

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2001**

Department of the Treasury  
Internal Revenue Service

*Note The organization may be able to use a copy of this return to satisfy state reporting requirements*

**For calendar year 2001, or tax year beginning** \_\_\_\_\_, **2001, and ending** \_\_\_\_\_

**G** Check all that apply:  Initial return  Final return  Amended return  Address Change  Name change

**Use the IRS label Otherwise, print or type See Specific Instructions**

**MAYER AND MORRIS KAPLAN FOUNDATION**  
1780 GREEN BAY ROAD, #205  
HIGHLAND PARK, IL 60035

**A** Employer Identification Number  
36-6099675

**B** Telephone Number (see instructions)  
847-681-5051

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column c, line 16)  
\$ 35,307,877

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I column d must be on cash basis)

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60 month termination under section 507(b)(1)(B), check here

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns b, c, and d may not necessarily equal the amounts in column a) (see instructions)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>REVENUE</b>	<b>1</b> Contributions, gifts, grants, etc. received (att. sch.) Ck <input type="checkbox"/> if the foundn is not req to att Sch B	1,567,911			
	<b>2</b> Distributions from split interest trusts				
	<b>3</b> Interest on savings and temporary cash investments	396,214	378,583		
	<b>4</b> Dividends and interest from securities	395,762	385,769		
	<b>5a</b> Gross rents				
	<b>b</b> (Net rental income or (loss))				
	<b>6a</b> Net gain/(loss) from sale of assets not on line 10	-692,861			
	<b>b</b> Gross sales prices for all assets on line 6a	14,535,641			
	<b>7</b> Capital gains net income (attach schedule)		0		
	<b>8</b> Net short-term capital gains			0	
	<b>9</b> Income modifications				
	<b>ADMINISTRATIVE EXPENSES</b>	<b>10a</b> Gross sales less returns and allowances			
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit/(loss)					
<b>11</b> Other income (attach schedule)		-231	-231		
<b>12 Total</b> Add lines 1 through 11		1,666,795	764,121	0	
<b>13</b> Compensation of officers, directors, trustees, etc.		63,406	31,703		31,703
<b>14</b> Other employee salaries and wages		97,886	48,943		48,943
<b>15</b> Pension plans, employee benefits					
<b>16a</b> Legal fees (attach schedule)					
<b>b</b> Accounting fees (attach sch.) SEE ST 2		13,285	6,642		6,643
<b>c</b> Other prof fees (attach sch.) SEE ST 3		168,931	168,931		
<b>17</b> Interest		93,166	77,244		
<b>18</b> Taxes (attach schedule) SEE STMT 4	-9,381	7,270		5,509	
<b>19</b> Depreciation (attach schedule) and depletion	18,796				
<b>20</b> Occupancy					
<b>21</b> Travel, conferences, and meetings	36,045	18,022		18,023	
<b>22</b> Printing and publications					
<b>23</b> Other expenses (attach schedule)					
<b>24 Total operating and administrative expenses</b> Add lines 13 through 23	286,408	242,479		38,720	
<b>25</b> Contributions, gifts, grants paid PART XV	768,542	601,234		149,541	
<b>26 Total expenses and disbursements</b> Add lines 24 and 25	2,340,322			2,340,322	
<b>27</b> Subtract line 26 from line 12	3,108,864	601,234	0	2,489,863	
<b>a Excess of revenue over expenses and disbursements</b>	-1,442,069				
<b>b Net investment income</b> (if negative enter 0)		162,887			
<b>c Adjusted net income</b> (if negative enter 0)			0		

**RECEIVED**  
AUG 25 2002  
OGDEN, UT  
IRS-OSC

SCANNED SEP 14 2002

10

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
ASSETS	1	Cash — non-interest bearing			
	2	Savings and temporary cash investments	2,270,004	2,286,998	2,286,998
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
		b Investments — corporate stock (attach schedule)	21,085,669	21,638,491	21,638,491
		c Investments — corporate bonds (attach schedule)	2,631,045	2,569,190	2,569,190
	11	Investments — land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments — mortgage loans				
13	Investments — other (attach schedule) STATEMENT 6	4,810,274	8,632,635	8,632,635	
14	Land, buildings, and equipment basis 108,574	STATEMENT 7			
	Less accumulated depreciation (attach schedule) 76,673	50,697	31,901	31,901	
15	Other assets (describe SEE STATEMENT 8)	284,856	148,662	148,662	
16	<b>Total assets</b> (to be completed by all filers — see instructions Also, see page 1, item I)	31,132,545	35,307,877	35,307,877	
LIABILITIES	17	Accounts payable and accrued expenses	48,000		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	48,000	0	
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31 <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	31,084,545	35,307,877	
30	<b>Total net assets or fund balances</b> (see instructions)	31,084,545	35,307,877		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	31,132,545	35,307,877		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end of year figure reported on prior year's return)	1	31,084,545
2	Enter amount from Part I, line 27a	2	-1,442,069
3	Other increases not included in line 2 (itemize) SEE STATEMENT 9	3	5,665,401
4	Add lines 1, 2, and 3	4	35,307,877
5	Decreases not included in line 2 (itemize)	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) — Part II, column (b), line 30	6	35,307,877

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2 story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired  
P - Purchase  
D - Donation

(c) Date acquired (month day year)

(d) Date sold (month, day, year)

1a FROM PARTNERSHIPS	P	VARIOUS	12/31/01
b SEE SCHEDULE 1	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 23,908			23,908
b 14,451,725		15,228,502	-776,777
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than 0-) or Losses (from column (h))
a			23,908
b			-776,777
c			
d			
e			

2 Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter 0- in Part I, line 7 ]	2	-752,869
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	-752,869

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter 0 in Part I, line 8

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable use assets	(d) Distribution ratio (column (b) divided by column (c))
2000	2,862,968	38,465,396	0.074430
1999	2,187,114	32,711,183	0.066861
1998	1,894,499	26,740,928	0.070846
1997	1,486,004	21,403,321	0.069429
1996	1,170,458	20,501,488	0.057091

2 Total of line 1, column (d)	2	0.338657
3 Average distribution ratio for the 5 year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.067731
4 Enter the net value of noncharitable use assets for 2001 from Part X, line 5	4	35,431,897
5 Multiply line 4 by line 3	5	2,399,838
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,629
7 Add lines 5 and 6	7	2,401,467
8 Enter qualifying distributions from Part XII, line 4	8	2,489,863

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in Section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic organizations that meet the Section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,629
c All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under Section 511 (domestic Section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	1,629
4 Subtitle A (income) tax (domestic Section 4947(a)(1) trusts and taxable foundations only Others enter 0-)		4	0
5 <b>Tax based on investment income</b> Subtract line 4 from line 3 If zero or less, enter -0-		5	1,629
6 Credits/Payments			
a 2001 estimated tax pmts and 2000 overpayment credited to 2001	6a	30,800	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	30,800	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	29,171	
11 Enter the amount on line 10 to be Credited to 2002 estimated tax <input type="checkbox"/> 2,000   Refunded <input type="checkbox"/>	11	27,171	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities</i>		X
c Did the organization file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (Section 4955) imposed during the year: (1) On the organization <input type="checkbox"/> \$ 0 (2) On organization managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers <input type="checkbox"/> \$ 0		
2 Has the organization engaged in any activities that have not previously been reported to the IRS? <i>If Yes, attach a detailed description of the activities</i>		X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If Yes, attach a conformed copy of the changes</i>		X
4 a Did the organization have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If Yes, attach the statement required by General Instruction T</i>		X
6 Are the requirements of Section 508(e) (relating to Sections 4941 through 4945) satisfied either: • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>ILLINOIS</u>		
b If the answer is 'Yes' to line 7, has the organization furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the organization claiming status as a private operating foundation within the meaning of Section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If Yes, attach a schedule listing their names and addresses</i>		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application? Web site address <input type="checkbox"/> N/A	X	
12 The books are in care of <input type="checkbox"/> MORRIS A KAPLAN Telephone no <input type="checkbox"/> (847) 681-5051 Located at <input type="checkbox"/> 1780 GREEN BAY ROAD, HIGHLAND PARK, IL ZIP + 4 <input type="checkbox"/> 60035		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 PF in lieu of <b>Form 1041</b> - Check here <input type="checkbox"/> N/A and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> N/A		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies

		Yes	No
<b>1a</b> During the year did the organization (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check 'No' if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations Section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
<b>c</b> Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?		1c	X
<b>2</b> Taxes on failure to distribute income (Section 4942) (does not apply for years the organization was a private operating foundation defined in Section 4942(j)(3) or 4942(j)(5))			
<b>a</b> At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If Yes, list the years ▶ 20__ , 19__ , 19__ , 19__			
<b>b</b> Are there any years listed in 2a for which the organization is <b>not</b> applying the provisions of Section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying Section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions )		2b	N/A
<b>c</b> If the provisions of Section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20__ , 19__ , 19__ , 19__			
<b>3a</b> Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If 'Yes,' did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under Section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001 )		3b	N/A
<b>4a</b> Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
<b>b</b> Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?		4b	X
<b>5a</b> During the year did the organization pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (Section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see Section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc. organization described in Section 509(a)(1), (2), or (3), or Section 4940(d)(2)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations Section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
<b>c</b> If the answer is 'Yes' to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
If 'Yes' attach the statement required by Regulations Section 53.4945-5(d)			
<b>6a</b> Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If you answered 'Yes' to 6b, also file 8870			

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions)**

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		63,406	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 -- see instructions) If none, enter 'None'**

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

**3 Five highest-paid independent contractors for professional services -- (see instructions) If none, enter 'None'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 <u>N/A</u>	
2	
All other program-related investments See instructions	
3	
Total Add lines 1 through 3	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1a	33,144,932
b Average of monthly cash balances	1b	2,826,537
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b and c)	1d	35,971,469
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	35,971,469
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	539,572
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	35,431,897
6 Minimum investment return Enter 5% of line 5	6	1,771,595

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1 Minimum investment return from Part X, line 6	1	1,771,595
2a Tax on investment income for 2001 from Part VI, line 5	2a	1,629
b Income tax for 2001 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,629
3 Distributable amount before adjustments Subtract line 2c from line 1	3	1,769,966
4a Recoveries of amounts treated as qualifying distributions	4a	
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	
5 Add lines 3 and 4c	5	1,769,966
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,769,966

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	2,489,863
b Program-related investments — Total from Part IX B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,489,863
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,629
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	2,488,234

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				1,769,966
2 Undistributed income, if any, as of the end of 2000:				
a Enter amount for 2000 only			0	
b Total for prior years 20___, 19___, 19___		0		
3 Excess distributions carryover, if any, to 2001:				
a From 1996	195,736			
b From 1997	460,560			
c From 1998	619,763			
d From 1999	601,557			
e From 2000	1,001,168			
f Total of lines 3a through e	2,878,784			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ \$ 2,489,863				
a Applied to 2000, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required — see instructions)		0		
c Treated as distributions out of corpus (Election required — see instructions)	0			
d Applied to 2001 distributable amount				1,769,966
e Remaining amount distributed out of corpus	719,897			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,598,681			
b Prior years undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b Taxable amount — see instructions		0		
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount — see instructions			0	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see instructions)	195,736			
9 Excess distributions carryover to 2002. Subtract lines 7 and 8 from line 6a	3,402,945			
10 Analysis of line 9:				
a Excess from 1997	460,560			
b Excess from 1998	619,763			
c Excess from 1999	601,557			
d Excess from 2000	1,001,168			
e Excess from 2001	719,897			



**Part XIV Private Operating Foundations** (see instructions and Part VII A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling

**b** Check box to indicate whether the organization is a private operating foundation described in Section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> 'Assets' alternative test — enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under Section 4942(j)(3)(B)(i)					
<b>b</b> 'Endowment' alternative test — Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> Support alternative test — enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (Section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in Section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year )

**1 Information Regarding Foundation Managers**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See Section 507(d)(2) )  
SEE STATEMENT 11

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs**

Check here  if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed  
SEE STATEMENT 12

**b** The form in which applications should be submitted and information and materials they should include  
SEE STATEMENT 14 - APPLICATION PROCEDURES/DOCUMENT CHECKLIST

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
SEE STATEMENT 15 - GENERAL EXCLUSIONS

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> VARIOUS - SEE SCHEDULE 2	NONE	N/A	SEE ATTACHED	2,340,322
<b>Total</b>				▶ 3a 2,340,322
<i>b Approved for future payment</i>				
<b>Total</b>				▶ 3b





Schedule B  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

Schedule of Contributors

Supplementary information for  
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545 0047

2001

Name of Organization

MAYER AND MORRIS KAPLAN FOUNDATION

Employer Identification Number

36-6099675

Organization type (check one)

Filers of

Form 990 or 990 EZ

Section:

- 501(c)(\_\_\_\_) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **general rule** or a **special rule** (Note Only a Section 501(c)(7), (8), or (10) organization can check box(es) for both the general rule and a special rule – see instructions )

General Rule –

- For organizations filing Form 990, 990 EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II )

Special Rules –

- For a Section 501(c)(3) organization filing Form 990, or Form 990 EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II )
- For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III )
- For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc. purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc. purpose Do not complete any of the Parts unless the general rule applies to this organization because it received nonexclusively religious, charitable, etc. , contributions of \$5,000 or more during the year ) ▶ \$ \_\_\_\_\_

**Caution** Organizations that are not covered by the general rule and/or the special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF) but **must** check the box in the heading of their Form 990, Form 990 EZ, or on line 1 of their Form 990-PF to certify that they do not meet the filing requirements of Schedule B (Form 990, 990 EZ, or 990 PF)

BAA

Schedule B (Form 990, 990 EZ, or 990-PF) (2001)

Name of Organization

Employer Identification Number

MAYER AND MORRIS KAPLAN FOUNDATION

36-6099675

**Part I** Contributors (see instructions)

(a) Number	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	BURTON B KAPLAN 1780 GREEN BAY ROAD, SUITE 205 HIGHLAND PARK, IL 60035	\$ 340,856	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
2	JEAN KAPLAN 1780 GREEN BAY ROAD, SUITE 205 HIGHLAND PARK, IL 60035	\$ 24,053	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is noncash contribution)
3	JEROME L JOSS FOUNDATION 1780 GREEN BAY ROAD, SUITE 205 HIGHLAND PARK, IL 60035	\$ 1,201,503	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)

Name of Organization

Employer Identification Number

MAYER AND MORRIS-KAPLAN FOUNDATION

36-6099675

**Part II** Noncash Property

(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	SECURITIES	\$ 340,856	12/27/01
2	SECURITIES	\$ 24,053	8/20/01
		\$	
		\$	
		\$	
		\$	
		\$	





Department of the Treasury  
Internal Revenue Service

▶ See separate instructions  
▶ Attach to the corporation's tax return.

**2001**

Name **MAYER AND MORRIS KAPLAN FOUNDATION** Employer Identification Number **36-6099675**

**Note:** In most cases, the corporation does not need to file Form 2220 (See Part I below for exceptions.) The IRS will figure any penalty owed and bill the corporation. If the corporation does not need to file Form 2220, it may still use it to figure the penalty. Enter the amount from line 36 on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

**Part I Reasons for Filing** — Check the boxes below that apply to the corporation. If any boxes are checked, the corporation must file Form 2220, even if it does not owe the penalty. If the box on line 1 or line 2 applies, the corporation may be able to lower or eliminate the penalty.

- 1  The corporation is using the annualized income installment method
- 2  The corporation is using the adjusted seasonal installment method
- 3  The corporation is a 'large corporation' figuring its first required installment based on the prior year's tax

**Note:** The corporation also must file Form 2220 if it has a suspended research credit allowed for the current year (see the instructions for line 4) or it is an indirectly affected taxpayer (see instructions)

**Part II Figuring the Underpayment**

<b>4</b> Total tax (see instructions)	<b>4</b>	1,629
<b>5a</b> Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 4	<b>5a</b>	
<b>b</b> Look back interest included on line 4 under Section 460(b)(2) for completed long-term contracts or Section 167(g) for depreciation under the income forecast method	<b>5b</b>	
<b>c</b> Credit for federal tax paid on fuels (see instructions)	<b>5c</b>	
<b>d Total</b> Add lines 5a through 5c	<b>5d</b>	
<b>6</b> Subtract line 5d from line 4. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty.	<b>6</b>	1,629
<b>7</b> Enter the tax shown on the corporation's 2000 income tax return. <b>Caution:</b> See instructions before completing this line.	<b>7</b>	30,735
<b>8</b> Enter the smaller of line 6 or line 7. If the corporation must skip line 7, enter the amount from line 6.	<b>8</b>	1,629

	(a)	(b)	(c)	(d)
<b>9</b> Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. Exception: Enter October 1, 2001, instead of September 15, 2001.	5/15/01	6/15/01	10/01/01	12/15/01
<b>10</b> Required installments. If the box on line 1 and/or line 2 above is checked, enter the amounts from Schedule A, line 40. If the box on line 3 (but not 1 or 2) is checked, see the instructions for the amounts to enter. If none of these boxes are checked, enter 25% of line 8 above in each column.	407	407	407	408
<b>11</b> Estimated tax paid or credited for each period (see instructions). For column (a) only, enter the amount from line 11 on line 15. <i>Complete lines 12 through 18 of one column before going to the next column.</i>	27,642			3,158
<b>12</b> Enter amount, if any, from line 18 of the preceding column.		27,235	26,828	26,421
<b>13</b> Add lines 11 and 12.		27,235	26,828	29,579
<b>14</b> Add amounts on lines 16 and 17 of the preceding column.				
<b>15</b> Subtract line 14 from line 13. If zero or less, enter 0.	27,642	27,235	26,828	29,579
<b>16</b> If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-		0	0	
<b>17</b> Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18.				
<b>18</b> Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column.	27,235	26,828	26,421	29,171

Complete Part III on page 2 to figure the penalty. If there are no entries on line 17, no penalty is owed.

**Part III Figuring the Penalty**

	(a)	(b)	(c)	(d)
<b>19</b> Enter the date of payment or the 15th day of the 3rd month after the close of the tax year, whichever is earlier (see instructions) <i>(Form 990-PF and Form 990-T filers Use 5th month instead of 3rd month)</i>				
<b>20</b> Number of days from due date of installment on line 9 to the date shown on line 19				
<b>21</b> Number of days on line 20 after 4/15/2001 and before 7/1/2001				
<b>22</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 21}}{365} \times 8\%$				
<b>23</b> Number of days on line 20 after 6/30/2001 and before 1/1/2002				
<b>24</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 23}}{365} \times 7\%$				
<b>25</b> Number of days on line 20 after 12/31/2001 and before 4/1/2002				
<b>26</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 25}}{365} \times 6\%$				
<b>27</b> Number of days on line 20 after 3/31/2002 and before 7/1/2002				
<b>28</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 27}}{365} \times \text{ } \%$				
<b>29</b> Number of days on line 20 after 6/30/2002 and before 10/1/2002				
<b>30</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 29}}{365} \times \text{ } \%$				
<b>31</b> Number of days on line 20 after 9/30/2002 and before 1/1/2003				
<b>32</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 31}}{365} \times \text{ } \%$				
<b>33</b> Number of days on line 20 after 12/31/2002 and before 2/16/2003				
<b>34</b> Underpayment on line 17 $\times \frac{\text{Number of days on line 33}}{365} \times \text{ } \%$				
<b>35</b> Add lines 22, 24, 26, 28, 30, 32, and 34				
<b>36 Penalty</b> Add columns (a) through (d), of line 35 Enter the total here and on Form 1120, line 33, Form 1120-A, line 29, or the comparable line for other income tax returns				0

**\*For underpayments paid after March 31, 2002:** For lines 28, 30, 32, and 34, use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS web site at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-1040 to get interest rate information.

STATEMENT 14

Part XV - Line 1b - Application Procedures and Document Checklist

APPLICATION PROCEDURES

DOCUMENT CHECKLIST

- 1 The first step which must be followed by all applicants (whether seeking renewed support or requesting a first time grant), is submission of a two-page summary request letter and budget. Please do not skip this first requirement. After reviewing your initial letter, we will write to you indicating whether our Board is interested in considering a full proposal. This screening process is intended to limit the time and expense of the full application process to those organizations with the greatest likelihood of receiving support.
  
- 2 The second step, if our Board requests a full proposal, will be the submission of the following information and documents (to be presented in the sequence listed below)
  - A. **SUMMARY**
    - a Cover letter
    - b Proposal which includes description of organization, including agency purpose, history, current programs, staffing, needs addressed by services, results expected, and strategies to accomplish goals. If you are seeking support for a special project, include a specific project budget and program description. Please document your agency's qualifications for getting the job done.
  - B. **FINANCIAL INFORMATION**
    - a Audited financial statement for the last fiscal year
    - b Income and expense budget for the year in which support is sought
    - c Complete I R S Form 990 as filed for the previous year, including Schedule A
  - C. **INCOME**
    - a List of actual commitments over \$1,000 received toward your projected budget, including names of grantors and amounts received from each
    - b List of all sources being asked for support and their responses to date
  - D. **BOARD OF DIRECTORS**
    - a List of Board members with their business or professional affiliations
    - b Amount of financial support Board members contributed in the prior year
  - E. **CERTIFICATION**
    - a Copy of your tax exempt status letter
    - b Letter co-signed from your Board Chair and Executive Director indicating that your I R S tax exemption letter is valid and in effect
  - F. **EVALUATION**
    - a Explain how you will evaluate the performance of your agency, when this will be done, and when we can expect to receive this evaluation. We will be interested in learning how a grant from our foundation has been applied to help your performance and the achievement of your goals.

If our Board invites your agency to submit a full proposal (step #2 detailed under application procedures), please utilize this checklist to confirm that all required documents are included in the materials submitted. Then please return this form with your grant application.

Your funding request will not be considered until all required documents are provided. Once these materials are received, our Board will acknowledge receipt of your proposal and advise you of the date when your application will be considered.

<u>DOCUMENT</u>	<u>_____</u>
A. <b>SUMMARY</b>	_____
a Cover Letter	_____
b Proposal	_____
B. <b>FINANCIAL INFORMATION</b>	_____
a Audit	_____
b Budget	_____
c Complete I R S Form 990	_____
C. <b>INCOME</b>	_____
a Funding Commitments	_____
b Potential Support	_____
D. <b>BOARD OF DIRECTORS</b>	_____
a List of Members	_____
b Members' Financial Support	_____
E. <b>CERTIFICATION</b>	_____
a Tax Exemption Letter	_____
b Letter from Ex. Dir. and Board Chair	_____
F. <b>EVALUATION</b>	_____

## STATEMENT 15

Part XV - Line 1d - General ExclusionsGENERAL EXCLUSIONS

Grants are generally not given to:

1. Sectarian institutions, organizations or programs in which the funds will be used primarily for the study and/or propagation of a particular faith.
2. Purchase of tickets to testimonial events, or, goodwill advertising in yearbooks and dinner programs.
3. Medical, scientific or academic research.
4. Individuals
5. Capital and endowment campaigns, or, building and equipment acquisition, expansion and rehabilitation fundraising drives.
6. Health care institutions, including residential care, medical treatment programs, clinics or recreational programs for medical or psychiatric patients.
7. National health, welfare, education or cultural organizations, or their state and local affiliates.
8. An institution more than once during our fiscal year.

