

For calendar year **2002**, or tax year beginning **2002**, and ending **2002**

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label Otherwise, print or type See Specific Instructions

Name of organization: **MIRIAM AND PETER HAAS FUND**

Number and street (or P O box number if mail is not delivered to street address): **201 FILBERT STREET, FIFTH FLOOR**

Room/suite:

City or town, state, and ZIP code: **SAN FRANCISCO, CA 94133-3238**

A Employer identification number: **94-6064551**

B Telephone number (see page 10 of the instructions): **(415) 296-9249**

C If exemption application is pending check here

D 1 Foreign organizations check here
 2 Foreign organizations meeting the 85% test check here and attach computation

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **190,145,600**

J Accounting method: Cash Accrual Other (specify) _____
 (Part I, column (d) must be on cash basis)

E If private foundation status was terminated under section 507(b)(1)(A) check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 10 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc. received (attach schedule). Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	2 Distributions from split-interest trusts				
	3 Interest on savings and temporary cash investments	19,988	19,988		STMT 1
	4 Dividends and interest from securities	7,298,207	7,298,207		STMT 2
	5a Gross rents				
	b (Net rental income or (loss))				
	6a Net gain or (loss) from sale of assets not on line 10	-14,683,035			
	b Gross sales price for all assets on line 6a: 166,633,012				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of Goods Sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	19,879	8,830		STMT 3	
12 Total. Add lines 1 through 11	-7,344,961	7,327,025			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	168,480			168,480
	14 Other employee salaries and wages	176,414			176,414
	15 Pension plans, employee benefits	104,962			104,962
	16a Legal fees (attach schedule)	714	357		357
	b Accounting fees (attach schedule) STMT 4	71,448	35,724	NONE	35,724
	c Other professional fees (attach schedule) STMT 5	874,529	874,529		
	17 Interest STMT 6	381	381		
	18 Taxes (attach schedule) (see page 13 of the instructions) STMT 7	86,193	10,465		
	19 Depreciation (attach schedule) and depletion	30,225			
	20 Occupancy	227,616			227,616
	21 Travel, conferences, and meetings	4,698			4,698
	22 Printing and publications	2,165			2,165
	23 Other expenses (attach schedule) STMT 9	340,492	293,535		46,957
	24 Total operating and administrative expenses. Add lines 13 through 23	2,088,317	1,214,991	NONE	767,373
	25 Contributions, gifts, grants paid	11,594,068			11,594,068
26 Total expenses and disbursements. Add lines 24 and 25	13,682,385	1,214,991	NONE	12,361,441	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-21,027,346				
b Net investment income (if negative, enter -0-)		6,112,034			
c Adjusted net income (if negative, enter -0-)					

SCANNED MAY 20 03

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	500	500	500	
	2 Savings and temporary cash investments	8,007,723	5,010,748	5,010,748	
	3 Accounts receivable ▶	135,267			
	Less allowance for doubtful accounts ▶	197,833	135,267	135,267	
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7 Other notes and loans receivable (attach schedule) ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10 a Investments - U.S. and state government obligations (attach schedule)	15,789,760	13,088,243	13,088,243	
	b Investments - corporate stock (attach schedule)	140,561,870	107,403,682	107,403,682	
	c Investments - corporate bonds (attach schedule)	55,882,438	49,469,375	49,469,375	
	11 Investments - land buildings and equipment basis	5,550		STMT 13	
Less accumulated depreciation (attach schedule) ▶	5,550	5,550	5,550		
12 Investments - mortgage loans					
13 Investments - other (attach schedule)	14,982,039	14,945,251	14,945,251		
14 Land buildings and equipment basis	318,343		STMT 17		
Less accumulated depreciation (attach schedule) ▶	231,359	102,179	86,984		
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see page 16 of the instructions. Also, see page 1, item I)	235,529,892	190,145,600	190,145,600		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31				
	24 Unrestricted	235,529,892	190,145,600		
	25 Temporarily restricted				
	26 Permanently restricted				
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31 <input type="checkbox"/>				
	27 Capital stock, trust principal, or current funds				
	28 Paid in or capital surplus or land, bldg and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see page 16 of the instructions)	235,529,892	190,145,600			
31 Total liabilities and net assets/fund balances (see page 16 of the instructions)	235,529,892	190,145,600			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	235,529,892
2	Enter amount from Part I, line 27a	2	-21,027,346
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	214,502,546
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 18	5	24,356,946
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	190,145,600

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)

(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE		
b		
c		
d		
e		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } **2** -14,683,035

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 12 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 } **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 17 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable use assets	(d) Distribution ratio (col (b) divided by col (c))
2001	13,430,910	244,184,760	0 05500306407
2000	12,894,636	277,760,390	0 04642359553
1999	11,193,750	247,530,333	0 04522173046
1998	10,272,264	219,520,589	0 04679407998
1997	8,927,810	195,204,714	0 04573562706

2 Total of line 1, column (d)	2	0 23917809710
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04783561942
4 Enter the net value of noncharitable-use assets for 2002 from Part X, line 5	4	211,481,532
5 Multiply line 4 by line 3	5	10,116,350
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	61,120
7 Add lines 5 and 6	7	10,177,470
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7 check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 17	8	12,361,441

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), 4948 - see page 17 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	61,120
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	61,120
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	61,120
6	Credits/Payments		
a	2002 estimated tax payments and 2001 overpayment credited to 2002	6a	68,000
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	68,000
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,880
11	Enter the amount of line 10 to be Credited to 2003 estimated tax	11	6,880 Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a		X
b		X
c		X
d		
e		
2		X
3		X
4 a		X
4 b		N/A
5		X
6	X	
7	X	
8 a		
8 b	X	
9		X
10		X
11	X	

12 The books are in care of ARGONAUT SECURITIES COMPANY Telephone no (415) 501-4885
 Located at 1155 BATTERY ST, LS7W, SAN FRANCISCO, CA ZIP+4 94111

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 13

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

		Yes	No
1 a	During the year did the organization (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <i>STMT 22</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception: Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1 b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		<input checked="" type="checkbox"/>
1 c	Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2002?		<input checked="" type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2002, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2002? If "Yes," list the years	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 19 of the instructions)		N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3 a	Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2002 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2002)		N/A
4 a	Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		<input checked="" type="checkbox"/>
b	Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2002?		<input checked="" type="checkbox"/>
5 a	During the year did the organization pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		<input checked="" type="checkbox"/>
c	If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>STMT 23</i> If "Yes" attach the statement required by Regulations section 53.4945-5(d)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
6 a	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If you answered "Yes" to 6b, also file Form 8870		<input checked="" type="checkbox"/>

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
SEE STATEMENT 19		168,480	31,628	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 20 of the instructions) If none, enter "NONE"

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
DAVID THESELL 1155 BATTERY STREET, LS7W	GRANTS MANAGER FULL-TIME	62,400	25,242	NONE
MICHELE PERLMUTTER 1155 BATTERY STREET, LS7W	EXECUTIVE ASSISTANT FULL-TIME	56,160	13,790	NONE

Total number of other employees paid over \$50,000 ▶ NONE

3 Five highest-paid independent contractors for professional services - (see page 20 of the instructions) If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NEW CENTURY PARTERS II 60 E SIR FRANCIS DRAKE BLVD, LARKSPUR CA	INVESTMENT ADVISORY	110,168
CAPITAL GUARDIAN 135 SOUTH STATE COLLEGE BLVD, BREA, CA	INVESTMENT ADVISORY	163,916
RCM GLOBAL INVESTORS 4 EMBARCADERO CNTR, SAN FRANCISCO, CA	INVESTMENT ADVISORY	185,045
GMG SENECA 909 MONTGOMERY STE 600, SAN FRANCISCO CA	INVESTMENT ADVISORY	196,713
OFFIT HALL CAPITAL MANAGEMENT 1 MARITIME PLAZA, SAN FRANCISCO, CA	INVESTMENT ADVISOR	120,000

Total number of others receiving over \$50,000 for professional services ▶ 1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 HOSTING MEETINGS OF NONPROFIT LEADERS AND GRANTMAKERS, SERVICE OF FOUNDATION STAFF ON NONPROFIT BOARDS AND ADVISORY COUNCILS, TECHNICAL ASSISTANCE TO ENCOURAGE PHILANTHROPY	6,494
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 <u>NONE</u>	
2 _____	
All other program related investments See page 21 of the instructions	
3 <u>NONE</u>	
Total Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 21 of the instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	190,112,904
b Average of monthly cash balances	1b	9,625,514
c Fair market value of all other assets (see page 22 of the instructions)	1c	14,963,645
d Total (add lines 1a, b, and c)	1d	214,702,063
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	214,702,063
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 22 of the instructions)	4	3,220,531
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	211,481,532
6 Minimum investment return Enter 5% of line 5	6	10,574,077

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1 Minimum investment return from Part X, line 6	1	10,574,077
2a Tax on investment income for 2002 from Part VI, line 5	2a	61,120
b Income tax for 2002 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	61,120
3 Distributable amount before adjustments Subtract line 2c from line 1	3	10,512,957
4a Recoveries of amounts treated as qualifying distributions	4a	NONE
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	NONE
5 Add lines 3 and 4c	5	10,512,957
6 Deduction from distributable amount (see page 23 of the instructions)	6	NONE
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	10,512,957

Part XII Qualifying Distributions (see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	12,361,441
b Program-related investments - Total from Part IX-B	1b	NONE
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	12,361,441
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	61,120
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	12,300,321

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2001	(c) 2001	(d) 2002
1 Distributable amount for 2002 from Part XI, line 7				10,512,957
2 Undistributed income, if any, as of the end of 2001				
a Enter amount for 2001 only			NONE	
b Total for prior years		NONE		
3 Excess distributions carryover, if any, to 2002				
a From 1997		NONE		
b From 1998		NONE		
c From 1999		NONE		
d From 2000		NONE		
e From 2001	334,209			
f Total of lines 3a through e	334,209			
4 Qualifying distributions for 2002 from Part XII, line 4 ▶ \$ 12,361,441				
a Applied to 2001, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 24 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 24 of the instructions)	NONE			
d Applied to 2002 distributable amount				10,512,957
e Remaining amount distributed out of corpus	1,848,484			
5 Excess distributions carryover applied to 2002 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,182,693			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 24 of the instructions		NONE		
e Undistributed income for 2001 Subtract line 4a from line 2a Taxable amount - see page 24 of the instructions			NONE	
f Undistributed income for 2002 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2003				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 24 of the instructions)	NONE			
8 Excess distributions carryover from 1997 not applied on line 5 or line 7 (see page 25 of the instructions)	NONE			
9 Excess distributions carryover to 2003 Subtract lines 7 and 8 from line 6a	2,182,693			
10 Analysis of line 9				
a Excess from 1998	NONE			
b Excess from 1999	NONE			
c Excess from 2000	NONE			
d Excess from 2001	334,209			
e Excess from 2002	1,848,484			

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2002, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2002	(b) 2001	(c) 2000	(d) 1999	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3 Complete 3a, b or c for the alternative test relied upon					
a "Assets" alternative test enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test. Enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iv)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 25 of the instructions.)

1 Information Regarding Foundation Managers

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 25 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 20

b The form in which applications should be submitted and information and materials they should include

NO PRESCRIBED FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>SEE STATEMENT 21</p>				
<p>Total</p>				<p>▶ 3a 11,594,068</p>
<p>b Approved for future payment</p> <p>SEE STATEMENT 22</p>				
<p>Total</p>				<p>▶ 3b 775,805</p>

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 26 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	19,988	
4 Dividends and interest from securities			14	7,298,207	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	19,879	
8 Gain or (loss) from sales of assets other than inventory			14	-14,683,035	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-7,344,961	
13 Total Add line 12, columns (b), (d), and (e)				13 -7,344,961	

(See worksheet in line 13 instructions on page 26 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No



Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 26 of the instructions)

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting organization to a noncharitable exempt organization of (1) Cash (2) Other assets b Other Transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with columns: Yes, No. Rows 1a(1) through 1b(6) and 1c. All 'No' boxes are checked with an 'X'.

Schedule table with columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers transactions, and sharing arrangements.

2 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No [X] (No is checked)

b If "Yes," complete the following schedule

Schedule table with columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Entry: N/A

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements and to the best of my knowledge and belief it is true, correct and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Sign Here section containing signatures and firm information for Parker B Phil...

Underpayment of Estimated Tax by Corporations

2002

▶ See separate instructions.
 ▶ Attach to the corporation's tax return

Name **MIRIAM AND PETER HAAS FUND** Employer identification number **94-6064551**

Note In most cases, the corporation does not need to file Form 2220 (See Part I below for exceptions) The IRS will figure any penalty owed and bill the corporation If the corporation does not need to file Form 2220, it may still use it to figure the penalty Enter the amount from line 34 on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220

Part I Reasons for Filing - Check the boxes below that apply to the corporation If any boxes are checked, the corporation must file Form 2220, even if it does not owe the penalty If the box on line 1 or line 2 applies, the corporation may be able to lower or eliminate the penalty

- 1 The corporation is using the annualized income installment method
- 2 The corporation is using the adjusted seasonal installment method
- 3 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax

Part II Figuring the Underpayment

4 Total tax (see instructions)		...	4	61,120
5 a Personal holding company tax (Schedule PH (Form 1120) line 26) Included on line 4	5 a			
b Look back interest included on line 4 under section 460(b)(2) for completed long term contracts or section 167(g) for depreciation under the income forecast method	5 b			
c Credit for Federal tax paid on fuels (see instructions)	5 c			
d Total Add lines 5a through 5c			5 d	
6 Subtract line 5d from line 4 If the result is less than \$500, do not complete or file this form The corporation does not owe the penalty			6	61,120
7 Enter the tax shown on the corporation's 2001 income tax return (see instructions) Caution If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 6 on line 8			7	72,717
8 Enter the smaller of line 6 or line 7 If the corporation is required to skip line 7, enter the amount from line 6			8	61,120

		(a)	(b)	(c)	(d)
9 Installment due dates Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers Use 5th month) 6th 9th, and 12th months of the corporation's tax year	9	05/15/2002	06/15/2002	09/15/2002	12/15/2002
10 Required installments If the box on line 1 and/or line 2 above is checked, enter the amounts from Schedule A, line 40 If the box on line 3 (but not 1 or 2) is checked, see instructions for the amounts to enter If none of these boxes are checked, enter 25% of line 8 above in each column	10	15,280.	15,280.	15,280.	15,280.
11 Estimated tax paid or credited for each period (see instructions) For column (a) only, enter the amount from line 11 on line 15 <i>Complete lines 12 through 18 of one column before going to the next column</i>	11	55,000.			13,000.
12 Enter amount, if any, from line 18 of the preceding column	12		39,720.	24,440.	9,160.
13 Add lines 11 and 12	13		39,720.	24,440.	22,160.
14 Add amounts on lines 16 and 17 of the preceding column	14				
15 Subtract line 14 from line 13 If zero or less, enter -0-	15	55,000.	39,720.	24,440.	22,160.
16 If the amount on line 15 is zero, subtract line 13 from line 14 Otherwise, enter -0-	16				
17 Underpayment If line 15 is less than or equal to line 10, subtract line 15 from line 10 Then go to line 12 of the next column Otherwise, go to line 18	17				
18 Overpayment If line 10 is less than line 15, subtract line 10 from line 15 Then go to line 12 of the next column	18	39,720.	24,440.	9,160.	

Complete Part III on page 2 to figure the penalty If there are no entries on line 17, no penalty is owed

For Paperwork Reduction Act Notice, see separate instructions.

Depreciation and Amortization
(Including Information on Listed Property)

2002

Department of the Treasury
Internal Revenue Service
Name(s) shown on return

▶ See separate instructions ▶ Attach to your tax return

Attachment
Sequence No **67**
Identifying number
94-6064551

MIRIAM AND PETER HAAS FUND
Business or activity to which this form relates

GENERAL DEPRECIATION

Part I Election To Expense Certain Tangible Property Under Section 179
Note If you have any listed property, complete Part V before you complete Part I

1	Maximum amount See page 2 of the instructions for a higher limit for certain businesses	1
2	Total cost of section 179 property placed in service (see page 2 of the instructions)	2
3	Threshold cost of section 179 property before reduction in limitation	3
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less enter -0- If married filing separately, see page 2 of the instructions	5
6	(a) Description of property	(b) Cost (business use only)
		(c) Elected cost
7	Listed property Enter the amount from line 29	7
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8
9	Tentative deduction Enter the smaller of line 5 or line 8	9
10	Carryover of disallowed deduction from line 13 of your 2001 Form 4562	10
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12
13	Carryover of disallowed deduction to 2003 Add lines 9 and 10, less line 12	13

Note Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see page 3 of the instructions)	14
15	Property subject to section 168(f)(1) election (see page 4 of the instructions)	15
16	Other depreciation (including ACRS) (see page 4 of the instructions)	16
		26,945

Part III MACRS Depreciation (Do not include listed property) (See page 4 of the instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2002	17
18	If you are electing under section 168(i)(4) to group any assets placed in service during the tax year into one or more general asset accounts, check here	<input type="checkbox"/>

Section B - Assets Placed in Service During 2002 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
i Nonresidential real property			27 5 yrs	MM	S/L	
			39 yrs	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2002 Tax Year Using the Alternative Depreciation System

20a Class life	SEE	17,263	VAR	HY	S/L	1,727
b 12-year	DETAIL		12 yrs	HY	S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see page 6 of the instructions)

21	Listed property Enter amount from line 28	21
22	Total Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr	22
		28,672
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23

Part V Listed Property (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement)

Note For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable

Section A - Depreciation and Other Information (Caution. See page 8 of the instructions for limits for passenger automobiles)

24a Do you have evidence to support the business/investment use claimed?				Yes	No	24b If "Yes," is the evidence written?				Yes	No
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)		(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost		
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see page 7 of the instructions)									25		
26 Property used more than 50% in a qualified business use (see page 7 of the instructions)											
		%									
		%									
		%									
27 Property used 50% or less in a qualified business use (see page 7 of the instructions)											
		%					S/L -				
		%					S/L -				
		%					S/L -				
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1									28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1										29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
30 Total business/investment miles driven during the year (do not include commuting miles - see page 2 of the instructions)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see page 8 of the instructions)

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See page 8 of the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See page 9 of the instructions)		

Note If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year	
42 Amortization of costs that begins during your 2002 tax year (see page 9 of the instructions)						
43 Amortization of costs that began before your 2002 tax year					43	1,553
44 Total Add amounts in column (f) See page 9 of the instructions for where to report					44	1,553

Description of Property
GENERAL DEPRECIATION
DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	30% & ITC Reduction in basis	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
COMPUTER EQUIP 92	01/01/1992	11,711	100 000			11,711	11,711		SL		6 000				
TELEPHONE 92	01/01/1992	2,709	100 000			2,709	2,664	2,664	SL		10 000				
FURNITURE 92	01/01/1992	7,691	100 000			7,691	7,562	7,562	SL		10 000				
COMPUTER EQUIP 93	01/01/1993	27,057	100 000			27,057	27,057		SL		6 000				
TELEPHONE 93	01/01/1993	11,118	100 000			11,118	9,873	10,985	SL		10 000				1,112
OFFICE FURN 93	01/01/1993	68,137	100 000			68,137	60,190	67,004	SL		10 000				6,814
FAX MACHINE 93	01/01/1993	2,735	100 000			2,735	2,420	2,694	SL		10 000				274
COMPUTER EQUIP 94	01/01/1994	15,693	100 000			15,693	15,693		SL		6 000				
TELEPHONE 94	01/01/1994	2,982	100 000			2,982	2,334	2,632	SL		10 000				
OFFICE FURN 94	01/01/1994	98,864	100 000			98,864	77,440	87,326	SL		10 000				9,864
ARTWORK 94	01/01/1994	5,550	100 000												
MEMORY CONSIST 95	06/06/1995	592	100 000			592	592		SL		6 000				
SIDETABLE 95	09/15/1995	1,606	100 000			1,606	1,097	1,258	SL		10 000				161
WORKSTATION 95	08/24/1995	552	100 000			552	377	432	SL		10 000				55
CONF TABLE 95	11/15/1995	924	100 000			924	568	660	SL		10 000				92
2 DELL XPS COMP 96	04/15/1996	5,961	100 000			5,961	5,961		SL		5 000				
COMP HARD UPG 96	08/31/1996	515	100 000			515	515	515	SL		5 000				
COMPUTER HARD 97	03/15/1997	1,910	100 000			1,910	1,910	1,910	SL		5 000				
COMPAQ COMP 97	10/15/1997	4,850	100 000			4,850	4,122		SL		5 000				364
Less Retired Assets															
Subtotals															

Listed Property

Asset description	Date placed in service	Cost or basis	Bus %	179 exp reduction in basis	30% & ITC Reduction in basis	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired
 JSA
 2X9024 1 000

Description of Property
GENERAL DEPRECIATION
DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	30% & ITC Reduction in basis	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
4 DELL COMP 97	10/15/1997	9,157	100 000			9,157	7,782		SL		5 000				687
TELEPHONE 97	10/15/1997	1,109	100 000			1,109	943	1,109	SL		5 000				166
CELLULAR PHONE 97	11/15/1997	514	100 000			514	438		SL		5 000				43
PHONE SYSTEM 97	11/14/1997	2,384	100 000			2,384	2,027	2,384	SL		5 000				357
COMP PRINTER 97	12/15/1997	563	100 000			563	480		SL		5 000				52
HP LSJ PRINTER 98	02/15/1998	863	100 000			863	604		SL		5 000				86
SERVER UPGRADE 98	02/27/1998	1,492	100 000			1,492	1,044	1,342	SL		5 000				298
ROUTER/ETHERNET 98	03/15/1998	602	100 000			602	421	541	SL		5 000				120
OFFICE CHAIR 98	03/15/1998	459	100 000			459	230	296	SL		7 000				
2 STORAGE CABN 98	04/30/1998	2,220	100 000			2,220	1,110	1,427	SL		7 000				3
4 MERIDIAN FILE 98	04/30/1998	2,681	100 000			2,681	1,341	1,724	SL		7 000				383
2 TABLE DESK 98	08/28/1998	4,200	100 000			4,200	2,100	2,700	SL		7 000				600
BOOKCASE 98	08/28/1998	930	100 000			930	465	598	SL		7 000				133
FILE TOP 98	08/18/1998	350	100 000			350	175	225	SL		7 000				50
MINOLTA COPIER 98	08/28/1998	16,340	100 000			16,340	11,438	14,706	SL		5 000				3,268
UPGRADE SERVER 99	02/12/1999	2,300	100 000			2,300	1,303	1,763	SL		5 000				460
DELL COMP 99	10/19/1999	1,077	100 000			1,077	287	502	SL		5 000				215
SERVER 99	10/26/1999	2,634	100 000			2,634	703	1,230	SL		5 000				527
DISHWASHER 01	01/17/2001	427	100 000			427	56	117	SL		7 000				61
Less Retired Assets															
Subtotals															

Listed Property

Asset description	Date placed in service	Cost or basis	Bus %	179 exp reduction in basis	30% & ITC Reduction in basis	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired
 JSA
 2X9024 1 000

Description of Property
GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	30% & ITC Reduction in basis	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
SERVER BACKUP 02	10/09/2002	437	100 000			437		44	SL	HY			5		44
COMP UPGRADES 02	03/26/2002	202	100 000			202		20	SL	HY			5		20
DSL SET UP 02	02/14/2002	449	100 000			449		45	SL	HY			5		45
COMP & PRINTER 02	03/05/2002	15,958	100 000			15,958		1,596	SL	HY			5		1,596
SCANJET 02	02/04/2002	217	100 000			217		22	SL	HY			5		22
Less Retired Assets		76,961				76,961	74,440								
Subtotals		261,761				256,211	190,593	218,033							28,672

Listed Property

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending accumulated amortization	Code	Life	Current-year amortization
Less Retired Assets							
Subtotals							
TOTALS		261,761	256,211	190,593	218,033		28,672

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending accumulated amortization	Code	Life	Current-year amortization
COMPUTER SOFT 92	01/01/1992	6,336	6,336	6,336	A	5 000	
LEASEHOLD IMPR 94	07/01/1994	30,736	6,016	6,784	A	40 000	768
RIVERSIDE SOFT 95	10/24/1995	5,468	5,468	5,468	A	6 000	
LEASEHOLD IMPR 95	01/01/1995	31,396	5,757	6,542	A	40 000	785
TOTALS		73,936	23,577	13,326			1,553

*Assets Retired
JSA
2X9024 1 000

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
WELLS FARGO BANK CHECKING 0057-003733	19,988.	19,988
TOTAL	----- 19,988. =====	----- 19,988. =====

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
MORGAN STANLEY 26-74285 INVEST INC	1,254	1,254.
GENERAL ACCOUNT 26-74278 INVEST INC	14,638.	14,638.
NEW CENTURY PARTNERS II 26-03957 INVEST	3,403,180.	3,403,180.
CAPITAL GUARDIAN 26-03958 INVEST INC	1,732,825	1,732,825.
RCM GLOBAL INVESTORS 26-03954 INVEST INC	1,700,188.	1,700,188.
GMG SENECA 26-03956 INVEST INC	411,041.	411,041.
ROSEWOOD CAPITAL LP 94-3195569 INVEST	149	149.
RVG III LP 94-3274638 INVEST INC	29,810.	29,810.
RVG IV LP 22-3707169 INVEST INC	3,805.	3,805.
TCV III (Q) LP 77-0494890 INVEST INC	740.	740.
TCV IV LP 77-0527868 INVEST INC	577.	577.
	-----	-----
TOTAL	7,298,207.	7,298,207.
	=====	=====

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
SECURITIES LITIGATION SETTLEMENT	16.	16.
BARCLAY RESIDUAL INCOME	3,435.	3,435.
UST-INTEREST ON REFUND	5,379.	5,379
IRS-FORM 990-PF PY TAX REFUND	11,049.	
	-----	-----
TOTALS	19,879	8,830.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
ARGONAUT SECURITIES COMPANY	51,948.	25,974.		25,974.
OUTSIDE ACCOUNTING SERVICE	19,500.	9,750.		9,750.
	-----	-----	-----	-----
TOTALS	71,448.	35,724.	NONE	35,724.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
MORGAN STANLEY 26-74285 NT CUS	254.	254.
NEW CENTURY PARTNERS II 26-039	3,964.	3,964.
CAPITAL GUARDIAN 26-03958 NT C	55,069.	55,069.
RCM GLOBAL INVESTORS 26-03954	21,473.	21,473.
GMG SENECA 26-03956 NT CUST	9,027.	9,027.
NEW CENTURY PRTRN II 26-03957	110,168.	110,168.
CAPITAL GUARDIAN 26-03958 INVE	163,916	163,916
RCM GLOBAL INVESTORS 26-03954	185,045.	185,045.
GMG SENECA 26-03956 INVEST ADV	196,713.	196,713.
LAUREL MANAGEMENT INVEST ADV	120,000.	120,000.
NORTHERN TRUST CO CONSULTING	8,900.	8,900
	-----	-----
TOTALS	874,529.	874,529.
	=====	=====

FORM 990PF, PART I - INTEREST EXPENSE

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
GMC SENECA 26-03956	121.	121.
TCV IV LP 22-3707169	260.	260.
TOTALS	381.	381.
	=====	=====

FORM 990PF, PART I - TAXES
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL EXCISE TAX	75,566.	
GMC SENECA 26-03956 FTWH	2,878.	2,878.
CAPITAL GUARDIAN 26-03958 FTWH	7,587.	7,587.
CA RRF-1 FILING FEE	25.	
CA FORM 199 FILING FEE	10.	
PROPERTY TAXES	127.	
	-----	-----
TOTALS	86,193.	10,465.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ROSEWOOD CAPITAL LP 94-3195569	68,696.	68,696.	
RVG III LP 94-3274638	137,954.	137,954.	
RVG IV LP 22-3707169	3,955.	3,955.	
TCV III (Q) LP 77-0494890	51,611	51,611.	
TCV IV LP 77-0527868	31,179.	31,179.	
BANK SERVICE CHARGE	140	140.	
TEMPORARY/PART-TIME	236.		236
SPEAKER TRAVEL & ACCOMODATION	2,000.		2,000.
CATERING AND REFRESHMENT	2,024.		2,024
OTHER GRANT-RELATED EXPENSE	927.		927
ADMINISTRATIVE CONSULTANT	3,746.		3,746
LIABILITY INSURANCE	1,574.		1,574.
TELEPHONE	5,535.		5,535
SUPPLIES	4,656.		4,656.
MEMBERSHIP FEE	879.		879.
SERVICE & REPAIR	13,318		13,318

FORM 990PF, PART I - OTHER EXPENSES
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
EQUIPMENT RENTAL	1,153.		1,153.
ADMIN-RELATED EXPENSES	4,415.		4,415.
DIRECT CHARITABLE ACTIVITIES	6,494.		6,494.
	-----	-----	-----
TOTALS	340,492.	293,535.	46,957.
	=====	=====	=====

FORM 990PF, PART II - U S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
US, CANADA & STATE OBLIGATION	13,088,243.	13,088,243.
	-----	-----
TOTALS	13,088,243.	13,088,243
	=====	=====

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
COMMON STOCK	95,699,376.	95,699,376.
PREFERRED STOCK	11,537,796	11,537,796.
CONVERTIBLE EQUITY	166,510	166,510.
RIGHT & WARRANT	NONE	NONE
	-----	-----
TOTALS	107,403,682.	107,403,682
	=====	=====

FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
USG AGENCY OBLIGATION	1,793,571	1,793,571.
USG MORTGAGE BACKED OBL	4,384,359.	4,384,359.
CORPORATION OBLIGATION	41,510,035.	41,510,035
CONVERTIBLE BOND	332,548.	332,548.
COMMERCIAL MORTGAGE BACKED OBL	329,620.	329,620.
ASSET BACKED OBLIGATION	970,182.	970,182.
NON-GOV BACKED CMS OBLIGATION	149,060.	149,060.
	-----	-----
TOTALS	49,469,375.	49,469,375
	=====	=====

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

FIXED ASSET DETAIL

ACCUMULATED DEPRECIATION DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
ARTWORK 94	L	5,550			5,550				
TOTALS		5,550			5,550				

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
FARALLON CAPITAL INSTITUTIONAL	5,000,000.	5,000,000.
JW CHILDS EQ III 03-0387301	194,062.	194,062.
OFFIT HALL ABSOLUTE	2,000,000.	2,000,000.
OFFIT HALL PRIVATE	60,000.	60,000.
ROSEWOOD CAPITAL LP 94-3195569	1,596,135.	1,596,135.
RVG III LP 94-3274638	2,394,776.	2,394,776.
RVG IV LP 22-3707169	774,900.	774,900.
TCV III(Q) 77-0494890	1,738,437.	1,738,437.
TCV IV 77-0527868	703,595.	703,595.
WARBURG EQ VIII 13-4161869	483,346.	483,346.
WARBURG (B) EQ VIII 13-4194502	NONE	NONE
	-----	-----
TOTALS	14,945,251.	14,945,251.
	=====	=====

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
COMPUTER EQUIP 92	SL	11,711		11,711		11,711		11,711	
COMPUTER SOFT 92	SL	6,336		6,336		6,336		6,336	
TELEPHONE 92	SL	2,709			2,709	2,664			2,664
FURNITURE 92	SL	7,691			7,691	7,562			7,562
COMPUTER EQUIP 93	SL	27,057		27,057		27,057		27,057	
TELEPHONE 93	SL	11,118			11,118	9,873	1,112		10,985
OFFICE FURN 93	SL	68,137			68,137	60,190	6,814		67,004
FAX MACHINE 93	SL	2,735			2,735	2,420	274		2,694
COMPUTER EQUIP 94	SL	15,693		15,693		15,693		15,693	
TELEPHONE 94	SL	2,982			2,982	2,334	298		2,632
OFFICE FURN 94	SL	98,864			98,864	77,440	9,886		87,326
LEASEHOLD IMPR 94	SL	30,736			30,736	6,016	768		6,784
RIVERSIDE SOFT 95	SL	5,468		5,468		5,468		5,468	
MEMORY CONSIST 95	SL	592		592		592		592	
SIDETABLE 95	SL	1,606			1,606	1,097	161		1,258
WORKSTATION 95	SL	552			552	377	55		432
CONF TABLE 95	SL	924			924	568	92		660
LEASEHOLD IMPR 95	SL	31,396			31,396	5,757	785		6,542

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
2 DELL XPS COMP 962	SL	5,961		5,961		5,961		5,961	
COMP HARD UPG 96	SL	515			515	515			515
COMPUTER HARD 97	SL	1,910			1,910	1,910			1,910
COMPAQ COMP 97	SL	4,850		4,850		4,122	364	4,486	
4 DELL COMP 97	SL	9,157		9,157		7,782	687	8,469	
TELEPHONE 97	SL	1,109			1,109	943	166		1,109
CELLULAR PHONE 97	SL	514		514		438	43	481	
PHONE SYSTEM 97	SL	2,384			2,384	2,027	357		2,384
COMP PRINTER 97	SL	563		563		480	52	532	
HP LSJ PRINTER 98	SL	863		863		604	86	690	
SERVER UPGRADE 98	SL	1,492			1,492	1,044	298		1,342
ROUTER/ETHERNET 98R	SL	602			602	421	120		541
OFFICE CHAIR 98	SL	459			459	230	66		296
2 STORAGE CABN 98	SL	2,220			2,220	1,110	317		1,427
4 MERIDIAN FILE 984	SL	2,681			2,681	1,341	383		1,724
2 TABLE DESK 98	SL	4,200			4,200	2,100	600		2,700
BOOKCASE 98	SL	930			930	465	133		598
FILE TOP 98	SL	350			350	175	50		225

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
MINOLTA COPIER 98	SL	16,340			16,340	11,438	3,268		14,706
UPGRADE SERVER 99	SL	2,300			2,300	1,303	460		1,763
DELL COMP 99	SL	1,077			1,077	287	215		502
SERVER 99	SL	2,634			2,634	703	527		1,230
DISHWASHER 01	SL	427			427	56	61		117
SERVER BACKUP 02	M5		437		437		44		44
COMP UPGRADES 02	M5		202		202		20		20
DSL SET UP 02	M5		449		449		45		45
COMP & PRINTER 02	M5		15,958		15,958		1,596		1,596
SCANJET 02	M5		217		217		22		22
TOTALS		<u>389,845</u>			<u>318,343</u>	<u>288,610</u>			<u>231,359</u>

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
UNREALIZED DEPRECIATION	24,356,946.
TOTAL	----- 24,356,946. =====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

NAME AND ADDRESS -----	TITLE AND TIME DEVOTED TO POSITION -----	COMPENSATION -----	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS -----	EXPENSE ACCT AND OTHER ALLOWANCES -----
CHERYL POLK C/O ARGONAUT SECURITIES CO 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE DIRECTOR FULL-TIME	168,480.	31,628.	NONE
MIRIAM L HAAS C/O ARGONAUT SECURITIES CO 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE/PRESIDENT PART-TIME	NONE	NONE	NONE
PETER E. HAAS C/O ARGONAUT SECURITIES CO 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE/VP PART-TIME	NONE	NONE	NONE
GRAND TOTALS	----- 168,480. =====	----- 31,628. =====	----- NONE =====	

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS
=====

CHERYL POLK
EXECUTIVE DIRECTOR
MIRIAM & PETER HAAS FUND
201 FILBERT STREET, 5TH FLOOR
SAN FRANCISCO, CA 94133
(415) 296-9249

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
ABC PRESCHOOL	426 33rd Avenue San Francisco, CA 477 Madison Avenue 8th Floor	PM&E	\$3,000	3/5/02
ABRAHAM FUND	New York, NY 10022	General Operating Support	\$10,000	10/14/02
ALFA DA DEVELOPMENTAL CENTER	3401 Mission Street San Francisco, CA 94110	PM&E	\$3,000	3/5/02
AMERICAN ACADEMY IN ROME	7 East 60th Street New York, NY 10022-1001	General Operating Support	\$250	1/9/02
AMERICAN ACADEMY IN ROME	7 East 60th Street New York, NY 10022-1001	General Operating Support	\$250	12/9/02
AMERICAN ACADEMY OF ACHIEVEMENT	1222 16th Street, N W Washington, DC 20036	General Operating Support	\$25,000	1/15/02
AMERICAN CANCER SOCIETY	San Francisco County Unit 235 Montgomery Street, #320 San Francisco, CA 94104	Relay for Life Walker Cathy McDonagh	\$1,000	5/23/02
AMERICAN CONSERVATORY THEATER	30 Grant Avenue San Francisco, CA 941085800	In Support of Tom Stoppard's 'Night and Day'	\$25,000	6/19/02
AMERICAN FRIENDS OF THE ALMEIDA THEATER, INC , THE	425 Park Avenue New York, NY 10022	Artistic Director's Circle	\$1,000	2/4/02
AMERICAN FRIENDS OF THE DONMAR THEATRE, INC	c/o Stacy Grossman 425 Park Avenue, 26th Floor New York, NY 10022	Charitable Contribution Early Childhood Learning and Resource Center's Video-Aided Supervision Program (Year 2)	\$1,000	2/4/02
AMERICAN FRIENDS OF THE HEBREW UNIVERSITY, INC	703 Market Street Suite 1100 San Francisco, CA 94103-2121		\$100,000	11/4/02
AMERICAN FRIENDS OF THE ISRAEL MUSEUM	500 Fifth Avenue Suite 2540 New York, NY 10110	Guardian Membership	\$7,500	3/11/02
AMERICAN FUND FOR THE TATE GALLERY	Sullivan and Cromwell 250 Park Avenue New York, NY 10177	Annual Contribution	\$5,000	11/22/02
AMERICANS FOR THE ARTS	1000 Vermont Avenue, NW 12th Floor Washington, DC 20005	National Arts Awards	\$5,000	9/18/02
AMFAR	Poulos Brothers 870 Market Street, Suite 355 San Francisco, CA 94102	Annual Fall Dinner Honoring Bob & Colleen Haas	\$5,000	11/4/02
ARTS EDUCATION FUNDERS' COLLABORATIVE	c/o San Francisco Art Commission 25 Van Ness Avenue, Suite 240 San Francisco, CA 94102	General Operating Support Phase III	\$30,000	9/10/02
ASIAN ART MUSEUM OF SAN FRANCISCO	Golden Gate Park 75 Tea Garden Drive San Francisco, CA 94118	Membership Renewal	\$1,000	10/16/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
ASIAN ART MUSEUM OF SAN FRANCISCO	Golden Gate Park 75 Tea Garden Drive San Francisco, CA 94118	Capital Campaign	\$125,000	12/16/02
ASSOCIATION OF THE HOLE IN THE WALL GANG, THE	The Painted Turtle 3969 Villa Costera Malibu, CA 90265	The World of Nick Adams Benefit	\$25,000	9/26/02
AVON BREAST CANCER 3- DAY	135 S La Salle Dept 7368 Chicago, IL 60674-7368	Sponsor of Elizabeth Eisenhardt	\$500	5/21/02
AVON BREAST CANCER 3- DAY	135 S La Salle Dept 7368 Chicago, IL 60674-7368	Sponsor of Sarah Eisenhardt	\$500	5/21/02
BAY AREA DISCOVERY MUSEUM	557 McReynolds Road Sausalito, CA 94965	Early Childhood Program	\$35,000	9/18/02
BOYS AND GIRLS CLUBS OF SAN FRANCISCO	333 Valencia Street, Suite 410 San Francisco, CA 94103	General Operating Support	\$1,000	12/4/02
BREAKTHROUGH COLLABORATIVE (FORMERLY SUMMERBRIDGE NATIONAL)	361 Oak Street San Francisco, CA 94102-5615	Annual Support	\$10,000	2/26/02
BRIDGE HOUSING CORPORATION	1 Hawthorne Street Suite 400 San Francisco, CA 941053901	General Operating Support	\$10,000	12/16/02
BROOKINGS INSTITUTION	1775 Massachusetts Avenue, N W Washington, DC 20036	Membership 2002 PM&E - new art mat'l's, playground mat'l's, dramatic play units	\$5,000	2/28/02
BUEN DIA FAMILY SCHOOL	589 Guerrero Street San Francisco, CA 94110		\$3,000	11/4/02
CALIFORNIA ACADEMY OF SCIENCES	Golden Gate Park San Francisco, CA 941184599	17th Annual Black Tie Ball	\$5,000	3/18/02
CALIFORNIA CHILD CARE RESOURCE & REFERRAL NETWORK	111 New Montgomery Street, 7th Floor San Francisco, CA 94105	General Operating Support	\$35,000	2/27/02
CALIFORNIA PACIFIC MEDICAL CENTER FOUNDATION	3700 California Street 1st Floor San Francisco, CA 94120	Child Development Program	\$165,000	4/30/02
CALIFORNIA STATE PARKS FOUNDATION	P O Box 548 Kentfield, CA 94914	Membership	\$1,000	11/20/02
CATHOLIC CHARITIES CENTER FOR INVESTIGATIVE REPORTING	2255 Hayes Street, 4th Floor San Francisco, CA 94117	Children's Village Child Development Center	\$3,000	1/16/02
CENTRAL CITY HOSPITALITY HOUSE	131 Steuart Street, Suite 600 San Francisco, CA 94105	25th Annual Celebration	\$1,000	10/23/02
CHABAD OF SAN FRANCISCO	290 Turk Street San Francisco, CA 94102	General Operating Support	\$12,500	7/24/02
	468 Bush Street, 4th Floor San Francisco, CA 94108	Shalom School PM&E	\$3,000	3/5/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
CHARLESTON TRUST USA	600 Grant Street, 42 Floor Pittsburgh, PA 15219	Charleston Regained Capital Campaign	\$5,000	12/4/02
CHILD CARE LAW CENTER	221 Pine Street, 3rd Floor San Francisco, CA 94104	General Operating Support	\$50,000	9/19/02
CHILDREN NOW	1212 Broadway Suite 530 Oakland, CA 94612	General Operating Support	\$10,000	10/14/02
CHILDREN'S BOOK PROJECT	45 Holly Park Circle San Francisco, CA 94110	PM&E	\$3,000	9/9/02
CHILDREN'S COUNCIL OF SAN FRANCISCO	445 Church Street San Francisco, CA 94114	General Operating Support	\$50,000	9/19/02
CHILDREN'S STOREFRONT	P O Box 1313 70 East 129th Street New York, NY 10035	Annual Support	\$5,000	1/14/02
CHINATOWN COMMUNITY CHILDREN'S CENTER	979 Clay Street San Francisco, CA 94108	PM&E	\$3,000	12/13/02
CITY ARTS AND LECTURES	1415 Green Street San Francisco, CA 94109	General Operating Support	\$15,000	9/3/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Mission Child Care Center PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	John Adams Campus Child Development Center PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Grace Child Development Center PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Orfalea Family Center PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Bernal Heights Preschool PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Oceanview Preschool PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Rocky Mountain Participation Nursery School PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Sunnydale Preschool PM&E	\$3,000	12/16/02
CITY COLLEGE CHILD DEVELOPMENT & FAMILY STUDIES	1860 Hayes Street Room 139 San Francisco, CA 94117	Valencia Gardens Preschool PM&E	\$3,000	12/16/02
CITY COLLEGE OF SAN FRANCISCO	Child Development & Family Studies Dept Phelan Campus Child Development Center 50 Phelan Avenue, BOX L207 San Francisco, CA 94124	Support of San Francisco Early Childhood Professional Development Initiative	\$100,000	6/19/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
CITY COLLEGE OF SAN FRANCISCO	Child Development & Family Studies Dept Phelan Campus Child Development Center 50 Phelan Avenue, BOX L207 San Francisco, CA 94124	Support of San Francisco Early Childhood Professional Development Initiative	\$100,000	12/9/02
COLEMAN ADVOCATES FOR CHILDREN AND YOUTH	459 Vienna Street San Francisco, CA 94112 P O Box 1776 Williamsburg, VA 231871776	General Operating Support Membership Renewal - Year 2002	\$75,000	9/19/02
COLONIAL COMMUNITY BREAST HEALTH PROJECT CORPORATION FOR JEFFERSON'S POPLAR FOREST	545 Bryant Street Palo Alto, CA 94301 P O Box 419 Forest, VA 245510419	Annual campaign	\$250	12/4/02
COUNCIL ON FOREIGN RELATIONS	58 East 68th Street New York, NY 10021 1828 L Street, NW Suite 300 Washington, DC 20036	General Operating Support Harold Platt Associates - MLH & PEH	\$1,000	8/5/02
COUNCIL ON FOUNDATIONS	One Lombard Street, Suite 305 San Francisco, CA 94111	Membership 2002 General operating support (\$155M per year over five years)	\$27,600	2/4/02
CREATIVE WORK FUND	P O Box 13566 San Francisco, CA 94115	Model Centers Initiative	\$156,000	8/14/02
CROSS CULTURAL FAMILY CENTER	P O Box 13566 San Francisco, CA 94115	Model Centers Initiative	\$75,000	10/4/02
CROSS CULTURAL FAMILY CENTER	P O Box 13566 San Francisco, CA 94115	Model Centers Initiative	\$75,000	6/19/02
CROSS CULTURAL FAMILY CENTER	P O Box 13566 San Francisco, CA 94115	1901 Two's Program PM&E	\$3,000	5/28/02
CROSS CULTURAL FAMILY CENTER	P O Box 13566 San Francisco, CA 94115	Model Centers Initiative PM&E	\$75,000	12/18/02
CROSS CULTURAL FAMILY CENTER	P O Box 13566 San Francisco, CA 94115	Turk Street Center Marcus Garvey PM&E	\$3,000	5/28/02
CROSS CULTURAL FAMILY CENTER	P O Box 13566 San Francisco, CA 94115	PM&E	\$3,000	5/28/02
DEERFIELD ACADEMY	P O Box 306 Deerfield, MA 01342-306 542 West 22nd Street New York, NY 10011	Annual Campaign	\$1,000	6/19/02
DIA CENTER FOR THE ARTS	35 Wooster Street New York, NY	Annual Fall Gala 2002 Gala Benefit Full donation	\$2,500	10/23/02
DRAWING CENTER	35 Wooster Street New York, NY	Annual Fund	\$5,000	3/26/02
DRAWING CENTER	220 Allen Building Box 90005 Durham, NC 27708-0005	William Preston Few Association Annual Fund	\$1,000	12/4/02
DUKE UNIVERSITY	220 Allen Building Box 90005 Durham, NC 27708-0005	Project Kid Start	\$10,000	9/23/02
DUKE UNIVERSITY	Durham, NC 27708-0005	Project Kid Start	\$193,171	6/19/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
DUKE UNIVERSITY	220 Allen Building Box 90005 Durham, NC 27708-0005	Sanford Institute of Public Policy New Building Fund	\$10,000	5/21/02
EASTER SEALS BAY AREA	Easter Seals Child Development Center 95 Hawthorne Lane, 1st Floor San Francisco, CA 94105	PM&E	\$3,000	5/14/02
EDIBLE SCHOOLYARD	1781 Rose Street Berkeley, CA 94703	Benefit - April 12, 2002 Full donation	\$2,000	3/26/02
ENTERPRISE FOR HIGH SCHOOL STUDENTS	3275 Sacramento St San Francisco, CA 94115	San Francisco Fall Antiques Show	\$1,000	3/25/02
EXPLORATORIUM	3601 Lyon Street San Francisco, CA 94123	25th Anniversary Awards Dinner	\$5,000	4/30/02
EXPLORATORIUM	3601 Lyon Street San Francisco, CA 94123	2002-2003 General Operating Support	\$5,000	10/17/02
FAMILY CONNECTIONS	2565 San Bruno Avenue San Francisco, CA 94134	PM&E	\$3,000	11/14/02
FAMILY SCHOOL	548 Fillmore Street San Francisco, CA 94117	Mission Street Site PM&E	\$3,000	4/8/02
FAMILY SCHOOL	548 Fillmore Street San Francisco, CA 94117	Fillmore Site PM&E	\$1,000	4/8/02
FINE ARTS MUSEUMS FOUNDATION	233 Post Street Fifth Floor San Francisco, CA 94108	In Memory of Al Wilsey	\$500	1/9/02
FINE ARTS MUSEUMS FOUNDATION	233 Post Street Fifth Floor San Francisco, CA 94108	Membership Renewal - Sponsor Level	\$500	8/14/02
FINE ARTS MUSEUMS/DE YOUNG MUSEUM	233 Post Street, 5 Floor San Francisco, CA 94108	New Building in Golden Gate Park	\$125,000	12/16/02
FIRST BAPTIST CHURCH INTERNATIONAL CHRISTIAN SCHOOL	42 Waller Street San Francisco, CA 94102	PM&E	\$3,000	9/23/02
FOUNDATION FOR DANCE PROMOTION	Bill T Jones/Arnie Zane Dance Company 853 Broadway Street, Suite 1706 New York, NY 10003	Bill T Jones/Arnie Zane Dance Co General Operating Support	\$1,000	1/9/02
FRANDELJA ENRICHMENT CENTER	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative	\$75,000	12/3/02
FRANDELJA ENRICHMENT CENTER	950 Gilman Street San Francisco, CA 94124	PM&E	\$3,000	3/25/02
FRIENDS & FOUNDATION OF THE SAN FRANCISCO PUBLIC LIBRARY	100 Larkin Street, 6th Floor San Francisco, CA 94102	Annual Literary Laureates Dinner April 8, 2002	\$10,000	1/24/02
FRIENDS OF ART AND PRESERVATION IN EMBASSIES	1725 'I' Street, N W Suite 300 Washington, DC 20006	Membership	\$3,000	12/10/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
FRIENDS OF RECREATION AND PARKS	McLaren Lodge Golden Gate Park San Francisco, CA 94117-1898	Opening Night Party of the Garden Show (3/19/2002)	\$5,000	2/28/02
FRIENDS OF RECREATION AND PARKS	McLaren Lodge Golden Gate Park San Francisco, CA 94117-1898	Park Leadership Society	\$1,000	10/2/02
GEORGES POMPIDOU ART AND CULTURE FOUNDATION	1515 Branard Street Houston, TX 77006	Membership	\$3,000	10/2/02
GLENRIDGE COOPERATIVE NURSERY	P O Box 31202 San Francisco, CA 94131	PM&E Glide Child Care and Family Support Center	\$3,000	4/24/02
GLIDE MEMORIAL UNITED METHODIST CHURCH	434 Ellis Street, Third Floor San Francisco, CA 94102	PM&E	\$3,000	12/13/02
GOLDEN GATE KINDERGARTEN ASSOCIATION	1315 Ellis Street San Francisco, CA 94109 Building 201	Phoebe Hearst Preschool PM&E	\$3,000	1/29/02
GOLDEN GATE NATIONAL PARKS ASSOCIATION	Fort Mason San Francisco, CA 94123	William Kent Society Membership Renewal	\$5,000	11/18/02
GOOD SAMARITAN FAMILY RESOURCE CENTER, INC.	1294 Potrero Avenue San Francisco, CA 94110	Literacy Program	\$10,000	6/19/02
GRANTMAKERS FOR CHILDREN, YOUTH AND FAMILIES	1522 'K' Street, NW Suite 1100 Washington, DC 20005	General Operating Support	\$5,000	4/30/02
GREENBELT ALLIANCE	631 Howard Street Suite 510 San Francisco, CA 94105	General Operating Support	\$250	11/14/02
HEADLANDS CENTER FOR THE ARTS	944 Fort Barry Sausalito, CA 94965	General Operating Support	\$100	9/2/02
HEALTHY CHILDREN ORGANIZING PROJECT	717 Market Street Suite 310 San Francisco, CA 94103	General Operating Support	\$15,000	2/27/02
HEARING SOCIETY FOR THE BAY AREA	49 Powell Street Suite 400 San Francisco, CA 94102-2852	In Memory of Bennett Lyon Raffin	\$500	5/8/02
HEBREW UNION COLLEGE	13 King David Street Jerusalem, ISRAEL 94101	General Operating Support	\$10,000	1/14/02
HOLY FAMILY DAY HOME	299 Dolores Street San Francisco, CA 94103-2211	PM&E	\$3,000	10/2/02
HOPE PROGRAM, THE	One Smith Street Brooklyn, CA 11201	General Operating Support	\$100	12/18/02
HOSPITAL DE LA FAMILIA FOUNDATION	221 Main Street Suite 920 San Francisco, CA 94105	General Operating Support	\$1,000	6/18/02
INDEPENDENT SECTOR	1200 Eighteenth Street, N W Suite 200 Washington, DC 20036	Membership 2002	\$7,500	1/30/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
Inner Sunset	1329 7th Avenue San Francisco, CA 94122	PM&E Distinguished Awards Dinner	\$3,000	5/14/02
INSTITUTE OF INTERNATIONAL EDUCATION	41 Sutter Street Suite 510 San Francisco, CA 94104	Honoring Stanford University and University of California, Berkeley	\$2,500	10/29/02
INTERNATIONAL COUNCIL OF THE MUSEUM OF MODERN ART, THE	11 West 53 Street New York, NY 10019	Membership 2002	\$5,250	2/4/02
INTERPLAST	300-B Pioneer Way Mountain View, CA 94041	General Operating Support	\$30,000	12/9/02
JERUSALEM FOUNDATION, INC	60 East 42nd Street, Suite 1936 New York, NY	Builders of Jerusalem Awards Gala	\$12,500	8/19/02
JEWISH COMMUNITY CENTER OF SAN FRANCISCO	c/o Teknekron Corp 1080 Marsh Road Menlo Park, CA 94025	Robert E Stinton Award	\$3,000	7/8/02
JEWISH COMMUNITY RELATIONS COUNCIL	121 Steuart Street Suite 301 San Francisco, CA 94105	Israel in the Gardens	\$7,500	4/30/02
JEWISH HOME FOR THE AGED	302 Silver Avenue San Francisco, CA 941121596	In Memory of Dr Julian S Davis	\$500	1/11/02
JOHNS HOPKINS UNIVERSITY	1740 Massachusetts Avenue, NW Washington, D C 20036-1984	Support of the Paul H Nitze School of Advanced International Studies	\$15,000	8/15/02
KQED	2601 Mariposa Street San Francisco, CA 941101400	Signal Society	\$20,000	12/16/02
KQED	2601 Mariposa Street San Francisco, CA 941101400	Campaign for the Future	\$200,000	12/16/02
LA CASA DE LAS MADRES LARKIN STREET YOUTH CENTER	1850 Mission Street, Suite B San Francisco, CA 94103	PM&E HIV Specialty Clinic	\$3,000	10/16/02
LEAP	1138 Sutter Street San Francisco, CA 94109	General Operating Support	\$25,000	9/19/02
LEGAL SERVICES FOR CHILDREN	703 Market Street, Suite 501 San Francisco, CA 94103	Arts and Architecture Residency Program	\$500	7/23/02
LEUKEMIA SOCIETY OF AMERICA	1254 Market Street, Third Floor San Francisco, CA 94102	Hope Project Sponsor of Adam Rosenblatt San Diego Marathon	\$25,000	9/19/02
LINCOLN CENTER THEATER	150 West 65th Street New York, NY 10023	San Diego Marathon	\$50	3/29/02
LINCOLN CENTER THEATER	150 West 65th Street New York, NY 10023	Sponsor Benefactor Level Support for Anything Goes! Benefit for Lincoln Center Theater in honor of Joe Cullman	\$10,000	10/17/02
LITTLE CHILDREN'S DEVELOPMENT CENTER	1223 Webster Street San Francisco, CA 94115	PM&E	\$3,000	2/13/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
LOW INCOME HOUSING FUND	1330 Broadway Suite 600 Oakland, CA 94612	San Francisco Child Care Facilities Fund Getting Ready for Desired Results	\$100,000	6/19/02
LOW INCOME HOUSING FUND	1330 Broadway Suite 600 Oakland, CA 94612	San Francisco Child Care Facilities Fund Getting Ready for Desired Results	\$100,000	12/9/02
MAITRI	401 Duboce Avenue San Francisco, CA 94117-3551	General Operating Support	\$25,000	9/19/02
MARTHA'S VINEYARD COMMUNITY SERVICES	Box 369 Vineyard Haven, MA 02568	Possible Dreams Auction XXIV	\$1,000	7/31/02
MARTHA'S VINEYARD COMMUNITY SERVICES	Box 369 Vineyard Haven, MA 02568	General Operating Support	\$2,500	12/9/02
MARTHA'S VINEYARD PRESERVATION TRUST INC	P O Box 5277 Edgartown, MA 02539	General Operating Support - Membership Renewal	\$250	4/30/02
MARTHA'S VINEYARD REGIONAL HIGH SCHOOL MEMORIAL SLOAN- KETTERING CANCER CENTER	PO BOX 1385 Oak Bluffs, MA 02557	Agricultural/Horticultural Program	\$1,000	2/13/02
METROPOLITAN MUSEUM OF ART	1275 York Avenue New York, NY 10021-6094	14th Annual Preview Party	\$2,500	6/19/02
MIRALOMA COOPERATIVE NURSERY SCHOOL	1000 Fifth Avenue New York, NY 10028-1098	Patron Circle Membership	\$8,000	1/3/02
MOTHERS AGAINST DRUNK DRIVING	443 Foerster Street San Francisco, CA 94127	PM&E	\$3,000	1/16/02
MULTICULTURAL CHILD CARE CONSORTIUM, THE	P O Box 96087 Washington, DC 20090-6087	General Operating Support Candlelight Child Development Center	\$50	7/18/02
MUSEUM OF MODERN ART, NEW YORK CITY	50 Grafton Avenue San Francisco, CA 94112	PM&E	\$3,000	2/13/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Capital Campaign and Endowment	\$4,000,000	12/16/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Junior Associates of the Museum	\$1,000	4/24/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Party in the Garden	\$50,000	4/30/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	General Operating Support	\$700	3/28/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Annual Fund	\$75,000	6/4/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Opening Night Preview - The Armory Show 2002	\$1,000	1/14/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Committee on Painting and Sculpture	\$25,000	6/4/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Library Council	\$1,500	6/4/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Chairman's Council	\$35,000	6/4/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	Committee on Drawings	\$15,000	6/4/02
MUSEUM OF MODERN ART, NEW YORK CITY	11 West 53 Street New York, NY 10019	David Rockefeller Award Luncheon - 2002	\$25,000	1/3/02
MUSIC CONCOURSE COMMUNITY PARTNERSHIP NATIONAL ABORTION	One Maritime Plaza, Suite 2525 San Francisco, CA 94111	Capital Campaign for Music Concourse and Garage Fund	\$1,000,000	12/19/02
RIGHTS ACTION LEAGUE FOUNDATION	999 Brannan Street, Suite 229 San Francisco, CA 94103 330 Seventh Avenue, 21st Floor	Power of Choice Luncheon March 15, 2002	\$2,000	2/4/02
NATIONAL FOUNDATION FOR JEWISH CULTURE	New York, NY 10001 4th St & Constitution Avenue Room 617, East Building	A Taste of Italian Jewish Culture Event	\$1,000	10/29/02
NATIONAL GALLERY OF ART	Washington, DC 20565 4th St & Constitution Avenue Room 617, East Building	Collectors Committee	\$10,000	4/2/02
NATIONAL GALLERY OF ART NATIONAL PARKS AND CONSERVATION ASSOCIATION	Washington, DC 20565 11 Dupont Circle, NW Suite 600 Washington, DC 20036-1224	Collectors Committee General Operating Support	\$5,000 \$10,000	4/24/02 4/30/02
NEW LANGTON ARTS	1246 Folsom Street San Francisco, CA 94103 The Arts and Civic Dialogue Project	General Operating Support	\$250	5/28/02
NEW YORK FOUNDATION FOR THE ARTS	155 Avenue of the Americas 14th Floor New York, NY 10013-1507 The Arts and Civic Dialogue Project	Twilight Educational Outreach Program (Anna Deveare Smith)	\$60,000	5/14/02
NEW YORK FOUNDATION FOR THE ARTS	155 Avenue of the Americas 14th Floor New York, NY 10013-1507	Twilight Educational Outreach Program (Anna Deveare Smith)	\$40,000	10/14/02
NIHONMACHI LITTLE FRIENDS	2031 Bush Street San Francisco, CA 94115	Bush Street Site PM&E	\$3,000	12/20/02
NIHONMACHI LITTLE FRIENDS	2031 Bush Street San Francisco, CA 94115	Sutter Street Site PM&E	\$3,000	12/13/02
NORTHERN CALIFORNIA CANCER CENTER	P O Box 5033 Union City, CA 94587-9925 116 New Montgomery Street	General Operating Support	\$2,000	11/20/02
NORTHERN CALIFORNIA GRANTMAKERS	Suite 720 San Francisco, CA 94105 116 New Montgomery Street	Summer Youth Project	\$5,000	2/4/02
NORTHERN CALIFORNIA GRANTMAKERS	Suite 720 San Francisco, CA 94105 79 Belvedere Street	Membership 2002	\$6,600	3/29/02
PARENT SERVICES PROJECT, INC	Suite 101 San Rafael, CA 94901	General Operating Support	\$25,000	2/27/02
PERSEPHONE PRODUCTIONS, INC	1612 Hartford St Arlington, VA 22201	To the Contrary - ECE Program	\$50,000	9/3/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
PLAYMATES COOPERATIVE NURSERY SCHOOL	2340 42nd Avenue San Francisco, CA 94116	PM&E In support of Robin Hood's fight against poverty	\$3,000	8/20/02
ROBIN HOOD FOUNDATION	826 Broadway, 7th Floor New York, NY 10003	Benefit - May 30, 2002	\$100,000	4/16/02
ROBIN HOOD FOUNDATION	826 Broadway, 7th Floor New York, NY 10003	Learning Garden Program Harbor House Child Care Center	\$25,000	12/19/02
SALVATION ARMY SAN FRANCISCO AIDS FOUNDATION	407 Ninth Street San Francisco, CA 94103 995 Market Street, Suite 200 San Francisco, CA 94103	PM&E In honor of Bob Haas' 60th Birthday	\$3,000 \$1,000	7/31/02 4/24/02
SAN FRANCISCO ART INSTITUTE	800 Chestnut Street San Francisco, CA 941332299	Annual Fund - Cafe Society	\$2,500	6/4/02
SAN FRANCISCO ART INSTITUTE	800 Chestnut Street San Francisco, CA 941332299	SFAI Opening Night Preview Benefit - SFIA Exposition	\$750	9/6/02
SAN FRANCISCO BALLET ASSOCIATION	455 Franklin Street San Francisco, CA 94102	Annual Fund	\$15,000	1/24/02
SAN FRANCISCO BALLET ASSOCIATION	455 Franklin Street San Francisco, CA 94102	Seat Premium 2003 Season	\$1,600	4/2/02
SAN FRANCISCO BALLET ASSOCIATION	455 Franklin Street San Francisco, CA 94102	2003 Opening Night Gala	\$15,000	9/26/02
SAN FRANCISCO CHRONICLE SEASON OF SHARING FUND	San Francisco Chronicle 901 Mission Street San Francisco, CA 94103-2988	General Operating Support	\$35,000	10/2/02
SAN FRANCISCO EDUCATION FUND	47 Kearny Street 2nd Floor San Francisco, CA 94108	2002 Holiday Lunch	\$2,500	8/14/02
SAN FRANCISCO FOUNDATION	225 Bush Street Suite 500 San Francisco, CA 94104-4224	San Francisco Park's Renaissance	\$11,000	12/3/02
SAN FRANCISCO FOUNDATION COMMUNITY INITIATIVE FUNDS	225 Bush Street, Suite 500 San Francisco, CA 94105	Civic Engagement Project for Children and Families (Prop 10)	\$62,500	2/27/02
SAN FRANCISCO FOUNDATION COMMUNITY INITIATIVE FUNDS	225 Bush Street, Suite 500 San Francisco, CA 94105	Quality Child Care Initiative In Memory of Carol Penney Guyer	\$150	8/23/02
SAN FRANCISCO FOUNDATION COMMUNITY INITIATIVE FUNDS	225 Bush Street, Suite 500 San Francisco, CA 94105	Civic Engagement Project for Children and Families (Prop 10)	\$62,500	9/10/02
SAN FRANCISCO FOUNDATION COMMUNITY INITIATIVE FUNDS	225 Bush Street, Suite 500 San Francisco, CA 94102	SF Starting Points Initiative General Operating Support	\$50,000	6/19/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
SAN FRANCISCO FOUNDATION COMMUNITY INITIATIVE FUNDS	225 Bush Street, Suite 500 San Francisco, CA 94105	Civic Engagement Project for Children and Families (Prop 10)	\$100,000	12/3/02
SAN FRANCISCO FREE CLINIC	4900 California Street San Francisco, CA 94118	2002 Annual Luncheon	\$10,000	10/14/02
SAN FRANCISCO GENERAL HOSPITAL FOUNDATION	PO Box 410836 San Francisco, CA 94141-0836	Bay Area Perinatal AIDS Center Office of Protocol	\$25,000	9/19/02
SAN FRANCISCO HOST COMMITTEE	200 City Hall San Francisco, CA 94102	Membership Renewal Richard Flood	\$1,500	6/19/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Guest Speaker Accessions Committee	\$1,249	2/26/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Collectors Forum Membership	\$2,850	12/16/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Eva Hesse Exhibit	\$50,000	1/14/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	In Memory of Phyllis Wattis	\$1,000	6/6/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Annual Operating Support Chairman's Circle	\$75,000	6/4/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Art Auction 2002 In honor of Chuck and Helen	\$600	4/8/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Schwab's 30th wedding anniversary	\$1,000	5/20/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Director's Support - (Refund of \$4500 received 6/4/02)	(\$4,500)	6/4/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Accessions Fund	\$25,000	6/4/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Collector's Forum - Kassel	\$1,100	4/30/02
SAN FRANCISCO MUSEUM OF MODERN ART	151 3rd Street San Francisco, CA 94103	Valentine's Ball 2/14/2003	\$2,000	11/4/02
SAN FRANCISCO OPERA ASSOCIATION	War Memorial Opera House 301 Van Ness Avenue San Francisco, CA 941024509	Annual Fund 2002	\$15,000	1/9/02
SAN FRANCISCO OPERA ASSOCIATION	War Memorial Opera House 301 Van Ness Avenue San Francisco, CA 941024509	Seat Related Donation	\$7,500	2/26/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
SAN FRANCISCO OPERA GUILD	301 Van Ness Avenue San Francisco, CA 94102-4509	Opera Ball 2002	\$2,500	4/24/02
SAN FRANCISCO PLANNING AND URBAN RESEARCH ASSOCIATION	312 Sutter Street Suite 500 San Francisco, CA 94108	Membership 2002	\$150	3/18/02
SAN FRANCISCO SCHOOL VOLUNTEERS	551 Sutter Street San Francisco, CA 94102	Back to School Lunch Baseball-Academics-Team-Service scholarship	\$2,500	7/17/02
SAN FRANCISCO STATE UNIVERSITY	1600 Holloway Avenue San Francisco, CA 94132	endowment Challenge Grant of \$30,000 (1:1 ratio) Baseball-Academics-Team-Service scholarship	\$15,000	6/11/02
SAN FRANCISCO STATE UNIVERSITY	1600 Holloway Avenue San Francisco, CA 94132 Marian Wright Edelman Institute	endowment Challenge Grant of \$30,000 (1:1 ratio) Marian Wright Edelman Institute	\$15,000	12/13/02
SAN FRANCISCO STATE UNIVERSITY	1600 Holloway Avenue San Francisco, CA 94132 Marian Wright Edelman Institute	Early Childhood Professional Development Initiative Marian Wright Edelman Institute	\$93,200	6/19/02
SAN FRANCISCO STATE UNIVERSITY	1600 Holloway Avenue San Francisco, CA 94132 Louise M. Davies Symphony Hall	Early Childhood Professional Development Initiative	\$93,200	12/16/02
SAN FRANCISCO SYMPHONY	San Francisco, CA 94102 Louise M. Davies Symphony Hall	Contribution to the Wattis Room	\$300	7/24/02
SAN FRANCISCO SYMPHONY	San Francisco, CA 94102 Louise M. Davies Symphony Hall	In support of 2002 Opening Gala	\$3,000	5/3/02
SAN FRANCISCO SYMPHONY	San Francisco, CA 94102 Louise M. Davies Symphony Hall	Endowment Campaign	\$125,000	12/16/02
SAN FRANCISCO SYMPHONY	San Francisco, CA 94102 Louise M. Davies Symphony Hall	Youth Orchestra	\$250	6/19/02
SAN FRANCISCO SYMPHONY	San Francisco, CA 94102	2002 Annual Fund	\$15,000	2/4/02
SAN FRANCISCO UNIFIED SCHOOL DISTRICT	555 Franklin Street San Francisco, CA 94102	Child Development Program 2002-2003	\$175,000	10/14/02
SAN FRANCISCO UNIFIED SCHOOL DISTRICT	555 Franklin Street San Francisco, CA 94102	Child Development Program 2003-2004	\$150,000	12/3/02
SAN FRANCISCO UNIVERSITY HIGH SCHOOL	3065 Jackson Street San Francisco, CA 94115	Endowment Campaign	\$50,000	11/4/02
SAN FRANCISCO UNIVERSITY HIGH SCHOOL	3065 Jackson Street San Francisco, CA 94115 114 Sansome Street	San Francisco Decorator Showcase	\$2,500	2/13/02
SAVE THE REDWOODS LEAGUE	Room 605 San Francisco, CA 94104	Membership 2002	\$250	6/6/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
SCHNEIDER CHILDREN'S MEDICAL CENTER OF ISRAEL	c/o William J Lowenburg Lowenburg Corporation 44 Montgomery Street San Francisco, CA 94104-4808	Child Development & Rehabilitation Insititute	\$1,000	7/23/02
SHERIFF'S MEADOW FOUNDATION OF MARTHA'S VINEYARD	Mary Wakeman Conservation Ctr RR1 Box 319X Vineyard Haven, MA 02568	General Operating Support	\$1,000	4/30/02
SKIRBALL CULTURAL CENTER	2701 North Sepulveda Boulevard Los Angeles, CA 90049-6833	Annual Contribution 2002	\$25,000	12/3/02
SKIRBALL CULTURAL CENTER	2701 North Sepulveda Boulevard Los Angeles, CA 90049-6833	Avram Biran Archeological Projects	\$10,000	12/3/02
SMITHSONIAN INSTITUTION	1000 Jefferson Drive, SW, 4th Floor Washington, DC 20560-0035	General Operating Support	\$10,000	8/14/02
SOUTH OF MARKET CHILD CARE, INC	Yerba Buena Gardens Child Development Center 366 Clementina Street San Francisco, CA 94103	PM&E	\$3,000	1/29/02
SOUTH OF MARKET CHILD CARE, INC	Clementina Child Development Center 366 Clementina Street San Francisco, CA 94103	PM&E	\$3,000	3/5/02
SOUTHERN EXPOSURE	401 Alabama San Francisco, CA 94110	SoExquisite Gala Benefit	\$250	4/24/02
STANFORD UNIVERSITY	Office of Development Frances C Arnillaga Alumni Center 326 Galvez Street Stanford, CA 94305-6105	Hoover House Circle (President's Fund)	\$50,000	7/18/02
STANFORD UNIVERSITY	326 Galvez Street Stanford, CA 94305-6105	Jewish Life	\$540	7/24/02
STANFORD UNIVERSITY - HAAS CENTER FOR PUBLIC SERVICE FUND	Haas Center for Public Service 562 Salvatierra Walk Stanford, CA 94305-8620	In memory of John Gardner	\$1,000	3/26/02
STERN GROVE FESTIVAL ASSOCIATION	44 Page Street Suite 600 San Francisco, CA 94102	General Operating Support	\$10,000	6/25/02
STERN GROVE FESTIVAL ASSOCIATION	44 Page Street Suite 600 San Francisco, CA 94102	Hewlett Foundation Challenge Grant	\$2,500	9/30/02
STUDIO IN A SCHOOL ASSOCIATION, INC	410 West 59th Street New York, NY 10019-8200	General Operating Support	\$1,000	1/3/02
STUDIO IN A SCHOOL ASSOCIATION, INC	410 West 59th Street New York, NY 10019-8200	25th Anniversary Gala	\$1,000	7/24/02
STUDIO IN A SCHOOL ASSOCIATION, INC	410 West 59th Street New York, NY 10019-8200	General Operating Support	\$800	3/28/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
SUNSET CO-OP NURSERY SCHOOL	4245 Lawton St San Francisco, CA 94118	PM&E	\$3,000	7/11/02
SYNERGOS INSTITUTE	9 East 69th Street New York, NY 10021	University for a Night	\$5,000	9/6/02
TALKLINE	1757 Waller Street San Francisco, CA 94117	Respite Care Program	\$50,000	2/27/02
TEACH FOR AMERICA	4300 Horton Street, Suite 15 Emeryville, CA 94608	Bridge the Gap Celebration	\$1,000	6/19/02
TEACH FOR AMERICA	4300 Horton Street, Suite 15 Emeryville, CA 94608	Bridge the Gap Celebration	\$1,000	6/4/02
TEACHERS COUNT	3 Minetta Lane New York, NY 10012	Pilot Program	\$10,000	3/28/02
TELEGRAPH HILL NEIGHBORHOOD CENTER	660 Lombard Street San Francisco, CA 94133	PM&E	\$3,000	4/10/02
TENDERLOIN CHILDCARE CENTER	351 Turk Street San Francisco, CA 94102	Child Care Subsidies	\$40,458	6/19/02
TENDERLOIN CHILDCARE CENTER	351 Turk Street San Francisco, CA 94102	Literacy Program	\$10,000	6/19/02
TENDERLOIN NEIGHBORHOOD DEVELOPMENT CORP.	201 Eddy Street San Francisco, CA 941022715	Celebrity Pool Toss VIII	\$500	7/15/02
THAT MAN MAY SEE, INC	10 Kirkham Street Box 0352 San Francisco, CA 94143-0352	Beckman Vision Center University of California, San Francisco	\$1,000	1/18/02
THOMAS JEFFERSON MEMORIAL FOUNDATION	P O Box 217 Charlottesville, VA 22902	Monticello Cabinet Edwin M Rich Society	\$5,000	12/16/02
TOWN SCHOOL FOR BOYS	2750 Jackson Street San Francisco, CA 94115	Contributors' Level	\$1,000	10/9/02
TRUE SUNSHINE PRESCHOOL CENTER	777 Stockton Street #201 San Francisco, CA 94108	PM&E	\$3,000	3/5/02
UC BERKELEY FOUNDATION	2440 Bancroft Way Berkeley, CA 94720-4200	Campaign 2001 Quality Early Childhood Education Compensation and Retention Initiative	\$1,250,000	12/16/02
UNITED WAY	221 Main Street, Suite 300 San Francisco, CA 94105	Quality Early Childhood Education Compensation and Retention Initiative	\$75,000	7/31/02
UNITED WAY	221 Main Street, Suite 300 San Francisco, CA 94105	Quality Early Childhood Education Compensation and Retention Initiative	\$75,000	12/17/02
UNITED WAY UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	221 Main Street, Suite 300 San Francisco, CA 94105	General Operating Support	\$100,000	12/9/02
UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	Coaches Retention Fund	\$5,000	3/18/02
UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	Laura Tyson Endowment UC Berkeley Art Museum and Pacific Film Archive	\$50,000	5/21/02
UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	Chancellor's Committee	\$1,000	9/12/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	Haas Gala	\$10,000	11/4/02
UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	Bear Backers	\$4,500	9/6/02
UNIVERSITY OF CALIFORNIA, BERKELEY - REGENTS	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	In Support of the Cal Band's 2002 Benefit	\$1,000	10/14/02
VENETIAN HERITAGE	475 Park Avenue New York, NY 10022	Venetian Heritage Luncheon	\$500	9/6/02
VINE VILLAGE VINEYARD GOLF CLUB FOUNDATION	4059 Old Sonoma Road Napa, CA 94559 PO Box #1815 Edgartown, MA 02539	Capital Improvement Fund Contribution	\$30,000 \$500	12/3/02 4/1/02
VISITACION VALLEY COMMUNITY CENTER, INC VOLUNTEER AUXILIARY OF THE YOUTH GUIDANCE CENTER	50 Raymond Avenue San Francisco, CA 94134 375 Woodside Avenue San Francisco, CA 94127 1400 Judah Street San Francisco, CA 94122	Literacy Program Annual Dinner PM&E	\$10,000 \$500 \$3,000	6/19/02 12/3/02 4/10/02
WHITNEY YOUNG CHILD DEVELOPMENT CENTER, INC	100 Whitney Young Circle P O Box 880924 San Francisco, CA 941880924	Western Addition Site PM&E	\$3,000	8/26/02
WHITNEY YOUNG CHILD DEVELOPMENT CENTER, INC	100 Whitney Young Circle P O Box 880924 San Francisco, CA 941880924	Haight Ashbury Center PM&E	\$3,000	8/26/02
WHITNEY YOUNG CHILD DEVELOPMENT CENTER, INC	100 Whitney Young Circle P O Box 880924 San Francisco, CA 941880924	Bayview/Hunter's Point Site PM&E	\$3,000	8/26/02
WOMEN'S FUNDING NETWORK	1375 Sutter Street, Suite 406 San Francisco, CA 94109	General Operating Support	\$10,000	1/30/02
WOODSIDE SCHOOL FOUNDATION	3195 Woodside Road Woodside, CA 94062	Annual Fall Campaign General Operating Support	\$250	10/14/02
WU YEE CHILDREN'S SERVICES	831 Broadway San Francisco, CA 94133	(\$35) SFCCPA(\$15) General Operating Support	\$15,000	12/3/02
WU YEE CHILDREN'S SERVICES	831 Broadway San Francisco, CA 94133	(\$35) SFCCPA(\$15)	\$35,000	12/3/02
WU YEE CHILDREN'S SERVICES	831 Broadway San Francisco, CA 94133	Generations Child Care Center	\$3,000	3/5/02
WU YEE CHILDREN'S SERVICES	831 Broadway San Francisco, CA 94133	Chinatown Infant Center Lok Yuen Child Development Program	\$1,500	3/5/02
WU YEE CHILDREN'S SERVICES	831 Broadway San Francisco, CA 94133	PM&E	\$3,000	3/5/02

