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One century of technical progress based on an analysis of German patent statistics



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CONTENTS

INTRODUCTION	1
Part I	5
The German Patent Classification	7
Notes referring to part I	15
Part II	23
Factors Influencing Trends in Patent Applications and Possible Interpretations	25
Notes referring to part II	37
Part III	53
Statistical Analysis and Interpretation of Trends in Patent Applications	55
CONCLUSIONS	99
Literature and References	107
Annex : Report EUR 7872 EN	117
Introduction	119

1.	Total Patent Applications and Grants, broken down into Nationals and Foreigners in Germany for the years 1877 – 1980	121
2.	Total Applications in Germany broken down by Classes of the German Patent Classification in the years 1881 – 1973	133
3.	Development in the Individual Patent Classes in Absolute numbers of Patent Applications in Periods of Two Years (from 1882 – 1974) and Proportions of Total Patent Applications in 1/1000 in Classes Ol – 89	159
4.	Patent Classes of the German Patent Classification (as of 1974)	357

SUBJECT INDEX

363

INTRODUCTION

This study looks at trends in patent applications in Germany and the Federal Republic of Germany in the last 100 years. The data and diagrams were published by the author in 1981 *).

The main aims of the analysis of these data are as follows :

- 1. Using the time series of patent applications, trends in the production of technological know-how are described for various sectors grouped in the 89 patent classes over the period from 1877 to 1973 or 1980.
- 2. On the basis of trends in patent applications, the typical behaviour patterns in technological development are identified and a number of hypotheses regarding behaviour in the technology sector are formulated and tested.
- On the basis of the analysis of trends in patent applications, the influence of exogenous (i.e. economic, social, etc.) and endogenous (i.e. relating to science and its laws) factors on technology is determined.
- 4. In addition, the patterns of structural development of the technology sectors are determined and the structural influences of technological change on the trends in patent applications as a whole are identified.

^{*) &}quot;Trends in the filing of patent applications in Germany/the Federal Republic of Germany between 1877 and 1980" (Report EUR 7872EN). A copy of this report is included (see from page 117 to 362).

6 ONE CENTURY OF TECHNICAL PROGRESS

- 5. On the basis of the analysis of patent applications, the relationship between long- and short-term trends and fluctuations in the economy and economic development are shown in relation to technological development.
- 6. On the basis of the statistical analysis of the time series of patent applications, the time sequence of the technology sectors is determined in relation to their growth both in qualitative and in quantitative terms.

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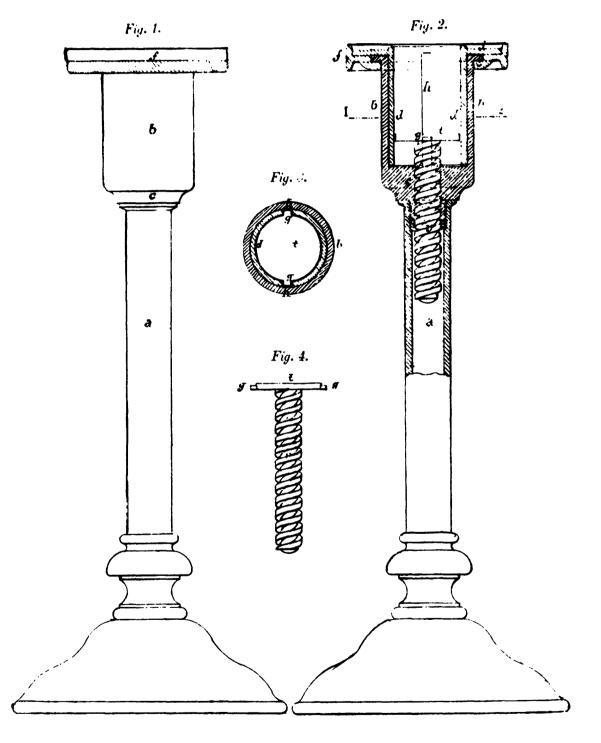


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PART I

Part I

THE GERMAN PATENT CLASSIFICATION

The statistics of patent applications and grants in Germany, beginning in 1877, form the empirical basis for this study. In that year (on May 25) the Patent Law for the German Reich was passed and the Imperial German Patent Office set up :

"The first unified German (Reich) Patent Law, which came into force on 1 July 1877, was the result (for which hope had long since been given up) of a long period of difficult struggle to reform the various German patent laws and licensing regulations and later to maintain and codify a better (more practicable) new patent law. The conflict of opinions for and against patent protection as such was thus resolved. The battle against the supporters of the German doctrine of free trade under the leadership of John Prince-Smith and against the Prussian ministerial bureaucracy - led principally by the Prussian ministers von der Heydt and Graf von Itzenplitz and the latter's chief of division Rudolf Delbrück who saw invention patents as a hindrance to unrestricted freedom in international trade and therefore attempted to abolish patent protection altogether - was thus won. The German legal system now possessed, in addition to the 1870/ 1871 law of writings, illustrations, musical compositions copyright on and dramatic works and the laws of 9.10.11 January 1876 on the protection of works of the visual arts and photographs and the law of copyright on in- dustrial patents and models, a statutory regulation for the protection of commercially exploitable technical inventions as a further part of in- dustrial legislation. This created the conditions for the upswing in German industry, which had been so necessary since the world economic crisis of 1873 and had become a matter of extreme urgency in view of the expiry of the trade agreements with the major and America. The protection of neighbouring countries domestic production against the fierce competition from other countries was ensured and German inventors were once again given the incentive to make the latest technical inventions available to domestic industry

again and thus put an end to the state of imminent 'intellectual impoverishment' in trade and industry" *).

The Patent Office published the classification of patents in Volume 2 of the "Patentblatt" founded in the same year. This classification listed 89 patent classes, designed to cover all sectors of inventive activity. It says something for the foresight of the authors of this patent classification that the classes have been valid for almost 100 years with only very few changes.

The changes made in the classification of patents in these 100 years are instructive and must themselves be regarded as empirical material for analysing technological development. The most important changes made in the patent classes are described below.

In the first list of patent classes, Class 7 was designated "Production and processing of sheet and wire". At a later date this class was significantly extended and renamed; since the beginning of this century it has been called "Mechanical metal working without essentially removing material; punching metal".

Patent applications in the field of aviation were already covered by the 1877 classification but in Class 77 "Sports". The full name of this class was "Sports, games, gymnastics, skating, hunting & fishing, airship navigation". In the 1920s a special patent class was created for aviation, namely Class 69, which originally covered patent applications for the saltworks industry. At the beginning of this century the contents of Class 43 was also changed from basket and wickerwork to checking devices.

The contents and title of Class 63 were also changed. It was originally called "Saddlery and carriage-making" but later became the class covering patent applications in the field of trackless vehicles.

There was also a change in the contents of Class 75 "Soda, potash and alkalis", which after a number of years as a separate class was transferred to Class 12 "Chemical processes". Class 75 then covered inventions in the field of sculpture, painting and ornamentation of surfaces.

Class 85, originally entitled "Water pipes, baths, toilets, sewerage", was subsequently extended to cover "Mineral and soda water, water purification, water supply and sewerage".

Many classes were extended over the years. They include the following : Class 31 "Casting" to which powder metallurgy was added. Class 56 – originally "Harnesses" – was converted into "Upholstery and saddlery". Class 57 – originally covering photography – later incorporated cinematography and sound film. Class 60, originally called "Regulators for engines", was later changed into "Fluid-pressure actuators; hydraulics and pneumatics".

^{*)} R. Nirk, "100 Jahre Patentschutz in Deutschland", in "Hundert Jahre Patentamt", Munich ,1977, p. 345.

Class 88, originally covering machines driven by wind or water power, was later extended to include electrophysical and nuclear reaction drives and photon drives.

The German patent classification corresponds fairly closely to the branch structure of production. It is also highly user-orientated, thus making it easier to analyse the relationships between technology and economic trends. This means that in drawing up the patent classes and classifying patent applications greater importance was attached to the branch in which the invention can be used, rather than the branch of origin. This is brought out very clearly in the case of mechanical engineering. The inventions pertaining to various machines used in different branches are not classified under mechanical engineering but under the relevant user branch, e.g. baking machines in the class "Baking", looms in the class "Weaving", etc.

In principle, three main groups of patent classes can be distinguished in the German patent classification :

- The primarily product-orientated classes, such as wearing apparel [1] *), brushware, steam boilers, footwear, musical instruments, etc. These product-orientated classes are in many cases broken down into subclasses covering machines, processes and product design.
- 2. There are also many primarily process-orientated classes, such as zymology [2], surface treatment of metals, sewing and embroidering, grinding and pulverizing, presses, etc. These process-orientated classes likewise cover machines for carrying out the processes.
- 3. A few classes are raw material-orientated, such as wood working [3], preparation of ores and other minerals, etc.

The grouping of patent classes according to the above criteria does not mean, however, that individual classes do not at the same time comply with different criteria, owing to the relationships between raw materials, processes, their treatment and products. In many cases, the type of raw material has a great influence on the process, treatment and products and vice versa.

Certain products can be made most rationally from particular raw materials by particular processes. In determining the order of the patent classes in the list, the authors avoided a complicated problem in that they did not make it subject to substantive criteria, e.g. the criterion of the processing of the raw material and hence the sequence from primary to final production. In contrast with the international patent classification now in force, the German patent classification adopted the formal criterion of alphabetical order. This decision can hardly be criticized. A one-dimensional order can take only one criterion into account to the exclusion of others.

^{*)} For the notes in square brackets [], see pp. 16 - 19.

Another important question is that of the homogeneity or heterogeneity of individual patent classes. This question is particularly relevant to the aim of this study, namely the empirical testing of the hypotheses of technological behaviour patterns. It is obvious that the hypotheses formulated for homogeneous areas of technology can hardly be tested on a heterogeneous mixture of different areas. On the other hand, it is clear that all classes of the German patent classification – even the most heterogeneous – contain an element of homogeneity. This does not mean, however, that all the time series of German patent applications are equally suitable for empirical testing of the various hypotheses mentioned before. The empirical statistical material is, however, so extensive and varied that there is a sufficient number of homogeneous classes for thorough testing of the hypotheses formulated.

The disaggregation of the whole of the technology sector into individual patent classes is not only a question of substantive criteria but also the result of certain arbitrary decisions. This can be illustrated by the example of lighting. It is conceivable that a single class can be created for the technological problems of lighting. The sequence and rivalry of different lighting principles and technical solutions leading to the performance of the lighting function would in this case take place within this one class. The transition from the oil lamp via gas lighting to electric lighting would be reflected in the trend in the number of patent applications in this one class. In the German patent classification, however, lighting patents were included mainly in two classes :

- 1. in Class 4 "Lighting through fuels and pre-heating torches" [4], and
- inventions in the field of electric lighting were included in Class 21 "Electrical engineering" [5].

Even though further subdivisions of the statistical series in Class 21 were not available, it was nevertheless possible to identify the relationship between electric and gas lighting by the trend in patents in Class 4. Problems of this kind often occur in analyses of trends in patent applications and can in some cases be solved by means of additional information. In any event, the problems such as those connected with the heterogeneity of the classes have to be taken into account in interpreting the empirical results.

Experience has shown that trends in very heterogeneous and broad patent classes can also provide a whole series of valuable data and conclusions.

The two broadest patent classes are Class 12 "Chemical processes and apparatuses" and Class 21 "Electrical engineering". It would certainly be very difficult to provide a detailed interpretation of trends in patent applications in these two classes. In order to explain the short- and medium-term fluctuations of patent applications in the aggregates of these classes, a vast amount of additional information would be required.

In this connection it must be emphasized that the statistical material is available only at the level of patent classes and cannot be broken down any further. It was nevertheless possible, without going into the shortand medium-term fluctuations, to identify long-term trends in these two areas and determine a time sequence for both classes in accordance with the afore-mentioned logistic hypothesis. The German patent classification was valid for the period from 1877 to 1974. The last data based on this classification were published for the first half of 1974. Since then, the data on patents and applications forming part of the German Patent Office's statistics have been published only according to the international patent classification. There is, however, a connection between the German and the international patent classification. Some classes in the former correspond to classes in the latter. As a result, some of the series of patent applications could be compiled beyond 1974 to the present time. There are almost 30 classes for which the study goes as far as 1980.

Development of the Patent Classification as a Means of Identifying Technological Trends

As already mentioned before, the development of the patent classification is a rewarding subject for study. In the light of the development of the patent classification, changes of the classes and the formation of new subclasses, the technological changes can also be analysed and identified.

The Patents Office's changes of the classification were obviously linked to changes of the volume of applications. Certain changes were called for if the number of patent applications had fallen or risen in the long term or if within a class a certain area had become prominent as a result of a long-term increase of the number of patent applications.

The Patent Office made the changes of the patent classification either on a case-by-case basis in decisions concerning only individual classes or as part of a general revision of the classification. Various Patent Office papers and documents published under different titles dealt with the classification of patents. In many cases, the title "Gruppeneinteilung der Patentklassen" was used for the document in question. In addition to this group classification of patent classes, the classification was also published under the title "Verzeichnis der Patentklassen nebst Unterklassen".

The classification of patents was also the subject of another Patent Office document, namely the "Alphabetisches Stichwörterverzeichnis zum Verzeichnis der deutschen Patentklassen und ihre Einteilung in Unterklassen und Gruppen".

This document too appeared in a number of issues dealing with developments in technology, innovation and the number of patent applications.

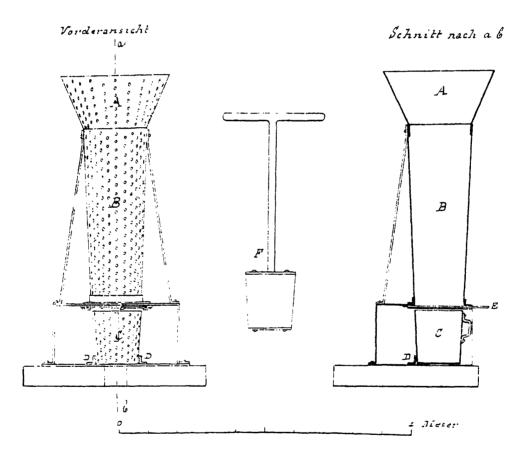
In connection with the changes of the patent classification, other aids were frequently published, such as the comparison of the new patent classes with previous ones or hints to facilitate determination of the relevant patent classes.

The first major amendment of the patent classification was made in 1900. Further revised editions were published in 1905, 1926, 1933, 1949 and 1958.

Trends in technological developments of the past could be identified by comparing various editions of the patent classification.

A detailed analysis of this type would, however, be beyond the scope of the present study. It is nevertheless not out of place to mention the possibilities of an analysis of this type.

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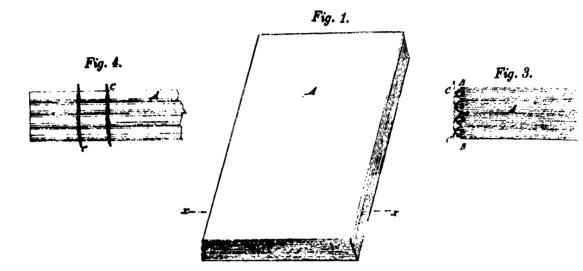
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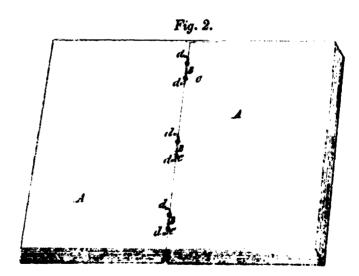
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NOTES REFERRING TO PART I

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NOTES REFERRING

TO

PART I

[1] "Class 3 Wearing apparel (headwear 41; footwear 71; braiding, lacemaking, knitting, trimmings, non-woven fabrics 25; sewing and embroidery 52; weaving 86).

- 3a Linen, including non-perishable and paper linen, mechanical part (chemical part 8k), underwear, stockings, corsets.
- 3b Articles of clothing, including braces, ties, gloves, hat holders attached to the hat, etc. and accessories, materials for garments, provided they do not come under 25 or 86.
- 3c Fastenings (string fastenings 71b, 1 4).
- 3d Tailoring aids (for the manufacture of linen 3a, 7; sewing machines 52a, 58 07-58 10).
- 3e Artificial flowers and fruits, false hair, working of birds' feathers and artificial feathers to produce garments, articles of clothing made from finished natural and artificial furs (furrier's machines 18b)." *)

[2] "Class 6 Zymology : alcohol, spirits, beer, wine, vinegar, yeast and other fermentation agents and products, enzymes.

- 6a Malt, hops, yeast, other fermentation agents.
- 6b Mash and wort, preparation and fermentation; distillation and redistillation of alcoholic and fermented fluids.

^{*) &}quot;Taschenbuch des gewerblichen Rechtsschutzes", Munich, 1955, pp. 91 - 92.

- 6c Preparation of wine, sparkling wine and liqueurs (maturing of wine 6d, 6).
- 6d Preservation, fining, maturing.
- 6e Preparation of vinegar (wood vinegar 12r, 2; acetic acid 12o, 12).
- 6f Cleaning of barrels, tarring and detarring devices, barrel cauterizers, grooving devices, fermentation butts, wine cellar equipment, coatings for fermentation, storage and transport containers (brewer's pitch 22h, 8)." *)
- [3] "Class 38 Wood working by mechanical and chemical means.
- 38a Saws for wood working (saws combined with other wood working machines 38b 7; protective devices 38c; metal saws 49c; for butchery 66a; stone cutting 80d; equipment for felling trees by sawing, etc. 45f, 19).
- 38b Planing, drilling, shaping and turning of wood, including universal machines (tools 38e; metal working 49a, b, c).
- 38c Veneering and manufacture of plywood, smoothing of wood by grinding and polishing (polishes 22h; ornamentation 75), manufacture of laths from wood and mitre joints, framing devices (refinement of laths, gilding, varnishing 75).
- 38d Manufacture of dovetails, tenons, crates, suitcases and boxes, including caulking and nailing machines.
- 38e Tools, auxiliary engines and protective devices.
- 38f Bending of wood, manufacture of wooden barrels and wheels.
- 38g Mechanical working of cane, cork and the like.
- 38h Methods, processes and devices for drying and waterproofing, e.g. hardening, preserving, fireproofing, dyeing and staining of wood, cane cork and similar substances (pesticides in general 451, 3 ol, coating materials for wood 22g, 6 ol; varnishing processes for wood 75c, 5 o2).
- 38i Bark stripping, manufacture of veneers, woodchips, wooden wire and wood wool.
- 38k Processes and machines for working wood for special purposes and special objects.
- 381 Manufacture of 'plastic wood' from wood fibres or wood waste, provided the wood component is predominant (boards for building purposes 37b, 2 o2, manufacture of boards from pulp, including hardboards, mechanical

^{*) &}quot;Taschenbuch des gewerblichen Rechtsschutzes", Munich, 1955, p. 6.

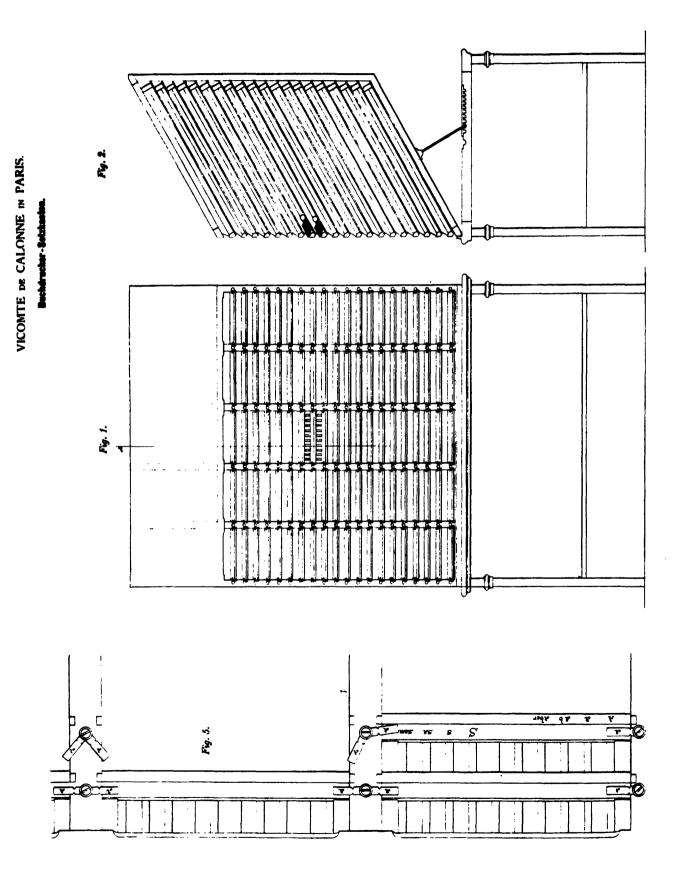
part 54e, 1; chemical part 39b, 55c; building materials with inorganic binders and asphalt 80b; substances with organic binders 39a, 39b) without groups." *)

- [4] "Class 4 Lighting through fuels and pre-heating torches
- 4a Lanterns, miner's lamps, chandeliers (Christmas tree lights 341), flares, lamp accessories (burners 4g) and light holders.
- 4b Lighting, including lighting independent of the type of light source.
- 4c Gas holders (construction 37f), pressure regulators in the mains and consumption regulators for lighting and cooking purposes (gas pressure regulators for gas engines 46c 1,1; firing regulators 24c), by-pass regulators for compressed-gas installations (by-pass regulators for gas-producing furnaces 26a), piping and distribution of gaseous fuels, gas and air mixes etc. for gas works (gas mixtures 26c), installations for the production of compressed gas (gas pipes in railway carriages 20c, 27; in coke ovens 10a, 20; valves, taps, gate valves 47g; gas pressure regulators for general use 47g 2).
- 4d Ignition and extinguishing systems, including electric, provided they do not involve switches (switches 21c; catalytic gas self-igniters and their manufacture 4e).
- 4e Catalytic gas self-igniters (fitting of catalytic gas self-igniters to gas lamps, heating burners, etc. 4d, 1-3; pyrophorous metals and alloys 44b; 78f).
- 4f Incandescent mantles.
- 4g Burners (burners for gas firing 24c; for heating and cooking stoves 36b; for metallurgical furnaces 18b, c, 40a, d; for distillation furnaces 10a, 5; for firing with liquid fuels 24b; for singeing machines 8b) and carburetors for liquid fuels, provided they are combined with a burner (independent carburetors for liquid fuels 26a, c; carburetors forming part of an engine 46c 2). Disposition of the burner in the lamp and connection of the burner to other parts of the lamp in order to increase its efficiency."*)
- [5] "Class 21 Electrical engineering.
- 21a Electrical transmission of news and messages.
- 21a¹ Electrical telegraphy.
- 21a² Telephony and electrical-acoustical sound recording and reproduction (sound recording and radio telephones 42g).

 [&]quot;Taschenbuch des gewerblichen Rechtschutzes", Munich, 1955, pp. 92 – 93.

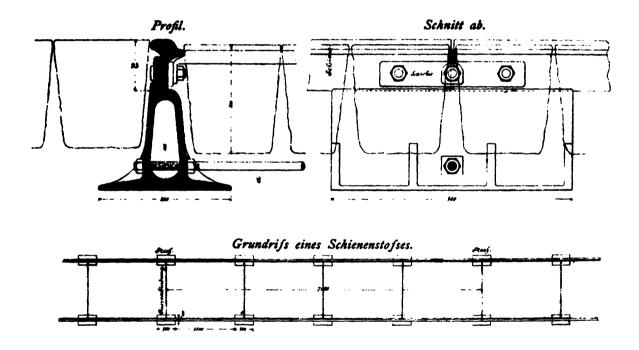
- 21a³ Telephone exchanges.
- 21a⁴ Transmission of news and messages by means of high-frequency electrical oscillations.
- 21b Galvanic cells, accumulators and thermocouples.
- 21c Electric lines and installations; cables and overhead transmission lines, insulators, switches, regulators, switching systems, line protection, fuses and lightning conductors.
- 21d¹ Direct current.
- 21d² Alternating current.
- 21d³ Special systems independent of the type of current.
- 21e Equipment for measuring electrical quantities.
- 21f Electric lighting.
- 21g General electrical auxiliary equipment and processes other than electrochemical; magnets, automatic cutouts, condensers; rectifiers, discharge tubes, X-ray apparatuses, electro- and radiotherapy equipment, photoelectric cells.
- 21h Processes and equipment for electric heating, cooking, melting, welding and soldering." *)

^{*) &}quot;Taschenbuch des gewerblichen Rechtschutzes", Munich, 1955, pp. 102 - 103.



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PART II

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Part II

FACTORS INFLUENCING TRENDS IN PATENT APPLICATIONS AND POSSIBLE INTERPRETATIONS

In view of the very rudimentary theory of inventions (Knut Borchardt) and the scanty treatment of the problems of technology in the economic and social sciences, it is extremely important to examine empirical data that describe technological development not as a series of individual discoveries but as a wide stream of millions of occurrences. This empirical material is represented by the German patent statistics, which show the data for the last 100 years of patent applications broken down into 89 classes covering the different areas of technology and production. These patent applications were filed by both nationals and foreigners, the proportion of the latter being considerable at all times. Because of Germany's involvement in international economics and technology, it is therefore right to regard these data series as a reflection of technological development not only in Germany but throughout the world.

Some Methodological Problems

The long-term trends in patent applications can be studied in various ways firstly, patent applications can be taken as the starting point for the study in an attempt to determine what technological, economic, political and other developments and processes are reflected in the trend in the number of patent applications. With this approach, the whole range of information and interpretations offered by trends in patent applications is covered and all the relevant data are evaluated.

Another possible way of analysing trends in patent applications involves formulating certain hypotheses about technological and other developments as a starting point for the study and then trying to confirm or refute these hypotheses on the basis of the empirical data concerning trends in patent applications all along. In this case, the starting point for the study is a more or less consistent theoretical conception of the relationships and laws of development of a particular area of technology; this theoretical conception is then expressed in the hypotheses tested empirically. An occasional disadvantage of this approach is that the assumed relationship may not exist between the hypotheses tested and the empirical data available.

There is a clear justification for both approaches and they are by no means mutually exclusive. On the contrary, various dangers can be avoided by combining them, e.g. an abstract ad hoc interpretation of the empirical data or a loss of the patent information and research potential of the empirical data. However, it may also happen that the questions are correct and the theory tallies with reality, while the choice of the empirical data is wrong; the empirical data used are not suitable for testing the theory and hypotheses in question.

In this study the two approaches are combined. At all times, both questions are put and an attempt is made to answer both, namely the question as to which processes are reflected in the trends in patent applications and the other question aimed at testing certain hypotheses of technological and industrial development.

The two approaches can also be combined because this study represents a point of intersection between different scientific disciplines and different methodological approaches. On the one hand, this study can be regarded as part of historical research, in particular economic and social history of the history of technology or scientific development. On the other hand, this study deals with guestions pertaining to economic science and touches on the theories of both economics and business management. Certain problems and hypotheses can be regarded as part of natural and technical sciences, in particular of their general sectors. Last but not least, this is also a study of creative human endeavour, which can certainly be regarded as the subject of various anthropological disciplines.

The outline given here of various theoretical and methodological approaches should also give an idea of the wide scope of a study of trends in patent applications.

Empirical Value of Trends in Patent Applications

It can be justifiably maintained that the data on trends in patent applications represent such valuable empirical material that a narrow approach to the problems involved in studying them would be to neglect important research potential.

Another aspect of the study is the usefulness of devising certain approaches and instruments which would play a significant part in the drawing up of technical and technological predictions. Both in history as a whole and in the history of technology, periods of continuous evolutionary development alternate with revolutionary breakthroughs or leaps from a qualitatively lower level to a higher one. Examination of past trends shows the situations and conditions reflected in an evolutionary development and also the conditions that lead to revolutions – in our case, to technical and scientific revolutions. [1] *) The study of relationships of this type can make it easier to draw up technical forecasts and to assess technical trends in imminent technical breakthroughs. However, the information can scarcely be regarded as conclusive, although the level of uncertainty can be reduced from one case to another in the light of knowledge of past developments.

It is certainly not the aim of this study to make generalizations of the substantive aspects of patents and the concepts and terms used in patenting. In this connection, a number of assumptions must be made here. On the other hand, in a study of trends in patent applications discussion of the information value of patent applications and their numbers, touching also on the general problems of patenting, cannot be avoided.

Of fundamental importance in this respect is the relationship between the invention, which must be considered in the context of technological and social developments, and the patent application relating to that invention. Every invention contains an element of human curiosity and the answer to the guestions : what, why and how?

Economic Aspects of Inventions and Patent Applications

Every invention also raises the questions of the work involved and the yield, input and output, expenditure and revenue, cost, price and profit. Of importance here are both the cost involved in the development of the invention and the cost incurred in patenting and the patent application and finally the cost and benefits associated with the use of the invention.

The questions of cost and benefits mean that inventions are subject to economic considerations. In this way, the part played by considerations of economics, business management and orderliness in the field of inventions and patent applications is increased and the proportion of random and purely individualistic elements decreased. As a result, trends in patent applications can be made the subject of systematic scientific research, particularly in the context of the social and economic sciences.

For the purposes of further study, it is important to bear in mind the two major areas of the economic effects of inventive activity and discoveries firstly, the questions associated with the expenditure for the invention and, secondly, all the initially anticipated and subsequently actual economic effects of inventions in the event of their application and once again both the positive and negative effects of cost and benefits. Of importance in this connection is the comparison of production cost, with or without utilization of certain inventions, and the benefits arising from their application.

^{*)} For the notes in square brackets [], see pp. 38 - 50.

When a technical project is planned, started and carried out, not very much is known about the actual or potential results and even less about the economic effects of using these results. The research is not carried out in the sure knowledge of the results but with certain expectations. The element of certainty may differ from case to case. There are projects whose results are easy to predict and others whose results are very uncertain. The only constant factor are the venture and the risk closely linked to the significance of the desired results.

The scale of research and inventive activity may depend both on the available funds and on the anticipated effects. As a rule, it is impossible to say in individual cases which factor is paramount. In this context it is important, for example, how expensive the whole project is : how great are the risks of failure, of unsuccessful endeavour, how high are the hopes placed in the desired results, how great is the pressure of the market and competition, and how sound are the project's finances?

At the level of the national economy, one hypothesis that can be put forward is that the production of new technological knowledge and inventions, and therefore patent applications too, depends primarily on the pressure of demand, the need to open up new markets, possible cost savings, etc. The question is whether there are more research and innovation or more use is made of existing inventions at a time of crisis or economic decline or during a boom period.

A further explanation is provided by a relatively trivial factor. It is assumed that the amount of research activity is determined primarily by the allocation of funds, which is dependent on the general economic situation.

An initial glance at the trend in the total number of patent applications confirms that research, and therefore also the production of technological know-how and the number of patent applications, is highly dependent on trends in the general economy [2]. As already stated, the main reason for this dependence are the funds available for research, which differ from one phase of the economic cycle to another. Some differences must be expected, however, between trends in research and the economic cycle. In the phase of great economic activity and demand pull, the pressure for gualitative improvement and rationalization - on the basis of the technological development – of production is not so great. During this period, use is made above all of the potential for a quantitative increase in production, which to some extent can also, however, be brought about by technological research and development. This determines the overall direction of research and development objectives. The technologically determined rationalization measures which will lead to a reduction in cost may be very useful in this situation, particularly if in this way certain shortages in the human or material factors of production can be overcome.

A further aspect of the general dependence of research on the economic situation concerns the special nature of research capacities and the relevant human resources. Research workers are highly qualified staff whose training and qualification require a lot of time and considerable expense not only outside the company but also, and above all, during their career within the company. In contrast with the situation with factors of production that can be acquired in the short term, companies are in this case under considerable pressure from future situations and expectations. Experience has shown that the shortage of highly qualified staff, who are difficult to afford in times of crisis, can have a decisive effect on shaping the company's future situation. However, not only the future is at stake : although in a time of crisis it is necessary to make savings and lay off workers, the intensified competition makes it imperative to safeguard the chances of survival of the company, industry, etc. through research and technological development.

A further special relationship between the economic situation and the trend in patent applications arises from the fact that the financing of research is not reflected until some time later in inventions and patent applications. As a result, a reduction of the number of patent applications follows a decline in production with some delay.

The above remarks indicate that in the interrelationship between economic trends and research and the production of technological know-how the leading part is played by economic trends. At different times, these give rise to a differing level of both demand for innovation and pressure on research to meet economic needs through inventions. In other words, research and the production of technological know-how are regarded not as an exogenous factor which develops independently of the economy and exerts a strong influence on it but as a part of the economic system, as an endogenous factor which is affected by economic trends.

The leading part played by economic trends in the field of inventions and patent applications is reflected both in the total number and in the breakdown of patent applications. The trend both in the overall figure and in individual patent classes reveals at first sight a high degree of dependence on economic trends.

A high degree of dependence on economic trends and economic and social conditions is characteristic of the emergence of new areas of technology and new production branches. However, it must be stressed in this particular context and also in general that the hierarchy of economic fluctuations (long-term trends, medium- and short-term fluctuations) is related to a corresponding hierarchy of technological development.

A technological revolution such as the development of a new production branch or a new area of technology cannot be interpreted as a reaction to short-term economic fluctuations. There is more of a connection with the recurring rise and fall of the economy, although this connection is not particularly close in the case of major breakthroughs. The exhaustion of the technical and technological potential existing at the particular time is certainly a factor behind technological and structural breakthroughs of this type. The very great pressure of demand from the economy and society ultimately brings about such a breakthrough. However, it depends on a whole series of factors whether the breakthrough takes 10, 15, 20 or more years. Endogenous Factors in Technological Development and Trends in Patent Applications

The assertion made above that technological innovations cannot be regarded as an exogenous factor of economic trends does not mean that the sector of technological development and inventions does not have its own laws, constraints and relationships. Technological revolutions are a result of the demand and cost crisis (cost stagnation) but are at the same time an expression of the exhaustion of the technological potential of the relevant branches, areas of technology or even perhaps the whole national economy. The temporary exhaustion of this potential is one of the reasons for the stagnation of the economy and is ultimately overcome as a result of pressure from economic needs. In this context it is important to analyse and identify these signs of stagnation not only in the economy as a whole but also in the technology sector itself. The states of exhaustion which we surmise in individual areas of technology relate to much longer periods than the economic cycle. Whereas the upward and downward movements of the economy extend over a few years and the whole cycle lasts for perhaps 10 to 20 years, the ups and downs in individual areas of technology are to be measured in terms of decades or even centuries and millenniums.

An important question is whether the patterns of trends in individual areas of technology which are expressed in the above-mentioned exhaustion hypothesis, amongst other things, are also valid for technological developments as a whole. In any event, it would be wrong to assume that the relationships which have an effect in individual areas of technology can simply be automatically added together to produce similar trends in the overall economy and technology as a whole.

A relationship of this kind would exist only if, firstly, no new areas of technology were created and, secondly, the time sequence of such developments was the same in all existing areas of technology. Even if the second assumption were discarded, a general state of exhaustion of technology as a whole will not be obtained by adding together the trends in individual areas of technology when the signs of exhaustion occur at different times in different areas.

The hypothesis that the signs of exhaustion recur at the level of technology and the economy as a whole can be refuted by two different but related processes. Firstly, in an area of technology nearing its upper limit a technological revolution takes place and gives this sector new impetus. This is a development which brings about the transition from a logistic S function (S-shaped curve) to a sequence of S-shaped curves, i.e. a step function [3]. The other possible way of preventing the recurrence of the signs of saturation of individual areas of technology at the level of the economy and technology as a whole has already been mentioned above. It involves the creation of new areas of technology. In some cases, the distinction between a breakthrough in an area of technology can only be distinguished arbitrarily from the creation of a new area. This is why we said that the two processes are related; the distinction is only a question of classification and convention, i.e. a formality. Problems of the Empirical Recording of Basic Innovations and Inventions

The frequently practised breakdown of innovations and inventions into basic innovations and inventions and improvement innovations may well reflect the hierarchical structure of innovations and inventions with regard to their technological and economic significance and effect, but any attempt to chop up the continuity of technological development empirically is not likely to be very successful. In reality, technological development is dialectic : it is both continuous and evolutionary and discrete and revolutionary. Emphasizing one side at the expense of the other only leads to distortion of this reality.

The inappropriateness of the distinction between basic and improvement innovations is revealed in the first place if an attempt is made to record these two types of innovations empirically. This is possible only with a high degree of arbitrariness and subjectivity. The error is compounded if an attempt is made to attribute these types of innovations to different phases of the economic cycle and to distinguish the reasons for different types of innovations speculatively and then empirically. Ideas and observations of this type disregard time and time again the continuity of the innovation process.

The Number of Patent Applications as a Measure of the Production of Technological Know-How

The use of the number of patent applications as a measure of the production of technological know-how involves a series of simplifications which have to be eliminated in a particular way [4].

Taking the number of patent applications as a measure of technological development disregards the differences between the individual patent applications and inventions. Applications and inventions are in fact very different things, one of them of fundamental and the other of marginal technical and economic significance. If no additional information on the quality of the patent application and inventions is used, there is a danger that only the continuity in the technological innovation process will be considered, with no account being taken of leaps and technological revolutions.

Hypotheses Explaining the Trends in Patent Applications

For the purposes of this analysis in patent applications in Germany in the last 100 years, a number of hypotheses regarding technological developments were put forward and empirically tested. A brief outline of some of these hypotheses is given in the remainder of this section.

One of the most important hypotheses is that which describes technological development as a process which begins with major discoveries and invent-

ions and leads via the gradual exhaustion of research potential to an exhaustion limit and thus to the threshold of new breakthroughs [5].

Examples of such developments can be found in all areas of technology, e.g. aircraft construction, particularly the sequence of development of individual types of aircraft engine. The developments from one type of aircraft propulsion to another were always associated with significant increases in the speed achieved by the aircraft. A clear example of the behavioural pattern mentioned above is also provided by the development of weaving, in particular that of various types of loom. In this case too, the change-overs to new types of looms are associated with significant increases in working speed and efficiency of various types of machines.

The discovery and application of a new technological principle revolutionizes certain parts of production and process engineering. The greater the difference between the new and the old technological principle, the more difficult it is to grasp all the possibilities of the new principle and utilize them directly. Typically, a new principle disguises itself in the shape of the previous technological stage. Thus, the first motor-driven cars were none other than old coaches, albeit without horses and fitted with an engine. Various components of these coaches, which served a useful purpose only in association with the use of draught-horses and were of no use whatsoever to the motor-driven vehicle, were initially adopted. New possibilities and requirements associated with the introduction of a new principle are only gradually recognized. A horse-drawn coach, for example, certainly made no demands on aero- dynamics. Such requirements became pressing at much higher speeds which only motorized vehicles could achieve.

The gradual utilization of the potential of a new technological principle depends, however, on economic requirements. The economic pressure and potential fluctuate with time in line with trends in the general economic cycle, for example. These fluctuations can, however, temporarily conceal the longer-term general trends in technological developments in a particular area. These longer-term trends can still be clearly seen, however, in the longer time series in particular. A whole series of technological developments can be explained by studying the connection between various areas of technology [6].

For example, the development of an area of technology may be abruptly interrupted before its potential has been fully utilized. This phenomenon is often associated with the fact that in a rival area methods and resources have been developed that serve the same or a comparable purpose. The decisive factor is the technical and economic efficiency achieved by technological solutions or areas. The clear superiority of a rival technological area or principle can sometimes lead to the disappearance of the rival area. Around the turn of the century, for example, there was electric and other types of lighting, intense rivalry between in particular gas. For a long time this rivalry seemed undecided, before it was finally resolved around 1910. The development of the effective and industrially applicable technology of drawing tungsten wire made it possible to manufacture electric bulbs so efficiently and to give them performance characteristics that gas-lighting technology was such gradually devalued [7].

On the other hand, a positive connection can often be observed between the developments in different areas of technology. It often happens that a long-known technological principle cannot be further developed and applied until developments have taken place in other areas. Such developments will, for example, create technical possibilities that are essential for the application of the technological principle in question. For example, the already known process of vacuum treatment of steel, which led to an improvement of the quality of the steel, could not be applied until the ways and means of economic vacuum production were available at an appropriate level.

In other cases, it is a question of economic opportunities for using a particular process. For example, the idea of using oxygen in the production of steel had already been known for a long time before it was possible to produce oxygen in such quantities and at such cost that this technology could be applied in practice.

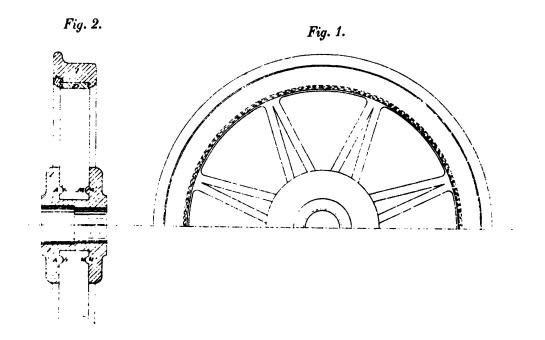
Even though in many such cases it is not a question of direct conditions for the continuation of technological work in certain directions, an increase in the scope for applying a technological principle in the general economy has an indirect effect on technological work in this area. The application of a technological principle in the general economy increases the interest in its further development, points to new technical tasks which without application in industry could not be seen at all merely on the basis of experience in the laboratory, and thus contributes to the development of research.

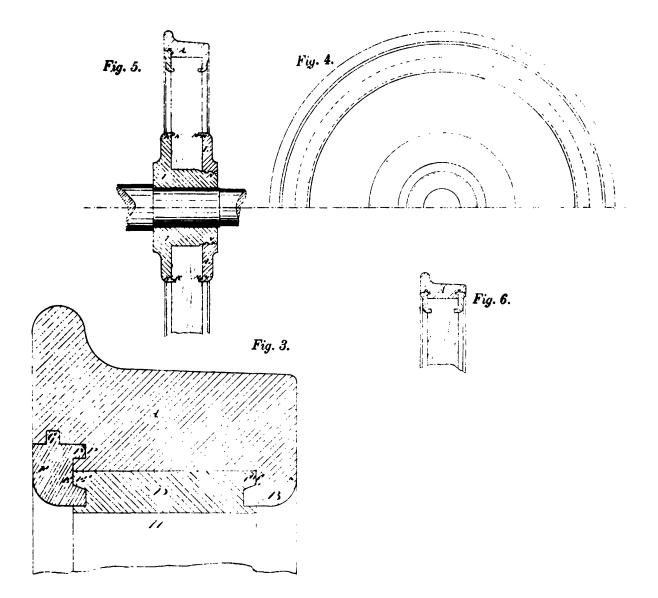
The other hypotheses relate to the general economic conditions for technological development. In the first place, it can be seen time and again that the funds available for research on the innovation process are fluctuating all the time. These fluctuations are linked, for example, to trends in the general economy, but can also be a result of other occurrences, such as natural disasters, wars, revolutions, etc. The funds available to various areas of technology are not all, however, affected to the same extent by such occurrences.

The economic demand for innovations also fluctuates with time. This process too is linked to trends in the general economy and to other occurrences of the type mentioned above, and here again it can be seen that the effect on different areas of technology can and does differ and that these developments and occurrences have an effect on the structure of technological activity.

The fluctuations in economic demand for technology and innovations and the fluctuations in the funds available for innovations and research can be classified hierarchically and subdivided into long, medium and short term.

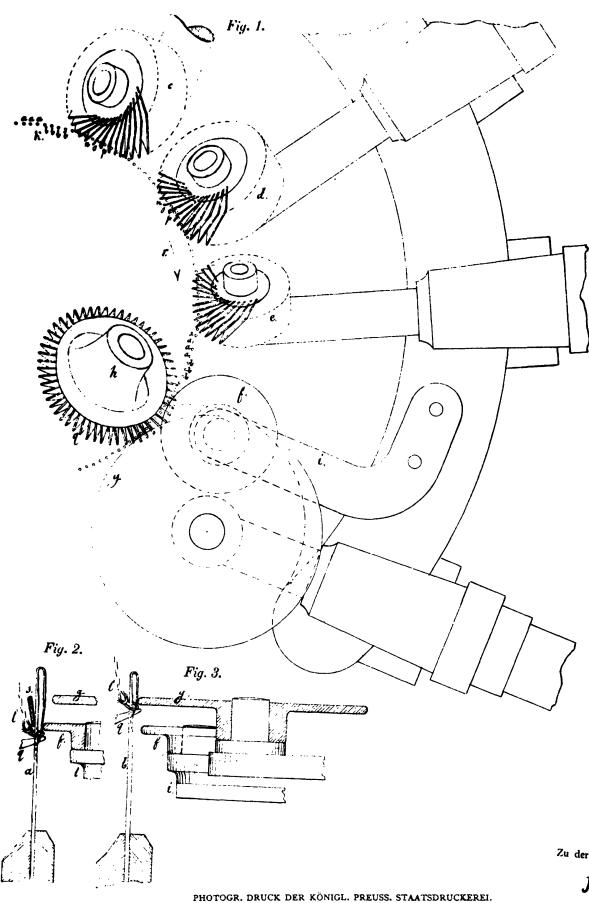
Examination of trends in the general economy and in technology shows that the *production* of technological know-how, the *demand* for innovations and the *introduction* of new technologies to production do not take place at the same pace. This is typified by differences – both leads and lags – in the time sequence of these processes. As a result, the process for using the inventions in the general economy comes to a temporary halt, or the economic need for new solutions is not particularly great, or again the economy's heavy demand for innovation cannot be met or demand precedes the potential or actual production of technological know-how. These conflicts and differences also give rise to the driving forces for both inventions and innovations and for the introduction of new ideas to the economy. This can lead to situations of the type described in the literature within the concept of the stalemate in technology (Gerhard Mensch).





Zu der Patentschrift





HUGO ZWINGENBERGER IN ERNSTTHAL IN SACHSEN.

Einrichtung von Rund-Wirkmaschinen zur Herstellung von Pressmustern.

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Zu der Patentsch

№ 3.

NOTES REFERRING TO PART II

NOTES REFERRING

TO

PART II

[1] A typical example of the overestimation of leaps in development and the underestimation of the continuity of technological development is provided by the theory of G. Mensch. His distinction between basic and improvement innovations is virtually impracticable empirically. In this context, Borchardt puts forward important theses; he speaks of a stream of growth in know-how. Delbeke criticizes Mensch's arguments directly. He shows amongst other things that inventions are not dependent exclusively on demand. Mensch, on the other hand, completely disregards the technical and scientific problems arising on the supply side of the production of technological know-how. The history of science and technology provides countless examples of the difficulties standing in the way of a basic idea and its implementation (see note [6]). Economic pressure and intensive research can certainly have some effect here. Nevertheless, the result remains uncertain and the solution is a long time coming. On the other hand, the goal can often be achieved quickly with modest resources. In the following pages we reproduce Mensch's basic theses in his own words and a number of other relevant comments :

"The main thesis of this book (stagnation = lack of basic innovations) was conceived and developed at a time (1970-71) when economic research was still projecting incessant economic growth and when science and technology policy were expected to produce the right kind and appropriate volume of new technology to nurture this industrial evolution.

At that time, I became aware of some fundamental properties of this evolutionary process of socio-economic change. They indicated that the next downturn of the business cycle would bring disappointment to those who relied on the prognosis of 'just a mild recession'. Among the early indicators of a coming depression were labor market and capital trends as well as indicators of change in the international division of labor and technological change and substitution. In modern industrial civilization, the boundary between nature and culture becomes increasingly fuzzy, and many of the socio-economic forces manifest themselves in the use and creation of artifacts. Therefore, indicators on the rate and direction of industrial innovations can be expected to tell us a good deal about change within a changing economy.

The circular flow model of short-term economic activity needs a counterpart; I suggest a metamorphosis model of long-term socio-economic change.

The following two propositions characterize the industrial metamorphosis :

- 1. Basic innovations, which establish new branches of industry, and radical improvement innovations, which rejuvenate existing branches, tend to occur discontinuously in time, namely, in rushes.
- 2. Within those new or renewed branches, the pioneering innovations are followed by series of improvement innovations. The improvement effects of these successive innovations are governed, on the demand side, by the law of diminishing marginal utility and, on the supply side, by the law of diminishing marginal returns on investment." *)

"In my view, the history of invention all too often still pays tribute to a heroic view of history - and this at a time when political historiography is moving away from the admiring accentuation of the great individuals. However, hero worship in the chronicles of technology is certainly even more doubtful than in other areas of history, since all inventions form part of a stream of growth in know-how, and it is extremely difficult to distinguish certain inventions clearly from other contributions to progress. Anyone familiar with patents knows how difficult it is to assess originality; and yet the hero occupies a central place in the chronicles of technology. His survival in these chronicles has already been discussed 40 years ago by Ralph C. Epstein.

The starting point for works on the history of technology is often a biographical interest, and the list of sources naturally refers time and again to individuals as inventors. However, it is certainly too shortsighted to extol the individual alone as the origin of all inspiration. Although it is also acknowledged that the individual owes his ideas to some sort of instinctive necessity and therefore acts only as the executor of immanent technical progress and perhaps also reacts to economic stimuli, the impression is often given that the authors believe that if it had not been for their hero there would not have been certain inventions or whole lines of development. The mere fact that in not a few cases inventions have been made several times or equivalent inventions have solved the same problem strengthens our supposition that a social or

^{*)} Mensch in foreword to "Stalemate in Technology - Innovations overcome the Depression", Cambridge (USA), 1979, pp. XVII - XVIII.

systematic theory of innovation and the spread of inventions hold out more hope of success than a Faustian individualistic theory.

Virtually every part of the steam engine was already known at the beginning of the 18th century, and in the case of the gas and petrol engine it can clearly be seen how the inventors gradually groped for the solution. Major inventions represent the accumulation of many small steps, the final step in a long chain or the transfer of an idea to another field. Jewes, Sawers and Stillermann share the view with many others that 'Technical progress is an indivisible moving stream from which it seems impossible, except in an arbitrary fashion, to isolate one fragment for independent examination'." *)

"Mensch's analysis is interesting because of its updating and statistical testing of Schumpeter's theory and the emphasis it places on demand and innovation as crucial factors for economic development. But Mensch skirts dangerously close to monocausality and neglects other crucial factors, which we consider in the following sections.

Mensch does remedy a major lacuna of most theories, namely the neglect of demand as the engine for long wave movement. However, we do not agree with the position that expansionary innovations are always more demandinducing than rationalising innovations because of the different income effects they generate. Rationalising innovations surely can induce a larger demand if the more efficient use of production factors is translated into increased purchasing power and unemployment is neutralized by job creation in other sectors, e.g. the tertiary sector. However, when there is a technological stalemate, we do agree that rationalizing innovations will be less demand-inducing than expansionary ones because of the difficulties in creating alternative employment because of the slowdown of economic growth. In short, Mensch's comparative statics do not always give the same dynamic results.

A major weakness in Mensch's empirical research is the lack of a good criterion for identifying the basic and improvement innovations. Although the difference is crucial, the interpretation of a concrete innovation is difficult and risky. For this reason, it is difficult to integrate into Mensch's theory those innovations that needed two long waves to exert their full effect on economic growth, e.g. automobile construction. Because these sectors have undergone intensive changes, it is uncertain whether they should be classified in terms of basic or of improvement innovations.

An important feature of innovation theory is the emphasis on the discontinuity, the clustering, of basic innovative activity. Periods of scarcity and abundance of entrepreneurial activity seem to alternate in economic development.

^{*)} K. Borchardt, Technikgeschichte im Lichte der Wirtschaftsgeschichte, Technikgeschichte Volume 34 (1967) No. 1, pp. 7-8.

But there is a sharp contrast between the Schumpeterian way of treating innovations, and the way macroeconomic and management literature deal with them. In macroeconomics, technological innovation is considered as a continual and even process, so only improvement innovations are dealt with. Such an approach fails to take account of the revolutionary character of basic innovations.

The same idea of continuity is paramount in management literature, but with a more normative character : 'Innovations do not happen, they are made to happen'.

In conclusion, Mensch provides a fruitful analysis of the current situation and implications for the study of the long wave, and the theory's importance is increasing as part of a multicausal explanation." *)

"Both Mensch and van Duijn have laid great stress on the Schumpeterian idea of the 'clustering' of innovations. In his pioneering work on the Technological Stalemate, Mensch argues that basic innovations have been clustered in decades of deep depression, specifically around 1835, 1886 and 1935, and that these basic innovations provide the main impetus for the next big upswing of the economy. During such deep depressions, entrepreneurs are obliged to search for more radical solutions, which were 'crowded out' when business was booming. As the climate is now more favourable to radical innovations, they accelerate their introduction by compressing the lead time from the date of invention to commercial launch. Kleinknecht defends this central idea of Mensch, although with some modifications, in his paper and, clearly, several other contributors (such as Mandel) find the argument persuasive.

I and two colleagues (Clark and Soete), although sympathetic to many aspects of Mensch's work, are not convinced either by theoretical argument or by the empirical evidence so far produced. We have argued elsewhere (in the Proceedings of the Bochum 1980 conference on long waves) that the lists of basic innovations compiled in the 1950s or mid-1960s are quite inadequate for the purpose, and we regard the lists used by Mensch as greatly underestimating the basic innovations of the post-war period, and probably also those of 1900-20. By the same token, we are not convinced either by the new list which Kleinknecht has used, derived from Mahdavi. Although this was published in 1972, it was based on a variety of studies from different sectors, some of which were of much earlier date. There is no other possible explanation for the fact that the last major pharmaceutical innovation listed is from 1948. Nor are we convinced by the argument for the separate treatment of scientific instruments, which (partly because Mahdavi's source in this case was more up-to-date) would considerably change the statistical results if grouped either with product or process innovations.

^{*)} J. Delbeke, Recent Long-wave Theories, A critical survey, in Futures, Volume 13, No. 4, August 1981, pp. 249-250.

We have continued to criticize Mensch's acceleration hypothesis in our own contribution. But we have also attempted to take this debate a stage further, by widening it to include clusters of 'basic inventions' and trends in patents, and to defend the hypothesis (rejected by Mensch) that some clusters of inventions and innovations are more satisfactorily explained in terms of advances in fundamental scientific knowledge. We have also suggested here (and more fully elsewhere) that, in our view, basic process innovations are most likely to be clustered not so much in depressions as in boom and stagnation periods, when capacity is being expanded rapidly and economies are intensively sought in the new exploding industries, or when costs are under pressure in the older industries. Whilst centainly not rejecting the idea of clustering, we are pleading for a greater recognition of the nature and variety of different 'clus-

"Although we do not have systematic comparable annual series for the pre-war period, there is very strong evidence that company outlays for R and D were significantly reduced during the depth of the depression, from 1931 to 1934, in the leading industrial countries. Terleckyj reports a fall of 10 % in US R and D industry over this period. There is now additional evidence that, in the more serious recessions of the 1970s and early 1980s, R and D behaviour may be more affected and may itself have greater effects on other behaviour than in the previous 20 years."

ters'". *)

"In an extremely thorough study of long-term trends in patenting in the USA, Schmookler advanced the view that patenting follows closely the fluctuations in fixed investment in plant and machinery in each industry, with essentially the same pattern of upswings and downswings. This has formed the basis for some 'demand-led' theories of innovation which have, however, been heavily criticized by Mowery and Rosenberg and others." **)

[2] "We have drawn, in Figure I (page 78), the total number of patents applied for and granted in the USA from 1840 (year of the Patent Law) to 1979. The diagram suggests that variations in the number of patent applications have tended to coincide with overall economic fluctuations. With an average of a four year time lag - up to the 1960s, the average time needed for the Patent Office to examine and issue a patent was about four years - the evolution of the number of patents granted shows the same kind of behaviour. The steep fall in the early thirties in patent applications coincides most clearly with the 'great depression'. The effects of both the first world war and the second world war are also obvious. Overall, and despite the relatively weaker evidence for the 1875-1884 depression, Figure I tends to support Schmookler's hypothesis. It does not, of course, provide an answer to the question of the possible fluctuations in radical or fundamental inventions.

^{*)} Chr. Freeman, in : Futures, Volume 13, No. 4, August 1981, p. 241.

^{**)} J. Clark, Chr. Freeman, L. Soete, Long Waves, Inventions and Innovations, in : Futures, Vol. 13, No. 4, August 1981, p. 309.

The advantage of patent statistics is that they provide readily available information over a rather long period which can be easily classified by year, and which is not affected by changes in relative prices. A more important advantage is that they have been collected and examined over all these years by the same official agency, generally speaking a Patent Office. Moreover, all patents issued in the United Kingdom from 1734 onwards have a specification describing the invention in full. It is on the basis of these specifications that an attempt was made by R. Baker of the British Library, to select 'significant' inventions." *)

[3] The step function can be brought about by, for example, the revival of old sectors :

"In 1972 the American national economist and economic and technical historian Nathan Rosenberg put forward in his paper 'Factors Affecting the Diffusion of Technology' the thesis that so-called 'old' technologies believed to be already outdated could be improved again by 'new' trendsetting technologies to such an extent that for a time they would become serious rivals for the 'new' technologies. Although various economists and economic historians had already made similar observations, Rosenberg was the first to bring these together in a single thesis, going against the view predominant in economic theory that it was the competition between rival firms in a particular branch that gave the impetus to technical innovations. He also criticized - quite rightly - the common practice in the chronicles of technology of no longer taking account of the further development of 'old' technologies when the 'new' technologies had been introduced into the production process. Such a view, he stated, encouraged the frequently encountered conception of sudden and dramatic discontinuities in economic and technological development." **)

[4] Some important comments on this problem :

"The foregoing brings me to the positive side of our findings. Broadly speaking, our results indicate that inventive activity in a field tends very much to fluctuate with economic activity in that field.

Originally it appeared that total inventive activity in the United States varied directly with economy-wide employment of labor and capital combined. This result supported the hypothesis that the potential saving in total cost constituted the source of prospective profit from inventing, that such potential saving would tend to be proportional to total cost of production, and that, therefore, the inventive activity would tend to vary with the total cost, that is, the volume of resources employed. This chain of reasoning later proved mistaken.

*) J. Clark, Chr. Freeman, L. Soete, Long Waves, Inventions and Innovations, in : Futures, Vol. 13, No. 4, August 1981, pp. 309-310.

^{**)} H.-J. Braun, Gas oder Elektrizität, Zur Konkurrenz zweier Beleuchtungssysteme, 1880-1914, in : Technikgeschichte, Vol. 47 1980, No. 1, p. 1.

Measures of the two were indeed highly correlated but they were equally correlated with a third variable - gross investment - and this now appears to have been the critical factor.

This error was revealed once the statistics of patents classified by industry were available and patents in the railroad field were compared with an index of total output in the railroad industry. No similarity such as that which had appeared earlier in the case of aggregate inventive activity and total national output emerged. Instead we found that railroad investment and railroad patents were very similar in their long-run and shorter-run movements. The main difference between them, and a very suggestive difference it was, was that the patent statistics lagged slightly behind those of investments." *)

"This paper examines a question of long standing : How can one obtain operational indexes of inventive and innovative activity and technical change? Specifically, for a sample of fifty seven pharmaceutical manufacturing firms, we attempt to determine how well a simple count of invention patents serves as a surrogate for two alternative measures of technical change : the number of research and development personnel employed, reflecting inputs into the innovative process, and the value of new products sales, which reflects outputs of the process."

"Patent statistics have one compelling advantage over alternative indexes of technical activity : availability in great abundance. Yearly counts are readily obtained for a span of more than a century, either in aggregated form or broken down by class of invention or sponsoring firm. It was this advantage which commended their use in Schmookler's pioneering research, as well as in other studies (Schmookler and Brownlee, 1962; Schmookler and Grilliches, 1963; Scherer, 1965a, 1965b; Grabowski, 1966; and Schmookler, 1966).

Patent statistics, however, have weaknesses acknowledged by users and skeptics alike. For one, the propensity to patent inventions of a given quality has apparently been declining throughout most of this century – and particularly since 1940 – as a result of changes in judicial interpretations of the patent and antitrust laws, the character of industrial innovative activity, the proportion of research and development efforts supported through governments funds, and the Patent Office's budgetary affluence. For this reason, the use of patent statistics for long-run trend analyse is hazardous, although, as Schmookler (1966) has argued, the study of patenting trend deviations can be more fruitful.

Cross-section analyses face other difficulties. The propensity to patent varies from industry to industry and from firm to firm. Industries heavily involved in government contract work tend to patent fewer inventions of a given quality than those which pay for their own research, while firms which are highly patent conscious and maintain a large staff of patent attorneys have a relatively high propensity to patent.

^{*)} J. Schmookler, *Patents, Invention and Economic Change*, Cambridge, Mass. 1972, pp. 74-75.

More important, the quality of the underlying inventions varies enormously from patent to patent. Picking at random a copy of the Official Patent Gazette (for example, for July 25, 1967), one can find patents for inventions ranging from a form-fitting church-pew cushion to a complete system for training a computer to recognize patterns through adaptive response.

Nevertheless, the inability of patent data to reflect inventive 'quality' is not necessarily fatal for it may be possible to measure and roughly correct for interindustry differences in the propensity to patent (see Scherer, 1965b pp. 1098 - 1103). The underlying economic or technological significance can be interpreted as a random variable with some probability distribution, which can be manipulated statistically like any other errorprone measure. Indeed, the quality variability problems in patent data are not fundamentally different from those encountered in using a simple count of scientist or engineers, or research and development expenditures, as an index of innovative input. Creative ability varies greatly from individual to individual, and the market for creative talent is shot through with so many imperfections that attempts to adjust for differences in employee productivity by applying salary weights yield, at best, a modest improvement." *)

"To a certain extent, the diffusion of technical innovations can be seen from figures of new patents. Whereas in the period from 1715 - 1760, 7 to 8 patents a year on average were granted in England, the number rose to 57 a year by 1790. In the USA, the first Patent Laws were passed in 1787 and 1790 and this was followed by new patent regulations in 1861. Between 1796 and 1826, 5,215 patents were granted in the USA; there is a close connection here with the first 'economic miracle' of modern history. On the other hand, in Prussia, where patenting regulations had not been introduced until 1815, the total number of patents up to 1837 was only 335. In my opinion, this clearly reflects the connection between invention, technology and the economy." **)

According to Kronz, the informative value of the number of patent applications must be considered separately in international comparisons and in the time series :

"... as a more or less usable quantitative indicator I use the patents statistics. The trend in the numbers of applications in the various countries must, even though it is also influenced by the specific features of each country's patent law, reflect the 'conditions and occurrences' of which Sombart has spoken in connection with the different phases of culture.

- *) W.S. Comanor, F.M. Scherer, Patent Statistics as a Measure of Technical Change, in : Journal of Political Economy, Vol. 77, 1969, pp. 392 - 398.
- **) A. Timm, Geschichte der Technik und Technologie Grundsätzli- ches vom Standort des Historikers, Technikgeschichte, Vol. 35, 1968 No. 1, p. 9.

A random glance at the trends in the numbers of applications shows particularly clearly that the level of applications varies, in some cases considerably, from country to country. These variations in level are a direct expression of the extent to which the 'conditions and occurrences' are peculiar to each country. This view of things must, of course, focus on the proportion of patent applications filed by nationals, since in addition to the competitive interests it reflects primarily the patenting interests of resident inventors and innovators. It thus also says something about national inventive creativity, and this - expressed as the productivity of the population in terms of the production of patent applications - is influenced among other things by the socio-cultural factor, which essentially expresses the attitude of the individual and society to nature and technology." *)

[5] This trend can be represented by the logistic curve (S-shaped curve).

"Over three decades ago Professor Kuznets published the classic analysis of the Law of Industrial Growth - the tendency for the output of any given good to describe an S-shaped course over time, with the percentage rate of growth generally declining throughout (SIMON KUZNETS Secular Movements in Production and Prices [Boston and New York : Houghton Mifflin, 1930]). The pattern, he concluded, was primarily a reflection of retardation in the rate of technical progress in the industry revealed by the downward sweep at a declining rate of the product's price over time. The retardation in the rate of the technical progress in turn was explained by the gradual exhaustion of the industry's inventive potential, or stating the matter in other terms, the approach to perfection of the industry's technique." **)

"The perception of the economic life of a basic or major innovation as an innovation life cycle is not a novel one. I used it in earlier publications, but as it turns out, it was developed earlier by Kuznets, and even, in a rudimentary form, by Schmookler. The life cycle of a major invention indicates how an innovation develops over time, measured as the output accounted for by that innovation.

Life cycles are usually assumed to be S-shaped, up to their decline phase, with gradually decreasing growth rates of output.

While various interpretations and applications of the S-shaped growth curve exist, they can be reduced to two main types : the limited

^{*)} H. Kronz, Der Soziokulturelle Faktor für die Erfindungs- und Innovationstätigkeit in den Industrie- und Entwicklungsländern, in : Mitteilungen der deutschen Patentanwälte, Vol. 69, January 1978, No. 1, p. 1.

^{**)} J. Schmookler, Technological Change and the Law of Industrial Growth, in : J. Schmookler, Patents, Invention and Economic Change, Cambridge, Mass., 1972, pp. 77 - 78.

possibilities for further technical improvements given a certain state of technology, versus the limited possibilities for further market penetration given a certain rate of penetration. Both interpretations can be applicable to an innovation life cycle. Usually the two aspects will be interrelated : cost-reducing improvement innovations can increase the rate of penetration of a product; market saturation will be a strong incentive for product improvements, such that a decline in sales can be prevented or postponed. The strongest force, however, would seem to be the market." *)

"It would appear that for any industrial revolution, there must be a simultaneous appearance of convergent innovations - in energy sources, transport, tools (or the production goods industries) and in 'manufacture'. Hence, an industrial revolution can be defined by this combination of activities and by the prolonged process of expansion which it produces, each new product following a logistic curve. This curve is not so much the 'life cycle' of the new product - a microeconomic notion - as a curve of saturation of the milieu : the new 'population' develops until it has taken up all the terrain open to it. A change of milieu or a change of product can give rise to a new logistic curve. This was the case with steel - in ingots with the first revolution, in sheets with the second. Will it find a new lease of life with the third?"

"The present period - 1975 - 1985 - in this explanation will feature the combination of two movements : the maturity and decline of the major industries of the last industrial revolution; the very weak growth of new activities of the industrial revolution now beginning and which is only in its embryonic phase. The aggregate effect of these two processes gives rise to very slow overall growth." **)

[6] "Modern steel production is unthinkable without vacuum treatment of steels. What does this involve?

During its production, every type of steel comes in molten form into contact with gases, in particular hydrogen, oxygen and nitrogen, and inevitably absorbs detectable quantities of them. These gases come from the ambient air, the fuel required for the melting process and the moisture content of the raw materials used.

When the melt has been prepared, the steel is poured into moulds in which it solidifies.

As a result of the cooling associated with solidification, the steel's solvent capacity for gases is reduced. Only part of the excess gas released can escape from the steel, which is becoming pasty. The remainder forms gas bubbles, which are enclosed between the already solidified rim and the still molten core.

^{*)} J.J. van Duijn, Fluctuations in Innovations over Time, in : Futures, Vol. 13, No. 4, August 1981, p. 265.

^{**)} A. Piatier, Innovation, Information and Long-Term Growth, in : Futures, October 1981, p. 375.

During the subsequent processes such as rolling or forging, it is very difficult or even impossible to remove these enclosed gas bubbles. They lead to defects in the steel and reduce its quality.

Metallurgists, who from the very beginning have been striving to improve the quality of their products, have for many years been endeavouring to eliminate this problem, e.g. the Swedish inventor, Dr. H. Tholander, at the end of the 19th century. Tholander knew about the gas bubbles enclosed in the steel ingots and, as we know today, had the right idea about how to get rid of them. In German patent 16479 granted in 1881, he proposed to eliminate the gases from the molten steel contained in a converter or pouring ladle by reducing the pressure. This proposal was based on the knowledge that, as in the case of other fluids, the gases released at a higher pressure escape from the molten steel when the air begins to get thinner.

This technical theory did not, however, gain acceptance. The requisite technology was not yet available because the efficient vacuum pumps required did not exist in 1881. This was ultimately the cause of the failure of all the attempts made in later decades up to 1950 to use vacuum treatment of steels on an industrial scale." *)

In this context, Borchardt speaks of invention chains.

"For example, for certain inventions dozens of follow-up and supplementary inventions have in many cases become necessary in order to make the basic idea at all usable. The steam engine is one of the best known examples of this. Watt's achievement is precisely that by means of additional measures he made a known piece of equipment economically usable on a large scale for the first time. However, his succes was in turn dependent on the fact that at more or less the same time the technique of metal working, and in particular cylinder boring, were considerably improved. We economic historians would be greatful for detailed descriptions of the technical problems of invention chains of this type, so that we do not run the risk of overlooking that which is obvious to the engineer as a result of our socio-cultural speculations." **)

[7] "To sum up : after a phase of the predominance of oil and gas lighting which lasted into the 1880s, electric lighting spread quickly after the development of the Edison bulb and in view of various advantages was frequently regarded as the lighting system of the future. However, the 'old' type of lighting, namely gas, which had come under pressure, was able to check the progress of electric lighting thanks to Auer's incandescent gas light and expanded rapidly.

**) K. Borchardt, op. cit., pp. 6 - 7.

^{*)} H.D. Klemm, Die Entwicklung der Vakuumbehandlung der Stähle,, in Hundert Jahre Patentamt, Munich, 1977, pp. 161 – 162.

It was Auer in particular, but also Werner von Bolton, who on the highly concentrated market for electric bulbs gave the edge to the electrical industry though pioneering developments of the metal filament lamp. Although the gas industry was able to make up ground again in the field of public lighting through the compressed-gas lamp, the development of luminous arc lamps gave the electrical industry the edge here as well.

As regards my initial hypothesis, which was derived from Rosenberg's thesis on the relationship of 'old' to 'new' technologies, the following should be noted : the thesis is confirmed as far as the relationship of the electric arc light and incandescent light to Auer's incandescent gas mantle is concerned. In this case, the example quoted here seems even more appropriate than the one given by Rosenberg himself. The same applies to the advent of the compressed gas lamp in relation to the traditional arc lamp.

However, to qualify the above remarks it should also be noted that in addition to the improved 'old' technology - represented in this example by the incandescent gas mantle - there were other important aspects, such as the cheaper coke-oven gas, the increase of sales of gas through price differentiation and, albeit not so significant, the competition between different gasworks.

In addition to purely economic and technological aspects, mention must be made of political ones, such as the effects of the illuminant tax of October 1909. In addition, general economic interests aimed at safeguarding existing assets were involved in advocating retention of the 'old' tech- nology. These were endorsed by representatives of many municipalities, who feared that, as owners of gas works, they would suffer financial losses after the introduction of electric lighting.