MAYER & MORRIS KAPLAN FOUNDATION  
CAPITAL GAIN TRANSACTIONS  
DECEMBER 31, 2001

SCHEDULE 1 - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME  
FORM 990-PF, PART IV

36-6099675

<u>DATE OF SALE</u>	<u># OF SHARES</u>	<u>SECURITY SOLD</u>	<u>SELL PRICE</u>	<u>COST</u>	<u>GAIN/LOSS</u>
6/13/01	1500	RADIOSHACK CORP	40,400	43,589	(3,189)
1/3/01	58	CITIGROUP	3,089	797	2,292
1/3/01	308	CITIGROUP	16,401	4,148	12,253
1/4/01	300	MORGAN STANLEY DEAN WITTER	25,807	4,286	21,521
1/5/01	500	CITIGROUP	26,947	5,656	21,291
1/8/01	200	WELLS FARGO & CO NEW	10,323	5,065	5,258
1/8/01	50	WELLS FARGO & CO NEW	2,581	1,304	1,277
1/8/01	250	WELLS FARGO & CO NEW	12,903	6,666	6,237
1/9/01	400	MORGAN STANLEY DEAN WITTER	33,325	5,303	28,022
1/9/01	100	MORGAN STANLEY DEAN WITTER	8,331	1,260	7,071
3/12/01	700	MORGAN STANLEY DEAN WITTER	39,732	8,820	30,912
5/9/01	0 501	HEINEKEN HLDG N V ORD-A	16	9	7
7/18/01	500	WEETABIX CL A ORD	20,118	21,026	(908)
8/17/01	300	E W SCRIPPS CO CL A	20,421	8,949	11,472
9/7/01	237	AMERICAN INTL GROUP INC	17,488	14,303	3,185
9/20/01	500	E W SCRIPPS CO CL A	28,852	10,367	18,485
		Total - Gardner (Neuberger Berman)	306,734	141,548	165,186
8/21/01	400	AMGEN INC	24,053	24,053	-
5/17/01	853	AT&T CORP	18,451	14,475	3,976
5/17/01	699	AT&T LIBERTY MEDIA GROUP A	11,650	9,132	2,518
5/17/01	1600	AT&T LIBERTY MEDIA GROUP A	26,633	20,904	5,729
5/17/01	1927	BANK ONE CORP	72,314	71,241	1,073
5/17/01	1170	DUN & BRADSTREET CP NEW	33,069	30,824	2,245
12/28/01	1383	ELECTR DATA SYSTEMS CORP	94,895	95,773	(878)
12/28/01	4600	MATTEL INC	79,415	81,903	(2,488)
5/17/01	2340	MOODYS CORP	74,501	60,407	14,094
5/17/01	840	PARTNERRE HLDGS	45,745	51,530	(5,785)
8/13/01	2270	STANDARD & POORS DEP RECPTS	271,278	293,604	(22,326)
8/13/01	348	STANDARD & POORS DEP RECPTS	41,588	45,293	(3,705)
8/1/01	618	STANDARD & POORS DEP RECPTS	75,237	78,807	(3,570)
8/13/01	3282	STANDARD & POORS DEP RECPTS	392,217	418,519	(26,302)
12/28/01	688	TOYS R US	13,903	14,290	(387)
12/28/01	3000	TRICON GLOBAL REST INC	146,243	148,890	(2,647)
5/23/01	1972	WASHINGTON MUTUAL INC	71,973	70,359	1,614
5/15/01	CIL	WASHINGTON MUTUAL INC	19	-	19
		Total - Morgan Stanley	1,493,184	1,530,004	(36,820)

MAYER & MORRIS KAPLAN FOUNDATION  
CAPITAL GAIN TRANSACTIONS  
DECEMBER 31, 2001

SCHEDULE 1 - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME  
FORM 990-PF, PART IV

36-6099675

<u>DATE OF</u> <u>SALE</u>	<u># OF</u> <u>SHARES</u>	<u>SECURITY SOLD</u>	<u>SELL</u> <u>PRICE</u>	<u>COST</u>	<u>GAIN/</u> <u>LOSS</u>
See attached Northern Trust Company schedule					
Var '01		Short term capital gains and losses	6,464,627	6,844,525	(379,898)
Var '01		Long term capital gains and losses	3,428,872	3,678,308	(249,436)
See attached Seneca Capital Management schedule					
Var '01		Short term capital gains and losses	1,173,082	1,418,680	(245,598)
1/10/01	1238 232	EVEREST CAPITAL INT'L LTD CL A	298,862	500,000	(201,138)
Var '01		JAGUAR FUND	1,970	-	1,970
Var '01		WEITZ FUNDS - capital gain distributions	11,367	-	11,367
Var '01	111,676 875	PIMCO TOTAL RETURN FUND	1,164,408	1,108,987	55,421
1/30/01	5000	SEEBEYOND TECHNOLOGY CORP	108,619	6,450	102,169
		Total - Other	1,585,226	1,615,437	(30,211)
		SUB TOTAL	14,451,725	15,228,502	(776,777)
12/31/01		STORIE PARTNERS K-1			(24,272)
12/31/01		STORIE PARTNERS K-1			(43,008)
12/31/01		ASTORIA CAPITAL PARTNERS K-1			(53,574)
12/31/01		CRM ROARING BROOK L P K-1			19,900
12/31/01		BAY HARBOUR 90-1, LTD K-1			(67,108)
12/31/01		CHESAPEAKE PTNRS L P K-1			349,500
12/31/01		CHESAPEAKE PTNRS L P K-1			(2,027)
12/31/01		FLAG GROWTH CAPITAL LP FUND			(34,454)
12/31/01		WM BLAIR MEZZANINE CAP FD III K-1			(61,041)
		Total - K-1s	83,916		83,916
		SUBTOTAL	14,535,641	15,228,502	(692,861)
LESS UBTI PORTION OF CAPITAL GAINS FROM K-1					
12/31/01		CHESAPEAKE PTNRS L.P K-1 - UBTI PORTION	60,358		60,358
12/31/01		CHESAPEAKE PTNRS L.P K-1 - UBTI PORTION	(350)		(350)
		TOTAL CAPITAL GAINS (LOSSES), NET	<u>\$14,595,649</u>	<u>\$15,228,502</u>	<u>(\$632,853)</u>

Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**

*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*

From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-28-00	01-24-01	150	Scientific-Atlanta Inc	5,043 00	8,930 06	3,887 06	
12-21-00	01-25-01	340	Echostar Communications Corp New-Cl A	7,775 90	10,642 15	2,866 25	
12-21-00	01-25-01	60	Nabors Industries	3,427 20	3,678 24	251 04	
12-21-00	01-25-01	120	Weatherford International	5,372 34	5,925 40	553 06	
12-21-00	01-29-01	280	Bed Bath & Beyond Inc	6,488 30	6,942 72	454 42	
12-28-00	02-07-01	170	Extreme Networks Inc	6,948 44	5,576 24	-1,372 20	
01-18-01	02-07-01	120	Transwitch Corp	6,155 54	4,488 44	-1,667 10	
12-21-00	02-07-01	130	Weatherford International	5,820 03	6,882 31	1,062 28	
12-28-00	02-08-01	190	Extreme Networks Inc	7,765 91	6,246 67	-1,519 24	
01-18-01	02-08-01	100	Transwitch Corp	5,129 62	3,685 48	-1,444 14	
12-28-00	02-14-01	60	Extreme Networks Inc	2,452 39	2,034 48	-417 91	
01-18-01	02-14-01	50	Transwitch Corp	2,564 81	1,851 53	-713 28	
12-28-00	02-15-01	130	Extreme Networks Inc	5,313 52	4,937 14	-376 38	
01-18-01	02-15-01	60	Transwitch Corp	3,077 77	2,397 86	-679 91	
01-18-01	02-23-01	50	Transwitch Corp	2,564 81	1,197 28	-1,367 53	
01-18-01	02-26-01	60	Transwitch Corp	3,077 77	1,448 61	-1,629 16	
12-21-00	03-02-01	90	Allergan Inc	8,397 67	7,348 94	-1,048 73	
12-28-00	03-12-01	120	Hershey Foods Corp	7,795 97	7,812 09	16 12	
12-28-00	03-15-01	170	Mirant Corp	4,894 91	5,079 43	184 52	
12-28-00	03-16-01	90	Mirant Corp	2,591 42	2,649 35	57 92	
12-21-00	03-19-01	90	Weatherford International	4,029 25	4,697 92	668 67	
12-28-00	03-26-01	50	Mercury Interactive Corp	5,299 28	2,434 54	-2,864 74	
12-28-00	03-27-01	80	Mercury Interactive Corp	8,478 85	3,977 71	-4,501 14	
01-18-01	04-03-01	150	BMC Software	4,482 18	2,875 34	-1,606 84	
01-18-01	04-04-01	350	BMC Software	10,458 42	6,622 79	-3,835 63	
12-21-00	04-09-01	180	Tenet Healthcare Corporation	7,636 09	8,112 61	476 52	
12-21-00	04-12-01	60	Capital One Financial Corp	3,608 51	3,581 23	-27 28	
12-21-00	04-12-01	160	Dynegy Inc-CL A	7,909 20	8,275 66	366 46	
12-21-00	04-12-01	90	Forest Labs Inc	5,786 71	5,520 16	-266 55	
12-28-00	04-12-01	90	Hershey Foods Corp	5,846 98	5,924 54	77 56	
12-21-00	04-18-01	50	Bed Bath & Beyond Inc	1,158 62	1,447 95	289 33	
12-21-00	04-18-01	50	Bed Bath & Beyond Inc	1,158 62	1,461 45	302 83	
12-21-00	04-19-01	70	Bed Bath & Beyond Inc	1,622 07	1,982 76	360 69	
12-28-00	04-20-01	90	Hershey Foods Corp	5,846 98	5,563 30	-283 68	
12-28-00	04-23-01	40	Hershey Foods Corp	2,598 66	2,434 94	-163 72	
12-26-00	04-23-01	110	Shaw Group Inc	4,912 82	6,638 51	1,725 69	
12-28-00	04-24-01	50	Hershey Foods Corp	3,248 32	3,044 36	-203 96	
12-28-00	04-24-01	50	Scientific-Atlanta Inc	1,681 00	2,897 87	1,216 87	
01-02-01	04-24-01	120	Valero Energy Corp	4,469 40	5,607 17	1,137 77	
12-28-00	04-25-01	60	Hershey Foods Corp	3,897 98	3,688 89	-209 09	
12-28-00	04-26-01	100	Mirant Corp	2,879 36	4,062 86	1,183 50	
01-11-01	04-26-01	150	Mirant Corp	3,390 69	6,094 30	2,703 61	
04-27-01	04-30-01	280	Dollar General Corp	6,667 16	4,484 07	-2,183 09	
12-28-00	05-02-01	80	Hershey Foods Corp	5,197 31	4,862 69	-334 62	
01-11-01	05-02-01	160	Mirant Corp	3,616 74	6,397 75	2,781 01	
12-21-00	05-03-01	150	Echostar Communications Corp New-Cl A	3,430 54	5,532 17	2,101 63	
12-21-00	05-03-01	100	Dynegy Inc-CL A	4,943 25	5,464 42	521 17	
12-21-00	05-04-01	50	Dynegy Inc-CL A	2,471 63	2,719 31	247 68	
01-11-01	05-04-01	60	Mirant Corp	1,356 28	2,347 34	991 06	
12-28-00	05-07-01	20	Hershey Foods Corp	1,299 33	1,222 81	-76 52	
01-12-01	05-07-01	60	Hershey Foods Corp	3,423 45	3,668 44	244 99	
01-11-01	05-07-01	60	Mirant Corp	1,356 28	2,363 31	1,007 03	
01-11-01	05-08-01	70	Mirant Corp	1,582 32	2,712 91	1,130 59	
12-28-00	05-10-01	80	Scientific-Atlanta Inc	2,689 60	4,899 83	2,210 23	
12-21-00	05-16-01	60	Forest Labs Inc	3,857 80	3,857 52	-0 28	

Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**  
*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*  
 From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-21-00	05-17-01	140	Forest Labs Inc	9,001 54	9,021 05	19 50	
01-30-01	05-25-01	80	MGIC Investment Corp	4,783 00	5,350 92	567 92	
12-21-00	05-25-01	70	Powerwave Technologies Inc	3,331 87	1,164 62	-2,167 25	
12-21-00	05-31-01	310	Forest Labs Inc	19,931 99	23,039 02	3,107 03	
12-21-00	05-31-01	140	Powerwave Technologies Inc	6,663 73	1,881 24	-4,782 49	
12-28-00	06-01-01	50	Mercury Interactive Corp	5,299 28	3,047 79	-2,251 49	
12-21-00	06-01-01	80	Powerwave Technologies Inc	3,807 85	1,114 53	-2,693 32	
12-21-00	06-05-01	210	Southwest Airlines	4,449 68	3,923 65	-526 03	
12-28-00	06-05-01	120	Mercury Interactive Corp	12,718 27	7,599 92	-5,118 35	
01-11-01	06-05-01	30	Mercury Interactive Corp	2,372 85	1,899 98	-472 87	
01-11-01	06-06-01	90	Mercury Interactive Corp	7,118 55	5,912 91	-1,205 64	
12-21-00	06-07-01	310	Southwest Airlines	6,568 57	5,709 26	-859 31	
12-21-00	06-07-01	50	Powerwave Technologies Inc	2,379 91	616 66	-1,763 25	
12-21-00	06-08-01	310	Southwest Airlines	6,568 57	5,703 18	-865 39	
12-21-00	06-11-01	220	Southwest Airlines	4,661 57	4,001 92	-659 65	
12-21-00	06-11-01	330	Powerwave Technologies Inc	15,707 37	3,651 76	-12,055 61	
01-18-01	06-11-01	100	Powerwave Technologies Inc	4,628 48	1,106 58	-3,521 90	
12-28-00	06-12-01	80	Scientific-Atlanta Inc	2,689 60	3,901 64	1,212 04	
12-28-00	06-14-01	50	Scientific-Atlanta Inc	1,681 00	2,013 52	332 52	
12-28-00	06-15-01	180	Scientific-Atlanta Inc	6,051 60	7,601 84	1,550 24	
12-20-00	06-19-01	120	Ace Ltd	4,466 78	4,383 40	-83 38	
01-03-01	06-19-01	80	Waters Corp	6,405 40	2,270 20	-4,135 20	
01-10-01	06-19-01	40	Waters Corp	2,773 68	1,135 10	-1,638 58	
01-11-01	06-19-01	70	Waters Corp	4,907 60	1,986 42	-2,921 18	
01-16-01	06-19-01	40	Waters Corp	2,782 53	1,135 10	-1,647 43	
01-18-01	06-19-01	140	Waters Corp	8,828 05	3,972 84	-4,855 21	
01-22-01	06-19-01	40	Waters Corp	2,530 78	1,135 10	-1,395 68	
03-27-01	06-19-01	100	Waters Corp	4,284 05	2,837 74	-1,446 31	
04-12-01	06-19-01	80	Waters Corp	3,029 50	2,270 20	-759 30	
05-25-01	06-19-01	50	Waters Corp	2,783 74	1,418 86	-1,364 88	
06-14-01	06-19-01	150	Waters Corp	6,333 00	4,256 62	-2,076 38	
12-26-00	06-20-01	120	Convergys Corp	5,332 06	4,284 46	-1,047 60	
12-21-00	06-20-01	170	Perkinelmer Inc	8,356 14	5,096 47	-3,259 67	
01-12-01	06-20-01	230	Thermo Electron Corp	6,476 73	5,528 46	-948 27	
12-21-00	06-21-01	340	Bed Bath & Beyond Inc	7,878 65	10,968 47	3,089 82	
12-21-00	06-21-01	160	Bed Bath & Beyond Inc	3,707 60	4,960 09	1,252 49	
12-26-00	06-21-01	120	Convergys Corp	5,332 06	4,285 30	-1,046 76	
12-21-00	06-21-01	80	Perkinelmer Inc	3,932 30	2,354 30	-1,578 00	
01-12-01	06-21-01	110	Thermo Electron Corp	3,097 57	2,583 32	-514 25	
01-16-01	06-21-01	30	Thermo Electron Corp	842 10	704 53	-137 57	
12-21-00	06-22-01	180	Bed Bath & Beyond Inc	4,171 05	5,431 18	1,260 13	
12-26-00	06-22-01	140	Convergys Corp	6,220 73	4,820 30	-1,400 43	
12-21-00	06-22-01	180	Perkinelmer Inc	8,847 67	4,999 10	-3,848 57	
01-16-01	06-22-01	180	Thermo Electron Corp	5,052 60	4,117 93	-934 67	
01-18-01	06-22-01	70	Thermo Electron Corp	1,947 40	1,601 42	-345 98	
12-21-00	06-25-01	120	Bed Bath & Beyond Inc	2,780 70	3,570 96	790 26	
12-26-00	06-25-01	210	Convergys Corp	9,331 10	6,506 59	-2,824 51	
12-21-00	06-25-01	90	Perkinelmer Inc	4,423 84	2,501 36	-1,922 48	
01-18-01	06-25-01	90	Thermo Electron Corp	2,503 80	2,029 92	-473 88	
04-12-01	06-25-01	200	Thermo Electron Corp	4,213 82	4,510 93	297 11	
12-21-00	06-26-01	100	Bed Bath & Beyond Inc	2,317 25	2,944 88	627 63	
12-26-00	06-26-01	190	Convergys Corp	8,442 42	5,335 66	-3,106 76	
02-14-01	06-26-01	80	Convergys Corp	3,310 69	2,246 60	-1,064 09	
12-21-00	06-26-01	40	Perkinelmer Inc	1,966 15	1,075 78	-890 37	
01-11-01	06-26-01	100	Perkinelmer Inc	4,768 09	2,689 45	-2,078 64	
03-15-01	06-26-01	70	Perkinelmer Inc	2,191 70	1,882 61	-309 09	
04-12-01	06-26-01	350	Thermo Electron Corp	7,374 19	7,586 45	212 26	
03-15-01	06-27-01	110	Perkinelmer Inc	3,444 10	2,940 11	-503 99	



Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**  
*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*  
 From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-20-00	06-28-01	190	IDEC Pharmaceuticals Corp	11,826 97	12,677 81	850 84	
03-28-01	06-28-01	160	Perkinelmer Inc	4,048 02	4,235 08	187 06	
01-24-01	06-29-01	200	Openwave Systems Inc	14,130 32	6,417 38	-7,712 94	
12-21-00	06-29-01	50	SPX Corp	4,864 33	6,306 50	1,442 17	
04-27-01	07-02-01	70	Devon Energy Corp	4,112 94	3,574 89	-538 05	
01-24-01	07-02-01	20	Openwave Systems Inc	1,413 03	674 15	-738 88	
05-22-01	07-02-01	20	Openwave Systems Inc	880 03	674 15	-205 88	
12-21-00	07-02-01	130	Tenet Healthcare Corporation	5,514 95	6,611 73	1,096 78	
04-27-01	07-03-01	40	Devon Energy Corp	2,350 25	2,035 44	-314 81	
04-27-01	07-05-01	100	Devon Energy Corp	5,875 63	5,158 95	-716 68	
12-21-00	07-05-01	100	Nabors Industries	5,712 00	3,664 18	-2,047 82	
05-22-01	07-05-01	60	Openwave Systems Inc	2,640 08	1,831 95	-808 13	
12-27-00	07-05-01	110	Santa Fe International Corp	3,353 12	3,341 68	-11 44	
12-21-00	07-05-01	100	Weatherford International	4,476 95	4,629 47	152 52	
12-26-00	07-06-01	230	Crown Castle Int'l Corp	6,072 80	3,339 07	-2,733 73	
04-27-01	07-06-01	110	Devon Energy Corp	6,463 19	5,717 58	-745 61	
12-21-00	07-09-01	50	Echostar Communications Corp New-CI A	1,143 51	1,431 69	288 18	
05-22-01	07-10-01	40	Openwave Systems Inc	1,760 05	1,000 27	-759 78	
05-23-01	07-10-01	10	Openwave Systems Inc	430 02	250 07	-179 95	
05-23-01	07-10-01	50	Openwave Systems Inc	2,150 08	1,344 45	-805 63	
12-21-00	07-10-01	60	SPX Corp	5,837 20	7,521 17	1,683 97	
05-23-01	07-11-01	70	Openwave Systems Inc	3,010 11	1,443 27	-1,566 84	
12-27-00	07-12-01	60	Santa Fe International Corp	1,828 97	1,564 16	-264 81	
12-21-00	07-12-01	90	Weatherford International	4,029 25	3,640 57	-388 68	
12-21-00	07-13-01	50	Laboratory Corp Of Amer Hldgs	4,070 19	4,205 57	135 38	
12-27-00	07-13-01	50	Santa Fe International Corp	1,524 14	1,273 61	-250 53	
01-19-01	07-17-01	110	International Rectifier Corp	5,049 85	3,650 47	-1,399 38	
12-20-00	07-18-01	100	Ace Ltd	3,722 32	3,462 88	-259 44	
12-26-00	07-18-01	50	Crown Castle Int'l Corp	1,320 17	635 17	-685 00	
12-20-00	07-19-01	200	Ace Ltd	7,444 64	6,725 87	-718 77	
01-19-01	07-19-01	210	International Rectifier Corp	9,640 62	7,232 68	-2,407 94	
12-20-00	07-20-01	60	Ace Ltd	2,233 39	2,032 73	-200 66	
12-20-00	07-23-01	50	Ace Ltd	1,861 16	1,674 65	-186 51	
12-29-00	07-23-01	50	Ace Ltd	2,137 01	1,674 65	-462 36	
12-29-00	07-24-01	30	Ace Ltd	1,282 20	1,018 65	-263 55	
01-11-01	07-24-01	70	Ace Ltd	2,505 16	2,376 86	-128 30	
02-26-01	07-24-01	30	Ace Ltd	1,121 11	1,018 66	-102 45	
12-26-00	07-24-01	420	Crown Castle Int'l Corp	11,089 47	4,157 86	-6,931 61	
12-21-00	07-24-01	50	Laboratory Corp Of Amer Hldgs	4,070 19	4,288 81	218 62	
02-26-01	07-25-01	70	Ace Ltd	2,615 91	2,301 52	-314 39	
03-27-01	07-25-01	90	Ace Ltd	3,035 06	2,959 10	-75 96	
12-21-00	07-25-01	70	Laboratory Corp Of Amer Hldgs	5,698 26	6,095 94	397 68	
03-27-01	07-26-01	10	Ace Ltd	337 23	333 90	-3 33	
04-26-01	07-26-01	90	Ace Ltd	3,130 01	3,005 12	-124 89	
01-19-01	07-26-01	120	International Rectifier Corp	5,508 92	4,094 44	-1,414 48	
01-19-01	07-27-01	130	International Rectifier Corp	5,968 00	4,738 67	-1,229 33	
04-04-01	07-27-01	90	International Rectifier Corp	3,330 43	3,280 61	-49 82	
06-18-01	08-01-01	90	Jones Apparel Group Inc	3,573 23	2,968 55	-604 68	
06-19-01	08-01-01	130	Jones Apparel Group Inc	5,325 40	4,287 90	-1,037 50	
06-20-01	08-01-01	60	Jones Apparel Group Inc	2,485 46	1,979 03	-506 43	
06-21-01	08-01-01	60	Jones Apparel Group Inc	2,527 20	1,979 03	-548 17	
06-22-01	08-01-01	170	Jones Apparel Group Inc	7,100 87	5,607 27	-1,493 60	
07-10-01	08-01-01	70	Jones Apparel Group Inc	2,661 40	2,308 87	-352 53	

Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**  
*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*  
 From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-27-00	08-03-01	50	Adolph Coors Cl B	4,043 50	2,444 60	-1,598 90	
05-10-01	08-06-01	130	KLA-Tencor Corporation	6,780 09	7,511 85	731 76	
05-17-01	08-06-01	30	KLA-Tencor Corporation	1,644 00	1,733 50	89 50	
12-27-00	08-06-01	50	Adolph Coors Cl B	4,043 50	2,444 22	-1,599 28	
05-17-01	08-07-01	20	KLA-Tencor Corporation	1,096 00	1,103 13	7 13	
05-21-01	08-07-01	20	KLA-Tencor Corporation	1,129 90	1,103 13	-26 77	
12-27-00	08-07-01	50	Adolph Coors Cl B	4,043 50	2,440 77	-1,602 73	
12-21-00	08-08-01	70	Nabors Industries	3,998 40	1,895 98	-2,102 42	
12-21-00	08-08-01	50	Weatherford International	2,238 47	1,940 60	-297 87	
12-27-00	08-09-01	50	Adolph Coors Cl B	4,043 50	2,415 42	-1,628 08	
12-27-00	08-10-01	50	Adolph Coors Cl B	4,043 50	2,397 35	-1,646 15	
12-27-00	08-13-01	50	Adolph Coors Cl B	4,043 50	2,397 80	-1,645 70	
12-27-00	08-14-01	20	Adolph Coors Cl B	1,617 40	938 54	-678 86	
01-05-01	08-14-01	50	Adolph Coors Cl B	3,367 10	2,346 36	-1,020 74	
04-10-01	08-14-01	50	Adolph Coors Cl B	3,131 71	2,346 37	-785 34	
12-26-00	08-15-01	430	Crown Castle Int'l Corp	11,353 50	3,719 37	-7,634 13	
12-21-00	08-15-01	240	Dynegy Inc-CL A	11,863 80	10,310 51	-1,553 29	
12-21-00	08-15-01	80	Nabors Industries	4,569 60	2,141 35	-2,428 25	
12-21-00	08-16-01	120	Dynegy Inc-CL A	5,931 90	4,937 71	-994 19	
01-11-01	08-16-01	80	Dynegy Inc-CL A	3,327 10	3,291 81	-35 29	
12-21-00	08-20-01	90	Capital One Financial Corp	5,412 77	5,511 00	98 23	
12-21-00	08-23-01	120	Tenet Healthcare Corporation	5,090 72	6,914 82	1,824 10	
12-20-00	09-06-01	20	IDEC Pharmaceuticals Corp	1,244 94	1,147 43	-97 51	
01-05-01	09-06-01	30	IDEC Pharmaceuticals Corp	1,556 58	1,721 15	164 57	
01-05-01	09-10-01	70	IDEC Pharmaceuticals Corp	3,632 02	4,097 31	465 29	
01-30-01	09-10-01	50	MGIC Investment Corp	2,989 38	3,293 88	304 50	
12-21-00	09-19-01	150	Nabors Industries	8,568 00	3,004 42	-5,563 58	
05-21-01	09-20-01	30	KLA-Tencor Corporation	1,694 85	1,041 82	-653 03	
05-22-01	09-20-01	110	KLA-Tencor Corporation	6,622 52	3,820 01	-2,802 51	
06-27-01	09-20-01	50	KLA-Tencor Corporation	2,769 13	1,736 36	-1,032 77	
12-21-00	09-20-01	150	Nabors Industries	8,568 00	3,007 35	-5,560 65	
12-21-00	09-21-01	40	Nabors Industries	2,284 80	796 16	-1,488 64	
06-15-01	09-21-01	120	Nabors Industries	5,616 84	2,388 47	-3,228 37	
12-21-00	09-21-01	80	Tenet Healthcare Corporation	3,393 82	4,276 03	882 21	
03-22-01	09-24-01	90	Kinder Morgan Inc	4,361 60	4,589 45	227 85	
03-26-01	09-24-01	10	Kinder Morgan Inc	524 97	509 93	-15 04	
06-19-01	09-27-01	380	Cendant Corp	7,472 51	4,315 40	-3,157 11	
06-20-01	09-27-01	90	Citrix Systems Inc	2,663 96	1,629 62	-1,034 34	
06-20-01	09-27-01	90	Citrix Systems Inc	2,663 96	1,834 46	-829 50	
06-22-01	09-27-01	60	Citrix Systems Inc	1,845 55	1,222 97	-622 58	
06-25-01	09-27-01	20	Citrix Systems Inc	634 96	407 66	-227 30	
03-26-01	09-27-01	130	Kinder Morgan Inc	6,824 57	6,059 68	-764 89	
03-28-01	09-27-01	70	Kinder Morgan Inc	3,612 25	3,262 90	-349 35	
06-19-01	09-28-01	140	Cendant Corp	2,753 03	1,747 57	-1,005 46	
06-20-01	09-28-01	80	Cendant Corp	1,583 04	998 61	-584 43	
03-28-01	09-28-01	40	Kinder Morgan Inc	2,064 14	1,931 50	-132 64	
04-27-01	09-28-01	60	Kinder Morgan Inc	3,486 27	2,897 25	-589 02	
06-06-01	09-28-01	60	Kinder Morgan Inc	3,353 06	2,897 26	-455 80	
06-27-01	09-28-01	0	Charter One Fin Inc	14 88	13 00	-1 88	
04-20-01	10-02-01	50	Applied Micro Circuits Corp	1,572 39	332 17	-1,240 22	
05-02-01	10-02-01	50	Applied Micro Circuits Corp	1,425 20	332 17	-1,093 03	
05-02-01	10-02-01	140	Applied Micro Circuits Corp	3,990 56	883 85	-3,106 71	
05-18-01	10-02-01	50	Applied Micro Circuits Corp	1,178 52	315 66	-862 86	
05-21-01	10-02-01	50	Applied Micro Circuits Corp	1,264 24	315 66	-948 58	
05-22-01	10-02-01	60	Applied Micro Circuits Corp	1,588 04	378 79	-1,209 25	
05-23-01	10-02-01	70	Applied Micro Circuits Corp	1,746 90	441 92	-1,304 98	
06-20-01	10-02-01	310	Cendant Corp	6,134 28	3,749 04	-2,385 24	
06-29-01	10-02-01	220	Cendant Corp	4,416 85	2,660 61	-1,756 24	

Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**  
*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*  
 From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
06-25-01	10-02-01	60	Citrix Systems Inc	1,904 87	1,239 08	-665 79	
06-27-01	10-02-01	150	Citrix Systems Inc	4,965 37	3,097 69	-1,867 68	
06-27-01	10-02-01	130	Emulex Corp	4,468 82	1,183 78	-3,285 04	
06-28-01	10-02-01	60	Emulex Corp	2,240 85	546 36	-1,694 49	
12-27-00	10-02-01	270	Nextel Partners Inc-Cl A	4,408 15	1,481 48	-2,926 67	
05-23-01	10-03-01	10	Applied Micro Circuits Corp	249 56	77 87	-171 69	
05-29-01	10-03-01	100	Applied Micro Circuits Corp	2,100 10	778 67	-1,321 43	
06-27-01	10-03-01	90	Citrix Systems Inc	2,979 22	1,948 75	-1,030 47	
07-26-01	10-03-01	60	Citrix Systems Inc	2,072 12	1,299 17	-772 95	
06-28-01	10-03-01	30	Emulex Corp	1,120 43	343 28	-777 15	
07-02-01	10-03-01	50	Emulex Corp	2,020 57	572 14	-1,448 43	
07-05-01	10-03-01	40	Emulex Corp	1,508 38	457 71	-1,050 67	
12-21-00	10-03-01	50	Laboratory Corp Of Amer Hldgs	4,070 19	4,100 04	29 85	
12-27-00	10-03-01	100	Nextel Partners Inc-Cl A	1,632 65	518 96	-1,113 69	
06-18-01	10-03-01	320	Rite Aid	3,158 62	2,207 89	-950 73	
07-26-01	10-04-01	130	Citrix Systems Inc	4,489 59	2,976 74	-1,512 85	
07-05-01	10-04-01	100	Emulex Corp	3,770 96	1,429 98	-2,340 98	
07-25-01	10-04-01	120	Emulex Corp	2,577 72	1,715 97	-861 75	
09-05-01	10-04-01	60	Emulex Corp	853 84	857 99	4 15	
12-27-00	10-04-01	90	Nextel Partners Inc-Cl A	1,469 38	629 05	-840 33	
05-18-01	10-04-01	60	Peregrine Systems Inc	1,640 96	828 37	-812 59	
05-21-01	10-04-01	110	Peregrine Systems Inc	3,211 66	1,518 69	-1,692 97	
12-27-00	10-05-01	60	Nextel Partners Inc-Cl A	979 59	459 52	-520 07	
09-05-01	10-08-01	110	Emulex Corp	1,565 37	1,872 63	307 26	
12-27-00	10-08-01	90	Nextel Partners Inc-Cl A	1,469 38	643 58	-825 80	
05-21-01	10-08-01	20	Peregrine Systems Inc	583 94	286 74	-297 20	
05-22-01	10-08-01	130	Peregrine Systems Inc	3,925 00	1,863 78	-2,061 22	
05-24-01	10-08-01	20	Peregrine Systems Inc	631 49	286 73	-344 76	
06-18-01	10-08-01	200	Rite Aid	1,974 14	1,101 08	-873 06	
06-19-01	10-08-01	230	Rite Aid	2,149 99	1,266 24	-883 75	
06-20-01	10-08-01	40	Rite Aid	383 96	220 22	-163 74	
01-05-01	10-10-01	50	IDEC Pharmaceuticals Corp	2,594 30	2,465 59	-128 71	
03-05-01	10-10-01	70	IDEC Pharmaceuticals Corp	3,830 14	3,451 83	-378 31	
03-26-01	10-10-01	10	IDEC Pharmaceuticals Corp	399 92	493 12	93 20	
01-30-01	10-10-01	120	MGIC Investment Corp	7,174 50	7,295 38	120 88	
05-29-01	10-10-01	40	Stanley Works Inc	1,512 43	1,566 25	53 82	
05-30-01	10-10-01	10	Stanley Works Inc	377 62	391 56	13 94	
09-05-01	10-11-01	270	Emulex Corp	3,842 26	5,137 54	1,295 28	
03-26-01	10-11-01	90	IDEC Pharmaceuticals Corp	3,599 26	4,856 64	1,257 38	
07-30-01	10-11-01	40	IDEC Pharmaceuticals Corp	2,237 27	2,158 51	-78 76	
01-30-01	10-11-01	280	MGIC Investment Corp	16,740 50	15,694 51	-1,045 99	
12-27-00	10-11-01	180	Nextel Partners Inc-Cl A	2,938 77	1,460 18	-1,478 59	
05-24-01	10-11-01	70	Peregrine Systems Inc	2,210 21	1,113 96	-1,096 25	
05-25-01	10-11-01	60	Peregrine Systems Inc	1,873 23	954 82	-918 41	
05-29-01	10-11-01	40	Peregrine Systems Inc	1,198 49	636 53	-561 96	
05-30-01	10-11-01	50	Stanley Works Inc	1,888 12	1,992 30	104 18	
06-04-01	10-12-01	50	Stanley Works Inc	1,913 83	1,952 02	38 19	
09-05-01	10-15-01	80	Emulex Corp	1,138 45	1,599 67	461 22	
12-27-00	10-15-01	50	Nextel Partners Inc-Cl A	816 32	372 65	-443 67	
06-12-01	10-15-01	60	Stanley Works Inc	2,412 88	2,318 82	-94 06	
08-01-01	10-16-01	130	Cadence Design Systems Inc	2,983 86	2,403 92	-579 94	
08-06-01	10-16-01	20	Cadence Design Systems Inc	451 22	369 83	-81 39	
12-27-00	10-16-01	50	Nextel Partners Inc-Cl A	816 32	343 74	-472 58	
05-29-01	10-16-01	120	Peregrine Systems Inc	3,595 48	1,823 21	-1,772 27	
06-12-01	10-16-01	80	Stanley Works Inc	3,217 17	3,062 80	-154 37	
08-06-01	10-17-01	70	Cadence Design Systems Inc	1,579 25	1,465 45	-113 80	
08-08-01	10-17-01	140	Cadence Design Systems Inc	3,275 64	2,930 90	-344 74	

Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**  
*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*  
 From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
08-13-01	10-17-01	200	Cadence Design Systems Inc	4,611 62	4,187 00	-424 62	
08-22-01	10-17-01	140	Cadence Design Systems Inc	3,152 04	2,930 90	-221 14	
05-30-01	10-17-01	90	Peregrine Systems Inc	2,494 20	1,274 57	-1,219 63	
06-07-01	10-17-01	90	Peregrine Systems Inc	2,783 24	1,274 57	-1,508 67	
12-21-00	10-17-01	120	Tenet Healthcare Corporation	5,090 72	7,354 00	2,263 28	
06-07-01	10-18-01	120	Peregrine Systems Inc	3,710 99	1,593 66	-2,117 33	
12-21-00	10-19-01	70	Laboratory Corp Of Amer Hldgs	5,698 26	5,859 71	161 45	
12-27-00	10-19-01	60	Nextel Partners Inc-CI A	979 59	349 98	-629 61	
12-27-00	10-23-01	210	Nextel Partners Inc-CI A	3,428 56	1,109 62	-2,318 94	
06-21-01	10-23-01	80	Reebok Intl Ltd	2,404 14	1,790 85	-613 29	
06-22-01	10-23-01	120	Reebok Intl Ltd	3,638 74	2,686 27	-952 47	
06-25-01	10-23-01	10	Reebok Intl Ltd	304 47	223 86	-80 61	
12-21-00	10-24-01	130	Echostar Communications Corp New-CI A	2,973 14	3,238 93	265 79	
06-25-01	10-24-01	50	Reebok Intl Ltd	1,522 35	1,035 33	-487 02	
06-28-01	10-24-01	60	Reebok Intl Ltd	1,919 85	1,242 39	-677 46	
12-26-00	10-25-01	50	Shaw Group Inc	2,233 10	1,350 26	-882 84	
06-28-01	10-26-01	90	Reebok Intl Ltd	2,879 78	1,846 69	-1,033 09	
12-27-00	10-26-01	170	Santa Fe International Corp	5,182 09	4,286 21	-895 88	
12-26-00	10-26-01	100	Shaw Group Inc	4,466 20	2,741 43	-1,724 77	
06-12-01	10-26-01	30	Stanley Works Inc	1,206 44	1,188 50	-17 94	
06-13-01	10-26-01	20	Stanley Works Inc	801 11	792 33	-8 78	
12-21-00	10-26-01	240	Weatherford International	10,744 68	8,800 09	-1,944 59	
06-29-01	10-29-01	50	Reebok Intl Ltd	1,609 74	1,003 90	-605 84	
12-27-00	10-29-01	200	Santa Fe International Corp	6,096 58	5,189 78	-906 80	
12-26-00	10-29-01	50	Shaw Group Inc	2,233 10	1,402 95	-830 15	
12-27-00	10-30-01	90	NDS Group Plc-Spons ADR	5,570 24	1,942 89	-3,627 35	
12-27-00	11-01-01	80	NDS Group Plc-Spons ADR	4,951 33	1,687 14	-3,264 19	
06-29-01	11-01-01	140	Reebok Intl Ltd	4,507 27	2,780 08	-1,727 19	
12-26-00	11-01-01	30	Shaw Group Inc	1,339 86	805 62	-534 24	
12-28-00	11-01-01	20	Shaw Group Inc	984 27	537 08	-447 19	
06-13-01	11-01-01	50	Stanley Works Inc	2,002 79	1,908 89	-93 90	
12-21-00	11-01-01	110	Weatherford International	4,924 64	3,799 20	-1,125 44	
06-29-01	11-02-01	50	Reebok Intl Ltd	1,609 74	990 14	-619 60	
12-27-00	11-02-01	60	Santa Fe International Corp	1,828 97	1,390 14	-438 83	
09-19-01	11-05-01	100	King Pharmaceuticals	3,664 77	3,741 71	76 94	
12-27-00	11-05-01	40	NDS Group Plc-Spons ADR	2,475 66	838 54	-1,637 12	
06-29-01	11-05-01	50	Reebok Intl Ltd	1,609 74	996 54	-613 20	
09-28-01	11-05-01	10	Reebok Intl Ltd	203 80	199 31	-4 49	
12-28-00	11-05-01	60	Shaw Group Inc	2,952 82	1,586 87	-1,365 95	
09-19-01	11-06-01	90	King Pharmaceuticals	3,298 29	3,380 40	82 11	
09-20-01	11-06-01	160	King Pharmaceuticals	6,140 74	6,009 61	-131 13	
10-04-01	11-06-01	40	King Pharmaceuticals	1,707 64	1,502 40	-205 24	
10-11-01	11-06-01	110	King Pharmaceuticals	4,598 57	4,131 60	-466 97	
12-27-00	11-06-01	40	NDS Group Plc-Spons ADR	2,475 66	815 97	-1,659 69	
09-28-01	11-06-01	60	Reebok Intl Ltd	1,222 81	1,202 25	-20 56	
06-14-01	11-06-01	50	Stanley Works Inc	1,999 65	1,991 60	-8 05	
09-28-01	11-07-01	30	Reebok Intl Ltd	611 41	603 25	-8 16	
10-17-01	11-07-01	60	Reebok Intl Ltd	1,201 82	1,206 51	4 69	
12-27-00	11-08-01	40	NDS Group Plc-Spons ADR	2,475 66	805 97	-1,669 69	
12-27-00	11-09-01	30	NDS Group Plc-Spons ADR	1,856 75	597 05	-1,259 70	
12-29-00	11-09-01	10	NDS Group Plc-Spons ADR	545 65	199 02	-346 63	
12-27-00	11-09-01	90	Santa Fe International Corp	2,743 46	2,307 39	-436 07	
08-15-01	11-12-01	260	Broadcom Corp-CI A	11,136 87	11,571 77	434 90	
08-03-01	11-12-01	120	Nvidia Corporation	5,211 28	6,585 84	1,374 56	
12-27-00	11-13-01	110	Santa Fe International Corp	3,353 12	2,842 89	-510 23	

Seneca Capital Management LLC  
**REALIZED GAINS AND LOSSES**

*Mayer & Morris Kaplan Foundation (761-01429, kaplan1)*

From 01-01-01 Through 12-31-01

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
06-14-01	11-13-01	40	Stanley Works Inc	1,599 72	1,624 43	24 71	
06-15-01	11-13-01	60	Stanley Works Inc	2,386 31	2,436 64	50 33	
06-20-01	11-13-01	20	Stanley Works Inc	823 99	812 21	-11 78	
06-20-01	11-14-01	50	Stanley Works Inc	2,059 98	2,064 05	4 07	
06-20-01	11-15-01	50	Stanley Works Inc	2,059 98	2,075 54	15 56	
12-29-00	11-16-01	70	NDS Group Plc-Spons ADR	3,819 58	1,357 95	-2,461 63	
08-14-01	11-19-01	80	Nvidia Corporation	3,443 76	4,143 85	700 09	
08-15-01	11-19-01	80	Nvidia Corporation	3,479 06	4,143 85	664 79	
06-20-01	11-19-01	160	Rite Aid	1,535 82	847 68	-688 14	
06-21-01	11-19-01	120	Rite Aid	1,147 26	635 76	-511 50	
06-22-01	11-19-01	200	Rite Aid	1,846 20	1,059 61	-786 59	
06-25-01	11-19-01	70	Rite Aid	649 24	370 86	-278 38	
08-15-01	11-20-01	100	Nvidia Corporation	4,348 82	5,176 68	827 86	
10-15-01	11-20-01	30	Nvidia Corporation	1,217 96	1,553 01	335 05	
06-25-01	11-20-01	140	Rite Aid	1,298 47	759 83	-538 64	
06-28-01	11-20-01	70	Rite Aid	629 80	379 91	-249 89	
10-15-01	11-21-01	120	Nvidia Corporation	4,871 86	5,847 81	975 95	
06-28-01	11-21-01	120	Rite Aid	1,079 67	626 80	-452 87	
06-29-01	11-21-01	70	Rite Aid	636 00	365 64	-270 36	
06-29-01	11-23-01	270	Rite Aid	2,453 14	1,392 85	-1,060 29	
08-15-01	11-29-01	20	Broadcom Corp-CI A	856 68	925 02	68 34	
08-22-01	11-29-01	90	Broadcom Corp-CI A	2,947 03	4,162 59	1,215 56	
08-23-01	11-29-01	110	Broadcom Corp-CI A	3,814 67	5,087 62	1,272 95	
10-04-01	11-29-01	50	Broadcom Corp-CI A	1,282 35	2,312 55	1,030 20	
12-21-00	12-03-01	100	Capital One Financial Corp	6,014 19	4,847 88	-1,166 31	
12-21-00	12-04-01	240	Capital One Financial Corp	14,434 06	11,793 25	-2,640 81	
10-04-01	12-05-01	240	Broadcom Corp-CI A	6,155 28	11,823 99	5,668 71	
12-21-00	12-05-01	10	Capital One Financial Corp	601 42	516 56	-84 86	
02-27-01	12-05-01	110	Capital One Financial Corp	6,367 05	5,682 14	-684 91	
10-25-01	12-06-01	180	Brocade Communications Sys	4,543 51	6,910 12	2,366 61	
01-02-01	12-12-01	110	Valero Energy Corp	4,096 95	3,938 30	-158 65	
01-02-01	12-13-01	120	Valero Energy Corp	4,469 40	4,258 65	-210 75	
01-02-01	12-14-01	70	Valero Energy Corp	2,607 15	2,407 64	-199 51	
07-27-01	12-18-01	230	Tricon Global Restaurants Inc	10,033 59	12,127 49	2,093 90	
07-27-01	12-19-01	30	Tricon Global Restaurants Inc	1,308 73	1,557 58	248 85	
07-30-01	12-19-01	30	Tricon Global Restaurants Inc	1,356 34	1,557 59	201 25	
07-30-01	12-20-01	50	Tricon Global Restaurants Inc	2,260 57	2,580 28	319 71	
07-30-01	12-27-01	60	Tricon Global Restaurants Inc	2,712 69	2,968 82	256 13	
09-10-01	12-27-01	70	Tricon Global Restaurants Inc	2,892 90	3,463 62	570 72	
TOTAL GAINS						83,999 29	0 00
TOTAL LOSSES						-329,597 17	0 00
				<b>1,418,680.38</b>	<b>1,173,082 50</b>	<b>-245,597.88</b>	<b>0 00</b>
TOTAL REALIZED GAIN/LOSS		-245,597 88					

The Northern Trust Company  
50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89502-02004

PAGE  
1

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

\*\*\* SUMMARY REPORT \*\*\*

SHORT TERM GAINS AND LOSSES

SHORT TERM GAINS AND LOSSES	379,898 25-
TOTAL SHORT TERM CAPITAL GAINS AND LOSSES	379,898 25-

LONG TERM GAINS AND LOSSES

NET LONG TERM CAPITAL GAINS AND LOSSES - 20 %	249,436 08-
NET LONG TERM CAPITAL GAINS AND LOSSES - 28 %	0 00
SUB TOTAL LONG TERM GAINS AND LOSSES	249,436 08-
TOTAL LONG TERM CAPITAL GAINS AND LOSSES	249,436 08-

# The Northern Trust Company

50 South LaSalle Street Chicago, Illinois 60675  
(312) 630-6000

89430-02004

PAGE  
1

RE

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
<u>SHORT TERM CAPITAL GAINS AND LOSSES</u>				
MBIA INC COM				
SHARES 2,250 00	SOLD 01-04-01 ACQUIRED 06-09-00	155,413 57	122,034 38	33,379 19
SHARES 1,050.00	SOLD 01-04-01 ACQUIRED 07-19-00	72,526 33	55,833 75	16,692 58
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE 5,000 00	SOLD 01-04-01 ACQUIRED 11-28-00	5,034 76	4,892 81	141 95
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE 5,000 00	SOLD 01-04-01 ACQUIRED 11-28-00	5,034.76	4,892 81	141 95
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE 5,000 00	SOLD 01-04-01 ACQUIRED 11-28-00	5,036 91	4,892 81	144 10
AMGEN INC COMMON STOCK				
SHARES 500 00	SOLD 01-05-01 ACQUIRED 05-18-00	29,311 52	31,000.00	1,688 48-
FNMA NT 5 625% DUE 05-14-2004 BEO				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89431-02004

PAGE  
2

ACCOUNT NO. 23-03380

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 5,000 00	SOLD 01-08-01 ACQUIRED 11-28-00	5,064 84	4,892 95	171 89
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE 5,000 00	SOLD 01-08-01 ACQUIRED 11-28-00	5,063 09	4,892 95	170 14
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE 5,000 00	SOLD 01-08-01 ACQUIRED 11-28-00	5,071 88	4,892 95	178 93
AMGEN INC COMMON STOCK				
SHARES 1,200 00	SOLD 01-09-01 ACQUIRED 05-18-00	72,222.59	74,400 00	2,177 41-
SHARES 300 00	SOLD 01-09-01 ACQUIRED 07-19-00	18,055 65	22,162 50	4,106 85-
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG				
PAR VALUE: 5,000 00	SOLD 01-10-01 ACQUIRED 12-14-00	5,539 06	5,577 73	38 67-
FNMA NT 5 625% DUE 05-14-2004 BEO				



# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89432-02004

PAGE  
3

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE 10,000 00	SOLD 01-10-01 ACQUIRED 11-28-00	10,096 09	9,786 80	309 29
FNMA NT 5.625% DUE 05-14-2004 BEO				
PAR VALUE: 10,000 00	SOLD 01-10-01 ACQUIRED 11-28-00	10,095 71	9,786 80	308 91
TEXTRON FINL CORP NT 7 125% DUE 12-09-2004 BEO				
PAR VALUE 10,000 00	SOLD 01-10-01 ACQUIRED 03-02-00	10,202 30	9,853 46	348 84
FNMA NT 5.625% DUE 05-14-2004 BEO				
PAR VALUE: 10,000 00	SOLD 01-11-01 ACQUIRED 11-28-00	10,068 75	9,786 80	281 95
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG				
PAR VALUE: 5,000 00	SOLD 01-16-01 ACQUIRED 12-14-00	5,450 78	5,577 73	126 95-
FNMA POOL # 253109 7.5% DUE 03-01-2015 REG				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89433-02004

PAGE  
4

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 39,704 60	SOLD 01-18-01 ACQUIRED 11-21-00	40,818 19	40,098 54	719 65
FNMA NT 5 625% DUE 05-14-2004 BE0				
PAR VALUE. 5,000 00	SOLD 01-18-01 ACQUIRED 11-28-00	5,046 09	4,893 83	152 26
FNMA 15 YEAR PASS-THROUGHS 7 5% 15 YEARS SETTLES JANUARY				
PAR VALUE. 40,000.00	SOLD 01-18-01 ACQUIRED 01-18-01	41,089 06	41,087 50	1 56
FNMA NT 5 25% DUE 01-15-2009 REG				
PAR VALUE 5,000 00	SOLD 01-19-01 ACQUIRED 11-29-00	4,817 39	4,614 98	202 41
FNMA NT 5 625% DUE 05-14-2004 BE0				
PAR VALUE: 5,000 00	SOLD 01-22-01 ACQUIRED 11-28-00	5,031 44	4,894 13	137 31
UNITED STATES TREAS BDS 4 375% DUE 08-15-2027 REG				
PAR VALUE. 5,000 00	SOLD 01-23-01 ACQUIRED 12-14-00	5,454 30	5,577.73	123 43-
FNMA NT 5 625% DUE 05-14-2004 BE0				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89434-02004

PAGE  
5

RE

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380  
EMPLOYER I/D NO. 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	5,000 00	SOLD 01-24-01 ACQUIRED 11-28-00	5,023 64	4,894.43	129 21
UNITED STATES TREAS NTS 6 125% DUE 08-15-2007 REG					
PAR VALUE	5,000 00	SOLD 01-25-01 ACQUIRED 01-19-01	5,242.77	5,285 74	42 97-
FNMA NT 5 25% DUE 01-15-2009 REG					
PAR VALUE:	5,000 00	SOLD 01-25-01 ACQUIRED 11-29-00	4,741 60	4,615.55	126 05
UNITED STATES TREAS NTS 6 125% DUE 08-15-2007 REG					
PAR VALUE:	5,000 00	SOLD 01-25-01 ACQUIRED 01-19-01	5,242 58	5,285 74	43 16-
FNMA POOL # 253109 7 5% DUE 03-01-2015 REG					
PAR VALUE	295 27	SOLD 01-25-01 ACQUIRED 11-21-00	295.27	298 20	2 93-
FNMA NT 5 625% DUE 05-14-2004 BEO					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630 6000

89435-02004

PAGE  
6

RE.

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380  
EMPLOYER I/D NO. 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 5,000 00	SOLD 01-29-01 ACQUIRED 11-28-00	5,033 20	4,894 71	138 49
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE 5,000 00	SOLD 01-30-01 ACQUIRED 11-28-00	5,047 26	4,894.72	152 54
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG				
PAR VALUE: 5,000 00	SOLD 01-31-01 ACQUIRED 12-14-00	5,494 54	5,577.73	83 19-
FNMA PREASSIGN 00034 6 75% DUE 08-15-2002 BEO				
PAR VALUE 200,000 00	SOLD 01-31-01 ACQUIRED 12-26-00	205,110 00	203,414 06	1,695 94
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE. 5,000 00	SOLD 02-01-01 ACQUIRED 11-28-00	5,078.32	4,895.15	183 17
PAR VALUE: 5,000 00	SOLD 02-01-01 ACQUIRED 12-18-00	5,078 32	4,974 02	104 30
FNMA TBA POOL 6 5X 30 YR FEB				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89436-02004

PAGE  
7

RE

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	175,000 00	SOLD 02-01-01 ACQUIRED 01-16-01	174,569 34	172,990 23	1,579 11
FNMA TBA POOL #9999999 7 0% DUE 02-01-2014 REG					
PAR VALUE	45,000 00	SOLD 02-01-01 ACQUIRED 01-22-01	45,915 82	45,745 31	170 51
PAYCHEX INC , COMMON STOCK					
SHARES:	2,650.00	SOLD 02-07-01 ACQUIRED 03-03-00	110,633.81	84,800 00	25,833 81
FNMA PREASSIGN 00052 6 25% DUE 02-01-2011 BEO					
PAR VALUE.	5,000 00	SOLD 02-08-01 ACQUIRED 01-25-01	5,051 25	4,984 20	67 05
MCLEODUSA INCORPORATED					
SHARES.	1,500 00	SOLD 02-09-01 ACQUIRED 05-03-00	22,874 24	34,456 20	11,581 96-
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89437-02004

PAGE  
8

RE

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	5,000 00	SOLD 02-09-01 ACQUIRED 12-14-00	5,546 87	5,577 73	30 86-
FNMA PREASSIGN 00034 6 75% DUE 08-15-2002 BEO					
PAR VALUE.	5,000 00	SOLD 02-09-01 ACQUIRED 12-26-00	5,124 21	5,085.35	38.86
FNMA NT 5 25% DUE 01-15-2009 REG					
PAR VALUE	10,000 00	SOLD 02-13-01 ACQUIRED 11-29-00	9,654 69	9,234 49	420 20
FNMA NT 5 625% DUE 05-14-2004 BEO					
PAR VALUE	15,000 00	SOLD 02-13-01 ACQUIRED 12-18-00	15,137 70	14,922 07	215 63
FNMA NT 5 25% DUE 01-15-2009 REG					
PAR VALUE	5,000 00	SOLD 02-21-01 ACQUIRED 11-29-00	4,806 05	4,618 37	187 68
FNMA PREASSIGN 00034 6 75% DUE 08-15-2002 BEO					
PAR VALUE	10,000 00	SOLD 02-21-01 ACQUIRED 12-26-00	10,236 10	10,170 70	65 40
US TREAS 6 125% BD DUE 11-15-2027 CV OVER 1 BILLION SEE SEC 3-982267					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89438-02004

PAGE  
9

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 35,000 00	SOLD	02-22-01	37,069 55	37,291 40	221 85-
	ACQUIRED	01-19-01			
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG					
PAR VALUE 5,000 00	SOLD	02-22-01	5,439 06	5,577 73	138 67-
	ACQUIRED	12-14-00			
UNIVISION COMMUNICATIONS INC CL A					
SHARES: 2,200 00	SOLD	03-01-01	72,597 58	107,772 50	35,174 92-
	ACQUIRED	08-17-00			
SAFEWAY INC NT 7 5% DUE 09-15-2009 BEO					
PAR VALUE: 5,000 00	SOLD	03-01-01	5,353 25	5,357.80	4 55-
	ACQUIRED	01-08-01			
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG					
PAR VALUE: 5,000 00	SOLD	03-02-01	5,557 03	5,577.73	20 70-
	ACQUIRED	12-14-00			
UNION PAC RES GROUP INC 7.5% DUE 10-15-2026 REG					

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89439-02004

PAGE  
 10

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE.