**MIRIAM and PETER HAAS FUND
GRANTS LISTING
12/31/02**

<u>Payee Name</u>	<u>PayeeAddress</u>	<u>Project</u>	<u>Amount</u>	<u>Payment Date</u>
YMCA OF SAN FRANCISCO - MISSION BRANCH	4080 Mission St San Francisco, CA 94112	PM&E Grant	\$3,000	3/25/02
YOUNG PERFORMERS THEATRE	Fort Mason Center Building C, Third Floor San Francisco, CA 94123	Creative Play for Preschoolers	\$25,000	6/19/02
ZEUM	221 Fourth Street San Francisco, CA 94103	General Operating Support	\$10,000	3/11/02
Total			\$11,594,068	

Note PM&E stand for
"Program Material and
Equipment"

**MIRIAM and PETER HAAS FUND
CONDITIONAL COMMITMENTS
12/31/2002**

Organization Name	Project	Grant Amount	Paid Amount	Still to Pay
Franelja Enrichment Center	Model Centers Initiative	\$250,425	\$75,000	\$175,425
San Francisco Unified School District	Child Development Program 2003-2004	\$300,000	\$150,000	\$150,000
San Francisco Museum of Modern Art	New Director's Support	\$160,380	\$0	\$160,380
Cross Cultural Family Center	Model Centers Initiative	\$300,000	\$225,000	\$75,000
Arts Education Funders' Collaborative	General Operating Support Phase III	\$120,000	\$60,000	\$60,000
Creative Work Fund	General operating support (\$155M per year over five years)	\$771,000	\$616,000	\$155,000
		\$1,901,805	\$1,126,000	\$775,805
Commitments by year				
	2003	\$601,463		
	2004	\$46,038		
	2005	\$16,038		
	2006	\$16,038		
	2007	\$16,038		
	2008	\$16,038		
	2009	\$16,038		
	2010	\$16,038		
	2001	\$16,038		
	2012	\$16,038		
	Total	\$775,805		

FEDERAL FOOTNOTES

=====

PART VII-B, LINE 1A(4), REGARDING PAYING COMPENSATION TO, OR PAY OR REIMBURSE THE EXPENSES OF, A DISQUALIFIED PERSON

THE MIRIAM AND PETER HAAS FUND'S ACCOUNTING SERVICES ARE PROVIDED BY ARGONAUT SECURITIES COMPANY OWNERS OF ARGONAUT SECURITIES COMPANY ARE PETER E. HAAS, VICE PRESIDENT OF THE MIRIAM AND PETER HAAS FUND, AND AN INDIVIDUAL UNRELATED TO THE MIRIAM AND PETER HAAS FUND

SERVICES ARE PROVIDED AT FAIR MARKET VALUE

FEDERAL FOOTNOTES
=====

ELECTIONS:

PART VII-B EXPENDITURE RESPONSIBILITY STATEMENT REQUIRED BY REGULATION SECTION 53.4945-5(D) .

1. IN 2002 THE MIRIAM AND PETER HAAS FUND MADE A GRANT TO THE WALTER AND ELISE HAAS FUND, ONE LOMBARD STREET, SUITE 305, SAN FRANCISCO, CA 94111.

2 THE GRANT, IN THE AMOUNT OF \$150,000, WAS MADE ON AUGUST 27, 2002.

3 THE PURPOSE OF THE GRANT SEE ATTACHED DOCUMENTS REGARDING THE CREATIVE WORK FUND

4 AMOUNTS EXPENDED \$150,000.

5 THE GRANTEE HAS NOT DIVERTED ANY OF THE FUNDS FROM THE GRANT'S PURPOSE.

6 DATE OF REPORTS FROM GRANTEE. SEE ATTACHED.

The Creative Work Fund
Final Report: July 1, 2002
(Covering July 1, 2001-June 30,2002)

REPORT AND PROJECT HIGHLIGHTS

Just two weeks ago, the Creative Work Fund awarded \$504,700 in new grants to 15 visual and performing arts projects. Over its history, the Fund has awarded 99 grants totaling \$3,162,851. Fifty-one of these projects are complete and have submitted final reports. A handful of others are finished but have not yet reported results.

Several projects received very good press coverage in recent months, most notably a feature about the Healing Garden at U.C.S.F./Mt. Zion's Cancer Treatment Center in *Landscape Architecture*. With assistance from publicists Driscoll and Horton, a recent installation of quilts by Ellen Oppenheimer and Oakland school students, was featured in local print media and television. Also noteworthy, several of our lead artists have received other awards and grants. John Santos received and David Worm was nominated for an Izzy award for "best composition for a dance performance" for their Creative Work Fund-supported projects; Harrell Fletcher, and "Los Cybrids" (the artists collaborating with Galeria de la Raza) received Creative Capital Fund grants (selected among more than 2,000 applicants nationally), and Laeticia Sonami received a \$50,000 Cal Arts/Alpert Award. Two fund-supported artists—John Leños and Chris Johanson—were featured in the 2002 Whitney Biennial.

In the coming year the Fund is inviting proposals from media and traditional artists. Last year, when the Fund made its first grants in traditional arts, it used a panel of outside nominators to recommend projects. In the coming year the Fund will use a combination of invited nominations and open applications. In spite of its short history in this field (Traditional Arts were first included in 2000), the Fund's director has been invited to speak at a statewide gathering about funding for folk and traditional arts on the grounds that the Creative Work Fund's contribution is "groundbreaking and significant."

The Fund continues to have imitators. The Heinz Endowment's "Creative Heights" program is its closest cousin and has awarded its first set of grants in visual and performing arts. The Joyce Foundation now is researching the Fund's model.

The Fund's expenditures were less than was budgeted in 2001-02 because directors of the contributing foundations agreed with staff to delay the idea of publishing a catalogue or book. Publicists Driscoll-Horton also spent less than anticipated because several projects they planned to promote did not happen according to schedule. Staff has invested in a re-design and updating of the Fund's web site.

SELECTION PROCESS

As reported in January, the Fund received 76 letters of inquiry in performing arts and 49 in visual arts. Carolina Ponce de Leon (visual arts) and Antigone Trimis (performing arts) assisted with the review of these letters. From among the letters of inquiry, 29 performing arts applicants and 27 visual arts applicants were invited to submit full proposals. A few applications dropped out (and one was disqualified) between the letter of inquiry and full proposal stages, resulting in 27 performing arts proposals and 24 visual arts proposals.

The Fund's grants review panels met on June 4 and June 7, 2002. Panel members were:

Performing Arts, June 4, 2002

Julliette Carillo, Director, Hispanic Playwrights Festival, South Coast Repertory Theater, Costa Mesa, California

Judith Mitoma, Director, Center for Intercultural Performance, Department of World Arts & Culture, University of California, Los Angeles, California

Susan Stone, Drama and Literature Director, KPFA, Berkeley, California

Laurie Uprichard, Executive Director, Danspace Projects Inc., New York, New York

Olly Wilson, composer, Berkeley, California

Visual Arts, June 7, 2002

Pilar Aguero-Esparza, Director of Visual Arts, Movimiento de Arte y Cultura Latino Americana, San Jose, California

Harrell Fletcher, artist, Portland, Oregon

Merry Foresta, Photography Curator, Smithsonian American Art Museum, Washington, D.C.

Leonard Hunter, Professor, Art Department, San Francisco State University, San Francisco, California

Byron Kim, painter and writer, Brooklyn, New York

Staff now is updating the Fund's web site and printed guidelines and arranging application seminars for the 2002-03 grants cycle. In June staff hired Russell Mondy to re-design the Fund's web site. As a first step, Russell is revising the information on the current site to reflect the coming year's grants program. He should be finished with the re-designed site by September 1. Work begins on the revised print guidelines next week.

GRANTS AWARDED

Projects recommended by the panels and approved for funding by the four contributing foundations were:

Visual artist *Claudia Bernardi* will collaborate with choreographer *Kimiko Okada* of the *ODC Dance Company* to create "Flight to Ixcán," a performance exploring personal loss

in the context of the rash of political deaths occurring in Central and South America in the 1970s

Artist *Elise Brewster* is collaborating with *Susan Schwartzberg*, *Robin Grossinger* and scientists of the *San Francisco Estuary Institute* to present to a general audience research about the evolution of the Bay. Their medium will be four bayside billboards in San Francisco and the East Bay, to be transformed into site-specific art works that are linked to a web site and to exhibitions at the Lawrence Hall of Science and the San Francisco Public Library.

Christian Burns and Alex Ketley, co-artistic directors of The Foundry, are collaborating with *Yerba Buena Center for the Arts* to create performances and video installations in non-traditional performance spaces within the Center's facility, such as the loading dock, underground mechanical tunnels, administrative offices, and theater dressing rooms.

Artists *Ann Chamberlain*, *Alison Sant*, and *Klover Kim* are collaborating with researchers at the University of California San Francisco Mt. Zion Cancer Center and patients at the *Carol Franc Buck Breast Care Center* to explore the uses of image, metaphor, and language in medical research and in treatment of and communication with patients. The artists' research will culminate in "Architecture of Healing" installations in both the research and treatment centers.

Director *Ellen Sebastian Chang* and *KITKA Women's Vocal Ensemble* are collaborating to create "The Rusalka Cycle," an opera weaving together traditional Slavic folk songs with original new music by composer *Richard Einhorn*. KITKA's nine vocalists and an ensemble of Western classical and Eastern European folk musicians will perform the opera. Its subjects—the Rusalka—are powerful female figures in Slavic Folklore. The collaborators envision transforming their centuries-old stories into a non-narrative, contemporary performance.

Kate Connell and Oscar Melara are collaborating with the *Labor Archives and Resource Center of San Francisco State University* to create "Our Worklife," exploring three generations of Bay Area workers. The finished pieces, three-dimensional murals incorporating archival materials, will be mounted in the interiors of five SamTrans commuter buses in spring 2004

Poet and playwright *Brian Freeman* will collaborate with *Berkeley Repertory Theatre* artistic director *Tony Taccone* and dramaturg *Luan Schooler* to create "Here and There," exploring the politics of HIV/AIDS in South Africa. Mr. Freeman will focus his research on the life of Simon Tseko Nkoli, an international gay rights activist, founder of the Township AIDS Project, and often described as the "Harvey Milk of South Africa." The project will culminate with staged readings and possible production in 2003-04.

Birgit Gehrt will collaborate with the staff and teenaged participants of *The Body Positive*—an agency addressing girls and women's problems with self-esteem and body dissatisfaction and committed to strengthening their sense of self-worth. Collaborators

will create, "Alterations," a series of unconventional body garments and sculptures to be exhibited both in a gallery and on Body Positive's web site

Choreographer and dancer *Joe Goode* will join forces with the *Magic Theatre* and its artistic director *Larry Eilenberg* on a new theatre work. Goode is well known for work combining language and movement and this collaboration on a new piece in the series, "What the Body Knows" continues that exploration with a deeper and more specific focus on text. Work-in-progress presentations will be held in fall 2002.

Actor and director *Margo Hall*, collaborating with the *Z-Space Studio* and playwright *Leigh Fondakowski*, will create a new work revisiting the tragedy of Jonestown. The project seeks to reclaim through extensive research the individual stories behind the tragic deaths in Guyana. Collaborators are working closely with the California Historical Society, which recently acquired extensive archives about the evidence, and with an ensemble of professional Bay Area performers.

Addressing the alarmingly high incidence of breast cancer among residents of the Southeastern area of San Francisco, documentary photographer *Anne Hamersky* is collaborating with the *Margie Cherry Complementary Breast Health Center* to produce public art displaying health messages and raising community awareness of breast cancer support programs in Bayview and Hunters Point. Transit shelter and bus interior posters will feature images and words of breast cancer survivors living in the immediate community.

Composer and musician *Johari Jabir* will collaborate with *Theatre Rhinoceros* on a new musical theater piece exploring hair and the role it plays in identity construction—particularly within the Queer and African American communities. The piece will incorporate a musical score by Jabir, and narrative based on oral histories and interviews, co-written by Jabir and Theater Rhinoceros's co-artistic directors Doug Holsclaw and John Fisher. *Hairstory* will premiere in fall 2002.

Visual artist and vocalist *Laurie Polster*, poet, author, and translator *Ammiel Alcalay*, and the *Magnes Museum* are collaborating to create "Memories of our Future," an interdisciplinary installation exploring the Mizrahi (Judeo-Arab) culture and the complex interplay between Arabs and Jews in the Middle East. The artists seek to uncover intercultural relationships through research into linguistic, historical, musical, political, and mythic sources. Their finished installation will be exhibited at the Magnes Museum with related events at venues throughout the Bay Area.

Playwright and director *Octavio Solis* will collaborate with the ensemble *Campo Santo* to develop and premiere a two-act play inspired by events surrounding a young criminal Latino couple who went on a robbery spree, holding up San Francisco area bars and nightclubs in the 1980s--a true-life story exposing social, political, and cultural undertones of the City.

Composer and musician *Wayne Wallace*, choreographers *Laura Elaine Ellis*, *Aisha Jenkins*, *Robert Henry Johnson*, and *Robert Moses* are collaborating with the presenting organization *ODC/Theater* to create a new work based on the Faith Ringgold quilt, "They Came to America." "Quilt" will comprise three main dance sections, interwoven by music, text, and a movement-based narrative; and will be accompanied by Wallace's 10-piece orchestra.

OVERVIEW OF GRANT APPLICANTS AND RECIPIENTS

The Urban Institute is in the middle of a national research project examining systems for supporting individual artists in the United States. In responding to the Institute's survey forms, staff began gathering information about gender, race and ethnicity of applicants and recipients. The Fund has not been surveying applicants for this information, but staff is making educated guesses based on names and project contexts. The following summaries outline backgrounds of recipients over the most recent three years.

Grants awarded in visual and performing arts—2000

Fiscal Year Ending Date: 6/30/00
 Total Number of Applicants: 141
 Total Number of Recipients: 15
 Total Number of Awards: 15
 Total Amount Awarded: \$501,298

Applicant/Recipient Profiles

Gender	Applicants	Recipients
Male	66	8
Female	75	7

Race & Ethnicity	Applicants	Recipients
African American	24	2
Asian	14	0
Native Hawaiian/Pacific Islander	4	1
Latino/Hispanic	16	3
Caucasian	83	9*
American Indian/Alaskan Native	0	0

*Includes an Iranian recipient and artists with disabilities

Grants awarded in literature, media arts, and traditional arts—2001

2001 was an unusual year for the Fund because we used a nomination process to select grants in the traditional arts. This made the applicant pool smaller than usual.

Fiscal Year Ending 6/30/01

Total Number of Applicants 78
 Total Number of Recipients 17
 Total Number of Awards 17
 Total Amount Awarded \$568,000

Applicant/Recipient Profiles

Gender	Applicants	Recipients
Male	37	10
Female	41	7

Race & Ethnicity	Applicants	Recipients
African American	6	1
Asian	14	3
Native Hawaiian/Pacific Islander	4	2
Latino/Hispanic	7	4
Caucasian	45	5
American Indian/Alaskan Native	2	2

Grants awarded in visual and performing arts—2002

Fiscal Year Ending: 6/30/02
 Total Number of Applicants: 128 (includes both members of three sets of artist teams)
 Total Number of Recipients: 17 (two recipients are artist teams)
 Total Number of Awards: 15
 Total Amount Awarded: \$504,700

Applicant/Recipient Profiles

Gender	Applicants	Recipients
Male	62*	8
Female	66	9

Race & Ethnicity	Applicants	Recipients
African American	20	4
Asian	10	0
Native Hawaiian/Pacific Islander	3	0
Latino/Hispanic	9	3
Caucasian	86**	10
American Indian/Alaskan Native	0	0

*Includes two transgender applicants

**Includes three Iranian-American or Arab-American applicants

CHALLENGES ADDRESSED

Last year's grant awarded to American Indian Contemporary Arts to collaborate with Gilbert Blacksmith was not released until spring 2002 because the organization's executive director has been ill and unable to work with the artist on the Memorandum of Understanding. She has recovered, all paperwork has been submitted, and the grant has been paid.

RECENTLY COMPLETED PROJECTS

Since January 2002, the following projects have been finished and presented to the public:

American Conservatory Theater (Grant awarded: Performing Arts, 199?, \$14,000)

The American Conservatory Theater's (ACT's) collaboration with Kronos Quartet was presented in a workshop version at the grant's culmination several years ago. ACT found the work strong enough for a full production at Theater Artaud in April 2002. Performances sold out and earned strong reviews.

Ruth Asawa Fund (Grant awarded: Media Arts, 1998, \$35,000)

On May 22, 2002, "Each One Teach One: The Alvarado School Arts Program" premiered at San Francisco State University. The 30-minute film begins with the stories of Ruth Asawa and a group of neighborhood artists whom she convened to create an arts program at the elementary school more than 25 years ago. It then traces the history of parent involvement and generational change at Alvarado, culminating with a wonderful tile mural Asawa's son Paul Lanier created with Alvarado students in 1999-2000. Soe's documentary style retains an informal, "handmade" look and matches the film's subject perfectly. Asawa, now frail but still vigilant on behalf of arts education, was honored at the film's opening.

California Academy of Sciences (Grant awarded: Traditional Arts, 2001, \$35,000)

Nigerian Sculptor, Geoffrey Nwogu, painstakingly recreated traditional Mbari clay figures in Cowell Hall at the California Academy of Sciences during February, 2002. Nwogu's piece was a family of three deity figures modeled from mud clay positioned in a decorative structure with a platform and backdrop. This rare sculptural expression is practiced in the Igbo villages near the township of Owerri, in Southeastern Nigeria. For visitors to the Academy—including a significant number of Nigerians—this was their first exposure to the artform. Nwogu was introduced to the tradition as a child but had never had the opportunity to create a Mbari. He is best known for his carvings in wood.

This project faced several challenges, all of which were addressed by the Academy's extraordinary anthropology staff. One of its greatest assets was public exposure—an estimated 250,000 Academy visitors saw the work in progress and completed—and Nwogu tired of answering the public's questions. An extraordinary young intern, Robin Quarrier, from Dartmouth College was assigned to the project soon after it was launched. She proved to be very effective at interpreting it for visitors, keeping Nwogu on project, and documenting the work. She also created a fascinating journal of the sculpture's

development She presented a lecture about the project to Dartmouth faculty when she returned to college in April

The Academy made special efforts to engage the public with the work. It organized "stations" where children could model forms from clay, and a Nigerian musical celebration featuring drummer Onye Onyemaechi. Nwogu's work was featured on the Academy web site, and in local papers including the *San Francisco Chronicle* and *San Francisco Examiner*, and he was interviewed on local radio station KALX.

Project materials challenged the artist. Traditional Mbari figures are fashioned from special mud that is gathered from anthills and includes organic matter and ant spittle Nwogu was working with commercial clay that was not to be fired and he soon encountered the problem of its cracking. After some experimentation, he discovered organic materials to mix into the commercial clay so that it behaved more like the traditional medium. At the project's completion the Academy faced the problem of what to do with it as the sculpture was centrally placed in Cowell Hall and weighed more than a ton. While traditionally, a Mbari sculpture is allowed to "seep back into the soil," the Academy staff had grown very attached to the sculpture and did not want to see it destroyed. Concerned about its weight and tendency to crack, they brought in a forklift to move it to a less central location. The transfer occurred without damage to the piece and it will now live in a corner of Cowell Hall until the Academy's renovation project begins. Beyond the values of providing an opportunity for an artist to experiment with a new medium and create an impressive work, this project introduced a little known cultural practice to both scholars and the general public, and made a significant contribution to the Academy's ethnographic archives.

Media Alliance (Grant awarded: Media Arts, 1999, \$35,000)

Media artist glenda drew completed the CD-ROM "Makibaka!," created through a collaboration with Media Alliance and Pearl Ubungen Dancers and Musicians This project connects to another Creative Work Fund-supported grant, the collaboration between Filipinos for Affirmative Action and Pearl Ubungen to create a performance work about the Philippine-American war. "Makibaka!," the CD-ROM, engaged teenagers as interviewers of elders in the Filipino-American community and incorporated their research along with documentary footage into a media piece that used Ubungen's movement as its central metaphor. Collaborating artists hope the piece will be placed in a kiosk in an historical society or public library.

This project took longer to complete than anticipated due to changes in both lead artists' lives and in administration at Media Alliance Although collaborators' ideas changed over time, the finished project closely resembles the originally described project. The lead artist had never before collaborated with performing artists and described it jokingly as "...an exciting mix...when it works.. other than that it can lead to premature heart failure." Collaborators were thankful that the Creative Work Fund was flexible about allowing them to take the time they needed to complete the project rather than pushing them to adjust their vision and meet the original timeline glenda drew wrote:

This was a great opportunity to work with others, yet retain creative control (unlike the collective work I have done in the past) Pearl is an amazing person and an amazing artist and now my friend The interns are an inspiration The process of collaboration, although at times frustrating, is empowering and enriching. And I believe in the nature of this kind of work This is the kind of art that saves souls and gives people hope

Native American Cultural Center (Grant awarded: Literary Arts, 2001, \$15,000)
Poet Abena Songbird collaborated with the Native American Cultural Center to create a poetry round robin among Native American writers across the country This project culminated with a live performance at SOMARTS (the South of Market Cultural Center), in October 2001 and with publication of "Rounddance," a CD-ROM based on the poem in March 2002. The finished work incorporates a 30-page manuscript and the work of 50 writers.

The lead artist, who is relatively young and inexperienced, received minimal support and assistance from her collaborating organization, which went through a major administrative upheaval soon after the grant was awarded. The Center lost its funding from the San Francisco Arts Commission and its executive director. It was fortunate that the lead artist had brought a third partner into the original collaboration—web designer Derek Wilson—who was very competent; that Andrew Brother Elk, a member of the San Francisco Arts Commission, was supportive of the project, and that SOMARTS was serving as the project's fiscal sponsor. Abena faced delays due to technical glitches and frustrations with the Center, but the project occurred as envisioned. She also struggled with the ambiguities of protecting the writers' of a collaborative document's copyrights on the Web, receiving significant in-kind assistance from other artists' web sites. In spite of these challenges, her idea sparked a lively exchange among the participants, attracting early on well-known Native American writers who set a high standard for "Rounddance."

SOMARTS (Grant awarded: Traditional Arts, 2001, \$35,000)
Cuban Danzón master Roberto Borrell, his Orquesta la Moderna Tradición, and guest artists from both Cuba and the United States presented "Música Cubana: Roots to Timba," on April 14 and 21 at the SOMARTS Cultural Center in San Francisco. The program traced the Spanish, African, and French dance and musical traditions leading to Timba and the closely related forms Danzón, Cha-Cha-Chá, and Guarcha. Staff attended a packed performance on April 21.

Final Reports Received

The Fund recently received final reports for three of the above completed projects (California Academy of Sciences, Media Alliance, and the Native American Cultural Center). In addition, since January 2002 it received final reports on the following projects:

- **Artship Foundation (Grant awarded: \$35,000, Visual Arts, 2000)**
--Artist's report from Ben Trautman

--Organization's report from Slobodan Dan Paich

While this nonprofit organization is troubled by enormous fundraising challenges to preserve and make accessible an aging ship, the collaborators created an intriguing, thoughtful project.

Their shared mission was to explore accessibility for people with disabilities on the ship and their original idea was to create a mechanical sculpture that would lift wheelchairs over the tall thresholds of the ship's doorways. As they collaborated with Ralf Hotchkiss and other experts on design for persons with disabilities, they quickly learned that original mechanical sculptures designed to lift persons in wheelchairs would raise prohibitive liability concerns. Equipment and architectural tools for persons with disabilities are developed and tested at great cost. Trautman explains their reasons for changing course: "To pursue a project that would use a lot of resources with the potential that it would not solve the problem in a safe and dependable way would be a mistake."

Therefore, rather than building one machine for one threshold, they created ramps and an accessible passageway incorporating sculpture. (For example, one ramp on the main deck is made of transparent material. Beneath the transparent "floor" is a bright red kinetic sculpture whose movement mimics that of a door-locking mechanism in the ship's hold—a part of the ship that is not yet accessible.) The artist explains, "We focused our energies on this path so that it would become the most important and preferred route through the ship, not the one that was used only by people with disabilities." Six sculptural installations inhabit this path. "The sculptural installations attempt to span the divide between what is necessary and what is a wish, a hope, that the world could...go beyond the code book and begin to work on a language of invention and spirit that could benefit people beyond issues of mobility."

This project was deeply collaborative. The artist and organization worked well together and with Access Innovations, a firm specializing in accessible solutions. The artist is also an architect and wrote that while collaboration was common in architectural projects, this was the first time he had collaborated as a sculptor and that it was effective in "...pushing me beyond my self-imposed limits, and making me reconsider some of my approaches and techniques." He concluded:

The collaboration, the conversations and disagreements, the context of solving a problem, is a way to allow my work to begin to peer out of its shell and consider the complicated world outside....

- **Filipinos for Affirmative Action (Grant awarded: \$35,000, Performing Arts, 2000)**
--Artist's report from Pearl Ubungen
"Tagulaylay/The Presidio" was a large-scale, site-specific work that premiered at El Polm Spring in San Francisco's Presidio in September 2001. The performance was one-hour in length and performed six times. It was inhibited by the "extreme

difficulty” of working with the Presidio Trust; unanticipated problems with the INS and travel plans for Filipino artist Joey Ayala, and lead artist Pearl Ubungen’s suffering a serious injury that required her to perform in a body cast (which one reviewer praised as a particularly effective costume) Filipinos for Affirmative Action organized volunteer involvement in the project and outreach activities—a panel discussion with leading Filipino-American artists, community-based workshops, and presentations.

Ubungen has developed an important body of work incorporating historical content and interaction with community groups. “Tagulaylay” allowed her to extend her collaborative partnerships to include musician Joey Ayala and dig deeper into Filipino-American history. It was the largest site work she has ever made. She reports, “...the piece told of an important part of history that continues to be overlooked and...the target audience of Bay Area Filipino Americans was reached. Also important to me was the work that occurred internationally as collaborative/cultural exchange here in the Bay Area and in the Philippines.”

- **Galeria de la Raza (Grant awarded: \$35,000, Visual Arts, 2000)**
 - Artist’s report from John Leafios
 - Organization report from Carolina Ponce de Leon

A relatively young, three-member artist team, calling themselves “Los Cybrids,” led an ambitious critique of new media in the Latino/Chicano community. Their project included on-line art, the installation “Techno-Promesas: Putografia Virtual”; three “performalogues” fusing multi-media performances with panel discussions; three digital murals; and three performances. To expand audiences, events were held both at Galeria de la Raza and The Lab. This project stretched the artists to work with media that were new to them, and led to continuing collaboration among the artists for which they recently received a grant from the Creative Capital Fund.
- **Intersection for the Arts (Grant awarded: \$35,000, Performing Arts, 2000)**
 - Organization report from Deborah Cullinan

Intersection’s collaboration with jazz composer Marcus Shelby resulted in a critically acclaimed production of *The Lights* by Howard Korder. As they entered the project collaborators discovered that they did not have sufficient funds to pay for the rights to adapt the play to a different setting nor to incorporate dance as fully as planned in the original proposal. Therefore, their collaboration focused on developing a musical version of the Obie-award winning play. They also realized the limitations of mounting the play (which includes a large cast, and—in this version, a twelve-piece orchestra) in Intersection’s small theater and moved the production to ODC/Theater which served both as a venue and community partner in developing the work. In spite of these changes in venue and approach, the collaborators produced an artistically strong, positively-reviewed project addressing the spirit of the original proposal.

- **Oakland Ballet (Grant awarded: \$35,000, Performing Arts, 2000)**
 --Organization report from Reneé Heider
 "Unión Fraternal," an original work based on the Cuban danzón tradition by Robert Moses and John Santos, was described quite well by lead artist Santos in an earlier report, but the Ballet's point of view was added by Heider, who explained, "Ballet is an art form that has historically, in large measure, ignored people of color—in all areas—audience, dancers, choreographers, staffs, and boards. One of Oakland Ballet's primary objectives is to right this omission." In addition to expanding their repertoire, the ballet used the premiere as an opportunity to expand promotion of their work in bi-lingual and ethnic press.

This project's accomplishments extended past its initial presentation. The Oakland Ballet re-mounted the ballet in 2001 after its warm reception in 2000. The Cincinnati Ballet performed in April of 2002 and in May of this year John Santos won an Izzy Award (The Isadora Duncan Dance Award) for this work in the category of "best original dance score of 2000-01." To do so, he won over Creative Work Fund recipient David Worm, also a nominee, who had collaborated with Project Bandaloop (see below).

- **Precita Eyes Mural Arts Center (Grant awarded: \$35,000, Visual Arts, 1997)**
 --Organization report from Susan Cervantes
 Precita Eyes Mural Arts Center collaborated with artist Seyed Alavi, Estria, and Mission District teenagers to create "What do you Think?" temporary murals based on comic strip thought balloons. Collaborators originally planned to create 30 murals, but limited the project to 23 when the time and cost of securing sites exceeded the original project budget. Artistically successful (many of the sites chose to keep their murals permanently and follow-up grants from other foundations allowed collaborators to produce postcards based on the images), this collaboration turned cold mid-way. Alavi complained that Precita Eyes was charging too much money to administer the grant and Precita Eyes complained that Alavi stepped away from the murals after the design stage, counting on Estria, guest artists, and the teenagers to paint them. The two artists—Alavi and Estria—seemed to work well together and used the project to experiment with collaborative design by computer. Cervantes reports, "Even to this day Precita Eyes continues to receive positive calls responding to the art work," and "The most meaningful part of the project was Seyed's concept which brought the opportunity and outlet for the youth to express themselves under the direction of Estria, who is a great spraycan artist, computer graphic designer and teacher."
- **Project Bandaloop (Grant awarded: \$35,000, Performing Arts, 2000)**
 --Organization report from Amelia Rudolph
 "Resonate," a new twenty-four minute dance by a capella musicians SoVoSo, lead artist David Worm, choreographer Amelia Rudolph, Project Bandaloop, and sculptor Lawrence La Bianca, premiered at Project Artaud May 24 2001. Rudolph, writing on behalf of Project Bandaloop, "...was happily surprised by

David Worm's ability to work with my movement images and timing needs with such flexibility." This project seems to have developed in a manner that was very close to the original plan. In addition to her pleasure in working with SoVoSo, Rudolph writes of her delight at sculptor LaBianca's playful response to her desire for "hanging trees" from which to dance. The collaborators' greatest challenge was finding rehearsal times that all seven dancers and five musicians could meet. The results earned warm reviews from the press and significant pre-event publicity.

Rudolph writes:

One of the direct effects of this grant was to cross pollinate audiences from music, dance and the plastic arts as well as the outdoor enthusiasts and environmentally oriented public. Especially the well established audience bases of Project Bandaloop and SoVoSo met for the first time in one space. The relationship I developed with each of the collaborating artists...will likely result in future collaborations."

- **Tenants and Owners Development Corporation (Grant awarded: \$30,000, Visual Arts, 1998)**
 - Organization report from John Elberling

Tenants and Owners Development Corporation's (TODCO's) director reported on process and results of the low income housing development agency's collaboration with artist Ned Kahn to create a wind-activated sculpture on the exterior of Ceatrice Polite Apartments at 321 Clementina Street. Over the course of six months the Clementina Commons advisory group worked with the artist to review the project concept and design, and "They are very pleased with the wallart." Elberling writes: "As intended, it has become a dramatic element in the Yerba Buena Neighborhood's cityscape that very strongly reasserts the timeless presence of natural forces—light and wind—in this very man-made contemporary place." The organization would like to hire the artist to create another piece on an adjacent wall.
- **Zyzyva (Grant awarded: \$35,000, Literary Arts, 1998)**
 - Organization report from Howard Junker
 - Artist reports from Heather Drohan, Chiam Bertman, and Paul Flores

Zyzyva's final report may prove to be the Fund's quirkiest. The project commissioned six writers to create first novels through close editing relationships with Zyzyva's editor Howard Junker. Realizing that not all of these first attempts would prove to be publishable, the project proposed to publish four books. Junker feels, in retrospect, that none of the finished novels was truly excellent and learned along the way that developing a first full-length book takes a significant amount of time during which writers may best be left to their own processes. Not only was the development stage rocky, Junker encountered a serious disagreement with his fourth novelist, Robert Mailer Anderson, when he refused to reprint Anderson's novel *Boonville*. Anderson hired an attorney to threaten Junker, but

the contract he had signed gave *Zyzyva* the option of reprinting, not an obligation to reprint

If Junker had differences with his writers, they (with the exception of Heather Drohan) also found him to be difficult. Bertman and Flores both describe good beginning relationships that deteriorated into their being yelled at by the editor. Anderson called Junker names

While a poor example of collaboration, this project yielded some good results. Bertman's and Anderson's novels were both positively reviewed. HarperCollins bought the paperback rights to Anderson's novel *Boonville* for \$50,000, and these proceeds were split between the author and *Zyzyva*, benefiting both. Inspired by the project, a *Zyzyva* board member gave the magazine funds to sustain the "Zyzyva Discovery" series of first books with a poetry series. Junker learned through this process that if he were to invest in publishing a book he wanted the full, completed manuscript up front before. The poetry series provides this option. He found working in a commissioning mode to be less successful.

Projects Nearing Completion

The art.re.grup/The Lab (Grant awarded: \$35,000, Media Arts, 2001)

The Lab's collaboration with Chris Salter and the artist members of the collaborative group Sponge is currently on display inside and outside the Lab's gallery on 16th Street. The "saunas," as the artists named their media environments are large white cone-like structures fitted with electronic devices and suspended from the Redstone Building's roof. Passersby, traffic, and other motion from the street and sidewalk cause the saunas to elicit sounds (resembling Tibetan bells) and light patterns. They are particularly dramatic at night. In conjunction with the "sauna" installation, the Lab hosted panel discussions about media's role in contemporary architecture and resources supporting temporary sited sculptures. (The Creative Work Fund's director was included on the latter panel)

Creativity Explored (Grant awarded: \$35,000, Visual Arts, 2000)

Artists Harrell Fletcher and Chris Johanson collaborated with David Jarvey and others from Creativity Explored to create a poster series and artists' book exploring the concept of "the universe." The project was delayed by Harrell Fletcher's heavy national exhibition schedule and his moving to Portland, Oregon. The books and posters are now finished and will be distributed when schools re-open in the fall. (See book sample in appendix)

Tinker's Workshop/New Langton Arts (Grant awarded: \$35,000, Performing Arts, 2000)

Composer Laeticia Sonami and sculptor Nick Bertoni of the Tinker's Workshop have been working with East Bay teenagers to design sound and movement for their kinetic "bags" sculptures. Finished works will be exhibited at New Langton Arts in September 2002

PRESS COVERAGE

In the last six months, the Fund's most impressive press coverage was a feature article about the Healing Garden (a grant awarded in 1994 to the U.C.S F./Mount Zion Health Center collaborating with Ann Chamberlain) in *Landscape Architecture*

The Fund's publicists Driscoll and Horton have faced similar problems to those encountered by our previous publicist, Carla Befera, with planned projects occurring later than originally scheduled and poor communication from artists to the publicists. They planned a press "splash" for the opening of the Artship sculptures but, upon conferring with Creative Work Fund staff, decided not to pursue the project when we learned that Artship staff did not secure a permit for the event. They have intended to work with Creativity Explored and Harrell Fletcher but the release date for that project's publications were moved from January to late spring to next fall (see above). Last month they worked successfully with quilt artist Ellen Oppenheimer who recently completed several murals with public school children (see clippings and postcard samples in appendix). These are now on display at the Fruitvale branch of the Oakland Public Library. Driscoll and Horton attracted coverage of the opening in the *Oakland Tribune* and on KGO-TV. The artist was delighted

Driscoll and Horton now are working on a "behind the scenes" story for the Tinker's Workshop project. Because their work load has been lighter than anticipated for the year, their fees and expenses are also below budget (see financial report below and in appendix).

GRANTS SELECTION PROCESS FOR TRADITIONAL ARTS

When the Fund evaluated its program in 1999, stakeholders recommended its adding a category for traditional (sometimes called "folk," or "ethnic") artists. Last year the Fund awarded six grants for \$35,000 to projects featuring traditional artists. Two of these projects are complete and have been very successful. The 2000 traditional arts grants were recommended to the Fund by a committee of expert nominators who also served as a peer review panel, examining the projects as a group before proposing that they be funded.

The Fund experimented with this selection process for several reasons:

- Due to language and cultural barriers and limited opportunities, many traditional artists do not apply for grants and if they do, they are at a disadvantage due to lack of experience and lack of documentation
- Because of lack of experience with grantseeking, traditional artists may be deeply offended by a grant denial that they may see as rejecting not just themselves, but their entire cultural traditions.

While the Fund had highly regarded nominators (including the revered Dr. Beverly Robinson who died earlier this year), the steps between recommending the grants and awarding the grants did not seem to be sufficiently rigorous. Had one of the nominations been inadequate, it would have been difficult for the panel to recommend against it

Another problem was that while nominators may be good at identifying excellent artists, it is much trickier for them to nominate a collaboration. Soon after the grants were awarded, two of the artists' relationships with their collaborating organizations fell apart and staff helped them find different collaborators. Finally, the nominators felt as if they had "creamed" the traditional arts field, choosing generally well-known, established artists. Part of the Creative Work Fund's intention in supporting traditional arts was to honor artists with deep ties to their communities who may not be widely known. Conferring with two of last year's nominators, staff is recommending a modified approach for 2002-03.

- Using a selective list provided by the Anthropology Department at the California Academy of Sciences, the Fund will invite twenty or so culturally-specific and community based organizations to nominate artists and collaboration.
- Traditional artists and other organizations also may submit letters of inquiry, following the process used by artists in other fields.
- A committee of three experts (two of whom will be drawn from last year's panel) will review the nominations and letters and select approximately 15 for mini-grants of \$500 to document their work and to submit full proposals. They also will receive the \$200 proposal submission subsidy offered to applicants in other categories.
- A grants review panel of three members who were not involved with the nomination/invitation process will review full proposals and recommend seven or eight grants.

By working with culturally-specific organizations, the Fund hopes to identify artists who may be revered in their communities but less well known to generalists. It also hopes to find "natural" collaborative relationships by placing the nomination in the hands of community members rather than folklorists. By offering the documentation mini-grants, the Fund intends to honor all of the finalists and address an acute problem in the traditional arts field where few artists and organizations have documented their work in ways that would help them to seek grants, exhibitions, or contracts with presenters.

While awarding the mini-grants will increase project expenditures, limiting the panel size and applicant pool will contain costs.

FINANCIAL ANALYSIS OF PROJECTS

Enclosed in the report appendix is a summary of Creative Work Fund projects' finances. These analyze all proposed and funded projects, all completed projects, and projects by art form. In general, over the past year average project costs have risen. (The average proposed project budget size was \$57,973 a year ago and is now \$79,665.) If this trend continues, the Fund may wish to re-visit the question of whether or not to increase the optimum grant amount. Otherwise artists' fees may decline.

As of June 30, 2002

- Creative Work Fund grants total \$3,162,851. The average grant size is \$31,984 and has been rising gradually. (One year ago it was \$29,676)
- Total project budgets for those projects are \$6,236,625
- The average proposed project budget size is \$62,996, the average completed project budget size is \$58,389 (and the mean completed project budget size is \$36,290)
- Artists fees represent \$2,852,783 (46%) of total project budgets
- Lead artist's fees represent \$1,011,296 (16%) of total project budgets
- Average lead artist fees are \$8,530

Finished projects have gone slightly over budget, averaging 102% of estimated costs. Sadly, when project budgets are cut, that reduction seems to come from the lead artists' fees, which average 89% of their proposed payments. Total artists fees, however, are close to being on track at 99% of proposed payment levels.

Comparisons by art form include.

- The highest expenses are in the performing arts with finished projects averaging \$79,665. The second most expensive projects are in visual arts, where statements for completed projects average \$53,606.
- The least expensive projects are in the literary arts, with finished projects averaging \$32,418.
- The highest lead artist fees are in the traditional arts, where the one completed project paid Geoffrey Nwogu \$21,750.
- Next to traditional arts where the average is skewed by the one-item survey sample, the highest lead artist fees are in the visual arts, where they average \$9,127.

FINANCIAL REPORT AND BUDGET

A spreadsheet comparing budgeted and actual expenses is enclosed in the report appendix. Staff has made one change in the financial report presentation stipends to applicant organizations have been moved up to the "CWF Grants" category because they awarded selectively and to 501(c)(3) nonprofit organizations. A quick expense overview for 2001-02 may be summarized as.

	Budgeted	Actual
Grants & Stipends Awarded 2001-02	\$535,000	\$515,300
Administrative Costs	51,200	52,495
Publicity, Convening & Evaluation	76,000	16,886
Total	\$642,200	\$579,681

Some notes to this synopsis are

- An unanticipated expense incurred in the administrative budget was the \$8,000 paid to Silk, Adler, and Colvin for advice about structuring and endowing the Fund

- Mid-year staff and representatives of the contributing organizations decided to hold off on publishing a catalogue or book about the Creative Work Fund. This saved \$44,000 from the "Publicity, Convening" aspect of the budget, and when added to savings from Driscoll and Horton (noted above), the Fund was well below budget in that area. At present staff is holding on to some surplus funds moving forward in case the collaborating foundations decided to revive idea of creating a book.
- Approximately \$10,000 that was planned for publications is being used to revise and re-design the Creative Work Fund web site.
- ~~The One Lombard VCR and/or television needs to be replaced before the coming year's media panel meets. Staff anticipates sharing these costs with others at OLA, and has budgeted a one-time expense of \$350 toward this equipment purchase in 2002-03.~~
- The 2001-02 budget was intended to cover the selection process for the visual and performing arts grants (awarded in June). Some panel members' travel expenses have not been submitted, so the "Panelists' Airfare and Per Diem" category for 2001-02 may rise slightly. Staff has increased the allocation in this category for the coming year as travel costs have risen.

Creative Work Fund July 1, 2002 Report
Appendices

Financial Information

1999-2004 Budget
Showing Actual Expenses for 1999-00, 2000-01, and 2001-02

Summary of Creative Work Fund Project Finances
~~Comparing Projected to Actual Project Budgets;~~
Analyzing Payment of Artists
And Comparing Projects by Art Form

Letters

Thank you letter from Marcus Shelby

Clippings

"Nigerian Altar at the Academy," Jesse Hamlin, *San Francisco Chronicle*,
February 14, 2002

"The Gateway Project," review by Harry Roche, *Artweek*, June 2001
(Includes Review of Los Cybrids)

"That's 'Danzon' Oakland Ballet premieres 'Union Fraternal,'" *The Oakland Tribune*, November 2000

"A Meditation on Danzón," Allen Ulrich, *San Francisco Examiner*,
November 13, 2000

"Oakland Ballet explores Robert Moses' Distinctive Style," by Jeanne Fogler,
Tri-Valley Herald, November 12, 2000

"'Dark Light' highlight of ballet offerings," by Kathryn Roszak Castle,
The Oakland Tribune, October 16, 2001

"Project Bandaloop Rock Face Dancers Take it Indoors," by Jennifer Baldwin,
ANG Newspapers, May 20, 2001

"Dancing on Air," by Jennifer Baldwin, *Oakland Tribune*, May 2001

"Dances with Mountains," by Roger Yim,
San Francisco Chronicle Datebook, May 23, 2001

"Climbing on the Wall, Dancing the Floor," Rachel Howard,
San Francisco Examiner, May 30, 2001

"Busting up in Boonville," by David Kipen,
San Francisco Chronicle, November 11, 2001

"Pretty Patchwork," *Oakland Tribune*, June 5, 2002
"Parade of Patchwork," *Montclairion*, June 7, 2002

Project Print Materials

"What do You Think?" postcard series,
Project with Precita Eyes Mural Arts Center and Seyed Alavi

"Project Bandaloop, Vertical Dance," performance announcement card

Glenview, Redwood and Fruitvale quilts (postcards), project with
Oakland Public Library and Ellen Oppenheimer

"Burbank Strip Quilts," Eastmont Library bookmark
Project with Oakland Public Library and Ellen Oppenheimer

A Place Called the Universe, artists' book by Creativity Explored
And Harrell Fletecher

	Budget	Actual							
INCOME	1999 00	1999 00	2000 01	Actual	2001 02	Actual	2002 03	Actual	2003 04
Carry Forward			\$41,064	\$41,064 00	\$15,806 54	\$15,806 54	\$56,125	\$56,125	\$17,275
Columbia Foundation	\$150,000	\$150,000 00	\$150,000	\$150,000 00	\$155,000 00	\$155,000 00	\$155,000	\$155,000	\$155,000
E&WH Jr Fund	\$150,000	\$150,000 00	\$150,000	\$150,000 00	\$155,000 00	\$155,000 00	\$155,000		\$155,000
M & PH Fund	\$150,000	\$150,000 00	\$150,000	\$150,000 00	\$155,000 00	\$155,000 00	\$155,000	\$155,000	\$155,000
W&EH Fund	\$150,000	\$150,000 00	\$150,000	\$150,000 00	\$155,000 00	\$155,000 00	\$155,000	\$155,000	\$155,000
TOTAL	\$600,000	\$600,000 00	\$641,064	\$641,064 00	\$635,806 54	\$635,806 54	\$676,125	\$521,125	\$637,275
EXPENSES									
CWF Grants	2 Rounds		3 Rounds		2 Rounds		2 Rounds		2 Rounds
Grants Awarded	\$490,000	\$501,298 00	\$545,000	\$568,000 00	\$525,000	\$504,700 00	\$560,000		\$525,000
Application Stipends	\$10,000	\$9,800 00	\$10,000	\$9,200 00	\$10,000	\$10,600 00	\$15,500		\$10,000
Total Grants	\$500,000	\$511,098 00	\$555,000	\$577,200 00	\$535,000	\$515,300 00	\$575,500		\$535,000
Administrative Costs									
Salaries & Benefits					\$15,000	\$12,000 00	\$15,000		\$15,000
Consultants (attorneys)						\$8,000 00			
Letterhead & Flyers	\$2,000	\$1,484 90	\$2,000	\$1,792 40	\$2,000	\$1,823 73	\$2,000		\$2,000
Guidelines	\$8,000	\$9,792 02	\$9,000	\$9,113 88	\$9,200	\$8,535 41	\$9,500		\$9,500
Web Designer					\$0	\$3,166 67	\$8,500		\$2,500
Website hosting	\$300	\$150 00	\$330	\$30 00	\$300		\$500		\$500
Mailing Lists/Ads	\$1,000	\$312 38	\$1,000	\$240 98	\$750	\$475 00	\$750		\$750
Mailing House	\$4,000	\$2,659 77	\$4,000	\$2,621 24	\$3,000	\$1,626 22	\$3,200		\$3,200
General Postage					\$5,000	\$1,584 21	\$5,000		\$5,000
Seminars	\$750	\$730 00	\$750	\$468 97	\$750	\$486 93	\$750		\$750
Panelists' Honoraria	\$6,000	\$6,000 00	\$6,000	\$6,000 00	\$6,000	\$6,000 00	\$6,750		\$7,500
Panelists' Airfare & Per Diem	\$8,000	\$8,389 27	\$8,000	\$8,186 24	\$8,200	\$8,573 97	\$6,800		\$8,500
Folkarts Nominators/Readers			\$7,500	\$10,500 00			\$2,250		
Nominators travel/per diem			\$4,000	\$1,632 61			\$1,500		
Equipment Purchase							\$350		
Misc Expenses	\$1,000	\$846 60	\$1,000	\$842 31	\$1,000	\$223 35	\$1,000		\$1,000
Subtotal	\$31,050	\$30,364 94	\$43,580	\$41,428 63	\$51,200	\$52,495 49	\$48,850		\$56,200
PUBLICITY									
Graphic Artist			\$14,000						
Publicists' Fees	\$14,500	\$9,000 00	\$20,000	\$1,700 00	\$14,000	\$5,100 00	\$15,000		\$16,000
Publicists' Expenses	\$11,500	\$6,595 05	\$12,750	\$637 17	\$13,000	\$112 59	\$13,000		\$13,500
W&EHF Publicity costs				\$201 86	\$500		\$500		
Convening Recipients/Eval	\$3,500	\$2,698 74	\$3,500	\$4,089 80	\$4,500	\$6,673 30	\$6,000		\$6,000
Publications			\$28,000		\$44,000				
Publication Postage			\$6,000						
Subtotal	\$29,500	\$18,293 79	\$84,250	\$6,628 83	\$76,000	\$11,885 89	\$34,500		\$35,500
TOTAL Admin & Publ	\$60,550	\$48,657 79	\$127,830	\$48,056 00	\$127,200	\$64,381 38	\$83,350		\$91,700
GRAND TOTAL	\$560,555	\$558,935 98	\$682,830	\$625,257 46	\$642,200	\$579,681 38	\$658,850		\$626,700
Balance		\$41,064 00		\$15,806 54		\$56,125 13	\$17,275		\$10,575

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

	All Projects	All Complete	Average All	Average All	Visual	Visual	Performing	Performing	Literature	Literature	Media	Media	Traditional	Traditional
	Total Budgets	Actual	All Funded	Completed	Proposed	Complete	Proposed	Complete	Proposed	Complete	Proposed	Complete	Proposed	Complete
Proposed Budgets	\$6,236,625	\$2,927,658	\$62,996	\$57,405	\$48,040	\$52,510	\$75,178	\$70,140	\$41,476	33,465	\$73,170	\$58,774	\$44,155	\$35,130
Actual Expenses		\$2,977,863		\$58,389		\$53,606		\$79,665		32,418		\$33,683		\$36,290
Actual % of Proposed		102%		102%		102%		114%		97%		57%		103%
All Awarded CWF Grants	\$3,162,851	\$1,546,028	\$31,984		\$30,158		\$32,257		\$31,223		\$32,733		\$35,000	\$35,000
CWF Grants Completed Projects				\$30,314		\$28,227		\$31,508		21,317		\$31,250		
CWF Grant % of Total	51%		51%	52%		53%		40%	75%	64%	45%	93%	79%	96%
All Proposed Artists Fees	\$2,852,783	\$1,374,865	\$28,816	\$26,958	\$22,114	\$23,806	\$39,980	\$38,075	\$21,442	15,208	\$20,225	\$16,435	\$26,317	\$21,750
Artists Fees Completed		\$1,362,322		\$26,712		\$20,112		\$41,827		13,905		\$15,448		\$21,750
Actual % of Proposed		99%		99%		84%		110%		91%		94%		100%
% Represented by Artists Fees	46%	46%	46%	46%		38%		53%		43%	28%	46%	60%	60%
Proposed Lead Artists Fees	\$1,011,296	\$440,723	\$10,215	\$8,642	\$10,013	\$9,331	\$9,876	\$9,007	\$11,387	5,533	\$7,439	\$6,040	\$16,425	\$21,750
Actual Lead Artists Fees		\$392,362		\$8,530		\$9,127		\$8,333		5,210		\$6,253		\$21,750
Actual % of Proposed		89%		99%		98%		93%		94%		97%		100%
% Represented by Artists Fees	16%	13%	16%	15%		17%		10%		16%	10%	19%	37%	60%

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Project	Proposed Budget	Actual Expenses	CWF Grant	Proposed Artists Fees	Actual Artists Fees	Lead Artist Fees	Lead Actual
1 African American Museum & Library	\$43,000		\$35,000	\$37,000		\$25,000	
2 American Conservatory Theater	\$24,000	\$14,000	\$14,000	\$16,000	\$10,000	\$10,000	\$10,000
3 American Indian Contemp Arts	\$35,000		\$35,000	\$15,580		\$3,800	
4 Artship Foundation	\$35,000	\$31,117	\$35,000	\$10,000	\$10,000	\$10,000	\$10,000
5 Asian American Theater Company	\$112,424	\$62,805	\$35,000	\$59,449	\$39,395	\$27,640	?
6 Asian ImProv Arts	\$66,000		\$35,000	\$34,000		\$17,000	
7 Asian Women's Shelter	\$30,333	\$28,000	\$28,000	\$15,700	\$18,635	\$9,600	\$9,318
8 Axis Dance Co	\$45,800	\$67,500	\$35,000	\$25,500	\$30,000	\$3,000	\$3,000
9 Bay Area Radio Drama	\$35,000	\$35,763	\$35,000	\$18,400	\$18,100	\$1,200	\$1,200
10 Berkeley Art Center Association	\$20,093	\$36,675	\$19,510	\$12,000	\$12,000	\$12,000	\$12,000
11 Berkeley Repertory Theatre	\$59,600		\$35,000	\$24,000		\$10,000	
12 The Body Positive/Tides Center	\$35,000		\$35,000	\$12,000		\$12,000	
13 Brava! for Women in the Arts	\$54,745	\$73,500	\$25,000	\$33,985	\$21,923	\$5,000	\$3,000
14 Brava! for Women in the Arts	\$50,750	\$41,819	\$35,000	\$21,600	\$11,019	\$2,500	\$6,000
15 Campo Santo	\$47,625		\$35,000	\$24,600		\$3,000	
16 Carol Franc Buck Breast Care Center	\$50,820		\$34,700	\$24,600		\$9,000	
17 California Academy of Sciences	\$38,352		\$32,610	\$21,470		\$12,000	
18 California Academy of Sciences	\$35,130	\$36,290	\$35,000	\$21,750	\$21,750	\$21,750	\$21,750
19 Casa Segura	\$30,000		\$30,000	\$10,000		\$10,000	
20 Chanticleer	\$148,388	\$193,560	\$35,000	\$119,600	\$114,635	\$15,000	\$15,000
21 Margie Cherry Complementary...	\$35,000		\$35,000	\$9,000		\$9,000	
22 Community Defense Fund, Inc	\$35,000		\$35,000	\$19,000		\$8,000	
23 Community Works	\$35,000		\$35,000	\$27,000		\$27,000	
24 Creativity Explored	\$13,500	\$13,500	\$13,500	\$9,300	\$9,864	\$6,000	\$6,000
25 Creativity Explored	\$35,000		\$35,000	\$17,000		\$6,500	
26 Cultural Heritage Choir	\$224,343		\$35,000	\$77,300		\$15,600	
27 Cultural Odyssey	\$55,000	\$83,750	\$35,000	\$20,700	\$30,000	\$10,000	\$10,000
28 Cultural Odyssey	\$45,325	\$44,725	\$35,000	\$22,900	\$22,900	\$12,000	\$12,000
29 Dublin Fine Arts Foundation	\$25,000	\$25,000	\$25,000	\$12,000	\$12,000	\$4,000	\$4,000
30 East Bay Habitat for Humanity	\$35,000	\$34,202	\$33,985	\$27,500	\$26,702	\$11,000	\$5,145
31 East Bay Media Center	\$43,190		\$35,000	\$17,000		\$15,000	
32 Edible Schoolyard	\$24,580	\$23,980	\$23,980	\$14,000	\$14,000	\$14,000	\$14,000
33 Farallon Research Station	\$32,523	\$32,523	\$32,523	\$12,000	\$12,000	\$6,000	\$4,000
34 Filipinos for Affirmative Action	\$192,630		\$35,000	\$108,694		\$30,000	

