



# Pathways to the Middle Class

FY 2016

PROPOSED BUDGET AND FINANCIAL PLAN

VOLUME 4

AGENCY BUDGET CHAPTERS – PART III

HUMAN SUPPORT SERVICES, PUBLIC WORKS,  
FINANCING AND OTHER, AND ENTERPRISE  
AND OTHER FUNDS

*Submitted to the Council of the District of Columbia*  
*by Muriel Bowser, Mayor of the District of Columbia*  
**April 2, 2015**





Government of the District of Columbia  
**FY 2016 Proposed Budget and Financial Plan**

# **Pathways to the Middle Class**

## **Volume 4**

### **Agency Budget Chapters - Part III** (Human Support Services, Public Works, Financing and Other, and Enterprise Funds)

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Submitted to the  
**Council of the District of Columbia**

by  
**Muriel Bowser, Mayor**

April 2, 2015

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GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished  
Budget Presentation  
Award*

PRESENTED TO

**District of Columbia Government**

**District of Columbia**

For the Fiscal Year Beginning

**October 1, 2014**

Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented a Distinguished Budget Presentation Award to District of Columbia Government, District of Columbia, for its annual budget for the fiscal year beginning October 1, 2014. In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device. This award is the fifteenth in the history of the District of Columbia.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

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# Government of the District of Columbia

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**Rashad M. Young**  
City Administrator

**Kevin Donahue**  
Deputy City Administrator  
and Deputy Mayor for Public Safety and Justice

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**Jeffrey S. DeWitt**  
Chief Financial Officer

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**Anita Bonds**.....At-Large  
**David Grosso** .....At-Large  
**Elissa Silverman**.....At-Large  
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**Vacant**.....Ward 4  
**Kenyon McDuffie** .....Ward 5  
**Charles Allen**.....Ward 6  
**Yvette M. Alexander** .....Ward 7  
**Vacant**.....Ward 8

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Office of Finance and Treasury

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### **Angelique Hayes**

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#### **Budget Administration**

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# Office of the City Administrator

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**John McGaw**  
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Capital Improvements Program

**Chris Murray**  
Senior Budget Analyst

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Budget Analyst

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# District of Columbia Organization Chart





# GOVERNMENT OF THE DISTRICT OF COLUMBIA

RESIDENTS

EXECUTIVE BRANCH

MAYOR

City Administrator

LEGISLATIVE BRANCH

Council of the District of Columbia

DC Auditor

Advisory Neighborhood Commissions

JUDICIAL BRANCH

DC Court of Appeals

DC Superior Court

Joint Committee on Judicial Administration

Commission on Judicial Disabilities and Tenure

Judicial Nomination Commission

Sentencing and Criminal Code Revision Commission

Office of the Chief Financial Officer

Office of Budget and Planning  
Office of Tax and Revenue  
Office of Finance and Treasury

Office of Revenue Analysis  
Office of Financial Operations and Systems  
Lottery and Charitable Games Control Board

Office of Budget and Finance

Office of the Inspector General

Office of the Attorney General

Mayor's Office of Legal Counsel

EOM/Office of the Senior Advisor

EOM/Office of the Chief of Staff

Deputy Mayor for Greater Economic Opportunity

Deputy Mayor for Education

Deputy Mayor for Planning and Economic Development

Deputy Mayor for Health and Human Services

Deputy City Administrator/  
Deputy Mayor for Public Safety and Justice

Department of Small and Local Business Development  
Department of Employment Services  
Office of African-American Affairs  
Commission on Fathers, Men, and Boys

DC Public Schools  
Office of the State Superintendent of Education  
Department of Parks and Recreation  
Public Charter School Board  
Public Charter Schools  
University of the District of Columbia (including UDC Community College)

Department of Housing and Community Development  
Department of Consumer and Regulatory Affairs  
Department of Transportation  
Office of Planning  
Department of the Environment  
Department of Insurance, Securities, and Banking  
Office of Film, Television, and Entertainment  
Commission on the Arts and Humanities  
Taxicab Commission

Department of Health  
Department of Human Services  
Child and Family Services Agency  
Department of Disability Services  
Department of Behavioral Health  
Department of Youth Rehabilitation Services  
Department of Health Care Finance  
Office on Aging  
Office of Disability Rights

Metropolitan Police Department  
Fire and Emergency Medical Services Department  
Homeland Security and Emergency Management Agency  
Department of Corrections  
Department of Forensic Sciences  
Office of Unified Communications  
Office of the Chief Medical Examiner  
Justice Grants Administration:  
Office of Victim Services  
Corrections Information Council

Department of Human Resources  
Department of Public Works  
Department of General Services  
Office of the Chief Technology Officer  
Office of Contracting and Procurement  
Department of Motor Vehicles  
Office of Risk Management  
Office of Human Rights

Office of Policy and Legislative Affairs  
Office of Federal and Regional Affairs  
Office of the Secretary  
New Columbia Statehood Commission\*  
Office of the Statehood Delegation

Office of the General Counsel:  
Office of Partnerships and Grants  
Office of Communications:  
Mayor's Correspondence Unit  
Office of Talent and Appointments  
Office of Scheduling and Advance  
Office of Community Affairs:  
Clean City Office  
Office of Community Relations and Services  
Youth Advisory Council  
ServeDC  
Office of African Affairs  
Office of Asian and Pacific Islander Affairs  
Office of LGBT Affairs  
Office of Latino Affairs  
Office of Religious Affairs  
Office of Veteran Affairs  
Office of Returning Citizens Affairs  
Office on Women's Policy and Initiatives

- CHARTER INDEPENDENT AGENCIES**
- Board of Elections (including the Office of Campaign Finance)
  - Public Service Commission
  - Zoning Commission

- INDEPENDENT AGENCIES**
- Alcoholic Beverage Regulation Administration
  - Board of Ethics and Government Accountability
  - Contract Appeals Board
  - Criminal Justice Coordinating Council
  - DC Housing Authority
  - DC Public Library
  - DC Retirement Board
  - DC Water (Water and Sewer Authority)
  - Health Benefit Exchange Authority
  - Housing Finance Agency
  - New Columbia Statehood Commission
  - Not-for-Profit Hospital Corporation
  - Office of Administrative Hearings
  - Office of Employee Appeals
  - Office of the People's Counsel
  - Office of the Statehood Delegation
  - Office of the Tenant Advocate
  - Office of Zoning
  - Public Charter School Board
  - Public Employee Relations Board
  - Real Property Tax Appeals Commission
  - State Board of Education
  - University of the District of Columbia (including UDC Community College)
  - Washington Convention and Sports Authority

- REGIONAL BODIES**
- Metropolitan Washington Council of Governments
  - National Capital Planning Commission
  - Washington Metropolitan Area Transit Authority
  - Washington Metropolitan Area Transit Commission
  - Washington Metropolitan Airports Authority



NOTE: Agencies enclosed within dashed boxes are independent agencies.  
\* The New Columbia Statehood Commission is co-chaired by the Mayor and the Council Chairman.







# **Transmittal Letters**





**MURIEL BOWSER**  
MAYOR

April 2, 2015

The Honorable Phil Mendelson, Chairman  
Council of the District of Columbia  
1350 Pennsylvania Avenue, NW  
Washington, DC 20004

Dear Chairman Mendelson:

On behalf of the residents of the District of Columbia, I am pleased to submit to you the District of Columbia Fiscal Year 2016 Budget and Financial Plan, entitled "Pathways to the Middle Class." Included in the submission you will find the "Fiscal Year 2016 Budget Request Act of 2015" and the "Fiscal Year 2016 Budget Support Act of 2015".

This proposal is the District of Columbia's twentieth consecutive balanced budget and represents a Fresh Start. As you know, the District faced a budget gap of \$193 million for FY 2016. This budget solves the gap and funds initiatives to prioritize affordable housing, preserve funding for education and public safety, and make investments to put DC on a path to end homelessness and improve our aging infrastructure. Each of these items was a key priority identified by District residents at the Mayor's public engagement forums held during the budget formulation process.

There are five overarching values that guide this budget and provide pathways to the middle class. These are improving: Education; Economic Opportunity; Public Safety; Neighborhoods; and the Environment and Infrastructure. Making investments in these priority areas will help ensure that our residents have a clearly defined pathway to the middle class and that DC remains a great place to live, work and play.

Below are some of the key investments in the FY 2016 Budget that help us to reach those goals:

**Education**

The FY 2016 budget ensures that funding for public education remains a top priority for the District and includes:

- \$31.4 million for increased enrollment at both DC Public Schools and DC public charter schools.

- \$317.3 million to modernize our elementary, middle and high school facilities.
- \$200,000 to expand the community schools model – which provides non-instructional wrap-around services to school children and their families to help them succeed — to an additional school.

### **Economic Opportunity**

The FY 2016 budget makes investments to enhance job training services for our youth and helps put DC on a path toward ending homelessness for some of our most vulnerable families and individuals and includes:

- \$5.2 million to continue the expansion of the Marion Barry Summer Youth Employment Program by expanding the program to cover 21-24 year olds, raising the hourly wage to \$8.25 per hour for 16-21 year olds, and providing 6 weeks of transportation subsidies.
- \$1.5 million to expand career exploration, paid work experiences, self-advocacy training and work readiness training for high school students with disabilities.
- \$44.9 million in both capital and operating funds to create new family shelter options to replace DC General.
- \$13.7 million to begin funding DC’s strategic path to end homelessness including funds for new prevention and rapid re-housing assistance for families and individuals, permanent supportive housing for families and individuals, targeted affordable housing units and a new daytime center for individuals to obtain social, housing, and employment supports.

### **Neighborhoods**

The FY 2016 budget makes significant investments in affordable housing and neighborhood infrastructure to ensure our neighborhoods are affordable and attractive places to call home. This includes:

- Additional investment to the Housing Production Trust Fund that will provide \$100 million in resources to help create and preserve low- and moderate-income housing.
- \$166 million in capital funds over six years to upgrade alleys, sidewalks, and roads.
- \$2.4 million to provide rental assistance to low-income individuals and families.

### **Public Safety**

The FY 2016 budget makes important investments in our front-line public safety workers with:

- \$5.1 million to increase the number of body-worn cameras for Metropolitan Police Department patrol officers.
- \$2.9 million to hire 48 new civilian positions within the Metropolitan Police Department, allowing more sworn officers to move from the desk to the street.
- \$2.5 million for a new Police Officers’ Retention Pilot Program.


### **Environment and Infrastructure**

The FY 2016 budget also includes important investments to improve our infrastructure including:

- \$323.4 million to fully fund the District's contribution to the Washington Metropolitan Area Transit Authority (WMATA) to prevent increases in fares or service reductions to Metrorail and Metrobus service.
- \$7.0 million to expand the Kids Ride Free program to Metrorail.

I look forward to the Council's review of this proposal and to working together to finalize and execute our budget for Fiscal Year 2016. Together, I know that we can work to help residents in every ward advance by ensuring they have the tools and resources they need to succeed, right here in the District.

Sincerely,

A handwritten signature in black ink, appearing to read "Muriel Bowser". The signature is fluid and cursive, with the first name "Muriel" written in a larger, more prominent script than the last name "Bowser".

Muriel Bowser



GOVERNMENT OF THE DISTRICT OF COLUMBIA  
OFFICE OF THE CHIEF FINANCIAL OFFICER



**Jeffrey S. DeWitt**  
Chief Financial Officer

April 2, 2015

The Honorable Muriel Bowser  
Mayor of the District of Columbia  
John A. Wilson Building  
1350 Pennsylvania Avenue, N.W., 306  
Washington, DC 20004

Dear Mayor Bowser:

I am pleased to transmit the Fiscal Year 2016 Proposed Budget and Financial Plan. The Fiscal Year 2016 Proposed Budget includes \$7.0 billion from Local funds and \$12.9 billion in Gross funds (excluding Intra-District funds).

The Office of the Chief Financial Officer (OCFO) worked closely with your executive leadership team, the City Administrator's Office of Budget and Finance (OBF) staff, and agency program staff to produce a balanced budget and five-year financial plan. The FY 2016 policy budget reflects your administration's funding priorities and determinations.

After careful review, I certify that the FY 2016 – FY 2019 Budget and Financial Plan, as proposed, are balanced.

**REVENUE OUTLOOK**

The revenue outlook is predicated on continuing improvement in the national economy, and assumes that growth in the District's economy will also accelerate, adding both jobs and people over the period of the financial plan.

Some significant measures to reduce federal spending, however, are expected to be in effect during the period of the financial plan, with the severity of their impact diminishing over time.

Population growth has been a major factor in increasing the District's income and sales tax bases, and a major driving force behind rising home values. In the last five years (2009 to 2014), the District's population has grown by 66,665 (11.3 percent), an increase that has averaged more than 1,000 net new residents per month over this period. The increase from 2013 to 2014, however, was the slowest since 2008, and natural increase (births minus deaths) accounted for almost half of the gain in that year.



The FY 2015 baseline estimate of \$6.61 billion in total Local Fund Revenue, excluding Dedicated Taxes and Special Purpose Revenue, is \$306.6 million (4.9 percent) greater than FY 2014 revenue. The \$6.87 billion estimate for FY 2016 is an increase of \$253.1 million (3.8 percent) from FY 2015 and reflects continued strength across the major revenue sources. Including Dedicated General Fund Tax Revenue, Special Purpose Revenues and policy initiatives, total FY 2015 General Fund Revenue in the financial plan is \$7.4 billion. Total General Fund Revenue in FY 2016 is \$7.7 billion, \$286.6 million more than FY 2015.

Various policy initiatives increase General Fund Revenue beginning in FY 2016 by \$36.1 million, \$26.0 million of which are Local Fund Revenues. Some of the major policy proposals are listed below:

- An increase in the general sales tax rate from 5.75 percent to 6 percent is expected to raise \$22.2 million in Local Fund Revenue;
- Income tax law changes to close loopholes and the clarification of the statute of limitations for audits increase revenue by approximately \$3.6 million; and
- An increase in the sales tax rate for commercial parking from 18 percent to 22 percent is expected to raise \$9.9 million in Dedicated Tax Revenue.

**EXPENDITURES**

**Local Funds**

The FY 2016 Mayor's Proposed Budget includes \$7.0 billion in spending supported by \$7.0 billion of resources, with an operating margin of \$0.5 million, as shown in Table 1.

<b>Table 1</b>	
<b>FY 2016 Proposed Budget Summary</b>	
<b>Local Funds</b>	
<b>(\$ in millions)</b>	
Taxes	\$ 6,420.6
Non-Tax Revenues	384.0
Lottery	62.5
All Other	49.7
Revenue Proposals	26.0
Fund Balance Use	73.0
<b>Total Local Fund Resources</b>	<b>\$ 7,015.7</b>
<b>Local Expenditures</b>	<b>\$ 7,015.2</b>
<b>Projected FY 2016 Operating Margin</b>	<b>\$0.5</b>

Note: Details may not add to totals due to rounding.

## Gross Funds

The proposed FY 2016 gross funds operating budget (excluding intra-District funds) is \$12.9 billion, an increase of \$313.3 million, or 2.5 percent, over the FY 2015 approved gross budget of \$12.6 billion. The Local and non-Local funding components of the proposed FY 2016 gross budget and the changes from FY 2015 are summarized in Table 2 below.

<b>FY 2016 Gross Funds Budget by Fund Type</b>				
<b>(\$ in millions)</b>				
<b>Fund Type</b>	<b>FY 2015 Approved Budget</b>	<b>FY 2016 Mayor's Proposed</b>	<b>Change</b>	<b>% Change</b>
Local	6,801.0	\$ 7,015.2	\$ 214.2	3.2%
Dedicated Tax	304.4	312.5	8.1	2.7%
Special Purpose	585.0	583.4	-1.7	-0.3%
<b>Subtotal, General Fund</b>	<b>7,690.5</b>	<b>7,911.1</b>	<b>220.7</b>	<b>2.9%</b>
Federal	3,097.9	3,240.7	142.9	4.6%
Private	2.1	1.5	-0.6	-29.5%
<b>Total, Operating Funds</b>	<b>10,790.4</b>	<b>11,153.4</b>	<b>362.9</b>	<b>3.4%</b>
Enterprise and Other Funds (including from Dedicated Taxes)	1,844.2	1,794.6	-49.6	-2.7%
<b>Total Gross Funds</b>	<b>\$ 12,634.7</b>	<b>\$ 12,948.0</b>	<b>\$ 313.3</b>	<b>2.5%</b>

Note: Details may not add to totals due to rounding.

**MAJOR COST DRIVERS – LOCAL FUNDS**

Overall, the FY 2016 Local funds budget increased by \$214.2 million, or 3.2 percent, over FY 2015. Table 3 provides a snapshot of the major cost drivers associated with the increase.

Cost Drivers - Local Funds	Amount
<b>FY 2015 Approved Local Funds Budget</b>	<b>\$ 6,801.0</b>
<b>Major Changes:</b>	
Housing Production Trust Fund Subsidy	\$ 50.2
Fire and Emergency Medical Services Department	31.1
Department of Human Services	29.9
Washington Metropolitan Area Transit Authority	29.5
Department of General Services	26.8
Metropolitan Police Department	25.1
Police Officers' and Fire Fighters' Retirement System	24.8
District of Columbia Public Schools	24.1
Repayment of Loans and Interest	22.6
All Other Agencies	-49.9
<b>Total Changes</b>	<b>\$ 214.2</b>
<b>FY 2016 Proposed Local Funds Budget</b>	<b>\$ 7,015.2</b>

Note: Details may not add to totals due to rounding

### Primary Cost Drivers

- **Housing Production Trust Fund (HPTF):** \$50.2 million increase in funding to enhance affordable housing initiatives. The total amount budgeted for affordable housing in the FY 2016 budget is \$107.8 million, with \$ 100 million going directly to the Housing Production Trust Fund. This includes \$57.7 million of dedicated revenue for affordable housing. In addition, to this amount, the Mayor added \$50.1 million of Local funds to further stimulate the production of affordable housing. By law, \$7.8 million of the total is used to pay debt service on borrowings for New Communities projects (see the Debt Service chapter in "Financing and Other").
- **Fire and Emergency Medical Services Department:** \$31.1 million increase in Local funds, due primarily to \$14.6 million for Cost-of-Living Adjustments (COLA), \$3.0 million in longevity pay, and \$12.4 million of increased overtime costs resulting from a legal settlement with the International Association of Firefighters Local 36.
- **Department of Human Services (DHS):** \$29.9 million increase in Local funds, due primarily to \$15.7 million for the Dignity for Homeless Families Amendment Act of 2014; \$11.8 million to support efforts to end homelessness, and increased funding to extend TANF benefits through October 2017, and \$1.1 million for COLAs.
- **Washington Metropolitan Area Transit Authority (WMATA):** \$29.5 million increase over the FY 2015 budget, which includes an increase to the annual subsidy, a shift of costs from Local funds to Dedicated Taxes funding, and funding for the Kids Ride Free initiative.
- **Department of General Services (DGS):** \$26.8 million increase in Local funds, due primarily to the forecasted expenditures in fixed costs, including rent estimates, and facility and security costs.
- **Metropolitan Police Department (MPD):** \$25.1 million increase in Local funds, due primarily to \$30.9 million for COLAs, \$5.0 million to support body cameras, \$2.9 million to support the civilianization efforts, and \$2.5 million to support the Police Officer Retention Pilot program.
- **Police Officers' and Fire Fighter's Retirement System:** \$24.8 million increase over the FY 2015 budget, which is based on the District of Columbia Retirement Board actuarial report.
- **D.C. Public Schools (DCPS):** \$24.1 million increase over FY 2015, primarily due to a projected increase in enrollment from 47,592 to 49,145.
- **Repayment of Loans and Interest:** \$22.6 million increase over FY 2015, which is the result of the District's increased borrowing.
- **All Other Agencies:** \$49.9 million reduction is the net of policy increases and decreases to various agency programs.

### **OTHER OPERATING ITEMS**

The financial plan includes funds for the anticipated payment of a legal settlement against the District that will entail backpay for firefighters due to a change in the way in which firefighter overtime is calculated. Neither the timing nor the final amount of this settlement is known at this time. The Budget Support Act recognizes that the District must set aside funds from any surplus (above the amount of FY 2015 surplus budgeted for use in FY 2016) in FY 2015 and beyond to reserve in the fund balance for a potential settlement amount. If such funds are not available by the time the settlement is actually paid, the District would use the funds shown as "Paygo Contingency" in the Financial Plan, and thus could not use those funds for Paygo capital. Once sufficient funds are set aside in the fund balance to cover the settlement, the "Paygo Contingency" funds would be available for Paygo capital or other uses.

### **CAPITAL IMPROVEMENTS PLAN**

The District is addressing its continuing infrastructure needs through its Capital Improvement Plan (CIP). The total proposed appropriation request for the FY 2016 through FY 2021 CIP is \$1.042 billion from all sources. The increased budget authority will be financed with I.T. or G.O. bonds, Revenue bonds, Pay-As-You-Go (PAYGO) transfers from the General Fund, the Master Equipment Lease Program, Federal Grants, a local match to the grants from the Federal Highway Administration, private donations, sale of assets, and local transportation fund Revenue.

The proposed FY 2016 capital program includes \$1,215 million in planned capital expenditures to be financed by \$921.5 million in new I.T. or G.O. bonds, \$16.9 million from the Master Equipment Lease Program, \$26.3 million in PAYGO, \$168.2 million in federal grants and payments, \$22.5 million in the Local Match to the Federal Highway Administration grants, \$46.0 million from the Local Transportation Revenue Fund, and \$13.8 million from the Sale of Assets. Debt service within the CIP period remains below the 12 percent debt cap.

The leadership provided by you and your team, along with the hard work of the Office of Budget and Planning and others in the OCFO, allowed us to work effectively together to produce a balanced budget. I look forward to continuing to work with you and the Council during the upcoming budget deliberations.

Sincerely,



Jeffrey S. DeWitt  
Chief Financial Officer



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## Volume 4

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## **Volumes Bound Separately**

Volume 1 - FY 2016 Proposed Budget and Financial Plan - *Executive Summary*

Volume 2 - FY 2016 Proposed Budget and Financial Plan - *Agency Budget Chapters- Part I*

Volume 3 - FY 2016 Proposed Budget and Financial Plan - *Agency Budget Chapters- Part II*

Volume 5 - FY 2016 Proposed Budget and Financial Plan - *Operating Appendices*

Volume 6 - FY 2016 Proposed Budget and Financial Plan - *FY 2016 - FY 2021 Capital Improvements Plan (Including Highway Trust Fund)*



**How to Read the  
FY 2016 Proposed  
Budget and Financial  
Plan**



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# How to Read the FY 2016 Proposed Budget and Financial Plan

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The District of Columbia's FY 2016 Proposed Budget and Financial Plan is a communication tool that presents and explains policy priorities, agency operations, including programmatic/organizational structures, and performance measures in the context of the Financial Plan, which shows the District's sources of revenue and planned expenditures. The Budget and Financial Plan includes forecasts of economic and financial conditions, current and planned long-term debt financing, policy decisions, and other important financial information for the District's government, all of which are essential elements for accurate financial reporting and sound management of public resources.

This chapter, How to Read the Budget and Financial Plan, is a guide for understanding the sections of this budget volume that define the budget priorities for the District. These sections are consistent with the National Advisory Council on State and Local Budgeting's recommended budget practices, which call for a presentation of information to provide readers with a guide to government programs and organizational structure. Additionally, these sections are consistent with the standards of the Government Finance Officers Association for the Distinguished Budget Presentation Award.

The FY 2016 Budget and Financial Plan is presented in six volumes summarized as follows:

**Executive Summary (Volume 1)** - provides a high-level summary of the budget and financial information, including sections describing new initiatives within the District's proposed budget, the transmittal letters from the Mayor and the Chief Financial Officer, the District's five-year financial plan, detailed information on the District's projected revenues and expenditures, and summary information about the Capital Improvements Plan. In addition, this volume includes information about the District's budgetary and financial management policies, grant match and maintenance of effort, a glossary of budget terms, budget summary tables by agency and fund type, and the Budget Request Act legislation that serves as the basis for the District's federal appropriations act.

**Agency Budget Chapters (Volumes 2, 3, and 4)** - describes, by appropriation title, the operating budgets for each of the District's agencies. Appropriation titles categorize the general areas of services provided by the District on behalf of its citizens and are listed in the table of contents. Examples are Economic Development and Regulation, Public Safety and Justice, and Human Support Services.

**Operating Appendices (Volume 5)** - includes detailed supporting tables displaying the proposed expenditures and full-time equivalents in the operating budgets that are described in Volumes 2, 3, and 4. Please note: This volume is available exclusively on the Government of the District of Columbia website at <http://cfo.dc.gov/>.

**Capital Improvements Plan (Including Highway Trust Fund) (Volume 6)** - describes the District's proposed six-year Capital Improvements Plan for all of the District's agencies. The Highway Trust Fund describes the District's proposed FY 2016 to FY 2021 planned transportation projects including federal highway grants.

Detailed information on the chapter contents of each volume include:

## **Volume 1: Executive Summary**

Includes the following sections:

### **Introduction: FY 2016 Proposed Budget and Financial Plan**

This chapter is a narrative and graphic summary of the proposed budget and financial plan. It describes the overall proposed budget, including the sources and uses of public funds, and compares the prior year's approved budget to the current one. The chapter also explains the budget development process and budget formulation calendar for FY 2016.

### **Financial Plan**

The Financial Plan summarizes planned revenues and expenditures from FY 2016 through FY 2019. This chapter includes financing sources, uses, and the assumptions used to derive the District's short-term and long-term economic outlook.

### **Revenue**

This chapter shows current revenue projections for each revenue type as certified by the Office of the Chief Financial Officer. It also details the District's revenue sources, provides an overview of the District's and regional economy and economic trends, and describes the revenue outlook from FY 2016 through FY 2019.

### **Operating Expenditures**

This chapter describes the District's recent Local funds expenditures. It includes analysis of expenditures between FY 2011 and FY 2014, both by agency and by expense category, e.g. personnel, supplies, and fixed costs.

### **Capital Improvements Plan (CIP)**

This chapter describes the overall CIP, including the sources and uses of Capital funds.

## Appendices

The last section of the Executive Summary includes explanations of specific items to the District's budget:

- The D.C. Comprehensive Financial Management Policy provides a framework for fiscal decision-making by the District to ensure that financial resources are available to meet the present and future needs of District citizens;
- The Glossary of Budget Terms section describes unique budgeting, accounting, and District terms that may not be known by the general reader;
- The Basis of Budgeting and Accounting section describes the basis of budgeting and accounting, enabling the readers to understand the presentation methods of the District's finances;
- The Fund Structure and the Budget section relates the District's fund structure to its budget presentation;
- The Grant Match and Maintenance of Effort section includes a table by agency and grant number that provides the required grant match and maintenance of effort contributions for federal and private grants received by the District;
- The Current Services Funding Level (CSFL) Development section describes how the CSFL was developed for the Local funds budget;
- The Summary Tables detail the District's proposed operating budget by agency and fund type for both budgeted dollars and positions; and
- The Budget Request Act is the legislation that the District uses to enact the District's budget via local law, and serves as the basis for the District's federal appropriations act to be enacted into law by the United States Congress and President through the federal appropriations process.

## Volumes 2, 3, and 4: Agency Budget Chapters - Part I, II, and III

These volumes include agency chapters that describe available resources, their uses, and the achieved and anticipated outcomes as a result of these expenditures. Chapters in these volumes are grouped by appropriation title and each chapter contains the following sections, as applicable:

### Header Information:

- Agency name and budget code;
- Website address and telephone; and
- FY 2016 proposed operating budget table.

### Introduction:

- Agency Mission; and
- Summary of Services.

### Financial and Program Information:

- Proposed Funding by Source table;
- Proposed Full-Time Equivalents by Source table;
- Proposed Expenditure by Comptroller Source Group table;
- Division/Program descriptions;
- Proposed Expenditure by Division/Program table;
- FY 2016 Proposed Budget Changes;
- FY 2015 Approved Budget to FY 2016 Proposed Budget reconciliation table;
- Agency Performance Plan Objectives; and
- Agency Performance Measures table.

## FY 2016 Proposed Budget Changes

The FY 2016 Proposed Budget Changes section within each agency chapter provides a comprehensive explanation of the FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type table that appears in nearly every chapter. The following are descriptions of the calculations done for the specific assumptions applied to certain categories within one particular agency or within specific groups of agencies. Please see the Current Services Funding Level (CSFL) Development appendix in this volume for more information about the CSFL methodology.

- **Recurring Budget Items:** Where applicable, recurring budget items were identified to adjust CSGs 11 (Regular Pay – Continuing Full Time), 15 (Overtime Pay), 20 (Supplies and Materials), 40 (Other Services and Charges), 41 (Contractual Services – Other), and 50 (Subsidies and Transfers). Recurring budget items for all other CSGs were not adjusted in the FY 2016 CSFL.
- **Fixed Cost Inflation Factor:** The Year-over-Year increase to Fixed Costs (CSGs 30, 31, 32, 33, 34, and 35) for the FY 2016 CSFL was derived from cost estimates provided by the Department of General Services (DGS). The Office of Finance and Resource Management (OFRM) and the Office of the Chief Technology Officer (OCTO) will centrally manage these costs within their agency budgets.
- **Medicaid Growth Factor:** The Medicaid growth factor for the cost of health care services in the District provided by the Department of Health Care Finance and the public provider agencies generally fluctuates based on the prevailing conditions of the economy and changes in the federal government’s Medicaid policy. The rate of 2.2 percent was used to calculate funding for Medicaid in the FY 2016 CSFL.
- **Student Funding Formula Inflation Factor:** The funding formula was increased by 2.0 percent to account for inflationary costs that are generally associated with educating students in the District of Columbia Public Schools and Public Charter Schools.
- **Debt Service Adjustments:** Projected adjustments were provided by the Office of Finance and Treasury.
- **Operating Impact of Capital:** Projected adjustments to reflect the budgetary impact of completed capital projects.
- **Removal of One-Time Salary Lapse:** All FY 2015 items marked as One-time Salary Lapse Savings were added back to the FY 2016 CSFL budget.
- **Other Adjustments:** These adjustments were unique to a particular agency and did not meet the criteria of the other adjustment scenarios.

This section includes major changes within the agency budget by program, fund, and full-time equivalents, from the initial request through the policy decisions made by the Mayor. The FY 2016 Proposed Budget Changes section uses the following terms to describe budgetary or programmatic changes:

### I. Actions with an impact on services:

- **Enhance:** More funding to improve the quality or quantity of an existing service (e.g., Funding to support a new service center).
- **Reduce:** Reduction, but not elimination of an existing service (e.g. Close a service center and provide services at other locations; Realign staffing in the Fleet Management division).
- **Eliminate:** Total elimination of an existing service, with no anticipation of the service being provided by another entity (e.g. Eliminate unfunded vacant FTEs for staffing realignment).

### II. Actions with no service impact:

- **Increase:** Additional funds necessary to continue service at current levels (e.g., Fund recurring operating cost of Automated Traffic Enforcement).
- **Decrease:** Reduction in cost without a service impact (e.g., Align energy budget with revised Department of General Services estimate).

- **Transfer-In:** Shift of an existing program or operation from another District agency (e.g., Transfer the Central Cell Block Security activity from the Metropolitan Police Department to the Department of Corrections).
- **Transfer-Out:** Shift of an existing program or operation to another District agency (e.g., Transfer the Mayor's Office of Budget and Finance program from the Executive Office of the Mayor to the Office of the City Administrator).
- **Shift:** Shift an existing program or operation from one Fund type to another (e.g., Shift Draw Division program funding from Special Purpose Revenue to Local funds to support functions within the D.C. Lottery).
- **Reallocation:** Movement of funding within an existing program or operation from one activity or service to another (e.g., Reallocation of the Health and Wellness Services activity to the Youth and Family Empowerment activity in the Youth and Family division of the Department of Youth Rehabilitation Services).
- **Technical Adjustment:** An increase or decrease to the budget that is required because of a legislative mandate and/or to correct an error/omission.
- **No Change:** The agency has no changes in funding and/or budget structures from the FY 2015 approved budget to the FY 2016 proposed budget.

An example of an agency narrative is at the end of this chapter to help the reader navigate the Agency Budget Chapter volume. The example shows an agency with a performance plan. Callout boxes highlight the features discussed above.

## Volume 5: Operating Appendices

This volume provides supporting tables to each agency's proposed operating budget. The tables generally include FY 2014 actual expenditures, the FY 2015 approved budget, the FY 2016 proposed budget, and the change from FY 2015 to FY 2016 (unless noted). The following tables are provided:

**Schedule 30-PBB** - dollars summarized by program, activity, and governmental fund (governmental fund break-out is for FY 2014 only and includes general fund detail);

**Schedule 40-PBB** - dollars summarized by program, comptroller source group, and governmental fund;

**Schedule 40G-PBB** - dollars summarized by program, comptroller source group, and appropriated fund within the General Fund;

**Schedule 41** - dollars and FTEs summarized by comptroller source group and governmental fund;

**Schedule 41G** - dollars and FTEs summarized by comptroller source group and appropriated fund within the General Fund; and

**Schedule 80** - dollars and FTEs summarized by appropriated fund, with specific revenue source (for the FY 2016 Proposed Budget only).



# Volume 6: Capital Improvements Plan (Including Highway Trust Fund)

This volume covers the District's FY 2016 - FY 2021 Capital Improvements Plan (CIP) and the Highway Trust Fund. The capital volume includes:

- An **Introduction** chapter that describes the overall CIP, including the sources and uses of capital funds, the District's policies and procedures for its capital budget and debt, and the FY 2016 planning process;
- **Project Description Forms** that comprise the major portion of the capital volume. The project description forms provide details on capital projects funded by general obligation bonds, pay-as-you-go (Paygo) capital, the Master Equipment Lease program, and the Local Street Maintenance Fund. Each page shows one subproject's planned allotments for FY 2016 through FY 2021, including a description, its annual operating impact, milestone data, and its location; and
- **Appendices** that provide supporting tables and a glossary about the District's capital budget, including:
  - The **FY 2016 Appropriated Budget Authority Request** table that summarizes proposed new projects and changes (increase or decrease) for ongoing projects by agency, subproject, and funding source;
  - The **FY 2016 - FY 2021 Planned Expenditures from New Allotments** table that summarizes the new allotments' planned FY 2016 - FY 2021 expenditures by agency, project, and subproject;
  - The **FY 2016 - FY 2021 Planned Funding** table that summarizes the FY 2016 and six-year funding sources for all new allotments by agency, subproject, and funding source;
  - The **Capital Budget Authority and Allotment Balances** table that summarizes the lifetime budget authority and allotment, life-to-date expenditures, total commitments, and balance of budget authority and allotment for all ongoing capital projects by agency, project, and authority (District versus federal);
  - The **Capital Project Cost Estimate Variances** table displays changes of 5 percent or greater to project costs since the FY 2015 Budget;
  - FY 2015 year-to-date budget actions; and
  - Rescissions, Redirections, and Reprogrammings that occurred between June 1, 2014 (The cut-off date for last years budget book) and September 30, 2014 (The end of FY 2014).

## Highway Trust Fund

This appendix covers the District's FY 2016 through FY 2021 proposed Highway Trust Fund expenditures, including:

- An **Introduction** chapter, which describes the Highway Trust Fund program, including the sources and uses of the funds, the District's policies and procedures for the trust fund, and the FY 2016 planning process;
- The **Project Description Forms**, which comprise the majority of the Highway Trust Fund volume. Each page shows planned allotments for FY 2016 through FY 2021, description, annual operating impact, milestone data, and location for two subprojects; and
- **Appendices** that provide supporting tables for the District's Highway Trust Fund program.
  - An overview of the District of Columbia's Water and Sewer Authority's FY 2015 - FY 2024 Capital Improvements Plan.

Agency name

Agency budget code

(HT0)

# Department of Health Care Finance

www.dhcf.dc.gov  
Telephone: 202-442-5988

This shows the agency's FY 2014 actual expenditures, FY 2015 approved budget, the FY 2016 proposed budget, and the percent variance from FY 2016 to FY 2015. This includes the agency's operating budget and FTEs.

Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$2,625,746,933	\$2,909,706,049	\$2,957,775,166	1.7
FTEs	178.2	220.4	251.0	13.9

Agency website address and telephone number (if applicable)

The mission of the Department of Health Care Finance (DHCF) is to improve health outcomes by providing access to comprehensive, cost-effective, and quality for residents of the District of Columbia.

This section describes the agency's mission and purpose.

### Summary of Services

The Department of Health Care Finance, an agency that was established in FY 2009, provides health care services to low-income children, adults, elderly, and persons with disabilities. Over 200,000 District of Columbia residents (one-third of all residents) receive health care services through DHCF's Medicaid and Alliance programs. DHCF strives to provide these services in the most appropriate and cost-effective settings possible.

The agency's FY 2016 proposed budget is presented in the following tables:

A Summary of Services is a concise explanation of the agency's key functions.

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HT0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HT0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	727,913	716,045	716,603	703,363	-13,240	-1.8
Dedicated Taxes	64,500	65,510	65,829	53,585	-12,244	-18.6
Special Purpose Revenue Funds	960					
<b>Total for General Fund</b>	<b>793,373</b>					
<b>Federal Resources</b>						
Federal Grant Funds	21,332					
Federal Medicaid Payments	1,650,317					
<b>Total for Federal Resources</b>	<b>1,671,649</b>	<b>1,812,204</b>	<b>2,049,018</b>	<b>2,113,896</b>	<b>64,877</b>	<b>3.2</b>
<b>Private Funds</b>						
Private Grant Funds					0	N/A
<b>Total for Private</b>					<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds					103	13.0
<b>Total for Intra-District</b>					<b>103</b>	<b>13.0</b>
<b>Gross Funds</b>	<b>2,485,478</b>	<b>2,625,747</b>	<b>2,909,706</b>	<b>2,957,775</b>	<b>48,069</b>	<b>1.7</b>

This table presents the agency's total operating budget, comparing the FY 2013 actual, FY 2014 actual, FY 2015 approved, and FY 2016 proposed budgets.

This table also shows the agency's total operating budget from each funding source (Local, Dedicated Taxes, Special Purpose Revenue, Federal Payments, Federal Grants, Medicaid, Private Grants, Enterprise and Other, or Intra-District sources).

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table HT0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HT0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	60.5	71.9	90.0	103.9	13.9	15.5
Dedicated Taxes	0.8	2.9	5.5	6.0	0.6	10.0
Special Purpose Revenue Funds	5.4	7.4	9.8	10.4	0.6	5.6
<b>Total for General Fund</b>					<b>15.0</b>	<b>14.3</b>
<b>Federal Resources</b>						
Federal Grant Funds					-5.0	-71.4
Federal Medicaid Payments					20.6	19.1
<b>Total for Federal Resources</b>					<b>15.6</b>	<b>13.6</b>
<b>Total Proposed FTEs</b>	<b>164.4</b>	<b>178.2</b>	<b>220.4</b>	<b>251.0</b>	<b>30.7</b>	<b>13.9</b>

This table lists the agency's FTEs for two prior years, the current year, and the upcoming fiscal year, by revenue type.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HT0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HT0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approval FY 2015	Proposed FY 2016	Change From FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	12,565	14,248	17,954	20,355	2,401	13.4
12 - Regular Pay - Other	844	689	920	1,243	324	35.2
13 - Additional Gross Pay	31	44	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	2,546	2,853	4,001	4,356	355	8.9
15 - Overtime Pay	16	167	0	0	0	N/A
<b>Subtotal Personal Services (PS)</b>	<b>16,003</b>	<b>18,000</b>	<b>22,875</b>	<b>25,955</b>	<b>3,080</b>	<b>13.5</b>
20 - Supplies and Materials	570	105	180	183	3	1.5
30 - Energy, Communication, and Building Rentals	162	218	233	200	-33	-14.2
31 - Telephone, Telegraph, Telegram, Etc.	151	192	165	186	21	12.8
32 - Rentals - Land and Structures	728	0	0	0	0	N/A
34 - Security Services	83	160	116	111	-5	-4.2
35 - Occupancy Fixed Costs	144	359	424	259	-165	-39.0
40 - Other Services and Charges	1,933	1,140	1,504	1,545	41	2.7
41 - Contractual Services - Other	65,846	69,124	61,341	71,478	10,137	16.5
50 - Subsidies and Transfers	2,399,317	2,536,324	2,822,548	2,857,526	34,978	1.2
70 - Equipment and Equipment Rental	540	126	319	333	13	4.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>2,469,475</b>	<b>2,607,747</b>	<b>2,886,831</b>	<b>2,931,820</b>	<b>44,989</b>	<b>1.6</b>
<b>Gross Funds</b>	<b>2,485,478</b>	<b>2,625,747</b>	<b>2,909,706</b>	<b>2,957,775</b>	<b>48,069</b>	<b>1.7</b>

\*Percent change is based on whole dollars.

**This table lists the agency's total operating expenditures for FY 2013 and FY 2014, the FY 2015 approved budget, and the FY 2016 proposed budget at the Comptroller Source Group level.**

## Division Description

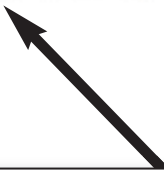
The Department of Health Care Finance operates through the following 8 divisions:

**Health Care Delivery Management (HCDM)** – ensures that quality services and practices pervade all activities that affect the delivery of health care to beneficiaries served by the District’s Medicaid, Children’s Health Insurance Program (CHIP), and Alliance programs. HCDM accomplishes this through informed benefit design; use of prospective, concurrent and retrospective utilization management; ongoing program evaluation; and the application of continuous quality measurement and improvement practices in furnishing preventive, acute, and chronic/long-term care services to children and adults through DHCF’s managed care contractors and institutional and ambulatory fee-for-service providers.

This division contains the following 5 activities:

- **Managed Care Management** – provides oversight, evaluation, and enforcement of contracts with organizations managing the care and service delivery of Medicaid and Alliance beneficiaries, along with providing oversight and enrollment of eligible beneficiaries;
- **Preventive and Acute Care (Children’s Health Services)** – develops, implements, and monitors policies, benefits and practices for children’s health care services, including HealthCheck/EPSTD, CHIP, and the Immigrant Children’s Program;
- **Division of Quality and Health Outcomes** – continuously improves the quality (safe, effective, patient-centered, timely, efficient, and equitable services) of health care delivered by programs administered by DHCF; and ensures that quality and performance improvement principles and practices pervade all the components and activities that impact the delivery and outcomes of health care services to patients served by the District’s Medicaid, CHIP, and Alliance programs;
- **Division of Clinicians, Pharmacy and Acute Provider Services** – develops, implements, and oversees the programming for primary and specialty providers, hospitals, and other acute and preventive care services; and manages the non-emergency transportation contract; and
- **Health Care Delivery Management Support Services** – provides administrative support functions to the Health Care Delivery Management division.

**Long-Term Care Administration (LTCA)** – provides oversight and monitoring of programs targeted to elders, persons with physical disabilities, and persons with intellectual and developmental disabilities. Through program development and day-to-day operations, the LTCA also ensures access to needed cost-effective, high-quality extended and long-term care services for Medicaid beneficiaries residing in home and community-based or institutional settings. The office also provides contract management of the long-term care supports and services contract.



**This indicates the specific programs (or divisions) and activities within an agency. It contains detailed descriptions of their purpose and how they contribute to the lives of District residents and visitors.**

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table HT0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HT0-4**  
(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	804	676	838	162	2.7	6.4	11.0	4.7
(1015) Training and Development	17	69	69	0	0.0	0.0	0.0	0.0
(1020) Contracting and Procurement	2,742	905	1,544	638	4.6	5.0	3.0	-2.4
(1030) Property Management	1,979	1,836	1,794	-42	3.6	5.0	7.0	2.0
(1040) Information Technology	115	789	2,672	1,883	4.5	5.0	7.0	2.0
(1060) Legal	556	843	948	105	0.0	0.0	8.0	8.0
(1070) Fleet Management	31	5	5	0	0.0	0.0	0.0	0.0
(1080) Communications	270	547	568	21	1.8	2.0	2.0	0.0
(1085) Customer Service	1,659	1,603	1,778	175	8.6	14.0	17.0	3.0
(1087) Language Access	-1	2	2	0	0.0	0.0	0.0	0.0
(1090) Performance Management	9,052	6,456	8,749	2,294	19.2	24.0	45.0	21.0
<b>Subtotal (1000) Agency Management</b>	<b>17,224</b>	<b>13,731</b>	<b>18,967</b>	<b>5,236</b>	<b>45.1</b>	<b>61.4</b>	<b>100.0</b>	<b>38.7</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budgeting Operations	347	464	692	227	3.7	4.0	6.0	2.0
(120F) Accounting Operations	3,525	4,401	5,547	1,146	8.4	9.0	7.0	-2.0
(140F) Agency Fiscal Officer	265	280	298	18	1.8	2.0	2.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>4,137</b>	<b>5,145</b>	<b>6,537</b>	<b>1,391</b>	<b>13.9</b>	<b>15.0</b>	<b>15.0</b>	<b>0.0</b>
<b>(2000) Health Care Delivery Management</b>								
(2001) Chronic and Long-Term Care	21,705	0	0	0	28.8	0.0	0.0	0.0
(2002) Managed Care Management	3,615	4,811	6,601	-1,210	9.1	11.0	10.0	-1.0
(2003) Preventive and Acute Care	1,002	1,375	1,314	-61	4.1	5.5	4.5	-1.0
(2004) Division of Quality and Health Outcomes	754	907	1,418	511	3.6	4.0	8.0	4.0
(2007) Div. of Clinicians, Rx and Acute Provider Services	4,602	6,341	8,067	1,726	5.1	5.5	6.5	1.0
(2010) Health Care Delivery Management Support Services	488	281	304	24	1.8	2.0	2.0	0.0
<b>Subtotal (2000) Health Care Delivery Management</b>	<b>32,167</b>	<b>13,715</b>	<b>14,704</b>	<b>989</b>	<b>52.5</b>	<b>28.0</b>	<b>31.0</b>	<b>3.0</b>

This table provides an overall budgeted funding level and number of approved FTEs for the FY 2014 actuals, the FY 2015 approved budget and the FY 2016 proposed budget for specific programs (or divisions) and activities.

## FY 2016 Proposed Budget Changes

The Department of Health Care Finance's (DHCF) proposed FY 2016 gross budget is \$2,957,775,166 which represents a 1.7 percent increase over its FY 2015 approved gross budget of \$2,909,706,049. The budget is comprised of \$703,362,740 in Local funds, \$53,584,694 in Dedicated Taxes, \$999,998 in Federal Grants funds, \$2,112,895,525 in Federal Medicaid Payments, \$2,604,805 in Special Purpose Revenue funds, and \$84,327,405 in Intra-District funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local fund operating District agencies, before consideration of policy decisions. The FY 2015 approved budget across multiple divisions, and it estimates its current divisions and operations into the following. The FY 2015 Local funds budget are described in table 5 of the CSFL Development section within Volume 1: Executive Summary. This section describes the methodology used and components that comprise the CSFL.

DHCF's FY 2016 CSFL budget is \$731,084,051, which represents a \$14,481,226, or 2.0 percent, increase over the FY 2015 approved Local funds budget of \$716,602,825.

### CSFL Assumptions

The FY 2016 CSFL calculated for DHCF included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$1,143,494 to account for the removal of one-time funding appropriated in FY 2015 to support continuation of the United Medical Center's sustainability contract with Huron. Additionally, adjustments were made for a net increase of \$286,229 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$463,237 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DHCF's CSFL funding for the Medicaid Growth Factor reflects an adjustment for an increase of \$15,043,391 to account for a District's FY 2016 Medicaid growth rate of 2.2 percent based on the cost of health care services in the District projected by DHCF. The Medicaid growth rate is primarily driven by enrollment forecasts for Medicaid Managed Care and Fee-for-Service. Managed Care coverage includes, parents of Medicaid eligible children, pregnant women, childless adults with incomes up to 200 percent of Federal Poverty Level (FPL), the Children's Health Insurance Program (CHIP), and Health Services for Children with Special Needs (HSCSN). Other variables impacting the Medicaid Growth Factor include enrollment forecasts for Medicaid waivers, which include the Developmental Disabilities (DD) and the Elderly and Physically Disabled (EPD) waivers. These adjustments also include locally funded programs, such as the Immigrant Children and the D.C. Healthcare Alliance.

Additionally, adjustments were made to ensure proper funding of compensation and benefits for Compensation Groups 1 and 2, and to reflect projections provided by the Department of Health Care Finance.

**The FY 2016 Proposed Budget Changes section provides a comprehensive explanation of Table 5; it includes major internal changes within the budget including Current Services Funding Level (CSFL) changes, changes to the initial adjusted budget, and policy initiatives.**

**In FY 2016, an explanation of CSFL changes, including the CSFL assumptions, is presented separately within the budget. For more detail on the CSFL, please see the appendix in this volume.**

## Agency Budget Submission

The FY 2016 budget submission places a strong emphasis on investing in programs that improves access to health care in underserved areas such as those who are economically disadvantaged or medically vulnerable. Furthermore, the budget allows DHCF to continue to take important steps towards implementing health care reform and improving healthcare access for underserved populations. In this regard, DHCF proposes a number of adjustments in the allocation of available resources, the key elements of which are summarized in the following sections below.

**Increase:** The budget proposed in Local funds includes an increase of \$1,592,751 and 15.6 FTEs across multiple divisions. This increase in personal services costs supports projected salary step increases, Fringe Benefits, and the Local portion of annualized compensation. The additional staff will serve in areas involving customer personnel, liaison between District and federal agencies, and services to District residents.

The Agency Management division provides various services to support the operational needs of this division accounts for an increase of \$1,191,096 is allocated for improvements to Information Technology, and a net increase of \$7,847 reflects various adjustments for Fixed Costs based on estimates for Telecommunications from the Office of the Chief Technology Officer (OCTO) and Energy from DGS.

**This section describes the changes made to an agency during the overall budget formulation process by fund and by program (or divisions).**

## Mayor's Proposed Budget

**Enhance:** The proposed Local funds budget includes an increase of \$322,253 in the Agency Management division. This adjustment covers personal services costs for procurement services that will be transferred to the Office of Contracting and Procurement (OCP) via Intra-District agreement.

**Reduce:** DHCF will realign healthcare provider rates in FY 2016. When completed, this exercise is projected to generate savings in the budget, resulting in a decrease of \$41,337,133 in Local funds in the Health Care Finance division. The various areas involved in the realignment exercise include aligning the wages of home health aides with costs reported by healthcare providers, aligning hospital fee-for-service inpatient rates, aligning Managed Care Organization (MCO) rates, aligning specialty hospitals projected spending to account for double counting, aligning the federal match for the Children's Health Insurance Program (CHIP), aligning the Intermediate Care Facility Providers for Developmental Disabilities (ICF/DD) reimbursement rates, and aligning the Elderly and Persons with Disabilities (EPD) waiver enrollment projections. The corresponding adjustment in Federal Medicaid Payments is reflected as a decrease of \$82,633,309.

**Transfer Out/Reduce:** In order to support the Procurement Practices and Reform Act of 2010 initiatives, DHCF's proposed budget reflects transfers of 1.7 FTEs supported by Local funds and 2.4 FTEs funded by Federal Medicaid Payments, from the Agency Management division to the OCP.

**Describes policy changes that are the result of proposed cost-saving initiatives, transfers of funding or function from one agency to another, and other budget changes.**



## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HT0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HT0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>716,603</b>	<b>90.0</b>
Removal of One-Time Funding	Multiple Programs	-1,143	0.0
Other CSFL Adjustments	Multiple Programs	15,625	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>731,084</b>	<b>90.0</b>
Increase: To adjust personal services	Multiple Programs	1,593	15.6
Increase: To align resources with operational goals	Agency Management	1,199	0.0
Increase: To align resources with operational goals			0.0
Increase: To align resources with operational goals			0.0
Increase: To align resources with operational goals			0.0
Increase: To align resources with operational goals			0.0
Decrease: To align resources with operational goals			0.0
Decrease: To align resources with operational goals			0.0
Decrease: To align resources with operational goals	Health Care Finance	-3,933	0.0
Technical Adjustment: Adjustment due to higher than originally anticipated Medicaid enrollment	Health Care Finance	13,294	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>744,378</b>	<b>105.6</b>
Enhance: Salary and Fringe from the Office of Contracting and Procurement	Agency Management	322	0.0
Reduce: Realignment of healthcare provider service rates	Health Care Finance	-41,337	0.0
Transfer-Out/Reduce: To OCP to support the Procurement Practices and Reform Act of 2010 initiatives	Agency Management	0	-1.6
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>703,363</b>	<b>103.9</b>
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>65,829</b>	<b>5.5</b>
Decrease: To align budget with projected revenues	Multiple Programs	-12,244	0.6
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>53,585</b>	<b>6.0</b>
No Change		0	0.0
<b>DEDICATED TAXES: FY 2016 Mayor's Proposed Budget</b>		<b>53,585</b>	<b>6.0</b>

Typically called Table 5, the *FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type* table describes the changes made to an agency from the CSFL to the policy decisions, by fund, and by program.

## Agency Performance Plan

The agency's performance plan has the following objectives and performance indicators for their Divisions.

### Office of the Director

**Objective 1:** Increase access to health care for District residents.

**Objective 2:** Improve access to health care by developing cost-effective reimbursement methodologies and budget processes.

**Objective 3:** Strengthen program integrity

### KEY PERFORMANCE INDICATORS

#### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of consumers served by Ombudsman	3,528	3,700	7,220	5,000	5,200	5,500
Percent of closed/resolved cases among Office of the Health Care Ombudsman Bill of Rights' consumers	94%	90%	91.6%	98%	98%	98%
Percent of commercial cases overturned	68%	80%	26.77%	12%	13%	14%
Number of provider categories to be audited and related financial reviews	Not Available	Not Available	Not Available	6	6	6
Percent of invoices processed accurately and in compliance with Prompt Payment Act	Not Available	Not Available	Not Available	95%	96%	97%
Number of referrals to the Medicaid Fraud Control Unit	23	20	9	20	20	20

**The Agency Performance Plan describe specific agency performance objectives or goals from the FY 2013 actual through the FY 2017 projected result.**



# **Agency Budget Chapters**

**E - Human Support Services**

**F - Public Works**

**G - Financing and Other**

**H - Enterprise and Other Funds**

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# E

## Human Support Services

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# How to Read the Agency Chapters

The agency chapters describe available resources for an agency, how the agency will spend them, and the achieved and anticipated outcomes as a result of these expenditures. For a detailed explanation of the fiscal tables and narrative sections, please see the “How to Read the Budget and Financial Plan” chapter in *Volume 1: Executive Summary*.

Each chapter contains the following, if applicable:

The first page of each agency chapter displays the agency name and budget code, website address, and telephone number. The page also shows a table that contains the agency’s gross funds, or total operating, budget. The table shows the Fiscal Year (FY) 2014 actual expenditures and Full-Time Equivalents (FTEs); the FY 2015 Approved budget and FTEs; the FY 2016 Proposed budget and FTEs; and the percent change from the previous year for the budget and FTEs. Lastly, this page typically contains the agency mission statement and a summary of its services.

Subsequent pages reflect agency fiscal and programmatic levels and changes. The information varies by agency but typically contains the following financial tables and narrative sections:

- ***Proposed Funding by Source table*** displays the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed dollars by fund type.
  - ***Proposed Full-Time Equivalents table*** shows the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed FTEs by fund type.
  - ***Proposed Expenditure by Comptroller Source Group (CSG) table*** identifies the gross fund changes by CSG, which is a type of budgetary classification that identifies category spending within personal services (personnel costs, such as salaries and fringe benefits) and nonpersonal services (operational costs, such as contracts, supplies, and subsidy payments).
  - ***Proposed Operating Budget and FTEs, by Division/Program and Activity table*** shows the gross fund changes by dollars and FTEs. The Division/Program descriptions section that precedes this table explains the purpose of the divisions/programs and activities funded in the FY 2016 Proposed budget.
  - ***FY 2015 Approved Budget to FY 2016 Proposed Budget reconciliation table*** shows the FY 2016 Proposed budget and FTE changes, by division or program, from the FY 2015 Approved budget. This table also includes a brief description of the change. A detailed narrative of the changes is found in the FY 2016 Proposed Budget Changes section that precedes this table.
  - ***Agency Performance Plan Objectives*** and the accompanying Agency Performance Measures table show the agency-level plan that contains the agency’s mission, summary of services, objectives, initiatives, and performance measures for a set period of time. For some agencies, the initiatives and performance measures are grouped by division/program.
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# Department of Human Services

www.dhs.dc.gov

Telephone: 202-671-4200

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$360,851,384	\$420,205,424	\$458,943,293	9.2
FTEs	835.3	973.3	1,046.8	7.6

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The mission of the District of Columbia Department of Human Services (DHS), in collaboration with the community, is to assist individuals and families to maximize their potential for economic security and self-sufficiency.

## Summary of Services

The mission of DHS is achieved via the following agency programs:

**Agency Management** – provides for administrative and operational support to achieve programmatic results.

**Economic Security Administration: Income Assistance Services** – Administers the Temporary Assistance for Needy Families (TANF) program, which provides temporary income support assistance for low-income families while helping them improve their long-term employability and achieve family-sustaining income; administers the Food Stamp program, which is designed to provide supplemental nutrition assistance to individuals and families in need, and support their return to long-term employability; and administers the District of Columbia Interim Disability Assistance program, which provides assistance to Supplemental Security Income (SSI) applicants pending SSI determination.

**Eligibility Determination Services** – Determines eligibility for the District of Columbia’s child care subsidy program and an array of Federal and District medical assistance programs, including Medicaid, Children’s Health Insurance Program (CHIP), and the D.C. Healthcare Alliance Program.

**Family Services Administration: Homeless Services** – provides a continuum of services to individuals and families who are homeless or at risk of homelessness, so that they can obtain and/or maintain improved housing.

**Family Services** – provides social services, case management and crisis intervention to meet the needs of vulnerable adults and families with children.

The agency’s FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table JA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JA0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	174,865	216,068	236,547	266,482	29,935	12.7
Special Purpose Revenue Funds	385	163	1,200	3,200	2,000	166.7
<b>Total for General Fund</b>	<b>175,250</b>	<b>216,232</b>	<b>237,747</b>	<b>269,682</b>	<b>31,935</b>	<b>13.4</b>
<b>Federal Resources</b>						
Federal Grant Funds	135,733	120,389	156,448	170,551	14,103	9.0
Federal Medicaid Payments	13,137	11,396	14,529	15,798	1,269	8.7
<b>Total for Federal Resources</b>	<b>148,870</b>	<b>131,786</b>	<b>170,977</b>	<b>186,349</b>	<b>15,371</b>	<b>9.0</b>
<b>Private Funds</b>						
Private Grant Funds	41	0	0	0	0	N/A
<b>Total for Private Funds</b>	<b>41</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	11,464	12,834	11,481	2,912	-8,569	-74.6
<b>Total for Intra-District Funds</b>	<b>11,464</b>	<b>12,834</b>	<b>11,481</b>	<b>2,912</b>	<b>-8,569</b>	<b>-74.6</b>
<b>Gross Funds</b>	<b>335,624</b>	<b>360,851</b>	<b>420,205</b>	<b>458,943</b>	<b>38,738</b>	<b>9.2</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table JA0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table JA0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b><u>General Fund</u></b>						
Local Funds	277.7	370.8	457.6	489.6	32.0	7.0
Special Purpose Revenue Funds	0.0	0.0	0.0	28.0	28.0	N/A
<b>Total for General Fund</b>	<b>277.7</b>	<b>370.8</b>	<b>457.6</b>	<b>517.6</b>	<b>60.0</b>	<b>13.1</b>
<b><u>Federal Resources</u></b>						
Federal Grant Funds	339.0	329.0	322.6	335.1	12.5	3.9
Federal Medicaid Payments	164.8	117.8	173.2	173.2	0.0	0.0
<b>Total for Federal Resources</b>	<b>503.8</b>	<b>446.8</b>	<b>495.7</b>	<b>508.2</b>	<b>12.5</b>	<b>2.5</b>
<b><u>Intra-District Funds</u></b>						
Intra-District Funds	17.7	17.6	20.0	21.0	1.0	5.0
<b>Total for Intra-District Funds</b>	<b>17.7</b>	<b>17.6</b>	<b>20.0</b>	<b>21.0</b>	<b>1.0</b>	<b>5.0</b>
<b>Total Proposed FTEs</b>	<b>799.2</b>	<b>835.3</b>	<b>973.3</b>	<b>1,046.8</b>	<b>73.5</b>	<b>7.6</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table JA0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JA0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	43,173	47,862	55,037	56,073	1,036	1.9
12 - Regular Pay - Other	2,435	2,622	6,288	13,243	6,955	110.6
13 - Additional Gross Pay	296	241	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	10,806	11,824	16,396	17,668	1,272	7.8
15 - Overtime Pay	1,407	1,846	354	447	93	26.3
99 - Unknown Payroll Postings	0	0	0	0	0	N/A
<b>Subtotal Personal Services (PS)</b>	<b>58,116</b>	<b>64,396</b>	<b>78,074</b>	<b>87,431</b>	<b>9,357</b>	<b>12.0</b>
20 - Supplies and Materials	415	455	513	775	262	51.1
30 - Energy, Communication, and Building Rentals	3,593	2,643	3,572	2,933	-639	-17.9
31 - Telephone, Telegraph, Telegram, Etc.	1,448	1,288	1,462	1,522	60	4.1
32 - Rentals - Land and Structures	11,219	15,546	17,293	22,677	5,384	31.1
34 - Security Services	2,107	2,597	3,356	1,930	-1,426	-42.5
35 - Occupancy Fixed Costs	2,202	2,224	1,601	1,807	206	12.9
40 - Other Services and Charges	3,041	3,122	4,590	10,413	5,823	126.8
41 - Contractual Services - Other	6,614	6,511	7,174	7,135	-39	-0.6
50 - Subsidies and Transfers	246,313	260,252	301,738	320,791	19,052	6.3
70 - Equipment and Equipment Rental	557	1,818	832	1,531	699	84.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>277,508</b>	<b>296,456</b>	<b>342,131</b>	<b>371,513</b>	<b>29,381</b>	<b>8.6</b>
<b>Gross Funds</b>	<b>335,624</b>	<b>360,851</b>	<b>420,205</b>	<b>458,943</b>	<b>38,738</b>	<b>9.2</b>

\*Percent change is based on whole dollars.

## Division Description

The Department of Human Services operates through the following 4 divisions:

**Economic Security Administration (ESA)** – determines eligibility and the amount of assistance for those receiving Temporary Assistance for Needy Families (TANF), Medical Assistance, Food Stamps, and the child care subsidy; and helps low-income adults achieve self-sufficiency through employment and work-related activities. ESA also administers the Burial Assistance program, Interim Disability Assistance, and General Children’s Assistance.

This division contains the following 11 activities:

- **Burial Assistance** – provides assistance to low-income families who need help with funeral expenses;
- **General Assistance for Children** – provides financial assistance to eligible individuals caring for unrelated children under the age of 18;
- **Interim Disability Assistance (IDA)** – provides temporary financial assistance to those who are unable to work due to a disability and who have a high probability of receiving federal Supplemental Security Income (SSI). IDA payments are issued until SSI eligibility is approved or denied, after which the IDA payment ends;
- **Temporary Assistance for Needy Families (TANF)** – provides social services and supports to achieve family preservation and economic self-sufficiency;
- **Cash Assistance (TANF)** – provides financial assistance to eligible individuals with children under the age of 19, so that they can meet their basic needs and transition to economic self-sufficiency;
- **Job Opportunity and Training (TANF)** – provides employment readiness, job placement, coordination and skill development training, and educational enrichment to eligible individuals so that they can be socially and economically self-reliant;
- **Supplemental Food Assistance (Local)** – provides locally funded food assistance to the District Supplemental Nutritional Assistance Program (SNAP) recipients; this assistance is provided to District residents who receive the minimum SNAP benefits to increase the food supplement to \$30 per month;
- **Case Management** – provides diagnostic, evaluation, and plan development services to consumers in order to determine the needs and plan the treatment and other related services and supports needed. Coordinates treatment and services to remediate barriers to employment and assists with securing other financial supports, such as Program on Work Employment and Responsibility (POWER) and Supplemental Security Income (SSI). This activity includes the Office of Work Opportunity and the Food Stamp Employment and Training Programs;
- **Eligibility Determination Services** – provides program eligibility determination services to individuals of the District of Columbia for services for which they qualify;
- **Monitoring and Quality Assurance** – provides internal monitoring of ESA’s compliance with federal and District laws and court orders; identifies, investigates, and reports customer fraud in obtaining assistance; and addresses the accurate and timely determination of eligibility and administration of benefits; and
- **Early Education Subsidy Transfer** – provides funding to the Office of the State Superintendent of Education (OSSE) for subsidized child care for the children of TANF-eligible families.

**Family Services Administration (FSA)** – helps homeless individuals and families, low-income people, adults at-risk for abuse or neglect, teenage parents, troubled families, and refugees to become gradually stable and fully self-sufficient through an array of social services, assessments, and provides case-management and crisis-intervention services.

This division contains the following 12 activities:

- **Adult Protective Services (APS)** – investigates alleged abuse, neglect, self-neglect, and exploitation of elderly and disabled adults, and intervenes to protect vulnerable adults who are at risk;

- **Domestic Violence Services** – provides protection, emergency shelter, and crisis intervention services to victims of domestic violence so that they can seek immediate relief from harm;
- **Permanent Supportive Housing** – provides permanent rent subsidies and comprehensive case management services that enables long-term and stable housing for families and individuals with a history of chronic homelessness or a disability that presents significant barriers to achieving self-sufficiency;
- **Permanent Supportive Housing – Families** – provides permanent housing and supportive services to families with histories of homelessness and significant barriers to achieving self-sufficiency;
- **Permanent Supportive Housing – Individuals** – provides permanent housing and supportive services to chronically homeless individuals;
- **Homeless Services Continuum – Families** – provides outreach, transportation, shelter, housing stabilization, and crisis intervention services to families in the District of Columbia who are homeless or at risk of homelessness;
- **Homeless Services Continuum – Individuals** – provides outreach, transportation, shelter, housing stabilization, and crisis intervention services to individuals in the District of Columbia who are homeless or at risk of homelessness;
- **Homeless Services Continuum – General** – provides security, food, management, fixed costs (for shelter and housing facilities), supplies, equipment and administrative support for the activities listed under the Homeless Continuum and Permanent Supportive Housing;
- **Refugee Resettlement** – provides social services, cash, and medical assistance to eligible refugees and their families through sub-grant arrangements with community-based, non-profit agencies;
- **Strong Families** – provides comprehensive service delivery through case management and support services to families who are experiencing significant social, emotional, or other crises in order to de-escalate and help stabilize the family and resolve the presenting issues:
  - **Parent and Adolescent Support Services** – provides services to divert youth who have committed status offenses from court involvement and detention by conducting comprehensive youth assessments and providing intensive case management and linkages to supportive services;
  - **Teen Parent Assessment** – provides services to teen parents in the District of Columbia with a goal of moving program participants towards self-sufficiency;
- **Community Services Block Grant** – provides assistance to low-income residents through a network of community action agencies and other neighborhood-based organizations in order to reduce poverty, revitalize low-income communities, and empower low-income families and individuals to become self-reliant; and
- **Subsidy Transfer** – provides child care benefits for low-income families.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The Department of Human Services has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table JA0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides FY 2014 actual data.

**Table JA0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	829	949	999	50	5.9	9.0	9.0	0.0
(1017) Labor Management Partner	124	124	127	2	0.6	1.0	1.0	0.0
(1030) Property Management	1,707	1,862	2,050	188	1.6	2.5	2.5	0.0
(1040) Information Technology	5,396	6,889	13,649	6,760	23.0	26.0	32.0	6.0
(1055) Risk Management	3,940	4,686	5,306	620	33.0	41.0	46.0	5.0
(1060) Legal Services	1,110	1,176	1,467	292	0.0	0.0	10.0	10.0
(1080) Communications	541	602	745	143	3.9	6.0	7.0	1.0
(1085) Customer Service	55	55	57	3	0.6	1.0	1.0	0.0
(1090) Performance Management	2,625	2,410	2,598	189	13.8	20.0	21.0	1.0
<b>Subtotal (1000) Agency Management</b>	<b>16,327</b>	<b>18,751</b>	<b>26,998</b>	<b>8,247</b>	<b>82.6</b>	<b>106.5</b>	<b>129.5</b>	<b>23.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	935	1,224	1,392	167	4.8	5.0	5.0	0.0
(120F) Accounting Operations	2,296	2,495	2,499	3	23.5	22.5	22.0	-0.5
(130F) ACFO	198	235	250	14	0.9	1.0	1.0	0.0
(140F) Agency Fiscal Officer	26	31	31	0	0.0	0.0	0.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>3,455</b>	<b>3,986</b>	<b>4,171</b>	<b>185</b>	<b>29.3</b>	<b>28.5</b>	<b>28.0</b>	<b>-0.5</b>
<b>(2000) Economic Security Administration</b>								
(2011) Burial Assistance	296	328	328	0	0.0	0.0	0.0	0.0
(2012) General Assistance for Children	831	1,156	806	-350	0.0	0.0	0.0	0.0
(2013) Interim Disability Assistance	2,991	3,540	3,520	-20	0.0	1.0	1.0	0.0
(2020) Temporary Assistance for Needy Families (TANF)	18,527	17,100	17,761	662	0.0	0.0	0.0	0.0
(2021) Cash Assistance (TANF)	66,027	69,962	62,701	-7,261	0.0	0.0	0.0	0.0
(2022) Job Opportunity and Training (TANF)	21,360	46,182	42,182	-4,000	0.0	0.0	0.0	0.0
(2023) SNAP Benefits, Local	0	1,300	0	-1,300	0.0	0.0	0.0	0.0
(2024) Supplemental Food Assistance (Local)	0	0	1,300	1,300	0.0	0.0	0.0	0.0
(2030) Case Management	8,628	13,218	20,321	7,103	134.6	162.0	180.0	18.0
(2040) Eligibility Determination Services	50,555	57,016	62,626	5,610	440.6	507.0	517.0	10.0
(2055) Monitoring and Quality Assurance	2,855	4,034	4,378	344	41.9	41.0	44.0	3.0
(2065) Early Education Subsidy Transfer	37,388	37,388	37,168	-220	0.0	0.0	0.0	0.0
<b>Subtotal (2000) Economic Security Administration</b>	<b>209,458</b>	<b>251,226</b>	<b>253,092</b>	<b>1,867</b>	<b>617.0</b>	<b>711.0</b>	<b>742.0</b>	<b>31.0</b>

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**Table JA0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(5000) Family Services Administration</b>								
(5010) Adult Protective Services	2,761	3,345	2,961	-384	28.6	27.5	23.7	-3.8
(5020) Domestic Violence Services	1,199	1,254	1,254	0	0.0	0.0	0.0	0.0
(5032) Permanent Supportive Housing	0	0	302	302	0.0	0.0	0.0	0.0
(5034) Permanent Supportive Housing - Families	9,819	11,893	14,469	2,576	0.0	0.0	0.0	0.0
(5035) Permanent Supportive Housing - Individuals	16,508	23,088	23,818	730	15.8	16.0	27.0	11.0
(5037) Homeless Services Continuum - Families	44,421	45,404	58,211	12,807	12.4	12.5	18.0	5.5
(5038) Homeless Services Continuum - Individuals	17,230	21,063	24,090	3,026	0.0	3.0	2.0	-1.0
(5039) Homeless Services Continuum - General	23,236	22,991	29,876	6,885	5.8	14.0	14.3	0.3
(5040) Refugee Resettlement	1,731	1,582	1,947	365	4.9	5.0	5.0	0.0
(5060) Strong Families	3,851	4,350	6,151	1,801	32.6	43.0	51.0	8.0
(5090) Community Services Block Grant	10,539	10,976	11,372	396	6.2	6.2	6.2	0.0
(5095) Subsidy Transfer	229	295	231	-64	0.0	0.0	0.0	0.0
<b>Subtotal (5000) Family Services Administration</b>	<b>131,525</b>	<b>146,242</b>	<b>174,681</b>	<b>28,439</b>	<b>106.4</b>	<b>127.3</b>	<b>147.3</b>	<b>20.0</b>
<b>(9960) Year End Close</b>								
No Activity Assigned	86	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (9960) Year End Close</b>	<b>86</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>360,851</b>	<b>420,205</b>	<b>458,943</b>	<b>38,738</b>	<b>835.3</b>	<b>973.3</b>	<b>1,046.8</b>	<b>73.5</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Department of Human Services' (DHS) proposed FY 2016 gross budget is \$458,943,293, which represents a 9.2 percent increase over its FY 2015 approved gross budget of \$420,205,424. The budget is comprised of \$266,482,349 in Local funds, \$170,551,146 in Federal Grant funds, \$15,797,637 in Federal Medicaid Payments, \$3,200,000 in Special Purpose Revenue funds, and \$2,912,161 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DHS' FY 2016 CSFL budget is \$253,764,947, which represents a \$17,217,797, or 7.3 percent, increase over the FY 2015 approved Local funds budget of \$236,547,150.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DHS included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$2,200,000 to account for the removal of one-time funding appropriated in FY 2015 in the Family Services division to expand the Rapid Rehousing initiative and Emergency Rental Assistance, and to support a feasibility study to determine housing and service needs the Community for the Creative Non-Violence Homeless Shelter. Additionally, adjustments were made for a net increase of \$1,040,324 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$46,728 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DHS' CSFL funding for the restoration of one-time salary lapse reflects an increase of \$1,150,000 to account for a one-time adjustment of personal services costs that was based on salary lapses in the FY 2015 approved budget. Additionally, adjustments were made for an increase of \$15,700,000 for the Dignity for Homeless Families Amendment Act of 2014 and an increase of \$1,403,323 for the Fixed Cost inflation factor that includes increases of \$1,787,899 in Rentals – Land and Structures and \$495,586 in Occupancy, offset by decreases of \$413,987 in Energy-related commodities and \$466,175 in Security based on the projections provided by the Department of General Services (DGS). Also, a net increase of \$150,680 accounts for Medicaid growth in excess of the original assumption, based on estimates from the Department of Health Care Finance. Finally, a net decrease of \$73,258 includes an increase of \$189,438 and 1.2 Full-Time Equivalent (FTEs) to reflect the transfer of attorneys from the Office the Attorney General (OAG), offset by a decrease of \$262,696 to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** In Local funds, the proposed budget includes a net increase of \$2,181,707 based on the FY 2016 Fixed Costs estimate provided by the Department of General Services (DGS), which is comprised of increases of \$3,437,823 for Rentals – Land and Structures and \$59,753 for Telecommunications, offset by decreases of \$801,455 for Security Services, \$289,224 for Occupancy, and \$225,190 for Energy costs. An adjustment of \$1,019,272 across multiple divisions includes \$612,000 to cover expenses for an Information Technology (IT) assessment, \$110,000 for Fleet maintenance and repairs, and \$297,272 for other operational goals. The proposed Local funds budget includes an increase of \$1,018,513 and 13.8 Full-Time Equivalent (FTEs) for projected salary steps and Fringe Benefit cost increases. Support for the Homeless Prevention and

Veterans programs account for 9.0 of these FTEs, and another 4.8 FTEs result from the transfer of attorneys from the OAG.

The proposed budget for Federal Grant funds includes adjustments in nonpersonal services across multiple divisions totaling an increase of \$13,189,746. This amount includes projected revenues of \$11,055,000 for TANF carry-over funds in the Economic Security Administration division, \$468,707 for Supplies and Equipment in the Food Stamp Bonus program, and \$1,666,039 in projected revenues for federal grants. Also included in the proposed budget is a net increase in personal services of \$1,980,142, which is comprised of salaries for 12.5 FTEs, proposed salary step increases, Overtime Pay associated with the Social Services Block Grant, a cost-of-living (COLA) adjustment, and Fringe Benefit costs. Of the 12.5 FTEs, 4.0 FTEs support the transfer attorneys from the OAG, 6.0 FTEs fulfill the federal requirement to investigate fraud in the Supplemental Nutritional Assistance Program (SNAP), and 2.5 FTEs support contract monitoring of the TANF work verification plan.

In Federal Medicaid Payments, DHS proposes an increase of \$515,621 in personal services costs for the Agency Management and Economic Security Administration divisions to support projected salary step increases, COLA, and Fringe Benefit costs. DHS also proposes an increase of \$428,000 across multiple programs to align resources with operational goals. This amount includes \$278,000 to cover printing, postage and office support for new Medicaid renewal forms and \$150,000 for the Medicaid Advance Planning Document system carry-over funds. Finally, DHS proposes an increase of \$325,000 in the Economic Security Administration division based on projected Medicaid revenue.

The budget submission for Special Purpose Revenue (SPR) funds includes an increase of \$1,901,210 and 28.0 FTEs related to the Health Benefit Exchange Authority. DHS will use the revenue and positions to provide eligibility determination for health benefits. In addition, an increase of \$98,790 supports operational costs associated with the new positions.

The FY 2016 proposed Intra-District personal services budget increased by \$1,300,000 in the Economic Security Administration division to reflect additional energy assistance benefits for SNAP recipients. DHS also proposes an increase of \$120,752 and 1.0 FTE. The additional funding supports salary step increases, COLA, and Fringe Benefits increases across multiple divisions and the additional position supports the Parent Adolescent Support Services program (PASS) in DHS' Family Services Administration division, based on a Memorandum of Understanding (MOU) with the Children and Family Services agency. Finally, proposed operational costs accounts for an increase of \$10,593 to support the new position in the PASS program.

**Decrease:** In Local funds, DHS proposes a net decrease of \$10,919,493 in Subsidies and Transfers based on a reduction of \$9,016,581 in the Economic Security Administration division and \$1,902,912 in the Family Services Administration division to properly align the budget with anticipated funding sources.

DHS proposes a net decrease of \$1,067,184 in Federal Grant funds across multiple divisions for Contractual Services based on projected reductions in revenue for the SNAP High Performance Bonus program. High performance is based on a number of factors that lead to improved program access to SNAP recipients.

**Technical Adjustment:** In Local funds, an increase of \$5,545 supports performance-related pay adjustments for attorneys.

### **Mayor's Proposed Budget**

**Enhance:** The proposed Local funds budget adds a net increase of \$11,816,543 and puts the District on the path to end chronic individual and family homelessness. The proposed budget includes \$1,823,323 to help provide sufficient resources to shelter families and individuals during the winter months, \$3,000,000 to provide supportive services for permanent supportive housing units already funded through the District's consolidated request for proposals (managed by the Department of Housing and Community Development), and \$59,200 to annualize the full cost of the Housing Navigators who will help homeless families secure

housing. These increases are offset by an \$8,000,000 reduction within the Family Services Administration division, which will be achieved by identifying efficiencies and cost savings within contracts. The proposed budget takes several steps to begin implementing the District's strategic plan to end homelessness. This includes an increase of \$4,900,000 to fund 84 replacement units of emergency shelter for D.C. General and \$4,591,550 to fund 350 units of rapid re-housing and a new daytime center for individuals. The daytime center will be a place where individuals who are homeless can go to obtain social, housing and employment resources during daytime hours. The budget will also implement the District's Strategic Plan by adding an increase of \$2,596,000 to help fund additional family homelessness prevention and 80 additional units of intensive rapid re-housing for families, \$1,567,500 for an additional 250 units of permanent supportive housing for individuals, and \$1,278,970 for an additional 110 units of permanent supportive housing for families. The corresponding leasing costs, as well as funding for nearly 300 units of targeted affordable housing for homeless families and individuals, are found in the D.C. Housing Authority Subsidy budget.

To support the new D.C. Access System (DCAS), the agency proposes an increase of \$5,956,313 and 7.0 FTEs in the Agency Management division. DCAS is the new health and human services eligibility and enrollment system. The increase will support DHS' efforts to implement phase two of the DCAS system, which will help improve and streamline the eligibility system for – and the process for applying for and renewing – TANF, SNAP, Medicaid, and other benefits. In addition, \$300,000 of this increase will be combined with existing federal funds to help the agency begin to track the work hours of federal food stamp recipients who are single, between the ages of 18 to 50, and do not have a disability or dependents. The agency will undertake this effort to report these hours to the U.S. Department of Agriculture.

The agency also received an increase of \$5,000,000, which will be combined with existing agency funds, to extend TANF benefits through October 1, 2017. This extension will give DHS time to begin a comprehensive review of all TANF families who are within 12 months of reaching the TANF time limit to address remaining barriers; increase the existing supply and availability of work supports; provide employment and training opportunities; conduct a short-turnaround study to better understand the 60-month customer; and develop a proposed hardship criteria that can be programmed into DCAS for implementation at the start of FY 2017.

Funding includes an increase of \$1,000,000 and 10.0 FTEs to support the Alternatives to Court Experience Diversion (ACE) program. ACE helps youth avoid formal arrest and potential prosecution for misdemeanor crimes by diverting them to various services such as community-based intervention, family therapy, and anger management. Finally, an increase of \$12,301 in personal services fully annualizes one position.

**Reduce:** In Local funds, DHS proposes a decrease of \$23,300 in the Family Services Administration division for Equipment and Supplies. The budget also includes a total reduction of \$4,350,000, of which \$4,000,000 is in the TANF Employment Program (TEP) to align the program with anticipated spending. The budget will still allow DHS to review and modify the current TEP vendor cost to ensure viability for providers and expand current TEP vendor capacity. In addition, DHS will continue to explore other employment options for TANF customers, such as through programs with the Department of Employment Services. A \$350,000 reduction to the General Assistance for Children program aligns the budget with anticipated spending. The budget still allows for new participants to sign up for services in FY 2016.

In Intra-District funds, the agency proposes a decrease of \$10,000,000 in the Economic Security Administration division due to a reduction in Subsidies and Transfers.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table JA0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table JA0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>236,547</b>	<b>457.6</b>
Removal of One-Time Funding	Multiple Programs	-2,200	0.0
Other CSFL Adjustments	Multiple Programs	19,418	1.2
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>253,765</b>	<b>458.8</b>
Increase: To align Fixed Costs with proposed estimates	Multiple Programs	2,182	0.0
Increase: To align resources with operational goals	Multiple Programs	1,019	0.0
Increase: To support additional FTEs	Multiple Programs	1,019	13.8
Decrease: To realize programmatic cost savings in nonpersonal services	Multiple Programs	-10,919	0.0
Technical Adjustment: To support performance-related pay adjustments for attorneys	Multiple Programs	6	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>247,070</b>	<b>472.6</b>
Enhance: To support the District's efforts to end homelessness and right-size resources to align with agency goals	Family Services Administration	11,817	0.0
Enhance: To support the new D.C. Access System (DCAS)	Agency Management	5,956	7.0
Enhance: To extend TANF benefits through Oct. 1, 2017	Economic Security Administration	5,000	0.0
Enhance: To continue support for the Alternative Court Experience (ACE) program	Family Services Administration	1,000	10.0
Enhance: To adjust temporary full time personal services and Fringe Benefits with projected costs	Economic Security Administration	12	0.0
Reduce: To align funding with nonpersonal services costs	Family Services	-23	0.0
Reduce: To align resources with operational goals	Economic Security Administration	-4,350	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>266,482</b>	<b>489.6</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>156,448</b>	<b>322.6</b>
Increase: To align budget with projected grant awards	Multiple Programs	13,190	0.0
Increase: To adjust personal services	Multiple Programs	1,980	12.5
Decrease: To adjust the Contractual Services budget	Multiple Programs	-1,067	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>170,551</b>	<b>335.1</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>170,551</b>	<b>335.1</b>

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**Table JA0-5 (Continued)**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>FEDERAL MEDICAID PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>14,529</b>	<b>173.2</b>
Increase: To adjust personal services	Multiple Programs	516	0.0
Increase: To align resources with operational goals	Multiple Programs	428	0.0
Increase: To align budget with projected revenues	Economic Security Administration	325	0.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>15,798</b>	<b>173.2</b>
No Change		0	0.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>15,798</b>	<b>173.2</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>1,200</b>	<b>0.0</b>
Increase: To adjust personal services	Economic Security Administration	1,901	28.0
Increase: To align budget with projected revenues	Multiple Programs	99	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>3,200</b>	<b>28.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>3,200</b>	<b>28.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>11,481</b>	<b>20.0</b>
Increase: To support program initiative(s)	Economic Security Administration	1,300	0.0
Increase: To support additional FTEs	Multiple Programs	121	1.0
Increase: To align resources with operational goals	Multiple Programs	11	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>12,912</b>	<b>21.0</b>
Reduce: To realize programmatic cost savings in nonpersonal services	Economic Security Administration	-10,000	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,912</b>	<b>21.0</b>
<b>Gross for JA0 - Department of Human Services</b>		<b>458,943</b>	<b>1,046.8</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives and performance indicators for their divisions:

### Agency Management<sup>1</sup>

**Objective 1:** Coordinate and provide administrative and quality control support mechanisms to assist low-income individuals and families to maximize their potential for economic security and self-sufficiency.

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## KEY PERFORMANCE INDICATORS

### Agency Management

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of shelter and supportive housing staff trained on new Unusual Incident reporting system	100%	100%	100%	100%	100%	100%
Number of homeless service programs monitored <sup>2</sup>	91	101	91	111	111	111
Percent of upgrades made to DHS facilities that serve the public	Not Available	75%	75%	25%	25%	25%

### Family Services Administration

**Objective 1:** Address the needs of the homeless in the District of Columbia.

**Objective 2:** Reduce status offenses (truancy, running away, curfew violations, and extreme disobedience) in the District of Columbia.

**Objective 3:** Provide assessment, intervention, stabilization and referral services for families in crisis.

**Objective 4:** Intervene to protect District residents who are vulnerable to abuse, neglect and exploitation and promote positive youth development and growth despite current challenges and circumstances.

## KEY PERFORMANCE INDICATORS

### Family Services Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of formerly homeless households receiving housing and supportive services through the permanent supportive housing	1,333	1,395	5,417	1,595	1,595	1,595
Percent of participants in permanent supportive housing that were housed in the prior fiscal year that maintain housing in the current fiscal year <sup>3</sup>	93%	90%	90%	90%	90%	90%
Number of families provided with crisis intervention and stabilization services through the Strong Families Program	1,134	1,300	963	1,500	1,500	1,500
Percent of teen parents receiving services from the Teen Parent Assessment program who are consistently attending their educational program (high school, GED, or other program) or who consistently attended and fulfilled the other requirements to successfully complete their educational program	Not Available	95%	96%	70%	70%	70%
Percent of teen parents receiving services from the Teen Parent Assessment Program who do not have additional pregnancies during the reporting year	Not Available	95%	96%	95%	95%	95%
Percent of youth engaged in the Parent and Adolescent Support Services Program whose cases are closed successfully due to reported decreases in curfew violations, running away, truancy and extreme disobedience	66%	60%	78%	65%	70%	70%
Percent of referrals in non-emergency cases where initial client contact and investigation takes place within ten working days for the Adult Protective Services Program	99%	95%	99%	95%	95%	95%
Percent of cases where investigations, substantiation of allegations, the provision of services to mitigate immediate risk have been completed and are transferred to the continuing services unit are completed within sixty working days for the Adult Protective Services Program	83%	95%	82%	95%	95%	95%
Percent of client cases where substantiated allegations and identified risk to clients has been mitigated before case closure within the Adult Protective Services Program	100%	100%	95%	100%	100%	100%

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## Economic Security Administration

**Objective 1:** Facilitate an integrated approach to service delivery.

**Objective 2:** Streamline operations and improve quality assurance.

### KEY PERFORMANCE INDICATORS

#### Economic Security Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Food Stamp error rate percentage <sup>4</sup>	8.7%	3.5%	6.6%	3.5%	3.5%	3.5%
Percent of new Temporary Assistance for Needy Families (TANF) or recertifying applicants who completed their preliminary assessment and orientation from the date of implementation	100%	100%	100%	100%	100%	100%
Percent of vendor assigned not-employed TANF customers who meets his/her full monthly work participation requirements	15% <sup>5</sup>	20%	31%	31%	31%	31%
Percent of customers placed by "Job Placement" provider in unsubsidized employment	26%	26%	31%	30%	30%	30%
Percent of customers placed by "Work Readiness" provider in unsubsidized employment	20%	20%	25%	24%	24%	24%
Percent of customers placed in unsubsidized employment by "Job Placement" provider who meets his/her full monthly work participation requirements	48%	48%	35%	53%	53%	53%
Percent of customers placed in unsubsidized employment by "Work Readiness" provider who meets his/her full monthly work participation requirements	56%	56%	42%	48%	48%	48%
Number of TANF recipients who exit off of TANF due to increased income	1,058	1,819	1,708	1,600	1,600	1,600

#### Performance Plan Endnotes:

<sup>1</sup>For the purposes of the FY 2016 Performance Plan, the (1000) Agency Management Division includes (100F) Agency Financial Operations.

<sup>2</sup>Calendar Year Measurement.

<sup>3</sup>Benchmark; National average 95 percent.

<sup>4</sup>Benchmark; National Average: 3 percent (USDA Food and Nutrition Service report).

<sup>5</sup>This includes customers assigned to barrier removal who are not required to meet the hours requirements.

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# Child and Family Services Agency

[www.cfsa.dc.gov](http://www.cfsa.dc.gov)

Telephone: 202-442-6100

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$223,249,554	\$246,266,239	\$244,990,996	-0.5
FTEs	817.2	817.0	825.0	1.0

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The mission of the Child and Family Services Agency is to ensure the safety, permanence, and well-being of abused and neglected children and to strengthen troubled families in the District of Columbia.

## Summary of Services

CFSA investigates reports of child abuse and neglect and provides child protection. Services include foster care, adoption, and supportive community-based services to enhance the safety, permanence, and well-being of abused, neglected, and at-risk children and their families in the District of Columbia. CFSA seeks to achieve the highest quality of community-based services, to increase the number of families who receive community preventive and support services, and to expand the network of resources providing services to at-risk children and their families. The agency is divided into two core offices: Program Operations and Office of the Director.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table RL0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides the FY 2013 and FY 2014 actual expenditures.