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE 5,000 00	SOLD 03-02-01 ACQUIRED 01-10-01	5,240.05	5,201 40	38 65
FNMA NT 5 25% DUE 01-15-2009 REG				
PAR VALUE: 5,000 00	SOLD 03-05-01 ACQUIRED 11-29-00	4,853.13	4,619 29	233 84
PAR VALUE: 5,000 00	SOLD 03-05-01 ACQUIRED 12-01-00	4,853.12	4,642 37	210 75
FNMA TBA POOL #99999999 6 5% DUE 03-01-2030 REG				
PAR VALUE: 175,000 00	SOLD 03-06-01 ACQUIRED 02-01-01	174,070 31	174,289.06	218 75-
FNMA 6 625% DUE 11-15-2010 REG				
PAR VALUE: 5,000 00	SOLD 03-06-01 ACQUIRED 02-08-01	5,309 76	5,261 25	48.51
FNMA NT 5.25% DUE 01-15-2009 REG				
PAR VALUE. 5,000 00	SOLD 03-07-01 ACQUIRED 12-01-00	4,875 39	4,642 89	232 50
FNMA NT 5 625% DUE 05-14-2004 BEO				



# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89440-02004

PAGE  
11

RE

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	60,000 00	SOLD 03-09-01 ACQUIRED 12-18-00	60,862 50	59,688 29	1,174 21
FNMA TBA POOL #9999999 7 0% DUE 03-01-2015 REG					
PAR VALUE	45,000 00	SOLD 03-12-01 ACQUIRED 02-01-01	45,395 51	45,843 75	448.24-
FNMA NT 5 625% DUE 05-14-2004 BE0					
PAR VALUE	20,000 00	SOLD 03-13-01 ACQUIRED 12-18-00	20,278 90	19,896 09	382.81
PAR VALUE:	10,000 00	SOLD 03-13-01 ACQUIRED 01-10-01	10,139 46	10,101 17	38 29
PAR VALUE	35,000 00	SOLD 03-13-01 ACQUIRED 02-15-01	35,488 08	35,175 00	313 08
BRITISH TELECOMMUNICATIONS P L C NT 8 125% DUE 12-15-2010 BE0					
PAR VALUE	5,000 00	SOLD 03-14-01 ACQUIRED 03-05-01	5,204 20	5,157.55	46 65
FNMA NT 5 625% DUE 05-14-2004 BE0					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89441-02004

PAGE  
12

ACCOUNT NO. 23-03380

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 5,000 00	SOLD 03-15-01 ACQUIRED 02-15-01	5,087 69	5,025 00	62 69
UNITED STATES TREAS NTS 6 125% DUE 08-15-2007 REG				
PAR VALUE 40,000 00	SOLD 03-16-01 ACQUIRED 01-19-01	43,029 69	42,285 94	743.75
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG				
PAR VALUE: 45,000 00	SOLD 03-19-01 ACQUIRED 12-14-00	50,668 94	50,199 61	469.33
FNMA BD 7 125% DUE 02-15-2005 BEO				
PAR VALUE. 50,000 00	SOLD 03-19-01 ACQUIRED 12-05-00	53,585 95	51,861 33	1,724 62
US TREAS 6 125% BD DUE 11-15-2027 CV OVER 1 BILLION SEE SEC 3-982267				
PAR VALUE. 10,000 00	SOLD 03-20-01 ACQUIRED 01-19-01	10,906.64	10,654.69	251 95
FNMA NT 5 25% DUE 01-15-2009 REG				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89442-02004

PAGE  
13

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	5,000 00	SOLD 03-20-01 ACQUIRED 12-01-00	4,893 17	4,643 95	249 22
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG					
PAR VALUE:	5,000 00	SOLD 03-20-01 ACQUIRED 12-14-00	5,614 45	5,577.73	36.72
WAL-MART STORES, INC COMMON STOCK, \$ 10 PAR					
SHARES	650 00	SOLD 03-23-01 ACQUIRED 03-27-00	29,567 51	35,585 88	6,018 37-
BRITISH TELECOMMUNICATIONS P L C NT 7 625% DUE 12-15-2005 BEO					
PAR VALUE:	5,000 00	SOLD 03-23-01 ACQUIRED 01-24-01	5,159 55	5,131 95	27.60
BRITISH TELECOMMUNICATIONS P L C NT 8 125% DUE 12-15-2010 BEO					
PAR VALUE	5,000 00	SOLD 03-26-01 ACQUIRED 03-05-01	5,177 35	5,157 55	19.80
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG					

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89443-02004

PAGE  
 14

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 5,000 00	SOLD 03-27-01 ACQUIRED 12-14-00	5,524 22	5,577 74	53 52-
SOLECTRON CORP COMMON STOCK				
SHARES 2,500 00	SOLD 03-30-01 ACQUIRED 08-29-00	45,823 47	115,125 00	69,301 53-
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE: 25,000 00	SOLD 04-03-01 ACQUIRED 02-15-01	25,553 71	25,125.00	428 71
FNMA PREASSIGN 00034 6 75% DUE 08-15-2002 BEO				
PAR VALUE 120,000 00	SOLD 04-04-01 ACQUIRED 12-26-00	123,660 96	122,048 44	1,612 52
FNMA 6 625% DUE 11-15-2030 REG				
PAR VALUE: 20,000 00	SOLD 04-04-01 ACQUIRED 02-22-01	20,806 25	20,918 00	111 75-
FNMA PREASSIGN 00034 6 75% DUE 08-15-2002 BEO				
PAR VALUE: 165,000 00	SOLD 04-04-01 ACQUIRED 12-26-00	170,001 57	167,816 61	2,184.96

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89444-02004

PAGE  
15

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE 45,000 00	SOLD 04-04-01 ACQUIRED 03-16-01	46,364 06	46,302 54	61.52
FNMA NT 5.625% DUE 05-14-2004 BEO				
PAR VALUE: 15,000 00	SOLD 04-05-01 ACQUIRED 02-15-01	15,336 33	15,075 00	261.33
J P MORGAN CHASE & CO NT 6 75% DUE 02-01-2011 BEO				
PAR VALUE: 5,000 00	SOLD 04-05-01 ACQUIRED 01-25-01	5,077 75	4,966.70	111 05
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG				
PAR VALUE: 15,000 00	SOLD 04-11-01 ACQUIRED 12-14-00	16,225 78	16,733 20	507 42-
FNMA NT 5 25% DUE 01-15-2009 REG				
PAR VALUE: 25,000 00	SOLD 04-11-01 ACQUIRED 12-01-00	24,248 04	23,232 20	1,015 84
##FNMA TBA POOL #9999999 7 0% DUE 04-01-2014 REG				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89445-02004

PAGE  
 16

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE. 45,000 00	SOLD 04-16-01 ACQUIRED 03-12-01	45,791 02	45,365 63	425 39
GNMA POOL # 781024 SER 2029 7 5% DUE 02-15-2029 REG				
PAR VALUE. 749 12	SOLD 04-16-01 ACQUIRED 03-07-01	749.12	768 20	19 08-
GNMA POOL # 517850 SER 2029 7 5% DUE 09-15-2029 REG				
PAR VALUE: 1,138 73	SOLD 04-16-01 ACQUIRED 03-07-01	1,138 73	1,165.77	27.04-
GOLDMAN SACHS GROUP INC 6 875% DUE 01-15-2011 BEO				
PAR VALUE: 25,000 00	SOLD 04-18-01 ACQUIRED 04-03-01	24,886 25	25,176 75	290 50-
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE. 20,000 00	SOLD 04-18-01 ACQUIRED 02-15-01	20,397 66	20,100 00	297 66
UNION PAC RES GROUP INC 7 05% DUE 05-15-2018 REG				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630 6000

89446-02004

PAGE  
17

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE.

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 10,000 00 SOLD 04-19-01 ACQUIRED 03-02-01		9,722 70	9,959 20	236.50-
FNMA NT 5 25% DUE 01-15-2009 REG				
PAR VALUE: 20,000 00 SOLD 04-20-01 ACQUIRED 12-01-00		19,316 40	18,588 58	727 82
BANKAMERICA CORP SR NT DTD 02/08/1999 5 875% DUE 02-15-2009 BE0				
PAR VALUE: 5,000.00 SOLD 04-25-01 ACQUIRED 11-08-00		4,790.40	4,496 07	294 33
BANKAMERICA CORP SR NT DTD 02/08/1999 5 875% DUE 02-15-2009 BE0				
PAR VALUE. 5,000 00 SOLD 04-25-01 ACQUIRED 11-08-00		4,790 70	4,496 07	294 63
FNMA POOL-# 535831 6 5% DUE 04-01-2031 REG				
PAR VALUE: 627 93 SOLD 04-25-01 ACQUIRED 03-06-01		627.93	624.62	3.31
UNITED STATES TREAS BDS 6.375% DUE 08-15-2027 REG				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89447-02004

PAGE  
18

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 10,000 00	SOLD 04-27-01 ACQUIRED 12-14-00	10,717.19	11,155 47	438 28-
FNMA PREASSIGN 00034 6 75% DUE 08-15-2002 BEO				
PAR VALUE: 20,000 00	SOLD 05-01-01 ACQUIRED 03-16-01	20,587 50	20,578 90	8 60
PAR VALUE: 50,000 00	SOLD 05-01-01 ACQUIRED 03-19-01	51,468 75	51,400 39	68 36
FNMA 6 625% DUE 11-15-2030 REG				
PAR VALUE: 15,000 00	SOLD 05-01-01 ACQUIRED 02-22-01	15,264.25	15,688 50	424 25-
FNMA NT 5 625% DUE 05-14-2004 BEO				
PAR VALUE: 5,000 00	SOLD 05-01-01 ACQUIRED 03-19-01	5,087 89	5,095 20	7 31-
FNMA POOL # 535831 6 5% DUE 04-01-2031 REG				
PAR VALUE: 173,937 25	SOLD 05-02-01 ACQUIRED 03-06-01	172,646 31	173,020 00	373 69-
UNITED STATES TREAS BDS 6 375% DUE 08-15-2027 REG				



# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89448-02004

PAGE  
19

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE 185,000 00	SOLD 05-03-01 ACQUIRED 12-14-00	199,967 24	206,376 20	6,408 96-
PAR VALUE. 5,000 00	SOLD 05-03-01 ACQUIRED 04-19-01	5,404 52	5,287 50	117 02
UNITED STATES TREAS BDS B 125% 15/8/2019 USD1000 B 125% DUE 08-15-2019 REG				
PAR VALUE: 20,000 00	SOLD 05-04-01 ACQUIRED 05-03-01	25,364 85	25,393 75	28 90-
FLORIDA PWR & LT CO FLA PWR & LT 1ST MTG6 875% DUE 12-01-2005 BEO				
PAR VALUE: 5,000 00	SOLD 05-04-01 ACQUIRED 11-29-00	5,219 55	4,979 90	239 65
FEDERATED DEPT STORES INC DEL 6 625% DUE 04-01-2011 BEO				
PAR VALUE: 5,000 00	SOLD 05-08-01 ACQUIRED 03-21-01	4,872 05	4,958 55	86 50-
MFB NORTHERN FDS INTL GROWTH EQUITY FD				
UNITS: 3,081 44	SOLD 05-08-01 ACQUIRED 12-20-00	29,951 60	32,971 43	3,019 83-
QUEBEC PROV CDA GLOBAL DEB DTD 02/18/1999 SER PA 5 75% DUE 02-15-2009 BEO				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89449-02004

PAGE  
20

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	5,000 00	SOLD 05-09-01 ACQUIRED 01-19-01	4,885 10	4,886 85	1 75-
UNITED STATES TREAS NTS 6 125% DUE 08-15-2007 REG					
PAR VALUE:	25,000 00	SOLD 05-09-01 ACQUIRED 01-19-01	26,558 59	26,428 71	129 88
FNMA NT 5 25% DUE 01-15-2009 REG					
PAR VALUE:	40,000 00	SOLD 05-09-01 ACQUIRED 12-01-00	38,950 00	37,188 49	1,761 51
FNMA NT 5 625% DUE 05-14-2004 BEO					
PAR VALUE	40,000 00	SOLD 05-09-01 ACQUIRED 03-19-01	40,896 88	40,761 60	135 28
UNITED STATES TREAS BDS 8 125% 15/8/2019 USD1000 8.125% DUE 08-15-2019 REG					
PAR VALUE	15,000 00	SOLD 05-10-01 ACQUIRED 05-03-01	18,909 37	19,045 31	135 94-
WESTINGHOUSE ELEC CORP 7 875 NTS DUE 9-1-23 REG					

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89450-02004

PAGE  
21

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 5,000 00	SOLD	05-10-01	5,277 45	5,266 00	11 45
	ACQUIRED	03-27-01			
NATIONAL RURAL UTILS COOP FIN CORP NT 6 55% DUE 11-01-2018 BEO					
PAR VALUE: 5,000 00	SOLD	05-11-01	4,660.45	4,782 50	122.05-
	ACQUIRED	01-31-01			
ROYAL BK SCOTLAND GROUP PLC SUB NT 6 4% DUE 04-01-2009 BEO					
PAR VALUE: 5,000 00	SOLD	05-11-01	4,892 15	4,856 35	35 80
	ACQUIRED	01-25-01			
GNMA POOL # 781024 SER 2029 7 5% DUE 02-15-2029 REG					
PAR VALUE: 1,781 66	SOLD	05-15-01	1,781 66	1,827 04	45 38-
	ACQUIRED	03-07-01			
GNMA POOL # 517850 SER 2029 7.5% DUE 09-15-2029 REG					
PAR VALUE 1,679 35	SOLD	05-15-01	1,679 35	1,719 23	39 88-
	ACQUIRED	03-07-01			
CORNING INC COMMON STOCK					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89451-02004

PAGE  
22

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE.

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
SHARES:	750 00	SOLD 05-16-01 ACQUIRED 01-25-01	15,261.99	45,787 50	30,525.51-
FORD CR AUTO OWNER TR 2000-C ASSET BKD NT CL A-4 02-15-2004 BEO					
PAR VALUE	30,000 00	SOLD 05-16-01 ACQUIRED 11-28-00	30,949 22	30,324 61	624 61
UNITED STATES TREAS NTS DTD 02/15/2001 5 0% DUE 02-15-2011 REG					
PAR VALUE:	5,000 00	SOLD 05-23-01 ACQUIRED 05-08-01	4,850 00	4,930 86	80 86-
UNILEVER CAP CORP NT 7 125% DUE 11-01-2010 BEO					
PAR VALUE:	10,000 00	SOLD 05-23-01 ACQUIRED 02-02-01	10,477 60	10,583 00	105 40-
UNITED STATES TREAS NTS 6 125% DUE 08-15-2007 REG					
PAR VALUE:	10,000 00	SOLD 05-23-01 ACQUIRED 01-19-01	10,477 34	10,571 48	94 14-
FNMA POOL # 535750 6 0% DUE 06-01-2015 REG					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89452-02004

PAGE  
23

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE. 858 15	SOLD	05-25-01	858.15	859 89	1 74-
	ACQUIRED	04-04-01			
FNMA POOL # 535318 7 0% DUE 12-01-2029 REG					
PAR VALUE. 2,830 81	SOLD	05-25-01	2,830 81	2,871 95	41.14-
	ACQUIRED	04-04-01			
FNMA POOL # 535831 6 5% DUE 04-01-2031 REG					
PAR VALUE 434 82	SOLD	05-25-01	434 82	432.53	2 29
	ACQUIRED	03-06-01			
FNMA POOL # 535883 6 0% DUE 09-01-2029 REG					
PAR VALUE: 1,424 84	SOLD	05-25-01	1,424 84	1,395 68	29 16
	ACQUIRED	04-04-01			
PEPSI BOTTLING GROUP INC GTD SR NT 7 0% DUE 03-01-2029 BE0					
PAR VALUE 5,000 00	SOLD	06-04-01	5,053 25	5,094 35	41.10-
	ACQUIRED	01-16-01			
FORD MTR CR CO GLOBAL LANDMARK SECS-GLOBL5 7 375% DUE 02-01-2011 BE0					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89453-02004

PAGE  
24

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

\*

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 5,000 00	SOLD	06-05-01	5,183 90	5,126 00	57 90
	ACQUIRED	03-14-01			
UNITED STATES TREAS NTS DTD 10/31/1997 5 75% DUE 10-31-2002 REG					
PAR VALUE: 5,000 00	SOLD	06-07-01	5,116 41	5,115 43	0 98
	ACQUIRED	05-16-01			
AMERICAN EXPRESS CR ACCOUNT MASTER TR SER 2000-1 ASSET BKD CTF CL A 7 2% DUE 09-15-2007 BEO					
PAR VALUE: 20,000 00	SOLD	06-07-01	21,221 88	21,196.88	25 00
	ACQUIRED	03-13-01			
SAFEWAY INC NT 6 15% DUE 03-01-2011 BEO					
PAR VALUE. 5,000 00	SOLD	06-11-01	4,932 00	5,008 75	76.75-
	ACQUIRED	03-01-01			
UNITED STATES TREAS NTS DTD 02/15/2001 5 0% DUE 02-15-2011 REG					
PAR VALUE 5,000 00	SOLD	06-13-01	4,892 57	4,833.42	59 15
	ACQUIRED	05-11-01			
FLEETBOSTON FINL CORP 7 25% DUE 09-15-2005 BEO					

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89454-02004

PAGE  
25

ACCOUNT NO 23-03380

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 5,000 00	SOLD 06-13-01 ACQUIRED 01-29-01	5,272 20	5,237 10	35.10
GNMA POOL # 781024 SER 2029 7 5% DUE 02-15-2029 REG				
PAR VALUE: 1,965 37	SOLD 06-15-01 ACQUIRED 03-07-01	1,965 37	2,015 43	50 06-
GNMA POOL # 517850 SER 2029 7 5% DUE 09-15-2029 REG				
PAR VALUE: 1,108 62	SOLD 06-15-01 ACQUIRED 03-07-01	1,108.62	1,134 95	26 33-
UNITED STATES TREAS NTS DTD 10/31/1997 5 75% DUE 10-31-2002 REG				
PAR VALUE: 25,000.00	SOLD 06-18-01 ACQUIRED 05-16-01	25,616.21	25,577 15	39.06
MFB NORTHERN FDS SMALL CAP GROWTH FD				
UNITS: 12,759 04	SOLD 06-19-01 ACQUIRED 12-20-00	128,228 36	142,390.90	14,162 54-
SPRINT CAP CORP MTN 7 625% DUE 06-10-2002				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89455-02004

PAGE  
26

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 10,000 00 SOLD 06-20-01 ACQUIRED 02-21-01		10,270.30	10,128 10	142.20
FNMA POOL # 535750 6 0% DUE 06-01-2015 REG				
PAR VALUE: 841 30 SOLD 06-25-01 ACQUIRED 04-04-01		841 30	843 01	1 71-
FNMA POOL # 535883 6 0% DUE 09-01-2029 REG				
PAR VALUE: 1,245 58 SOLD 06-25-01 ACQUIRED 04-04-01		1,245 58	1,220 08	25 50
FNMA POOL # 585617 7 0% DUE 05-01-2031 REG				
PAR VALUE: 471 09 SOLD 06-25-01 ACQUIRED 05-01-01		471 09	475 80	4 71-
FNMA POOL # 535481 8 0% DUE 09-01-2030 REG				
PAR VALUE: 3,822 92 SOLD 06-25-01 ACQUIRED 04-16-01		3,822 92	3,934 92	112 00-
FNMA POOL # 535982 7 5% DUE 05-01-2031 REG				



# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89456-02004

PAGE  
27

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE 4,233 95	SOLD 06-25-01 ACQUIRED 04-04-01	4,233 95	4,329 87	95 92-
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG				
PAR VALUE: 1,013 21	SOLD 06-25-01 ACQUIRED 05-09-01	1,013 21	1,028 49	15 28-
FNMA POOL # 545003 8 0% DUE 05-01-2031 REG				
PAR VALUE: 2,978 61	SOLD 06-25-01 ACQUIRED 04-04-01	2,978 61	3,072 62	94 01-
FNMA POOL # 535318 7 0% DUE 12-01-2029 REG				
PAR VALUE: 2,716.36	SOLD 06-26-01 ACQUIRED 04-04-01	2,716 36	2,755 83	39 47-
AOL TIME WARNER INC 7 625% DUE 04-15-2031 BEO				
PAR VALUE: 20,000 00	SOLD 06-28-01 ACQUIRED 04-11-01	20,192 80	19,960 60	232 20
LOCKHEED MARTIN CORP 7 65% COMPANY GUARNT DUE 05-01-2016				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89457-02004

PAGE  
28

ACCOUNT NO. 23-03380

EMPLOYER I/D NO 36-6099675

RE

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 10,000 00	SOLD 07-02-01 ACQUIRED 02-09-01	10,358 10	10,509 80	151 70-
BOEING CAP CORP NT 7 375% DUE 09-27-2010 BEO				
PAR VALUE: 10,000 00	SOLD 07-09-01 ACQUIRED 12-19-00	10,669 90	10,717 90	48 00-
UNITED STATES TREAS NTS DTD 02/15/2001 5 0% DUE 02-15-2011 REG				
PAR VALUE: 10,000 00	SOLD 07-12-01 ACQUIRED 07-09-01	9,798.43	9,734.80	63 63
DOW CHEM CO NT DTD 02/08/2001 6 125% DUE 02-01-2011 BEO				
PAR VALUE: 10,000 00	SOLD 07-16-01 ACQUIRED 02-13-01	9,829 50	9,822 80	6 70
CMO HELLER FINL COML MTG ASSET CORP SER 2000-PH1 CL A2 7 75% DUE 01-15-2034 BEO				
PAR VALUE 35,000 00	SOLD 07-16-01 ACQUIRED 11-21-00	37,821 87	36,335 75	1,486 12
GNMA POOL # 781024 SER 2029 7 5% DUE 02-15-2029 REG				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89458-02004

PAGE  
29

RE:

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE.	2,059 32	SOLD 07-16-01 ACQUIRED 03-07-01	2,059 32	2,111 77	52 45-
GNMA POOL # 517850 SER 2029 7 5% DUE 09-15-2029 REG					
PAR VALUE.	496 34	SOLD 07-16-01 ACQUIRED 03-07-01	496.34	508 13	11 79-
ATLANTIC RICHFIELD CO NT 5 9% DUE 04-15-2009 BEO					
PAR VALUE:	5,000.00	SOLD 07-19-01 ACQUIRED 01-08-01	5,014 55	5,025 40	10 85-
KROGER CO NT 7 5% DUE 04-01-2031 BEO					
PAR VALUE:	25,000 00	SOLD 07-19-01 ACQUIRED 05-04-01	25,565 25	24,829 00	736 25
KROGER CO NT 6 8% DUE 04-01-2011 BEO					
PAR VALUE:	5,000 00	SOLD 07-19-01 ACQUIRED 06-11-01	5,069 10	4,968 05	101 05
UNITED TECHNOLOGIES CORP CORP 6 35% DUE 03-01-2011 BEO					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89459-02004

PAGE  
30

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380  
EMPLOYER I/D NO 36-6099675

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE	5,000 00	SOLD 07-19-01 ACQUIRED 02-21-01	5,063 00	4,988 20	74 80
VIACOM INC 7 75% DUE 06-01-2005 REG					
PAR VALUE:	10,000 00	SOLD 07-19-01 ACQUIRED 01-11-01	10,743 40	10,534.30	209 10
WASHINGTON MUT BK FA CHATSWORTH CALIF GLOBAL MEDIUM TERM SUB BK NTS BOOK ENTRY TRANCHE # SB 00001 6 875% DUE 06-15-2011 BEO					
PAR VALUE:	10,000 00	SOLD 07-19-01 ACQUIRED 06-13-01	10,215 00	9,981 60	233 40
LOCKHEED MARTIN CORP NT 8 2% DUE 12-01-2009 BEO					
PAR VALUE	10,000 00	SOLD 07-25-01 ACQUIRED 07-02-01	11,030 10	10,817 50	212 60
FNMA POOL # 535750 6 0% DUE 06-01-2015 REG					
PAR VALUE:	866 79	SOLD 07-25-01 ACQUIRED 04-04-01	866 79	868 55	1 76-
FNMA POOL # 535318 7 0% DUE 12-01-2029 REG					

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89460-02004

PAGE  
31

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

ACCOUNT NO 23-03380  
EMPLOYER I/D NO 36-6099675

\*  
FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE. 2,215 14	SOLD 07-25-01	2,215 14	2,247 33	32 19-
	ACQUIRED 04-04-01			
FNMA POOL # 535883 6.0% DUE 09-01-2029 REG				
PAR VALUE: 1,688 17	SOLD 07-25-01	1,688 17	1,653 62	34 55
	ACQUIRED 04-04-01			
FNMA POOL # 585617 7 0% DUE 05-01-2031 REG				
PAR VALUE: 1,156 29	SOLD 07-25-01	1,156 29	1,167 85	11 56-
	ACQUIRED 05-01-01			
FNMA POOL # 535481 8 0% DUE 09-01-2030 REG				
PAR VALUE 3,341 85	SOLD 07-25-01	3,341 85	3,439 76	97 91-
	ACQUIRED 04-16-01			
FNMA POOL # 535982 7 5% DUE 05-01-2031 REG				
PAR VALUE 3,431 92	SOLD 07-25-01	3,431 92	3,509 67	77 75-
	ACQUIRED 04-04-01			
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89461-02004

PAGE  
32

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

RE

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

x

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>	<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 983 89	SOLD 07-25-01 ACQUIRED 05-09-01	983 89	998 73	14 84-
FNMA POOL # 545003 8 0% DUE 05-01-2031 REG				
PAR VALUE: 2,598 50	SOLD 07-25-01 ACQUIRED 04-04-01	2,598 50	2,680 52	82 02-
FNMA POOL # 588938 6 5% DUE 06-01-2031 REG				
PAR VALUE 44,792 69	SOLD 07-30-01 ACQUIRED 05-02-01	44,666 71	44,246 78	419 93
UNITED STATES TREAS NTS DTD 10/31/1997 5 75% DUE 10-31-2002 REG				
PAR VALUE: 25,000 00	SOLD 07-31-01 ACQUIRED 07-19-01	25,660 16	25,620 12	40 04
UNITED STATES TREAS NTS DTD 00887 4 625% DUE 05-15-2006 REG				
PAR VALUE: 10,000 00	SOLD 07-31-01 ACQUIRED 07-31-01	10,048 44	10,046 88	1 56
CITIGROUP INC NT DTD 12/06/2000 6 75% DUE 12-01-2005 BE0				

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89462-02004

PAGE  
 33

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>		<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>
PAR VALUE: 15,000 00	SOLD	07-31-01	15,803 85	14,962 05	841 80
	ACQUIRED	11-30-00			
UNION PAC CORP 6.625% NTS DUE 02-01-2008 BEO					
PAR VALUE: 5,000 00	SOLD	07-31-01	5,129 60	5,003 25	126.35
	ACQUIRED	01-22-01			
PAR VALUE: 5,000 00	SOLD	07-31-01	5,129 60	5,032 30	97 30
	ACQUIRED	03-14-01			
EMERSON ELECTRIC CO , COMMON STOCK, \$1 PAR					
SHARES: 1,600 00	SOLD	08-01-01	92,268 92	115,480 00	23,211 08-
	ACQUIRED	12-21-00			
KROGER CO , COMMON STOCK, \$1 PAR					
SHARES: 5,000 00	SOLD	08-01-01	129,961 66	124,000 00	5,961 66
	ACQUIRED	12-08-00			
PROGRESS ENERGY INC SR NT 7 1% DUE 03-01-2011 BEO					
PAR VALUE: 5,000 00	SOLD	08-02-01	5,189 60	4,993.10	196.50
	ACQUIRED	02-14-01			
TRW INC TRW 7 625% DUE 03-15-2006 BEO					

**The Northern Trust Company**  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630-6000

89463-02004

PAGE 34

ACCOUNT NO. 23-03380

EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 5,000 00 SOLD 08-07-01 ACQUIRED 03-07-01 UNITED STATES TREAS MTS DTD 10/31/1997 5 75% DUE 10-31-2002 REG	08-07-01 03-07-01	5,254 35	4,995.45	258 90
PAR VALUE: 20,000 00 SOLD 08-08-01 ACQUIRED 07-19-01 SBC COMMUNICATIONS INC SBC COMMUNICATIONS INC 6 25% DUE 03-15-2011 BEO	08-08-01 07-19-01	20,503 12	20,496 09	7 03
PAR VALUE: 10,000 00 SOLD 08-10-01 ACQUIRED 03-12-01 KINDER MORGAN ENERGY PARTNERS L P KINDERMORGAN ENERGY MTS NCL 6 75% DUE 03-15-2011 BEO	08-10-01 03-12-01	10,049 40	9,929 10	120 30
PAR VALUE: 5,000 00 SOLD 08-15-01 ACQUIRED 03-07-01 QUEBEC PROV CDA GLOBAL DEB SER PJ 6 125% DUE 01-22-2011 BEO	08-15-01 03-07-01	5,052 95	4,985 25	67.70
PAR VALUE: 5,000 00 SOLD 08-15-01 ACQUIRED 05-09-01 GNMA POOL # 781024 SER 2029 7 5% DUE 02-15-2029 REG	08-15-01 05-09-01	5,017 45	4,949.15	68 30



ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 08-15-01 ACQUIRED 03-07-01	1,821 96	1,868 36	46 40-
GNMA POOL # 517850 SER 2029 7 5% DUE 09-15-2029 REG				
PAR VALUE:	SOLD 08-15-01	829 70	849 41	19 71-
GENERAL MTRS ACCEP CORP NOTES 7 5% DUE 07-15-2005 BEO				
PAR VALUE:	SOLD 08-17-01 ACQUIRED 02-13-01	10,628 20	10,362 50	265 70
ALCOA INC NT 6 5% DUE 06-01-2011 BEO				
PAR VALUE:	SOLD 08-23-01 ACQUIRED 07-16-01	10,316 20	10,073 90	242 30
FNMA POOL # 535750 6 0% DUE 06-01-2015 REG				
PAR VALUE:	SOLD 08-27-01 ACQUIRED 04-04-01	887 62	889 42	1 80-
FNMA POOL # 535318 7 0% DUE 12-01-2029 REG				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 535883 6 0% DUE 09-01-2029 REG	SOLD 08-27-01 ACQUIRED 04-04-01	2,137 36	2,168 42	31 06-
PAR VALUE:				
FNMA POOL # 585617 7.0% DUE 05-01-2031 REG	SOLD 08-27-01 ACQUIRED 04-04-01	1,351 00	1,323 35	27 65
PAR VALUE:				
FNMA POOL # 535481 8 0% DUE 09-01-2030 REG	SOLD 08-27-01 ACQUIRED 05-01-01	757 21	769 78	7.57-
PAR VALUE:				
FNMA POOL # 535982 7 5% DUE 05-01-2031 REG	SOLD 08-27-01 ACQUIRED 04-16-01	2,532 11	2,606 29	79 18-
PAR VALUE:				
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG	SOLD 08-27-01 ACQUIRED 04-04-01	3,058 83	3,128 13	69 30-
PAR VALUE:				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE	SOLD 08-27-01 ACQUIRED 05-09-01	1,289 14	1,308.58	19 44-
FNMA POOL # 545003 8 0% DUE 05-01-2031 REG	SOLD 08-27-01 ACQUIRED 04-04-01	2,271.99	2,343.70	71 71-
FNMA POOL # 588938 6.5% DUE 06-01-2031 REG	SOLD 08-27-01 ACQUIRED 05-02-01	629.94	622 26	7 68
CONSOLIDATED NAT GAS CO SR NT 2001 SER A 6 85X DUE 04-15-2011 BEO	SOLD 09-05-01 ACQUIRED 04-11-01	25,683 75	24,966 25	717 50
WEYERHAEUSER CO NT 6 0% DUE 08-01-2006/07-31-2006 BEO	SOLD 09-05-01 ACQUIRED 07-31-01	10,053 90	9,983 00	70 90
WEST COMMUNICATIONS INTL INC COM				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
SHARES:	SOLD 09-06-01	38,698 71	68,700 00	30,001 29-
	ACQUIRED 04-12-01			
SHARES:	SOLD 09-06-01	30,958 96	41,600 00	10,641 04-
	ACQUIRED 08-01-01			
DLJ COML MTG CORP COML MTG PASSTRHU CTF 98-CG1 CL A-1B 6 41X DUE 06-10-2031 REG	SOLD 09-07-01	36,464 26	35,503 12	961 14
	ACQUIRED 07-16-01			
GNMA POOL # 781024 SER 2029 7 5X DUE 02-15-2029 REG	SOLD 09-17-01	2,095 30	2,148.66	53.36-
	ACQUIRED 03-07-01			
GNMA POOL # 517850 SER 2029 7 5X DUE 09-15-2029 REG	SOLD 09-17-01	881 83	902 77	20 94-
	ACQUIRED 03-07-01			
ALCOA INC 7 375X DUE 08-01-2010 BEQ	SOLD 09-19-01	5,447 45	5,446 35	1 10
	ACQUIRED 08-23-01			
NORTROP GRUMMAN CORP NT 7 125X DUE 02-15-2011 BEQ				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
GNMAII POOL # 0003095 SER 2031 6 5% DUE 06-20-2031 REG	SOLD 09-19-01 ACQUIRED 07-25-01	10,397 40	10,104 20	293 20
PAR VALUE: 10,000 00				
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG	SOLD 09-20-01 ACQUIRED 07-30-01	186 49	186 43	0 06
PAR VALUE: 186 49				
RESIDENTIAL ASSET SECURITIES CORP MTG PASS THRU CTF ABS 5 751% DUE 05-25-2027 REG	SOLD 09-20-01 ACQUIRED 05-09-01	34,174 20	33,761 05	413 15
PAR VALUE: 33,259 56				
CALPINE CORP COM	SOLD 09-21-01 ACQUIRED 06-07-01	25,666 01	24,999 64	666 37
PAR VALUE: 25,000 00				
HOUSEHOLD INTERNATIONAL INC , COMMON STOCK, \$1 PAR	SOLD 09-24-01 ACQUIRED 04-05-01	29,936 50	62,137 50	32,201 00-
SHARES: 1,250 00				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
VERITAS SOFTWARE CORP COM	SOLD 09-24-01 ACQUIRED 01-04-01	106,516 45	115,975 00	9,458 55-
SHARES;				
500 00				
SOLD 09-24-01		10,389 66	46,375 00	35,985 34-
ACQUIRED 11-30-00				
SHARES;				
500 00				
SOLD 09-24-01		10,389 65	43,531 25	33,141 60-
ACQUIRED 12-21-00				
UNITED STATES TREAS NTS DTD 00887 4 625% DUE 05-15-2006 REG				
PAR VALUE;	SOLD 09-25-01 ACQUIRED 08-07-01	5,171 48	4,992 19	179 29
5,000.00				
CONOCO INC NT DTD 04/20/1999 6.95% DUE 04-15-2029 REG				
PAR VALUE;	SOLD 09-25-01 ACQUIRED 09-18-01	20,685 94	20,593.75	92 19
20,000.00				
PAR VALUE;	SOLD 09-25-01 ACQUIRED 06-04-01	4,664 85	4,878.10	213 25-
5,000 00				
FNMA POOL # 535750 6 0% DUE 06-01-2015 REG				

ACCOUNT NO 23-03580  
 EMPLOYER I/D NO 36-6099675

RE: INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 535318 7.0% DUE 12-01-2029 REG	SOLD 09-25-01 ACQUIRED 04-04-01	910 83	912 68	1 85-
FNMA POOL # 535883 6 0% DUE 09-01-2029 REG	SOLD 09-25-01 ACQUIRED 04-04-01	2,456 17	2,491 86	35 69-
FNMA POOL # 585617 7 0% DUE 05-01-2031 REG	SOLD 09-25-01 ACQUIRED 04-04-01	1,177 23	1,153 13	24 10
FNMA POOL # 535481 8 0% DUE 09-01-2030 REG	SOLD 09-25-01 ACQUIRED 05-01-01	560 68	566 29	5.61-
FNMA POOL # 535982 7 5% DUE 05-01-2031 REG	SOLD 09-25-01 ACQUIRED 04-16-01	2,649.60	2,727.23	77 63-

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO. 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG	SOLD 09-25-01 ACQUIRED 04-04-01	3,226 19	3,299 28	73 09-
FNMA POOL # 545003 8 0% DUE 05-01-2031 REG	SOLD 09-25-01 ACQUIRED 05-09-01	1,935 08	1,964 26	29.18-
FNMA POOL # 588938 6 5% DUE 06-01-2031 REG	SOLD 09-25-01 ACQUIRED 04-04-01	2,201 36	2,270 84	69 48-
FNMA POOL # 535318 7 0% DUE 12-01-2029 REG	SOLD 09-25-01 ACQUIRED 05-02-01	495 48	489 44	6 04
FNMA POOL # 585617 7 0% DUE 05-01-2031 REG	SOLD 09-26-01 ACQUIRED 04-04-01	87,339.77	85,884 76	1,455 01



ACCOUNT NO 23-03580  
 EMPLOYER I/D NO. 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 86,763 20	SOLD 09-26-01	89,494 59	87,610 63	1,883 96
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG	ACQUIRED 05-01-01			
PAR VALUE: 65,025.31	SOLD 09-26-01	67,087 84	66,005 77	1,082 07
ALCOA INC 7 375% DUE 08-01-2010 BEQ	ACQUIRED 05-09-01			
PAR VALUE: 5,000 00	SOLD 09-26-01	5,451 20	5,446 35	4 85
ALCAN INC FORMERLY ALCAN ALUM LTD TO 03/01/2001 ALCAN INC 7 25% DUE 03-15-2031 BEQ	ACQUIRED 08-23-01			
PAR VALUE: 10,000.00	SOLD 09-27-01	10,055 40	9,924 10	131 30
BRISTOL MYERS SQUIBB CO NT 4 75% DUE 10-01-2006 BEQ	ACQUIRED 03-20-01			
PAR VALUE: 25,000 00	SOLD 10-02-01	25,204 00	24,933.75	270 25
J P MORGAN CHASE & CO NT 6 75% DUE 02-01-2011 BEQ	ACQUIRED 09-25-01			

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO. 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE; FMA POOL # 535481 8 0% DUE 09-01-2030 REG	SOLD 10-02-01 ACQUIRED 07-12-01	10,576 70	10,034 40	542 30
PAR VALUE; FMA POOL # 545003 8 0% DUE 05-01-2031 REG	SOLD 10-02-01 ACQUIRED 04-16-01	32,036 79	31,463 63	573 16
PAR VALUE; MFB NORTHERN FDS TECHNOLOGY FD	SOLD 10-02-01 ACQUIRED 04-04-01	35,001 46	34,450.92	550 54
UNITS UNITED STATES TREAS MTS DTD 00887 4 625X DUE 05-15-2006 REG	SOLD 10-03-01 ACQUIRED 12-20-00	91,252 73	169,182 51	77,929 78-
PAR VALUE; UNITED STATES TREAS MTS DTD 00887 4 625X DUE 05-15-2006 REG	SOLD 10-03-01 ACQUIRED 09-18-01	20,775 00	20,593 75	181 25

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
CITIBANK CR CARD ISSUANCE TR SER 2000-A1 CL A1 6.9% DUE 10-15-2007 BE0	SOLD 10-03-01 ACQUIRED 09-18-01	25,962 89	25,742.19	220 70
UNITED STATES TREAS NTS 5% DUE 08-15-2011 REG	SOLD 10-04-01 ACQUIRED 03-13-01	21,827.35	20,996 87	830 48
SPRINT CAP CORP NT 7 125% DUE 01-30-2006 BE0	SOLD 10-10-01 ACQUIRED 09-19-01	5,156 25	5,116 41	39 84
SPRINT CAP CORP NT 7 125% DUE 01-30-2006 BE0	SOLD 10-10-01 ACQUIRED 09-19-01	10,312 50	10,242 18	70 32
SPRINT CAP CORP NT 7 125% DUE 01-30-2006 BE0	SOLD 10-10-01 ACQUIRED 09-25-01	5,156 25	5,118 75	37 50
SPRINT CAP CORP NT 7 125% DUE 01-30-2006 BE0	SOLD 10-10-01 ACQUIRED 09-26-01	5,156 25	5,117 19	39 06
SPRINT CAP CORP NT 7 125% DUE 01-30-2006 BE0	SOLD 10-12-01 ACQUIRED 01-18-01	5,269 10	4,996 40	272.70

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
GNMA POOL # 781024 SER 2029 7 5X DUE	SOLD 10-12-01 ACQUIRED 06-20-01	10,551 00	10,183 00	368 00
GNMA POOL # 517850 SER 2029 7 5X DUE	SOLD 10-15-01 ACQUIRED 03-07-01	1,614 37	1,655 49	41 12-
BAKER HUGHES INC NT 6 875% DUE	SOLD 10-15-01 ACQUIRED 03-07-01	446 32	456 92	10 60-
CITIBANK CR CARD ISSUANCE TR SER 2000-A1 CL A1 6 9% DUE 10-15-2007 BEQ	SOLD 10-16-01 ACQUIRED 01-23-01	4,942 25	4,940 50	1 75
GNMA POOL # 517850 SER 2029 7 5X DUE	SOLD 10-17-01 ACQUIRED 03-13-01	16,342 96	15,747 66	595 30

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 0609476 6 5% DUE 10-01-2031 REG	SOLD 10-19-01 ACQUIRED 03-07-01	29,566 85	23,974.09	592 76
GNMA POOL # 781024 SER 2029 7 5% DUE	SOLD 10-19-01 ACQUIRED 09-26-01	106,442 89	106,083 51	359 38
GNMAII POOL # 0003095 SER 2031 6 5% DUE	SOLD 10-19-01 ACQUIRED 04-04-01	17,883 71	17,481 49	402 22
FNMA PREASSIGN 00067 4 75% DUE	SOLD 10-22-01 ACQUIRED 10-02-01	41,356 00	41,292 40	63 60
FNMA PREASSIGN 00067 4 75% DUE	SOLD 10-22-01 ACQUIRED 07-30-01	273 30	273 21	0 09

ACCOUNT NO 23-03580  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE:	SOLD 10-22-01	20,655 60	20,646 20	9 40
AOL TIME WARNER INC COM	ACQUIRED 10-02-01			
SHARES:	SOLD 10-23-01	65,137 83	103,820 00	38,682 17-
ACQUIRED 06-07-01				
SHARES:	SOLD 10-23-01	26,055 13	40,096 00	14,040 87-
ACQUIRED 06-19-01				
UNITED TECHNOLOGIES CORP COM	SOLD 10-23-01	73,595 54	106,207 50	32,611 96-
ACQUIRED 01-04-01				
RESIDENTIAL FDG MTG SECS II INC 2001-HS3 HOME EQUITY LN BKD NT CL A-1-3 4 71% DUE 08-25-2016 BEO	SOLD 10-25-01	25,203.13	29,993 45	209 68
ACQUIRED 09-21-01				
PAR VALUE:	SOLD 10-25-01	819 47	816.12	1 65-
ACQUIRED 04-04-01				
PAR VALUE:	SOLD 10-25-01	25,000 00	25,000 00	
ACQUIRED 09-21-01				
FNMA POOL # 535750 6 0% DUE 06-01-2015				
REG				
PAR VALUE:	SOLD 10-25-01	819.47	816.12	1 65-
ACQUIRED 04-04-01				
FNMA POOL # 535318 7.0% DUE 12-01-2029				
REG				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 535883 6.0% DUE 09-01-2029 REG	SOLD 10-25-01 ACQUIRED 04-04-01	2,478 09	2,514 10	36 01-
PAR VALUE:				
FNMA POOL # 585617 7 0% DUE 05-01-2031 REG	SOLD 10-25-01 ACQUIRED 04-04-01	1,100.74	1,078 21	22 53
PAR VALUE:				
FNMA POOL # 535481 8 0% DUE 09-01-2030 REG	SOLD 10-25-01 ACQUIRED 05-01-01	311 53	314 65	3 12-
PAR VALUE:				
FNMA POOL # 535982 7 5% DUE 05-01-2031 REG	SOLD 10-25-01 ACQUIRED 04-16-01	2,085 38	2,146 48	61 10-
PAR VALUE:				
FNMA POOL # 535988 7 0% DUE 06-01-2031 REG	SOLD 10-25-01 ACQUIRED 04-04-01	3,237 41	3,310 76	73 35-
PAR VALUE:				

ACCOUNT NO. 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 545003 8 0% DUE 05-01-2031 REG	SOLD 10-25-01 ACQUIRED 05-09-01	1,493.81	1,516.33	22 52-
FNMA POOL # 588938 6 5% DUE 06-01-2031 REG	SOLD 10-25-01 ACQUIRED 04-04-01	1,552.69	1,601.70	49 01-
HILTON HOTELS CORP NT 8 25% DUE 02-15-2011 BE0	SOLD 10-25-01 ACQUIRED 05-02-01	460.08	454.47	5 61
BELLSOUTH CORP NT 5 0% DUE 10-15-2006 BE0	SOLD 10-26-01 ACQUIRED 02-09-01	4,730.50	4,966.50	236 00-
BELLSOUTH CORP NT 5 0% DUE 10-15-2006 BE0	SOLD 10-29-01 ACQUIRED 10-22-01	5,028.35	4,988.75	39 60



ACCOUNT NO 25-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE.	SOLD 10-30-01 ACQUIRED 10-22-01	15,120 45	14,966 25	154 20
BSCN ISSUANCES LTD SUB NT DTD 09/14/2000 7.625% DUE 09-14-2010 BEQ	SOLD 11-01-01 ACQUIRED 03-14-01	5,462 30	5,301 00	161 30
FNMA POOL # 535883 6 0% DUE 09-01-2029 REG	SOLD 11-01-01 ACQUIRED 04-04-01	105,789 35	102,076 86	3,712 49
GNMA11 POOL # 0003095 SER 2031 6 5% DUE 06-20-2031 REG	SOLD 11-01-01 ACQUIRED 07-30-01	44,985 86	43,661.95	1,323 91
PAR VALUE:	SOLD 11-02-01 ACQUIRED 11-21-00	38,992 18	35,475 78	3,516 40
CMD CHASE COML MFG SECS CORP 2000-3 COMLMTG PASSTHR CL A-2 7 3159% DUE 10-15-2032 BEQ	SOLD 11-02-01 ACQUIRED 11-21-00	55,000 00		
CMD CHASE MANHATTAN BK - FIRST UN NATL BK CD 1999-1 CTF CL A-2 EXPECTED MATURITY DATE 07-15-2009 7.439% FINAL DUE 08-15-2031				

ACCOUNT NO. 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
-------------------------	------------------------	-------------------	---------------	-----------

CMO DLJ COML MTG CORP MTG PASSTRHU CTF 1999-CG1 CL A-18 6 46% DUE 03-10-2032 BEO	SOLD 11-02-01 ACQUIRED 08-15-01	39,086 53	37,712 50	1,374 03
PAR VALUE:	35,000 00			

BSCN ISSUANCES LTD SUB NT DTD 09/14/2000 7 625% DUE 09-14-2010 BEO	SOLD 11-02-01 ACQUIRED 09-20-01	37,462 31	36,797 86	664 45
PAR VALUE:	35,000 00			

LB-UBS COML MTG TR 2000-C3 COML MTG PASSTRHU CTF CL A-2 7 95% DUE 05-15-2025 BEO	SOLD 11-02-01 ACQUIRED 03-20-01	5,446.20	5,318 65	127 55
PAR VALUE:	5,000 00			

CMO PNC MTG ACCEP CORP SER 1999-CM1 COML MTG PASSTRHU CTF A-18 DUE 12-10-2031 BEO	SOLD 11-02-01 ACQUIRED 11-21-00	40,254 10	36,804 69	3,449 41
PAR VALUE:	35,000 00			

FNMA NT 5 625% DUE 05-14-2004 BEO	SOLD 11-02-01 ACQUIRED 11-21-00	38,955 27	35,478 51	3,476 76
PAR VALUE:	35,000 00			

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE: 25,000.00	SOLD 11-05-01 ACQUIRED 10-25-01	26,561.18	26,434.58	126.60
FNMA PREASSIGN 00067 & 75% DUE 03-15-2004 REG				
PAR VALUE: 10,000.00	SOLD 11-07-01 ACQUIRED 10-02-01	10,456.25	10,323.10	133.15
UNITED STATES TREAS NTS DTD 0887 & 625% DUE 05-15-2006 REG				
PAR VALUE: 10,000.00	SOLD 11-07-01 ACQUIRED 09-18-01	10,505.08	10,296.87	208.21
UNITED STATES TREAS NTS DTD 00887 & 625% DUE 05-15-2006 REG				
PAR VALUE: 25,000.00	SOLD 11-14-01 ACQUIRED 09-18-01	25,897.46	25,742.19	155.27
PAR VALUE: 25,000.00				
SOLD 11-14-01	ACQUIRED 10-02-01	25,897.46	25,902.35	4.89-
PAR VALUE: 45,000.00				
SOLD 11-14-01	ACQUIRED 11-02-01	46,615.43	47,028.51	413.08-
MBIA INC COM				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
EXELON CORP COM				
SHARES:	SOLD 11-26-01	8,545 71	10,380 00	1,834.29-
	ACQUIRED 09-24-01			
PAR VALUE:	SOLD 11-20-01	864 46	864.19	0.27
	ACQUIRED 07-30-01			
UNITED STATES TREAS NTS 5% DUE 08-15-2011 REG				
SHARES:	SOLD 11-26-01	200 00		
	ACQUIRED 10-02-01			
PAR VALUE:	SOLD 11-26-01	10,017 19	10,382 82	365 63-
	ACQUIRED 10-02-01			
FNMA POOL # 535750 6 0% DUE 06-01-2015 REG				
PAR VALUE:	SOLD 11-26-01	1,101 40	1,103 64	2 24-
	ACQUIRED 04-04-01			
FNMA POOL # 535883 6 0% DUE 09-01-2029 REG				
SHARES:	SOLD 11-15-01	95,796 80	86,666 00	9,130.80
	ACQUIRED 09-24-01			
GNMAII POOL # 0003095 SER 2031 6 5% DUE 06-20-2031 REG				

ACCOUNT NO 25-03380  
 EMPLOYER I/D NO 36-6099675

RE: INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE; FNMMA POOL # 535982 7 5% DUE 05-01-2031 REG	SOLD 11-26-01 ACQUIRED 04-04-01	1,655 63	1,621 74	33 89
PAR VALUE; FNMMA POOL # 588938 6 5% DUE 06-01-2031 REG	SOLD 11-26-01 ACQUIRED 04-04-01	4,426 63	4,526.92	100 29-
PAR VALUE; FNMMA POOL # 0609476 6 5% DUE 10-01-2031 REG	SOLD 11-26-01 ACQUIRED 05-02-01	1,147 86	1,133 87	13 99
PAR VALUE; EL PASO ENERGY CORP MEDIUM TERM NTS BOOKENTRY TRANCHE # TR 00003 6 95% DUE 12-15-2007 BEO	SOLD 11-26-01 ACQUIRED 09-26-01	1,033 24	1,048 42	15 18-
PAR VALUE; SOLD 11-28-01 ACQUIRED 01-04-01	5,000.00	5,008 30	5,060 50	52 20-

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MOKRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE:	SOLD 11-28-01	5,008 30	5,115 40	107.10-
	ACQUIRED 04-05-01			
PAR VALUE:	SOLD 11-28-01	10,016 60	10,241.70	225 10-
	ACQUIRED 04-05-01			
ENRON CORP SR SUB DEB DTD 07/01/1993 6.75% DUE 07-01-2005 REG				
PAR VALUE:	SOLD 11-29-01	150 00	5,068 70	4,918 70-
	ACQUIRED 01-30-01			
UNITED STATES TREAS NTS DTD 10/31/1997 5.75% DUE 10-31-2002 REG				
PAR VALUE:	SOLD 12-05-01	15,481.64	15,372 07	109 57
	ACQUIRED 07-19-01			
PAR VALUE:	SOLD 12-05-01	5,160 55	5,130.47	30 08
	ACQUIRED 07-31-01			
PAR VALUE:	SOLD 12-05-01	10,321 09	10,266 40	54 69
	ACQUIRED 08-10-01			
PAR VALUE:	SOLD 12-05-01	77,408 20	76,910 16	498 04
	ACQUIRED 08-15-01			

DEERE & CO DEER & CO DEB 7.1250000  
 7.125% DUE 03-03-2031 REG

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY      DATE SOLD/ACQUIRED      SALES PROCEEDS      COST BASIS      GAIN/LOSS

PAR VALUE: 10,000 00      SOLD 12-12-01      10,000 90      9,591 90      409.00  
 BRITISH TELECOMMUNICATIONS P L C NT  
 8 125% DUE 12-15-2010 BEO

PAR VALUE: 5,000 00      SOLD 12-13-01      5,524 35      5,184.35      340 00  
 ACQUIRED 03-23-01  
 FORD MTR CO DEL GLOBAL LANDMARK  
 SECS-GLOBL 7 45% DUE 07-16-2031 BEO

PAR VALUE: 5,000 00      SOLD 12-13-01      4,504 50      4,959 10      454 60-  
 ACQUIRED 06-05-01  
 UNITED STATES TREAS BDS 8 125% 15/8/2019  
 USD1000 8.125% DUE 08-15-2019 REG

PAR VALUE: 15,000 00      SOLD 12-14-01      18,764 06      19,045 31      281 25-  
 ACQUIRED 05-03-01  
 UNITED STATES TREAS BDS DTD 08/17/1998  
 5 5% DUE 08-15-2028 REG

PAR VALUE: 10,000 00      SOLD 12-14-01      9,583 20      9,677 34      94 14-  
 ACQUIRED 12-12-01  
 FNMA POOL # 535750 6 0% DUE 06-01-2015  
 REG

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
FNMA POOL # 545350 6 5% DUE 11-01-2016 REG	SOLD 12-26-01 ACQUIRED 04-04-01	1,192 82	1,195 24	2 42-
FNMA POOL # 545350 6 5% DUE 11-01-2016 REG	SOLD 12-26-01 ACQUIRED 10-19-01	1,441 75	1,487 59	45 84-
FNMA POOL # 253798 6 0% DUE 05-01-2016 REG	SOLD 12-26-01 ACQUIRED 10-19-01	1,527 00	1,555 63	28 63-
FNMA POOL # 535982 7 5% DUE 05-01-2031 REG	SOLD 12-26-01 ACQUIRED 04-04-01	4,672.35	4,778 21	105.86-
FNMA POOL # 588938 6 5% DUE 06-01-2031 REG	SOLD 12-26-01 ACQUIRED 05-02-01	1,384 68	1,367 80	16 88
FNMA POOL # 0609476 6 5% DUE 10-01-2031 REG	SOLD 12-26-01 ACQUIRED 05-02-01	1,384 68	1,367 80	16 88



ACCOUNT NO 23-03380  
 EMPLOYER I/D NO. 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
PAR VALUE:	SOLD 12-26-01	2,062 75	2,093 05	30 30-
	ACQUIRED 09-26-01			
<b>SUB-TOTAL</b>				
		6,464,626 82	6,844,525 07	379,898 25-
<b>TOTAL SHORT TERM CAPITAL GAIN/LOSS-</b>				
		6,464,626 82	6,844,525 07	379,898 25-
<b>DESCRIPTION OF PROPERTY</b>				
DATE SOLD/ACQUIRED		SALES PROCEEDS	COST BASIS	GAIN/LOSS
28% RATE				