The main factor behind the development of Auer's osmium lamp was not the competition for a rival technology based on a different system but the competition within a particular sector, namely the electrical industry. On a highly concentrated market a specialist firm succeeded in introducing a development that was crucial for the future of electric lighting." *)

O'Dea also writes about the same process :

"By the time the filament lamp had become of undeniable significance the advent of the incandescent mantle burner for gas lamps had restored the balance between electricity and gas.

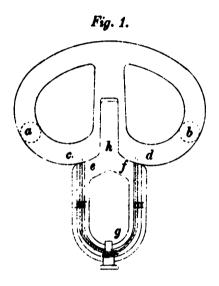
It was about 1890 that the gas mantle began to forge ahead and it soon caught up with and outtripped the electric lamp competitor. The gas mains and distribution pipes were not only already there, but gas cookers and gas fires were cheaper to run than their electrical counterparts. There was much more incentive to convert Sugg burners to gas mantles than to undertake the great expense of laying on an electric supply for filament lamps that were neither efficient nor long-lasting by modern standards.

^{*)} Braun, op. cit., pp. 14 - 15.

It was, in fact, not until about 1913 that the filament lamp began to show advantages so demonstrable that in many cases the installation of the necessary wiring was amply worth while. The rest of the story is a question, largely, of reductions in the price of electricity and the price of electric lamps. Gas lighting was admirable in many ways and devices were invented to make the control of it at least comparable with the troublefree control of electric lights. Nevertheless it had disadvantages domestically, such as the dirtying of ceilings and excessive heating from which electric lamps did not suffer, and for public installations it was obvious that only by rearguard action could it main- tain any sort of hold. Just as Edison's lamps infiltrated into a field ostensibly more economically served by gas, so in Britain there have recently been artificial adjustments of the economy of the electric-power prices as between town and country that have theoretically restored the economic position of gas in some cases. In spite of that there is not flight from electricity for lighting and this in itself is sufficient indication that the public have selected electric lighting on merit." *)

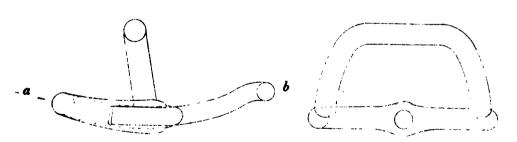
*) W.T. O'Dea, The Social History of Lighting, London, 1958, p. 25.

STEPHAN, DR. MED., PRAKT. ARZT IN ILSENBURG. Gebärmutterhalter.







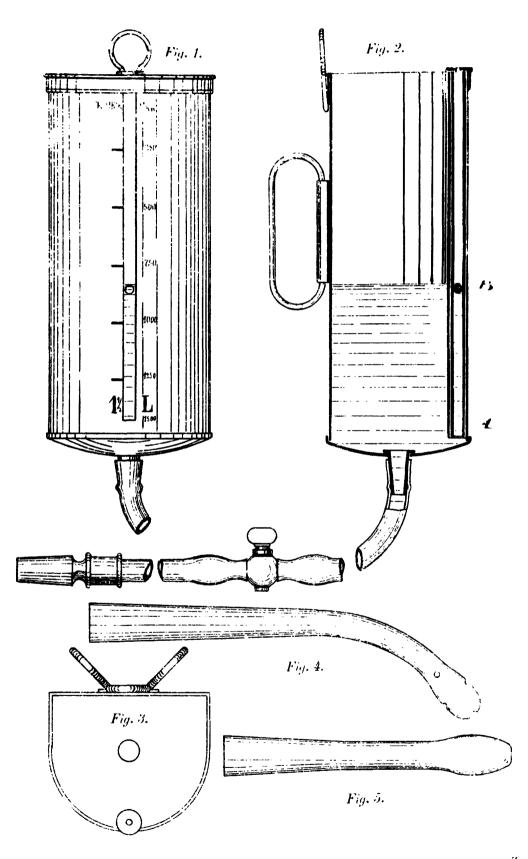






ALBERT KOHM IN KARLSRUHE.

Verbesserungen an dem Esmarch'schen Irrigator.



Zu dei Pateri

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

№ 36

PART III

Part III

STATISTICAL ANALYSIS AND INTERPRETATION OF TRENDS IN PATENT APPLICATIONS

In the main part of this study, all the 89 time series of patent applications in Germany are statistically analysed and interpreted. For this purpose, the estimates of the logistic function and of the growth trends are used in conjunction with a linear spline function of the time trend. Lastly, an attempt is made to differentiate in statistical terms between various influences on the trends in patent applications. The hypotheses mentioned earlier are empirically tested in these analyses.

A. Analysis and Interpretation of Trends in Patent Applications on the Basis of the Logistic Functions

The logistic function is a highly appropriate way of describing the growth processes which with initially increasing and subsequently decreasing absolute growth rates are nearing an upper limit. Such processes are very widespread both in nature and society. The upper limit of the logistic function can often be determined substantively, i.e. in economic, technical, biological and other terms.

In the analysis of patent applications, the upper limit of the logistic function can also be described as a quantitative expression of the state of exhaustion of the innovative potential of the particular areas of technology. The other parameters of the logistic function can be identified as the rate of this trend and as the quantitative expression of the age of each area of technology. The use of the logistic function to describe and analyse trends in patent applications has the following advantages : the statistical estimate of the logistic function makes it possible to identify the long-term trends in each patent class and to correct them for short- and medium-term fluctuations.

This estimate also makes it possible to concentrate the available data. The main tendancies to emerge from the almost 100 annual observations of trends in patent applications have been shown in condensed form on the basis of the three afore-mentioned parameters of the logistic function.

The concentration of the whole on the information of a few parameters of the individual patent classes facilitates comparison between these classes. Using three parameters, it is possible to determine a time sequence of patent classes and thus of individual areas of technology. According to our assumptions, individual areas of technology evolve in a sequence corresponding to their development and exhaustion.

It is not by chance that the logistic function is suitable for analysing the time series of patent applications. It represents recurring changes in individual areas of research and innovation. Every research sector is in the long term under pressure from the needs of the economy and society. In most cases, these are the production and sales needs of corresponding production branches. Research is intended to improve the quality, increase the volume and bring down the price of production. In order to fulfil these tasks, research gradually exhausts the potential of the particular area of technology. This process is reflected both in the number and in the quality of inventions and the corresponding patent applications.

As regards the exhaustion of the technical potential of the area, the following trends are assumed :

- 1. The research sector is initially on the upgrade. The annual number of inventions and patent applications increases. Once the peak has been reached, the annual number of patent applications begins to fall.
- 2. The quality and economic and technical importance of inventions show a similar trend. The nearer research comes to the sector's limits, the smaller the economic and technical importance of any further inventions.
- 3. The widespread exhaustion of the technical potential of the area is probably also reflected in the increase in the number of unsuccessful patent applications.

The long-term tendencies, which affect the trend in patent applications in individual areas, are reflected in the development of the time series. They are modified, but not cancelled out, by medium- and short-term fluctuations, which are linked to trends in the economic cycle, wars and natural disasters, political developments and also lucky strikes by research workers. These fluctuations reflect changes in consumer demand, the pressure of competition, the allocation of funds for research, the work of the education system and other factors. The results of the estimate of the logistic function for each of the patent classes are set out in Tables 1 - 3.

Table 1 shows the estimated results for the period 1886 - 1973. The estimate was successful for almost all the patent classes, the only exception being Class 62 'Aviation', for which the logistic function cannot be used owing to special developments.

The table shows first of all the afore-mentioned parameters a, b and c of the logistic function.

Parameter a can be described as the quantitative expression of the age of the relevant patent class. The smaller this parameter is, the further in the past are the beginnings of technology in this class.

Parameter b of the logistic function describes the rate of development in the relevant patent class.

Parameter c is the parameter of the upper limit to which the logistic function is tending asymptotically. This parameter can be characterized directly as the cumulative number of annual patent applications in the class when the upper limit was reached.

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To illustrate the results of the estimate of the logistic function, a number of other estimated values are given. First of all, the results of the estimate are expressed in terms of time. A rough idea of the age of individual patent classes, which could also be described with parameter a, makes it possible to determine the year in which the estimated logistic function reaches 10 % of the upper limit. The year in which half of the upper limit was reached identifies the peak of the trend in each class. This year is the one with the greatest annual number of patent applications.

By giving the estimated value of the function for 1981, it is possible to determine the order of the patent classes with regard to the exhaustion of innovative potential. This number of patent applications was then calculated as a percentage in relation to the upper limit.

For some of the patent classes it was possible to calculate the data beyond 1973 as well. These are the classes which show a relatively easily ascertainable correspondence between the old German classification and the new international classification (see Table 2).

In Table 3 an attempt was made to compare the results in Germany with those in the USA. It proved possible to identify both simultaneous developments and leads and lags in corresponding patent classes in the USA and Germany.

Table 1

Trends in German patent applications in the period 1886-1973 on the basis of the logistic function (standard error in brackets)

			$\begin{array}{l} \text{fficients} \\ \text{gistic fur} \\ P = - \frac{c}{1 + ac} \end{array}$	oction	Estimat in wi reac	hich	Estimated value for 1981	7/4 in %
Patent class			• b		1/10c	1/2c		
	1	2	3	4	5	6	7	8
1	Preparation of ores	75.5	.075	9050	1004	1034	05.20	
•••	incparación of ores	(2.7)	(.0009)	(73)	1904	1934	8530	94.3
2.	Baking	67.6	.081	12457	1901	1928	12070	96.9
-		(3.5)	(.0013)	(100)		1520	12070	30.3
3.	Wearing apparel	46.2	.077	34198	1898	1926	33209	97.1
	0	(1.7)	(.0099)	(215)				
4.	Lighting through fuels	36.5	.084	34811	1893	1919	34343	98.7
	and preheating torches	(2.2)	(.0017)	(262)				
5.	Mining	120.0	.069	38157	1914	1957	32124	84.2
	-	(5.5)	(.0012)	(793)				
6.	Zymology	10.7	.054	14567	1880	1919	13733	94.3
		(.5)	(.0019)	(271)				
7.	Mechanical metal working	104.3	.073	40534	1910	1951	36405	89.8
	(1900-1973)	(8.1)	(.0019)	(934)				
8.	Bleaching	44.5	.059	77135	1904	1952	65432	84.8
	-	(1.8)	(.0013)	(1762)				
9.	Brushware	114.0	.093	10257	1904	1928	10057	98.1
		(5.0)	(.0010)	(51)				
10.	Fuels	136.7	.100	14802	1903	1926	14620	98.8
		(7.8)	(.0013)	(78)				
11.	Bookbinding	54.4	.070	20374	1902	1934	18919	92.9
		(1.3)	(.006)	(132)				
12.	Chemical processes	147.1	.059	356619	1924	1972	227012	63.7
	a	(5.8)	(.0014)	(21347)				
5.	Steam boilers	24.8	.073	26316	1890	1920	25649	97.5
١.		(1.0)	(.0012)	(188)				
.4.	Machines or engines in	44.5	.101	20152	1892	1914	20038	99.7
	general (1886-1938)	(4.0)	(.0033)	(363)				
14.	Machines or engines in	30.1	.082	23235	1891	1918	22918	98.6
15	general (1886-1973)	(3.0) 55.5	(.0028)	(297)	1001	1020	40.467	07 -
	Printing	(3.0)	.075 (.0014)	51942	1901	1930	49467	96.5
6.	Manufacture of ferti-	100.1	.084	(568) 6373	1905	1071	6140	06 6
~ •	lizers	(5.3)	(.0012)	(51)	1905	1931	6149	96.5
7	Refrigerat.or cooling,	113.2	.072	31163	1912	1953	27361	87.8
. •	stor.of ice, heat transf.	(5.1)	(.0011)	(464)	1912	1223	- TOT	0/.0
8	Metallurgy of iron	157.2	.077	30100	1914	1953	26995	89.7
		(4.7)	(.007)	(252)	1714	1733	20775	07.1
9.	Construction of roads,	40.4	.060	32098	1901	1938	27990	87.2
	railways and bridges	(1.6)	(.0012)	(569)			2	97.14
ο.	Railway transport	39.2	.078	73385	1895	1923	71485	97.4
		(2.0)	(.0014)	(575)				
1.	Electrical engineering	209.2	.079	523169	1916	1955	467294	89.3
	-	(11.5)	(.0013)	(7758)	1910	1933	40/274	07.3
2.	Dyes, paints, etc.	27.4	.052	54363	1898	1951	45102	83.0
	• • • • • • • • • • • • • • • • • • • •	(1.0)	(.0013)	(1488)	1070	1931	45102	63.0

Table 1 (continued)

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		Coefficients of the logistic function $P = \frac{c}{1+ae^{-bt}}$			Estimated year in which reached		Estimated value for 1981	7/4 in %
Pat	tent class	2	b	c	· 1/10c	1/2c	-	
	1	2	3	4	5	6	.7	8
23.	Oils and fats	72.9	.068	25168	1907	1951	22442	89.1
- 2 -		(2.5)	(.0009)	(299)				
24.	Furnaces etc.	64.6	•082 ·	44344	1901	1928	43073	97.1
		(2.6)	(.0010)	(266)				
25.	Braiding, lace-making,	38.2	.060	21689	1901	1937	19054	87.9
	etc.	(1.3)	(.0011)	(355)				
26.	Production of gases	14.2	.076	14530	1883 、	1911	14360	98.8
07	For a sin many and any	(1.5)	(.0034)	(202)	1003	1054	14004	6 2 2
21.	Fans, air pumps and com- pressors	38.6 (1.0)	.055 (.0009)	17152 (313)	1903	1954	14094	82.2
28	Tanning	57.9	.084	7256	1899	1925	7107	97.9
20.	Taiming	(1.5)	(.0007)	(26)				2.12
29.	Yarns	185.7	.083	16131	1913	1950	15016	93.1
•		(15.0)	(.0018)	(259)				
30.	Medical science	86.6	.077	83959	1906	1934	79010	94.1
		(3.8)	(.0011)	(765)				
31.	Casting, powder	81.4	.056	35614	1916	1966	25099	70.5
	metallurgy	(4.2)	(.0019)	(2236)				
32.	Glass	48.1	.051	21856	1909	1963	15720	71.9
~~	Weight and	(1.4) 49.9	(.0012) .074	(843) 27473	1900	1000	26222	95.5
33.	Hand and travelling	(1.7)	(.0009)	(190)	1900	1929	26227	93.3
5],	articles	38.6	.066	120202	1899	1932	111195	92.5
34.	Furniture	(1.2)	(.0009)	(1147)	1035			22.5
35	Lifting gear	54.9	.066	32033	1904	1937	28778	89.9
	THE Bear	(3.4)	(.0017)	(687)				
36.	Heating	41.2	.065	45668	1900	1934	41678	91.3
		(1.0)	(.0007)	(346)				
37.	Building	54.1	.055	118705	1909	1959	90487	76.2
	-	(2.3)	(.0015)	(4697)				
38.	Wood working	35.0	.065	28821	1898	1932	26663	92.5
_		(1.1)	(.0009)	(280)	1050	1003	122(22	46.4
39.	HOLMEND OI PIESCIC	(80.7)	.078 (.0014)	288013 (26966)	1956	1983	133672	40.4
40.	masses etc. Metallurgy other than	68.4	.063	27330	1909	1955	22937	- 83.9
	of iron	(2.1)	(.0009)	(459)			/	
41.	Headwear	28.3	.071	. 3418	1893	1923	3303	96.6
		(.8)	(.0008)	(19)				
42.	Instruments	91.1	.061	254113	1914	1962	193229	76.0
		(3.2)	(.0011)	(6873)				
43.	Checking devices	98.4	.074	27184	1909	1938	24862	91.5
	(1900-1973)	(8.5)	(.0021)	(594)				
44.	Haberdashery, jewellery,	40.6	.078	29480	1896	1923	28733	97.5
	etc.	(1.9)	(.0013)	(220)	1001	1033	101343	93.8
45.	Agriculture	53.8	.072	107986	1901	1932	101343	73.0

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Table 1 (continued)

		log	$P = \frac{c}{1+ae^{-t}}$	ction	Estimate in wh reac	nich	Estimated value for 1981	7/1 in %
Pate	ent class		b	с	1/10c	1/2c	_	
	- 1	2	3	4	5	6	.7	8
46.	Combustion engines	143.6 (7.4)	.091 (.0012)	98467 (629)	1907	1931	95782	97
47.	Engineering elements or units	59.8 (2.3)	.055 (.0013)	217476 (8191)	1911	1961	163300	75
48.	Work.&treatm.of metals other than by mech.means	188.9	.062 (.0006)	37150 (876)	1925	1972	24119	64
49.	Machine tools	34.1 (1.0)	.056 (.0010)	88784 (1566)	1900	1950	74928	84
	Grinding and pulverizing	25.2 (.4)	.049 (.0006)	30155 (473)	1897	1953	24162	80
	Musical instruments	16.4 (.6)	.069 (.0011)	15696 (102)	1885	1917	15318	97
	Sewing and embroidering Foodstuffs	23.2	.060 (.0006)	19022 (120)	1892	1925	17662	92
	Working of paper and	57.7 (2.5) 118.8	.076 (.0011) .091	· 34494 (287) 38515	1901 1905	1930 1929	33032 37685	95 97
	board Production of cellulose,	(7.4)	(.0015)	(282) 21442	1905	1929	19556	91
	paper and board Upholstery and saddlery	(1.3) 16.9	(.0008) .071	(213) 3239	1885	1915	3174	98
	Photography, cinemato-	(1.3) 160.1	(-0024) -078	(43) 71529	1913	1953	64355	90
58.	graphy and sound film Presses	(13.6) 27.2	(.0020) .040	(1532) 11438	1904	1970	6995	61
59.	Pumps	(1.2) 34.7	(.0012) .050	(784) 30014	1903	1958	22849	76
60.	Hydraulics and pneuma- tics (up to 1938)	(.8) 24.2 (1.3)	(.0009) .096 (.0022)	(781) 2128 (23)	1887	1910	2122	99
61.	Life-saving and fire- fighting	72.1 (5.9)	.075	12317 (219)	1904	1933	11601	94
62.	Aviation		ation fail					
	Trackless vehicles	93.4 (4.5)	.078 (.0012)	186562 (1772)	1906	1934	176283	94
	Distribution of alcoholic liquors	(1.0)	.064 (.0013)	39365 (449)	1891	1924	37392	9!
	Ships	57.5 (4.3)	.083 (.0019)	32750 (345)	1899	1926	31965	97
	Butchering and meat treatment	67.0 (4.0)	.074 (.0015)	7691 (102)	1904	1933	7236	94
	Grinding and polishing	101.9 (4.8) 40.5	.078 (.0011) 0.74	20069 (197) 41119	1908	1936	18836	93 96
_	Articles of the lock- smithing trade Cutting tools	40.3 (2.6) 47.9	(.0017) .071	41119 (510) 10142	1897 1900	1927 193,1	39533 9580	94
	Writing and drawing	(2.0) 37.6	(.0011)	(98) 24949	1896	193 ₁ 1926	23965	96
	implements	(1.0)	(.0007)	(135)				- 4

	logi	Coefficients of the logistic function $P = \frac{c}{1+ae^{-bt}}$			ed year hich hed	Estimated value for 1981	7/4 in %
tent class		Ъ	c	1/10c	1/2c	-	
1	2	3	4	5	6	7	8
. Footwear	80.5	.090	29623	1901	1925	29131	98.3
	(2.7)	(8000.)	(114)				20.2
. Firearms	51.1	.085	33847	1897	1923	33270	98.3
	(4.8)	(.0024)	(395)				
. Ropes	34.9	.051	2148	1903	1957	1678	78.1
	(1.Q)	(.0011)	(63)				
. Signalling	128.2	.093	27829	1905	1929	27267	98.0
	(6.4)	(.0012)	(156)				
. Sculpture, painting, etc.	63.6	.063	24961	1907	1953	21454	86.0
	(3.4)	(.0015)	(613)				
. Spinning	36.0	.051	34028	1904	1958	25946	76.2
	(.7)	(.0007)	(712)				
. Sports, games and	78.6	.094	57306	1900	1923	56647	98.9
amusements	(8.8)	(.0028)	(641)				
. Explosives	37.2	.077	9270	1895	1923	9035	97.5
	(2.3)	(.0017)	(92)				
. Tobacco	52.3	.066	11821	1903	1936	10690	90.4
	(2.4)	(.0013)	(186)				
. Clay articles etc.	42.6	.062	61963	1902	1937	54938	88.7
	(1.7)	(.0012)	(1038)				
. Transport and packing	160.1	.066	122827	1920	1964	92259	75.1
	(7.5)	(.0014)	(4000)				_
. Drying	38.3	.060	18894	1900	1937	16710	88.4
	(1.4)	(.0011)	(300)				• • •
. Measurement of time	33.2	.053	18326	1901	1954	14931	81.5
	(.8)	(.0009)	(358)				•
. Hydraulic engineering	111.4	-065	20128	1915	1960	16163	80.3
and foundations	(5.7)	(.0015)	(599)	1005			<u> </u>
. Water purification etc.	23.3	.052	33345	1895	1938	28188	84.5
	(.9)	(.0014)	(889)				o
. Weaving	19.7	.054	23382	1891	1931	20930	89.5
m	(.7)	(.0012)	(410)	1000			<u> </u>
. Tools and implements	53.4	.071	15687	1902	1932	14701	93.7
Martinez (a. 5.51	(3.2) 70.5	(.0016)	(225)	1000	1000	10701	00 7
Machines (excluding	(4.6)	.091 (.0017)	10437	1899	1923	10301	98.7
classes 46,14)	· ·	.052	(74)	1077	1014	70/0	
. Sugar and starch industry	(.2)	(.0013)	8288 (98)	1873	1914	7869	94.9

Table 1 (continued)

.

<u>Table 2</u>

Trends in German patent applications in the period 1886-1980 on the basis of the logistic function (standard error in brackets)

		log	Coefficients of the logistic function $P = \frac{c}{1+ae^{-bt}}$		Estimated year in which reached		Estimated value for 1981	7/4 in %
Pa	tent class		ъ	с	1/10c	1/2c	•	
	1	2	3	4	5	6	7	8
3.	Wearing apparel	46.3	.077	34161	1898	1926	33104	96.9
		(1.6)	(8000.)	(153)	1050	1520	33104	50.5
5.	Mining	118.7	.068	38385	1914	1957	32195	83.9
	-	(5.0)	(.0010)	(485)				
7.	Mechanical metal working	88.4	.066	46198	1911	1956	38962	84.3
	(1900-1980)	(6.6)	(.0017)	(1020)				
9.	Brushware	107.4	.090	10402	1904	1928	10175	97.8
		(4.6)	(.0010)	(42)				
14.	Machines or engines in	20.5	.063	27295	1890	1924	25877	94.8
	general	(2.5)	(.0035)	(714)				
10.	Manufacture of	92.8	.082	6526	1905	1933	6258	95.9
. 0	fertilizers	(4.7)	(.0011)	(41)				
10.	Metallurgy of iron	162.2	.078	29577	1914	1953	26717	30.3
0	Construction of words	(4.6) 39.1	(.0006)	(150)	1002	1051	20122	85.2
9.	Construction of roads, railways and bridges	(1.4)	.058 (.0010)	34197 (493)	1902	1951	29123	02.4
22	Dyes, paints, etc.	27.7	.048	62304	1900	1957	47646	76.5
	byes, paints, etc.	(.9)	(.0012)	(1669)	1500	1337	47040	/0
32.	Glass	50.0	.049	24572	1912	1968	16314	66.4
	-1000	(1.3)	(.0009)	(749)				
34.	Furniture	37.0	.063	124859	1899	1934	113806	91.1
		(1.2)	(8000.)	(1017)				
15.	Lifting gear	51.6	.062	34659	1905	1951	30049	86.7
		(2.9)	(.0014)	(621)				
37.	Building	54.4	.054	124909	1910	1961	93546	74.9
		(2.1)	(.0012)	(3338)				
¥0.	Metallurgy other than of	66.5	.060	29165	1910	1957	23646	81.1
	iron	(2.0)	(8000.)	(379)				
11.	Headwear	27.1	.069	3495	1892	1924	3358	96.1
.).	Haberdashery, jewellery,	(.8)	(.0008)	(18)	1807	1005	20250	96.9
**.	etc.	37.7 (1.8)	.075 (.0012)	30306	1896	1925	29358	90.9
5	Agriculture	50.0	.069	(203) 112891	1901	1950	104794	92.8
•	Agriculture	(2.0)	(.0010)	(916)	1301	1950	104734	32.0
i6.	Combustion engines	118.4	.085	103841	1908	1933	99774	96.1
		(8.0)	(.0015)	(808)				
:7.	Engineering elements	60.5	.053	235439	1912	1964	168056	71,4
	or units	(2.1)	(.0010)	(6252)				-
8.	Work.&treatm.of metals	194.0	.067	30937	1922	1966	22718	73.4
	other than by mech.means	(7.1)	(.0009)	(529)				•
9.	Machine tools	33.5	.053	95906	1901	1953	78278	81.6
		(1.0)	(.0009)	(1470)	·			
58.	Presses	41.4	.035	19815	1920	1995	7669	38.7
		(3.6)	(.0011)	(2330)				

	log	ficients istic fun $P = \frac{c}{1+ae}$	ction	Estimated year in which reached		Estimated value for 1981	7/4 in %
Patent class	•	Ъ	c	1/10c	1/2c	-	
1	2	3	4	5	6	7	8
65. Ships	48.3 (3.9)	.076 (.0020)	34887 (398)	1899	1928	33561	96.2
71. Footwear	75.5 (2.8)	.087 (.0009)	30123 (109)	1901	1926	29544	98.1
77. Sports, games and amusements	60.6 (7.1)	•085 (•0028)	60967 (746)	1899	1925	59690	97.9
78. Explosives	33.6 (2.1)	.073 (.0016)	9664 (91)	1895	1925	9329	96.5
84. Hydraulic engineering and foundations	107.9 (4.9)	.062 (.0012)	22511 (520)	1917	1963	16930	75.2
89. Sugar and starch industry	7.1 (.2)	.052 (.0011)	8331 (73)	1873	1914	7895	94.8
Total number of patent applications (1886-1973)	66.0 (2.7)	.065 (.0010)	4851460 (57614)	1907	1952	4215220	86.9
Sum of the estimates of pat ent applications in individ classes (1886-1973)		.063 (.0002)	4312370 (12200)	1904	1950	3792300	87.9
Total number of patent applications (1886-1979)	71.8 (3.3)	.067 (.0011)	4796270 (63209)	1907	1940	4205900	87.7
Sum of the estimates (1886- 1979) of patent application in individual classes		.063 (.0002)	4288740 (12250)	1904	1939	3752640	87.5

<u>Table 3</u>

Trends in USA patent applications in the classes corresponding to the German classification in the period 1886-1957, on the basis of the logistic function (standard error in brackets)

	Coefficients of the logistic function $P = \frac{c}{1+ae^{-bt}}$			Estimated year in which reached		Estimated value for 1981	7/4 in %
Patent class		b	c	1/10c	1/2c	_	
1	2	3	4	5	6	7	8
<pre>[the figures refer to the corresponding German class(es)]</pre>						<u>, , , , , , , , , , , , , , , , , , , </u>	
122. Construction 19,37,84,85	26.0 (.6)	.069 (.0007)	81226 (512)	1892	1924	79698	98.1
170. Railroads 20	19.2 (.5)	.086 (.0008)	93200 (292)	1885	1911	92980	99.8
176. Spinning I 76	11.2	.049	6728 (105)	1881	1926	6298	93.6
180. Spinning II 76	18.5	.051	12745	1890	1933	11770	92.3
194. Weaving 86	20.6	.065	17338 (258)	1889	1924	16953	97.8
312. Paper processes	30.7	.065	19693 (252)	1895	1929	19066	96.8
55 313. Paper products 11.54	40.6 (.8)	.064	23745	1900	1934	22686	95.5
324. Fertilizers 16	35.9	.054	2694 (138)	1902	1943	2397	89.0
390. Stone, clay	21.5	.065	40466 (323)	1890	1924	39511	97.6
80 438. Shoemaking	18.0 (.4)	.060	18986 (270)	1888	1925	18383	96.8
71 444. Tobacco	7.9 (.4)	.051	4186 (148)	1874	1917	4042	96.6
79 458. Glass 32	15.1	.072	6533 (41)	1884	1914	6481	99.2

In the following pages a brief interpretation is given of the results of the estimate with regard to age at the time of the peak and the rates in the individual patent classes. The data on the age of the area of technology are summarized in Table 4 below.

TABLE 4

Sequence in which 10 % and 50 % of the upper limit were reached in the individual patent classes.

Year	10 %	50 %
1873	89	
1880	6	
1883	26	
1885	51,56	
1887	60	
1890	13,14	
1891	41,64,86	
1892	52	
1893	4	
1895	20,78,85	
1896	44,70	
1897	50,68,72	
1898	3,38	
1899	28,34,65,77,88	
1900	22,33,36,69,82	
1901	2,15,24,25,45,49,53,55,71,83	
1902	11,19,80,87	
1903	10,27,59,73,79	
1904	1,8,9,61,66,76	
1905	16,35,54,74	
1906	30,63	
1907	23,75	
1908	46,67	
1909	43	60
1910 1911	37,40	60
1911	17,32,47	26
1913	29,57	
1914	5,18,42	89
1916	21,31	56
1917	84	51
1919		4,6
1920	58,81	13
1922	48	
1923		20,72,88
1924	12	14,41,64

TABLE 4 (continued)

Year	10 %	50 %
1925	·	28,44,52,77,78
1926		13,10,70,71
1927		68
1928		2,9,24,65
1929		33,54,74
1930		15,53
1931		69,86
1932		38,87
1933		16,46,61,66
1934		1,11,30,34,36,63
1935		55
1936		67,79
1937		25,80,82
1938		43,85
1950		29,45
1951 1952		19,23,35
1953		8 17,18,49,50,57,75
1954		27,83
1955		21
1956	39	7
1957		5,22,40,73
1958		59,76
1961		37
1962		42
1963		84
1964		47,81
1966		48,31
1968		32
1972		12
1983		39
1995	1	58

This table shows the patent classes which in the various years reached either 10 % or 50 % of the upper limit.

The oldest class is Class 89 "Sugar and starch industry", which reached the 10 % level in 1873. In second place is Class 6 "Zymology", which reached this level in 1880. These are followed by Classes 26 "Production of gases", 51 "Musical instruments", 56 "Upholstery and saddlery" and 60 "Hydraulics and pneumatics". Most of the classes reached this level between 1895 and 1908. The youngest class of all is Class 39 "Working of plastic masses, etc.". From Table 4, the corresponding sequence in which the patent classes reached 50 % of the upper limit can also be determined. This shows the time at which the individual classes reached their peak. In first place here is Class 60 "Hydraulics and pneumatics" in 1910. It is followed by Classes 26 "Production of gases", 89 "Sugar and starch industry", 56 "Upholstery and saddlery", 51 "Musical instruments", etc.

Most of the classes reached their maximum annual increase in patent applications between 1923 and 1958.

Class 58 "Presses" is still a long way from reaching its maximum annual number of patent applications. It is followed immediately by Classes 39 "Working of plastic masses, etc." and 12 "Chemical processes".

The comparison of the patent classes by development rates is possible on the basis of Table 5, in which the various classes were classified according to the value of parameter b.

TABLE 5

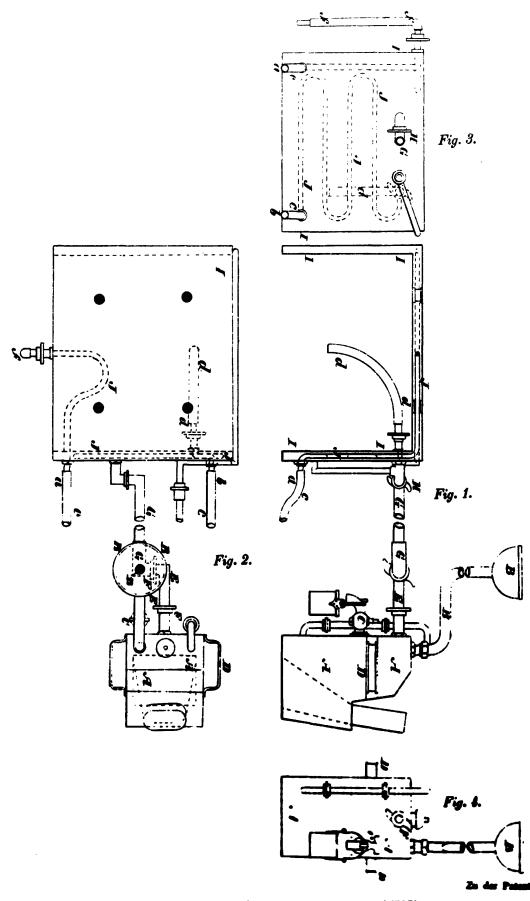
Order of the patent classes according to parameter b of the logistic function.

Range	Patent classes
> .039	50, 58, 59
> .049	6, 8, 12, 22, 27, 31, 32, 37, 47, 49 73, 76, 83, 85, 86, 89
> .059	5, 19, 23, 25, 34, 35, 36, 38, 40, 42, 48, 51, 52, 55, 64, 75, 79, 80, 81, 82, 84
> .069	1, 3, 7, 11, 13, 15, 17, 18, 20, 21, 30, 33, 39, 41, 43, 44, 45, 53, 56, 57, 61, 63, 66, 67, 68, 69, 70, 78, 87
> .079	2, 4, 16, 24, 28, 29, 65, 72
> .089	9, 46, 54, 60, 71, 74, 77, 88
> .099	10, 14

The three classes with the slowest development are Classes 50 "Grinding and pulverizing", 58 "Presses" and 59 "Pumps". Classes 10 "Fuels" and 14 "Machines or engines in general" developed the most rapidly.

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Heissluftbad für Kranke.

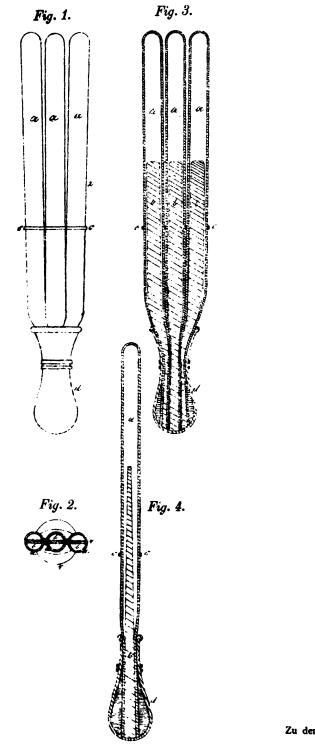


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Muskelklopfer.



Zu der Patentschrift

№ 153.

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The classes which developed relatively quickly include Classes 46 "Combustion engines", 60 "Hydraulics and pneumatics", 71 "Footwear", 74 "Signalling" and 88 "Machines driven by wind, etc.".

In the following pages, trends in patent applications in the various classes are analysed on the basis of the time series and the estimated parameters of the logistic function.

Class 1 "Preparation of ores, etc." clearly reached its peak in the first half of the 1930s. Up to that time, patent applications showed a rising trend but then clearly began to drop off.

The same pattern is also shown by Class 2 "Baking", although it reached its peak somewhat earlier, i.e. in the second half of the 1920s.

Even older is Class 3 "Wearing apparel", which reached its peak as early as the period before the First World War.

Class 4, which covers lighting through fuels, etc., reached its peak in the second decade of this century. This trend reflects the rivalry between gas and electric lighting. The battle was decided first and foremost by the invention of the process for the production of tungsten wire, which could be used in the manufacture of the actual bulbs.

Class 5 "Mining" did not reach its peak until after the Second World War.

The maximum annual number of patent applications in Class 6 "Zymology" is not clearly discernible. The trend in this time series is dominated by a number of relatively long waves in the annual number of patent applications. The peak of the first wave is at the beginning of this century, while the second and third waves peak at the end of the 1920s and in the 1970s, respectively.

Class 7 "Mechanical metal working without essentially removing material" seems to be still on an upward trend.

Class 8 "Bleaching, washing, dyeing, printing of cloth and tapestry and finishing" seems to show a similar trend.

Inventive activity is clearly on the wave in Class 9 "Brushware", which reached its peak in the second half of the 1920s.

Class 10 "Fuels" also seems to have reached its peak in the 1920s, as does Class 11 "Bookbinding, etc.".

One of the classes with the biggest growth which have probably not yet reached their peak is Class 12 "Chemical processes and apparatuses". Of note is the increase in this class's share of the total number of patent applications. At the beginning of our time series this share was a little over 1 %, whereas at the end this class accounted for almost 10 % of the total number of patent applications in the Federal Republic of Germany.

Class 13, which covers steam boilers, reached its peak in the first half of the 1920s, Class 14 "Machines or engines in general" somewhat earlier.

Class 15 "Printing" peaked at the end of the 1920s, shortly before the beginning of the great world economic crisis. Class 16 "Manufacture of fertilizers" also reached its peak at the same time.

Class 17 "Refrigeration or cooling, storage of ice, heat transfer, etc." seems to be gaining fresh impetus over and over again. Its share of the total number of patent applications shows a rising trend and in the 1970s exceeded its pre-war peak.

Class 18 "Metallurgy of iron" seems to have reached its peak after the Second World War at the end of the 1950s. The drop in patent applications in this class seems unstoppable in the last two decades.

Class 19 "Construction of roads, railways and bridges" reached its peak at the beginning of the 1930s. However, the drop in the number of patent applications per year did not continue after the Second World War. The situation in Class 20 "Railway transport" seems very clear : the peak was reached in the mid-1920s. Since that time the annual number of patent applications has been steadily falling, although this trend has slowed down since the Second World War.

Class 21 "Electrical engineering" shows a trend similar to that of Class 12 "Chemical processes". This class too has acquired an increasing share of the total number of patent applications. With a share of around 14 % in the 1970s, this class took the lead in the patent classification. Nevertheless, certain signs of exhaustion have become apparent here, too, in the last two decades.

Class 22 "Dyes, paints, etc." reached its first peak at the end of the 1920s. After the Second World War it was given a fresh boost which took it beyond the pre-war peak.

Class 23 "Oils and fats" has been around its peak in the last couple of decades.

The classes showing a clear trend include Class 24 "Furnaces, etc.", whose peak was indisputably in the 1920s.

Class 25 "Braiding, lace-making, knitting, etc." reached its peak before the Second World War.

The trend in Class 26 "Production of gases" is closely linked to that in Class 4, which includes gas lighting in particular. This class had an exceptional upswing around the turn of the century. After the final victory of electric lighting, it too has stagnated, albeit over a long period.

A long-term rise until the mid-1950s is shown by Class 27 "Fans, air pumps and compressors".

Class 28 "Tanning and treatment of skins and hides, leather industry" is one of the classes which have long since passed their peak; this particular class reached its peak in the 1920s.

Class 29 "Yarns" has been around its peak since the 1930s.

Although Class 30 "Medical science" reached its peak before the Second World War, it has shown a further upswing since the mid-1960s. This is obviously linked to the introduction of patent protection of chemical compounds.

Class 31 "Industrial furnaces; casting; powder metallurgy", which showed moderate growth for decades, showed a significant upturn in the 1960s and 1970s. This is obviously due to developments in the field of powder metallurgy.

Class 32 "Glass; mineral and slag wool" gives the impression of a technological breakthrough. This class perhaps provides a good example of the conversion of the traditional logistic function into a series of logistic functions, i.e. a step function.

Class 33 "Hand and travelling articles" has been on the decline since the beginning of the 1930s. Its share of the total number of patent applications has also been falling steadily. The same applies to Class 34 "Furniture; domestic articles or appliances".

Although Class 35 "Lifting gear" reached its peak before the war, it has since remained at more or less the same level. Class 36 "Heating, ventilation, hot water supply in buildings" also reached its peak before the Second World War.

Class 37 "Building" clearly shows a rising trend.

The trend in Class 38 "Wood working by mechanical and chemical means" follows the well-known pattern, with a clear decline after the Second World War. This class reached its peak before the war, namely at the end of the 1920s. Its share of the total number of patent applications is falling year by year.

Class 39 "Working of plastic masses" is one of the most expansive classes in the whole patent classification. Over the hundred years analysed, its share of the total number of patent applications has risen from a few per thousand to more than 5 % in the 1970s. Only in the last decade a fall-off in the growth rate is apparent. It is impossible to say, however, to what extent this is due to long-term and/or cyclical causes.

Class 40 "Metallurgy other than of iron" is going through a second upswing. It seemed to have reached its peak before the war, but it has gained fresh impetus since the end of the Second World War.

Class 41 "Headwear, felts" is one of the classes which have long since passed their peak. However, the trend in this class shows two peaks, namely shortly before the First World War and at the end of the 1920s. Since then, its decline seems unstoppable. This is also reflected in its steadily declining share of the total number of patent applications.

Class 42 "Instruments" is one of the most dynamic classes. Its share of patent applications has grown from around 3 % at the beginning of our time series to over 8 % in the 1970s.

Class 43 "Checking devices" is characterized by a series of long waves. The corresponding peaks came in the mid-1900s, at the end of the 1920s and in the mid-1960s.

Class 44 "Haberdashery, jewellery, snuff takers' and smokers' requisites" reached its peak at the beginning of the 1920s, with more than 1000 patent applications per year; since then it has been in decline in both absolute and relative terms.

The greatest number of patent applications in the agricultural sector (Class 45) was reached at the end of the 1920s. Since then, the number of applications in this class has been falling not only in absolute but also in percentage terms.

In Class 46 "Combustion engines" innovation potential reached its peak in the 1920s. Up to that time, this class increased its share of patent applications from around 1.3 % to over 4 %. This was followed by a decline in both absolute and relative terms, bringing this class's share down to almost its original level.

Class 47 "Engineering elements or units", which covers general inventions in the field of mechanical engineering, is one of the classes to show growth over the whole reference period. The rise is even sharper in Class 48 "Working and treatment of metals other than by mechanical means". As this class covers mainly chemical and electrical methods of metal working, it is understandable that it shows a trend similar to that of the classes "Chemical processes" and "Electrical engineering".

Class 49 "Machine tools" still shows an upward trend, although its rate of growth has slowed down since the war.

Class 50 "Grinding and pulverizing" reached its peak shortly after the Second World War. Since then, it has been declining both in absolute and in relative terms.

Class 51 "Musical instruments", characterized by a relative decline over the whole of the reference period, has shown a downward trend in absolute terms too since the mid-1920s. Class 52 "Sewing and embroidering" covers a fairly old and technically exhausted branch. Its peak came before the First World War and its share of patent applications shows an almost uninterrupted decline.

Class 53 "Foods and foodstuffs" clearly reached its peak before the Second World War, namely at the beginning of the 1930s. The same applies to Class 54 "Working of paper and board and products thereof". Class 55 "Production of cellulose, paper and board" shows a fairly similar trend, although it has differed since the Second World War. Whereas Class 54 is characterized by a clear decline, the trend in Class 55 can be described as stagnating.

Class 56 "Upholstery and saddlery" reached its peak as early as the first decade of this century. Of note is a temporary rise in the second half of the 1920s.

Class 56 "Photography, cinematography and sound film" shows two clear peaks : the first at the beginning of the 1930s, the second in the 1970s following an uninterrupted rise in the post-war period. A class which has shown a clear upward trend in the last couple of decades is Class 58 "Presses". Class 59 "Fluid pumps and other hydraulic lifting devices" shows three clear peaks : the first shortly before the First World War, the second at the end of the 1920s and the third in the mid-1960s.

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Class 60 "Fluid-pressure actuators, hydraulics and pneumatics" has shown a dramatic rise since the end of the 1960s. This is obviously linked to the development of automation.

The picture in Class 61 "Life-saving and fire-fighting" is characterized primarily by a sharp rise in the period between the two wars. This rise interrupted the steady decline in this class's share of the total number of patent applications for a couple of decades.

Class 62 "Aviation" was not made a separate class until after the First World War. Its upward trend was unusually dynamic, but after the defeat of Germany it was unable to regain its former level. Although the number of patent applications is rising, the rate is slower and at a much lower level than before the Second World War. This is without doubt a reflection of the special conditions for the development of this branch in post-war Germany.

Class 63 "Trackless vehicles" reached its peak in the period between the two wars, namely in the second half of the 1920s.

Class 64 "Distribution of alcoholic liquors" shows two peaks : the first before the First World War, the second at the beginning of the 1930s. Its share of patent applications shows a more steady decline.

The number of patent applications in Class 65 "Ships and other waterborne vehicles" peaked shortly before the First World War, with a second rise at the end of the 1920s. The peak for Class 66 "Butchering and meat treatment" came at the end of the 1920s and at the beginning of the 1930s slightly overlapping the period of economic crisis. A similar trend can be seen in Class 67 "Grinding and polishing".

The peak in Class 68 "Articles of the locksmithing trade" came somewhat earlier, namely at the beginning of the 1920s.

A clear trend is shown by Class 69 "Cutting tools", with an upward movement up to the beginning of the 1930s. After the Second World War there was a clear decline, but this seems to have been halted since the beginning of the 1960s.

Class 70 "Writing and drawing appliances" reached its peak at the beginning of the 1920s.

Class 71 "Footwear" shows a clearly logistic trend pattern. Its peak came in the 1920s.

It comes as no surprise that the trend in patent applications in Class 72 "Firearms, ammunition, fortifications" is closely linked to the wars. The rise a few years before the First World War seems to herald this war. Contrary to the trend in most of the other classes, the number of patent applications continued to rise during the First World War. The clear rise in the number of patent applications in this class in the 1930s is also highly significant. After the Second World War the trend in this class no longer seems to be a sensitive indicator of the war situation. On the one hand, other weapons seem to be more appropriate in this respect, while on the other hand the significant inventions are apparently not patented as they were before. In addition, Germany is no longer representative of the production of war weapons.

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In view of its minor importance, Class 73 "Ropes" is not surprisingly one of the classes which barely show a significant trend.

The trend in Class 74 "Signalling" reached its peak in the period before the Second World War, namely at the end of the 1920s.

Class 75 "Sculpture, painting, ornamentation of surfaces" shows three peaks : the first at the end of the 1900s, the second at the end of the 1920s and the third in the mid-1960s. This class's share of the total number of patent applications shows no significant variations. Class 76 "Spinning" reached its peak after the Second World War. It has remained around this level in the last couple of decades.

The trend in Class 77 "Sports, games and amusements" is obviously distorted because up to the beginning of the 1920s inventions in the field of airship navigation were also included in it. This explains the rapid growth of patent applications in this class in the first two decades of the century.

Some of the comments concerning Class 72 "Firearms" also apply to Class 78 "Explosives, etc.". At least for the period before the First World War, and for the war years, the connection with the war preparations and the conduct of war is quite clear. However, a similar trend is not apparent for this class in the 1930s.

Class 79 "Tobacco, cigars, cigarettes" reached its peak at the end of the 1920s and at the beginning of the 1930s, as did Class 80 "Clay articles, stones, chalk, cement etc".

Class 81 "Transport and packing" is one of the classes to show growth over the whole period. Class 82 "Drying" shows a decline in relative terms over the whole period and in absolute terms starting at the end of the 1920s. Although Class 83 "Measurement of time" reached its peak before the Second World War, it has shown a further upward trend since the mid-1960s.

The trend in Class 84 "Hydraulic engineering and foundations" is obviously linked to general economic trends. Class 85 "Mineral and soda water, water purification, water supply and sewerage" shows three peaks : the first at the end of the 1900s, the second directly before the economic crisis at the end of the 1920s and the third in the first half of the 1970s.

One of the branches which showed a further upward trend over one or two decades is Class 86 "Weaving". This branch reached its first peak around 1910 and its second at the beginning of the 1930s. A similar trend is shown by Class 87 "Tools and implements". A number of technological breakthroughs can be seen in Class 88 "Machines driven by wind or water power". A further upswing seems to be in the offing in recent years.

The last class in the patent classification is Class 89 "Sugar and starch industry" which can be regarded as one of the oldest. Its peak came at the beginning of our reference period, and since then this class has been at a steady decline in both absolute and relative terms.

Comparison of the Estimated Results of the Logistic Function for Two Different Reference Periods

For some of the patent classes it was possible to show trends in patent applications beyond the period covered by the German patent classification, i.e. up to 1980. Approximately a third of the total number of patent classes are involved.

In general, the estimates remain very stable. This can be verified for individual classes by comparing the year in which 50 % of the upper limit c was reached.

For the various classes these years are as follows : (The first year refers to the estimate with the reference period up to 1973, the second to that with the reference period up to 1980).

Class 2	"Wearing apparel"	1926, 1926
Class 5	"Mining"	1957, 1957
Class 7	"Mechanical metal working"	1951, 1956
Class 9	"Brushware"	1928, 1928
Class 14	"Machines or engines in general"	1918, 1924
Class 16	"Manufacture of fertilizers"	1931, 1933
Class 18	"Metallurgy of iron"	1953, 1953
Class 19	"Construction of roads, railways and bridges"	1938, 1951
Class 22	"Dyes"	1951, 1957
Class 32	"Glass"	1963, 1968
Class 34	"Furniture"	1932, 1934
Class 35	"Lifting gear"	1937, 1951
Class 37	"Building"	1959, 1961
Class 40	"Metallurgy other than of iron"	1955, 1957
Class 41	"Headwear"	1923, 1924

Class 44	"Haberdashery"	1923, 1925
Class 45	"Agriculture"	1932, 1950
Class 46	"Combustion engines"	1931, 1933
Class 47	"Engineering elements or units"	1961, 1964
Class 48	"Working and treatment of metal other than by mechanical means	1972, 1966
Class 49	"Machine tools"	1950, 1953
Class 58	"Presses"	1970, 1995
Class 65	"Ships"	1926, 1928
Class 71	"Footwear"	1925, 1926
Class 77	"Sports"	1923, 1925
Class 78	"Explosives"	1923, 1925
Class 84	"Hydraulic engineering and foundations"	1960, 1963
Class 89	"Sugar and starch industry"	1914, 1914

Comparison of Trends in Germany and America

Table 3 sets out the results of the estimate of the logistic function for certain USA patent classes which are comparable with the German patent classes. The comparison can be made in respect of all the estimated parameters. Here it is limited to the time at which 50 % of the upper limit was reached in one country or the other.

The German classes 19, 37, 84 and 85 are comparable with the class "Construction", which reached its peak in 1924; they reached 50 % of the upper limit in 1938, 1959, 1960 and 1938, respectively.

German patent Class 20, which reached its peak in 1923, is comparable with the patent group "Railroads", which in the USA reached its peak in 1911.

Two American classes cover "Spinning", reaching their peak in 1926 and 1933, respectively. The corresponding German Class 76 reached its peak in 1958.

The American class "Weaving" reached its peak in 1924, the corresponding German patent Class 86 in 1931.

The American Class 312 reached its peak in 1929, the comparable German Class 55 "Production of cellulose, paper and board" in 1935. The peak for paper products came in 1934 in the USA, compared with 1929 in the corresponding Class 54 in Germany.

The American class "Fertilizers" reached its peak in 1943 and is comparable with Class 16 in the German patent classification, which reached its peak in 1933.

Class 390 in America reached its peak in 1924, whereas the comparable German Class 80 "Clay articles, etc." did not do so until 1937.

The patent class "Shoemaking" reached its peak in 1925 in the USA, as did the comparable German Class 71. The American Class 444 reached its peak in 1907, whereas the corresponding Class 79 in Germany "Tobacco, cigars, cigarettes" did not do so until 1936.

Lastly, Class 458 in the USA reached its peak in 1914, whereas the corresponding German Class 32 "Glass" did not do so until 1963.

It can be seen on the whole that America was somewhat ahead of Germany. With one or two exceptions, the peaks in the corresponding American patent classes were reached several years earlier than in Germany. This observation is in keeping with the USA's presumed technological lead in most areas and increases confidence in the information provided by the time series of patent applications.

B. Analysis and Interpretation of Trends in Patent Applications on the Basis of the Spline Function *)

Up to the end of the Second World War the time series of patent applications refer mainly to the territory and polulation of the German Reich and after the Second World War to the territory and population of the Federal Republic of Germany. This analysis does not take account of minor adjustments connected with the outcome of the First World War and later with the annexation of Austria and the establishment of the Protectorate of Bohemia and Moravia.

This undoubtedly complicates the analysis of trends in patent applications and comparison of the figures for before and after the Second World War.

The English word "*spline*" means to wedge or groove. It was taken from shipbuilding and designates a way of making the continuously curved outer shells of ships from wood or metal by bending round a rigid support.

^{*)} By using the *spline* function in the calculation of time trends, different trend periods can be smoothly connected together. For this purpose the time variable, which in the trend functions serves as an independent explanatory variable, is replaced by a number of time variables which always have their beginning at the time of the trend turning point. In our trend calculation, the first time variable begins in 1877 with the value 1 and the second trend variable in 1953 (1953 = 1).

The most important factor affecting a comparison of this type is the existence of the German Democratic Republic. The number of patent applications by nationals would be greater if it referred to the total population of both German states and not only to that of the Federal Republic. Apart from the existence of the GDR, the comparison with the pre-war period is affected by other population trends in the war years and the immediate post-war period, namely the population losses as a result of the war and the expulsion of the German population from the eastern European countries. It should be noted here that the refugees came not so much from the Reich territory but rather from other territories such as Czechoslovakia and Poland.

This study does not try to take all these processes into account and calculate their influence on trends in patent applications. A calculation of this type is absolutely impossible. The only possibility is a hypo-thetical calculation that can give some idea of the magnitude of this influence.

By and large, it is sufficient to consider just the existence of the GDR for this purpose. In this way account is also taken of the proportion of expellees from the East in the population of the two German states.

Two different methods were used to arrive at a rough estimate of the magnitude of these influences : one possibility was to add domestic patent applications in the GDR to those in the Federal Republic. The second was to carry out a conversion on the basis of the population figures of the two German states.

For example, in 1970 approximately 66,000 patent applications were filed in the Federal Republic. Roughly 35,000 of these were from foreigners, including around 1300 from citizens of the GDR. In this year, the population of the Federal Republic was 60.71 millions and that of the GDR 17.06 million. If the number of domestic patent applications is now increased in line with these population ratios and the patent applications from the GDR in the Federal Republic are deducted, the total number of patent applications goes up from 66,000 to approximately 74,000. It thus seems that under conditions comparable with these in the pre-war period the total number of patent applications after the war was roughly 10 % higher.

In order to check this calculation, the comparison with the number of domestic patent applications in the GDR can also be used. In the 1960s and 1970s, this number fluctuated between 4,000 and 6,000 per year and is thus somewhat lower than our calculated figure, but not in rank. The lower intensity of patent applications in the GDR compared with the Federal Republic may be linked to various factors which will not be discussed in detail here, e.g. the economic structure, the distribution of specialists and their training, the nature and tendencies of the economic system, etc.

On the basis of this approximate comparison, it can, however, be stated in general that the variation in relevance for our purposes does not amount to much more than 10 % of the total number of patent applications in the Federal Republic. It is also important to note that our analysis is concerned more or less with changes of the level between the pre- and post-war periods and not so much with recurring long-term trends.

The present calculation refers to the total number of patent applications.

It is not assumed, however, that the factors analysed have the same effects in all patent classes. Rather it can be assumed that in some classes – as a result of the different economic structure of the Federal Republic – the proportions have systematically moved either upwards or downwards compared with pre-war Germany.

The structural break in the trend for certain patent classes was taken into account in the calculation of trends in individual classes by means of a spline function. In most cases, the role of the areas of technology and production branches in overall technological development has systematically changed over the last 100 years analysed. Only a small group of patent classes (approximately a tenth) shows no systematic change of the trend during the reference period. In most cases, the development of the number of patent applications in the individual classes as a proportion of the total number of patent applications shows clear trends. The patent classes which show only a single time trend include, for example, Nos 69 "Cutting tools", 20 "Railway transport", 55 "Production of cellulose, paper and board", 44 "Haberdashery, etc.", 4 "Lighting through fuels and pre-heating torches", 80 "Clay articles, etc.", 34 "Furniture", 83 "Measurement of time" and 76 "Spinning".

Many other patent classes show two or more time trends : for example, the trend in Class 39 "Working of plastic masses, etc." shows three periods.

Between 1885 and the beginning of the Second World War this class's share of all patent applications rises steadily. After the Second World War, this trend gathers considerable pace until round about the beginning of the 1960s, after which it stagnates. A steady increase in its share of total applications is also shown by Class 42 "Instruments". The positive trend in this class was even more marked after the Second World War. The steady increase for Class 81 "Transport" is exceptionally clear. Here again, there was a marked speeding up after the Second World War.

We shall also give some examples of negative trends. The patent classes concerned are those whose share of the total number of patent applications fell consistently. Here too, a distinction can be made between cases showing a single significant time trend and those with one or more trend shifts and two or more trend lines. Whereas in Class 80 "Clay articles" or Class 34 "Furniture" there is only one significant trend, Class 38 "Wood working", for example, shows a faster decline in its share of total patent applications after the Second World War.

In many patent classes, there was a positive or negative shift in the trend level after the Second World War, e.g. Class 38 "Wood working". The trend after the Second World War in this class does not show a continuation of the pre-war trend line. The section after the Second World War shows an upward shift. However, the fall was sharper after the Second World War. Like many others this case reflects an important fact, namely that the situation after the Second World War is brought out in the Federal Republic of Germany by a structural change of the production of technological know-how. This fact is clearly expressed in the diagrams for individual classes and could also be quantified on the basis of the calculation of the trend function. The causes of shifts of this kind are linked on the one hand to the above-mentioned changes of the territory and population of the Federal Republic of Germany to developments in the rest of the world, from which Germany was cut off for almost 20 years.

In order to take account of the most important developments in the structure of patent applications in the last hundred years and above all to consider the clusters in this pattern, a modified trend function was calculated. Instead of calculating a simple dynamic time trend function of individual classes' shares of patent applications, a spline time trend function (which makes it possible to record at least one trend shift) was calculated for the most important period in which there were many breaks in the pattern, namely after the Second World War. The spline function, which calculates two time trends, was further modified by using a dummy variable for the period after 1953 in order to also take account of upward or downward shifts in the trend level after the Second World War.

The formula for the estimated time trend function is as follows :

$$\hat{k}_n = \hat{a}_0 + \hat{a}_{53}D_{53} + \hat{b}_1t + \hat{b}_{53}t_{53}$$

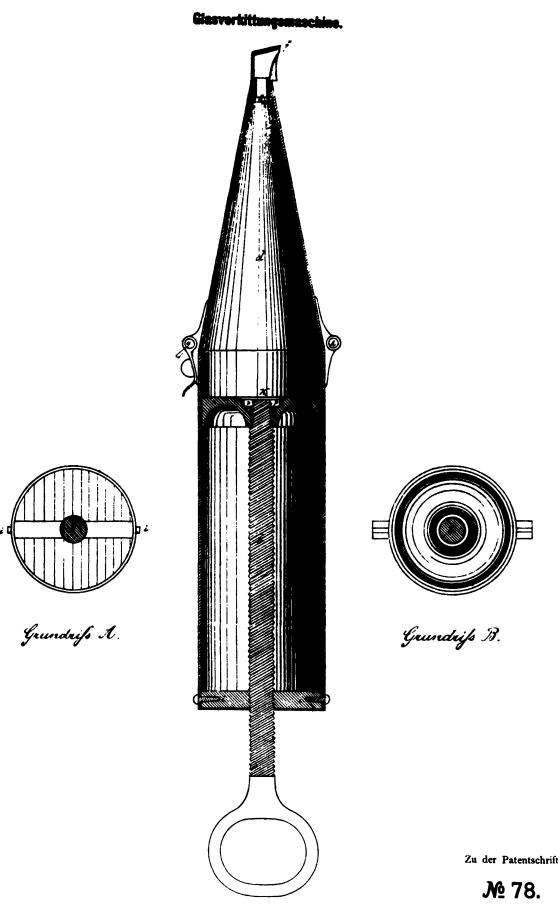
in which :

k n	= patent classes' estimated share of the total number of patent applications
D ₅₃	= dummy variable for the years after and including 1953
t	= time variable
t ₅₃	= spline time variable for the years from 1953 onwards
â o, â 53, ^ĥ 1, ^ĥ 53	= estimating coefficients

The results of the estimate of this modified time trend function are set out in Table 6 showing the following statistical values (see pp. 83 - 85).

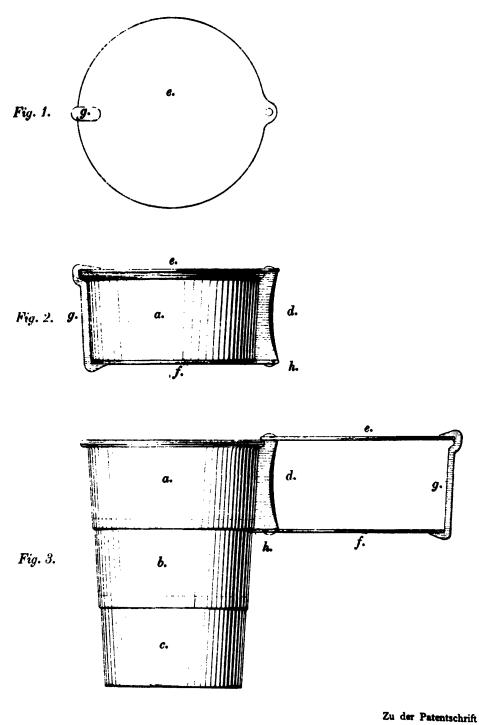
The constant of the function denotes the estimated share of the corresponding patent class at the beginning of the time series, e.g. 4.31 per 1000 for Class 75 "Sculpture". This value can be compared with the mean value of the class's shares, denoted in the last column by MV. We have already mentioned a clear shift in level after the Second World War in Class 38 "Wood working". At the beginning of the time series the value of the constant is 14.91 for the trend level. After the Second World War this level moves upwards by 6.32 per 1,000, as shown in the dummy 53 column. The third column shows the value of the time trend. The figure given shows by how many per thousand the share of the relevant patent class moves upwards or downwards in a year. In the fourth column the change of the time trend is calculated, beginning in 1953. For the period after the Second World War this figure must be added to the value of the time trend in the third column. This can be illustrated by the example of Class 3 "Wearing apparel".

CARL BENDER IN SONNENBERG.



PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

1. HAILER IN ESSLINGEN (WURTEMBERG). Roise- und Feidbecher.



PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

№ 68.

Parameters of the trend function of the proportion (per 1,000) of patent applications by class in the total number of patent applications in Germany (FR) in the period 1885 – 1974 (t-statistics in brackets).

Class		Con- stant	Dummy 53	Time trend	Change in time trend in 1953	R ² DW	F-Sta- tistics	MV Sd
Grou	p1 (only d	constant sign	nificant)					
75. Scul	pture	4.31	47	.019	056	.089	3.5	5.31
Grou	p2 (only c	(7.4) constant and	(.5) dummy 53 si	(1.3)	(.9)	.12		1.79
15. Prin	ting	15.73 (23.8)	-6.02 (5.3)	.007 (.4)	.013 (.2)	.617 .14	41.3	14.41
65. Ship	S	11.28	-6.42	.013	.012	.470	23.2	10.13
72. Fire	arms	(12.5) 16.02	(4.1) -9.12	(.6) 045	(.1) .132	.09 .257	9.7	3.66
,		(6.4)	(2.1)	(.7)	(.5)	.20	211	8.55
77. Spor	ts	20.16 (6.8)	-11.06 (2.2)	· .036 (.5)	189	.243	9.0	18.15
79. Toba	eco	2.79	67	.006	(.6) 001	.06 .120	4.4	10.01
		(18.2)	(2.6)	(1.5)	(.1)	.14		.48
68. Mach: 46,	ines (excludi	ing 4.25 (13.4)	-2.14 (3.9)	005 (.7)	026 (.8)	.634 .11	44.3	3.27
	<u>3a+</u> (Dummy					.11		1.55
39. Work mass	ing of plasti es	ic 1.05 (1.0)	14.07 (8.0)	.148	1.512	.975	968.2	17.64
42. Inst		28.02	2.98	.243	(14.4) .915	.09 .942	408.0	19.10
48. Work	.&treatm.of n	net- (25.8)	(1.6)	(8.7)	(8.2)	.13		13.35
⁴⁰ . al o mech	.&treatm.of m ther than by anical means	1.96 (9.8)	2.47 (7.2)	.033 (6.4)	.075 (3.7)	.941	402.8	4.58
81. Tran		2.75	1.24	.306	.147		1812.1	19.08
		(7.2)	(1.9)	(31.0)	(3.7)	.21		9.70
Grou	<u>p 3a -</u> (Dummy	7 53- signifi	cant, time	trend and cl	hange +)			
	furnaces;cast		-1.25	.023	.320	.836	128.1	5.68
-	er metallurgy ography, cine		(2.4) -12.24	(2.9) .353	(10.2)	.17 .877	170 0	2.24
	phy & sound f		(9.8)	(17.9)	(2.1)	.13	178.8	15.06
-	aulic enginee		48	.049	.029	.912	249.2	3.55
	foundations	(8.3)	(2.0)	(13.6)	(2.0)	.15		1.35
Group	<u>3b</u> + (Dummy	53+ signifi	cant, time	trend + and	change -)			
5. Minis	ng	4.20	7.39	.039	420	.849	141.1	6.92
18 Moto	llurgy of irc	(11.6) an 1.70	(11.9) 1.62	(4.2) .094	(11.4) 309	.17 .751	76.5	2.74 5.86
TO: Meta	TTUREY OF THE	(4.5)	(2.5)	(9.5)	(7.9)	.09	/0.5	2.26
Grou	<u>p 3b -</u> (Dummy	7 53- signifi	cant, time	trend + and	change -)			
2. Bakin	ng	3.54	-1.59	.013	085	.673	52.5	3.46
7		(16.6)	(4.3)	(2.4)	(3.9)	.17		1.10
7. Mecha worki	anical metal	1.52 (2.1)	-4.91 (3.9)	.177 (9.4)	110 (1.5)	.611 .10	40.3	8.69
9. Brush	•	2.33	-1.90	.028	106	.818	113.4	3.45
10 5.01		(16.5)	(7.8) -4.30	(7.6) .049	(7.3)	.18		.98
10. Fuels	6	3.44 (9.7)	(7.1)	(5.4)	144 (4.0)	.726 .12	67.4	4.20
	facture of	1.59	67	.009	048	.429	18.1	1.72
ferti	ilizers	(10.6) stor= 2.38	(2.6) -1.06	(2.4)	(3.1)	.17		.58
age o	iger./cooling of ice, heat	trans-(9.8)	(2.5)	.093 (14.8)	108 (4.3)	.845 .13	137.0	6.4c
21. Elect	rical enginn	er- 3.50	-21.33	2.191	-2.014	.947	448.6	
ing 24. Furne	ices etc	(1.0) 13.38	(3.6) -4.93	(24.7) .039	(5.7) 357	.06	40.1	44.07
c⇒. rurne		(14.7)	(3.1)	(1.7)	(3.8)	.610 .08	40.1	12.75
29. Yarns	;	. 35	-2.47	.078	027	.745	74.1	3.48
		(1.5)	(6.1)	(12.9)	(1.1)	.25		1.37

Table 6

(continued)

<u>م</u>		Con-	Dummy	Time	Change in time trend	R ²	F-Sta-	- M/
Cla	855	stant	53	trend	in 1953	DW	tistics	s SI
30	. Medical science	14.40	-5.61	.192	489	.590		
50.	Medical Science	(18.5)	(4.2)	(9.6)	(6.1)	.09	37.0	20.
43.	. Checking devices	04	-3.99	.153	232	.454	21.8	-
		(.1)	(3.0)	(7.7)	(2.9)	.09	21.0	3.
46.	Combustion engines	8.74	-22.41	.514	937	.785	92.4	
		(6.6)	(9.B)	(15.0)	(6.9)	.08		8
53.	. Food and foodstuffs	10.62	-3.67	.014	155	. 370	15.7	
	•	(11.2)	(2.2)	(.6)	(1.6)	.15		3
54.	. Working of paper and	7.31	-8.28	.133	358	.846	138.8	
	board	(16.1)	(10.6)	(11.4)	(7.7)	.17		- 3
53.	Trackless vehicles	27.36	-16.66	.563	-1.400	.335	13.6	46
		(7.3)	(2.6)	(5.8)	(3.7)	.13		13
67.	. Grinding	2.63	-1.01	.060	159	.729	68.4	4
		(14.7)	(3.3)	(13.0)	(8.7)	.18		1
71.	. Footwear	9.58 (10.8)	-5.92 (3.9)	.036	213	.572	34.4	8
7).	Simolling	3.79	-7.45	(1.6) .133	(2.3) 237	.11		3
[4.	. Signalling	(8.6)	(9.8)	(11.7)	(5.2)	759	79.8	
						.08		2
	Group 3c + (Durney 53	+ signifi	cant, time t	trend - and	change +)			
6.	. Zymology	16.96	5.52	278	.361	.912	260.5	5
		(37.8)	(7.2)	(24.0)	(7.8)	.05	200.5	- 4
8.	Bleaching, washing,	20.27	4.22	084	.038	.182	6.5	
	dyeing, etc.	(27.2)	(3.3)	(4.4)	(.5)	.09		2
13.	Steam boilers	23.05	3.86	230	.162	.910	253.6	9
		(36.0)	(3.5)	(18.2)	(2.5)	.09		6
22.	Dyes, paints, etc.	25.90	5.90	305	.520	.602	38.8	13
-		(21.3)	(2.8)	(9.7)	(4.2)	.09		5
25.	Braiding, lace-makin		2.00	078	.042	.459	22.2	5
~	etc.	(19.2)	(2.6)	(6.7)	(.9)	.04		1.
20.	Production of gases	20.36	6.36	324	.245	.513	27.3	6
22	61	(11.4)	(2.1)	(7.0)	(1.3)	.14		7.
52.	Glass	4.98 (22.3)	.72	035	.155	.516	27.7	3.
37.	Building	23.33	(1.9)	(6.0)	(6.7)	.11		
	0	(25.3)	9.56 (6.0)	087	.196	.623	42.2	22.
41.	Headwear	2.44	.33	(3.7) 028	(2.1)	.13		4.
		(29.3)	(2.3)	(13.1)	.016	.844	135.8	1.
47.	Engineering elements	37.56	10.84	065	(1.9) .604	.21		
	or units	(34.2)	(5.7)	(2.3)	(5.4)	.816 .92	112.2	
49.	Machine tools	35.60	12.47	354	.211	.725	66.9	7.
		(34.6)	(7.0)	(13.3)	(2.0)	.09	00.9	5.
50.	Grinding and pulver-	14.58	7.49	195	.063	.733	69.5	7.
	izing	(26.0)	(7.8)	(13.5)	(1.1)	.04	0515	3.
51.	Musical instruments	18.69	5.24	289	.254	.902	230.1	6.
		(33.9)	(5.5)	(20.3)	(4.5)	.08		5.
52.	Sewing and embroidery		4.57	169	.044	.700	59.3	5.
56	Upbeleters and	(20.8)	(4.3)	(10.5)	(.7)	.07		з.
<i>J</i> 0.	Upholstery and saddlery	3.16 (26.2)	.84	045	.032	.828	121.2	1.
58	Presses	4.02	(4.0)	(14.4)	(2.6)	.15		
<i>,</i>	1163565	(25.2)	1.75 (6.4)	057	.102	.723	66.4	1.
59.	Pumps	9.46	3.67	(13.8) 095	(6.2)	.11		•
		(26.5)	(6.0)	(10.3)	.121 (3.3)	.581	35.7	6,
60.	Hydraulics and	2.76	.65	041	.214	.10		1.
	pneumatics	(16.6)	(2.3)	(9.5)	(12.5)	.777	87.9	1.
64.	Distribution of	27.63	6.07	337	.174	.917	278.6	1.
	alcoholic. liquors	(43.7)	(5.6)	(20.7)	(2.7)	.09		6.
73.	Ropes	.86	.22	010	.021	.421	19.2	•••
		(16.2)	(2.4)	(7.2)	(3.9)	.10		
76.	Spinning .	11.72	6.53	133	.024	.710	62.2	6.9
0 -2	N	(30.4)	(9.9)	(13.3)	(.6)	.09		2.
5٥.	Measurement of time	7.89	3.99	097	.036	.572	34.4	4.
A c	Weton municipi	(20.5)	(6.0)	(9.8)	(.9)	•06		1.
02.	Water purification etc.	16.56	4.35	199	.230	.861	156.2	8.
~ ~	Weaving	(42.2) 16.18	(6.4)	(19.7)	(7.4)	.15		3.3
56	"COATHR		6.09 (7.7)	224	.127	.868	165.3	7.0
56.		(35.0)						
		(35.0)		(18.8)	(2.7)	.07		3.7
	Sugar and starch	13.22	6.03 (5.8)	238 (15.3)	(2.7) .206 (3.3)	.07 .805 .05	104.1	3.7 1.7 3.6

Table 6

x

(continued)

.