35	509 Cultural Center	\$27,056		\$26,275	\$9,000		\$9,000	
36	Friends of the Oakland Pub Library	\$30,035		\$30,035	\$23,040		\$23,040	
37	Fruitvale Elementary School	\$35,000		\$30,000	\$20,000		\$13,500	
38	Galeria de la Raza	\$21,880	\$27,704	\$21,245	\$6,000	\$6,000	\$1,500	\$1,500
39	Galeria de la Raza	\$66,000	\$62,710	\$35,000	\$24,000	\$24,000	\$8,000	\$8,000
40	Gay and Lesbian Hist Society	\$37,473		\$29,673	\$12,000		\$6,000	
41	Global Exchange	\$211,500		\$35,000	\$27,150		\$8,000	
42	Green Policy Institute	\$35,300		\$35,000	\$30,000		\$30,000	
43	Hayward Area Historical Society	\$36,000		\$35,000	\$24,000		\$24,000	
44	Horace Mann Academic Middle	\$37,918	\$28,850	\$28,850	\$6,000	\$7,300	\$6,000	\$7,300
45	Huong Viet Community Center	\$34,776	\$33,964	\$32,900	\$12,000	\$12,000	\$10,000	\$10,000
46	Intersection for the Arts	\$35,000	\$58,721	\$35,000	\$14,900	\$29,340	\$4,000	\$4,000
47	Jon Sims Center for the P Arts	\$165,880		\$35,000	\$69,800		\$9,000	
48	Kitka Women's Vocal Ensemble	\$179,310		\$35,000	\$110,650		\$15,000	
49	Koncepts Cultural Gallery	\$48,340	\$36,059	\$25,000	\$31,350	\$19,528	\$7,000	?
50	Labor Archives and Resource Center	\$35,000		\$35,000	\$16,000		\$16,000	
51	La Casa de las Madres	\$29,650	\$25,005	\$25,000	\$11,000	\$17,600	\$4,500	\$2,760
52	The Lab/CAMP	\$32,500	\$32,500	\$32,500	\$14,270	\$16,790	\$3,150	\$6,000
53	The Lab/Sponge	\$35,000		\$35,000	\$12,000		\$4,000	
54	Magic Theatre	\$35,000	\$79,605	\$35,000	\$26,600	\$52,940	\$2,500	\$5,700
55	Magic Theatre	\$60,000		\$35,000	\$44,046		\$10,000	
56	Magnes Museum	\$154,500		\$35,000	\$28,000		\$14,000	
57	Manifest Press	\$46,999		\$33,000	\$21,999		\$7,333	
58	Media Alliance	\$35,000		\$35,000	\$22,900		\$7,250	
59	Mission Neighborhood Centers	\$22,900	\$22,900	\$22,900	\$14,250	\$15,860	\$12,000	\$12,191
60	Native American Cultural Center	\$21,000	\$16,670	\$15,000	\$10,500	\$13,800	\$3,000	\$3,850
61	Oakland Ballet	\$118,713	\$90,288	\$35,000	\$80,796	\$80,796	\$15,000	\$15,000
62	Oakland Sharing the Vision	\$140,000	\$203,540	\$35,000	\$77,500	\$31,359	\$9,000	\$6,783
63	ODC/San Francisco	\$108,000		\$35,000	\$80,359		\$10,000	
64	ODC/Theater	\$89,500		\$35,000	\$41,400		\$3,000	
65	On Lok, Inc	\$42,610	\$56,475	\$35,000	\$15,500	\$15,500	\$8,800	\$15,500
66	Opera Piccola	\$66,680	\$65,920	\$35,000	\$41,000	\$41,600	\$15,000	\$15,000
67	Paul Dresher Ensemble	\$52,800	\$54,350	\$32,250	\$28,750	\$37,450	\$10,000	\$10,000
68	Persian Center	\$35,000		\$35,000	\$15,050		\$15,050	
69	Poetry Flash	\$61,675	\$36,052	\$20,000	\$19,000	\$15,000	\$12,000	\$10,000
70	Precita Eyes Mural Arts Center	\$35,000	\$38,600	\$35,000	\$13,725	\$23,103	\$10,725	\$15,215

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

71	Programs for People	\$35,000	\$45,000	\$35,000	\$8,500	\$15,000	\$8,500	\$15,000
72	Project Bandaloop	\$36,505	\$59,050	\$35,000	\$23,400	\$30,750	\$6,000	\$7,000
73	Regents of U C /Cal Performances	\$166,070	\$85,475	\$30,400	\$30,400	\$60,800	\$6,000	?
74	Rose Resnick Lighthouse	\$34,650		\$30,000	\$10,000		\$5,000	
75	Ruth Asawa Fund	\$35,400		\$35,000	\$8,500		\$8,000	
76	S F Arts Education Project	\$35,000	\$65,260	\$35,000	\$15,000	\$15,000	\$7,500	\$7,500
77	San Francisco Camerawork	\$57,480		\$30,530	\$15,000		\$7,500	
78	S F Contemporary Music Players	\$26,500	\$25,556	\$25,500	\$15,000	\$15,000	\$15,000	\$15,000
79	S F. Contemporary Music Players	\$38,050		\$35,000	\$20,000		\$15,000	
80	San Francisco Estuary Institute	\$128,300		\$35,000	\$17,300		\$9,500	
81	S F Museum of Modern Art	\$280,000	\$244,982	\$30,000	\$121,000	\$102,000	\$12,000	\$12,000
82	S F State University/Phrenic	\$35,000		\$35,000	\$20,200		\$1,000	
83	S F State University/Kalanduyan	\$35,000		\$35,000	\$20,000		\$20,000	
84	Shadowlight Productions	\$59,400	\$133,340	\$35,000	\$46,900	\$67,893	\$10,000	?
85	SOMARTS	\$58,500		\$35,000	\$36,350		\$6,000	
86	Southern Exposure/URL	\$60,000	\$59,807	\$35,000	\$22,500	\$25,750	\$1,500	\$1,750
87	Southern Exposure/Swenson	\$52,865		\$35,000	\$14,340		\$7,200	
88	TODCO	\$30,000	\$30,000	\$30,000	\$4,000	\$0	\$4,000	\$0
89	Theatre Rhinoceros	\$80,100		\$35,000	\$29,500		\$10,000	
90	Thick Description	\$58,000	\$53,481	\$20,000	\$25,700	\$28,500	\$1,500	\$2,300
91	Tinker's Workshop	\$35,000		\$35,000	\$15,500		\$10,000	
92	UCSF/Mount Zion	\$92,400	\$35,000	\$33,985	\$42,000	\$29,600	\$25,000	\$27,600
93	Vietnamese Youth Development	\$35,000	\$30,043	\$30,000	\$7,500	\$8,000	\$5,000	\$4,000
94	Yerba Buena Center for the Arts	\$70,829		\$15,000	\$32,000		\$20,000	
95	Youth Radio	\$125,000	\$35,000	\$35,000	\$24,340	\$13,290	\$2,658	?
96	Youth Speaks	\$52,209		\$35,000	\$27,500		\$6,000	
97	Zaccho Dance Theater	\$116,750	\$191,247	\$35,000	\$55,100	\$70,100	\$4,000	\$4,000
98	Z Space Studio	\$233,471		\$35,000	\$126,090		\$15,000	
99	Zyzyva	\$47,000	\$36,000	\$35,000	\$14,000	\$5,000	\$2,500	\$1,000
	TOTALS	\$6,236,625	\$2,977,864	\$3,162,851	\$2,852,783	\$1,381,572	\$1,011,296	\$392,362
	Average (99/51)	\$62,996	\$58,389	\$31,948	\$28,816	\$26,712	\$10,215	\$8,530

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Project	Proposed Budget	Actual Expenses	CWF Grant	Proposed Artists Fees	Actual Artists Fees	Lead Artist Fees	Lead Actual
S F Museum of Modern Art	\$280,000	\$244,982	\$30,000	\$121,000	\$102,000	\$12,000	\$12,000
Oakland Sharing the Vision	\$140,000	\$203,540	\$35,000	\$77,500	\$31,359	\$9,000	\$6,783
Chanticleer	\$148,388	\$193,560	\$35,000	\$119,600	\$114,635	\$15,000	\$15,000
Zaccho Dance Theater	\$116,750	\$191,247	\$35,000	\$55,100	\$70,100	\$4,000	\$4,000
Shadowlight Productions	\$59,400	\$133,340	\$35,000	\$46,900	\$67,893	\$10,000	?
Oakland Ballet	\$118,713	\$90,288	\$35,000	\$80,796	\$61,546	\$15,000	\$15,000
Regents of the University of CA	\$166,070	\$85,475	\$30,400	\$30,400	\$60,800	\$6,000	?
Cultural Odyssey	\$55,000	\$83,750	\$35,000	\$20,700	\$30,000	\$10,000	\$10,000
Magic Theater	\$35,000	\$79,605	\$35,000	\$26,600	\$52,940	\$2,500	\$5,700
Brava! for Women in the Arts	\$54,745	\$73,500	\$25,000	\$33,985	\$21,923	\$5,000	\$3,000
Axis Dance Troupe	\$45,800	\$67,500	\$35,000	\$25,500	\$30,000	\$3,000	\$3,000
Opera Piccola	\$66,680	\$65,920	\$35,000	\$41,000	\$41,600	\$15,000	\$15,000
San Francisco Arts Education Project	\$35,000	\$65,260	\$35,000	\$15,000	\$15,000	\$7,500	\$7,500
Asian American Theater Company	\$112,424	\$62,805	\$35,000	\$59,449	\$39,395	\$27,640	?
Southern Exposure at Project Artaud	\$60,000	\$59,807	\$35,000	\$22,500	\$25,750	\$1,500	\$1,750
Project Bandaloop	\$36,505	\$59,050	\$35,000	\$23,400	\$30,750	\$6,000	\$7,000
Intersection for the Arts	\$35,000	\$58,721	\$35,000	\$14,900	\$29,340	\$4,000	\$4,000
On Lok Inc	\$42,610	\$56,475	\$35,000	\$15,500	\$15,500	\$15,500	\$15,500
Paul Dresher Ensemble	\$52,800	\$54,350	\$32,250	\$28,750	\$37,450	\$10,000	\$10,000
Thick Description	\$58,000	\$53,481	\$20,000	\$25,700	\$28,500	\$1,500	\$2,300
Programs for People	\$35,000	\$45,000	\$35,000	\$8,500	\$15,000	\$8,500	\$15,000
Cultural Odyssey	\$45,325	\$44,725	\$35,000	\$22,900	\$22,900	\$12,000	\$12,000
Brava! for Women in the Arts	\$50,750	\$41,819	\$35,000	\$21,600	\$11,019	\$2,500	\$6,000
Precita Eyes Mural Arts Center	\$35,000	\$38,600	\$35,000	\$13,725	\$23,103	\$10,725	\$15,215
Berkeley Art Center Association	\$20,093	\$36,675	\$19,510	\$12,000	\$12,000	\$12,000	\$12,000
California Academy of Sciences	\$35,130	\$36,290	\$35,000	\$21,750	\$21,750	\$21,750	\$21,750
Koncepts Cultural Gallery	\$48,340	\$36,059	\$25,000	\$31,350	\$19,528	\$7,000	?
Poetry Flash	\$61,675	\$36,052	\$20,000	\$19,000	\$15,000	\$12,000	\$10,000
Zyzyva	\$47,000	\$36,000	\$35,000	\$14,000	\$5,000	\$2,500	\$1,000
Bay Area Radio Drama	\$35,000	\$35,763	\$35,000	\$18,400	\$18,100	\$1,200	\$1,200
Youth Radio	\$125,000	\$35,000	\$35,000	\$24,340	\$13,290	\$2,658	?
UCSF/Mount Zion	\$92,400	\$35,000	\$33,985	\$42,000	\$29,600	\$25,000	\$27,600
East Bay Habitat for Humanity	\$35,000	\$34,202	\$33,985	\$27,500	\$26,702	\$11,000	\$5,145
Huong Viet Community Center	\$34,776	\$33,964	\$32,900	\$12,000	\$12,000	\$10,000	\$10,000

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Farallon Research Station	\$32,523	\$32,523	\$32,523	\$12,000	\$12,000	\$6,000	\$4,000
The Lab	\$32,500	\$32,500	\$32,500	\$14,270	\$16,790	\$3,150	\$6,000
Artship Foundation	\$35,000	\$31,117	\$35,000	\$10,000	\$10,000	\$10,000	\$10,000
Vietnamese Youth Development	\$35,000	\$30,043	\$30,000	\$7,500	\$8,000	\$5,000	\$4,000
Horace Mann Academic Middle Sch	\$37,918	\$28,850	\$28,850	\$6,000	\$7,300	\$6,000	\$7,300
Asian Women's Shelter	\$30,333	\$28,000	\$28,000	\$15,700	\$18,635	\$9,600	\$9,318
TODCO	\$30,000	\$30,000	\$30,000	\$4,000	\$0	\$4,000	\$0
Galeria de la Raza	\$21,880	\$27,704	\$21,245	\$6,000	\$6,000	\$1,500	\$1,500
Galeria de la Raza	\$66,000	\$62,710	\$35,000	\$24,000	\$24,000	\$8,000	\$8,000
S F Contemporary Music Players	\$26,500	\$25,556	\$25,500	\$15,000	\$15,000	\$15,000	\$15,000
La Casa de las Madres	\$29,650	\$25,005	\$25,000	\$11,000	\$17,600	\$4,500	\$2,760
Dublin Fine Arts Foundation	\$25,000	\$25,000	\$25,000	\$12,000	\$12,000	\$4,000	\$4,000
Edible Schoolyard	\$24,580	\$23,980	\$23,980	\$14,000	\$14,000	\$14,000	\$14,000
Mission Neighborhood Centers	\$22,900	\$22,900	\$22,900	\$14,250	\$15,860	\$12,000	\$12,191
Native American Cultural Center	\$21,000	\$16,670	\$15,000	\$10,500	\$13,800	\$3,000	\$3,850
American Conservatory Theatre	\$24,000	\$14,000	\$14,000	\$16,000	\$10,000	\$10,000	\$10,000
Creativity Explored	\$13,500	\$13,500	\$13,500	\$9,300	\$9,864	\$6,000	\$6,000
TOTALS	\$2,927,658	\$2,977,863	\$1,546,028	\$1,374,865	\$1,362,322	\$440,723	\$392,362
Averages (51/46)	\$57,405	\$58,389	\$30,314	\$26,958	\$26,712	\$8,642	\$8,530

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Project	Proposed Budget	Actual Expenses	CWF Grant	Proposed Artists Fees	Actual Artists Fees	Lead Artist Fees	Lead Actual
Completed Projects							
Artship Foundation	\$43,000	\$31,117	\$35,000	\$37,000	\$10,000	\$25,000	\$10,000
Asian Women's Shelter	\$30,333	\$28,000	\$28,000	\$15,700	\$18,635	\$9,600	\$9,318
Berkeley Art Center Association	\$20,093	\$36,675	\$19,510	\$12,000	\$12,000	\$12,000	\$12,000
Creativity Explored	\$13,500	\$13,500	\$13,500	\$9,300	\$9,864	\$6,000	\$6,000
Dublin Fine Arts Foundation	\$25,000	\$25,000	\$25,000	\$12,000	\$12,000	\$4,000	\$4,000
East Bay Habitat for Humanity	\$35,000	\$34,202	\$33,985	\$27,500	\$26,702	\$11,000	\$5,145
Edible Schoolyard	\$24,580	\$23,980	\$23,980	\$14,000	\$14,000	\$14,000	\$14,000
Farallon Research Station	\$32,523	\$32,523	\$32,523	\$12,000	\$12,000	\$6,000	\$4,000
Galeria de la Raza	\$21,880	\$27,704	\$21,245	\$6,000	\$6,000	\$1,500	\$1,500
Galeria de la Raza	\$66,000	\$62,710	\$35,000	\$24,000	\$24,000	\$8,000	\$8,000
Horace Mann Academic Middle	\$37,918	\$28,850	\$28,850	\$6,000	\$7,300	\$6,000	\$7,300
The Lab	\$32,500	\$32,500	\$32,500	\$14,270	\$16,790	\$3,150	\$6,000
Mission Neighborhood Centers	\$22,900	\$22,900	\$22,900	\$14,250	\$15,860	\$12,000	\$12,191
Oakland Sharing the Vision	\$140,000	\$204,540	\$35,000	\$77,500	\$31,359	\$9,000	\$6,783
On Lok, Inc	\$42,610	\$56,475	\$35,000	\$15,500	\$15,500	\$8,800	\$15,500
Precita Eyes Mural Arts Center	\$35,000	\$38,600	\$35,000	\$13,725	\$23,103	\$10,725	\$15,215
Programs for People	\$35,000	\$45,000	\$35,000	\$8,500	\$15,000	\$8,500	\$15,000
S F Arts Education Project	\$35,000	\$65,260	\$35,000	\$15,000	\$15,000	\$7,500	\$7,500
S F Museum of Modern Art	\$280,000	\$244,982	\$30,000	\$121,000	\$102,000	\$12,000	\$12,000
Southern Exposure	\$60,000	\$59,807	\$35,000	\$22,500	\$25,750	\$1,500	\$1,750
TODCO	\$30,000	\$30,000	\$30,000	\$4,000	\$0	\$4,000	\$0
UCSF/Mount Zion	\$92,400	\$35,000	\$33,985	\$42,000	\$29,600	\$25,000	\$27,600
Subtotal (22)	\$1,155,237	\$1,179,325	\$655,978	\$523,745	\$442,463	\$205,275	\$200,802
Pending Projects							
CA Academy of Sciences	\$38,352		\$32,610	\$21,470		\$12,000	
Creativity Explored	\$35,000		\$35,000	\$17,000		\$6,500	
509 Cultural Center	\$27,056		\$26,275	\$9,000		\$9,000	
Friends of the Oakland Public Lib	\$30,035		\$30,035	\$23,040		\$23,040	
Fruitvale Elementary School	\$35,000		\$30,000	\$20,000		\$13,500	
Gay and Lesbian Historical Society	\$37,473		\$29,673	\$12,000		\$6,000	
Persian Center	\$35,000		\$35,000	\$15,050		\$15,050	
TOTALS	\$1,393,153		\$874,571	\$641,305		\$290,365	
Averages Completed (22)	\$52,510	\$53,606	\$28,227	\$23,806	\$20,112	\$9,331	\$9,127
Averages Total (29)	\$48,040		\$30,158	\$22,114		\$10,013	

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Project	Proposed Budget	Actual Expenses	CWF Grant	Proposed Artists Fees	Actual Artists Fees	Lead Artist Fees	Lead Actual
Completed Projects							
American Conservatory Theater	\$24,000	\$14,000	\$14,000	\$16,000	\$10,000	\$10,000	\$10,000
Asian American Theater Company	\$112,424	\$62,805	\$35,000	\$59,449	\$39,395	\$27,640	?
Axis Dance Co	\$45,800	\$67,500	\$35,000	\$25,500	\$30,000	\$3,000	\$3,000
Brava! for Women in the Arts	\$54,745	\$73,500	\$25,000	\$33,985	\$21,923	\$5,000	\$3,000
Brava! for Women in the Arts	\$50,750	\$41,819	\$35,000	\$21,600	\$11,019	\$2,500	\$6,000
Chanticleer	\$148,388	\$193,560	\$35,000	\$119,600	\$114,635	\$15,000	\$15,000
Cultural Odyssey	\$55,000	\$83,750	\$35,000	\$20,700	\$30,000	\$10,000	\$10,000
Intersection for the Arts	\$35,000	\$58,721	\$35,000	\$14,900	\$29,340	\$4,000	\$4,000
Magic Theater	\$35,000	\$79,605	\$35,000	\$26,600	\$52,940	\$2,500	\$5,700
Oakland Ballet	\$118,713	\$90,288	\$35,000	\$80,769	\$61,546	\$15,000	\$15,000
Opera Piccola	\$66,680	\$65,920	\$35,000	\$41,000	\$41,600	\$15,000	\$15,000
Paul Dresher Ensemble	\$52,800	\$54,350	\$32,250	\$28,750	\$37,450	\$10,000	\$10,000
Project Bandaloop	\$36,505	\$59,050	\$35,000	\$23,400	\$30,750	\$6,000	\$7,000
Regents of U C /Cal Performances	\$166,070	\$85,475	\$30,400	\$30,400	\$60,800	\$6,000	?
S F Contemporary Music Players	\$26,500	\$25,556	\$25,500	\$15,000	\$15,000	\$15,000	\$15,000
Shadowlight Productions	\$59,400	\$133,340	\$35,000	\$46,900	\$67,893	\$10,000	?
Thick Description	\$58,000	\$53,481	\$20,000	\$25,700	\$28,500	\$1,500	\$2,300
Zaccho Dance Theater	\$116,750	\$191,247	\$35,000	\$55,100	\$70,100	\$4,000	\$4,000
Subtotal	\$1,262,525	\$1,433,967	\$567,150	\$685,353	\$752,891	\$162,140	\$125,000
Pending Projects							
Filipinos for Affirmative Action	\$192,630		\$35,000	\$108,694		\$30,000	
Jon Sims Center for the P Arts	\$165,880		\$35,000	\$69,800		\$9,000	
S F Contemporary Music Players	\$38,050		\$35,000	\$20,000		\$15,000	
S F State University	\$35,000		\$35,000	\$20,200		\$1,000	
Tinker's Workshop	\$35,000		\$35,000	\$15,500		\$10,000	
TOTALS	\$1,729,085		\$742,150	\$919,547		\$227,140	
Averages Completed Projects (18)	\$70,140	\$79,665	\$31,508	\$38,075	\$41,827	\$9,007	\$8,333
Averages All Grants (23)	\$75,178		\$32,257	\$39,980		\$9,876	

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Project	Proposed Budget	Actual Expenses	CFW Grant	Proposed Artists Fees	Actual Artists Fees	Lead Artist Fees	Lead Actual
Completed Projects							
Bay Area Radio Drama	\$35,000	\$35,763	\$35,000	\$18,400	\$18,100	\$1,200	\$1,200
Huong Viet Community Center	\$34,776	\$33,964	\$32,900	\$12,000	\$12,000	\$10,000	\$10,000
Koncepts Cultural Gallery	\$48,340	\$36,059	\$25,000	\$31,350	\$19,528	\$7,000	?
Native American Cultural Center	\$21,000	\$16,670	\$15,000	\$10,500	\$13,800	\$3,000	\$3,850
Poetry Flash	\$61,675	\$36,052	\$20,000	\$19,000	\$15,000	\$12,000	\$10,000
Zyzyva	\$47,000	\$36,000	\$35,000	\$14,000	\$5,000	\$2,500	\$1,000
Subtotal	\$200,791	\$194,508	\$127,900	\$91,250	\$83,428	\$33,200	\$26,050
Pending Projects							
African American Museum & Library	\$43,000		\$35,000	\$37,000		\$25,000	
Community Defense Fund, Inc	\$35,000		\$35,000	\$19,000		\$8,000	
Community Works	\$35,000		\$35,000	\$27,000		\$27,000	
East Bay Media Center	\$43,190		\$35,000	\$17,000		\$15,000	
Hayward Area Historical Society	\$36,000		\$35,000	\$24,000		\$24,000	
Manifest Press	\$46,999		\$33,000	\$21,999		\$7,333	
Youth Speaks	\$52,209		\$35,000	\$27,500		\$6,000	
TOTALS	\$492,189		\$370,900	\$264,749		\$145,533	
Averages-completed projects	\$33,465	\$32,418	\$21,317	\$15,208	\$13,905	\$5,533	\$5,210
Averages-all projects	\$41,476		\$31,223	\$21,442		\$11,387	

Project	Proposed Budget	Actual Expenses	CWF Grant	Proposed Artists Fees	Actual Artists Fees	Lead Artist Fees	Lead Actual
Completed Projects							
Cultural Odyssey	\$45,325	\$44,725	\$35,000	\$22,900	\$22,900	\$12,000	\$12,000
La Casa de las Madres	\$29,650	\$25,005	\$25,000	\$11,000	\$17,600	\$4,500	\$2,760
Vietnamese Youth Development	\$35,000	\$30,043	\$30,000	\$7,500	\$8,000	\$5,000	\$4,000
Youth Radio	\$125,000	\$35,000	\$35,000	\$24,340	\$13,290	\$2,658	?
Subtotal	\$234,975	\$134,773	\$125,000	\$65,740	\$61,790	\$24,158	\$18,760
Pending Projects							
Casa Segura	\$30,000		\$30,000	\$10,000		\$10,000	
Cultural Heritage Choir	\$224,343		\$35,000	\$77,300		\$15,600	
Global Exchange	\$211,500		\$35,000	\$27,150		\$8,000	
The Lab/Sponge	\$35,000		\$35,000	\$12,000		\$4,000	
Media Alliance	\$35,000		\$35,000	\$22,900		\$7,250	
Rose Resnick Lighthouse	\$34,650		\$30,000	\$10,000		\$5,000	
Ruth Asawa Fund	\$35,400		\$35,000	\$8,500		\$8,000	
San Francisco Camerawork	\$57,480		\$30,530	\$15,000		\$7,500	
Southern Exposure	\$52,865		\$35,000	\$14,340		\$7,200	
TOTALS	\$951,213		\$425,530	\$262,930		\$96,708	
Average Completed Projects	\$58,744	\$33,683	\$31,250	\$16,435	\$15,448	\$6,040	\$6,253
Average-All Projects	\$73,170		\$32,733	\$20,225		\$7,439	

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

Creative Work Fund

Traditional Arts Projects

6/28/02

Project	Proposed Budget	Actual Expenses	CWF Grant	Proposed Artists Fees	Actual Artists Fees	Proposed Lead Artist	Actual Lead Artist
Completed Projects							
California Academy of Sciences	\$35,130 00	\$36,290 00	\$35,000 00	\$21,750 00	\$21,750 00	\$21,750 00	\$21,750 00
Subtotal	\$35,130 00	\$36,290 00	\$35,000 00	\$21,750.00	\$21,750.00	\$21,750 00	\$21,750 00
Pending Projects							
American Indian Contemporary Arts	\$35,000 00		\$35,000 00	\$15,800 00		\$3,800 00	
Oakland Asian Cultural Center	\$66,000 00		\$35,000 00	\$34,000 00		\$17,000 00	
San Francisco State University	\$35,000 00		\$35,000 00	\$20,000 00		\$20,000 00	
SOMARTS	\$58,500.00		\$35,000 00	\$36,350 00		\$6,000 00	
Spanish Speaking Unity Council	\$35,300 00		\$35,000 00	\$30,000 00		\$30,000 00	
	\$229,800 00		\$175,000 00	\$136,150 00		\$76,800 00	
Average Completed Projects (1)	\$35,130 00	\$36,290 00	\$35,000 00	\$21,750 00	\$21,750 00	\$21,750 00	\$21,750 00
Average All Grants (6)	\$44,155 00		\$35,000 00	\$26,317.00		\$16,425 00	

The Creative Work Fund
Mid-Year Report, July 1, 2002-December 31, 2002

Application Process for 2003 Grants

In 2002-03, the Creative Work Fund is seeking proposals for outstanding collaborative projects in media and traditional arts. Over the past six months, we have taken the following steps to reach and inform potential applicants, and review letters of inquiry

- During July and August 2002, staff worked with designer Russell Mondy on a major re-design of the Creative Work Fund guidelines and web site. The resulting publication uses more images and is less cluttered. The web site functions better, is up-to-date, and incorporates such new features as "What's New?" where we post announcements of project openings and publications. After consulting with colleagues at other foundations and artists' programs, we have decided to invest additional resources in the web site. When we are finished, it will include more detailed descriptions of all of the projects, biographical statements for the artists, and links to other projects by the artists. This work is ongoing.
- As in other years, the Fund purchased mailing lists to announce the new deadlines and guidelines. These included lists from the University of San Francisco's nonprofit database, Film Arts Foundation, the National Association of Media Arts and Culture, World Arts West, the Alliance of California Traditional Artists, and the San Francisco Arts Commission's Cultural Equity Grants program. We also placed a small advertisement focusing on traditional artists in *News from Native California* and announcements in media journals such as *Release Print*.
- The Fund's publicists Driscoll and Horton mailed a press release announcing the program to neighborhood-based, culturally-specific, and foreign-language media outlets.
- Working with June Anderson of the California Academy of Sciences and Amy Kitchener of the Alliance of California Traditional Artists, we developed a list of approximately 75 grassroots community-based organizations that work with traditional artists and specifically invited them to nominate projects for consideration. Many of these organizations are quite small and move frequently, and we expended considerable effort to confirm their addresses. While we only received one traditional arts nomination, several of the contacted organizations submitted letters of inquiry to the Fund, and we believe this effort helped to uncover worthy applications from organizations and artists previously unfamiliar with the Creative Work Fund.
- In September the Fund sponsored two workshops for potential applicants—one at Preservation Park in Oakland and one at the Ninth Street Media Center in San Francisco. Approximately 75 persons attended these presentations. Staff

gathered 51 evaluations from those attending, learning that most people found out about the seminars by receiving a flier in the mail (39%) Over the past eight years the second most frequent way that people learned about the Fund was "from a friend" This year "from a friend" was surpassed by the Fund's web site—identified by 29% of those attending as their source of information

- The fall 2002 seminars were the first time the Fund attracted hearing impaired applicants (for whom we hired a sign language interpreter) and a number of non-English speaking applicants who came with language interpreters Ninety-two percent of those who completed surveys had not previously attended a Creative Work Fund seminar and 94% had not previously applied to the Fund
- In November the Fund received 73 letters of inquiry in the media arts and 43 in traditional arts Having a limited track record in traditional arts, staff was pleased by the range and number of proposals received in that field
- Staff read all of the letters and then reviewed them with outside readers Zoe Elton, curator of the Mill Valley Film Festival, helped to evaluate the media letters A three-member committee of readers (June Anderson, folklorist with the California Academy of Sciences, Amy Kitchener, Executive Director of the Alliance of California Traditional Arts, and Ray Moisa, Native American artist and nonprofit consultant) reviewed the traditional arts letters
- After a final review of selected letters with representatives of the four contributing foundations, the Fund invited 28 full proposals in media arts and 18 full proposals in traditional arts All of these potential applicants received \$200 application stipends Traditional arts projects also are invited to request \$500 documentation grants to record, film, or photograph the lead artists' work The Fund is offering these mini-grants in response to the problem of lack of documentation in the traditional arts field
- One of the media arts projects now seems unable to develop a full proposal because of staff changes at the collaborating nonprofit organization Another may want to re-arrange its collaborative partners and is in conversation with the Creative Work Fund staff about this change
- Full proposals are due on Friday, March 7, 2003

Panel Meetings Scheduled

Because staff chose to work with a committee of readers in traditional arts, rather than a single person, the grants review panel is being reduced to three members They are Chike Nwoffiah, performing artist and Director of the Oriki Theater in Mountain View, CA, David Roche, Executive Director of the Old Town School of Music in Chicago (and former artistic director of San Francisco's Ethnic Dance Festival), and Kay Turner, folklorist with the Brooklyn Arts Council in New York This panel combines a

practitioner with an ethnomusicologist and a folklorist and brings together specialists in African, Asian, and Latin American cultures The Traditional Arts panel will meet on Monday, May 12, 2003.

The media arts panel will contain five members At present, four have been recruited filmmaker and University of California, Berkeley, Professor Lon Ding, filmmaker Paul Espinosa, John Hanhardt, Senior Curator for Film and Video at the Samuel H Guggenheim Museum; and sound artist/composer Ed Osborn We are inviting a "new genre" media curator to fill the final seat on this panel They will meet on Monday, June 2, 2003

Recently Completed Projects

Johari Jabir and Theater Rhinoceros (Grant awarded: \$35,000, Performing Arts, 2002)

Premiering on September 5, 2002, "Hairstory," a musical by Theater Rhinoceros's co-artistic director Doug Holsclaw and composer Johari Jabir, grew out of a successful previous collaboration, "For Colored Boys " exploring African American and gay identity through thematically-related vignettes "Hairstory," set in and around an African-American barbershop, seemed promising, but as critic Robert Hurwitt of the *San Francisco Chronicle* complained, it was not "as polished, dynamic, original or even, ultimately, as interesting a production as one would expect " The Creative Work Fund was awarded late in the process of the play's development (just seven weeks before it opened) and may have had less of an impact on this collaboration than it has had had on other projects Nevertheless, Hurwitt's review praised a number of Jabir's songs and the cast whose talents were "demonstrated in sporadic bursts."

Laetitia Sonami and Tinker's Workshop with Nick Bertoni (Grant awarded: \$35,000, Performing Arts, 2000)

"BAGS," a video and sound installation by composer Laetitia Sonami, sculptor Nick Bertoni of the Tinker's Workshop, and East Bay teenagers opened on Thursday, September 19 at New Langton Arts This playful, engaging installation featured bags of all kinds exhibiting distinctive motions and sounds—from a feathered handbag that cooed "Gucci, Gucci, Goo" to a child's backpack that scooted and darted across the floor, to a collection of suspended paper bags that exhaled and shuddered in unison While Bertoni and Sonami are sophisticated engineers in their respective fields, the project made use of computerized pneumatics, and was technically difficult (For example it was hard to set the sound levels so they could be heard in a crowded gallery) However, the sculptures were witty and complex and the teenagers who helped with sound recording and with determining the BAGS' "personalities" seemed very involved in the project they and their families and friends attended the opening, where a number of them helped greet the public and serve as docents

Beau Sia and Youth Speaks (Grant awarded: \$35,000, Literary Arts, 2001)

"No Man's Land," featuring spoken word poets Beau Sia, Paul S Flores, James Kass, and Marc Bahmuthi Joseph, explored archetypes of maleness and machismo through the

points of view of four young writers from different cultural and social backgrounds They performed it on September 20 and 21 at ODC Theater in San Francisco, selling out both nights All four poets, who are in their twenties and early thirties, teach with Youth Speaks In creating "No Mans Land," they "turned the tables" on their usual process-- inviting the teenagers whom they normally teach to critique and help shape their work On September 21 the collaborators' performance closed with a poetic response from five teenaged writers

In the final months of the project's development, the writers hired Kamilah Forbes, director of the New York City Hip Hop Theater Festival, to direct "No Man's Land " She staged the piece well and helped the writers weave multiple short works together into a coherent piece The writers plan to continue developing "No Man's Land" for touring and presentation at poetry slams

Wayne Wallace, Laura Elaine Ellis, Aisha Jenkins, Robert Henry Johnson, Robert Moses and ODC Theater (Grant awarded: \$35,000, Performing Arts, 2002)
"The Quilt Project: Pieces of Me," featuring four African American choreographers collaborating with jazz performer and composer Wayne Wallace and ODC/Theater opened on October 31 and ran through November 10 at ODC Theater Related events with artist Faith Ringgold (who created the "Quilt" that the choreographers used as inspiration for their works) were presented at Stanford University on November 1 Some years ago, Theater Artaud presented an annual African American choreographers showcase—"Black Choreographers Moving Toward the 21st Century"—which fostered discussion about the development of a local African American dance aesthetic "Pieces of the Quilt" picked up the thread of that vital discussion and added the perspective of composer Wayne Wallace, whose music bridged the performers' varying styles

Not long before "Pieces of the Quilt" opened, ODC Theater's director Andrew Wood resigned his position under pressure from ODC's board of directors Nevertheless, the project was well-produced, well-attended, and artistically strong

Christian Burns and Alex Ketley (The Foundry) and Yerba Buena Center for the Arts (Grant awarded: \$15,000, Performing Arts, 2002)
As Wattis artists-in-residence at Yerba Buena Center for the Arts, dancers Christian Burns and Alex Ketley (The Foundry) spent months at the Center creating new performance and media works With a grant from the Creative Work Fund they produced "The Fleshing Memory," an original dance incorporating movement and videos developed in the Center's "non-public spaces"—loading dockets, the roof, heating ducts, tunnels, and staff offices "The Fleshing Memory" premiered on January 2 and 3 (during the Center's biennial "Bay Area Now" exhibition) in the Center's Forum The Foundry earned high praise for this new work from *San Francisco Chronicle* critic Octavio Rocca (see appendix), and sold out both performance nights

Gloria Frym/Community Works (Grant awarded: \$35,000, Literary Arts, 1998)
While Gloria Frym had previously reported to the Creative Work Fund on her collaborative process with teenagers at International High School in San Francisco, at the

end of 2002 she completed the final re-write of a 350-page novel *Rose of the Mission*. See below (in Final Reports received) for additional information.

Joe Goode/The Magic Theatre (Grant awarded: \$35,000, Performing Arts, 2002)
Currently playing at The Magic Theatre, Joe Goode's first "play," "Body Familiar" premiered on January 10, 2003. Described as "a movement-infused meditation on love, lust, memory, and body parts," "Body Familiar" features three dancers from Goode's dance troupe and three actors who frequently perform for the Magic Theatre. This project represents an excellent example of collaboration, with each of the partners fully engaging in unfamiliar processes for creating work. It attracted a feature story by Octavio Roca in the *San Francisco Chronicle* (see appendix).

Projects Close to Completion

Harrell Fletcher and Creativity Explored (Grant awarded: \$35,000, Visual Arts, 2000)

Harrell Fletcher, Chris Johanson and artists from Creativity Explored have finished creating "A Place Called the Universe." The project's theme was inspired by the work of David Jarvey, one of the developmentally disabled artists who participates in Creativity Explored's programs, who has created a large body of work about stars, planets, *Star Trek* and related themes. The finished pieces juxtapose images from the daily grit of street life and from the heavens—commenting on different people's understanding of human context and cosmology. The final step in this project, distributing the artists' books and posters to public school students, has been slowed by Fletcher's having moved to Portland, Oregon, and his being in high demand as an artist. Collaborators anticipate finishing distribution this spring.

Lisa Gray-Garcia and Community Defense Fund, Inc (Grant awarded: \$35,000, Literary Arts, 2001)

Writers affiliated with *Poor Magazine* and the Community Defense Fund, Inc have produced a series of KPFA radio programs based on the theme of "Poverty Heroes." As a culmination of their literary arts project, they have published *The Poverty Heroes Anthology* of writings. This will be released at a book launch/party on February 23rd at 255 Ninth Street in San Francisco.

Octavio Solis and Campo Santo (Grant awarded: \$35,000, Performing Arts, 2002)

Octavio Solis is well into his research for "Mission Bars," (working title), a new play based on the true story of a Latino couple's crime spree through the bars of the Mission District in the late 1980's. Solis is using the story as a way to explore pressures on long-standing, small family businesses in the Mission District over the past decade. Campo Santo is presenting a work-in-progress reading of the play on Monday, March 24 at Intersection for the Arts in the Mission District. The finished play is tentatively scheduled to open in October 2003.

Margo Hall and Z Space Studio (Grant awarded: \$35,000, Performing Arts, 2002)

Margo Hall, other local artists, and members of the Z Space Studio are working with Leah Fondakowski (one of the writers of "The Laramie Project") and conducting research into the story of the Peoples Temple and Jonestown—interviewing survivors and family members. The artists have been moved not only by the grief they have encountered, but also by the powerful sense of community and belonging members enjoyed through their affiliation with the Peoples Temple. Temple apostate, Gary Lambrev, who never went to Guyana, told the interviewer

I think it's very disempowering to have a myth in which all power—retains—remains even in retrospect in the hand and head of Jim Jones. Those of us who've survived, and those of us who know, recognize that the power of Peoples Temple was the power of the people who were together in the time we spent together. And that power was extraordinary. Never in my life before or since—and I've worked for the last fifteen years in East Oakland as a public librarian—NEVER in my life have I been in such immediate contact, interaction with people of all races. It's been the only glimpse I've had in this lifetime of a real, functional multi-racial society.

One of the interview subjects has given the artists a previously undiscovered oral history of the Temple collected by its leadership prior to their flight to Guyana. The document had been kept hidden in a closet. This remarkable find offers a rare inside view of the Temple in its time as a thriving institution.

Z Space has secured several additional grants for this large-scale project and is planning work-in-progress events to raise further funds for the project in April or May. They anticipate finishing and opening the Jonestown piece in October or November 2003.

Lise Swenson and Southern Exposure Gallery (Grant awarded: \$35,000, Media Arts, 2001)

While Southern Exposure Gallery has a new executive director (Courtney Fink, formerly of the California College of Arts and Crafts), Lise Swenson's collaborative film project has proceeded smoothly. "Mission Movie" will premiere on October 11, 2003.

Projects Facing Challenges

Laurie Polster, Ammiel Alcalay, and the Magnes Museum (Grant awarded: \$35,000, Visual Arts, 2002)

The volatile situation in Israel and staff changes at the Magnes Museum have been disconcerting for collaborating artists Laurie Polster and Ammiel Alcalay, whose proposed image/text installation explores points of connection between Arab and Israeli cultures as demonstrated in literary and musical traditions. Polster and Alcalay are working on a revised proposal to the Magnes, which they expect to submit in a few months. They are concerned that the Museum may not have the capacity to exhibit the work as originally proposed, may object to changes in the project plan (which seem necessary to the artists because of the project's political context), and that Peter Stein,

who was laid off by the Magnes but who is supposed to be available as a curatorial consultant, may not, ultimately, be part of the collaboration

The Creative Work Fund recommended to the artists that they delay work on the memorandum of understanding with the Museum until they were confident that the Magnes was in a position to be a genuine collaborator. Until the memorandum of understanding is signed, the Fund will not pay this grant (which was awarded in July 2002)

Related Presentations of Projects and Artists

Taraneh Hemami and The Persian Center (Grant awarded: \$35,000, Visual Arts, 2000)

Iranian artist Taraneh Hemami has developed a large body of work for the "Hall of Reflections," an installation of multi-layered mirrored and glass bricks and panels representing stories of Iranians' immigration to the Bay Area through etched excerpts from oral histories, family photographs, and images from traditional Iranian fabric arts. An exhibition based on Hemami's work was presented at the San Francisco Arts Commission Gallery in September, opening on the 14th. The project is very impressive and the opening at the Arts Commission was packed. Hemami has yet to install the work in its final home, the Persian Center in Berkeley, and appears to be stalled in her fundraising efforts for an accompanying web site.

Danongan Kalanduyan and San Francisco State University (Grant Awarded: \$35,000, Traditional Arts, 2001)

Traditional musician Danongan Kalanduyan is developing a series of works with a group of young Philippine-American performing artists affiliated with the Ethnic Studies Department at San Francisco State University. Last year the students performed in a rally supporting a faculty action to improve salaries (in spring 2002) and in a work-in-progress concert in October.

Final Reports Received

Over the past six months, the Creative Work Fund has received the following final reports (listed in chronological order of their receipt)

- **Lawrence Andrews (filmmaker collaborating with Cultural Odyssey)**
Lawrence Andrews describes his film about the Medea Project's theater workshop for incarcerated women as a "hybrid narrative documentary that follows the lives of several of the women inmates." He planned initially to document the theater workshop in prison and then follow the women's lives after they were released from jail. The latter aspect " . . . quickly became unfeasible due to the realities of the women's lives."

Andrews writes about this film's being more of a documentary and less experimental than his earlier works. He made this artistic decision early on in the project because

he felt that the Medea Project's work deserved a larger audience. He writes with some ambivalence about having made this choice, feeling that the film was both unlike his earlier works and not as mainstream in its feel and approach as it might have been to reach a wide audience. The film has not received a commercial broadcast opportunity (Andrews writes of HBO as a potential venue), but has been selected for and honored at a number of film festivals.

- **Geoffrey Nwogu (traditional artist collaborating with the California Academy of Sciences)**

Nigerian artist Geoffrey Nwogu writes, "I want to thank you from the bottom of my heart. Your Creative Art Funds program is such a life saving tool to artists like me whose main purpose in life is to create art in dignified places and yet make it accessible to the common man. This exercise in sustenance of creative life, and environment, edifies the creator, the creation, and his audience."

The California Academy estimates that 50,000 people saw Nwogu's Mbari (a sculpted family of clay figures in a home) as a work-in-progress and finished piece. While it was challenging to be interrupted by the public when working, he also reports that that interaction was the project's most inspiring part. The project was very unusual—the first time a Mbari was built outside the border of Nigeria—and attracted audiences from as far away as Santa Barbara.

One of the project's greatest challenges was chemical. In Nigeria, a Mbari is made of clay from a crushed-up termite or anthill. Here Nwogu was forced to use commercial terra cotta clay, which "cracked like crazy" in the Academy's hall where temperatures were too warm for it to cure gradually. Adding salt helped slightly. Adding lemon juice helped a bit more. One afternoon a visitor, observing Nwogu's frustrations, told him about Adobe and how Native Americans mixed hay into it before using it as a building medium. He recommended the synthetic fiber polypropylene to the sculptor. "That idea of using fiber as a bonding agent in mud worked perfectly fine. It made my work much easier, and better. The result was an unbelievable finish of perfectly sincere sculpture pieces as good as those made with anthills."

This project, the Creative Work Fund's first to be completed in traditional arts, appears to have been highly successful and well-received by the public.

- **Margaret Crane (of Crane/Winet, collaborating media artists) and San Francisco Camerawork**

Long-time collaborators—writer Margaret Crane and photographer Jon Winet—collaborated on a multi-media installation, publication, and web site, "Democracy: The Last Campaign," following and commenting on the 2000 elections. Crane/Winet have followed several prior elections, but this was their largest-scale election project. Different editions of the installation were exhibited at San Francisco Camerawork, Hallwalls Contemporary Art Center (Buffalo, New York), and Intermedia Arts (Minneapolis, MN).

In addition to an exhibit at San Francisco Camerawork, the artists produced outreach events for this project including work with the League of Women Voters to promote voter registration and get out the vote activities. Two related public programs at Camerawork—about alternative journalism's coverage of the 2000 elections and a journalist's analysis of the campaigns—were well-attended, reaching many people who had never before been to the photography gallery.

The "Democracy: The Last Campaign" exhibition earned very positive reviews in the *San Francisco Examiner*, *The San Francisco Bay Guardian*, *CitySearch.com*, *Wired News*, and *Art Issues*—which called it "witty and sophisticated." Perhaps most impressive, one of the photographs was selected for the cover of *Harper's Magazine*.

From Camerawork's perspective, Executive Director Marnie Gillette writes, "Of particular importance, was their installation involved using many technologies and Camerawork's mission has been expanded 'to exhibit contemporary photography and related technologies'"

Margaret Crane wrote, "Both Jon and I are committed to working within our historical moment. We like to observe and participate in significant events and explore the currents that flow beneath them. It is important to both of us to make work that reflects and comments on the process that effects our daily lives. Our culture offers up amazing material—wonderful, despicable and everything in between." She closed her report "In hindsight, we both wished we had budgeted time and funds to continue with the project after the election. We should have gone to Florida, but who knew?"

- **Jon Sims Center for the Performing Arts (collaborating with opera composer Carla Lucero)**

Wuornos, an opera based on the life of convicted serial killer Aileen Wuornos, by composer Carla Lucero, was the first full-length modern opera by a woman of color, and was presented at Yerba Buena Center for the Arts with high production values. All parties worked hard but ultimately the collaborative relationship was tense; and, for all the expense and time devoted to *Wuornos*, the opera was disappointing artistically. The Fund has yet to hear from the composer, but in November Jon Sims Center Executive Director Charles Wilmoth reported candidly:

The most difficult aspect of the collaboration was containing the ambitions of the lead artist, Line Producer and Executive Producer. Their doggedly aggressive desire to launch the world premiere of *Wuornos* on a main stage with a fully realized production lacked a tempered analysis of presenting an emerging composer at a more modest and appropriate scale. Though their equally aggressive fundraising campaign was successful, it did not secure sufficient audiences for such a large-scale and expensive production.

Wuornos lost money for the Jon Sims Center. Two major miscalculations contributed to this loss. It was presented over the weekend of the Gay Pride Parade, with its

producers hoping to attract significant out-of-town audiences for a lesbian work. This placed it in competition with many other low-cost and free cultural and social opportunities. Also, the producers set the ticket prices at a mid-range for professionally produced opera—prices that were too high for its target audiences.

Nevertheless, *Wuornos* attracted significant press attention to the artists and to the Center (including a mention last October in a cover story in *USA Today* after Aileen Wuornos was executed). It pushed the Center to a new level of ambition and contributed to its visibility. According to Wilmoth:

“The most meaningful aspect of the project came with placing lesbian art about lesbian lives on the cultural main stage. The media attention that *Wuornos* garnered did raise public awareness about Aileen’s tragic life and the attendant issues of the death penalty.. It was especially moving to encounter women recovering from addiction and street prostitution who attended the free dress rehearsal. One sensed the empowerment and illumination that comes from experiencing one’s life, probably for the very first time, as a worthy subject for art making.”

- **Intersection for the Arts and jazz composer Marcus Shelby**

While the budget restricted some of the collaborators’ plans for an adaptation of *The Lights* by Howard Korder, in most respects this was a very successful project. Marcus Shelby and Intersection for the Arts had an excellent collaborative relationship and their partnership with ODC Theater, where they presented the work (to reach a larger audience than would be possible at Intersection), was effective.

The collaborators’ primary artistic disappointment was that they could not afford to pay for the legal rights necessary to adapt the play to a contemporary setting in the Mission District nor to add more dance pieces and original songs. Therefore, as Kevin Chin of Intersection explained, they ended up using the play as a vehicle, rather than adapting it.

Lead artist Marcus Shelby describes *The Lights* as “the most enjoyable experience I’ve had to date as an artist.” The project gave him the opportunity to compose in a new form. While he previously had created work for theatre, earlier projects had culminated in recordings that accompanied the actors’ performances. Shelby’s orchestra played live for *The Lights*, “a dynamic situation” that included “conducting the score and following the dialogue of the play simultaneously.” The finished work was a critical success, running for three weeks to sold-out houses. In addition, Intersection presented previews of the work to community groups including residents of single room occupancy hotels and clients of Milestones, Larkin Street Youth Center and Marion Residence for Women.

Intersection noted in the budget summary that the organization had absorbed much of the administrative, marketing, and facility costs. It was also truly appreciative

“Without the support of the Creative Work Fund and the commitment to working over the long-term with artists to bring new and risky work into our community we would not have been able to surpass our own goals ”

- **The Edible Schoolyard at Martin Luther King School (collaborating with visual artist Ene Osteraas-Constable)**

Marsha Guerrero, the new project coordinator for The Edible Schoolyard, reported, “Ene chronicled the daily life of students in the garden and kitchen classes with hundreds of beautiful photographs that are in use to this day.” This project reached beyond the school and students with exhibitions at such places as a popular local supermarket “By exhibiting the work at the Berkeley Bowl (supermarket), Ene engaged people in a public, food-related context that heightened the viewers’ appreciation of the importance of art, and the social significance of food and eating, in daily life ”

Guerrero concluded her report “The project was beautifully presented, reflecting the beauty and strength of familial food traditions ” The goal of the “Family Roots” project had been to reflect the cultural diversity of King Middle School and facilitate relationships between The Edible Schoolyard and more of the students’ families It appears to have been successful at engaging more families in activities at the school

Native American Cultural Center (collaborating with poet Abena Songbird)

While lead artist Abena Songbird reported last April on the development and presentation of the collaborative on-line poem, “Rounddance,” Andrew Brother Elk’s (a board member’s) report from the organization’s point of view arrived in August As was suggested in the artist’s report, this project was challenged by the departure of the Native American Cultural Center’s executive director and as a partnership between a young, inexperienced artist and an under-developed organization Brother Elk reports in the future the Center would “collaborate with artists that are more organized and successful at communication ”

Brother Elk points out that the project’s focus changed from creating an on-line poem to an emphasis on the production of a CD-ROM He mentions that the board of the Cultural Center had wanted to explore a new artform (online collaborative poetry) in an indigenous context, thereby linking modern individuals to the ancient tribal artform of the community story He found it gratifying to see the idea tried He also pointed out that many Native writers contributed beautiful lines to “Rounddance ”

This grant was one of the smallest awarded to date by the Creative Work Fund (\$15,000) and the concept of fostering an on-line story among Native artists around the country stood up well in circumstances that were not ideal

- **Roberto Borrell (traditional artist collaborating with SOMARTS)**

Cuban musician and dancer Roberto Borrell reported briefly on his well-received “Roots of Timba” project with the South of Market Cultural Center The finished

work was a two and a half hour long music and dance presentation of the historical evolution of Cuban music, demonstrating influences from France, Spain, and Africa. More than ten different ensembles performed (many composed of the same musicians in different combinations)

It appears that Borrell and his musical colleagues—particularly Tregar Otton—were the ones who were collaborating, with SOMARTS providing a modest but essential level of administrative support. The exchange of musical and choreographic ideas among the artists (including several who came from Cuba for the performances) was deep and profound. The project's most challenging aspect (and unexpected expenses) stemmed from problems with securing visas for the Cuban artists.

Having attended one of these performances, I can report that SOMARTS was packed and the event was well-received by its audience. The staged presentation culminated with a dance party that extended late into the night. Borrell reports that the project was along the same lines as others he had produced, but was "the first time I put live music and dance together in such a scale."

- **Valerie Soe and the Ruth Asawa Fund (collaborating on a media arts project)**
As Ruth Asawa is frail, Valerie Soe completed both the artist's and the organization's final reports about "Each One Teach One," a 30-minute film about development of the innovative arts program at Alvarado School in San Francisco.

The original proposal planned to focus on six women—parents of children at the school who were also artists and volunteer art teachers. Ultimately it focused more on Ruth Asawa, the central visionary behind the other parent/artists' efforts. Valerie Soe and Ruth Asawa made creative decisions together about who to interview and what material to cover and Ruth set up shooting opportunities in her garden (close to the school) and on the campus (where her son now runs a ceramics studio).

Soe reports that the project allowed her to create her first long-form documentary, and to work with excellent filming and sound equipment. She is known for a video art style that has a rough "hand-made" look. While her deliberate informality carried over to this project, "Each One Teach One" also has considerable polish.

The project took longer than anticipated and the artist reports, "If I were to do a similar project I would try not to have a baby during the process!" Ultimately Soe and Asawa created a high-quality piece that documents an important story about how over thirty years parent involvement can make an ordinary public school into something extraordinary.

- **Gloria Frym and Community Works (collaborating on a literary project)**
Final reports from both the lead artist and organization were received in January 2003. Community Works focused its part of the report on the students' engagement in the project. Seventeen students read the novel *Tess of the d'Urbervilles* with their English teacher Judy Bebelalar and writer Gloria Frym. A group varying from six to

eight members then participated twice a week in an in-depth after school salon. Most of the students were juniors and the group was very diverse racially and culturally. Community Works' Executive Director Ruth Morgan reports that the most successful aspect of the project was these students' "rare opportunity to work closely with an exemplary artist/writer." While both the organization and the artist believe that the project was too ambitious to complete in a single school year (as originally proposed), the depth of the students' engagement in advising the writer and analyzing the work was moving and important to the writer and organization.

Once the class and salon were finished, it took the writer two additional years to complete the novel. The writer mentions several personal and professional issues that interfered with the project, but that her "biggest challenge was deciding, after much experimentation, to abandon the multiple point of view approach." She also mentioned that it was difficult to keep writing after the school salon ended because the students had been her informants and inspiration for the piece. "Fortunately Community Works made photographic portraits of many of them, the contact sheets of which are posted by my desk. In particular, one female student became the physical prototype of my main character Rose." Frym also discusses the project's significance as her first novel. "As a poet and short story writer, I learned about carrying narrative through time."

In spite of this project's very late completion, the artist's and organization's working relationship was excellent and their deep respect for one another shines through the reports.

- **E.G. Crichton and Kim Anno (visual artists collaborating with the Gay and Lesbian Historical Society)**

"Lost and Found" was a multi-media installation exploring the history of middle class and low-income lesbian women in the Bay Area. It incorporated an interactive web site, a large, cloth-bound artist book, a satirical mixed media installation of "lesbian hair," clothing displays, photographs, maps, a sculptural video book, and other works. Of its many parts, the artists felt the web site was the least successful.

As originally proposed, it was exhibited at the Center for Sexual Diversity (formerly the Gay and Lesbian Historical Society) and at the San Francisco Public Library. An unexpected opportunity arose for the artists to also develop and display work on this theme at the Richmond Health Center. There they achieved a remarkable level of community engagement in and enthusiasm. "Working in Richmond, a city relatively untouched by Gay Liberation, was incredibly moving and inspiring. We met amazing and courageous people we wouldn't normally encounter, and felt that our project actually was able to make a difference in terms of mediating tensions around homophobia."

While the artists were pleased with public response to the project, including excellent press coverage, they were disappointed by their collaboration with their sponsoring organization, whose involvement was minimal. Nevertheless, the artists benefitted

from access to the Society's archival holdings and will be donating the new archival materials they uncovered to the Society

E G. Crichton reports, "Each form we took on was a new experience because it had to fit a specific public context This was a joy for us both of us most of the time " Also, for her collaborator Kim Anno, many of the media they used were new and very exciting

Overview of Project Finances

In reviewing final reports for project funded and completed to date, the Jon Sims Center's opera, created with Carla Lucero, has been the most costly project, with total expenses of \$295,070 The least expensive project has been Creativity Explored "Animals in the Natural Environment," a mural at Laguna Honda Hospital, costing \$13,500

An overview of expenses for 55 completed projects is enclosed Current average figures for all disciplines are

Completed Projects in All Disciplines

- Average total project costs \$61,913 (Median project costs are \$45,000)
- Average Creative Work Fund grant \$30,574
- Average total artists fees paid \$27,557
- Average lead artist fees paid \$9,038

In comparing total costs by art form, performing arts projects have been the most expensive with average costs for 19 completed projects of \$91,002 Other disciplines (in descending order of average total project costs) have been

- Visual arts (22 completed projects) averaging \$53,606
- Traditional arts (1 completed project) averaging \$36,290
- Literary arts (7 completed projects) averaging \$32,787
- Media arts (6 completed projects) averaging \$31,106

Detailed information for all projects funded, all projects completed, and all projects broken out by art form are included in the appendix The most dramatic shifts demonstrated in these documents during the past six months are the increase in the total costs for performing arts projects (by an average of nearly \$10,000 per project), and the increase in lead artist fees by nearly \$1,000 The trend toward increasing project costs may lead the Fund to reconsider increasing the optimum grant size in the future The trend toward higher fees for lead artists is good to see in general we've been disappointed by the amounts artists have paid themselves to manage ambitious projects

Creative Work Fund Budget and Actual Statements

Because the Creative Work Fund's annual "cycle" begins and ends in the summer, staff has found it useful to work from a July 1-June 30 fiscal year Each fiscal year represents the costs for announcing, selecting, and awarding a set of grants (usually in two broadly-

defined genres) Such a budget and financial statement is included in the appendix as "Creative Work Fund Budget/Actual 1999-2004 "

However, to mesh the Fund's budget with the Walter and Elise Haas Fund's financial reporting, staff also has kept a calendar year budget and actual report In conversations with Susan Clark earlier this year, it seemed that the Columbia Foundation would benefit from seeing this yearly statement, so for the first time an annual statement for 2002 is included in the appendix ("Creative Work Fund Budget/Actual 2002") as is an annual budget for calendar year 2003.

Income

In 1999, the four contributing foundations began a new cycle of \$150,000 awards to the Creative Work Fund Three of the foundations (all but the Evelyn and Walter Haas, Jr Fund) committed at that time to five years of support at \$150,000 each Sylvia Yee informed the Fund that the Haas Jr Fund did not award any grants for longer than three consecutive years and that the Haas, Jr Fund would need to re-evaluate its support in the final two years of the five year cycle The Evelyn and Walter Haas, Jr Fund's three year award came to an end in the middle of 2002: it awarded a fourth year of support to the Fund later that year.

When the Fund was originally created, all partners agreed that the Walter and Elise Haas Fund would manage it and that the others would pitch in to assist with administrative duties While all of the partners have been helpful (lending staff for key events, allowing the Fund to use office and meeting space, etc), it became obvious that the Walter and Elise Haas Fund's administration of the Fund was more taxing than originally imagined Therefore, at the suggestion of Peter Haas, beginning in 2000-01, the Columbia Foundation, Evelyn and Walter Haas, Jr Fund, and Miriam and Peter Haas Fund began awarding an additional \$5,000 to the Creative Work Fund annually toward the Walter and Elise Haas Fund's administrative costs All four partners contributed the \$5,000 that first year. The Columbia Foundation did not award the additional \$5,000 in 2001-02 Staff has talked to Susan Clark about this and she has asked for another written proposal clarifying the multi-year nature of the commitment Creative Work Fund staff will submit that request to the Columbia Foundation this month

Attorneys' Fees in 2002

In 2002 the Creative Work Fund consulted with Silk, Adler and Colvin about how to appropriately endow the Creative Work Fund as a separate entity Two bills were received from the law firm for this consultation—the first for \$8,000 and the second for \$11,149.57 The bill for \$8,000 was paid by the Creative Work Fund (out of the \$5,000 administrative grants from the three family foundations) The Walter and Elise Haas Fund paid the \$5,149.57 balance As a one-time contribution toward this unusual expense, the Columbia Foundation, Evelyn and Walter Haas, Jr Fund and Miriam and Peter Haas Fund each contributed \$1,000 These \$1,000 contributions partially reimbursed the Walter and Elise Haas Fund for paying this bill and do not appear in the Creative Work Fund financial statements

In most years, the \$5,000 contributions from the three foundations will pay for temporary staff and partially support the salary for Frances Phillips's assistant. In 2001-02 (and CY 2002), because of the unusual attorney's bill, only \$7,000 went toward temporary assistants and staff salaries.

Other points to observe about the 2002 statement and 2003 budget include:

- One grant awarded in 2001 (to American Indian Contemporary Arts) was not paid until 2002 because the organization contact had been ill and unable to work on the memorandum of understanding.
- Two grants awarded in 2002 were not paid. As discussed above, changes at the Magnes Museum have worried the artists who have not completed the memorandum of understanding. Kitka also has not completed its memorandum because its collaborating composer has been traveling in the Australian outback.
- Grants awarded in 2002 came in slightly under budget (an unusual situation) because one of the projects the panel chose only requested \$15,000. While this left some budgeted funds uncommitted, the amount left over was not enough to fully fund an additional project, so the money was forwarded into the 2003 grants budget.
- As mentioned above, traditional artists selected in 2002 to submit full proposals are eligible for \$500 mini-grants to document their works. As of the end of 2002, only one organization had requested its mini-grant. Additional mini-grants are being paid out of the 2003 budget.
- Staff shifted funds budgeted for a publication into costs for enhancing and expanding the web site.
- Beginning in fall 2002, based on researching fees paid by other foundations, staff raised panelists' honoraria from \$500 to \$750.
- Travel costs have risen in 2001 and 2002.
- Several of the projects that Driscoll and Horton (the Fund's publicists) planned to publicize in 2002 were postponed or inappropriate. Scott Horton assisted fabric artist Ellen Oppenheimer with the dedication of four new quilts in Oakland branch libraries and worked with Laetitia Sonami and the Tinkers Workshop on their opening at New Langton Arts but other plans fell through (keeping publicity expenses low). In 2003, they plan to work on more activities: the *Poor Magazine* book launch, the Z Space Studio's Jonestown research, Body Positive and Birgit Gehrt's garment sculptures, Claudia Bernardi's collaboration with Kimi Okada and ODC, Kitka's "futuristic folk opera" developed in collaboration with Ellen Sebastian Chang, and Gilbert Blacksmith's workshops in regalia making for Native American youth. They also will assist with announcing the project grants awarded in June 2003.
- The television and VCR in the upstairs conference room are not working well and staff has budgeted some funds for equipment purchase to replace them in 2003. Other funds at One Lombard may choose to participate in this expense.