LONG TERM CAPITAL GAINS AND LOSSES

DESCRIPTION OF PROPERTY	DATE SOLD/ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS
ENRON CORP COM	SOLD 01-04-01	106,608 94	38,718 75	67,890 19
SHARES:	F E T, DATE 09-28-98			
1,500.00				
<b>UNITED STATES TREAS NTS 5 75% DUE</b>				
08-15-2003 REG				
PAR VALUE:	SOLD 01-19-01	102,234 38	101,312 50	921 88
100,000 00	ACQUIRED 03-04-99			
<b>UNITED STATES TREAS NTS 6 25% DUE</b>				
02-28-2002 REG				
PAR VALUE:	SOLD 01-19-01	102,234 38	101,312 50	921 88
100,000 00	ACQUIRED 03-04-99			

0 00

0.00

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE	SOLD 01-19-01	20,277 34	20,321 09	43 75-	0 00
UNITED STATES TREAS NTS & 625% DUE	ACQUIRED 11-17-97				
PAR VALUE;	SOLD 01-19-01	10,076 56	10,306.25	229.69-	0 00
GENERAL ELEC CO COM	ACQUIRED 03-04-99				
SHARES;	SOLD 01-25-01	88,730 00	16,175 33	72,554 67	0 00
BAXTER INTL INC COMMON STOCK	ACQUIRED 01-04-95				
SHARES;	SOLD 01-29-01	44,478 52	32,378 14	12,100 38	0 00
SANMINA-SCI CORP FORMERLY SANMINA HLDGS COM COM	ACQUIRED 08-17-99				
SHARES;	SOLD 01-29-01	38,929 98	19,875 00	19,054 98	0 00
TYCO INTL LTD NEW COM	ACQUIRED 12-31-99				
SHARES;	SOLD 01-29-01	75,074 54	31,270.82	43,803 72	0.00
UNITED STATES TREAS NTS & 125% DUE	F E T DATE SOLD 01-29-01				
UNITED STATES TREAS NTS & 125% DUE	09-28-98				

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MCLEODUSA INCORPORATED					
SHARES:	SOLD 02-09-01	59,473 02	88,562 50	29,089 48-	0.00
	ACQUIRED 01-31-00				
UNITED STATES TREAS NTS & 125% DUE					
08-15-2007 REG	SOLD 02-09-01	5,297 07	5,188 28	108 79	0.00
	ACQUIRED 03-04-99				
PAR VALUE:					
5,000 00					
UNITED STATES TREAS NTS & 125% DUE					
08-15-2007 REG	SOLD 02-14-01	5,268 75	5,188 28	80 47	0.00
	ACQUIRED 03-04-99				
PAR VALUE:					
5,000 00					
FNMA NT 5 375% DUE 03-15-2002 BEQ					
	SOLD 02-15-01	100,216 00	99,784 00	432 00	0.00
	ACQUIRED 03-05-99				
PAR VALUE:					
100,000.00					
MCDATA CORP CL A					
SHARES	SOLD 02-16-01	23 13	4 72	18 41	0.00
	ACQUIRED 10-13-98				
GENERAL ELEC CO COM					

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; MCDATA CORP CL A	SOLD 03-01-01 ACQUIRED 01-04-95	68,487 27	12,770.00	55,717 27	0 00
SHARES; SOUTHWEST AIRLINES CO , COMMON STOCK, #1	SOLD 03-01-01 ACQUIRED 10-13-98	1,222 71	565 13	657 58	0.00
SHARES; UNITED STATES TREAS NTS & 125% DUE	SOLD 03-01-01 ACQUIRED 09-11-97	65,435 31	23,176 74	42,258 57	0 00
PAR VALUE ADR ERICSSON L M TELEPHONE CO , CLASS B	SOLD 03-07-01 ACQUIRED 03-04-99	5,340 82	5,188 28	152 54	0 00
SHARES; UNITED STATES TREAS NTS & 125% DUE	SOLD 03-09-01 ACQUIRED 01-04-95	70,610.15	14,503.12	56,107 03	0 00

08-15-2007 REG

ACCOUNT NO. 23-03580  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
BAXTER INTL INC COMMON STOCK	03-12-01 ACQUIRED	10,685 15	10,376 57	308 58	0 00
PAR VALUE:					
10,000.00					
08-15-2007 REG					
UNITED STATES TREAS NTS 6 125% DUE					
PAR VALUE:					
5,000 00					
03-14-01					
ACQUIRED					
03-04-99					
08-15-2007 REG					
UNITED STATES TREAS NTS 6 125% DUE					
PAR VALUE:					
5,000 00					
03-14-01					
ACQUIRED					
03-04-99					
08-15-2007 REG					
UNITED STATES TREAS NTS 6 125% DUE					
PAR VALUE:					
25,000.00					
03-16-01					
ACQUIRED					
03-04-99					
08-15-2007 REG					
UNITED STATES TREAS NTS 6 125% DUE					
PAR VALUE:					
5,000 00					
03-21-01					
ACQUIRED					
09-07-99					
APPLERA CORP - APPLIED BIOSYSTEMS GROUP					
SHARES:					
700 00					
03-22-01					
ACQUIRED					
03-08-00					
HOME DEPOT INC., COMMON STOCK,					
\$ .05 PAR					
13,887 54					
78,048.25					
64,160.71-					
0 00					

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; ENRON CORP COM	SOLD 03-23-01 ACQUIRED 01-04-95	46,438 45	12,054 67	34,383 78	0 00
FNMA 6 375% DUE 06-15-2009 REG	SOLD 04-03-01 ACQUIRED 09-07-99	26,154 29	29,307 43	1,846 86	0 00
PAR VALUE; FNMA 6 375% DUE 06-15-2009 REG	SOLD 04-04-01 ACQUIRED 09-07-99	73,222 03	68,062 56	5,159 47	0 00
SHARES; MFB NORTHERN FDS INTL GROWTH EQUITY FD	SOLD 04-11-01 F E T DATE 09-28-98	73,743 67	32,265 63	41,478 04	0 00
UNITS; MFB NORTHERN FDS INTL GROWTH EQUITY FD	SOLD 05-08-01 F E T DATE 09-28-98	134,261 88	149,870 50	15,608 62-	0 00
UNITS; MFB NORTHERN FDS INTL GROWTH EQUITY FD	SOLD 05-08-01 ACQUIRED 12-24-98	396 00	486 87	90 87-	0 00
UNITS; MFB NORTHERN FDS INTL GROWTH EQUITY FD	SOLD 05-08-01 ACQUIRED 12-24-98	6,309 93	7,757,59	1,447 66-	0 00

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DATE SOLD/  
 ACQUIRED SALES PROCEEDS COST BASIS GAIN/LOSS 28% RATE GAIN/LOSS

DESCRIPTION OF PROPERTY

DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
05-08-01 SOLD	5,917 14	7,274 64	1,357.50-	0 00
12-24-98 ACQUIRED				
05-08-01 SOLD	30,447 52	41,630 40	11,182 88-	0 00
09-02-99 ACQUIRED				
05-08-01 SOLD	13,372 97	18,614.79	5,241 82-	0 00
12-15-99 ACQUIRED				

SOUTHWEST AIRLINES CO , COMMON STOCK, \$1  
 PAR

05-10-01 SOLD	2,437 50	15,064 88	29,768 99	0 00
09-11-97 ACQUIRED				
05-10-01 SOLD	62 50	624 13	525 46	0 00
09-28-98 F E T DATE				

NORTEL NETWORKS CORP NEW COM

05-16-01 SOLD	2,200 00	105,059 79	75,976.76-	0 00
01-12-00 ACQUIRED				

05-16-01 SOLD	1,000 00	54,118.75	40,899 19-	0 00
05-03-00 ACQUIRED				

MEDTRONIC, INC , COMMON STOCK,  
 \$ 10 PAR

RE:

ACCOUNT NO. 23-03380  
 EMPLOYER I/D NO. 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
SHARES; GENERAL MTRS CORP CL H NEW	SOLD 05-23-01 ACQUIRED 09-28-98	104,871 50	71,562 50	33,309 00	0 00
WALGREEN CO COMMON STOCK, \$1.25 PAR	SOLD 06-07-01 ACQUIRED 09-27-96	108,112 95	24,378 21	83,734 74	0 00
SHARES; WALGREEN CO COMMON STOCK, \$1.25 PAR	SOLD 06-19-01 ACQUIRED 04-25-00	64,512 84	99,261 25	34,748 41-	0 00
SHARES; WALGREEN CO COMMON STOCK, \$1.25 PAR	SOLD 06-19-01 ACQUIRED 09-27-96	14,515 77	3,219 76	11,296 01	0 00
SHARES; MFB NORTHERN FDS SMALL CAP GROWTH FD	SOLD 06-19-01 ACQUIRED 09-28-98	26,957.85	30,895 31	3,937 46-	0 00
UNITS; MFB NORTHERN FDS SMALL CAP GROWTH FD	SOLD 06-19-01 ACQUIRED 10-29-99	183,561 66	200,000 00	16,438 34-	0 00
UNITS; MFB NORTHERN FDS SMALL CAP GROWTH FD	SOLD 06-19-01 ACQUIRED 11-19-99	76,425 83	100,000 00	23,574.17-	0.00



ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
MERCK & CO INC , COMMON STOCK, \$ 02 7/9	SOLD 06-19-01 ACQUIRED 12-15-99	363,573 65	501,767 79	138,194 14-	0 00
UNITS:					
36,176 98					
ADR VODAFONE GROUP PLC NEW SPONSORED ADR	SOLD 06-29-01 ACQUIRED 06-02-00	31,973 93	34,900 00	2,926 07-	0 00
SHARES:					
500 00					
SOLD 08-01-01 ACQUIRED 12-31-99		32,698,91	75,465 00	42,766 09-	0 00
SHARES:					
1,500 00					
SOLD 08-01-01 ACQUIRED 01-31-00		10,899 63	27,686 25	16,786 62-	0 00
SHARES:					
500 00					
WORLD COM INC GA NT 6 125% DUE 08-15-2001	MATURED 08-15-01 ACQUIRED 09-07-99	100,000 00	100,000 00	0 00	0 00
PAR VALUE:					
100,000 00					
EMC CORP COM	SOLD 08-23-01 ACQUIRED 10-13-98	30,558 98	25,438,90	5,120 08	0 00
SHARES:					
2,000 00					
UNITED TECHNOLOGIES CORP NT 6 4% DUE 09-15-2001					

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO. 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
PAR VALUE:	09-17-01	100,000 00	99,994 00	6 00	0 00
SIEBEL SYS INC COM	09-17-01	100,000 00	99,994 00	6 00	0 00
SHARES	09-24-01	24,785 17	67,787 50	43,002 33-	0 00
SOUTHWEST AIRLINES CO , COMMON STOCK, #1	09-24-01	24,785 17	67,787 50	43,002 33-	0 00
SHARES:	09-24-01	18,030.40	12,981 95	5,048 45	0 00
MFB NORTHERN FDS TECHNOLOGY FD	09-24-01	30,172 07	32,016 78	1,844 71-	0.00
UNITS:	09-24-01	82 32	114 84	32 52-	0 00
UNITS:	09-24-01	359 94	502 43	142 49-	0 00
UNITS:	09-24-01	324 92	460 58	135 66-	0 00

RE:

ACCOUNT NO 23-03380  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
UNITS;	SOLD 09-24-01 ACQUIRED 12-26-97	178 23	252 70	74.47-	0.00
UNITS;	SOLD 09-24-01 ACQUIRED 12-26-97	2,201 36	3,120 60	919 24-	0 00
UNITS;	SOLD 09-24-01 ACQUIRED 11-09-98	2,354 79	5,000 00	2,645 21-	0 00
UNITS;	SOLD 09-24-01 ACQUIRED 12-24-98	280 24	731 43	451 19-	0 00
UNITS;	SOLD 09-24-01 ACQUIRED 12-24-98	950 42	2,480 93	1,530 51-	0 00
UNITS	SOLD 09-24-01 ACQUIRED 02-02-99	16,461.94	50,000 00	33,538 06-	0 00
UNITS	SOLD 09-24-01 ACQUIRED 03-04-99	25,186 40	70,000 00	44,813 60-	0 00
UNITS;	SOLD 09-24-01 ACQUIRED 06-03-99	26,447 37	80,959.10	54,511 73-	0 00
MFB NORTHERN FDS TECHNOLOGY FD UNITS;	SOLD 10-03-01 ACQUIRED 06-03-99	6,445 80	19,040 90	12,595 10-	0 00

ACCOUNT NO 25-03580  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
UNITS;	SOLD 10-03-01	11,210 31	36,489 43	25,279 12-	0 00
	ACQUIRED 09-02-99				
UNITS,	SOLD 10-03-01	8,793 70	39,000 18	30,206 48-	0.00
	ACQUIRED 12-15-99				
SOUTHWEST AIRLINES CO , COMMON STOCK, #1 PAR	SOLD 10-04-01	59,901 00	36,948 61	22,952 39	0 00
	ACQUIRED 09-28-98				
AMERICAN EXPRESS CO , COMMON STOCK, \$ 60 PAR	SOLD 10-24-01	54,790 17	86,286 00	31,495 83-	0 00
	ACQUIRED 02-18-00				
ENRON CORP COM SHARES.	SOLD 11-07-01	10,499 65	32,265 62	21,765 97-	0 00
	ACQUIRED 09-28-98				
BAXTER INTL INC COMMON STOCK SHARES	SOLD 11-15-01	51,918 27	35,615 95	16,302 32	0 00
	ACQUIRED 08-17-99				

# The Northern Trust Company

50 South LaSalle Street, Chicago, Illinois 60675  
(312) 630-6000

89500-02004

PAGE  
71

ACCOUNT NO 23-03380

EMPLOYER I/D NO 36-6099675

RE:

INVESTMENT MANAGEMENT ADVISORY  
MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
01-01-01 THROUGH 12-31-01

<u>DESCRIPTION OF PROPERTY</u>			<u>DATE SOLD/ ACQUIRED</u>	<u>SALES PROCEEDS</u>	<u>COST BASIS</u>	<u>GAIN/LOSS</u>	<u>28% RATE GAIN/LOSS</u>
SHARES	1,500 00	SOLD	11-15-01	70,797 64	43,140 75	27,656 89	0.00
		ACQUIRED	03-27-00				
SHARES:	500.00	SOLD	11-15-01	23,599.21	18,278 13	5,321 08	0 00
		ACQUIRED	07-19-00				
EXELON CORP COM							
SHARES:	1,300 00	SOLD	11-26-01	55,547 15	79,202 50	23,655 35-	0 00
		ACQUIRED	10-17-00				
ORACLE CORPORATION COM							
SHARES:	2,400 00	SOLD	12-26-01	34,942 84	88,700 04	53,757 20-	0.00
		ACQUIRED	05-03-00				
SHARES:	2,200 00	SOLD	12-26-01	32,030 93	96,043 75	64,012 82-	0 00
		ACQUIRED	06-21-00				
ROYAL DUTCH PETROLEUM N.Y REGISTRY SH PAR N 1 25 GLDR							
SHARES:	1,200 00	SOLD	12-26-01	58,666 04	45,661 50	13,004 54	0 00
		ACQUIRED	06-25-96				
SHARES:	1,520 00	SOLD	12-26-01	74,310 32	74,242 50	67 82	0 00
		F E T DATE	09-28-98				
<u>SUB-TOTAL</u>				3,428,871 64	3,678,307 72	249,436 08-	0 00
<u>TOTAL LONG TERM CAPITAL GAIN/LOSS-</u>				3,428,871 64	3,678,307 72	249,436 08-	0 00

The Northern Trust Company  
 50 South LaSalle Street, Chicago, Illinois 60675  
 (312) 630 6000

89501-02004

PAGE  
 72

ACCOUNT NO 23-03580  
 EMPLOYER I/D NO 36-6099675

INVESTMENT MANAGEMENT ADVISORY  
 MAYER & MORRIS KAPLAN FOUNDATION

FEDERAL SCHEDULE OF GAINS AND LOSSES  
 ACCOUNT TRANSACTIONS TAXABLE DURING THE PERIOD  
 01-01-01 THROUGH 12-31-01

DESCRIPTION OF PROPERTY	DATE SOLD/ ACQUIRED	SALES PROCEEDS	COST BASIS	GAIN/LOSS	28% RATE GAIN/LOSS
-------------------------	------------------------	-------------------	---------------	-----------	-----------------------

END OF SALES SCHEDULE

Grants and Contributions Paid During the Year			
	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
I Have a Dream Foundation	\$2,500 00	\$2,500 00	Education
c/o The Steans Family Foundation River Plaza 2 East, 405 N Wabash Ave			
Chicago, IL 60611			
Little Village Environmental Justice Organization	\$1,000 00	\$1,000 00	Environment
2856 S Millard Avenue			
Chicago, IL 60623			
Great Books Foundation	\$15,077 04	\$15,077 04	Education
35 East Wacker Drive Suite 2300			
Chicago, IL 60601-2298			
Chicago Foundation for Education	\$10,000 00	\$5,000 00	Education
400 North Michigan Avenue Room 311			
Chicago, IL 60611			
Highland Park Community House	\$10,000 00	\$3,000 00	Special Initiatives
1991 Sheridan Road			
Highland Park, IL 60035			
Skidmore College	\$5,000 00	\$1,000 00	Education
Saratoga Springs, NY 12866			
The Erkson Institute	\$25,000 00	\$5,000 00	Family
420 North Wabash Avenue			
Chicago, IL 60611			
Roberta Bachmann Lewis Scholarship Fund	\$5,000 00	\$1,000 00	Education
203 North Wabash, Suite 1800			
Chicago, IL 60601			
Golden Apple Foundation	\$500 00	\$500 00	Education
8 South Michigan Avenue Suite 2310			
Chicago, IL 60603-3318			
The Israel Center for Social and Economic Progress	\$5,000 00	\$5,000 00	Jewish
Hadoror 59, P O B 84124 Mevasseret-Zion 90805			
Israel,			
I Have a Dream Foundation	\$3,000 00	\$3,000 00	Education
c/o The Steans Family Foundation River Plaza 2 East, 405 N Wabash Ave			
Chicago, IL 60611			
The 58 Group	\$12,000 00	\$6,000 00	Arts
2014 West Belle Plaine			
Chicago, IL 60618			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Archeworks	\$500 00	\$500 00	Special Initiatives
625 North Kingsbury			
Chicago, IL 60610			
Christopher Reeve Paralysis Foundation	\$500 00	\$500 00	Special Initiatives
c/o Vail Valley Foudation P O Box 309			
Vail, Colorado 81658			
La Casa Norte	\$1,500 00	\$1,500 00	Family
456 Illinois Road			
Willmette, Illinois 60091			
Taos Land Trust	\$500 00	\$500 00	Environment
P O Box 376			
Taos, NM 87571			
American Committee for the Weizmann Institute of Science	\$5,000 00	\$5,000 00	Special Initiatives
51 Madison Avenue			
New York, NY 10010			
American Cinematheque	\$500 00	\$500 00	Arts
1800 North Highland, Suite 717			
Hollywood, CA 90028			
Burke Mountain Academy	\$1,000 00	\$1,000 00	Education
P O Box 78			
East Burke, VT 05832			
Citizens for a Better Environment	\$600 00	\$600 00	Environment
205 West Monroe, Fourth Floor			
Chicago, IL 60606			
Girl Scouts of Chicago	\$1,000 00	\$1,000 00	Education
222 S Riverside Plaza, Suite 2120			
Chicago, IL 60606			
Hollywood Wilshire YMCA	\$500 00	\$500 00	Special Initiatives
1553 N Scgrader Blvd			
Hollywood, CA 90028			
Jewish Museum	\$1,000 00	\$1,000 00	Jewish
1109 Fifth Avenue @ 92nd Street			
New York, NY 10128			
Kids can make a difference	\$1,000 00	\$1,000 00	Special Initiatives
P O Box 54			
Kittery Point, ME 03905			



	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Los Angeles County Museum of Art	\$1,000 00	\$1,000 00	Arts
P O Box 513505			
Los Angeles, CA 90099			
Taos Sports Association	\$500 00	\$500 00	Special Initiatives
PO Box 1930			
Ranchos De Taos, NM 87557			
North Lawndale College Preparatory School	\$150,000 00	\$50,000 00	Education
1616 South Spaulding			
Chicago, IL 60623			
Aspen Music Festival and School	\$1,000 00	\$1,000 00	Arts
2 Music School Road			
Aspen, CO 81611			
Beginning With Children Foundation, Inc	\$1,000 00	\$1,000 00	Education
900 Third Avenue Suite 1801			
New York, NY 10022			
Highland Park Community Foundation	\$15,000 00	\$5,000 00	Special Initiatives
P O Box 398			
Highland Park, IL 60035			
Highland Park Community Foundation	\$15,000 00	\$5,000 00	Special Initiatives
P O Box 398			
Highland Park, IL 60035			
Ravinia Festival Association	\$5,000 00	\$5,000 00	Arts
400 Ins Lane			
Highland Park, IL 60035			
Uhlich Children's Home	\$500 00	\$500 00	Family
3737 North Mozart Street			
Chicago, Illinois 60618-3689			
Union of Concerned Scientists	\$1,500 00	\$1,500 00	Environment
Two Brattle Square			
Cambridge, MA 02238-9105			
United Way Crusade of Mercy	\$10,000 00	\$10,000 00	Special Initiatives
560 West Lake Street			
Chicago, IL 60661-1499			
United Way of Highland Park-Highwood, Inc	\$500 00	\$500 00	Special Initiatives
777 Central Avenue Suite 5			
Highland Park, IL 60035			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Chicago Botanic Garden P O Box 400 Glencoe, IL 60022-0400	\$1,000 00	\$1,000 00	Automatic
Aspen Valley Community Foundation 110 East Hallam Street, Suite 126 Aspen, CO 81611	\$2,500 00	\$2,500 00	Special Initiatives
Aspen Valley Ski Club P O Box C3 Aspen, CO 81612	\$5,000 00	\$5,000 00	Special Initiatives
AVON'S Breast Cancer 3-Day	\$1,000 00	\$1,000 00	Special Initiatives
AVON'S Breast Cancer 3-Day	\$1,000 00	\$1,000 00	Special Initiatives
Japanese American National Museum 369 East First Street Los Angeles, CA 90012	\$500 00	\$500 00	Arts
Auditorium Theatre Council	\$6,000 00	\$6,000 00	Arts
Cato Institute 1000 Massachusetts Avenue N W Washington, DC 20001	\$500 00	\$500 00	Special Initiatives
Family Service of South Lake County 777 Central Avenue Highland Park, IL 60035	\$1,000 00	\$1,000 00	Family
Highways Performance Space 1651 18th St Santa Monica, CA 90404	\$1,000 00	\$1,000 00	Arts
The Brookings Institution 1775 Massachusetts Avenue, N W Washington, DC 20036-2188	\$500 00	\$500 00	Special Initiatives
Chicago Human Rhythm Project 1920 North Lincoln Avenue Chicago, IL 60614	\$1,000 00	\$1,000 00	Arts
North Shore Senior Center 979 Shendan Road Winnetka, Illinois 60093	\$500 00	\$500 00	Special Initiatives
The Bozo Ball c/o WGN-TV 2501 W Bradley Place Chicago, IL 60618	\$500 00	\$500 00	Special Initiatives

	Grant Amount	Payment Amount	Program Area
<b>Check Payable to</b>			
The Fund for Santa Barbara 924 Anacapa St -Suite 4H Santa Barbara, CA 93101	\$1,200 00	\$1,200 00	Special Initiatives
ACT Charter School 4319 West Washington Chicago, IL 60624-2234	\$25,000 00	\$25,000 00	Education
Arts Bridge at the Athenium Theatre Building 2936 N Southport, Suite 210 Chicago, IL 60657	\$7,500 00	\$7,500 00	Arts
Chicago Opera Theater 70 East Lake St Suite 540 Chicago, IL 60601	\$7,500 00	\$7,500 00	Arts
Community TV Network 2035 West Wabansia Chicago, IL 60647	\$10,000 00	\$10,000 00	Education
Joel Hall Dance Center 1511 W Berwyn Ave Chicago, IL 60640	\$10,000 00	\$10,000 00	Arts
Melissa Thodos and Dancers 1430 West George Street Chicago, IL 60657	\$5,000 00	\$5,000 00	Arts
Porch Light Theatre 1919 W Oakdale Chicago, IL 60657	\$2,500 00	\$2,500 00	Arts
Raven Theatre 6931 North Clark Street Chicago, IL 60626	\$15,000 00	\$7,500 00	Arts
Lifeline Theatre 6912 North Glenwood Chicago, IL 60626	\$10,000 00	\$5,000 00	Arts
Rivendell Theatre Ensemble 1711 W Belle Plaine, #3B Chicago, IL 60613	\$7,500 00	\$7,500 00	Arts
The Joffrey Ballet of Chicago, Inc 70 East Lake Street Suite 1300 Chicago, IL 60601	\$80,000 00	\$40,000 00	Arts

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
The Suzuki-Orff School for Young Musicians	\$10,000 00	\$10,000 00	Education
1148 West Chicago Avenue			
Chicago, IL 60622			
Trap Door Theatre	\$5,000 00	\$5,000 00	Arts
1655 West Cortland			
Chicago, IL 60622			
Urban Gateways	\$50,000 00	\$25,000 00	Education
200 West Jackson, Suite 300			
Chicago, IL 60606			
West Humboldt Park Center for Performing Arts	\$7,500 00	\$7,500 00	Arts
P O Box 51488			
Chicago, IL 60651			
Art Institute of Chicago	\$5,000 00	\$5,000 00	Automatic
111 South Michigan Avenue			
Chicago, IL 60603-6110			
Association House of Chicago	\$30,000 00	\$30,000 00	Family
1116 N Kedzie Avenue			
Chicago, IL 60651			
Broader Urban Involvement and Leadership Development	\$6,000 00	\$6,000 00	Education
1223 N Milwaukee Avenue			
Chicago, IL 60622			
Changing Worlds	\$7,500 00	\$7,500 00	Education
4886 North Paulina			
Chicago, IL 60640-4106			
Chicago Botanic Garden	\$5,000 00	\$5,000 00	Automatic
P O Box 400			
Glencoe, IL 60022-0400			
Chicago Community Loan Fund	\$10,000 00	\$10,000 00	Special Initiatives
29 East Madison Street, Suite 1700			
Chicago, IL 60602-4415			
Communities Dare to Care	\$15,000 00	\$15,000 00	Education
7059 N Greenview			
Chicago, IL 60626			
Community Youth Creative Learning Experience	\$20,000 00	\$20,000 00	Education
1111 N Wells, Suite 300			
Chicago, IL 60610			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Court Theatre	\$5,000 00	\$5,000 00	Arts
5535 South Ellis Avenue			
Chicago, IL 60637			
DePaul University	\$5,000 00	\$5,000 00	Automatic
1 East Jackson Boulevard			
Chicago, IL 60604-2287			
Field Museum of Natural History	\$5,000 00	\$5,000 00	Automatic
Roosevelt Road at Lake Shore Drive			
Chicago, IL 60605-2496			
Fund for Immigrants & Refugees	\$5,000 00	\$5,000 00	Special Initiatives
c/o Donors' Forum of Chicago 208 South LaSalle, Suite 740			
Chicago, IL 60604-1006			
Future Teachers of Chicago	\$15,000 00	\$15,000 00	Education
513 West 72nd Street			
Chicago, IL 60621			
Goodman Theatre	\$5,000 00	\$5,000 00	Automatic
170 N Dearborn St			
Chicago, IL 60601			
High Jump	\$15,000 00	\$15,000 00	Education
59 West North Boulevard			
Chicago, IL 60610-1492			
Lincoln Park Zoological Society	\$5,000 00	\$5,000 00	Automatic
2001 North Clark			
Chicago, IL 60614			
Lync Opera of Chicago	\$5,000 00	\$5,000 00	Automatic
20 North Wacker Drive Suite 860			
Chicago, IL 60606-2898			
Museum of Contemporary Art	\$5,000 00	\$5,000 00	Automatic
220 East Chicago Street			
Chicago, IL 60611			
Museum of Science and Industry	\$5,000 00	\$5,000 00	Automatic
57th Street and Lake Shore Drive			
Chicago, IL 60637-2093			
National Lekotek Center	\$8,595 00	\$8,595 00	Education
2100 Ridge Avenue			
Evanston, IL 60201			