Cla	SS	Con- stant	Dummy 53	Time trend	Change in time trend in 1953	r ² DW	F-Sta- tistics	
_	Group <u>3c -</u> (Dummy 53-	- signifi	cant, time t	rend - and				
		16.92	-3.12	176	.499	.684	55.0	8.76
14.	Machines or engines in general	(18.8)	(2.0)	(7.6)	(5.4)	.06		4.74
	Group 3d + (Dummy 53+	signifi	unt, time t	rend and c	hange -)			
3.	Wearing apparel	16.96	1.89	121	257	.836	128.5	10.52
		(28.8)	(1.9)	(8.0)	(4.2)	.17		4.30
11.	Bookbinding	7.01	1.32	033	111	.603	39.0	5.35
		(25.6)	(2.8)	(4.7)	(3.9)	.09		1.28
27.	Fans	5.49	2.80	047	036	.774	86.4	3.80
		(44.0)	(13.0)	(14.6)	(2.8)	.23		.77
34.	Furniture	51.60	8.56	403	117	.870	168.4	33.32
		(47.0)	(4.5)	(14.2)	(1.0)	.21		9.01
36.	Heating, ventilation,	16.81	2.58	106	055	.645	46.5	12.02
	hot water supply	(30.6)	(2.7)	(7.5)	(1.0)	.22		2.73
38.	Wood working	14.91	6.32	158	173	.894	211.1	8.18
-		(48.1)	(10.6)	(17.7)	(4.9)	.10		3.13
70.	Writing and drawing	14.62	3.29	149	080	.759	79.9	7.77
• • •	appliances	(23.8)	(3.1)	(9.4)	(1.3)	.10		3.70
80.	Clay articles etc.	19.73	4.17	110	048	.522	28.3	15.22
		(34.0)	(4.2)	(7.3)	(.8)	.10		2.48
62	Drying	6.85	2.11	052	024	.827	120.4	4.76
		(53.4)	(9.6)	(15.7)	(1.8)	. 29		.91
	Group 3d - (Dummy 53-	signifi	cant, time t	rend and c	hange -)			
28	Tanning&treatm.of ski	2 84	95	006	031	. 804	103.8	2.24
20.	&hides,leather indust		(4.4)	(1.9)	(2.4)	.12	10510	.85
				•••••				.05
	Group 4a (Dummy 53	not sign:	ificant, time	e trend an	d change +)			
12.	Chemical processes	11.91	-1.02	.552	1.526	.952	500.7	44.61
	-	(6.8)	(.3)	(12.3)	(8.5)	.14		23.59
	Group 4b (Dummy 53	not sign:	ificant, tim	e trend + :	and change -)			
1.	Preparation of ores	2.01	022	.0011	092	.683	54.8	2.24
	-	(22.5)	(.1)	(4.7)	(10.0)	.31		.47
23.	Oils and fats	4.84	099	.022	035	.346	14.2	5.82
		(25.7)	(.3)	(4.6)	(1.8)	.25	_	.69
35.	Lifting gear	7.32	1.01	.008	088	.042	. 2.1	7.75
		(17.5)	(1.4)	(.8)	(2.1)	.13		1.15
61.	Life-saving and	3.49	63	.002	086	. 399	17.6	3.13
	fire-fighting	(11.6)	(1.2)	(.3)	(2.8)	.12		1.15
	Butchering and meat	2.16	15	.0002	046	.358	15.0	1.97
bt .					(2.0)	.16		= -
66.	treatment	(14.3)	(.6)	(.1)	(3.0)		_	.56
_	treatment Tools and implements	(14.3) 4.17	(.6) 13	.003	056 (1.6)	.071		.56 4.10 1.02

•

Table 6 (continued)

Class	Con- stant	Dummy 53	Time trend	Change in time trend in 1953	r ² DW	F-Sta- tistics	
Group 4c (Dumm	y 53 not signi	lficant, time	e trend - a	and change +)			
4. Light.thru fuels	& 23.00	96	214	.067	.783	91.2	12.13
pre-heating torc		(.5)	(7.9)	(.6)	.07		6.64
19. Construct.of roa	ds, 10.15	.79	056	.085	.296	11.5	7.82
railways & bridg	es (22.2)	(1.0)	(4.8)	(1.8)	.11		1.61
40. Metallurgy other		.79	011	.069	.213	7.8	5.74
of iron	(18.4)	(1.4)	(1.3)	(2.1)	.09		1.0
44. Haberdashery etc	. 18.31	.05	176	.125	.580	35.5	9.8
•	(15.3)	(.0)	(5.7)	(1.0)	.10		5.4
55. Product. of cell	ulose, 7.91	.60	049	.027	.567	33.8	5.6
paper and board	(27.1)	(1.2)	(6.6)	(.9)	.12		1.3
68. Articles of the	lock- 18.86	-1.22	120	.105	.623	42.3	12.8
smithing trade	22.3	(.8)	(5.5)	(1.2)	.16		4.0
78. Explosives	5.01	86	034	.038	.516	27.6	3.1
	(14.1)	(1.4)	(3.7)	(1.0)	.22		1.5
Group 4 d (Dumm	ny 53 not sign	ificant, tim	e trend -	and change -)		
20. Railway transpor	+ 39.68	-3.80	291	054	.827	120.8	23.6
zot harrag transpor	(26.3)	(1.5)	(7.5)	(.4)	.10		10.7
33. Hand and travell	ing 12.14	1.10	082	125	.677	53.4	7.8
articles	(21.1)	(1.1)	(5.6)	(2.1)	.07		2.9
45. Agriculture	34.5	.45	082	441	.680	54.0	29.0
4). Agriculture	(32.7)	(.2)	(3.0)	(4.1)	.12		5.5
69. Cutting tools	4.45	.27	031	021	.418	18.9	2.8
oy. cutting toors	(13.3)	(.5)	(3.6)	(.6)	.10		1.3

The negative trend amounts to 0.121 per thousand a year. After the Second World War the decline in this class's share increases by a further 0.257 per thousand a year. The overall negative trend after the Second World War thus amounts to 0.378 per thousand.

The figures in brackets below the values of the coefficients of the function are used to determine the statistical reliability of the estimated coefficient. The higher these figures are, the clearer - and with fewer deviations - the calculated value is in line with the actual trend. The significance level for the calculated values is around 1.6-; this means that the values with t-statistics below 1.6 can no longer be regarded as significant. This can be illustrated by the example of Class 75 "Sculpture". Of all the coefficients calculated only the constant is significant. This means that practically throughout the period the trend fluctuated at random around the value of the constant 4.31, with no systematic shift in the level or trend pattern. The value of the coefficient of determination R^2 shows how the whole function is in line with the trend. The F-statistics in the penultimate column fulfil a similar purpose. The higher these values are, the better the trend function matches the actual trend. The Durbin-Watson coefficient - denoted by DW - is a statistical measure of the autocorrelation of the deviations from the estimated trend. As mentioned above, MV represents the mean value of the relevant patent class's shares of total applications over the whole period. The standard deviation (SD) measures the dispersion around this mean value.

In order to make it easier to analyse the calculated time trends and coefficients of shift, the patent classes were divided into a number of groups according to the values calculated :

Group I comprises only one class in which of all the four coefficients only the constant is significant.

Group 2 comprises those classes in which both the constant and the dummy 53 were significant. It thus covers those cases in which there was an upward or downward shift in level after the Second World War but in which there were no significant time trends or changes therein. It includes, for example, Classes 15 "Printing", 65 "Ships", etc.

Group 3 comprises those classes in which, in addition to the significant dummy 53 (i.e. a significant shift in level after the Second World War), significant trends or changes thereof were also calculated. This group is then subdivided into *Group* 3a+ in which both the time trend and the change thereof were positive, as in the case of Classes 39 "Working of plastic masses", 42 "Instruments", 48 "Working and treatment of metals other than by mechanical means" and 81 "Transport".

Group 3a is the same in so far as both the time trend and the change thereof were positive. However, in contrast with Group 3a+ the dummy 53 is negative in Group 3a-. This means that the level fell after the Second World War. This group includes, for example, Classes 57 "Photography, film" and 84 "Hydraulic engineering cinematography and sound and foundations". Group 3b+ comprises those classes in which the dummy 53 was positive but in which the initially positive time trend became negative after 1953. It comprises two patent classes : Nos 5 "Mining" and 18 "Metallurgy of iron". On the other hand, Group 3b- comprises those classes in which the dummy was negative, reflecting a downward shift in level after the Second World War. As in the case of Group 3b+, the classes in Group 3b- show a positive time trend and a negative change. This group comprises 18 patent classes, i.e. a fifth of the total number. Group 3c+ comprises all classes in which the dummy 53 was positive, the time trend negative and the change therein positive, e.g. patent class 6 "Zymology". This class's initial level stood at 16.96 per thousand and rose by 5.52 per 1,000 after the Second World War. Up to the Second World War this class's share fell by 0.278 per thousand per year. After the Second World War, however, this trend moved upward by 0.361 per 1,000, giving an overall positive trend of 0.083 per 1,000 per year. A similar trend shift was also recorded in Classes 22 "Dyes", 32 "Glass", 60 "Hydraulics and pneumatics" and many others. Group 3c+ is the largest, comprising 25 patent classes. Group 3c- comprises those patent classes which show a negative dummy 53, a negative time trend and a positive change. Lastly, Groups 3d+ and 3d- comprise those patent classes in which both the time trend and the change therein were negative. In other words, these classes' share of total patent applications fell over the whole period, this fall being particularly marked after the Second World War. The difference between Groups $3d_+$ and $3d_-$ lies in the positive or negative value of the dummy 53, i.e. the positive or negative shift in level after the Second World War. Group $3d_+$ comprises nine patent classes whose level moved upwards after the Second World War, e.g. Classes 3 "Wearing apparel", 11 "Bookbinding", 27 "Fans", etc. Group 3d- comprises only one patent class, namely No 28 "Tanning and treatment of skins and hides, leather industry".

Finally, *Group 4* comprises those classes in which the dummy 53 was not significant, i.e. which showed no structural change such as a shift in level after the Second World War.

This group is divided into subgroups 4a, 4b, 4c and 4d on the basis of the four possible combinations of the positive and negative trends and their changes all along. In Group 4a both the trend and the change therein are positive. It thus comprises classes which showed a positive growth even before the Second World War, becoming even more marked in the post-war "Chemical processes". Group 4b period. It covers patent class 12 comprises those patent classes which showed a positive trend before the Second World War and a negative one afterwards. This is the case, for example, in Class 1 "Preparation of ores", in which the positive trend before the Second World War turned into a negative one in the post-war period. Group 4c comprises the patent classes in which the time trend was negative before the Second World War and subsequently moved upwards, i.e. showed a positive change. It must be emphasized, however, that in all cases in this Group 4c (positive) changes in the time trend are either very slight or even insignificant. Lastly, Group 4d comprises the patent classes in which both the time trend and the change therein were negative after the Second World War, e.g. Class 45 "Agriculture". In this case, the relatively slight downward trend of 0.082 per 1,000 per year increased by 0.441 after the Second World War, giving a total of 0.523.

C. Differentiation between long-term, short-term and structural influences on trends in patent applications

Looking at trends in the total number of patent applications in Germany over the last 100 years, it is immediately apparent that the shape of the curve was affected by a number of important occurrences. The number of patent applications fell during the two world wars. In the last five years before the First World War the total number of patent applications was approximately 230,000. During the five war years from 1914 - 1918 this figure fell to approximately 137,000, i.e. 59.5 %. The influence of the Second World War on trends in patent applications does not seem to have been so great, but this may be due to the fact that there are no data for the last two years of the war, namely 1944 and 1945.

Comparing first of all the five war years 1939 - 1943 for which the data are available with the last five pre-war years, the following picture is obtained :

In the period from 1934 to 1938, approximately 276,000 patent applications were filed, compared with around 244,000, i.e. 88.5 % in the five war years. However, it is more correct to compare the number of war time patent applications not with the immediate pre-war years but with the last years before the economic crisis in the 1930s. In these years (1926 – 1930) a total of around 355,000 patent applications were filed. In comparison with this basic period, the number of patent applications in the war years fell to 68.9 %, i.e. a somewhat smaller drop than during the First World War. It is quite possible, however, that the data for the last two war years would have reduced its ratio still further. In any event, it can be stated in conclusion that the declines associated with the war years reduced the number of patent applications to approximately two thirds.

The great world economic crisis in the 1930s caused a decline of similar proportions in the number of patent applications. The largest number (78,400) was filed in the first year of the crisis, i.e. 1930. As a rule, there is always a lag of this kind between the trend in patent applications and the general economic cycle. Taking the number of gainfully active persons as an indicator of cyclical trends, the peak of 32,531,000 comes as early as 1928, the bottom of the curve being reached during the crisis period in 1932 with 26,113,000 persons, i.e. 80.3 % of the peak. Taking the gross national product as the indicator of cyclical trends, the pre-war peak moves to 1929, while the bottom of the curve is again reached in 1932, when the gross national product was 76.5 % of the 1929 figure. As in the case of the greatest number of patent applications, there is again a time lag before the minimum number is reached. The low point came in 1934 with approximately 53,000 patent applications, i.e. 67.4 % of the 1930 peak. These figures show clearly that, quite apart from the time lag with respect to the general economic cycle, the downward swing in the number of patent applications is greater than that in the economic indicators.

The second major downturn in the trend of patent applications came in the 1970s and 1980s. The peak was reached in 1972 with around 67,000 patent applications. Our series go as far as 1981, when 46,579 patent applications, i.e. 69.0 % of the figure, were filed.

Another important factor which clearly affected the shape of the curve was the change of the German territory. After the Second World War our series no longer refer to Germany as a whole but only to the Federal Republic. This pushes the whole curve downwards in the post-war period. An attempt has already been made before to give an approximate quantitative estimate of the influence of this factor.

It is clear that merely looking at the figures can identify only one or two important connections. It requires a more detailed statistical analysis to clarify other important questions. In particular, various influences can be differentiated from one another only on the basis of statistical analysis of disaggregated data. This involves primarily the following questions :

Assuming that patent applications reflect the production of new technological know-how, is there a causal relation between the latter and the general economic cycle? If so, is it a crisis-triggering cause which at the same time also ensures that the crisis will be overcome, or vice versa? Is the production of new technological know-how to be regarded rather as a consequence of cyclical trends or is the trend in patent applications not to be regarded rather as a consequence of longer-term trends which go beyond the temporal bounds of the general economic cycle? Are the trends in patent applications not linked rather to the longer waves of general economic trends, known as Kondratieff waves?

In order to obtain an answer to these questions, the trends in patent applications with time would have to be corrected for the short-term influence. In addition, the time series would also have to be corrected for the effects of the territorial change after the Second World War. Only as part of such a statistical analysis of the time series would it be possible to single out the long-term trends in the production of technological know-how.

Disaggregation of the data is equally necessary. A number of hypotheses relating to homogeneous areas of technology have already been put forward and tested here. These trends were regarded as exhaustion trends and also statistically tested from this angle. It emerged in fact that a time sequence of different areas of technology is characteristic of the development of the whole technology. It also transpired that the whole of the technological development in different periods is shaped by different technology. These areas alternate in the areas of afore-mentioned sequence. The question is in what way the trends in the various areas differ. One possibility is that the general economic trends relate only to the areas of technology where the potential has been exhausted and that the other - expanding - areas are not affected at all by the general economic trends. In other words, the expanding areas grow equally guickly, i.e. irrespective of the phase in the general economic cycle, both in the period of crisis and in the boom period. The other hypothesis would be as follows : all areas of technology are affected by general economic trends, perhaps even to the same extent, with the difference that the long-term trends in these sectors differ from one another. The answer to this question was obtained from the statistical analysis whose results are shown in Table 8 (see page 97).

In this table the long-term economic and structural influences on trends in patent applications are shown separately from one another.

For this purpose, all the 89 patent classes were grouped together into five major sectors. The criterion applied was that of the period in which each patent class shows the greatest growth. This period was determined by the results of the above analysis of trends in patent classes based on the estimated logistic curve.

The patent classes were divided into groups according to whether the turning point in the logistic curve came after 1971, between 1960 and 1970, between 1949 and 1959, between 1929 and 1948 and, lastly, before 1929. The patent classes included in each aggregate are shown in the footnotes to the table. The first group comprises such dynamic classes as Nos 12 "Chemical processes", 39 "Working of plastic masses" and 58 "Presses", the latter showing an upward trend again in recent years, i.e. in the 1970s.

The second group comprises the classes which reached the estimated peak annual number of applications between 1960 and 1970. It includes important classes concerned with automation, e.g. Nos 42 "Instruments", 47 "Engineering elements or units", etc., and also Class 48 "Working and treatment of metals other than by mechanical means".

The third group comprises the classes which reached their peak annual number of patent applications between 1949 and 1959. It includes such classes as 18 "Metallurgy of iron", 19 "Construction of roads, railways and bridges", 21 (one of the most dynamic of all) "Electrical engineering", 22 "Dyes", 40 "Metallurgy other than of iron", 45 "Agriculture", 49 "Machine tools", 57 "Photography, cinematography and sound film" and 83 "Measurement of time".

The fourth group comprises the classes which reached their peak annual number of patent applications between 1929 and 1948. It covers 27 patent classes, including : 1 "Preparation of ores", 11 "Bookbinding", 15

"Printing", 30 "Medical science", 34 "Furniture", 36 "Heating", 46 "Combustion engines", 54 "Working of paper and board", 55 "Production of cellulose, paper and board", 63 "Trackless vehicles", 79 "Tobacco", 80 "Clay articles", 86 "Weaving", 87 "Tools and implements".

The fifth group comprises the classes which reached their peak before 1929. These are the classes which to date have exhausted their technological development potential the most. This group includes the following classes : 2 "Baking", 3 "Wearing apparel", 4 "Lighting through fuels", 6 "Zymology", 9 "Brushware", 10 "Fuels", 13 "Steam boilers", 14 "Machines or engines in general", 20 "Railway transport", 24 "Furnaces etc.", 26 "Production of gases", 28 "Tanning", 41 "Headwear", 44 "Haberdashery", 51 "Musical instruments", 52 "Sewing and embroidering", 56 "Upholstery and saddlery", 64 "Distribution of alcoholic liquors", 65 "Ships", 68 "Articles of the locksmithing trade", 71 "Footwear", 89 "Sugar and starch industry".

The results of the disaggregation of patent applications and of the comparison of trends in patent applications with economic trends can be illustrated by means of the two diagrams below (Figures 1 and 2).

Trends in gross national product (G), number of gainfully active persons (E), population (B) and patent applications (T) in Germany or the Federal Republic of Germany in the period 1877 - 1979 (semilogarithmic representation)

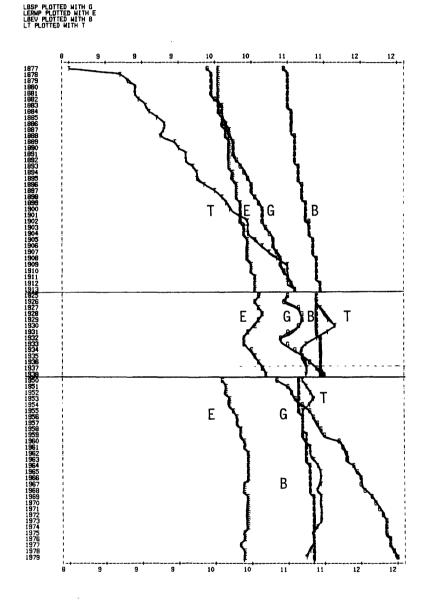
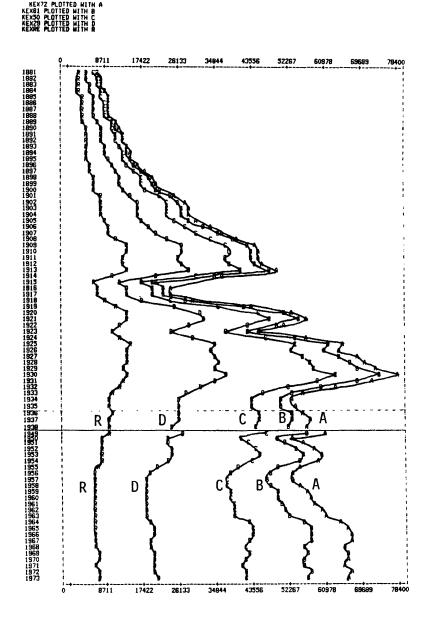


Figure 2

Trends in the groups of patent applications with differing growth rates in Germany or in the Federal Republic of Germany in the period 1881 - 1973



A = Total (= Group 72 + Group 61 + Group 50 + Group 29 + Remainder Group)
B = Group 61 + Group 50 + Group 29 + Remainder Group
C = Group 50 + Group 29 + Remainder Group
D = Group 29 + Remainder Group
R = Remainder Group

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- 93 -
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Figure 1 compares the trends in the general economic and demographic variables with trends in the total number of patent applications. The population variable shows above all the reduction of the population of the Federal Republic of Germany compared with pre-war Germany. This change is also reflected in the other variables shown in this diagram. The variables 'gainfully active persons' and 'gross national product' show above all the long-term trends and short-term fluctuations in economic activity.

Also of note here are the differing relationships between the production of technological know-how and general economic trends before and after the Second World War. Whereas before the war the total number of patent applications went up at a much faster rate than the number of gainfully active persons and gross national product, things changed after the war. Gross national product grew much quicker than the number of patent applications and trends in the number of gainfully active persons ran more or less parallel with trends in the number of patent applications.

Figure 2 documents and illustrates the trends in individual groups of patent applications. In addition to the total number of patent applications it also shows individual groups of applications, the composition of which has been given before This diagram illustrates the processes quantified in Table 8.

In the three distinct periods shown in this diagram, there was a clear shift in the ranking order of the various groups of patent applications. In the first period – before the First World War – Group R (Remainder) had the biggest share. In the second period – between the World Wars – this group dropped to third place. In the first period Group 29 was more or less at the same level as Group R, i.e. in first place, but in the second period it is in second place and in the third period – after the Second World War – it dropped to third place.

Group 61 was in next to last, i.e. fourth, place in the first period, stayed there in the second period but rose to second place in the third period.

The development of group 72 is also very impressive. At the beginning of the whole time series its share was very small, but it showed the fastest growth and stood in fourth place after the Second World War. Although a lot of important information about trends in patent applic- ations and their causes can be obtained directly by looking at the diagrams, the differentiation and quantification of various factors was possible only on the basis of a more detailed statistical analysis.

Differentiation between endogenous and exogenous factors

The starting point for the qualitative and quantitative differentiation of various factors influencing trends in patent applications was the distinction between endogenous and exogenous factors from the point of view of science and research. Our hypothesis about research trends in individual areas of technology, above all the hypothesis of the exhaustion of research potential, played an important part in identifying endogenous factors. The exogenous influences of trends in patent applications were sought primarily in the relationship between technology and science on the one hand and economic trends on the other. It transpired that the number of patent applications as a whole and in individual classes is dependent mainly on the level of economic activity and trends therein. This factor has a long-term effect on trends, in spans which are much longer than the duration of the economic cycle. On the other hand, patent applications and trends therein are subject to the influence of the economic cycle. It became apparent that general economic trends affect trends in patent applications with a certain time lag.

The structural influences on trends in patent applications can be regarded as a sort of combination of the exogenous and endogenous factors. These influences are linked to the fact that the states of exhaustion do not occur at the same time in all areas of technology but at intervals. Moreover, when the technological potential of a particular principle has been exhausted, leading to stagnation of developments in the relevant patent class, a new principle is discovered and gradually implemented through a whole series of inventions. In this way the relevant patent class is given fresh impetus. The discovery of completely new areas of technology has a similar effect. The trends in the total number of patent applications are then influenced by structural shifts of this kind between and within individual areas of technology.

technological The exhaustion of the development potential in the individual areas often spans several decades or even centuries. Since the trend in patent applications represents the production of new tech-nological know-how, it will sooner or later be reflected in economic trends. It can also be held responsible for the long waves of economic trends. In this connection, our analysis shows that patent applications were on an upward trend until about the beginning of the 1950s; since that time, however, the trend has been negative, except in the case of one or two expanding patent classes. It is quite within the bounds of possibility that the economic crisis in the 1970s, which was caused directly by other factors, was made more serious, more acute and longer by the negative trend in the production of technological know-how over the previous 20 years or so.

Of various economic variables whose connection with the trend in patent applications was studied, the number of gainfully active persons has proved to be the best explanatory factor. It is used to represent the long-term trend in the level of economic activity.

Using the data available, we were able to examine trends in the number of gainfully active persons in Germany since 1882 and use them as an explanatory variable for the number of patent applications. Up to 1950, the rate of increase of the number of gainfully active persons amounted to approximately 0.8 % a year, while since 1950 this rate has risen somewhat to around 1.1 % a year. The statistical analysis of trends in the number of gainfully active persons before and after the war showed that the number in the Federal Republic of Germany was approximately two thirds that of the pre-war level. Table 7 shows the results of the estimated time trend in the number of gainfully active persons.

Table 8 shows the results of the statistical differentiation of various factors affecting trends in patent applications.

The columns show quantitative factors based on various explanatory variables. The lines show various groups of patent classes. These groups comprise the patent classes which reached their maximum rate of increase in different periods. This classification of the patent classes is based on the analysis using the logistic curve. On the basis of the estimate of this curve, it was possible to determine for each class the time at which the growth rate began to slow down. In this way, a distinction was made between the classes which reached their peak in the 1970s, the 1960s, the 1950s, before the war and before 1929.

The column 'Trend in the number of gainfully active persons' shows the long-term effects of economic trends on the production of technological know-how.

The coefficients of the individual equations represent the elasticities of trends in patent applications in relation to the trend in the number of gainfully active persons. The elasticities calculated show by what percentage patent applications increase when the number of gainfully active persons goes up by 1 %. The elasticity of the growth in patent applications as a whole was calculated at 2.23; this means that for every increase by 1 % of the number of gainfully active persons the number of patent applications affects the rate of increase of the number of gainfully active persons does not differ greatly from one group of patent classes to another, being around 2 % in all cases.

TABLE 7

Time trend in the number of gainfully active persons in Germany (or the Federal Republic of Germany) in the periods 1882-1913, 1919-1935 and 1952-1973 (exponential splime function)

Constant of the function		time trend	Change in the cons- tant of the function from 1950 onwards	R ² adjusted	F- Statistics	DW
9.93 (492.4)	.0080 (14.1)	.0030 (1.3)	498 (12.2)	.761	75	.15

TABLE 8

Patent classes with estimated peak in the years	Time trend before 1950	Change in time trend from 1950 onwards	Trend in the number of gain- fully active persons	Cyclical trend in the num- ber of gainfully active persons	R ² adjusted	F- statistics	DW
1. After 1971	.0442 (24.6)		1.92 (7.5)	3.34 (8.9)	.978	753	.42
2. 1960-1970	.0306 (25.9)		1.85 (11.0)	2.62 (10.6)	.980	839	.54
3. 1949-1959	.0307)(34.4)		2.12 (16.8)	1.94 (10.5)	.987	1230	.58
4. 1929-1948	.0225)(18.0)	0552 (12.1)	2.57 (14.5)	2.29 (8.8)	•959	389	.41
5. before 1929	.0079 (5.5)	0414 (7.9)	2.72 (13.4)	2.69 (9.0)	.897	147	.42
Total of all patent classes	.0240 (23.5)	0384 (10.4)	2.23 (15.4)	2.38 (11.2)	.976	683	.52

Trends in patent applications in the periods 1883-1913, 1920-1935 and 1953-1973 due to cyclical and longer-term structural factors (t-statistics in brackets)

- 1. Classes : 12, 39, 58
- 2. Classes : 31, 32, 37, 42, 47, 48, 81, 84
- 3. Classes : 5, 7, 8, 17, 18, 19, 21, 22, 23, 27, 29, 35, 40, 45, 49, 50, 57, 59, 73, 75, 76, 83
- 4. Classes: 1, 11, 15, 16, 25, 30, 33, 34, 36, 38, 43, 46, 53, 54, 55, 61, 63, 66, 67, 69, 74, 79, 80, 82, 85, 86, 87
- 5. Classes: 2, 3, 4, 6, 9, 10, 13, 14, 20, 24, 26, 28, 41, 44, 51, 52, 56, 60, 62, 64, 65, 68, 70, 71, 72, 77, 78, 88,

The statistical analysis also made it possible to differentiate between the effects of short- and long-term economic trends. It emerged that all the groups of patent classes react very strongly to cyclical trends with a time lag of one year. This applied not only to the patent classes which are losing ground but also to those which are expanding. The long-term growth of the patent classes is thus endogenous and dependent on trends in science and research and cannot be attributed directly to long- and short-term (cyclical) economic trends.

The endogenous factors affecting trends in patent applications are reflected in the time trend in the number of patent applications. This time trend was calculated for the periods before and after 1950, since it was precisely at this time that there was a burning-point in the trend. The time trend up to 1950 is shown in Table 8, while the time trend after 1950 is calculated as the algebraic sum of the time trend before 1950 and the change in the time trend from 1950 onwards. The growth rate for the total number of patent applications before 1950 was 2.40 % per year, compared with a negative growth rate of 1.44 % after 1950. Only the first two groups of patent classes maintained positive growth rates after 1950. The first group grew by 4.42 % a year before 1950 and by 1.60 % after 1950. The second group grew by 3.06 % a year before 1950 but only very slowly (0.37 % a year) after 1950.

The third group grew by 3.07 % a year before the war but showed a decline of 1.68 % a year after the war. The fourth group grew by 2.25 % a year before the war but fell by 3.27 % a year after the war. Lastly, the fifth group grew by an average of 0.79 % a year before the war but fell by 3.35 % a year after the war.

CONCLUSIONS

CONCLUSIONS

This study sets out to analyse and interpret technical development on the basis of the German patent statistics, a veritable mine of information for the historian interested in technical and technological developments in Germany and the rest of the world over the past 100 years. Statistics of patent applications in time series are available from 1877, the year in which the national patent legislation was enacted (the "Reichspatent-gesetz") and the National Patent Office set up (the "Reichspatentamt"). The reason why the statistical material at our disposal is so extremely valuable is because the time series exist in a relatively detailed form, classified along the lines of the structure of the economy itself. One important aspect underlying the planning and conduct of this study was the fact that this wealth of material has so far hardly been drawn on at all by economic, technological and historical researchers.

The study was carried out in two stages, the first stage comprising the compilation and storage of the data published year by year by the Patent Office. This provided the groundwork for a subsequent detailed statistical analysis of the data, the first stage being concluded by the publication of the data and diagrams. Experience has shown that there is a great deal of information value to be derived simply from printing the data series in graph form, showing at a glance that trends in the various patent classes depend on certain specific endogenous and exogenous factors.

The second stage comprised the statistical and substantive analysis of the trends brought out by the time series of the various classes of patent application. It was thus possible to interpret trends in the various classes and to formulate and verify a number of general hypotheses regarding technological developments. The results of the second stage of the study are presented in this report. The following summary of results refers to the aims of the study outlined in the introduction. The first aim was to plot trends in technological development by reference to trends in patent applications. This was possible for 89 patent classes from 1881 to 1973 and for a third of the time series for years after 1973.

Closer inspection of the information which can be derived from studying trends in patent applications has shown that these trends are above all a reflection of the productive application of new technological know-how.

The number of patents applied for reflects the amount of research going on and, in this respect, a comparison can be made with trends in patent applications and similar trends in research expenditure or research staff. One of the main advantages of the time series of patent applications is that neither the same kind of detailed information nor anything like the same kind of time series is available to research expenditure.

The number of patents applied for was therefore, taken to be representative of the results of research work, although it must of course be borne in mind that merely counting the number of applications takes no account of their technical or economic importance. The comparisons with trends showed, however, that the pattern of patent applications (from the point of view of importance) can be expected to remain fairly stable.

The time series were also taken as a reflection of the technical potential available for the purposes of improving production (both the production methods and the products themselves). The way in which this potential is utilized usually depends on a number of factors outside the field of research and science proper. No data are available, however, as to how the potential is utilized in production or business terms. The same kind of detailed and long time series does not exist to reflect trends in production, manpower and productivity. However, production in the meaning of the utilization of technological potential is reflected in the level of research activity and the number of patents applied for. To some extent, then, the trend in patent applications could reasonably be taken as a reflection of the utilization of technological potential.

Generally speaking, the validity of using time series of patent applications to reflect the production of technological know-how, as described in the relevant literature, has been found to accord with the results of this study. Empirical analysis of the time series confirmed that trends in patent applications are subject both to endogenous (i.e. due to scientific developments) and to exogenous (non-scientific) factors. A glance at the diagrams alone showed the effects of one or two factors of this kind, such as wars, economic crises and the general economic cycle. The endogenous trends too were brought out clearly in the time series. Trends in the various patent classes evidently vary according to characteristic patterns, and the major causes too can be clearly identified. However, it was also apparent that the trends in the various classes are of a systematic nature and this is clearly reflected in all the series despite any amount of random fluctuations. The differences between the classes are indicative of specific situations and conditions and are, as a rule, easy to interpret.

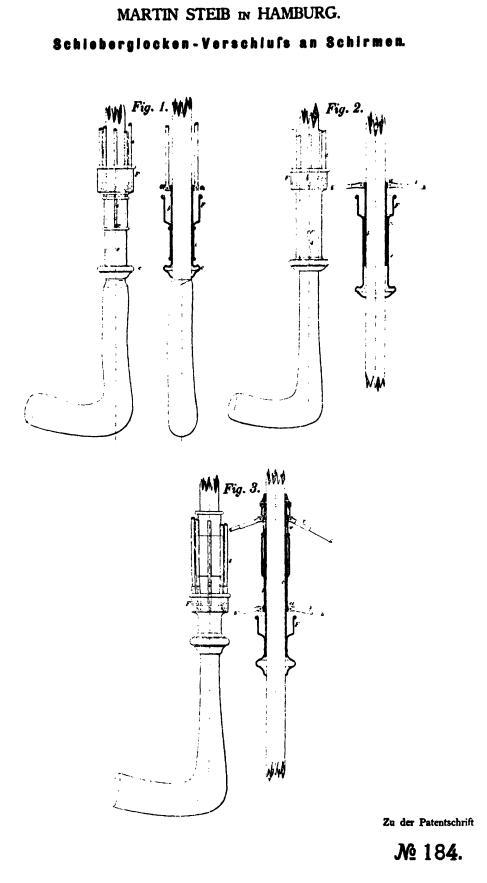
By way of a starting point for the study, a number of hypotheses were formulated and were then further developed and tested in the course of the study. Some of these hypotheses were derived from the available literature which, however, gives only vague indications of a possible theory of technological development. Some of them had been developed and used in earlier work undertaken by the author. The main hypotheses are set out in summarized form below, all of them relating to trends in patent applications.

- 1. The trend in patent applications is regarded as a reflection of trends in the production of technological know-how.
- 2. The patent applications anticipate technological progress at the production stage.
- 3. The trend in patent applications, therefore, depends on the allocation of research funds, a factor which is itself dependent on the economic situation.
- 4. The trend in patent applications is on the one hand a reflection of the pressure for the solution of technical and scientific problems and the full utilization of research facilities in scientific circles and, on the other hand, a reflection of the business community's interest in, and need for, rationalization, cost saving and market expansion, creation and dominance.
- 5. The trend in patent applications is seen as being a function of the full utilization of research facilities in certain areas of technology, and it is assumed that this follows a logistic pattern.
- 6. The trend in patent applications is regarded as dependent on technological breakthroughs in the various classes, due in particular to the full utilization of research facilities and the pressure of business interests.
- 7. The trend in applications for patents in the various classes is seen as being dependent on developments in other classes. Two factors are seen here as being of major importance, namely competition in the various areas of technology and the way in which developments in one area of technology can be aided by developments in another such area.

The above hypotheses have proved to be useful in analysing and interpreting trends in the various statistical series of patent applications. They have undergone further refinement and their validity has now been confirmed. The study itself features a number of examples of concrete developments reflecting the above patterns.

For the purpose of statistical analysis of the time series of patent applications, two main instruments were used, namely the logistic function and the trend function with spline variables. By using these functions, it was possible to concentrate the mass of statistical data and to reduce it to a few comparable parameters, thus facilitating comparisons with trends in the various classes. This comparison was also extended to trends in patent applications in the USA, although trends in Germany alone were regarded as highly representative of world trends, this assumption being based on the role of the German economy in world business and trade and the special motivational features of patent applications abroad and being validated by a comparison with trends in the USA. Experience has also shown that, by comparing international trends in patent applications, it is possible to identify technological gaps and leads from country to country. The statistical and substantive study of trends in specific classes of patent applications made it possible to trace developments in old and new areas of technology over the past 100 years. It was thus also possible to identify developments in the structure of production and of technological know-how and to ascertain the dominant areas of technology in various periods of economic growth.

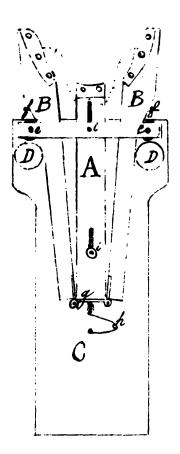
The study showed that the trend in patent applications is closely linked to the economic situation and that the production of technological know-how (as reflected in the patent applications) has a longer-term effect on economic developments. Using statistical analysis techniques, we have managed to identify long-term trends and medium-term fluctuations in the production of technological know-how and to express these specific factors in quantitative terms.

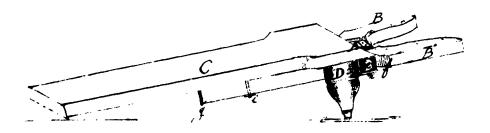


PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

R. MATTCKE IN BERLIN.

Stiefelknecht.





Zu der Patentschrift



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LITERATURE AND REFERENCES

LITERATURE AND REFERENCES

Studies of trends in patent applications - especially in Germany - are relatively few and far between. The acknowledged world authority on this subject is Jacob Schmookler, who died in 1967 at the age of 49, i.e. in the middle of his productive scientific career. A bibliography of his works and other works of the same ilk in the years 1966 to 1970 is given in the book : Jacob Schmookler, Patents, Invention and Economic Change, edited by Zvi Griliches and Leonid Hurwicz, with a foreword by Simon Kuznets.

Schmookler compiled long time series of American patent statistics and used these data in his studies. The data series which he used were not presented in the above-mentioned book until after his death. The time series cover the period from 1837 to 1957 and refer to patents granted, which in some cases were classified not according to the year of granting but according to the year of the patent application. An even more important and certainly very time-consuming rearrangement of the data originally published by the Patent Office was Schmookler's reclassification according to the Standard Industrial Classification. Whereas the classification used by the Patent Office for publishing the data is based technological function, the Standard Industrial Classification is on structured according to branches of industry. The editors of Schmookler's time series illustrate the problems with a number of examples. There is a class which covers inventions in the field of distribution of fluids. It includes both patents for the sprinkling of holy water and a patent for water pistols. Furthermore, the patent class covering the distribution of solids includes both a patent for the distribution of fertilizers and a patent for the toothpaste tube. Schmookler compiled 458 time series of US patents on the basis of his reclassification. Some of these time series have been analysed in the present study and the trends shown by them compared with trends in Germany. The German patent statistics have put us in a much more fortunate position, since they are geared much more than the American statistics to the industrial utilization of inventions and thus take much more account of the product and the branch aspects.

After Schmookler's death, a number of scientists – particularly in the United States – continued to work along the same lines. The biography edited by Griliches and Hurwicz includes the names of Comanor, Denison, Fellner, Griliches, Jorgenson, Hufbauer, Mansfield, Thomas Marschak, Mathias, Nordhaus, Scherer, Vernon and many more. Almost all of them are American authors. There are very few works of this kind in Europe or, therefore, the German-speaking area. The German patent statistics were used to some extent in the literature on economic history. The most detailed publication of the data appeared in the 1965 study edited by Walther G. Hofmann entitled "Das Wachstum der deutschen Wirtschaft seit der Mitte des 19. Jahrhunderts" (The growth of the German economy since the middle of the 19th century).

This study attempted to correlate the patent statistics in highly aggregated form with progress in productivity. In this respect, the patents data may compete with the data on research and education expenditure. The above-mentioned work said the following on this question :

"The data on research and education expenditure show more or less in what way the training of employees and therefore the quality of the labour factor have been improved. Since better training and aid to research usually lead to a greater number of inventions and better operational and administrative organization, these facts have a direct bearing on technical and organizational progress. The number of patents granted is a further approximate yardstick of technical progress, although it says nothing about the economic effectiveness of individual patents, since the overall number includes economically important and unimportant patents."*)

The disaggregated time series of patent applications which appeared in this publication were not evaluated. Even the above-mentioned study is limited to analysing growth and clarifying the content of the residuals of the production function, which go under the confusing name of technical progress. The possibility of regarding and studying long-term trends in patent applications and grants as a reflection of the process of technological development itself is not considered.

Various documents of the Patent Office also deal with patent statistics, first and foremost being the annual publications which appeared initially in the periodical "Patentblatt" and later in the "Blatt für das Patent-, Muster- und Zeichenwesen".

The Patent Office did not merely publish these statistics but always added brief interpretative commentaries and remarks. The very nature of these annual publications shows, of course, that they were concerned not so much with long-term trends but above all with cross-sectional problems, i.e. the structure of patent applications and grants in the relevant year. In addition, the tendencies which became apparent in some of the most recent years were ascertained.

^{*) (}G. Hofmann, Grumbach, Hesse, op. cit., pp. 28-29).

Longer-term trends are dealt with in a number of publications issued to mark the jubilee of the Patent Office and the Patent Law, such as the publication brought out at the 25th anniversary of the Patent Office and the Patent Law in 1902 or the commemorative publication to mark the 75th anniversary of the German Patent Office (published in 1952 as part of the "Blatt für das Patent-, Muster- und Zeichenwesen") or lastly the commemorative publication issued in 1977 under the title "100 Jahre Patentamt". While the last two publications make valuable contributions to one or two selected areas of technology, written primarily by the technical members of the Patent Office, i.e. highly qualified experts in individual areas of technology, only the first-named publication, which was issued in 1902, gives a highly detailed analysis of the patent statistics by classes.

This publication, which has almost been forgotten even by the Patent Office, deals in exemplary fashion with the development of the time series for the whole of the patent classification up to 1900. Apart from the interest in the questions which were important for the Patent Office's current work (e.g. the number of patent applications as a measure of the work load in individual departments or the relationship between the number of patent applications and grants – this guestion is also dealt with here from the point of view of the participation of technically ungualified inventors, who were always attracted by published new developments) [1]*), this publication also contains many notable conclusions, both general and specific, as, for example, on the influence of the weather on patent applications in the agricultural sector [2]. In addition, there are many references to the more general problems of technological development, such as the hypothesis of the exhaustion of innovative potential in homogeneous areas of technology [3]. Of interest is the reference to competition between different technological solutions, resources and products, e.g. the rivalry between electric and gas lighting [4]. As we know today, the end of gas lighting came in 1910 as a result of the discovery of the practical method of drawing tungsten wire. Fifty years later, an independent article entitled "The tungsten wire drawing patent" by Walter Heine (member of the German Patent Office) appeared in the Patent Office's commemorative publication. This patent was also mentioned in the paper by Klaften "Zur Entstehung und industriellen Auswirkung einiger Pionierpatente" (commemorative publication to mark the 75th anniversary of the German Patent Office, published by the German Patent Office as part of the "Blatt für Patent-, Muster- und Zeichenwesen", Munich, 1952, pp. 292-297). A connection of this kind was already established in 1902 in the analysis of subclass 21f "Electric lighting" in the Patent Office's first commemorative publication marking its 25th anniversary [5].

Interesting information on the question of the proportions of foreigners and nationals filing patent applications can be found, for example, in the chapter devoted to the textile industry [6].

It goes without saying that the results of the studies described in this publication were limited by the relatively short period covered.

^{*)} For the notes in square brackets [], see pp. 112 - 114.

In addition, certain limitations which, given the level of knowledge at that time, existed both in economic history and in the current analysis of the relationship between technology and the economy and also in the methodological field as regards evaluation of the time series cannot be ignored. It is just a pity that since that time the Patent Office has not used its extensive know-how and the high qualifications of its experts in the way indicated here to produce synoptical publications of this type.

[1]"Here as in many other areas it is apparent that the applicants attracted by outward successes made up only a small percentage of those granted patents."

"The sharp decline in patents granted is primarily to the detriment of subclass 80b 'Ceramics, mortar, etc.' and is mainly attributable to the fact that a large proportion of the applications do not come from specialists. This observation is made in the description of trends in Class 80 'Clay articles, chalk, cement, etc.'." *)

[2] One or two examples : In the discussion of trends in Class 45 "Agriculture", the authors write :

"In Class 45 the failure of the harvest in a particular year has an often clearly discernible influence on the main direction in which inventions move. Thus, wet years focused attention on driers for cereals, while richer harvests, which made the lack not only of workers but also of moving machines noticeable in many areas, focused attention on grass mowers which could be converted into reapers by means of especially fitted cutting devices. Above all, however, the smaller and medium-sized agricultural holding demands the introduction of aids which meet its particular needs, e.g. assembled equipment, smaller hoeing machines and other smaller but efficient equipment."

The following interesting observation is also made :

"Moreover, the tests prescribed by the German Agricultural Association had a considerable influence on the number of applications in the various subclasses. Testing of manure spreaders or potato harvesters gave rise in recent years to a flood of applications for machines of this type, especially as they can be developed for mass use. Similar influences are to be expected for the same reason in the near future in the case of potato planters, loading and unloading devices and hay and straw balers." **)

**) Die Geschäftstätigkeit ..., pp. 84 - 85.

^{*)} Die Geschäftstätigkeit kaiserlichen Patentamtes des und die Beziehungen des Patentschutzes zu der Entwicklung der einzelnen 1891 Industriezweige Deutschlands in den Jahren bis 1900. supplementary volume to the Blatt für Patent-, Musterund Zeichenwesen, Volume 1901, Karl Heymanns Verlag, Berlin, 1902, p. 19.

[3] "As far as the basic process was concerned, everything that could be wished for had more or less been achieved, and the many patents taken out in the previous decade had clearly long since filled all the gaps. This also explains why there are only a few patents in this area, such as 56181 for plug ramming." *)

[4]"There has been a noticeable decline in inventive activity with the improvement of the ordinary oil lamp, although the demand for oil, table and hanging lamps is still there and it cannot be assumed that these lamps have already achieved their optimum efficiency. The few patents taken out on the manufacture of non-flammable wicks and safety devices for the prevention of explosions in the event of the lamps falling over have acquired no importance. This standstill can undoubtedly be attributed to the rapid spread of incandescent-gas lighting and to the fact that inventors regard the oil lamp without a mantle, such as the Argand or slit burner, as a completely outdated appliance, any improvement to which can no longer be expected to yield worth while patents. The situation here is therefore that the benefits anticipated by the inventor in a related area have led to a reduction of inventive activity in a less promising area." **)

[5] "In subclass 21f, 'Electric lighting', the number of applications suddenly almost doubled in a year from 1897 to 1898. A considerable proportion of these additional applications can be attributed to the revival of the efforts to improve the incandescent lamp brought about by Nernst's discovery; the development of continuous-arc lamps and lamp carbons goes hand in hand with these efforts." ***)

[6] It says here :

"It is noticeable that foreigners account for a high proportion of the patents in Class 29 (= yarns, author's note) and that of the patents granted in the last ten years up to 1 April 1901 only 37 % went to Germany, the remainder being spread over Great Britain (24 %), France (17 %), Belgium (9 %), America (5 %), Switzerland (4 %), Austria-Hungary (2 %), Sweden (1 %) and Italy, Russia and Australia (1 % altogether). Britain's supply of new types of yarn comes mainly from its colonies; it is thus in a position to encourage the inventive spirit and give it free scope. In Germany, on the other hand, some of the inventions, such as processes and machines for producing yarns from China grass, etc., cotton gins and so on, were just not possible; they were not adopted until some years later. The situation in France is similar to that in Britain, albeit to a lesser extent; in addition, it has a large wool and cloth industry,

- *) Die Geschäftstätigkeit ..., p. 13.
- **) Die Geschäftstätigkeit ..., p. 29.
- ***) Die Geschäftstätigkeit ..., p. 121.

necessitating the carbonization and scouring of wool, improvements to which are the subjects of a considerable number of French patents." *)

In the same chapter the following observation is made with regard to Class 73 :

"The area covered by Class 73 'Ropes' is very narrow and innovations of the products, machines and devices are rare. The average number of patents a year is only five. Apart from Germany, which in the ten-year period took out 85 % of the patents, the only other countries concerned are America, Belgium and Austria-Hungary with 5 % each and Denmark with 1 %." **)

^{*)} Die Geschäftstätigkeit ..., p. 61.

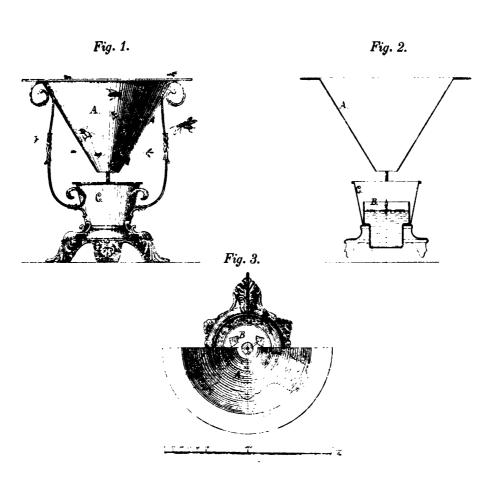
^{**)} Die Geschäftstätigkeit ..., p. 64.

C. KOLLE IN NIEDERSCHÖNHAUSEN.

Fangvorrichtung für Insecten.

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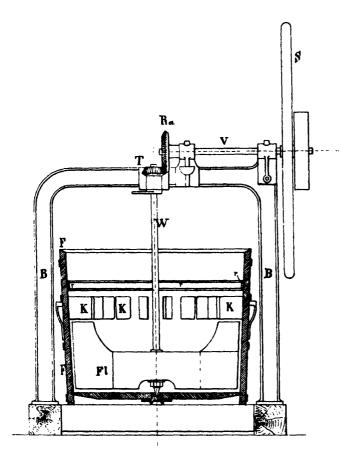
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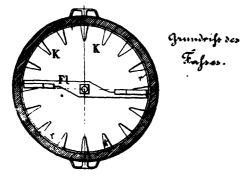


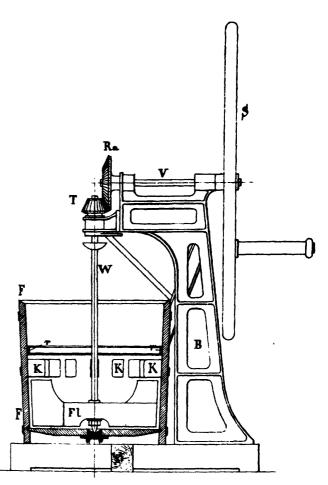
Zu der Patentschrift

№ 137.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.







Annex

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Trends in the filing of patent applications

(Report EUR 7872EN)

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TRENDS IN THE FILING OF PATENT APPLICATIONS IN GERMANY/THE FEDERAL REPUBLIC OF GERMANY BETWEEN 1877 AND 1980

INTRODUCTION

The old German patent classification was little changed. There were not many basic changes to the contents of a class. The most important cases were as follows : class 7, originally entitled "Sheet metal and wire production and treatment" later became "Mechanical metal working without essentially removing material; punching metal". Class 43 "Basketry" was changed to "checking devices", class 62 "Salts" to "Air travel" (originally included under class 77 "Sport"), class 75 "Soda, potash and alcalies" to "Sculpture, painting and surface decoration". There were, however, many changes within individual classes. Presenting such changes can help in interpreting the trends in technological development. Following adoption of the German patent law and the setting up of the German patent office in 1877, until 1974. This offered a rare opportunity to classify and examine annual applications for patents in all existing 89 patent classes over that period. The fact that both national and foreign patents were covered made it possible – assuming that the number of patent applications in individual fields (classes, branches), is a measure of technical development – to carry out a survey entitles "100 years of world technology trends as reflected by German patent statistics".

The 100-year time series showing the trends in patent applications in Germany are being published here for the first time on such a scale. They were taken from annual volumes of the periodicals "Patentblatt" (founded in 1877) and "Blatt für Patent, Muster und Zeichenwesen" (founded in 1894).

The data series and the corresponding illustrations over the sum total of patent applications and patents granted, and these have been further subdivided into applications by and patents granted to nationals and foreigners.

Furthermore, the annual figures for patent applications are arranged according to the 89 classes of the patent classification. Although it was possible to reconstruct the trend not given in the official publication for the years 1915 – 1918, it was not possible to do so for the second world war period.

The charts showing developments in individual patent classes make it an easy matter to follow the concentration of the main trends (over the whole period), trends during shorter periods (e.g. until 1914, between the two world wars, in the Federal Republic of Germany), fluctuations in these trends, the influence of significant external and internal major influences (such as both world wars, economic crises - particularly during the 1930s, territorial changes, etc.), the turning points after a continuous tendency (particularly maxima and minima of different types) and so on.

The first of the charts based on patent classes 1 to 89 shows the development in the absolute manner of patent applications; sliding averages of numbers per year were used, for periods of five years, to compensate for short-term fluctuations. The x-axis indicates the year, the y-axis the number of applications. The figure for single years are given on the top edge of the chart. The lower chart shows the same development as a proportion, in thousands, of a given class in the overall number of applications. The figures are again given on the top edge.

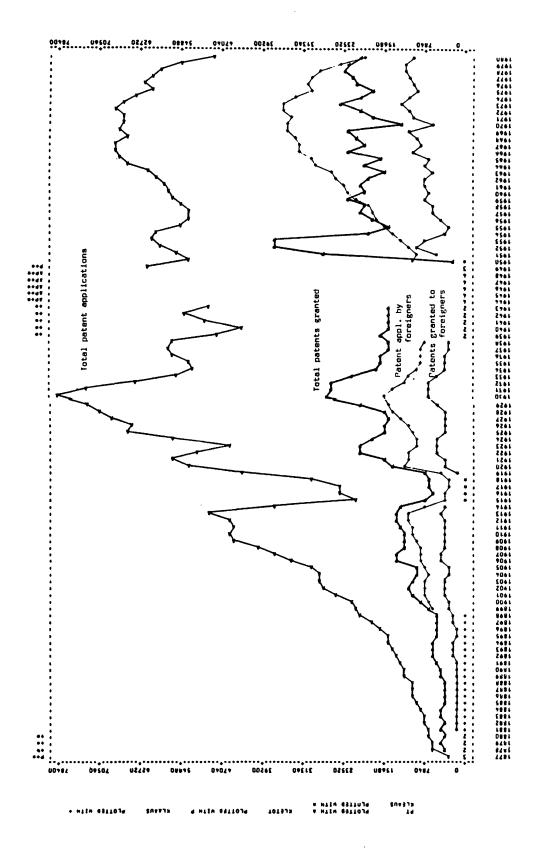
 Total Patent Applications and Grants,
 broken down into Nationals and Foreigners in Germany for the years 1877 - 1980 Total patent applications and grants, broken down into nationals and foreigners in Germany (or in the Federal Republic) for the years 1877 - 1980.

	Patent	Patent	Patent	Patent	Patent	Patent
Voar	appi to	grants t a l	appl. forei	grants oners	appl.	grants ationals
Year	10	ιαι	TOTEL	giler 5	•••	
1877	3212	190				
1878	5949	4200	•	•	•	-
1879	6528	4410	•	•		•
1880	7017	3966	•	•	•	•
1881	7174	4339	•	1199	•	3140
1882	7569	4131	•	1246	•	2885
1883	8121	4848	•	1507	•	3341
1884	8607	4459	•	1547	•	2912
1885	9408	4018	•	1416	•	2602
1886	9991	4008	•	1285	•	2723
1887	9904	3882	•	1321	•	2561
1888 1889	9869	3923 4406	•	1376	•	2547
1890	11645 11882	4680	•	1485 1620	•	2921
1891	12919	5550	•	1919	-	3060 3631
1892	13126	5900	•	1965	•	3935
1893	14265	6430	•	2087	•	4343
1894	14964	6280	-	2066	•	4214
1895	15063	5720	•	1899	-	3821
1896	16486	5410	•	1922		3488
1897	18347	5440	•	1983	•	3457
1898	20321	5570	•	2097	•	3473
1899	21080	7430	6637	2747	14443	4683
1900	21925	8784	7077	3244	14848	5540
1901	25165	10508	7543	3899	17622	6609
1902	27565	10610	7919	3913	19646	6697
1903	28313	9964	7792	3629	20521	6335
1904	28360	9189	7351	3285	21009	5904
1905 1906	30085	9600	8055	3310	22030	6290
1907	33822 36763	13430 13250	8446 8873	4689 4454	25376	8741
1908	40312	11610	8680	3765	27890 31632	8796 7845
1909	44411	11995	9413	3829	34994	8166
1910	45209	12100	10019	3706	35190	8394
1911	44929	12640	10446	4069	34483	8571
1912	45815	13080	10704	4249	35111	8831
1913	49532	13520	11250	4473	38282	9047
1914	36772	12350	7998	3716	28774	8634
1915	21041	8190	3621	•	17420	8190
1916	24469	6271	3603	•	20866	6271
1917	24458	7399	3268	•	21190	7399
1918	30049	7340	3465	•	26584	7340
1919	43279	7766	4736	1239	38543	6527
1920	53527	14457	11672	3922	41855	10530
1921	56721	15642	10720	3591	46001	12051
1922	51762	20715	10885	5346	40877	15369
1923	45209 56831	20526	9127	5703	36082	14823
1924 1925	• •	18189	9353	5424	47478	12765
1925	64910 64384	15877	10508	4224	54402	11653
1927	68457	15500 15265	11159 12827	3854 3720	53225	11646
1928	70895	15598	14200	3761	55630	11545
1929	72748	20202	15226	5219	56695 57522	11837 14983
1930	78400	26737	15749	7140	62651	19597

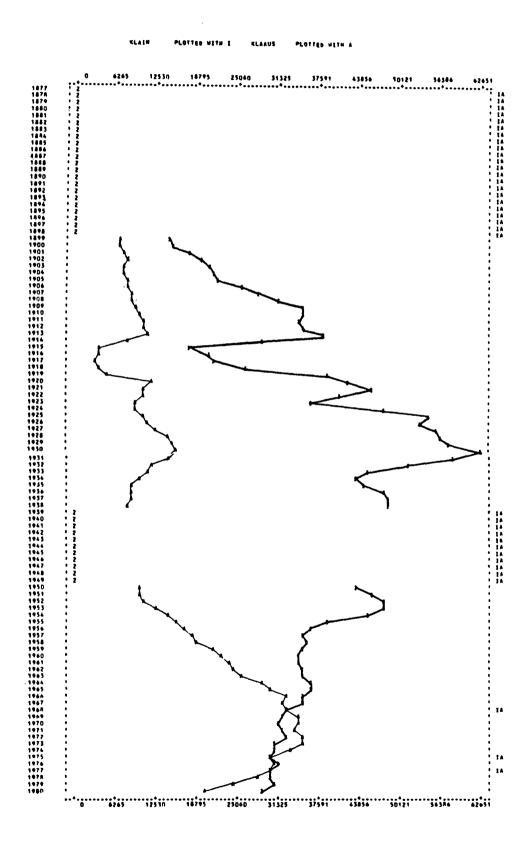
Total patent applications and grants, broken down into nationals and foreigners, in Germany (or in the Federal Republic) for the years 1877 – 1980

		(contd.)			
Year	Patent appl. tot	Patent grants t a l	Patent appl. foreig	Patent grants gners	Patent appl. na	Patent grants tionals
1931	72686	25846	14225	7123	58461	18723
1932 1933	63414 55992	26201 21755	11899 11011	7237 5637	51515 44981	18964 16118
1933	52856	17011	9742	4210	43114	12801
1935	53592	16139	8912	3971	44680	12168
1936	56163	16750	8800	4086	47363	12664
1937	57139	14526	8629	3208	48510	11318
1938	56217	15068	7976	3019	48241	12049
1939	47555 43479	16525 14647	•	•	•	•
1940 1941	49885	14809	•	•	•	•
1942	54386	14648		•	•	
1943	49060	14883	•	•	•	•
1944	•	•	•	•	•	•
1945	•	-	•	•	•	•
1946 1947	•	•	•	-	•	•
1948	•	•	•		•	
1949	61002	-			•	•
1950	53375	2383	9919	86	43456	2297
1951	55457	27767	9747	5806	45710	21961
1952	58561	37179	10702	9446 7608	47859 47559	27733
1953 1954	60202 59317	37113 19140	12643 14458	4061	44859	29505 15079
1955	54865	14760	15862	3511	39003	11249
1956	53470	18150	17156	4838	36314	13312
1957	53002	20467	18216	6062	34786	14405
1958	54502	19837	19060	6297	35442	13540
1959	56611	22556	21375	7984 7157	35236 34577	14572
1960 1961	57123 58188	19666 20550	22546 23771	7977	34417	12509 12573
1962	59783	18508	24736	7538	35047	10970
1963	61031	15542	25926	6189	35105	9353
1964	64775	19597	28682	7933	36093	11664
1965	66470	16780	30182	7118	36288	9662
1966 1967	67468 67495	22598 19871	32406 32098	9980 8852	35062 35397	12618 11019
1968	65422	21169	32830	9535	32592	11634
1969	66626	22623	34555	10620	32071	12003
1970	66132	12887	34665	6630	31467	6257
1971	65756	18149	33956	9967	31800	8182
1972	67354	20600	34976	11092	32378	9508
1973 1974	66223 63545	23934 20539	35264 33011	12919 10746	30959 30534	11015 9793
1974	60095	18290	29897	9213	30198	9077
1976	61705	20965	30640	10570	31065	10395
1977	60401	21749	30154	10934	30247	10815
1978	58492	23514	28184	11775	30308	11739
1979	55184	22534	24305	11639	30879	10895
1980	48583	20188	19900	10362	28683	9826

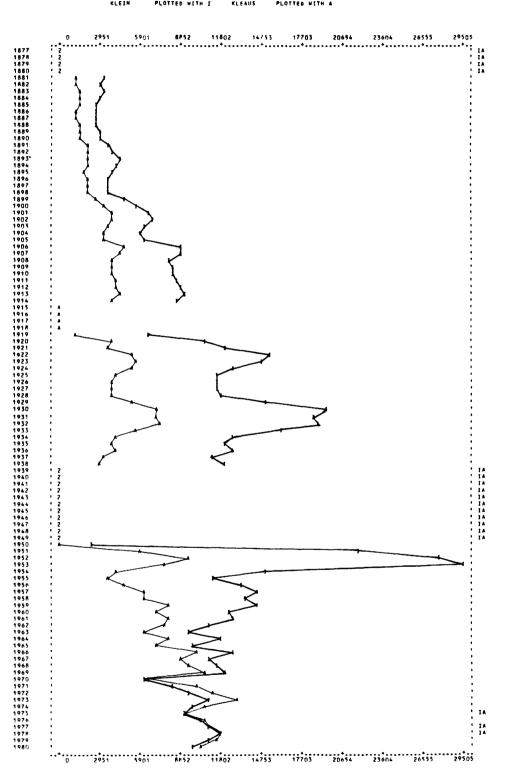
Development of the total number of patent applications (-A-A-), patents granted (-P-P-), patent applications filed by foreigners (-+-+-) and patents granted to foreigners (-*-*-) in Germany (or in the Federal Republic) in the years 1877 - 1980



Patent applications made by nationals (-I-I-) and foreigners (-A-A-) in Germany (or in the Federal Republic) in the years 1899 - 1980

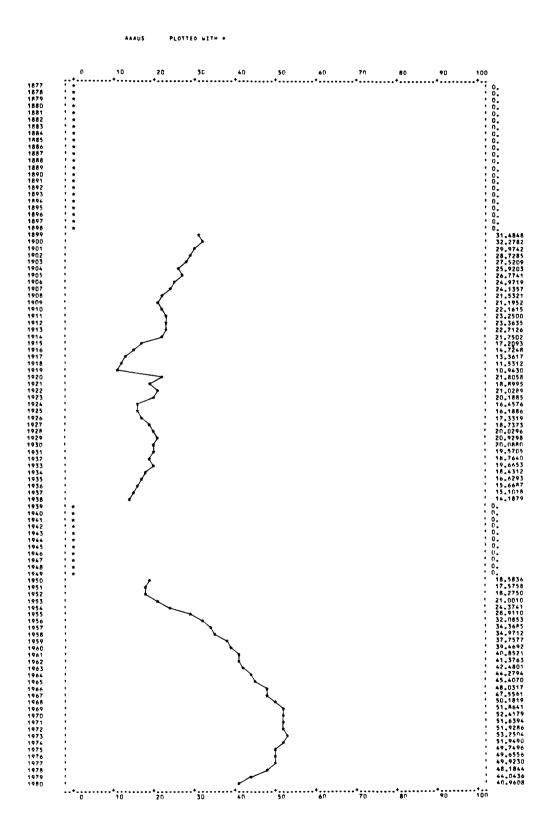


Patents granted to nationals (-I-I-) and foreigners (-A-A-) in Germany (or in the Federal Republic) in the years 1881 – 1980

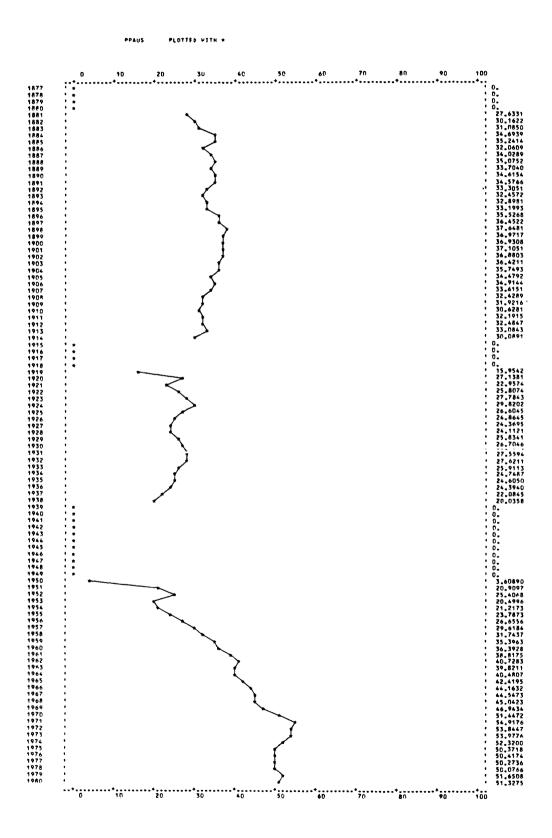


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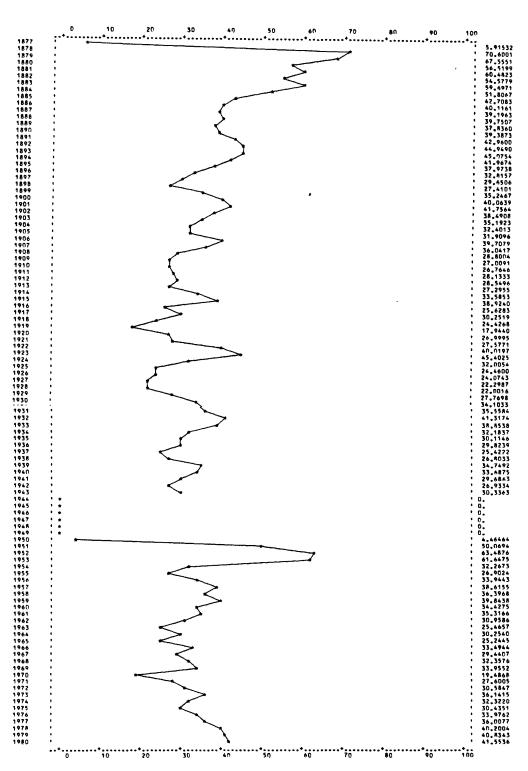
Development in the proportion of patent applications filed by foreigners and total patent applications in Germany (or in the Federal Republic)



Development in the proportion of patents granted to foreigners and total patents granted in Germany (or in the Federal Republic)

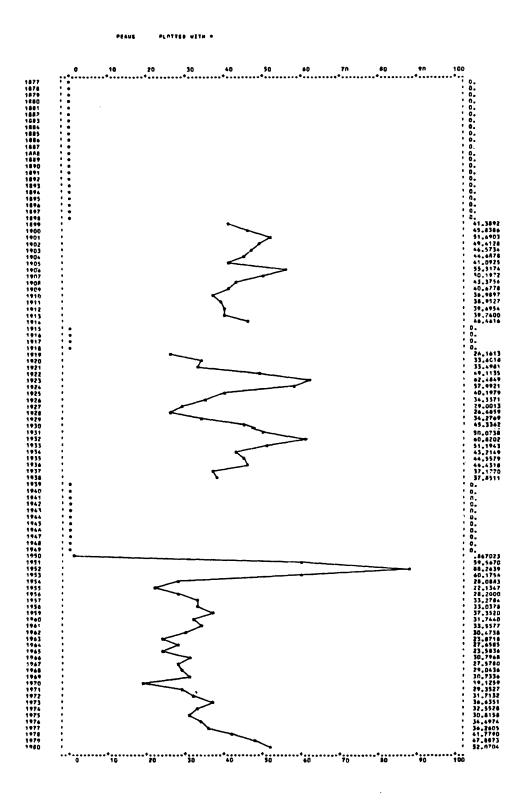


Development in the proportion of the annual number of patents granted and total patent applications



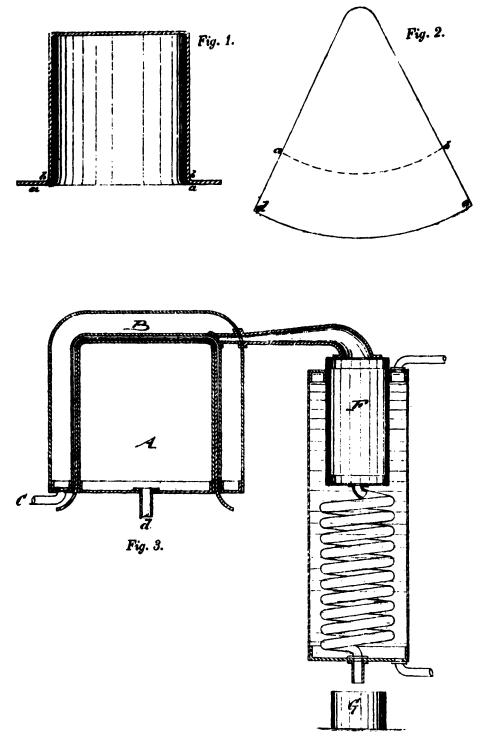
PETOT PLOTTED WITH

Development in the proportion of the annual number of patents granted to and applications filed by foreigners



CARL BORTFELDT IN BREMEN.