**Creative Work Fund
Mid-Year Report, January 2003
Enclosures**

Budget and Actual Expenses to Date; 1999-2004

Budget and Actual Expenses, CY 2002

Budget, CY 2003

Project Financial Reports:

Analysis of All Projects Completed and Proposed
55 Completed Projects (In Order of Total Expenses)
Summary--Project Financial Reports (Overview)
Visual Arts Projects (22 completed)
Performing Arts Projects (19 completed)
Media Arts Projects (6 completed)
Literary Arts Projects (7 completed)
Traditional Arts Projects (1 completed)

Clippings, Reviews and Other:

Recently Completed Projects

"Theatre Rhino's Bad Hair Day," Robert Hurwitt, *San Francisco Chronicle*,
September 10, 2002

"Fleshing' out ideas in dance," Octavio Roca, *San Francisco Chronicle*,
January 6, 2003

"Joe Goode's body language," Octavio Roca, *San Francisco Chronicle*,
January 9, 2003

Printed Materials

"BAGS" at New Langton Arts

"No Man's Land," at ODC Theatre

	1	2	3	4	5	6	7	8	9	10
1	Budget	Actual								
2	1999 00	1999 00	2000 01	Actual	2001 02	Actual	2002 03	Actual	2003 04	
3	CARRY FORWARD		\$40,243	\$40,243 27	\$14,985 81	\$14,985 81	\$45,310	\$45,310 28	\$3,540	
4	Columbia Foundation	\$150,000	\$150,000 00	\$155,000	\$155,000 00	\$155,000 00	\$150,000 00	\$155,000	\$150,000 00	\$155,000
5	E&WH Jr Fund	\$150,000	\$150,000 00	\$155,000	\$155,000 00	\$155,000 00	\$155,000 00	\$155,000	\$155,000 00	\$155,000
6	M & PH Fund	\$150,000	\$150,000 00	\$155,000	\$155,000 00	\$155,000 00	\$155,000 00	\$155,000	\$155,000 00	\$155,000
7	W&EH Fund	\$150,000	\$150,000 00	\$150,000	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000	\$150,000 00	\$150,000
8	TOTAL	\$600,000	\$600,000 00	\$655,243	\$655,243 27	\$629,985 81	\$624,985 81	\$660,310	\$655,310 28	\$611,460
9										
10	EXPENSES									
11	CWF Grants	2 Rounds	3 Rounds		2 Rounds		2 Rounds		2 Rounds	
12	Grants Awarded	\$490,000	\$501,298 00	\$545,000	\$568,000 00	\$525,000	\$505,000 00	\$550,000		\$525,000
13	Documentation Grants						\$7,500	\$2,000 00		
14	Application Stipends	\$10,000	\$9,800 00	\$10,000	\$9,200 00	\$10,000	\$9,800 00	\$8,000	\$9,200 00	\$10,000
15	Total Grants	\$500,000	\$511,098 00	\$555,000	\$577,200 00	\$535,000	\$514,800 00	\$565,500	\$11,200 00	\$535,000
16										
17	Administrative Costs									
18	Salaries & Benefits			\$15,000	\$15,000 00	\$15,000	\$7,000 00	\$15,000	\$399 75	\$15,000
19	Consultants (attorneys)						\$8,000 00			
20	Letterhead & Flyers	\$2,000	\$1,484 90	\$2,000	\$1,792 40	\$2,000	\$1,823 73	\$2,000	\$1,323 83	\$2,000
21	Guidelines	\$8,000	\$9,792 02	\$9,000	\$9,113 88	\$9,200	\$8,535 41	\$9,500	\$9,848 78	\$9,500
22	Web Designer					\$0	\$3,166 67	\$8,500	\$10,500 34	\$2,500
23	Website hosting	\$300	\$150 00	\$330	\$30 00	\$300		\$500	\$24 95	\$500
24	Mailing Lists/Ads	\$1,000	\$312 38	\$1,000	\$240 98	\$750	\$475 00	\$750	\$454 20	\$750
25	Mailing House	\$4,000	\$2,659 77	\$4,000	\$2,621 24	\$3,000	\$1,626 22	\$3,200	\$2,070 87	\$3,000
26	General Postage					\$5,000	\$1,584 21	\$5,000	\$3,313 64	\$4,500
27	Seminars	\$750	\$730 00	\$750	\$468 97	\$750	\$486 93	\$750	\$564 41	\$750
28	Panelists' Honoraria	\$6,000	\$6,000 00	\$6,000	\$6,000 00	\$6,000	\$6,000 00	\$6,750	\$750 00	\$7,500
29	Panelists' Airtare & Per Diem	\$8,000	\$8,389 27	\$8,000	\$8,186 24	\$8,200	\$8,949 27	\$6,800	\$28 49	\$8,500
30	Folkarts Nominators/Readers			\$7,500	\$10,500 00			\$2,250	\$2,250 00	
31	Nominators travel/per diem			\$4,000	\$1,632 61			\$1,500	\$586 23	
32	Equipment Purchase							\$350		
33	Misc Expenses	\$1,000	\$846 60	\$1,000	\$842 31	\$1,000	\$223 35	\$1,000	\$70 03	\$900
34	Subtotal	\$31,050	\$30,364 94	\$58,580	\$56,428 63	\$51,200	\$47,870 79	\$63,850	\$32,185 52	\$55,400
35										
36	PUBLICITY									
37	Graphic Artist			\$14,000						
38	Publicists' Fees	\$14,500	\$9,000 00	\$20,000	\$1,700 00	\$14,000	\$10,200 00	\$15,000	\$6,100 00	\$11,000
39	Publicists' Expenses	\$11,500	\$6,595 05	\$12,750	\$637 17	\$13,000	\$131 44	\$13,000	\$579 10	\$13,500
40	W&EHF Publicity costs				\$201 86	\$500		\$500	\$235 38	
41	Convening Recipients/Eval	\$3,500	\$2,698 74	\$3,500	\$4,089 80	\$4,500	\$6,673 30	\$6,000		\$6,000
42	Publications			\$28,000		\$44,000				
43	Publication Postage			\$6,000						
44	Subtotal	\$29,500	\$18,293 79	\$84,250	\$6,628 83	\$76,000	\$17,004 74	\$34,500	\$6,914 48	\$30,500
45	TOTAL Admin & Publ	\$60,550	\$48,658 73	\$142,830	\$63,057 46	\$127,200	\$64,875 53	\$83,350	\$39,100 00	\$85,500
46	GRAND TOTAL	\$560,555	\$559,756 73	\$697,830	\$640,257 46	\$662,200	\$579,675 53	\$663,850	\$50,300 00	\$610,500
47	Balance		\$40,243 27	(\$42,587)	\$14,985 81	(\$32,214)	\$45,310 28	(\$3,540)		\$960

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

THE CREATIVE WORK FUND Budget Actual
2002

1/31/03

	Budget	Actual	Budget	Actual By
	2002	2002	By Foundation	Foundation
INCOME				
Carry Forward	\$25,504 60	\$25,504 60	6,376 00	\$6,376.00
Grant awarded carry forward	\$35,000 00	\$35,000 00	8,750 00	\$8,750 00
Columbia Foundation	\$155,000 00	\$150,000 00	155,000 00	\$155,000.00
E&WH Jr Fund	\$155,000 00	\$155,000 00		
M & PH Fund	\$155,000 00	\$155,000 00		
W&EH Fund	\$150,000 00	\$150,000 00		
TOTAL	\$675,504 60	\$670,504 60	170,126 00	\$170,126 00
EXPENSES				
CWF Grants				
Grants Awarded	\$525,000 00	\$505,000 00	\$131,250 00	\$126,250 00
Grants Paid		\$470,000 00		\$117,500.00
Documentation grants @ \$500	\$6,000 00		\$1,500 00	
Documentation grants paid		\$500 00		\$124 00
Total Grants	\$531,000 00	\$470,500.00	\$132,750.00	\$117,624.00
Personnel				
860 864 W&EHF Salaries & Benefits	\$15,000 00	\$6,600 25	\$5,000 00	\$2,200 08
860 019 W&EHF Temporary Employees		\$399 75		\$133.25
860 018 Legal Fees	\$0 00	\$8,000 00	\$0.00	\$2,666 67
Subtotal	\$15,000.00	\$15,000 00	\$5,000 00	\$5,000.00
Other Administrative Costs				
860-004 Printing			\$2,800 00	\$2,915.79
Letterhead & Flyers	\$2,000 00	\$1,814 36		
Guidelines	\$9,200 00	\$9,848 78		
860-002 Postage/Ads			\$2,375.00	\$1,459 68
Mailing Lists/Ads	\$500 00	\$624 20		
Mailing House	\$3,500 00	\$2,070 87		
Routine Postage	\$5,000 00	\$2,849 74		
Photocopying	\$0 00	\$15 16		
Delivery Service/Misc Postage	\$500 00	\$278 74		
860-005 Meeting Expenses			\$187 50	\$141 10
Application Seminars	\$750 00	\$564 41		
860-007 Application Stipends	\$8,000 00	\$8,600 00	\$2,000 00	\$2,150.00

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

THE CREATIVE WORK FUND Budget Actual
2002

1/31/03

860-009	Panelists' Honoraria			\$2,000 00	\$2,000 00
	Panelists'/Readers' Honoraria	\$8,000 00	\$8,000 00		
860-111	Panelists' Expenses			\$2,625.00	\$2,390 95
	Panelists' travel & meals	\$10,000 00	\$8,949 27		
	Nominators' travel & meals	\$500 00	\$614 72		
860-008	Misc. Expenses	\$500 00	\$248 41	\$125 00	\$62.10
	<i>Subtotal--Other Admin.</i>	<i>\$48,450 00</i>	<i>\$44,478 66</i>	<i>\$12,112.50</i>	<i>\$11,119 62</i>
	PUBLICITY				
860-003	Consultants-publicity/PR				
	Publicists' Fees	\$12,000 00	\$11,200 00	\$3,000.00	\$2,800 00
860-013	Publicity Expenses				
	Publicists' Expenses	\$12,500 00	\$902 12	\$3,125 00	\$225.53
	Website hosting	\$300 00	\$24 95	\$75.00	\$6 24
860-014	Meeting Exp-Recipients				
	Convening Recipients/Eval	\$4,500 00	\$3,880 39	\$1,125 00	\$970.09
860-	Consultants-pub./web				
	Writers				
	Editor				
	Designer	\$12,000 00	\$13,667 01	\$3,000 00	\$3,416.75
860-	Printing-Publication				
860-	Distribution-Publication				
	Publication Postage				
	<i>Subtotal</i>	<i>\$41,300.00</i>	<i>\$29,674.47</i>	<i>\$10,325.00</i>	<i>\$7,418.61</i>
	GRAND TOTAL	\$635,750 00	\$559,652.47	\$160,187.50	\$141,162.23
	One grant was awarded in 2001 & paid in 2002, two grants awarded in 2002 will be paid in 2003				
	Budget Summary	Total Budget	Actual	By Foundation	Actual by Fdn
	Grants Awarded	\$531,000 00	\$505,500 00	\$132,750 00	\$126,375.00
	Grants Paid	\$531,000 00	\$470,500 00		\$117,624.00
	W&EHF Administrative Reimb	\$15,000 00	\$15,000 00	\$5,000.00	\$5,000.00
	Grants Administration	\$48,500 00	\$44,479 00	\$12,112 50	\$11,119.62
	Promotion & Convenings	\$41,300 00	\$29,674 00	\$10,325.00	\$7,418 61
	Subtotal All Administrative	\$104,800 00	\$89,153 00	\$27,437 50	\$23,538 23

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

	Budget	Budget per
	2003	Foundation
INCOME		
Carry Forward	\$40,851.47	\$10,212.87
Awarded Grants Carry Forward	\$70,000.00	\$17,500.00
Columbia Foundation	\$160,000.00	\$155,000.00
E&WH Jr Fund	\$155,000.00	
M & PH Fund	\$155,000.00	
W&EH Fund	\$150,000.00	
TOTAL:	\$730,851.47	\$182,712.87
EXPENSES		
CWF Grants		
Grants @ \$10,000-\$35,000	\$550,000.00	\$137,500.00
Grants Carried Forward	\$70,000.00	\$17,500.00
Documentation Grants	\$5,500.00	\$1,375.00
Total Grants	\$625,500.00	\$156,375.00
Personnel		\$5,000.00
860-864 W&EHF Salaries & Benefits	\$20,000.00	
Subtotal-Salaries & Benefits	\$20,000.00	\$5,000.00
Other Administrative Costs		
860-004 Printing		\$3,000.00
Letterhead & Flyers	\$2,000.00	
Guidelines	\$10,000.00	
860-002 Postage		\$1,925.00
Mailing Lists/Ads	\$500.00	
Mailing House	\$2,800.00	
Routine Postage	\$4,000.00	
Delivery Service/Misc Postage	\$400.00	
860-005 Meeting Expenses		\$163.00
Application Seminars	\$650.00	
860-007 Application Stipends	\$10,000.00	\$2,500.00
860-009 Panelists' Honoraria		\$2,062.50
Panelists' Honoraria	\$8,250.00	
860-111 Panelists' Expenses		\$2,000.00

FORM 990-PF, PART VII-B, QUESTION 5c

STATEMENT 23

	Panelists' travel & meals	\$8,000 00	
860-008	Misc. Expenses	\$500 00	\$125.00
	<i>Subtotal-Other Administrative</i>	<i>\$47,100.00</i>	<i>\$11,775.50</i>
	PUBLICITY		
860-003	Consultants-publicity/PR		\$3,000.00
	Publicists' Fees	\$12,000 00	
860-013	Publicity Expenses		
	Publicists' Expenses	\$7,000 00	\$1,750.00
	Website hosting	\$200 00	\$75.00
860-014	Meeting Exp-Recipients		\$1,125.00
	Convening Recipients/Eval	\$4,500 00	
860-	Webmaster		\$3,625.00
	Site Maintenance	\$2,000 00	
	Site Expansion/Revision	\$12,500 00	
860-	Printing-Publication		
860-	Distribution-Publication		
	Publication Postage		
	<i>Subtotal</i>	<i>\$38,200.00</i>	<i>\$9,575.00</i>
	GRAND TOTAL	\$730,800 00	\$182,700.00
	Budget Summary	Four Partners	By Foundation
	Grants to be Awarded	\$625,500.00	\$156,375.00
	Administrative Costs		
	Admin Reimbursement	\$20,000 00	\$5,000 00
	Grants Administration	\$47,100 00	\$11,775.00
	Public Relations & Convenings	\$38,200 00	\$9,550.00
	Total - Administrative	\$105,300 00	\$26,325.00

Miriam & Peter Haas Fund
NORTHERN TRUST to TAX RETURN BALANCE SHEET
RECONCILIATION
December 31, 2002

RECONCILING ITEMS BETWEEN NORTHERN TRUST AND TAX RETURN BALANCE SHEET	End of Year <u>FMV</u>
FORM 990-PF, PART II, LINE 13-Investments Other	
VENTURE CAPITAL AND PARTNERSHIP per Northern Trust	5,050,907
Adjustments	
Backout incorrect partnership valuations from Northern Trust FARALLON CAPITAL INSTITUTIONAL PARTNERS	(5,050,907)
Include correct partnership valuations FARALLON CAPITAL INSTITUTIONAL PARTNERS	5,000,000
Include partnership valuation where Northern Trust not custodian	
JW CHILDS EQUITY PARTNERS III	194,062
OFFIT HALL ABSOLUTE RETURN FUND	2,000,000
OFFIT HALL PRIVATE EQUITY FUND	60,000
ROSEWOOD CAPITAL III	2,394,776
ROSEWOOD CAPITAL	1,596,135
RVG IV	774,900
TCV III	1,738,437
TCV IV	703,595
WARBURG PINCUS	483,346
VENTURE CAPITAL AND PARTNERSHIP per Tax Return Balance Sheet	14,945,251

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 1 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued income/expense				Market	Translation	Total
Common stock								
Bermuda - USD								
ACCENTURE LTD BERMUDA CLS A COM	51,550 000 CUSIP G1150G111	17 99 0 00		927,384 50	1,007 908 84	-80 524 34	0 00	-80 524 34
M & P HAAS FUND - GMG SENECA	43,150 000	0 00		776 268 50	797,497 65	-21 229 15	0 00	-21 229 15
M & P HAAS FUND - RCM CAP MTG	8 400 000	0 00		151,116 00	210 411 19	-59 295 19	0 00	-59 295 19
INGERSOLL-RAND CO CL A	4,000 000 CUSIP G4776G101	43 06 0 00		172,240 00	159 909 50	12,330 50	0 00	12 330 50
M & P HAAS FUND - CAP GDN	4,000 000	0 00		172,240 00	159,909 50	12 330 50	0 00	12,330 50
PARTNERRE HLDG LTD COM STK	2,500 000 CUSIP G6852T105	51 82 0 00		129 550 00	120,875 00	8 875 00	0 00	8 875 00
M & P HAAS FUND - CAP GDN	2 500 000	0 00		129,550 00	120,875 00	8 875 00	0 00	8,875 00
TYCO INTL LTD NEW COM	5,800 000 CUSIP 902124106	17 08 72 50		99,064 00	120,969 60	-21 905 60	0 00	-21 905 60
M & P HAAS FUND - CAP GDN	5,800 000	72.50		99 064 00	120 969 60	-21,905 60	0 00	-21,905 60
WEATHERFORD INTL LTD	5 395 000 CUSIP G95089101	39 93 0 00		215,422 35	247,414 70	-31 992 35	0 00	-31 992 35
M & P HAAS FUND - CAP GDN	5,395 000	0 00		215,422 35	247 414 70	-31,992 35	0 00	-31 992 35
Total USD		72 50		1,543,660 85	1,656,877 64	-113,216 79	0 00	-113,216 79
Total Bermuda		72 50		1,543,660 85	1,656,877 64	-113,216 79	0 00	-113,216 79
Canada - USD								
NOVA CHEMICALS CORP COM	4,800 000 CUSIP 66977W109	18 30 0 00		87,840 00	118 011 03	-30 171 03	0 00	-30,171 03
M & P HAAS FUND - CAP GDN	4 800 000	0 00		87 840 00	118,011 03	-30 171 03	0 00	-30 171 03
THOMSON CORP COM	2,700 000 CUSIP 884903105	26 73 0 00		72,171 00	81,558 00	-9,387 00	0 00	-9,387 00
M & P HAAS FUND - CAP GDN	2,700 000	0 00		72,171 00	81 558 00	-9,387 00	0 00	-9 387 00
Total USD		0 00		160,011 00	199,569 03	-39,558 03	0 00	-39,558 03
Total Canada		0 00		160,011 00	199,569 03	-39,558 03	0 00	-39,558 03

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 2 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Common stock								
Cayman Islands - USD								
SEAGATE TECH HLDGS COM USD0 00001	2,400 000 CUSIP G7945J104	10 73 0 00		25,752 00	26,047 42	-295 42	0 00	-295 42
M & P HAAS FUND - CAP GDN	2,400 000	0 00		25,752 00	26,047 42	-295 42	0 00	-295 42
TRANSOCEAN INC	5,100 000 CUSIP G90078109	23 20 0 00		118 320 00	196,089 41	-77 769 41	0 00	-77,769 41
M & P HAAS FUND - CAP GDN	5,100 000	0 00		118,320 00	196,089 41	-77 769 41	0 00	-77 769 41
XL CAP LTD SHS A	5 400 000 CUSIP G98255105	77 25 0 00		417 150 00	118,864 00	298 286 00	0 00	298 286 00
M & P HAAS FUND - CAP GDN	5,400 000	0 00		417,150 00	118,864 00	298,286 00	0 00	298,286 00
Total USD		0 00		561,222 00	341,000 83	220,221 17	0 00	220,221 17
Total Cayman Islands		0 00		561,222 00	341,000 83	220,221 17	0 00	220,221 17
Finland - USD								
ADR NOKIA CORP SPONSORED ADR	9 700 000 CUSIP 854902204	15 50 0 00		150 350 00	104,541 09	45 808 91	0 00	45,808 91
M & P HAAS FUND - RCM CAP MTG	9 700 000	0 00		150 350 00	104 541 09	45 808 91	0 00	45,808 91
Total USD		0 00		150,350 00	104,541 09	45,808 91	0 00	45,808 91
Total Finland		0 00		150,350 00	104,541 09	45,808 91	0 00	45,808 91
Germany - USD								
ADR SIEMENS AG COM DM50 (NEW)	2,600 000 CUSIP 828197501	42 13 0 00		109,538 00	91 910 53	17 627 47	0 00	17,627 47
M & P HAAS FUND - CAP GDN	2,600 000	0 00		109 538 00	91,910 53	17,627 47	0 00	17 627 47
Total USD		0 00		109,538 00	91,910 53	17,627 47	0 00	17,627 47
Total Germany		0 00		109,538 00	91,910 53	17,627 47	0 00	17,627 47

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 3 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Accrued Income/expense				Market	Translation	
Common stock								
Israel - USD								
ADR TEVA PHARMACEUTICAL INDS LTD ADR ISIN #US6616242098	8,800 000 CUSIP 681624209	38 81 0 00		339,768 00	270,625 02	69,142 98	0 00	69,142 98
M & P HAAS FUND - RCM CAP MTG	8,800 000	0 00		339,768 00	270,625 02	69,142 98	0 00	69,142 98
Total USD		0 00		339,768 00	270,625 02	69,142 98	0 00	69,142 98
Total Israel		0 00		339,768 00	270,625 02	69,142 98	0 00	69,142 98
Netherlands - USD								
ADR ASML HLDG ASML HLDG NV EURO 02(NY REG)	8,000 000 CUSIP N07059111	8 36 0 00		66,880 00	111,452 65	-44,572 65	0 00	-44,572 65
M & P HAAS FUND - CAP GDN	8,000 000	0 00		66,880 00	111,452 65	-44,572 65	0 00	-44,572 65
ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	8,400 000 CUSIP 780257804	44 02 0 00		369,768 00	409,390 84	-39,622 84	0 00	-39,622 84
M & P HAAS FUND - CAP GDN	8,400 000	0 00		369,768 00	409,390 84	-39,622 84	0 00	-39,622 84
Total USD		0 00		436,648 00	520,843 49	-84,195 49	0 00	-84,195 49
Total Netherlands		0 00		436,648 00	520,843 49	-84,195 49	0 00	-84,195 49
Netherlands Antilles - USD								
SCHLUMBERGER LTD COM STK	25,450 000 CUSIP 806857108	42 09 4,771 87		1,071,190 50	1,281,913 70	-210,723 20	0 00	-210,723 20
M & P HAAS FUND - CAP GDN	4,700 000	881 25		197,823 00	239,127 23	-41,304 23	0 00	-41,304 23
M & P HAAS FUND - GMG SENECA	16,650 000	3,121 87		700,798 50	702,658 26	-1,859 76	0 00	-1,859 76
M & P HAAS FUND - RCM CAP MTG	4,100 000	768 75		172,569 00	340,128 21	-167,559 21	0 00	-167,559 21
Total USD		4,771 87		1,071,190 50	1,281,913 70	-210,723 20	0 00	-210,723 20
Total Netherlands Antilles		4,771 87		1,071,190 50	1,281,913 70	-210,723 20	0 00	-210,723 20

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 4 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Income/expense	Accrued			Market	Translation	
Common stock								
Panama - USD								
CARNIVAL CORP COM	8,900 000 CUSIP 143858102	24 95 0 00		247 005 00	234 658 31	12 348 69	0 00	12,348 69
M & P HAAS FUND - CAP GDN	8,900 000	0 00		247,005 00	234 658 31	12,348 69	0 00	12 348 69
Total USD		0 00		247,005 00	234,658 31	12,348 69	0 00	12 348 69
Total Panama		0 00		247,005 00	234,658 31	12,348 69	0 00	12,348 69
United Kingdom - USD								
ADR ASTRAZENCA PLC SPONSORED ADR UK	32 689 000 CUSIP 046353108	35 09 0 00		1,147 057 01	1,324,111 67	-177 054 66	0 00	-177,054 66
M & P HAAS FUND - CAP GDN	32,689 000	0 00		1,147 057 01	1 324 111 67	-177,054 66	0 00	-177,054 66
ADR BP P L C FORMERLY BP AMOCO P L C TO 05/ SPONSORED ADR BP PLC	7,800 000 CUSIP 055622104	40 65 0 00		317,070 00	403,219 38	-86,149 38	0 00	-86 149 38
M & P HAAS FUND - RCM CAP MTG	7,800 000	0 00		317,070 00	403,219 38	-86,149 38	0 00	-86 149 38
ADR VODAFONE GROUP PLC NEW SPONSORED ADR	13,400 000 CUSIP 92657W100	18 12 1,891 08		242,808 00	578,066 42	-335,258 42	0 00	-335 258 42
M & P HAAS FUND - RCM CAP MTG	13 400 000	1,891 08		242 808 00	578 066 42	-335 258 42	0 00	-335
AMDOCS ORD GBP0 01	9,100 000 CUSIP G02802103	9 82 0 00		89,362 00	135 718 40	-46,356 40	0 00	-46 356 40
M & P HAAS FUND - CAP GDN	9,100 000	0 00		89,362 00	135 718 40	-46 356 40	0 00	-46,356 40
Total USD		1,691 08		1,796,297 01	2,441,115 87	-644,818 86	0 00	-644,818 86
Total United Kingdom		1,691 08		1,796,297 01	2,441,115 87	-644,818 86	0 00	-644,818 86
United States - USD								
3M CO COM	1,600 000 CUSIP 88579Y101	123 30 0 00		197,280 00	192 931 48	4,348 52	0 00	4 348 52
M & P HAAS FUND - RCM CAP MTG	1,600 000	0 00		197 280 00	192 931 48	4,348 52	0 00	4,348 52
5TH 3RD BANCORP COM	2 300 000 CUSIP 316773100	58 55 598 00		134,665 00	149,571 99	-14 906 99	0 00	-14,906 99
M & P HAAS FUND - RCM CAP MTG	2,300 000	598 00		134 665 00	149 571 99	-14 906 99	0 00	-14 906 99

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 5 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued income/expense				Market	Translation	Total
Common stock								
United States - USD								
ABBOTT LAB COM	5,200 000 CUSIP 002824100	40 00 0 00		208,000 00	256 815 74	-48,815 74	0 00	-48 815 74
M & P HAAS FUND - RCM CAP MTG	5,200 000	0 00		208 000 00	256,815 74	-48 815 74	0 00	-48,815 74
AES CORP COM	53 300 000 CUSIP 00130H105	3 02 0 00		160 966 00	835,172 70	-874,206 70	0 00	-874 206 70
M & P HAAS FUND - CAP GDN	53 300 000	0 00		160 966 00	835 172 70	-874,206 70	0 00	-874 206 70
AGILENT TECHNOLOGIES INC COM	21,918 000 CUSIP 00846U101	17 96 0 00		393 811 36	782 976 30	-389 364 94	0 00	-389,364 94
M & P HAAS FUND - CAP GDN	21,918 000	0 00		393,811 36	782,976 30	-389,364 94	0 00	-389 364 94
AIR PROD & CHEM INC COM	8 800 000 CUSIP 009158108	42 75 1,428 00		290 700 00	312 771 88	-22,071 88	0 00	-22 071 88
M & P HAAS FUND - CAP GDN	8,800 000	1,428 00		290 700 00	312,771 88	-22 071 88	0 00	-22 071 88
ALLERGAN INC COM	14,700 000 CUSIP 018490102	57 82 0 00		847 014 00	884,521 31	-37 507 31	0 00	-37,507 31
M & P HAAS FUND - CAP GDN	14,700 000	0 00		847 014 00	884 521 31	-37 507 31	0 00	-37 507 31
ALTERA CORP COM	29 000 000 CUSIP 021441100	12 33 0 00		357 570 00	439 083 58	-81 513 58	0 00	-81 513 58
M & P HAAS FUND - CAP GDN	29 000 000	0 00		357 570 00	439 083 58	-81 513 58	0 00	-81 513 58
AMER EXPRESS CO COM	24 850 000 CUSIP 025816109	35 35 1 988 00		878 447 50	823 731 15	54 716 35	0 00	54 716 35
M & P HAAS FUND - GMG SENECA	24 850 000	1,988 00		878 447 50	823,731 15	54,716 35	0 00	54,716 35
AMERICREDIT CORP COM	6,700 000 CUSIP 03060R101	7 74 0 00		51 858 00	139,436 94	-87 578 94	0 00	-87 578 94
M & P HAAS FUND - CAP GDN	6,700 000	0 00		51,858 00	139,436 94	-87,578 94	0 00	-87 578 94
AMERISOURCEBERGEN CORP COM	4,560 000 CUSIP 03073E105	54 31 0 00		247 653 60	260,760 59	-13 106 99	0 00	-13 106 99
M & P HAAS FUND - GMG SENECA	3 460 000	0 00		187 912 60	196,408 28	-8 495 68	0 00	-8 495 68
M & P HAAS FUND - RCM CAP MTG	1 100 000	0 00		59,741 00	64 352 31	-4,611 31	0 00	-4 611 31

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 6 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			
		Accrued income/expense	Market value	Cost	Market	Translation	Total
Common stock							
United States - USD							
AMERN INTL GROUP INC COM	28,290 000 CUSIP 026874107	57 85 0 00	1,520 878 50	1,037,824 97	483,051 53	0 00	483,051 53
M & P HAAS FUND - CAP GDN	1,700 000	0 00	98 345 00	108,403 00	-8 058 00	0 00	-8 058 00
M & P HAAS FUND - GMG SENECA	10,790 000	0 00	624 201 50	694 670 72	-70,469 22	0 00	-70,469 22
M & P HAAS FUND - RCM CAP MTG	13,800 000	0 00	798 330 00	238 751 25	581 578 75	0 00	581 578 75
AMERN STD COS INC DEL COM	3,100 000 CUSIP 029712106	71 14 0 00	220 534 00	218,770 65	1 783 35	0 00	1 783 35
M & P HAAS FUND - CAP GDN	3,100 000	0 00	220 534 00	218 770 65	1 783 35	0 00	1,783 35
AMGEN INC COM	35,190 000 CUSIP 031162100	48 34 0 00	1 701 084 60	1 319 035 31	382,049 29	0 00	382 049 29
M & P HAAS FUND - GMG SENECA	22,590 000	0 00	1 092 000 60	1 055 323 00	36,677 60	0 00	36 677 60
M & P HAAS FUND - RCM CAP MTG	12,600 000	0 00	609,084 00	293 712 31	345 371 69	0 00	345 371 69
ANADARKO PETRO CORP COM	1,350 000 CUSIP 032511107	47 80 0 00	64 665 00	67 154 00	-2 489 00	0 00	-2 489 00
M & P HAAS FUND - RCM CAP MTG	1,350 000	0 00	64 665 00	67,154 00	-2 489 00	0 00	-2 489 00
ANHEUSER BUSCH COS INC COM	32,500 000 CUSIP 035229103	48 40 0 00	1,573 000 00	1,307 443 09	265,556 91	0 00	265,556 91
M & P HAAS FUND - CAP GDN	4,000 000	0 00	193 600 00	173 545 14	20 054 86	0 00	20 054 86
M & P HAAS FUND - RCM CAP MTG	28 500 000	0 00	1 379,400 00	1,133,897 95	245,502 05	0 00	245 502 05
AOL TIME WARNER INC COM	37,100 000 CUSIP 00184A105	13 10 0 00	488 010 00	851,668 73	-365 658 73	0 00	-365,658 73
M & P HAAS FUND - CAP GDN	37,100 000	0 00	488 010 00	851 668 73	-365 658 73	0 00	-365 658 73
APPLERA CORP - APPLIED BIOSYSTEMS GROUP	24,200 000 CUSIP 038020103	17 54 1,028 50	424 468 00	577,223 32	-152 755 32	0 00	-152 755 32
M & P HAAS FUND - CAP GDN	24,200 000	1 028 50	424,468 00	577,223 32	-152 755 32	0 00	-152 755 32
APPLIED MATERIALS INC COM	134 030 000 CUSIP 038222105	13 03 0 00	1 746 410 90	2 168,334 12	-421 923 22	0 00	-421 923 22
M & P HAAS FUND - CAP GDN	67,100 000	0 00	874,313 00	1,115,469 45	-241,156 45	0 00	-241 156 45
M & P HAAS FUND - GMG SENECA	66,930 000	0 00	872 097 90	1 052 664 67	-180 766 77	0 00	-180,766 77

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 7 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued Income/expense				Market	Translation	Total
Common stock								
United States - USD								
APPLIED MICRO CIRCUITS CORP CDT-COM	30,300 000 CUSIP 03822W109	3 89 0 00		111,807 00	253 208 67	-141 401 67	0 00	-141 401 67
M & P HAAS FUND - CAP GDN	30 300 000	0 00		111 807 00	253 208 67	-141,401 67	0 00	-141,401 67
AT&T CORP COM NEW	9 920 000 CUSIP 001957505	28 11 1 860 00		259 011 20	248 861 43	10 149 77	0 00	10 149 77
M & P HAAS FUND - CAP GDN	9,920 000	1,860 00		259,011 20	248,861 43	10,149 77	0 00	10 149 77
AUTO DATA PROCESSING INC COM	8 400 000 CUSIP 053015103	39 25 1 008 00		329,700 00	429 654 84	-99,954 84	0 00	-99 954 84
M & P HAAS FUND - RCM CAP MTG	8,400 000	1,008 00		329,700 00	429,654 84	-99 954 84	0 00	-99 954 84
AUTOZONE INC COM	8,640 000 CUSIP 053332102	70 65 0 00		610 416 00	605,030 69	5 385 31	0 00	5 385 31
M & P HAAS FUND - GMG SENECA	8,640 000	0 00		610 416 00	605 030 69	5,385 31	0 00	5 385 31
AVON PROD INC COM	2,000 000 CUSIP 054303102	53 87 0 00		107,740 00	96,410 96	11,329 04	0 00	11 329 04
M & P HAAS FUND - CAP GDN	2,000 000	0 00		107 740 00	96,410 96	11 329 04	0 00	11 329 04
BAKER HUGHES INC COM	24,300 000 CUSIP 057224107	32 19 0 00		782 217 00	675,590 05	106,626 95	0 00	106 626 95
M & P HAAS FUND - CAP GDN	15,500 000	0 00		498,945 00	357 872 12	141,072 88	0 00	141 072 88
M & P HAAS FUND - RCM CAP MTG	8,800 000	0 00		283,272 00	317 717 93	-34,445 93	0 00	-34 445 93
BANK ONE CORP COM	18,200 000 CUSIP 06423A103	38 55 3 822 00		665,210 00	658 946 38	6,263 62	0 00	6 263 62
M & P HAAS FUND - CAP GDN	18,200 000	3 822 00		665 210 00	658 946 38	6,263 62	0 00	6 263 62
BAXTER INTL INC COM	5,550 000 CUSIP 071813109	28 00 3 230 10		155 400 00	316 932 63	-161 532 63	0 00	-161 532 63
M & P HAAS FUND - RCM CAP MTG	5,550 000	3 230 10		155 400 00	316,932 63	-161 532 63	0 00	-161 532 63
BECTON DICKINSON & CO COM	10 800 000 CUSIP 075887109	30 69 1 080 00		331 452 00	298 689 41	32 762 59	0 00	32 762 59
M & P HAAS FUND - CAP GDN	10,800 000	1 080 00		331,452 00	298 689 41	32 762 59	0 00	32,762 59

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 8 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Common stock								
United States - USD								
BERKSHIRE HATHAWAY INC DEL CL A	7 000 CUSIP 084870108	72,750 00 0 00		509 250 00	389,015 23	140,234 77	0 00	140 234 77
M & P HAAS FUND - CAP GDN	7 000	0 00		509 250 00	389 015 23	140 234 77	0 00	140,234 77
BJ SVCS CO COM	4,500 000 CUSIP 055482103	32 31 0 00		145 395 00	121,436 59	23,958 41	0 00	23 958 41
M & P HAAS FUND - CAP GDN	4,500 000	0 00		145 395 00	121,436 59	23,958 41	0 00	23 958 41
BK AMER CORP COM	12,259 000 CUSIP 080505104	69 57 0 00		852,858 63	824,149 27	28,709 36	0 00	28 709 36
M & P HAAS FUND - CAP GDN	1,489 000	0 00		103,589 73	63,736 65	39,853 08	0 00	39 853 08
M & P HAAS FUND - GMG SENECA	10,770 000	0 00		749 268 90	760 412 62	-11 143 72	0 00	-11,143 72
BOWATER INC COM	2,600 000 CUSIP 102183100	41 95 520 00		109 070 00	93 458 04	15 611 96	0 00	15 611 96
M & P HAAS FUND - CAP GDN	2,600 000	520 00		109 070 00	93 458 04	15,611 96	0 00	15 611 96
BROADCOM CORP CL A	6,300 000 CUSIP 111320107	15 08 0 00		94,878 00	211,076 38	-116 198 38	0 00	-116 198 38
M & P HAAS FUND - CAP GDN	6,300 000	0 00		94 878 00	211,076 38	-116,198 38	0 00	-116 198 38
CABLEVISION NY GROUP CL A COM	36,593 000 CUSIP 12688C109	16 74 0 00		612,566 62	843 611 54	-231,044 72	0 00	-231 044 72
M & P HAAS FUND - CAP GDN	36,593 000	0 00		612 566 62	843 611 54	-231 044 72	0 00	-231 044 72
CADENCE DESIGN SYS INC COM	8,400 000 CUSIP 127387108	11 79 0 00		99,036 00	114 799 89	-15,763 89	0 00	-15 763 89
M & P HAAS FUND - CAP GDN	8,400 000	0 00		99,036 00	114,799 89	-15 763 89	0 00	-15 763 89
CAMPBELL SOUP CO COM	10,800 000 CUSIP 134429109	23 47 1,701 00		253 476 00	307 828 05	-54,352 05	0 00	-54,352 05
M & P HAAS FUND - CAP GDN	10,800 000	1 701 00		253,476 00	307 828 05	-54,352 05	0 00	-54 352 05
CARDINAL HLTH INC	7,700 000 CUSIP 14149Y108	59 19 192 50		455 763 00	503,263 69	-47 500 69	0 00	-47 500 69
M & P HAAS FUND - RCM CAP MTG	7,700 000	192 50		455 763 00	503 263 69	-47,500 69	0 00	-47,500 69

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 9 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued income/expense				Market	Translation	Total
Common stock								
United States - USD								
CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	382,728 420 CUSIP 140995988	17 04 4,577 44		6,180,892 27	7,246,816 49	-1,065,924 22	0 00	-1,065 924 22
M & P HAAS FUND - CAP GDN	382,728 420	4,577 44		6,180 892 27	7,246,816 49	-1,065 924 22	0 00	-1,065,924 22
CHECKFREE CORP NEW COM	13,000 000 CUSIP 182813109	16 001 0 00		208 013 00	528 857 12	-320,844 12	0 00	-320,844 12
M & P HAAS FUND - CAP GDN	13,000 000	0 00		208,013 00	528,857 12	-320,844 12	0 00	-320 844 12
CHEVRONTXACO CORP COM	8,100 000 CUSIP 166784100	66 48 0 00		538,468 00	683,837 21	-145 349 21	0 00	-145 349 21
M & P HAAS FUND - CAP GDN	1,600 000	0 00		106 368 00	109 234 56	-2,866 56	0 00	-2,866 56
M & P HAAS FUND - RCM CAP MTG	6 500 000	0 00		432,120 00	574,602 65	-142,482 65	0 00	-142 482 65
CIN FNCL CORP COM	1,900 000 CUSIP 172062101	37 55 422 75		71,345 00	66,254 37	5 090 63	0 00	5 090 63
M & P HAAS FUND - CAP GDN	1,900 000	422 75		71 345 00	66 254 37	5 090 63	0 00	5 090 63
CISCO SYS INC COM	111,580 000 CUSIP 17275R102	13 10 0 00		1 461,698 00	1 468 645 72	-6 947 72	0 00	-6 947 72
M & P HAAS FUND - CAP GDN	45,900 000	0 00		601,290 00	615 789 33	-14 499 33	0 00	-14 499 33
M & P HAAS FUND - GMG SENECA	55,380 000	0 00		725 478 00	699 528 01	25 949 99	0 00	25 949 99
M & P HAAS FUND - RCM CAP MTG	10,300 000	0 00		134 930 00	153 328 38	-18 398 38	0 00	-18 398 38
CITIGROUP INC COM	13 466 000 CUSIP 172967101	35 19 0 00		473 868 54	404 554 40	69,314 14	0 00	69,314 14
M & P HAAS FUND - CAP GDN	2,866 000	0 00		100,854 54	134 438 64	-33,584 10	0 00	-33 584 10
M & P HAAS FUND - RCM CAP MTG	10,600 000	0 00		373,014 00	270 115 76	102 898 24	0 00	102 898 24
CLEAR CHANNEL COMMUNICATIONS INC COM	24,190 000 CUSIP 184502102	37 29 0 00		902,045 10	1,105 514 59	-203 469 49	0 00	-203 469 49
M & P HAAS FUND - GMG SENECA	24,190 000	0 00		902,045 10	1,105 514 59	-203 469 49	0 00	-203 469 49
CLOROX CO COM	2,000 000 CUSIP 189054109	41 25 0 00		82 500 00	63 649 55	18 850 45	0 00	18 850 45
M & P HAAS FUND - CAP GDN	2 000 000	0 00		82 500 00	63 649 55	18 850 45	0 00	18 850 45

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 10 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued Income/expense				Market	Translation	Total
Common stock								
United States - USD								
COCA COLA CO COM	8,000 000 CUSIP 191216100	43 82 0 00		350 580 00	449,758 68	-99 198 68	0 00	-99,198 68
M & P HAAS FUND - RCM CAP MTG	8,000 000	0 00		350 580 00	449,758 68	-99,198 68	0 00	-99,198 68
COLGATE-PALMOLIVE CO COM	11,200 000 CUSIP 194162103	52 43 0 00		587 216 00	291,089 83	296 146 17	0 00	296 146 17
M & P HAAS FUND - RCM CAP MTG	11,200 000	0 00		587 216 00	291 089 83	296 146 17	0 00	296 146 17
CONCORD EFS INC COM	62,310 000 CUSIP 206197105	15 74 0 00		980,759 40	998,691 13	-17 931 73	0 00	-17 931 73
M & P HAAS FUND - CAP GDN	14,600 000	0 00		229,804 00	238 597 32	-8,793 32	0 00	-8,793 32
M & P HAAS FUND - GMG SENECA	47,710 000	0 00		750,955 40	760 093 81	-9 138 41	0 00	-9 138 41
CORNING INC COM	18 800 000 CUSIP 219350105	3 31 0 00		62,228 00	212,484 11	-150,256 11	0 00	-150,256 11
M & P HAAS FUND - CAP GDN	18 800 000	0 00		62 228 00	212,484 11	-150 256 11	0 00	-150 256 11
COSTCO WHSL CORP NEW COM	5,800 000 CUSIP 22160K105	28 08 0 00		162 748 00	232 150 30	-69 402 30	0 00	-69,402 30
M & P HAAS FUND - RCM CAP MTG	5 800 000	0 00		162 748 00	232,150 30	-69 402 30	0 00	-69,402 30
COX COMMUNICATIONS INC NEW CL A	8,300 000 CUSIP 224044107	28 40 0 00		235 720 00	328 088 08	-92,368 08	0 00	-92 368 08
M & P HAAS FUND - CAP GDN	8,300 000	0 00		235 720 00	328 088 08	-92,368 08	0 00	-92 368 08
CREDENCE SYS CORP DEL	3 700 000 CUSIP 225302108	9 33 0 00		34 521 00	158,836 70	-124 115 70	0 00	-124,115 70
M & P HAAS FUND - CAP GDN	3 700 000	0 00		34,521 00	158 836 70	-124 115 70	0 00	-124 115 70
DEERE & CO COM	16,150 000 CUSIP 244199105	45 85 3 553 00		740,477 50	762 751 68	-22 274 18	0 00	-22,274 18
M & P HAAS FUND - GMG SENECA	16,150 000	3,553 00		740 477 50	762,751 68	-22 274 18	0 00	-22 274 18
DEL MONTE FOODS CO COM	1,339 800 CUSIP 24522P103	7 70 0 00		10,316 46	11,684 07	-1 367 61	0 00	-1 367 61
M & P HAAS FUND - CAP GDN	1,339 800	0 00		10 316 46	11,684 07	-1,367 61	0 00	-1,367 61

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 11 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss			
		Accrued income/expense				Market	Translation	Total	
Common stock									
United States - USD									
DELL COMPUTER CORP COM	9,600 000	26 74		256,704 00	252 972 29	3,731 71	0 00		3 731 71
	CUSIP 247025109	0 00							
M & P HAAS FUND - RCM CAP MTG	9,600 000	0 00		256 704 00	252 972 29	3 731 71	0 00		3 731 71
DISNEY WALT CO DISNEY COM COM	63,800 000	16 31		1,040 578 00	1,054 865 29	-14,287 29	0 00		-14 287 29
	CUSIP 254687106	13 435 80							
M & P HAAS FUND - CAP GDN	11,700 000	2 457 00		190 827 00	200,956 12	-10,129 12	0 00		-10 129 12
M & P HAAS FUND - GMG SENECA	52,100 000	10,978 80		849 751 00	853,909 17	-4 158 17	0 00		-4 158 17
DOVER CORP COM	3,500 000	29 16		102 060 00	105,952 64	-3 892 64	0 00		-3 892 64
	CUSIP 260003108	0 00							
M & P HAAS FUND - CAP GDN	3 500 000	0 00		102 060 00	105,952 64	-3 892 64	0 00		-3 892 64
DU PONT E I DE NEMOURS & CO COM STK	3 600 000	42 40		152,640 00	153 393 82	-753 82	0 00		-753 82
	CUSIP 263534109	0 00							
M & P HAAS FUND - CAP GDN	3,600 000	0 00		152 640 00	153 393 82	-753 82	0 00		-753 82
DUKE ENERGY CORP COM STK	5,000 000	19 54		97,700 00	96 325 00	1 375 00	0 00		1 375 00
	CUSIP 264399106	0 00							
M & P HAAS FUND - CAP GDN	5 000 000	0 00		97,700 00	96 325 00	1 375 00	0 00		1 375 00
EBAY INC COM	17,400 000	67 82		1,180 068 00	1,113 350 33	66,717 67	0 00		66 717 67
	CUSIP 278642103	0 00							
M & P HAAS FUND - CAP GDN	5,800 000	0 00		393 358 00	321 939 37	71 416 63	0 00		71 416 63
M & P HAAS FUND - GMG SENECA	11 600 000	0 00		788 712 00	791 410 96	-4 698 96	0 00		-4 698 96
EL PASO CORP COM	18 300 000	6 96		127 368 00	261,911 37	-134 543 37	0 00		-134 543 37
	CUSIP 28336L109	3,980 25							
M & P HAAS FUND - CAP GDN	18,300 000	3 980 25		127,368 00	261 911 37	-134 543 37	0 00		-134 543 37
ELECTR ARTS COM	3,500 000	49 77		174 195 00	192,092 55	-17,897 55	0 00		-17 897 55
	CUSIP 285512109	0 00							
M & P HAAS FUND - RCM CAP MTG	3,500 000	0 00		174 195 00	192 092 55	-17 897 55	0 00		-17 897 55

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 12 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Accrued income/expense				Market	Translation	
Common stock								
United States - USD								
ELI LILLY & CO COM	15,630 000 CUSIP 532457108	63 50 0 00		992,505 00	1 041,888 28	-49 383 28	0 00	-49,383 28
M & P HAAS FUND - CAP GDN	3,800 000	0 00		241,300 00	286 217 52	-44 917 52	0 00	-44 917 52
M & P HAAS FUND - GMG SENECA	11 830 000	0 00		751 205 00	755 670 74	-4 465 74	0 00	-4 465 74
EMERSON ELEC CO COM	19,880 000 CUSIP 291011104	50 85 0 00		1 010 898 00	1 063 498 77	-52,800 77	0 00	-52,800 77
M & P HAAS FUND - CAP GDN	4,500 000	0 00		228,825 00	295 674 59	-66,849 59	0 00	-66 849 59
M & P HAAS FUND - GMG SENECA	15,380 000	0 00		782 073 00	787 824 18	14,248 82	0 00	14 248 82
ENTERCOM COMMUNICATIONS CORP CL A	600 000 CUSIP 293839100	48 92 0 00		28 152 00	30 701 30	-2,549 30	0 00	-2 549 30
M & P HAAS FUND - CAP GDN	600 000	0 00		28,152 00	30 701 30	-2 549 30	0 00	-2 549 30
EXXON MOBIL CORP COM	32 800 000 CUSIP 30231G102	34 94 0 00		1 148 032 00	1,178,597 09	-32 565 09	0 00	-32 565 09
M & P HAAS FUND - CAP GDN	20,700 000	0 00		723,258 00	687,745 82	35 512 38	0 00	35,512 38
M & P HAAS FUND - RCM CAP MTG	12 100 000	0 00		422 774 00	490,851 47	-68 077 47	0 00	-68 077 47
FLUOR CORP NEW COM	11,400 000 CUSIP 343412102	28 00 1 824 00		319,200 00	353 221 62	-34,021 62	0 00	-34,021 62
M & P HAAS FUND - CAP GDN	11,400 000	1 824 00		319 200 00	353,221 62	-34 021 62	0 00	-34,021 62
FNMA COM STK	16,200 000 CUSIP 313588109	64 33 0 00		1,042,146 00	1 232,248 22	-190 102 22	0 00	-190,102 22
M & P HAAS FUND - CAP GDN	3,400 000	0 00		218 722 00	250 717 17	-31,995 17	0 00	-31,995 17
M & P HAAS FUND - RCM CAP MTG	12,800 000	0 00		823 424 00	981 531 05	-158,107 05	0 00	-158,107 05
FOREST LAB INC COM	18,280 000 CUSIP 345838106	98 22 0 00		1,795 461 80	987 883 93	807,597 67	0 00	807 597 67
M & P HAAS FUND - CAP GDN	10,800 000	0 00		1 060,776 00	424 151 61	636,624 39	0 00	636 624 39
M & P HAAS FUND - GMG SENECA	7,480 000	0 00		734 685 80	563,712 32	170,973 28	0 00	170 973 28
FRKLN RES INC COM	7 800 000 CUSIP 354613101	34 08 585 00		265 824 00	324,343 03	-58 519 03	0 00	-58 519 03
M & P HAAS FUND - RCM CAP MTG	7,800 000	585 00		265 824 00	324 343 03	-58,519 03	0 00	-58 519 03

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 13 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued income/expense				Market	Transtation	Total
Common stock								
United States - USD								
GEN DYNAMICS CORP COM	6 200 000 CUSIP 369550108	79 37 0 00		492 094 00	510 725 40	-18 631 40	0 00	-18 631 40
M & P HAAS FUND - RCM CAP MTG	6,200 000	0 00		492,094 00	510 725 40	-18 631 40	0 00	-18,631 40
GEN ELEC CO COM	57 200 000 CUSIP 369604103	24 35 10 868 00		1 392 820 00	1 807 591 05	-414 771 05	0 00	-414 771 05
M & P HAAS FUND - CAP GDN	26,200 000	4,978 00		637,970 00	909 514 24	-271,544 24	0 00	-271,544 24
M & P HAAS FUND - RCM CAP MTG	31,000 000	5,890 00		754,850 00	898 076 81	-143 226 81	0 00	-143 226 81
GEN MTRS CORP CL H NEW	8 600 000 CUSIP 370442832	10 70 0 00		92 020 00	139 907 71	-47 887 71	0 00	-47 887 71
M & P HAAS FUND - CAP GDN	8,600 000	0 00		92,020 00	139,907 71	-47 887 71	0 00	-47 887 71
GENENTECH INC COM STK	5,300 000 CUSIP 368710406	33 18 0 00		175 748 00	185 774 86	-10 026 86	0 00	-10 026 86
M & P HAAS FUND - CAP GDN	2,300 000	0 00		76 268 00	78,149 86	-1 881 86	0 00	-1,881 86
M & P HAAS FUND - RCM CAP MTG	3 000 000	0 00		99 480 00	107,625 00	-8 145 00	0 00	-8,145 00
GILLETTE CO COM	5 000 000 CUSIP 375766102	30 38 0 00		151,800 00	156 089 00	-4 289 00	0 00	-4 289 00
M & P HAAS FUND - RCM CAP MTG	5 000 000	0 00		151,800 00	156 089 00	-4 289 00	0 00	-4 289 00
GOLDEN W FNCL CORP COM	300 000 CUSIP 381317106	71 81 0 00		21,543 00	20,583 42	959 58	0 00	959 58
M & P HAAS FUND - CAP GDN	300 000	0 00		21 543 00	20 583 42	959 58	0 00	959 58
GOLDMAN SACHS GROUP INC COM	12 010 000 CUSIP 38141G104	68 10 0 00		817 881 00	956 579 24	-138 698 24	0 00	-138 698 24
M & P HAAS FUND - CAP GDN	1 700 000	0 00		115,770 00	106 531 08	9,238 92	0 00	9,238 92
M & P HAAS FUND - GMG SENECA	10,310 000	0 00		702 111 00	850 048 16	-147,937 16	0 00	-147,937 16
GUIDANT CORP COM STK	8,792 000 CUSIP 401698105	30 85 0 00		271,233 20	317,620 66	-46 387 46	0 00	-46 387 46
M & P HAAS FUND - CAP GDN	8,792 000	0 00		271,233 20	317 620 66	-46 387 46	0 00	-46 387 46

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 14 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			
		Accrued income/expense	Market value	Cost	Market	Translation	Total
Common stock							
United States - USD							
HARTFORD FINL SVCS GROUP INC COM	3,000,000 CUSIP 418515104	45.43 810.00	138,290.00	148,981.65	-12,691.65	0.00	-12,691.65
M & P HAAS FUND - CAP GDN	3,000,000	810.00	138,290.00	148,981.65	-12,691.65	0.00	-12,691.65
HASBRO INC COM	6,150,000 CUSIP 418058107	11.55 0.00	71,032.50	135,558.89	-64,526.39	0.00	-64,526.39
M & P HAAS FUND - CAP GDN	6,150,000	0.00	71,032.50	135,558.89	-64,526.39	0.00	-64,526.39
HEINZ H J CO COM	3,000,000 CUSIP 423074103	32.87 1,215.00	98,810.00	108,011.37	-9,401.37	0.00	-9,401.37
M & P HAAS FUND - CAP GDN	3,000,000	1,215.00	98,810.00	108,011.37	-9,401.37	0.00	-9,401.37
HEWLETT PACKARD CO COM	7,712,000 CUSIP 428238103	17.38 618.98	133,880.32	160,689.13	-26,808.81	0.00	-26,808.81
M & P HAAS FUND - CAP GDN	7,712,000	618.98	133,880.32	160,689.13	-26,808.81	0.00	-26,808.81
HOME DEPOT INC COM	7,900,000 CUSIP 437078102	23.98 0.00	189,284.00	218,050.42	-28,766.42	0.00	-28,766.42
M & P HAAS FUND - RCM CAP MTG	7,900,000	0.00	189,284.00	218,050.42	-28,766.42	0.00	-28,766.42
HSEHD INTL INC COM	4,700,000 CUSIP 441815107	27.81 1,175.00	130,707.00	159,068.18	-28,361.18	0.00	-28,361.18
M & P HAAS FUND - CAP GDN	4,700,000	1,175.00	130,707.00	159,068.18	-28,361.18	0.00	-28,361.18
IDEC PHARMACEUTICALS CORP COM	2,300,000 CUSIP 449370105	33.17 0.00	78,291.00	111,068.51	-34,775.51	0.00	-34,775.51
M & P HAAS FUND - RCM CAP MTG	2,300,000	0.00	78,291.00	111,068.51	-34,775.51	0.00	-34,775.51
ILL TOOL WKS INC COM	2,500,000 CUSIP 452308109	64.88 575.00	162,150.00	138,718.75	23,431.25	0.00	23,431.25
M & P HAAS FUND - CAP GDN	2,500,000	575.00	162,150.00	138,718.75	23,431.25	0.00	23,431.25
INDYMAC BANCORP INC COM	14,900,000 CUSIP 458607100	18.49 0.00	275,501.00	240,659.78	34,841.22	0.00	34,841.22
M & P HAAS FUND - CAP GDN	14,900,000	0.00	275,501.00	240,659.78	34,841.22	0.00	34,841.22

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 15 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Accrued income/expense				Market	Tranlation	
Common stock								
United States - USD								
INTEL CORP CAP	75,980 000 CUSIP 458140100	15 57 0 00		1 183 008 80	2 302,788 44	-1 119,759 84	0 00	-1 119 759 84
M & P HAAS FUND - CAP GDN	9 000 000	0 00		140,130 00	275,376 08	-135,246 08	0 00	-135,246 08
M & P HAAS FUND - GMG SENECA	52,380 000	0 00		815,556 80	1,401,955 30	-586 398 70	0 00	-586,398 70
M & P HAAS FUND - RCM CAP MTG	14,600 000	0 00		227 322 00	625,437 08	-398,115 08	0 00	-398 115 08
INTERPUBLIC GROUP COMPANIES INC COM	6,100 000 CUSIP 460890100	14 08 0 00		85,888 00	131 436 74	-45,548 74	0 00	-45 548 74
M & P HAAS FUND - CAP GDN	6,100 000	0 00		85 888 00	131,436 74	-45 548 74	0 00	-45,548 74
INTL BUSINESS MACHS CORP COM	19 380 000 CUSIP 459200101	77 50 0 00		1 501 950 00	1 602,237 71	-100,287 71	0 00	-100 287 71
M & P HAAS FUND - CAP GDN	2,600 000	0 00		201,500 00	199 797 08	1,702 92	0 00	1,702 92
M & P HAAS FUND - GMG SENECA	13 180 000	0 00		1,021,450 00	997 295 65	24 154 35	0 00	24 154 35
M & P HAAS FUND - RCM CAP MTG	3 600 000	0 00		279,000 00	405 144 98	-128,144 98	0 00	-128 144 98
INTUIT COM	13 690 000 CUSIP 461202103	46 92 0 00		642 334 80	710 610 66	-88 275 86	0 00	-88,275 86
M & P HAAS FUND - GMG SENECA	13 690 000	0 00		642 334 80	710,610 66	-88 275 86	0 00	-88 275 86
J P MORGAN CHASE & CO COM	23,500 000 CUSIP 46625H100	24 00 0 00		584,000 00	770,635 06	-206 635 06	0 00	-206 635 06
M & P HAAS FUND - CAP GDN	23,500 000	0 00		584 000 00	770,635 06	-206 635 06	0 00	-206 635 06
JDS UNIPHASE CORP COM ISIN US46812J1018	37,600 000 CUSIP 46812J101	2 47 0 00		92 872 00	103,387 49	-10 515 49	0 00	-10,515 49
M & P HAAS FUND - CAP GDN	37,600 000	0 00		92 872 00	103 387 49	-10,515 49	0 00	-10 515 49
JOHNSON & JOHNSON COM	35 310 000 CUSIP 478160104	53 71 0 00		1 898,500 10	1 979 889 14	-83,189 04	0 00	-83 189 04
M & P HAAS FUND - GMG SENECA	22 310 000	0 00		1 198 270 10	1,326 639 74	-128,369 64	0 00	-128,369 64
M & P HAAS FUND - RCM CAP MTG	13 000 000	0 00		698,230 00	653 049 40	45 180 60	0 00	45,180 60
KIMBERLY-CLARK CORP COM	3,600 000 CUSIP 494368103	47 47 1 080 00		170 892 00	230,570 53	59 678 53	0 00	-59 678 53
M & P HAAS FUND - RCM CAP MTG	3,600 000	1,080 00		170 892 00	230 570 53	-59,678 53	0 00	-59,678 53

FORM 990-PF, PART II

Portfolio Statements -

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 16 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued income/expense				Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN INC COM STK	8 000 CUSIP 49455P101	42 27 0 00		253 62	232 32	21 30	0 00	21 30
M & P HAAS FUND - CAP GDN	8 000	0 00		253 62	232 32	21 30	0 00	21 30
KINDER MORGAN MGMT LLC SHS COM STK	7,694 000 CUSIP 49455U100	31 59 0 00		243 053 48	238,252 75	4 800 71	0 00	4 800 71
M & P HAAS FUND - CAP GDN	7,694 000	0 00		243 053 48	238 252 75	4,800 71	0 00	4,800 71
KLA-TENCOR CORP	17,100 000 CUSIP 482480100	35 37 0 00		604 827 00	641 548 21	-36 721 21	0 00	-36 721 21
M & P HAAS FUND - CAP GDN	17,100 000	0 00		604 827 00	641 548 21	-36 721 21	0 00	-36,721 21
KNIGHT-RIDDER INC COM STK	1,900 000 CUSIP 499040103	83 25 0 00		120 175 00	104,720 40	15,454 60	0 00	15 454 60
M & P HAAS FUND - CAP GDN	1,900 000	0 00		120,175 00	104,720 40	15 454 60	0 00	15 454 60
KOHL'S CORP COM	16,980 000 CUSIP 500255104	55 95 0 00		948,912 00	1 027 834 05	-78,722 05	0 00	-78 722 05
M & P HAAS FUND - GMG SENECA	12,280 000	0 00		685 947 00	731 406 24	-45 459 24	0 00	-45 459 24
M & P HAAS FUND - RCM CAP MTG	4,700 000	0 00		262 965 00	296 227 81	-33 262 81	0 00	-33 262 81
KRAFT FOODS INC CL A	10,300 000 CUSIP 50075N104	38 93 1 545 00		400,979 00	345,604 42	55 374 58	0 00	55 374 58
M & P HAAS FUND - RCM CAP MTG	10,300 000	1 545 00		400,979 00	345 604 42	55,374 58	0 00	55 374 58
LAM RESH CORP COM	5 100 000 CUSIP 512607108	10 80 0 00		55 080 00	118,320 55	-63,240 55	0 00	-63,240 55
M & P HAAS FUND - CAP GDN	5,100 000	0 00		55,080 00	118 320 55	-63,240 55	0 00	-63,240 55
LAUDER ESTEE COS INC CL A	5 300 000 CUSIP 518439104	28 40 920 00		139,920 00	166,822 86	-26 902 86	0 00	-26 902 86
M & P HAAS FUND - CAP GDN	5 300 000	920 00		139 920 00	166 822 86	-26,902 86	0 00	-26 902 86
LIBERTY MEDIA CORP NEW COM SER A	29,432 000 CUSIP 530718105	8 94 0 00		283,122 08	481 646 09	-218,524 01	0 00	-218,524 01
M & P HAAS FUND - CAP GDN	29,432 000	0 00		283 122 08	481 646 09	-218 524 01	0 00	-218 524 01

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 17 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Common stock								
United States - USD								
LINCARE HLDGS INC COM	4,400 000 CUSIP 532791100	31 62 0 00		139 128 00	139 280 89	-152 89	0 00	-152 89
M & P HAAS FUND - CAP GDN	4,400 000	0 00		139 128 00	139,280 89	-152 89	0 00	-152 89
LINEAR TECH CORP DEL	5,500 000 CUSIP 535678106	25 72 0 00		141,460 00	177,450 78	-35 990 78	0 00	-35 990 78
M & P HAAS FUND - CAP GDN	5 500 000	0 00		141,460 00	177,450 78	-35 990 78	0 00	-35 990 78
LOWES COS INC COM	21,800 000 CUSIP 548661107	37 50 0 00		817,500 00	605,266 94	212 233 06	0 00	212 233 06
M & P HAAS FUND - CAP GDN	16 500 000	0 00		618 750 00	379,116 01	239,633 99	0 00	239,633 99
M & P HAAS FUND - RCM CAP MTG	5 300 000	0 00		188 750 00	226 150 93	-27 400 93	0 00	-27 400 93
MACROMEDIA INC COM	6,700 000 CUSIP 556100105	10 65 0 00		71 355 00	195,039 34	-123 684 34	0 00	-123 684 34
M & P HAAS FUND - CAP GDN	6,700 000	0 00		71,355 00	195,039 34	-123 684 34	0 00	123 684 34
MARSH & MC LENNAN COS S INC	7,200 000 CUSIP 571748102	46 21 0 00		332 712 00	290 309 33	42 402 67	0 00	42 402 67
M & P HAAS FUND - RCM CAP MTG	7,200 000	0 00		332,712 00	290,309 33	42 402 67	0 00	42,402 67
MATTEL INC COM	9,200 000 CUSIP 577081102	19 15 0 00		176 180 00	177 519 03	-1,339 03	0 00	-1,339 03
M & P HAAS FUND - RCM CAP MTG	9 200 000	0 00		176 180 00	177,519 03	-1 339 03	0 00	-1 339 03
MAXIM INTEGRATED PRODS INC COM	20,600 000 CUSIP 57772K101	33 04 0 00		680 624 00	818 357 54	-137,733 54	0 00	-137 733 54
M & P HAAS FUND - GMG SENECA	16,200 000	0 00		535 248 00	586,083 60	-50 835 60	0 00	-50 835 60
M & P HAAS FUND - RCM CAP MTG	4,400 000	0 00		145 376 00	232 273 94	-86 897 94	0 00	-86,897 94
MC DONALDS CORP COM	2,300 000 CUSIP 580135101	16 08 0 00		36,984 00	65 932 91	-28 948 91	0 00	-28 948 91
M & P HAAS FUND - CAP GDN	2,300 000	0 00		36 984 00	65,932 91	-28,948 91	0 00	-28 948 91

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 18 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Common stock								
United States - USD								
MEDIMMUNE INC COM	4,375 000	27 17		118,868 75	189,011 33	-70,142 58	0 00	-70,142 58
	CUSIP 584699102	0 00						
M & P HAAS FUND - RCM CAP MTG	4,375 000	0 00		118,868 75	189 011 33	-70 142 58	0 00	-70,142 58
MEDTRONIC INC COM	29 090 000	45 60		1 328 504 00	1 230,437 64	98,068 38	0 00	98,068 38
	CUSIP 585055108	1 818 12						
M & P HAAS FUND - CAP GDN	6,100 000	381 25		278,160 00	230,378 00	47,782 00	0 00	47 782 00
M & P HAAS FUND - GMG SENECA	16,790 000	1 049 37		765,624 00	719 895 02	45,728 98	0 00	45,728 98
M & P HAAS FUND - RCM CAP MTG	6 200 000	387 50		282,720 00	280 184 82	2 555 38	0 00	2 555 38
MERCK & CO INC COM	2,300 000	56 61		130 203 00	135 797 52	-5,594 52	0 00	-5 594 52
	CUSIP 589331107	828 00						
M & P HAAS FUND - RCM CAP MTG	2,300 000	828 00		130 203 00	135 797 52	-5 594 52	0 00	-5,594 52
MERRILL LYNCH & CO INC COM	3 300 000	37 95		125 235 00	153,230 28	-27 995 28	0 00	-27 995 28
	CUSIP 590188108	0 00						
M & P HAAS FUND - RCM CAP MTG	3,300 000	0 00		125 235 00	153,230 28	-27 995 28	0 00	-27 995 28
MFO PIMCO FDS MULTI MANAGER SER RCM INTLGROWTH EQTY FD	87,116 390	7 03		612 428 22	1,362 383 76	-749 955 54	0 00	-749 955 54
	CUSIP 72200P549	0 00						
M & P HAAS FUND - RCM CAP MTG	87,116 390	0 00		612,428 22	1,362 383 76	-749 955 54	0 00	-749 955 54
MICROSOFT CORP COM	59,650 000	51 70		3 083 905 00	3 066 884 56	17 020 44	0 00	17 020 44
	CUSIP 594918104	0 00						
M & P HAAS FUND - CAP GDN	10,900 000	0 00		583,530 00	610,706 25	-47,176 25	0 00	-47,176 25
M & P HAAS FUND - GMG SENECA	23,150 000	0 00		1 196 855 00	1,224,424 83	-27 569 83	0 00	-27,569 83
M & P HAAS FUND - RCM CAP MTG	25 600 000	0 00		1,323 520 00	1,231 753 48	91 766 52	0 00	91,766 52
NAVISTAR INTL CORP NEW COM	5,400 000	24 31		131,274 00	156,959 81	-25 685 81	0 00	-25,685 81
	CUSIP 63934E108	0 00						
M & P HAAS FUND - CAP GDN	5 400 000	0 00		131 274 00	156,959 81	-25,685 81	0 00	-25 685 81
NEWMONT MINING CORP NEW COM	3 600 000	29 03		104 508 00	93 712 01	10,795 99	0 00	10 795 99
	CUSIP 651639108	0 00						
M & P HAAS FUND - CAP GDN	3 600 000	0 00		104 508 00	93 712 01	10,795 99	0 00	10 795 99

