## Commission of the European Communities

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## One century of technical progress based on an analysis of German patent statistics

Report
EUR 11044 En

# One century of technical progress based on an analysis of German patent statistics 

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# Published by the <br> COMMISSION OF THE EUROPEAN COMMUNITIES <br> Directorate-General <br> Telecommunications, Information Industries and Innovation <br> Bâtiment Jean Monnet <br> LUXEMBOURG 

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## INTRODUCTION

This study looks at trends in patent applications in Germany and the Federal Republic of Germany in the last 100 years. The data and diagrams were published by the author in 1981 *).

The main aims of the analysis of these data are as follows :

1. Using the time series of patent applications, trends in the production of technological know-how are described for various sectors - grouped in the 89 patent classes - over the period from 1877 to 1973 or 1980.
2. On the basis of trends in patent applications, the typical behaviour patterns in technological development are identified and a number of hypotheses regarding behaviour in the technology sector are formulated and tested.
3. On the basis of the analysis of trends in patent applications, the influence of exogenous (i.e. economic, social, etc.) and endogenous (i.e. relating to science and its laws) factors on technology is determined.
4. In addition, the patterns of structural development of the technology sectors are determined and the structural influences of technological change on the trends in patent applications as a whole are identified.
[^0]6 ONE CENTURY OF TECHNICAL PROGRESS
5. On the basis of the analysis of patent applications, the relationship between long- and short-term trends and fluctuations in the economy and economic development are shown in relation to technological development.
6. On the basis of the statistical analysis of the time series of patent applications, the time sequence of the technology sectors is determined in relation to their growth - both in qualitative and in quantitative terms.

# PATENTSCIIRIFT 

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PART I

## Part I

THE GERMAN PATENT CLASSIFICATION

The statistics of patent applications and grants in Germany, beginning in 1877, form the empirical basis for this study. In that year (on May 25) the Patent Law for the German Reich was passed and the Imperial German Patent Office set up :


#### Abstract

"The first unified German (Reich) Patent Law, which came into force on 1 July 1877, was the result (for which hope had long since been given up) of a long period of difficult struggle to reform the various German patent laws and licensing regulations and later to maintain and codify a better (more practicable) new patent law. The conflict of opinions for and against patent protection as such was thus resolved. The battle against the supporters of the German doctrine of free trade under the leadership of John Prince-Smith and against the Prussian ministerial bureaucracy - led principally by the Prussian ministers von der Heydt and Graf von Itzenplitz and the latter's chief of division Rudolf Delbrück who saw invention patents as a hindrance to unrestricted freedom in international trade and therefore attempted to abolish patent protection altogether - was thus won. The German legal system now possessed, in addition to the 1870/ 1871 law of copyright on writings, illustrations, musical compositions and dramatic works and the laws of 9.10.11 January 1876 on the protection of works of the visual arts and photographs and the law of copyright on in- dustrial patents and models, a statutory regulation for the protection of commercially exploitable technical inventions as a further part of in- dustrial legislation. This created the conditions for the upswing in German industry, which had been so necessary since the world economic crisis of 1873 and had become a matter of extreme urgency in view of the expiry of the trade agreements with the major neighbouring countries and America. The protection of domestic production against the fierce competition from other countries was ensured and German inventors were once again given the incentive to make the latest technical inventions available to domestic industry


again and thus put an end to the state of imminent 'intellectual impoverishment' in trade and industry" *).

The Patent Office published the classification of patents in Volume 2 of the "Patentblatt" founded in the same year. This classification listed 89 patent classes, designed to cover all sectors of inventive activity. It says something for the foresight of the authors of this patent classification that the classes have been valid for almost 100 years with only very few changes.

The changes made in the classification of patents in these 100 years are instructive and must themselves be regarded as empirical material for analysing technological development. The most important changes made in the patent classes are described below.

In the first list of patent classes, Class 7 was designated "Production and processing of sheet and wire". At a later date this class was significantly extended and renamed; since the beginning of this century it has been called "Mechanical metal working without essentially removing material; punching metal".

Patent applications in the field of aviation were already covered by the 1877 classification but in Class 77 "Sports". The full name of this class was "Sports, games, gymnastics, skating, hunting \& fishing, airship navigation". In the 1920 s a special patent class was created for aviation, namely Class 69, which originally covered patent applications for the saltworks industry. At the beginning of this century the contents of Class 43 was also changed from basket and wickerwork to checking devices.

The contents and title of Class 63 were also changed. It was originally called "Saddlery and carriage-making" but later became the class covering patent applications in the field of trackless vehicles.

There was also a change in the contents of Class 75 "Soda, potash and alkalis", which after a number of years as a separate class was transferred to Class 12 "Chemical processes". Class 75 then covered inventions in the field of sculpture, painting and ornamentation of surfaces.

Class 85 , originally entitled "Water pipes, baths, toilets, sewerage", was subsequently extended to cover "Mineral and soda water, water purification, water supply and sewerage".

Many classes were extended over the years. They include the following : Class 31 "Casting" to which powder metallurgy was added. Class 56 originally "Harnesses" - was converted into "Upholstery and saddlery". Class 57 - originally covering photography - later incorporated cinematography and sound film. Class 60 , originally called "Regulators for engines", was later changed into "Fluid-pressure actuators; hydraulics and pneumatics".

[^1]Class 88 , originally covering machines driven by wind or water power, was later extended to include electrophysical and nuclear reaction drives and photon drives.

The German patent classification corresponds fairly closely to the branch structure of production. It is also highly user-orientated, thus making it easier to analyse the relationships between technology and economic trends. This means that in drawing up the patent classes and classifying patent applications greater importance was attached to the branch in which the invention can be used, rather than the branch of origin. This is brought out very clearly in the case of mechanical engineering. The inventions pertaining to various machines used in different branches are not classified under mechanical engineering but under the relevant user branch, e.g. baking machines in the class "Baking", looms in the class "Weaving", etc.

In principle, three main groups of patent classes can be distinguished in the German patent classification :

1. The primarily product-orientated classes, such as wearing apparel [l]*), brushware, steam boilers, footwear, musical instruments, etc. These product-orientated classes are in many cases broken down into subclasses covering machines, processes and product design.
2. There are also many primarily process-orientated classes, such as zymology [2], surface treatment of metals, sewing and embroidering, grinding and pulverizing, presses, etc. These process-orientated classes likewise cover machines for carrying out the processes.
3. A few classes are raw material-orientated, such as wood working [3], preparation of ores and other minerals, etc.

The grouping of patent classes according to the above criteria does not mean, however, that individual classes do not at the same time comply with different criteria, owing to the relationships between raw materials, processes, their treatment and products. In many cases, the type of raw material has a great influence on the process, treatment and products and vice versa.

Certain products can be made most rationally from particular raw materials by particular processes. In determining the order of the patent classes in the list, the authors avoided a complicated problem in that they did not make it subject to substantive criteria, e.g. the criterion of the processing of the raw material and hence the sequence from primary to final production. In contrast with the international patent classification now in force, the German patent classification adopted the formal criterion of alphabetical order. This decision can hardly be criticized. A one-dimensional order can take only one criterion into account to the exclusion of others.
*) For the notes in square brackets [ ], see pp. 16-19.

Another important question is that of the homogeneity or heterogeneity of individual patent classes. This question is particularly relevant to the aim of this study, namely the empirical testing of the hypotheses of technological behaviour patterns. It is obvious that the hypotheses formulated for homogeneous areas of technology can hardly be tested on a heterogeneous mixture of different areas. On the other hand, it is clear that all classes of the German patent classification - even the most heterogeneous - contain an element of homogeneity. This does not mean, however, that all the time series of German patent applications are equally suitable for empirical testing of the various hypotheses mentioned before. The empirical statistical material is, however, so extensive and varied that there is a sufficient number of homogeneous classes for thorough testing of the hypotheses formulated.

The disaggregation of the whole of the technology sector into individual patent classes is not only a question of substantive criteria but also the result of certain arbitrary decisions. This can be illustrated by the example of lighting. It is conceivable that a single class can be created for the technological problems of lighting. The sequence and rivalry of different lighting principles and technical solutions leading to the performance of the lighting function would in this case take place within this one class. The transition from the oil lamp via gas lighting to electric lighting would be reflected in the trend in the number of patent applications in this one class. In the German patent classification, however, lighting patents were included mainly in two classes :

1. in Class 4 "Lighting through fuels and pre-heating torches" [4], and
2. inventions in the field of electric lighting were included in Class 21 "Electrical engineering" [5].

Even though further subdivisions of the statistical series in Class 21 were not available, it was nevertheless possible to identify the relationship between electric and gas lighting by the trend in patents in Class 4. Problems of this kind often occur in analyses of trends in patent applications and can in some cases be solved by means of additional information. In any event, the problems such as those connected with the heterogeneity of the classes have to be taken into account in interpreting the empirical results.

Experience has shown that trends in very heterogeneous and broad patent classes can also provide a whole series of valuable data and conclusions.

The two broadest patent classes are Class 12 "Chemical processes and apparatuses" and Class 21 "Electrical engineering". It would certainly be very difficult to provide a detailed interpretation of trends in patent applications in these two classes. In order to explain the short- and medium-term fluctuations of patent applications in the aggregates of these classes, a vast amount of additional information would be required.
In this connection it must be emphasized that the statistical material is available only at the level of patent classes and cannot be broken down any further. It was nevertheless possible, without going into the shortand medium-term fluctuations, to identify long-term trends in these two areas and determine a time sequence for both classes in accordance with the afore-mentioned logistic hypothesis.

The German patent classification was valid for the period from 1877 to 1974. The last data based on this classification were published for the first half of 1974. Since then, the data on patents and applications forming part of the German Patent Office's statistics have been published only according to the international patent classification. There is, however, a connection between the German and the international patent classification. Some classes in the former correspond to classes in the latter. As a result, some of the series of patent applications could be compiled beyond 1974 to the present time. There are almost 30 classes for which the study goes as far as 1980.

Development of the Patent Classification as a Means of Identifying Technological Trends

As already mentioned before, the development of the patent classification is a rewarding subject for study. In the light of the development of the patent classification, changes of the classes and the formation of new subclasses, the technological changes can also be analysed and identified.

The Patents Office's changes of the classification were obviously linked to changes of the volume of applications. Certain changes were called for if the number of patent applications had fallen or risen in the long term or if within a class a certain area had become prominent as a result of a long-term increase of the number of patent applications.

The Patent Office made the changes of the patent classification either on a case-by-case basis in decisions concerning only individual classes or as part of a general revision of the classification. Various Patent Office papers and documents published under different titles dealt with the classification of patents. In many cases, the title "Gruppeneinteilung der Patentklassen" was used for the document in question. In addition to this group classification of patent classes, the classification was also published under the title "Verzeichnis der Patentklassen nebst Unterklassen".

The classification of patents was also the subject of another Patent Office document, namely the "Alphabetisches Stichwörterverzeichnis zum Verzeichnis der deutschen Patentklassen und ihre Einteilung in Unterklassen und Gruppen".

This document too appeared in a number of issues dealing with developments in technology, innovation and the number of patent applications.

In connection with the changes of the patent classification, other aids were frequently published, such as the comparison of the new patent classes with previous ones or hints to facilitate determination of the relevant patent classes.

The first major amendment of the patent classification was made in 1900. Further revised editions were published in 1905, 1926, 1933, 1949 and 1958.

Trends in technological developments of the past could be identified by comparing various editions of the patent classification.

A detailed analysis of this type would, however, be beyond the scope of the present study. It is nevertheless not out of place to mention the possibilities of an analysis of this type.

## A. de BOISCHEVALIER m STOLBERG bei AACHEN. <br> Klüttenformungs-Apparat für Braunkohlen.



Zu der Patentschrift
№ 160.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

## EDWARD STANLEY BOYNTON in NEW-YORK. Verfahren zum Buchbinden.



Fig. 2.


PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

NOTES REEERIIV
TO
PART I

## NOTES REFERRIIG

## TO

## PART I

[1] "Class 3 Wearing apparel (headwear 41; footwear 71; braiding, lacemaking, knitting, trimmings, non-woven fabrics 25; sewing and embroidery 52; weaving 86).

3a Linen, including non-perishable and paper linen, mechanical part (chemical part $8 k$ ), underwear, stockings, corsets.

3b Articles of clothing, including braces, ties, gloves, hat holders attached to the hat, etc. and accessories, materials for garments, provided they do not come under 25 or 86.

3c Fastenings (string fastenings 71b, 1 - 4).

3d Tailoring aids (for the manufacture of linen 3a, 7; sewing machines 52a, 58 07-58 10).

3e Artificial flowers and fruits, false hair, working of birds' feathers and artificial feathers to produce garments, articles of clothing made from finished natural and artificial furs (furrier's machines 18b)." *)
[2] "Class 6 Zymology : alcohol, spirits, beer, wine, vinegar, yeast and other fermentation agents and products, enzymes.

6a Malt, hops, yeast, other fermentation agents.
6b Mash and wort, preparation and fermentation; distillation and redistillation of alcoholic and fermented fluids.

[^2]6c Preparation of wine, sparkling wine and liqueurs (maturing of wine 6d, $6)$.

6d Preservation, fining, maturing.
6e Preparation of vinegar (wood vinegar 12r, 2; acetic acid 120, 12).
$6 f$ Cleaning of barrels, tarring and detarring devices, barrel cauterizers, grooving devices, fermentation butts, wine cellar equipment, coatings for fermentation, storage and transport containers (brewer's pitch 22h, 8)." *)
[3] "Class 38 Wood working by mechanical and chemical means.
38a Saws for wood working (saws combined with other wood working machines 38b 7; protective devices 38c; metal saws 49c; for butchery 66a; stone cutting 80d; equipment for felling trees by sawing, etc. 45f, 19).
$38 b$ Planing, drilling, shaping and turning of wood, including universal machines (tools 38e; metal working 49a, b, c).

38c Veneering and manufacture of plywood, smoothing of wood by grinding and polishing (polishes 22h; ornamentation 75), manufacture of laths from wood and mitre joints, framing devices (refinement of laths, gilding, varnishing 75).

38d Manufacture of dovetails, tenons, crates, suitcases and boxes, including caulking and nailing machines.

38e Tools, auxiliary engines and protective devices.
$38 f$ Bending of wood, manufacture of wooden barrels and wheels.
38 g Mechanical working of cane, cork and the like.
38h Methods, processes and devices for drying and waterproofing, e.g. hardening, preserving, fireproofing, dyeing and staining of wood, cane cork and similar substances (pesticides in general 451, 3 ol, coating materials for wood 22g, 6 ol; varnishing processes for wood 75c, 5 o2).
$38 i$ Bark stripping, manufacture of veneers, woodchips, wooden wire and wood wool.
$38 k$ Processes and machines for working wood for special purposes and special objects.

381 Manufacture of 'plastic wood' from wood fibres or wood waste, provided the wood component is predominant (boards for building purposes $37 b, 2$ 02, manufacture of boards from pulp, including hardboards, mechanical
*) "Taschenbuch des gewerblichen Rechtsschutzes", Munich, 1955, p. 6.
part 54e, 1 ; chemical part 39b, 55c; building materials with inorganic binders and asphalt 80b; substances with organic binders 39a, 39b) without groups." *)
[4] "Class 4 Lighting through fuels and pre-heating torches
4a Lanterns, miner's lamps, chandeliers (Christmas tree lights 341), flares, lamp accessories (burners 4g) and light holders.
$4 b$ Lighting, including lighting independent of the type of light source.
$4 c$ Gas holders (construction 37f), pressure regulators in the mains and consumption regulators for lighting and cooking purposes (gas pressure regulators for gas engines $46 c 1,1$; firing regulators $24 c$ ), by-pass regulators for compressed-gas installations (by-pass regulators for gas-producing furnaces 26a), piping and distribution of gaseous fuels, gas and air mixes etc. for gas works (gas mixtures 26c), installations for the production of compressed gas (gas pipes in railway carriages 20c, 27; in coke ovens 10a, 20; valves, taps, gate valves 47g; gas pressure regulators for general use 47 g 2 ).

4d Ignition and extinguishing systems, including electric, provided they do not involve switches (switches 2lc; catalytic gas self-igniters and their manufacture 4e).
$4 e$ Catalytic gas self-igniters (fitting of catalytic gas self-igniters to gas lamps, heating burners, etc. 4d, 1-3; pyrophorous metals and alloys 44b; 78f).
$4 f$ Incandescent mantles.
$4 g$ Burners (burners for gas firing 24c; for heating and cooking stoves 36b; for metallurgical furnaces 18b, c, 40a, d; for distillation furnaces 10a, 5; for firing with liquid fuels 24b; for singeing machines $8 b$ ) and carburetors for liquid fuels, provided they are combined with a burner (independent carburetors for liquid fuels 26a, c; carburetors forming part of an engine 46c 2). Disposition of the burner in the lamp and connection of the burner to other parts of the lamp in order to increase its efficiency."*)
[5] "Class 21 Electrical engineering.
2la Electrical transmission of news and messages.
21a ${ }^{2}$ Electrical telegraphy.
21a ${ }^{2}$ Telephony and electrical-acoustical sound recording and reproduction (sound recording and radio telephones 42 g ).
*) "Taschenbuch des gewerblichen Rechtschutzes", Munich, 1955, pp. 92 93.

| $21 a^{3}$ | Telephone exchanges. |
| :---: | :---: |
| $21 a^{4}$ | Transmission of news and messages by means of high-frequency electrical oscillations. |
| $21 b$ | Galvanic cells, accumulators and thermocouples. |
| 21 C | Electric lines and installations; cables and overhead transmission lines, insulators, switches, regulators, switching systems, line protection, fuses and lightning conductors. |
| $21 d^{1}$ | Direct current. |
| $21 d^{2}$ | Alternating current. |
| $21 d^{3}$ | Special systems independent of the type of current. |
| $21 e$ | Equipment for measuring electrical quantities. |
| $21 f$ | Electric lighting. |
| $21 g$ | General electrical auxiliary equipment and processes other than electrochemical; magnets, automatic cutouts, condensers; rectifiers, discharge tubes, X-ray apparatuses, electro- and radiotherapy equipment, photoelectric cells. |
| 21 h | Processes and equipment for electric heating, cooking, melting, welding and soldering." *) |

[^3]

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCXEREI.

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PART II

## Part II

FACTORS INFLUENCING TRENDS IN PATENT APPLICATIONS AND POSSIBLE INTERPRETATIONS

In view of the very rudimentary theory of inventions (Knut Borchardt) and the scanty treatment of the problems of technology in the economic and social sciences, it is extremely important to examine empirical data that describe technological development not as a series of individual discoveries but as a wide stream of millions of occurrences. This empirical material is represented by the German patent statistics, which show the data for the last 100 years of patent applications broken down into 89 classes covering the different areas of technology and production. These patent applications were filed by both nationals and foreigners, the proportion of the latter being considerable at all times. Because of Germany's involvement in international economics and technology, it is therefore right to regard these data series as a reflection of technological development not only in Germany but throughout the world.

## Some Methodological Problems

The long-term trends in patent applications can be studied in various ways firstly, patent applications can be taken as the starting point for the study in an attempt to determine what technological, economic, political and other developments and processes are reflected in the trend in the number of patent applications. With this approach, the whole range of information and interpretations offered by trends in patent applications is covered and all the relevant data are evaluated.

Another possible way of analysing trends in patent applications involves formulating certain hypotheses about technological and other developments as a starting point for the study and then trying to confirm or refute these hypotheses on the basis of the empirical data concerning trends in patent applications all along. In this case, the starting point for the study is a more or less consistent theoretical conception of the relationships and laws of development of a particular area of technology;
this theoretical conception is then expressed in the hypotheses tested empirically. An occasional disadvantage of this approach is that the assumed relationship may not exist between the hypotheses tested and the empirical data available.

There is a clear justification for both approaches and they are by no means mutually exclusive. On the contrary, various dangers can be avoided by combining them, e.g. an abstract ad hoc interpretation of the empirical data or a loss of the patent information and research potential of the empirical data. However, it may also happen that the questions are correct and the theory tallies with reality, while the choice of the empirical data is wrong; the empirical data used are not suitable for testing the theory and hypotheses in question.

In this study the two approaches are combined. At all times, both questions are put and an attempt is made to answer both, namely the question as to which processes are reflected in the trends in patent applications and the other question aimed at testing certain hypotheses of technological and industrial development.

The two approaches can also be combined because this study represents a point of intersection between different scientific disciplines and different methodological approaches. On the one hand, this study can be regarded as part of historical research, in particular economic and social history of the history of technology or scientific development. On the other hand, this study deals with questions pertaining to economic science and touches on the theories of both economics and business management. Certain problems and hypotheses can be regarded as part of natural and technical sciences, in particular of their general sectors. Last but not least, this is also a study of creative human endeavour, which can certainly be regarded as the subject of various anthropological disciplines.

The outline given here of various theoretical and methodological approaches should also give an idea of the wide scope of a study of trends in patent applications.

Empirical Value of Trends in Patent Applications

It can be justifiably maintained that the data on trends in patent applications represent such valuable empirical material that a narrow approach to the problems involved in studying them would be to neglect important research potential.

Another aspect of the study is the usefulness of devising certain approaches and instruments which would play a significant part in the drawing up of technical and technological predictions. Both in history as a whole and in the history of technology, periods of continuous evolutionary development alternate with revolutionary breakthroughs or leaps from a qualitatively lower level to a higher one. Examination of past trends shows the situations and conditions reflected in an evolutionary development and also the conditions that lead to revolutions - in our case, to technical and scientific revolutions. [l] *)

The study of relationships of this type can make it easier to draw up technical forecasts and to assess technical trends in imminent technical breakthroughs. However, the information can scarcely be regarded as conclusive, although the level of uncertainty can be reduced from one case to another in the light of knowledge of past developments.

It is certainly not the aim of this study to make generalizations of the substantive aspects of patents and the concepts and terms used in patenting. In this connection, a number of assumptions must be made here.
On the other hand, in a study of trends in patent applications discussion of the information value of patent applications and their numbers, touching also on the general problems of patenting, cannot be avoided.

Of fundamental importance in this respect is the relationship between the invention, which must be considered in the context of technological and social developments, and the patent application relating to that invention. Every invention contains an element of human curiosity and the answer to the questions : what, why and how?

## Economic Aspects of Inventions and Patent Applications

Every invention also raises the questions of the work involved and the yield, input and output, expenditure and revenue, cost, price and profit. Of importance here are both the cost involved in the development of the invention and the cost incurred in patenting and the patent application and finally the cost and benefits associated with the use of the invention.

The questions of cost and benefits mean that inventions are subject to economic considerations. In this way, the part played by considerations of economics, business management and orderliness in the field of inventions and patent applications is increased and the proportion of random and purely individualistic elements decreased. As a result, trends in patent applications can be made the subject of systematic scientific research, particularly in the context of the social and economic sciences.

For the purposes of further study, it is important to bear in mind the two major areas of the economic effects of inventive activity and discoveries firstly, the questions associated with the expenditure for the invention and, secondly, all the initially anticipated and subsequently actual economic effects of inventions in the event of their application and once again both the positive and negative effects of cost and benefits.
Of importance in this connection is the comparison of production cost, with or without utilization of certain inventions, and the benefits arising from their application.

[^4]When a technical project is planned, started and carried out, not very much is known about the actual or potential results and even less about the economic effects of using these results. The research is not carried out in the sure knowledge of the results but with certain expectations. The element of certainty may differ from case to case. There are projects whose results are easy to predict and others whose results are very uncertain. The only constant factor are the venture and the risk closely linked to the significance of the desired results.

The scale of research and inventive activity may depend both on the available funds and on the anticipated effects. As a rule, it is impossible to say in individual cases which factor is paramount. In this context it is important, for example, how expensive the whole project is : how great are the risks of failure, of unsuccessful endeavour, how high are the hopes placed in the desired results, how great is the pressure of the market and competition, and how sound are the project's finances?

At the level of the national economy, one hypothesis that can be put forward is that the production of new technological knowledge and inventions, and therefore patent applications too, depends primarily on the pressure of demand, the need to open up new markets, possible cost savings, etc. The question is whether there are more research and innovation or more use is made of existing inventions at a time of crisis or economic decline or during a boom period.

A further explanation is provided by a relatively trivial factor. It is assumed that the amount of research activity is determined primarily by the allocation of funds, which is dependent on the general economic situation.

An initial glance at the trend in the total number of patent applications confirms that research, and therefore also the production of technological know-how and the number of patent applications, is highly dependent on trends in the general economy [2]. As already stated, the main reason for this dependence are the funds available for research, which differ from one phase of the economic cycle to another. Some differences must be expected, however, between trends in research and the economic cycle.
In the phase of great economic activity and demand pull, the pressure for the qualitative improvement and rationalization - on the basis of technological development - of production is not so great. During this period, use is made above all of the potential for a quantitative increase in production, which to some extent can also, however, be brought about by technological research and development. This determines the overall direction of research and development objectives. The technologically determined rationalization measures which will lead to a reduction in cost may be very useful in this situation, particularly if in this way certain shortages in the human or material factors of production can be overcome.

A further aspect of the general dependence of research on the economic situation concerns the special nature of research capacities and the relevant human resources. Research workers are highly qualified staff whose training and qualification require a lot of time and considerable expense not only outside the company but also, and above all, during their career within the company.

In contrast with the situation with factors of production that can be acquired in the short term, companies are in this case under considerable pressure from future situations and expectations. Experience has shown that the shortage of highly qualified staff, who are difficult to afford in times of crisis, can have a decisive effect on shaping the company's future situation. However, not only the future is at stake : although in a time of crisis it is necessary to make savings and lay off workers, the intensified competition makes it imperative to safeguard the chances of survival of the company, industry, etc. through research and technological development.

A further special relationship between the economic situation and the trend in patent applications arises from the fact that the financing of research is not reflected until some time later in inventions and patent applications. As a result, a reduction of the number of patent applications follows a decline in production with some delay.

The above remarks indicate that in the interrelationship between economic trends and research and the production of technological know-how the leading part is played by economic trends. At different times, these give rise to a differing level of both demand for innovation and pressure on research to meet economic needs through inventions. In other words, research and the production of technological know-how are regarded not as an exogenous factor which develops independently of the economy and exerts a strong influence on it but as a part of the economic system, as an endogenous factor which is affected by economic trends.

The leading part played by economic trends in the field of inventions and patent applications is reflected both in the total number and in the breakdown of patent applications. The trend both in the overall figure and in individual patent classes reveals at first sight a high degree of dependence on economic trends.

A high degree of dependence on economic trends and economic and social conditions is characteristic of the emergence of new areas of technology and new production branches. However, it must be stressed in this particular context and also in general that the hierarchy of economic fluctuations (long-term trends, medium- and short-term fluctuations) is related to a corresponding hierarchy of technological development.
A technological revolution such as the development of a new production branch or a new area of technology cannot be interpreted as a reaction to short-term economic fluctuations. There is more of a connection with the recurring rise and fall of the economy, although this connection is not particularly close in the case of major breakthroughs. The exhaustion of the technical and technological potential existing at the particular time is certainly a factor behind technological and structural breakthroughs of this type. The very great pressure of demand from the economy and society ultimately brings about such a breakthrough. However, it depends on a whole series of factors whether the breakthrough takes $10,15,20$ or more years.

The assertion made above that technological innovations cannot be regarded as an exogenous factor of economic trends does not mean that the sector of technological development and inventions does not have its own laws, constraints and relationships. Technological revolutions are a result of the demand and cost crisis (cost stagnation) but are at the same time an expression of the exhaustion of the technological potential of the relevant branches, areas of technology or even perhaps the whole national economy. The temporary exhaustion of this potential is one of the reasons for the stagnation of the economy and is ultimately overcome as a result of pressure from economic needs. In this context it is important to analyse and identify these signs of stagnation not only in the economy as a whole but also in the technology sector itself. The states of exhaustion which we surmise in individual areas of technology relate to much longer periods than the economic cycle. Whereas the upward and downward movements of the economy extend over a few years and the whole cycle lasts for perhaps 10 to 20 years, the ups and downs in individual areas of technology are to be measured in terms of decades or even centuries and millenniums.

An important question is whether the patterns of trends in individual areas of technology which are expressed in the above-mentioned exhaustion hypothesis, amongst other things, are also valid for technological developments as a whole. In any event, it would be wrong to assume that the relationships which have an effect in individual areas of technology can simply be automatically added together to produce similar trends in the overall economy and technology as a whole.

A relationship of this kind would exist only if, firstly, no new areas of technology were created and, secondly, the time sequence of such developments was the same in all existing areas of technology. Even if the second assumption were discarded, a general state of exhaustion of technology as a whole will not be obtained by adding together the trends in individual areas of technology when the signs of exhaustion occur at different times in different areas.

The hypothesis that the signs of exhaustion recur at the level of technology and the economy as a whole can be refuted by two different but related processes. Firstly, in an area of technology nearing its upper limit a technological revolution takes place and gives this sector new impetus. This is a development which brings about the transition from a logistic $S$ function (S-shaped curve) to a sequence of $S$-shaped curves, i.e. a step function [3]. The other possible way of preventing the recurrence of the signs of saturation of individual areas of technology at the level of the economy and technology as a whole has already been mentioned above. It involves the creation of new areas of technology.
In some cases, the distinction between a breakthrough in an area of technology can only be distinguished arbitrarily from the creation of a new area. This is why we said that the two processes are related; the distinction is only a question of classification and convention, i.e. a formality.

Problems of the Empirical Recording of Basic Innovations and Inventions


#### Abstract

The frequently practised breakdown of innovations and inventions into basic innovations and inventions and improvement innovations may well reflect the hierarchical structure of innovations and inventions with regard to their technological and economic significance and effect, but any attempt to chop up the continuity of technological development empirically is not likely to be very successful. In reality, technological development is dialectic : it is both continuous and evolutionary and discrete and revolutionary. Emphasizing one side at the expense of the other only leads to distortion of this reality.


The inappropriateness of the distinction between basic and improvement innovations is revealed in the first place if an attempt is made to record these two types of innovations empirically. This is possible only with a high degree of arbitrariness and subjectivity. The error is compounded if an attempt is made to attribute these types of innovations to different phases of the economic cycle and to distinguish the reasons for different types of innovations speculatively and then empirically. Ideas and observations of this type disregard time and time again the continuity of the innovation process.

## The Number of Patent Applications as a Measure of the Production of Technological Know-How

The use of the number of patent applications as a measure of the production of technological know-how involves a series of simplifications which have to be eliminated in a particular way [4].

Taking the number of patent applications as a measure of technological development disregards the differences between the individual patent applications and inventions. Applications and inventions are in fact very different things, one of them of fundamental and the other of marginal technical and economic significance. If no additional information on the quality of the patent application and inventions is used, there is a danger that only the continuity in the technological innovation process will be considered, with no account being taken of leaps and technological revolutions.

## Hypotheses Explaining the Trends in Patent Applications

For the purposes of this analysis in patent applications in Germany in the last 100 years, a number of hypotheses regarding technological developments were put forward and empirically tested. A brief outline of some of these hypotheses is given in the remainder of this section.

One of the most important hypotheses is that which describes technological development as a process which begins with major discoveries and invent-
ions and leads via the gradual exhaustion of research potential to an exhaustion limit and thus to the threshold of new breakthroughs [5].

Examples of such developments can be found in all areas of technology, e.g. aircraft construction, particularly the sequence of development of individual types of aircraft engine. The developments from one type of aircraft propulsion to another were always associated with significant increases in the speed achieved by the aircraft. A clear example of the behavioural pattern mentioned above is also provided by the development of weaving, in particular that of various types of loom. In this case too, the change-overs to new types of looms are associated with significant increases in working speed and efficiency of various types of machines.

The discovery and application of a new technological principle revolutionizes certain parts of production and process engineering. The greater the difference between the new and the old technological principle, the more difficult it is to grasp all the possibilities of the new principle and utilize them directly. Typically, a new principle disguises itself in the shape of the previous technological stage. Thus, the first motor-driven cars were none other than old coaches, albeit without horses and fitted with an engine. Various components of these coaches, which served a useful purpose only in association with the use of draught-horses and were of no use whatsoever to the motor-driven vehicle, were initially adopted. New possibilities and requirements associated with the introduction of a new principle are only gradually recognized. A horse-drawn coach, for example, certainly made no demands on aero- dynamics. Such requirements became pressing at much higher speeds which only motorized vehicles could achieve.

The gradual utilization of the potential of a new technological principle depends, however, on economic requirements. The economic pressure and potential fluctuate with time in line with trends in the general economic cycle, for example. These fluctuations can, however, temporarily conceal the longer-term general trends in technological developments in a particular area. These longer-term trends can still be clearly seen, however, in the longer time series in particular. A whole series of technological developments can be explained by studying the connection between various areas of technology [6].

For example, the development of an area of technology may be abruptly interrupted before its potential has been fully utilized. This phenomenon is often associated with the fact that in a rival area methods and resources have been developed that serve the same or a comparable purpose. The decisive factor is the technical and economic efficiency achieved by rival technological solutions or areas. The clear superiority of a technological area or principle can sometimes lead to the disappearance of the rival area. Around the turn of the century, for example, there was intense rivalry between electric and other types of lighting, in particular gas. For a long time this rivalry seemed undecided, before it was finally resolved around 1910. The development of the effective and industrially applicable technology of drawing tungsten wire made it possible to manufacture electric bulbs so efficiently and to give them such performance characteristics that gas-lighting technology was gradually devalued [7].

On the other hand, a positive connection can often be observed between the developments in different areas of technology. It often happens that a long-known technological principle cannot be further developed and applied until developments have taken place in other areas. Such developments will, for example, create technical possibilities that are essential for the application of the technological principle in question. For example, the already known process of vacuum treatment of steel, which led to an improvement of the quality of the steel, could not be applied until the ways and means of economic vacuum production were available at an appropriate level.

In other cases, it is a question of economic opportunities for using a particular process. For example, the idea of using oxygen in the production of steel had already been known for a long time before it was possible to produce oxygen in such quantities and at such cost that this technology could be applied in practice.

Even though in many such cases it is not a question of direct conditions for the continuation of technological work in certain directions, an increase in the scope for applying a technological principle in the general economy has an indirect effect on technological work in this area. The application of a technological principle in the general economy increases the interest in its further development, points to new technical tasks which without application in industry could not be seen at all merely on the basis of experience in the laboratory, and thus contributes to the development of research.

The other hypotheses relate to the general economic conditions for technological development. In the first place, it can be seen time and again that the funds available for research on the innovation process are fluctuating all the time. These fluctuations are linked, for example, to trends in the general economy, but can also be a result of other occurrences, such as natural disasters, wars, revolutions, etc. The funds available to various areas of technology are not all, however, affected to the same extent by such occurrences.

The economic demand for innovations also fluctuates with time. This process too is linked to trends in the general economy and to other occurrences of the type mentioned above, and here again it can be seen that the effect on different areas of technology can and does differ and that these developments and occurrences have an effect on the structure of technological activity.

The fluctuations in economic demand for technology and innovations and the fluctuations in the funds available for innovations and research can be classified hierarchically and subdivided into long, medium and short term.

Examination of trends in the general economy and in technology shows that the production of technological know-how, the demand for innovations and the introduction of new technologies to production do not take place at the same pace. This is typified by differences - both leads and lags in the time sequence of these processes. As a result, the process for using the inventions in the general economy comes to a temporary halt, or the economic need for new solutions is not particularly great, or again the economy's heavy demand for innovation cannot be met or demand precedes the potential or actual production of technological know-how.

These conflicts and differences also give rise to the driving forces for both inventions and innovations and for the introduction of new ideas to the economy. This can lead to situations of the type described in the literature within the concept of the stalemate in technology (Gerhard Mensch).

Fig. 2.
Fig. 1.


Fig. 5.
Fiy. 4.

Fig. 3.
Fing. 6

HUGO ZWINGENBERGER in ERNSTTHAL in Sachsen.
Eiarichtung von Rund-Wirkmaschinen zur Herstellung von Pressmustorn.

№ 3.
notes Referring TO

PART II

# NOTES REFERRIIG 

## TO

## PART II

[1] A typical example of the overestimation of leaps in development and the underestimation of the continuity of technological development is provided by the theory of G. Mensch. His distinction between basic and improvement innovations is virtually impracticable empirically. In this context, Borchardt puts forward important theses; he speaks of a stream of growth in know-how. Delbeke criticizes Mensch's arguments directly. He shows amongst other things that inventions are not dependent exclusively on demand. Mensch, on the other hand, completely disregards the technical and scientific problems arising on the supply side of the production of technological know-how. The history of science and technology provides countless examples of the difficulties standing in the way of a basic idea and its implementation (see note [6]). Economic pressure and intensive research can certainly have some effect here. Nevertheless, the result remains uncertain and the solution is a long time coming. On the other hand, the goal can often be achieved quickly with modest resources. In the following pages we reproduce Mensch's basic theses in his own words and a number of other relevant comments :
"The main thesis of this book (stagnation = lack of basic innovations) was conceived and developed at a time (1970-71) when economic research was still projecting incessant economic growth and when science and technology policy were expected to produce the right kind and appropriate volume of new technology to nurture this industrial evolution.

At that time, $I$ became aware of some fundamental properties of this evolutionary process of socio-economic change. They indicated that the next downturn of the business cycle would bring disappointment to those who relied on the prognosis of 'just a mild recession'. Among the early indicators of a coming depression were labor market and capital trends as well as indicators of change in the international division of labor and technological change and substitution.

In modern industrial civilization, the boundary between nature and culture becomes increasingly fuzzy, and many of the socio-economic forces manifest themselves in the use and creation of artifacts. Therefore, indicators on the rate and direction of industrial innovations can be expected to tell us a good deal about change within a changing economy.

The circular flow model of short-term economic activity needs a counterpart; I suggest a metamorphosis model of long-term socio-economic change.

The following two propositions characterize the industrial metamorphosis :

1. Basic innovations, which establish new branches of industry, and radical improvement innovations, which rejuvenate existing branches, tend to occur discontinuously in time, namely, in rushes.
2. Within those new or renewed branches, the pioneering innovations are followed by series of improvement innovations. The improvement effects of these successive innovations are governed, on the demand side, by the law of diminishing marginal utility and, on the supply side, by the law of diminishing marginal returns on investment." *)
"In my view, the history of invention all too often still pays tribute to a heroic view of history - and this at a time when political historiography is moving away from the admiring accentuation of the great individuals. However, hero worship in the chronicles of technology is certainly even more doubtful than in other areas of history, since all inventions form part of a stream of growth in know-how, and it is extremely difficult to distinguish certain inventions clearly from other contributions to progress. Anyone familiar with patents knows how difficult it is to assess originality; and yet the hero occupies a central place in the chronicles of technology. His survival in these chronicles has already been discussed 40 years ago by Ralph C. Epstein.

The starting point for works on the history of technology is often a biographical interest, and the list of sources naturally refers time and again to individuals as inventors. However, it is certainly too shortsighted to extol the individual alone as the origin of all inspiration. Although it is also acknowledged that the individual owes his ideas to some sort of instinctive necessity and therefore acts only as the executor of immanent technical progress and perhaps also reacts to economic stimuli, the impression is often given that the authors believe that if it had not been for their hero there would not have been certain inventions or whole lines of development. The mere fact that in not a few cases inventions have been made several times or equivalent inventions have solved the same problem strengthens our supposition that a social or

[^5]systematic theory of innovation and the spread of inventions hold out more hope of success than a Faustian individualistic theory.

Virtually every part of the steam engine was already known at the beginning of the l8th century, and in the case of the gas and petrol engine it can clearly be seen how the inventors gradually groped for the solution. Major inventions represent the accumulation of many small steps, the final step in a long chain or the transfer of an idea to another field. Jewes, Sawers and Stillermann share the view with many others that 'Technical progress is an indivisible moving stream from which it seems impossible, except in an arbitrary fashion, to isolate one fragment for independent examination'." *)
"Mensch's analysis is interesting because of its updating and statistical testing of Schumpeter's theory and the emphasis it places on demand and innovation as crucial factors for economic development. But Mensch skirts dangerously close to monocausality and neglects other crucial factors, which we consider in the following sections.

Mensch does remedy a major lacuna of most theories, namely the neglect of demand as the engine for long wave movement. However, we do not agree with the position that expansionary innovations are always more demandinducing than rationalising innovations because of the different income effects they generate. Rationalising innovations surely can induce a larger demand if the more efficient use of production factors is translated into increased purchasing power and unemployment is neutralized by job creation in other sectors, e.g. the tertiary sector. However, when there is a technological stalemate, we do agree that rationalizing innovations will be less demand-inducing than expansionary ones because of the difficulties in creating alternative employment because of the slowdown of economic growth. In short, Mensch's comparative statics do not always give the same dynamic results.

A major weakness in Mensch's empirical research is the lack of a good criterion for identifying the basic and improvement innovations. Although the difference is crucial, the interpretation of a concrete innovation is difficult and risky. For this reason, it is difficult to integrate into Mensch's theory those innovations that needed two long waves to exert their full effect on economic growth, e.g. automobile construction.
Because these sectors have undergone intensive changes, it is uncertain whether they should be classified in terms of basic or of improvement innovations.

An important feature of innovation theory is the emphasis on the discontinuity, the clustering, of basic innovative activity. Periods of scarcity and abundance of entrepreneurial activity seem to alternate in economic development.

[^6]But there is a sharp contrast between the Schumpeterian way of treating innovations, and the way macroeconomic and management literature deal with them. In macroeconomics, technological innovation is considered as a continual and even process, so only improvement innovations are dealt with. Such an approach fails to take account of the revolutionary character of basic innovations.

