells were washed with Hanks Solution to remove all non-adherent
165 cells. Subsequently, 3 mL trypsin (0.05%)–EDTA (0.53 mM) was added and the cells were incubated for 2
166 minutes at $37\text{ }^{\circ}\text{C}$ and 5% CO_2 in order to release the attachment to the flask. After incubation, 2 mL of complete
167 RPMI-1640 medium was added to inactivate the trypsin. Cells were collected in Falcon tubes, quantified in a
168 TC20TM automated cell counter and then centrifuged (mod. Eppendorf 5804R Centrifuge, Milano, MI, Italy)
169 for 5 minutes at $250 \times g$. After centrifugation, cells were resuspended in a new complete RPMI-1640 medium
170 (without PMA) in order to reach a final concentration of 2.5×10^5 cells/mL. Two hundred microliters of cell
171 suspension (5×10^4 cells) were added into each well of a black 96-well plate and incubated for 24 hours at 37
172 $^{\circ}\text{C}$ and 5% CO_2 , in order to allow the cell adhesion to the surface of the plate. Medium was removed and 200

173 μL of new complete RPMI-1640 medium, containing 500 μM FFA and different concentrations of CA and CGA
174 alone or in combination, was added. All these compounds were prepared in acidified methanol (0.05 mM HCl)
175 and then diluted in the culture medium before use. The highest methanol content was <0.03% while the
176 concentration of HCl in cell media was less than 0.001% without impact on pH and cell viability, as also
177 documented in our previous papers [27]. The concentrations for each compound were 0.03, 0.3, 3 and 30 μM
178 tested alone or in combination. These concentrations were selected in order to mimic both physiological
179 (ranges from ~ 2 nM to ~ 6 μM) and supraphysiological conditions in accordance with data found after oral
180 administrations of foods or single compounds, as previously reported [18-20]. Cells were incubated for 24
181 hours at 37 °C and 5% CO₂. The lipid accumulation was measured by using the fluorescent dye Nile red [45].
182 Nile red is not fluorescent in aqueous solution but can be extensively fluorescent in lipid rich environments.
183 Nile red is thus suitable for measuring lipid accumulation both in cells and in tissue. To measure the lipid
184 accumulation in macrophages, the cells were washed with Hanks solution and then stained in Hanks solution
185 containing 0.5 $\mu\text{g}/\text{mL}$ Nile red and 0.01% Pluronic F127 for 15 min at 37 °C and 5–7% CO₂. Later, cells were
186 washed twice with 200 μL of Hanks solution and then added 100 μL Hanks solution. The fluorescence
187 (excitation: 544 nm, emission: 590 nm) was measured in a fluorescence spectrophotometer (mod. F200 Infinite,
188 TECAN Milan, Italy), and the fold increase compared to the control (without FFA) was calculated.

189 **Quantitative reverse transcriptase–polymerase chain reaction (qRT-PCR)**

190 Cells were obtained using the same conditions as the lipid accumulation assay, except the plating of THP-1
191 derived macrophages was done in a 24-well plate (5×10^5 cells) instead of 96 well plate (5×10^4 cells) in order
192 to have a suitable extraction of RNA. RNA from THP-1 macrophages was extracted immediately after the
193 experiment by RNeasy Mini kit according to manufacturer's protocol. DNase treatment, using DNase I
194 amplification grade kit (Merck), was used to degrade DNA in the RNA preparations in a 15-minute digestion
195 at room temperature. The reaction was then stopped adding 1 μL of Stop Solution and DNase I was heat
196 inactivated for 10 min at 70°C. Purified RNA was quantified through spectrophotometer (Power Wave XS2,
197 BioTek, Winooski, VT, USA) by loading each sample in duplicate; the A260/A280 ratio was verified to be above
198 1.8 as sufficient quality of RNA purity. An equal amount of RNA for each sample was immediately reverse
199 transcribed using iScript cDNA Synthesis Kit and Mastercycler nexus gradient (mod. Eppendorf, Milano, MI,
200 Italy) by using the following thermal cycle: 25°C for 5 minutes, 42°C for 30 minutes and 85°C for 5 minutes.
201 Controls without reverse transcriptase (No-RT) and without RNA template (no RNA) were performed to be
202 used in qPCR in order to rule out residual genomic DNA contamination in RNA samples and reagents
203 contamination with nucleic acids. Afterwards, the obtained cDNA was diluted with nuclease free water to a
204 concentration of 3 ng/ μL . qRT-PCR was performed by using C1000 Thermal Cycler / CFX96 Real-Time System
205 (BIORAD, Segrate, Milano, Italy) and SsoFast EvaGreen Supermix according to manufacturer's protocol. A
206 total amount of 15 ng of cDNA was used. Controls included No-RT, no RNA and a control sample without
207 cDNA for each primer pair. Thermal cycling conditions for the analysis of PPAR- $\gamma 1$ were 15 minutes at 95°C
208 followed by 45 cycles of 10 seconds at 95°C, 20 seconds at 55°C, and 10 seconds at 72°C with the primer pairs
209 indicated in **Table 1**. The cycling program for the analysis of C/EBP β was 95°C for 15 minutes followed by 40
210 cycles of 95°C for 15 seconds, 60°C for 30 seconds, 72°C for 30 seconds with the primer pairs indicated in **Table**
211 **1**. Primer pairs have been used at a concentration of 0.5 μM . A melting curve analysis was made after
212 amplification to distinguish the targeted PCR product from the nontargeted PCR product. The expression
213 levels of all target genes were normalized against the expression of the ribosomal 18S housekeeping gene,
214 which served as the endogenous control was used as the most suitable reference gene in the cell model
215 employed. Relative fold changes between different experimental conditions were calculated with the
216 comparative Ct method ($2^{-\Delta\Delta\text{Ct}}$ Method). RT-PCR was performed in line with MIQE guidelines, including
217 relevant QA information on quality and amount of RNA extract, for detailed information refer to Online
218 Resource 1.