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 19 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss			
		Accrued income/expense				Market	Translation	Total	
Common stock									
United States - USD									
NIKE INC CL B	0 000 CUSIP 654106103	44 47 168 00		0 00	0 00	0 00	0 00		0 00
M & P HAAS FUND - RCM CAP MTG	0 000	168 00		0 00	0 00	0 00	0 00		0 00
NISOURCE INC COM	7 100 000 CUSIP 65473P105	20 00 0 00		142 000 00	159,374 00	-17,374 00	0 00		-17 374 00
M & P HAAS FUND - CAP GDN	7,100 000	0 00		142,000 00	159,374 00	-17 374 00	0 00		-17 374 00
NOVELLUS SYS INC COM	3,200 000 CUSIP 870008101	28 08 0 00		89 856 00	82,541 36	7,314 64	0 00		7,314 64
M & P HAAS FUND - CAP GDN	3 200 000	0 00		89 856 00	82 541 36	7,314 64	0 00		7 314 64
ORACLE CORP COM	20 500 000 CUSIP 68389X105	10 80 0 00		221,400 00	592,809 87	-371,409 87	0 00		-371 409 87
M & P HAAS FUND - RCM CAP MTG	20,500 000	0 00		221,400 00	592 809 87	-371 409 87	0 00		-371 409 87
PEPSICO INC COM	47,240 000 CUSIP 713448108	42 22 7,783 50		1,994 472 80	2,172 097 48	-177 624 68	0 00		-177 624 68
M & P HAAS FUND - CAP GDN	4,700 000	705 00		198 434 00	178,762 47	21 671 53	0 00		21,671 53
M & P HAAS FUND - GMG SENECA	21,340 000	3,898 50		900 974 80	1 065 813 51	-164,838 71	0 00		-164,838 71
M & P HAAS FUND - RCM CAP MTG	21,200 000	3 180 00		895 064 00	929 521 50	-34 457 50	0 00		-34 457 50
PFIZER INC COM	128,000 000 CUSIP 717081103	30 57 0 00		3 912,960 00	4 098 371 81	-185 411 81	0 00		-185 411 81
M & P HAAS FUND - CAP GDN	45 800 000	0 00		1,400,106 00	1 380 050 85	40 055 15	0 00		40 055 15
M & P HAAS FUND - GMG SENECA	37,700 000	0 00		1 152 489 00	1 544 333 50	-391,844 50	0 00		-391 844 50
M & P HAAS FUND - RCM CAP MTG	44 500 000	0 00		1 360 365 00	1 193,987 46	166,377 54	0 00		166 377 54
PHARMACIA CORP COM	20,200 000 CUSIP 71713U102	41 80 0 00		844 360 00	930,839 78	-86 479 78	0 00		-86 479 78
M & P HAAS FUND - RCM CAP MTG	20,200 000	0 00		844 360 00	930 839 78	-86 479 78	0 00		-86 479 78
PHILIP MORRIS COS INC COM	7 700 000 CUSIP 718154107	40 53 4 928 00		312 081 00	289 615 53	22 465 47	0 00		22 465 47
M & P HAAS FUND - CAP GDN	7,700 000	4 928 00		312,081 00	289 615 53	22,465 47	0 00		22 465 47

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 20 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Common stock								
United States - USD								
PINNACLE W CAP CORP COM	2,500 000 CUSIP 723484101	34 09 0 00		85,225 00	78,750 00	6 475 00	0 00	6 475 00
M & P HAAS FUND - CAP GDN	2,500 000	0 00		85 225 00	78,750 00	6,475 00	0 00	6,475 00
PMC SIERRA INC COM	20 500 000 CUSIP 69344F108	5 58 0 00		113 980 00	307 310 52	-193 330 52	0 00	-193,330 52
M & P HAAS FUND - CAP GDN	20,500 000	0 00		113,980 00	307,310 52	-193 330 52	0 00	-193 330 52
PMI GROUP INC COM	8,900 000 CUSIP 69344M101	30 04 172 50		207,278 00	125,074 84	82 201 16	0 00	82 201 16
M & P HAAS FUND - CAP GDN	8,900 000	172 50		207 278 00	125 074 84	82,201 16	0 00	82,201 16
POLYCOM INC COM	7,600 000 CUSIP 73172K104	9 52 0 00		72 352 00	130,813 68	-58 281 68	0 00	-58 281 68
M & P HAAS FUND - CAP GDN	7,600 000	0 00		72 352 00	130 813 68	-58 281 68	0 00	-58 281 68
PROCTER & GAMBLE CO COM	14 450 000 CUSIP 742718109	85 94 0 00		1,241,833 00	1 083 819 95	178 013 05	0 00	178 013 05
M & P HAAS FUND - GMG SENECA	9,100 000	0 00		782 054 00	684 663 06	117 390 94	0 00	117,390 94
M & P HAAS FUND - RCM CAP MTG	5,350 000	0 00		459 779 00	399 156 89	60 622 11	0 00	60 622 11
QUALCOMM INC COM	39,380 000 CUSIP 747525103	36 39 0 00		1,432 310 40	1 143,708 59	288 601 81	0 00	288 601 81
M & P HAAS FUND - CAP GDN	13,100 000	0 00		478,709 00	379,067 94	97,641 06	0 00	97 641 06
M & P HAAS FUND - GMG SENECA	20,780 000	0 00		755 456 40	585,252 92	170 203 48	0 00	170 203 48
M & P HAAS FUND - RCM CAP MTG	5,500 000	0 00		200 145 00	179 387 73	20,757 27	0 00	20 757 27
QUINTILES TRANSNATIONAL CORP COM	10,300 000 CUSIP 748767100	12 10 0 00		124 630 00	173,275 88	-48,645 88	0 00	-48,645 88
M & P HAAS FUND - CAP GDN	10,300 000	0 00		124 630 00	173 275 88	-48 645 88	0 00	-48 645 88
RADIO 1 INC CL D NON VTG	1,700 000 CUSIP 75040P405	14 43 0 00		24,531 00	34 395 16	-9,864 16	0 00	-9 864 16
M & P HAAS FUND - CAP GDN	1,700 000	0 00		24 531 00	34 395 16	-9 864 16	0 00	-9 864 16

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 21 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Accrued income/expense				Market	Translation	Total
Common stock								
United States - USD								
RADIOSHACK CORP COM	5,000 000 CUSIP 750438103	18 74 0 00		93,700 00	140,743 20	-47 043 20	0 00	-47 043 20
M & P HAAS FUND - CAP GDN	5,000 000	0 00		93 700 00	140 743 20	-47,043 20	0 00	-47 043 20
RAYTHEON CO COM NEW	1,500 000 CUSIP 755111507	30 75 300 00		46 125 00	44,157 42	1 967 58	0 00	1,967 58
M & P HAAS FUND - CAP GDN	1,500 000	300 00		46,125 00	44,157 42	1,967 58	0 00	1 967 58
ROBERT HALF INTL INC COM	9,700 000 CUSIP 770323103	16 11 0 00		156 267 00	242,090 30	-85 823 30	0 00	-85 823 30
M & P HAAS FUND - CAP GDN	9,700 000	0 00		156 267 00	242 090 30	-85,823 30	0 00	-85 823 30
SABRE HLDGS CORP	7 000 000 CUSIP 785905100	18 11 0 00		126 770 00	192 897 04	-66 127 04	0 00	-66 127 04
M & P HAAS FUND - CAP GDN	7,000 000	0 00		126,770 00	192 897 04	-66,127 04	0 00	-66 127 04
SBC COMMUNICATIONS INC COM	7,900 000 CUSIP 78387G103	27 11 0 00		214,169 00	358,534 06	-144,365 06	0 00	-144 365 06
M & P HAAS FUND - RCM CAP MTG	7,900 000	0 00		214,169 00	358 534 06	-144 365 06	0 00	-144 365 06
SCHERING-PLOUGH CORP COM	9 700 000 CUSIP 806605101	22 20 0 00		215,340 00	256 962 55	-41,622 55	0 00	-41 622 55
M & P HAAS FUND - RCM CAP MTG	9,700 000	0 00		215,340 00	256 962 55	-41 622 55	0 00	-41 622 55
SLM CORP COM	10,100 000 CUSIP 78442P106	103 86 0 00		1 048 986 00	356,148 07	692 837 93	0 00	692 837 93
M & P HAAS FUND - CAP GDN	10,100 000	0 00		1,048 986 00	356,148 07	692 837 93	0 00	692 837 93
SPRINT CORP COM	59,100 000 CUSIP 852061100	14 48 0 00		855 768 00	745 040 90	110 727 10	0 00	110,727 10
M & P HAAS FUND - CAP GDN	59,100 000	0 00		855 768 00	745,040 90	110,727 10	0 00	110,727 10
SPRINT CORP PCS COM SER 1	92,400 000 CUSIP 852061506	4 38 0 00		404,712 00	1,065 745 49	-661,033 49	0 00	-661,033 49
M & P HAAS FUND - CAP GDN	92,400 000	0 00		404,712 00	1 065,745 49	-661,033 49	0 00	-661 033 49

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 22 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Common stock								
United States - USD								
STARBUCKS CORP COM	10,300 000 CUSIP 855244109	20 38 0 00		209,914 00	237,937 30	-28,023 30	0 00	-28,023 30
M & P HAAS FUND - RCM CAP MTG	10 300 000	0 00		209,914 00	237,937 30	-28 023 30	0 00	-28,023 30
STARWOOD HOTELS & RESORTS WORLDWIDE INC PAIRED CTF 1 CL B SH BEN INT STARWOOD	4,000 000 CUSIP 85590A203	23 74 3,360 00		94 960 00	117,405 10	-22 445 10	0 00	-22,445 10
M & P HAAS FUND - CAP GDN	4,000 000	3,360 00		94,960 00	117 405 10	-22 445 10	0 00	-22 445 10
SYSCO CORP COM	15,000 000 CUSIP 871829107	29 79 1 650 00		446,850 00	323 526 44	123 323 56	0 00	123 323 56
M & P HAAS FUND - RCM CAP MTG	15 000 000	1 650 00		446,850 00	323,526 44	123,323 56	0 00	123 323 56
TARGET CORP COM	5 500 000 CUSIP 87812E108	30 00 0 00		165 000 00	149,088 50	15 911 50	0 00	15 911 50
M & P HAAS FUND - RCM CAP MTG	5,500 000	0 00		165,000 00	149,088 50	15,911 50	0 00	15 911 50
TERADYNE INC COM	19,600 000 CUSIP 880770102	13 01 0 00		254 996 00	545,585 80	-290 589 80	0 00	-290 589 80
M & P HAAS FUND - CAP GDN	19 600 000	0 00		254 996 00	545,585 80	-290 589 80	0 00	-290,589 80
TMP WORLDWIDE INC COM	12 000 000 CUSIP 872941109	11 31 0 00		135,720 00	446 373 36	-310,653 36	0 00	-310 653 36
M & P HAAS FUND - CAP GDN	12,000 000	0 00		135 720 00	446,373 36	-310 653 36	0 00	-310 653 36
TRIBUNE CO COM	16 160 000 CUSIP 898047107	45 48 0 00		734 633 60	733 969 38	664 22	0 00	664 22
M & P HAAS FUND - GMG SENECA	16,160 000	0 00		734,633 60	733,969 38	664 22	0 00	664 22
TX INSTRS INC COM	47,560 000 CUSIP 882508104	15 01 0 00		713,875 60	1 421,126 77	-707,251 17	0 00	-707 251 17
M & P HAAS FUND - GMG SENECA	47,560 000	0 00		713 875 60	1 421 126 77	-707 251 17	0 00	-707 251 17
UNITED PARCEL SVC INC CL B	20 300 000 CUSIP 911312106	63 08 3 857 00		1 280,524 00	1 194,923 92	85,600 08	0 00	85 600 08
M & P HAAS FUND - GMG SENECA	12,500 000	2,375 00		788,500 00	761,987 75	26,512 25	0 00	26,512 25
M & P HAAS FUND - RCM CAP MTG	7,800 000	1,482 00		492 024 00	432 936 17	59,087 83	0 00	59 087 83

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 23 of 38

◆ Asset Detail for Consolidations

Equities

Description	Shares/PAR value	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Asset ID	Accrued income/expense			Market	Translation	
Common stock								
United States - USD								
UNOCAL CORP COM	17,500 000		30 58	535,150 00	571 881 67	-36,731 67	0 00	-36,731 67
	CUSIP 915289102		0 00					
M & P HAAS FUND - CAP GDN	17,500 000		0 00	535,150 00	571 881 67	-36,731 67	0 00	-36 731 67
USA INTERACTIVE COM STK	18 000 000		22 82	412,560 00	374 715 45	37,844 55	0 00	37,844 55
	CUSIP 902984103		0 00					
M & P HAAS FUND - CAP GDN	18,000 000		0 00	412 560 00	374 715 45	37 844 55	0 00	37 844 55
UTD TECHNOLOGIES CORP COM	12,600 000		61 94	780 444 00	735 772 33	44,671 67	0 00	44,671 67
	CUSIP 913017109		0 00					
M & P HAAS FUND - CAP GDN	6,300 000		0 00	390,222 00	329 939 57	60,282 43	0 00	60,282 43
M & P HAAS FUND - RCM CAP MTG	6 300 000		0 00	390 222 00	405 832 76	-15 610 76	0 00	-15 610 76
VERISIGN INC COM	22,400 000		8 02	179,648 00	407,770 66	-228,122 66	0 00	-228,122 66
	CUSIP 92343E102		0 00					
M & P HAAS FUND - CAP GDN	22,400 000		0 00	179,648 00	407 770 66	-228 122 66	0 00	-228 122 66
VERITAS SOFTWARE CORP COM	12 800 000		15 62	166 812 00	301 864 98	-105 052 98	0 00	-105,052 98
	CUSIP 923436109		0 00					
M & P HAAS FUND - CAP GDN	8,800 000		0 00	137,456 00	157,123 55	-19 667 55	0 00	-19,667 55
M & P HAAS FUND - RCM CAP MTG	3,800 000		0 00	59,356 00	144 741 43	-85 385 43	0 00	-85,385 43
VIACOM COM CL B	6,600 000		40 78	269 016 00	257 780 24	11 235 76	0 00	11 235 76
	CUSIP 925524308		0 00					
M & P HAAS FUND - CAP GDN	2 800 000		0 00	114,128 00	79,396 34	34 731 66	0 00	34 731 66
M & P HAAS FUND - RCM CAP MTG	3 800 000		0 00	154 888 00	178,383 90	-23 495 90	0 00	-23 495 90
WA MUT INC COM	28,014 000		34 53	967 323 42	694,206 43	273,116 99	0 00	273,116 99
	CUSIP 939322103		0 00					
M & P HAAS FUND - CAP GDN	28,014 000		0 00	967 323 42	694 206 43	273 116 99	0 00	273 116 99
WAL-MART STORES INC COM	38,140 000		50 51	1,926 451 40	2,009,176 73	-82 725 33	0 00	-82 725 33
	CUSIP 931142103		2,880 50					
M & P HAAS FUND - CAP GDN	4,300 000		322 50	217,193 00	217,727 20	-534 20	0 00	-534 20
M & P HAAS FUND - GMG SENECA	21 840 000		1,638 00	1,103 138 40	1,137 823 03	-34 684 63	0 00	-34,684 63
M & P HAAS FUND - RCM CAP MTG	12 000 000		900 00	606 120 00	653,626 50	-47 506 50	0 00	-47 506 50

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 24 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Income/expense	Accrued			Market	Translation	
Common stock								
United States - USD								
WALGREEN CO COM	14,100 000 CUSIP 931422109	29 19 0 00		411 579 00	379 100 24	32 478 78	0 00	32 478 78
M & P HAAS FUND - RCM CAP MTG	14,100 000	0 00		411,579 00	379 100 24	32 478 78	0 00	32 478 78
WELLPOINT HLTH NETWORKS INC CL A (NEW) DELAW EFF 8-4-97	14,920 000 CUSIP 94973H108	71 18 0 00		1 061,707 20	1 092,603 10	-30 895 90	0 00	-30 895 90
M & P HAAS FUND - GMG SENECA	12,120 000	0 00		862 459 20	885 978 85	-23,517 65	0 00	-23 517 65
M & P HAAS FUND - RCM CAP MTG	2,800 000	0 00		199 248 00	208 626 25	-7 378 25	0 00	-7 378 25
WELLS FARGO & CO NEW COM STK	2,100 000 CUSIP 949746101	48 87 0 00		98 427 00	89 619 93	8 807 07	0 00	8 807 07
M & P HAAS FUND - CAP GDN	2,100 000	0 00		98,427 00	89 619 93	8,807 07	0 00	8 807 07
WILLIAMS CO INC COM	30 200 000 CUSIP 989457100	2 70 0 00		81 540 00	602,076 83	-520 536 83	0 00	-520,536 83
M & P HAAS FUND - CAP GDN	30,200 000	0 00		81,540 00	602,076 83	-520,536 83	0 00	-520,536 83
WILLIAMS SONOMA INC COM	4,000 000 CUSIP 989904101	27 15 0 00		108,600 00	69 774 47	38,825 53	0 00	38 825 53
M & P HAAS FUND - CAP GDN	4,000 000	0 00		108,600 00	69 774 47	38 825 53	0 00	38 825 53
WYETH COM	19,000 000 CUSIP 983024100	37 40 0 00		710 600 00	1 017 955 65	-307 355 65	0 00	-307 355 65
M & P HAAS FUND - RCM CAP MTG	19 000 000	0 00		710 600 00	1 017,955 65	-307 355 65	0 00	-307,355 65
XILINX INC COM	52 900 000 CUSIP 983919101	20 60 0 00		1 089 740 00	1 682,148 93	-592 408 93	0 00	-592 408 93
M & P HAAS FUND - CAP GDN	14,000 000	0 00		288 400 00	330,893 82	-42 493 82	0 00	-42,493 82
M & P HAAS FUND - GMG SENECA	38 800 000	0 00		801 340 00	1,351 255 11	-549,915 11	0 00	-549 915 11
Total USD		93,364 92		89,283,686 05	99,624,329 89	-10,340,643 84	0 00	-10,340,643 84
Total United States		93,364 92		89,283,686 05	99,624,329 89	-10,340,643 84	0 00	-10,340,643 84
Total common stock		99,900 37		95,699,376 41	106,767,385 40	-11,068,008 99	0 00	-11,068,008.99

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 25 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		
		Income/expense	Accrued			Market	Translation	Total
Preferred stock								
Cayman Islands - USD								
PVTPL INNER HBR CBO 2001-1 LTD PFD SHS 144A 3C7	8 477 588 000 CUSIP 45770V203	0 825707 0 00		3 540,744 00	7 000 000 40	-3 459 258 40	0 00	-3,459,258 40
M & P HAAS FUND - NCP II	8,477 588 000	0 00		3,540,744 00	7 000,000 40	-3,459,258 40	0 00	-3 459 258 40
PVTPL KATONAH II LTD PFD 144A 3C7	3 254,128 000 CUSIP 486020209	0 921 0 00		2 997 051 88	3 000 000 12	-2 948 24	0 00	-2 948 24
M & P HAAS FUND - NCP II	3 254,128 000	0 00		2 997,051 88	3 000,000 12	-2 948 24	0 00	-2 948 24
PVTPL KATONAH III LTD PFD 144A 3C7	5,000 000 CUSIP 486018203	1 000 00 0 00		5 000,000 00	5,000 000 00	0 00	0 00	0 00
M & P HAAS FUND - NCP II	5 000 000	0 00		5 000 000 00	5 000 000 00	0 00	0 00	0 00
Total USD		0 00		11,537,795 88	15,000,000 52	-3,462,204 64	0 00	-3,462,204 64
Total Cayman Islands		0 00		11,537,795 88	15,000,000 52	-3,462,204 64	0 00	-3,462,204 64
Total preferred stock		0 00		11,537,795 88	15,000,000 52	-3,462,204 64	0 00	-3,462,204 64
Convertible equity								
United States - USD								
EQTY SECS TR I EQTY TR SECS EXCH CABLEVISIO NY CLA	2,600 000 CUSIP 29477R103	20 05 0 00		52,130 00	94 245 63	-42 115 63	0 00	-42,115 63
M & P HAAS FUND - CAP GDN	2,600 000	0 00		52 130 00	94,245 63	-42 115 63	0 00	-42 115 63
FORD MTR CO CAP TR II TR ORIGINATED PFD SECS	2,800 000 CUSIP 345395206	40 85 2 275 00		114,380 00	144,403 69	-30,023 69	0 00	-30 023 69
M & P HAAS FUND - CAP GDN	2,800 000	2,275 00		114 380 00	144 403 69	-30,023 69	0 00	-30 023 69
Total USD		2,275 00		166 510 00	238,649 32	-72,139 32	0 00	-72,139 32
Total United States		2,275 00		166,510 00	238,649 32	-72,139 32	0 00	-72,139 32
Total convertible equity		2,275 00		166,510 00	238,649 32	-72,139 32	0 00	-72,139 32

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 26 of 38

◆ Asset Detail for Consolidations

Equities

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			Total
		Accrued Income/expense	Market value	Cost	Market	Translation	
Corporate convertible bonds							
United States - USD							
AMAZON COM INC CALL DATE 2/ 1/03 102 85 4 75 DUE 02-01-2009/02-06-2002 BEO	293,000 000 CUSIP 023135AF3	73 375 5 798 95	214 988 75	201 747 14	13,241 81	0 00	13 241 81
M & P HAAS FUND - CAP GDN	293 000 000	5,798 95	214,988 75	201,747 14	13,241 81	0 00	13 241 81
NEXTEL COMMUNICATIONS INC SR NT 4 75 DUE07-01-2007 BEO	141,000 000 CUSIP 85332VAT0	83 375 3 348 75	117,558 75	85 544 25	32 014 50	0 00	32 014 50
M & P HAAS FUND - CAP GDN	141 000 000	3,348 75	117 558 75	85 544 25	32,014 50	0 00	32 014 50
Total USD		9,147 70	332,547 50	287,291 39	45,256 11	0 00	45,256 11
Total United States		9,147 70	332,547 50	287,291 39	45,256 11	0 00	45,256 11
Total corporate convertible bonds		9,147 70	332,547 50	287,291 39	45,256 11	0 00	45,256 11
Total equities		111,323 07	107,738,229 79	122,293,326 63	-14,557,096 84	0 00	-14,557,096 84

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			Total
		Accrued Income/expense	Market value	Cost	Market	Translation	
Government bonds							
Mexico - USD							
UNITED MEXICAN STS VALUE RECOVERY RIGHTSSER A 0 199% 30/06/2003	250 000 000 CUSIP P68279103	0 3305 0 00	826 25	0 00	826 25	0 00	826 25
M & P HAAS FUND - GMG SENECA	250 000 000	0 00	826 25	0 00	826 25	0 00	826 25
Total USD		0 00	826 25	0 00	826 25	0 00	826 25
Total Mexico		0 00	826 25	0 00	826 25	0 00	826 25
United States - USD							
US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02-15-2029 REG	875,000 000 CUSIP 912810FG8	104 429008 17,351 39	913,753 82	850,224 61	83,529 21	0 00	83 529 21
M & P HAAS FUND - CAP GDN	875,000 000	17,351 39	913 753 82	850 224 61	83,529 21	0 00	83,529 21

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 27 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Income/expense	Accrued			Market	Translation	
Government bonds								
United States - USD								
US TREAS NTS DTD 00004 3 25 DUE 05-31-2004 REG	1,875,000 000 CUSIP 912828AD2	102 66998 5,357 15		1,925,062 46	1 879,193 97	45 868 49	0 00	45,868 49
M & P HAAS FUND - CAP GDN	1 875 000 000	5,357 15		1,925,062 46	1 879 193 97	45,868 49	0 00	45 868 49
US TREAS NTS DTD 00008 3 25 DUE 08-15-2007 REG	10 000 000 000 CUSIP 912828AH3	102 486 121 875 00		10,248,600 00	10 207 812 50	40,787 50	0 00	40,787 50
M & P HAAS FUND - NCP II	10,000,000 000	121 875 00		10,248 600 00	10 207 812 50	40 787 50	0 00	40 787 50
Total USD		144,583 54		13,087,416 28	12,937,231 08	150,185 20	0 00	150,185 20
Total United States		144,583 54		13,087,416 28	12,937,231 08	150,185 20	0 00	150,185 20
Total government bonds		144,583 54		13,088,242 53	12,937,231 08	151,011 45	0 00	151,011 45
Government agencies								
United States - USD								
FHLB 5 25 DEB 13/2/2004 USD1000 5 25 02-13-2004	500 000 000 CUSIP 31131M07D9	104 272995 9 916 66		521 364 97	507 813 95	13 551 02	0 00	13,551 02
M & P HAAS FUND - CAP GDN	500,000 000	9 916 66		521 364 97	507,813 95	13 551 02	0 00	13,551 02
FNMA BD 6 25 05-15-2029	125,000 000 CUSIP 31135RMF1J3	111 556992 998 26		139 446 24	127 012 95	12,433 29	0 00	12,433 29
M & P HAAS FUND - CAP GDN	125,000 000	998 26		139 446 24	127 012 95	12,433 29	0 00	12,433 29
FNMA BD 7 125 02-15-2005	0 010 CUSIP 31359MFH1	110 913002 0 00		0 01	0 01	0 00	0 00	0 00
M & P HAAS FUND - RCM CAP MTG	0 010	0 00		0 01	0 01	0 00	0 00	0 00
FNMA NT 6 05-15-2008	1,000,000 000 CUSIP 31135RMF1J4	113 276001 7,668 67		1,132 780 01	1 090 449 64	42 310 37	0 00	42 310 37
M & P HAAS FUND - CAP GDN	1,000,000 000	7 668 67		1,132,780 01	1 090 449 64	42 310 37	0 00	42 310 37
Total USD		18,581 59		1,793,571 23	1,725,276 55	68,294 68	0 00	68,294 68
Total United States		18,581 59		1,793,571 23	1,725,276 55	68,294 68	0 00	68,294 68
Total government agencies		18,581 59		1,793,571 23	1,725,276 55	68,294 68	0 00	68,294 68

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 28 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			Total
		Income/expense	Accrued	Market value	Cost	Market	
Corporate bonds							
Australia - USD							
TELSTRA LTD NT 8 375 DUE 04-01-2012 BEO	125,000 000 CUSIP 87969NAB1	107 65493 1,992 18	134,568 66	124,136 25	10 432 41	0 00	10,432 41
M & P HAAS FUND - CAP GDN	125,000 000	1,992 18	134,568 66	124 136 25	10,432 41	0 00	10 432 41
Total USD		1,992 18	134,568 66	124,136 25	10,432 41	0 00	10,432 41
Total Australia		1,992 18	134,568 66	124,136 25	10,432 41	0 00	10,432 41
Cayman Islands - USD							
PVTPL NATIONWIDE LIFE GLOBAL FDG I MED TERM TRANCHE # TR 00002 5 35 2-15-07BE	125,000 000 CUSIP 63866EAB6	104 803 2 526 38	131 003 75	124 880 00	6,123 75	0 00	6,123 75
M & P HAAS FUND - CAP GDN	125,000 000	2 526 38	131,003 75	124,880 00	6,123 75	0 00	6,123 75
Total USD		2,526 38	131,003 75	124,880 00	6,123 75	0 00	6,123 75
Total Cayman Islands		2,526 38	131,003 75	124,880 00	6,123 75	0 00	6,123 75
Netherlands - USD							
PHILIPS ELECTRS N V 7 2 DUE 06-01-2026 REG PUT	125,000 000 CUSIP 718337AE8	109 177551 750 00	136,471 93	122,820 00	13,651 93	0 00	13 651 93
M & P HAAS FUND - CAP GDN	125,000 000	750 00	136 471 93	122,820 00	13 651 93	0 00	13,651 93
Total USD		750 00	136,471 93	122,820 00	13,651 93	0 00	13,651 93
Total Netherlands		750 00	136,471 93	122,820 00	13,651 93	0 00	13,651 93
United States - USD							
6 FLAGS INC SR NT 8 875 DUE 02-01-2010/02-01-2006 BEO	125,000 000 CUSIP 83001PAD1	94 00 4,622 39	117 500 00	124 858 00	-7 358 00	0 00	-7 358 00
M & P HAAS FUND - CAP GDN	125 000 000	4,622 39	117 500 00	124,858 00	-7 358 00	0 00	-7 358 00
ALLSTATE CORP SR NT 7 2 DUE 12-01-2009 BEO	100 000 000 CUSIP 020002AK7	114 894058 600 00	114 894 05	103 123 52	11,770 53	0 00	11 770 53
M & P HAAS FUND - CAP GDN	100,000 000	600 00	114,894 05	103 123 52	11 770 53	0 00	11 770 53
AMERN TOWER CORP SR NT DTD 01/31/2001 9 375 DUE 02-01-2009/02-01-2002 BEO	125,000 000 CUSIP 029912AH5	78 00 4,882 81	97,500 00	125,000 00	-27,500 00	0 00	-27,500 00
M & P HAAS FUND - CAP GDN	125,000 000	4,882 81	97 500 00	125,000 00	-27 500 00	0 00	-27,500 00

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 29 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			
		Accrued Income/expense	Market value	Cost	Market	Translation	Total
Corporate bonds							
United States - USD							
ASSOC CORP N AMER SR NT 8 25 DUE 11-01-2008 BEO	125,000,000 CUSIP 048003JT7	111,145.63 1,302.08	138,932.03	131,096.03	7,836.00	0.00	7,836.00
M & P HAAS FUND - CAP GDN	125,000,000	1,302.08	138,932.03	131,096.03	7,836.00	0.00	7,836.00
BK AMER CORP 7 125 DUE 09-15-2006 BEO	100,000,000 CUSIP 080505AF1	114,191.41 2,097.91	114,191.41	108,515.77	5,675.64	0.00	5,675.64
M & P HAAS FUND - CAP GDN	100,000,000	2,097.91	114,191.41	108,515.77	5,675.64	0.00	5,675.64
CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	74,370,660 CUSIP 401999CF2	10.32 1,592.05	767,505.21	699,499.24	68,005.97	0.00	68,005.97
M & P HAAS FUND - CAP GDN	74,370,660	1,592.05	767,505.21	699,499.24	68,005.97	0.00	68,005.97
CF DRESNER RCM FXD INC FD LLC	1,828,575,790 CUSIP 281991905	10,883.13 33,501.36	19,900,962.66	18,616,987.36	1,283,975.30	0.00	1,283,975.30
M & P HAAS FUND - RCM CAP MTG	1,828,575,790	33,501.36	19,900,962.66	18,616,987.36	1,283,975.30	0.00	1,283,975.30
CHEVRON CORP NT 8 825 DUE 10-01-2004 BEO	6,000,000,000 CUSIP 186751AF4	108,372.95 99,375.00	6,502,367.70	6,017,667.39	484,700.31	0.00	484,700.31
M & P HAAS FUND - NCP II	6,000,000,000	99,375.00	6,502,367.70	6,017,667.39	484,700.31	0.00	484,700.31
DOMINION RES INC DEL NT DTD 09/16/2002 5 7 DUE 09-17-2012 BEO	125,000,000 CUSIP 257469AF3	103,186.67 2,078.12	128,983.25	124,915.00	4,068.25	0.00	4,068.25
M & P HAAS FUND - CAP GDN	125,000,000	2,078.12	128,983.25	124,915.00	4,068.25	0.00	4,068.25
EOP OPER LTD PARTNERSHIP EOP OPER LP 7 75 DUE 11-15-2007/11-14-2007 BEO	100,000,000 CUSIP 268766BT8	113,684.39 990.27	113,684.39	103,341.88	10,342.51	0.00	10,342.51
M & P HAAS FUND - CAP GDN	100,000,000	990.27	113,684.39	103,341.88	10,342.51	0.00	10,342.51
GEN MTRS ACCEP CORP GM 8 DUE 11-01-2031 BEO	125,000,000 CUSIP 370425RZ5	100,650.77 1,666.66	125,812.72	126,968.75	-1,156.03	0.00	-1,156.03
M & P HAAS FUND - CAP GDN	125,000,000	1,666.66	125,812.72	126,968.75	-1,156.03	0.00	-1,156.03
GEN MTRS ACCEP CORP NT DTD 05/08/1998 7 125 DUE 05-01-2003	5,000,000,000 CUSIP 370425BG4	101,317 59,375.00	5,065,850.00	4,958,950.00	106,900.00	0.00	106,900.00
M & P HAAS FUND - NCP II	5,000,000,000	59,375.00	5,065,850.00	4,958,950.00	106,900.00	0.00	106,900.00

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 30 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Income/expense	Accrued			Market	Translation	
Corporate bonds								
United States - USD								
HERTZ CORP NOTES 7 825 DUE 08-15-2007 BEO	100,000 000 CUSIP 428040BN8	100 343178 2,880 55		100,343 17	99,488 00	855 17	0 00	855 17
M & P HAAS FUND - CAP GDN	100,000 000	2,880 55		100 343 17	99,488 00	855 17	0 00	855 17
HSEHD FIN CORP HSEHD FIN CORP 7 2 DUE 07-15-2008 BEO	100,000 000 CUSIP 441812GH1	108 898398 3,320 00		108 998 39	98,851 00	10,147 39	0 00	10 147 39
M & P HAAS FUND - CAP GDN	100,000 000	3 320 00		108 998 39	98 851 00	10 147 39	0 00	10 147 39
HUMANA INC SR NT 7 25 DUE 08-01-2008 BEO	125 000 000 CUSIP 444859AT9	107 311798 3,776 04		134 139 74	125 826 04	8 513 70	0 00	8 513 70
M & P HAAS FUND - CAP GDN	125,000 000	3,776 04		134,139 74	125,826 04	8 513 70	0 00	8 513 70
J P MORGAN CHASE & CO 5 35000 5 35 DUE 03-01-2007 BEO	125,000 000 CUSIP 44825HAM2	108 104424 2 229 18		132,630 53	131 441 25	1 189 28	0 00	1,189 28
M & P HAAS FUND - CAP GDN	125 000 000	2,229 18		132 630 53	131 441 25	1 189 28	0 00	1 189 28
NABISCO INC DEB DTD 06/15/1995 7 55 DUE 06 15-2015 REG	100 000 000 CUSIP 829527AU6	124 217188 335 55		124 217 18	103 888 28	20,328 90	0 00	20 328 90
M & P HAAS FUND - CAP GDN	100,000 000	335 55		124 217 18	103 888 28	20,328 90	0 00	20 328 90
NEXTEL COMMUNICATIONS INC SR SER NT 12 DUE 11-01-2008/11-01-2003 BEO	250,000 000 CUSIP 85332VAC6	98 50 5,000 00		248,250 00	207,500 00	38 750 00	0 00	38 750 00
M & P HAAS FUND - CAP GDN	250 000 000	5,000 00		248,250 00	207 500 00	38,750 00	0 00	38 750 00
PVTPL ONCOR ELEC DELIVERY CO SR SECD NT 144A 7 DUE 05-01-2032/04-30-2032 BEO	125 000 000 CUSIP 88233DAC1	100 1017 1,458 33		125,127 12	123,183 75	1 943 37	0 00	1,943 37
M & P HAAS FUND - CAP GDN	125,000 000	1,458 33		125 127 12	123 183 75	1 943 37	0 00	1,943 37
PVTPL SOUTHN CAP CORP 2002-1 PASSTHRU CTF CL G 144A 5 7 DUE 07-02-2023 BEO	250,000 000 CUSIP 84254QAA7	105 813 40 76		264 532 50	250 000 00	14 532 50	0 00	14 532 50
M & P HAAS FUND - CAP GDN	250,000 000	40 76		264 532 50	250 000 00	14 532 50	0 00	14 532 50
RAYTHEON CO DEB DTD 12/14/1998 6 DUE 12-15-2010 BEO	125,000 000 CUSIP 755111AV3	104 658588 333 33		130,820 73	129,783 85	1 036 88	0 00	1 036 88
M & P HAAS FUND - CAP GDN	125 000 000	333 33		130 820 73	129,783 85	1,036 88	0 00	1 036 88

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 31 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description	Shares/PAR value	Local market price		Unrealized gain/loss			
		Asset ID	Accrued income/expense	Market value	Cost	Market	Translation
Corporate bonds							
United States - USD							
SOLETRON CORP SR NT 9 625 DUE 02-15-2009/02-08-2002 BEO	125,000 000 CUSIP 834182AN7	97 50 4,545 13	121,875 00	124 211 25	-2 336 25	0 00	-2 336 25
M & P HAAS FUND - CAP GDN	125,000 000	4 545 13	121 875 00	124 211 25	-2,336 25	0 00	-2 336 25
SPRINT CAP CORP NT 8 75 DUE 03-15-2032 BEO	125,000 000 CUSIP 852060AT9	85 00 3,220 48	118,750 00	122 901 25	-4 151 25	0 00	-4 151 25
M & P HAAS FUND - CAP GDN	125,000 000	3,220 48	118 750 00	122 901 25	-4,151 25	0 00	-4,151 25
TIME WARNER INC 7 25 DEB DUE 10-15-2017 BEO	100,000 000 CUSIP 887315BJ7	102 981227 1,530 55	102,961 22	100 818 86	2 142 36	0 00	2 142 36
M & P HAAS FUND - CAP GDN	100 000 000	1,530 55	102 961 22	100 818 86	2 142 36	0 00	2 142 36
TNP ENTERPRISES INC SR SUB NT 10 25 DUE 04-01-2010/04-01-2005 BEO PUT	250,000 000 CUSIP 872594AB2	94 00 6,406 25	235 000 00	250 000 00	-15,000 00	0 00	-15 000 00
M & P HAAS FUND - CAP GDN	250 000 000	6,406 25	235 000 00	250,000 00	-15 000 00	0 00	-15,000 00
UNITEDHEALTH GROUP INC 7 5 DUE 11-15-2005 BEO	100,000 000 CUSIP 91324PAA0	112 740479 958 33	112 740 47	99 652 00	13,088 47	0 00	13,088 47
M & P HAAS FUND - CAP GDN	100,000 000	958 33	112,740 47	99,652 00	13 088 47	0 00	13 088 47
VERIZON WIRELESS CAP LLC NT 5 375 DUE 12-15-2006/11-12-2002 BEO	125,000 000 CUSIP 82344SAE0	104 304672 298 61	130 380 84	124,343 75	6 037 09	0 00	6 037 09
M & P HAAS FUND - CAP GDN	125,000 000	298 61	130 380 84	124 343 75	6,037 09	0 00	6 037 09
WA MUT INC SR NT 5 625 DUE 01-15-2007 BEO	125,000 000 CUSIP 939322AG8	107 616127 3,242 18	134 520 15	125,063 95	9 456 20	0 00	9 456 20
M & P HAAS FUND - CAP GDN	125,000 000	3 242 18	134 520 15	125,063 95	9 456 20	0 00	9 456 20
WELLS FARGO BANK VAR SER BKNT 15 06 2010	5,000,000 000 CUSIP 949748AD9	111 9304 17,333 35	5 596 520 00	5 008,839 35	587,880 65	0 00	587,880 65
M & P HAAS FUND - NCP II	5,000 000 000	17 333 35	5 596 520 00	5 008 839 35	587 880 65	0 00	587 880 65
Total USD		268,992 25	41,107,990 46	38,466,315 52	2,641,674 94	0 00	2,641,674 94
Total United States		268,992 25	41,107,990 46	38,466,315 52	2,641,674 94	0 00	2,641,674 94
Total corporate bonds		274,260 81	41,510,034 80	38,838,151 77	2,671,883 03	0 00	2,671,883 03

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 32 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Income/expense	Accrued			Market	Translation	
Government mortgage backed securities								
United States - USD								
FHLMC GOLD E0-1098 6 02-01-2017	200,175 300 CUSIP 31294KGF8	104 449328 1,000 87		209,081 75	202 494 75	6,587 00	0 00	6 587 00
M & P HAAS FUND - CAP GDN	200 175 300	1,000 87		209 081 75	202,494 75	6,587 00	0 00	6 587 00
FHLMC GOLD E8-4922 6 08-01-2016	176,886 370 CUSIP 112RGMPF1	104 36152 884 43		184,601 30	176,140 13	8,461 17	0 00	8 461 17
M & P HAAS FUND - CAP GDN	176,886 370	884 43		184 601 30	176 140 13	8 461 17	0 00	8 461 17
FNMA POOL # 0837695 6 DUE 04-01-2032 REG	466 556 260 CUSIP 313RRWNI 5	103 492253 2 332 79		482,851 65	452,634 42	30,217 23	0 00	30,217 23
M & P HAAS FUND - CAP GDN	466 556 260	2,332 79		482,851 65	452 634 42	30,217 23	0 00	30 217 23
FNMA POOL # 0851625 6 5 DUE 06-01-2032 REG	1 645,139 500 CUSIP 31390N4J8	104 152361 8 911 17		1 713 451 63	1,668,136 13	45,315 50	0 00	45,315 50
M & P HAAS FUND - CAP GDN	1,645,139 500	8 911 17		1,713,451 63	1 668 136 13	45 315 50	0 00	45 315 50
FNMA POOL # 0675602 7 DUE 01-01-2032 REG	750,000 030 CUSIP 31391SRX0	104 46875 0 00		783,515 65	783 515 66	-0 01	0 00	-0 01
M & P HAAS FUND - CAP GDN	750,000 030	0 00		783 515 65	783 515 66	-0 01	0 00	-0 01
FNMA POOL # 592656 6 DUE 06-01-2016 REG	330,051 500 CUSIP 31367SMF0	104 474794 1,650 25		344 820 62	327,421 39	17,399 23	0 00	17,399 23
M & P HAAS FUND - CAP GDN	330,051 500	1,650 25		344,820 62	327 421 39	17 399 23	0 00	17 399 23
FNMA REMIC VAR RT 04-17-2025	97,196 990 CUSIP 11348SGI18	117 339 813 35		114 049 97	104 269 30	9 780 67	0 00	9,780 67
M & P HAAS FUND - CAP GDN	97,196 990	813 35		114 049 97	104 269 30	9 780 67	0 00	9 780 67
GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	209,131 750 CUSIP 362169TV6	106 505533 1,307 07		222 736 88	208 543 63	14 193 25	0 00	14 193 25
M & P HAAS FUND - CAP GDN	209,131 750	1 307 07		222 736 88	208 543 63	14,193 25	0 00	14,193 25
GNMA POOL # 334541 8 5 DUE 01-15-2023 REG	26 979 290 CUSIP 36224PS66	109 495756 191 10		29 541 17	28 553 19	987 98	0 00	987 98
M & P HAAS FUND - CAP GDN	26,979 290	191 10		29 541 17	28,553 19	987 98	0 00	987 98

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 33 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Unrealized gain/loss			
		Accrued income/expense	Market value	Cost	Market	Translation	Total
Government mortgage backed securities							
United States - USD							
GNMA POOL # 417740 7 5 DUE 08-15-2028 REG	280,735 590 CUSIP 31270ADRH0	108 758136 1,754 59	299 708 08	279,770 59	19 937 49	0 00	19 937 49
M & P HAAS FUND - CAP GDN	280,735 590	1 754 59	299,708 08	279 770 59	19 937 49	0 00	19 937 49
Total USD		18,845 62	4,384,358 70	4,231,479 19	152,879 51	0 00	152,879 51
Total United States		18,845 62	4,384,358 70	4,231,479 19	152,879 51	0 00	152,879 51
Total government mortgage backed securities		18,845 62	4,384,358 70	4,231,479 19	152,879 51	0 00	152,879 51
Commercial mortgage-backed							
United States - USD							
DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 10-15-2008	311,190 200 CUSIP 23322BAC2	105 822505 1 592 25	329 620 45	311,468 26	18 152 19	0 00	18,152 19
M & P HAAS FUND - CAP GDN	311,190 200	1,592 25	329,620 45	311 468 26	18,152 19	0 00	18 152 19
Total USD		1,592 25	329,620 45	311,468 26	18,152 19	0 00	18,152 19
Total United States		1,592 25	329,620 45	311,468 26	18,152 19	0 00	18,152 19
Total commercial mortgage-backed		1,592 25	329,620 45	311,468 26	18,152.19	0 00	18,152.19
Asset backed securities							
United States - USD							
DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 8 22 PASSSTHRU CFT 8-15-31	320,253 680 CUSIP 251562AB0	107 158748 1,859 98	343 179 82	321 605 44	21,574 38	0 00	21 574 38
M & P HAAS FUND - CAP GDN	320,253 680	1 859 98	343,179 82	321 605 44	21 574 38	0 00	21 574 38
MBNA CR CARD MASTER NT TR 2002-1 NT CL C8 8 DUE 07-15-2014 BEO	250,000 000 CUSIP 55264TAS0	104 218 755 55	280 545 00	249,245 04	11 299 96	0 00	11 299 96
M & P HAAS FUND - CAP GDN	250 000 000	755 55	280 545 00	249 245 04	11,299 96	0 00	11 299 96
SEARS CR A/C MASTER TR II CTF SER 1998-2CL A 5 25 DUE 10-16-2008 BEO	229 166 680 CUSIP 81234CBEO	103 345 534 72	238,832 30	220 000 01	16 832 29	0 00	16 832 29
M & P HAAS FUND - CAP GDN	229 166 680	534 72	238 832 30	220 000 01	16 832 29	0 00	16 832 29

FORM 990-PF, PART II

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 34 of 38

◆ Asset Detail for Consolidations

Fixed Income

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Accrued income/expense				Market	Translation	
Asset backed securities								
United States - USD								
VANDERBILT ACQSTN LN TR 2002-1 MFD HSG MTG 2002-1 -CL A3 5 7 DUE 09-07-2023	125,000,000 CUSIP 92178PAC1	103.70 573.95		129,825.00	124,976.60	4,848.40	0.00	4,848.40
M & P HAAS FUND - CAP GDN	125,000,000	573.95		129,825.00	124,976.60	4,848.40	0.00	4,848.40
Total USD		3,524.20		970,182.12	915,827.09	54,355.03	0.00	54,355.03
Total United States		3,524.20		970,182.12	915,827.09	54,355.03	0.00	54,355.03
Total asset backed securities		3,524.20		970,182.12	915,827.09	54,355.03	0.00	54,355.03
Non-government backed c.m.o.s								
United States - USD								
CMO CHASE COML MTG SECS CORP SER 2000-2 CL C 7 928 DUE 07-15-2032 REG	125,000,000 CUSIP 161505FB3	119,248.173 825.83		149,060.21	133,894.90	15,165.31	0.00	15,165.31
M & P HAAS FUND - CAP GDN	125,000,000	825.83		149,060.21	133,894.90	15,165.31	0.00	15,165.31
Total USD		825.83		149,060.21	133,894.90	15,165.31	0.00	15,165.31
Total United States		825.83		149,060.21	133,894.90	15,165.31	0.00	15,165.31
Total non-government backed c.m.o.s		825.83		149,060.21	133,894.90	15,165.31	0.00	15,165.31
Total fixed income		4,624,213.84		62,225,070.04	59,093,328.84	3,131,741.20	0.00	3,131,741.20

Venture Capital and Partnerships

Description Manager	Shares/PAR value Asset ID	Local market price		Market value	Cost	Unrealized gain/loss		Total
		Accrued income/expense				Market	Translation	
Partnerships								
United States - USD								
FARALLON CAPITAL INSTITUTIONAL PTRS LP	5,000,000,000 CUSIP 000188377	5,050,907.00 0.00		5,050,907.00	5,000,000.00	50,907.00	0.00	50,907.00
M & P HAAS FUND - GENERAL	5,000,000,000	0.00		5,050,907.00	5,000,000.00	50,907.00	0.00	50,907.00

Portfolio Statements

31 DEC 02

Account number MPCON
MIRIAM AND PETER HAAS FUND

Page 38 of 38

◆ Asset Detail for Consolidations

Adjustments To Cash

Description Manager	Shares/PAR value Asset ID	Exchange rate		Unrealized gain/loss			Total
		Accrued Income/expense	Market value	Cost	Market	Translation	
Other payables							
USD - United States dollar		1 00 0 00	-7,484 74	-7,484 74	0 00	0 00	0 00
M & P HAAS FUND - CAP GDN		0 00	-7,484 74	-7,484 74	0 00	0 00	0 00
Total other payables - all currencies		0 00	-7,484 74	-7,484 74	0 00	0 00	0 00
Total other payables - all countries		0 00	-7,484 74	-7,484 74	0 00	0 00	0 00
Total other payables		0 00	-7,484 74	-7,484 74	0 00	0 00	0 00
Total adjustments to cash		0 00	-1,181,009 14	-1,181,009 14	0 00	0 00	0 00
Total		578,344 68	186,723,253 32	201,599,262 78	-14,876,009 46	0 00	-14,876,009 46

FORM 990-PF, PART II

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		NORTHERN TRUST GAIN/LOSS SUMMARY					VARIOUS	VARIOUS
166633012							-12620103	
		ROSEWOOD CAPITAL LP 94-3195569					VARIOUS	VARIOUS
							-151,718	
		RVG III LP 94-3274638					VARIOUS	VARIOUS
							-1106384	
		RVG IV LP 22-3707169					VARIOUS	VARIOUS
							-91,411	
		TCV III (Q) LP 77-0494890					VARIOUS	VARIOUS
							-598,093	
		TCV IV LP 77-0527868					VARIOUS	VARIOUS
							-115,326	
		TOTAL GAIN (LOSS)					----- -14683035 =====	

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
MSDW	2674285	United States dollar - NASDAQ SEC LIT	12/31/01		432.09		432.09
MSDW - TOTAL					432.09		432.09
GENERAL	2674278	EXPEDIA INC CL A	11/19/02	(1 892.00)	134,644.58	(22,780.57)	111,864.01
GENERAL - TOTAL					134,644.58	(22,780.57)	111,864.01
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	12/31/01			346 499.09	346 499.09
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	2/1/01	(25 385.53)	642 000.00	(453 968.88)	188 031.12
CAP GDN	2603958	###REORG WEATHERFORD INTL INC NC TO WEATHERFORD INTL BE	10/16/02	(5 395.00)		(125 193.97)	122 220.73
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	7/1/01	103 668.63	(876 000.00)	995 218.89	119 218.89
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	2/1/01	25 385.53	(642 000.00)	743 292.90	101 292.90
CAP GDN	2603958	FNMA POOL # 0581287 6 5 DUE 05-01 2031 REG	6/12/02	(2 064 957.38)	2 103 675.34	(2 033 421.24)	70 254.10
CAP GDN	2603958	PFIZER INC COM	9/10/02	(2 500.00)	76 747.68	(13 035.42)	63 712.26
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	2/8/02	(900.00)	81 197.32	(27 110.93)	54 086.39
CAP GDN	2603958	FOREST LAB INC COM	5/1/01	(15 168.06)	361 000.00	(316 666.31)	44 333.69
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	12/5/02	(700.00)	57 063.14	(15 214.46)	41 848.68
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	3/18/02	(500.00)	47 674.28	(15 359.21)	32 315.07
CAP GDN	2603958	US TREAS NTS DTD 05/17/1999 5 25 DUE 05-15-2004 BEO	5/23/02	(3 125 000.00)	3 247 968.75	(3 216 145.18)	31 823.57
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/13/02	(500.00)	46 119.90	(14 990.84)	31 129.06
CAP GDN	2603958	KLA-TENCOR CORP	3/18/02	(600.00)	40 133.39	(9 412.50)	30 720.89
CAP GDN	2603958	KLA-TENCOR CORP	3/4/02	(600.00)	40 042.37	(9 412.50)	30 629.87
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	8/2/02	(1 200.00)	79 642.32	(49 541.95)	30 100.37
CAP GDN	2603958	SLM CORP COM	7/30/02	(500.00)	44 844.99	(15 847.45)	28 997.54
CAP GDN	2603958	PFIZER INC COM	10/28/02	(1 000.00)	31 689.04	(5 214.17)	26 474.87
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/2/02	(400.00)	38 396.20	(12 152.29)	26 243.91
CAP GDN	2603958	APPLIED MATERIALS INC COM	11/25/02	(2 700.00)	45 901.65	(19 802.75)	26 098.90
CAP GDN	2603958	APPLIED MATERIALS INC COM	11/22/02	(2 800.00)	46 502.87	(20 536.18)	25 966.69
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/26/02	(400.00)	37 300.48	(12 321.15)	24 979.33
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/24/02	(1 300.00)	65 378.15	(40 754.91)	24 623.24
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/25/02	(400.00)	36 855.60	(12 321.14)	24 534.46
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/20/02	(400.00)	36 781.36	(12 321.14)	24 460.22
CAP GDN	2603958	PEOPLESOFT INC COM	11/22/02	(3 700.00)	73 896.76	(49 472.28)	24 424.48
CAP GDN	2603958	CINERGY CORP COM	2/7/02	(3 700.00)	119 138.21	(94 940.62)	24 197.59
CAP GDN	2603958	BK AMER CORP COM	4/23/02	(1 100.00)	78 600.11	(54 777.83)	23 822.28
CAP GDN	2603958	PRIN FINL GROUP INC COM STK	7/12/02	(2 100.00)	62 288.95	(38 850.00)	23 438.95
CAP GDN	2603958	KRAFT FOODS INC CL A	11/4/02	(2 400.00)	95 388.96	(73 800.50)	21 588.46
CAP GDN	2603958	CITIGROUP INC COM	11/17/02	(800.00)	39 935.40	(18 437.44)	21 497.96
CAP GDN	2603958	KLA-TENCOR CORP	3/5/02	(400.00)	26 762.51	(6 275.00)	20 487.51
CAP GDN	2603958	APPLIED MATERIALS INC COM	11/21/02	(2 200.00)	36 397.46	(16 135.57)	20 261.89
CAP GDN	2603958	LOWES COS INC COM	1/17/02	(1 000.00)	41 579.37	(21 482.62)	20 096.75
CAP GDN	2603958	SLM CORP COM	7/29/02	(300.00)	27 007.19	(8 773.93)	18 233.26
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	10/10/02	(300.00)	26 984.59	(9 034.06)	17 950.53
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	4/12/02	(500.00)	36 609.84	(18 700.32)	17 909.52
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	4/15/02	(500.00)	36 205.85	(18 700.32)	17 505.53
CAP GDN	2603958	SLM CORP COM	7/25/02	(300.00)	25 364.29	(8 782.07)	16 582.22
CAP GDN	2603958	ADR ASTRAZENACA PLC SPONSORED ADR UK	12/5/02	(600.00)	22 198.85	(5 893.76)	* 16 305.09
CAP GDN	2603958	SLM CORP COM	7/24/02	(300.00)	24 769.59	(8 744.66)	16 024.93
CAP GDN	2603958	KLA-TENCOR CORP	11/15/02	(800.00)	30 448.04	(14 434.38)	16 013.66
CAP GDN	2603958	INTL BUSINESS MACHS CORP NT 5 375 DUE 02-01 2009 BEO	9/26/02	(100 000.00)	106 941.00	(91 311.55)	15 629.45
CAP GDN	2603958	HARTFORD FINL SVCS GROUP INC COM	5/29/02	(500.00)	32 979.55	(17 399.55)	15 580.00
CAP GDN	2603958	ECOLAB INC COM	5/7/02	(500.00)	22 630.45	(7 386.63)	15 243.83
CAP GDN	2603958	BAKER HUGHES INC COM	3/20/02	(900.00)	35 070.85	(20 046.32)	15 024.53
CAP GDN	2603958	VIACOM COM CL B	2/20/02	(1 100.00)	46 667.12	(31 869.95)	14 797.17
CAP GDN	2603958	AVON PROD INC COM	5/1/02	(600.00)	33 595.32	(18 871.52)	14 723.80
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	9/26/02	(100 000.00)	113 194.00	(98 896.00)	14 298.00
CAP GDN	2603958	CITIGROUP INC SUB NT 7 25 DUE 10-01 2010BEO	4/16/02	(400.00)	29 191.48	(14 960.26)	14 231.22
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	6/26/02	(1 000.00)	36 262.00	(22 426.00)	13 836.00
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	4/1/01	14 665.12	(127 000.00)	140 785.20	13 785.20
CAP GDN	2603958	PEOPLESOFT INC COM	11/25/02	(2 400.00)	48 185.02	(34 627.26)	13 557.76
CAP GDN	2603958	WILLIAMS SONOMA INC COM	8/27/02	(2 000.00)	48 398.54	(34 974.39)	13 424.15
CAP GDN	2603958	GEN MILLS INC COM	1/17/02	(800.00)	39 159.41	(25 826.35)	13 333.06
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/6/02	(200.00)	19 311.45	(5 073.04)	13 238.41
CAP GDN	2603958	AVON PROD INC COM	4/12/02	(600.00)	34 007.27	(20 790.30)	13 216.97
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/3/02	(200.00)	19 240.78	(6 076.14)	13 164.64
CAP GDN	2603958	KELLOGG CO COM	2/6/02	(2 200.00)	65 097.24	(51 997.34)	13 099.90
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	4/30/02	(200.00)	19 147.46	(6 076.14)	13 071.32
CAP GDN	2603958	VIACOM COM CL B	1/17/02	(1 000.00)	41 169.38	(28 260.00)	12 909.38
CAP GDN	2603958	ALLSTATE CORP COM	5/14/02	(900.00)	35 359.84	(22 560.21)	12 799.63
CAP GDN	2603958	CONAGRA INC NT 7 875 DUE 09-15-2010 BEO	7/25/02	(100 000.00)	112 360.00	(99 674.00)	12 686.00
CAP GDN	2603958	VIACOM COM CL B	2/19/02	(900.00)	38 092.01	(25 434.00)	12 658.01
CAP GDN	2603958	ALLSTATE CORP COM	5/13/02	(900.00)	35 133.68	(22 481.83)	12 651.85
CAP GDN	2603958	EDISON INTL COM	12/5/02	(2 500.00)	39 054.41	(26 449.84)	12 604.57
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/15/02	(200.00)	18 498.84	(5 988.57)	12 510.27
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/13/02	(200.00)	18 476.36	(6 004.78)	12 471.58
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/19/02	(200.00)	18 435.44	(6 031.57)	12 403.87
CAP GDN	2603958	ECOLAB INC COM	1/17/02	(500.00)	19 754.70	(7 386.63)	12 368.07
CAP GDN	2603958	SUNGARD DATA SYS INC COM	3/26/02	(1 500.00)	48 687.31	(36 397.05)	12 290.26
CAP GDN	2603958	NOVELLUS SYS INC COM	3/18/02	(500.00)	26 804.59	(14 553.50)	12 251.09
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/3/02	(600.00)	21 901.47	(9 809.06)	12 092.41
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/7/02	(700.00)	26 729.30	(14 675.61)	12 053.69
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02-15-2029 REG	11/8/02	(125 000.00)	130 371.09	(118 642.58)	11 728.51
CAP GDN	2603958	MEDTRONIC INC COM	4/25/02	(1 100.00)	49 064.90	(37 369.09)	11 695.81
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	11/1/01	(13 626.72)	257 000.00	(245 384.19)	11 615.81
CAP GDN	2603958	US TREAS NTS DTD 00004 3 25 DUE 05-31 2004 REG	12/17/02	(500 000.00)	511 718.75	(500 125.26)	1 593.49
CAP GDN	2603958	LOWES COS INC COM	8/23/02	(600.00)	25 913.21	(14 330.37)	1 582.84
CAP GDN	2603958	LOWES COS INC COM	8/26/02	(600.00)	25 769.72	(14 330.37)	1 438.85
CAP GDN	2603958	USA INTERACTIVE COM STK	2/20/02	(1 200.00)	34 328.00	(22 953.12)	11 374.88
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	3/28/02			11 343.32	11 343.32

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	COMCAST CORP NEW CL A	1/27/02	(3 700 00)	90 462 64	(79 427 05)	11 035 59
CAP GDN	2603958	EDISON INTL COM	3/19/02	(1 700 00)	29 420 94	(18 602 58)	10 818 36
CAP GDN	2603958	SUNGARD DATA SYS INC COM	3/27/02	(1 300 00)	42 606 46	(31 805 99)	10 800 47
CAP GDN	2603958	KLA-TENCOR CORP	3/8/02	(200 00)	13 889 33	(3 137 50)	10 751 83
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/3/02	(500 00)	18 870 61	(8 174 21)	10 696 40
CAP GDN	2603958	APPLIED MATERIALS INC COM	1/27/02	(1 100 00)	18 680 51	(8 067 78)	10 612 73
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	2/4/02	(700 00)	24 941 25	(14 384 02)	10 557 23
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	3/8/02	(700 00)	20 582 21	(10 064 15)	10 518 06
CAP GDN	2603958	SLM CORP COM	10/1/02	(200 00)	19 061 04	(8 579 00)	10 482 04
CAP GDN	2603958	WILLIAMS CO INC COM	3/18/02	(1 800 00)	40 739 32	(30 376 96)	10 362 36
CAP GDN	2603958	KLA-TENCOR CORP	3/7/02	(200 00)	13 465 61	(3 137 50)	10 328 11
CAP GDN	2603958	LOWES COS INC COM	3/18/02	(500 00)	22 074 66	(11 775 73)	10 298 93
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/7/02	(1 200 00)	35 295 49	(25 295 40)	10 000 09
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	4/30/02		9 970 31	9 970 31	
CAP GDN	2603958	US TREAS NTS DTD 00004 3 25 DUE 05-31-2004 REG	7/26/02	(500 000 00)	510 078 13	(500 170 00)	9 908 13
CAP GDN	2603958	FNMA POOL # 535911 6 5 DUE 05-01-2031 REG	6/12/02	(387 467 21)	394 732 22	(384 833 64)	9 898 58
CAP GDN	2603958	SLM CORP COM	9/18/02	(200 00)	16 615 57	(8 735 26)	9 880 31
CAP GDN	2603958	EDISON INTL COM	3/20/02	(1 700 00)	28 381 92	(18 698 00)	9 683 92
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/25/02	(500 00)	25 340 68	(15 674 97)	9 665 71
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	8/8/02	(1 700 00)	86 576 52	(76 945 13)	9 631 39
CAP GDN	2603958	CIN FNCL CORP COM	8/27/02	(1 000 00)	40 843 26	(31 250 00)	9 593 26
CAP GDN	2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07-15-2028 REG	6/26/02	(187 576 05)	192 351 73	(182 828 13)	9 523 60
CAP GDN	2603958	LOWES COS INC COM	10/28/02	(500 00)	21 389 35	(11 922 85)	9 466 50
CAP GDN	2603958	ECOLAB INC COM	5/9/02	(300 00)	13 772 46	(4 431 00)	9 341 46
CAP GDN	2603958	SLM CORP COM	7/31/02	(200 00)	18 036 65	(8 735 26)	9 301 39
CAP GDN	2603958	FNMA POOL # 0638028 7 DUE 04-01 2032 REG	6/26/02	(495 527 43)	514 264 55	(505 012 14)	9 252 41
CAP GDN	2603958	ECOLAB INC COM	5/8/02	(300 00)	13 649 49	(4 431 97)	9 217 52
CAP GDN	2603958	ECOLAB INC COM	5/3/02	(300 00)	13 607 19	(4 431 98)	9 175 21
CAP GDN	2603958	ECOLAB INC COM	5/6/02	(300 00)	13 568 11	(4 431 97)	9 136 14
CAP GDN	2603958	AVON PROD INC COM	4/23/02	(400 00)	22 527 84	(13 425 81)	9 102 03
CAP GDN	2603958	ALLSTATE CORP COM	5/15/02	(600 00)	23 611 50	(14 555 82)	9 055 68
CAP GDN	2603958	ADR ASTRAZENCA PLC SPONSORED ADR UK	12/6/02	(600 00)	21 788 46	(12 909 40)	8 879 06
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/9/02	(800 00)	29 305 47	(20 539 78)	8 765 69
CAP GDN	2603958	USA INTERACTIVE COM STK	2/25/02	(1 000 00)	28 967 36	(20 306 65)	8 660 71
CAP GDN	2603958	APPLIED MATERIALS INC COM	11/18/02	(1 000 00)	15 973 21	(7 334 35)	8 638 86
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/7/02	(500 00)	19 092 36	(10 519 35)	8 573 01
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/4/02	(500 00)	18 828 46	(10 519 35)	8 309 11
CAP GDN	2603958	PEPSICO INC COM	10/10/02	(1 100 00)	45 619 91	(37 357 43)	8 262 48
CAP GDN	2603958	KELLOGG CO COM	1/30/02	(1 100 00)	33 917 22	(25 706 68)	8 210 54
CAP GDN	2603958	CLOROX CO COM	8/27/02	(800 00)	34 118 97	(25 999 44)	8 119 53
CAP GDN	2603958	USA INTERACTIVE COM STK	2/21/02	(900 00)	25 623 78	(17 509 50)	8 114 28
CAP GDN	2603958	FNMA POOL # 0651625 6 5 DUE 06-01-2032 REG	7/26/02	(500 000 00)	515 078 13	(507 031 25)	8 046 88
CAP GDN	2603958	MEDTRONIC INC COM	4/26/02	(800 00)	35 220 77	(27 177 52)	8 043 25
CAP GDN	2603958	ILL TOOL WKS INC COM	3/18/02	(500 00)	37 854 43	(29 839 70)	8 014 73
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/21/02	(400 00)	20 416 90	(12 539 97)	7 876 93
CAP GDN	2603958	NAVISTAR INTL CORP NEW COM	1/17/02	(1 000 00)	35 655 46	(27 957 04)	7 698 42
CAP GDN	2603958	TERADYNE INC COM	3/4/02	(800 00)	31 683 52	(24 013 44)	7 670 08
CAP GDN	2603958	LOWES COS INC COM	8/26/02	(400 00)	17 194 04	(9 553 58)	7 640 46
CAP GDN	2603958	KELLOGG CO COM	6/10/02	(800 00)	28 083 23	(20 498 28)	7 584 95
CAP GDN	2603958	VIACOM COM CL B	7/29/02	(1 100 00)	39 403 67	(31 834 23)	7 569 44
CAP GDN	2603958	ANHEUSER BUSCH COS INC COM	10/9/02	(900 00)	46 701 21	(39 132 00)	7 569 21
CAP GDN	2603958	SUNGARD DATA SYS INC COM	4/8/02	(700 00)	22 234 75	(14 701 34)	7 533 41
CAP GDN	2603958	PEPSICO INC COM	1/17/02	(500 00)	24 374 63	(16 845 26)	7 529 37
CAP GDN	2603958	GNMA POOL # 435733 7 DUE 12 15-2026 REG	6/26/02	(134 634 82)	140 335 26	(132 825 73)	7 509 53
CAP GDN	2603958	USA INTERACTIVE COM STK	2/19/02	(700 00)	20 374 66	(12 871 25)	7 503 41
CAP GDN	2603958	GANNETT INC COM	7/29/02	(800 00)	56 993 00	(49 580 32)	7 412 68
CAP GDN	2603958	MEDTRONIC INC COM	5/10/02	(800 00)	34 577 03	(27 177 52)	7 399 51
CAP GDN	2603958	#REORG/PHILIP MORRIS NAME CHG TO ALTRIA GROUP INC SEC #200130	1/17/02	(400 00)	19 411 70	(12 327 52)	7 084 18
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/20/02	(900 00)	26 085 89	(19 027 63)	7 058 26
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	10/28/02	(700 00)	35 329 96	(28 295 45)	7 034 51
CAP GDN	2603958	FNMA POOL # 0589087 6 DUE 10-01 2031 REG	6/12/02	(397 957 80)	395 781 47	(388 755 02)	7 026 45
CAP GDN	2603958	CR SUISSE 1ST BSTN USA INC NT DTD 01/09/2002 6 5 DUE 01-15-2012	9/26/02	(125 000 00)	131 366 25	(124 363 75)	7 002 50
CAP GDN	2603958	GEN MILLS INC COM	3/19/02	(400 00)	19 300 51	(12 460 98)	6 839 53
CAP GDN	2603958	SUNGARD DATA SYS INC COM	3/28/02	(700 00)	23 115 96	(16 319 27)	6 796 69
CAP GDN	2603958	ADR SONY CORP AMER DEPY RCPT FOR DOLLAR VALIDATED COM B	1/25/02	(2 400 00)	111 502 32	(104 709 67)	6 792 65
CAP GDN	2603958	DOVER CORP COM	3/18/02	(500 00)	21 374 67	(14 591 48)	6 783 19
CAP GDN	2603958	INTL BUSINESS MACHS CORP COM	1/17/02	(200 00)	24 053 63	(17 292 42)	6 761 21
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/13/02	(100 00)	9 748 31	(3 036 00)	6 712 31
CAP GDN	2603958	AVON PROD INC COM	4/30/02	(300 00)	16 658 85	(9 977 50)	6 681 35
CAP GDN	2603958	KELLOGG CO COM	2/7/02	(1 200 00)	37 085 16	(30 422 02)	6 664 14
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/4/02	(400 00)	15 062 77	(8 415 48)	6 647 29
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/7/02	(100 00)	9 654 57	(3 036 00)	6 618 57
CAP GDN	2603958	KELLOGG CO COM	1/28/02	(800 00)	24 764 50	(18 170 76)	6 593 74
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/1/02	(100 00)	9 549 85	(3 038 07)	6 511 78
CAP GDN	2603958	AVON PROD INC COM	4/15/02	(300 00)	16 877 91	(10 395 15)	6 482 76
CAP GDN	2603958	US TREAS NTS 5 25 DUE 08-15-2003 REG	1/30/02	(250 000 00)	259 882 81	(253 405 27)	6 477 54
CAP GDN	2603958	KELLOGG CO COM	9/12/02	(1 000 00)	32 455 62	(25 989 08)	6 466 54
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/14/02	(3 700 00)	66 142 90	(59 784 22)	6 358 68
CAP GDN	2603958	BK AMER CORP COM	5/10/02	(200 00)	14 876 99	(8 561 00)	6 315 99
CAP GDN	2603958	KELLOGG CO COM	4/8/02	(700 00)	24 111 54	(17 797 50)	6 314 04
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	3/11/02	(400 00)	11 644 70	(5 367 64)	6 277 06
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	7/15/02	(800 00)	23 218 57	(16 975 76)	6 242 81
CAP GDN	2603958	US TREAS NTS DTD 00004 3 25 DUE 05-31 2004 REG	6/26/02	(625 000 00)	631 445 31	(625 202 95)	6 242 36
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/27/02	(100 00)	9 295 68	(3 080 29)	6 215 39
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	2/12/02	(100 00)	9 160 29	(3 014 86)	6 145 43
CAP GDN	2603958	ECOLAB INC COM	5/10/02	(200 00)	9 070 00	(2 954 00)	6 116 00