Verfahren zur Herstellung von Filzunterlagen zu Hüten.



Zu der Patentschrift

№ 94.

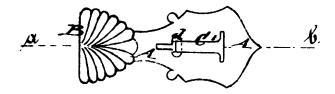
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

GUSTAV LINCK IN STUTTGART.

Serviettenhalter.







Untere Onsicht_ C ú 00

Zu der Patentschrift

№ 24.

PHOTOGR. DRUCK DER KONIGL. PREUSS. STAATSDRUCKERFI.

2. Total Patent Applications in Germany broken down by Classes of the German Patent Classification in the years 1881 - 1973 Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881 - 1973

Year	C1. 1	C1. 2	C1. 3	C1. 4	C1. 5	C1. 6	Cl. 7	C1.8	C1.9	
1881	1 6	28	75	148	38	142	28	137	22	
1882	18	24	101	152	27	122	27	155	22	
1883	6	28	100	156	57	135	22	143	20	
1884	21	35	116	157	62	135	18	167	27	
1885	19	39	157	192	62	160	26	186	20	
1886	25	42	175	193	62	137	38	196	31	
1887	25	39	149	167	42	147	25	164	30	
1888	18	27	175	176	53	138	28	179	30	
1889	25	39	232	223	57	165	25	185	43	
1890	32	23	244	199	45	174	18	180	28	
1891	39	34	172	192	53	153	26	174	43 .	
1892	35	39	198	167	54	203	23	226	34	
1893	32	51	217	190	44	210	27	258	43	
1894	24	55	193	208	52	182	24	308	42	
1895	29	65	231	304	44	160	38	265	37	
1896	36	63	193	249	46	156	22	322	37	
1897	46	52	219	288	43	181	14	366	35	
1898	52	67	208	209	69	176	20	369	40	
1899	35	44	249	226	104	162	18	381	40	
1900	53	71	243	497	109	198	301	476	45	
1901	46	96	217	626	164	231	329	532	68	
1902	71	117	312	680	173	244	375	662	75	
1902	89	142	351	658	171	203	363	592		
1904	81	173	346						110	
1905	93	166	345	664 782	173 179	224 237	325 289	642 641	98 112	
	62			797		240			99	
1906 1907		153 160	413	793	206		372	741		
1908	64		456		319	232	405	803	130	
1908	84	198	534	968	297	230	348	840	130	
	88	224	623	1016	335	261	434	816	155	
1910	101	199	728	927	308	267	432	850	172	
1911	104	295	730	801	254	226	440	767	149	
1912	102	223	820	789	241	244	496	768	166	
1913 1914	153	247	968	753	275	234	465	800	195	
	85	220	618	501	168	165	360	591	120	
1915	35	72	193	260	79	83	249	309	55	
1916	41	84	224	302	92	97	290	359	64	
1917	41	84	224	302	92	97	290	359	64	
1918	51	103	276	371	113	119	356	441	79	
1919	98	138	698	716	158	100	399	483	233	
1920	166	185	707	834	230	92	492	580	239	
1921	143	177	684	702	369	107	490	603	265	
1922	129	144	533	552	379	123	398	598	221	
1923	125	111	433	509	280	87	448	582	153	
1924	131	186	522	539	407	107	579	861	227	
1925	136	351	632	664	569	175	648	952	284	
1926	127	332	612	632	449	165	590	1107	297	
1927	143	381	617	617	480	202	709	1241	327	
1928	147	322	662	736	483	196	702	1237	298	
1929	157	297	698	733	509	235	794	1243	248	
1930	217	333	755	753	543	239	820	1345	275	
1931	261	268	652	599	557	224	764	1217	282	
1932	261	277	606	515	489	203	649	1024	260	
1933	160	248	585	472	301	146	526	913	201	
1934	176	231	511	390	329	145	557	876	178	
1935	151	180	508	426	310	149	510	839	160	
1936	153	169	526	446	272	168	564	901	170	
1937	155	208	480	470	261	172	605	867	142	
1938	127	165	436	461	317	172	620	793	148	

Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881 - 1973

(cont	d.)
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Year	Cl. 1	Cl. 2	Cl. 3	C1. 4	C1. 5	C1. 6	Cl. 7	Cl. 8	C1., 9
1939		•	•			•	•	-	•
1940	•	•	•		•	•		•	•
1941	•	•	•	•	•	•	•	-	•
1942	•	•	•		•	•	•	•	•
1943	•	•	•	•	•	•	•	•	•
1944	•	•	•	•	-	•	•	•	•
1945	•	•	•	•	-	•	•	•	•
1946	•	•	•	•	•	•	•	-	•
1947	•	•	•	•	•	•	•	-	•
1948	•	•	•	•	. •	•	•	•	•
1949	159	283	569	305	1250	174	610	829	171
1950	146	155	574	333	709	125	499	846	187
1951	180	142	515	344	714	114	563	899	184
1952	164	151	535	403	707	101	595	862	166
1953	124	183	595	405	842	105	592	970	119
1954	. 146	159	614	330	755	86	607	1061	152
1955	140	153	427	259	569	82	582	1109	98
1956	131	134	394	231	690	95	600	1034	92
1957	134	113	335	238	761	90	589	1002	98
1958 1959	124 137	120	332 319	214	746	96 97	603	995	103 87
1959	93	115 123	299	208 178	713 598	93	611 691	1059 1039	79
1960	106	125	252	170	544	93 149	638	1126	75
1962	101	144	215	189	484	149	589	1080	90
1963	109	103	236	197	557	145	648	1204	100
1964	119	128	201	273	633	143	650	1194	100
1965	102	135	196	278	684	187	678	1135	100
1966	74	101	212	267	589	176	655	1296	86
1967	82	126	215	257	519	170	707	1133	87
1968	68	107	227	241	407	209	765	1122	70
1969	85	101	243	253	449	242	887	1103	64
1970	66	101	191	193	453	233	808	1151	73
. 1971	93	96	155	191	472	191	750	993	60
1972	101	102	154	155	431	201	769	1090	69
1973	92	90	145	129	430	198	762	861	72

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Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881 - 1973

Year	C1. 10	CI. 11	Cl. 12	Cl. 13	C1. 14	Cl. 15	Cl. 16	C1. 17	C1. 18
1881	41	42	78	137	92	91	7	19	57
1882	46	62	73	118	78	103	14	7	38
1883	54	68	88	190	86	114	25	12	40
1884	42	61	120	183	80	111	32	32	53
1885 1886 1887 1988 1889 1890 1891	50 33 34 28 51 43 44	83 82 98 89 86 105 82	99 104 101 120 169 169 171	233 271 204 202 237 262 264	126 122 115 136 144 137 162	156 145 165 150 189 177 183	29 28 17 15 10 16	45 25 28 24 31 29 32	68 45 33 27 38 32
1892	55	68	270	289	144	174	16	38	32
1893	41	63	288	203	145	166	27	52	35
1894	50	71	512	238	161	199	41	101	35
1895	44	80	505	220	171	205	29	89	44
1896	65	80	558	255	185	235	33	95	43
1897	79	88	547	262	217	248	44	89	36
1898	85	88	600	277	269	312	28	120	57
1899	89-	103	693	276	303	356	33	127	56
1900	119	125	766	300	361	478	23	113	67
1901	139	138	854	369	426	568	31	159	92
1902	163	177	887	390	472	582	46	201	102
1903	172	149	862	412	557	568	31	192	111
1904	136	178	879	386	597	522	33	136	115
1905	123	166	885	412	541	590	37	161	112
1906 1907 1908 1909 1910 1911 1912	136 163 183 192 202 216 209	170 178 222 229 213 213 213 271	1043 1113 1082 1140 1206 1315 1475	313 348 374 431 447 374 393	582 582 572 610 596 599 476	611 710 722 781 754 746 760	31 44 68 49 70 61 72	241 220 222 228 246 266 227	137 136 186 200 239 230 235
1913	238	275	1662	443	470	939	75	224	216
1914	193	177	1266	369	342	625	50	229	186
1915	102	114	1123	162	186	207	62	109	134
1916	119	132	1305	189	216	241	72	127	156
1917	119	132	1305	189	216	241	72	127	156
1918	146	163	1603	232	265	296	89	156	192
1919	361	227	1302	344	290	508	108	221	181
1920	597	270	1730	447	357	815	110	263	244
1921	510	305	1868	457	442	925	142	332	302
1922	423	290	2067	511	478	940	114	361	244
1923	323	222	1834	500	480	810	81	304	199
1924	433	281	2357	856	491	994	96	406	350
1925	462	350	2460	795	419	1075	105	444	457
1926	449	424	2692	682	377	1109	93	530	491
1927	459	440	3064	584	432	1169	146	514	476
1928	391	437	3022	541	422	1179	188	559	529
1929	410	388	3119	556	422	1225	208	540	537
1930	413	455	3357	568	431	1211	252	611	605
1931	319	430	2709	505	397	1206	188	555	515
1932	256	357	2294	384	270	1039	179	485	505
1933	258	310	1937	339	270	909	130	501	482
1934	305	287	1988	338	264	794	106	448	404
1935	244	332	2003	312	253	751	80	431	475
1936	277	285	2351	342	217	791	106	475	552
1937	336	304	2828	294	245	812	120	487	558
1938	333	302	2673	308	221	730	105	473	620

Patent applications in Germany (or in the Federal Republic), broken down by the classes of the German patent classification, in the years 1881 – 1973

(contd.)

Year	C1. 10	C1. 11	C1. 12	CI. 13	C1. 14	C1. 15	Cl. 16	Cl. 17	Cl. 18
1939	-			_	-	_	_	-	
1940			-	-		-		-	
1941		-		-	-	-		-	
1942		-		-	-			-	-
1943		-		-	-	-			
1944			-	-	-	-		-	
1945	-			-		-			
1946	-	-	-	-	-	-	-	-	-
1947	-	-	-	-	-	-	-		-
1948				-		-		•	-
1949	214	363	2691	307	160	577	107	447	509
1950	139	266	2598	248	119	614	81	476	452
1951	201	262	2740	228	112	629	97	475	500
1952	209	301	3216	250	139	611	85	523	550
1953	140	363	3166	217	153	641	90	528	532
1954	131	339	3116	189	202	685	110	428	517
1955	126	316	3313	181	159	603	105	401	470
1956	117	252	3589	184	135	524	76	369	470
1957	150	265	3586	170	139	523	48	471	510
1958	115	261	4054	155	121	544	57	442	477
1959	122	265	4569	167	157	598	65	486	538
1960	97	212	4671	129	161	572	55	486	567
1961	84	235	4877	127	181	606	71	500	639
1962	75	256	5066	151	150	660	89	505	600
1963	77	234	5067	147	141	560	74	545	557
1964	77	232	5385	142	108 /	624	69	549	487
1965	74	259	5546	135	149	574	56	634	482
1966	78	243	5781	138	166	628	66	525	474
1967	75	216	5944	153	259	704	84	584	479
1968	68	168	6046	93	336	788	68	500	378
1969	63	202	6017	99	608	695	61	503	372
1970	91	218	6140	71	655	692	61	487	339
1971	82	189	6070	68	690	749	47	512	314
1972	99	179	6122	98	756	847	57	495	296
1973	85	198	5936	90	732	894	53	545	281

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Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classificatio, in the years 1881 - 1973

Yea	ar	Cl. 19	Cl. 20	Cl. 21	C1. 22	C1. 23	C1. 24	C1. 25	C1. 26	C1. 27
	881	105	241	195	104	44	85	101	93	42
	882	99	220	335	138	45	84	73	96	49
	883 884	82 143	268 302	372 292	143 123	48 53	81 92	101 96	111 100	48 41
	885	117	298	387	121	45	95	82	97	33
	886	100	266	395	191	80	114	98	137	56
	887	106	287	412	248	42	78	91	125	60
	888	86	263	383	229	55	74	95	125	46
	889	99	334	488	320	55	89	98	111	42
	890	129	374	510	367	69	82	107	111	65
	891	127	444	567	353	65	12?	100	122	87
	892 893	118	477 443	563 575	412 552	71 67	116 296	84 120	111 157	69 68
	894	123 114	494	603	348	75	289	128	197	79
	895	111	482	656	354	74	303	126	293	68
	895	111	609	723	295	97	261	96	544	72
1	897	116	652	931	319	96	324	113	617	72
	898	135	736	1199	375	109	361	98	937	93
	899	129	851	1386	454	111	381	110	765	85
	900	149	897	1560	453	100	419	117	295	69
	901 902	206	1244 1420	1823 1886	441	96 146	492 483	129 155	292 243	80 162
	903	227 252	1420	1874	428 430	166	483 538	136	243	97
	904	285	1010	1945	373	167	549	131	202	100
	905	239	935	2064	378	157	560	137	251	142
	906	266	1101	2527	409	171	546	156	199	129
1	907	365	1233	2644	442	189	514	152	221	140
	908	372	1262	2720	463	160	530	196	235	145
	909	399	1244	2847	574	195	646	220	240	156
	910 911	397 350 -	1737 1344	2776 2983	497 532	184 194	624 748	202 186	214 199	176 187
	912	377	1236	3017	488	217	695	234	198	176
	913	434	1277	3568	487	280	709	233	164	167
	914	311	973	2923	395	217	592	132	119	136
1	915	121	384	1721	207	164	305	61	72	66
	916	141	446	2001	24()	191	355	71	83	77
	917	141	446	2001	240	190	355	71	83	77
	918	173	548	2458	295	234	436	87	102 285	95 139
	919 920	227 258	973 1284	3737 5052	319 406	244 245	758 1120	153 189	259	147
	921	282	1370	5620	457	322	975	233	206	184
	922	259	1184	5175	511	325	937	212	186	171
1	923	200	1005	5163	481	287	833	237	157	172
	924	298	1419	6827	538	359	1125	287	196	156
	925	441	2422	7453	689	371	1069	318	198	169
	926	631	2046	7695	773	419	1026	343	204	164
	927 928	679 697	1861 1684	8129 8476	793 876	445 433	932 1020	397 399	232 279	266 225
	929	645	1723	9537	764	442	891	436	214	225
	930	704	1591	11099	848	474	968	477	228	238
1	931	568	1572	10933	748	465	865	377	181	214
1	932	481	1358	9445	660	400	759	374	152	155
	933	491	1154	8034	567	341	731	325	121	166
	934	482	1055	7707	702	346	665	378	118	155
	935	434	1084	8431	684	321	717	307	168	160
	936 937	389 325	962 895	8711 8805	675 738	328 388	704 595	356 366	185 137	178 207
	938	333	814	9353	614	359	551	268	137	202
•										

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Patent applications in Germany (or in the Federal Republic), broken down by classes of the German patent classification, in the years 1881 - 1973

(contd.)

Year	Cl. 19	C1. 20	C1. 21	C1. 22	Cl. 23	Cl. 24	C1. 25	C1. 26	Cl. 27
1939									
1940	•	•	•	•	•	•	•	•	•
1941	•	•	•	•	•	•	•	•	•
1942	•	•	•	-	•	•	•	•	•
1943	•	•	•	•	•	•	•	•	•
1944	•	•	•	•	•	•	•	•	•
1945	•	•	•	•	•	•	-	•	•
1946	•	•	•	•	•	•	•	•	•
1947	•	•	•	•	•	•	•	•	•
1948	•	•	•	•	•	•	•	•	•
1949	267	741	7951	520	285	612	248	202	177
1950	305	79Z	7207	452	264	554	230	141	173
1951	349	808	7980	537	328	710	312	108	204
1952	374	819	8435	471	370	625	330	110	199
1953	461	757	8457	479	343	643	327	111	271
1954	405	762	8099	539	395	593	277	100	261
1955	325	753	8021	515	426	604	237	114	240
1956	369	658	8041	531	473	542	236	98	289
1957	391	546	8079	601	349	513	242	77	239
1958	372	565	8473	562	337	448	243	82	229
1959	398	556	8696	658	347	443	231	65	259
1960	374	625	9194	706	338	500	220	71	264
1961	399	555	9619	665	358	455	223	73	255
1962	405	490	9538	715	326	453	204	55	251
1963	521	507	9597	692	375	419	255	62	203
1964	522	528	10387	725	360	402	228	59	233
1965	545	549	10709	711	372	438	243	75	235
1966	473	486	11174	329	402	332	244	5 0	236
1967	471	469	10706	778	409	369	264	49	185
1968	420	518	9966	714	400	368	302	35	183
1969	471	456	9819	824	424	333	301	53	197
1970	490	501	9010	914	441	325	295	33	163
1971	445	552	8838	927	412	374	313	40	175
1972	465	488	9213	910	458	381	305	53	203
1973	424	502	9321	841	427	434	264	60	201

Year	C1. 28	C1. 29	C1. 30	Cl. 31	C1. 32	C1. 33	Cl. 34	C1. 35	C1. 36
1881 1882	29	18	89	30 21	26 34	106	320 346	31 25	188
1883	16 27	22 17	104 99	31	46	107 121	357	27	154 125
1884	20	24	101	40	35	111	456	51	145
1885	29	15	123	56	49	105	464	59	147
1886	25	28	143	35	41	164	464	74	179
1887	27	22	151	49	46	140	413	84	152
1888	25	13	171	28	41	144	492	83	152
1889	27	18	201	50 48	57 39	166	569	80	188
1890 1891	25 24	29 21	215 232	44	65	173 159	634 702	63 86	190 215
1892	40	22	209	53	64	139	662	100	220
1893	26	19	249	67	67	182	676	77	265
1894	36	26	271	67	70	160	713	100	291
1895	46	33	315	51	65	117	724	108	221
1896	65	23	331	59	56	138	731	79	214
1897	63	24	370	75	130	124	720	102	223
1898 1899	69 58	27 37	367 404	88 107	114 130	130 146	743 838	133 145	191 236
1900	55	56	453	118	139	143	785	199	240
1901	59	40	535	103	110	151	845	242	312
1902	77	42	562	119	99	180	1013	267	345
1903	70	36	564	145	107	186	1041	273	375
1904	63	58	589	142	115	180	1033	228	385
1905	99	84	642	133	104	217	1036	259	388
1906	115 89	73 98	655 808	117 148	110	251	1348	323	383 449
1907 1908	102	90 93	895	163	107 95	319 371	1341 1586	397 398	504
1909	118	99	989	166	107	383	1860	434	564
1910	130	103	958	157	110	404	1675	458	503
1911	132	103	1009	208	152	427	1645	427	570
1912	137	112	1024	255	131	367	1532	432	514
1913	166	94	1144	280	131	464	1797	430	554
1914 1915	99 78	61 137	901 557	172 108	101 50	362 122	1258 438	375 123	454 177
1916	91	160	648	126	58	142	510	143	205
1917	90	160	647	126	58	142	509	143	205
1918	111	196	795	155	72	174	626	175	252
1919	127	177	995	156	93	387	2130	265	820
1920	184	210	1336	216	168	548	1925	450	938
1921	171	176	1403	291	166	602	1817	510	769
1922 1923	190 134	160 158	1309 1123	293 258	169 143	514 375	1456 1024	397 391	758 715
1924	150	222	1304	349	188	487	1493	485	683
1925	181	216	1475	390	215	475	1937	570	569
1926	147	259	1619	385	210	613	1920	520	570
1927	180	362	1819	392	241	621	2193	581	587
1928	169	457	1737	370	262	612	2188	558	621
1929 1930	156 173	556 416	1660	427	248	629 647	2235	584	632
1930	115	416 387	1688 1701	457 315	295 273	635	2695 2482	628 537	844 836
1932	116	204	1650	269	213	575	2292	429	885
1933	109	201	1493	239	180	511	1874	315	790
1934	116	238	1234	209	210	427	1686	284	651
1935	99	241	1259	231	185	394	1653	248	639
1936	109	339	1178	276	204	408	1625	270	643
1937	118	452	1082	303	258	372	1358	273	556
1938	99	348	1058	310	258	337	1278	325	579

(contd.)

Year	C1. 28	C1. 29	C1. 30	C1. 31	Cl. 32	C1. 33	C1. 34	Cl. 35	Cl. 36
1939							-		
1940		•	•				•		•
1941		•	•	-		-			
1942			•		-				
1943			-	-	•		•	•	
1944	•						•		
1945	•	•		-			•	•	•
1946	•		•	•	•	•	•	•	•
1947	•	•	•	•	•	•	-	•	•
1948	•	•	•	•	•	•	•	•	•
1949	87	268	1900	447	225	614	2422	349	763
1950	69	236	1569	293	171	508	1561	359	562
1951	78	214	1469	332	143	356	1549	416	677
1952	98	231	1549	352	162	398	1789	471	681
1953	99	229	1502	389	164	423	1824	557	741
1954	92	219	1523	377	168	405	1924	608	690
1955	80	248	1326	342	214	326	1494	472	618
1956	6,1	245	1072	435	248	268	1249	452	561
1957	50	204	1101	375	215	281	1184	475	538
1958	54	194	1014	359	226	281	1210	494	490
1959	80	197	1072	383	223	236	1297	500	511
1960	59	233	944	342	237	269	1264	504	513
1961	62	277	934	357	289	210	1313	437	383
1962	50	248	881	391	298	242	1311	502	470
1963	67	295	922	374	353	231	1382	520	505
1964	56	298	963	416	315	215	1461	506	578
1965	50	311	1086	424	319	192	1447	491	639
1966	49	317	1032	524	381	227	1564	441	636
1967	68	327	1167	735	343	230	1480	498	563
1968	58	340	1253	780	322	256	1293	444	615
1969	63	365	1297	1014	382	275	1224	470	615
1970	61	332	1395	867	324	219	1324	503	559
1971	45	301	1443	926	303	200	1204	496	526
1972	56	258	1481	828	369	197	1385	523	566
1973	46	286	1686	711	359	178	1293	519	559

Year	Cl. 37	Cl. 38	Cl. 39	C1. 40	C1. 41	C1. 42	Cl. 43	Cl. 44	Cl. 45
1881	142	113	42	54	20	263	6	124	290
1882	132	111	33	38	13	258	7	144	290
1883 1884	149 171	89 113	28 29	68 75	21 12	270 293	3	176	278
1885	182	131	23	61	18	312	10 9	153 163	271 278
1886	232	126	31	77	35	363	6	151	314
1887	227	137	28	68	28	392	6	238	329
1888	196	145	33	75	18	351	1	230	328
1889	265	174	49	78	30	394	3	310	408
1890	238	182	46	79	39	389	3	269	379
1891	256	190	63	68	28	390	4	260	484
1892	214	177	60	82	27	435	8	215	473
1893	281	202	71	63	26	442	5	192	527
1894 1895	335 350	193 164	80 51	82 105	25 19	473 544	7 6	195 168	519 494
1896	314	159	90	74	30	517	8	167	503
1897	319	176	97	104	19	594	8	166	493
1898	312	223	101	137	23	751	5	152	543
1899	397	238	113	111	27	730	3	162	581
1900	402	197	123	103	34	570	228	139	630
1901	535	272	156	124	32	726	280	169	684
1902	635	252	160	97	35	802	240	205	759
1903	662	247	147	106	48	956	294	216	814
1904 1905	713	252	130	104	23	995	264	190	855
1905	682 742	287 289	135 203	153 143	51 38	1048 1233	250 305	220 230	894 1037
1907	917	306	194	145	44	1332	378	295	1149
1908	1052	295	151	129	57	1312	385	379	1364
1909	1240	365	193	147	56	1466	350	646	1607
1910	1182	358	263	215	61	1465	339	605	1741
1911	1113	361	272	194	68	1523	376	579	1727
1912	1255	357	265	275	89	1646	347	551	1648
1913	1,308	417	276	261	72	1704	363	660	1747
1914 1915	883 407	252 137	181 134	176 110	84 23	1354 713	311 88	382 189	1219 565
1916	473	160	156	128	27	829	103	220	658
1917	473	159	156	128	27	829	103	220	657
1918	581	196	192	158	33	1018	126	270	808
1919	1353	318	178	113	38	1521	250	966	1644
1920	1046	398	289	226	56	1875	261	1093	1836
1921	1060	515	291	239	64	2193	307	1054	2080
1922 1923	759	422	302	208	44	2230	269	832	1949
1924	599 881	347 498	303 368	200 336	39 61	1963 2259	200 223	569 614	1534 1967
1925	978	548	504	331	66	2478	323	579	2292
1926	975	523	455	409	79	2483	474	563	2103
1927	1109	571	554	405	80	2878	575	533	
1928	1187	562	751	435	68	3312	670	524	2384
1929	1359	576	800	442	65	3589	648	614	2183
1930	1517	538	826	522	77	3920	704	574	2212
1931	1305	397	807	493	72	3486	665	574	1859
1932 1933	993 815	339 285	725 621	358 368	53 43	2775 2368	624 474	535 445	1515
1934	735	290	708	298	43	2204	474	370	1382 1148
1935	776	303	765	344	50	2165	450	305	1252
1936	856	313	757	399	55	2612	378	299	1273
1937	980	341	878	428	52	2736	371	259	1378
1938	1043	335	908	505	29	2741	297	266	1270

(contd.)

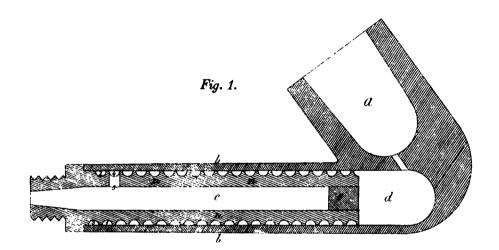
Year	Cl. 37	C1. 38	Cl. 39	C1. 40	Cl. 41	C1. 42	Cl. 43	C1. 44	C1.45
1939		•	•	•	•	•	•	•	•
1940	•	•	•	•	•	•	•	•	•
1941	•	•	•	•	•	•	•	•	•
1942	•	•	•	•	•	•	•	•	•
1943 1944	•	•	•	•	•	•	•	•	•
1944	•	•	•	•	•	•	•	•	•
1946	•	•	•	•	•	•	•	•	•
1947	• .	•	•	•	•	•	•	•	•
1948	•	•	•	•	•	•	•	•	•
1949	2886	548	1344	333	25	2885	149	499	2432
1950	1381		1334	290	31	2864	482	301	1641
1951	1349		1251	316	41	3015	387	341	1594
1952	1467		1296	328	37	3261	310	336	1709
1953	1671		1394	358	49	3208	316	341	1700
1954	1720		1602	394	54	3218	326	303	1586
1955	1422		1823	331	31	3079	372	238	1277
1956	1303		2105	374	31	3121	377	231	1291
1957	1364		2167	355	31	2984	399	225	1255
1958	1381	365	2230	350	18	3451	394	181	1263
1959	1403	361	2541	376	25	3450	462	185	1330
1960	1463	298	2571	328	10	3566	498	203	1315
1961	1510		3030	347	17	3551	509	207	1267
1962	1744		3340	329	22	3331	556	229	1202
1963	1915		3482	311	10	3542	555	261	1261
1964	1918		3778	427	33	3835	558	301	1449
1965	1957		3633	440	34	4106	618	293	1373
1966	1882		3618	511	34	3988	519	285	1548
1967	1858		3766	505	31	4454	260	291	1544
1968	1752		3514	435	31	4484	247	253	1397
1969	1753		3665	432	31	5099	307	352	1348
1970	1811		3775	497	32	5431	322	284	1231
1971	1931		3626	525	36	5378	270	278	1022
1972	2089	175	3621	438	22	5313	303	261	998
1973	2103	220	3329	429	26	5185	324	264	966

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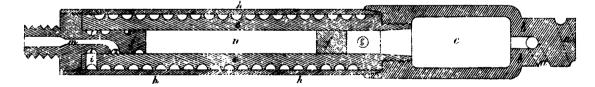
Year	C1. 46	Cl. 47	Cl. 48	Cl. 49	C1. 50	Cl. 51	C1. 52	C1. 53	C1. 54
1881 1882 1883	97 97 130	202 228 257	29 26 23	164 215 229	120 115 114	106 87 113	105 156 160	53 57 57	72 70 56
1884	100	308	12	249	162	132	149	57	77
1885	107	395	27	285	166	167	157	72	70
1886	143	389	24	306	149	208	156	76	96
1887 1888	159 192	370 331	28 32	300 324	129 144	182 170	166 111	67 79	105 98
1889	175	430	25	355	150	190	104	92	114
1890	170	404	22	373	160	184	105	113	140
1891	209	482	36	440	177	218	105	110	120
1892	192	510	40	503	146	222	87	136	124
1893 1894	197 200	489 508	62 57	487 496	176 172	217 244	93 115	185 197	145 149
1895	218	460	40	486	163	190	105	183	145
1896	268	490	54	482	151	196	100	219	151
1897	281	562	32	601	127	208	151	265	154
1898 1899	318 470	607 747	48 61	686 797	173	200 197	138 103	347 333	174 176
1900	504	751	76	547	140	196	143	323	195
1901	533	926	77	594	160	195	176	333	252
1902	606	1070	63	598	181	229	181	339	281
1903	785	1076	56	566	201	264	193	307	306
1904 1905	803 853	1114 1175	66 62	529 556	210 182	214 241	198 186	313 389	332 379
1906	980	1419	87	591	165	281	189	348	439
1907	963	1605	123	660	227	282	206	339	470
1908	908	1594	144	827	206	283	238	393	560
1909	1009	1578	93	831 820	261 263	263 264	285 298	393 414	572 637
1910 1911	1215 1439	1715 1615	118 135	795	305	262	300	437	548
1912	1606	1557	133	801	299	272	327	449	609
1913	1620	1682	136	818	324	271	352	513	718
1914	1208	1278	124	689	221	208	288	389 513	474
1915 1916	753 876	820 954	71 83	427 496	96 112	60 69	98 114	596	194 225
1917	876	954	83	496	112	69	114	596	225
1918	1076	1172	102	610	138	85	140	732	276
1919	1615	1739	81	1042	211	215	193	635	496 673
1920 1921	2298 2260	2008 2097	116 119	1287 1335	205 283	339 455	333 284	551 541	822
1922	2321	1725	124	1120	316	323	268	409	675
1923	2241	1643	138	947	297	277	214	344	556
1924	2373	1954	185	1231	345	257	293	455	786
1925	2191 2105	2095 2056	185 259	1260 1051	376 337	295 306	288 297	523 521	1224 1105
1927	2067	2103	327	1085	325	290	286	609	1142
1928	2216	2080	337	1106	355	351	296	595	1277
1929	2215	2319	295	1136	342	289	281	592	1237
1930 1931	2440 2304	2291 2137	296 270	1212	362	288	294 317	655 643	1203 1155
1932	1999	1799	238	1074 927	359 304	232 255	307	748	1035
1933	1869	1739	203	727	267	183	276	663	834
1934	1780	1736	257	798	279	118	260	606	768
1935	1806	1885	284 334	847	281	129	213	592	605
1936 1937	2022 2142	2026 2148	554	951 1026	280 311	150 168	222 199	583 663	660 573
1938	2036	2111	370	1162	296	122	182	583	507

H. V. KARLEBYE IN KOPENHAGEN.

Vorrichtung an Tabackspfeifen und an Cigarrenspitzen zur Abkühlung des Rauches und Abscheidung des Tabackssaftes.



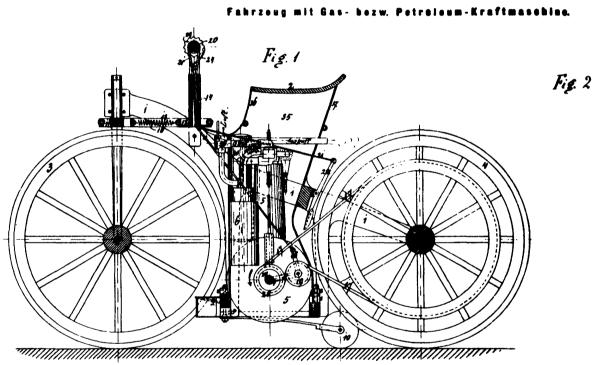




Zu der Patentschrift

₩ 172.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.



G. DAIMLER IN CANNSTATT.

(contd.)

Year	C1. 46	Cl. 47	C1. 48	Cl. 49	C1. 50	C1. 51	Cl. 52	Cl. 53	C1. 54
1939	•			-	•	•	•	•	•
1940	•	•	•	•	•	•	•	•	•
1941	•	•	•	-	•	•	•	•	•
1942	•	•	•	•	•	•	•	•	•
1943	•	•	•	-	•	•	•	-	•
1944	•	•	•	•	•	•	•	•	•
1945	•	•	•	•	•	•	•	•	•
1946	•	•	•	•	•	•	•	•	•
1947	•	•	•	•	•	•	•	-	•
1948									•
1949	1460	2142	282	1105	411	183	275	930	490
1950	1646	2037	382	1083	415	117	286	534	557
1951 1952	1498	2124	363	1170	497	120	274	490	529
1952	1433 1472	2437 2616	372 398	1293	459	123	246	507	544
1954	1368	2672	411	1363 1289	443 377	128 119	241	490	577 534
1955	1242	2327	398	1113	331		268	426	
1956	1127	2313	435	1084	312	104 90	243 234	429 342	434 370
1957	1098	2451	399	1057	283	97	204	342	338
195.8	1084	2731	466	1097	328	83	204	320	371
1959	1139	2831	460	1127	329	74	192	356	377
1960	1288	2923	487	1025	348	81	175	346	342
1961	1340	3098	482	1032	354	71	177	326	317
1962	1359	3643	509	1114	311	70	164	302	410
1963	1259	3652	502	1203	321	75	174	299	373
1964	1247	3608	616	1205	356	82	174	301	416
1965	1411	3470	672	1254	329	86	188	384	394
1966	1309	3714	607	1225	368	104	177	436	395
1967	1160	3796	589	1241	382	85	161	373	395
1968	1014	3628	607	1184	340	103	188	398	327
1969	1055	2974	559	1207	305	84	138	440	266
1970	1039	3034	544	1245	304	128	160	355	305
1971	1102	3220	531	1276	298	91	144	389	311
1972	1125	3542	563	1352	279	85	149	341	333
1973	1275	3580	596	1265	322	95	144	402	308

Year	C1. 55	C1. 56	Cl. 57	Cl. 58	C1. 59	C1. 60	C1. 61	C1. 62	C1. 63
1881 1882 1883	59 86 98 98	14 25 23	21 22 28 39	36 42 38 46	93 104 115 105	11 20 14 20	31 69 42 30	4 8 1 4	115 123 116 127
1884 1885 1886 1887	73 97 77	14 15 13 22	39 34 52	40 43 50 41	110 101 92	25 20 21	48 35 33		144 156 200
1888 1889 1890 1891	78 83 91 97	17 34 40 37	57 107 105 116	34 33 23 22	85 70 62 87	19 20 23 24	45 53 34 52	• 5 5 4	216 257 241 303
1892 1893 1894	74 84 63	31 39 42	107 108 113	39 32 35	95 106 120	22 24 28	53 62 71	7 8 5 4	405 593 610 727
1895 1896 1897 1898	92 91 96 131	40 27 31 36	128 175 175 205	30 33 66 69	93 107 96 129	24 35 32 43	48 56 48 50	1 2 3	1382 1897 1804
1899 1900 1901 1902	133 149 180 158	32 59 48 76	256 269 338 317	68 47 39 39	114 135 174 203	65 49 49 70	82 108 75 105	4 - - -	1356 1000 933 933
1903 1904 1905 1906	172 152 153 164	94 81 61 85	383 355 361 414	63 50 54 61	213 176 179 183	61 58 39 43	117 87 82 97	•	976 1076 1430 1921
1907 1908 1909	184 216 278	73 61 86	418 443 402	57 80 73 73	179 235 232 223	52 55 53 65	94 100 107 118	•	2032 1844 1853 1911
1910 1911 1912 1913	232 288 250 238	101 85 75 70	387 459 496 600	85 69 72	265 242 208	45 54 53	147 93 95	•	2185 2186 2481
1914 1915 1916 1917	187 155 180 180	47 26 30 30	428 208 242 242	62 31 36 36	173 96 112 112	31 17 20 20	87 84 98 98	•	1600 1261 1467 1466
1918 1919 1920 1921	221 190 234 253	37 22 28 19	298 670 1012 1039	45 73 57 72	137 204 245 294	26 38 35 59	120 69 82 137	• • •	1801 1901 2612 2974
1922 1923 1924 1925	322 220 282 330	19 13 29 [.] 41	932 820 913 966	59 84 74 76	284 288 313 355	40 46 44 37	138 128 134 245	- 189 492	2929 2688 3591 4266
1926 1927 1928 1929	407 439 441 482	45 68 64 47	875 1037 1249 1538	63 58 74 86	334 344 310 391	18 32 36 30	255 246 244 223	601 602 786 807	3670 3780 3963 3824
1930 1931 1932	446 429 330	51 30 31	1764 1748 1674	77 67 55	384 356 282	33 31 30	243 230 250	711 657 578	3972 3632 3169
1933 1934 1935 1936	289 284 256 292	39 26 31 35	1632 1236 1309 1341	72 56 56 70	224 240 227 252	20 19 15 16	385 332 386 404	649 760 888 994	2947 3104 3093 3335
1937 1938	368 349	42 31	1259 1203	61 83	222 237	10 17	348 382	1145 1164	3062 3043

1939	
1940	•
1941	
1942	
1943	•
1944	•
1945	•
1946	•
1947	•
1948	•
1949 190 49 917 112 287 16 118 57	2718
1950 202 22 865 75 339 50 146 90	3113
1951 237 24 797 103 299 65 154 75	3330
1952 209 37 939 112 297 72 179 146	3378
1953 232 44 977 95 310 61 223 172	3609
1954 272 42 1059 99 327 71 183 219	3377
1955 283 29 974 114 316 91 126 291	2929
1956 255 19 980 104 328 97 137 337	2643
1957 290 23 945 84 350 81 95 317	2333
1958 248 23 1064 101 352 88 137 311	2319
1959 325 33 1060 88 369 103 122 347	2221
1960 307 24 1079 92 409 124 126 331	2074
1961 298 31 1032 103 376 127 106 321	2126
1962 280 34 1079 110 411 108 112 388	2164
1963 285 23 1074 104 409 93 104 386	2128
1964 321 15 1257 87 448 124 137 377	2428
1965 298 15 1296 127 482 110 99 404	2491
1966 271 25 1405 144 484 64 100 426	2498
1967 266 32 1434 146 426 68 102 439	2544
1968 294 22 1532 156 400 202 108 452	2594
1969 283 27 1669 126 405 322 86 407	2984
1970 248 27 1956 166 366 350 89 361	2801
1971 243 27 1785 185 376 344 90 300	2937
1972 234 16 1733 228 387 400 107 340	2654
1973 317 25 1677 208 377 399 103 262	2707

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Year	C1. 64	CI. 65	C1. 66	Cl. 67	C1. 68	Cl. 69	C1. 70	C1. 71	Cl. 72
1881	135	64	14	14	90	58	88	73	93
1882	155	65	15	26	98	65	110	63	98
1883 1884	180 171	88 84	19 16	25 20	99 117	69 84	122 141	70 69	106 135
1885	196	82	20	26	174	57	123	86	122
1886	218	89	45	32	144	42	147	86	124
- 1887	250	85	34	34	142	31	156	106 -	149
1888 1889	266 272	87 90	28 29	35 38	172 184	36 53	144 190	103 117	153 173
1890	325	115	23	41	193	67	185	116	179
1891	360	117	21	43	212	62	217	144	200
1892	304	125	35	66	253	69	141	112	207
1893	319	144	27	57	273	52	175	135	203
1894 1895	336 328	149 203	31 26	58 49	231 267	47 32	142 182	123 137	235 199
1896	390	173	21	61	236	39	154	157	210
1897	421	184	37	75	285	44	155	170	199
1898	443	240	36	90	323	38	145	215	226
1899 1900	392 335	279 290	35 36	85 77	282 315	42 39	160 174	163 175	237 295
1901	475	307	50	90	352	62	147	195	332
1902	438	275	107	94	462	65	190	252	336
1903	494	263	83	132	414	69	200	244	344
1904 1905	501 554	346 456	48 37	132 116	424 477	54 85	211 222	268 288	362 401
1906	607	480	66	119	566	84	253	371	492
1907	596	58.5	74	191	605	92	256	419	504
1908	692	574	86	191	721	114	343	473	596
1909 1910	670 703	595 692	88 95	187 231	783 767	137 104	439 422	527 542	603 595
1911	633	602	87	244	724	94	461	546	570
1912	639	784	89	215	788	100	420	612	641
1913	643	799	114	297	843	130	513	618	687
1914	395 201)	700 464	99 20	192 96	553 231	90 70	348 132	453 527	874 1236
1916	232	539	23	112	269	82	153	612	1439
1917	232	539	23	112	269	82	153	612	1437
1918	285	662	27	137	330	101	188	752	1766
1919 1920	440 464	395 518	65 64	341 399	1158 1377	182 211	564 661	506 717	246 292
1921	533	584	88	383	1259	193	693	633	333
1922	435	526	79	331	899	190	584	605	253
1923	304	458	67	296	568	130	497	496	229
1924 1925	462 692	617 713	99 140	330 441	673 644	136 153	434 475	565 681	301 279
1926	804	683	181	404	631	177	430	629	357
1927	787	735	233	359	585	203	433	607	361
1928	784	798	170	431	580	189	414	616	383
1929 1930	790 806	647 710	199 196	418 418	679 705	177 210	395 416	568 630	345 453
1931	748	626	247	399	767	250	415	635	522
1932	725	586	179	385	589	279	382	680	539
1933	589	538	155	334	561	236	299	573	729
1934 1935	55N 467	481 427	163 135	223 265	428 408	231 189	271 303	537 478	819 1061
1936	512	427	111	252	408	162	263	449	955
1937	448	451	128	270	408	131	316	379	1096
1938	419	411	103	331	418	126	308	408	1070

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(contd.)

Year	C1. 64	C1. 65	C1. 66	C1. 67	C1. 68	Cl. 69	C1. 70	Cl. 71	Cl. 72
1939		_	-	_					_
1940	•	-	•	•	•	•	•	•	•
1941	•	•	•	•	•	•	•	•	•
1947	•	•	•	•	•	•	•	•	•
1943				•		•	•	•	•
1944	-			•	•	•	•	•	•
1945	-	-		-		•	•		•
1946		-		-	-		•		
1947	-	-	-	-	•	-	•		
1948	-					-			
1949	492	306	126	291	613	205	740	649	60
1950	483	237	117	281	402	154	477	378	80
1951	449	294	128	328	420	152	346	352	156
1952	485	281	116	329	530	127	392	354	192
1953	471	273	136	408	519	135	389	330	210
1954	383	329	97	409	545	154	359	303	184
1955	395	361	103	283	457	125	302	275	217
1956	354	375	102	287	453	105	292	231	239
1957	376	359	90	342	483	114	252	253	292
1958	341	401	85	312	444	101	215	305	297
1959	383	379	83	263	452	87	222	283	309
1960	394	422	78	279	458	75	173	239	265
1961	359	383	70	266	449	78	155	304	221
1962	353	348	87	274	458	63	203	329	271
1963	410	373	83	268	508	85	145	259	285
1964	404	404	65	286	554	80	187	275	314
1965	353	410	98	314	538	93	218	241	341
1966	330	367	58	358	566	98	221	219	359
1967	371	447	73	306	560	115	191	205	321
1968	369	401	87	267	615	76	169	192	353
1969	346	452	63	271	510	76	169	178	332
1970	278	442	88	301	532	101	163	213	324
1971	292	353	101	268	575	108	174	176	305
1972	306	386	90	273	581	102	170	158	330
1975	294	366	71	299	548	156	124	178	329

Year	C1. 73	C1. 74	Cl. 75	C1. 76	C1. 77	C1. 78	C1. 79	C1. 80	Cl. 81
1881	8	24	60	108	130	29	21	114	65
1882	8	32	72	100	139	36	14	117	50
1883	8	30	59	96	111	34	32	123	57
1884	6	31	51	105	104	29	19	141	55
1885	13	32	63	108	142	30	18	128	83 -
1886	4	34	56	133	148	43	31	166	60
1887	11	43	57	127	183	36	24	171	54
1888	10	59	46	124	163	34	23	129	64 [°]
1889	10	65	57	107	273	38	26	168	89
1890	17	78	51	90	272	53	43	203	61
1891	8	61	80	126	272	54	35	240	98
1892	17	65	73	146	239	48	23	260	78
1893 1894 1895	11 8 4	94 90 89	109 122	117 147 160	233 271 288	49 66 81	33 48 50	285 280 283	102 125 127
1896	8	113	•	153	257	87	61	336	163
1897	11	121		185	256	75	61	343	144
1898	11	112		152	257	101	76	387	204
1899 1900 1901	12 4 5	117 144 216	• • • 1	141 169 151	248 264 306	114 84 132	66 80 105	440 537 482	198 214 254
1902 1903 1904	10 7 7	202 186	20 96	16() 152	404 404	134 93	116 - 124	52U 537	352 351
1905 1906	11 10	249 302 296	186 247 262	226 249 184	368 378 506	135 137 134	95 115 114	569 588 569	332 386 427
1907	9	342	313	183	642	120	110	626	472
1908	8	406	340	234	1487 -	132	100	646	613
1909	13	430	345	249	2316	214	111	675	681
1910	15	444	314	278	2233	140	111	731	713
1911	14	425	285	233	1887	128	117	675	677
1912	22	463	289	259	1935	134	126	644	758
1913	16	535	296	323	1927	150	140	751	758
1914	25	413	195	171	1285	139	80	578	613
1915	11	225	65	128	827	214	53	214	312
1916	12	262	76	149	962	249	61	249	363
1917	12	262	76	149	962	249	61	249	363
1918	16	321	93	183	1182	306	75	306	446
1919	26	513	202	118	1124	79	86	683	694
1920	14	688	272	149	1345	141	164	850	768
1921	23	831	324	217	1383	167	160	758	882
1922	19	643	275	265	1378	166	134	776	789
1923	18	563	210	216	1198	120	102	592	717
1924	23	542	301	295	1234	154	164	744	975
1925	19	577	337	372	1155	170	182	876	1226
1926	30	582	352	385	1047	114	186	853	1380
1927	25	611	380	415	997	142	222	1036	1419
1928	25	669	424	429	860	166	243	1041	1547
1929	32	778	413	461	793	146	212	1056	1569
1930	36	850	445	504	922	172	250	1222	1678
1931 1932 1933	34 32 18	701 583 508	377 377 328	419 306	989 979 818	165 160	337 260	984 766	1572 1288
1934 1935	19 21	560 472	316 318	270 257 228	597 582	125 137 117	186 195 171	728 668 649	1127 1014 1072
1436	24	524	287	290	585	125	155	679	1114
1937	14	580	283	331	543	126	144	698	1094
1938	23	560	267	319	511	116	127	750	911

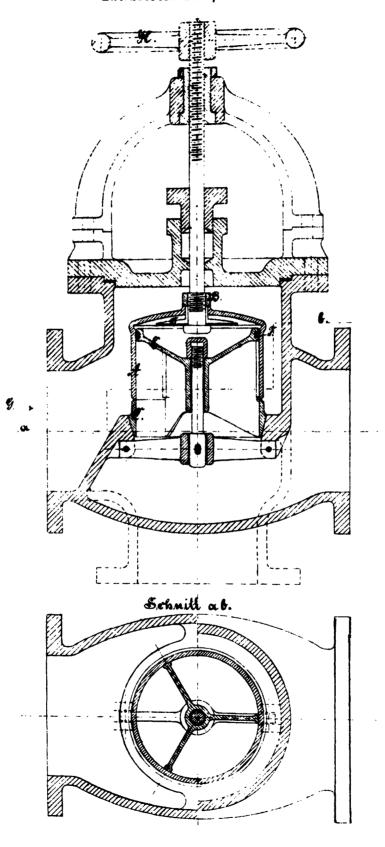
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Year	Cl. 73	Cl. 74	C1. 75	C1. 76	Cl. 77	Cl. 78	C1. 79	C1. 80	C1. 81
1939	•	•	٠	•	•	•	•	•	•
1940	•	•	•	•	•	-	•	•	•
1941	•	•	•	•	•	-	•	•	•
1942	•	•	•	•	•	•	•	•	• `
1943	٠	•	•	•	•	•	•	•	•
1944	•	•	•	•	•	•	•	•	•
1945	•	•	•	-	•	•	•	•	•
1946	•	•	•	•	-	•	•	٠	•
1947	•	•	•	•	•	•	•	•	•
1948	.:					_:			•
1949	11	312	327	383	1329	77	107	1305	1134
1950	9	269	290	452	827	44	168	841	1249
1951	13	389	299	510	801	54	123	874	1431
1952	14	474	308	459	865	59	108	867	1604
1953	23	457	319	460	729	71	127	851	1794
1954	24	435	308	432	792	71	144	838	1766
1955	29	364	331	413	569	92	116	852	1606
1956	29	315	327	393	472	79	120	714	1610
1957	31	278	296	353	475	75	143	772	1792
1958	33	266	272	423	469	119	212	682	1730
1959	17	251	326	404	463	142	201	796	1665
1960	21	252	323	409	443	158	181	788	1879
1961	21	242	376	432	451	119	159	843	1797
1962	14	277	352	420	478	119	131	858	2026
1963	31	249	356	464	512	97	166	838	2098
1964	35	252	462	484	531	95	211	985	2187
1965	40	249	495	458	625	115	193	1011	2236
1966	33	263	515	412	711	86	184	977	2451
1967	25 25	319	514	396	659	111	145	824	2412
1968	31	306	426	357	541	116	185	781	2297
1969	36	294	395	325	663	88	154	767	2415
1970	35	325	432	371	640	87	161	752	2236
1971	59	368	449	439	611	76	177	765	2425
1972	41	415	416	418	795	86	156	839	2512
1973	28	414	365	378	669	118	136	818	2337

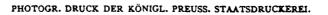
Year	C1. 82	C1. 83	Cl. 84	C1. 85	C1. 86	Cl. 87	C1. 88	Cl. 89
1881	42	48	13	140	101	27	39	130
1882	47	55	14	152	95	41	38	145
1883	56	63	13	151	125	29	40	172
1884	89	86	12	156	137	50	23	143
1885	68	91	19	165	158	46	30	123
1886	52	100	15	132	167	33	34	117
1887	49	83	17	134	126	42	31	96
1888	58	84	23	129	165	40	50	109
1889	65	98	28	142	198	40	41	116
1890	53	96	32	138	126	56	49	147
1891	71	103	29	185	173	58	4Ŭ	117
1892	76	82	24	147	186	53	71	147
1893	92	58	29	206	157	71	64	133
1894	77	69	22	198	162	49	62	129
1895	81	69	19	203	160	47	70	115
1896	95	69	19	212	173	52	74	116
1897	88	76	35	202	205	44	83	123
1898	92	75	29	209	232	57	92	120
1899	124	93	35	186	219	61	79	116
1900	146	87	42	261	198	48	104	100
1901	144	98	36	327	235	82	106	125
1902	180	123	48	329	265	97	102	127
1903 1904 1905	140 160 152	133 132 134 142	82 101 102 98	335 255 267	284 258 244 261	114 125 137	119 106 102	122 107 101
1906 1907 1908 1909	179 178 209 237	142 141 143 145	101 127 150	288 501 363 459	268 347 343	134 181 229 231	155 128 157 178	123 88 119 113
1910	231	143	146	426	312	261)	156	114
1911	234	161	178	401	291	215	162	96
1912	215	153	186	452	346	223	154	108
1913	243	165	190	482	327	245	176	94
1914	180	115	152	344	201	185	117	73
1915	117	38	68	131	68	77	63	41
1916	136	44	79	153	79	89	73	47
1917	136	44	79	153	79	89	73	47
1918	167	54	97	188	97	110	90	58
1919	194	128	122	307	115	366	251	49
1920	238	155	173	262	177	446	404	90
1921	207	178	172	312	253	420	415	97
1922	159	135	181	222	255	325	327	81
1923	176	133	133	180	194	243	272	62
1924	221	170	151	252	242	282	335	85
1925	253	175	204	372	339	345	500	112
1926	262	199	226	422	324	252	326	127
1927	326	439	234	398	339	237	257	131
1928	301	307	257	480	362	281	230	121
1929	311	259	237	575	424	250	180	99
1930	340	295	280	559	435	310	188	105
1931	270	296	278	502	456	215	204	96
1932	214	203	266	411	365	189	184	83
1933	208	156	298	368	297	149	159	86
1934	184	156	245	327	249	126	121	67
1935	215	159	201	323	274	140	129	107
1936	201	144	239	368	267	154	120	77
1937	211	191	182	359	233	136	102	98
1938	160	178	238	296	238	162	137	126
								-

Year	C1. 82	C1. 83	C1. 84	C1. 85	Cl. 86	Cl. 87	C1. 88	C1. 89
1939			•	•	•	•	•	•
1940	-	•	•	-	•	•	-	•
1941	-	•	•	•	-	•	•	•
1942	•	•	•	•	•	•	•	-
1943	•	•	•	•	•	•	•	•
1944	•	•	•	•	•	•	•	-
1945	•	•	•	•	•	•	•	-
1946	•	•	•	•	•	•	•	•
1947	•	•	•	•	•	•	•	•
1948		207						•
1949	325	297	173	261	241	352	120	108
1950	266	249	243	333	336	189	100	59
1951	297	254	241	367	329	207	82	62
1952 1953	267	294 277	257	386	291	238	80	58 57
1955	268 285	265	268 267	408 404	322 253	247 242	116 99	57 74
1955	225	258	279	385	295	233	84	60
1956	211	247	222	328	259	233	δ2	44
1957	246	243	265	316	218	205	90	55
1958	255	236	268	289	218	221	102	57
1959	764	174	313	344	246	250	89	49
1960	238	190	292	352	232	215	71	63
1961	244	168	271	399	255	195	70	38
1962	249	182	339	397	258	201	56	52
1963	264	190	333	435	224	192	62	41
1964	306	187	377	411	222	223	82	36
1965	298	190	396	468	252	229	63	53
1966	275	211	417	479	247	254	53	39
1967	267	216	414	530	259	213	67	35
1968	253	228	401	520	249	224	57	35
1969	231	242	364	590	226	201	53	49
1970	178	280	380	485	201	189	103	35
1971	209	256	345	511	236	207	74	44
1972	201	275	360	598	230	243	88	37
1973	176	237	383	587	212	249	710	29

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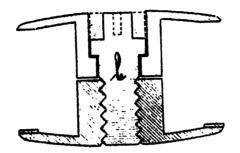
Entlastetes Dampfventil.

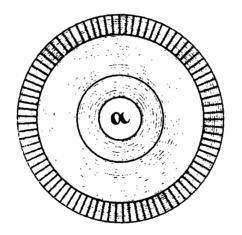


Zu der Patentschrift

№ 48.

W. MOTZ IN BERLIN. Dreitheilige Riemenschraube.





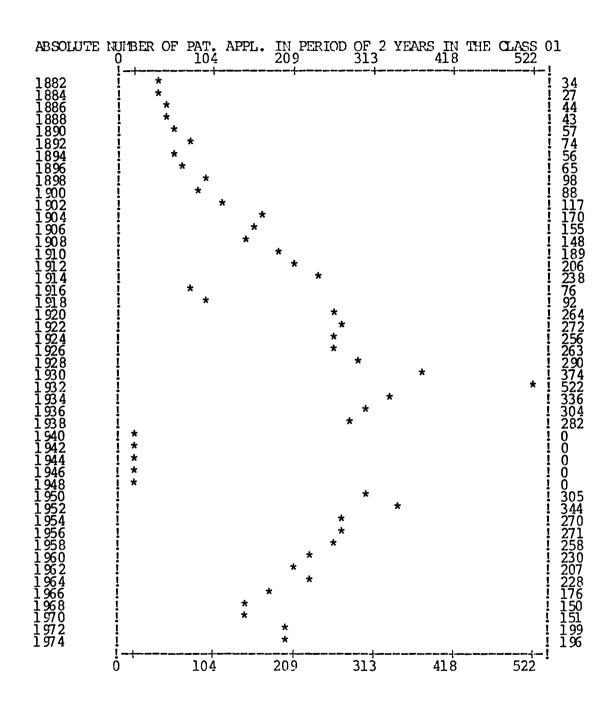
Zu der Patentschrift

№ 82.

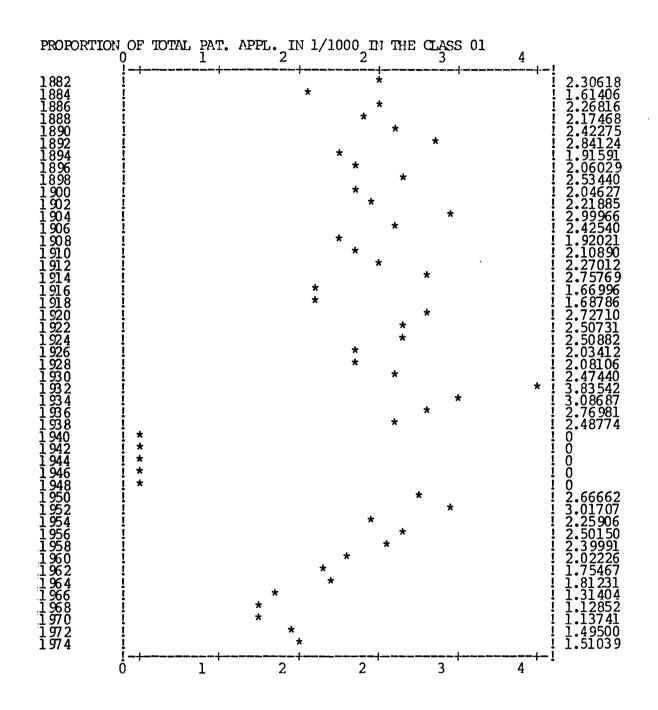
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

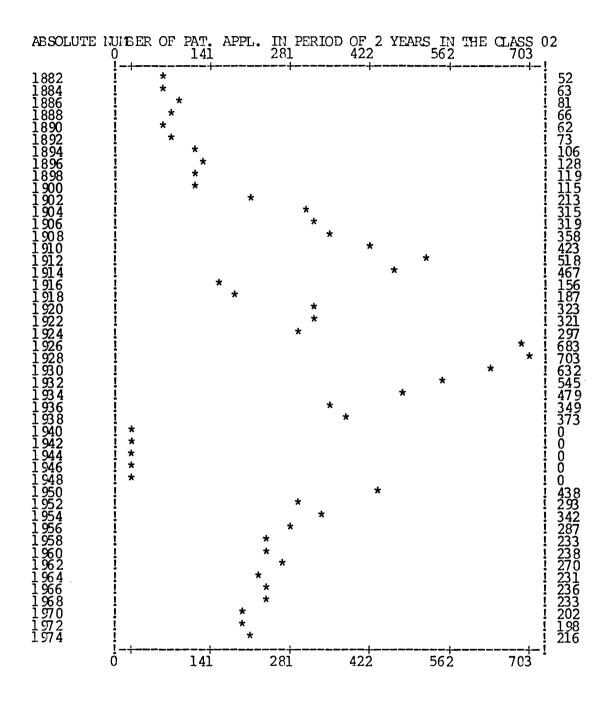
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3. Development in the Individual Patent Classes in Absolute numbers of Patent Applications in Periods of Two Years (from 1882 to 1974) and Proportions of Total Patent Applications in 1/1000 in Classes O1 - 89

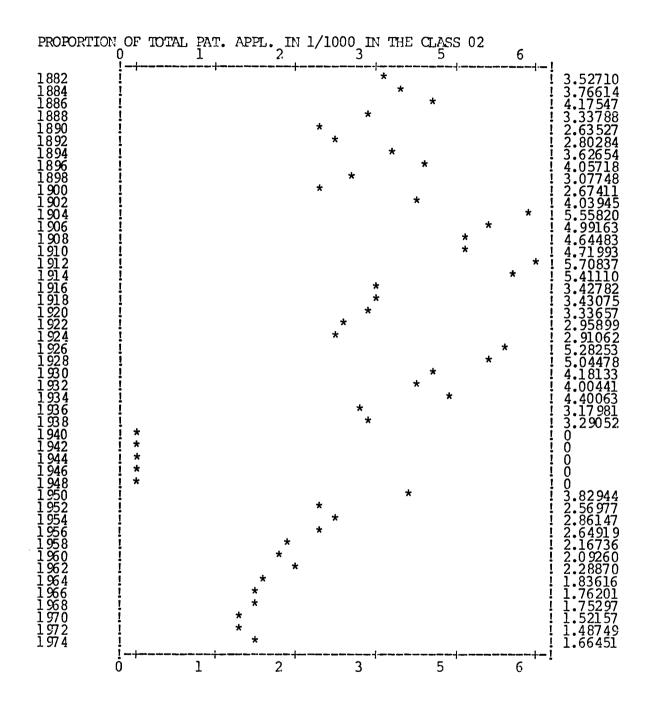


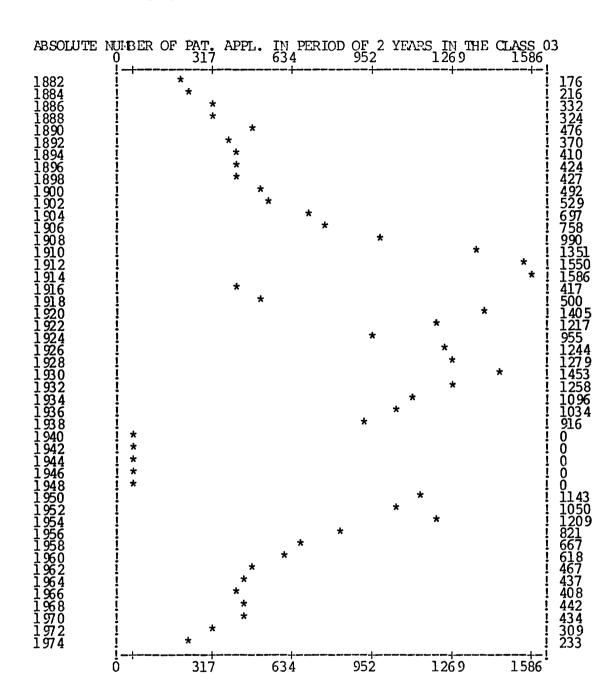
Cl. 1 Preparation of ores, fuels and other minerals, including waste and the residues of combustion