219

220 **Enzyme-linked immunosorbent assay (ELISA)**

221 Cells were obtained using the same conditions as the lipid accumulation assay, except the plating of THP-1
222 derived macrophages was done in a 6-well plate (1×10^6 cells) instead of 96 well plate (5×10^4 cells) in order to
223 have a suitable amount of proteins. According to manufacturer's protocol, cell culture supernatant was
224 collected for PPAR- γ 1 analysis and cell extract was collected for C/EBP β analysis. Cell culture supernatant
225 was obtained by centrifuging at 500 g for 10 min at 4°C and aliquots of samples were immediately stored at -
226 80°C. Protein extraction from THP-1 derived macrophages was performed immediately after the experiment
227 by placing the plate on ice, washing with cold PBS and incubating on ice for 30 min with extraction buffer.
228 Successively, lysed cells were centrifuged at 4,500 g for 20 min at 4°C to pellet insoluble content and aliquots
229 of cell extracts were immediately stored at -80°C. Enzyme-linked immunosorbent assay (ELISA) was
230 employed to determine the contents of PPAR- γ 1 and C/EBP β (Cat No. were MBS263089 and MBS2020315,
231 respectively; MyBioSource, Inc. San Diego, USA).

232 **Data analysis**

233 STATISTICA software (Statsoft Inc., Tulsa, OK, USA) was used for statistical analysis. All the results are
234 expressed as means \pm standard error of the mean (SEM). One-way ANOVA was applied to verify the effect of
235 CGA and CA supplementation on cell viability, lipid accumulation process, gene expression and protein levels
236 of PPAR- γ 1 and C/EBP β . The least significant difference (LSD) test was used to assess differences between
237 treatments by setting the level of statistical significance at $p < 0.05$.

238 **Results**

239 **Effect of CGA and CA on Cell Viability**

240 **Table 2** presents the effects of the compounds on the cellular viability measured by Trypan blue assay at all
241 the concentrations tested for 24 h and in presence of FFA/BSA solution (500 μ M). The control condition is
242 represented by cells in their normal growth medium without phenolic acids and fatty acids solution. CGA,
243 CA and the mix of CGA and CA did not affect the cell viability that remained higher than 90%.

245 **Effect of CGA and CA on lipid accumulation in THP-1 derived macrophages**

246 The results of lipid accumulation in THP-1 derived macrophages after incubation with CGA and CA are
247 shown in **Figure 2a** (CGA) and **2b** (CA). Stimulation with 500 μ M of FFA significantly increased ($p < 0.05$) the
248 lipid accumulation in macrophages compared to cells without stimulation (No FFA). No effect was observed
249 after treatment with different concentrations of CGA and CA, tested alone, compared to the FFA treatment.