The same idea of continuity is paramount in management literature, but with a more normative character : 'Innovations do not happen, they are made to happen'.

In conclusion, Mensch provides a fruitful analysis of the current situation and implications for the study of the long wave, and the theory's importance is increasing as part of a multicausal explanation." *)
"Both Mensch and van Duijn have laid great stress on the Schumpeterian idea of the 'clustering' of innovations. In his pioneering work on the Technological Stalemate, Mensch argues that basic innovations have been clustered in decades of deep depression, specifically around 1835, 1886 and 1935, and that these basic innovations provide the main impetus for the next big upswing of the economy. During such deep depressions, entrepreneurs are obliged to search for more radical solutions, which were 'crowded out' when business was booming. As the climate is now more favourable to radical innovations, they accelerate their introduction by compressing the lead time from the date of invention to commercial launch. Kleinknecht defends this central idea of Mensch, although with some modifications, in his paper and, clearly, several other contributors (such as Mandel) find the argument persuasive.

I and two colleagues (Clark and Soete), although sympathetic to many aspects of Mensch's work, are not convinced either by theoretical argument or by the empirical evidence so far produced. We have argued elsewhere (in the Proceedings of the Bochum 1980 conference on long waves) that the lists of basic innovations compiled in the 1950 s or mid-1960s are quite inadequate for the purpose, and we regard the lists used by Mensch as greatly underestimating the basic innovations of the post-war period, and probably also those of 1900-20. By the same token, we are not convinced either by the new list which Kleinknecht has used, derived from Mahdavi. Although this was published in 1972, it was based on a variety of studies from different sectors, some of which were of much earlier date. There is no other possible explanation for the fact that the last major pharmaceutical innovation listed is from 1948. Nor are we convinced by the argument for the separate treatment of scientific instruments, which (partly because Mahdavi's source in this case was more up-to-date) would considerably change the statistical results if grouped either with product or process innovations.

[^7]We have continued to criticize Mensch's acceleration hypothesis in our own contribution. But we have also attempted to take this debate a stage further, by widening it to include clusters of 'basic inventions' and trends in patents, and to defend the hypothesis (rejected by Mensch) that some clusters of inventions and innovations are more satisfactorily explained in terms of advances in fundamental scientific knowledge.
We have also suggested here (and more fully elsewhere) that, in our view, basic process innovations are most likely to be clustered not so much in depressions as in boom and stagnation periods, when capacity is being expanded rapidly and economies are intensively sought in the new exploding industries, or when costs are under pressure in the older industries. Whilst centainly not rejecting the idea of clustering, we are pleading for a greater recognition of the nature and variety of different 'clusters'". *)
"Although we do not have systematic comparable annual series for the pre-war period, there is very strong evidence that company outlays for $R$ and $D$ were significantly reduced during the depth of the depression, from 1931 to 1934, in the leading industrial countries. Terleckyj reports a fall of $10 \%$ in US $R$ and $D$ industry over this period. There is now additional evidence that, in the more serious recessions of the 1970 s and early 1980s, $R$ and $D$ behaviour may be more affected and may itself have greater effects on other behaviour than in the previous 20 years."
"In an extremely thorough study of long-term trends in patenting in the USA, Schmookler advanced the view that patenting follows closely the fluctuations in fixed investment in plant and machinery in each industry, with essentially the same pattern of upswings and downswings. This has formed the basis for some 'demand-led' theories of innovation which have, however, been heavily criticized by Mowery and Rosenberg and others." **)
[2] "We have drawn, in Figure $I$ (page 78), the total number of patents applied for and granted in the USA from 1840 (year of the Patent Law) to 1979. The diagram suggests that variations in the number of patent applications have tended to coincide with overall economic fluctuations. With an average of a four year time lag - up to the 1960s, the average time needed for the Patent Office to examine and issue a patent was about four years - the evolution of the number of patents granted shows the same kind of behaviour. The steep fall in the early thirties in patent applications coincides most clearly with the 'great depression'. The effects of both the first world war and the second world war are also obvious. Overall, and despite the relatively weaker evidence for the 1875-1884 depression, Figure $I$ tends to support Schmookler's hypothesis. It does not, of course, provide an answer to the question of the possible fluctuations in radical or fundamental inventions.

[^8]The advantage of patent statistics is that they provide readily available information over a rather long period which can be easily classified by year, and which is not affected by changes in relative prices. A more important advantage is that they have been collected and examined over all these years by the same official agency, generally speaking a Patent Office. Moreover, all patents issued in the United Kingdom from 1734 onwards have a specification describing the invention in full. It is on the basis of these specifications that an attempt was made by $R$. Baker of the British Library, to select 'significant' inventions." *)
[3] The step function can be brought about by, for example, the revival of old sectors :
"In 1972 the American national economist and economic and technical historian Nathan Rosenberg put forward in his paper 'Factors Affecting the Diffusion of Technology' the thesis that so-called 'old' technologies believed to be already outdated could be improved again by 'new' trendsetting technologies to such an extent that for a time they would become serious rivals for the 'new' technologies. Although various economists and economic historians had already made similar observations, Rosenberg was the first to bring these together in a single thesis, going against the view predominant in economic theory that it was the competition between rival firms in a particular branch that gave the impetus to technical innovations. He also criticized - quite rightly - the common practice in the chronicles of technology of no longer taking account of the further development of 'old' technologies when the 'new' technologies had been introduced into the production process. Such a view, he stated, encouraged the frequently encountered conception of sudden and dramatic discontinuities in economic and technological development." **)
[4] Some important comments on this problem :
"The foregoing brings me to the positive side of our findings. Broadly speaking, our results indicate that inventive activity in a field tends very much to fluctuate with economic activity in that field.

Originally it appeared that total inventive activity in the United States varied directly with economy-wide employment of labor and capital combined. This result supported the hypothesis that the potential saving in total cost constituted the source of prospective profit from inventing, that such potential saving would tend to be proportional to total cost of production, and that, therefore, the inventive activity would tend to vary with the total cost, that is, the volume of resources employed. This chain of reasoning later proved mistaken.
*) J. Clark, Chr. Freeman, L. Soete, Long Waves, Inventions and Innovations, in : Futures, Vol. 13, No. 4, August 1981, pp. 309-310.
**) H.-J. Braun, Gas oder Elektrizität, Zur Konkurrenz zweier Beleuchtungssysteme, 1880-1914, in : Technikgeschichte, Vol. 47 1980, No. 1, p. 1.

Measures of the two were indeed highly correlated but they were equally correlated with a third variable - gross investment - and this now appears to have been the critical factor.

This error was revealed once the statistics of patents classified by industry were available and patents in the railroad field were compared with an index of total output in the railroad industry. No similarity such as that which had appeared earlier in the case of aggregate inventive activity and total national output emerged. Instead we found that railroad investment and railroad patents were very similar in their long-run and shorter-run movements. The main difference between them, and a very suggestive difference it was, was that the patent statistics lagged slightly behind those of investments." *)
"This paper examines a question of long standing : How can one obtain operational indexes of inventive and innovative activity and technical change? Specifically, for a sample of fifty seven pharmaceutical manufacturing firms, we attempt to determine how well a simple count of invention patents serves as a surrogate for two alternative measures of technical change : the number of research and development personnel employed, reflecting inputs into the innovative process, and the value of new products sales, which reflects outputs of the process."
"Patent statistics have one compelling advantage over alternative indexes of technical activity : availability in great abundance. Yearly counts are readily obtained for a span of more than a century, either in aggregated form or broken down by class of invention or sponsoring firm. It was this advantage which commended their use in Schmookler's pioneering research, as well as in other studies (Schmookler and Brownlee, 1962; Schmookler and Grilliches, 1963; Scherer, 1965a, 1965b; Grabowski, 1966; and Schmookler, 1966).

Patent statistics, however, have weaknesses acknowledged by users and skeptics alike. For one, the propensity to patent inventions of a given quality has apparently been declining throughout most of this century and particularly since 1940 - as a result of changes in judicial interpretations of the patent and antitrust laws, the character of industrial innovative activity, the proportion of research and development efforts supported through governments funds, and the Patent Office's budgetary affluence. For this reason, the use of patent statistics for long-run trend analyse is hazardous, although, as Schmookler (1966) has argued, the study of patenting trend deviations can be more fruitful.

Cross-section analyses face other difficulties. The propensity to patent varies from industry to industry and from firm to firm. Industries heavily involved in government contract work tend to patent fewer inventions of a given quality than those which pay for their own research, while firms which are highly patent conscious and maintain a large staff of patent attorneys have a relatively high propensity to patent.
*) J. Schmookler, Patents, Invention and Economic Change, Cambridge, Mass. 1972, pp. 74-75.

More important, the quality of the underlying inventions varies enormously from patent to patent. Picking at random a copy of the Official Patent Gazette (for example, for July 25, 1967), one can find patents for inventions ranging from a form-fitting church-pew cushion to a complete system for training a computer to recognize patterns through adaptive response.

Nevertheless, the inability of patent data to reflect inventive 'quality' is not necessarily fatal for it may be possible to measure and roughly correct for interindustry differences in the propensity to patent (see Scherer, 1965b pp. 1098 - 1103). The underlying economic or technological significance can be interpreted as a random variable with some probability distribution, which can be manipulated statistically like any other errorprone measure. Indeed, the quality variability problems in patent data are not fundamentally different from those encountered in using a simple count of scientist or engineers, or research and development expenditures, as an index of innovative input. Creative ability varies greatly from individual to individual, and the market for creative talent is shot through with so many imperfections that attempts to adjust for differences in employee productivity by applying salary weights yield, at best, a modest improvement." *)
'To a certain extent, the diffusion of technical innovations can be seen from figures of new patents. Whereas in the period from $1715-1760,7$ to 8 patents a year on average were granted in England, the number rose to 57 a year by 1790. In the USA, the first Patent Laws were passed in 1787 and 1790 and this was followed by new patent regulations in 1861 . Between 1796 and 1826, 5,215 patents were granted in the USA; there is a close connection here with the first 'economic miracle' of modern history.
On the other hand, in Prussia, where patenting regulations had not been introduced until 1815, the total number of patents up to 1837 was only 335. In my opinion, this clearly reflects the connection between invention, technology and the economy." **)

According to Kronz, the informative value of the number of patent applications must be considered separately in international comparisons and in the time series :
"... as a more or less usable quantitative indicator $I$ use the patents statistics. The trend in the numbers of applications in the various countries must, even though it is also influenced by the specific features of each country's patent law, reflect the 'conditions and occurrences' of which Sombart has spoken in connection with the different phases of culture.
*) W.S. Comanor, F.M. Scherer, Patent Statistics as a Measure of Technical Change, in : Journal of Political Economy, Vol. 77, 1969, pp. 392-398.
**) A. Timm, Geschichte der Technik und Technologie - Grundsätzli- ches vom Standort des Historikers, Technikgeschichte, Vol. 35, 1968 No. 1 , p. 9.

A random glance at the trends in the numbers of applications shows particularly clearly that the level of applications varies, in some cases considerably, from country to country. These variations in level are a direct expression of the extent to which the 'conditions and occurrences' are peculiar to each country. This view of things must, of course, focus on the proportion of patent applications filed by nationals, since in addition to the competitive interests it reflects primarily the patenting interests of resident inventors and innovators. It thus also says something about national inventive creativity, and this - expressed as the productivity of the population in terms of the production of patent applications - is influenced among other things by the socio-cultural factor, which essentially expresses the attitude of the individual and society to nature and technology." *)
[5] This trend can be represented by the logistic curve (S-shaped curve).
"Over three decades ago Professor Kuznets published the classic analysis of the Law of Industrial Growth - the tendency for the output of any given good to describe an $S$-shaped course over time, with the percentage rate of growth generally declining throughout (SIMON KUZNETS Secular Movements in Production and Prices [Boston and New York : Houghton Mifflin, 1930]). The pattern, he concluded, was primarily a reflection of retardation in the rate of technical progress in the industry revealed by the downward sweep at a declining rate of the product's price over time. The retardation in the rate of the technical progress in turn was explained by the gradual exhaustion of the industry's inventive potential, or stating the matter in other terms, the approach to perfection of the industry's technique." **)
"The perception of the economic life of a basic or major innovation as an innovation life cycle is not a novel one. I used it in earlier publications, but as it turns out, it was developed earlier by Kuznets, and even, in a rudimentary form, by Schmookler. The life cycle of a major invention indicates how an innovation develops over time, measured as the output accounted for by that innovation.

Life cycles are usually assumed to be $S$-shaped, up to their decline phase, with gradually decreasing growth rates of output.

While various interpretations and applications of the S-shaped growth curve exist, they can be reduced to two main types : the limited
*) H. Kronz, Der Soziokulturelle Faktor für die Erfindungs- und Innovationstätigkeit in den Industrie- und Entwicklungsländern, in : Mitteilungen der deutschen Patentanwälte, Vol. 69, January 1978, No. $1, \mathrm{p}$. 1 .
**) J. Schmookler, Technological Change and the Law of Industrial Growth, in : J. Schmookler, Patents, Invention and Economic Change, Cambridge, Mass., 1972, pp. 77 - 78.
possibilities for further technical improvements given a certain state of technology, versus the limited possibilities for further market penetration given a certain rate of penetration. Both interpretations can be applicable to an innovation life cycle. Usually the two aspects will be interrelated : cost-reducing improvement innovations can increase the rate of penetration of a product; market saturation will be a strong incentive for product improvements, such that a decline in sales can be prevented or postponed. The strongest force, however, would seem to be the market." *)
"It would appear that for any industrial revolution, there must be a simultaneous appearance of convergent innovations - in energy sources, transport, tools (or the production goods industries) and in 'manufacture'. Hence, an industrial revolution can be defined by this combination of activities and by the prolonged process of expansion which it produces, each new product following a logistic curve. This curve is not so much the 'life cycle' of the new product - a microeconomic notion - as a curve of saturation of the milieu : the new 'population' develops until it has taken up all the terrain open to it. A change of milieu or a change of product can give rise to a new logistic curve. This was the case with steel - in ingots with the first revolution, in sheets with the second. Will it find a new lease of life with the third?"
"The present period - 1975 - 1985 - in this explanation will feature the combination of two movements : the maturity and decline of the major industries of the last industrial revolution; the very weak growth of new activities of the industrial revolution now beginning and which is only in its embryonic phase. The aggregate effect of these two processes gives rise to very slow overall growth." **)
[6] "Modern steel production is unthinkable without vacuum treatment of steels. What does this involve?

During its production, every type of steel comes in molten form into contact with gases, in particular hydrogen, oxygen and nitrogen, and inevitably absorbs detectable quantities of them. These gases come from the ambient air, the fuel required for the melting process and the moisture content of the raw materials used.

When the melt has been prepared, the steel is poured into moulds in which it solidifies.

As a result of the cooling associated with solidification, the steel's solvent capacity for gases is reduced. Only part of the excess gas released can escape from the steel, which is becoming pasty. The remainder forms gas bubbles, which are enclosed between the already solidified rim and the still molten core.

[^9]**) A. Piatier, Innovation, Information and Long-Term Growth, in : Futures, October 1981, p. 375.

During the subsequent processes such as rolling or forging, it is very difficult or even impossible to remove these enclosed gas bubbles. They lead to defects in the steel and reduce its quality.

Metallurgists, who from the very beginning have been striving to improve the quality of their products, have for many years been endeavouring to eliminate this problem, e.g. the Swedish inventor, Dr. H. Tholander, at the end of the 19th century. Tholander knew about the gas bubbles enclosed in the steel ingots and, as we know today, had the right idea about how to get rid of them. In German patent 16479 granted in 1881 , he proposed to eliminate the gases from the molten steel contained in a converter or pouring ladle by reducing the pressure. This proposal was based on the knowledge that, as in the case of other fluids, the gases released at a higher pressure escape from the molten steel when the air begins to get thinner.

This technical theory did not, however, gain acceptance. The requisite technology was not yet available because the efficient vacuum pumps required did not exist in 1881. This was ultimately the cause of the failure of all the attempts made in later decades up to 1950 to use vacuum treatment of steels on an industrial scale." *)

In this context, Borchardt speaks of invention chains.
"For example, for certain inventions dozens of follow-up and supplementary inventions have in many cases become necessary in order to make the basic idea at all usable. The steam engine is one of the best known examples of this. Watt's achievement is precisely that by means of additional measures he made a known piece of equipment economically usable on a large scale for the first time. However, his succes was in turn dependent on the fact that at more or less the same time the technique of metal working, and in particular cylinder boring, were considerably improved. We economic historians would be greatful for detailed descriptions of the technical problems of invention chains of this type, so that we do not run the risk of overlooking that which is obvious to the engineer as a result of our socio-cultural speculations." **)
[7] "To sum up : after a phase of the predominance of oil and gas lighting which lasted into the l880s, electric lighting spread quickly after the development of the Edison bulb and in view of various advantages was frequently regarded as the lighting system of the future. However, the 'old' type of lighting, namely gas, which had come under pressure, was able to check the progress of electric lighting thanks to Auer's incandescent gas light and expanded rapidly.

[^10]It was Auer in particular, but also Werner von Bolton, who on the highly concentrated market for electric bulbs gave the edge to the electrical industry though pioneering developments of the metal filament lamp. Although the gas industry was able to make up ground again in the field of public lighting through the compressed-gas lamp, the development of luminous arc lamps gave the electrical industry the edge here as well.

As regards my initial hypothesis, which was derived from Rosenberg's thesis on the relationship of 'old' to 'new' technologies, the following should be noted : the thesis is confirmed as far as the relationship of the electric arc light and incandescent light to Auer's incandescent gas mantle is concerned. In this case, the example quoted here seems even more appropriate than the one given by Rosenberg himself. The same applies to the advent of the compressed gas lamp in relation to the traditional arc lamp.

However, to qualify the above remarks it should also be noted that in addition to the improved 'old' technology - represented in this example by the incandescent gas mantle - there were other important aspects, such as the cheaper coke-oven gas, the increase of sales of gas through price differentiation and, albeit not so significant, the competition between different gasworks.

In addition to purely economic and technological aspects, mention must be made of political ones, such as the effects of the illuminant tax of October 1909. In addition, general economic interests aimed at safeguarding existing assets were involved in advocating retention of the 'old' tech- nology. These were endorsed by representatives of many municipalities, who feared that, as owners of gas works, they would suffer financial losses after the introduction of electric lighting.

The main factor behind the development of Auer's osmium lamp was not the competition for a rival technology based on a different system but the competition within a particular sector, namely the electrical industry. On a highly concentrated market a specialist firm succeeded in introducing a development that was crucial for the future of electric lighting." *)

O'Dea also writes about the same process:
"By the time the filament lamp had become of undeniable significance the advent of the incandescent mantle burner for gas lamps had restored the balance between electricity and gas.

It was about 1890 that the gas mantle began to forge ahead and it soon caught up with and outtripped the electric lamp competitor. The gas mains and distribution pipes were not only already there, but gas cookers and gas fires were cheaper to run than their electrical counterparts. There was much more incentive to convert Sugg burners to gas mantles than to undertake the great expense of laying on an electric supply for filament lamps that were neither efficient nor long-lasting by modern standards.

[^11]It was, in fact, not until about 1913 that the filament lamp began to show advantages so demonstrable that in many cases the installation of the necessary wiring was amply worth while. The rest of the story is a question, largely, of reductions in the price of electricity and the price of electric lamps. Gas lighting was admirable in many ways and devices were invented to make the control of it at least comparable with the troublefree control of electric lights. Nevertheless it had disadvantages domestically, such as the dirtying of ceilings and excessive heating from which electric lamps did not suffer, and for public installations it was obvious that only by rearguard action could it main- tain any sort of hold. Just as Edison's lamps infiltrated into a field ostensibly more economically served by gas, so in Britain there have recently been artificial adjustments of the economy of the electric-power prices as between town and country that have theoretically restored the economic position of gas in some cases. In spite of that there is not flight from electricity for lighting and this in itself is sufficient indication that the public have selected electric lighting on merit." *)

[^12]STEPHAN, Lr. men.. prakt. Arzt in ILSE.Mblerg. Gebămutterhalier.

Fig. 1.


Fig. 2.
Fig. 3.


## ALBERT KOHM is K:ARI.SRLHE

## Vorbesserungen an dem Esmarchischen Irrigator.



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PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.
№ 36

PART III

## Part III

## STATISTICAL ANALYSIS AND INTERPRETATION OF trends in patent applications

In the main part of this study, all the 89 time series of patent applications in Germany are statistically analysed and interpreted. For this purpose, the estimates of the logistic function and of the growth trends are used in conjunction with a linear spline function of the time trend. Lastly, an attempt is made to differentiate in statistical terms between various influences on the trends in patent applications. The hypotheses mentioned earlier are empirically tested in these analyses.
A. Analysis and Interpretation of Trends in Patent Applications on the Basis of the Logistic Functions

The logistic function is a highly appropriate way of describing the growth processes which with initially increasing and subsequently decreasing absolute growth rates are nearing an upper limit. Such processes are very widespread both in nature and society. The upper limit of the logistic function can often be determined substantively, i.e. in economic, technical, biological and other terms.

In the analysis of patent applications, the upper limit of the logistic function can also be described as a quantitative expression of the state of exhaustion of the innovative potential of the particular areas of technology. The other parameters of the logistic function can be identified as the rate of this trend and as the quantitative expression of the age of each area of technology.

The use of the logistic function to describe and analyse trends in patent applications has the following advantages : the statistical estimate of the logistic function makes it possible to identify the long-term trends in each patent class and to correct them for short- and medium-term fluctuations.

This estimate also makes it possible to concentrate the available data. The main tendancies to emerge from the almost 100 annual observations of trends in patent applications have been shown in condensed form on the basis of the three afore-mentioned parameters of the logistic function.

The concentration of the whole on the information of a few parameters of the individual patent classes facilitates comparison between these classes. Using three parameters, it is possible to determine a time sequence of patent classes and thus of individual areas of technology. According to our assumptions, individual areas of technology evolve in a sequence corresponding to their development and exhaustion.

It is not by chance that the logistic function is suitable for analysing the time series of patent applications. It represents recurring changes in individual areas of research and innovation. Every research sector is in the long term under pressure from the needs of the economy and society.
In most cases, these are the production and sales needs of corresponding production branches. Research is intended to improve the quality, increase the volume and bring down the price of production. In order to fulfil these tasks, research gradually exhausts the potential of the particular area of technology. This process is reflected both in the number and in the quality of inventions and the corresponding patent applications.

As regards the exhaustion of the technical potential of the area, the following trends are assumed :

1. The research sector is initially on the upgrade. The annual number of inventions and patent applications increases. Once the peak has been reached, the annual number of patent applications begins to fall.
2. The quality and economic and technical importance of inventions show a similar trend. The nearer research comes to the sector's limits, the smaller the economic and technical importance of any further inventions.
3. The widespread exhaustion of the technical potential of the area is probably also reflected in the increase in the number of unsuccessful patent applications.

The long-term tendencies, which affect the trend in patent applications in individual areas, are reflected in the development of the time series. They are modified, but not cancelled out, by medium- and short-term fluctuations, which are linked to trends in the economic cycle, wars and natural disasters, political developments and also lucky strikes by research workers. These fluctuations reflect changes in consumer demand, the pressure of competition, the allocation of funds for research, the work of the education system and other factors.

The results of the estimate of the logistic function for each of the patent classes are set out in Tables $1-3$.

Table l shows the estimated results for the period 1886-1973.
The estimate was successful for almost all the patent classes, the only exception being Class 62 'Aviation', for which the logistic function cannot be used owing to special developments.

The table shows first of all the afore-mentioned parameters $a, b$ and $c$ of the logistic function.

Parameter a can be described as the quantitative expression of the age of the relevant patent class. The smaller this parameter is, the further in the past are the beginnings of technology in this class.

Parameter $b$ of the logistic function describes the rate of development in the relevant patent class.

Parameter $c$ is the parameter of the upper limit to which the logistic function is tending asymptotically. This parameter can be characterized directly as the cumulative number of annual patent applications in the class when the upper limit was reached.

To illustrate the results of the estimate of the logistic function, a number of other estimated values are given. First of all, the results of the estimate are expressed in terms of time. A rough idea of the age of individual patent classes, which could also be described with parameter a, makes it possible to determine the year in which the estimated logistic function reaches $10 \%$ of the upper limit. The year in which half of the upper limit was reached identifies the peak of the trend in each class. This year is the one with the greatest annual number of patent applications.

By giving the estimated value of the function for 1981, it is possible to determine the order of the patent classes with regard to the exhaustion of innovative potential. This number of patent applications was then calculated as a percentage in relation to the upper limit.

For some of the patent classes it was possible to calculate the data beyond 1973 as well. These are the classes which show a relatively easily ascertainable correspondence between the old German classification and the new international classification (see Table 2).

In Table 3 an attempt was made to compare the results in Germany with those in the USA. It proved possible to identify both simultaneous developments and leads and lags in corresponding patent classes in the USA and Germany.

Table 1
Trends in German patent applications in the period 1886-1973 on the basis of the logistic function (standard error in brackets)

|  | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | Estimated value for 1981 | $\begin{gathered} 7 / 4 \\ \text { in } \\ \% \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Patent class | a | b | c | 1/10c | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1. Preparation of ores | $\begin{aligned} & 75.5 \\ & (2.7) \end{aligned}$ | $\begin{gathered} .075 \\ (.0009) \end{gathered}$ | $\begin{aligned} & 9050 \\ & (73) \end{aligned}$ | 1904 | 1934 | 8530 | 94.3 |
| 2. Baking | $\begin{aligned} & 67.6 \\ & (3.5) \end{aligned}$ | $\begin{gathered} .081 \\ (.0013) \end{gathered}$ | $\begin{aligned} & 12457 \\ & (100) \end{aligned}$ | 1901 | 1928 | 12070 | 96.9 |
| 3. Wearing apparel | $\begin{aligned} & 46.2 \\ & (1.7) \end{aligned}$ | $\begin{gathered} .077 \\ (.0099) \end{gathered}$ | $\begin{aligned} & 34198 \\ & (215) \end{aligned}$ | 1898 | 1926 | 33209 | 97.1 |
| 4. Lighting through fuels and preheating torches | $\begin{aligned} & 36.5 \\ & (2.2) \end{aligned}$ | $\begin{gathered} .084 \\ (.0017) \end{gathered}$ | $\begin{aligned} & 34811 \\ & (262) \end{aligned}$ | 1893 | 1919 | 34343 | 98.7 |
| 5. Mining | $\begin{gathered} 120.0 \\ (5.5) \end{gathered}$ | $\begin{gathered} .069 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 38157 \\ & (793) \end{aligned}$ | 1914 | 1957 | 32124 | 84.2 |
| 6. Zymology | $\begin{aligned} & 10.7 \\ & (.5) \end{aligned}$ | $\begin{gathered} .054 \\ (.0019) \end{gathered}$ | $\begin{aligned} & 14567 \\ & (271) \end{aligned}$ | 1880 | 1919 | 13733 | 94.3 |
| 7. Mechanical metal working (1900-1973) | $\begin{gathered} 104.3 \\ (8.1) \end{gathered}$ | $\begin{gathered} .073 \\ (.0019) \end{gathered}$ | $\begin{aligned} & 40534 \\ & (934) \end{aligned}$ | 1910 | 1951 | 36405 | 89.8 |
| 8. Bleaching | $\begin{aligned} & 44.5 \\ & (1.8) \end{aligned}$ | $\begin{gathered} .059 \\ (.0013) \end{gathered}$ | $\begin{aligned} & 77135 \\ & (1762) \end{aligned}$ | 1904 | 1952 | 65432 | 84.8 |
| 9. Brushware | $\begin{gathered} 114.0 \\ (5.0) \end{gathered}$ | $\begin{gathered} .093 \\ (.0010) \end{gathered}$ | $\begin{array}{r} 10257 \\ (51) \end{array}$ | 1904 | 1928 | 10057 | 98.1 |
| 10. Fuels | $\begin{gathered} 136.7 \\ (7.8) \end{gathered}$ | $\begin{gathered} .100 \\ (.0013) \end{gathered}$ | $\begin{array}{r} 14802 \\ (78) \end{array}$ | 1903 | 1926 | 14620 | 98.8 |
| 11. Bookbinding | $\begin{aligned} & 54.4 \\ & (1.3) \end{aligned}$ | $\begin{gathered} .070 \\ (.006) \end{gathered}$ | $\begin{aligned} & 20374 \\ & (132) \end{aligned}$ | 1902 | 1934 | 18919 | 92.9 |
| 12. Chemical processes | $\begin{gathered} 147.1 \\ (5.8) \end{gathered}$ | $\begin{gathered} .059 \\ (.0014) \end{gathered}$ | $\begin{array}{r} 356619 \\ (21347) \end{array}$ | 1924 | 1972 | 227012 | 63.7 |
| 13. Steam boilers |  | $\begin{gathered} .073 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 26316 \\ & (188) \end{aligned}$ | 1890 | 1920 | 25649 | 97.5 |
| 14. Machines or engines in general (1886-1938) | $\begin{aligned} & 44.5 \\ & (4.0) \end{aligned}$ | $\begin{gathered} .101 \\ (.0033) \end{gathered}$ | $\begin{aligned} & 20152 \\ & \text { (363) } \end{aligned}$ | 1892 | 1914 | 20068 | 99.7 |
| 14. Machines or engines in general (1886-1973) | $\begin{aligned} & 30.1 \\ & (3.0) \end{aligned}$ | $\begin{gathered} .082 \\ (.0028) \end{gathered}$ | $\begin{aligned} & 23235 \\ & (297) \end{aligned}$ | 1891 | 1918 | 22918 | 98.6 |
| 15. Printing | $\begin{aligned} & 55.5 \\ & (3.0) \end{aligned}$ | $\begin{gathered} .075 \\ (.0014) \end{gathered}$ | $\begin{aligned} & 51942 \\ & (568) \end{aligned}$ | 1901 | 1930 | 49467 | 96.5 |
| 16. Manufacture of fertilizers | $\begin{gathered} 100.1 \\ (5.3) \end{gathered}$ | $\begin{gathered} .084 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 6373 \\ & (51) \end{aligned}$ | 1905 | 1931 | 6149 | 96.5 |
| 17. Fefrigerat.or cooling, stor. of ice, heat transf. | $\begin{array}{r} 113.2 \\ (5.1) \end{array}$ | $\begin{gathered} .072 \\ (.0011) \end{gathered}$ | $\begin{aligned} & 31163 \\ & (464) \end{aligned}$ | 1912 | 1953 | 27361 | 87.8 |
| 18. Metallurgy of iron | 157.2 (4.7) | $\begin{gathered} .077 \\ (. \infty 07) \end{gathered}$ | $\begin{aligned} & 30100 \\ & \text { (252) } \end{aligned}$ | 1914 | 1953 | 26995 | 89.7 |
| 19. Construction of roads, railways and bridges | $\begin{aligned} & 40.4 \\ & (1.6) \end{aligned}$ | $\begin{aligned} & .060 \\ & (.0012) \end{aligned}$ | $\begin{aligned} & 32098 \\ & (569) \end{aligned}$ | 1901 | 1938 | 27990 | 87.2 |
| 20. Railway transport | $\begin{aligned} & 39.2 \\ & (2.0) \end{aligned}$ | $\begin{gathered} .078 \\ (.0014) \end{gathered}$ | $\begin{aligned} & 73385 \\ & (575) \end{aligned}$ | 1895 | 1923 | 71485 | 97.4 |
| 21. Electrical engineering | $\begin{aligned} & 209.2 \\ & (11.5) \end{aligned}$ | $\begin{gathered} .079 \\ (.0013) \end{gathered}$ | $\begin{aligned} & 525169 \\ & (7758) \end{aligned}$ | 1916 | 1955 | 467294 | 89.3 |
| 22. Iyes, paints, etc. | $\begin{aligned} & 27.4 \\ & (1.0) \end{aligned}$ | $\begin{aligned} & .052 \\ & (.0013) \end{aligned}$ | $\begin{gathered} 54363 \\ (1488) \end{gathered}$ | 1898 | 1951 | 45102 | 83.0 |

Table 1 (continued)

|  | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | Estimated value for 1981 | $\begin{aligned} & 7 / 4 \\ & \text { in } \\ & \% \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Patent class | a | b | c | 1/10c | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 23. Oils and fats | $\begin{aligned} & 72.9 \\ & (2.5) \end{aligned}$ | $\begin{gathered} .068 \\ (.0009) \end{gathered}$ | $\begin{aligned} & 25168 \\ & (299) \end{aligned}$ | 1907 | 1951 | 22442 | 89.1 |
| 24. Furnaces etc. | $\begin{aligned} & 64.6 \\ & (2.6) \end{aligned}$ | $\begin{gathered} .082 \\ (.0010) \end{gathered}$ | $\begin{aligned} & 44344 \\ & (266) \end{aligned}$ | 1901 | 1928 | 43073 | 97.1 |
| 25. Braiding, lace-making, etc. | $\begin{aligned} & 38.2 \\ & (1.3) \end{aligned}$ | $\begin{gathered} .060 \\ (.0011) \end{gathered}$ | $\begin{array}{r} 21689 \\ (355) \end{array}$ | 1901 | 1937 | 19054 | 87.9 |
| 26. Production of gases | $\begin{aligned} & 14.2 \\ & (1.5) \end{aligned}$ | $\begin{gathered} .076 \\ (.0034) \end{gathered}$ | $\begin{aligned} & 14530 \\ & (202) \end{aligned}$ | 1883 | 1911 | 14360 | 98.8 |
| 27. Fans, air pumps and compressors | $\begin{array}{r} 38.6 \\ (1.0) \end{array}$ | $\begin{gathered} .055 \\ (.0009) \end{gathered}$ | $\begin{gathered} 17152 \\ (313) \end{gathered}$ | 1903 | 1954 | 14094 | 82.2 |
| 28. Tanning | $\begin{aligned} & 57.9 \\ & (1.5) \end{aligned}$ | $\begin{gathered} .084 \\ (.0007) \end{gathered}$ | $\begin{aligned} & 7256 \\ & (26) \end{aligned}$ | 1899 | 1925 | 7107 | 97.9 |
| 29. Yarns | $\begin{aligned} & 185.7 \\ & (15.0) \end{aligned}$ | $\begin{gathered} .083 \\ (.0018) \end{gathered}$ | $\begin{gathered} 16131 \\ (259) \end{gathered}$ | 1913 | 1950 | 15016 | 93.1 |
| 30. Medical science | $\begin{aligned} & 86.6 \\ & (3.8) \end{aligned}$ | $\begin{gathered} .077 \\ (.0011) \end{gathered}$ | $\begin{gathered} 83959 \\ (765) \end{gathered}$ | 1906 | 1934 | 79010 | 94.1 |
| 31. Casting, powder metallurgy | $\begin{aligned} & 81.4 \\ & (4.2) \end{aligned}$ | $\begin{gathered} .056 \\ (.0019) \end{gathered}$ | $\begin{aligned} & 35614 \\ & (2236) \end{aligned}$ | 1916 | 1966 | 25099 | 70.5 |
| 32. Glass | $\begin{aligned} & 48.1 \\ & (1.4) \end{aligned}$ | $\begin{gathered} .051 \\ (.0012) \end{gathered}$ | $\begin{gathered} 21856 \\ (843) \end{gathered}$ | 1909 | 1963 | 15720 | 71.9 |
| 33. Hand and travelling articles | $\begin{aligned} & 49.9 \\ & (1.7) \end{aligned}$ | $\begin{gathered} .074 \\ (.0009) \end{gathered}$ | $\begin{gathered} 27473 \\ (190) \end{gathered}$ | 1900 | 1929 | 26227 | 95.5 |
| 34. Furniture | $\begin{aligned} & 38.6 \\ & (1.2) \end{aligned}$ | $\begin{gathered} .066 \\ (.0009) \end{gathered}$ | $\begin{gathered} 120202 \\ (1147) \end{gathered}$ | 1899 | 1932 | 111195 | 92.5 |
| 35. Lifting gear | $\begin{aligned} & 54.9 \\ & (3.4) \end{aligned}$ | $\begin{gathered} .066 \\ (.0017) \end{gathered}$ | $\begin{gathered} 32033 \\ (687) \end{gathered}$ | 1904 | 1937 | 28778 | 89.9 |
| 36. Heating | $\begin{aligned} & 41.2 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .065 \\ (.0007) \end{gathered}$ | $\begin{gathered} 45668 \\ (346) \end{gathered}$ | 1900 | 1934 | 41678 | 91.3 |
| 37. Building | $\begin{aligned} & 54.1 \\ & (2.3) \end{aligned}$ | $\begin{gathered} .055 \\ (.0015) \end{gathered}$ | $\begin{gathered} 118705 \\ \text { (4697) } \end{gathered}$ | 1909 | 1959 | 90487 | 76.2 |
| 38. Wood working | $\begin{aligned} & 35.0 \\ & \text { (1.1) } \end{aligned}$ | $\begin{aligned} & .065 \\ & (.0009) \end{aligned}$ | $\begin{gathered} 28821 \\ (280) \end{gathered}$ | 1898 | 1932 | 26663 | 92.5 |
| 39. Working of plastic masses etc. | $\begin{gathered} 1883.9 \\ (80.7) \end{gathered}$ | $\begin{gathered} .078 \\ (.0014) \end{gathered}$ | $\begin{aligned} & 288013 \\ & (26966) \end{aligned}$ | 1956 | 1983 | 133672 | 46.4 |
| 40. Metallurgy other that of iron | $\begin{aligned} & 68.4 \\ & (2.1) \end{aligned}$ | $\begin{gathered} .063 \\ (.0009) \end{gathered}$ | $\begin{array}{r} 27330 \\ (459) \end{array}$ | 1909 | 1955 | 22937 | 83.9 |
| 41. Headwear | $\begin{array}{r} 28.3 \\ (.8) \end{array}$ | $\begin{gathered} .071 \\ (.0008) \end{gathered}$ | $\begin{array}{r} 3418 \\ (19) \end{array}$ | 1893 | 1923 | 3303 | 96.6 |
| 42. Instruments | $\begin{aligned} & 91.1 \\ & (3.2) \end{aligned}$ | $\begin{gathered} .061 \\ (.0011) \end{gathered}$ | $\begin{gathered} 254113 \\ (6873) \end{gathered}$ | 1914 | 1962 | 193229 | 76.0 |
| 43. Checking devices (1900-1973) | $\begin{aligned} & 98.4 \\ & (8.5) \end{aligned}$ | $\begin{gathered} .074 \\ (.0021) \end{gathered}$ | $\begin{array}{r} 27184 \\ (594) \end{array}$ | 1909 | 1938 | 24862 | 91:5 |
| 44. Haberdashery, jewellery, etc. | $\begin{aligned} & 40.6 \\ & (1.9) \end{aligned}$ | $\begin{gathered} .078 \\ (.0013) \end{gathered}$ | $\begin{gathered} 29480 \\ (220) \end{gathered}$ | 1896 | 1923 | 28733 | 97.5 |
| 45. Agriculture | $\begin{aligned} & 53.8 \\ & (2.0) \end{aligned}$ | $\begin{gathered} .072 \\ (.0010) \end{gathered}$ | $\begin{gathered} 107986 \\ (940) \end{gathered}$ | 1901 | 1932 | 101343 | 93.8 |