	Grant	Payment	Program
Check Payable to.	Amount	Amount	Area
Newberry Library	\$5,000 00	\$5,000 00	Automatic
60 West Walton Street			
Chicago, IL 60610-3380			
Old Town School of Folk Music	\$10,000 00	\$10,000 00	Education
4544 North Lincoln Avenue			
Chicago, IL 60625			
Pegasus Players	\$14,000 00	\$7,000 00	Arts
1145 West Wilson Avenue			
Chicago, IL 60640			
Public Allies	\$10,000 00	\$10,000 00	Special Initiatives
200 North Michigan Avenue Suite 520			
Chicago, IL 60601			
Southside Educational Center for Youth	\$7,000 00	\$7,000 00	Education
10805 South Halsted Street			
Chicago, IL 60628			
Steppenwolf Theatre Company	\$5,000 00	\$5,000 00	Automatic
758 West North Avenue 4th Floor			
Chicago, IL 60610			
Teach For America	\$50,000 00	\$25,000 00	Education
350 North Ogden, Suite 410			
Chicago, IL 60607			
The Right Angle Educational Foundation	\$51,000 00	\$25,500 00	Education
917 N Honore #1			
Chicago, IL 60622			
The Right Angle Educational Foundation	\$15,000 00	\$15,000 00	Education
917 N Honore #1			
Chicago, IL 60622			
Urban Solutions	\$10,000 00	\$10,000 00	Education
1326 South Michigan Avenue, Suite 107			
Chicago, IL 60605			
Victory Gardens Theater	\$5,000 00	\$5,000 00	Automatic
2257 North Lincoln			
Chicago, IL 60614			
Visitation Scholarship Program	\$9,000 00	\$9,000 00	Education
900 W Garfield Blvd			
Chicago, IL 60609			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
WBEZ Alliance	\$5,000 00	\$5,000 00	Automatic
848 East Grand Avenue			
Chicago, IL 60611			
WTTW Chicago	\$5,000 00	\$5,000 00	Automatic
5400 North St Louis Avenue			
Chicago, IL 60625			
Young Chicago Authors	\$7,500 00	\$7,500 00	Education
706 Glencoe Road			
Glencoe, IL 60022			
Youth Covenant	\$5,500 00	\$5,500 00	Education
400 West 76th St			
Chicago, IL 60602			
About Face Theatre	\$15,000 00	\$7,500 00	Arts
3212 North Broadway			
Chicago, IL 60657			
Art Resources in Teaching	\$12,000 00	\$6,000 00	Education
105 West Adams Suite 3310			
Chicago, IL 60603			
Apna Ghar	\$10,000 00	\$10,000 00	Family
4753 North Broadway Suite 518			
Chicago, IL 60640			
Carole Robertson Center for Learning	\$60,000 00	\$30,000 00	Family
2020 West Roosevelt Road			
Chicago, IL 60608			
Centro Romero	\$10,000 00	\$10,000 00	Family
6216 North Clark			
Chicago, IL 60660			
Chicago Abused Women Coalition	\$10,000 00	\$10,000 00	Family
P O Box 477916			
Chicago, IL 60647-7916			
Homeless on the Move for Equality	\$10,000 00	\$10,000 00	Community Organizing
28 East Jackson Blvd , Suite 1300			
Chicago, IL 60604			
Korean American Women In Need	\$7,500 00	\$7,500 00	Family
P O Box 59133			
Chicago, Illinois 60659			

	Grant	Payment	Program
Check Payable to.	Amount	Amount	Area
Northwest Neighborhood Federation 3443 North Central Ave Chicago, IL 60634	\$15,000 00	\$15,000 00	Family
Planned Parenthood/Chicago Area 14 East Jackson Boulevard, 10th Floor Chicago, IL 60604	\$40,000 00	\$40,000 00	Family
Shorebank Neighborhood Institute 5100 W Harrison Street Chicago, IL 60644	\$10,000 00	\$10,000 00	Education
Marwen Foundation 833 North Orleans Street Chicago, IL 60610	\$20,000 00	\$10,000 00	Education
Southwest Organizing Project 2609 W 63rd St 2nd Fl Chicago, IL 60629	\$15,000 00	\$15,000 00	Community Organizing
Spertus Institute of Jewish Studies 618 South Michigan Avenue Chicago, IL 60605	\$10,000 00	\$10,000 00	Jewish
St Joseph's Carondelet Child Center 739 East 35th Street Chicago, IL 60616-4115	\$10,000 00	\$10,000 00	Family
The Peach Club 312 North May Street, Suite 106 Chicago, IL 60607	\$15,000 00	\$15,000 00	Education
Umoja Community Development Corporation c/o Manley Career Academy High School 2935 W Polk Street Room 116 Chicago, IL 60612	\$10,000 00	\$10,000 00	Education
Chicago Academy for the Arts 1010 West Chicago Avenue Chicago, IL 60622	\$15,000 00	\$7,500 00	Education
Thirteenth Tribe 601 S LaSalle Bldg #T-463 Chicago, IL 60605	\$12,000 00	\$6,000 00	Arts
Daniel Murphy Scholarship Foundation 330 South Wells, Suite 910 Chicago, IL 60606	\$60,000 00	\$20,000 00	Education



	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
DePaul University	\$25,000 00	\$5,000 00	Arts
1 East Jackson Boulevard			
Chicago, IL 60604-2287			
Goodman Theatre	\$1,000 00	\$1,000 00	Arts
170 N Dearborn St			
Chicago, IL 60601			
Millicent Rogers Museum	\$1,000 00	\$1,000 00	Arts
P O Box A			
Taos, NM 87571			
Northwestern University	\$500 00	\$500 00	Arts
1967 Sherdan Road			
Evanston, IL 60208-2410			
I Have a Dream Foundation	\$3,000 00	\$3,000 00	Education
c/o The Steans Family Foundation River Plaza 2 East, 405 N Wabash Ave			
Chicago, IL 60611			
Conservation International	\$1,000 00	\$1,000 00	Environment
c/o Mr Bernard Marden 1290 South Ocean Blvd			
Palm Beach, FL 33480			
Goodman Theatre	\$2,000 00	\$2,000 00	Arts
170 N Dearborn St			
Chicago, IL 60601			
Utah Youth Symphony	\$1,000 00	\$1,000 00	Arts
1292 Federal Heights Drive			
Salt Lake City, UT 84103			
Uptown People's Law Center	\$500 00	\$500 00	Special Initiatives
4409 North Broadway			
Chicago, IL 60640			
Goodman Theatre	\$5,000 00	\$5,000 00	Arts
170 N Dearborn St			
Chicago, IL 60601			
Leadership Council for Metropolitan Open Communities	\$1,000 00	\$1,000 00	Special Initiatives
111 W Jackson Blvd 12th Floor			
Chicago, IL 60604			
American Friends of the Israel Philharmonic Orchestra	\$1,000 00	\$1,000 00	Arts
122 East 42nd Street Suite 4507			
New York, NY 10168			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Bennington College	\$1,000 00	\$1,000 00	Education
Bennington, VT 05201			
Bennington College	\$5,000 00	\$5,000 00	Education
Bennington, VT 05201			
Northwestern University	\$1,250 00	\$1,250 00	Education
Athletic Department 1501 Central Street			
Evanston, IL 60208-3630			
The Women's Board of Ravinia Festival	\$2,400 00	\$2,400 00	Arts
400 Iris Lane			
Highland Park, IL 60035			
The New WestSide Federation	\$20,000 00	\$20,000 00	Community Organizing
c/o Friendship Baptist Church 5200 W Jackson Boulevard			
Chicago, IL 60644			
Chicago Health Connection	\$1,000 00	\$1,000 00	Special Initiatives
954 W Washington Box 36, Fourth Floor			
Chicago, IL 60607			
Goodman Theatre	\$1,000 00	\$1,000 00	Arts
170 N Dearborn St			
Chicago, IL 60601			
Jewish Council on Urban Affairs	\$1,000 00	\$1,000 00	Jewish
618 South Michigan Avenue Suite 700			
Chicago, IL 60605-1993			
Museum of Contemporary Art	\$1,000 00	\$1,000 00	Arts
220 East Chicago Street			
Chicago, IL 60611			
National Multiple Sclerosis Society	\$1,000 00	\$1,000 00	Special Initiatives
910 W VanBuren St 4th Fl			
Chicago, IL 60607			
Suburban Fine Arts Center	\$1,000 00	\$1,000 00	Arts
1913 Shendan Rd			
Highland Park, IL 60035			
Union of Concerned Scientists	\$1,000 00	\$1,000 00	Special Initiatives
Two Brattle Square			
Cambridge, MA 02238-9105			
United Way of Highland Park-Highwood, Inc	\$500 00	\$500 00	Special Initiatives
777 Central Avenue Suite 5			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Highland Park, IL 60035			
Barrel of Monkeys	\$1,000 00	\$1,000 00	Arts
c/o Arts Bridge 2936 N Southport, Suite 210			
Chicago, IL 60610			
I Have a Dream Foundation	\$1,000 00	\$1,000 00	Education
c/o The Steans Family Foundation River Plaza 2 East, 405 N Wabash Ave			
Chicago, IL 60611			
Connecticut College	\$5,000 00	\$5,000 00	Education
270 Mohegan Avenue			
New London, CT 06320-4196			
Harwood Museum Alliance	\$500 00	\$500 00	Arts
4080 NDCBU/238 Ledoux Street			
Taos, NM 87571			
Marrn Catholic High School	\$250 00	\$250 00	Education
Attn Development Department 675 Sir Francis Drake Blvd			
Kentfield, CA 94904			
San Dominican School	\$250 00	\$250 00	Education
1500 Butterfield Road			
San Anselmo, CA 94960			
The Cleveland Clinic Foundation	\$500 00	\$500 00	Special Initiatives
P O Box 931517			
Cleveland, OH 44106			
WTTW Chicago	\$50,000 00	\$10,000 00	Arts
5400 North St Louis Avenue			
Chicago, IL 60625			
WTTW Chicago	\$50,000 00	\$10,000 00	Arts
5400 North St Louis Avenue			
Chicago, IL 60625			
The Fund for Santa Barbara	\$2,000 00	\$2,000 00	Special Initiatives
924 Anacapa St -Suite 4H			
Santa Barbara, CA 93101			
Opportunity, Inc	\$500 00	\$500 00	Special Initiatives
1200 Old Skokie Road			
Highland Park, IL 60035			
Ravinia Festival Association	\$500 00	\$500 00	Arts
400 Ins Lane			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Highland Park, IL 60035			
Bennington College	\$1,000 00	\$1,000 00	Education
Bennington, VT 05201			
Santa Barbara Dance Alliance	\$2,750 00	\$2,750 00	Arts
P O Box 22256			
Santa Barbara, CA 93121			
Black Ensemble Theater	\$30,000 00	\$15,000 00	Arts
4520 North Beacon			
Chicago, IL 60640			
Roadworks Productions	\$15,000 00	\$7,500 00	Arts
1144 West Fulton Market, Suite 105			
Chicago, IL 60607			
Stage Left Theatre	\$12,000 00	\$6,000 00	Arts
3408 North Sheffield			
Chicago, IL 60657			
Center for Asian Arts and Media at Columbia College Chicago	\$5,000 00	\$5,000 00	Arts
600 South Michigan Avenue			
Chicago, IL 60605			
Cerqua Rivera Art Experience	\$5,000 00	\$5,000 00	Arts
4117N Central Park			
Chicago, IL 60618			
Chicago Chamber Musicians	\$10,000 00	\$5,000 00	Arts
333 South State Street, Concourse Level			
Chicago, IL 60604-2287			
Chicago Public Art Group	\$10,000 00	\$10,000 00	Arts
1259 South Wabash			
Chicago, IL 60605-2427			
Chicago Sinfonietta	\$5,000 00	\$5,000 00	Arts
Chicago Theatre Company	\$5,000 00	\$5,000 00	Arts
500 East 67th Street			
Chicago, IL 60637			
Congo Square Theatre Company	\$3,000 00	\$3,000 00	Arts
1156 West Grand Avenue Suite 2R			
Chicago, IL 60622			
Famous Door Theatre Company	\$7,500 00	\$7,500 00	Arts
P O Box 57029			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Chicago, IL 60657			
Guild Complex	\$7,500 00	\$7,500 00	Education
1212 N Ashland, Suite 210			
Chicago, IL 60622			
Links Hall	\$5,000 00	\$5,000 00	Arts
3435 North Sheffield			
Chicago, IL 60657			
Mexican Fine Arts Center Museum	\$5,000 00	\$5,000 00	Arts
1852 W 19th Street			
Chicago, IL 60608			
Redmoon Theater	\$7,500 00	\$7,500 00	Arts
2936 N Southport Avenue			
Chicago, IL 60657			
Scrap Mettle Soul	\$5,000 00	\$5,000 00	Arts
4600 N Magnolia Ave Ste C			
Chicago, IL 60640			
The Athenaeum Theatre	\$12,500 00	\$12,500 00	Arts
2936 North Southport			
Chicago, IL 60657			
The Griffin Theatre	\$5,000 00	\$5,000 00	Arts
5404 North Clark Street			
Chicago, Illinois 60640			
Vector Theatrical Laboratory	\$5,000 00	\$5,000 00	Arts
2122 N Winchester #1F			
Chicago, IL 60614			
Albany Park Neighborhood Council	\$20,000 00	\$20,000 00	Community Organizing
4419 N Kedzie 3rd Floor			
Chicago, IL 60625			
Chicago Alliance for Neighborhood Safety	\$10,000 00	\$10,000 00	Community Organizing
220 South State Street, Suite 714			
Chicago, IL 60604			
Community Organizing Award	\$5,000 00	\$5,000 00	Community Organizing
c/o Wieboldt Foundation 53 W Jackson Blvd Suite 838			
Chicago, IL 60604			
Lakeview Action Coalition	\$5,000 00	\$5,000 00	Community Organizing
3225 North Sheffield Ave			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Chicago, IL 60657			
Near Northwest Neighborhood Network 2750 West North Avenue, Suite 210 Chicago, IL 60647	\$10,000 00	\$10,000 00	Community Organizing
Rogers Park Community Action Network 1545 West Morse Avenue Chicago, IL 60626	\$10,000 00	\$10,000 00	Community Organizing
Southwest Women Working Together 4051 West 63rd Street Chicago, IL 60629	\$20,000 00	\$10,000 00	Community Organizing
Southwest Youth Collaborative 6400 South Kedzie Chicago, IL 60629	\$20,000 00	\$20,000 00	Community Organizing
Horizons for Youth 210 South Desplaines Ave Chicago, IL 60661	\$10,000 00	\$10,000 00	Education
Community Film Workshop 1130 South Wabash, Suite 302 Chicago, IL 60605	\$7,500 00	\$7,500 00	Education
After School Action Programs 4730 North Shendan Road Chicago, IL 60640	\$30,000 00	\$15,000 00	Education
Alegre Strings 1530 West Cortez Third Floor Chicago, IL 60622	\$7,500 00	\$7,500 00	Education
Anchor Graphics 119 West Hubbard Street, 5W Chicago, IL 60610	\$7,500 00	\$7,500 00	Education
Bethany Brethren Community Center 425 South Central Park Chicago, IL 60624	\$10,000 00	\$10,000 00	Education
Chicago Children's Choir 78 East Washington Street Chicago, IL 60602	\$5,000 00	\$5,000 00	Education
HighSight 1107 North Orleans Street	\$15,000 00	\$15,000 00	Education

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Chicago, IL 60610			
Literacy Works	\$7,500 00	\$7,500 00	Education
c/o Organization of the Northeast 1329 West Wilson			
Chicago, IL 60640			
Partnership to Educate and Advance Kids	\$5,000 00	\$5,000 00	Education
559 West Diversey Parkway, #353			
Chicago, IL 60614			
Scholarship and Guidance Association	\$20,000 00	\$10,000 00	Education
11 East Adams, 15th Floor			
Chicago, IL 60603			
STEP, Inc	\$10,000 00	\$10,000 00	Education
6740 South Shore Drive			
Chicago, IL 60649-1393			
The Chicago Multi-Cultural Dance Center	\$15,000 00	\$15,000 00	Education
806 South Plymouth Court			
Chicago, IL 60605			
The People's Music School	\$10,000 00	\$10,000 00	Education
931 West Eastwood Avenue			
Chicago, IL 60640			
Youth Communication / New Expression	\$10,000 00	\$10,000 00	Education
at Columbia College 600 South Michigan Avenue			
Chicago, IL 60605-1996			
Bosnian & Herzegovinian American Community Center	\$5,000 00	\$5,000 00	Family
6574 North Sheridan Road			
Chicago, IL 60626			
Cambodian Association of Illinois	\$5,000 00	\$5,000 00	Family
2831 W Lawrence Ave			
Chicago, IL 60625			
Casa Central	\$30,000 00	\$15,000 00	Family
1343 North California			
Chicago, IL 60622			
Chicago Area Project	\$25,000 00	\$25,000 00	Family
200 South Michigan Avenue Suite 1400			
Chicago, IL 60604			
Chicago Commons Association	\$15,000 00	\$15,000 00	Family
3645 West Chicago, Suite 2-East			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Chicago, IL 60651-3934			
Erie Neighborhood House	\$5,000 00	\$5,000 00	Family
1347 West Erie Street			
Chicago, IL 60622-5722			
Family Focus	\$5,000 00	\$5,000 00	Family
310 South Peoria Street Suite 510			
Chicago, IL 60607-3534			
Family Matters	\$5,000 00	\$5,000 00	Family
7731 N Marshfield Ave			
Chicago, IL 60626			
Gads Hill Center	\$10,000 00	\$10,000 00	Family
1919 West Cullerton Street			
Chicago, IL 60608-2697			
Geneva Foundation	\$10,000 00	\$10,000 00	Family
3800 West Grand Avenue			
Chicago, IL 60651			
Juvenile Protective Association	\$50,000 00	\$25,000 00	Family
1707 North Halsted Street			
Chicago, IL 60614			
Kaleidoscope, Inc	\$7,500 00	\$7,500 00	Family
1279 North Milwaukee, suite 250			
Chicago, IL 60622			
Neopolitan Lighthouse	\$5,000 00	\$5,000 00	Family
P O Box 24709			
Chicago, IL 60624			
The Roger Baldwin Foundation of ACLU, Inc	\$15,000 00	\$15,000 00	Family
180 N Michigan Ave , Suite 2300			
Chicago, IL 60601			
YWCA of Metropolitan Chicago	\$7,500 00	\$7,500 00	Family
180 North Wabash Avenue			
Chicago, IL 60601			
Chicago Action for Soviet Jewry	\$15,000 00	\$15,000 00	Jewish
555 Vine Street			
Highland Park, IL 60035			
SHOAH Foundation	\$15,000 00	\$15,000 00	Jewish
P O Box 3168			



	Grant	Payment	Program
Check Payable to.	Amount	Amount	Area
Los Angeles, CA 90078-3168			
Neighborhood Writing Alliance	\$7,500 00	\$7,500 00	Special Initiatives
1313 East 60th Street			
Chicago, IL 60637			
Prison Action Committee	\$20,000 00	\$20,000 00	Special Initiatives
661 E 79th St			
Chicago, IL 60619			
We The People Media	\$5,000 00	\$5,000 00	Special Initiatives
4859 S Wabash Ave			
Chicago, IL 60615			
Community Environmental Council, Inc	\$1,000 00	\$1,000 00	Environment
930 Miramonte Drive			
Santa Barbara, CA 93109-1303			
Santa Barbara Audubon Society	\$1,000 00	\$1,000 00	Environment
5679 Hollister Ave, 5B			
Golet, CA 93117			
Highland Park Community Nursery School	\$500 00	\$500 00	Family
1850 Green Bay Road			
Highland Park, Illinois 60035			
Planned Parenthood of Santa Barbara	\$1,000 00	\$1,000 00	Family
Santa Barbara, CA 93101			
Ravina Nursery School	\$500 00	\$500 00	Family
686 Red Oak Lane			
Highland Park, Illinois 60035			
The Fund for Santa Barbara	\$1,000 00	\$1,000 00	Special Initiatives
924 Anacapa St -Suite 4H			
Santa Barbara, CA 93101			
Women's Economic Ventures	\$1,000 00	\$1,000 00	Special Initiatives
1136 E Montecito St			
Santa Barbara, CA 93103			
I Have a Dream Foundation	\$2,500 00	\$2,500 00	Education
c/o The Steans Family Foundation River Plaza 2 East, 405 N Wabash Ave			
Chicago, IL 60611			
9/11 Neediest Fund	\$1,000 00	\$1,000 00	Special Initiatives
P O Box 5193, General Post Office			
New York, NY 10087			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Aspen Valley Ski Club	\$1,000 00	\$1,000 00	Special Initiatives
P O Box C3			
Aspen, CO 81612			
Independence Pass Foundation	\$500 00	\$500 00	Special Initiatives
PO Box 1700			
Aspen, CO 81612			
Rocky Mountain Institute	\$1,000 00	\$1,000 00	Education
1739 Snowmass Creek Road			
Old Snowmass, CO 81654-9199			
One Voice	\$500 00	\$500 00	Special Initiatives
1228 15th Street			
Santa Monica, CA 90404			
WBEZ Alliance	\$5,000 00	\$5,000 00	Automatic
848 East Grand Avenue			
Chicago, IL 60611			
Steppenwolf Theatre Company	\$5,000 00	\$5,000 00	Automatic
758 West North Avenue 4th Floor			
Chicago, IL 60610			
Citizens United for Research in Epilepsy	\$3,000 00	\$3,000 00	Special Initiatives
8119 Woodside Lane			
Burr Ridge, IL 60525			
The Israel Center for Social and Economic Progress	\$5,000 00	\$5,000 00	Jewish
Hadoror 59, P O B 84124 Mevasseret-Zion 90805			
Israel,			
Chicago Humanities Festival	\$25,000 00	\$25,000 00	Arts
500 N Dearborn St Suite 1028			
Chicago, IL 60610			
Leukemia Research Foundation, Inc	\$1,000 00	\$1,000 00	Special Initiatives
820 Davis Street SUite 420			
Evanston, IL 60201			
Sons of Ethiopia Charity Fund	\$1,500 00	\$1,500 00	Arts
P O Box A3080			
Chicago, IL 60690			
Lodestone Theatre Ensemble	\$500 00	\$500 00	Arts
P O Box 1072			
Studio City, CA 91614			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Burke Mountain Academy	\$1,000 00	\$1,000 00	Education
P O Box 78			
East Burke, VT 05832			
Millicent Rogers Museum	\$500 00	\$500 00	Arts
P O Box A			
Taos, NM 87571			
Museum of Contemporary Art	\$2,400 00	\$2,400 00	Arts
220 East Chicago Street			
Chicago, IL 60611			
Carlthorp School	\$1,000 00	\$1,000 00	Education
438 San Vicente Boulevard			
Santa Monica, CA 90402			
Marlborough School	\$1,000 00	\$1,000 00	Education
250 South Rossmore Ave			
Los Angeles, CA 90004			
Jewish Council on Urban Affairs	\$5,000 00	\$5,000 00	Jewish
618 South Michigan Avenue Suite 700			
Chicago, IL 60605-1993			
Arizona Cancer Center	\$500 00	\$500 00	Special Initiatives
1515 North Campbell Ave P O Box 245024			
Tuscon, Arizona 85724-5024			
RUSH Neurobehavioral Center	\$500 00	\$500 00	Special Initiatives
9701 Knox Avenue, Suite 102			
Skokie, IL 60076			
Taos Community Foundation	\$500 00	\$500 00	Special Initiatives
P O Box 1925			
Taos, NM 87571			
The Fund for Santa Barbara	\$1,500 00	\$1,500 00	Special Initiatives
924 Anacapa St -Suite 4H			
Santa Barbara, CA 93101			
City Kids to Wilderness Project	\$500 00	\$500 00	Education
Star Route Box 45			
Jackson, WY 83001			
Burgers to Bantones	\$1,000 00	\$1,000 00	Arts
P O Box 398			
Highland Park, IL 60035			

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
Chicago Children's Museum	\$500 00	\$500 00	Arts
Navy Pier 700 East Grand Avenue, Suite 127			
Chicago, IL 60611-3428			
Chicago Symphony Orchestra	\$10,000 00	\$10,000 00	Arts
220 South Michigan Avenue			
Chicago, IL 60604			
Bennington College	\$2,500 00	\$2,500 00	Education
Bennington, VT 05201			
Spertus Institute of Jewish Studies	\$500 00	\$500 00	Jewish
618 South Michigan Avenue			
Chicago, IL 60605			
Kids can make a difference	\$500 00	\$500 00	Special Initiatives
P O Box 54			
Kittery Point, ME 03905			
Singita	\$500 00	\$500 00	Special Initiatives
800 Whittier Drive			
Beverly Hills, CA 90210			
Moog Center for Deaf Education	\$1,000 00	\$1,000 00	Special Initiatives
12300 South Forty Drive			
St Louis, MO 63141			
Chicago Communities in Schools, Inc	\$1,000 00	\$1,000 00	Education
815 West Van Buren Suite 300			
Chicago, IL 60607			
Aspen Art Museum	\$500 00	\$500 00	Arts
590 North Mill Street			
Aspen, CO 81611			
Aspen Ballet Company & School	\$1,000 00	\$1,000 00	Arts
110 East Hallam #107			
Aspen, CO 81611			
Aspen Music Festival and School	\$1,000 00	\$1,000 00	Arts
2 Music School Road			
Aspen, CO 81611			
The Smart Museum	\$500 00	\$500 00	Arts
The University of Chicago 970 E 58th Street			
Chicago, IL 60637			
Aspen Country Day School	\$1,000 00	\$1,000 00	Education