250 **Effect of the mix CGA + CA on lipid accumulation in THP-1 derived macrophages**

251 The results of lipid accumulation in THP-1 derived macrophages after incubation with the mix CGA + CA are
252 shown in **Figure 3**. Stimulation with 500 μ M of FFA significantly increased ($p < 0.05$) the lipid accumulation
253 process in macrophages compared to cells without stimulation (No FFA). The treatment with CGA and CA
254 tested in combination significantly decreased ($p < 0.01$) the lipid accumulation in macrophages compared to
255 the FFA treatment, except for the maximum concentration. In particular, the size of the effect was similar
256 between the different concentrations tested, -56%, -65% and -48% respectively for the mix CGA + CA at 0.03
257 μ M, 0.3 μ M and 3 μ M (concentration of each compound).

258 **Effect of the mix CGA + CA on C/EBP β gene expression and protein levels**

259 The results of gene expression kinetic and protein levels of C/EBP β after the administration of the mix of CGA
260 + CA at the physiological concentration of 0.3 μ M (i.e. the most effective concentration in counteracting the

lipid accumulation) are shown in **Figure 4a-b**. Gene expression and protein levels have been evaluated at five different time points (1, 2, 4, 8 and 24 hours) in three independent experiments in which every experimental condition has been tested in triplicate. There was a statistically significant increase of C/EBP β gene expression (**Fig. 4a**) following 2 hours ($p < 0.01$) from the administration of FFA (positive control) compared to negative control (No FFA). The treatment with the mix of CGA + CA induced, after 2 hours, a statistically significant ($p < 0.01$) reduction in C/EBP β gene expression compared to the positive control (only FFA administration); these results were in line and not different from those obtained in the negative control (No FFA). Regarding protein levels (additional data are given in Online Resource 2), there was a statistically significant increase of C/EBP β concentration (**Fig. 4b**) following 2 hours (58.4%; $p < 0.01$) from the administration of FFA (positive control) compared to negative control (No FFA). The treatment with the mix of CGA + CA induced, after 2 hours, a statistically significant reduction in C/EBP β protein level (58%; $p < 0.01$) compared to the positive control (only FFA administration), while no difference has been reported compared to the negative control (No FFA). No difference was documented for the other time points analyzed, in terms of both gene expression and protein levels.

Effect of the mix CGA + CA on PPAR- γ 1 gene expression and protein levels

The results of gene expression kinetic and protein levels of PPAR- γ 1 after the administration of the mix of CGA + CA at the physiological concentration of 0.3 μ M are shown in **Figure 5a-b**. Gene expression and protein levels have been evaluated at five different time points (1, 2, 4, 8 and 24 hours) through three independent experiments in which every experimental condition has been tested in triplicate. There was a statistically significant increase ($p < 0.01$) of PPAR- γ 1 gene expression (**Fig. 5a**) at 2 and 24 hours following the administration of FFA (positive control) compared to negative control (No FFA). The treatment with the mix of CGA + CA increased PPAR- γ 1 gene expression; the magnitude of increase was comparable with the positive control (only FFA administration). Conversely, no significant effect was observed after 24 hours; here, the levels of expression were lower compared to the positive control and not statistically different from the negative control. Regarding protein levels (additional data are given in Online Resource 2), there was a statistically significant increase at 24 hours (68%; $p < 0.01$) of PPAR- γ 1 protein production (**Fig. 5b**) following the administration of FFA (positive control) compared to negative control (No FFA). The treatment with the mix of CGA + CA induced, after 24 hours, a statistically significant reduction in PPAR- γ 1 protein level (63%; $p < 0.01$) compared to the positive control (only FFA administration), while no difference has been reported compared to the negative control (No FFA). No difference was documented for the other time points analyzed, in terms of both gene expression and protein levels.

Discussion

In the present manuscript, we documented the ability of CGA and CA to counteract lipid accumulation in monocyte-derived macrophages and to positively modulate the levels of PPAR- γ 1 and C/EBP β as important transcription factors involved in lipid metabolism.

The role of (poly)phenols in the modulation of lipid accumulation and metabolism has been evaluated in different in vitro studies. Results are equivocal probably due to the different experimental conditions used including cell lines, phenolic components tested, concentrations, and duration of exposure. Here, we found that physiologically relevant concentrations of CGA and CA alone did not reduce lipid accumulation in THP-1 derived macrophages. Other studies reported a beneficial effect when polyphenols were tested at supraphysiological concentrations. For example, Aranaz et al [49] observed that p-coumaric, ellagic and ferulic acids when tested at 100 μ M reduced the content of triglycerides in 3T3-L1 preadipocytes, while no effect was observed at the concentrations of 10 and 50 μ M. Analogous results were obtained by Zhao et al [50] reporting the capacity of 25 and 50 μ g/mL, but not 5 μ g/mL, of a pomegranate peel polyphenol-rich extract to counteract