**Table RL0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	164,934	156,889	168,378	164,149	-4,228	-2.5
Special Purpose Revenue Funds	1,200	1,200	1,200	1,200	0	0.0
<b>Total for General Fund</b>	<b>166,134</b>	<b>158,089</b>	<b>169,578</b>	<b>165,349</b>	<b>-4,228</b>	<b>-2.5</b>
<b>Federal Resources</b>						
Federal Payments	224	0	0	0	0	N/A
Federal Grant Funds	59,974	64,058	65,555	68,559	3,004	4.6
<b>Total for Federal Resources</b>	<b>60,198</b>	<b>64,058</b>	<b>65,555</b>	<b>68,559</b>	<b>3,004</b>	<b>4.6</b>
<b>Private Funds</b>						
Private Grant Funds	0	20	0	20	20	N/A
Private Donations	30	28	41	40	-1	-2.4
<b>Total for Private Funds</b>	<b>30</b>	<b>47</b>	<b>41</b>	<b>59</b>	<b>18</b>	<b>45.1</b>
<b>Intra-District Funds</b>						
Intra-District Funds	920	1,056	11,092	11,023	-69	-0.6
<b>Total for Intra-District Funds</b>	<b>920</b>	<b>1,056</b>	<b>11,092</b>	<b>11,023</b>	<b>-69</b>	<b>-0.6</b>
<b>Gross Funds</b>	<b>227,282</b>	<b>223,250</b>	<b>246,266</b>	<b>244,991</b>	<b>-1,275</b>	<b>-0.5</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Full-Time Equivalents, by Revenue Type**

Table RL0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table RL0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b><u>General Fund</u></b>						
Local Funds	578.9	671.8	668.0	672.0	4.0	0.6
<b>Total for General Fund</b>	<b>578.9</b>	<b>671.8</b>	<b>668.0</b>	<b>672.0</b>	<b>4.0</b>	<b>0.6</b>
<b><u>Federal Resources</u></b>						
Federal Grant Funds	201.6	145.4	149.0	153.0	4.0	2.7
<b>Total for Federal Resources</b>	<b>201.6</b>	<b>145.4</b>	<b>149.0</b>	<b>153.0</b>	<b>4.0</b>	<b>2.7</b>
<b>Total Proposed FTEs</b>	<b>780.4</b>	<b>817.2</b>	<b>817.0</b>	<b>825.0</b>	<b>8.0</b>	<b>1.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table RL0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table RL0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	51,900	55,369	58,161	61,080	2,919	5.0
12 - Regular Pay - Other	618	399	596	1,048	452	75.8
13 - Additional Gross Pay	1,674	1,655	0	1,355	1,355	N/A
14 - Fringe Benefits - Current Personnel	12,033	12,840	14,635	15,098	463	3.2
15 - Overtime Pay	1,054	1,396	750	1,146	396	52.7
<b>Subtotal Personal Services (PS)</b>	<b>67,278</b>	<b>71,659</b>	<b>74,142</b>	<b>79,727</b>	<b>5,585</b>	<b>7.5</b>
20 - Supplies and Materials	289	264	319	338	18	5.8
30 - Energy, Communications and Building Rentals	708	501	838	878	40	4.8
31 - Telephone, Telegraph, Telegram, Etc.	1,160	1,403	1,304	1,055	-250	-19.2
32 - Rentals - Land and Structures	7,961	4,345	5,702	7,447	1,745	30.6
33 - Janitorial Services	2	14	100	100	0	0.0
34 - Security Services	1,644	916	2,049	1,925	-124	-6.0
35 - Occupancy Fixed Costs	66	1,464	1,955	1,171	-784	-40.1
40 - Other Services and Charges	3,151	3,305	4,479	4,210	-269	-6.0
41 - Contractual Services - Other	13,511	14,094	19,108	15,362	-3,746	-19.6
50 - Subsidies and Transfers	130,753	124,219	135,080	131,660	-3,420	-2.5
70 - Equipment and Equipment Rental	760	1,065	1,190	1,118	-72	-6.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>160,004</b>	<b>151,591</b>	<b>172,124</b>	<b>165,264</b>	<b>-6,860</b>	<b>-4.0</b>
<b>Gross Funds</b>	<b>227,282</b>	<b>223,250</b>	<b>246,266</b>	<b>244,991</b>	<b>-1,275</b>	<b>-0.5</b>

\*Percent change is based on whole dollars.

### Division Description

The Child and Family Services Agency operates through the following 8 divisions:

**Agency Programs** – provides case management for children and youth in foster care. The Agency Operations program works to ensure the safety and well-being of children and youth in care while moving them to permanence as quickly as possible via reunification, guardianship, or adoption.

This division contains the following 7 activities:

- **Permanency** – provides permanency support, consultation, technical assistance, training and case management for children from the inception of concurrent permanency planning through finalization of adoption and guardianship;

- **Teen Services** – provides permanency support, consultation, technical assistance, training and case management for older youth between the ages of 15-21. Teen Services works to achieve permanence for older youth while at the same time providing life skills training, vocational and educational support, and transitional assistance to help youth to prepare for independence after leaving foster care;
- **Family Resources** – provides foster and adoptive resource recruitment and support services to current and potential foster, kinship, and adoptive parents;
- **Facility Licensing** – provides licensing for CFSA’s foster homes;
- **Contract Monitoring** – provides oversight of services contracted by CFSA and ensures program outcomes and adherence to contractual requirements;
- **Child Placement** – provides living arrangements for foster children, including traditional and therapeutic foster homes, group care, and independent living programs; and
- **Kinship Support** – identifies viable family resources, conducts family team meetings, facilitates placements with relatives, expedites licensing of kinship foster parents, and provides supportive services to kinship care givers.

**Community Services** – Community Services is comprised of investigative social workers, medical professionals and other professionals responsible for monitoring and overseeing services to children who are placed in foster care. Entry Services operates CFSA’s onsite clinic and the child abuse hotline.

This division contains the following 5 activities:

- **Child Protective Services Family Assessment** – leads and conducts assessments of suspected child abuse or neglect, assesses families whose children are alleged victims of abuse or neglect, and refers children and their families for services within CFSA or the Healthy Families Thriving Communities Collaboratives. The assessments are designed to prevent further abuse and neglect, strengthen parents’ capacity to care for their children, assure that children receive adequate care, and safely prevent out-of-home placement when appropriate;
- **Child Protective Services Investigations** – receives reports of suspected child abuse or neglect through the hotline, investigates families whose children are alleged victims of abuse or neglect, and makes determinations regarding immediate removals and/or court referrals;
- **Clinical Health Services** – provides medical and behavioral health screenings prior to placement; expert consultation in health, residential treatment, and developmental disabilities; and 24/7 on-call support for medical and mental health services;
- **Nurse Care Management** – supports a cadre of nurse care professionals to support the medical needs of children in care; and
- **Healthy Horizon’s Clinic** – provides medical and behavioral health screenings prior to placement; expert consultation in health, residential treatment, and developmental disabilities; and 24/7 on-call support for medical and mental health services.

**Adoption and Guardian Subsidy** – supports families caring for children and providing a long-term permanent placement for children.

This division contains the following 3 activities:

- **Adoptions and Guardianship Subsidy** – provides financial assistance services to eligible relatives and adoptive parents so that they can maintain children in permanent homes;
- **Guardianship Subsidy** – provides financial assistance services to eligible relatives and non-family care-givers so that they can maintain children in permanent homes; and
- **Grandparent Subsidy** – provides financial assistance services to eligible grandparents so that they can maintain children in permanent homes.

**Policy and Planning** – supports CFSA’s policy development, planning and data analysis, Fair Hearings, DC Child Protection Registry, quality assurance, and training functions. Additionally, Policy and Planning licenses group homes and independent living facilities that provide services to youth.

This division contains the following 3 activities:

- **Policy** – develops agency policy and provides review, interpretation, and decision-making services to the Director and staff so that they can make decisions consistent with best practices and with statutory and regulatory requirements;
- **Planning and Data Analysis** – provides reporting, data analysis, technical assistance, and research services to the agency and external stakeholders in order to facilitate short- and long-term agency strategic planning; and
- **Quality Assurance** – provides assessment, monitoring, and recommendation services to CFSA staff and key stakeholders to improve agency practice. In addition, Quality Assurance is responsible for facilitating qualitative review processes such as child fatality reviews and quality service reviews in order to identify areas of strength and need in line with best practices and child welfare standards.

**Clinical Practice (Well-Being)** – provides comprehensive well-being services for children in CFSA’s care, including educational services, liaisons for substance abuse and domestic violence services, and day care. This division is responsible for implementing CFSA’s trauma-informed practice.

**Community Partnerships** – forges community partnerships and supports community-based programs and strategies designed to strengthen families and promote safety, permanency, and well-being for these families as well as at-risk children.

This division contains the following 2 activities:

- **Community Partnership Services** – provides community-based prevention, supportive, and after-care services to families and at-risk children so that they can achieve safety, permanence, and well-being in the least restrictive setting, maximizing the use of informal and formal support systems; and
- **In-Home** – serves families in-home through social work units co-located with community partners to provide community-based family supportive services.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained.

### **Division Structure Change**

The Child and Family Services Agency has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table RL0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table RL0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel Services	1,210	1,287	2,808	1,521	13.0	12.0	13.0	1.0
(1015) Training and Employee Development	2,247	2,083	1,863	-220	20.0	16.0	11.0	-5.0
(1020) Contracting and Procurement	1,361	1,475	1,790	314	13.0	13.0	15.0	2.0
(1030) Property Management	10,818	16,093	14,690	-1,403	13.0	13.0	13.0	0.0
(1040) Information Technology	7,988	8,654	7,926	-728	26.9	27.0	28.0	1.0
(1050) Financial Management	3,069	2,184	2,240	56	20.0	16.0	16.0	0.0
(1055) Risk Management	111	262	337	75	1.0	0.0	0.0	0.0
(1060) Legal Affairs	393	619	1,213	594	0.0	0.0	8.0	8.0
(1070) Fleet Management	745	1,407	946	-461	0.0	0.0	0.0	0.0
(1080) Communication	330	352	361	9	3.0	3.0	3.0	0.0
(1085) Customer Services	5	6	5	0	0.0	0.0	0.0	0.0
(1087) Language Access	39	130	180	50	0.0	0.0	0.0	0.0
(1090) Performance Management	5,501	2,813	2,566	-246	27.0	26.0	26.0	0.0
(1099) Court Supervision	1,098	1,420	1,570	150	5.0	7.0	8.0	1.0
<b>Subtotal (1000) Agency Management</b>	<b>34,913</b>	<b>38,784</b>	<b>38,495</b>	<b>-289</b>	<b>141.9</b>	<b>133.0</b>	<b>141.0</b>	<b>8.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	163	382	407	25	3.0	3.0	3.0	0.0
(120F) Accounting Operations	1,856	2,175	2,223	48	21.0	21.0	21.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>2,019</b>	<b>2,558</b>	<b>2,631</b>	<b>73</b>	<b>24.0</b>	<b>24.0</b>	<b>24.0</b>	<b>0.0</b>
<b>(2000) Agency Programs</b>								
(2010) In-Home and Permanency I	6,342	0	0	0	70.0	0.0	0.0	0.0
(2011) In-Home and Permanency II	6,284	0	0	0	85.1	0.0	0.0	0.0
(2012) Permanency	0	10,585	9,802	-784	0.0	100.0	89.0	-11.0
(2030) Teen Services	5,229	5,459	5,231	-229	45.1	51.0	49.0	-2.0
(2040) Out-of-Home and Permanency	2,361	0	0	0	25.9	0.0	0.0	0.0
(2045) Family Resources	4,002	4,509	3,789	-720	29.0	32.0	35.0	3.0
(2055) Facility Licensing	3,228	4,969	4,093	-877	26.0	27.0	25.0	-2.0
(2060) Quality Improvement	0	0	0	0	0.0	0.0	0.0	0.0
(2065) Contract Monitoring	3,437	2,343	2,751	407	38.0	25.0	27.0	2.0
(2066) Child Placement	0	61,178	52,578	-8,600	0.0	52.0	47.0	-5.0
(2067) Kinship Support	0	3,050	3,357	307	0.0	30.0	34.0	4.0
(2075) Prevention Services	13,902	0	0	0	3.0	0.0	0.0	0.0
<b>Subtotal (2000) Agency Programs</b>	<b>44,785</b>	<b>92,094</b>	<b>81,599</b>	<b>-10,494</b>	<b>322.0</b>	<b>317.0</b>	<b>306.0</b>	<b>-11.0</b>

(Continued on next page)



**Table RL0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(3000) Community Services</b>								
(3010) Child Placement	63,712	0	0	0	46.0	0.0	0.0	0.0
(3020) Family Resources	6	0	0	0	0.0	0.0	0.0	0.0
(3085) Child Protective Services	13,553	0	0	0	158.1	0.0	0.0	0.0
(3086) Child Protective Services - Family Assessment	0	6,247	7,343	1,096	0.0	78.0	78.0	0.0
(3087) Child Protective Services - Investigations	0	10,012	10,314	302	0.0	95.0	101.0	6.0
(3090) Clinical Health Services	10,692	8,238	4,037	-4,202	11.0	6.0	6.0	0.0
(3091) Nurse Care Management	1,463	1,825	2,244	419	21.1	19.0	20.0	1.0
(3092) Healthy Horizon's Clinic	502	1,170	914	-256	0.0	0.0	0.0	0.0
(3095) Kinship Support	3,014	0	0	0	29.1	0.0	0.0	0.0
<b>Subtotal (3000) Community Services</b>	<b>92,943</b>	<b>27,492</b>	<b>24,851</b>	<b>-2,641</b>	<b>265.2</b>	<b>198.0</b>	<b>205.0</b>	<b>7.0</b>
<b>(4000) Adoption and Guardian Subsidy</b>								
(4010) Adoption and Guardianship Subsidy	22,422	20,045	20,477	432	0.0	0.0	0.0	0.0
(4011) Guardianship Subsidy	12,235	12,191	13,832	1,641	0.0	0.0	0.0	0.0
(4012) Grandparent Subsidy	4,602	4,756	5,037	281	0.0	0.0	0.0	0.0
<b>Subtotal (4000) Adoption and Guardian Subsidy</b>	<b>39,260</b>	<b>36,992</b>	<b>39,346</b>	<b>2,355</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(6000) Policy and Planning</b>								
(6010) Policy	1,163	1,339	1,318	-21	2.0	2.0	2.0	0.0
(6020) Planning and Data Analysis	2,409	2,749	2,870	121	27.1	29.0	29.0	0.0
(6030) Quality Assurance	1,939	1,642	1,670	28	21.0	17.0	16.0	-1.0
<b>Subtotal (6000) Policy and Planning</b>	<b>5,511</b>	<b>5,730</b>	<b>5,858</b>	<b>128</b>	<b>50.1</b>	<b>48.0</b>	<b>47.0</b>	<b>-1.0</b>
<b>(7000) Clinical Practice (Well-Being)</b>								
(7010) Office of Clinical Practice	7	0	0	0	0.0	0.0	0.0	0.0
(7020) Well-Being	3,811	6,023	6,630	607	14.0	17.0	18.0	1.0
<b>Subtotal (7000) Clinical Practice</b>	<b>3,818</b>	<b>6,023</b>	<b>6,630</b>	<b>607</b>	<b>14.0</b>	<b>17.0</b>	<b>18.0</b>	<b>1.0</b>
<b>(8000) Community Partnerships</b>								
(8010) Community Partnerships Services	0	30,265	38,418	8,152	0.0	9.0	13.0	4.0
(8020) In-Home	0	6,328	7,162	833	0.0	71.0	71.0	0.0
<b>Subtotal (8000) Community Partnerships</b>	<b>0</b>	<b>36,594</b>	<b>45,579</b>	<b>8,986</b>	<b>0.0</b>	<b>80.0</b>	<b>84.0</b>	<b>4.0</b>
<b>Total Proposed Operating Budget</b>	<b>223,250</b>	<b>246,266</b>	<b>244,991</b>	<b>-1,275</b>	<b>817.2</b>	<b>817.0</b>	<b>825.0</b>	<b>8.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Child and Family Services Agency's (CFSA) proposed FY 2016 gross budget is \$244,990,996, which represents a 0.5 percent decrease from its FY 2015 approved gross budget of \$246,266,239. The budget is comprised of \$164,149,382 in Local funds, \$68,559,460 in Federal Grants funds, \$19,500 in Private Grant funds, \$39,977 in Private Donations, \$1,200,000 in Special Purpose Revenue funds, and \$11,022,677 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

CFSA's FY 2016 CSFL budget is \$173,440,102, which represents a \$5,062,225, or 3.0 percent, increase over the FY 2015 approved Local funds budget of \$168,377,877.

### **CSFL Assumptions**

The FY 2015 CSFL calculated for CFSA included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$1,859,373 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$96,871 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

CFSA's CSFL funding for the restoration of one-time salary lapse, which is not listed in detail on table 5, reflects an adjustment for an increase of \$1,700,000. Additionally, adjustments were made for a net increase of \$1,185,947 for the Fixed Cost Inflation Factor based on projections provided by the Department of General Services, and a net increase of \$220,034 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2 and to reflect the transfer of attorneys from the Office of the Attorney General.

### **Agency Budget Submission**

The FY 2016 proposed operating budget addresses the proper mix of qualified employees and resources with available funding sources to satisfy the various needs of CFSA's activities and programs. This will enable the agency to accomplish its mission through four primary functions: Take and Investigate Reports; Assist Families; Provide Safe Out-of-Home Care; and Re-Establish Permanent Homes.

**Increase:** In view of significant under-budgeting of the Additional Gross Pay category of personal services costs in prior fiscal years, the budget submission in Local funds proposes an increase of \$1,855,422 in FY 2016 based on historical spending patterns. This adjustment right-sizes the budget and provides adequate funding for severance pay. In order to continue to maintain CFSA's focus on excellent customer service, a net increase of \$137,698 is proposed in Local funds for projected salary step increases, overtime and Fringe Benefits costs. This adjustment also includes savings from elimination of 1.8 vacant Full-Time Equivalents (FTEs). An increase of \$13,702 in Local funds enables CFSA to enhance the tools that staff have at their disposal through the purchase of equipment and IT hardware.

The budget submission in Federal Grant funds includes a net increase of \$2,459,192 across multiple divisions. This adjustment reflects the projected revenues received from federal resources, primarily from the Foster Care grant. Personal services costs supported by Federal Grants funds are projected to increase by \$544,883 to support salary step increases, Fringe Benefits, and an additional 4.0 FTEs across multiple divisions.

In the budget for Private Grant funds, an increase of \$19,500 is proposed for the Policy and Planning division as CFSA anticipates a grant award from the Center for the Study for Social Policy. Funds received will be used to enhance pregnant and parenting skills for youth. The Intra-District funds budget reflects an increase of \$130,677 in the Agency Programs division. This adjustment aligns the budget with operational goals associated with providing mental health services to children through a Memorandum of Understanding (MOU) with the Department of Behavioral Health.

**Decrease:** CFSA's budget proposal for Local funds includes a net decrease of \$307,807 in the Agency Management division based on Fixed Costs projections. This amount is comprised of decreases of \$196,255 in Security Services and \$111,646 in Occupancy due to estimates received from the Department of General Services, and an increase of \$93 for Telecommunications based on projections from the Office of the Chief Technology Officer. Additionally, CFSA projects savings in the budget for Local funds, which account for a decrease of \$637,863, by identifying service costs that are eligible for federal reimbursement and streamlining operations without affecting the level of service. Furthermore, the budget proposal identifies savings in Contractual Services across multiple divisions that enables CFSA to remain operationally flexible with limited resources, while continuing to promote the workforce strategy and organizational goals. These savings account for a reduction of \$1,061,151 in Local funds.

The budget submission for Private Donation funds includes a decrease of \$1,000 in the Agency Programs division. This is due to the expiration of the Casey Family Programs donation. Finally, a decrease of \$200,000 in Intra-District funds in the Community Services division aligns funding with CFSA's MOU with the Department of Behavioral Health for activities at the Healthy Horizon Clinic.

**Technical Adjustment:** In Local funds, an increase of \$27,280 is included in the budget to support performance-related pay adjustments for attorneys.

### **Mayor's Proposed Budget**

**Reduce:** The proposed Local funds budget includes a reduction of \$500,000 in the Agency Management division due to operational efficiencies in activities associated with property and fleet management. A reduction of \$750,000 in Local funds is due to projected savings in personal services from salary lapse and reduction in overtime costs. CFSA projects additional savings in contractual services costs, which accounts for a decrease of \$1,000,000 primarily in the Agency Management division's contractual activities.

A net savings of \$2,475,000 in Local funds across multiple divisions consists of a \$150,000 reduction to grants; \$325,000 in reduced funding for underutilized assessment and pre-removal legal services; and \$2,000,000 efficiencies in services as a result of partnerships with the Office of the State Superintendent of Education and the Department of Behavioral Health that quickly connects children to needed services.

Finally, a net Local funds savings of \$4,593,000 aligns the budget with a shift in CFSA's programmatic needs that includes a \$225,000 reduction to grants; a reduction of \$1,868,000 in adjustments to operational initiatives, including the use of existing federal funds for the safe house group home placement initiative; and a savings of \$2,500,000 resulting from a reduction in placement costs as the agency is able to consolidate space and identify savings in the per diem costs paid to contractors.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table RL0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table RL0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>168,378</b>	<b>668.0</b>
Other CSFL Adjustments	Multiple Programs	5,062	5.8
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>173,440</b>	<b>673.8</b>
Increase: To support and annualize costs of existing program	Agency Management	1,855	0.0
Increase: To adjust personal services	Multiple Programs	138	-1.8
Increase: To align funding with nonpersonal services costs	Multiple Programs	14	0.0
Decrease: To align Fixed Costs with proposed estimates	Agency Management	-308	0.0
Decrease: To streamline operation efficiency	Multiple Programs	-638	0.0
Decrease: To adjust the Contractual Services budget	Multiple Programs	-1,061	0.0
Technical Adjustment: To support performance-related pay adjustments for attorneys	Agency Management	27	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>173,467</b>	<b>672.0</b>
Reduce: Operational efficiencies associated with property and fleet management	Agency Management	-500	0.0
Reduce: Salary lapse savings and reduction in overtime costs	Multiple Programs	-750	0.0
Reduce: Reduction to contractual services budget	Multiple Programs	-1,000	0.0
Reduce: Program savings from underutilized activities and adjustments to initiatives	Multiple Programs	-2,475	0.0
Reduce: Efficiency savings and adjustments to operational initiatives	Multiple Programs	-4,593	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>164,149</b>	<b>672.0</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>65,555</b>	<b>149.0</b>
Increase: To align budget with projected grant awards	Multiple Programs	2,459	0.0
Increase: To adjust personal services	Multiple Programs	545	4.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>68,559</b>	<b>153.0</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>68,559</b>	<b>153.0</b>
<b>PRIVATE GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>0</b>	<b>0.0</b>
Increase: To align budget with projected grant awards	Policy and Planning	20	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>20</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>20</b>	<b>0.0</b>

(Continued on next page)

**Table RL0-5 (Continued)**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>PRIVATE DONATIONS: FY 2015 Approved Budget and FTE</b>		<b>41</b>	<b>0.0</b>
Decrease: To align budget with projected donations	Agency Programs	-1	0.0
<b>PRIVATE DONATIONS: FY 2016 Agency Budget Submission</b>		<b>40</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE DONATIONS: FY 2016 Mayor's Proposed Budget</b>		<b>40</b>	<b>0.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>1,200</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>1,200</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>1,200</b>	<b>0.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>11,092</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Agency Programs	131	0.0
Decrease: To align budget with projected revenues	Community Services	-200	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>11,023</b>	<b>0.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>11,023</b>	<b>0.0</b>
<b>Gross for RL0 - Child and Family Services Agency</b>		<b>244,991</b>	<b>825.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency has the following objectives and performance indicators for its divisions:

### Program Operations

**Objective 1:** Narrow the Front Door to ensure that children grow up with their families and are only removed from their homes when necessary.

**Objective 2:** Develop community partnerships to ensure children safely remain in their homes.

**Objective 3:** Ensure targeted permanency planning immediately when children enter foster care.

**Objective 4:** Ensure the expeditious exit of children and youth from foster care to permanency, family environment, or lifelong connections.

## KEY PERFORMANCE INDICATORS

### Program Operations

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of investigations initiated within 48 hours	82%	95%	86.4%	95%	95%	95%
Percent of investigations closed within 35 days	58%	90%	52.3%	90%	90%	90%
Increase relative placements (kinship care)	20%	30%	22.5%	30%	32%	35%
Decrease the average number of months to reunification	18	8	14	12	12	12
Decrease the average number of months to adoption (months)	46	30	41	27.3	27.3	27.3
Decrease re-entries into care within 12 months of exit or reunification	11.8%	9.9%	5.7%	9.9%	9.9%	9.9%
Increase placements in family foster homes	82%	82%	82.7	82%	82%	82%
Decrease placements in group homes	5%	6%	3.9%	6%	6%	6%
Increase children/youth with two or fewer placements in the past 12 months	78%	75%	76%	75%	75%	75%
Increase placements within the District	43%	45%	46%	55%	60%	60%
Increase exits to a permanent home	76%	80%	79.9%	85%	85%	85%
Decrease the number of youth who age out of care	23%	15%	18.9%	20%	18%	17%
Increase youth engaged in after care services	92%	85%	89.9%	90%	90%	90%
Twice monthly in-home visitation	91%	85%	92%	85%	85%	90%
Decrease the number of children removed from in-home services and placed into out-of-home care	Not Available	Not Available	18.9%	5%	7%	7%
Decrease the average number of months an in-home case remains open <sup>1</sup>	Not Available	Not Available	Not Available	12	9	9
Decrease the number of re-referrals <sup>2</sup> for maltreatment for open in-home cases <sup>3</sup>	Not Available	Not Available	Not Available	5%	7%	7%

**Office of the Director**

**Objective 1:** Ensure well-being for children/youth.

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**KEY PERFORMANCE INDICATORS**

**Office of the Director**

<b>Measure</b>	<b>FY 2013 Actual</b>	<b>FY 2014 Target</b>	<b>FY 2014 Actual</b>	<b>FY 2015 Projection</b>	<b>FY 2016 Projection</b>	<b>FY 2017 Projection</b>
Children and youth receive full medical evaluation within 30 days of entering care	70%	85%	83	85%	85%	85%
Children and youth receive an initial/re-entry health screening before placement	90%	95%	96%	95%	95%	95%
Children ages zero to five receive developmental screenings upon entry into foster care <sup>4</sup>	77%	85%	85%	70%	70%	75%
Increase high school graduation rate (annual measure)	71%	30%	77.6%	72%	72%	80%
Increase college entry rate (annual measure)	35%	35%	31.5%	40%	40%	40%
Increase college graduation rate (annual measure)	18%	30%	13.6%	35%	35%	35%
Increase youth age 20 who are employed or in post-secondary education	44%	55%	56%	60%	60%	65%
Decreased disconnected youth ages 16-21(not in school or working)	37	37	45	34	33	32

**Performance Plan Endnotes:**

<sup>1</sup>Since this is a new measure, performance will not be captured until FY 2015.

<sup>2</sup>Re-referrals are new and accepted investigations while an in-home case is open.

<sup>3</sup>Since this is a new measure, performance will not be captured until FY 2015.

<sup>4</sup>The FY 2013 measure was for children ages zero to six. The measure was changed to children ages zero to five in FY 2014.

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# Department of Behavioral Health

[www.dbh.dc.gov](http://www.dbh.dc.gov)

Telephone: 202-673-7440

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	247,094,740	\$276,819,168	\$272,717,341	-1.5
FTEs	1,334.9	1,373.0	1,427.8	4.0

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The mission of the Department of Behavioral Health (DBH) is to support prevention, treatment, resiliency, and recovery for District residents with mental health and substance use disorders through the delivery of high-quality, integrated services.

### Summary of Services

The DBH will: (1) ensure that every individual seeking services is assessed for both mental health and substance use disorder needs, (2) develop the ability of the provider network to treat co-occurring disorders, (3) establish and measure outcomes for individuals with co-occurring mental health and substance use disorders as well as single illnesses with recovery as the goal, (4) consolidate and enhance provider monitoring to ensure high quality service, and (5) establish a single credentialing process for both mental health and substance use disorder providers.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table RM0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table RM0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	172,613	205,866	231,857	226,856	-5,002	-2.2
Special Purpose Revenue Funds	2,946	2,981	3,588	4,251	663	18.5
<b>Total for General Fund</b>	<b>175,560</b>	<b>208,847</b>	<b>235,445</b>	<b>231,106</b>	<b>-4,339</b>	<b>-1.8</b>
<b>Federal Resources</b>						
Federal Grant Funds	2,689	17,449	18,539	17,411	-1,129	-6.1
Federal Medicaid Payments	3,264	2,804	3,500	3,471	-29	-0.8
<b>Total for Federal Resources</b>	<b>5,953</b>	<b>20,253</b>	<b>22,039</b>	<b>20,881</b>	<b>-1,158</b>	<b>-5.3</b>
<b>Private Funds</b>						
Private Grant Funds	82	146	183	255	72	39.1
Private Donations	30	69	289	289	0	0.0
<b>Total for Private Funds</b>	<b>112</b>	<b>214</b>	<b>472</b>	<b>544</b>	<b>72</b>	<b>15.2</b>
<b>Intra-District Funds</b>						
Intra-District Funds	15,903	17,781	18,863	20,186	1,324	7.0
<b>Total for Intra-District Funds</b>	<b>15,903</b>	<b>17,781</b>	<b>18,863</b>	<b>20,186</b>	<b>1,324</b>	<b>7.0</b>
<b>Gross Funds</b>	<b>197,527</b>	<b>247,095</b>	<b>276,819</b>	<b>272,717</b>	<b>-4,102</b>	<b>-1.5</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Full-Time Equivalents, by Revenue Type**

Table RM0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table RM0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b>General Fund</b>						
Local Funds	1,131.8	1,177.0	1,166.0	1,170.5	4.5	0.4
Special Purpose Revenue Funds	32.7	30.6	32.0	37.5	5.5	17.2
<b>Total for General Fund</b>	<b>1,164.4</b>	<b>1,207.6</b>	<b>1,198.0</b>	<b>1,208.0</b>	<b>10.0</b>	<b>0.8</b>
<b>Federal Resources</b>						
Federal Grant Funds	6.9	44.1	78.0	104.0	26.0	33.3
Federal Medicaid Payments	0.4	1.4	5.0	5.0	0.0	0.0
<b>Total for Federal Resources</b>	<b>7.3</b>	<b>45.6</b>	<b>83.0</b>	<b>109.0</b>	<b>26.0</b>	<b>31.3</b>
<b>Intra-District Funds</b>						
Intra-District Funds	86.9	81.7	92.1	110.8	18.7	20.3
<b>Total for Intra-District Funds</b>	<b>86.9</b>	<b>81.7</b>	<b>92.1</b>	<b>110.8</b>	<b>18.7</b>	<b>20.3</b>
<b>Total Proposed FTEs</b>	<b>1,258.6</b>	<b>1,334.9</b>	<b>1,373.0</b>	<b>1,427.8</b>	<b>54.7</b>	<b>4.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table RM0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table RM0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	77,522	85,417	95,962	101,758	5,795	6.0
12 - Regular Pay - Other	5,065	4,640	6,135	6,609	474	7.7
13 - Additional Gross Pay	4,251	4,672	1,693	1,693	0	0.0
14 - Fringe Benefits - Current Personnel	18,837	21,473	27,720	25,513	-2,207	-8.0
15 - Overtime Pay	2,375	2,947	1,953	1,953	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>108,050</b>	<b>119,148</b>	<b>133,464</b>	<b>137,526</b>	<b>4,063</b>	<b>3.0</b>
20 - Supplies and Materials	6,462	7,469	6,482	6,480	-3	0.0
30 - Energy, Communication and Building Rentals	1,404	1,939	3,112	3,371	260	8.3
31 - Telephone, Telegraph, Telegram, Etc.	1,169	1,101	940	1,011	71	7.6
32 - Rentals - Land and Structures	2,352	5,117	5,520	5,253	-267	-4.8
34 - Security Services	2,139	2,347	4,528	4,956	427	9.4
35 - Occupancy Fixed Costs	123	365	183	159	-25	-13.5
40 - Other Services and Charges	12,272	13,704	13,852	13,219	-633	-4.6
41 - Contractual Services - Other	32,459	36,350	47,953	33,218	-14,735	-30.7
50 - Subsidies and Transfers	30,385	58,175	59,806	66,383	6,578	11.0
70 - Equipment and Equipment Rental	712	1,380	980	1,142	162	16.5
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>89,477</b>	<b>127,946</b>	<b>143,356</b>	<b>135,191</b>	<b>-8,165</b>	<b>-5.7</b>
<b>Gross Funds</b>	<b>197,527</b>	<b>247,095</b>	<b>276,819</b>	<b>272,717</b>	<b>-4,102</b>	<b>-1.5</b>

\*Percent change is based on whole dollars.

## Division Description

The Department of Behavioral Health operates through the following 7 divisions:

**Behavioral Health Authority** – plans for and develops mental health and substance use disorders (SUD) services; ensures access to services; monitors the service system; supports service providers by operating DBH’s Fee for Service (FFS) system; provides grant or contract funding for services not covered through the FFS system; regulates the providers within the District’s public behavioral health system; and identifies the appropriate mix of programs, services, and supports necessary to meet the behavioral health needs of District residents.

This division contains the following 8 activities:

- **Office of the Director/Chief Executive Officer** – leads management and oversight of the public mental health system; directs the design, development, communication, and delivery of behavioral health services and supports; and identifies approaches to enhance access to services that support recovery and resilience;
- **Office of the Chief Clinical Officer** – advises the Director and sets standards for the provision of clinical care throughout the public behavioral health system for children, youth, and adults; oversees the community hospitals that hospitalize DBH consumers on an involuntary basis; oversees and improves quality of treatment of children and adolescents; approves Preadmission Screenings and Annual Review (PASSAR) requests for patients and nursing facilities; and supervises the operation of the Comprehensive Psychiatric Emergency Program (CPEP) and the Homeless Outreach program;
- **Consumer and Family Affairs** – advises the Director and provides expertise on the consumer/family perspective and promotes and protects the legal, civil, and human rights of consumers;
- **Office of Policy Support** – advises the Director and leads policy development for the public behavioral health system; and provides support for the development and publication of rules and policies to guide the District public mental health system;
- **Office of Strategic Planning and Grants Management** – provides support for the development and publication of the annual performance management plan and Key Performance Indicators; coordinates the development and submission of annual mental health plan and mental health block grant application; provides support to the State Mental Health Planning Council; oversees the grants development, grants monitoring, grants award, and sub granting processes and procedures; and tracks expenditures and compliance with grant award stipulations;
- **Office of Accountability - Quality Improvement (QI)/Audit** – provides oversight of providers for DBH to ensure that they meet or exceed the service delivery and documentation standards for Behavioral Health Rehabilitation Services (BHRS) and Behavioral Health Community Residence Facilities (BHCRF) and comply with applicable District and federal laws and regulations; monitors the provider network; investigates complaints and unusual incidents; and makes policy recommendations;
- **Office of Accountability - Certification/Licensure** – certifies DBH provider agencies and licenses of all Behavioral Health (BH) Community Residential Facilities (CRFs). In addition, the certification unit monitors provider compliance with DBH regulations and local and federal laws; generates and enforces corrective action plans when necessary; monitors facilities on a regular basis, issuing notices of infraction when necessary; and ensures that the care coordination of CRF residents is taking place through coordination by the CRF staff and Core Service Agency treatment team members; and
- **Office of Accountability - Investigations** – conducts major investigations of critical incidents, presents a disposition of the matter, and develops the final investigative report that is submitted to the Director of DBH, General Counsel of DBH, and other appropriate parties, to ensure that the needs and treatment goals of individuals in care are identified and addressed.

**Saint Elizabeths Hospital (SEH)** – provides psychiatric, medical, and psycho-social inpatient psychiatric treatment to adults to support their recovery and return to the community. The Hospital’s goal is to maintain

an active treatment program that fosters individual recovery and independence as much as possible. In addition, this program manages logistics, housekeeping, building maintenance, and nutritional services at SEH, to ensure the provision of a clean, safe and healthy hospital environment for individuals in care, their families, and staff. The Hospital also ensures staff credentialing and licensing privileges, and provides medication and medical support services to eligible inpatients in order to effectively treat mental illness and enhance recovery. The Hospital is licensed by the District's Department of Health as well as the U.S. Department of Health and Human Services (DHHS) Centers for Medicare and Medicaid Services.

This division contains the following 14 activities:

- **Office of the Chief Executive - SEH** – provides planning, policy development, quality improvement and mental health system design to create a comprehensive and responsive system of mental health care;
- **Office of Clinical and Medical Services - SEH** – provides high-quality medical care for inpatients at Saint Elizabeths Hospital in concert with psychiatric care to optimize physical and mental health and to facilitate successful discharge into the community. This includes providing active treatment to the inpatient population to improve quality of life through a recovery-based therapeutic program; monitoring services to eligible consumers in order to effectively treat mental illness and enhance recovery; providing prescriptions, medical screening, education, medical assessment and treatment to the inpatient population; and providing employee health services to staff;
- **Engineering and Maintenance - SEH** – provides maintenance and repairs to the Hospital to ensure a functional, safe, and secure facility for inpatients, visitors, and staff in order to maximize the benefits of the therapeutic milieu;
- **Fiscal and Support Services - SEH** – provides for the formulation, execution, and management of the Hospital's budget, billing and revenue operations; approves and finances all procurements; and oversees the overall financial integrity of the hospital to ensure the appropriate collection, allocation, utilization and control of city resources;
- **Quality and Data Management - SEH** – provides quality improvement utilizing performance improvement techniques in addition to using data and research to guide clinical practices; and provides oversight of the reporting functions for the Department of Justice, the Corporate Integrity Agreement, the Joint Accreditation; and manages the reporting functions from the electronic medical record;
- **Housekeeping - SEH** – maintains a clean and sanitized environment throughout Saint Elizabeths Hospital facilities to enhance the therapeutic environment and level of clinical performance in all hospital areas;
- **Materials Management - SEH** – receives and delivers materials, supplies, and postal and laundry services to individuals in care, employees, and customers so that they can provide or receive quality care. Materials management also provides an inventory of goods received, replenishes stock, and performs electronic receiving for all goods and services received in the Hospital;
- **Nursing Services - SEH** – provides active treatment and comprehensive, high-quality nursing care to the inpatient population 24 hours a day and 7 days a week, to improve quality of life through a recovery-based therapeutic program; establishes the training curriculum for all levels of hospital staff; assures compliance with agreed-upon training programs for clinical and clinical support staff to maintain the health and safety of individuals in care and staff; and establishes the training curriculum for all levels of the Hospital;
- **Nutritional Services - SEH** – provides optimum nutrition and food services, medical nutrition therapy for all patients, and nutrition education services in a safe and sanitary environment;
- **Security and Safety - SEH** – provides a safe and secure facility for inpatients, visitors, and staff in order to ensure a therapeutic environment;
- **Transportation and Grounds - SEH** – manages the resources, administrative functions, contracts, and manpower to provide a safe, secure and therapeutic physical environment for patients, staff and visitors hospital-wide. Provides vehicles and drivers for transportation services including, but not limited to, deliveries and patient/staff transport District-wide; and maintenance services including solid/medical waste disposal and snow and ice removal;

- **Office of the Chief of Staff - SEH** – provides executive management leadership, human resources coordination, organizational management and consultation, budget, contract management, public affairs, and community out-reach; manages the coordination, implementation, and maintenance of the electronic medical record system; and assures that the integrity of Health Information Management is maintained;
- **Office of the Chief Operating Officer - SEH** – provides management and oversight of the operational functions of the Hospital; provides support to Hospital environmental functions; and provides an effective and cost-efficient continuum of care for all patients including budgetary and revenue functions; and
- **Office of the Chief Clinical Officer - SEH** – supports Saint Elizabeths Hospital clinicians by providing direct improvement in patient care to meet the requirements as set forth by the Department of Justice; provides clinical leadership and interdisciplinary treatment teams; and ensures the provision of social work services, treatment programs, rehabilitation services, utilization review, volunteer services, and consumer affairs.

**Behavioral Health Services and Supports (BHSS)** – is responsible for the design, delivery, evaluation and quality improvement of behavioral health services and support for children, youth, families, adults, and special populations to maximize their ability to lead productive lives.

This division contains the following 16 activities:

- **Office of the Senior Deputy Director - BHSS** – oversees the operations of the Behavioral Health Services and Supports division, which includes the multicultural outpatient service, the physicians practice group, same-day or walk-in services, the outpatient competency restoration program, outpatient forensic services, services for deaf individuals with a psychiatric illness, services for developmentally disabled people with a psychiatric illness, two government operated outpatient clinics, and the private provider network;
- **Organizational Development - BHSS** – oversees the DBH Training Institute; the Community Service Review Unit, responsible for conducting a qualitative evaluation of the system of care; and Applied Research and Evaluation, responsible for developing program-level and system-wide evaluation measures to generate performance data that can be used for service improvements;
- **Adult Services Supported Housing - BHSS** – provides bridge housing subsidies and capital funding to finance the development of new affordable permanent housing units for people with serious mental illness. An array of scattered site housing is provided through local bridge subsidies and federal vouchers;
- **Adult Services Supported Employment - BHSS** – provides employment assistance and support for consumers with significant mental health diagnoses for whom competitive employment has been interrupted or intermittent. Supports services include job placement, job coaching, and crisis intervention so that consumers can maintain part or full-time employment;
- **Adult Services Assertive Community Treatment (ACT) - BHSS** – provides intensive, integrated community-based mental health intervention and support services designed to provide rehabilitative and crisis treatment;
- **Adult Services Forensic - BHSS** – provides mental health services and continuity of care to individuals involved in the criminal justice system who have serious mental illnesses; and oversees a network of providers to ensure that individuals under court supervision and/or who are leaving the criminal justice system have access to a full range of services;
- **Care Coordination - BHSS** – provides enrollment and authorization for services through a telephone-based service center that links people in need of behavioral health services to community providers, and determines eligibility and authorizes services. One of the services provided, the Access HelpLine, 1-888-7WE-HELP (1-888-793-4357), operated 24 hours per day, 7 days per week, provides crisis intervention, telephone counseling, and information and referral to callers who are in crisis and dispatches mobile crisis services as appropriate. Callers also have 24-hour access to suicide prevention and intervention services (1-800-273-8255). In addition, a 24-hour suicide prevention and intervention service

line is available to citizens identified within Metro stations who are in need of support. This service is co-sponsored with the Washington Metropolitan Area Transit Authority through the Access HelpLine;

- **Behavioral Health Services - BHSS** – directs and manages the government-operated mental health services, including a multicultural program, a deaf/hard of hearing program, an intellectual disability program, an outpatient competency restoration program, out-patient services for forensically committed individuals, and a same day services program;
- **Comprehensive Psychiatric Emergency Program (CPEP) - BHSS** – provides mental health services to adults in psychiatric crises who need stabilization to prevent harm to themselves or others. Services are enhanced to convert hospitalizations, prevent decompensation, and provide mobile crisis intervention for this population;
- **Pharmacy - BHSS** – provides safety net pharmacy services for Psychiatric Medications for residents of the District of Columbia who are enrolled in the DBH system of care and who are uninsured and unable to pay for their medications;
- **Homeless Outreach Services - BHSS** – provides services directly to individuals who are homeless and in crisis;
- **Children and Youth Services - BHSS** – responsible for developing and implementing a system of care for children, adolescents, and their families that promotes prevention/early intervention, continuity of care, community alternatives to out-of-home and residential placements, and diversion from the juvenile justice system. Child and Youth Services within the Authority provides direct clinical services including school-based mental health services, evidence-based services, youth forensic services, and oversight of youth placed in Psychiatric Residential Treatment Facilities (PRTFs);
- **Early Childhood and School Behavioral Health - BHSS** – promotes social and emotional development and addresses psycho-social and mental health problems that create barriers to learning. The program is responsible for the direct provision of prevention, early intervention, and brief treatment services to youth enrolled in D.C. Public Schools and Public Charter Schools through the Parent Infant Early Childhood Enhancement Program located within the government-operated clinic;
- **Integrated Care - BHSS** – seeks to reduce the inpatient census and admissions to St. Elizabeths Hospital by identifying consumers who need a comprehensive array of services that include mental health, non-mental health, and informal support services to integrate to their fullest ability in their communities and families; coordinates, manages, and evaluates the care for these consumers to improve their quality of life and tenure in a community setting; and provides care management services to individuals with complex mental health needs as well as those discharged from a psychiatric inpatient stay in a community hospital;
- **Physicians' Practice Group (PPG) - BHSS** – serves consumers at two government-operated sites, and outplaces psychiatrists at private Core Service Agency (CSA) sites to increase the availability of psychiatric services at those sites. Additionally, PPG psychiatric services are also provided to consumers by specialized teams working within BHSS (including multicultural services and services for individuals who are deaf/hard of hearing or who have intellectual disabilities); and
- **Outpatient Forensic Services - BHSS** – provides timely and appropriate behavioral health assessments, treatment and monitoring to individuals with mental health and/or substance use disorders to enable them to return to the community as a productive citizen.

**Addiction Prevention and Recovery Administration** – is responsible for the development and delivery of substance use disorders (SUD) treatment and recovery support services. Prevention services include raising public awareness about the consequences of substance abuse and providing evidence-based program resources to community and faith-based organizations to promote wellness and reduce substance use and abuse. Treatment services include assessment and referrals for appropriate levels of care. Treatment services also include maintenance of a comprehensive continuum of substance abuse treatment services including outpatient, intensive outpatient, residential, detoxification and stabilization, and medication assisted

therapy. Recovery support services include wrap-around services to ensure a full continuum of care, such as mentoring services, education skills building, and job readiness training. APRA ensures the quality of these services through its regulation and certification authority as the Single State Agency for substance abuse.

This division contains the following 7 activities:

- **Office of the Senior Deputy Director Addiction Services** – provides overall direction, policy development, and supervision for the other activities within the division;
- **Office of the Deputy Director for Addiction Services Operations** – ensures the financial stability, fiscal integrity, and program accountability for substance abuse activities. The office manages the operating budget, financial operations, and facilities and is responsible for overseeing grant compliance and monitoring contracts that support the Single State Agency for substance abuse functions;
- **Office of the Deputy Director for Administration** – manages the administrative functions of substance use disorder services, and coordinates and ensures adherence to substance abuse privacy and risk management requirements for the agency and substance abuse treatment provider network. In addition, the office oversees, coordinates, and ensures high-quality prevention and performance-related activities, including regulation of substance abuse treatment services in the District of Columbia;
- **Office of Addiction Prevention Services** – works to prevent the onset of, and reduce the progression of, substance abuse risk among youth through a comprehensive public health and risk reduction prevention strategy that addresses the interrelated and root causes of tobacco, alcohol, marijuana, and other drug use. In addition, the office monitors and ensures that federal funds are addressing national outcome measures, high performance standards, and statutory requirements;
- **Office of Performance Management** – is responsible for evaluating, monitoring and managing the performance of all addictions and recovery programs, services, providers and staff. In addition, the office oversees the quality assurance and certification process for all substance abuse treatment facilities and programs in the District of Columbia. The Quality Assurance division conducts surveys of, and works with, substance abuse treatment providers to promote the highest quality standards for delivering services related to best practice models for substance abuse treatment. The Certification and Regulation division certifies substance abuse treatment, substance abuse treatment facilities, and programs that may lawfully provide treatment services in the District of Columbia;
- **Office of the Deputy Director for Addiction Treatment** – ensures the effective delivery of substance abuse treatment services to direct service treatment programs and programs that DBH contracts with or regulates. The office ensures that the highest quality treatment services are provided through policy development, analysis, and research; and
- **Implementation of Drug Treatment Choice** – provides subsidies and transfers for substance abuse treatment services only.

**Behavioral Health Financing/Fee for Service** – provides operational assistance and claims adjudication to support the community-based mental health services program.

This division contains the following 5 activities:

- **Behavioral Health Information Management** – provides oversight of the medical records process within the agency;
- **Behavioral Health Rehabilitation Services** – allocates Local funding for the payment of claims to private providers for children, youth, families, and adults who are District residents and receive Behavioral Health Rehabilitation Services;
- **Behavioral Health Rehabilitation Services - Local Match** – allocates Medicaid funding for the payment of claims to private providers for children, youth, families, and adults who are District residents and receive BHRS;



- **Claims Administration/Billing** – supports the internal Department of Behavioral Health structure for claims processing and reimbursement, including administrative claiming, and processes BHRS claims for community-based providers; and
- **Provider Relations** – provides technical assistance, training and coaching support to the DBH provider network.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### Division Structure Change

The Department of Behavioral Health has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table RM0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table RM0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	1,536	1,643	1,752	109	14.2	15.0	16.0	1.0
(1015) Training and Employee Development	327	375	379	4	3.0	3.0	3.0	0.0
(1017) Labor Relations	364	441	428	-13	3.0	3.0	3.0	0.0
(1020) Contracting and Procurement	935	1,012	1,155	143	9.1	9.0	10.0	1.0
(1030) Property Management	4,159	3,874	3,879	5	3.0	2.0	2.0	0.0
(1040) Information Technology	5,662	6,545	6,513	-32	25.3	24.0	25.0	1.0
(1050) Financial Management - Agency	1,763	1,862	1,886	24	13.2	11.0	12.0	1.0
(1055) Risk Management	148	156	157	1	1.0	1.0	1.0	0.0
(1060) Legal Services	296	296	772	477	0.0	0.0	4.5	4.5
(1080) Communications	49	26	26	1	0.0	0.0	0.0	0.0
(1085) Customer Services	26	65	59	-6	0.0	0.0	0.0	0.0
(1087) Language Access	59	59	60	1	0.0	0.0	0.0	0.0
(1099) Court Supervision	226	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>15,552</b>	<b>16,352</b>	<b>17,067</b>	<b>714</b>	<b>71.9</b>	<b>68.0</b>	<b>76.5</b>	<b>8.5</b>

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**Table RM0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(100F) DBH Financial Operations</b>								
(110F) DBH Budget Operations	535	537	653	116	4.0	4.0	4.0	0.0
(120F) DBH Accounting Operations	784	894	984	90	9.9	9.8	10.0	0.2
(130F) DBH Fiscal Officer	259	290	316	26	2.0	2.0	2.0	0.0
<b>Subtotal (100F) DBH Financial Operations</b>	<b>1,578</b>	<b>1,720</b>	<b>1,953</b>	<b>233</b>	<b>16.0</b>	<b>15.8</b>	<b>16.0</b>	<b>0.2</b>
<b>(1800) Behavioral Health Authority</b>								
(1810) Office of the Director/Chief Executive Officer	892	977	1,056	79	6.1	6.0	7.0	1.0
(1815) Office of the Chief Clinical Officer	1,679	1,476	698	-778	3.0	3.0	3.0	0.0
(1820) Consumer and Family Affairs	950	1,718	1,786	67	2.0	3.0	3.0	0.0
(1865) Office of Policy Support	452	531	559	28	4.0	4.0	4.0	0.0
(1866) Office of Strategic Planning and Grants Mgmt.	652	539	956	417	1.0	1.0	1.0	0.0
(1880) Office of Accountability - QI/Audit	925	1,003	1,167	164	8.2	8.1	9.1	1.0
(1881) Office of Accountability - Certification/Licensure	638	776	775	-2	6.6	6.5	6.5	0.0
(1882) Office of Accountability - Investigations	108	195	176	-19	1.5	1.5	1.5	0.0
<b>Subtotal (1800) Behavioral Health Authority</b>	<b>6,297</b>	<b>7,216</b>	<b>7,172</b>	<b>-44</b>	<b>32.5</b>	<b>33.1</b>	<b>35.1</b>	<b>2.0</b>
<b>(3800) Saint Elizabeths Hospital</b>								
(3805) Office of the Chief Executive	291	464	466	2	3.0	2.0	2.0	0.0
(3810) Office of Clinical and Medical Services - SEH	19,824	18,547	18,354	-193	148.2	110.6	117.0	6.5
(3815) Engineering and Maintenance - SEH	5,110	5,272	5,220	-52	17.2	15.0	15.0	0.0
(3820) Fiscal and Support Services - SEH	2,718	3,060	3,052	-7	4.6	3.5	3.5	0.0
(3825) Forensic Services - SEH	114	0	0	0	2.8	0.0	0.0	0.0
(3828) Quality and Data Management	0	2,023	2,059	36	0.0	17.0	16.0	-1.0
(3830) Housekeeping - SEH	2,341	2,904	2,623	-281	40.5	54.0	51.0	-3.0
(3835) Materials Management - SEH	1,909	1,558	1,607	48	7.1	8.0	10.0	2.0
(3845) Nursing - SEH	36,792	37,023	37,522	498	441.4	430.8	437.8	7.0
(3850) Nutritional Services - SEH	3,383	3,652	3,582	-71	28.5	29.1	28.1	-1.0
(3860) Security and Safety - SEH	2,215	3,304	4,177	873	19.2	18.0	18.0	0.0
(3865) Transportation and Grounds - SEH	701	753	742	-11	6.1	4.0	4.0	0.0
(3870) Office of the Chief of Staff - SEH	1,112	346	298	-48	25.3	2.0	2.0	0.0
(3875) Office of the Chief Operating Officer - SEH	1,769	1,248	1,283	35	20.2	13.0	13.0	0.0
(3880) Office of the Chief Clinical Officer - SEH	8,800	10,544	10,350	-194	70.2	111.6	113.0	1.4
<b>Subtotal (3800) Saint Elizabeths Hospital</b>	<b>87,081</b>	<b>90,699</b>	<b>91,335</b>	<b>636</b>	<b>834.4</b>	<b>818.5</b>	<b>830.5</b>	<b>12.0</b>

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**Table RM0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(4800) Behavioral Health Services and Supports</b>								
(4805) Office of the Deputy Director - BHSS	13,328	19,533	19,827	294	6.1	13.0	14.0	1.0
(4810) Organizational Development - BHSS	1,187	1,976	2,330	355	8.1	16.0	17.0	1.0
(4815) Adult Services - Support Housing - BHSS	8,687	13,579	14,245	665	3.0	6.0	8.0	2.0
(4820) Adult Services - Support Employment BHSS	138	278	278	0	1.7	3.0	3.0	0.0
(4825) Adult Services Assertive Community Treatment - BHSS	127	119	518	398	1.0	1.0	5.0	4.0
(4830) Adult Services - Forensic - BHSS	1,193	1,489	1,193	-295	6.1	6.0	4.0	-2.0
(4835) Care Coordination - BHSS	1,753	1,811	1,360	-451	21.3	19.0	15.0	-4.0
(4840) Behavioral Health Services - BHSS	3,632	4,112	2,851	-1,262	33.2	36.5	27.0	-9.5
(4845) Comprehensive Psychiatric Emer. Prog. (CPEP) - BHSS	8,388	9,382	9,294	-88	63.2	66.8	65.8	-1.0
(4850) Pharmacy - BHSS	2,293	2,511	2,289	-222	8.5	8.0	8.0	0.0
(4855) Homeless Outreach Services - BHSS	1,141	1,142	1,124	-18	7.3	8.0	8.0	0.0
(4860) Children and Youth Services - BHSS	15,629	15,061	15,322	261	40.3	38.5	46.0	7.5
(4865) Early Childhood and School Behavioral Health - BHSS	6,288	9,058	8,752	-306	81.3	86.5	83.5	-3.0
(4870) Integrated Care - BHSS	1,526	1,963	2,049	86	7.0	9.0	10.0	1.0
(4880) Physicians' Practice Group - BHSS	2,312	2,437	2,846	409	9.0	12.4	13.4	1.0
(4885) Outpatient Forensic Services	0	0	1,467	1,467	0.0	0.0	14.0	14.0
<b>Subtotal (4800) Behavioral Health Services and Supports</b>	<b>67,621</b>	<b>84,452</b>	<b>85,745</b>	<b>1,293</b>	<b>297.2</b>	<b>329.7</b>	<b>341.7</b>	<b>12.0</b>
<b>(6800) Addiction Prevention and Recovery Administration</b>								
(6810) Office of the Senior Deputy Director Addiction Services	658	687	367	-320	4.8	5.0	4.0	-1.0
(6820) Office of the Deputy Dir. for Addiction Serv. Operations	6,502	8,530	8,137	-393	20.5	28.0	22.0	-6.0
(6830) Office of the Deputy Director for Administration	1,126	1,502	1,165	-337	11.7	12.0	10.0	-2.0
(6840) Office of Addiction Prevention Services	5,051	6,087	5,349	-738	13.1	20.0	13.0	-7.0
(6850) Office of Performance Management	425	487	772	285	3.6	4.0	7.0	3.0
(6855) Office of the Deputy Director for Addiction Treatment	6,619	7,381	5,789	-1,592	11.2	19.0	52.0	33.0
(6870) Implementation of Drug Treatment Choice	15,049	16,944	12,044	-4,900	0.0	0.0	0.0	0.0
<b>Subtotal (6800) Addiction Prevention and Recovery Administration</b>	<b>35,429</b>	<b>41,618</b>	<b>33,623</b>	<b>-7,995</b>	<b>65.0</b>	<b>88.0</b>	<b>108.0</b>	<b>20.0</b>

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**Table RM0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents				
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	
<b>(7800) Behavioral Health Financing/Fee for Service</b>									
(7810) Behavioral Health Information Management		0	325	323	-2	0.0	4.0	4.0	0.0
(7820) Behavioral Health Rehabilitation Services	11,461	11,495	12,028	533	0.0	0.0	0.0	0.0	
(7825) Behavioral Health Rehabilitation Services - Local Match	20,500	21,416	21,930	514	0.0	0.0	0.0	0.0	
(7870) Claims Administration/Billing	995	995	1,008	13	13.2	12.0	12.0	0.0	
(7880) Provider Relations	581	529	534	4	4.8	4.0	4.0	0.0	
<b>Subtotal (7800) Behavioral Health Financing/Fee for Service</b>	<b>33,538</b>	<b>34,761</b>	<b>35,822</b>	<b>1,061</b>	<b>17.9</b>	<b>20.0</b>	<b>20.0</b>	<b>0.0</b>	
<b>Total Proposed Operating Budget</b>	<b>247,095</b>	<b>276,819</b>	<b>272,717</b>	<b>-4,102</b>	<b>1,334.9</b>	<b>1,373.0</b>	<b>1,427.8</b>	<b>54.7</b>	

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Division Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Department of Behavioral Health's (DBH) proposed FY 2016 gross budget is \$272,717,341, which represents a 1.5 percent decrease from its FY 2015 approved gross budget of \$276,819,168. The budget is comprised of \$226,855,502 in Local funds, \$17,410,630 in Federal Grants funds, \$3,470,692 in Federal Medicaid Payments, \$255,000 in Private Grant funds, \$288,775 in Private Donations, \$4,250,548 in Special Purpose Revenue funds, and \$20,186,195 in Intra-District funds.

## Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DBH's FY 2016 CSFL budget is \$235,025,134, which represents a \$3,167,878, or 1.4 percent, increase over the FY 2015 approved Local funds budget of \$231,857,257.

## CSFL Assumptions

The FY 2016 CSFL calculated for DBH included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$2,578,010 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$913,790 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DBH's CSFL funding for the Medicaid Growth Factor reflects an adjustment for an increase of \$472,285 to account for a District FY 2016 Medicaid growth rate of 2.2 percent based on the cost of healthcare services in the District projected by the Department of Health Care Finance (DHCF). Additionally, adjustments were made for increases of \$900,000 for the restoration of one-time salary lapse and \$426,009 for Other Adjustments to reflect the transfer of attorneys from the Office of the Attorney General, and decreases of \$17,445 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2 and \$2,104,772 for the Fixed Cost Inflation Factor based on projections provided by the Department of General Services (DGS).

### **Agency Budget Submission**

The FY 2016 proposed operating budget for DBH continues to strategically focus on activities aligned with its mission goals, as well as hold the greatest promise for achieving these goals and adhering to principles and guidelines.

**Increase:** In Local funds, DBH's budget proposal reflects an increase of \$2,571,055 for Fixed Costs projections. This amount is comprised of \$2,500,000 for Rent based on estimates from DGS and \$71,055 for Telecommunications based on projections from the Office of the Chief Technology Officer (OCTO). DBH contracts with community-based service providers to provide high quality mental health services to District residents that qualify through the certification program administered by the Office of Accountability. Each provider is listed on DBH's website, is certified to ensure conformity to federal and District regulations, and is monitored for quality of care. The agency will rely less on contractual services and re-direct some of these expenses to Subsidies and Transfers, which results in a net increase of \$455,999. This impact is due to the projects that were previously paid for by Capital funds. The proposed Local funds budget also includes an increase of \$312,698 that will enhance the tools that staff members use to better advance the agency's mission through investments in technology and training.

A proposed increase of \$2,035,779 in Federal Grant funds supports projected salary step increases, Fringe Benefits, and an additional 26.0 Full- Time Equivalents (FTEs) across multiple divisions. The Addiction Prevention and Recovery Administration division is allocated 20.0 of these FTEs, which are needed for programmatic needs based on initiatives, increased workload volume, and strategic direction. The budget submission in Federal Medicaid Payments includes an increase of \$10,692 in personal services costs across multiple divisions. The agency's Saint Elizabeths Hospital division projects an increase of \$71,700 in Private Grant funds based on anticipated grant awards from the Ross University School of Medicine. The directives in this grant involve training, guidance, and instruction to students in a clinical setting to fulfill requirements of the Medical School's curriculum.

The budget proposal in Special Purpose Revenue (SPR) funds reflects an increase of \$502,719 for the "Federal Beneficiary Reimbursement" fund, primarily to support 5.5 additional continuing full-time employees (FTE). Fees assessed and collected from the U.S. Government are used to reimburse the District for the salaries of forensic employees. DBH's FY 2016 budget proposal in SPR funds includes a new fund entitled "Enterprise Fund", in the amount of \$160,000 for the Behavioral Health Services and Supports division. The collection of these fees will support training and Continuing Education Units (CEUs) at the Organizational Development-DBH training institute.

For Intra-District funds, the budget submission includes an increase of \$1,383,915 and 13.0 FTEs for the Behavioral Health Services and Supports division. This is primarily due to the establishment of a Memorandum of Understanding (MOU) with the Department of Human Services (DHS) to provide Employee Support Allowance on the 12 City Project. The Addiction Prevention and Recovery Administration division receives a net increase in the Intra-District funds budget submission of \$34,600 and 1.0 FTE, based on DBH's MOU with the Child and Family Services Agency that will provide assessment and treatment needs for adults. Finally, the Saint Elizabeths Hospital division will reallocate funding from professional services to absorb the 4.7 increase in FTEs in order to maintain a competitive workforce to complete its goals.

**Decrease:** DBH's budget proposal identifies operational efficiencies that reduce the Local funds budget by \$494,110 without affecting the level of service in any division. Furthermore, additional savings in Local funds are projected in personal service costs, resulting in a net decrease of \$2,845,642. This projection is primarily due to a reduction to the Fringe Benefits rate. This adjustment still allows DBH to absorb the costs of an additional 1.6 FTEs in the budget for personal services.

In Federal Grant funds, the budget submission includes a net decrease of \$3,164,478 that primarily reflects redirection of funds to offset increases in personal services costs and align funding with available federal resources. Of this amount, \$595,975 reflects the reduction of the Cancer and Chronic Disease Prevention grant which will now reside in the Department of Health. A decrease of \$40,000 in Federal Medicaid Payments aligns the budget with projected revenues from federal reimbursements for eligible Medicaid services. Finally, a budget decrease of \$95,000 in Intra-District funds in the Mental Health Authority division is projected based on MOU's with the Department of Health that supports service delivery by the agency's Emergency Health and Medical Services Administration.

**Technical Adjustment:** In Local funds, an increase of \$14,586 is included in the proposed budget to support performance-related pay adjustments for attorneys.

### **Mayor's Proposed Budget**

**Reduce:** DBH will reduce day treatment rates by 5 percent for a Local funds savings of \$1,140,000 to the Behavioral Health Financing/Fee for Service division. A recent rate study from this service shows that the proposed reduction can be achieved without impacting service delivery. A Local funds decrease of \$1,434,791 in personal services across multiple programs is due to projected salary lapse savings across the agency. The Local budget is reduced by a net of \$2,109,427 from contractual savings and an increased federal match. The agency will identify \$609,427 in savings across multiple programs through contractual reductions that will have minimal impact on direct services. The remaining \$1,500,000 is the result of anticipated federal Medicaid reimbursement funds for healthy homes services. The additional federal funding will provide new resources that free up Local resources that otherwise would be expended on these services.

Finally, the Local funds budget is decreased by \$3,500,000 in the Addiction Prevention and Recovery Administration division in anticipation of federal Medicaid reimbursement funds for adult substance abuse treatment and health homes services. The additional federal funding will provide new resources that free up Local resources that otherwise would be expended on these services. The additional federal Medicaid for both healthy homes and adult substance abuse treatment is managed by the Department of Health Care Finance.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table RM0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table RM0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>231,857</b>	<b>1,166.0</b>
Other CSFL Adjustments	Multiple Programs	3,168	2.9
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>235,025</b>	<b>1,168.8</b>
Increase: To align Fixed Costs with proposed estimates	Multiple Programs	2,571	0.0
Increase: To align resources with operational goals	Multiple Programs	456	0.0
Increase: To align funding with nonpersonal services costs	Multiple Programs	313	0.0
Decrease: To streamline operation efficiency	Multiple Programs	-494	0.0
Decrease: To adjust personal services	Multiple Programs	-2,846	1.6
Technical Adjustment: To support performance related pay adjustments for attorneys	Agency Management	15	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>235,040</b>	<b>1,170.5</b>
Reduce: Reduction to day treatment rates	Behavioral Health Financing/ Fee for Service	-1,140	0.0
Reduce: Salary lapse savings	Multiple Programs	-1,435	0.0
Reduce: Reduction to contractual services across the agency	Multiple Programs	-2,109	0.0
Reduce: Savings from new federal match for existing services	Addiction Prevention and Recovery Administration	-3,500	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>226,856</b>	<b>1,170.5</b>

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**Table RM0-5 (Continued)**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>18,539</b>	<b>78.0</b>
Increase: To support additional FTEs	Multiple Programs	2,036	26.0
Decrease: To align budget with projected grant awards	Multiple Programs	-3,164	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>17,411</b>	<b>104.0</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>17,411</b>	<b>104.0</b>
<b>FEDERAL MEDICAID PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>3,500</b>	<b>5.0</b>
Increase: To adjust personal services	Multiple Programs	11	0.0
Decrease: To align budget with projected federal Medicaid reimbursements	Multiple Programs	-40	0.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>3,471</b>	<b>5.0</b>
No Change		0	0.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>3,471</b>	<b>5.0</b>
<b>PRIVATE GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>183</b>	<b>0.0</b>
Increase: To align budget with projected grant awards	Saint Elizabeths Hospital	72	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>255</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>255</b>	<b>0.0</b>
<b>PRIVATE DONATIONS: FY 2015 Approved Budget and FTE</b>		<b>289</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE DONATIONS: FY 2016 Agency Budget Submission</b>		<b>289</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE DONATIONS: FY 2016 Mayor's Proposed Budget</b>		<b>289</b>	<b>0.0</b>

(Continued on next page)



**Table RM0-5 (Continued)**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>3,588</b>	<b>32.0</b>
Increase: To align budget with projected revenues	Multiple Programs	503	5.5
Increase: To align budget with projected revenues	Behavioral Health Services and Supports	160	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>4,251</b>	<b>37.5</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>4,251</b>	<b>37.5</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>18,863</b>	<b>92.1</b>
Increase: To align budget with projected revenues	Behavioral Health Services and Supports	1,384	13.0
Increase: To align budget with projected revenues	Addiction Prevention and Recovery Administration	35	1.0
Increase: To adjust personal services	Saint Elizabeths Hospital	0	4.7
Decrease: To align budget with projected revenues	Behavioral Health Authority	-95	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>20,186</b>	<b>110.8</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>20,186</b>	<b>110.8</b>
<b>Gross for RM0 - Department of Behavioral Health</b>		<b>272,717</b>	<b>1,427.8</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

### Behavioral Health Authority

**Objective 1:** Expand the range of behavioral health services.

**Objective 2:** Increase access to behavioral health services.

**Objective 3:** Continually improve the consistency and quality of behavioral health services.

**Objective 4:** Ensure system accountability to support behavioral health services.

**Objective 4:** Ensure system accountability to support behavioral health services.

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## KEY PERFORMANCE INDICATORS

### Behavioral Health Authority

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of introduction to co-occurring treatment and DBH services classes <sup>1</sup>	Not Applicable	20	17	10	10	10
Number of school mental health programs <sup>2</sup>	52	72	62	72	72	72
Number of early childhood services locations – Primary Project <sup>3</sup>	35	54	44	54	54	54
Provider Scorecard –mental health providers' average quality (adult and child) score <sup>4</sup>	88%	85%	92%	85%	85%	85%
Provider Scorecard - providers' average financial score	70%	85%	76%	85%	85%	85%
Adult Community Services Review (CSR) system score <sup>5</sup>	Not Available	80%	74%	Not Available	82%	Not Available
Child Community Services Review (CSR) system score <sup>6</sup>	70%	Not Available	Not Available	73%	Not Available	76%

## Saint Elizabeths Hospital

**Objective 1:** Continually improve the consistency and quality of mental health services.

### KEY PERFORMANCE INDICATORS

Saint Elizabeths Hospital<sup>7</sup>

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of discharges with $\geq 2$ anti-psychotic medications <sup>8</sup>	Not Available	25%	15%	20%	15%	15%
Percent of discharges with appropriate justification documented when discharged with $\geq 2$ anti-psychotic medications <sup>9</sup>	Not Available	20%	14%	40%	60%	60%
Percent of nursing staff with competency-based recovery model training <sup>10</sup>	95%	95%	90%	95%	95%	95%
Percent of clinical staff with competency-based recovery model training	Not Available	85%	100%	85%	85%	85%
Total patients served per day	267	275	283	275	275	275
Elopements per 1,000 patient days	0.3	0.3	0.1	0.3	0.3	0.3
Patient injuries per 1,000 patient days <sup>11</sup>	0.2	0.3	0.3	0.3	0.3	0.3
Percent of missing documentation of medication administration results <sup>12</sup>	0.4%	0.3%	0.6%	0.2%	0.2%	0.2%
Percent of unique patients who were restrained at least once during month	0.0%	0.1%	0.4%	0.1%	0.1%	0.1%
Percent of unique patients who were secluded at least once during month	1.0%	0.1%	2.1%	0.1%	0.1%	0.1%
Percent of patients re-admitted to Saint Elizabeths Hospital within 30 days of discharge	6.3%	5.9%	2.0%	5.8%	5.8%	5.8%

## Behavioral Health Services and Supports

**Objective 1:** Expand the range of mental health services.

**Objective 2:** Increase access to mental health services.

**Objective 3:** Continually improve the consistency and quality of mental health services.

### KEY PERFORMANCE INDICATORS

#### Behavioral Health Services and Supports

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual <sup>13</sup>	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of certified Peer Specialists	14	20	34	60	60	60
Number of women served by Re-Entry Coordinator in women's jail	Not Available	60	100	75	75	75
Number of people in Mental Health First Aid trainings	645	800	1,866	500	500	500
Percent of adults that receive at least one non-crisis service in a non-emergency setting within seven days of discharge from a psychiatric hospitalization	67.1%	70%	61.5%	70%	70%	70%
Percent of children/youth that receive at least one non-crisis service in a non-emergency setting within seven days of discharge from a psychiatric hospitalization	71.3%	70%	61.8%	70%	70%	70%
Percent of adults that receive at least one non-crisis service in a non-emergency setting within 30 days of discharge from a psychiatric hospitalization	75.8%	80%	74.1%	80%	80%	80%
Percent of children/youth that receive at least one non-crisis service in a non-emergency setting within 30 days of discharge from a psychiatric hospitalization	86.1%	80%	76.7%	80%	80%	80%

## Addiction Prevention and Recovery Administration

**Objective 1:** Reduce priority risk factors that place District children, youth, families, and communities at risk of substance use and interrelated problems.

**Objective 2:** Promote long-term recovery from substance use disorder through maintenance of a comprehensive continuum of accessible substance use treatment and recovery support services.

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### KEY PERFORMANCE INDICATORS

#### Addiction Prevention and Recovery Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of adults reached through planned prevention strategies	7,548	8,303	15,487	9,133	10,047	11,052
Number of youth reached through planned prevention strategies	8,527	9,380	17,022	10,318	11,350	12,485
Number of technical assistance encounters provided to prevention stakeholders	Not Available	Not Available	Not Available	100	120	150
Percent of adults that successfully complete treatment	59.4%	60%	61.3%	60%	60%	60%
Percent of youth that successfully complete treatment	19.6%	20%	10.6%	20%	20%	20%

## Behavioral Health Financing/Fee for Service

**Objective 1:** Continually improve the consistency and quality of behavioral health services.

### KEY PERFORMANCE INDICATORS

#### Behavioral Health Financing/Fee for Service

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of clean claims adjudicated within 30 days of receipt	97%	98%	99%	97%	99%	100%
Percent of District residents, accessing services through Adult Substance Abuse Rehabilitation Services (ASARS), screened for Medicaid eligibility within 90 days of the first date of service <sup>14</sup>	Not Available	Not Available	Not Available	50%	90%	95%

#### Performance Plan Endnotes:

<sup>1</sup>In FY 2014, there were 17 co-occurring treatment and DBH services trainings with 354 people trained. DBH will now conduct 10 trainings per year.

<sup>2</sup>In FY 2015 and FY 2016, School Mental Health Program schools added remains the same as FY 2014 since they are contingent upon additional funding; this is unknown.

<sup>3</sup>In FY 2015 and FY 2016, the Primary Project programs added remains the same as FY 2014 since they are contingent upon additional funding, which is unknown

<sup>4</sup>The adult and child quality score is now combined and represents the mean of all individual mental health provider scores. Also, the Provider Scorecard reports data for the previous fiscal year, so the FY 2013 Scorecard data is reported in FY 2014 with FY 2014 data reported in FY 2015.

<sup>5</sup>Starting in FY 2014, the Adult and Child Community Services Reviews are conducted every other year on an alternating schedule.

<sup>6</sup>Ibid.

<sup>7</sup>Saint Elizabeths Hospital measures are now annualized to allow data auditing. FY 2014 data added after end of the fiscal year.

<sup>8</sup>This is a nationwide behavioral healthcare measure defined by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO), and the hospital is required to report to the Center for the Medicare and Medicaid Services (CMS).

<sup>9</sup>Ibid.

<sup>10</sup>It was modified in FY 2014 to measure the percentage of completed training for nursing staff.

<sup>11</sup>The National Research Institute's (NRI) definition considers only those injuries that require beyond first-aid level.

treatment. Saint Elizabeths Hospital modified its logic to make it consistent with NRI's definition.

<sup>12</sup>Measured by dividing the total number of medication administration records with missing documentation by the total number of scheduled medication administration records.

<sup>13</sup>Behavioral Health Services and Supports FY 2014 added data that was not available at the time this document was printed.

<sup>14</sup>No data is available for ASARS since its implementation is pending.



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# Department of Health

[www.doh.dc.gov](http://www.doh.dc.gov)

Telephone: 202-442-5955

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$249,794,268	\$272,700,826	\$258,220,733	-5.3
FTEs	553.6	610.6	611.6	0.2

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The mission of the Department of Health (DOH) is to promote healthy lifestyles, prevent illness, protect the public from threats to their health, and provide equal access to quality healthcare services for all in the District of Columbia.

## Summary of Services

The Department of Health provides programs and services with the ultimate goal of reducing the burden of disease. DOH does this through a number of mechanisms that center around prevention, promotion of health, and expanding access to health care. The department provides public health management and leadership through policy, planning, and evaluation; fiscal oversight; human resource management; grants and contracts management; information technology; government relations; risk management; communication and community relations; legal oversight; and facilities management. The DOH performance plan is based on three priority areas: (1) health and wellness promotion, (2) HIV/AIDS prevention and awareness, and (3) public health systems enhancement.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HC0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HC0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	86,953	67,584	79,603	75,182	-4,420	-5.6
Special Purpose Revenue Funds	9,927	10,829	12,393	13,295	902	7.3
<b>Total for General Fund</b>	<b>96,880</b>	<b>78,413</b>	<b>91,995</b>	<b>88,477</b>	<b>-3,518</b>	<b>-3.8</b>
<b>Federal Resources</b>						
Federal Payments	1,069	2,164	5,000	5,000	0	0.0
Federal Grant Funds	132,745	118,124	126,219	114,619	-11,599	-9.2
<b>Total for Federal Resources</b>	<b>133,814</b>	<b>120,288</b>	<b>131,219</b>	<b>119,619</b>	<b>-11,599</b>	<b>-8.8</b>
<b>Private Funds</b>						
Private Grant Funds	200	24	0	0	0	N/A
Private Donations	84	57	0	0	0	N/A
<b>Total for Private Funds</b>	<b>284</b>	<b>81</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	45,567	51,013	49,487	50,125	638	1.3
<b>Total for Intra-District Funds</b>	<b>45,567</b>	<b>51,013</b>	<b>49,487</b>	<b>50,125</b>	<b>638</b>	<b>1.3</b>
<b>Gross Funds</b>	<b>276,545</b>	<b>249,794</b>	<b>272,701</b>	<b>258,221</b>	<b>-14,480</b>	<b>-5.3</b>

\*Percent change is based on whole dollars.

**Notes:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Full-Time Equivalents, by Revenue Type**

Table HC0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HC0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b><u>General Fund</u></b>						
Local Funds	166.7	157.6	171.1	180.6	9.5	5.6
Special Purpose Revenue Funds	72.8	82.7	86.3	97.2	10.9	12.7
<b>Total for General Fund</b>	<b>239.6</b>	<b>240.3</b>	<b>257.4</b>	<b>277.9</b>	<b>20.4</b>	<b>7.9</b>
<b><u>Federal Resources</u></b>						
Federal Grant Funds	358.3	299.1	342.8	322.4	-20.4	-6.0
<b>Total for Federal Resources</b>	<b>358.3</b>	<b>299.1</b>	<b>342.8</b>	<b>322.4</b>	<b>-20.4</b>	<b>-6.0</b>
<b><u>Private Funds</u></b>						
Private Grant Funds	0.1	0.0	0.0	0.0	0.0	N/A
<b>Total for Private Funds</b>	<b>0.1</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>N/A</b>
<b><u>Intra-District Funds</u></b>						
Intra-District Funds	5.2	14.2	10.4	11.4	1.0	9.7
<b>Total for Intra-District Funds</b>	<b>5.2</b>	<b>14.2</b>	<b>10.4</b>	<b>11.4</b>	<b>1.0</b>	<b>9.7</b>
<b>Total Proposed FTEs</b>	<b>603.2</b>	<b>553.6</b>	<b>610.6</b>	<b>611.6</b>	<b>1.0</b>	<b>0.2</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HC0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HC0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	34,770	33,422	38,342	41,807	3,465	9.0
12 - Regular Pay - Other	8,886	6,913	8,751	8,284	-466	-5.3
13 - Additional Gross Pay	1,081	391	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	9,307	8,596	10,567	10,698	130	1.2
15 - Overtime Pay	297	129	0	0	0	N/A
<b>Subtotal Personal Services (PS)</b>	<b>54,341</b>	<b>49,451</b>	<b>57,660</b>	<b>60,789</b>	<b>3,129</b>	<b>5.4</b>
20 - Supplies and Materials	53,741	58,561	59,960	55,045	-4,915	-8.2
30 - Energy, Communication, and Building Rentals	1,078	634	394	538	144	36.6
31 - Telephone, Telegraph, Telegram, Etc.	1,355	1,403	1,414	1,475	60	4.3
32 - Rentals - Land and Structures	12,491	11,146	11,062	13,314	2,252	20.4
34 - Security Services	2,557	647	745	485	-260	-34.9
35 - Occupancy Fixed Costs	998	652	300	316	16	5.5
40 - Other Services and Charges	2,710	2,322	3,543	3,660	117	3.3
41 - Contractual Services - Other	55,037	55,032	66,325	51,955	-14,370	-21.7
50 - Subsidies and Transfers	91,713	68,007	70,996	70,253	-743	-1.0
70 - Equipment and Equipment Rental	525	566	301	390	89	29.6
91 - Expense Not Budgeted Others	0	1,373	0	0	0	N/A
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>222,204</b>	<b>200,343</b>	<b>215,040</b>	<b>197,431</b>	<b>-17,609</b>	<b>-8.2</b>
<b>Gross Funds</b>	<b>276,545</b>	<b>249,794</b>	<b>272,701</b>	<b>258,221</b>	<b>-14,480</b>	<b>-5.3</b>

\*Percent change is based on whole dollars.

## Division Description

The Department of Health operates through the following 7 divisions:

**Health Emergency Preparedness and Response Administration (HEPRA)** – provides regulatory oversight of Emergency Medical Services; ensures that DOH and its partners are prepared to respond to citywide medical and public health emergencies, such as those resulting from terrorist attacks, large accidents, or natural events such as weather-related emergencies; conducts disease surveillance and outbreak investigation; and provides analytical and diagnostic laboratory services for programs within DOH and various free and non-profit clinics within the District.

This division contains the following 5 activities:

- **Public Health Emergency Preparedness** – provides the District’s response to the emergency medical needs of its visitors and residents. The responsibilities cover a wide range of activities, including the development and training of emergency response plans, coordination of medical response with federal regional and local partners across the healthcare system, and coordination of volunteers through the Medical Reserve Corps;
- **Public Health Emergency Operations and Program Support** – supports government and private partners with the development of their health and safety plans, emergency operation plans, and training exercises. The program also provides a public health command and control element that coordinates all DOH assets and operations during incidents, special events, and national special security events. In addition, the program acquires and distributes life-saving medications for the DOH programs that will allow as many District residents as possible access to medications. It also provides clinical support, formulary management, and quality assurance monitoring to address the needs of all DOH programs that utilize or distribute pharmaceuticals. The Bureau also maintains the Strategic National Stockpile (SNS) of drugs for the Washington, D.C. region in the event of a declared national emergency;
- **Epidemiology Disease Surveillance and Investigation** – provides surveillance, investigation and control of reportable diseases, disease outbreaks, and other public health threats within the District of Columbia (excluding sexually transmitted diseases (STD), hepatitis, HIV/AIDS, and tuberculosis (TB)). HEPRA works with community and community organizations to withstand and bounce back from natural and man-made disasters. Resilient communities leverage community connections, relationships, and resources to ensure optimal health and security for individuals and families in both routine and emergency situations;
- **Emergency Medical Services Regulation** – provides oversight and regulation of Emergency Medical Services (EMS), including certification and regulation of District of Columbia EMS providers, ambulance agencies, and EMS educational institutions. The program monitors training standards and certifies instructional programs and instructors. In addition, it provides inspection and certification of all ambulances operated in the District whether they are governmental, private, or volunteer; and
- **Office of the Senior Deputy Director** – provides overall direction, policy development and supervision for the four subordinate activities.

**HIV/AIDS, Hepatitis, STD, and TB Administration (HAHSTA)** – partners with health and community based organizations to provide HIV/AIDS, hepatitis, STD, and TB prevention and care services. Services include prevention tools and interventions, medical care and supportive services, housing services for persons living with HIV/AIDS, HIV counseling and testing, and data and information on disease-specific programs and services. Furthermore, the administration provides information on the impact of these diseases on the community as well as education, referrals, and intervention services. The AIDS Drug Assistance Program (ADAP) provides drugs at no cost to eligible District residents who are HIV-positive or have AIDS. HAHSTA administers the District’s budget for HIV/AIDS, hepatitis, STD, and TB programs; provides grants to service providers; provides direct services for TB and STDs; monitors programs; and tracks the rates of HIV, hepatitis, STDs and TB in the District of Columbia.

This division contains the following 10 activities:

- **HIV/AIDS Support Services** – provides overall management, planning, direction and support for the HIV/AIDS, STD, TB and adult hepatitis surveillance, prevention, treatment, care, and control programs. It also provides HIV/AIDS information to individuals and community organizations, coordinates HAHSTA participation in public events, prepares written and other resources for public distribution, and manages special projects;
- **HIV/AIDS Policy and Planning** – provides community capacity to more effectively respond to the HIV/AIDS and STD epidemics through the Effi Barry program, which provides training and technical assistance to small, ward-based community organizations, a social marketing program aiming to promote health behavior to reduce risk of disease, and a free condom distribution program. It writes reports and creates other written materials for public distribution; and it provides HIV/AIDS, STD, TB, and hepatitis information to government agencies, community organizations, media, and individuals. It also coordinates participation in public events;
- **HIV Health and Support Services** – provides a comprehensive range of primary medical care and supportive services for persons living with HIV and AIDS;
- **HIV/AIDS Data and Research** – provides a comprehensive picture of the HIV/AIDS epidemic in the District of Columbia for purposes of ensuring that the needs of people infected with HIV, or at risk of infection, are met. It collaborates with health care providers and laboratories to collect and maintain comprehensive HIV/AIDS data in a confidential and secure manner; analyzes, interprets, and distributes epidemiologic information for use in developing public policy, planning, and evaluating prevention intervention and health care services; and supports funding requests;
- **Prevention and Intervention Services** – provides comprehensive HIV prevention programs and services through community organizations to the residents of the District of Columbia. Prevention programs include health education, HIV testing and counseling services, science-based prevention programs, and other support services, including condom distribution. In addition, the program monitors organizations to ensure that quality prevention services are being delivered through program evaluation and quality assurance activities as well as through the provision of capacity building, training, and technical assistance to sub-grantees;
- **AIDS Drug Assistance Program (ADAP)** – provides assistance with deductibles, co-payments, and health insurance/Medicare Part D premiums. DC ADAP also provides an entry point for other District health programs available to people living with HIV/AIDS;
- **Grants and Contracts Management** – provides fiscal and administrative monitoring of District and federally appropriated funds in the form of over 100 grants and sub-grants to more than 50 providers. Fiscal monitoring includes ensuring that grant funds are expended in accordance with federal and local grant regulations, conducting site visits, providing technical assistance to grantees and sub-grantees, and providing continued analysis of grant spending to program counterparts;
- **Sexually Transmitted Disease (STD) Control** – provides assistance to prevent and control sexually transmitted diseases in the District of Columbia through the provision of clinical services, partnerships with local community providers, and promotion of healthy sexual behavior. The program also conducts surveillance for statistical purposes to track diseases and partner notification;
- **Tuberculosis Control** – provides direct care services to District residents, including clinical follow-up for active and/or suspected tuberculosis cases, directly observed therapy, preventive therapy, chest x-rays, contact investigations, and case management; and
- **HIV/AIDS Housing and Supportive Services** – provides housing support, emergency shelter, and other related services to help persons living with HIV and AIDS and their families achieve independent living.

**Health Care Regulation and Licensing Administration (HCRLA)** – is comprised of the Office of Health Professional Licensing Boards, the Office of Health Care Facilities, the Office of Food, Drug, Radiation and Community Hygiene, and HRLA services.

This division contains the following 4 activities:

- **Office of Health Professional License Administration** – licenses and regulates health care professionals across 22 boards. The program serves as the administrative unit of the boards for processing more than 70,000 health care professionals licenses while providing administrative support on disciplinary hearings, investigations, community outreach, and proposed legislation;
- **Office of Food, Drug, Radiation and Community Hygiene Regulation** – provides varied inspection and regulatory services. The Food Safety and Hygiene Inspection Services regulates smoking bans in establishments and food services that are provided in boarding homes, commission merchants, dairies, delicatessens, bakeries, candy and ice cream manufacturers, grocery stores, retail markets, restaurants, wholesale markets, mobile vendors, and hotels. The Division of Community Hygiene provides abatement notices, inspection of premises, code enforcement, premises baited, catch basin larvicided, community education and outreach, investigation of bite cases, issuance of dog and cat licenses, vaccinations, animal adoptions, spay and neutering, dead animal pick-up, and dangerous dog control services in the District. The Division of Radiation seeks to eliminate radiation overexposure of persons from naturally-occurring and man-made radiation by the inspection of dental X-ray tubes, medical X-rays, and the regulation of health physicists, suppliers, and radioactive-material users in the District of Columbia;
- **Office of Health Care Facilities Regulation** – regulates and licenses group homes, intermediate care facilities for the mentally challenged, assisted-living facilities, child placing agencies, home care agencies, community residence facilities, hospitals, nursing homes, home health agencies, end-stage dialysis renal disease facilities, laboratories, ambulatory surgical centers, maternity centers, tissue banks, community residence facilities, and assisted-living and child placement agencies; and
- **Medical Marijuana** – regulates medical marijuana use in the District. The program registers patients who are currently using medical marijuana and in some cases, their caregivers, and inspects cultivation centers and dispensaries and approves them to operate in the District.

**Center for Policy, Planning, and Evaluation (CPPE)** – is responsible for developing an integrated public health information system to support health policy decisions, state health planning activities, performance analysis, and direction setting for department programs; health policy, health planning and development; health research and analysis; vital records; and planning, directing, coordinating, administering, and supervising a comprehensive Epidemiology and Health Risk Assessment program, which involves federal, state, county, and municipal functions.

This division contains the following 3 activities:

- **Research, Evaluation, and Measurement** – plans and coordinates epidemiologic studies and outbreak investigations, defines the health status of residents, and assists with tracking of health events. This includes planning, development and coordination of appropriate methodologies to collect and process data as well as monitoring and evaluation of health and social issues. The division responds to internal and external inquiries about various health events, and provides reports on health risk behaviors to both internal and external entities;
- **State Center for Health Statistics** – collects, processes, analyzes, and disseminates birth and death record information and other vital statistics data and information. It is responsible for the statistical analyses of the data generated from birth, death, and other vital records information. In addition, it develops comprehensive statistical and epidemiologic reports on District residents' health status; and
- **State Health Planning and Development** – develops the District's State Health Plan and Annual Implementation, and reviews and approves Certificate of Need applications that allow health care providers to establish new services, make certain capital expenditures, or take other actions as specified in the law. The activity is also responsible for monitoring free care requirements of hospitals and other health care providers.

**Community Health Administration (CHA)** – provides programs designed to improve health outcomes for all residents of the District of Columbia, with an emphasis on women, infants, children (including children with special health care needs), and other vulnerable groups such as those with a disproportionate burden of chronic disease and disability. The administration provides programs and services that promote coordination among the health care systems and enhance access to effective prevention, primary and specialty medical care in the District. CHA collaborates with public and private organizations to provide support services to ameliorate the social determinants of health status for these groups.