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
3 Music School Road			
Aspen, CO 81611			
Aspen Education Foundation	\$1,000 00	\$1,000 00	Education
PO Box 2200			
Aspen, CO 81612			
Stratton Mountain School	\$1,000 00	\$1,000 00	Education
RR1 Box 141			
Stratton Mountain, VT 05155-9402			
Working In The Schools	\$1,000 00	\$1,000 00	Education
150 East Huron Suite 900			
Chicago, IL 60611			
Aspen Historical Society	\$500 00	\$500 00	Special Initiatives
620 West Bleaker Street			
Aspen, Colorado 81611			
Aspen Valley Community Foundation	\$2,000 00	\$2,000 00	Special Initiatives
110 East Hallam Street, Suite 126			
Aspen, CO 81611			
Neighbor to Neighbor	\$500 00	\$500 00	Special Initiatives
P O Box 1639			
Aspen, CO 81612-1639			
The Buddy Program	\$1,000 00	\$1,000 00	Special Initiatives
110 E Hallam, Suite 125			
Aspen, Colorado 81611			
J L Kellogg Graduate School of Management	\$2,500 00	\$2,500 00	Education
2001 Sherdan Road Room 2-014			
Evanston, IL 60208-2001			
Marlborough School	\$5,000 00	\$5,000 00	Education
250 South Rossmore Ave			
Los Angeles, CA 90004			
Community Health	\$500 00	\$500 00	Special Initiatives
2611 West Chicago Ave			
Chicago, IL 60622			
The Josselyn Center	\$500 00	\$500 00	Special Initiatives
405 Central Avenue			
Northfield, IL 60093			
William G Hibbard Elementary School	\$5,000 00	\$5,000 00	Education

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
3244 West Ainslie Street			
Chicago, IL 60625			
Young Men's Educational Network	\$14,000 00	\$7,000 00	Education
P O Box 23410			
Chicago, IL 60623			
Chicago Symphony Orchestra	\$250,000 00	\$25,000 00	Arts
220 South Michigan Avenue			
Chicago, IL 60604			
Summer Dance Santa Barbara	\$750 00	\$750 00	Arts
P O Box 91210			
Santa Barbara, CA 93109			
Community Environmental Council, Inc	\$1,500 00	\$1,500 00	Environment
930 Miramonte Drive			
Santa Barbara, CA 93109-1303			
Environmental Defense Center	\$750 00	\$750 00	Environment
906 Garden St			
Santa Barbara, CA 93101			
Domestic Violence Solutions	\$1,000 00	\$1,000 00	Family
P O Box 1536			
Santa Barbara, CA 93102			
Albany Park Theater Project	\$7,500 00	\$7,500 00	Arts
P O Box 257995			
Chicago, IL 60625-7995			
Ballet Chicago	\$5,000 00	\$5,000 00	Arts
185 North Wabash Avenue, Suite 2300			
Chicago, Illinois 60601			
Chicago Dramatists	\$5,000 00	\$5,000 00	Arts
1105 West Chicago Avenue			
Chicago, IL 60622			
Chicago Moving Company	\$5,000 00	\$5,000 00	Arts
3035 N Hoyne, 2nd Floor			
Chicago, IL 60618			
Dance Center of Columbia College	\$5,000 00	\$5,000 00	Arts
1306 South Michigan Ave			
Chicago, IL 60605			
Eclipse Theatre Company	\$5,000 00	\$5,000 00	Arts

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
P O Box 578960			
Chicago, IL 60657			
Hedwig Dances, Inc	\$5,000 00	\$5,000 00	Arts
2936 N Southport, Suite 210			
Chicago, IL 60657			
International Music Foundation	\$5,000 00	\$5,000 00	Arts
70 West Hubbard Street, Suite 410			
Chicago, IL 60610			
Live Bait Theatrical Company	\$7,500 00	\$7,500 00	Arts
3914 North Clark Street			
Chicago, IL 60613			
Lookingglass Theatre Company	\$5,000 00	\$5,000 00	Arts
2936 North Southport, 3rd Floor			
Chicago, IL 60657			
Natya Dance Theatre	\$5,000 00	\$5,000 00	Arts
954 W Washington, Unit #5N			
Chicago, IL 60607			
The Neo-futurists	\$10,000 00	\$10,000 00	Arts
5153 North Ashland Ave			
Chicago, IL 60640-2831			
Woman Made Gallery	\$7,500 00	\$7,500 00	Arts
1900 South Prairie Avenue			
Chicago, IL 60616			
Blocks Together	\$20,000 00	\$20,000 00	Community Organizing
3914 West North Avenue			
Chicago, IL 60647			
Brighton Park Neighborhood Council	\$20,000 00	\$20,000 00	Community Organizing
4477 South Archer Avenue			
Chicago, IL 60632			
Developing Communities Project, Inc	\$10,000 00	\$10,000 00	Community Organizing
212 East 95th Street			
Chicago, Illinois 60619-7208			
Target Area DevCorp	\$20,000 00	\$20,000 00	Community Organizing
1542 West 79th Street			
Chicago, Illinois 60620			
A Sporting Chance Foundation	\$5,000 00	\$5,000 00	Education

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
1940 W Irving Park Rd , Suite 2			
Chicago, IL 60613			
Ariel Education Initiative	\$10,000 00	\$10,000 00	Education
307 North Michigan Ave, Suite 500			
Chicago, IL 60601			
Asian Human Services	\$7,500 00	\$7,500 00	Education
4753 North Broadway Suite 700			
Chicago, IL 60640			
BOLD Chicago	\$7,500 00	\$7,500 00	Education
4865 North Ravenswood			
Chicago, IL 60640			
Chicago Foundation for Education	\$10,000 00	\$5,000 00	Education
400 North Michigan Avenue Room 311			
Chicago, IL 60611			
Free Street	\$7,500 00	\$7,500 00	Education
1419 West Blackhawk			
Chicago, IL 60622			
Jane Addams Resource Corporation	\$10,000 00	\$10,000 00	Education
4432 North Ravenswood, 2nd Floor			
Chicago, IL 60640			
Lathrop Community Music Center	\$7,500 00	\$7,500 00	Education
2156 W Wellington			
Chicago, Illinois 60618			
Lawndale Community Outreach Services	\$5,000 00	\$5,000 00	Education
4522 West 16th Street			
Chicago, Illinois 60623			
Love to Serve, Inc	\$5,000 00	\$5,000 00	Education
2545 West 111th Street			
Chicago, IL 60655			
Music Theatre Workshop	\$10,000 00	\$10,000 00	Education
7359 North Greenview Ave			
Chicago, IL 60626			
Noble Street Charter High School	\$20,000 00	\$20,000 00	Education
1014 Noble St			
Chicago, IL 60622			
Perspectives Charter School	\$20,000 00	\$20,000 00	Education



	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
1532 S Michigan Avenue Chicago, Illinois 60605			
Project Education Plus 857 North Cleveland Chicago, IL 60610	\$5,000 00	\$5,000 00	Education
Rochelle Lee Fund-To Make Reading a Part of Children's Lives 5153 North Clark Street Room 206 Chicago, IL 60640	\$10,000 00	\$10,000 00	Education
Street Level Youth Media P O Box 578336 Chicago, IL 60657	\$10,000 00	\$10,000 00	Education
Target HOPE, Inc 11756 S Western Ave Chicago, IL 60643	\$20,000 00	\$20,000 00	Education
Whirlwind Performance Co 65 East Wacker Place Suite 800 Chicago, IL 60601	\$10,000 00	\$10,000 00	Education
Urban Options 1830 South Springfield, Suite C Chicago, IL 60623	\$5,000 00	\$5,000 00	Education
Archi-treasures 2850 N Pulaski Rd , 2nd Fl Chicago, IL 60641	\$20,000 00	\$10,000 00	Environmenta
Garfield Park Conservatory Alliance 300 N Central Park Ave Chicago, IL 60624	\$10,000 00	\$10,000 00	Environmental
Openlands Project 25 E Washington St Suite 1650 Chicago, IL 60602	\$10,000 00	\$10,000 00	Environment
The Trust for Public Land 53 W Jackson Boulevard Suite 632 Chicago, IL 60604	\$10,000 00	\$10,000 00	Environment
Chicago Abortion Fund P O Box 641156 Chicago, IL 60664-1156	\$10,000 00	\$10,000 00	Family
Chicago Metropolitan Battered Women's Network	\$10,000 00	\$10,000 00	Family

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
220 South State Street Suite 1208 Chicago, IL 60604			
Chinese Mutual Aid Association 1016 West Argyle Street Chicago, IL 60640	\$7,500 00	\$7,500 00	Family
Chrstopher House 2507 North Greenview Avenue Chicago, IL 60614-2084	\$10,000 00	\$10,000 00	Family
Horizons Community Services 961 West Montana Street Chicago, IL 60614-2408	\$7,500 00	\$7,500 00	Family
House of the Good Shepherd 1114 W Grace Street Chicago, IL 60613	\$10,000 00	\$10,000 00	Family
Howard Area Community Center 7638-7648 North Paulina Street Chicago, IL 60626	\$10,000 00	\$10,000 00	Family
Mujeres Latinas En Accion 1823 West 17th Street Chicago, IL 60608	\$7,500 00	\$7,500 00	Family
Northwestern University Settlement 1400 Augusta Boulevard Chicago, IL 60622	\$15,000 00	\$15,000 00	Family
Rainbow House/Arco Ins 20 East Jackson , #1550 Chicago, IL 60604	\$10,000 00	\$10,000 00	Family
Tuesday's Child 4028 W Irving Park Road Chicago, IL 60641	\$7,500 00	\$7,500 00	Family
Jewish Council on Urban Affairs 618 South Michigan Avenue Suite 700 Chicago, IL 60605-1993	\$40,000 00	\$40,000 00	Jewish
Shalva 1610 W Highland, #9 Chicago, IL 60660	\$15,000 00	\$15,000 00	Jewish
The Ark	\$7,000 00	\$7,000 00	Jewish

	Grant Amount	Payment Amount	Program Area
<b>Check Payable to</b>			
6450 North California Avenue			
Chicago, IL 60645			
Center for Conflict Resolution	\$10,000 00	\$10,000 00	Special Initiatives
11 E Adams, Suite 500			
Chicago, IL 60603			
Deeply Rooted Productions	\$1,000 00	\$1,000 00	Arts
3712 North Broadway, #148			
Chicago, IL 60613			
Ounce of Prevention Fund	\$25,000 00	\$25,000 00	Family
122 South Michigan Avenue Suite 2050			
Chicago, IL 60603			
Hubbard Street Dance Chicago	\$1,000 00	\$1,000 00	Arts
1147 W Jackson Blvd			
Chicago, IL 60607			
Indiana University Foundation	\$1,000 00	\$1,000 00	Special Initiatives
P O Box 500			
Bloomington, IN 47402			
Intuit The Center for Intuitive and Outsider Art	\$1,000 00	\$1,000 00	Arts
756 N Milwaukee			
Chicago, IL 60622			
The Athenaeum Theatre	\$1,000 00	\$1,000 00	Arts
2936 North Southport			
Chicago, IL 60657			
Little Village Environmental Justice Organization	\$1,000 00	\$1,000 00	Community Organizing
2856 S Millard Avenue			
Chicago, IL 60623			
Saint Columbanus Church	\$1,000 00	\$1,000 00	Education
331 East 71st St,			
Chicago, IL 60619			
Chicago Health Connection	\$1,000 00	\$1,000 00	Family
954 W Washington Box 36, Fourth Floor			
Chicago, IL 60607			
Highland Park/Highwood Home Child Care Association	\$500 00	\$500 00	Family
P O Box 37			
Highland Park, IL 60035			
Matthew House	\$500 00	\$500 00	Family

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
3728 S Indiana			
Chicago, IL 60653			
Anti-Cruelty Society	\$500 00	\$500 00	Special Initiatives
157 W Grand			
Chicago, IL 60610			
Center for Impact Research	\$1,000 00	\$1,000 00	Special Initiatives
915 North Wolcott Avenue			
Chicago, IL 60622			
Chicago Interfaith Committee on Worker Issues, Fiscal Agent National Interfaith Committee for Wo	\$1,000 00	\$1,000 00	Community Organizing
1020 West Bryn Mawr Ave			
Chicago, IL 60660-4627			
Donors Forum of Chicago	\$500 00	\$500 00	Special Initiatives
208 South LaSalle Street Suite 740			
Chicago, IL 60604			
Metropolitan Tenants Organization	\$1,000 00	\$1,000 00	Special Initiatives
1180 N Milwaukee Avenue			
Chicago, IL 60622			
Chicago Cares, Inc	\$500 00	\$500 00	Special Initiatives
300 W Adams, Suite 300			
Chicago, IL 60606			
The Washington Institute	\$1,000 00	\$1,000 00	Special Initiatives
1828 L Street, NW, Suite 1050			
Washington, DC 20036			
The Angel Fund	\$500 00	\$500 00	Special Initiatives
7648 Sexauer			
Belvidere, IL 61008			
Library Council of Northwestern University	\$1,000 00	\$1,000 00	Education
1935 Sheridan Road			
Evanston, IL 60208-2300			
Lakefront SRO	\$1,000 00	\$1,000 00	Special Initiatives
4946 North Sheridan Road			
Chicago, IL 60640			
The Family Institute	\$1,000 00	\$1,000 00	Family
8 South Michigan Ave Suite 500			
Chicago, IL 60603			
Inner City Teaching Corps	\$500 00	\$500 00	Education

	Grant	Payment	Program
Check Payable to	Amount	Amount	Area
3141 West Jackson Blvd			
Chicago, IL 60612			
Poder Learning Center	\$1,000 00	\$1,000 00	Education
1637 South Allport			
Chicago, IL 60608			
Whitney Museum of Modern Art	\$500 00	\$500 00	Arts
945 Madison Ave at 75th Street			
New York, NY 10021			
People For the American Way	\$550 00	\$550 00	Special Initiatives
5370 Manhattan Circle #104			
Boulder, CO 80303			
		\$2,358,322 04	
Bennington College	\$1,000 00	(\$1,000 00)	Education
Bennington, VT 05201			
Chicago Foundation for Education	\$10,000 00	(\$5,000 00)	Education
400 North Michigan Avenue Room 311			
Chicago, IL 60611			
Steppenwolf Theatre Company	\$5,000 00	(\$5,000 00)	Automatic
758 West North Avenue 4th Floor			
Chicago, IL 60610			
Western Wyoming Family Planning	\$1,000	(\$1,000)	Family
P O Box 2468			
Jackson, WY 83001			
Western Wyoming Family Planning	\$1,000	(\$1,000)	Family
P O Box 2468			
Jackson, WY 83001			
WBEZ Alliance	\$5,000 00	(\$5,000 00)	Automatic
848 East Grand Avenue			
Chicago, IL 60611			
		(\$18,000 00)	
		\$2,340,322 04	

CLIENT 1558-130

MAYER AND MORRIS KAPLAN FOUNDATION

36-6099675

8/12/02

11 08AM

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

PARTNERSHIP INCOME

TOTAL	\$	-231
	\$	<u>-231</u>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TOTALS	\$ 13,285	\$ 6,642	\$ 0	\$ 6,643
	<u>\$ 13,285</u>	<u>\$ 6,642</u>	<u>\$ 0</u>	<u>\$ 6,643</u>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

ADVISOR FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TOTALS	\$ 168,931	\$ 168,931	\$ 0	\$ 0
	<u>\$ 168,931</u>	<u>\$ 168,931</u>	<u>\$ 0</u>	<u>\$ 0</u>

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES-FEDERAL	\$ -26,062			
EXCISE TAXES-STATE	30	\$ 30		
INCOME TAXES (UBIT)-FEDERAL	3,902			
INCOME TAXES (UBIT)-STATE	1,731	1,731		
PAYROLL TAXES	11,018	5,509		\$ 5,509
TOTALS	<u>\$ -9,381</u>	<u>\$ 7,270</u>	<u>\$ 0</u>	<u>\$ 5,509</u>

CLIENT 1558-130

MAYER AND MORRIS KAPLAN FOUNDATION

36-6099675

8/12/02

11 08AM

**STATEMENT 5  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	\$ 8,966			\$ 8,966
INVESTMENT EXPENSES	217,934	\$ 212,725		
OFFICE EXPENSES	50,448	25,224		25,224
RENT	9,060	4,530		4,530
<b>TOTALS</b>	<b>\$ 286,408</b>	<b>\$ 242,479</b>	<b>\$ 0</b>	<b>\$ 38,720</b>

**STATEMENT 6  
FORM 990-PF, PART II, LINE 13  
INVESTMENTS - OTHER**

	VALUATION METHOD	VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
INVESTMENTS IN PARTNERSHIPS	MKT VAL	\$ 8,632,635	\$ 8,632,635
		<u>\$ 8,632,635</u>	<u>\$ 8,632,635</u>
	<b>TOTAL</b>	<b>\$ 8,632,635</b>	<b>\$ 8,632,635</b>

**STATEMENT 7  
FORM 990-PF, PART II, LINE 14  
LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM DEPREC	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 7,436	\$ 5,778	\$ 1,658	\$ 1,658
MACHINERY AND EQUIPMENT	96,950	70,635	26,315	26,315
IMPROVEMENTS	4,188	260	3,928	3,928
<b>TOTAL</b>	<b>\$ 108,574</b>	<b>\$ 76,673</b>	<b>\$ 31,901</b>	<b>\$ 31,901</b>

**STATEMENT 8  
FORM 990-PF, PART II, LINE 15  
OTHER ASSETS**

	BOOK VALUE	FAIR MARKET VALUE
INTEREST RECEIVABLE	\$ 70,000	\$ 70,000
REFUNDABLE TAX DEPOSITS	78,662	78,662
<b>TOTAL</b>	<b>\$ 148,662</b>	<b>\$ 148,662</b>

CLIENT 1558-130

MAYER AND MORRIS KAPLAN FOUNDATION

36-6099675

8/12/02

11 08AM

**STATEMENT 9  
FORM 990-PF, PART III, LINE 3  
OTHER INCREASES**

NET UNREALIZED GAINS/LOSSES

TOTAL	\$	5,665,401
	\$	<u>5,665,401</u>

**STATEMENT 10  
FORM 990-PF, PART VIII, LINE 1  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
MORRIS A KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	CHAIRMAN	\$ 0	\$ 0	\$ 0
BURTON B KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TREASURER	0	0	0
JESSICA KAPLAN LUNDEVALL 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	SECRETARY	0	0	0
JASON HEENEY 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	EXECUTIVE DIREC 40	59,911	0	0
ANNE KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
ROBERT KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
JEAN KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
MICHAEL KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
CHARLES KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
BETH KARMIN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL	TRUSTEE	0	0	0
CURT KAPLAN 1780 GREEN BAY ROAD SUITE 205	TRUSTEE	0	0	0



CLIENT 1558-130

MAYER AND MORRIS KAPLAN FOUNDATION

36-6099675

8/12/02

11 08AM

**STATEMENT 10 (CONTINUED)**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
HIGHLAND PARK, IL 60035				
HILARY KAPLAN LORETTA 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	PRESIDENT	\$ 0	\$ 0	\$ 0
DAVID KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
SARAH KAPLAN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
AURA DE LA FUENTE 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	TRUSTEE	0	0	0
JOANNA PETERSEN 1780 GREEN BAY ROAD SUITE 205 HIGHLAND PARK, IL 60035	FORMER EXEC DIR 40	3,495	0	0
		TOTAL \$ 63,406	\$ 0	\$ 0

**STATEMENT 11**  
**FORM 990-PF, PART XV, LINE 1A**  
**FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS**

MORRIS A KAPLAN  
 BURTON B KAPLAN

**STATEMENT 12**  
**FORM 990-PF, PART XV, LINE 2A**  
**NAME AND ADDRESS OF PERSON TO WHOM APPLICANTS SHOULD BE ADDRESSED**

JASON HEENEY, 1780 GREEN BAY RD #205, HIGHLAND PARK, IL 60035

2001 FEDERAL BOOK DEPRECIATION SCHEDULE

CLIENT 1558-130

MAYER AND MORRIS KAPLAN FOUNDATION

36-6099675

8/12/02

11 07AM

FORM 990/990 PF

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/BASIS	PCT. BUS	CUR. BONUS 179	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL. DEPR.	SALVAGE REDUCT. BASIS	DEPR. BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
-----	-------------	---------------	-----------	------------	----------	----------------	----------------------	-----------------------------	----------------------	-----------------------	-------------	-------------	--------	------	------	---------------

FURNITURE AND FIXTURES																	
FURNITURE AND FIXTURES																	
1	FURNITURE AND FIXTURES	9/01/97		7,436							7,436	5,114	200DB	HY	7	08930	664
TOTAL FURNITURE AND FIXTURE IMPROVEMENTS																	
7,436																	

IMPROVEMENTS																		
LEASEHOLD IMPROVEMENTS																		
11	LEASEHOLD IMPROVEMENTS	1/04/00		4,188							4,188	127	S/L	MM	31	5	03175	133
TOTAL IMPROVEMENTS																		
4,188																		

MACHINERY AND EQUIPMENT																
TOTAL IMPROVEMENTS																
4,188																

COMPUTER EQUIPMENT																	
2	COMPUTER EQUIPMENT	1/28/97		5,205							5,205	4,306	200DB	HY	5	11520	600
3	COMPUTER EQUIPMENT	3/10/97		735							735	608	200DB	HY	5	11520	85
4	COMPUTER EQUIPMENT	7/20/98		2,070							2,070	1,473	200DB	HY	5	11520	238
5	TELEPHONE EQUIPMENT	8/27/99		23,358							23,358	12,147	200DB	HY	5	19200	4,485
6	TELEPHONE EQUIPMENT	9/01/99		18,232							18,232	9,480	200DB	HY	5	19200	3,501
7	FURNITURE	9/10/99		3,091							3,091	1,607	200DB	HY	5	19200	593
8	TELEPHONES	9/21/99		2,559							2,559	1,331	200DB	HY	5	19200	491
9	FURNITURE	9/30/99		40,000							40,000	20,800	200DB	HY	5	19200	7,680
10	COMPUTER	11/02/99		1,700							1,700	884	200DB	HY	5	19200	326

TOTAL MACHINERY AND EQUIPME																
96,950																

TOTAL DEPRECIATION																
108,574																

GRAND TOTAL DEPRECIATION																
108,574																

Form **990-W**  
(Worksheet)

FOR FORM 990-PF PURPOSES  
**Estimated Tax on Unrelated Business Taxable  
Income for Tax-Exempt Organizations**  
(and on Investment Income for Private Foundations)

OMB No 1545-0976

**2002**

Department of the Treasury  
Internal Revenue Service

(Keep for your records *Do not* send to the Internal Revenue Service)

1	Unrelated business taxable income expected in the tax year		1
2	Tax on the amount on line 1 (See instructions for tax computation)		2
3	Alternative minimum tax (see instructions)		3
4	Total (add lines 2 and 3)		4
5	Estimated tax credits (see instructions)		5
6	Balance (subtract line 5 from line 4)		6
7	Other taxes		7
8	Total (add lines 6 and 7)		8
9	Credit for federal tax paid on fuels (see instructions)		9
10a	Subtract line 9 from line 8 <b>Note</b> <i>If less than \$500, the organization is not required to make estimated tax payments (Private foundations, see instructions)</i>	2,000	10c
10b	Enter the tax shown on the 2001 return ( <b>Caution</b> See instructions before completing this line)		
c <b>2002 Estimated Tax</b> Enter the smaller of line 10a or line 10b. If the organization is required to skip line 10b, enter the amount from line 10a on line 10c			2,000

	(a)	(b)	(c)	(d)
11 <b>Installment due dates</b> (see instructions)	11 5/15/02	6/17/02	9/16/02	12/16/02
12 <b>Required installments</b> Enter 25% of line 10c in columns (a) through (d) unless the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a large organization (see instructions)	12 500	500	500	500
13 <b>2001 Overpayment.</b> (see instructions)	13 500	500	500	500
14 <b>Payment due</b> (subtract line 13 from line 12)	14 0	0	0	0

BAA For Paperwork Reduction Act Notice, see separate instructions

Form 990-W (2002)

# Application for Extension of Time to File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
  - If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Note** Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I Automatic 3-Month Extension of Time** — Only submit original (no copies needed)

**Note** Form 990-T corporations requesting an automatic 6-month extension — check this box and complete Part I only

All other corporations (including Form 990 C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization <b>MAYER AND MORRIS KAPLAN FOUNDATION</b>	Employer Identification Number <b>36-6099675</b>
	Number Street and Room or Suite Number If a P O Box, see instructions <b>1780 GREEN BAY ROAD #205</b>	
	City Town or Post Office For a foreign address see instructions <b>HIGHLAND PARK, IL 60035</b>	State ZIP Code

**Check type of return to be filed** (file a separate application for each return)

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (Section 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990 T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041 A	<input type="checkbox"/> Form 8870

- If the organization does **not** have an office or place of business in the United States, check this box
- If this is for a **group return**, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the **whole** group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 month, for **990-T corporation**) extension of time until 8/15, 20 02 to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ▶  calendar year 20 01 or
- ▶  tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

2 If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions. \$ 0

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. \$ 27,642

c **Balance Due** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required by using EFTPS (Electronic Federal Tax Payment System) See instructions. \$ 0

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct and complete and that I am authorized to prepare this form

Signature ▶ [Signature] Title ▶ C.P.A. Date ▶ 5/10/02

BAA For Paperwork Reduction Act Notice, see instructions

Form 8868 (12-2000)

**RECEIVED**  
District Director of Internal Revenue  
**MAY 15 2002**  
MORTON GROVE, IL

If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must File Original and One Copy

Name of Exempt Organization: MAYER AND MORRIS KAPLAN FOUNDATION
Employer Identification Number: 36-6099675
Address: 1780 GREEN BAY ROAD, #205, HIGHLAND PARK, IL 60035

Check type of return to be filed (file a separate application for each return)

Form 990, Form 990 EZ, Form 990 T (Section 401(a) or 408(a) trust), Form 1041 A, Form 5227, Form 8870, Form 990 BL, Form 990 PF, Form 990 T (trust other than above), Form 4720, Form 6069

Stop Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

If the organization does not have an office or place of business in the United States, check this box
If this is for a group return, enter the organizations four digit Group Exemption Number (GEN)
whole group, check this box
If it is part of the group, check this box and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3 month extension of time until 11/15, 2002
5 For calendar year 2001, or other tax year beginning 20 and ending 20
6 If this tax year is for less than 12 months, check reason Initial return, Final return, Change in accounting period
7 State in detail why you need the extension INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN HAS NOT YET BEEN MADE AVAILABLE

8a If this application is for Form 990 BL, 990 PF, 990 T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ 0
b If this application is for Form 990 PF, 990 T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ 0
c Balance due Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ 0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete and that I am authorized to prepare this form

Signature Mark A. Guschel Title C.P.A. Date 8/13/02

Notice to Applicant - To be Completed by the IRS

We have approved this application Please attach this form to the organization's return
We have not approved this application However, we have granted a 10 day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely filed return Please attach this form to the organization's return
We have not approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We are not granting a 10 day grace period
We cannot consider this application because it was filed after the due date of the return for which an extension was requested
Other

RECEIVED District Director of Internal Revenue

Director By Date

Alternate Mailing Address - Enter the address if you want the copy of this application for an automatic 3-month extension returned to an address different than the one entered above

Name: FRIDUSS, LUKEE, SCHIFF & CO PC
Address: 4747 W PETERSON, CHICAGO, IL 60646-5712

AUG 14 2002 MORTON GROVE, IL