Table 1 (continued)

|  | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | Estimated value for 1981 | $\begin{gathered} 7 / 4 \\ \text { in } \\ \% \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Patent class | a | b | c | 1/10c | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | B |
| 46. Combustion engines | $\begin{aligned} & 143.6 \\ & (7.4) \end{aligned}$ | $\begin{gathered} .091 \\ (.0012) \end{gathered}$ | $\begin{gathered} 98467 \\ (629) \end{gathered}$ | 1907 | 1931 | 95782 | 97.3 |
| 47. Engineering elements or units | $\begin{aligned} & 59.8 \\ & (2.3) \end{aligned}$ | $\begin{aligned} & .055 \\ & (.0013) \end{aligned}$ | $\begin{array}{r} 217476 \\ (8191) \end{array}$ | 1911 | 1961 | 163300 | 75.1 |
| 48. Work.\&treatm. of metals other than by mech.means | $\begin{array}{r} 188.9 \\ 3 \quad(3.3) \end{array}$ | $\begin{gathered} .062 \\ (.0006) \end{gathered}$ | $\begin{gathered} 37150 \\ (876) \end{gathered}$ | 1925 | 1972 | 24119 | 64.9 |
| 49. Machine tools | $\begin{aligned} & 34.1 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .056 \\ (.0010) \end{gathered}$ | $\begin{aligned} & 88784 \\ & \text { (1566) } \end{aligned}$ | 1900 | 1950 | 74928 | 84.4 |
| 50. Grinding and pulverizing | $\begin{aligned} & 25.2 \\ & (.4) \end{aligned}$ | $\begin{gathered} .049 \\ (.0006) \end{gathered}$ | 30155 <br> (473) | 1897 | 1953 | 24162 | 80.1 |
| 51. Musical instruments | $\begin{aligned} & 16.4 \\ & (.6) \end{aligned}$ | $\begin{gathered} .069 \\ \text { (.0011) } \end{gathered}$ | $\begin{gathered} 15696 \\ (102) \end{gathered}$ | 1885 | 1917 | 15318 | 97.6 |
| 52. Sewing and embroidering | $\begin{aligned} & 23.2 \\ & (.4) \end{aligned}$ | $\begin{gathered} .060 \\ (.0006) \end{gathered}$ | $\begin{aligned} & 19022 \\ & (120) \end{aligned}$ | 1892 | 1925 | 17662 | 92.6 |
| 53. Foodstuffs | $\begin{aligned} & 57.7 \\ & (2.5) \end{aligned}$ | $\begin{gathered} .076 \\ (.0011) \end{gathered}$ | $\begin{gathered} 34494 \\ (287) \end{gathered}$ | 1901 | 1930 | $33032$ | 95.8 |
| 54. Working of paper and board | $\begin{gathered} 118.8 \\ (7.4) \end{gathered}$ | $\begin{gathered} .091 \\ (.0015) \end{gathered}$ | $\begin{aligned} & 38515 \\ & (282) \end{aligned}$ | 1905 | 1929 | 37685 | 97.8 |
| 55. Production of cellulose, paper and board | $\begin{aligned} & 43.7 \\ & (1.3) \end{aligned}$ | $\begin{gathered} .065 \\ (.0008) \end{gathered}$ | $\begin{gathered} 21442 \\ (213) \end{gathered}$ | 1901 | 1935 | 19556 | 91.2 |
| 56. Upholstery and saddlery | $\begin{aligned} & 16.9 \\ & (1.3) \end{aligned}$ | $\begin{gathered} .071 \\ (.0024) \end{gathered}$ | $\begin{array}{r} 3239 \\ (43) \end{array}$ | 1885 | 1915 | 3174 | 98.0 |
| 57. Photography, cinematography and sound film | $\begin{aligned} & 160.1 \\ & (13.6) \end{aligned}$ | $\begin{gathered} .078 \\ (.0020) \end{gathered}$ | $\begin{aligned} & 71529 \\ & (1532) \end{aligned}$ | 1913 | 1953 | 64355 | 90.0 |
| 58. Presses | $\begin{aligned} & 27.2 \\ & (1.2) \end{aligned}$ | $\begin{gathered} .040 \\ (.0012) \end{gathered}$ | $\begin{gathered} 11438 \\ (784) \end{gathered}$ | 1904 | 1970 | 6995 | 61.2 |
| 59. Pumps | $\begin{aligned} & 34.7 \\ & (.8) \end{aligned}$ | $\begin{gathered} .050 \\ (.0009) \end{gathered}$ | $\begin{gathered} 30014 \\ (781) \end{gathered}$ | 1903 | 1958 | 22849 | 76.1 |
| 60. Hydraulics and pneumatics (up to 1938) | $\begin{aligned} & 24.2 \\ & (1.3) \end{aligned}$ | $\begin{gathered} .096 \\ (.0022) \end{gathered}$ | $\begin{gathered} 2128 \\ (23) \end{gathered}$ | 1887 | 1910 | 2122 | 99.7 |
| 61. Life-saving and firefighting | $\begin{aligned} & 72.1 \\ & (5.9) \end{aligned}$ | $\begin{gathered} .075 \\ (.0020) \end{gathered}$ | $\begin{aligned} & 12317 \\ & (219) \end{aligned}$ | 1904 | 1933 | 11601 | 94.2 |
| 62. Aviation <br> 63. Trackless vehicles | Estima 93.4 (4.5) | $\begin{gathered} \text { tion fai } \\ .078 \\ \mathbf{( . 0 0 1 2 )} \end{gathered}$ | $\begin{aligned} & \text { red } \\ & 186562 \\ & (1772) \end{aligned}$ | 1906 | 1934 | 176283 | 94.5 |
| 64. Distribution of alcoholic liquors | $\begin{aligned} & 23.4 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .064 \\ (.0013) \end{gathered}$ | $\begin{gathered} 39365 \\ (449) \end{gathered}$ | 1891 | 1924 | 37392 | 95.0 |
| 65. Ships | $\begin{aligned} & 57.5 \\ & (4.3) \end{aligned}$ | $\begin{gathered} .083 \\ (.0019) \end{gathered}$ | $\begin{array}{r} 32750 \\ (345) \end{array}$ | 1899 | 1926 | 31965 | 97.6 |
| 66. Butchering and meat treatment | $\begin{aligned} & 67.0 \\ & (4.0) \end{aligned}$ | $\begin{gathered} .074 \\ (.0015) \end{gathered}$ | $\begin{aligned} & 7691 \\ & (102) \end{aligned}$ | 1904 | 1933 | 7236 | 94.1 |
| 67. Grinding and polishing | $\begin{gathered} 101.9 \\ (4.8) \end{gathered}$ | $\begin{gathered} .078 \\ (.0011) \end{gathered}$ | $\begin{gathered} 20069 \\ (197) \end{gathered}$ | 1908 | 1936 | 18836 | 93.9 |
| 68. Articles of the locksmithing trade | $\begin{aligned} & 40.5 \\ & (2.6) \end{aligned}$ | $\begin{gathered} 0.74 \\ (.0017) \end{gathered}$ | $\begin{gathered} 41119 \\ (510) \end{gathered}$ | 1897 | 1927 | 39533 | 96.2 |
| 69. Cutting tools | $\begin{aligned} & 47.9 \\ & (2.0) \end{aligned}$ | $\begin{gathered} .071 \\ (.0011) \end{gathered}$ | $\begin{gathered} 10142 \\ (98) \end{gathered}$ | 1900 | 193,1 | 9580 | 94.5 |
| 70. Writing and drawing implements | $\begin{aligned} & 37.6 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .073 \\ (.0007) \end{gathered}$ | $\begin{aligned} & 24949 \\ & (135) \end{aligned}$ | 1896 | 1926 | 23965 | 96.1 |

Table 1 (continued)

|  | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | Estimated value for 1981 | $\begin{aligned} & 7 / 4 \\ & \text { in } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Patent class | - | $b$ | c | 1/10e | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 71. Footwear | $\begin{aligned} & 80.5 \\ & (2.7) \end{aligned}$ | $\begin{gathered} .090 \\ (.0008) \end{gathered}$ | $\begin{aligned} & 29623 \\ & (114) \end{aligned}$ | 1901 | 1925 | 29131 | 98.3 |
| 72. Firearms | $\begin{aligned} & 51.1 \\ & (4.8) \end{aligned}$ | $\begin{gathered} .085 \\ (.0024) \end{gathered}$ | $\begin{gathered} 33847 \\ (395) \end{gathered}$ | 1897 | 1923 | 33270 | 98.3 |
| 73. Ropes | $\begin{aligned} & 34.9 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .051 \\ (.0011) \end{gathered}$ | $\begin{gathered} 2148 \\ (63) \end{gathered}$ | 1903 | 1957 | 1678 | 78.1 |
| 74. Signalling | $\begin{aligned} & 128.2 \\ & (6.4) \end{aligned}$ | $\begin{gathered} .093 \\ (.0012) \end{gathered}$ | $\begin{gathered} 27829 \\ (156) \end{gathered}$ | 1905 | 1929 | 27267 | 98.0 |
| 75. Sculpture, painting,etc. | $\begin{aligned} & 63.6 \\ & (3.4) \end{aligned}$ | $\begin{gathered} .063 \\ (.0015) \end{gathered}$ | $\begin{aligned} & 24961 \\ & (613) \end{aligned}$ | 1907 | 1953 | 21454 | 86.0 |
| 76. Spinning | $\begin{aligned} & 36.0 \\ & (.7) \end{aligned}$ | $(.0007)$ | $\begin{gathered} 34028 \\ (712) \end{gathered}$ | 1904 | 1958 | 25946 | 76.2 |
| 77. Sports, games and amusements | $\begin{aligned} & 78.6 \\ & (8.8) \end{aligned}$ | $\begin{gathered} .094 \\ (.0028) \end{gathered}$ | $\begin{aligned} & 57306 \\ & (641) \end{aligned}$ | 1900 | 1923 | 56647 | 98.9 |
| 78. Explosives | $\begin{aligned} & 37.2 \\ & (2.3) \end{aligned}$ | $\begin{gathered} .077 \\ (.0017) \end{gathered}$ | $\begin{gathered} 9270 \\ (92) \end{gathered}$ | 1895 | 1923 | 9035 | 97.5 |
| 79. Tobacco | $\begin{aligned} & 52.3 \\ & (2.4) \end{aligned}$ | $\begin{gathered} .066 \\ (.0013) \end{gathered}$ | $\begin{gathered} 11821 \\ (186) \end{gathered}$ | 1903 | 1936 | 10690 | 90.4 |
| 80. Clay articles etc. | $\begin{aligned} & 42.6 \\ & (1.7) \end{aligned}$ | $\begin{gathered} .062 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 61963 \\ & (1038) \end{aligned}$ | 1902 | 1937 | 54938 | 88.7 |
| 81. Transport and packing | $\begin{gathered} 160.1 \\ (7.5) \end{gathered}$ | $\begin{aligned} & .066 \\ & (.0014) \end{aligned}$ | $\begin{aligned} & 122827 \\ & (4000) \end{aligned}$ | 1920 | 1964 | 92259 | 75.1 |
| 82. Drying | $\begin{aligned} & 38.3 \\ & (1.4) \end{aligned}$ | $\begin{gathered} .060 \\ (.0011) \end{gathered}$ | $\begin{gathered} 18894 \\ (300) \end{gathered}$ | 1900 | 1937 | 16710 | 88.4 |
| 83. Measurement of time | $\begin{gathered} 33.2 \\ (.8) \end{gathered}$ | $\begin{gathered} .053 \\ (.0009) \end{gathered}$ | $\begin{gathered} 18326 \\ (358) \end{gathered}$ | 1901 | 1954 | 14931 | 81.5 |
| 84. Hydraulic engineering and foundations | 111.4 (5.7) | $\begin{gathered} .065 \\ (.0015) \end{gathered}$ | $\begin{array}{r} 20128 \\ (599) \end{array}$ | 1915 | 1960 | 16163 | 80.3 |
| 85. Water purification etc. | $\begin{aligned} & 23.3 \\ & (.9) \end{aligned}$ | $\begin{gathered} .052 \\ (.0014) \end{gathered}$ | $\begin{gathered} 33345 \\ (889) \end{gathered}$ | 1895 | 1938 | 28188 | 84.5 |
| 86. Weaving | $\begin{aligned} & 19.7 \\ & (.7) \end{aligned}$ | $\begin{gathered} .054 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 23382 \\ & (410) \end{aligned}$ | 1891 | 1931 | 20930 | 89.5 |
| 87. Tools and implements | $\begin{aligned} & 53.4 \\ & (3.2) \end{aligned}$ | $\begin{gathered} .071 \\ (. \infty 016) \end{gathered}$ | $\begin{aligned} & 15687 \\ & (225) \end{aligned}$ | 1902 | 1932 | 14701 | 93.7 |
| 88. Machines (excluding classes 46,14 ) | $\begin{aligned} & 70.5 \\ & (4.6) \end{aligned}$ | $\begin{gathered} .091 \\ (.0017) \end{gathered}$ | $\begin{array}{r} 10437 \\ (74) \end{array}$ | 1899 | 1923 | 10301 | 98.7 |
| 89. Sugar and starch industry | $\begin{aligned} & 7.1 \\ & (.2) \end{aligned}$ | $\begin{gathered} .052 \\ (.0013) \end{gathered}$ | $\begin{gathered} 8288 \\ (98) \end{gathered}$ | 1873 | 1914 | 7869 | 94.9 |

## Table 2

Trends in German patent applications in the period 1886-1980 on the basis of the logistic function (standard error in brackets)

|  | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | Estimated value for 1981 | $\begin{gathered} 7 / 4 \\ \text { in } \\ \% \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Patent class | a | b | c | 1/10c | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 3. Wearing apparel | $\begin{aligned} & 46.3 \\ & (1.6) \end{aligned}$ | $\begin{gathered} .077 \\ (.0008) \end{gathered}$ | $\begin{aligned} & 34161 \\ & (153) \end{aligned}$ | 1898 | 1926 | 33104 | 96.9 |
| 5. Mining | $\begin{gathered} 118.7 \\ (5.0) \end{gathered}$ | $\begin{aligned} & .068 \\ & (.0010) \end{aligned}$ | $\begin{gathered} 38385 \\ (485) \end{gathered}$ | 1914 | 1957 | 32195 | 83.9 |
| 7. Mechanical metal working (1900-1980) | $\begin{aligned} & 88.4 \\ & (6.6) \end{aligned}$ | $\begin{gathered} .066 \\ (.0017) \end{gathered}$ | $\begin{aligned} & 46198 \\ & (1020) \end{aligned}$ | 1911 | 1956 | 38962 | 84.3 |
| 9. Brushware | $\begin{array}{r} 107.4 \\ (4.6) \end{array}$ | $\begin{gathered} .090 \\ (.0010) \end{gathered}$ | 10402 <br> (42) | 1904 | 1928 | 10175 | 97.8 |
| 14. Machines or engines in general | $\begin{aligned} & 20.5 \\ & (2.5) \end{aligned}$ | $\begin{gathered} .063 \\ (.0035) \end{gathered}$ | $\begin{aligned} & 27295 \\ & (714) \end{aligned}$ | 1890 | 1924 | 25877 | 94.8 |
| 16. Manufacture of fertilizers | $\begin{aligned} & 92.8 \\ & (4.7) \end{aligned}$ | $\begin{gathered} .082 \\ (.0011) \end{gathered}$ | $\begin{gathered} 6526 \\ (41) \end{gathered}$ | 1905 | 1933 | 6258 | 95.9 |
| 18. Metallurgy of iron | $\begin{gathered} 162.2 \\ (4.6) \end{gathered}$ | $\begin{gathered} .078 \\ (.0006) \end{gathered}$ | $\begin{aligned} & 29577 \\ & (150) \end{aligned}$ | 1914 | 1953 | 26717 | 30.3 |
| 19. Construction of roads, railways and bridges | $\begin{aligned} & 39.1 \\ & (1.4) \end{aligned}$ | $\begin{gathered} .058 \\ (.0010) \end{gathered}$ | $\begin{gathered} 34197 \\ (493) \end{gathered}$ | 1902 | 1951 | 29123 | 85.2 |
| 22. Dyes, paints, etc. | $\begin{aligned} & 27.7 \\ & (.9) \end{aligned}$ | $\begin{gathered} .048 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 62304 \\ & \text { (1669) } \end{aligned}$ | 1900 | 1957 | 47646 | 76.5 |
| 32. Glass | $\begin{aligned} & 50.0 \\ & (1.3) \end{aligned}$ | $\begin{gathered} .049 \\ (.0009) \end{gathered}$ | $\begin{aligned} & 24572 \\ & (749) \end{aligned}$ | 1912 | 1968 | 16314 | 66.4 |
| 34. Furniture | $\begin{aligned} & 37.0 \\ & (1.2) \end{aligned}$ | $\begin{gathered} .063 \\ (.0008) \end{gathered}$ | $\begin{gathered} 124859 \\ (1017) \end{gathered}$ | 1899 | 1934 | 113806 | 91.1 |
| 35. Lifting gear | $\begin{aligned} & 51.6 \\ & (2.9) \end{aligned}$ | $\begin{gathered} .062 \\ (.0014) \end{gathered}$ | $\begin{gathered} 34659 \\ (621) \end{gathered}$ | 1905 | 1951 | 30049 | 86.7 |
| 37. Building | $\begin{aligned} & 54.4 \\ & (2.1) \end{aligned}$ | $\begin{gathered} .054 \\ (.0012) \end{gathered}$ | $\begin{array}{r} 124909 \\ (3338) \end{array}$ | 1910 | 1961 | 93546 | 74.9 |
| 40. Metallurgy other than of iron | $\begin{aligned} & 66.5 \\ & (2.0) \end{aligned}$ | $\begin{gathered} .060 \\ (.0008) \end{gathered}$ | $\begin{gathered} 29165 \\ (379) \end{gathered}$ | 1910 | 1957 | 23646 | 81.1 |
| 41. Headwear | $\begin{aligned} & 27.1 \\ & (.8) \end{aligned}$ | $\begin{gathered} .069 \\ (.0008) \end{gathered}$ | $\begin{gathered} 3495 \\ (18) \end{gathered}$ | 1892 | 1924 | 3358 | 96.1 |
| 44. Haberdashery, jewellery, etc. | $\begin{aligned} & 37.7 \\ & (1.8) \end{aligned}$ | $\begin{gathered} .075 \\ (.0012) \end{gathered}$ | $\begin{gathered} 30306 \\ (203) \end{gathered}$ | 1896 | 1925 | 29358 | 96.9 |
| 45. Agriculture | $\begin{aligned} & 50.0 \\ & 12.0) \end{aligned}$ | $\begin{gathered} .069 \\ (.0010) \end{gathered}$ | $\begin{array}{r} 112891 \\ (916) \end{array}$ | 1901 | 1950 | 104794 | 92.8 |
| 46. Combustion engines | $\begin{gathered} 118.4 \\ (8.0) \end{gathered}$ | $\begin{gathered} .085 \\ (.0015) \end{gathered}$ | $\begin{aligned} & 103841 \\ & (808) \end{aligned}$ | 1908 | 1933 | 99774 | 96.1 |
| 47. Engineering elements or units | $\begin{aligned} & 60.5 \\ & (2.1) \end{aligned}$ | $\begin{gathered} .053 \\ (.0010) \end{gathered}$ | $\begin{array}{r} 235439 \\ (6252) \end{array}$ | 1912 | 1964 | 168056 | 71,4 |
| 48. Work. \&treatm. of metals other than by mech.means | $\begin{gathered} 194.0 \\ (7.1) \end{gathered}$ | $\begin{gathered} .067 \\ (.0009) \end{gathered}$ | $\begin{gathered} 30937 \\ (529) \end{gathered}$ | 1922 | 1966 | 22718 | 73.4 |
| 4o. Machine tools | $\begin{aligned} & 33.5 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .053 \\ (.0009) \end{gathered}$ | $\begin{aligned} & 95906 \\ & (1470) \end{aligned}$ | 1901 | 1953 | 78278 | 81.6 |
| 58. Presses | $\begin{aligned} & 41.4 \\ & (3.6) \end{aligned}$ | $\begin{aligned} & .035 \\ & (.0011) \end{aligned}$ | $\begin{aligned} & 19815 \\ & (2330) \end{aligned}$ | 1920 | 1995 | 7669 | 38.7 |

Table 2 (continued)

| Patent class | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | ```Estimated value for 1981``` | $\begin{gathered} 7 / 4 \\ \text { in } \\ \% \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | a | b | c | 1/10c | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 65. Ships | $\begin{aligned} & 48.3 \\ & (3.9) \end{aligned}$ | $\begin{gathered} .076 \\ (.0020) \end{gathered}$ | $\begin{gathered} 34887 \\ (398) \end{gathered}$ | 1899 | 1928 | 33561 | 96.2 |
| 71. Footwear | $\begin{aligned} & 75.5 \\ & (2.8) \end{aligned}$ | $\begin{gathered} .087 \\ (.0009) \end{gathered}$ | $\begin{aligned} & 30123 \\ & (109) \end{aligned}$ | 1901 | 1926 | 29544 | 98.1 |
| 77. Sports, games and amusements | $\begin{aligned} & 60.6 \\ & (7.1) \end{aligned}$ | $\begin{gathered} .085 \\ (.0028) \end{gathered}$ | $\begin{gathered} 60967 \\ (746) \end{gathered}$ | 1899 | 1925 | 59690 | 97.9 |
| 78. Explosives | $\begin{aligned} & 33.6 \\ & (2.1) \end{aligned}$ | $\begin{gathered} .073 \\ (.0016) \end{gathered}$ | 9664 (91) | 1895 | 1925 | 9329 | 96.5 |
| 84. Hydraulic engineering and foundations | $\begin{array}{r} 107.9 \\ (4.9) \end{array}$ | $\begin{gathered} .062 \\ (.0012) \end{gathered}$ | $\begin{aligned} & 22511 \\ & (520) \end{aligned}$ | 1917 | 1963 | 16930 | 75.2 |
| 89. Sugar and starch industry | $\begin{gathered} 7.1 \\ (.2) \end{gathered}$ | $(.0011)$ | 8331 <br> (73) | 1873 | 1914 | 7895 | 94.8 |
| Total number of patent applications (1886-1973) | $\begin{aligned} & 66.0 \\ & (2.7) \end{aligned}$ | $\begin{gathered} .065 \\ (.0010) \end{gathered}$ | $\begin{gathered} 4851460 \\ (57614) \end{gathered}$ | 1907 | 1952 | 4215220 | 86.9 |
| Sum of the estimates of patent applications in individ. classes (1886-1973) | $\begin{aligned} & 50.2 \\ & (.5) \end{aligned}$ | $\begin{gathered} .063 \\ (.0002) \end{gathered}$ | $\begin{aligned} & 4312370 \\ & (12200) \end{aligned}$ | 1904 | 1950 | 3792300 | 87.9 |
| Total number of patent applications (1886-1979) | $\begin{aligned} & 71.8 \\ & (3.3) \end{aligned}$ | $\begin{gathered} .067 \\ (.0011) \end{gathered}$ | $\begin{aligned} & 4796270 \\ & (63209) \end{aligned}$ | 1907 | 1940 | 4205900 | 87.7 |
| Sum of the estimates (18861979) of patent applications in individual classes | $\begin{gathered} 50.5 \\ (. .4) \end{gathered}$ | $\begin{gathered} .063 \\ (.0002) \end{gathered}$ | $\begin{aligned} & 4288740 \\ & (12250) \end{aligned}$ | 1904 | 1939 | 3752640 | 87.5 |

Table 3
Trends in USA patent applications in the classes corresponding to the German classification in the period 1886-1957, on the basis of the logistic function (standard error in brackets)

|  | Coefficients of the logistic function$P=\frac{c}{1+a e^{-b t}}$ |  |  | Estimated year in which reached |  | Estimated value for 1981 | $\begin{gathered} 7 / 4 \\ \text { in } \\ \% \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Patent class | a | b | c | 1/10c | 1/2c |  |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| \|the figures refer to the corresponding German class(es)| |  |  |  |  |  |  |  |
| 122. Construction 19,37,84,85 | $\begin{aligned} & 26.0 \\ & (.6) \end{aligned}$ | $\begin{gathered} .069 \\ (.0007) \end{gathered}$ | $\begin{aligned} & 81226 \\ & (512) \end{aligned}$ | 1892 | 1924 | 79698 | 98.1 |
| ```170. RaiIroads 20``` | $\begin{aligned} & 19.2 \\ & (.5) \end{aligned}$ | $\begin{gathered} .086 \\ (.0008) \end{gathered}$ | $\begin{aligned} & 93200 \\ & (292) \end{aligned}$ | 1885 | 1911 | 92980 | 99.8 |
| 176. Spinning I 76 | 11.2 (.2) | $\begin{gathered} .049 \\ (.0009) \end{gathered}$ | $\begin{aligned} & 6728 \\ & (105) \end{aligned}$ | 1881 | 1926 | 6298 | 93.6 |
| $\begin{aligned} & \text { 180. Spinning II } \\ & 76 \end{aligned}$ | 18.5 $(.4)$ | .051 $(.0009)$ | $\begin{aligned} & 12745 \\ & (224) \end{aligned}$ | 1890 | 1933 | 11770 | 92.3 |
| $\begin{aligned} & \text { 194. Weaving } \\ & 86 \end{aligned}$ | $\begin{aligned} & 20.6 \\ & (1.0) \end{aligned}$ | $\begin{gathered} .065 \\ (.0016) \end{gathered}$ | $\begin{aligned} & 17338 \\ & (258) \end{aligned}$ | 1889 | 1924 | 16953 | 97.8 |
| 312. Paper processes 55 | $\begin{aligned} & 30.7 \\ & (1.1) \end{aligned}$ | $\begin{gathered} .065 \\ (.0011) \end{gathered}$ | $\begin{aligned} & 19693 \\ & (252) \end{aligned}$ | 1895 | 1929 | 19066 | 96.8 |
| $\begin{aligned} & \text { 313. Paper products } \\ & 11,54 \end{aligned}$ | 40.6 (.8) | (.0007) | 23745 (221) | 1900 | 1934 | 22686 | 95.5 |
| ```324. Fertilizers 16``` | $\begin{aligned} & 35.9 \\ & (1.7) \end{aligned}$ | $\begin{gathered} .054 \\ (.0019) \end{gathered}$ | $\begin{array}{r} 2694 \\ (138) \end{array}$ | 1902 | 1943 | 2397 | 89.0 |
| $\begin{aligned} & \text { 390. Stone, clay } \\ & 80 \end{aligned}$ | 21.5 (.5) | $\begin{gathered} .065 \\ (.0008) \end{gathered}$ | $\begin{aligned} & 40466 \\ & (323) \end{aligned}$ | 1890 | 1924 | 39511 | 97.6 |
| 438. Shoemaking 71 | $\begin{aligned} & 18.0 \\ & (.4) \end{aligned}$ | $\begin{gathered} .060 \\ (.0010) \end{gathered}$ | $\begin{aligned} & 18986 \\ & (270) \end{aligned}$ | 1888 | 1925 | 18383 | 96.8 |
| 444. Tobacco 79 | 7.9 (.4) | $\begin{gathered} .051 \\ (.0026) \end{gathered}$ | $\begin{array}{r} 4186 \\ (148) \end{array}$ | 1874 | 1917 | 4042 | 96.6 |
| $\begin{aligned} & \text { 458. Glass } \\ & 32 \end{aligned}$ | $\begin{aligned} & 15.1 \\ & (.5) \end{aligned}$ | $\begin{gathered} .072 \\ (.0011) \end{gathered}$ | $\begin{aligned} & 6533 \\ & (41) \end{aligned}$ | 1884 | 1914 | 6481 | 99.2 |

In the following pages a brief interpretation is given of the results of the estimate with regard to age at the time of the peak and the rates in the individual patent classes. The data on the age of the area of technology are summarized in Table 4 below.

## TABLE 4

Sequence in which $10 \%$ and $50 \%$ of the upper limit were reached in the individual patent classes.

| Year | $10 \%$ | 50 \% |
| :---: | :---: | :---: |
| 1873 | 89 |  |
| 1880 | 6 |  |
| 1883 | 26 |  |
| 1885 | 51,56 |  |
| 1887 | 60 |  |
| 1890 | 13,14 |  |
| 1891 | 41,64,86 |  |
| 1892 | 52 |  |
| 1893 | 4 |  |
| 1895 | 20,78,85 |  |
| 1896 | 44,70 |  |
| 1897 | 50,68,72 |  |
| 1898 | 3,38 |  |
| 1899 | 28,34,65,77,88 |  |
| 1900 | 22,33,36,69,82 |  |
| 1901 | $2,15,24,25,45,49,53,55,71,83$ |  |
| 1902 | 11,19,80,87 |  |
| 1903 | 10,27,59,73,79 |  |
| 1904 | 1,8,9,61,66,76 |  |
| 1905 | 16,35,54,74 |  |
| 1906 | 30,63 |  |
| 1907 | 23,75 |  |
| 1908 | 46,67 |  |
| 1909 | 43 |  |
| 1910 | 37,40 | 60 |
| 1911 | 7 | 26 |
| 1912 | 17,32,47 |  |
| 1913 | 29,57 |  |
| 1914 | 5,18,42 | 89 |
| 1916 | 21,31 | 56 |
| 1917 | 84 | 51 |
| 1919 |  | 4,6 |
| 1920 | 58,81 | 13 |
| 1922 | 48 |  |
| 1923 |  | 20,72,88 |
| 1924 | 12 | 14,41,64 |

TABLE 4 (continued)

| Year | $10 \%$ | $50 \%$ |
| :---: | :---: | :---: |
| 1925 |  | 28,44,52,77,78 |
| 1926 |  | 13,10,70,71 |
| 1927 |  | 68 |
| 1928 |  | 2,9,24,65 |
| 1929 |  | 33,54,74 |
| 1930 |  | 15,53 |
| 1931 |  | 69,86 |
| 1932 |  | 38,87 |
| 1933 |  | 16,46,61,66 |
| 1934 |  | 1,11,30,34,36,63 |
| 1935 |  | 55 |
| 1936 |  | 67,79 |
| 1937 |  | 25,80,82 |
| 1938 |  | 43,85 |
| 1950 |  | 29,45 |
| 1951 |  | 19,23,35 |
| 1952 |  | 8 |
| 1953 |  | 17,18,49,50,57,75 |
| 1954 |  | 27,83 |
| 1955 |  | 21 |
| 1956 | 39 | 7 |
| 1957 |  | 5,22,40,73 |
| 1958 |  | 59,76 |
| 1961 |  | 37 |
| 1962 |  | 42 |
| 1963 |  | 84 |
| 1964 |  | 47,81 |
| 1966 |  | 48,31 |
| 1968 |  | 32 |
| 1972 |  | 12 |
| 1983 |  | 39 |
| 1995 |  | 58 |

This table shows the patent classes which in the various years reached either $10 \%$ or $50 \%$ of the upper limit.

The oldest class is Class 89 "Sugar and starch industry", which reached the $10 \%$ level in 1873. In second place is Class 6 "Zymology", which reached this level in 1880. These are followed by Classes 26 "Production of gases", 51 "Musical instruments", 56 "Upholstery and saddlery" and 60 "Hydraulics and pneumatics". Most of the classes reached this level between 1895 and 1908. The youngest class of all is Class 39 "Working of plastic masses, etc.".

From Table 4, the corresponding sequence in which the patent classes reached $50 \%$ of the upper limit can also be determined. This shows the time at which the individual classes reached their peak. In first place here is Class 60 "Hydraulics and pneumatics" in 1910. It is followed by Classes 26 "Production of gases", 89 "Sugar and starch industry", 56 "Upholstery and saddlery", 51 "Musical instruments", etc.

Most of the classes reached their maximum annual increase in patent applications between 1923 and 1958.

Class 58 "Presses" is still a long way from reaching its maximum annual number of patent applications. It is followed immediately by Classes 39 "Working of plastic masses, etc." and 12 "Chemical processes".

The comparison of the patent classes by development rates is possible on the basis of Table 5 , in which the various classes were classified according to the value of parameter $b$.

TABLE 5
Order of the patent classes according to parameter $b$ of the logistic function.

| Range | Patent classes |
| :---: | :---: |
| > .039 | 50, 58, 59 |
| > .049 | $\begin{aligned} & 6,8,12,22,27,31,32,37,47,49 \\ & 73,76,83,85,86,89 \end{aligned}$ |
| > . 059 | $\begin{aligned} & 5,19,23,25,34,35,36,38,40,42,48, \\ & 51,52,55,64,75,79,80,81,82,84 \end{aligned}$ |
| > . 069 | $\begin{aligned} & 1,3,7,11,13,15,17,18,20,21,30, \\ & 33,39,41,43,44,45,53,56,57,61,63, \\ & 66,67,68,69,70,78,87 \end{aligned}$ |
| > .079 | $2,4,16,24,28,29,65,72$ |
| $>.089$ | 9, 46, 54, 60, 71, 74, 77, 88 |
| > .099 | 10, 14 |

The three classes with the slowest development are Classes 50 "Grinding and pulverizing", 58 "Presses" and 59 "Pumps". Classes 10 "Fuels" and 14 "Machines or engines in general" developed the most rapidly.

THOMAS GALBRAITH in LONDONDERRY (Irland).
Helssluftbad für Kranke.


## CARL KLEMM in RIGA.

## Muskelklopfer.

Fig. 1.


Fig. 3.


Zu der Patentschrift
№ 153.
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

The classes which developed relatively quickly include Classes 46 "Combustion engines", 60 "Hydraulics and pneumatics", 71 "Footwear", 74 "Signalling" and 88 "Machines driven by wind, etc.".

In the following pages, trends in patent applications in the various classes are analysed on the basis of the time series and the estimated parameters of the logistic function.

Class 1 "Preparation of ores, etc." clearly reached its peak in the first half of the 1930s. Up to that time, patent applications showed a rising trend but then clearly began to drop off.

The same pattern is also shown by Class 2 "Baking", although it reached its peak somewhat earlier, i.e. in the second half of the 1920s.

Even older is Class 3 "Wearing apparel", which reached its peak as early as the period before the First World War.

Class 4, which covers lighting through fuels, etc., reached its peak in the second decade of this century. This trend reflects the rivalry between gas and electric lighting. The battle was decided first and foremost by the invention of the process for the production of tungsten wire, which could be used in the manufacture of the actual bulbs.

Class 5 "Mining" did not reach its peak until after the Second World War.
The maximum annual number of patent applications in Class 6 "Zymology" is not clearly discernible. The trend in this time series is dominated by a number of relatively long waves in the annual number of patent applications. The peak of the first wave is at the beginning of this century, while the second and third waves peak at the end of the 1920s and in the 1970s, respectively.

Class 7 "Mechanical metal working without essentially removing material" seems to be still on an upward trend.

Class 8 "Bleaching, washing, dyeing, printing of cloth and tapestry and finishing" seems to show a similar trend.

Inventive activity is clearly on the wave in Class 9 "Brushware", which reached its peak in the second half of the 1920s.

Class 10 "Fuels" also seems to have reached its peak in the 1920s, as does Class 11 "Bookbinding, etc.".

One of the classes with the biggest growth which have probably not yet reached their peak is Class 12 "Chemical processes and apparatuses". Of note is the increase in this class's share of the total number of patent applications. At the beginning of our time series this share was a little over $1 \%$, whereas at the end this class accounted for almost $10 \%$ of the total number of patent applications in the Federal Republic of Germany.

Class 13, which covers steam boilers, reached its peak in the first half of the 1920s, Class 14 "Machines or engines in general" somewhat earlier.

Class 15 "Printing" peaked at the end of the 1920 s, shortly before the beginning of the great world economic crisis. Class 16 "Manufacture of fertilizers" also reached its peak at the same time.

Class 17 "Refrigeration or cooling, storage of ice, heat transfer, etc." seems to be gaining fresh impetus over and over again. Its share of the total number of patent applications shows a rising trend and in the 1970 s exceeded its pre-war peak.

Class 18 "Metallurgy of iron" seems to have reached its peak after the Second World War at the end of the 1950s. The drop in patent applications in this class seems unstoppable in the last two decades.

Class 19 "Construction of roads, railways.and bridges" reached its peak at the beginning of the 1930s. However, the drop in the number of patent applications per year did not continue after the Second World War. The situation in Class 20 "Railway transport" seems very clear : the peak was reached in the mid-1920s. Since that time the annual number of patent applications has been steadily falling, although this trend has slowed down since the Second World War.

Class 21 "Electrical engineering" shows a trend similar to that of Class 12 "Chemical processes". This class too has acquired an increasing share of the total number of patent applications. With a share of around $14 \%$ in the l970s, this class took the lead in the patent classification. Nevertheless, certain signs of exhaustion have become apparent here, too, in the last two decades.

Class 22 "Dyes, paints, etc." reached its first peak at the end of the 1920s. After the Second World War it was given a fresh boost which took it beyond the pre-war peak.

Class 23 "Oils and fats" has been around its peak in the last couple of decades.

The classes showing a clear trend include Class 24 "Furnaces, etc.", whose peak was indisputably in the 1920 s.

Class 25 "Braiding, lace-making, knitting, etc." reached its peak before the Second World War.

The trend in Class 26 "Production of gases" is closely linked to that in Class 4, which includes gas lighting in particular. This class had an exceptional upswing around the turn of the century. After the final victory of electric lighting, it too has stagnated, albeit over a long period.

A long-term rise until the mid-1950s is shown by Class 27 "Fans, air pumps and compressors".

Class 28 "Tanning and treatment of skins and hides, leather industry" is one of the classes which have long since passed their peak; this particular class reached its peak in the 1920 s.

Class 29 "Yarns" has been around its peak since the 1930s.

Although Class 30 "Medical science" reached its peak before the Second World War, it has shown a further upswing since the mid-1960s. This is obviously linked to the introduction of patent protection of chemical compounds.

Class 31 "Industrial furnaces; casting; powder metallurgy", which showed moderate growth for decades, showed a significant upturn in the 1960s and 1970s. This is obviously due to developments in the field of powder metallurgy.

Class 32 "Glass; mineral and slag wool" gives the impression of a technological breakthrough. This class perhaps provides a good example of the conversion of the traditional logistic function into a series of logistic functions, i.e. a step function.

Class 33 "Hand and travelling articles" has been on the decline since the beginning of the 1930s. Its share of the total number of patent applications has also been falling steadily. The same applies to Class 34 "Furniture; domestic articles or appliances".

Although Class 35 "Lifting gear" reached its peak before the war, it has since remained at more or less the same level. Class 36 "Heating, ventilation, hot water supply in buildings" also reached its peak before the Second World War.

Class 37 "Building" clearly shows a rising trend.
The trend in Class 38 "Wood working by mechanical and chemical means" follows the well-known pattern, with a clear decline after the Second World War. This class reached its peak before the war, namely at the end of the 1920s. Its share of the total number of patent applications is falling year by year.

Class 39 "Working of plastic masses" is one of the most expansive classes in the whole patent classification. Over the hundred years analysed, its share of the total number of patent applications has risen from a few per thousand to more than $5 \%$ in the 1970s. Only in the last decade a fall-off in the growth rate is apparent. It is impossible to say, however, to what extent this is due to long-term and/or cyclical causes.

Class 40 "Metallurgy other than of iron" is going through a second upswing. It seemed to have reached its peak before the war, but it has gained fresh impetus since the end of the Second World War.

Class 41 "Headwear, felts" is one of the classes which have long since passed their peak. However, the trend in this class shows two peaks, namely shortly before the First World War and at the end of the 1920s. Since then, its decline seems unstoppable. This is also reflected in its steadily declining share of the total number of patent applications.

Class 42 "Instruments" is one of the most dynamic classes. Its share of patent applications has grown from around $3 \%$ at the beginning of our time series to over $8 \%$ in the 1970s.

Class 43 "Checking devices" is characterized by a series of long waves. The corresponding peaks came in the mid-1900s, at the end of the 1920s and in the mid-1960s.

Class 44 "Haberdashery, jewellery, snuff takers' and smokers' requisites" reached its peak at the beginning of the 1920s, with more than 1000 patent applications per year; since then it has been in decline in both absolute and relative terms.

The greatest number of patent applications in the agricultural sector (Class 45) was reached at the end of the 1920s. Since then, the number of applications in this class has been falling not only in absolute but also in percentage terms.

In Class 46 "Combustion engines" innovation potential reached its peak in the 1920s. Up to that time, this class increased its share of patent applications from around $1.3 \%$ to over $4 \%$. This was followed by a decline in both absolute and relative terms, bringing this class's share down to almost its original level.

Class 47 "Engineering elements or units", which covers general inventions in the field of mechanical engineering, is one of the classes to show growth over the whole reference period. The rise is even sharper in Class 48 "Working and treatment of metals other than by mechanical means". As this class covers mainly chemical and electrical methods of metal working, it is understandable that it shows a trend similar to that of the classes "Chemical processes" and "Electrical engineering".

Class 49 "Machine tools" still shows an upward trend, although its rate of growth has slowed down since the war.

Class 50 "Grinding and pulverizing" reached its peak shortly after the Second World War. Since then, it has been declining both in absolute and in relative terms.

Class 51 "Musical instruments", characterized by a relative decline over the whole of the reference period, has shown a downward trend in absolute terms too since the mid-1920s. Class 52 "Sewing and embroidering" covers a fairly old and technically exhausted branch. Its peak came before the First World War and its share of patent applications shows an almost uninterrupted decline.

Class 53 "Foods and foodstuffs" clearly reached its peak before the Second World War, namely at the beginning of the 1930s. The same applies to Class 54 "Working of paper and board and products thereof". Class 55 "Production of cellulose, paper and board" shows a fairly similar trend, although it has differed since the Second World War. Whereas Class 54 is characterized by a clear decline, the trend in Class 55 can be described as stagnating.

Class 56 "Upholstery and saddlery" reached its peak as early as the first decade of this century. Of note is a temporary rise in the second half of the 1920s.

Class 56 "Photography, cinematography and sound film" shows two clear peaks : the first at the beginning of the 1930s, the second in the 1970s following an uninterrupted rise in the post-war period. A class which has shown a clear upward trend in the last couple of decades is Class 58 "Presses".

Class 59 "Fluid pumps and other hydraulic lifting devices" shows three clear peaks : the first shortly before the First World War, the second at the end of the 1920s and the third in the mid-1960s.

Class 60 "Fluid-pressure actuators, hydraulics and pneumatics" has shown a dramatic rise since the end of the 1960s. This is obviously linked to the development of automation.

The picture in Class 61 "Life-saving and fire-fighting" is characterized primarily by a sharp rise in the period between the two wars. This rise interrupted the steady decline in this class's share of the total number of patent applications for a couple of decades.

Class 62 "Aviation" was not made a separate class until after the first World War. Its upward trend was unusually dynamic, but after the defeat of Germany it was unable to regain its former level. Although the number of patent applications is rising, the rate is slower and at a much lower level than before the Second World War. This is without doubt a reflection of the special conditions for the development of this branch in post-war Germany.

Class 63 "Trackless vehicles" reached its peak in the period between the two wars, namely in the second half of the 1920s.

Class 64 "Distribution of alcoholic liquors" shows two peaks : the first before the First World War, the second at the beginning of the 1930s. Its share of patent applications shows a more steady decline.

The number of patent applications in Class 65 "Ships and other waterborne vehicles" peaked shortly before the First World War, with a second rise at the end of the 1920s. The peak for Class 66 "Butchering and meat treatment" came at the end of the 1920s and at the beginning of the 1930s slightly overlapping the period of economic crisis. A similar trend can be seen in Class 67 "Grinding and polishing".