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	BK AMER CORP COM	4/22/02	(500 00)	35 675 22	(29 577 37)	6 097 85
CAP GDN	2603958	ILL TOOL WKS INC COM	7/8/02	(500 00)	33 829 28	(27 743 75)	6 085 53
CAP GDN	2603958	ECOLAB INC COM	5/6/02	(200 00)	9 031 16	(2 954 65)	6 076 51
CAP GDN	2603958	VIACOM INC NT 7 7 DUE 07 30-2010 BEO	3/26/02	(100 000 00)	107 240 00	(101 238 25)	6 001 75
CAP GDN	2603958	KELLOGG CO COM	6/7/02	(600 00)	21 245 23	(15 255 00)	5 990 23
CAP GDN	2603958	VIACOM COM CL B	7/29/02	(900 00)	32 182 48	(26 217 95)	5 964 53
CAP GDN	2603958	TERADYNE INC COM	4/16/02	(600 00)	23 619 54	(17 680 08)	5 939 46
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	1/3/02	(100 00)	8 932 24	(3 011 35)	5 920 89
CAP GDN	2603958	BAKER HUGHES INC COM	6/21/02	(500 00)	17 187 08	(11 268 60)	5 918 48
CAP GDN	2603958	ALLSTATE CORP COM	5/6/02	(400 00)	15 757 36	(9 870 00)	5 887 36
CAP GDN	2603958	NOVELLUS SYS INC COM	1/3/02	(400 00)	17 730 53	(11 887 52)	5 843 01
CAP GDN	2603958	BAKER HUGHES INC COM	6/24/02	(500 00)	17 111 03	(11 268 60)	5 842 43
CAP GDN	2603958	WA MUT INC COM	8/9/02	(200 00)	7 691 86	(1 872 62)	5 819 24
CAP GDN	2603958	CIT GROUP HOLDINGS 7 375 DUE 04 02 2007 BEO	7/16/02	(125 000 00)	130 538 75	(124 752 50)	5 786 25
CAP GDN	2603958	ALLSTATE CORP COM	5/7/02	(400 00)	15 596 36	(9 870 00)	5 726 36
CAP GDN	2603958	LINCOLN NATL CORP IND 7 DUE 03-15-2018 REG	6/26/02	(100 000 00)	102 102 00	(96 478 00)	5 624 00
CAP GDN	2603958	SLM CORP COM	10/15/02	(100 00)	9 863 98	(4 289 50)	5 574 48
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/8/02	(500 00)	17 974 93	(12 444 30)	5 530 63
CAP GDN	2603958	WILLIAMS SONOMA INC COM	1/17/02	(1 000 00)	41 709 37	(36 285 58)	5 423 79
CAP GDN	2603958	SLM CORP COM	9/16/02	(100 00)	9 669 70	(4 367 63)	5 302 07
CAP GDN	2603958	KLA-TENCOR CORP	3/11/02	(100 00)	6 828 63	(1 568 75)	5 259 88
CAP GDN	2603958	TERADYNE INC COM	3/18/02	(600 00)	22 925 65	(17 680 08)	5 245 57
CAP GDN	2603958	CIN FNCL CORP COM	10/28/02	(700 00)	27 089 18	(21 875 00)	5 214 18
CAP GDN	2603958	SLM CORP COM	7/24/02	(100 00)	8 087 25	(2 912 39)	5 174 86
CAP GDN	2603958	EDISON INTL COM	3/21/02	(900 00)	15 107 53	(9 956 61)	5 150 92
CAP GDN	2603958	KLA-TENCOR CORP	3/4/02	(100 00)	6 677 89	(1 568 75)	5 109 14
CAP GDN	2603958	EDISON INTL COM	3/28/02	(900 00)	15 015 01	(9 916 65)	5 098 36
CAP GDN	2603958	FEDT DEPT STORES INC DEL 6 625 DUE 04-01-2011 BEO	3/11/02	(125 000 00)	124 675 00	(119 588 22)	5 086 78
CAP GDN	2603958	KLA-TENCOR CORP	3/6/02	(100 00)	6 638 76	(1 568 75)	5 070 01
CAP GDN	2603958	KLA-TENCOR CORP	3/28/02	(100 00)	6 634 20	(1 568 75)	5 065 45
CAP GDN	2603958	EDISON INTL COM	3/27/02	(900 00)	14 998 81	(9 940 35)	5 058 46
CAP GDN	2603958	SLM CORP COM	9/19/02	(100 00)	9 344 71	(4 289 50)	5 055 21
CAP GDN	2603958	EDISON INTL COM	2/26/02	(900 00)	14 222 39	(9 194 69)	5 027 70
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/6/02	(500 00)	15 537 98	(10 539 75)	4 998 23
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	1/31/02	(1 000 000 00)	1 039 531 25	(1 034 577 53)	4 953 72
CAP GDN	2603958	TERADYNE INC COM	3/28/02	(500 00)	19 681 80	(14 733 40)	4 948 40
CAP GDN	2603958	SLM CORP COM	9/18/02	(100 00)	9 274 50	(4 367 63)	4 906 87
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	7/31/02		4 892 29		4 892 29
CAP GDN	2603958	ANHEUSER BUSCH COS INC COM	6/10/02	(600 00)	30 948 02	(26 118 90)	4 829 12
CAP GDN	2603958	BK AMER CORP 7 125 DUE 09-15-2006 BEO	2/25/02	(250 000 00)	271 827 50	(267 027 99)	4 799 51
CAP GDN	2603958	KELLOGG CO COM	2/25/02	(500 00)	17 487 78	(12 712 50)	4 775 28
CAP GDN	2603958	KELLOGG CO COM	2/22/02	(500 00)	17 431 26	(12 712 50)	4 718 76
CAP GDN	2603958	CONTINENTAL AIRLS PASS THRU TRS CTF 1998-1 CL A 6 648 3-15-2019	4/12/02	(112 656 22)	108 255 87	(103 559 44)	4 696 43
CAP GDN	2603958	DOVER CORP COM	3/1/02	(400 00)	15 976 52	(11 297 28)	4 679 24
CAP GDN	2603958	KELLOGG CO COM	2/5/02	(700 00)	21 603 00	(16 948 49)	4 654 51
CAP GDN	2603958	ANHEUSER BUSCH COS INC COM	5/13/02	(600 00)	25 731 30	(21 098 76)	4 632 54
CAP GDN	2603958	KELLOGG CO COM	8/27/02	(500 00)	26 374 20	(21 758 47)	4 615 73
CAP GDN	2603958	KELLOGG CO COM	9/13/02	(700 00)	22 737 90	(18 132 31)	4 605 59
CAP GDN	2603958	EDISON INTL COM	3/22/02	(800 00)	13 425 71	(8 852 72)	4 572 99
CAP GDN	2603958	TERADYNE INC COM	3/5/02	(500 00)	19 578 00	(15 008 40)	4 569 60
CAP GDN	2603958	KRAFT FOODS INC CL A	8/27/02	(600 00)	23 165 36	(18 600 00)	4 565 36
CAP GDN	2603958	NAVISTAR INTL CORP NEW COM	1/25/02	(500 00)	18 659 71	(14 145 20)	4 514 51
CAP GDN	2603958	ALLSTATE CORP COM	5/3/02	(300 00)	11 903 01	(7 402 50)	4 500 51
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	2/13/02	(300 00)	10 532 33	(6 038 07)	4 494 26
CAP GDN	2603958	US TREAS NTS DTD 08/15/2000 5 75 DUE 08-15-2010 REG	1/30/02	(125 000 00)	132 070 31	(127 634 02)	4 436 29
CAP GDN	2603958	CONTINENTAL AIRLS PASS THRU TRS CTF 1998-1 CL A 6 648 3-15-2019	6/7/02	(112 656 22)	108 020 41	(103 601 89)	4 418 52
CAP GDN	2603958	DOVER CORP COM	3/4/02	(400 00)	16 001 63	(11 594 88)	4 407 15
CAP GDN	2603958	J P MORGAN CHASE & CO NT 6 75 DUE 02-01-2011 BEO	6/28/02	(100 000 00)	103 670 00	(99 334 00)	4 336 00
CAP GDN	2603958	APPLIED MATERIALS INC COM	11/20/02	(500 00)	7 994 85	(3 687 17)	4 327 68
CAP GDN	2603958	ALLSTATE CORP COM	5/8/02	(300 00)	11 694 84	(7 402 50)	4 292 34
CAP GDN	2603958	GANNETT INC COM	7/28/02	(600 00)	42 943 75	(38 336 57)	4 207 18
CAP GDN	2603958	EDISON INTL COM	4/3/02	(800 00)	13 363 51	(9 216 24)	4 147 27
CAP GDN	2603958	US TREAS BDS DTD 08/15/1993 6 25 DUE 08-15-2023 REG	5/25/01	100 000 00	(102 613 28)	106 718 75	4 105 47
CAP GDN	2603958	CMD CSFB MFG SECS CORP 2001-CF2 MTG PASSTHRU CTF CL A-2 5 9	7/25/02	(125 000 00)	132 690 43	(128 600 47)	4 089 96
CAP GDN	2603958	V F CORP COM	8/19/02	(200 00)	8 519 02	(4 494 99)	4 024 03
CAP GDN	2603958	FNMA 30 YR PASS-THROUGHS 6 30 YEARS SETTLES JAN	1/9/02	(405 000 00)	397 485 35	(393 482 61)	4 002 54
CAP GDN	2603958	KLA-TENCOR CORP	11/20/02	(500 00)	19 501 56	(15 527 08)	3 974 48
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/11/02	(500 00)	14 511 86	(10 539 76)	3 972 11
CAP GDN	2603958	GEN MILLS INC COM	7/25/02	(600 00)	23 819 28	(19 850 75)	3 968 53
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/12/02	(500 00)	14 483 81	(10 539 75)	3 944 06
CAP GDN	2603958	LOWES COS INC COM	9/6/02	(200 00)	8 703 77	(4 769 14)	3 934 63
CAP GDN	2603958	EDISON INTL COM	4/2/02	(700 00)	11 687 40	(7 782 46)	3 904 94
CAP GDN	2603958	TERADYNE INC COM	4/1/02	(400 00)	15 637 36	(11 786 72)	3 850 64
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/24/02	(200 00)	10 112 07	(6 269 99)	3 842 08
CAP GDN	2603958	ILL TOOL WKS INC COM	8/27/02	(300 00)	20 480 38	(16 646 25)	3 834 13
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/17/02	(1 000 00)	34 319 48	(30 531 86)	3 787 62
CAP GDN	2603958	V F CORP COM	8/26/02	(200 00)	8 344 26	(4 557 48)	3 786 78
CAP GDN	2603958	BAKER HUGHES INC COM	6/25/02	(300 00)	10 547 53	(6 761 16)	3 786 37
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	1/4/02	(200 00)	7 828 36	(4 151 14)	3 777 22
CAP GDN	2603958	PEOPLESFT INC COM	11/26/02	(900 00)	17 201 00	(13 432 41)	3 768 59
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/4/02	(200 00)	7 489 88	(3 738 71)	3 751 17
CAP GDN	2603958	ALCOA INC COM STK	6/7/02	(1 200 00)	38 193 88	(34 485 00)	3 708 88
CAP GDN	2603958	V F CORP COM	6/28/02	(200 00)	7 860 46	(4 235 26)	3 625 20
CAP GDN	2603958	MEDTRONIC INC COM	10/28/02	(500 00)	22 354 32	(18 775 00)	3 579 32
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	4/15/02	(100 00)	7 276 78	(3 740 07)	3 536 71
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	1/14/02	(250 000 00)	261 347 66	(257 816 35)	3 531 31

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	MEDTRONIC INC COM	5/31/02	(400 00)	18 543 92	(15 020 00)	3 523 92
CAP GDN	2603958	EDISON INTL COM	4/10/02	(600 00)	10 334 32	(6 846 29)	3 488 03
CAP GDN	2603958	V F CORP COM	9/11/02	(200 00)	8 055 33	(4 618 94)	3 436 39
CAP GDN	2603958	KELLOGG CO COM	2/1/02	(600 00)	18 401 54	(14 970 90)	3 430 64
CAP GDN	2603958	CITIGROUP INC COM	7/5/02	(200 00)	7 881 56	(4 471 89)	3 409 67
CAP GDN	2603958	COMCAST CORP NEW CL A	12/2/02	(800 00)	19 227 42	(15 821 36)	3 406 06
CAP GDN	2603958	SUNGARD DATA SYS INC COM	4/9/02	(300 00)	9 673 32	(6 280 20)	3 393 12
CAP GDN	2603958	ILL TOOL WKS INC COM	10/28/02	(600 00)	36 634 89	(33 292 50)	3 342 39
CAP GDN	2603958	ILL TOOL WKS INC COM	1/17/02	(600 00)	38 539 40	(35 202 14)	3 337 26
CAP GDN	2603958	GEN MILLS INC COM	3/21/02	(200 00)	9 592 51	(6 302 31)	3 290 20
CAP GDN	2603958	BAKER HUGHES INC COM	3/21/02	(200 00)	7 795 60	(4 507 44)	3 289 16
CAP GDN	2603958	MEDTRONIC INC COM	6/3/02	(400 00)	18 308 44	(15 020 00)	3 288 44
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/4/02	(200 00)	7 489 88	(4 207 74)	3 282 14
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/4/02	(200 00)	7 455 66	(4 207 74)	3 247 92
CAP GDN	2603958	KELLOGG CO COM	12/5/02	(1 800 00)	55 766 22	(52 552 10)	3 214 12
CAP GDN	2603958	GEN MILLS INC COM	7/10/02	(300 00)	13 103 60	(9 894 82)	3 208 78
CAP GDN	2603958	BK AMER CORP COM	5/9/02	(100 00)	7 479 97	(4 280 50)	3 199 47
CAP GDN	2603958	ALCOA INC COM STK	6/6/02	(900 00)	29 041 76	(25 863 75)	3 178 01
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	5/14/02	(300 00)	7 070 12	(3 893 37)	3 176 75
CAP GDN	2603958	US TREAS NTS DTD 00004 3 25 DUE 05-31 2004 REG	7/17/02	(250 000 00)	253 242 19	(250 085 00)	3 157 19
CAP GDN	2603958	EDISON INTL COM	4/3/02	(600 00)	10 001 69	(6 850 36)	3 151 33
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	2/5/02	(200 00)	7 174 89	(4 025 38)	3 149 51
CAP GDN	2603958	APPLERA CORP APPLIED BIOSYSTEMS GROUP	1/10/02	(300 00)	10 749 07	(7 611 37)	3 137 70
CAP GDN	2603958	ILL TOOL WKS INC COM	6/3/02	(200 00)	14 213 57	(11 097 50)	3 116 07
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	11/29/02		3 107 47		3 107 47
CAP GDN	2603958	ECOLAB INC COM	5/13/02	(100 00)	4 526 31	(1 477 00)	3 049 31
CAP GDN	2603958	NOVELLUS SYS INC COM	11/20/02	(500 00)	16 790 89	(13 769 15)	3 021 74
CAP GDN	2603958	EDISON INTL COM	3/15/02	(600 00)	10 122 68	(7 177 67)	2 945 01
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/26/02	(1 200 00)	59 165 41	(56 225 52)	2 939 89
CAP GDN	2603958	CIN FNCL CORP COM	5/13/02	(200 00)	9 161 82	(6 250 00)	2 911 82
CAP GDN	2603958	WA MUT INC COM	8/13/02	(100 00)	3 845 02	(936 31)	2 908 71
CAP GDN	2603958	ALCOA INC COM STK	6/10/02	(900 00)	28 762 23	(25 863 75)	2 898 48
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/7/02	(1 400 00)	25 670 57	(22 778 84)	2 891 73
CAP GDN	2603958	CIN FNCL CORP COM	5/14/02	(200 00)	9 131 50	(6 250 00)	2 881 50
CAP GDN	2603958	KELLOGG CO COM	1/31/02	(500 00)	15 356 01	(12 475 75)	2 880 26
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/8/02	(1 086 00)	20 328 42	(17 448 65)	2 879 77
CAP GDN	2603958	CIN FNCL CORP COM	5/14/02	(200 00)	9 129 15	(6 250 00)	2 879 15
CAP GDN	2603958	ALLSTATE CORP COM	5/9/02	(200 00)	7 803 82	(4 935 00)	2 868 82
CAP GDN	2603958	CIN FNCL CORP COM	5/15/02	(200 00)	9 110 06	(6 250 00)	2 860 06
CAP GDN	2603958	CIN FNCL CORP COM	5/15/02	(200 00)	9 108 34	(6 250 00)	2 858 34
CAP GDN	2603958	TERADYNE INC COM	3/7/02	(300 00)	11 737 98	(8 895 04)	2 842 94
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	12/21/01	(250 000 00)	260 830 08	(257 998 83)	2 831 25
CAP GDN	2603958	EDISON INTL COM	3/1/02	(700 00)	11 130 18	(8 313 69)	2 816 49
CAP GDN	2603958	NOVELLUS SYS INC COM	11/6/02	(400 00)	13 361 07	(10 546 84)	2 814 23
CAP GDN	2603958	BK AMER CORP COM	5/8/02	(100 00)	7 416 29	(4 602 67)	2 813 62
CAP GDN	2603958	EDISON INTL COM	3/18/02	(500 00)	8 469 52	(5 630 61)	2 778 91
CAP GDN	2603958	EDISON INTL COM	4/1/02	(500 00)	8 317 24	(5 558 90)	2 758 34
CAP GDN	2603958	EDISON INTL COM	4/1/02	(500 00)	8 297 79	(5 549 55)	2 748 24
CAP GDN	2603958	BOWATER INC COM	3/1/02	(400 00)	20 774 00	(18 038 26)	2 735 74
CAP GDN	2603958	COMCAST CORP NEW CL A	12/6/02	(500 00)	12 098 23	(9 390 94)	2 707 29
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	10/28/02	(600 00)	36 124 91	(33 427 17)	2 697 74
CAP GDN	2603958	BK AMER CORP COM	5/7/02	(100 00)	7 339 82	(4 642 50)	2 697 32
CAP GDN	2603958	COMCAST CORP NEW CL A	12/5/02	(500 00)	11 910 19	(9 213 89)	2 696 30
CAP GDN	2603958	KELLOGG CO COM	6/11/02	(300 00)	10 475 44	(7 804 08)	2 671 36
CAP GDN	2603958	BELO A H CORP 7 75 DEB DUE 6-1 27 REG	1/22/02	(100 000 00)	91 326 00	(88 665 41)	2 660 59
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/5/02	(1 600 00)	28 733 69	(26 110 82)	2 622 87
CAP GDN	2603958	VIACOM COM CL B	7/29/02	(500 00)	17 164 48	(14 565 52)	2 598 96
CAP GDN	2603958	EMERSON ELEC CO COM	3/18/02	(600 00)	38 639 41	(36 067 50)	2 571 91
CAP GDN	2603958	ILL TOOL WKS INC COM	12/5/02	(300 00)	20 321 69	(17 750 08)	2 571 61
CAP GDN	2603958	KELLOGG CO COM	1/29/02	(300 00)	9 303 31	(6 746 52)	2 556 79
CAP GDN	2603958	V F CORP COM	3/18/02	(500 00)	21 799 67	(19 281 90)	2 517 77
CAP GDN	2603958	GEN MILLS INC COM	7/19/02	(300 00)	12 036 80	(9 562 50)	2 474 30
CAP GDN	2603958	KELLOGG CO COM	2/13/02	(400 00)	12 636 13	(10 170 00)	2 466 13
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/2/02	(1 600 00)	29 498 11	(27 034 37)	2 463 74
CAP GDN	2603958	WASTE MGMT INC DEL WMI 7 375 DUE 08-01 2010 BEO	7/25/02	(100 000 00)	102 041 00	(99 593 00)	2 448 00
CAP GDN	2603958	BOWATER INC COM	3/4/02	(300 00)	15 997 16	(13 564 21)	2 432 95
CAP GDN	2603958	GEN MILLS INC COM	7/25/02	(300 00)	11 849 64	(9 417 45)	2 432 19
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/24/02	(300 00)	8 797 92	(6 365 91)	2 432 01
CAP GDN	2603958	AVON PROD INC COM	5/1/02	(100 00)	5 599 22	(3 180 00)	2 419 22
CAP GDN	2603958	KELLOGG CO COM	1/29/02	(300 00)	9 152 26	(6 746 52)	2 405 74
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	10/31/02		2 403 72		2 403 72
CAP GDN	2603958	KLA-TENCOR CORP	11/21/02	(300 00)	12 493 90	(10 142 64)	2 351 26
CAP GDN	2603958	MEDTRONIC INC COM	10/7/02	(400 00)	17 343 23	(15 020 00)	2 323 23
CAP GDN	2603958	ACE LTD ORD STK USD0 0416	2/15/02	(300 00)	12 794 68	(10 500 00)	2 294 68
CAP GDN	2603958	EDISON INTL COM	1/30/02	(500 00)	7 565 98	(5 285 88)	2 280 10
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/4/02	(1 300 00)	23 832 54	(21 554 53)	2 278 01
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	3/1/01		4 505 49	(41 000 00)	43 252 72
CAP GDN	2603958	GEN MILLS INC COM	7/9/02	(200 00)	8 783 05	(6 534 57)	2 248 48
CAP GDN	2603958	ILL TOOL WKS INC COM	6/26/02	(200 00)	13 330 45	(11 097 50)	2 232 95
CAP GDN	2603958	KELLOGG CO COM	2/8/02	(400 00)	12 372 53	(10 170 00)	2 202 53
CAP GDN	2603958	AVON PROD INC COM	4/26/02	(100 00)	5 594 83	(3 398 75)	2 196 08
CAP GDN	2603958	BOWATER INC COM	3/6/02	(300 00)	15 652 56	(13 465 31)	2 187 25
CAP GDN	2603958	MEDTRONIC INC COM	10/3/02	(400 00)	17 200 32	(15 020 00)	2 180 32
CAP GDN	2603958	MEDTRONIC INC COM	6/4/02	(300 00)	13 439 14	(11 250 00)	2 174 14
CAP GDN	2603958	KELLOGG CO COM	2/7/02	(400 00)	12 122 85	(9 950 08)	2 172 77
CAP GDN	2603958	AVON PROD INC COM	4/15/02	(100 00)	5 625 16	(3 465 05)	2 160 11

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Account	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN 2603958	AVON PROD INC COM	4/22/02	(100 00)	5 606 50	(3 465 05)	2 141 45
CAP GDN 2603958	ADR ASTRAZENCA PLC SPONSORED ADR UK	12/11/02	(700 00)	25 414 97	(23 277 04)	2 137 93
CAP GDN 2603958	V F CORP COM	8/13/02	(100 00)	4 239 75	(2 117 63)	2 122 12
CAP GDN 2603958	NOVELLUS SYS INC COM	11/18/02	(400 00)	13 277 83	(11 175 00)	2 102 83
CAP GDN 2603958	KELLOGG CO COM	2/4/02	(300 00)	9 249 40	(7 173 18)	2 076 22
CAP GDN 2603958	USA INTERACTIVE COM STK	2/20/02	(200 00)	5 799 91	(3 751 51)	2 048 40
CAP GDN 2603958	V F CORP COM	8/15/02	(100 00)	4 254 58	(2 220 79)	2 033 79
CAP GDN 2603958	V F CORP COM	8/16/02	(100 00)	4 242 48	(2 220 79)	2 021 69
CAP GDN 2603958	BOWATER INC COM	3/7/02	(300 00)	15 719 79	(13 705 06)	2 014 73
CAP GDN 2603958	WELLS FARGO & CO NEW COM STK	6/21/02	(100 00)	5 146 34	(3 134 99)	2 011 35
CAP GDN 2603958	MEDTRONIC INC COM	4/29/02	(200 00)	8 791 79	(6 794 38)	1 997 41
CAP GDN 2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	7/11/02	(2 200 00)	112 281 53	(110 286 98)	1 994 55
CAP GDN 2603958	VIACOM COM CL B	7/26/02	(400 00)	13 646 46	(11 652 42)	1 994 04
CAP GDN 2603958	KELLOGG CO COM	9/16/02	(300 00)	9 814 02	(7 823 50)	1 990 52
CAP GDN 2603958	V F CORP COM	8/14/02	(100 00)	4 207 19	(2 220 79)	1 986 40
CAP GDN 2603958	V F CORP COM	8/20/02	(100 00)	4 254 08	(2 274 20)	1 979 88
CAP GDN 2603958	WELLS FARGO & CO NEW COM STK	6/21/02	(100 00)	5 110 34	(3 134 99)	1 975 35
CAP GDN 2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	1/8/02	(100 00)	4 050 68	(2 075 57)	1 975 11
CAP GDN 2603958	V F CORP COM	8/21/02	(100 00)	4 243 35	(2 274 20)	1 969 15
CAP GDN 2603958	USA INTERACTIVE COM STK	2/27/02	(200 00)	6 024 60	(4 058 64)	1 965 96
CAP GDN 2603958	LOWES COS INC COM	8/27/02	(100 00)	4 347 86	(2 384 57)	1 963 29
CAP GDN 2603958	BOWATER INC COM	3/6/02	(300 00)	15 648 03	(13 687 81)	1 960 22
CAP GDN 2603958	V F CORP COM	5/7/02	(300 00)	13 526 98	(11 569 14)	1 957 84
CAP GDN 2603958	TERADYNE INC COM	3/8/02	(200 00)	7 850 96	(5 893 36)	1 957 60
CAP GDN 2603958	KLA-TENCOR CORP	11/18/02	(400 00)	15 319 25	(13 398 14)	1 921 11
CAP GDN 2603958	V F CORP COM	8/14/02	(100 00)	4 135 36	(2 220 79)	1 914 57
CAP GDN 2603958	WELLS FARGO & CO NEW COM STK	6/25/02	(100 00)	5 028 84	(3 134 99)	1 893 85
CAP GDN 2603958	CF CAP GUARDIAN NON US FIF FOR TX EXMP TR	12/31/02		1 887 11	1 887 11	
CAP GDN 2603958	ILL TOOL WKS INC COM	4/19/02	(100 00)	7 407 32	(5 548 75)	1 858 57
CAP GDN 2603958	CLOROX CO COM	2/6/02	(400 00)	16 834 58	(15 012 40)	1 822 18
CAP GDN 2603958	BOWATER INC COM	3/19/02	(200 00)	10 451 82	(8 629 84)	1 821 98
CAP GDN 2603958	KELLOGG CO COM	2/26/02	(200 00)	6 900 63	(5 085 00)	1 815 63
CAP GDN 2603958	CARNIVAL CORP COM	5/3/02	(200 00)	6 667 53	(4 885 00)	1 782 53
CAP GDN 2603958	KELLOGG CO COM	7/8/02	(200 00)	6 916 93	(5 136 36)	1 780 57
CAP GDN 2603958	MEDTRONIC INC COM	10/4/02	(300 00)	13 022 00	(11 265 00)	1 757 00
CAP GDN 2603958	KELLOGG CO COM	2/27/02	(200 00)	6 837 73	(5 085 00)	1 752 73
CAP GDN 2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	5/10/02	(200 00)	4 435 68	(2 683 82)	1 751 86
CAP GDN 2603958	V F CORP COM	5/15/02	(300 00)	13 319 38	(11 569 14)	1 750 24
CAP GDN 2603958	V F CORP COM	9/6/02	(100 00)	4 030 86	(2 283 28)	1 747 58
CAP GDN 2603958	V F CORP COM	9/10/02	(100 00)	4 044 85	(2 309 47)	1 735 38
CAP GDN 2603958	KRAFT FOODS INC CL A	10/10/02	(300 00)	11 033 66	(9 300 00)	1 733 66
CAP GDN 2603958	CITIGROUP INC COM	7/8/02	(100 00)	3 952 88	(2 222 92)	1 729 96
CAP GDN 2603958	EDISON INTL COM	3/5/02	(400 00)	6 521 26	(4 796 33)	1 724 93
CAP GDN 2603958	NAVISTAR INTL CORP NEW COM	1/14/02	(200 00)	7 271 85	(5 546 96)	1 724 89
CAP GDN 2603958	V F CORP COM	9/9/02	(100 00)	3 996 62	(2 283 28)	1 713 34
CAP GDN 2603958	DOUBLECLICK INC COM	3/4/02	(1 100 00)	13 515 16	(11 806 41)	1 708 75
CAP GDN 2603958	EDISON INTL COM	4/8/02	(300 00)	5 133 14	(3 432 00)	1 701 14
CAP GDN 2603958	ANHEUSER BUSCH COS INC COM	10/10/02	(200 00)	10 394 46	(8 695 78)	1 698 68
CAP GDN 2603958	CITIGROUP INC COM	7/5/02	(100 00)	3 938 88	(2 242 60)	1 696 28
CAP GDN 2603958	SUNGARD DATA SYS INC COM	3/27/02	(200 00)	6 548 54	(4 852 94)	1 695 60
CAP GDN 2603958	CITIGROUP INC COM	7/5/02	(100 00)	3 934 88	(2 242 60)	1 692 28
CAP GDN 2603958	EDISON INTL COM	3/4/02	(400 00)	6 507 82	(4 816 58)	1 691 24
CAP GDN 2603958	V F CORP COM	5/14/02	(300 00)	13 256 12	(11 569 14)	1 686 98
CAP GDN 2603958	V F CORP COM	9/17/02	(100 00)	3 969 16	(2 293 59)	1 675 57
CAP GDN 2603958	EDISON INTL COM	4/5/02	(300 00)	5 171 96	(3 506 20)	1 665 76
CAP GDN 2603958	V F CORP COM	5/21/02	(300 00)	13 229 81	(11 569 14)	1 660 67
CAP GDN 2603958	NOVELLUS SYS INC COM	1/7/02	(100 00)	4 529 29	(2 868 67)	1 660 62
CAP GDN 2603958	NOVELLUS SYS INC COM	11/21/02	(200 00)	7 152 40	(5 507 66)	1 644 74
CAP GDN 2603958	V F CORP COM	9/12/02	(100 00)	3 946 64	(2 309 47)	1 637 17
CAP GDN 2603958	GEN MILLS INC COM	7/18/02	(200 00)	8 118 55	(6 486 25)	1 632 30
CAP GDN 2603958	V F CORP COM	9/13/02	(100 00)	3 934 14	(2 309 47)	1 624 67
CAP GDN 2603958	USA INTERACTIVE COM STK	9/16/02	(100 00)	3 931 13	(2 309 47)	1 621 66
CAP GDN 2603958	NOVELLUS SYS INC COM	2/22/02	(200 00)	5 617 91	(4 000 00)	1 617 91
CAP GDN 2603958	KELLOGG CO COM	1/4/02	(100 00)	4 585 88	(2 971 88)	1 614 00
CAP GDN 2603958	V F CORP COM	7/9/02	(200 00)	6 809 41	(5 195 84)	1 613 57
CAP GDN 2603958	V F CORP COM	9/18/02	(100 00)	3 906 77	(2 293 59)	1 613 18
CAP GDN 2603958	WELLS FARGO & CO NEW COM STK	6/28/02	(500 00)	25 070 39	(23 473 48)	1 596 91
CAP GDN 2603958	NEWMONT MINING CORP NEW COM	3/8/02	(800 00)	18 458 04	(16 863 60)	1 594 44
CAP GDN 2603958	NEWMONT MINING CORP NEW COM	6/14/02	(200 00)	5 789 82	(4 215 90)	1 573 92
CAP GDN 2603958	EDISON INTL COM	2/27/02	(400 00)	6 314 18	(4 750 68)	1 563 50
CAP GDN 2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	3/12/02	(100 00)	2 895 90	(1 341 91)	1 553 99
CAP GDN 2603958	WELLS FARGO & CO NEW COM STK	8/7/02	(600 00)	29 879 09	(28 344 48)	1 534 61
CAP GDN 2603958	INTERSIL CORP	6/7/02	(1 400 00)	30 840 51	(29 317 50)	1 523 01
CAP GDN 2603958	EDISON INTL COM	4/4/02	(300 00)	5 009 84	(3 514 23)	1 495 61
CAP GDN 2603958	ACE LTD ORD STK USD0 04 16	2/19/02	(200 00)	8 495 49	(7 000 00)	1 495 49
CAP GDN 2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	7/26/02	(125 000 00)	120 605 47	(119 130 86)	1 474 61
CAP GDN 2603958	NOVELLUS SYS INC COM	11/22/02	(200 00)	6 957 29	(5 483 71)	1 473 58
CAP GDN 2603958	PVTP PRUDENTIAL INS CO AMER SR NT DTD 07/30/1998 144A 6 375 DUE	9/26/02	(100 000 00)	107 323 00	(105 880 00)	1 443 00
CAP GDN 2603958	KELLOGG CO COM	2/14/02	(200 00)	6 519 94	(5 085 00)	1 434 94
CAP GDN 2603958	ALLSTATE CORP COM	5/9/02	(100 00)	3 891 65	(2 467 50)	1 424 15
CAP GDN 2603958	ALLSTATE CORP COM	5/9/02	(100 00)	3 887 88	(2 467 50)	1 420 38
CAP GDN 2603958	CIN FNCL CORP COM	5/31/02	(100 00)	4 530 85	(3 125 00)	1 405 85
CAP GDN 2603958	CIN FNCL CORP COM	5/17/02	(100 00)	4 504 55	(3 125 00)	1 379 55
CAP GDN 2603958	CIN FNCL CORP COM	5/29/02	(100 00)	4 498 80	(3 125 00)	1 373 80
CAP GDN 2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	3/1/01	2 747 25	(25 000 00)	26 373 61	1 373 61
CAP GDN 2603958	CIN FNCL CORP COM	5/24/02	(100 00)	4 496 92	(3 125 00)	1 371 92

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par Value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	ALLSTATE CORP COM	5/13/02	(100 00)	3 878 27	(2,506 69)	1 371 58
CAP GDN	2603958	NOVELLUS SYS INC COM	11/6/02	(200 00)	6 628 56	(5 273 42)	1 355 14
CAP GDN	2603958	V F CORP COM	5/8/02	(200 00)	9 021 06	(7 712 76)	1 308 30
CAP GDN	2603958	GANNETT INC COM	4/16/02	(100 00)	7 735 59	(6 427 66)	1 307 93
CAP GDN	2603958	GANNETT INC COM	5/16/02	(100 00)	7 727 47	(6 427 66)	1 299 81
CAP GDN	2603958	GANNETT INC COM	4/23/02	(100 00)	7 726 56	(6 427 66)	1 298 90
CAP GDN	2603958	GANNETT INC COM	4/16/02	(100 00)	7 724 26	(6 427 66)	1 296 60
CAP GDN	2603958	GANNETT INC COM	4/19/02	(100 00)	7 723 74	(6 427 66)	1 296 08
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/27/02	(500 00)	24 820 80	(23 564 35)	1 256 45
CAP GDN	2603958	GEN MILLS INC COM	6/28/02	(100 00)	4 497 06	(3 244 75)	1 252 31
CAP GDN	2603958	GANNETT INC COM	6/5/02	(100 00)	7 706 82	(6 469 11)	1 237 71
CAP GDN	2603958	MEDTRONIC INC COM	10/8/02	(200 00)	8 739 17	(7 510 00)	1 229 17
CAP GDN	2603958	BOWATER INC COM	3/12/02	(200 00)	10 373 18	(9 145 61)	1 227 57
CAP GDN	2603958	ILL TOOL WKS INC COM	6/25/02	(100 00)	6 774 65	(5 548 75)	1 225 90
CAP GDN	2603958	BAKER HUGHES INC COM	6/24/02	(100 00)	3 437 89	(2 253 72)	1 184 17
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/11/02	(200 00)	7 110 83	(5 931 24)	1 179 59
CAP GDN	2603958	KELLOGG CO COM	2/11/02	(200 00)	6 256 45	(5 085 00)	1 171 45
CAP GDN	2603958	KELLOGG CO COM	2/11/02	(200 00)	6 155 90	(4 990 30)	1 165 60
CAP GDN	2603958	V F CORP COM	5/10/02	(200 00)	8 855 47	(7 712 76)	1 142 71
CAP GDN	2603958	V F CORP COM	5/20/02	(200 00)	8 842 89	(7 712 76)	1 130 13
CAP GDN	2603958	SUNGARD DATA SYS INC COM	4/10/02	(100 00)	3 270 64	(2 141 98)	1 128 66
CAP GDN	2603958	DOVER CORP COM	3/4/02	(100 00)	3 996 94	(2 898 62)	1 098 32
CAP GDN	2603958	QUALCOMM INC COM	12/6/02	(100 00)	4 193 87	(3 106 00)	1 087 87
CAP GDN	2603958	MEDTRONIC INC COM	10/2/02	(200 00)	6 589 74	(7 510 00)	1 079 74
CAP GDN	2603958	EDISON INTL COM	4/16/02	(200 00)	3 395 77	(2 321 90)	1 073 87
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/11/02	(100 00)	3 572 44	(2 502 00)	1 070 44
CAP GDN	2603958	GEN MILLS INC COM	7/10/02	(100 00)	4 363 37	(3 296 42)	1 066 95
CAP GDN	2603958	EDISON INTL COM	2/11/02	(200 00)	3 170 87	(2 122 60)	1 048 27
CAP GDN	2603958	EDISON INTL COM	4/4/02	(200 00)	3 345 17	(2 304 06)	1 041 11
CAP GDN	2603958	FNMA POOL # 0581287 5 5 DUE 05-01 2031 REG	1/25/02	(58 928 91)	58 928 91	(57 916 07)	1 012 84
CAP GDN	2603958	COMCAST CORP NEW CL A	12/4/02	(200 00)	4 673 85	(3 667 14)	1 006 71
CAP GDN	2603958	V F CORP COM	10/9/02	(100 00)	3 288 97	(2 293 59)	995 38
CAP GDN	2603958	EDISON INTL COM	3/18/02	(200 00)	3 360 64	(2 368 74)	991 90
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/6/02	(800 00)	13 673 02	(12 684 88)	988 14
CAP GDN	2603958	KELLOGG CO COM	6/10/02	(100 00)	3 529 75	(2 542 50)	987 25
CAP GDN	2603958	BOWATER INC COM	4/16/02	(200 00)	9 677 54	(8 694 32)	983 22
CAP GDN	2603958	USA INTERACTIVE COM STK	2/26/02	(100 00)	2 995 66	(2 025 00)	970 66
CAP GDN	2603958	KELLOGG CO COM	2/25/02	(100 00)	3 512 16	(2 542 50)	969 66
CAP GDN	2603958	APPLERA CORP - APPLIED BIOSYSTEMS GROUP	1/9/02	(100 00)	3 547 94	(2 592 09)	955 85
CAP GDN	2603958	UTD TECHNOLOGIES CORP COM	8/27/02	(600 00)	36 448 90	(35 503 12)	945 78
CAP GDN	2603958	NAVISTAR INTL CORP NEW COM	1/11/02	(100 00)	3 697 92	(2 773 48)	924 44
CAP GDN	2603958	TERADYNE INC COM	3/5/02	(100 00)	3 917 94	(3 001 68)	916 26
CAP GDN	2603958	MEDTRONIC INC COM	5/30/02	(100 00)	4 670 55	(3 755 00)	915 55
CAP GDN	2603958	USA INTERACTIVE COM STK	3/1/02	(100 00)	2 991 07	(2 086 88)	904 19
CAP GDN	2603958	TERADYNE INC COM	3/6/02	(100 00)	3 897 94	(3 001 68)	896 26
CAP GDN	2603958	KELLOGG CO COM	6/12/02	(100 00)	3 496 30	(2 601 36)	894 94
CAP GDN	2603958	ADR ASTRAZENCA PLC SPONSORED ADR UK	12/9/02	(300 00)	10 854 96	(9 962 52)	892 44
CAP GDN	2603958	ANHEUSER BUSCH COS INC COM	10/9/02	(100 00)	5 238 79	(4 347 89)	890 90
CAP GDN	2603958	BOWATER INC COM	3/15/02	(100 00)	5 203 75	(4 314 92)	888 83
CAP GDN	2603958	KELLOGG CO COM	6/13/02	(100 00)	3 487 49	(2 601 36)	886 13
CAP GDN	2603958	MEDTRONIC INC COM	5/13/02	(100 00)	4 272 87	(3 397 19)	875 68
CAP GDN	2603958	BOWATER INC COM	3/18/02	(100 00)	5 186 64	(4 314 92)	871 72
CAP GDN	2603958	HSEHD INTL INC COM	11/20/02	(1 400 00)	39 897 53	(39 027 90)	869 63
CAP GDN	2603958	BROCADE COMMUNICATIONS SYS INC COM ISIN #US1116211087	5/13/02	(100 00)	2 209 40	(1 341 91)	867 49
CAP GDN	2603958	ANHEUSER BUSCH COS INC COM	7/19/02	(600 00)	26 980 28	(26 118 90)	861 38
CAP GDN	2603958	ALCOA INC COM STK	6/12/02	(300 00)	9 480 34	(8 621 25)	859 09
CAP GDN	2603958	KELLOGG CO COM	6/14/02	(100 00)	3 440 89	(2 601 36)	839 53
CAP GDN	2603958	NAVISTAR INTL CORP NEW COM	1/15/02	(100 00)	3 604 94	(2 773 48)	831 46
CAP GDN	2603958	SUNGARD DATA SYS INC COM	3/27/02	(100 00)	3 249 95	(2 426 47)	823 48
CAP GDN	2603958	GEN MILLS INC COM	7/25/02	(100 00)	3 957 88	(3 139 15)	818 73
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/21/02	(100 00)	2 918 87	(2 121 97)	796 90
CAP GDN	2603958	ADR ASTRAZENCA PLC SPONSORED ADR UK	12/26/02	(300 00)	10 861 14	(10 065 67)	795 47
CAP GDN	2603958	ANHEUSER BUSCH COS INC COM	6/11/02	(100 00)	5 146 33	(4 353 15)	793 18
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	6/12/02	(100 00)	2 897 91	(2 107 95)	789 96
CAP GDN	2603958	US TREAS NTS DTD 00004 3 25 DUE 05-31 2004 REG	6/28/02	(125 000 00)	125 820 31	(125 040 48)	778 83
CAP GDN	2603958	MEDTRONIC INC COM	10/24/02	(100 00)	4 530 57	(3 755 00)	775 57
CAP GDN	2603958	NEWMONT MINING CORP NEW COM	7/11/02	(100 00)	2 894 91	(2 121 97)	772 94
CAP GDN	2603958	ACE LTD ORD STK USD0 0416	2/15/02	(100 00)	4 264 89	(3 500 00)	764 89
CAP GDN	2603958	NAVISTAR INTL CORP NEW COM	1/17/02	(100 00)	3 585 95	(2 829 04)	756 91
CAP GDN	2603958	NOVELLUS SYS INC COM	11/15/02	(200 00)	6 601 50	(5 847 43)	754 07
CAP GDN	2603958	NAVISTAR INTL CORP NEW COM	1/23/02	(100 00)	3 578 90	(2 829 04)	749 86
CAP GDN	2603958	ACE LTD ORD STK USD0 0416	2/19/02	(100 00)	4 247 74	(3 500 00)	747 74
CAP GDN	2603958	BOWATER INC COM	3/5/02	(100 00)	5 275 09	(4 542 35)	732 74
CAP GDN	2603958	BK AMER CORP COM	3/18/02	(500 00)	34 314 48	(33 601 52)	712 96
CAP GDN	2603958	V F CORP COM	5/8/02	(100 00)	4 553 66	(3 856 38)	697 28
CAP GDN	2603958	BOWATER INC COM	3/14/02	(100 00)	5 212 64	(4 541 74)	670 90
CAP GDN	2603958	BOWATER INC COM	3/6/02	(100 00)	5 168 92	(4 498 77)	670 15
CAP GDN	2603958	BOWATER INC COM	2/28/02	(100 00)	5 174 92	(4 505 47)	669 45
CAP GDN	2603958	KELLOGG CO COM	9/13/02	(100 00)	3 244 33	(2 590 33)	654 00
CAP GDN	2603958	V F CORP COM	5/3/02	(100 00)	4 501 94	(3 856 38)	645 56
CAP GDN	2603958	MEDTRONIC INC COM	10/10/02	(100 00)	4 394 86	(3 755 00)	639 86
CAP GDN	2603958	GANNETT INC COM	7/26/02	(100 00)	7 098 37	(6 469 11)	629 26
CAP GDN	2603958	V F CORP COM	5/9/02	(100 00)	4 482 48	(3 856 38)	626 10
CAP GDN	2603958	V F CORP COM	5/6/02	(100 00)	4 482 29	(3 856 38)	625 91
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/6/02	(300 00)	5 380 15	(4 756 83)	623 32
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	6/18/02	(200 00)	10 640 05	(10 034 56)	605 49

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	EDISON INTL COM	3/20/02	(100 00)	1 695 15	(1 097 65)	597 50
CAP GDN	2603958	GNMA POOL # 435733 7 DUE 12-15-2026 REG	5/15/02	(43 835 16)	43 835 16	(43 246 13)	589 03
CAP GDN	2603958	FNMA POOL # 0581287 6 5 DUE 05-01-2031 REG	5/28/02	(34 035 73)	34 035 73	(33 450 74)	584 99
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	7/9/02	(100 00)	5 600 44	(5 017 28)	583 16
CAP GDN	2603958	KRAFT FOODS INC CL A	10/11/02	(100 00)	3 681 69	(3 100 00)	581 69
CAP GDN	2603958	V F CORP COM	5/16/02	(100 00)	4 434 78	(3 856 38)	578 40
CAP GDN	2603958	KELLOGG CO COM	2/12/02	(100 00)	3 115 01	(2 542 50)	572 51
CAP GDN	2603958	EDISON INTL COM	3/26/02	(100 00)	1 676 35	(1 106 59)	569 76
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	8/8/02	(200 00)	10 119 69	(9 550 24)	569 45
CAP GDN	2603958	EDISON INTL COM	3/26/02	(100 00)	1 675 63	(1 106 59)	569 04
CAP GDN	2603958	EDISON INTL COM	4/9/02	(100 00)	1 704 94	(1 144 00)	560 94
CAP GDN	2603958	EDISON INTL COM	3/25/02	(100 00)	1 664 94	(1 106 59)	558 35
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02-15-2029 REG	6/26/02	(125 000 00)	119 687 50	(119 130 86)	556 64
CAP GDN	2603958	V F CORP COM	5/13/02	(100 00)	4 410 66	(3 856 38)	554 28
CAP GDN	2603958	EDISON INTL COM	4/12/02	(100 00)	1 695 92	(1 160 95)	534 97
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02-15-2029 REG	7/29/02	(125 000 00)	119 658 20	(119 130 86)	527 34
CAP GDN	2603958	CLOROX CO COM	1/17/02	(500 00)	20 005 69	(19 481 50)	524 19
CAP GDN	2603958	BOWATER INC COM	4/17/02	(100 00)	4 880 26	(4 360 78)	519 48
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/26/02	(200 00)	7 240 76	(6 723 33)	517 43
CAP GDN	2603958	EDISON INTL COM	3/18/02	(100 00)	1 680 14	(1 184 37)	495 77
CAP GDN	2603958	V F CORP COM	5/24/02	(100 00)	4 351 90	(3 856 38)	495 52
CAP GDN	2603958	EDISON INTL COM	3/18/02	(100 00)	1 677 97	(1 184 37)	493 60
CAP GDN	2603958	#REORGAT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/2/02	(300 00)	5 503 11	(5 014 26)	488 85
CAP GDN	2603958	ALLSTATE CORP COM	6/7/02	(1 100 00)	41 179 45	(40 695 04)	484 41
CAP GDN	2603958	FNMA POOL # 0581287 6 5 DUE 05-01 2031 REG	4/25/02	(27 599 76)	27 599 76	(27 125 39)	474 37
CAP GDN	2603958	EDISON INTL COM	3/7/02	(100 00)	1 672 34	(1 198 66)	473 68
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	9/30/02			453 08	453 08
CAP GDN	2603958	V F CORP COM	5/23/02	(100 00)	4 309 07	(3 856 38)	452 69
CAP GDN	2603958	HEINZ H J CO COM	9/27/02	(300 00)	10 220 69	(9 781 33)	439 36
CAP GDN	2603958	FNMA POOL # 0581287 6 5 DUE 05-01 2031 REG	3/25/02	(25 408 36)	25 408 36	(24 971 65)	436 71
CAP GDN	2603958	EDISON INTL COM	3/6/02	(100 00)	1 634 97	(1 198 66)	436 31
CAP GDN	2603958	HSEHD INTL INC COM	11/19/02	(1 300 00)	36 725 58	(36 289 50)	436 08
CAP GDN	2603958	SUNGARD DATA SYS INC COM	4/11/02	(100 00)	3 223 31	(2 795 36)	427 95
CAP GDN	2603958	COMCAST CORP NEW CL A	12/2/02	(100 00)	2 405 09	(1 978 50)	426 59
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/26/02	(100 00)	5 027 84	(4 603 23)	424 61
CAP GDN	2603958	SEARS CR A/C MASTER TR II CTF SER 1998-2CL A 5 25 DUE 10-16-2008 BE	12/16/02	(10 416 67)	10 416 67	(10 000 00)	416 67
CAP GDN	2603958	SEARS CR A/C MASTER TR II CTF SER 1998-2CL A 5 25 DUE 10-16-2008 BE	11/20/02	(10 416 65)	10 416 65	(9 999 99)	416 66
CAP GDN	2603958	HEINZ H J CO COM	10/1/02	(300 00)	10 225 25	(9 823 62)	401 63
CAP GDN	2603958	#REORGAT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/3/02	(200 00)	3 716 36	(3 316 08)	400 28
CAP GDN	2603958	GNMA POOL # 435733 7 DUE 12-15-2026 REG	1/15/02	(29 571 55)	29 571 55	(29 174 18)	397 37
CAP GDN	2603958	HEINZ H J CO COM	10/3/02	(300 00)	10 188 98	(9 803 40)	385 58
CAP GDN	2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	12/26/02	(12 892 45)	12 892 45	(12 507 69)	384 76
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/31/02	(200 00)	7 104 98	(6 723 34)	381 64
CAP GDN	2603958	V F CORP COM	6/17/02	(200 00)	8 093 89	(7 712 76)	381 13
CAP GDN	2603958	ALLSTATE CORP COM	6/28/02	(400 00)	14 950 70	(14 571 50)	379 20
CAP GDN	2603958	FNMA POOL # 0581287 6 5 DUE 05-01-2031 REG	2/25/02	(21 012 55)	21 012 55	(20 651 40)	361 15
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/30/02	(300 00)	10 439 20	(10 085 00)	354 20
CAP GDN	2603958	V F CORP COM	6/7/02	(100 00)	4 198 50	(3 856 38)	342 12
CAP GDN	2603958	V F CORP COM	6/10/02	(100 00)	4 192 56	(3 856 38)	336 18
CAP GDN	2603958	ALCOA INC COM STK	6/11/02	(100 00)	3 209 90	(2 873 75)	336 15
CAP GDN	2603958	CONTINENTAL AIRLNS PASS THRU TRS CTF 1998-1 CL A 6 648 3-15-2019	3/15/02	(3 765 58)	3 765 58	(3 439 96)	325 62
CAP GDN	2603958	CF CAP GUARDIAN NON US FIF FOR TX EXMP TR	3/1/01	(4 505 49)	41 000 00	(40 677 65)	322 35
CAP GDN	2603958	FNMA POOL # 0581287 6 5 DUE 05-01 2031 REG	6/25/02	(24 295 40)	24 295 40	(23 976 52)	318 88
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/27/02	(200 00)	7 030 92	(6 723 33)	307 59
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/27/02	(200 00)	7 030 92	(6 723 33)	307 59
CAP GDN	2603958	ALLSTATE CORP COM	5/17/02	(400 00)	15 673 16	(15 367 16)	306 00
CAP GDN	2603958	ALCOA INC COM STK	6/10/02	(100 00)	3 177 90	(2 873 75)	304 15
CAP GDN	2603958	HEINZ H J CO COM	10/4/02	(200 00)	6 812 79	(6 508 64)	304 15
CAP GDN	2603958	WELLS FARGO & CO NEW COM STK	6/28/02	(100 00)	5 009 74	(4 712 87)	296 87
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/31/02	(200 00)	7 019 10	(6 723 33)	295 77
CAP GDN	2603958	V F CORP COM	6/11/02	(100 00)	4 147 33	(3 856 38)	290 95
CAP GDN	2603958	DOW CHEM CO COM	1/3/02	(400 00)	14 012 42	(13 740 68)	271 74
CAP GDN	2603958	V F CORP COM	6/12/02	(100 00)	4 128 06	(3 856 38)	271 68
CAP GDN	2603958	FNMA POOL # 0637695 6 DUE 04-01-2032 REG	11/25/02	(8 551 36)	8 551 36	(8 296 16)	255 20
CAP GDN	2603958	V F CORP COM	1/17/02	(500 00)	19 536 70	(19 281 90)	254 80
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/27/02	(200 00)	6 977 18	(6 723 33)	253 85
CAP GDN	2603958	BK AMER CORP COM	1/10/02	(300 00)	18 644 63	(18 412 89)	231 74
CAP GDN	2603958	V F CORP COM	6/18/02	(100 00)	4 087 04	(3 856 38)	230 66
CAP GDN	2603958	ALLSTATE CORP COM	6/10/02	(100 00)	3 749 97	(3 541 88)	208 09
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	3/1/01	(2 747 25)	25 000 00	(24 803 44)	196 56
CAP GDN	2603958	HEINZ H J CO COM	9/27/02	(100 00)	3 424 29	(3 232 25)	192 04
CAP GDN	2603958	#REORGAT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	1/7/02	(100 00)	1 834 97	(1 658 04)	176 93
CAP GDN	2603958	FNMA POOL # 592658 6 DUE 06-01 2016 REG	11/25/02	(22 086 69)	22 086 69	(21 910 69)	176 00
CAP GDN	2603958	FNMA POOL # 592658 6 DUE 06-01 2016 REG	1/25/02	(21 518 87)	21 518 87	(21 347 39)	171 48
CAP GDN	2603958	ADVANCED MED OPTICS INC COM	8/7/02	(777 00)	7 734 80	(7 566 02)	168 78
CAP GDN	2603958	FNMA POOL # 592658 6 DUE 06-01 2016 REG	10/25/02	(21 121 42)	21 121 42	(20 953 11)	168 31
CAP GDN	2603958	V F CORP COM	6/20/02	(100 00)	4 023 21	(3 856 38)	166 83
CAP GDN	2603958	V F CORP COM	6/25/02	(200 00)	7 871 16	(7 712 76)	158 40
CAP GDN	2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07 15-2028 REG	6/17/02	(6 117 77)	6 117 77	(5 962 91)	154 86
CAP GDN	2603958	HEINZ H J CO COM	10/4/02	(100 00)	3 398 01	(3 254 32)	143 69
CAP GDN	2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07 15-2028 REG	4/15/02	(5 511 87)	5 511 87	(5 372 35)	139 52
CAP GDN	2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07 15-2028 REG	8/22/02	(5 454 32)	5 454 34	(5 316 16)	138 18
CAP GDN	2603958	FNMA POOL # 535911 6 5 DUE 05-01-2031 REG	1/25/02	(19 802 07)	19 802 07	(19 667 48)	134 59
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	7/12/02	(200 00)	10 199 69	(10 066 42)	133 27
CAP GDN	2603958	ADR ASTRAZENECA PLC SPONSORED ADR UK	12/30/02	(100 00)	3 493 83	(3 361 67)	132 16
CAP GDN	2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	12/26/02	(15 639 97)	15 639 97	(15 515 34)	124 63

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Account	Advisor	Number	Asset description long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN		2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	10/25/02	(4 125 32)	4 125 32	(4 002 21)	123 11
CAP GDN		2603958	HEINZ H J CO COM	10/2/02	(100 00)	3 397 18	(3 274 54)	122 64
CAP GDN		2603958	COMCAST CORP NEW CL A	12/16/02	(200 00)	4 793 55	(4 670 99)	122 56
CAP GDN		2603958	NORTEL NETWORKS CORP NEW COM	1/17/02	(2 500 00)	18 924 71	(18 805 25)	119 46
CAP GDN		2603958	COLOROX CO COM	1/17/02	(200 00)	8 019 93	(7 905 30)	114 63
CAP GDN		2603958	EDISON INTL COM	1/17/02	(2 500 00)	38 097 42	(37 990 33)	107 09
CAP GDN		2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	8/26/02	(3 381 47)	3 381 47	(3 280 55)	100 92
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	9/25/02	(12 277 21)	12 277 21	(12 179 38)	97 83
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	3/15/02	(33 779 98)	33 779 98	(33 684 98)	95 00
CAP GDN		2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07-15-2028 REG	2/15/02	(3 449 18)	3 449 18	(3 361 87)	87 31
CAP GDN		2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	2/25/02	(12 813 37)	12 813 37	(12 726 28)	87 09
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	9/25/02	(2 722 36)	2 722 36	(2 641 11)	81 25
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01 2016	8/26/02	(9 951 90)	9 951 90	(9 872 60)	79 30
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	11/15/02	(16 835 57)	16 835 57	(16 764 54)	71 03
CAP GDN		2603958	V F CORP COM	4/15/02	(25 177 88)	25 177 88	(25 107 07)	70 81
CAP GDN		2603958	V F CORP COM	6/21/02	(100 00)	3 927 11	(3 856 38)	70 73
CAP GDN		2603958	V F CORP COM	6/24/02	(100 00)	3 926 71	(3 856 38)	70 33
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	1/15/02	(24 555 88)	24 555 88	(24 486 82)	69 06
CAP GDN		2603958	COMCAST CORP NEW CL A	12/12/02	(203 00)	4 810 10	(4 741 06)	69 04
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	2/15/02	(24 472 00)	24 472 00	(24 403 18)	68 82
CAP GDN		2603958	FNMA POOL # 186964 SER 2029 7 5 DUE 06-01-2016 REG	6/25/02	(8 216 79)	8 216 79	(8 151 31)	65 48
CAP GDN		2603958	FNMA POOL # 535911 6 5 DUE 05-01 2031 REG	4/25/02	(9 240 16)	9 240 16	(9 177 36)	62 80
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	2/25/02	(7 706 04)	7 706 04	(7 644 63)	61 41
CAP GDN		2603958	ROYAL DUTCH PETRO NY REGISTRY SH PAR N 1 25 GLDR	6/14/02	(100 00)	5 075 78	(5 017 28)	58 50
CAP GDN		2603958	FNMA POOL # 535911 6 5 DUE 05-01 2031 REG	3/25/02	(8 490 48)	8 490 48	(8 432 77)	57 71
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	4/25/02	(7 069 76)	7 069 76	(7 013 42)	56 34
CAP GDN		2603958	FNMA POOL # 535911 6 5 DUE 05-01 2031 REG	5/28/02	(8 276 42)	8 276 42	(8 220 17)	56 25
CAP GDN		2603958	FNMA POOL # 535911 6 5 DUE 05-01 2031 REG	6/25/02	(8 095 72)	8 095 72	(8 040 69)	55 03
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	5/28/02	(6 895 64)	6 895 64	(6 840 69)	54 95
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	12/16/02	(19 521 65)	19 521 65	(19 466 75)	54 90
CAP GDN		2603958	FNMA POOL # 0589087 6 DUE 10-01 2031 REG	5/28/02	(2 354 34)	2 354 34	(2 299 90)	54 44
CAP GDN		2603958	FNMA POOL # 0589087 6 DUE 10-01 2031 REG	4/25/02	(2 175 60)	2 175 60	(2 125 29)	50 31
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01 2016	12/16/02	(11 431 63)	11 431 63	(11 383 40)	48 23
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01-2016 REG	3/25/02	(5 964 55)	5 964 55	(5 917 02)	47 53
CAP GDN		2603958	FNMA POOL # 0589087 6 DUE 10-01 2031 REG	6/25/02	(1 843 74)	1 843 74	(1 801 10)	42 64
CAP GDN		2603958	V F CORP COM	6/27/02	(100 00)	3 898 86	(3 856 38)	42 48
CAP GDN		2603958	FNMA POOL # 592658 6 DUE 06-01 2016 REG	7/25/02	(5 319 77)	5 319 77	(5 277 38)	42 39
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01 2016	10/15/02	(9 844 58)	9 844 58	(9 803 05)	41 53
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	9/16/02	(14 438 68)	14 438 68	(14 398 07)	40 61
CAP GDN		2603958	V F CORP COM	6/26/02	(100 00)	3 895 24	(3 856 38)	38 86
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	10/15/02	(13 760 08)	13 760 08	(13 721 38)	38 70
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	6/17/02	(13 376 88)	13 376 88	(13 339 26)	37 62
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	11/15/02	(13 043 14)	13 043 14	(13 006 46)	36 68
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	8/15/02	(12 950 69)	12 950 69	(12 914 27)	36 42
CAP GDN		2603958	NASDAQ-100 INDEX TR CL ACTION SETTLEMENT PROCEEDS	7/16/02		32 01		32 01
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	5/15/02	(11 215 49)	11 215 49	(11 183 95)	31 54
CAP GDN		2603958	GNMA POOL # 186964 SER 2029 7 5 DUE 09-15-2029 REG	7/15/02	(10 686 48)	10 686 48	(10 656 43)	30 05
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	9/16/02	(6 360 27)	6 360 27	(6 334 44)	26 83
CAP GDN		2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	5/28/02	(640 53)	640 53	(621 41)	19 12
CAP GDN		2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	5/25/02	(607 54)	607 54	(589 07)	18 47
CAP GDN		2603958	FNMA POOL # 0637695 6 DUE 04-01 2032 REG	7/25/02	(520 71)	520 71	(505 17)	15 54
CAP GDN		2603958	FNMA POOL # 0589087 6 DUE 10-01 2031 REG	3/25/02	(667 85)	667 85	(652 41)	15 44
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	3/15/02	(3 322 48)	3 322 48	(3 308 46)	14 02
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	5/15/02	(3 193 45)	3 193 45	(3 179 98)	13 47
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	2/15/02	(2 909 17)	2 909 17	(2 896 90)	12 27
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	8/15/02	(2 645 20)	2 645 20	(2 634 04)	11 16
CAP GDN		2603958	DOW CHEM CO COM	1/2/02	(200 00)	6 818 13	(6 808 02)	10 11
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01 2016	7/15/02	(2 201 68)	2 201 68	(2 192 39)	9 29
CAP GDN		2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07-15-2028 REG	1/15/02	(354 72)	354 72	(345 74)	8 98
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01 2016	4/15/02	(1 885 32)	1 885 32	(1 877 37)	7 95
CAP GDN		2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07-15-2028 REG	3/15/02	(307 02)	307 02	(299 25)	7 77
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	6/17/02	(1 804 50)	1 804 50	(1 796 89)	7 61
CAP GDN		2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07-15-2028 REG	5/15/02	(264 49)	264 49	(257 80)	6 69
CAP GDN		2603958	FHLMC GOLD E8-4922 6 08-01-2016	1/15/02	(1 007 18)	1 007 18	(1 002 93)	4 25
CAP GDN		2603958	GNMA POOL # 435733 7 DUE 12 15-2026 REG	4/15/02	(256 81)	256 81	(253 36)	3 45
CAP GDN		2603958	GNMA POOL # 435733 7 DUE 12 15-2026 REG	3/15/02	(255 21)	255 21	(251 78)	3 43
CAP GDN		2603958	GNMA POOL # 435733 7 DUE 12 15-2026 REG	2/15/02	(253 63)	253 63	(250 22)	3 41
CAP GDN		2603958	GNMA POOL # 435733 7 DUE 12 15-2026 REG	8/22/02	(162 80)	162 80	(160 56)	2 24
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	8/17/02	(162 09)	162 09	(159 91)	2 18
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	10/15/02	(472 49)	472 49	(470 87)	1 62
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	9/16/02	(469 39)	469 39	(467 78)	1 61
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	8/15/02	(466 27)	466 27	(464 67)	1 60
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	7/15/02	(463 16)	463 16	(461 57)	1 59
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	6/17/02	(460 11)	460 11	(458 53)	1 58
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	5/15/02	(457 06)	457 06	(455 49)	1 57
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	4/15/02	(454 03)	454 03	(452 47)	1 56
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	3/15/02	(451 03)	451 03	(449 48)	1 55
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	2/15/02	(448 04)	448 04	(446 50)	1 54
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	1/15/02	(445 07)	445 07	(443 54)	1 53
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	12/16/02	(377 49)	377 49	(376 19)	1 30
CAP GDN		2603958	GNMA POOL # 417740 7 5 DUE 08-15-2026 REG	11/15/02	(274 98)	274 98	(274 03)	0 95
CAP GDN		2603958	KINDER MORGAN INC COM STK	8/1/02	(0 22)	9 30	(8 52)	0 78
CAP GDN		2603958	AIRPLANES SER 1 CL C 8 15 PASS THRU TR DUE 03-15-2019	10/23/02	(0 50)	0 50	(0 45)	0 05
CAP GDN		2603958	AT&T CORP COM NEW	12/4/02	(0 40)	11 10	(11 05)	0 05
CAP GDN		2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	2/27/02				
CAP GDN		2603958	CF CAP GUARDIAN NON US FIF FOR TX EXMP TR	2/27/02				