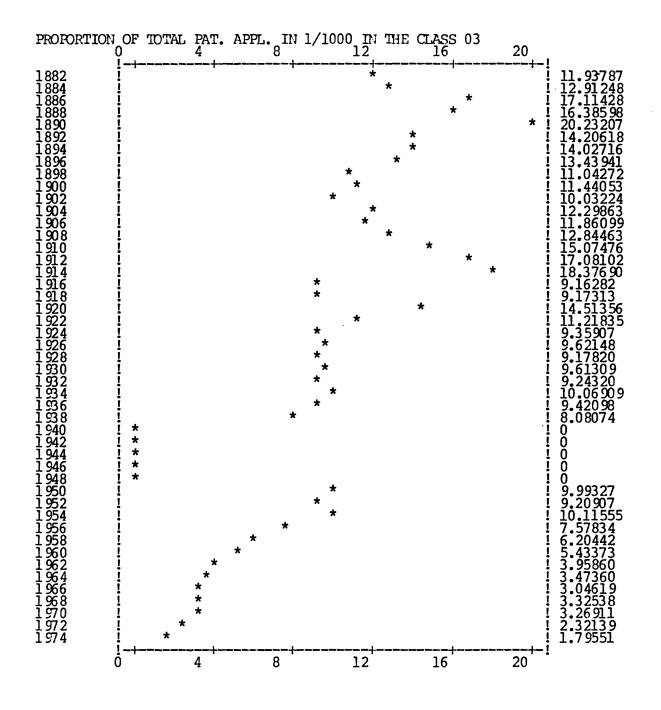


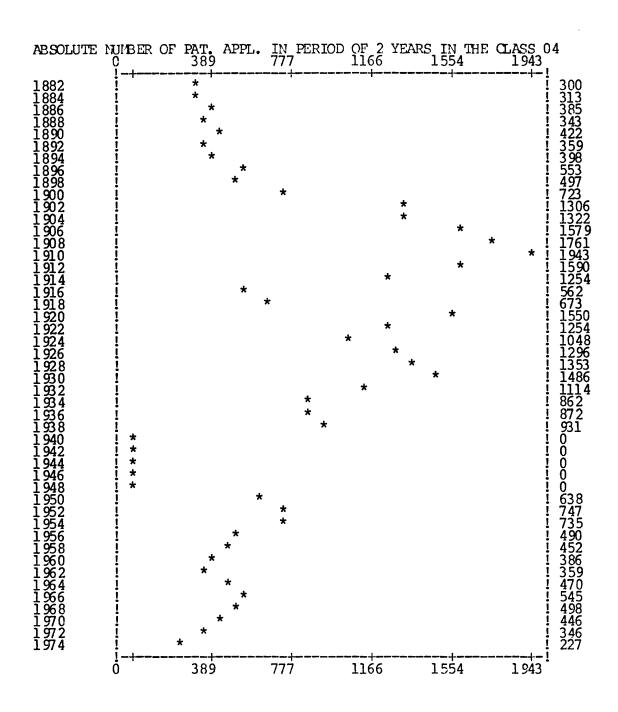


- 162 -

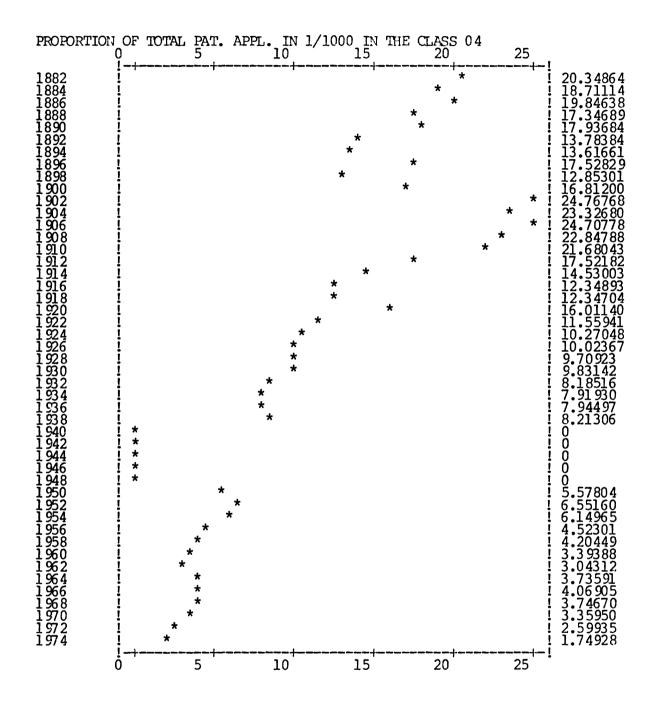


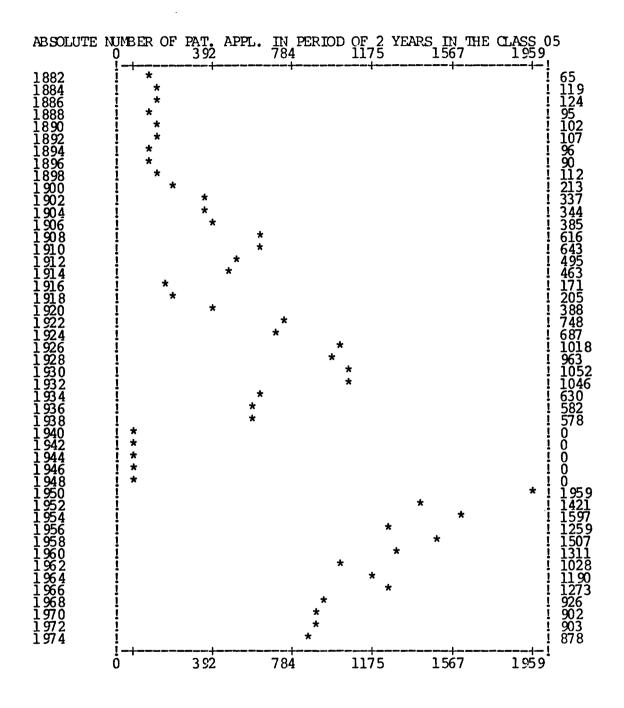


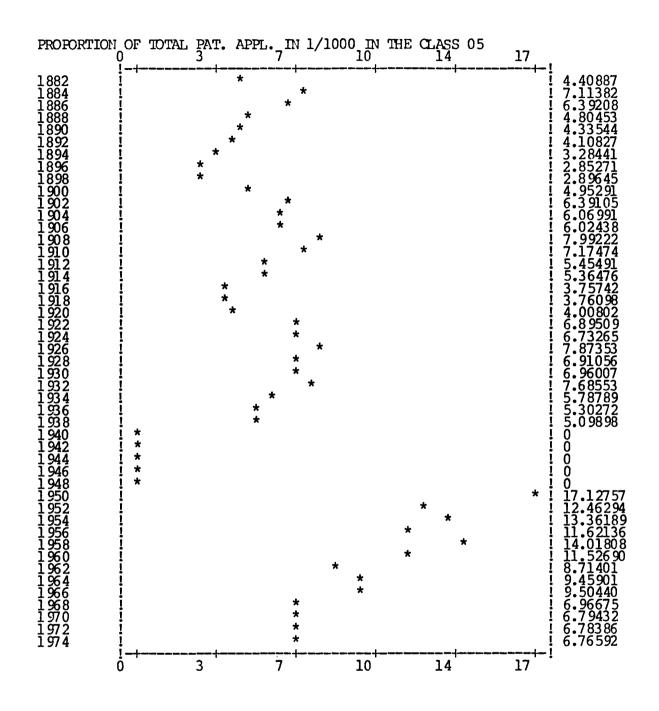


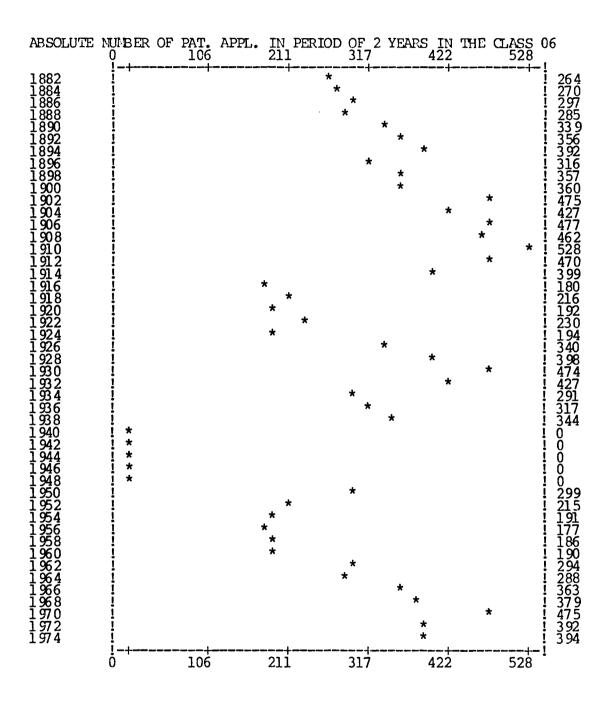


C1. 4 Lighting through fuels and pre-heating torches

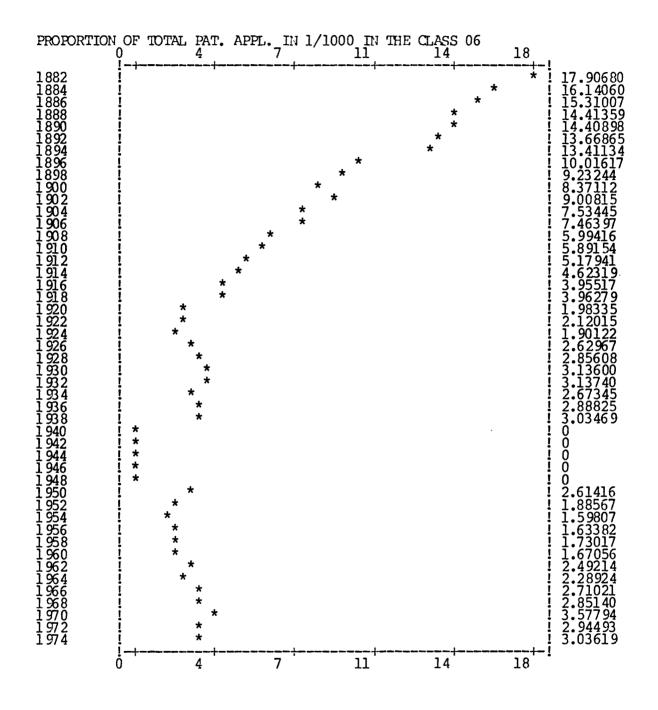


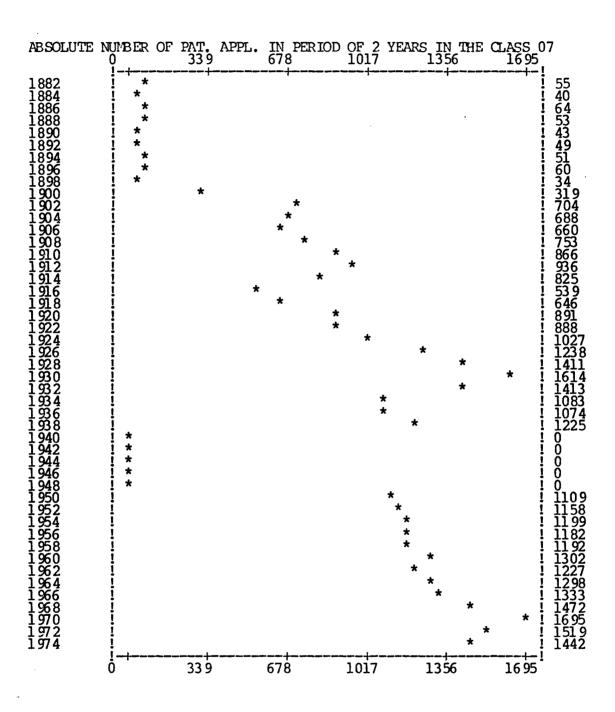






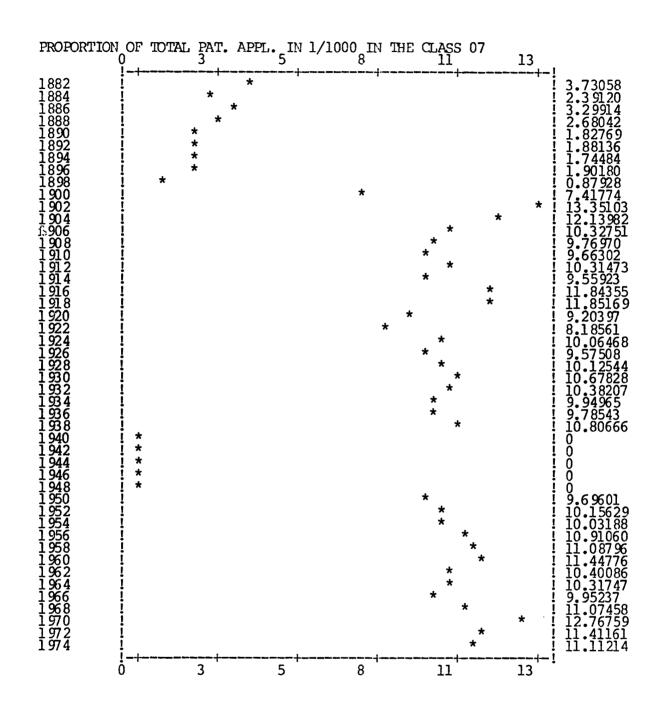
Cl. 6 Zymology : alcohol, spirits, beer, wine, vinegar, yeast and other fermentation agents and products, enzymes

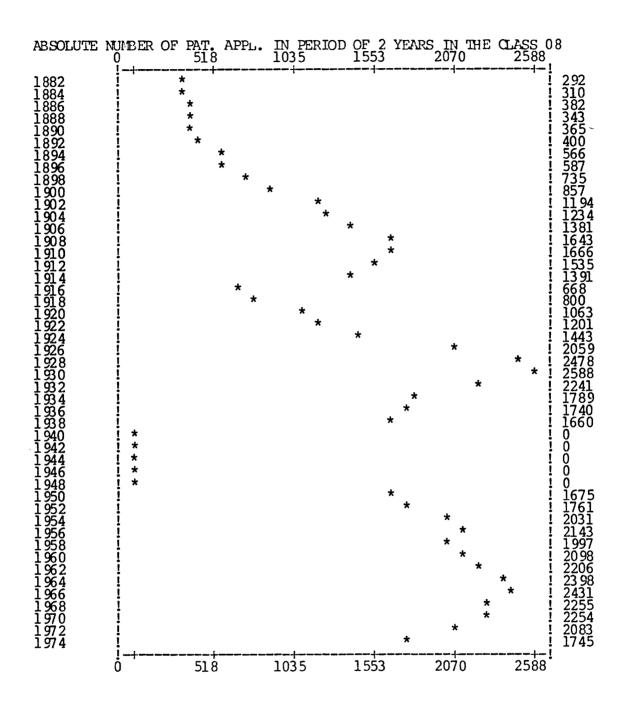




Cl. 7 Mechanical metal working without essentially removing material; punching metal

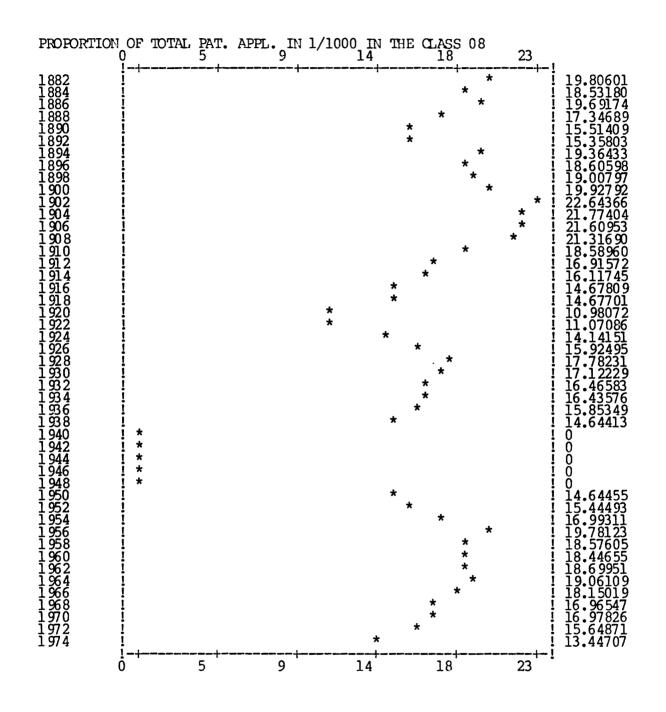
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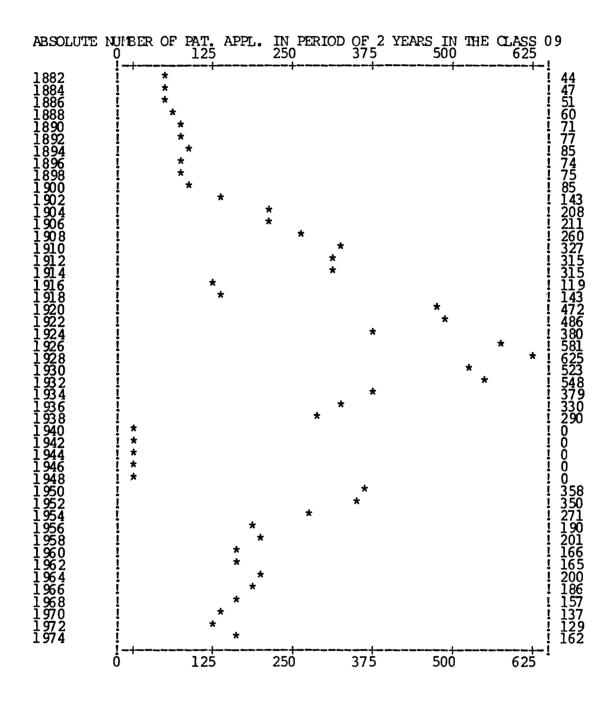


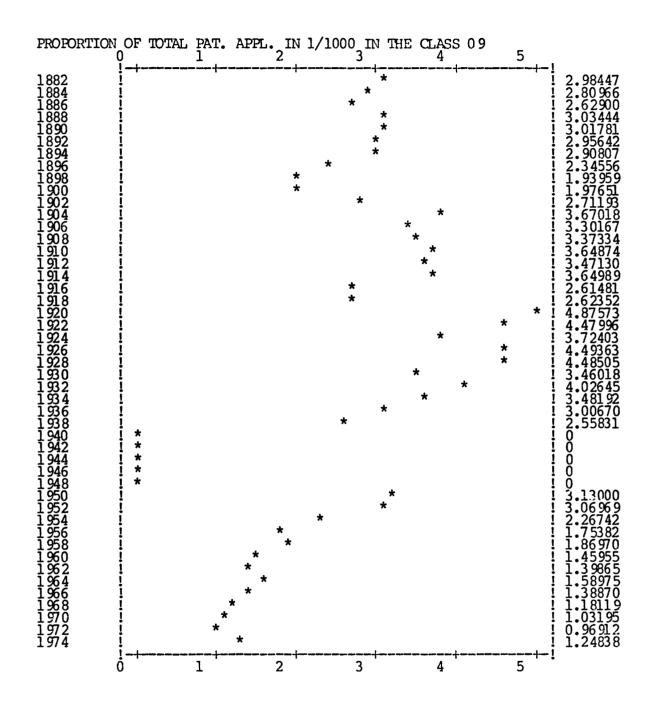


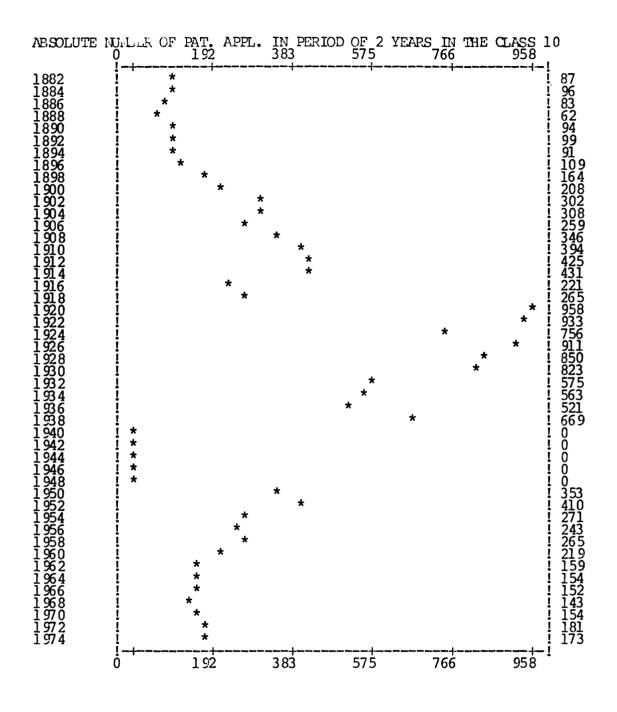
Cl. 8 Bleaching, washing, dyeing, printing of cloth and tapestry and finishing

.



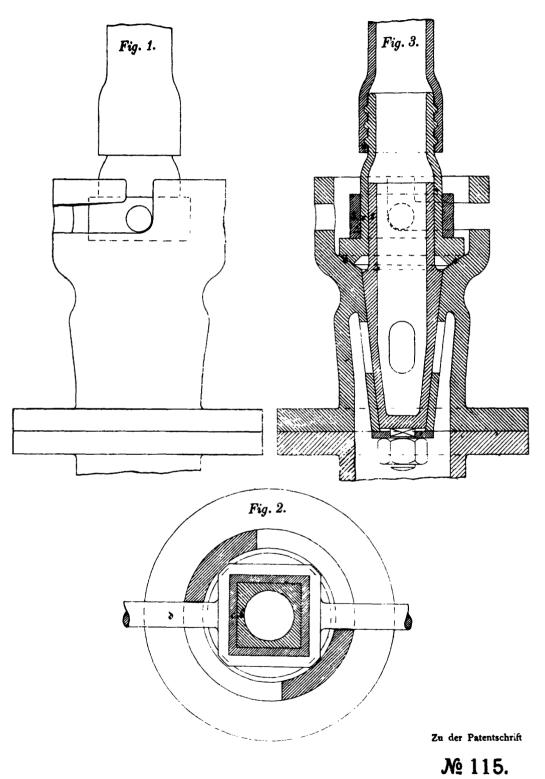






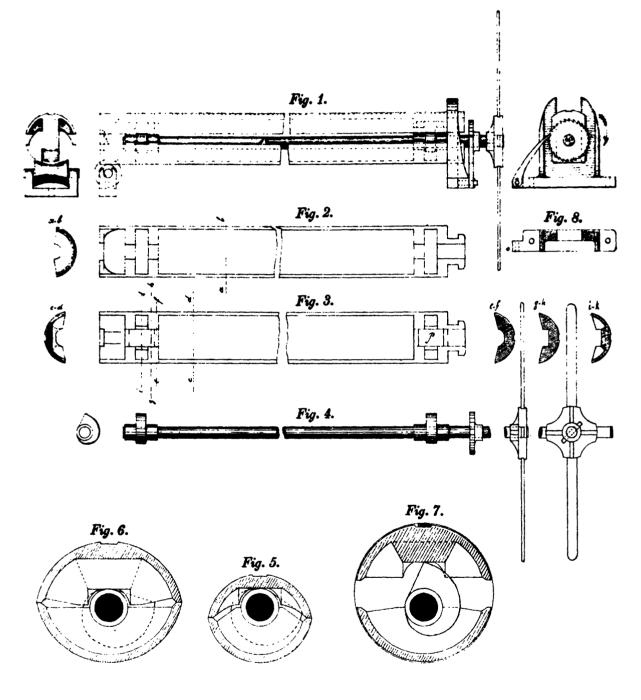
JEAN MEISTER IN KALK BEI KÖLN A. RH.

Hahn mit Schlauchverbindung.



PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

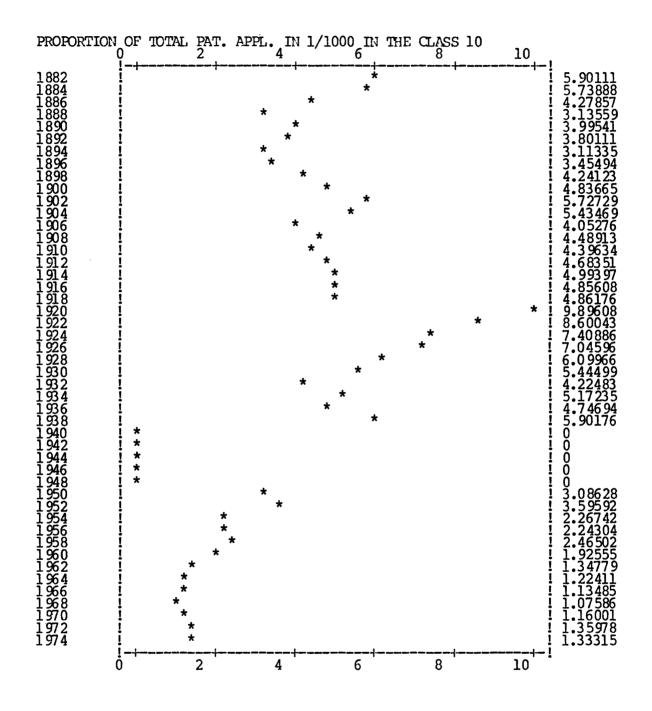


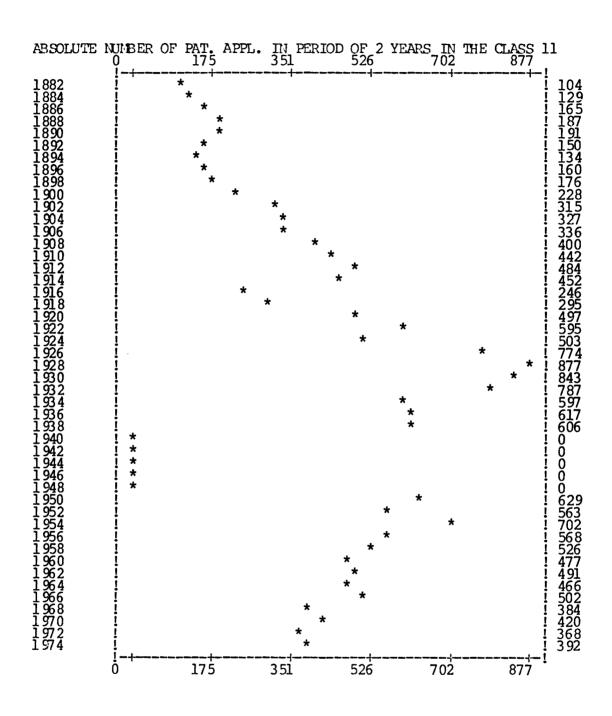


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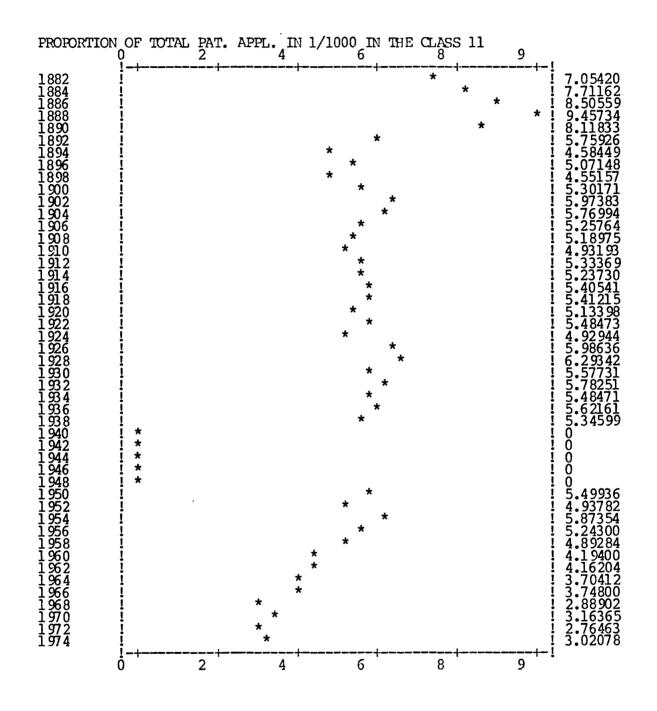
№ 107.

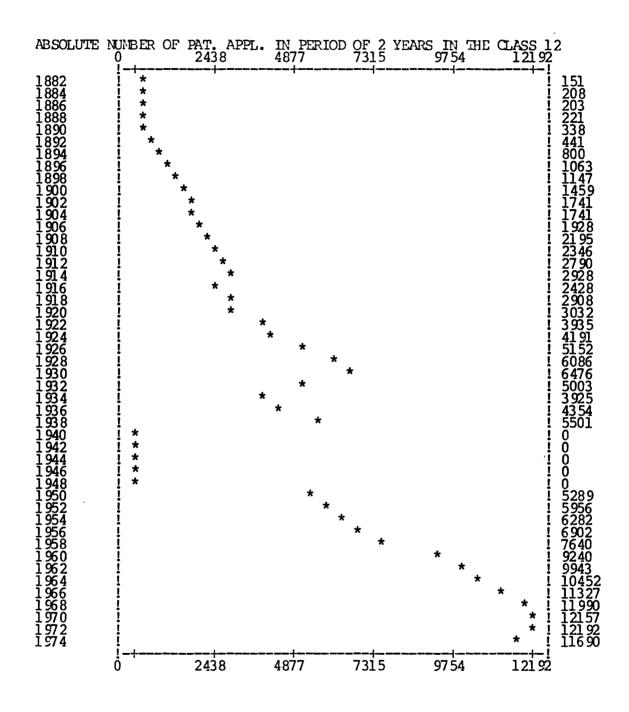
PHOTOGR. DRUCK DER KONIGL. PREUSS. STAATSDRUCKEREI.



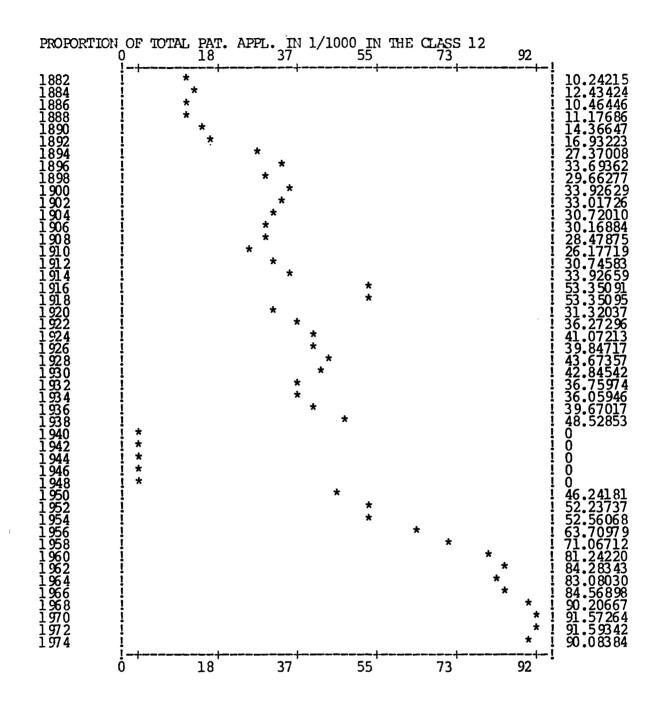


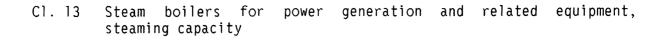
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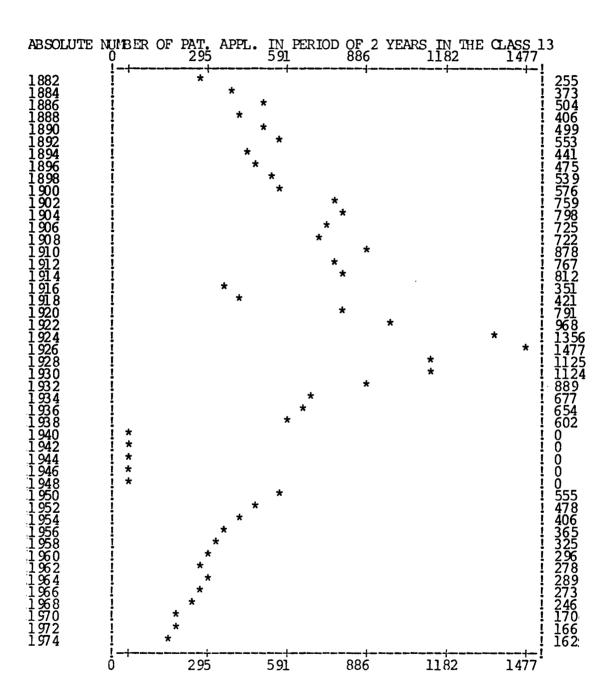


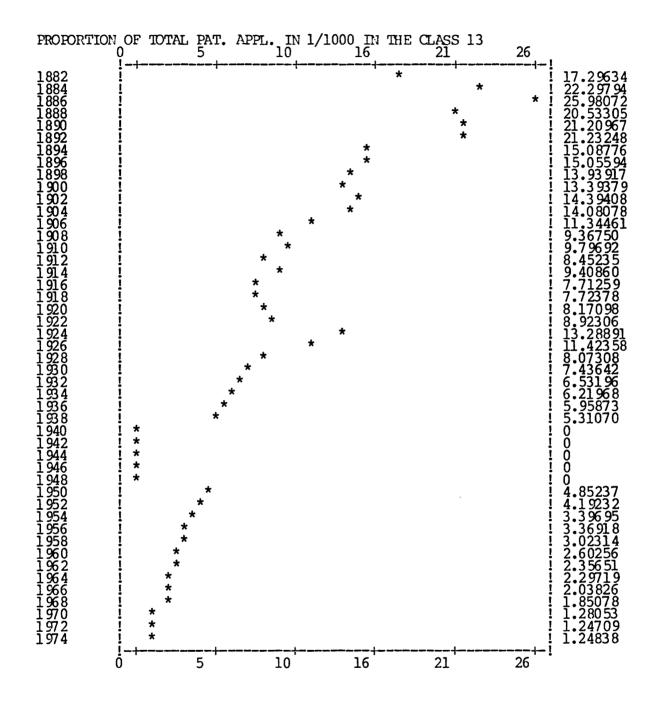


Cl. 12 Chemical processes and apparatus not included in other special classes

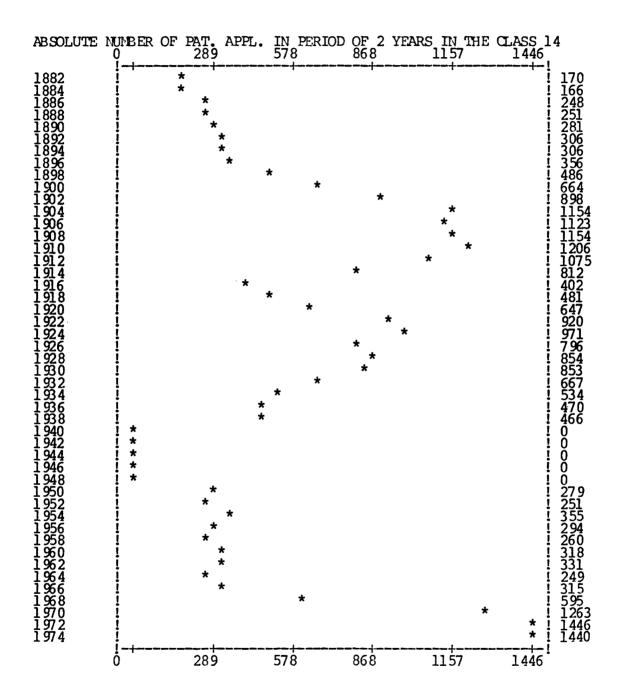


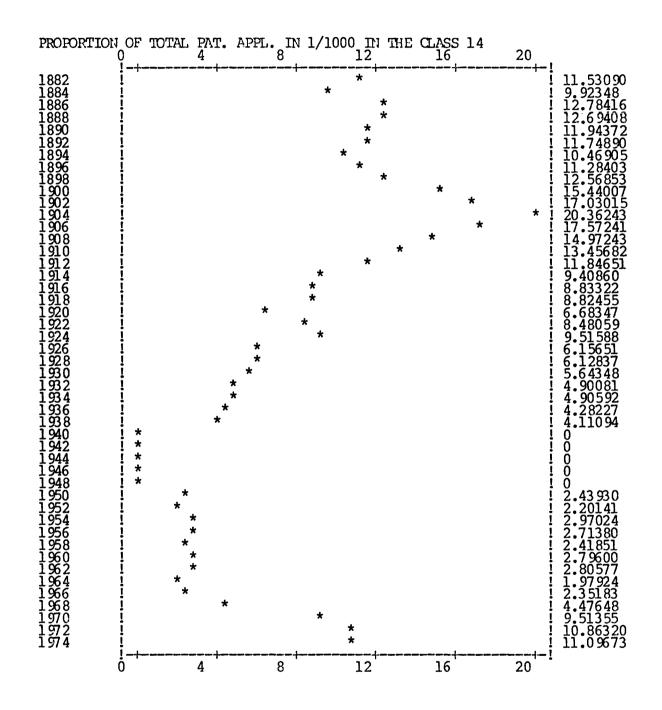


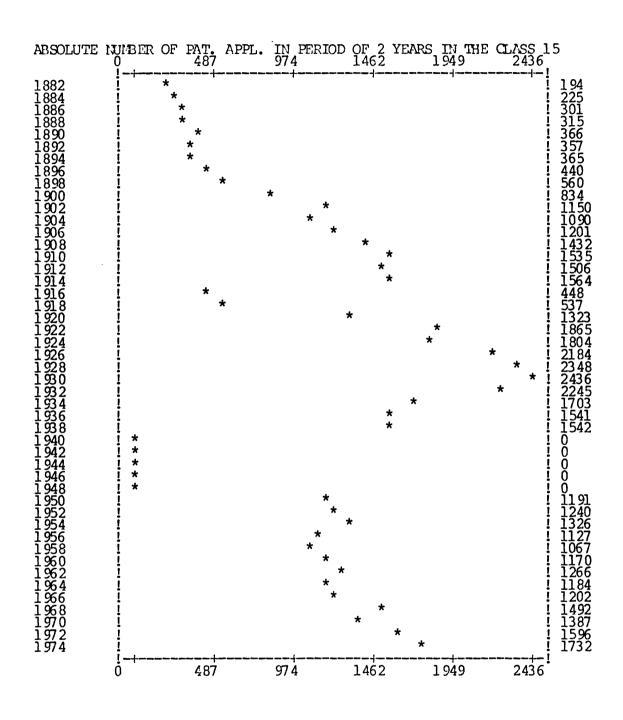


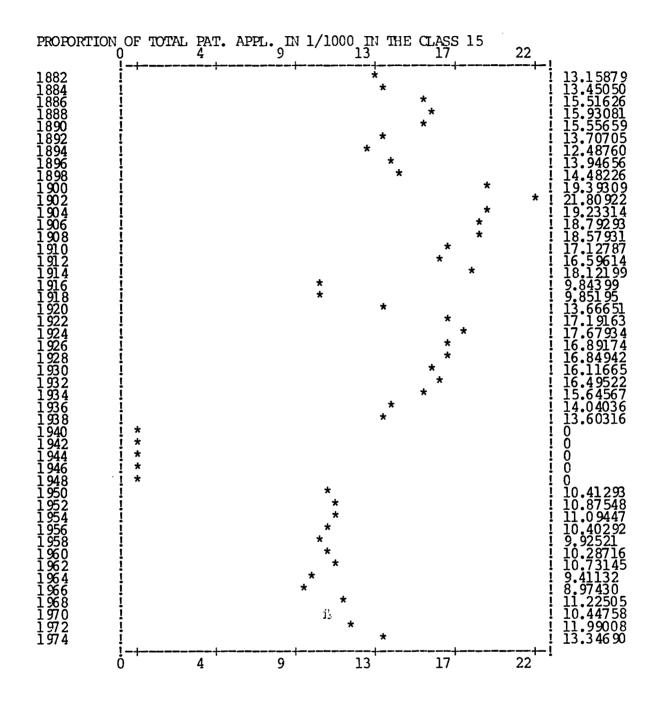


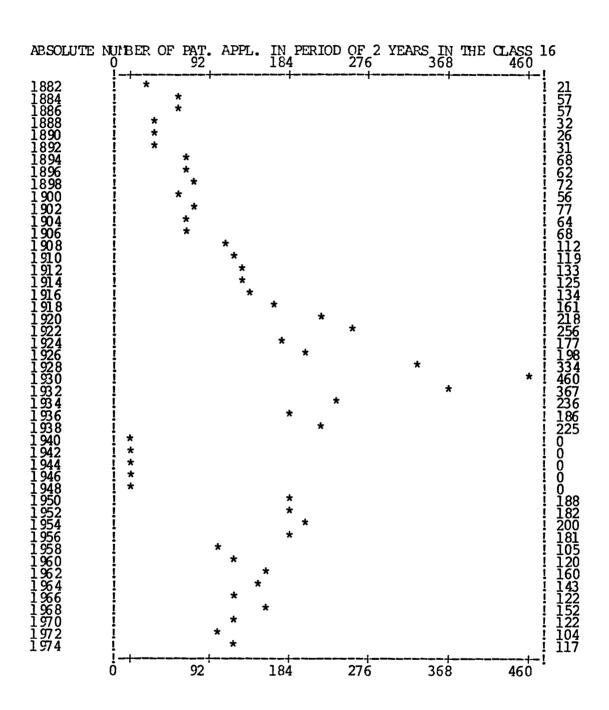
Cl. 14 Machines or engines in general, steam engines, power generating plant in general; periodic control, lubrification, exhaust devices or silencers for gases, cooling of machines or engines, particularly combustion engines

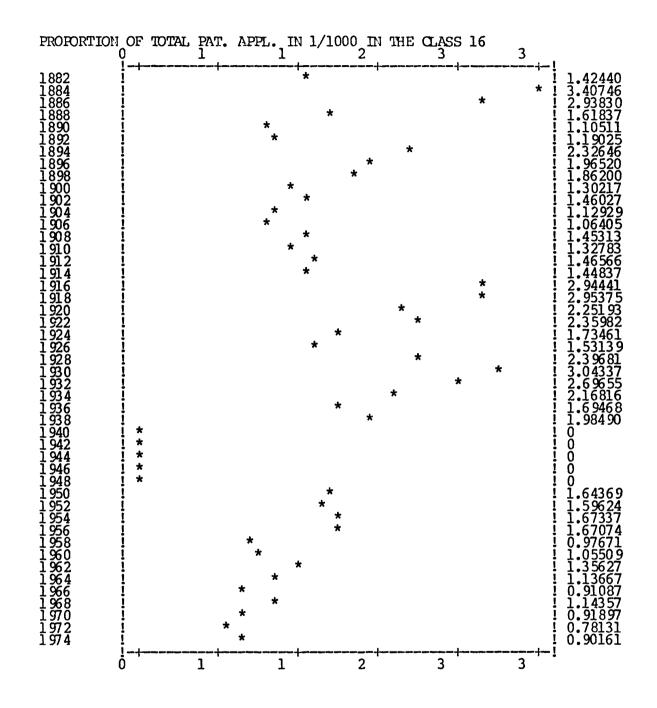




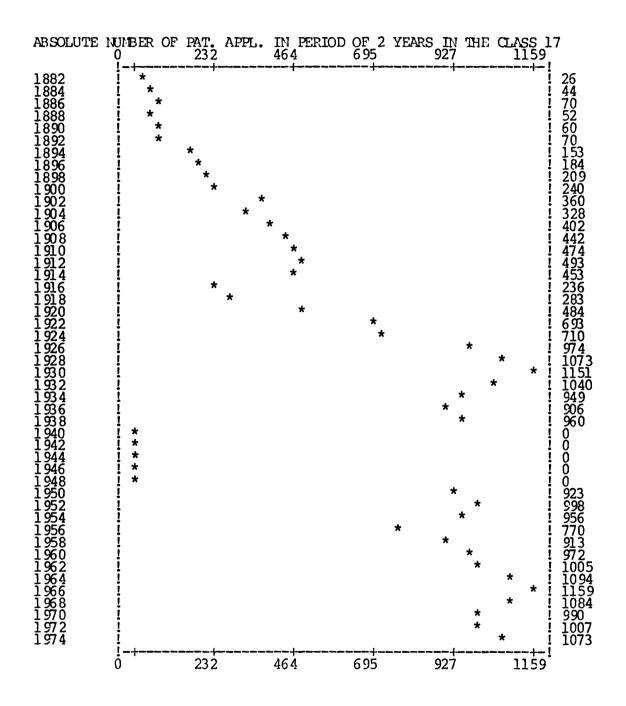


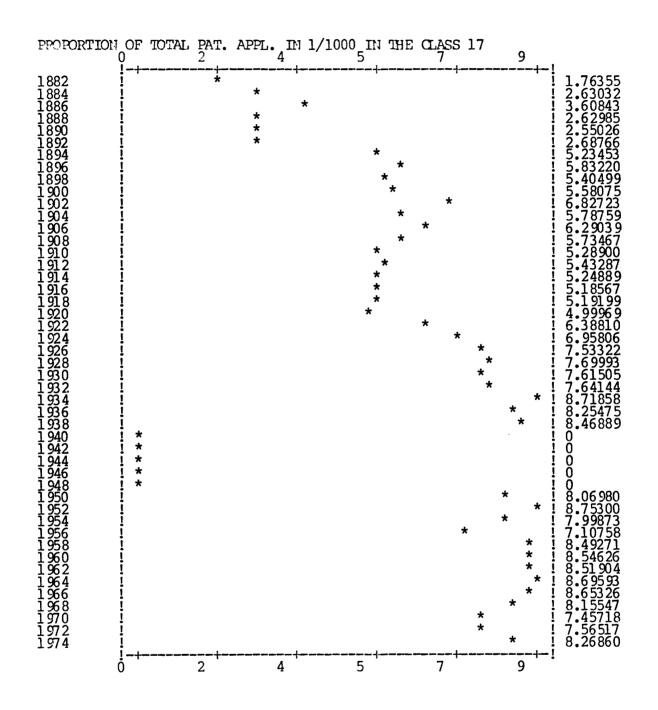


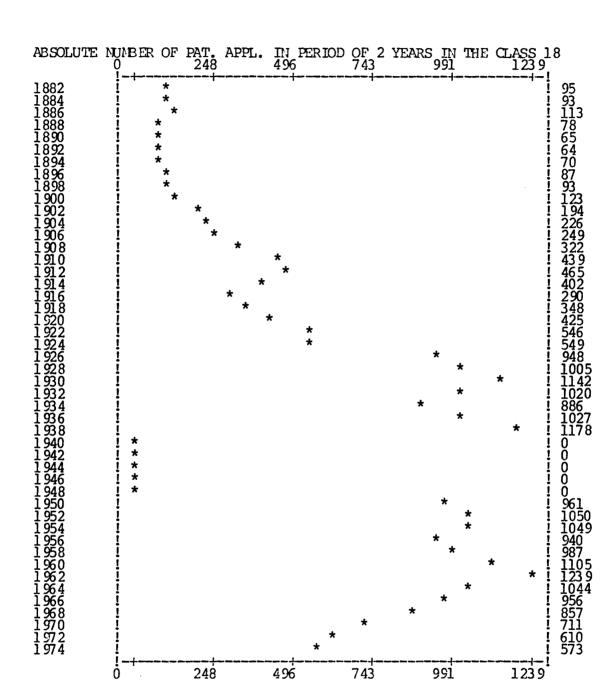


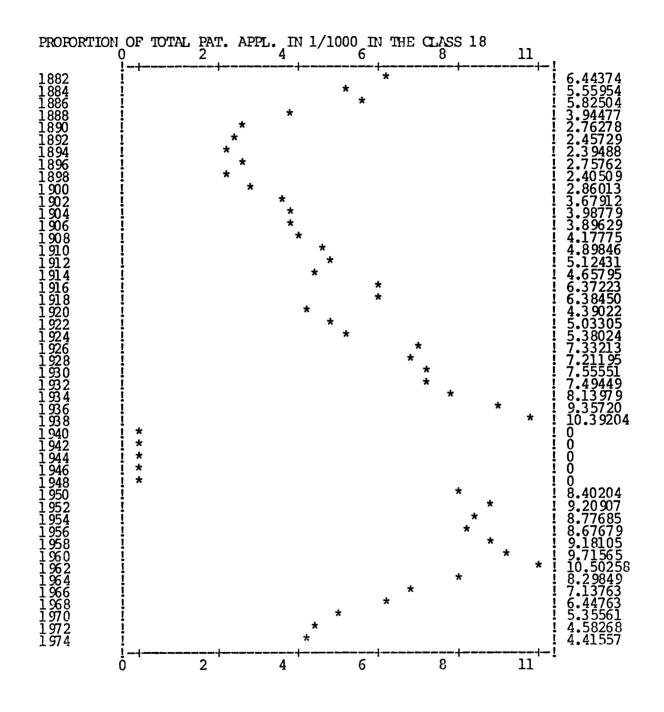


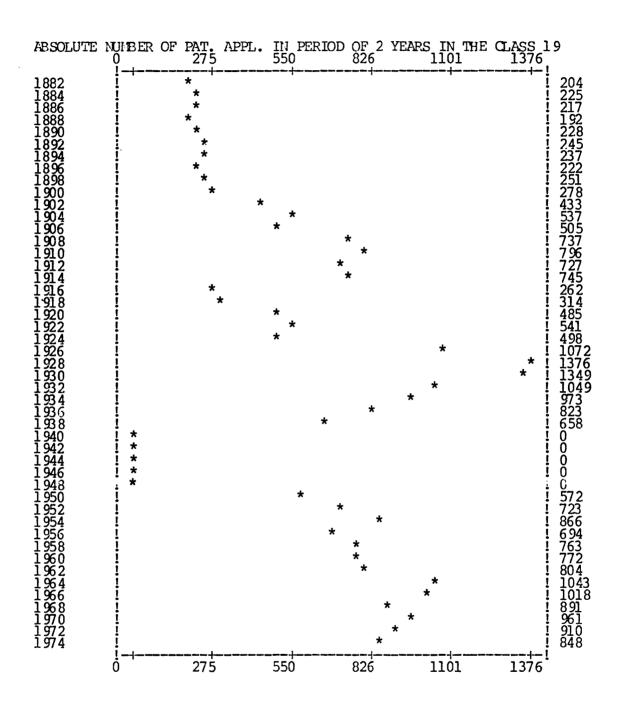
Cl. 17 Refrigeration or cooling, storage of ice, heat transfer, liquefaction by mechanical means of gases or gas mixtures, e.g. air, which do not condense easily





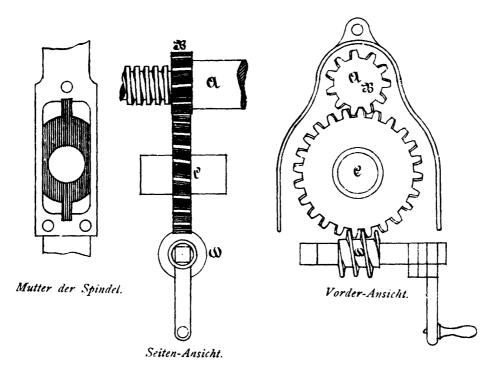






H. BAECKER IN REMSCHEID.

Schneckenvorgelege an Spindelschraubstöcken aller Art zum Zweck eines leichten und sicheren Aus- und Einspannens.

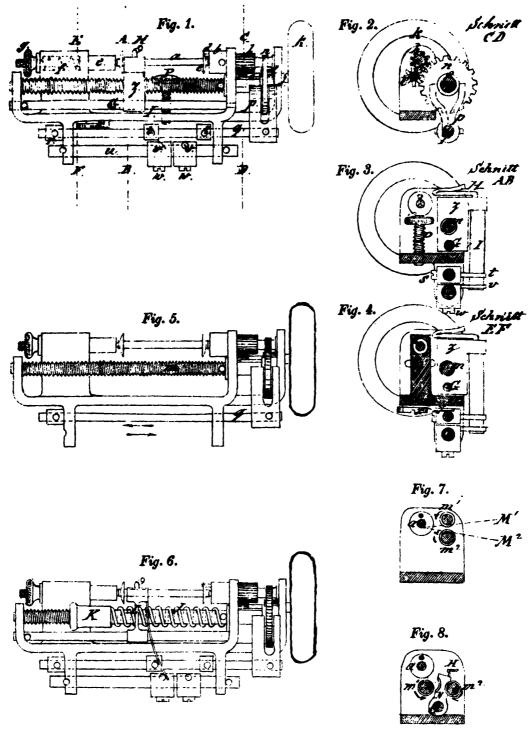


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№ 165.

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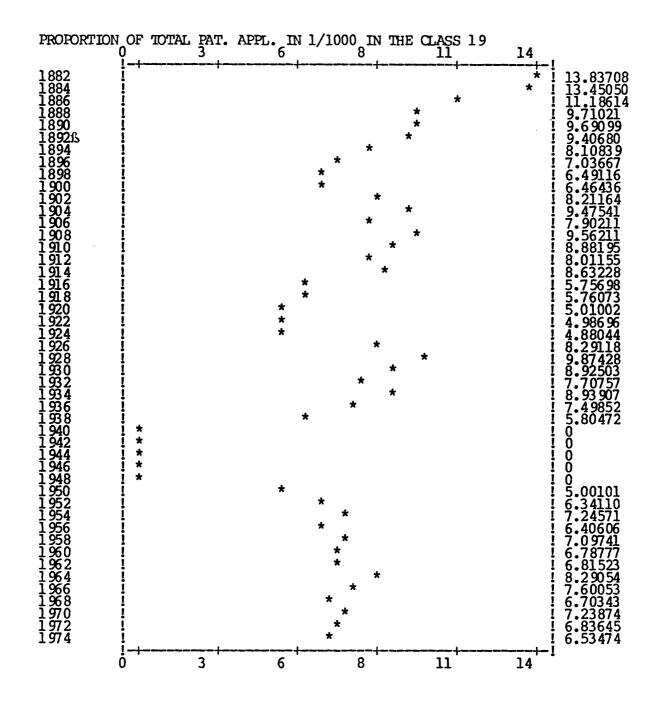
Selbetthätige Fadenfährung an Spulapparaten für Nähmaschinen mit Schiffchen.

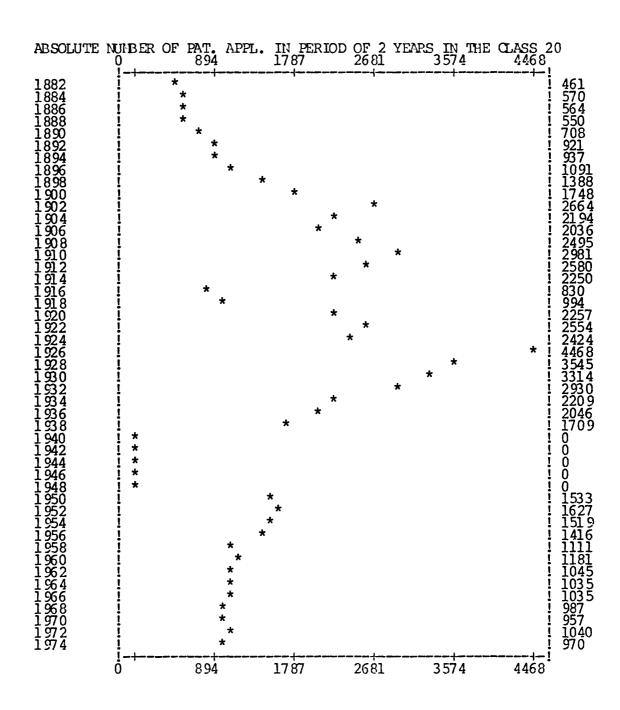


Zu der Patentschrift

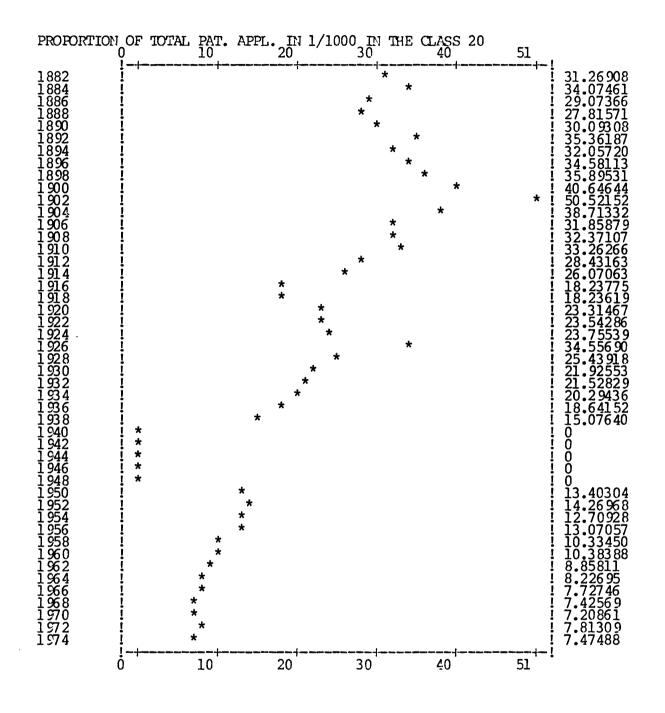
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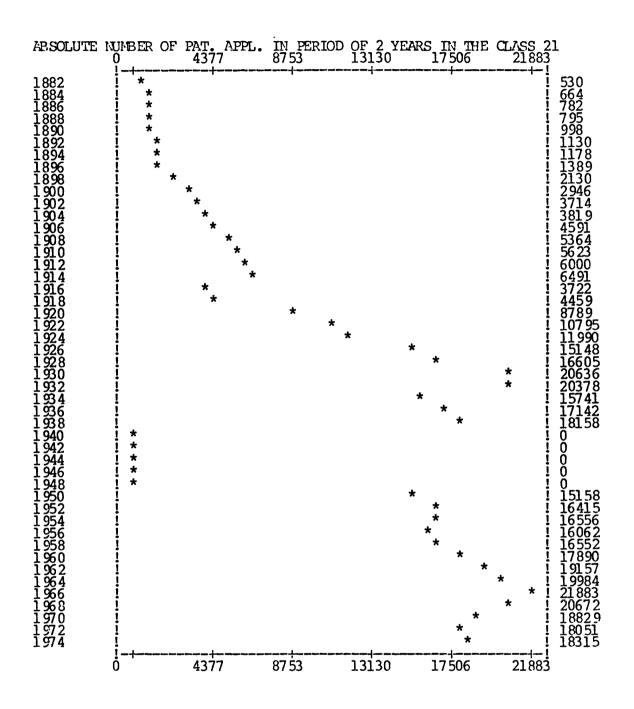
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

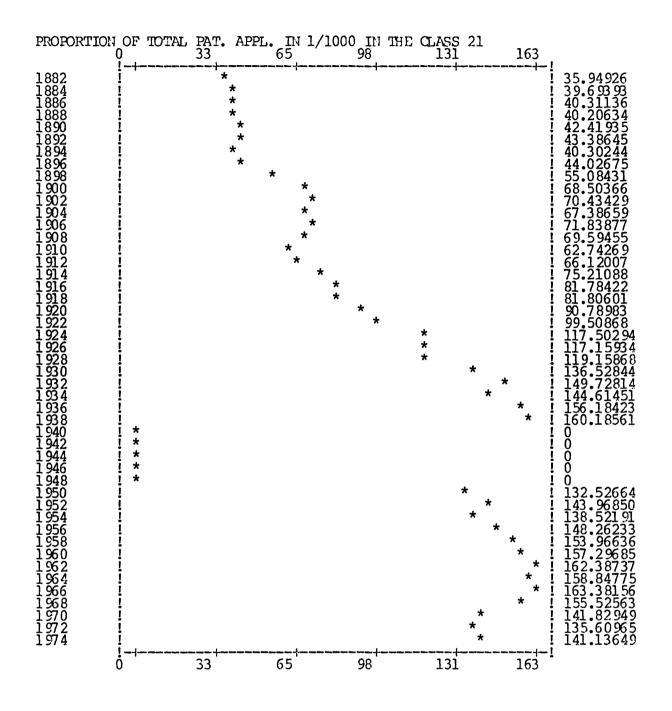


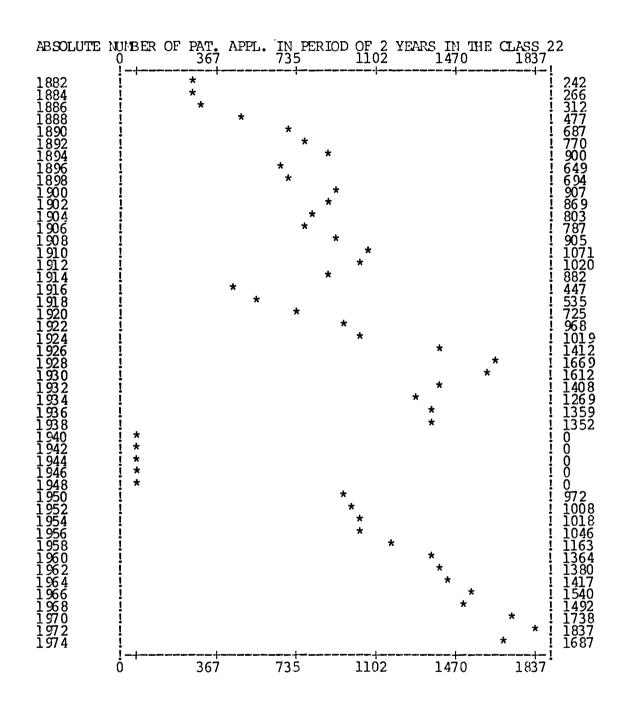


C1. 20 Railway transport

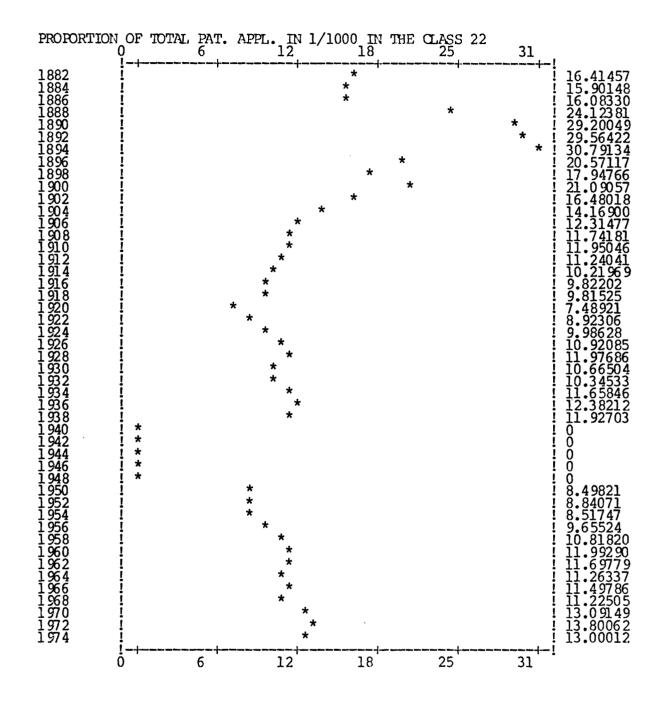


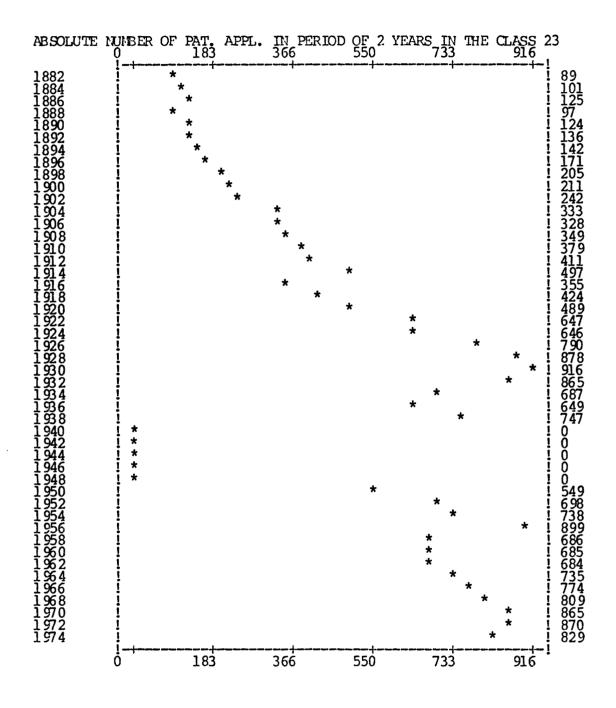


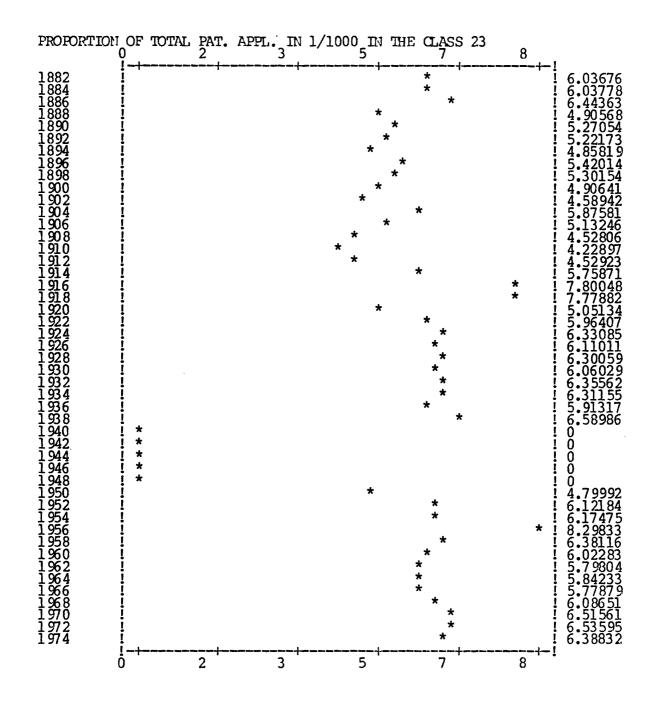


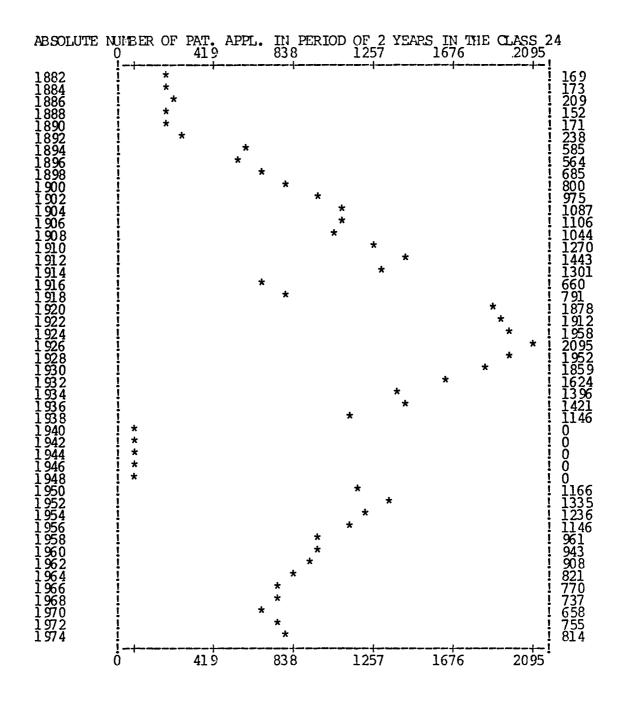


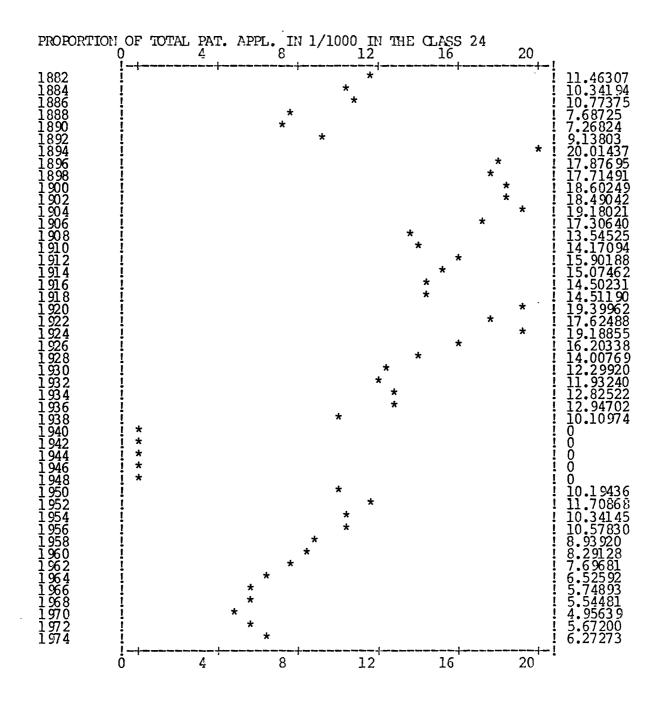
Cl. 22 Dyes; paints, polishes; natural resins; adhesives; miscellaneous compositions; miscallaneous applications of material

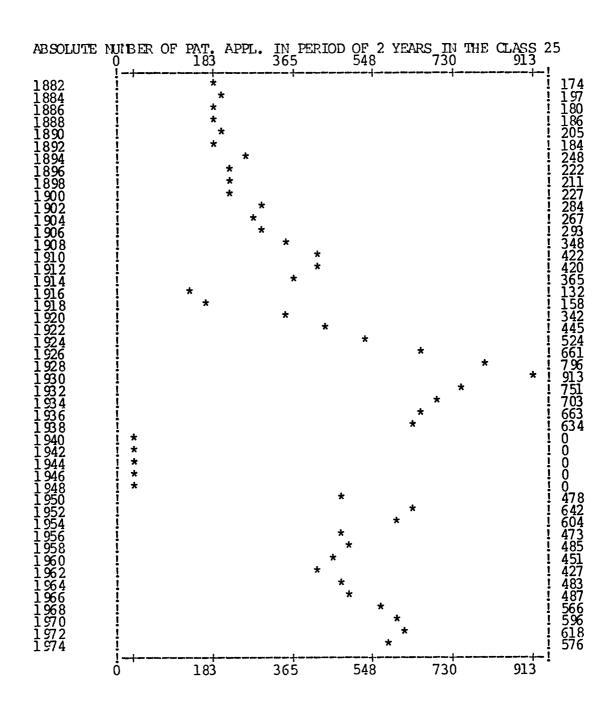


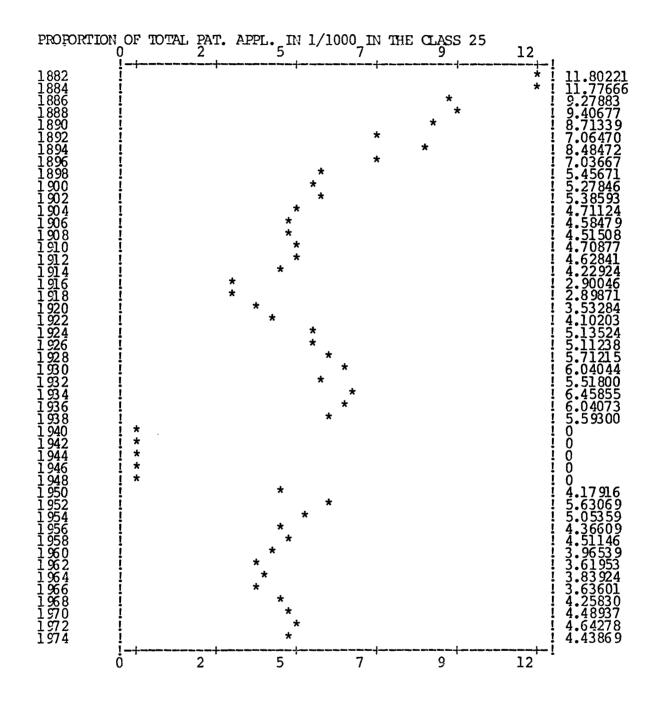




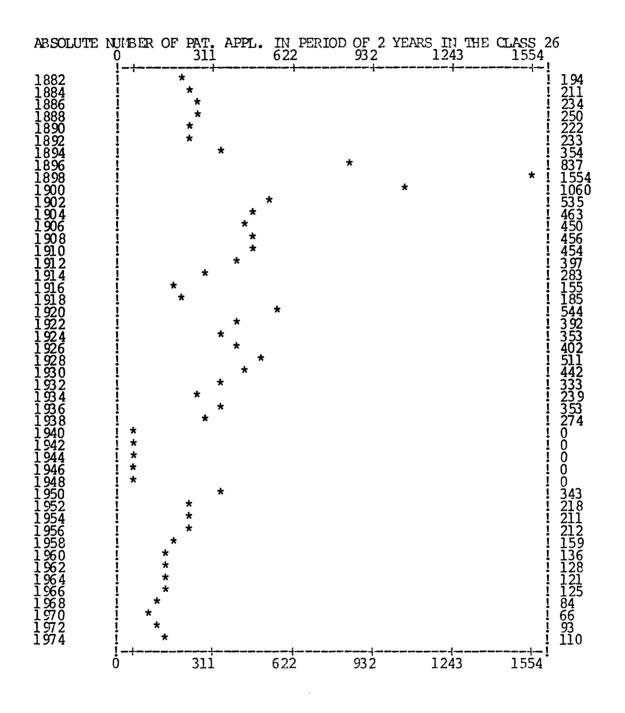


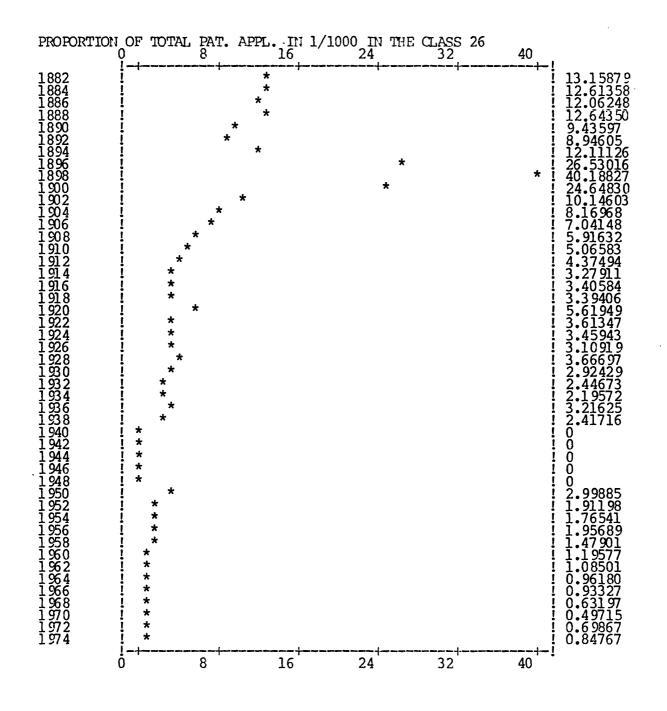


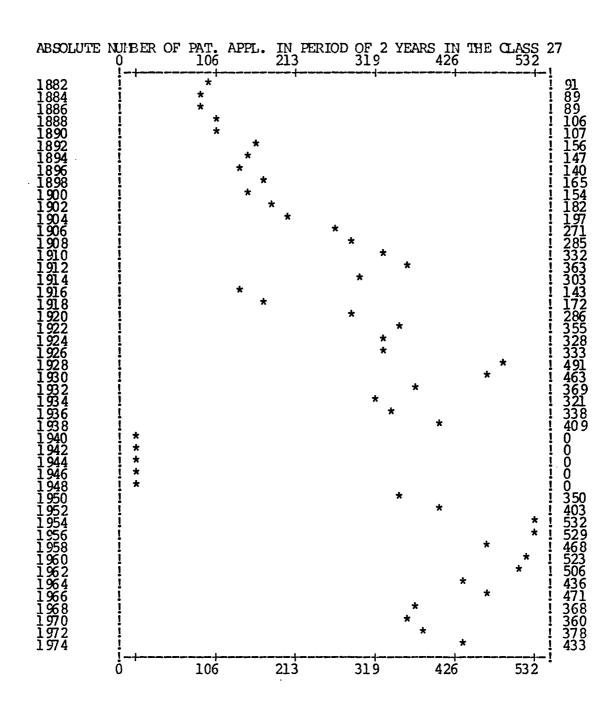


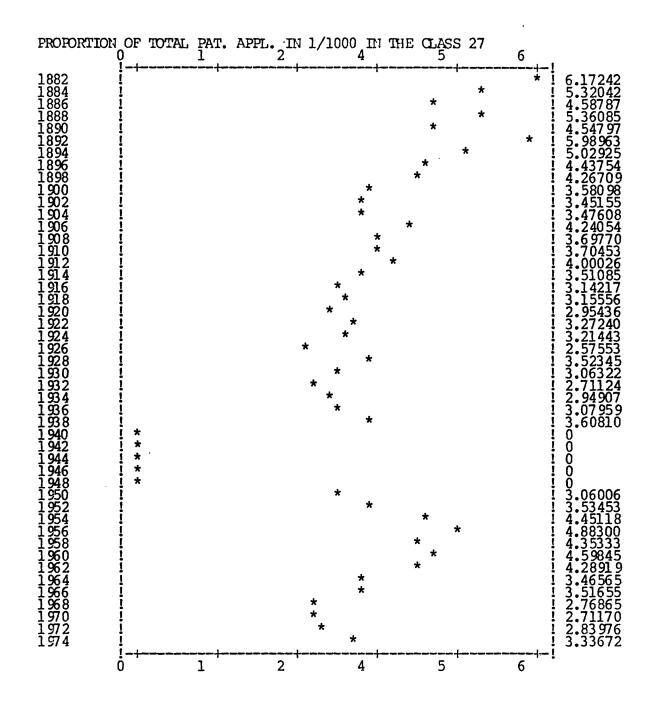


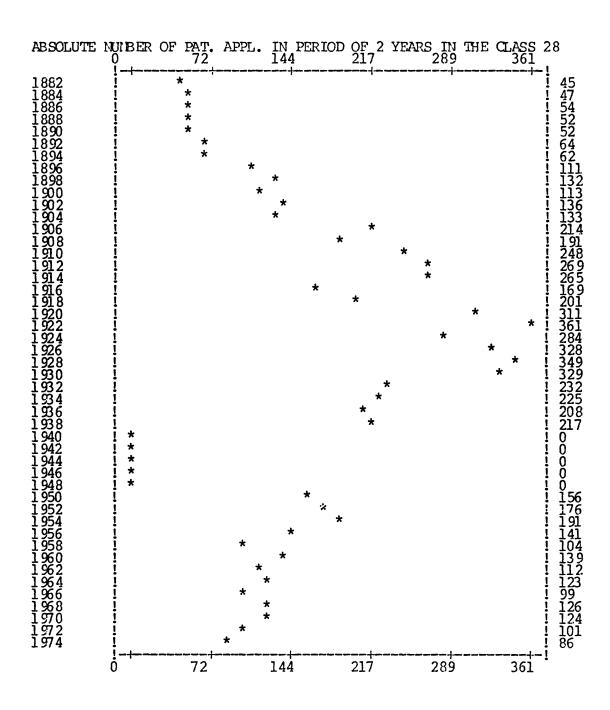
Cl. 26 Production of gases by the degasification of fuels, e.g. natural gas and oil gas; production of gaseous fuels by carburation; distillation gas and acetylene cleaning





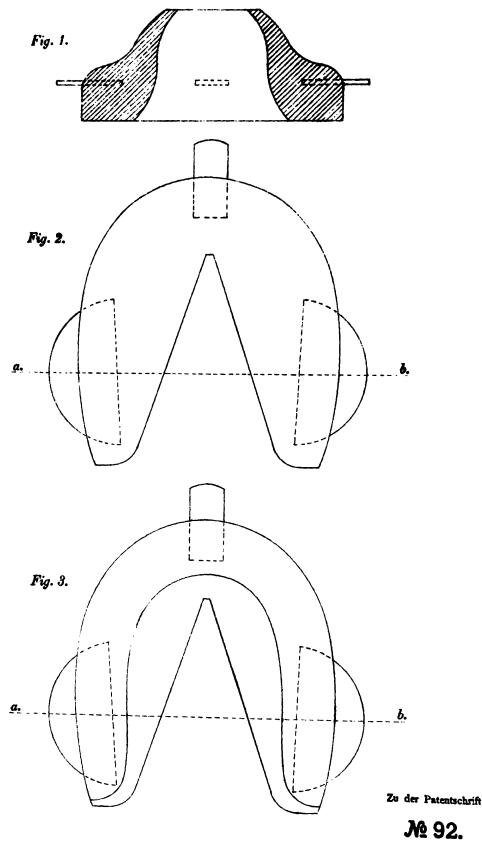






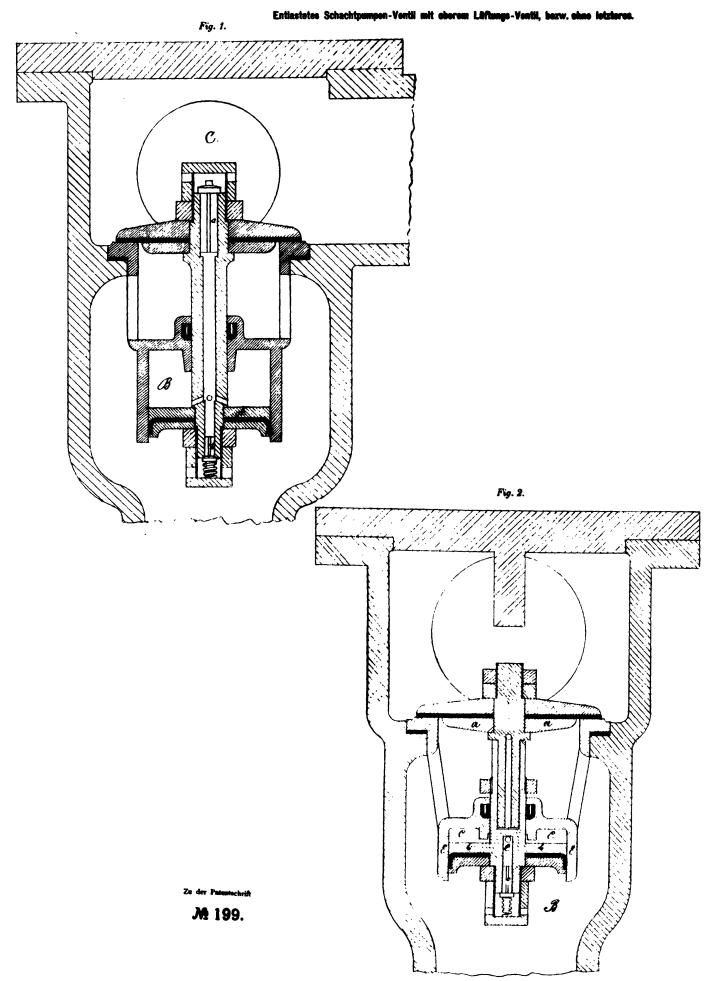
G. A. KÄSTNER IN PLAGWITZ.