The peak in Class 68 "Articles of the locksmithing trade" came somewhat earlier, namely at the beginning of the 1920s.

A clear trend is shown by Class 69 "Cutting tools", with an upward movement up to the beginning of the 1930s. After the Second World War there was a clear decline, but this seems to have been halted since the beginning of the 1960s.

Class 70 "Writing and drawing appliances" reached its peak at the beginning of the 1920s.

Class 71 "Footwear" shows a clearly logistic trend pattern. Its peak came in the 1920s.

It comes as no surprise that the trend in patent applications in Class 72 "Firearms, ammunition, fortifications" is closely linked to the wars. The rise a few years before the First World War seems to herald this war. Contrary to the trend in most of the other classes, the number of patent applications continued to rise during the First World War.

The clear rise in the number of patent applications in this class in the 1930s is also highly significant. After the Second World War the trend in this class no longer seems to be a sensitive indicator of the war situation. On the one hand, other weapons seem to be more appropriate in this respect, while on the other hand the significant inventions are apparently not patented as they were before. In addition, Germany is no longer representative of the production of war weapons.

In view of its minor importance, Class 73 "Ropes" is not surprisingly one of the classes which barely show a significant trend.

The trend in Class 74 "Signalling" reached its peak in the period before the Second World War, namely at the end of the 1920s.

Class 75 "Sculpture, painting, ornamentation of surfaces" shows three peaks : the first at the end of the 1900s, the second at the end of the 1920s and the third in the mid-1960s. This class's share of the total number of patent applications shows no significant variations. Class 76 "Spinning" reached its peak after the Second World War. It has remained around this level in the last couple of decades.

The trend in Class 77 "Sports, games and amusements" is obviously distorted because up to the beginning of the 1920s inventions in the field of airship navigation were also included in it. This explains the rapid growth of patent applications in this class in the first two decades of the century.

Some of the comments concerning Class 72 "Firearms" also apply to Class 78 "Explosives, etc.". At least for the period before the First World War, and for the war years, the connection with the war preparations and the conduct of war is quite clear. However, a similar trend is not apparent for this class in the 1930s.

Class 79 "Tobacco, cigars, cigarettes" reached its peak at the end of the 1920s and at the beginning of the 1930s, as did Class 80 "Clay articles, stones, chalk, cement etc".

Class 81 "Transport and packing" is one of the classes to show growth over the whole period. Class 82 "Drying" shows a decline in relative terms over the whole period and in absolute terms starting at the end of the 1920s. Although Class 83 "Measurement of time" reached its peak before the Second World War, it has shown a further upward trend since the mid-1960s.

The trend in Class 84 "Hydraulic engineering and foundations" is obviously linked to general economic trends. Class 85 "Mineral and soda water, water purification, water supply and sewerage" shows three peaks : the first at the end of the 1900s, the second directly before the economic crisis at the end of the l920s and the third in the first half of the 1970s.

One of the branches which showed a further upward trend over one or two decades is Class 86 "Weaving". This branch reached its first peak around 1910 and its second at the beginning of the 1930s. A similar trend is shown by Class 87 "Tools and implements". A number of technological breakthroughs can be seen in Class 88 "Machines driven by wind or water power". A further upswing seems to be in the offing in recent years.

The last class in the patent classification is Class 89 "Sugar and starch industry" which can be regarded as one of the oldest. Its peak came at the beginning of our reference period, and since then this class has been at a steady decline in both absolute and relative terms.

Comparison of the Estimated Results of the Logistic Function for Two Different Reference Periods

For some of the patent classes it was possible to show trends in patent applications beyond the period covered by the German patent classification, i.e. up to 1980. Approximately a third of the total number of patent classes are involved.

In general, the estimates remain very stable. This can be verified for individual classes by comparing the year in which $50 \%$ of the upper limit c was reached.

For the various classes these years are as follows :
(The first year refers to the estimate with the reference period up to 1973, the second to that with the reference period up to 1980).

Class 2 "Wearing apparel" 1926, 1926
Class 5 "Mining" 1957, 1957
Class 7 "Mechanical metal working" 1951, 1956
Class 9 "Brushware" 1928, 1928
Class 14 "Machines or engines in general" 1918, 1924
Class 16 "Manufacture of fertilizers" 1931, 1933
Class 18 "Metallurgy of iron" 1953, 1953
Class 19 "Construction of roads, railways and 1938, 1951 bridges"

Class 22 "Dyes"
1951, 1957
Class 32 "Glass"
1963, 1968
Class 34 "Furniture" 1932, 1934
Class 35 "Lifting gear" 1937, 1951
Class 37 "Building" 1959, 1961
Class 40 "Metallurgy other than of iron" 1955, 1957
Class 41 "Headwear" 1923, 1924

| Class 44 | "Haberdashery" | 1923,1925 |
| :--- | :--- | :--- |
| Class 45 | "Agriculture" | 1932,1950 |
| Class 46 | "Combustion engines" | 1931,1933 |
| Class 47 | "Engineering elements or units" | 1961,1964 |
| Class 48 | "Working and treatment of metal other <br> than by mechanical means | 1972,1966 |
| Class 49 | "Machine tools" |  |
| Class 58 | "Presses" | 1950,1953 |
| Class 65 | "Ships" | 1970,1995 |
| Class 71 | "Footwear" | 1926,1928 |
| Class 77 | "Sports" | 1925,1926 |
| Class 78 | "Explosives" | 1923,1925 |
| Class 84 | "Hydraulic engineering and foundations" | 1923,1925 |
| Class 89 | "Sugar and starch industry" | 1963 |

## Comparison of Trends in Germany and America

Table 3 sets out the results of the estimate of the logistic function for certain USA patent classes which are comparable with the German patent classes. The comparison can be made in respect of all the estimated parameters. Here it is limited to the time at which $50 \%$ of the upper limit was reached in one country or the other.

The German classes $19,37,84$ and 85 are comparable with the class "Construction", which reached its peak in 1924; they reached $50 \%$ of the upper limit in 1938, 1959, 1960 and 1938, respectively.

German patent Class 20, which reached its peak in 1923, is comparable with the patent group "Railroads", which in the USA reached its peak in 1911.

Two American classes cover "Spinning", reaching their peak in 1926 and 1933, respectively. The corresponding German Class 76 reached its peak in 1958.

The American class "Weaving" reached its peak in 1924, the corresponding German patent Class 86 in 1931.

The American Class 312 reached its peak in 1929, the comparable German Class 55 "Production of cellulose, paper and board" in 1935. The peak for paper products came in 1934 in the USA, compared with 1929 in the corresponding Class 54 in Germany.

The American class "Fertilizers" reached its peak in 1943 and is comparable with Class 16 in the German patent classification, which reached its peak in 1933.

Class 390 in America reached its peak in 1924, whereas the comparable German Class 80 "Clay articles, etc." did not do so until 1937.

The patent class "Shoemaking" reached its peak in 1925 in the USA, as did the comparable German Class 71. The American Class 444 reached its peak in 1907, whereas the corresponding Class 79 in Germany "Tobacco, cigars, cigarettes" did not do so until 1936.

Lastly, Class 458 in the USA reached its peak in 1914, whereas the corresponding German Class 32 "Glass" did not do so until 1963.

It can be seen on the whole that America was somewhat ahead of Germany. With one or two exceptions, the peaks in the corresponding American patent classes were reached several years earlier than in Germany.
This observation is in keeping with the USA's presumed technological lead in most areas and increases confidence in the information provided by the time series of patent applications.
B. Analysis and Interpretation of Trends in Patent Applications on the Basis of the Spline Function *)

Up to the end of the Second World War the time series of patent applications refer mainly to the territory and polulation of the German Reich and after the Second World War to the territory and population of the Federal Republic of Germany. This analysis does not take account of minor adjustments connected with the outcome of the First World War and later with the annexation of Austria and the establishment of the Protectorate of Bohemia and Moravia.

This undoubtedly complicates the analysis of trends in patent applications and comparison of the figures for before and after the Second World War.

[^13]The most important factor affecting a comparison of this type is the existence of the German Democratic Republic. The number of patent applications by nationals would be greater if it referred to the total population of both German states and not only to that of the Federal Republic. Apart from the existence of the GDR, the comparison with the pre-war period is affected by other population trends in the war years and the immediate post-war period, namely the population losses as a result of the war and the expulsion of the German population from the eastern European countries. It should be noted here that the refugees came not so much from the Reich territory but rather from other territories such as Czechoslovakia and Poland.

This study does not try to take all these processes into account and calculate their influence on trends in patent applications. A calculation of this type is absolutely impossible. The only possibility is a hypothetical calculation that can give some idea of the magnitude of this influence.

By and large, it is sufficient to consider just the existence of the GDR for this purpose. In this way account is also taken of the proportion of expellees from the East in the population of the two German states.

Two different methods were used to arrive at a rough estimate of the magnitude of these influences : one possibility was to add domestic patent applications in the GDR to those in the Federal Republic. The second was to carry out a conversion on the basis of the population figures of the two German states.

For example, in 1970 approximately 66,000 patent applications were filed in the Federal Republic. Roughly 35,000 of these were from foreigners, including around 1300 from citizens of the GDR. In this year, the population of the Federal Republic was 60.71 millions and that of the GDR 17.06 million. If the number of domestic patent applications is now increased in line with these population ratios and the patent applications from the GDR in the Federal Republic are deducted, the total number of patent applications goes up from 66,000 to approximately 74,000 . It thus seems that under conditions comparable with these in the prewar period the total number of patent applications after the war was roughly $10 \%$ higher.

In order to check this calculation, the comparison with the number of domestic patent applications in the GDR can also be used. In the 1960s and 1970s, this number fluctuated between 4,000 and 6,000 per year and is thus somewhat lower than our calculated figure, but not in rank. The lower intensity of patent applications in the GDR compared with the Federal Republic may be linked to various factors which will not be discussed in detail here, e.g. the economic structure, the distribution of specialists and their training, the nature and tendencies of the economic system, etc.

On the basis of this approximate comparison, it can, however, be stated in general that the variation in relevance for our purposes does not amount to much more than $10 \%$ of the total number of patent applications in the Federal Republic. It is also important to note that our analysis is concerned more or less with changes of the level between the pre- and post-war periods and not so much with recurring long-term trends.

The present calculation refers to the total number of patent applications.

It is not assumed, however, that the factors analysed have the same effects in all patent classes. Rather it can be assumed that in some classes - as a result of the different economic structure of the Federal Republic - the proportions have systematically moved either upwards or downwards compared with pre-war Germany.

The structural break in the trend for certain patent classes was taken into account in the calculation of trends in individual classes by means of a spline function. In most cases, the role of the areas of technology and production branches in overall technological development has systematically changed over the last 100 years analysed. Only a small group of patent classes (approximately a tenth) shows no systematic change of the trend during the reference period. In most cases, the development of the number of patent applications in the individual classes as a proportion of the total number of patent applications shows clear trends. The patent classes which show only a single time trend include, for example, Nos 69 "Cutting tools", 20 "Railway transport", 55 "Production of cellulose, paper and board", 44 "Haberdashery, etc.", 4 "Lighting through fuels and pre-heating torches", 80 "Clay articles, etc.", 34 "Furniture", 83 "Measurement of time" and 76 "Spinning".

Many other patent classes show two or more time trends : for example, the trend in Class 39 "Working of plastic masses, etc." shows three periods.

Between 1885 and the beginning of the Second World War this class's share of all patent applications rises steadily. After the Second World War, this trend gathers considerable pace until round about the beginning of the 1960s, after which it stagnates. A steady increase in its share of total applications is also shown by Class 42 "Instruments". The positive trend in this class was even more marked after the Second World War. The steady increase for Class 81 "Transport" is exceptionally clear. Here again, there was a marked speeding up after the Second World War.

We shall also give some examples of negative trends. The patent classes concerned are those whose share of the total number of patent applications fell consistently. Here too, a distinction can be made between cases showing a single significant time trend and those with one or more trend shifts and two or more trend lines. Whereas in Class 80 "Clay articles" or Class 34 "Furniture" there is only one significant trend, Class 38 "Wood working", for example, shows a faster decline in its share of total patent applications after the Second World War.

In many patent classes, there was a positive or negative shift in the trend level after the Second World War, e.g. Class 38 "Wood working".
The trend after the Second World War in this class does not show a continuation of the pre-war trend line. The section after the Second World War shows an upward shift. However, the fall was sharper after the Second World War. Like many others this case reflects an important fact, namely that the situation after the Second World War is brought out in the Federal Republic of Germany by a structural change of the production of technological know-how. This fact is clearly expressed in the diagrams for individual classes and could also be quantified on the basis of the calculation of the trend function. The causes of shifts of this kind are linked on the one hand to the above-mentioned changes of the territory and population of the Federal Republic of Germany compared with pre-war Germany and, on the other riand, to developments in the rest of the world, from which Germany was cut off for almost 20 years.

In order to take account of the most important developments in the structure of patent applications in the last hundred years and above all to consider the clusters in this pattern, a modified trend function was calculated. Instead of calculating a simple dynamic time trend function of individual classes' shares of patent applications, a spline time trend function (which makes it possible to record at least one trend shift) was calculated for the most important period in which there were many breaks in the pattern, namely after the Second World War. The spline function, which calculates two time trends, was further modified by using a dummy variable for the period after 1953 in order to also take account of upward or downward shifts in the trend level after the Second World War.

The formula for the estimated time trend function is as follows :

$$
\hat{k}_{n}=\hat{a}_{0}+\hat{a}_{53} D_{53}+\hat{b}_{1} t+\hat{b}_{53} t_{53}
$$

in which:

| $\hat{k}_{n}$ | $=$ patent classes' estimated share of the total number of patent applications |
| :---: | :---: |
| $\mathrm{D}_{53}$ | $\begin{aligned} & =\text { dummy variable for the years after and including } \\ & 1953 \end{aligned}$ |
| t | = time variable |
| $t_{53}$ | $=$ spline time variable for the years from 1953 onwards |
| $\hat{a}_{0}, \hat{a}_{53}, \hat{b}_{1}, \hat{b}_{53}$ | $=$ estimating coefficients |

The results of the estimate of this modified time trend function are set out in Table 6 showing the following statistical values (see pp. 83-85).

The constant of the function denotes the estimated share of the corresponding patent class at the beginning of the time series, e.g. 4.31 per 1000 for Class 75 "Sculpture". This value can be compared with the mean value of the class's shares, denoted in the last column by MV.
We have already mentioned a clear shift in level after the Second World War in Class 38 "Wood working". At the beginning of the time series the value of the constant is 14.91 for the trend level. After the Second World War this level moves upwards by 6.32 per 1,000 , as shown in the dummy 53 column. The third column shows the value of the time trend. The figure given shows by how many per thousand the share of the relevant patent class moves upwards or downwards in a year. In the fourth column the change of the time trend is calculated, beginning in 1953. For the period after the Second World War this figure must be added to the value of the time trend in the third column. This can be illustrated by the example of Class 3 "Wearing apparel".

## CARL BENDER in SONNENBERG.



## 1. HALLER in ESSLINGEN (WOrtemberg). <br> Rolso- und Foldbecher.

Fig. 1.


Fig.2. g.


Fig. 3.


Zu der Patentschrift
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.
№ 68.

Parameters of the trend function of the proportion (per 1,000) of patent applications by class in the .total number of patent applications in Germany (FR) in the period 1885-1974 (t-statistics in brackets).

|  | Con- | Dummy | Time | Change in | $R^{2}$ | F-Sta- MV |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 53 | trend | time trend |  |  |
|  | 1953 | DW | tistics | SD |  |  |

Group 1 (only constant significant)

| 75. Sculpture | 4.31 | -.47 | .019 | -.056 | .089 | 3.5 | 5.31 |
| :--- | ---: | ---: | :--- | ---: | :--- | :--- | :--- |
|  | $(7.4)$ | $(.5)$ | $(1.3)$ | $(.9)$ | .12 |  | 1.79 |

Group 2 (only constant and dummy 53 significant)

| 15. Printing | 15.73 | -6.02 | .007 | .013 | .617 | 41.3 | 14.41 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | $(23.8)$ | $(5.3)$ | $(.4)$ | $(.2)$ | .14 |  | 3.15 |
| 65. Ships | 11.28 | -6.42 | .013 | .012 | .470 | 23.2 | 10.13 |
|  | $(12.5)$ | $(4.1)$ | $(.6)$ | $(.1)$ | .09 |  | 3.66 |
| 72. Firearms | 16.02 | -9.12 | -.045 | .132 | .257 | 9.7 | 11.56 |
|  | $(6.4)$ | $(2.1)$ | $(.7)$ | $(.5)$ | .20 |  | 8.55 |
| 77. Sports | 20.16 | -11.06 | .036 | -.189 | .243 | 9.0 | 18.15 |
|  | $(6.8)$ | $(2.2)$ | $(.5)$ | $(.6)$ | .06 |  | 10.01 |
| 79. Tobacco | 2.79 | -.67 | .006 | -.001 | .120 | 4.4 | 2.89 |
|  | $(18.2)$ | $(2.6)$ | $(1.5)$ | $(.1)$ | .14 |  | .48 |
| 88. Machines (excluding | 4.25 | -2.14 | -.005 | -.026 | .634 | 44.3 | 3.27 |
| 46, 14) | $(13.4)$ | $(3.9)$ | $(.7)$ | $(.8)$ | .11 |  | 1.55 |

Group 3a+ (Dummy 53+ significant, time trend and change +)

| 39. Working of plastic | 1.05 | 14.07 | . 148 | 1.512 | . 975 | 968.2 | 17.64 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| masses | (1.0) | (8.0) | (5.6) | (14.4) | . 09 |  | 19.10 |
| 42. Instruments | 28.02 | 2.98 | . 243 | . 915 | . 942 | 408.0 | 44.21 |
| Work. \&treatm. of met- | (25.8) | (1.6) | (8.7) | (8.2) | . 13 |  | 13.35 |
| . al other than by | 1.96 | 2.47 | . 033 | . 075 | . 941 | 402.8 | 4.58 |
| mechanical means | (9.8) | (7.2) | (6.4) | (3.7) | . 18 |  | 2.44 |
| 81. 'Pransport | 2.75 | 1.24 | . 306 | . 147 | . 986 | 1812.1 | 19.08 |
|  | (7.2) | (1.9) | (31.0) | (3.7) | . 21 |  | 9.70 |

Group 3a- (Dummy 53- significant, time tread and change +)
31. Ind.furnaces;casting; 3.82
powder metallurgy (12.4)
57. Photography, cinemat- 1.13
ography \& sound film (1.6)
84.

Hydraulic engineering 1.14
and foundations

| -1.25 | .023 | .320 |
| ---: | ---: | ---: |
| $(2.4)$ | $(2.9)$ | $(10.2)$ |
| -12.24 | .353 | .159 |
| $(9.8)$ | $(17.9)$ | $(2.1)$ |
| -.48 | .049 | .029 |
| $(2.0)$ | $(13.6)$ | $(2.0)$ |


| .836 | 128.1 | 5.68 |
| :--- | :--- | ---: |
| .17 |  | 2.24 |
| .877 | 178.8 | 15.06 |
| .13 |  | 6.10 |
| .912 | 249.2 | 3.55 |
| .15 |  | 1.35 |

Group 3b + (Dummy 53+ significant, time trend + and change -)

| 5. Mining | 4.20 | 7.39 | .039 | -.420 | .849 | 141.1 | 6.92 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | :--- |
|  | $(11.6)$ | $(11.9)$ | $(4.2)$ | $(11.4)$ | .17 |  | 2.74 |
| 18. Metallurgy of iron | 1.70 | 1.62 | .094 | -.309 | .751 | 76.5 | 5.85 |
|  | $(4.5)$ | $(2.5)$ | $(9.5)$ | $(7.9)$ | .09 |  | 2.26 |

Group 3b - (Dummy 53- significant, time trend + and change -)

| 2. Baking | 3.54 | -1.59 | . 013 | -. 085 | . 673 | 52.5 | 3.461.10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (16.6) | (4.3) | (2.4) | (3.9) | . 17 |  |  |
| 7. Mechanical metal working | 1.52 | -4.91 | . 177 | -. 110 | . 611 | 40.3 | 8.69 |
|  | (2.1) | (3.9) | (9.4) | (1.5) | . 10 |  | 3.45 |
| 9. Brushware | 2.33 | -1.90 | . 028 | -. 106 | . 818 | 113.4 | 2.83 |
|  | (16.5) | (7.8) | (7.6) | (7.3) | . 18 |  | . 98 |
| 10. Fuels | 3.44 | -4.30 | . 049 | -. 144 | . 726 | 67.4 | 4.20 |
|  | (9.7) | (7.1) | (5.4) | (4.0) | . 12 |  | 2.00 |
| 16. Manufacture of | 1.59 | -. 67 | . 009 | -. 048 | . 429 | 18.1 | 1.72 |
| fertilizers | (10.6) | (2.6) | (2.4) | (3.1) | . 17 |  | . 58 |
| 17. Refriger./cooling st | - 2.38 | -1.06 | . 093 | -. 108 | . 845 | 137.0 | 6.40 |
| ager of ice, heat tre | -(9.8) | (2.5) | (14.8) | (4.3) | . 13 |  | 1.82 |
| 21. Electrical enginner- | 3.50 | -21.33 | 2.191 | -2.014 | . 947 | 448.61 | 01.36 |
| ing | (1.0) | (3.6) | (24.7) | (5.7) | . 06 |  | 44.07 |
| 24. Furnaces etc. | 13.38 | -4.93 | . 039 | -. 357 | . 610 | 40.1 | 12.75 |
|  | (14.7) | (3.1) | (1.7) | (3.8) | . 08 |  | 4.32 |
| 29. Yarns | . 35 | -2.47 | . 078 | -. 027 | . 745 | 74.1 | 3.48 |
|  | (1.5) | (6.1) | (12.9) | (1.1) | . 25 |  | 1.37 |

Table 6
(continued)

| Class | Constant | $\begin{gathered} \text { Dumm } \\ 53 \end{gathered}$ | Time trend | Change in time trend in 1953 | $\begin{aligned} & \mathrm{R}^{2} \\ & \mathrm{DW} \end{aligned}$ | $\begin{aligned} & \text { F-Sta- } \\ & \text { tistics } \end{aligned}$ | $\begin{aligned} & \text { MV } \\ & \text { SD } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 30. Medical science | 14.40 | -5.61 | . 192 | -. 489 | . 590 | 37.0 | 20.87 |
|  | (18.5) | (4.2) | (9.6) | (6.1) | . 09 |  | 3.59 |
| 43. Checking devices | -. 04 | -3.99 | . 153 | -. 232 | . 454 | 21.8 | 5.76 |
|  | (.1) | (3.0) | (7.7) | (2.9) | . 09 |  | 3.08 |
| 46. Combustion engines | 8.74 | -22.41 | . 514 | -. 937 | . 785 | 92.4 | 25.14 |
|  | (6.6) | (9.8) | (15.0) | (6.9) | . 08 |  | 8.47 |
| 53. Food and foodstuffs | 10.62 | -3.67 | . 014 | -. 155 | . 370 | 15.7 | 9.75 |
|  | (11.2) | (2.2) | ( .6) | (1.6) | . 15 |  | 3.53 |
| 54. Working of paper and board | $7.31$ | $-8.28$ | $.133$ | $-.358$ | . 846 | 138.8 | 10.44 |
|  | (16.1) | $(10.6)$ | (11.4) | (7.7) | . 17 |  | + 3.42 |
| 53. Trackless vehicles | 27.36 | -16.66 | . 563 | -1.400 | . 335 | 13.6 | 46.32 |
|  | (7.3) | (2.6) | (5.8) | (3.7) | . 13 |  | 13.53 |
| 67. Grinding | 2.63 | -1.01 | . 060 | -. 159 | . 729 | 68.4 | 4.83 |
|  | (14.7) | (3.3) | (13.0) | (8.7) | . 18 |  | 1.01 |
| 71. Footwear | 9.58 | -5.92 | . 036 | -. 213 | . 572 | 34.4 | 8.99 |
|  | (10.8) | (3.9) | (1.6) | (2.3) | . 11 |  | 3.99 |
| 74. Signalling | 3.79 $(8.6)$ | -7.45 | (133) | -. 237 | 759 | 79.8 | 7.56 |
|  | (8.6) | (9.8) | (11.7) | (5.2) | . 08 |  | 2.66 |

Group $3 c+$ (Dummy $53+$ significant, time trend - and change +)

| 6. Zymology | 16.96 | 5.52 | -. 278 | . 361 | . 912 | 260.5 | 5.71 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (37.8) | (7.2) | (24.0) | (7.8) | . 05 |  | 4.47 |
| 8. Bleaching, washing, | 20.27 | 4.22 | -. 084 | . 038 | . 182 | 6.5 | 17.39 |
| dyeing, etc. | (27.2) | (3.3) | (4.4) | (.5) | . 09 |  | 2.43 |
| 13. Steam boilers | 23.05 | 3.86 | -. 230 | . 162 | . 910 | 253.6 | 9.54 |
|  | (36.0) | (3.5) | (18.2) | (2.5) | . 09 |  | 6.30 |
| 22. Dyes, paints, etc. | 25.90 | 5.90 | -. 305 | . 520 | . 602 | 38.8 | 13.92 |
|  | (21.3) | (2.8) | (9.7) | (4.2) | . 09 |  | 5.71 |
| 25. Braiding, lace-making | g, 8.62 | 2.00 | -. 078 | . 042 | . 459 | 22.2 | 5.42 |
| etc. | (19.2) | (2.6) | (6.7) | (.9) | . n 4 |  | 1.81 |
| 26. Production of gases | 20.36 | 6.36 | -. 324 | . 245 | . 513 | 27.3 | 6.66 |
|  | (11.4) | (2.1) | (7.0) | (1.3) | . 14 |  | 7.58 |
| 32. Glass | 4.98 | . 72 | -. 035 | . 155 | . 516 | 27.7 | 3.95 |
|  | (22.3) | (1.9) | (6.0) | (6.7) | . 11 |  | 3.95 |
| 37. Building | 23.33 | 9.56 | -. 087 | . 196 | .623 | 42.2 | 22.36 |
|  | (25.3) | (6.0) | (3.7) | (2.1) | . 13 |  | 22.36 4.43 |
| 41. Headwear | 2.44 | . 33 | -. 028 | . 016 | . 844 | 135.8 | 1.17 |
|  | (29.3) | (2.3) | (13.1) | (1.9) | . 21 |  | . 62 |
| 47. Engineering elements or units | 37.56 $(34.2)$ | 10.84 | -. 065 | . 604 | . 816 | 112.2 | 39.42 |
| 49. Machine tools | $(34.2)$ 35.60 | $(5.7)$ 12.47 | $(2.3)$ -.354 | (5.4) | . 92 |  | 7.58 |
|  | (34.6) | (7.0) | (13.3) | (2.0) | . 09 | 66.9 | 22.01 |
| 50. Grinding and pulver- | 14.58 | 7.49 | -. 195 | . 063 | . 733 | 69.5 | 7.11 |
| izing | (26.0) | (7.8) | (13.5) | (1.1) | . 04 |  | 3.11 |
| 51. Musical instruments | 18.69 | 5.24 | -. 289 | . 254 | . 902 | 230.1 | 6.45 |
|  | (33.9) | (5.5) | (20.3) | (4.5) | . 08 |  | 5.19 |
| 52. Sewing and embroidery | 12.99. | 4.57 | -. 169 | . 044 | . 700 | 59.3 | 5.90 |
|  | (20.8) | (4.3) | (10.5) | (.7) | . 07 |  | 3.37 |
| 56. Upholstery and | 3.16 | (184 | -. 045 | . 032 | . 828 | 121.2 | 3.37 1.25 |
| 58. Presses | (26.2) | (4.0) | (14.4) | (2.6) | . 15 |  | . 86 |
| 58. Presses | 4.02 | 1.75 | -. 057 | . 102 | . 723 | 66.4 | 1.99 |
|  | (25.2) | (6.4) | (13.8) | (6.2) | . 11 |  | . 90 |
| 59. Pumps | 9.46 | 3.67 | -. 095 | . 121 | . 581 | 35.7 | 6.13 |
|  | (26.5) | (6.0) | (10.3) | (3.3) | . 10 |  | 1.63 |
| 60. Hydraulics and | 2.76 | . 65 | -.041 | . 214 | . 777 | 87.9 | 1.59 |
| 64 pneumatics | (16.6) | (2.3) | (9.5) | (12.5) | . 16 |  | 1.04 |
| 64. Distribution of | 27.63 | 6.07 | -. 337 | . 174 | . 917 | 278.6 | 12.92 |
| 73. Ropes | (43.7) | (5.6) | (20.7) | (2.7) | . 09 |  | 6.49 |
| 73. Ropes | . 86 | . 22 | -. 010 | . 021 | . 421 | 19.2 | . 50 |
|  | (16.2) | (2.4) | (7.2) | (3.9) | . 10 |  | . 21 |
| 76. Spinning | 11.72 | 6.53 | -. 133 | . 024 | . 710 | 62.2 | 6.98 |
|  | (30.4) | (9.9) | (13.3) | (.6) | . 09 |  | 2.12 |
| 83. Measurement of time | 7.89 | 3.99 | -. 097 | . 036 | . 572 | 34.4 | 4.26 |
|  | (20.5) | (6.0) | (9.8) | (.9) | . 06 |  | 1.74 |
| 85. Water purification | 16.56 | 4.35 | -. 199 | . 230 | . 861 | 156.2 | 8.76 |
| 86. etc . | (42.2) | (6.4) | (19.7) | (7.4) | . 15 |  | 3.11 |
| 86. Weaving | 16.18 | 6.09 | -. 224 | . 127 | . 868 | 165.3 | 7.05 |
|  | (35.0) | (7.7) | (18.8) | (2.7) | . 07 |  | 3.76 |
| 69. Sugar and starch | 13.22 | 6.03 | -. 238 | . 206 | . 805 | 104.1 | 1.78 |
| industry | (22.0) | (5.8) | (15.3) | (3.3) | . 05 |  | 3.63 |

Table 6
(continued)

| Class | Constant | $\begin{gathered} \text { Dummy } \\ 53 \end{gathered}$ | Time trend | Change in time trend in 1953 | $\begin{aligned} & \mathrm{R}^{2} \\ & \mathrm{DW} \end{aligned}$ | F-Statistics | $\begin{aligned} & \text { MV } \\ & \text { SD } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Group 3c-(Dummy 53- significant, time trend - and change +)

| 14. Machines or engines | 16.92 | -3.12 | -.176 | .499 | .684 | 55.0 | 8.76 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :--- |
| in general | $(18.8)$ | $(2.0)$ | $(7.6)$ | $(5.4)$ | .06 | 4.74 |  |

Group 3d + (Dummy $53+$ significant, time trend and change -)

| 3. Wearing apparel | 16.96 | 1.89 | -. 121 | -. 257 | . 836 | 128.5 | 10.52 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (28.8) | (1.9) | (8.0) | (4.2) | . 17 |  | 4.30 |
| 11. Bookbinding | 7.01 | 1.32 | -. 033 | -. 111 | . 603 | 39.0 | 5.35 |
|  | (25.6) | (2.8) | (4.7) | (3.9) | . 09 |  | 1.28 |
| 27. Fans | 5.49 | 2.80 | -. 047 | -. 036 | . 774 | 86.4 | 3.80 |
|  | (44.0) | (13.0) | (14.6) | (2.8) | . 23 |  | . 77 |
| 34. Furniture | 51.60 | 8.56 | -. 403 | -. 117 | . 870 | 168.4 | 33.32 |
|  | (47.0) | (4.5) | (14.2) | (1.0) | . 21 |  | 9.01 |
| 36. Heating, ventilation, hot water supply | 16.81 | 2.58 | -. 106 | -. 055 | . 645 | 46.5 | 12.02 |
|  | (30.6) | (2.7) | (7.5) | (1.0) | . 22 |  | 2.73 |
| 38. Wood working | 14.91 | 6.32 | -. 158 | -. 173 | . 894 | 211.1 | 8.18 |
|  | (48.1) | (10.6) | (17.7) | (4.9) | . 10 |  | 3.13 |
| 70. Writing and drawiug | 14.62 | 3.29 | -. 149 | -. 080 | . 759 | 79.9 | 7.77 |
| appliances | (23.8) | (3.1) | (9.4) | (1.3) | . 10 |  | 3.70 |
| 80. Clay articles etc. | 19.73 | 4.17 | -. 110 | -. 048 | . 522 | 28.3 | 15.22 |
|  | (34.0) | (4.2) | (7.3) | (.8) | . 10 |  | 2.48 |
| 82. Drying | 6.85 | 2.11 | -. 052 | -. 024 | . 827 | 120.4 | 4.76 |
|  | (53.4) | (9.6) | (15.7) | (1.8) | . 29 |  | . 91 |

Group 3d - (Dummy 53- significant, time trend and change -)
$\begin{array}{lllllll}\text { 28. Tanning\&treatm. of skins } 2.94 & -.95 & -.006 & -.031 & .804 & 103.8 & 2.24 \\ \text { shides } 1 \text {. }\end{array}$ Group 4 a (Dummy 53 not significant, time trend and change +)

| 12. Chemical processes | 11.91 | -1.02 | .552 | 1.526 | .952 | 500.7 | 44.61 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | $(6.8)$ | $(.3)$ | $(12.3)$ | $(8.5)$ | .14 |  | 23.59 |

Group 4b (Dummy 53 not significant, time trend + and change -)

|  |  |  |  |  |  |  |  |
| :--- | :---: | :--- | :--- | :--- | :--- | :--- | :--- |
| 1. Preparation of ores | 2.01 | -.022 | .0011 | -.092 | .683 | 54.8 | 2.24 |
|  | $(22.5)$ | $(.1)$ | $(4.7)$ | $(10.0)$ | .31 |  |  |
| 23. Oils and fats | 4.84 | -.099 | .022 | -.035 | .346 | 14.2 | 5.87 |
|  | $(25.7)$ | $(.3)$ | $(4.6)$ | $1.8)$ | .25 |  | .69 |
| 35. Lifting gear | 7.32 | 1.01 | .008 | -.088 | .042 | .2 .1 | 7.75 |
|  | $(17.5)$ | $(1.4)$ | $(.8)$ | $(2.1)$ | .13 |  | 1.15 |
| 61. Life-saving and | 3.49 | -.63 | .002 | -.086 | .399 | 17.6 | 3.13 |
| fire-fighting | $(11.6)$ | $(1.2)$ | $(.3)$ | $(2.8)$ | .12 |  | 1.15 |
| 66. Butchering and meat | 2.16 | -.15 | .0002 | -.046 | .358 | 15.0 | 1.97 |
| treatment | $(14.3)$ | $1.6)$ | $(.1)$ | $(3.0)$ | .16 |  | .56 |
| 87. Tools and implements | 4.17 | -.13 | .003 | -.056 | .071 | 2.9 | 4.10 |
|  | $(12.5)$ | $(.2)$ | $(.4)$ | $(1.6)$ | .11 |  | 1.02 |

Table 6
(continued)

| Class | - | Constant | $\begin{gathered} \text { Dummy } \\ 53 \end{gathered}$ | Time trend | Change in time trend in 1953 | $\begin{aligned} & \mathrm{R}^{2} \\ & \mathrm{DW} \end{aligned}$ | $\begin{aligned} & \text { F-Sta- } \\ & \text { tistics } \end{aligned}$ | $\begin{aligned} & \text { MV } \\ & S D \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Group 4c (Dummy 53 not significant, time trend - and change +)

|  | Light.thru fuels \& | 23.00 | -. 96 | -. 214 | . 067 | . 783 | 91.2 | 12.13 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | pre-heating torches | (22.0) | (.5) | (7.9) | (.6) | . 07 |  | 6.64 |
|  | Construct. of roads, | 10.15 | . 79 | -. 056 | . 085 | . 296 | 11.5 | 7.82 |
|  | railways \& bridges | (22.2) | (1.0) | (4.8) | (1.8) | . 11 |  | 1.61 |
|  | Metallurgy other than | 5.84 | . 79 | -. 011 | . 069 | . 213 | 7.8 | 5.74 |
|  | of iron | (18.4) | (1.4) | (1.3) | (2.1) | . 09 |  | 1.06 |
|  | Haberdashery etc. | 18.31 | . 05 | -. 176 | . 125 | . 580 | 35.5 | 9.85 |
|  |  | (15.3) | (.0) | (5.7) | (1.0) | . 10 |  | 5.44 |
|  | Product. of cellulose | 7.91 | . 60 | -. 049 | . 027 | . 567 | 33.8 | 5.68 |
|  | paper and board | (27.1) | (1.2) | (6.6) | (.9) | . 12 |  | 1.31 |
| 68. | Articles of the lock- | 18.86 | -1.22 | -. 120 | . 105 | . 623 | 42.3 | 12.81 |
|  | smithing trade | 22.3 | (.8) | (5.5) | (1.2) | . 16 |  | 4.07 |
| 78. | Explosives | 5.01 | -. 86 | -. 034 | $.038$ | . 516 | 27.6 | 3.16 |
|  |  | (14.1) | (1.4) | (3.7) | (1.0) | . 22 |  | 1.51 |

Group 4 d (Dumay 53 not significant, time trend - and change -)

| 20. Railway transport | 39.68 | -3.80 | -.291 | -.054 | .827 | 120.8 | 23.69 |
| :--- | :---: | :---: | :---: | :---: | :---: | ---: | ---: |
|  | $(26.3)$ | $(1.5)$ | .$(7.5)$ | $(.4)$ | .10 | 10.72 |  |
| 33. Hand and travelling | 12.14 | 1.10 | -.082 | -.125 | .677 | 53.4 | 7.87 |
| articles | $(21.1)$ | $(1.1)$ | $(5.6)$ | $(2.1)$ | .07 | 2.99 |  |
| 45. Agriculture | 34.5 | .45 | -.082 | -.441 | .680 | 54.0 | 29.00 |
|  | $(32.7)$ | $(.2)$ | $(3.0)$ | $(4.1)$ | .12 | 18.9 | 5.50 |
| 69. Cutting tools | 4.45 | .27 | -.031 | -.021 | .418 | 18.9 | 2.87 |
|  | $(13.3)$ | $(.5)$ | $(3.6)$ | $(.6)$ | .10 |  | 1.30 |

The negative trend amounts to 0.121 per thousand a year. After the Second World War the decline in this class's share increases by a further 0.257 per thousand a year. The overall negative trend after the Second World War thus amounts to 0.378 per thousand.

The figures in brackets below the values of the coefficients of the function are used to determine the statistical reliability of the estimated coefficient. The higher these figures are, the clearer - and with fewer deviations - the calculated value is in line with the actual trend. The significance level for the calculated values is around 1.6-; this means that the values with t-statistics below 1.6 can no longer be regarded as significant. This can be illustrated by the example of Class 75 "Sculpture". Of all the coefficients calculated only the constant is significant. This means that practically throughout the period the trend fluctuated at random around the value of the constant 4.31, with no systematic shift in the level or trend pattern. The value of the coefficient of determination $R^{2}$ shows how the whole function is in line with the trend. The F-statistics in the penultimate column fulfil a similar purpose. The higher these values are, the better the trend function matches the actual trend. The Durbin-Watson coefficient - denoted by DW - is a statistical measure of the autocorrelation of the deviations from the estimated trend. As mentioned above, MV represents the mean value of the relevant patent class's shares of total applications over the whole period. The standard deviation (SD) measures the dispersion around this mean value.

In order to make it easier to analyse the calculated time trends and coefficients of shift, the patent classes were divided into a number of groups according to the values calculated :

Group 1 comprises only one class in which of all the four coefficients only the constant is significant.

Group 2 comprises those classes in which both the constant and the dummy 53 were significant. It thus covers those cases in which there was an upward or downward shift in level after the Second World War but in which there were no significant time trends or changes therein. It includes, for example, Classes 15 "Printing", 65 "Ships", etc.

Group 3 comprises those classes in which, in addition to the significant dummy 53 (i.e. a significant shift in level after the Second World War), significant trends or changes thereof were also calculated. This group is then subdivided into Group $3 a+$ in which both the time trend and the change thereof were positive, as in the case of Classes 39 "Working of plastic masses", 42 "Instruments", 48 "Working and treatment of metals other than by mechanical means" and 81 "Transport".

Group 3a- is the same in so far as both the time trend and the change thereof were positive. However, in contrast with Group 3a+ the dummy 53 is negative in Group 3a-. This means that the level fell after the Second World War. This group includes, for example, Classes 57 "Photography, cinematography and sound film" and 84 "Hydraulic engineering and foundations". Group $3 b+$ comprises those classes in which the dummy 53 was positive but in which the initially positive time trend became negative after 1953. It comprises two patent classes : Nos 5 "Mining" and 18 "Metallurgy of iron". On the other hand, Group $3 b$ - comprises those classes in which the dummy was negative, reflecting a downward shift in level after the Second World War. As in the case of Group $3 b_{+}$, the classes in Group 3b- show a positive time trend and a negative change. This group comprises 18 patent classes, i.e. a fifth of the total number. Group 3ct comprises all classes in which the dummy 53 was positive, the time trend negative and the change therein positive, e.g. patent class 6 "Zymology".
This class's initial level stood at 16.96 per thousand and rose by 5.52 per 1,000 after the Second World War. Up to the Second World War this class's share fell by 0.278 per thousand per year. After the Second World War, however, this trend moved upward by 0.361 per 1,000 , giving an overall positive trend of 0.083 per 1,000 per year. A similar trend shift was also recorded in Classes 22 "Dyes", 32 "Glass", 60 "Hydraulics and pneumatics" and many others. Group $3 \mathrm{c}+\mathrm{is}$ the largest, comprising 25 patent classes. Group 3 c- comprises those patent classes which show a negative dummy 53, a negative time trend and a positive change. Lastly, Groups $3 d+$ and $3 d-$ comprise those patent classes in which both the time trend and the change therein were negative. In other words, these classes' share of total patent applications fell over the whole period, this fall being particularly marked after the Second World War. The difference between Groups $3 d_{+}$and 3 d- lies in the positive or negative value of the dummy 53, i.e. the positive or negative shift in level after the Second World War. Group 3d+ comprises nine patent classes whose level moved upwards after the Second World War, e.g. Classes 3 "Wearing apparel", 11 "Bookbinding", 27 "Fans", etc. Group 3d- comprises only one patent class, namely No 28 "Tanning and treatment of skins and hides, leather industry".