308 lipid accumulation in RAW264.7 macrophages. In another in vitro model, Yeh and colleagues [51] showed the
309 ability of high concentrations of a Chinese olive polyphenol-rich extract (100, 200 and 400 $\mu\text{g}/\text{mL}$) to reduce
310 lipid content in FL83B hepatocytes. Finally, Liu and coworkers [52] documented the reduction of lipid
311 accumulation in RAW264.7 macrophages following incubation with 80 $\mu\text{g}/\text{mL}$ of a wild blueberry extract rich
312 in CGA. Conversely, Liu et al [53] observed an increase of lipid droplets in HepG2 cells supplemented with
313 0.5-2 mM of CGA and these effects were attributable to the induction of the expression of SREBP-1c and
314 PNPLA3, two important pathways involved in adipogenesis.

315 Interestingly, we found that CGA and CA when tested in combination reduced the amount of intracellular
316 lipids in our in vitro model, suggesting a possible synergistic effect of the two compounds. This synergy has
317 been observed also by other authors in several in vitro studies after the administration of different polyphenols
318 [54-57]. We also documented that the positive effect of the mix was observed only at low-medium
319 concentrations (0.03-3 μM), while no effect was reported at the maximum concentration tested (30 μM). This
320 result is in line with the findings obtained in our previous study when testing an anthocyanin- and a phenolic
321 acid-rich fraction from a wild blueberry extract [27]. Specifically, we showed that the administration of high
322 concentrations (5 and 10 $\mu\text{g}/\text{mL}$) of a wild blueberry phenolic acid-rich fraction, rich in CGA, was not able to
323 reduce lipid accumulation in THP-1 derived macrophages, while the low-medium concentrations (0.05-0.3
324 $\mu\text{g}/\text{mL}$) were effective [27]. By excluding a cytotoxic effect, the absence of a modulation could be attributed to
325 other factors. For example, we postulated a possible involvement of the hormetic response in which biological
326 systems exhibit a reaction when exposed to low concentration of a stimulus, while they are inhibited at high
327 concentrations [58-60]. In addition, we cannot exclude interactions of polyphenols with components in the cell
328 culture medium, as well as problems related to the possible formation of CGA-CA complex, when tested at
329 maximum concentration, with a consequent loss of bioactivity of the molecules.

330 Another important aspect evaluated within this study was the identification of the potential mechanisms of
331 action through which CGA and CA can modulate lipid accumulation. In particular, we tested the gene
332 expression and protein production of C/EBP β and PPAR- γ 1, two important transcription factors that are
333 critical orchestrators of macrophage lipid homeostasis. Numerous studies reported a connection between the
334 expression of C/EBP β and PPAR- γ 1; for example, the differentiation of preadipocytes is regulated by a specific
335 pathway in which, after hormonal induction, C/EBP β activates the expression of C/EBP α and PPAR- γ 1 [61-
336 64]. There is evidence showing that PPAR- γ activation upregulates CD-36-mediated lipid accumulation in
337 macrophages [65]. The role of phenolics in the modulation of C/EBP β and PPAR- γ 1 has been poorly evaluated.
338 The main results regard the potential role of epigallocatechin, genistein and resveratrol in adipogenesis [66],
339 while the contribution of CGA and CA deserves investigation. In our experimental conditions, we found that
340 FFA induced C/EBP β (at 2 h) and PPAR- γ 1 (at 24 h) gene expression and protein levels. On the other hand,
341 we observed a significant increase in PPAR- γ 1 gene expression at 2 h after the administration of FFA that was
342 not reflected by a significant production of PPAR- γ 1 protein. This discrepancy could be attributed to the
343 timing of sample collection, not sufficient to determine a complete translation of mRNA levels for this time
344 point. Conversely, the administration of CGA + CA was able to counteract C/EBP β and PPAR- γ 1 gene
345 expression and protein levels compared to the positive control (only FFA administration). Our results on
346 PPAR- γ 1 are different from those reported by Wu and colleagues [67], who found an increment (+50%) of
347 PPAR- γ 1 expression after 24 h stimulation with ox-LDL (50 mg/mL) in relation to the administration of two
348 different concentrations of CGA (1 and 10 μM) in RAW264.7 macrophages. This discrepancy in the results
349 could be related to the different CGA concentrations tested (more physiological in our study) and/or to the
350 different cell models used, including species differences. For instance, RAW264.7 cells are actively
351 proliferating macrophages which is an unusual characteristic of these cells. In fact, THP-1 cells are monocytes
352 that must be treated (e.g. with PMA) to develop a macrophage-like characteristic and cease to proliferate. The
353 treatment of THP-1 cells with PMA is known to induce protein kinase C [68]. Protein kinase C stimulates
354 signaling pathways involved in sensing of intracellular energy resources [69]. However, the uptake of lipids
355 in activated THP-1 cells is not affected by the protein kinase C activation [70]. Thus, murine macrophages such
356 as RAW264.7 and human PMA-stimulated monocytes may respond differently to polyphenols due to
357 differences in species background and regulation of energy sources such as lipids. In addition, we cannot
358 exclude that lower level of PPAR- γ 1 gene expression observed in our experimental conditions is due to an
359 effective reduction of intracellular lipids at 24 h, after the administration of the mix CGA + CA. Another