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	GNMA POOL # 457792 SER 2028 6 5 DUE 07 15-2028 REG	7/5/02	(0 01)	0 01	(0 01)	
CAP GDN	2603958	US AWYSS PASS THRU TRS SER 2000-3 CLASS-G7 89 DUE 09-01-2020 BEO	7/5/02	(0 01)	0 01	(0 01)	
CAP GDN	2603958	###REORG COMPAQ COMPUTER CORP STK MERGER TO HEWLETT PAC	5/6/02	(13 300 00)		(177 187 89)	
CAP GDN	2603958	###REORG USA ED INC NAME CHNG TO SLM CORP 2774295 EFF 5/20/02	5/21/02	(12 600 00)		(444 572 35)	
CAP GDN	2603958	###REORG WEATHERFORD INTL INC NC TO WEATHERFORD INTL BE	6/27/02	(5 395 00)		(125 193 97)	
CAP GDN	2603958	###REORG WEATHERFORD INTL INC NC TO WEATHERFORD INTL BE	10/16/02	5 395 00		125 193 97	
CAP GDN	2603958	###LIBERTY MEDIA CORP NEW RT PUR COM SER A	11/6/02	1 132 00		6 414 34	
CAP GDN	2603958	###REORG NRG ENERGY INC STK MERGER TO XCELENERGY 29467 12 EFF	6/7/02	(5 700 00)		(120 268 94)	
CAP GDN	2603958	###REORG AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	11/19/02			(137 398 01)	
CAP GDN	2603958	###REORG AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	11/25/02	(20 102 00)		(82 087 67)	
CAP GDN	2603958	###REORG CABLEVISION SYSTEMS CORP EXCHANGE FOR CABLEVISION M	8/20/02	(16 200 00)		(265 940 37)	
CAP GDN	2603958	6 FLAGS INC SR NT 8 875 DUE 02-01 2010/02 01-2006 BEO	6/21/02	125 000 00		124 858 00	
CAP GDN	2603958	ADVANCED MED OPTICS INC COM	7/1/02	1 977 78		20 192 65	
CAP GDN	2603958	ALLERGAN INC COM	7/1/02			(20 192 65)	
CAP GDN	2603958	APPLIED MATERIALS INC COM	4/17/02	24 200 00			
CAP GDN	2603958	AT&T CORP COM NEW	11/25/02	4 020 40		82 087 67	
CAP GDN	2603958	AT&T CORP USD SR NT 8 5 DUE 11 15-2031/11 14 2031 BEO	8/13/02	125 000 00		127 023 75	
CAP GDN	2603958	CABLEVISION NY GROUP CL A COM	8/20/02	19 293 06		265 940 37	
CAP GDN	2603958	CITIGROUP INC COM	8/21/02			(12 727 73)	
CAP GDN	2603958	COMCAST CORP NEW CL A	11/19/02	6 503 00		137 398 01	
CAP GDN	2603958	DEL MONTE FOODS CO COM	12/23/02	1 339 80		11 684 07	
CAP GDN	2603958	FRANCE TELECOM SA NT STEP UP 03-01 2031 02 28-2031	2/15/02	125 000 00		146 258 55	
CAP GDN	2603958	HEINZ H J CO COM	12/23/02			(11 684 07)	
CAP GDN	2603958	HEWLETT PACKARD CO COM	5/6/02	8 412 25		177 187 89	
CAP GDN	2603958	KINDER MORGAN MGMT LLC SHS COM STK	2/14/02	70 00			
CAP GDN	2603958	KINDER MORGAN MGMT LLC SHS COM STK	5/15/02	105 00			
CAP GDN	2603958	KINDER MORGAN MGMT LLC SHS COM STK	8/15/02	124 00			
CAP GDN	2603958	KINDER MORGAN MGMT LLC SHS COM STK	11/14/02	158 00			
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	11/12/02			(6 414 34)	
CAP GDN	2603958	PMI GROUP INC COM	6/18/02	3 450 00			
CAP GDN	2603958	PVTP 6 FLAGS INC 6 FLAGS INC 144A 8 875 PCT 02 01 8 875 DUE 02-01	6/21/02	(125 000 00)		(124 858 00)	
CAP GDN	2603958	PVTP AT&T CORP USD SR NT 144A 8 DUE 11-15-2031 BEO	8/13/02	(125 000 00)		(127 023 75)	
CAP GDN	2603958	PVTP FRANCE TELECOM SA NT DTD 03/14/2001 144A EURO 8 5 03-1	2/15/02	(125 000 00)		(146 258 55)	
CAP GDN	2603958	PVTP SPRINT CAP CORP SPRINT CAP CORPORATION 8 75 DUE 03-1	7/10/02	(125 000 00)		(125 901 25)	
CAP GDN	2603958	PVTP VERIZON WIRELESS CAP LLC NT 144A 5 375 DUE 12 15-2006/12-1	11/21/02	(125 000 00)		(124 343 75)	
CAP GDN	2603958	SLM CORP COM	5/21/02	12 600 00		444 572 35	
CAP GDN	2603958	SPRINT CAP CORP NT 8 75 DUE 03-15-2032 BEO	7/10/02	125 000 00		122 901 25	
CAP GDN	2603958	TRAVELERS PPTY CAS CORP NEW CL A	8/21/02	167 03		3 956 18	
CAP GDN	2603958	TRAVELERS PPTY CAS CORP NEW CL B	8/21/02	343 17		8 771 55	
CAP GDN	2603958	VERIZON WIRELESS CAP LLC NT 5 375 DUE 12-15-2006/11 12-2002 BEO	11/21/02	125 000 00		124 343 75	
CAP GDN	2603958	WEATHERFORD INTL LTD	6/27/02	5 395 00		125 193 97	
CAP GDN	2603958	WEATHERFORD INTL LTD	10/16/02	5 395 00		247 414 70	
CAP GDN	2603958	WEATHERFORD INTL LTD	10/16/02	(5 395 00)		(125 193 97)	
CAP GDN	2603958	WILLIAMS SONOMA INC COM	5/10/02	3 000 00			
CAP GDN	2603958	XCEL ENERGY INC COM	6/7/02	2 850 00		120 268 94	
CAP GDN	2603958	TRAVELERS PPTY CAS CORP NEW CL A	9/11/02	(0 03)	0 46	(0 77)	(0 31)
CAP GDN	2603958	ADVANCED MED OPTICS INC COM	7/17/02	(0 78)	7 74	(8 14)	(0 40)
CAP GDN	2603958	TRAVELERS PPTY CAS CORP NEW CL B	9/11/02	(0 17)	2 89	(4 73)	(1 84)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	12/16/02	(49 21)	49 21	(52 08)	(2 87)
CAP GDN	2603958	CABLEVISION NY GROUP CL A COM	8/29/02	(0 06)	0 38	(3 43)	(3 05)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	9/16/02	(46 82)	46 82	(49 93)	(3 11)
CAP GDN	2603958	HEWLETT PACKARD CO COM	5/14/02	(0 25)	4 36	(7 52)	(3 16)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	10/15/02	(49 79)	49 79	(53 10)	(3 31)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01-15-2023 REG	7/15/02	(51 97)	51 97	(55 42)	(3 45)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01-15-2023 REG	5/15/02	(54 50)	54 50	(58 12)	(3 62)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	6/17/02	(54 91)	54 91	(58 56)	(3 65)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01-15-2023 REG	2/15/02	(56 03)	56 03	(59 75)	(3 72)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	1/15/02	(4 201 13)	4 201 13	(4 205 07)	(3 94)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	2/15/02	(4 228 67)	4 228 67	(4 232 63)	(3 96)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01-15-2023 REG	3/15/02	(59 86)	59 86	(63 84)	(3 98)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	4/15/02	(4 296 96)	4 296 96	(4 300 99)	(4 03)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	6/17/02	(4 357 68)	4 357 68	(4 361 77)	(4 09)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	9/16/02	(4 400 32)	4 400 32	(4 404 45)	(4 13)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	8/15/02	(4 419 20)	4 419 20	(4 423 34)	(4 14)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	11/15/02	(4 462 30)	4 462 30	(4 466 48)	(4 18)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	11/15/02	(66 72)	66 72	(71 15)	(4 43)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	5/15/02	(4 971 30)	4 971 30	(4 975 96)	(4 66)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	7/15/02	(5 030 98)	5 030 98	(5 035 70)	(4 72)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	10/15/02	(5 063 95)	5 063 95	(5 068 70)	(4 75)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	3/15/02	(6 200 04)	6 200 04	(6 205 85)	(5 81)
CAP GDN	2603958	FNMA POOL # 0638028 7 DUE 04-01-2032 REG	6/25/02	(427 94)	427 94	(436 13)	(8 19)
CAP GDN	2603958	FNMA POOL # 0638028 7 DUE 04-01 2032 REG	7/31/02	(432 83)	432 83	(441 11)	(8 28)
CAP GDN	2603958	FHLMC GOLD E0-1098 6 02-01 2017	3/15/02	(931 55)	920 48	(931 55)	(11 07)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	1/15/02	(2 881 69)	2 881 69	(2 894 49)	(12 80)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	2/15/02	(2 889 03)	2 889 03	(2 901 86)	(12 83)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	4/15/02	(2 950 86)	2 950 86	(2 963 96)	(13 10)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	6/17/02	(2 962 40)	2 962 40	(2 975 55)	(13 15)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	8/15/02	(3 003 50)	3 003 50	(3 016 84)	(13 34)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	9/16/02	(3 011 78)	3 011 78	(3 025 15)	(13 37)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	11/15/02	(3 015 81)	3 015 81	(3 029 20)	(13 39)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	7/15/02	(3 204 22)	3 204 22	(3 218 45)	(14 23)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	3/15/02	(3 599 97)	3 599 97	(3 615 95)	(15 98)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEIVING CORP SER 1998-C1 CL A1 6 22 PASS	5/15/02	(4 136 68)	4 136 68	(4 157 06)	(18 38)
CAP GDN	2603958	FHLMC GOLD E0-1098 6 02 01 2017	5/15/02	(1 672 40)	1 672 40	(1 692 52)	(20 12)
CAP GDN	2603958	FHLMC GOLD E0-1098 6 02-01 2017	4/15/02	(1 845 37)	1 845 37	(1 867 57)	(22 20)
CAP GDN	2603958	ADVANCED MED OPTICS INC COM	8/5/02	(200 00)	2 083 93	(2 109 76)	(25 83)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Account	Number	Asset description_long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	DEUSTCHE MTG & AST RECEVING CORP SER 1998-C1 CL A1 6 22 PASS	10/15/02	(5 961 62)	5 961 62	(5 988 09)	(26 47)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01 2017	6/17/02	(2 201 05)	2 201 05	(2 227 53)	(26 48)
CAP GDN	2603958	CLOROX CO COM	1/22/02	(100 00)	3 995 05	(4 022 05)	(27 00)
CAP GDN	2603958	CISCO SYS INC COM	12/2/02	(300 00)	4 599 64	(4 629 27)	(29 63)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01 2017	7/15/02	(2 509 13)	2 509 13	(2 539 32)	(30 19)
CAP GDN	2603958	DLJ COML MTG CORP SER 1998-CF1 CL A1A 6 14 PASS THRU CTF DUE 1	12/16/02	(39 914 09)	39 914 09	(39 949 76)	(35 67)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/27/02	(100 00)	1 816 61	(1 858 81)	(42 20)
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02-15-2003 REG	2/5/02	(250 000 00)	260 126 95	(260 170 50)	(43 55)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01 2017	8/15/02	(4 086 05)	4 086 05	(4 135 21)	(49 16)
CAP GDN	2603958	FNMA POOL # 0638028 7 DUE 04-01 2032 REG	5/28/02	(3 611 80)	3 611 80	(3 680 93)	(69 13)
CAP GDN	2603958	DEUSTCHE MTG & AST RECEVING CORP SER 1998-C1 CL A1 6 22 PASS	12/16/02	(17 345 66)	17 345 66	(17 418 87)	(73 21)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/8/02	(600 00)	24 209 75	(24 283 02)	(73 27)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01 2017	9/16/02	(6 709 47)	6 709 47	(6 790 19)	(80 72)
CAP GDN	2603958	CISCO SYS INC COM	11/29/02	(200 00)	3 003 42	(3 086 18)	(82 76)
CAP GDN	2603958	DOVER CORP COM	8/9/02	(100 00)	2 827 91	(2 917 50)	(89 59)
CAP GDN	2603958	HSEHD FIN CORP NT DTD 06/17/1998 6 4 DUE06-17-2008 REG	2/25/02	(125 000 00)	124 921 25	(125 012 29)	(91 04)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01 2017	10/15/02	(8 120 20)	8 120 20	(8 217 90)	(97 70)
CAP GDN	2603958	GA PAC CORP 7 5 DUE 05-15-2006/05-14 2006 BEO	2/22/02	(25 000 00)	23 500 00	(23 614 71)	(114 71)
CAP GDN	2603958	CLOROX CO COM	2/1/02	(100 00)	4 069 58	(4 189 89)	(120 31)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01-2017	12/16/02	(10 794 12)	10 794 12	(10 919 17)	(125 05)
CAP GDN	2603958	DOVER CORP COM	8/9/02	(100 00)	2 787 81	(2 917 50)	(129 69)
CAP GDN	2603958	FHLMC GOLD EO-1098 6 02-01 2017	11/15/02	(10 966 43)	10 966 43	(11 098 37)	(131 94)
CAP GDN	2603958	FNMA POOL # 0651625 6 5 DUE 06-01 2032 REG	8/26/02	(11 245 26)	11 245 26	(11 403 40)	(158 14)
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	2/5/02	(125 000 00)	119 902 34	(120 073 24)	(170 90)
CAP GDN	2603958	ASHLAND INC COM	11/8/02	(100 00)	2 499 92	(2 675 00)	(175 08)
CAP GDN	2603958	FNMA REMIC VAR RT 04 17 2025	11/18/02	(2 370 67)	2 370 67	(2 547 14)	(176 47)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/25/02	(100 00)	1 824 94	(2 002 89)	(177 95)
CAP GDN	2603958	ASHLAND INC COM	11/14/02	(100 00)	2 496 91	(2 675 00)	(178 09)
CAP GDN	2603958	ASHLAND INC COM	11/12/02	(100 00)	2 496 42	(2 675 00)	(178 58)
CAP GDN	2603958	GEN MILLS INC COM	7/26/02	(100 00)	3 974 63	(4 155 00)	(180 37)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	8/19/02	(2 440 27)	2 440 27	(2 621 92)	(181 65)
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	2/13/02	(125 000 00)	129 921 88	(130 105 89)	(184 01)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	12/17/02	(2 721 13)	2 721 13	(2 919 13)	(198 00)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	3/28/02	(100 00)	(198 67)	(198 67)	(198 67)
CAP GDN	2603958	ASHLAND INC COM	11/13/02	(100 00)	2 475 22	(2 675 00)	(199 78)
CAP GDN	2603958	US TREAS NTS DTD 05/17/1999 5 25 DUE 05-15-2004 BEO	3/27/02	(250 000 00)	257 607 42	(257 820 63)	(213 21)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	9/17/02	(2 887 57)	2 887 57	(3 102 52)	(214 95)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	3/18/02	(2 910 35)	2 910 35	(3 127 00)	(216 65)
CAP GDN	2603958	US AWYS PASS THRU TRS SER 2000-3 CLASS-G7 89 DUE 09-01 2020 BEO	3/1/02	(2 874 19)	2 874 19	(3 097 80)	(223 61)
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	2/25/02	(250 000 00)	259 628 91	(259 853 06)	(224 15)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	4/15/02	(3 402 10)	3 402 10	(3 628 02)	(225 92)
CAP GDN	2603958	FNMA REMIC VAR RT 04 17 2025	5/17/02	(3 049 81)	3 049 81	(3 276 84)	(227 03)
CAP GDN	2603958	CLOROX CO COM	1/24/02	(200 00)	7 991 37	(8 226 58)	(235 21)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	10/17/02	(3 166 24)	3 166 24	(3 401 94)	(235 70)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	1/17/02	(3 269 14)	3 269 14	(3 512 50)	(243 36)
CAP GDN	2603958	DOUBLECLICK INC COM	2/28/02	(100 00)	1 099 98	(1 346 26)	(246 28)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	6/17/02	(3 449 40)	3 449 40	(3 706 17)	(256 77)
CAP GDN	2603958	TRAVELERS PPTY GAS CORP NEW CL A	5/29/02	(500 00)	8 988 02	(9 250 00)	(261 98)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/21/02	(200 00)	3 755 66	(4 033 66)	(278 00)
CAP GDN	2603958	HEINZ H J CO COM	10/10/02	(200 00)	6 403 98	(6 685 00)	(281 02)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/25/02	(200 00)	3 659 88	(3 943 65)	(283 77)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	4/17/02	(3 841 80)	3 841 80	(4 127 78)	(285 98)
CAP GDN	2603958	CLOROX CO COM	2/1/02	(400 00)	16 278 35	(16 567 16)	(288 81)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	7/17/02	(3 940 58)	3 940 58	(4 233 92)	(293 34)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	6/24/02	(100 00)	(300 09)	(300 09)	(300 09)
CAP GDN	2603958	ASHLAND INC COM	11/15/02	(200 00)	5 042 40	(5 350 00)	(307 60)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	8/30/02	(100 00)	(321 10)	(321 10)	(321 10)
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	2/26/02	(250 000 00)	259 501 95	(259 823 17)	(321 22)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/14/02	(100 00)	1 546 97	(1 874 32)	(327 35)
CAP GDN	2603958	FNMA REMIC VAR RT 04-17 2025	2/19/02	(4 534 83)	4 534 83	(4 872 40)	(337 57)
CAP GDN	2603958	GEN MILLS INC COM	7/30/02	(300 00)	12 112 34	(12 485 00)	(352 66)
CAP GDN	2603958	ASHLAND INC COM	11/12/02	(200 00)	4 963 41	(5 350 00)	(386 59)
CAP GDN	2603958	BELO A H CORP 7 25 DEB DUE 09-15-2027	1/15/02	(125 000 00)	105 487 50	(105 890 00)	(402 50)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	8/15/02	(6 492 92)	6 492 92	(6 924 09)	(431 17)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/25/02	(400 00)	7 323 21	(7 763 04)	(439 83)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	8/1/01	(5 330 24)	46 000 00	(46 448 53)	(448 53)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	8/1/01	5 330 24	(46 000 00)	45 546 89	(453 11)
CAP GDN	2603958	ALLSTATE CORP COM	5/10/02	(100 00)	3 856 73	(4 320 16)	(453 43)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	1/25/02	(2 500 00)	18 174 72	(18 645 05)	(470 33)
CAP GDN	2603958	FNMA POOL # 0651625 6 5 DUE 06-01 2032 REG	9/24/02	(34 340 32)	34 340 32	(34 823 23)	(482 91)
CAP GDN	2603958	WREG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	11/11/02	(400 00)	5 439 83	(5 922 92)	(483 09)
CAP GDN	2603958	PVTPL 6 FLAGS INC 6 FLAGS INC 144A 8 875PCT 02 01 8 875 DUE 02-01	2/25/02	(125 000 00)	124 062 50	(124 555 00)	(492 50)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/12/02	(100 00)	2 197 11	(2 696 62)	(499 51)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/13/02	(100 00)	2 184 79	(2 696 62)	(511 83)
CAP GDN	2603958	ADVANCED MED OPTICS INC COM	8/6/02	(1 000 00)	9 994 09	(10 508 73)	(514 64)
CAP GDN	2603958	HEINZ H J CO COM	10/8/02	(500 00)	16 269 95	(16 796 83)	(526 88)
CAP GDN	2603958	GEN MILLS INC COM	7/26/02	(300 00)	11 915 16	(12 465 00)	(549 84)
CAP GDN	2603958	STONE CONTAINER CORP SR NT DTD 01/25/2001 9 75 2-1-11 REG	2/24/02	(125 000 00)	135 000 00	(135 553 71)	(553 71)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/10/02	(400 00)	16 021 27	(16 580 64)	(559 37)
CAP GDN	2603958	ALLSTATE CORP COM	6/6/02	(100 00)	3 744 88	(4 310 51)	(565 63)
CAP GDN	2603958	GNMA POOL # 334541 8 5 DUE 01 15-2023 REG	1/15/02	(9 015 02)	9 015 02	(9 613 67)	(598 65)
CAP GDN	2603958	GEN MILLS INC COM	7/29/02	(400 00)	16 006 79	(16 620 00)	(613 21)
CAP GDN	2603958	ADELPHIA COMMUNICATIONS CORP IN DEFAULT 10 25 DUE 6-15-11	3/27/02	(25 000 00)	25 375 00	(25 989 65)	(614 65)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/8/02	(700 00)	28 084 90	(28 722 15)	(637 25)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/20/02	(200 00)	3 100 47	(3 748 64)	(648 17)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/24/02	(400 00)	7 347 17	(8 005 24)	(658 07)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	6/7/02	(100 00)	5 403 69	(6 105 00)	(701 31)
CAP GDN	2603958	ALLSTATE CORP COM	6/6/02	(200 00)	7 529 17	(8 240 00)	(710 83)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/26/02	(500 00)	8 825 38	(9 539 90)	(714 52)
CAP GDN	2603958	HEINZ H J CO COM	10/28/02	(100 00)	3 244 90	(3 959 70)	(714 80)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/11/02	(500 00)	20 024 39	(20 746 44)	(722 05)
CAP GDN	2603958	HEINZ H J CO COM	10/30/02	(100 00)	3 198 83	(3 933 25)	(734 42)
CAP GDN	2603958	CLOROX CO COM	1/25/02	(600 00)	24 060 71	(24 804 82)	(744 11)
CAP GDN	2603958	FNMA POOL # 0651625 6 5 DUE 06-01 2032 REG	10/25/02	(52 966 58)	52 966 58	(53 711 42)	(744 84)
CAP GDN	2603958	GUIDANT CORP COM STK	11/27/02	(100 00)	2 944 91	(3 705 49)	(760 58)
CAP GDN	2603958	CLOROX CO COM	1/31/02	(600 00)	24 297 23	(25 079 52)	(782 29)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TX	1/28/02			(784 03)	(784 03)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	1/28/02			(784 03)	(784 03)
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	6/10/02	(100 00)	5 301 36	(6 089 81)	(788 45)
CAP GDN	2603958	CAMPBELL SOUP CO COM	9/24/02	(100 00)	2 131 73	(2 923 88)	(792 15)
CAP GDN	2603958	DOUBLECLICK INC COM	3/1/02	(300 00)	3 302 95	(4 110 24)	(807 29)
CAP GDN	2603958	TYCO INTL LTD NEW COM	10/25/02	(100 00)	1 546 95	(2 375 92)	(828 97)
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	6/12/02	(100 00)	5 244 54	(6 089 81)	(845 17)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/28/02	(300 00)	4 244 12	(5 120 34)	(876 22)
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	6/13/02	(100 00)	5 204 91	(6 089 81)	(884 90)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/31/02	(300 00)	4 233 92	(5 120 34)	(886 42)
CAP GDN	2603958	GUIDANT CORP COM STK	11/11/02	(200 00)	6 102 29	(6 992 50)	(890 21)
CAP GDN	2603958	HASBRO INC COM	2/6/02	(200 00)	3 095 95	(4 025 35)	(929 40)
CAP GDN	2603958	HEINZ H J CO COM	10/22/02	(100 00)	3 194 90	(4 126 00)	(931 10)
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	12/21/01	250 000 00	(260 830 08)	259 882 81	(947 27)
CAP GDN	2603958	NOVA CHEMICALS CORP COM	12/20/02	(200 00)	3 792 04	(4 741 50)	(949 46)
CAP GDN	2603958	NOVA CHEMICALS CORP COM	12/20/02	(200 00)	3 791 50	(4 741 50)	(950 00)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	5/29/02			(964 48)	(964 48)
CAP GDN	2603958	GUIDANT CORP COM STK	11/7/02	(200 00)	6 022 59	(6 992 50)	(969 91)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/27/02	(1 600 00)	28 890 16	(29 862 08)	(971 92)
CAP GDN	2603958	ALLSTATE CORP COM	6/3/02	(200 00)	7 623 03	(8 621 02)	(997 99)
CAP GDN	2603958	HEINZ H J CO COM	10/15/02	(100 00)	3 198 57	(4 206 93)	(1 008 36)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/28/02	(100 00)	1 199 54	(2 224 87)	(1 025 33)
CAP GDN	2603958	TYCO INTL LTD NEW COM	1/16/02	(100 00)	1 542 02	(2 589 82)	(1 047 80)
CAP GDN	2603958	HASBRO INC COM	10/1/02	(100 00)	1 125 35	(2 179 57)	(1 054 22)
CAP GDN	2603958	HASBRO INC COM	10/2/02	(100 00)	1 121 83	(2 179 57)	(1 057 74)
CAP GDN	2603958	HASBRO INC COM	10/4/02	(100 00)	1 120 48	(2 179 57)	(1 059 09)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/5/02	(200 00)	3 591 71	(4 653 34)	(1 061 63)
CAP GDN	2603958	HEINZ H J CO COM	10/23/02	(100 00)	3 119 90	(4 193 11)	(1 073 21)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	7/18/02	(100 00)	1 459 63	(2 549 00)	(1 089 37)
CAP GDN	2603958	ALLSTATE CORP COM	6/5/02	(200 00)	7 510 81	(8 621 02)	(1 110 21)
CAP GDN	2603958	TX INSTRS INC COM	2/5/02	(100 00)	2 972 95	(4 087 61)	(1 114 66)
CAP GDN	2603958	FNMA POOL # 0651625 6 5 DUE 06-01 2032 REG	11/25/02	(79 526 79)	79 526 79	(80 645 14)	(1 118 35)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/13/02	(200 00)	4 381 02	(5 502 38)	(1 121 36)
CAP GDN	2603958	COMCAST CORP NEW CL A	11/26/02	(300 00)	7 351 09	(8 487 08)	(1 135 99)
CAP GDN	2603958	TX INSTRS INC COM	2/5/02	(100 00)	2 982 30	(4 142 02)	(1 159 72)
CAP GDN	2603958	GUIDANT CORP COM STK	11/25/02	(400 00)	12 905 73	(14 072 34)	(1 166 61)
CAP GDN	2603958	ASSOC CORP N AMER SR N7 6 25 DUE 11-01 2008 BEO	2/25/02	(125 000 00)	129 531 25	(130 723 87)	(1 192 62)
CAP GDN	2603958	TX INSTRS INC COM	2/5/02	(100 00)	2 972 95	(4 175 35)	(1 202 40)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/21/02	(100 00)	1 309 49	(2 605 00)	(1 295 51)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/22/02	(100 00)	1 309 08	(2 605 00)	(1 295 92)
CAP GDN	2603958	DOUBLECLICK INC COM	3/1/02	(500 00)	5 552 61	(6 850 40)	(1 297 79)
CAP GDN	2603958	HASBRO INC COM	2/11/02	(200 00)	2 718 45	(4 027 33)	(1 308 88)
CAP GDN	2603958	ASHLAND INC COM	11/4/02	(100 00)	2 499 42	(3 828 78)	(1 329 36)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/15/02	(800 00)	31 905 60	(33 243 84)	(1 338 24)
CAP GDN	2603958	GUIDANT CORP COM STK	11/8/02	(300 00)	9 140 21	(10 488 75)	(1 348 54)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	4/29/02			(1 360 24)	(1 360 24)
CAP GDN	2603958	GEN MILLS INC COM	7/25/02	(800 00)	31 852 24	(33 240 00)	(1 387 76)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	2/26/02			(1 398 50)	(1 398 50)
CAP GDN	2603958	HEINZ H J CO COM	10/25/02	(200 00)	6 475 08	(7 910 00)	(1 434 92)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/30/02	(400 00)	5 368 31	(6 827 13)	(1 458 82)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/28/02	(500 00)	7 073 53	(8 533 91)	(1 460 38)
CAP GDN	2603958	ASHLAND INC COM	11/6/02	(100 00)	2 409 92	(3 870 79)	(1 460 87)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/31/02	(500 00)	7 056 53	(8 533 91)	(1 477 38)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/31/02	(100 00)	2 287 93	(3 835 81)	(1 547 88)
CAP GDN	2603958	TRAVELERS PPTY CAS CORP NEW CL A	12/9/02	(167 00)	2 400 66	(3 954 22)	(1 553 56)
CAP GDN	2603958	CISCO SYS INC COM	11/26/02	(100 00)	1 492 20	(3 086 61)	(1 594 41)
CAP GDN	2603958	CISCO SYS INC COM	11/26/02	(100 00)	1 486 95	(3 086 61)	(1 599 66)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/24/02	(900 00)	16 354 66	(18 026 01)	(1 671 35)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	4/18/02	(200 00)	6 742 55	(8 509 46)	(1 766 91)
CAP GDN	2603958	FNMA POOL # 0651625 6 5 DUE 06-01 2032 REG	12/26/02	(126 781 55)	126 781 55	(128 553 67)	(1 772 12)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	11/7/02	(100 00)	118 50	(1 900 00)	(1 781 50)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/30/02	(100 00)	2 312 93	(4 107 87)	(1 794 94)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/30/02	(100 00)	2 303 93	(4 107 87)	(1 803 94)
CAP GDN	2603958	GUIDANT CORP COM STK	11/22/02	(100 00)	3 122 16	(4 947 13)	(1 824 97)
CAP GDN	2603958	GUIDANT CORP COM STK	11/22/02	(100 00)	3 065 40	(4 947 13)	(1 881 73)
CAP GDN	2603958	TYCO INTL LTD NEW COM	10/28/02	(200 00)	3 083 08	(4 965 74)	(1 882 66)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/15/02	(1 300 00)	23 589 47	(25 518 48)	(1 929 01)
CAP GDN	2603958	TX INSTRS INC COM	2/7/02	(100 00)	2 998 21	(4 980 00)	(1 981 79)
CAP GDN	2603958	HASBRO INC COM	2/13/02	(300 00)	4 004 69	(6 014 45)	(2 009 76)
CAP GDN	2603958	HEINZ H J CO COM	10/14/02	(200 00)	6 391 36	(8 413 86)	(2 022 50)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/29/02	(100 00)	2 247 93	(4 271 25)	(2 023 32)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/26/02	(100 00)	2 243 82	(4 271 25)	(2 027 43)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/28/02	(200 00)	2 399 08	(4 454 02)	(2 054 94)
CAP GDN	2603958	AL TERA CORP COM	11/27/02	(100 00)	1 489 95	(3 565 83)	(2 075 88)
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	11/6/02	(1 100 00)	15 385 34	(17 491 57)	(2 106 23)
CAP GDN	2603958	TYCO INTL LTD NEW COM	11/5/02	(200 00)	3 069 90	(5 179 64)	(2 109 74)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized GA
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/24/02	(100 00)	2 244 03	(4 366 28)	(2 122 25)
CAP GDN	2603958	HASBRO INC COM	2/12/02	(300 00)	4 049 93	(6 182 98)	(2 133 05)
CAP GDN	2603958	GUIDANT CORP COM STK	11/26/02	(400 00)	12 893 21	(15 055 94)	(2 162 73)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/23/02	(100 00)	2 196 92	(4 366 28)	(2 169 36)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/22/02	(100 00)	2 195 01	(4 366 28)	(2 171 27)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/2/02	(100 00)	2 277 06	(4 559 69)	(2 282 63)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/14/02	(700 00)	10 818 47	(13 120 24)	(2 301 77)
CAP GDN	2603958	ROYAL DUTCH PETRO N Y REGISTRY SH PAR N 1 25 GLDR	6/11/02	(300 00)	15 956 36	(18 269 43)	(2 313 07)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/9/02	(100 00)	2 233 96	(4 559 69)	(2 325 73)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	11/18/02	(700 00)	12 764 81	(15 097 60)	(2 332 79)
CAP GDN	2603958	GUIDANT CORP COM STK	11/21/02	(100 00)	2 987 44	(5 330 00)	(2 342 56)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/6/02	(100 00)	2 215 84	(4 559 69)	(2 343 85)
CAP GDN	2603958	HEINZ H J CO COM	10/17/02	(200 00)	6 076 93	(8 427 79)	(2 350 86)
CAP GDN	2603958	DISNEY WALT CO DISNEY COM COM	3/18/02	(900 00)	22 067 66	(24 432 48)	(2 364 82)
CAP GDN	2603958	CISCO SYS INC COM	11/27/02	(300 00)	4 487 58	(6 866 55)	(2 378 87)
CAP GDN	2603958	GUIDANT CORP COM STK	11/21/02	(100 00)	2 897 91	(5 330 00)	(2 432 09)
CAP GDN	2603958	XILINX INC COM	11/27/02	(100 00)	2 438 76	(4 874 88)	(2 436 12)
CAP GDN	2603958	XILINX INC COM	11/26/02	(100 00)	2 408 36	(4 874 88)	(2 466 52)
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	5/31/02	(125 000 00)	116 630 86	(119 130 86)	(2 500 00)
CAP GDN	2603958	DOLLAR GEN CORP COM	6/21/02	(1 600 00)	29 700 22	(32 225 20)	(2 524 98)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/30/02	(700 00)	9 394 55	(11 947 47)	(2 552 92)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 29467 12 EFF	3/22/02	(200 00)	6 218 16	(5 210 00)	(2 591 84)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 29467 12 EFF	3/25/02	(200 00)	2 596 94	(5 210 00)	(2 613 06)
CAP GDN	2603958	ALLSTATE CORP COM	5/31/02	(600 00)	23 202 02	(25 901 66)	(2 699 64)
CAP GDN	2603958	HASBRO INC COM	2/12/02	(400 00)	5 442 35	(8 154 04)	(2 711 69)
CAP GDN	2603958	BK AMER CORP COM	1/25/02	(300 00)	19 176 70	(21 930 54)	(2 753 84)
CAP GDN	2603958	ASHLAND INC COM	11/5/02	(200 00)	4 925 89	(7 693 38)	(2 767 49)
CAP GDN	2603958	CISCO SYS INC COM	11/27/02	(800 00)	11 800 84	(14 582 00)	(2 781 16)
CAP GDN	2603958	HASBRO INC COM	2/6/02	(600 00)	9 271 18	(12 076 04)	(2 804 86)
CAP GDN	2603958	STONE CONTAINER CORP SR NT DTD 01/25/2001 9 75 2 1 11 REG	7/25/02	(125 000 00)	132 500 00	(135 375 00)	(2 875 00)
CAP GDN	2603958	ASHLAND INC COM	11/6/02	(200 00)	4 815 49	(7 741 58)	(2 926 09)
CAP GDN	2603958	GUIDANT CORP COM STK	11/19/02	(100 00)	2 963 91	(5 892 19)	(2 928 28)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	10/24/02	(200 00)	5 216 98	(8 172 84)	(2 955 86)
CAP GDN	2603958	ASHLAND INC COM	11/7/02	(200 00)	4 939 93	(7 957 20)	(3 017 27)
CAP GDN	2603958	CAMPBELL SOUP CO COM	9/23/02	(400 00)	8 617 42	(11 659 12)	(3 041 70)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/5/02	(100 00)	1 354 26	(4 437 32)	(3 083 06)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/6/02	(100 00)	1 350 21	(4 437 32)	(3 087 11)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/4/02	(100 00)	1 349 62	(4 437 32)	(3 087 70)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	10/25/02	(200 00)	4 997 76	(8 176 20)	(3 178 44)
CAP GDN	2603958	CISCO SYS INC COM	11/26/02	(200 00)	2 984 41	(6 173 22)	(3 188 81)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/5/02	(1 000 00)	1 100 18	(3 280 58)	(3 280 58)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	2/20/02	(700 00)	8 564 53	(11 882 19)	(3 297 66)
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	1/31/02	(125 000 00)	118 281 25	(121 582 03)	(3 300 78)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/31/02	(1 000 00)	13 709 58	(17 067 81)	(3 358 23)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	10/15/02	(200 00)	4 634 42	(7 998 70)	(3 364 28)
CAP GDN	2603958	TX INSTRS INC COM	2/5/02	(100 00)	2 982 30	(6 391 69)	(3 409 39)
CAP GDN	2603958	MC DONALDS CORP COM	12/10/02	(300 00)	5 172 80	(8 661 45)	(3 488 65)
CAP GDN	2603958	CAMPBELL SOUP CO COM	9/20/02	(600 00)	13 238 12	(16 730 58)	(3 492 46)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	11/5/02	(200 00)	256 15	(3 800 00)	(3 543 85)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	4/1/01	(14 665 12)	127 000 00	(130 559 31)	(3 559 31)
CAP GDN	2603958	TRAVELERS PPTY CAS CORP NEW CL B	12/9/02	(343 00)	4 941 45	(8 775 21)	(3 833 76)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/1/02	(200 00)	4 607 38	(8 450 12)	(3 842 74)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	4/16/02	(500 00)	17 259 87	(21 150 00)	(3 890 13)
CAP GDN	2603958	HSEHD FIN CORP NT DTD 06/17/1998 6 4 DUE06-17-2008 REG	7/26/02	(125 000 00)	121 115 00	(125 012 53)	(3 897 53)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 29467 12 EFF	3/25/02	(300 00)	3 995 41	(7 815 00)	(3 919 59)
CAP GDN	2603958	HEWLETT PACKARD CO COM	7/25/02	(200 00)	2 316 58	(6 261 06)	(3 942 48)
CAP GDN	2603958	TX INSTRS INC COM	2/4/02	(300 00)	8 985 31	(12 980 24)	(3 994 93)
CAP GDN	2603958	CISCO SYS INC COM	11/26/02	(300 00)	4 460 86	(8 462 07)	(4 001 21)
CAP GDN	2603958	TX INSTRS INC COM	2/8/02	(200 00)	5 955 91	(9 960 00)	(4 004 09)
CAP GDN	2603958	HEINZ H J CO COM	2/6/02	(200 00)	5 947 21	(9 960 00)	(4 012 79)
CAP GDN	2603958	FORD MTR CO CAP TR II TR ORIGINATED PFD SECS	10/16/02	(400 00)	12 536 42	(16 552 80)	(4 016 38)
CAP GDN	2603958	US TREAS BDS DTD 08/15/1993 6 25 DUE 08-15-2023 REG	8/27/02	(1 200 00)	55 918 31	(60 000 00)	(4 081 69)
CAP GDN	2603958	HASBRO INC COM	5/25/01	(100 000 00)	102 613 28	(106 716 35)	(4 103 07)
CAP GDN	2603958	GA PAC CORP 7 5 DUE 05-15-2006/05-14-2006 BEO	9/27/02	(400 00)	4 563 90	(8 718 29)	(4 154 39)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	11/7/02	(100 000 00)	90 125 00	(94 331 00)	(4 206 00)
CAP GDN	2603958	DOVER CORP COM	1/17/02	(500 00)	19 484 70	(23 710 47)	(4 225 77)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/8/02	(3 900 00)	109 606 19	(113 862 51)	(4 256 32)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/19/02	(200 00)	4 458 16	(8 732 56)	(4 274 40)
CAP GDN	2603958	CITIGROUP INC COM	7/8/02	(700 00)	27 047 25	(31 453 09)	(4 405 84)
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	11/6/02	(1 100 00)	15 335 40	(19 756 27)	(4 420 87)
CAP GDN	2603958	#REORG/AT&T CORP REV SPLT TO AT&T CORP NEW SEC #2000829 EFF	2/1/02	(400 00)	12 265 53	(16 723 70)	(4 458 17)
CAP GDN	2603958	CAMPBELL SOUP CO COM	11/7/02	(1 800 00)	24 479 26	(29 038 16)	(4 558 90)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/8/02	(200 00)	4 433 60	(9 119 38)	(4 685 78)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/7/02	(200 00)	4 430 76	(9 119 38)	(4 686 62)
CAP GDN	2603958	HASBRO INC COM	2/8/02	(700 00)	9 399 94	(14 088 71)	(4 688 77)
CAP GDN	2603958	CAMPBELL SOUP CO COM	8/9/02	(200 00)	4 424 28	(9 119 38)	(4 695 10)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TX T	10/1/01	18 621 45	(335 000 00)	330 286 59	(4 713 41)
CAP GDN	2603958	XCEL ENERGY INC COM	6/18/02	(350 00)	7 043 88	(11 913 45)	(4 869 57)
CAP GDN	2603958	BK AMER CORP COM	1/17/02	(800 00)	49 023 26	(53 920 22)	(4 896 96)
CAP GDN	2603958	CF CAP GUARDIAN NON US FIF FOR TX EXMP TR	12/31/01			(4 907 23)	(4 907 23)
CAP GDN	2603958	TX INSTRS INC COM	2/6/02	(200 00)	5 947 21	(10 858 13)	(4 910 92)
CAP GDN	2603958	HEINZ H J CO COM	10/11/02	(1 200 00)	38 343 28	(43 273 07)	(4 929 79)
CAP GDN	2603958	TYCO INTL LTD NEW COM	10/24/02	(600 00)	9 225 02	(14 255 52)	(5 030 50)
CAP GDN	2603958	EMERSON ELEC CO COM	1/17/02	(700 00)	36 959 44	(42 010 00)	(5 050 56)
CAP GDN	2603958	HEINZ H J CO COM	10/16/02	(500 00)	15 975 06	(21 032 49)	(5 057 43)
CAP GDN	2603958	ADR KONINKLUKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000	7/19/02	(3 500 00)	87 561 05	(92 696 61)	(5 135 56)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description_long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	US AWYS PASS THRU TRS SER 2000-3 CLASS-G7 89 DUE 09-01 2020 BEO	6/26/02	(121 009 98)	125 155 79	(130 424 56)	(5 268 77)
CAP GDN	2603958	DELHAIZE AMER INC DEB DTD 10/15/2001 9 DUE 04-15-2031 BEO	9/12/02	(60 000 00)	59 523 60	(64 957 80)	(5 434 20)
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	2/1/02	(250 000 00)	237 656 25	(243 164 07)	(5 507 82)
CAP GDN	2603958	XCEL ENERGY INC COM	6/17/02	(400 00)	8 044 31	(13 587 45)	(5 543 14)
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	1/30/02	(375 000 00)	357 626 95	(363 300 78)	(5 673 83)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	3/18/02	(1 500 00)	19 727 70	(25 461 82)	(5 734 12)
CAP GDN	2603958	ASHLAND INC COM	1/17/02	(400 00)	9 892 34	(15 698 23)	(5 805 89)
CAP GDN	2603958	EMERSON ELEC CO COM	8/27/02	(500 00)	24 224 27	(30 056 25)	(5 831 98)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/27/02	(200 00)	2 740 93	(8 622 09)	(5 881 16)
CAP GDN	2603958	TX INSTRS INC COM	2/7/02	(300 00)	8 994 64	(14 940 00)	(5 945 36)
CAP GDN	2603958	XCEL ENERGY INC COM	6/14/02	(400 00)	8 025 91	(13 982 67)	(5 956 76)
CAP GDN	2603958	TX INSTRS INC COM	2/8/02	(300 00)	8 901 16	(14 940 00)	(6 038 84)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	4/17/02	(800 00)	27 843 48	(33 889 46)	(6 045 98)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	2/19/02	(1 300 00)	15 989 75	(22 066 91)	(6 077 16)
CAP GDN	2603958	AGILENT TECHNOLOGIES INC COM	3/18/02	(600 00)	22 313 66	(28 464 90)	(6 151 24)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/31/02	(400 00)	9 248 96	(15 412 43)	(6 163 47)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/5/02	(200 00)	2 708 53	(8 874 64)	(6 166 11)
CAP GDN	2603958	GUIDANT CORP COM STK	1/12/02	(900 00)	26 610 03	(32 794 84)	(6 184 45)
CAP GDN	2603958	HASBRO INC COM	2/8/02	(900 00)	12 195 35	(18 548 94)	(6 353 59)
CAP GDN	2603958	GEN MTRS ACCP CORP GM 8 DUE 11-01 2031 BEO	9/26/02	(250 000 00)	246 587 50	(252 942 40)	(6 354 90)
CAP GDN	2603958	HASBRO INC COM	9/30/02	(600 00)	6 719 79	(13 077 44)	(6 357 65)
CAP GDN	2603958	CISCO SYS INC COM	1/12/02	(800 00)	11 784 52	(18 310 80)	(6 526 28)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	10/28/02	(500 00)	12 583 02	(19 203 40)	(6 620 38)
CAP GDN	2603958	TYCO INTL LTD NEW COM	10/24/02	(800 00)	12 375 62	(19 007 36)	(6 631 74)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/11/02	(200 00)	3 000 01	(8 657 78)	(6 657 77)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/11/02	(200 00)	2 992 95	(9 657 78)	(6 664 83)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/8/02	(200 00)	2 064 92	(8 761 52)	(6 696 60)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/12/02	(800 00)	949 01	(7 683 84)	(6 734 83)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/3/02	(200 00)	1 771 37	(8 624 94)	(6 853 57)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/7/02	(200 00)	2 083 38	(8 944 94)	(6 861 56)
CAP GDN	2603958	JDS UNIPHASE CORP COM ISIN US46612J1016	1/16/02	(400 00)	1 073 96	(8 005 72)	(6 931 76)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	12/5/02	(2 500 00)	35 499 46	(42 436 38)	(6 936 92)
CAP GDN	2603958	HASBRO INC COM	2/5/02	(1 500 00)	23 202 25	(30 190 10)	(6 987 85)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/29/02	(400 00)	9 194 60	(16 436 60)	(7 244 00)
CAP GDN	2603958	XCEL ENERGY INC COM	6/13/02	(300 00)	6 085 31	(13 333 51)	(7 248 20)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/13/02	(400 00)	5 997 98	(13 275 42)	(7 277 44)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	10/23/02	(500 00)	12 639 56	(19 996 75)	(7 357 19)
CAP GDN	2603958	TYCO INTL LTD NEW COM	1/14/02	(700 00)	10 745 09	(18 128 74)	(7 383 65)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	1/11/01	13 626 72	(257 000 00)	249 505 22	(7 494 78)
CAP GDN	2603958	GEN MTRS CORP CL H NEW	5/10/02	(1 600 00)	22 559 31	(30 127 00)	(7 567 69)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	7/8/02	(1 300 00)	2 058 35	(9 639 89)	(7 581 54)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	1/17/02	(2 800 00)	39 871 89	(47 528 74)	(7 657 35)
CAP GDN	2603958	ADR KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000	7/18/02	(1 000 00)	24 968 84	(32 646 35)	(7 677 51)
CAP GDN	2603958	FT JAMES CORP 6 875 DUE 09-15-2007 REG	9/26/02	(100 000 00)	86 000 00	(93 720 00)	(7 720 00)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	7/17/02	(700 00)	10 051 69	(17 843 00)	(7 791 31)
CAP GDN	2603958	US TREAS NTS 6 25 15/2/2003 USD1000 6 25DUE 02 15-2003 REG	3/19/02	(2 625 000 00)	2 710 722 65	(2 718 767 33)	(8 044 67)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/20/02	(400 00)	468 46	(8 513 36)	(8 044 90)
CAP GDN	2603958	TX INSTRS INC COM	2/6/02	(400 00)	11 786 86	(19 920 00)	(8 133 14)
CAP GDN	2603958	CAP 1 BK MEDIUM TERM SR BK NTS MTN # TR 00112 6 875 DUE 02-01 200	7/24/02	(100 000 00)	90 250 00	(98 385 00)	(8 135 00)
CAP GDN	2603958	TX INSTRS INC COM	2/7/02	(400 00)	11 172 30	(19 920 00)	(8 137 70)
CAP GDN	2603958	DOUBLECLICK INC COM	2/28/02	(2 900 00)	31 346 49	(39 496 28)	(8 149 79)
CAP GDN	2603958	TX INSTRS INC COM	2/5/02	(400 00)	11 917 78	(20 097 04)	(8 179 26)
CAP GDN	2603958	TYCO INTL LTD NEW COM	10/25/02	(1 000 00)	15 350 23	(23 759 20)	(8 408 97)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/25/02	(1 000 00)	1 189 06	(9 604 80)	(8 415 74)
CAP GDN	2603958	KELLOGG CO COM	1/17/02	(1 300 00)	39 544 36	(47 978 60)	(8 434 24)
CAP GDN	2603958	MC DONALDS CORP COM	12/6/02	(500 00)	9 110 92	(17 552 10)	(8 441 18)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	7/15/02	(2 300 00)	3 094 09	(11 551 52)	(8 457 43)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/27/02	(600 00)	7 106 65	(15 630 00)	(8 523 35)
CAP GDN	2603958	MC DONALDS CORP COM	7/24/02	(700 00)	16 637 65	(25 360 35)	(8 722 70)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/30/02	(500 00)	11 476 65	(20 280 47)	(8 803 82)
CAP GDN	2603958	ASHLAND INC COM	1/16/02	(600 00)	14 685 81	(23 515 23)	(8 829 42)
CAP GDN	2603958	JDS UNIPHASE CORP COM ISIN US46612J1016	1/15/02	(600 00)	1 664 94	(10 693 14)	(9 028 20)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/3/02	(300 00)	4 160 72	(13 311 96)	(9 151 24)
CAP GDN	2603958	HASBRO INC COM	9/26/02	(900 00)	10 437 16	(19 616 16)	(9 179 00)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/7/02	(300 00)	4 604 87	(14 486 67)	(9 881 80)
CAP GDN	2603958	TX INSTRS INC COM	2/1/02	(900 00)	27 574 95	(37 486 27)	(9 911 32)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	7/9/02	(1 700 00)	2 669 08	(12 606 01)	(9 936 93)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/8/02	(300 00)	4 530 32	(14 486 67)	(9 956 35)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/7/02	(300 00)	3 079 96	(13 325 70)	(10 245 74)
CAP GDN	2603958	TYCO INTL LTD NEW COM	1/11/02	(700 00)	11 112 93	(21 472 11)	(10 359 18)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	7/12/02	(2 900 00)	4 205 45	(14 564 96)	(10 359 51)
CAP GDN	2603958	TYCO INTL LTD NEW COM	10/28/02	(1 000 00)	15 440 03	(25 898 20)	(10 458 17)
CAP GDN	2603958	DOUBLECLICK INC COM	2/27/02	(500 00)	5 500 56	(16 164 47)	(10 663 91)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/11/02	(600 00)	7 05 09	(11 400 00)	(10 694 91)
CAP GDN	2603958	AT&T CORP USD SR NT 8 5 DUE 11-15-2031/11 14-2031 BEO	9/26/02	(125 000 00)	116 250 00	(127 023 75)	(10 773 75)
CAP GDN	2603958	ADR KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000	1/17/02	(1 500 00)	42,749 35	(53 983 95)	(11 234 60)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	12/31/02			(11 384 27)	(11 384 27)
CAP GDN	2603958	DOUBLECLICK INC COM	2/27/02	(3 900 00)	42 825 25	(54 379 75)	(11 554 50)
CAP GDN	2603958	AGILENT TECHNOLOGIES INC COM	1/17/02	(1 300 00)	37 686 43	(49 526 64)	(11 840 21)
CAP GDN	2603958	FRANCE TELECOM SA NT STEP UP 03-01 2031 02 28-2031	2/25/02	(125 000 00)	134 336 25	(146 194 82)	(11 858 57)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/25/02	(1 200 00)	48 402 35	(60 262 00)	(11 859 65)
CAP GDN	2603958	ASHLAND INC COM	1/11/02	(900 00)	22 499 59	(34 369 50)	(11 869 91)
CAP GDN	2603958	HASBRO INC COM	3/18/02	(2 600 00)	39 961 39	(52 086 71)	(12 125 32)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	6/3/02	(400 00)	5 547 63	(17 749 28)	(12 201 65)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/16/02	(700 00)	826 95	(13 300 00)	(12 473 05)
CAP GDN	2603958	DOLLAR GEN CORP COM	2/6/02	(2 400 00)	35 879 46	(48 405 26)	(12 525 80)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description_long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	MC DONALDS CORP COM	12/6/02	(800 00)	14 577 48	(27 280 86)	(12 703 38)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/23/02	(1 300 00)	51 690 34	(64 723 30)	(13 032 96)
CAP GDN	2603958	FORD MTR CO CAP TR II TR ORIGINATED PFD SECS	10/28/02	(1 000 00)	37 477 47	(50 607 11)	(13 129 64)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/26/02	(1 600 00)	2 285 05	(15 422 70)	(13 137 65)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/29/02	(3 400 00)	44 878 64	(58 030 56)	(13 151 92)
CAP GDN	2603958	DISNEY WALT CO DISNEY COM COM	1/17/02	(2 000 00)	42 059 36	(55 410 50)	(13 351 14)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/27/02	(1 000 00)	11 844 42	(25 289 74)	(13 445 32)
CAP GDN	2603958	TYCO INTL LTD NEW COM	1/17/02	(1 000 00)	15 632 32	(29 353 30)	(13 720 98)
CAP GDN	2603958	CROWN CASTLE INTL CORP SR NT 10 75 DUE 08-01 2011/08-01 2005 BE	9/12/02	(50 000 00)	36 000 00	(50 000 00)	(14 000 00)
CAP GDN	2603958	KRAFT FOODS INC KRAFT FOODS INC 5 62500 01 11 2011 5 625 DUE 11-0	3/28/02	(250 000 00)	237 195 00	(251 302 93)	(14 107 93)
CAP GDN	2603958	CAMPBELL SOUP CO COM	7/25/02	(700 00)	16 060 03	(30 278 87)	(14 218 84)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/26/02	(1 000 00)	11 798 42	(26 050 00)	(14 251 58)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/18/02	(800 00)	941 01	(15 200 00)	(14 258 99)
CAP GDN	2603958	XILINX INC COM	1/25/02	(600 00)	14 709 09	(29 249 28)	(14 540 19)
CAP GDN	2603958	MICROMOUSE INC COM	1/17/02	(1 200 00)	14 159 78	(28 817 64)	(14 657 86)
CAP GDN	2603958	CF CAP GUARDIAN NON-US FIF FOR TX EXMP TR	7/1/01	(103 668 63)	876 000 00	(890 847 70)	(14 847 70)
CAP GDN	2603958	MC DONALDS CORP COM	12/9/02	(1 000 00)	17 324 07	(32 326 78)	(15 002 71)
CAP GDN	2603958	GUIDANT CORP COM STK	1/20/02	(600 00)	17 703 18	(33 104 38)	(15 401 20)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/24/02	(1 500 00)	60 034 04	(75 456 64)	(15 422 60)
CAP GDN	2603958	BROADCOM CORP CL A	1/17/02	(500 00)	23 739 64	(39 189 11)	(15 449 47)
CAP GDN	2603958	GEN MTRS CORP CL H NEW	5/8/02	(3 600 00)	52 216 42	(68 085 81)	(15 869 39)
CAP GDN	2603958	GEN MTRS CORP CL H NEW	5/13/02	(2 500 00)	34 548 95	(50 463 97)	(15 915 02)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	10/28/02	(2 000 00)	33 918 97	(49 977 87)	(16 058 90)
CAP GDN	2603958	HEWLETT PACKARD CO COM	1/17/02	(1 700 00)	38 521 42	(54 617 25)	(16 095 83)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/4/02	(500 00)	5 161 17	(21 339 75)	(16 178 58)
CAP GDN	2603958	CITIGROUP INC COM	10/28/02	(1 000 00)	36 248 90	(52 633 89)	(16 384 99)
CAP GDN	2603958	NORTEL NETWORKS LTD FORMERLY NORTEL NETWORKS CORP 03/06	1/4/02	(125 000 00)	106 875 00	(124 523 75)	(17 648 75)
CAP GDN	2603958	HEINZ H J CO COM	10/17/02	(1 500 00)	45 403 33	(63 145 29)	(17 741 96)
CAP GDN	2603958	CISCO SYS INC COM	1/22/02	(700 00)	10 403 15	(28 349 44)	(17 946 29)
CAP GDN	2603958	CENTENNIAL CELLULAR OPER CO LLC / FIN CORP SR SUB NT 10 75 12 1	5/22/02	(250 000 00)	153 750 00	(171 850 58)	(18 100 58)
CAP GDN	2603958	CAMPBELL SOUP CO COM	1/17/02	(1 300 00)	37 621 43	(56 775 02)	(19 153 59)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/19/02	(1 100 00)	1 288 28	(20 900 00)	(19 611 72)
CAP GDN	2603958	HEWLETT PACKARD CO COM	8/27/02	(1 200 00)	17 459 47	(37 566 36)	(20 106 89)
CAP GDN	2603958	CROWN CASTLE INTL CORP SR NT 10 75 DUE 08-01 2011/08-01 2005 BE	9/12/02	(75 000 00)	54 000 00	(75 000 00)	(21 000 00)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	7/11/02	(5 300 00)	7 216 78	(28 522 99)	(21 306 21)
CAP GDN	2603958	HASBRO INC COM	8/27/02	(2 700 00)	35 215 30	(56 772 90)	(21 557 60)
CAP GDN	2603958	DOW CHEM CO COM	1/25/02	(3 500 00)	101 638 47	(124 042 96)	(22 404 49)
CAP GDN	2603958	US TREAS BDS 5 25 15/2/2029 USD1000 5 25DUE 02 15-2029 REG	3/19/02	(375 000 00)	341 704 10	(364 155 27)	(22 451 17)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	8/1/02	(43 746 46)	773 000 00	(795 693 78)	(22 693 78)
CAP GDN	2603958	HEWLETT PACKARD CO COM	5/14/02	(800 00)	16 279 50	(39 057 58)	(22 778 08)
CAP GDN	2603958	MIPS TECHNOLOGIES INC CL B COM	1/8/02	(700 00)	7 736 98	(30 590 06)	(22 853 08)
CAP GDN	2603958	GUIDANT CORP COM STK	1/22/02	(1 700 00)	53 076 81	(76 421 00)	(23 344 19)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	5/29/02	(6 100 00)	80 517 56	(104 113 66)	(23 596 10)
CAP GDN	2603958	ALTERA CORP COM	12/2/02	(1 200 00)	18 040 37	(41 862 45)	(23 822 08)
CAP GDN	2603958	JDS UNIPHASE CORP COM ISIN US46612J1016	1/15/02	(1 600 00)	4 472 66	(28 515 04)	(24 042 38)
CAP GDN	2603958	#REORG NRG ENERGY INC STK MERGER TO XCELENERGY 2946712 EFF	3/26/02	(1 700 00)	20 057 31	(44 285 00)	(24 227 69)
CAP GDN	2603958	NORTEL NETWORKS CORP NEW COM	7/10/02	(4 000 00)	5 239 43	(29 661 20)	(24 421 77)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	1/11/02	(10 839 79)	182 000 00	(206 507 68)	(24 507 68)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	7/11/02	(800 00)	11 079 66	(35 613 80)	(24 534 14)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	10/1/01	(18 621 45)	335 000 00	(359 814 48)	(24 814 48)
CAP GDN	2603958	ADR SHELL TRANS & TRADING PLC NY SH NEW	1/25/02	(2 600 00)	104 987 46	(129 802 14)	(24 814 68)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/15/02	(1 400 00)	1 696 60	(26 600 00)	(24 903 40)
CAP GDN	2603958	TX INSTRS INC COM	1/17/02	(1 500 00)	40 274 39	(65 838 16)	(25 563 77)
CAP GDN	2603958	CAMPBELL SOUP CO COM	3/18/02	(1 500 00)	39 314 40	(65 411 70)	(26 097 30)
CAP GDN	2603958	CHARTER COMMUNICATIONS HLDGS LLC / CHARTSR NT 10 DUE 05-15	10/22/02	(50 000 00)	23 375 00	(49 687 50)	(26 312 50)
CAP GDN	2603958	QUINTILES TRANSNATIONAL CORP COM	2/12/02	(800 00)	11 947 98	(38 369 12)	(26 421 14)
CAP GDN	2603958	HEWLETT PACKARD CO COM	10/28/02	(1 500 00)	23 189 29	(50 032 82)	(26 843 53)
CAP GDN	2603958	SBC COMMUNICATIONS INC COM	9/20/02	(1 400 00)	32 150 31	(59 310 84)	(27 160 53)
CAP GDN	2603958	CHARTER COMMUNICATIONS HLDGS LLC / CHARTSR NT 10 DUE 05-15	10/22/02	(50 000 00)	22 375 00	(49 687 50)	(27 312 50)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	6/24/02			(27 654 92)	(27 654 92)
CAP GDN	2603958	XILINX INC COM	1/22/02	(1 200 00)	28 505 62	(56 822 16)	(28 316 54)
CAP GDN	2603958	ADELPHIA COMMUNICATIONS CORP IN DEFAULT 10 25 DUE 6-15-11	4/23/02	(150 000 00)	127 500 00	(155 907 80)	(28 407 80)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	1/11/02	(12 567 01)	211 000 00	(239 412 76)	(28 412 76)
CAP GDN	2603958	JDS UNIPHASE CORP COM ISIN US46612J1016	1/16/02	(2 400 00)	6 721 23	(35 985 87)	(29 264 64)
CAP GDN	2603958	ADELPHIA COMMUNICATIONS CORP IN DEFAULT 10 25 DUE 6-15-11	5/16/02	(75 000 00)	48 000 00	(77 941 23)	(29 941 23)
CAP GDN	2603958	CISCO SYS INC COM	1/25/02	(1 000 00)	19 049 71	(50 299 84)	(31 250 13)
CAP GDN	2603958	NORTEL NETWORKS LTD FORMERLY NORTEL NETWORKS CORP 03/06	5/17/02	(100 000 00)	67 625 00	(99 619 00)	(31 994 00)
CAP GDN	2603958	ALTERA CORP COM	1/27/02	(1 500 00)	22 414 87	(54 770 26)	(32 355 39)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	7/31/02			(32 563 60)	(32 563 60)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW COM SER A	10/28/02	(4 000 00)	34 902 14	(67 898 20)	(32 996 06)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	5/29/02			(33 214 74)	(33 214 74)
CAP GDN	2603958	CISCO SYS INC COM	5/13/02	(2 300 00)	45 891 20	(79 145 84)	(33 254 64)
CAP GDN	2603958	TYCO INTL LTD NEW COM	1/25/02	(1 800 00)	26 891 36	(60 471 53)	(33 580 17)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	1/16/02	(2 800 00)	43 670 00	(77 567 78)	(33 897 78)
CAP GDN	2603958	CISCO SYS INC COM	5/31/02	(1 700 00)	23 306 29	(57 790 36)	(34 484 07)
CAP GDN	2603958	CISCO SYS INC COM	1/25/02	(1 500 00)	22 445 62	(56 938 12)	(34 492 50)
CAP GDN	2603958	TX INSTRS INC COM	2/5/02	(1 200 00)	35 753 34	(70 936 60)	(35 183 26)
CAP GDN	2603958	CISCO SYS INC COM	1/22/02	(1 400 00)	21 009 02	(56 646 96)	(35 637 94)
CAP GDN	2603958	TX INSTRS INC COM	2/4/02	(900 00)	26 855 94	(62 709 44)	(35 753 50)
CAP GDN	2603958	SPRINT CAP CORP GTD NT 6 DUE 01-15-2007/01 14-2007 BE0	7/26/02	(125 000 00)	78 750 00	(114 832 50)	(36 082 50)
CAP GDN	2603958	JDS UNIPHASE CORP COM ISIN US46612J1016	1/15/02	(2 500 00)	6 674 79	(42 865 89)	(36 191 10)
CAP GDN	2603958	CHARTER COMMUNICATIONS INC DEL CL A	1/21/02	(3 400 00)	4 137 33	(41 662 38)	(37 525 05)
CAP GDN	2603958	HEWLETT PACKARD CO COM	7/24/02	(1 100 00)	12 805 81	(51 048 86)	(38 243 05)
CAP GDN	2603958	XCEL ENERGY INC COM	6/13/02	(1 400 00)	28 213 62	(67 451 86)	(39 238 24)
CAP GDN	2603958	TYCO INTL LTD NEW COM	6/12/02	(1 300 00)	13 800 51	(57 687 31)	(43 886 80)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	2/26/02			(44 382 22)	(44 382 22)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - Long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	9/9/02	(4 000 00)	55 428 72	(100 490 35)	(45 061 63)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	9/30/02			(45 621 75)	(45 621 75)
CAP GDN	2603958	PVPL WILLIAMS COS INC NT 144A 8 75 DUE 03-15-2032 BEO	8/23/02	(125 000 00)	77 500 00	(123 282 50)	(45 782 50)
CAP GDN	2603958	GUIDANT CORP COM STK	1/12/02	(2 200 00)	65 723 89	(112 294 22)	(46 570 33)
CAP GDN	2603958	QWEST CAP FDG INC GTD NT 7 625 DUE 08-03-2021 BEO	8/30/02	(125 000 00)	60 000 00	(113 170 87)	(53 170 87)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	11/29/02			(56 126 80)	(56 126 80)
CAP GDN	2603958	GUIDANT CORP COM STK	11/19/02	(3 400 00)	100 772 96	(163 118 12)	(62 345 16)
CAP GDN	2603958	DOUBLECLICK INC COM	1/17/02	(3 000 00)	37 229 44	(100 611 69)	(63 382 25)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	8/30/02			(64 484 55)	(64 484 55)
CAP GDN	2603958	CISCO SYS INC COM	1/17/02	(2 000 00)	38 599 42	(104 801 76)	(66 202 34)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	7/11/02	(2 100 00)	29 167 48	(98 428 83)	(69 261 35)
CAP GDN	2603958	TYCO INTL LTD NEW COM	6/11/02	(2 600 00)	28 303 52	(117 287 12)	(88 983 60)
CAP GDN	2603958	CF CAP GUARDIAN NON-US EQUITY FUND FOR TXT	5/1/01	15 168 06	(361 000 00)	254 794 70	(106 205 30)
CAP GDN	2603958	CF CAP GUARDIAN NON US EQUITY FUND FOR TXT	10/31/02			(109 509 95)	(109 509 95)
CAP GDN	2603958	ADR NOKIA CORP SPONSORED ADR	7/12/02	(4 800 00)	67 736 03	(178 363 03)	(110 627 00)
CAP GDN	2603958	TYCO INTL LTD NEW COM	6/7/02	(4 400 00)	45 558 86	(163 683 74)	(118 124 88)
CAP GDN	2603958	VERISIGN INC COM	11/27/02	(3 100 00)	31 807 82	(167 667 97)	(135 860 15)
CAP GDN	2603958	AIRPLANES SER 1 CL C 8 15 PASS THRU TR DUE 03-15-2019	10/16/02	(247 218 50)	22 249 66	(224 388 97)	(202 139 31)
CAP GDN	2603958	TYCO INTL LTD NEW COM	6/12/02	(11 200 00)	111 515 02	(407 657 03)	(296 142 01)
CAP GDN	2603958	LIBERTY MEDIA CORP NEW RT PUR COM SER A EXP RTS	12/12/02	(1 132 00)		(6 414 34)	(6 414 34)
CAP GDN TOTAL					38,987,217 20	(39,917,013 25)	(929,796 05)
NCP II	2603957	US TREAS NTS DTD 00870 6 625 DUE 05-31-2002 BEO	4/16/02	(4 000 000 00)	4 020 000 00	(4 000 530 85)	19 469 15
NCP II	2603957	US TREAS NTS DTD 00870 6 625 DUE 05-31-2002 BEO	5/31/02	(2 000 000 00)	2 000 000 00	(2 000 000 00)	
NCP II	2603957	PVPL DREAMWORKS FILM TR II SER 1 CL A1 144A 9 95637 DUE 01 15-20	10/16/02	(3 775 000 00)	3 775 000 00	(3 805 085 00)	(30 085 00)
NCP II	2603957	FORD MTR CR CO GLOBAL LANDMARK SECS FLTGGLOBS DUE 10-25-20	9/24/02	(3 800 000 00)	3 569 796 00	(3 800 000 00)	(230 204 00)
NCP II	2603957	FORD MTR CR CO GLOBAL LANDMARK SECS FLTGGLOBS DUE 10-25-20	10/10/02	(2 500 000 00)	2,256,250 00	(2,500,000 00)	(243,750 00)
NCP II TOTAL					15,621,046 00	(18,105,615 85)	(484,569 85)
GMG SENE	2603956	FIRST DATA CORP COM	4/11/02	(14 610 00)	1 230 154 17	(836 900 64)	393 253 53
GMG SENE	2603956	HCA INC COM	1/18/02	(19 770 00)	781 450 93	(571 563 52)	209 887 41
GMG SENE	2603956	FIRST DATA CORP COM	2/11/02	(7 100 00)	586 659 93	(377 705 80)	208 954 13
GMG SENE	2603956	QUALCOMM INC COM	11/26/02	(10 100 00)	409 078 08	(287 970 19)	121 108 89
GMG SENE	2603956	HCA INC COM	6/24/02	(5 600 00)	273 544 48	(160 075 44)	113 469 04
GMG SENE	2603956	HCA INC COM	3/22/02	(7 800 00)	331 906 08	(222 962 22)	108 943 86
GMG SENE	2603956	CITIGROUP INC COM	11/21/02	(6 000 00)	233 622 76	(156 539 12)	77 083 64
GMG SENE	2603956	PROCTER & GAMBLE CO COM	5/8/02	(4 100 00)	373 996 48	(306 386 03)	67 610 45
GMG SENE	2603956	FOREST LAB INC COM	11/20/02	(2 460 00)	253 079 83	(187 810 18)	65 269 65
GMG SENE	2603956	3M CO COM	5/21/02	(4 100 00)	520 335 42	(455 430 46)	64 904 96
GMG SENE	2603956	FOREST LAB INC COM	11/18/02	(2 050 00)	215 085 26	(156 415 00)	58 670 26
GMG SENE	2603956	AMER EXPRESS CO COM	5/13/02	(6 670 00)	282 494 67	(227 326 27)	55 168 40
GMG SENE	2603956	CITIGROUP INC COM	12/11/02	(15 000 00)	546 325 53	(498 574 48)	47 751 05
GMG SENE	2603956	PROCTER & GAMBLE CO COM	5/20/02	(2 480 00)	229 243 80	(185 326 18)	43 917 62
GMG SENE	2603956	3M CO COM	11/19/02	(2 500 00)	320 019 86	(277 701 50)	42 318 36
GMG SENE	2603956	COCA COLA CO COM	3/6/02	(14 900 00)	708 684 02	(672 925 61)	35 758 41
GMG SENE	2603956	3M CO COM	12/5/02	(2 590 00)	327 057 42	(293 378 29)	33 679 13
GMG SENE	2603956	GEN ELEC CO COM	2/4/02	(17 760 00)	622 638 49	(591 145 59)	31 492 90
GMG SENE	2603956	AMER EXPRESS CO COM	11/21/02	(5 400 00)	213 109 44	(183 496 32)	29 613 12
GMG SENE	2603956	PROCTER & GAMBLE CO COM	5/21/02	(1 680 00)	153 559 80	(125 543 54)	28 016 26
GMG SENE	2603956	QUALCOMM INC COM	12/12/02	(2 500 00)	97 933 79	(71 279 75)	26 654 04
GMG SENE	2603956	MAXIM INTEGRATED PRODS INC COM	11/21/02	(4 600 00)	192 902 08	(166 418 80)	26 483 28
GMG SENE	2603956	PROCTER & GAMBLE CO COM	6/25/02	(1 600 00)	143 598 87	(118 629 09)	24 969 78
GMG SENE	2603956	WAL-MART STORES INC COM	9/25/02	(3 600 00)	188 415 08	(167 497 56)	20 917 52
GMG SENE	2603956	FOREST LAB INC COM	11/19/02	(720 00)	75 575 54	(54 936 00)	20 639 54
GMG SENE	2603956	KOHL'S CORP COM	11/22/02	(2 700 00)	177 730 24	(157 682 97)	20 047 27
GMG SENE	2603956	BAXTER INTL INC COM	5/3/02	(5 100 00)	289 184 75	(269 253 48)	19 931 27
GMG SENE	2603956	MEDTRONIC INC COM	11/21/02	(3 800 00)	184 706 35	(167 030 52)	17 675 83
GMG SENE	2603956	MEDTRONIC INC COM	11/27/02	(6 190 00)	289 054 38	(272 083 93)	16 970 45
GMG SENE	2603956	PROCTER & GAMBLE CO COM	11/19/02	(1 300 00)	112 992 59	(96 386 13)	16 606 46
GMG SENE	2603956	KELLOGG CO COM	6/27/02	(5 480 00)	198 115 21	(184 410 22)	13 704 99
GMG SENE	2603956	COCA COLA CO COM	3/7/02	(13 510 00)	628 424 43	(615 002 22)	13 422 21
GMG SENE	2603956	3M CO COM	12/6/02	(1 530 00)	192 853 29	(179 832 07)	13 021 22
GMG SENE	2603956	MEDTRONIC INC COM	5/14/02	(4 200 00)	185 634 41	(172 713 24)	12 921 17
GMG SENE	2603956	MEDTRONIC INC COM	6/24/02	(8 050 00)	343 884 03	(331 685 34)	12 198 69
GMG SENE	2603956	MICROSOFT CORP COM	11/20/02	(5 000 00)	283 036 47	(271 868 00)	11 168 47
GMG SENE	2603956	UNITED PARCEL SVC INC CL B	11/20/02	(3 500 00)	220 397 46	(210 311 85)	10 085 61
GMG SENE	2603956	3M CO COM	12/10/02	(1 320 00)	163 859 07	(155 149 24)	8 709 83
GMG SENE	2603956	DEERE & CO COM	11/22/02	(2 860 00)	142 028 18	(134 246 40)	7 781 78
GMG SENE	2603956	CITIGROUP INC COM	12/12/02	(10 749 00)	393 334 90	(387 823 65)	5 511 25
GMG SENE	2603956	AMER EXPRESS CO COM	9/10/02	(8 400 00)	291 258 70	(285 751 12)	5 507 58
GMG SENE	2603956	AIR PROD & CHEM INC COM	6/25/02	(4 000 00)	196 689 67	(192 480 00)	4 209 67
GMG SENE	2603956	3M CO COM	12/6/02	(500 00)	62 873 10	(58 768 65)	4 104 45
GMG SENE	2603956	MACROMEDIA INC COM	6/11/02		3 324 91		3 324 91
GMG SENE	2603956	HCA INC COM	11/11/02	(2 260 00)	86 862 06	(84 326 28)	2 535 78
GMG SENE	2603956	SMUCKER J M CO COM NEW	12/9/02	(2 100 00)	26 449 65	(24 682 83)	1 766 82
GMG SENE	2603956	WAL MART STORES INC COM	6/12/02	(240 00)	8 597 67	(6 942 24)	1 655 43
GMG SENE	2603956	UNITED PARCEL SVC INC CL B	1/4/02	(2 420 00)	140 212 69	(138 966 28)	1 246 41
GMG SENE	2603956	COLGATE-PALMOLIVE CO COM	7/24/02	(3 200 00)	193 402 17	(192 285 12)	1 117 05
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL A	4/18/02	(17 250 00)	931 732 40	(930 922 54)	809 86
GMG SENE	2603956	DELL COMPUTER CORP COM	8/21/02	(1 370 00)	22 545 81	(22 019 98)	525 83
GMG SENE	2603956	DELL COMPUTER CORP COM	12/12/02	(9 130 00)	250 967 91	(250 577 31)	390 60
GMG SENE	2603956	NASDAQ-100 INDEX TR CL ACTION SETTLEMENT PROCEEDS	7/16/02		374 57		374 57
GMG SENE	2603956	#REORG AETNA INC CASH MERGER @35 332247 EFF 12/13/00	6/7/02		273 14		273 14
GMG SENE	2603956	#REORG ASCEND COMMUNICATIONS INC EXCH LUCENT TECH 1 65 MG	2/15/02		215 70		215 70
GMG SENE	2603956	DISNEY WALT CO DISNEY COM COM	12/23/02	(180 00)	2 989 70		(2 809 67)
GMG SENE	2603956	#REORG MINN MNG & MFG N/C TO 3M CO 2863512 EFF 4/8/02	4/9/02	(12 750 00)		(1 444 943 04)	
GMG SENE	2603956	3M CO COM	4/9/02	12 750 00		1 444 943 04	
GMG SENE	2603956	ADR TAIWAN SEMICONDUCTOR MFG CO LTD ISINUS8740391003	7/23/02	9 217 00			100 03