Finally, Group 4 comprises those classes in which the dummy 53 was not significant, i.e. which showed no structural change such as a shift in level after the Second World War.

This group is divided into subgroups $4 \mathrm{a}, 4 \mathrm{~b}, 4 \mathrm{c}$ and 4 d on the basis of the four possible combinations of the positive and negative trends and their changes all along. In Group $4 a$ both the trend and the change therein are positive. It thus comprises classes which showed a positive growth even before the Second World War, becoming even more marked in the post-war period. It covers patent class 12 "Chemical processes". Group $4 b$ comprises those patent classes which showed a positive trend before the Second World War and a negative one afterwards. This is the case, for example, in Class 1 "Preparation of ores", in which the positive trend before the Second World War turned into a negative one in the post-war period. Group $4 c$ comprises the patent classes in which the time trend was negative before the Second World War and subsequently moved upwards, i.e. showed a positive change. It must be emphasized, however, that in all cases in this Group 4 c (positive) changes in the time trend are either very slight or even insignificant. Lastly, Group $4 d$ comprises the patent classes in which both the time trend and the change therein were negative after the Second World War, e.g. Class 45 "Agriculture". In this case, the relatively slight downward trend of 0.082 per 1,000 per year increased by 0.441 after the Second World War, giving a total of 0.523 .

## C. Differentiation between long-term, short-term and structural influences on trends in patent applications

Looking at trends in the total number of patent applications in Germany over the last 100 years, it is immediately apparent that the shape of the curve was affected by a number of important occurrences.
The number of patent applications fell during the two world wars. In the last five years before the First World War the total number of patent applications was approximately 230,000 . During the five war years from 1914 - 1918 this figure fell to approximately 137,000 , i.e. $59.5 \%$. The influence of the Second World War on trends in patent applications does not seem to have been so great, but this may be due to the fact that there are no data for the last two years of the war, namely 1944 and 1945.

Comparing first of all the five war years 1939 - 1943 for which the data are available with the last five pre-war years, the following picture is obtained :

In the period from 1934 to 1938 , approximately 276,000 patent applications were filed, compared with around 244,000 , i.e. $88.5 \%$ in the five war years. However, it is more correct to compare the number of war time patent applications not with the immediate pre-war years but with the last years before the economic crisis in the 1930s. In these years (1926 1930) a total of around 355,000 patent applications were filed. In comparison with this basic period, the number of patent applications in the war years fell to $68.9 \%$, i.e. a somewhat smaller drop than during the First World War. It is quite possible, however, that the data for the last two war years would have reduced its ratio still further. In any event, it can be stated in conclusion that the declines associated with the war years reduced the number of patent applications to approximately two thirds.

The great world economic crisis in the 1930 s caused a decline of similar proportions in the number of patent applications. The largest number $(78,400)$ was filed in the first year of the crisis, i.e. 1930. As a rule, there is always a lag of this kind between the trend in patent applications and the general economic cycle. Taking the number of gainfully active persons as an indicator of cyclical trends, the peak of $32,531,000$ comes as early as 1928, the bottom of the curve being reached during the crisis period in 1932 with $26,113,000$ persons, i.e. $80.3 \%$ of the peak. Taking the gross national product as the indicator of cyclical trends, the pre-war peak moves to 1929, while the bottom of the curve is again reached in 1932, when the gross national product was $76.5 \%$ of the 1929 figure. As in the case of the greatest number of patent applications, there is again a time lag before the minimum number is reached. The low point came in 1934 with approximately 53,000 patent applications, i.e. $67.4 \%$ of the 1930 peak. These figures show clearly that, quite apart from the time lag with respect to the general economic cycle, the downward swing in the number of patent applications is greater than that in the economic indicators.

The second major downturn in the trend of patent applications came in the 1970s and 1980s. The peak was reached in 1972 with around 67,000 patent applications. Our series go as far as 1981, when 46,579 patent applications, i.e. $69.0 \%$ of the figure, were filed.

Another important factor which clearly affected the shape of the curve was the change of the German territory. After the Second World War our series no longer refer to Germany as a whole but only to the Federal Republic. This pushes the whole curve downwards in the post-war period. An attempt has already been made before to give an approximate quantitative estimate of the influence of this factor.

It is clear that merely looking at the figures can identify only one or two important connections. It requires a more detailed statistical analysis to clarify other important questions. In particular, various influences can be differentiated from one another only on the basis of statistical analysis of disaggregated data. This involves primarily the following questions :

Assuming that patent applications reflect the production of new technological know-how, is there a causal relation between the latter and the general economic cycle? If so, is it a crisis-triggering cause which at the same time also ensures that the crisis will be overcome, or vice versa? Is the production of new technological know-how to be regarded rather as a consequence of cyclical trends or is the trend in patent applications not to be regarded rather as a consequence of longer-term trends which go beyond the temporal bounds of the general economic cycle? Are the trends in patent applications not linked rather to the longer waves of general economic trends, known as Kondratieff waves?

In order to obtain an answer to these questions, the trends in patent applications with time would have to be corrected for the short-term influence. In addition, the time series would also have to be corrected for the effects of the territorial change after the Second World War. Only as part of such a statistical analysis of the time series would it be possible to single out the long-term trends in the production of technological know-how.

Disaggregation of the data is equally necessary. A number of hypotheses relating to homogeneous areas of technology have already been put forward and tested here. These trends were regarded as exhaustion trends and also statistically tested from this angle. It emerged in fact that a time sequence of different areas of technology is characteristic of the development of the whole technology. It also transpired that the whole of the technological development in different periods is shaped by different areas of technology. These areas alternate in the afore-mentioned sequence. The question is in what way the trends in the various areas differ. One possibility is that the general economic trends relate only to the areas of technology where the potential has been exhausted and that the other - expanding - areas are not affected at all by the general economic trends. In other words, the expanding areas grow equally quickly, i.e. irrespective of the phase in the general economic cycle, both in the period of crisis and in the boom period. The other hypothesis would be as follows : all areas of technology are affected by general economic trends, perhaps even to the same extent, with the difference that the long-term trends in these sectors differ from one another. The answer to this question was obtained from the statistical analysis whose results are shown in Table 8 (see page 97).

In this table the long-term economic and structural influences on trends in patent applications are shown separately from one another.

For this purpose, all the 89 patent classes were grouped together into five major sectors. The criterion applied was that of the period in which each patent class shows the greatest growth. This period was determined by the results of the above analysis of trends in patent classes based on the estimated logistic curve.

The patent classes were divided into groups according to whether the turning point in the logistic curve came after 1971, between 1960 and 1970, between 1949 and 1959, between 1929 and 1948 and, lastly, before 1929. The patent classes included in each aggregate are shown in the footnotes to the table. The first group comprises such dynamic classes as Nos 12 "Chemical processes", 39 "Working of plastic masses" and 58 "Presses", the latter showing an upward trend again in recent years, i.e. in the 1970s.

The second group comprises the classes which reached the estimated peak annual number of applications between 1960 and 1970. It includes important classes concerned with automation, e.g. Nos 42 "Instruments", 47 "Engineering elements or units", etc., and also Class 48 "Working and treatment of metals other than by mechanical means".

The third group comprises the classes which reached their peak annual number of patent applications between 1949 and 1959. It includes such classes as 18 "Metallurgy of iron", 19 "Construction of roads, railways and bridges", 21 (one of the most dynamic of all) "Electrical engineering", 22 "Dyes", 40 "Metallurgy other than of iron", 45 "Agriculture", 49 "Machine tools", 57 "Photography, cinematography and sound film" and 83 "Measurement of time".

The fourth group comprises the classes which reached their peak annual number of patent applications between 1929 and 1948. It covers 27 patent classes, including : 1 "Preparation of ores", 11 "Bookbinding", 15
"Printing", 30 "Medical science", 34 "Furniture", 36 "Heating", 46 "Combustion engines", 54 "Working of paper and board", 55 "Production of cellulose, paper and board", 63 "Trackless vehicles", 79 "Tobacco", 80 "Clay articles", 86 "Weaving", 87 "Tools and implements".

The fifth group comprises the classes which reached their peak before 1929. These are the classes which to date have exhausted their technological development potential the most. This group includes the following classes : 2 "Baking", 3 "Wearing apparel", 4 "Lighting through fuels", 6 "Zymology", 9 "Brushware", 10 "Fuels", 13 "Steam boilers", 14 "Machines or engines in general", 20 "Railway transport", 24 "Furnaces etc.", 26 "Production of gases", 28 "Tanning", 41 "Headwear", 44 "Haberdashery", 51 "Musical instruments", 52 "Sewing and embroidering", 56 "Upholstery and saddlery", 64 "Distribution of alcoholic liquors", 65 "Ships", 68 "Articles of the locksmithing trade", 71 "Footwear", 89 "Sugar and starch industry".

The results of the disaggregation of patent applications and of the comparison of trends in patent applications with economic trends can be illustrated by means of the two diagrams below (Figures 1 and 2).

## Figure 1

Trends in gross national product (G), number of gainfully active persons (E), population (B) and patent applications (T) in Germany or the Federal Republic of Germany in the period 1877-1979 (semilogarithmic representation)

LBSP PLOTTED MITH
LERUP PLOTEDHITHE
LBEV PLOTTED HITH
LT PLOTTED HITH TH


## Figure 2

Trends in the groups of patent applications with differing growth rates in Germany or in the Federal Republic of Germany in the period 1881-1973