This division contains the following 6 activities:

- **Cancer and Chronic Disease Prevention** – provides cancer control and prevention initiatives to reduce the rates of cancer-related mortality among District residents by focusing on treatable or preventable cancers such as breast and cervical, lung, prostate, and colorectal malignancies. The program defines and seeks to reduce the burden of diabetes mellitus and cardiovascular disease on residents of the District of Columbia, and builds partnerships that help strengthen and increase the scope of the infrastructure for care, interventions, and population-based strategies to promote health within the District. Furthermore, the Bureau implements a citywide asthma plan that includes data collection, public education, and access to appropriate care for asthma and related allergies, in addition to developing and implementing policy changes and delivery systems, including preventive measures for asthma control;
- **Primary Care** – identifies health professional shortage and medically underserved areas for primary care, dental, and mental health care services, and administers programs to improve access to primary care services for District residents regardless of their ability to pay for services;
- **Support Services** – coordinates CHA’s efforts to help develop an integrated community-based health delivery system, ensures access to preventive and primary health care, and fosters citizen and community participation toward improving the health outcomes of women, infants, children (including children with special health care needs), and other family members in the District of Columbia;
- **Perinatal and Infant Health** – provides comprehensive services to improve perinatal outcomes for high-risk pregnant and parenting women, the health and development of their infants into early childhood, and health outcomes for children with special healthcare needs by facilitating access to coordinated primary and specialty health care and other services in partnership with their families and community organizations. The overarching goal is to reduce infant mortality and perinatal health disparities in the District of Columbia primarily through a home visiting approach;
- **Nutrition and Physical Fitness** – provides increased access to healthy food, promotes physical activity as a means to reduce morbidity, supports services that offer specialized nutrition intervention, and maintains an extensive referral network available to District families, infants, children, and seniors. The goal of activities performed within the Nutrition and Physical Fitness Bureau is to positively impact dietary habits, foster physical activity, and decrease overweight and obesity rates, thus improving health outcomes among the population; and
- **Children, Adolescent, and School Health** – provides improvement for the health and well-being of all District pre-school and school-age children and adolescents by enhancing access to preventive, dental, primary and specialty care services and contributing to the development of a coordinated, culturally competent, family-centered health care delivery system. The program seeks to promote age-appropriate immunizations and increase health education and outreach to District residents.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

**Division Structure Change**

The Department of Health has no division structure changes in the FY 2016 proposed budget.

**FY 2016 Proposed Operating Budget and FTEs, by Division and Activity**

Table HC0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HC0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	761	845	845	0	6.6	7.5	7.2	-0.2
(1017) Labor Management	115	132	135	3	1.0	1.0	1.0	0.0
(1020) Contracting and Procurement	987	994	545	-449	8.7	9.0	3.2	-5.8
(1030) Property Management	16,911	14,807	17,070	2,263	3.4	5.0	4.0	-1.0
(1040) Information Technology	1,038	1,028	1,022	-7	4.4	5.0	5.0	0.0
(1055) Risk Management	134	142	145	3	0.8	1.0	1.0	0.0
(1060) Legal	0	0	2,252	2,252	0.0	0.0	15.0	15.0
(1080) Communications	214	196	219	23	2.8	2.0	2.0	0.0
(1085) Customer Service	151	248	303	55	1.8	3.0	3.0	0.0
(1087) Language Access	102	100	100	0	0.0	0.0	0.0	0.0
(1090) Performance Management	3,277	2,075	1,732	-343	7.0	9.2	7.0	-2.2
<b>Subtotal (1000) Agency Management</b>	<b>23,691</b>	<b>20,568</b>	<b>24,368</b>	<b>3,800</b>	<b>36.4</b>	<b>42.8</b>	<b>48.5</b>	<b>5.8</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Agency Fiscal Officer Operations	791	1,239	923	-316	8.6	9.4	8.0	-1.4
(120F) Accounting Operations	970	1,329	1,398	68	12.9	13.5	13.0	-0.5
(130F) ACFO	175	435	329	-106	3.8	4.6	3.5	-1.1
(140F) Agency Fiscal Officer	422	373	831	458	4.4	4.0	7.0	3.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>2,358</b>	<b>3,376</b>	<b>3,480</b>	<b>104</b>	<b>29.8</b>	<b>31.5</b>	<b>31.5</b>	<b>0.0</b>

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**Table HC0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(2500) Health Emergency Preparedness and Response</b>								
<b>Administration</b>								
(2540) Public Health Emergency Preparedness	1,033	984	823	-161	1.4	1.3	2.0	0.7
(2550) Public Health Emerg. Ops. and Program Support	48,745	48,882	49,299	417	0.0	11.0	8.8	-2.2
(2560) Epidemiology Disease Surveillance and Investigation	670	449	240	-209	0.0	4.2	2.0	-2.2
(2570) Emergency Medical Services Regulation	436	577	750	174	3.8	5.0	7.2	2.2
(2580) Office of the Senior Deputy Director	3,335	4,162	4,004	-158	19.8	19.8	23.0	3.3
<b>Subtotal (2500) Health Emergency Preparedness and Response Administration</b>	<b>54,219</b>	<b>55,054</b>	<b>55,116</b>	<b>62</b>	<b>25.0</b>	<b>41.3</b>	<b>43.0</b>	<b>1.8</b>
<b>(3000) HIV/AIDS, Hepatitis, STD, and TB Administration</b>								
(3010) HIV/AIDS Support Services	1,527	2,546	1,795	-751	12.0	24.3	13.4	-10.9
(3015) HIV/AIDS Policy and Planning	3,363	2,303	1,574	-728	7.5	9.5	7.0	-2.5
(3020) HIV Health and Support Services	34,586	39,315	33,922	-5,392	11.9	13.6	14.9	1.3
(3030) HIV/AIDS Data and Research	2,250	2,894	3,450	557	16.4	17.3	21.0	3.7
(3040) Prevention and Intervention Services	10,835	12,251	13,362	1,111	18.4	22.2	21.5	-0.7
(3060) AIDS Drug Assistance Program	9,891	11,131	5,981	-5,150	5.2	6.8	4.5	-2.3
(3070) Grants and Contracts Management	865	913	1,050	137	7.2	8.0	9.0	1.0
(3080) Sexually Transmitted Diseases Control	2,109	1,606	1,768	162	19.0	11.8	16.0	4.2
(3085) Tuberculosis Control	1,103	1,146	1,472	325	7.0	8.0	11.5	3.5
(3090) HIV/AIDS Housing and Supportive Services	13,440	14,272	11,674	-2,598	2.6	3.2	3.2	0.0
<b>Subtotal (3000) HIV/AIDS, Hepatitis, STD, and TB Administration</b>	<b>79,971</b>	<b>88,377</b>	<b>76,049</b>	<b>-12,328</b>	<b>107.2</b>	<b>124.8</b>	<b>122.0</b>	<b>-2.7</b>
<b>(4500) Health Care Regulation and Licensing Administration</b>								
(4200) Office of Health Professional License Administration	8,603	8,948	8,275	-673	69.0	70.2	68.7	-1.5
(4510) HECLA Support Services	141	225	0	-225	1.9	3.0	0.0	-3.0
(4515) Office of Food, Drug, Radiation, and Comm. Hygiene Reg.	8,715	9,112	9,136	25	53.4	55.3	54.4	-0.8
(4530) Office of Health Care Facilities Regulation	4,735	5,812	5,863	51	42.0	47.5	48.5	1.0
(4540) Medical Marijuana	0	0	478	478	0.0	0.0	4.1	4.1
<b>Subtotal (4500) Health Care Regulation and Licensing Administration</b>	<b>22,193</b>	<b>24,097</b>	<b>23,752</b>	<b>-344</b>	<b>166.3</b>	<b>176.0</b>	<b>175.8</b>	<b>-0.3</b>
<b>(5000) Primary Care and Prevention Administration</b>								
(5100) PCPA Support Services	188	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (5000) Primary Care and Prevention Administration</b>	<b>188</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(8200) Center for Policy, Planning, and Evaluation</b>								
(8250) Research, Evaluation, and Measurement	426	522	309	-213	0.8	1.0	1.0	0.0
(8260) State Center Health Statistics	3,371	3,577	3,543	-34	31.0	35.3	34.0	-1.2
(8270) State Health Planning and Development	843	1,046	1,043	-3	7.3	7.7	7.7	0.0
<b>Subtotal (8200) Center for Policy, Planning and Evaluation</b>	<b>4,641</b>	<b>5,144</b>	<b>4,895</b>	<b>-249</b>	<b>39.0</b>	<b>44.0</b>	<b>42.8</b>	<b>-1.2</b>

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**Table HC0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(8500) Community Health Administration</b>								
(8502) Cancer and Chronic Disease Prevention	5,505	6,100	7,794	1,694	21.7	16.8	25.0	8.2
(8503) Pharmaceutical Procurement and Distribution	-346	0	0	0	15.6	0.0	0.0	0.0
(8504) Primary Care	3,894	4,405	3,797	-608	3.3	5.0	7.0	2.0
(8510) Support Services	6,024	6,527	6,896	369	20.0	24.0	26.0	2.0
(8511) Perinatal and Infant Health	3,612	4,123	2,809	-1,314	40.2	47.8	32.0	-15.8
(8513) Nutrition and Physical Fitness	15,666	18,047	19,199	1,152	18.7	22.8	23.0	0.2
(8514) Children, Adolescent, and School Health	28,084	36,882	30,065	-6,816	30.3	34.0	35.0	1.0
<b>Subtotal (8500) Community Health Administration</b>	<b>62,439</b>	<b>76,083</b>	<b>70,559</b>	<b>-5,524</b>	<b>149.8</b>	<b>150.3</b>	<b>148.0</b>	<b>-2.3</b>
<b>(9960) Year End Close</b>								
No Activity Assigned	95	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (9960) Year End Close</b>	<b>95</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>249,794</b>	<b>272,701</b>	<b>258,221</b>	<b>-14,480</b>	<b>553.6</b>	<b>610.6</b>	<b>611.6</b>	<b>1.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Department of Health's (DOH) proposed FY 2016 gross budget is \$258,220,733, which represents a 5.3 percent decrease from its FY 2015 approved gross budget of \$272,700,826. The budget is comprised of \$75,182,176 in Local funds, \$5,000,000 in Federal Payments, \$114,619,156 in Federal Grant funds, \$13,294,846 in Special Purpose Revenue funds, and \$50,124,554 in Intra-District funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DOH's FY 2016 CSFL budget is \$79,242,205, which represents a \$360,440, or 0.5 percent, decrease from the FY 2015 approved Local funds budget of \$79,602,645.

### CSFL Assumptions

The FY 2016 CSFL calculated for DOH included adjustment entries that are not described in detail on table 5. These adjustments include reductions of \$2,980,000 to account for the removal of one-time funding appropriated in FY 2015 that includes the following one-time items: \$2,000,000 to support tobacco cessation efforts in the District and \$980,000 to support several initiatives within the Community Health Administration

division. Of that amount, \$480,000 supported a competitive grant to establish a comprehensive concussion protocol for children; \$200,000 for the Department of Health's efforts to prevent and address the impact of chronic illness; \$150,000 for a grant to provide clinical nutrition home delivery services for individuals living with cancer and other life-threatening diseases; \$100,000 to support teen peer educators who provide sexual and reproductive health education to their peers; and \$50,000 to support DOH initiatives that encourage corner store owners to incorporate produce in their offerings and provide nutrition education.

Additionally, adjustments were made for a net increase of \$513,001 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$792,274 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent. DOH's CSFL funding for the Fixed Costs Inflation Factor reflects an adjustment for an increase of \$64,390 to account for growth in two commodities, Energy and Rent. Additionally, adjustments were made for increases of \$700,000 for the restoration of one-time salary lapse in FY 2015, and \$549,895 in Other Adjustments to reflect the transfer of attorney's from the Office of the Attorney General and support the 4.3 Full-Time Equivalent (FTE) positions, and to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** The proposed Local funds budget includes a net increase to nonpersonal services in the amount of \$3,926,411. This includes the reallocation of \$3,875,000 in funding for the Access to Care and Home Visitation activities moving from Contractual Services to Subsidies and Transfers, \$33,150 to Equipment and Equipment Rental to procure necessary additions for the replacement of obsolete office equipment, and \$64,217 in Other Services and Charges to implement the Electronic Medical Records (EMR) system, all offset by a decrease of \$45,956 in Supplies and Materials. The Agency Management division includes a net increase to Fixed Costs totaling \$1,391,908 to cover the increase in rent at the 899 North Capitol Street location, which covers a 6th floor expansion project, and higher utility costs incurred at the offices on DC Village Lane in Southwest. It is important to note that the expansion project includes additional funding from Special Purpose Revenue and Federal Grants and will be referenced later in this section. In addition, personal services increased by \$494,615 to support projected salary increases, Fringe Benefits, and an additional 3.2 FTEs across multiple programs.

The proposed Federal Grant funds budget includes a net increase of \$1,828,988 to cover Home Visitation Services, Preventive Health Services Block grant, and the Cancer and Chronic Disease Prevention (CCDP) grant. Of this amount, \$595,975 reflects the increase to CCDP for the portion of responsibilities that were formerly administered by the Department of Behavioral Health. Fixed Costs support reflects an increase of \$556,586 in the Agency Management division to cover costs associated with the aforementioned expansion project. An increase of \$175,196 includes adjustments to Other Services and Charges for \$126,696 and \$48,500 in Equipment and Equipment Rental, of which \$40,000 from Equipment and Equipment Rental will cover costs incurred in the Health Emergency Preparedness and Response Administration. The remaining balance will cover training, fleet maintenance and technology costs.

The proposed Special Purpose Revenue funds budget reflects an increase of \$1,802,756 in personal services to support salary increases, projected Fringe Benefits costs and an additional 10.9 FTEs. Fixed Costs increased by \$199,998 to reflect the additional costs associated with an expansion project at a DOH facility.

The proposed Intra-District funds budget reflects a net increase of \$578,790 to nonpersonal services. This includes an increase of \$590,790 for Supplies and Materials that is offset by a reduction of \$12,000 in equipment costs to reflect the reduction of purchases associated with the Fire and Emergency Medical Services (FEMS) Department Memorandum of Understanding (MOU). Additionally, an increase in the amount of \$131,623 to personal services will support projected salary increases, Fringe Benefits and 1.0 FTE across multiple programs.

**Decrease:** The proposed budget includes a net reduction to Local funds in the amount of \$5,812,934. This reduction primarily reflects the transfer of funding for the Access to Care and Home Visitation activities from Contractual Services, as well as the reduction of FY 2015 one-time funding for chronic illness initiatives, teen pregnancy prevention, and school-based health center funding across multiple divisions.

The proposed Federal Grant funds budget includes a decrease of \$639,360 and 19.4 FTEs in personal services primarily due to loss of funding for Healthy Start grants. Contractual Services experienced a net reduction to Federal Grants in the amount of \$3,629,323. This is mainly due to reductions of \$1,702,528 in the Community Health Administration division resulting from the end of the grant cycle for the Maternal, Infant, and Early Childhood Home Visitation grants; and \$1,709,952 in the HIV/AIDS, Hepatitis, STD, Tuberculosis Administration (HAHSIA) division for HIV Emergency Relief Projects. The remaining decrease of \$216,844 reflects Contractual Services savings across multiple divisions. Supplies and Materials had a net reduction of \$4,794,524, mainly due to reductions in medical supply purchases. Lastly, the reduction of \$5,097,041 in Subsidies and Transfers reflects the loss of funding for subgrants that covers housing for persons with AIDS and those who support HIV Prevention.

The proposed Special Purpose Revenue funds budget reflects a net decrease of \$85,008 to Nonpersonal Services. This includes reductions of \$38,435 in Supplies and Materials due to a decreased need within the Pharmacy Protection fund and \$72,072 in Other Services and Charges to reflect reductions in information technology (IT) software acquisitions in the State Health Planning and Development activity. Both reductions were offset by an increase of \$25,000 in equipment costs to purchase software for cultivation centers and patient tracking efforts for medical marijuana, as well as the purchase of laptops for the Board of Medicine program. Contractual Services contain a decrease of \$1,015,663 to reflect the reduction of IT consultant contracts within the Board of Medicine fund.

In Intra-District funds, a net decrease of \$72,645 to Contractual Services – Other includes a decrease of \$112,170 to the MOU agreement with the Department of Health Care Finance (DHCF) for pharmaceutical procurement, partially offset by increases of \$24,975 to cover the Vital Statistics Information Management System (VSIMS) contract and \$14,550 for the Amerisource Bergen Inventory System, an inventory management solution that is specifically designed to automate all aspects of pharmacy operations and medication inventory management.

**Technical Adjustment:** The proposed budget includes a technical adjustment in the amount of \$29,482 in Local funds to accommodate the anticipated salary increases associated with the transfer of attorneys to the Department of Health.

### **Mayor's Proposed Budget**

**Enhance:** The proposed budget includes an increase of \$750,000 to expand the Joyful Food Markets. This funding will provide more than 4,200 children and their families with free, non-perishable groceries and fresh produce. Joyful Food Markets are monthly, pop-up grocery stores operating in high-needs schools throughout the District.

**Transfer-In/Enhance:** The proposed budget includes a transfer-in of \$266,782 and 3.0 FTEs from the Executive Office of the Mayor (EOM) to support the hiring and appointments for DOH's many boards and commissions. This combines a transfer in the amount of \$262,386 and an enhancement of \$4,395 to support the reassigned positions.

**Reduce:** The proposed budget includes reductions of \$2,000 to reflect a reduction in tuition reimbursements within the Health Emergency Preparedness and Response Administration (HEPRA) and \$5,967 in the Center for Policy, Planning, and Evaluation (CPPE), due to reduced equipment maintenance costs. A decrease of \$106,453 includes a reduction of \$56,453 from the elimination of a contract that provides inspections for the DC Jail. The Health Care Regulation and Licensing Administration (HRLA) division will now incorporate

the inspections in their workflows. In addition, a \$50,000 reduction in the Agency Management division reflects a reduction in contractual costs for communications support. A reduction of \$215,000 includes decreases of \$115,000 to align funding for community organizations for HIV prevention services based on service utilization and program effectiveness and \$100,000 to reflect the reduced need for a nursing contract, due to the agency directly filling key positions at the STD/TB clinic in FY 2016. A reduction of \$275,000 in the HIV/AIDS, Hepatitis, STD and Tuberculosis Administration (HAHSTA) reflects several reductions to daily operation requirements, which include decreases of \$100,000 to align with the redesigned capacity building program and plans for a more focused program to support strategic planning and innovative projects; \$75,000 to reflect a small reduction in local funding for its social marketing program; \$60,000 due to the agency's finance office directly assuming responsibility from HAHSTA for drawdown payments for Housing Opportunities for Persons with AIDS (HOPWA); and \$40,000 to reflect the reduction in need for temporary staff to manually enter data into the D.C. Public Health Information System as a result of expected completion of the Electronic Lab Reporting interface. A reduction of \$374,872 reflects a modest reduction in grants to align funding with resources. Personal services were reduced by \$502,000 to reflect salary lapse savings. A reduction of \$625,000 includes decreases of \$500,000 to reflect the reduction in need for HIV point-of-care test kits as HAHSTA continues its strategy to promote integration of HIV testing in primary medical care that can be supported through insurance billing without the need for publicly funded point-of-care tests, and \$125,000 to reflect the reduced need for public funding of laboratory testing, as more and more patients are covered for testing under insurance. Funding will remain to help those without insurance and focus populations like young persons for confidential testing. A reduction of \$3,000,000 reflects the delay of procurement activities for School Health IT infrastructure development and data system upgrades, as well as aligning the budget for school nurses with anticipated need.

**Transfer-Out:** In Local funds, DOH will transfer 1.0 FTE to the Office of Contracting and Procurement (OCP) to support the Procurement Practices Reform Act of 2010 initiatives. Additionally, Federal Grant funds were reduced by 1.0 FTE due to the same initiative.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HC0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HC0-5**

(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>79,603</b>	<b>171.1</b>
Removal of One-Time Funding	Multiple Programs	-2,980	0.0
Other CSFL Adjustments	Multiple Programs	2,620	4.3
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>79,242</b>	<b>175.4</b>
Increase: To align funding with nonpersonal services costs	Multiple Programs	3,926	0.0
Increase: To align Fixed Costs with proposed estimates	Agency Management	1,392	0.0
Increase: To adjust personal services	Multiple Programs	495	3.2
Decrease: To adjust the Contractual Services budget	Multiple Programs	-5,813	0.0
Technical Adjustment: To support performance-related pay adjustments and negotiated pay increases for Attorneys	Multiple Programs	29	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>79,272</b>	<b>178.6</b>
Enhance: To provide fresh food alternatives at community locations	Community Health Administration	750	0.0
Transfer-In/Enhance: To provide support for boards and commissions hiring/appointments	Community Health Administration	267	3.0
Reduce: Reduction in tuition reimbursements	Health Emergency Preparedness and Response Administration	-2	0.0
Reduce: Reduction in equipment maintenance costs	Center for Policy, Planning, and Evaluation	-6	0.0
Reduce: Reduction in contracts; agency will support workflows	Multiple Programs	-106	0.0
Reduce: To restructure based on program needs and usage	HIV/AIDS, Hepatitis, STD, and TB Administration	-215	0.0
Reduce: To reflect cost efficiencies across several daily operations and restructure based on need	HIV/AIDS, Hepatitis, STD, and TB Administration	-275	0.0
Reduce: To align resources with operational goals	Community Health Administration	-375	0.0
Reduce: To adjust personal services	Multiple Programs	-502	0.0
Reduce: Reduction in need for locally funded test kits and lab testing	HIV/AIDS, Hepatitis, STD, and TB Administration	-625	0.0
Reduce: Delay procurement activities for IT development and align school nurse budget with anticipated needs	Community Health Administration	-3,000	0.0
Transfer-Out: To OCP to support the Procurement Practices Reform Act of 2010 initiatives	Agency Management	0	-1.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>75,182</b>	<b>180.6</b>
<b>FEDERAL PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>5,000</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>5,000</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>5,000</b>	<b>0.0</b>

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**Table HC0-5 (Continued)**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>126,219</b>	<b>342.8</b>
Increase: To support program initiative(s)	Multiple Programs	1,829	0.0
Increase: To align Fixed Costs with proposed estimates	Agency Management	557	0.0
Increase: To align funding with nonpersonal services costs	Multiple Programs	175	0.0
Decrease: To adjust personal services	Multiple Programs	-639	-19.4
Decrease: To adjust the Contractual Services budget	Multiple Programs	-3,629	0.0
Decrease: To align resources with operational goals	Multiple Programs	-4,795	0.0
Decrease: To streamline operation efficiency	HIV/AIDS, Hepatitis, STD, and TB Administration	-5,097	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>114,619</b>	<b>323.4</b>
Transfer-Out: To OCP to support the Procurement Practices Reform Act of 2010 initiatives	Agency Management	0	-1.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>114,619</b>	<b>322.4</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>12,393</b>	<b>86.3</b>
Increase: To adjust personal services	Multiple Programs	1,803	10.9
Increase: To align Fixed Costs with proposed estimates	Agency Management	200	0.0
Decrease: To align funding with nonpersonal services costs	Multiple Programs	-85	0.0
Decrease: To adjust the Contractual Services budget	Health Care Regulation and Licensing Administration	-1,016	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>13,295</b>	<b>97.2</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>13,295</b>	<b>97.2</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>49,487</b>	<b>10.4</b>
Increase: To align funding with nonpersonal services costs	Health Emergency Preparedness and Response Administration	579	0.0
Increase: To adjust personal services	Multiple Programs	132	1.0
Decrease: To adjust the Contractual Services budget	Multiple Programs	-73	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>50,125</b>	<b>11.4</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>50,125</b>	<b>11.4</b>
<b>Gross for HC0 - Department of Health</b>		<b>258,221</b>	<b>611.6</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency has the following objectives and performance indicators for their divisions:

### Center for Policy, Planning, and Evaluation

**Objective 1:** Promote the availability of accessible, high quality and affordable health care services, especially in underserved areas.

**Objective 2:** Process vital records in a timely manner to ensure quality customer service.

**Objective 3:** Conduct the Behavioral Risk Factor Surveillance System Survey (BRFSS).

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## KEY PERFORMANCE INDICATORS

### Center for Policy, Planning, and Evaluation

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of Certificates of Need (CONs) reviewed	37	30	20	25	25	25
Percent of Health Professional Loan Repayment Program (HPLRP) participants that are practicing primary care	61%	60%	60%	60%	60%	60%
Percent of Tobacco Settlement Funds (TSF)-funded health center and hospital projects operational and serving the public	61%	60%	90%	100%	Not Available <sup>1</sup>	Not Available
Percent of vital records processed within 30 minutes	95%	95%	89.2%	95%	95%	95%
Number of Behavioral Risk Factor Surveillance System (BRFSS) surveys completed <sup>2</sup>	4,837	5,000	5,244	5,000	5,000	5,000



## Community Health Administration (CHA)

**Objective 1:** Maintain the delivery of safety-net services provided to low-income, uninsured residents through Project WISH in efforts to reduce breast and cervical cancer mortality rates in the District of Columbia.

**Objective 2:** Expand nutrition education and increase access to healthy foods as part of efforts to lower the District's obesity rate.

**Objective 3:** Improve the identification and treatment of infants at risk for developmental delays through referral and parent education.

**Objective 4:** Improve immunization rates among children enrolled in District of Columbia Public Schools (DCPS), District of Columbia Public Charter Schools, as well as Private and Parochial Schools.

**Objective 5:** Increase the number of young children in the District who are ready for school.

## KEY PERFORMANCE INDICATORS

### Community Health Administration (CHA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of breast screening and diagnostic procedures performed	675	680	2,382	832	832	832
Number of cervical screening and diagnostic procedures performed	250	295	419	325	325	325
Number of Supplemental Nutrition Assistance Program Education (SNAP-Ed) participants receiving nutrition education <sup>3</sup> [Sustainable DC Plan Action FD2.3]	8,552	45,000	22,628	16,000	16,000	16,000
Percent of parents receiving educational counseling for newborn hearing loss	96.3%	90%	93.9%	94%	95%	95%
Percent of infants that receive documented follow up care after the first referral	67%	65%	65.3%	75%	80%	80%
Percent of children with up-to-date immunizations <sup>4</sup>	87.2%	90%	83.1%	92%	92%	92%
Number of families in the DC Home Visiting program, early childhood visits	201	360	180	360	360	TBD <sup>5</sup>

## Health Emergency Preparedness and Response Administration (HEPRA)

**Objective 1:** Improve Administrative Services with Customer & Stakeholder Feedback/Satisfaction Surveys.

**Objective 2:** Improve and sustain public health emergency preparedness and response activities within the District.

**Objective 3:** Assure the provision of pharmaceutical services in a cost effective, clinically proficient manner to maximize District residents' access to life saving medications.

### KEY PERFORMANCE INDICATORS

#### Health Emergency Preparedness and Response Administration (HEPRA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of survey reports that are sent out to stakeholders and customers	416	500	2,748	3,000	3,500	4,000
Percent of applicable staff trained on National Incident Management System (NIMS) Incident Command System (ICS) 100, 200, 700 and 800	Not Available	Not Available	Not Available	100%	100%	100%
Percent of applicable staff trained on NIMS ICS 300 and 400	100%	100%	94.6%	100%	100%	100%
Total number of ambulance inspections	522	375	360	400	435	435
Percent of prescription claims processed and replenished annually within five business days	Not Available	Not Available	Not Available	100%	100%	100%

## HIV/AIDS, Hepatitis, STD, and TB Administration

**Objective 1:** Reduce transmission/prevent new infections of HIV, STD, TB, and Hepatitis through early diagnosis and treatment, harm reduction, and behavior change interventions.

**Objective 2:** Improve care and treatment outcomes, as well as quality of life, for HIV/AIDS-infected individuals through increased access to, retention in, and quality of care and support services, as part of the District's adoption of the National HIV/AIDS Strategy, with targets to be accomplished by 2015.

**Objective 3:** Increase, monitor and evaluate the number of persons recommended for screening of Hepatitis C and linkage to care for persons diagnosed (Age-Friendly DC: Domain 8).

### KEY PERFORMANCE INDICATORS

#### HIV/AIDS, Hepatitis, STD, and TB Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of new HIV/AIDS cases reported within the fiscal year	330	700	360	650	600	600
Number of publicly supported HIV tests reported	138,317	125,000	76,462	125,000	125,000	125,000
Number of needles off the streets through D.C. Needle Exchange (NEX) program	549,464	500,000	696,807	550,000	550,000	550,000
Number of condoms (female and male) distributed by D.C. DOH Condom program	5,747,000	5,000,000	5,249,850	6,000,000	6,000,000	6,000,000
Number of youth (15-19 years) screened for STDs through youth outreach programs	5,870	7,500	3,825	7,500	7,500	7,500
Percent of clients linked to care within 3 months of diagnosis	84%	85%	88%	85%	85%	85%
Percent of recommended persons who were screened once in their lifetime for Hepatitis C	Not Available	Not Available	Not Available	75%	75%	75%

## Health Regulation and Licensing Administration

**Objective 1:** Build quality and safety in health-systems and facilities by conducting annual licensure and federal certification inspections of health care facilities.

**Objective 2:** Build quality and safety in intermediate care facilities by conducting annual licensure and federal certification inspections of intermediate care facilities for individuals with intellectual disabilities (ICF/IIDs), along with monitoring inspections of residential facilities, home care agencies child placing agencies, and licensure oversight to nurse staffing agencies.

**Objective 3:** Protect the public health and safety of residents and visitors in the District through the prevention of food-borne outbreaks and protect the food supply through inspections.

**Objective 4:** Build quality and safety in pharmaceutical and controlled substance facilities by conducting annual licensure inspections.

**Objective 5:** Conduct timely animal surveillance and disease control to protect residents and visitors.

**Objective 6:** Protect the health and safety of residents and visitors through the reduction of rodent activity.

## KEY PERFORMANCE INDICATORS

### Health Regulation and Licensing Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of inspections completed by the Health Care Facilities Division (HCFD)	168	111	161	112	112	112
Number of inspections completed by the Immediate Care Facilities Division (ICFD)	202	220	204	260	270	280
Number of food establishment inspections generated by complaints	795	505	726	500	400	300
Number of food establishment closures as a result of failing to minimizing the presences of insects, rodents and other pests	86	100	206	200	150	100
Percent of pharmaceutical facility inspections conducted	90%	90%	90%	90%	90%	90%
Number of rabies-suspect animals submitted for testing within the required timeframe for notification	231	380	416	300	300	300
Number of premises inspected for rodent activity	53,124	50,000	41,063	75,000	75,000	75,000

**Office of the Director (OD)**

**Objective 1:** Ensure the development and retention of a competent workforce.

**Objective 2:** Use information systems that support the health department’s mission and workforce by providing infrastructure for data collection/analysis, program management, and communication.

**Objective 3:** Oversee the implementation of agencywide priorities.

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**KEY PERFORMANCE INDICATORS**

**Office of the Director (OD)**

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of eligible employee reviews completed on time <sup>6</sup>	64%	90%	Not Available	90%	90%	90%
Percent of managers trained on Quality Improvement (QI)	20%	90%	31.9%	90%	100%	100%
Percent of non-managers trained on QI	15%	50%	12.8%	50%	75%	90%
Number of documents converted to the electronic file management system	Not Available	Not Available	Not Available	81,600	89,000	98,000

**Performance Plan Endnotes:**

<sup>1</sup>After reaching the FY 2015 Target of 100 percent completion, this KPI will be removed.

<sup>2</sup>This measure is based upon the industry standard. CDC requires each state participating in the BRFSS to have a sample size of no less than 4,000.

<sup>3</sup>Participation numbers include those served by CHA and its partners - UDC and Capital Area Food Bank. In 2011, funding was cut from \$2.5 million to \$1.5 million, and USDA ceased providing the 50 percent cash match for nutrition education provided.

<sup>4</sup>This measure is based upon the industry standard. The U.S. Department of Health and Human Services established through Healthy People 2020 that 95 percent of children enrolled in kindergarten should have their required shots. Data indicates that this 95 percent is achieved nationally. For adolescents, the target drops to 80 percent as data indicates that only about 45 percent of adolescents received required vaccinations.

<sup>5</sup>Currently, the early childhood home visiting program only has funding until the end of FY 2016.

<sup>6</sup>Interns, as well as, employees utilizing the Family and Medical Leave Act limit the Department’s ability to reach 100 percent; the target is hence set for 90 percent completion.

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# Department of Parks and Recreation

[www.dpr.dc.gov](http://www.dpr.dc.gov)

Telephone: 202-673-7647

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$39,035,118	\$45,561,683	\$44,252,694	-2.9
FTEs	501.5	601.0	602.0	0.2

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The mission of the Department of Parks and Recreation (DPR) is to enhance the quality of life and wellness of District of Columbia residents and visitors by providing equal access to affordable and quality recreational services and by organizing meaningful programs, activities, and events.

## Summary of Services

DPR provides a wide range of recreational activities to individuals and groups of all ages throughout the District of Columbia, including aquatics, athletics, fitness, urban camps, therapeutic recreation, environmental education, and food and nutrition programs.

To offer such diversified activities and services, DPR promotes recreation and leisure at approximately 1,000 acres of parkland, 73 recreation and community centers, 38 aquatic facilities, and several additional recreational facilities including playgrounds, athletic fields, and play courts.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HA0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	33,244	34,593	40,877	39,237	-1,640	-4.0
Special Purpose Revenue Funds	1,602	2,374	2,420	2,541	121	5.0
<b>Total for General Fund</b>	<b>34,846</b>	<b>36,968</b>	<b>43,297</b>	<b>41,778</b>	<b>-1,519</b>	<b>-3.5</b>
<b>Private Funds</b>						
Private Grant Funds	43	3	0	0	0	N/A
Private Donations	33	8	0	0	0	N/A
<b>Total for Private Funds</b>	<b>76</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	2,036	2,056	2,265	2,475	210	9.3
<b>Total for Intra-District Funds</b>	<b>2,036</b>	<b>2,056</b>	<b>2,265</b>	<b>2,475</b>	<b>210</b>	<b>9.3</b>
<b>Gross Funds</b>	<b>36,958</b>	<b>39,035</b>	<b>45,562</b>	<b>44,253</b>	<b>-1,309</b>	<b>-2.9</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table HA0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HA0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	473.6	497.2	598.1	599.0	0.9	0.2
<b>Total for General Fund</b>	<b>473.6</b>	<b>497.2</b>	<b>598.1</b>	<b>599.0</b>	<b>0.9</b>	<b>0.2</b>
<b>Intra-District Funds</b>						
Intra-District Funds	4.9	4.3	2.9	3.0	0.1	3.4
<b>Total for Intra-District Funds</b>	<b>4.9</b>	<b>4.3</b>	<b>2.9</b>	<b>3.0</b>	<b>0.1</b>	<b>3.4</b>
<b>Total Proposed FTEs</b>	<b>478.5</b>	<b>501.5</b>	<b>601.0</b>	<b>602.0</b>	<b>1.0</b>	<b>0.2</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HA0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HA0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	15,601	16,874	25,057	25,655	598	2.4
12 - Regular Pay - Other	7,975	6,770	4,935	3,328	-1,608	-32.6
13 - Additional Gross Pay	649	548	135	135	0	0.0
14 - Fringe Benefits - Current Personnel	5,447	5,565	6,931	6,814	-117	-1.7
15 - Overtime Pay	283	665	138	138	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>29,955</b>	<b>30,422</b>	<b>37,197</b>	<b>36,070</b>	<b>-1,127</b>	<b>-3.0</b>
20 - Supplies and Materials	1,114	1,337	1,345	1,325	-20	-1.5
31 - Telephone, Telegraph, Telegram, Etc.	26	6	10	0	-10	-100.0
40 - Other Services and Charges	873	1,133	1,230	1,281	51	4.2
41 - Contractual Services - Other	4,534	4,744	5,092	4,805	-287	-5.6
50 - Subsidies and Transfers	0	0	100	231	131	131.0
70 - Equipment and Equipment Rental	457	1,393	588	541	-48	-8.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>7,003</b>	<b>8,613</b>	<b>8,365</b>	<b>8,183</b>	<b>-182</b>	<b>-2.2</b>
<b>Gross Funds</b>	<b>36,958</b>	<b>39,035</b>	<b>45,562</b>	<b>44,253</b>	<b>-1,309</b>	<b>-2.9</b>

\*Percent change is based on whole dollars.



## Division Description

The Department of Parks and Recreation operates through the following 8 divisions:

**Office of the Director** – provides vision and guidance to senior managers to achieve the agency’s mission and goals.

This division contains the following 2 activities:

- **Director’s Office** – provides vision for, and support to, the department in order to guide senior managers in a direction most advantageous to the government and residents of the District of Columbia; and
- **Data and Accountability** – collects and reports data on agency functions, such as program participation, work orders request and completion, and facility usage, to inform decision-making.

**Programs** – plans and collaborates with community groups, non-profit organizations, and volunteers to provide programming and other services at DPR facilities.

This division contains the following 10 activities:

- **Aquatics - Programs** – provides swimming instruction, fitness, and competitive opportunities to District residents and visitors;
- **Aquatics - Operations** – provides personnel, programs, and equipment to ensure the safety of District residents and visitors at DPR aquatic facilities;
- **Sports, Health, and Fitness** – provides structured and self-directed recreational sports, health, and fitness programs to District residents and visitors;
- **Seasonal Camps** – provides direct services to thousands of youth during the school breaks, including a wide range of access to pools, trails, and courts, as well as supervised activities at recreation centers;
- **Early Childhood** – provides daily services to District children aged 3 to 5 years old at locations throughout the city and engages children in various developmentally appropriate activities;
- **Middle Childhood** – provides specialized programming to District youth ages 6 -12;
- **Teen** – provides specialized programming and outreach to District youth aged 9 to 21 years by providing opportunities in education and community services, and manages the summer youth employment program in partnership with the Department of Employment Services;
- **Senior Services** – provides recreational, seasonal, social, educational, health promotion, and transportation services to District residents and visitors aged 50 years old or older;
- **Therapeutic Recreation** – provides comprehensive rehabilitative services to persons of all abilities, particularly to persons with disabilities; and
- **Environmental and the Great Outdoors** – provides District area residents of all ages with outdoor recreation and environmental education experiences to cultivate a genuine connection to the great outdoors and to activate responsibility and stewardship for the environment.

**Partnerships and Development** – provides support to increase external financial and partner support of DPR’s goals and objectives and to decrease reliance on the District’s General Fund through the solicitation and management of grants, donations, partnerships, sponsorships, and volunteer resources.

This division contains the following 3 activities:

- **Partnership and Volunteers** – recruits, manages and supports volunteer activities and develops outside partnerships to provide programming at DPR facilities and sustain the overall mission of DPR;
- **Donations and Grants** – solicits, facilitates, tracks and writes donation and grant agreements that support DPR’s goal of increasing its fiscal sustainability; and
- **Business Development** – works to develop new non-Local fund revenue sources that support DPR’s programs and initiatives.

**Park Policy and Programs** – manages the programming of all small parks and community garden properties and provides leadership in the agency’s policies and sustainability efforts across the District.

This division contains the following 4 activities:

- **Small Parks** – manages the programming for small parks and provides leadership in the agency’s policy efforts focused on small parks;
- **Community Gardens** – provides programming and outreach for community gardens across the District and provides leadership in the agency’s gardening policies;
- **Sustainability** – incorporates environmental stewardship priorities in both agency programs and internal operations and promotes educational and experiential opportunities to broaden citizens’ environmental awareness; and
- **Planning Capital Projects** – responsible for identifying and project managing capital improvements and provides planning and park development services to the District community, internal and external to DPR.

**Area Management** – supervises facility operations; manages staff; administers programs, activities and special events (budgets, planning, implementation, marking and evaluation; and provides strategic planning for recreation and leisure activities for District residents.

This division contains the following 11 activities:

- **Recreation Programs and Areas 1-10** – manages the programming of recreational facilities and provide leadership and supervision of site staff in all wards.

**Operations** – oversees the maintenance of over 900 acres of parkland and 68 facilities across the District of Columbia, including recreation and community centers, pools, playgrounds, athletic fields, and play courts. These resources are managed and operated to support recreational programs and activities and to provide open recreational spaces for customers.

This division contains the following 6 activities:

- **Stagecraft** – accommodates special events for the enjoyment of District residents by providing tools, equipment and installation support;
- **Warehouse** – receives all shipments for DPR and provides sufficient space and expertise to assemble, house, and manage shipments of materials, equipment, and supplies;
- **Permit Services** – allocates use permits for ball fields, parks, picnic areas, and other facilities operated and maintained by DPR;
- **Food and Nutrition Services** – provides nutritious meals and nutritional supplements to eligible children and families in the District of Columbia enrolled in recreational programming in outside school hours care centers;
- **Support Services** – provides tools, equipment, and installation to successfully support events throughout the community; and
- **Park Monitors** – secures and promotes safe and sound settings for park visitors.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

## Division Structure Change

The proposed division structure changes are provided in the Agency Realignment appendix to the proposed budget, which is located at [www.cfo.dc.gov](http://www.cfo.dc.gov) on the Annual Operating Budget and Capital Plan page.

### FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table HA0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HA0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	567	600	701	101	5.5	6.0	7.0	1.0
(1015) Training and Employee Development	199	271	273	2	0.0	0.0	0.0	0.0
(1020) Contracting and Procurement	191	314	82	-232	3.6	4.0	1.0	-3.0
(1040) Information Technology	467	557	755	198	2.7	3.0	4.0	1.0
(1055) Risk Management	197	186	206	20	1.8	2.0	2.0	0.0
(1060) Legal	0	0	258	258	0.0	0.0	2.0	2.0
(1070) Fleet Management	1,274	1,465	1,667	202	15.6	17.0	18.0	1.0
(1080) Communications	375	304	608	304	2.7	3.0	7.0	4.0
(1085) Customer Service	67	105	224	119	1.8	2.0	3.0	1.0
(1087) Language Access	2	5	114	109	0.0	0.0	1.0	1.0
<b>Subtotal (1000) Agency Management</b>	<b>3,339</b>	<b>3,807</b>	<b>4,888</b>	<b>1,081</b>	<b>33.8</b>	<b>37.0</b>	<b>45.0</b>	<b>8.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Agency Budget Operations	131	137	149	13	0.9	1.0	1.0	0.0
(120F) Agency Accounting Operations	244	237	256	19	2.7	3.0	3.0	0.0
(130F) Agency Fiscal Officer Operations	157	174	179	5	0.9	1.0	1.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>533</b>	<b>548</b>	<b>585</b>	<b>36</b>	<b>4.6</b>	<b>5.0</b>	<b>5.0</b>	<b>0.0</b>
<b>(2500) Office of the Director</b>								
(2511) Director's Office	508	566	851	285	3.7	4.0	6.0	2.0
(2533) Community Relations	1	0	0	0	0.0	0.0	0.0	0.0
(2555) Data and Accountability	64	71	75	4	0.9	1.0	1.0	0.0
<b>Subtotal (2500) Office of the Director</b>	<b>574</b>	<b>637</b>	<b>926</b>	<b>289</b>	<b>4.6</b>	<b>5.0</b>	<b>7.0</b>	<b>2.0</b>
<b>(3400) Recreational</b>								
(3460) Program Development	-12	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (3400) Recreational</b>	<b>-12</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Continued on next page)

**Table HA0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(3600) Programs</b>								
(3606) Recreation Programs	2,028	2,362	0	-2,362	11.0	8.0	0.0	-8.0
(3610) Aquatics - Programs	1,037	1,004	657	-347	10.1	11.0	6.0	-5.0
(3611) Aquatics - Operations	3,945	5,171	5,980	810	109.2	113.2	116.5	3.3
(3616) Sports, Health, and Fitness	3,140	3,687	2,018	-1,669	39.8	43.5	14.5	-29.0
(3626) Seasonal Camps	4,176	4,479	2,548	-1,932	3.2	53.1	54.0	0.9
(3631) Early Childhood (Ages 3-5)	632	701	991	290	11.1	12.3	17.2	4.9
(3636) Middle Childhood (Ages 6-12)	20	20	20	0	0.0	0.0	0.0	0.0
(3640) Teen	3,489	3,669	3,614	-55	44.6	51.5	49.2	-2.3
(3645) Adult	210	261	0	-261	3.6	4.0	0.0	-4.0
(3650) Senior Services	795	1,134	884	-250	16.0	15.5	13.0	-2.5
(3655) Therapeutic Recreation	576	829	921	92	7.8	12.5	13.5	1.0
(3658) Environment and the Great Outdoors	0	0	126	126	0.0	0.0	1.0	1.0
(3661) Ward Management	1,093	1,236	0	-1,236	12.8	14.0	0.0	-14.0
(3665) Community Recreation - Ward 1	380	505	0	-505	8.0	8.8	0.0	-8.8
(3670) Community Recreation - Ward 2	413	516	0	-516	6.4	7.0	0.0	-7.0
(3675) Community Recreation - Ward 3	834	938	0	-938	13.9	16.8	0.0	-16.8
(3680) Community Recreation - Ward 4	1,334	1,447	0	-1,447	20.1	23.0	0.0	-23.0
(3685) Community Recreation - Ward 5	1,322	1,639	0	-1,639	28.8	30.0	0.0	-30.0
(3690) Community Recreation - Ward 6	983	1,194	0	-1,194	19.2	21.5	0.0	-21.5
(3695) Community Recreation - Ward 7	1,875	2,128	0	-2,128	31.9	38.0	0.0	-38.0
(3699) Community Recreation - Ward 8	728	1,689	0	-1,689	18.8	23.9	0.0	-23.9
<b>Subtotal (3600) Programs</b>	<b>29,007</b>	<b>34,609</b>	<b>17,759</b>	<b>-16,851</b>	<b>416.3</b>	<b>507.5</b>	<b>285.0</b>	<b>-222.6</b>
<b>(3700) Partnerships and Development</b>								
(3710) Partnerships and Volunteers	109	204	70	-135	2.7	3.0	1.0	-2.0
(3720) Donations and Grants	60	66	68	2	0.9	1.0	1.0	0.0
(3730) Business Development	82	270	228	-42	1.8	2.0	2.0	0.0
<b>Subtotal (3700) Partnerships and Development</b>	<b>251</b>	<b>540</b>	<b>366</b>	<b>-175</b>	<b>5.5</b>	<b>6.0</b>	<b>4.0</b>	<b>-2.0</b>
<b>(3800) Park Policy and Programs</b>								
(3805) Small Parks	63	276	276	0	1.8	5.0	4.0	-1.0
(3810) Community Gardens	62	174	244	70	1.8	2.0	2.5	0.5
(3815) Sustainability	55	66	63	-3	0.9	1.0	1.0	0.0
(3825) Planning Capital Projects	0	0	411	411	0.0	0.0	4.0	4.0
<b>Subtotal (3800) Park Policy and Programs</b>	<b>180</b>	<b>516</b>	<b>994</b>	<b>479</b>	<b>4.6</b>	<b>8.0</b>	<b>11.5</b>	<b>3.5</b>

(Continued on next page)

**Table HA0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(3900) Area Management</b>								
(3905) Recreation Programs	0	0	2,573	2,573	0.0	0.0	14.3	14.3
(3910) Area 1	0	0	1,135	1,135	0.0	0.0	18.0	18.0
(3915) Area 2	0	0	1,073	1,073	0.0	0.0	18.2	18.2
(3920) Area 3	0	0	1,195	1,195	0.0	0.0	20.0	20.0
(3925) Area 4	0	0	965	965	0.0	0.0	15.0	15.0
(3930) Area 5	0	0	1,225	1,225	0.0	0.0	21.0	21.0
(3935) Area 6	0	0	1,192	1,192	0.0	0.0	21.0	21.0
(3940) Area 7	0	0	1,319	1,319	0.0	0.0	23.5	23.5
(3945) Area 8	0	0	1,457	1,457	0.0	0.0	25.0	25.0
(3950) Area 9	0	0	1,158	1,158	0.0	0.0	19.5	19.5
(3955) Area 10	0	0	1,336	1,336	0.0	0.0	22.5	22.5
<b>Subtotal (3900) Area Management</b>	<b>0</b>	<b>0</b>	<b>14,627</b>	<b>14,627</b>	<b>0.0</b>	<b>0.0</b>	<b>218.1</b>	<b>218.1</b>
<b>(4500) Operations</b>								
(4540) Stagecraft	0	0	255	255	0.0	0.0	3.0	3.0
(4550) Warehouse	0	0	273	273	0.0	0.0	4.5	4.5
(4570) Permit Services	526	565	249	-316	4.6	5.0	3.0	-2.0
(4580) Food and Nutrition Services	2,038	2,261	2,348	87	5.2	3.0	3.0	0.0
(4590) Planning Capital Projects	1,135	580	0	-580	2.7	3.0	0.0	-3.0
(4595) Support Services	797	832	104	-728	10.5	11.5	0.0	-11.5
(4596) Park Monitors	667	666	879	213	9.2	10.0	13.0	3.0
<b>Subtotal (4500) Operations</b>	<b>5,163</b>	<b>4,904</b>	<b>4,108</b>	<b>-796</b>	<b>32.1</b>	<b>32.5</b>	<b>26.5</b>	<b>-6.0</b>
<b>Total Proposed Operating Budget</b>	<b>39,035</b>	<b>45,562</b>	<b>44,253</b>	<b>-1,309</b>	<b>501.5</b>	<b>601.0</b>	<b>602.0</b>	<b>1.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Department of Parks and Recreation's (DPR) proposed FY 2016 gross budget is \$44,252,694, which represents a 2.9 percent decrease from its FY 2015 approved gross budget of \$45,561,683. The budget is comprised of \$39,236,694 in Local funds, \$2,541,000 in Special Purpose Revenue funds, and \$2,475,000 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DPR's FY 2016 CSFL budget is \$41,850,810, which represents a \$974,127, or 2.4 percent, increase over the FY 2015 approved Local funds budget of \$40,876,683.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DPR included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$325,000 to account for the removal of one-time funding appropriated in FY 2015 for competitive grants to provide improvements to Kenilworth Parkside community park and the Summer Food Service program. Additionally, adjustments were made for a net increase of \$1,101,039 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and \$40,599 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DPR's CSFL funding for Operating Impact of Capital reflects an adjustment for an increase of \$193,348 to reflect the FY 2016 operating impact of projected expenditures from completed capital projects. Additionally, an adjustment was made for a decrease of \$35,860 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** In Local funds, the proposed budget reflects a net increase of \$222,916 across multiple divisions to support activities at community recreation centers across the District and to cover adjustments to supplies, equipment, and other operational costs.

In Special Purpose Revenue funds, the proposed budget includes an increase of \$121,000 across multiple divisions to align funding with projected revenue generated from fees from users of DPR facilities, activities, and developers.

In Intra-District funds, the proposed budget includes an increase of \$210,000 and 0.1 Full-Time Equivalent (FTE) primarily in the Operations division to support a food services contract that provides meals during the peak summer period. DPR provides these services to the Office of the State Superintendent of Education (OSSE).

**Decrease:** In Local funds, the proposed budget reflects a net decrease of \$222,916 and 0.1 FTE to account for salary and Fringe Benefits adjustments across multiple divisions and to align the budget with projected personal services costs. This adjustment also provides offsets to the increased cost of nonpersonal services.

**Technical Adjustment:** DPR's Local funds proposed budget reflects an increase of \$6,343 within the Programs division to support performance-related pay adjustments for attorneys.

### **Mayor's Proposed Budget**

**Enhance:** DPR's Local funds proposed budget reflects an increase of \$23,303 within the Operations division to support and annualize the cost of existing positions.

**Reduce:** In Local funds, the proposed budget includes saving of \$55,000 in IT and Supplies \$67,224 in the Agency Management program and \$132,933 in nonpersonal services costs resulting from duplicate costs for fleet management services and \$267,524 in Contractual Services largely as a result of re-negotiation of rates.

Additionally, the Local funds budget includes a reduction of \$2,022,700 in personal services largely due to projected salary lapse savings and a reduction in contractual services. Part of the reduction includes the removal of an enhancement to programming in the current fiscal year that has not yet started. This will not reduce the current level of programming at DPR but give the Mayor an opportunity to take a hard look at all of the summer programs offered across the government to look at where D.C. should consolidate efforts and where D.C. should invest to expand programs.

**Transfer-Out:** The proposed budget in Local funds includes a reduction of \$98,381 and 1.0 FTE in personal services costs to account for a position and its associated salaries costs transferred from DPR to the Office of Contracting and Procurement in support of the Procurement Practices Reform Act of 2010.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HA0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HA0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>40,877</b>	<b>598.1</b>
Removal of One-Time Funding	Multiple Programs	-325	0.0
Other CSFL Adjustments	Multiple Programs	1,299	2.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>41,851</b>	<b>600.1</b>
Increase: To align funding with nonpersonal services costs	Multiple Programs	223	0.0
Decrease: To adjust personal services	Multiple Programs	-223	-0.1
Technical Adjustment: To support performance-related pay adjustments and negotiated pay increases for Attorneys	Multiple Programs	6	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>41,857</b>	<b>600.0</b>
Enhance: To support the annualization costs of certain salaries	Operations	23	0.0
Reduce: To align Fixed Costs with proposed estimates	Multiple Programs	-55	0.0
Reduce: Reduction in printing and duplication costs	Multiple Programs	-67	0.0
Reduce: To align funding with nonpersonal services costs	Multiple Programs	-133	0.0
Reduce: To adjust the Contractual Services budget	Multiple Programs	-268	0.0
Reduce: To adjust personal services	Multiple Programs	-2,023	0.0
Transfer-Out: Positions and salaries transferring from DPR to the Office of Contracting and Procurement	Multiple Programs	-98	-1.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>39,237</b>	<b>599.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>2,420</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Multiple Programs	121	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>2,541</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,541</b>	<b>0.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>2,265</b>	<b>2.9</b>
Increase: To align budget with projected revenues	Multiple Programs	210	0.1
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>2,475</b>	<b>3.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,475</b>	<b>3.0</b>
<b>Gross for HA0 - Department of Parks and Recreation</b>		<b>44,253</b>	<b>602.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

### Programs

**Objective 1:** Provide equal access to high-quality programs that are outcome-based and focused on the user.

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## KEY PERFORMANCE INDICATORS

### Programs

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of participants who met program goals <sup>1</sup>	83%	85%	85%	86%	88%	88%
Percent of programs rated at 70 percent or higher	89%	88%	90.6%	92%	92%	92%
Percent of program utilization <sup>2</sup>	78%	78%	74.5%	75%	78%	78%

### Partnerships and Development

**Objective 1:** Deliver outstanding partners, volunteers, and external resources to support program goals and fill asset gaps.

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## KEY PERFORMANCE INDICATORS

### Partnerships and Development

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of annual operating budget supported by external sources <sup>3</sup>	7%	7%	13.3%	15%	16%	17%
Number of sponsorships executed	Not Applicable	10	Not Applicable	5	5	10

## Operations

**Objective 1:** Ensure that facilities, resources, and equipment are functioning in support of recreation programs and activities.

**Objective 2:** Incorporate environmental stewardship in both agency programs and internal operations.

**Objective 3:** Increase access to healthy foods.

### KEY PERFORMANCE INDICATORS

#### Operations

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of playgrounds renovated <sup>4</sup>	15	13	16	10	5	5
Number of DPR playgrounds with Americans with Disabilities Act (ADA) accessibility plan implemented <sup>5</sup>	15	15	16	10	15	15
Number of Community Gardening Classes	18	22	25	70	80	100
Percent of playgrounds with recycling and trash reduction programs	Not Available	Not Available	Not Available	80%	85%	100%
Percent of summer meals for which DPR receives reimbursement	94%	92%	89%	90%	95%	95%
Number of free meals served	845,142	900,000	813,609	850,000	900,000	950,000

## Office of the Director

**Objective 1:** Ensure high-quality programs and services through internal and external communication systems, excellent customer service, and interagency collaboration.

**Objective 2:** Support recreation and leisure activities by maintaining a high-performing workforce.

**Objective 3:** Oversee the implementation of agency-wide priorities.

### KEY PERFORMANCE INDICATORS

#### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of DPR Town Hall Meetings <sup>6</sup>	Not Available	Not Available	Not Available	4	12	16
Percent of staff that completed annual training requirement <sup>7</sup>	70.4%	75%	Not Available	80%	85%	85%
Staff fill rate (full-time employees) <sup>8</sup>	91%	82%	82%	82%	95%	95%

## Performance Plan Endnotes

<sup>1</sup>Commission for Accreditation of Parks and Recreation Agencies, National Accreditation Standards 4th Edition. 6.3 Program Evaluation Standard: Programs shall be evaluated regularly and systematically based on stated program objectives.

<sup>2</sup>Sustainable DC Plan Goal: Inspire healthy, active lifestyles for all residents regardless of income, ability or employment. Action 1.1: Expand public park access and programming to promote healthy lifestyles through physical exercise.

<sup>3</sup>Commission for Accreditation of Parks and Recreation Agencies, National Accreditation Standards 4th Edition 5.1.4 Private, Corporate, and Non-Profit Support Standard: Where feasible and appropriate, private, corporate, and non-profit support should be used to supplement agency funding through an established procedure to research, coordinate and implement alternative funding.

<sup>4</sup>Sustainable DC Plan. Goal: Enhance access to parks and open spaces for all residents. Action 3.3: Renovate and improve all District playgrounds.

<sup>5</sup>Develop and implement the Americans with Disabilities Act (ADA) Access Master Plan for parks and recreation centers.

<sup>6</sup>Commission for Accreditation of Parks and Recreation Agencies, National Accreditation Standards 5th Edition. 6.4 Community Education for Leisure Process Standard: The Agency shall have a process to educate the general citizenry about the use of leisure time and the outcomes, benefits, values, and positive impacts of leisure and recreation services.

<sup>7</sup>Commission for Accreditation of Parks and Recreation Agencies, National Accreditation Standards 5th Edition. 4.6.1 Employee Training and Development Standard: There shall be a program of employee development, which is available to employees throughout the agency.

<sup>8</sup>Commission for Accreditation of Parks and Recreation Agencies, National Accreditation Standards 5th Edition. 4.1.2 Recruitment Process: There shall be a comprehensive recruitment process to attract qualified personnel that is based on established recruitment procedures with specific recruitment objectives that are reviewed periodically.

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# D.C. Office on Aging

www.dcoa.dc.gov

Telephone: 202-724-5622

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$36,684,710	\$43,267,960	\$40,912,882	-5.4
FTEs	51.8	63.0	61.0	-3.2

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The mission of the District of Columbia Office on Aging (DCOA) is to advocate, plan, implement, and monitor programs in health, education, employment, and social services that promote longevity, independence, dignity, and choice for older District residents (age 60 plus), persons living with disabilities (age 18 to 59), and their caregivers.

## Summary of Services

DCOA provides information, assistance, and outreach to District seniors, people living with disabilities, and their caregivers in order to increase awareness and access to services and supports that will enable them to maintain their independence and quality of life in the community. The agency also offers adult day care; advocacy and legal services; caregiver respite and support; case management; education and employment training; fitness, health, and wellness promotion; in-home support; long-term care counseling and support; nutrition counseling; recreation; and essential medical transportation that allow older District residents to age in place.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table BY0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BY0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	18,912	27,884	32,974	31,069	-1,905	-5.8
<b>Total for General Fund</b>	<b>18,912</b>	<b>27,884</b>	<b>32,974</b>	<b>31,069</b>	<b>-1,905</b>	<b>-5.8</b>
<b>Federal Resources</b>						
Federal Grant Funds	7,013	7,325	7,736	7,815	79	1.0
<b>Total for Federal Resources</b>	<b>7,013</b>	<b>7,325</b>	<b>7,736</b>	<b>7,815</b>	<b>79</b>	<b>1.0</b>
<b>Intra-District Funds</b>						
Intra-District Funds	1,679	1,475	2,558	2,029	-529	-20.7
<b>Total for Intra-District Funds</b>	<b>1,679</b>	<b>1,475</b>	<b>2,558</b>	<b>2,029</b>	<b>-529</b>	<b>-20.7</b>
<b>Gross Funds</b>	<b>27,603</b>	<b>36,685</b>	<b>43,268</b>	<b>40,913</b>	<b>-2,355</b>	<b>-5.4</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table BY0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table BY0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	19.2	30.5	33.0	34.0	1.0	3.0
<b>Total for General Fund</b>	<b>19.2</b>	<b>30.5</b>	<b>33.0</b>	<b>34.0</b>	<b>1.0</b>	<b>3.0</b>
<b>Federal Resources</b>						
Federal Grant Funds	9.5	7.1	10.0	10.0	0.0	0.0
<b>Total for Federal Resources</b>	<b>9.5</b>	<b>7.1</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Intra-District Funds</b>						
Intra-District Funds	12.2	14.2	20.0	17.0	-3.0	-15.0
<b>Total for Intra-District Funds</b>	<b>12.2</b>	<b>14.2</b>	<b>20.0</b>	<b>17.0</b>	<b>-3.0</b>	<b>-15.0</b>
<b>Total Proposed FTEs</b>	<b>40.9</b>	<b>51.8</b>	<b>63.0</b>	<b>61.0</b>	<b>-2.0</b>	<b>-3.2</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table BY0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BY0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Change Percent*
11 - Regular Pay - Continuing Full Time	2,271	1,879	2,557	2,254	-303	-11.8
12 - Regular Pay - Other	715	1,745	2,293	2,334	41	1.8
13 - Additional Gross Pay	43	63	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	528	664	1,343	825	-518	-38.6
<b>Subtotal Personal Services (PS)</b>	<b>3,556</b>	<b>4,351</b>	<b>6,193</b>	<b>5,413</b>	<b>-780</b>	<b>-12.6</b>
20 - Supplies and Materials	76	117	120	133	13	10.9
31 - Telephone, Telegraph, Telegram, Etc.	18	143	0	157	157	N/A
40 - Other Services and Charges	1,109	902	1,372	591	-781	-56.9
41 - Contractual Services - Other	5,239	7,920	9,155	8,851	-305	-3.3
50 - Subsidies and Transfers	17,517	23,191	26,328	25,668	-660	-2.5
70 - Equipment and Equipment Rental	89	60	100	100	0	0.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>24,047</b>	<b>32,334</b>	<b>37,075</b>	<b>35,500</b>	<b>-1,575</b>	<b>-4.2</b>
<b>Gross Funds</b>	<b>27,603</b>	<b>36,685</b>	<b>43,268</b>	<b>40,913</b>	<b>-2,355</b>	<b>-5.4</b>

\*Percent change is based on whole dollars.

## Program Description

The District of Columbia Office on Aging operates through the following 4 programs:

**Consumer Information, Assistance, and Outreach** – provides information and assistance, special events, training, education, and employment services for District residents and caregivers so that they can be better informed about aging issues and maintain their independence.

This program contains the following 4 activities:

- **Employment Services** – provides job counseling and placement opportunities for District residents age 55 or older so that they can gain and maintain employment;
- **Special Events** – provides socialization, information, and recognition services for District residents age 60 or older to increase awareness of services provided, expand their social network, and project a positive image of aging;
- **Training and Education** – provides consumer training for seniors and professional training for service providers and the general public to enhance their knowledge and increase their skills regarding policies and procedures affecting elderly programs and services; and
- **Advocacy/Elder Rights** – supports elder rights protection and adult protective service activities that concur with relevant state laws, provides public education regarding elder abuse, and assists in resolving complaints between residents/families and nursing homes and other community residential facilities for seniors.

**In-Home and Continuing Care** – provides homemaker assistance, day care, nutrition, case management services, and caregiver support to allow older residents to age in place, in their own home.

This program contains the following 2 activities:

- **In-Home and Day Care Services** – provides homemaker assistance, day care, nutrition, and case management services for frail District residents 60 years of age and older; and
- **Caregivers Support** – provides caregiver education and training, respite, stipends, and transportation services to eligible caregivers.

**Community-Based Support** – provides residential facilities, elder rights assistance, health promotion, wellness, counseling, legal services, transportation, and recreation activities for District residents who are 60 years of age or older so that they can live independently in the community and minimize institutionalization.

This program contains the following 4 activities:

- **Health Promotion and Wellness** – provides physical fitness, health screening, and wellness information for District residents age 60 or older to increase their health awareness and to adopt healthier behaviors;
- **Community Services** – provides congregate and home delivered meals, transportation, recreation, counseling, volunteer activities, and legal services;
- **Supportive Residential Facilities** – provides emergency shelter, supportive housing, advocacy, and aging-in-place programs; and
- **Advocacy/Elder Rights** – supports elder rights protection and adult protective service activities that comply with relevant state laws, provides public education regarding elder abuse, and assists in resolving complaints between residents/families and nursing homes and other community residential facilities for seniors.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting

## Program Structure Change

The District of Columbia Office on Aging has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table BY0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table BY0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	3,408	6,567	4,907	-1,659	24.3	37.0	38.0	1.0
(1040) Contracting and Procurement	928	993	660	-334	0.0	0.0	0.0	0.0
(1087) Language Access	3	12	12	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>4,339</b>	<b>7,572</b>	<b>5,579</b>	<b>-1,993</b>	<b>24.3</b>	<b>37.0</b>	<b>38.0</b>	<b>1.0</b>
<b>(9200) Consumer Information, Assistance, and Outreach</b>								
(9205) Employment Services	291	374	374	0	0.0	0.0	0.0	0.0
(9215) Special Events	199	223	223	0	0.0	0.0	0.0	0.0
(9220) Training and Education	1,769	2,603	2,274	-329	14.2	20.0	17.0	-3.0
(9222) Advocacy/Elder Rights	467	467	467	0	0.0	0.0	0.0	0.0
<b>Subtotal (9200) Consumer Information, Assistance, and Outreach</b>	<b>2,726</b>	<b>3,667</b>	<b>3,337</b>	<b>-329</b>	<b>14.2</b>	<b>20.0</b>	<b>17.0</b>	<b>-3.0</b>
<b>(9300) In-Home and Continuing Care</b>								
(9325) In-Home and Daycare Services	5,624	5,731	5,731	0	0.0	0.0	0.0	0.0
(9330) Caregivers Support	543	701	701	0	0.0	0.0	0.0	0.0
<b>Subtotal (9300) In-Home and Continuing Care</b>	<b>6,166</b>	<b>6,431</b>	<b>6,431</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(9400) Community-Based Support</b>								
(9440) Health Promotion and Wellness	3,916	4,760	4,444	-316	4.1	6.0	6.0	0.0
(9450) Community Services	17,696	18,971	19,311	341	9.3	0.0	0.0	0.0
(9470) Supportive Residential Facilities	897	925	925	0	0.0	0.0	0.0	0.0
(9480) Advocacy/Elder Rights	844	943	885	-57	0.0	0.0	0.0	0.0
<b>Subtotal (9400) Community-Based Support</b>	<b>23,353</b>	<b>25,598</b>	<b>25,566</b>	<b>-33</b>	<b>13.3</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>
<b>(9960) Year-End Close</b>								
No Activity Assigned	101	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (9960) Year-End Close</b>	<b>101</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>36,685</b>	<b>43,268</b>	<b>40,913</b>	<b>-2,355</b>	<b>51.8</b>	<b>63.0</b>	<b>61.0</b>	<b>-2.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.



## **FY 2016 Proposed Budget Changes**

The District of Columbia Office on Aging's (DCOA) proposed FY 2016 gross budget is \$40,912,882, which represents a 5.4 percent decrease from its FY 2015 approved gross budget of \$43,267,960. The budget is comprised of \$31,069,065 in Local funds, \$7,814,809 in Federal Grant funds, and \$2,029,008 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DCOA's FY 2016 CSFL budget is \$33,123,983, which represents a \$150,061, or 0.5 percent, increase over the FY 2015 approved Local funds budget of \$32,973,922.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DCOA included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$28,916 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$121,145 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

### **Agency Budget Submission**

**Increase:** In Local funds, DCOA's budget proposal supports an increase of \$250,000 in the Community-Based Support program for transportation costs, which aids District residents who are 60 years of age or older to live independently in the community. The Fixed Costs estimate for information technology services provided by the Office of the Chief Technology Officer increased by \$157,142 to more accurately align with projected costs. Furthermore, personal services increased by \$69,280 to reflect projected salary changes, Fringe Benefits adjustments, and an additional 1.0 Full-Time Equivalent (FTE) in the Agency Management program for legal support.

In Federal Grant funds, an increase of \$128,956 aligns DCOA's nonpersonal services budget with projected grant awards.

**Decrease:** The agency has identified a net savings of \$476,422 in Local funds across multiple programs. The reduction occurred primarily in the Agency Management program due to a decrease in automotive maintenance and repair costs based on the Fixed Costs estimate from the Department of Public Works. Additionally, a decrease of \$49,868 in Federal Grant funds for personal services is a result of a reduced Fringe Benefits rate and adjustments to salary.

In Intra-District funds, the agency has Memoranda of Understanding (MOUs) in place with the Department of Health Care Finance (DHCF) and the Department on Disability Services (DDS). A decrease totaling \$201,165 aligns the budget with anticipated revenues from these MOUs to provide nursing home quality of care activities for seniors with visual and hearing challenges, and for the Long-Term Care Ombudsman program. Also, DCOA's budget was reduced by \$328,144 and 3.0 FTEs in the Consumer Information, Assistance, and Outreach program due to the completion of the Money Follows the Person Demonstration MOU with DHCF, which provided support services in nursing home transition and diversion services.

### **Mayor's Proposed Budget**

**Reduce:** In the Agency Management program, DCOA's proposed budget for Local funds reflects a decrease of \$100,000 due to a reduced reliance on outside technical assistance for the D.C. Senior Village Sustainability and Expansion Project as a result of the contract being completed in the current fiscal year. An additional decrease of \$204,918 reflects a savings in contract and procurement activities for machine and information technology software maintenance, and a decrease of \$500,000 occurred in personal services due to salary lapse savings. Furthermore, the agency's budget proposal contains a reduction of \$1,000,000 to right-size funding for the Senior Wellness Centers. In Fiscal Year 2015, the agency received funds to expand the hours and days that the Senior Wellness Centers were open. However, utilization is low and uneven among the sites. The agency will use existing data on utilization to determine which sites will offer the extended evening and Saturday hours. This will still allow District seniors to access the wellness centers during extended hours, but locations will better match demand.

**Transfer-Out:** In the Community-Based Support program, DCOA's budget proposal reflects a transfer of \$250,000 to the Deputy Mayor for Health and Human Services (DMHHS) in support of the Age-Friendly D.C. Strategic Plan, which marks a significant step in the District's journey to become an age-friendly city under the terms of the World Health Organization (WHO) Age-Friendly Environments initiative.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table BY0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table BY0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>32,974</b>	<b>33.0</b>
Other CSFL Adjustments	Multiple Programs	150	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>33,124</b>	<b>33.0</b>
Increase: To support costs of existing program	Community-Based Support	250	0.0
Increase: To align Fixed Costs with proposed estimates	Agency Management	157	0.0
Increase: To adjust personal services	Agency Management	69	1.0
Decrease: To align resources with operational goals	Multiple Programs	-476	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>33,124</b>	<b>34.0</b>
Reduce: Technical assistance for the D.C. Senior Village Sustainability and Expansion Project	Agency Management	-100	0.0
Reduce: Contract and procurement activities for software and machine maintenance	Agency Management	-205	0.0
Reduce: To reflect savings in personal services	Agency Management	-500	0.0
Reduce: Extended evening and Saturday hours for the Senior Wellness Centers	Agency Management	-1,000	0.0
Transfer-Out: To DMHHS for Age-Friendly Strategic Plan	Community-Based Support	-250	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>31,069</b>	<b>34.0</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>7,736</b>	<b>10.0</b>
Increase: To align budget with projected grant awards	Multiple Programs	129	0.0
Decrease: To adjust personal services	Multiple Programs	-50	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>7,815</b>	<b>10.0</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>7,815</b>	<b>10.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>2,558</b>	<b>20.0</b>
Decrease: To align budget with projected revenues	Multiple Programs	-201	0.0
Decrease: To adjust personal services	Consumer Information, Assistance, and Outreach	-328	-3.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>2,029</b>	<b>17.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,029</b>	<b>17.0</b>
<b>Gross for BY0 - D.C. Office on Aging</b>		<b>40,913</b>	<b>61.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

### Customer Information, Assistance, and Outreach

**Objective 1:** Provide robust outreach efforts and disseminate critical information that brings about a greater awareness of aging services and issues in order to increase District residents' access and connectivity to programs, and to attract new and innovative services and campaigns.

**Objective 2:** Continue to develop active and vibrant neighborhoods that promote and create economic opportunity and support a high quality of life for the District's older adults and persons living with disabilities.

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## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of newly registered Smart911 residents	Not Available	1,000	603	700	750	800
Number of people receiving jobs with pay rate above minimum wage	Not Available	80	52	90	95	100
Percent of customers at Senior Wellness Centers self-reporting an increase in awareness and practice of healthy habits (annual survey)	90%	90%	92.5%	90%	90%	90%
Percent of decrease in food waste produced by meal programs at congregate meal sites	Not Available	Not Available	Not Available	20%	10%	10%

### In-Home and Continuing Care/Community-Based Support

**Objective 1:** Continue to promote and expand prevention and resilience opportunities for vulnerable District residents in order to avoid premature nursing home placement by providing aging and disability resources in every ward of the city.

**Objective 2:** Enhance and maintain programs and services in the senior service network that encourage and sustain community living for seniors and people living with disabilities through the implementation of DCOA's five-year strategic plan.

## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of people transitioned from nursing homes back to the community with the appropriate home and community-based supports and services	49	80	34	45	55	65
Number of people discharged from the hospital back to the community with the appropriate home and community-based supports and services	86	60	136	150	175	200
Number of people who received long-term care options counseling services through the Aging Disability Resource Center (ADRC)	800	680	1,441	2,000	2,600	3,200
Number of home delivered meals served on Saturdays	Not Available	Not Available	65,915	134,400	138,000	142,000
Number of congregate meals served at Senior Wellness Centers on Saturdays	Not Available	Not Available	Not Available	7,500	8,250	9,075
Percent of recommended in-home modifications to prevent falls that were implemented	Not Available	Not Available	Not Available	50%	60%	70%
Percent of caregivers self-reporting an increased sense of emotional support through Memory Club	Not Available	Not Available	Not Available	100%	100%	100%

### Agency Management

**Objective 1:** Transform the District of Columbia to an Age-Friendly City, an inclusive and accessible urban environment that encourages active and healthy aging for all residents, particularly seniors.

**Objective 2:** Oversee the implementation of agencywide priorities.

## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average annual amount DCOA saves an older adult that receives services in the community rather than institutional care	Not Available	Not Available	Not Available	\$10,364	\$10,675	\$10,995
Average annual amount DCOA saves an older adult that regularly participates in services that promote aging in place	Not Available	Not Available	Not Available	\$4,907	\$5,055	\$5,206
Number of seniors attending “Money Smart for Older Adults” training	Not Available	Not Available	Not Available	1,000	1,250	1,500
Number of Veterans enrolled in Vet Director-Home and Community Based Services (VD-HCBS) program	1	Not Available	1	10	20	35

# Unemployment Compensation Fund

www.does.dc.gov

Telephone: 202-724-7000

Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$6,619,786	\$6,887,000	\$6,887,000	0.0

The mission of the Unemployment Compensation Fund is to provide unemployment compensation benefits to former District government employees who have been separated from employment through no fault of their own.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table BH0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BH0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	7,668	6,620	6,887	6,887	0	0.0
<b>Total for General Fund</b>	<b>7,668</b>	<b>6,620</b>	<b>6,887</b>	<b>6,887</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>7,668</b>	<b>6,620</b>	<b>6,887</b>	<b>6,887</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table BH0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BH0-2**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
50 - Subsidies and Transfers	7,668	6,620	6,887	6,887	0	0.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>7,668</b>	<b>6,620</b>	<b>6,887</b>	<b>6,887</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>7,668</b>	<b>6,620</b>	<b>6,887</b>	<b>6,887</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

### Program Description

The Unemployment Compensation Fund operates through the following program:

**Unemployment Compensation Fund.** – provides unemployment compensation to qualified former District government employees during periods of unemployment.

### Program Structure Change

The Unemployment Compensation Fund has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table BH0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table BH0-3**  
(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Unemployment Compensation Fund</b>								
(1100) Unemployment Compensation Fund	6,620	6,887	6,887	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Unemployment Compensation Fund</b>	<b>6,620</b>	<b>6,887</b>	<b>6,887</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>6,620</b>	<b>6,887</b>	<b>6,887</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

**FY 2016 Proposed Budget Changes**

The Unemployment Compensation Fund has no changes from the FY 2015 approved budget to the FY 2016 proposed budget.





# Employees' Compensation Fund

www.orm.dc.gov  
202-727-8600

Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$21,329,567	\$20,221,002	\$20,221,002	0.0

The mission of the Employees' Compensation Fund is to provide fiscal resources to administer the Public Sector Workers' Compensation program for District of Columbia government employees and to pay the required claims costs of eligible claimants, pursuant to applicable District laws.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table BG0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BG0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	22,954	21,330	20,221	20,221	0	0.0
<b>Total for General Fund</b>	<b>22,954</b>	<b>21,330</b>	<b>20,221</b>	<b>20,221</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>22,954</b>	<b>21,330</b>	<b>20,221</b>	<b>20,221</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table BG0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BG0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
20 - Supplies and Materials	1,131	1,013	814	814	0	0.0
40 - Other Services and Charges	8,509	7,318	4,192	8,000	3,808	90.8
50 - Subsidies and Transfers	13,314	12,998	15,216	11,407	-3,808	-25.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>22,954</b>	<b>21,330</b>	<b>20,221</b>	<b>20,221</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>22,954</b>	<b>21,330</b>	<b>20,221</b>	<b>20,221</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

### Program Description

The Employees' Compensation Fund operates through the following program:

**Disability Compensation Fund** – is established by the District of Columbia Merit Personnel Act (D.C. Law 2-139, as amended). Payments are made to District employees, with eligible and verified claims, as compensation for lost wages, medical services related to workplace injuries, and return-to-work services such as vocational rehabilitation. In FY 2004, the administration of the Fund was transferred to the D.C. Office of Risk Management.

### Program Structure Change

The Employees' Compensation Fund has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table BG0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table BG0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(0010) Disability Compensation Fund</b>								
(1000) Disability Compensation Fund	21,330	20,221	20,221	0.0	0.0	0.0	0.0	0.0
<b>Subtotal (0010) Disability Compensation Fund</b>	<b>21,330</b>	<b>20,221</b>	<b>20,221</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>21,330</b>	<b>20,221</b>	<b>20,221</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

The Employees' Compensation Fund (ECF) has no changes from the FY 2015 approved budget to the FY 2016 proposed budget.



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# Office of Human Rights

[www.ohr.dc.gov](http://www.ohr.dc.gov)

Telephone: 202-727-4559

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$3,035,718	\$3,404,909	\$3,717,523	9.2
FTEs	26.6	33.0	35.0	6.1

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The mission of the D.C. Office of Human Rights (OHR) is to eradicate discrimination, increase equal opportunity, and protect human rights in the city.

## Summary of Services

The D.C. OHR investigates and resolves complaints of discrimination in employment, housing, places of public accommodation, and educational institutions, pursuant to the D.C. Human Rights Act of 1977 and other numerous local and federal laws. OHR also prevents discrimination by providing training and education to District government employees, private employers, workers, and the community at-large regarding their rights and responsibilities under the law. OHR monitors compliance with the Language Access Act of 2004 and investigates allegations of non-compliance with this Act by District government agencies. The agency also investigates complaints and conditions causing community tension and conflict that can lead to breaches of the peace. The Commission on Human Rights is the adjudicatory body that decides private sector cases after OHR has found “probable cause” of discrimination.

The agency’s FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HM0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HM0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	2,327	2,710	3,138	3,451	313	10.0
<b>Total for General Fund</b>	<b>2,327</b>	<b>2,710</b>	<b>3,138</b>	<b>3,451</b>	<b>313</b>	<b>10.0</b>
<b>Federal Resources</b>						
Federal Grant Funds	323	312	267	267	0	0.0
<b>Total for Federal Resources</b>	<b>323</b>	<b>312</b>	<b>267</b>	<b>267</b>	<b>0</b>	<b>0.0</b>
<b>Private Funds</b>						
Private Donations	4	0	0	0	0	N/A
<b>Total for Private Funds</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	0	14	0	0	0	N/A
<b>Total for Intra-District Funds</b>	<b>0</b>	<b>14</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>2,654</b>	<b>3,036</b>	<b>3,405</b>	<b>3,718</b>	<b>313</b>	<b>9.2</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table HM0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HM0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	22.6	25.3	30.6	32.6	2.0	6.4
<b>Total for General Fund</b>	<b>22.6</b>	<b>25.3</b>	<b>30.6</b>	<b>32.6</b>	<b>2.0</b>	<b>6.4</b>
<b>Federal Resources</b>						
Federal Grant Funds	0.8	1.2	2.4	2.4	0.0	2.1
<b>Total for Federal Resources</b>	<b>0.8</b>	<b>1.2</b>	<b>2.4</b>	<b>2.4</b>	<b>0.0</b>	<b>2.1</b>
<b>Total Proposed FTEs</b>	<b>23.4</b>	<b>26.6</b>	<b>33.0</b>	<b>35.0</b>	<b>2.0</b>	<b>6.1</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HM0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HM0-3**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
11 - Regular Pay - Continuing Full Time	1,198	1,692	1,832	2,120	289	15.8
12 - Regular Pay - Other	552	293	742	709	-33	-4.4
13 - Additional Gross Pay	15	21	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	376	402	590	632	42	7.1
<b>Subtotal Personal Services (PS)</b>	<b>2,141</b>	<b>2,408</b>	<b>3,164</b>	<b>3,462</b>	<b>298</b>	<b>9.4</b>
20 - Supplies and Materials	18	30	10	11	1	8.9
31 - Telephone, Telegraph, Telegram, Etc.	4	10	0	0	0	N/A
40 - Other Services and Charges	173	268	66	98	32	48.6
41 - Contractual Services - Other	301	303	165	138	-27	-16.5
70 - Equipment and Equipment Rental	17	17	0	8	8	N/A
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>513</b>	<b>628</b>	<b>241</b>	<b>256</b>	<b>14</b>	<b>5.9</b>
<b>Gross Funds</b>	<b>2,654</b>	<b>3,036</b>	<b>3,405</b>	<b>3,718</b>	<b>313</b>	<b>9.2</b>

\*Percent change is based on whole dollars.



## Program Description

The Office of Human Rights operates through the following 3 programs:

**Equal Justice** – provides education and awareness and investigates, adjudicates, and provides compliance services to people who live, work, and/or conduct business in the District of Columbia so that they are informed of, and may have timely resolution of, discrimination complaints.

This program contains the following 8 activities:

- **Intake** – provides intake, referral, and counseling services to complainants who live, work, and/or conduct business in the District of Columbia so that they may have timely and quality assessments of their complaints;
- **Mediation** – provides mediation services to complainants and respondents in an attempt to resolve potentially unlawful discriminatory practices and avoid costly and time-consuming investigations and litigation;
- **Investigations** – conducts full investigations whenever prima facie evidence has been established for each complaint brought to the Office of Human Rights. This applies to cases in employment, public accommodations, educational institutions, and language access;
- **Fair Housing** – investigates complaints and provides outreach and education to people who live, work, or conduct business in the District on matters relating to alleged violations of federal and local fair housing laws;
- **Research and Compliance** – conducts compliance reviews of, provides training on, and performs research related to human rights law as well as mandates issued by the Equal Employment Opportunity Commission and the U.S. Department of Housing and Urban Development;
- **Public Education** – provides awareness, education, training, and public information to ensure a workforce environment free of discrimination in the District;
- **Bullying Prevention Oversight** – coordinates bullying prevention initiatives throughout the District; and
- **Language Access Oversight** – provides information, education, monitoring, and enforcement services pertaining to the D.C. Language Access Act and its implementation and applicability.

**Commission on Human Rights** – provides adjudication services through an administrative, trial-type hearing conducted before a hearing examiner or a panel of commissioners. The Commission rules and can issue injunctive relief and award damages (if discrimination is found) to people who live, work, or conduct business in the District of Columbia.

**Office of Human Rights (Agency Management)** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

## Program Structure Change

The Office of Human Rights has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HM0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HM0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Office of Human Rights</b>								
(1010) Personnel	11	11	66	55	0.1	0.1	0.5	0.4
(1030) Property Management	25	23	3	-19	0.2	0.2	0.0	-0.2
(1040) Information Technology	22	21	0	-21	0.2	0.2	0.0	-0.2
(1050) Financial Services	22	21	0	-21	0.2	0.2	0.0	-0.2
(1055) Risk Management	11	11	0	-11	0.1	0.1	0.0	-0.1
(1060) Legal Services	0	0	310	310	0.0	0.0	2.0	2.0
(1080) Communications	11	11	0	-11	0.1	0.1	0.0	-0.1
(1085) Customer Service	119	132	0	-132	1.1	1.1	0.0	-1.1
(1090) Performance Management	189	181	412	230	1.0	1.0	3.0	2.0
<b>Subtotal (1000) Office of Human Rights</b>	<b>408</b>	<b>410</b>	<b>791</b>	<b>380</b>	<b>3.0</b>	<b>3.0</b>	<b>5.4</b>	<b>2.4</b>
<b>(2000) Equal Justice</b>								
(2010) Intake	232	65	151	86	3.1	1.1	2.0	0.9
(2020) Mediation	499	466	395	-71	5.0	5.1	4.0	-1.1
(2030) Investigations	1,196	1,453	1,443	-10	7.4	14.4	14.4	-0.1
(2050) Fair Housing	82	107	81	-26	3.1	1.2	1.2	-0.1
(2060) Research and Compliance	158	22	10	-12	1.0	0.0	0.0	0.0
(2070) Public Education	170	179	106	-73	1.1	2.1	2.0	-0.1
(2080) Public Information	0	122	0	-122	0.0	1.0	0.0	-1.0
(2085) Bullying Prevention Oversight	0	0	165	165	0.0	0.0	1.0	1.0
(2090) Language Access Oversight	58	228	212	-16	0.0	2.0	2.0	0.0
<b>Subtotal (2000) Equal Justice</b>	<b>2,395</b>	<b>2,642</b>	<b>2,564</b>	<b>-78</b>	<b>20.6</b>	<b>27.0</b>	<b>26.6</b>	<b>-0.5</b>
<b>(3000) Commission on Human Rights</b>								
(3010) Human Rights Commission	233	352	363	11	3.0	3.0	3.0	0.0
<b>Subtotal (3000) Commission on Human Rights</b>	<b>233</b>	<b>352</b>	<b>363</b>	<b>11</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>3,036</b>	<b>3,405</b>	<b>3,718</b>	<b>313</b>	<b>26.6</b>	<b>33.0</b>	<b>35.0</b>	<b>2.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Office of Human Rights' (OHR) proposed FY 2016 gross budget is \$3,717,523, which represents a 9.2 percent increase over its FY 2015 approved gross budget of \$3,404,909. The budget is comprised of \$3,450,523 in Local funds and \$267,000 in Federal Grant funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

OHR's FY 2016 CSFL budget is \$3,512,119, which represents a \$374,210, or 11.9 percent, increase over the FY 2015 approved Local funds budget of \$3,137,909.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for OHR included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$83,018 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$2,710 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

Additionally, adjustments were made for a net increase of \$288,482 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2, and to reflect the transfer of attorneys from the Office of the Attorney General.

### **Agency Budget Submission**

**Increase:** The Equal Justice program increased by \$17,608 primarily due to higher Professional Fees and Contracts; the Commission on Human Rights program increased by \$4,787 in Contractual Services to support court reporting and stenography services; and the Office of Human Rights program increased by \$1,774 primarily for higher maintenance and auto repairs costs.

In Federal Grant funds, the budget increased by \$32,228 to align it with projected grant spending for personnel, supplies, and other operating costs across agency programs.

**Decrease:** The agency's Local funds budget reflects a net savings of \$24,169 in personal services due to Fringe Benefits savings and the reclassification of 1.0 FTE from Continuing Full Time to Temporary Full Time.

Federal Grant funds decreased by \$32,228 as a result of reallocating funding from Contractual Services to align it with historical spending in personal services and other operating costs.

**Technical Adjustment:** The budget increased by \$8,404 for a performance-related pay adjustment for agency attorneys.

### **Mayor's Proposed Budget**

**Reduce:** The budget reflects a savings of \$70,000 due to salary lapse savings in the Equal Justice program.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HM0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HM0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>3,138</b>	<b>30.6</b>
Other CSFL Adjustments	Multiple Programs	374	2.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>3,512</b>	<b>32.6</b>
Increase: To align funding with nonpersonal services costs	Equal Justice	18	0.0
Increase: To align funding with nonpersonal services costs	Commission on Human Rights	5	0.0
Increase: To align funding with nonpersonal services costs	Office of Human Rights	2	0.0
Decrease: To adjust personal services	Multiple Programs	-24	0.0
Technical Adjustment: To support performance related pay adjustments for Attorneys	Office of Human Rights	8	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>3,521</b>	<b>32.6</b>
Reduce: Salary lapse savings	Equal Justice	-70	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>3,451</b>	<b>32.6</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>267</b>	<b>2.4</b>
Decrease: Reduction to contractual services budget	Multiple Programs	-32	0.0
Increase: To align budget with projected grant awards	Multiple Programs	32	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>267</b>	<b>2.4</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>267</b>	<b>2.4</b>
<b>Gross for HM0 - Office of Human Rights</b>		<b>3,718</b>	<b>35.0</b>

(Change is calculated by whole numbers and may not add up due to rounding)

## Agency Performance Plan<sup>1</sup>

The agency's performance plan has the following objectives for FY 2016:

### Office of Human Rights<sup>1</sup>

**Objective 1:** Shorten response times and strengthen quality controls for all internal programs, including investigations and mediations, and Language Access compliance

**Objective 2:** Prevent discrimination by promoting awareness of and compliance with local and federal antidiscrimination laws through increased outreach and awareness activities (Age Friendly DC Goal: Domain #5).

**Objective 3:** Oversee the implementation of agencywide priorities.

## KEY PERFORMANCE INDICATORS

### Office of Human Rights

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average cost per mediation	Not Available	\$594.75	\$906.10	\$495.62	\$495.62	\$4925.6
Average cost per Language Access training	Not Available	\$1,116.61	\$804.00	\$1,075.58	\$932.14	\$932.14
Median case review score	93 %	95%	91%	96%	96%	97%
Percent of cases backlogged	6%	4%	14%	2%	0%	0%
Percent adherence to optimal number of case closures per quarter	88 %	95%	84% <sup>2</sup>	97%	97%	98%
Percent of OHR complainants satisfied with the agency's customer service	100%	95%	95%	96%	96%	97%
Percent of mediations that lead to settlement agreements	47%	44%	42%	44%	45%	45%
Percent of agencies compliant with Language Access	Not Available	75%	Not Available	85%	85%	90%
Number of Fair Housing outreach activities (including trainings, events, etc.)	Not Available	Not Available	Not Available <sup>3</sup>	50	55	60
Number of pre-complaint questionnaires received	Not Available	Not Available	Not Available <sup>4</sup>	1,050	1,200	1,250
Level of educational institution compliance on Bullying Prevention	Not Available	Not Available	Not Available <sup>5</sup>	85%	90%	90%
Percent of adherence to operational efficiency targets, based on diagnostic analysis (cases docketed/suggested number of cases docketed) <sup>6</sup>	Not Available	95%	88%	98%	98%	98%

## Commission on Human Rights

**Objective 1:** Adjudicate cases with probable cause findings, determine damages associated with cases, and adjudicate all criminal background check cases.