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description_long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
GMG SENE	2603956	CITIGROUP INC COM	8/21/02			(70,957.66)	
GMG SENE	2603956	PROCTER & GAMBLE CO COM	6/3/02			(6,942.24)	
GMG SENE	2603956	SMUCKER J M CO COM NEW	6/3/02	240.00		6,942.24	
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL A	8/21/02	1,371.69		22,055.91	
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL B	8/21/02	2,818.22		48,901.75	
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL A	9/11/02	(0.22)	3.74	(4.11)	(0.37)
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL B	9/11/02	(0.69)	10.76	(11.94)	(1.18)
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL A	9/13/02	(1.00)	13.85	(17.31)	(3.46)
GMG SENE	2603956	TRAVELERS PPTY CAS CORP NEW CL B	9/13/02	(1.00)	14.09	(18.70)	(4.61)
GMG SENE	2603956	KELLOGG CO COM	8/21/02	(2,817.00)	48,775.15	(48,925.72)	(150.56)
GMG SENE	2603956	WAL MART STORES INC COM	10/28/02	(8,160.00)	271,630.05	(272,571.24)	(941.19)
GMG SENE	2603956	BK AMER CORP COM	6/11/02	(3,500.00)	200,718.95	(201,839.19)	(1,120.24)
GMG SENE	2603956	DELL COMPUTER CORP COM	12/30/02	(2,900.00)	202,380.84	(204,753.63)	(2,372.79)
GMG SENE	2603956	PEPSICO INC COM	12/16/02	(7,700.00)	209,058.71	(213,549.22)	(4,490.51)
GMG SENE	2603956	DELL COMPUTER CORP COM	6/25/02	(3,500.00)	172,561.25	(177,258.90)	(4,697.65)
GMG SENE	2603956	AIR PROD & CHEM INC COM	12/11/02	(19,970.00)	551,151.38	(557,228.34)	(6,076.96)
GMG SENE	2603956	AIR PROD & CHEM INC COM	11/5/02	(1,630.00)	71,562.83	(77,877.49)	(6,314.66)
GMG SENE	2603956	AIR PROD & CHEM INC COM	11/7/02	(1,870.00)	82,129.04	(89,344.11)	(7,215.07)
GMG SENE	2603956	AIR PROD & CHEM INC COM	11/4/02	(2,580.00)	114,846.00	(123,358.15)	(8,512.15)
GMG SENE	2603956	HCA INC COM	11/12/02	(4,770.00)	179,851.71	(188,906.09)	(9,054.38)
GMG SENE	2603956	ALCOA INC COM STK	11/6/02	(3,520.00)	121,528.29	(132,999.33)	(11,471.04)
GMG SENE	2603956	WASTE MGMT INC DEL COM STK	2/11/02	(2,600.00)	62,486.68	(74,248.46)	(11,761.78)
GMG SENE	2603956	DELL COMPUTER CORP COM	6/28/02	(8,450.00)	222,015.36	(236,036.38)	(14,021.02)
GMG SENE	2603956	MARRIOTT INTL INC NEW COM STK CL A	7/26/02	(2,680.00)	87,333.47	(102,924.60)	(15,591.13)
GMG SENE	2603956	DANAHER CORP COM	11/7/02	(1,130.00)	64,850.10	(81,475.71)	(16,625.61)
GMG SENE	2603956	AIR PROD & CHEM INC COM	11/1/02	(4,860.00)	214,379.31	(233,010.22)	(18,630.91)
GMG SENE	2603956	DELL COMPUTER CORP COM	7/1/02	(8,300.00)	212,353.25	(231,846.39)	(19,493.14)
GMG SENE	2603956	WASTE MGMT INC DEL COM STK	2/12/02	(5,410.00)	129,377.12	(154,493.91)	(25,116.79)
GMG SENE	2603956	ALCOA INC COM STK	11/7/02	(6,120.00)	205,158.90	(231,504.12)	(26,345.22)
GMG SENE	2603956	AMERN INTL GROUP INC COM	1/7/02	(4,790.00)	369,076.41	(395,897.67)	(26,821.26)
GMG SENE	2603956	AIR PROD & CHEM INC COM	11/12/02	(4,140.00)	170,458.12	(197,799.26)	(27,341.14)
GMG SENE	2603956	GOLDMAN SACHS GROUP INC COM	12/18/02	(2,710.00)	198,420.22	(228,034.73)	(29,614.51)
GMG SENE	2603956	AIR PROD & CHEM INC COM	11/6/02	(8,840.00)	392,405.49	(422,353.98)	(29,948.49)
GMG SENE	2603956	GENZYME CORP COM	4/2/02	(1,660.00)	70,656.60	(101,320.26)	(30,663.66)
GMG SENE	2603956	MICROSOFT CORP COM	2/4/02	(11,570.00)	712,370.40	(746,086.08)	(33,715.68)
GMG SENE	2603956	HOME DEPOT INC COM	11/12/02	(2,020.00)	54,052.55	(89,361.86)	(35,309.31)
GMG SENE	2603956	COLGATE-PALMOLIVE CO COM	4/19/02	(9,550.00)	518,719.36	(554,673.32)	(35,953.96)
GMG SENE	2603956	SBC COMMUNICATIONS INC COM	3/15/02	(9,570.00)	372,938.26	(409,242.10)	(36,303.84)
GMG SENE	2603956	DANAHER CORP COM	11/4/02	(3,200.00)	186,923.00	(224,000.00)	(37,077.00)
GMG SENE	2603956	WASTE MGMT INC DEL COM STK	2/8/02	(10,120.00)	251,519.71	(288,741.53)	(37,221.82)
GMG SENE	2603956	DOW CHEM CO COM	1/14/02	(8,440.00)	228,014.14	(265,266.55)	(37,252.41)
GMG SENE	2603956	DANAHER CORP COM	11/5/02	(2,720.00)	155,289.10	(195,278.91)	(39,989.81)
GMG SENE	2603956	ALCOA INC COM STK	11/5/02	(13,110.00)	454,139.31	(495,197.14)	(41,057.83)
GMG SENE	2603956	PEPSICO INC COM	12/27/02	(4,650.00)	193,168.19	(235,501.11)	(42,332.92)
GMG SENE	2603956	HOME DEPOT INC COM	9/17/02	(6,600.00)	220,129.10	(264,462.00)	(44,332.90)
GMG SENE	2603956	MASCO CORP COM	11/21/02	(8,150.00)	158,495.87	(206,215.77)	(47,719.90)
GMG SENE	2603956	WASTE MGMT INC DEL COM STK	2/7/02	(13,640.00)	338,616.09	(387,208.85)	(48,592.76)
GMG SENE	2603956	MASCO CORP COM	11/19/02	(9,390.00)	186,351.13	(234,986.63)	(48,635.50)
GMG SENE	2603956	MARRIOTT INTL INC NEW COM STK CL A	7/29/02	(12,950.00)	441,898.95	(497,340.86)	(55,441.91)
GMG SENE	2603956	AETNA INC	10/14/02	(5,740.00)	212,062.49	(268,323.85)	(56,261.36)
GMG SENE	2603956	PFIZER INC COM	11/20/02	(5,700.00)	186,555.37	(244,606.38)	(58,051.01)
GMG SENE	2603956	ALCOA INC COM STK	11/8/02	(9,480.00)	319,724.31	(379,256.88)	(59,532.57)
GMG SENE	2603956	KELLOGG CO COM	11/1/02	(24,080.00)	782,338.02	(843,032.68)	(60,694.66)
GMG SENE	2603956	DANAHER CORP COM	11/1/02	(5,130.00)	294,953.80	(359,100.00)	(64,146.20)
GMG SENE	2603956	SBC COMMUNICATIONS INC COM	4/25/02	(11,460.00)	165,974.75	(230,296.11)	(64,321.36)
GMG SENE	2603956	WASTE MGMT INC DEL COM STK	3/14/02	(20,920.00)	807,656.77	(873,623.15)	(65,966.38)
GMG SENE	2603956	GENZYME CORP COM	2/6/02	(20,260.00)	502,391.82	(569,751.72)	(67,359.90)
GMG SENE	2603956	MASCO CORP COM	4/4/02	(6,950.00)	284,263.81	(352,813.00)	(68,549.19)
GMG SENE	2603956	CHEVRONTXACO CORP COM	11/20/02	(11,770.00)	226,351.45	(296,681.40)	(70,329.95)
GMG SENE	2603956	GOLDMAN SACHS GROUP INC COM	11/1/02	(5,040.00)	345,220.53	(415,567.02)	(70,346.49)
GMG SENE	2603956	VERIZON COMMUNICATIONS COM	7/3/02	(6,800.00)	475,079.25	(546,018.92)	(70,939.67)
GMG SENE	2603956	MASCO CORP COM	3/15/02	(10,720.00)	513,089.02	(585,651.09)	(72,562.07)
GMG SENE	2603956	MASCO CORP COM	11/26/02	(11,520.00)	218,147.65	(297,170.10)	(79,022.45)
GMG SENE	2603956	CISCO SYS INC COM	4/24/02	(25,490.00)	364,414.42	(445,233.83)	(80,819.41)
GMG SENE	2603956	DANAHER CORP COM	11/6/02	(5,740.00)	328,218.23	(410,558.29)	(82,340.06)
GMG SENE	2603956	MASCO CORP COM	11/22/02	(14,200.00)	272,019.77	(356,587.56)	(84,567.79)
GMG SENE	2603956	AETNA INC	10/11/02	(6,440.00)	239,341.82	(330,475.25)	(91,133.43)
GMG SENE	2603956	ADR NOKIA CORP SPONSORED ADR	6/14/02	(8,540.00)	103,833.87	(198,900.87)	(95,067.00)
GMG SENE	2603956	CISCO SYS INC COM	4/23/02	(29,320.00)	416,061.69	(515,220.99)	(99,159.30)
GMG SENE	2603956	VERIZON COMMUNICATIONS COM	3/14/02	(12,780.00)	607,504.79	(706,828.68)	(99,323.89)
GMG SENE	2603956	MARRIOTT INTL INC NEW COM STK CL A	7/25/02	(15,070.00)	494,978.81	(595,381.27)	(100,402.46)
GMG SENE	2603956	TX INSTRS INC COM	7/24/02	(11,300.00)	259,732.83	(362,294.95)	(102,562.12)
GMG SENE	2603956	KIMBERLY-CLARK CORP COM	10/22/02	(15,750.00)	794,579.30	(897,984.35)	(103,405.05)
GMG SENE	2603956	DOW CHEM CO COM	1/11/02	(29,930.00)	914,147.23	(1,022,838.91)	(108,691.68)
GMG SENE	2603956	KROGER CO COM	1/3/02	(22,620.00)	457,821.91	(567,356.71)	(109,534.80)
GMG SENE	2603956	GENZYME CORP COM	4/3/02	(5,780.00)	237,665.86	(350,580.79)	(112,914.93)
GMG SENE	2603956	ADR TAYWAN SEMICONDUCTOR MFG CO LTD ISINUS8740391003	7/2/02	(23,400.00)	260,485.60	(381,754.62)	(121,269.02)
GMG SENE	2603956	KROGER CO COM	1/2/02	(26,090.00)	534,774.34	(656,586.16)	(121,811.82)
GMG SENE	2603956	HOME DEPOT INC COM	11/11/02	(8,310.00)	218,922.83	(344,634.70)	(125,711.87)
GMG SENE	2603956	SAFEWAY INC COM NEW	6/13/02	(9,860.00)	299,518.05	(428,257.27)	(128,739.22)
GMG SENE	2603956	BAXTER INTL INC COM	8/13/02	(8,560.00)	315,683.26	(446,461.34)	(130,778.06)
GMG SENE	2603956	MORGAN STANLEY	7/11/02	(8,600.00)	342,021.15	(486,434.06)	(144,412.91)
GMG SENE	2603956	SUN MICROSYSTEMS INC COM STK \$ 00067 PAR	5/2/02	(47,880.00)	317,832.16	(462,601.40)	(144,769.24)
GMG SENE	2603956	CHEVRONTXACO CORP COM	10/31/02	(7,160.00)	484,073.01	(632,801.92)	(148,728.91)
GMG SENE	2603956	SUN MICROSYSTEMS INC COM STK \$ 00067 PAR	5/6/02	(30,510.00)	187,874.88	(342,117.01)	(154,242.13)
GMG SENE	2603956	ADR NOKIA CORP SPONSORED ADR	6/13/02	(14,500.00)	177,605.13	(333,979.59)	(156,374.46)

Minam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized Gr/L
GMG SENECA	2603956	BAXTER INTL INC COM	8/14/02	(12 930 00)	454 696 89	(616 488 18)	(161 791 29)
GMG SENECA	2603956	BAXTER INTL INC COM	8/12/02	(10 640 00)	395 461 98	(561 736 67)	(166 274 69)
GMG SENECA	2603956	MICRON TECH INC COM	10/16/02	(5 040 00)	66 537 07	(237 103 34)	(170 566 27)
GMG SENECA	2603956	ADR TAIWAN SEMICONDUCTOR MFG CO LTD ISINUS8740391003	10/8/02	(18 727 00)	99 351 20	(276 604 97)	(177 253 77)
GMG SENECA	2603956	MICROSOFT CORP COM	4/10/02	(15 310 00)	858 846 75	(1 039 621 12)	(180 774 37)
GMG SENECA	2603956	VIACOM COM CL B	7/1/02	(26 710 00)	1 140 915 32	(1 322 855 32)	(181 940 00)
GMG SENECA	2603956	AETNA INC	10/10/02	(13 080 00)	483 853 85	(673 352 07)	(189 498 22)
GMG SENECA	2603956	GENZYME CORP COM	4/1/02	(11 970 00)	509 067 55	(706 998 41)	(197 930 86)
GMG SENECA	2603956	AMERN INTL GROUP INC COM	1/4/02	(11 390 00)	883 455 50	(1 086 441 01)	(202 985 51)
GMG SENECA	2603956	MICRON TECH INC COM	10/16/02	(18 110 00)	247 317 17	(465 251 68)	(217 934 51)
GMG SENECA	2603956	SAFeway INC COM NEW	6/12/02	(21 250 00)	683 379 40	(917 221 96)	(233 842 56)
GMG SENECA	2603956	MORGAN STANLEY	10/7/02	(9 110 00)	274 738 38	(515 280 73)	(240 542 35)
GMG SENECA	2603956	CLEAR CHANNEL COMMUNICATIONS INC COM	11/19/02	(10 400 00)	403 032 57	(657 311 22)	(254 278 65)
GMG SENECA	2603956	SUN MICROSYSTEMS INC COM STK \$ 00067 PAR	5/1/02	(46 570 00)	325 430 61	(613 534 05)	(288 103 44)
GMG SENECA	2603956	EXXON MOBIL CORP COM	8/1/02	(32 000 00)	1 084 636 09	(1 385 210 71)	(300 574 62)
GMG SENECA	2603956	ADR NOKIA CORP SPONSORED ADR	6/12/02	(30 030 00)	376 835 08	(704 886 95)	(328 051 87)
GMG SENECA	2603956	MORGAN STANLEY	10/8/02	(14 530 00)	447 272 22	(786 117 23)	(338 845 01)
GMG SENECA	2603956	KLA TENCOR CORP	7/2/02	(14 300 00)	522 268 44	(921 216 30)	(398 947 86)
GMG SENECA	2603956	INTL BUSINESS MACHS CORP COM	4/8/02	(13 880 00)	1 208 536 84	(1 591 652 60)	(383 115 76)
GMG SENECA	2603956	HOME DEPOT INC COM	11/20/02	(25 710 00)	624 641 59	(1 014 621 87)	(389 980 28)
GMG SENECA	2603956	MICRON TECH INC COM	10/16/02	(25 090 00)	317 822 98	(720 250 98)	(402 428 00)
GMG SENECA	2603956	ADR TAIWAN SEMICONDUCTOR MFG CO LTD ISINUS8740391003	10/7/02	(59 260 00)	318 690 62	(854 187 22)	(535 496 60)
GMG SENECA	2603956	GEN ELEC CO COM	12/23/02	(45 590 00)	1,163,412.59	(1,766,227.84)	(602,815.25)
GMG SENECA - TOTAL					51,345,431.75	(60,195,335.88)	(8,849,904.23)
RCM CAP M	2603954	CF DRESNER RCM FXD INC FD LLC	11/18/02	(463 935 12)	5 000 000 00	(4 716 002 56)	283 997 44
RCM CAP M	2603954	GEN ELEC CO COM	4/9/02	(4 500 00)	163 631 26	(27 405 00)	136 226 26
RCM CAP M	2603954	AMERN INTL GROUP INC COM	7/16/02	(2 100 00)	131 537 72	(18 656 71)	112 881 01
RCM CAP M	2603954	AMERN INTL GROUP INC COM	4/23/02	(1 800 00)	122 526 98	(15 991 47)	106 535 51
RCM CAP M	2603954	PFIZER INC COM	2/27/02	(4 000 00)	164 892 72	(64 040 00)	100 852 72
RCM CAP M	2603954	PFIZER INC COM	4/9/02	(4 500 00)	174 209 09	(76 578 00)	97 631 09
RCM CAP M	2603954	AMGEN INC COM	4/23/02	(1 900 00)	104 013 88	(28 154 93)	75 858 95
RCM CAP M	2603954	ADR NOKIA CORP SPONSORED ADR	4/23/02	(5 800 00)	93 478 67	(20 858 25)	72 620 42
RCM CAP M	2603954	BANK N Y CO INC COM	5/6/02	(4 100 00)	145 972 41	(73 558 10)	72 414 31
RCM CAP M	2603954	BANK N Y CO INC COM	5/6/02	(4 400 00)	154 267 70	(84 306 40)	69 961 30
RCM CAP M	2603954	GEN ELEC CO COM	2/6/02	(2 300 00)	83 646 98	(14 007 00)	69 639 98
RCM CAP M	2603954	GEN ELEC CO COM	5/31/02	(2 500 00)	78 297 38	(15 225 00)	63 072 38
RCM CAP M	2603954	HOME DEPOT INC COM	4/9/02	(2 100 00)	103 610 87	(42 035 70)	61 575 17
RCM CAP M	2603954	AMERN INTL GROUP INC COM	2/21/02	(900 00)	63 895 98	(7 995 73)	55 900 25
RCM CAP M	2603954	SAFeway INC COM NEW	4/9/02	(6 000 00)	267 298 54	(216 540 00)	50 758 54
RCM CAP M	2603954	TYCO INTL LTD NEW COM	1/30/02	(4 900 00)	142 503 08	(91 984 48)	50 518 60
RCM CAP M	2603954	TARGET CORP COM	7/11/02	(4 000 00)	146 505 18	(100 299 00)	46 206 18
RCM CAP M	2603954	CMO COML MTG AST TR 99-C1 A3 6 64	3/14/02	(545 000 00)	563 121 25	(521 446 87)	41 674 38
RCM CAP M	2603954	MARSH & MC LENNAN CO'S INC	4/23/02	(1 100 00)	117 160 77	(77 922 24)	39 238 53
RCM CAP M	2603954	ELI LILLY & CO COM	6/17/02	(1 800 00)	111 586 19	(74 291 50)	37 294 69
RCM CAP M	2603954	ADR NOKIA CORP SPONSORED ADR	3/25/02	(2 000 00)	41 653 37	(7 192 50)	34 460 87
RCM CAP M	2603954	CISCO SYS INC COM	6/14/02	(5 800 00)	83 298 82	(53 978 02)	29 320 80
RCM CAP M	2603954	FNMA TBA POOL #9999999 6 5 DUE 02-01 2030 REG	2/6/02	(970 000 00)	980 874 61	(952 078 13)	28 796 48
RCM CAP M	2603954	PFIZER INC COM	9/26/02	(2 000 00)	59 784 79	(32 185 88)	27 598 91
RCM CAP M	2603954	ADR NOKIA CORP SPONSORED ADR	6/11/02	(2 700 00)	33 704 16	(9 709 87)	23 994 29
RCM CAP M	2603954	COLGATE-PALMOLIVE CO COM	6/17/02	(800 00)	42 463 04	(18 531 00)	23 932 04
RCM CAP M	2603954	CMO CHASE COML MTG SECS CORP 2000-2 7 631 DUE 07 15-2032 BEO	3/14/02	(290 000 00)	312 315 50	(289 989 56)	22 325 94
RCM CAP M	2603954	CITIGROUP INC COM	6/8/02	(2 400 00)	81 072 67	(59 869 05)	21 203 62
RCM CAP M	2603954	BK ONE CORP 7 625% SNR NTS 1/8/2005 USD	3/14/02	(270 000 00)	292 516 65	(271 903 51)	20 613 14
RCM CAP M	2603954	CISCO SYS INC COM	5/1/02	(4 100 00)	56 694 31	(36 709 82)	19 984 49
RCM CAP M	2603954	CISCO SYS INC COM	4/9/02	(2 700 00)	40 557 09	(21 921 84)	18 635 25
RCM CAP M	2603954	QUE PROV CDA 8 625 DUE 01-19-2005 REG	3/14/02	(545 000 00)	600 904 47	(582 667 34)	18 237 13
RCM CAP M	2603954	INTEL CORP CAP	5/1/02	(1 700 00)	48 751 98	(32 462 96)	16 289 02
RCM CAP M	2603954	WALGREEN CO COM	1/25/02	(1 400 00)	50 662 71	(35 997 36)	14 665 35
RCM CAP M	2603954	MAY DEPT STORES CO NT 8 DUE 07 15-2012/07 14-2012 BEO	3/14/02	(175 000 00)	191 119 43	(176 457 92)	14 661 51
RCM CAP M	2603954	ELECTR ARTS COM	6/27/02	(950 00)	62 760 33	(49 722 62)	13 037 71
RCM CAP M	2603954	TYCO INTL LTD NEW COM	2/6/02	(6 200 00)	158 251 38	(145 617 04)	12 634 34
RCM CAP M	2603954	TIME WARNER ENTMT CO LP SR 10 15 NT DUE 5-1 2012 REG	3/14/02	(160 000 00)	195 212 32	(182 927 14)	12 285 18
RCM CAP M	2603954	JOHNSON & JOHNSON COM	6/17/02	(1 600 00)	91 275 81	(79 280 16)	11 995 65
RCM CAP M	2603954	TRANSAMERICA CAP III 7 625 DUE 11 15-2037 REG	3/14/02	(175 000 00)	170 380 53	(158 814 40)	11 566 13
RCM CAP M	2603954	BOEING CO 6 625 DEB DUE 02-15-2038	3/14/02	(165 000 00)	150 510 20	(139 497 93)	11 012 27
RCM CAP M	2603954	CMO CHASE COML MTG SECS CORP 1999-2 PASSTHRU CTF CL A-2 7 1	3/14/02	(160 000 00)	168 982 40	(158 593 75)	10 388 65
RCM CAP M	2603954	GE GLOBAL INS HLDG CORP 7 DUE 02-15-2026REG	3/14/02	(110 000 00)	110 892 54	(100 697 28)	10 195 26
RCM CAP M	2603954	FNMA COM STK	4/23/02	(2 100 00)	168 947 47	(160 270 32)	8 677 15
RCM CAP M	2603954	FNMA 30 YR PASS-THROUGHS 6 5 30 YEARS SETTLES JAN	1/7/02	(970 000 00)	972 235 55	(964 240 63)	7 994 92
RCM CAP M	2603954	FNMA 30 YR PASS-THROUGHS 6 30 YEARS SETTLES JAN	1/7/02	(750 000 00)	735 615 23	(727 734 38)	7 880 85
RCM CAP M	2603954	NIKE INC CL B	4/23/02	(500 00)	28 662 48	(20 849 60)	7 812 88
RCM CAP M	2603954	TYCO INTL LTD NEW COM	3/20/02	(2 000 00)	68 966 16	(62 434 80)	6 531 36
RCM CAP M	2603954	ANHEUSER BUSCH COS INC COM	3/14/02	(205 000 00)	210 970 42	(204 745 80)	6 224 62
RCM CAP M	2603954	FNMA 30 YR PASS-THROUGHS 6 30 YEARS SETTLES FEB	3/5/02	(500 00)	24 719 97	(18 613 05)	6 106 92
RCM CAP M	2603954	CSX CORP 7 9 DUE 05-01 2017 REG	2/6/02	(450 000 00)	445 060 55	(439 453 13)	5 607 42
RCM CAP M	2603954	UNITED PARCEL SVC INC CL B	3/14/02	(200 000 00)	212 201 60	(206 973 83)	5 227 77
RCM CAP M	2603954	UNITED PARCEL SVC INC CL B	7/22/02	(800 00)	52 161 86	(47 114 40)	5 047 46
RCM CAP M	2603954	PITNEY BOWES INC 5 87500 04/30/01 05/01/06 5 875 DUE 05-01 2006 BE	3/14/02	(270 000 00)	274 489 83	(269 746 20)	4 743 63
RCM CAP M	2603954	FNMA 30 YR PASS-THROUGHS 6 30 YEARS SETTLES JAN	1/7/02	(450 000 00)	441 369 14	(436 640 63)	4 728 51
RCM CAP M	2603954	UNITED PARCEL SVC INC CL B	7/19/02	(700 00)	45 500 72	(41 234 94)	4 265 78
RCM CAP M	2603954	FNMA PREASSIGN 00052 6 25 02-01 2011	1/10/02	(405 000 00)	414 671 40	(410 610 25)	4 061 15
RCM CAP M	2603954	UNITED PARCEL SVC INC CL B	7/19/02	(1 000 00)	62 899 60	(58 881 45)	4 018 15
RCM CAP M	2603954	FNMA TBA POOL #9999999 6 5 DUE 03-01 2030 REG	2/26/02	(970 000 00)	980 609 38	(976 971 88)	3 637 50
RCM CAP M	2603954	ELI LILLY & CO COM	7/15/02	(900 00)	45 993 65	(42 405 30)	3 588 35
RCM CAP M	2603954	MORGAN STANLEY GLOBAL NT DTD 04/25/2001 6 1 DUE 04-15-2006 BEO	3/14/02	(170 000 00)	174 580 82	(171 099 82)	3 481 00
RCM CAP M	2603954	FNMA TBA POOL #9999999 6 DUE 03-01 2030 REG	2/26/02	(750 000 00)	742 236 33	(739 218 75)	3 017 58

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized G/L
RCM CAP M	2603954	CITIGROUP INC SUB NT 7 25 DUE 10-01 2010BEO	3/14/02	(320 000 00)	338 533 44	(335 600 76)	2 932 68
RCM CAP M	2603954	ANHEUSER BUSCH COS INC DEB 6 8 DUE 05-20-203208-19-2032 BEO	3/14/02	(125 000 00)	127 423 75	(124 836 25)	2 587 50
RCM CAP M	2603954	AMRESO RESDNTL SECURITES CORP MTG LN TRSER 97-3 CL A7 7 14 P/	3/14/02	(400 000 00)	412 420 00	(409 857 61)	2 562 39
RCM CAP M	2603954	FNMA TBA POOL #9999999 6 DUE 03-01 2030 REG	2/26/02	(450 000 00)	445 341 80	(443 250 00)	2 091 80
RCM CAP M	2603954	TYCO INTL LTD NEW COM	2/6/02	(400 00)	10 099 40	(8 021 28)	2 078 12
RCM CAP M	2603954	TYCO INTL LTD NEW COM	2/14/02	(1 300 00)	35 023 29	(32 987 50)	2 035 79
RCM CAP M	2603954	ELI LILLY & CO COM	7/15/02	(300 00)	14 726 79	(13 017 70)	1 709 09
RCM CAP M	2603954	MORGAN ST CAP I INC SER 1998-WF1 CL B 6 67 MTG PASSTHRU CTF DU	3/14/02	(65 000 00)	67 065 70	(65 897 92)	1 167 78
RCM CAP M	2603954	TYCO INTL LTD NEW COM	3/22/02	(400 00)	13 608 55	(12 486 96)	1 121 59
RCM CAP M	2603954	ELI LILLY & CO COM	7/15/02	(100 00)	5 008 70	(4 153 00)	855 70
RCM CAP M	2603954	TYCO INTL LTD NEW COM	2/19/02	(1 000 00)	27 963 97	(27 127 72)	836 25
RCM CAP M	2603954	US TREAS BDS 6 125 BDS 15/8/2029 USD10006 125 DUE 08-15-2029 BEO	6/15/01	115 000 00	(120 642 19)	121 469 73	827 54
RCM CAP M	2603954	SMUCKER J M CO COM NEW	6/4/02	(84 00)	3 026 43	(2 346 16)	680 27
RCM CAP M	2603954	UNITED PARCEL SVC INC CL B	10/8/02	(600 00)	35 968 91	(35 335 80)	633 11
RCM CAP M	2603954	US TREAS BDS DTD 02/15/1997 6 625 DUE 02 15-2027 REG	12/28/01	(35 000 00)	38 614 84	(38 064 37)	550 47
RCM CAP M	2603954	FHLMC REFERENCE NT 5 5 09-15-2011	1/10/02	(310 000 00)	304 199 90	(303 849 60)	350 30
RCM CAP M	2603954	TRAVELERS PPTY CAS CORP NEW CL A	9/26/02	(457 00)	6 042 72	(5 699 51)	343 21
RCM CAP M	2603954	US TREAS BILLS 01 17-2002 DTD 07/19/2001	1/10/02	(2 345 000 00)	2 336 192 57	(2 335 958 40)	234 17
RCM CAP M	2603954	WELLCHOICE INC COM COM	11/8/02	(55 00)	1 564 70	(1 375 00)	189 70
RCM CAP M	2603954	US TREAS BILLS 02 21-2002 PREASSIGN # 32	1/10/02	(1 000 000 00)	997 059 11	(996 895 56)	73 55
RCM CAP M	2603954	MONSANTO CO NEW COM	8/21/02	(145 00)	2 291 90	(2 233 68)	58 22
RCM CAP M	2603954	NASDAQ-100 INDEX TR CL ACTION SETTLEMENTPROCEEDS	7/16/02		23 70		23 70
RCM CAP M	2603954	REORG ASCEND COMMUNICATIONS INC EXCH LUCENT TECH 1 65 MG	2/15/02		18 54		18 54
RCM CAP M	2603954	TRAVELERS PPTY CAS CORP NEW CL B	9/11/02	(0 92)	15 65	(11 40)	4 25
RCM CAP M	2603954	TRAVELERS PPTY CAS CORP NEW CL A	9/11/02	(0 97)	15 13	(11 13)	4 00
RCM CAP M	2603954	MONSANTO CO NEW COM	8/28/02	(0 98)	15 38	(15 10)	0 28
RCM CAP M	2603954	CITICORP CPN 02-07 2002	2/7/02	(900 000 00)	899 109 00	(899 109 00)	
RCM CAP M	2603954	CITICORP DISC CPN 01 16-2002	11/6/02	(900 000 00)	898 539 75	(898 539 75)	
RCM CAP M	2603954	US TREAS BILLS 03-14-2002 PREASSIGN # 34	3/14/02	(4 055 000 00)	4 050 119 33	(4 050 119 33)	
RCM CAP M	2603954	US TREAS BILLS 07-11 2002 U S GOVT & AGYISSUES	2/12/02	(3 345 000 00)	3 316 409 54	(3 316 409 54)	
RCM CAP M	2603954	REORG AMERN HOME PRODUCTS INC TO WYETH 2946508 EFF 3/11/02	3/11/02	(11 500 00)		(664 769 55)	
RCM CAP M	2603954	REORG WEATHERFORD INTL INC NC TO WEATHERFORD INTL BE	6/27/02	(3 300 00)		(159 755 31)	
RCM CAP M	2603954	REORG WEATHERFORD INTL INC NC TO WEATHERFORD INTL BE	10/16/02	3 300 00		159 755 31	
RCM CAP M	2603954	REORG WEATHERFORD INTL INC NC TO WEATHERFORD INTL BE	10/16/02	(3 300 00)		(159 755 31)	
RCM CAP M	2603954	MFO RCM CAP FDS INC INTL GROWTH EQTY FD CL 12 729496	2/8/02	(153 910 95)		(2 379 576 77)	
RCM CAP M	2603954	ADR TAIWAN SEMICONDUCTOR MFG CO LTD ISINUS8740391003	7/23/02	750 00			
RCM CAP M	2603954	ADR TEVA PHARMACEUTICAL INDS LTD ADR ISIN #US8816242098	12/6/02	4 400 00			
RCM CAP M	2603954	CITIGROUP INC COM	8/21/02			(18 377 70)	
RCM CAP M	2603954	MARSH & MC LENNAN CO S INC	7/11/02	3 600 00			
RCM CAP M	2603954	MFO PIMCO FDS MULTI MANAGER SER RCM INTLGROWTH EQTY FD	2/8/02	153 910 95		2 379 576 77	
RCM CAP M	2603954	MONSANTO CO NEW COM	8/14/02	3 445 98			
RCM CAP M	2603954	PHARMACIA CORP COM	8/14/02			(60 469 89)	
RCM CAP M	2603954	PROCTER & GAMBLE CO COM	6/26/02			(2 346 16)	
RCM CAP M	2603954	SMUCKER J M CO COM NEW	6/3/02	84 00		2 346 16	
RCM CAP M	2603954	TRAVELERS PPTY CAS CORP NEW CL A	8/21/02	457 97		5 712 38	
RCM CAP M	2603954	TRAVELERS PPTY CAS CORP NEW CL B	8/21/02	940 92		12 665 32	
RCM CAP M	2603954	WEATHERFORD INTL LTD	6/27/02	3 300 00		159 755 31	
RCM CAP M	2603954	WEATHERFORD INTL LTD	10/16/02	3 300 00		159 755 31	
RCM CAP M	2603954	WEATHERFORD INTL LTD	10/16/02	(3 300 00)		(159 755 31)	
RCM CAP M	2603954	WYETH COM	3/11/02	11 500 00		664 769 55	
RCM CAP M	2603954	WATERS CORP COM	3/28/02	(100 00)	2 795 71	(2 855 07)	(59 36)
RCM CAP M	2603954	CF DRESNER RCM FXD INC FD LLC	3/20/02	(36 411 76)	370 000 00	(370 133 55)	(133 55)
RCM CAP M	2603954	TRAVELERS PPTY CAS CORP NEW CL B	9/26/02	(940 00)	12 531 23	(12 666 04)	(134 81)
RCM CAP M	2603954	US TREAS BILLS 05-09-2002 PREASSIGN # 42	3/14/02	(4 055 000 00)	4 043 835 23	(4 044 024 47)	(189 24)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	12/5/02	(675 00)	32 585 33	(32 812 16)	(226 83)
RCM CAP M	2603954	US TREAS BDS DTD 02/15/1997 6 625 DUE 02 15-2027 REG	12/28/01	35 000 00	(38 614 84)	38 104 04	(510 80)
RCM CAP M	2603954	GENENTECH INC COM STK	8/8/02	(600 00)	20 698 11	(21 525 00)	(826 89)
RCM CAP M	2603954	US TREAS BDS 6 125 BDS 15/8/2029 USD10006 125 DUE 08-15-2029 BEO	6/15/01	(115 000 00)	120 742 59	(121 469 73)	(827 54)
RCM CAP M	2603954	CIT GROUP INC NT DTD 09/29/1999 7 125 DUE 10-15-2004 BEO	3/14/02	(250 000 00)	247 804 10	(248 715 00)	(1 010 50)
RCM CAP M	2603954	GENENTECH INC COM STK	8/9/02	(1 000 00)	34 650 75	(35 875 00)	(1 224 25)
RCM CAP M	2603954	WATERS CORP COM	4/1/02	(700 00)	19 290 57	(20 576 33)	(1 285 76)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	4/30/02	(100 00)	4 809 48	(6 209 30)	(1 399 82)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	2/6/02	(1 900 00)	90 437 50	(92 235 22)	(1 797 72)
RCM CAP M	2603954	GENENTECH INC COM STK	8/8/02	(1 400 00)	48 410 26	(50 225 00)	(1 814 74)
RCM CAP M	2603954	FNMA PREASSIGN 00125 3 5 09-15-2004	3/14/02	(460 000 00)	452 810 20	(454 915 96)	(2 105 76)
RCM CAP M	2603954	ELI LILLY & CO COM	7/17/02	(1 300 00)	66 246 00	(68 360 00)	(2 114 00)
RCM CAP M	2603954	BK AMER CORP SUB NT 7 4 DUE 01 15-2011 BEO	3/14/02	(360 000 00)	382 817 52	(385 004 08)	(2 186 56)
RCM CAP M	2603954	U S BK NATL ASSN MINNEAPOLIS MINN SUB NTACCD INVS 5 7 DUE 12 15-	3/14/02	(255 000 00)	246 419 76	(248 777 58)	(2 357 82)
RCM CAP M	2603954	FNMA PREASSIGN 00102 5 25 06-15-2006	3/14/02	(370 000 00)	372 660 30	(375 094 21)	(2 433 91)
RCM CAP M	2603954	EOP OPER LTD PARTNERSHIP GTO NT 7 DUE 07 15-2011 BEO	3/14/02	(200 000 00)	200 019 80	(202 455 39)	(2 435 59)
RCM CAP M	2603954	WATERS CORP COM	4/3/02	(300 00)	7 857 06	(10 337 73)	(2 480 67)
RCM CAP M	2603954	PHILLIPS PETE CO NT 8 75 DUE 05-25-2010 BEO	3/14/02	(240 000 00)	275 720 16	(279 011 35)	(3 291 19)
RCM CAP M	2603954	SPRINT CAP CORP NT 8 125 DUE 11 15-2008 BEO	2/27/02	(300 000 00)	271 080 00	(274 965 90)	(3 885 90)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	4/29/02	(300 00)	14 673 36	(18 627 90)	(3 954 54)
RCM CAP M	2603954	GEN MTRS ACCEP CORP NT DTD 09/12/2001 6 875 DUE 09-15-2011 BEO	3/14/02	(175 000 00)	169 482 06	(173 855 50)	(4 373 42)
RCM CAP M	2603954	WATERS CORP COM	4/2/02	(600 00)	16 207 19	(20 675 46)	(4 468 27)
RCM CAP M	2603954	MERCK & CO INC COM	4/10/02	(200 00)	10 599 12	(15 374 22)	(4 775 10)
RCM CAP M	2603954	MONSANTO CO NEW COM	8/14/02	(3 300 00)	53 068 00	(58 221 11)	(5 153 11)
RCM CAP M	2603954	AT&T CORP NTS 6 5 DUE 03-15-2029 BEO	3/14/02	(345 000 00)	285 002 40	(290 275 30)	(5 272 90)
RCM CAP M	2603954	FHLMC DEB DTD 12/17/2001 3 25 12 15-2003	3/14/02	(1 325 000 00)	1 316 506 75	(1 321 793 57)	(5 286 82)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	4/29/02	(400 00)	19 475 97	(24 837 20)	(5 361 23)
RCM CAP M	2603954	COCA COLA CO COM	7/11/02	(500 00)	26 646 64	(32 624 85)	(5 978 21)
RCM CAP M	2603954	TARGET CORP TARGET CORP 5 4 DUE 10-01 200809-30-2008 BEO	3/14/02	(275 000 00)	269 018 75	(275 177 78)	(6 159 03)
RCM CAP M	2603954	REORG/COMCAST CORP CL A COM TK MERGER TO AT&T COMCAST C	5/3/02	(600 00)	17 537 65	(23 922 69)	(6 385 04)
RCM CAP M	2603954	NEXTEL COMMUNICATIONS INC CL A COM STK	2/15/02	(3 400 00)	16 333 35	(22 939 15)	(6 605 80)
RCM CAP M	2603954	FNMA TBA POOL #9999999 6 5 DUE 02-01 2030 REG	2/6/02	(750 000 00)	742 207 04	(748 828 13)	(6 621 09)

Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Per value	Proceed	Book Cost	Realized G/L
RCM CAP M	2603954	XCEL ENERGY INC SR NT 7 DUE 12-01 2010 BEO	2/20/02	(250 000 00)	242 577 50	(249 290 00)	(6 712 50)
RCM CAP M	2603954	MEDIMMUNE INC COM	4/2/02	(700 00)	26 687 18	(33 823 51)	(7 136 33)
RCM CAP M	2603954	WEATHERFORD INTL LTD	1/22/02	(900 00)	36 173 14	(43 569 63)	(7 396 49)
RCM CAP M	2603954	WAL MART STORES INC 7 25 DUE 06-01 2013 REG	3/14/02	(165 000 00)	178 345 70	(185 885 76)	(7 540 06)
RCM CAP M	2603954	COCA COLA CO COM	7/11/02	(600 00)	31 602 48	(39 149 82)	(7 547 34)
RCM CAP M	2603954	CIT GROUP INC SR NT 6 5 DUE 02-07 2006 BEO	2/7/02	(175 000 00)	167 125 00	(174 683 25)	(7 558 25)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	4/26/02	(600 00)	29 412 67	(37 255 80)	(7 843 13)
RCM CAP M	2603954	ROHM & HAAS CO DEB DTD 07/06/1999 7 85 DUE 07 15-2029 BEO	3/14/02	(190 000 00)	206 592 70	(214 859 10)	(8 266 40)
RCM CAP M	2603954	##FNMA TBA POOL #9999999 6 DUE 04-01 2030 REG	3/14/02	(450 000 00)	435 514 50	(443 812 50)	(8 298 00)
RCM CAP M	2603954	US TREAS BDS 7 5 11/15/2016 USD1000 7 5 DUE 11 15-2016 REG	3/14/02	(365 000 00)	418 538 20	(427 126 90)	(8 588 70)
RCM CAP M	2603954	INTL BUSINESS MACHS CORP COM	3/25/02	(700 00)	72 707 55	(81 954 04)	(9 246 49)
RCM CAP M	2603954	WEATHERFORD INTL LTD	11/26/02	(1 000 00)	39 080 42	(48 410 70)	(9 330 28)
RCM CAP M	2603954	#REORG/COMCAST CORP CL A COM TK MERGER TO AT&T COMCAST C	5/6/02	(900 00)	25 566 29	(36 088 74)	(9 522 45)
RCM CAP M	2603954	INTL BUSINESS MACHS CORP COM	3/7/02	(800 00)	83 946 34	(93 661 76)	(9 715 42)
RCM CAP M	2603954	NIKE INC CL B	12/11/02	(3 000 00)	129 413 10	(139 508 64)	(10 095 54)
RCM CAP M	2603954	HYDRO-QUEBEC 8 05 DEB DUE 07-07 2024 REGPUTABLE 7-7-06 @100	3/14/02	(300 000 00)	343 631 70	(353 973 20)	(10 341 50)
RCM CAP M	2603954	HONEYWELL INTL INC NT DTD 10/31/2001 6 125 DUE 11 01 2011 BEO	3/14/02	(260 000 00)	249 200 64	(259 654 20)	(10 453 56)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	2/7/02	(1 325 00)	62 901 44	(73 774 10)	(10 872 66)
RCM CAP M	2603954	WEATHERFORD INTL LTD	11/25/02	(1 400 00)	56 056 97	(67 774 93)	(11 718 01)
RCM CAP M	2603954	WATERS CORP COM	4/3/02	(1 500 00)	39 792 15	(51 688 65)	(11 896 50)
RCM CAP M	2603954	GTE CORP 6 94 DUE 04 15-2028 REG	3/14/02	(165 000 00)	160 632 78	(173 345 56)	(12 712 78)
RCM CAP M	2603954	KRAFT FOODS INC KRAFT FOODS INC 5 62500 01-11 2011 5 625 DUE 11-0	3/14/02	(290 000 00)	276 923 61	(289 846 30)	(12 922 69)
RCM CAP M	2603954	FNMA 30 YR PASS-THROUGHS 6 5 30 YEARS SETTLES APR	3/14/02	(970 000 00)	965 627 10	(976 959 80)	(13 332 70)
RCM CAP M	2603954	PNC FDG CORP SR NT 5 75 DUE 08-01 2006 BEO	3/14/02	(420 000 00)	418 530 42	(432 142 03)	(13 611 61)
RCM CAP M	2603954	##FNMA TBA POOL #9999999 6 DUE 04-01 2030 REG	3/14/02	(750 000 00)	725 857 50	(739 687 50)	(13 830 00)
RCM CAP M	2603954	SPRINT CAP CORP NT 6 125 DUE 11 15-2008 BEO	2/20/02	(180 000 00)	149 902 20	(164 111 54)	(14 209 34)
RCM CAP M	2603954	WORLDCOM INCWORLDCO	2/20/02	(1 500 00)	9 485 40	(23 976 22)	(14 490 82)
RCM CAP M	2603954	#REORG/COMCAST CORP CL A COM TK MERGER TO AT&T COMCAST C	5/2/02	(1 600 00)	47 215 05	(61 974 24)	(14 759 19)
RCM CAP M	2603954	COCA COLA ENTERPRISES INC NT 7 125 DUE 09-30-2009 BEO	3/14/02	(345 000 00)	362 609 79	(377 708 87)	(15 099 08)
RCM CAP M	2603954	INTL BUSINESS MACHS CORP COM	4/23/02	(1 100 00)	97 090 22	(112 781 67)	(15 691 45)
RCM CAP M	2603954	FNMA NT 5 25 01 15-2009	1/10/02	(375 000 00)	374 944 50	(390 735 62)	(15 791 12)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	4/30/02	(1 900 00)	91 498 96	(107 398 69)	(15 899 73)
RCM CAP M	2603954	MERCK & CO INC COM	4/10/02	(700 00)	37 221 16	(53 809 77)	(16 588 61)
RCM CAP M	2603954	FNMA 5 01 15-2007	3/14/02	(640 000 00)	633 600 00	(650 232 20)	(16 632 20)
RCM CAP M	2603954	WATERS CORP COM	3/27/02	(1 200 00)	33 622 53	(50 923 56)	(17 301 03)
RCM CAP M	2603954	JOHNSON & JOHNSON COM	7/19/02	(2 400 00)	101 457 42	(118 920 24)	(17 462 82)
RCM CAP M	2603954	GLAXO SMITHKLINE SPONS ADR	4/30/02	(1 800 00)	86 742 62	(104 485 84)	(17 743 22)
RCM CAP M	2603954	NEXTEL COMMUNICATIONS INC CL A COM STK	2/19/02	(5 100 00)	19 025 77	(37 791 10)	(18 765 33)
RCM CAP M	2603954	NIKE INC CL B	12/12/02	(1 200 00)	51 674 40	(71 584 84)	(19 910 44)
RCM CAP M	2603954	INTL BUSINESS MACHS CORP COM	4/9/02	(700 00)	61 327 88	(81 954 04)	(20 626 16)
RCM CAP M	2603954	FORD MTR CO DEL GLOBAL LANDMARK SECS-GLOBL 7 45 DUE 07	3/14/02	(225 000 00)	205 663 50	(226 573 16)	(20 909 66)
RCM CAP M	2603954	FHLMC INT PMT ON 0 DEB 5 75 01 15-2012	3/14/02	(645 000 00)	627 868 80	(649 323 82)	(21 455 02)
RCM CAP M	2603954	SCHLUMBERGER LTD COM STK	4/30/02	(900 00)	49 602 45	(74 662 29)	(25 059 84)
RCM CAP M	2603954	MERCK & CO INC COM	3/25/02	(1 300 00)	75 162 92	(100 800 31)	(25 637 39)
RCM CAP M	2603954	MERCK & CO INC COM	1/30/02	(1 400 00)	81 140 40	(107 621 70)	(26 481 30)
RCM CAP M	2603954	AOL TIME WARNER INC COM	4/11/02	(1 300 00)	25 681 63	(53 625 34)	(27 943 71)
RCM CAP M	2603954	CMO BANC AMER COML MTG INC 2001 3 MTG PASSTHRU CTF CL A-2 5 4	3/14/02	(500 000 00)	473 870 00	(502 513 80)	(28 643 80)
RCM CAP M	2603954	NATL RURAL UTILS COOP FIN CORP COLL TR BD DTD 05/23/2001 6 DUE	3/14/02	(490 000 00)	488 179 65	(517 556 06)	(29 376 41)
RCM CAP M	2603954	MERCK & CO INC COM	4/9/02	(1 800 00)	96 916 82	(129 876 42)	(32 959 60)
RCM CAP M	2603954	MERCK & CO INC COM	4/23/02	(1 700 00)	94 246 69	(130 680 87)	(36 434 18)
RCM CAP M	2603954	MERCK & CO INC COM	3/15/02	(2 100 00)	126 373 16	(162 831 27)	(36 458 11)
RCM CAP M	2603954	ELI LILLY & CO COM	11/6/02	(2 325 00)	138 993 38	(176 442 19)	(37 448 81)
RCM CAP M	2603954	WORLDCOM INCWORLDCO	2/6/02	(2 500 00)	16 550 00	(55 110 11)	(38 559 61)
RCM CAP M	2603954	TYCO INTL GROUP SA NT 6 125 DUE 11-01-2008 BEO	2/28/02	(305 000 00)	273 127 50	(311 992 75)	(38 865 25)
RCM CAP M	2603954	US TREAS BDS DTD 08/15/1993 6 25 DUE 08-15-2023 REG	3/14/02	(965 000 00)	986 713 47	(1 027 968 57)	(41 255 10)
RCM CAP M	2603954	US TREAS NTS DTD 02/15/2000 6 5 DUE 02-15-2010 BEO	3/14/02	(1 595 000 00)	1 710 326 48	(1 752 121 29)	(41 794 81)
RCM CAP M	2603954	BRISTOL MYERS SQUIBB CO COM	4/4/02	(1 600 00)	50 059 13	(92 471 80)	(42 412 67)
RCM CAP M	2603954	WORLDCOM INCWORLDCO	2/19/02	(4 500 00)	23 452 05	(71 928 68)	(42 476 63)
RCM CAP M	2603954	SCHLUMBERGER LTD COM STK	4/23/02	(1 500 00)	81 901 73	(124 437 15)	(42 535 42)
RCM CAP M	2603954	#REORG/COMCAST CORP CL A COM TK MERGER TO AT&T COMCAST C	6/28/02	(3 100 00)	72 604 76	(115 163 74)	(42 558 98)
RCM CAP M	2603954	SIEBEL SYS INC COM	2/6/02	(1 000 00)	33 632 29	(78 603 20)	(44 970 91)
RCM CAP M	2603954	WORLDCOM INCWORLDCO	2/15/02	(4 000 00)	26 591 59	(73 026 45)	(46 434 86)
RCM CAP M	2603954	MILLENNIUM PHARMACEUTICALS INC COM	2/6/02	(1 600 00)	28 369 01	(75 759 44)	(47 390 43)
RCM CAP M	2603954	WORLDCOM INC GA NEW 8 25 5/16/01 5/15/31 8 25 5-15-2031 BEO BD I	3/14/02	(250 000 00)	208 840 00	(256 722 27)	(47 882 27)
RCM CAP M	2603954	HUMAN GENOME SCIENCES INC	2/27/02	(1 700 00)	37 047 88	(84 966 51)	(47 918 63)
RCM CAP M	2603954	ADR TAIWAN SEMICONDUCTOR MFG CO LTD ISINUS8740391003	7/31/02	(8 250 00)	73 136 51	(124 166 85)	(51 030 34)
RCM CAP M	2603954	SIEBEL SYS INC COM	1/7/02	(1 200 00)	39 703 20	(94 323 84)	(54 620 64)
RCM CAP M	2603954	AOL TIME WARNER INC COM	4/9/02	(2 900 00)	63 104 41	(120 703 91)	(57 599 50)
RCM CAP M	2603954	AOL TIME WARNER INC COM	3/22/02	(2 900 00)	71 741 15	(132 673 36)	(60 932 21)
RCM CAP M	2603954	TYCO INTL LTD NEW COM	4/19/02	(8 300 00)	247 923 48	(310 031 82)	(62 108 34)
RCM CAP M	2603954	MILLENNIUM PHARMACEUTICALS INC COM	2/7/02	(1 700 00)	29 098 97	(93 814 68)	(64 715 71)
RCM CAP M	2603954	HUMAN GENOME SCIENCES INC	2/28/02	(2 300 00)	48 614 13	(114 954 69)	(66 340 56)
RCM CAP M	2603954	MILLENNIUM PHARMACEUTICALS INC COM	2/8/02	(1 900 00)	33 732 47	(101 804 80)	(68 072 33)
RCM CAP M	2603954	US TREAS BDS DTD 02/15/1997 6 625 DUE 02 15-2027 REG	1/24/02	(775 000 00)	866 183 59	(938 282 56)	(72 098 97)
RCM CAP M	2603954	ELI LILLY & CO COM	7/31/02	(2 675 00)	152 822 69	(225 248 84)	(72 426 15)
RCM CAP M	2603954	WATERS CORP COM	3/25/02	(1 800 00)	52 199 57	(127 265 86)	(75 066 29)
RCM CAP M	2603954	AOL TIME WARNER INC COM	4/11/02	(2 300 00)	44 768 63	(120 843 24)	(76 074 61)
RCM CAP M	2603954	ELI LILLY & CO COM	7/17/02	(2 500 00)	129 291 85	(212 209 00)	(82 917 15)
RCM CAP M	2603954	MERCK & CO INC COM	6/21/02	(2 800 00)	140 644 24	(226 066 00)	(85 421 76)
RCM CAP M	2603954	CHECK PT SOFTWARE TECHNOLOGIES ORDILS 01	4/9/02	(3 000 00)	64 018 86	(156 198 20)	(92 179 34)
RCM CAP M	2603954	WATERS CORP COM	3/26/02	(2 800 00)	79 010 33	(172 932 28)	(93 912 95)
RCM CAP M	2603954	NVIDIA CORP COM	7/31/02	(4 400 00)	49 952 57	(156 565 70)	(106 613 13)
RCM CAP M	2603954	AOL TIME WARNER INC COM	4/23/02	(3 800 00)	72 576 28	(186 719 21)	(114 142 93)
RCM CAP M	2603954	BRISTOL MYERS SQUIBB CO COM	4/4/02	(4 800 00)	152 722 75	(272 966 21)	(120 243 46)
RCM CAP M	2603954	ELECTR DATA SYS CORP NEW COM	9/23/02	(2 600 00)	44 005 23	(173 786 36)	(129 781 13)
RCM CAP M	2603954	VERITAS SOFTWARE CORP COM	11/15/02	(1 352 00)	21 670 55	(152 934 25)	(131 263 70)

**Miriam and Peter Haas Fund
Northern Trust - Realized Gains and Losses
For the Year 2002**

Advisor	Account Number	Asset description - long	Recognition Date	Shares/ Par value	Proceed	Book Cost	Realized Gr.
RCM CAP M	2603954	VERITAS SOFTWARE CORP COM	11/15/02	(1 348 00)	22 709 74	(154 747 00)	(132 037 26)
RCM CAP M	2603954	SIEBEL SYS INC COM	4/30/02	(4 300 00)	103 991 07	(236 586 44)	(132 595 37)
RCM CAP M	2603954	US TREAS BDS DTD 02/15/1997 6 625 DUE 02-15-2027 REG	3/14/02	(820 000 00)	879 866 56	(1 014 687 90)	(134 821 34)
RCM CAP M	2603954	ELECTR DATA SYS CORP NEW COM	9/23/02	(3 800 00)	63 501 02	(244 030 42)	(180 529 40)
RCM CAP M	2603954	SCHERING-PLOUGH CORP COM	10/4/02	(6 500 00)	110 287 37	(315 058 70)	(204 771 33)
RCM CAP M	2603954	WORLD.COM INC/WORLD.CO	2/5/02	(14 000 00)	102 527 45	(308 616 65)	(206 089 20)
RCM CAP M	2603954	MFO PIMCO FDS MULTI MANAGER SER RCM INTLGROWTH EQTY FD	3/7/02	(67 567 57)	600,000 00	(1,022,588 61)	(422 588 61)
RCM CAP MTG - TOTAL					60,544,239 98	(63,012,368 85)	(2,468,128 87)
Grand Total					166,633,011 60	(179,253,114 50)	(12,620,102 90)