## 



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A = Total (= Group 72 + Group 61 + Group 50 + Group 29 + Remainder Group)
B = Group 61 + Group 50 + Group 29 + Remainder Group
C = Group 50 + Group 29 + Remainder Group
D = Group 29 + Remainder Group
R = Remainder Group
```

Figure 1 compares the trends in the general economic and demographic variables with trends in the total number of patent applications. The population variable shows above all the reduction of the population of the Federal Republic of Germany compared with prewar Germany. This change is also reflected in the other variables shown in this diagram. The variables 'gainfully active persons' and 'gross national product' show above all the long-term trends and short-term fluctuations in economic activity.

Also of note here are the differing relationships between the production of technological know-how and general economic trends before and after the Second World War. Whereas before the war the total number of patent applications went up at a much faster rate than the number of gainfully active persons and gross national product, things changed after the war. Gross national product grew much quicker than the number of patent applications and trends in the number of gainfully active persons ran more or less parallel with trends in the number of patent applications.

Figure 2 documents and illustrates the trends in individual groups of patent applications. In addition to the total number of patent applications it also shows individual groups of applications, the composition of which has been given before This diagram illustrates the processes quantified in Table 8.

In the three distinct periods shown in this diagram, there was a clear shift in the ranking order of the various groups of patent applications. In the first period - before the First World War - Group R (Remainder) had the biggest share. In the second period - between the World Wars - this group dropped to third place. In the first period Group 29 was more or less at the same level as Group R, i.e. in first place, but in the second period it is in second place and in the third period - after the Second World War - it dropped to third place.

Group 61 was in next to last, i.e. fourth, place in the first period, stayed there in the second period but rose to second place in the third period.

The development of group 72 is also very impressive. At the beginning of the whole time series its share was very small, but it showed the fastest growth and stood in fourth place after the Second World War. Although a lot of important information about trends in patent applic- ations and their causes can be obtained directly by looking at the diagrams, the differentiation and quantification of various factors was possible only on the basis of a more detailed statistical analysis.

Differentiation between endogenous and exogenous factors

The starting point for the qualitative and quantitative differentiation of various factors influencing trends in patent applications was the distinction between endogenous and exogenous factors from the point of view of science and research. Our hypothesis about research trends in individual areas of technology, above all the hypothesis of the exhaustion of research potential, played an important part in identifying endogenous factors.

The exogenous influences of trends in patent applications were sought primarily in the relationship between technology and science on the one hand and economic trends on the other. It transpired that the number of patent applications as a whole and in individual classes is dependent mainly on the level of economic activity and trends therein. This factor has a long-term effect on trends, in spans which are much longer than the duration of the economic cycle. On the other hand, patent applications and trends therein are subject to the influence of the economic cycle. It became apparent that general economic trends affect trends in patent applications with a certain time lag.

The structural influences on trends in patent applications can be regarded as a sort of combination of the exogenous and endogenous factors. These influences are linked to the fact that the states of exhaustion do not occur at the same time in all areas of technology but at intervals. Moreover, when the technological potential of a particular principle has been exhausted, leading to stagnation of developments in the relevant patent class, a new principle is discovered and gradually implemented through a whole series of inventions. In this way the relevant patent class is given fresh impetus. The discovery of completely new areas of technology has a similar effect. The trends in the total number of patent applications are then influenced by structural shifts of this kind between and within individual areas of technology.

The exhaustion of the technological development potential in the individual areas often spans several decades or even centuries. Since the trend in patent applications represents the production of new technological know-how, it will sooner or later be reflected in economic trends. It can also be held responsible for the long waves of economic trends. In this connection, our analysis shows that patent applications were on an upward trend until about the beginning of the 1950s; since that time, however, the trend has been negative, except in the case of one or two expanding patent classes. It is quite within the bounds of possibility that the economic crisis in the 1970s, which was caused directly by other factors, was made more serious, more acute and longer by the negative trend in the production of technological know-how over the previous 20 years or so.

Of various economic variables whose connection with the trend in patent applications was studied, the number of gainfully active persons has proved to be the best explanatory factor. It is used to represent the long-term trend in the level of economic activity.

Using the data available, we were able to examine trends in the number of gainfully active persons in Germany since 1882 and use them as an explanatory variable for the number of patent applications. Up to 1950, the rate of increase of the number of gainfully active persons amounted to approximately $0.8 \%$ a year, while since 1950 this rate has risen somewhat to around $1.1 \%$ a year. The statistical analysis of trends in the number of gainfully active persons before and after the war showed that the number in the Federal Republic of Germany was approximately two thirds that of the pre-war level. Table 7 shows the results of the estimated time trend in the number of gainfully active persons.

Table 8 shows the results of the statistical differentiation of various factors affecting trends in patent applications.

The columns show quantitative factors based on various explanatory variables. The lines show various groups of patent classes. These groups comprise the patent classes which reached their maximum rate of increase in different periods.. This classification of the patent classes is based on the analysis using the logistic curve. On the basis of the estimate of this curve, it was possible to determine for each class the time at which the growth rate began to slow down. In this way, a distinction was made between the classes which reached their peak in the 1970s, the 1960s, the 1950s, before the war and before 1929.

The column 'Trend in the number of gainfully active persons' shows the long-term effects of economic trends on the production of technological know-how.

The coefficients of the individual equations represent the elasticities of trends in patent applications in relation to the trend in the number of gainfully active persons. The elasticities calculated show by what percentage patent applications increase when the number of gainfully active persons goes up by $1 \%$. The elasticity of the growth in patent applications as a whole was calculated at 2.23; this means that for every increase by $1 \%$ of the number of gainfully active persons the number of patent applic- ations goes up by $2.23 \%$. The elasticity with which the number of patent applications affects the rate of increase of the number of gainfully active persons does not differ greatly from one group of patent classes to -another, being around $2 \%$ in all cases.

TABLE 7


Trends in patent applications in the periods 1883-1913, 1920-1935 and 1953-1973 due to cyclical and longer-term structural factors (t-statistics in brackets)

| Patent classes with estimated peak <br> in the years | Time <br> Con- trend <br> stant before $1950$ | Change in time trend from 1950 onwards | Trend in the number of gainfully active persons | Cyclical trend in the number of gainfully active persons | $\underset{\text { adjusted }}{R^{2}}$ | $\begin{gathered} \text { F- } \\ \text { statistics } \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. After 1971 | $\begin{array}{cc} -14.0 \\ (2.6) & .0442 \\ (24.6) \end{array}$ | $\begin{aligned} & -.0282 \\ & (4.3) \end{aligned}$ | $\begin{array}{r} 1.92 \\ (7.5) \end{array}$ | $\begin{array}{r} 3.34 \\ (8.9) \end{array}$ | . 978 | 753 | . 42 |
| 2. 1960-1970 | $\begin{array}{ll} -11.7 \\ (6.9) & .0306 \\ (25.9) \end{array}$ | $\begin{aligned} & -.0269 \\ & (6.3) \end{aligned}$ | $\begin{gathered} 1.85 \\ (11.0) \end{gathered}$ | $\begin{gathered} 2.62 \\ (10.6) \end{gathered}$ | . 980 | 839 | . 54 |
| 3. 1949-1959 | $\begin{aligned} & -13.6 .0307 \\ & (10.7)(34.4) \end{aligned}$ | $\begin{aligned} & -.0475 \\ & (14.6) \end{aligned}$ | $\begin{gathered} 2.12 \\ (16.8) \end{gathered}$ | $\begin{gathered} 1.94 \\ (10.5) \end{gathered}$ | . 987 | 1230 | . 58 |
| 4. 1929-1948 | $\begin{aligned} & -17.8 .0225 \\ & (10.0)(18.0) \end{aligned}$ | $\begin{aligned} & -.0552 \\ & (12.1) \end{aligned}$ | $\begin{gathered} 2.57 \\ (14.5) \end{gathered}$ | $\begin{array}{r} 2.29 \\ (8.8) \end{array}$ | . 959 | 389 | . 41 |
| 5. before 1929 | $\begin{array}{cc} -19.0 & .0079 \\ (9.3) & (5.5) \end{array}$ | $\frac{-.0414}{(7.9)}$ | $\begin{gathered} 2.72 \\ (13.4) \end{gathered}$ | $\begin{array}{r} 2.69 \\ (9.0) \end{array}$ | . 897 | 147 | . 42 |
| Total of all patent classes | $\begin{array}{cc} -13.1 \\ (0.1)(23.5) \end{array}$ | $\begin{aligned} & -.0354 \\ & (10.4) \end{aligned}$ | $\begin{gathered} 2.23 \\ (15.4) \end{gathered}$ | $\begin{gathered} 2.38 \\ (11.2) \end{gathered}$ | . 976 | 683 | . 52 |

1. Classes : 12, 39, 58
2. Classes: $31,32,37,42,47,48,81,84$
3. Classes : $5,7,8,17,18,19,21,22,23,27,29,35,40$, $45,49,50,57,59,73,75,76,83$
4. Classes: $1,11,15,16,25,30,33,34,36,38,43,46,53,54$, $55,61,63,66,67,69,74,79,80,82,85,86,87$
5. Classes: $2,3,4, \epsilon, G, 10,13,14,20,24,26,28,41,44$, $51,52,5 \epsilon, \epsilon \_, \epsilon \subset, 64,65,68,70,71,72,77,78,88$, 89

The statistical analysis also made it possible to differentiate between the effects of short- and long-term economic trends. It emerged that all the groups of patent classes react very strongly to cyclical trends with a time lag of one year. This applied not only to the patent classes which are losing ground but also to those which are expanding. The long-term growth of the patent classes is thus endogenous and dependent on trends in science and research and cannot be attributed directly to long- and short-term (cyclical) economic trends.

The endogenous factors affecting trends in patent applications are reflected in the time trend in the number of patent applications. This time trend was calculated for the periods before and after 1950, since it was precisely at this time that there was a burning-point in the trend. The time trend up to 1950 is shown in Table 8, while the time trend after 1950 is calculated as the algebraic sum of the time trend before 1950 and the change in the time trend from 1950 onwards. The growth rate for the total number of patent applications before 1950 was $2.40 \%$ per year, compared with a negative growth rate of $1.44 \%$ after 1950 . Only the first two groups of patent classes maintained positive growth rates after 1950. The first group grew by $4.42 \%$ a year before 1950 and by $1.60 \%$ after 1950. The second group grew by $3.06 \%$ a year before 1950 but only very slowly ( $0.37 \%$ a year) after 1950.

The third group grew by $3.07 \%$ a year before the war but showed a decline of $1.68 \%$ a year after the war. The fourth group grew by $2.25 \%$ a year before the war but fell by $3.27 \%$ a year after the war. Lastly, the fifth group grew by an average of $0.79 \%$ a year before the war but fell by $3.35 \%$ a year after the war.

CONCLUSIONS

## COCCLUSIONS

This study sets out to analyse and interpret technical development on the basis of the German patent statistics, a veritable mine of information for the historian interested in technical and technological developments in Germany and the rest of the world over the past 100 years. Statistics of patent applications in time series are available from 1877, the year in which the national patent legislation was enacted (the "Reichspatentgesetz") and the National Patent Office set up (the "Reichspatentamt"). The reason why the statistical material at our disposal is so extremely valuable is because the time series exist in a relatively detailed form, classified along the lines of the structure of the economy itself. One important aspect underlying the planning and conduct of this study was the fact that this wealth of material has so far hardly been drawn on at all by economic, technological and historical researchers.

The study was carried out in two stages, the first stage comprising the compilation and storage of the data published year by year by the Patent Office. This provided the groundwork for a subsequent detailed statistical analysis of the data, the first stage being concluded by the publication of the data and diagrams. Experience has shown that there is a great deal of information value to be derived simply from printing the data series in graph form, showing at a glance that trends in the various patent classes depend on certain specific endogenous and exogenous factors.

The second stage comprised the statistical and substantive analysis of the trends brought out by the time series of the various classes of patent application. It was thus possible to interpret trends in the various classes and to formulate and verify a number of general hypotheses regarding technological developments. The results of the second stage of the study are presented in this report. The following summary of results refers to the aims of the study outlined in the introduction. The first aim was to plot trends in technological development by reference to trends in patent applications. This was possible for 89 patent classes from 1881 to 1973 and for a third of the time series for years after 1973.

Closer inspection of the information which can be derived from studying trends in patent applications has shown that these trends are above all a reflection of the productive application of new technological know-how.

The number of patents applied for reflects the amount of research going on and, in this respect, a comparison can be made with trends in patent applications and similar trends in research expenditure or research staff. One of the main advantages of the time series of patent applications is that neither the same kind of detailed information nor anything like the same kind of time series is available to research expenditure.

The number of patents applied for was therefore, taken to be representative of the results of research work, although it must of course be borne in mind that merely counting the number of applications takes no account of their technical or economic importance. The comparisons with trends showed, however, that the pattern of patent applications (from the point of view of importance) can be expected to remain fairly stable.

The time series were also taken as a reflection of the technical potential available for the purposes of improving production (both the production methods and the products themselves). The way in which this potential is utilized usually depends on a number of factors outside the field of research and science proper. No data are available, however, as to how the potential is utilized in production or business terms. The same kind of detailed and long time series does not exist to reflect trends in production, manpower and productivity. However, production in the meaning of the utilization of technological potential is reflected in the level of research activity and the number of patents applied for. To some extent, then, the trend in patent applications could reasonably be taken as a reflection of the utilization of technological potential.

Generally speaking, the validity of using time series of patent applications to reflect the production of technological know-how, as described in the relevant literature, has been found to accord with the results of this study. Empirical analysis of the time series confirmed that trends in patent applications are subject both to endogenous (i.e. due to scientific developments) and to exogenous (non-scientific) factors. A glance at the diagrams alone showed the effects of one or two factors of this kind, such as wars, economic crises and the general economic cycle. The endogenous trends too were brought out clearly in the time series. Trends in the various patent classes evidently vary according to characteristic patterns, and the major causes too can be clearly identified. However, it was also apparent that the trends in the various classes are of a systematic nature and this is clearly reflected in all the series despite any amount of random fluctuations. The differences between the classes are indicative of specific situations and conditions and are, as a rule, easy to interpret.

By way of a starting point for the study, a number of hypotheses were formulated and were then further developed and tested in the course of the study. Some of these hypotheses were derived from the available literature which, however, gives only vague indications of a possible theory of technological development. Some of them had been developed and used in earlier work undertaken by the author.

The main hypotheses are set out in summarized form below, all of them relating to trends in patent applications.

1. The trend in patent applications is regarded as a reflection of trends in the production of technological know-how.
2. The patent applications anticipate technological progress at the production stage.
3. The trend in patent applications, therefore, depends on the allocation of research funds, a factor which is itself dependent on the economic situation.
4. The trend in patent applications is on the one hand a reflection of the pressure for the solution of technical and scientific problems and the full utilization of research facilities in scientific circles and, on the other hand, a reflection of the business community's interest in, and need for, rationalization, cost saving and market expansion, creation and dominance.
5. The trend in patent applications is seen as being a function of the full utilization of research facilities in certain areas of technology, and it is assumed that this follows a logistic pattern.
6. The trend in patent applications is regarded as dependent on technological breakthroughs in the various classes, due in particular to the full utilization of research facilities and the pressure of business interests.
7. The trend in applications for patents in the various classes is seen as being dependent on developments in other classes. Two factors are seen here as being of major importance, namely competition in the various areas of technology and the way in which developments in one area of technology can be aided by developments in another such area.

The above hypotheses have proved to be useful in analysing and interpreting trends in the various statistical series of patent applications. They have undergone further refinement and their validity has now been confirmed. The study itself features a number of examples of concrete developments reflecting the above patterns.

For the purpose of statistical analysis of the time series of patent applications, two main instruments were used, namely the logistic function and the trend function with spline variables. By using these functions, it was possible to concentrate the mass of statistical data and to reduce it to a few comparable parameters, thus facilitating comparisons with trends in the various classes. This comparison was also extended to trends in patent applications in the USA, although trends in Germany alone were regarded as highly representative of world trends, this assumption being based on the role of the German economy in world business and trade and the special motivational features of patent applications abroad and being validated by a comparison with trends in the USA. Experience has also shown that, by comparing international trends in patent applications, it is possible to identify technological gaps and leads from country to country.

The statistical and substantive study of trends in specific classes of patent applications made it possible to trace developments in old and new areas of technology over the past 100 years. It was thus also possible to identify developments in the structure of production and of technological know-how and to ascertain the dominant areas of technology in various periods of economic growth.

The study showed that the trend in patent applications is closely linked to the economic situation and that the production of technological know-how (as reflected in the patent applications) has a longer-term effect on economic developments. Using statistical analysis techniques, we have managed to identify long-term trends and medium-term fluctuations in the production of technological know-how and to express these specific factors in quantitative terms.

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Zu der Patentschrift
№ 184.

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## R. MATTCKE MERLIN.

Stiofolknecht.


LITERAURE
AID
REFEREICES

## LITERAURE

## AID

## REEEERECES

Studies of trends in patent applications - especially in Germany - are relatively few and far between. The acknowledged world authority on this subject is Jacob Schmookler, who died in 1967 at the age of 49, i.e. in the middle of his productive scientific career. A bibliography of his works and other works of the same ilk in the years 1966 to 1970 is given in the book : Jacob Schmookler, Patents, Invention and Economic Change, edited by Zvi Griliches and Leonid Hurwicz, with a foreword by Simon Kuznets.

Schmookler compiled long time series of American patent statistics and used these data in his studies. The data series which he used were not presented in the above-mentioned book until after his death. The time series cover the period from 1837 to 1957 and refer to patents granted, which in some cases were classified not according to the year of granting but according to the year of the patent application. An even more important and certainly very time-consuming rearrangement of the data originally published by the Patent Office was Schmookler's reclassification according to the Standard Industrial Classification. Whereas the classification used by the Patent Office for publishing the data is based on technological function, the Standard Industrial Classification is structured according to branches of industry. The editors of Schmookler's time series illustrate the problems with a number of examples. There is a class which covers inventions in the field of distribution of fluids. It includes both patents for the sprinkling of holy water and a patent for water pistols. Furthermore, the patent class covering the distribution of solids includes both a patent for the distribution of fertilizers and a patent for the toothpaste tube. Schmookler compiled 458 time series of US patents on the basis of his reclassification. Some of these time series have been analysed in the present study and the trends shown by them compared with trends in Germany. The German patent statistics have put us in a much more fortunate position, since they are geared much more than the American statistics to the industrial utilization of inventions and thus take much more account of the product and the branch aspects.

After Schmookler's death, a number of scientists - particularly in the United States - continued to work along the same lines. The biography edited by Griliches and Hurwicz includes the names of Comanor, Denison, Fellner, Griliches, Jorgenson, Hufbauer, Mansfield, Thomas Marschak, Mathias, Nordhaus, Scherer, Vernon and many more. Almost all of them are American authors. There are very few works of this kind in Europe or, therefore, the German-speaking area. The German patent statistics were used to some extent in the literature on economic history. The most detailed publication of the data appeared in the 1965 study edited by Walther G. Hofmann entitled "Das Wachstum der deutschen Wirtschaft seit der Mitte des 19. Jahrhunderts" (The growth of the German economy since the middle of the 19th century).

This study attempted to correlate the patent statistics in highly aggregated form with progress in productivity. In this respect, the patents data may compete with the data on research and education expenditure. The above-mentioned work said the following on this question :

> "The data on research and education expenditure show more or less in what way the training of employees and therefore the quality of the labour factor have been improved. Since better training and aid to research usually lead to a greater number of inventions and better operational and administrative organization, these facts have a direct bearing on technical and organizational progress. The number of patents granted is a further approximate yardstick of technical progress, although it says nothing about the economic effectiveness of individual patents, since the overall number includes economically important and unimportant patents."*)

The disaggregated time series of patent applications which appeared in this publication were not evaluated. Even the above-mentioned study is limited to analysing growth and clarifying the content of the residuals of the production function, which go under the confusing name of technical progress. The possibility of regarding and studying long-term trends in patent applications and grants as a reflection of the process of technological development itself is not considered.

Various documents of the Patent Office also deal with patent statistics, first and foremost being the annual publications which appeared initially in the periodical "Patentblatt" and later in the "Blatt für das Patent-, Muster- und Zeichenwesen'.

The Patent Office did not merely publish these statistics but always added brief interpretative commentaries and remarks. The very nature of these annual publications shows, of course, that they were concerned not so much with long-term trends but above all with cross-sectional problems, i.e. the structure of patent applications and grants in the relevant year. In addition, the tendencies which became apparent in some of the most recent years were ascertained.

[^14]Longer-term trends are dealt with in a number of publications issued to mark the jubilee of the Patent Office and the Patent Law, such as the publication brought out at the 25 th anniversary of the Patent Office and the Patent Law in 1902 or the commemorative publication to mark the 75th anniversary of the German Patent Office (published in 1952 as part of the "Blatt für das Patent-, Muster- und Zeichenwesen") or lastly the commemorative publication issued in 1977 under the title " 100 Jahre Patentamt". While the last two publications make valuable contributions to one or two selected areas of technology, written primarily by the technical members of the Patent Office, i.e. highly qualified experts in individual areas of technology, only the first-named publication, which was issued in 1902, gives a highly detailed analysis of the patent statistics by classes.

This publication, which has almost been forgotten even by the Patent Office, deals in exemplary fashion with the development of the time series for the whole of the patent classification up to 1900. Apart from the interest in the questions which were important for the Patent Office's current work (e.g. the number of patent applications as a measure of the work load in individual departments or the relationship between the number of patent applications and grants - this question is also dealt with here from the point of view of the participation of technically unqualified inventors, who were always attracted by published new developments) [1]*), this publication also contains many notable conclusions, both general and specific, as, for example, on the influence of the weather on patent applications in the agricultural sector [2]. In addition, there are many references to the more general problems of technological development, such as the hypothesis of the exhaustion of innovative potential in homogeneous areas of technology [3]. Of interest is the reference to competition between different technological solutions, resources and products, e.g. the rivalry between electric and gas lighting [4]. As we know today, the end of gas lighting came in 1910 as a result of the discovery of the practical method of drawing tungsten wire. Fifty years later, an independent article entitled "The tungsten wire drawing patent" by Walter Heine (member of the German Patent Office) appeared in the Patent Office's commemorative publication. This patent was also mentioned in the paper by Klaften "Zur Entstehung und industriellen Auswirkung einiger Pionierpatente" (commemorative publication to mark the 75th anniversary of the German Patent Office, published by the German Patent Office as part of the "Blatt für Patent-, Muster- und Zeichenwesen", Munich, 1952, pp. 292297). A connection of this kind was already established in 1902 in the analysis of subclass $21 f$ "Electric lighting" in the Patent Office's first commemorative publication marking its 25th anniversary [5].

Interesting information on the question of the proportions of foreigners and nationals filing patent applications can be found, for example, in the chapter devoted to the textile industry [6].

It goes without saying that the results of the studies described in this publication were limited by the relatively short period covered.

[^15]In addition, certain limitations which, given the level of knowledge at that time, existed both in economic history and in the current analysis of the relationship between technology and the economy and also in the methodological field as regards evaluation of the time series cannot be ignored. It is just a pity that since that time the Patent Office has not used its extensive know-how and the high qualifications of its experts in the way indicated here to produce synoptical publications of this type.
[1]"Here as in many other areas it is apparent that the applicants attracted by outward successes made up only a small percentage of those granted patents."
"The sharp decline in patents granted is primarily to the detriment of subclass $80 b$ 'Ceramics, mortar, etc.' and is mainly attributable to the fact that a large proportion of the applications do not come from specialists. This observation is made in the description of trends in Class 80 'Clay articles, chalk, cement, etc.'.' *)
[2] One or two examples : In the discussion of trends in Class 45 "Agriculture", the authors write :
"In Class 45 the failure of the harvest in a particular year has an often clearly discernible influence on the main direction in which inventions move. Thus, wet years focused attention on driers for cereals, while richer harvests, which made the lack not only of workers but also of moving machines noticeable in many areas, focused attention on grass mowers which could be converted into reapers by means of especially fitted cutting devices. Above all, however, the smaller and medium-sized agricultural holding demands the introduction of aids which meet its particular needs, e.g. assembled equipment, smaller hoeing machines and other smaller but efficient equipment."

The following interesting observation is also made :
"Moreover, the tests prescribed by the German Agricultural Association had a considerable influence on the number of applications in the various subclasses. Testing of manure spreaders or potato harvesters gave rise in recent years to a flood of applications for machines of this type, especially as they can be developed for mass use. Similar influences are to be expected for the same reason in the near future in the case of potato planters, loading and unloading devices and hay and straw balers." **)
*) Die Geschäftstätigkeit des kaiserlichen Patentamtes und die Beziehungen des Patentschutzes zu der Entwicklung der einzelnen Industriezweige Deutschlands in den Jahren 1891 bis 1900, supplementary volume to the Blatt für Patent-, Muster- und Zeichenwesen, Volume 1901, Karl Heymanns Verlag, Berlin, 1902, p. 19.
**) Die Geschäftstätigkeit ..., pp. 84-85.
[3] "As far as the basic process was concerned, everything that could be wished for had more or less been achieved, and the many patents taken out in the previous decade had clearly long since filled all the gaps. This also explains why there are only a few patents in this area, such as 56181 for plug ramming." *)
[4]"There has been a noticeable decline in inventive activity with the improvement of the ordinary oil lamp, although the demand for oil, table and hanging lamps is still there and it cannot be assumed that these lamps have already achieved their optimum efficiency. The few patents taken out on the manufacture of non-flammable wicks and safety devices for the prevention of explosions in the event of the lamps falling over have acquired no importance. This standstill can undoubtedly be attributed to the rapid spread of incandescent-gas lighting and to the fact that inventors regard the oil lamp without a mantle, such as the Argand or slit burner, as a completely outdated appliance, any improvement to which can no longer be expected to yield worth while patents. The situation here is therefore that the benefits anticipated by the inventor in a related area have led to a reduction of inventive activity in a less promising area." **)
[5] "In subclass 21f, 'Electric lighting', the number of applications suddenly almost doubled in a year from 1897 to 1898. A considerable proportion of these additional applications can be attributed to the revival of the efforts to improve the incandescent lamp brought about by Nernst's discovery; the development of continuous-arc lamps and lamp carbons goes hand in hand with these efforts." ***)
[6] It says here :
"It is noticeable that foreigners account for a high proportion of the patents in Class 29 (= yarns, author's note) and that of the patents granted in the last ten years up to 1 April 1901 only $37 \%$ went to Germany, the remainder being spread over Great Britain (24 \%), France ( 17 \%) , Belgium ( $9 \%$ ), America ( $5 \%$ ), Switzerland ( $4 \%$ ), Austria-Hungary (2 \%), Sweden ( 1 \%) and Italy, Russia and Australia ( $1 \%$ altogether). Britain's supply of new types of yarn comes mainly from its colonies; it is thus in a position to encourage the inventive spirit and give it free scope. In Germany, on the other hand, some of the inventions, such as processes and machines for producing yarns from China grass, etc., cotton gins and so on, were just not possible; they were not adopted until some years later. The situation in France is similar to that in Britain, albeit to a lesser extent; in addition, it has a large wool and cloth industry,

[^16]necessitating the carbonization and scouring of wool, improvements to which are the subjects of a considerable number of French patents." *)

In the same chapter the following observation is made with regard to Class 73 :
'The area covered by Class 73 'Ropes' is very narrow and innovations of the products, machines and devices are rare. The average number of patents a year is only five. Apart from Germany, which in the ten-year period took out $85 \%$ of the patents, the only other countries concerned are America, Belgium and Austria-Hungary with 5 \% each and Denmark with 1 \%." **)
*) Die Geschäftstätigkeit ..., p. 61.
**) Die Geschäftstätigkeit ..., p. 64.

# C. KOLLE in NIEDERSCHÖNHAUSEN. <br> Fangvorrichtung für Insecten. 

Fig. 1.


Fig. 2.


Fig. 3.


Zu der Patentschrift
№ 137.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.


## Amex

Trends
in the filing of patent applications
(Report EUR 7872EN)

## INTRODUCTION

The old German patent classification was little changed. There were not many basic changes to the contents of a class. The most important cases were as follows : class 7 , originally entitled "Sheet metal and wire production and treatment" later became "Mechanical metal working without essentially removing material; punching metal". Class 43 "Basketry" was changed to "checking devices", class 62 "Salts" to "Air travel" (originally included under class 77 "Sport"), class 75 "Soda, potash and alcalies" to "Sculpture, painting and surface decoration". There were, however, many changes within individual classes. Presenting such changes can help in interpreting the trends in technological development. Following adoption of the German patent law and the setting up of the German patent office in 1877, until 1974. This offered a rare opportunity to classify and examine annual applications for patents in all existing 89 patent classes over that period. The fact that both national and foreign patents were covered made it possible - assuming that the number of patent applications in individual fields (classes, branches), is a measure of technical development - to carry out a survey entitles "100 years of world technology trends as reflected by German patent statistics".

The 100 -year time series showing the trends in patent applications in Germany are being published here for the first time on such a scale. They were taken from annual volumes of the periodicals "Patentblatt" (founded in 1877) and "Blatt für Patent, Muster und Zeichenwesen" (founded in 1894).

The data series and the corresponding illustrations over the sum total of patent applications and patents granted, and these have been further subdivided into applications by and patents granted to nationals and foreigners.

Furthermore, the annual figures for patent applications are arranged according to the 89 classes of the patent classification. Although it was possible to reconstruct the trend not given in the official publication for the years 1915-1918, it was not possible to do so for the second world war period.

The charts showing developments in individual patent classes make it an easy matter to follow the concentration of the main trends (over the whole period), trends during shorter periods (e.g. until 1914, between the two world wars, in the Federal Republic of Germany), fluctuations in these trends, the influence of significant external and internal major influences (such as both world wars, economic crises - particularly during the 1930s, territorial changes, etc.), the turning points after a continuous tendency (particularly maxima and minima of different types) and so on.

The first of the charts based on patent classes 1 to 89 shows the development in the absolute manner of patent applications; sliding averages of numbers per year were used, for periods of five years, to compensate for short-term fluctuations. The x-axis indicates the year, the $y$-axis the number of applications. The figure for single years are given on the top edge of the chart. The lower chart shows the same development as a proportion, in thousands, of a given class in the overall number of applications. The figures of individual years are again given on the top edge.

1. Total Patent Applications and Grants, broken down into Nationals and Foreigners
in Gerrnany for the years 1877-1980
2. Total patent applications and grants, broken down into nationals and foreigners in Germany (or in the Federal Republic) for the years 1877 - 1980.


| 1877 | 3212 | 190 | - | - | - |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1878 | 5949 | 4200 | - | . | - |  |
| 1879 | 6528 | 4417 | . | - |  |  |
| 1880 | 7017 | 3966 | - | - | $\bullet$ | - |
| 1889 | 7174 | 4339 | - | 1199 | - | 3140 |
| 1882 | 7569 | 4131 | - | 1246 |  | 2885 |
| 1883 | 8121 | 4848 | - | 1507 |  | 3344 |
| 1884 | 8607 | 4459 | - | 1547 |  | 2912 |
| 1885 | 9408 | 4018 | - | 1416 |  | 2602 |
| 1886 | 9991 | 4008 | - | 1285 | - | 2723 |
| 1887 | 9904 | 3882 | - | 1321 | - | 2561 |
| 1888 | 9869 | 3923 | - | 1376 |  | 2547 |
| 1889 | 11645 | 4406 | - | 1485 | - | 2921 |
| 1890 | 11882 | 4680 | - | 1620 |  | 3060 |
| 1891 | 12919 | 5550 | - | 1919 | - | 3631 |
| 1892 | 13126 | 5900 | - | 1965 |  | 3935 |
| 1893 | 14265 | 6430 | - | 2087 |  | 4343 |
| 1894 | 14964 | 6287 | - | 2066 |  | 4214 |
| 1895 | 15063 | 5720 | - | 1899 | - | 3821 |
| 1896 | 10486 | 5417 | - | 1922 |  | 3488 |
| 1897 | 18347 | 5440 | $\bullet$ | 1983 | - | 3457 |
| 1898 | 20321 | 5570 |  | 2097 | - | 3473 |
| 1899 | 21080 | 7430 | 6637 | 2747 | 14443 | 4683 |
| 1900 | 21925 | 8784 | 7077 | 3244 | 14848 | 5540 |
| 1901 | 25165 | 10508 | 7543 | 3899 | 17622 | 6609 |
| 1902 | 27565 | 10610 | 7919 | 3913 | 19646 | 6697 |
| 1903 | 28313 | 9964 | 7792 | 3629 | 20529 | 6335 |
| 1904 | 28360 | 9189 | 7351 | 3285 | 21009 | 5904 |
| 1905 | 30085 | 9600 | 8055 | 3310 | 22030 | 6290 |
| 1906 | 33822 | 13430 | 8446 | 4689 | 25376 | 8741 |
| 1907 | 36763 | 13250 | 8873 | 4454 | 27890 | 8796 |
| 1908 | 40312 | 11610 | 8680 | 3765 | 31632 | 7845 |
| 1909 | 44411 | 11995 | 9413 | 3829 | 3499. | 8166 |
| 1910 | 45209 | 12100 | 10019 | 3706 | 35190 | 8394 |
| 1911 | 44929 | 12640 | 10446 | 4069 | 34483 | 8571 |
| 1912 | 45815 | 13080 | 10704 | 4249 | 35111 | 8831 |
| 1913 | 49532 | 13520 | 11250 | 4473 | 38282 | 9047 |
| 1914 | 36772 | 12350 | 7998 | 3716 | 28774 | 8636 |
| 1915 | 21041 | 8190 | 3621 | - | 17420 | 8190 |
| 1916 | 24469 | 6271 | 3603 | - | 20866 | 6271 |
| 1917 | 24458 | 7399 | 3268 |  | 21190 | 7399 |
| 1918 | 30049 | 7340 | 3465 |  | 26584 | 7340 |
| 1919 | 43270 | 7766 | 4736 | 1239 | 38543 | 6527 |
| 1920 | 53527 | 14457 | 11672 | 3922 | 41855 | 10530 |
| 1921 | 56721 | 15642 | 17720 | 3591 | 46009 | 12051 |
| 1922 | 51762 | 20745 | 10885 | 5346 | 40877 | 15369 |
| 1923 | 45209 | 20526 | 9127 | 5703 | 30082 | 14823 |
| 1924 | 56831 | 18189 | 9353 | 5424 | 47478 | 12765 |
| 1925 | 64910 | 15877 | 10508 | 4224 | 54402 | 11653 |
| 1926 | 64384 | $9557 n$ | 11159 | 3854 | 53225 | 11646 |
| 1927 | 68457 | 15265 | 17827 | 3720 | 55630 | 11545 |
| 1928 | 70895 | 95598 | 14200 | 3761 | 56695 | 11837 |
| 1929 | 72748 | 20272 | 1522 A | 5219 | 57522 | 14983 |
| 1930 | 78400 | 26737 | 15749 | 7160 | 62651 | 19597 |

Total patent applications and grants, broken down into nationals and foreigners, in Germany (or in the Federal Republic) for the years 1877 1980
(contd.)

|  | Patent appl | Patent grants | Patent appl | Patent grants | Patent appl |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Year | t | 1 |  |  |  |  |


| 1931 | 72686 | 25846 | 14225 | 7123 | 58461 | 18723 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1932 | 63414 | 26201 | 11899 | 7237 | 51515 | 18964 |
| 1933 | 55992 | 21755 | 11011 | 5637 | 44981 | 16118 |
| 1934 | 52856 | 17011 | 9742 | 4210 | 43114 | 12801 |
| 1935 | 53592 | 16139 | 8912 | 3971 | 44680 | 12168 |
| 1936 | 56163 | 16750 | 8800 | 4080 | 47363 | 12664 |
| 1937 | 57139 | 14526 | 8629 | 3208 | 48510 | 11318 |
| 1938 | 56217 | 15068 | 7976 | 3019 | 48241 | 12049 |
| 1939 | 47555 | 16525 | . | . | . | . |
| 1940 | 43479 | 14647 | - | $\bullet$ | - |  |
| 1941 | 49835 | 14809 | - | - | - | - |
| 1942 | 54386 | 14648 | - | - | - |  |
| 1943 | 49060 | 14883 | - | - | - |  |
| 1944 | - | . | - | - | - | - |
| 1945 | - | - | - | - | - |  |
| 1946 | - | - | . | - | - |  |
| 1947 | - | - | - | . | - |  |
| 1948 | - | - | - | - | - |  |
| 1949 | 61002 | . | - | ${ }^{\circ}$ | \% | - |
| 1950 | 53375 | 2383 | 9919 | 86 | 43456 | 2297 |
| 1951 | 55457 | 27767 | 9747 | 5856 | 45710 | 21961 |
| 1952 | 58561 | 37179 | 10702 | 9446 | 47859 | 27733 |
| 1953 | 60202 | 37113 | 12643 | 7608 | 47559 | 29505 |
| 1954 | 59317 | 19140 | 14458 | 4061 | 44859 | 15079 |
| 1955 | 54865 | 14760 | 15862 | 3511 | 39003 | 11249 |
| 1956 | 53470 | 18150 | 17156 | 4838 | 36314 | 13312 |
| 1957 | 53002 | 20467 | 18216 | 6062 | 34786 | 14405 |
| 1958 | 54502 | 19837 | 19060 | 6297 | 35442 | 13540 |
| 1959 | 56611 | 22556 | 21375 | 7984 | 35236 | 14572 |
| 1960 | 57123 | 19666 | 22546 | 7157 | 34577 | 12509 |
| 1961 | 58188 | 20550 | 23771 | 7977 | 34417 | 12573 |
| 1962 | 59783 | 18508 | 24736 | 7538 | 35047 | 10970 |
| 1963 | 61031 | 15542 | 25926 | A189 | 35105 | 9353 |
| 1964 | 64775 | 19597 | 28682 | 7933 | 36093 | 11664 |
| 1965 | 66470 | 16780 | 30182 | 7118 | 36288 | 9662 |
| 1966 | 67468 | 22598 | 32406 | 9980 | 35062 | 12618 |
| 1967 | 67495 | 19871 | 32098 | 8852 | 35397 | 11019 |
| 1968 | 65422 | 21169 | 32830 | 9535 | 32592 | 11634 |
| 1969 | 60626 | 22623 | 34555 | 10620 | 32071 | 12003 |
| 1970 | 66132 | 12887 | 34665 | 6630 | 31467 | 6257 |
| 1971 | 65756 | 18149 | 33956 | 9967 | 31800 | 8182 |
| 1972 | 67354 | 20600 | 34976 | 11092 | 32378 | 9508 |
| 1973 | 66273 | 23934 | 35264 | 12919 | 30959 | 11015 |
| 1974 | 63545 | 20539 | 33011 | 10746 | 30534 | 9793 |
| 1975 | 60095 | 18290 | 29897 | 9213 | 30198 | 9077 |
| 1976 | 61705 | 20965 | 30640 | 10570 | 31065 | 10395 |
| 1977 | 60401 | 21749 | 30154 | 10934 | 30247 | 10815 |
| 1978 | 58492 | 23514 | 28184 | 11775 | 30308 | 11739 |
| 1979 | 55194 | 22534 | 24305 | 11639 | 30879 | 10895 |
| 1980 | 48583 | 20188 | 19900 | 10362 | 28683 | 9826 |

Development of the total number of patent applications ( $-A-A-$ ), patents granted (-P-P-), patent applications filed by foreigners ( -+-+- ) and patents granted to foreigners (-*-*-) in Germany (or in the Federal Republic) in the years 1877-1980


Patent applications made by nationals (-I-I-) and foreigners (-A-A-) in Germany (or in the Federal Republic) in the years 1899-1980
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Patents granted to nationals (-I-I-) and foreigners (-A-A-) in Germany (or in the Federal Republic) in the years 1881-1980
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Development in the proportion of patent applications filed by foreigners and total patent applications in Germany (or in the Federal Republic)


ion


Development in the proportion of patents granted to foreigners and total patents granted in Germany (or in the Federal Republic)



Development in the proportion of the annual number of patents granted and total patent applications


Development in the proportion of the annual number of patents granted to and applications filed by foreigners



CARL BORTFELDT in BREMEN. Vertamen zur Herstollung ven Filzunteriagon $2 u$ Hatem.


Zu der Patentschrift
№ 94.

[^17]
## GUSTAV LINCK w STUTTGART Serviottenhalter.


№ 24.

# 2. Total Patent Applications <br> in Germany broken down by Classes <br> of the German Patent Classification <br> in the years 1881-1973 

2. Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881 - 1973

| Year | Cl. 1 | Cl. 2 | Cl. 3 | Cl. 4 | C1. 5 | C1. 6 | C1. 7 | Cl .8 | C1. 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1889 | 16 | 28 | 75 | 148 | 38 | 142 | 28 | 137 | 22 |
| 1882 | 18 | 24 | 101 | 152 | 27 | 122 | 27 | 155 | 22 |
| 1883 | 6 | 28 | 100 | 156 | 57 | 135 | 22 | 143 | 20 |
| 1884 | 21 | 35 | 116 | 157 | 62 | 135 | 18 | 167 | 27 |
| 1885 | 19 | 39 | 157 | 192 | 62 | 160 | 26 | 186 | 20 |
| 1886 | 25 | 42 | 175 | 193 | 62 | 137 | 38 | 196 | 34 |
| 1887 | 25 | 39 | 149 | 167 | 42 | 147 | 25 | 164 | 30 |
| 1888 | 18 | 27 | 175 | 176 | 53 | 138 | 28 | 179 | 30 |
| 1889 | 25 | 39 | 232 | 223 | 57 | 165 | 25 | 185 | 43 |
| 1890 | 32 | 23 | 244 | 199 | 45 | 174 | 18 | 180 | 28 |
| 1891 | 39 | 34 | 172 | 192 | 53 | 153 | 26 | 174 | 43 |
| 1892 | 35 | 39 | 198 | 167 | 54 | 203 | 23 | 226 | 34 |
| 1893 | 32 | 51 | 217 | 190 | 44 | 210 | 27 | 258 | 43 |
| 1894 | 24 | 55 | 193 | 208 | 52 | 182 | 24 | 308 | 42 |
| 1895 | 29 | 65 | 231 | 304 | 44 | 160 | 38 | 265 | 37 |
| 1896 | 36 | 63 | 193 | 249 | 46 | 156 | 22 | 322 | 37 |
| 1897 | 46 | 52 | 219 | 288 | 43 | 181 | 14 | 366 | 35 |
| 1898 | 52 | 67 | 208 | 209 | 69 | 176 | 20 | 369 | 40 |
| 1899 | 35 | 44 | 249 | 226 | 104 | 162 | 18 | 381 | 40 |
| 1900 | 53 | 71 | 243 | 497 | 109 | 198 | 301 | 476 | 45 |
| 1901 | 46 | 96 | 217 | 626 | 164 | 231 | 329 | 532 | 68 |
| 1902 | 71 | 117 | 312 | 680 | 173 | 244 | 375 | 662 | 75 |
| 1903 | 89 | 142 | 351 | 658 | 171 | 203 | 363 | 592 | 110 |
| 1904 | 81 | 173 | 346 | 664 | 173 | 224 | 325 | $64 ?$ | 98 |
| 1905 | 93 | 166 | 345 | 787 | 179 | 237 | 289 | 6417 | 112 |
| 1906 | 62 | 153 | 413 | 797 | 206 | 240 | 372 | 741 | 99 |
| 1907 | 64 | 160 | 456 | 793 | 319 | 232 | 405 | 803 | 130 |
| 1908 | 84 | 198 | 534 | 968 | 297 | 230 | 348 | 840 | 130 |
| 1909 | 88 | 224 | 623 | 1016 | 335 | 261 | 434 | 816 | 155 |
| 1910 | 101 | 199 | 728 | 927 | 308 | 267 | 432 | 850 | 172 |
| 1911 | 104 | 295 | 730 | 801 | 254 | 226 | 440 | 767 | 149 |
| 1912 | 102 | 223 | 820 | 789 | 241 | 244 | 496 | 768 | 166 |
| 1913 | 153 | 247 | 968 | 753 | 275 | 234 | 465 | 800 | 195 |
| 1914 | 85 | 220 | 618 | 501 | 188 | 165 | 360 | 591 | 120 |
| 1915 | 35 | 72 | 193 | 260 | 79 | 83 | 249 | 309 | 55 |
| 1916 | 41 | 84 | 224 | 302 | 92 | 97 | 290 | 359 | 64 |
| 1917 | 41 | 84 | 224 | 302 | 92 | 97 | 290 | 359 | 64 |
| 1918 | 51 | 103 | 276 | 371 | 113 | 119 | 356 | 441. | 79 |
| 1919 | 98 | 138 | 698 | 716 | 158 | 100 | 399 | $483{ }^{\circ}$ | 233 |
| 1920 | 166 | 185 | 707 | 834 | 230 | 92 | 492 | 580 | 239 |
| 1921 | 143 | 177 | 684 | 702 | 369 | 107 | 490 | 673 | 265 |
| 1922 | 129 | 144 | 533 | 552 | 379 | 123 | 398 | 598 | 221 |
| 1923 | 125 | 111 | 433 | 509 | 280 | 87 | 448 | 582 | 153 |
| 1924 | 131 | 186 | 572 | 539 | 407 | 107 | 579 | 861 | 227 |
| 1925 | 136 | 351 | 632 | 664 | 509 | 175 | 648 | 952 | 284 |
| 1926 | 127 | 332 | 612 | 632 | 449 | 165 | 590 | 1107 | 297 |
| 1927 | 143 | 381 | 617 | 617 | 480 | 202 | 7ก9 | 1241 | 327 |
| 1928 | 147 | 322 | 662 | 736 | 483 | 196 | 702 | 1237 | 298 |
| 1929 | 157 | 297 | 698 | 733 | 509 | 235 | 794 | 1243 | 248 |
| 1930 | 217 | 333 | 755 | 753 | 543 | 239 | 820 | 1345 | 275 |
| 1931 | 261 | 268 | 652 | 599 | 557 | 224 | 764 | 1217 | 282 |
| 1932 | 261 | 277 | $60{ }^{\circ}$ | 515 | 489 | 203 | 649 | 1024 | 260 |
| 1933 | 160 | 248 | 585 | 472 | 301 | 146 | 526 | 913 | 201 |
| 1934 | 176 | 231 | 511 | 390 | 329 | 145 | 557 | 876 | 178 |
| 1935 | 151 | 180 | 508 | 426 | 310 | 149 | 510 | 839 | 160 |
| 1936 | 153 | 169 | 526 | 446 | 272 | 168 | 564 | 901 | 170 |
| 1937 | 155 | 208 | 480 | 470 | 261 | 172 | 605 | 867. | 142 |
| 1938 | 127 | 165 | 436 | 461 | 317 | 172 | 620 | 793 | 148 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
(contd.)

| Year | Cl. | C1. | Cl. 3 | . 4 | Cl. | . | Cl. | C]. 8 | Cl., |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1939 | - | - | - | - | - | - | - | - | - |
| 1940 | - |  |  | - |  |  |  |  |  |
| 1941 | - |  | - | - |  | - |  |  |  |
| 1942 | - |  |  | - |  | - |  |  |  |
| 1943 | - |  |  |  |  | - |  |  |  |
| 1944 | - |  |  |  |  |  |  |  |  |
| 1945 | - |  |  |  | - | - | - |  |  |
| 1946 | - |  | - |  | - | - | - |  |  |
| 1947 | - |  |  |  |  |  |  |  |  |
| 1948 | - | * | * | - | * | - | - | - | - |
| 1949 | 159 | 283 | 569 | 305 | 1250 | 174 | 610 | 829 | 171 |
| 1950 | 146 | 155 | 574 | 333 | 709 | 125 | 499 | 846 | 187 |
| 1951 | 180 | 142 | 515 | 344 | 714 | 114 | 563 | 899 | 184 |
| 1952 | 164 | 151 | 535 | 403 | 707 | 101 | 595 | 862 | 166 |
| 1953 | 124 | 183 | 595 | 405 | 842 | 105 | 592 | 971) | 119 |
| 1954 | 146 | 154 | 614 | 330 | 755 | 86 | 607 | 1061 | 152 |
| 1955 | 140 | 153 | 427 | 259 | 569 | 82 | 582 | 1109 | 98 |
| 1956 | 131 | 134 | 394 | 231 | 690 | 95 | 600 | 10.34 | 92 |
| 1957 | 134 | 113 | 335 | 238 | 761 | 90 | 589 | 1502 | 98 |
| 1958 | 124 | 120 | 332 | 214 | 146 | 96 | 603 | 995 | 103 |
| 1959 | 137 | 115 | 319 | 208 | 713 | 97 | 611 | 1059 | 27 |
| 1960 | 93 | 123 | 299 | 178 | 598 | 93 | 691 | 1039 | 79 |
| 1961 | 106 | 126 | $25 ?$ | 170 | 544 | 149 | 638 | 1126 | 75 |
| 1962 | 1111 | 144 | 215 | 189 | 484 | 145 | 589 | 1780 | 90 |
| 1963 | 109 | 103 | 236 | 197 | 557 | 145 | 648 | 1204 | 100 |
| 1964 | 119 | 128 | 201 | 273 | 633 | 143 | 650 | 1194 | 100 |
| 1965 | 102 | 135 | 196 | 278 | 684 | 187 | 678 | 1135 | 100 |
| 1966 | 74 | 101 | 212 | 267 | 589 | 176 | 655 | 1296 | 86 |
| 1967 | 82 | 126 | 215 | 257 | 519 | 170 | 707 | 1133 | 87 |
| 1968 | 68 | 107 | 227 | 241 | 407 | 209 | 755 | 1122 | 70 |
| 1969 | 85 | 101 | 243 | 253 | 449 | 242 | 887 | 1103 | 64 |