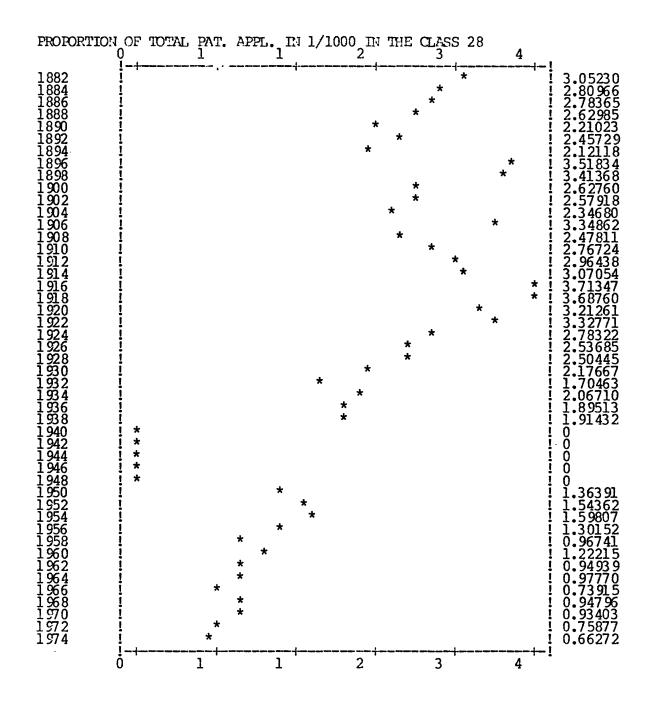
Gennipoister für Pferdehufe, weiches den Strahl nicht deckt.

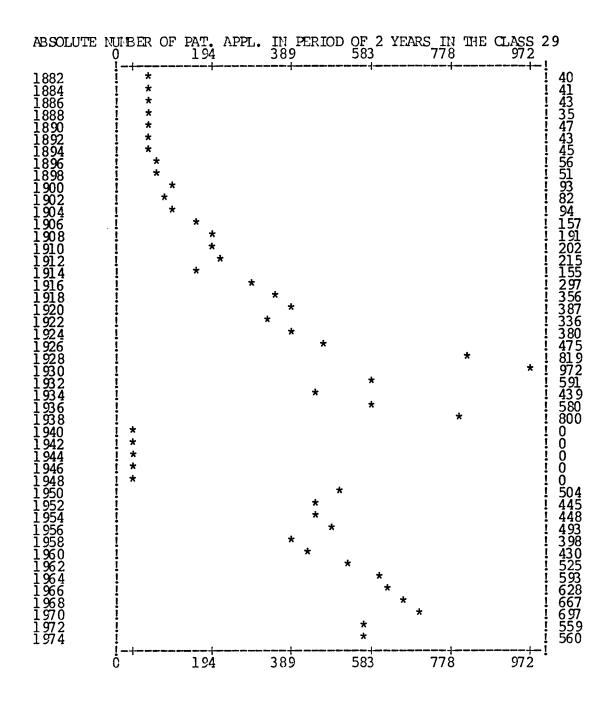


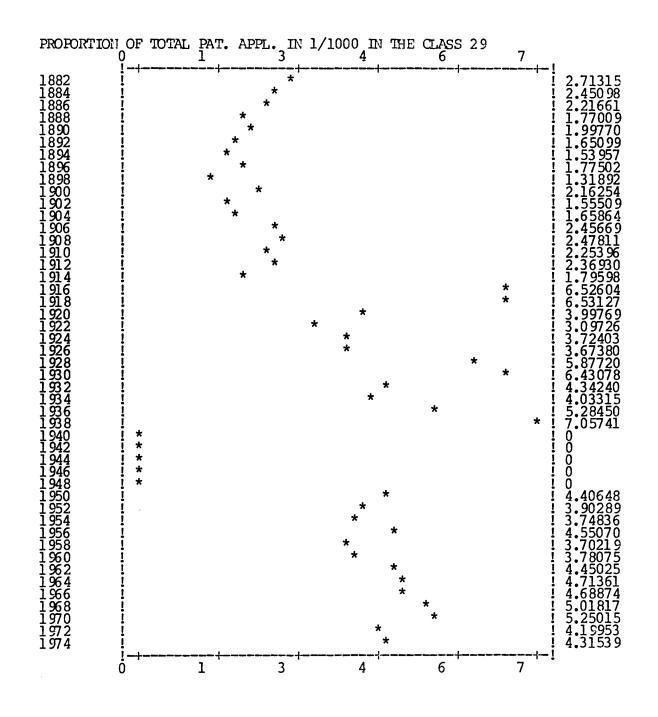
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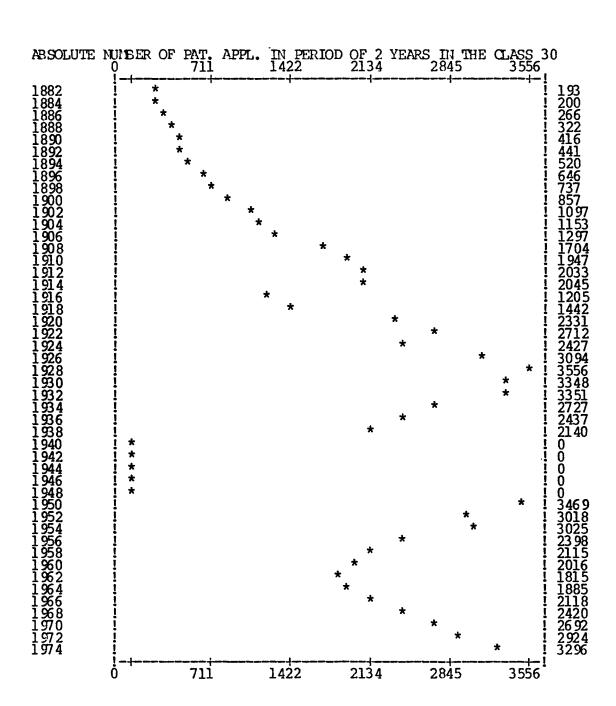
R. DAELEN IN DÜSSELDORF.

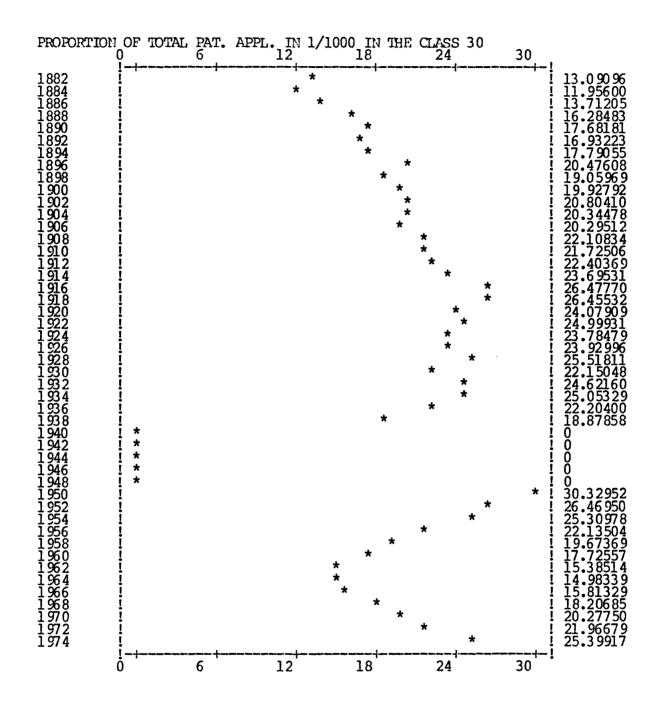


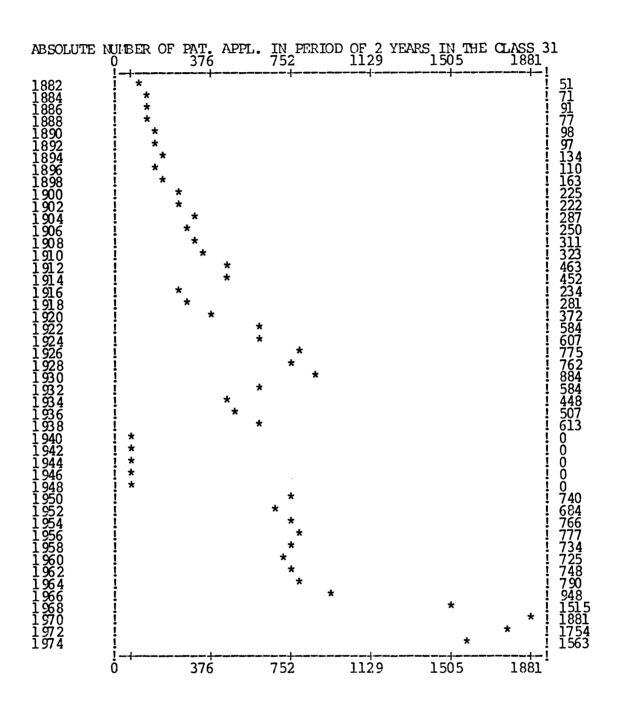




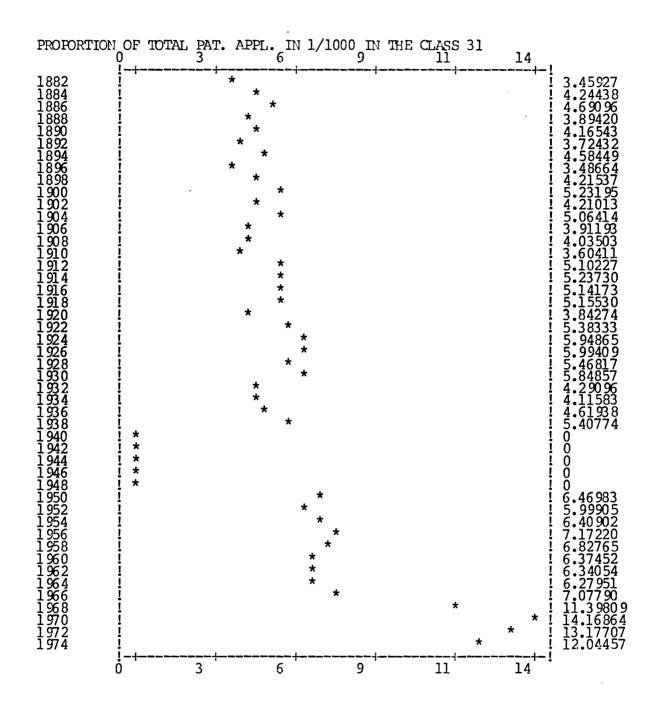


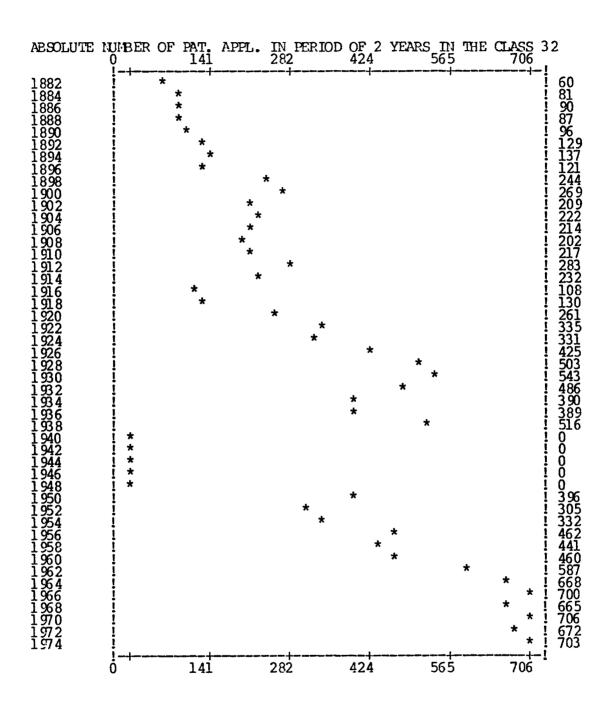


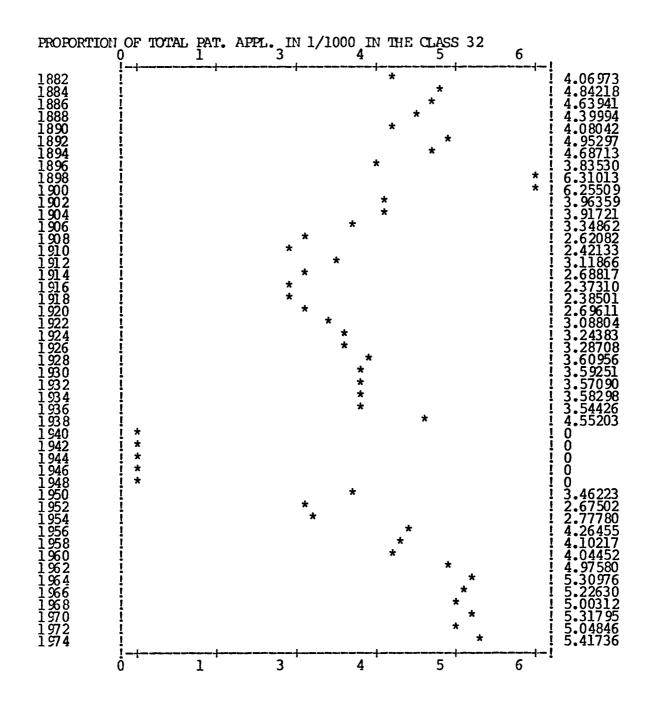


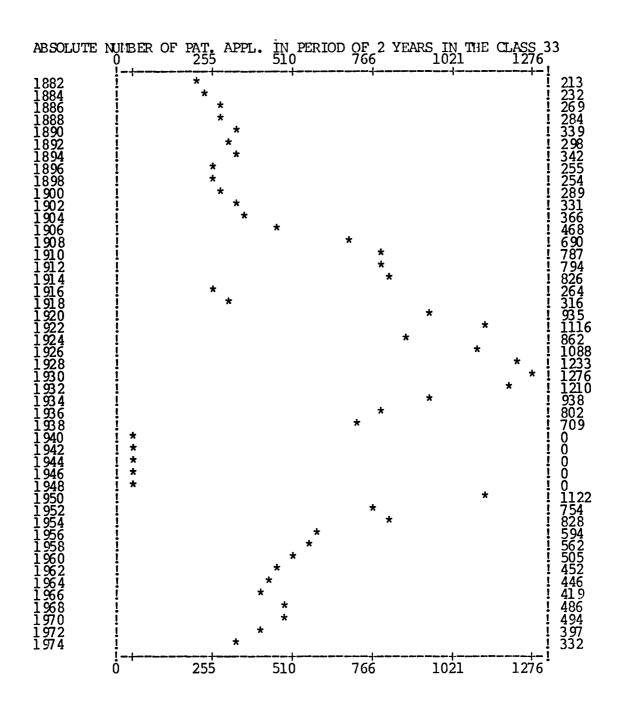


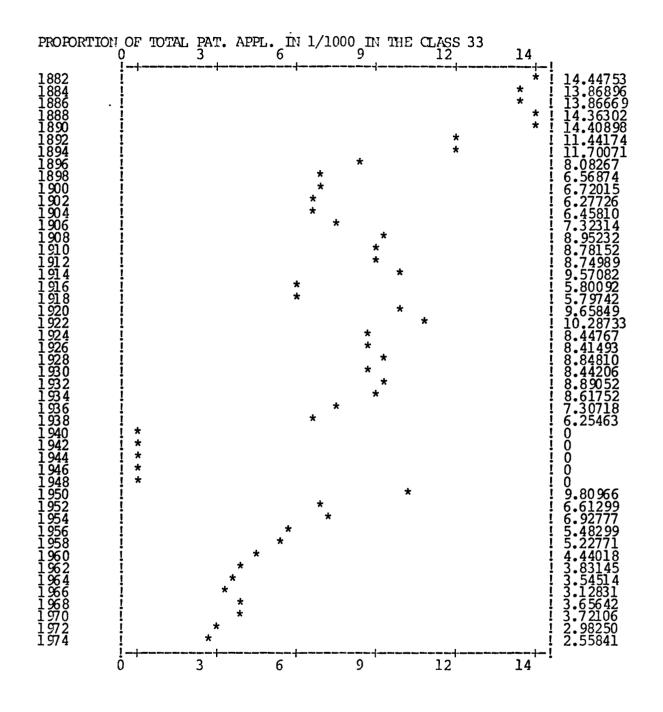
Cl. 31 Industrial furnaces; casting; powder metallurgy

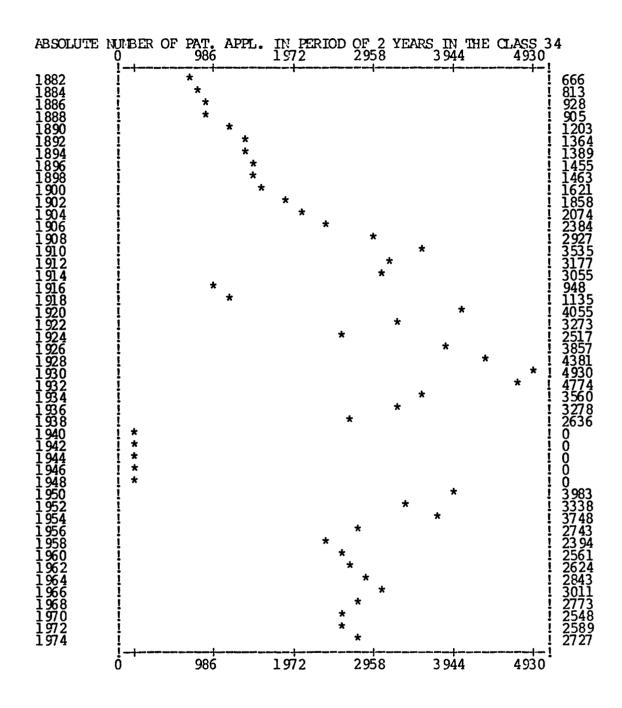




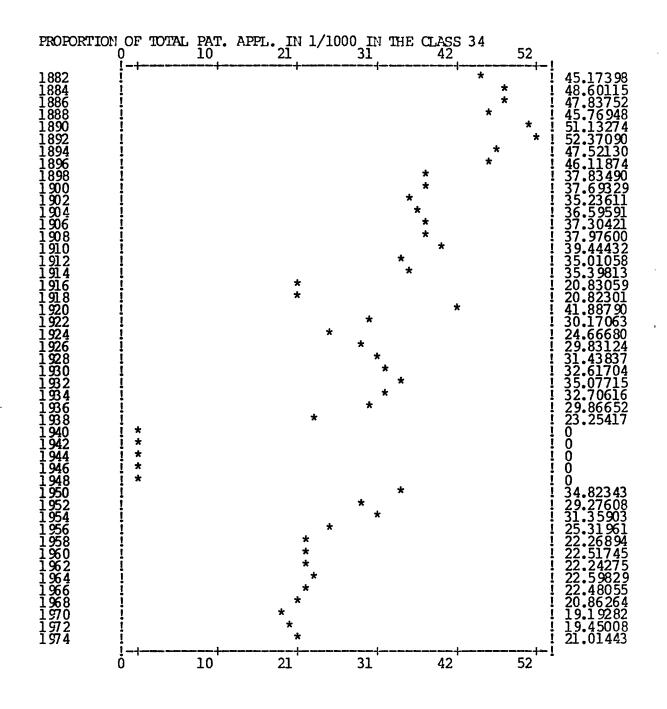


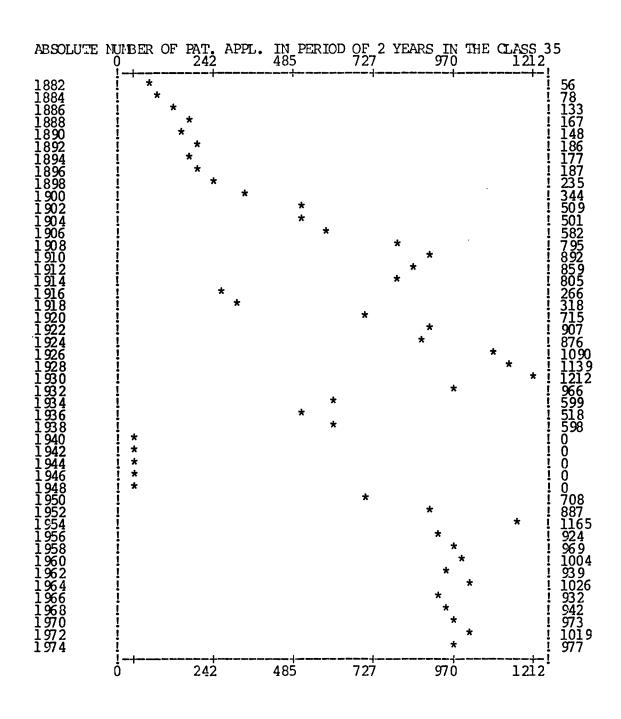


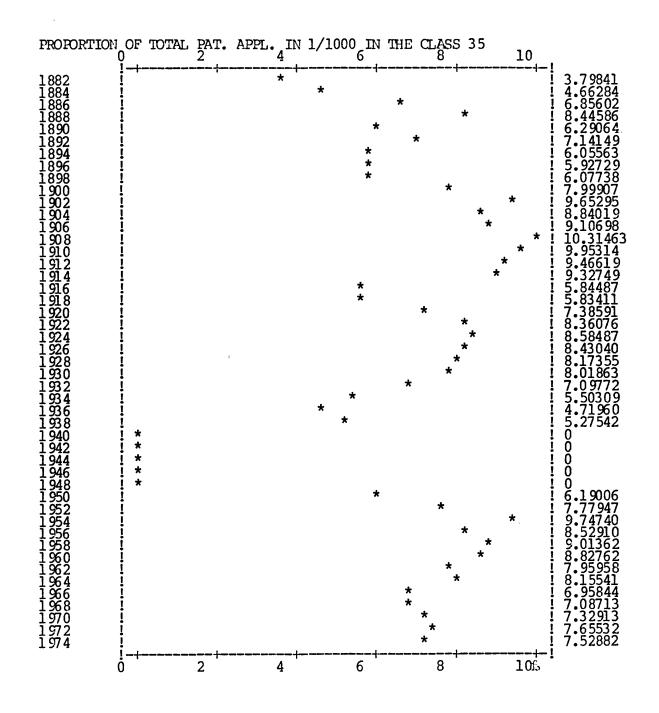


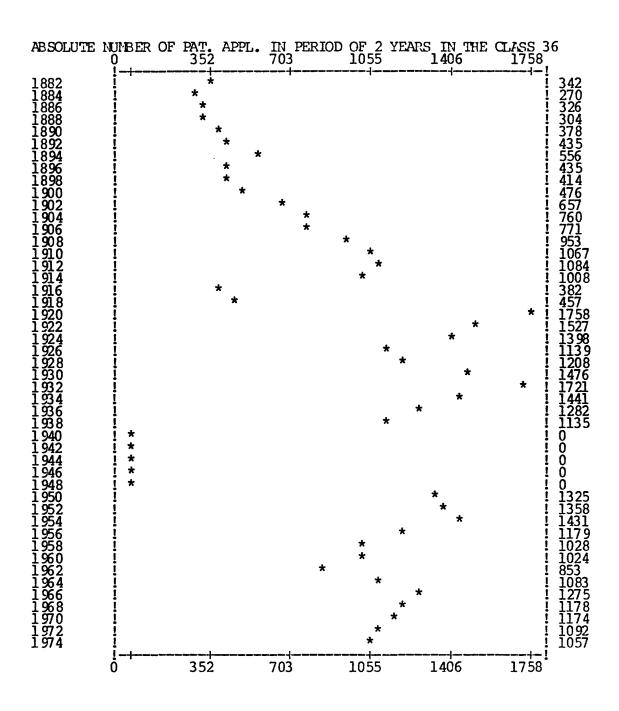


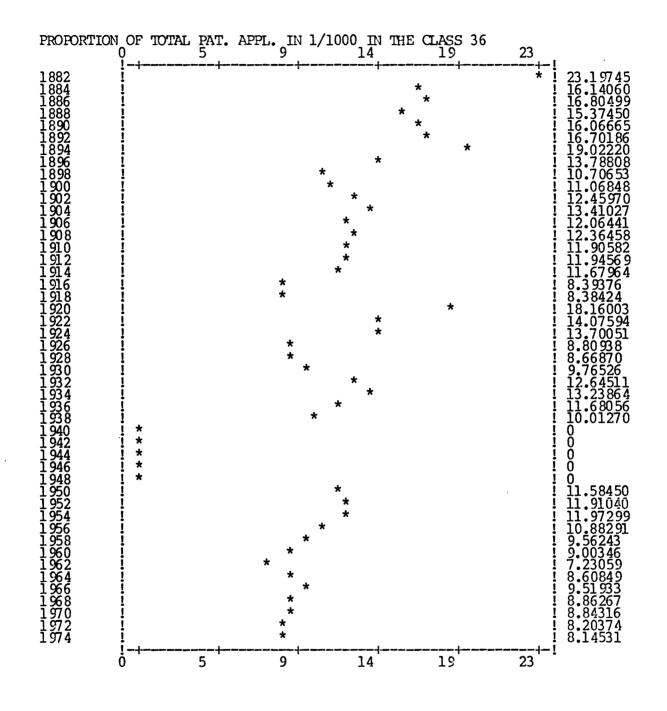
Cl. 34 Furniture; domestic articles or appliances; suction cleaners in general

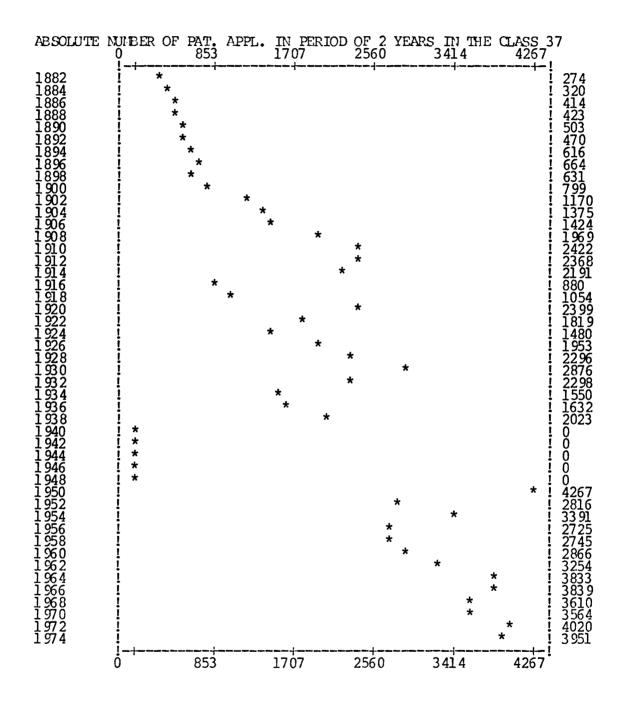








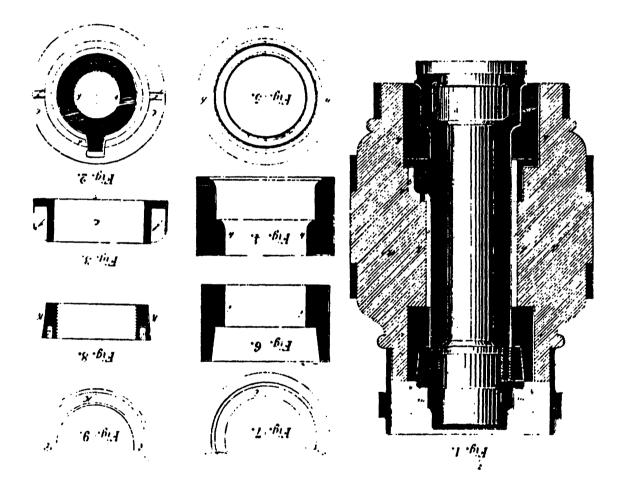




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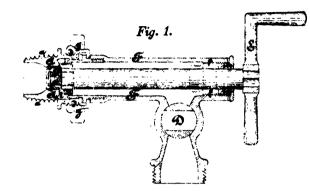


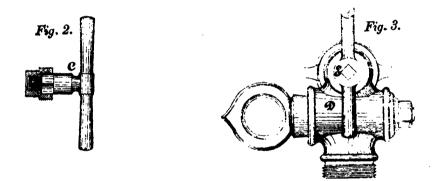
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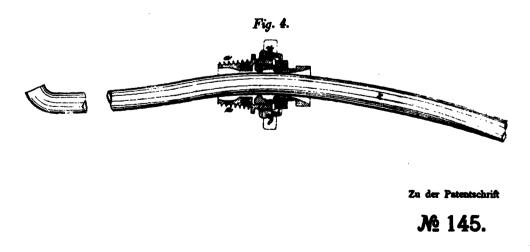
E CONTRACTOR AND A CONTRACTOR AND A MORE

C. ALBERT BIERLING IN DRESDEN.

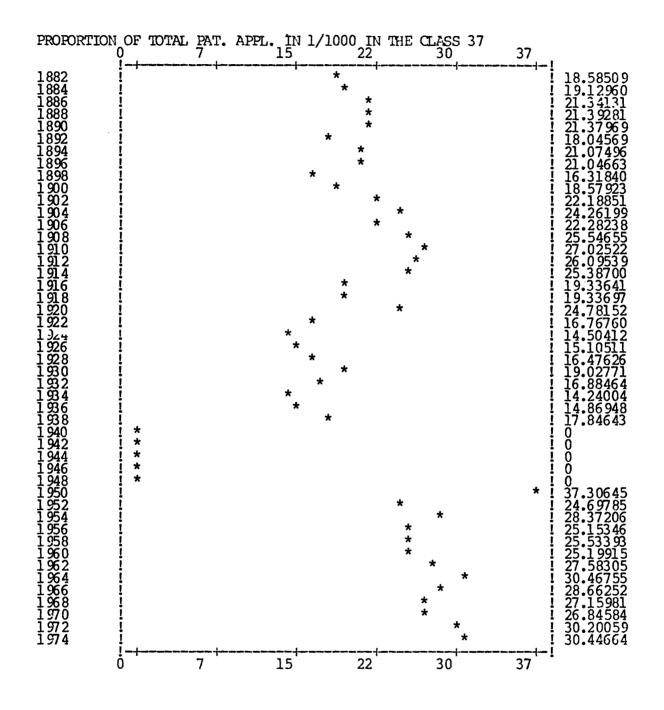
Verbesserter Faßspund mit Abziehhahn für Lagerfässer und Gährbottiche.

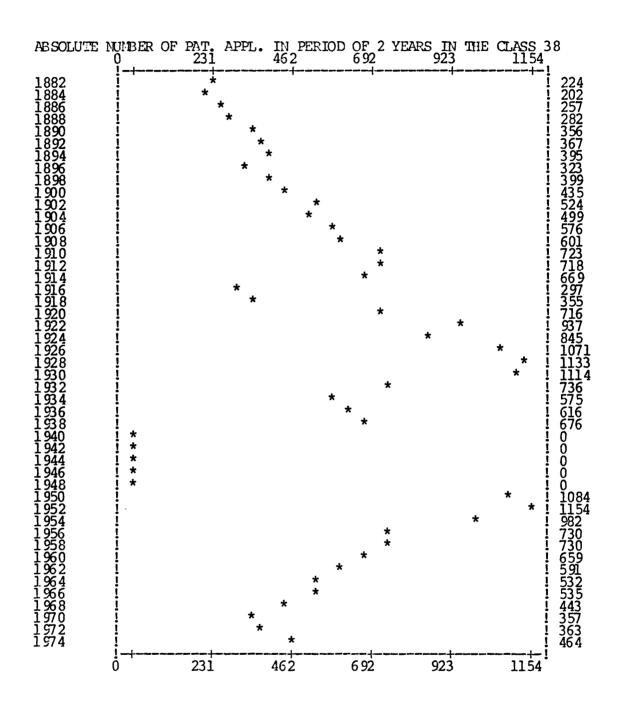


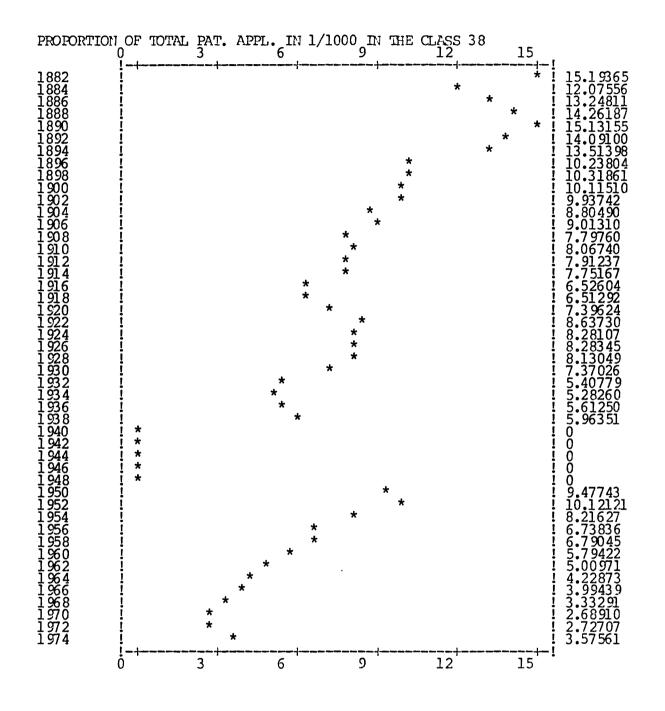




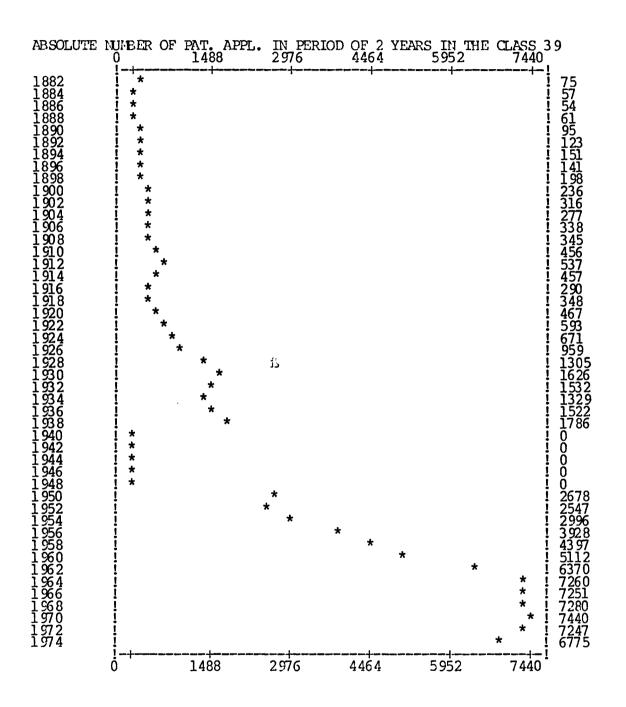
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREL.

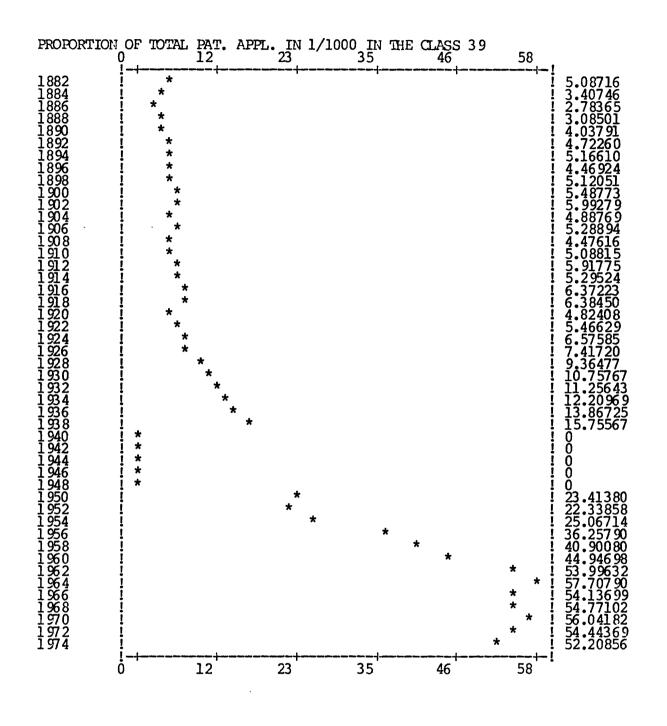


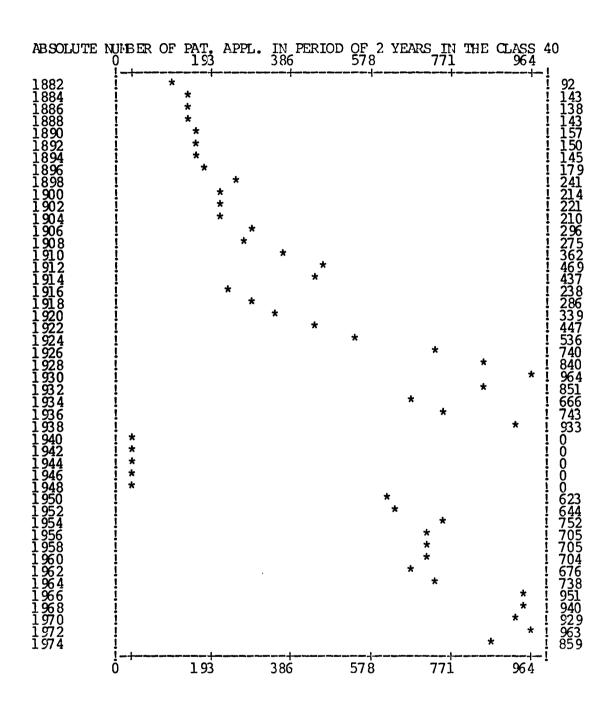


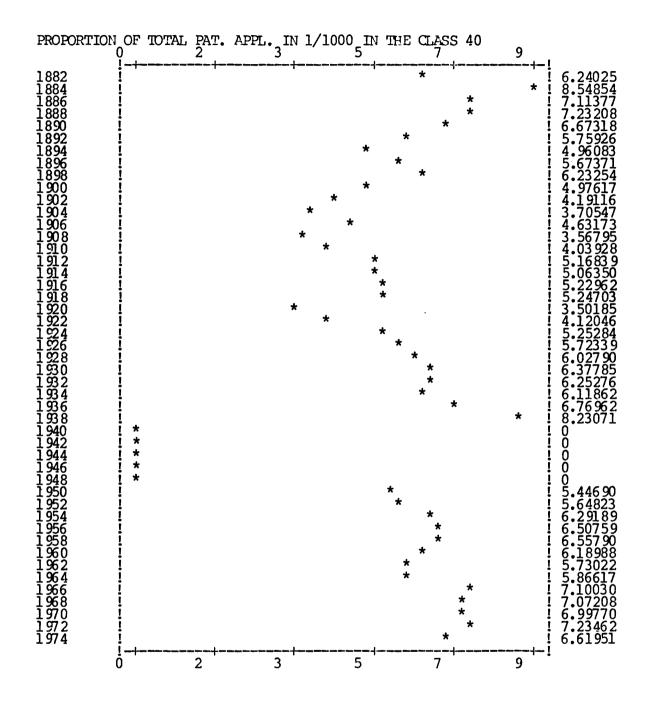


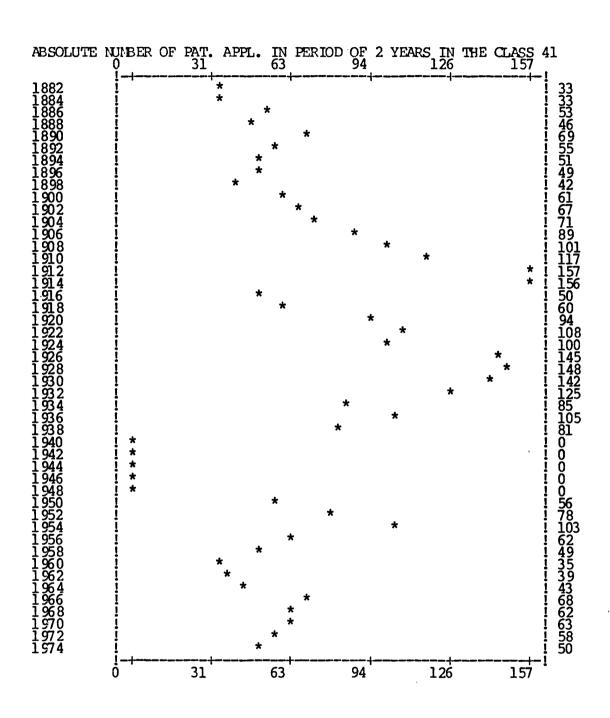
Cl. 39 Working of plastic masses, rubber and horny materials not otherwise provided for; products of polycondensation, polyaddition and polymerization



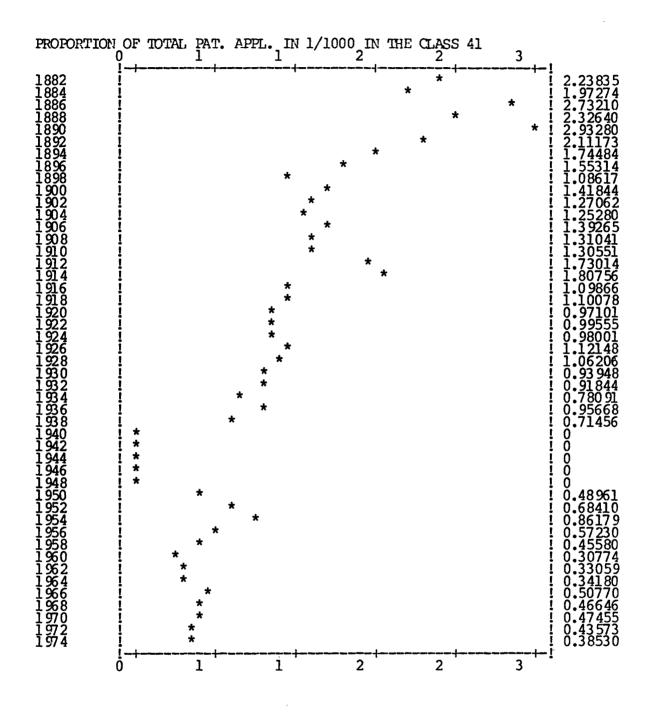


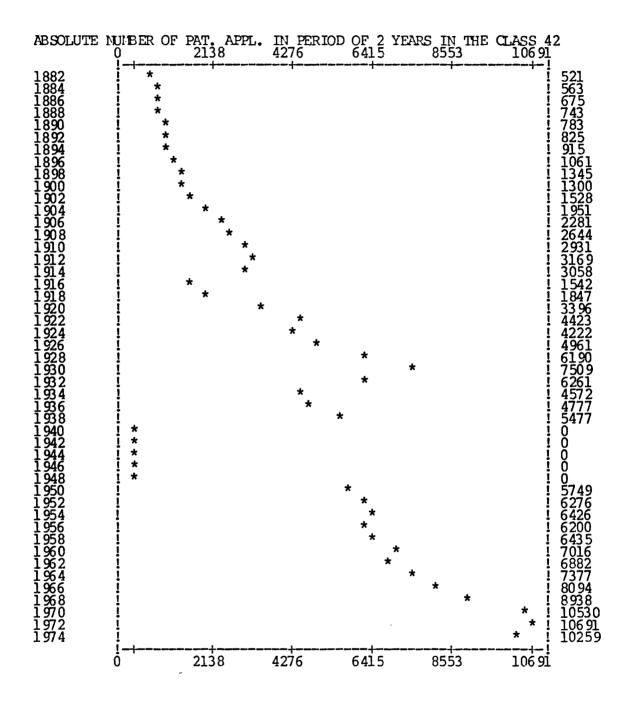


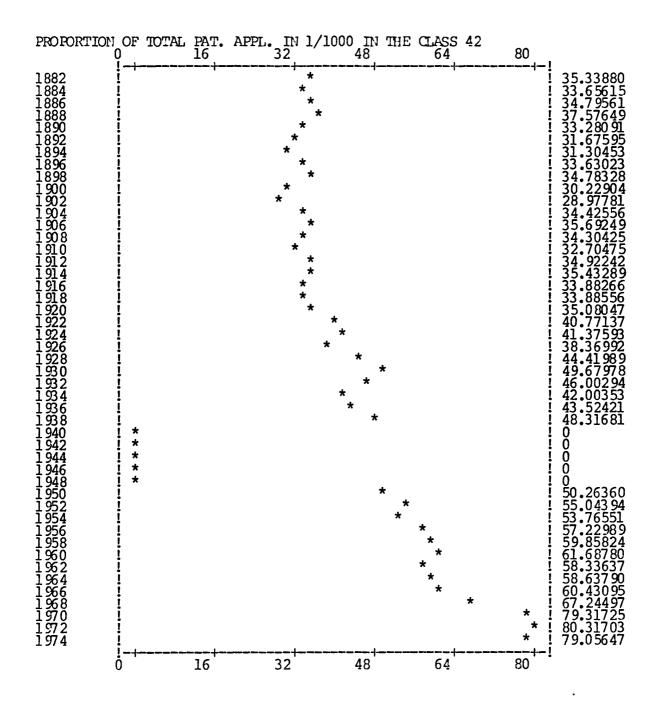


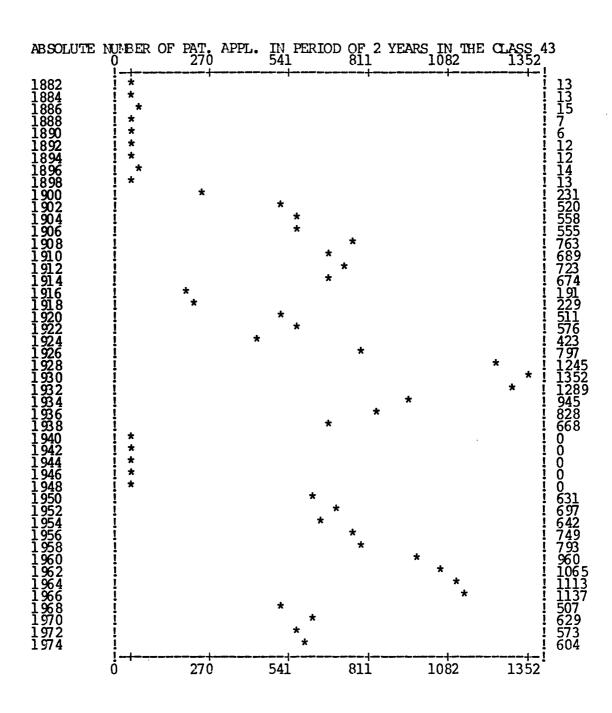


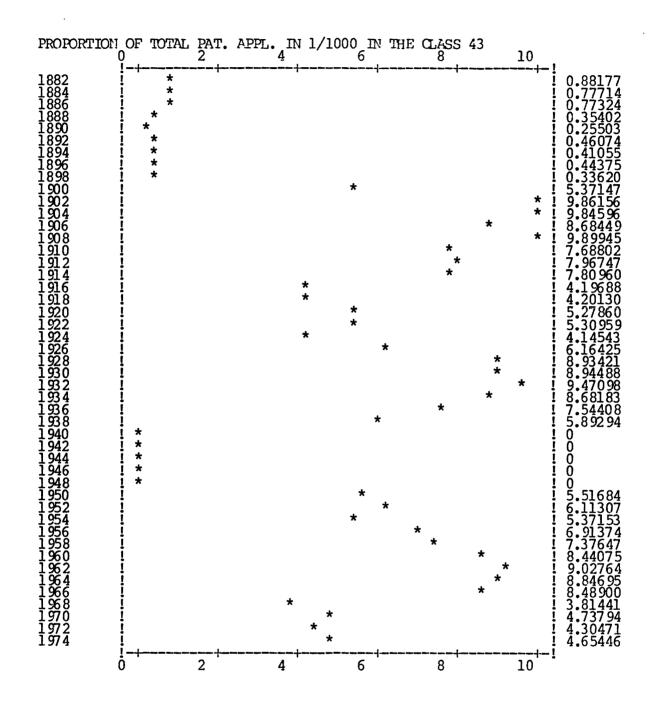
Cl. 41 Headwear; felts

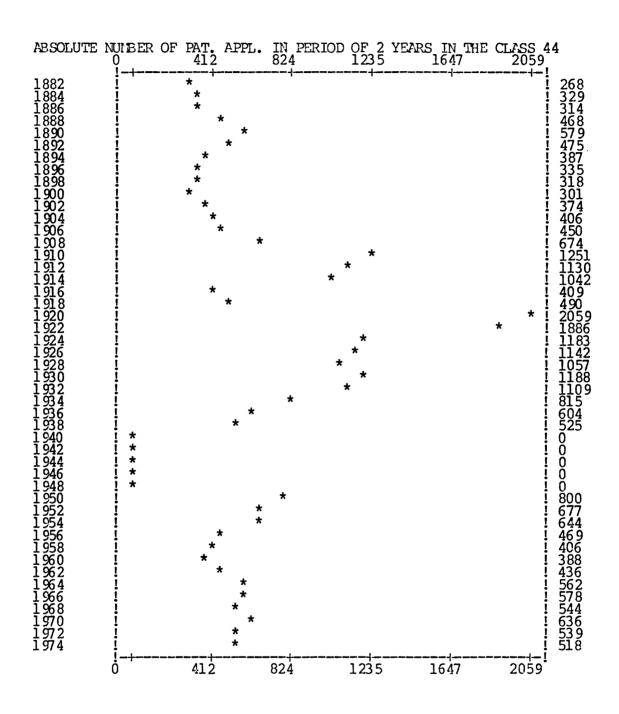




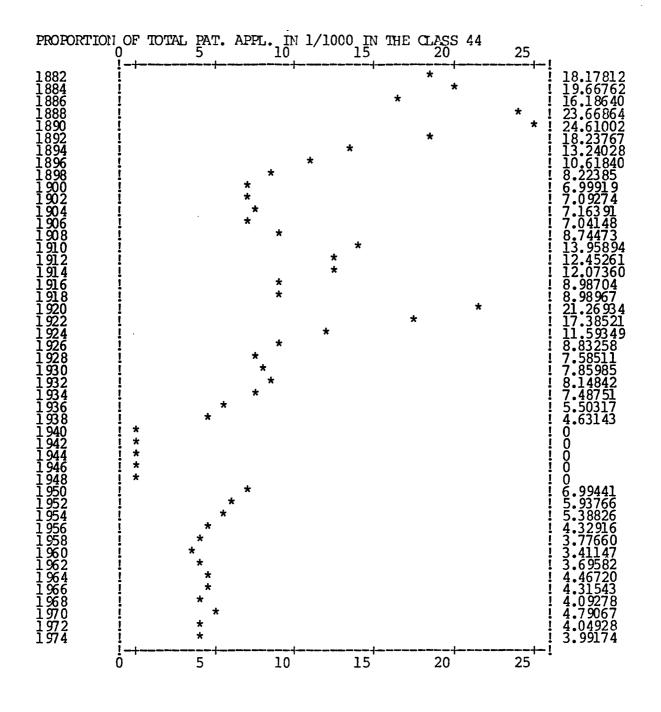


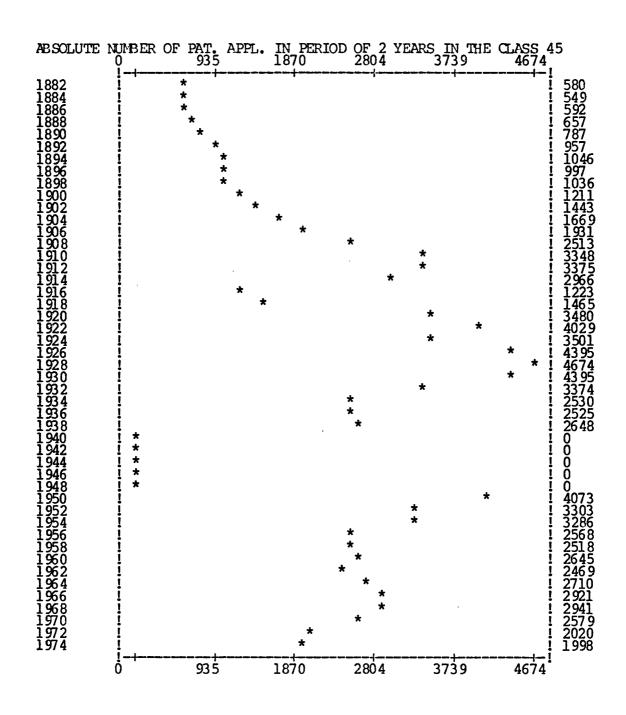




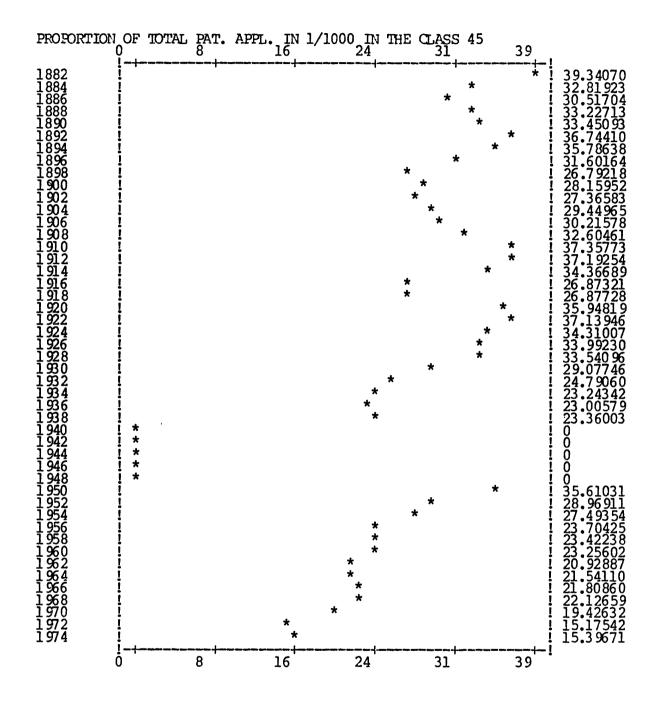


Cl. 44 Habadashery, jewellery; snuff takers and smokers' requisites

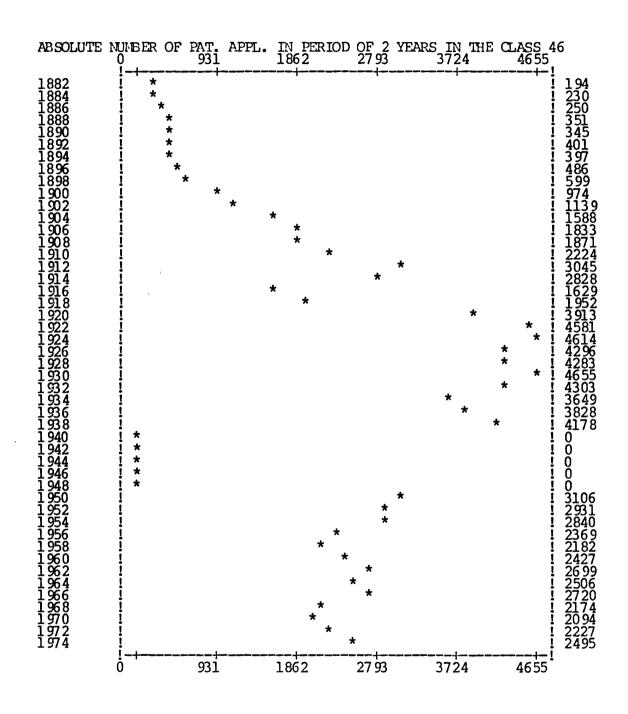




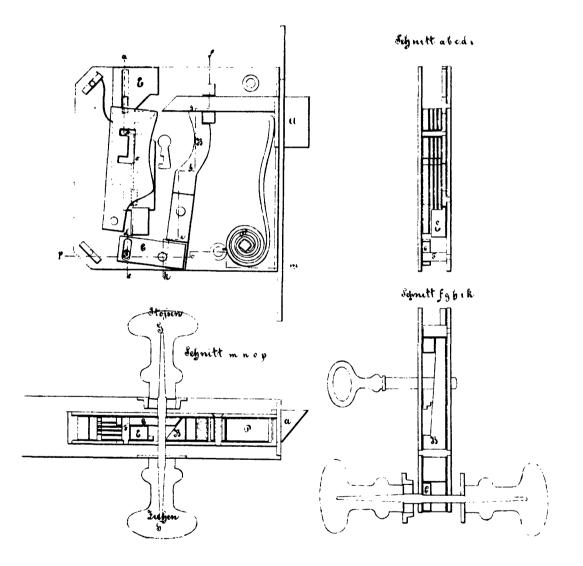
Cl. 45 Agriculture and forestry; animal husbandry; hunting and trapping; fishing



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Einsteckschlofs, bei welchem das Oeffnen und Schliefsen der Falle durch Ziehen und Stofsen erfolgt.



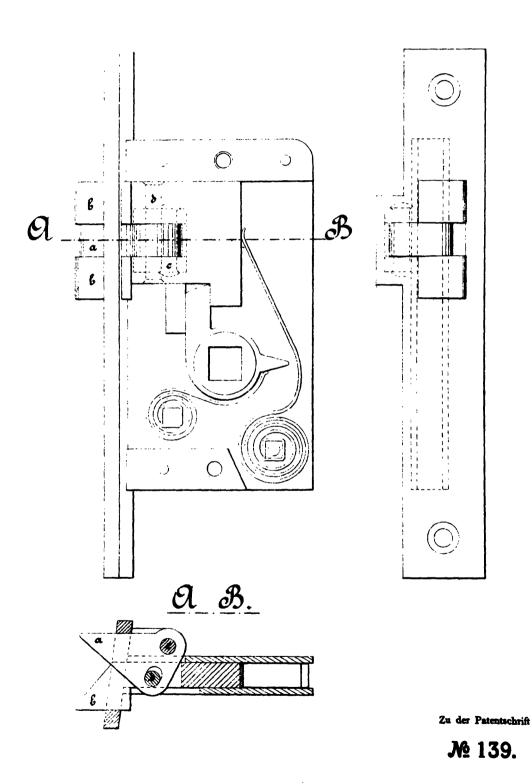
Zu der Patentschrift

№ 150.

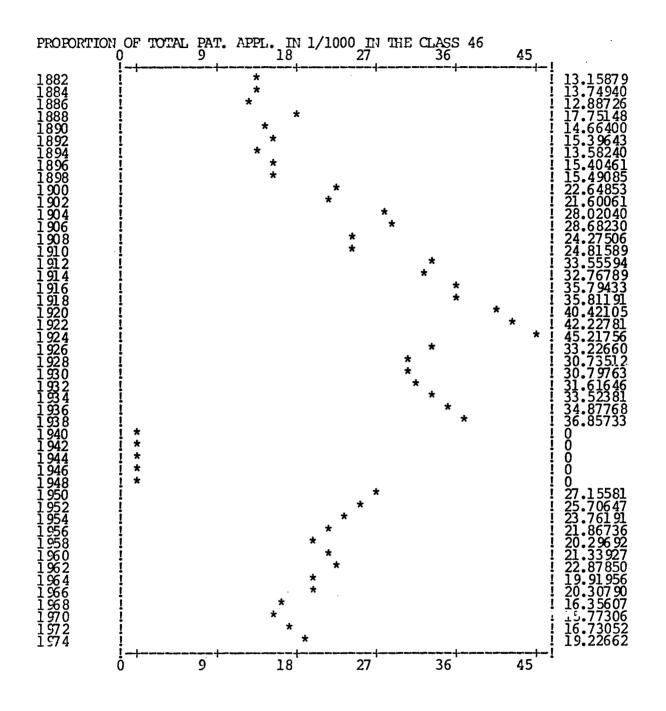
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

P. RAUH IN BRESLAU.

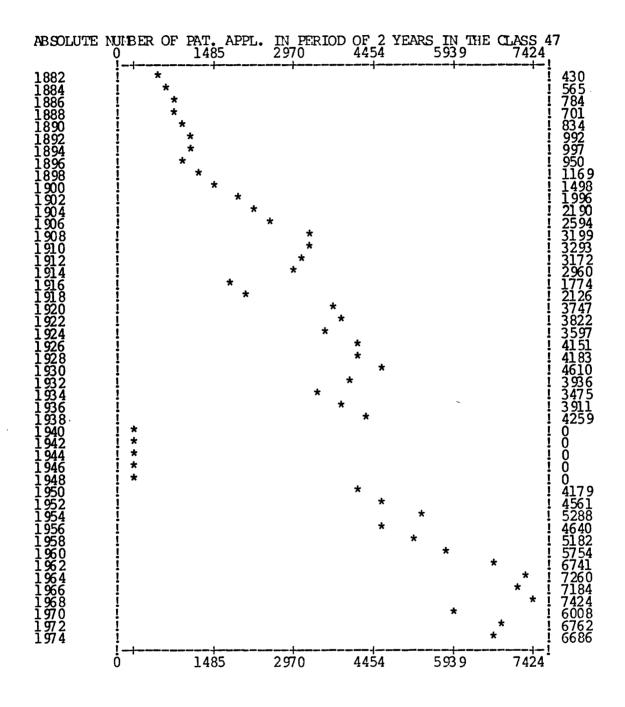
Fallenschlofs.