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### KEY PERFORMANCE INDICATORS

#### Commission on Human Rights

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of reductions in the total inventory of cases adjudicated at the Commission	Not Available	85%	82%	90%	95%	95%
Number of months, on average, that is used as a guideline for completion of Commission cases	Not Available	15	15	15	15	15

#### Performance Plan Endnotes:

<sup>1</sup>For the purposes of the FY 2016 Performance Plan, the (2000) Office of Justice Program budget division is also included in the (1000) Office of Human Rights.

<sup>2</sup>As of August 13, 2014.

<sup>3</sup>This is a new measure.

<sup>4</sup>Ibid.

<sup>5</sup>Ibid.

<sup>6</sup>For the purposes of the FY 2016 Performance Plan, this Key Performance Indicator (KPI) was moved to the correct division. This KPI was incorrectly listed under the Commission on Human Rights in the FY 2015 budget book.



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# Office on Latino Affairs

www.ola.dc.gov

Telephone: 202-671-2825

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$3,091,969	\$2,968,724	\$3,177,309	7.0
FTEs	9.8	10.0	10.0	0.0

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The mission of the Office on Latino Affairs (OLA) is to improve the quality of life of the District’s Latino residents by addressing a broad range of social and economic needs through strategic management of public and private partnerships, expertise on policy, community relations, civic engagement, and community-based grants.

## Summary of Services

OLA awards community-based grants, forms strategic partnerships, conducts community relations, and provides outreach support and advocacy for District Latinos so that they can have access to a full range of human services, health, education, housing, economic development, and employment opportunities.

The agency’s FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table BZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BZ0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	2,694	2,697	2,769	2,782	13	0.5
<b>Total for General Fund</b>	<b>2,694</b>	<b>2,697</b>	<b>2,769</b>	<b>2,782</b>	<b>13</b>	<b>0.5</b>
<b>Intra-District Funds</b>						
Intra-District Funds	393	395	200	396	196	97.8
<b>Total for Intra-District Funds</b>	<b>393</b>	<b>395</b>	<b>200</b>	<b>396</b>	<b>196</b>	<b>97.8</b>
<b>Gross Funds</b>	<b>3,087</b>	<b>3,092</b>	<b>2,969</b>	<b>3,177</b>	<b>209</b>	<b>7.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table BZ0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table BZ0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	10.4	9.8	10.0	10.0	0.0	0.0
<b>Total for General Fund</b>	<b>10.4</b>	<b>9.8</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>10.4</b>	<b>9.8</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table BZ0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BZ0-3**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
11 - Regular Pay - Continuing Full Time	271	428	395	549	154	38.9
12 - Regular Pay - Other	351	182	273	171	-102	-37.5
13 - Additional Gross Pay	1	1	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	148	136	194	166	-28	-14.5
15 - Overtime Pay	0	1	0	0	0	N/A
<b>Subtotal Personal Services (PS)</b>	<b>770</b>	<b>748</b>	<b>863</b>	<b>886</b>	<b>23</b>	<b>2.7</b>
20 - Supplies and Materials	23	23	21	21	0	0.0
31 - Telephone, Telegraph, Telegram, Etc.	3	0	0	0	0	N/A
40 - Other Services and Charges	80	76	88	79	-9	-10.0
50 - Subsidies and Transfers	2,202	2,237	1,989	2,184	196	9.8
70 - Equipment and Equipment Rental	10	9	9	8	-1	-13.8
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>2,317</b>	<b>2,344</b>	<b>2,106</b>	<b>2,292</b>	<b>186</b>	<b>8.8</b>
<b>Gross Funds</b>	<b>3,087</b>	<b>3,092</b>	<b>2,969</b>	<b>3,177</b>	<b>209</b>	<b>7.0</b>

\*Percent change is based on whole dollars.

## **Program Description**

The Office on Latino Affairs operates through the following 4 programs:

**Community-Based Grants** – provides technical assistance and grants management support, including grant selection, award funding, and monitoring services to District Latino-serving non-profit organizations, so that they can provide linguistically and culturally appropriate services to the limited English proficient Latino population of the District.

**Advocacy and Language Access** – provides consultation, collaboration, and problem-solving services to the Mayor, District government agencies, community groups, and other entities in an effort to enhance the provision of linguistically and culturally appropriate services to the Latino community as well as comply with the 2004 Language Access Act.

**Community Relations and Outreach** – provides partnership and outreach services to District Latino residents in an effort to increase their knowledge of and access to vital programs and services available to them.

This program contains the following 2 activities:

- **Community Information Exchange** – provides information dissemination and educational services to District Latino residents so that they can better access vital services provided by the District government and the non-profit sector; and
- **Community Partnerships** – provides information-gathering services to District Latino residents so that OLA and District government agencies can better design and support systems to serve the Latino community.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

## **Program Structure Change**

The proposed program structure changes are provided in the Agency Realignment appendix to the proposed budget, which is located at [www.cfo.dc.gov](http://www.cfo.dc.gov) on the Annual Operating Budget and Capital Plan page.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table BZ0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table BZ0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1050) Financial Management	7	10	10	0	0.0	0.0	0.0	0.0
(1090) Performance Management	274	327	340	13	2.0	2.0	2.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>281</b>	<b>337</b>	<b>350</b>	<b>13</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>
<b>(1001) Community-Based Grants</b>								
(1012) Grants Management	2,382	2,157	2,357	200	2.0	2.0	2.0	0.0
<b>Subtotal (1001) Community-Based Grants</b>	<b>2,382</b>	<b>2,157</b>	<b>2,357</b>	<b>200</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>
<b>(2001) Advocacy and Language Access</b>								
(2011) Language Access	133	160	156	-5	2.0	2.0	2.0	0.0
<b>Subtotal (2001) Advocacy</b>	<b>133</b>	<b>160</b>	<b>156</b>	<b>-5</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>
<b>(3001) Community Relations and Outreach</b>								
(3011) Community Information Exchange	289	218	305	87	3.9	3.0	4.0	1.0
(3012) Community Partnerships	8	9	9	0	0.0	0.0	0.0	0.0
<b>Subtotal (3001) Community Relations and Outreach</b>	<b>297</b>	<b>227</b>	<b>314</b>	<b>87</b>	<b>3.9</b>	<b>3.0</b>	<b>4.0</b>	<b>1.0</b>
<b>(4001) Public Affairs</b>								
(4011) Public Affairs	0	87	0	-87	0.0	1.0	0.0	-1.0
<b>Subtotal (4001) Public Affairs</b>	<b>0</b>	<b>87</b>	<b>0</b>	<b>-87</b>	<b>0.0</b>	<b>1.0</b>	<b>0.0</b>	<b>-1.0</b>
<b>Total Proposed Operating Budget</b>	<b>3,092</b>	<b>2,969</b>	<b>3,177</b>	<b>209</b>	<b>9.8</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Office on Latino Affairs' (OLA) proposed FY 2016 gross budget is \$3,177,309, which represents a 7.0 percent increase over its FY 2015 approved gross budget of \$2,968,724. The budget is comprised of \$2,781,734 in Local funds and \$395,575 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

OLA's FY 2016 CSFL budget is \$2,781,734, which represents a \$13,010, or 0.5 percent, increase over the FY 2015 approved Local funds budget of \$2,768,724.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for OLA included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$13,010 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015.

### **Agency Budget Submission**

**Increase:** OLA's Local funds personal services budget increased by \$98,327 across multiple programs. Of that amount, \$83,709 and 1.0 Full Time Equivalent (FTE) reflect an increase to support the Community Relations and Outreach program. In order to better align agency resources to support the District's Latino community, the funding and FTE moved from the Public Affairs program. The agency also moved 1.0 FTE from Term Full Time to Continuing Full Time within the Community-Based Grants program, increasing the budget by \$2,521. The remaining amount of \$12,097 is a result of step increases, Fringe Benefit adjustments, and other changes to personal services.

The agency's Intra-District funds budget increased by \$195,575 in the Community-Based Grants program. The increase supports the Department of Employment Services' Summer Youth Employment Program, which provides District youth with enriching and constructive summer work experiences through subsidized placements in the private and government sectors.

**Decrease:** The Office on Latino Affairs' nonpersonal services budget decreased by \$10,040. The reduction is comprised of \$14,815 in the Agency Management program for equipment purchases and professional service fees partially offset by an increase of \$4,775 in the Community-Based program for automotive maintenance and repair costs. OLA's personal services budget decreased in the Public Affairs program by \$88,287. The reduction reflects salary and Fringe Benefit adjustments and the reallocation of 1.0 FTE to the Community Relations and Outreach program to provide District Latino residents with improved access to available programs and services.

### **Mayor's Proposed Budget**

**No Change:** The Office on Latino Affairs' budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table BZ0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table BZ0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>2,769</b>	<b>10.0</b>
Other CSFL Adjustments	Multiple Programs	13	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>2,782</b>	<b>10.0</b>
Increase: To adjust personal services	Multiple Programs	98	1.0
Decrease: To align funding with nonpersonal services costs	Multiple Programs	-10	0.0
Decrease: To align resources with operational goals	Public Affairs	-88	-1.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>2,782</b>	<b>10.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,782</b>	<b>10.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>200</b>	<b>0.0</b>
Increase: To support program initiative(s)	Community-Based Grants	196	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>396</b>	<b>0.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>396</b>	<b>0.0</b>
<b>Gross for BZ0 - Office on Latino Affairs</b>		<b>3,177</b>	<b>10.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

**Objective 1:** Improve Latino-serving non-profit organizations' institutional knowledge, skills, and service program quality for services offered to District Latinos.

**Objective 2:** Facilitate greater access to economic development resources among District Latino-owned businesses.

**Objective 3:** Assist Latinos in acquiring workforce skills that help them succeed in and foster the growth of the new economy in the District.

**Objective 4:** Improve the quality of life among Latinos.

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## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of grantees that show satisfactory performance according to grants monitoring program	87%	90%	89.8%	95%	98%	98%
Percent of grantees that report satisfactory experience with capacity building program	88%	90%	100%	95%	97%	97%
Number served by OLA grantees	56,325	58,200	125,901	62,600	64,000	64,000
Number of Language Access Act covered agencies receiving technical assistance	33	28	112	32	33	33
Percent of attendees that report satisfactory experience with OLA sponsored events	78%	80%	30%	85%	90%	90%
Number of text messages sent to District Latino residents	Not Available	2,000	43,508	44,000	45,500	45,500
Number of attendees at OLA Employment Fairs	Not Available	150	188	200	300	300

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# Children and Youth Investment Collaborative

[www.cyitc.org](http://www.cyitc.org)

Telephone: 202-347-4441

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$11,400,000	\$3,000,000	\$3,000,000	N/A

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The mission of the Children and Youth Investment Collaborative is to provide funds to the D.C Children and Youth Investment Trust Corporation (CYITC), a not-for-profit organization that disburses grants to community-based providers, with the purpose of creating a seamless approach to the development of policy, planning, and services for children, youth, and their families.

The Children and Youth Investment Collaborative, administered by CYITC, was established in 1999 as part of the District's FY 2000 budget. The CYITC was created as a public/private partnership to receive and leverage funding designated under the Children and Youth Initiative Establishment Act of 1999. Its board of directors, made up of seven members appointed by the Mayor and District Council and four advisory members from the District government, governs the corporation. The CYITC does not provide direct services to families; however, it grants funds and provides technical assistance to nonprofit organizations that provide direct services to families and thereby increases the quality and availability of services in areas of greatest need.

The CYITC plans to fulfill its mission by increasing the effectiveness of children and youth programs and working to ensure high quality programs and services for every child in the District.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table JY0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JY0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	6,500	11,400	3,000	3,000	0	N/A
<b>Total for General Fund</b>	<b>6,500</b>	<b>11,400</b>	<b>3,000</b>	<b>3,000</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>6,500</b>	<b>11,400</b>	<b>3,000</b>	<b>3,000</b>	<b>0</b>	<b>N/A</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table JY0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JY0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	6,500	11,400	3,000	3,000	0	N/A
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>6,500</b>	<b>11,400</b>	<b>3,000</b>	<b>3,000</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>6,500</b>	<b>11,400</b>	<b>3,000</b>	<b>3,000</b>	<b>0</b>	<b>N/A</b>

\*Percent change is based on whole dollars.

## Program Description

The District's subsidy to the CYITC is shown as a single program in the District's financial system. The CYITC's goals are funded through the following grant competitions:

- **Out-of-School Time/Youth Development Programs** – provide services, supports, and opportunities to children and youths during out-of-school hours, promoting academic achievement and positive youth outcomes, which can reduce negative behavior that correlates with unsupervised, unstructured time outside of school;
- **Older Youth Programs** – support youths between the ages of 14 and 24 years old, both those enrolled in school and those no longer connected to school, in the areas of academic support, college-going culture, job readiness, and community service;
- **Parent Center Programs** – provide support services to parents of young and adolescent children with parenting skills that help ensure their children are ready for and succeeding in school; and
- **Summer Programs** – provide enriching opportunities to ensure that children and youths aged 5 to 24 years old are safe and have opportunities to enhance their learning over the summer months. These funds include providing alternative services during late night hours.

The CYITC has two major funding cycles: one for school-year youth-serving and year-round parent center programs, and one for summer youth-serving program.

## Program Structure Change

The Children and Youth Investment Collaborative has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table JY0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table JY0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Children Investment Trust</b>								
(1100) Children Investment Trust	11,400	3,000	3,000	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Children Investment Trust</b>	<b>11,400</b>	<b>3,000</b>	<b>3,000</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>11,400</b>	<b>3,000</b>	<b>3,000</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Children and Youth Investment Collaborative has no changes from the FY 2015 approved budget to the FY 2016 proposed budget.



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# Office on Asian and Pacific Islander Affairs

www.apia.dc.gov

Telephone: 202-727-3120

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$1,047,119	\$942,646	\$834,599	-11.5
FTEs	5.5	6.0	6.0	0.0

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The mission of the Office on Asian and Pacific Islander Affairs (OAPIA) is to improve the quality of life for District Asian Americans and Pacific Islanders (AAPI) through advocacy and engagement.

## Summary of Services

OAPIA provides a diverse range of services that are critical to ensuring the District delivers equal access to its programs and services for District AAPI residents and merchants. OAPIA serves as the primary access point for AAPI residents and merchants with language and cultural barriers and also serves as primary liaison to engage AAPI residents to participate in the community as a whole, both economically and socially.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table AP0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table AP0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	785	783	943	835	-108	-11.5
Special Purpose Revenue Funds	0	84	0	0	0	N/A
<b>Total for General Fund</b>	<b>785</b>	<b>867</b>	<b>943</b>	<b>835</b>	<b>-108</b>	<b>-11.5</b>
<b>Intra-District Funds</b>						
Intra-District Funds	18	180	0	0	0	N/A
<b>Total for Intra-District Funds</b>	<b>18</b>	<b>180</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>803</b>	<b>1,047</b>	<b>943</b>	<b>835</b>	<b>-108</b>	<b>-11.5</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table AP0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table AP0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b>General Fund</b>						
Local Funds	6.1	5.5	6.0	6.0	0.0	0.0
<b>Total for General Fund</b>	<b>6.1</b>	<b>5.5</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>6.1</b>	<b>5.5</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>	<b>0.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table AP0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table AP0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	130	193	114	292	178	155.9
12 - Regular Pay - Other	295	323	348	193	-155	-44.5
14 - Fringe Benefits - Current Personnel	103	108	136	123	-13	-9.3
<b>Subtotal Personal Services (PS)</b>	<b>528</b>	<b>625</b>	<b>598</b>	<b>609</b>	<b>11</b>	<b>1.8</b>
20 - Supplies and Materials	4	12	3	4	0	7.9
31 - Telephone, Telegraph, Telegram, Etc.	3	2	0	0	0	N/A
40 - Other Services and Charges	34	115	8	9	1	12.5
50 - Subsidies and Transfers	230	213	334	214	-120	-36.0
70 - Equipment and Equipment Rental	4	80	0	0	0	N/A
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>276</b>	<b>422</b>	<b>345</b>	<b>226</b>	<b>-119</b>	<b>-34.5</b>
<b>Gross Funds</b>	<b>803</b>	<b>1,047</b>	<b>943</b>	<b>835</b>	<b>-108</b>	<b>-11.5</b>

\*Percent change is based on whole dollars.

### Program Description

The Office on Asian and Pacific Islander Affairs operates through the following 2 programs:

**APIA Programs** – provides outreach, education, and funding to Asian American and Pacific Islander (AAPI) community members and guidance to District agencies to help ensure equitable access to government services and programs for AAPI community members.

This program contains the following 3 activities:

- **Advocacy** – provides capacity and funding support to community-based organizations providing vital services to the AAPI community;
- **Outreach/Education** – conducts outreach, case assistance, educational workshops, and cultural events for AAPI residents and merchants; and
- **Inter-Agency Coordination** – provides technical assistance and guidance to District agencies on providing appropriate services to Asian and non-English proficient constituents, and monitors the performance of these agencies in providing language access.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

## Program Structure Change

The Office on Asian and Pacific Islander Affairs has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table AP0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table AP0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	103	115	120	5	0.9	1.0	1.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>103</b>	<b>115</b>	<b>120</b>	<b>5</b>	<b>0.9</b>	<b>1.0</b>	<b>1.0</b>	<b>0.0</b>
<b>(2000) APIA Programs</b>								
(2100) Advocacy	134	119	123	4	1.0	1.1	1.1	0.0
(2200) Outreach/Education	710	602	483	-118	2.6	2.9	2.9	0.0
(2300) Inter-Agency Coordination	100	106	108	1	0.9	1.0	1.0	0.0
<b>Subtotal (2000) APIA Programs</b>	<b>944</b>	<b>828</b>	<b>714</b>	<b>-113</b>	<b>4.6</b>	<b>5.0</b>	<b>5.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>1,047</b>	<b>943</b>	<b>835</b>	<b>-108</b>	<b>5.5</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The Office on Asian and Pacific Islander Affairs' (OAPIA) proposed FY 2016 gross budget is \$834,599, which represents an 11.5 percent decrease from its FY 2015 approved gross budget of \$942,646. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

OAPIA's FY 2016 CSFL budget is \$834,599, which represents a \$108,047, or 11.5 percent, decrease from the FY 2015 approved Local funds budget of \$942,646.

## CSFL Assumptions

The FY 2016 CSFL calculated for OAPIA included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$120,000 to account for the removal of one-time funding appropriated in FY 2015 to support a domestic violence intervention program and other services. Additionally, adjustments were made for a net increase of \$11,953 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments and approved compensation agreements implemented in FY 2015.

## Agency Budget Submission

**Increase:** OAPIA's proposed budget for Local funds includes a net increase of \$174,562 in the budget allocation for Regular Pay - Continuous Full Time due to the conversion of 2.0 Full-Time Equivalent (FTEs) from Regular Pay - Other to full-time status. Additionally, the budget allocation for nonpersonal services increased by \$1,232 in the APIA Programs to cover procurement of office supplies.

**Decrease:** The agency's budget submission reflects a reduction of \$10,658 based on adjustments for projected Fringe Benefits costs. Additionally, a reduction of \$165,135 reflects the offset in Regular Pay - Other in the conversion of two positions from full-time temporary to full-time permanent status.

## Mayor's Proposed Budget

**No Change:** The Office on Asian and Pacific Islander Affairs budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table AP0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table AP0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>943</b>	<b>6.0</b>
Removal of One-Time Funding	Multiple Programs	-120	0.0
Other CSFL Adjustments	Multiple Programs	12	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>835</b>	<b>6.0</b>
Increase: To adjust personal services	Multiple Programs	175	2.0
Increase: To align funding with nonpersonal services costs	APIA Programs	1	0.0
Decrease: To align the Fringe Benefits budget with projected costs	Multiple Programs	-11	0.0
Decrease: To recognize savings from a reduction in FTEs	Multiple Programs	-165	-2.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>835</b>	<b>6.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>835</b>	<b>6.0</b>
<b>Gross for AP0 - Office on Asian and Pacific Islander Affairs</b>		<b>835</b>	<b>6.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

**Objective 1:** Ensure AAPI community's access to District government services by providing expanded outreach efforts, advocacy, and problem-solving services.

**Objective 2:** Ensure capacity of District agencies to deliver culturally and linguistically competent services to the AAPI community by providing technical assistance and policy guidance.

**Objective 3:** Increase understanding of the AAPI community among other diverse communities and promote civic engagement and participation of AAPIs in the District.

## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of community members <sup>1</sup> reached through outreach efforts <sup>2</sup>	Not Available	34,716	57,641 <sup>3</sup>	36,452	38,275	40,189
Percent of constituent cases resolved	100%	95%	95%	95%	95%	95%
Percent of satisfactory or above rating on OAPIA efforts	100%	90%	90%	90%	90%	90%
Number of outreach and capacity building/technical assistance <sup>4</sup> efforts to AAPI community members <sup>5</sup> and District agencies	217	296	3,162 <sup>6</sup>	311	327	343
Subgrantees' percent of budget spent on programmatic costs <sup>7</sup>	100%	65%	100%	65%	65%	65%
Percent of scheduled monitoring reports as defined in agency monitoring plan completed for each grant award <sup>8</sup>	100%	100%	100%	100%	100%	100%

### Performance Plan Endnotes:

<sup>1</sup>This number is not a unique count and includes both District and non-District community members.

<sup>2</sup>Outreach efforts include, but not limited to door-to-door outreach, events attended, events that OAPIA organized, phone calls, mailings, and emails sent.

<sup>3</sup>Significant increase in numbers is due to hiring temporary employees in FY 2014.

<sup>4</sup>Capacity building/technical assistance efforts include:

- To AAPI community members: case assistance, trainings and workshops, referrals, information dissemination, resource sharing, and community based organization (CBO) visits.
- To District agencies: providing information on the AAPI community using U.S. Census data and assisting in data collection design, providing information on the AAPI community through reports, articles, and other informational sources, providing information on resources to receive cultural competency training, providing guidance and/or support from OAPIA in the recruitment of bilingual personnel, and reviewing BLAP/Baseline Assessments/Reports. It also includes: training sessions, consultation sessions, information dissemination, and resource sharing.

<sup>5</sup>Community members are residents, advocates, small businesses, and non-profits.

<sup>6</sup>Significant increase in numbers is due to hiring temporary employees in FY 2014.

<sup>7</sup>The Wise Giving Alliance of the Better Business Bureau identifies 65 percent to be an industry standard for this measure (Source: <http://www.bbb.org/us/Charity-Standards/>). This metric measures all subgrantees' programmatic costs as a percentage of their overall costs.

<sup>8</sup>Pursuant to 11.4 of the Grants Manual and Source Book, all District agencies must complete monitoring reports. All District agencies should be in compliance with this standard. The standard is 100 percent.

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# Office of Veterans' Affairs

[www.ova.dc.gov](http://www.ova.dc.gov)

Telephone: 202-724-5454

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$406,145	\$415,595	\$418,959	0.8
FTEs	4.8	4.0	4.0	0.0

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The mission of the District of Columbia Office of Veterans' Affairs (OVA) is to assist, recognize, and effectively advocate on behalf of District of Columbia veterans and their families.

## Summary of Services

The District of Columbia Office of Veterans' Affairs assists District veterans and their dependents and survivors in applying for and using federal Department of Veterans Affairs benefit entitlements. OVA also supports veterans recently released from active-duty service with transition assistance from military life to civilian life. Finally, OVA connects District veterans with supportive and wrap-around District and federal services that address homelessness, employment, education, ex-offender reentry, military family issues, and quality of life.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table VA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table VA0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	366	406	411	414	3	0.8
Special Purpose Revenue Funds	0	0	5	5	0	0.0
<b>Total for General Fund</b>	<b>366</b>	<b>406</b>	<b>416</b>	<b>419</b>	<b>3</b>	<b>0.8</b>
<b>Gross Funds</b>	<b>366</b>	<b>406</b>	<b>416</b>	<b>419</b>	<b>3</b>	<b>0.8</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table VA0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table VA0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	4.0	4.8	4.0	4.0	0.0	0.0
<b>Total for General Fund</b>	<b>4.0</b>	<b>4.8</b>	<b>4.0</b>	<b>4.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>4.0</b>	<b>4.8</b>	<b>4.0</b>	<b>4.0</b>	<b>0.0</b>	<b>0.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table VA0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table VA0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	148	167	170	237	67	39.4
12 - Regular Pay - Other	124	147	118	39	-79	-66.8
13 - Additional Gross Pay	4	0	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	71	75	98	73	-25	-25.7
<b>Subtotal Personal Services (PS)</b>	<b>347</b>	<b>389</b>	<b>387</b>	<b>350</b>	<b>-37</b>	<b>-9.6</b>
20 - Supplies and Materials	0	2	3	3	0	0.0
40 - Other Services and Charges	12	13	26	29	3	11.5
41 - Contractual Services - Other	7	2	0	0	0	N/A
70 - Equipment and Equipment Rental	0	0	0	38	38	0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>19</b>	<b>17</b>	<b>29</b>	<b>69</b>	<b>41</b>	<b>140.9</b>
<b>Gross Funds</b>	<b>366</b>	<b>406</b>	<b>416</b>	<b>419</b>	<b>3</b>	<b>0.8</b>

\*Percent change is based on whole dollars.

## **Program Description**

The Office of Veterans' Affairs operates through the following 2 programs:

**Veterans** – provides efficient veteran benefits and claims assistance, effective advocacy and outreach, recognition assistance, and services to District veterans and their families so that they may receive timely veteran benefit assistance and services from the federal Department of Veterans Affairs and other governmental agencies.

This program contains the following 2 activities:

- **Recognition** – ensures District veterans are recognized by the Mayor's office during recognition events. To ensure involvement in recognition programs, the agency actively participates in and supports recognition efforts of veteran and military organizations and non-affiliated organizations. All efforts are targeted to ensure District veterans receive proper respect and recognition for their service to this country; and
- **Outreach** – ensures agency contact with veterans in the District for purposes of recognition, connection to the Department of Veterans Affairs for benefit claims and health services, and connection to District and community services. All efforts are targeted to ensure veterans in the District are provided the opportunity to improve the quality of their lives.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

## **Program Structure Change**

The Office of Veterans' Affairs has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table VA0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table VA0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	13	15	14	-1	0.1	0.1	0.1	0.0
(1015) Training and Employee Development	13	15	13	-1	0.1	0.1	0.1	0.0
(1020) Contracting And Procurement	13	15	13	-1	0.1	0.1	0.1	0.0
(1030) Property Management	13	15	13	-1	0.1	0.1	0.1	0.0
(1040) Information Technology	13	15	13	-1	0.1	0.1	0.1	0.0
(1050) Financial Management	91	94	97	3	1.4	1.2	1.2	0.0
(1060) Legal	13	15	13	-1	0.1	0.1	0.1	0.0
(1070) Fleet Management	1	2	1	-1	0.0	0.0	0.0	0.0
(1080) Communications	13	15	13	-1	0.1	0.1	0.1	0.0
(1085) Customer Service	13	15	13	-1	0.1	0.1	0.1	0.0
(1090) Performance Management	13	15	13	-1	0.1	0.1	0.1	0.0
<b>Subtotal (1000) Agency Management</b>	<b>211</b>	<b>228</b>	<b>219</b>	<b>-9</b>	<b>2.5</b>	<b>2.1</b>	<b>2.1</b>	<b>0.0</b>
<b>(2000) Veterans</b>								
(2100) Recognition	166	146	157	11	1.9	1.6	1.6	0.0
(2200) Outreach	29	42	44	1	0.4	0.3	0.3	0.0
<b>Subtotal (2000) Veterans</b>	<b>195</b>	<b>188</b>	<b>200</b>	<b>12</b>	<b>2.3</b>	<b>1.9</b>	<b>1.9</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>406</b>	<b>416</b>	<b>419</b>	<b>3</b>	<b>4.8</b>	<b>4.0</b>	<b>4.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Office of Veterans' Affairs (OVA) proposed FY 2016 gross budget is \$418,959, which represents a 0.8 percent increase over its FY 2015 approved gross budget of \$415,595. The budget is comprised of \$413,959 in Local funds and \$5,000 in Special Purpose Revenue funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

OVA's FY 2016 CSFL budget is \$413,959, which represents a \$3,364, or 0.8 percent, increase over the FY 2015 approved Local funds budget of \$410,595.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for OVA included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$3,364 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015.

### **Agency Budget Submission**

**Increase:** OVA's proposed Local funds budget reflects a net increase of \$40,503 in nonpersonal services. This adjustment is comprised of increases of \$37,549 to support the purchase of a new computer system and \$3,457 for software and professional services fees, both in the Veterans Programs, and a reduction of \$504 in the Agency Management program.

**Decrease:** In Local funds, personal services were decreased by \$40,503 to reflect salary adjustments and Fringe Benefits costs in the Veterans and Agency Management programs and offset the increase in nonpersonal services.

### **Mayor's Proposed Budget**

**No Change:** The Office of Veterans' Affairs budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table VA0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table VA0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>411</b>	<b>4.0</b>
Other CSFL Adjustments	Multiple Programs	3	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>414</b>	<b>4.0</b>
Increase: Contract and procurement for a new computer system	Multiple Programs	41	0.0
Decrease: To adjust continuing full time personal services and Fringe Benefits projected costs	Multiple Programs	-41	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>414</b>	<b>4.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>414</b>	<b>4.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>5</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>5</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>5</b>	<b>0.0</b>
<b>Gross for VA0 - Office of Veterans' Affairs</b>		<b>419</b>	<b>4.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016.

**Objective 1:** Expand outreach and advocacy for District veterans and their families.

**Objective 2:** Increase recognition of the military service of District veterans.

**Objective 3:** Streamline efforts to communicate with and inform returning District veterans of their earned benefits as well as events that are happening in their community.

### KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of veterans events produced by OVA	3	4	4	4	5	5
Number of veterans events and programs coordinated in partnerships with other organizations	69	50	70	55	60	60
Number of District veterans contacted	400	600	407	600	650	700
Percent of veterans who rate OVA services at 98 percent or better	97.4%	100%	100%	100%	100%	100%
Number of veterans assisted	595	500	550	525	600	600
Number of veterans/families assisted through OVA's Furniture Donation program	72	125	155	150	155	155
Number of veterans license plates issued/sold	79	50	78	50	50	50
Revenue received through the Veterans License Plate program	\$2,889	\$2,950	\$3,352	\$2,950	\$2,950	\$2,950
Percent of veterans successfully completing PHASE 1 of the Veteran Affairs Learning Opportunity Residency (VALOR) program	95%	95%	100%	Not Available	Not Available	Not Available

# Department of Youth Rehabilitation Services

www.dyrs.dc.gov

Telephone: 202-576-8175

Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$100,360,406	\$106,284,728	\$106,362,935	0.1
FTEs	548.9	554.5	557.5	0.5

The mission of the Department of Youth Rehabilitation Services (DYRS) is to improve public safety and give court-involved youth the opportunity to become more productive citizens by building on the strengths of youth and their families in the least restrictive, most home-like environment consistent with public safety.

## Summary of Services

The D.C. Department of Youth Rehabilitation Services is the local juvenile justice agency responsible for providing safe and stable secure residential and community-based programs to court-involved youth. Programming targeting committed youth is designed to expand opportunities to youth so that they can become more productive citizens and to reduce delinquent behavior. In addition, DYRS provides secure detention and effective detention alternative programs to youth who are placed under the custody of the D.C. Superior Court's Division of Social Services.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table JZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JZ0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	100,185	99,826	105,899	106,019	120	0.1
<b>Total for General Fund</b>	<b>100,185</b>	<b>99,826</b>	<b>105,899</b>	<b>106,019</b>	<b>120</b>	<b>0.1</b>
<b>Federal Resources</b>						
Federal Grant Funds	665	0	0	0	0	N/A
<b>Total for Federal Resources</b>	<b>665</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	729	534	386	344	-42	-10.9
<b>Total for Intra-District Funds</b>	<b>729</b>	<b>534</b>	<b>386</b>	<b>344</b>	<b>-42</b>	<b>-10.9</b>
<b>Gross Funds</b>	<b>101,578</b>	<b>100,360</b>	<b>106,285</b>	<b>106,363</b>	<b>78</b>	<b>0.1</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table JZ0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table JZ0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	551.3	548.9	554.5	557.5	3.0	0.5
<b>Total for General Fund</b>	<b>551.3</b>	<b>548.9</b>	<b>554.5</b>	<b>557.5</b>	<b>3.0</b>	<b>0.5</b>
<b>Total Proposed FTEs</b>	<b>551.3</b>	<b>548.9</b>	<b>554.5</b>	<b>557.5</b>	<b>3.0</b>	<b>0.5</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table JZ0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JZ0-3**  
(dollars in thousands)

<b>Comptroller Source Group</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
11 - Regular Pay - Continuing Full Time	27,787	28,887	28,469	31,413	2,944	10.3
12 - Regular Pay - Other	2,767	1,647	4,637	3,672	-965	-20.8
13 - Additional Gross Pay	2,747	3,293	2,331	2,331	0	0.0
14 - Fringe Benefits - Current Personnel	7,803	7,630	9,336	9,754	418	4.5
15 - Overtime Pay	3,913	2,681	3,060	2,700	-360	-11.8
<b>Subtotal Personal Services (PS)</b>	<b>45,017</b>	<b>44,137</b>	<b>47,833</b>	<b>49,870</b>	<b>2,037</b>	<b>4.3</b>
20 - Supplies and Materials	1,374	1,672	1,954	1,981	27	1.4
31 - Telephone, Telegraph, Telegram, Etc;	45	34	0	0	0	N/A
34 - Security Services	0	1,275	0	0	0	N/A
40 - Other Services and Charges	1,514	2,189	3,815	2,994	-821	-21.5
41 - Contractual Services - Other	2,583	1,569	2,718	2,770	52	1.9
50 - Subsidies and Transfers	50,204	48,701	49,386	48,178	-1,208	-2.4
70 - Equipment and Equipment Rental	840	782	579	570	-8	-1.5
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>56,561</b>	<b>56,223</b>	<b>58,451</b>	<b>56,493</b>	<b>-1,959</b>	<b>-3.4</b>
<b>Gross Funds</b>	<b>101,578</b>	<b>100,360</b>	<b>106,285</b>	<b>106,363</b>	<b>78</b>	<b>0.1</b>

\*Percent change is based on whole dollars.

## Division Description

The Department of Youth Rehabilitation Services operates through the following 5 divisions:

**Office of the Director** – provides executive leadership, direction, and administration of agencywide comprehensive services and programs, including development and deployment of resources for agency operations and service delivery; and direct reporting from internal integrity, general counsel, communications, and inter/intra-governmental affairs to align the District and agency’s strategies and achieve DYRS’ goals.

This division contains the following 5 activities:

- **Office of the Director** – provides executive leadership, direction, and administration of agencywide comprehensive services and programs to align the District and agency’s strategies and achieve DYRS’ goals;
- **Office of the Chief of Staff** – provides senior-level coordination for planning, organizing, and developing agency policies, regulations, directives, and procedures;
- **Communications and Public Affairs** – manages the agency's communications and public relations activities, including maintaining liaison with the Mayor’s Office, District Council, members of the news media, advocates, community groups, and the public, while producing internal and external communications material and overseeing content on the agency website and social media;
- **Office of Internal Integrity** – takes responsibility for swift and competent internal investigations into allegations and indications of unprofessional and unlawful conduct by employees or contractors of the department. Convenes and conducts disciplinary hearings at the New Beginnings Youth Development Center and the Youth Services Center for youth who have been administratively charged with major violations of the Comprehensive Disciplinary Code and served a Notice of Disciplinary Hearing; and
- **Office of the General Counsel** – reviews legal matters pertaining to the agency and its programs, analyzes existing or proposed federal or local legislation and rules, manages the development of new legislation and rules, and coordinates legal services to the agency.

**Strategic Planning and Performance Management** – provides supervision and administrative support to risk management, contract compliance, information services, and quality assurance and research functions to assure DYRS’ goals are met. This division collaborates with national and local officials to develop program strategy and policies, ensures adherence to federal reporting guidelines, and provides strategic leadership to the agency in developing comprehensive short and long-term program plans.

This division contains the following 5 activities:

- **Deputy Director for Strategic Planning and Performance Management** – provides supervision and administrative support to risk management, contract compliance, information services, and quality assurance and research functions to assure DYRS’ goals are met;
- **Information Management** – provides technical support for the agency's automated information management and web-based operations systems, including information systems technology, systems operations, and data- base administration;
- **Quality Assurance and Research** – oversees and coordinates the assessment, monitoring, review, and evaluation of DYRS compliance with federal and local requirements, consent decrees, court orders, judicial mandates, departmental guidelines, and procedures;
- **Contract Monitoring and Compliance** – ensures the timely delivery of quality community-based and residential services in safe and therapeutic settings with fiscal integrity; and
- **Office of Professional Development** – supports the agency’s mission by offering services to support the training and professional development of the agency’s human capital resources.

**Youth and Family Programs** – provides Community Services for court-ordered youth, including Supervised Independent Living Programs, Extended Family Homes, Residential Treatment Facilities, and Therapeutic Foster Care. Provides custodial care, supervision, services, support, and opportunities to youth committed to the care and custody of DYRS and care and custody of youth awaiting court processing who are placed in the secure detention facility (Youth Services Center) or shelter care by the D.C. Superior Court.

This division contains the following 5 activities:

- **Deputy Director for Youth Programs** – provides supervision and administrative support to youth and family empowerment, youth development services, residential programs, and health services administration to assure DYRS’ goals are met;
- **Youth and Family Empowerment** – ensures delivery of vital community-based support services and programs including DC Youthlink, workforce training, job placement services, educational support, electronic monitoring, and community engagement focused on coordinating family outreach programming, parent support groups, parent orientations, and family engagement events;
- **Youth Development Services** – provides individualized case and care planning, management, and monitoring for all DYRS youth and families, including Pre-Dispositional Plan Development and Youth Family Team Meeting facilitation services;
- **Residential Programs and Services** – provides management oversight, supervision, and administrative support to assure DYRS’ goals are met as related to detained and committed populations, while managing all referrals to contracted residential services, and tracks the utilization of contracted programs and services to inform planning and resource allocation. Residential program staff is responsible for providing short-term care in secure custody at the Youth Services Center (YSC) for youth awaiting adjudication, disposition, or transfer to another facility. YSC provides 24-hour custody, care, and supervision, and programs to support the basic physical, emotional, religious, educational and social needs for juveniles in secure custody. The New Beginnings Youth Development Center, located in Laurel, Maryland, provides 24-hour supervision, custody, and care, including educational, recreational and workforce development services. The facility’s six-to-twelve month rehabilitation program, modeled after the acclaimed Missouri approach, serves the most serious and chronic young offenders. The program prepares youth for community reintegration in the least restrictive environment consistent with public safety grounded in the principles of positive youth development and guided peer interaction that promote youth rehabilitation; and
- **Health and Wellness Services** – provides food services, acute care services, immunizations, health and wellness education, behavioral health services, and preventative and comprehensive medical services to all DYRS youth in secure care.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The Department of Youth Rehabilitation Services has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table JZ0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table JZ0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Agency Management/Personnel	699	1,066	977	-88	9.9	8.0	8.0	0.0
(1015) Agency Management/Training	601	1,298	0	-1,298	5.9	9.0	0.0	-9.0
(1020) Contracts and Procurement	413	668	651	-17	5.9	6.0	6.0	0.0
(1030) Property Management	4,437	4,635	4,319	-316	20.3	21.5	23.0	1.5
(1055) Risk Management	0	0	382	382	0.0	0.0	3.0	3.0
(1070) Fleet Management	482	467	571	104	4.0	4.0	3.0	-1.0
(1090) Performance Management	329	591	602	11	5.9	5.0	5.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>6,961</b>	<b>8,725</b>	<b>7,502</b>	<b>-1,223</b>	<b>52.0</b>	<b>53.5</b>	<b>48.0</b>	<b>-5.5</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	327	385	418	33	4.0	3.0	3.0	0.0
(120F) AFO Accounting Operations	165	167	172	6	2.0	2.0	2.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>492</b>	<b>551</b>	<b>590</b>	<b>38</b>	<b>5.9</b>	<b>5.0</b>	<b>5.0</b>	<b>0.0</b>
<b>(4000) Health Services</b>								
(4020) Primary Care	-9	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (4000) Health Services</b>	<b>-9</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(7000) Office of the Director</b>								
(7010) Office of the Director	291	219	309	91	2.0	2.0	3.0	1.0
(7020) Office of the Chief of Staff	970	1,448	1,443	-4	5.0	3.0	3.0	0.0
(7030) Communications and Public Affairs	230	344	359	15	3.0	2.0	2.0	0.0
(7040) Office of Internal Integrity	453	838	796	-42	6.9	9.0	9.0	0.0
(7050) Office of General Counsel	0	0	512	512	0.0	0.0	4.0	4.0
<b>Subtotal (7000) Office of the Director</b>	<b>1,943</b>	<b>2,848</b>	<b>3,419</b>	<b>571</b>	<b>16.8</b>	<b>16.0</b>	<b>21.0</b>	<b>5.0</b>
<b>(8000) Strategic Planning and Performance Management</b>								
(8010) Dep. Dir. for Strategic Plan and Performance Mgmt.	20	320	233	-88	2.0	2.0	1.0	-1.0
(8020) Information Management	1,101	1,327	1,104	-222	4.0	4.0	4.0	0.0
(8030) Risk Management Services	330	368	0	-368	3.0	3.0	0.0	-3.0
(8040) Quality Assurance and Research	1,220	870	1,170	300	7.9	9.0	15.0	6.0
(8050) Contract Monitoring and Compliance	655	853	926	73	9.9	9.0	11.0	2.0
(8060) Office of Professional Development	0	0	942	942	0.0	0.0	5.0	5.0
<b>Subtotal (8000) Strategic Planning and Performance Management</b>								
<b>Management</b>	<b>3,325</b>	<b>3,738</b>	<b>4,375</b>	<b>637</b>	<b>26.7</b>	<b>27.0</b>	<b>36.0</b>	<b>9.0</b>

(Continued on next page)

**Table JZ0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(9000) Youth and Family Programs</b>								
(9010) Deputy Director for Youth Programs	36,462	295	804	510	2.0	4.0	7.0	3.0
(9020) Youth and Family Empowerment	12,181	14,387	16,498	2,111	13.9	15.0	16.5	1.5
(9030) Youth Development Services	5,550	5,319	4,919	-400	56.4	62.0	58.0	-4.0
(9040) Residential Programs and Services	25,628	62,303	59,715	-2,588	318.7	320.0	311.0	-9.0
(9050) Health and Wellness Services	7,795	8,118	8,540	422	56.4	52.0	55.0	3.0
<b>Subtotal (9000) Youth and Family Programs</b>	<b>87,616</b>	<b>90,423</b>	<b>90,477</b>	<b>55</b>	<b>447.4</b>	<b>453.0</b>	<b>447.5</b>	<b>-5.5</b>
<b>(9960) Year End Close</b>								
No Activity Assigned	31	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (9960) Year End Close</b>	<b>31</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>100,360</b>	<b>106,285</b>	<b>106,363</b>	<b>78</b>	<b>548.9</b>	<b>554.5</b>	<b>557.5</b>	<b>3.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.



## **FY 2016 Proposed Budget Changes**

The Department of Youth Rehabilitation Services' (DYRS) proposed FY 2016 gross budget is \$106,362,935, which represents a 0.1 percent increase over its FY 2015 approved gross budget of \$106,284,728. The budget is comprised of \$106,018,935 in Local funds and \$344,000 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DYRS' FY 2016 CSFL budget is \$107,300,537, which represents a \$1,401,959, or 1.3 percent, increase over the FY 2015 approved Local funds budget of \$105,898,578.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DYRS included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$1,304,805 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$59,799 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent. Additionally, DYRS' CSFL funding for Other Adjustments reflects a net increase of \$37,355. The amount is comprised of an increase of \$402,469 for the transfer of 3.0 Full-Time Equivalent (FTE) attorney positions from the Office of the Attorney General of the District of Columbia, and a decrease of \$365,113 to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** In Local funds, DYRS' budget increased by \$693,465 to reflect personal services adjustments across multiple divisions. The increase is primarily due to 16.5 FTEs moving from Temporary Part-Time and Term Full-Time to Continuing Full-Time status. The adjustment to Continuing Full-Time positions occurred mainly in the Strategic Planning and Performance Management division for the new Office of Professional Development activity, which provides DYRS employees with valuable training opportunities to enhance performance and productivity. The increase, which is partially offset by a reduction in overtime pay, also reflects step increases, Fringe Benefit adjustments, and other miscellaneous personnel changes. In the Youth and Family Programs division, Contractual Services supports an increase of \$246,284 to reflect DYRS' transition to an electronic medical records system and the agency's reduced reliance on outside medical service providers. DYRS' proposed budget contains an increase of \$78,896 in nonpersonal services to support anticipated increases in drug costs administered to youth at the New Beginnings Youth Development Center (NB) and Youth Services Center (YSC) as well as the acquisition of information technology (IT) hardware and software for improved information management.

**Decrease:** In Local funds, DYRS' budget proposal reflects a \$244,396 reduction in Contractual Services due to anticipated savings in custodial and maintenance costs, and as a result of telecommunication and IT estimates being properly budgeted in the Office of the Chief Technology Officer. Additionally, DYRS' budget proposal contains a decrease of \$774,250 in nonpersonal services to reflect the NB and YSC floor restoration project's reduction in scope, the realignment of resources, and a reduction in the Community-Based Residential Facilities due to a projected decrease in the number of youths in out-of-state placements.

The agency's Intra-District funds decreased by \$42,150 in the Youth and Family Programs division due to the completion of a Memorandum of Understanding with the Office of the State Superintendent, which provided District youth with career and technical education.

**Technical Adjustment:** DYRS' proposed budget includes an increase of \$14,474 in the Office of the Director division to reflect personal services adjustments for attorney performance.

### **Mayor's Proposed Budget**

**Reduce:** In Local funds, DYRS' budget proposal includes reductions to reflect cost savings in nonpersonal services. A total of \$49,256 occurred in the Agency Management division due to a reduction in outside services, including employee training and development, and the elimination of parking at DYRS' temporary 14th street site, which employees occupied while the agency's primary office underwent renovations. Additionally, in the Office of the Director, DYRS' budget proposal reflects a decrease of \$57,500 because of reduced furniture and fixtures costs, and a decreased reliance on outside providers. In the Youth and Family Programs division, a savings of \$160,320 represents a reduction in office supplies, outside providers, consultants for technical and regulatory guidance, and youth assessment costs due to in-house availability of assessment tools. Also within the Youth and Family Programs division, a total decrease of \$1,029,000 is the result of reductions in residential placement costs, as the agency projects it will need to serve fewer youth in FY 2016, and in reliance on outside medical providers, due to in-house expertise.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table JZ0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table JZ0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>105,899</b>	<b>554.5</b>
Other CSFL Adjustments	Multiple Programs	1,402	3.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>107,301</b>	<b>557.5</b>
Increase: To adjust personal services	Multiple Programs	693	0.0
Increase: To adjust the Contractual Services budget	Youth and Family Programs	246	0.0
Increase: To align funding with nonpersonal services costs	Multiple Programs	79	0.0
Decrease: To adjust the Contractual Services budget	Multiple Programs	-244	0.0
Decrease: To realize programmatic cost savings in nonpersonal services	Multiple Programs	-774	0.0
Technical Adjustment: To support performance-related pay adjustments and negotiated pay increases for Attorneys	Office of the Director	14	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>107,315</b>	<b>557.5</b>
Reduce: To eliminate parking at 14th street location, and reduce need for external services by relying on in-house expertise	Agency Management	-49	0.0
Reduce: Furniture and fixtures spending, and reliance on outside providers	Office of the Director	-58	0.0
Reduce: Office supplies and reliance on outside providers and consultants	Youth and Family Programs	-160	0.0
Reduce: Awaiting placement costs and reliance on outside medical service providers	Youth and Family Programs	-1,029	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>106,019</b>	<b>557.5</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>386</b>	<b>0.0</b>
Decrease: To realize programmatic cost savings in nonpersonal services	Youth and Family Programs	-42	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>344</b>	<b>0.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>344</b>	<b>0.0</b>
<b>Gross for JZ0 - Department of Youth Rehabilitation Services</b>		<b>106,363</b>	<b>557.5</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

### Committed Services Division

**Objective 1:** Provide community-based programs, services, supports, and opportunities that prepare youth to succeed.

**Objective 2:** Operate secure facilities that are safe and humane, and address youth needs using a strengths-based approach.

**Objective 3:** Provide services, supports, and opportunities to young people that will reduce their delinquent behavior and promote public safety.

## KEY PERFORMANCE INDICATORS

### Committed Services

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Committed youth in out-of-state residential placements	18.6%	13%	18%	15.5%	15%	14.5%
Rate of injuries to youth from assaults at New Beginnings Youth Development Center per 1,000 bed nights <sup>1</sup>	4.9	6.5	6.0	6.0	5.5	5
Average caseload – case manager to committed youth ratio	21.1	25	15.4	22	20	19
Newly committed youth that undergo a complete case planning process and are in placements and receiving services consistent with their Team Meeting and Success Plan	84.5%	95%	75%	95%	88%	90%
Recidivism rate for youth released from the DC Model Unit program within six months of the date of their release <sup>2</sup>	23%	29%	27.8%	28%	26%	24%
Committed youth connected to school or work at six-month intervals from the date of their enrollment in the Service Coalition	66.9%	70%	90.6%	80%	85%	90%
Committed youth connected to school or work, and a positive adult at six-month intervals from the date of their release from the DC Model Unit Program <sup>3</sup>	85.5%	80%	94.5%	80%	85%	90%

## Detained Services Division

**Objective 1:** Operate secure facilities that are safe and humane, and address youth needs using a strengths-based approach.

**Objective 2:** Provide individualized educational services and opportunities to youth in order to improve educational outcomes while reducing delinquent behavior and promoting safer communities.

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### KEY PERFORMANCE INDICATORS

#### Detained Services

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Rate of injuries to youth as a result of assaults at Youth Services Center (YSC) per 1,000 bed nights <sup>4</sup>	9.4	6.0	8.2	5.5	5.0	5.0
Youth receiving medical and mental health screening within four hours of admission to YSC <sup>5</sup>	98.2%	95%	79.2%	95%	95%	95%
Average daily ratio of direct care staff to youth during collection month	Not Available	Not Available	1.6	1.0	1.0	1.0

## Office of the Director

**Objective 1:** Develop a performance driven culture and infrastructure focusing on improving outcomes for youth in our care and supported by a qualified and well-trained professional staff.

**Objective 2:** Oversee the implementation of agencywide priorities.

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### KEY PERFORMANCE INDICATORS

#### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Youth Development Representative (YDR) positions vacant <sup>6</sup>	5.9%	8%	6.8%	8%	7.5%	7%
YDR staff in full compliance with training requirements	66.7%	80%	70.7%	80%	84%	88%
Direct Care staff trained in Positive Youth Development	Not Available	90%	57.1%	90%	95%	100%
Case management staff and social workers in professional development training track	Not Available	Not Available	Not Available	75% <sup>7</sup>	75%	75%

#### Performance Plan Endnotes:

<sup>1</sup>Industry Standard.

<sup>2</sup>Measures as a funding of involvement in a new offense.

<sup>3</sup>In school, GED or other educational program, employed, or enrolled in workforce training program. Positive adult assessed by youth's case manager.

<sup>4</sup>Industry Standard.

<sup>5</sup>Efficiency.

<sup>6</sup>Ibid.

<sup>7</sup>FY 2015 is the first year for this new Key Performance Indicator (KPI).



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# Department on Disability Services

[www.dds.dc.gov](http://www.dds.dc.gov)

Telephone: 202-730-1700

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$101,787,985	\$158,051,133	\$162,424,805	2.8
FTEs	390.3	431.0	439.0	1.9

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The mission of the Department on Disability Services (DDS) is to provide innovative, high-quality services that enable people with disabilities to lead meaningful and productive lives as vital members of their families, schools, workplaces, and communities in every neighborhood in the District of Columbia.

## Summary of Services

The Department on Disability Services (DDS) is composed of two administrations that oversee and coordinate services for residents with disabilities through a network of private and not-for-profit providers. The Developmental Disabilities Administration (DDA) ensures that residents with intellectual disabilities receive the services and supports they need to lead self-determined and valued lives in the community. DDA achieves this through the delivery of outreach and service coordination services; the development and management of a provider network delivering community residential, day, vocational, employment, and individual and family support services; and the operation of a comprehensive quality management program.

The Rehabilitation Services Administration (RSA) delivers vocational rehabilitation services focusing on employment and training activities that allow persons with disabilities to experience a greater quality of life by obtaining and sustaining employment, economic self-sufficiency, and independence. RSA provides employment marketing and placement services, vocational rehabilitation, inclusive business enterprises, and support for the D.C. Center for Independent Living. The Department on Disability Services also serves as the state agency for Social Security Disability Insurance determinations under the direction of the Social Security Administration.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table JM0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JM0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	54,071	57,216	115,930	117,625	1,695	1.5
Special Purpose Revenue Funds	5,143	4,545	7,550	7,363	-187	-2.5
<b>Total for General Fund</b>	<b>59,214</b>	<b>61,761</b>	<b>123,480</b>	<b>124,988</b>	<b>1,508</b>	<b>1.2</b>
<b>Federal Resources</b>						
Federal Grant Funds	24,573	25,953	27,014	28,230	1,216	4.5
Federal Medicaid Payments	6,163	8,720	7,497	9,146	1,649	22.0
<b>Total for Federal Resources</b>	<b>30,736</b>	<b>34,672</b>	<b>34,510</b>	<b>37,376</b>	<b>2,866</b>	<b>8.3</b>
<b>Private Funds</b>						
Private Grant Funds	5	0	10	10	0	0.0
<b>Total for Private Funds</b>	<b>5</b>	<b>0</b>	<b>10</b>	<b>10</b>	<b>0</b>	<b>0.0</b>
<b>Intra-District Funds</b>						
Intra-District Funds	50	5,355	51	51	0	0.0
<b>Total for Intra-District Funds</b>	<b>50</b>	<b>5,355</b>	<b>51</b>	<b>51</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>90,005</b>	<b>101,788</b>	<b>158,051</b>	<b>162,425</b>	<b>4,374</b>	<b>2.8</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Full-Time Equivalents, by Revenue Type**

Table JM0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table JM0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b><u>General Fund</u></b>						
Local Funds	199.6	203.8	210.6	210.6	0.0	0.0
<b>Total for General Fund</b>	<b>199.6</b>	<b>203.8</b>	<b>210.6</b>	<b>210.6</b>	<b>0.0</b>	<b>0.0</b>
<b><u>Federal Resources</u></b>						
Federal Grant Funds	161.1	159.2	191.4	194.4	3.0	1.6
Federal Medicaid Payments	22.7	27.2	29.0	34.0	5.0	17.2
<b>Total for Federal Resources</b>	<b>183.8</b>	<b>186.5</b>	<b>220.4</b>	<b>228.4</b>	<b>8.0</b>	<b>3.6</b>
<b>Total Proposed FTEs</b>	<b>383.4</b>	<b>390.3</b>	<b>431.0</b>	<b>439.0</b>	<b>8.0</b>	<b>1.9</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table JM0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table JM0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	25,471	26,655	30,742	31,888	1,146	3.7
12 - Regular Pay - Other	248	222	370	962	593	160.4
13 - Additional Gross Pay	228	337	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	5,622	5,899	7,466	7,731	265	3.5
15 - Overtime Pay	63	98	120	120	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>31,632</b>	<b>33,212</b>	<b>38,698</b>	<b>40,701</b>	<b>2,003</b>	<b>5.2</b>
20 - Supplies and Materials	173	109	172	172	0	0.0
31 - Telephone, Telegraph, Telegram, Etc.	382	427	477	499	22	4.7
32 - Rentals - Land and Structures	5,976	6,198	6,325	6,050	-275	-4.4
34 - Security Services	109	123	119	122	3	2.7
35 - Occupancy Fixed Costs	4	0	107	24	-83	-77.8
40 - Other Services and Charges	4,160	3,087	3,385	2,443	-943	-27.8
41 - Contractual Services - Other	2,277	2,281	2,544	2,256	-288	-11.3
50 - Subsidies and Transfers	44,932	55,993	105,874	109,807	3,933	3.7
70 - Equipment and Equipment Rental	359	357	349	351	1	0.4
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>58,372</b>	<b>68,576</b>	<b>119,353</b>	<b>121,724</b>	<b>2,371</b>	<b>2.0</b>
<b>Gross Funds</b>	<b>90,005</b>	<b>101,788</b>	<b>158,051</b>	<b>162,425</b>	<b>4,374</b>	<b>2.8</b>

\*Percent change is based on whole dollars.

### Division Description

The Department on Disability Services (DDS) operates through the following 5 divisions:

**Developmental Disabilities Administration (DDA)** – provides individualized services, supports, and life planning to individuals with intellectual and developmental disabilities so that they may lead self-determined and valued lives in the community.

This division contains the following 3 activities:

- **DDA Service Planning and Coordination** – provides services to qualified individuals by coordinating available resources and opportunities in the community through the development of Individualized Service Plans (ISPs), advocating for quality services to promote healthy and productive lifestyles for each person, completing monitoring activities to ensure the delivery of services and supports, completing all intake activities for new applicants, and coordination of activities carried out in D.C. Superior Court;

- **Quality Assurance** – examines and improves internal and external service delivery systems by conducting external provider reviews to ensure performance so that standards, federal and local regulations, quality frameworks issued by the Centers for Medicare and Medicaid Services (CMS), national best practices, and court mandates are met. Quality Assurance also includes functional responsibility for incident management and enforcement, rights and advocacy, CMS and Evans performance analysis, and reporting and mortality review; and
- **DDA Consumer Resources and Operations** – manages the human care provider network and administrative functions for DDA including budget compliance, service and billing authorization and residential portfolio management. Operates the Home and Community Based Services Waiver including provider enrollment, provision of technical assistance, and service authorization, and manages benefits and personal funds.

**Rehabilitation Services** – assists persons with physical, cognitive, and emotional disabilities to achieve a greater quality of life by obtaining and sustaining employment, economic self-sufficiency, and independence.

This division contains the following 4 activities:

- **RSA Vocational Rehabilitation Services** – assesses, plans, develops, and provides vocational rehabilitation services to individuals with disabilities to enable them to prepare for, maintain and advance in integrated, competitive employment; and provides services to businesses, including recruitment and job placement for people with disabilities and training for employers on issues related to hiring and maintaining employees with disabilities;
- **RSA Blind and Visual Impairment Services** – provides services to people with disabilities to help them live as independently as possible in the community (services include advocacy, independent living skills training, information and referral, peer support and transition – from secondary school to post-secondary activities and from nursing homes);
- **Quality Assurance** – provides monitoring and compliance reviews of internal and external operations and agencies, ensuring that RSA customers receive quality services that meet local and federal regulations; and
- **RSA Operations** – manages the human care provider network that serves RSA clients, provides oversight to the Randolph Sheppard Vending Facility Program, and processes payments for service providers.

**Disability Determination Services** – administers Social Security Disability Insurance and Supplemental Security Income eligibility determinations in conjunction with the federal Social Security Administration.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The Department on Disability Services has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table JM0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table JM0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	664	706	726	20	6.3	7.0	7.0	0.0
(1015) Training and Employee Development	455	569	530	-39	2.8	3.0	3.0	0.0
(1020) Contracts and Procurement	865	945	960	16	8.5	9.0	9.0	0.0
(1030) Property Management	6,988	6,736	6,433	-302	8.5	9.0	9.0	0.0
(1040) Information Technology	1,326	1,416	1,435	19	8.4	9.0	9.0	0.0
(1060) Legal Services	912	1,073	1,162	89	0.0	0.0	9.0	9.0
(1090) Performance Management	1,869	2,300	2,139	-161	9.7	16.0	16.0	0.0
(1099) Court Supervision	541	328	25	-303	0.0	0.0	0.0	0.0
(1120) Consumer Rights and Protection	130	171	182	11	1.8	2.0	2.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>13,750</b>	<b>14,243</b>	<b>13,593</b>	<b>-651</b>	<b>46.0</b>	<b>55.0</b>	<b>64.0</b>	<b>9.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	223	367	333	-34	2.6	3.0	3.0	0.0
(120F) Accounting Operations	257	518	552	34	4.4	5.0	5.0	0.0
(130F) Associate Chief Financial Officer	156	433	279	-154	2.8	3.0	2.0	-1.0
(140F) Agency Fiscal Officer	183	556	571	15	1.9	2.0	2.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>819</b>	<b>1,875</b>	<b>1,735</b>	<b>-140</b>	<b>11.7</b>	<b>13.0</b>	<b>12.0</b>	<b>-1.0</b>
<b>(6000) Development Disabilities Admin.</b>								
(6035) DDA Service Planning and Coordination	38,770	38,376	39,242	866	99.1	100.0	100.0	0.0
(6060) Quality Assurance	7,586	9,810	11,381	1,570	37.7	38.0	41.0	3.0
(6080) DDA Consumer Resources and Operations	12,289	62,353	63,848	1,494	45.7	47.0	44.0	-3.0
<b>Subtotal (6000) Development Disabilities Admin.</b>	<b>58,645</b>	<b>110,540</b>	<b>114,470</b>	<b>3,930</b>	<b>182.6</b>	<b>185.0</b>	<b>185.0</b>	<b>0.0</b>
<b>(7000) Rehabilitation Services</b>								
(7025) RSA Vocational Rehabilitation Services	14,934	14,402	16,215	1,813	61.6	68.0	68.0	0.0
(7030) RSA Blind and Visual Impairment Services	3,822	6,023	4,101	-1,922	18.1	19.0	19.0	0.0
(7055) RSA Disability Determination Services	7,548	0	0	0	46.8	0.0	0.0	0.0
(7060) Quality Assurance	651	738	706	-32	5.4	7.0	7.0	0.0
(7090) RSA Operations	1,619	1,674	1,732	59	18.1	20.0	20.0	0.0
<b>Subtotal (7000) Rehabilitation Services</b>	<b>28,574</b>	<b>22,837</b>	<b>22,754</b>	<b>-83</b>	<b>150.1</b>	<b>114.0</b>	<b>114.0</b>	<b>0.0</b>
<b>(8000) Disability Determination Services</b>								
(8055) Disability Determination Services	0	8,557	9,873	1,316	0.0	64.0	64.0	0
<b>Subtotal (8000) Disability Determination Services</b>	<b>0</b>	<b>8,557</b>	<b>9,873</b>	<b>1,316</b>	<b>0.0</b>	<b>64.0</b>	<b>64.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>101,788</b>	<b>158,051</b>	<b>162,425</b>	<b>4,374</b>	<b>390.3</b>	<b>431.0</b>	<b>439.0</b>	<b>8.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Department on Disability Services' (DDS) proposed FY 2016 gross budget is \$162,424,805, which represents a 2.8 percent increase over its FY 2015 approved gross budget of \$158,051,133. The budget is comprised of \$117,624,692 in Local funds, \$28,229,857 in Federal Grants funds, \$9,146,130 in Federal Medicaid Payments, \$10,000 in Private Grant funds, \$7,363,257 in Special Purpose Revenue funds, and \$50,869 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DDS' FY 2016 CSFL budget is \$116,249,775, which represents a \$319,880, or 0.3 percent, increase over the FY 2015 approved Local funds budget of \$115,929,895.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DDS included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$553,023 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$13,266 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DDS' CSFL funding for the Medicaid Growth Factor, which is not listed in detail in table 5, reflects an adjustment for an increase of \$81,173 to account for a District FY 2016 Medicaid growth rate of 2.2 percent based on the cost of healthcare services in the District projected by the Department of Health Care Finance (DHCF). Additionally, adjustments were made for a decrease of \$188,418 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2, and \$139,165 for the Fixed Cost Inflation Factor based on projections provided by the Department of General Services.

### **Agency Budget Submission**

The budget submission for the Department on Disability Services (DDS) supports its mission to provide innovative, high-quality services that enable people with disabilities to lead meaningful and productive lives as vital members of their families, schools, workplaces and communities in every neighborhood in the District of Columbia.

**Increase:** The proposed budget in Local funds reflects an increase of \$148,928 due to the projected increase in direct services; an increase of \$140,997 is proposed for projected salary step increases and Fringe Benefit costs; and an increase of \$20,291 for the FY 2016 Fixed Costs estimates for Telecommunications from the Office of the Chief Technology Officer.

In Federal Grant funds, an increase of \$980,901 supports planned step increases, Fringe Benefit costs, and an additional 3.0 Full-Time Equivalents (FTEs) previously compensated through the Intra-District process with the Office of the Attorney General (OAG). A net increase of \$249,365 across multiple programs is necessary to effectively and efficiently perform duties associated with Social Security Administration requirements.

A net increase of \$1,649,378 is proposed for Federal Medicaid Payments. This adjustment include increases of \$1,513,257 in the Developmental Disabilities Administration division for direct services, \$658,869 in personal service costs allocated across multiple divisions to support planned salary step increases, Fringe Benefits, and an additional 5.0 FTEs previously compensated through the Intra-District process with OAG. A proposed decrease of \$522,748 in nonpersonal services in the Agency Management division will offset the 5.0 FTEs.

The budget proposal in Special Purpose Revenue (SPR) funds reflects an increase of \$1,713,257 in the Developmental Disabilities Administration division, which is directly related to funds received from the Social Security Administration. DDS receives monthly benefits for which the agency is the representative payee for individuals with disabilities.

**Decrease:** The budget in Local funds includes a decrease of \$310,216 related to the court oversight associated with *Evans v. Bowser*. A decrease of \$14,025 in Federal Grant funds is primarily due to the Fixed Costs estimates for Rent from the Department of General Services. Finally, the budget submission includes a decrease of \$1,900,000 in SPR funds in the Rehabilitation Services division to the Randolph Sheppard Vending Facilities Program. This action aligns the budget with projected revenues received from facilities assigned to visually impaired vendors to provide employment and other economic opportunities for visually impaired persons to achieve financial independence.

### **Mayor's Proposed Budget**

**Enhance:** An adjustment in the budget increases Local funds by \$1,750,000 in the Rehabilitation Services division to support implementation of the Workforce Innovation and Opportunity Act (WIOA) of 2014 and cover an increase in anticipated demand for vocational rehabilitative services. The WIOA, which operates under the auspices of the United States Department of Labor, is designed to assist job seekers with access to training, employment, and support services in the labor market. Under WIOA, DDS will expand the range of vocational rehabilitation services it provides to students with disabilities. Approximately \$1,500,000 of the enhancement will go towards providing services such as career exploration, paid work experiences, self-advocacy training and work readiness training for high school students. The remaining \$250,000 will help cover the anticipated increase in demand for DDS' broad range of vocational rehabilitation services for people with disabilities.

**Reduce:** DDS' proposed budget in Local funds identifies savings in various operational areas in the Agency Management division, which accounts for decreases of \$32,652 in employee training, \$142,431 in salary lapse savings, and \$200,000 in Rent.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table JM0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table JM0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>115,930</b>	<b>210.6</b>
Other CSFL Adjustments	Multiple Programs	320	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>116,250</b>	<b>210.6</b>
Increase: To align funding with nonpersonal services costs	Multiple Programs	149	0.0
Increase: To adjust personal services	Multiple Programs	141	0.0
Increase: To align Fixed Costs with proposed estimates	Multiple Programs	20	0.0
Decrease: To adjust the Contractual Services budget	Agency Management	-310	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>116,250</b>	<b>210.6</b>
Enhance: Increase in services as a result of the Workforce Innovation and Opportunity Act	Rehabilitation Services	1,750	0.0
Reduce: Employee training	Agency Management	-33	0.0
Reduce: Recurring salary lapse savings	Agency Management	-142	0.0
Reduce: Reduction to rent obligations	Agency Management	-200	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>117,625</b>	<b>210.6</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>27,014</b>	<b>191.4</b>
Increase: To adjust personal services	Multiple Programs	981	3.0
Increase: To align budget with projected grant awards	Multiple Programs	249	0.0
Decrease: To align Fixed Costs with proposed estimates	Multiple Programs	-14	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>28,230</b>	<b>194.4</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>28,230</b>	<b>194.4</b>
<b>FEDERAL MEDICAID PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>7,497</b>	<b>29.0</b>
Increase: To align budget with projected federal Medicaid reimbursements	Multiple Programs	1,649	5.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>9,146</b>	<b>34.0</b>
No Change		0	0.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>9,146</b>	<b>34.0</b>
<b>PRIVATE GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>10</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>10</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>10</b>	<b>0.0</b>

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**Table JM0-5 (Continued)**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>7,550</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Development Disabilities	1,713	0.0
	Administration		
Decrease: To align budget with projected revenues	Rehabilitation Services	-1,900	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>7,363</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>7,363</b>	<b>0.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>51</b>	<b>0.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>51</b>	<b>0.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>51</b>	<b>0.0</b>
<b>Gross for JM0 - Department on Disability Services</b>		<b>162,425</b>	<b>439.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's has the following objectives and performance indicators for their Divisions:

### Developmental Disabilities Administration (DDA)

**Objective 1:** Ensure service and support are provided in a timely manner.

**Objective 2:** Utilize Person-Centered Planning and Delivery approaches to ensure each person's personal needs, expressed preferences, and decisions concerning his/her life in the community are planned and effectively implemented.

**Objective 3:** Recruit and retain qualified providers to meet specialty clinical and support needs; remove poorly performing providers (Age-Friendly DC Goal: Domain 8).

**Objective 4:** Improve the performance of DDA and the provider community to meet all health, safety and welfare requirements.

**Objective 5:** Protect individual rights and decision-making authority, as well as ensure implementation of due process and grievance procedures.

**Objective 6:** Increase the number of individuals who achieve positive quality of life outcomes in the areas of health, work, relationships and community inclusion (Age-Friendly DC Goal: Domains 4, 5, 6, 8).

**Objective 7:** Monitor the budget for the Medicaid Waiver program on a quarterly basis to ensure it is being managed to the projections of utilization and expenditures.

**Objective 8:** Limit increases in the average annual residential costs per consumer to 110 percent of the Consumer Price Index.

## KEY PERFORMANCE INDICATORS

### Developmental Disabilities Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average days to complete initial Individual Support Plan (ISP)	80	Not Available	70	60	60	60
Percent of annual Individual Support Plans (ISP) that are completed on-time	89%	95%	94%	95%	95%	95%
Percent of reported issues that are resolved on-time	45%	80%	66%	85%	90%	90%
Percent of waiver providers currently receiving a 12-month full certification	Not Available	80%	70%	75%	80%	85%
Percent of investigations completed within required timelines	89%	95%	94%	95%	95%	95%
Percent of DDA Service Coordinators, staff and supervisors who completed required competency-based training	93%	95%	98%	95%	95%	95%
Percent of people with a Level of Need (LON) assessment completed on schedule	88%	95%	58%	85%	90%	95%

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## KEY PERFORMANCE INDICATORS (Continued)

### Developmental Disabilities Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of people in supported or competitive employment	235	350	226	260	300	345
Percent of people with restrictive interventions who have an approved Behavior Support Plan	Not Available	90%	55%	95%	95%	95%

### Rehabilitation Services Administration (RSA)

**Objective 1:** Increase the number of District residents with disabilities who achieve employment and the quality of employment outcomes.

**Objective 2:** Improve RSA service delivery through more efficient operations and a more effective and skilled workforce.

**Objective 3:** Expand and improve the quality of transition services and improve coordination with the state education agency and all local education agencies.

**Objective 4:** Develop a Self-Employment/Entrepreneurship Program.

## KEY PERFORMANCE INDICATORS

### Rehabilitation Services Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of people placed by RSA that remained employed for 90 calendar days or more	533	601	643	645	650	675
Percent of people with a plan developed within 90 calendar days of eligibility determination	68%	90%	93%	90%	90%	90%
Percent of people for whom eligibility is determined within 60 calendar days	91%	90%	92%	90%	90%	90%
Average entry level wages for people whose cases are closed successfully	\$12.50	\$12.75	\$12.06	\$13.00	\$13.25	\$13.50
Percent of eligible transition youth for whom an Individualized Plan of Employment is developed	91%	90%	82%	90%	90%	90%

## Disability Determination Division<sup>1</sup>

**Objective 1:** DDD will meet and/or exceed SSA standard case processing time (currently 115 days).

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### KEY PERFORMANCE INDICATORS

#### Disability Determination Division

Measure	FY 2013	FY 2014	FY 2014	FY 2015	FY 2016	FY 2017
	Actual	Target	Actual	Projection	Projection	Projection
Average SSA case processing time (in days)	76	115	89	105	98	98
Accuracy of eligibility determinations	93%	91%	96%	98%	98%	98%
Annual case clearances	14,019	14,000	15,359	15,580	16,000	16,250

#### Office of the Director

**Objective 1:** Increase employment-related supports for people with disabilities, by recruiting service agencies that can provide services through the Ticket to Work program by creating an Administrative Employment Network (EN), and recruiting ticket holders who would benefit from the program.

**Objective 2:** Exit existing agency litigation and meet oversight requirements.

**Objective 3:** Improve the overall perception and delivery of services by DDS through the establishment of systems for customer feedback, analysis and improved communications with agency consumers and stakeholders.

**Objective 4:** Improve the system of supports for people with intellectual and developmental disabilities and their families. Initiative 4.1: Stand up Family Support Council (Age-Friendly DC Goal: Domain 8).

**Objective 5:** Oversee the implementation of agencywide priorities.

## KEY PERFORMANCE INDICATORS

### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Improve the overall perception of customer service provided by the Agency as measured by phone testing. Percent of employees tested per quarter meeting full compliance	Not Available <sup>2</sup>	95%	Not Available <sup>3</sup>	98%	98%	98%
DDS will survey 5 percent of individuals served by the agency	Not Available <sup>4</sup>	Not Available <sup>5</sup>	Not Available <sup>6</sup>	5%	5%	5%
Number of agencies enrolled to participate in the Ticket to Work program as measured by new agencies added	3	10	3	5	6	7
Percent increase in individuals enrolled in Ticket to Work	Not Available	Not Available	Not Available	100%	100%	70%

### Performance Plan Endnotes:

<sup>1</sup>The FY 2015 Performance Plan does reflect the Disability Determination Division (DDD) separately from the Rehabilitation Services Administration (RSA) although the DDD does appear under RSA in the FY 2014 budget. The DDD will appear separate from the RSA in the FY 2015 budget.

<sup>2</sup>DDS did not report on phone testing data in FY 2013.

<sup>3</sup>Phone testing data was not available for FY 2014.

<sup>4</sup>DDS did not record this survey data in FY 2013.

<sup>5</sup>DDS did not have a target for this measure in FY 2014.

<sup>6</sup>DDS did not have sufficient survey data to report on this metric in FY 2014.

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# Department of Health Care Finance

[www.dhcf.dc.gov](http://www.dhcf.dc.gov)

Telephone: 202-442-5988

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$2,625,746,933	\$2,909,706,049	\$2,957,775,166	1.7
FTEs	178.2	220.4	251.0	13.9

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The mission of the Department of Health Care Finance (DHCF) is to improve health outcomes by providing access to comprehensive, cost-effective, and quality health care services for residents of the District of Columbia.

### Summary of Services

The Department of Health Care Finance, an agency that was established in FY 2009, provides health care services to low-income children, adults, elderly, and persons with disabilities. Over 200,000 District of Columbia residents (one-third of all residents) receive health care services through DHCF's Medicaid and Alliance programs. DHCF strives to provide these services in the most appropriate and cost-effective settings possible.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HT0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HT0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	727,913	716,045	716,603	703,363	-13,240	-1.8
Dedicated Taxes	64,500	65,510	65,829	53,585	-12,244	-18.6
Special Purpose Revenue Funds	960	959	3,632	2,605	-1,027	-28.3
<b>Total for General Fund</b>	<b>793,373</b>	<b>782,513</b>	<b>786,063</b>	<b>759,552</b>	<b>-26,511</b>	<b>-3.4</b>
<b>Federal Resources</b>						
Federal Grant Funds	21,332	28,808	2,093	1,000	-1,093	-52.2
Federal Medicaid Payments	1,650,317	1,783,397	2,046,925	2,112,896	65,970	3.2
<b>Total for Federal Resources</b>	<b>1,671,649</b>	<b>1,812,204</b>	<b>2,049,018</b>	<b>2,113,896</b>	<b>64,877</b>	<b>3.2</b>
<b>Private Funds</b>						
Private Grant Funds	4	28	0	0	0	N/A
<b>Total for Private Funds</b>	<b>4</b>	<b>28</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	20,451	31,001	74,625	84,327	9,703	13.0
<b>Total for Intra-District Funds</b>	<b>20,451</b>	<b>31,001</b>	<b>74,625</b>	<b>84,327</b>	<b>9,703</b>	<b>13.0</b>
<b>Gross Funds</b>	<b>2,485,478</b>	<b>2,625,747</b>	<b>2,909,706</b>	<b>2,957,775</b>	<b>48,069</b>	<b>1.7</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Full-Time Equivalents, by Revenue Type**

Table HT0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HT0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b><u>General Fund</u></b>						
Local Funds	60.5	71.9	90.0	103.9	13.9	15.5
Dedicated Taxes	0.8	2.9	5.5	6.0	0.6	10.0
Special Purpose Revenue Funds	5.4	7.4	9.8	10.4	0.6	5.6
<b>Total for General Fund</b>	<b>66.7</b>	<b>82.2</b>	<b>105.3</b>	<b>120.3</b>	<b>15.0</b>	<b>14.3</b>
<b><u>Federal Resources</u></b>						
Federal Grant Funds	8.1	5.5	7.0	2.0	-5.0	-71.4
Federal Medicaid Payments	89.6	90.6	108.1	128.7	20.6	19.1
<b>Total for Federal Resources</b>	<b>97.7</b>	<b>96.1</b>	<b>115.1</b>	<b>130.7</b>	<b>15.6</b>	<b>13.6</b>
<b>Total Proposed FTEs</b>	<b>164.4</b>	<b>178.2</b>	<b>220.4</b>	<b>251.0</b>	<b>30.7</b>	<b>13.9</b>



## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HT0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HT0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	12,565	14,248	17,954	20,355	2,401	13.4
12 - Regular Pay - Other	844	689	920	1,243	324	35.2
13 - Additional Gross Pay	31	44	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	2,546	2,853	4,001	4,356	355	8.9
15 - Overtime Pay	16	167	0	0	0	N/A
<b>Subtotal Personal Services (PS)</b>	<b>16,003</b>	<b>18,000</b>	<b>22,875</b>	<b>25,955</b>	<b>3,080</b>	<b>13.5</b>
20 - Supplies and Materials	570	105	180	183	3	1.5
30 - Energy, Communication, and Building Rentals	162	218	233	200	-33	-14.2
31 - Telephone, Telegraph, Telegram, Etc.	151	192	165	186	21	12.8
32 - Rentals - Land and Structures	728	0	0	0	0	N/A
34 - Security Services	83	160	116	111	-5	-4.2
35 - Occupancy Fixed Costs	144	359	424	259	-165	-39.0
40 - Other Services and Charges	1,933	1,140	1,504	1,545	41	2.7
41 - Contractual Services - Other	65,846	69,124	61,341	71,478	10,137	16.5
50 - Subsidies and Transfers	2,399,317	2,536,324	2,822,548	2,857,526	34,978	1.2
70 - Equipment and Equipment Rental	540	126	319	333	13	4.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>2,469,475</b>	<b>2,607,747</b>	<b>2,886,831</b>	<b>2,931,820</b>	<b>44,989</b>	<b>1.6</b>
<b>Gross Funds</b>	<b>2,485,478</b>	<b>2,625,747</b>	<b>2,909,706</b>	<b>2,957,775</b>	<b>48,069</b>	<b>1.7</b>

\*Percent change is based on whole dollars.

## Division Description

The Department of Health Care Finance operates through the following 8 divisions:

**Health Care Delivery Management (HCDM)** – ensures that quality services and practices pervade all activities that affect the delivery of health care to beneficiaries served by the District’s Medicaid, Children’s Health Insurance Program (CHIP), and Alliance programs. HCDM accomplishes this through informed benefit design; use of prospective, concurrent and retrospective utilization management; ongoing program evaluation; and the application of continuous quality measurement and improvement practices in furnishing preventive, acute, and chronic/long-term care services to children and adults through DHCF’s managed care contractors and institutional and ambulatory fee-for-service providers.

This division contains the following 5 activities:

- **Managed Care Management** – provides oversight, evaluation, and enforcement of contracts with organizations managing the care and service delivery of Medicaid and Alliance beneficiaries, along with providing oversight and enrollment of eligible beneficiaries;
- **Preventive and Acute Care (Children’s Health Services)** – develops, implements, and monitors policies, benefits and practices for children’s health care services, including HealthCheck/EPSTD, CHIP, and the Immigrant Children’s Program;
- **Division of Quality and Health Outcomes** – continuously improves the quality (safe, effective, patient-centered, timely, efficient, and equitable services) of health care delivered by programs administered by DHCF; and ensures that quality and performance improvement principles and practices pervade all the components and activities that impact the delivery and outcomes of health care services to patients served by the District’s Medicaid, CHIP, and Alliance programs;
- **Division of Clinicians, Pharmacy and Acute Provider Services** – develops, implements, and oversees the programming for primary and specialty providers, hospitals, and other acute and preventive care services; and manages the non-emergency transportation contract; and
- **Health Care Delivery Management Support Services** – provides administrative support functions to the Health Care Delivery Management division.

**Long-Term Care Administration (LTCA)** – provides oversight and monitoring of programs targeted to elders, persons with physical disabilities, and persons with intellectual and developmental disabilities. Through program development and day-to-day operations, the LTCA also ensures access to needed cost-effective, high-quality extended and long-term care services for Medicaid beneficiaries residing in home and community-based or institutional settings. The office also provides contract management of the long-term care supports and services contract.

This division contains the following 3 activities:

- **Long-Term Care Support Services** – provides administrative support functions to the Long-Term Care division;
- **Division of Elders and Persons with Physical Disabilities** – develops, implements, and oversees all components of the Elderly and Physically Disabled (EPD) waiver program; oversees the personal care state plan option; and provides oversight and service delivery and reimbursement determinations for nursing home facilities; and
- **Division of Special Needs Population** – provides oversight, evaluation, and enforcement of the Individuals with Developmental Disabilities (ID/DD) waiver program; and provides oversight and service delivery and reimbursement determinations for intermediate care facilities for individuals with intellectual disabilities (ICF/IID).

**Health Care Policy and Planning** – maintains the Medicaid and CHIP state plans that govern eligibility, scope of benefits, and reimbursement policies for the District's Medicaid and CHIP programs; develops policy for the Health Care Alliance program and other publicly funded health care programs that are administered or monitored by DHCF based on sound analysis of local and national health care and reimbursement policies and strategies; and ensures coordination and consistency among health care and reimbursement policies developed by the various divisions within DHCF. The division also designs and conducts research and evaluations of health care programs.

This division contains the following 4 activities:

- **Policy Unit Management (Regulation and Policy Management)** – maintains the Medicaid State Plan, which governs the eligibility, scope of benefits, and reimbursement policies of the Medicaid and CHIP programs; creates State Plan Amendments, waivers, and regulations that form the foundation of Medicaid policy and program administered or monitored by DHCF; and ensures the coordination and consistency of health care and reimbursement policies developed by various divisions within DHCF;
- **Data Analysis (Rate Setting and Data Analysis)** – gathers information, analyzes data, and evaluates all activities related to multiple District-wide components of Medicaid, CHIP, the Alliance, and future health-care delivery systems, including data collection systems; sets rates and develops payment methodologies for various provider types; and designs and conducts research and evaluation of health care programs, studying their impacts on beneficiaries, providers, plans, and other partners and customers, designing and assessing potential improvements, and developing new measurement tools;
- **Member Management (Eligibility Policy)** – serves as liaison to District and federal agencies regarding eligibility-related matters; ensures collaboration and coordination between the agencies and facilitates compliance by the Department of Human Services' Economic Security Administration with DHCF eligibility policy; interprets federal and state eligibility rules and regulation; establishes eligibility policies and criteria for the Medicaid and CHIP programs, as well as the Health Care Alliance and the Immigrant Children's Program; interprets and helps draft legislative changes, rules and regulations for the District regarding eligibility requirements; and manages the Optional State Supplement Payment Program for eligible District of Columbia residents residing in an adult foster care home; and
- **Health Care Policy and Planning Support (Health Care Policy and Research Support)** – provides administrative support functions to the Health Care Policy and Planning Administration.

**Health Care Finance** – provides provider payments for the following provider types: Medicaid providers, public providers, and Health Care Alliance providers.

This division contains the following 3 activities:

- **Medicaid Provider Payment** – provides payment to Medicaid providers;
- **Medicaid Public Provider Payment** – provides payment to Medicaid public providers; and
- **Alliance Provider Payment** – provides payment to Alliance providers.

**Health Care Operations** – ensures the division of programs that pertain to the payment of claims and manages the fiscal agent contract, the administrative contracts, systems, and provider enrollment and requirements. The office provides contract management of the Pharmacy Benefits Manager, the Quality Improvement Organization contract, and the Medicaid Management Information System (MMIS) Fiscal Intermediary contract as well as additional administrative contracts.

This division contains the following 3 activities:

- **Medicaid Information Systems (Claims Management)** – oversees the MMIS operations; systems requests; member services, including member out-of-pocket reimbursements; Consolidated Omnibus Budget Reconciliation Act (COBRA) payments; third-party liability processing; and processing of

financial transactions. The division also manages all internal and external data requests and data involving agency audits (local and federal) as well as MMIS training for all DHCF employees and system security;

- **Division of Public and Private Provider Services** – manages the Administrative Services Organization contract, provider enrollment and recruitment, and internal and external provider services and inquiries. The office also maintains positive ongoing coordination and continuity with all public provider agencies of the District of Columbia government to enhance each agency’s understanding of Medicaid reimbursement policies; is the accountable office within DHCF for implementation of policy that directly impacts other District agencies that serve as Medicaid providers; identifies opportunities to improve the reimbursement procedures of each agency; and works closely with these agencies to review federal policy to ensure that federal reimbursement is being maximized and compliance assured through claims processing and through program development; and
- **Health Care Operations Support (Health Care Operations Support Services)** – provides administrative support functions to the Health Care Operations division.

**Health Care Reform and Innovation** – identifies, validates, and disseminates information about new health care models and payment approaches to serve Medicaid beneficiaries seeking to enhance the quality of health and health care and reduce cost through improvement. The division creates and tests new models in clinical care, integrated care, and community health, and creates and tests innovative payment and service delivery models, building collaborative learning networks to facilitate the collection and analysis of innovation, implementing effective practices, and developing necessary technology to support this activity.