| 1970 | 66 | 101 | 191 | 193 | 453 | 233 | 848 | 1151 | 73 |
| 1971 | 93 | 96 | 155 | 191 | 472 | 191 | 750 | 993 | 60 |
| $1972$ | 101 | 102 | 154 | 155 | 431 | 201 | 769 | 1090 | 69 |
| 1973 | 92 | 90 | 145 | 129 | 430 | 198 | 762 | 861 | 72 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

Year<br>Cl .10 Cl .11<br>Cl. 12<br>Cl<br>C1. 13<br>Cl. 14 Cl .15<br>C1. 16<br>Cl. 17<br>Cl. 18

| 1881 | 41 | 42 | 78 | 137 | 92 | 91 | 7 | 19 | 57 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 46 | 62 | 73 | 118 | 78 | 103 | 14 | 7 | 38 |
| 1883 | 54 | 68 | 88 | 190 | 86 | 114 | 25 | 12 | 40 |
| 1884 | 42 | 61 | 120 | 183 | 80 | 111 | 32 | 32 | 53 |
| 1885 | 50 | 83 | 99 | 233 | 126 | 156 | 29 | 45 | 68 |
| 1886 | 33 | 82 | 104 | 271 | 127 | 165 | 28 | 25 | 45 |
| 1887 | 34 | 98 | 101 | 204 | 115 | 165 | 17 | 28 | 45 |
| 1988 | 28 | 89 | 121 | 202 | 136 | 150 | 15 | 24 | 33 |
| 1889 | 51 | 86 | 169 | 237 | 144 | 189 | 10 | 31 | 27 |
| 1890 | 43 | 105 | 169 | 262 | 137 | 177 | 16 | 29 | 38 |
| 1891 | 46 | 82 | 171 | 264 | 162 | 183 | 15 | 32 | 32 |
| 1892 | 55 | 68 | 270 | 289 | 144 | 174 | 16 | 39 | 32 |
| 1893 | 61 | 63 | 288 | 203 | 145 | 166 | 27 | 52 | 35 |
| 1894 | 50 | 71 | 512 | 238 | 161 | 199 | 41 | 1111 | 35 |
| 1895 | 44 | 80 | 505 | 220 | 171 | 205 | 29 | 89 | 44 |
| 1896 | 65 | 80 | 558 | 255 | 185 | 235 | 33 | 95 | 43 |
| 1897 | 79 | 88 | 547 | 262 | 217 | 248 | 44 | 89 | 36 |
| 1898 | 85 | 88 | 600 | 277 | 269 | 312 | 28 | 120 | 57 |
| 1899 | 89. | 103 | 693 | 276 | 303 | 356 | 33 | 127 | 56 |
| 1900 | 119 | 125 | 766 | 300 | 361 | 478 | 23 | 113 | 67 |
| 1901 | 139 | 138 | 854 | 369 | 426 | 568 | 31 | 159 | 92 |
| 1902 | 163 | 177 | 887 | 390 | 472 | 582 | 46 | 201 | 102 |
| 1903 | 172 | 149 | 862 | 412 | 557 | 568 | 31 | 192 | 111 |
| 1904 | 136 | 178 | 879 | 386 | 547 | 522 | 33 | 136 | 115 |
| 1905 | 123 | 166 | 885 | 412 | 541 | 590 | 37 | 161 | 112 |
| 1906 | 136 | 170 | 1043 | 313 | 582 | 611 | 31 | 241 | 137 |
| 1907 | 163 | 178 | 1113 | 348 | 582 | 710 | 44 | 221 | 136 |
| 1908 | 183 | 222 | 1082 | 374 | 572 | 722 | 68 | 222 | 186 |
| 1909 | 192 | 229 | 1140 | 431 | 610 | 781 | 49 | 228 | 200 |
| 1910 | 202 | 213 | 1206 | 447 | 596 | 754 | 70 | 246 | 239 |
| 1911 | 216 | 213 | 1315 | 374 | 599 | 746 | 61 | 266 | 230 |
| 1912 | 209 | 271 | 1475 | 393 | 476 | 760 | 72 | 227 | 235 |
| 1913 | 238 | 275 | 1662 | 443 | 470 | 939 | 75 | 224 | 216 |
| 1914 | 193 | 177 | 1266 | 369 | 342 | 625 | 50 | 229 | 186 |
| 1915 | 102 | 114 | 1123 | 162 | 186 | 207 | 62 | 109 | 134 |
| 1916 | 119 | 132 | 1305 | 189 | 216 | 241 | 72 | 127 | 156 |
| 1917 | 119 | 132 | 1305 | 189 | 216 | 241 | 72 | 127 | 136 |
| 1918 | 146 | 163 | 1603 | 232 | 265 | 296 | 89 | 156 | 192 |
| 1919 | 361 | 227 | 1302 | 344 | 290 | 508 | 108 | 221 | 181 |
| 1920 | 597 | 270 | 1730 | 447 | 357 | 815 | 110 | 263 | 244 |
| 1921 | 510 | 305 | 1868 | 457 | 442 | 925 | 142 | 332 | 302 |
| 1922 | 423 | 290 | 2067 | 511 | 478 | $\therefore 940$ | 114 | 361 | 246 |
| 1923 | 323 | 222 | 1834 | 507 | 480 | 810 | 81 | 304 | 199 |
| 1924 | 433 | 281 | 2357 | 856 | 491 | 994 | 96 | 406 | 350 |
| 1925 | 462 | 350 | 2400 | 795 | 419 | 1075 | 105 | 444 | 457 |
| 1926 | 449 | 424 | 2692 | 682 | 377 | 1109 | 93 | 530 | 491 |
| 1927 | 459 | 440 | 3064 | 584 | 432 | 1169 | 146 | 514 | 476 |
| 1928 | 391 | 437 | $302 ?$ | 541 | 422 | 1179 | 188 | 559 | 529 |
| 1929 | 410 | 388 | 3119 | 556 | 422 | 1225 | 208 | 540 | 537 |
| 1930 | 413 | 455 | 3357 | 568 | 431 | 1211 | 252 | 611 | 605 |
| 1931 | 319 | 430 | 2709 | 505 | 397 | 1206 | 188 | 555 | 515 |
| 1932 | 256 | 357 | 2294 | 384 | 270 | 1039 | 179 | 485 | 505 |
| 1933 | 258 | 311 | 1937 | 339 | 270 | 909 | 130 | 501 | 482 |
| 1934 | 305 | 287 | 1988 | 338 | 264 | 794 | 106 | 448 . | 474 |
| 1935 | 244 | 332 | 2003 | 312 | 253 | 750 | 80 | 431 | 475 |
| 1936 | 277 | 285 | 2351 | 342 | 217 | 791 | 106 | 475 | 552 |
| 1937 | 336 | 304 | 2828 | 294 | 245 | 812 | 120 | 487 | 558 |
| 1938 | 333 | 302 | 2673 | $3 \cap 8$ | 221 | 730 | 105 | 473 | 620 |

Patent applications in Germany (or in the Federal Republic), broken down by the classes of the German patent classification, in the years 1881 1973
(contd.)
Year $\quad \mathrm{Cl} .10 \mathrm{Cl} .11 \mathrm{Cl} .12 \mathrm{Cl} .13 \mathrm{Cl} .14 \mathrm{Cl} .15 \mathrm{Cl} .16 \mathrm{Cl} .17 \mathrm{Cl} .18$

| 1939 | - | - |  | - | - | - | - | - |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1940 | - | - |  | - | - |  |  |  |  |
| 1941 | - |  |  |  |  |  |  |  |  |
| 1942 | - | - |  |  |  |  |  |  |  |
| 1943 | - | - |  |  |  |  |  |  |  |
| 1944 | - |  |  |  |  |  |  |  |  |
| 1945 | - | - | - | - |  |  |  |  |  |
| 1946 | - | - | - |  |  |  |  |  |  |
| 1947 | - | - |  |  |  |  |  |  |  |
| 1948 | - | . | - | - | - | - |  |  |  |
| 1949 | 214 | 363 | 2691 | 307 | 160 | 577 | 107 | 447 | 509 |
| 1950 | 139 | 266 | 2598 | 248 | 119 | 614 | 81 | 476 | 452 |
| 1951 | 201 | 362 | 2740 | 228 | 112 | 629 | 97 | 475 | 500 |
| 1952 | 209 | 301 | 3216 | 250 | 139 | 611 | 85 | 523 | 550 |
| 1953 | 140 | 363 | 3166 | 217 | 153 | 649 | 90 | 528 | 532 |
| 1954 | 131 | 339 | 3116 | 189 | 202 | 685 | 110 | 428 | 517 |
| 1955 | 126 | 316 | 3313 | 181 | 159 | 603 | 105 | 401 | 470 |
| 1956 | 117 | 252 | 3589 | 184 | 135 | 524 | 76 | 369 | 470 |
| 1957 | 150 | 265 | 3586 | 170 | 139 | 523 | 48 | 471 | 510 |
| 1958 | 115 | 261 | 4054 | 155 | 121 | 544 | 57 | 442 | 477 |
| 1950 | 122 | 265 | 4569 | 167 | 157 | 598 | 65 | 486 | 538 |
| 1960 | 97 | 212 | 4671 | 129 | 161 | 572 | 55 | 486 | 567 |
| 1961 | 84 | 235 | 4877 | 127 | 181 | 606 | 71 | 500 | 639 |
| 1962 | 75 | 256 | 5066 | 151 | 150 | 660 | 89 | 505 | 600 |
| 1963 | 77 | 234 | 5067 | 147 | 141 | 560 | 74 | 545 | 557 |
| 1964 | 77 | 232 | 5385 | 142 | 108 | 624 | 69 | 549 | 487 |
| 1965 | 74 | 259 | 5546 | 135 | 149 | 574 | 56 | ¢ 34 | 482 |
| 1966 | 78 | 243 | 5781 | 138 | 166 | 628 | 66 | 525 | 474 |
| 1967 | 75 | 216 | 5944 | 153 | 259 | 704 | 84 | 584 | 479 |
| 1968 | 68 | 168 | 6046 | 93 | 336 | 788 | 68 | 500 | 378 |
| 1969 | 63 | 202 | 6017 | 99 | 608 | 695 | 61 | 503 | 372 |
| 1970 | 91 | 218 | 6140 | 71 | 655 | 692 | 61 | 487 | 339 |
| 1971 | 82 | 189 | 6070 | 68 | 690 | 749 | 47 | 512 | 314 |
| 1972 | 99 | 179 | 6122 | 98 | 756 | 847 | 57 | 495 | 296 |
| 1973 | 85 | 198 | 5936 | 97 | 732 | 894 | 53 | 545 | 281 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classificatio, in the years 1881-1973
Year Cl. 19 Cl .20 Cl .21 Cl .22 Cl .23 Cl .24 Cl .25 Cl .26 Cl .27

| 1851 | 1115 | 241 | 195 | 104 | 44 | 85 | 101 | 93 | 42 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1982 | 09 | 220 | 335 | 138 | 45 | 84 | 73 | 96 | 49 |
| 1883 | 82 | 268 | 372 | 143 | 48 | 81 | 101 | 111 | 48 |
| 1884 | 143 | 302 | 292 | 123 | 53 | 92 | 96 | 100 | 41 |
| 1885 | 117 | 298 | 387 | 121 | 45 | 95 | 82 | 97 | 33 |
| 1886 | 100 | 266 | 395 | 191 | 80 | 114 | 98 | 137 | 56 |
| 1887 | 106 | 287 | 412 | 248 | 42 | 76 | 91 | 125 | 60 |
| 1888 | 86 | 263 | 383 | 220 | 55 | 74 | 95 | 125 | 46 |
| 1889 | 99 | 3.34 | 488 | 370 | 55 | 89 | 98 | 111 | 42 |
| 1890 | 129 | 374 | 517 | 367 | 69 | 82 | 107 | 111 | 65 |
| 1891 | 127 | 444 | 567 | 353 | 65 | 127 | 100 | 122 | 87 |
| 1892 | 118 | 477 | 563 | 412 | 71 | 116 | 84 | 111 | 69 |
| 1893 | 123 | 443 | 575 | 557 | 67 | 296 | 120 | 157 | 68 |
| 1894 | 114 | 494 | 603 | 348 | 75 | 289 | 128 | 197 | 79 |
| 1895 | 111 | 482 | 656 | 354 | 74 | 303 | 126 | 293 | 68 |
| 1895 | 111 | 609 | 723 | 295 | 97 | 261 | 96 | 544 | 72 |
| 1897 | 116 | 652 | 931 | 319 | 96 | 324 | 113 | 617 | 72 |
| 1898 | 135 | 736 | 1199 | 375 | 109 | 361 | 98 | 937 | 93 |
| 1899 | 179 | 851 | 1386 | 454 | 111 | 381 | 110 | 765 | 85 |
| 1901 | 149 | 897 | 1560 | 453 | 100 | 419 | 117 | 295 | 09 |
| 1901 | 206 | 1244 | 1829 | 449 | 96 | 492 | 129 | 292 | 80 |
| 1902 | 227 | 1420 | 1886 | 428 | 146 | 483 | 155 | 243 | 102 |
| 1903 | 252 | 1184 | 1874 | 430 | 166 | 538 | 136 | 261 | 97 |
| 1974 | 285 | 1010 | 1945 | 373 | 107 | 549 | 131 | 202 | 100 |
| 1905 | 239 | 935 | 2064 | 378 | 157 | 560 | 137 | 251 | 142 |
| 1906 | 266 | 1101 | 2527 | 409 | 171 | 546 | 156 | 194 | 129 |
| 1907 | 365 | 1233 | 2644 | 442 | 189 | 514 | 152 | 221 | 140 |
| 1908 | . 372 | 1262 | 2720 | 463 | 160 | 530 | 196 | 235 | 145 |
| 1909 | 399 | 1244 | 2847 | 574 | 195 | 646 | 220 | 240 | 156 |
| 1917 | 307 | 1737 | 2776 | 497 | 184 | 624 | 202 | 214 | 176 |
| 1911 | 350 | 1344 | 2983 | 532 | 194 | 748 | 186 | 199 | 187 |
| 1912 | 377 | 1236 | 3017 | 488 | 217 | 695 | 234 | 198 | 176 |
| 1913 | 434 | 1277 | 3568 | 487 | 280 | 709 | 233 | 164 | 167 |
| 1914 | 311 | 973 | 2923 | 395 | 217 | 592 | 132 | 119 | 136 |
| 1915 | 121 | 384 | 1721 | 207 | 164 | 305 | 61 | 72 | 66 |
| 1916 | 141 | 446 | 2001 | 240 | 191 | 355 | 71 | 83 | 77 |
| 1917 | 141 | 446 | 2001 | 240 | 190 | 355 | 71 | 83 | 77 |
| 1918 | 173 | 548 | 2659 | 295 | 234 | 436 | 87 | 102 | 95 |
| 1919 | 227 | 973 | 3737 | 319 | 244 | 758 | 153 | 285 | 139 |
| 1920 | 258 | 1284 | 5752 | 476 | 245 | 1120 | 189 | 259 | 147 |
| 1921 | 282 | 1370 | 5620 | 457 | 322 | 975 | 233 | 2115 | 184 |
| 1922 | 259 | 1184 | 5175 | 511 | 325 | 937 | 212 | 186 | 171 |
| 1923 | 200 | 1005 | 5163 | 481 | 287 | 833 | 237 | 157 | 172 |
| 1924 | 298 | 1419 | 6827 | 538 | 359 | 1125 | 287 | 196 | 156 |
| 1925 | 441 | 2422 | 7453 | 689 | 371 | 1069 | 318 | 198 | 169 |
| 1926 | 631 | 2040 | 7595 | 773 | 419 | 1026 | 343 | 204 | 154 |
| 1927 | 679 | 1961 | 8129 | 793 | 445 | 932 | 397 | 232 | 266 |
| 1928 | 697 | 1684 | 8476 | 876 | 433 | 1020 | 399 | 279 | 225 |
| 1929 | 645 | 1723 | 9537 | 764 | 442 | 891 | 436 | 214 | 225 |
| 1930 | 704 | 1591 | 11099 | 848 | 474 | 968 | 477 | 228 | 238 |
| 1931 | 568 | 1572 | 10933 | 748 | 405 | 865 | 377 | 181 | 214 |
| 1932 | 481 | 1358 | 9445 | 560 | 4170 | 759 | 374 | 152 | 155 |
| 1933 | 491 | 1154 | 8054 | 567 | 341 | 731 | 325 | 121 | 156 |
| 1934 | 482 | 1055 | 7707 | 702 | 346 | 665 | 378 | 148 | 155 |
| 1935 | 434 | 1084 | 8431 | 684 | 321 | 717 | 307 | 168 | 100 |
| 1936 | 389 | 962 | 8711 | 675 | 328 | 704 | 356 | 185 | 178 |
| 1937 | 325 | 895 | 8805 | 738 | 388 | 595 | 366 | 137 | 207 |
| 1938 | 333 | 814 | 9353 | 614 | 359 | 551 | 268 | 137 | 202 |

(contd.)
Year Cl. 19 Cl .20 Cl .21 Cl .22 Cl .23 Cl .24 Cl .25 Cl .26 Cl .27

| 1939 | - | - | - | - | - | - | - | - | - |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1940 | - | - | - | - | - | - | - |  |  |
| 1941 | - | - | - | - | - | - | - | - |  |
| 1942 | - | - | - | - | - | - | - | - |  |
| 1943 | - | - | - | - | - | - | - |  | - |
| 1944 | - | - | - | - | - | - | - |  | - |
| 1945 | - | - | - |  | - | - | - |  | - |
| 1946 | - | - | - | - | - | - | - |  | - |
| 1947 |  | - | - | - | - | - | - |  | - |
| 1948 | - | - | * | - | - | - | - | - | - |
| 1949 | 267 | 741 | 7951 | 520 | 285 | 612 | 248 | 202 | 177 |
| 1950 | 305 | 792 | 7207 | $45 ?$ | 264 | 554 | 230 | 141 | 173 |
| 1951 | 349 | 808 | 7980 | 537 | 328 | 710 | 312 | 108 | 204 |
| 1952 | 374 | 819 | 8435 | 471 | 370 | 625 | 330 | 110 | 199 |
| 1953 | 461 | 757 | 8457 | 479 | 343 | 643 | 327 | 111 | 271 |
| 1954 | 405 | 762 | 8099 | 539 | 395 | 593 | 277 | 100 | 261 |
| 1955 | 325 | 753 | 8021 | 515 | 426 | 604 | 237 | 114 | 240 |
| 1956 | 369 | 658 | 8041 | 531 | 473 | 542 | 236 | 98 | 289 |
| 1957 | 391 | 546 | 8079 | 601 | 349 | 513 | 242 | 77 | 239 |
| 1958 | 372 | 565 | 8473 | 562 | 337 | 448 | 243 | 82 | 229 |
| 1959 | 398 | 556 | 8696 | 659 | 347 | 443 | 231 | 65 | 259 |
| 1960 | 374 | 625 | 9194 | 706 | 338 | 500 | 220 | 71 | 264 |
| 1961 | 399 | 555 | 9619 | 665 | 358 | 455 | 223 | 73 | 255 |
| 1962 | 405 | 490 | 9538 | 715 | 326 | 453 | 204 | 55 | 251 |
| 1963 | 521 | 507 | 9597 | 692 | 375 | 419 | 255 | 62 | 203 |
| 1964 | 522 | 528 | 10387 | 725 | 360 | 402 | 228 | 59 | 233 |
| 1965 | 545 | 549 | 10709 | 711 | 372 | 438 | 243 | 75 | 235 |
| 1966 | 473 | 486 | 11174 | 329 | 402 | 332 | 244 | 50 | 236 |
| 1967 | 471 | 469 | 10706 | 778 | 409 | 369 | 264 | 49 | 185 |
| 1968 | 420 | 518 | 9966 | 714 | 400 | 368 | $3 \mathrm{U2}$ | 35 | 183 |
| 1969 | 471 | 456 | 9819 | 824 | 424 | 333 | 301 | 33 | 197 |
| 1970 | 490 | 501 | 9010 | 914 | 441 | 325 | 295 | 33 | 163 |
| 1071 | 445 | 552 | 8838 | 927 | 412 | 374 | 313 | 47 | 175 |
| 1972 | 465 | 488 | 9213 | 910 | 458 | 381 | 305 | 53 | 203 |
| 1973 | 424 | 502 | 9321 | 841 | 427 | 434 | 264 | 60 | 201 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
Year $\quad \mathrm{Cl} .28 \mathrm{Cl} .29 \mathrm{Cl} .30 \mathrm{Cl} .31 \mathrm{Cl} .32 \mathrm{Cl} .33 \mathrm{Cl} .34 \mathrm{Cl} .35 \mathrm{Cl} .36$

| 1881 | 29 | 18 | 89 | 30 | 26 | 106 | 320 | 31 | 188 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 16 | 22 | 104 | 21 | 34 | 107 | 346 | 25 | 154 |
| 1883 | 27 | 17 | 99 | 31 | 46 | 121 | 357 | 27 | 125 |
| 1884 | 20 | 24 | 101 | 40 | 35 | 111 | 456 | 51 | 145 |
| 1885 | 29 | 15 | 123 | 56 | 49 | 105 | 464 | 59 | 147 |
| 1886 | 25 | 28 | 143 | 35 | 41 | 164 | 464 | 74 | 179 |
| 1887 | 27 | 22 | 151 | 49 | 46 | 140 | 413 | 84 | 152 |
| 1888 | 25 | 13 | 171 | 28 | 41 | 144 | 492 | 83 | 152 |
| 1889 | 27 | 18 | 201 | 50 | 57 | 166 | 569 | 80 | 188 |
| 1890 | 25 | 29 | 215 | 48 | 39 | 173 | 634 | 63 | 190 |
| 1891 | 24 | 21 | 232 | 44 | 65 | 159 | 702 | 86 | 215 |
| 1892 | 40 | 22 | 209 | 53 | 64 | 139 | 662 | 100 | 220 |
| 1893 | 26 | 19 | $24^{\circ}$ | 67 | 67 | 182 | 676 | 77 | 265 |
| 1894 | 36 | 26 | 271 | 67 | 70 | 160 | 713 | 100 | 291 |
| 1895 | 46 | 33 | 315 | 51 | 65 | 117 | 724 | 108 | 221 |
| 1896 | 65 | 23 | 331 | 59 | 56 | 138 | 731 | 79 | 214 |
| 1897 | 63 | 24 | 370 | 75 | 130 | 124 | 720 | 102 | 223 |
| 1898 | 69 | 27 | 367 | 88 | 114 | 130 | 743 | 133 | 191 |
| 1899 | 58 | 37 | 404 | 107 | 130 | 146 | 838 | 145 | 236 |
| 1900 | 55 | 56 | 453 | 118 | 139 | 143 | 783 | 199 | 240 |
| 1901 | 59 | 40 | 535 | 103 | 110 | 151 | 845 | 242 | 312 |
| 1902 | 77 | 42 | 562 | 119 | 99 | 180 | 1013 | 267 | 345 |
| 1903 | 70 | 36 | 564 | 145 | 107 | 186 | 1041 | 273 | 375 |
| 1904 | 63 | 58 | 589 | 142 | 115 | 180 | 1033 | 228 | 385 |
| 1905 | 99 | 84 | 642 | 133 | 104 | 217 | 1036 | 259 | 388 |
| 1906 | 115 | 73 | 655 | 117 | 110 | 251 | 1348 | 323 | 383 |
| 1907 | 89 | 98 | 808 | 148 | 107 | 319 | 1341 | 397 | 449 |
| 1908 | 102 | 93 | 896 | 163 | 95 | 371 | 1586 | 398 | 504 |
| 1909 | 118 | 99 | 989 | 166 | 107 | 383 | 1860 | 434 | 564 |
| 1910 | 130 | 103 | 958 | 157 | 110 | 404 | 1675 | 458 | 503 |
| 1911 | 132 | 103 | 1009 | 208 | 152 | 427 | 1645 | 427 | 570 |
| 1912 | 137 | 112 | 1024 | 255 | 131 | 367 | 1532 | 432 | 514 |
| 1913 | 166 | 04 | . 1144 | 280 | 131 | 464 | 1797 | 430 | 554 |
| 1914 | 99 | 61 | 901 | 172 | 101 | 362 | 1258 | 375 | 454 |
| 1915 | 78 | 137 | 557 | 108 | 50 | 122 | 438 | 123 | 177 |
| 1916 | 91 | 160 | 648 | 126 | 58 | 142 | 510 | 143 | 205 |
| 1917 | 90 | 160 | 647 | 126 | 58 | 142 | 509 | 143 | 205 |
| 1918 | 111 | 196 | 795 | 155 | 72 | 174 | 626 | 175 | 252 |
| 1919 | 127 | 177 | 995 | 156 | 93 | 387 | 2130 | 265 | 820 |
| 1920 | 184 | 210 | 1336 | 216 | 168 | 548 | 1925 | 450 | 938 |
| 1921 | 171 | 176 | 1403 | 291 | 166 | 602 | 1817 | 510 | 769 |
| 1922 | 190 | 160 | 1309 | 293 | 169 | 514 | 1456 | 397 | 758 |
| 1923 | 134 | 158 | 1123 | 258 | 143 | 375 | 1024 | 391 | 715 |
| 1924 | 150 | 222 | 1304 | 349 | 188 | 487 | 1493 | 485 | 583 |
| 1925 | 181 | 216 | 1475 | 390 | 215 | 475 | 1937 | 570 | 569 |
| 1926 | 147 | 259 | 1619 | 385 | 210 | 613 | 1920 | 520 | 570 |
| 1927 | 180 | 362 | 1819 | 392 | 241 | 621 | 2193 | 581 | 587 |
| 1928 | 169 | 457 | 1737 | 370 | 262 | 612 | 2188 | 558 | 621 |
| 1929 | 156 | 556 | 1660 | 427 | 248 | 629 | 2235 | 584 | 632 |
| 1930 | 173 | 416 | 1688 | 457 | 295 | 647 | 2695 | 628 | 844 |
| 1931 | 116 | 387 | 1701 | 315 | 273 | 635 | 2482 | 537 | 836 |
| 1932 | 116 | 204 | 1650 | 269 | 213 | 575 | 2292 | 429 | 885 |
| 1933 | 109 | 201 | 1493 | 239 | 180 | 511 | 1874 | 315 | 790 |
| 1934 | 116 | 238 | 1234 | 209 | 210 | 427 | 1686 | 284 | 651 |
| 1935 | 99 | 241 | 1259 | 231 | 185 | 394 | 1653 | 248 | 639 |
| 1936 | 109 | 339 | 1178 | 276 | 204 | 408 | 1625 | 270 | 643 |
| 1937 | 118 | 452 | 1082 | 303 | 258 | 372 | 1358 | 273 | 556 |
| 1938 | 99 | 348 | 1058 | 310 | 258 | 337 | 1278 | 325 | 579 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
(contd.)
Year Cl. 28 Cl .29 Cl .30 Cl .31 Cl .32 Cl .33 Cl .34 Cl .35 Cl .36

| $\begin{aligned} & 1939 \\ & 1940 \end{aligned}$ | - | - | - | - | - | - | - | - | - |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1941 | - | - | - |  | - | - | - |  |  |
| 1942 |  | - | - |  |  |  |  |  |  |
| 1943 |  | - | - | - | - | - | - |  |  |
| 1944 |  | - | - |  | - | - | - |  |  |
| 1945 |  |  |  |  |  |  |  |  |  |
| 1946 |  | - | $\bullet$ | - | - | - | - |  |  |
| 1947 |  | - | - | - | - |  | - |  |  |
| 1948 | * | - | - | * | - | - | - | - |  |
| 1949 | 87 | 268 | 1901 | 447 | 225 | 614 | 2422 | 349 | 763 |
| 19511 | 69 | 236 | 1569 | 293 | 171 | 508 | 1561 | 359 | 562 |
| 1951 | 78 | 214 | 1469 | 332 | 143 | 356 | 1549 | 416 | 677 |
| 1952 | 98 | 231 | 1549 | 352 | 162 | 398 | 1789 | 471 | 681 |
| 1953 | 99 | 229 | 1502 | 389 | 164 | 423 | 1824 | 557 | 741 |
| 1954 | 92 | 219 | 1523 | 377 | 168 | 405 | 1924 | 608 | 690 |
| 1955 | 80 | 248 | 1326 | 342 | 214 | 326 | 1494 | 472 | 618 |
| 1956 | 61 | 245 | 1072 | 435 | 248 | 268 | 1249 | 452 | 561 |
| 1957 | 50 | 204 | 1101 | 375 | 215 | 281 | 1184 | 475 | 538 |
| 1958 | 54 | 194 | 1014 | 359 | 226 | 281 | 1217 | 494 | 490 |
| 1959 | 80 | 197 | 1072 | 383 | 223 | 236 | 1297 | 500 | 511 |
| 1960 | 59 | 233 | 944 | 342 | 237 | 269 | 1264 | 504 | 513 |
| 1961 | 62 | 277 | 934 | 357 | 289 | 210 | 1313 | 437 | 383 |
| 1962 | 50 | 248 | 881 | 391 | 298 | 242 | 1311 | 502 | 470 |
| 1963 | 67 | 295 | 922 | 374 | 353 | 231 | 1382 | 520 | 505 |
| 1964 | 56 | 298 | 963 | 416 | 315 | 215 | 1461 | 506 | 578 |
| 1965 | 50 | 311 | 1086 | 424 | 319 | 192 | 1447 | 491 | 639 |
| 1966 | 49 | 317 | 1032 | 524 | 381 | 227 | 1564 | 441 | 636 |
| 1967 | 68 | 327 | 1167 | 735 | 343 | 230 | 1480 | 498 | 563 |
| 1968 | 58 | 340 | 1253 | 780 | 322 | 256 | 1293 | 444 | 615 |
| 1969 | 63 | 365 | 1297 | 1014 | 382 | 275 | 1224 | 470 | 615 |
| 1977 | 61 | 332 | 1395 | 867 | 324 | 219 | 1324 | 503 | 559 |
| 1971 | 45 | 301 | 1443 | 926 | 303 | 200 | 1204 | 496 | 526 |
| 1972 | 56 | 258 | 1481 | 828 | 369 | 197 | 1385 | 523 | 566 |
| 1973 | 46 | 286 | 1696 | 711 | 359 | 178 | 1293 | 519 | 559 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

Year<br>Cl .37 C<br>CI. 3<br>Cl<br>CI. 39<br>CI. 40<br>C1. 41<br>Cl. 42<br>Cl. 43<br>Cl. 44<br>Cl. 45

|  |  |  |  |  |  |  |  |  |  |
| :--- | ---: | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1881 | 142 | 113 | 42 | 54 | 20 | 263 | 6 | 124 | 290 |
| 1882 | 132 | 111 | 33 | 38 | 13 | 258 | 7 | 144 | 290 |
| 1883 | 149 | 89 | 28 | 68 | 21 | 270 | 3 | 176 | 278 |
| 1884 | 171 | 113 | 29 | 75 | 12 | 293 | 10 | 153 | 271 |
| 1885 | 182 | 131 | 23 | 61 | 18 | 312 | 9 | 163 | 278 |
| 1886 | 232 | 126 | 31 | 77 | 35 | 363 | 6 | 151 | 314 |
| 1887 | 227 | 137 | 28 | 68 | 28 | 392 | 6 | 238 | 329 |
| 1888 | 196 | 145 | 33 | 75 | 18 | 351 | 1 | 230 | 328 |
| 1889 | 265 | 174 | 49 | 78 | 30 | 394 | 3 | 310 | 408 |
| 1891 | 238 | 182 | 46 | 79 | 39 | 389 | 3 | 269 | 379 |
| 1891 | 256 | 190 | 63 | 68 | 28 | 390 | 4 | 260 | 484 |
| 1892 | 214 | 177 | 60 | 82 | 27 | 435 | 8 | 215 | 473 |
| 1893 | 281 | 202 | 71 | 63 | 26 | 442 | 5 | 192 | 527 |
| 1894 | 335 | 193 | 80 | 82 | 25 | 473 | 7 | 195 | 519 |
| 1895 | 350 | 164 | 51 | 105 | 19 | 544 | 6 | 168 | 494 |
| 1896 | 314 | 159 | 90 | 74 | 30 | 517 | 8 | 167 | 503 |
| 1897 | 319 | 176 | 97 | 104 | 19 | 594 | 3 | 166 | 493 |
| 1898 | 312 | 223 | 101 | 137 | 23 | 751 | 5 | 152 | 543 |
| 1899 | 397 | 238 | 113 | 111 | 27 | 730 | 3 | 162 | 581 |
| 1900 | 402 | 197 | 123 | 103 | 34 | 570 | 228 | 139 | 630 |
| 1901 | 535 | 272 | 156 | 124 | 32 | 726 | 280 | 169 | 684 |
| 1902 | 635 | 252 | 160 | 97 | 35 | 802 | 240 | 205 | 759 |
| 1903 | 662 | 247 | 147 | 106 | 48 | 956 | 294 | 216 | 814 |
| 1904 | 713 | 252 | 130 | 104 | 23 | 995 | 264 | 190 | 855 |
| 1905 | 682 | 287 | 135 | 153 | 51 | 1048 | 250 | 220 | 894 |
| 1906 | 742 | 289 | 203 | 143 | 38 | 1233 | 305 | 230 | 1037 |
| 1907 | 917 | 306 | 194 | 146 | 44 | 1332 | 378 | 295 | 1149 |
| 1908 | 1052 | 295 | 151 | 129 | 57 | 1312 | 385 | 379 | 1364 |
| 1909 | 1240 | 365 | 193 | 147 | 56 | 1466 | 350 | 646 | 1607 |
| 1910 | 1182 | 358 | 263 | 215 | 61 | 1465 | 339 | 605 | 1741 |
| 1911 | 1113 | 361 | 272 | 194 | 68 | 1523 | 376 | 579 | 1727 |
| 1912 | 1255 | 357 | 265 | 275 | 89 | 1646 | 347 | 551 | 1648 |
| 1913 | 1308 | 417 | 276 | 261 | 72 | 1704 | 363 | 660 | 1747 |
| 1914 | 883 | 252 | 181 | 176 | 84 | 1354 | 311 | 382 | 1219 |
| 1915 | 407 | 137 | 134 | 110 | 23 | 713 | 88 | 189 | 565 |
| 1916 | 473 | 160 | 156 | 128 | 27 | 829 | 103 | 220 | 6588 |
| 1917 | 473 | 159 | 156 | 128 | 27 | 829 | 103 | 220 | 657 |
| 1918 | 581 | 196 | 192 | 158 | 33 | 1018 | 126 | 270 | 808 |
| 1919 | 1353 | 318 | 178 | 113 | 38 | 1521 | 250 | 966 | 1644 |
| 1920 | 1046 | 398 | 289 | 226 | 56 | 1875 | 261 | 1093 | 1836 |
| 1921 | 1060 | 515 | 291 | 239 | 64 | 2193 | 307 | 1054 | 2080 |
| 1922 | 759 | 422 | 302 | 208 | 44 | 2230 | 269 | 832 | 19499 |
| 1923 |  |  |  |  |  |  |  |  |  |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
(contd.)
Year $\quad \mathrm{Cl} .37 \mathrm{Cl} .38 \mathrm{Cl} .39 \mathrm{Cl} .40 \quad \mathrm{Cl} .41 \mathrm{Cl} .42 \mathrm{Cl} .43 \mathrm{Cl} .44 \mathrm{Cl} .45$

| 1939 | - | - | - | - | - | - |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1940 | - |  | - | - | - | - | - |  |  |
| 1941 |  | - | - | - | - | - |  |  |  |
| 1942 | - |  | - | - | - | - |  |  | - |
| 1943 | - |  | - | - | - | - | - |  |  |
| 1944 | - |  | - | - | . | - | - |  |  |
| 1945 | - |  | - |  | - | - |  |  |  |
| 1946 | - |  | - | - | - | - | - |  |  |
| 1947 |  |  | - | - | - | - | - |  |  |
| 1948 | * | - | - | . | $\bullet$ | - | - | - | - |
| 1949 | 2886 | 548 | 1344 | 333 | 25 | 2885 | 149 | 499 | 2432 |
| 1950 | 1381 | 536 | 1334 | 290 | 31 | 2864 | 482 | 301 | 1641 |
| 1951 | 1349 | 587 | 1251 | 316 | 41 | 3015 | 387 | 341 | 1594 |
| 1952 | 1467 | 567 | 1296 | 328 | 37 | 3261 | 310 | 336 | 1709 |
| 1953 | 1671 | 507 | 1394 | 358 | 49 | 3208 | 316 | 341 | 1700 |
| 1954 | 1721 | 475 | 1602 | 394 | 54 | 3218 | 326 | 303 | 1586 |
| 1955 | 1422 | 356 | 1823 | 331 | 31 | 3079 | 372 | 238 | 1277 |
| 1956 | 1303 | 374 | 2105 | 374 | 31 | 3121 | 377 | 231 | 1291 |
| 1957 | 1364 | 365 | 2167 | 355 | 31 | 2984 | 399 | 225 | 1255 |
| 1958 | 1381 | 365 | 2230 | 350 | 18 | 3451 | 394 | 181 | 1263 |
| 1959 | 1403 | 361 | 2541 | 376 | 25 | 3450 | 462 | 185 | 1330 |
| 1960 | 1463 | 298 | 2571 | 328 | 10 | 3566 | 498 | 203 | 1315 |
| 1961 | 1510 | 293 | 3030 | 347 | 17 | 3551 | 509 | 207 | 1267 |
| 1962 | 1744 | 298 | 3340 | 329 | 22 | 3331 | 556 | 229 | 1202 |
| 1963 | 1915 | 276 | 3482 | 311 | 10 | 3542 | 555 | 261 | 1261 |
| 1964 | 1918 | 256 | 3778 | 427 | 33 | 3835 | 558 | 301 | 1449 |
| 1965 | 1957 | 289 | 3633 | 440 | 34 | 4106 | 618 | 293 | 1373 |
| 1966 | 1882 | 246 | 3618 | 511 | 34 | 3988 | 519 | 285 | 1548 |
| 1967 | 1858 | 247 | 3766 | 505 | 31 | 4454 | 260 | 291 | 1544 |
| 1968 | 1752 | 196 | 3514 | 435 | 31 | 4484 | 247 | 253 | 1397 |
| 1969 | 1753 | 197 | 3665 | 432 | 31 | 5099 | 307 | 352 | 1348 |
| 1970 | 1811 | 160 | 3775 | 497 | 32 | 5431 | 322 | 284 | 1231 |
| 1971 | 1931 | 188 | 3626 | 525 | 36 | 5378 | 270 | 278 | 1022 |
| 1972 | 2089 | 175 | 3621 | 438 | 22 | 5313 | 303 | 261 | 998 |
| 1973 | 2103 | 220 | 3329 | 429 | 26 | 5185 | 324 | 264 | $9 \times 6$ |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

Year<br>Cl. 46 Cl .47 Cl .48 Cl .49<br>Cl. 50 Cl .51<br>Cl .52 Cl .53<br>Cl. 54

| 1881 | 97 | 202 | 29 | 164 | 120 | 106 | 105 | 53 | 72 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 97 | 228 | 26 | 215 | 115 | 87 | 156 | 57 | 70 |
| 1883 | 130 | 257 | 2.3 | 229 | 114 | 113 | 160 | 57 | 56 |
| 1884 | 100 | 308 | 12 | 249 | 162 | 132 | 149 | 57 | 77 |
| 1885 | 107 | 395 | 27 | 285 | 166 | 167 | 157 | 72 | 70 |
| 1886 | 143 | 389 | 24 | 306 | 149 | 208 | 156 | 76 | 96 |
| 1887 | 159 | 370 | 28 | 300 | 129 | 182 | 166 | 67 | 105 |
| 1888 | 192 | 331 | 32 | 324 | 144 | 170 | 111 | 79 | 98 |
| 1889 | 175 | 430 | 25 | 355 | 150 | 190 | 104 | 92 | 114 |
| 1890 | 170 | 404 | 22 | 373 | 160 | 184 | 105 | 113 | 140 |
| 1891 | 209 | 482 | 36 | 440 | 177 | 218 | 105 | 117 | 120 |
| 1892 | 192 | 510 | 40 | 503 | 146 | 222 | 87 | 136 | 124 |
| 1893 | 197 | 489 | 62 | 487 | 176 | 217 | 93 | 185 | 145 |
| 1894 | 200 | 508 | 57 | 496 | 172 | 244 | 115 | 197 | 149 |
| 1895 | 218 | 460 | 40 | 486 | 163 | 190 | 105 | 183 | 145 |
| 1896 | 268 | 490 | 54 | 482 | 151 | 196 | 100 | 219 | 151 |
| 1897 | 281 | 562 | 32 | 601 | 127 | 208 | 151 | 265 | 154 |
| 1898 | 318 | 607 | 43 | 086 | 173 | 200 | 138 | 347 | 174 |
| 1899 | 470 | 747 | 61 | 797 | 132 | 197 | 103 | 333 | 176 |
| 1900 | 504 | 751 | 76 | 547 | 140 | 196 | 143 | 323 | 195 |
| 1901 | 533 | 926 | 77 | 594 | 160 | 195 | 176 | 333 | 252 |
| 1902 | 606 | 11170 | 63 | 598 | 181 | 229 | 181 | 339 | 281 |
| 1903 | 785 | 1076 | 56 | 566 | 201 | 264 | 193 | 307 | 306 |
| 1904 | 803 | 1114 | 66 | 529 | 210 | 214 | 198 | 313 | 332 |
| 1905 | 853 | 1175 | 62 | 556 | 182 | 241 | 186 | 389 | 379 |
| 1906 | 980 | 1419 | 87 | 591 | 165 | 281 | 189 | 348 | 439 |
| 1907 | 963 | 1605 | 123 | $66 n$ | 227 | 282 | 206 | 339 | 470 |
| 1908 | 908 | 1594 | 144 | 827 | 206 | 283 | 238 | 393 | 560 |
| 1909 | 1009 | 1578 | 93 | 831 | 261 | 263 | 285 | 393 | 572 |
| 1910 | 1215 | 1715 | 118 | 820 | 263 | 264 | 298 | 414 | 637 |
| 1911 | 1439 | 1615 | 135 | 795 | 305 | 262 | 300 | 437 | 548 |
| 1912 | 1606 | 1557 | 133 | 801 | 299 | 272 | 327 | 449 | 609 |
| 1913 | 1620 | 1682 | 136 | 818 | 324 | 271 | 352 | 513 | 718 |
| 1914 | 1208 | 1278 | 124 | 689 | 221 | 208 | 288 | 389 | 474 |
| 1915 | 753 | 820 | 71 | 427 | 96 | 60 | 98 | 513 | 194 |
| 1916 | 876 | 954 | 83 | 496 | 112 | 69 | 114 | 596 | 225 |
| 1917 | 876 | 954 | 83 | 496 | 112 | 69 | 114 | 590 | 225 |
| 1918 | 1076 | 1172 | 102 | 610 | 138 | 85 | 140 | 732 | 276 |
| 1919 | 1615 | 1739 | 81 | 1042 | 211 | 215 | 193 | 635 | 496 |
| 1920 | 2298 | 2008 | 116 | 1287 | 205 | 339 | 333 | 551 | 673 |
| 1921 | 2260 | 2097 | 119 | 1335 | 283 | 455 | 284 | 541 | 822 |
| 1922 | 2321 | 1725 | 124 | 1120 | 316 | 323 | 268 | 409 | 675 |
| 1923 | 2241 | 1643 | 138 | 947 | 297 | 277 | 214 | 344 | 556 |
| 1924 | 2373 | 1954 | 185 | 1231 | 345 | 257 | 293 | 455 | 786 |
| 1925 | 2191 | 2095 | 185 | 1260 | 376 | 295 | 288 | 523 | 1224 |
| 1926 | 2105 | 2056 | 259 | 1051 | 337 | 306 | 297 | 521 | 1105 |
| - 1927 | 2067 | 2103 | 327 | 1085 | 325 | 290 | 286 | 609 | 1142 |
| 1928 | 2216 | 2080 | 337 | 1106 | 355 | 351 | 296 | 595 | 1277 |
| -1929 | 2215 | 2319 | 295 | 1136 | 342 | 289 | 281 | 592 | 1237 |
| 1930 | 2440 | 2291 | 296 | 1212 | 362 | 288 | 294 | 655 | 1203 |
| 1931 | 2304 | 2137 | 270 | 1074 | 359 | 232 | 317 | 643 | 1155 |
| 1932 | 1999 | 1799 | 238 | 927 | 304 | 255 | 307 | 748 | 1035 |
| 1933 | 1869 | 1739 | 203 | 727 | 267 | 183 | 276 | 663 | 834 |
| 1934 | 1780 | 1736 | 257 | 798 | 279 | 118 | 260 | 606 | 768 |
| 1935 | 1806 | 1885 | 284 | 847 | 281 | 129 | 213 | 592 | 605 |
| 1936 | 2022 | 2026 | 334 | 951 | 280 | 150 | 222 | 583 | 660 |
| 1937 | 2142 | 2148 | 445 | 1026 | 311 | 168 | 199 | 66.3 | 573 |
| 1938 | 2036 | 2111 | 370 | 1162 | 296 | 122 | 182 | 583 | 507 |

## H. V. KARLEBYE in KOPENHAGEN.

Vorrichtung an Tabackspfeifen und an Clgarrenspitzen zur Abkühlung des Rauches und Abscheidung des Tabackssaftes.


Fig. 2.


Zu der Patentschrift
№ 172.
G. DAIMLER in CANNSTATT.



Fig 2

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
(contd.)


| 1939 | - | - | - |  |  | - | - | - |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1947 |  |  | - |  | - |  | - | - |  |
| 1041 | - | - | - | - | - | - | - | - |  |
| 1942 | - | - | - | - | - | - |  | - |  |
| 1943 | - |  |  |  |  |  |  |  |  |
| 1944 | - |  |  |  |  |  |  |  |  |
| 1945 |  | - | - | - | - | - | - |  |  |
| 1946 | - | - | - | - | - | - |  |  |  |
| 1947 | - |  | - |  |  | - |  |  |  |
| 1948 | - | - | * | - | - | - | - | - |  |
| 1949 | 1460 | 2142 | 282 | 1105 | 411 | 183 | 275 | 930 | 490 |
| 1950 | 1646 | 2037 | 392 | 1083 | 415 | 117 | 286 | 534 | 557 |
| 1951 | 1498 | 2124 | 363 | 1170 | 497 | 120 | 274 | 490 | 529 |
| 1952 | 1433 | 2437 | 372 | 1293 | 459 | 123 | 246 | 507 | 544 |
| 1953 | 1472 | 2616 | 398 | 1363 | 443 | 128 | 241 | 490 | 577 |
| 1954 | 1368 | 2672 | 411 | 1280 | 377 | 119 | 268 | 426 | 534 |
| 1955 | 1242 | 2327 | 398 | 1113 | 331 | 104 | 243 | 429 | 434 |
| 1956 | 1127 | 2313 | 435 | 1084 | 312 | 90 | 234 | 342 | 370 |
| 1957 | 1098 | 2451 | 399 | 1057 | 283 | 97 | 204 | 316 | 338 |
| 1958 | 1084 | 2731 | 466 | 1097 | 328 | 83 | 200 | 320 | 371 |
| 1959 | 1139 | 2831 | 460 | 1127 | 329 | 74 | 192 | 356 | 377 |
| 1960 | 1288 | 2923 | 487 | 1025 | 348 | 81 | 175 | 346 | 342 |
| 1961 | 1340 | 3098 | 482 | 1032 | 354 | 71 | 177 | 326 | 317 |
| 1962 | 1359 | 3643 | 509 | 1114 | 311 | 70 | 164 | 302 | 410 |
| 1963 | 1259 | 3652 | 502 | 1203 | 321 | 75 | 174 | 299 | 373 |
| 1964 | 1247 | 3608 | 616 | 12.05 | 356 | 82 | 174 | 301 | 416 |
| 1965 | 1411 | 3470 | 672 | 1254 | 329 | 86 | 188 | 384 | 394 |
| 1966 | 1309 | 3714 | 607 | 1225 | 368 | 104 | 177 | 436 | 395 |
| 1967 | 1160 | 3796 | 589 | 1241 | 382 | 85 | 161 | 373 | 395 |
| 1968 | 1014 | 3628 | 617 | 1184 | 340 | 103 | 138 | 398 | 327 |
| 1969 | 1055 | 2974 | 559 | 1207 | 305 | 84 | 138 | 440 | 266 |
| 1970 | 1039 | 3034 | 544 | 1245 | 304 | 128 | 160 | 355 | 305 |
| 1971 | 1102 | 3220 | 531 | 1276 | 298 | 91 | 144 | 389 | 311 |
| 1972 | 1125 | 3542 | 563 | 1352 | 279 | 85 | 149 | 341 | 333 |
| 1973 | 1275 | 3580 | 596 | 1265 | 322 | 95 | 144 | 402 | 318 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

| Year | Cl .55 | Cl .56 | Cl .57 | Cl .58 | Cl .59 | Cl .60 | Cl .61 | Cl .62 | Cl .63 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| 1831 | 59 | 14 | 21 | 36 | 93 | 11 | 31 | 4 | 115 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 86 | 25 | 22 | 42 | 104 | 20 | 69 | 8 | 123 |
| 1883 | 98 | 23 | 28 | 38 | 115 | 14 | 42 | 1 | 116 |
| 1884 | 98 | 14 | 39 | 46 | 105 | 20 | 30 | 4 | 127 |
| 1885 | 73 | 15 | 39 | 43 | 110 | 25 | 48 | 8 | 144 |
| 1886 | 97 | 13 | 34 | 57 | 101 | 20 | 35 | 7 | 156 |
| 1887 | 77 | 22 | 52 | 41 | 92 | 21 | 33 | 8 | 200 |
| 1888 | 78 | 17 | 57 | 34 | 85 | 19 | 45 | - | 216 |
| 1899 | 83 | 34 | 107 | 33 | 70 | 20 | 53 | 5 | 257 |
| 189 n | 91 | 40 | 105 | 23 | 62 | 23 | 34 | 5 | 241 |
| 1891 | 97 | 37 | 116 | 22 | 87 | 24 | 52 | 4 | 303 |
| 1892 | 74 | 31 | 107 | 39 | 95 | 22 | 53 | 7 | 405 |
| 1893 | 84 | 39 | 108 | 32 | 106 | 24 | 62 | 8 | 503 |
| 1894 | 63 | 42 | 113 | 35 | 120 | 28 | 71 | 5 | 610 |
| 1995 | 92 | 40 | 128 | 30 | 93 | 24 | 48 | 4 | 727 |
| 1896 | 91 | 27 | 175 | 33 | 1197 | 35 | 56 | 1 | 1382 |
| 1897 | 96 | 31 | 175 | 66 | 96 | 32 | 48 | 2 | 1897 |
| 1898 | 131 | 36 | 205 | 69 | 129 | 43 | 50 | 3 | 1804 |
| 1899 | 133 | 32 | 256 | 68 | 114 | 65 | 82 | 4 | 1356 |
| 1900 | 149 | 59 | 269 | 47 | 135 | 49 | 108 | - | 1000 |
| 1901 | 180 | 48 | 338 | 39 | 174 | 49 | 75 | - | 933 |
| 1902 | 158 | 76 | 317 | 39 | 203 | 70 | 105 | - | 933 |
| 1903 | 172 | 94 | 383 | 63 | 213 | 61 | 117 | - | 976 |
| 1904 | 152 | 81 | 355 | 50 | 176 | 58 | 87 | - | 1076 |
| 1905 | 153 | 61 | 361 | 54 | 179 | 39 | 82 | - | 1430 |
| 1906 | 164 | 85 | 414 | 61 | 183 | 43 | 97 | - | 1921 |
| 1907 | 184 | 73 | 418 | 57 | $179^{\circ}$ | 52 | 94 | - | 2032 |
| 1908 | 216 | 61 | 443 | 80 | 235 | 55 | 100 | - | 1844 |
| 1909 | 278 | 86 | 402 | 73 | 232 | 53 | 107 | - | 1853 |
| 1910 | 232 | 101 | 387 | 73 | 223 | 65 | 118 | - | 1911 |
| 1911 | 288 | 85 | 459 | 85 | 265 | 45 | 147 | - | 2185 |
| 1912 | 250 | 75 | 496 | 69 | 242 | 54 | 93 | - | 2186 |
| 1913 | 238 | 70 | 600 | 72 | 208 | 53 | 95 | - | 2481 |
| 1914 | 187 | 47 | 428 | 62 | 173 | 31 | 87 | - | 1600 |
| 1915 | 155 | 26 | 208 | 31 | 96 | 17 | 84 | - | 1261 |
| 1916 | 180 | 30 | 242 | 36 | 112 | 20 | 98 | - | 1467 |
| 1917 | 180 | 30 | 242 | 36 | 112 | 20 | 98 | - | 1466 |
| 1918 | 221 | 37 | 298 | 45 | 137 | 26 | 120 | - | 1801 |
| 1919 | 190 | 22 | 670 | 73 | 204 | 38 | 69 | - | 1901 |
| 1920 | 234 | 28 | 1012 | 57 | 245 | 35 | 82 | - | 2612 |
| 1921 | 253 | 19 | 1039 | 72 | 294 | 59 | 137 | - | 2974 |
| 1922 | 322 | 19 | 932 | 59 | 284 | 40 | 138 | - | 2929 |
| 1923 | 220 | 13 | 820 | 84 | 288 | 46 | 128 | - | 2688 |
| 1924 | 282 | 29. | 913 | 74 | 313 | 44 | 134 | 189 | 3591 |
| 1925 | 330 | 41 | 966 | 76 | 355 | 37 | 245 | 492 | 4266 |
| 1920 | 407 | 45 | 875 | 63 | 334 | 18 | 255 | 601 | 3670 |
| 1927 | 439 | 68 | 1037 | 58 | 344 | 32 | 246 | 602 | 3780 |
| 1928 | 441 | 64 | 1249 | 74 | 310 | 36 | 244 | 786 | 3963 |
| 1929 | 482 | 47 | 1538 | 86 | 391 | 30 | 223 | 807 | 3824 |
| 1930 | 446 | 51 | 1764 | 77 | 384 | 33 | 243 | 711 | 3972 |
| 1931 | 429 | 30 | 1748 | 67 | 356 | 31 | 230 | 657 | 3632 |
| 1932 | 330 | 31 | 1674 | 55 | 282 | 30 | 250 | 578 | 3169 |
| 1933 | 289 | 39 | 1632 | 72 | 224 | 20 | 385 | 649 | 2947 |
| 1936 | 234 | 26 | 1236 | 56 | 240 | 19 | 332 | 760 | 3104 |
| 1935 | 256 | 31 | 1309 | 56 | 227 | 15 | 386 | 888 | 3093 |
| 1936 | 292 | 35 | 1341 | 70 | 252 | 16 | 404 | 994 | 3335 |
| 1937 | 368 | 42 | 1259 | 61 | 222 | $11_{1}$ | 348 | 1145 | 3062 |
| 1938 | 349 | 31 | 1203 | 83 | 237 | 17 | 382 | 1164 | 3043 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
Year Cl. $55 \mathrm{Cl} .56 \mathrm{Cl} .57 \mathrm{Cl} .58 \quad \mathrm{Cl} .59 \mathrm{Cl} .60 \quad \mathrm{Cl} .61 \quad \mathrm{Cl} .62 \quad \mathrm{Cl} .63$

| 1939 | - | - | - | - | - | - | - |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1940 | - | - | - | - | - | - | - |  |  |
| 1941 | - | - | - |  |  | - |  |  |  |
| 1942 | - | - | - |  |  |  |  |  |  |
| 1943 | - | - | - |  | - | - | - |  |  |
| 1944 | - | - | - | - | - | - |  |  |  |
| 1945 | - | - | - |  |  |  |  |  |  |
| 1946 | - | - | - |  |  |  |  |  |  |
| 1947 | - | - | . | - | - | - | - |  |  |
| 1948 | - | - | - | - | - | - | - |  | - |
| 1949 | 190 | 49 | 917 | 112 | 287 | 16 | 118 | 57 | 2718 |
| 1950 | 202 | 22 | 865 | 75 | 339 | 50 | 146 | 90 | 3113 |
| 1951 | 237 | 24 | 797 | 103 | 299 | 65 | 154 | 75 | 3330 |
| 1952 | 209 | 37 | 939 | 112 | 297 | 72 | 179 | 146 | 3378 |
| 1953 | 232 | 44 | 977 | 95 | 310 | 61 | 223 | 172 | 3609 |
| 1954 | 272 | $4 ?$ | 1059 | 99 | 327 | 71 | 183 | 219 | 3377 |
| 1955 | 283 | 29 | 974 | 114 | 316 | 91 | 126 | 291 | 2929 |
| 1956 | 255 | 19 | 980 | 104 | 328 | 97 | 137 | 337 | 2643 |
| 1957 | 290 | 23 | 945 | 84 | 350 | 81 | 95 | 317 | 2333 |
| 1958 | 248 | 23 | 1064 | 101 | 352 | 88 | 137 | 311 | 2319 |
| 1959 | 325 | 33 | 1060 | 88 | 369 | 103 | 122 | 347 | 2221 |
| 1960 | 307 | 24 | 1079 | 92 | 409 | 124 | 126 | 331 | 2074 |
| 1961 | 298 | 31 | 1032 | 103 | 376 | 127 | 106 | 321 | 2126 |
| 1962 | 280 | 34 | 1079 | 110 | 411 | 108 | 112 | 388 | 2164 |
| 1963 | 285 | 23 | 1074 | 104 | 409 | 93 | 104 | 386 | 2128 |
| 1964 | 321 | 15 | 1257 | 87 | 448 | 124 | 137 | 377 | 24.8 |
| 1965 | 298 | 15 | 1296 | 127 | 482 | 110 | 99 | 404 | 2491 |
| 1966 | 271 | 25 | 1405 | 144 | 484 | 64 | 100 | 426 | 2498 |
| 1967 | 266 | 32 | 1434 | 146 | 426 | 68 | 102 | 439 | 2544 |
| 1968 | 294 | 22 | 1532 | 156 | 400 | 202 | 108 | 452 | 2594 |
| 1969 | 283 | 27 | 1669 | 126 | 405 | 322 | 86 | 407 | 2984 |
| 1970 | 248 | 27 | 1956 | 166 | 366 | 350 | 89 | 361 | 2801 |
| 1971 | 243 | 27 | 1785 | 185 | 376 | 344 | 90 | 300 | 2937 |
| 1972 | 234 | 16 | 1733 | 228 | 387 | 400 | 107 | 340 | 2654 |
| 1973 | 317 | 25 | 1677 | 208 | 377 | 399 | 103 | 262 | 2777 |

# Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973 



| 1881 | 135 | 64 | 14 | 14 | 90 | 58 | 86 | 73 | 93 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 155 | 65 | 15 | 26 | 98 | 65 | 110 | 63 | 98 |
| 1883 | 180 | 88 | 19 | 25 | 99 | 69 | 122 | 70 | 106 |
| 1884 | 171 | 84 | 16 | 20 | 117 | 84 | 141 | 69 | 135 |
| 1885 | 196 | 82 | 20 | 26 | 174 | 57 | 123 | 86 | 122 |
| 1886 | 218 | 89 | 45 | 32 | 144 | 42 | 147 | 86 | 124 |
| 1887 | 250 | 85 | 34 | 34 | 142 | 31 | 156 | 106 . | 149 |
| 1888 | 266 | 87 | 28 | 35 | 172 | 36 | 144 | 103 | 153 |
| 1889 | 272 | 90 | 29 | 38 | 184 | 53 | 190 | 117 | 173 |
| 1890 | 325 | 115 | 23 | 41 | 193 | 67 | 185 | 116 | 179 |
| 1891 | 360 | 117 | 21 | 43 | 212 | 62 | 217 | 144 | 200 |
| 1892 | 304 | 125 | 35 | 66 | 253 | 69 | 141 | 112 | 207 |
| 1893 | 319 | 144 | 27 | 57 | 273 | 52 | 175 | 135 | 203 |
| 1894 | 336 | 149 | 31 | 58 | 231 | 47 | 142 | 123 | 235 |
| 1895 | 328 | 203 | 26 | 49 | 267 | 32 | 182 | 137 | 199 |
| 1896 | 390 | 173 | 21 | 61 | 236 | 39 | 154 | 157 | 210 |
| 1897 | 421 | 184 | 37 | 75 | 285 | 44 | 155 | 170 | 199 |
| 1898 | 443 | 240 | 36 | 90 | 323 | 38 | 145 | 215 | 226 |
| 1899 | 392 | 279 | 35 | 85 | 282 | 42 | 160 | 163 | 237 |
| 1900 | 335 | 290 | 36 | 77 | 315 | 39 | 174 | 175 | 295 |
| 1901 | 475 | 307 | 50 | 90 | 352 | 62 | 147 | 195 | 332 |
| 1902 | 438 | 275 | 107 | 94 | 462 | 65 | 190 | 252 | 336 |
| 1903 | 494 | 263 | 83 | 132 | 414 | 69 | 200 | 244 | 344 |
| 1904 | 501 | 346 | 48 | 132 | 424 | 54 | 211 | 268 | 362 |
| 1905 | 554 | 456 | 37 | 116 | 477 | 85 | 222 | 288 | 401 |
| 1906 | 607 | 480 | 66 | 199 | 566 | 84 | 253 | 371 | 492 |
| 1907 | 596 | 58.5 | 74 | 191 | 605 | 92 | 256 | 419 | 5114 |
| 1908 | 692 | 574 | 86 | 191 | 721 | 114 | 343 | 473 | 596 |
| 1909 | 670 | 595 | 88 | 187 | 783 | 137 | 439 | 527 | 603 |
| 1910 | 703 | 692 | 95 | 231 | 767 | 104 | 422 | 542 | 595 |
| 1911 | 633 | 602 | 87 | 244 | 724 | 94 | 461 | 546 | 570 |
| 1912 | 639 | 784 | 89 | 215 | 788 | 100 | 420 | 612 | 641 |
| 1913 | 643 | 799 | 114 | 297 | 843 | 130 | 513 | 618 | 687 |
| 1914 | 395 | 7 no | 99 | 192 | 553 | 90 | 348 | 453 | 874 |
| 1915 | 2011 | 464 | 20 | 96 | 231 | 70 | 132 | 527 | 1236 |
| 1996 | 232 | 539 | 23 | 112 | 269 | 82 | 153 | 612 | 1438 |
| 1917 | 232 | 539 | 23 | 112 | 269 | 82 | 153 | 612 | 1437 |
| 1918 | 285 | 662 | 27 | 137 | 330 | 101 | 188 | 752 | 1766 |
| 1919 | 440 | 395 | 65 | 341 | 1158 | 182 | 564 | 506 | 246 |
| 1920 | 464 | 518 | 64 | 399 | 1377 | 211 | 661 | 717 | 292 |
| 1921 | 533 | 584 | 88 | 383 | 1259 | 193 | 693 | 633 | 333 |
| 1922 | 435 | 526 | 79 | 331 | 899 | 190 | 584 | 605 | 253 |
| 1923 | 304 | 458 | 67 | 296 | 508 | 130 | 497 | 496 | 229 |
| 1926 | 462 | 617 | 99 | 330 | 673 | 136 | 434 | 565 | 301 |
| 1925 | 692 | 713 | 140 | 441 | 644 | 153 | 475 | 681 | 279 |
| 1926 | 804 | 683 | 181 | 404 | 631 | 177 | 430 | 629 | 357 |
| 1927 | 787 | 735 | 233 | 359 | 565 | 203 | 433 | 607 | 361 |
| 1928 | 784 | 798 | 170 | 431 | 580 | 189 | 414 | 616 | 383 |
| 1929 | 790 | 647 | 199 | 418 | 679 | 177 | 395 | 568 | 345 |
| 1930 | 806 | 710 | 196 | 418 | 705 | 210 | 416 | 630 | 453 |
| 1931 | 748 | 626 | 247 | 399 | 767 | 250 | 415 | 635 | 522 |
| 1932 | 725 | 586 | 179 | 385 | 589 | 279 | 382 | 680 | 539 |
| 1933 | 589 | 538 | 155 | 334 | 561 | 236 | 299 | 573 | 729 |
| 1934 | 550 | 481 | 163 | 223 | 428 | 231 | 271 | 537 | 819 |
| 1935 | 467 | 427 | 135 | 265 | 408 | 189 | 303 | 478 | 1061 |
| 1936 | 512 | 427 | 111 | 252 | 465 | 462 | 263 | 449 | 955 |
| 1937 | 448 | 451 | 128 | 270 | 408 | 131 | 316 | 379 | 1096 |
| 1938 | 419 | 411 | 103 | 331 | 418 | 176 | 308 | 408 | 1 170 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
(contd.)


| 1930 | - | - | - | - | - | - | - | - | - |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1940 | - | - | - | - | - | . |  |  |  |
| 1941 | - | - | - | - | - | - | - | . | - |
| 1942 | - | - | - | - | - | - | - | - | - |
| 1943 |  |  |  |  | - | - | - | - |  |
| 1944 | - |  | - | - | - | . | . | - |  |
| 1945 |  |  | - |  | - | . | - | . |  |
| 1946 |  |  | - |  | - | - |  | - |  |
| 1947 | - | - | - | - | - | . | - | - |  |
| 1948 | - | - | - | - | - | - | - | - | - |
| 1949 | 497 | 300 | 123 | 291 | 313 | 203 | 746 | 640 | 60 |
| 1950 | 483 | 237 | 117 | 2 ¢ 1 | 402 | 154 | 477 | 37 x | Rus |
| 1951 | 449 | 294 | 128 | 328 | 420 | 152 | 346 | 352 | 156 |
| 1952 | 485 | 281 | 116 | 320 | 530 | 127 | 392 | 354 | 192 |
| 1953 | 471 | 273 | 136 | 408 | 519 | 135 | 389 | 330 | 211 |
| 1954 | 38.3 | 320 | 97 | 409 | 545 | 154 | 359 | 3i)3 | 184 |
| 1955 | 395 | 361 | 103 | 283 | 457 | 125 | 372 | 275 | 217 |
| $195 n$ | 354 | 375 | 102 | 287 | 453 | 105 | 292 | 231 | 239 |
| 1957 | 376 | 359 | 90 | 342 | 483 | 114 | 252 | 253 | 292 |
| 1958 | 341 | 401 | Q 5 | 317 | 444 | 101 | 215 | 305 | 297 |
| 1950 | 383 | 379 | $\times 3$ | 263 | 452 | 87 | 222 | 289 | 309 |
| 1960 | 394 | 422 | 78 | 279 | 458 | 75 | 173 | 239 | 265 |
| 1961 | 359 | 382 | 71 | 266 | 449 | 78 | 155 | 304 | 221 |
| 1962 | 353 | 348 | 87 | 274 | 45\% | 63 | 203 | 320 | 271 |
| 1963 | 410 | 373 | $8 \times$ | 268 | 508 | 85 | 145 | 259 | $2 \times 5$ |
| 1964 | 404 | 404 | 65 | 236 | 554 | 80 | 187 | 275 | 314 |
| 1065 | 353 | 410 | 98 | 314 | 538 | 93 | 218 | 241 | 314 |
| 1966 | 330 | 307 | 58 | 358 | 566 | 98 | 221 | 719 | 359 |
| 1967 | 371 | 447 | 73 | 306 | 560 | 115 | 191 | 205 | 321 |
| 1968 | 369 | 401 | 97 | 267 | 615 | 70 | 159 | 192 | 353 |
| 1969 | 346 | 452 | 69 | 271 | 510 | 76 | 169 | 178 | 332 |
| 1970 | 278 | 442 | 88 | 3111 | 532 | 101 | 16.3 | 213 | 324 |
| 1971 | 292 | 358 | 101 | 268 | 573 | 108 | 174 | 176 | 3175 |
| 1972 | 306 | 386 | 97 | 273 | 581 | 102 | 11! | 158 | 330 |
| 1073 | 294 | 366 | 71 | 290 | 548 | 150 | 124 | 178 | 329 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

| Year | Cl .73 Cl .74 Cl .75 | Cl .76 | Cl .77 | Cl .78 | Cl .79 | Cl .80 | Cl .81 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| 1881 | 8 | 24 | 60 | 109 | 130 | 29 | 21 | 114 | 65 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 8 | 32 | 72 | $1)^{1} 0$ | 139 | 36 | 14 | 117 | 50 |
| 1883 | 8 | 30 | 59 | 96 | 111 | 34 | 32 | 123 | 57 |
| 1884 | 6 | 31 | 51 | 105 | 104 | 29 | 19 | 141 | 55 |
| 1885 | 13 | 32 | 63 | 108 | 142 | 30 | 18 | 128 | 83 |
| 1886 | 4 | 34 | 56 | 133 | 149 | 43 | 31 | 166 | 60 |
| 1897 | 11 | 43 | 57 | 127 | 183 | 36 | 24 | 171 | 54 |
| 1888 | 10 | 59 | 46 | 124 | 103 | 34 | 23 | 129 | 64. |
| 1889 | 10 | 65 | 57 | 107 | 273 | 38 | 26 | 168 | 89 |
| 1890 | 17 | 78 | 51 | 90 | 272 | 53 | 43 | 203 | 61 |
| 1891 | 8 | 61 | 21) | 126 | 272 | 54 | 35 | 240 | 98 |
| 1897 | 17 | 65 | 73 | 146 | 239 | 48 | 23 | 2611 | 78 |
| 1893 | 11 | 94 | 109 | 117 | 233 | 49 | 33 | 285 | 102 |
| 1894 | 8 | 90 | 122 | 147 | 271 | 66 | 48 | 280 | 125 |
| 1895 | 4 | 89 | - | 160 | 288 | 81 | 50 | 283 | 127 |
| 1896 | 8 | 113 | - | 153 | 257 | 87 | 61 | 336 | 163 |
| 1897 | 11 | 121 | - | 185 | 256 | 75 | A 1 | 343 | 144 |
| 1898 | 11 | 112 | - | 152 | 257 | 101 | 76 | 387 | 294 |
| 1899 | 12 | 117 | - | 141 | 248 | 114 | 66 | 447 | 198 |
| 1900 | 4 | 144 | - | 169 | 264 | 84 | 80 | 537 | 214 |
| 1901 | 5 | 216 | 1 | 151 | 306 | 132 | 105 | 4ヶ? | 254 |
| 1902 | 10 | 202 | 20 | 160 | 404 | 134 | 116 | 520 | 352 |
| 1903 | 7 | 186 | 96 | 152 | 404 | 93 | 124 | 537 | 351 |
| 1904 | 7 | 249 | 196 | 226 | 368 | 135 | 95 | 569 | 332 |
| 1905 | 11 | 302 | 247 | 249 | 378 | 137 | 115 | 588 | 386 |
| 1906 | 10 | 296 | 262 | 184 | 506 | 134 | 114 | 36.7 | 427 |
| 1907 | 9 | 342 | 313 | 183 | 642 | 120 | 110 | 626 | 472 |
| 1908 | 8 | 406 | 340 | 234 | 1487 | 132 | 100 | 646 | 613 |
| 1909 | 13 | 430 | 345 | 249 | 2316 | 214 | 111 | 675 | 681 |
| 1911 | 15 | 444 | 314 | 278 | 2233 | 140 | 111 | 731 | 713 |
| 1911 | 14 | 425 | 285 | 233 | 1887 | 128 | 117 | 675 | 677 |
| 1912 | 22 | 463 | 289 | 259 | 1935 | 134 | 126 | 044 | 758 |
| 1913 | 16 | 535 | 296 | 323 | 1927 | 150 | 140 | 751 | 758 |
| 1914 | 25 | 413 | 195 | 171 | 1285 | 139 | 81 | 578 | 613 |
| 1915 | 11 | 225 | 65 | 128 | $\bigcirc 27$ | 214 | 53 | 214 | 312 |
| 1916 | 12 | 262 | 76 | 149 | 962 | 249 | 61 | 249 | 363 |
| 1917 | 12 | 262 | 76 | 149 | 962 | 249 | 61 | 249 | 363 |
| 1918 | 16 | 321 | 93 | 183 | 1182 | 306 | 75 | 306 | 446 |
| 1919 | 26 | 513 | 202 | 118 | 1124 | 79 | 86 | 683 | 694 |
| 1920 | 14 | 688 | 272 | 140 | 1345 | 141 | 164 | 850 | 708 |
| 1921 | 23 | 831 | 324 | 217 | 1383 | 167 | 167 | 75. | 88.2 |
| 1922 | 19 | 643 | 275 | 265 | 1378 | 166 | 134 | 776 | 789 |
| 1923 | 18 | 563 | 210 | 216 | 1198 | 120 | 102 | 592 | 717 |
| 1924 | 23 | 542 | 301 | 295 | 1234 | 154 | 164 | 744 | 975 |
| 1925 | 19 | 577 | 337 | 372 | 1153 | 170 | 182 | 876 | 1226 |
| 1926 | 30 | 582 | 352 | 385 | 1047 | 114 | 186 | 853 | 1380 |
| 1927 | 25 | 611 | 380 | 415 | 997 | 142 | 222 | 1036 | 1419 |
| 1928 | 25 | 669 | 424 | 429 | 860 | 166 | 243 | 11141 | 1547 |
| 1929 | 32 | 778 | 413 | 461 | 793 | 146 | 212 | 1050 | 1569 |
| 19.30 | 36 | 850 | 445 | 504 | 922 | 172 | 250 | 1222 | 1678 |
| 1931 | 34 | 701 | 377 | 419 | 989 | 165 | 337 | 984 | 1572 |
| 1932 | 32 | 583 | 377 | 306 | 979 | 160 | 260 | 766 | 1288 |
| 1933 | 18 | 508 | 328 | 270 | 818 | 125 | 196 | 728 | 1127 |
| 1934 | 19 | 560 | 316 | 257 | 597 | 137 | 195 | 668 | 1014 |
| 1935 | 21 | 472 | 318 | 228 | 582 | 117 | 171 | 649 | 1072 |
| 1936 | 24 | 524 | 287 | 290 | 585 | 125 | 155 | 679 | 1114 |
| 1937 | 14 | 580 | 283 | 331 | 543 | 126 | 144 | 698. | 1094 |
| 1938 | 23 | 560 | 267 | 319 | 511 | 116 | 127 | 750 | 911 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

Year
Cl. 73 C

C1. 74
C1. 75
C1. 76
Cl. 77
Cl. 78
Cl. 79

C1. 80
C1. 81

| 1039 | - | - | - | - | - | - | - | - | - |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1040 | - | - | - | - | - | - | - | . | - |
| 1941 | - | - | - | - | - | - | - | - | - |
| 1942 | - | - | - | - | - | - | $\bullet$ | - |  |
| 1943 | - | - | - |  | - | - | - |  |  |
| 1044 | - | - | - |  | - | - |  |  |  |
| 1945 | - | - | - | - | . | * | - | - | - |
| 1946 | - | - | - | - | - | - | - |  | . |
| 1947 | - |  | - |  |  | - |  |  |  |
| 1948 | - | ${ }^{*}$ | * | - | ${ }^{\circ}$ | - | - | ${ }^{\circ}$ | * |
| 1949 | 11 | 312 | 327 | 383 | 1329 | 77 | 107 | 1305 | 1134 |
| 1950 | 9 | 269 | 290 | 452 | 827 | 44 | 168 | 841 | 1249 |
| 1951 | 13 | 389 | 299 | 510 | 801 | 54 | 123 | 874 | 1431 |
| 1952 | 14 | 474 | 308 | 459 | 865 | 59 | 108 | 867 | 1604 |
| 1953 | 23 | 457 | 319 | 460 | 729 | 71 | 127 | 851 | 1794 |
| 1954 | 24 | 435 | 308 | 432 | 797 | 71 | 144 | 838 | 1766 |
| 1955 | 29 | 364 | 331 | 413 | 569 | 92 | 116 | 852 | 1606 |
| 1956 | 29 | 315 | 327 | 393 | 472 | 79 | 120 | 714 | 1610 |
| 1957 | 31 | 278 | 296 | 353 | 475 | 75 | 143 | 772 | 1792 |
| 1958 | 33 | 266 | 272 | 423 | 469 | 119 | 212 | 682 | 1730 |
| 1959 | 17 | 251 | 326 | 404 | 463 | 142 | 201 | 796 | 1665 |
| 1960 | 21 | 252 | 323 | 409 | 443 | 158 | 181 | 788 | 1879 |
| 1951 | 21 | 242 | 376 | 432 | 451 | 119 | 159 | 843 | 1797 |
| 1962 | 14 | 277 | 352 | 420 | 478 | 119 | 131 | ¢58 | 2026 |
| 1963 | 31 | 249 | 356 | 464 | 512 | 97 | 166 | 838 | 2098 |
| 1964 | 35 | 252 | 462 | 484 | 531 | 95 | 211 | 985 | 2187 |
| 1965 | 40 | 249 | 495 | 458 | 625 | 115 | 193 | 1011 | 2236 |
| 1966 | 33 | 263 | 515 | 412 | 711 | 86 | 184 | 977 | 2451 |
| 1967 | 25 | 319 | 514 | 396 | 659 | 111 | 145 | 824 | 2412 |
| 1968 | 31 | 306 | 426 | 357 | 541 | 116 | 185 | 781 | 2297 |
| 1969 | 36 | 294 | 395 | 325 | 663 | 88 | 154 | 767 | 2415 |
| 1970 | 35 | 325 | 432 | 371 | 640 | 87 | 161 | 752 | 2236 |
| 1971 | 59 | 368 | 449 | 439 | 611 | 76 | 177 | 765 | 2475 |
| 1972 | 41 | 415 | 416 | 418 | 795 | 86 | 156 | 839 | 2512 |
| 1973 | 28 | 494 | 365 | 378 | 669 | 118 | 136 | 818 | 2337 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973

| Year | Cl .82 Cl .83 | Cl .84 | Cl .85 | Cl .86 Cl .87 | Cl .88 | Cl .89 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| 1881 | 42 | 48 | 13 | 140 | 101 | 27 | 39 | 130 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1882 | 47 | 55 | 14 | 152 | 95 | 41 | 38 | 145 |
| 1883 | 56 | 63 | 13 | 151 | 125 | 29 | 40 | 172 |
| 1884 | 89 | 80 | 12 | 156 | 137 | 50 | 23 | 143 |
| 1885 | 68 | 91 | 19 | 165 | 158 | 46 | 30 | 123 |
| 1896 | 52 | 100 | 15 | 132 | 167 | 33 | 34 | 117 |
| 1887 | 49 | 83 | 17 | 134 | 126 | 42 | 31 | 96 |
| 1888 | 58 | 84 | 23 | 129 | 165 | 40 | 50 | 100 |
| 1889 | 65 | 98 | 28 | 142 | 198 | 40 | 41 | 116 |
| 1890 | 53 | 96 | 32 | 138 | 126 | 56 | 49 | 147 |
| 1891 | 71 | 103 | 29 | 185 | 173 | 58 | 40 | 117 |
| 1892 | 76 | 82 | 24 | 147 | 186 | 53 | 71 | 147 |
| 1893 | 92 | 58 | 29 | 206 | 157 | 71 | 64 | 133 |
| 1894 | 77 | 69 | 22 | 198 | 162 | 49 | 62 | 129 |
| 1895 | 81 | 69 | 19 | 203 | 160 | 47 | 70 | 115 |
| 1896 | 95 | 69 | 19 | 212 | 173 | 52 | 74 | 116 |
| 1897 | 88 | 76 | 35 | 202 | 205 | 44 | 83 | 123 |
| 1898 | 92 | 75 | 29 | 209 | 237 | 57 | 92 | 120 |
| 1899 | 124 | 93 | 35 | 186 | 219 | 61 | 79 | 116 |
| 1900 | 146 | 87 | 42 | 261 | 198 | 48 | 104 | 100 |
| 1971 | 144 | 98 | 36 | 327 | 235 | 82 | 106 | 125 |
| 1902 | 180 | 123 | 48 | 329 | 265 | 97 | 102 | 127 |
| 1903 | 140 | 133 | 82 | 335 | 284 | 114 | 119 | 122 |
| 1904 | 160 | 132 | 171 | 255 | 258 | 125 | 106 | 107 |
| 1905 | 152 | 134 | 102 | 267 | 244 | 137 | 102 | 101 |
| 1906 | 179 | 142 | 98 | 288 | 201 | 134 | 155 | 123 |
| 1907 | 178 | 141 | 101 | 301 | 268 | 181 | 128 | 88 |
| 1908 | 209 | 143 | 127 | 363 | 347 | 229 | 157 | 117 |
| 1979 | 237 | 145 | 150 | 459 | 343 | 231 | 178 | 113 |
| 1490 | 231 | 143 | 146 | 426 | 312 | 2611 | 156 | 114 |
| 1911 | 234 | 161 | 178 | 401 | 291 | 215 | 152 | 96 |
| 1012 | 215 | 153 | 186 | 452 | 346 | 223 | 154 | 108 |
| 1913 | 243 | 165 | 190 | 482 | 327 | 245 | 176 | 44 |
| 1914 | 180 | 115 | 152 | 344 | 201 | 185 | 117 | 73 |
| 1915 | 117 | 38 | 68 | 131 | 68 | 77 | 63 | 41 |
| 1916 | 136 | 44 | 79 | 153 | 79 | 89 | 73 | 47 |
| 1917 | 136 | 44 | 79 | 153 | 79 | 89 | 73 | 47 |
| 1918 | 167 | 54 | 97 | 188 | 97 | 110 | 90 | 58 |
| 1919 | 194 | 128 | 122 | 307 | 115 | 366 | 251 | 49 |
| 1920 | 238 | 155 | 173 | 262 | 177 | 446 | 404 | 90 |
| 1921 | 207 | 178 | 172 | 312 | 253 | 420 | 415 | 97 |
| 1922 | 159 | 135 | 181 | 222 | 255 | 325 | 327 | 81 |
| 1923 | 176 | 133 | 133 | 180 | 194 | 243 | 272 | 62 |
| 1924 | 221 | 170 | 151 | 257 | 242 | 282 | 335 | 85 |
| 1925 | 253 | 175 | 204 | 372 | 339 | 345 | 500 | 112 |
| 1926 | 262 | 199 | 226 | 422 | 324 | 252 | 326 | 127 |
| 1927 | 326 | 439 | 234 | 398 | 339 | 237 | 257 | 131 |
| 1978 | 301 | 307 | 257 | 480 | 362 | 281 | 230 | 121 |
| $1920^{\circ}$ | 311 | 259 | 237 | 575 | 424 | 250 | 180 | 99 |
| 1930 | 340 | 295 | 280 | 559 | 435 | 310 | 188 | 105 |
| 1931 | 270 | 296 | 278 | 502 | 456 | 215 | 204 | 96 |
| 1932 | 214 | 203 | 266 | 411 | 305 | 189 | 184 | 83 |
| 1933 | 208 | 156 | 298 | 368 | 297 | 149 | 159 | 86 |
| 1934 | 184 | 156 | 245 | 327 | 249 | 126 | 121 | 67 |
| 1935 | 215 | 159 | 201 | 323 | 274 | 140 | 129 | 107 |
| 1936 | 201 | 144 | 239 | 368 | 267 | 154 | 120 | 77 |
| 1937 | 211 | 191 | 182 | 359 | 233 | 136 | 102 | 98 |
| 1938 | 160 | 178 | 238 | 296 | 238 | 162 | 137 | 126 |

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881-1973
Year $\quad \mathrm{Cl} .82 \mathrm{Cl} .83 \mathrm{Cl} .84 \mathrm{Cl} .85 \mathrm{Cl} .86 \mathrm{Cl} .87 \mathrm{Cl} .88 \quad \mathrm{Cl} .89$

| 1939 |  | - | - | - | - | - | - |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1940 |  | - |  |  |  |  |  |  |
| 1941 |  | - | - | - | - | - | - |  |
| 1942 | - | - | - | . | - | - | - |  |
| 1943 | - | - | - | - | - | - |  |  |
| 1944 | - | - | - | - | - | - |  |  |
| 1945 | - |  |  |  |  |  |  |  |
| 1946 | - | - |  | - | - |  |  |  |
| 1947 | - | - |  | - |  |  |  |  |
| 1948 | - | - | - | * | - | * | - | - |
| 1949 | 325 | 297 | 173 | 261 | 241 | 352 | 120 | 108 |
| 1950 | 266 | 249 | 243 | 333 | 336 | 189 | 100 | 59 |
| 1951 | 297 | 254 | 241 | 367 | 329 | 207 | 82 | 67 |
| 1952 | 267 | 294 | 257 | 386 | 291 | 238 | 80 | 56 |
| 1953 | 258 | 277 | 268 | 408 | 322 | 247 | 116 | 57 |
| 1954 | 285 | 265 | 267 | 404 | 253 | 242 | 99 | 74 |
| 1955 | 225 | 258 | 279 | 385 | 295 | 233 | 84 | 60 |
| 1956 | 211 | 247 | 222 | 328 | 259 | 221 | $\delta 2$ | 44 |
| 1957 | 246 | 243 | 265 | 316 | 218 | 205 | 90 | 55 |
| 1958 | 255 | 236 | 268 | 289 | 218 | 221 | 102 | 57 |
| 1959 | 764 | 174 | 313 | 344 | 246 | 250 | 89 | 49 |
| 1960 | 238 | 190 | 292 | 352 | 232 | 215 | 71 | 63 |
| 1961 | 244 | 108 | 271 | 399 | 255 | 195 | 70 | 38 |
| 1962 | 249 | 182 | 339 | 307 | 258 | 201 | 56 | 52 |
| 1963 | 264 | 190 | 333 | 435 | 224 | 192 | 62 | 41 |
| 1964 | 306 | 187 | 377 | 411 | 222 | 223 | 82 | 35 |
| 1965 | 298 | 190 | 396 | 468 | 252 | 229 | 63 | 53 |
| 1966 | 275 | 211 | 417 | 479 | 247 | 254 | 53 | 39 |
| 1967 | 267 | 210 | 414 | 530 | 259 | 213 | 67 | 35 |
| 1968 | 253 | 228 | 471 | 520 | 249 | 224 | 57 | 35 |
| 1969 | 231 | 242 | 364 | 590 | 226 | 201 | 53 | 49 |
| 1970 | 178 | 280 | 380 | 485 | 201 | 189 | 103 | 35 |
| 1971 | 209 | 256 | 345 | 511 | 236 | 207 | 74 | 44 |
| 1972 | 201 | 275 | 360 | 598 | 230 | 243 | 89 | 37 |
| 1973 | 176 | 237 | 383 | 587 | 212 | 249 | 710 | 29 |


Entlastetes Dampfuentil.


PHOTOGR. DRUCX DER KÖNIGL. PREUSS. STAATSDRUCXEREI.

## W. MOTZ in BERLIN. <br> Drelthoilige Riemonschraube.



# 3. Development in the Individual Patent Classes 

 in Absolute numbers of Patent Applications in Periods of Two Years (from 1882 to 1974) and Proportions of Total Patent Applications in $1 / 1000$ in Classes $01-89$Cl. 1 Preparation of ores, fuels and other minerals, including waste and the residues of combustion