360 possibility is that the primary expression of C/EBP β at 2 h, found after administration of FFA, led to the second
361 peak of PPAR- γ 1 at 24 h after its transcription and translation. Thus, it is possible that the CGA + CA mix acted
362 on PPAR- γ 1 by reducing its expression through an indirect way. This hypothesis seems in line with the
363 observations reported by Murase and coworkers [71], who documented that a coffee phenolic extract, rich in
364 CGA, did not modulate the expression of PPAR- γ . This data could support the hypothesis of an involvement
365 of other pathways not directly related to PPAR- γ .

366 Regarding C/EBP β gene expression, Zhao et al [72] observed that palmitate induced lipid accumulation in
367 HepG2 cells, also increasing mRNA expression of C/EBP β . Knockdown of C/EBP β inhibited lipid storage and
368 promoted lipolysis in palmitate-treated cells, highlighting a key role of this transcription factor in lipid
369 metabolism. Also, Ma et al [73] reported the capacity of oxLDL (100 μ g/mL) to stimulate C/EBP β gene
370 expression at 12, 24 and 48 hours in RAW 264.7 macrophages, that in turn led to the induction of *p65* gene (i.e.
371 a subunit in NF- κ B), and consequently the production of inflammatory markers such as IL-1 β .

372 The role of polyphenols in the modulation of C/EBP β has been poorly investigated. To the best of our
373 knowledge, only Mosqueda-Solis et al [74] tested the effect of polyphenols (luteolin, genistein, hesperidin,
374 kaempferol, pterostilbene, or vanillic acid at a concentration of 25 μ M) in the modulation of C/EBP β and other
375 genes (e.g. PPAR- γ) in pre-adipocytes treated from day 0 to day 8. The authors found that genistein,
376 kaempferol and pterostilbene were effective in reducing the expression of C/EBP β (about -55%) and PPAR γ
377 (about -65%). However, these results are difficult to compare with our findings due to the different compounds
378 and concentrations tested.

379 The diverse effect on C/EBP β and PPAR- γ 1 levels could be dependent by several factors including for example
380 the type of cells, lipid stimulation, duration of exposure as well as different polyphenols tested. A possible
381 hypothesis regards the association of the rapid modulation of C/EBP β and PPAR- γ 1 production and the
382 reduced lipid accumulation observed at 24 h; this effect could be attributed to the inhibition of C/EBP β by
383 CGA and CA, which in turn would result in a lower activation of PPAR- γ 1, as demonstrated by other authors
384 [61-64]. Consequently, the inhibition of PPAR- γ 1 is supposed to downregulate CD-36-mediated lipid
385 accumulation in macrophages, as reported by Poznyak and colleagues [65]. However, downstream targets
386 have not been assessed in our experiments; thus, the results do not cover the array of pathways through which
387 CGA and CA reduce lipid accumulation. Another potential explanation is represented by the inhibition of
388 inflammatory pathway due to the suppression of C/EBP β , that is strongly demonstrated to be involved in lipid
389 accumulation [75,76]. In this case, PPAR would not be involved in the modulation observed and the reduction
390 at 24 h would be essentially caused by the absence of a lipid excess in the cells, which represents a stimulus in
391 the expression of this transcriptional factor.