This division contains the following 2 activities:

- **Affordable Care Reform and Grants Development** – develops, implements, and monitors the provisions of the Affordable Care Act and is responsible for the development of demonstration projects and submission of grant proposals for various reform-related activities; and
- **Health Care Reform and Innovative Support Services** – is responsible for the creation of a health insurance exchange, which is a new entity intended to create a more organized and competitive market for health insurance by offering a choice of plans, establishing common rules regarding the offering and pricing of insurance, and providing information to help consumers better understand the options available to them. An exchange is part of the plan aiming for universal coverage.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The Department of Health Care Finance has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table HT0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HT0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	804	676	838	162	2.7	6.4	11.0	4.7
(1015) Training and Development	17	69	69	0	0.0	0.0	0.0	0.0
(1020) Contracting and Procurement	2,742	905	1,544	638	4.6	5.0	3.0	-2.0
(1030) Property Management	1,979	1,836	1,794	-42	3.6	5.0	7.0	2.0
(1040) Information Technology	115	789	2,672	1,883	4.5	5.0	7.0	2.0
(1060) Legal	556	843	948	105	0.0	0.0	8.0	8.0
(1070) Fleet Management	31	5	5	0	0.0	0.0	0.0	0.0
(1080) Communications	270	547	568	21	1.8	2.0	2.0	0.0
(1085) Customer Service	1,659	1,603	1,778	175	8.6	14.0	17.0	3.0
(1087) Language Access	-1	2	2	0	0.0	0.0	0.0	0.0
(1090) Performance Management	9,052	6,456	8,749	2,294	19.2	24.0	45.0	21.0
<b>Subtotal (1000) Agency Management</b>	<b>17,224</b>	<b>13,731</b>	<b>18,967</b>	<b>5,236</b>	<b>45.1</b>	<b>61.4</b>	<b>100.0</b>	<b>38.7</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budgeting Operations	347	464	692	227	3.7	4.0	6.0	2.0
(120F) Accounting Operations	3,525	4,401	5,547	1,146	8.4	9.0	7.0	-2.0
(140F) Agency Fiscal Officer	265	280	298	18	1.8	2.0	2.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>4,137</b>	<b>5,145</b>	<b>6,537</b>	<b>1,391</b>	<b>13.9</b>	<b>15.0</b>	<b>15.0</b>	<b>0.0</b>
<b>(2000) Health Care Delivery Management</b>								
(2001) Chronic and Long-Term Care	21,705	0	0	0	28.8	0.0	0.0	0.0
(2002) Managed Care Management	3,615	4,811	3,601	-1,210	9.1	11.0	10.0	-1.0
(2003) Preventive and Acute Care	1,002	1,375	1,314	-61	4.1	5.5	4.5	-1.0
(2004) Division of Quality and Health Outcomes	754	907	1,418	511	3.6	4.0	8.0	4.0
(2007) Div. of Clinicians, Rx and Acute Provider Services	4,602	6,341	8,067	1,726	5.1	5.5	6.5	1.0
(2010) Health Care Delivery Management Support Services	488	281	304	24	1.8	2.0	2.0	0.0
<b>Subtotal (2000) Health Care Delivery Management</b>	<b>32,167</b>	<b>13,715</b>	<b>14,704</b>	<b>989</b>	<b>52.5</b>	<b>28.0</b>	<b>31.0</b>	<b>3.0</b>
<b>(200L) Long Term Care Administration</b>								
(201L) Long-Term Care Support Services	0	9,407	9,654	246	0.0	10.0	5.0	-5.0
(202L) Div. of Elders and Persons with Physical Disabilities	0	1,628	2,036	408	0.0	22.5	23.5	1.0
(203L) Division of Special Needs Population	0	875	787	-88	0.0	8.5	8.5	0.0
<b>Subtotal (200L) Long Term Care Administration</b>	<b>0</b>	<b>11,911</b>	<b>12,477</b>	<b>566</b>	<b>0.0</b>	<b>41.0</b>	<b>37.0</b>	<b>-4.0</b>
<b>(3000) Health Care Policy and Planning</b>								
(3001) Policy Unit Management	468	616	639	23	5.5	7.0	6.0	-1.0
(3003) Data Analysis	417	535	509	-27	4.6	5.0	5.0	0.0
(3004) Member Management	326	340	1,124	784	3.6	4.0	11.0	7.0
(3010) Health Care Policy and Planning Support	608	863	926	63	2.7	3.0	4.0	1.0
<b>Subtotal (3000) Health Care Policy and Planning</b>	<b>1,820</b>	<b>2,355</b>	<b>3,198</b>	<b>844</b>	<b>16.4</b>	<b>19.0</b>	<b>26.0</b>	<b>7.0</b>

(Continued on next page)

**Table HT0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(5000) Health Care Finance</b>								
(5001) Medicaid Provider Payment	2,446,424	2,742,543	2,786,002	43,458	1.7	0.0	0.0	0.0
(5002) Medicaid Public Provider Payment	16,601	16,215	16,215	0	0.0	0.0	0.0	0.0
(5003) Alliance Provider Payment	37,314	50,235	51,150	915	0.0	0.0	0.0	0.0
<b>Subtotal (5000) Health Care Finance</b>	<b>2,500,339</b>	<b>2,808,993</b>	<b>2,853,366</b>	<b>44,373</b>	<b>1.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(6000) Health Care Operations</b>								
(6001) Medicaid Information Systems	27,530	32,118	35,572	3,454	15.9	17.0	17.0	0.0
(6005) Division of Program Integrity (PI/UM/Fraud)	1,488	2,190	0	-2,190	14.6	16.0	0.0	-16.0
(6006) Division of Public and Private Provider Services	1,758	2,105	1,935	-170	8.2	11.0	11.0	0.0
(6010) Health Care Operations Support	1,629	265	431	165	2.7	3.0	3.0	0.0
<b>Subtotal (6000) Health Care Operations</b>	<b>32,406</b>	<b>36,679</b>	<b>37,938</b>	<b>1,258</b>	<b>41.4</b>	<b>47.0</b>	<b>31.0</b>	<b>-16.0</b>
<b>(8000) Health Care Reform and Innovation</b>								
(8001) Health Insurance Exchange	24,966	0	0	0	1.7	0.0	0.0	0.0
(8002) Affordable Care Reform and Grants Development	63	16,998	4,067	-12,931	0.8	8.0	10.0	2.0
(8010) Health Care Reform and Innovative Support Services	12,627	179	6,521	6,342	4.8	1.0	1.0	0.0
<b>Subtotal (8000) Health Care Reform and Innovation</b>	<b>37,655</b>	<b>17,177</b>	<b>10,588</b>	<b>-6,589</b>	<b>7.3</b>	<b>9.0</b>	<b>11.0</b>	<b>2.0</b>
<b>Total Proposed Operating Budget</b>	<b>2,625,747</b>	<b>2,909,706</b>	<b>2,957,775</b>	<b>48,069</b>	<b>178.2</b>	<b>220.4</b>	<b>251.0</b>	<b>30.7</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Division Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Department of Health Care Finance's (DHCF) proposed FY 2016 gross budget is \$2,957,775,166 which represents a 1.7 percent increase over its FY 2015 approved gross budget of \$2,909,706,049. The budget is comprised of \$703,362,740 in Local funds, \$53,584,694 in Dedicated Taxes, \$999,998 in Federal Grants funds, \$2,112,895,525 in Federal Medicaid Payments, \$2,604,805 in Special Purpose Revenue funds, and \$84,327,405 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DHCF's FY 2016 CSFL budget is \$731,084,051, which represents a \$14,481,226, or 2.0 percent, increase over the FY 2015 approved Local funds budget of \$716,602,825.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DHCF included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$1,143,494 to account for the removal of one-time funding appropriated in FY 2015 to support continuation of the United Medical Center's sustainability contract with Huron. Additionally, adjustments were made for a net increase of \$286,229 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$463,237 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DHCF's CSFL funding for the Medicaid Growth Factor reflects an adjustment for an increase of \$15,043,391 to account for a District's FY 2016 Medicaid growth rate of 2.2 percent based on the cost of health care services in the District projected by DHCF. The Medicaid growth rate is primarily driven by enrollment forecasts for Medicaid Managed Care and Fee-for Service. Managed Care coverage includes, parents of Medicaid eligible children, pregnant women, children, childless adults with incomes up to 200 percent of Federal Poverty Level (FPL), the Children's Health Insurance Program (CHIP), and Health Services for Children with Special Needs (HSCSN). Other variables impacting the Medicaid Growth Factor include enrollment forecasts for Medicaid waivers, which include the Developmental Disabilities (DD) and the Elderly and Physically Disabled (EPD) waivers. These adjustments also include locally funded programs, such as the Immigrant Children and the D.C. Healthcare Alliance.

Additionally, adjustments were made for a decrease of \$58,496 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2, and a net decrease of \$109,641 for the Fixed Cost Inflation factor based on projections provided by the Department of General Services (DGS).

### **Agency Budget Submission**

The FY 2016 budget submission places a strong emphasis on investing in programs that improve access to health care in underserved areas such as those who are economically disadvantaged or medically vulnerable. Furthermore, the budget allows DHCF to continue to take important steps towards implementing health care reform and improving healthcare access for underserved populations. In this regard, DHCF proposes a number of adjustments in the allocation of available resources, the key elements of which are summarized in the following sections below.

**Increase:** The budget proposed in Local funds includes an increase of \$1,592,751 and 15.6 FTEs across multiple divisions. This increase in personal services costs supports projected salary step increases, Fringe Benefits, and the Local portion of annualized compensation for the additional FTEs included in the budget. The additional staff will serve in areas involving customer service, performance and property management, personnel, liaison between District and federal agencies, and improving the overall health care delivery for District residents.

The Agency Management division provides various administrative functions for DHCF and funding for the operational needs of this division accounts for an increase of \$1,198,943 in Local funds. Of this amount, \$1,191,096 is allocated for improvements to Information Technology, and a net increase of \$7,847 reflects various adjustments for Fixed Costs based on estimates for Telecommunications from the Office of the Chief Technology Officer (OCTO) and Energy from DGS.

The proposed budget in Local funds for the Long-Term Care division includes an increase of \$969,105 for contractual services. This is primarily for the Quality Improvements Organizations (QIO) contract, which provides certain aspects of long-term care services to District residents. QIOs work on behalf of the Centers for Medicare and Medicaid Services (CMS) with health care providers to ensure the most current, clinically proven practices and techniques are in place. The organizations also focus on goals to deliver the safest and highest quality of care to patients. An increase of \$871,247 in Local funds in DHCF's Agency Fiscal Operations division enables the agency to maintain the framework for sound fiscal oversight and guidance, given the increasingly complex and rapidly changing economic and financial terrain of the entities that CMS regulates.

An adjustment in funding allocation for the Health Care Reform and Innovation division is reflected in an increase of \$454,724 in Local funds. This adjustment reinforces DHCF's commitment to implement a comprehensive health information technology plan, improve the delivery system and payment reform models, and increase the number of District residents with quality affordable health insurance. The proposed budget in Local funds includes an increase of \$117,284 to support projected additional costs of claims management in the Health Care Operations division.

In Federal Grant funds, the budget reflects an increase of \$999,998 and 2.0 FTEs. This adjustment is based on projected grant revenue from the State Innovation Models for Design and Test Assistance grant from the Department of Health and Human Services. This grant provides funding in support of goals accelerating the delivery system transformation to provide better healthcare at lower costs. DHCF projects a budget increase of \$129,166,530 and 23.0 FTEs in Federal Medicaid Payments across multiple divisions. This adjustment is based on anticipated federal matching to District's expenditures of local resources on Medicaid eligible health care services in the agency budget submission. In Special Purpose Revenue (SPR) funds, the budget includes an increase of \$56,181 due to the establishment of a new SPR fund account in FY 2016 for provider screening and enrollment fees in the Health Care Operations division.

A net increase of \$9,702,591 is projected in the budget for DHCF's Intra-District funds in the Health Care Finance division. This adjustment aligns the budget with Memoranda of Understanding (MOU's) that are in place with various District agencies. This amount includes an increase of \$1,170,123 primarily for Behavioral Health Rehabilitation Services provided by the Department of Behavioral Health (DBH) in a clinical setting. Also included in the same amount is an increase of \$8,532,468, which is directly related to an MOU with the Department on Disability Services (DDS) to continue to fund the Intellectual/ Developmental Disabilities (I/DD) Waiver. Under this MOU, DDS will continue to provide services that instruct, train, support, supervise, and assist individuals who have intellectual disabilities in achieving a high level of independence.

**Decrease:** Adjustments in the budget proposal for Local funds include reductions of \$5,566 to the Healthcare Policy and Planning and \$1,265,303 to the Health Care Delivery Management division that aligns the budget with projected expenditures. Cost savings are anticipated in the Health Care Finance division's budget as DHCF aligns provider payments with projected Medicaid enrollment and utilization data. These savings are reflected in a decrease of \$3,933,186 in Local funds. This reduction does not affect the level of service provided but right-sizes provider payment expenditures.



Overall in Dedicated Taxes, DHCF projects a net decrease of \$12,243,822 based on projections in three revenue streams that support the budget in this appropriated fund. This adjustment includes reductions of \$41,191 for the Stevie Sellows Fund, \$1,155,378 with an additional 0.6 FTE for the Nursing Homes Quality of Care Fund, and \$11,047,253 for the Healthy DC Fund. In Federal Grant funds, a decrease of \$2,092,662 and 7.0 FTEs in the Long-Term Care division is due to the expiration of the Money Follows the Person grant from the Department of Health and Human Services.

In SPR funds, a decrease of \$89,868 and an additional 0.6 FTE aligns the budget with anticipated expenditures. A reduction of \$993,332 in SPR funds across multiple divisions is due to a reduced revenue projection from identifying third-party insurance coverage for Medicaid beneficiaries.

**Technical Adjustment:** The budget includes additional funding in the Health Care Finance division to adjust for higher than originally anticipated Medicaid enrollment figures. This adjustment is reflected as an increase of \$13,293,569 in Local funds. The corresponding federal match is reflected as an increase of \$19,436,896 in Federal Medicaid Payments.

### **Mayor's Proposed Budget**

**Enhance:** The proposed Local funds budget includes an increase of \$322,253 in the Agency Management division. This adjustment covers personal services costs for procurement services that will be transferred to the Office of Contracting and Procurement (OCP) via Intra-District agreement.

**Reduce:** The Local funds budget for DHCF includes a net reduction of \$41,337,133 in several areas. The majority of the net decrease comes from an alignment of the budget with projected spending. This includes: a \$3,900,000 reduction to align the reimbursement rate for the Intellectual/Developmental Disabilities (I/DD) waiver with a newly calculated federal payment limit; a \$2,873,180 reduction to payments in the Elderly and Persons with Disabilities (EPD) waiver to align with projected enrollment; a \$5,765,123 revision to payments to specialty hospitals as a result of a double count in the budget submission; \$6,359,260 in savings identified while establishing payments for managed care organizations; and a \$9,293,569 alignment to the budgeted amount for home health care agencies that better aligns with actual costs borne by the home health agencies. The reduction will still allow for an increase in hourly payments to home health providers to help cover overhead costs and the costs of the living wage requirements for home health aides.

Additionally, the District will begin receiving a 100 percent federal match for the Children's Health Insurance Program (CHIP) which results in a savings of \$4,146,001 in Local funds. Lastly, DHCF will reduce the hospital fee-for-service inpatient rates to 86 percent of cost resulting in \$9,000,000 of Local funds reductions. At 98 percent of hospital Medicaid costs, D.C.'s payments to hospitals were among the highest in the country. With this reduction to 86 percent of cost, D.C. maintains hospital payment rates that are above average in the region. The corresponding adjustment to Federal Medicaid Payments as a result of all of the above changes is reflected as a decrease of \$82,633,309.

**Transfer Out/Reduce:** In order to support the Procurement Practices Reform Act of 2010 initiatives, DHCF's proposed budget reflects transfers of 1.7 FTEs supported by Local funds and 2.4 FTEs funded by Federal Medicaid Payments, from the Agency Management division to the OCP.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HT0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HT0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>716,603</b>	<b>90.0</b>
Removal of One-Time Funding	Multiple Programs	-1,143	0.0
Other CSFL Adjustments	Multiple Programs	15,625	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>731,084</b>	<b>90.0</b>
Increase: To adjust personal services	Multiple Programs	1,593	15.6
Increase: To align resources with operational goals	Agency Management	1,199	0.0
Increase: To align resources with operational goals	Long-Term Care Administration	969	0.0
Increase: To align resources with operational goals	Agency Financial Operations	871	0.0
Increase: To align resources with operational goals	Health Care Reform and Innovation	455	0.0
Increase: To align resources with operational goals	Health Care Operations	117	0.0
Decrease: To align resources with operational goals	Health Care Policy and Planning	-6	0.0
Decrease: To align resources with operational goals	Health Care Delivery Management	-1,265	0.0
Decrease: To align resources with operational goals	Health Care Finance	-3,933	0.0
Technical Adjustment: Adjustment due to higher than originally anticipated Medicaid enrollment	Health Care Finance	13,294	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>744,378</b>	<b>105.6</b>
Enhance: Salary and Fringe from the Office of Contracting and Procurement	Agency Management	322	0.0
Reduce: Realignment of healthcare provider payments	Health Care Finance	-41,337	0.0
Transfer-Out/Reduce: To OCP to support the Procurement Practices Reform Act of 2010 initiatives	Agency Management	0	-1.6
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>703,363</b>	<b>103.9</b>
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>65,829</b>	<b>5.5</b>
Decrease: To align budget with projected revenues	Multiple Programs	-12,244	0.6
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>53,585</b>	<b>6.0</b>
No Change		0	0.0
<b>DEDICATED TAXES: FY 2016 Mayor's Proposed Budget</b>		<b>53,585</b>	<b>6.0</b>

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**Table HT0-5 (Continued)**  
(dollars in thousands)

<b>DESCRIPTION</b>	<b>DIVISION</b>	<b>BUDGET</b>	<b>FTE</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>2,093</b>	<b>7.0</b>
Increase: To align budget with projected grant awards	Health Care Reform and Innovation	1,000	2.0
Decrease: To align budget with projected grant awards	Long-Term Care Administration	-2,093	-7.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>1,000</b>	<b>2.0</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>1,000</b>	<b>2.0</b>
<b>FEDERAL MEDICAID PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>2,046,925</b>	<b>108.1</b>
Increase: To align budget with projected federal Medicaid reimbursements	Multiple Programs	129,167	23.0
Technical Adjustment: Adjustment due to higher than originally anticipated Medicaid enrollment	Health Care Finance	19,437	0.0
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>2,195,529</b>	<b>131.1</b>
Reduce: Realignment of healthcare provider service rates	Health Care Finance	-82,633	0.0
Transfer-Out/Reduce: To OCP to support the Procurement Practices Reform Act of 2010 initiatives	Agency Management	0	-2.4
<b>FEDERAL MEDICAID PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>2,112,896</b>	<b>128.7</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>3,632</b>	<b>9.8</b>
Increase: To align budget with projected revenues	Health Care Operations	56	0.0
Decrease: To adjust personal services	Multiple Programs	-90	0.6
Decrease: To align budget with projected revenues	Multiple Programs	-993	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>2,605</b>	<b>10.4</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,605</b>	<b>10.4</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>74,625</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Health Care Finance	9,703	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>84,327</b>	<b>0.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>84,327</b>	<b>0.0</b>
<b>Gross for HT0 - Department of Health Care Finance</b>		<b>2,957,775</b>	<b>251.0</b>

## Agency Performance Plan

The agency's performance plan has the following objectives and performance indicators for their Divisions.

### Office of the Director

**Objective 1:** Increase access to health care for District residents.

**Objective 2:** Improve access to health care by developing cost-effective reimbursement methodologies and budget processes.

**Objective 3:** Strengthen program integrity

## KEY PERFORMANCE INDICATORS

### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of consumers served by Ombudsman	3,528	3,700	7,220	5,000	5,200	5,500
Percent of closed/resolved cases among Office of the Health Care Ombudsman Bill of Rights' consumers	94%	90%	91.6%	98%	98%	98%
Percent of commercial cases overturned	68%	80%	26.77%	12%	13%	14%
Number of provider categories to be audited and related financial reviews	Not Available	Not Available	Not Available	6	6	6
Percent of invoices processed accurately and in compliance with Prompt Payment Act	Not Available	Not Available	Not Available	95%	96%	97%
Number of referrals to the Medicaid Fraud Control Unit	23	20	9	20	20	20

### Health Care Delivery Management Administration

**Objective 1:** Improve access to high-quality services and improve resource management.

**Objective 2:** Improve health outcomes for District residents.

## KEY PERFORMANCE INDICATORS

### Health Care Delivery Management Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of Medicaid beneficiaries satisfied with their health plan	Not Available <sup>1</sup>	81%	TBD	82%	83%	84%
Percent of children three and over receiving preventive dental services	53%	60%	TBD	62%	64%	66%
Reported complaints on transportation broker services per 1,000 trips (including missed/late trips)	1.8/1,000 trips	2.0/1,000 trips	8.5 <sup>2</sup> /1,000 trips	1.9/1,000 trips	1.8/1,000 trips	1.7/1,000 trips

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## KEY PERFORMANCE INDICATORS (CONTINUED)

### Health Care Delivery Management Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Quality Improvement Initiative Adverse Perinatal Outcomes per 1,000 pregnancies and infants	Not Available <sup>3</sup>	<195	TBD	<190	<185	<180
Quality Improvement Initiative Adverse Chronic Disease Outcomes per 1,000 people with asthma, diabetes, hypertension, congestive heart failure	Not Available <sup>4</sup>	<340	TBD	<335	<330	<225
Healthcare Effectiveness Data and Information Set measures for childhood immunization	Not Available <sup>5</sup>	88%	TBD	89%	90%	91%
Healthcare Effectiveness Data and Information Set measures for timeliness of prenatal care	Not Available <sup>6</sup>	83%	TBD	84%	85%	86%
Adult access to preventive, ambulatory care services (adults 20-44, enrolled in health plans)	Not Available <sup>7</sup>	89%	TBD	90%	91%	92%

## Long-Term Care Administration

**Objective 1:** Improve access to high-quality services and improve resource management.

## KEY PERFORMANCE INDICATORS

### Long-Term Care Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 <sup>10</sup> Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of individuals moved from institutions into the community (Money Follows the Person Program) <sup>8</sup>	24	35 <sup>9</sup>	20	0	0	0
Percent of prospective Elderly and Persons (EPD) with Disabilities Waiver providers who complete the EPD Waiver Provider Readiness process (note that this number will reflect applicants, not those approved)	Not Available	Not Available	Not Available	90%	100%	100%
Number of monitoring reports sent to health home providers	Not Available	Not Available	Not Available	6	12	12

## Health Care Policy and Research Administration

**Objective 1:** Develop policies, plans, and data to enable effective program administration and utilization of resources.

### KEY PERFORMANCE INDICATORS

#### Health Care Policy and Research Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of adults in 1115 Childless Adults Waiver	4,716	5,453	7,750 <sup>11</sup>	6,190	6,617	7,294
Number of adults enrolled in the Medicaid Emergency Psychiatric Demonstration	Not Available	235	235 <sup>12</sup>	235	Not Available <sup>13</sup>	Not Available <sup>14</sup>

## Health Care Operations Administration

**Objective 1:** Improve the efficiency of program operations and provider relations.

### KEY PERFORMANCE INDICATORS

#### Health Care Operations Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average time to process Medicaid provider applications	30.5 days	30 days	37.81 days	30 days	30 days	30 days
Average time to process Medicaid “low risk provider” application	Not Available	Not Available	Not Available	30 days	30 days	30 days
Average time to process Medicaid “moderate” risk provider application	Not Available	Not Available	Not Available	60 days	60 days	60 days
Average time to process Medicaid “high risk” provider application	Not Available	Not Available	Not Available	90 days	90 days	90 days
Total dollars recovered from Third-Party Liability	\$3,500,000	\$4,000,000	\$5,176,519	\$3,000,000	\$2,000,000	\$1,500,000

## Health Care Reform and Innovation Administration

**Objective 1:** Develop and implement a comprehensive health information technology (HIT) plan.

**Objective 2:** Implement innovative delivery system and payment reform models and provide input on updates.

**Objective 3:** Implement Health Care Reform and increase the number of District residents with health insurance.

### KEY PERFORMANCE INDICATORS

#### Health Care Reform and Innovation Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Amount paid to D.C. providers through the Medicaid EHR Incentive program	Not Available	Not Available	Not Available	\$12,000,000	\$3,000,000	\$1,400,000
Number of Chesapeake Regional information system for our Patients (CRISP) encounter alerts sent	Not Available	Not Available	Not Available	100,000	150,000	200,000
Number of individuals enrolled in health homes	Not Available	Not Available	Not Available	5,000	10,000	15,000
Percent of District residents insured	93.8%	95%	TBD <sup>15</sup>	95%	96%	96%

#### Performance Plan Endnotes:

<sup>1</sup>Data unavailable due to new MCO contracts effective July 2013.

<sup>2</sup>Actual as of September 30, 2014.

<sup>3</sup>Data unavailable due to new MCO contracts effective July 2013.

<sup>4</sup>Ibid.

<sup>5</sup>Ibid.

<sup>6</sup>Ibid.

<sup>7</sup>Ibid.

<sup>8</sup>Program transferred to the DC Office on Aging.

<sup>9</sup>Benchmark changed with CMS.

<sup>10</sup>FY 2014 YTD as of June 30, 2014.

<sup>11</sup>FY 2014 YTD data as of September 30, 2014.

<sup>12</sup>FY 2014 YTD data as of June 30, 2014.

<sup>13</sup>Medicaid Emergency Psychiatric Demonstration Project ends 2015.

<sup>14</sup>Ibid.

<sup>15</sup>Census data unavailable.

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# Not-For-Profit Hospital Corporation Subsidy

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$14,841,008	\$0	\$0	N/A

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The Not-For-Profit Hospital Corporation Subsidy provides a direct payment to the Not-For-Profit Hospital Corporation (NFPHC). The NFPHC is an independent District instrumentality, created by legislation adopted by the Council of the District of Columbia to hold the land, improvements, and equipment of the hospital known as United Medical Center. The NFPHC does not receive a regular subsidy from General Fund taxes and the hospital is expected to operate using its own revenue sources; however, the District did allocate funding in Fiscal Years 2013 and 2014 to support certain hospital functions.



The agency's FY 2016 proposed budget is presented in the following tables:

## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table HX0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HX0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	11,000	14,841	0	0	0	N/A
<b>Total for General Fund</b>	<b>11,000</b>	<b>14,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>11,000</b>	<b>14,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table HX0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HX0-2**  
(dollars in thousands)

<b>Comptroller Source Group</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
50 - Subsidies and Transfers	11,000	14,841	0	0	0	N/A
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>11,000</b>	<b>14,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>11,000</b>	<b>14,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>

\*Percent change is based on whole dollars.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HX0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HX0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalent			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Not-For-Profit Hospital Corporation Subsidy</b>								
(1100) Not-For-Profit Hospital Corporation Subsidy	14,841	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Not-For-Profit Hospital Corporation Subsidy</b>	<b>14,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>14,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.



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# D.C. Health Benefit Exchange Subsidy

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$0	\$28,751,244	\$29,614,000	3.0

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The mission of the D.C. Health Benefit Exchange Subsidy is to subsidize the operations of the D.C. Health Benefit Exchange Authority (HBX) and to fund ongoing access to quality and affordable health care for all Districts residents.

### Summary of Services

The D.C. Health Benefit Exchange Subsidy provides financial support to the HBX through an assessment on health carriers so it may fulfill its operational obligations during the fiscal year.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HE0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2014 actual expenditures.

**Table HE0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>					
Dedicated Taxes	0	28,751	29,614	863	3.0
<b>Total for General Fund</b>	<b>0</b>	<b>28,751</b>	<b>29,614</b>	<b>863</b>	<b>3.0</b>
<b>Gross Funds</b>	<b>0</b>	<b>28,751</b>	<b>29,614</b>	<b>863</b>	<b>3.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HE0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2014 actual expenditures.

**Table HE0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	0	28,751	29,614	863	3.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>0</b>	<b>28,751</b>	<b>29,614</b>	<b>863</b>	<b>3.0</b>
<b>Gross Funds</b>	<b>0</b>	<b>28,751</b>	<b>29,614</b>	<b>863</b>	<b>3.0</b>

\*Percent change is based on whole dollars.

## Program Description

The D.C. Health Benefit Exchange Subsidy operates through the following program:

**Health Care Benefits Exchange - Contingency** – supports the activities of the D.C. Health Benefit Exchange Authority as required by the Patient Protection and Affordable Care Act of 2010.

## Program Structure Change

The D.C. Health Benefit Exchange Subsidy has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HE0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HE0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Health Care Benefits Exchange - Contingency</b>								
(1090) Health Care Benefits Exchange - Contingency Act	0	28,751	29,614	863	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Health Care Benefits Exchange - Contingency</b>	<b>0</b>	<b>28,751</b>	<b>29,614</b>	<b>863</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>0</b>	<b>28,751</b>	<b>29,614</b>	<b>863</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The D.C. Health Benefit Exchange Subsidy's proposed FY 2016 gross budget is \$29,614,000, which represents a 3.0 percent increase over its FY 2015 approved gross budget of \$28,751,244. The budget is comprised entirely of Dedicated Taxes funds.

**Increase:** The increase of \$862,756 is based on projected revenues collected from health care providers that operate in the District of Columbia.

## Mayor's Proposed Budget

**No Change:** The D.C. Health Benefit Exchange Subsidy's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table HE0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HE0-4**  
(dollars in thousands)

<b>DESCRIPTION</b>	<b>PROGRAM</b>	<b>BUDGET</b>	<b>FTE</b>
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>28,751</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Health Care Benefits	863	0.0
	Exchange - Contingency		
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>29,614</b>	<b>0.0</b>
No Change		0	0.0
<b>DEDICATED TAXES: FY 2016 Mayor's Proposed Budget</b>		<b>29,614</b>	<b>0.0</b>
<b>Gross for HE0 - D.C. Health Benefit Exchange Subsidy</b>		<b>29,614</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Office of the Deputy Mayor for Health and Human Services

[www.dmhhs.dc.gov](http://www.dmhhs.dc.gov)

Telephone: 202-727-7973

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$1,126,167	\$1,171,975	\$1,390,873	18.7
FTEs	5.5	8.0	8.0	0.0

The mission of the Office of the Deputy Mayor for Health and Human Services (DMHHS) is to support the Mayor in coordinating a comprehensive system of benefits, goods and services across multiple agencies to ensure that children, youth and adults, with and without disabilities, can lead healthy, meaningful, and productive lives.

## Summary of Services

The Office manages the Human Support Services program through the provision of leadership for policy and planning; government relations; and communication and community relations for the agencies under its jurisdiction including:

1. Child and Family Services Agency (CFSA)
2. Department of Behavioral Health (DBH)
3. Department on Disability Services (DDS)
4. Department of Health (DOH)
5. Department of Health Care Finance (DHCF)
6. Department of Human Services (DHS)
7. Department of Parks and Recreation (DPR)
8. Department of Youth Rehabilitation Services (DYRS)
9. Office of Disability Rights (ODR)
10. D.C. Office on Aging (DCOA)

Additionally, DMHHS oversees another independent entity, the D.C. Trust (formerly known as the Children and Youth Investment Trust Corporation).

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HG0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HG0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	855	1,126	1,172	1,391	219	18.7
<b>Total for General Fund</b>	<b>855</b>	<b>1,126</b>	<b>1,172</b>	<b>1,391</b>	<b>219</b>	<b>18.7</b>
<b>Gross Funds</b>	<b>855</b>	<b>1,126</b>	<b>1,172</b>	<b>1,391</b>	<b>219</b>	<b>18.7</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table HG0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HG0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b>General Fund</b>						
Local Funds	4.7	5.5	8.0	8.0	0.0	0.0
<b>Total for General Fund</b>	<b>4.7</b>	<b>5.5</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>4.7</b>	<b>5.5</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>	<b>0.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HG0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HG0-3**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
11 - Regular Pay - Continuing Full Time	508	520	749	799	50	6.6
12 - Regular Pay - Other	0	32	0	75	75	N/A
13 - Additional Gross Pay	2	5	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	96	102	159	154	-5	-3.0
<b>Subtotal Personal Services (PS)</b>	<b>607</b>	<b>659</b>	<b>908</b>	<b>1,027</b>	<b>119</b>	<b>13.2</b>
20 - Supplies and Materials	10	10	13	15	3	19.6
31 - Telephone, Telegraph, Telegram, Etc.	4	10	13	20	7	53.3
40 - Other Services and Charges	37	48	29	86	57	192.6
41 - Contractual Services - Other	195	383	199	232	33	16.7
70 - Equipment and Equipment Rental	3	16	10	10	0	-0.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>249</b>	<b>467</b>	<b>264</b>	<b>363</b>	<b>99</b>	<b>37.7</b>
<b>Gross Funds</b>	<b>855</b>	<b>1,126</b>	<b>1,172</b>	<b>1,391</b>	<b>219</b>	<b>18.7</b>

\*Percent change is based on whole dollars.

### Program Description

The Office of the Deputy Mayor for Health and Human Services operates through the following 2 programs:

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

**Human Support Services** – encompasses all of the staffing necessary to provide agency oversight and support for all citywide health and human services-related policies, activities, and initiatives under its jurisdiction.

- Developing and supporting policies and programs to improve the delivery of services by government agencies and contracted providers;
- Coordinating interagency activities and initiatives;
- Identifying opportunities for reducing redundancies, leveraging resources, creating economies of scale, and improving outcomes; and
- Ensuring compliance with local and federal mandates.

### Program Structure Change

The Office of the Deputy Mayor for Health and Human Services has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HG0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HG0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1090) Performance Management	659	908	1,027	119	5.5	8.0	8.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>659</b>	<b>908</b>	<b>1,027</b>	<b>119</b>	<b>5.5</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>
<b>(2000) Human Support Services</b>								
(2010) Agency Oversight and Support	467	264	363	99	0.0	0.0	0.0	0.0
<b>Subtotal (2000) Human Support Services</b>	<b>467</b>	<b>264</b>	<b>363</b>	<b>99</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>1,126</b>	<b>1,172</b>	<b>1,391</b>	<b>219</b>	<b>5.5</b>	<b>8.0</b>	<b>8.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

The Office of the Deputy Mayor for Health and Human Services' (DMHHS) proposed FY 2016 gross budget is \$1,390,873, which represents a 18.7 percent increase over its FY 2015 approved gross budget of \$1,171,975. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DMHHS' FY 2016 CSFL budget is \$1,200,919, which represents a \$28,944, or 2.5 percent, increase over the FY 2015 approved Local funds budget of \$1,171,975.

### CSFL Assumptions

The FY 2016 CSFL calculated for DMHHS included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$24,576 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015 and an increase of \$4,368 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

### Agency Budget Submission

**Increase:** In Local Funds, the agency includes an increase of \$7,015 in the Human Support Services program to align the budget with estimated Telecommunication Fixed Costs. Additionally, the personal services budget includes an increase of \$5,584 for the realignment of certain positions from full-time to term status.

**Decrease:** The DMHHS' Local funds budget proposal includes a reduction of \$12,600 supplies, equipment and other operational areas to effectively allocate resources to achieve its programmatic goals.

### Mayor's Proposed Budget

**Transfer-In:** The proposed budget includes an increase of \$160,713 in Human Support Services program to provide efficient oversight and management of the Age-Friendly Strategic Plan. The budget also includes an increase of \$89,287 in the Agency Management program in personal services to support the implementation of the strategic plan.

**Reduce:** The proposed FY 2016 budget for Local funds reflects a decrease of \$60,046 in Contractual Services to properly align the budget with operational objectives and to realize programmatic cost savings in the Human Support Services program.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HG0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

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**Table HG0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>1,172</b>	<b>8.0</b>
Other CSFL Adjustments	Multiple Programs	29	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>1,201</b>	<b>8.0</b>
Increase: To align Fixed Costs with proposed estimates	Human Support Services	7	0.0
Increase: To adjust continuing full time personal services and Fringe Benefits with projected costs	Agency Management	6	0.0
Decrease: To align funding with nonpersonal services costs	Human Support Services	-13	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>1,201</b>	<b>8.0</b>
Transfer-In: From the D.C. Office on Aging to support program initiative for Age-Friendly Strategic Plan	Human Support Services	161	0.0
Transfer-In: From the D.C. Office on Aging to support program initiative for Age-Friendly Strategic Plan	Agency Management	89	0.0
Reduce: To realize programmatic cost savings in nonpersonal services	Human Support Services	-60	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>1,391</b>	<b>8.0</b>
<b>Gross for HG0 - Office of the Deputy Mayor for Health and Human Services</b>		<b>1,391</b>	<b>8.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

**Objective 1:** Oversee the development of policies and programs to improve the delivery and coordination of services by public agencies and contracted providers.

**Objective 2:** Oversee and facilitate the coordination of interagency activities and initiatives among District agencies.

**Objective 3:** Identify opportunities for reducing redundancies, leveraging resources, creating economies of scale, and improving outcomes.

**Objective 4:** Coordinate interagency work to responsibly exit consent decrees and/or settlement agreements.

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### KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of interagency initiatives implemented	6	Not Available	9	6	4	4
Percent of cluster agencies that stay within budget	100%	Not Available	100%	100%	100%	100%
Percent of cluster agencies that meet 75 percent of their performance measures	100%	Not Available	100%	100%	100%	100%
Percent of consent decrees where progress is made on meeting exit criteria	100%	Not Available	100%	100%	100%	100%

# F

## Public Works

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7. Washington Metropolitan Area Transit Authority (KE0) .....F-75



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# How to Read the Agency Chapters

The agency chapters describe available resources for an agency, how the agency will spend them, and the achieved and anticipated outcomes as a result of these expenditures. For a detailed explanation of the fiscal tables and narrative sections, please see the “How to Read the Budget and Financial Plan” chapter in *Volume 1: Executive Summary*.

Each chapter contains the following, if applicable:

The first page of each agency chapter displays the agency name and budget code, website address, and telephone number. The page also shows a table that contains the agency’s gross funds, or total operating, budget. The table shows the Fiscal Year (FY) 2014 actual expenditures and Full-Time Equivalents (FTEs); the FY 2015 Approved budget and FTEs; the FY 2016 Proposed budget and FTEs; and the percent change from the previous year for the budget and FTEs. Lastly, this page typically contains the agency mission statement and a summary of its services.

Subsequent pages reflect agency fiscal and programmatic levels and changes. The information varies by agency but typically contains the following financial tables and narrative sections:

- ***Proposed Funding by Source table*** displays the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed dollars by fund type.
  - ***Proposed Full-Time Equivalents table*** shows the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed FTEs by fund type.
  - ***Proposed Expenditure by Comptroller Source Group (CSG) table*** identifies the gross fund changes by CSG, which is a type of budgetary classification that identifies category spending within personal services (personnel costs, such as salaries and fringe benefits) and nonpersonal services (operational costs, such as contracts, supplies, and subsidy payments).
  - ***Proposed Operating Budget and FTEs, by Division/Program and Activity table*** shows the gross fund changes by dollars and FTEs. The Division/Program descriptions section that precedes this table explains the purpose of the divisions/programs and activities funded in the FY 2016 Proposed budget.
  - ***FY 2015 Approved Budget to FY 2016 Proposed Budget reconciliation table*** shows the FY 2016 Proposed budget and FTE changes, by division or program, from the FY 2015 Approved budget. This table also includes a brief description of the change. A detailed narrative of the changes is found in the FY 2016 Proposed Budget Changes section that precedes this table.
  - ***Agency Performance Plan Objectives*** and the accompanying Agency Performance Measures table show the agency-level plan that contains the agency’s mission, summary of services, objectives, initiatives, and performance measures for a set period of time. For some agencies, the initiatives and performance measures are grouped by division/program.
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# Department of Public Works

[www.dpw.dc.gov](http://www.dpw.dc.gov)

Telephone: 202-673-6833

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$159,271,719	\$151,620,156	\$155,641,783	2.7
FTEs	1,424.3	1,414.0	1,422.0	0.6

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The mission of the Department of Public Works (DPW) is to provide the highest quality sanitation, parking enforcement, and fleet management services that are both ecologically sound and cost-effective.

## Summary of Services

The Department of Public Works provides municipal services to District residents and businesses in two distinct program areas: solid waste management and parking enforcement. Behind the scenes, DPW's Fleet Management Administration supports all city services by procuring, fueling, and maintaining thousands of District government vehicles from sedans to heavy equipment.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KT0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KT0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	105,533	131,933	120,659	123,276	2,618	2.2
Special Purpose Revenue Funds	6,481	5,456	7,450	7,675	225	3.0
<b>Total for General Fund</b>	<b>112,014</b>	<b>137,389</b>	<b>128,109</b>	<b>130,951</b>	<b>2,843</b>	<b>2.2</b>
<b>Intra-District Funds</b>						
Intra-District Funds	22,317	21,883	23,511	24,690	1,179	5.0
<b>Total for Intra-District Funds</b>	<b>22,317</b>	<b>21,883</b>	<b>23,511</b>	<b>24,690</b>	<b>1,179</b>	<b>5.0</b>
<b>Gross Funds</b>	<b>134,331</b>	<b>159,272</b>	<b>151,620</b>	<b>155,642</b>	<b>4,022</b>	<b>2.7</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table KT0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table KT0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	1,141.2	1,267.2	1,228.0	1,235.0	7.0	0.6
Special Purpose Revenue Funds	26.8	12.6	28.0	29.0	1.0	3.6
<b>Total for General Fund</b>	<b>1,167.9</b>	<b>1,279.8</b>	<b>1,256.0</b>	<b>1,264.0</b>	<b>8.0</b>	<b>0.6</b>
<b>Intra-District Funds</b>						
Intra-District Funds	152.1	144.5	158.0	158.0	0.0	0.0
<b>Total for Intra-District Funds</b>	<b>152.1</b>	<b>144.5</b>	<b>158.0</b>	<b>158.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>1,320.1</b>	<b>1,424.3</b>	<b>1,414.0</b>	<b>1,422.0</b>	<b>8.0</b>	<b>0.6</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KT0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KT0-3**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
11 - Regular Pay - Continue Full Time	63,578	66,833	70,664	73,763	3,099	4.4
12 - Regular Pay - Other	4,469	4,260	4,549	5,223	674	14.8
13 - Additional Gross Pay	1,890	2,195	3,717	3,496	-221	-5.9
14 - Fringe Benefits - Current Personnel	18,679	19,372	22,938	22,470	-469	-2.0
15 - Overtime Pay	6,375	7,376	5,153	5,953	800	15.5
<b>Subtotal Personal Services (PS)</b>	<b>94,991</b>	<b>100,036</b>	<b>107,022</b>	<b>110,905</b>	<b>3,883</b>	<b>3.6</b>
20 - Supplies and Materials	3,993	15,712	7,103	5,700	-1,402	-19.7
31 - Telephone, Telegraph, Telegram, Etc.	85	45	5	10	5	100.0
40 - Other Services and Charges	15,855	19,873	20,332	20,526	194	1.0
41 - Contractual Services - Other	16,501	21,242	14,536	15,981	1,445	9.9
70 - Equipment and Equipment Rental	2,906	2,363	2,623	2,520	-103	-3.9
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>39,340</b>	<b>59,235</b>	<b>44,598</b>	<b>44,737</b>	<b>139</b>	<b>0.3</b>
<b>Gross Funds</b>	<b>134,331</b>	<b>159,272</b>	<b>151,620</b>	<b>155,642</b>	<b>4,022</b>	<b>2.7</b>

\*Percent change is based on whole dollars.

### Division Description

The Department of Public Works (DPW) operates through the following 5 divisions:

**Fleet Management** – supports all city services by procuring and maintaining more than 3,000 vehicles, excluding those used by the Metropolitan Police Department, the Fire and Emergency Medical Services Department, the Department of Corrections, and D.C. Public Schools. This division fuels all 6,000 District government vehicles, including school buses, fire and trash trucks, and street sweepers.

This division contains the following 5 activities:

- **Fleet Consumables** – provides most District agencies with operational fueling stations; procures fuel, oil, and other lubricants; and installs fuel rings;
- **Scheduled Fleet Maintenance** – performs preventive maintenance actions, including changing oil and filters and checking tires, engines, batteries, and transmissions; and prepares vehicles for seasonal and year-round duties (alley cleaning, snow removal, leaf collection, etc.);
- **Unscheduled Vehicle and Equipment Repairs** – tows inoperable vehicles, diagnoses why vehicles are not operating properly, and makes the necessary repairs or transfers vehicles to vendors for return to service;
- **Vehicle and Equipment Acquisitions** – consults with District government agencies about vehicle needs, ensures these agencies have sufficient budget authority to meet their needs, procures vehicles, and reduces unnecessary vehicles from the fleet; and

- **Fleet Administrative Support** – provides administrative and managerial personnel and nonpersonal services support for District-wide fleet operations, including uniform rentals, office supplies, information technology acquisitions, and information technology software maintenance/license renewals.

**Parking Enforcement Management** – provides on-street parking enforcement services, including ticketing, towing, booting, removal of abandoned and dangerous vehicles, and auction of impounded vehicles.

This division contains the following 3 activities:

- **Parking Regulations Enforcement** – provides enforcement of the District’s parking regulations to promote vehicular safety and provide smooth traffic flow and increased access to short-term parking at meters and long-term parking on residential streets;
- **Towing** – provides reduced parking congestion in the District by facilitating the timely relocation and/or impoundment of illegally parked vehicles from public space; and
- **Abandoned and Junk Vehicles** – provides oversight of safe streets through the efficient removal of abandoned and dangerous vehicles from public space and nuisance properties within the District.

**Solid Waste Management** – performs a number of daily operations, including trash, recycling, and bulk collections; sanitation education and enforcement; graffiti removal; public litter can service; fall leaf collection; snow and ice removal; and street and alley cleaning.

This division contains the following 4 activities:

- **Enforcement of Sanitation Regulations** – inspects properties for sanitation violations; enforces sanitation regulations, including commercial recycling; educates residents and businesses about sanitation regulations; collects household hazardous waste and electronic materials; and shreds residents’ personal documents;
- **Public Space Cleaning** – provides comprehensive street and alley cleaning services to residents, visitors, and businesses so that they can live, work, and play in clean neighborhoods. Specific services include mechanical street sweeping, litter can collections, rights-of-way mowing, nuisance and graffiti abatement, seasonal leaf collection, and snow and ice removal;
- **Sanitation Collection and Removals** – provides solid waste (trash, recycling, and bulk) collection services to residents of single-family homes and buildings with no more than three dwelling units so that they can have their trash, recyclables, and bulk items removed conveniently and regularly; and
- **Sanitation Disposal** – provides municipal waste disposal services to DPW, other District agencies, private haulers, and residents so that they can dispose of waste safely, conveniently, and legally.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The Department of Public Works has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table KT0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KT0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	738	922	892	-30	7.3	8.0	7.0	-1.0
(1015) Training and Employee Development	523	536	630	94	4.2	3.0	4.0	1.0
(1017) Labor Management Partnerships	142	143	154	11	1.0	1.0	1.0	0.0
(1020) Contracting and Procurement	578	692	83	-608	6.2	6.0	1.0	-5.0
(1030) Property Management	11,718	11,858	12,457	599	6.2	6.0	5.0	-1.0
(1040) Information Technology	1,697	2,323	2,301	-23	11.4	12.0	12.0	0.0
(1055) Risk Management	398	478	463	-15	3.1	3.0	3.0	0.0
(1060) Legal	0	0	554	554	0.0	0.0	4.0	4.0
(1080) Communications	310	349	363	13	3.1	3.0	3.0	0.0
(1085) Customer Service	85	101	104	3	1.0	1.0	1.0	0.0
(1090) Performance Management	1,145	5,098	1,466	-3,632	10.4	10.0	9.0	-1.0
(2010) Office of Waste Diversion	0	715	0	-715	0.0	6.0	0.0	-6.0
(SNOW) District of Columbia Snow Program	11,370	1,514	5,124	3,610	1.0	0.0	1.0	1.0
<b>Subtotal (1000) Agency Management</b>	<b>28,705</b>	<b>24,729</b>	<b>24,590</b>	<b>-139</b>	<b>55.0</b>	<b>59.0</b>	<b>51.0</b>	<b>-8.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	627	719	915	196	5.2	5.0	6.0	1.0
(120F) Accounting Operations	1,441	1,728	1,619	-110	15.8	17.0	15.0	-2.0
(130F) ACFO	1,538	1,653	2,026	373	11.3	11.0	13.0	2.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>3,606</b>	<b>4,101</b>	<b>4,559</b>	<b>459</b>	<b>32.3</b>	<b>33.0</b>	<b>34.0</b>	<b>1.0</b>
<b>(4000) Fleet Management</b>								
(4010) Fleet Consumables	2,106	1,493	1,615	122	8.2	9.0	9.0	0.0
(4020) Scheduled Fleet Maintenance	812	890	889	-2	11.9	13.0	13.0	0.0
(4030) Unscheduled Vehicle and Equipment Repairs	9,773	10,268	10,121	-147	67.7	74.0	73.0	-1.0
(4040) Vehicle and Equipment Acquisitions	6,776	6,830	7,475	645	23.8	26.0	27.0	1.0
(4050) Fleet Administrative Support	1,067	1,489	1,585	96	18.3	20.0	20.0	0.0
<b>Subtotal (4000) Fleet Management</b>	<b>20,535</b>	<b>20,971</b>	<b>21,685</b>	<b>714</b>	<b>129.9</b>	<b>142.0</b>	<b>142.0</b>	<b>0.0</b>
<b>(5000) Parking Enforcement Management</b>								
(5010) Parking Regulations Enforcement	21,209	23,981	25,178	1,197	357.8	345.0	345.0	0.0
(5020) Towing	2,552	2,870	2,809	-61	34.2	35.0	34.0	-1.0
(5030) Abandoned and Junk Vehicles	1,382	1,607	1,637	30	20.7	18.0	19.0	1.0
<b>Subtotal (5000) Parking Enforcement Management</b>	<b>25,143</b>	<b>28,458</b>	<b>29,624</b>	<b>1,166</b>	<b>412.7</b>	<b>398.0</b>	<b>398.0</b>	<b>0.0</b>

(Continued on next page)

**Table KT0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(6000) Solid Waste Management</b>								
(6010) Enforcement of Sanitation Regulations	5,484	6,090	6,109	19	64.9	66.0	65.0	-1.0
(6020) Public Space Cleaning	28,456	29,407	29,890	483	392.5	383.0	383.0	0.0
(6030) Sanitation Collections and Removals	32,131	23,457	23,552	94	286.2	276.0	291.0	15.0
(6040) Sanitation Disposal	16,209	14,408	15,633	1,226	50.9	57.0	58.0	1.0
<b>Subtotal (6000) Solid Waste Management</b>	<b>82,281</b>	<b>73,362</b>	<b>75,183</b>	<b>1,822</b>	<b>794.5</b>	<b>782.0</b>	<b>797.0</b>	<b>15.0</b>
<b>(9960) Year End Close</b>								
(9960) Year End Close	0	0	0	0	0	0	0	0
<b>Subtotal (9960) Year End Close</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>No Activity Assigned</b>								
No Activity Assigned	-998	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal No Activity Assigned</b>	<b>-998</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>159,272</b>	<b>151,620</b>	<b>155,642</b>	<b>4,022</b>	<b>1,424.3</b>	<b>1,414.0</b>	<b>1,422.0</b>	<b>8.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Division Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Department of Public Works' (DPW) proposed FY 2016 gross budget is \$155,641,783, which represents a 2.7 percent increase over its FY 2015 approved gross budget of \$151,620,156. The budget is comprised of \$123,276,374 in Local funds, \$7,675,000 in Special Purpose Revenue funds, and \$24,690,408 in Intra-District funds.

## Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DPW's FY 2016 CSFL budget is \$126,088,669, which represents a \$5,429,958, or 4.5 percent, increase over the FY 2015 approved Local funds budget of \$120,658,711.

## CSFL Assumptions

The FY 2016 CSFL calculated for DPW included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$350,000 to account for the removal of one-time funding appropriated in FY 2015 for funding of recycling education materials and public space can replacements. Additionally, adjustments were made for a net increase of \$2,252,086 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved

compensation agreements implemented in FY 2015, and an increase of \$211,707 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DPW's CSFL funding for the restoration of one-time salary lapse reflects an increase of \$500,000. Adjustments were made for Recurring Budget Items for increases of \$1,300,000 to support overtime pay based on historical spending, \$1,625,000 to replenish salt supplies, and \$500,000 for an adjustment to contract escalations related to the snow program. Additionally, adjustments were made for an increase of \$255,185 to support 2.0 Full-Time Equivalent (FTE) positions due to the transfer of attorneys from the Office of the Attorney General and a decrease of \$864,019 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

As a first responder agency to public needs, DPW has seen increased demand for its services due to increases in the District's population in the last three years. The stronger economic condition in the District of Columbia metropolitan area has attracted many people from different parts of the country, young people in particular. DPW has the responsibility for snow removal, trash collection, and city fleet management. To respond to the increasing demands for its services, DPW constantly reviews its available resources and moves people and materials across various programs and service lines.

**Increase:** DPW's Local funds budget increased by \$972,329 for Contractual Services in the Parking Enforcement Management division. This increase is based on cost projections for the parking enforcement contracts. DPW's budget proposal in Local funds reflects a net increase of \$763,673 to support 2.0 FTEs and other personal services costs related to projected salary steps and Fringe Benefits across all divisions. The additional FTEs are derived from the transfer of attorney positions from the Office of the Attorney General.

In Special Purpose Revenue (SPR) funds, the proposed budget includes an increase \$170,313 to support an additional Full-Time Equivalent (FTE) position and other personal services costs related to projected salary steps and Fringe Benefits in the Solid Waste Management division. The additional position will support sanitation disposal in the supply depot. The SPR budget also includes increases of \$68,550 for supplies and \$67,649 for adjustments to existing contracts in the Solid Waste Management division.

In Intra-District funds, the budget proposal reflects an increase of \$654,770 in personal services based on projected changes in salary step and Fringe Benefit costs, primarily in the Fleet Management division. Adjustments in the budget for Intra-District funds are impacted by two citywide Memoranda-of-Understanding (MOU) that DPW maintains with District agencies. One MOU is for the disposal of waste at city transfer sites and the other is for the maintenance and repair of agency vehicles. Based on these agreements, DPW projects increases of \$297,050 in the Solid Waste Management division and \$227,144 in the Fleet Management division.

**Decrease:** For DPW to operate within its allotted budget and also provide quality services to District residents and visitors, various adjustments in the agency's budget provides offsets to proposed increases in personal and nonpersonal services. In Local funds, these adjustments include reductions of \$188,434 primarily for computer supplies, \$500,000 primarily in the Solid Waste Management division for overtime pay, and \$1,047,569 for salt supplies. These adjustments reflect projected savings in salt replenishment supplies and a lower need of overtime by filling of vacant positions.

In SPR funds, the budget includes a decrease of \$81,511 in nonpersonal services in the Solid Waste Management division to align budget with projected revenues for FY 2016.



## **Mayor's Proposed Budget**

The proposed Local funds budget includes various adjustments that sum up to a net decrease of \$2,812,295, and a net increase of 3.0 FTEs. These adjustments include enhancements, reductions, and a transfer of resources out of DPW.

The adjustments are as follows:

**Enhance:** The proposed budget includes enhancements that increase funding in Local funds by a total of \$556,400. This amount is comprised of \$530,850 to support an additional 15.0 FTEs of sanitation workers that will enable DPW to reduce overtime costs in the Solid Waste Management division, and \$25,550 to fully annualize the salaries for two positions.

**Reduce:** The proposed budget includes various adjustments that sum up to a reduction of \$2,668,012, which includes decreases of \$2,032,785 in the Agency Management division to align the budget for the snow removal activity to the FY 2014 funding level, \$628,242 and 6.0 FTEs from the elimination of the Office of Waste Diversion, and \$6,985 in funding allocation for property maintenance in the Agency Management division.

**Transfer-Out:** The budget adjustments include a transfer of \$700,683 in salaries and Fringe Benefits for 6.0 FTEs from DPW to the Office of Contracting and Procurement (OCP). The positions are transferred in support of the Procurement Practices Reform Act.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table KT0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table KT0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>120,659</b>	<b>1,228.0</b>
Removal of One-Time Funding	Multiple Programs	-350	0.0
Other CSFL Adjustments	Multiple Programs	5,780	2.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>126,089</b>	<b>1,230.0</b>
Increase: To adjust the Contractual Services budget	Multiple Programs	972	0.0
Increase: To adjust personal services	Multiple Programs	764	2.0
Decrease: To align resources with operational goals	Multiple Programs	-188	0.0
Decrease: To offset projected increases in personal services	Multiple Programs	-500	0.0
Decrease: To align funding with nonpersonal services costs	Multiple Programs	-1,048	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>126,089</b>	<b>1,232.0</b>
Reduce: To realign the budget based on operational goals	Multiple Programs	-2,812	3.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>123,276</b>	<b>1,235.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>7,450</b>	<b>28.0</b>
Increase: To adjust personal services	Solid Waste Management	170	1.0
Increase: To align funding with nonpersonal services costs	Solid Waste Management	69	0.0
Increase: To adjust the Contractual Services budget	Solid Waste Management	68	0.0
Decrease: To align funding with nonpersonal services costs	Solid Waste Management	-82	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>7,675</b>	<b>29.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>7,675</b>	<b>29.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>23,511</b>	<b>158.0</b>
Increase: To adjust personal services	Multiple Programs	655	0.0
Increase: To align funding with nonpersonal services costs	Solid Waste Management	297	0.0
Increase: To align funding with nonpersonal services costs	Fleet Management	227	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>24,690</b>	<b>158.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>24,690</b>	<b>158.0</b>
<b>Gross for KT0 - Department of Public Works</b>		<b>155,642</b>	<b>1,422.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency has the following objectives and performance indicators for their Divisions:

### Solid Waste Management Administration (SWMA)

**Objective 1:** Increase the cleanliness of the District’s residential neighborhoods, high-visibility commercial areas, gateway corridors and industrial zones.

## KEY PERFORMANCE INDICATORS

### Solid Waste Management Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of the District’s Gateways, commercial and residential areas rated “clean” or “moderately clean”	98%	95%	89%	95%	95%	95%
Percent of trash collection routes completed on the scheduled day	99.5%	99.8%	99.4%	99.8%	99.8%	99.8%
Complaint rate for missed trash and yard waste collections per 10,000 residential collections <sup>1</sup>	0.1%	0.1%	0.2%	0.1%	0.1%	0.1%
Complaint rate for missed trash and yard waste collections per 10,000 residential collections (excluding snow season) <sup>2</sup>	0.1%	0.1%	0.1%	0.1%	0.1%	0.1%
Cost per ton to collect trash and yard waste	\$208.45	\$165.00	\$217.76	\$165.00	\$165.00	\$165.00
Percent of residential recycling collection routes completed on the scheduled day	98.5%	99.8%	92.9%	99.8%	99.8%	99.8%
Complaint rate for missed residential recycling collections per 10,000 collections	0.1%	0.1%	0.1%	0.1%	0.0%	0.0%
Cost per ton to collect recyclables	\$220.65	\$250	\$231.31	\$250	\$230	\$230
Residential recycling diversion rate <sup>3</sup>	28.3%	25%	29.3%	25%	30%	30%
Percent of sanitation enforcement requests resolved within 5 business days	62%	95%	75%	80%	80%	80%
Percent of bulk pickup requests collected on day of appointment	83%	98%	83%	98%	98%	98%
Cost for vehicle accidents compared to FY 2011 baseline (baseline year = \$649,429)	\$175,635	\$611,327	\$161,916	\$611,327	\$611,327	\$610,000
Number of collisions (i.e., SWMA struck, rear ended, or backed into)	110	93	120	93	90	90
Percent change of collisions compared to FY 2010 baseline (baseline year = 154)	-47%	-38%	128%	-38%	-40%	-40%

(Continued on next page)

## KEY PERFORMANCE INDICATORS (Continued)

### Solid Waste Management Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Cost per ton of food waste diverted <sup>4</sup>	Not Available	Not Available	Not Available	TBD	TBD	TBD
Tons of food waste diverted <sup>5</sup>	433.2	3,000	Not Available	Not Available	Not Available	Not Available
Recycling material collected per capita, in pounds <sup>6</sup>	68,590,000	68,000,000	78,456,480	68,000,000	68,000,000	68,000,000
The cost per linear miles mechanically swept <sup>7</sup>	Not Available	Not Available	\$128.68	\$60.00	\$58.00	\$57.00

### Parking Enforcement Management Administration (PEMA)

**Objective 1:** Ensure parking opportunities for District residents, businesses, and visitors by enforcing parking regulations.

## KEY PERFORMANCE INDICATORS

### Parking Enforcement Management Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of Residential Parking Permit (RPP) program blocks covered by daily enforcement	67.2%	98%	66.2%	85%	85%	85%
Percent of call-in requests for Residential Parking Permit (RPP) enforcement responded to within four hours	99%	98%	97%	98%	98%	98%
Percent of general enforcement requests responded to within four hours	99.2%	85%	97.1%	98%	98%	98%
Cost per ticket issued <sup>8</sup>	\$11.70	\$14.50	\$18.50	\$14.50	\$14.50	\$14.50
Percent of adjudicated parking tickets upheld	99%	99%	56%	99%	99%	99%
Percent of reported abandoned vehicles on public space resolved within five business days	99%	90%	79%	90%	90%	90%

## Fleet Management Administration (FMA)

**Objective 1:** Manage fleet business processes to ensure mission critical equipment is available for core services for all agencies.

### KEY PERFORMANCE INDICATORS

#### Fleet Management Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Citywide compliance rate with preventive maintenance appointments	70.2%	77.6%	73.5%	80.4%	83.2%	83.2%
Percent increase in citywide compliance with preventive maintenance appointments (FY 2011 baseline = 70.5 percent)	7%	10%	16%	10%	14%	18%
Agency compliance rate with preventive maintenance appointments	86.1%	92.6%	49.7%	92.7%	93.5%	94.4%
Percent increase in Agency compliance with preventive maintenance appointments (FY 2011 baseline = 90.7 percent)	-38%	2%	-28%	2%	3%	4%
Percent of light vehicle maintenance (excluding engine, transmission and body work) completed within 24 hours	78%	60%	73%	60%	65%	65%
Percent of mechanics with at least one ASE or professional certification	56%	27%	66%	27%	30%	35%
Percent increase in alternative fuel consumed compared to FY 2009 baseline (FY 2009 baseline = 225,099.41)	156%	500%	776%	500%	500%	500%
Percent reduction of petroleum fuel usage compared to FY 2011 baseline (Gallons used in FY 2011 = 2,904,645.2 of unleaded + ULSD)	34%	46%	26%	46%	46%	47%
Percent of repairs needing rework. Goal < 2 percent of total repairs (excluding electrical diagnostic issues) <sup>9</sup>	Not Available	Not Available	Not Available	1.9%	1.8%	1.8%
Percent of inventory loss/gain for total inventory value. Goal < 5 percent annual gain/loss <sup>10</sup>	Not Available	Not Available	Not Available	4.9%	4.8%	4.8%

## Office of the Director (OD)

**Objective 1:** Improve the agency’s customer service personnel to help provide better service delivery.

**Objective 2:** Oversee the implementation of agency-wide priorities.

### KEY PERFORMANCE INDICATORS

#### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of frontline employees who have up to date Language Line Services and cultural competency training <sup>11</sup>	85%	95%	89%	95%	95%	95%
Percent of frontline employees who complete tolerance training related to gender or sexual orientation	Not Available	95%	Not Available <sup>12</sup>	25%	25%	25%
Percent of year DPW has maintained A- or better on GradeDC	45%	80%	17% <sup>13</sup>	80%	80%	90%

#### Performance Plan Endnotes:

<sup>1</sup>This measure is calculated by the number of service requests received divided by the number of scheduled service stops.

<sup>2</sup>Accounting for record setting snowfall is a common practice throughout the country (Municipal Benchmarks by D.A. Ammons, 2001, pp. 401-402).

<sup>3</sup>The diversion rate represents the portion of total discarded materials collected by DPW that is diverted from disposal through recycling. It is calculated by dividing the weight of DPW collected recyclables by the weight of DPW collected refuse and recyclables. Although the measure is widely used in the industry, recycling programs vary by jurisdiction. Comparative Performance Measurement FY 2011 Data Report – International City/County Management Association (ICMA) Benchmark. All jurisdictions with a population over 100,000 have an average of 28.7 percent diversion rate.

<sup>4</sup>Calculating this Key Performance Indicator (KPI) is not feasible. The intention was to remove the measure from the FY 2015 budget book, which inadvertently did not occur. There was too much contamination in the waste and the vendor that was composting the food waste stopped accepting the food waste from the District. As a result, this service had to be discontinued. DPW is in the process of developing a Waste Study to determine future feasibility, but in the interim DPW does not have the resources or the capability to provide this service.

<sup>5</sup>Calculating this KPI is not feasible. The intention was to remove the measure from the FY 2015 budget book, which inadvertently did not occur. There was too much contamination in the waste and the vendor that was composting the food waste stopped accepting the food waste from the District. As a result, this service had to be discontinued. DPW is in the process of developing a Waste Study to determine future feasibility, but in the interim DPW does not have the resources or the capability to provide this service.

<sup>6</sup>Comparative Performance Measurement FY 2011 Data Report – ICMA Benchmark. All jurisdictions with a population over 100,000 have an average of 154 Pounds of recycling per capita. Explanatory information: This measure is intended to allow for comparisons of recycling efforts in jurisdictions with curbside pickup versus those with centralized drop-off locations only.

<sup>7</sup>Comparative Performance Measurement FY 2011 Data Report - ICMA Benchmark: The average Operating and maintenance expenditures per linear mile swept for jurisdictions with a population of over 100,000 is \$40.64 – “Type of street” repeats as the crucial variable because it is determined by traffic type and traffic volume, both of which are predictors of how much dirt and debris are deposited on streets and in what time frame. FY 2014 was the baseline year. Includes residential trash/yard waste, recycling and bulk trash.

<sup>8</sup>This measure is calculated by dividing the Personnel Services (PS) budget by the number of tickets.

<sup>9</sup>This measure is a new KPI in FY 2015.

<sup>10</sup>Ibid.

<sup>11</sup>OHR only requires training once every two years. The wording of this KPI was changed from the number of employees who “complete” training in order to clarify the intent of the KPI, which is to measure the percentage of employees who have up to date training and not necessarily the number of employees who completed training in any given fiscal year.

<sup>12</sup>Data was not available at the time of this report.

<sup>13</sup>In 2014, DPW delivered new cans to more than 100,000 residents. This was the first time DPW provided the new cans since the old can had been brought into service in 2005. As such, there was a bit of a learning curve that we had to adjust to while the process was underway. Collecting the old cans and providing new ones was not as smooth of a transition as we had hoped and our number of complaints spiked during this time period.



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# Department of Transportation

[www.ddot.dc.gov](http://www.ddot.dc.gov)

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$88,469,421	\$106,765,794	\$111,416,216	4.4
FTEs	576.8	576.4	550.4	-4.5

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The District Department of Transportation's (DDOT) mission is to enhance the quality of life for District residents and visitors by ensuring that people and goods move safely with minimal adverse impact on residents and the environment.

## Summary of Services

DDOT executes its mission through the work of the following divisions: The Infrastructure Project Management Administration designs and builds roads and bridges, rails, and other transportation projects; the Progressive Transportation Services Administration provides public transportation service through Metro and the Circulator bus system; the Transportation Operations Administration ensures a safe and user-friendly transportation environment; the Planning, Policy and Sustainability Administration develops strategic goals for the agency; the Public Space Regulation Administration manages public space and issues permits; and the Urban Forestry Administration maintains the District's street trees, providing our community with traffic calming, improved air quality, increased ground water retention that minimizes runoff and flooding, temperature moderation, and aesthetics.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KA0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	62,043	74,187	80,786	82,765	1,979	2.4
Special Purpose Revenue Funds	8,850	9,336	22,370	20,706	-1,664	-7.4
<b>Total for General Fund</b>	<b>70,892</b>	<b>83,523</b>	<b>103,156</b>	<b>103,471</b>	<b>315</b>	<b>0.3</b>
<b>Federal Resources</b>						
Federal Grant Funds	4,299	4,060	3,610	7,945	4,335	120.1
<b>Total for Federal Resources</b>	<b>4,299</b>	<b>4,060</b>	<b>3,610</b>	<b>7,945</b>	<b>4,335</b>	<b>120.1</b>
<b>Private Funds</b>						
Private Donations	140	0	0	0	0	N/A
<b>Total for Private Funds</b>	<b>140</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	0	886	0	0	0	N/A
<b>Total for Intra-District Funds</b>	<b>0</b>	<b>886</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>75,331</b>	<b>88,469</b>	<b>106,766</b>	<b>111,416</b>	<b>4,650</b>	<b>4.4</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table KA0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table KA0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	540.1	576.8	576.4	550.4	-26.0	-4.5
<b>Total for General Fund</b>	<b>540.1</b>	<b>576.8</b>	<b>576.4</b>	<b>550.4</b>	<b>-26.0</b>	<b>-4.5</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KA0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KA0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	23,770	26,327	28,578	26,403	-2,175	-7.6
12 - Regular Pay - Other	4,424	4,428	5,064	5,493	429	8.5
13 - Additional Gross Pay	713	510	365	365	0	0.0
14 - Fringe Benefits - Current Personnel	6,078	7,452	7,836	7,078	-758	-9.7
15 - Overtime Pay	1,940	1,189	755	755	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>36,924</b>	<b>39,906</b>	<b>42,598</b>	<b>40,094</b>	<b>-2,504</b>	<b>-5.9</b>
20 - Supplies and Materials	888	1,261	1,077	1,027	-50	-4.6
30 - Energy, Communication and Building Rentals	9,233	8,250	8,425	8,096	-329	-3.9
31 - Telephone, Telegraph, Telegram, Etc.	0	0	0	250	250	N/A
40 - Other Services and Charges	6,246	6,486	6,366	5,704	-662	-10.4
41 - Contractual Services - Other	18,969	30,267	44,607	50,104	5,496	12.3
50 - Subsidies and Transfers	2,651	1,296	3,318	5,843	2,525	76.1
70 - Equipment and Equipment Rental	420	1,002	374	299	-75	-20.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>38,407</b>	<b>48,563</b>	<b>64,168</b>	<b>71,323</b>	<b>7,155</b>	<b>11.2</b>
<b>Gross Funds</b>	<b>75,331</b>	<b>88,469</b>	<b>106,766</b>	<b>111,416</b>	<b>4,650</b>	<b>4.4</b>

\*Percent change is based on whole dollars.

### Division Description

The District Department of Transportation operates through the following 8 divisions:

**Urban Forestry Administration (UFA)** – establishes a full population of street trees within the District of Columbia, ensures that the trees lining the District’s roadways are maintained in a healthy and safe environment, provides educational information to District residents about the benefits of growing trees, and encourages planting of appropriate tree species in our urban environment. UFA also maintains the network of trails in the District and partners with other DDOT administrations and District agencies to reduce impermeable surfaces to improve the health of trees and help reduce storm-water runoff.

**Infrastructure Project Management Administration (IPMA)** – manages the design, engineering, and construction of roadways, bridges, traffic signals, and alley projects in the District of Columbia. IPMA also manages special construction projects and all roadway assets.

This division contains the following 3 activities:

- **Project Development and Management** – supports ward-based teams that design and construct transportation infrastructure projects. They manage the condition of the streets, sidewalks and alleys -

DDOT's most visible work. This division is overseen by the Deputy Chief Engineer for Design and Construction Team Management;

- **Preventive and Routine Roadway Maintenance** – protects the quality of the District's streets, bridges, tunnels, alleys and sidewalks. This function maintains an inventory and condition assessment of all DDOT assets and tests and evaluates all construction materials used in DDOT projects; and
- **Rights-of-Way** – manages the transfer of funds to the local roads program.

**Public Space Regulation Administration (PSRA)** – responsible for permitting and enforcing the exclusive use of public space by private entities, including residents, businesses, utilities, and developers.

This division contains the following 4 activities:

- **Administrative Support** – coordinates all the activities of the division;
- **Customer Service** – responsible for the customer service function for the Administration and the primary face of the agency to the public;
- **Public Space Permits Branch Permitting Unit** – manages the Public Space Committee; issues permits to developers, vendors, and utilities; and assumes responsibility for the overall management of the District's public space; and
- **Systems Inspection and Oversight** – initiates inspections and monitoring of construction and related activities located in the public right-of-way to minimize the impact on the transportation infrastructure within the District of Columbia.

**Progressive Transportation Services (PTSA)** – provides the public with efficient, affordable, and diverse means of travel within the District of Columbia by providing funding, policy recommendations, and coordination services to the Washington Metropolitan Area Transit Authority (WMATA).

This division contains the following 4 activities:

- **Circulator** – the Circulator is the product of a unique public/private partnership between the District Department of Transportation, WMATA, and DC Surface Transit, Inc. The system provides a quick, efficient, low-cost public transit system to the residents, workers, and visitors in the Nation's Capital;
- **Mass Transit** – provides fiduciary and operational oversight in collaboration with WMATA and designs and formulates alternative means of transportation to reduce congestion and parking problems with innovative transportation options, such as bike-sharing and car-sharing;
- **School Subsidy Program** – provides the District of Columbia's student population with efficient, affordable, and reliable means of travel to and from school. DDOT manages the program in conjunction with WMATA, D.C. Public Schools, and D.C. Public Charter Schools; and
- **Streetcar** – plans, manages, and builds a modern streetcar transportation network that complements the existing transit operations to support and create neighborhood linkages for District residents.

**Planning, Policy and Sustainability (PPSA)** – establishes broad strategic goals to guide multi-modal program development, formulates the policies necessary to implement these goals, and ensures compliance with these goals and policies through plan review and permitting.

This division contains the following 3 activities:

- **Policy Development** – comprises three branches: the Public Space Policy Branch, which develops policies that governs the use of public space, such as sidewalks, underground vaults, and special projects; the Transportation Systems Policy Branch, which implements thematic programs including safety, pedestrian, and bicycle programs; and the Research and Technology Development Branch, which provides best practices in transportation, manages the DDOT library and archives, and performs program evaluation to measure effectiveness;

- **Public Space Management** – manages the Public Space Committee; issues permits to developers, vendors, and utilities; and assumes responsibility for the overall management of District public space; and
- **Planning** – develops vehicular and non-vehicular transportation projects and activities, including planning and designing bicycle and pedestrian transportation projects and initiatives.

**Transportation Operations (TOA)** – maintains the integrity of public assets, such as roadways, sidewalks, traffic calming devices, streetlights, and parking meters. TOA also operates the transportation system, ensuring a safe and user-friendly driver, commuter, and pedestrian environment.

This division contains the following 8 activities:

- **Citywide Program Support** – manages operations and condition of the single and multi-space parking meters and the District's street, alley, bridge, tunnel, and navigation lighting systems;
- **Intelligent Transportation Systems** – reviews, assesses, integrates, and implements the latest available technologies to enhance the District of Columbia's transportation infrastructure;
- **Office of the Associate Director** – provides management for the various functions of the administration, including snow operations, fleet maintenance and repair, and warehouse operations;
- **Systems Inspection and Oversight** – initiates inspections and monitoring of construction and related activities located in the public right-of-way to minimize the impact on the transportation infrastructure within the District of Columbia;
- **Special Events** – provides traffic support and management to special events such as parades, protests, concerts, and sporting events;
- **Street and Bridge Maintenance** – performs proactive and preventive maintenance to ensure safe passage on all District roads, sidewalks, bridges, and alleys;
- **Transportation Operations and Traffic Management** – provides traffic regulation and safety services to pedestrians, cyclists, and motorists in the District of Columbia so that they can move about safely in the city and avoid personal injury and property damage; and
- **Traffic Services Field Operations** – installs and maintains control devices such as signs, pavement markings, traffic signals, and streetlights.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The District Department of Transportation has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table KA0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KA0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	2,142	2,295	2,217	-78	25.3	26.0	28.0	2.0
(1015) Training and Employment Development	276	468	237	-231	3.0	5.0	3.0	-2.0
(1020) Contracting and Procurement	1,641	1,509	60	-1,449	17.2	16.0	1.0	-15.0
(1030) Property Management	766	706	857	151	8.1	6.0	6.0	0.0
(1040) Information Technology	3,243	3,236	2,522	-714	19.2	18.0	17.0	-1.0
(1050) Financial Management	1,332	1,631	0	-1,631	8.1	9.0	0.0	-9.0
(1055) Risk Management	664	693	589	-104	4.0	4.0	4.0	0.0
(1060) Legal	15	15	101	86	0.0	0.0	1.0	1.0
(1070) Fleet Management	3,356	3,385	3,385	0	0.0	0.0	0.0	0.0
(1080) Communications	396	388	293	-95	4.0	4.0	4.0	0.0
(1085) Customer Service	875	768	755	-14	7.1	11.0	14.0	3.0
(1090) Performance Management	667	936	952	16	4.0	6.0	6.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>15,373</b>	<b>16,030</b>	<b>11,968</b>	<b>-4,063</b>	<b>100.1</b>	<b>105.0</b>	<b>84.0</b>	<b>-21.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	1,573	1,560	1,659	99	13.2	13.0	13.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>1,573</b>	<b>1,560</b>	<b>1,659</b>	<b>99</b>	<b>13.2</b>	<b>13.0</b>	<b>13.0</b>	<b>0.0</b>
<b>(9960) Year End Close</b>								
No Activity Assigned	14	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (9960) Year End Close</b>	<b>14</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(AT00) Alternative Transportation</b>								
(ALTP) Alternative Transportation	-12	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (AT00) Alternative Transportation</b>	<b>-12</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(GR00) Urban Forestry Administration</b>								
(GSSM) Green Partnership and Stewardship Management	1,021	1,463	1,935	472	14.2	11.0	8.0	-3.0
<b>Subtotal (GR00) Urban Forestry Administration</b>	<b>1,021</b>	<b>1,463</b>	<b>1,935</b>	<b>472</b>	<b>14.2</b>	<b>11.0</b>	<b>8.0</b>	<b>-3.0</b>
<b>(IS00) Infrastructure Project Management Administration</b>								
(PRDM) Project Development and Management	1,211	1,162	1,091	-72	11.1	11.0	15.0	4.0
(PREV) Preventive and Routine Roadway Maintenance	352	1,237	6,803	5,566	5.1	5.0	4.0	-1.0
(RITW) Rights-of-Way	178	556	384	-172	6.1	6.0	6.0	0.0
<b>Subtotal (IS00) Infrastructure Project Management Administration</b>	<b>1,741</b>	<b>2,955</b>	<b>8,277</b>	<b>5,323</b>	<b>22.2</b>	<b>22.0</b>	<b>25.0</b>	<b>3.0</b>

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**Table KA0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(PS00) Public Space Regulation Admin.</b>								
(OADD) Administrative Support	1,374	1,461	1,536	75	8.1	12.0	12.0	0.0
(PCSU) Customer Service Unit	231	217	251	34	4.0	3.0	3.0	0.0
(PSPU) Public Space Permits Branch Permitting Unit	1,108	1,092	1,310	218	23.3	14.0	14.0	0.0
(SYOD) Systems Inspection and Oversight	2,035	2,862	2,504	-358	27.3	36.0	29.0	-7.0
<b>Subtotal (PS00) Public Space Regulation Admin.</b>	<b>4,748</b>	<b>5,633</b>	<b>5,601</b>	<b>-31</b>	<b>62.7</b>	<b>65.0</b>	<b>58.0</b>	<b>-7.0</b>
<b>(PT00) Progressive Transportation Services</b>								
(CIRL) Circulator	212	212	212	0	0.0	0.0	0.0	0.0
(MATR) Mass Transit	7,073	2,125	2,078	-47	10.1	13.0	14.0	1.0
(SCHS) School Subsidy Program	466	295	308	13	3.0	3.0	3.0	0.0
(STRE) Street Car	4,092	9,965	9,311	-653	1.0	4.0	2.0	-2.0
<b>Subtotal (PT00) Progressive Transportation Services</b>	<b>11,843</b>	<b>12,596</b>	<b>11,909</b>	<b>-687</b>	<b>14.2</b>	<b>20.0</b>	<b>19.0</b>	<b>-1.0</b>
<b>(PU00) Planning, Policy and Sustainability</b>								
(POLD) Policy Development	8,280	16,615	16,128	-487	40.4	19.0	4.0	-15.0
(SPMG) Public Space Management	781	0	2,309	2,309	0.0	0.0	35.0	35.0
(TPLN) Planning	3,683	4,746	8,309	3,563	0.0	19.0	7.0	-12.0
<b>Subtotal (PU00) Planning, Policy and Sustainability</b>	<b>12,744</b>	<b>21,361</b>	<b>26,746</b>	<b>5,386</b>	<b>40.4</b>	<b>38.0</b>	<b>46.0</b>	<b>8.0</b>
<b>(TR00) Transportation Operations</b>								
(ALTT) Alternative Transportation	501	0	0	0	1.0	0.0	0.0	0.0
(CWPS) Citywide Program Support	18,979	20,742	20,518	-224	7.1	6.0	6.0	0.0
(ITSO) Intelligent Transportation Systems	113	253	287	34	4.0	3.0	3.0	0.0
(O Aid) Office of the Associate Director	3,493	1,977	1,982	4	7.1	7.0	6.0	-1.0
(SIOD) System Inspection and Oversight	1,227	1,104	1,207	103	19.2	15.0	14.0	-1.0
(SPET) Special Events	117	365	365	0	1.0	0.0	0.0	0.0
(STBM) Street and Bridge Maintenance	3,769	4,859	4,953	94	60.7	62.0	57.0	-5.0
(TOTM) Transportation Operations and Traffic Mgmt.	10,509	10,031	11,298	1,266	199.2	198.4	202.0	3.6
(TSFO) Traffic Services Field Operations	717	5,838	2,712	-3,126	10.5	11.0	9.4	-1.6
<b>Subtotal (TR00) Transportation Operations</b>	<b>39,425</b>	<b>45,170</b>	<b>43,321</b>	<b>-1,848</b>	<b>309.8</b>	<b>302.4</b>	<b>297.4</b>	<b>-5.0</b>
<b>Total Proposed Operating Budget</b>	<b>88,469</b>	<b>106,766</b>	<b>111,416</b>	<b>4,650</b>	<b>576.8</b>	<b>576.4</b>	<b>550.4</b>	<b>-26.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Division Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Department of Transportation's (DDOT) proposed FY 2016 gross budget is \$111,416,216, which represents a 4.4 percent increase over its FY 2015 approved gross budget of \$106,765,794. The budget is comprised of \$82,764,814 in Local funds, \$7,945,000 in Federal Grant funds, and \$20,706,402 in Special Purpose Revenue funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DDOT's FY 2016 CSFL budget is \$82,677,858, which represents a \$1,892,103, or 2.3 percent, increase over the FY 2015 approved Local funds budget of \$80,785,755.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DDOT included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$1,416,147 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$480,860 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DDOT's CSFL funding for Recurring Budget Items reflects an adjustment for an increase of \$250,000 to account for projected increase in telephone for agency-managed Fixed Costs. Additionally, an adjustment was made for a decrease of \$254,904 for Other Adjustments to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** In Local funds, DDOT proposes an increase of \$1,718,123 to account for projected increases in fleet services based on estimates received from the Department of Public Works (DPW). The Federal Grant funds budget is increased by \$4,335,000 to account for projected increases in grant awards from the National Highway Administration for various projects on federal roads within the District of Columbia.

**Decrease:** DDOT budget proposal in Local funds decreased contractual services by \$680,860 and personal services by \$1,037,263 to provide offsets to proposed budget increases in fleet services. In Special Purpose Revenue funds, the budget is decreased by \$1,863,637 based on revenue projections associated with Bicycle Sharing, Streetcar Revenue, and Transportation Infrastructure Mitigation funds.

### **Mayor's Proposed Budget**

**Enhance:** Additional funding is allocated in DDOT's proposed budget in Local funds to support the Bloomindale Flood prevention project, accounting for an increase of \$5,800,000. The proposed personal services budget also includes an increase of \$39,257 to ensure that two positions will be adequately funded.

**Enhance/Reallocate:** As the agency continues to align resources with operational goals, DDOT's budget in Special Purpose Revenue funds eliminates the Pedestrian and Bicycle Safety fund and creates the Vision Zero Pedestrian and Bicycle Safety Fund. This initiative, which accounts for a net increase of \$200,000, will enhance the safety and quality of pedestrian and bicycle transportation within the District of Columbia.

**Reduce:** Streamlining of operational efficiencies is a key strategy in DDOT's budget proposal in Local funds. In keeping with this strategy, the proposed budget includes a reduction of \$3,084,586, which is comprised of \$269,463 of funding for streetlight electricity shifted to Special Purpose Revenue funds, \$597,000 from projections in Shared Services shifted to the Federal Highway Administration fund, \$500,000 for the Parking Meter contract reduction, and \$1,718,123 in the proposed Fleet Services budget due to reduction of vehicles and other cost saving initiatives.

**Transfer-Out/Reduce:** DDOT's proposed budget in Local funds incorporates adjustments of \$2,177,240 in salaries and \$490,475 in Fringe Benefits for 26.0 FTEs, to support the Procurement and Practices Reform Act of 2010 initiative and the shifting of vacant positions. These adjustments account for a transfer of 18.0 FTEs, including \$1,513,774 in salaries and \$349,682 in Fringe Benefits to the Office of Contracting and Procurement; and reductions of \$663,466 in salaries and \$140,793 in Fringe Benefits associated with the shifting of 8.0 vacant FTEs to the Federal Highway Administration fund.



## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table KA0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table KA0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>80,786</b>	<b>576.4</b>
Other CSFL Adjustments	Multiple Programs	1,892	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>82,678</b>	<b>576.4</b>
Increase: To support and annualize costs of existing program	Agency Management	1,718	0.0
Decrease: To align resources with operational goals	Multiple Programs	-681	0.0
Decrease: To adjust personal services	Multiple Programs	-1,037	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>82,678</b>	<b>576.4</b>
Enhance: To support the Bloomingdale Water initiative	Multiple Programs	5,800	0.0
Enhance: To adjust personal services	Multiple Programs	39	0.0
Reduce: To streamline operation efficiency	Multiple Programs	-3,085	0.0
Transfer-Out/Reduce: Fringe Benefits transferring from DDOT to the Office of Contracting and Procurement	Multiple Programs	-490	0.0
Transfer-Out/Reduce: Positions and salaries transferring from DDOT to the Office of Contracting and Procurement	Multiple Programs	-2,177	-26.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>82,765</b>	<b>550.4</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>3,610</b>	<b>0.0</b>
Increase: To align budget with projected grant awards	Multiple Programs	4,335	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>7,945</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>7,945</b>	<b>0.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>22,370</b>	<b>0.0</b>
Decrease: To align budget with projected revenues	Multiple Programs	-1,864	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>20,506</b>	<b>0.0</b>
Enhance/Reallocate: To align resources with operational goals	Multiple Programs	200	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>20,706</b>	<b>0.0</b>
<b>Gross for KA0 - District Department of Transportation</b>		<b>111,416</b>	<b>550.4</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's has the following objectives and performance indicators for their divisions:

### Urban Forestry Administration (UFA)

**Objective 1:** Increase the number and improve the health of street trees in order to provide District neighborhoods with a healthy urban tree canopy (Sustainable DC Plan Nature Action 2.1).

## KEY PERFORMANCE INDICATORS

### Urban Forestry Administration (UFA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average cost per tree box maintained <sup>1</sup>	Not Available	Not Available	Not Available	Not Available	\$70.00	TBD
Average cost per street tree installed <sup>2</sup>	Not Available	Not Available	Not Available	Not Available	\$375.00	TBD
Percent of street tree population inspected	27%	29%	30.2%	29.5%	29.5%	29.5%
Percent of green infrastructure sites inspected	Not Available	Not Available	Not Available	90%	90%	90%
Number of trees planted Citywide (Sustainable DC Nature Goal 2)	7,000	10,600	7,320	8,000	8,000	8,000
Percent of street trees in a healthy condition <sup>3</sup>	87.9%	70%	97.9%	97%	97%	97%
Street tree mortality rate <sup>4</sup>	5.2%	10%	1.6%	7.5%	7.5%	7.5%

### Infrastructure Project Management Administration (IPMA)

**Objective 1:** Preservation of existing transportation infrastructure assets to maintain and improve condition rating in a cost-effective manner.

**Objective 2:** Incorporate into the infrastructure design sustainability, multimodal, historic preservation, and low impact elements (Sustainable DC Plan Waste Action 2.2; and Transportation Action 2.1).

## KEY PERFORMANCE INDICATORS

### Infrastructure Project Management Administration (IPMA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average cost per lane mile paved <sup>5</sup>	Not Available	Not Available	Not Available	Not Available	\$450,000	Not Available
Average cost per mile of sidewalk installed <sup>6</sup>	Not Available	Not Available	Not Available	Not Available	\$400,000	Not Available
Percent of current year projects completed within 10 percent of budget (except for those with scope change)	91%	95%	100%	95%	95%	95%
Percent of current year projects completed within 60 days of planned end date (except for those with scope change)	91%	95%	100%	95%	95%	95%

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## KEY PERFORMANCE INDICATORS (continued)

### Infrastructure Project Management Administration (IPMA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of blocks in paving plan completed	68%	100%	88%	90%	90%	90%
Percent of streets in "Fair to Excellent" condition <sup>7</sup>	78%	78%	81%	78%	78%	78%
Number of structurally deficient bridges <sup>8</sup>	19	14	11	10	9	9
Percent of sidewalks in plan completed	95%	100%	127%	90%	90%	90%

### Progressive Transportation Services Administration (PTSA)

**Objective 1:** Increase and promote mass transportation modes to meet the mobility and economic development needs of the District.

## KEY PERFORMANCE INDICATORS

### Progressive Transportation Services Administration (PTSA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average circulator operating cost per revenue hour <sup>9</sup>	Not Available	Not Available	Not Available	Not Available	\$75	Not Available
Average streetcar operating cost per revenue hour <sup>10</sup>	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available
Farebox recovery ratio	19.1%	25.0%	18.0%	19.5%	18.5%	17.5%
Total Circulator riders	5,598,517	5,700,000	5,152,454	7,517,89 <sup>11</sup>	8,509,282	8,759,590
Subsidy per Circulator rider	\$2.43	\$2.75	\$2.83	\$2.85	\$2.95	\$3.05

## Planning, Policy and Sustainability Administration (PPSA)

**Objective 1:** Comprehensive and integrated transportation planning.

**Objective 2:** Research, Develop and Document Leading-edge Transportation Policies.

**Objective 3:** Champion a sustainable transportation system that meets the travel needs of the public, efficiently and affordably, while protecting the environment and the cultural resources of the District.

### KEY PERFORMANCE INDICATORS

#### Planning, Policy and Sustainability Administration (PPSA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of zoning case transportation impact reports submitted a minimum of 10 days before hearing	82%	100%	74%	100%	100%	100%
Vehicle miles traveled per capita	5,646	5,650	5,457 <sup>12</sup>	5,550	5,450	5,350
New sidewalk segments constructed	Not Available	10	11	10	20	20
Number of Capital Bikeshare stations in DC	170	212	197	215	240	260

## Transportation Operations Administration (TOA)

**Objective 1:** Ensure that the District's current transportation assets (traffic signals, parking meters, streetlights) are in good condition and utilized at their optimal capacity.

**Objective 2:** Enhance operational efficiency, safety, and customer service.

### KEY PERFORMANCE INDICATORS

#### Transportation Operations Administration (TOA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average maintenance cost per parking meter <sup>13</sup>	Not Available	Not Available	Not Available	Not Available	\$170	TBD
Average percent of parking meters working daily <sup>14</sup>	99.3%	97.0%	99.4%	98.0%	99.0%	99.0%
Percent of streetlights fixed within schedule of service (based type of repair required) <sup>15</sup>	89.3%	90.0%	93.5%	92.0%	95.0%	97.0%
Percent of potholes filled within 48 hours	99%	96%	51%	70.0%	80.0%	90.0%
Number of signs installed/replaced (includes permanent and emergency no parking signs)	24,286	33,000	37,900	35,000	36,000	37,000

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## KEY PERFORMANCE INDICATORS (Continued)

### Transportation Operations Administration (TOA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Miles of pavement markings refreshed	105	200	193	100	125	150
Number of citations per Traffic Control Officer (TCO)	2,652	3,200	2,000	2,000	2,000	2,000
Number of crashes per million vehicle miles traveled (VMT) <sup>16</sup>	5.5	Not Available	Not Available	3	3	3
Number of injury crashes per million vehicle miles traveled (VMT) <sup>17</sup>	1.5	Not Available	Not Available	1.3	1.3	1.3
Fatality rate per 100 million vehicle miles traveled (VMT) <sup>18</sup>	0.65	Not Available	Not Available	1.2	1.1	1.1
Number of street/alley lights converted to Light-Emitting-Diode (LED)	292	400	679	200	200	200

### Public Space Regulation Administration (PSRA)

**Objective 1:** To increase and improve the timeliness, consistency, transparency and completeness of public space permits.

**Objective 2:** Increase the knowledge, skills, and ability of staff in the performance of duties.

## KEY PERFORMANCE INDICATORS

### Public Space Regulation Administration (PSRA)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Average cost per public permit processed <sup>19</sup>	Not Available	Not Available	Not Available	Not Available	\$150	TBD
Percent of public space permits processed on time <sup>20</sup>	92.3%	93%	93.9%	94%	94%	94%
Online public space permits issued	8,265	11,000	15,034	12,000	13,000	14,000
Weekly field inspections and field services performed	1,350	1,350	1,200	1,000	1,000	1,000
Average days for a technical review approval <sup>21</sup>	6	8	4	6	6	6
Average inspections review days <sup>22</sup>	3	6	6	6	6	6

## Performance Plan Endnotes:

<sup>1</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>2</sup>Ibid.

<sup>3</sup>This measure was changed in FY 2014 to include only Excellent and Good condition ratings. Prior years included Fair rated trees.

<sup>4</sup>New York City Department of Parks and Recreation Forestry, Horticulture and Natural Resources - Benchmarks: 91.3 percent two-year survival rate (8.7 percent mortality) New York City's Young Street Tree Mortality Study - Results and Tools Phase I: Analyzed broad trends in 45,094 trees planted between 1999 and 2003 using contract inspection data.