```
Cl. 2 Baking
```



Cl. 3 Wearing apparel






Cl. 6 Zymology : alcohol, spirits, beer, wine, vinegar, yeast and other fermentation agents and products, enzymes


Cl. 7 Mechanical metal working without essentially removing material; punching metal


Cl. 8 Bleaching, washing, dyeing; printing of cloth and tapestry and finishing






JEAN MEISTER in KALK bei KÖLN a. Rh.
Hahn mit Schlauchverbindung.

№ 115.
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

## Verrichtung zur Herstellung gefalzter Ofonrohre.



PHOTOGR. DRICK DFR KONIGI. PRECSS. STAATSDRLCKEREI.


## Cl. 11 Bookbinding, albums, files and special printed matter




```
Cl. 12 Chemical processes and apparatus not included in other special
    classes
```



Cl. 13 Steam boilers for power generation and related equipment,


Cl. 14 Machines or engines in general, steam engines, power generating plant in general; periodic control, lubrification, exhaust devices or silencers for gases, cooling of machines or engines, particularly combustion engines



```
Cl. 15 Printing, lining machines, typewriters, stamps
```




## Cl. 16 Manufacture of fertilizers



Cl. 17 Refrigeration or cooling, storage of ice, heat transfer, liquefaction by mechanical means of gases or gas mixtures, e.g. air, which do not condense easily



## Cl. 18 Metallurgy of iron



Cl. 19 Construction of roads, railways and bridges

H. BAECKER in REMSCHEID.

## Schneckenvorgelege an Spindelschraubstöcken aller Art zum Zweck eines leichten und sicheren Aus- und Einspannens.



Zu der Patentschritt
№ 165.

PHOTOCR. DRLCK DER KÓNIGL. PRELSS. STAATSDRUCKEREI.

## MAX GRITZNER $n$ DURLACH.

## 



Fig. 7.


Fig. 8.


Zu der Patentschrift
№ 105.




## C1. 21 Electrical engineering



Cl. 22 Dyes; paints, polishes; natural resins; adhesives; miscellaneous compositions; miscallaneous applications of material



## Cl. 23 Oils and fats



Cl. 24 Furnaces etc.


Cl. 25 Braiding, lace-making, knitting, trimmings, non-woven fabrics


Cl. 26 Production of gases by the degasification of fuels, e.g. natural gas and oil gas; production of gaseous fuels by carburation; distillation gas and acetylene cleaning



C1. 27 Fans, air pumps and compressors


Cl. 28 Tanning and treatment of skins and hides, leather industry


## G. A. KASTNER n PLAGWITZ.

## 

Fig. 1.


Fig. 1.


Fig. 2.

M 199.



```
Cl. 29 Yarns
```




C1. 30 Medical science



## Cl. 31 Industrial furnaces; casting; powder metallurgy




```
Cl. 32 Glass; mineral and slag wool
```




```
Cl. 33 Hand and travelling articles
```



Cl. 34 Furniture; domestic articles or appliances; suction cleaners in general



```
Cl. }3
Lifting gear (lifts, cranes, winches, hoists)
```



Cl. 36 Heating, ventilation, hot water supply in buildings




## 6SI ${ }^{\circ} \mathrm{N}$






## C. ALBERT BIERLING in DRESDEN.

## Vortessertor Fafsspund mit Abziehhahn fir Lagerfasser und Gałhrbottiche.



Fig. 4.


Zn der Patentechritat
№ 145.

PHOTOGR. DRUCK DER KONICL. PREUSS. STAATSDRUCEEREL.


## Cl. 38 Wood working by mechanical and chemical means



Cl. 39 Working of plastic masses, rubber and horny materials not otherwise provided for; products of polycondensation, polyaddition and polymerization



C1. 40 Metallurgy other than of iron; alloys including ferrous alloys



C1. 41 Headwear; felts




Cl. 43 Checking devices, cash machines and post sorting machines




Cl. 45 Agriculture and forestry; animal husbandry; hunting and trapping; fishing



## C1. 46 <br> Combustion engines; hot-gas or combustion-product engine plants



FRANZ KNIE in BERLIN.

## Elinsteckschlofs, bel welchem das Oeffinen und Schliefsen der Falle durch Ziehen

 und Stofsen erfolgt.

Zu der Patentschrift
№ 150.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

## P. RAUH in BRESLAU.

## Fallenschlofs.


№ 139.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

Cl. 47 Engineering elements or units; general measures for producing and maintaining effective functioning of machines or installations; details of instruments


Cl. 48 Working and treatment of metals other than by mechanical means




Cl. 50 Grinding and pulverizing including preparatory processes, subsequent treatment of the ground materials by sieving and mixing and separation of matter from the air




Cl. 52 Sewing and embroidering



C1. 53 Foods and foodstuffs not included in special classes, feeding stuffs



```
Cl. 54 Working of paper and board and products thereof as well as
```

advertising material



Cl .55 Production of cellulose, paper and board


## HELNRICH JARCK ns FLENSBURG. <br> Yersctrioceshares Tinturfuss.


№ 87.
PHOTOGR. DRUCK DER RÖNIGL. PREUSS. STAATSDRUCKEREI.

## C. W. HUNOLD in CHEMNITZ. Verbesserung an spuimaschinen.


№ 177.

Cl. 56 Upholstery and saddlery



## Cl. 57 Photography, cinematography, and sound film





CI. 59 Fluid pumps and other hydraulic lifting devices





```
C1. 61 Life-saving and fire-fighting
```




```
Cl. 62 Aviation, air cushion vehicles, cosmonautics
```






```
Cl. 64 Distribution of alcoholic liquors
```




```
Cl. 65 Ships and other waterborne vehicles; related equipment
```



## GEORG CRESPEL m FRANKFURT a. M.



## A. LANGE \& SÖHNE n GLASHÜTTE (Sachsen). Secundenwerk mit springendem Zeiger.


№ 182.

PHOTOGR. DRUCK DER KONIGL. PREUSS. STAATSDRUCKEREI.


C1. 66 Butchering and meat treatment



## C1. 67 Grinding and polishing






```
Cl. 69 Cutting tools including cutting and stabbing weapons; domestic cutting appliances and machines
```



Cl. 70 Writing and drawing appliances


CI. 71 Footwear



## Cl. 72 Fire arms, ammunition, fortifications




## CI. 73 Ropes



Cl. 74 Signalling


Cl. 75 Sculpture, painting, ornamentation of surfaces

J. VALENTIN m FRANKFLRT a. M.

Selbstschliefsendes Auslauf-Ventil.


Zu der Patentschrift
№ 127.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

## F. C. GLASER in BERLIN.

## Ventil-Hahnfür Wasserleltungen.



PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.



Cl. 77 Sports, games and amusements



C1. 78 Explosives, matches, fireworks, flashlights, production of artificial fog



C1. 79 Tobacco, cigars, cigarettes.


Cl. 80 Clay articles, stones, chalk, cement, plaster, asphalt, briquette presses




## BENJAMIN LOEW is TILSIT

Pfropfenzieher.

Z.u der l'atentsel
№ 16.

FRIEDRICH SCHELLING in HAMBURG.
Ool-Spritz-Kanne.




C1. 82 Drying including drying kilns, coffee roasters, centrifugal
apparatus for general purpose



## Cl. 83 Measurement of time




## Cl. 84 Hydraulic engineering and foundations



Cl. 85 Mineral and soda water, water purification, water supply and sewerage




$\mathrm{Cl} .87 \begin{aligned} & \text { Tools and implements, including pneumatic tools, for general } \\ & \text { purposes }\end{aligned}$


Cl. 88 Machines driven by wind or water power; electrophysical and
nuclear reaction drives, photon drives



```
Cl. 89 Sugar and starch industry
```




## C. vox WITZLEBEN is POTSDAM.



Zu der Patentschrift
№ 95.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

## 4. Patent Classes of the German Patent Classification (as of 1974)

# PATENT CLASSES IN ACCORDANCE WITH 

## THE GERMAN PATENT CLASSIFICATION

(AS OF 1974)
Cl. 1 Preparation of ores, fuels and other minerals, including waste and the residues of combustion
Cl. 2 Baking
Cl. 3 Wearing apparel
Cl. 4 Lighting through fuels and pre-heating torches
Cl. 5 Mining
Cl. 6 Zymology : alcohol, spirits, beer, wine, vinegar, yeast and other fermentation agents and products, enzymes

C1. 7 Mechanical metal working without essentially removing material; punching metal
Cl. 8 Bleaching, washing, dyeing, printing of cloth and tapestry and finishing
Cl. 9 Brushwear

C1. 10 Fuels
Cl. 11 Bookbinding, albums, files and special printed matter
Cl. 12 Chemical processes and apparatus not included in other special classes

C1. 13 Steam boilers for power generation and related equipment, steaming capacity

C1. 14 Machines or engines in general, steam engines, power generating plant in general; periodic control, lubrification, exhaust devices or silencers for gases, cooling of machines or engines, particularly combustion engines

C1. 15 Printing, lining machines, typewriters, stamps
Cl. 16 Manufacture of fertilizers

C1. 17 Refrigeration or cooling, storage of ice, heat transfer, liguefaction by mechanical means of gases or gas mixtures, e.g. air, which do not condense easily
Cl. 18 Metallurgy of iron
Cl. 19 Construction of roads, railways and bridges

C1. 20 Railway transport
Cl. 21 Electrical engineering
Cl. 22 Dyes; paints; polishes; natural resins; adhesives; miscellaneous compositions; miscellaneous applications of material
Cl. 23 Oils and fats
Cl. 24 Furnaces etc.
Cl. 25 Braiding, lace-making, knitting, trimmings, non-woven fabrics
Cl. 26 Production of gases by the degasification of fuels, e.g. natural gas and oil gas; production of gaseous fuels by liquid processes; production of gaseous fuels by carburation; distillation gas and acetylene cleaning

C1. 27 Fans, air pumps and compressors
Cl. 28 Tanning and treatment of skins and hides, leather industry
Cl. 29 Yarns

C1. 30 Medical science
Cl. 31 Industrial furnaces; casting; powder metallurgy
Cl. 32 Glass; mineral and slag wool

Cl .33 Hand and travelling articles
Cl. 34 Furniture; domestic articles or appliances; suction cleaners in general
Cl. 35 Lifting gear (lifts, cranes, winches, hoists)
Cl. 36 Heating, ventilation, hot water supply in buildings
Cl. 37 Building
Cl. 38 Wood working by mechanical and chemical means
Cl. 39 Working of plastic masses, rubber and horny materials not otherwise provided for; products of polycondensation, polyaddition and polymerization

C1. 40 Metallurgy other than of iron; alloys including ferrous alloys
C1. 41 Headwear; felts
C1. 42 Instruments
Cl. 43 Checking devices, cash machines and post sorting machines

C1. 44 Habadashery, jewellery; snuff takers and smokers' requisites
C1. 45 Agriculture and forestry; animal husbandry; hunting and trapping; fishing
Cl. 46 Combustion engines; hot-gas or combustion-product engine plants

C1. 47 Engineering elements or units; general measures for producing and maintaining effective functioning of machines or installations; details of instruments

C1. 48 Working and treatment of metals other than by mechanical means
Cl. 49 Machine tools, metal working not otherwise provided for

C1. 50 Grinding and pulverizing including preparatory processes, subsequent treatment of the ground materials by sieving and mixing and separation of matter from the air
Cl. 51

Musical instruments
C1. 52
Sewing and embroidering
C1. 53 Foods and foodstuffs not included in special classes, feeding stuffs

C1. 54
Working of paper and board and products thereof as well as advertising material

C1. 55 Production of cellulose, paper and board
Cl. 56 Upholstery and saddlery
Cl. 57 Photography, cinematography and sound film
Cl. 58 Presses
Cl. 59 Fluid pumps and other hydraulic lifting devices
Cl. 60 Fluid-pressure actuators; hydraulics and pneumatics
Cl. 61 Life-saving and fire-fighting
Cl. 62 Aviation, air cushion vehicles, cosmonautics
Cl. 63 Trackless vehicles
Cl. 64 Distribution of alcoholic liquors
Cl. 65 Ships and other waterborne vehicles; related equipment
Cl. 66 Butchering and meat treatment
Cl. 67 Grinding and polishing
Cl. 68 Articles of the locksmithing trade
Cl. 69 Cutting tools including cutting and stabbing weapons; domestic cutting appliances and machines
Cl. 70 Writing and drawing appliances
Cl. 71 Footwear

C1. 72 Fire arms, ammunition, fortifications
Cl. 73 Ropes

C1. 74 Signalling
Cl. 75 Sculpture, painting, ornamentation of surfaces

C1. 76 Spinning
C1. 77 Sports, games and amusements
C1. 78 Explosives, matches, fireworks, flashlight, production of artificial fog
Cl. 79 Tobacco, cigars, cigarettes
Cl. 80 Clay articles, stones, chalk, cement, plaster, asphalt, briquette presses

C1. 81 Transport and packing
Cl. 82 Drying including drying kilns, coffee roasters, centrifugal apparatus for general purposes

C1. 83 Measurement of time
Cl. 84 Hydraulic engineering and foundations
Cl. 85 Mineral and soda water, water purification, water supply and sewerage
Cl. 86 Weaving
Cl. 87 Tools and implements, including pneumatic tools, for general purposes
Cl. 88 Machines driven by wind or water power; electrophysical and nuclear reaction drives, photon drives
Cl. 89 Sugar and starch industry

SUBJECT IIDEX

## SUBJECT IIDEX

Basic innovations
Basic process innovations
Behaviour patterns
Changes (of the classification)
Classification of patents
Economic aspects
Economic crisis
Economic demand for innovations
Economic pressure
Endogenous factors
Exhaustion of technical potential
Exogenous factors
First World War
Foreigners
Funds available
German classification

Homogeneity of patent classes
Hypotheses
Innovation life cycle
Innovation theory
International classification Invention

Linear spline function Logistic curve Logistic function Logistic S function Long-term, short-term

31, $38-42,46,48,88,113$
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| :---: | :---: |
| Number of Patent Applications | $\begin{aligned} & 10,11,25,28,31,42,45,56,57, \\ & 66-74,78-83,88-90,94-97,111 \end{aligned}$ |
| Patent applications | $1,7-11,25-29,31,42,45,46,55-57,$ 68-75, 77-79, 87-91, 94-97, 101-104 |
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## European Communities - Commission

## EUR 11044 - One century of technical progress, based on an analysis of German patent statistics

J. Sláma

Luxembourg: Office for Official Publications of the European Communities
1987 - IV, 365 pp., 163 fig. $-21.0 \times 29.7 \mathrm{~cm}$
Information management series

## EN

ISBN 92-825-7483-0
Catalogue number: CD-NA-11044-EN-C
Price (excluding VAT) in Luxembourg
ECU 27.90 BFR 1200 IRL 21.60 UKL 19.80 USD 31.70

This study looks at trends in patent applications in Germany and the Federal Republic of Germany in the last 100 years.
The main aims of the analysis of these data are as follows:

1. Using the time series of patent applications, trends in the production of technological know-how are described for various sectors.
2. On the basis of trends in patent applications, the typical behaviour patterns in technological development are identified and a number of hypotheses regarding behaviour in the technology sector are formulated and tested.
3. On the basis of the analysis of trends in patent applications, the influence of exogenous (i.e. economic, social, etc.) and endogenous (i.e. relating to science and its laws) factors on technology is determined.
4. In addition, the patterns of structural development of the technology sectors are determined and the structural influences of technological change on the trends in patent applications as a whole are identified.
5. On the basis of the analysis of patent applications, the relationship between long- and short-term trends and fluctuations in the economy and economic development are shown in relation to technological development.
6. On the basis of the statistical analysis of the time series of patent applications, the time sequence of the technology sectors is determined in relation to their growth - both in qualitative and in quantitative terms.

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[^0]:    *) "Trends in the filing of patent applications in Germany/the Federal Republic of Germany between 1877 and 1980" (Report EUR 7872EN). A copy of this report is included (see from page 117 to 362 ).

[^1]:    *) R. Nirk, "100 Jahre Patentschutz in Deutschland", in "Hundert Jahre Patentamt', Munich ,1977, p. 345.

[^2]:    *) "Taschenbuch des gewerblichen Rechtsschutzes", Munich, 1955, pp. 91 - 92.

[^3]:    *) 'Taschenbuch des gewerblichen Rechtschutzes", Munich, 1955, pp. 102 - 103.

[^4]:    *) For the notes in square brackets [ ], see pp. 38-50.

[^5]:    *) Mensch in foreword to "Stalemate in Technology - Innovations overcome the Depression', Cambridge (USA), 1979, pp. XVII - XVIII.

[^6]:    *) K. Borchardt, Technikgeschichte im Lichte der Wirtschaftsgeschichte, Technikgeschichte Volume 34 (1967) No. 1, pp. 7-8.

[^7]:    *) J. Delbeke, Recent Long-wave Theories, A critical survey, in Futures, Volume 13, No. 4, August 1981, pp. 249-250.

[^8]:    *) Chr. Freeman, in : Futures, Volume 13, No. 4, August 1981, p. 241.
    **) J. Clark, Chr. Freeman, L. Soete, Long Waves, Inventions and Innovations, in : Futures, Vol. 13, No. 4, August 1981, p. 309.

[^9]:    *) J.J. van Duijn, Fluctuations in Innovations over Time, in : Futures, Vol. 13, No. 4, August 1981, p. 265.

[^10]:    *) H.D. Klemm, Die Entwicklung der Vakuumbehandlung der Stähle,, in Hundert Jahre Patentamt, Munich, 1977, pp. 161-162.
    **) K. Borchardt, op. cit., pp. 6-7.

[^11]:    *) Braun, op. cit., pp. 14-15.

[^12]:    *) W.T. O'Dea, The Social History of Lighting, London, 1958, p. 25.

[^13]:    *) By using the spline function in the calculation of time trends, different trend periods can be smoothly connected together. For this purpose the time variable, which in the trend functions serves as an independent explanatory variable, is replaced by a number of time variables which always have their beginning at the time of the trend turning point. In our trend calculation, the first time variable begins in 1877 with the value 1 and the second trend variable in 1953 (1953 = 1).

    The English word "spline" means to wedge or groove. It was taken from shipbuilding and designates a way of making the continuously curved outer shells of ships from wood or metal by bending round a rigid support.

[^14]:    *) (G. Hofmann, Grumbach, Hesse, op. cit., pp. 28-29).

[^15]:    *) For the notes in square brackets [ ], see pp. 112-114.

[^16]:    *) Die Geschäftstätigkeit ..., p. 13.
    **) Die Geschäftstätigkeit ..., p. 29.
    ***) Die Geschäftstätigkeit ..., p. 121.

[^17]:    PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCXEREI