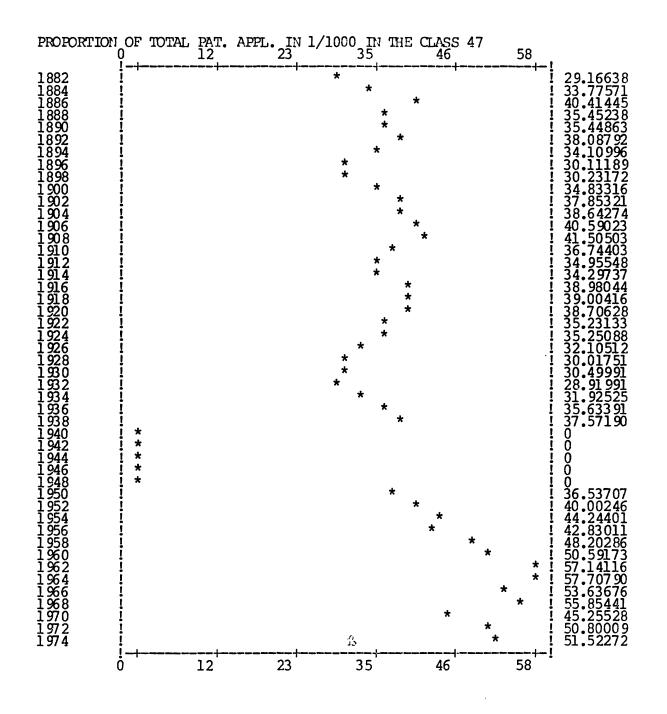


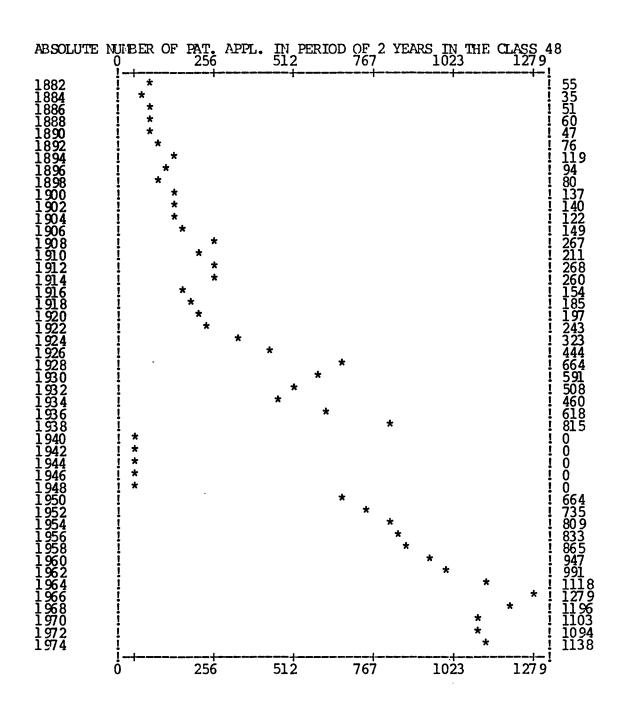
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

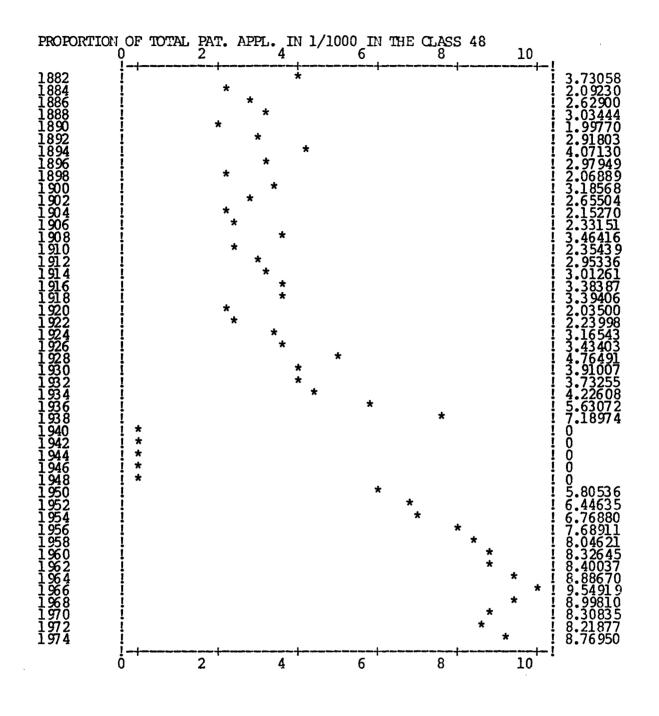


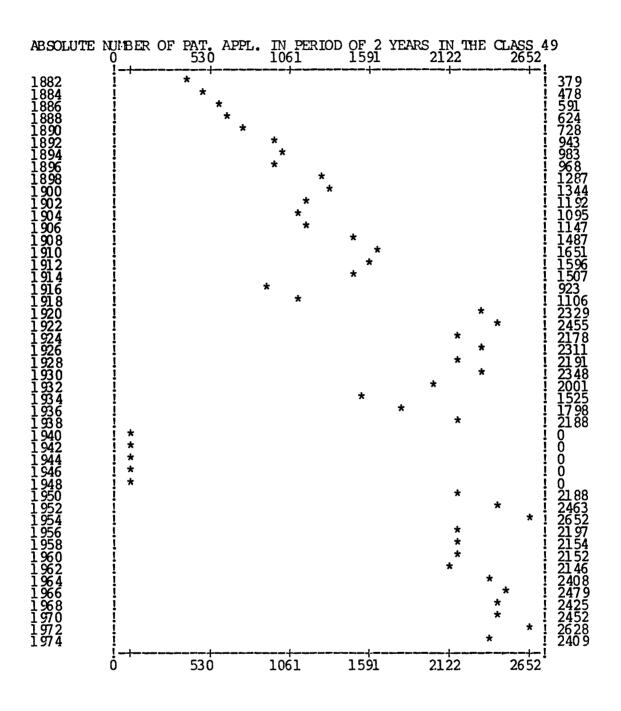
Cl. 47 Engineering elements or units; general measures for producing and maintaining effective functioning of machines or installations; details of instruments

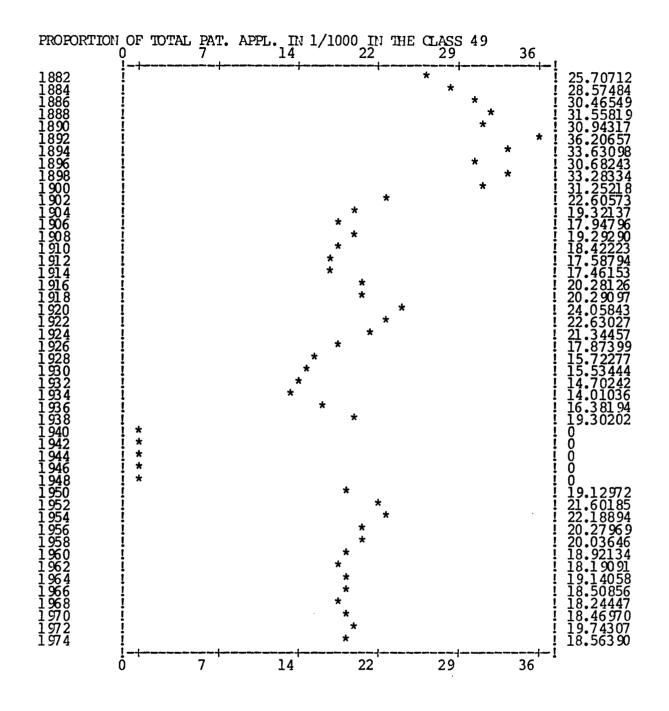




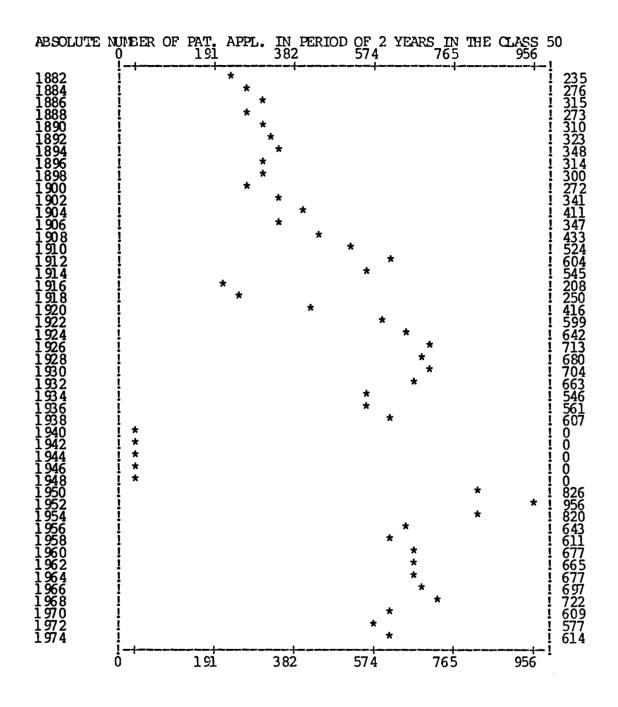


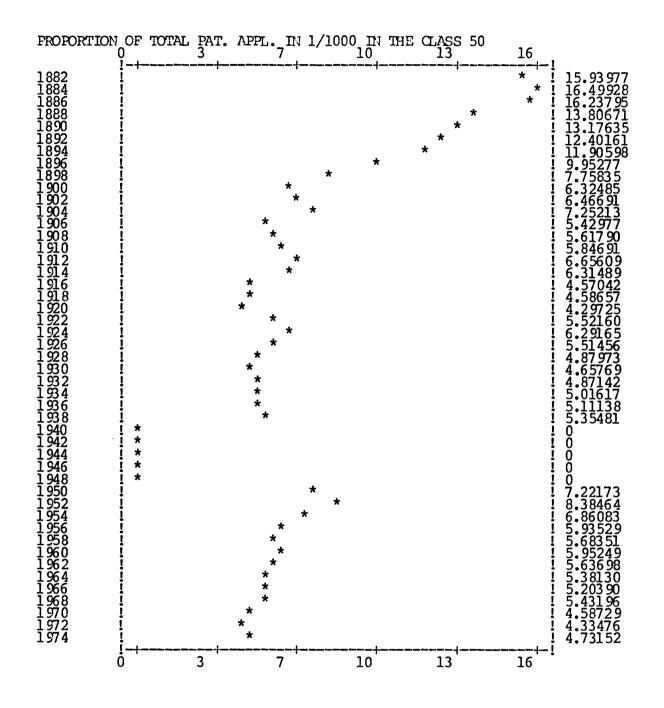


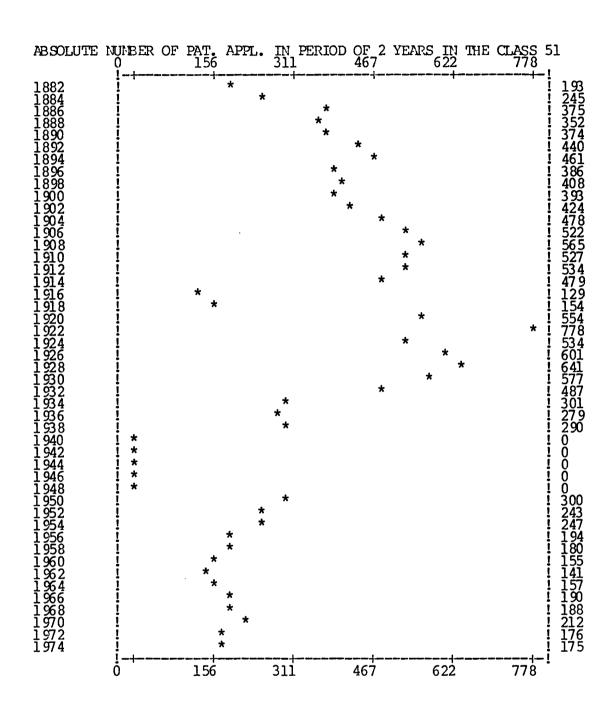




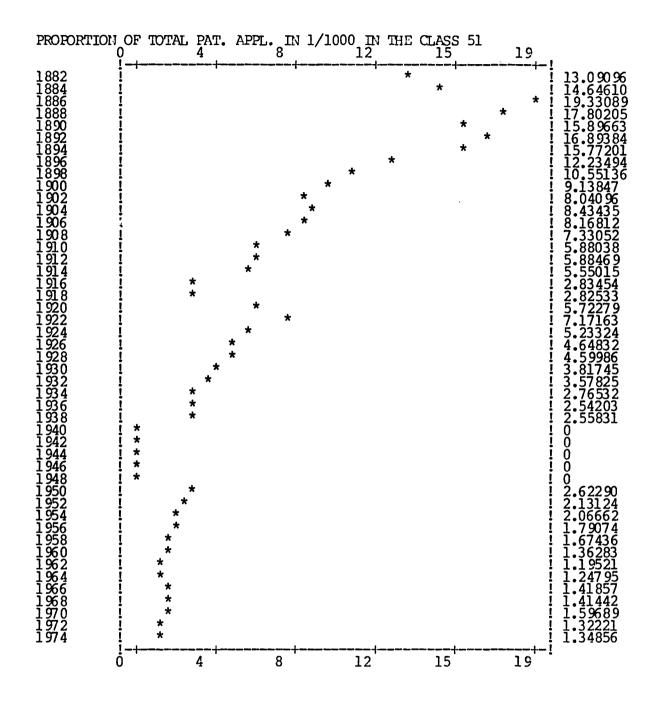
Cl. 50 Grinding and pulverizing including preparatory processes, subsequent treatment of the ground materials by sieving and mixing and separation of matter from the air

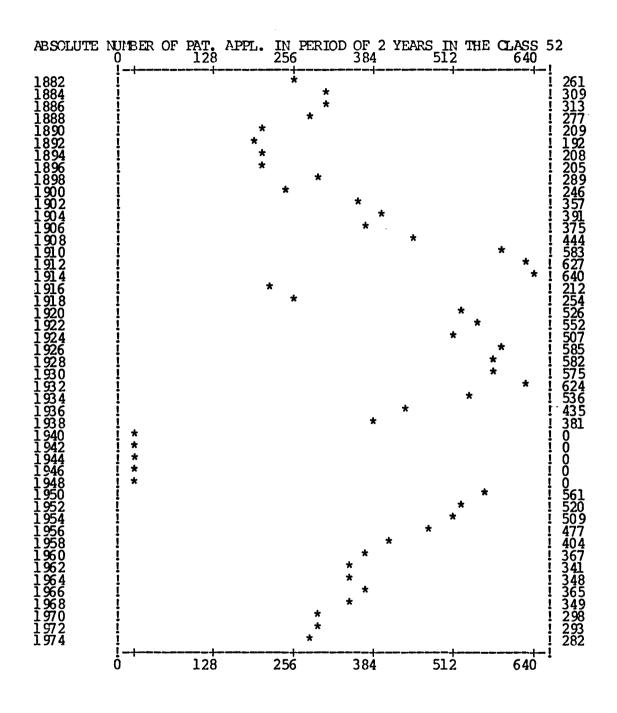


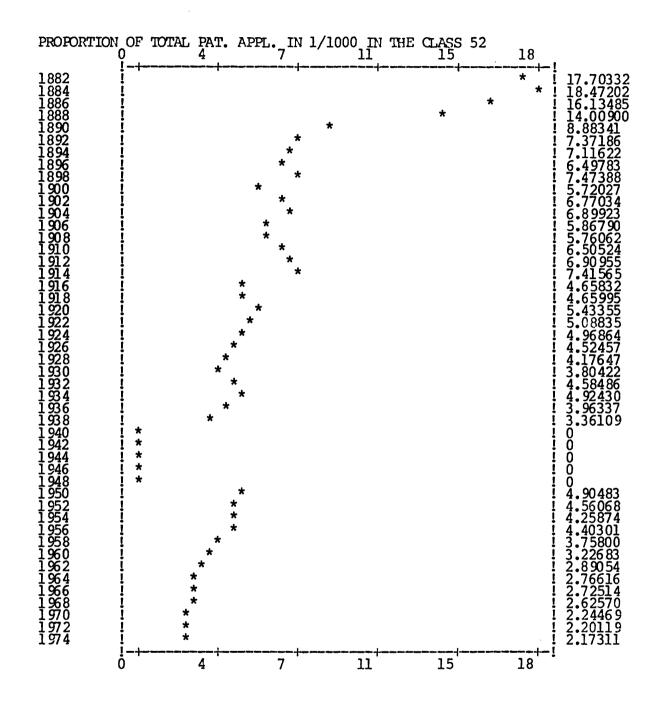


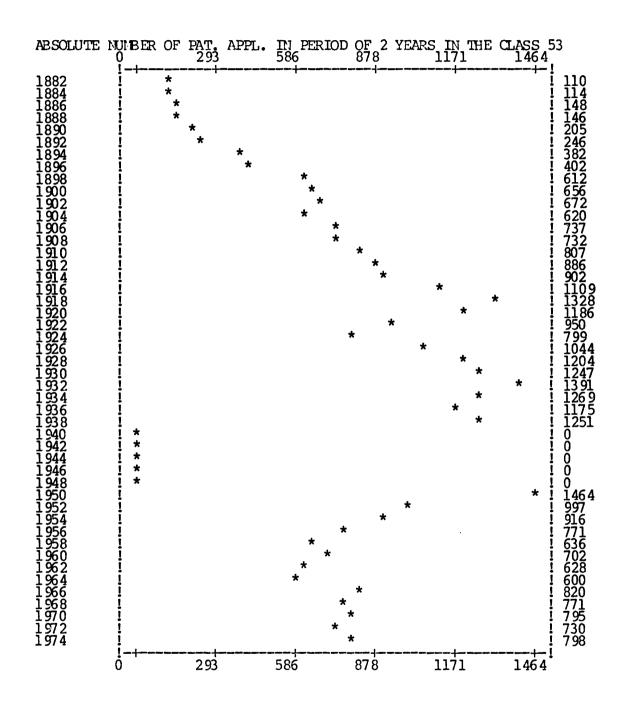


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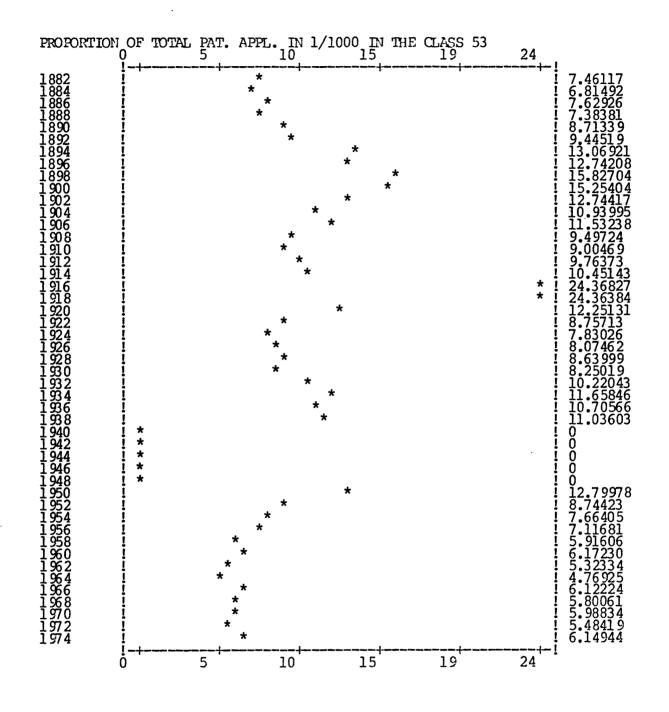


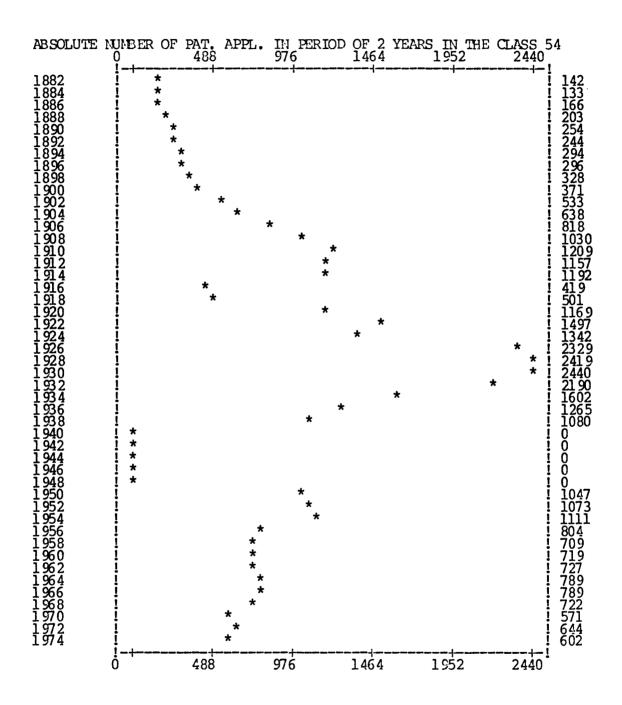




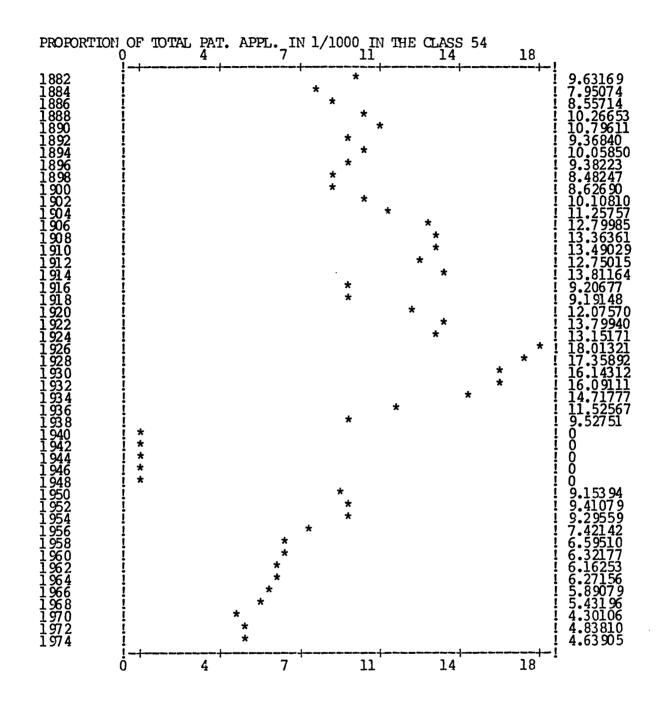


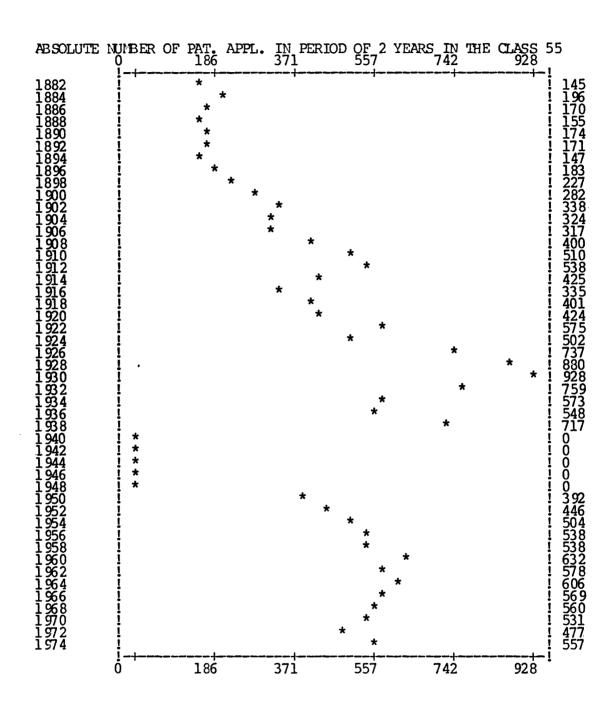
Cl. 53 Foods and foodstuffs not included in special classes, feeding stuffs





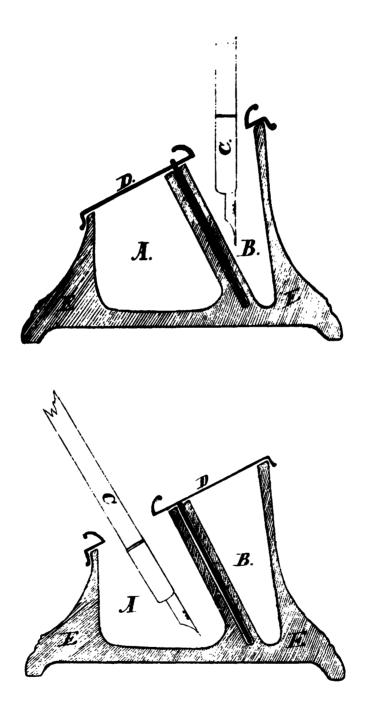
Cl. 54 Working of paper and board and products thereof as well as advertising material





HEINRICH JARCK IN FLENSBURG.

Verschliesebares Tintenfass.



Zu der Patentschrift

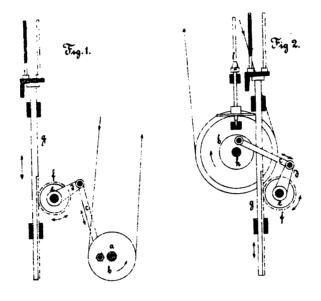
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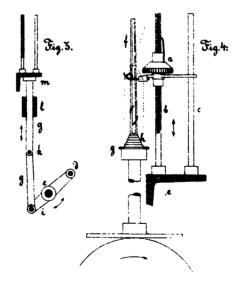
№ 87.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

C. W. HUNOLD IN CHEMNITZ.

Verbesserung an Spulmaschinen.

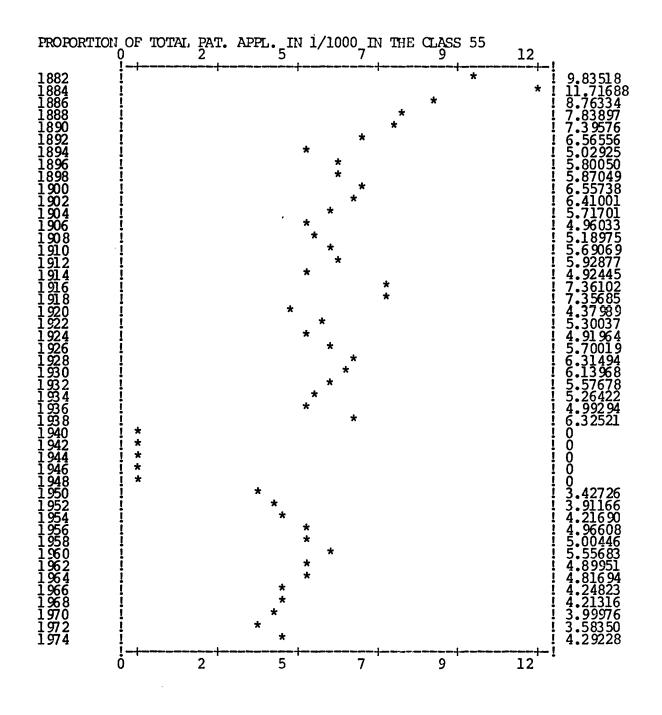


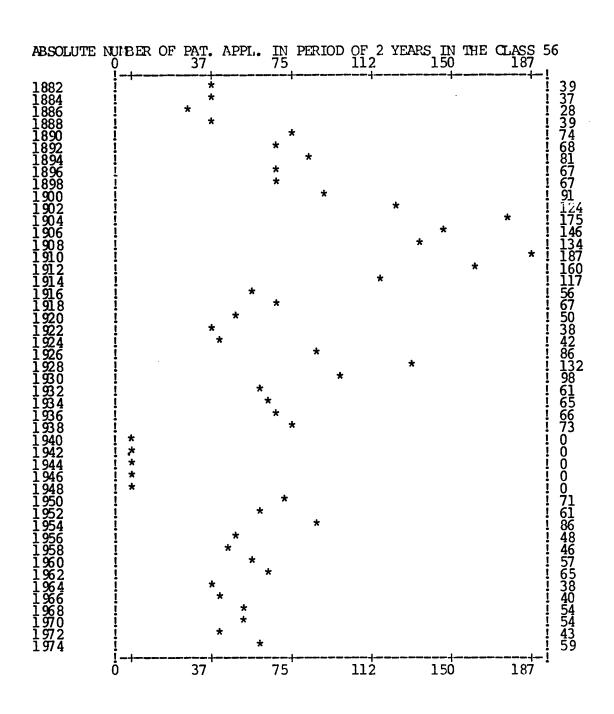


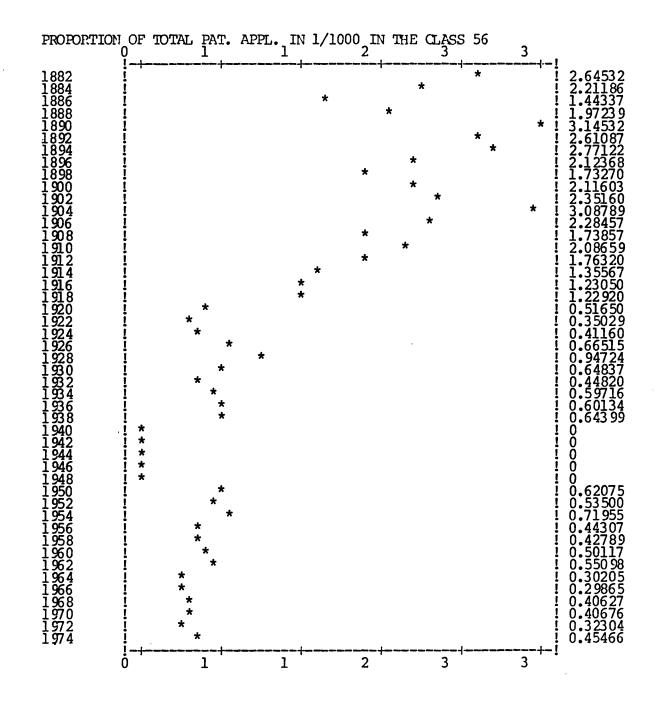
Zu der Patentschrift

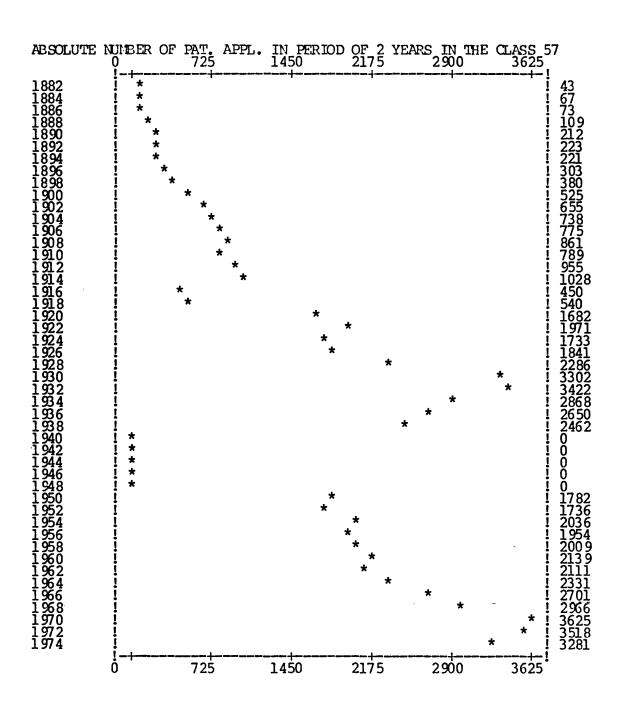
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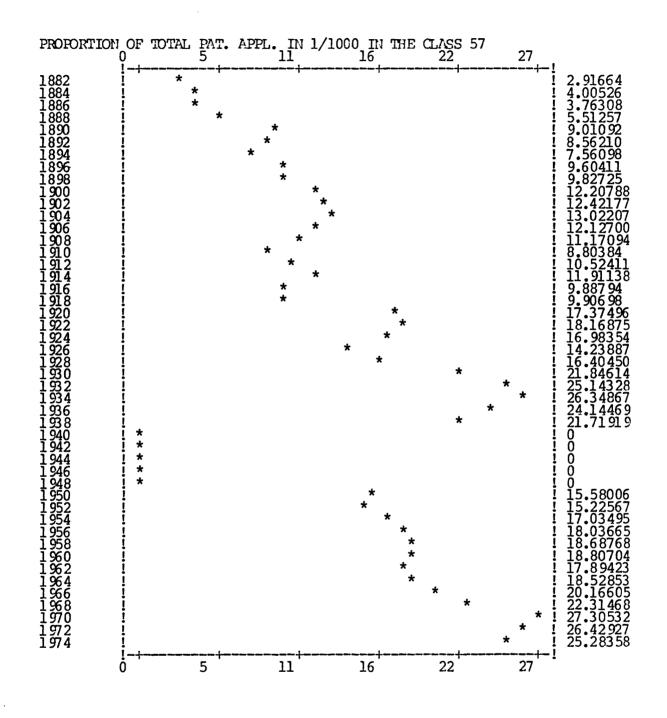
PHOTQGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

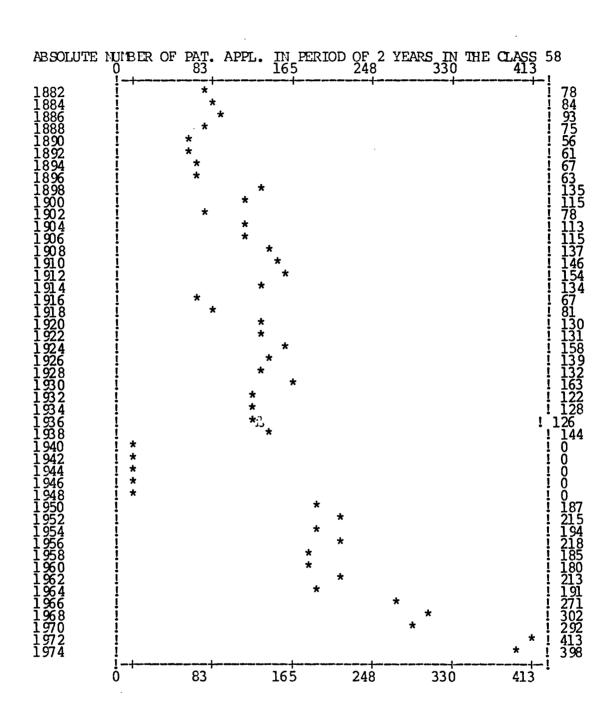




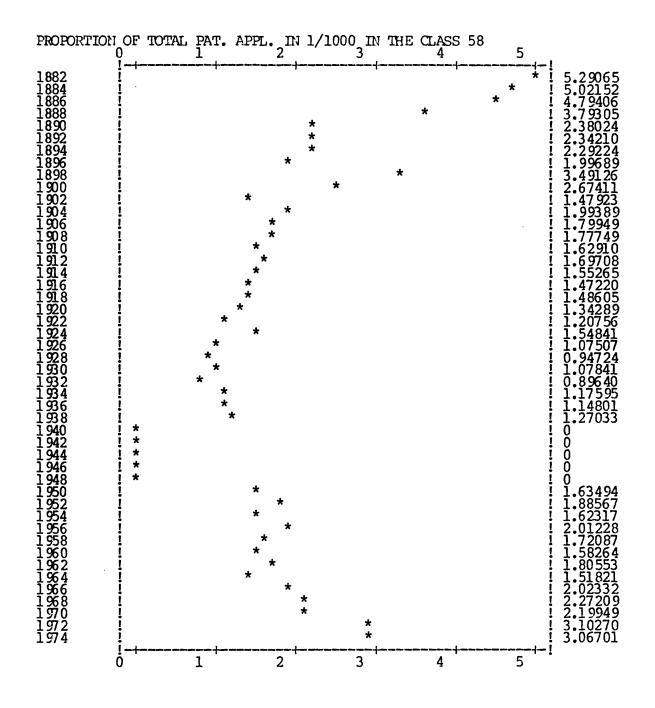


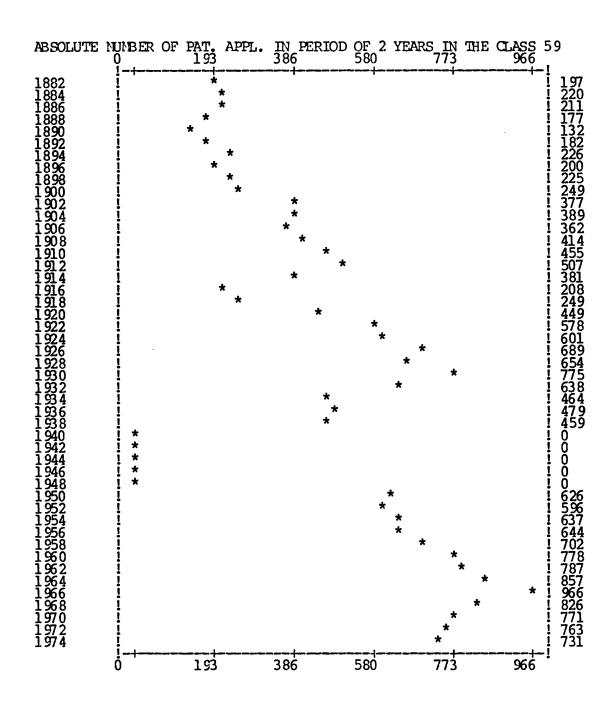


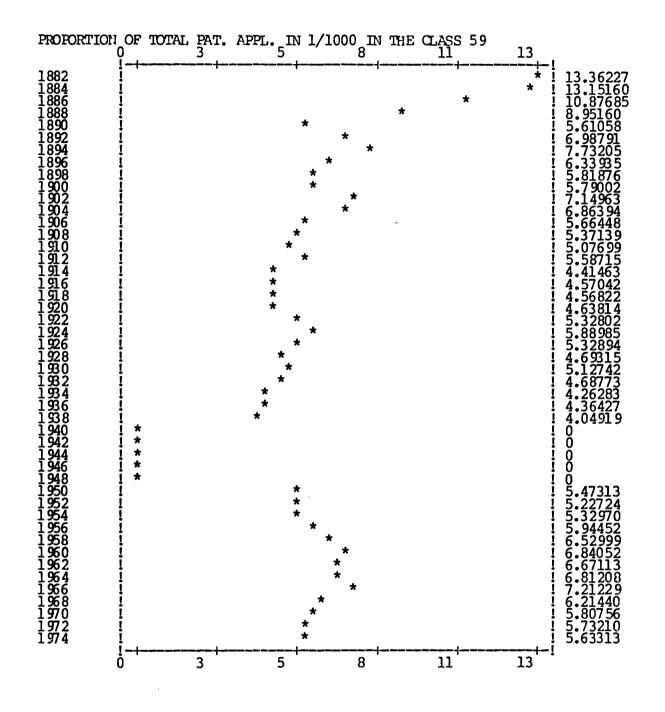


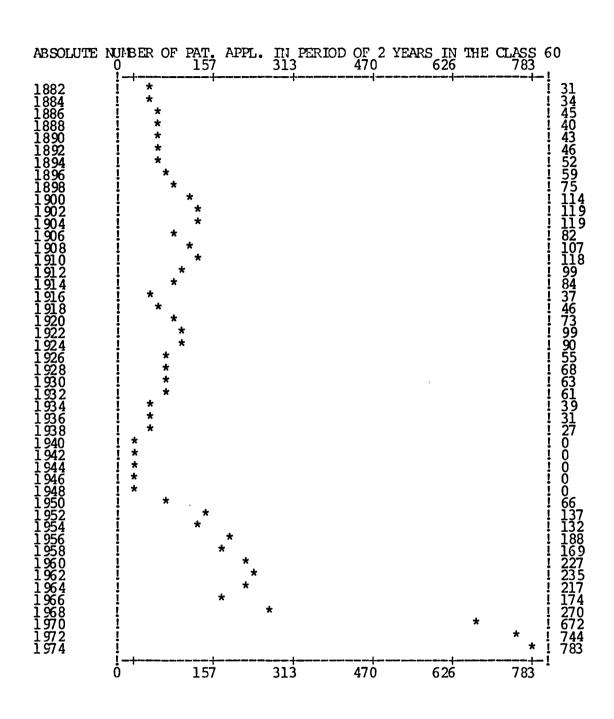


Cl. 58 Presses

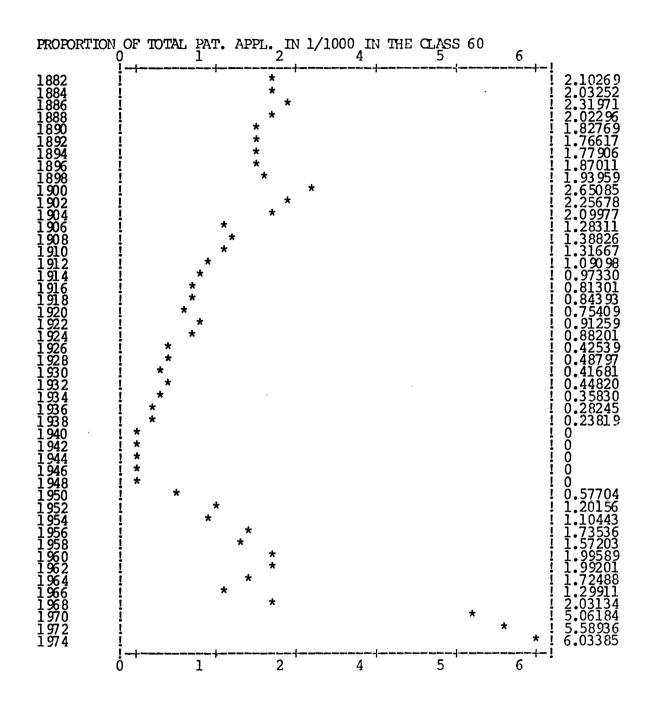


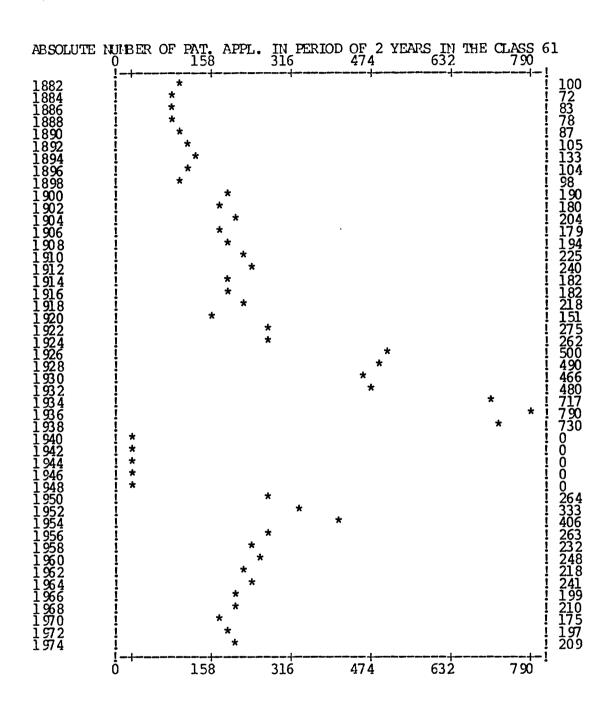


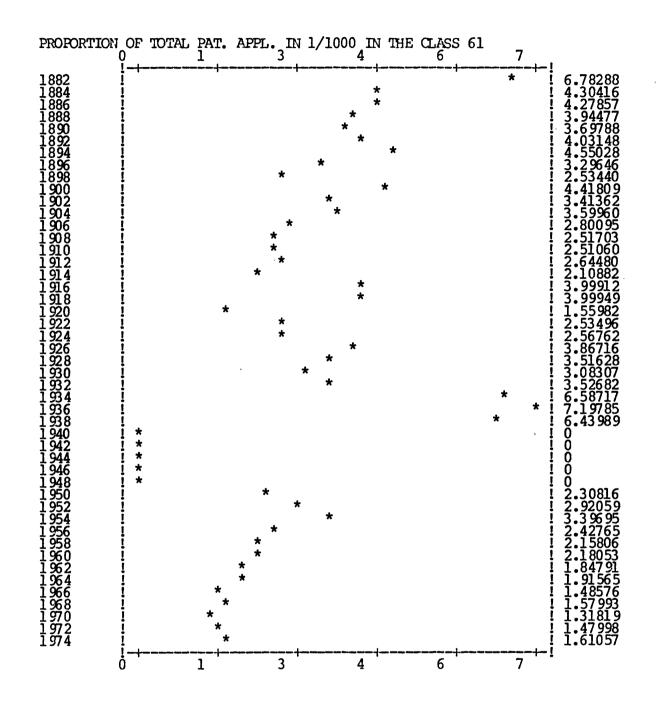


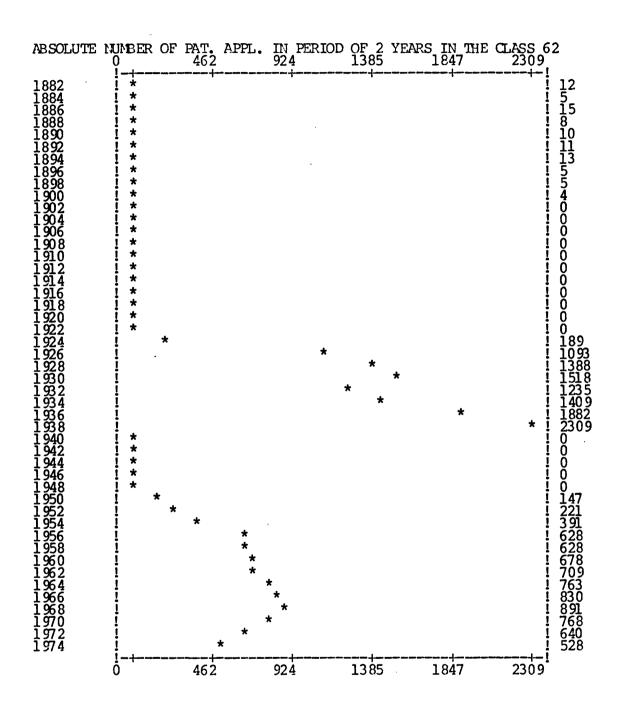


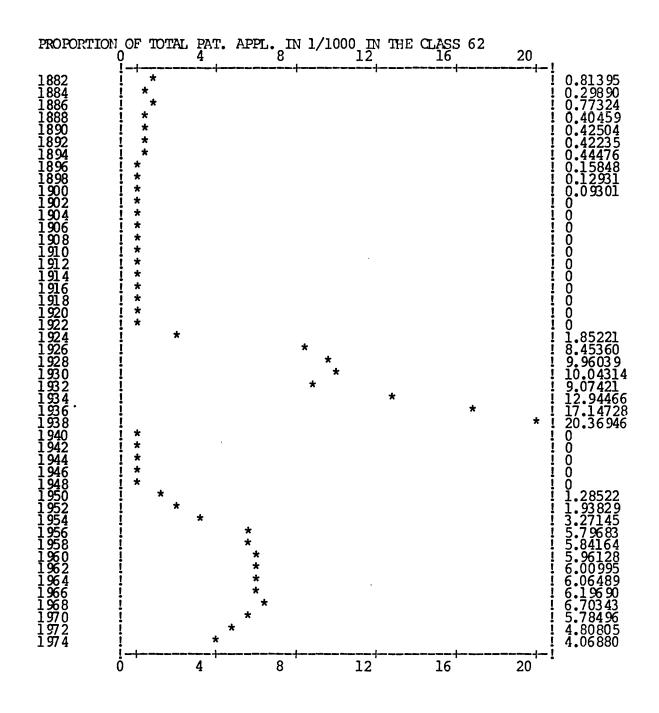
Cl. 60 Fluid-pressure actuators; hydraulics and pneumatics in general

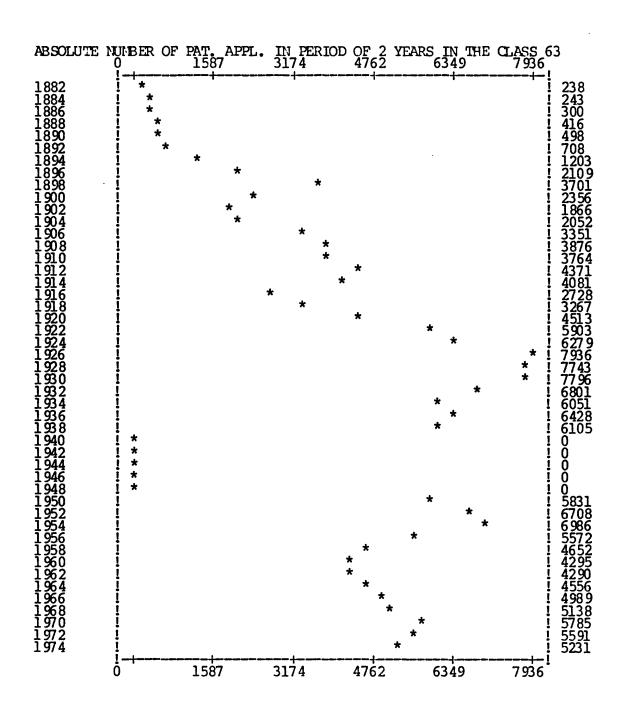


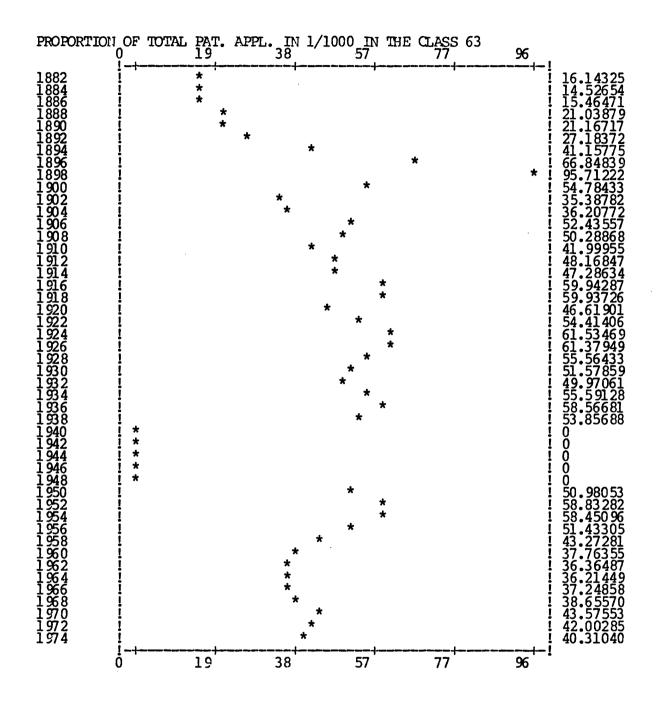


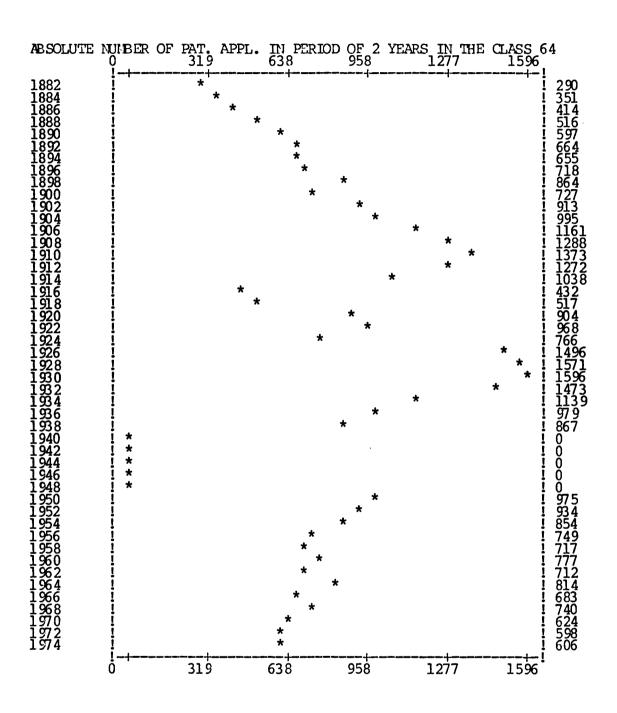




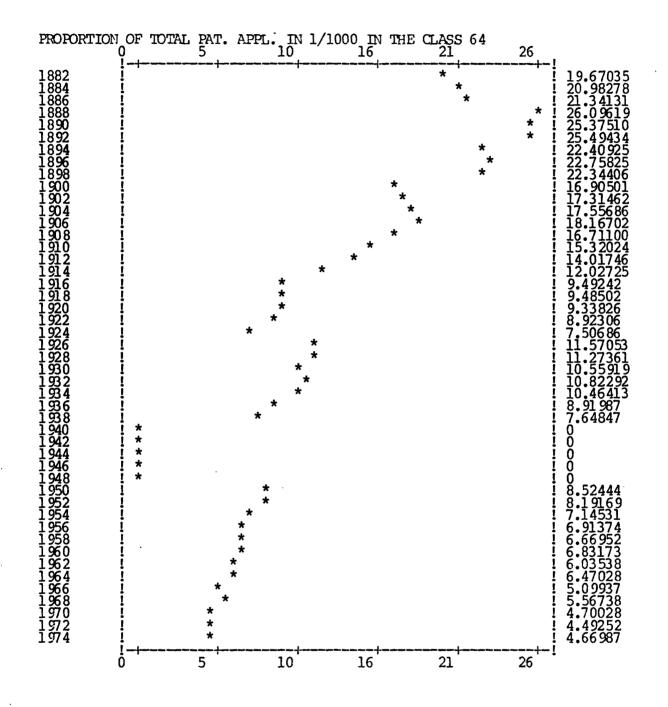


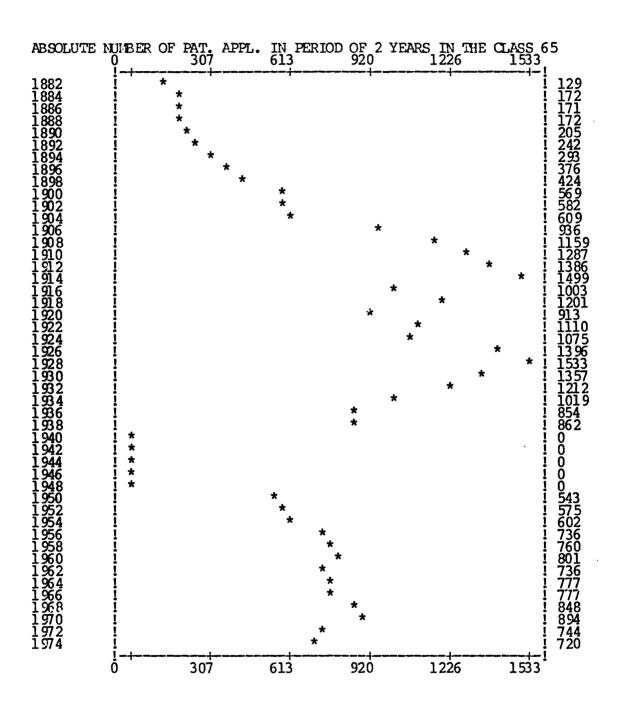






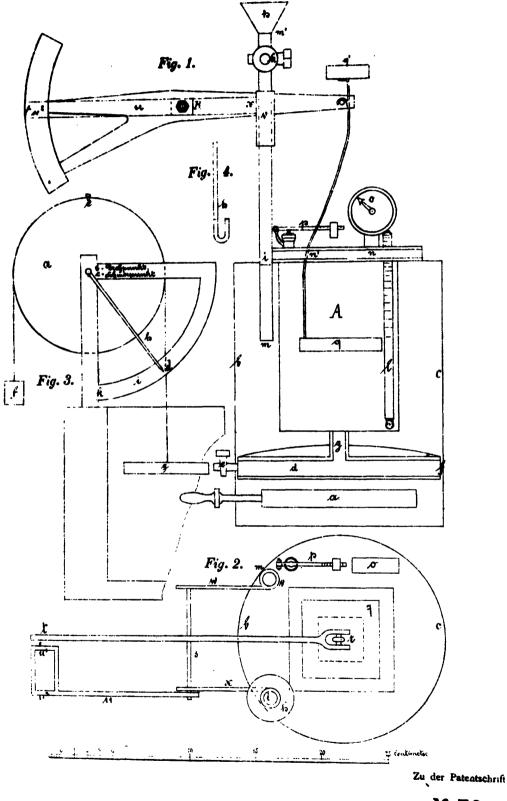
Cl. 64 Distribution of alcoholic liquors





Cl. 65 Ships and other waterborne vehicles; related equipment

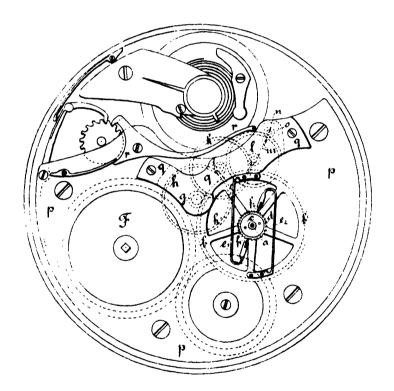
Trocken-Apparat.



. № 76.

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

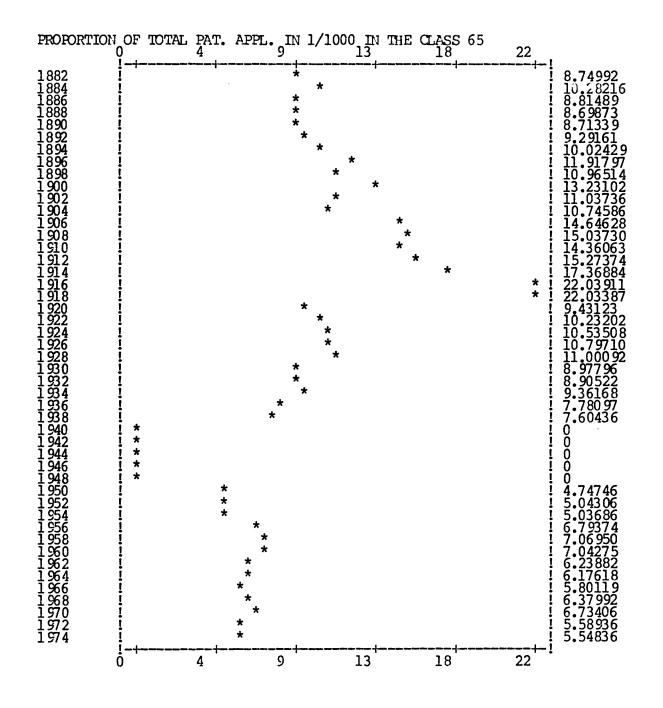
A. LANGE & SÖHNE IN GLASHÜTTE (SACHSEN). Secundenwerk mit springendem Zeiger.

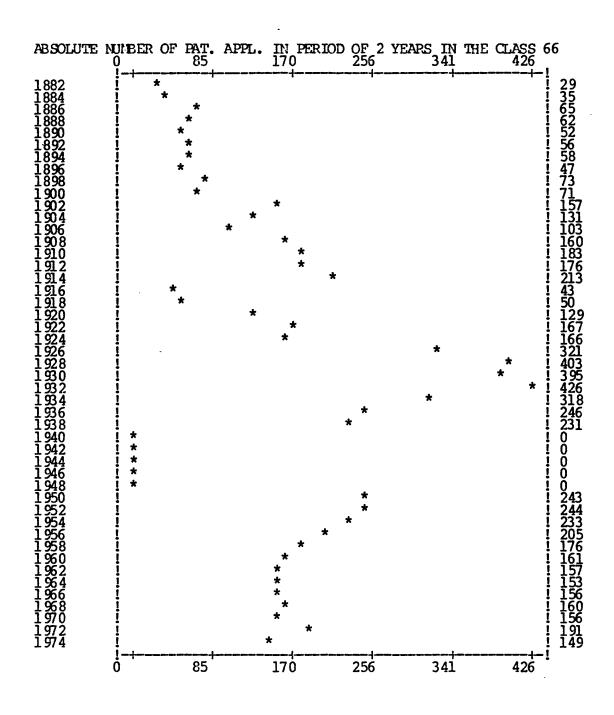


Zu der Patentschrift

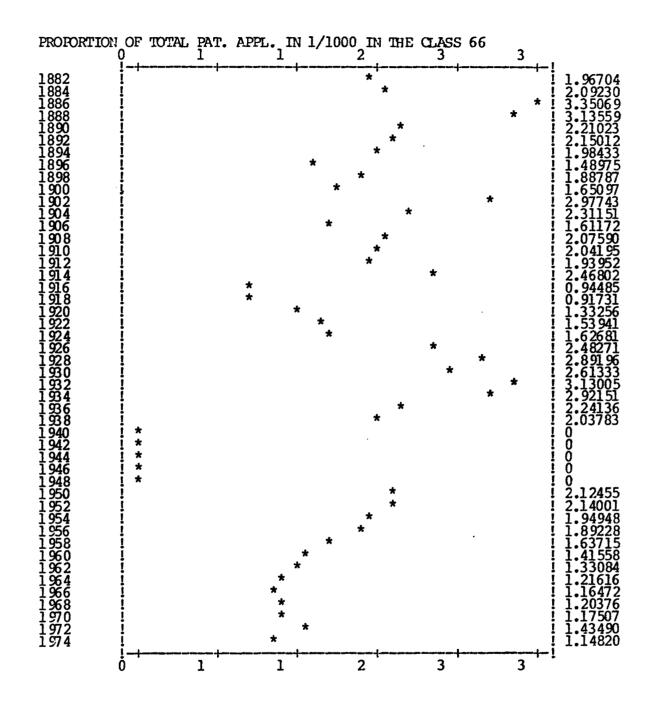
№ 182.

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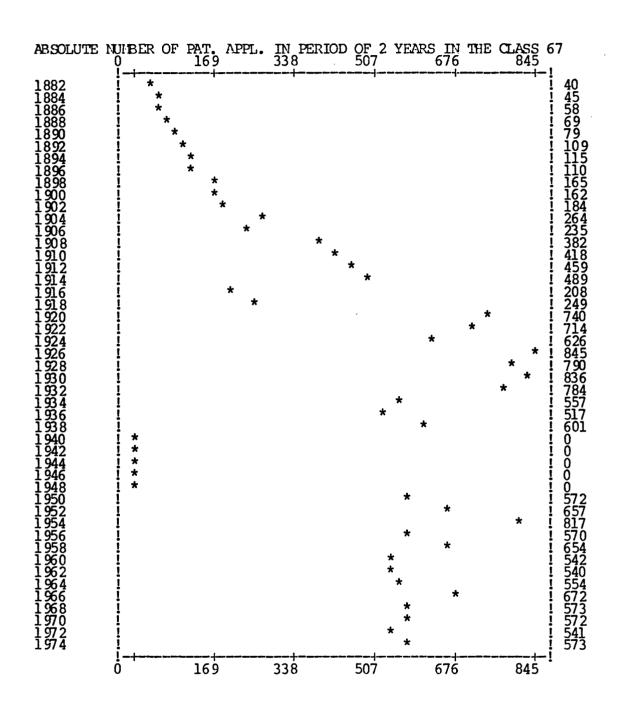


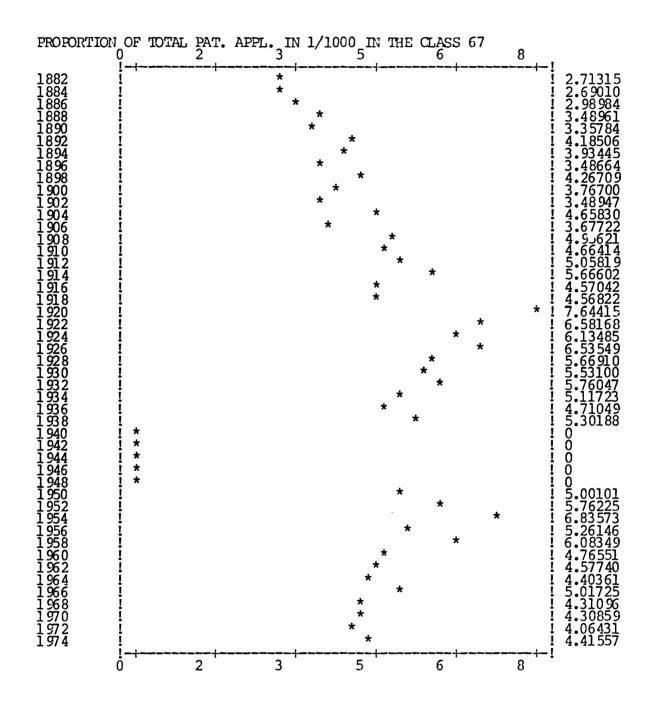


Cl. 66 Butchering and meat treatment

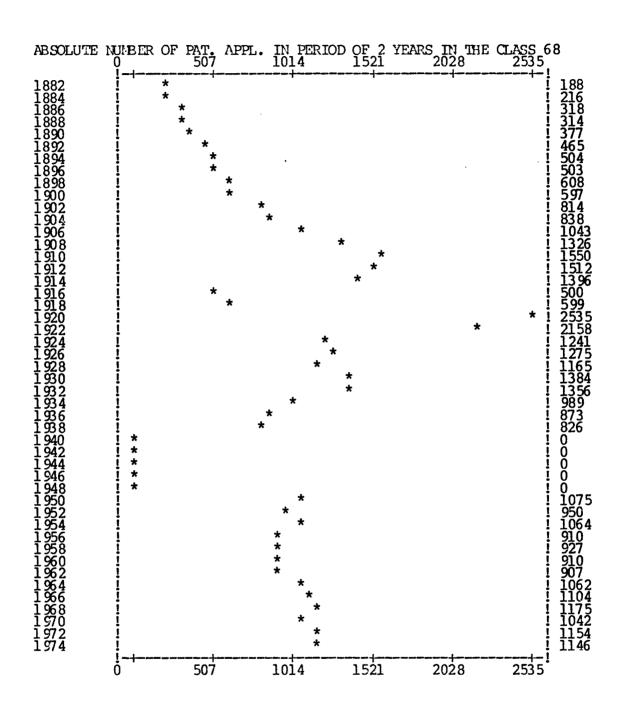


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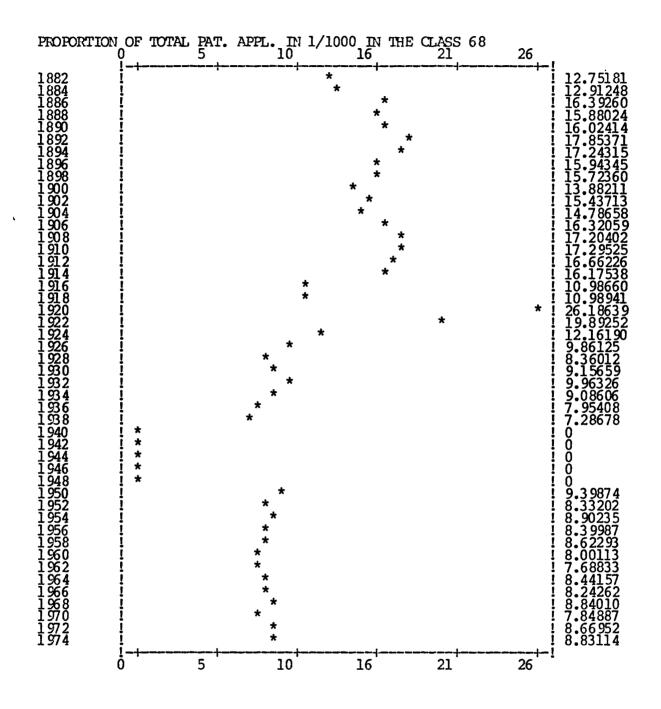


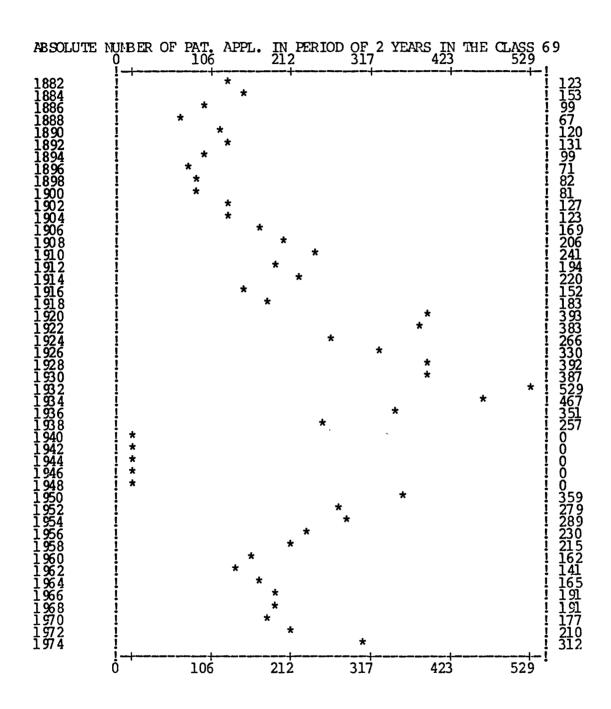


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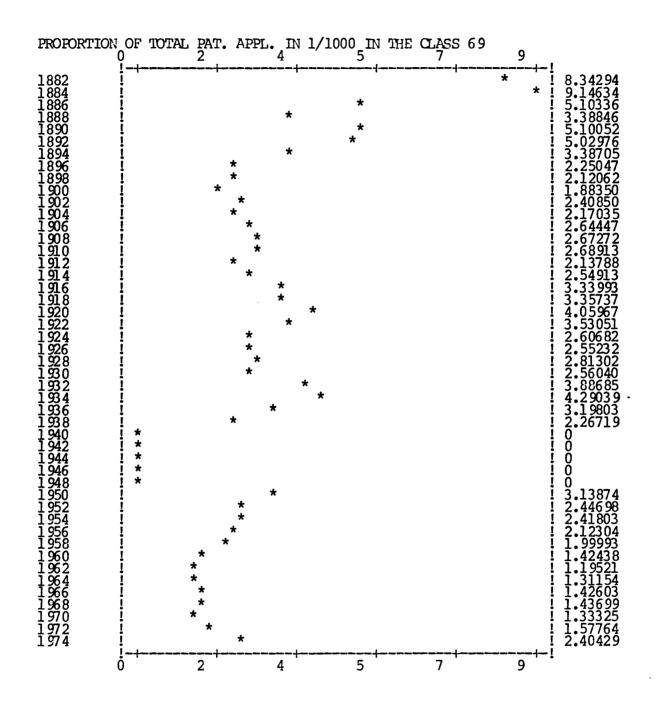


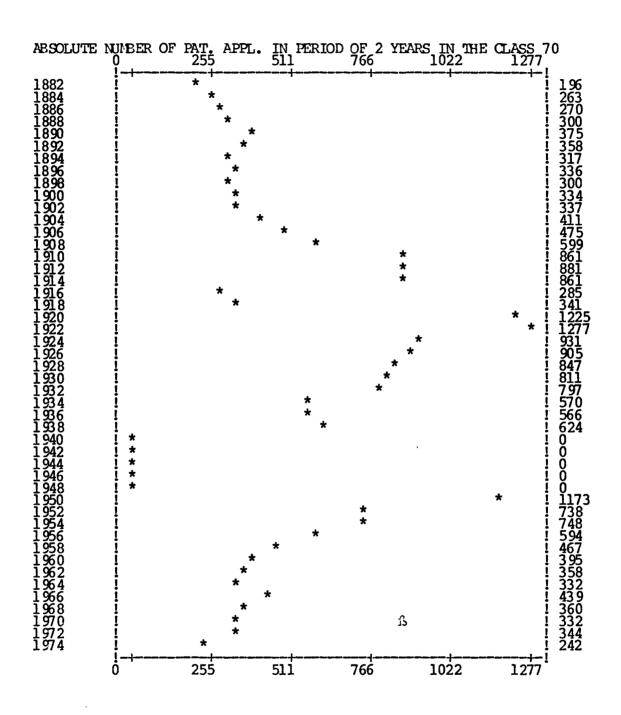
Cl. 68 Articles of the locksmithing trade



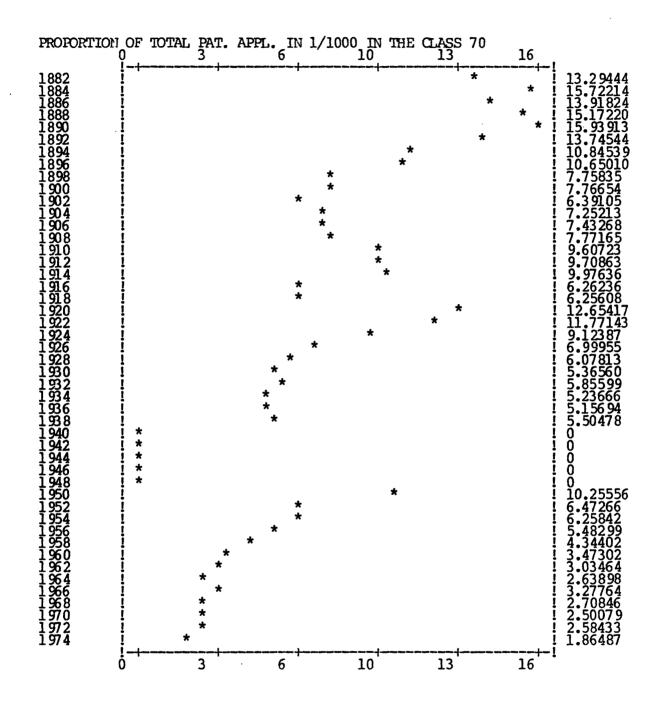


Cl. 69 Cutting tools including cutting and stabbing weapons; domestic cutting appliances and machines