<sup>5</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>6</sup>Ibid.

<sup>7</sup>Florida Department of Transportation 2012 Performance Report - Benchmarks: Pavement Condition - The State Highway System has remained at or near the target of 80 percent non-deficient throughout the last decade. Resurface at least 4 percent of the State Highway System annually; Reduce the illegal operation of overweight commercial motor vehicles.

<sup>8</sup>Florida Department of Transportation 2012 Performance Report - Benchmarks: Bridge Conditions - Over 95 percent of all Departments maintained bridges meet standards.

<sup>9</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>10</sup>Ibid.

<sup>11</sup>The projected increase in FY 2015 is due to new routes that are being established, such as the Circulator Mall route and other extensions.

<sup>12</sup>This KPI is reported annually.

<sup>13</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>14</sup>Boston About Results Mayor's Quarterly Performance Report FY 2011 – Public Works and Transportation Benchmarks: Percentage of single space parking meters that are operational daily is 96 percent, which is 1 percent more than the target set by industry standards.

<sup>15</sup>Boston About Results Mayor's Quarterly Performance Report – Public Works and Transportation - Benchmark: Percentage of street light outages addressed within 10 business days for the City of Boston in FY 2011 is 83 percent.

<sup>16</sup>Data provided for calendar year to be consistent with federal reporting. Example FY 2013 data is for calendar year 2013.

<sup>17</sup>Ibid.

<sup>18</sup>Ibid.

<sup>19</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>20</sup>The occupancy is 10 days and construction is 30 days.

<sup>21</sup>Construction permit and occupancy permit.

<sup>22</sup>Ibid.



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# Department of Motor Vehicles

<http://dmv.dc.gov>

Telephone: 202-737-4404

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$36,948,380	\$46,231,307	\$43,647,580	-5.6
FTEs	230.8	269.0	270.0	0.4

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The mission of the Department of Motor Vehicles (DMV) is to promote public safety by ensuring the safe operation of motor vehicles.

## Summary of Services

The DMV provides service to approximately 537,000 licensed drivers and identification card holders (out of a population of nearly 632,000) and 290,000 registered vehicles at four service centers. DMV conducts adjudication services and collects ticket payments for more than 2.6 million tickets each year. DMV also conducts an estimated 190,000 annual vehicle inspections. Combining these services into a customer centered, mission-driven organization is the responsibility of the Agency Management Division. Department performance expectations in FY 2016 are listed by functional division.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KV0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides the FY 2013 and FY 2014 actual expenditures.

**Table KV0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	22,941	26,900	28,732	28,591	-141	-0.5
Special Purpose Revenue Funds	9,351	7,131	10,116	10,014	-102	-1.0
<b>Total for General Fund</b>	<b>32,292</b>	<b>34,031</b>	<b>38,848</b>	<b>38,605</b>	<b>-243</b>	<b>-0.6</b>
<b>Federal Resources</b>						
Federal Grant Funds	674	1,029	0	0	0	N/A
<b>Total for Federal Resources</b>	<b>674</b>	<b>1,029</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	4,157	1,888	7,384	5,043	-2,341	-31.7
<b>Total for Intra-District Funds</b>	<b>4,157</b>	<b>1,888</b>	<b>7,384</b>	<b>5,043</b>	<b>-2,341</b>	<b>-31.7</b>
<b>Gross Funds</b>	<b>37,122</b>	<b>36,948</b>	<b>46,231</b>	<b>43,648</b>	<b>-2,584</b>	<b>-5.6</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table KV0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table KV0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	150.4	194.4	222.0	223.0	1.0	0.5
Special Purpose Revenue Funds	40.9	36.4	45.0	45.0	0.0	0.0
<b>Total for General Fund</b>	<b>191.3</b>	<b>230.8</b>	<b>267.0</b>	<b>268.0</b>	<b>1.0</b>	<b>0.4</b>
<b>Intra-District Funds</b>						
Intra-District Funds	0.0	0.0	2.0	2.0	0.0	0.0
<b>Total for Intra-District Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>2.0</b>	<b>2.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>191.3</b>	<b>230.8</b>	<b>269.0</b>	<b>270.0</b>	<b>1.0</b>	<b>0.4</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KV0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KV0-3**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
11 - Regular Pay - Continuing Full Time	11,764	12,676	15,795	16,518	724	4.6
12 - Regular Pay - Other	366	111	260	268	8	2.9
13 - Additional Gross Pay	25	41	0	0	0	N/A
14 - Fringe Benefits - Current Personnel	3,037	3,121	3,868	4,180	312	8.1
15 - Overtime Pay	389	363	125	125	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>15,581</b>	<b>16,312</b>	<b>20,048</b>	<b>21,091</b>	<b>1,043</b>	<b>5.2</b>
20 - Supplies and Materials	178	161	233	233	0	0.0
30 - Energy, Communication and Building Rentals	413	537	549	512	-37	-6.7
31 - Telephone, Telegraph, Telegram, Etc.	292	288	347	277	-70	-20.2
32 - Rentals - Land and Structures	467	1,017	1,012	438	-574	-56.7
34 - Security Services	1,353	22	1,423	1,353	-71	-5.0
35 - Occupancy Fixed Costs	0	0	78	0	-78	-100.0
40 - Other Services and Charges	6,389	6,322	5,712	5,448	-264	-4.6
41 - Contractual Services - Other	11,496	11,915	16,144	13,940	-2,204	-13.7
70 - Equipment and Equipment Rental	955	375	686	357	-329	-48.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>21,542</b>	<b>20,636</b>	<b>26,183</b>	<b>22,557</b>	<b>-3,627</b>	<b>-13.9</b>
<b>Gross Funds</b>	<b>37,122</b>	<b>36,948</b>	<b>46,231</b>	<b>43,648</b>	<b>-2,584</b>	<b>-5.6</b>

\*Percent change is based on whole dollars.

### Division Description

The Department of Motor Vehicles (DMV) operates through the following 6 divisions:

**Adjudication Services** – provides ticket processing, notices, and hearing and hearing support services to residents and non-residents, in order to render legally sound decisions on parking, photo, and moving violations, and to ensure proper processing of violation and penalty payments for those infractions.

This division contains the following 3 activities:

- **Hearings** – provides fair and equitable reviews of ticket and permit violations for respondents so that they can resolve outstanding issues of liability;
- **Hearing Support** – provides intake, data review, records management, and administrative support functions to ensure accurate records and transmittal of information in support of adjudication hearings; and
- **Ticket Processing** – provides processed ticket information to create and maintain DMV's database, provides scheduled notifications and information to residents and non-residents of the District of Columbia, and processes and tracks fines and penalty payments for tickets issued by traffic enforcement authorities.

**Vehicle Services** – provides certification and inspection services to residents, businesses, and government entities so that they may legally park, drive, and sell their vehicles in the District of Columbia.

This division contains the following 4 activities:

- **Inspections** – provides safety and emission inspection services to residents and non-residents so that they can receive a timely vehicle inspection to facilitate reduced auto emissions (all vehicles) and safer vehicles (for-hire and commercial vehicles only). The air emission inspections meet the requirements of the District’s Air Quality Attainment State Implementation Plan;
- **Registrations** – provides legal certification services to residents and non-residents by providing timely documentations of ownership and authority to operate, allowing them to legally drive, park, or sell their vehicles;
- **Registrations – Out of State Vehicles** – provides registration services for “for hire” vehicles whose owner is based outside of the District; and
- **International Registration Plan** – administers the District of Columbia’s participation in the U.S.-based plan, which allows for the distribution of registration fees for commercial motor vehicles travelling inter-jurisdictionally through member states and provinces.

**Driver Services** – provides driver certification and identification services to residents to ensure they have the proper credentials to reflect identity, residence, and driving qualifications so that they may legally operate their vehicles.

**Technology Services** – provides integrated and reliable information systems for all DMV services and complies with District-wide technology standards and requirements.

This division contains the following 3 activities:

- **Information Technology** – operates and maintains the automated systems specific to DMV operations support, including wait-queuing, digital photos, and hearing recordings;
- **Driver and Vehicle Systems** – operates and maintains the automated systems providing support for driver and vehicles databases and service functions; and
- **Ticket Information Systems** – operates and maintains the automated systems providing support for ticketing and adjudication services.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The proposed division structure changes are provided in the Agency Realignment appendix to the proposed budget, which is located at [www.cfo.dc.gov](http://www.cfo.dc.gov) on the Annual Operating Budget and Capital Plan page.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table KV0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KV0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1008) Communications 11	20	131	137	7	1.8	2.0	2.0	0.0
(1010) Personnel	247	319	332	13	3.7	4.0	4.0	0.0
(1015) Training	100	101	106	5	0.9	1.0	1.0	0.0
(1030) Property Management	1,523	3,430	2,602	-828	0.0	0.0	0.0	0.0
(1060) Legal Services	0	0	279	279	0.0	0.0	2.0	2.0
(1070) Fleet Management	24	0	0	0	0.0	0.0	0.0	0.0
(1087) Language Access Act	0	35	1	-34	0.0	0.0	0.0	0.0
(1090) Performance Management	2,889	1,992	2,044	53	11.9	13.0	16.0	3.0
<b>Subtotal (1000) Agency Management</b>	<b>4,803</b>	<b>6,008</b>	<b>5,502</b>	<b>-505</b>	<b>18.3</b>	<b>20.0</b>	<b>25.0</b>	<b>5.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	238	257	289	32	1.8	2.0	2.0	0.0
(120F) Accounting Operations	217	159	308	149	3.7	4.0	4.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>455</b>	<b>416</b>	<b>597</b>	<b>181</b>	<b>5.5</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>
<b>(2000) Adjudication Services</b>								
(2010) Hearings	2,454	3,032	3,128	95	19.7	27.5	27.5	0.0
(2020) Hearing Support	1,566	2,051	2,018	-33	26.1	28.5	27.5	-1.0
(2030) Ticket Processing	8,246	13,743	11,426	-2,317	0.9	3.0	3.0	0.0
<b>Subtotal (2000) Adjudication Services</b>	<b>12,266</b>	<b>18,826</b>	<b>16,572</b>	<b>-2,255</b>	<b>46.8</b>	<b>59.0</b>	<b>58.0</b>	<b>-1.0</b>
<b>(3000) Vehicle Services</b>								
(3010) Inspections	3,029	4,068	3,949	-119	34.7	43.0	43.0	0.0
(3020) Registrations	3,034	3,522	3,613	90	42.2	46.0	46.0	0.0
(3030) Registrations - Out of State Vehicle	300	325	325	0	0.0	0.0	0.0	0.0
(3040) International Registration Plan	2,881	3,127	3,138	11	1.6	2.0	2.0	0.0
<b>Subtotal (3000) Vehicle Services</b>	<b>9,243</b>	<b>11,042</b>	<b>11,024</b>	<b>-18</b>	<b>78.5</b>	<b>91.0</b>	<b>91.0</b>	<b>0.0</b>
<b>(4000) Driver Services</b>								
(4010) Licensing	4,775	5,349	5,930	581	70.6	79.0	79.0	0.0
<b>Subtotal (4000) Driver Services</b>	<b>4,775</b>	<b>5,349</b>	<b>5,930</b>	<b>581</b>	<b>70.6</b>	<b>79.0</b>	<b>79.0</b>	<b>0.0</b>
<b>(6000) Customer Contact Services</b>								
(1080) Communications	115	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (6000) Customer Contact Services</b>	<b>115</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Continued on next page)

**Table KV0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(7000) Service Integrity</b>								
(1055) Risk Management	2	6	0	-6	0.0	0.0	0.0	0.0
(7010) Integrity	91	215	0	-215	0.9	3.0	0.0	-3.0
<b>Subtotal (7000) Service Integrity</b>	<b>92</b>	<b>221</b>	<b>0</b>	<b>-221</b>	<b>0.9</b>	<b>3.0</b>	<b>0.0</b>	<b>-3.0</b>
<b>(8000) Technology Services</b>								
(1040) Information Technology	4,889	4,043	3,687	-356	9.2	10.0	10.0	0.0
(8010) Driver and Vehicle Systems	288	326	307	-19	0.9	1.0	1.0	0.0
(8020) Ticket Information Systems	22	0	28	28	0.0	0.0	0.0	0.0
<b>Subtotal (8000) Technology Services</b>	<b>5,199</b>	<b>4,369</b>	<b>4,022</b>	<b>-347</b>	<b>10.1</b>	<b>11.0</b>	<b>11.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>36,948</b>	<b>46,231</b>	<b>43,648</b>	<b>-2,584</b>	<b>230.8</b>	<b>269.0</b>	<b>270.0</b>	<b>1.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Department of Motor Vehicles' (DMV) proposed FY 2016 gross budget is \$43,647,850, which represents a 5.6 percent decrease from its FY 2015 approved gross budget of \$46,231,307. The budget is comprised of \$28,590,614 in Local funds, \$10,014,242 in Special Purpose Revenue funds, and \$5,042,724 in Intra-District funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DMV's FY 2016 CSFL budget is \$29,481,113, which represents a \$749,348, or 2.6 percent, increase over the FY 2015 approved Local funds budget of \$28,731,765.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DMV included adjustment entries that are not described in detail on table 5. These adjustments were made for a net increase of \$550,147 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$149,973 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DMV's CSFL funding for Other Adjustments reflects an adjustment for an increase of \$179,735 to account for the transfer of attorneys from the Office of the Attorney General. Additionally, adjustments were made for a decrease of \$130,507 to account for proper funding of compensation and classification reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** DMV proposes an increase of \$422,020 in Local funds personal services budget to accommodate salary steps and Fringe Benefits cost projections.

**Decrease:** In order to maximize efficiency and allocate resources accordingly, DMV proposes reductions in the Local funds budget. These adjustments include decreases of \$23,091 due to changes in the Driver Licensing System contract, \$169,889 based on lower inventory projections for the Georgetown branch, and \$229,039 for decreased Information Technology (IT) hardware and software costs.

The Special Purpose Revenue funds budget proposes a net reduction of \$101,758 to align the budget with revenue projections. This adjustment is comprised of increases of \$76,274 in personal services costs to fund salary steps and Fringe Benefits and \$19,959 to support the Driver Licensing System contract, and reductions of \$10,456 in the agency-managed Telecommunications costs for the Georgetown branch, \$87,535 due to anticipated reduction in the inspection station emission equipment maintenance costs, and \$100,000 for IT Equipment purchases.

In Intra-District funds, DMV proposes a budget decrease of \$2,340,818. This adjustment is based on a reduction in ticket processing contract costs associated with a Memorandum of Understanding with the Metropolitan Police Department for the photo enforcement program.

### **Mayor's Proposed Budget**

**Reduce:** The Department of Motor Vehicles' proposed budget in Local funds includes reductions of \$9,599 that allows the agency to properly fund nonpersonal services costs for IT, \$61,888 and 1.0 FTE based on projected savings from elimination of a vacant position, and \$819,012 for reduced Fixed Costs estimates.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table KV0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table KV0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>28,732</b>	<b>222.0</b>
Other CSFL Adjustments	Multiple Programs	749	1.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>29,481</b>	<b>223.0</b>
Increase: To adjust personal services	Multiple Programs	422	1.0
Decrease: To adjust the Contractual Services budget	Multiple Programs	-23	0.0
Decrease: To streamline operation efficiency	Multiple Programs	-170	0.0
Decrease: To align funding with nonpersonal services costs	Multiple Programs	-229	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>29,481</b>	<b>224.0</b>
Reduce: To align funding with nonpersonal services costs	Multiple Programs	-10	0.0
Reduce: To recognize savings from a reduction in FTE	Multiple Programs	-62	-1.0
Reduce: To align Fixed Costs with proposed estimates	Agency Management	-819	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>28,591</b>	<b>223.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>10,116</b>	<b>45.0</b>
Decrease: To align budget with projected revenues	Multiple Programs	-102	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>10,014</b>	<b>45.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>10,014</b>	<b>45.0</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>7,384</b>	<b>2.0</b>
Decrease: To align resources with operational goals	Multiple Programs	-2,341	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>5,043</b>	<b>2.0</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>5,043</b>	<b>2.0</b>
<b>Gross for KV0 - Department of Motor Vehicles</b>		<b>43,648</b>	<b>270.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency has the following objectives for FY 2016:

### Adjudication Services

**Objective 1:** Make it easier, faster, and friendlier to do business with DMV.

**Objective 2:** Ensure a skilled and diverse workforce for quality customer service.

## KEY PERFORMANCE INDICATORS

### Adjudication Services

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of mail adjudication hearings for parking, moving and photo violations completed within 90 days of request	Not Available	80%	96.6%	80%	80%	80%
Percent of adjudication customers whose wait times are 40 minutes or less <sup>1</sup>	93%	85%	95%	85%	90%	90%
Percent of customers rating Adjudication Services as satisfactory or better <sup>2</sup>	90%	84%	94%	84%	84%	84%
Percent of appeals decided within 90 days of filing	67%	60%	60%	65%	80%	80%
Percent of adjudication-related OUC service requests addressed timely	87%	85%	94%	85%	90%	90%
Average cost per ticket adjudicated <sup>3</sup>	Not Available	Not Available	Not Available	Not Available	\$36.12	TBD

### Vehicle Services

**Objective 1:** Make it easier, faster, and friendlier to do business with DMV.

**Objective 2:** Ensure a skilled and diverse workforce for quality customer service.

## KEY PERFORMANCE INDICATORS

### Vehicle Services

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of customers rating Vehicle Services as satisfactory or better <sup>4</sup>	92%	87%	92.5%	87%	87%	87%
Percent of vehicle-related OUC service requests addressed timely	97%	90%	91.6%	90%	90%	90%
Average cost per vehicle inspected <sup>5</sup>	Not Available	Not Available	Not Available	Not Available	\$22.16	TBD
Average cost per vehicle inspected <sup>6</sup>	Not Available	Not Available	Not Available	Not Available	\$21.83	TBD



## Driver Services

**Objective 1:** Make it easier, faster, and friendlier to do business with DMV.

**Objective 2:** Ensure a skilled and diverse workforce for quality customer service.

**Objective 3:** Ensure the integrity, security and safety of DMV's licensing, identification cards and registration.

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### KEY PERFORMANCE INDICATORS

#### Driver Services

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of service center customers whose wait times are 40 minutes or less <sup>7</sup>	72%	75%	75.4%	75%	80%	80%
Percent of customers rating Driver Services as satisfactory or better <sup>8</sup>	85%	85%	83.9%	85%	85%	85%
Percent of driver-related OUC service requests addressed timely	98%	90%	95.2%	90%	90%	90%
Average cost per license/ID issued <sup>9</sup>	Not Available	Not Available	Not Available	Not Available	\$39.39	TBD

## Technology Services

**Objective 1:** Make it easier, faster, and friendlier to do business with DMV.

**Objective 2:** Ensure the integrity, security and safety of DMV's licensing, identification cards and registration.

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### KEY PERFORMANCE INDICATORS

#### Technology Services

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent usage of online driver/vehicle services use <sup>10</sup>	59%	50%	67.6%	50%	50%	50%
Percent of registrations renewed online	68%	65%	70.3%	66%	67%	68%
Percent of licenses renewed online <sup>11</sup>	34%	20%	7.2%	0%	0%	0%
Percent of ID cards renewed online <sup>12</sup>	9%	8%	2.7%	0%	0%	0%

## Agency Management

**Objective 1:** Make it easier, faster, and friendlier to do business with DMV.

**Objective 2:** Ensure a skilled and diverse workforce for quality customer service.

**Objective 3:** Ensure the integrity, security and safety of DMV’s licensing, identification cards and registration.

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## KEY PERFORMANCE INDICATORS

### Agency Management

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of customers rating overall DMV service as satisfactory or better	87%	85%	85.4%	85%	85%	85%
Percent of organ donor designations through DMV	40%	38%	35.6%	40%	40%	40%
Percent of correspondence addressed within citywide standard of 15 days	96%	95%	97.6%	95%	95%	95%

### Performance Plan Endnotes:

<sup>1</sup>Wait time based on Q-matic tickets issued at information desk.

<sup>2</sup>The corresponding KPI is based on customer survey ratings of satisfied or very satisfied.

<sup>3</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>4</sup>The corresponding KPI is based on customer survey ratings of satisfied or very satisfied.

<sup>5</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>6</sup>Ibid.

<sup>7</sup>Wait time based on Q-matic ticket issued at information desk.

<sup>8</sup>The corresponding KPI is based on customer survey and survey monkey online ratings of satisfied or very satisfied.

<sup>9</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.

<sup>10</sup>Calculated using ID, license and registration renewal data.

<sup>11</sup>Reductions in FY 2015 - FY 2017 projections are due to the implementation of federal requirements, which will reduce the usage of online services as well as the interval attributed due to District identification card and license expiration extension from five to eight years.

<sup>12</sup>Ibid.



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# District Department of the Environment

[www.ddoe.dc.gov](http://www.ddoe.dc.gov)

Telephone: 202-535-2600

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$77,535,575	\$105,841,496	\$107,883,150	1.9
FTEs	257.1	351.5	370.1	5.3

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The mission of the District Department of the Environment (DDOE) is to improve the quality of life for the residents and natural inhabitants of the nation's capital by protecting and restoring the environment, conserving our natural resources, mitigating pollution, and educating the public on ways to secure a sustainable future.

## Summary of Services

DDOE is the lead agency for creating, promulgating, and enforcing District of Columbia environmental standards, in addition to implementing, through grant requirements and other mechanisms, federal environmental laws and regulations. The department also provides certification, review and technical analysis services to both the District government and District residents through inspections, training programs, and permitting processes, in addition to providing energy-related policy, planning, and direct services. Finally, the department develops and implements innovative solutions and programs designed to improve environmental quality and sustainability in the District.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KG0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KG0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b><u>General Fund</u></b>						
Local Funds	13,727	17,384	18,537	17,074	-1,463	-7.9
Special Purpose Revenue Funds	34,257	36,927	60,777	60,807	30	0.0
<b>Total for General Fund</b>	<b>47,983</b>	<b>54,311</b>	<b>79,314</b>	<b>77,881</b>	<b>-1,433</b>	<b>-1.8</b>
<b><u>Federal Resources</u></b>						
Federal Payments	171	59	0	1,750	1,750	N/A
Federal Grant Funds	21,161	20,149	24,382	26,579	2,197	9.0
<b>Total for Federal Resources</b>	<b>21,332</b>	<b>20,208</b>	<b>24,382</b>	<b>28,329</b>	<b>3,947</b>	<b>16.2</b>
<b><u>Private Funds</u></b>						
Private Grant Funds	140	544	995	0	-995	-100.0
<b>Total for Private Funds</b>	<b>140</b>	<b>544</b>	<b>995</b>	<b>0</b>	<b>-995</b>	<b>-100.0</b>
<b><u>Intra-District Funds</u></b>						
Intra-District Funds	746	2,473	1,150	1,673	523	45.5
<b>Total for Intra-District Funds</b>	<b>746</b>	<b>2,473</b>	<b>1,150</b>	<b>1,673</b>	<b>523</b>	<b>45.5</b>
<b>Gross Funds</b>	<b>70,201</b>	<b>77,536</b>	<b>105,841</b>	<b>107,883</b>	<b>2,042</b>	<b>1.9</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Full-Time Equivalents, by Revenue Type**

Table KG0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table KG0-2**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b><u>General Fund</u></b>						
Local Funds	79.6	95.7	106.2	111.2	5.1	4.8
Special Purpose Revenue Funds	82.1	84.3	130.6	134.8	4.2	3.2
<b>Total for General Fund</b>	<b>161.7</b>	<b>180.0</b>	<b>236.8</b>	<b>246.0</b>	<b>9.2</b>	<b>3.9</b>
<b><u>Federal Resources</u></b>						
Federal Grant Funds	82.2	69.3	107.8	110.8	3.0	2.7
<b>Total for Federal Resources</b>	<b>82.2</b>	<b>69.3</b>	<b>107.8</b>	<b>110.8</b>	<b>3.0</b>	<b>2.7</b>
<b><u>Intra-District Funds</u></b>						
Intra-District Funds	5.4	7.8	6.9	13.3	6.4	92.1
<b>Total for Intra-District Funds</b>	<b>5.4</b>	<b>7.8</b>	<b>6.9</b>	<b>13.3</b>	<b>6.4</b>	<b>92.1</b>
<b>Total Proposed FTEs</b>	<b>249.3</b>	<b>257.1</b>	<b>351.5</b>	<b>370.1</b>	<b>18.6</b>	<b>5.3</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KG0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KG0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	7,426	7,943	12,767	20,878	8,112	63.5
12 - Regular Pay - Other	10,272	10,819	13,731	8,002	-5,729	-41.7
13 - Additional Gross Pay	117	145	15	15	0	0.0
14 - Fringe Benefits - Current Personnel	3,714	4,255	6,624	6,931	308	4.6
15 - Overtime Pay	2	3	50	50	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>21,531</b>	<b>23,164</b>	<b>33,186</b>	<b>35,877</b>	<b>2,691</b>	<b>8.1</b>
20 - Supplies and Materials	395	393	485	479	-6	-1.3
30 - Energy, Communication and Building Rentals	0	0	24	26	2	8.5
31 - Telephone, Telegraph, Telegram, Etc.	31	11	76	119	44	57.4
34 - Security Services	0	0	0	7	7	N/A
40 - Other Services and Charges	4,459	4,773	7,178	6,466	-712	-9.9
41 - Contractual Services - Other	20,406	22,337	27,657	27,455	-202	-0.7
50 - Subsidies and Transfers	22,984	26,310	36,696	36,970	275	0.7
70 - Equipment and Equipment Rental	395	547	540	484	-56	-10.3
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>48,670</b>	<b>54,372</b>	<b>72,655</b>	<b>72,006</b>	<b>-649</b>	<b>-0.9</b>
<b>Gross Funds</b>	<b>70,201</b>	<b>77,536</b>	<b>105,841</b>	<b>107,883</b>	<b>2,042</b>	<b>1.9</b>

\*Percent change is based on whole dollars.

## Division Description

The District Department of the Environment operates through the following 9 divisions:

**Natural Resources** – oversees fisheries and wildlife, water quality, watershed protection, and stormwater management in the District. The division provides certification, review, and technical analysis services to businesses, federal and District government agencies, and District residents through licensing, inspections, monitoring, permitting, and technical assistance. It also provides natural resources education and outreach and demonstrates new technologies to protect natural resources.

This division contains the following 4 activities:

- **Fisheries and Wildlife** – supports and implements programs for urban fish and wildlife conservation, protection, recreation, and sustainability;
- **Water Quality** – restores and protects the surface and ground waters of the District by setting and enforcing water quality standards and by monitoring, assessing, protecting, and restoring water quality and aquatic resources;
- **Watershed Protection** – conserves the soil and water resources of the District and protects its watersheds from nonpoint source pollution; and
- **Storm Water Administration** – reduces storm water runoff pollution through the implementation of activities that go beyond the activities required in the District’s National Pollution Discharge Elimination System (NPDES) permit, and administers the Municipal Separate Storm Sewer System (MS4) NPDES permit issued to the District by the United States Environmental Protection Agency.

**Environmental Services** – works to reduce contaminants in District land, air, water, and homes by certifying facilities and professional service providers, reviewing plans, issuing permits, conducting inspections, developing regulations, and recommending new policy directions.

This division contains the following 3 activities:

- **Toxic Substances** – protects human health and the environment from the potential hazards associated with toxic substances (pesticides), hazardous waste, underground storage of petroleum products, and the redevelopment of environmentally contaminated properties;
- **Air Quality** – protects human health and the environment from the effects of air pollution in the District, and ensures the implementation of, and compliance with, the District’s air quality program; and
- **Lead and Healthy Housing** – protects the health of District residents by monitoring lead safety throughout the District’s housing stock and by raising awareness of other residential environmental and safety hazards.

**Policy and Sustainability** – develops innovative policy and programming solutions to address environmental challenges and increase sustainability in the District. The division promotes widespread adoption of sustainable practices, including green building, green infrastructure, green jobs, sustainability planning, and climate change greenhouse gas emission reductions. The division also develops policy for new and emerging environmental issues and coordinates with other offices and agencies on outreach and education for sustainability programs.

**Community Relations** – manages public affairs and community education programs for DDOE. The division coordinates educational outreach activities including promotional events, educational workshops, and seminars, to engage the regulated community, businesses, and residents of the District on DDOE programs and services. The division also develops printed materials and the green.dc.gov website.



**Energy** – supports residential, commercial, governmental, institutional, and transportation energy users by providing financial assistance and discounts for low-income customers, informing consumers on how to become energy efficient, and providing incentives for renewable energy generation systems.

This division contains the following 4 activities:

- **Energy Efficiency and Conservation** – provides conservation and energy efficiency services to residential, commercial, institutional, and governmental sectors;
- **Energy Affordability** – assists low-income residents with their energy and utility bills, including emergency and non-emergency financial assistance, utility discounts, and bill forgiveness;
- **Energy Assistance Benefit Payments** – identifies funding available for Low-Income Home Energy Assistance Program (LIHEAP) payments; and
- **Utilities Management** – coordinates, monitors, and evaluates energy efficiency and renewable energy programs authorized by the Clean and Affordable Energy Act of 2008, and analyzes the effectiveness of utility companies’ energy-related initiatives and matters before the District of Columbia’s regulatory and legislative bodies.

**Enforcement and Environmental Justice** – develops and implements effective practices in order to support DDOE enforcement efforts. The division works directly with DDOE’s environmental enforcement programs by providing training, developing standard procedures, maintaining records, and managing the civil infractions program. The division ensures that DDOE programs develop and implement fair and effective compliance and enforcement policies and practices and maintain a highly trained inspection and enforcement staff to fulfill the agency’s environmental mandates. It also ensures that citizens are not disproportionately burdened by negative environmental decisions and that all groups have meaningful involvement in critical decision-making processes.

**Green Economy** – drives growth of the green economy by encouraging green businesses, green buildings, and green jobs, and pursues the research and creation of market-based incentives that jointly promote environmental sustainability and economic development.

This division contains the following 2 activities:

- **Green Economy** – promotes environmentally progressive economic growth and development through the implementation of green building policies, the creation and attraction of green job opportunities, and the research and development of market-based strategies to encourage environmental sustainability; and
- **Green Jobs and Youth Programs** – provides environmental education, community outreach, hands-on field experience, and green job skill development to District residents between the ages of 14 and 21.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This division is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This division is standard for all agencies using performance-based budgeting.

### **Division Structure Change**

The District Department of the Environment has no division structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Division and Activity

Table KG0-4 contains the proposed FY 2016 budget by division and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KG0-4**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	158	583	592	8	2.7	6.0	6.0	0.0
(1015) Training and Employment Development	9	11	9	-2	0.0	0.0	0.0	0.0
(1020) Contracting and Procurement	135	405	434	29	2.8	4.0	4.0	0.0
(1030) Property Management	525	535	559	24	5.4	6.0	6.0	0.0
(1040) Information Technology	583	882	914	32	3.1	5.0	6.0	1.0
(1055) Risk Management	20	183	182	-1	0.6	1.0	1.0	0.0
(1060) Legal	997	1,531	1,633	102	0.0	0.0	10.6	10.6
(1070) Fleet Management	62	185	164	-21	0.0	0.0	0.0	0.0
(1085) Customer Service	56	108	113	5	0.8	1.0	1.0	0.0
(1090) Performance Management	633	1,501	1,786	286	5.6	9.0	10.0	1.0
<b>Subtotal (1000) Agency Management</b>	<b>3,181</b>	<b>5,925</b>	<b>6,387</b>	<b>462</b>	<b>21.1</b>	<b>32.0</b>	<b>44.6</b>	<b>12.6</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	277	823	898	75	4.1	6.0	6.0	0.0
(120F) Accounting Operations	137	375	435	61	2.7	4.0	4.0	0.0
(130F) ACFO	30	349	375	26	0.0	0.0	0.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>444</b>	<b>1,546</b>	<b>1,708</b>	<b>162</b>	<b>6.8</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>
<b>(2000) Natural Resources</b>								
(2030) Fisheries and Wildlife	2,404	3,031	3,061	30	15.8	21.4	21.4	0.0
(2070) Water Quality	3,835	6,257	6,912	655	26.5	36.0	36.4	0.4
(2080) Watershed Protection	7,245	9,818	11,564	1,746	37.8	51.4	51.5	0.0
(2090) Storm Water Administration	6,651	13,495	11,830	-1,666	14.8	23.6	24.6	1.0
<b>Subtotal (2000) Natural Resources</b>	<b>20,135</b>	<b>32,602</b>	<b>33,367</b>	<b>766</b>	<b>95.0</b>	<b>132.5</b>	<b>134.0</b>	<b>1.5</b>
<b>(3000) Environmental Services</b>								
(3050) Toxic Substances	4,966	6,373	6,321	-52	30.1	42.0	42.0	0.0
(3080) Air Quality	3,367	4,092	4,080	-12	24.9	30.0	30.0	0.0
(3090) Lead and Health Housing	2,150	2,410	2,500	90	21.6	24.2	24.0	-0.2
<b>Subtotal (3000) Environmental Services</b>	<b>10,483</b>	<b>12,875</b>	<b>12,901</b>	<b>26</b>	<b>76.6</b>	<b>96.2</b>	<b>96.0</b>	<b>-0.2</b>
<b>(4000) Policy and Sustainability</b>								
(4010) Policy and Sustainability	858	1,779	2,507	728	5.3	10.8	13.5	2.7
<b>Subtotal (4000) Policy and Sustainability</b>	<b>858</b>	<b>1,779</b>	<b>2,507</b>	<b>728</b>	<b>5.3</b>	<b>10.8</b>	<b>13.5</b>	<b>2.7</b>

(Continued on next page)

**Table KG0-4 (Continued)**

(dollars in thousands)

Division/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(5000) Community Relations</b>								
(5010) Community Relations	747	994	845	-149	5.0	6.0	6.0	0.0
<b>Subtotal (5000) Community Relations</b>	<b>747</b>	<b>994</b>	<b>845</b>	<b>-149</b>	<b>5.0</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>
<b>(6000) Energy</b>								
(6010) Energy Efficiency and Conservation	3,166	2,392	2,428	36	5.8	9.2	9.0	-0.2
(6020) Energy Affordability	2,294	3,052	2,653	-399	17.8	24.8	25.0	0.1
(6030) Energy Assistance Benefit Payments	14,680	13,492	12,385	-1,107	0.0	0.0	0.0	0.0
(6040) Utilities Management	20,858	30,427	31,681	1,254	15.3	22.0	21.0	-1.0
<b>Subtotal (6000) Energy</b>	<b>40,998</b>	<b>49,363</b>	<b>49,145</b>	<b>-217</b>	<b>39.0</b>	<b>56.0</b>	<b>55.0</b>	<b>-1.0</b>
<b>(7000) Enforcement and Environmental Justice</b>								
(7010) Enforcement and Environmental Justice	282	576	612	35	5.0	6.0	6.0	0.0
<b>Subtotal (7000) Enforcement and Environmental Justice</b>	<b>282</b>	<b>576</b>	<b>612</b>	<b>35</b>	<b>5.0</b>	<b>6.0</b>	<b>6.0</b>	<b>0.0</b>
<b>(8000) Green Economy</b>								
(8010) Green Economy	95	80	80	1	1.6	1.0	1.0	0.0
(8020) Green Jobs and Youth Programs	312	102	331	228	1.9	1.0	4.0	3.0
<b>Subtotal (8000) Green Economy</b>	<b>407</b>	<b>182</b>	<b>411</b>	<b>229</b>	<b>3.5</b>	<b>2.0</b>	<b>5.0</b>	<b>3.0</b>
<b>Total Proposed Operating Budget</b>	<b>77,536</b>	<b>105,841</b>	<b>107,883</b>	<b>2,042</b>	<b>257.1</b>	<b>351.5</b>	<b>370.1</b>	<b>18.6</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The District Department of the Environment's (DDOE) proposed FY 2016 gross budget is \$107,883,150, which represents a 1.9 percent increase over its FY 2015 approved gross budget of \$105,841,496. The budget is comprised of \$17,074,101 in Local funds, \$1,750,000 in Federal Payments, \$26,578,594 in Federal Grant funds, \$60,807,370 in Special Purpose Revenue funds, and \$1,673,085 in Intra-District funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple divisions, and it estimates how much it would cost an agency to continue its current divisions and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DDOE's FY 2016 CSFL budget is \$18,558,805, which represents a \$21,841, or 0.1 percent, increase over the FY 2015 approved Local funds budget of \$18,536,964.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for DDOE included adjustment entries that are not described in detail on table 5. These adjustments include a reduction of \$150,000 to account for the removal of one-time funding appropriated in FY 2015 for the Air Quality Amendment Act and the Electronic Waste Recycling program. Additionally, adjustments were made for a net increase of \$239,351 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015, and an increase of \$2,786 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

DDOE's CSFL funding for Other Adjustments reflects an adjustment for a decrease of \$70,296 to account for proper funding of Compensation and Classification Reforms within the Workforce Investments fund for Compensation Groups 1 and 2.

### **Agency Budget Submission**

**Increase:** DDOE proposes an increase of \$692,347 in Local funds to support projected increases in the budget for personal services. This adjustment supports an additional 5.1 FTEs and enables the agency to adjust for essential programmatic needs. It also covers projected salary steps and Fringe Benefits costs. Further adjustments in Local funds include increases of \$8,748 to cover additional telecommunication-related agency managed Fixed Costs, \$6,631 in Subsidies and Transfers to support agency's initiatives of environment stewardship and energy conservation, and \$672 in Equipment and Equipment Rental to procure equipment necessary to achieve agency's objectives.

In Federal Grant funds, the budget proposal reflects a net increase of \$2,196,625 and 3.0 FTEs to align budget with projected grant awards in FY 2016. This adjustment is comprised of net increases of \$660,225 in personal services (PS) and \$1,536,400 in nonpersonal services (NPS). The adjustments in PS reflect the reallocation of funding from nonpersonal services to support the transfer of the 3.0 FTEs from the Office of the Attorney General (OAG) to the DDOE federal grant payroll. The PS adjustments also cover projected costs for salary step and Fringe Benefits. The NPS adjustments include a decrease of \$144,884 and increases of \$920,247 in Subsidies and Transfers to partially cover additional grant funding for the Low Income Home Energy Assistance grant, which is anticipated to be higher than FY 2015 approved budget, \$704,350 in Contractual Services – Other to allow DDOE to fund a new Carter Baron Green Infrastructure grant, and \$56,686 to procure equipment.

In Special Purpose Revenue (SPR) funds, the budget proposal reflects a net increase of \$30,045 to align the budget with FY 2016 SPR revenue projections. This adjustment is the net effect of the reallocation of

funding from NPS to PS. Consequently, the PS budget reflects a net increase of \$664,474 to support 4.2 FTEs transferred from OAG and projected salary steps and related personnel costs. A net decrease of \$634,428 is proposed in the NPS budget, which includes increases of \$207,511 in Subsidies and Transfers that will allow DDOE to provide additional grants within the Storm Water Permit program, \$31,955 to fund the agency managed Fixed Costs for telecommunications, and \$27,264 to procure miscellaneous office supplies for the agency's operational needs, offset by reductions of \$124,876 in the Storm Water Permit program, \$167,483 in professional services shifted to personal services to support the newly transferred attorneys from OAG, and \$608,799 in Contractual Services – Other, which is related to the projected costs associated with the Storm Water Permit fund.

In Intra-District funds, the budget is proposed to increase by \$522,848 based on projections for Memorandum of Understanding (MOU) agreements with various District agencies in FY 2016. The budget supports MOU agreements with the Department of Consumer and Regulatory Affairs pertaining to the Green Building Act fund, the Child and Family Services Agency for Lead Inspection Services, the Department of Motor Vehicles for Air Quality inspections, and the Department of the Employment Services for the Youth Green Zone Employment program.

**Decrease:** In Local funds, DDOE proposes reductions of \$49,933 to reflect the actual costs of the Lead Program contract and \$658,465 in the nonpersonal services budget to offset projected personal services costs associated with newly transferred attorneys from the Office of the Attorney General. DDOE proposes a decrease of \$995,000 in Private Grant funds for FY 2016 as a result of the expiration of a National Fish and Wildlife Foundation grant.

**Technical Adjustment:** The FY 2016 Federal Payments request for District Department of the Environment increased by \$1,750,000 to align the budget with the President's Budget Request. This funding will allow DDOE to expand the Energy Smart D.C. Solar Initiative and develop the District of Columbia climate change adaptation plan to identify climate risks, vulnerabilities, and mitigation options.

### **Mayor's Proposed Budget**

**Reduce:** The District Department of the Environment's Local funds budget proposal reflects a reduction in Local funds of \$1,484,704 in the Low Income Home Energy Assistance Program (LIHEAP). In FY 2016, these funds will be replaced with dollars from the Sustainable Energy Trust Fund.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table KG0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table KG0-5**  
(dollars in thousands)

DESCRIPTION	DIVISION	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>18,537</b>	<b>106.2</b>
Removal of One-Time Funding	Multiple Programs	-150	0.0
Other CSFL Adjustments	Multiple Programs	172	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>18,559</b>	<b>106.2</b>
Increase: To adjust personal services	Multiple Programs	692	5.1
Increase: To align Fixed Costs with proposed estimates	Multiple Programs	9	0.0
Increase: To support program initiative(s)	Multiple Programs	7	0.0
Increase: To align funding with nonpersonal services costs	Multiple Programs	1	0.0
Decrease: To adjust the Contractual Services budget	Multiple Programs	-50	0.0
Decrease: To streamline operation efficiency	Multiple Programs	-658	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>18,559</b>	<b>111.2</b>
Reduce: Shift to Special Purpose Revenue funds	Energy	-1,485	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>17,074</b>	<b>111.2</b>
<b>FEDERAL PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>0</b>	<b>0.0</b>
Technical Adjustment: To align with the President's FY 2016 Budget Request	Multiple Programs	1,750	0.0
<b>FEDERAL PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>1,750</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>1,750</b>	<b>0.0</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>24,382</b>	<b>107.8</b>
Increase: To align budget with projected grant awards	Multiple Programs	2,197	3.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>26,579</b>	<b>110.8</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>26,579</b>	<b>110.8</b>
<b>PRIVATE GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>995</b>	<b>0.0</b>
Decrease: To align budget with projected grant awards	Multiple Programs	-995	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>0</b>	<b>0.0</b>
No Change		0	0.0
<b>PRIVATE GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>0</b>	<b>0.0</b>

(Continued on next page)

**Table KG0-5 (continued)**

(dollars in thousands)

<b>DESCRIPTION</b>	<b>DIVISION</b>	<b>BUDGET</b>	<b>FTE</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>60,777</b>	<b>130.6</b>
Increase: To align budget with projected revenues	Multiple Programs	30	4.2
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>60,807</b>	<b>134.8</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>60,807</b>	<b>134.8</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>1,150</b>	<b>6.9</b>
Increase: To align resources with operational goals	Multiple Programs	523	6.4
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>1,673</b>	<b>13.3</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>1,673</b>	<b>13.3</b>
<b>Gross for KG0 - District Department of the Environment</b>		<b>107,883</b>	<b>370.1</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

### Office of the Director

**Objective 1:** Oversee the implementation of agency-wide priorities.

**Objective 2:** Increase transparency of grant programs.

**Objective 3:** Establish and continuously maintain regulations that protect and improve the environment for District residents.

**Objective 4:** Promote green business and job development.

## KEY PERFORMANCE INDICATORS

### Office of the Director

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of scheduled monitoring reports as defined in agency monitoring plan completed for each grant award <sup>1</sup>	90%	100%	99%	100%	100%	100%

### Office of Policy and Sustainability

**Objective 1:** Increase the understanding and adoption of innovative sustainability practices, including climate change adaptation, within DDOE, the District Government, and the community.

**Objective 2:** Implement strategic components of the Sustainable DC Plan and initiate discussions for those actions not yet ready for implementation.

## KEY PERFORMANCE INDICATORS

### Office of Policy and Sustainability

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of actions in the Sustainable DC Plan completed or under way	46%	60%	83.2%	65%	70%	75%
Number of businesses and institutions participating in sustainability pledges or challenges <sup>2</sup>	213	200	203	300	400	450
Number of LEED certified projects in the District (cumulative)	424	450	513	550	600	600



## Office of Enforcement and Environmental Justice

**Objective 1:** Increase enforcement quality and activities in order to bring polluters into compliance with the District's environmental laws.

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### KEY PERFORMANCE INDICATORS

#### Office of Enforcement and Environmental Justice (OEEJ)

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Amount collected from fines and penalties	\$186,449	\$183,750	\$203,189	\$187,250	\$190,000	\$195,000
Compliance rate of enforcement actions processed <sup>3</sup>	80%	85%	73%	90%	90%	90%
Number of Notices of Infraction processed by OEEJ	160	173	219	177	180	185

## Office of Community Relations

**Objective 1:** Educate District residents, businesses, institutions, and government through targeted public education campaigns to encourage environmentally friendly and energy-efficient practices.

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### KEY PERFORMANCE INDICATORS

#### Office of Community Relations

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of school visits, community-related meetings/events that promote DDOE program and activities <sup>4</sup>	Not Available	Not Available	Not Available	89	90	93
Number of online-related posts (including press releases, Tweets, Facebook posts)	Not Available	Not Available	Not Available	437	539	642

## Energy Administration

**Objective 1:** Reduce the energy burden on the District's most vulnerable and low-income populations.

**Objective 2:** Reduce overall energy consumption and increase the use of renewable energy generated in the District.<sup>6</sup>

**Objective 3:** Enhance reliability, resiliency and environmental performance of energy systems in the District.<sup>7</sup>

## KEY PERFORMANCE INDICATORS

### Energy Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of low-income units weatherized <sup>8</sup>	504	220	348	220	220	220
Increase in generation capacity resulting from solar installations <sup>9</sup>	828.5	1,500	0 <sup>10</sup>	2,300	2,900	1,000
Number of applications reviewed within 45 days after receipt <sup>11</sup>	Not Available	Not Available	Not Available	100	100	100
Number of buildings reporting ENERGY STAR benchmarking data to DDOE	968	1,350	1,453	1,600	1,800	1,900
Compliance rate of Energy Benchmarking	77%	70%	71%	85%	90%	95%
Rate of expenditure of DC Sustainable Energy Utility (DCSEU) contract	96.7%	100%	100%	100%	100%	100%
Percent of renewable energy usage <sup>12</sup>	11.4%	12.5%	12.7%	13.5%	14.5%	13.5%
Number of solar installations incentivized for renewable energy	194	354	0 <sup>13</sup>	100	100	100
Average cost per household to provide energy assistance <sup>14</sup>	Not Available	Not Available	Not Available	Not Available	\$620 <sup>15</sup>	TBD
Average cost per household to weatherize units <sup>16</sup>	Not Available	Not Available	Not Available	Not Available	\$4,661 <sup>17</sup>	TBD

### Environmental Services Administration

**Objective 1:** Transform DC residential housing into homes that do not contain environmental health hazards (Age-Friendly DC Goal: Domain # 3).

**Objective 2:** Improve the design, implementation, and enforcement of emission control programs to reduce air pollution.

**Objective 3:** Return District lands to productive use by effectively managing brownfields and underground storage tanks.

## KEY PERFORMANCE INDICATORS

### Environmental Services Administration

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of elevated blood level cases and other properties with identified hazards that are issued an Order to Eliminate Lead-Based Paint Hazards within 21 days of the property's risk assessment <sup>18</sup>	95%	95%	94.9%	100%	100%	100%
Percent of children under 36 months of age that are being screened for lead poisoning	49.6%	60%	47%	65%	68%	70%
Number of cases of children under age six identified with a blood lead level at or above the Center for Disease Control's current reference value of 5 micrograms of lead per deciliter of blood, as a percentage of District children tested	235	380	265	370	360	320
Number of days in which ozone levels exceed the National Ambient Air Quality Standards <sup>19</sup>	0	12	1	12	12	12
Number of full-compliance evaluations of Title V facilities <sup>20</sup>	18	19	19	18	20	18
Compliance rate of gas stations and drycleaners inspected	95%	70%	100%	70%	70%	70%
Number of asbestos inspections conducted	82	45	131	50	60	70
Number of Brownfield assessments completed	17	8	6	8	8	8
Number of Significant Operational Compliance inspections of underground storage tanks completed	72	80	64	90	90	90
Compliance rate of underground storage tanks inspected <sup>21</sup>	77%	82%	75%	83%	82%	85%
Number of pesticide marketplace inspections	62	50	65	50	50	50
Number of hazardous waste inspections completed <sup>22</sup>	12	75	46	50	75	75
Percent of facilities taking corrective action to mitigate air quality violations as required by an NOV or compliance order (report numerator and denominator) <sup>23</sup>	Not Available	100%	100%	100%	100%	100%

## Natural Resources Administration

**Objective 1:** Promote the installation of green infrastructure practices, such as rain barrels, rain gardens, and green roofs on public and private lands to help control stormwater runoff and make the District more environmentally sustainable.

**Objective 2:** Improve regulatory compliance through increased enforcement and more efficient customer service.

**Objective 3:** Monitor the health of the District's natural resources.

**Objective 4:** Encourage the public to protect natural resources through education and outreach.

## KEY PERFORMANCE INDICATORS

### Office of Natural Resources

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of participants in RiverSmart Homes Program	1,010	1,100	1,117	1,300	1,300	1,300
Number of rain gardens installed on private land	Not Available	Not Available	Not Available	Not Available	125	125
Square footage of green roofs installed <sup>24</sup>	199,440	150,000	148,818	175,000	200,000	225,000
Number of trees planted through third-party agreements [Sustainable DC Plan NA2.1]	Not Available	6,875	10,516	2,875	2,050	2,050
Number of installations for RiverSmart Communities Program <sup>25</sup>	5	5	5	6	8	10
Percent of stormwater management plans approved that contain a low impact design component (Sustainable DC Action NA1.4)	50%	70%	68.7%	70%	80%	100%
Number of acres of District landscape retrofitted with green infrastructure to be able to naturally filter or capture rainwater for reuse	82	15	244.6 <sup>26</sup>	20	50	100
Number of inspections for stormwater management erosion/sediment control	8,447	8,250	7,200	9,000	9,500	9,750
Percent of drainage complaints responded to within 2 business days	100%	100%	89%	100%	100%	100%
Percent of illicit discharge complaints investigated within 5 business days	97%	100%	100%	100%	100%	100%
Number of Bag Law inspections	587	550	567	550	550	550
Number of coal tar inspections	152	65	184	60	60	60
Number of Municipal Separate Storm Sewer System (MS4) outfalls inspected	178	182	165	188	190	190
Number of MS4 facilities inspected <sup>27</sup>	163	162	153	168	170	170
Number of Aquatic Resources Education Center visitors	4,625	3,000	3,886	3,500	4,000	5,000
Average cost incurred per RiverSmart Home Audit <sup>28</sup>	Not Available	Not Available	Not Available	Not Available	\$422	TBD

## Performance Plan Endnotes:

- <sup>1</sup>Pursuant to 11.4 of the Grants Manual and Source Book all District agencies must complete monitoring reports. All District agencies should be in compliance with this standard. The standard is 100 percent.
- <sup>2</sup>This measure tracks the number of businesses and organizations in the Green Business Challenge, as well as the number of institutions that sign a sustainability pledge (e.g. the existing College and University Sustainability Pledge).
- <sup>3</sup>Compliance rate of enforcement actions processed measures the success of enforcement actions. A high compliance rate means that once DDOE takes enforcement actions it is successful at getting the desired environmental results from the regulated industry. (This does not include payments of fines and penalties). A low compliance rate means that even after DDOE takes enforcement actions, it is still not getting the desired results. This measure can be influenced by several factors such as inability to locate the responsible party, being ignored by the responsible party, or the agency's failure to follow-up on enforcement actions.
- <sup>4</sup>This is a new measure. FY 2014 data was 79 (not part of Performance Plan). Projections are based on historical data.
- <sup>5</sup>This is a new measure. FY 2014 data was 358 (not part of Performance Plan). Projections are based on historical data.
- <sup>6</sup>The wording for this objective was changed to better align the FY 2015 objectives of DDOE's Energy Administration with the Energy goals specified in the Sustainable DC Plan.
- <sup>7</sup>The wording for this objective was changed to better align the FY 2015 objectives of DDOE's Energy Administration with the Energy goals specified in the Sustainable DC Plan.
- <sup>8</sup>A technical adjustment is made to reflect that DDOE's Weatherization Assistance Program (WAP) provides technical and financial assistance to help low-income residents only. WAP helps reduce home energy bills through energy audits and installs to help residents maintain energy-efficient, safe and healthy homes. This Performance Measure only captures low-income homes.
- <sup>9</sup>This measure is calculated in kilowatts or kilowatt equivalent of nameplate peak generating capacity.
- <sup>10</sup>The program to incentive the increase in generation capacity was funded through the Renewable Energy Incentive Program (REIP), which was funded from FY 2010 - FY 2013 only. The agency intends to start another program to incentives further installations using another source of funding in FY 2015.
- <sup>11</sup>In FY 2015 and beyond, this measure will be for any incentive programs implemented directly by DDOE.
- <sup>12</sup>This metric is derived and tabulated by the Environmental Protection Agency's Green Power Partnership. It includes the 100 percent Green Power purchase by District Government. As EPA does not forecast the percentage of renewable energy usage under its Green Power Purchasing Program, the out-year targets are suggested incremental projections. This is renewable energy purchased by anyone in the District voluntarily, i.e. over and above the Renewable Portfolio Standard.
- <sup>13</sup>The Renewable Energy Incentive Program (REIP) provided residents with direct financial incentives to adopt solar photovoltaic and thermal systems on their properties. This Program was funded by the Sustainable Energy Trust Fund (SETF) from FY 2010 through FY 2013 only.
- <sup>14</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.
- <sup>15</sup>Projection subject to change due to the 2014 Farm Bill; "Heat and Eat" program will require SNAP recipients to receive Low Income Home Energy Assistance Program (LIHEAP) assistance and raise minimum energy benefit payment to \$20.01.
- <sup>16</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.
- <sup>17</sup>Projection does not include FTE costs for salaries and fringe benefits.
- <sup>18</sup>A technical adjustment was made that changed the Performance Measure from "Number of elevated" cases to "Percent of elevated" cases. Capturing the percentage of blood level cases and other properties instead of the actual number allows for a more holistic understanding of the number of cases which received the Order and which did not - rather than simply a report of those that received the Orders.
- <sup>19</sup>This industry standard measure can only be compared to jurisdictions with the same ozone nonattainment status as the District. In addition, ozone formation is highly dependent on heat and sunlight.
- <sup>20</sup>A Title V facility is one that is considered a major source of one or more air pollutants and so receives a facility-wide permit.
- <sup>21</sup>This measure is the combined compliance rate of inspected facilities, including release detection compliance and release prevention compliance. Active underground storage tank facilities are targeted for inspection at least once every 3 years.
- <sup>22</sup>The FY 2013 and FY 2015 targets are lower because inspections will be done of larger facilities, which require more time.
- <sup>23</sup>New Mexico Energy Department (NMED) Key Performance Measures First Quarter FY 2012 July 1 – September 30, 2011- Benchmarks: FY 2011 Actual 100 percent.
- <sup>24</sup>By 2017, the District will install 1.5 million square feet of green roofs as part of an effort to make the Anacostia River, Rock Creek, Potomac River and all District waterways fishable and swimmable.
- <sup>25</sup>Historical data is not currently available. This is a new measure in FY 2014.
- <sup>26</sup>The total figure for FY 2014 is much higher than originally anticipated as a result of the new stormwater regulations implemented in FY 2014, which required the installation of infiltration practices. This FY 2014 figure also indicates a stronger interest in green roof retrofits than initially anticipated.
- <sup>27</sup>The facilities most commonly inspected include automotive repair, maintenance, and body shops, dry cleaners, and car washes. Inspections are also routinely conducted at solid waste transfer stations, scrap metal yards, equipment maintenance yards, golf courses, marinas, bus depots, District government-owned facilities, and federal facilities such as the Bureau of Printing and Engraving and National Park Service vehicle shops. The list of facilities inspected is updated annually in accordance with the District's MS4 permit.
- <sup>28</sup>This cost driver is a new KPI in FY 2016. Future projections will be provided after the initial baseline year.
- <sup>29</sup>Projection includes FTE, transportation, and outreach costs.

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# D.C. Taxicab Commission

[www.dctaxi.dc.gov](http://www.dctaxi.dc.gov)

Telephone: 202-645-6018

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$4,407,308	\$8,469,714	\$8,598,976	1.5
FTEs	30.6	61.0	64.0	4.9

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The mission of the District of Columbia Taxicab Commission (DCTC) is to ensure that the citizens and visitors of the District of Columbia have a safe, comfortable, efficient and affordable taxicab experience in well-equipped vehicles operated by highly qualified individuals who have knowledge of the District's streets, boundaries and tourist destinations. It also assures that the public vehicle-for-hire industry is economically sound.

## Summary of Services

The DCTC provides services to approximately 8,500 taxicab drivers including 1,400 independent owner operators and 116 taxicab companies who together operate 6,500 taxis and 103 independent limousine drivers and 27 limousine companies. DCTC participates in and/or conducts adjudication services and imposes fines for complaints that are filed against taxicab drivers. DCTC Public Vehicle enforcement (Hack) Inspectors conducts daily taxicab inspections. DCTC also resolves more than 1,000 customer complaints annually and responds to more than 6,000 inquiries annually about lost items.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table TC0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table TC0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	1,390	150	1,000	1,100	100	10.0
Special Purpose Revenue Funds	974	4,066	7,270	7,299	29	0.4
<b>Total for General Fund</b>	<b>2,365</b>	<b>4,216</b>	<b>8,270</b>	<b>8,399</b>	<b>129</b>	<b>1.6</b>
<b>Intra-District Funds</b>						
Intra-District Funds	247	191	200	200	0	0.0
<b>Total for Intra-District Funds</b>	<b>247</b>	<b>191</b>	<b>200</b>	<b>200</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>2,612</b>	<b>4,407</b>	<b>8,470</b>	<b>8,599</b>	<b>129</b>	<b>1.5</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table TC0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table TC0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Local Funds	0.0	0.0	0.0	0.9	0.9	N/A
Special Purpose Revenue Funds	12.5	30.6	61.0	62.4	1.4	2.3
<b>Total for General Fund</b>	<b>12.5</b>	<b>30.6</b>	<b>61.0</b>	<b>63.3</b>	<b>2.3</b>	<b>3.8</b>
<b>Intra-District Funds</b>						
Intra-District Funds	1.0	0.0	0.0	0.7	0.7	N/A
<b>Total for Intra-District Funds</b>	<b>1.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.7</b>	<b>0.7</b>	<b>N/A</b>
<b>Total Proposed FTEs</b>	<b>13.5</b>	<b>30.6</b>	<b>61.0</b>	<b>64.0</b>	<b>3.0</b>	<b>4.9</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table TC0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table TC0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	572	1,717	3,582	3,869	288	8.0
12 - Regular Pay - Other	1,092	322	456	474	18	3.9
13 - Additional Gross Pay	24	56	24	24	0	0.0
14 - Fringe Benefits - Current Personnel	424	459	1,026	1,105	79	7.7
15 - Overtime Pay	6	69	15	40	25	166.7
<b>Subtotal Personal Services (PS)</b>	<b>2,119</b>	<b>2,624</b>	<b>5,103</b>	<b>5,512</b>	<b>409</b>	<b>8.0</b>
20 - Supplies and Materials	2	62	60	90	30	50.3
31 - Telephone, Telegraph, Telegram, Etc.	7	5	0	0	0	N/A
40 - Other Services and Charges	162	1,066	1,257	1,638	382	30.4
41 - Contractual Services - Other	305	136	776	208	-567	-73.2
50 - Subsidies and Transfers	0	311	840	840	0	0.0
70 - Equipment and Equipment Rental	16	204	435	311	-124	-28.6
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>493</b>	<b>1,784</b>	<b>3,367</b>	<b>3,087</b>	<b>-280</b>	<b>-8.3</b>
<b>Gross Funds</b>	<b>2,612</b>	<b>4,407</b>	<b>8,470</b>	<b>8,599</b>	<b>129</b>	<b>1.5</b>

\*Percent change is based on whole dollars.

### Program Description

The District of Columbia Taxicab Commission operates through the following 7 programs:

**Driver and Consumer Service Program** – provides responses to resolve issues of passengers and drivers.

This program contains the following 4 activities:

- **Complaints** – documents and investigates the validity of information and prepares regulatory language to process and seek resolution to determine effective remedies;
- **Community Outreach** – communicates with groups, organizations, and individuals to inform them of agency procedures and regulations and solicits feedback to enhance public awareness;
- **Driver Assistance** – accepts applications for driver licensing and vehicle registration and issues new licenses and renewals; and
- **Customer Service** – assists with the retrieval of lost items and takes action to fulfill service inquiries.

**Research Program** – provides industry data, knowledge, and awareness of trends for the purpose of planning, assessment, and rulemaking.

**Enforcement and Education Program** – provides enforcement, compliance, and oversight of public vehicle-for-hire companies; and conducts training courses for license applicants and refresher courses for existing license holders to ensure behavioral standards and adherence to District law and DCTC regulations.



This program contains the following 3 activities:

- **Field Enforcement** – performs field inspections and issues notice of infractions;
- **Company Audit** – reviews vehicle records of public vehicle-for-hire companies to ensure compliance with regulatory requirements of Title 31; and
- **Fleet Management** – supervises companies, associations, and individuals in order to maintain accurate records of in-service vehicles.

**Public Adjudication** – provides the hearing of appeals on findings of complaints and notice of infractions and administers orders of suspension or revocation of licenses to ensure consistent, safe and non-discriminatory transportation services.

**Legal Program** – provides compliance with legislative directives and technical structure, and offers analysis and opinions to ensure appropriate rulemaking and operational activities.

**Public Information** – provides updated facts pertaining to operations, rulemaking, and media through various communication platforms including press releases, testimony and speech preparation, and website management; monitors news to maintain awareness of the market; and coordinates the promotion of a positive public image.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

### **Program Structure Change**

The District of Columbia Taxicab Commission has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table TC0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table TC0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	128	228	267	39	1.1	2.2	3.2	1.0
(1015) Training and Education	10	9	10	1	0.0	0.0	0.0	0.0
(1020) Contracting and Procurement	69	134	116	-19	0.2	1.2	1.2	0.0
(1030) Property Management	22	24	26	2	0.1	0.2	0.2	0.0
(1040) Information Technology	0	131	0	-131	0.0	1.0	0.0	-1.0
(1050) Financial Management	74	39	42	3	0.2	0.2	0.2	0.0
(1060) Legal	0	0	363	363	0.0	0.0	3.0	3.0
(1070) Fleet Management	0	0	0	0	0.0	0.0	0.0	0.0
(1080) Communications	150	135	348	213	1.0	1.0	4.0	3.0
(1085) Customer Service	0	0	0	0	0.0	0.0	0.0	0.0
(1090) Performance Management	78	24	26	2	0.1	0.2	0.2	0.0
<b>Subtotal (1000) Agency Management</b>	<b>530</b>	<b>723</b>	<b>1,197</b>	<b>474</b>	<b>2.8</b>	<b>6.0</b>	<b>12.0</b>	<b>6.0</b>
<b>(2000) Driver and Consumer Serv. Program</b>								
(2010) Complaints	126	407	102	-305	0.9	5.0	1.0	-4.0
(2020) Community Outreach	1,181	1,951	2,684	733	0.5	1.5	4.5	3.0
(2030) Driver Assistance	469	890	710	-180	6.0	9.5	7.5	-2.0
(2040) Customer Service	298	249	281	32	1.2	2.2	2.2	0.0
<b>Subtotal (2000) Driver and Consumer Serv. Program</b>	<b>2,075</b>	<b>3,498</b>	<b>3,777</b>	<b>279</b>	<b>8.6</b>	<b>18.2</b>	<b>15.2</b>	<b>-3.0</b>
<b>(3000) Research Program</b>								
(3010) Research	508	344	377	34	2.0	2.1	2.1	0.0
<b>Subtotal (3000) Research Program</b>	<b>508</b>	<b>344</b>	<b>377</b>	<b>34</b>	<b>2.0</b>	<b>2.1</b>	<b>2.1</b>	<b>0.0</b>
<b>(4000) Enforcement and Education Program</b>								
(4010) Field Enforcement	884	3,448	2,587	-861	15.6	32.8	29.8	-3.0
(4020) Company Audit	73	115	118	3	0.4	0.4	0.4	0.0
(4030) Fleet Management	79	61	103	42	0.2	0.2	0.2	0.0
<b>Subtotal (4000) Enforcement and Education Program</b>	<b>1,036</b>	<b>3,624</b>	<b>2,808</b>	<b>-816</b>	<b>16.1</b>	<b>33.4</b>	<b>30.4</b>	<b>-3.0</b>

(Continued on next page)

**Table TC0-4 (Continued)**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(5000) Public Adjudication</b>								
(5010) Public Adjudication	0	0	270	270	0.0	0.0	3.0	3.0
<b>Subtotal (5000) Public Adjudication</b>	<b>0</b>	<b>0</b>	<b>270</b>	<b>270</b>	<b>0.0</b>	<b>0.0</b>	<b>3.0</b>	<b>3.0</b>
<b>(6000) Legal Program</b>								
(6010) Legal Program	141	145	26	-119	0.1	0.1	0.1	0.0
<b>Subtotal (6000) Legal Program</b>	<b>141</b>	<b>145</b>	<b>26</b>	<b>-119</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.0</b>
<b>(7000) Public Information</b>								
(7010) Public Information	117	136	143	7	1.0	1.1	1.1	0.0
<b>Subtotal (7000) Public Information</b>	<b>117</b>	<b>136</b>	<b>143</b>	<b>7</b>	<b>1.0</b>	<b>1.1</b>	<b>1.1</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>4,407</b>	<b>8,470</b>	<b>8,599</b>	<b>129</b>	<b>30.6</b>	<b>61.0</b>	<b>64.0</b>	<b>3.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The District of Columbia Taxicab Commission's (DCTC) proposed FY 2016 gross budget is \$8,598,976, which represents a 1.5 percent increase over its FY 2015 approved gross budget of \$8,469,714. The budget is comprised of \$1,099,976 in Local funds, \$7,299,000 in Special Purpose Revenue funds, and \$200,000 in Intra-District funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

DCTC's FY 2016 CSFL budget is \$1,195,626, which represents a \$195,626, or 19.6 percent, increase over the FY 2015 approved Local funds budget of \$1,000,000.

### CSFL Assumptions

DCTC's CSFL funding for Other Adjustments reflects an adjustment for an increase of \$195,626 and 1.0 FTE to account for the transfer of attorneys from the Office of the Attorney General.

### Agency Budget Submission

**Increase:** The budget proposed in Local funds reflects the agency's goal to continue to support the District's taxicab industry. In view of this, DCTC increased its Local funds nonpersonal services budget by \$15,204 to fund the procurement of adequate office supplies, printing materials, and associated service requirements.

Proposed adjustments in Special Purpose Revenue funds include increases of \$459,465 to adequately fund the Driver Training program and also account for increased funding provided by the United States Department Justice for fingerprinting and background checks for drivers, \$219,337 and 1.4 FTEs that aligns the budget with projected personal services costs for salary step and Fringe Benefits increases, \$25,000 that further allows DCTC to fund the expected overtime requirements for inspectors, and \$20,000 to procure adequate office supplies.

The proposed Intra-District funds budget provides an increase of \$81,021 to cover personal services costs for projected salary steps and Fringe Benefits. Other adjustments in the Intra-District funds budget include increases of \$10,000 to purchase uniforms and supplies for inspectors, \$2,206 to fund service contracts, and \$642 to procure equipment for attorneys.

**Decrease:** A decrease of \$15,204 in Local funds for personal services allows DCTC to redirect funds to nonpersonal services for procurement of sufficient office supplies, printing materials, and service requirements.

In Special Purpose Revenue funds, a decrease of \$694,515 aligns the budget with the revenue projections for FY 2016. The budget proposed for Intra-District funds reflects a decrease of \$93,869 based on an adjustment in a Memorandum of Understanding with the Department of Motor Vehicles for out-of-state licensing. This adjustment is a reduction in the nonpersonal services budget that allows DCTC to properly fund the salaries and the associated Fringe Benefits for attorneys that were transferred from the Office of the Attorney General.

### **Mayor's Proposed Budget**

**Reduce:** In order to properly align the budget for the agency attorneys with actual costs, the personal services budget was reduced by \$95,650.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table TC0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table TC0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>1,000</b>	<b>0.0</b>
Other CSFL Adjustments	Multiple Programs	196	1.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>1,196</b>	<b>1.0</b>
Increase: To align resources with operational goals	Multiple Programs	15	0.0
Decrease: To adjust personal services	Multiple Programs	-15	-0.1
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>1,196</b>	<b>0.9</b>
Reduce: To adjust personal services	Agency Management	-96	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>1,100</b>	<b>0.9</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>7,270</b>	<b>61.0</b>
Increase: To align resources with operational goals	Multiple Programs	459	0.0
Increase: To adjust personal services	Multiple Programs	219	1.4
Increase: Projected overtime cost	Enforcement and Education Program	25	0.0
Increase: Office Supplies	Agency Management	20	0.0
Decrease: To align budget with projected revenues	Enforcement and Education Program	-695	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>7,299</b>	<b>62.4</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>7,299</b>	<b>62.4</b>
<b>INTRA-DISTRICT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>200</b>	<b>0.0</b>
Increase: To adjust personal services	Agency Management	81	0.7
Increase: To align resources with operational goals	Multiple Programs	10	0.0
Increase: To fund service contracts	Legal Program	2	0.0
Increase: To align budget with projected revenues	Legal Program	1	0.0
Decrease: To streamline operation efficiency	Multiple Programs	-94	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Agency Budget Submission</b>		<b>200</b>	<b>0.7</b>
No Change		0	0.0
<b>INTRA-DISTRICT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>200</b>	<b>0.7</b>
<b>Gross for TC0 - D.C. Taxicab Commission</b>		<b>8,599</b>	<b>64.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## Agency Performance Plan

The agency's performance plan has the following objectives for FY 2016:

### Driver and Consumer Service Program

**Objective 1:** Provide timely response to passenger and driver complaints.

**Objective 2:** Efficiently process driver licensing and vehicle registration applications.

**Objective 3:** Ensure quality customer service for all passengers.

## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of new complaints acknowledged within two days of receipt	92%	95%	100%	95%	98%	98%
Percent of lost items returned to customers	81%	80%	80%	85%	85%	85%
Percent of vehicles in compliance with age limitation	Not Available	100%	100%	100%	100%	100%
Percent of driver records digitized	Not Available	80%	0%	80%	100%	100%
Percent of taxicabs credit card system/modern taximeters installed	Not Available	100%	83.5%	100%	100%	100%

### Enforcement and Education Program

**Objective 1:** Complete training institution audits.

**Objective 2:** Provide quality hack enforcement, compliance, and oversight of vehicles, and companies.

## KEY PERFORMANCE INDICATORS

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 Actual	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percent of taxicabs and limousines inspected that have valid licenses and insurance	91.2%	90%	90%	95%	98%	98%
Percent of new drivers certified	70%	80%	91%	80%	80%	80%
Percent of companies that have completed the training course	Not Available	20%	0%	70%	80%	80%
Percent of audits completed on companies	Not Available	40%	10%	80%	100%	100%

## Agency Management

**Objective 1:** Ensure passengers have a safe, comfortable, efficient and affordable taxicab experience in well-equipped vehicles operated by highly qualified individuals.

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### KEY PERFORMANCE INDICATORS

#### Agency Management

<b>Measure</b>	<b>FY 2013 Actual</b>	<b>FY 2014 Target</b>	<b>FY 2014 Actual</b>	<b>FY 2015 Projection</b>	<b>FY 2016 Projection</b>	<b>FY 2017 Projection</b>
Percent of Taxicabs with Smart Meter Systems installed	100%	100%	84%	100%	100%	100%
Percent of Taxicabs with universal dome lights installed	Not Available	100%	100%	100%	100%	100%
Percent of taxicabs that are painted with the District's uniform taxicab color standards	Not Available	40%	66%	95%	100%	100%

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# Washington Metropolitan Area Transit Commission

www.wmatc.gov

Telephone: 301-588-5260

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$125,706	\$126,569	\$126,569	0.0

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The mission of the Washington Metropolitan Area Transit Commission (WMATC) is to help assure that the public is provided passenger transportation services by fit and responsible, privately owned, for-hire licensed carriers to service the metropolitan region. This budget reflects only the District of Columbia government appropriation to the agency.

The WMATC assumed its jurisdiction in March 1961. The commission administers the delegated powers of the Washington Metropolitan Area Transit Regulation Compact, Pub. L. No. 86-794, § 1, 74 Stat. 1031 (1960), as amended by Pub. L. No. 87-767, 76 Stat. 764 (1962), Pub. L. No. 101-505, § 1, 104 Stat. 1300 (1990), and Pub. L. No. 111-160, 124 Stat. 1124 (2010). The latest amended compact is codified at District of Columbia Official Code Section 9-1103.01.

A three-member Board of Commissioners directs the WMATC. One commissioner is appointed from a District of Columbia agency with oversight of matters relating to the Commission by the Mayor of the District of Columbia; one commissioner is appointed from the Maryland Public Service Commission by the Governor of Maryland; and one commissioner is appointed from the Department of Motor Vehicles of the Commonwealth of Virginia by the Governor of Virginia. Daily operations are directed by the Executive Director and carried out by WMATC staff. The Washington Metropolitan Area Transit District (Metropolitan District) consists of the following jurisdictions:

- Arlington County, Virginia;
- City of Alexandria, Virginia;
- City of Falls Church, Virginia;
- District of Columbia;
- Fairfax County, Virginia;
- Montgomery County, Maryland;
- Prince George's County, Maryland; and
- Washington-Dulles International Airport in Loudoun County, Virginia.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KC0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KC0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	126	126	127	127	0	0.0
<b>Total for General Fund</b>	<b>126</b>	<b>126</b>	<b>127</b>	<b>127</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>126</b>	<b>126</b>	<b>127</b>	<b>127</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KC0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KC0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	126	126	127	127	0	0.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>126</b>	<b>126</b>	<b>127</b>	<b>127</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>126</b>	<b>126</b>	<b>127</b>	<b>127</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

## Program Description

The Washington Metropolitan Area Transit Commission's (WMATC) budget represents the District's subsidy payment to the multi-jurisdictional agency. The subsidy budget is shown in this program format for comparison purposes only. The WMATC operates through the following program:

**Washington Metro Transit Commission** – governs the operating authority, rates, and insurance of private-sector, for-hire passenger carriers in the metropolitan region. Specifically, the agency grants operating authority to carriers such as airport shuttles, charter group buses, tour buses, handicapped transport vehicles, businesses with private and government shuttle contracts, and carriers for conventions. As part of its regulatory program, WMATC also establishes interstate taxicab rates, which are used when taxicabs cross from one signatory jurisdiction to another. WMATC's staff is a source for determination of fares for taxicab trips between the District of Columbia and area airports or other points in Maryland or Virginia that are in the metropolitan region. Staff is also available to mediate taxicab overcharge complaints regarding interstate travel.

## Program Structure Change

The Washington Metropolitan Area Transit Commission has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table KC0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KC0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Washington Metro Transit Commission (CC)</b>								
(1100) Washington Metro Transit Commission (CC)	126	127	127	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) WMATC (CC)</b>	<b>126</b>	<b>127</b>	<b>127</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>126</b>	<b>127</b>	<b>127</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The Washington Metropolitan Area Transit Commission has no changes from the FY 2015 approved budget to the FY 2016 proposed budget.



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# Washington Metropolitan Area Transit Authority

www.wmata.com

Telephone: 202-637-7000

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$310,411,666	\$330,520,281	\$373,213,105	12.9

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The mission of the Washington Metropolitan Area Transit Authority (WMATA) is to provide the public with an efficient, affordable and safe means of travel, under the direction of the District's Department of Transportation (Progressive Transportation Services Administration), which provides funding, policy recommendations, and coordination of services to the agency.

WMATA was created February 20, 1967. It is an interstate compact agency and, by the terms of its enabling legislation, an agency and instrumentality of the District of Columbia, State of Maryland, and Commonwealth of Virginia. This compact agency was created by the aforementioned states and the District of Columbia to plan, finance, construct, and operate a comprehensive public transit system for the Washington metropolitan area. A Board of Directors, with representatives from each of the three jurisdictions and the federal government, governs WMATA. The District has two voting members and two non-voting members on WMATA's Board. The Progressive Transportation Services Administration of the District's Department of Transportation (DDOT) oversees the District's funding of WMATA and recommends policy direction, develops service initiatives, and monitors service quality. DDOT's role is inclusive of all transit modes including Metrobus, Metrorail, and MetroAccess Paratransit service.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KE0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KE0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	195,156	200,810	221,317	250,857	29,539	13.3
Dedicated Taxes	54,430	66,985	62,686	76,548	13,862	22.1
Special Purpose Revenue Funds	35,265	41,641	46,517	44,808	-1,709	-3.7
<b>Total for General Fund</b>	<b>284,851</b>	<b>309,436</b>	<b>330,520</b>	<b>372,213</b>	<b>41,693</b>	<b>12.6</b>
<b>Federal Resources</b>						
Federal Payments	0	0	0	1,000	1,000	N/A
<b>Total for Federal Resources</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,000</b>	<b>1,000</b>	<b>N/A</b>
<b>Intra-District Funds</b>						
Intra-District Funds	0	976	0	0	0	N/A
<b>Total for Intra-District Funds</b>	<b>0</b>	<b>976</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>284,851</b>	<b>310,412</b>	<b>330,520</b>	<b>373,213</b>	<b>42,693</b>	<b>12.9</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KE0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KE0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	284,851	310,412	330,520	373,213	42,693	12.9
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>284,851</b>	<b>310,412</b>	<b>330,520</b>	<b>373,213</b>	<b>42,693</b>	<b>12.9</b>
<b>Gross Funds</b>	<b>284,851</b>	<b>310,412</b>	<b>330,520</b>	<b>373,213</b>	<b>42,693</b>	<b>12.9</b>

\*Percent change is based on whole dollars.

## Program Description

The Washington Metropolitan Area Transit Authority (WMATA) operates through the following 4 programs:

**D.C.-Specific (Reimbursable to WMATA) Projects** – are not part of the regular jurisdictional share of the WMATA subsidy; they are programs or projects that the District pays WMATA to operate or construct. Examples of DC-Specific projects include the following: the D.C. Circulator bus system, the fare buy-down on bus-to-rail and rail-to-bus transfers, and the School Subsidy program.

This program contains the following 3 activities:

- **Circulator** – provides oversight and management for the D.C. Circulator bus system;
- **Reduced Fares** – operates a special fare buy-down on bus-to-rail and rail-to-bus transfers in Southeast Washington; and
- **School Subsidy** – operates services associated with the School Subsidy program.

**Debt Service** – covers the District's annual share of financing costs for bonds sold by WMATA for the construction of the original system and for ongoing transit infrastructure rehabilitation and replacement. This payment is consistent with the Ancillary Bond Repayment Participation Agreement entered between the District of Columbia, the State of Maryland, the Commonwealth of Virginia, and the United States Secretary of Transportation.

**MetroAccess** – provides curb-to-curb wheelchair lift-equipped van service for persons unable to use accessible conventional bus or rail services. In the District, the service has nearly 9,200 certified riders, who make approximately 54,000 trips per month. WMATA contracts with private carriers for the Paratransit service.

**WMATA Operations** – ensures that Metrorail and Metrobus operate efficiently and timely within the District of Columbia.

This program contains the following 2 activities:

- **Metrobus Operations** – operates approximately 350 bus routes on 135 lines throughout the metropolitan area, serving an average yearly ridership of 135 million. The District has the most concentrated level of Metrobus service in the region and the largest share of Metrobus ridership. Over half of Metrobus riders are District residents; and
- **Metrorail Operations** – operates approximately 38 miles of the Metrorail system and 40 stations in the District. It provides approximately 226.5 million trips per year. WMATA manages a total of 106 miles of track in the metropolitan area with 86 stations.

## Program Structure Change

The Washington Metropolitan Area Transit Authority has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table KE0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KE0-3**  
(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Washington Metropolitan Area Transit Authority</b>								
(1100) Washington Metropolitan Area Transit Authority	976	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Washington Metropolitan Area Transit Authority</b>	<b>976</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(DC00) D.C. - Specific Projects</b>								
(CARD) Transportation Tech. School	0	0	0	0	0.0	0.0	0.0	0.0
(CIRC) Circulator	19,109	24,223	29,393	5,170	0.0	0.0	0.0	0.0
(REDF) Reduced Fares	1,500	800	800	0	0.0	0.0	0.0	0.0
(SCHS) School Subsidy	6,080	12,874	18,572	5,698	0.0	0.0	0.0	0.0
<b>Subtotal (DC00) D.C. - Specific Projects</b>	<b>26,689</b>	<b>37,898</b>	<b>48,766</b>	<b>10,868</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(DS00) Debt Service</b>								
(DS01) Debt Service - Series	15,099	10,726	10,672	-54	0.0	0.0	0.0	0.0
<b>Subtotal (DS00) Debt Service</b>	<b>15,099</b>	<b>10,726</b>	<b>10,672</b>	<b>-54</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(MA00) MetroAccess</b>								
(PARA) Paratransit	24,263	26,243	29,322	3,079	0.0	0.0	0.0	0.0
<b>Subtotal (MA00) MetroAccess</b>	<b>24,263</b>	<b>26,243</b>	<b>29,322</b>	<b>3,079</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(OP00) WMATA Operations</b>								
(BUS1) Metrobus Operations	166,133	174,352	183,967	9,614	0.0	0.0	0.0	0.0
(RAIL) Metrorail Operations	77,252	81,302	100,486	19,184	0.0	0.0	0.0	0.0
<b>Subtotal (OP00) WMATA Operations</b>	<b>243,385</b>	<b>255,654</b>	<b>284,453</b>	<b>28,799</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>310,412</b>	<b>330,520</b>	<b>373,213</b>	<b>42,693</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Washington Metropolitan Area Transit Authority's (WMATA) proposed FY 2016 gross budget is \$373,213,105, which represents a 12.9 percent increase over its FY 2015 approved gross budget of \$330,520,281. The budget is comprised of \$250,856,578 in Local funds, \$76,548,167 in Dedicated Taxes funds, \$1,000,000 in Federal Payments, and \$44,808,360 in Special Purpose Revenue funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

WMATA's FY 2016 CSFL budget is \$219,867,113, which represents a \$1,450,000, or 0.7 percent, decrease from the FY 2015 approved Local funds budget of \$221,317,113.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for WMATA included an adjustment entry that is not described in detail on table 4. This adjustment is a reduction of \$1,450,000 to account for the removal of one-time funding appropriated in FY 2015 to maintain a \$1.00 Circulator fare for one year to enable the Department of Transportation to conduct an open, public process for considering the appropriateness of a fare increase.

### **Agency Budget Submission**

**Increase:** Washington Metropolitan Area Transit Authority's budget proposal includes an increase of \$303,327 in the WMATA Operations program, which aligns the budget with operational goals.

**Decrease:** In Local funds, WMATA proposes a decrease of \$303,327 to align the budget with scheduled debt service payments. The proposed budget in Dedicated Taxes includes a decrease of \$138,360 to align the budget with projected revenues. The proposed budget in Special Purpose Revenue funds includes a decrease of \$1,708,808 based on a projected decline in Circulator fare revenue that supports WMATA's DC - Specific projects.

**Technical Adjustment:** The FY 2016 Federal Payments request for Washington Metropolitan Area Transit Authority increased by \$1,000,000 to align the budget with the President's Budget Request. This funding will allow WMATA to expand the Mass Transit Innovation (Metro Momentum Plan).

### **Mayor's Proposed Budget**

**Enhance:** In order to adjust for projected increases in the District's share of the annual subsidies that supports WMATA's operations, the budget proposal in Local funds includes an increase of \$38,691,992. A provision for funding in FY 2016 to support the Kids Ride Free initiative accounts for an increase of \$7,000,000. Additional adjustments in Local funds includes an increase of \$1,600,000, which is comprised of \$1,100,000 to support the Circulator expansion and \$500,000 for Circulator program planning.

**Shift:** An adjustment in Dedicated Taxes increases the budget allocation for WMATA operations by \$14,000,527 to reflect reclassification of a corresponding amount from Local funds to Dedicated Taxes. Of this amount, \$4,116,360 comes from a revised revenue estimate, and \$9,884,167 is the projection for additional revenues based on a proposed increase in parking taxes.



**Reduce:** In order to leverage cost savings via operational efficiency, funding for the School Transit Subsidy is adjusted to match the current volume of ridership. This adjustment accounts for a decrease of \$1,302,000 in Local funds.

**Transfer-Out:** The budget in Local funds reflects an adjustment for a transfer of \$1,000,000 to the District of Columbia Taxicab Commission to support the Paratransit program related to Commercial Revitalization Support Grants.

**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table KE0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table KE0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>221,317</b>	<b>0.0</b>
Removal of One-Time Funding	Multiple Programs	-1,450	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>219,867</b>	<b>0.0</b>
Increase: To align resources with operational goals	Multiple Programs	303	0.0
Decrease: To align budget with scheduled debt service payments	Debt Service	-303	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>219,867</b>	<b>0.0</b>
Enhance: To support WMATA operations	WMATA Operations	38,692	0.0
Enhance: To support the Kids Ride Free initiatives	Multiple Programs	7,000	0.0
Enhance: To support and annualize costs of existing program	D.C. - Specific Projects	1,600	0.0
Shift: To Dedicated Taxes because of new parking tax revenue	WMATA Operations	-14,001	0.0
Reduce: To streamline operation efficiency	D.C. - Specific Projects	-1,302	0.0
Transfer-Out: Reduction of Commercial Revitalization Support Grants	Metro Access	-1,000	0.0
<b>LOCAL FUNDS: FY 2016 Mayor’s Proposed Budget</b>		<b>250,857</b>	<b>0.0</b>
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>62,686</b>	<b>0.0</b>
Decrease: To align budget with projected revenues	Multiple Programs	-138	0.0
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>62,548</b>	<b>0.0</b>
Shift: New parking tax revenue dedicated to WMATA	Multiple Programs	14,001	0.0
<b>DEDICATED TAXES: FY 2016 Mayor’s Proposed Budget</b>		<b>76,548</b>	<b>0.0</b>

(Continued on next page)

**Table KE0-4**  
(dollars in thousands)

<b>DESCRIPTION</b>	<b>PROGRAM</b>	<b>BUDGET</b>	<b>FTE</b>
<b>FEDERAL PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>0</b>	<b>0.0</b>
Technical Adjustment: To align with the President's FY 2016 Budget Request	WMATA Operations	1,000	0.0
<b>FEDERAL PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>1,000</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>1,000</b>	<b>0.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>46,517</b>	<b>0.0</b>
Decrease: To align budget with projected revenues	Multiple Programs	-1,709	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>44,808</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>44,808</b>	<b>0.0</b>
<b>Gross for KE0 - Washington Metropolitan Area Transit Authority</b>		<b>373,213</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



# G

## Financing and Other

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11. Convention Center Transfer-Dedicated Taxes (EZ0).....	G-61



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# How to Read the Agency Chapters

The agency chapters describe available resources for an agency, how the agency will spend them, and the achieved and anticipated outcomes as a result of these expenditures. For a detailed explanation of the fiscal tables and narrative sections, please see the “How to Read the Budget and Financial Plan” chapter in *Volume 1: Executive Summary*.

Each chapter contains the following, if applicable:

The first page of each agency chapter displays the agency name and budget code, website address, and telephone number. The page also shows a table that contains the agency’s gross funds, or total operating, budget. The table shows the Fiscal Year (FY) 2014 actual expenditures and Full-Time Equivalents (FTEs); the FY 2015 Approved budget and FTEs; the FY 2016 Proposed budget and FTEs; and the percent change from the previous year for the budget and FTEs. Lastly, this page typically contains the agency mission statement and a summary of its services.

Subsequent pages reflect agency fiscal and programmatic levels and changes. The information varies by agency but typically contains the following financial tables and narrative sections:

- ***Proposed Funding by Source table*** displays the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed dollars by fund type.
  - ***Proposed Full-Time Equivalents table*** shows the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed FTEs by fund type.
  - ***Proposed Expenditure by Comptroller Source Group (CSG) table*** identifies the gross fund changes by CSG, which is a type of budgetary classification that identifies category spending within personal services (personnel costs, such as salaries and fringe benefits) and nonpersonal services (operational costs, such as contracts, supplies, and subsidy payments).
  - ***Proposed Operating Budget and FTEs, by Division/Program and Activity table*** shows the gross fund changes by dollars and FTEs. The Division/Program descriptions section that precedes this table explains the purpose of the divisions/programs and activities funded in the FY 2016 Proposed budget.
  - ***FY 2015 Approved Budget to FY 2016 Proposed Budget reconciliation table*** shows the FY 2016 Proposed budget and FTE changes, by division or program, from the FY 2015 Approved budget. This table also includes a brief description of the change. A detailed narrative of the changes is found in the FY 2016 Proposed Budget Changes section that precedes this table.
  - ***Agency Performance Plan Objectives*** and the accompanying Agency Performance Measures table show the agency-level plan that contains the agency’s mission, summary of services, objectives, initiatives, and performance measures for a set period of time. For some agencies, the initiatives and performance measures are grouped by division/program.
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# Debt Service

Repayment of Loans and Interest (DS0)

Repayment of Interest on Short-Term Borrowings (ZA0)

Certificates of Participation (CP0)

Debt Service - Issuance Costs (ZB0)

Schools Modernization Fund (SM0)

Repayment of Revenue Bonds (DT0)

Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Repayment of Loans and Interest (DS)	\$520,507,517	\$600,694,280	\$616,723,470	2.7
Repayment of Interest on Short-Term Borrowings (ZA)	943,259	2,500,000	5,000,000	100.0
Certificates of Participation (CP)	22,622,919	22,670,075	0	-100.0
Debt Service - Issuance Costs (ZB)	983,440	6,000,000	6,000,000	0.0
Schools Modernization Fund (SM)	11,862,512	11,411,712	14,275,513	25.1
Repayment of Revenue Bonds (DT)	7,823,585	7,839,189	7,832,389	-0.1
<b>Total Operating Budget</b>	<b>\$564,743,233</b>	<b>\$651,115,256</b>	<b>\$649,831,372</b>	<b>-0.2</b>

The mission of Debt Service administration is to finance the District's capital and cash flow needs, minimize the costs associated with such financing, exercise fiscally responsible debt management practices, and make timely payments of all debt services.