392 Furthermore, the limited effects observed on C/EBP β and PPAR- γ 1 in relation to lipid accumulation data
393 opens up for alternative hypotheses. For example, it is known that the liver X receptors, LXRA and LXR β , or
394 rather a subfamily of the nuclear receptor superfamily, are important regulators of macrophage function
395 involved in the control of lipid homeostasis and inflammation [76]. The inducible LXRA is highly expressed
396 in macrophages and tissues such as lung and kidney, whereas LXR β is ubiquitously expressed. Ligand-
397 activated LXRs form heterodimers with retinoid X receptors and regulate expression of target genes containing
398 LXR response elements [77]. Tangirala et al [78] documented the crucial role of LXRs in atherosclerosis through
399 the elimination of LXR activity in bone marrow-derived cells, which caused lipid accumulation in
400 macrophages. Joseph et al [79] reported that LXRs and their ligands were negative regulators of macrophage
401 inflammatory gene expression with impact on lipid metabolism and innate immune response. Finally, Zelcer
402 et al [80] showed that LXRs can modulate lipid metabolism, thereby limiting cholesterol uptake and enhancing
403 cholesterol efflux through ABC transporters. Based on these premises, we cannot exclude that further
404 pathways, other than PPAR- γ and C/EBP β , can have contributed to the modulation observed. A limitation in
405 our findings is related to the choice of only two transcriptional factors instead of performing a complete
406 downstream transcriptional pathway of the different genes involved in the lipid metabolism. Moreover, since
407 CGA and CA are also rapidly metabolized in vivo mainly in the liver, the contribution of their metabolites in
408 the modulation of PPAR- γ and C/EBP β , or other genes, cannot be excluded.

409 In conclusion, the mix of CGA and CA reduced lipid accumulation in monocytes-derived macrophages
410 probably through the modulation of PPAR- γ 1 and C/EBP β . These results are promising since the modulation
411 of these transcription factors was obtained at concentrations of CGA and CA close to physiological conditions
412 and thus achievable in vivo through diet. Moreover, lipid accumulation represents an important step in the
413 process of atherogenesis and blunting its progression by dietary intervention can be considered crucial for the
414 prevention of cardiovascular events. However, further studies are necessary in order to corroborate these
415 findings and to verify the contribution of CGA and CA also in the regulation of other transcription factors
416 potentially involved in macrophages lipid metabolism and atherogenesis.

417

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427

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429 designed the study, performed part of the experiments, the statistical analysis and wrote the first draft of the
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431 S.V. performed the experiments on lipid accumulation supervised by M.M.

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435

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437

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639 **Fig. 1** Chemical structure of chlorogenic and caffeic acid.

640

641 **Fig. 2** Effect of different concentrations (0.03 – 30 μ M) of CGA (a) and CA (b) on lipid accumulation in THP-1 derived
642 macrophages. Results are expressed as mean \pm standard error of mean. Each value represents the mean of 7 values \pm SEM
643 from 3 individual experiments. ^{a,b} Bar graphs reporting different letters are significantly different ($p \leq 0.05$). No FFA: control
644 without free fatty acids; FFA: free fatty acids (500 μ M); CGA: chlorogenic acid; CA: caffeic acid

645 **Fig. 3** Effect of different concentrations (0.03 – 30 μ M) of the mix CGA + CA on lipid accumulation in THP-1 derived
646 macrophages. Results are expressed as mean \pm standard error of mean. Each value represents the mean of 7 values \pm SEM
647 from 3 individual experiments. ^{a,b,c,d} Bar graphs reporting different letters are significantly different ($p \leq 0.01$). No FFA:
648 control without free fatty acids; FFA: free fatty acids (500 μ M); CGA: chlorogenic acid; CA: caffeic acid

649 **Fig. 4** Effect of the mix of CGA + CA in the modulation of the levels of expression of C/EBP β (a) and protein levels (b) in
650 macrophages. Results are expressed as mean of fold increase \pm standard error of mean. Each value represents the mean of
651 3 values \pm SEM from 3 individual experiments. ** shows a statistically significant difference ($p < 0.01$). No FFA: control
652 without free fatty acids; FFA: free fatty acids (500 μ M); CGA: chlorogenic acid; CA: caffeic acid

653 **Fig. 5** Effect of the mix of CGA + CA in the modulation of the levels of expression of PPAR- γ 1 (a) and protein levels (b) in
654 macrophages. Results are expressed as mean of fold increase \pm standard error of mean. Each value represents the mean of
655 3 values \pm SEM from 3 individual experiments. ** shows a statistically significant difference ($p < 0.01$). No FFA: control
656 without free fatty acids; FFA: free fatty acids (500 μ M); CGA: chlorogenic acid; CA: caffeic acid