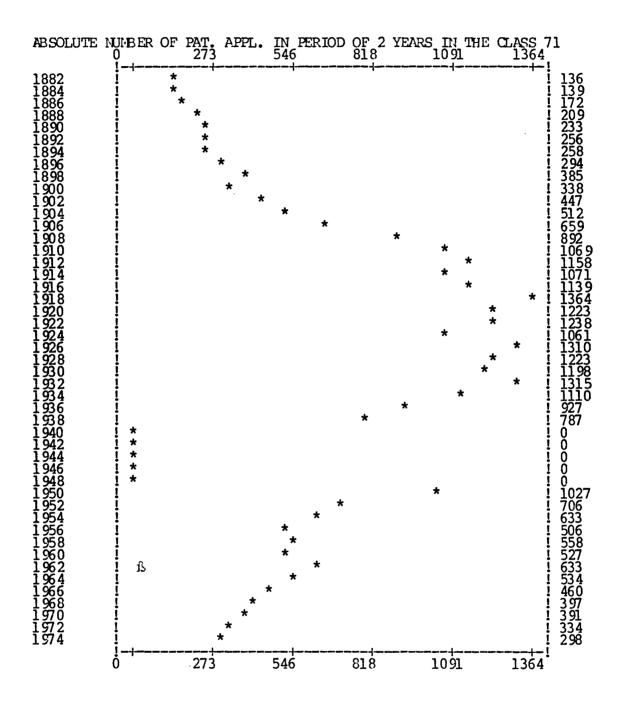


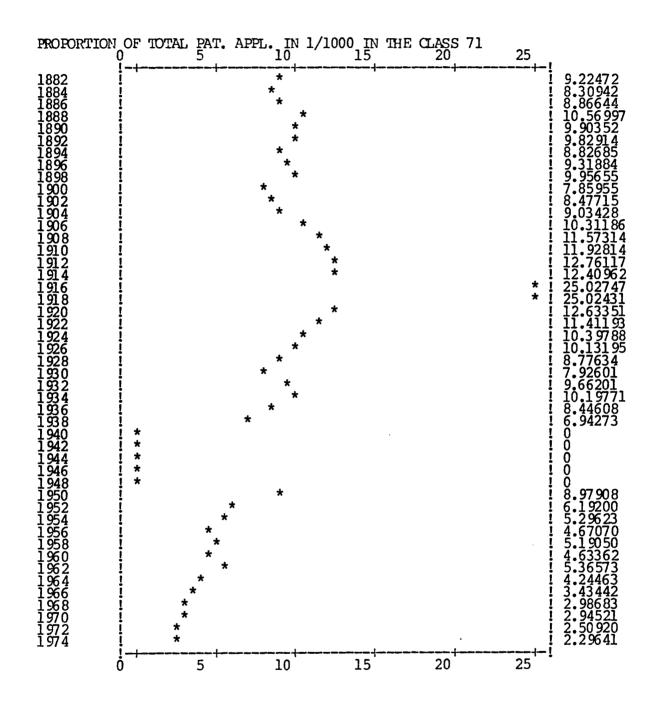


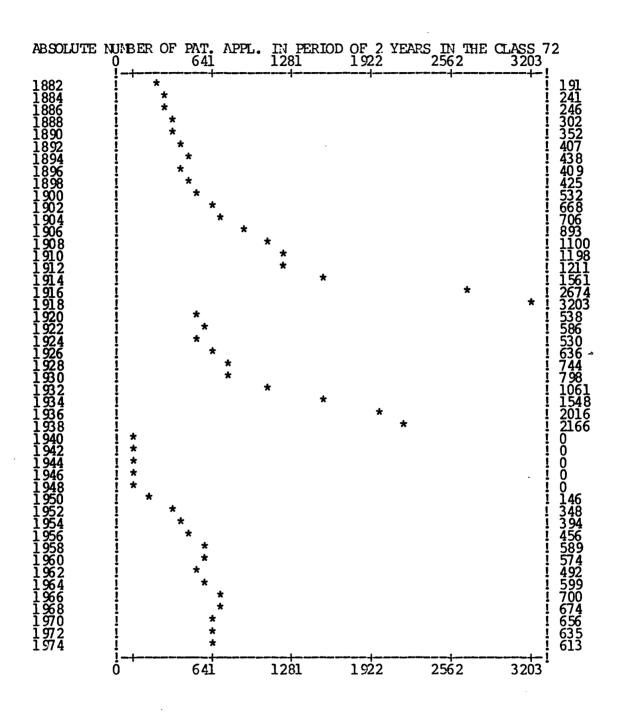
Cl. 70 Writing and drawing appliances

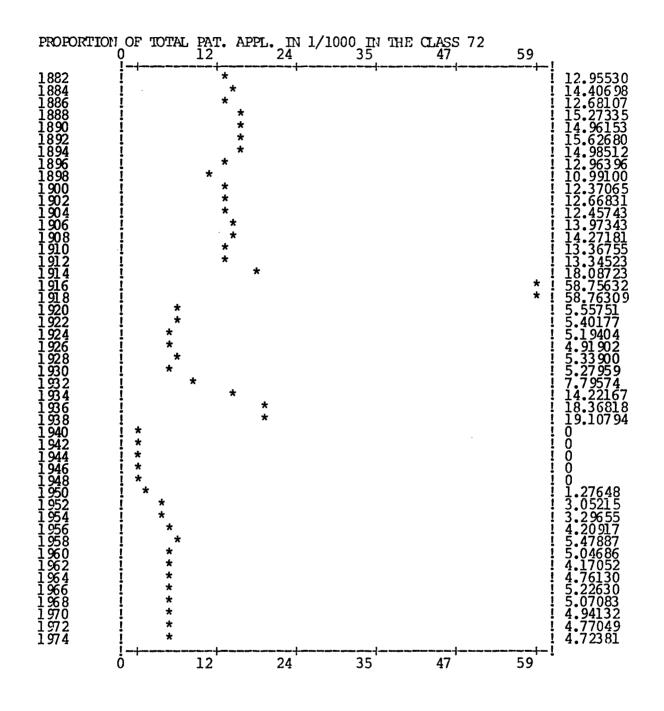


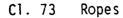
Cl. 71 Footwear

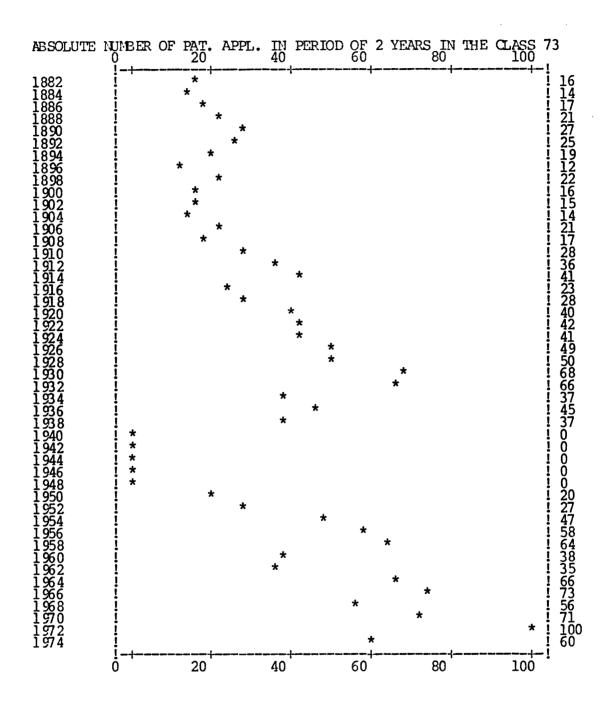


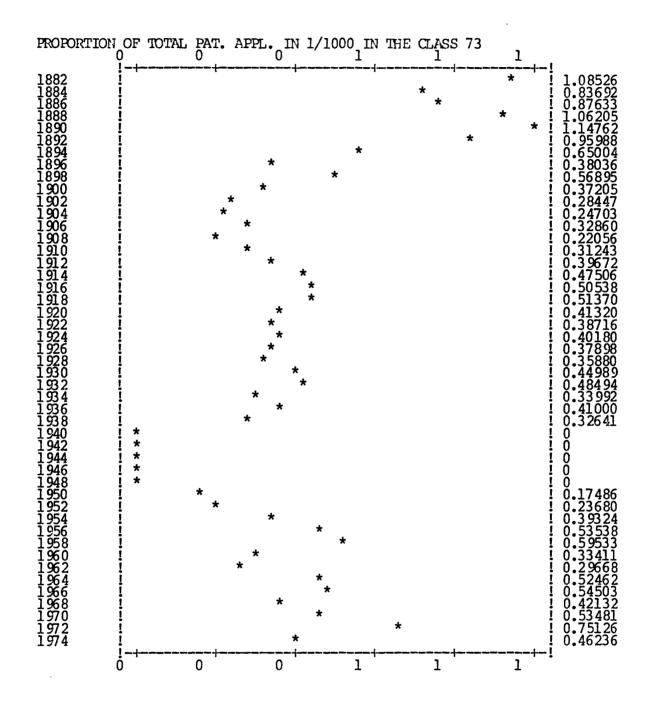


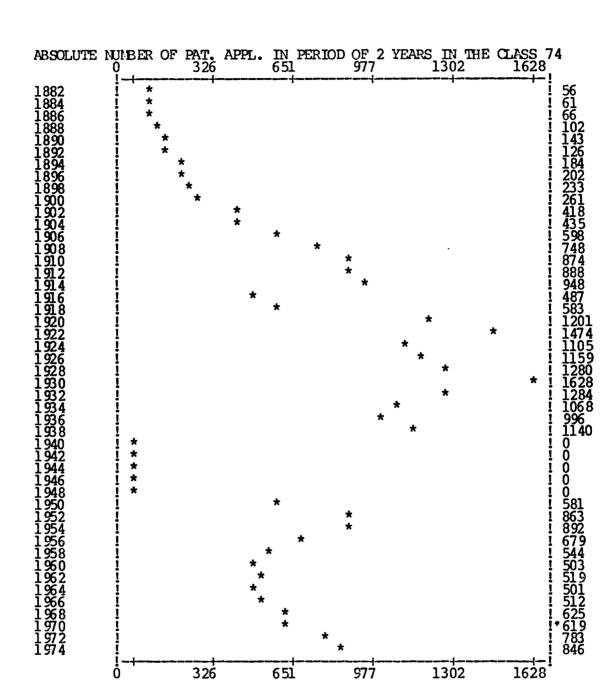


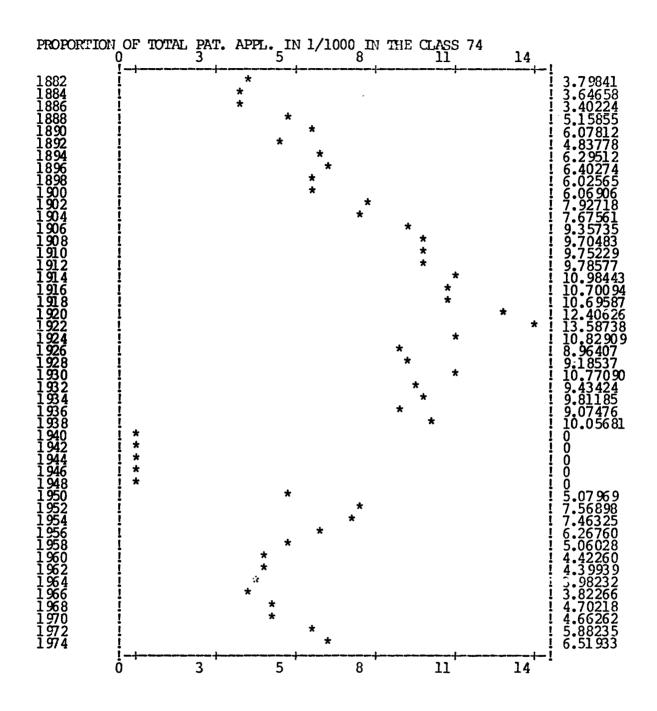


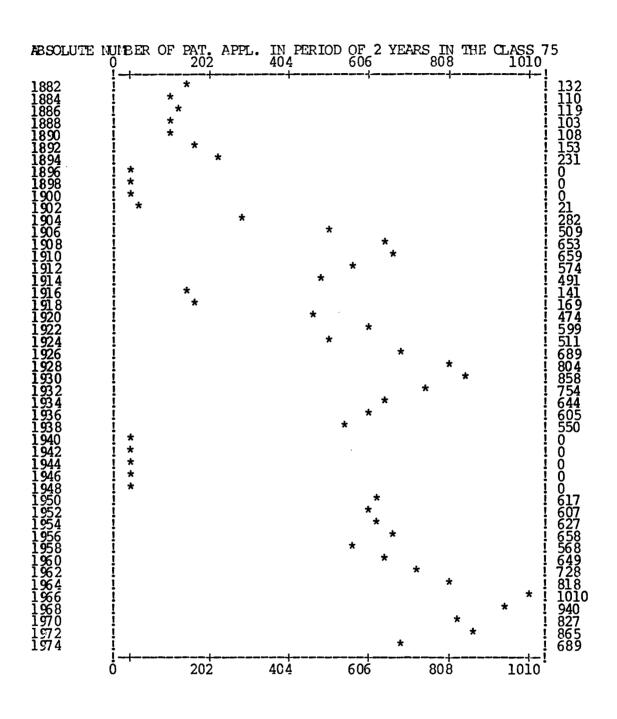






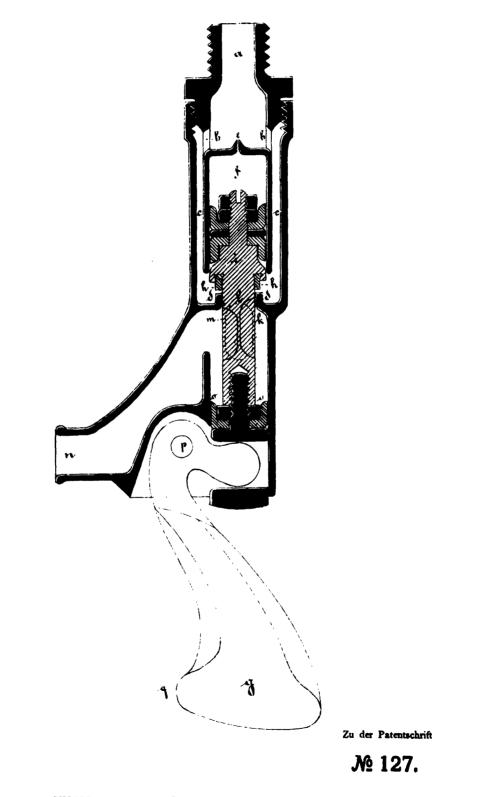




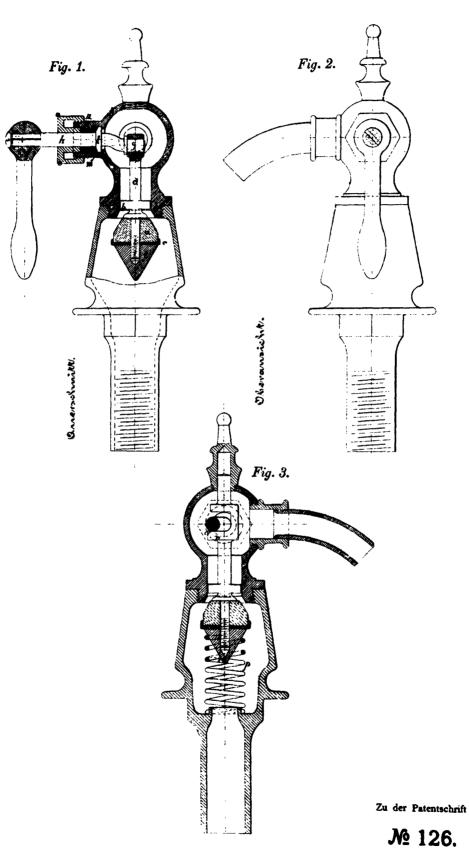


J. VALENTIN IN FRANKFURT A. M.

Selbstschließendes Auslauf-Ventil.



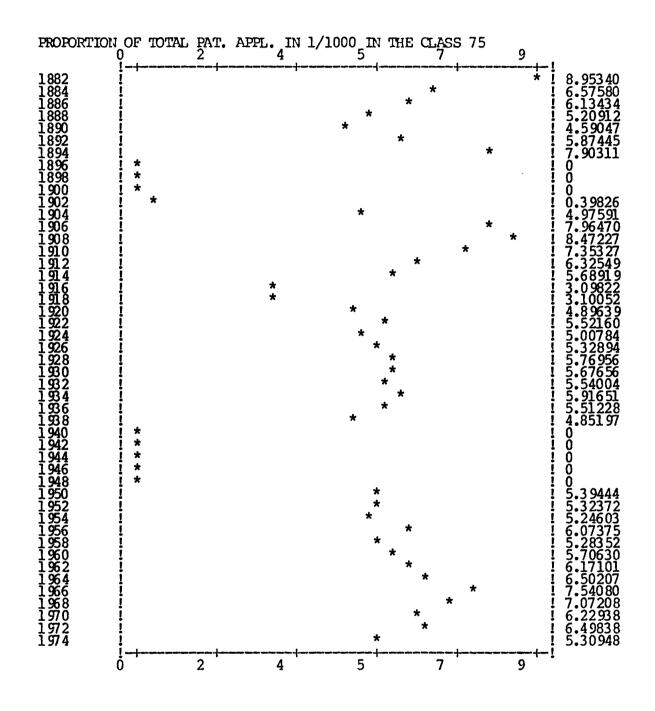
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

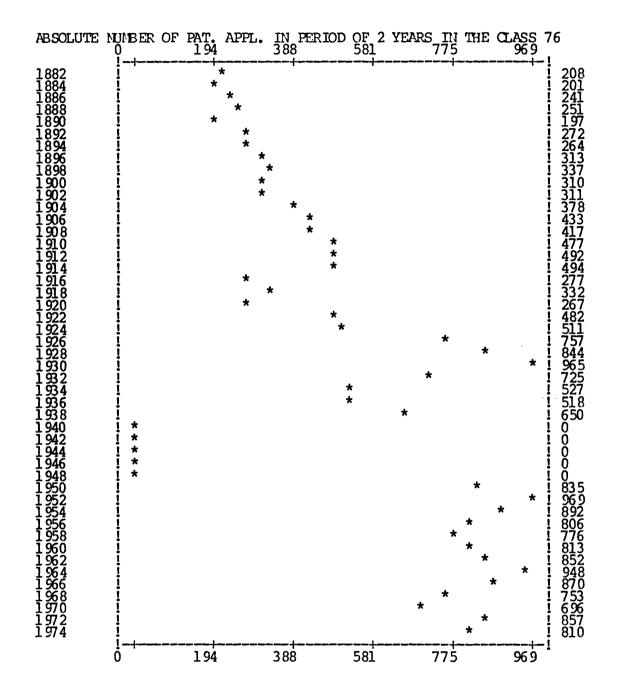


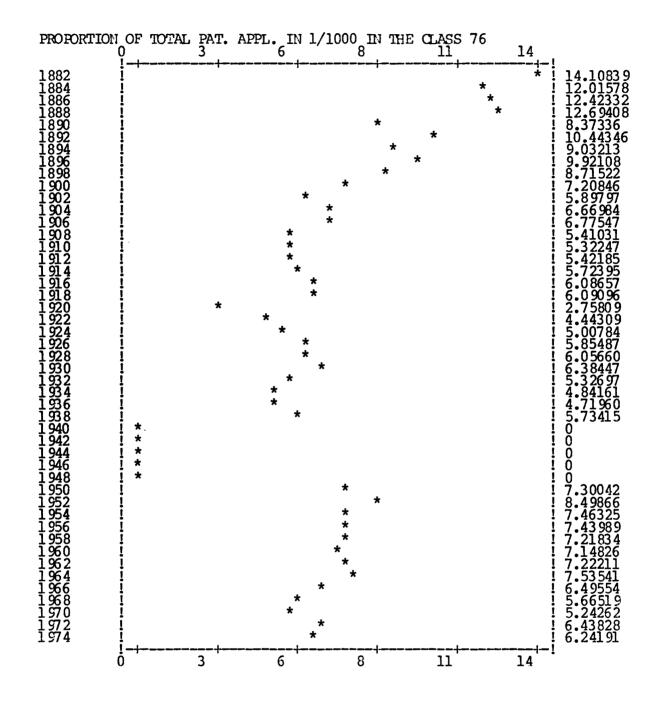
F. C. GLASER IN BERLIN.

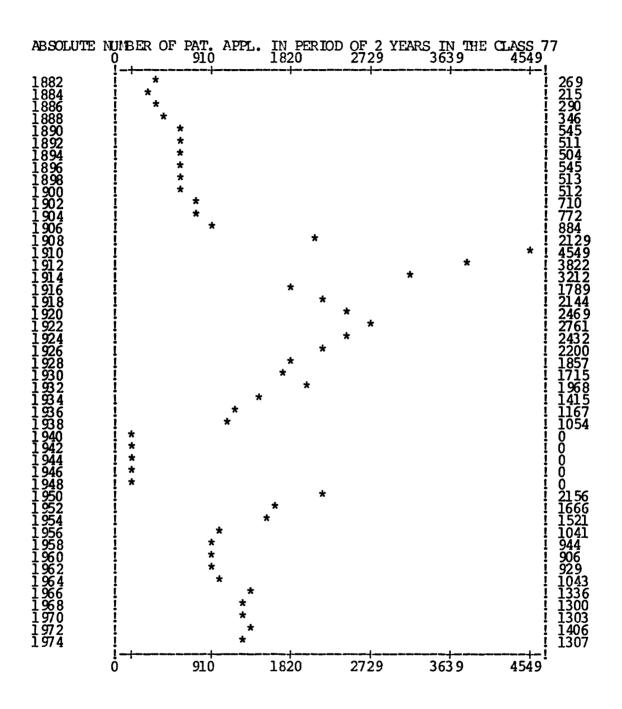
Ventil-Hahn für Wasserleitungen.

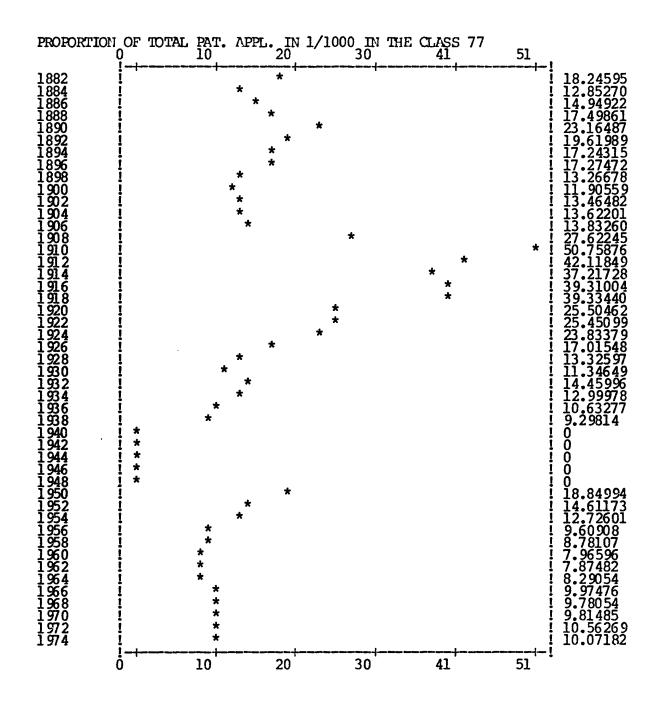
PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

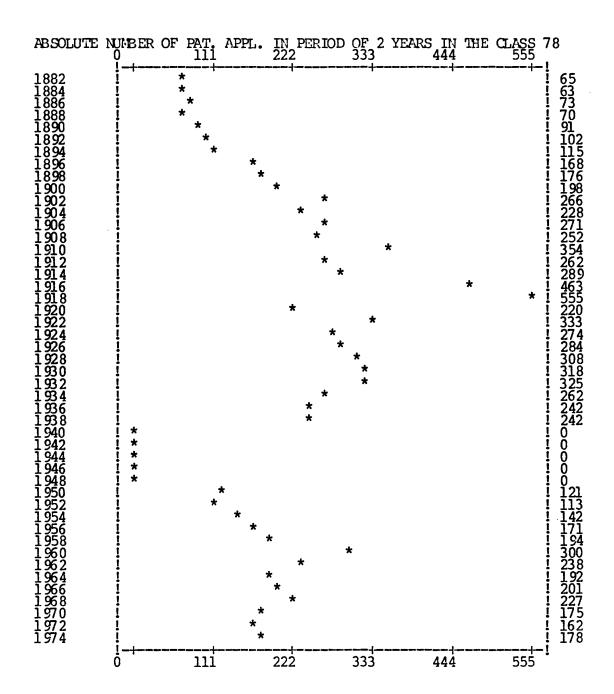




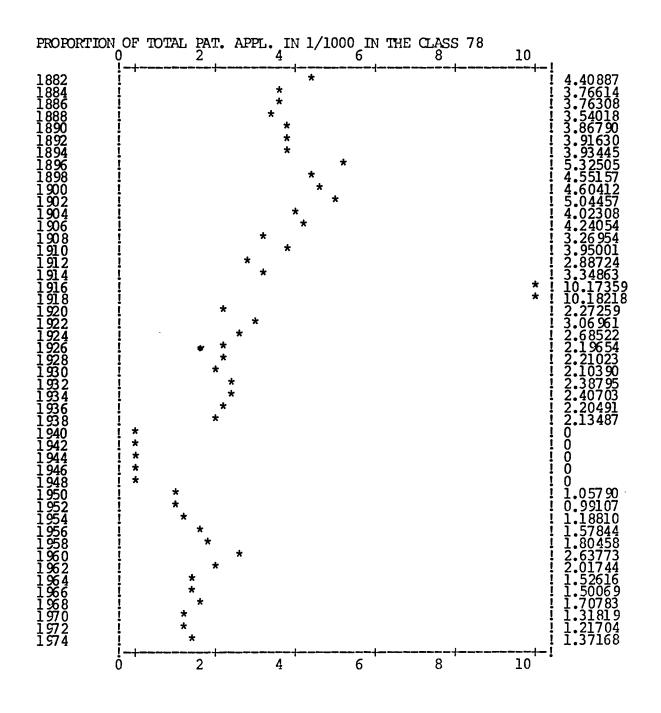


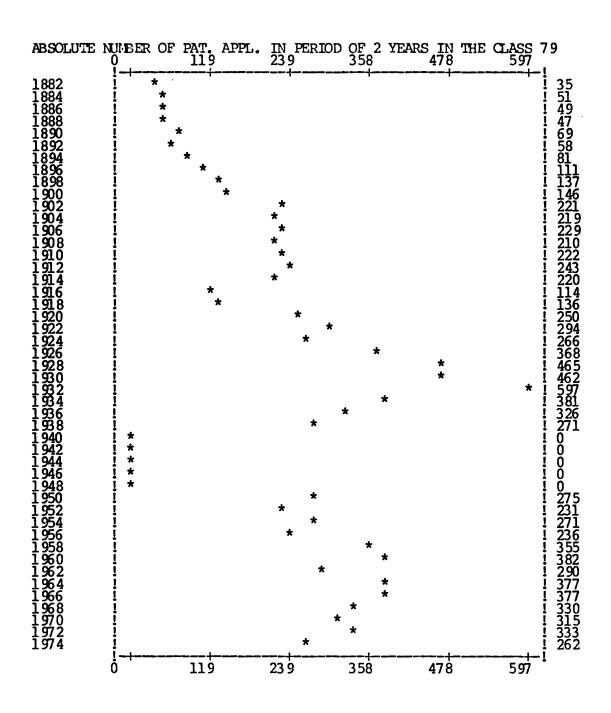




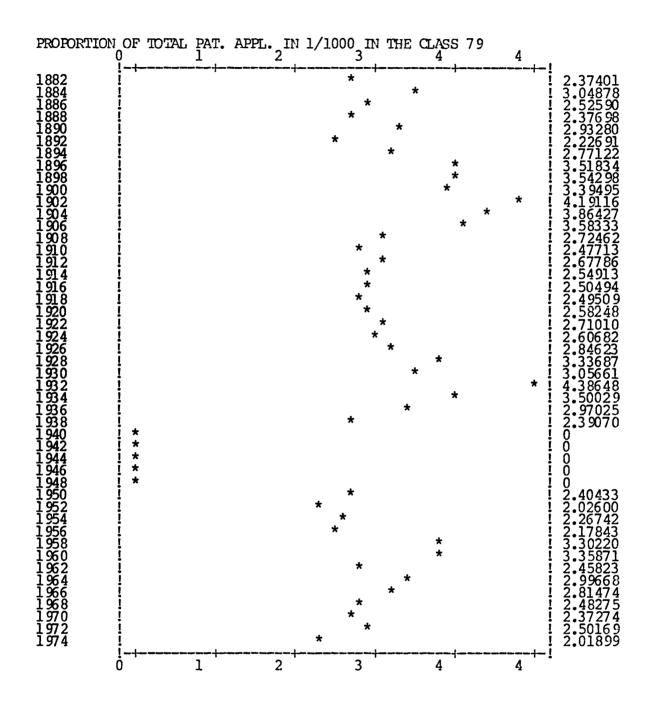


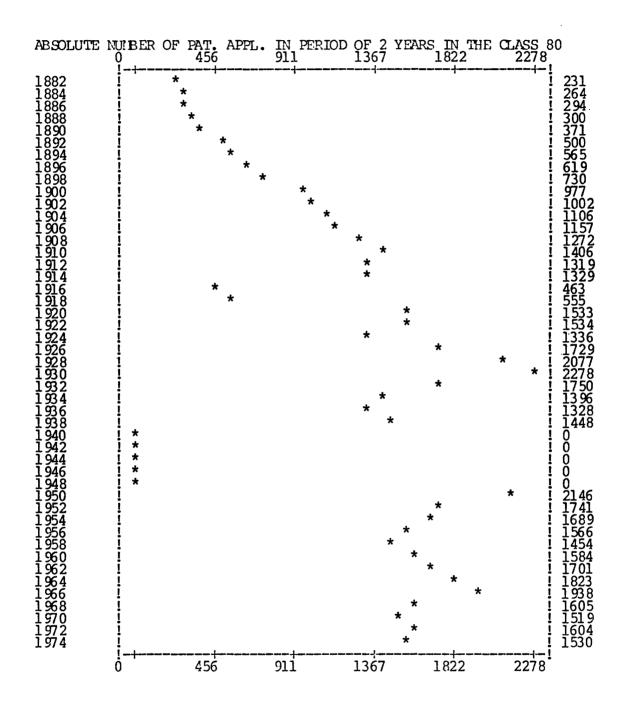
Cl. 78 Explosives, matches, fireworks, flashlights, production of artificial fog



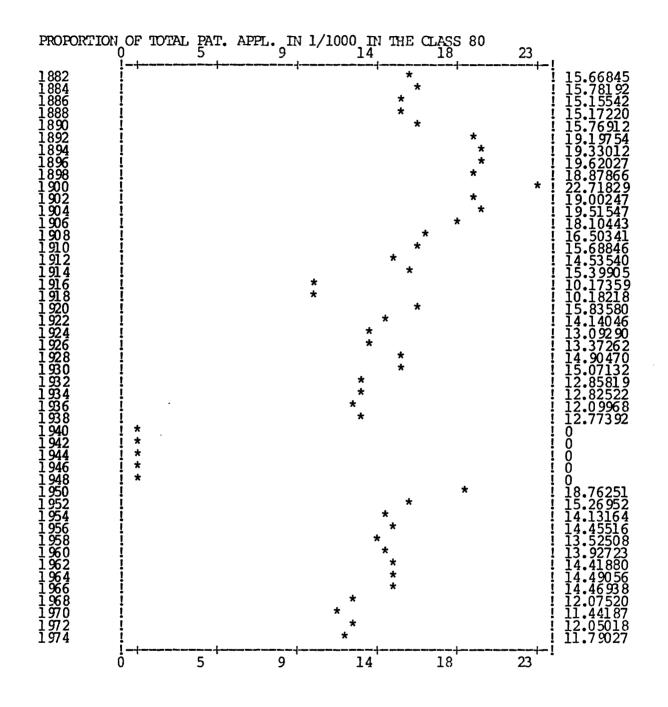


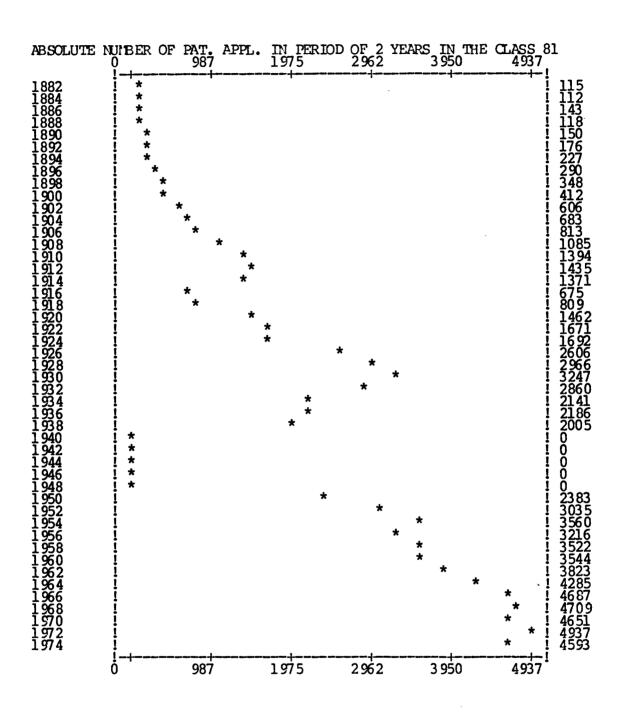
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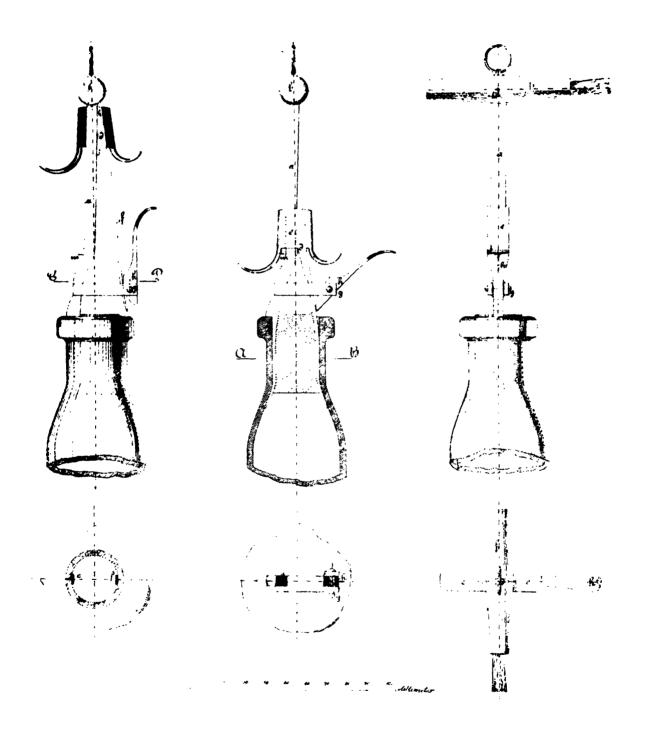


Cl. 80 Clay articles, stones, chalk, cement, plaster, asphalt, briquette presses





BENJAMIN LOEW IN TILSIT Pfropfenzieher.



Zu der Patentsch

№ 16.

PHOTOGR, DRUCK DEF KONIGL, PREUSS, STAATSDRUCKEREL

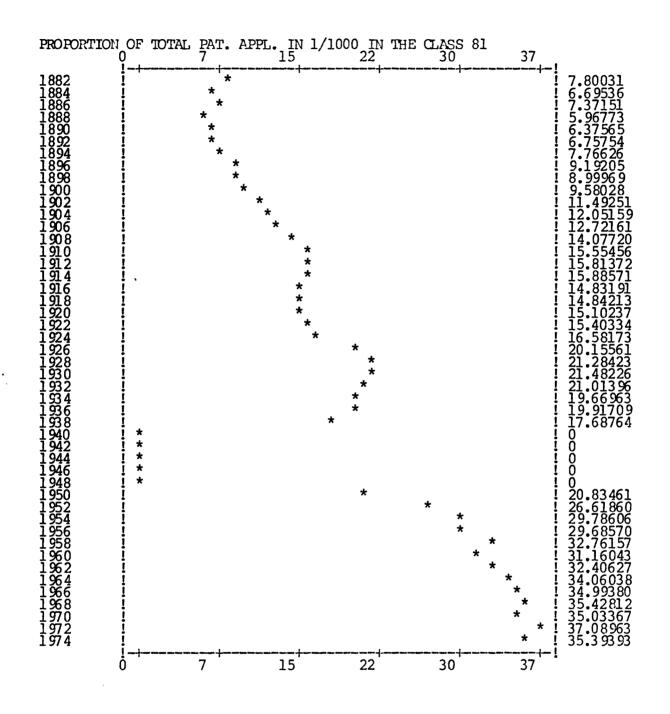
FRIEDRICH SCHELLING IN HAMBURG.

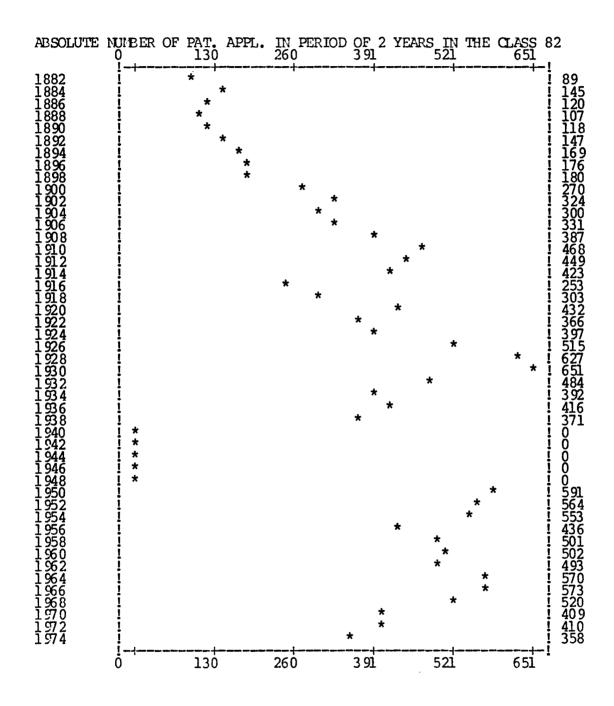
Fig. 1. Fig. 2. T. 4 • f.

Oel-Spritz-Kanne.

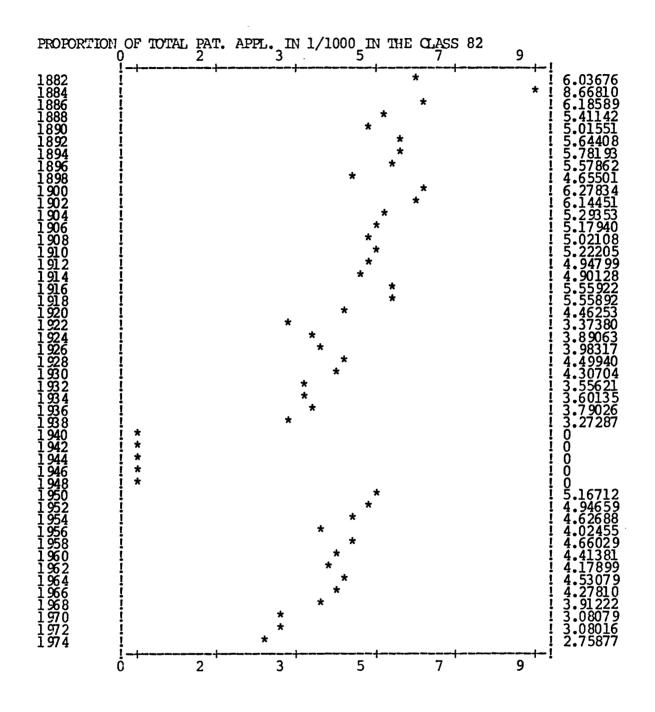
Zu der Patentschrift

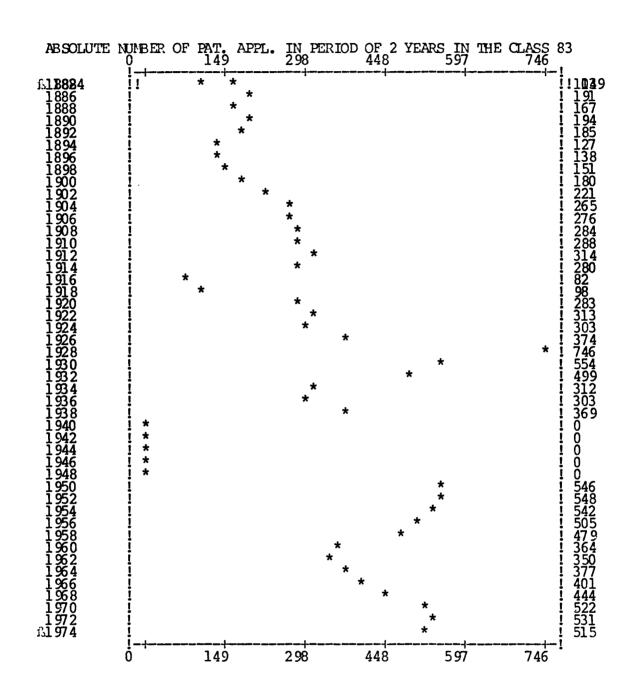
<u>₩ 56.</u>

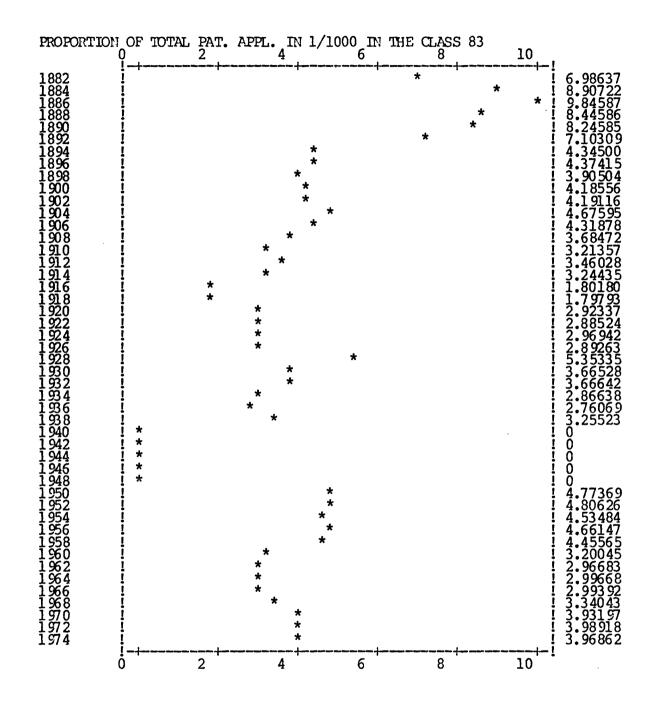


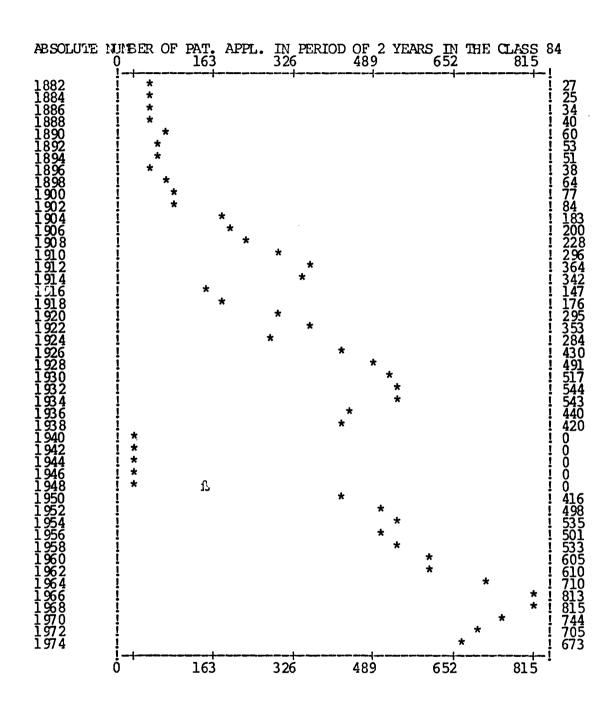


Cl. 82 Drying including drying kilns, coffee roasters, centrifugal apparatus for general purpose

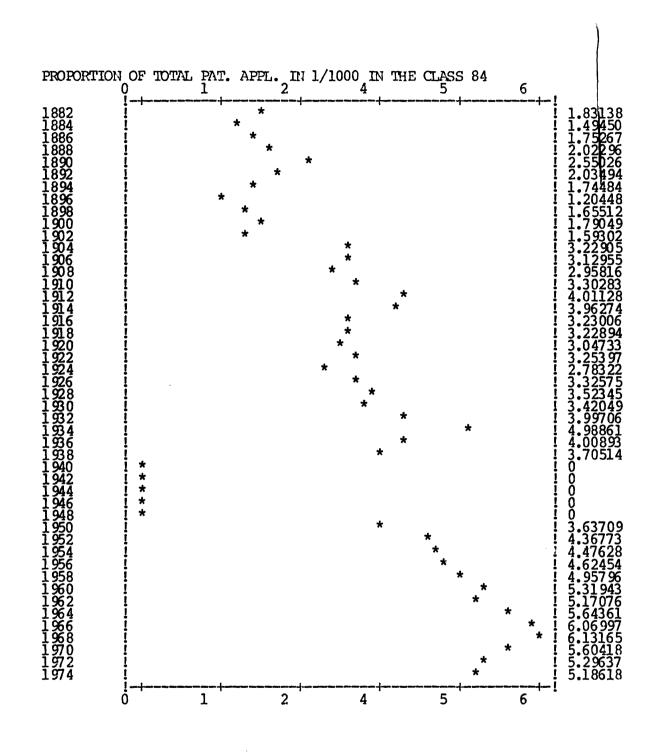


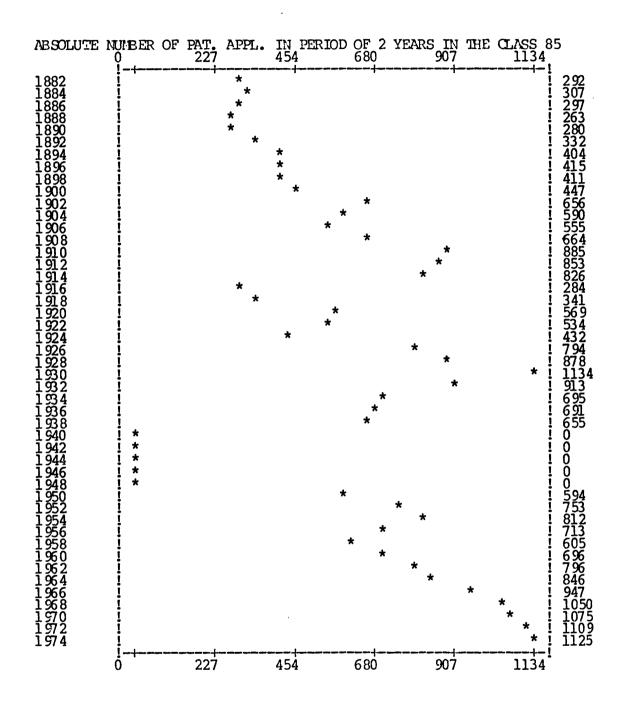




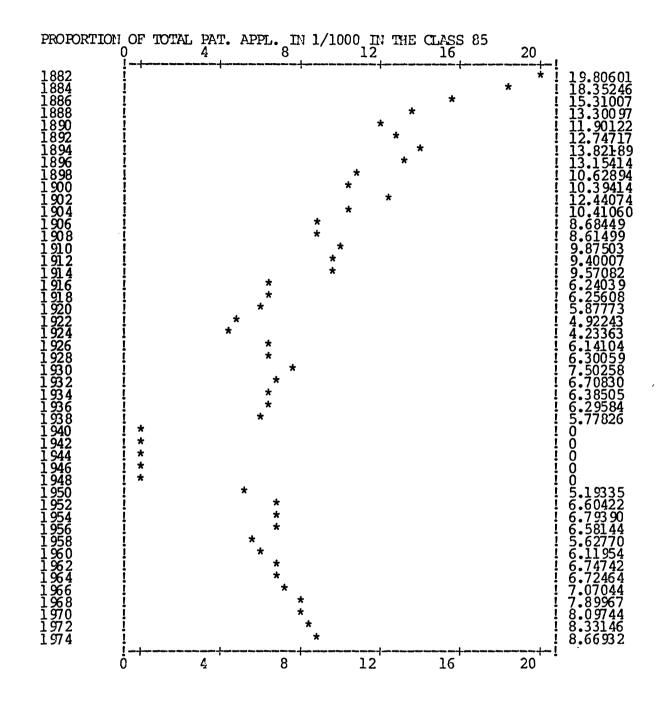


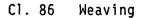
Cl. 84 Hydraulic engineering and foundations

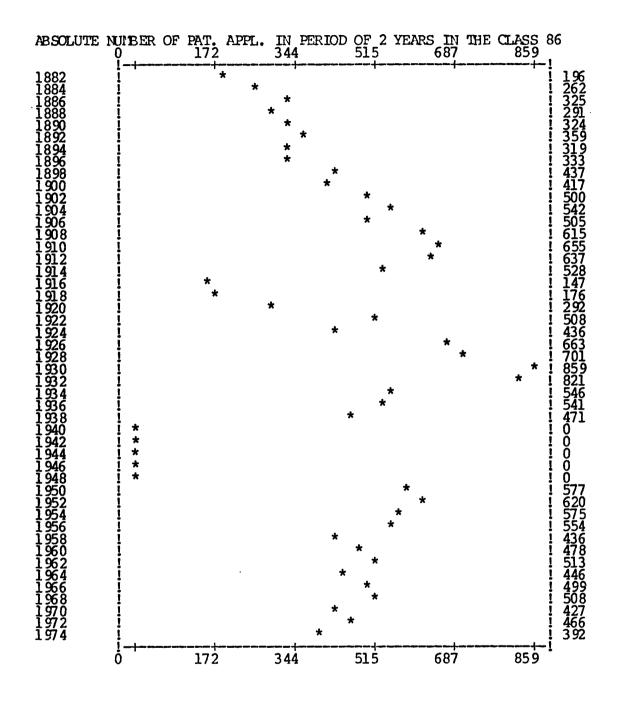


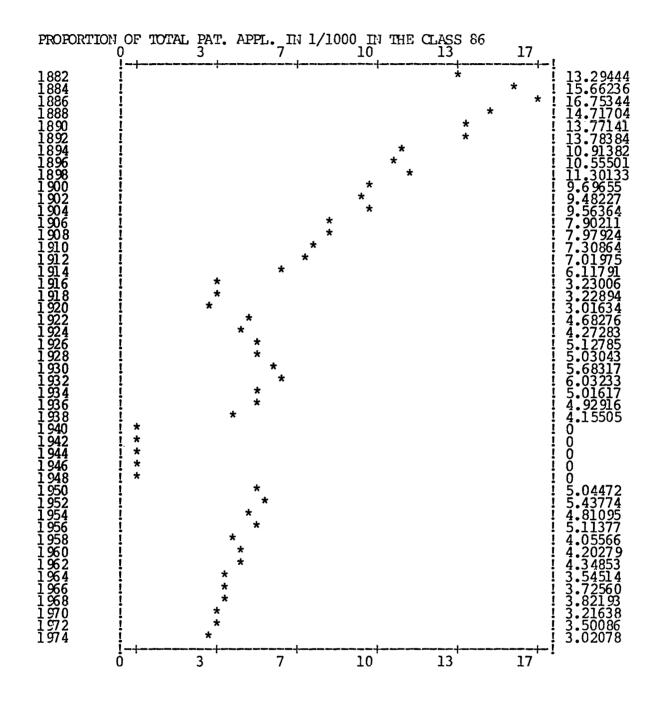


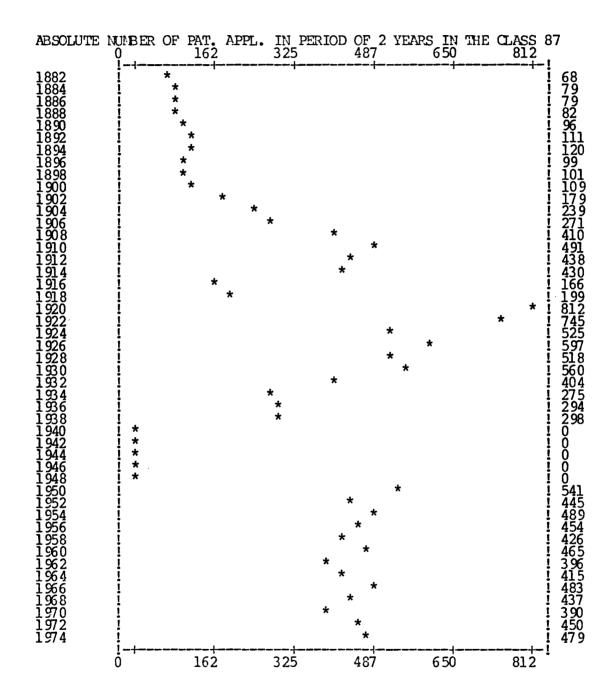
Cl. 85 Mineral and soda water, water purification, water supply and sewerage



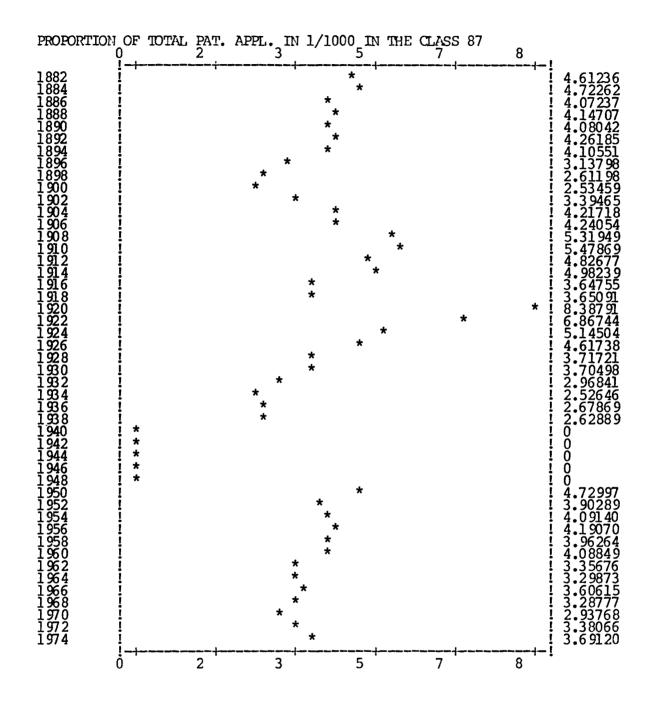






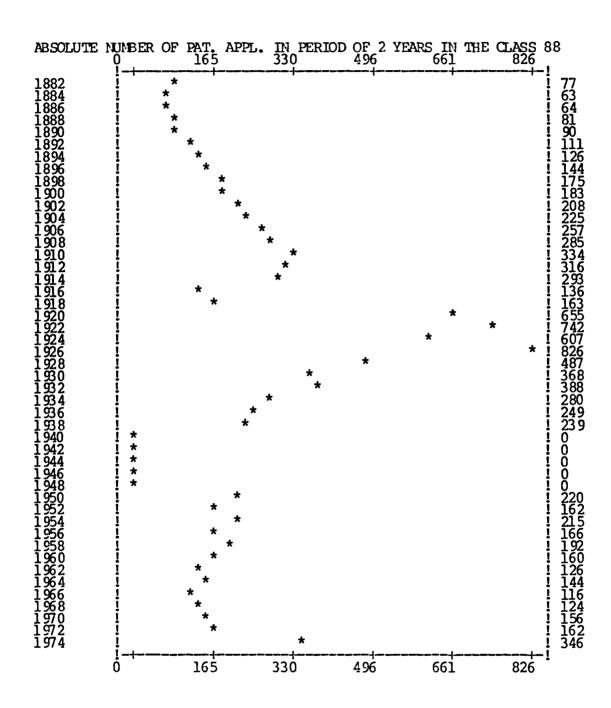


Cl. 87 Tools and implements, including pneumatic tools, for general purposes

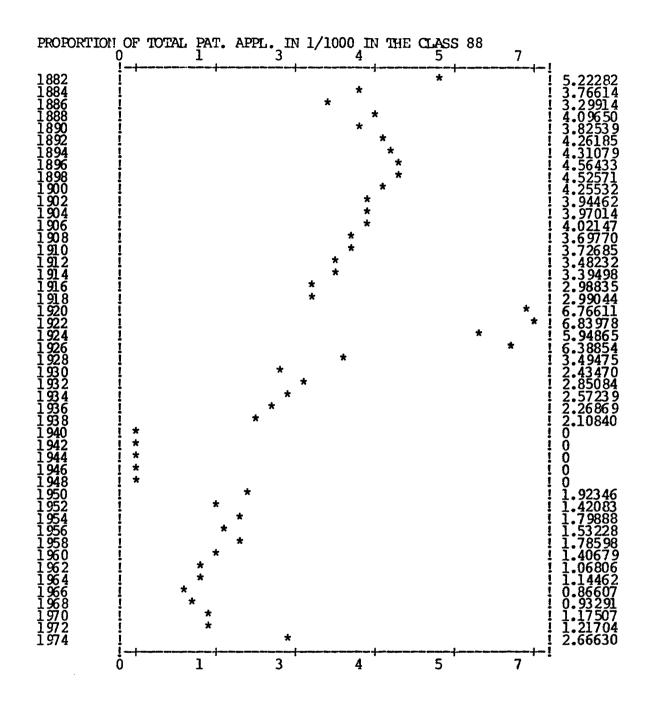


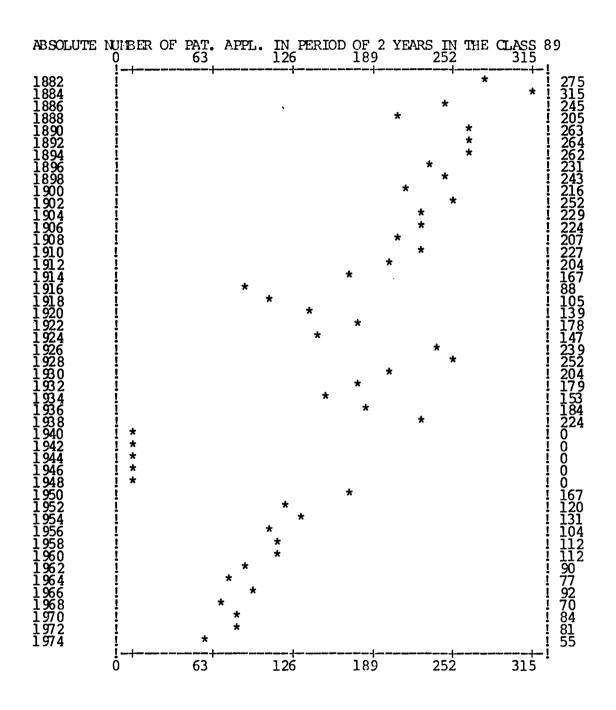
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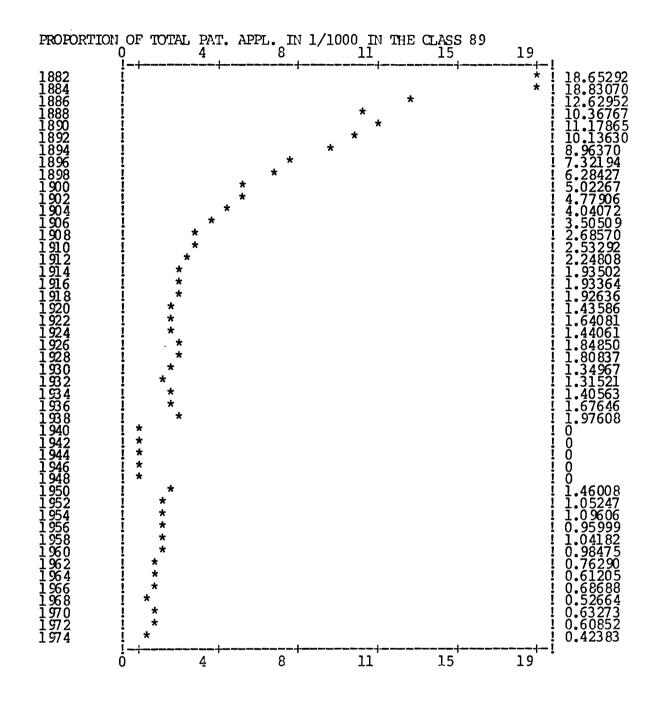
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Cl. 88 Machines driven by wind or water power; electrophysical and nuclear reaction drives, photon drives

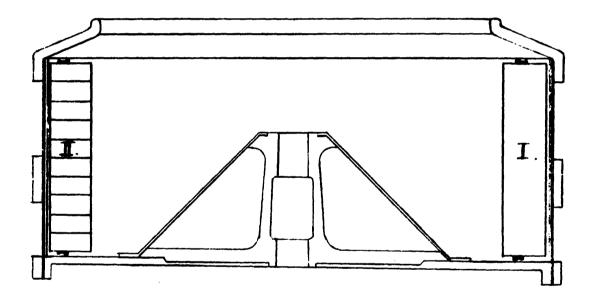






C. VON WITZLEBEN IN POTSDAM.

Schleuder zur Herstellung von Zucker-Prismen oder -Tafein.



Zu der Patentschrift

PHOTOGR. DRUCK DER KÖNIGL. PREUSS. STAATSDRUCKEREI.

4. Patent Classes of the German Patent Classification (as of 1974)

PATENT CLASSES IN ACCORDANCE WITH THE GERMAN PATENT CLASSIFICATION

(AS OF 1974)

- Cl. 1 Preparation of ores, fuels and other minerals, including waste and the residues of combustion
- Cl. 2 Baking
- Cl. 3 Wearing apparel
- Cl. 4 Lighting through fuels and pre-heating torches
- Cl. 5 Mining
- Cl. 6 Zymology : alcohol, spirits, beer, wine, vinegar, yeast and other fermentation agents and products, enzymes
- Cl. 7 Mechanical metal working without essentially removing material; punching metal
- Cl. 8 Bleaching, washing, dyeing, printing of cloth and tapestry and finishing
- Cl. 9 Brushwear
- Cl. 10 Fuels
- Cl. 11 Bookbinding, albums, files and special printed matter
- Cl. 12 Chemical processes and apparatus not included in other special classes
- Cl. 13 Steam boilers for power generation and related equipment, steaming capacity
- C1. 14 Machines or engines in general, steam engines, power generating plant in general; periodic control, lubrification, exhaust devices or silencers for gases, cooling of machines or engines, particularly combustion engines

- Cl. 15 Printing, lining machines, typewriters, stamps
- Cl. 16 Manufacture of fertilizers
- Cl. 17 Refrigeration or cooling, storage of ice, heat transfer, liguefaction by mechanical means of gases or gas mixtures, e.g. air, which do not condense easily
- Cl. 18 Metallurgy of iron
- Cl. 19 Construction of roads, railways and bridges
- Cl. 20 Railway transport
- Cl. 21 Electrical engineering
- Cl. 22 Dyes; paints; polishes; natural resins; adhesives; miscellaneous compositions; miscellaneous applications of material
- Cl. 23 Oils and fats
- Cl. 24 Furnaces etc.
- Cl. 25 Braiding, lace-making, knitting, trimmings, non-woven fabrics
- Cl. 26 Production of gases by the degasification of fuels, e.g. natural gas and oil gas; production of gaseous fuels by liquid processes; production of gaseous fuels by carburation; distillation gas and acetylene cleaning
- Cl. 27 Fans, air pumps and compressors
- Cl. 28 Tanning and treatment of skins and hides, leather industry
- Cl. 29 Yarns
- Cl. 30 Medical science
- Cl. 31 Industrial furnaces; casting; powder metallurgy
- Cl. 32 Glass; mineral and slag wool
- Cl. 33 Hand and travelling articles
- Cl. 34 Furniture; domestic articles or appliances; suction cleaners in general
- Cl. 35 Lifting gear (lifts, cranes, winches, hoists)
- Cl. 36 Heating, ventilation, hot water supply in buildings
- Cl. 37 Building
- Cl. 38 Wood working by mechanical and chemical means

- Cl. 39 Working of plastic masses, rubber and horny materials not otherwise provided for; products of polycondensation, polyaddition and polymerization
- Cl. 40 Metallurgy other than of iron; alloys including ferrous alloys
- Cl. 41 Headwear; felts
- Cl. 42 Instruments
- C1. 43 Checking devices, cash machines and post sorting machines
- Cl. 44 Habadashery, jewellery; snuff takers and smokers' requisites
- Cl. 45 Agriculture and forestry; animal husbandry; hunting and trapping; fishing
- Cl. 46 Combustion engines; hot-gas or combustion-product engine plants
- Cl. 47 Engineering elements or units; general measures for producing and maintaining effective functioning of machines or installations; details of instruments
- Cl. 48 Working and treatment of metals other than by mechanical means
- C1. 49 Machine tools, metal working not otherwise provided for
- Cl. 50 Grinding and pulverizing including preparatory processes, subsequent treatment of the ground materials by sieving and mixing and separation of matter from the air
- Cl. 51 Musical instruments
- C1. 52 Sewing and embroidering
- Cl. 53 Foods and foodstuffs not included in special classes, feeding stuffs
- Cl. 54 Working of paper and board and products thereof as well as advertising material
- Cl. 55 Production of cellulose, paper and board
- Cl. 56 Upholstery and saddlery
- C1. 57 Photography, cinematography and sound film
- Cl. 58 Presses
- Cl. 59 Fluid pumps and other hydraulic lifting devices
- Cl. 60 Fluid-pressure actuators; hydraulics and pneumatics
- Cl. 61 Life-saving and fire-fighting
- Cl. 62 Aviation, air cushion vehicles, cosmonautics

- Cl. 63 Trackless vehicles
- Cl. 64 Distribution of alcoholic liquors
- Cl. 65 Ships and other waterborne vehicles; related equipment
- Cl. 66 Butchering and meat treatment
- Cl. 67 Grinding and polishing
- Cl. 68 Articles of the locksmithing trade
- Cl. 69 Cutting tools including cutting and stabbing weapons; domestic cutting appliances and machines
- Cl. 70 Writing and drawing appliances
- Cl. 71 Footwear
- Cl. 72 Fire arms, ammunition, fortifications
- Cl. 73 Ropes
- Cl. 74 Signalling
- Cl. 75 Sculpture, painting, ornamentation of surfaces
- Cl. 76 Spinning
- C1. 77 Sports, games and amusements
- Cl. 78 Explosives, matches, fireworks, flashlight, production of artificial fog
- Cl. 79 Tobacco, cigars, cigarettes
- Cl. 80 Clay articles, stones, chalk, cement, plaster, asphalt, briquette presses
- Cl. 81 Transport and packing
- Cl. 82 Drying including drying kilns, coffee roasters, centrifugal apparatus for general purposes
- Cl. 83 Measurement of time
- Cl. 84 Hydraulic engineering and foundations
- Cl. 85 Mineral and soda water, water purification, water supply and sewerage
- Cl. 86 Weaving
- Cl. 87 Tools and implements, including pneumatic tools, for general purposes

Cl. 88 Machines driven by wind or water power; electrophysical and nuclear reaction drives, photon drives

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Cl. 89 Sugar and starch industry

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SUBJECT INDEX

SUBJECT INDEX

Basic innovations 31, 38-42, 46, 48, 88, 113 Basic process innovations 42 Behaviour patterns 1 8, 11, 40, 43, 44, 56, 78, 79, 87, 88 7-11, 57, 70, 71, 75, 77, 111 Changes (of the classification) Classification of patents Economic aspects 27 7, 70, 89, 95 Economic crisis Economic demand for innovations 33 32, 38 Economic pressure 1, 29, 30, 94, 95, 97, 101, 102 Endogenous factors 29, 30, 32, 46, 55-57, 70, 90, 94, 95, Exhaustion of technical 111 potential 1, 29, 30, 94, 95, 101, 102 **Exogenous** factors 42, 69, 71-74, 77, 88, 94 First World War 25, 78, 111, 113 Foreigners 28, 33 Funds available German classification 57 Homogeneity of patent classes 10 Hypotheses 31 46, 47 Innovation life cycle Innovation theory 40 International classification 9, 11, 57 7, 9, 27, 39, 41, 43-46, 48, 69, 109 Invention Linear spline function 55 Logistic curve 46, 47, 90, 96 55-57, 68, 69, 71, 75, 76, 103 Logistic function Logistic S function 30 Long-term, short-term 2, 88

Nationals 25, 46, 78, 111 Number of Patent Applications 10, 11, 25, 28, 31, 42, 45, 56, 57, 66-74, 78-83, 88-90, 94-97, 111 1, 7-11, 25-29, 31, 42, 45, 46, 55-57, Patent applications 68-75, 77-79, 87-91, 94-97, 101-104 7, 8, 11, 42-44, 101, 109-112 7, 71 Patent law Patent Office Patent protection 25, 43-45, 101, 109-111 Patent statistics Process-orientated classes 0 9 Product-orientated classes Propensity to patent inventions 44 Raw-material-orientated classes 9 32 Rival area Second World War 42, 69-74, 77, 79, 80, 86-89, 94 55, 77, 79, 80 Spline Function Step function 30, 43, 71 1 Structural development Technological predictions 26 Technological revolution 29, 30 25 Theory of inventions 79-81, 86, 103 Trend function 10, 25-27, 31, 55, 56, 69, 75, 77, 78, Trends in patent applications 88-91, 94-97, 101-103, 109, 110

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The main aims of the analysis of these data are as follows:

- 1. Using the time series of patent applications, trends in the production of technological know-how are described for various sectors.
- 2. On the basis of trends in patent applications, the typical behaviour patterns in technological development are identified and a number of hypotheses regarding behaviour in the technology sector are formulated and tested.
- 3. On the basis of the analysis of trends in patent applications, the influence of exogenous (i.e. economic, social, etc.) and endogenous (i.e. relating to science and its laws) factors on technology is determined.
- 4. In addition, the patterns of structural development of the technology sectors are determined and the structural influences of technological change on the trends in patent applications as a whole are identified.
- 5. On the basis of the analysis of patent applications, the relationship between long- and short-term trends and fluctuations in the economy and economic development are shown in relation to technological development.
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