## Summary of Services

Timely debt service payments are necessary to satisfy the District's commitments to its investors (bondholders) and maintain a good credit standing in the financial marketplace. Under the District of Columbia Home Rule Act, the District may issue debt to finance capital projects or seasonal cash needs, subject to certain limitations. Specifically, no long-term debt may be issued that would cause total debt service on all tax-supported debt to exceed 12 percent of total general fund expenditures in any year during the 6-year capital plan period. No short-term debt may be issued in an amount that would cause total outstanding short-term debt to exceed 20 percent of the projected revenue of the fiscal year in which the debt is issued. Short-term debt must be repaid by the end of the fiscal year in which it is issued. The District's total outstanding tax-supported long-term debt as of January 1, 2015 was \$9.19 billion. The District issued \$400 million of short-term debt in FY 2015, which will be repaid by September 30, 2015. Appropriations are budgeted from Local funds in amounts sufficient to meet the required payments for the various types of debt service.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table DS0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table DS0-1**  
(dollars in thousands)

### Repayment of Loans and Interest

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	455,081	497,173	570,776	593,347	22,571	4.0
Special Purpose Revenue Funds	4,547	4,728	29,918	5,114	-24,804	-82.9
<b>Total for General Fund</b>	<b>459,628</b>	<b>501,901</b>	<b>600,694</b>	<b>598,461</b>	<b>-2,233</b>	<b>-0.4</b>
<b>Federal Resources</b>						
Federal Grant Funds	20,181	18,606	0	18,262	18,262	N/A
<b>Total for Federal Resources</b>	<b>20,181</b>	<b>18,606</b>	<b>0</b>	<b>18,262</b>	<b>18,262</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>479,810</b>	<b>520,508</b>	<b>600,694</b>	<b>616,723</b>	<b>16,029</b>	<b>2.7</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table ZA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZA0-1**  
(dollars in thousands)

### Repayment of Interest on Short-Term Borrowings

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	1,581	943	2,500	5,000	2,500	100.0
<b>Total for General Fund</b>	<b>1,581</b>	<b>943</b>	<b>2,500</b>	<b>5,000</b>	<b>2,500</b>	<b>100.0</b>
<b>Gross Funds</b>	<b>1,581</b>	<b>943</b>	<b>2,500</b>	<b>5,000</b>	<b>2,500</b>	<b>100.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table CP0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table CP0-1**  
(dollars in thousands)

### **Certificates of Participation**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b><u>General Fund</u></b>						
Local Funds	31,825	22,623	22,670	0	-22,670	-100.0
<b>Total for General Fund</b>	<b>31,825</b>	<b>22,623</b>	<b>22,670</b>	<b>0</b>	<b>-22,670</b>	<b>-100.0</b>
<b>Gross Funds</b>	<b>31,825</b>	<b>22,623</b>	<b>22,670</b>	<b>0</b>	<b>-22,670</b>	<b>-100.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table ZB0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZB0-1**  
(dollars in thousands)

### **Debt Service - Issuance Costs**

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b><u>General Fund</u></b>						
Local Funds	4,420	983	6,000	6,000	0	0.0
<b>Total for General Fund</b>	<b>4,420</b>	<b>983</b>	<b>6,000</b>	<b>6,000</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>4,420</b>	<b>983</b>	<b>6,000</b>	<b>6,000</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table SM0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table SM0-1**  
(dollars in thousands)

### Schools Modernization Fund

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Local Funds	8,626	11,863	11,412	14,276	2,864	25.1
<b>Total for General Fund</b>	<b>8,626</b>	<b>11,863</b>	<b>11,412</b>	<b>14,276</b>	<b>2,864</b>	<b>25.1</b>
<b>Gross Funds</b>	<b>8,626</b>	<b>11,863</b>	<b>11,412</b>	<b>14,276</b>	<b>2,864</b>	<b>25.1</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table DT0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table DT0-1**  
(dollars in thousands)

### Repayment of Revenue Bonds

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Dedicated Taxes	6,665	7,824	7,839	7,832	-7	-0.1
<b>Total for General Fund</b>	<b>6,665</b>	<b>7,824</b>	<b>7,839</b>	<b>7,832</b>	<b>-7</b>	<b>-0.1</b>
<b>Gross Funds</b>	<b>6,665</b>	<b>7,824</b>	<b>7,839</b>	<b>7,832</b>	<b>-7</b>	<b>-0.1</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table DS0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table DS0-2**  
(dollars in thousands)

### **Repayment of Loans and Interest**

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
80 - Debt Service	479,810	520,508	600,694	616,723	16,029	2.7
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>479,810</b>	<b>520,508</b>	<b>600,694</b>	<b>616,723</b>	<b>16,029</b>	<b>2.7</b>
<b>Gross Funds</b>	<b>479,810</b>	<b>520,508</b>	<b>600,694</b>	<b>616,723</b>	<b>16,029</b>	<b>2.7</b>

\*Percent change is based on whole dollars.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table ZA0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZA0-2**  
(dollars in thousands)

### **Repayment of Interest on Short-Term Borrowings**

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
80 - Debt Service	1,581	943	2,500	5,000	2,500	100.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>1,581</b>	<b>943</b>	<b>2,500</b>	<b>5,000</b>	<b>2,500</b>	<b>100.0</b>
<b>Gross Funds</b>	<b>1,581</b>	<b>943</b>	<b>2,500</b>	<b>5,000</b>	<b>2,500</b>	<b>100.0</b>

\*Percent change is based on whole dollars.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table CP0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table CP0-2**  
(dollars in thousands)

### **Certificates of Participation**

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
80 - Debt Service	31,825	22,623	22,670	0	-22,670	-100.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>31,825</b>	<b>22,623</b>	<b>22,670</b>	<b>0</b>	<b>-22,670</b>	<b>-100.0</b>
<b>Gross Funds</b>	<b>31,825</b>	<b>22,623</b>	<b>22,670</b>	<b>0</b>	<b>-22,670</b>	<b>-100.0</b>

\*Percent change is based on whole dollars.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table ZB0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZB0-2**  
(dollars in thousands)

### **Debt Service - Issuance Costs**

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
80 - Debt Service	4,420	983	6,000	6,000	0	0.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>4,420</b>	<b>983</b>	<b>6,000</b>	<b>6,000</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>4,420</b>	<b>983</b>	<b>6,000</b>	<b>6,000</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table SM0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table SM0-2**  
(dollars in thousands)

### **Schools Modernization Fund**

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
80 - Debt Service	8,626	11,863	11,412	14,276	2,864	25.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>8,626</b>	<b>11,863</b>	<b>11,412</b>	<b>14,276</b>	<b>2,864</b>	<b>25.1</b>
<b>Gross Funds</b>	<b>8,626</b>	<b>11,863</b>	<b>11,412</b>	<b>14,276</b>	<b>2,864</b>	<b>25.1</b>

\*Percent change is based on whole dollars.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table DT0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table DT0-2**  
(dollars in thousands)

### **Repayment of Revenue Bonds**

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
80 - Debt Service	6,665	7,824	7,839	7,832	-7	-0.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>6,665</b>	<b>7,824</b>	<b>7,839</b>	<b>7,832</b>	<b>-7</b>	<b>-0.1</b>
<b>Gross Funds</b>	<b>6,665</b>	<b>7,824</b>	<b>7,839</b>	<b>7,832</b>	<b>-7</b>	<b>-0.1</b>

\*Percent change is based on whole dollars.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table DS0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table DS0-3 Repayment of Loans and Interest**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Repayment of Loans and Interest</b>								
(1100) Repayment of Loans and Interest	501,901	600,694	616,723	16,029	0.0	0.0	0.0	0.0
(2100) Bad Debt Expense	18,606	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Repayment of Loans and Interest</b>	<b>520,508</b>	<b>600,694</b>	<b>616,723</b>	<b>16,029</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>520,508</b>	<b>600,694</b>	<b>616,723</b>	<b>16,029</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table ZA0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table ZA0-3 Repayment of Interest on Short-Term Borrowings**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Repayment of Loans on Short-Term Borrowings</b>								
(1100) Repayment of Loans on Short-Term Borrowings	943	2,500	5,000	2,500	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Repayment of Loans on Short-Term Borrowings</b>	<b>943</b>	<b>2,500</b>	<b>5,000</b>	<b>2,500</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>943</b>	<b>2,500</b>	<b>5,000</b>	<b>2,500</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table CP0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table CP0-3 Certificates of Participation**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Certificates of Participation</b>								
(1100) Certificates of Participation	22,623	22,670	0	-22,670	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Certificates of Participation</b>	<b>22,623</b>	<b>22,670</b>	<b>0</b>	<b>-22,670</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>22,623</b>	<b>22,670</b>	<b>0</b>	<b>-22,670</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table ZB0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table ZB0-3 Debt Service - Issuance Costs**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Debt Service - Issuance Costs</b>								
(1100) Debt Service - Issuance Costs	983	6,000	6,000	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Debt Service - Issuance Costs</b>	<b>983</b>	<b>6,000</b>	<b>6,000</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>983</b>	<b>6,000</b>	<b>6,000</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.



## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table SM0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table SM0-3 Schools Modernization Fund**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Schools Modernization Fund</b>								
(1100) Schools Modernization Fund	11,863	11,412	14,276	2,864	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Schools Modernization Fund</b>	<b>11,863</b>	<b>11,412</b>	<b>14,276</b>	<b>2,864</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>11,863</b>	<b>11,412</b>	<b>14,276</b>	<b>2,864</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table DT0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table DT0-3 Repayment of Revenue Bonds**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Repayment of Revenue Bonds</b>								
(1100) Repayment of Revenue Bonds	7,824	7,839	7,832	-7	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Repayment of Revenue Bonds</b>	<b>7,824</b>	<b>7,839</b>	<b>7,832</b>	<b>-7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>7,824</b>	<b>7,839</b>	<b>7,832</b>	<b>-7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The Repayment of Loans and Interest's proposed FY 2016 gross budget is \$616,723,470, which represents a 2.7 percent increase over its FY 2015 approved gross budget of \$600,694,280. The budget is comprised of \$593,347,293 in Local funds, \$18,262,177 in Federal Grant funds, and \$5,114,000 in Special Purpose Revenue funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The Repayment of Loans and Interest's FY 2016 CSFL budget is \$663,091,935, which represents a \$92,315,655, or 16.2 percent, increase over the FY 2015 approved Local funds budget of \$570,776,279.

### **CSFL Assumptions**

The Repayment of Loans and Interest's CSFL funding for Debt Service adjustments reflects an adjustment for an increase of \$92,315,655 to account for revised debt service projections. The overall increase of Local funds is due to annual bond issuance to fund the District's Capital Improvements Plan (CIP). Based on the current CIP, the Local funds in the Repayment of Loans and Interest will increase in each of the next 6 years. The projected increase ranges from \$20 million to over \$150 million annually. In addition, long-term tax-exempt interest rates are projected to rise over the next fiscal year. Lastly, the Certificates of Participation (COPs) were refunded with I.T. bonds, thus shifting debt service from COP to the Repayment of Loans and Interest, further increasing the budget.

### **Agency Budget Submission**

**Increase:** The projected FY 2016 budget proposal for Federal Grants funds reflects an increase of \$18,262,177. In FY 2015, the District is expected to be reimbursed \$18,440,310 in interest from the Internal Revenue Service (IRS). This amount was not included in the FY 2015 approved budget but was added during the year. The actual change in FY 2016 from the prior year for Federal Grant funds for the Repayment of Loans and Interest is a reduction of \$178,133. The Federal government (IRS) reimburses the District for a portion of the interest paid on certain G.O. and I.T. bonds issued under the American Recovery and Reinvestment Act of 2009. The agency expects this reimbursement amount to be reduced slightly each year as principal on these series of bonds matures. At times, the IRS may reduce planned reimbursement as a result of federal budget reductions (such as sequestration).

**Decrease:** The projected FY 2016 budget proposal for Special Purpose Revenue funds reflects a decrease of \$24,804,000 in debt service payments. The decrease is based on a lease agreement with Clear Channel, which included one-time lump sum component in FY 2015, and the established lease payment schedule.

**Technical Adjustment:** The projected FY 2016 budget proposal for Local funds reflects a decrease of \$69,744,642 in debt service payments. The decrease is to align the budget with updated projections for debt service costs.

## Mayor's Proposed Budget

**No Change:** The Repayment of Loans and Interest's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

### FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table DS0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table DS0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>570,776</b>	<b>0.0</b>
Other CSFL Adjustments	Repayment of Loans and Interest	92,316	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>663,092</b>	<b>0.0</b>
Technical Adjustment: Decrease due to lower than expected debt service payment	Repayment of Loans and Interest	-69,745	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>593,347</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>593,347</b>	<b>0.0</b>
<b>FEDERAL GRANT FUNDS: FY 2015 Approved Budget and FTE</b>		<b>0</b>	<b>0.0</b>
Increase: To align budget with projected grant awards	Repayment of Loans and Interest	18,262	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Agency Budget Submission</b>		<b>18,262</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL GRANT FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>18,262</b>	<b>0.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>29,918</b>	<b>0.0</b>
Decrease: Decrease due to change in contract amount	Repayment of Loans and Interest	-24,804	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>5,114</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>5,114</b>	<b>0.0</b>
<b>Gross for DS0 - Repayment of Loans and Interest</b>		<b>616,723</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## FY 2016 Proposed Budget Changes

The Repayment of Interest on Short-Term Borrowing's proposed FY 2016 gross budget is \$5,000,000, which represents a 100 percent increase over its FY 2015 approved gross budget of \$2,500,000. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The Repayment of Interest on Short-Term Borrowing's FY 2016 CSFL budget is \$5,000,000, which represents a \$2,500,000, or 100 percent, increase over the FY 2015 approved Local funds budget of \$2,500,000.

### CSFL Assumptions

The Repayment of Interest on Short-Term Borrowing's CSFL funding for Debt Service adjustments reflects an adjustment for an increase of \$2,500,000 to account for revised debt service projections.

### Agency Budget Submission

**No Change:** The Repayment of Interest on Short-Term Borrowing's budget proposal reflects no change from the Current Services Funding Level to the agency budget submission.

### Mayor's Proposed Budget

**No Change:** The Repayment of Interest on Short-Term Borrowing's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table ZA0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table ZA0-4**

(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>2,500</b>	<b>0.0</b>
Other CSFL Adjustments	Short-Term Borrowings	2,500	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>5,000</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>5,000</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>5,000</b>	<b>0.0</b>
<b>Gross for ZA0 - Repayment of Interest on Short-Term Borrowing</b>		<b>5,000</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## FY 2016 Proposed Budget Changes

The Certificates of Participation's (COP) proposed FY 2016 gross budget is zero, which represents a 100 percent decrease from its FY 2015 approved gross budget of \$22,670,075. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The Certificates of Participation's FY 2016 CSFL budget is \$22,670,075, which represents no change from the FY 2015 approved Local funds budget of \$22,670,075.

### Agency Budget Submission

**Technical Adjustment:** The Certificates of Participation's budget proposal reflects a reduction of \$22,670,075 because COP was fully refunded with Income Tax Secured Revenue Bonds in FY 2014, thus shifting debt service costs from COP to the Repayment of Loans and Interest budget.

### Mayor's Proposed Budget

**No Change:** The Certificates of Participation's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table CP0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table CP0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>22,670</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding (CSFL) Level Budget</b>		<b>22,670</b>	<b>0.0</b>
Technical Adjustment: To align budget with projected debt service	Certificates of Participation	-22,670	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>0</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>0</b>	<b>0.0</b>
<b>Gross for CP0 - Certificates of Participation</b>		<b>0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## FY 2016 Proposed Budget Changes

The Debt Service - Issuance Costs' proposed FY 2016 gross budget is \$6,000,000, which represents no change from its FY 2015 approved gross budget of \$6,000,000. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The Debt Service - Issuance Costs' FY 2016 CSFL budget is \$6,000,000, which represents no change from the FY 2015 approved Local funds budget of \$6,000,000.

### Agency Budget Submission

**No Change:** The Debt Service - Issuance Costs' budget proposal reflects no change from the Current Services Funding Level to the agency budget submission.

### Mayor's Proposed Budget

**No Change:** The Debt Service - Issuance Costs' budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table ZB0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table ZB0-4**

(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>6,000</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>6,000</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>6,000</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>6,000</b>	<b>0.0</b>
<b>Gross for ZB0 - Debt Service - Issuance Costs</b>		<b>6,000</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## FY 2016 Proposed Budget Changes

The Schools Modernization Fund's proposed FY 2016 gross budget is \$14,275,513, which represents a 25.1 percent increase over its FY 2015 approved gross budget of \$11,411,712. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The Schools Modernization Fund's FY 2016 CSFL budget is \$14,275,512, which represents a \$2,863,800, or 25.1 percent, increase over the FY 2015 approved Local funds budget of \$11,411,712.

### CSFL Assumptions

The Schools Modernization Fund's CSFL funding for Debt Service adjustments reflects an adjustment for an increase of \$2,863,800 to account for revised debt service projections.

### Agency Budget Submission

**No Change:** The Schools Modernization Fund's budget proposal reflects no change from the Current Services Funding Level to the agency budget submission.

### Mayor's Proposed Budget

**No Change:** The Schools Modernization Fund's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table SM0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table SM0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>11,412</b>	<b>0.0</b>
Other CSFL Adjustments	Schools Modernization Fund	2,864	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>14,276</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>14,276</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>14,276</b>	<b>0.0</b>
<b>Gross for SM0 - Schools Modernization Fund</b>		<b>14,276</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

## FY 2016 Proposed Budget Changes

The Repayment of Revenue Bonds' proposed FY 2016 gross budget is \$7,832,389, which represents a less than 1 percent decrease from its FY 2015 approved gross budget of \$7,839,189. The budget is comprised entirely of Dedicated Taxes.

### Agency Budget Submission

**Decrease:** The FY 2016 budget proposal for Dedicated Tax funds reflects a decrease of \$6,800 to align the budget with debt service projections for Housing Production Trust Fund related borrowing. The repayment schedule for the outstanding Deed Tax Revenue Bonds will fluctuate between \$7.82 million and \$7.84 million over the next 10 years.

### Mayor's Proposed Budget

**No Change:** The Repayment of Revenue Bonds' budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table DT0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table DT0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>7,839</b>	<b>0.0</b>
Decrease: To align budget with scheduled debt service payments	Repayment of Revenue Bonds	-7	0.0
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>7,832</b>	<b>0.0</b>
No Change		0	0.0
<b>DEDICATED TAXES: FY 2016 Mayor's Proposed Budget</b>		<b>7,832</b>	<b>0.0</b>
<b>Gross for DT0 - Repayment of Revenue Bonds</b>		<b>7,832</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)





# Settlements and Judgments

Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$21,292,448	\$21,292,448	\$21,292,448	0.0

Settlements and Judgments provides fiscal resources to settle claims and lawsuits and pay judgments in most types of civil cases filed against the District of Columbia.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table ZH0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZH0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	15,590	21,292	21,292	21,292	0	0.0
<b>Total for General Fund</b>	<b>15,590</b>	<b>21,292</b>	<b>21,292</b>	<b>21,292</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>15,590</b>	<b>21,292</b>	<b>21,292</b>	<b>21,292</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table ZH0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZH0-2**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
40 - Other Services and Charges	15,590	21,292	21,292	21,292	0	0.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>15,590</b>	<b>21,292</b>	<b>21,292</b>	<b>21,292</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>15,590</b>	<b>21,292</b>	<b>21,292</b>	<b>21,292</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

### Program Description

Settlements and Judgments operates through the following program:

**Settlements and Judgments** – addresses litigation against the District government. The fund is managed and administered by the District’s Office of Risk Management. The authority to settle a case is limited to \$500,000. For amounts greater than \$500,000, the settlement decision rests with the Mayor.

### Program Structure Change

Settlement and Judgments has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table ZH0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table ZH0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Settlement and Judgments</b>								
(1100) Settlement and Judgments	21,292	21,292	21,292	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Settlement and Judgments</b>	<b>21,292</b>	<b>21,292</b>	<b>21,292</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>21,292</b>	<b>21,292</b>	<b>21,292</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

Settlement and Judgments has no changes from the FY 2015 approved budget to the FY 2016 proposed budget.



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# John A. Wilson Building Fund

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$3,926,407	\$4,469,127	\$4,744,649	6.2

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The mission of the John A. Wilson Building Fund is to provide an efficient, clean, and safe working environment for District employees in a modernized century-old historic building. Easily accessible to the public, the Wilson Building is an emblem of District pride showcased on the elegant Pennsylvania Avenue corridor within the Federal Triangle, just blocks from the White House.

Culminating a five-year renovation, expansion, and restoration, the Wilson Building reopened to acclaim in late 2001. Built in 1904 and later named after the long-term District Council member and Chairman, the building had suffered from neglect and had to be closed in 1996. Preservation-minded District officials emerged with a redevelopment plan and, starting in 1996, the Wilson Building underwent a renovation based on plans from architect Shalom Baranes. The result is a modern workplace for the District government that retains much of its historic flavor and texture.

Housed in the building are the Executive Office of the Mayor, the District Council, the Office of the Chief Financial Officer, and a number of other District agencies. The Wilson Building will serve the District for many years, while preserving a link to the past.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table ZZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZZ0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	3,690	3,926	4,469	4,745	276	6.2
<b>Total for General Fund</b>	<b>3,690</b>	<b>3,926</b>	<b>4,469</b>	<b>4,745</b>	<b>276</b>	<b>6.2</b>
<b>Gross Funds</b>	<b>3,690</b>	<b>3,926</b>	<b>4,469</b>	<b>4,745</b>	<b>276</b>	<b>6.2</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table ZZ0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table ZZ0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
30 - Energy, Comm. and Building Rentals	952	834	997	1,172	175	17.5
34 - Security Services	1,457	1,844	1,296	2,088	792	61.1
35 - Occupancy Fixed Costs	1,282	1,249	2,177	1,485	-692	-31.8
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>3,690</b>	<b>3,926</b>	<b>4,469</b>	<b>4,745</b>	<b>276</b>	<b>6.2</b>
<b>Gross Funds</b>	<b>3,690</b>	<b>3,926</b>	<b>4,469</b>	<b>4,745</b>	<b>276</b>	<b>6.2</b>

\*Percent change is based on whole dollars.

## Program Description

The John A. Wilson Building Fund operates through the following program:

**John A. Wilson Building** - provides office space for the Executive Office of the Mayor, the District Council, the Office of the Chief Financial Officer, and a number of other District agencies.

## Program Structure Change

The John A. Wilson Building Fund has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table ZZ0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table ZZ0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Wilson Building</b>								
(1100) Wilson Building	3,926	4,469	4,745	276	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Wilson Building</b>	<b>3,926</b>	<b>4,469</b>	<b>4,745</b>	<b>276</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>3,926</b>	<b>4,469</b>	<b>4,745</b>	<b>276</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The John A. Wilson Building Fund's proposed FY 2016 gross budget is \$4,744,649, which represents a 6.2 percent increase over its FY 2015 approved gross budget of \$4,469,127. The budget is comprised entirely of Local funds.

## Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The John A. Wilson Building Fund's FY 2016 CSFL budget is \$4,744,649, which represents a \$275,522, or 6.2 percent, increase over the FY 2015 approved Local funds budget of \$4,469,127.



### CSFL Assumptions

The John A. Wilson Building Fund's CSFL funding for Fixed Costs Inflation Factor, which is not described in detail on table 4, reflects an adjustment for an increase of \$275,522 to account for projected Fixed Costs estimates from the Department of General Services.

### Agency Budget Submission

**No Change:** The John A. Wilson Building Fund's budget proposal reflects no change from the CSFL to the agency budget submission.

### Mayor's Proposed Budget

**No Change:** The John A. Wilson Building Fund's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table ZZ0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

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**Table ZZ0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>4,469</b>	<b>0.0</b>
Other CSFL Adjustments	Wilson Building	276	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>4,745</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>4,745</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>4,745</b>	<b>0.0</b>
<b>Gross for ZZ0 - John A. Wilson Building Fund</b>		<b>4,745</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Workforce Investments

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<b>Description</b>	<b>FY 2015 Approved</b>	<b>FY 2016 Proposed</b>	<b>% Change from FY 2015</b>
Operating Budget	\$42,052,487	\$17,815,276	-57.6

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The mission of Workforce Investments is to pay compensation increases for nonunion and union District employees and retirement reform initiative costs.

The District budgets an amount for Workforce Investments for pay increases and reforms that are expected in the budgeted year but are not finalized. Employees covered and dollar amounts vary from year to year, depending on what compensation changes are final or still outstanding. In FY 2016, Workforce Investments includes the budget for a proposed cost-of-living adjustment. The Office of Budget and Planning develops estimates for the Workforce Investments budget in consultation with the D.C. Department of Human Resources, the Office of Labor Relations and Collective Bargaining, and the Office of the City Administrator.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table UP0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table UP0-1**  
(dollars in thousands)

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>				
Local Funds	42,052	17,815	-24,237	-57.6
<b>Total for General Fund</b>	<b>42,052</b>	<b>17,815</b>	<b>-24,237</b>	<b>-57.6</b>
<b>Gross Funds</b>	<b>42,052</b>	<b>17,815</b>	<b>-24,237</b>	<b>-57.6</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table UP0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table UP0-2**  
(dollars in thousands)

Comptroller Source Group	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	36,303	15,993	-20,310	-55.9
13 - Additional Gross Pay	1,021	0	-1,021	-100.0
14 - Fringe Benefits - Current Personnel	4,729	1,822	-2,907	-61.5
<b>Subtotal Personal Services (PS)</b>	<b>42,052</b>	<b>17,815</b>	<b>-24,237</b>	<b>-57.6</b>
<b>Gross Funds</b>	<b>42,052</b>	<b>17,815</b>	<b>-24,237</b>	<b>-57.6</b>

\*Percent change is based on whole dollars.

## Program Description

Workforce Investments operates through the following programs:

**Workforce Investments** – includes salary and benefits related to pay raises that are expected in the budgeted year but are not finalized.

## Program Structure Change

Workforce Investments has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table UP0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table UP0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Workforce Investments</b>						
(1100) Workforce Investments	42,052	17,815	-24,237	0.0	0.0	0.0
<b>Subtotal (1000) Workforce Investments</b>	<b>42,052</b>	<b>17,815</b>	<b>-24,237</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>42,052</b>	<b>17,815</b>	<b>-24,237</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

Workforce Investments' proposed FY 2016 gross budget is \$17,815,276, which represents a 57.6 percent decrease from its FY 2015 approved gross budget of \$42,052,487. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

Workforce Investments' FY 2016 CSFL budget is \$0, which represents a \$42,052,487, or 100 percent, decrease from the FY 2015 approved Local funds budget of \$42,052,487.

### CSFL Assumptions

Workforce Investments' CSFL funding for Other Adjustments reflects an adjustment for a decrease of \$42,052,487 to account for prior year funding of the FY 2015 COLA that is not carried forward because it is now included in each agency's budget.

### Agency Budget Submission

**Technical Adjustment:** The FY 2016 budget proposal for Local funds reflects an increase in the amount of \$10,716,942 to cover the Local funds portion of the estimated salary increases for the Compensation and Reclassification Reform project and potential increases for certain small unions not approved as of January 2015.

**Mayor’s Proposed Budget**

**Enhance:** The FY 2016 Mayor’s proposed budget for Local funds reflects an increase in the amount of \$7,098,334 to cover the Local funds portion of the estimated salary increases for the Compensation and Reclassification Reform project for non-union employees.

**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table UP0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table UP0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>42,052</b>	<b>0.0</b>
Other CSFL Adjustments	Workforce Investments	-42,052	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>0</b>	<b>0.0</b>
Technical Adjustment: To support costs associated with compensation and reclassification reform and pay increases for certain unions	Workforce Investments	10,717	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>10,717</b>	<b>0.0</b>
Enhance: To support costs associated with compensation and reclassification reform for non-union employees	Workforce Investments	7,098	0.0
<b>LOCAL FUNDS: FY 2016 Mayor’s Proposed Budget</b>		<b>17,815</b>	<b>0.0</b>
<b>Gross for UP0 - Workforce Investments</b>		<b>17,815</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Non-Departmental

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<b>Description</b>	<b>FY 2014 Actual</b>	<b>FY 2015 Approved</b>	<b>FY 2016 Proposed</b>	<b>% Change from FY 2015</b>
Operating Budget	\$0	\$18,653,391	\$21,036,104	12.8
FTEs	0.0	40.0	40.0	0.0

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The mission of Non-Departmental is to budget for anticipated costs that were not allocated to specific agencies during the development of the proposed budget to ensure that specific use requirements are met.

Use of a Non-Departmental account is a common practice to include specific costs in the budget, while providing the flexibility to project and allocate these costs. Use of Non-Departmental improves budget formulation by ensuring that certain use criteria are met by agencies before the funds are released to those agencies.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table DO0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table DO0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>				
Local Funds	3,000	2,504	-496	-16.5
Special Purpose Revenue Funds	15,653	18,532	2,879	18.4
<b>Total for General Fund</b>	<b>18,653</b>	<b>21,036</b>	<b>2,383</b>	<b>12.8</b>
<b>Gross Funds</b>	<b>18,653</b>	<b>21,036</b>	<b>2,383</b>	<b>12.8</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table DO0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type.

**Table DO0-2**

<b>Appropriated Fund</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change</b>
<b>General Fund</b>				
Local Funds	40.0	40.0	0.0	0.0
<b>Total for General Fund</b>	<b>40.0</b>	<b>40.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed FTEs</b>	<b>40.0</b>	<b>40.0</b>	<b>0.0</b>	<b>0.0</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table DO0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table DO0-3**  
(dollars in thousands)

	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>				
11 - Regular Pay - Continuing Full Time	1,660	2,245	585	35.2
14 - Fringe Benefits - Current Personnel	340	259	-81	-23.8
<b>Subtotal Personal Services (PS)</b>	<b>2,000</b>	<b>2,504</b>	<b>504</b>	<b>25.2</b>
50 - Subsidies and Transfers	16,653	18,532	1,879	11.3
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>16,653</b>	<b>18,532</b>	<b>1,879</b>	<b>11.3</b>
<b>Gross Funds</b>	<b>18,653</b>	<b>21,036</b>	<b>2,383</b>	<b>12.8</b>

\*Percent change is based on whole dollars.

### Program Description

Non-Departmental operates through the following program:

**Non-Departmental** - budgets for anticipated costs not allocated to agencies.

### Program Structure Change

Non-Departmental has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table DO0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table DO0-4**  
(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Non-Departmental</b>						
(1100) Non-Departmental	18,653	21,036	2,383	40.0	40.0	0.0
<b>Subtotal (1000) Non-Departmental</b>	<b>18,653</b>	<b>21,036</b>	<b>2,383</b>	<b>40.0</b>	<b>40.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>18,653</b>	<b>21,036</b>	<b>2,383</b>	<b>40.0</b>	<b>40.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.



## **FY 2016 Proposed Budget Changes**

Non-Departmental's proposed FY 2016 gross budget is \$21,036,104, which represents a 12.8 percent increase over its FY 2015 approved gross budget of \$18,653,391. The budget is comprised of \$2,504,080 in Local funds and \$18,532,024 in Special Purpose Revenue funds.

### **Current Services Funding Level**

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs/divisions, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

Non-Departmental's FY 2016 CSFL budget is \$3,275,080, which represents a \$275,080, or 9.2 percent, increase over the FY 2015 approved Local funds budget of \$3,000,000.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for Non-Departmental included an adjustment for a net increase of \$4,080 in personal services to account for Fringe Benefit costs based on trend and comparative analyses, the impact of cost-of-living adjustments, and approved compensation agreements implemented in FY 2015.

Non-Departmental's CSFL fund for Recurring Budget Items reflects an adjustment for an increase of \$771,000 for the Mayor's Office of Legal Counsel. Additionally, an adjustment was made for a decrease of \$500,000 to align funding with estimated salary and benefit allocations.

### **Agency Budget Submission**

**Decrease:** In Special Purpose Revenue funds, the budget is initially adjusted to \$0 to remove the FY 2015 estimate of \$15,653,391 in unbudgeted funds.

### **Mayor's Proposed Budget**

**Transfer-In:** In Special Purpose Revenue funds, otherwise unbudgeted FY 2016 revenues of \$18,532,024 are added so that the District has budget authority for the full amount of its certified revenues. This funding represents the unbudgeted Special Purpose Revenue funds of various District agencies.

**Transfer-Out:** In Local funds, \$771,000 was transferred out for the Mayor's Office of Legal Counsel.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table DO0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table DO0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>3,000</b>	<b>40.0</b>
Other CSFL Adjustments	Non-Departmental	275	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>3,275</b>	<b>40.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>3,275</b>	<b>40.0</b>
Transfer-Out: To the new Mayor's Office of Legal Counsel	Non-Departmental	-771	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>2,504</b>	<b>40.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>15,653</b>	<b>0.0</b>
Decrease: To reduce prior year unbudgeted/certified funds	Non-Departmental	-15,653	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>0</b>	<b>0.0</b>
Transfer-In: To align the budget with projected revenue	Non-Departmental	18,532	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>18,532</b>	<b>0.0</b>
<b>Gross for DO0 - Non-Departmental</b>		<b>21,036</b>	<b>40.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



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# Emergency Planning and Security Fund

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$7,583,948	\$12,500,000	\$14,900,000	19.2

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The mission of the Emergency Planning and Security Fund (EPSF) is to record expenses for which federal funding has been approved under the authority found in the federal payment for “Emergency Planning and Security Costs in the District of Columbia” section of the District’s annual Appropriations Act.

The costs shown below are generally related to:

- Providing public safety at events related to the presence of the Nation's Capital in the District;
- Providing response support to immediate and specific terrorist threats or attacks in the District; and
- Providing support for requests from the United States Secret Service.

The Consolidated Appropriations Act, 2014, Public Law No. 113-76 (January 17, 2014) authorized a direct federal payment to the District in the amount of \$14,880,000 available until expended, and continued the authorization for reimbursement of expenditures related to support requested by the Director of the United States Secret Service.

**EPSF funds available in FY 2014 consisted of:**

Unexpended balance carried forward from FY 2013:	\$12,470,142
FY 2014 approved budget:	\$14,880,000
<b>Total Available in FY 2014:</b>	<b>\$27,350,142</b>

The three tables below detail the FY 2014 EPSF activity:

**1. FY 2014 approved expenditures by agency: Amount**

Metropolitan Police Department (MPD)	\$5,129,709
Fire and Emergency Medical Services (FEMS)	2,432,000
District Department of Transportation (DDOT)	21,813
Department of Forensic Sciences (DFS)	426
<b>Total</b>	<b>\$7,583,948</b>

**2. FY 2014 approved expenditures by event:**

<b>Event</b>	<b>Amount</b>
FEMS, HAZMAT/federal property	\$1,646,228
MPD presidential protection	1,484,521
MPD Others	1,115,082
MPD foreign dignitary protection	1,049,259
MPD Vice presidential protection	633,881
FEMS presidential helicopter landings	570,651
MPD demonstration/events	559,674
MPD vehicular costs	213,343
FEMS presidential escort	145,206
MPD infrastructure surveillance	73,949
FEMS demonstrations, other events	69,916
DDOT Others	21,813
DFS Others	426
<b>Total</b>	<b>\$7,583,948</b>

(Numbers may not add up due to rounding)

**3. FY 2014 approved expenditures by quarter:**

<b>Quarter</b>	<b>Amount</b>
1st Quarter	\$ 2,929,210
2nd Quarter	1,386,436
3rd Quarter	1,433,034
4th Quarter	1,835,268
<b>Total</b>	<b>\$7,583,948</b>

The agency's FY 2016 proposed budget is presented in the following tables:

## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table EP0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table EP0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>Federal Resources</b>						
Federal Payments	16,229	7,584	12,500	14,900	2,400	19.2
<b>Total for Federal Resources</b>	<b>16,229</b>	<b>7,584</b>	<b>12,500</b>	<b>14,900</b>	<b>2,400</b>	<b>19.2</b>
<b>Gross Funds</b>						
	<b>16,229</b>	<b>7,584</b>	<b>12,500</b>	<b>14,900</b>	<b>2,400</b>	<b>19.2</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table EP0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table EP0-2**  
(dollars in thousands)

<b>Comptroller Source Group</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
50 - Subsidies and Transfers	16,229	7,584	12,500	14,900	2,400	19.2
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>16,229</b>	<b>7,584</b>	<b>12,500</b>	<b>14,900</b>	<b>2,400</b>	<b>19.2</b>
<b>Gross Funds</b>						
	<b>16,229</b>	<b>7,584</b>	<b>12,500</b>	<b>14,900</b>	<b>2,400</b>	<b>19.2</b>

\*Percent change is based on whole dollars.

### **Program Description**

The Emergency Planning and Security Fund operates through the following program:

**Emergency Planning and Security Cost** – provides recodation of expenses for which federal reimbursement/payment has been approved under the authority found in the federal payment for Emergency Planning and Security Costs section of the District's annual Appropriations Act.

### **Program Structure Change**

The Emergency Planning and Security Fund has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table EP0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table EP0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Emergency Planning and Security Cost</b>								
(1100) Emergency Planning and Security Cost	7,584	12,500	14,900	2,400	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Emergency Planning and Security Cost</b>	<b>7,584</b>	<b>12,500</b>	<b>14,900</b>	<b>2,400</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>7,584</b>	<b>12,500</b>	<b>14,900</b>	<b>2,400</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

The Emergency Planning and Security Fund's (EPSF) proposed FY 2016 gross budget is \$14,900,000, which represents a 19.2 percent increase over its FY 2015 approved gross budget of \$12,500,000. The budget is comprised entirely of Federal Payments funds.

#### Agency Budget Submission

**Technical Adjustment:** The FY 2016 Federal Payments increased by \$2,400,000. On January 16, 2015, the Federal Payments budget was approved in the Consolidated Appropriations Act, 2015. The FY 2016 Federal Payments request for the EPSF Fund aligns the budget with the President's FY 2016 Budget Request.

#### Mayor's Proposed Budget

**No Change:** Emergency Planning and Security Fund's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table EP0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table EP0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>FEDERAL PAYMENTS: FY 2015 Approved Budget and FTE</b>		<b>12,500</b>	<b>0.0</b>
Technical Adjustment: To align with the President's FY 2016 Budget Request	Emergency Planning and Security Cost	2,400	0.0
<b>FEDERAL PAYMENTS: FY 2016 Agency Budget Submission</b>		<b>14,900</b>	<b>0.0</b>
No Change		0	0.0
<b>FEDERAL PAYMENTS: FY 2016 Mayor's Proposed Budget</b>		<b>14,900</b>	<b>0.0</b>
<b>Gross for EP0 - Emergency Planning and Security Fund</b>		<b>14,900</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)





# Master Equipment Lease/Purchase Program

www.cfo.dc.gov

Telephone: 202-727-2476

Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$45,617,470	\$51,548,347	\$48,413,196	-6.1

The mission of the Master Equipment Lease/Purchase Program (the program) is to provide District agencies with access to low cost, tax-exempt financing for short-term capital equipment needs. The program also enables the District to improve its asset/liability management by matching the useful life of the asset being financed to the amortization of the liability.

Equipment financed through the program must have a useful life of at least 5 years. The repayment (amortization) will not exceed the useful life of the equipment being financed. The maximum financing term that may be requested is 10 years. The program finances equipment such as rolling stock (e.g., automobiles, trucks, public safety vehicles) and computer hardware and software. Under the District of Columbia Home Rule Act, the District may issue various obligations to finance its capital needs.

Financing through the program begins with a financing company paying for the purchase of equipment for the District's use. The District makes lease payments to the financing company for such equipment, which are in effect principal and interest payments on the amount financed, and the District gains ownership of the equipment upon completion of the payments. As of September 30, 2014, the District had financed approximately \$500 million of its capital equipment needs through the program.

Timely payments are necessary to satisfy the District's commitments to its investors and creditors and to maintain a good credit standing in the financial markets. Appropriations for the program are budgeted from Local funds in amounts sufficient to meet the required payments.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table EL0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table EL0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	49,953	45,617	51,548	48,413	-3,135	-6.1
<b>Total for General Fund</b>	<b>49,953</b>	<b>45,617</b>	<b>51,548</b>	<b>48,413</b>	<b>-3,135</b>	<b>-6.1</b>
<b>Gross Funds</b>	<b>49,953</b>	<b>45,617</b>	<b>51,548</b>	<b>48,413</b>	<b>-3,135</b>	<b>-6.1</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table EL0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table EL0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
80 - Debt Service	49,953	45,617	51,548	48,413	-3,135	-6.1
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>49,953</b>	<b>45,617</b>	<b>51,548</b>	<b>48,413</b>	<b>-3,135</b>	<b>-6.1</b>
<b>Gross Funds</b>	<b>49,953</b>	<b>45,617</b>	<b>51,548</b>	<b>48,413</b>	<b>-3,135</b>	<b>-6.1</b>

\*Percent change is based on whole dollars.

### Program Description

The Master Equipment Lease/Purchase Program operates through the following program:

**Equipment Lease** – provides financing for short-term capital equipment needs.

### Program Structure Change

The Master Equipment Lease/Purchase Program has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table EL0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides FY 2014 actual data.

**Table EL0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Equipment Lease</b>								
(1100) Equipment Lease	45,617	51,548	48,413	-3,135	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Equipment Lease</b>	<b>45,617</b>	<b>51,548</b>	<b>48,413</b>	<b>-3,135</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>45,617</b>	<b>51,548</b>	<b>48,413</b>	<b>-3,135</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

The Master Equipment Lease/Purchase Program's proposed FY 2016 gross budget is \$48,413,196, which represents a 6.1 percent decrease from its FY 2015 approved gross budget of \$51,548,347. The budget is comprised entirely of Local funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 5 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The Master Equipment Lease/Purchase Program's FY 2016 CSFL budget is \$47,228,992, which represents a \$4,319,355, or 8.4 percent, decrease from the FY 2015 approved Local funds budget of \$51,548,347.

### CSFL Assumptions

The Master Equipment Lease/Purchase Program's CSFL funding for Debt Service Adjustments, which is not listed in detail on table 5, reflects an adjustment for a decrease of \$4,319,355 to account for revised debt service projections.

### Agency Budget Submission

**Technical Adjustment:** The Master Equipment Lease/Purchase Program reflects an increase in the Local funds budget of \$1,184,204 in debt service cost to align costs with increased Capital Improvements Plan spending.

### Mayor's Proposed Budget

**No Change:** The Master Equipment Lease/Purchase Program's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table EL0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

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**Table EL0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>51,548</b>	<b>0.0</b>
Other CSFL Adjustments	Equipment Lease	-4,319	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>47,229</b>	<b>0.0</b>
Technical Adjustment: To align budget with scheduled debt service payments	Equipment Lease	1,184	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>48,413</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>48,413</b>	<b>0.0</b>
<b>Gross for EL0 - Master Equipment Lease/Purchase Program</b>		<b>48,413</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Pay-As-You-Go Capital Fund

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<b>Description</b>	<b>FY 2014 Actual</b>	<b>FY 2015 Approved</b>	<b>FY 2016 Proposed</b>	<b>% Change from FY 2015</b>
Operating Budget	\$59,797,800	\$28,936,729	\$72,290,602	149.8

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The mission of the Pay-As-You-Go Capital Fund is to provide an additional funding source and offset long-term bond borrowing costs for capital projects.

### Summary of Services

The Mayor and Council can request the use of Pay-As-You-Go (Paygo) Capital funds following the determination and certification by the Chief Financial Officer that the funds are available and necessary for the designated purpose. Operating funds may be transferred to the capital fund through a Pay-As-You-Go Capital funds budget transfer to support the Capital Improvements Plan, and the proposed FY 2016 budget includes such a transfer.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table PA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table PA0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	48,439	26,415	5,200	21,274	16,074	309.1
Special Purpose Revenue Funds	39,763	33,383	23,737	51,017	27,280	114.9
<b>Total for General Fund</b>	<b>88,201</b>	<b>59,798</b>	<b>28,937</b>	<b>72,291</b>	<b>43,354</b>	<b>149.8</b>
<b>Gross Funds</b>	<b>88,201</b>	<b>59,798</b>	<b>28,937</b>	<b>72,291</b>	<b>43,354</b>	<b>149.8</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table PA0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table PA0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	88,201	59,798	28,937	72,291	43,354	149.8
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>88,201</b>	<b>59,798</b>	<b>28,937</b>	<b>72,291</b>	<b>43,354</b>	<b>149.8</b>
<b>Gross Funds</b>	<b>88,201</b>	<b>59,798</b>	<b>28,937</b>	<b>72,291</b>	<b>43,354</b>	<b>149.8</b>

\*Percent change is based on whole dollars.

## Program Description

The Pay-As-You-Go Capital Fund operates through the following program:

**Pay-Go Capital** – allows for the transfer of revenue and budget authority between the operating funds budget (General Fund) and the capital funds budget (Capital Improvements Fund).

## Program Structure Changes

The Pay-As-You-Go Capital Fund agency has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table PA0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table PA0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Pay-Go Capital</b>								
(1100) Pay-Go Capital	59,798	28,937	72,291	43,354	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Pay-Go Capital</b>	<b>59,798</b>	<b>28,937</b>	<b>72,291</b>	<b>43,354</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>59,798</b>	<b>28,937</b>	<b>72,291</b>	<b>43,354</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

The Pay-As-You-Go Capital Fund's (Paygo) proposed FY 2016 gross budget is \$72,290,602, which represents a 149.8 percent increase over its FY 2015 approved gross budget of \$28,936,729. The budget is comprised of \$21,274,000 in Local funds, and \$51,016,602 in Special Purpose Revenue funds.

### Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

Paygo's proposed FY 2016 CSFL budget is \$5,200,000 which represents no change from the FY 2015 approved Local funds budget of \$5,200,000.

### CSFL Assumptions

The FY 2016 CSFL calculated for Paygo did not include any adjustment entries.

### Agency Budget Submission

**Increase:** The Pay-As-You-Go (Paygo) Capital Fund's budget proposal reflects a net increase of \$43,353,873, which is comprised of a net increases of \$16,074,000 in Local funds and \$27,279,873 in Special Purpose Revenue (SPR) funds. The Paygo agency supports funding transfers to various capital improvement program projects.



The proposed Local funds budget net increase is comprised of \$5,474,000 for the Power Line Undergrounding capital project, \$4,646,396 for the Circulator transit program (buses and bus garage), \$3,000,000 for the Tree Planting program, \$1,200,000 in additional federal Department of Transportation Payment-in-Lieu-of-Taxes (DOT PILOT) funding to support Great Streets and the Department of Parks and Recreation projects in the footprint of the new DOT headquarters, \$819,752 to support a Citywide IT Security capital project, \$789,250 to support capital improvements at D.C. Public Schools' facilities, \$390,000 to support program management projects, and \$3,754,602 in additional Great Streets projects, partially offset by a reduction of \$4,000,000 due to a lowered transfer to the Integrated Tax System project.

The SPR funds proposal includes increases of \$23,529,873 in higher Rights-of-Way fee revenue transferred to capital in support of Local Transportation Fund projects and \$3,750,000 to fund the Stormwater Retrofit implementation capital project.

**Mayor's Proposed Budget**

The Pay-As-You-Go Capital Fund's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table PA0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table PA0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>5,200</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>5,200</b>	<b>0.0</b>
Increase: Paygo transferred to the District's Capital Improvements Plan (CIP)	Multiple Programs	16,074	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>21,274</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>21,274</b>	<b>0.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>23,737</b>	<b>0.0</b>
Increase: Paygo transferred to the District's CIP	Multiple Programs	27,280	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>51,017</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>51,017</b>	<b>0.0</b>
<b>Gross for PA0 - Pay-As-You-Go Capital Fund</b>		<b>72,291</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



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# District Retiree Health Contribution

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$86,600,000	\$91,400,000	\$95,400,000	4.4

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The mission of the District Retiree Health Contribution is to contribute to the funding of the District's other post-employment benefits (OPEB) liabilities.

District government retirees who were first employed after September 30, 1987 ("post-87") may obtain health insurance (pursuant to D.C. Code 1-622) and life insurance (pursuant to D.C. Code 1-623) from the District. The federal government is responsible for funding OPEB costs for District government retirees who were first employed prior to October 1, 1987 ("pre-87").

In 1999, the Council of the District of Columbia established the Annuitants' Health and Life Insurance Employer Contribution Trust Fund ("Trust Fund") to pay the District's portion of post-87 retirees' health and life insurance premiums. Through FY 2007, the District contributed to the Trust Fund from available funds. Beginning in FY 2008, the Governmental Accounting Standards Board requires state and local governments, including the District, to recognize any OPEB liability in their financial statements. The District is budgeting an actuarially determined annual OPEB contribution to gradually reduce its unfunded accrued liability. The proposed budget of the District Retiree Health Contribution represents the District's FY 2016 contribution to the funding of its OPEB liabilities.

The District passed permanent legislation effective in FY 2011 changing the calculation of its contribution to the cost of health, vision and dental insurance premiums for retirees and their dependents to a scale based on the amount of creditable service of the retiree, with a maximum contribution of 75 percent, the same contribution as for current employees.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table RH0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table RH0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	107,800	86,600	91,400	95,400	4,000	4.4
<b>Total for General Fund</b>	<b>107,800</b>	<b>86,600</b>	<b>91,400</b>	<b>95,400</b>	<b>4,000</b>	<b>4.4</b>
<b>Gross Funds</b>	<b>107,800</b>	<b>86,600</b>	<b>91,400</b>	<b>95,400</b>	<b>4,000</b>	<b>4.4</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table RH0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table RH0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	107,800	86,600	91,400	95,400	4,000	4.4
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>107,800</b>	<b>86,600</b>	<b>91,400</b>	<b>95,400</b>	<b>4,000</b>	<b>4.4</b>
<b>Gross Funds</b>	<b>107,800</b>	<b>86,600</b>	<b>91,400</b>	<b>95,400</b>	<b>4,000</b>	<b>4.4</b>

\*Percent change is based on whole dollars.

## Program Description

The District Retiree Health Contribution operates through the following program:

**District Retiree Health Contribution** – provides the contribution to the funding of District’s OPEB liabilities.

## Program Structure Change

The District Retiree Health Contribution has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table RH0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table RH0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) District Retiree Health Contribution</b>								
(1100) District Retiree Health Contribution	86,600	91,400	95,400	4,000	0.0	0.0	0.0	0.0
<b>Subtotal (1000) District Retiree Health Contribution</b>	<b>86,600</b>	<b>91,400</b>	<b>95,400</b>	<b>4,000</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>86,600</b>	<b>91,400</b>	<b>95,400</b>	<b>4,000</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

Note: For more detailed information regarding the proposed funding for the activities within this agency’s programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer’s website.

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## FY 2016 Proposed Budget Changes

The District Retiree Health Contribution’s proposed FY 2016 gross budget is \$95,400,000 which represents a 4.4 percent increase over its FY 2015 approved gross budget of \$91,400,000. The budget is comprised entirely of Local funds.

## Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple programs/divisions, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency’s budget chapter. Please see the CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

The District Retiree Health Contribution’s FY 2016 CSFL budget is \$96,400,000, which represents a \$5,000,000, or 5.5 percent, increase over the FY 2015 approved Local funds budget of \$91,400,000.

The District Retiree Health Contribution's CSFL funding other adjustments reflects an adjustment for an increase of \$5,000,000 to account for the FY 2016 actuarial projections.

**Agency Budget Submission**

**Technical Adjustment:** The District Retiree Health Contribution's budget decreased by \$1,000,000 to reflect the actuarial valuation of the District of Columbia Annuitants' Health and Life Insurance Employee Contribution Plan. The purpose of the valuation is to provide an estimate of the actuarial accrued liabilities of the Plan and the Annual Required Contribution in accordance with Governmental Accounting Standard Board (GASB) statement number 43 and 45.

**Mayor's Proposed Budget**

**No Change:** The District Retiree Health Contribution's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table RH0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table RH0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>91,400</b>	<b>0.0</b>
Other CSFL Adjustments	District Retiree Health Contribution	5,000	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>96,400</b>	<b>0.0</b>
Technical Adjustment: To align budget with certified actuarial projections	District Retiree Health Contribution	-1,000	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>95,400</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>95,400</b>	<b>0.0</b>
<b>Gross for RH0 - District Retiree Health Contribution</b>		<b>95,400</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Highway Transportation Fund - Transfers

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$41,487,670	\$37,685,032	\$22,504,000	-40.3

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The Highway Transportation Fund - Transfers agency records the transfer of motor fuel tax and Rights-of-Way revenues from the District's General Fund to the Highway Trust Fund.

### Summary of Services

This agency reflects the flow of the dedicated revenues through the General Fund and was first budgeted in FY 2010, when it included motor fuel and parking taxes. Starting in FY 2012, parking taxes no longer went to the Highway Trust Fund, but the motor fuel tax and a portion of Rights-of-Way revenue, as necessary to ensure needed fund balance, are transferred. More information on the Highway Trust Fund is available in Appendix H of the FY 2016 to FY 2021 Capital Improvements Plan (Including the Highway Trust Fund).

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table KZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KZ0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Dedicated Taxes	22,389	22,961	22,167	22,504	337	1.5
Special Purpose Revenue Funds	12,722	18,526	15,518	0	-15,518	-100.0
<b>Total for General Fund</b>	<b>35,111</b>	<b>41,488</b>	<b>37,685</b>	<b>22,504</b>	<b>-15,181</b>	<b>-40.3</b>
<b>Gross Funds</b>	<b>35,111</b>	<b>41,488</b>	<b>37,685</b>	<b>22,504</b>	<b>-15,181</b>	<b>-40.3</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table KZ0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table KZ0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	35,111	41,488	37,685	22,504	-15,181	-40.3
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>35,111</b>	<b>41,488</b>	<b>37,685</b>	<b>22,504</b>	<b>-15,181</b>	<b>-40.3</b>
<b>Gross Funds</b>	<b>35,111</b>	<b>41,488</b>	<b>37,685</b>	<b>22,504</b>	<b>-15,181</b>	<b>-40.3</b>

\*Percent change is based on whole dollars.

## Program Description

The Highway Transportation Fund - Transfers operates through the following program:

**Transfer Tax to Highway Trust Fund** – records the transfer of dedicated revenue to the local Highway Trust Fund.

This program contains the following 2 activities:

- **Transfer Motor Fuel Tax to Highway Trust Fund** – records the transfer of motor fuel tax revenue to the local Highway Trust Fund; and
- **Transfer Special Purpose Revenue Rights-of-Way Fees** – records the transfer of any necessary portion of the Rights-of-Way revenue to the local Highway Trust Fund.

## Program Structure Change

The Highway Transportation Fund – Transfers has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table KZ0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table KZ0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Transfer Tax to Highway Trust Fund</b>								
(1100) Trans Motor Fuel Tax to Highway Trust Fund	22,961	22,167	22,504	337	0.0	0.0	0.0	0.0
(1300) Special Purpose Revenue (ROW)	18,526	15,518	0	-15,518	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Transfer Tax to Highway Trust Fund</b>	<b>41,488</b>	<b>37,685</b>	<b>22,504</b>	<b>-15,181</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>41,488</b>	<b>37,685</b>	<b>22,504</b>	<b>-15,181</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The Highway Transportation Fund – Transfers' proposed FY 2016 gross budget is \$22,504,000, which represents a 40.3 percent decrease from its FY 2015 approved gross budget of \$37,685,032. The budget is comprised entirely of Dedicated Taxes.

### Agency Budget Submission

**Increase:** The FY 2016 budget proposal for Dedicated Taxes reflects an increase of \$337,000, which aligns the budget with revenue projections for the motor fuel tax. The increase is based on the requirements for the transfer of revenue generated from the motor fuel tax to the Highway Trust Fund. This adjustment is confirmed with February 2015 revenue certification by the Office of Revenue Analysis (ORA).

**Decrease:** The FY 2016 budget proposal for Special Purpose Revenue funds includes a decrease of \$15,518,032 based on the anticipated total expenditures and the current fund balance. This adjustment aligns the budget with the legislative requirement to keep the combined budget for the motor fuel tax and the ROW revenue contribution at no more than 22.0 percent of the Highway Trust Fund budget. This adjustment ensures proper funding for the Federal Highway Administration Grant program's Local match. There is no budget in FY 2016 for Special Purpose Revenue funds.

### Mayor's Proposed Budget

**No Change:** The Highway Transportation Fund – Transfers' budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table KZ0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table KZ0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>22,167</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Transfer Tax To Highway Trust Fund	337	0.0
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>22,504</b>	<b>0.0</b>
No Change		0	0.0
<b>DEDICATED TAXES: FY 2016 Mayor's Proposed Budget</b>		<b>22,504</b>	<b>0.0</b>
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2015 Approved Budget and FTE</b>		<b>15,518</b>	<b>0.0</b>
Decrease: To align budget with projected revenues	Transfer Tax To Highway Trust Fund	-15,518	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Agency Budget Submission</b>		<b>0</b>	<b>0.0</b>
No Change		0	0.0
<b>SPECIAL PURPOSE REVENUE FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>0</b>	<b>0.0</b>
<b>Gross for KZ0 - Highway Transportation Fund Transfers</b>		<b>22,504</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Convention Center Transfer - Dedicated Taxes

www.dcconvention.com  
Telephone: 202-249-3000

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$108,700,873	\$115,719,000	\$120,053,592	3.7

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The Convention Center Transfer – Dedicated Taxes agency records the transfer of certain sales tax revenues from the District’s General Fund to the Washington Convention and Sports Authority for the Walter E. Washington Convention Center.

This budget entity reflects the flow of the dedicated revenues to the Convention Center through the General Fund, as authorized by the District of Columbia Official Code: Division I, Title 10, Subtitle IV, Chapter 12.

The agency’s FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table EZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table EZ0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Local Funds	3,000	3,250	4,000	3,115	-885	-22.1
Dedicated Taxes	104,041	105,451	111,719	116,939	5,220	4.7
<b>Total for General Fund</b>	<b>107,041</b>	<b>108,701</b>	<b>115,719</b>	<b>120,054</b>	<b>4,335</b>	<b>3.7</b>
<b>Gross Funds</b>	<b>107,041</b>	<b>108,701</b>	<b>115,719</b>	<b>120,054</b>	<b>4,335</b>	<b>3.7</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table EZ0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table EZ0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	107,041	108,701	115,719	120,054	4,335	3.7
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>107,041</b>	<b>108,701</b>	<b>115,719</b>	<b>120,054</b>	<b>4,335</b>	<b>3.7</b>
<b>Gross Funds</b>	<b>107,041</b>	<b>108,701</b>	<b>115,719</b>	<b>120,054</b>	<b>4,335</b>	<b>3.7</b>

\*Percent change is based on whole dollars.

## Program Description

The Convention Center Transfer – Dedicated Taxes operates through the following program:

**Transfer Sales Tax to Convention Center** – records the transfer of revenue to the Walter E. Washington Convention Center.

Beginning in FY 2013 and each successive year, the Convention Center Transfer receives \$3,000,000 in Local funds, adjusted for inflation to support Destination DC advertising programs. The programs consist of various marketing campaigns geared toward promoting the District of Columbia as a major tourist destination for personal, business, and convention travel.

## Program Structure Change

The Convention Center Transfer - Dedicated Taxes has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table EZ0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table EZ0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Transfer Tax to Convention Center</b>								
(1100) Transfer Sales Tax to Convention Center	108,701	115,719	120,054	4,335	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Transfer Tax to Convention Center</b>	<b>108,701</b>	<b>115,719</b>	<b>120,054</b>	<b>4,335</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>108,701</b>	<b>115,719</b>	<b>120,054</b>	<b>4,335</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The Convention Center Transfer - Dedicated Taxes' (CCTDT) proposed FY 2016 gross budget is \$120,053,592, which represents a 3.7 percent increase over its FY 2015 approved gross budget of \$115,719,000. The budget is comprised of \$3,114,592 in Local funds and \$116,939,000 in Dedicated Taxes.

## Current Services Funding Level

The Current Services Funding Level (CSFL) is a Local funds ONLY representation of the true cost of operating District agencies, before consideration of policy decisions. The CSFL reflects changes from the FY 2015 approved budget across multiple program, and it estimates how much it would cost an agency to continue its current programs and operations into the following fiscal year. The FY 2016 CSFL adjustments to the FY 2015 Local funds budget are described in table 4 of this agency's budget chapter. Please see the

CSFL Development section within Volume 1: Executive Summary for more information regarding the methodology used and components that comprise the CSFL.

CCTDT's FY 2016 CSFL budget is \$3,114,592, which represents a \$885,408, or 22.1 percent, decrease from the FY 2015 approved Local funds budget of \$4,000,000.

### **CSFL Assumptions**

The FY 2016 CSFL calculated for CCTDT included adjustment entries that are not described in detail on table 4. These adjustments include a reduction of \$952,454 to account for the removal of one-time funding appropriated in FY 2015 to support a Destination DC marketing initiative. Additionally, an adjustment was made for an increase of \$67,046 in nonpersonal services based on the Consumer Price Index factor of 2.2 percent.

### **Agency Budget Submission**

**Increase:** CCTDT's budget proposal reflects an increase of \$5,220,000 in Dedicated Taxes to align the budget with projected revenues by the Office of Revenue Analysis.

### **Mayor's Proposed Budget**

**No Change:** The Convention Center Transfer - Dedicated Taxes' budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table EZ0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table EZ0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>LOCAL FUNDS: FY 2015 Approved Budget and FTE</b>		<b>4,000</b>	<b>0.0</b>
Removal of One-Time Funding	Transfer Tax to Convention Center	-952	0.0
Other CSFL Adjustments	Transfer Tax to Convention Center	67	0.0
<b>LOCAL FUNDS: FY 2016 Current Services Funding Level (CSFL) Budget</b>		<b>3,115</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Agency Budget Submission</b>		<b>3,115</b>	<b>0.0</b>
No Change		0	0.0
<b>LOCAL FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>3,115</b>	<b>0.0</b>
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>111,719</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Transfer Tax to Convention Center	5,220	0.0
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>116,939</b>	<b>0.0</b>
No Change		0	0.0
<b>DEDICATED TAXES: FY 2016 Mayor's Proposed Budget</b>		<b>116,939</b>	<b>0.0</b>
<b>Gross for EZ0 - Convention Center Transfer - Dedicated Taxes</b>		<b>120,054</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)





# H

## Enterprise and Other Funds

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2.	Washington Aqueduct (LB0) .....	H-7
3.	D.C. Lottery and Charitable Games Control Board (DC0) .....	H-11
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# How to Read the Agency Chapters

The agency chapters describe available resources for an agency, how the agency will spend them, and the achieved and anticipated outcomes as a result of these expenditures. For a detailed explanation of the fiscal tables and narrative sections, please see the “How to Read the Budget and Financial Plan” chapter in *Volume 1: Executive Summary*.

Each chapter contains the following, if applicable:

The first page of each agency chapter displays the agency name and budget code, website address, and telephone number. The page also shows a table that contains the agency’s gross funds, or total operating, budget. The table shows the Fiscal Year (FY) 2014 actual expenditures and Full-Time Equivalents (FTEs); the FY 2015 Approved budget and FTEs; the FY 2016 Proposed budget and FTEs; and the percent change from the previous year for the budget and FTEs. Lastly, this page typically contains the agency mission statement and a summary of its services.

Subsequent pages reflect agency fiscal and programmatic levels and changes. The information varies by agency but typically contains the following financial tables and narrative sections:

- ***Proposed Funding by Source table*** displays the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed dollars by fund type.
  - ***Proposed Full-Time Equivalents table*** shows the agency FY 2013 and 2014 actuals, the FY 2015 Approved, and the FY 2016 Proposed FTEs by fund type.
  - ***Proposed Expenditure by Comptroller Source Group (CSG) table*** identifies the gross fund changes by CSG, which is a type of budgetary classification that identifies category spending within personal services (personnel costs, such as salaries and fringe benefits) and nonpersonal services (operational costs, such as contracts, supplies, and subsidy payments).
  - ***Proposed Operating Budget and FTEs, by Division/Program and Activity table*** shows the gross fund changes by dollars and FTEs. The Division/Program descriptions section that precedes this table explains the purpose of the divisions/programs and activities funded in the FY 2016 Proposed budget.
  - ***FY 2015 Approved Budget to FY 2016 Proposed Budget reconciliation table*** shows the FY 2016 Proposed budget and FTE changes, by division or program, from the FY 2015 Approved budget. This table also includes a brief description of the change. A detailed narrative of the changes is found in the FY 2016 Proposed Budget Changes section that precedes this table.
  - ***Agency Performance Plan Objectives*** and the accompanying Agency Performance Measures table show the agency-level plan that contains the agency’s mission, summary of services, objectives, initiatives, and performance measures for a set period of time. For some agencies, the initiatives and performance measures are grouped by division/program.
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# District of Columbia Water and Sewer Authority

[www.dewater.com](http://www.dewater.com)

Telephone: 202-787-2000

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Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$515,959,000	\$541,605,000	5.0

**Note:** Prior year actuals are not reported for the District of Columbia Water and Sewer Authority, because the agency does not use the District's financial system for its actual transactions.

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The vision of the District of Columbia Water and Sewer Authority (DC Water) is to be a world-class water utility, with the mission to exceed expectations by providing high quality water services in a safe, environmentally friendly, and efficient manner.

**History:** In 1996, the District of Columbia Water and Sewer Authority was created by District law, with the approval of the United States Congress, as an independent authority of the District government with a separate legal existence. In June 2010, the agency adopted a new logo and brand name, DC Water, while its official name remained District of Columbia Water and Sewer Authority. Beginning in FY 2013, for accounting purposes, DC Water was no longer reported as a component unit of the District government.

**Governance:** DC Water's Board of Directors establishes policies and guides the strategic planning process. The Board is composed of eleven members and eleven alternates, representing the District, Montgomery and Prince George's Counties in Maryland and Fairfax County in Virginia. District members establish policies, set rates and charges for District services. The entire Board votes and establishes policies for joint-use services. The General Manager/CEO reports to the Board and manages the operations and performance of the enterprise.

The DC Water's FY 2016 Board-approved budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table LA0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table LA0-1**  
(dollars in thousands)

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Enterprise and Other</b>				
Enterprise and Other Funds	515,959	541,605	25,646	5.0
<b>Total for Enterprise and Other</b>	<b>515,959</b>	<b>541,605</b>	<b>25,646</b>	<b>5.0</b>
<b>Gross Funds</b>				
	<b>515,959</b>	<b>541,605</b>	<b>25,646</b>	<b>5.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table LA0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

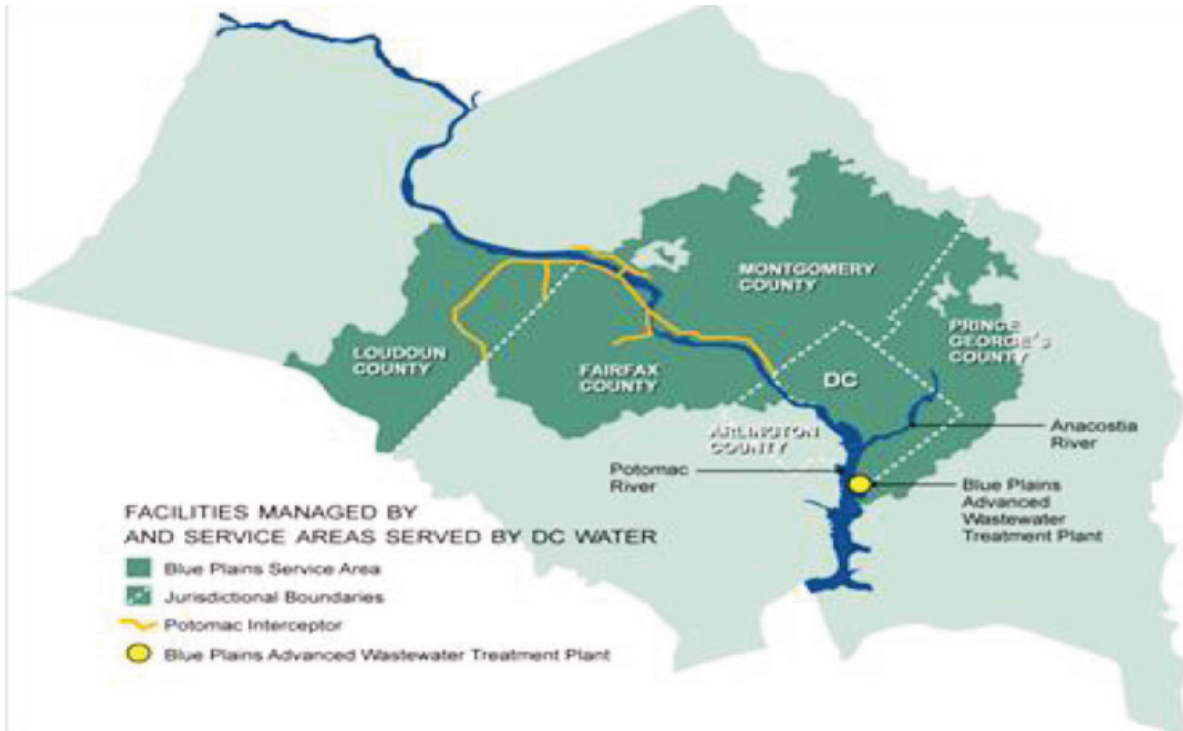
**Table LA0-2**  
(dollars in thousands)

Comptroller Source Group	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	100,970	101,759	789	0.8
14 - Fringe Benefits - Current Personnel	28,778	31,642	2,864	10.0
15 - Overtime Pay	5,796	6,633	837	14.4
<b>Subtotal Personal Services (PS)</b>	<b>135,544</b>	<b>140,034</b>	<b>4,490</b>	<b>3.3</b>
20 - Supplies and Materials	36,187	35,951	-236	-0.7
30 - Energy, Comm. and Building Rentals	30,416	35,018	4,602	15.1
40 - Other Services and Charges	28,831	30,740	1,909	6.6
41 - Contractual Services - Other	76,944	79,243	2,299	3.0
50 - Subsidies and Transfers	26,687	20,744	-5,943	-22.3
70 - Equipment and Equipment Rental	1,028	1,465	437	42.5
80 - Debt Service	180,322	198,410	18,088	10.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>380,415</b>	<b>401,571</b>	<b>21,156</b>	<b>5.6</b>
<b>Gross Funds</b>				
	<b>515,959</b>	<b>541,605</b>	<b>25,646</b>	<b>5.0</b>

\*Percent change is based on whole dollars.

## Program Description

**Service Area:** Providing more than 640,000 residents and 17.8 million annual visitors in the District of Columbia with retail water and wastewater (sewer) service, DC Water has a total service area of approximately 725 square miles. In addition, DC Water treats wastewater for approximately 1.6 million people in neighboring jurisdictions, including Montgomery and Prince George's Counties in Maryland and Fairfax and Loudoun Counties in Virginia.



**Drinking Water Quality:** With a strong emphasis on water quality, DC Water maintains an annual flushing program, regulatory and voluntary water quality testing, and ongoing system upgrades. In partnership with the U.S. Army Corps of Engineers' Washington Aqueduct, DC Water ensures a high quality treatment process for delivering outstanding drinking water throughout the year.

**Pumped and Treated Water Storage:** During Fiscal Year 2014, DC Water pumped an average of 95 million gallons of water per day. In addition, DC Water stores 61 million gallons of treated water at its eight facilities. The Washington Aqueduct stores an additional 49 million gallons.

**Water Distribution System:** DC Water delivers water through 1,350 miles of interconnected pipes, four pumping stations, five reservoirs, three water tanks, 43,860 valves, and 9,343 fire hydrants.



**Blue Plains Advanced Wastewater Treatment Plant:** Blue Plains, located at the southernmost tip of the District, is the largest advanced wastewater treatment facility in the world, covering 153 acres along the Potomac River. Blue Plains treats an annual average of 300 million gallons per day (MGD) and has a design capacity of 370 MGD, with a peak design capacity to treat more than one billion gallons per day.

**Sewer System:** 1,800 miles of sanitary and combined sewers, 22 flow-metering stations, nine off-site wastewater pumping stations, 16 stormwater pumping stations, 12 inflatable dams and a swirl facility comprise the DC Water sewer system.

**Program Structure Change**

DC Water has no program structure changes in the FY 2016 proposed budget.

**FY 2016 Proposed Operating Budget and FTE, by Program and Activity**

Table LA0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table LA0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) WASA</b>						
(1100) WASA	515,959	541,605	25,646	0.0	0.0	0.0
<b>Subtotal (1000) WASA</b>	<b>515,959</b>	<b>541,605</b>	<b>25,646</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>515,959</b>	<b>541,605</b>	<b>25,646</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency’s programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer’s website, and the DC Water website at [www.dewater.com](http://www.dewater.com).

**FY 2016 Proposed Budget Changes**

The District of Columbia Water and Sewer Authority’s (DC Water) FY 2016 gross budget is \$541,605,000, which represents a 5.0 percent increase over the FY 2015 approved budget of \$515,959,000. The budget is comprised entirely of Enterprise and Other funds.

**Agency Budget Submission**

On February 5, 2015, DC Water’s Board of Directors voted to approve its FY 2016 budget, which is a net increase of \$25,646,000 over the FY 2015 approved budget. The proposed budget continues to focus on transparency, accountability, and commitment to environmental stewardship.

**Increase:** The budget includes an overall net increase of \$25,646,000. This amount is comprised of increases of \$18,088,000 that allows DC Water to meet its financial goal by funding its debt service payment for bond issuance in support of various cash financed capital improvements, \$4,602,000 for increased utility costs, \$4,490,000 in the personal services budget to maintain a high performance workforce, \$2,299,000 for contractual services, \$1,909,000 for procurement of water, and \$437,000 for increase in equipment purchase necessary for operational needs. This adjustment also includes other proposals that enable DC Water to align its budget with programmatic need by identifying savings in key areas. The proposed savings are reflected in reductions of \$5,943,000, based on projected disbursements regarding the Payment-in-Lieu-of-Taxes (PILOT) to the District of Columbia, and \$236,000 for chemical supplies.

**Mayor’s Proposed Budget**

**No Change:** The District of Columbia Water and Sewer Authority’s budget proposal reflects no change from the agency budget submission to the Mayor’s proposed budget.

**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table LA0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table LA0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>515,959</b>	<b>0.0</b>
Increase: To align resources with operational goals	WASA	25,646	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>541,605</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>541,605</b>	<b>0.0</b>
<b>Gross for LA0 - Water and Sewer Authority</b>		<b>541,605</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Washington Aqueduct

[www.nab.usace.army.mil/missions/washingtonaqueduct](http://www.nab.usace.army.mil/missions/washingtonaqueduct)  
Telephone: 202-764-2753

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Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$64,481,705	\$62,727,720	-2.7

**Note:** Prior year actuals are not reported for the Washington Aqueduct, because the agency does not use the District's financial system for its actual transactions.

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The mission of Washington Aqueduct is to collect, purify, and pump an adequate supply of portable water to the distribution system managed by the District of Columbia Water and Sewer Authority (DC Water), Arlington County, and Fairfax Water Authority, in Virginia.

The agency plans to fulfill its mission by achieving the following strategic goals:

- Provide an adequate supply of high quality potable water;
- Provide potable water at an equitable, economical rate that covers all costs; and
- Protect the drinking water consumer from both microbial risk and adverse health effects due to chemicals in the drinking water.

Washington Aqueduct owns and operates intake facilities on the Potomac River at Great Falls and Little Falls, Maryland. Washington Aqueduct also owns and operates two 10-mile gravity conduit systems with a combined 200 million gallon per day (mgd) capacity, a 525-mgd raw water pumping station and transmission system, two major treatment plants with 320-mgd capacity, three booster pumping stations, a 480-mgd finished water pumping station, seven finished storage reservoirs, and many large diameter transmission mains.

Washington Aqueduct is owned and operated by the U.S. Army Corps of Engineers and is governed by a Wholesale Customer Board. It sells water to three wholesale customers: DC Water, Arlington County, Virginia, and Fairfax Water Authority, in Virginia.

In FY 2014, Washington Aqueduct pumped 47.9 billion gallons of purified water to its customers, a decrease of 0.6 percent from FY 2013. In Fiscal Years 2015 and 2016, Washington Aqueduct projects the production and supply of water to its customers to continue the trend of decreasing by approximately one half to three percent per year.

Washington Aqueduct does not receive appropriated funding from the District of Columbia or the federal government.

DC Water funds the District of Columbia's portion of the costs of the Washington Aqueduct. As a wholesale customer, DC Water purchases potable water and makes payments to Washington Aqueduct based on the number of gallons provided. Washington Aqueduct charges a rate based on water sale agreements with DC Water, Arlington County, and Fairfax Water Authority. The individual wholesale customers are responsible for water distribution.

### Water Quality

Water being produced by Washington Aqueduct treatment plants has consistently met and surpassed all Environmental Protection Agency (EPA) drinking water standards.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table LB0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table LB0-1**

(dollars in thousands)

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Enterprise and Other</b>				
Enterprise and Other Funds	64,482	62,728	-1,754	-2.7
<b>Total for Enterprise and Other</b>	<b>64,482</b>	<b>62,728</b>	<b>-1,754</b>	<b>-2.7</b>
<b>Gross Funds</b>	<b>64,482</b>	<b>62,728</b>	<b>-1,754</b>	<b>-2.7</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table LB0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table LB0-2**

(dollars in thousands)

Comptroller Source Group	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	64,482	62,728	-1,754	-2.7
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>64,482</b>	<b>62,728</b>	<b>-1,754</b>	<b>-2.7</b>
<b>Gross Funds</b>	<b>64,482</b>	<b>62,728</b>	<b>-1,754</b>	<b>-2.7</b>

\*Percent change is based on whole dollars.

## Program Description

The Washington Aqueduct operates through the following program:

**Sale of Water** – The main program at Washington Aqueduct is the sale of drinking water to its three customers for further distribution to the distribution systems they serve. The Wholesale Customer Board also approves Washington Aqueduct's budget. During Fiscal Years 2015 and 2016, the agency expects to pump an estimated 47 billion gallons of purified water to its customers each year.

## Program Structure Change

The Washington Aqueduct has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table LB0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

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**Table LB0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Washington Aqueduct</b>						
(1100) Washington Aqueduct	64,482	62,728	-1,754	0.0	0.0	0.0
<b>Subtotal (1000) Washington Aqueduct</b>	<b>64,482</b>	<b>62,728</b>	<b>-1,754</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>64,482</b>	<b>62,728</b>	<b>-1,754</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Notes:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The Washington Aqueduct's FY 2016 gross budget is \$62,727,720, which represents a 2.7 percent decrease from its FY 2015 approved gross budget of \$64,481,705. The budget is comprised entirely of Enterprise and Other funds.

### Agency Budget Submission

**Decrease:** The agency's FY 2016 Operations and Maintenance budget includes an overall decrease of \$1,753,985 compared to FY 2015. The decrease is primarily due to anticipated reduction in chemical pricing and better forecasting of equipment needs.

### Mayor's Proposed Budget

**No Change:** Washington Aqueduct's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table LB0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table LB0-4**  
(dollars in thousands)

<b>DESCRIPTION</b>	<b>PROGRAM</b>	<b>BUDGET</b>	<b>FTE</b>
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>64,482</b>	<b>0.0</b>
Decrease: To align resources with operational goals	Washington Aqueduct	-1,754	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>62,728</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>62,728</b>	<b>0.0</b>
No Change		0	0.0
<b>Gross for LB0 - Washington Aqueduct</b>		<b>62,728</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# D.C. Lottery and Charitable Games Control Board

www.lottery.dc.gov  
Telephone: 202-645-8000

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$215,662,298	\$242,156,316	\$220,000,000	-9.1
FTEs	64.7	76.0	73.5	-3.3

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The mission of the D.C. Lottery and Charitable Games Control Board (DCLB) is to generate revenue for the District's General Fund through the sale of lottery games and to regulate charitable gaming.

Since its inception in 1982, DCLB has contributed over \$1.8 billion to the District's General Fund. The DCLB annual transfer to the General Fund remains a vital component in aiding the city's economy, thereby benefiting all residents of the District of Columbia. The General Fund supports services such as education, recreation and parks, public safety, housing, and senior and child services. The DCLB directly benefits its players by paying out more than 50 percent of annual sales in prize money. It also directly benefits local businesses by providing commissions to retailers licensed to sell D.C. Lottery games and offering contracting opportunities.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table DC0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table DC0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Special Purpose Revenue Funds	242,333	215,662	0	0	0	N/A
<b>Total for General Fund</b>	<b>242,333</b>	<b>215,662</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds	0	0	242,156	220,000	-22,156	-9.1
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>242,156</b>	<b>220,000</b>	<b>-22,156</b>	<b>-9.1</b>
<b>Gross Funds</b>	<b>242,333</b>	<b>215,662</b>	<b>242,156</b>	<b>220,000</b>	<b>-22,156</b>	<b>-9.1</b>

\*Percent change is based on whole dollars.

Note: If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to Schedule 80 Agency Summary by Revenue Source in the FY 2016 Operating Appendices located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table DC0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table DC0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>General Fund</b>						
Special Purpose Revenue Funds	67.0	64.7	0.0	0.0	0.0	N/A
<b>Total for General Fund</b>	<b>67.0</b>	<b>64.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds	0.0	0.0	76.0	73.5	-2.5	-3.3
<b>Total for Enterprise and Other</b>	<b>0.0</b>	<b>0.0</b>	<b>76.0</b>	<b>73.5</b>	<b>-2.5</b>	<b>-3.3</b>
<b>Total Proposed FTEs</b>	<b>67.0</b>	<b>64.7</b>	<b>76.0</b>	<b>73.5</b>	<b>-2.5</b>	<b>-3.3</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table DC0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table DC0-3**  
(dollars in thousands)

	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>						
11 - Regular Pay - Continuing Full Time	5,424	5,461	6,449	6,616	166	2.6
12 - Regular Pay - Other	20	22	102	80	-22	-21.9
13 - Additional Gross Pay	74	114	16	16	0	0.0
14 - Fringe Benefits - Current Personnel	1,124	1,115	1,441	1,486	45	3.1
15 - Overtime Pay	16	36	123	123	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>6,658</b>	<b>6,748</b>	<b>8,132</b>	<b>8,321</b>	<b>189</b>	<b>2.3</b>
20 - Supplies and Materials	24	19	85	85	0	0.0
30 - Energy, Communication and Building Rentals	39	192	340	355	14	4.2
31 - Telephone, Telegraph, Telegram, Etc.	215	256	312	312	0	0.0
32 - Rentals - Land and Structures	2,262	2,556	2,525	2,547	22	0.9
34 - Security Services	7	0	567	31	-537	-94.6
35 - Occupancy Fixed Costs	12	0	16	8	-8	-51.9
40 - Other Services and Charges	16,254	13,559	7,597	7,511	-86	-1.1
41 - Contractual Services - Other	3,208	1,916	5,378	13,042	7,664	142.5
50 - Subsidies and Transfers	213,336	190,416	216,725	187,306	-29,420	-13.6
70 - Equipment and Equipment Rental	318	0	479	484	5	1.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>235,675</b>	<b>208,914</b>	<b>234,025</b>	<b>211,679</b>	<b>-22,345</b>	<b>-9.5</b>
Gross Funds	242,333	215,662	242,156	220,000	-22,156	-9.1

\*Percent change is based on whole dollars.

## Program Description

The D.C. Lottery and Charitable Games Control Board operates through the following 3 programs:

**Gaming Operations** – provides support services to lottery retail agents and the gaming public so that they can benefit from the portfolio of games offered by DCLB.

This program contains the following 6 activities:

- **Marketing** – provides advertising and promotional information to eligible players. The goal is to attract eligible players to purchase lottery tickets so that they may enjoy the entertainment value offered by the D.C. Lottery and Charitable Games Control Board’s portfolio of games;
- **Sales Department (Trade Development)** – provides sales goals and retail development services to lottery retail agents. The goals are to assist lottery retail agents in reaching established minimum sales volume levels and to achieve sustained growth;
- **Draw Division** – provides draw-related services to the gaming public. The goal is to maintain the public trust through ensuring unbiased and random draws;
- **Licensing and Charitable Games** – provides licensing and regulatory compliance services to existing and prospective lottery retail agents and non-profit charitable organizations. The goals are to ensure that lottery retail agents and non-profit charitable organizations receive appropriate licenses to sell lottery products and conduct charitable gaming activities in accordance with the laws and regulations set forth by the District;
- **Information Technology** – provides technical coordination and oversight services to lottery retail agents, customers, and internal DCLB operations. The goals are for retail agents, customers and internal operations to deliver, play and provide an uncompromised portfolio of lottery products; and
- **Claim Center** – provides claims processing and gaming information services to the gaming public. The goals are for the public to redeem prizes and enjoy a positive gaming experience.

Gaming Operations oversees 2 types of games:

- **Instant Games** – provides a portfolio of instant lottery games to the gaming public so that they can experience the entertainment value and potential reward of playing and winning with scratch-off style lottery tickets; and
- **Online Games** – provides online lottery-type games and services, which are lottery game tickets sold to the gaming public by lottery retail agents and video gaming machines, and played by the public at social settings targeted to the gaming public, so that the District can experience a steady source of revenue through the transfer of net proceeds from lottery sales.

Online games is comprised of the following 11 games:

- **DC 3 (Lucky Numbers), DC 4, DC 5, Powerball, Mega Millions, Hot Lotto, D.C. KENO, Race 2 Riches, Monopoly Millionaires’ Club, Tap-N-Play, and D.C. Fast Play** – provides an online (kiosk-based) lottery gaming experience for the gaming public so that they can experience the entertainment value of the DCLB’s portfolio of games and potential rewards of playing and winning.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This program is standard for all agencies using performance-based budgeting.

## Program Structure Change

The D.C. Lottery and Charitable Games Control Board has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table DC0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides FY 2014 actual data.

**Table DC0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Human Resources	589	645	699	54	4.5	7.0	7.0	0.0
(1015) Executive Direction and Support	579	2,129	747	-1,382	3.6	4.0	3.0	-1.0
(1030) Property and Fleet Management	319	574	714	140	2.7	3.0	3.0	0.0
(1040) Information Technology	391	1,396	1,433	36	2.7	3.0	3.0	0.0
(1050) Financial Services	3,004	3,168	4,549	1,381	0.0	0.0	0.0	0.0
(1060) Legal Services	0	0	10	10	0.0	0.0	0.0	0.0
(1075) Security	814	1,520	1,000	-521	2.7	4.0	4.0	0.0
(1080) Communications	403	870	801	-69	2.7	5.0	5.0	0.0
<b>Subtotal (1000) Agency Management</b>	<b>6,100</b>	<b>10,304</b>	<b>9,953</b>	<b>-350</b>	<b>18.9</b>	<b>26.0</b>	<b>25.0</b>	<b>-1.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	95	128	127	0	0.9	1.0	1.0	0.0
(120F) Accounting Operations	574	617	669	52	5.4	6.0	6.0	0.0
(130F) Fiscal Officer	366	217,136	187,748	-29,388	2.7	3.0	3.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>1,035</b>	<b>217,881</b>	<b>188,545</b>	<b>-29,336</b>	<b>9.0</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>
<b>(2000) Instant Games</b>								
(2100) Instant Games (Activity)	43,064	0	0	0	0.0	0.0	0.0	0.0
No Activity Assigned	-4	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (2000) Instant Games</b>	<b>43,060</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(3000) Online Games</b>								
(3100) Lucky Numbers	49,382	0	0	0	0.0	0.0	0.0	0.0
(3200) Quick Cash	2,030	0	0	0	0.0	0.0	0.0	0.0
(3300) DC Four	53,904	0	0	0	0.0	0.0	0.0	0.0
(3600) Powerball	14,685	0	0	0	0.0	0.0	0.0	0.0
(3800) Keno	10,733	0	0	0	0.0	0.0	0.0	0.0
(4200) Hot Lotto	3,261	0	0	0	0.0	0.0	0.0	0.0
(4400) DC Five	13,243	0	0	0	0.0	0.0	0.0	0.0
(4600) Mega Million	8,430	0	0	0	0.0	0.0	0.0	0.0
(4700) Race 2 Riches	6,783	0	0	0	0.0	0.0	0.0	0.0
(4800) Touch Games	-3	0	0	0	0.0	0.0	0.0	0.0
(9800) Unclassified Revenue and Expenditures	-106	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (3000) OnLine Games</b>	<b>162,341</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Continued on next page)

**Table DC0-4 (Continued)**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(6000) Gaming Operations</b>								
(6200) Marketing	779	7,196	7,533	338	7.6	8.0	8.0	0.0
(6300) Trade Development	894	4,277	3,682	-594	8.1	11.0	11.0	0.0
(6400) Draw Division	247	571	8,358	7,788	4.9	4.0	3.5	-0.5
(6500) Licensing and Charitable Games	594	824	771	-52	5.4	6.0	5.0	-1.0
(6600) Information Technology (Games)	748	1,023	1,068	46	9.0	10.0	10.0	0.0
(6700) Claim Center	69	83	89	6	1.8	1.0	1.0	0.0
<b>Subtotal (6000) Gaming Operations</b>	<b>3,331</b>	<b>13,972</b>	<b>21,502</b>	<b>7,530</b>	<b>36.8</b>	<b>40.0</b>	<b>38.5</b>	<b>-1.5</b>
<b>(9950) Audit Adjustments</b>								
No Activity Assigned	-205	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (9950) Audit Adjustments</b>	<b>-205</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>215,662</b>	<b>242,156</b>	<b>220,000</b>	<b>-22,156</b>	<b>64.7</b>	<b>76.0</b>	<b>73.5</b>	<b>-2.5</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The D.C. Lottery and Charitable Games Control Board's (DCLB) proposed FY 2016 gross budget is \$220,000,000, which represents a 9.1 percent decrease from its FY 2015 approved gross budget of \$242,156,316. The budget is comprised entirely of Enterprise and Other funds. The DCLB budget reflects the impact of a decline in sales across core District-specific games. In prior years, DCLB instituted cost saving measures to help maintain the amount transferred to the District's general fund. However, the continued erosion of traditional gaming public, in introduction of new gaming alternatives in surrounding jurisdictions, and pressure from Internet gaming has reduced the projected collections and the anticipated transfer amount. As a result, DCLB projects the Fiscal Year 2016 transfer to the District's general fund will total \$41.7 million, a decrease of \$20.1 million from the fiscal year 2015 transfer estimate of \$61.8 million.

### Agency Budget Submission

**Increase:** An increase of \$7,745,500 in the Gaming Operations program supports contractual adjustments. The agency realigned its budget to more accurately reflect agency spending. Also, personal services adjustments support a net increase of \$189,164 to cover salaries, Fringe Benefits, other personnel adjustments; and the impact of a reduction of 2.5 FTEs across multiple programs. In addition, a net increase of \$28,057 across several programs to cover estimates for energy, rentals and other fixed costs commodities.

**Decrease:** The DCLB budget reflects a net decrease of \$162,572 due to efficiencies in supplies, equipment and certain contract-related services throughout the agency. Also, a decrease of \$536,753 primarily reflects savings from the realignment of DCLB's security services costs to the Agency Management program. Lastly,

a decrease of \$29,419,712 in the Agency Financial Operations programs is due to a projected reduction in the estimated revenue collected from gaming-related functions as well as the reallocation of certain expenditures previously classified as subsidies to Contractual Services.

### Mayor's Proposed Budget

**No Change:** The D.C. Lottery and Charitable Games Control Board's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table DC0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table DC0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>242,156</b>	<b>76.0</b>
Increase: To adjust the Contractual Services budget	Gaming Operations	7,746	0.0
Increase: To adjust personal services	Multiple Programs	189	-2.5
Increase: To align Fixed Costs with proposed estimates	Multiple Programs	28	0.0
Decrease: To align funding with nonpersonal services costs	Multiple Programs	-163	0.0
Decrease: To streamline operation efficiency	Agency Management	-537	0.0
Decrease: To align budget with projected revenues	Agency Financial Operations	-29,420	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>220,000</b>	<b>73.5</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>220,000</b>	<b>73.5</b>
<b>Gross for DC0 - D.C. Lottery and Charitable Games Control Board</b>		<b>220,000</b>	<b>73.5</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



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# District of Columbia Retirement Board

[www.dcrb.dc.gov](http://www.dcrb.dc.gov)

Telephone: 202-343-3200

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Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$30,338,398	\$32,301,624	6.5
FTEs	57.6	62.6	8.7

Note: The District of Columbia Retirement Board does not use the District's financial system for its actual transactions.

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The mission of the District of Columbia Retirement Board (DCRB) is to invest prudently the assets of the police officers, firefighters, and teachers of the District of Columbia, while providing those employees with retirement services.

## Summary of Services

DCRB is an independent agency that has exclusive authority and discretion to manage and control the District's retirement funds for teachers, police officers, and firefighters (hereinafter referred to as the "Fund") pursuant to Section 1-711(a) of the District of Columbia Official Code. In 2005, the responsibility of administering the teachers', police officers' and firefighters' retirement programs was transferred to DCRB.

The federal government assumed the District's unfunded liability for the retirement plans of teachers, police officers, firefighters, and judges under provisions of the National Capital Revitalization and Self-Government Improvement Act of 1997. Under this law, the federal government pays the retirement benefits and death benefits, and a share of disability payments, for members for years of service earned up to the freeze date of June 30, 1997. The District of Columbia government is responsible for all subsequently earned benefits for the members of the retirement plans.

The DCRB Board of Trustees is comprised of 12 voting trustees: 3 appointed by the Mayor, 3 appointed by the District Council, and 6 elected by employee participation groups. In addition, the District's Treasurer serves on the Board an ex-officio member, representing the District's Chief Financial Officer.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table DY0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table DY0-1**  
(dollars in thousands)

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Enterprise and Other</b>				
Enterprise and Other Funds	30,338	32,302	1,963	6.5
<b>Total for Enterprise and Other</b>	<b>30,338</b>	<b>32,302</b>	<b>1,963</b>	<b>6.5</b>
<b>Gross Funds</b>	<b>30,338</b>	<b>32,302</b>	<b>1,963</b>	<b>6.5</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table DY0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type.

**Table DY0-2**

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Enterprise and Other</b>				
Enterprise and Other Funds	57.6	62.6	5.0	8.7
<b>Total for Enterprise and Other</b>	<b>57.6</b>	<b>62.6</b>	<b>5.0</b>	<b>8.7</b>
<b>Total Proposed FTEs</b>	<b>57.6</b>	<b>62.6</b>	<b>5.0</b>	<b>8.7</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table DY0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table DY0-3**  
(dollars in thousands)

Comptroller Source Group	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	5,523	6,172	649	11.8
13 - Additional Gross Pay	218	221	3	1.3
14 - Fringe Benefits - Current Personnel	1,438	1,622	184	12.8
15 - Overtime Pay	24	51	27	112.5
<b>Subtotal Personal Services (PS)</b>	<b>7,203</b>	<b>8,066</b>	<b>863</b>	<b>12.0</b>
20 - Supplies and Materials	232	121	-111	-47.6
31 - Telephone, Telegraph, Telegram, Etc.	75	73	-2	-2.4
32 - Rentals - Land and Structures	1,702	1,679	-23	-1.4
34 - Security Services	10	18	8	80.0
40 - Other Services and Charges	19,253	20,936	1,683	8.7
41 - Contractual Services - Other	1,077	1,200	123	11.4
70 - Equipment and Equipment Rental	786	208	-578	-73.6
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>23,135</b>	<b>24,235</b>	<b>1,100</b>	<b>4.8</b>
<b>Gross Funds</b>	<b>30,338</b>	<b>32,302</b>	<b>1,963</b>	<b>6.5</b>

\*Percent change is based on whole dollars.

### Program Description

The District of Columbia Retirement Board operates through the following program:

**DCRB Agency Management** – provides administrative support and the required tools to achieve operational and programmatic results.

This program contains the following 8 activities:

- **Executive** – provides executive direction for all activities to achieve the mission of the agency. Executive activity expenses are paid from two sources: (1) earnings derived from the Fund; and (2) reimbursements from the United States Department of the Treasury (Treasury) for services provided by DCRB for programs for which the federal government is responsible;
- **Investments** – manages all activities and resources dedicated to the investment of the assets of the Fund. Investment activity expenses are paid from the earnings derived from the Fund;
- **Board of Trustees** – determines the overall investment strategy for the Fund and monitors staff implementation of the strategy. The Board of Trustees' activity expenses are paid from the earnings derived from the Fund;
- **General Counsel** – provides legal support to the agency. General Counsel activity expenses are paid from the earnings derived from the Fund;

- **Benefits** – provides administrative services for members and timely and accurate payment of benefits to retirees and survivors. Benefits activity expenses are paid from two sources: (1) earnings derived from the Fund; and (2) reimbursements from the Treasury for services provided by DCRB for programs for which the federal government is responsible;
- **Operations** – provide operational and accounting support. Operations activity expenses are paid from two sources: (1) earnings derived from the Fund; and (2) reimbursements from the Treasury for services provided by DCRB for programs for which the federal government is responsible;
- **Information Technology** – provides technology systems support to achieve programmatic results. Information Technology activity expenses are paid from the earnings derived from the Fund; and
- **Projects** – manages the special projects undertaken by the agency. Projects activity expenses are paid from the earnings derived from the fund.

### Program Structure Change

The District of Columbia Retirement Board has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table DY0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table DY0-4**  
(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(3000) DCRB Agency Management</b>						
(3001) Executive	1,817	1,704	-112	11.0	9.0	-2.0
(3002) Investments	11,637	13,010	1,372	5.0	6.0	1.0
(3003) Trustees	515	466	-49	0.0	0.0	0.0
(3004) General Counsel	978	1,215	237	3.0	3.0	0.0
(3005) Benefits	2,074	2,465	391	19.6	22.6	3.0
(3006) Operations	3,410	3,649	239	10.0	11.0	1.0
(3007) Information Technology	5,461	5,423	-38	8.0	10.0	2.0
(3008) Projects	4,447	4,370	-76	1.0	1.0	0.0
<b>Subtotal (3000) DCRB Agency Management</b>	<b>30,338</b>	<b>32,302</b>	<b>1,963</b>	<b>57.6</b>	<b>62.6</b>	<b>5.0</b>
<b>Total Proposed Operating Budget</b>	<b>30,338</b>	<b>32,302</b>	<b>1,963</b>	<b>57.6</b>	<b>62.6</b>	<b>5.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Budget Changes**

The District of Columbia Retirement Board's (DCRB) proposed FY 2016 gross budget is \$32,301,624, which represents a 6.5 percent increase over its FY 2015 approved gross budget of \$30,338,398. The budget is comprised entirely of Enterprise and Other Funds.

### **Agency Budget Submission**

**Increase:** The proposed budget includes an increase of \$1,805,766, which supports higher professional service costs related to the ongoing work on the Pension Information Management System (PIMS), as well as higher estimated investment manager fees. PIMS is a multi-year project that will require collaboration with other District agencies and other stakeholders and will enable DCRB to provide a full range of retirement services for members including benefits statements, benefit estimates, member self-service, and pension payments. In addition, the increase will continue the SharePoint project server upgrade, which will allow DCRB to use SharePoint to establish an intranet system and document collaboration tool and will support its Data Quality Assurance project, which catalogs member information. Personal services costs increased by \$863,011 to cover projected Fringe Benefits and Overtime Pay costs, and support 5.0 new FTEs. The FTEs will provide support to DCRB with the monitoring of compliance issues within the Investments department, as well as assist the Information Technology and Benefits departments with the ongoing implementation of the pension information management system.

**Decrease:** The proposed budget includes a net decrease of \$16,800 in Fixed Costs due to reduced projections for telephone and rent commodities. It is important to note that although Fixed Costs experienced a net reduction, Security Services experienced an 80 percent increase from the previous year. DCRB moved into newly renovated space that includes more points of entry requiring electronic keys and additional security monitoring systems. Lastly, the budget includes a net reduction of \$688,751, which includes a reduction of \$110,501 in Supplies and Materials to align costs with actual expenses and lower anticipated needs; and \$578,250 in Equipment and Equipment Rental due to the early acquisition of certain items in FY 2015 and purchasing delays related to PIMS. The purchasing of equipment for PIMS will be completed in FY 2017 and FY 2018.

### **Mayor's Proposed Budget**

**No Change:** The District of Columbia Retirement Board's budget proposal reflects no change from the agency's budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table DY0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table DY0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>30,338</b>	<b>57.6</b>
Increase: To align funding with nonpersonal services costs	DCRB Agency Management	1,806	0.0
Increase: To adjust personal services	DCRB Agency Management	863	5.0
Decrease: To align Fixed Costs with proposed estimates	DCRB Agency Management	-17	0.0
Decrease: To align resources with operational goals	DCRB Agency Management	-689	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>32,302</b>	<b>62.6</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>32,302</b>	<b>62.6</b>
<b>Gross for DY0 - District of Columbia Retirement Board</b>		<b>32,302</b>	<b>62.6</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

# Washington Convention and Sports Authority

www.eventsdc.com  
Telephone: 202-249-3000

Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$133,792,837	\$129,670,491	-3.1

Note: Prior year actuals are not reported for the Washington Convention and Sports Authority, because the agency does not use the District's financial system for its actual transactions.

The mission of Events DC is to promote the District as a location for conventions, trade shows, meetings, sports, entertainment and recreational events; promote tourism and leisure travel to the District; and encourage and support youth activities, including through sports. Through these activities, Events DC serves as an economic engine for the Nation's Capital.

### Summary of Services

In 1994, the Washington Convention Center Authority (WCCA) was created as a corporate body and an independent authority of the District of Columbia government responsible for managing and operating the District's convention center and for bringing national and international conventions, trade shows, and meetings to the District of Columbia. ["Washington Convention Center Authority Act of 1994," D.C. Law 10-188, effective September 28, 1994]. Pursuant to the Fiscal Year 2010 Budget Support Second Emergency Act of 2009 and the Fiscal Year 2010 Budget Support Act, the District of Columbia Sports and Entertainment Commission was merged into WCCA to form a new organization known as the Washington Convention and Sports Authority (WCSA). The merger created one umbrella organization with a broadened charter to also promote the District as a key sports, entertainment and special events destination. Also, as part of the merger, WCSA gained control over the Nationals Ballpark, the Robert F. Kennedy Memorial Stadium (RFK), and the non-military portions of the DC Armory. Facility maintenance for RFK and the Armory, previously performed by the D.C. Sports and Entertainment Commission, was assumed by the Department of Real Estate Services, now the Department of General Services.

In June 2011, the Washington Convention and Sports Authority launched a new brand name, "Events DC." The new brand entity fully encompasses the event experience in the city, elevates the organization's core assets and portfolio, and perhaps most importantly, aligns with the existing brands for Washington D.C. and the city's promotional arm, Destination DC.

Events DC is governed by an 11-member Board of Directors. Two members, one of whom is the Chief Financial Officer of the District and the other of whom is designated by the Mayor, serve as voting ex-officio members. The remaining nine public members are appointed by the Mayor with the advice and consent of the Council of the District of Columbia and represent certain sectors of the community. The Mayor designates one public member as chairperson with the advice and consent of the Council.

The agency's FY 2016 proposed budget is presented in the following tables:

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## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table ES0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table ES0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b><u>Enterprise and Other</u></b>				
Enterprise and Other Funds	133,793	129,670	-4,122	-3.1
<b>Total for Enterprise and Other</b>	<b>133,793</b>	<b>129,670</b>	<b>-4,122</b>	<b>-3.1</b>
<b>Gross Funds</b>	<b>133,793</b>	<b>129,670</b>	<b>-4,122</b>	<b>-3.1</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table ES0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table ES0-2**  
(dollars in thousands)

	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>				
11 - Regular Pay - Continuing Full Time	16,202	16,350	148	0.9
12 - Regular Pay - Other	963	1,020	57	5.9
14 - Fringe Benefits - Current Personnel	5,313	5,687	374	7.0
15 - Overtime Pay	576	576	0	0.0
<b>Subtotal Personal Services (PS)</b>	<b>23,054</b>	<b>23,633</b>	<b>578</b>	<b>2.5</b>
20 - Supplies and Materials	572	587	15	2.6
30 - Energy, Communication and Building Rentals	6,276	6,276	0	0.0
31 - Telephone, Telegraph, Telegram, Etc.	125	125	0	0.0
41 - Contractual Services - Other	15,614	16,406	793	5.1
50 - Subsidies and Transfers	21,785	22,290	505	2.3
60 - Land and Buildings	17,093	8,495	-8,598	-50.3
70 - Equipment and Equipment Rental	330	330	0	0.0
80 - Debt Service	48,944	51,529	2,584	5.3
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>110,738</b>	<b>106,038</b>	<b>-4,701</b>	<b>-4.2</b>
<b>Gross Funds</b>	<b>133,793</b>	<b>129,670</b>	<b>-4,122</b>	<b>-3.1</b>

\*Percent change is based on whole dollars.



## Program Description

Although it appears as a single program in the District's financial system, the Washington Convention and Sports Authority operates through the following 3 programs:

**Washington Convention Center Operations** – provides for the operation of the Walter E. Washington Convention Center, in whole or in part, directly or under contract, and engages in such activities as deemed appropriate to promote trade shows, conventions, and other events. This program also constructs, equips, and maintains the facility.

The convention center opened in April 2003 and was later named in honor of the first Mayor of the District under the present Home Rule Charter. Since opening, it has hosted the following number of events per fiscal year:

<u>Fiscal Year</u>	<u>Convention Center Events</u>
2004	201
2005	185
2006	106
2007	150
2008	185
2009	204
2010	214
2011	231
2012	201
2013	209
2014	204

A total of 11.96 million people attended events at the Convention Center during the period of FY 2004 through FY 2014. Currently, the Convention Center has booked or tentatively booked approximately 436 events from 2015-2017. These events are projected to attract 1 million attendees every year. The Center has a total of 2.3 million square feet of space, including 703,000 square feet of exhibit space; 118,670 square feet of meeting space (which is divisible into 77 meeting rooms); 44,000 square feet of retail space and street-level restaurants; and a 52,000 square-foot ballroom, which is one of the largest on the East Coast.

**Sports and Entertainment Division** – promotes attractions and the development of new events for the Robert F. Kennedy Memorial Stadium and the surrounding festival grounds, non-military events at the District of Columbia Armory, and sporting and entertainment events at other sites around the city. These events include the D.C. United Major League Soccer team, the Eagle Bank Bowl football game, Nations Football Classic, local athletic games, various concerts, and other events. The Department of General Services maintains the stadium and the armory through revenue collected from events, rent, advertising, and other sources of revenue derived from these facilities.

**Carnegie Library at Mount Vernon Square** – as a special event venue, the Carnegie Library showcases its versatility by hosting galas, weddings, receptions, holiday parties, and press events. The Library is comprised of seven ornate rooms, including the L'Enfant Map Room, which features an illuminated floor map of Washington, D.C.

## Program Structure Change

The Washington Convention and Sports Authority has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table ES0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table ES0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Washington Convention Center</b>						
(1100) Washington Convention Center	133,793	129,670	-4,122	0.0	0.0	0.0
<b>Subtotal (1000) Washington Convention Center</b>	<b>133,793</b>	<b>129,670</b>	<b>-4,122</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>133,793</b>	<b>129,670</b>	<b>-4,122</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Notes:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Event DC's (EDC) proposed FY 2016 gross budget is \$129,670,491, which represents a 3.1 percent decrease from its FY 2015 approved gross budget of \$133,792,837. The budget is comprised entirely of Enterprise and Other funds.

### Agency Budget Submission

**Increase:** The FY 2016 proposed budget was increased by \$2,584,316 in Debt Service due to the inclusion of the Internal Revenue Service (IRS) subsidy for Bond payments related to the Marriott Marquis Convention Center Headquarters Hotel. The budget for Contractual Services increased by \$792,693 to support legal services, marketing, building maintenance, traffic control and other services. To cover projected costs for personal services and Fringe Benefits, the budget was increased by \$578,448. Furthermore, to align the funding with nonpersonal services costs in support of marketing and promotion activities to attract citywide events to the Convention Center, the budget was increased by \$520,197.

**Decrease:** EDC adjusted the budget to leverage savings to support and annualize costs of existing programs; therefore, based on projections for the capital expenditures in Land and Buildings, the budget was decreased by \$8,598,000.

## Mayor's Proposed Budget

**No Change:** The Events DC's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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### FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table ES0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

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**Table ES0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>133,793</b>	<b>0.0</b>
Increase: To align budget with scheduled debt service payments	Washington Convention Center	2,584	0.0
Increase: To adjust the Contractual Services budget	Washington Convention Center	793	0.0
Increase: To adjust continuing full time personal services and Fringe Benefits with projected costs	Washington Convention Center	578	0.0
Increase: To align funding with nonpersonal services costs	Washington Convention Center	520	0.0
Decrease: To support and annualize costs of existing program	Washington Convention Center	-8,598	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>129,670</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>129,670</b>	<b>0.0</b>
<b>Gross for ES0 - Washington Convention and Sports Authority</b>		<b>129,670</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Housing Finance Agency

www.dchfa.org

Telephone: 202-777-1600

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Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$9,661,513	\$10,798,000	11.8

**Note:** Prior year actuals are not reported for the Housing Finance Agency, because the agency does not use the District's financial system for its actual transactions.

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The Housing Finance Agency (HFA) was established in 1979 as a corporate body with a legal existence separate from the Government of the District of Columbia to stimulate and expand homeownership and rental housing opportunities for low-and moderate-income families in the District.

The Housing Financing Agency issues taxable and tax-exempt mortgage revenue bonds to lower the costs of financing single-family housing and of acquiring, constructing, and rehabilitating rental housing. In addition, HFA administers the issuance of four percent low-income housing tax credits to achieve its affordable housing preservation, rehabilitation, and development objectives on behalf of the Department of Housing and Community Development (DHCD).

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HF0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table HF0-1**  
(dollars in thousands)

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Enterprise and Other</b>				
Enterprise and Other Funds	9,662	10,798	1,136	11.8
<b>Total for Enterprise and Other</b>	<b>9,662</b>	<b>10,798</b>	<b>1,136</b>	<b>11.8</b>
<b>Gross Funds</b>	<b>9,662</b>	<b>10,798</b>	<b>1,136</b>	<b>11.8</b>

\*Percent change is based on whole dollars.

Note: If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HF0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table HF0-2**  
(dollars in thousands)

Comptroller Source Group	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	5,285	4,984	-301	-5.7
14 - Fringe Benefits - Current Personnel	1,077	1,486	409	38.0
15 - Overtime Pay	30	20	-10	-33.3
<b>Subtotal Personal Services (PS)</b>	<b>6,392</b>	<b>6,490</b>	<b>98</b>	<b>1.5</b>
20 - Supplies and Materials	100	100	0	0.0
30 - Energy, Communication, and Building Rentals	135	120	-15	-11.1
31 - Telephone, Telegraph, Telegram, Etc.	69	70	1	1.4
33 - Janitorial Services	76	78	2	2.8
34 - Security Services	20	10	-10	-50.0
40 - Other Services and Charges	1,000	1,200	200	20.0
41 - Contractual Services - Other	1,400	2,200	800	57.1
70 - Equipment and Equipment Rental	190	170	-20	-10.5
80 - Debt Service	279	360	81	28.8
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>3,269</b>	<b>4,308</b>	<b>1,039</b>	<b>31.8</b>
<b>Gross Funds</b>	<b>9,662</b>	<b>10,798</b>	<b>1,136</b>	<b>11.8</b>

\*Percent change is based on whole dollars.

## Program Description

Although the Housing Finance Agency appears as a single entity in the District's financial system, it operates through the following 3 programs:

**Multi-Family Development** – provides tax-exempt and taxable bonds, tax credits, and McKinney Act Savings Loan funds as financial tools that are integral to development of the community's ability to provide affordable, safe and decent housing to low-income and special needs populations. The multi-family mortgage revenue bond and tax credit products serve as vehicles for developers to access low-cost institutional debt and equity tools that enhance return on investment. When combined with 4 percent Low-Income Housing Tax Credits and other subsidies, the HFA's mortgage revenue bond product, which is offered in enhanced structures, provides competitive, below-market rate pricing and helps to preserve, rehabilitate, or construct affordable and mixed-income housing in the District.

This program contains the following 2 activities:

- **Technical Assistance** – provides technical assistance on all aspects of underwriting to developers who produce affordable housing, and acts as facilitators with city and federal government agencies to help expedite the permitting process and other approvals. In addition, HFA's staff provides ongoing construction monitoring to ensure construction is successfully completed and properly managed; and
- **Financing Assistance** – provides several financing programs, including the Multi-family Mortgage Revenue Bond Program, Low-Income Housing Tax Credit Program, and McKinney Act Savings Loan Fund. Depending on a project's eligibility, tax-exempt bonds, taxable bonds or low-interest loans can be used for pre-development activities, as well as the acquisition, construction and renovation of multi-family buildings. Financing can be used for the rehabilitation or construction of rental housing, cooperatives, assisted-living facilities, and transitional housing.

**Single Family Development** – provides low-interest mortgages for persons purchasing a home in the District at or below the national and local conventional rates.

This program contains the following 2 activities:

- **Homebuyer Assistance** – provides 30-year fixed rate mortgages and assistance with down payment and closing costs, as funds are available; and
- **Homeownership Education** – provides regular seminars and community outreach in an effort to empower persons seeking to become homeowners in the District. Through the HFA, information is made available to residents on the Single Family Bond products, financing options, the District government's employer assistance program, tax credits, tax abatements, the Home Purchase Assistance Program (HPAP), other programs to help with closing costs, and other incentives for homebuyers.

**Home Saver Program** – is a U.S. Treasury Hardest Hit Fund Initiative, which is federally funded and provides forgivable mortgage loans to homeowners of the District of Columbia who are at risk of foreclosure and who have experienced involuntary job loss.

## Program Structure Change

The Housing Finance Agency has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HF0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table HF0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Housing Finance Agency</b>						
(1100) Housing Finance Agency	9,662	10,798	1,136	0.0	0.0	0.0
<b>Subtotal (1000) Housing Finance Agency</b>	<b>9,662</b>	<b>10,798</b>	<b>1,136</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>9,662</b>	<b>10,798</b>	<b>1,136</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Housing Finance Agency's (HFA) proposed FY 2016 gross budget is \$10,798,000, which represents a 11.8 percent increase over its FY 2015 approved gross budget of \$9,661,513. The budget is comprised entirely of Enterprise and Other funds.

### Agency Budget Submission

**Increase:** The proposed budget includes an increase of \$800,000 in Contractual Services to support new accounting and portfolio administration software systems. Additionally, Local funds increased by \$280,600, this includes increases of \$200,000 in Other Services and Charges to support the costs associated with expanded financing activities and \$80,600 in Debt Service to reflect the actual cost of additional borrowing to support operations. Lastly, the increase of \$97,787 in personal services will cover Fringe Benefits and support the higher cost of non-District government staff.

**Decrease:** The proposed budget includes decreases of \$20,000 to the Equipment and Equipment Rental budget; and \$21,900 in Fixed Costs due to decreased projections for Energy and Security costs.

### Mayor's Proposed Budget

**No Change:** The HFA's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HF0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HF0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>9,662</b>	<b>0.0</b>
Increase: To adjust the Contractual Services budget	Housing Finance Agency	800	0.0
Increase: To align resources with operational goals	Housing Finance Agency	281	0.0
Increase: To adjust personal services	Housing Finance Agency	98	0.0
Decrease: To align funding with nonpersonal services costs	Housing Finance Agency	-20	0.0
Decrease: To align Fixed Costs with proposed estimates	Housing Finance Agency	-22	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>10,798</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>10,798</b>	<b>0.0</b>
<b>Gross for HF0 - Housing Finance Agency</b>		<b>10,798</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)





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# University of the District of Columbia

www.udc.edu

Telephone: 202-274-5000

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Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$154,529,865	\$150,458,878	-2.6
FTEs	948.4	968.4	2.1

**Note:** The University of the District of Columbia (UDC) does not use the District's financial system for its transactions. For FY 2014 actual expenditures, see the FY 2014 District of Columbia Comprehensive Annual Financial Report.

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The University of the District of Columbia (UDC) is an urban land grant institution of higher education. Through its community college, flagship, and graduate schools, UDC offers affordable post-secondary education to District of Columbia residents at the certificate, baccalaureate, and graduate levels. These programs prepare students for immediate entry into the workforce, the next level of education, specialized employment opportunities, and life-long learning.

## Summary of Services

The University of the District of Columbia provides high-quality learning, research, and public service experience relevant to the needs and interest of students, employees, and research organizations. The University is governed by a board of trustees comprised as set forth in District of Columbia Official Code Section 38-1202.01, with duties as set forth in Code Section 38-1202.06. The provisions of law applicable to the University's land-grant status are listed in Code Section 38-1202.09.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table GF0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table GF0-1**  
(dollars in thousands)

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Enterprise and Other</b>				
Enterprise and Other Funds	154,530	150,459	-4,071	-2.6
<b>Total for Enterprise and Other</b>	<b>154,530</b>	<b>150,459</b>	<b>-4,071</b>	<b>-2.6</b>
<b>Gross Funds</b>				
	<b>154,530</b>	<b>150,459</b>	<b>-4,071</b>	<b>-2.6</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalent, by Revenue Type

Table GF0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type.

**Table GF0-2**

Appropriated Fund	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change
<b>Enterprise and Other</b>				
Enterprise and Other Funds	948.4	968.4	20.0	2.1
<b>Total for Enterprise and Other</b>	<b>948.4</b>	<b>968.4</b>	<b>20.0</b>	<b>2.1</b>
<b>Total Proposed FTEs</b>	<b>948.4</b>	<b>968.4</b>	<b>20.0</b>	<b>2.1</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table GF0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table GF0-3**  
(dollars in thousands)

	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>Comptroller Source Group</b>				
50 - Subsidies and Transfers	154,530	150,459	-4,071	-2.6
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>154,530</b>	<b>150,459</b>	<b>-4,071</b>	<b>-2.6</b>
<b>Gross Funds</b>	<b>154,530</b>	<b>150,459</b>	<b>-4,071</b>	<b>-2.6</b>

\*Percent change is based on whole dollars.

### Program Description

The University of the District of Columbia (UDC) does not use the District's financial system. For the FY 2016 budget presentation, UDC's budget is shown as operating through the following program:

**University Fund Levels** – supports the University of the District of Columbia's mission of offering affordable and effective undergraduate, graduate, professional, and workplace learning opportunities to District of Columbia residents. As a public, historically black, and land-grant institution, the University's responsibility is to build a diverse generation of competitive, civically engaged scholars and leaders. The agency's operations are funded by an annual Local funds appropriation, as well as Federal and Private Grants, and Special Purpose Revenue ("O" Type) funds, which includes Endowment, Indirect, Post-Secondary, and Tuition funding.

### Program Structure Change

The University of the District of Columbia has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table GF0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table GF0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(0001) University Fund Levels</b>						
(001A) Funding Levels	154,530	150,459	-4,071	948.4	968.4	20.0
<b>Subtotal (0001) University Fund Levels</b>	<b>154,530</b>	<b>150,459</b>	<b>-4,071</b>	<b>948.4</b>	<b>968.4</b>	<b>20.0</b>
<b>Total Proposed Operating Budget</b>	<b>154,530</b>	<b>150,459</b>	<b>-4,071</b>	<b>948.4</b>	<b>968.4</b>	<b>20.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The University of the District of Columbia's (UDC) proposed FY 2016 gross budget is \$150,458,878, which represents a 2.6 percent decrease from its FY 2015 approved gross budget of \$154,529,865. The budget is comprised entirely of Enterprise and Other funds.

### Agency Budget Submission

For presentation purposes, UDC's budget was combined into one fund, program, and Comptroller Source Group (CSG) for the FY 2016 agency budget submission.

The program entitled "University Fund Levels" includes the following fund types:

- **Local funds** – funding generated by the District government through tax and non-tax revenues. Each year, UDC receives a subsidy from Local funds;
- **Federal Grant funds** – funding awarded as grants from various federal agencies for specific purposes. These funds are administered with explicit conditions that are stipulated by each granting agency;
- **Private Grant funds** – funding awarded grants that provided by various private organizations such as foundations, not-for-profit corporations, or charitable trusts. This funding is used by the University to support the intended purpose of the grant; and
- **Special Purpose Revenue ("O" Type) funds** – funding generated by Endowments, Indirect Costs, Post-Secondary Education, Tuition, and District agency advance funds.
  - Endowment funds consist of gifts received by UDC and are invested to support University operations.
  - Indirect Costs (also referred to as "overhead") are funds reimbursed to UDC for the costs associated

with administering federal and private grants, contracts, and other agreements. These reimbursements support UDC's research, sponsored programs, and other projects that advance the University's strategic agenda, such as investments in facilities and infrastructure that are critical to the University's research capabilities.

- Post-Secondary Education and Tuition funds are used to provide general operating support to UDC.
- District agency advance funds are generated from the services provided to various District government agencies via Memoranda of Understanding (MOU).

These funds are generated and used in accordance with the policies set forth by the Board of Trustees of the University of the District of Columbia.

**Increase:** UDC's budget adds 20 FTEs.

**Decrease:** The University of the District Columbia's budget was reduced by a net of \$521,858. The decrease is associated with the removal of funding for accreditation and marketing activities.

**Mayor's Proposed Budget**

**Reduce:** University of the District Columbia's budget was reduced by \$3,549,129 based on lower projection for subsidy payments to the University.

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**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table GF0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table GF0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>154,530</b>	<b>948.4</b>
Increase: To adjust personal services	University Fund Levels	0	20.0
Decrease: To align resources with operational goals	University Fund Levels	-522	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>154,008</b>	<b>968.4</b>
Reduce: Subsidy for UDC and the Community College	University Fund Levels	-3,549	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>150,459</b>	<b>968.4</b>
<b>Gross for GF0 - University of the District of Columbia</b>		<b>150,459</b>	<b>968.4</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



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# District of Columbia Public Library Trust Fund

www.dclibrary.org  
Telephone: 202-727-1101

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$2,701	\$17,000	\$17,000	0.0

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The District of Columbia Public Library Trust Fund includes two bequests that are administered by the District of Columbia Public Library and enables the Fund's budget presentation to conform to that of the District's Comprehensive Annual Financial Report (CAFR).

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table UW0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table UW0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Special Purpose Revenue Funds	4	3	0	0	0	N/A
<b>Total for General Fund</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds	0	0	17	17	0	0.0
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>17</b>	<b>17</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>4</b>	<b>3</b>	<b>17</b>	<b>17</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table UW0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2013 approved budget. It also provides FY 2014 and FY 2014 actual expenditures.

**Table UW0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
20 - Supplies and Materials	0	0	4	4	0	0.0
40 - Other Services and Charges	4	3	10	10	0	0.0
70 - Equipment and Equipment Rental	0	0	3	3	0	0.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>4</b>	<b>3</b>	<b>17</b>	<b>17</b>	<b>0</b>	<b>0.0</b>
<b>Gross Funds</b>	<b>4</b>	<b>3</b>	<b>17</b>	<b>17</b>	<b>0</b>	<b>0.0</b>

\*Percent change is based on whole dollars.

## Program Description

The District of Columbia Public Library Trust Fund operates through the following program:

**Library Trust Funds** – for accounting purposes, agency trust funds are classified as fiduciary funds that show assets held by the District in a trustee capacity or as an agent for individuals, private organizations, and other governments. Such trust funds are custodial in nature, reporting only assets and liabilities. Since fiduciary funds cannot be used for the operations of the government, they are not included in the District’s government-wide financial statements. Prior to FY 2005, the budget authority for agency trust funds was included in the operating budgets of the agencies that administered them. Since FY 2005, these trust funds have been shown as separate budget agencies so that the District’s budget structure would show these trust funds in a manner consistent with their presentation in the District’s CAFR.

This program contains the following 2 activities:

- **Theodore W. Noyes Trust Fund** – is comprised of a bequest of \$7,000; and
- **The Georgetown Peabody Trust Fund** – is comprised of a bequest of \$10,000. The Peabody Library Association of Georgetown provided the funds by deed, gift of securities, cash, and other valuables in 1979, to support the Georgetown library branch and for other designated purposes.

## Program Structure Change

The District of Columbia Public Library Trust Fund has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table UW0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table UW0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(0001) DCPL Trust Funds</b>								
(0010) Theodore Noyes Trust Funds	3	7	7	0	0.0	0.0	0.0	0.0
<b>Subtotal (0001) DCPL Trust Funds</b>	<b>3</b>	<b>7</b>	<b>7</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(0002) DCPL Trust Funds</b>								
(0020) Peabody Trust Funds	0	10	10	0	0.0	0.0	0.0	0.0
<b>Subtotal (0002) DCPL Trust Funds</b>	<b>0</b>	<b>10</b>	<b>10</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>3</b>	<b>17</b>	<b>17</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency’s programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer’s website.

**FY 2016 Proposed Budget Changes**

The District of Columbia Public Library Trust Fund has no changes from the FY 2015 approved budget to the FY 2016 proposed budget.

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# Unemployment Insurance Trust Fund

[www.does.dc.gov](http://www.does.dc.gov)

Telephone: 202-724-7000

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$160,402,995	\$367,000,000	\$235,000,000	-36.0

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The Unemployment Insurance Trust Fund, administered by the Department of Employment Services (DOES), represents the proceeds from unemployment taxes paid by private sector employers and reimbursements from the District and federal governments deposited in the Unemployment Trust Fund (the “Fund”). The Fund is used to pay benefits for private and public sector employees during periods of unemployment. Payments include transfers to other governments to reimburse unemployment benefits paid to District residents.

Trust funds are fiduciary funds that are used to account for assets held by the District in a trustee capacity or as an agent for individuals, private organizations, and other governments. Such trust funds are custodial in nature, reporting only assets and liabilities. Because fiduciary funds cannot be used for the operations of the government, they are not included in the District's government-wide financial statements.

Prior to FY 2005, these agency trust funds were included in the operating budgets of the agencies that administered the trust funds. The District created a separate agency fund in FY 2005 so that the District's budget structure would report these trust funds pursuant to the District's accounting structure. The Unemployment Insurance Trust Fund was separated from the DOES budget for improved fiscal transparency and to enable the District's budget format to more closely follow the presentation of the Comprehensive Annual Financial Report.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table UI0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table UI0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Special Purpose Revenue Funds	255,645	160,403	0	0	0	N/A
<b>Total for General Fund</b>	<b>255,645</b>	<b>160,403</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds	0	0	367,000	235,000	-132,000	-36.0
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>367,000</b>	<b>235,000</b>	<b>-132,000</b>	<b>-36.0</b>
<b>Gross Funds</b>	<b>255,645</b>	<b>160,403</b>	<b>367,000</b>	<b>235,000</b>	<b>-132,000</b>	<b>-36.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table UI0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table UI0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	255,645	160,403	367,000	235,000	-132,000	-36.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>255,645</b>	<b>160,403</b>	<b>367,000</b>	<b>235,000</b>	<b>-132,000</b>	<b>-36.0</b>
<b>Gross Funds</b>	<b>255,645</b>	<b>160,403</b>	<b>367,000</b>	<b>235,000</b>	<b>-132,000</b>	<b>-36.0</b>

\*Percent change is based on whole dollars.

### Program Description

The Unemployment Insurance Trust Fund operates through the following program:

**Unemployment Trust Fund** – pays benefits for private and public sector employees during periods of unemployment.

### Program Structure Change

The Unemployment Insurance Trust Fund has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table UI0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table UI0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(2000) Unemployment Trust Fund</b>								
(2200) Benefits Trust Fund	160,403	367,000	235,000	-132,000	0.0	0.0	0.0	0.0
<b>Subtotal (2000) Unemployment Trust Fund</b>	<b>160,403</b>	<b>367,000</b>	<b>235,000</b>	<b>-132,000</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>160,403</b>	<b>367,000</b>	<b>235,000</b>	<b>-132,000</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

The Unemployment Insurance Trust Fund's (UITF) proposed FY 2016 gross budget is \$235,000,000, which represents a 36.0 percent decrease from its FY 2015 approved gross budget of \$367,000,000. The budget is comprised entirely of Enterprise and Other funds.

#### Agency Budget Submission

**Decrease:** The budget proposal for UITF reflects a decrease of \$132,000,000 in the Unemployment Trust Fund program. This reduction is based on the projection that unemployment rates will continue to fall in the District of Columbia and this is expected to decrease the number of unemployment filings in FY 2016. Consequently, the payments of unemployment benefits will be reduced based on the current projections.

#### Mayor's Proposed Budget

**No Change:** The Unemployment Insurance Trust Fund's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

**FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table UI0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table UI0-4**  
(dollars in thousands)

<b>DESCRIPTION</b>	<b>PROGRAM</b>	<b>BUDGET</b>	<b>FTE</b>
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>367,000</b>	<b>0.0</b>
Decrease: To align budget with projected revenues	Unemployment Trust Fund	-132,000	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>235,000</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor’s Proposed Budget</b>		<b>235,000</b>	<b>0.0</b>
<b>Gross for UI0 - Unemployment Insurance Trust Fund</b>		<b>235,000</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Housing Production Trust Fund

[www.dhcd.dc.gov](http://www.dhcd.dc.gov)

Telephone: 202-442-7200

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Description	FY 2014	FY 2015	FY 2016	% Change
	Actual	Approved	Proposed	from FY 2015
Operating Budget	\$61,438,336	\$40,421,811	\$100,000,000	147.4

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The mission of the Housing Production Trust Fund (HPTF) is to provide financial assistance to a variety of affordable housing programs and opportunities across the District of Columbia. The HPTF funds initiatives to build affordable rental housing, preserve expiring federally-assisted housing, and help provide affordable homeownership opportunities for low-income families.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table UZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table UZ0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Dedicated Taxes	55,926	12,358	0	0	0	N/A
Special Purpose Revenue Funds	0	49,080	0	0	0	N/A
<b>Total for General Fund</b>	<b>55,926</b>	<b>61,438</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds-Dedicated Tax	0	0	40,422	49,821	9,399	23.3
Enterprise And Other Funds	0	0	0	50,179	50,179	N/A
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>40,422</b>	<b>100,000</b>	<b>59,578</b>	<b>147.4</b>
<b>Gross Funds</b>	<b>55,926</b>	<b>61,438</b>	<b>40,422</b>	<b>100,000</b>	<b>59,578</b>	<b>147.4</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table UZ0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table UZ0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
41 - Contractual Services - Other	55,926	61,438	40,422	100,000	59,578	147.4
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>55,926</b>	<b>61,438</b>	<b>40,422</b>	<b>100,000</b>	<b>59,578</b>	<b>147.4</b>
<b>Gross Funds</b>	<b>55,926</b>	<b>61,438</b>	<b>40,422</b>	<b>100,000</b>	<b>59,578</b>	<b>147.4</b>

\*Percent change is based on whole dollars.

## Program Description

The Housing Production Trust Fund operates through the following program:

**Housing Production Trust Fund** – provides financial assistance to a variety of affordable housing programs and opportunities across the District.

This program contains the following 2 activities:

- **Housing Production Trust Fund (Administration)** – provides administrative services associated with the operation of the HPTF; and
- **Housing Production Trust Fund** – provides financial assistance to promote and enable affordable rental housing and residential housing in the District.

## Program Structure Change

The Housing Production Trust Fund has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table UZ0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table UZ0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalent			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Housing Production Trust Fund</b>								
(1100) Housing Production Trust Fund (Administration)	4,514	4,357	4,982	625	0.0	0.0	0.0	0.0
(1101) Housing Production Trust Fund	55,888	36,065	95,018	58,953	0.0	0.0	0.0	0.0
(1102) Housing Production Trust Fund	1,037	0	0	0	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Housing Production Trust Fund</b>	<b>61,438</b>	<b>40,422</b>	<b>100,000</b>	<b>59,578</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>61,438</b>	<b>40,422</b>	<b>100,000</b>	<b>59,578</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Housing Production Trust Fund's (HPTF) proposed FY 2016 gross budget is \$100,000,000, which represents a 147.4 percent increase over its FY 2015 approved gross budget of \$40,421,811. The budget is comprised of \$49,820,611 of Enterprise and Other Funds – Dedicated Taxes and \$50,179,389 of Enterprise and Other Funds.

### Agency Budget Submission

**Increase:** The HPTF receives 15 percent of the Deed Recordation and Deed Transfer taxes, less the portion dedicated to debt service on prior-year borrowings for all HPTF projects. The proposed budget includes an increase of \$9,398,800 from these revenues.

### Mayor's Proposed Budget

**Enhance:** As part of the Mayor's commitment to increase affordable housing opportunities in the District, the budget includes an increase of \$50,179,389 to Subsidies and Transfers in the Housing Production Trust Fund, when combined with the \$49,820,611 of Enterprise and Other – Dedicated Taxes, the total funding for the HPTF is \$100 million in FY 2016. These funds provide financial assistance to non-profit and for-profit developers that support the construction, rehabilitation and acquisition of affordable housing for rental or homeownership in the District of Columbia.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table UZ0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table UZ0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUND-DEDICATED TAXES:</b>			
<b>FY 2015 Approved Budget and FTE</b>		<b>40,422</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Housing Production Trust Fund	9,399	0.0
<b>ENTERPRISE AND OTHER FUND-DEDICATED TAXES:</b>			
<b>FY 2016 Agency Budget Submission</b>		<b>49,821</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUND-DEDICATED TAXES:</b>			
<b>FY 2016 Mayor's Proposed Budget</b>		<b>49,821</b>	<b>0.0</b>
<b>ENTERPRISE AND OTHER FUNDS:</b>			
<b>FY 2015 Approved Budget and FTE</b>		<b>0</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS:</b>			
<b>FY 2016 Agency Budget Submission</b>		<b>0</b>	<b>0.0</b>
Enhance: Funding to enhance affordable housing initiatives	Housing Production Trust Fund	50,179	0.0
<b>ENTERPRISE AND OTHER FUNDS:</b>			
<b>FY 2016 Mayor's Proposed Budget</b>		<b>50,179</b>	<b>0.0</b>
<b>Gross for UZ0 - Housing Production Trust Fund</b>		<b>100,000</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** The total going to affordable housing in the FY 2016 budget is \$107.8 million. There is \$57.7 million of dedicated revenue for affordable housing, and to this the Mayor added \$50.1 million of Local funds to further stimulate the production of affordable housing. By law, \$7.8 million of the total is used to pay debt service on borrowing for New Communities projects (see the Debt Service chapter in "Financing and Other"). The remaining \$100 million goes directly into the Housing Production Trust Fund, as shown here.

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# Tax Increment Financing (TIF) Program

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$49,585,807	\$60,438,790	\$64,256,229	6.3

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Tax Increment Financing (TIF) is a program through which the District provides economic development project funds by borrowing against future tax receipts expected to be generated as a result of the construction and operation of projects partially funded by these funds.

The agency's FY 2016 proposed budget is presented in the following tables:

## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table TX0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table TX0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Special Purpose Revenue Funds	40,746	49,586	0	0	0	N/A
<b>Total for General Fund</b>	<b>40,746</b>	<b>49,586</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds-Dedicated Tax	0	0	60,439	64,256	3,817	6.3
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>60,439</b>	<b>64,256</b>	<b>3,817</b>	<b>6.3</b>
<b>Gross Funds</b>	<b>40,746</b>	<b>49,586</b>	<b>60,439</b>	<b>64,256</b>	<b>3,817</b>	<b>6.3</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table TX0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table TX0-2**  
(dollars in thousands)

<b>Comptroller Source Group</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
50 - Subsidies and Transfers	29,881	38,894	47,500	51,103	3,603	7.6
80 - Debt Service	10,865	10,692	12,939	13,153	214	1.7
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>40,746</b>	<b>49,586</b>	<b>60,439</b>	<b>64,256</b>	<b>3,817</b>	<b>6.3</b>
<b>Gross Funds</b>	<b>40,746</b>	<b>49,586</b>	<b>60,439</b>	<b>64,256</b>	<b>3,817</b>	<b>6.3</b>

\*Percent change is based on whole dollars.

## Program Description

The Tax Increment Financing Program operates through the following program:

**Tax Increment Financing** – provides budget authority pursuant to the Tax Increment Financing Act of 1998, which established a TIF program and authorized the issuance of up to \$300 million in debt to support economic development projects that would not be developed "but for" public financial assistance. The initiative was reauthorized by the Tax Increment Financing Reauthorization Act of 2002, which included an increase in TIF authority to a total of \$500 million. In addition, the District Council approved other project-based tax-supported revenue bonds, including Tax Increment Financings, outside the scope of the TIF Act.

This program contains the following 7 ongoing projects:

- **Gallery Place** – a mixed-use project that opened in the summer of 2004 and has an estimated debt service payment of \$4,311,500;
- **The Mandarin Oriental Hotel** – opened in March 2004 and has an estimated debt service payment of \$4,504,125;
- **Verizon Center** – funded arena improvements to be repaid using an incremental tax of 4.25 percent on merchandise and tickets sold at the venue, with an estimated debt service payment of \$3,517,274;
- **City Market at O Street** – a mixed-use development project under construction that has an estimated debt service payment of \$2,097,131;
- **Special Retail and Great Streets** – smaller TIF financings issued under a special retail TIF program in the downtown area and retail or mixed-use projects located in Great Streets areas. Estimated debt service for debt issued under these programs is \$2,540,642;
- **Convention Center Hotel** – opened in May 2014 and has an estimated debt service of \$10,466,846; and
- **Waterfront Park Maintenance Fund** – has been established to deposit sales tax revenues and special property assessments attributable to the Waterfront Park Retail Area in order to pay the Capitol Riverfront BID for costs of maintaining “Yards Park.” In FY 2016, these funds are estimated to be \$445,609.

In addition, the program includes the following projects that will begin construction in FY 2015:

- **Skyland** – a mixed-use development project with an estimated debt service payment of \$2,240,000 to be paid from capitalized interest;
- **Fort Lincoln Phase II** – retail strip mall in Ward 5 with an estimated debt service of \$506,060; and
- **Southwest Waterfront** – also known as “The Wharf,” this project financing is intended to pay for the public infrastructure and parks in and around a mixed-use large-scale redevelopment project along the Potomac River in Southwest, DC. In FY 2016, TIF budget authority is not requested for this project.

For certain projects, a revenue contingency of \$26,627,043 is budgeted if projected revenues are higher than minimum debt service payments, in accordance with the bond agreements. Depending on the structure of bond documents, such additional revenues may be used to pre-pay principal on TIF financings, reserved for future debt service payments, or returned to the District’s General Fund. For FY 2016, the District is expected to be entitled to refunds from the Gallery Place and Mandarin bond trustees totaling \$9,075,794. This is the amount by which estimated tax increments from the Gallery Place and Mandarin projects exceed scheduled bond debt service. This transfer to the General Fund is funded within the revenue contingency budget.

Finally, the budget includes \$7,000,000 from fund balance from the H Street Retail Priority Area.

### Program Structure Change

The Tax Increment Financing (TIF) Program has no program structure changes in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table TX0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table TX0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Tax Increment Financing (TIF)</b>								
(1100) Tax Increment Financing (TIF)	49,586	60,439	64,256	3,817	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Tax Increment Financing (TIF)</b>	<b>49,586</b>	<b>60,439</b>	<b>64,256</b>	<b>3,817</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>49,586</b>	<b>60,439</b>	<b>64,256</b>	<b>3,817</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Budget Changes

The Tax Increment Finance (TIF) Program’s proposed FY 2016 gross budget is \$64,256,229, which represents a 6.3 percent increase over its FY 2015 approved gross budget of \$60,438,790. The budget is comprised entirely of Enterprise and Other Funds – Dedicated Taxes funds.

### Agency Budget Submission

**Decrease:** The budget decreased by a net \$3,182,561 to align it with projected dedicated tax revenues and the latest revenue estimates provided by the Office of Revenue Analysis.

The decrease of the budget is primarily attributable to the following:

- Fort Lincoln Phase I was fully repaid in December of FY 2015. The annual debt service of Fort Lincoln Phase II is expected to be less than Phase I;
- H Street Retail Priority Grant of \$5,000,000, authorized for the uses specified in the H Street, NE Retail Priority Incentive Act of 2010, expired in FY 2015 and is not budgeted in FY 2016; and
- Convention Center Hotel has a lower debt service budget due to a revised projection.

One item that partially offsets these decreases is the City market at O Street debt service increased to reflect start of principal repayments.

### Mayor’s Proposed Budget

**Increase:** The Tax Increment Finance (TIF) Program’s budget proposal reflects an increase of \$7,000,000 to cover a transfer to the General Fund from the H Street portion of the accumulated TIF fund.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table TX0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table TX0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS-DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>60,439</b>	<b>0.0</b>
Decrease: To align budget with scheduled debt service payments	Tax Increment Financing	-3,183	0.0
<b>ENTERPRISE AND OTHER FUNDS-DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>57,256</b>	<b>0.0</b>
Increase: To cover a transfer to the General Fund from the H Street portion	Tax Increment Financing	7,000	0.0
<b>ENTERPRISE AND OTHER FUNDS-DEDICATED TAXES: FY 2016 Mayor’s Proposed Budget</b>		<b>7,000</b>	<b>0.0</b>
<b>Gross for TX0 - Tax Increment Financing (TIF) Program</b>		<b>64,256</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)





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# Ballpark Revenue Fund

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<b>Description</b>	<b>FY 2014 Actual</b>	<b>FY 2015 Approved</b>	<b>FY 2016 Proposed</b>	<b>% Change from FY 2015</b>
Operating Budget	\$126,971,070	\$68,800,000	\$67,507,000	-1.9

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The Ballpark Revenue Fund is a non-lapsing special fund to pay certain costs of the development, construction, and renovation of a stadium that has as its primary purpose the hosting of professional athletic events in the District. The Ballpark Omnibus Financing and Revenue Act of 2004 created the fund, effective April 8, 2005 (D.C. Law 15-320; D.C. Official Code § 10-1601.01 et seq.). The District of Columbia owns the stadium.

The agency's FY 2016 proposed budget is presented in the following tables:

## **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table BK0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BK0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>General Fund</b>						
Dedicated Taxes	72,136	116,013	0	0	0	N/A
Special Purpose Revenue Funds	10,111	10,958	0	0	0	N/A
<b>Total for General Fund</b>	<b>82,247</b>	<b>126,971</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds - Dedicated Tax	0	0	57,656	56,171	-1,485	-2.6
Enterprise and Other Funds	0	0	11,144	11,336	192	1.7
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>68,800</b>	<b>67,507</b>	<b>-1,293</b>	<b>-1.9</b>
<b>Gross Funds</b>	<b>82,247</b>	<b>126,971</b>	<b>68,800</b>	<b>67,507</b>	<b>-1,293</b>	<b>-1.9</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to Schedule 80 Agency Summary by Revenue Source in the FY 2016 Operating Appendices located on the Office of the Chief Financial Officer's website.

## **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table BK0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table BK0-2**  
(dollars in thousands)

<b>Comptroller Source Group</b>	<b>Actual FY 2013</b>	<b>Actual FY 2014</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
50 - Subsidies and Transfers	50,492	73,367	35,400	34,766	-634	-1.8
80 - Debt Service	31,755	53,604	33,400	32,741	-659	-2.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>82,247</b>	<b>126,971</b>	<b>68,800</b>	<b>67,507</b>	<b>-1,293</b>	<b>-1.9</b>
<b>Gross Funds</b>	<b>82,247</b>	<b>126,971</b>	<b>68,800</b>	<b>67,507</b>	<b>-1,293</b>	<b>-1.9</b>

\*Percent change is based on whole dollars.

## Program Description

The Ballpark Revenue Fund operates through the following 2 programs:

**Office of the Chief Financial Officer** – collects applicable taxes related to baseball in the District, including a ballpark fee on businesses within the District with over \$5,000,000 in gross receipts, a sales tax at the point of sale on tickets of admission to certain events at the ballpark, a sales tax on certain personal property and services at the ballpark, a sales tax on food and beverages, a tax on parking at the ballpark, lease payments from the Washington Nationals team to occupy the baseball stadium, and a utility tax collected from non-residential users.

**Capital Project – Ballpark** – provides for anticipated monetary debt service payments related to the construction and ancillary long-term costs of the construction of the District’s baseball stadium.

## Program Structure Change

The Ballpark Revenue Fund has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table BK0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table BK0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(4000) Office of the Chief Financial Officer</b>								
(4100) Office of Finance and Treasury	5,479	5,572	5,668	96	0.0	0.0	0.0	0.0
(4200) Office of Tax and Revenue	67,888	29,828	29,098	-730	0.0	0.0	0.0	0.0
<b>Subtotal (4000) Office of the Chief Financial Officer</b>	<b>73,367</b>	<b>35,400</b>	<b>34,766</b>	<b>-634</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>(8000) Capital Project - Ballpark</b>								
(8008) Baseball Debt Service	53,604	33,400	32,741	-659	0.0	0.0	0.0	0.0
<b>Subtotal (8000) Capital Project - Ballpark</b>	<b>53,604</b>	<b>33,400</b>	<b>32,741</b>	<b>-659</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>126,971</b>	<b>68,800</b>	<b>67,507</b>	<b>-1,293</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency’s programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer’s website.

## **FY 2016 Proposed Budget Changes**

The Ballpark Revenue Fund's proposed FY 2016 gross budget is \$67,507,000, which represents a 1.9 percent decrease from its FY 2015 approved gross budget of \$68,800,000. The budget is comprised of \$56,171,000 in Enterprise and Other Funds - Dedicated Tax and \$11,336,000 in Enterprise and Other Funds.

### **Agency Budget Submission**

**Increase:** The proposed Enterprise and Other funds budget supports an increase of \$192,000. Of that amount, a total of \$96,000 supports changes to debt service payments and \$96,000 supports the collection of certified revenue from ballpark rent payments and other applicable income from the ballpark activities.

**Decrease:** The proposed Enterprise and Other funds - Dedicated Tax budget reflects a decrease of \$1,485,000. Of that amount, \$730,000 reflects changes to debt service payments related to the finance obligations associated with the construction of the District's baseball stadium and \$755,000 reflects the collection of dedicated taxes related to ballpark activities.

### **Mayor's Proposed Budget**

**No Change:** The Ballpark Revenue Fund's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table BK0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table BK0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUND -</b>			
<b>DEDICATED TAXES: FY 2015 Approved Budget and FTE</b>		<b>57,656</b>	<b>0.0</b>
Decrease: To align budget with scheduled debt service payments	Office of Chief Financial Officer	-730	0.0
Decrease: To align budget with scheduled debt service payments	Capital Project - Ballpark	-755	0.0
<b>ENTERPRISE AND OTHER FUND -</b>			
<b>DEDICATED TAXES: FY 2016 Agency Budget Submission</b>		<b>56,171</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUND -</b>			
<b>DEDICATED TAX: FY 2016 Mayor's Proposed Budget</b>		<b>56,171</b>	<b>0.0</b>
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>11,144</b>	<b>0.0</b>
Increase: To align budget with scheduled debt service payments	Capital Project - Ballpark	96	0.0
Increase: To align budget with scheduled debt service payments	Office of Chief Financial Officer	96	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>11,336</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>11,336</b>	<b>0.0</b>
<b>Gross for BK0 - Ballpark Revenue Fund</b>		<b>67,507</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



(TY0)

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# Repayment of PILOT Financing

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<b>Description</b>	<b>FY 2014 Actual</b>	<b>FY 2015 Approved</b>	<b>FY 2016 Proposed</b>	<b>% Change from FY 2015</b>
Operating Budget	\$13,722,809	\$15,881,839	\$18,740,916	18.0

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Repayment of PILOT Financing is a program through which the District provides economic development project funds by borrowing against the future receipts from Payment-in-Lieu-of-Taxes (PILOT).

A PILOT payment on debt issued under the District's PILOT Act equals the amount the property owner would otherwise pay in property taxes, based on the assessed value of the property. The repayment of the borrowing against this future PILOT revenue is budgeted in this program. This borrowing is similar in concept to Tax Increment Financing, in which the District borrows against future tax receipts and uses the proceeds to spur economic development.

The agency's FY 2016 proposed budget is presented in the following tables:



## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table TY0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table TY0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Special Purpose Revenue Funds	10,949	13,723	0	0	0	N/A
<b>Total for General Fund</b>	<b>10,949</b>	<b>13,723</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds-Dedicated Tax	0	0	15,882	18,741	2,859	18.0
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>15,882</b>	<b>18,741</b>	<b>2,859</b>	<b>18.0</b>
<b>Gross Funds</b>	<b>10,949</b>	<b>13,723</b>	<b>15,882</b>	<b>18,741</b>	<b>2,859</b>	<b>18.0</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table TY0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table TY0-2**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	396	3,174	0	0	0	N/A
80 - Debt Service	10,553	10,549	15,882	18,741	2,859	18.0
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>10,949</b>	<b>13,723</b>	<b>15,882</b>	<b>18,741</b>	<b>2,859</b>	<b>18.0</b>
<b>Gross Funds</b>	<b>10,949</b>	<b>13,723</b>	<b>15,882</b>	<b>18,741</b>	<b>2,859</b>	<b>18.0</b>

\*Percent change is based on whole dollars.

## **Program Description**

Repayment of PILOT Financing operates through the following program:

**Repayment of PILOT Financing** – provides budget authority for debt borrowed against the following PILOT projects:

- **U.S. Department of Transportation headquarters building** – PILOT bond proceeds supported the District’s park and infrastructure improvements in the Anacostia Waterfront Initiative (AWI) area. The debt service payment in FY 2016 is estimated to be \$10,549,700;
- **Southeast Federal Center** – PILOT debt issued under this program supports public infrastructure paid for by the developer of The Yards project, a multi-stage, mixed use development near the Navy Yard. The first issuance was in FY 2010 and has an estimated debt service payment of \$397,900 in FY 2016. The second issuance was in FY 2015 and has an estimated debt service payment of \$3,395,207 in FY 2016; and
- **Rhode Island Metro Plaza** – The Rhode Island Metro Plaza project contains new residential apartments and retail shops as well as a parking garage for the Washington Metropolitan Area Transit Authority. The debt service payment in FY 2016 is estimated to be \$612,000.

In addition, the program budget contains a contingency of \$3,786,109 if projected PILOT revenues are higher than minimum debt service and must be paid to the bond holder, or returned to the District for AWI capital projects, in accordance with bond agreements. Of this amount, an estimated \$2,400,000 is anticipated to be returned to the General Fund for Anacostia Waterfront capital projects.

## **Program Structure Change**

Repayment of PILOT Financing has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table TY0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table TY0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Repayment of PILOT Financing</b>								
(1100) Repayment of PILOT Financing	13,723	15,882	18,741	2,859	0.0	0.0	0.0	0.0
<b>Subtotal (1000) Repayment of PILOT Financing</b>	<b>13,723</b>	<b>15,882</b>	<b>18,741</b>	<b>2,859</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>13,723</b>	<b>15,882</b>	<b>18,741</b>	<b>2,859</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

### FY 2016 Proposed Budget Changes

Repayment of PILOT Financing's proposed FY 2016 gross budget is \$18,740,916, which represents a 18.0 percent increase over its FY 2015 approved budget of \$15,881,839. The budget is comprised entirely of Enterprise and Other Funds – Dedicated Taxes funds.

#### Agency Budget Submission

**Increase:** The Repayment of PILOT Financing provides budget authority for debt borrowed against multiple PILOT projects. The budget request for FY 2016 increased from FY 2015 by \$2,859,077 to align the budget with projected dedicated revenues and scheduled debt service payments. This budget increase is primarily attributed to the second issuance of debt for the Southeast Federal Center mixed-use development. The repayment of the borrowing against the future PILOT revenue is budgeted in the PILOT program for the debt service.

#### Mayor's Proposed Budget

**No Change:** Repayment of PILOT Financing's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## **FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type**

Table TY0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table TY0-4**  
(dollars in thousands)

<b>DESCRIPTION</b>	<b>PROGRAM</b>	<b>BUDGET</b>	<b>FTE</b>
<b>ENTERPRISE AND OTHER FUND-DEDICATED TAXES:</b>			
<b>FY 2015 Approved Budget and FTE</b>		<b>15,882</b>	<b>0.0</b>
Increase: To align budget with scheduled debt service payments	Repayment of PILOT Financing	2,859	0.0
<b>ENTERPRISE AND OTHER FUND-DEDICATED TAXES:</b>			
<b>FY 2016 Agency Budget Submission</b>		<b>18,741</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUND-DEDICATED TAXES:</b>			
<b>FY 2016 Mayor's Proposed Budget</b>		<b>18,741</b>	<b>0.0</b>
<b>Gross for TY0 - Repayment of PILOT Financing</b>		<b>18,741</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



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# Not-For-Profit Hospital Corporation

**www.united-medicalcenter.com**

**Telephone: 202-574-6000**

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Description	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$112,000,000	\$129,000,000	15.2

**Note:** Prior year actuals are not reported for the Not-For-Profit Hospital Corporation because the agency does not use the District's financial system for its actual transactions.

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The mission of the Not-For-Profit Hospital Corporation (NFPHC) is dedicated to the health and well-being of individuals and communities entrusted to our care. NFPHC will be an efficient, high value, patient-focused provider of high-quality healthcare to improve the lives of District residents. We will employ innovative approaches that yield excellent experiences and will empower healthcare professionals as they work to care for our patients. We will pursue this vision through collaboration with other providers and as part of a larger District-based delivery system.

NFPHC, commonly known as United Medical Center (UMC) and United Medical Nursing Center, is an independent District instrumentality, created by legislation adopted by the Council of the District of Columbia. It provides inpatient, outpatient, psychiatric, and emergency care, and a skilled nursing facility (SNF). NFPHC is located east of the Anacostia River in the Southeastern section of Washington, D.C. Its primary service market includes residents of Wards 7 and 8 and the state of Maryland's Prince George's County. Approximately 80 percent of United Medical Center's hospital admissions were paid for by the public programs Medicare or Medicaid.

NFPHC is governed by a 14-member Board of Directors, 11 of whom are voting members and three of whom are non-voting members. Six members are appointed by the Mayor and three members are appointed by the Council of the District of Columbia. The Chief Financial Officer of the District, or his or her designee, and a representative of the entity maintaining the largest collective bargaining agreement with the corporation serve as ex officio voting members. The Chief Executive Officer and Chief Medical Officer of NFPHC and the President of the District of Columbia Hospital Association serve as non-voting ex officio members.

NFPHC, unlike certain other District Enterprise and Other funds such as the Washington Convention and Sports Authority and the University of the District of Columbia, does not receive a regular subsidy from General Fund taxes.

The Board of Directors of NFPHC adopted a new Strategic Vision and Plan on August 2, 2013, and this Plan was approved by the Mayor and endorsed by the District Council. The Council in that endorsement urged the Board and the Executive to carry out the Plan, including recommendations from Huron Consulting, “swiftly.” Five major areas within this plan of focus remain:

- Align with a partner(s) and position UMC to thrive under healthcare reform;
- Achieve financial stability;
- Recruit, deploy, and retain talented and enthusiastic personnel focused on providing excellent patient experiences;
- Achieve superior quality and patient safety outcomes; and
- Contribute to overall health within the communities that UMC serves.

The agency’s FY 2016 proposed budget is presented in the following tables:

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### **FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type**

Table HW0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget.

**Table HW0-1**  
(dollars in thousands)

<b>Appropriated Fund</b>	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b><u>Enterprise and Other</u></b>				
Enterprise and Other Funds	112,000	129,000	17,000	15.2
<b>Total for Enterprise and Other</b>	<b>112,000</b>	<b>129,000</b>	<b>17,000</b>	<b>15.2</b>
<b>Gross Funds</b>	<b>112,000</b>	<b>129,000</b>	<b>17,000</b>	<b>15.2</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer’s website.

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### **FY 2016 Proposed Operating Budget, by Comptroller Source Group**

Table HW0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget.

**Table HW0-2**  
(dollars in thousands)

	<b>Approved FY 2015</b>	<b>Proposed FY 2016</b>	<b>Change from FY 2015</b>	<b>Percent Change*</b>
<b>Comptroller Source Group</b>				
50 - Subsidies and Transfers	112,000	129,000	17,000	15.2
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>112,000</b>	<b>129,000</b>	<b>17,000</b>	<b>15.2</b>
<b>Gross Funds</b>	<b>112,000</b>	<b>129,000</b>	<b>17,000</b>	<b>15.2</b>

\*Percent change is based on whole dollars.

## Program Description

The Not-For-Profit Hospital Corporation operates through revenues generated primarily, though not exclusively, through its Hospital patient and SNF resident operations.

**Hospital Services** – NFPHC operates an acute care program with 234 licensed acute care beds, which provides medical, surgical, psychiatric, and obstetric care. Other hospital services include adult emergency care and outpatient and Land diagnostic services. Children’s National Medical Center, through a lease arrangement and as a separately licensed organization, provides pediatric emergency care on the campus of NFPHC.

**SNF Services** – With a capacity of 120 beds, the SNF provides skilled nursing services to chronically ill residents, with a significant percentage of the patients being the elderly.

## Program Structure Change

The Not-For-Profit Hospital Corporation has no program structure changes in the FY 2016 proposed budget.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HW0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget.

**Table HW0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands			Full-Time Equivalents		
	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Not-For-Profit Hospital Corporation</b>						
(1001) Not-For-Profit Hospital Corporation	112,000	129,000	17,000	0.0	0.0	0.0
<b>Subtotal (1000) Not-For-Profit Hospital Corporation</b>	<b>112,000</b>	<b>129,000</b>	<b>17,000</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>112,000</b>	<b>129,000</b>	<b>17,000</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency’s programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer’s website.



## FY 2016 Proposed Budget Changes

The Not-For-Profit Hospital Corporation's (NFPHC) proposed FY 2016 gross budget is \$129,000,000, which represents a 15.2 percent increase over its FY 2015 approved gross budget of \$112,000,000. The budget is comprised entirely of Enterprise and Other funds.

### Agency Budget Submission

**Increase:** The proposed budget includes additional funding in the amount of \$17,000,000, which is based on projected revenues that NFPHC expects to collect in FY 2016 as planned service expansion continues.

### Mayor's Proposed Budget

**No Change:** The Not-For-Profit Hospital Corporation's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

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## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HW0-4 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HW0-4**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>112,000</b>	<b>0.0</b>
Increase: To align budget with projected revenues	Not-for-Profit Hospital Corporation	17,000	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>129,000</b>	<b>0.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>129,000</b>	<b>0.0</b>
<b>Gross for HW0 - Not-for-Profit Hospital Corporation</b>		<b>129,000</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

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# Health Benefit Exchange Authority

[www.hbx.dc.gov](http://www.hbx.dc.gov)

Telephone: 202-715-7576

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$33,034,067	\$28,751,244	\$32,513,186	13.1
FTEs	38.2	54.0	73.0	35.2

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The mission of the D.C. Health Benefit Exchange Authority is to implement a health care exchange program in the District of Columbia in accordance with the Patient Protection and Affordable Care Act, thereby ensuring access to quality and affordable health care to all District of Columbia residents.

## Summary of Services

In March of 2010, the Patient Protection and Affordable Care Act of 2010 was signed into law with the central goal of ensuring that all Americans have access to quality, affordable health care. In 2014, significant health insurance reforms were implemented including the establishment of Health Benefit Exchanges nationwide. The D.C. Health Benefit Exchange Authority is a quasi-governmental agency of the District of Columbia government charged with implementing and operating the District's Health Benefit Exchange. The Health Benefit Exchange is an online marketplace for District residents and small businesses to compare private health insurance plans, learn if they are eligible for tax credits or subsidies to purchase private insurance or qualify for public health programs such as Medicaid, and enroll in a health plan that best meets their needs. The Health Benefit Exchange will enable individuals and small employers to find affordable and easier-to-understand health insurance and assist small employers in purchasing qualified health plans for their employees. Enrollment in the District's Health Benefit Exchange began October 2013, with coverage starting January 1, 2014.

The agency's FY 2016 proposed budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table HI0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HI0-1**  
(dollars in thousands)

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Special Purpose Revenue Funds	10,915	33,034	0	0	0	N/A
<b>Total for General Fund</b>	<b>10,915</b>	<b>33,034</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds	0	0	28,751	32,513	3,762	13.1
<b>Total for Enterprise and Other</b>	<b>0</b>	<b>0</b>	<b>28,751</b>	<b>32,513</b>	<b>3,762</b>	<b>13.1</b>
<b>Gross Funds</b>	<b>10,915</b>	<b>33,034</b>	<b>28,751</b>	<b>32,513</b>	<b>3,762</b>	<b>13.1</b>

\*Percent change is based on whole dollars.

**Notes:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Full-Time Equivalents, by Revenue Type

Table HI0-2 contains the proposed FY 2016 FTE level compared to the FY 2015 approved FTE level by revenue type. It also provides FY 2013 and FY 2014 actual data.

**Table HI0-2**

Appropriated Fund	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>						
Special Purpose Revenue Funds	0.0	38.2	0.0	0.0	0.0	N/A
<b>Total for General Fund</b>	<b>0.0</b>	<b>38.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>N/A</b>
<b>Enterprise and Other</b>						
Enterprise and Other Funds	0.0	0.0	54.0	73.0	19.0	35.2
<b>Total for Enterprise and Other</b>	<b>0.0</b>	<b>0.0</b>	<b>54.0</b>	<b>73.0</b>	<b>19.0</b>	<b>35.2</b>
<b>Total Proposed FTEs</b>	<b>0.0</b>	<b>38.2</b>	<b>54.0</b>	<b>73.0</b>	<b>19.0</b>	<b>35.2</b>

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table HI0-3 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2013 and FY 2014 actual expenditures.

**Table HI0-3**  
(dollars in thousands)

Comptroller Source Group	Actual FY 2013	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
11 - Regular Pay - Continuing Full Time	1,580	4,052	5,762	6,903	1,140	19.8
12 - Regular Pay - Other	0	25	394	834	440	111.7
13 - Additional Gross Pay	23	111	0	111	111	N/A
14 - Fringe Benefits - Current Personnel	196	635	1,478	1,912	435	29.4
15 - Overtime Pay	2	28	0	0	0	N/A
<b>Subtotal Personal Services (PS)</b>	<b>1,801</b>	<b>4,851</b>	<b>7,634</b>	<b>9,760</b>	<b>2,126</b>	<b>27.8</b>
20 - Supplies and Materials	14	26	20	63	44	224.5
31 - Telephone, Telegraph, Telegram, Etc.	50	97	68	301	233	343.5
32 - Rentals - Land and Structures	0	465	1,588	1,801	213	13.4
40 - Other Services and Charges	51	257	2,357	2,175	-182	-7.7
41 - Contractual Services - Other	8,534	22,736	17,060	18,388	1,328	7.8
50 - Subsidies and Transfers	464	4,520	0	0	0	N/A
70 - Equipment and Equipment Rental	0	81	25	25	0	-1.2
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>9,114</b>	<b>28,183</b>	<b>21,117</b>	<b>22,753</b>	<b>1,636</b>	<b>7.7</b>
<b>Gross Funds</b>	<b>10,915</b>	<b>33,034</b>	<b>28,751</b>	<b>32,513</b>	<b>3,762</b>	<b>13.1</b>

\*Percent change is based on whole dollars.

## Program Description

The Health Benefit Exchange Authority operates through the following 5 programs:

**Consumer Education and Outreach** – educates and informs District residents, small business owners, and small business employees about health coverage options available through DC Health Link by organizing special events, participating in sponsored activities, conducting educational seminars, partnering with other District agencies and organizations as well as conducting intensive outreach through all of these methods.

This program contains the following 3 activities:

- **Consumer Education and Outreach Support Service** – educates District residents, small business owners and small business employees about health coverage options available through DC Health Link by organizing special events, participating in outside events, conducting educational seminars, partnering with other District agencies and organizations, and conducting intensive outreach through all of these methods;
- **Marketing and Communication** - provides support and awareness for DC Health Link through development of an earned media plan, printed materials for distribution, paid media campaign that may include outdoor advertising, broadcast, newspapers and other publications, digital, and social media avenues; and
- **Navigators Counselors and In Person Assisters (IPA)** - the Navigator program is required by the Affordable Care Act. Navigators and Certified Application Counselors provide people one-on-one help with enrollment.

**Marketplace Innovation and Policy Operations** – performs functions required of all state-based marketplaces, including plan management eligibility determinations, and certification of qualified health and dental plans, as well as to ensure the efficient operation of an on-line insurance marketplace where individuals, families, small businesses, and their employees can shop and enroll in health insurance.

This program contains the following 6 activities:

- **Contact Center** – required for state-based marketplaces and is a condition for certification as a state-based marketplace. Contact center takes calls to assist consumers with DC Health Link questions and on-line applications. Processes paper applications and provides information for escalated cases to the HBX and Economic Security Administration (ESA);
- **Plan Management** - required for state-based marketplaces and is a condition for certification as a state-based marketplace. Working in conjunction with local and federal regulatory bodies, establishes and oversees the process to certify, recertify, and decertify Qualified Health Plans and Qualified Dental Plans available through DC Health Link. Also manages enrollment issues between Qualified Health Plan and Qualified Dental Plan carriers including the coordination of all Electronic Data Interchange (EDI)-related transactions to and from DC Health Link;
- **Eligibility and Enrollment** - required for state-based marketplaces and is a condition for certification as a state-based marketplace. With the support of IT, designs and manages the eligibility and enrollment process through a seamless, web-based application to determine individual and family member eligibility for Medicaid and/or advanced premium tax credits and to enable individuals and families to enroll in qualified health plans and qualified dental plans available through DC Health Link, manages and facilitates a legally required consumer appeals process; and, as required by federal law, provides tax reporting information to consumers and the IRS;
- **Member Services** - responsible for core customer service responsibilities essential to successful Exchange operations and evaluated as part of the state-based marketplace certification process. Researches complex customer service problems and works with multiple stakeholders to resolve those issues. Provides assistance to consumers with complex circumstances and to those needing extra help navigating the DC Health Link online marketplace, resolving any technical difficulties a customer may experience,

ensuring that changes to eligibility and enrollment information are quickly updated and processed, enabling consumers to conduct certain services (such as address changes, reporting of life events, or special enrollment period transactions) over the phone, and resolving all escalated cases from the Contact Center and other sources;

- **Data Analytics and Reporting** - responsible for the development and implementation of federally required data reporting requirements and consumer-related surveys. This team manages the end-to-end process of developing functionality for electronic federal data reporting as well as the creation and dissemination of required IRS 1095A forms for tax reporting purposes. Develops consumer-related surveys around enrollment and satisfaction with DC Health Link; and
- **S.H.O.P. Operations** - required for state-based marketplaces and is a condition for certification as a state-based marketplace. Develops, operates and manages DC Health Link's Small Business Health Options Program (SHOP). The SHOP facilitates enrollment into qualified health plans for employees of small businesses that purchase coverage through the DC Health Link. This team manages that process from end-to-end, designs system improvements, and troubleshoots systems issues to ensure effective operation of the SHOP Marketplace. Works with IT on design, manages broker relationships, training, certification, and cases. Conducts outreach and works with the small business community.

**IT Related Operations** – maintains, improves, and supports the Information Technology (IT) components necessary to operate DC Health Link.

**Agency Management** – provides for administrative support and the required tools to achieve operational and programmatic results. This program is standard for all agencies using performance-based budgeting.

**Agency Financial Operations** – provides comprehensive and efficient financial management services to, and on behalf of, District agencies so that the financial integrity of the District of Columbia is maintained. This program is standard for all agencies using performance-based budgeting.

### **Program Structure Change**

The proposed program structure changes are provided in the Agency Realignment appendix to the proposed budget, which is located at [www.cfo.dc.gov](http://www.cfo.dc.gov) on the Annual Operating Budget and Capital Plan page.

## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table HI0-4 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table HI0-4**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Agency Management</b>								
(1010) Personnel	46	301	261	-40	1.0	1.0	1.0	0.0
(1015) Training	62	35	15	-20	0.0	0.0	0.0	0.0
(1020) Contracts and Procurement	11,943	1,614	623	-991	2.1	5.0	5.0	0.0
(1030) Property Management	871	1,083	1,467	384	0.0	0.0	0.0	0.0
(1040) Information Technology	10,805	9,107	110	-8,997	2.1	5.0	1.0	-4.0
(1055) Risk Management	300	100	0	-100	0.0	0.0	0.0	0.0
(1060) Legal Services	765	1,025	886	-139	4.1	5.0	3.5	-1.5
(1080) Communications	336	486	0	-486	3.1	3.0	0.0	-3.0
(1085) Customer Service	323	329	0	-329	3.1	4.0	0.0	-4.0
(1090) Performance Management	1,116	2,734	1,242	-1,492	6.2	11.0	5.5	-5.5
<b>Subtotal (1000) Agency Management</b>	<b>26,568</b>	<b>16,815</b>	<b>4,605</b>	<b>-12,210</b>	<b>21.7</b>	<b>34.0</b>	<b>16.0</b>	<b>-18.0</b>
<b>(100F) Agency Financial Operations</b>								
(110F) Budget Operations	112	120	133	12	1.0	1.0	1.0	0.0
(120F) Accounting Operations	132	124	137	13	2.1	1.0	1.0	0.0
(140F) Agency Fiscal Officer	233	422	436	14	3.1	2.0	2.0	0.0
<b>Subtotal (100F) Agency Financial Operations</b>	<b>477</b>	<b>666</b>	<b>706</b>	<b>40</b>	<b>6.2</b>	<b>4.0</b>	<b>4.0</b>	<b>0.0</b>
<b>(2000) Plan Management</b>								
(2010) Plan Management Support Service	440	5,919	0	-5,919	2.1	4.0	0.0	-4.0
<b>Subtotal (2000) Plan Management</b>	<b>440</b>	<b>5,919</b>	<b>0</b>	<b>-5,919</b>	<b>2.1</b>	<b>4.0</b>	<b>0.0</b>	<b>-4.0</b>
<b>(3000) Eligibility and Enrollment</b>								
(3010) Eligibility and Enrollment Support Service	244	2,698	0	-2,698	2.1	2.0	0.0	-2.0
<b>Subtotal (3000) Eligibility and Enrollment</b>	<b>244</b>	<b>2,698</b>	<b>0</b>	<b>-2,698</b>	<b>2.1</b>	<b>2.0</b>	<b>0.0</b>	<b>-2.0</b>
<b>(4000) S.H.O.P. Operations</b>								
(4010) S.H.O.P. Operations Support Service	243	373	0	-373	2.1	3.0	0.0	-3.0
<b>Subtotal (4000) S.H.O.P. Operations</b>	<b>243</b>	<b>373</b>	<b>0</b>	<b>-373</b>	<b>2.1</b>	<b>3.0</b>	<b>0.0</b>	<b>-3.0</b>
<b>(5000) Consumer Education and Outreach</b>								
(5010) Consumer Education and Outreach Support Services	4,520	1,479	738	-741	0.0	2.0	3.0	1.0
(5020) Marketing and Communication	0	0	315	315	0.0	0.0	2.0	2.0
(5040) Navigators Counselors and IPA	0	0	244	244	0.0	0.0	0.5	0.5
<b>Subtotal (5000) Consumer Education and Outreach</b>	<b>4,520</b>	<b>1,479</b>	<b>1,297</b>	<b>-182</b>	<b>0.0</b>	<b>2.0</b>	<b>5.5</b>	<b>3.5</b>

(Continued on next page)

**Table HI0-4 (Continued)**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(6000) Business Development</b>								
(6010) Business Development Support Services	542	802	0	-802	4.1	5.0	0.0	-5.0
<b>Subtotal (6000) Business Development</b>	<b>542</b>	<b>802</b>	<b>0</b>	<b>-802</b>	<b>4.1</b>	<b>5.0</b>	<b>0.0</b>	<b>-5.0</b>
<b>(7000) Marketplace Innovation and Policy Operations</b>								
(7010) Contact Center	0	0	4,882	4,882	0.0	0.0	1.0	1.0
(7020) Plan Management	0	0	2,150	2,150	0.0	0.0	4.0	4.0
(7030) Eligibility and Enrollment	0	0	3,304	3,304	0.0	0.0	5.5	5.5
(7040) Member Services	0	0	1,357	1,357	0.0	0.0	12.0	12.0
(7050) Data Analytics and Reporting	0	0	389	389	0.0	0.0	3.0	3.0
(7060) S.H.O.P Operations	0	0	2,429	2,429	0.0	0.0	11.0	11.0
<b>Subtotal (7000) Marketplace Innovation and Policy Operations</b>	<b>0</b>	<b>0</b>	<b>14,510</b>	<b>14,510</b>	<b>0.0</b>	<b>0.0</b>	<b>36.5</b>	<b>36.5</b>
<b>(8000) IT Related Operations</b>								
(8010) IT Related Operations	0	0	11,396	11,396	0.0	0.0	11.0	11.0
<b>Subtotal (8000) IT Related Operations</b>	<b>0</b>	<b>0</b>	<b>11,396</b>	<b>11,396</b>	<b>0.0</b>	<b>0.0</b>	<b>11.0</b>	<b>11.0</b>
<b>Total Proposed Operating Budget</b>	<b>33,034</b>	<b>28,751</b>	<b>32,513</b>	<b>3,762</b>	<b>38.2</b>	<b>54.0</b>	<b>73.0</b>	<b>19.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's divisions, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.



## **FY 2016 Proposed Budget Changes**

The Health Benefit Exchange Authority's (HBX) proposed FY 2016 gross budget is \$32,513,186, which represents a 13.0 percent increase over its FY 2015 approved gross budget of \$28,751,244. The budget is comprised entirely of Enterprise and Other funds.

### **Agency Budget Submission**

One of the primary goals of the Affordable Care Act (ACA) is to reduce the number of uninsured Americans. In the District of Columbia, more than 1,800 new people have signed up for new health insurance plans in 2014 through the health insurance marketplace, and 13,100 existing customers have either renewed their insurance plans or have made changes to existing plans. To more effectively meet the ACA's mission and the growing needs of District residents, the Health Benefit Exchange Authority (The Exchange) will establish two new programs in FY 2016; Marketplace Innovation and Policy Operations, and IT Related Operations.

**Increase:** A nonpersonal services budget of \$9,777,535 (excluding fixed costs) is proposed for the newly formed Marketplace Innovation and Policy Operations program. Of this amount, \$9,403,939 will be used in Contractual Services for call center operations, eligibility and enrollment services, and premium aggregation services. The remaining \$373,596 will be allocated to fulfill additional ACA requirements such as printed materials and services, record retention services, certification processes and quality rating services.

The IT Related Operations program has a proposed nonpersonal services budget of \$9,466,819. These funds will be used to obtain software licenses and hardware support for ACA requirements and maintenance agreements. IT consultants will also be utilized to improve on ACA system efficiencies and upgrades. Furthermore, an increase of \$1,690,968 is necessary to support projected step increases and 19.0 FTEs across the agency to support program objectives. The fixed costs estimates for Telephone and Rent collectively account for an increase of \$446,665, and Fringe Benefits are projected to increase by \$434,813.

**Decrease:** A decrease of \$2,092,189 across multiple programs is necessary to align funding with projected expenditures. The Exchange will decrease the budget within the Plan Management, Eligibility and Enrollment, Business Development, and S.H.O.P Operations programs to adequately support the newly formed Marketplace Innovation and Policy Operations, and IT Related Operations programs. These actions will result in a nonpersonal services decrease of \$7,219,612. Lastly, a decrease of \$8,743,056 in Contractual Services – Other is the result of the IT budget being reallocated from the Agency Management program to the IT Related Operations program.

### **Mayor's Proposed Budget**

**No Change:** The Health Benefit Exchange Authority's budget proposal reflects no change from the agency budget submission to the Mayor's proposed budget.

## FY 2015 Approved Budget to FY 2016 Proposed Budget, by Revenue Type

Table HI0-5 itemizes the changes by revenue type between the FY 2015 approved budget and the FY 2016 proposed budget.

**Table HI0-5**  
(dollars in thousands)

DESCRIPTION	PROGRAM	BUDGET	FTE
<b>ENTERPRISE AND OTHER FUNDS: FY 2015 Approved Budget and FTE</b>		<b>28,751</b>	<b>54.0</b>
Increase: To support new program initiative(s)	Marketplace Innovation and Policy Operations	9,778	0.0
Increase: To support new program initiative(s)	IT Related Operations	9,467	0.0
Increase: To support additional FTEs	Multiple Programs	1,691	19.0
Increase: To align Fixed Costs with proposed estimates	Multiple Programs	447	0.0
Increase: To align the Fringe Benefits budget with projected costs	Multiple Programs	435	0.0
Decrease: To align funding with nonpersonal services costs	Multiple Programs	-2,092	0.0
Decrease: To align resources with operational goals	Multiple Programs	-7,220	0.0
Decrease: To adjust the Contractual Services budget	Agency Management	-8,743	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Agency Budget Submission</b>		<b>32,513</b>	<b>73.0</b>
No Change		0	0.0
<b>ENTERPRISE AND OTHER FUNDS: FY 2016 Mayor's Proposed Budget</b>		<b>32,513</b>	<b>73.0</b>
<b>Gross for HI0 - D.C. Health Benefit Exchange Authority</b>		<b>32,513</b>	<b>73.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)



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# TIF and PILOT Transfer-Dedicated Taxes

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Description	FY 2014 Actual	FY 2015 Approved	FY 2016 Proposed	% Change from FY 2015
Operating Budget	\$12,627,454	\$0	\$0	N/A

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The Tax Increment Financing (TIF) and Pay-in-Lieu-of-Taxes (PILOT) Transfer-Dedicated Taxes contains no funding in the FY 2016 proposed budget. The taxes collected under the TIF and PILOT Transfer-Dedicated Taxes (portions of Sales and Property Taxes) are no longer budgeted in the General Fund. Those revenues are directly deposited into the TIF and PILOT Special Revenue Funds within the Enterprise and Other appropriation title.

The agency's FY 2016 budget is presented in the following tables:

## FY 2016 Proposed Gross Funds Operating Budget, by Revenue Type

Table TZ0-1 contains the proposed FY 2016 agency budget compared to the FY 2015 approved budget. It also provides FY 2014 actual expenditures.

**Table TZ0-1**  
(dollars in thousands)

Appropriated Fund	FY 2014 Actual	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
<b>General Fund</b>					
Local Funds	12,627	0	0	0	N/A
<b>Total for General Fund</b>	<b>12,627</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>12,627</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>

\*Percent change is based on whole dollars.

**Note:** If applicable, for a breakdown of each Grant (Federal and Private), Special Purpose Revenue type and Intra-District agreement, please refer to **Schedule 80 Agency Summary by Revenue Source** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

## FY 2016 Proposed Operating Budget, by Comptroller Source Group

Table TZ0-2 contains the proposed FY 2016 budget at the Comptroller Source Group (object class) level compared to the FY 2015 approved budget. It also provides FY 2014 actual expenditures.

**Table TZ0-2**  
(dollars in thousands)

Comptroller Source Group	FY 2014 Actual	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Percent Change*
50 - Subsidies and Transfers	12,627	0	0	0	N/A
<b>Subtotal Nonpersonal Services (NPS)</b>	<b>12,627</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Gross Funds</b>	<b>12,627</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>

\*Percent change is based on whole dollars.

### Program Description

The TIF and PILOT Transfer – Dedicated Taxes agency operates through the following program: Transfer Tax to TIF and PILOT – records the transfer of revenue to the TIF and PILOT Funds.

This program contains the following activities:

- **Transfer Sales Tax to TIF and PILOT** – records the transfer of sales tax revenue to the TIF and PILOT Funds; and
- **Transfer Property Tax to TIF and PILOT** – records the transfer of property tax revenue to the TIF and PILOT Funds.

The total budget is transferred to, and supports the budgets of, Repayment of PILOT Financing (agency TY0) and Tax Increment Financing (TIF) Program (agency TX0).

### Program Structure Change

The TIF and PILOT Transfer-Dedicated Taxes contains no funding in the FY 2016 proposed budget.

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## FY 2016 Proposed Operating Budget and FTEs, by Program and Activity

Table TZ0-3 contains the proposed FY 2016 budget by program and activity compared to the FY 2015 approved budget. It also provides the FY 2014 actual data.

**Table TZ0-3**

(dollars in thousands)

Program/Activity	Dollars in Thousands				Full-Time Equivalents			
	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015	Actual FY 2014	Approved FY 2015	Proposed FY 2016	Change from FY 2015
<b>(1000) Transfer Tax to TIF and PILOT</b>								
(1300) TIF and Pilot Transfer	12,627	0	0	0	0.0	0.0	0.0	0.
<b>Subtotal (1000) Transfer Tax to TIF and PILOT</b>	<b>12,627</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Proposed Operating Budget</b>	<b>12,627</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

(Change is calculated by whole numbers and numbers may not add up due to rounding)

**Note:** For more detailed information regarding the proposed funding for the activities within this agency's programs, please see **Schedule 30-PBB Program Summary by Activity** in the **FY 2016 Operating Appendices** located on the Office of the Chief Financial Officer's website.

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## FY 2016 Proposed Budget Changes

The TIF and PILOT Transfer-Dedicated Taxes contains no funding in the FY 2016 proposed budget.





**THE GOVERNMENT OF THE  
DISTRICT OF COLUMBIA**

**Office of the Chief Financial Officer | Office of Budget and Planning**

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